

# Earley

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## Abstract

In 1968 Earley [1] introduced his parsing algorithm capable of parsing all context-free grammars in cubic space and time. This entry contains a formalization of an executable Earley parser. We base our development on Jones' [2] extensive paper proof of Earley's recognizer and the formalization of context-free grammars and derivations of Obua [4] [3]. We implement and prove correct a functional recognizer modeling Earley's original imperative implementation and extend it with the necessary data structures to enable the construction of parse trees following the work of Scott [5]. We then develop a functional algorithm that builds a single parse tree and prove its correctness. Finally, we generalize this approach to an algorithm for a complete parse forest and prove soundness.

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## 1 Slightly adjusted content from AFP/LocalLexing

```
fun funpower :: ('a  $\Rightarrow$  'a)  $\Rightarrow$  nat  $\Rightarrow$  ('a  $\Rightarrow$  'a) where
  funpower f 0 x = x
| funpower f (Suc n) x = f (funpower f n x)
```

```
definition natUnion :: (nat  $\Rightarrow$  'a set)  $\Rightarrow$  'a set where
  natUnion f =  $\bigcup$  { f n | n. True }
```

```
definition limit :: ('a set  $\Rightarrow$  'a set)  $\Rightarrow$  'a set  $\Rightarrow$  'a set where
  limit f x = natUnion ( $\lambda$  n. funpower f n x)
```

**definition** *setmonotone* :: ('a set  $\Rightarrow$  'a set)  $\Rightarrow$  bool **where**  
*setmonotone* f = ( $\forall X. X \subseteq f X$ )

**lemma** *subset-setmonotone*: *setmonotone* f  $\Longrightarrow$  X  $\subseteq$  f X  
**by** (*simp add: setmonotone-def*)

**lemma** *funpower-id* [*simp*]: *funpower id n* = id  
**by** (*rule ext, induct n, simp-all*)

**lemma** *limit-id* [*simp*]: *limit id* = id  
**by** (*rule ext, auto simp add: limit-def natUnion-def*)

**definition** *chain* :: (nat  $\Rightarrow$  'a set)  $\Rightarrow$  bool  
**where**  
*chain* C = ( $\forall i. C i \subseteq C (i + 1)$ )

**definition** *continuous* :: ('a set  $\Rightarrow$  'b set)  $\Rightarrow$  bool  
**where**  
*continuous* f = ( $\forall C. \text{chain } C \longrightarrow (\text{chain } (f \circ C) \wedge f (\text{natUnion } C) = \text{natUnion } (f \circ C))$ )

**lemma** *natUnion-upperbound*:  
 $(\bigwedge n. f n \subseteq G) \Longrightarrow (\text{natUnion } f) \subseteq G$   
**by** (*auto simp add: natUnion-def*)

**lemma** *funpower-upperbound*:  
 $(\bigwedge I. I \subseteq G \Longrightarrow f I \subseteq G) \Longrightarrow I \subseteq G \Longrightarrow \text{funpower } f n I \subseteq G$   
**proof** (*induct n*)  
**case** 0 **thus** ?case **by** *simp*  
**next**  
**case** (Suc n) **thus** ?case **by** *simp*  
**qed**

**lemma** *limit-upperbound*:  
 $(\bigwedge I. I \subseteq G \Longrightarrow f I \subseteq G) \Longrightarrow I \subseteq G \Longrightarrow \text{limit } f I \subseteq G$   
**by** (*simp add: funpower-upperbound limit-def natUnion-upperbound*)

**lemma** *elem-limit-simp*:  $x \in \text{limit } f X = (\exists n. x \in \text{funpower } f n X)$   
**by** (*auto simp add: limit-def natUnion-def*)

**definition** *pointwise* :: ('a set  $\Rightarrow$  'b set)  $\Rightarrow$  bool **where**  
*pointwise* f = ( $\forall X. f X = \bigcup \{ f \{x\} \mid x. x \in X \}$ )

**lemma** *natUnion-elem*:  $x \in f n \Longrightarrow x \in \text{natUnion } f$   
**using** *natUnion-def* **by** *fastforce*

**lemma** *limit-elem*:  $x \in \text{funpower } f n X \Longrightarrow x \in \text{limit } f X$   
**by** (*simp add: limit-def natUnion-elem*)

**definition** *pointbase* :: ('a set  $\Rightarrow$  'b set)  $\Rightarrow$  'a set  $\Rightarrow$  'b set **where**  
*pointbase*  $F I = \bigcup \{ F X \mid X. \text{finite } X \wedge X \subseteq I \}$

**definition** *pointbased* :: ('a set  $\Rightarrow$  'b set)  $\Rightarrow$  bool **where**  
*pointbased*  $f = (\exists F. f = \text{pointbase } F)$

**lemma** *chain-implies-mono*: chain  $C \Longrightarrow$  mono  $C$   
**by** (*simp add: chain-def mono-iff-le-Suc*)

**lemma** *setmonotone-implies-chain-funpower*:  
**assumes** *setmonotone*: setmonotone  $f$   
**shows** chain  $(\lambda n. \text{funpower } f n I)$   
**by** (*simp add: chain-def setmonotone subset-setmonotone*)

**lemma** *natUnion-subset*:  $(\bigwedge n. \exists m. f n \subseteq g m) \Longrightarrow \text{natUnion } f \subseteq \text{natUnion } g$   
**by** (*meson natUnion-lem natUnion-upperbound subset-iff*)

**lemma** *natUnion-eq*[*case-names Subset Superset*]:  
 $(\bigwedge n. \exists m. f n \subseteq g m) \Longrightarrow (\bigwedge n. \exists m. g n \subseteq f m) \Longrightarrow \text{natUnion } f = \text{natUnion } g$   
**by** (*simp add: natUnion-subset subset-antisym*)

**lemma** *natUnion-shift*[*symmetric*]:  
**assumes** *chain*: chain  $C$   
**shows**  $\text{natUnion } C = \text{natUnion } (\lambda n. C (n + m))$   
**proof** (*induct rule: natUnion-eq*)  
**case** (*Subset*  $n$ )  
**show** ?*case* **using** *chain chain-implies-mono le-add1 mono-def* **by** *blast*  
**next**  
**case** (*Superset*  $n$ )  
**show** ?*case* **by** *blast*  
**qed**

**definition** *regular* :: ('a set  $\Rightarrow$  'a set)  $\Rightarrow$  bool  
**where**  
*regular*  $f = (\text{setmonotone } f \wedge \text{continuous } f)$

**lemma** *regular-fixpoint*:  
**assumes** *regular*: regular  $f$   
**shows**  $f (\text{limit } f I) = \text{limit } f I$   
**proof** –  
**have** *setmonotone*: setmonotone  $f$  **using** *regular regular-def* **by** *blast*  
**have** *continuous*: continuous  $f$  **using** *regular regular-def* **by** *blast*

**let** ? $C = \lambda n. \text{funpower } f n I$   
**have** *chain*: chain ? $C$   
**by** (*simp add: setmonotone setmonotone-implies-chain-funpower*)  
**have**  $f (\text{limit } f I) = f (\text{natUnion } ?C)$   
**using** *limit-def* **by** *metis*

**also have**  $f \text{ (natUnion } ?C) = \text{natUnion } (f \circ ?C)$   
**by** (*metis continuous continuous-def chain*)  
**also have**  $\text{natUnion } (f \circ ?C) = \text{natUnion } (\lambda n. f(\text{funpower } f \ n \ I))$   
**by** (*meson comp-apply*)  
**also have**  $\text{natUnion } (\lambda n. f(\text{funpower } f \ n \ I)) = \text{natUnion } (\lambda n. ?C \ (n + 1))$   
**by** *simp*  
**also have**  $\text{natUnion } (\lambda n. ?C(n + 1)) = \text{natUnion } ?C$   
**by** (*metis (no-types, lifting) Limit.chain-def chain natUnion-eq*)  
**finally show** *?thesis* **by** (*simp add: limit-def*)  
**qed**

**lemma** *fix-is-fix-of-limit*:  
**assumes** *fixpoint*:  $f \ I = I$   
**shows**  $\text{limit } f \ I = I$   
**proof** –  
**have** *funpower*:  $\bigwedge n. \text{funpower } f \ n \ I = I$   
**proof** –  
**fix**  $n :: \text{nat}$   
**from** *fixpoint* **show**  $\text{funpower } f \ n \ I = I$   
**by** (*induct n, auto*)  
**qed**  
**show** *?thesis* **by** (*simp add: limit-def funpower natUnion-def*)  
**qed**

**lemma** *limit-is-idempotent*:  $\text{regular } f \implies \text{limit } f \ (\text{limit } f \ I) = \text{limit } f \ I$   
**by** (*simp add: fix-is-fix-of-limit regular-fixpoint*)

**definition** *mk-regular1* ::  $('b \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow ('b \Rightarrow 'a \Rightarrow 'a) \Rightarrow 'a \ \text{set} \Rightarrow 'a \ \text{set}$   
**where**  
 $\text{mk-regular1 } P \ F \ I = I \cup \{ F \ q \ x \mid q \ x. x \in I \wedge P \ q \ x \}$

**definition** *mk-regular2* ::  $('b \Rightarrow 'a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow ('b \Rightarrow 'a \Rightarrow 'a \Rightarrow 'a) \Rightarrow 'a \ \text{set} \Rightarrow 'a \ \text{set}$   
**where**  
 $\text{mk-regular2 } P \ F \ I = I \cup \{ F \ q \ x \ y \mid q \ x \ y. x \in I \wedge y \in I \wedge P \ q \ x \ y \}$

**end**  
**theory** *CFG*  
**imports** *Main*  
**begin**

## 2 Adjusted content from AFP/LocalLexing

**type-synonym**  $'a \ \text{rule} = 'a \times 'a \ \text{list}$

**type-synonym**  $'a \ \text{rules} = 'a \ \text{rule list}$

**type-synonym**  $'a \ \text{sentence} = 'a \ \text{list}$

**datatype**  $'a \ \text{cfg} =$

CFG ( $\mathfrak{N} : 'a \text{ list}$ ) ( $\mathfrak{T} : 'a \text{ list}$ ) ( $\mathfrak{R} : 'a \text{ rules}$ ) ( $\mathfrak{S} : 'a$ )

**definition** *disjunct-symbols* ::  $'a \text{ cfg} \Rightarrow \text{bool}$  **where**  
*disjunct-symbols*  $\mathcal{G} \equiv \text{set } (\mathfrak{N} \mathcal{G}) \cap \text{set } (\mathfrak{T} \mathcal{G}) = \{\}$

**definition** *valid-startsymbol* ::  $'a \text{ cfg} \Rightarrow \text{bool}$  **where**  
*valid-startsymbol*  $\mathcal{G} \equiv \mathfrak{S} \mathcal{G} \in \text{set } (\mathfrak{N} \mathcal{G})$

**definition** *valid-rules* ::  $'a \text{ cfg} \Rightarrow \text{bool}$  **where**  
*valid-rules*  $\mathcal{G} \equiv \forall (N, \alpha) \in \text{set } (\mathfrak{R} \mathcal{G}). N \in \text{set } (\mathfrak{N} \mathcal{G}) \wedge (\forall s \in \text{set } \alpha. s \in \text{set } (\mathfrak{N} \mathcal{G}) \cup \text{set } (\mathfrak{T} \mathcal{G}))$

**definition** *distinct-rules* ::  $'a \text{ cfg} \Rightarrow \text{bool}$  **where**  
*distinct-rules*  $\mathcal{G} \equiv \text{distinct } (\mathfrak{R} \mathcal{G})$

**definition** *wf- $\mathcal{G}$*  ::  $'a \text{ cfg} \Rightarrow \text{bool}$  **where**  
*wf- $\mathcal{G}$*   $\mathcal{G} \equiv \text{disjunct-symbols } \mathcal{G} \wedge \text{valid-startsymbol } \mathcal{G} \wedge \text{valid-rules } \mathcal{G} \wedge \text{distinct-rules } \mathcal{G}$

**lemmas** *wf- $\mathcal{G}$ -defs* = *wf- $\mathcal{G}$ -def* *valid-rules-def* *valid-startsymbol-def* *disjunct-symbols-def* *distinct-rules-def*

**definition** *is-terminal* ::  $'a \text{ cfg} \Rightarrow 'a \Rightarrow \text{bool}$  **where**  
*is-terminal*  $\mathcal{G} \ x \equiv x \in \text{set } (\mathfrak{T} \mathcal{G})$

**definition** *is-nonterminal* ::  $'a \text{ cfg} \Rightarrow 'a \Rightarrow \text{bool}$  **where**  
*is-nonterminal*  $\mathcal{G} \ x \equiv x \in \text{set } (\mathfrak{N} \mathcal{G})$

**definition** *is-symbol* ::  $'a \text{ cfg} \Rightarrow 'a \Rightarrow \text{bool}$  **where**  
*is-symbol*  $\mathcal{G} \ x \equiv \text{is-terminal } \mathcal{G} \ x \vee \text{is-nonterminal } \mathcal{G} \ x$

**definition** *wf-sentence* ::  $'a \text{ cfg} \Rightarrow 'a \text{ sentence} \Rightarrow \text{bool}$  **where**  
*wf-sentence*  $\mathcal{G} \ \omega \equiv \forall x \in \text{set } \omega. \text{is-symbol } \mathcal{G} \ x$

**lemma** *is-nonterminal-startsymbol*:  
*wf- $\mathcal{G}$*   $\mathcal{G} \Longrightarrow \text{is-nonterminal } \mathcal{G} \ (\mathfrak{S} \mathcal{G})$   
**by** (*simp add: is-nonterminal-def wf- $\mathcal{G}$ -defs*)

**definition** *is-word* ::  $'a \text{ cfg} \Rightarrow 'a \text{ sentence} \Rightarrow \text{bool}$  **where**  
*is-word*  $\mathcal{G} \ \omega \equiv \forall x \in \text{set } \omega. \text{is-terminal } \mathcal{G} \ x$

**definition** *derives1* ::  $'a \text{ cfg} \Rightarrow 'a \text{ sentence} \Rightarrow 'a \text{ sentence} \Rightarrow \text{bool}$  **where**  
*derives1*  $\mathcal{G} \ u \ v \equiv \exists x \ y \ N \ \alpha.$   
 $u = x \ @ \ [N] \ @ \ y \wedge$   
 $v = x \ @ \ \alpha \ @ \ y \wedge$   
 $(N, \alpha) \in \text{set } (\mathfrak{R} \mathcal{G})$

**definition** *derivations1* ::  $'a \text{ cfg} \Rightarrow ('a \text{ sentence} \times 'a \text{ sentence}) \text{ set}$  **where**  
*derivations1*  $\mathcal{G} \equiv \{ (u, v) \mid u \ v. \text{derives1 } \mathcal{G} \ u \ v \}$

**definition** *derivations* :: 'a cfg  $\Rightarrow$  ('a sentence  $\times$  'a sentence) set **where**  
*derivations*  $\mathcal{G} \equiv (\text{derivations1 } \mathcal{G})^*$

**definition** *derives* :: 'a cfg  $\Rightarrow$  'a sentence  $\Rightarrow$  'a sentence  $\Rightarrow$  bool **where**  
*derives*  $\mathcal{G} \ u \ v \equiv ((u, v) \in \text{derivations } \mathcal{G})$

**end**

**theory** *Derivations*

**imports**

*CFG*

**begin**

### 3 Adjusted content from AFP/LocalLexing

**type-synonym** 'a derivation = (nat  $\times$  'a rule) list

**lemma** *is-word-empty*: is-word  $\mathcal{G} \ []$  **by** (auto simp add: is-word-def)

**lemma** *derivations1-implies-derives*[simp]:

*derivations1*  $\mathcal{G} \ a \ b \Longrightarrow \text{derives } \mathcal{G} \ a \ b$

**by** (auto simp add: derives-def derivations-def derivations1-def)

**lemma** *derives-trans*:

*derives*  $\mathcal{G} \ a \ b \Longrightarrow \text{derives } \mathcal{G} \ b \ c \Longrightarrow \text{derives } \mathcal{G} \ a \ c$

**by** (auto simp add: derives-def derivations-def)

**lemma** *derivations1-eq-derivations1*:

*derivations1*  $\mathcal{G} \ x \ y = ((x, y) \in \text{derivations1 } \mathcal{G})$

**by** (simp add: derivations1-def)

**lemma** *derives-induct*[consumes 1, case-names Base Step]:

**assumes** *derives*: *derives*  $\mathcal{G} \ a \ b$

**assumes** *Pa*:  $P \ a$

**assumes** *induct*:  $\bigwedge y \ z. \text{derives } \mathcal{G} \ a \ y \Longrightarrow \text{derivations1 } \mathcal{G} \ y \ z \Longrightarrow P \ y \Longrightarrow P \ z$

**shows**  $P \ b$

**proof** –

**note** *rtrancl-lemma* = *rtrancl-induct*[**where**  $a = a$  **and**  $b = b$  **and**  $r = \text{derivations1 } \mathcal{G}$  **and**  $P=P$ ]

**from** *derives* *Pa* *induct* *rtrancl-lemma* **show**  $P \ b$

**by** (metis *derives-def* *derivations-def* *derivations1-eq-derivations1*)

**qed**

**definition** *Derives1* :: 'a cfg  $\Rightarrow$  'a sentence  $\Rightarrow$  nat  $\Rightarrow$  'a rule  $\Rightarrow$  'a sentence  $\Rightarrow$  bool **where**

*Derives1*  $\mathcal{G} \ u \ i \ r \ v \equiv \exists \ x \ y \ N \ \alpha.$

$u = x \ @ \ [N] \ @ \ y \ \wedge$

$v = x \ @ \ \alpha \ @ \ y \ \wedge$

$(N, \alpha) \in \text{set } (\mathfrak{R} \ \mathcal{G}) \ \wedge \ r = (N, \alpha) \ \wedge \ i = \text{length } x$

**lemma** *Derives1-split*:

$Derives1 \mathcal{G} u i r v \implies \exists x y. u = x @ [fst r] @ y \wedge v = x @ (snd r) @ y \wedge$   
 $length\ x = i$   
**by** (*metis Derives1-def fst-conv snd-conv*)

**lemma** *Derives1-implies-derives1*:  $Derives1 \mathcal{G} u i r v \implies derives1 \mathcal{G} u v$   
**by** (*auto simp add: Derives1-def derives1-def*)

**lemma** *derives1-implies-Derives1*:  $derives1 \mathcal{G} u v \implies \exists i r. Derives1 \mathcal{G} u i r v$   
**by** (*auto simp add: Derives1-def derives1-def*)

**fun** *Derivation* :: 'a cfg  $\Rightarrow$  'a sentence  $\Rightarrow$  'a derivation  $\Rightarrow$  'a sentence  $\Rightarrow$  bool  
**where**

$Derivation - a [] b = (a = b)$   
 $| Derivation \mathcal{G} a (d\#D) b = (\exists x. Derives1 \mathcal{G} a (fst d) (snd d) x \wedge Derivation \mathcal{G} x D b)$

**lemma** *Derivation-implies-derives*:  $Derivation \mathcal{G} a D b \implies derives \mathcal{G} a b$

**proof** (*induct D arbitrary: a b*)

**case** *Nil* **thus** *?case*

**by** (*simp add: derives-def derivations-def*)

**next**

**case** (*Cons d D*)

**note** *ihyps = this*

**from** *ihyps* **have**  $\exists x. Derives1 \mathcal{G} a (fst d) (snd d) x \wedge Derivation \mathcal{G} x D b$  **by**  
*auto*

**then obtain** *x* **where**  $Derives1 \mathcal{G} a (fst d) (snd d) x$  **and** *xb*:  $Derivation \mathcal{G} x D b$   
**by** *blast*

**with** *Derives1-implies-derives1* **have** *d1*:  $derives \mathcal{G} a x$  **by** *fastforce*

**from** *ihyps xb* **have** *d2*:  $derives \mathcal{G} x b$  **by** *simp*

**show**  $derives \mathcal{G} a b$  **by** (*rule derives-trans[OF d1 d2]*)

**qed**

**lemma** *Derivation-Derives1*:  $Derivation \mathcal{G} a S y \implies Derives1 \mathcal{G} y i r z \implies$   
 $Derivation \mathcal{G} a (S@[i,r]) z$

**proof** (*induct S arbitrary: a y z i r*)

**case** *Nil* **thus** *?case* **by** *simp*

**next**

**case** (*Cons s S*) **thus** *?case*

**by** (*metis Derivation.simps(2) append-Cons*)

**qed**

**lemma** *derives-implies-Derivation*:  $derives \mathcal{G} a b \implies \exists D. Derivation \mathcal{G} a D b$

**proof** (*induct rule: derives-induct*)

**case** *Base*

**show** *?case* **by** (*rule exI[where x=[]], simp*)

**next**

**case** (*Step y z*)



```

note ihyps = this
from ihyps obtain D where ay: Derivation G a D y by blast
from ihyps derives1-implies-Derives1 obtain i r where yz: Derives1 G y i r z
by blast
from Derivation-Derives1 [OF ay yz] show ?case by auto
qed

```

```

lemma rule-nonterminal-type[simp]: wf-G G  $\implies$  (N,  $\alpha$ )  $\in$  set ( $\mathfrak{R}$  G)  $\implies$  is-nonterminal G N

```

```

by (auto simp add: is-nonterminal-def wf-G-defs)

```

```

lemma Derives1-rule [elim]: Derives1 G a i r b  $\implies$  r  $\in$  set ( $\mathfrak{R}$  G)
using Derives1-def by metis

```

```

lemma is-terminal-nonterminal: wf-G G  $\implies$  is-terminal G x  $\implies$  is-nonterminal G x  $\implies$  False

```

```

by (auto simp: wf-G-defs disjoint-iff is-nonterminal-def is-terminal-def)

```

```

lemma is-word-is-terminal: i < length u  $\implies$  is-word G u  $\implies$  is-terminal G (u ! i)

```

```

using is-word-def by force

```

```

lemma Derivation-append: Derivation G a (D@E) c = ( $\exists$  b. Derivation G a D b  $\wedge$  Derivation G b E c)

```

```

by (induct D arbitrary: a c E) auto

```

```

lemma Derivation-implies-append:

```

```

Derivation G a D b  $\implies$  Derivation G b E c  $\implies$  Derivation G a (D@E) c

```

```

using Derivation-append by blast

```

## 4 Additional derivation lemmas

```

lemma Derives1-prepend:

```

```

assumes Derives1 G u i r v

```

```

shows Derives1 G (w@u) (i + length w) r (w@v)

```

```

proof –

```

```

obtain x y N  $\alpha$  where *:

```

```

u = x @ [N] @ y v = x @  $\alpha$  @ y

```

```

(N,  $\alpha$ )  $\in$  set ( $\mathfrak{R}$  G) r = (N,  $\alpha$ ) i = length x

```

```

using assms Derives1-def by (smt (verit))

```

```

hence w@u = w @ x @ [N] @ y w@v = w @ x @  $\alpha$  @ y

```

```

by auto

```

```

thus ?thesis

```

```

unfolding Derives1-def using *

```

```

apply (rule-tac exI[where x=w@x])

```

```

apply (rule-tac exI[where x=y])

```

```

by simp

```

```

qed

```

**lemma** *Derivation-prepend:*

*Derivation*  $\mathcal{G} \ b \ D \ b' \implies \text{Derivation } \mathcal{G} \ (a@b) \ (\text{map } (\lambda(i, r). (i + \text{length } a, r)) \ D)$   
( $a@b'$ )

**using** *Derives1-prepend by* (*induction D arbitrary: b b'*) (*auto, fast*)

**lemma** *Derives1-append:*

**assumes** *Derives1*  $\mathcal{G} \ u \ i \ r \ v$

**shows** *Derives1*  $\mathcal{G} \ (u@w) \ i \ r \ (v@w)$

**proof** –

**obtain**  $x \ y \ N \ \alpha$  **where** \*:

$u = x @ [N] @ y \ v = x @ \alpha @ y$

$(N, \alpha) \in \text{set } (\mathfrak{R} \ \mathcal{G}) \ r = (N, \alpha) \ i = \text{length } x$

**using** *assms Derives1-def by* (*smt (verit)*)

**hence**  $u@w = x @ [N] @ y @ w \ v@w = x @ \alpha @ y @ w$

**by** *auto*

**thus** *?thesis*

**unfolding** *Derives1-def using* \*

**apply** (*rule-tac exI[where x=x]*)

**apply** (*rule-tac exI[where x=y@w]*)

**by** *blast*

**qed**

**lemma** *Derivation-append':*

*Derivation*  $\mathcal{G} \ a \ D \ a' \implies \text{Derivation } \mathcal{G} \ (a@b) \ D \ (a'@b)$

**using** *Derives1-append by* (*induction D arbitrary: a a'*) (*auto, fast*)

**lemma** *Derivation-append-rewrite:*

**assumes** *Derivation*  $\mathcal{G} \ a \ D \ (b @ c @ d) \ \text{Derivation } \mathcal{G} \ c \ E \ c'$

**shows**  $\exists F. \text{Derivation } \mathcal{G} \ a \ F \ (b @ c' @ d)$

**using** *assms Derivation-append' Derivation-prepend Derivation-implies-append*

**by** *fast*

**lemma** *derives1-if-valid-rule:*

$(N, \alpha) \in \text{set } (\mathfrak{R} \ \mathcal{G}) \implies \text{derives1 } \mathcal{G} \ [N] \ \alpha$

**unfolding** *derives1-def*

**apply** (*rule-tac exI[where x=[]]*)

**apply** (*rule-tac exI[where x=[]]*)

**by** *simp*

**lemma** *derives-if-valid-rule:*

$(N, \alpha) \in \text{set } (\mathfrak{R} \ \mathcal{G}) \implies \text{derives } \mathcal{G} \ [N] \ \alpha$

**using** *derives1-if-valid-rule by fastforce*

**lemma** *Derivation-from-empty:*

*Derivation*  $\mathcal{G} \ [] \ D \ a \implies a = []$

**by** (*cases D*) (*auto simp: Derives1-def*)

**lemma** *Derivation-concat-split:*

*Derivation*  $\mathcal{G} \ (a@b) \ D \ c \implies \exists E \ F \ a' \ b'. \text{Derivation } \mathcal{G} \ a \ E \ a' \wedge \text{Derivation } \mathcal{G} \ b$

$F b' \wedge$   
 $c = a' @ b' \wedge \text{length } E \leq \text{length } D \wedge \text{length } F \leq \text{length } D$   
**proof** (*induction*  $D$  *arbitrary*:  $a b$ )  
**case** *Nil*  
**thus** *?case*  
**by** (*metis* *Derivation.simps(1)* *order-refl*)  
**next**  
**case** (*Cons*  $d D$ )  
**then obtain**  $ab$  **where**  $*$ : *Derives1*  $\mathcal{G}$  ( $a@b$ ) (*fst*  $d$ ) (*snd*  $d$ )  $ab$  *Derivation*  $\mathcal{G}$   $ab$   
 $D c$   
**by** *auto*  
**then obtain**  $x y N \alpha$  **where**  $\#$ :  
 $a@b = x @ [N] @ y$   $ab = x @ \alpha @ y$   $(N, \alpha) \in \text{set } (\mathfrak{R} \mathcal{G})$   $\text{snd } d = (N, \alpha)$   $\text{fst } d$   
 $= \text{length } x$   
**using**  $*$  **unfolding** *Derives1-def* **by** *blast*  
**show** *?case*  
**proof** (*cases*  $\text{length } a \leq \text{length } x$ )  
**case** *True*  
**hence** *ab-def*:  
 $a = \text{take } (\text{length } a) x$   
 $b = \text{drop } (\text{length } a) x @ [N] @ y$   
 $ab = \text{take } (\text{length } a) x @ \text{drop } (\text{length } a) x @ \alpha @ y$   
**using**  $\#(1,2)$  *True* **by** (*metis* *append-eq-append-conv-if*)  
**then obtain**  $E F a' b'$  **where** *IH*:  
 $\text{Derivation } \mathcal{G}$  ( $\text{take } (\text{length } a) x$ )  $E a'$   
 $\text{Derivation } \mathcal{G}$  ( $\text{drop } (\text{length } a) x @ \alpha @ y$ )  $F b'$   
 $c = a' @ b'$   
 $\text{length } E \leq \text{length } D$   
 $\text{length } F \leq \text{length } D$   
**using** *Cons \*(2)* **by** *blast*  
**have** *Derives1*  $\mathcal{G}$   $b$  (*fst*  $d - \text{length } a$ ) (*snd*  $d$ ) ( $\text{drop } (\text{length } a) x @ \alpha @ y$ )  
**unfolding** *Derives1-def* **using**  $\#(1)$   $\#(3-5)$  *ab-def(2)* **by** (*metis* *length-drop*)  
**hence** *Derivation*  $\mathcal{G}$   $b$  (*fst*  $d - \text{length } a$ , *snd*  $d$ )  $\# F$ )  $b'$   
**using** *IH(2)* **by** *force*  
**moreover have** *Derivation*  $\mathcal{G}$   $a$   $E a'$   
**using** *IH(1)* *ab-def(1)* **by** *fastforce*  
**ultimately show** *?thesis*  
**using** *IH(3-5)* **by** *fastforce*  
**next**  
**case** *False*  
**hence** *a-def*:  $a = x @ [N] @ \text{take } (\text{length } a - \text{length } x - 1) y$   
**using**  $\#(1)$  *append-eq-conv-conj*[*of*  $a b x @ [N] @ y$ ] *take-all-iff take-append*  
**by** (*metis* *append-Cons append-Nil diff-is-0-eq le-cases take-Cons'*)  
**hence** *b-def*:  $b = \text{drop } (\text{length } a - \text{length } x - 1) y$   
**using**  $\#(1)$  **by** (*metis* *List.append.assoc append-take-drop-id same-append-eq*)  
**have**  $ab = x @ \alpha @ \text{take } (\text{length } a - \text{length } x - 1) y @ \text{drop } (\text{length } a - \text{length } x - 1) y$   
**using**  $\#(2)$  **by** *force*  
**then obtain**  $E F a' b'$  **where** *IH*:

```

Derivation  $\mathcal{G}$  (x @  $\alpha$  @ take (length a - length x - 1) y) E a'
Derivation  $\mathcal{G}$  (drop (length a - length x - 1) y) F b'
c = a' @ b'
length E  $\leq$  length D
length F  $\leq$  length D
using Cons.IH[of x @  $\alpha$  @ take (length a - length x - 1) y drop (length a
- length x - 1) y] *(2) by auto
have Derives1  $\mathcal{G}$  a (fst d) (snd d) (x @  $\alpha$  @ take (length a - length x - 1) y)
unfolding Derives1-def using #(3-5) a-def by blast
hence Derivation  $\mathcal{G}$  a ((fst d, snd d) # E) a'
using IH(1) by fastforce
moreover have Derivation  $\mathcal{G}$  b F b'
using b-def IH(2) by blast
ultimately show ?thesis
using IH(3-5) by fastforce
qed
qed

```

**lemma** Derivation- $\mathfrak{S}1$ :

```

assumes Derivation  $\mathcal{G}$  [ $\mathfrak{S}$   $\mathcal{G}$ ] D  $\omega$  is-word  $\mathcal{G}$   $\omega$  wf- $\mathcal{G}$   $\mathcal{G}$ 
shows  $\exists \alpha E$ . Derivation  $\mathcal{G}$   $\alpha$  E  $\omega$   $\wedge$  ( $\mathfrak{S}$   $\mathcal{G}$ ,  $\alpha$ )  $\in$  set ( $\mathfrak{R}$   $\mathcal{G}$ )
proof (cases D)
case Nil
thus ?thesis
using assms is-nonterminal-startsymbol is-terminal-nonterminal by (metis
Derivation.simps(1) is-word-def list.set-intros(1))
next
case (Cons d D)
then obtain  $\alpha$  where Derives1  $\mathcal{G}$  [ $\mathfrak{S}$   $\mathcal{G}$ ] (fst d) (snd d)  $\alpha$  Derivation  $\mathcal{G}$   $\alpha$  D  $\omega$ 
using assms by auto
hence ( $\mathfrak{S}$   $\mathcal{G}$ ,  $\alpha$ )  $\in$  set ( $\mathfrak{R}$   $\mathcal{G}$ )
unfolding Derives1-def
by (metis List.append.right-neutral List.list.discI append-eq-Cons-conv append-is-Nil-conv
nth-Cons-0 self-append-conv2)
thus ?thesis
using  $\langle$ Derivation  $\mathcal{G}$   $\alpha$  D  $\omega$  $\rangle$  by auto
qed

```

**end**

**theory** Earley

**imports**

Derivations

**begin**

## 5 Slices

**fun** slice :: nat  $\Rightarrow$  nat  $\Rightarrow$  'a list  $\Rightarrow$  'a list **where**

slice - - [] = []

| slice - 0 (x#xs) = []

|  $\text{slice } 0 \text{ (Suc } b \text{) (} x\#xs \text{)} = x \# \text{slice } 0 \text{ } b \text{ } xs$   
|  $\text{slice (Suc } a \text{) (Suc } b \text{) (} x\#xs \text{)} = \text{slice } a \text{ } b \text{ } xs$

**lemma** *slice-drop-take*:

$\text{slice } a \text{ } b \text{ } xs = \text{drop } a \text{ (take } b \text{ } xs)$

**by** (*induction a b xs rule: slice.induct*) *auto*

**lemma** *slice-append-aux*:

$\text{Suc } b \leq c \implies \text{slice (Suc } b \text{) } c \text{ (} x \# xs \text{)} = \text{slice } b \text{ (} c-1 \text{) } xs$

**using** *Suc-le-D* **by** *fastforce*

**lemma** *slice-concat*:

$a \leq b \implies b \leq c \implies \text{slice } a \text{ } b \text{ } xs \text{ @ slice } b \text{ } c \text{ } xs = \text{slice } a \text{ } c \text{ } xs$

**proof** (*induction a b xs arbitrary: c rule: slice.induct*)

**case** ( $\exists b \ x \ xs$ )

**then show** *?case*

**using** *Suc-le-D* **by** (*fastforce simp: slice-append-aux*)

**qed** (*auto simp: slice-append-aux*)

**lemma** *slice-concat-Ex*:

$a \leq c \implies \text{slice } a \text{ } c \text{ } xs = ys \text{ @ } zs \implies \exists b. \text{ys} = \text{slice } a \text{ } b \text{ } xs \wedge \text{zs} = \text{slice } b \text{ } c \text{ } xs \wedge a \leq b \wedge b \leq c$

**proof** (*induction a c xs arbitrary: ys zs rule: slice.induct*)

**case** ( $\exists b \ x \ xs$ )

**show** *?case*

**proof** (*cases ys*)

**case** *Nil*

**then obtain** *zs'* **where**  $x \# \text{slice } 0 \text{ } b \text{ } xs = x \# zs' \ x \# zs' = zs$

**using**  $\exists$ .prems(2) **by** *auto*

**thus** *?thesis*

**using** *Nil* **by** *force*

**next**

**case** (*Cons y ys'*)

**then obtain** *ys'* **where**  $x \# \text{slice } 0 \text{ } b \text{ } xs = x \# ys' \text{ @ } zs \ x \# ys' = ys$

**using**  $\exists$ .prems(2) **by** *auto*

**thus** *?thesis*

**using**  $\exists$ .IH[*of ys' zs*] **by** *force*

**qed**

**next**

**case** ( $\exists a \ b \ x \ xs$ )

**thus** *?case*

**by** (*auto,metis slice.simps(4) Suc-le-mono*)

**qed** *auto*

**lemma** *slice-nth*:

$a < \text{length } xs \implies \text{slice } a \text{ (} a+1 \text{) } xs = [xs!a]$

**unfolding** *slice-drop-take*

**by** (*metis Cons-nth-drop-Suc One-nat-def diff-add-inverse drop-take take-Suc-Cons take-eq-Nil*)

**lemma** *slice-append-nth*:

$a \leq b \implies b < \text{length } xs \implies \text{slice } a \ b \ xs \ @ \ [xs!b] = \text{slice } a \ (b+1) \ xs$   
**by** (*metis le-add1 slice-concat slice-nth*)

**lemma** *slice-empty*:

$b \leq a \implies \text{slice } a \ b \ xs = []$   
**by** (*simp add: slice-drop-take*)

**lemma** *slice-id[*simp*]*:

$\text{slice } 0 \ (\text{length } xs) \ xs = xs$   
**by** (*simp add: slice-drop-take*)

**lemma** *slice-singleton*:

$b \leq \text{length } xs \implies [x] = \text{slice } a \ b \ xs \implies b = a + 1$   
**by** (*induction a b xs rule: slice.induct*) (*auto simp: slice-drop-take*)

## 6 Earley recognizer

### 6.1 Earley items

**definition** *rule-head* :: 'a rule  $\Rightarrow$  'a **where**

*rule-head*  $\equiv$  *fst*

**definition** *rule-body* :: 'a rule  $\Rightarrow$  'a list **where**

*rule-body*  $\equiv$  *snd*

**datatype** 'a item =

*Item* (*item-rule*: 'a rule) (*item-dot* : nat) (*item-origin* : nat) (*item-end* : nat)

**definition** *item-rule-head* :: 'a item  $\Rightarrow$  'a **where**

*item-rule-head*  $x \equiv$  *rule-head* (*item-rule*  $x$ )

**definition** *item-rule-body* :: 'a item  $\Rightarrow$  'a sentence **where**

*item-rule-body*  $x \equiv$  *rule-body* (*item-rule*  $x$ )

**definition** *item- $\alpha$*  :: 'a item  $\Rightarrow$  'a sentence **where**

*item- $\alpha$*   $x \equiv$  *take* (*item-dot*  $x$ ) (*item-rule-body*  $x$ )

**definition** *item- $\beta$*  :: 'a item  $\Rightarrow$  'a sentence **where**

*item- $\beta$*   $x \equiv$  *drop* (*item-dot*  $x$ ) (*item-rule-body*  $x$ )

**definition** *is-complete* :: 'a item  $\Rightarrow$  bool **where**

*is-complete*  $x \equiv$  *item-dot*  $x \geq \text{length}$  (*item-rule-body*  $x$ )

**definition** *next-symbol* :: 'a item  $\Rightarrow$  'a option **where**

*next-symbol*  $x \equiv$  *if is-complete*  $x$  *then* *None* *else* *Some* (*item-rule-body*  $x$  ! *item-dot*  $x$ )

**lemmas** *item-defs* = *item-rule-head-def* *item-rule-body-def* *item- $\alpha$ -def* *item- $\beta$ -def* *rule-head-def* *rule-body-def*

**definition** *is-finished* :: 'a cfg  $\Rightarrow$  'a sentence  $\Rightarrow$  'a item  $\Rightarrow$  bool **where**

*is-finished*  $\mathcal{G}$   $\omega$   $x \equiv$   
*item-rule-head*  $x = \mathfrak{S} \mathcal{G} \wedge$   
*item-origin*  $x = 0 \wedge$   
*item-end*  $x = \text{length } \omega \wedge$   
*is-complete*  $x$

**definition** *recognizing* :: 'a item set  $\Rightarrow$  'a cfg  $\Rightarrow$  'a sentence  $\Rightarrow$  bool **where**

*recognizing*  $I \mathcal{G} \omega \equiv \exists x \in I. \text{is-finished } \mathcal{G} \omega x$

**inductive-set** *Earley* :: 'a cfg  $\Rightarrow$  'a sentence  $\Rightarrow$  'a item set

**for**  $\mathcal{G}$  :: 'a cfg **and**  $\omega$  :: 'a sentence **where**

*Init*:  $r \in \text{set } (\mathfrak{R} \mathcal{G}) \Longrightarrow \text{fst } r = \mathfrak{S} \mathcal{G} \Longrightarrow$

*Item*  $r \ 0 \ 0 \ 0 \in \text{Earley } \mathcal{G} \ \omega$

| *Scan*:  $x = \text{Item } r \ b \ i \ j \Longrightarrow x \in \text{Earley } \mathcal{G} \ \omega \Longrightarrow$

$\omega!j = a \Longrightarrow j < \text{length } \omega \Longrightarrow \text{next-symbol } x = \text{Some } a \Longrightarrow$

*Item*  $r \ (b + 1) \ i \ (j + 1) \in \text{Earley } \mathcal{G} \ \omega$

| *Predict*:  $x = \text{Item } r \ b \ i \ j \Longrightarrow x \in \text{Earley } \mathcal{G} \ \omega \Longrightarrow$

$r' \in \text{set } (\mathfrak{R} \mathcal{G}) \Longrightarrow \text{next-symbol } x = \text{Some } (\text{rule-head } r') \Longrightarrow$

*Item*  $r' \ 0 \ j \ j \in \text{Earley } \mathcal{G} \ \omega$

| *Complete*:  $x = \text{Item } r_x \ b_x \ i \ j \Longrightarrow x \in \text{Earley } \mathcal{G} \ \omega \Longrightarrow y = \text{Item } r_y \ b_y \ j \ k \Longrightarrow$   
 $y \in \text{Earley } \mathcal{G} \ \omega \Longrightarrow$

*is-complete*  $y \Longrightarrow \text{next-symbol } x = \text{Some } (\text{item-rule-head } y) \Longrightarrow$

*Item*  $r_x \ (b_x + 1) \ i \ k \in \text{Earley } \mathcal{G} \ \omega$

## 6.2 Well-formedness

**definition** *wf-item* :: 'a cfg  $\Rightarrow$  'a sentence  $\Rightarrow$  'a item  $\Rightarrow$  bool **where**

*wf-item*  $\mathcal{G} \ \omega \ x \equiv$   
*item-rule*  $x \in \text{set } (\mathfrak{R} \mathcal{G}) \wedge$   
*item-dot*  $x \leq \text{length } (\text{item-rule-body } x) \wedge$   
*item-origin*  $x \leq \text{item-end } x \wedge$   
*item-end*  $x \leq \text{length } \omega$

**lemma** *wf-Init*:

**assumes**  $r \in \text{set } (\mathfrak{R} \mathcal{G}) \ \text{fst } r = \mathfrak{S} \mathcal{G}$

**shows** *wf-item*  $\mathcal{G} \ \omega \ (\text{Item } r \ 0 \ 0 \ 0)$

**using** *assms* **unfolding** *wf-item-def* **by** *simp*

**lemma** *wf-Scan*:

**assumes**  $x = \text{Item } r \ b \ i \ j \ \text{wf-item } \mathcal{G} \ \omega \ x \ \omega!j = a \ j < \text{length } \omega \ \text{next-symbol } x = \text{Some } a$

**shows** *wf-item*  $\mathcal{G} \ \omega \ (\text{Item } r \ (b + 1) \ i \ (j + 1))$

**using** *assms* **unfolding** *wf-item-def* **by** (*auto simp: item-defs is-complete-def next-symbol-def split: if-splits*)

**lemma** *wf-Predict*:

**assumes**  $x = \text{Item } r \ b \ i \ j \ \text{wf-item } \mathcal{G} \ \omega \ x \ r' \in \text{set } (\mathfrak{R} \ \mathcal{G}) \ \text{next-symbol } x = \text{Some}$   
*(rule-head r')*  
**shows**  $\text{wf-item } \mathcal{G} \ \omega \ (\text{Item } r' \ 0 \ j \ j)$   
**using** *assms unfolding wf-item-def by simp*

**lemma** *wf-Complete*:

**assumes**  $x = \text{Item } r_x \ b_x \ i \ j \ \text{wf-item } \mathcal{G} \ \omega \ x \ y = \text{Item } r_y \ b_y \ j \ k \ \text{wf-item } \mathcal{G} \ \omega \ y$   
**assumes** *is-complete y next-symbol x = Some (item-rule-head y)*  
**shows**  $\text{wf-item } \mathcal{G} \ \omega \ (\text{Item } r_x \ (b_x + 1) \ i \ k)$   
**using** *assms unfolding wf-item-def is-complete-def next-symbol-def item-rule-body-def*  
**by** *(auto split: if-splits)*

**lemma** *wf-Earley*:

**assumes**  $x \in \text{Earley } \mathcal{G} \ \omega$   
**shows**  $\text{wf-item } \mathcal{G} \ \omega \ x$   
**using** *assms wf-Init wf-Scan wf-Predict wf-Complete*  
**by** *(induction rule: Earley.induct) fast+*

### 6.3 Soundness

**definition** *sound-item* ::  $'a \ \text{cfg} \Rightarrow 'a \ \text{sentence} \Rightarrow 'a \ \text{item} \Rightarrow \text{bool}$  **where**

$\text{sound-item } \mathcal{G} \ \omega \ x \equiv \text{derives } \mathcal{G} \ [\text{item-rule-head } x] \ (\text{slice } (\text{item-origin } x) \ (\text{item-end } x) \ \omega \ @ \ \text{item-}\beta \ x)$

**lemma** *sound-Init*:

**assumes**  $r \in \text{set } (\mathfrak{R} \ \mathcal{G}) \ \text{fst } r = \mathfrak{S} \ \mathcal{G}$   
**shows**  $\text{sound-item } \mathcal{G} \ \omega \ (\text{Item } r \ 0 \ 0 \ 0)$

**proof** –

**let**  $?x = \text{Item } r \ 0 \ 0 \ 0$   
**have**  $(\text{item-rule-head } ?x, \ \text{item-}\beta \ ?x) \in \text{set } (\mathfrak{R} \ \mathcal{G})$   
**using** *assms(1) by (simp add: item-defs)*  
**hence**  $\text{derives } \mathcal{G} \ [\text{item-rule-head } ?x] \ (\text{item-}\beta \ ?x)$   
**using** *derives-if-valid-rule by metis*  
**thus**  $\text{sound-item } \mathcal{G} \ \omega \ ?x$   
**unfolding** *sound-item-def by (simp add: slice-empty)*

**qed**

**lemma** *sound-Scan*:

**assumes**  $x = \text{Item } r \ b \ i \ j \ \text{wf-item } \mathcal{G} \ \omega \ x \ \text{sound-item } \mathcal{G} \ \omega \ x$   
**assumes**  $\omega!j = a \ j < \text{length } \omega \ \text{next-symbol } x = \text{Some } a$   
**shows**  $\text{sound-item } \mathcal{G} \ \omega \ (\text{Item } r \ (b+1) \ i \ (j+1))$

**proof** –

**define**  $x'$  **where** *[simp]:*  $x' = \text{Item } r \ (b+1) \ i \ (j+1)$   
**obtain**  $\text{item-}\beta'$  **where**  $*$ :  $\text{item-}\beta \ x = a \ \# \ \text{item-}\beta' \ \text{item-}\beta \ x' = \text{item-}\beta'$   
**using** *assms(1,6) apply (auto simp: item-defs next-symbol-def is-complete-def split: if-splits)*  
**by** *(metis Cons-nth-drop-Suc leI)*  
**have**  $\text{slice } i \ j \ \omega \ @ \ \text{item-}\beta \ x = \text{slice } i \ (j+1) \ \omega \ @ \ \text{item-}\beta'$



**using** \* *assms*(1,2,4,5) **by** (*auto simp: slice-append-nth wf-item-def*)  
**moreover have** *derives*  $\mathcal{G}$  [*item-rule-head*  $x$ ] (*slice*  $i$   $j$   $\omega$  @ *item- $\beta$*   $x$ )  
**using** *assms*(1,3) *sound-item-def* **by force**  
**ultimately show** ?*thesis*  
**using** *assms*(1) \* **by** (*auto simp: item-defs sound-item-def*)  
**qed**

**lemma** *sound-Predict*:

**assumes**  $x = \text{Item } r \ b \ i \ j \ \text{wf-item } \mathcal{G} \ \omega \ x \ \text{sound-item } \mathcal{G} \ \omega \ x$   
**assumes**  $r' \in \text{set } (\mathfrak{R} \ \mathcal{G}) \ \text{next-symbol } x = \text{Some } (\text{rule-head } r')$   
**shows** *sound-item*  $\mathcal{G} \ \omega \ (\text{Item } r' \ 0 \ j \ j)$   
**using** *assms* **by** (*auto simp: sound-item-def derives-if-valid-rule slice-empty item-defs*)

**lemma** *sound-Complete*:

**assumes**  $x = \text{Item } r_x \ b_x \ i \ j \ \text{wf-item } \mathcal{G} \ \omega \ x \ \text{sound-item } \mathcal{G} \ \omega \ x$   
**assumes**  $y = \text{Item } r_y \ b_y \ j \ k \ \text{wf-item } \mathcal{G} \ \omega \ y \ \text{sound-item } \mathcal{G} \ \omega \ y$   
**assumes** *is-complete*  $y \ \text{next-symbol } x = \text{Some } (\text{item-rule-head } y)$   
**shows** *sound-item*  $\mathcal{G} \ \omega \ (\text{Item } r_x \ (b_x + 1) \ i \ k)$

**proof** –

**have** *derives*  $\mathcal{G}$  [*item-rule-head*  $y$ ] (*slice*  $j$   $k$   $\omega$ )  
**using** *assms*(4,6,7) **by** (*auto simp: sound-item-def is-complete-def item-defs*)  
**then obtain**  $E$  **where**  $E: \text{Derivation } \mathcal{G} \ [\text{item-rule-head } y] \ E \ (\text{slice } j \ k \ \omega)$   
**using** *derives-implies-Derivation* **by blast**  
**have** *derives*  $\mathcal{G}$  [*item-rule-head*  $x$ ] (*slice*  $i$   $j$   $\omega$  @ *item- $\beta$*   $x$ )  
**using** *assms*(1,3,4) **by** (*auto simp: sound-item-def*)  
**moreover have**  $0: \text{item-}\beta \ x = (\text{item-rule-head } y) \ \# \ \text{tl } (\text{item-}\beta \ x)$   
**using** *assms*(8) **apply** (*auto simp: next-symbol-def is-complete-def item-defs*  
*split: if-splits*)  
**by** (*metis drop-eq-Nil hd-drop-conv-nth leI list.collapse*)  
**ultimately obtain**  $D$  **where**  $D:$   
 $\text{Derivation } \mathcal{G} \ [\text{item-rule-head } x] \ D \ (\text{slice } i \ j \ \omega \ @ \ [\text{item-rule-head } y] \ @ \ (\text{tl } (\text{item-}\beta \ x)))$   
**using** *derives-implies-Derivation* **by** (*metis append-Cons append-Nil*)  
**obtain**  $F$  **where**  $F:$   
 $\text{Derivation } \mathcal{G} \ [\text{item-rule-head } x] \ F \ (\text{slice } i \ j \ \omega \ @ \ \text{slice } j \ k \ \omega \ @ \ \text{tl } (\text{item-}\beta \ x))$   
**using** *Derivation-append-rewrite*  $D \ E$  **by blast**  
**moreover have**  $i \leq j$   
**using** *assms*(1,2) *wf-item-def* **by force**  
**moreover have**  $j \leq k$   
**using** *assms*(4,5) *wf-item-def* **by force**  
**ultimately have** *derives*  $\mathcal{G}$  [*item-rule-head*  $x$ ] (*slice*  $i$   $k$   $\omega$  @ *tl* (*item- $\beta$*   $x$ ))  
**by** (*metis Derivation-implies-derives append.assoc slice-concat*)  
**thus** *sound-item*  $\mathcal{G} \ \omega \ (\text{Item } r_x \ (b_x + 1) \ i \ k)$   
**using** *assms*(1,4) **by** (*auto simp: sound-item-def item-defs drop-Suc tl-drop*)  
**qed**

**lemma** *sound-Earley*:

**assumes**  $x \in \text{Earley } \mathcal{G} \ \omega \ \text{wf-item } \mathcal{G} \ \omega \ x$   
**shows** *sound-item*  $\mathcal{G} \ \omega \ x$

```

using assms
proof (induction rule: Earley.induct)
  case (Init r)
  thus ?case
    using sound-Init by blast
next
  case (Scan x r b i j a)
  thus ?case
    using wf-Earley sound-Scan by fast
next
  case (Predict x r b i j r')
  thus ?case
    using wf-Earley sound-Predict by blast
next
  case (Complete x rx bx i j y ry by k)
  thus ?case
    using wf-Earley sound-Complete bymetis
qed

```

**theorem** *soundness-Earley:*

```

assumes recognizing (Earley G ω) G ω
shows derives G [G] ω
proof –
  obtain x where x: x ∈ Earley G ω is-finished G ω x
  using assms recognizing-def by blast
  hence sound-item G ω x
  using wf-Earley sound-Earley by blast
  thus ?thesis
  unfolding sound-item-def using x by (auto simp: is-finished-def is-complete-def
item-defs)
qed

```

## 6.4 Completeness

**definition** *partially-completed* :: *nat ⇒ 'a cfg ⇒ 'a sentence ⇒ 'a item set ⇒ ('a derivation ⇒ bool) ⇒ bool* **where**

```

partially-completed k G ω E P ≡ ∀ r b i' i j x a D.
  i ≤ j ∧ j ≤ k ∧ k ≤ length ω ∧
  x = Item r b i' i ∧ x ∈ E ∧ next-symbol x = Some a ∧
  Derivation G [a] D (slice i j ω) ∧ P D →
  Item r (b+1) i' j ∈ E

```

**lemma** *partially-completed-upto:*

```

assumes j ≤ k k ≤ length ω
assumes x = Item (N,α) d i j x ∈ I ∀ x ∈ I. wf-item G ω x
assumes Derivation G (item-β x) D (slice j k ω)
assumes partially-completed k G ω I (λD'. length D' ≤ length D)
shows Item (N,α) (length α) i k ∈ I
using assms

```

**proof** (*induction item- $\beta$  x arbitrary: d i j k N  $\alpha$  x D*)  
**case** *Nil*  
**have** *item- $\alpha$  x =  $\alpha$*   
**using** *Nil(1,4) unfolding item- $\alpha$ -def item- $\beta$ -def item-rule-body-def rule-body-def*  
**by** *simp*  
**hence** *x = Item (N, $\alpha$ ) (length  $\alpha$ ) i j*  
**using** *Nil.hyps Nil.prem(3-5) unfolding wf-item-def item-defs by auto*  
**have** *Derivation  $\mathcal{G}$  [] D (slice j k  $\omega$ )*  
**using** *Nil.hyps Nil.prem(6) by auto*  
**hence** *slice j k  $\omega$  = []*  
**using** *Derivation-from-empty by blast*  
**hence** *j = k*  
**unfolding** *slice-drop-take using Nil.prem(1,2) by simp*  
**thus** *?case*  
**using**  *$\langle x = \text{Item } (N, \alpha) \text{ (length } \alpha) \text{ i j} \rangle$  Nil.prem(4) by blast*  
**next**  
**case** (*Cons b bs*)  
**obtain** *j' E F where* \*:  
*Derivation  $\mathcal{G}$  [b] E (slice j j'  $\omega$ )*  
*Derivation  $\mathcal{G}$  bs F (slice j' k  $\omega$ )*  
*j  $\leq$  j' j'  $\leq$  k length E  $\leq$  length D length F  $\leq$  length D*  
**using** *Derivation-concat-split[of  $\mathcal{G}$  [b] bs D slice j k  $\omega$ ] slice-concat-Ex*  
**using** *Cons.hyps(2) Cons.prem(1,6)*  
**by** (*smt (verit, ccfv-threshold) Cons-eq-appendI append-self-conv2*)  
**have** *next-symbol x = Some b*  
**using** *Cons.hyps(2) unfolding item-defs(4) next-symbol-def is-complete-def*  
**by** (*auto, metis nth-via-drop*)  
**hence** *Item (N,  $\alpha$ ) (d+1) i j'  $\in$  I*  
**using** *Cons.prem(7) unfolding partially-completed-def*  
**using** *Cons.prem(2,3,4) \*(1,3-5) by blast*  
**moreover** **have** *partially-completed k  $\mathcal{G}$   $\omega$  I ( $\lambda D'$ . length D'  $\leq$  length F)*  
**using** *Cons.prem(7) \*(6) unfolding partially-completed-def by fastforce*  
**moreover** **have** *bs = item- $\beta$  (Item (N, $\alpha$ ) (d+1) i j')*  
**using** *Cons.hyps(2) Cons.prem(3) unfolding item-defs(4) item-rule-body-def*  
  
**by** (*auto, metis List.list.sel(3) drop-Suc drop-tl*)  
**ultimately show** *?case*  
**using** *Cons.hyps(1) \*(2,4) Cons.prem(2,3,5) wf-item-def by blast*  
**qed**

**lemma** *partially-completed-Earley-k:*  
**assumes** *wf- $\mathcal{G}$   $\mathcal{G}$*   
**shows** *partially-completed k  $\mathcal{G}$   $\omega$  (Earley  $\mathcal{G}$   $\omega$ ) ( $\lambda$ -. True)*  
**unfolding** *partially-completed-def*  
**proof** (*standard, standard, standard, standard, standard, standard, standard, standard, standard*)  
**fix** *r b i' i j x a D*  
**assume**  
*i  $\leq$  j  $\wedge$  j  $\leq$  k  $\wedge$  k  $\leq$  length  $\omega$   $\wedge$*

```

x = Item r b i' i ∧ x ∈ Earley G ω ∧
next-symbol x = Some a ∧
Derivation G [a] D (slice i j ω) ∧ True
thus Item r (b + 1) i' j ∈ Earley G ω
proof (induction length D arbitrary: r b i' i j x a D rule: nat-less-induct)
  case 1
  show ?case
  proof cases
    assume D = []
    hence [a] = slice i j ω
      using 1.prem1 by force
    moreover have j ≤ length ω
      using le-trans 1.prem1 by blast
    ultimately have j = i + 1
      using slice-singleton by metis
    hence i < length ω
      using ⟨j ≤ length ω⟩ discrete by blast
    hence ω!i = a
      using slice-nth ⟨[a] = slice i j ω⟩ ⟨j = i + 1⟩ by fastforce
    hence Item r (b + 1) i' j ∈ Earley G ω
      using Earley.Scan 1.prem1 ⟨i < length ω⟩ ⟨j = i + 1⟩ by metis
    thus ?thesis
      by (simp add: ⟨j = i + 1⟩)
  next
    assume ¬ D = []
    then obtain d D' where D = d # D'
      by (meson List.list.exhaust)
    then obtain α where *: Derives1 G [a] (fst d) (snd d) α Derivation G α D'
      (slice i j ω)
      using 1.prem1 by auto
    hence rule: (a, α) ∈ set (R G) fst d = 0 snd d = (a, α)
      using *(1) unfolding Derives1-def by (simp add: Cons-eq-append-conv)+
    show ?thesis
    proof cases
      assume is-terminal G a
      have is-nonterminal G a
        using rule by (simp add: assms)
      thus ?thesis
        using ⟨is-terminal G a⟩ is-terminal-nonterminal by (metis assms)
    next
      assume ¬ is-terminal G a
      define y where y-def: y = Item (a, α) 0 i i
      have length D' < length D
        using ⟨D = d # D'⟩ by fastforce
      hence partially-completed k G ω (Earley G ω) (λE. length E ≤ length D')
        unfolding partially-completed-def using 1.hyps order-le-less-trans by (smt
        (verit, best))
      hence partially-completed j G ω (Earley G ω) (λE. length E ≤ length D')
        unfolding partially-completed-def using 1.prem1 by force

```

**moreover have** *Derivation*  $\mathcal{G}$  (*item- $\beta$*   $y$ )  $D'$  (*slice*  $i$   $j$   $\omega$ )  
**using**  $*(2)$  **by** (*auto simp: item-defs y-def*)  
**moreover have**  $y \in \text{Earley } \mathcal{G} \ \omega$   
**using**  $y\text{-def } 1.\text{prems rule}$  **by** (*auto simp: item-defs Earley.Predict*)  
**moreover have**  $j \leq \text{length } \omega$   
**using**  $1.\text{prems}$  **by** *simp*  
**ultimately have** *Item* ( $a, \alpha$ ) (*length*  $\alpha$ )  $i$   $j \in \text{Earley } \mathcal{G} \ \omega$   
**using** *partially-completed-upto*  $1.\text{prems wf-Earley } y\text{-def}$  **by** *metis*  
**moreover have**  $x: x = \text{Item } r \ b \ i' \ i \ x \in \text{Earley } \mathcal{G} \ \omega$   
**using**  $1.\text{prems}$  **by** *blast+*  
**moreover have** *next-symbol*  $x = \text{Some } a$   
**using**  $1.\text{prems}$  **by** *linarith*  
**ultimately show** *?thesis*  
**using** *Earley.Complete[OF x]* **by** (*auto simp: is-complete-def item-defs*)  
**qed**  
**qed**  
**qed**  
**qed**

**lemma** *partially-completed-Earley*:

$\text{wf-}\mathcal{G} \ \mathcal{G} \implies \text{partially-completed } (\text{length } \omega) \ \mathcal{G} \ \omega \ (\text{Earley } \mathcal{G} \ \omega) \ (\lambda\cdot. \text{True})$   
**by** (*simp add: partially-completed-Earley-k*)

**theorem** *completeness-Earley*:

**assumes** *derives*  $\mathcal{G}$  [ $\mathfrak{S} \ \mathcal{G}$ ]  $\omega$  *is-word*  $\mathcal{G} \ \omega$  *wf-}\mathcal{G} \ \mathcal{G}  
**shows** *recognizing* ( $\text{Earley } \mathcal{G} \ \omega$ )  $\mathcal{G} \ \omega$*

**proof** –

**obtain**  $\alpha \ D$  **where**  $*$ :  $(\mathfrak{S} \ \mathcal{G}, \alpha) \in \text{set } (\mathfrak{R} \ \mathcal{G}) \ \text{Derivation } \mathcal{G} \ \alpha \ D \ \omega$   
**using** *Derivation-}\mathfrak{S}1* *assms derives-implies-Derivation* **by** *metis*  
**define**  $x$  **where**  $x\text{-def}$ :  $x = \text{Item } (\mathfrak{S} \ \mathcal{G}, \alpha) \ 0 \ 0 \ 0$   
**have** *partially-completed* ( $\text{length } \omega$ )  $\mathcal{G} \ \omega \ (\text{Earley } \mathcal{G} \ \omega) \ (\lambda\cdot. \text{True})$   
**using** *assms(3) partially-completed-Earley* **by** *blast*  
**hence**  $0$ : *partially-completed* ( $\text{length } \omega$ )  $\mathcal{G} \ \omega \ (\text{Earley } \mathcal{G} \ \omega) \ (\lambda D'. \text{length } D' \leq \text{length } D)$   
**unfolding** *partially-completed-def* **by** *blast*  
**have**  $1$ :  $x \in \text{Earley } \mathcal{G} \ \omega$   
**using**  $x\text{-def Earley.Init } *(1)$  **by** *fastforce*  
**have**  $2$ : *Derivation*  $\mathcal{G}$  (*item- $\beta$*   $x$ )  $D$  (*slice*  $0$  ( $\text{length } \omega$ )  $\omega$ )  
**using**  $*(2)$   $x\text{-def}$  **by** (*simp add: item-defs*)  
**have** *Item*  $(\mathfrak{S} \ \mathcal{G}, \alpha)$  (*length*  $\alpha$ )  $0$  ( $\text{length } \omega$ )  $\in \text{Earley } \mathcal{G} \ \omega$   
**using** *partially-completed-upto[OF - - - - 2 0]* *wf-Earley 1 x-def* **by** *auto*  
**then show** *?thesis*  
**unfolding** *recognizing-def is-finished-def* **by** (*auto simp: is-complete-def item-defs,*  
*force*)  
**qed**

## 6.5 Correctness

**theorem** *correctness-Earley*:

**assumes** *wf-G G is-word G ω*  
**shows** *recognizing (Earley G ω) G ω  $\longleftrightarrow$  derives G [G] ω*  
**using** *assms soundness-Earley completeness-Earley by blast*

## 6.6 Finiteness

**lemma** *finiteness-empty:*

**set**  $(\mathfrak{R} \mathcal{G}) = \{\}$   $\implies$  *finite*  $\{ x \mid x. \text{wf-item } \mathcal{G} \ \omega \ x \}$   
**unfolding** *wf-item-def by simp*

**fun** *item-intro* :: 'a rule  $\times$  nat  $\times$  nat  $\times$  nat  $\Rightarrow$  'a item **where**  
*item-intro (rule, dot, origin, ends) = Item rule dot origin ends*

**lemma** *finiteness-nonempty:*

**assumes** *set*  $(\mathfrak{R} \mathcal{G}) \neq \{\}$   
**shows** *finite*  $\{ x \mid x. \text{wf-item } \mathcal{G} \ \omega \ x \}$   
**proof** –  
**define** *M* **where**  $M = \text{Max } \{ \text{length (rule-body } r) \mid r. r \in \text{set } (\mathfrak{R} \mathcal{G}) \}$   
**define** *Top* **where**  $\text{Top} = (\text{set } (\mathfrak{R} \mathcal{G}) \times \{0..M\} \times \{0..\text{length } \omega\} \times \{0..\text{length } \omega\})$   
**hence** *finite Top*  
**using** *finite-cartesian-product finite by blast*  
**have** *inj-on item-intro Top*  
**unfolding** *Top-def inj-on-def by simp*  
**hence** *finite (item-intro ' Top)*  
**using** *finite-image-iff <finite Top> by auto*  
**have**  $\{ x \mid x. \text{wf-item } \mathcal{G} \ \omega \ x \} \subseteq \text{item-intro ' Top}$   
**proof** *standard*  
**fix** *x*  
**assume**  $x \in \{ x \mid x. \text{wf-item } \mathcal{G} \ \omega \ x \}$   
**then obtain** *rule dot origin endp* **where**  $*$ :  $x = \text{Item rule dot origin endp}$   
 $\text{rule} \in \text{set } (\mathfrak{R} \mathcal{G})$   $\text{dot} \leq \text{length (item-rule-body } x)$   $\text{origin} \leq \text{length } \omega$   $\text{endp} \leq \text{length } \omega$   
**unfolding** *wf-item-def using item.exhaust-sel le-trans by blast*  
**hence**  $\text{length (rule-body rule)} \in \{ \text{length (rule-body } r) \mid r. r \in \text{set } (\mathfrak{R} \mathcal{G}) \}$   
**using**  $*(1,2)$  *item-rule-body-def by blast*  
**moreover have** *finite*  $\{ \text{length (rule-body } r) \mid r. r \in \text{set } (\mathfrak{R} \mathcal{G}) \}$   
**using** *finite finite-image-set[of  $\lambda x. x \in \text{set } (\mathfrak{R} \mathcal{G})$ ] by fastforce*  
**ultimately have**  $M \geq \text{length (rule-body rule)}$   
**unfolding** *M-def by simp*  
**hence**  $\text{dot} \leq M$   
**using**  $*(1,3)$  *item-rule-body-def by (metis item.sel(1) le-trans)*  
**hence**  $(\text{rule}, \text{dot}, \text{origin}, \text{endp}) \in \text{Top}$   
**using**  $*(2,4,5)$  **unfolding** *Top-def by simp*  
**thus**  $x \in \text{item-intro ' Top}$   
**using**  $*(1)$  **by force**  
**qed**  
**thus** *?thesis*  
**using**  $\langle \text{finite (item-intro ' Top)} \rangle$  *rev-finite-subset by auto*

qed

**lemma** *finiteness-UNIV-wf-item*:

*finite* {  $x \mid x.$  *wf-item*  $\mathcal{G} \ \omega \ x$  }

**using** *finiteness-empty finiteness-nonempty* **by** *fastforce*

**theorem** *finiteness-Earley*:

*finite* (*Earley*  $\mathcal{G} \ \omega$ )

**using** *finiteness-UNIV-wf-item wf-Earley rev-finite-subset* **by** (*metis mem-Collect-eq subsetI*)

**end**

**theory** *Earley-Fixpoint*

**imports**

*Earley*

*Limit*

**begin**

## 7 Earley recognizer

### 7.1 Earley fixpoint

**definition** *init-item* :: 'a rule  $\Rightarrow$  nat  $\Rightarrow$  'a item **where**

*init-item*  $r \ k \equiv$  *Item*  $r \ 0 \ k \ k$

**definition** *inc-item* :: 'a item  $\Rightarrow$  nat  $\Rightarrow$  'a item **where**

*inc-item*  $x \ k \equiv$  *Item* (*item-rule*  $x$ ) (*item-dot*  $x + 1$ ) (*item-origin*  $x$ )  $k$

**definition** *bin* :: 'a item set  $\Rightarrow$  nat  $\Rightarrow$  'a item set **where**

*bin*  $I \ k \equiv$  {  $x . x \in I \wedge$  *item-end*  $x = k$  }

**definition** *Init<sub>F</sub>* :: 'a cfg  $\Rightarrow$  'a item set **where**

*Init<sub>F</sub>*  $\mathcal{G} \equiv$  { *init-item*  $r \ 0 \mid r. r \in$  *set* ( $\mathfrak{R} \ \mathcal{G}$ )  $\wedge$  *fst*  $r = (\mathfrak{S} \ \mathcal{G})$  }

**definition** *Scan<sub>F</sub>* :: nat  $\Rightarrow$  'a sentence  $\Rightarrow$  'a item set  $\Rightarrow$  'a item set **where**

*Scan<sub>F</sub>*  $k \ \omega \ I \equiv$  { *inc-item*  $x \ (k+1) \mid x a.$

$x \in$  *bin*  $I \ k \wedge$

$\omega!k = a \wedge$

$k <$  *length*  $\omega \wedge$

*next-symbol*  $x =$  *Some*  $a$  }

**definition** *Predict<sub>F</sub>* :: nat  $\Rightarrow$  'a cfg  $\Rightarrow$  'a item set  $\Rightarrow$  'a item set **where**

*Predict<sub>F</sub>*  $k \ \mathcal{G} \ I \equiv$  { *init-item*  $r \ k \mid r x.$

$r \in$  *set* ( $\mathfrak{R} \ \mathcal{G}$ )  $\wedge$

$x \in$  *bin*  $I \ k \wedge$

*next-symbol*  $x =$  *Some* (*rule-head*  $r$ ) }

**definition** *Complete<sub>F</sub>* :: nat  $\Rightarrow$  'a item set  $\Rightarrow$  'a item set **where**

*Complete<sub>F</sub>*  $k \ I \equiv$  { *inc-item*  $x \ k \mid x y.$

$x \in \text{bin } I \text{ (item-origin } y) \wedge$   
 $y \in \text{bin } I \ k \wedge$   
 $\text{is-complete } y \wedge$   
 $\text{next-symbol } x = \text{Some (item-rule-head } y) \}$

**definition**  $\text{Earley}_F\text{-bin-step} :: \text{nat} \Rightarrow 'a \text{ cfg} \Rightarrow 'a \text{ sentence} \Rightarrow 'a \text{ item set} \Rightarrow 'a \text{ item set}$  **where**

$\text{Earley}_F\text{-bin-step } k \ \mathcal{G} \ \omega \ I \equiv I \cup \text{Scan}_F \ k \ \omega \ I \cup \text{Complete}_F \ k \ I \cup \text{Predict}_F \ k \ \mathcal{G} \ I$

**definition**  $\text{Earley}_F\text{-bin} :: \text{nat} \Rightarrow 'a \text{ cfg} \Rightarrow 'a \text{ sentence} \Rightarrow 'a \text{ item set} \Rightarrow 'a \text{ item set}$  **where**

$\text{Earley}_F\text{-bin } k \ \mathcal{G} \ \omega \ I \equiv \text{limit (Earley}_F\text{-bin-step } k \ \mathcal{G} \ \omega) \ I$

**fun**  $\text{Earley}_F\text{-bins} :: \text{nat} \Rightarrow 'a \text{ cfg} \Rightarrow 'a \text{ sentence} \Rightarrow 'a \text{ item set}$  **where**

$\text{Earley}_F\text{-bins } 0 \ \mathcal{G} \ \omega = \text{Earley}_F\text{-bin } 0 \ \mathcal{G} \ \omega \ (\text{Init}_F \ \mathcal{G})$

|  $\text{Earley}_F\text{-bins (Suc } n) \ \mathcal{G} \ \omega = \text{Earley}_F\text{-bin (Suc } n) \ \mathcal{G} \ \omega \ (\text{Earley}_F\text{-bins } n \ \mathcal{G} \ \omega)$

**definition**  $\text{Earley}_F :: 'a \text{ cfg} \Rightarrow 'a \text{ sentence} \Rightarrow 'a \text{ item set}$  **where**

$\text{Earley}_F \ \mathcal{G} \ \omega \equiv \text{Earley}_F\text{-bins (length } \omega) \ \mathcal{G} \ \omega$

## 7.2 Monotonicity and Absorption

**lemma**  $\text{Earley}_F\text{-bin-step-empty}$ :

$\text{Earley}_F\text{-bin-step } k \ \mathcal{G} \ \{\} = \{\}$

**unfolding**  $\text{Earley}_F\text{-bin-step-def Scan}_F\text{-def Complete}_F\text{-def Predict}_F\text{-def bin-def}$   
**by** *blast*

**lemma**  $\text{Earley}_F\text{-bin-step-setmonotone}$ :

$\text{setmonotone (Earley}_F\text{-bin-step } k \ \mathcal{G} \ \omega)$

**by** (*simp add: Un-assoc Earley}\_F\text{-bin-step-def setmonotone-def*)

**lemma**  $\text{Earley}_F\text{-bin-step-continuous}$ :

$\text{continuous (Earley}_F\text{-bin-step } k \ \mathcal{G} \ \omega)$

**unfolding**  $\text{continuous-def}$

**proof** (*standard, standard, standard*)

**fix**  $C :: \text{nat} \Rightarrow 'a \text{ item set}$

**assume**  $\text{chain } C$

**thus**  $\text{chain (Earley}_F\text{-bin-step } k \ \mathcal{G} \ \omega \circ C)$

**unfolding**  $\text{chain-def Earley}_F\text{-bin-step-def}$  **by** (*auto simp: Scan}\_F\text{-def Predict}\_F\text{-def Complete}\_F\text{-def bin-def subset-eq*)

**next**

**fix**  $C :: \text{nat} \Rightarrow 'a \text{ item set}$

**assume**  $*$ :  $\text{chain } C$

**show**  $\text{Earley}_F\text{-bin-step } k \ \mathcal{G} \ \omega \ (\text{natUnion } C) = \text{natUnion (Earley}_F\text{-bin-step } k \ \mathcal{G} \ \omega \circ C)$

**unfolding**  $\text{natUnion-def}$

**proof** *standard*

**show**  $\text{Earley}_F\text{-bin-step } k \ \mathcal{G} \ \omega \ (\bigcup \{C \ n \mid n. \text{True}\}) \subseteq \bigcup \{(\text{Earley}_F\text{-bin-step } k \ \mathcal{G} \ \omega \circ C) \ n \mid n. \text{True}\}$



```

proof standard
  fix  $x$ 
  assume  $\#$ :  $x \in \text{Earley}_F\text{-bin-step } k \mathcal{G} \omega (\bigcup \{C n \mid n. \text{True}\})$ 
  show  $x \in \bigcup \{(\text{Earley}_F\text{-bin-step } k \mathcal{G} \omega \circ C) n \mid n. \text{True}\}$ 
  proof (cases  $x \in \text{Complete}_F k (\bigcup \{C n \mid n. \text{True}\})$ )
    case True
    then show ?thesis
      using * unfolding chain-def EarleyF-bin-step-def CompleteF-def bin-def
    proof clarsimp
      fix  $y :: 'a \text{ item}$  and  $z :: 'a \text{ item}$  and  $n :: \text{nat}$  and  $m :: \text{nat}$ 
      assume  $a1$ : is-complete  $z$ 
      assume  $a2$ : item-end  $y = \text{item-origin } z$ 
      assume  $a3$ :  $y \in C n$ 
      assume  $a4$ :  $z \in C m$ 
      assume  $a5$ : next-symbol  $y = \text{Some } (\text{item-rule-head } z)$ 
      assume  $\forall i. C i \subseteq C (\text{Suc } i)$ 
      hence  $f6$ :  $\bigwedge n m. \neg n \leq m \vee C n \subseteq C m$ 
        by (meson lift-Suc-mono-le)
      hence  $f7$ :  $\bigwedge n. \neg m \leq n \vee z \in C n$ 
        using  $a4$  by blast
      have  $\exists n \geq m. y \in C n$ 
        using  $f6$   $a3$  by (meson le-sup-iff subset-eq sup-ge1)
      thus  $\exists I.$ 
        ( $\exists n. I = C n \cup$ 
           $\text{Scan}_F (\text{item-end } z) \omega (C n) \cup$ 
           $\{\text{inc-item } i (\text{item-end } z) \mid i.$ 
             $i \in C n \wedge$ 
            ( $\exists j.$ 
               $\text{item-end } i = \text{item-origin } j \wedge$ 
               $j \in C n \wedge$ 
               $\text{item-end } j = \text{item-end } z \wedge$ 
               $\text{is-complete } j \wedge$ 
               $\text{next-symbol } i = \text{Some } (\text{item-rule-head } j)\}) \cup$ 
           $\text{Predict}_F (\text{item-end } z) \mathcal{G} (C n))$ 
           $\wedge \text{inc-item } y (\text{item-end } z) \in I$ 
        using  $f7$   $a5$   $a2$   $a1$  by blast
      qed
    next
    case False
    thus ?thesis
    using  $\#$  Un-iff by (auto simp: EarleyF-bin-step-def ScanF-def PredictF-def
bin-def; blast)
    qed
  qed
next
show  $\bigcup \{(\text{Earley}_F\text{-bin-step } k \mathcal{G} \omega \circ C) n \mid n. \text{True}\} \subseteq \text{Earley}_F\text{-bin-step } k \mathcal{G} \omega$ 
 $(\bigcup \{C n \mid n. \text{True}\})$ 
unfolding EarleyF-bin-step-def
using * by (auto simp: ScanF-def PredictF-def CompleteF-def chain-def

```

*bin-def*, *metis+*)

**qed**  
**qed**

**lemma** *Earley<sub>F</sub>-bin-step-regular*:

*regular (Earley<sub>F</sub>-bin-step k G ω)*

**by** (*simp add: Earley<sub>F</sub>-bin-step-continuous Earley<sub>F</sub>-bin-step-setmonotone regular-def*)

**lemma** *Earley<sub>F</sub>-bin-idem*:

*Earley<sub>F</sub>-bin k G ω (Earley<sub>F</sub>-bin k G ω I) = Earley<sub>F</sub>-bin k G ω I*

**by** (*simp add: Earley<sub>F</sub>-bin-def Earley<sub>F</sub>-bin-step-regular limit-is-idempotent*)

**lemma** *Scan<sub>F</sub>-bin-absorb*:

*Scan<sub>F</sub> k ω (bin I k) = Scan<sub>F</sub> k ω I*

**unfolding** *Scan<sub>F</sub>-def bin-def* **by** *simp*

**lemma** *Predict<sub>F</sub>-bin-absorb*:

*Predict<sub>F</sub> k G (bin I k) = Predict<sub>F</sub> k G I*

**unfolding** *Predict<sub>F</sub>-def bin-def* **by** *simp*

**lemma** *Scan<sub>F</sub>-Un*:

*Scan<sub>F</sub> k ω (I ∪ J) = Scan<sub>F</sub> k ω I ∪ Scan<sub>F</sub> k ω J*

**unfolding** *Scan<sub>F</sub>-def bin-def* **by** *blast*

**lemma** *Predict<sub>F</sub>-Un*:

*Predict<sub>F</sub> k G (I ∪ J) = Predict<sub>F</sub> k G I ∪ Predict<sub>F</sub> k G J*

**unfolding** *Predict<sub>F</sub>-def bin-def* **by** *blast*

**lemma** *Scan<sub>F</sub>-sub-mono*:

*I ⊆ J ⇒ Scan<sub>F</sub> k ω I ⊆ Scan<sub>F</sub> k ω J*

**unfolding** *Scan<sub>F</sub>-def bin-def* **by** *blast*

**lemma** *Predict<sub>F</sub>-sub-mono*:

*I ⊆ J ⇒ Predict<sub>F</sub> k G I ⊆ Predict<sub>F</sub> k G J*

**unfolding** *Predict<sub>F</sub>-def bin-def* **by** *blast*

**lemma** *Complete<sub>F</sub>-sub-mono*:

*I ⊆ J ⇒ Complete<sub>F</sub> k I ⊆ Complete<sub>F</sub> k J*

**unfolding** *Complete<sub>F</sub>-def bin-def* **by** *blast*

**lemma** *Earley<sub>F</sub>-bin-step-sub-mono*:

*I ⊆ J ⇒ Earley<sub>F</sub>-bin-step k G ω I ⊆ Earley<sub>F</sub>-bin-step k G ω J*

**unfolding** *Earley<sub>F</sub>-bin-step-def* **using** *Scan<sub>F</sub>-sub-mono Predict<sub>F</sub>-sub-mono Complete<sub>F</sub>-sub-mono* **by** (*metis sup.mono*)

**lemma** *funpower-sub-mono*:

*I ⊆ J ⇒ funpower (Earley<sub>F</sub>-bin-step k G ω) n I ⊆ funpower (Earley<sub>F</sub>-bin-step k G ω) n J*

by (induction  $n$ ) (auto simp: Earley<sub>F</sub>-bin-step-sub-mono)

**lemma** Earley<sub>F</sub>-bin-sub-mono:

$I \subseteq J \implies \text{Earley}_F\text{-bin } k \mathcal{G} \omega I \subseteq \text{Earley}_F\text{-bin } k \mathcal{G} \omega J$

**proof** standard

fix  $x$

assume  $I \subseteq J$   $x \in \text{Earley}_F\text{-bin } k \mathcal{G} \omega I$

then obtain  $n$  where  $x \in \text{funpower } (\text{Earley}_F\text{-bin-step } k \mathcal{G} \omega) n I$

unfolding Earley<sub>F</sub>-bin-def limit-def natUnion-def by blast

hence  $x \in \text{funpower } (\text{Earley}_F\text{-bin-step } k \mathcal{G} \omega) n J$

using  $\langle I \subseteq J \rangle$  funpower-sub-mono by blast

thus  $x \in \text{Earley}_F\text{-bin } k \mathcal{G} \omega J$

unfolding Earley<sub>F</sub>-bin-def limit-def natUnion-def by blast

qed

**lemma** Scan<sub>F</sub>-Earley<sub>F</sub>-bin-step-mono:

$\text{Scan}_F k \omega I \subseteq \text{Earley}_F\text{-bin-step } k \mathcal{G} \omega I$

using Earley<sub>F</sub>-bin-step-def by blast

**lemma** Predict<sub>F</sub>-Earley<sub>F</sub>-bin-step-mono:

$\text{Predict}_F k \mathcal{G} I \subseteq \text{Earley}_F\text{-bin-step } k \mathcal{G} \omega I$

using Earley<sub>F</sub>-bin-step-def by blast

**lemma** Complete<sub>F</sub>-Earley<sub>F</sub>-bin-step-mono:

$\text{Complete}_F k I \subseteq \text{Earley}_F\text{-bin-step } k \mathcal{G} \omega I$

using Earley<sub>F</sub>-bin-step-def by blast

**lemma** Earley<sub>F</sub>-bin-step-Earley<sub>F</sub>-bin-mono:

$\text{Earley}_F\text{-bin-step } k \mathcal{G} \omega I \subseteq \text{Earley}_F\text{-bin } k \mathcal{G} \omega I$

**proof** –

have  $\text{Earley}_F\text{-bin-step } k \mathcal{G} \omega I \subseteq \text{funpower } (\text{Earley}_F\text{-bin-step } k \mathcal{G} \omega) 1 I$

by simp

thus ?thesis

by (metis Earley<sub>F</sub>-bin-def limit-elem subset-eq)

qed

**lemma** Scan<sub>F</sub>-Earley<sub>F</sub>-bin-mono:

$\text{Scan}_F k \omega I \subseteq \text{Earley}_F\text{-bin } k \mathcal{G} \omega I$

using Scan<sub>F</sub>-Earley<sub>F</sub>-bin-step-mono Earley<sub>F</sub>-bin-step-Earley<sub>F</sub>-bin-mono by force

**lemma** Predict<sub>F</sub>-Earley<sub>F</sub>-bin-mono:

$\text{Predict}_F k \mathcal{G} I \subseteq \text{Earley}_F\text{-bin } k \mathcal{G} \omega I$

using Predict<sub>F</sub>-Earley<sub>F</sub>-bin-step-mono Earley<sub>F</sub>-bin-step-Earley<sub>F</sub>-bin-mono by force

**lemma** Complete<sub>F</sub>-Earley<sub>F</sub>-bin-mono:

$\text{Complete}_F k I \subseteq \text{Earley}_F\text{-bin } k \mathcal{G} \omega I$

using Complete<sub>F</sub>-Earley<sub>F</sub>-bin-step-mono Earley<sub>F</sub>-bin-step-Earley<sub>F</sub>-bin-mono by force

**lemma** *Earley<sub>F</sub>-bin-mono*:  
 $I \subseteq \text{Earley}_F\text{-bin } k \mathcal{G} \omega$   $I$   
**using** *Earley<sub>F</sub>-bin-step-Earley<sub>F</sub>-bin-mono Earley<sub>F</sub>-bin-step-def* **by** *blast*

**lemma** *Init<sub>F</sub>-sub-Earley<sub>F</sub>-bins*:  
 $\text{Init}_F \mathcal{G} \subseteq \text{Earley}_F\text{-bins } n \mathcal{G} \omega$   
**by** (*induction n*) (*use Earley<sub>F</sub>-bin-mono in fastforce*)**+**

### 7.3 Soundness

**lemma** *Init<sub>F</sub>-sub-Earley*:  
 $\text{Init}_F \mathcal{G} \subseteq \text{Earley } \mathcal{G} \omega$   
**unfolding** *Init<sub>F</sub>-def init-item-def* **using** *Init* **by** *blast*

**lemma** *Scan<sub>F</sub>-sub-Earley*:  
**assumes**  $I \subseteq \text{Earley } \mathcal{G} \omega$   
**shows**  $\text{Scan}_F k \omega I \subseteq \text{Earley } \mathcal{G} \omega$   
**unfolding** *Scan<sub>F</sub>-def inc-item-def bin-def* **using** *assms Scan*  
**by** (*smt (verit, ccfv-SIG) item.exhaust-sel mem-Collect-eq subsetD subsetI*)

**lemma** *Predict<sub>F</sub>-sub-Earley*:  
**assumes**  $I \subseteq \text{Earley } \mathcal{G} \omega$   
**shows**  $\text{Predict}_F k \mathcal{G} I \subseteq \text{Earley } \mathcal{G} \omega$   
**unfolding** *Predict<sub>F</sub>-def init-item-def bin-def* **using** *assms Predict*  
**using** *item.exhaust-sel* **by** *blast*

**lemma** *Complete<sub>F</sub>-sub-Earley*:  
**assumes**  $I \subseteq \text{Earley } \mathcal{G} \omega$   
**shows**  $\text{Complete}_F k I \subseteq \text{Earley } \mathcal{G} \omega$   
**unfolding** *Complete<sub>F</sub>-def inc-item-def bin-def* **using** *assms Complete*  
**by** (*smt (verit, del-insts) item.exhaust-sel mem-Collect-eq subset-eq*)

**lemma** *Earley<sub>F</sub>-bin-step-sub-Earley*:  
**assumes**  $I \subseteq \text{Earley } \mathcal{G} \omega$   
**shows**  $\text{Earley}_F\text{-bin-step } k \mathcal{G} \omega I \subseteq \text{Earley } \mathcal{G} \omega$   
**unfolding** *Earley<sub>F</sub>-bin-step-def* **using** *assms Complete<sub>F</sub>-sub-Earley Predict<sub>F</sub>-sub-Earley Scan<sub>F</sub>-sub-Earley* **by** (*metis le-supI*)

**lemma** *Earley<sub>F</sub>-bin-sub-Earley*:  
**assumes**  $I \subseteq \text{Earley } \mathcal{G} \omega$   
**shows**  $\text{Earley}_F\text{-bin } k \mathcal{G} \omega I \subseteq \text{Earley } \mathcal{G} \omega$   
**using** *assms Earley<sub>F</sub>-bin-step-sub-Earley* **by** (*metis Earley<sub>F</sub>-bin-def limit-upperbound*)

**lemma** *Earley<sub>F</sub>-bins-sub-Earley*:  
**shows**  $\text{Earley}_F\text{-bins } n \mathcal{G} \omega \subseteq \text{Earley } \mathcal{G} \omega$   
**by** (*induction n*) (*auto simp: Earley<sub>F</sub>-bin-sub-Earley Init<sub>F</sub>-sub-Earley*)

**lemma** *Earley<sub>F</sub>-sub-Earley*:

**shows**  $\text{Earley}_F \mathcal{G} \omega \subseteq \text{Earley } \mathcal{G} \omega$   
**by** (*simp add: Earley<sub>F</sub>-bins-sub-Earley Earley<sub>F</sub>-def*)

**theorem** *soundness-Earley<sub>F</sub>*:

**assumes** *recognizing* ( $\text{Earley}_F \mathcal{G} \omega$ )  $\mathcal{G} \omega$   
**shows** *derives*  $\mathcal{G} [\mathfrak{S} \mathcal{G}] \omega$   
**using** *soundness-Earley Earley<sub>F</sub>-sub-Earley assms recognizing-def* **by** (*metis subsetD*)

## 7.4 Completeness

**definition** *prev-symbol* :: 'a item  $\Rightarrow$  'a option **where**

*prev-symbol*  $x \equiv$  if *item-dot*  $x = 0$  then *None* else *Some* (*item-rule-body*  $x$  !  
(*item-dot*  $x - 1$ ))

**definition** *base* :: 'a sentence  $\Rightarrow$  'a item set  $\Rightarrow$  nat  $\Rightarrow$  'a item set **where**

*base*  $\omega$   $I$   $k \equiv$  {  $x$  .  $x \in I \wedge$  *item-end*  $x = k \wedge k > 0 \wedge$  *prev-symbol*  $x =$  *Some*  
( $\omega!(k-1)$ ) }

**lemma** *Earley<sub>F</sub>-bin-sub-Earley<sub>F</sub>-bin*:

**assumes**  $\text{Init}_F \mathcal{G} \subseteq I$   
**assumes**  $\forall k' < k. \text{bin} (\text{Earley } \mathcal{G} \omega) k' \subseteq I$   
**assumes** *base*  $\omega$  ( $\text{Earley } \mathcal{G} \omega$ )  $k \subseteq I$   
**shows**  $\text{bin} (\text{Earley } \mathcal{G} \omega) k \subseteq \text{bin} (\text{Earley}_F\text{-bin } k \mathcal{G} \omega I) k$

**proof** *standard*

**fix**  $x$

**assume** \*:  $x \in \text{bin} (\text{Earley } \mathcal{G} \omega) k$

**hence**  $x \in \text{Earley } \mathcal{G} \omega$

**using** *bin-def* **by** *blast*

**thus**  $x \in \text{bin} (\text{Earley}_F\text{-bin } k \mathcal{G} \omega I) k$

**using** *assms* \*

**proof** (*induction rule: Earley.induct*)

**case** (*Init*  $r$ )

**thus** *?case*

**unfolding** *Init<sub>F</sub>-def init-item-def bin-def* **using** *Earley<sub>F</sub>-bin-mono* **by** *fast*

**next**

**case** (*Scan*  $x$   $r$   $b$   $i$   $j$   $a$ )

**have**  $j+1 = k$

**using** *Scan.prem*(4) *bin-def* **by** (*metis* (*mono-tags*, *lifting*) *CollectD item.sel*(4))

**have** *prev-symbol* (*Item*  $r$  ( $b+1$ )  $i$  ( $j+1$ )) = *Some* ( $\omega!(k-1)$ )

**using** *Scan.hyps*(1,3,5)  $\langle j+1 = k \rangle$  **by** (*auto simp: next-symbol-def prev-symbol-def*  
*item-rule-body-def split: if-splits*)

**hence** *Item*  $r$  ( $b+1$ )  $i$  ( $j+1$ )  $\in$  *base*  $\omega$  ( $\text{Earley } \mathcal{G} \omega$ )  $k$

**unfolding** *base-def* **using** *Scan.prem*(4) *bin-def* **by** *fastforce*

**hence** *Item*  $r$  ( $b+1$ )  $i$  ( $j+1$ )  $\in I$

**using** *Scan.prem*(3) **by** *blast*

**hence** *Item*  $r$  ( $b+1$ )  $i$  ( $j+1$ )  $\in \text{Earley}_F\text{-bin } k \mathcal{G} \omega I$

**using** *Earley<sub>F</sub>-bin-mono* **by** *blast*

**thus** *?case*

**using**  $\langle j+1 = k \rangle$  *bin-def* **by** *fastforce*  
**next**  
**case** (*Predict*  $x r b i j r'$ )  
**have**  $j = k$   
**using** *Predict.prem* $s(4)$  *bin-def* **by** (*metis* (*mono-tags*, *lifting*) *CollectD*  
*item.sel(4)*)  
**hence**  $x \in \text{bin}(\text{Earley } \mathcal{G} \ \omega) \ k$   
**using** *Predict.hyps(1,2)* *bin-def* **by** *fastforce*  
**hence**  $x \in \text{bin}(\text{Earley}_F\text{-bin } k \ \mathcal{G} \ \omega \ I) \ k$   
**using** *Predict.IH* *Predict.prem* $s(1-3)$  **by** *blast*  
**hence** *Item*  $r' \ 0 \ j \ j \in \text{Predict}_F \ k \ \mathcal{G} \ (\text{Earley}_F\text{-bin } k \ \mathcal{G} \ \omega \ I)$   
**unfolding** *Predict\_F-def* *init-item-def* **using** *Predict.hyps(1,3,4)*  $\langle j = k \rangle$  **by**  
*blast*  
**hence** *Item*  $r' \ 0 \ j \ j \in \text{Earley}_F\text{-bin-step } k \ \mathcal{G} \ \omega \ (\text{Earley}_F\text{-bin } k \ \mathcal{G} \ \omega \ I)$   
**using** *Predict\_F-Earley\_F-bin-step-mono* **by** *blast*  
**hence** *Item*  $r' \ 0 \ j \ j \in \text{Earley}_F\text{-bin } k \ \mathcal{G} \ \omega \ I$   
**using** *Earley\_F-bin-idem* *Earley\_F-bin-step-Earley\_F-bin-mono* **by** *blast*  
**thus** *?case*  
**by** (*simp* *add*:  $\langle j = k \rangle$  *bin-def*)  
**next**  
**case** (*Complete*  $x r_x b_x i j y r_y b_y l$ )  
**have**  $l = k$   
**using** *Complete.prem* $s(4)$  *bin-def* **by** (*metis* (*mono-tags*, *lifting*) *CollectD*  
*item.sel(4)*)  
**hence**  $y \in \text{bin}(\text{Earley } \mathcal{G} \ \omega) \ l$   
**using** *Complete.hyps(3,4)* *bin-def* **by** *fastforce*  
**hence**  $0: y \in \text{bin}(\text{Earley}_F\text{-bin } k \ \mathcal{G} \ \omega \ I) \ k$   
**using** *Complete.IH(2)* *Complete.prem* $s(1-3)$   $\langle l = k \rangle$  **by** *blast*  
**have**  $1: x \in \text{bin}(\text{Earley}_F\text{-bin } k \ \mathcal{G} \ \omega \ I)$  (*item-origin*  $y$ )  
**proof** (*cases*  $j = k$ )  
**case** *True*  
**hence**  $x \in \text{bin}(\text{Earley } \mathcal{G} \ \omega) \ k$   
**using** *Complete.hyps(1,2)* *bin-def* **by** *fastforce*  
**hence**  $x \in \text{bin}(\text{Earley}_F\text{-bin } k \ \mathcal{G} \ \omega \ I) \ k$   
**using** *Complete.IH(1)* *Complete.prem* $s(1-3)$  **by** *blast*  
**thus** *?thesis*  
**using** *Complete.hyps(3)* *True* **by** *simp*  
**next**  
**case** *False*  
**hence**  $j < k$   
**using**  $\langle l = k \rangle$  *wf-Earley* *wf-item-def* *Complete.hyps(3,4)* **by** *force*  
**moreover** **have**  $x \in \text{bin}(\text{Earley } \mathcal{G} \ \omega) \ j$   
**using** *Complete.hyps(1,2)* *bin-def* **by** *force*  
**ultimately** **have**  $x \in I$   
**using** *Complete.prem* $s(2)$  **by** *blast*  
**hence**  $x \in \text{bin}(\text{Earley}_F\text{-bin } k \ \mathcal{G} \ \omega \ I) \ j$   
**using** *Complete.hyps(1)* *Earley\_F-bin-mono* *bin-def* **by** *fastforce*  
**thus** *?thesis*  
**using** *Complete.hyps(3)* **by** *simp*

**qed**  
**have**  $\text{Item } r_x (b_x + 1) i k \in \text{Complete}_F k (\text{Earley}_F\text{-bin } k \mathcal{G} \omega I)$   
**unfolding**  $\text{Complete}_F\text{-def } \text{inc-item-def}$  **using**  $0\ 1\ \text{Complete.hyps}(1,5,6)$  **by**  
*force*  
**hence**  $\text{Item } r_x (b_x + 1) i k \in \text{Earley}_F\text{-bin-step } k \mathcal{G} \omega (\text{Earley}_F\text{-bin } k \mathcal{G} \omega I)$   
**unfolding**  $\text{Earley}_F\text{-bin-step-def}$  **by** *blast*  
**hence**  $\text{Item } r_x (b_x + 1) i k \in \text{Earley}_F\text{-bin } k \mathcal{G} \omega I$   
**using**  $\text{Earley}_F\text{-bin-idem } \text{Earley}_F\text{-bin-step-Earley}_F\text{-bin-mono}$  **by** *blast*  
**thus** *?case*  
**using**  $\text{bin-def } \langle l = k \rangle$  **by** *fastforce*  
**qed**  
**qed**

**lemma**  $\text{Earley-base-sub-Earley}_F\text{-bin}$ :

**assumes**  $\text{Init}_F \mathcal{G} \subseteq I$   
**assumes**  $\forall k' < k. \text{bin } (\text{Earley } \mathcal{G} \omega) k' \subseteq I$   
**assumes**  $\text{base } \omega (\text{Earley } \mathcal{G} \omega) k \subseteq I$   
**assumes**  $\text{wf-}\mathcal{G} \mathcal{G} \text{ is-word } \mathcal{G} \omega$   
**shows**  $\text{base } \omega (\text{Earley } \mathcal{G} \omega) (k+1) \subseteq \text{bin } (\text{Earley}_F\text{-bin } k \mathcal{G} \omega I) (k+1)$   
**proof** *standard*

**fix**  $x$   
**assume**  $*$ :  $x \in \text{base } \omega (\text{Earley } \mathcal{G} \omega) (k+1)$   
**hence**  $x \in \text{Earley } \mathcal{G} \omega$   
**using**  $\text{base-def}$  **by** *blast*  
**thus**  $x \in \text{bin } (\text{Earley}_F\text{-bin } k \mathcal{G} \omega I) (k+1)$   
**using**  $\text{assms } *$   
**proof** (*induction rule: Earley.induct*)  
**case** ( $\text{Init } r$ )  
**have**  $k = 0$   
**using**  $\text{Init.prem}(6)$  **unfolding**  $\text{base-def}$  **by** *simp*  
**hence** *False*  
**using**  $\text{Init.prem}(6)$  **unfolding**  $\text{base-def}$  **by** *simp*  
**thus** *?case*  
**by** *blast*  
**next**  
**case** ( $\text{Scan } x r b i j a$ )  
**have**  $j = k$   
**using**  $\text{Scan.prem}(6)$   $\text{base-def}$  **by** ( $\text{metis } (\text{mono-tags, lifting}) \text{CollectD add-right-cancel item.sel}(4)$ )  
**hence**  $x \in \text{bin } (\text{Earley}_F\text{-bin } k \mathcal{G} \omega I) k$   
**using**  $\text{Earley}_F\text{-bin-sub-Earley}_F\text{-bin } \text{Scan.prem } \text{Scan.hyps}(1,2)$   $\text{bin-def}$   
**by** ( $\text{metis } (\text{mono-tags, lifting}) \text{CollectI item.sel}(4) \text{subsetD}$ )  
**hence**  $\text{Item } r (b+1) i (j+1) \in \text{Scan}_F k \omega (\text{Earley}_F\text{-bin } k \mathcal{G} \omega I)$   
**unfolding**  $\text{Scan}_F\text{-def } \text{inc-item-def}$  **using**  $\text{Scan.hyps } \langle j = k \rangle$  **by** *force*  
**hence**  $\text{Item } r (b+1) i (j+1) \in \text{Earley}_F\text{-bin-step } k \mathcal{G} \omega (\text{Earley}_F\text{-bin } k \mathcal{G} \omega I)$   
**using**  $\text{Scan}_F\text{-Earley}_F\text{-bin-step-mono}$  **by** *blast*  
**hence**  $\text{Item } r (b+1) i (j+1) \in \text{Earley}_F\text{-bin } k \mathcal{G} \omega I$   
**using**  $\text{Earley}_F\text{-bin-idem } \text{Earley}_F\text{-bin-step-Earley}_F\text{-bin-mono}$  **by** *blast*  
**thus** *?case*

```

    using ⟨j = k⟩ bin-def by fastforce
next
case (Predict x r b i j r')
have False
  using Predict.premis(6) unfolding base-def by (auto simp: prev-symbol-def)
thus ?case
  by blast
next
case (Complete x r_x b_x i j y r_y b_y l)
have l-1 < length ω
  using Complete.premis(6) base-def wf-Earley wf-item-def
  by (metis (mono-tags, lifting) CollectD add.right-neutral add-Suc-right add-diff-cancel-right'
item.sel(4) less-eq-Suc-le plus-1-eq-Suc)
hence is-terminal  $\mathcal{G}$  (ω!(l-1))
  using Complete.premis(5) is-word-is-terminal by blast
moreover have is-nonterminal  $\mathcal{G}$  (item-rule-head y)
  using Complete.hyps(3,4) Complete.premis(4) wf-Earley wf-item-def
  by (metis item-rule-head-def prod.collapse rule-head-def rule-nonterminal-type)
moreover have prev-symbol (Item r_x (b_x+1) i l) = next-symbol x
  using Complete.hyps(1,6)
  by (auto simp: next-symbol-def prev-symbol-def is-complete-def item-rule-body-def
split: if-splits)
moreover have prev-symbol (Item r_x (b_x+1) i l) = Some (ω!(l-1))
  using Complete.premis(6) base-def by (metis (mono-tags, lifting) CollectD
item.sel(4))
ultimately have False
  using Complete.hyps(6) Complete.premis(4) is-terminal-nonterminal by fast-
force
thus ?case
  by blast
qed
qed

lemma Earley_F-bin-k-sub-Earley_F-bins:
  assumes wf- $\mathcal{G}$   $\mathcal{G}$  is-word  $\mathcal{G}$  ω k ≤ n
  shows bin (Earley  $\mathcal{G}$  ω) k ⊆ Earley_F-bins n  $\mathcal{G}$  ω
  using assms
proof (induction n arbitrary: k)
  case 0
  have bin (Earley  $\mathcal{G}$  ω) 0 ⊆ bin (Earley_F-bin 0  $\mathcal{G}$  ω (Init_F  $\mathcal{G}$ )) 0
    using Earley_F-bin-sub-Earley_F-bin base-def by fastforce
  thus ?case
    unfolding bin-def using 0.premis(3) by auto
next
  case (Suc n)
  show ?case
  proof (cases k ≤ n)
    case True
    thus ?thesis

```



using *Suc Earley<sub>F</sub>-bin-mono* by force  
 next  
 case *False*  
 hence  $k = n+1$   
 using *Suc.prem3* by force  
 have  $0: \forall k' < k. \text{bin} (\text{Earley } \mathcal{G} \ \omega) \ k' \subseteq \text{Earley}_F\text{-bins } n \ \mathcal{G} \ \omega$   
 using *Suc* by *simp*  
 moreover have  $\text{base } \omega (\text{Earley } \mathcal{G} \ \omega) \ k \subseteq \text{Earley}_F\text{-bins } n \ \mathcal{G} \ \omega$   
 proof –  
 have  $\forall k' < k-1. \text{bin} (\text{Earley } \mathcal{G} \ \omega) \ k' \subseteq \text{Earley}_F\text{-bins } n \ \mathcal{G} \ \omega$   
 using *Suc*  $\langle k = n + 1 \rangle$  by *auto*  
 moreover have  $\text{base } \omega (\text{Earley } \mathcal{G} \ \omega) \ (k-1) \subseteq \text{Earley}_F\text{-bins } n \ \mathcal{G} \ \omega$   
 using  $0$  *bin-def base-def False*  $\langle k = n+1 \rangle$   
 by (*smt (verit) Suc-eq-plus1 diff-Suc-1 linorder-not-less mem-Collect-eq subsetD subsetI*)  
 ultimately have  $\text{base } \omega (\text{Earley } \mathcal{G} \ \omega) \ k \subseteq \text{bin} (\text{Earley}_F\text{-bin } n \ \mathcal{G} \ \omega (\text{Earley}_F\text{-bins } n \ \mathcal{G} \ \omega)) \ k$   
 using *Suc.prem1,2 Earley-base-sub-Earley<sub>F</sub>-bin*  $\langle k = n + 1 \rangle$  *Init<sub>F</sub>-sub-Earley<sub>F</sub>-bins*  
 by (*metis add-diff-cancel-right*)  
 hence  $\text{base } \omega (\text{Earley } \mathcal{G} \ \omega) \ k \subseteq \text{bin} (\text{Earley}_F\text{-bins } n \ \mathcal{G} \ \omega) \ k$   
 by (*metis Earley<sub>F</sub>-bins.elims Earley<sub>F</sub>-bin-idem*)  
 thus ?thesis  
 using *bin-def* by *blast*  
 qed  
 ultimately have  $\text{bin} (\text{Earley } \mathcal{G} \ \omega) \ k \subseteq \text{bin} (\text{Earley}_F\text{-bin } k \ \mathcal{G} \ \omega (\text{Earley}_F\text{-bins } n \ \mathcal{G} \ \omega)) \ k$   
 using *Earley<sub>F</sub>-bin-sub-Earley<sub>F</sub>-bin Init<sub>F</sub>-sub-Earley<sub>F</sub>-bins* by *metis*  
 thus ?thesis  
 using *Earley<sub>F</sub>-bins.simps2*  $\langle k = n + 1 \rangle$  *bin-def* by *auto*  
 qed  
 qed  
  
**lemma** *Earley-sub-Earley<sub>F</sub>*:  
 assumes *wf- $\mathcal{G}$   $\mathcal{G}$  is-word  $\mathcal{G} \ \omega$*   
 shows  $\text{Earley } \mathcal{G} \ \omega \subseteq \text{Earley}_F \ \mathcal{G} \ \omega$   
 proof –  
 have  $\forall k \leq \text{length } \omega. \text{bin} (\text{Earley } \mathcal{G} \ \omega) \ k \subseteq \text{Earley}_F \ \mathcal{G} \ \omega$   
 by (*simp add: Earley<sub>F</sub>-bin-k-sub-Earley<sub>F</sub>-bins Earley<sub>F</sub>-def assms*)  
 thus ?thesis  
 using *wf-Earley wf-item-def bin-def* by *blast*  
 qed  
  
**theorem** *completeness-Earley<sub>F</sub>*:  
 assumes *derives  $\mathcal{G}$  [ $\mathcal{G}$ ]  $\omega$  is-word  $\mathcal{G} \ \omega$  wf- $\mathcal{G}$   $\mathcal{G}$*   
 shows *recognizing (Earley<sub>F</sub>  $\mathcal{G} \ \omega)$   $\mathcal{G} \ \omega$*   
 using *assms Earley-sub-Earley<sub>F</sub> Earley<sub>F</sub>-sub-Earley completeness-Earley* by  
 (*metis subset-antisym*)

## 7.5 Correctness

**theorem** *Earley-eq-Earley<sub>F</sub>*:  
**assumes** *wf-G G is-word G ω*  
**shows** *Earley G ω = Earley<sub>F</sub> G ω*  
**using** *Earley-sub-Earley<sub>F</sub> Earley<sub>F</sub>-sub-Earley* **assms** **by** *blast*

**theorem** *correctness-Earley<sub>F</sub>*:  
**assumes** *wf-G G is-word G ω*  
**shows** *recognizing (Earley<sub>F</sub> G ω) G ω ↔ derives G [G] ω*  
**using** *assms Earley-eq-Earley<sub>F</sub> correctness-Earley* **by** *fastforce*

**end**  
**theory** *Earley-Recognizer*  
**imports**  
*Earley-Fixpoint*  
**begin**

## 8 Earley recognizer

### 8.1 List auxiliaries

**fun** *filter-with-index'* :: *nat ⇒ ('a ⇒ bool) ⇒ 'a list ⇒ ('a × nat) list* **where**  
*filter-with-index' - - [] = []*  
| *filter-with-index' i P (x#xs) = (*  
*if P x then (x,i) # filter-with-index' (i+1) P xs*  
*else filter-with-index' (i+1) P xs)*

**definition** *filter-with-index* :: *('a ⇒ bool) ⇒ 'a list ⇒ ('a × nat) list* **where**  
*filter-with-index P xs = filter-with-index' 0 P xs*

**lemma** *filter-with-index'-P*:  
*(x, n) ∈ set (filter-with-index' i P xs) ⇒ P x*  
**by** (*induction xs arbitrary: i*) (*auto split: if-splits*)

**lemma** *filter-with-index-P*:  
*(x, n) ∈ set (filter-with-index P xs) ⇒ P x*  
**by** (*metis filter-with-index'-P filter-with-index-def*)

**lemma** *filter-with-index'-cong-filter*:  
*map fst (filter-with-index' i P xs) = filter P xs*  
**by** (*induction xs arbitrary: i*) *auto*

**lemma** *filter-with-index-cong-filter*:  
*map fst (filter-with-index P xs) = filter P xs*  
**by** (*simp add: filter-with-index'-cong-filter filter-with-index-def*)

**lemma** *size-index-filter-with-index'*:  
*(x, n) ∈ set (filter-with-index' i P xs) ⇒ n ≥ i*

by (induction xs arbitrary: i) (auto simp: Suc-leD split: if-splits)

**lemma** *index-filter-with-index'-lt-length:*

$(x, n) \in \text{set } (\text{filter-with-index}' i P xs) \implies n - i < \text{length } xs$

by (induction xs arbitrary: i) (auto simp: less-Suc-eq-0-disj split: if-splits;metis Suc-diff-Suc leI)+

**lemma** *index-filter-with-index-lt-length:*

$(x, n) \in \text{set } (\text{filter-with-index } P xs) \implies n < \text{length } xs$

by (metis filter-with-index-def index-filter-with-index'-lt-length minus-nat.diff-0)

**lemma** *filter-with-index'-nth:*

$(x, n) \in \text{set } (\text{filter-with-index}' i P xs) \implies xs ! (n - i) = x$

**proof** (induction xs arbitrary: i)

case (Cons y xs)

show ?case

**proof** (cases x = y)

case True

thus ?thesis

using Cons by (auto simp: nth-Cons' split: if-splits)

next

case False

hence  $(x, n) \in \text{set } (\text{filter-with-index}' (i+1) P xs)$

using Cons.prem by (cases xs) (auto split: if-splits)

hence  $n \geq i + 1$   $xs ! (n - i - 1) = x$

by (auto simp: size-index-filter-with-index' Cons.IH)

thus ?thesis

by simp

qed

qed simp

**lemma** *filter-with-index-nth:*

$(x, n) \in \text{set } (\text{filter-with-index } P xs) \implies xs ! n = x$

by (metis diff-zero filter-with-index'-nth filter-with-index-def)

**lemma** *filter-with-index-nonempty:*

$x \in \text{set } xs \implies P x \implies \text{filter-with-index } P xs \neq []$

by (metis filter-empty-conv filter-with-index-cong-filter list.map(1))

**lemma** *filter-with-index'-Ex-first:*

$(\exists x i xs'. \text{filter-with-index}' n P xs = (x, i) \# xs') \longleftrightarrow (\exists x \in \text{set } xs. P x)$

by (induction xs arbitrary: n) auto

**lemma** *filter-with-index-Ex-first:*

$(\exists x i xs'. \text{filter-with-index } P xs = (x, i) \# xs') \longleftrightarrow (\exists x \in \text{set } xs. P x)$

using filter-with-index'-Ex-first filter-with-index-def by metis

## 8.2 Definitions

**datatype** *pointer* =

*Null*  
| *Pre nat* — *pre*  
| *PreRed nat × nat × nat (nat × nat × nat) list* — *k*, *pre*, *red*

**datatype** *'a entry* =

*Entry (item : 'a item) (pointer : pointer)*

**type-synonym** *'a bin* = *'a entry list*

**type-synonym** *'a bins* = *'a bin list*

**definition** *items* :: *'a bin* ⇒ *'a item list* **where**

*items b* ≡ *map item b*

**definition** *pointers* :: *'a bin* ⇒ *pointer list* **where**

*pointers b* ≡ *map pointer b*

**definition** *bins-eq-items* :: *'a bins* ⇒ *'a bins* ⇒ *bool* **where**

*bins-eq-items bs0 bs1* ≡ *map items bs0 = map items bs1*

**definition** *bins* :: *'a bins* ⇒ *'a item set* **where**

*bins bs* ≡  $\bigcup \{ \text{set } (\text{items } (bs!k)) \mid k. k < \text{length } bs \}$

**definition** *bin-upto* :: *'a bin* ⇒ *nat* ⇒ *'a item set* **where**

*bin-upto b i* ≡  $\{ \text{items } b ! j \mid j. j < i \wedge j < \text{length } (\text{items } b) \}$

**definition** *bins-upto* :: *'a bins* ⇒ *nat* ⇒ *nat* ⇒ *'a item set* **where**

*bins-upto bs k i* ≡  $\bigcup \{ \text{set } (\text{items } (bs ! l)) \mid l. l < k \} \cup \text{bin-upto } (bs ! k) i$

**definition** *wf-bin-items* :: *'a cfg* ⇒ *'a sentence* ⇒ *nat* ⇒ *'a item list* ⇒ *bool* **where**

*wf-bin-items G ω k xs* ≡  $\forall x \in \text{set } xs. \text{wf-item } G \omega x \wedge \text{item-end } x = k$

**definition** *wf-bin* :: *'a cfg* ⇒ *'a sentence* ⇒ *nat* ⇒ *'a bin* ⇒ *bool* **where**

*wf-bin G ω k b* ≡ *distinct (items b) ∧ wf-bin-items G ω k (items b)*

**definition** *wf-bins* :: *'a cfg* ⇒ *'a list* ⇒ *'a bins* ⇒ *bool* **where**

*wf-bins G ω bs* ≡  $\forall k < \text{length } bs. \text{wf-bin } G \omega k (bs!k)$

**definition** *nonempty-derives* :: *'a cfg* ⇒ *bool* **where**

*nonempty-derives G* ≡  $\forall N. N \in \text{set } (\mathfrak{N} G) \longrightarrow \neg \text{derives } G [N] []$

**definition** *Init<sub>L</sub>* :: *'a cfg* ⇒ *'a sentence* ⇒ *'a bins* **where**

*Init<sub>L</sub> G ω* ≡  
*let* *rs* = *filter* ( $\lambda r. \text{rule-head } r = \mathfrak{S} G$ ) ( $\mathfrak{R} G$ ) *in*  
*let* *b0* = *map* ( $\lambda r. (\text{Entry } (\text{init-item } r 0) \text{Null})$ ) *rs* *in*  
*let* *bs* = *replicate* (*length ω + 1*) ( $[]$ ) *in*  
*bs*[0 := *b0*]

**definition**  $Scan_L :: nat \Rightarrow 'a \text{ sentence} \Rightarrow 'a \Rightarrow 'a \text{ item} \Rightarrow nat \Rightarrow 'a \text{ entry list}$   
**where**

$Scan_L k \omega a x pre \equiv$   
 if  $\omega!k = a$  then  
 let  $x' = inc\text{-item } x (k+1)$  in  
 $[Entry x' (Pre pre)]$   
 else  $[]$

**definition**  $Predict_L :: nat \Rightarrow 'a \text{ cfg} \Rightarrow 'a \Rightarrow 'a \text{ entry list}$  **where**

$Predict_L k \mathcal{G} X \equiv$   
 let  $rs = filter (\lambda r. rule\text{-head } r = X) (\mathfrak{R} \mathcal{G})$  in  
 $map (\lambda r. (Entry (init\text{-item } r k) Null)) rs$

**definition**  $Complete_L :: nat \Rightarrow 'a \text{ item} \Rightarrow 'a \text{ bins} \Rightarrow nat \Rightarrow 'a \text{ entry list}$  **where**

$Complete_L k y bs red \equiv$   
 let  $orig = bs ! (item\text{-origin } y)$  in  
 let  $is = filter\text{-with-index } (\lambda x. next\text{-symbol } x = Some (item\text{-rule-head } y)) (items\text{-orig } orig)$  in  
 $map (\lambda(x, pre). (Entry (inc\text{-item } x k) (PreRed (item\text{-origin } y, pre, red) []))) is$

**fun**  $bin\text{-upd} :: 'a \text{ entry} \Rightarrow 'a \text{ bin} \Rightarrow 'a \text{ bin}$  **where**

$bin\text{-upd } e' [] = [e']$   
 $| bin\text{-upd } e' (e \# es) =$   
 case  $(e', e)$  of  
 $(Entry x (PreRed px xs), Entry y (PreRed py ys)) \Rightarrow$   
 if  $x = y$  then  $Entry x (PreRed py (px \# xs @ ys)) \# es$   
 else  $e \# bin\text{-upd } e' es$   
 $| - \Rightarrow$   
 if  $item e' = item e$  then  $e \# es$   
 else  $e \# bin\text{-upd } e' es$

**fun**  $bin\text{-upds} :: 'a \text{ entry list} \Rightarrow 'a \text{ bin} \Rightarrow 'a \text{ bin}$  **where**

$bin\text{-upds } [] b = b$   
 $| bin\text{-upds } (e \# es) b = bin\text{-upds } es (bin\text{-upd } e b)$

**definition**  $bins\text{-upd} :: 'a \text{ bins} \Rightarrow nat \Rightarrow 'a \text{ entry list} \Rightarrow 'a \text{ bins}$  **where**

$bins\text{-upd } bs k es \equiv bs[k := bin\text{-upds } es (bs!k)]$

**partial-function** (*tailrec*)  $Earley_L\text{-bin}' :: nat \Rightarrow 'a \text{ cfg} \Rightarrow 'a \text{ sentence} \Rightarrow 'a \text{ bins}$   
 $\Rightarrow nat \Rightarrow 'a \text{ bins}$  **where**

$Earley_L\text{-bin}' k \mathcal{G} \omega bs i =$   
 if  $i \geq length (items (bs ! k))$  then  $bs$   
 else  
 let  $x = items (bs!k) ! i$  in  
 let  $bs' =$   
 case  $next\text{-symbol } x$  of  
 Some  $a \Rightarrow$   
 if  $is\text{-terminal } \mathcal{G} a$  then  
 if  $k < length \omega$  then  $bins\text{-upd } bs (k+1) (Scan_L k \omega a x i)$

```

      else bs
    else bins-upd bs k (PredictL k  $\mathcal{G}$  a)
  | None  $\Rightarrow$  bins-upd bs k (CompleteL k x bs i)
in EarleyL-bin' k  $\mathcal{G}$   $\omega$  bs' (i+1))

```

**declare** *Earley<sub>L</sub>-bin'*.simps[code]

**definition** *Earley<sub>L</sub>-bin* :: nat  $\Rightarrow$  'a cfg  $\Rightarrow$  'a sentence  $\Rightarrow$  'a bins  $\Rightarrow$  'a bins **where**  
*Earley<sub>L</sub>-bin* k  $\mathcal{G}$   $\omega$  bs  $\equiv$  *Earley<sub>L</sub>-bin'* k  $\mathcal{G}$   $\omega$  bs 0

**fun** *Earley<sub>L</sub>-bins* :: nat  $\Rightarrow$  'a cfg  $\Rightarrow$  'a sentence  $\Rightarrow$  'a bins **where**  
*Earley<sub>L</sub>-bins* 0  $\mathcal{G}$   $\omega$  = *Earley<sub>L</sub>-bin* 0  $\mathcal{G}$   $\omega$  (Init<sub>L</sub>  $\mathcal{G}$   $\omega$ )  
| *Earley<sub>L</sub>-bins* (Suc n)  $\mathcal{G}$   $\omega$  = *Earley<sub>L</sub>-bin* (Suc n)  $\mathcal{G}$   $\omega$  (*Earley<sub>L</sub>-bins* n  $\mathcal{G}$   $\omega$ )

**definition** *Earley<sub>L</sub>* :: 'a cfg  $\Rightarrow$  'a sentence  $\Rightarrow$  'a bins **where**  
*Earley<sub>L</sub>*  $\mathcal{G}$   $\omega$   $\equiv$  *Earley<sub>L</sub>-bins* (length  $\omega$ )  $\mathcal{G}$   $\omega$

### 8.3 Bin lemmas

**lemma** *length-bins-upd[simp]*:  
length (bins-upd bs k es) = length bs  
**unfolding** *bins-upd-def* **by** *simp*

**lemma** *length-bin-upd*:  
length (bin-upd e b)  $\geq$  length b  
**by** (induction e b rule: bin-upd.induct) (auto split: pointer.splits entry.splits)

**lemma** *length-bin-upds*:  
length (bin-upds es b)  $\geq$  length b  
**by** (induction es arbitrary: b) (auto, meson le-trans length-bin-upd)

**lemma** *length-nth-bin-bins-upd*:  
length (bins-upd bs k es ! n)  $\geq$  length (bs ! n)  
**unfolding** *bins-upd-def* **using** *length-bin-upds*  
**by** (metis linorder-not-le list-update-beyond nth-list-update-eq nth-list-update-neq order-refl)

**lemma** *nth-idem-bins-upd*:  
k  $\neq$  n  $\implies$  bins-upd bs k es ! n = bs ! n  
**unfolding** *bins-upd-def* **by** *simp*

**lemma** *items-nth-idem-bin-upd*:  
n < length b  $\implies$  items (bin-upd e b) ! n = items b ! n  
**by** (induction b arbitrary: e n) (auto simp: items-def less-Suc-eq-0-disj split!: entry.split pointer.split)

**lemma** *items-nth-idem-bin-upds*:  
n < length b  $\implies$  items (bin-upds es b) ! n = items b ! n  
**by** (induction es arbitrary: b)

(*auto, metis items-def items-nth-idem-bin-upd length-bin-upd nth-map order.strict-trans2*)

**lemma** *items-nth-idem-bins-upd*:

$n < \text{length } (bs ! k) \implies \text{items } (\text{bins-upd } bs \ k \ es \ ! \ k) \ ! \ n = \text{items } (bs \ ! \ k) \ ! \ n$

**unfolding** *bins-upd-def* **using** *items-nth-idem-bin-upds*

**by** (*metis linorder-not-less list-update-beyond nth-list-update-eq*)

**lemma** *bin-upto-eq-set-items*:

$i \geq \text{length } b \implies \text{bin-upto } b \ i = \text{set } (\text{items } b)$

**by** (*auto simp: bin-upto-def items-def, metis in-set-conv-nth nth-map order-le-less order-less-trans*)

**lemma** *bins-upto-empty*:

$\text{bins-upto } bs \ 0 \ 0 = \{\}$

**unfolding** *bins-upto-def bin-upto-def* **by** *simp*

**lemma** *set-items-bin-upd*:

$\text{set } (\text{items } (\text{bin-upd } e \ b)) = \text{set } (\text{items } b) \cup \{\text{item } e\}$

**proof** (*induction b arbitrary: e*)

**case** (*Cons b bs*)

**show** *?case*

**proof** (*cases  $\exists x \ xp \ xs \ y \ yp \ ys. e = \text{Entry } x \ (\text{PreRed } xp \ xs) \wedge b = \text{Entry } y \ (\text{PreRed } yp \ ys)$* )

**case** *True*

**then obtain**  $x \ xp \ xs \ y \ yp \ ys$  **where**  $e = \text{Entry } x \ (\text{PreRed } xp \ xs) \ b = \text{Entry } y \ (\text{PreRed } yp \ ys)$

**by** *blast*

**thus** *?thesis*

**using** *Cons.IH* **by** (*auto simp: items-def*)

**next**

**case** *False*

**then show** *?thesis*

**proof** *cases*

**assume**  $*$ :  $\text{item } e = \text{item } b$

**hence**  $\text{bin-upd } e \ (b \ \# \ bs) = b \ \# \ bs$

**using** *False* **by** (*auto split: pointer.splits entry.splits*)

**thus** *?thesis*

**using**  $*$  **by** (*auto simp: items-def*)

**next**

**assume**  $*$ :  $\neg \text{item } e = \text{item } b$

**hence**  $\text{bin-upd } e \ (b \ \# \ bs) = b \ \# \ \text{bin-upd } e \ bs$

**using** *False* **by** (*auto split: pointer.splits entry.splits*)

**thus** *?thesis*

**using**  $*$  *Cons.IH* **by** (*auto simp: items-def*)

**qed**

**qed**

**qed** (*auto simp: items-def*)

**lemma** *set-items-bin-upds*:

$set (items (bin-upds es b)) = set (items b) \cup set (items es)$   
**using** *set-items-bin-upd* **by** (*induction es arbitrary: b*) (*auto simp: items-def, blast, force+*)

**lemma** *bins-bins-upd*:

**assumes**  $k < length\ bs$

**shows**  $bins (bins-upd\ bs\ k\ es) = bins\ bs \cup set (items\ es)$

**proof** –

**let**  $?bs = bins-upd\ bs\ k\ es$

**have**  $bins (bins-upd\ bs\ k\ es) = \bigcup \{set (items (?bs ! k)) \mid k. k < length\ ?bs\}$

**unfolding** *bins-def* **by** *blast*

**also have**  $\dots = \bigcup \{set (items (bs ! l)) \mid l. l < length\ bs \wedge l \neq k\} \cup set (items (?bs ! k))$

**unfolding** *bins-upd-def* **using** *assms* **by** (*auto, metis nth-list-update*)

**also have**  $\dots = \bigcup \{set (items (bs ! l)) \mid l. l < length\ bs \wedge l \neq k\} \cup set (items (bs ! k)) \cup set (items es)$

**using** *set-items-bin-upds[of es bs!k]* **by** (*simp add: assms bins-upd-def sup-assoc*)

**also have**  $\dots = \bigcup \{set (items (bs ! k)) \mid k. k < length\ bs\} \cup set (items es)$

**using** *assms* **by** *blast*

**also have**  $\dots = bins\ bs \cup set (items es)$

**unfolding** *bins-def* **by** *blast*

**finally show** *?thesis* .

**qed**

**lemma** *kth-bin-sub-bins*:

$k < length\ bs \implies set (items (bs ! k)) \subseteq bins\ bs$

**unfolding** *bins-def bins-upto-def bin-upto-def* **by** *blast+*

**lemma** *bin-upto-Cons-0*:

$bin-upto (e\#es) 0 = \{\}$

**by** (*auto simp: bin-upto-def*)

**lemma** *bin-upto-Cons*:

**assumes**  $0 < n$

**shows**  $bin-upto (e\#es) n = \{item\ e\} \cup bin-upto\ es\ (n-1)$

**proof** –

**have**  $bin-upto (e\#es) n = \{items (e\#es) ! j \mid j. j < n \wedge j < length (items (e\#es))\}$

**unfolding** *bin-upto-def* **by** *blast*

**also have**  $\dots = \{item\ e\} \cup \{items\ es ! j \mid j. j < (n-1) \wedge j < length (items es)\}$

**using** *assms* **by** (*cases n*) (*auto simp: items-def nth-Cons', metis One-nat-def Zero-not-Suc diff-Suc-1 not-less-eq nth-map*)

**also have**  $\dots = \{item\ e\} \cup bin-upto\ es\ (n-1)$

**unfolding** *bin-upto-def* **by** *blast*

**finally show** *?thesis* .

**qed**

**lemma** *bin-upto-nth-idem-bin-upd*:



$n < \text{length } b \implies \text{bin-upto } (\text{bin-upd } e \ b) \ n = \text{bin-upto } b \ n$   
**proof** (*induction b arbitrary: e n*)  
**case** (*Cons b bs*)  
**show** *?case*  
**proof** (*cases  $\exists x \ xp \ xs \ y \ yp \ ys. e = \text{Entry } x \ (\text{PreRed } xp \ xs) \wedge b = \text{Entry } y \ (\text{PreRed } yp \ ys)$* )  
**case** *True*  
**then obtain** *x xp xs y yp ys where  $e = \text{Entry } x \ (\text{PreRed } xp \ xs) \ b = \text{Entry } y \ (\text{PreRed } yp \ ys)$*   
**by** *blast*  
**thus** *?thesis*  
**using** *Cons bin-upto-Cons-0*  
**by** (*cases n*) (*auto simp: items-def bin-upto-Cons, blast+*)  
**next**  
**case** *False*  
**then show** *?thesis*  
**proof** *cases*  
**assume** *\*: item e = item b*  
**hence** *bin-upd e (b # bs) = b # bs*  
**using** *False by (auto split: pointer.splits entry.splits)*  
**thus** *?thesis*  
**using** *\* by (auto simp: items-def)*  
**next**  
**assume** *\*:  $\neg \text{item } e = \text{item } b$*   
**hence** *bin-upd e (b # bs) = b # bin-upd e bs*  
**using** *False by (auto split: pointer.splits entry.splits)*  
**thus** *?thesis*  
**using** *\* Cons bin-upto-Cons-0*  
**by** (*cases n*) (*auto simp: items-def bin-upto-Cons, blast+*)  
**qed**  
**qed**  
**qed** (*auto simp: items-def*)

**lemma** *bin-upto-nth-idem-bin-upds:*  
 $n < \text{length } b \implies \text{bin-upto } (\text{bin-upds } es \ b) \ n = \text{bin-upto } b \ n$   
**using** *bin-upto-nth-idem-bin-upd length-bin-upd*  
**apply** (*induction es arbitrary: b*)  
**apply** *auto*  
**using** *order.strict-trans2 order.strict-trans1 by blast+*

**lemma** *bins-upto-kth-nth-idem:*  
**assumes**  $l < \text{length } bs \ k \leq l \ n < \text{length } (bs \ ! \ k)$   
**shows**  $\text{bins-upto } (\text{bins-upd } bs \ l \ es) \ k \ n = \text{bins-upto } bs \ k \ n$   
**proof** *–*  
**let** *?bs = bins-upd bs l es*  
**have**  $\text{bins-upto } ?bs \ k \ n = \bigcup \{ \text{set } (\text{items } (?bs \ ! \ l)) \ |l. l < k\} \cup \text{bin-upto } (?bs \ ! \ k) \ n$   
**unfolding** *bins-upto-def by blast*  
**also have**  $\dots = \bigcup \{ \text{set } (\text{items } (bs \ ! \ l)) \ |l. l < k\} \cup \text{bin-upto } (?bs \ ! \ k) \ n$

**unfolding** *bins-upd-def* **using** *assms(1,2)* **by** *auto*  
**also have** ... =  $\bigcup \{ \text{set } (\text{items } (bs ! l)) \mid l. l < k \} \cup \text{bin-upto } (bs ! k) n$   
**unfolding** *bins-upd-def* **using** *assms(1,3)* *bin-upto-nth-idem-bin-upds*  
**by** (*metis* (*no-types*, *lifting*) *nth-list-update*)  
**also have** ... = *bins-upto* *bs* *k* *n*  
**unfolding** *bins-upto-def* **by** *blast*  
**finally show** *?thesis* .  
**qed**

**lemma** *bins-upto-sub-bins*:  
 $n < \text{length } bs \implies \text{bins-upto } bs \ k \ n \subseteq \text{bins } bs$   
**unfolding** *bins-def* *bins-upto-def* *bin-upto-def* **using** *less-trans* **by** (*auto*, *blast*)

**lemma** *bins-upto-Suc-Un*:  
 $n < \text{length } (bs ! k) \implies \text{bins-upto } bs \ k \ (n+1) = \text{bins-upto } bs \ k \ n \cup \{ \text{items } (bs ! k) ! n \}$   
**unfolding** *bins-upto-def* *bin-upto-def* **using** *less-Suc-eq* **by** (*auto simp: items-def*, *metis nth-map*)

**lemma** *bins-bin-exists*:  
 $x \in \text{bins } bs \implies \exists k < \text{length } bs. x \in \text{set } (\text{items } (bs ! k))$   
**unfolding** *bins-def* **by** *blast*

**lemma** *distinct-bin-upd*:  
 $\text{distinct } (\text{items } b) \implies \text{distinct } (\text{items } (\text{bin-upd } e \ b))$   
**proof** (*induction* *b* *arbitrary: e*)  
**case** (*Cons* *b* *bs*)  
**show** *?case*  
**proof** (*cases*  $\exists x \ xp \ xs \ y \ yp \ ys. e = \text{Entry } x \ (\text{PreRed } xp \ xs) \wedge b = \text{Entry } y \ (\text{PreRed } yp \ ys)$ )  
**case** *True*  
**then obtain** *x xp xs y yp ys* **where**  $e = \text{Entry } x \ (\text{PreRed } xp \ xs) \ b = \text{Entry } y \ (\text{PreRed } yp \ ys)$   
**by** *blast*  
**thus** *?thesis*  
**using** *Cons*  
**apply** (*auto simp: items-def*)  
**by** (*metis Un-insert-right* *entry.sel(1)* *imageI* *items-def* *list.set-map* *list.simps(15)* *set-ConsD* *set-items-bin-upd* *sup-bot-right*)  
**next**  
**case** *False*  
**then show** *?thesis*  
**proof** *cases*  
**assume** \*: *item e = item b*  
**hence**  $\text{bin-upd } e \ (b \# \ bs) = b \# \ bs$   
**using** *False* **by** (*auto split: pointer.splits* *entry.splits*)  
**thus** *?thesis*  
**using** \* *Cons.prem*s **by** (*auto simp: items-def*)  
**next**

```

assume *:  $\neg$  item e = item b
hence bin-upd e (b # bs) = b # bin-upd e bs
  using False by (auto split: pointer.splits entry.splits)
moreover have distinct (items (bin-upd e bs))
  using Cons by (auto simp: items-def)
ultimately show ?thesis
  using * Cons.premis set-items-bin-upd
  by (metis Un-insert-right distinct.simps(2) insertE items-def list.simps(9))
sup-bot-right
  qed
qed
qed (auto simp: items-def)

```

```

lemma wf-bins-kth-bin:
  wf-bins  $\mathcal{G} \omega$  bs  $\implies$  k < length bs  $\implies$  x  $\in$  set (items (bs ! k))  $\implies$  wf-item  $\mathcal{G} \omega$  x
   $\wedge$  item-end x = k
  using wf-bin-def wf-bins-def wf-bin-items-def by blast

```

```

lemma wf-bin-bin-upd:
  assumes wf-bin  $\mathcal{G} \omega$  k b wf-item  $\mathcal{G} \omega$  (item e)  $\wedge$  item-end (item e) = k
  shows wf-bin  $\mathcal{G} \omega$  k (bin-upd e b)
  using assms
proof (induction b arbitrary: e)
  case (Cons b bs)
  show ?case
  proof (cases  $\exists x xp xs y yp ys. e = \text{Entry } x (\text{PreRed } xp xs) \wedge b = \text{Entry } y (\text{PreRed } yp ys)$ )
    case True
    then obtain x xp xs y yp ys where e = Entry x (PreRed xp xs) b = Entry y (PreRed yp ys)
    by blast
    thus ?thesis
    using Cons distinct-bin-upd wf-bin-def wf-bin-items-def set-items-bin-upd
    by (smt (verit, best) Un-insert-right insertE sup-bot.right-neutral)
  next
  case False
  then show ?thesis
  proof cases
    assume *: item e = item b
    hence bin-upd e (b # bs) = b # bs
    using False by (auto split: pointer.splits entry.splits)
    thus ?thesis
    using * Cons.premis by (auto simp: items-def)
  next
  assume *:  $\neg$  item e = item b
  hence bin-upd e (b # bs) = b # bin-upd e bs
  using False by (auto split: pointer.splits entry.splits)
  thus ?thesis
  using * Cons.premis set-items-bin-upd distinct-bin-upd wf-bin-def wf-bin-items-def

```

**by** (*smt* (*verit*, *best*) *Un-insert-right insertE sup-bot-right*)  
**qed**  
**qed**  
**qed** (*auto simp: items-def wf-bin-def wf-bin-items-def*)

**lemma** *wf-bin-bin-upds*:

**assumes** *wf-bin*  $\mathcal{G}$   $\omega$  *k b distinct* (*items es*)  
**assumes**  $\forall x \in \text{set } (items\ es)$ . *wf-item*  $\mathcal{G}$   $\omega$  *x*  $\wedge$  *item-end* *x* = *k*  
**shows** *wf-bin*  $\mathcal{G}$   $\omega$  *k* (*bin-upds es b*)  
**using** *assms* **by** (*induction es arbitrary: b*) (*auto simp: wf-bin-bin-upd items-def*)

**lemma** *wf-bins-bins-upd*:

**assumes** *wf-bins*  $\mathcal{G}$   $\omega$  *bs distinct* (*items es*)  
**assumes**  $\forall x \in \text{set } (items\ es)$ . *wf-item*  $\mathcal{G}$   $\omega$  *x*  $\wedge$  *item-end* *x* = *k*  
**shows** *wf-bins*  $\mathcal{G}$   $\omega$  (*bins-upd bs k es*)  
**unfolding** *bins-upd-def* **using** *assms wf-bin-bin-upds wf-bins-def*  
**by** (*metis length-list-update nth-list-update-eq nth-list-update-neq*)

**lemma** *wf-bins-impl-wf-items*:

*wf-bins*  $\mathcal{G}$   $\omega$  *bs*  $\implies \forall x \in (bins\ bs)$ . *wf-item*  $\mathcal{G}$   $\omega$  *x*  
**unfolding** *wf-bins-def wf-bin-def wf-bin-items-def bins-def* **by** *auto*

**lemma** *bin-upds-eq-items*:

$\text{set } (items\ es) \subseteq \text{set } (items\ b) \implies \text{set } (items\ (bin-upds\ es\ b)) = \text{set } (items\ b)$   
**apply** (*induction es arbitrary: b*)  
**apply** (*auto simp: set-items-bin-upd set-items-bin-upds*)  
**apply** (*simp add: items-def*)  
**by** (*metis Un-iff Un-subset-iff items-def list.simps(9) set-subset-Cons*)

**lemma** *bin-eq-items-bin-upd*:

*item e*  $\in \text{set } (items\ b) \implies \text{items } (bin-upd\ e\ b) = \text{items } b$

**proof** (*induction b arbitrary: e*)

**case** (*Cons b bs*)

**show** *?case*

**proof** (*cases*  $\exists x\ xp\ xs\ y\ yp\ ys$ . *e* = *Entry* *x* (*PreRed* *xp* *xs*)  $\wedge$  *b* = *Entry* *y* (*PreRed* *yp* *ys*))

**case** *True*

**then obtain** *x xp xs y yp ys* **where** *e* = *Entry* *x* (*PreRed* *xp* *xs*) *b* = *Entry* *y* (*PreRed* *yp* *ys*)

**by** *blast*

**thus** *?thesis*

**using** *Cons* **by** (*auto simp: items-def*)

**next**

**case** *False*

**then show** *?thesis*

**proof** *cases*

**assume** *\**: *item e* = *item b*

**hence** *bin-upd e* (*b*  $\#$  *bs*) = *b*  $\#$  *bs*

**using** *False* **by** (*auto split: pointer.splits entry.splits*)

```

thus ?thesis
  using * Cons.premis by (auto simp: items-def)
next
  assume *:  $\neg$  item e = item b
  hence bin-upd e (b # bs) = b # bin-upd e bs
    using False by (auto split: pointer.splits entry.splits)
  thus ?thesis
    using * Cons by (auto simp: items-def)
qed
qed
qed (auto simp: items-def)

lemma bin-eq-items-bin-upds:
  assumes set (items es)  $\subseteq$  set (items b)
  shows items (bin-upds es b) = items b
  using assms
proof (induction es arbitrary: b)
  case (Cons e es)
  have items (bin-upds es (bin-upd e b)) = items (bin-upd e b)
    using Cons bin-upds-eq-items set-items-bin-upd set-items-bin-upds
    by (metis Un-upper2 bin-upds.simps(2) sup.coboundedI1)
  moreover have items (bin-upd e b) = items b
    using bin-eq-items-bin-upd Cons.premis by (auto simp: items-def)
  ultimately show ?case
    by simp
qed (auto simp: items-def)

lemma bins-eq-items-bins-upd:
  assumes set (items es)  $\subseteq$  set (items (bs!k))
  shows bins-eq-items (bins-upd bs k es) bs
  unfolding bins-upd-def using assms bin-eq-items-bin-upds bins-eq-items-def
  by (metis list-update-id map-update)

lemma bins-eq-items-imp-eq-bins:
  bins-eq-items bs bs'  $\implies$  bins bs = bins bs'
  unfolding bins-eq-items-def bins-def items-def
  by (metis (no-types, lifting) length-map nth-map)

lemma bin-eq-items-dist-bin-upd-bin:
  assumes items a = items b
  shows items (bin-upd e a) = items (bin-upd e b)
  using assms
proof (induction a arbitrary: e b)
  case (Cons a as)
  obtain b' bs where bs: b = b' # bs item a = item b' items as = items bs
    using Cons.premis by (auto simp: items-def)
  show ?case
  proof (cases  $\exists x xp xs y yp ys. e = \text{Entry } x (\text{PreRed } xp xs) \wedge a = \text{Entry } y (\text{PreRed } yp ys)$ )

```

```

case True
then obtain  $x xp xs y yp ys$  where  $\#$ :  $e = \text{Entry } x (\text{PreRed } xp xs)$   $a = \text{Entry } y (\text{PreRed } yp ys)$ 
  by blast
show ?thesis
proof cases
  assume  $*$ :  $x = y$ 
  hence  $\text{items } (\text{bin-upd } e (a \# as)) = x \# \text{items } as$ 
    using  $\#$  by (auto simp: items-def)
  moreover have  $\text{items } (\text{bin-upd } e (b' \# bs)) = x \# \text{items } bs$ 
    using  $bs \# *$  by (auto simp: items-def split: pointer.splits entry.splits)
  ultimately show ?thesis
    using  $bs$  by simp
next
  assume  $*$ :  $\neg x = y$ 
  hence  $\text{items } (\text{bin-upd } e (a \# as)) = y \# \text{items } (\text{bin-upd } e as)$ 
    using  $\#$  by (auto simp: items-def)
  moreover have  $\text{items } (\text{bin-upd } e (b' \# bs)) = y \# \text{items } (\text{bin-upd } e bs)$ 
    using  $bs \# *$  by (auto simp: items-def split: pointer.splits entry.splits)
  ultimately show ?thesis
    using  $bs$  Cons.IH by simp
qed
next
case False
then show ?thesis
proof cases
  assume  $*$ :  $\text{item } e = \text{item } a$ 
  hence  $\text{items } (\text{bin-upd } e (a \# as)) = \text{item } a \# \text{items } as$ 
    using False by (auto simp: items-def split: pointer.splits entry.splits)
  moreover have  $\text{items } (\text{bin-upd } e (b' \# bs)) = \text{item } b' \# \text{items } bs$ 
    using  $bs$  False  $*$  by (auto simp: items-def split: pointer.splits entry.splits)
  ultimately show ?thesis
    using  $bs$  by simp
next
  assume  $*$ :  $\neg \text{item } e = \text{item } a$ 
  hence  $\text{items } (\text{bin-upd } e (a \# as)) = \text{item } a \# \text{items } (\text{bin-upd } e as)$ 
    using False by (auto simp: items-def split: pointer.splits entry.splits)
  moreover have  $\text{items } (\text{bin-upd } e (b' \# bs)) = \text{item } b' \# \text{items } (\text{bin-upd } e bs)$ 
    using  $bs$  False  $*$  by (auto simp: items-def split: pointer.splits entry.splits)
  ultimately show ?thesis
    using  $bs$  Cons by simp
qed
qed
qed (auto simp: items-def)

lemma bin-eq-items-dist-bin-upds-bin:
  assumes  $\text{items } a = \text{items } b$ 
  shows  $\text{items } (\text{bin-upds } es a) = \text{items } (\text{bin-upds } es b)$ 
  using assms

```

```

proof (induction es arbitrary: a b)
  case (Cons e es)
  hence items (bin-upds es (bin-upd e a)) = items (bin-upds es (bin-upd e b))
    using bin-eq-items-dist-bin-upd-bin by blast
  thus ?case
    by simp
qed simp

lemma bin-eq-items-dist-bin-upd-entry:
  assumes item e = item e'
  shows items (bin-upd e b) = items (bin-upd e' b)
  using assms
proof (induction b arbitrary: e e')
  case (Cons a as)
  show ?case
  proof (cases  $\exists x xp xs y yp ys. e = \text{Entry } x (\text{PreRed } xp xs) \wedge a = \text{Entry } y (\text{PreRed } yp ys)$ )
    case True
    then obtain x xp xs y yp ys where #: e = Entry x (PreRed xp xs) a = Entry y (PreRed yp ys)
      by blast
    show ?thesis
    proof cases
      assume *: x = y
      thus ?thesis
      using # Cons.prem by (auto simp: items-def split: pointer.splits entry.splits)
    next
      assume *:  $\neg x = y$ 
      thus ?thesis
      using # Cons.prem
      by (auto simp: items-def split!: pointer.splits entry.splits, metis Cons.IH Cons.prem items-def)+
    qed
  next
  case False
  then show ?thesis
  proof cases
    assume *: item e = item a
    thus ?thesis
    using Cons.prem by (auto simp: items-def split: pointer.splits entry.splits)
  next
    assume *:  $\neg \text{item } e = \text{item } a$ 
    thus ?thesis
    using Cons.prem
    by (auto simp: items-def split!: pointer.splits entry.splits, metis Cons.IH Cons.prem items-def)+
  qed
qed (auto simp: items-def)

```

**lemma** *bin-eq-items-dist-bin-upds-entries*:  
**assumes**  $items\ es = items\ es'$   
**shows**  $items\ (bin-upds\ es\ b) = items\ (bin-upds\ es'\ b)$   
**using** *assms*  
**proof** (*induction es arbitrary: es' b*)  
**case** (*Cons e es*)  
**then obtain**  $e'\ es''$  **where**  $item\ e = item\ e'\ items\ es = items\ es''\ es' = e' \# es''$   
**by** (*auto simp: items-def*)  
**hence**  $items\ (bin-upds\ es\ (bin-upd\ e\ b)) = items\ (bin-upds\ es''\ (bin-upd\ e'\ b))$   
**using** *Cons.IH*  
**by** (*metis bin-eq-items-dist-bin-upd-entry bin-eq-items-dist-bin-upds-bin*)  
**thus** *?case*  
**by** (*simp add: ‹es' = e' # es''›*)  
**qed** (*auto simp: items-def*)

**lemma** *bins-eq-items-dist-bins-upd*:  
**assumes**  $bins-eq-items\ as\ bs\ items\ aes = items\ bes\ k < length\ as$   
**shows**  $bins-eq-items\ (bins-upd\ as\ k\ aes)\ (bins-upd\ bs\ k\ bes)$   
**proof** –  
**have**  $k < length\ bs$   
**using** *assms(1,3) bins-eq-items-def map-eq-imp-length-eq* **by** *metis*  
**hence**  $items\ (bin-upds\ (as!k)\ aes) = items\ (bin-upds\ (bs!k)\ bes)$   
**using** *bin-eq-items-dist-bin-upds-entries bin-eq-items-dist-bin-upds-bin bins-eq-items-def assms*  
**by** (*metis (no-types, lifting) nth-map*)  
**thus** *?thesis*  
**using**  $\langle k < length\ bs \rangle$  *assms bin-eq-items-dist-bin-upds-bin bin-eq-items-dist-bin-upds-entries bins-eq-items-def bins-upd-def* **by** (*smt (verit) map-update nth-map*)  
**qed**

## 8.4 Well-formed bins

**lemma** *distinct-Scan<sub>L</sub>*:  
 $distinct\ (items\ (Scan_L\ k\ \omega\ a\ x\ pre))$   
**unfolding** *Scan<sub>L</sub>-def* **by** (*auto simp: items-def*)

**lemma** *distinct-Predict<sub>L</sub>*:  
 $wf-\mathcal{G}\ \mathcal{G} \implies distinct\ (items\ (Predict_L\ k\ \mathcal{G}\ X))$   
**unfolding** *Predict<sub>L</sub>-def wf- $\mathcal{G}$ -defs* **by** (*auto simp: init-item-def rule-head-def distinct-map inj-on-def items-def*)

**lemma** *inj-on-inc-item*:  
 $\forall x \in A.\ item-end\ x = l \implies inj-on\ (\lambda x.\ inc-item\ x\ k)\ A$   
**unfolding** *inj-on-def inc-item-def* **by** (*simp add: item.expand*)

**lemma** *distinct-Complete<sub>L</sub>*:  
**assumes**  $wf-bins\ \mathcal{G}\ \omega\ bs\ item-origin\ y < length\ bs$



**shows** *distinct* (*items* (*Complete<sub>L</sub>* *k y bs red*))  
**proof** –  
**let** *?orig* = *bs ! (item-origin y)*  
**let** *?is* = *filter-with-index* ( $\lambda x. \text{next-symbol } x = \text{Some } (\text{item-rule-head } y)$ ) (*items* *?orig*)  
**let** *?is'* = *map* ( $\lambda(x, \text{pre}). (\text{Entry } (\text{inc-item } x k) (\text{PreRed } (\text{item-origin } y, \text{pre}, \text{red})))$ ) *?is*  
**have** *wf*: *wf-bin*  $\mathcal{G} \omega$  (*item-origin y*) *?orig*  
**using** *assms wf-bins-def* **by** *blast*  
**have** *0*:  $\forall x \in \text{set } (\text{map } \text{fst } ?is). \text{item-end } x = (\text{item-origin } y)$   
**using** *wf wf-bin-def wf-bin-items-def filter-is-subset filter-with-index-cong-filter*  
**by** (*metis in-mono*)  
**hence** *distinct* (*items ?orig*)  
**using** *wf unfolding wf-bin-def* **by** *blast*  
**hence** *distinct* (*map fst ?is*)  
**using** *filter-with-index-cong-filter distinct-filter* **by** *metis*  
**moreover** **have** *items ?is'* = *map* ( $\lambda x. \text{inc-item } x k$ ) (*map fst ?is*)  
**by** (*induction ?is*) (*auto simp: items-def*)  
**moreover** **have** *inj-on* ( $\lambda x. \text{inc-item } x k$ ) (*set* (*map fst ?is*))  
**using** *inj-on-inc-item 0* **by** *blast*  
**ultimately** **have** *distinct* (*items ?is'*)  
**using** *distinct-map* **by** *metis*  
**thus** *?thesis*  
**unfolding** *Complete<sub>L</sub>-def* **by** *simp*  
**qed**

**lemma** *wf-bins-Scan<sub>L</sub>'*:  
**assumes** *wf-bins*  $\mathcal{G} \omega$  *bs k < length bs x ∈ set (items (bs ! k))*  
**assumes** *k < length ω next-symbol x ≠ None y = inc-item x (k+1)*  
**shows** *wf-item*  $\mathcal{G} \omega y \wedge \text{item-end } y = k+1$   
**using** *assms wf-bins-kth-bin[OF assms(1-3)]*  
**unfolding** *wf-item-def inc-item-def next-symbol-def is-complete-def item-rule-body-def*  
**by** (*auto split: if-splits*)

**lemma** *wf-bins-Scan<sub>L</sub>*:  
**assumes** *wf-bins*  $\mathcal{G} \omega$  *bs k < length bs x ∈ set (items (bs ! k)) k < length ω*  
*next-symbol x ≠ None*  
**shows**  $\forall y \in \text{set } (\text{items } (\text{Scan}_L k \omega a x \text{pre})). \text{wf-item } \mathcal{G} \omega y \wedge \text{item-end } y = (k+1)$   
**using** *wf-bins-Scan<sub>L</sub>'[OF assms]* **by** (*simp add: Scan<sub>L</sub>-def items-def*)

**lemma** *wf-bins-Predict<sub>L</sub>*:  
**assumes** *wf-bins*  $\mathcal{G} \omega$  *bs k < length bs k ≤ length ω wf- $\mathcal{G}$   $\mathcal{G}$*   
**shows**  $\forall y \in \text{set } (\text{items } (\text{Predict}_L k \mathcal{G} X)). \text{wf-item } \mathcal{G} \omega y \wedge \text{item-end } y = k$   
**using** *assms* **by** (*auto simp: Predict<sub>L</sub>-def wf-item-def wf-bins-def wf-bin-def init-item-def wf- $\mathcal{G}$ -defs items-def*)

**lemma** *wf-item-inc-item*:  
**assumes** *wf-item*  $\mathcal{G} \omega$  *x next-symbol x = Some a item-origin x ≤ k k ≤ length ω*

**shows**  $wf\text{-item } \mathcal{G} \ \omega \ (inc\text{-item } x \ k) \wedge \ item\text{-end } (inc\text{-item } x \ k) = k$   
**using** *assms* **by** (*auto simp: wf-item-def inc-item-def item-rule-body-def next-symbol-def is-complete-def split: if-splits*)

**lemma** *wf-bins-Complete<sub>L</sub>*:

**assumes**  $wf\text{-bins } \mathcal{G} \ \omega \ bs \ k < \ length \ bs \ y \in \ set \ (items \ (bs \ ! \ k))$

**shows**  $\forall x \in \ set \ (items \ (Complete_L \ k \ y \ bs \ red)). \ wf\text{-item } \mathcal{G} \ \omega \ x \wedge \ item\text{-end } x = k$

**proof** –

**let**  $?orig = bs \ ! \ (item\text{-origin } y)$

**let**  $?is = filter\text{-with-index } (\lambda x. \ next\text{-symbol } x = \ Some \ (item\text{-rule-head } y)) \ (items \ ?orig)$

**let**  $?is' = map \ (\lambda(x, \ pre). \ (Entry \ (inc\text{-item } x \ k) \ (PreRed \ (item\text{-origin } y, \ pre, \ red) \ []))) \ ?is$

{

**fix**  $x$

**assume**  $*$ :  $x \in \ set \ (map \ fst \ ?is)$

**have**  $item\text{-end } x = item\text{-origin } y$

**using**  $*$  *assms*  $wf\text{-bins-kth-bin } wf\text{-item-def } filter\text{-with-index-cong-filter}$

**by** (*metis dual-order.strict-trans2 filter-is-subset subsetD*)

**have**  $wf\text{-item } \mathcal{G} \ \omega \ x$

**using**  $*$  *assms*  $wf\text{-bins-kth-bin } wf\text{-item-def } filter\text{-with-index-cong-filter}$

**by** (*metis dual-order.strict-trans2 filter-is-subset subsetD*)

**moreover** **have**  $next\text{-symbol } x = \ Some \ (item\text{-rule-head } y)$

**using**  $*$  *filter-set filter-with-index-cong-filter member-filter* **by** *metis*

**moreover** **have**  $item\text{-origin } x \leq k$

**using**  $\langle item\text{-end } x = item\text{-origin } y \rangle \ \langle wf\text{-item } \mathcal{G} \ \omega \ x \rangle \ iassms \ wf\text{-bins-kth-bin } wf\text{-item-def}$

**by** (*metis dual-order.order-iff-strict dual-order.strict-trans1*)

**moreover** **have**  $k \leq \ length \ \omega$

**using** *assms*  $wf\text{-bins-kth-bin } wf\text{-item-def}$  **by** *blast*

**ultimately** **have**  $wf\text{-item } \mathcal{G} \ \omega \ (inc\text{-item } x \ k) \ item\text{-end } (inc\text{-item } x \ k) = k$

**by** (*simp-all add: wf-item-inc-item*)

}

**hence**  $\forall x \in \ set \ (items \ ?is'). \ wf\text{-item } \mathcal{G} \ \omega \ x \wedge \ item\text{-end } x = k$

**by** (*auto simp: items-def rev-image-eqI*)

**thus** *?thesis*

**unfolding** *Complete<sub>L</sub>-def* **by** *presburger*

**qed**

**lemma** *Ex-wf-bins*:

$\exists n \ bs \ \omega \ \mathcal{G}. \ n \leq \ length \ \omega \wedge \ length \ bs = \ Suc \ (length \ \omega) \wedge \ wf\text{-}\mathcal{G} \ \mathcal{G} \wedge \ wf\text{-bins } \mathcal{G} \ \omega \ bs$

**apply** (*rule exI[where x=0]*)

**apply** (*rule exI[where x=[]]*)

**apply** (*rule exI[where x=[]]*)

**apply** (*auto simp: wf-bins-def wf-bin-def wf-}\mathcal{G}\text{-defs wf-bin-items-def items-def split: prod.splits*)

**by** (*metis cfg.sel distinct.simps(1) empty-iff empty-set inf-bot-right list.set-intros(1)*)

**definition** *wf-earley-input* :: (nat × 'a cfg × 'a sentence × 'a bins) set **where**

```

wf-earley-input = {
  (k, G, ω, bs) | k G ω bs.
  k ≤ length ω ∧
  length bs = length ω + 1 ∧
  wf-G G ∧
  wf-bins G ω bs
}

```

**typedef** 'a *wf-bins* = *wf-earley-input*::(nat × 'a cfg × 'a sentence × 'a bins) set  
**morphisms** *from-wf-bins to-wf-bins*  
**using** *Ex-wf-bins* **by** (*auto simp: wf-earley-input-def*)

**lemma** *wf-earley-input-elim*:

```

assumes (k, G, ω, bs) ∈ wf-earley-input
shows k ≤ length ω ∧ k < length bs ∧ length bs = length ω + 1 ∧ wf-G G ∧
wf-bins G ω bs
using assms(1) from-wf-bins wf-earley-input-def by (smt (verit) Suc-eq-plus1
less-Suc-eq-le mem-Collect-eq prod.sel(1) snd-conv)

```

**lemma** *wf-earley-input-intro*:

```

assumes k ≤ length ω length bs = length ω + 1 wf-G G wf-bins G ω bs
shows (k, G, ω, bs) ∈ wf-earley-input
by (simp add: assms wf-earley-input-def)

```

**lemma** *wf-earley-input-Complete<sub>L</sub>*:

```

assumes (k, G, ω, bs) ∈ wf-earley-input ¬ length (items (bs ! k)) ≤ i
assumes x = items (bs ! k) ! i next-symbol x = None
shows (k, G, ω, bins-upd bs k (CompleteL k x bs red)) ∈ wf-earley-input
proof –
have *: k ≤ length ω length bs = length ω + 1 wf-G G wf-bins G ω bs
using wf-earley-input-elim assms(1) by metis+
have x: x ∈ set (items (bs ! k))
using assms(2,3) by simp
have item-origin x < length bs
using x wf-bins-kth-bin *(1,2,4) wf-item-def
by (metis One-nat-def add.right-neutral add-Suc-right dual-order.trans le-imp-less-Suc)
hence wf-bins G ω (bins-upd bs k (CompleteL k x bs red))
using *(1,2,4) Suc-eq-plus1 distinct-CompleteL le-imp-less-Suc wf-bins-CompleteL
wf-bins-bins-upd x by metis
thus ?thesis
by (simp add: *(1-3) wf-earley-input-def)
qed

```

**lemma** *wf-earley-input-Scan<sub>L</sub>*:

```

assumes (k, G, ω, bs) ∈ wf-earley-input ¬ length (items (bs ! k)) ≤ i
assumes x = items (bs ! k) ! i next-symbol x = Some a
assumes is-terminal G a k < length ω

```

**shows**  $(k, \mathcal{G}, \omega, \text{bins-upd } bs \ (k+1) \ (\text{Scan}_L \ k \ \omega \ a \ x \ \text{pre})) \in \text{wf-earley-input}$   
**proof** –  
**have**  $*$ :  $k \leq \text{length } \omega \ \text{length } bs = \text{length } \omega + 1 \ \text{wf-}\mathcal{G} \ \mathcal{G} \ \text{wf-bins } \mathcal{G} \ \omega \ bs$   
**using** *wf-earley-input-elim* *assms(1)* **by** *metis+*  
**have**  $x$ :  $x \in \text{set } (\text{items}(bs \ ! \ k))$   
**using** *assms(2,3)* **by** *simp*  
**have** *wf-bins*  $\mathcal{G} \ \omega \ (\text{bins-upd } bs \ (k+1) \ (\text{Scan}_L \ k \ \omega \ a \ x \ \text{pre}))$   
**using**  $*$  *assms(1,4,6)* *distinct-Scan<sub>L</sub>* *wf-bins-Scan<sub>L</sub>* *wf-bins-bins-upd* *wf-earley-input-elim*  
**by** *(metis option.discI)*  
**thus** *?thesis*  
**by** *(simp add: \*(1-3) wf-earley-input-def)*  
**qed**

**lemma** *wf-earley-input-Predict<sub>L</sub>*:  
**assumes**  $(k, \mathcal{G}, \omega, bs) \in \text{wf-earley-input} \ \neg \ \text{length } (\text{items } (bs \ ! \ k)) \leq i$   
**assumes**  $x = \text{items } (bs \ ! \ k) \ ! \ i \ \text{next-symbol } x = \text{Some } a \ \neg \ \text{is-terminal } \mathcal{G} \ a$   
**shows**  $(k, \mathcal{G}, \omega, \text{bins-upd } bs \ k \ (\text{Predict}_L \ k \ \mathcal{G} \ a)) \in \text{wf-earley-input}$   
**proof** –  
**have**  $*$ :  $k \leq \text{length } \omega \ \text{length } bs = \text{length } \omega + 1 \ \text{wf-}\mathcal{G} \ \mathcal{G} \ \text{wf-bins } \mathcal{G} \ \omega \ bs$   
**using** *wf-earley-input-elim* *assms(1)* **by** *metis+*  
**have**  $x$ :  $x \in \text{set } (\text{items } (bs \ ! \ k))$   
**using** *assms(2,3)* **by** *simp*  
**hence** *wf-bins*  $\mathcal{G} \ \omega \ (\text{bins-upd } bs \ k \ (\text{Predict}_L \ k \ \mathcal{G} \ a))$   
**using**  $*$  *assms(1,4)* *distinct-Predict<sub>L</sub>* *wf-bins-Predict<sub>L</sub>* *wf-bins-bins-upd* *wf-earley-input-elim*  
**by** *metis*  
**thus** *?thesis*  
**by** *(simp add: \*(1-3) wf-earley-input-def)*  
**qed**

**fun** *earley-measure*  $:: \text{nat} \times 'a \ \text{cfg} \times 'a \ \text{sentence} \times 'a \ \text{bins} \Rightarrow \text{nat} \Rightarrow \text{nat}$  **where**  
*earley-measure*  $(k, \mathcal{G}, \omega, bs) \ i = \text{card } \{ x \mid x. \text{wf-item } \mathcal{G} \ \omega \ x \wedge \text{item-end } x = k \}$   
 $- \ i$

**lemma** *Earley<sub>L</sub>-bin'-simps[simp]*:  
 $i \geq \text{length } (\text{items } (bs \ ! \ k)) \Longrightarrow \text{Earley}_L\text{-bin}' \ k \ \mathcal{G} \ \omega \ bs \ i = bs$   
 $\neg \ i \geq \text{length } (\text{items } (bs \ ! \ k)) \Longrightarrow x = \text{items } (bs!k) \ ! \ i \Longrightarrow \text{next-symbol } x = \text{None}$   
 $\Longrightarrow$   
 $\text{Earley}_L\text{-bin}' \ k \ \mathcal{G} \ \omega \ bs \ i = \text{Earley}_L\text{-bin}' \ k \ \mathcal{G} \ \omega \ (\text{bins-upd } bs \ k \ (\text{Complete}_L \ k \ x \ bs \ i)) \ (i+1)$   
 $\neg \ i \geq \text{length } (\text{items } (bs \ ! \ k)) \Longrightarrow x = \text{items } (bs!k) \ ! \ i \Longrightarrow \text{next-symbol } x = \text{Some } a \Longrightarrow$   
 $\text{is-terminal } \mathcal{G} \ a \Longrightarrow k < \text{length } \omega \Longrightarrow \text{Earley}_L\text{-bin}' \ k \ \mathcal{G} \ \omega \ bs \ i = \text{Earley}_L\text{-bin}' \ k \ \mathcal{G} \ \omega \ (\text{bins-upd } bs \ (k+1) \ (\text{Scan}_L \ k \ \omega \ a \ x \ i)) \ (i+1)$   
 $\neg \ i \geq \text{length } (\text{items } (bs \ ! \ k)) \Longrightarrow x = \text{items } (bs!k) \ ! \ i \Longrightarrow \text{next-symbol } x = \text{Some } a \Longrightarrow$   
 $\text{is-terminal } \mathcal{G} \ a \Longrightarrow \neg \ k < \text{length } \omega \Longrightarrow \text{Earley}_L\text{-bin}' \ k \ \mathcal{G} \ \omega \ bs \ i = \text{Earley}_L\text{-bin}' \ k \ \mathcal{G} \ \omega \ bs \ (i+1)$   
 $\neg \ i \geq \text{length } (\text{items } (bs \ ! \ k)) \Longrightarrow x = \text{items } (bs!k) \ ! \ i \Longrightarrow \text{next-symbol } x = \text{Some } a \Longrightarrow$

$\neg$  is-terminal  $\mathcal{G} a \implies \text{Earley}_L\text{-bin}' k \mathcal{G} \omega bs i = \text{Earley}_L\text{-bin}' k \mathcal{G} \omega (\text{bins-upd } bs k (\text{Predict}_L k \mathcal{G} a)) (i+1)$

**by** (subst Earley<sub>L</sub>-bin'.simps, simp)+

**lemma** Earley<sub>L</sub>-bin'-induct[case-names Base Complete<sub>F</sub> Scan<sub>F</sub> Pass Predict<sub>F</sub>]:

**assumes**  $(k, \mathcal{G}, \omega, bs) \in \text{wf-earley-input}$

**assumes** base:  $\bigwedge k \mathcal{G} \omega bs i. i \geq \text{length } (\text{items } (bs ! k)) \implies P k \mathcal{G} \omega bs i$

**assumes** complete:  $\bigwedge k \mathcal{G} \omega bs i x. \neg i \geq \text{length } (\text{items } (bs ! k)) \implies x = \text{items } (bs ! k) ! i \implies$

$\text{next-symbol } x = \text{None} \implies P k \mathcal{G} \omega (\text{bins-upd } bs k (\text{Complete}_L k x bs i))$

$(i+1) \implies P k \mathcal{G} \omega bs i$

**assumes** scan:  $\bigwedge k \mathcal{G} \omega bs i x a. \neg i \geq \text{length } (\text{items } (bs ! k)) \implies x = \text{items } (bs ! k) ! i \implies$

$\text{next-symbol } x = \text{Some } a \implies \text{is-terminal } \mathcal{G} a \implies k < \text{length } \omega \implies$

$P k \mathcal{G} \omega (\text{bins-upd } bs (k+1) (\text{Scan}_L k \omega a x i)) (i+1) \implies P k \mathcal{G} \omega bs i$

**assumes** pass:  $\bigwedge k \mathcal{G} \omega bs i x a. \neg i \geq \text{length } (\text{items } (bs ! k)) \implies x = \text{items } (bs ! k) ! i \implies$

$\text{next-symbol } x = \text{Some } a \implies \text{is-terminal } \mathcal{G} a \implies \neg k < \text{length } \omega \implies$

$P k \mathcal{G} \omega bs (i+1) \implies P k \mathcal{G} \omega bs i$

**assumes** predict:  $\bigwedge k \mathcal{G} \omega bs i x a. \neg i \geq \text{length } (\text{items } (bs ! k)) \implies x = \text{items } (bs ! k) ! i \implies$

$\text{next-symbol } x = \text{Some } a \implies \neg \text{is-terminal } \mathcal{G} a \implies$

$P k \mathcal{G} \omega (\text{bins-upd } bs k (\text{Predict}_L k \mathcal{G} a)) (i+1) \implies P k \mathcal{G} \omega bs i$

**shows**  $P k \mathcal{G} \omega bs i$

**using** *assms*(1)

**proof** (induction  $n \equiv \text{earley-measure } (k, \mathcal{G}, \omega, bs) i$  arbitrary: *bs i rule: nat-less-induct*)

**case** 1

**have** *wf*:  $k \leq \text{length } \omega \text{ length } bs = \text{length } \omega + 1 \text{ wf-}\mathcal{G} \mathcal{G} \text{ wf-bins } \mathcal{G} \omega bs$

**using** 1.premis wf-earley-input-elim **by** *metis*+

**hence** *k*:  $k < \text{length } bs$

**by** *simp*

**have** *fin*:  $\text{finite } \{ x \mid x. \text{wf-item } \mathcal{G} \omega x \wedge \text{item-end } x = k \}$

**using** finiteness-UNIV-wf-item **by** *fastforce*

**show** *?case*

**proof** *cases*

**assume** *i*:  $i \geq \text{length } (\text{items } (bs ! k))$

**then show** *?thesis*

**by** (*simp add: base*)

**next**

**assume** *a1*:  $\neg i \geq \text{length } (\text{items } (bs ! k))$

**let** *?x* =  $\text{items } (bs ! k) ! i$

**have** *x*:  $?x \in \text{set } (\text{items } (bs ! k))$

**using** *a1* **by** *fastforce*

**show** *?thesis*

**proof** *cases*

**assume** *a2*:  $\text{next-symbol } ?x = \text{None}$

**let** *?bs'* =  $\text{bins-upd } bs k (\text{Complete}_L k ?x bs i)$

**have** *item-origin* *?x* <  $\text{length } bs$

**using** *wf*(4) *k wf-bins-kth-bin wf-item-def x* **by** (*metis order-le-less-trans*)

```

hence wf-bins': wf-bins  $\mathcal{G} \omega$  ?bs'
  using wf-bins-CompleteL distinct-CompleteL wf(4) wf-bins-bins-upd k x by
metis
  hence wf':  $(k, \mathcal{G}, \omega, ?bs') \in \text{wf-earley-input}$ 
  using wf(1,2,3) wf-earley-input-intro by fastforce
  have sub:  $\text{set}(\text{items}(?bs' ! k)) \subseteq \{x \mid x. \text{wf-item } \mathcal{G} \omega x \wedge \text{item-end } x = k\}$ 
  using wf(1,2) wf-bins' unfolding wf-bin-def wf-bins-def wf-bin-items-def
using order-le-less-trans by auto
  have  $i < \text{length}(\text{items}(?bs' ! k))$ 
  using a1 by  $(\text{metis } \text{dual-order.strict-trans1 } \text{items-def } \text{leI } \text{length-map } \text{length-nth-bin-bins-upd})$ 
  also have  $\dots = \text{card}(\text{set}(\text{items}(?bs' ! k)))$ 
  using wf(1,2) wf-bins' distinct-card wf-bins-def wf-bin-def by  $(\text{metis } k$ 
length-bins-upd)
  also have  $\dots \leq \text{card}\{x \mid x. \text{wf-item } \mathcal{G} \omega x \wedge \text{item-end } x = k\}$ 
  using card-mono fin sub by blast
  finally have  $\text{card}\{x \mid x. \text{wf-item } \mathcal{G} \omega x \wedge \text{item-end } x = k\} > i$ 
  by blast
  hence  $\text{earley-measure}(k, \mathcal{G}, \omega, ?bs') (\text{Suc } i) < \text{earley-measure}(k, \mathcal{G}, \omega, bs) i$ 
  by simp
  thus ?thesis
  using 1 a1 a2 complete wf' by simp
next
  assume a2:  $\neg \text{next-symbol } ?x = \text{None}$ 
  then obtain a where a-def:  $\text{next-symbol } ?x = \text{Some } a$ 
  by blast
  show ?thesis
  proof cases
  assume a3: is-terminal  $\mathcal{G} a$ 
  show ?thesis
  proof cases
  assume a4:  $k < \text{length } \omega$ 
  let ?bs' = bins-upd bs  $(k+1)$   $(\text{Scan}_L k \omega a ?x i)$ 
  have wf-bins': wf-bins  $\mathcal{G} \omega$  ?bs'
  using wf-bins-ScanL distinct-ScanL wf(1,4) wf-bins-bins-upd a2 a4 k x
by metis
  hence wf':  $(k, \mathcal{G}, \omega, ?bs') \in \text{wf-earley-input}$ 
  using wf(1,2,3) wf-earley-input-intro by fastforce
  have sub:  $\text{set}(\text{items}(?bs' ! k)) \subseteq \{x \mid x. \text{wf-item } \mathcal{G} \omega x \wedge \text{item-end } x =$ 
k  $\}$ 
  using wf(1,2) wf-bins' unfolding wf-bin-def wf-bins-def wf-bin-items-def
using order-le-less-trans by auto
  have  $i < \text{length}(\text{items}(?bs' ! k))$ 
  using a1 by  $(\text{metis } \text{dual-order.strict-trans1 } \text{items-def } \text{leI } \text{length-map}$ 
length-nth-bin-bins-upd)
  also have  $\dots = \text{card}(\text{set}(\text{items}(?bs' ! k)))$ 
  using wf(1,2) wf-bins' distinct-card wf-bins-def wf-bin-def
  by  $(\text{metis } \text{Suc-eq-plus1 } \text{le-imp-less-Suc } \text{length-bins-upd})$ 
  also have  $\dots \leq \text{card}\{x \mid x. \text{wf-item } \mathcal{G} \omega x \wedge \text{item-end } x = k\}$ 
  using card-mono fin sub by blast

```

**finally have**  $\text{card } \{x \mid x. \text{wf-item } \mathcal{G} \ \omega \ x \wedge \text{item-end } x = k\} > i$   
**by** *blast*  
**hence**  $\text{earley-measure } (k, \mathcal{G}, \omega, ?bs') (\text{Suc } i) < \text{earley-measure } (k, \mathcal{G}, \omega,$   
*bs) i*  
**by** *simp*  
**thus** *?thesis*  
**using** *1 a1 a-def a3 a4 scan wf' by simp*  
**next**  
**assume** *a4:  $\neg k < \text{length } \omega$*   
**have** *sub:  $\text{set } (\text{items } (bs ! k)) \subseteq \{x \mid x. \text{wf-item } \mathcal{G} \ \omega \ x \wedge \text{item-end } x = k\}$*   
**using** *wf(1,2,4) unfolding wf-bin-def wf-bins-def wf-bin-items-def using*  
*order-le-less-trans by auto*  
**have**  $i < \text{length } (\text{items } (bs ! k))$   
**using** *a1 by simp*  
**also have**  $\dots = \text{card } (\text{set } (\text{items } (bs ! k)))$   
**using** *wf(1,2,4) distinct-card wf-bins-def wf-bin-def by (metis Suc-eq-plus1*  
*le-imp-less-Suc)*  
**also have**  $\dots \leq \text{card } \{x \mid x. \text{wf-item } \mathcal{G} \ \omega \ x \wedge \text{item-end } x = k\}$   
**using** *card-mono fin sub by blast*  
**finally have**  $\text{card } \{x \mid x. \text{wf-item } \mathcal{G} \ \omega \ x \wedge \text{item-end } x = k\} > i$   
**by** *blast*  
**hence**  $\text{earley-measure } (k, \mathcal{G}, \omega, bs) (\text{Suc } i) < \text{earley-measure } (k, \mathcal{G}, \omega, bs) i$   
**by** *simp*  
**thus** *?thesis*  
**using** *1 a1 a3 a4 a-def pass by simp*  
**qed**  
**next**  
**assume** *a3:  $\neg \text{is-terminal } \mathcal{G} \ a$*   
**let** *?bs' = bins-upd bs k (Predict<sub>L</sub> k  $\mathcal{G}$  a)*  
**have** *wf-bins': wf-bins  $\mathcal{G}$   $\omega$  ?bs'*  
**using** *wf-bins-Predict<sub>L</sub> distinct-Predict<sub>L</sub> wf(1,3,4) wf-bins-bins-upd k x*  
**by** *metis*  
**hence**  $\text{wf}' : (k, \mathcal{G}, \omega, ?bs') \in \text{wf-earley-input}$   
**using** *wf(1,2,3) wf-earley-input-intro by fastforce*  
**have** *sub:  $\text{set } (\text{items } (?bs' ! k)) \subseteq \{x \mid x. \text{wf-item } \mathcal{G} \ \omega \ x \wedge \text{item-end } x = k\}$*   
**using** *wf(1,2) wf-bins' unfolding wf-bin-def wf-bins-def wf-bin-items-def*  
**using** *order-le-less-trans by auto*  
**have**  $i < \text{length } (\text{items } (?bs' ! k))$   
**using** *a1 by (metis dual-order.strict-trans1 items-def leI length-map*  
*length-nth-bin-bins-upd)*  
**also have**  $\dots = \text{card } (\text{set } (\text{items } (?bs' ! k)))$   
**using** *wf(1,2) wf-bins' distinct-card wf-bins-def wf-bin-def*  
**by** *(metis Suc-eq-plus1 le-imp-less-Suc length-bins-upd)*  
**also have**  $\dots \leq \text{card } \{x \mid x. \text{wf-item } \mathcal{G} \ \omega \ x \wedge \text{item-end } x = k\}$   
**using** *card-mono fin sub by blast*  
**finally have**  $\text{card } \{x \mid x. \text{wf-item } \mathcal{G} \ \omega \ x \wedge \text{item-end } x = k\} > i$   
**by** *blast*  
**hence**  $\text{earley-measure } (k, \mathcal{G}, \omega, ?bs') (\text{Suc } i) < \text{earley-measure } (k, \mathcal{G}, \omega, bs)$   
*i*

```

    by simp
  thus ?thesis
    using 1 a1 a-def a3 a-def predict wf' by simp
qed
qed
qed
qed

```

```

lemma wf-earley-input-EarleyL-bin':
  assumes (k, G, ω, bs) ∈ wf-earley-input
  shows (k, G, ω, EarleyL-bin' k G ω bs i) ∈ wf-earley-input
  using assms
proof (induction i rule: EarleyL-bin'-induct[OF assms(1), case-names Base CompleteF ScanF Pass PredictF])
  case (CompleteF k G ω bs i x)
  let ?bs' = bins-upd bs k (CompleteL k x bs i)
  have (k, G, ω, ?bs') ∈ wf-earley-input
    using CompleteF.hyps CompleteF.prems wf-earley-input-CompleteL by blast
  thus ?case
    using CompleteF.IH CompleteF.hyps by simp
next
  case (ScanF k G ω bs i x a)
  let ?bs' = bins-upd bs (k+1) (ScanL k ω a x i)
  have (k, G, ω, ?bs') ∈ wf-earley-input
    using ScanF.hyps ScanF.prems wf-earley-input-ScanL by metis
  thus ?case
    using ScanF.IH ScanF.hyps by simp
next
  case (PredictF k G ω bs i x a)
  let ?bs' = bins-upd bs k (PredictL k G a)
  have (k, G, ω, ?bs') ∈ wf-earley-input
    using PredictF.hyps PredictF.prems wf-earley-input-PredictL by metis
  thus ?case
    using PredictF.IH PredictF.hyps by simp
qed simp-all

```

```

lemma wf-earley-input-EarleyL-bin:
  assumes (k, G, ω, bs) ∈ wf-earley-input
  shows (k, G, ω, EarleyL-bin k G ω bs) ∈ wf-earley-input
  using assms by (simp add: EarleyL-bin-def wf-earley-input-EarleyL-bin')

```

```

lemma length-bins-EarleyL-bin':
  assumes (k, G, ω, bs) ∈ wf-earley-input
  shows length (EarleyL-bin' k G ω bs i) = length bs
  by (metis assms wf-earley-input-EarleyL-bin' wf-earley-input-elim)

```

```

lemma length-nth-bin-EarleyL-bin':
  assumes (k, G, ω, bs) ∈ wf-earley-input
  shows length (items (EarleyL-bin' k G ω bs i ! l)) ≥ length (items (bs ! l))

```



**using** *length-nth-bin-bins-upd order-trans*  
**by** (*induction i rule: Earley<sub>L</sub>-bin'-induct[OF assms]*) (*auto simp: items-def, blast+*)

**lemma** *wf-bins-Earley<sub>L</sub>-bin'*:  
**assumes**  $(k, \mathcal{G}, \omega, bs) \in \text{wf-earley-input}$   
**shows**  $\text{wf-bins } \mathcal{G} \ \omega \ (\text{Earley}_L\text{-bin}' \ k \ \mathcal{G} \ \omega \ bs \ i)$   
**using** *assms wf-earley-input-Earley<sub>L</sub>-bin' wf-earley-input-elim* **by** *blast*

**lemma** *wf-bins-Earley<sub>L</sub>-bin*:  
**assumes**  $(k, \mathcal{G}, \omega, bs) \in \text{wf-earley-input}$   
**shows**  $\text{wf-bins } \mathcal{G} \ \omega \ (\text{Earley}_L\text{-bin} \ k \ \mathcal{G} \ \omega \ bs)$   
**using** *assms Earley<sub>L</sub>-bin-def wf-bins-Earley<sub>L</sub>-bin'* **by** *metis*

**lemma** *kth-Earley<sub>L</sub>-bin'-bins*:  
**assumes**  $(k, \mathcal{G}, \omega, bs) \in \text{wf-earley-input}$   
**assumes**  $j < \text{length} \ (\text{items} \ (bs \ ! \ l))$   
**shows**  $\text{items} \ (\text{Earley}_L\text{-bin}' \ k \ \mathcal{G} \ \omega \ bs \ i \ ! \ l) \ ! \ j = \text{items} \ (bs \ ! \ l) \ ! \ j$   
**using** *assms(2)*  
**proof** (*induction i rule: Earley<sub>L</sub>-bin'-induct[OF assms(1), case-names Base Complete<sub>F</sub> Scan<sub>F</sub> Pass Predict<sub>F</sub>]*)  
**case** (*Complete<sub>F</sub> k G ω bs i x*)  
**let**  $?bs' = \text{bins-upd} \ bs \ k \ (\text{Complete}_L \ k \ x \ bs \ i)$   
**have**  $\text{items} \ (\text{Earley}_L\text{-bin}' \ k \ \mathcal{G} \ \omega \ ?bs' \ (i + 1) \ ! \ l) \ ! \ j = \text{items} \ (?bs' \ ! \ l) \ ! \ j$   
**using** *Complete<sub>F</sub>.IH Complete<sub>F</sub>.prems length-nth-bin-bins-upd items-def order.strict-trans2* **by** (*metis length-map*)  
**also have**  $\dots = \text{items} \ (bs \ ! \ l) \ ! \ j$   
**using** *Complete<sub>F</sub>.prems items-nth-idem-bins-upd nth-idem-bins-upd length-map items-def* **by** *metis*  
**finally show** *?case*  
**using** *Complete<sub>F</sub>.hyps* **by** *simp*  
**next**  
**case** (*Scan<sub>F</sub> k G ω bs i x a*)  
**let**  $?bs' = \text{bins-upd} \ bs \ (k+1) \ (\text{Scan}_L \ k \ \omega \ a \ x \ i)$   
**have**  $\text{items} \ (\text{Earley}_L\text{-bin}' \ k \ \mathcal{G} \ \omega \ ?bs' \ (i + 1) \ ! \ l) \ ! \ j = \text{items} \ (?bs' \ ! \ l) \ ! \ j$   
**using** *Scan<sub>F</sub>.IH Scan<sub>F</sub>.prems length-nth-bin-bins-upd order.strict-trans2 items-def*  
**by** (*metis length-map*)  
**also have**  $\dots = \text{items} \ (bs \ ! \ l) \ ! \ j$   
**using** *Scan<sub>F</sub>.prems items-nth-idem-bins-upd nth-idem-bins-upd length-map items-def*  
**by** *metis*  
**finally show** *?case*  
**using** *Scan<sub>F</sub>.hyps* **by** *simp*  
**next**  
**case** (*Predict<sub>F</sub> k G ω bs i x a*)  
**let**  $?bs' = \text{bins-upd} \ bs \ k \ (\text{Predict}_L \ k \ \mathcal{G} \ a)$   
**have**  $\text{items} \ (\text{Earley}_L\text{-bin}' \ k \ \mathcal{G} \ \omega \ ?bs' \ (i + 1) \ ! \ l) \ ! \ j = \text{items} \ (?bs' \ ! \ l) \ ! \ j$   
**using** *Predict<sub>F</sub>.IH Predict<sub>F</sub>.prems length-nth-bin-bins-upd order.strict-trans2 items-def* **by** (*metis length-map*)  
**also have**  $\dots = \text{items} \ (bs \ ! \ l) \ ! \ j$

**using**  $Predict_F.premis$   $items$ -nth-idem-bins-upd  $nth$ -idem-bins-upd  $length$ -map  
 $items$ -def **by**  $metis$   
**finally show**  $?case$   
**using**  $Predict_F.hypos$  **by**  $simp$   
**qed**  $simp$ -all

**lemma**  $nth$ -bin-sub-Earley<sub>L</sub>-bin':  
**assumes**  $(k, \mathcal{G}, \omega, bs) \in wf$ -earley-input  
**shows**  $set (items (bs ! l)) \subseteq set (items (Earley_L$ -bin'  $k \mathcal{G} \omega bs i ! l))$   
**proof**  $standard$   
**fix**  $x$   
**assume**  $x \in set (items (bs ! l))$   
**then obtain**  $j$  **where**  $*$ :  $j < length (items (bs ! l))$   $items (bs ! l) ! j = x$   
**using**  $in$ -set-conv-nth **by**  $metis$   
**have**  $x = items (Earley_L$ -bin'  $k \mathcal{G} \omega bs i ! l) ! j$   
**using**  $kth$ -Earley<sub>L</sub>-bin'-bins  $assms$   $*$  **by**  $metis$   
**moreover have**  $j < length (items (Earley_L$ -bin'  $k \mathcal{G} \omega bs i ! l))$   
**using**  $assms$   $*(1)$   $length$ -nth-bin-Earley<sub>L</sub>-bin'  $less$ -le-trans **by**  $blast$   
**ultimately show**  $x \in set (items (Earley_L$ -bin'  $k \mathcal{G} \omega bs i ! l))$   
**by**  $simp$   
**qed**

**lemma**  $nth$ -Earley<sub>L</sub>-bin'-eq:  
**assumes**  $(k, \mathcal{G}, \omega, bs) \in wf$ -earley-input  
**shows**  $l < k \implies Earley_L$ -bin'  $k \mathcal{G} \omega bs i ! l = bs ! l$   
**by** ( $induction$   $i$   $rule$ :  $Earley_L$ -bin'-induct[ $OF$   $assms$ ]) ( $auto$   $simp$ :  $bins$ -upd-def)

**lemma**  $set$ -items-Earley<sub>L</sub>-bin'-eq:  
**assumes**  $(k, \mathcal{G}, \omega, bs) \in wf$ -earley-input  
**shows**  $l < k \implies set (items (Earley_L$ -bin'  $k \mathcal{G} \omega bs i ! l)) = set (items (bs ! l))$   
**by** ( $simp$   $add$ :  $assms$   $nth$ -Earley<sub>L</sub>-bin'-eq)

**lemma**  $bins$ -upto- $k0$ -Earley<sub>L</sub>-bin'-eq:  
**assumes**  $(k, \mathcal{G}, \omega, bs) \in wf$ -earley-input  
**shows**  $bins$ -upto ( $Earley_L$ -bin'  $k \mathcal{G} \omega bs$ )  $k 0 = bins$ -upto  $bs k 0$   
**unfolding**  $bins$ -upto-def  $bin$ -upto-def  $Earley_L$ -bin-def **using**  $set$ -items-Earley<sub>L</sub>-bin'-eq  
 $assms$   $nth$ -Earley<sub>L</sub>-bin'-eq **by**  $fastforce$

**lemma**  $wf$ -earley-input-Init<sub>L</sub>:  
**assumes**  $k \leq length \omega$   $wf$ - $\mathcal{G}$   $\mathcal{G}$   
**shows**  $(k, \mathcal{G}, \omega, Init_L \mathcal{G} \omega) \in wf$ -earley-input  
**proof** –  
**let**  $?rs = filter (\lambda r. rule$ -head  $r = \mathfrak{S} \mathcal{G}) (\mathfrak{R} \mathcal{G})$   
**let**  $?b0 = map (\lambda r. (Entry (init$ -item  $r 0) Null)) ?rs$   
**let**  $?bs = replicate (length \omega + 1) ([])$   
**have**  $distinct (items ?b0)$   
**using**  $assms$  **unfolding**  $wf$ -bin-def  $wf$ -item-def  $wf$ - $\mathcal{G}$ -def  $distinct$ -rules-def  $items$ -def  
**by** ( $auto$   $simp$ :  $init$ -item-def  $distinct$ -map  $inj$ -on-def)  
**moreover have**  $\forall x \in set (items ?b0). wf$ -item  $\mathcal{G} \omega x \wedge item$ -end  $x = 0$

**using** *assms* **unfolding** *wf-bin-def wf-item-def* **by** (*auto simp: init-item-def items-def*)  
**moreover have** *wf-bins*  $\mathcal{G}$   $\omega$  *?bs*  
**unfolding** *wf-bins-def wf-bin-def wf-bin-items-def items-def* **using** *less-Suc-eq-0-disj*  
**by force**  
**ultimately show** *?thesis*  
**using** *assms length-replicate wf-earley-input-intro*  
**unfolding** *wf-bin-def Init<sub>L</sub>-def wf-bin-def wf-bin-items-def wf-bins-def*  
**by** (*metis (no-types, lifting) length-list-update nth-list-update-eq nth-list-update-neq*)  
**qed**

**lemma** *length-bins-Init<sub>L</sub>[simp]*:  
 $length (Init_L \mathcal{G} \omega) = length \omega + 1$   
**by** (*simp add: Init<sub>L</sub>-def*)

**lemma** *wf-earley-input-Earley<sub>L</sub>-bins[simp]*:  
**assumes**  $k \leq length \omega$  *wf- $\mathcal{G}$   $\mathcal{G}$*   
**shows**  $(k, \mathcal{G}, \omega, Earley_L\text{-bins } k \mathcal{G} \omega) \in wf\text{-earley-input}$   
**using** *assms*  
**proof** (*induction k*)  
**case 0**  
**have**  $(k, \mathcal{G}, \omega, Init_L \mathcal{G} \omega) \in wf\text{-earley-input}$   
**using** *assms wf-earley-input-Init<sub>L</sub>* **by blast**  
**thus** *?case*  
**by** (*simp add: assms(2) wf-earley-input-Init<sub>L</sub> wf-earley-input-Earley<sub>L</sub>-bin*)  
**next**  
**case** (*Suc k*)  
**have**  $(Suc\ k, \mathcal{G}, \omega, Earley_L\text{-bins } k \mathcal{G} \omega) \in wf\text{-earley-input}$   
**using** *Suc.IH Suc.prem1(1) Suc-leD assms(2) wf-earley-input-elim wf-earley-input-intro*  
**by metis**  
**thus** *?case*  
**by** (*simp add: wf-earley-input-Earley<sub>L</sub>-bin*)  
**qed**

**lemma** *length-Earley<sub>L</sub>-bins[simp]*:  
**assumes**  $k \leq length \omega$  *wf- $\mathcal{G}$   $\mathcal{G}$*   
**shows**  $length (Earley_L\text{-bins } k \mathcal{G} \omega) = length (Init_L \mathcal{G} \omega)$   
**using** *assms wf-earley-input-Earley<sub>L</sub>-bins wf-earley-input-elim* **by fastforce**

**lemma** *wf-bins-Earley<sub>L</sub>-bins[simp]*:  
**assumes**  $k \leq length \omega$  *wf- $\mathcal{G}$   $\mathcal{G}$*   
**shows** *wf-bins*  $\mathcal{G}$   $\omega$  (*Earley<sub>L</sub>-bins*  $k \mathcal{G} \omega$ )  
**using** *assms wf-earley-input-Earley<sub>L</sub>-bins wf-earley-input-elim* **by fastforce**

**lemma** *wf-bins-Earley<sub>L</sub>*:  
 $wf\text{-}\mathcal{G} \mathcal{G} \implies wf\text{-bins } \mathcal{G} \omega (Earley_L \mathcal{G} \omega)$   
**by** (*simp add: Earley<sub>L</sub>-def*)

## 8.5 Soundness

**lemma** *Init<sub>L</sub>-eq-Init<sub>F</sub>*:

*bins (Init<sub>L</sub>  $\mathcal{G}$   $\omega$ ) = Init<sub>F</sub>  $\mathcal{G}$*

**proof** –

**let** *?rs* = *filter* ( $\lambda r. \text{rule-head } r = \mathfrak{S} \mathcal{G}$ ) ( $\mathfrak{R} \mathcal{G}$ )

**let** *?b0* = *map* ( $\lambda r. (\text{Entry } (\text{init-item } r \ 0) \ \text{Null}))$  *?rs*

**let** *?bs* = *replicate* (*length*  $\omega + 1$ ) ( $\square$ )

**have** *bins* (*?bs*[0 := *?b0*]) = *set* (*items* *?b0*)

**proof** –

**have** *bins* (*?bs*[0 := *?b0*]) =  $\bigcup \{ \text{set } (\text{items } ((?bs[0 := ?b0]) ! k)) \mid k. k < \text{length } (?bs[0 := ?b0]) \}$

**unfolding** *bins-def* **by** *blast*

**also have** ... = *set* (*items* ( $((?bs[0 := ?b0]) ! 0)$ )  $\cup \bigcup \{ \text{set } (\text{items } ((?bs[0 := ?b0]) ! k)) \mid k. k < \text{length } (?bs[0 := ?b0]) \wedge k \neq 0 \}$ )

**by** *fastforce*

**also have** ... = *set* (*items* (*?b0*))

**by** (*auto simp: items-def*)

**finally show** *?thesis* .

**qed**

**also have** ... = *Init<sub>F</sub>  $\mathcal{G}$*

**by** (*auto simp: Init<sub>F</sub>-def items-def rule-head-def*)

**finally show** *?thesis*

**by** (*auto simp: Init<sub>L</sub>-def*)

**qed**

**lemma** *Scan<sub>L</sub>-sub-Scan<sub>F</sub>*:

**assumes** *wf-bins  $\mathcal{G}$   $\omega$  bs bins*  $bs \subseteq I$   $x \in \text{set } (\text{items } (bs ! k))$   $k < \text{length } bs$   $k < \text{length } \omega$

**assumes** *next-symbol*  $x = \text{Some } a$

**shows** *set* (*items* (*Scan<sub>L</sub> k  $\omega$  a x pre*))  $\subseteq$  *Scan<sub>F</sub> k  $\omega$  I*

**proof** *standard*

**fix** *y*

**assume** \*:  $y \in \text{set } (\text{items } (\text{Scan}_L \ k \ \omega \ a \ x \ \text{pre}))$

**have**  $x \in \text{bin } I \ k$

**using** *kth-bin-sub-bins* *assms(1-4)* *items-def* *wf-bin-def* *wf-bins-def* *wf-bin-items-def* *bin-def* **by** *fastforce*

{

**assume** #:  $k < \text{length } \omega$   $\omega ! k = a$

**hence**  $y = \text{inc-item } x \ (k+1)$

**using** \* **unfolding** *Scan<sub>L</sub>-def* **by** (*simp add: items-def*)

**hence**  $y \in \text{Scan}_F \ k \ \omega \ I$

**using**  $\langle x \in \text{bin } I \ k \rangle$  # *assms(6)* **unfolding** *Scan<sub>F</sub>-def* **by** *blast*

}

**thus**  $y \in \text{Scan}_F \ k \ \omega \ I$

**using** \* *assms(5)* **unfolding** *Scan<sub>L</sub>-def* **by** (*auto simp: items-def*)

**qed**

**lemma** *Predict<sub>L</sub>-sub-Predict<sub>F</sub>*:

**assumes** *wf-bins  $\mathcal{G}$   $\omega$  bs bins*  $bs \subseteq I$   $x \in \text{set } (\text{items } (bs ! k))$   $k < \text{length } bs$

**assumes** *next-symbol*  $x = \text{Some } X$   
**shows**  $\text{set } (\text{items } (\text{Predict}_L k \mathcal{G} X)) \subseteq \text{Predict}_F k \mathcal{G} I$   
**proof** *standard*  
**fix**  $y$   
**assume**  $*$ :  $y \in \text{set } (\text{items } (\text{Predict}_L k \mathcal{G} X))$   
**have**  $x \in \text{bin } I k$   
**using** *kth-bin-sub-bins* *assms(1-4)* *items-def* *wf-bin-def* *wf-bins-def* *bin-def* *wf-bin-items-def* **by** *fast*  
**let**  $?rs = \text{filter } (\lambda r. \text{rule-head } r = X) (\mathfrak{R} \mathcal{G})$   
**let**  $?xs = \text{map } (\lambda r. \text{init-item } r k) ?rs$   
**have**  $y \in \text{set } ?xs$   
**using**  $*$  **unfolding** *Predict<sub>L</sub>-def* *items-def* **by** *simp*  
**then obtain**  $r$  **where**  $y = \text{init-item } r k$   $\text{rule-head } r = X$   $r \in \text{set } (\mathfrak{R} \mathcal{G})$  *next-symbol*  
 $x = \text{Some } (\text{rule-head } r)$   
**using** *assms(5)* **by** *auto*  
**thus**  $y \in \text{Predict}_F k \mathcal{G} I$   
**unfolding** *Predict<sub>F</sub>-def* **using**  $\langle x \in \text{bin } I k \rangle$  **by** *blast*  
**qed**

**lemma** *Complete<sub>L</sub>-sub-Complete<sub>F</sub>*:  
**assumes** *wf-bins*  $\mathcal{G} \omega$  *bs* *bins*  $bs \subseteq I$   $y \in \text{set } (\text{items } (bs ! k))$   $k < \text{length } bs$   
**assumes** *next-symbol*  $y = \text{None}$   
**shows**  $\text{set } (\text{items } (\text{Complete}_L k y bs \text{red})) \subseteq \text{Complete}_F k I$   
**proof** *standard*  
**fix**  $x$   
**assume**  $*$ :  $x \in \text{set } (\text{items } (\text{Complete}_L k y bs \text{red}))$   
**have**  $y \in \text{bin } I k$   
**using** *kth-bin-sub-bins* *assms* *items-def* *wf-bin-def* *wf-bins-def* *bin-def* *wf-bin-items-def*  
**by** *fast*  
**let**  $?orig = bs ! \text{item-origin } y$   
**let**  $?xs = \text{filter-with-index } (\lambda x. \text{next-symbol } x = \text{Some } (\text{item-rule-head } y)) (\text{items } ?orig)$   
**let**  $?xs' = \text{map } (\lambda(x, \text{pre}). (\text{Entry } (\text{inc-item } x k) (\text{PreRed } (\text{item-origin } y, \text{pre}, \text{red}) []))) ?xs$   
**have**  $0: \text{item-origin } y < \text{length } bs$   
**using** *wf-bins-def* *wf-bin-def* *wf-item-def* *wf-bin-items-def* *assms(1,3,4)*  
**by** (*metis* *Orderings.preorder-class.dual-order.strict-trans1* *leD* *not-le-imp-less*)  
{  
**fix**  $z$   
**assume**  $*$ :  $z \in \text{set } (\text{map } \text{fst } ?xs)$   
**have** *next-symbol*  $z = \text{Some } (\text{item-rule-head } y)$   
**using**  $*$  **by** (*simp* *add: filter-with-index-cong-filter*)  
**moreover have**  $z \in \text{bin } I$  (*item-origin*  $y$ )  
**using**  $0$   $*$  *assms(1,2)* *bin-def* *kth-bin-sub-bins* *wf-bins-kth-bin* *filter-with-index-cong-filter*  
**by** (*metis* (*mono-tags*, *lifting*) *filter-is-subset* *in-mono* *mem-Collect-eq*)  
**ultimately have** *next-symbol*  $z = \text{Some } (\text{item-rule-head } y)$   $z \in \text{bin } I$  (*item-origin*  $y$ )  
**by** *simp-all*  
}  
}

**hence**  $1: \forall z \in \text{set } (\text{map } \text{fst } ?xs). \text{next-symbol } z = \text{Some } (\text{item-rule-head } y) \wedge z \in \text{bin } I \text{ (item-origin } y)$   
**by** *blast*  
**obtain**  $z$  **where**  $z: x = \text{inc-item } z \ k \ z \in \text{set } (\text{map } \text{fst } ?xs)$   
**using** \* **unfolding** *Complete<sub>L</sub>-def* **by** *(auto simp: rev-image-eqI items-def)*  
**moreover** **have**  $\text{next-symbol } z = \text{Some } (\text{item-rule-head } y) \ z \in \text{bin } I \text{ (item-origin } y)$   
**using**  $1 \ z$  **by** *blast+*  
**ultimately** **show**  $x \in \text{Complete}_F \ k \ I$   
**using**  $\langle y \in \text{bin } I \ k \rangle$  *assms(5)* **unfolding** *Complete<sub>F</sub>-def next-symbol-def* **by** *(auto split: if-splits)*  
**qed**

**lemma** *sound-Scan<sub>L</sub>*:

**assumes** *wf-bins*  $\mathcal{G} \ \omega \ bs \ \text{bins} \ bs \subseteq I \ x \in \text{set } (\text{items } (bs!k)) \ k < \text{length } bs \ k < \text{length } \omega$   
**assumes**  $\text{next-symbol } x = \text{Some } a \ \forall x \in I. \text{wf-item } \mathcal{G} \ \omega \ x \ \forall x \in I. \text{sound-item } \mathcal{G} \ \omega \ x$   
**shows**  $\forall x \in \text{set } (\text{items } (\text{Scan}_L \ k \ \omega \ a \ x \ i)). \text{sound-item } \mathcal{G} \ \omega \ x$   
**proof** *standard*  
**fix**  $y$   
**assume**  $y \in \text{set } (\text{items } (\text{Scan}_L \ k \ \omega \ a \ x \ i))$   
**hence**  $y \in \text{Scan}_F \ k \ \omega \ I$   
**by** *(meson Scan<sub>L</sub>-sub-Scan<sub>F</sub> assms(1-6) in-mono)*  
**thus**  $\text{sound-item } \mathcal{G} \ \omega \ y$   
**using** *sound-Scan* *assms(7,8)* **unfolding** *Scan<sub>F</sub>-def inc-item-def bin-def*  
**by** *(smt (verit, best) item.exhaust-sel mem-Collect-eq)*  
**qed**

**lemma** *sound-Predict<sub>L</sub>*:

**assumes** *wf-bins*  $\mathcal{G} \ \omega \ bs \ \text{bins} \ bs \subseteq I \ x \in \text{set } (\text{items } (bs!k)) \ k < \text{length } bs$   
**assumes**  $\text{next-symbol } x = \text{Some } X \ \forall x \in I. \text{wf-item } \mathcal{G} \ \omega \ x \ \forall x \in I. \text{sound-item } \mathcal{G} \ \omega \ x$   
**shows**  $\forall x \in \text{set } (\text{items } (\text{Predict}_L \ k \ \mathcal{G} \ X)). \text{sound-item } \mathcal{G} \ \omega \ x$   
**proof** *standard*  
**fix**  $y$   
**assume**  $y \in \text{set } (\text{items } (\text{Predict}_L \ k \ \mathcal{G} \ X))$   
**hence**  $y \in \text{Predict}_F \ k \ \mathcal{G} \ I$   
**by** *(meson Predict<sub>L</sub>-sub-Predict<sub>F</sub> assms(1-5) subsetD)*  
**thus**  $\text{sound-item } \mathcal{G} \ \omega \ y$   
**using** *sound-Predict* *assms(6,7)* **unfolding** *Predict<sub>F</sub>-def init-item-def bin-def*  
**by** *(smt (verit, del-insts) item.exhaust-sel mem-Collect-eq)*  
**qed**

**lemma** *sound-Complete<sub>L</sub>*:

**assumes** *wf-bins*  $\mathcal{G} \ \omega \ bs \ \text{bins} \ bs \subseteq I \ y \in \text{set } (\text{items } (bs!k)) \ k < \text{length } bs$   
**assumes**  $\text{next-symbol } y = \text{None} \ \forall x \in I. \text{wf-item } \mathcal{G} \ \omega \ x \ \forall x \in I. \text{sound-item } \mathcal{G} \ \omega \ x$   
**shows**  $\forall x \in \text{set } (\text{items } (\text{Complete}_L \ k \ y \ bs \ i)). \text{sound-item } \mathcal{G} \ \omega \ x$

**proof** *standard*  
**fix**  $x$   
**assume**  $x \in \text{set } (\text{items } (\text{Complete}_L k y bs i))$   
**hence**  $x \in \text{Complete}_F k I$   
**using** *Complete<sub>L</sub>-sub-Complete<sub>F</sub> assms(1-5)* **by** *blast*  
**thus** *sound-item*  $\mathcal{G} \omega x$   
**using** *sound-Complete assms(6,7) unfolding Complete<sub>F</sub>-def inc-item-def bin-def*  
**by** *(smt (verit, del-insts) item.exhaust-sel mem-Collect-eq)*  
**qed**

**lemma** *sound-Earley<sub>L</sub>-bin'*:  
**assumes**  $(k, \mathcal{G}, \omega, bs) \in \text{wf-earley-input}$   
**assumes**  $\forall x \in \text{bins } bs. \text{sound-item } \mathcal{G} \omega x$   
**shows**  $\forall x \in \text{bins } (\text{Earley}_L\text{-bin}' k \mathcal{G} \omega bs i). \text{sound-item } \mathcal{G} \omega x$   
**using** *assms*

**proof** (*induction i rule: Earley<sub>L</sub>-bin'-induct[OF assms(1), case-names Base Complete<sub>F</sub> Scan<sub>F</sub> Pass Predict<sub>F</sub>]*)  
**case**  $(\text{Complete}_F k \mathcal{G} \omega bs i x)$   
**let**  $?bs' = \text{bins-upd } bs k (\text{Complete}_L k x bs i)$   
**have**  $x \in \text{set } (\text{items } (bs ! k))$   
**using** *Complete<sub>F</sub>.hyps(1,2)* **by** *force*  
**hence**  $\forall x \in \text{set } (\text{items } (\text{Complete}_L k x bs i)). \text{sound-item } \mathcal{G} \omega x$   
**using** *sound-Complete<sub>L</sub> Complete<sub>F</sub>.hyps(3) Complete<sub>F</sub>.prems wf-earley-input-elim*  
*wf-bins-impl-wf-items* **by** *fastforce*  
**moreover**  $(k, \mathcal{G}, \omega, ?bs') \in \text{wf-earley-input}$   
**using** *Complete<sub>F</sub>.hyps Complete<sub>F</sub>.prems(1) wf-earley-input-Complete<sub>L</sub>* **by** *blast*  
**ultimately**  $\forall x \in \text{bins } (\text{Earley}_L\text{-bin}' k \mathcal{G} \omega ?bs' (i + 1)). \text{sound-item } \mathcal{G} \omega$   
 $x$   
**using** *Complete<sub>F</sub>.IH Complete<sub>F</sub>.prems(2) length-bins-upd bins-bins-upd wf-earley-input-elim*  
*Suc-eq-plus1 Un-iff le-imp-less-Suc* **by** *metis*  
**thus** *?case*  
**using** *Complete<sub>F</sub>.hyps* **by** *simp*

**next**  
**case**  $(\text{Scan}_F k \mathcal{G} \omega bs i x a)$   
**let**  $?bs' = \text{bins-upd } bs (k+1) (\text{Scan}_L k \omega a x i)$   
**have**  $x \in \text{set } (\text{items } (bs ! k))$   
**using** *Scan<sub>F</sub>.hyps(1,2)* **by** *force*  
**hence**  $\forall x \in \text{set } (\text{items } (\text{Scan}_L k \omega a x i)). \text{sound-item } \mathcal{G} \omega x$   
**using** *sound-Scan<sub>L</sub> Scan<sub>F</sub>.hyps(3,5) Scan<sub>F</sub>.prems(1,2) wf-earley-input-elim*  
*wf-bins-impl-wf-items* **by** *fast*  
**moreover**  $(k, \mathcal{G}, \omega, ?bs') \in \text{wf-earley-input}$   
**using** *Scan<sub>F</sub>.hyps Scan<sub>F</sub>.prems(1) wf-earley-input-Scan<sub>L</sub>* **by** *metis*  
**ultimately**  $\forall x \in \text{bins } (\text{Earley}_L\text{-bin}' k \mathcal{G} \omega ?bs' (i + 1)). \text{sound-item } \mathcal{G} \omega$   
 $x$   
**using** *Scan<sub>F</sub>.IH Scan<sub>F</sub>.hyps(5) Scan<sub>F</sub>.prems(2) length-bins-upd bins-bins-upd*  
*wf-earley-input-elim*  
**by** *(metis UnE add-less-cancel-right)*  
**thus** *?case*  
**using** *Scan<sub>F</sub>.hyps* **by** *simp*

**next**  
**case** ( $Predict_F k \mathcal{G} \omega bs i x a$ )  
**let**  $?bs' = bins\_upd\ bs\ k\ (Predict_L\ k\ \mathcal{G}\ a)$   
**have**  $x \in set\ (items\ (bs\ !\ k))$   
**using**  $Predict_F.hyps(1,2)$  **by** *force*  
**hence**  $\forall x \in set\ (items(Predict_L\ k\ \mathcal{G}\ a)).\ sound\_item\ \mathcal{G}\ \omega\ x$   
**using**  $sound\_Predict_L\ Predict_F.hyps(3)\ Predict_F.prem\ wf\_earley\_input\_elim$   
*wf-bins-impl-wf-items* **by** *fast*  
**moreover**  $(k, \mathcal{G}, \omega, ?bs') \in wf\_earley\_input$   
**using**  $Predict_F.hyps\ Predict_F.prem(1)\ wf\_earley\_input\_Predict_L$  **by** *metis*  
**ultimately**  $\forall x \in bins\ (Earley_L\_bin'\ k\ \mathcal{G}\ \omega\ ?bs'\ (i + 1)).\ sound\_item\ \mathcal{G}\ \omega\ x$   
*x*  
**using**  $Predict_F.IH\ Predict_F.prem(2)\ length\_bins\_upd\ bins\_bins\_upd\ wf\_earley\_input\_elim$   
**by** (*metis Suc-eq-plus1 UnE*)  
**thus** *?case*  
**using**  $Predict_F.hyps$  **by** *simp*  
**qed** *simp-all*

**lemma** *sound-Earley\_L-bin:*  
**assumes**  $(k, \mathcal{G}, \omega, bs) \in wf\_earley\_input$   
**assumes**  $\forall x \in bins\ bs.\ sound\_item\ \mathcal{G}\ \omega\ x$   
**shows**  $\forall x \in bins\ (Earley_L\_bin\ k\ \mathcal{G}\ \omega\ bs).\ sound\_item\ \mathcal{G}\ \omega\ x$   
**using**  $sound\_Earley_L\_bin'\ assms\ Earley_L\_bin\_def$  **by** *metis*

**lemma** *Earley\_L-bin'-sub-Earley\_F-bin:*  
**assumes**  $(k, \mathcal{G}, \omega, bs) \in wf\_earley\_input$   
**assumes**  $bins\ bs \subseteq I$   
**shows**  $bins\ (Earley_L\_bin'\ k\ \mathcal{G}\ \omega\ bs\ i) \subseteq Earley_F\_bin\ k\ \mathcal{G}\ \omega\ I$   
**using** *assms*  
**proof** (*induction i arbitrary: I rule: Earley\_L-bin'-induct[OF assms(1), case-names Base Complete\_F Scan\_F Pass Predict\_F]*)  
**case** (*Base k G ω bs i*)  
**thus** *?case*  
**using**  $Earley_F\_bin\_mono$  **by** *fastforce*

**next**  
**case** ( $Complete_F k \mathcal{G} \omega bs i x$ )  
**let**  $?bs' = bins\_upd\ bs\ k\ (Complete_L\ k\ x\ bs\ i)$   
**have**  $x \in set\ (items\ (bs\ !\ k))$   
**using**  $Complete_F.hyps(1,2)$  **by** *force*  
**hence**  $bins\ ?bs' \subseteq I \cup Complete_F\ k\ I$   
**using**  $Complete_L\_sub\_Complete_F\ Complete_F.hyps(3)\ Complete_F.prem(1,2)$   
*bins-bins-upd wf-earley-input-elim* **by** *blast*  
**moreover**  $(k, \mathcal{G}, \omega, ?bs') \in wf\_earley\_input$   
**using**  $Complete_F.hyps\ Complete_F.prem(1)\ wf\_earley\_input\_Complete_L$  **by** *blast*  
**ultimately**  $bins\ (Earley_L\_bin'\ k\ \mathcal{G}\ \omega\ bs\ i) \subseteq Earley_F\_bin\ k\ \mathcal{G}\ \omega\ (I \cup Complete_F\ k\ I)$   
**using**  $Complete_F.IH\ Complete_F.hyps$  **by** *simp*  
**also**  $\dots \subseteq Earley_F\_bin\ k\ \mathcal{G}\ \omega\ (Earley_F\_bin\ k\ \mathcal{G}\ \omega\ I)$   
**using**  $Complete_F\_Earley_F\_bin\_mono\ Earley_F\_bin\_mono\ Earley_F\_bin\_sub\_mono$



**by** (*metis Un-subset-iff*)  
**finally show** *?case*  
**using** *Earley<sub>F</sub>-bin-idem* **by** *blast*  
**next**  
**case** (*Scan<sub>F</sub> k G ω bs i x a*)  
**let** *?bs' = bins-upd bs (k+1) (Scan<sub>L</sub> k ω a x i)*  
**have** *x ∈ set (items (bs ! k))*  
**using** *Scan<sub>F</sub>.hyps(1,2)* **by** *force*  
**hence** *bins ?bs' ⊆ I ∪ Scan<sub>F</sub> k ω I*  
**using** *Scan<sub>L</sub>-sub-Scan<sub>F</sub> Scan<sub>F</sub>.hyps(3,5) Scan<sub>F</sub>.prems bins-bins-upd wf-earley-input-elim*  
**by** (*metis add-mono1 sup-mono*)  
**moreover have** (*k, G, ω, ?bs'*) *∈ wf-earley-input*  
**using** *Scan<sub>F</sub>.hyps Scan<sub>F</sub>.prems(1) wf-earley-input-Scan<sub>L</sub>* **by** *metis*  
**ultimately have** *bins (Earley<sub>L</sub>-bin' k G ω bs i) ⊆ Earley<sub>F</sub>-bin k G ω (I ∪ Scan<sub>F</sub>*  
*k ω I)*  
**using** *Scan<sub>F</sub>.IH Scan<sub>F</sub>.hyps* **by** *simp*  
**thus** *?case*  
**using** *Scan<sub>F</sub>-Earley<sub>F</sub>-bin-mono Earley<sub>F</sub>-bin-mono Earley<sub>F</sub>-bin-sub-mono Earley<sub>F</sub>-bin-idem* **by** (*metis le-supI order-trans*)  
**next**  
**case** (*Pass k G ω bs i x a*)  
**thus** *?case*  
**by** *simp*  
**next**  
**case** (*Predict<sub>F</sub> k G ω bs i x a*)  
**let** *?bs' = bins-upd bs k (Predict<sub>L</sub> k G a)*  
**have** *x ∈ set (items (bs ! k))*  
**using** *Predict<sub>F</sub>.hyps(1,2)* **by** *force*  
**hence** *bins ?bs' ⊆ I ∪ Predict<sub>F</sub> k G I*  
**using** *Predict<sub>L</sub>-sub-Predict<sub>F</sub> Predict<sub>F</sub>.hyps(3) Predict<sub>F</sub>.prems bins-bins-upd*  
*wf-earley-input-elim*  
**by** (*metis sup-mono*)  
**moreover have** (*k, G, ω, ?bs'*) *∈ wf-earley-input*  
**using** *Predict<sub>F</sub>.hyps Predict<sub>F</sub>.prems(1) wf-earley-input-Predict<sub>L</sub>* **by** *metis*  
**ultimately have** *bins (Earley<sub>L</sub>-bin' k G ω bs i) ⊆ Earley<sub>F</sub>-bin k G ω (I ∪*  
*Predict<sub>F</sub> k G I)*  
**using** *Predict<sub>F</sub>.IH Predict<sub>F</sub>.hyps* **by** *simp*  
**thus** *?case*  
**using** *Predict<sub>F</sub>-Earley<sub>F</sub>-bin-mono Earley<sub>F</sub>-bin-mono Earley<sub>F</sub>-bin-sub-mono*  
*Earley<sub>F</sub>-bin-idem* **by** (*metis le-supI order-trans*)  
**qed**

**lemma** *Earley<sub>L</sub>-bin-sub-Earley<sub>F</sub>-bin:*  
**assumes** (*k, G, ω, bs*) *∈ wf-earley-input*  
**assumes** *bins bs ⊆ I*  
**shows** *bins (Earley<sub>L</sub>-bin k G ω bs) ⊆ Earley<sub>F</sub>-bin k G ω I*  
**using** *assms Earley<sub>L</sub>-bin'-sub-Earley<sub>F</sub>-bin Earley<sub>L</sub>-bin-def* **by** *metis*

**lemma** *Earley<sub>L</sub>-bins-sub-Earley<sub>F</sub>-bins:*

```

assumes  $k \leq \text{length } \omega$   $\text{wf-}\mathcal{G} \mathcal{G}$ 
shows  $\text{bins } (\text{Earley}_L\text{-bins } k \mathcal{G} \omega) \subseteq \text{Earley}_F\text{-bins } k \mathcal{G} \omega$ 
using  $\text{assms}$ 
proof ( $\text{induction } k$ )
  case 0
    have  $(k, \mathcal{G}, \omega, \text{Init}_L \mathcal{G} \omega) \in \text{wf-earley-input}$ 
      using  $\text{assms}(1) \text{ assms}(2) \text{ wf-earley-input-Init}_L$  by  $\text{blast}$ 
    thus  $?case$ 
    by ( $\text{simp add: Init}_L\text{-eq-Init}_F \text{ Earley}_L\text{-bin-sub-Earley}_F\text{-bin assms}(2) \text{ wf-earley-input-Init}_L$ )
  next
    case ( $\text{Suc } k$ )
    have  $(\text{Suc } k, \mathcal{G}, \omega, \text{Earley}_L\text{-bins } k \mathcal{G} \omega) \in \text{wf-earley-input}$ 
      by ( $\text{simp add: Suc.prem}(1) \text{ Suc-leD assms}(2) \text{ wf-earley-input-intro}$ )
    thus  $?case$ 
    by ( $\text{simp add: Suc.IH Suc.prem}(1) \text{ Suc-leD Earley}_L\text{-bin-sub-Earley}_F\text{-bin assms}(2)$ )
qed

```

```

lemma  $\text{Earley}_L\text{-sub-Earley}_F$ :
   $\text{wf-}\mathcal{G} \mathcal{G} \implies \text{bins } (\text{Earley}_L \mathcal{G} \omega) \subseteq \text{Earley}_F \mathcal{G} \omega$ 
  using  $\text{Earley}_L\text{-bins-sub-Earley}_F\text{-bins Earley}_F\text{-def Earley}_L\text{-def}$  by ( $\text{metis dual-order.refl}$ )

```

```

theorem  $\text{soundness-Earley}_L$ :
  assumes  $\text{wf-}\mathcal{G} \mathcal{G}$   $\text{recognizing } (\text{bins } (\text{Earley}_L \mathcal{G} \omega)) \mathcal{G} \omega$ 
  shows  $\text{derives } \mathcal{G} [\mathfrak{S} \mathcal{G}] \omega$ 
  using  $\text{assms Earley}_L\text{-sub-Earley}_F \text{ recognizing-def soundness-Earley}_F$  by ( $\text{meson subsetD}$ )

```

## 8.6 Completeness

```

lemma  $\text{bin-bins-upto-bins-eq}$ :
  assumes  $\text{wf-bins } \mathcal{G} \omega$   $\text{bs } k < \text{length } \text{bs}$   $i \geq \text{length } (\text{items } (\text{bs } ! k))$   $l \leq k$ 
  shows  $\text{bin } (\text{bins-upto } \text{bs } k i) l = \text{bin } (\text{bins } \text{bs}) l$ 
  unfolding  $\text{bins-upto-def bins-def bin-def}$  using  $\text{assms nat-less-le}$ 
  apply ( $\text{auto simp: nth-list-update bin-upto-eq-set-items wf-bins-kth-bin items-def}$ )
  apply ( $\text{metis imageI nle-le order-trans, fast}$ )
  done

```

```

lemma  $\text{impossible-complete-item}$ :
  assumes  $\text{wf-}\mathcal{G} \mathcal{G}$   $\text{wf-item } \mathcal{G} \omega$   $x$   $\text{sound-item } \mathcal{G} \omega$   $x$ 
  assumes  $\text{is-complete } x$   $\text{item-origin } x = k$   $\text{item-end } x = k$   $\text{nonempty-derives } \mathcal{G}$ 
  shows  $\text{False}$ 
proof –
  have  $\text{derives } \mathcal{G} [\text{item-rule-head } x] []$ 
    using  $\text{assms}(3-6)$  by ( $\text{simp add: slice-empty is-complete-def sound-item-def item-}\beta\text{-def}$ )
  moreover have  $\text{is-nonterminal } \mathcal{G} (\text{item-rule-head } x)$ 
    using  $\text{assms}(1,2)$  unfolding  $\text{wf-item-def item-rule-head-def rule-head-def}$ 
    by ( $\text{metis prod.collapse rule-nonterminal-type}$ )
  ultimately show  $?thesis$ 

```

using *assms(7) nonempty-derives-def is-nonterminal-def* by *metis*  
**qed**

**lemma** *Complete<sub>F</sub>-Un-eq-terminal*:

assumes *next-symbol z = Some a is-terminal G a*  $\forall x \in I$ . *wf-item G*  $\omega$  *x wf-item G*  $\omega$  *z wf-G G*

shows  $Complete_F k (I \cup \{z\}) = Complete_F k I$

**proof** (*rule ccontr*)

assume  $Complete_F k (I \cup \{z\}) \neq Complete_F k I$

hence  $Complete_F k I \subset Complete_F k (I \cup \{z\})$

using *Complete<sub>F</sub>-sub-mono* by *blast*

then obtain *w x y* where \*:

$w \in Complete_F k (I \cup \{z\})$   $w \notin Complete_F k I$   $w = inc-item x k$

$x \in bin (I \cup \{z\})$  (*item-origin y*)  $y \in bin (I \cup \{z\}) k$

*is-complete y next-symbol x = Some (item-rule-head y)*

unfolding *Complete<sub>F</sub>-def* by *fast*

show *False*

**proof** (*cases x = z*)

case *True*

have *is-nonterminal G (item-rule-head y)*

using *\*(5,6) assms(1,3-5)*

apply (*clarsimp simp: wf-item-def bin-def item-rule-head-def rule-head-def next-symbol-def*)

by (*metis prod.exhaust-sel rule-nonterminal-type*)

thus *?thesis*

using *True \*(7) assms(1,2,5) is-terminal-nonterminal* by *fastforce*

next

case *False*

thus *?thesis*

using *\* assms(1)* by (*auto simp: next-symbol-def Complete<sub>F</sub>-def bin-def*)

**qed**

**qed**

**lemma** *Complete<sub>F</sub>-Un-eq-nonterminal*:

assumes *wf-G G*  $\forall x \in I$ . *wf-item G*  $\omega$  *x*  $\forall x \in I$ . *sound-item G*  $\omega$  *x*

assumes *nonempty-derives G wf-item G*  $\omega$  *z*

assumes *item-end z = k next-symbol z*  $\neq None$

shows  $Complete_F k (I \cup \{z\}) = Complete_F k I$

**proof** (*rule ccontr*)

assume  $Complete_F k (I \cup \{z\}) \neq Complete_F k I$

hence  $Complete_F k I \subset Complete_F k (I \cup \{z\})$

using *Complete<sub>F</sub>-sub-mono* by *blast*

then obtain *x x' y* where \*:

$x \in Complete_F k (I \cup \{z\})$   $x \notin Complete_F k I$   $x = inc-item x' k$

$x' \in bin (I \cup \{z\})$  (*item-origin y*)  $y \in bin (I \cup \{z\}) k$

*is-complete y next-symbol x' = Some (item-rule-head y)*

unfolding *Complete<sub>F</sub>-def* by *fast*

consider (A)  $x' = z$  | (B)  $y = z$

using *\*(2-7) Complete<sub>F</sub>-def* by (*auto simp: bin-def; blast*)

```

thus False
proof cases
  case A
    have item-origin y = k
      using *(4) A bin-def assms(6) by (metis (mono-tags, lifting) mem-Collect-eq)
    moreover have item-end y = k
      using *(5) bin-def by blast
    moreover have sound-item  $\mathcal{G} \omega y$ 
      using *(5,6) assms(3,7) by (auto simp: bin-def next-symbol-def sound-item-def)
    moreover have wf-item  $\mathcal{G} \omega y$ 
      using *(5) assms(2,5) wf-item-def by (auto simp: bin-def)
    ultimately show ?thesis
      using impossible-complete-item *(6) assms(1,4) by blast
  next
    case B
    thus ?thesis
      using *(6) assms(7) by (auto simp: next-symbol-def)
  qed
qed

```

```

lemma wf-item-in-kth-bin:
  wf-bins  $\mathcal{G} \omega bs \implies x \in bins\ bs \implies item-end\ x = k \implies x \in set\ (items\ (bs\ !\ k))$ 
  using bins-bin-exists wf-bins-kth-bin wf-bins-def by blast

```

```

lemma CompleteF-bins-upto-eq-bins:
  assumes wf-bins  $\mathcal{G} \omega bs\ k < length\ bs\ i \geq length\ (items\ (bs\ !\ k))$ 
  shows CompleteF k (bins-upto bs k i) = CompleteF k (bins bs)
proof –
  have  $\bigwedge l. l \leq k \implies bin\ (bins-upto\ bs\ k\ i)\ l = bin\ (bins\ bs)\ l$ 
    using bin-bins-upto-bins-eq[OF assms] by blast
  moreover have  $\forall x \in bins\ bs. wf-item\ \mathcal{G}\ \omega\ x$ 
    using assms(1) wf-bins-impl-wf-items by metis
  ultimately show ?thesis
    unfolding CompleteF-def bin-def wf-item-def wf-item-def by auto
qed

```

```

lemma CompleteF-sub-bins-Un-CompleteL:
  assumes CompleteF k I  $\subseteq bins\ bs\ I \subseteq bins\ bs\ is-complete\ z\ wf-bins\ \mathcal{G}\ \omega\ bs$ 
  wf-item  $\mathcal{G} \omega z$ 
  shows CompleteF k (I  $\cup\ \{z\}) \subseteq bins\ bs \cup set\ (items\ (CompleteL k z bs\ red))$ 
proof standard
  fix w
  assume w  $\in CompleteF k (I  $\cup\ \{z\})$$ 
  then obtain x y where *:
    w = inc-item x k x  $\in bin\ (I \cup \{z\})\ (item-origin\ y)\ y \in bin\ (I \cup \{z\})\ k$ 
    is-complete y next-symbol x = Some (item-rule-head y)
  unfolding CompleteF-def by blast
  consider (A) x = z | (B) y = z |  $\neg (x = z \vee y = z)$ 
  by blast

```

```

thus  $w \in \text{bins } bs \cup \text{set } (\text{items } (\text{Complete}_L k z bs \text{ red}))$ 
proof cases
  case A
    thus ?thesis
    using  $*(5)$  assms(3) by (auto simp: next-symbol-def)
  next
    case B
    let  $?orig = bs ! \text{item-origin } z$ 
    let  $?is = \text{filter-with-index } (\lambda x. \text{next-symbol } x = \text{Some } (\text{item-rule-head } z)) (\text{items } ?orig)$ 
    have  $x \in \text{bin } I (\text{item-origin } y)$ 
    using  $B *(2) *(5)$  assms(3) by (auto simp: next-symbol-def bin-def)
    moreover have  $\text{bin } I (\text{item-origin } z) \subseteq \text{set } (\text{items } (bs ! \text{item-origin } z))$ 
    using wf-item-in-kth-bin assms(2,4) bin-def by blast
    ultimately have  $x \in \text{set } (\text{map } \text{fst } ?is)$ 
    using  $*(5)$  B by (simp add: filter-with-index-cong-filter in-mono)
    thus ?thesis
    unfolding CompleteL-def *(1) by (auto simp: rev-image-eqI items-def)
  next
    case 3
    thus ?thesis
    using  $* \text{assms}(1)$  CompleteF-def by (auto simp: bin-def; blast)
qed
qed

```

**lemma** *Complete<sub>L</sub>-eq-item-origin:*

```

 $bs ! \text{item-origin } y = bs' ! \text{item-origin } y \implies \text{Complete}_L k y bs \text{ red} = \text{Complete}_L k y bs' \text{ red}$ 
by (auto simp: CompleteL-def)

```

**lemma** *kth-bin-bins-upto-empty:*

```

assumes wf-bins G ω bs k < length bs
shows  $\text{bin } (\text{bins-upto } bs k 0) k = \{\}$ 

```

**proof** –

```

{
  fix  $x$ 
  assume  $x \in \text{bins-upto } bs k 0$ 
  then obtain  $l$  where  $x \in \text{set } (\text{items } (bs ! l)) \ l < k$ 
  unfolding bins-upto-def bin-upto-def by blast
  hence item-end  $x = l$ 
  using wf-bins-kth-bin assms by fastforce
  hence item-end  $x < k$ 
  using  $\langle l < k \rangle$  by blast
}
thus ?thesis
by (auto simp: bin-def)
qed

```

**lemma** *Earley<sub>L</sub>-bin'-mono:*

**assumes**  $(k, \mathcal{G}, \omega, bs) \in wf\text{-earley-input}$   
**shows**  $bins\ bs \subseteq bins\ (Earley_L\text{-bin}'\ k\ \mathcal{G}\ \omega\ bs\ i)$   
**using** *assms*  
**proof** (*induction i rule: Earley\_L-bin'-induct[OF assms(1), case-names Base Complete\_F Scan\_F Pass Predict\_F]*)  
**case** (*Complete\_F k G ω bs i x*)  
**let**  $?bs' = bins\text{-upd}\ bs\ k\ (Complete_L\ k\ x\ bs\ i)$   
**have**  $wf: (k, \mathcal{G}, \omega, ?bs') \in wf\text{-earley-input}$   
**using** *Complete\_F.hyps Complete\_F.prem(1) wf-earley-input-Complete\_L* **by** *blast*  
**hence**  $bins\ bs \subseteq bins\ ?bs'$   
**using** *length-bins-upd bins-bins-upd wf-earley-input-elim* **by** (*metis Un-upper1*)  
**also have**  $\dots \subseteq bins\ (Earley_L\text{-bin}'\ k\ \mathcal{G}\ \omega\ ?bs'\ (i + 1))$   
**using** *wf Complete\_F.IH* **by** *blast*  
**finally show** *?case*  
**using** *Complete\_F.hyps* **by** *simp*  
**next**  
**case** (*Scan\_F k G ω bs i x a*)  
**let**  $?bs' = bins\text{-upd}\ bs\ (k+1)\ (Scan_L\ k\ \omega\ a\ x\ i)$   
**have**  $wf: (k, \mathcal{G}, \omega, ?bs') \in wf\text{-earley-input}$   
**using** *Scan\_F.hyps Scan\_F.prem(1) wf-earley-input-Scan\_L* **by** *metis*  
**hence**  $bins\ bs \subseteq bins\ ?bs'$   
**using** *Scan\_F.hyps(5) length-bins-upd bins-bins-upd wf-earley-input-elim*  
**by** (*metis add-mono1 sup-ge1*)  
**also have**  $\dots \subseteq bins\ (Earley_L\text{-bin}'\ k\ \mathcal{G}\ \omega\ ?bs'\ (i + 1))$   
**using** *wf Scan\_F.IH* **by** *blast*  
**finally show** *?case*  
**using** *Scan\_F.hyps* **by** *simp*  
**next**  
**case** (*Predict\_F k G ω bs i x a*)  
**let**  $?bs' = bins\text{-upd}\ bs\ k\ (Predict_L\ k\ \mathcal{G}\ a)$   
**have**  $wf: (k, \mathcal{G}, \omega, ?bs') \in wf\text{-earley-input}$   
**using** *Predict\_F.hyps Predict\_F.prem(1) wf-earley-input-Predict\_L* **by** *metis*  
**hence**  $bins\ bs \subseteq bins\ ?bs'$   
**using** *length-bins-upd bins-bins-upd wf-earley-input-elim* **by** (*metis sup-ge1*)  
**also have**  $\dots \subseteq bins\ (Earley_L\text{-bin}'\ k\ \mathcal{G}\ \omega\ ?bs'\ (i + 1))$   
**using** *wf Predict\_F.IH* **by** *blast*  
**finally show** *?case*  
**using** *Predict\_F.hyps* **by** *simp*  
**qed** *simp-all*

**lemma** *Earley\_F-bin-step-sub-Earley\_L-bin'*:

**assumes**  $(k, \mathcal{G}, \omega, bs) \in wf\text{-earley-input}$   
**assumes**  $Earley_F\text{-bin-step}\ k\ \mathcal{G}\ \omega\ (bins\text{-upto}\ bs\ k\ i) \subseteq bins\ bs$   
**assumes**  $\forall x \in bins\ bs.\ sound\text{-item}\ \mathcal{G}\ \omega\ x\ is\text{-word}\ \mathcal{G}\ \omega\ nonempty\text{-derives}\ \mathcal{G}$   
**shows**  $Earley_F\text{-bin-step}\ k\ \mathcal{G}\ \omega\ (bins\ bs) \subseteq bins\ (Earley_L\text{-bin}'\ k\ \mathcal{G}\ \omega\ bs\ i)$   
**using** *assms*

**proof** (*induction i rule: Earley\_L-bin'-induct[OF assms(1), case-names Base Complete\_F Scan\_F Pass Predict\_F]*)

**case** (*Base k G ω bs i*)

**have**  $\text{bin } (\text{bins } bs) k = \text{bin } (\text{bins-upto } bs k i) k$   
**using**  $\text{Base.hyps Base.prem}(1) \text{ bin-bins-upto-bins-eq wf-earley-input-elim}$  **by**  
*blast*  
**thus** *?case*  
**using**  $\text{Scan}_F\text{-bin-absorb Predict}_F\text{-bin-absorb Complete}_F\text{-bins-upto-eq-bins wf-earley-input-elim}$   
 $\text{Base.hyps Base.prem}(1,2,3,5) \text{ Earley}_F\text{-bin-step-def Complete}_F\text{-Earley}_F\text{-bin-step-mono}$   
 $\text{Predict}_F\text{-Earley}_F\text{-bin-step-mono Scan}_F\text{-Earley}_F\text{-bin-step-mono Earley}_L\text{-bin'-mono}$   
**by**  $(\text{metis } (\text{no-types, lifting}) \text{ Un-assoc sup.orderE})$   
**next**  
**case**  $(\text{Complete}_F k \mathcal{G} \omega bs i x)$   
**let**  $?bs' = \text{bins-upd } bs k (\text{Complete}_L k x bs i)$   
**have**  $x: x \in \text{set } (\text{items } (bs ! k))$   
**using**  $\text{Complete}_F.hyps(1,2)$  **by** *auto*  
**have**  $wf: (k, \mathcal{G}, \omega, ?bs') \in \text{wf-earley-input}$   
**using**  $\text{Complete}_F.hyps \text{ Complete}_F.prem}(1) \text{ wf-earley-input-Complete}_L$  **by** *blast*  
**hence**  $\text{sound}: \forall x \in \text{set } (\text{items } (\text{Complete}_L k x bs i)). \text{sound-item } \mathcal{G} \omega x$   
**using**  $\text{sound-Complete}_L \text{ Complete}_F.hyps(3) \text{ Complete}_F.prem} wf\text{-earley-input-elim}$   
*wf-bins-impl-wf-items x*  
**by**  $(\text{metis } \text{dual-order.refl})$   
**have**  $\text{Scan}_F k \omega (\text{bins-upto } ?bs' k (i + 1)) \subseteq \text{bins } ?bs'$   
**proof** –  
**have**  $\text{Scan}_F k \omega (\text{bins-upto } ?bs' k (i + 1)) = \text{Scan}_F k \omega (\text{bins-upto } ?bs' k i \cup$   
 $\{\text{items } (?bs' ! k) ! i\})$   
**using**  $\text{Complete}_F.hyps(1) \text{ bins-upto-Suc-Un length-nth-bin-bins-upd items-def}$   
**by**  $(\text{metis } \text{length-map linorder-not-less sup.boundedE sup.order-iff})$   
**also have**  $\dots = \text{Scan}_F k \omega (\text{bins-upto } bs k i \cup \{x\})$   
**using**  $\text{Complete}_F.hyps(1,2) \text{ Complete}_F.prem}(1) \text{ items-nth-idem-bins-upd}$   
*bins-upto-kth-nth-idem wf-earley-input-elim*  
**by**  $(\text{metis } \text{dual-order.refl items-def length-map not-le-imp-less})$   
**also have**  $\dots \subseteq \text{bins } bs \cup \text{Scan}_F k \omega \{x\}$   
**using**  $\text{Complete}_F.prem}(2,3) \text{ Scan}_F\text{-Un Scan}_F\text{-Earley}_F\text{-bin-step-mono}$  **by**  
*fastforce*  
**also have**  $\dots = \text{bins } bs$   
**using**  $\text{Complete}_F.hyps(3)$  **by**  $(\text{auto simp: Scan}_F\text{-def bin-def})$   
**finally show** *?thesis*  
**using**  $\text{Complete}_F.prem}(1) \text{ wf-earley-input-elim bins-bins-upd}$  **by** *blast*  
**qed**  
**moreover have**  $\text{Predict}_F k \mathcal{G} (\text{bins-upto } ?bs' k (i + 1)) \subseteq \text{bins } ?bs'$   
**proof** –  
**have**  $\text{Predict}_F k \mathcal{G} (\text{bins-upto } ?bs' k (i + 1)) = \text{Predict}_F k \mathcal{G} (\text{bins-upto } ?bs' k$   
 $i \cup \{\text{items } (?bs' ! k) ! i\})$   
**using**  $\text{Complete}_F.hyps(1) \text{ bins-upto-Suc-Un length-nth-bin-bins-upd}$   
**by**  $(\text{metis } \text{dual-order.strict-trans1 items-def length-map not-le-imp-less})$   
**also have**  $\dots = \text{Predict}_F k \mathcal{G} (\text{bins-upto } bs k i \cup \{x\})$   
**using**  $\text{Complete}_F.hyps(1,2) \text{ Complete}_F.prem}(1) \text{ items-nth-idem-bins-upd}$   
*bins-upto-kth-nth-idem wf-earley-input-elim*  
**by**  $(\text{metis } \text{dual-order.refl items-def length-map not-le-imp-less})$   
**also have**  $\dots \subseteq \text{bins } bs \cup \text{Predict}_F k \mathcal{G} \{x\}$   
**using**  $\text{Complete}_F.prem}(2,3) \text{ Predict}_F\text{-Un Predict}_F\text{-Earley}_F\text{-bin-step-mono}$

**by** *blast*  
**also have**  $\dots = \text{bins } bs$   
**using**  $\text{Complete}_F.\text{hyps}(3)$  **by** (*auto simp: Predict<sub>F</sub>-def bin-def*)  
**finally show** *?thesis*  
**using**  $\text{Complete}_F.\text{prems}(1)$  *wf-earley-input-elim bins-bins-upd* **by** *blast*  
**qed**  
**moreover have**  $\text{Complete}_F k (\text{bins-upto } ?bs' k (i + 1)) \subseteq \text{bins } ?bs'$   
**proof** –  
**have**  $\text{Complete}_F k (\text{bins-upto } ?bs' k (i + 1)) = \text{Complete}_F k (\text{bins-upto } ?bs' k$   
 $i \cup \{\text{items } (?bs' ! k) ! i\})$   
**using** *bins-upto-Suc-Un length-nth-bin-bins-upd Complete<sub>F</sub>.hyps(1)*  
**by** (*metis (no-types, opaque-lifting) dual-order.trans items-def length-map*  
*not-le-imp-less*)  
**also have**  $\dots = \text{Complete}_F k (\text{bins-upto } bs k i \cup \{x\})$   
**using** *items-nth-idem-bins-upd Complete<sub>F</sub>.hyps(1,2) bins-upto-kth-nth-idem*  
 $\text{Complete}_F.\text{prems}(1)$  *wf-earley-input-elim*  
**by** (*metis dual-order.refl items-def length-map not-le-imp-less*)  
**also have**  $\dots \subseteq \text{bins } bs \cup \text{set } (\text{items } (\text{Complete}_L k x bs i))$   
**using**  $\text{Complete}_F.\text{sub-bins-Un-Complete}_L \text{Complete}_F.\text{hyps}(3) \text{Complete}_F.\text{prems}(1,2,3)$   
*next-symbol-def*  
 $\text{bins-upto-sub-bins wf-bins-kth-bin } x \text{Complete}_F.\text{Earley}_F.\text{bin-step-mono wf-earley-input-elim}$   
**by** (*smt (verit, best) option.distinct(1) subset-trans*)  
**finally show** *?thesis*  
**using**  $\text{Complete}_F.\text{prems}(1)$  *wf-earley-input-elim bins-bins-upd* **by** *blast*  
**qed**  
**ultimately have**  $\text{Earley}_F.\text{bin-step } k \mathcal{G} \omega (\text{bins } ?bs') \subseteq \text{bins } (\text{Earley}_L.\text{bin}' k \mathcal{G} \omega$   
 $?bs' (i+1))$   
**using**  $\text{Complete}_F.IH \text{Complete}_F.\text{prems sound wf Earley}_F.\text{bin-step-def bins-upto-sub-bins}$   
 $\text{wf-earley-input-elim bins-bins-upd}$   
**by** (*metis UnE sup.boundedI*)  
**thus** *?case*  
**using**  $\text{Complete}_F.\text{hyps Complete}_F.\text{prems}(1) \text{Earley}_L.\text{bin}'\text{-simps}(2) \text{Earley}_F.\text{bin-step-sub-mono}$   
 $\text{bins-bins-upd wf-earley-input-elim}$   
**by** (*smt (verit, best) sup.coboundedI2 sup.orderE sup-ge1*)  
**next**  
**case** ( $\text{Scan}_F k \mathcal{G} \omega bs i x a$ )  
**let**  $?bs' = \text{bins-upd } bs (k+1) (\text{Scan}_L k \omega a x i)$   
**have**  $x: x \in \text{set } (\text{items } (bs ! k))$   
**using**  $\text{Scan}_F.\text{hyps}(1,2)$  **by** *auto*  
**hence**  $\text{sound}: \forall x \in \text{set } (\text{items } (\text{Scan}_L k \omega a x i)). \text{sound-item } \mathcal{G} \omega x$   
**using**  $\text{sound-Scan}_L \text{Scan}_F.\text{hyps}(3,5) \text{Scan}_F.\text{prems}(1,2,3) \text{wf-earley-input-elim}$   
 $\text{wf-bins-impl-wf-items } x$   
**by** (*metis dual-order.refl*)  
**have**  $\text{wf}: (k, \mathcal{G}, \omega, ?bs') \in \text{wf-earley-input}$   
**using**  $\text{Scan}_F.\text{hyps Scan}_F.\text{prems}(1) \text{wf-earley-input-Scan}_L$  **by** *metis*  
**have**  $\text{Scan}_F k \omega (\text{bins-upto } ?bs' k (i + 1)) \subseteq \text{bins } ?bs'$   
**proof** –  
**have**  $\text{Scan}_F k \omega (\text{bins-upto } ?bs' k (i + 1)) = \text{Scan}_F k \omega (\text{bins-upto } ?bs' k i \cup$   
 $\{\text{items } (?bs' ! k) ! i\})$



**using** *bins-upto-Suc-Un* *Scan<sub>F</sub>.hypos(1)* *nth-idem-bins-upd*  
**by** (*metis Suc-eq-plus1 items-def length-map lessI less-not-refl not-le-imp-less*)  
**also have** ... = *Scan<sub>F</sub> k ω (bins-upto bs k i ∪ {x})*  
**using** *Scan<sub>F</sub>.hypos(1,2,5)* *Scan<sub>F</sub>.prems(1,2)* *nth-idem-bins-upd bins-upto-kth-nth-idem*  
*wf-earley-input-elim*  
**by** (*metis add-mono-thms-linordered-field(1)* *items-def length-map less-add-one*  
*linorder-le-less-linear not-add-less1*)  
**also have** ...  $\subseteq$  *bins bs ∪ Scan<sub>F</sub> k ω {x}*  
**using** *Scan<sub>F</sub>.prems(2,3)* *Scan<sub>F</sub>-Un* *Scan<sub>F</sub>-Earley<sub>F</sub>-bin-step-mono* **by** *fastforce*  
**finally have** \*: *Scan<sub>F</sub> k ω (bins-upto ?bs' k (i + 1))*  $\subseteq$  *bins bs ∪ Scan<sub>F</sub> k ω*  
*{x}* .  
**show** *?thesis*  
**proof cases**  
**assume** *a1: ω!k = a*  
**hence** *Scan<sub>F</sub> k ω {x} = {inc-item x (k+1)}*  
**using** *Scan<sub>F</sub>.hypos(1-3,5)* *Scan<sub>F</sub>.prems(1,2)* *wf-earley-input-elim* **apply**  
(*auto simp: Scan<sub>F</sub>-def bin-def*)  
**using** *wf-bins-kth-bin x* **by** *blast*  
**hence** *Scan<sub>F</sub> k ω (bins-upto ?bs' k (i + 1))*  $\subseteq$  *bins bs ∪ {inc-item x (k+1)}*  
**using** \* **by** *blast*  
**also have** ... = *bins bs ∪ set (items (Scan<sub>L</sub> k ω a x i))*  
**using** *a1 Scan<sub>F</sub>.hypos(5)* **by** (*auto simp: Scan<sub>L</sub>-def items-def*)  
**also have** ... = *bins ?bs'*  
**using** *Scan<sub>F</sub>.hypos(5)* *Scan<sub>F</sub>.prems(1)* *wf-earley-input-elim bins-bins-upd* **by**  
(*metis add-mono1*)  
**finally show** *?thesis* .  
**next**  
**assume** *a1: ¬ ω!k = a*  
**hence** *Scan<sub>F</sub> k ω {x} = {}*  
**using** *Scan<sub>F</sub>.hypos(3)* **by** (*auto simp: Scan<sub>F</sub>-def bin-def*)  
**hence** *Scan<sub>F</sub> k ω (bins-upto ?bs' k (i + 1))*  $\subseteq$  *bins bs*  
**using** \* **by** *blast*  
**also have** ...  $\subseteq$  *bins ?bs'*  
**using** *Scan<sub>F</sub>.hypos(5)* *Scan<sub>F</sub>.prems(1)* *wf-earley-input-elim bins-bins-upd*  
**by** (*metis Un-left-absorb add-strict-right-mono subset-Un-eq*)  
**finally show** *?thesis* .  
**qed**  
**qed**  
**moreover have** *Predict<sub>F</sub> k G (bins-upto ?bs' k (i + 1))*  $\subseteq$  *bins ?bs'*  
**proof** –  
**have** *Predict<sub>F</sub> k G (bins-upto ?bs' k (i + 1)) = Predict<sub>F</sub> k G (bins-upto ?bs' k*  
*i ∪ {items (?bs' ! k) ! i})*  
**using** *bins-upto-Suc-Un* *Scan<sub>F</sub>.hypos(1)* *nth-idem-bins-upd*  
**by** (*metis Suc-eq-plus1 dual-order.refl items-def length-map lessI linorder-not-less*)  
**also have** ... = *Predict<sub>F</sub> k G (bins-upto bs k i ∪ {x})*  
**using** *Scan<sub>F</sub>.hypos(1,2,5)* *Scan<sub>F</sub>.prems(1,2)* *nth-idem-bins-upd bins-upto-kth-nth-idem*  
*wf-earley-input-elim*  
**by** (*metis add-strict-right-mono items-def le-add1 length-map less-add-one*  
*linorder-not-le*)

**also have**  $\dots \subseteq \text{bins } bs \cup \text{Predict}_F k \mathcal{G} \{x\}$   
**using**  $\text{Scan}_F.\text{prems}(2,3)$   $\text{Predict}_F\text{-Un}$   $\text{Predict}_F\text{-Earley}_F\text{-bin-step-mono}$  **by**  
*fastforce*  
**also have**  $\dots = \text{bins } bs$   
**using**  $\text{Scan}_F.\text{hyps}(3,4)$   $\text{Scan}_F.\text{prems}(1)$  *is-terminal-nonterminal* *wf-earley-input-elim*  
**by** (*auto simp: Predict\_F-def bin-def rule-head-def, fastforce*)  
**finally show** *?thesis*  
**using**  $\text{Scan}_F.\text{hyps}(5)$   $\text{Scan}_F.\text{prems}(1)$  **by** (*simp add: bins-bins-upd sup.coboundedI1*  
*wf-earley-input-elim*)  
**qed**  
**moreover have**  $\text{Complete}_F k (\text{bins-upto } ?bs' k (i + 1)) \subseteq \text{bins } ?bs'$   
**proof** –  
**have**  $\text{Complete}_F k (\text{bins-upto } ?bs' k (i + 1)) = \text{Complete}_F k (\text{bins-upto } ?bs' k$   
 $i \cup \{\text{items } (?bs' ! k) ! i\})$   
**using** *bins-upto-Suc-Un*  $\text{Scan}_F.\text{hyps}(1)$  *nth-idem-bins-upd*  
**by** (*metis Suc-eq-plus1 items-def length-map lessI less-not-refl not-le-imp-less*)  
**also have**  $\dots = \text{Complete}_F k (\text{bins-upto } bs k i \cup \{x\})$   
**using**  $\text{Scan}_F.\text{hyps}(1,2,5)$   $\text{Scan}_F.\text{prems}(1,2)$  *nth-idem-bins-upd* *bins-upto-kth-nth-idem*  
*wf-earley-input-elim*  
**by** (*metis add-mono1 items-def length-map less-add-one linorder-not-le not-add-less1*)  
**also have**  $\dots = \text{Complete}_F k (\text{bins-upto } bs k i)$   
**using** *Complete\_F-Un-eq-terminal*  $\text{Scan}_F.\text{hyps}(3,4)$   $\text{Scan}_F.\text{prems}$  *bins-upto-sub-bins*  
*subset-iff*  
*wf-bins-impl-wf-items* *wf-bins-kth-bin* *wf-item-def x wf-earley-input-elim*  
**by** (*smt (verit, ccfv-threshold)*)  
**finally show** *?thesis*  
**using**  $\text{Scan}_F.\text{hyps}(5)$   $\text{Scan}_F.\text{prems}(1,2,3)$  *Complete\_F-Earley\_F-bin-step-mono*  
**by** (*auto simp: bins-bins-upd wf-earley-input-elim, blast*)  
**qed**  
**ultimately have**  $\text{Earley}_F\text{-bin-step } k \mathcal{G} \omega (\text{bins } ?bs') \subseteq \text{bins } (\text{Earley}_L\text{-bin}' k \mathcal{G} \omega$   
 $?bs' (i+1))$   
**using**  $\text{Scan}_F.\text{IH}$   $\text{Scan}_F.\text{prems}$   $\text{Scan}_F.\text{hyps}(5)$  *sound wf Earley\_F-bin-step-def*  
*bins-upto-sub-bins wf-earley-input-elim*  
*bins-bins-upd* **by** (*metis UnE add-mono1 le-supI*)  
**thus** *?case*  
**using** *Earley\_F-bin-step-sub-mono* *Earley\_L-bin'-simps(3)*  $\text{Scan}_F.\text{hyps}$   $\text{Scan}_F.\text{prems}(1)$   
*wf-earley-input-elim* *bins-bins-upd*  
**by** (*smt (verit, ccfv-SIG) add-mono1 sup.cobounded1 sup.coboundedI2 sup.orderE*)  
**next**  
**case** (*Pass*  $k \mathcal{G} \omega bs i x a$ )  
**have**  $x: x \in \text{set } (\text{items } (bs ! k))$   
**using**  $\text{Pass}.\text{hyps}(1,2)$  **by** *auto*  
**have**  $\text{Scan}_F k \omega (\text{bins-upto } bs k (i + 1)) \subseteq \text{bins } bs$   
**using**  $\text{Scan}_F\text{-def}$   $\text{Pass}.\text{hyps}(5)$  **by** *auto*  
**moreover have**  $\text{Predict}_F k \mathcal{G} (\text{bins-upto } bs k (i + 1)) \subseteq \text{bins } bs$   
**proof** –  
**have**  $\text{Predict}_F k \mathcal{G} (\text{bins-upto } bs k (i + 1)) = \text{Predict}_F k \mathcal{G} (\text{bins-upto } bs k i \cup$   
 $\{\text{items } (bs ! k) ! i\})$   
**using** *bins-upto-Suc-Un*  $\text{Pass}.\text{hyps}(1)$  **by** (*metis items-def length-map not-le-imp-less*)

**also have**  $\dots = \text{Predict}_F k \mathcal{G} (\text{bins-upto } bs k i \cup \{x\})$   
**using** *Pass.hyps(1,2,5) nth-idem-bins-upd bins-upto-kth-nth-idem* **by** *simp*  
**also have**  $\dots \subseteq \text{bins } bs \cup \text{Predict}_F k \mathcal{G} \{x\}$   
**using** *Pass.prem(2) Predict<sub>F</sub>-Un Predict<sub>F</sub>-Earley<sub>F</sub>-bin-step-mono* **by** *blast*  
**also have**  $\dots = \text{bins } bs$   
**using** *Pass.hyps(3,4) Pass.prem(1) is-terminal-nonterminal wf-earley-input-elim*  
**by** *(auto simp: Predict<sub>F</sub>-def bin-def rule-head-def, fastforce)*  
**finally show** *?thesis*  
**using** *bins-bins-upd Pass.hyps(5) Pass.prem(3)* **by** *auto*  
**qed**  
**moreover have**  $\text{Complete}_F k (\text{bins-upto } bs k (i + 1)) \subseteq \text{bins } bs$   
**proof** –  
**have**  $\text{Complete}_F k (\text{bins-upto } bs k (i + 1)) = \text{Complete}_F k (\text{bins-upto } bs k i \cup \{x\})$   
**using** *bins-upto-Suc-Un Pass.hyps(1,2)*  
**by** *(metis items-def length-map not-le-imp-less)*  
**also have**  $\dots = \text{Complete}_F k (\text{bins-upto } bs k i)$   
**using** *Complete<sub>F</sub>-Un-eq-terminal Pass.hyps Pass.prem bins-upto-sub-bins subset-iff*  
*wf-bins-impl-wf-items wf-item-def wf-bins-kth-bin x wf-earley-input-elim* **by**  
*(smt (verit, best))*  
**finally show** *?thesis*  
**using** *Pass.prem(1,2) Complete<sub>F</sub>-Earley<sub>F</sub>-bin-step-mono wf-earley-input-elim*  
**by** *blast*  
**qed**  
**ultimately have**  $\text{Earley}_F\text{-bin-step } k \mathcal{G} \omega (\text{bins } bs) \subseteq \text{bins } (\text{Earley}_L\text{-bin}' k \mathcal{G} \omega \text{ } bs (i+1))$   
**using** *Pass.IH Pass.prem Earley<sub>F</sub>-bin-step-def bins-upto-sub-bins wf-earley-input-elim*  
**by** *(metis le-sup-iff)*  
**thus** *?case*  
**using** *bins-bins-upd Pass.hyps Pass.prem* **by** *simp*  
**next**  
**case**  $(\text{Predict}_F k \mathcal{G} \omega \text{ } bs i x a)$   
**let**  $?bs' = \text{bins-upd } bs k (\text{Predict}_L k \mathcal{G} a)$   
**have**  $k \geq \text{length } \omega \vee \neg \omega!k = a$   
**using** *Predict<sub>F</sub>.hyps(4) Predict<sub>F</sub>.prems(4) is-word-is-terminal leI* **by** *blast*  
**have**  $x: x \in \text{set } (\text{items } (bs ! k))$   
**using** *Predict<sub>F</sub>.hyps(1,2)* **by** *auto*  
**hence**  $\text{sound}: \forall x \in \text{set } (\text{items } (\text{Predict}_L k \mathcal{G} a)). \text{sound-item } \mathcal{G} \omega x$   
**using** *sound-Predict<sub>L</sub> Predict<sub>F</sub>.hyps(3) Predict<sub>F</sub>.prems wf-earley-input-elim*  
*wf-bins-impl-wf-items* **by** *fast*  
**have**  $wf: (k, \mathcal{G}, \omega, ?bs') \in \text{wf-earley-input}$   
**using** *Predict<sub>F</sub>.hyps Predict<sub>F</sub>.prems(1) wf-earley-input-Predict<sub>L</sub>* **by** *metis*  
**have**  $\text{len}: i < \text{length } (\text{items } (?bs' ! k))$   
**using** *length-nth-bin-bins-upd Predict<sub>F</sub>.hyps(1)*  
**by** *(metis dual-order.strict-trans1 items-def length-map linorder-not-less)*  
**have**  $\text{Scan}_F k \omega (\text{bins-upto } ?bs' k (i + 1)) \subseteq \text{bins } ?bs'$   
**proof** –  
**have**  $\text{Scan}_F k \omega (\text{bins-upto } ?bs' k (i + 1)) = \text{Scan}_F k \omega (\text{bins-upto } ?bs' k i \cup$

$\{items (?bs' ! k) ! i\}$   
**using**  $Predict_F.hyps(1)$   $bins\text{-}upto\text{-}Suc\text{-}Un$  **by**  $(metis\ items\text{-}def\ len\ length\text{-}map)$   
**also have**  $\dots = Scan_F\ k\ \omega\ (bins\text{-}upto\ bs\ k\ i \cup \{x\})$   
**using**  $Predict_F.hyps(1,2)$   $Predict_F.prem(1)$   $items\text{-}nth\text{-}idem\text{-}bins\text{-}upd$   $bins\text{-}upto\text{-}kth\text{-}nth\text{-}idem$   
 $wf\text{-}earley\text{-}input\text{-}elim$   
**by**  $(metis\ dual\text{-}order.refl\ items\text{-}def\ length\text{-}map\ not\text{-}le\text{-}imp\text{-}less)$   
**also have**  $\dots \subseteq bins\ bs \cup Scan_F\ k\ \omega\ \{x\}$   
**using**  $Predict_F.prem(2,3)$   $Scan_F\text{-}Un$   $Scan_F\text{-}Earley_F\text{-}bin\text{-}step\text{-}mono$  **by**  $fast\text{-}force$   
**also have**  $\dots = bins\ bs$   
**using**  $Predict_F.hyps(3)$   $\langle length\ \omega \leq k \vee \omega ! k \neq a \rangle$  **by**  $(auto\ simp:\ Scan_F\text{-}def\ bin\text{-}def)$   
**finally show**  $?thesis$   
**using**  $Predict_F.prem(1)$   $wf\text{-}earley\text{-}input\text{-}elim$   $bins\text{-}bins\text{-}upd$  **by**  $blast$   
**qed**  
**moreover have**  $Predict_F\ k\ \mathcal{G}\ (bins\text{-}upto\ ?bs' k\ (i + 1)) \subseteq bins\ ?bs'$   
**proof** –  
**have**  $Predict_F\ k\ \mathcal{G}\ (bins\text{-}upto\ ?bs' k\ (i + 1)) = Predict_F\ k\ \mathcal{G}\ (bins\text{-}upto\ ?bs' k\ i \cup \{items\ (?bs' ! k) ! i\})$   
**using**  $Predict_F.hyps(1)$   $bins\text{-}upto\text{-}Suc\text{-}Un$  **by**  $(metis\ items\text{-}def\ len\ length\text{-}map)$   
**also have**  $\dots = Predict_F\ k\ \mathcal{G}\ (bins\text{-}upto\ bs\ k\ i \cup \{x\})$   
**using**  $Predict_F.hyps(1,2)$   $Predict_F.prem(1)$   $items\text{-}nth\text{-}idem\text{-}bins\text{-}upd$   $bins\text{-}upto\text{-}kth\text{-}nth\text{-}idem$   
 $wf\text{-}earley\text{-}input\text{-}elim$   
**by**  $(metis\ dual\text{-}order.refl\ items\text{-}def\ length\text{-}map\ not\text{-}le\text{-}imp\text{-}less)$   
**also have**  $\dots \subseteq bins\ bs \cup Predict_F\ k\ \mathcal{G}\ \{x\}$   
**using**  $Predict_F.prem(2,3)$   $Predict_F\text{-}Un$   $Predict_F\text{-}Earley_F\text{-}bin\text{-}step\text{-}mono$  **by**  $fastforce$   
**also have**  $\dots = bins\ bs \cup set\ (items\ (Predict_L\ k\ \mathcal{G}\ a))$   
**using**  $Predict_F.hyps$   $Predict_F.prem(1-3)$   $wf\text{-}earley\text{-}input\text{-}elim$   
**apply**  $(auto\ simp:\ Predict_F\text{-}def\ Predict_L\text{-}def\ bin\text{-}def\ items\text{-}def)$   
**using**  $wf\text{-}bins\text{-}kth\text{-}bin\ x$  **by**  $blast$   
**finally show**  $?thesis$   
**using**  $Predict_F.prem(1)$   $wf\text{-}earley\text{-}input\text{-}elim$   $bins\text{-}bins\text{-}upd$  **by**  $blast$   
**qed**  
**moreover have**  $Complete_F\ k\ (bins\text{-}upto\ ?bs' k\ (i + 1)) \subseteq bins\ ?bs'$   
**proof** –  
**have**  $Complete_F\ k\ (bins\text{-}upto\ ?bs' k\ (i + 1)) = Complete_F\ k\ (bins\text{-}upto\ ?bs' k\ i \cup \{items\ (?bs' ! k) ! i\})$   
**using**  $bins\text{-}upto\text{-}Suc\text{-}Un\ len$  **by**  $(metis\ items\text{-}def\ length\text{-}map)$   
**also have**  $\dots = Complete_F\ k\ (bins\text{-}upto\ bs\ k\ i \cup \{x\})$   
**using**  $items\text{-}nth\text{-}idem\text{-}bins\text{-}upd$   $Predict_F.hyps(1,2)$   $Predict_F.prem(1)$   $bins\text{-}upto\text{-}kth\text{-}nth\text{-}idem$   
 $wf\text{-}earley\text{-}input\text{-}elim$   
**by**  $(metis\ dual\text{-}order.refl\ items\text{-}def\ length\text{-}map\ not\text{-}le\text{-}imp\text{-}less)$   
**also have**  $\dots = Complete_F\ k\ (bins\text{-}upto\ bs\ k\ i)$   
**using**  $Complete_F\text{-}Un\text{-}eq\text{-}nonterminal$   $Predict_F.prem$   $bins\text{-}upto\text{-}sub\text{-}bins$   $Predict_F.hyps(3)$   
 $subset\text{-}eq\ wf\text{-}bins\text{-}kth\text{-}bin\ x\ wf\text{-}bins\text{-}impl\text{-}wf\text{-}items\ wf\text{-}item\text{-}def\ wf\text{-}earley\text{-}input\text{-}elim$   
**by**  $(smt\ (verit,\ cfv\text{-}SIG)\ option.simps(3))$   
**also have**  $\dots \subseteq bins\ bs$

**using** *Complete<sub>F</sub>-Earley<sub>F</sub>-bin-step-mono Predict<sub>F</sub>.prems(2)* **by** *blast*  
**finally show** *?thesis*  
**using** *bins-bins-upd Predict<sub>F</sub>.prems(1,2,3) wf-earley-input-elim* **by** (*metis Un-upper1 dual-order.trans*)  
**qed**  
**ultimately have** *Earley<sub>F</sub>-bin-step k G ω (bins ?bs') ⊆ bins (Earley<sub>L</sub>-bin' k G ω ?bs' (i+1))*  
**using** *Predict<sub>F</sub>.IH Predict<sub>F</sub>.prems sound wf Earley<sub>F</sub>-bin-step-def bins-upto-sub-bins bins-bins-upd wf-earley-input-elim* **by** (*metis UnE le-supI*)  
**hence** *Earley<sub>F</sub>-bin-step k G ω (bins ?bs') ⊆ bins (Earley<sub>L</sub>-bin' k G ω bs i)*  
**using** *Predict<sub>F</sub>.hyps Earley<sub>L</sub>-bin'-simps(5)* **by** *simp*  
**moreover have** *Earley<sub>F</sub>-bin-step k G ω (bins bs) ⊆ Earley<sub>F</sub>-bin-step k G ω (bins ?bs')*  
**using** *Earley<sub>F</sub>-bin-step-sub-mono Predict<sub>F</sub>.prems(1) wf-earley-input-elim bins-bins-upd*  
**by** (*metis Un-upper1*)  
**ultimately show** *?case*  
**by** *blast*  
**qed**

**lemma** *Earley<sub>F</sub>-bin-step-sub-Earley<sub>L</sub>-bin:*  
**assumes** *(k, G, ω, bs) ∈ wf-earley-input*  
**assumes** *Earley<sub>F</sub>-bin-step k G ω (bins-upto bs k 0) ⊆ bins bs*  
**assumes** *∀ x ∈ bins bs. sound-item G ω x is-word G ω nonempty-derives G*  
**shows** *Earley<sub>F</sub>-bin-step k G ω (bins bs) ⊆ bins (Earley<sub>L</sub>-bin k G ω bs)*  
**using** *assms Earley<sub>F</sub>-bin-step-sub-Earley<sub>L</sub>-bin' Earley<sub>L</sub>-bin-def* **by** *metis*

**lemma** *bins-eq-items-Complete<sub>L</sub>:*  
**assumes** *bins-eq-items as bs item-origin x < length as*  
**shows** *items (Complete<sub>L</sub> k x as i) = items (Complete<sub>L</sub> k x bs i)*  
**proof** –  
**let** *?orig-a = as ! item-origin x*  
**let** *?orig-b = bs ! item-origin x*  
**have** *items ?orig-a = items ?orig-b*  
**using** *assms* **by** (*metis (no-types, opaque-lifting) bins-eq-items-def length-map nth-map*)  
**thus** *?thesis*  
**unfolding** *Complete<sub>L</sub>-def* **by** *simp*  
**qed**

**lemma** *Earley<sub>L</sub>-bin'-bins-eq:*  
**assumes** *(k, G, ω, as) ∈ wf-earley-input*  
**assumes** *bins-eq-items as bs wf-bins G ω as*  
**shows** *bins-eq-items (Earley<sub>L</sub>-bin' k G ω as i) (Earley<sub>L</sub>-bin' k G ω bs i)*  
**using** *assms*  
**proof** (*induction i arbitrary: bs rule: Earley<sub>L</sub>-bin'-induct[OF assms(1), case-names Base Complete<sub>F</sub> Scan<sub>F</sub> Pass Predict<sub>F</sub>]*)  
**case** (*Base k G ω as i*)  
**have** *Earley<sub>L</sub>-bin' k G ω as i = as*  
**by** (*simp add: Base.hyps*)

**moreover have**  $\text{Earley}_L\text{-bin}' k \mathcal{G} \omega bs i = bs$   
**using**  $\text{Base.hyps}$   $\text{Base.prem}(1,2)$  **unfolding**  $\text{bins-eq-items-def}$   
**by**  $(\text{metis } \text{Earley}_L\text{-bin}'\text{-sims}(1) \text{ length-map } \text{nth-map } \text{wf-earley-input-elim})$   
**ultimately show**  $?case$   
**using**  $\text{Base.prem}(2)$  **by**  $\text{presburger}$

**next**  
**case**  $(\text{Complete}_F k \mathcal{G} \omega as i x)$   
**let**  $?as' = \text{bins-upd } as k (\text{Complete}_L k x as i)$   
**let**  $?bs' = \text{bins-upd } bs k (\text{Complete}_L k x bs i)$   
**have**  $k: k < \text{length } as$   
**using**  $\text{Complete}_F.\text{prem}(1)$   $\text{wf-earley-input-elim}$  **by**  $\text{blast}$   
**hence**  $\text{wf-x}: \text{wf-item } \mathcal{G} \omega x$   
**using**  $\text{Complete}_F.\text{hyps}(1,2)$   $\text{Complete}_F.\text{prem}(3)$   $\text{wf-bins-kth-bin}$  **by**  $\text{fastforce}$   
**have**  $(k, \mathcal{G}, \omega, ?as') \in \text{wf-earley-input}$   
**using**  $\text{Complete}_F.\text{hyps}$   $\text{Complete}_F.\text{prem}(1)$   $\text{wf-earley-input-Complete}_L$  **by**  $\text{blast}$   
**moreover have**  $\text{bins-eq-items } ?as' ?bs'$   
**using**  $\text{Complete}_F.\text{hyps}(1,2)$   $\text{Complete}_F.\text{prem}(2,3)$   $\text{bins-eq-items-dist-bins-upd}$   
 $\text{bins-eq-items-Complete}_L$   
 $k \text{ wf-x wf-bins-kth-bin wf-item-def}$  **by**  $(\text{metis } \text{dual-order.strict-trans2 } \text{leI } \text{nth-mem})$   
**ultimately have**  $\text{bins-eq-items } (\text{Earley}_L\text{-bin}' k \mathcal{G} \omega ?as' (i + 1)) (\text{Earley}_L\text{-bin}' k \mathcal{G} \omega ?bs' (i + 1))$   
**using**  $\text{Complete}_F.IH$   $\text{wf-earley-input-elim}$  **by**  $\text{blast}$   
**moreover have**  $\text{Earley}_L\text{-bin}' k \mathcal{G} \omega as i = \text{Earley}_L\text{-bin}' k \mathcal{G} \omega ?as' (i+1)$   
**using**  $\text{Complete}_F.\text{hyps}$  **by**  $\text{simp}$   
**moreover have**  $\text{Earley}_L\text{-bin}' k \mathcal{G} \omega bs i = \text{Earley}_L\text{-bin}' k \mathcal{G} \omega ?bs' (i+1)$   
**using**  $\text{Complete}_F.\text{hyps}$   $\text{Complete}_F.\text{prem}$  **unfolding**  $\text{bins-eq-items-def}$   
**by**  $(\text{metis } \text{Earley}_L\text{-bin}'\text{-sims}(2) \text{ map-eq-imp-length-eq } \text{nth-map } \text{wf-earley-input-elim})$   
**ultimately show**  $?case$   
**by**  $\text{argo}$

**next**  
**case**  $(\text{Scan}_F k \mathcal{G} \omega as i x a)$   
**let**  $?as' = \text{bins-upd } as (k+1) (\text{Scan}_L k \omega a x i)$   
**let**  $?bs' = \text{bins-upd } bs (k+1) (\text{Scan}_L k \omega a x i)$   
**have**  $(k, \mathcal{G}, \omega, ?as') \in \text{wf-earley-input}$   
**using**  $\text{Scan}_F.\text{hyps}$   $\text{Scan}_F.\text{prem}(1)$   $\text{wf-earley-input-Scan}_L$  **by**  $\text{fast}$   
**moreover have**  $\text{bins-eq-items } ?as' ?bs'$   
**using**  $\text{Scan}_F.\text{hyps}(5)$   $\text{Scan}_F.\text{prem}(1,2)$   $\text{bins-eq-items-dist-bins-upd}$   $\text{add-mono1}$   
 $\text{wf-earley-input-elim}$  **by**  $\text{metis}$   
**ultimately have**  $\text{bins-eq-items } (\text{Earley}_L\text{-bin}' k \mathcal{G} \omega ?as' (i + 1)) (\text{Earley}_L\text{-bin}' k \mathcal{G} \omega ?bs' (i + 1))$   
**using**  $\text{Scan}_F.IH$   $\text{wf-earley-input-elim}$  **by**  $\text{blast}$   
**moreover have**  $\text{Earley}_L\text{-bin}' k \mathcal{G} \omega as i = \text{Earley}_L\text{-bin}' k \mathcal{G} \omega ?as' (i+1)$   
**using**  $\text{Scan}_F.\text{hyps}$  **by**  $\text{simp}$   
**moreover have**  $\text{Earley}_L\text{-bin}' k \mathcal{G} \omega bs i = \text{Earley}_L\text{-bin}' k \mathcal{G} \omega ?bs' (i+1)$   
**using**  $\text{Scan}_F.\text{hyps}$   $\text{Scan}_F.\text{prem}$  **unfolding**  $\text{bins-eq-items-def}$   
**by**  $(\text{smt } (\text{verit}, \text{ccfv-threshold}) \text{Earley}_L\text{-bin}'\text{-sims}(3) \text{ length-map } \text{nth-map } \text{wf-earley-input-elim})$   
**ultimately show**  $?case$   
**by**  $\text{argo}$

**next**  
 case (*Pass*  $k \mathcal{G} \omega$  *as*  $i x a$ )  
 have *bins-eq-items* (*Earley<sub>L</sub>-bin'*  $k \mathcal{G} \omega$  *as*  $(i + 1)$ ) (*Earley<sub>L</sub>-bin'*  $k \mathcal{G} \omega$  *bs*  $(i + 1)$ )  
 using *Pass.prem*s *Pass.IH* **by** *blast*  
 moreover have *Earley<sub>L</sub>-bin'*  $k \mathcal{G} \omega$  *as*  $i =$  *Earley<sub>L</sub>-bin'*  $k \mathcal{G} \omega$  *as*  $(i+1)$   
 using *Pass.hyps* **by** *simp*  
 moreover have *Earley<sub>L</sub>-bin'*  $k \mathcal{G} \omega$  *bs*  $i =$  *Earley<sub>L</sub>-bin'*  $k \mathcal{G} \omega$  *bs*  $(i+1)$   
 using *Pass.hyps* *Pass.prem*s **unfolding** *bins-eq-items-def*  
 by (*metis* *Earley<sub>L</sub>-bin'-sims*(4) *map-eq-imp-length-eq* *nth-map* *wf-earley-input-elim*)  
 ultimately show ?*case*  
 by *argo*  
**next**  
 case (*Predict<sub>F</sub>*  $k \mathcal{G} \omega$  *as*  $i x a$ )  
 let ?*as'* = *bins-upd* *as*  $k$  (*Predict<sub>L</sub>*  $k \mathcal{G} a$ )  
 let ?*bs'* = *bins-upd* *bs*  $k$  (*Predict<sub>L</sub>*  $k \mathcal{G} a$ )  
 have  $(k, \mathcal{G}, \omega, ?as') \in$  *wf-earley-input*  
 using *Predict<sub>F</sub>.hyps* *Predict<sub>F</sub>.prems*(1) *wf-earley-input-Predict<sub>L</sub>* **by** *fast*  
 moreover have *bins-eq-items* ?*as'* ?*bs'*  
 using *Predict<sub>F</sub>.prems*(1,2) *bins-eq-items-dist-bins-upd* *wf-earley-input-elim* **by**  
*blast*  
 ultimately have *bins-eq-items* (*Earley<sub>L</sub>-bin'*  $k \mathcal{G} \omega$  ?*as'*  $(i + 1)$ ) (*Earley<sub>L</sub>-bin'*  
 $k \mathcal{G} \omega$  ?*bs'*  $(i + 1)$ )  
 using *Predict<sub>F</sub>.IH* *wf-earley-input-elim* **by** *blast*  
 moreover have *Earley<sub>L</sub>-bin'*  $k \mathcal{G} \omega$  *as*  $i =$  *Earley<sub>L</sub>-bin'*  $k \mathcal{G} \omega$  ?*as'*  $(i+1)$   
 using *Predict<sub>F</sub>.hyps* **by** *simp*  
 moreover have *Earley<sub>L</sub>-bin'*  $k \mathcal{G} \omega$  *bs*  $i =$  *Earley<sub>L</sub>-bin'*  $k \mathcal{G} \omega$  ?*bs'*  $(i+1)$   
 using *Predict<sub>F</sub>.hyps* *Predict<sub>F</sub>.prems* **unfolding** *bins-eq-items-def*  
 by (*metis* *Earley<sub>L</sub>-bin'-sims*(5) *length-map* *nth-map* *wf-earley-input-elim*)  
 ultimately show ?*case*  
 by *argo*  
**qed**

**lemma** *Earley<sub>L</sub>-bin'-idem*:  
 assumes  $(k, \mathcal{G}, \omega, bs) \in$  *wf-earley-input*  
 assumes  $i \leq j \forall x \in$  *bins* *bs*. *sound-item*  $\mathcal{G} \omega x$  *nonempty-derives*  $\mathcal{G}$   
 shows *bins* (*Earley<sub>L</sub>-bin'*  $k \mathcal{G} \omega$  (*Earley<sub>L</sub>-bin'*  $k \mathcal{G} \omega$  *bs*  $i$ )  $j$ ) = *bins* (*Earley<sub>L</sub>-bin'*  
 $k \mathcal{G} \omega$  *bs*  $i$ )  
 using *assms*  
**proof** (*induction* *i* *arbitrary*: *j* *rule*: *Earley<sub>L</sub>-bin'-induct*[*OF* *assms*(1), *case-names*  
*Base Complete<sub>F</sub> Scan<sub>F</sub> Pass Predict<sub>F</sub>*)  
 case (*Complete<sub>F</sub>*  $k \mathcal{G} \omega$  *bs*  $i x$ )  
 let ?*bs'* = *bins-upd* *bs*  $k$  (*Complete<sub>L</sub>*  $k x$  *bs*  $i$ )  
 have  $x: x \in$  *set* (*items* (*bs* !  $k$ ))  
 using *Complete<sub>F</sub>.hyps*(1,2) **by** *auto*  
 have  $wf: (k, \mathcal{G}, \omega, ?bs') \in$  *wf-earley-input*  
 using *Complete<sub>F</sub>.hyps* *Complete<sub>F</sub>.prems*(1) *wf-earley-input-Complete<sub>L</sub>* **by** *blast*  
 hence  $\forall x \in$  *set* (*items* (*Complete<sub>L</sub>*  $k x$  *bs*  $i$ )). *sound-item*  $\mathcal{G} \omega x$   
 using *sound-Complete<sub>L</sub>* *Complete<sub>F</sub>.hyps*(3) *Complete<sub>F</sub>.prems* *wf-earley-input-elim*

```

wf-bins-impl-wf-items x
  by (metis dual-order.refl)
  hence sound:  $\forall x \in \text{bins } ?bs'. \text{sound-item } \mathcal{G} \ \omega \ x$ 
  by (metis CompleteF.prems(1,3) UnE bins-bins-upd wf-earley-input-elim)
  show ?case
  proof cases
    assume  $i+1 \leq j$ 
    thus ?thesis
      using wf sound CompleteF EarleyL-bin'-simps(2) by metis
  next
    assume  $\neg i+1 \leq j$ 
    hence  $i = j$ 
      using CompleteF.prems(2) by simp
    have bins (EarleyL-bin'  $k \ \mathcal{G} \ \omega$  (EarleyL-bin'  $k \ \mathcal{G} \ \omega \ bs \ i$ )  $j$ ) = bins (EarleyL-bin'
 $k \ \mathcal{G} \ \omega$  (EarleyL-bin'  $k \ \mathcal{G} \ \omega \ ?bs' \ (i+1)$ )  $j$ )
      using EarleyL-bin'-simps(2) CompleteF.hyps(1-3) by simp
    also have ... = bins (EarleyL-bin'  $k \ \mathcal{G} \ \omega$  (EarleyL-bin'  $k \ \mathcal{G} \ \omega \ ?bs' \ (i+1)$ )
( $j+1$ ))
    proof -
      let ?bs'' = EarleyL-bin'  $k \ \mathcal{G} \ \omega \ ?bs' \ (i+1)$ 
      have length (items (?bs'' !  $k$ ))  $\geq$  length (items (bs !  $k$ ))
        using length-nth-bin-EarleyL-bin' length-nth-bin-bins-upd order-trans wf
CompleteF.hyps CompleteF.prems(1)
      by (smt (verit, ccfv-threshold) EarleyL-bin'-simps(2))
      hence 0:  $\neg$  length (items (?bs'' !  $k$ ))  $\leq j$ 
        using  $\langle i = j \rangle$  CompleteF.hyps(1) by linarith
      have  $x = \text{items } (?bs' ! k) ! j$ 
        using  $\langle i = j \rangle$  items-nth-idem-bins-upd CompleteF.hyps(1,2)
      by (metis items-def length-map not-le-imp-less)
      hence 1:  $x = \text{items } (?bs'' ! k) ! j$ 
        using  $\langle i = j \rangle$  kth-EarleyL-bin'-bins CompleteF.hyps CompleteF.prems(1)
EarleyL-bin'-simps(2) leI by metis
      have bins (EarleyL-bin'  $k \ \mathcal{G} \ \omega \ ?bs'' \ j$ ) = bins (EarleyL-bin'  $k \ \mathcal{G} \ \omega$  (bins-upd
? $bs'' \ k$  (CompleteL  $k \ x \ ?bs'' \ i$ )) ( $j+1$ ))
        using EarleyL-bin'-simps(2) 0 1 CompleteF.hyps(1,3) CompleteF.prems(2)
 $\langle i = j \rangle$  by auto
      moreover have bins-eq-items (bins-upd ? $bs'' \ k$  (CompleteL  $k \ x \ ?bs'' \ i$ )) ? $bs''$ 
      proof -
        have  $k < \text{length } bs$ 
          using CompleteF.prems(1) wf-earley-input-elim by blast
        have 0: set (CompleteL  $k \ x \ bs \ i$ ) = set (CompleteL  $k \ x \ ?bs'' \ i$ )
          proof (cases item-origin  $x = k$ )
            case True
              thus ?thesis
                using impossible-complete-item kth-bin-sub-bins CompleteF.hyps(3)
CompleteF.prems wf-earley-input-elim
wf-bins-kth-bin  $x$  next-symbol-def by (metis option.distinct(1) subsetD)
          next
            case False

```



**hence**  $\text{item-origin } x < k$   
**using**  $x \text{ Complete}_F.\text{prems}(1) \text{ wf-bins-kth-bin wf-item-def nat-less-le}$  **by**  
*(metis wf-earley-input-elim)*  
**hence**  $bs ! \text{item-origin } x = ?bs'' ! \text{item-origin } x$   
**using**  $\text{False nth-idem-bins-upd nth-Earley}_L\text{-bin'-eq wf}$  **by** *metis*  
**thus**  $?thesis$   
**using**  $\text{Complete}_L\text{-eq-item-origin}$  **by** *metis*  
**qed**  
**have**  $\text{set } (\text{items } (\text{Complete}_L k x bs i)) \subseteq \text{set } (\text{items } (?bs' ! k))$   
**by**  $(\text{simp add: } \langle k < \text{length } bs \rangle \text{ bins-upd-def set-items-bin-upds})$   
**hence**  $\text{set } (\text{items } (\text{Complete}_L k x ?bs'' i)) \subseteq \text{set } (\text{items } (?bs' ! k))$   
**using**  $0$  **by**  $(\text{simp add: items-def})$   
**also have**  $\dots \subseteq \text{set } (\text{items } (?bs'' ! k))$   
**by**  $(\text{simp add: wf nth-bin-sub-Earley}_L\text{-bin'})$   
**finally show**  $?thesis$   
**using**  $\text{bins-eq-items-bins-upd}$  **by** *blast*  
**qed**  
**moreover have**  $(k, \mathcal{G}, \omega, \text{bins-upd } ?bs'' k (\text{Complete}_L k x ?bs'' i)) \in$   
 $\text{wf-earley-input}$   
**using**  $\text{wf-earley-input-Earley}_L\text{-bin' wf-earley-input-Complete}_L \text{ Complete}_F.\text{hyps}$   
 $\text{Complete}_F.\text{prems}(1)$   
 $\langle \text{length } (\text{items } (bs ! k)) \leq \text{length } (\text{items } (?bs'' ! k)) \rangle \text{ kth-Earley}_L\text{-bin'-bins}$   
 $0 1$  **by** *blast*  
**ultimately show**  $?thesis$   
**using**  $\text{Earley}_L\text{-bin'-bins-eq bins-eq-items-imp-eq-bins wf-earley-input-elim}$  **by**  
*blast*  
**qed**  
**also have**  $\dots = \text{bins } (\text{Earley}_L\text{-bin' } k \mathcal{G} \omega ?bs' (i + 1))$   
**using**  $\text{Complete}_F.\text{IH}[OF \text{ wf - sound Complete}_F.\text{prems}(4)] \langle i = j \rangle$  **by** *blast*  
**finally show**  $?thesis$   
**using**  $\text{Complete}_F.\text{hyps}$  **by** *simp*  
**qed**  
**next**  
**case**  $(\text{Scan}_F k \mathcal{G} \omega bs i x a)$   
**let**  $?bs' = \text{bins-upd } bs (k+1) (\text{Scan}_L k \omega a x i)$   
**have**  $x: x \in \text{set } (\text{items } (bs ! k))$   
**using**  $\text{Scan}_F.\text{hyps}(1,2)$  **by** *auto*  
**hence**  $\forall x \in \text{set } (\text{items } (\text{Scan}_L k \omega a x i)). \text{sound-item } \mathcal{G} \omega x$   
**using**  $\text{sound-Scan}_L \text{ Scan}_F.\text{hyps}(3,5) \text{ Scan}_F.\text{prems}(1,2,3) \text{ wf-earley-input-elim}$   
 $\text{wf-bins-impl-wf-items } x$   
**by**  $(\text{metis dual-order.refl})$   
**hence**  $\text{sound: } \forall x \in \text{bins } ?bs'. \text{sound-item } \mathcal{G} \omega x$   
**using**  $\text{Scan}_F.\text{hyps}(5) \text{ Scan}_F.\text{prems}(1,3) \text{ bins-bins-upd wf-earley-input-elim}$   
**by**  $(\text{metis UnE add-less-cancel-right})$   
**have**  $\text{wf: } (k, \mathcal{G}, \omega, ?bs') \in \text{wf-earley-input}$   
**using**  $\text{Scan}_F.\text{hyps } \text{Scan}_F.\text{prems}(1) \text{ wf-earley-input-Scan}_L$  **by** *metis*  
**show**  $?case$   
**proof cases**  
**assume**  $i+1 \leq j$

```

thus ?thesis
  using sound Scan_F by (metis Earley_L-bin'-simps(3) wf-earley-input-Scan_L)
next
  assume  $\neg i+1 \leq j$ 
  hence  $i = j$ 
  using Scan_F.prem(2) by auto
  have bins (Earley_L-bin' k  $\mathcal{G}$   $\omega$  (Earley_L-bin' k  $\mathcal{G}$   $\omega$  bs i) j) = bins (Earley_L-bin'
k  $\mathcal{G}$   $\omega$  (Earley_L-bin' k  $\mathcal{G}$   $\omega$  ?bs' (i+1)) j)
  using Scan_F.hyps by simp
  also have ... = bins (Earley_L-bin' k  $\mathcal{G}$   $\omega$  (Earley_L-bin' k  $\mathcal{G}$   $\omega$  ?bs' (i+1))
(j+1))
  proof -
    let ?bs'' = Earley_L-bin' k  $\mathcal{G}$   $\omega$  ?bs' (i+1)
    have length (items (?bs'' ! k))  $\geq$  length (items (bs ! k))
    using length-nth-bin-Earley_L-bin' length-nth-bin-bins-upd order-trans Scan_F.hyps
Scan_F.prem(1) Earley_L-bin'-simps(3)
    by (smt (verit, ccfv-SIG))
    hence bins (Earley_L-bin' k  $\mathcal{G}$   $\omega$  ?bs'' j) = bins (Earley_L-bin' k  $\mathcal{G}$   $\omega$  (bins-upd
?bs'' (k+1) (Scan_L k  $\omega$  a x i)) (j+1))
    using  $\langle i = j \rangle$  kth-Earley_L-bin'-bins nth-idem-bins-upd Earley_L-bin'-simps(3)
Scan_F.hyps Scan_F.prem(1) by (smt (verit, best) leI le-trans)
    moreover have bins-eq-items (bins-upd ?bs'' (k+1) (Scan_L k  $\omega$  a x i)) ?bs''
  proof -
    have  $k+1 < \text{length } bs$ 
    using Scan_F.hyps(5) Scan_F.prem wf-earley-input-elim by fastforce+
    hence set (items (Scan_L k  $\omega$  a x i))  $\subseteq$  set (items (?bs' ! (k+1)))
    by (simp add: bins-upd-def set-items-bin-upds)
    also have ...  $\subseteq$  set (items (?bs'' ! (k+1)))
    using wf nth-bin-sub-Earley_L-bin' by blast
    finally show ?thesis
    using bins-eq-items-bins-upd by blast
  qed
  moreover have (k,  $\mathcal{G}$ ,  $\omega$ , bins-upd ?bs'' (k+1) (Scan_L k  $\omega$  a x i))  $\in$ 
wf-earley-input
  using wf-earley-input-Earley_L-bin' wf-earley-input-Scan_L Scan_F.hyps Scan_F.prem(1)
 $\langle \text{length } (items (bs ! k)) \leq \text{length } (items (?bs'' ! k)) \rangle$  kth-Earley_L-bin'-bins
  by (smt (verit, ccfv-SIG) Earley_L-bin'-simps(3) linorder-not-le order.trans)
  ultimately show ?thesis
  using Earley_L-bin'-bins-eq bins-eq-items-imp-eq-bins wf-earley-input-elim by
blast
  qed
  also have ... = bins (Earley_L-bin' k  $\mathcal{G}$   $\omega$  ?bs' (i + 1))
  using  $\langle i = j \rangle$  Scan_F.IH Scan_F.prem Scan_F.hyps sound wf-earley-input-Scan_L
by fast
  finally show ?thesis
  using Scan_F.hyps by simp
  qed
next
  case (Pass k  $\mathcal{G}$   $\omega$  bs i x a)

```

```

show ?case
proof cases
  assume  $i+1 \leq j$ 
  thus ?thesis
    using Pass by (metis EarleyL-bin'-simps(4))
next
  assume  $\neg i+1 \leq j$ 
  show ?thesis
    using Pass EarleyL-bin'-simps(1,4) kth-EarleyL-bin'-bins by (metis Suc-eq-plus1
    Suc-leI antisym-conv2 not-le-imp-less)
  qed
next
  case (PredictF k  $\mathcal{G}$   $\omega$  bs i x a)
  let ?bs' = bins-upd bs k (PredictL k  $\mathcal{G}$  a)
  have x:  $x \in \text{set}(\text{items}(bs ! k))$ 
    using PredictF.hyps(1,2) by auto
  hence  $\forall x \in \text{set}(\text{items}(\text{Predict}_L k \mathcal{G} a)). \text{sound-item } \mathcal{G} \omega x$ 
    using sound-PredictL PredictF.hyps(3) PredictF.prems wf-earley-input-elim
    wf-bins-impl-wf-items by fast
  hence sound:  $\forall x \in \text{bins } ?bs'. \text{sound-item } \mathcal{G} \omega x$ 
    using PredictF.prems(1,3) UnE bins-bins-upd wf-earley-input-elim by metis
  have wf:  $(k, \mathcal{G}, \omega, ?bs') \in \text{wf-earley-input}$ 
    using PredictF.hyps PredictF.prems(1) wf-earley-input-PredictL by metis
  have len:  $i < \text{length}(\text{items}(?bs' ! k))$ 
    using length-nth-bin-bins-upd PredictF.hyps(1) Orderings.preorder-class.dual-order.strict-trans1
    linorder-not-less
    by (metis items-def length-map)
  show ?case
  proof cases
    assume  $i+1 \leq j$ 
    thus ?thesis
      using sound wf PredictF by (metis EarleyL-bin'-simps(5))
  next
    assume  $\neg i+1 \leq j$ 
    hence  $i = j$ 
    using PredictF.prems(2) by auto
    have bins (EarleyL-bin' k  $\mathcal{G}$   $\omega$  (EarleyL-bin' k  $\mathcal{G}$   $\omega$  bs i) j) = bins (EarleyL-bin'
    k  $\mathcal{G}$   $\omega$  (EarleyL-bin' k  $\mathcal{G}$   $\omega$  ?bs' (i+1)) j)
      using PredictF.hyps by simp
    also have ... = bins (EarleyL-bin' k  $\mathcal{G}$   $\omega$  (EarleyL-bin' k  $\mathcal{G}$   $\omega$  ?bs' (i+1))
    (j+1))
    proof -
      let ?bs'' = EarleyL-bin' k  $\mathcal{G}$   $\omega$  ?bs' (i+1)
      have length (items (?bs'' ! k))  $\geq$  length (items (bs ! k))
        using length-nth-bin-EarleyL-bin' length-nth-bin-bins-upd order-trans wf
        by (metis (no-types, lifting) items-def length-map)
      hence bins (EarleyL-bin' k  $\mathcal{G}$   $\omega$  ?bs'' j) = bins (EarleyL-bin' k  $\mathcal{G}$   $\omega$  (bins-upd
      ?bs'' k (PredictL k  $\mathcal{G}$  a)) (j+1))
        using  $i = j$  kth-EarleyL-bin'-bins nth-idem-bins-upd EarleyL-bin'-simps(5)

```

*Predict<sub>F</sub>.hyps Predict<sub>F</sub>.prems(1) length-bins-Earley<sub>L</sub>-bin'*  
*wf-bins-Earley<sub>L</sub>-bin' wf-bins-kth-bin wf-item-def x by (smt (verit, ccfv-SIG)*  
*linorder-not-le order.trans)*  
**moreover have** *bins-eq-items (bins-upd ?bs'' k (Predict<sub>L</sub> k  $\mathcal{G}$  a)) ?bs''*  
**proof** –  
**have** *k < length bs*  
**using** *wf-earley-input-elim[OF Predict<sub>F</sub>.prems(1)] by blast*  
**hence** *set (items (Predict<sub>L</sub> k  $\mathcal{G}$  a))  $\subseteq$  set (items (?bs' ! k))*  
**by** *(simp add: bins-upd-def set-items-bin-upds)*  
**also have** *...  $\subseteq$  set (items (?bs'' ! k))*  
**using** *wf nth-bin-sub-Earley<sub>L</sub>-bin' by blast*  
**finally show** *?thesis*  
**using** *bins-eq-items-bins-upd by blast*  
**qed**  
**moreover have** *(k,  $\mathcal{G}$ ,  $\omega$ , bins-upd ?bs'' k (Predict<sub>L</sub> k  $\mathcal{G}$  a))  $\in$  wf-earley-input*  
**using** *wf-earley-input-Earley<sub>L</sub>-bin' wf-earley-input-Predict<sub>L</sub> Predict<sub>F</sub>.hyps*  
*Predict<sub>F</sub>.prems(1)*  
 *$\langle$ length (items (bs ! k))  $\leq$  length (items (?bs'' ! k)) $\rangle$  kth-Earley<sub>L</sub>-bin'-bins*  
**by** *(smt (verit, best) Earley<sub>L</sub>-bin'-simps(5) dual-order.trans not-le-imp-less)*  
**ultimately show** *?thesis*  
**using** *Earley<sub>L</sub>-bin'-bins-eq bins-eq-items-imp-eq-bins wf-earley-input-elim by*  
*blast*  
**qed**  
**also have** *... = bins (Earley<sub>L</sub>-bin' k  $\mathcal{G}$   $\omega$  ?bs' (i + 1))*  
**using**  *$\langle$ i = j $\rangle$  Predict<sub>F</sub>.IH Predict<sub>F</sub>.prems sound wf by (metis order-refl)*  
**finally show** *?thesis*  
**using** *Predict<sub>F</sub>.hyps by simp*  
**qed**  
**qed simp**

**lemma** *Earley<sub>L</sub>-bin-idem:*  
**assumes** *(k,  $\mathcal{G}$ ,  $\omega$ , bs)  $\in$  wf-earley-input*  
**assumes**  *$\forall x \in$  bins bs. sound-item  $\mathcal{G}$   $\omega$  x nonempty-derives  $\mathcal{G}$*   
**shows** *bins (Earley<sub>L</sub>-bin k  $\mathcal{G}$   $\omega$  (Earley<sub>L</sub>-bin k  $\mathcal{G}$   $\omega$  bs)) = bins (Earley<sub>L</sub>-bin k*  
 *$\mathcal{G}$   $\omega$  bs)*  
**using** *assms Earley<sub>L</sub>-bin'-idem Earley<sub>L</sub>-bin-def le0 by metis*

**lemma** *funpower-Earley<sub>F</sub>-bin-step-sub-Earley<sub>L</sub>-bin:*  
**assumes** *(k,  $\mathcal{G}$ ,  $\omega$ , bs)  $\in$  wf-earley-input*  
**assumes** *Earley<sub>F</sub>-bin-step k  $\mathcal{G}$   $\omega$  (bins-upto bs k 0)  $\subseteq$  bins bs  $\forall x \in$  bins bs.*  
*sound-item  $\mathcal{G}$   $\omega$  x*  
**assumes** *is-word  $\mathcal{G}$   $\omega$  nonempty-derives  $\mathcal{G}$*   
**shows** *funpower (Earley<sub>F</sub>-bin-step k  $\mathcal{G}$   $\omega$ ) n (bins bs)  $\subseteq$  bins (Earley<sub>L</sub>-bin k  $\mathcal{G}$*   
 *$\omega$  bs)*  
**using** *assms*  
**proof** *(induction n)*  
**case** *0*  
**thus** *?case*  
**using** *Earley<sub>L</sub>-bin'-mono Earley<sub>L</sub>-bin-def by (simp add: Earley<sub>L</sub>-bin'-mono*

*Earley<sub>L</sub>-bin-def*)  
**next**  
**case** (*Suc n*)  
**have** *0*: *Earley<sub>F</sub>-bin-step k G ω (bins-upto (Earley<sub>L</sub>-bin k G ω bs) k 0) ⊆ bins*  
(*Earley<sub>L</sub>-bin k G ω bs*)  
**using** *Earley<sub>L</sub>-bin'-mono bins-upto-k0-Earley<sub>L</sub>-bin'-eq assms(1,2) Earley<sub>L</sub>-bin-def*  
*order-trans*  
**by** (*metis (no-types, lifting)*)  
**have** *funpower (Earley<sub>F</sub>-bin-step k G ω) (Suc n) (bins bs) ⊆ Earley<sub>F</sub>-bin-step k*  
*G ω (bins (Earley<sub>L</sub>-bin k G ω bs))*  
**using** *Earley<sub>F</sub>-bin-step-sub-mono Suc by (metis funpower.simps(2))*  
**also have** *... ⊆ bins (Earley<sub>L</sub>-bin k G ω (Earley<sub>L</sub>-bin k G ω bs))*  
**using** *Earley<sub>F</sub>-bin-step-sub-Earley<sub>L</sub>-bin Suc.premis wf-bins-Earley<sub>L</sub>-bin sound-Earley<sub>L</sub>-bin*  
*0 wf-earley-input-Earley<sub>L</sub>-bin by blast*  
**also have** *... ⊆ bins (Earley<sub>L</sub>-bin k G ω bs)*  
**using** *Earley<sub>L</sub>-bin-idem Suc.premis by blast*  
**finally show** *?case .*  
**qed**

**lemma** *Earley<sub>F</sub>-bin-sub-Earley<sub>L</sub>-bin*:  
**assumes** (*k, G, ω, bs*) ∈ *wf-earley-input*  
**assumes** *Earley<sub>F</sub>-bin-step k G ω (bins-upto bs k 0) ⊆ bins bs*  $\forall x \in \text{bins } bs$ .  
*sound-item G ω x*  
**assumes** *is-word G ω nonempty-derives G*  
**shows** *Earley<sub>F</sub>-bin k G ω (bins bs) ⊆ bins (Earley<sub>L</sub>-bin k G ω bs)*  
**using** *assms funpower-Earley<sub>F</sub>-bin-step-sub-Earley<sub>L</sub>-bin Earley<sub>F</sub>-bin-def elem-limit-simp*  
**by** *fastforce*

**lemma** *Earley<sub>F</sub>-bins-sub-Earley<sub>L</sub>-bins*:  
**assumes** *k ≤ length ω wf-G G*  
**assumes** *is-word G ω nonempty-derives G*  
**shows** *Earley<sub>F</sub>-bins k G ω ⊆ bins (Earley<sub>L</sub>-bins k G ω)*  
**using** *assms*  
**proof** (*induction k*)  
**case** *0*  
**hence** *Earley<sub>F</sub>-bin 0 G ω (Init<sub>F</sub> G) ⊆ bins (Earley<sub>L</sub>-bin 0 G ω (Init<sub>L</sub> G ω))*  
**using** *Earley<sub>F</sub>-bin-sub-Earley<sub>L</sub>-bin Init<sub>L</sub>-eq-Init<sub>F</sub> length-bins-Init<sub>L</sub> Init<sub>L</sub>-eq-Init<sub>F</sub>*  
*sound-Init bins-upto-empty*  
*Earley<sub>F</sub>-bin-step-empty bins-upto-sub-bins wf-earley-input-Init<sub>L</sub> wf-earley-input-elim*  
**by** (*smt (verit, ccfv-threshold) Init<sub>F</sub>-sub-Earley basic-trans-rules(31) sound-Earley*  
*wf-bins-impl-wf-items*)  
**thus** *?case*  
**by** *simp*  
**next**  
**case** (*Suc k*)  
**have** *wf: (Suc k, G, ω, Earley<sub>L</sub>-bins k G ω) ∈ wf-earley-input*  
**by** (*simp add: Suc.premis(1) Suc-leD assms(2) wf-earley-input-intro*)  
**have** *sub: Earley<sub>F</sub>-bin-step (Suc k) G ω (bins-upto (Earley<sub>L</sub>-bins k G ω) (Suc k)*  
*0) ⊆ bins (Earley<sub>L</sub>-bins k G ω)*

**proof** –  
**have**  $\text{bin } (\text{bins-upto } (\text{Earley}_L\text{-bins } k \mathcal{G} \omega) (\text{Suc } k) 0) (\text{Suc } k) = \{\}$   
**using**  $k\text{th-bin-bins-upto-empty wf Suc.premis wf-earley-input-elim}$  **by**  $\text{blast}$   
**hence**  $\text{Earley}_F\text{-bin-step } (\text{Suc } k) \mathcal{G} \omega (\text{bins-upto } (\text{Earley}_L\text{-bins } k \mathcal{G} \omega) (\text{Suc } k) 0) = \text{bins-upto } (\text{Earley}_L\text{-bins } k \mathcal{G} \omega) (\text{Suc } k) 0$   
**unfolding**  $\text{Earley}_F\text{-bin-step-def Scan}_F\text{-def Complete}_F\text{-def Predict}_F\text{-def bin-def}$   
**by**  $\text{blast}$   
**also have**  $\dots \subseteq \text{bins } (\text{Earley}_L\text{-bins } k \mathcal{G} \omega)$   
**using**  $\text{wf Suc.premis bins-upto-sub-bins wf-earley-input-elim}$  **by**  $\text{blast}$   
**finally show**  $?thesis$  .  
**qed**  
**have**  $\text{sound: } \forall x \in \text{bins } (\text{Earley}_L\text{-bins } k \mathcal{G} \omega). \text{sound-item } \mathcal{G} \omega x$   
**using**  $\text{Suc Earley}_L\text{-bins-sub-Earley}_F\text{-bins}$  **by**  $(\text{metis Suc-leD Earley}_F\text{-bins-sub-Earley}_L\text{-in-mono sound-Earley wf-Earley})$   
**have**  $\text{Earley}_F\text{-bins } (\text{Suc } k) \mathcal{G} \omega \subseteq \text{Earley}_F\text{-bin } (\text{Suc } k) \mathcal{G} \omega (\text{bins } (\text{Earley}_L\text{-bins } k \mathcal{G} \omega))$   
**using**  $\text{Suc Earley}_F\text{-bin-sub-mono}$  **by**  $\text{simp}$   
**also have**  $\dots \subseteq \text{bins } (\text{Earley}_L\text{-bin } (\text{Suc } k) \mathcal{G} \omega (\text{Earley}_L\text{-bins } k \mathcal{G} \omega))$   
**using**  $\text{Earley}_F\text{-bin-sub-Earley}_L\text{-bin wf sub sound Suc.premis}$  **by**  $\text{fastforce}$   
**finally show**  $?case$   
**by**  $\text{simp}$   
**qed**

**lemma**  $\text{Earley}_F\text{-sub-Earley}_L$ :  
**assumes**  $\text{wf-}\mathcal{G} \mathcal{G} \text{ is-word } \mathcal{G} \omega \text{ nonempty-derives } \mathcal{G}$   
**shows**  $\text{Earley}_F \mathcal{G} \omega \subseteq \text{bins } (\text{Earley}_L \mathcal{G} \omega)$   
**using**  $\text{assms Earley}_F\text{-bins-sub-Earley}_L\text{-bins Earley}_F\text{-def Earley}_L\text{-def}$  **by**  $(\text{metis le-refl})$

**theorem**  $\text{completeness-Earley}_L$ :  
**assumes**  $\text{derives } \mathcal{G} [\mathfrak{S} \mathcal{G}] \omega \text{ is-word } \mathcal{G} \omega \text{ wf-}\mathcal{G} \mathcal{G} \text{ nonempty-derives } \mathcal{G}$   
**shows**  $\text{recognizing } (\text{bins } (\text{Earley}_L \mathcal{G} \omega)) \mathcal{G} \omega$   
**using**  $\text{assms Earley}_F\text{-sub-Earley}_L \text{ Earley}_L\text{-sub-Earley}_F \text{ completeness-Earley}_F$  **by**  $(\text{metis subset-antisym})$

## 8.7 Correctness

**theorem**  $\text{Earley-eq-Earley}_L$ :  
**assumes**  $\text{wf-}\mathcal{G} \mathcal{G} \text{ is-word } \mathcal{G} \omega \text{ nonempty-derives } \mathcal{G}$   
**shows**  $\text{Earley } \mathcal{G} \omega = \text{bins } (\text{Earley}_L \mathcal{G} \omega)$   
**using**  $\text{assms Earley}_F\text{-sub-Earley}_L \text{ Earley}_L\text{-sub-Earley}_F \text{ Earley-eq-Earley}_F$  **by**  $\text{blast}$

**theorem**  $\text{correctness-Earley}_L$ :  
**assumes**  $\text{wf-}\mathcal{G} \mathcal{G} \text{ is-word } \mathcal{G} \omega \text{ nonempty-derives } \mathcal{G}$   
**shows**  $\text{recognizing } (\text{bins } (\text{Earley}_L \mathcal{G} \omega)) \mathcal{G} \omega \longleftrightarrow \text{derives } \mathcal{G} [\mathfrak{S} \mathcal{G}] \omega$   
**using**  $\text{assms Earley-eq-Earley}_L \text{ correctness-Earley}$  **by**  $\text{fastforce}$

**end**

```

theory Earley-Parser
  imports
    Earley-Recognizer
    HOL-Library.Monad-Syntax
begin

```

## 9 Earley parser

### 9.1 Pointer lemmas

**definition** *predicts* :: 'a item  $\Rightarrow$  bool **where**  
*predicts*  $x \equiv \text{item-origin } x = \text{item-end } x \wedge \text{item-dot } x = 0$

**definition** *scans* :: 'a sentence  $\Rightarrow$  nat  $\Rightarrow$  'a item  $\Rightarrow$  'a item  $\Rightarrow$  bool **where**  
*scans*  $\omega$   $k$   $x$   $y \equiv y = \text{inc-item } x$   $k \wedge (\exists a. \text{next-symbol } x = \text{Some } a \wedge \omega!(k-1) = a)$

**definition** *completes* :: nat  $\Rightarrow$  'a item  $\Rightarrow$  'a item  $\Rightarrow$  'a item  $\Rightarrow$  bool **where**  
*completes*  $k$   $x$   $y$   $z \equiv y = \text{inc-item } x$   $k \wedge \text{is-complete } z \wedge \text{item-origin } z = \text{item-end } x \wedge$   
 $(\exists N. \text{next-symbol } x = \text{Some } N \wedge N = \text{item-rule-head } z)$

**definition** *sound-null-ptr* :: 'a entry  $\Rightarrow$  bool **where**  
*sound-null-ptr*  $e \equiv (\text{pointer } e = \text{Null} \longrightarrow \text{predicts } (\text{item } e))$

**definition** *sound-pre-ptr* :: 'a sentence  $\Rightarrow$  'a bins  $\Rightarrow$  nat  $\Rightarrow$  'a entry  $\Rightarrow$  bool **where**  
*sound-pre-ptr*  $\omega$   $bs$   $k$   $e \equiv \forall \text{pre. pointer } e = \text{Pre } \text{pre} \longrightarrow$   
 $k > 0 \wedge \text{pre} < \text{length } (bs!(k-1)) \wedge \text{scans } \omega$   $k$   $(\text{item } (bs!(k-1)!pre))$   $(\text{item } e)$

**definition** *sound-prered-ptr* :: 'a bins  $\Rightarrow$  nat  $\Rightarrow$  'a entry  $\Rightarrow$  bool **where**  
*sound-prered-ptr*  $bs$   $k$   $e \equiv \forall p$   $ps$   $k'$   $\text{pre}$   $\text{red. pointer } e = \text{PreRed } p$   $ps \wedge (k', \text{pre}, \text{red}) \in \text{set } (p\#\text{ps}) \longrightarrow$   
 $k' < k \wedge \text{pre} < \text{length } (bs!k') \wedge \text{red} < \text{length } (bs!k) \wedge \text{completes } k$   $(\text{item } (bs!k!pre))$   $(\text{item } e)$   $(\text{item } (bs!k!red))$

**definition** *sound-ptrs* :: 'a sentence  $\Rightarrow$  'a bins  $\Rightarrow$  bool **where**  
*sound-ptrs*  $\omega$   $bs \equiv \forall k < \text{length } bs. \forall e \in \text{set } (bs!k).$   
*sound-null-ptr*  $e \wedge \text{sound-pre-ptr } \omega$   $bs$   $k$   $e \wedge \text{sound-prered-ptr } bs$   $k$   $e$

**definition** *mono-red-ptr* :: 'a bins  $\Rightarrow$  bool **where**  
*mono-red-ptr*  $bs \equiv \forall k < \text{length } bs. \forall i < \text{length } (bs!k).$   
 $\forall k' \text{pre red ps. pointer } (bs!k!i) = \text{PreRed } (k', \text{pre}, \text{red})$   $ps \longrightarrow \text{red} < i$

**lemma** *nth-item-bin-upd*:  
 $n < \text{length } es \implies \text{item } (\text{bin-upd } e$   $es$   $!n) = \text{item } (es!n)$   
**by** (*induction*  $es$  *arbitrary*:  $e$   $n$ ) (*auto simp*: *less-Suc-eq-0-disj split*: *entry.splits* *pointer.splits*)

**lemma** *bin-upd-append*:

$item\ e \notin set\ (items\ es) \implies bin\text{-}upd\ e\ es = es\ @\ [e]$   
**by** (*induction es arbitrary: e*) (*auto simp: items-def split: entry.splits pointer.splits*)

**lemma** *bin-upd-null-pre*:  
 $item\ e \in set\ (items\ es) \implies pointer\ e = Null \vee pointer\ e = Pre\ pre \implies bin\text{-}upd\ e\ es = es$   
**by** (*induction es arbitrary: e*) (*auto simp: items-def split: entry.splits*)

**lemma** *bin-upd-prered-nop*:  
**assumes** *distinct (items es) i < length es*  
**assumes**  $item\ e = item\ (es!i)\ pointer\ e = PreRed\ p\ ps \# p\ ps.\ pointer\ (es!i) = PreRed\ p\ ps$   
**shows**  $bin\text{-}upd\ e\ es = es$   
**using** *assms*  
**by** (*induction es arbitrary: e i*) (*auto simp: less-Suc-eq-0-disj items-def split: entry.splits pointer.splits*)

**lemma** *bin-upd-prered-upd*:  
**assumes** *distinct (items es) i < length es*  
**assumes**  $item\ e = item\ (es!i)\ pointer\ e = PreRed\ p\ rs\ pointer\ (es!i) = PreRed\ p'\ rs'\ bin\text{-}upd\ e\ es = es'$   
**shows**  $pointer\ (es!i) = PreRed\ p'\ (p\#rs@rs') \wedge (\forall j < length\ es'. i \neq j \longrightarrow es!j = es!j) \wedge length\ (bin\text{-}upd\ e\ es) = length\ es$   
**using** *assms*  
**proof** (*induction es arbitrary: e i es'*)  
**case** (*Cons e' es*)  
**show** *?case*  
**proof** *cases*  
**assume**  $*: item\ e = item\ e'$   
**show** *?thesis*  
**proof** (*cases*  $\exists x\ xp\ xs\ y\ yp\ ys.\ e = Entry\ x\ (PreRed\ xp\ xs) \wedge e' = Entry\ y\ (PreRed\ yp\ ys)$ )  
**case** *True*  
**then obtain**  $x\ xp\ xs\ y\ yp\ ys$  **where**  $ee': e = Entry\ x\ (PreRed\ xp\ xs)\ e' = Entry\ y\ (PreRed\ yp\ ys)\ x = y$   
**using**  $*$  **by** *auto*  
**have**  $simp: bin\text{-}upd\ e\ (e' \# es') = Entry\ x\ (PreRed\ yp\ (xp \# xs @ ys)) \# es'$   
**using** *True ee' by simp*  
**show** *?thesis*  
**using** *Cons simp ee'* **apply** (*auto simp: items-def*)  
**using** *less-Suc-eq-0-disj* **by** *fastforce+*  
**next**  
**case** *False*  
**hence**  $bin\text{-}upd\ e\ (e' \# es') = e' \# es'$   
**using**  $*$  **by** (*auto split: pointer.splits entry.splits*)  
**thus** *?thesis*  
**using** *False \* Cons.prem1,2,3,4,5* **by** (*auto simp: less-Suc-eq-0-disj items-def split: entry.splits*)  
**qed**



```

next
  assume *: item e ≠ item e'
  have simp: bin-upd e (e' # es) = e' # bin-upd e es
    using * by (auto split: pointer.splits entry.splits)
  have 0: distinct (items es)
    using Cons.prem1 unfolding items-def by simp
  have 1: i-1 < length es
    using Cons.prem2,3 * by (metis One-nat-def leI less-diff-conv2 less-one
list.size4) nth-Cons-0)
  have 2: item e = item (es!(i-1))
    using Cons.prem3 * by (metis nth-Cons')
  have 3: pointer e = PreRed p rs
    using Cons.prem4 by simp
  have 4: pointer (es!(i-1)) = PreRed p' rs'
    using Cons.prem3,5 * by (metis nth-Cons')
  have pointer (bin-upd e es!(i-1)) = PreRed p' (p # rs @ rs') ∧
    (∀ j < length (bin-upd e es). i-1 ≠ j → (bin-upd e es) ! j = es ! j)
    using Cons.IH[OF 0 1 2 3 4] by blast
  hence pointer ((e' # bin-upd e es) ! i) = PreRed p' (p # rs @ rs') ∧
    (∀ j < length (e' # bin-upd e es). i ≠ j → (e' # bin-upd e es) ! j = (e' #
es) ! j)
    using * Cons.prem2,3 less-Suc-eq-0-disj by auto
  moreover have e' # bin-upd e es = es'
    using Cons.prem6 simp by auto
  ultimately show ?thesis
    by (metis 0 1 2 3 4 Cons.IH Cons.prem6) length-Cons)
qed
qed simp

```

**lemma** *sound-ptrs-bin-upd*:

```

  assumes sound-ptrs ω bs k < length bs es = bs!k distinct (items es)
  assumes sound-null-ptr e sound-pre-ptr ω bs k e sound-prered-ptr bs k e
  shows sound-ptrs ω (bs[k := bin-upd e es])
  unfolding sound-ptrs-def
proof (standard, standard, standard)
  fix idx elem
  let ?bs = bs[k := bin-upd e es]
  assume a0: idx < length ?bs
  assume a1: elem ∈ set (?bs ! idx)
  show sound-null-ptr elem ∧ sound-pre-ptr ω ?bs idx elem ∧ sound-prered-ptr ?bs
idx elem
  proof cases
    assume a2: idx = k
    have elem ∈ set es ⇒ sound-pre-ptr ω bs idx elem
      using a0 a2 assms(1-3) sound-ptrs-def by blast
    hence pre-es: elem ∈ set es ⇒ sound-pre-ptr ω ?bs idx elem
      using a2 unfolding sound-pre-ptr-def by force
    have elem = e ⇒ sound-pre-ptr ω bs idx elem
      using a2 assms(6) by auto

```

**hence**  $pre\text{-}e: elem = e \implies sound\text{-}pre\text{-}ptr \ \omega \ ?bs \ idx \ elem$   
**using**  $a2$  **unfolding**  $sound\text{-}pre\text{-}ptr\text{-}def$  **by**  $force$   
**have**  $elem \in set \ es \implies sound\text{-}prered\text{-}ptr \ bs \ idx \ elem$   
**using**  $a0 \ a2 \ assms(1-3)$   $sound\text{-}ptrs\text{-}def$  **by**  $blast$   
**hence**  $prered\text{-}es: elem \in set \ es \implies sound\text{-}prered\text{-}ptr \ (bs[k := bin\text{-}upd \ e \ es]) \ idx$   
 $elem$   
**using**  $a2 \ assms(2,3)$   $length\text{-}bin\text{-}upd \ nth\text{-}item\text{-}bin\text{-}upd$  **unfolding**  $sound\text{-}prered\text{-}ptr\text{-}def$   
**by**  $(smt \ (verit, \ ccfv\text{-}SIG) \ dual\text{-}order.\text{strict}\text{-}trans1 \ nth\text{-}list\text{-}update)$   
**have**  $elem = e \implies sound\text{-}prered\text{-}ptr \ bs \ idx \ elem$   
**using**  $a2 \ assms(7)$  **by**  $auto$   
**hence**  $prered\text{-}e: elem = e \implies sound\text{-}prered\text{-}ptr \ ?bs \ idx \ elem$   
**using**  $a2 \ assms(2,3)$   $length\text{-}bin\text{-}upd \ nth\text{-}item\text{-}bin\text{-}upd$  **unfolding**  $sound\text{-}prered\text{-}ptr\text{-}def$   
**by**  $(smt \ (verit, \ best) \ dual\text{-}order.\text{strict}\text{-}trans1 \ nth\text{-}list\text{-}update)$   
**consider**  $(A) \ item \ e \notin set \ (items \ es) \mid$   
 $(B) \ item \ e \in set \ (items \ es) \wedge (\exists \ pre. \ pointer \ e = Null \vee \ pointer \ e = Pre \ pre)$   
 $\mid$   
 $(C) \ item \ e \in set \ (items \ es) \wedge \neg (\exists \ pre. \ pointer \ e = Null \vee \ pointer \ e = Pre$   
 $pre)$   
**by**  $blast$   
**thus**  $?thesis$   
**proof**  $cases$   
**case**  $A$   
**hence**  $elem \in set \ (es \ @ \ [e])$   
**using**  $a1 \ a2 \ bin\text{-}upd\text{-}append \ assms(2)$  **by**  $force$   
**thus**  $?thesis$   
**using**  $assms(1-3,5)$   $pre\text{-}e \ pre\text{-}es \ prered\text{-}e \ prered\text{-}es \ sound\text{-}ptrs\text{-}def$  **by**  $auto$   
**next**  
**case**  $B$   
**hence**  $elem \in set \ es$   
**using**  $a1 \ a2 \ bin\text{-}upd\text{-}null\text{-}pre \ assms(2)$  **by**  $force$   
**thus**  $?thesis$   
**using**  $assms(1-3)$   $pre\text{-}es \ prered\text{-}es \ sound\text{-}ptrs\text{-}def$  **by**  $blast$   
**next**  
**case**  $C$   
**then** **obtain**  $i \ p \ ps$  **where**  $C: i < length \ es \wedge \ item \ e = item \ (es!i) \wedge \ pointer$   
 $e = PreRed \ p \ ps$   
**by**  $(metis \ assms(4) \ distinct\text{-}Ex1 \ items\text{-}def \ length\text{-}map \ nth\text{-}map \ pointer.\text{exhaust})$   
**show**  $?thesis$   
**proof**  $cases$   
**assume**  $\nexists \ p' \ ps'. \ pointer \ (es!i) = PreRed \ p' \ ps'$   
**hence**  $C: elem \in set \ es$   
**using**  $a1 \ a2 \ C \ bin\text{-}upd\text{-}prered\text{-}nop \ assms(2,4)$  **by**  $(metis \ nth\text{-}list\text{-}update\text{-}eq)$   
**thus**  $?thesis$   
**using**  $assms(1-3)$   $sound\text{-}ptrs\text{-}def \ pre\text{-}es \ prered\text{-}es$  **by**  $blast$   
**next**  
**assume**  $\neg (\exists \ p' \ ps'. \ pointer \ (es!i) = PreRed \ p' \ ps')$   
**then** **obtain**  $p' \ ps'$  **where**  $D: pointer \ (es!i) = PreRed \ p' \ ps'$   
**by**  $blast$   
**hence**  $0: pointer \ (bin\text{-}upd \ e \ es!i) = PreRed \ p' \ (p\#\#ps@\#ps') \wedge (\forall \ j < length$

```

(bin-upd e es). i≠j → bin-upd e es!j = es!j
  using C assms(4) bin-upd-prered-upd by blast
  obtain j where 1: j < length es ∧ elem = bin-upd e es!j
    using a1 a2 assms(2) C items-def bin-eq-items-bin-upd by (metis
in-set-conv-nth length-map nth-list-update-eq nth-map)
  show ?thesis
  proof cases
    assume a3: i=j
    hence a3: pointer elem = PreRed p' (p#ps@ps')
      using 0 1 by blast
    have sound-null-ptr elem
      using a3 unfolding sound-null-ptr-def by simp
    moreover have sound-pre-ptr ω ?bs idx elem
      using a3 unfolding sound-pre-ptr-def by simp
    moreover have sound-prered-ptr ?bs idx elem
      unfolding sound-prered-ptr-def
    proof (standard, standard, standard, standard, standard, standard)
      fix P PS k' pre red
      assume a4: pointer elem = PreRed P PS ∧ (k', pre, red) ∈ set (P#PS)
      show k' < idx ∧ pre < length (bs[k := bin-upd e es]!k') ∧ red < length
(bs[k := bin-upd e es]!idx) ∧
        completes idx (item (bs[k := bin-upd e es]!k'!pre)) (item elem) (item
(bs[k := bin-upd e es]!idx!red))
      proof cases
        assume a5: (k', pre, red) ∈ set (p#ps)
        show ?thesis
          using 0 1 C a2 a4 a5 prered-es assms(2,3,7) sound-prered-ptr-def
length-bin-upd nth-item-bin-upd
        by (smt (verit) dual-order.strict-trans1 nth-list-update-eq nth-list-update-neq
nth-mem)
      next
        assume a5: (k', pre, red) ∉ set (p#ps)
        hence a5: (k', pre, red) ∈ set (p'#ps')
          using a3 a4 by auto
        have k' < idx ∧ pre < length (bs!k') ∧ red < length (bs!idx) ∧
          completes idx (item (bs!k'!pre)) (item e) (item (bs!idx!red))
        using assms(1-3) C D a2 a5 unfolding sound-ptrs-def sound-prered-ptr-def
by (metis nth-mem)
        thus ?thesis
          using 0 1 C a4 assms(2,3) length-bin-upd nth-item-bin-upd prered-es
sound-prered-ptr-def
        by (smt (verit, best) dual-order.strict-trans1 nth-list-update-eq
nth-list-update-neq nth-mem)
      qed
    qed
    ultimately show ?thesis
      by blast
  next
    assume a3: i≠j

```

```

    hence elem ∈ set es
      using 0 1 by (metis length-bin-upd nth-mem order-less-le-trans)
    thus ?thesis
      using assms(1-3) pre-es prered-es sound-ptrs-def by blast
  qed
qed
qed
next
  assume a2: idx ≠ k
  have null: sound-null-ptr elem
    using a0 a1 a2 assms(1) sound-ptrs-def by auto
  have sound-pre-ptr ω bs idx elem
    using a0 a1 a2 assms(1,2) unfolding sound-ptrs-def by simp
  hence pre: sound-pre-ptr ω ?bs idx elem
  using assms(2,3) length-bin-upd nth-item-bin-upd unfolding sound-pre-ptr-def
    using dual-order.strict-trans1 nth-list-update by fastforce
  have sound-prered-ptr bs idx elem
    using a0 a1 a2 assms(1,2) unfolding sound-ptrs-def by simp
  hence prered: sound-prered-ptr ?bs idx elem
  using assms(2,3) length-bin-upd nth-item-bin-upd unfolding sound-prered-ptr-def
    by (smt (verit, best) dual-order.strict-trans1 nth-list-update)
  show ?thesis
    using null pre prered by blast
  qed
qed

lemma mono-red-ptr-bin-upd:
  assumes mono-red-ptr bs k < length bs es = bs!k distinct (items es)
  assumes ∀ k' pre red ps. pointer e = PreRed (k', pre, red) ps ⟶ red < length
  es
  shows mono-red-ptr (bs[k := bin-upd e es])
  unfolding mono-red-ptr-def
proof (standard, standard)
  fix idx
  let ?bs = bs[k := bin-upd e es]
  assume a0: idx < length ?bs
  show ∀ i < length (?bs!idx). ∀ k' pre red ps. pointer (?bs!idx!i) = PreRed (k',
pre, red) ps ⟶ red < i
  proof cases
    assume a1: idx=k
    consider (A) item e ∉ set (items es) |
      (B) item e ∈ set (items es) ∧ (∃ pre. pointer e = Null ∨ pointer e = Pre pre)
  |
      (C) item e ∈ set (items es) ∧ ¬ (∃ pre. pointer e = Null ∨ pointer e = Pre
pre)
    by blast
  thus ?thesis
proof cases
  case A

```

```

hence bin-upd e es = es @ [e]
  using bin-upd-append by blast
thus ?thesis
  using a1 assms(1-3,5) mono-red-ptr-def
  by (metis length-append-singleton less-antisym nth-append nth-append-length
nth-list-update-eq)
next
  case B
  hence bin-upd e es = es
  using bin-upd-null-pre by blast
thus ?thesis
  using a1 assms(1-3) mono-red-ptr-def by force
next
  case C
  then obtain i p ps where C: i < length es item e = item (es!i) pointer e =
PreRed p ps
  by (metis in-set-conv-nth items-def length-map nth-map pointer.exhaust)
  show ?thesis
proof cases
  assume  $\nexists p' ps'. \text{pointer } (es!i) = \text{PreRed } p' ps'$ 
  hence bin-upd e es = es
  using bin-upd-prered-nop C assms(4) by blast
  thus ?thesis
  using a1 assms(1-3) mono-red-ptr-def by (metis nth-list-update-eq)
next
  assume  $\neg (\exists p' ps'. \text{pointer } (es!i) = \text{PreRed } p' ps')$ 
  then obtain p' ps' where D: pointer (es!i) = PreRed p' ps'
  by blast
  have 0: pointer (bin-upd e es!i) = PreRed p' (p#ps@ps')  $\wedge$ 
( $\forall j < \text{length } (\text{bin-upd } e \text{ es}). i \neq j \longrightarrow \text{bin-upd } e \text{ es!j} = \text{es!j}$ )  $\wedge$ 
length (bin-upd e es) = length es
  using C D assms(4) bin-upd-prered-upd by blast
  show ?thesis
proof (standard, standard, standard, standard, standard, standard, standard)
  fix j k' pre red PS
  assume a2: j < length (?bs!idx)
  assume a3: pointer (?bs!idx!j) = PreRed (k', pre, red) PS
  have 1: ?bs!idx = bin-upd e es
  by (simp add: a1 assms(2))
  show red < j
proof cases
  assume a4: i=j
  show ?thesis
  using 0 1 C(1) D a3 a4 assms(1-3) unfolding mono-red-ptr-def by
(metis pointer.inject(2))
next
  assume a4: i≠j
  thus ?thesis
  using 0 1 a2 a3 assms(1) assms(2) assms(3) mono-red-ptr-def by

```

```

force
  qed
  qed
  qed
  qed
next
  assume a1: idx≠k
  show ?thesis
  using a0 a1 assms(1) mono-red-ptr-def by fastforce
qed
qed

lemma sound-mono-ptrs-bin-upds:
  assumes sound-ptrs ω bs mono-red-ptr bs k < length bs b = bs!k distinct (items
b) distinct (items es)
  assumes ∀ e ∈ set es. sound-null-ptr e ∧ sound-pre-ptr ω bs k e ∧ sound-prered-ptr
bs k e
  assumes ∀ e ∈ set es. ∀ k' pre red ps. pointer e = PreRed (k', pre, red) ps →
red < length b
  shows sound-ptrs ω (bs[k := bin-upds es b]) ∧ mono-red-ptr (bs[k := bin-upds es
b])
  using assms
proof (induction es arbitrary: b bs)
  case (Cons e es)
  let ?bs = bs[k := bin-upd e b]
  have 0: sound-ptrs ω ?bs
    using sound-ptrs-bin-upd Cons.prem(1,3-5,7) by (metis list.set-intros(1))
  have 1: mono-red-ptr ?bs
    using mono-red-ptr-bin-upd Cons.prem(2-5,8) by auto
  have 2: k < length ?bs
    using Cons.prem(3) by simp
  have 3: bin-upd e b = ?bs!k
    using Cons.prem(3) by simp
  have 4: ∀ e' ∈ set es. sound-null-ptr e' ∧ sound-pre-ptr ω ?bs k e' ∧ sound-prered-ptr
?bs k e'
    using Cons.prem(3,4,7) length-bin-upd nth-item-bin-upd sound-pre-ptr-def
sound-prered-ptr-def
    by (smt (verit, ccfv-threshold) list.set-intros(2) nth-list-update order-less-le-trans)
  have 5: ∀ e' ∈ set es. ∀ k' pre red ps. pointer e' = PreRed (k', pre, red) ps →
red < length (bin-upd e b)
    by (meson Cons.prem(8) length-bin-upd order-less-le-trans set-subset-Cons
subsetD)
  have sound-ptrs ω ((bs[k := bin-upd e b])[k := bin-upds es (bin-upd e b)]) ∧
mono-red-ptr (bs[k := bin-upd e b, k := bin-upds es (bin-upd e b)])
    using Cons.IH[OF 0 1 2 3 - - 4 5] distinct-bin-upd Cons.prem(4,5,6) items-def
by (metis distinct.simps(2) list.simps(9))
  thus ?case
    by simp
qed simp

```

**lemma** *sound-mono-ptrs-Earley<sub>L</sub>-bin'*:

**assumes**  $(k, \mathcal{G}, \omega, bs) \in \text{wf-earley-input}$

**assumes** *sound-ptrs*  $\omega$  *bs*  $\forall x \in \text{bins } bs. \text{sound-item } \mathcal{G} \omega x$

**assumes** *mono-red-ptr* *bs*

**assumes** *nonempty-derives*  $\mathcal{G}$  *wf- $\mathcal{G}$*   $\mathcal{G}$

**shows** *sound-ptrs*  $\omega$   $(\text{Earley}_L\text{-bin}' k \mathcal{G} \omega bs i) \wedge \text{mono-red-ptr} (\text{Earley}_L\text{-bin}' k \mathcal{G} \omega bs i)$

**using** *assms*

**proof** (*induction* *i* *rule*: *Earley<sub>L</sub>-bin'-induct*[*OF* *assms*(1), *case-names* *Base Complete<sub>F</sub> Scan<sub>F</sub> Pass Predict<sub>F</sub>*])

**case**  $(\text{Complete}_F k \mathcal{G} \omega bs i x)$

**let**  $?bs' = \text{bins-upd } bs k (\text{Complete}_L k x bs i)$

**have**  $x: x \in \text{set } (\text{items } (bs ! k))$

**using** *Complete<sub>F</sub>.hyps*(1,2) **by** *force*

**hence**  $\forall x \in \text{set } (\text{items } (\text{Complete}_L k x bs i)). \text{sound-item } \mathcal{G} \omega x$

**using** *sound-Complete<sub>L</sub> Complete<sub>F</sub>.hyps*(3) *Complete<sub>F</sub>.prems* *wf-earley-input-elim* *wf-bins-impl-wf-items* *x*

**by** (*metis* *dual-order.refl*)

**hence** *sound*:  $\forall x \in \text{bins } ?bs'. \text{sound-item } \mathcal{G} \omega x$

**by** (*metis* *Complete<sub>F</sub>.prems*(1,3) *UnE* *bins-bins-upd* *wf-earley-input-elim*)

**have**  $0: k < \text{length } bs$

**using** *Complete<sub>F</sub>.prems*(1) *wf-earley-input-elim* **by** *auto*

**have**  $1: \forall e \in \text{set } (\text{Complete}_L k x bs i). \text{sound-null-ptr } e$

**unfolding** *Complete<sub>L</sub>-def* *sound-null-ptr-def* **by** *auto*

**have**  $2: \forall e \in \text{set } (\text{Complete}_L k x bs i). \text{sound-pre-ptr } \omega bs k e$

**unfolding** *Complete<sub>L</sub>-def* *sound-pre-ptr-def* **by** *auto*

{

**fix** *e*

**assume**  $a0: e \in \text{set } (\text{Complete}_L k x bs i)$

**fix** *p ps k' pre red*

**assume**  $a1: \text{pointer } e = \text{PreRed } p ps (k', \text{pre}, \text{red}) \in \text{set } (p \# ps)$

**have**  $k' = \text{item-origin } x$

**using**  $a0 a1$  **unfolding** *Complete<sub>L</sub>-def* **by** *auto*

**moreover** **have** *wf-item*  $\mathcal{G} \omega x$  *item-end*  $x = k$

**using** *Complete<sub>F</sub>.prems*(1) *x* *wf-earley-input-elim* *wf-bins-kth-bin* **by** *blast+*

**ultimately** **have**  $0: k' \leq k$

**using** *wf-item-def* **by** *blast*

**have**  $1: k' \neq k$

**proof** (*rule* *ccontr*)

**assume**  $\neg k' \neq k$

**have** *sound-item*  $\mathcal{G} \omega x$

**using** *Complete<sub>F</sub>.prems*(1,3) *x* *kth-bin-sub-bins* *wf-earley-input-elim* **by** (*metis* *subset-eq*)

**moreover** **have** *is-complete* *x*

**using** *Complete<sub>F</sub>.hyps*(3) **by** (*auto simp: next-symbol-def split: if-splits*)

**moreover** **have** *item-origin*  $x = k$

**using**  $\langle \neg k' \neq k \rangle \langle k' = \text{item-origin } x \rangle$  **by** *auto*

**ultimately** **show** *False*

**using** *impossible-complete-item*  $Complete_F.prem\text{s}(1,5)$  *wf-earley-input-elim*  
 $\langle \text{item-end } x = k \rangle \langle \text{wf-item } \mathcal{G} \ \omega \ x \rangle$  **by** *blast*  
**qed**  
**have** 2:  $pre < \text{length } (bs!k')$   
**using**  $a0 \ a1$  *index-filter-with-index-lt-length* **unfolding**  $Complete_L\text{-def}$  **by**  
*(auto simp: items-def; fastforce)*  
**have** 3:  $red < i+1$   
**using**  $a0 \ a1$  **unfolding**  $Complete_L\text{-def}$  **by** *auto*  
  
**have** *item*  $e = \text{inc-item } (\text{item } (bs!k'!pre)) \ k$   
**using**  $a0 \ a1 \ 0 \ 2$   $Complete_F.hyps(1,2,3)$   $Complete_F.prem\text{s}(1)$   $\langle k' = \text{item-origin}$   
 $x \rangle$  **unfolding**  $Complete_L\text{-def}$   
**by** *(auto simp: items-def, metis filter-with-index-nth nth-map)*  
**moreover** **have** *is-complete*  $(\text{item } (bs!k'!red))$   
**using**  $a0 \ a1 \ 0 \ 2$   $Complete_F.hyps(1,2,3)$   $Complete_F.prem\text{s}(1)$   $\langle k' = \text{item-origin}$   
 $x \rangle$  **unfolding**  $Complete_L\text{-def}$   
**by** *(auto simp: next-symbol-def items-def split: if-splits)*  
**moreover** **have** *item-origin*  $(\text{item } (bs!k'!red)) = \text{item-end } (\text{item } (bs!k'!pre))$   
**using**  $a0 \ a1 \ 0 \ 2$   $Complete_F.hyps(1,2,3)$   $Complete_F.prem\text{s}(1)$   $\langle k' = \text{item-origin}$   
 $x \rangle$  **unfolding**  $Complete_L\text{-def}$   
**apply** *(clarsimp simp: items-def)*  
**by** *(metis dual-order.strict-trans index-filter-with-index-lt-length items-def*  
*le-neq-implies-less nth-map nth-mem wf-bins-kth-bin wf-earley-input-elim)*  
**moreover** **have**  $(\exists N. \text{next-symbol } (\text{item } (bs ! k' ! pre)) = \text{Some } N \wedge N =$   
*item-rule-head } (\text{item } (bs ! k ! red)))*  
**using**  $a0 \ a1 \ 0 \ 2$   $Complete_F.hyps(1,2,3)$   $Complete_F.prem\text{s}(1)$   $\langle k' = \text{item-origin}$   
 $x \rangle$  **unfolding**  $Complete_L\text{-def}$   
**by** *(auto simp: items-def, metis (mono-tags, lifting) filter-with-index-P fil-*  
*ter-with-index-nth nth-map)*  
**ultimately** **have** 4: *completes*  $k$   $(\text{item } (bs!k'!pre))$   $(\text{item } e)$   $(\text{item } (bs!k!red))$   
**unfolding** *completes-def* **by** *blast*  
**have**  $k' < k$   $pre < \text{length } (bs!k')$   $red < i+1$  *completes*  $k$   $(\text{item } (bs!k'!pre))$   $(\text{item}$   
 $e)$   $(\text{item } (bs!k!red))$   
**using** 0 1 2 3 4 **by** *simp-all*  
**}**  
**hence**  $\forall e \in \text{set } (Complete_L \ k \ x \ bs \ i). \forall p \ ps \ k' \ pre \ red. \text{pointer } e = \text{PreRed } p \ ps$   
 $\wedge (k', pre, red) \in \text{set } (p\#\ps) \longrightarrow$   
 $k' < k \wedge pre < \text{length } (bs!k') \wedge red < i+1 \wedge \text{completes } k$   $(\text{item } (bs!k'!pre))$   
 $(\text{item } e)$   $(\text{item } (bs!k!red))$   
**by** *force*  
**hence** 3:  $\forall e \in \text{set } (Complete_L \ k \ x \ bs \ i). \text{sound-prered-ptr } bs \ k \ e$   
**unfolding** *sound-prered-ptr-def* **using**  $Complete_F.hyps(1)$  *items-def* **by** *(smt*  
*(verit) discrete dual-order.strict-trans1 leI length-map)*  
**have** 4: *distinct*  $(\text{items } (Complete_L \ k \ x \ bs \ i))$   
**using** *distinct-Complete\_L*  $x$   $Complete_F.prem\text{s}(1)$  *wf-earley-input-elim* *wf-bin-def*  
*wf-bin-items-def* *wf-bins-def* *wf-item-def*  
**by** *(metis order-le-less-trans)*  
**have** *sound-ptrs*  $\omega$   $?bs' \wedge \text{mono-red-ptr } ?bs'$   
**using** *sound-mono-ptrs-bin-upds*  $[OF \ Complete_F.prem\text{s}(2) \ Complete_F.prem\text{s}(4)]$



```

0] 1 2 3 4 sound-prered-ptr-def
  CompleteF.prems(1) bins-upd-def wf-earley-input-elim wf-bin-def wf-bins-def
  by (smt (verit, ccfv-SIG) list.set-intros(1))
  moreover have (k,  $\mathcal{G}$ ,  $\omega$ , ?bs')  $\in$  wf-earley-input
  using CompleteF.hyps CompleteF.prems(1) wf-earley-input-CompleteL by blast
  ultimately have sound-ptrs  $\omega$  (EarleyL-bin' k  $\mathcal{G}$   $\omega$  ?bs' (i+1))  $\wedge$  mono-red-ptr
(EarleyL-bin' k  $\mathcal{G}$   $\omega$  ?bs' (i+1))
  using CompleteF.IH CompleteF.prems(4-6) sound by blast
  thus ?case
  using CompleteF.hyps by simp
next
case (ScanF k  $\mathcal{G}$   $\omega$  bs i x a)
let ?bs' = bins-upd bs (k+1) (ScanL k  $\omega$  a x i)
have x  $\in$  set (items (bs ! k))
  using ScanF.hyps(1,2) by force
hence  $\forall x \in$  set (items (ScanL k  $\omega$  a x i)). sound-item  $\mathcal{G}$   $\omega$  x
  using sound-ScanL ScanF.hyps(3,5) ScanF.prems(1,2,3) wf-earley-input-elim
wf-bins-impl-wf-items wf-bins-impl-wf-items by fast
hence sound:  $\forall x \in$  bins ?bs'. sound-item  $\mathcal{G}$   $\omega$  x
  using ScanF.hyps(5) ScanF.prems(1,3) bins-bins-upd wf-earley-input-elim
  by (metis UnE add-less-cancel-right)
have 0: k+1 < length bs
  using ScanF.hyps(5) ScanF.prems(1) wf-earley-input-elim by force
have 1:  $\forall e \in$  set (ScanL k  $\omega$  a x i). sound-null-ptr e
  unfolding ScanL-def sound-null-ptr-def by auto
have 2:  $\forall e \in$  set (ScanL k  $\omega$  a x i). sound-pre-ptr  $\omega$  bs (k+1) e
  using ScanF.hyps(1,2,3) unfolding sound-pre-ptr-def ScanL-def scans-def
items-def by auto
have 3:  $\forall e \in$  set (ScanL k  $\omega$  a x i). sound-prered-ptr bs (k+1) e
  unfolding ScanL-def sound-prered-ptr-def by simp
have 4: distinct (items (ScanL k  $\omega$  a x i))
  using distinct-ScanL by fast
have sound-ptrs  $\omega$  ?bs'  $\wedge$  mono-red-ptr ?bs'
  using sound-mono-ptrs-bin-upds[OF ScanF.prems(2) ScanF.prems(4) 0] 0 1 2
3 4 sound-prered-ptr-def
  ScanF.prems(1) bins-upd-def wf-earley-input-elim wf-bin-def wf-bins-def
  by (smt (verit, ccfv-threshold) list.set-intros(1))
  moreover have (k,  $\mathcal{G}$ ,  $\omega$ , ?bs')  $\in$  wf-earley-input
  using ScanF.hyps ScanF.prems(1) wf-earley-input-ScanL by metis
  ultimately have sound-ptrs  $\omega$  (EarleyL-bin' k  $\mathcal{G}$   $\omega$  ?bs' (i+1))  $\wedge$  mono-red-ptr
(EarleyL-bin' k  $\mathcal{G}$   $\omega$  ?bs' (i+1))
  using ScanF.IH ScanF.prems(4-6) sound by blast
  thus ?case
  using ScanF.hyps by simp
next
case (PredictF k  $\mathcal{G}$   $\omega$  bs i x a)
let ?bs' = bins-upd bs k (PredictL k  $\mathcal{G}$  a)
have x  $\in$  set (items (bs ! k))
  using PredictF.hyps(1,2) by force

```

**hence**  $\forall x \in \text{set } (\text{items}(\text{Predict}_L k \mathcal{G} a)). \text{sound-item } \mathcal{G} \omega x$   
**using** *sound-Predict<sub>L</sub> Predict<sub>F</sub>.hyps(3) Predict<sub>F</sub>.prems wf-earley-input-elim wf-bins-impl-wf-items* **by fast**  
**hence** *sound:  $\forall x \in \text{bins } ?bs'. \text{sound-item } \mathcal{G} \omega x$*   
**using** *Predict<sub>F</sub>.prems(1,3) UnE bins-bins-upd wf-earley-input-elim* **by metis**  
**have** *0:  $k < \text{length } bs$*   
**using** *Predict<sub>F</sub>.prems(1) wf-earley-input-elim* **by force**  
**have** *1:  $\forall e \in \text{set } (\text{Predict}_L k \mathcal{G} a). \text{sound-null-ptr } e$*   
**unfolding** *sound-null-ptr-def Predict<sub>L</sub>-def predicts-def* **by** *(auto simp: init-item-def)*  
**have** *2:  $\forall e \in \text{set } (\text{Predict}_L k \mathcal{G} a). \text{sound-pre-ptr } \omega bs k e$*   
**unfolding** *sound-pre-ptr-def Predict<sub>L</sub>-def* **by simp**  
**have** *3:  $\forall e \in \text{set } (\text{Predict}_L k \mathcal{G} a). \text{sound-prered-ptr } bs k e$*   
**unfolding** *sound-prered-ptr-def Predict<sub>L</sub>-def* **by simp**  
**have** *4:  $\text{distinct } (\text{items } (\text{Predict}_L k \mathcal{G} a))$*   
**using** *Predict<sub>F</sub>.prems(6) distinct-Predict<sub>L</sub>* **by fast**  
**have** *sound-ptrs  $\omega ?bs' \wedge \text{mono-red-ptr } ?bs'$*   
**using** *sound-mono-ptrs-bin-upds[OF Predict<sub>F</sub>.prems(2) Predict<sub>F</sub>.prems(4) 0]*  
*0 1 2 3 4 sound-prered-ptr-def*  
*Predict<sub>F</sub>.prems(1) bins-upd-def wf-earley-input-elim wf-bin-def wf-bins-def*  
**by** *(smt (verit, ccfv-threshold) list.set-intros(1))*  
**moreover** *have  $(k, \mathcal{G}, \omega, ?bs') \in \text{wf-earley-input}$*   
**using** *Predict<sub>F</sub>.hyps Predict<sub>F</sub>.prems(1) wf-earley-input-Predict<sub>L</sub>* **by metis**  
**ultimately** *have sound-ptrs  $\omega (\text{Earley}_L\text{-bin}' k \mathcal{G} \omega ?bs' (i+1)) \wedge \text{mono-red-ptr}$*   
*(Earley<sub>L</sub>-bin' k  $\mathcal{G} \omega ?bs' (i+1)$ )*  
**using** *Predict<sub>F</sub>.IH Predict<sub>F</sub>.prems(4-6) sound* **by blast**  
**thus** *?case*  
**using** *Predict<sub>F</sub>.hyps* **by simp**  
**qed** *simp-all*

**lemma** *sound-mono-ptrs-Earley<sub>L</sub>-bin:*  
**assumes**  *$(k, \mathcal{G}, \omega, bs) \in \text{wf-earley-input}$*   
**assumes** *sound-ptrs  $\omega bs \forall x \in \text{bins } bs. \text{sound-item } \mathcal{G} \omega x$*   
**assumes** *mono-red-ptr  $bs$*   
**assumes** *nonempty-derives  $\mathcal{G} \text{wf-}\mathcal{G}$*   
**shows** *sound-ptrs  $\omega (\text{Earley}_L\text{-bin } k \mathcal{G} \omega bs) \wedge \text{mono-red-ptr } (\text{Earley}_L\text{-bin } k \mathcal{G} \omega$*   
*bs)*  
**using** *assms sound-mono-ptrs-Earley<sub>L</sub>-bin' Earley<sub>L</sub>-bin-def* **by metis**

**lemma** *sound-ptrs-Init<sub>L</sub>:*  
*sound-ptrs  $\omega (\text{Init}_L \mathcal{G} \omega)$*   
**unfolding** *sound-ptrs-def sound-null-ptr-def sound-pre-ptr-def sound-prered-ptr-def*  
*predicts-def scans-def completes-def Init<sub>L</sub>-def*  
**by** *(auto simp: init-item-def less-Suc-eq-0-disj)*

**lemma** *mono-red-ptr-Init<sub>L</sub>:*  
*mono-red-ptr  $(\text{Init}_L \mathcal{G} \omega)$*   
**unfolding** *mono-red-ptr-def Init<sub>L</sub>-def*  
**by** *(auto simp: init-item-def less-Suc-eq-0-disj)*

**lemma** *sound-mono-ptrs-Earley<sub>L</sub>-bins*:  
**assumes**  $k \leq \text{length } \omega$  *wf- $\mathcal{G}$   $\mathcal{G}$  nonempty-derives  $\mathcal{G}$  wf- $\mathcal{G}$   $\mathcal{G}$*   
**shows** *sound-ptrs  $\omega$  (Earley<sub>L</sub>-bins  $k$   $\mathcal{G}$   $\omega$ )  $\wedge$  mono-red-ptr (Earley<sub>L</sub>-bins  $k$   $\mathcal{G}$   $\omega$ )*  
**using** *assms*  
**proof** (*induction k*)  
**case** *0*  
**have**  $(0, \mathcal{G}, \omega, (\text{Init}_L \mathcal{G} \omega)) \in \text{wf-earley-input}$   
**using** *assms(2) wf-earley-input-Init<sub>L</sub> by blast*  
**moreover have**  $\forall x \in \text{bins } (\text{Init}_L \mathcal{G} \omega). \text{sound-item } \mathcal{G} \omega x$   
**by** (*metis Init<sub>L</sub>-eq-Init<sub>F</sub> Init<sub>F</sub>-sub-Earley sound-Earley subsetD wf-Earley*)  
**ultimately show** *?case*  
**using** *sound-mono-ptrs-Earley<sub>L</sub>-bin sound-ptrs-Init<sub>L</sub> mono-red-ptr-Init<sub>L</sub> 0.prem(2,3)*  
**by** *fastforce*  
**next**  
**case** (*Suc k*)  
**have**  $(\text{Suc } k, \mathcal{G}, \omega, \text{Earley}_L\text{-bins } k \mathcal{G} \omega) \in \text{wf-earley-input}$   
**by** (*simp add: Suc.prem(1) Suc-leD assms(2) wf-earley-input-intro*)  
**moreover have** *sound-ptrs  $\omega$  (Earley<sub>L</sub>-bins  $k$   $\mathcal{G}$   $\omega$ )*  
**using** *Suc by simp*  
**moreover have**  $\forall x \in \text{bins } (\text{Earley}_L\text{-bins } k \mathcal{G} \omega). \text{sound-item } \mathcal{G} \omega x$   
**by** (*meson Suc.prem(1) Suc-leD Earley<sub>L</sub>-bins-sub-Earley<sub>F</sub>-bins Earley<sub>F</sub>-bins-sub-Earley*  
*assms(2)*  
*sound-Earley subsetD wf-bins-Earley<sub>L</sub>-bins wf-bins-impl-wf-items*)  
**ultimately show** *?case*  
**using** *Suc.prem(1,3,4) sound-mono-ptrs-Earley<sub>L</sub>-bin Suc.IH by fastforce*  
**qed**

**lemma** *sound-mono-ptrs-Earley<sub>L</sub>*:  
**assumes** *wf- $\mathcal{G}$   $\mathcal{G}$  nonempty-derives  $\mathcal{G}$*   
**shows** *sound-ptrs  $\omega$  (Earley<sub>L</sub>  $\mathcal{G}$   $\omega$ )  $\wedge$  mono-red-ptr (Earley<sub>L</sub>  $\mathcal{G}$   $\omega$ )*  
**using** *assms sound-mono-ptrs-Earley<sub>L</sub>-bins Earley<sub>L</sub>-def by (metis dual-order.refl)*

## 9.2 Common Definitions

**datatype** *'a tree* =  
*Leaf 'a*  
| *Branch 'a 'a tree list*

**fun** *yield-tree* :: *'a tree*  $\Rightarrow$  *'a sentence* **where**  
*yield-tree (Leaf a) = [a]*  
| *yield-tree (Branch - ts) = concat (map yield-tree ts)*

**fun** *root-tree* :: *'a tree*  $\Rightarrow$  *'a* **where**  
*root-tree (Leaf a) = a*  
| *root-tree (Branch N -) = N*

**fun** *wf-rule-tree* :: *'a cfg*  $\Rightarrow$  *'a tree*  $\Rightarrow$  *bool* **where**  
*wf-rule-tree - (Leaf a)  $\longleftrightarrow$  True*  
| *wf-rule-tree  $\mathcal{G}$  (Branch N ts)  $\longleftrightarrow$  (*

$(\exists r \in \text{set } (\mathfrak{R} \mathcal{G}). N = \text{rule-head } r \wedge \text{map root-tree } ts = \text{rule-body } r) \wedge$   
 $(\forall t \in \text{set } ts. \text{wf-rule-tree } \mathcal{G} t)$

**fun** *wf-item-tree* :: 'a cfg  $\Rightarrow$  'a item  $\Rightarrow$  'a tree  $\Rightarrow$  bool **where**  
*wf-item-tree*  $\mathcal{G}$  - (Leaf a)  $\longleftrightarrow$  True  
| *wf-item-tree*  $\mathcal{G}$  x (Branch N ts)  $\longleftrightarrow$  (  
N = item-rule-head x  $\wedge$  map root-tree ts = take (item-dot x) (item-rule-body x)  
 $\wedge$   
 $(\forall t \in \text{set } ts. \text{wf-rule-tree } \mathcal{G} t)$ )

**definition** *wf- $\omega$ -yield-tree* :: 'a sentence  $\Rightarrow$  'a item  $\Rightarrow$  'a tree  $\Rightarrow$  bool **where**  
*wf- $\omega$ -yield-tree*  $\omega$  x t  $\longleftrightarrow$  yield-tree t = slice (item-origin x) (item-end x)  $\omega$

**datatype** 'a forest =  
FLeaf 'a  
| FBranch 'a 'a forest list list

**fun** *combinations* :: 'a list list  $\Rightarrow$  'a list list **where**  
*combinations* [] = [[]]  
| *combinations* (x#cs) = [ x#cs . x <- xs, cs <- combinations xss ]

**fun** *trees* :: 'a forest  $\Rightarrow$  'a tree list **where**  
*trees* (FLeaf a) = [Leaf a]  
| *trees* (FBranch N fss) = (  
let tss = (map ( $\lambda$ fs. concat (map ( $\lambda$ f. trees f) fs)) fss) in  
map ( $\lambda$ ts. Branch N ts) (combinations tss)  
)

**lemma** *list-comp-flatten*:  
 $[ f \text{ xs } . \text{xs} <- [ g \text{ xs } \text{ ys } . \text{xs} <- \text{as}, \text{ys} <- \text{bs} ] ] = [ f (g \text{ xs } \text{ ys}) . \text{xs} <- \text{as}, \text{ys} <- \text{bs} ]$   
**by** (*induction as*) *auto*

**lemma** *list-comp-flatten-Cons*:  
 $[ x\#\text{xs} . x <- \text{as}, \text{xs} <- [ \text{xs} @ \text{ys} . \text{xs} <- \text{bs}, \text{ys} <- \text{cs} ] ] = [ x\#\text{xs}@ys . x <- \text{as}, \text{xs} <- \text{bs}, \text{ys} <- \text{cs} ]$   
**by** (*induction as*) (*auto simp: list-comp-flatten*)

**lemma** *list-comp-flatten-append*:  
 $[ \text{xs}@ys . \text{xs} <- [ x\#\text{xs} . x <- \text{as}, \text{xs} <- \text{bs} ], \text{ys} <- \text{cs} ] = [ x\#\text{xs}@ys . x <- \text{as}, \text{xs} <- \text{bs}, \text{ys} <- \text{cs} ]$   
**by** (*induction as*) (*auto simp: o-def, meson append-Cons map-eq-conv*)

**lemma** *combinations-append*:  
*combinations* (xss @ yss) = [ xs @ ys . xs <- combinations xss, ys <- combinations yss ]  
**by** (*induction xss*) (*auto simp: list-comp-flatten-Cons list-comp-flatten-append map-idI*)

**lemma** *trees-append*:

```
trees (FBranch N (xss @ yss)) = (  
  let xtss = (map (λxs. concat (map (λf. trees f) xs)) xss) in  
  let ytss = (map (λys. concat (map (λf. trees f) ys)) yss) in  
  map (λts. Branch N ts) [ xs @ ys . xs <- combinations xtss, ys <- combinations  
ytss ] )  
using combinations-append by (metis map-append trees.simps(2))
```

**lemma** *trees-append-singleton*:

```
trees (FBranch N (xss @ [ys])) = (  
  let xtss = (map (λxs. concat (map (λf. trees f) xs)) xss) in  
  let ytss = [concat (map trees ys)] in  
  map (λts. Branch N ts) [ xs @ ys . xs <- combinations xtss, ys <- combinations  
ytss ] )  
by (subst trees-append, simp)
```

**lemma** *trees-append-single-singleton*:

```
trees (FBranch N (xss @ [[y]])) = (  
  let xtss = (map (λxs. concat (map (λf. trees f) xs)) xss) in  
  map (λts. Branch N ts) [ xs @ ys . xs <- combinations xtss, ys <- [ [t] . t  
<- trees y ] ] )  
by (subst trees-append-singleton, auto)
```

### 9.3 foldl lemmas

**lemma** *foldl-add-nth*:

```
k < length xs ⇒ foldl (+) z (map length (take k xs)) + length (xs!k) = foldl  
(+) z (map length (take (k+1) xs))
```

**proof** (*induction xs arbitrary: k z*)

**case** (*Cons x xs*)

**then show** ?*case*

**proof** (*cases k = 0*)

**case** *False*

**thus** ?*thesis*

**using** *Cons* by (*auto simp add: take-Cons'*)

**qed** *simp*

**qed** *simp*

**lemma** *foldl-acc-mono*:

```
a ≤ b ⇒ foldl (+) a xs ≤ foldl (+) b xs for a :: nat
```

**by** (*induction xs arbitrary: a b*) *auto*

**lemma** *foldl-ge-z-nth*:

```
j < length xs ⇒ z + length (xs!j) ≤ foldl (+) z (map length (take (j+1) xs))
```

**proof** (*induction xs arbitrary: j z*)

**case** (*Cons x xs*)

**show** ?*case*

**proof** (*cases j = 0*)

**case** *False*

```

have z + length ((x # xs) ! j) = z + length (xs!(j-1))
  using False by simp
also have ... ≤ foldl (+) z (map length (take (j-1+1) xs))
  using Cons False by (metis add-diff-inverse-nat length-Cons less-one nat-add-left-cancel-less
plus-1-eq-Suc)
also have ... = foldl (+) z (map length (take j xs))
  using False by simp
also have ... ≤ foldl (+) (z + length x) (map length (take j xs))
  using foldl-acc-mono by force
also have ... = foldl (+) z (map length (take (j+1) (x#xs)))
  by simp
finally show ?thesis
  by blast
qed simp
qed simp

```

lemma foldl-add-nth-ge:

```

i ≤ j ⇒ j < length xs ⇒ foldl (+) z (map length (take i xs)) + length (xs!j)
≤ foldl (+) z (map length (take (j+1) xs))
proof (induction xs arbitrary: i j z)
  case (Cons x xs)
  show ?case
  proof (cases i = 0)
    case True
    have foldl (+) z (map length (take i (x # xs))) + length ((x # xs) ! j) = z +
length ((x # xs) ! j)
      using True by simp
    also have ... ≤ foldl (+) z (map length (take (j+1) (x#xs)))
      using foldl-ge-z-nth Cons.prem(2) by blast
    finally show ?thesis
      by blast
  next
  case False
  have i-1 ≤ j-1
    by (simp add: Cons.prem(1) diff-le-mono)
  have j-1 < length xs
    using Cons.prem(1,2) False by fastforce
  have foldl (+) z (map length (take i (x # xs))) + length ((x # xs) ! j) =
foldl (+) (z + length x) (map length (take (i-1) xs)) + length ((x#xs)!j)
    using False by (simp add: take-Cons')
  also have ... = foldl (+) (z + length x) (map length (take (i-1) xs)) + length
(xs!(j-1))
    using Cons.prem(1) False by auto
  also have ... ≤ foldl (+) (z + length x) (map length (take (j-1+1) xs))
    using Cons.IH ⟨i - 1 ≤ j - 1⟩ ⟨j - 1 < length xs⟩ by blast
  also have ... = foldl (+) (z + length x) (map length (take j xs))
    using Cons.prem(1) False by fastforce
  also have ... = foldl (+) z (map length (take (j+1) (x#xs)))
    by fastforce

```

```

finally show ?thesis
  by blast
qed
qed simp

```

```

lemma foldl-ge-acc:
  foldl (+) z (map length xs) ≥ z
  by (induction xs arbitrary: z) (auto elim: add-leE)

```

```

lemma foldl-take-mono:
  i ≤ j ⇒ foldl (+) z (map length (take i xs)) ≤ foldl (+) z (map length (take j
  xs))
proof (induction xs arbitrary: z i j)
  case (Cons x xs)
  show ?case
  proof (cases i = 0)
  case True
  have foldl (+) z (map length (take i (x # xs))) = z
  using True by simp
  also have ... ≤ foldl (+) z (map length (take j (x # xs)))
  by (simp add: foldl-ge-acc)
  ultimately show ?thesis
  by simp
  next
  case False
  then show ?thesis
  using Cons by (simp add: take-Cons')
qed
qed simp

```

## 9.4 Parse tree

```

partial-function (option) build-tree' :: 'a bins ⇒ 'a sentence ⇒ nat ⇒ nat ⇒ 'a
tree option where
  build-tree' bs ω k i = (
    let e = bs!k!i in (
      case pointer e of
      | Null ⇒ Some (Branch (item-rule-head (item e)) []) — start building sub-tree
      | Pre pre ⇒ ( — add sub-tree starting from terminal
        do {
          t ← build-tree' bs ω (k-1) pre;
          case t of
          | Branch N ts ⇒ Some (Branch N (ts @ [Leaf (ω!(k-1))]))
          | - ⇒ undefined — impossible case
        })
      | PreRed (k', pre, red) - ⇒ ( — add sub-tree starting from non-terminal
        do {
          t ← build-tree' bs ω k' pre;
          case t of

```

```

      Branch N ts =>
      do {
        t ← build-tree' bs ω k red;
        Some (Branch N (ts @ [t]))
      }
    | - => undefined — impossible case
  })
))

```

**declare** *build-tree'.simps* [code]

**definition** *build-tree* :: 'a cfg => 'a sentence => 'a bins => 'a tree option **where**  
*build-tree*  $\mathcal{G}$   $\omega$  *bs* = (  
 let *k* = length *bs* - 1 in (  
 case filter-with-index ( $\lambda x.$  is-finished  $\mathcal{G}$   $\omega$  *x*) (items (*bs*!*k*)) of  
 [] => None  
 | (-, *i*)#- => build-tree' *bs*  $\omega$  *k* *i*  
 ))

**lemma** *build-tree'-simps*[simp]:

```

  e = bs!k!i => pointer e = Null => build-tree' bs  $\omega$  k i = Some (Branch
(item-rule-head (item e)) [])
  e = bs!k!i => pointer e = Pre pre => build-tree' bs  $\omega$  (k-1) pre = None =>
  build-tree' bs  $\omega$  k i = None
  e = bs!k!i => pointer e = Pre pre => build-tree' bs  $\omega$  (k-1) pre = Some (Branch
N ts) =>
  build-tree' bs  $\omega$  k i = Some (Branch N (ts @ [Leaf ( $\omega$ !(k-1))]))
  e = bs!k!i => pointer e = Pre pre => build-tree' bs  $\omega$  (k-1) pre = Some (Leaf
a) =>
  build-tree' bs  $\omega$  k i = undefined
  e = bs!k!i => pointer e = PreRed (k', pre, red) reds => build-tree' bs  $\omega$  k' pre
= None =>
  build-tree' bs  $\omega$  k i = None
  e = bs!k!i => pointer e = PreRed (k', pre, red) reds => build-tree' bs  $\omega$  k' pre
= Some (Branch N ts) =>
  build-tree' bs  $\omega$  k red = None => build-tree' bs  $\omega$  k i = None
  e = bs!k!i => pointer e = PreRed (k', pre, red) reds => build-tree' bs  $\omega$  k' pre
= Some (Leaf a) =>
  build-tree' bs  $\omega$  k i = undefined
  e = bs!k!i => pointer e = PreRed (k', pre, red) reds => build-tree' bs  $\omega$  k' pre
= Some (Branch N ts) =>
  build-tree' bs  $\omega$  k red = Some t =>
  build-tree' bs  $\omega$  k i = Some (Branch N (ts @ [t]))
by (subst build-tree'.simps, simp)+

```

**definition** *wf-tree-input* :: ('a bins × 'a sentence × nat × nat) set **where**

```

wf-tree-input = {
  (bs,  $\omega$ , k, i) | bs  $\omega$  k i.
  sound-ptrs  $\omega$  bs ∧

```



```

    mono-red-ptr bs  $\wedge$ 
    k < length bs  $\wedge$ 
    i < length (bs!k)
  }

```

**fun** *build-tree'-measure* :: ('a bins  $\times$  'a sentence  $\times$  nat  $\times$  nat)  $\Rightarrow$  nat **where**  
*build-tree'-measure* (bs,  $\omega$ , k, i) = foldl (+) 0 (map length (take k bs)) + i

**lemma** *wf-tree-input-pre*:

```

assumes (bs,  $\omega$ , k, i)  $\in$  wf-tree-input
assumes e = bs!k!i pointer e = Pre pre
shows (bs,  $\omega$ , (k-1), pre)  $\in$  wf-tree-input
using assms unfolding wf-tree-input-def
using less-imp-diff-less nth-mem by (fastforce simp: sound-ptrs-def sound-pre-ptr-def)

```

**lemma** *wf-tree-input-prered-pre*:

```

assumes (bs,  $\omega$ , k, i)  $\in$  wf-tree-input
assumes e = bs!k!i pointer e = PreRed (k', pre, red) ps
shows (bs,  $\omega$ , k', pre)  $\in$  wf-tree-input
using assms unfolding wf-tree-input-def
apply (auto simp: sound-ptrs-def sound-prered-ptr-def)
apply metis+
apply (metis dual-order.strict-trans nth-mem)
by (metis nth-mem)

```

**lemma** *wf-tree-input-prered-red*:

```

assumes (bs,  $\omega$ , k, i)  $\in$  wf-tree-input
assumes e = bs!k!i pointer e = PreRed (k', pre, red) ps
shows (bs,  $\omega$ , k, red)  $\in$  wf-tree-input
using assms unfolding wf-tree-input-def
apply (auto simp add: sound-ptrs-def sound-prered-ptr-def)
apply (metis nth-mem)+
done

```

**lemma** *build-tree'-induct*:

```

assumes (bs,  $\omega$ , k, i)  $\in$  wf-tree-input
assumes  $\bigwedge$  bs  $\omega$  k i.
  ( $\bigwedge$  e pre. e = bs!k!i  $\implies$  pointer e = Pre pre  $\implies$  P bs  $\omega$  (k-1) pre)  $\implies$ 
  ( $\bigwedge$  e k' pre red ps. e = bs!k!i  $\implies$  pointer e = PreRed (k', pre, red) ps  $\implies$  P bs
 $\omega$  k' pre)  $\implies$ 
  ( $\bigwedge$  e k' pre red ps. e = bs!k!i  $\implies$  pointer e = PreRed (k', pre, red) ps  $\implies$  P bs
 $\omega$  k red)  $\implies$ 
  P bs  $\omega$  k i

```

**shows** P bs  $\omega$  k i

**using** *assms*(1)

**proof** (*induction n  $\equiv$  build-tree'-measure (bs,  $\omega$ , k, i) arbitrary: k i rule: nat-less-induct*)

**case** 1

**obtain** e **where** *entry*: e = bs!k!i

**by** *simp*

```

consider (Null) pointer e = Null
  | (Pre)  $\exists$  pre. pointer e = Pre pre
  | (PreRed)  $\exists$  k' pre red reds. pointer e = PreRed (k', pre, red) reds
  by (metis pointer.exhaust surj-pair)
thus ?case
proof cases
  case Null
  thus ?thesis
  using assms(2) entry by fastforce
next
  case Pre
  then obtain pre where pre: pointer e = Pre pre
  by blast
  define n where n: n = build-tree'-measure (bs,  $\omega$ , (k-1), pre)
  have 0 < k pre < length (bs!(k-1))
  using 1(2) entry pre unfolding wf-tree-input-def sound-ptrs-def sound-pre-ptr-def
  by (smt (verit) mem-Collect-eq nth-mem prod.inject)+
  have k < length bs
  using 1(2) unfolding wf-tree-input-def by blast+
  have foldl (+) 0 (map length (take k bs)) + i - (foldl (+) 0 (map length (take
(k-1) bs)) + pre) =
    foldl (+) 0 (map length (take (k-1) bs)) + length (bs!(k-1)) + i - (foldl
(+) 0 (map length (take (k-1) bs)) + pre)
  using foldl-add-nth[of  $\langle$ k-1 $\rangle$  bs 0] by (simp add:  $\langle$ 0 < k $\rangle$   $\langle$ k < length bs $\rangle$ 
less-imp-diff-less)
  also have ... = length (bs!(k-1)) + i - pre
  by simp
  also have ... > 0
  using  $\langle$ pre < length (bs!(k-1)) $\rangle$  by auto
  finally have build-tree'-measure (bs,  $\omega$ , k, i) - build-tree'-measure (bs,  $\omega$ ,
(k-1), pre) > 0
  by simp
  hence P bs  $\omega$  (k-1) pre
  using 1 n wf-tree-input-pre entry pre zero-less-diff by blast
  thus ?thesis
  using assms(2) entry pre pointer.distinct(5) pointer.inject(1) by presburger
next
  case PreRed
  then obtain k' pre red ps where prerred: pointer e = PreRed (k', pre, red) ps
  by blast
  have k' < k pre < length (bs!k')
  using 1(2) entry prerred unfolding wf-tree-input-def sound-ptrs-def sound-prered-ptr-def
  apply simp-all
  apply (metis nth-mem)+
  done
  have red < i
  using 1(2) entry prerred unfolding wf-tree-input-def mono-red-ptr-def by
blast
  have k < length bs i < length (bs!k)

```

```

    using 1(2) unfolding wf-tree-input-def by blast+
    define n-pre where n-pre: n-pre = build-tree'-measure (bs, ω, k', pre)
    have 0 < length (bs!k') + i - pre
      by (simp add: ⟨pre < length (bs!k')⟩ add commute trans-less-add2)
    also have ... = foldl (+) 0 (map length (take k' bs)) + length (bs!k') + i -
      (foldl (+) 0 (map length (take k' bs)) + pre)
      by simp
    also have ... ≤ foldl (+) 0 (map length (take (k'+1) bs)) + i - (foldl (+) 0
      (map length (take k' bs)) + pre)
      using foldl-add-nth-ge[of k' k' bs 0] ⟨k < length bs⟩ ⟨k' < k⟩ by simp
    also have ... ≤ foldl (+) 0 (map length (take k bs)) + i - (foldl (+) 0 (map
      length (take k' bs)) + pre)
      using foldl-take-mono by (metis Suc-eq-plus1 Suc-leI ⟨k' < k⟩ add commute
      add-le-cancel-left diff-le-mono)
    finally have build-tree'-measure (bs, ω, k, i) - build-tree'-measure (bs, ω, k',
      pre) > 0
      by simp
    hence x: P bs ω k' pre
      using 1(1) zero-less-diff by (metis 1.prem1 entry prered wf-tree-input-prered-pre)
    define n-red where n-red: n-red = build-tree'-measure (bs, ω, k, red)
    have build-tree'-measure (bs, ω, k, i) - build-tree'-measure (bs, ω, k, red) > 0
      using ⟨red < i⟩ by simp
    hence y: P bs ω k red
      using 1.hyps 1.prem1 entry prered wf-tree-input-prered-red zero-less-diff by
      blast
    show ?thesis
      using assms(2) x y entry prered
      by (smt (verit, best) Pair-inject filter-cong pointer.distinct(5) pointer.inject(2))
    qed
  qed

```

**lemma** *build-tree'-termination*:

```

  assumes (bs, ω, k, i) ∈ wf-tree-input
  shows ∃ N ts. build-tree' bs ω k i = Some (Branch N ts)
  proof -
    have ∃ N ts. build-tree' bs ω k i = Some (Branch N ts)
      apply (induction rule: build-tree'-induct[OF assms(1)])
      subgoal premises IH for bs ω k i
      proof -
        define e where entry: e = bs!k!i
        consider (Null) pointer e = Null
        | (Pre) ∃ pre. pointer e = Pre pre
        | (PreRed) ∃ k' pre red ps. pointer e = PreRed (k', pre, red) ps
        by (metis pointer.exhaust surj-pair)
      thus ?thesis
    proof cases
      case Null
      thus ?thesis
        using build-tree'-simps(1) entry by simp
    end
  end

```

```

next
  case Pre
  then obtain pre where pre: pointer e = Pre pre
    by blast
  obtain N ts where Nts: build-tree' bs  $\omega$  (k-1) pre = Some (Branch N ts)
    using IH(1) entry pre by blast
  have build-tree' bs  $\omega$  k i = Some (Branch N (ts @ [Leaf ( $\omega$ !(k-1))]))
    using build-tree'-simps(3) entry pre Nts by simp
  thus ?thesis
    by simp
next
  case PreRed
  then obtain k' pre red ps where prered: pointer e = PreRed (k', pre, red)
    by blast
  then obtain N ts where Nts: build-tree' bs  $\omega$  k' pre = Some (Branch N ts)
    using IH(2) entry prered by blast
  obtain t-red where t-red: build-tree' bs  $\omega$  k red = Some t-red
    using IH(3) entry prered Nts by (metis option.exhaust)
  have build-tree' bs  $\omega$  k i = Some (Branch N (ts @ [t-red]))
    using build-tree'-simps(8) entry prered Nts t-red by auto
  thus ?thesis
    by blast
qed
done
thus ?thesis
  by blast
qed

lemma wf-item-tree-build-tree':
  assumes (bs,  $\omega$ , k, i)  $\in$  wf-tree-input
  assumes wf-bins  $\mathcal{G}$   $\omega$  bs
  assumes k < length bs i < length (bs!k)
  assumes build-tree' bs  $\omega$  k i = Some t
  shows wf-item-tree  $\mathcal{G}$  (item (bs!k!i)) t
proof -
  have wf-item-tree  $\mathcal{G}$  (item (bs!k!i)) t
    using assms
  apply (induction arbitrary: t rule: build-tree'-induct[OF assms(1)])
  subgoal premises prems for bs  $\omega$  k i t
  proof -
    define e where entry: e = bs!k!i
    consider (Null) pointer e = Null
    | (Pre)  $\exists$  pre. pointer e = Pre pre
    | (PreRed)  $\exists$  k' pre red ps. pointer e = PreRed (k', pre, red) ps
    by (metis pointer.exhaust surj-pair)
  thus ?thesis
  proof cases

```

```

case Null
hence build-tree' bs ω k i = Some (Branch (item-rule-head (item e)) [])
  using entry by simp
have simp: t = Branch (item-rule-head (item e)) []
  using build-tree'-simps(1) Null prems(8) entry by simp
have sound-ptrs ω bs
  using prems(4) unfolding wf-tree-input-def by blast
hence predicts (item e)
using Null prems(6,7) nth-mem entry unfolding sound-ptrs-def sound-null-ptr-def
by blast
  hence item-dot (item e) = 0
  unfolding predicts-def by blast
  thus ?thesis
  using simp entry by simp
next
case Pre
then obtain pre where pre: pointer e = Pre pre
  by blast
obtain N ts where Nts: build-tree' bs ω (k-1) pre = Some (Branch N ts)
  using build-tree'-termination entry pre prems(4) wf-tree-input-pre by blast
have simp: build-tree' bs ω k i = Some (Branch N (ts @ [Leaf (ω!(k-1))]))
  using build-tree'-simps(3) entry pre Nts by simp
have sound-ptrs ω bs
  using prems(4) unfolding wf-tree-input-def by blast
hence pre < length (bs!(k-1))
  using entry pre prems(6,7) unfolding sound-ptrs-def sound-pre-ptr-def
by (metis nth-mem)
  moreover have k-1 < length bs
  by (simp add: prems(6) less-imp-diff-less)
  ultimately have IH: wf-item-tree G (item (bs!(k-1)!pre)) (Branch N ts)
  using prems(1,2,4,5) entry pre Nts by (meson wf-tree-input-pre)
have scans: scans ω k (item (bs!(k-1)!pre)) (item e)
  using entry pre prems(6-7) ⟨sound-ptrs ω bs⟩ unfolding sound-ptrs-def
sound-pre-ptr-def by simp
  hence *:
    item-rule-head (item (bs!(k-1)!pre)) = item-rule-head (item e)
    item-rule-body (item (bs!(k-1)!pre)) = item-rule-body (item e)
    item-dot (item (bs!(k-1)!pre)) + 1 = item-dot (item e)
    next-symbol (item (bs!(k-1)!pre)) = Some (ω!(k-1))
  unfolding scans-def inc-item-def by (simp-all add: item-rule-head-def
item-rule-body-def)
  have map root-tree (ts @ [Leaf (ω!(k-1)]) = map root-tree ts @ [ω!(k-1)]
  by simp
  also have ... = take (item-dot (item (bs!(k-1)!pre))) (item-rule-body (item
(bs!(k-1)!pre))) @ [ω!(k-1)]
  using IH by simp
  also have ... = take (item-dot (item (bs!(k-1)!pre))) (item-rule-body (item
e)) @ [ω!(k-1)]
  using *(2) by simp

```

**also have** ... = take (item-dot (item e)) (item-rule-body (item e))  
**using** \*(2-4) **by** (auto simp: next-symbol-def is-complete-def split: if-splits;  
metis leI take-Suc-conv-app-nth)  
**finally have** map root-tree (ts @ [Leaf ( $\omega!(k-1)$ )]) = take (item-dot (item  
e)) (item-rule-body (item e)) .  
**hence** wf-item-tree  $\mathcal{G}$  (item e) (Branch N (ts @ [Leaf ( $\omega!(k-1)$ )]))  
**using** IH \*(1) **by** simp  
**thus** ?thesis  
**using** entry prems(8) simp **by** auto  
**next**  
**case** PreRed  
**then obtain** k' pre red ps **where** prered: pointer e = PreRed (k', pre, red)  
ps  
**by** blast  
**obtain** N ts **where** Nts: build-tree' bs  $\omega$  k' pre = Some (Branch N ts)  
**using** build-tree'-termination entry prems(4) prered wf-tree-input-prered-pre  
**by** blast  
**obtain** N-red ts-red **where** Nts-red: build-tree' bs  $\omega$  k red = Some (Branch  
N-red ts-red)  
**using** build-tree'-termination entry prems(4) prered wf-tree-input-prered-red  
**by** blast  
**have** simp: build-tree' bs  $\omega$  k i = Some (Branch N (ts @ [Branch N-red  
ts-red]))  
**using** build-tree'-simps(8) entry prered Nts Nts-red **by** auto  
**have** sound-ptrs  $\omega$  bs  
**using** prems(4) wf-tree-input-def **by** fastforce  
**have** bounds: k' < k pre < length (bs!k') red < length (bs!k)  
**using** prered entry prems(6,7) <sound-ptrs  $\omega$  bs>  
**unfolding** sound-prered-ptr-def sound-ptrs-def **by** fastforce+  
**have** completes: completes k (item (bs!k'!pre)) (item e) (item (bs!k!red))  
**using** prered entry prems(6,7) <sound-ptrs  $\omega$  bs>  
**unfolding** sound-ptrs-def sound-prered-ptr-def **by** fastforce  
**have** \*:  
item-rule-head (item (bs!k'!pre)) = item-rule-head (item e)  
item-rule-body (item (bs!k'!pre)) = item-rule-body (item e)  
item-dot (item (bs!k'!pre)) + 1 = item-dot (item e)  
next-symbol (item (bs!k'!pre)) = Some (item-rule-head (item (bs!k!red)))  
is-complete (item (bs!k!red))  
**using** completes **unfolding** completes-def inc-item-def  
**by** (auto simp: item-rule-head-def item-rule-body-def is-complete-def)  
**have** (bs,  $\omega$ , k', pre)  $\in$  wf-tree-input  
**using** wf-tree-input-prered-pre[OF prems(4) entry prered] **by** blast  
**hence** IH-pre: wf-item-tree  $\mathcal{G}$  (item (bs!k'!pre)) (Branch N ts)  
**using** prems(2)[OF entry prered - prems(5)] Nts bounds(1,2) order-less-trans  
prems(6) **by** blast  
**have** (bs,  $\omega$ , k, red)  $\in$  wf-tree-input  
**using** wf-tree-input-prered-red[OF prems(4) entry prered] **by** blast  
**hence** IH-r: wf-item-tree  $\mathcal{G}$  (item (bs!k!red)) (Branch N-red ts-red)  
**using** bounds(3) entry prems(3,5,6) prered Nts-red **by** blast

**have**  $\text{map root-tree } (ts \text{ @ } [\text{Branch } N\text{-red } ts\text{-red}]) = \text{map root-tree } ts \text{ @ } [\text{root-tree } (\text{Branch } N\text{-red } ts)]$   
**by** *simp*  
**also have**  $\dots = \text{take } (\text{item-dot } (\text{item } (bs!k!pre))) (\text{item-rule-body } (\text{item } (bs!k!pre))) \text{ @ } [\text{root-tree } (\text{Branch } N\text{-red } ts)]$   
**using** *IH-pre* **by** *simp*  
**also have**  $\dots = \text{take } (\text{item-dot } (\text{item } (bs!k!pre))) (\text{item-rule-body } (\text{item } (bs!k!pre))) \text{ @ } [\text{item-rule-head } (\text{item } (bs!k!red))]$   
**using** *IH-r* **by** *simp*  
**also have**  $\dots = \text{take } (\text{item-dot } (\text{item } e)) (\text{item-rule-body } (\text{item } e))$   
**using** \* **by** (*auto simp: next-symbol-def is-complete-def split: if-splits; metis leI take-Suc-conv-app-nth*)  
**finally have**  $\text{roots: map root-tree } (ts \text{ @ } [\text{Branch } N\text{-red } ts]) = \text{take } (\text{item-dot } (\text{item } e)) (\text{item-rule-body } (\text{item } e))$  **by** *simp*  
**have**  $\text{wf-item } \mathcal{G} \ \omega \ (\text{item } (bs!k!red))$   
**using** *prems(5,6) bounds(3) unfolding wf-bins-def wf-bin-def wf-bin-items-def*  
**by** (*auto simp: items-def*)  
**moreover have**  $N\text{-red} = \text{item-rule-head } (\text{item } (bs!k!red))$   
**using** *IH-r* **by** *fastforce*  
**moreover have**  $\text{map root-tree } ts\text{-red} = \text{item-rule-body } (\text{item } (bs!k!red))$   
**using** *IH-r* \*(5) **by** (*auto simp: is-complete-def*)  
**ultimately have**  $\exists r \in \text{set } (\mathfrak{R} \ \mathcal{G}). N\text{-red} = \text{rule-head } r \wedge \text{map root-tree } ts\text{-red} = \text{rule-body } r$   
**unfolding** *wf-item-def item-rule-body-def item-rule-head-def* **by** *blast*  
**hence**  $\text{wf-rule-tree } \mathcal{G} \ (\text{Branch } N\text{-red } ts\text{-red})$   
**using** *IH-r* **by** *simp*  
**hence**  $\text{wf-item-tree } \mathcal{G} \ (\text{item } (bs!k!i)) \ (\text{Branch } N \ (ts \text{ @ } [\text{Branch } N\text{-red } ts\text{-red}]))$   
**using** \*(1) *roots IH-pre entry* **by** *simp*  
**thus** *?thesis*  
**using** *Nts-red prems(8) simp* **by** *auto*  
**qed**  
**qed**  
**done**  
**thus** *?thesis*  
**using** *assms(2)* **by** *blast*  
**qed**

**lemma** *wf- $\text{yield-tree-build-tree}'$ :*  
**assumes**  $(bs, \omega, k, i) \in \text{wf-tree-input}$   
**assumes**  $\text{wf-bins } \mathcal{G} \ \omega \ bs$   
**assumes**  $k < \text{length } bs \ i < \text{length } (bs!k) \ k \leq \text{length } \omega$   
**assumes**  $\text{build-tree}' \ bs \ \omega \ k \ i = \text{Some } t$   
**shows**  $\text{wf- $\text{yield-tree}$ ' } \ \omega \ (\text{item } (bs!k!i)) \ t$   
**proof** –  
**have**  $\text{wf- $\text{yield-tree}$ ' } \ \omega \ (\text{item } (bs!k!i)) \ t$   
**using** *assms*  
**apply** (*induction arbitrary: t rule: build-tree'-induct[OF assms(1)]*)  
**subgoal premises** *prems* **for**  $bs \ \omega \ k \ i \ t$   
**proof** –

```

define e where entry: e = bs!k!i
consider (Null) pointer e = Null
  | (Pre)  $\exists$  pre. pointer e = Pre pre
  | (PreRed)  $\exists$  k' pre red reds. pointer e = PreRed (k', pre, red) reds
  by (metis pointer.exhaust surj-pair)
thus ?thesis
proof cases
  case Null
    hence build-tree' bs  $\omega$  k i = Some (Branch (item-rule-head (item e)) [])
      using entry by simp
    have simp: t = Branch (item-rule-head (item e)) []
      using build-tree'-simps(1) Null prems(9) entry by simp
    have sound-ptrs  $\omega$  bs
      using prems(4) unfolding wf-tree-input-def by blast
    hence predicts (item e)
    using Null prems(6,7) nth-mem entry unfolding sound-ptrs-def sound-null-ptr-def
by blast
    thus ?thesis
      unfolding wf-yield-tree-def predicts-def using simp entry by (auto simp: slice-empty)
  next
    case Pre
    then obtain pre where pre: pointer e = Pre pre
      by blast
    obtain N ts where Nts: build-tree' bs  $\omega$  (k-1) pre = Some (Branch N ts)
      using build-tree'-termination entry pre prems(4) wf-tree-input-pre by blast
    have simp: build-tree' bs  $\omega$  k i = Some (Branch N (ts @ [Leaf ( $\omega!(k-1)$ )]))
      using build-tree'-simps(3) entry pre Nts by simp
    have sound-ptrs  $\omega$  bs
      using prems(4) unfolding wf-tree-input-def by blast
    hence bounds: k > 0 pre < length (bs!(k-1))
      using entry pre prems(6,7) unfolding sound-ptrs-def sound-pre-ptr-def
by (metis nth-mem)+
    moreover have k-1 < length bs
      by (simp add: prems(6) less-imp-diff-less)
    ultimately have IH: wf-yield-tree  $\omega$  (item (bs!(k-1)!pre)) (Branch N ts)
      using prems(1) entry pre Nts wf-tree-input-pre prems(4,5,8) by fastforce
    have scans: scans  $\omega$  k (item (bs!(k-1)!pre)) (item e)
      using entry pre prems(6-7)  $\langle$ sound-ptrs  $\omega$  bs $\rangle$  unfolding sound-ptrs-def sound-pre-ptr-def by simp
    have wf:
      item-origin (item (bs!(k-1)!pre))  $\leq$  item-end (item (bs!(k-1)!pre))
      item-end (item (bs!(k-1)!pre)) = k-1
      item-end (item e) = k
      using entry prems(5,6,7) bounds unfolding wf-bins-def wf-bin-def wf-bin-items-def items-def wf-item-def
      by (auto, meson less-imp-diff-less nth-mem)
    have yield-tree (Branch N (ts @ [Leaf ( $\omega!(k-1)$ )])) = concat (map yield-tree (ts @ [Leaf ( $\omega!(k-1)$ )]))

```



**by simp**  
**also have** ... = *concat (map yield-tree ts) @ [ω!(k-1)]*  
**by simp**  
**also have** ... = *slice (item-origin (item (bs!(k-1)!pre))) (item-end (item (bs!(k-1)!pre))) ω @ [ω!(k-1)]*  
**using IH by (simp add: wf-yield-tree-def)**  
**also have** ... = *slice (item-origin (item (bs!(k-1)!pre))) (item-end (item (bs!(k-1)!pre))) + 1) ω*  
**using slice-append-nth wf ⟨k > 0⟩ prems(8)**  
**by (metis diff-less le-eq-less-or-eq less-imp-diff-less less-numeral-extra(1))**  
**also have** ... = *slice (item-origin (item e)) (item-end (item (bs!(k-1)!pre))) + 1) ω*  
**using scans unfolding scans-def inc-item-def by simp**  
**also have** ... = *slice (item-origin (item e)) k ω*  
**using scans wf unfolding scans-def by (metis Suc-diff-1 Suc-eq-plus1 bounds(1))**  
**also have** ... = *slice (item-origin (item e)) (item-end (item e)) ω*  
**using wf by auto**  
**finally show ?thesis**  
**using wf-yield-tree-def entry prems(9) simp by force**  
**next**  
**case PreRed**  
**then obtain k' pre red ps where prered: pointer e = PreRed (k', pre, red)**  
*ps*  
**by blast**  
**obtain N ts where Nts: build-tree' bs ω k' pre = Some (Branch N ts)**  
**using build-tree'-termination entry prems(4) prered wf-tree-input-prered-pre**  
**by blast**  
**obtain N-red ts-red where Nts-red: build-tree' bs ω k red = Some (Branch N-red ts-red)**  
**using build-tree'-termination entry prems(4) prered wf-tree-input-prered-red**  
**by blast**  
**have simp: build-tree' bs ω k i = Some (Branch N (ts @ [Branch N-red ts-red]))**  
**using build-tree'-simps(8) entry prered Nts Nts-red by auto**  
**have sound-ptrs ω bs**  
**using prems(4) wf-tree-input-def by fastforce**  
**have bounds: k' < k pre < length (bs!k') red < length (bs!k)**  
**using prered entry prems(6,7) ⟨sound-ptrs ω bs⟩**  
**unfolding sound-ptrs-def sound-prered-ptr-def by fastforce+**  
**have completes: completes k (item (bs!k!pre)) (item e) (item (bs!k!red))**  
**using prered entry prems(6,7) ⟨sound-ptrs ω bs⟩**  
**unfolding sound-ptrs-def sound-prered-ptr-def by fastforce**  
**have (bs, ω, k', pre) ∈ wf-tree-input**  
**using wf-tree-input-prered-pre[OF prems(4) entry prered] by blast**  
**hence IH-pre: wf-yield-tree ω (item (bs!k!pre)) (Branch N ts)**  
**using prems(2)[OF entry prered - prems(5)] Nts bounds(1,2) prems(6-8)**  
**by (meson dual-order.strict-trans1 nat-less-le)**  
**have (bs, ω, k, red) ∈ wf-tree-input**

```

    using wf-tree-input-prered-red[OF prems(4) entry prered] by blast
  hence IH-r: wf-yield-tree  $\omega$  (item (bs!k!red)) (Branch N-red ts-red)
    using bounds(3) entry prems(3,5,6,8) prered Nts-red by blast
  have wf1:
    item-origin (item (bs!k!pre))  $\leq$  item-end (item (bs!k!pre))
    item-origin (item (bs!k!red))  $\leq$  item-end (item (bs!k!red))
  using prems(5-7) bounds unfolding wf-bins-def wf-bin-def wf-bin-items-def
  items-def wf-item-def
    by (metis length-map nth-map nth-mem order-less-trans)+
  have wf2:
    item-end (item (bs!k!red)) = k
    item-end (item (bs!k!i)) = k
  using prems(5-7) bounds unfolding wf-bins-def wf-bin-def wf-bin-items-def
  items-def by simp-all
  have yield-tree (Branch N (ts @ [Branch N-red ts-red])) = concat (map
  yield-tree (ts @ [Branch N-red ts-red]))
    by (simp add: Nts-red)
  also have ... = concat (map yield-tree ts) @ yield-tree (Branch N-red ts-red)
    by simp
  also have ... = slice (item-origin (item (bs!k!pre))) (item-end (item
  (bs!k!pre)))  $\omega$  @
    slice (item-origin (item (bs!k!red))) (item-end (item (bs!k!red)))  $\omega$ 
  using IH-pre IH-r by (simp add: wf-yield-tree-def)
  also have ... = slice (item-origin (item (bs!k!pre))) (item-end (item
  (bs!k!red)))  $\omega$ 
  using slice-concat wf1 completes-def completes by (metis (no-types, lifting))
  also have ... = slice (item-origin (item e)) (item-end (item (bs!k!red)))  $\omega$ 
    using completes unfolding completes-def inc-item-def by simp
  also have ... = slice (item-origin (item e)) (item-end (item e))  $\omega$ 
    using wf2 entry by presburger
  finally show ?thesis
    using wf-yield-tree-def entry prems(9) simp by force
qed
qed
done
thus ?thesis
  using assms(2) by blast
qed

```

**theorem** wf-rule-root-yield-tree-build-forest:

**assumes** wf-bins  $\mathcal{G}$   $\omega$  bs sound-ptrs  $\omega$  bs mono-red-ptr bs length bs = length  $\omega$  + 1

**assumes** build-tree  $\mathcal{G}$   $\omega$  bs = Some t

**shows** wf-rule-tree  $\mathcal{G}$  t  $\wedge$  root-tree t =  $\mathfrak{S}$   $\mathcal{G}$   $\wedge$  yield-tree t =  $\omega$

**proof** –

**let** ?k = length bs – 1

**define** finished **where** finished-def: finished = filter-with-index (is-finished  $\mathcal{G}$   $\omega$ ) (items (bs! ?k))

**then obtain** x i **where** \*: (x,i)  $\in$  set finished Some t = build-tree' bs  $\omega$  ?k i

**using** *assms*(5) **unfolding** *finished-def build-tree-def* **by** (*auto simp: Let-def split: list.splits, presburger*)  
**have** *k*:  $?k < \text{length } bs \ ?k \leq \text{length } \omega$   
**using** *assms*(4) **by** *simp-all*  
**have** *i*:  $i < \text{length } (bs! ?k)$   
**using** *index-filter-with-index-lt-length \* items-def finished-def* **by** (*metis length-map*)  
**have** *x*:  $x = \text{item } (bs! ?k! i)$   
**using**  $* i$  *filter-with-index-nth items-def nth-map finished-def* **by** *metis*  
**have** *finished*: *is-finished*  $\mathcal{G} \ \omega \ x$   
**using**  $* \text{filter-with-index-}P$  *finished-def* **by** *metis*  
**have** *wf-trees-input*:  $(bs, \omega, ?k, i) \in \text{wf-tree-input}$   
**unfolding** *wf-tree-input-def* **using** *assms*(2,3) *i k(1)* **by** *blast*  
**hence** *wf-item-tree*: *wf-item-tree*  $\mathcal{G} \ x \ t$   
**using** *wf-item-tree-build-tree'* *assms*(1,2) *i k(1) x \*(2)* **by** *metis*  
**have** *wf-item*: *wf-item*  $\mathcal{G} \ \omega \ (\text{item } (bs! ?k! i))$   
**using** *k(1) i assms*(1) **unfolding** *wf-bins-def wf-bin-def wf-bin-items-def* **by**  
(*simp add: items-def*)  
**obtain** *N ts* **where** *t*:  $t = \text{Branch } N \ ts$   
**by** (*metis \*(2) build-tree'-termination option.inject wf-trees-input*)  
**hence**  $N = \text{item-rule-head } x$   
 $\text{map root-tree } ts = \text{item-rule-body } x$   
**using** *finished wf-item-tree* **by** (*auto simp: is-finished-def is-complete-def*)  
**hence**  $\exists r \in \text{set } (\mathfrak{R} \ \mathcal{G}). N = \text{rule-head } r \wedge \text{map root-tree } ts = \text{rule-body } r$   
**using** *wf-item x unfolding wf-item-def item-rule-body-def item-rule-head-def*  
**by** *blast*  
**hence** *wf-rule*: *wf-rule-tree*  $\mathcal{G} \ t$   
**using** *wf-item-tree t* **by** *simp*  
**have** *root*:  $\text{root-tree } t = \mathfrak{S} \ \mathcal{G}$   
**using** *finished t <N = item-rule-head x>* **by** (*auto simp: is-finished-def*)  
**have** *yield-tree t = slice (item-origin (item (bs! ?k! i))) (item-end (item (bs! ?k! i)))*  
 $\omega$   
**using** *k i assms*(1) *wf-trees-input wf-yield-tree-build-tree' wf-yield-tree-def \*(2)*  
**by** (*metis (no-types, lifting)*)  
**hence** *yield*: *yield-tree t = \omega*  
**using** *finished x unfolding is-finished-def* **by** *simp*  
**show** *?thesis*  
**using**  $* \text{wf-rule root yield assms}$ (4) **unfolding** *build-tree-def* **by** *simp*  
**qed**

**corollary** *wf-rule-root-yield-tree-build-tree-Earley<sub>L</sub>*:  
**assumes** *wf- $\mathcal{G}$   $\mathcal{G}$  nonempty-derives  $\mathcal{G}$*   
**assumes** *build-tree  $\mathcal{G} \ \omega \ (\text{Earley}_L \ \mathcal{G} \ \omega) = \text{Some } t$*   
**shows** *wf-rule-tree  $\mathcal{G} \ t \wedge \text{root-tree } t = \mathfrak{S} \ \mathcal{G} \wedge \text{yield-tree } t = \omega$*   
**using** *assms wf-rule-root-yield-tree-build-forest wf-bins-Earley<sub>L</sub> sound-mono-ptrs-Earley<sub>L</sub>*  
*Earley<sub>L</sub>-def*  
 $\text{length-Earley}_L\text{-bins length-bins-Init}_L$  **by** (*metis nle-le*)

**theorem** *correctness-build-tree-Earley<sub>L</sub>*:  
**assumes** *wf- $\mathcal{G}$   $\mathcal{G}$  is-word  $\mathcal{G} \ \omega$  nonempty-derives  $\mathcal{G}$*

**shows**  $(\exists t. \text{build-tree } \mathcal{G} \ \omega \ (\text{Earley}_L \ \mathcal{G} \ \omega) = \text{Some } t) \longleftrightarrow \text{derives } \mathcal{G} \ [\mathfrak{S} \ \mathcal{G}] \ \omega \ (\text{is } ?L \longleftrightarrow ?R)$

**proof standard**

**assume** \*: ?L

**let** ?k = length (Earley<sub>L</sub>  $\mathcal{G}$   $\omega$ ) - 1

**define** finished **where** finished-def: finished = filter-with-index (is-finished  $\mathcal{G}$   $\omega$ ) (items ((Earley<sub>L</sub>  $\mathcal{G}$   $\omega$ )! ?k))

**then obtain** t x i **where** \*: (x,i)  $\in$  set finished Some t = build-tree' (Earley<sub>L</sub>  $\mathcal{G}$   $\omega$ )  $\omega$  ?k i

**using** \* **unfolding** finished-def build-tree-def **by** (auto simp: Let-def split: list.splits, presburger)

**have** k: ?k < length (Earley<sub>L</sub>  $\mathcal{G}$   $\omega$ ) ?k  $\leq$  length  $\omega$

**by** (simp-all add: Earley<sub>L</sub>-def assms(1))

**have** i: i < length ((Earley<sub>L</sub>  $\mathcal{G}$   $\omega$ ) ! ?k)

**using** index-filter-with-index-lt-length \* items-def finished-def **by** (metis length-map)

**have** x: x = item ((Earley<sub>L</sub>  $\mathcal{G}$   $\omega$ ) ! ?k ! i)

**using** \* i filter-with-index-nth items-def nth-map finished-def **by** metis

**have** finished: is-finished  $\mathcal{G}$   $\omega$  x

**using** \* filter-with-index-P finished-def **by** metis

**moreover have** x  $\in$  set (items ((Earley<sub>L</sub>  $\mathcal{G}$   $\omega$ ) ! ?k))

**using** x **by** (auto simp: items-def; metis One-nat-def i imageI nth-mem)

**ultimately have** recognizing (bins (Earley<sub>L</sub>  $\mathcal{G}$   $\omega$ ))  $\mathcal{G}$   $\omega$

**by** (meson k(1) kth-bin-sub-bins recognizing-def subsetD)

**thus** ?R

**using** correctness-Earley<sub>L</sub> assms **by** blast

**next**

**assume** \*: ?R

**let** ?k = length (Earley<sub>L</sub>  $\mathcal{G}$   $\omega$ ) - 1

**define** finished **where** finished-def: finished = filter-with-index (is-finished  $\mathcal{G}$   $\omega$ ) (items ((Earley<sub>L</sub>  $\mathcal{G}$   $\omega$ )! ?k))

**have** recognizing (bins (Earley<sub>L</sub>  $\mathcal{G}$   $\omega$ ))  $\mathcal{G}$   $\omega$

**using** assms \* correctness-Earley<sub>L</sub> **by** blast

**moreover have** ?k = length  $\omega$

**by** (simp add: Earley<sub>L</sub>-def assms(1))

**ultimately have**  $\exists x \in$  set (items ((Earley<sub>L</sub>  $\mathcal{G}$   $\omega$ )! ?k)). is-finished  $\mathcal{G}$   $\omega$  x

**unfolding** recognizing-def **using** assms(1) is-finished-def wf-bins-Earley<sub>L</sub> wf-item-in-kth-bin **by** metis

**then obtain** x i xs **where** xis: finished = (x,i)#xs

**using** filter-with-index-Ex-first **by** (metis finished-def)

**hence** simp: build-tree  $\mathcal{G}$   $\omega$  (Earley<sub>L</sub>  $\mathcal{G}$   $\omega$ ) = build-tree' (Earley<sub>L</sub>  $\mathcal{G}$   $\omega$ )  $\omega$  ?k i

**unfolding** build-tree-def finished-def **by** auto

**have** (x,i)  $\in$  set finished

**using** xis **by** simp

**hence** i < length ((Earley<sub>L</sub>  $\mathcal{G}$   $\omega$ ) ! ?k)

**using** index-filter-with-index-lt-length **by** (metis finished-def items-def length-map)

**moreover have** ?k < length (Earley<sub>L</sub>  $\mathcal{G}$   $\omega$ )

**by** (simp add: Earley<sub>L</sub>-def assms(1))

**ultimately have** (Earley<sub>L</sub>  $\mathcal{G}$   $\omega$ ,  $\omega$ , ?k, i)  $\in$  wf-tree-input

**unfolding** wf-tree-input-def **using** sound-mono-ptrs-Earley<sub>L</sub> assms(1,3) **by**

```

blast
  then obtain  $N$   $ts$  where  $build-tree'$  ( $Earley_L \mathcal{G} \omega$ )  $\omega$   $?k$   $i = Some$  ( $Branch N$ 
 $ts$ )
  using  $build-tree'$ -termination by  $blast$ 
  thus  $?L$ 
  using  $simp$  by  $simp$ 
qed

```

## 9.5 those, map, map option lemmas

**lemma** *those-map-exists*:

$Some\ ys = those\ (map\ f\ xs) \implies y \in set\ ys \implies \exists x. x \in set\ xs \wedge Some\ y \in set\ (map\ f\ xs)$

**proof** (*induction xs arbitrary: ys*)

**case** (*Cons a xs*)

**then show**  $?case$

**apply** (*clarsimp split: option.splits*)

**by** (*smt (verit, best) map-option-eq-Some set-ConsD*)

**qed** *auto*

**lemma** *those-Some*:

$(\forall x \in set\ xs. \exists a. x = Some\ a) \longleftrightarrow (\exists ys. those\ xs = Some\ ys)$

**by** (*induction xs*) (*auto split: option.splits*)

**lemma** *those-Some-P*:

**assumes**  $\forall x \in set\ xs. \exists ys. x = Some\ ys \wedge (\forall y \in set\ ys. P\ y)$

**shows**  $\exists yss. those\ xs = Some\ yss \wedge (\forall ys \in set\ yss. \forall y \in set\ ys. P\ y)$

**using** *assms* **by** (*induction xs*) *auto*

**lemma** *map-Some-P*:

**assumes**  $z \in set\ (map\ f\ xs)$

**assumes**  $\forall x \in set\ xs. \exists ys. f\ x = Some\ ys \wedge (\forall y \in set\ ys. P\ y)$

**shows**  $\exists ys. z = Some\ ys \wedge (\forall y \in set\ ys. P\ y)$

**using** *assms* **by** (*induction xs*) *auto*

**lemma** *those-map-FBranch-only*:

**assumes**  $g = (\lambda f. case\ f\ of\ FBranch\ N\ fss \Rightarrow Some\ (FBranch\ N\ (fss\ @\ [[FLeaf\ (\omega!(k-1))]])\ | - \Rightarrow None)$

**assumes**  $Some\ fs = those\ (map\ g\ pres)\ f \in set\ fs$

**assumes**  $\forall f \in set\ pres. \exists N\ fss. f = FBranch\ N\ fss$

**shows**  $\exists f-pre\ N\ fss. f = FBranch\ N\ (fss\ @\ [[FLeaf\ (\omega!(k-1))]]) \wedge f-pre = FBranch\ N\ fss \wedge f-pre \in set\ pres$

**using** *assms*

**apply** (*induction pres arbitrary: fs f*)

**apply** (*auto*)

**by** (*smt (verit, best) list.inject list.set-cases map-option-eq-Some*)

**lemma** *those-map-Some-concat-exists*:

**assumes**  $y \in set\ (concat\ ys)$

**assumes**  $\text{Some } ys = \text{those } (\text{map } f \text{ } xs)$   
**shows**  $\exists ys \ x. \text{Some } ys = f \ x \wedge y \in \text{set } ys \wedge x \in \text{set } xs$   
**using** *assms*  
**apply** (*induction xs arbitrary: ys y*)  
**apply** (*auto split: option.splits*)  
**by** (*smt (verit, ccfv-threshold) list.inject list.set-cases map-option-eq-Some*)

**lemma** *map-option-concat-those-map-exists*:  
**assumes**  $\text{Some } fs = \text{map-option concat } (\text{those } (\text{map } F \text{ } xs))$   
**assumes**  $f \in \text{set } fs$   
**shows**  $\exists fss \ fs'. \text{Some } fss = \text{those } (\text{map } F \text{ } xs) \wedge fs' \in \text{set } fss \wedge f \in \text{set } fs'$   
**using** *assms*  
**apply** (*induction xs arbitrary: fs f*)  
**apply** (*auto split: option.splits*)  
**by** (*smt (verit, best) UN-E map-option-eq-Some set-concat*)

**lemma** [*partial-function-mono*]:  
*monotone option.le-fun option-ord*  
 $(\lambda f. \text{map-option concat } (\text{those } (\text{map } (\lambda((k', pre), \text{reds}).$   
 $f \ (((r, s), k'), pre), \{pre\}) \gg=$   
 $(\lambda pres. \text{those } (\text{map } (\lambda red. f \ (((r, s), t), red), b \cup \{red\})) \text{reds}) \gg=$   
 $(\lambda rss. \text{those } (\text{map } (\lambda f. \text{case } f \text{ of } FBranch \ N \ fss \Rightarrow \text{Some } (FBranch \ N \ (fss$   
 $@ \ [\text{concat } rss])) \mid - \Rightarrow \text{None } pres))))$   
 $xs)))$   
**proof** –  
**let**  $?f =$   
 $(\lambda f. \text{map-option concat } (\text{those } (\text{map } (\lambda((k', pre), \text{reds}).$   
 $f \ (((r, s), k'), pre), \{pre\}) \gg=$   
 $(\lambda pres. \text{those } (\text{map } (\lambda red. f \ (((r, s), t), red), b \cup \{red\})) \text{reds}) \gg=$   
 $(\lambda rss. \text{those } (\text{map } (\lambda f. \text{case } f \text{ of } FBranch \ N \ fss \Rightarrow \text{Some } (FBranch \ N \ (fss$   
 $@ \ [\text{concat } rss])) \mid - \Rightarrow \text{None } pres))))$   
 $xs)))$   
**have**  $0: \bigwedge x \ y. \text{option.le-fun } x \ y \Longrightarrow \text{option-ord } (?f \ x) \ (?f \ y)$   
**apply** (*auto simp: flat-ord-def fun-ord-def option.leq-refl split: option.splits forest.splits*)

**subgoal premises** *prems* **for**  $x \ y$

**proof** –  
**let**  $?t = \text{those } (\text{map } (\lambda((k', pre), \text{reds}).$   
 $x \ (((r, s), k'), pre), \{pre\}) \gg=$   
 $(\lambda pres. \text{those } (\text{map } (\lambda red. x \ (((r, s), t), red), \text{insert } red \ b)) \text{reds}) \gg=$   
 $(\lambda rss. \text{those } (\text{map } (\text{case-forest } Map.empty \ (\lambda N \ fss. \text{Some } (FBranch \ N \ (fss$   
 $@ \ [\text{concat } rss])))) \text{pres}))))$   
 $xs) = \text{None}$   
**show**  $?t$   
**proof** (*rule ccontr*)  
**assume**  $a: \neg ?t$   
**obtain**  $fss$  **where**  $fss: \text{those } (\text{map } (\lambda((k', pre), \text{reds}).$   
 $x \ (((r, s), k'), pre), \{pre\}) \gg=$   
 $(\lambda pres. \text{those } (\text{map } (\lambda red. x \ (((r, s), t), red), \text{insert } red \ b)) \text{reds}) \gg=$

```

      (λrss. those (map (case-forest Map.empty (λN fss. Some (FBranch N (fss
@ [concat rss]))) pres))))
      xs) = Some fss
      using a by blast
    {
      fix k' pre reds
      assume *: ((k', pre), reds) ∈ set xs
      obtain pres where pres: x (((r, s), k'), pre), {pre}) = Some pres
      using fss * those-Some by force
      have ∃ fs. Some fs = those (map (λred. x (((r, s), t), red), insert red b))
reds) ≧=
      (λrss. those (map (case-forest Map.empty (λN fss. Some (FBranch N (fss
@ [concat rss]))) pres))))
      proof (rule ccontr)
        assume ¬fs. Some fs =
          those (map (λred. x (((r, s), t), red), insert red b)) reds) ≧=
          (λrss. those (map (case-forest Map.empty (λN fss. Some (FBranch N
(fss @ [concat rss]))) pres))))
          hence None =
            those (map (λred. x (((r, s), t), red), insert red b)) reds) ≧=
            (λrss. those (map (case-forest Map.empty (λN fss. Some (FBranch N
(fss @ [concat rss]))) pres))))
            by (smt (verit) not-None-eq)
            hence None = x (((r, s), k'), pre), {pre}) ≧=
              (λpres. those (map (λred. x (((r, s), t), red), insert red b)) reds) ≧=
              (λrss. those (map (case-forest Map.empty (λN fss. Some (FBranch N
(fss @ [concat rss]))) pres))))
              by (simp add: pres)
            hence ∃ ((k', pre), reds) ∈ set xs. None = x (((r, s), k'), pre), {pre})
≧=
              (λpres. those (map (λred. x (((r, s), t), red), insert red b)) reds) ≧=
              (λrss. those (map (case-forest Map.empty (λN fss. Some (FBranch N
(fss @ [concat rss]))) pres))))
              using * by blast
            thus False
            using fss those-Some by force
          qed
          then obtain fs where fs: Some fs = those (map (λred. x (((r, s), t),
red), insert red b)) reds) ≧=
            (λrss. those (map (case-forest Map.empty (λN fss. Some (FBranch N (fss
@ [concat rss]))) pres))))
            by blast
          obtain rss where rss: those (map (λred. x (((r, s), t), red), insert red
b)) reds) = Some rss
            using fs by force
          have x (((r, s), k'), pre), {pre}) = y (((r, s), k'), pre), {pre})
            using pres prems(1) by (metis option.distinct(1))
          moreover have those (map (λred. x (((r, s), t), red), insert red b)) reds)
≧=

```

```

      (λrss. those (map (case-forest Map.empty (λN fss. Some (FBranch N (fss
@ [concat rss]))) pres))
    = those (map (λred. y (((r, s), t), red), insert red b)) reds) ≫=
      (λrss. those (map (case-forest Map.empty (λN fss. Some (FBranch N (fss
@ [concat rss]))) pres))
proof -
  have ∀ red ∈ set reds. x (((r, s), t), red), insert red b) = y (((r, s), t),
red), insert red b)
  proof standard
  fix red
  assume red ∈ set reds
  have ∀ x ∈ set (map (λred. x (((r, s), t), red), insert red b)) reds) . ∃ a.
x = Some a
    using rss those-Some by blast
    then obtain f where x (((r, s), t), red), insert red b) = Some f
    using ⟨red ∈ set reds⟩ by auto
    thus x (((r, s), t), red), insert red b) = y (((r, s), t), red), insert red
b)
      using prems(1) by (metis option.distinct(1))
    qed
    thus ?thesis
      by (smt (verit, best) map-eq-conv)
    qed
  ultimately have x (((r, s), k'), pre), {pre} ≫=
    (λpres. those (map (λred. x (((r, s), t), red), insert red b)) reds) ≫=
    (λrss. those (map (case-forest Map.empty (λN fss. Some (FBranch N (fss
@ [concat rss]))) pres)))
  = y (((r, s), k'), pre), {pre} ≫=
    (λpres. those (map (λred. y (((r, s), t), red), insert red b)) reds) ≫=
    (λrss. those (map (case-forest Map.empty (λN fss. Some (FBranch N (fss
@ [concat rss]))) pres)))
  by (metis bind.bind-lunit pres)
}
hence ∀ ((k', pre), reds) ∈ set xs. x (((r, s), k'), pre), {pre} ≫=
  (λpres. those (map (λred. x (((r, s), t), red), insert red b)) reds) ≫=
  (λrss. those (map (case-forest Map.empty (λN fss. Some (FBranch N (fss
@ [concat rss]))) pres)))
  = y (((r, s), k'), pre), {pre} ≫=
  (λpres. those (map (λred. y (((r, s), t), red), insert red b)) reds) ≫=
  (λrss. those (map (case-forest Map.empty (λN fss. Some (FBranch N (fss
@ [concat rss]))) pres)))
  by blast
hence those (map (λ((k', pre), reds).
x (((r, s), k'), pre), {pre}) ≫=
  (λpres. those (map (λred. x (((r, s), t), red), insert red b)) reds) ≫=
  (λrss. those (map (case-forest Map.empty (λN fss. Some (FBranch N (fss
@ [concat rss]))) pres)))
  xs) = those (map (λ((k', pre), reds).
y (((r, s), k'), pre), {pre}) ≫=

```



```

      (λpres. those (map (λred. y (((r, s), t), red), insert red b)) reds) >>=
      (λrss. those (map (case-forest Map.empty (λN fss. Some (FBranch N (fss
@ [concat rss]))) pres))))
      xs)
      using prems(1) by (smt (verit, best) case-prod-conv map-eq-conv split-cong)
      thus False
      using prems(2) by simp
    qed
  qed
done
show ?thesis
  using monotoneI[of option.le-fun option-ord ?f, OF 0] by blast
qed

```

## 9.6 Parse trees

```

fun insert-group :: ('a ⇒ 'k) ⇒ ('a ⇒ 'v) ⇒ 'a ⇒ ('k × 'v list) list ⇒ ('k × 'v
list) list where
  insert-group K V a [] = [(K a, [V a])]
| insert-group K V a ((k, vs)#xs) = (
  if K a = k then (k, V a # vs) # xs
  else (k, vs) # insert-group K V a xs
)

```

```

fun group-by :: ('a ⇒ 'k) ⇒ ('a ⇒ 'v) ⇒ 'a list ⇒ ('k × 'v list) list where
  group-by K V [] = []
| group-by K V (x#xs) = insert-group K V x (group-by K V xs)

```

**lemma** *insert-group-cases*:

```

assumes (k, vs) ∈ set (insert-group K V a xs)
shows (k = K a ∧ vs = [V a]) ∨ (k, vs) ∈ set xs ∨ (∃(k', vs') ∈ set xs. k' = k
∧ k = K a ∧ vs = V a # vs')
using assms by (induction xs) (auto split: if-splits)

```

**lemma** *group-by-exists-kv*:

```

(k, vs) ∈ set (group-by K V xs) ⇒ ∃x ∈ set xs. k = K x ∧ (∃v ∈ set vs. v =
V x)
using insert-group-cases by (induction xs) (simp, force)

```

**lemma** *group-by-forall-v-exists-k*:

```

(k, vs) ∈ set (group-by K V xs) ⇒ v ∈ set vs ⇒ ∃x ∈ set xs. k = K x ∧ v =
V x

```

**proof** (induction xs arbitrary: vs)

**case** (Cons x xs)

**show** ?case

**proof** (cases (k, vs) ∈ set (group-by K V xs))

**case** True

**thus** ?thesis

**using** Cons **by** simp

```

next
  case False
  hence  $(k, vs) \in \text{set } (\text{insert-group } K \ V \ x \ (\text{group-by } K \ V \ xs))$ 
  using Cons.prem1 by force
  then consider (A)  $(k = K \ x \wedge vs = [V \ x])$ 
    | (B)  $(k, vs) \in \text{set } (\text{group-by } K \ V \ xs)$ 
    | (C)  $(\exists (k', vs') \in \text{set } (\text{group-by } K \ V \ xs). k' = k \wedge k = K \ x \wedge vs = V \ x \#$ 
vs^)
  using insert-group-cases by fastforce
  thus ?thesis
  proof cases
  case A
  thus ?thesis
  using Cons.prem2 by auto
next
  case B
  then show ?thesis
  using False by linarith
next
  case C
  then show ?thesis
  using Cons.IH Cons.prem2 by fastforce
qed
qed simp

```

```

partial-function (option) build-trees' :: 'a bins  $\Rightarrow$  'a sentence  $\Rightarrow$  nat  $\Rightarrow$  nat  $\Rightarrow$ 
nat set  $\Rightarrow$  'a forest list option where
  build-trees' bs  $\omega$  k i I = (
    let e = bs!k!i in (
      case pointer e of
        Null  $\Rightarrow$  Some ([FBranch (item-rule-head (item e)) []]) — start building sub-
trees
        | Pre pre  $\Rightarrow$  ( — add sub-trees starting from terminal
          do {
            pres  $\leftarrow$  build-trees' bs  $\omega$  (k-1) pre {pre};
            those (map ( $\lambda$ f.
              case f of
                FBranch N fss  $\Rightarrow$  Some (FBranch N (fss @ [[FLeaf ( $\omega$ !(k-1))]])))
                | -  $\Rightarrow$  None — impossible case
            ) pres)
          })
        | PreRed p ps  $\Rightarrow$  ( — add sub-trees starting from non-terminal
          let ps' = filter ( $\lambda(k', pre, red). red \notin I$ ) (p#ps) in
          let gs = group-by ( $\lambda(k', pre, red). (k', pre)$ ) ( $\lambda(k', pre, red). red$ ) ps' in
          map-option concat (those (map ( $\lambda((k', pre), reds)$ .
            do {
              pres  $\leftarrow$  build-trees' bs  $\omega$  k' pre {pre};
              rss  $\leftarrow$  those (map ( $\lambda red. \text{build-trees}' \text{ bs } \omega \text{ k red } (I \cup \{red\})$ ) reds);

```

```

      those (map (λf.
        case f of
          FBranch N fss ⇒ Some (FBranch N (fss @ [concat rss]))
          | - ⇒ None — impossible case
        ) pres)
    }
  ) gs))
)
))

```

**declare** *build-trees'.simps* [code]

**definition** *build-trees* :: 'a cfg ⇒ 'a sentence ⇒ 'a bins ⇒ 'a forest list option  
**where**

```

  build-trees  $\mathcal{G} \omega bs = ($ 
    let  $k = \text{length } bs - 1$  in
    let  $\text{finished} = \text{filter-with-index } (\lambda x. \text{is-finished } \mathcal{G} \omega x) (\text{items } (bs!k))$  in
    map-option concat (those (map (λ(-, i). build-trees' bs ω k i {i}) finished))
  )

```

**lemma** *build-forest'-simps*[simp]:

```

  e = bs!k!i ⇒ pointer e = Null ⇒ build-trees' bs ω k i I = Some ([FBranch
  (item-rule-head (item e)) []])
  e = bs!k!i ⇒ pointer e = Pre pre ⇒ build-trees' bs ω (k-1) pre {pre} = None
  ⇒ build-trees' bs ω k i I = None
  e = bs!k!i ⇒ pointer e = Pre pre ⇒ build-trees' bs ω (k-1) pre {pre} = Some
  pres ⇒
  build-trees' bs ω k i I = those (map (λf. case f of FBranch N fss ⇒ Some
  (FBranch N (fss @ [[FLeaf (ω!(k-1))]])) | - ⇒ None) pres)
  by (subst build-trees'.simps, simp)+

```

**definition** *wf-trees-input* :: ('a bins × 'a sentence × nat × nat × nat set) set  
**where**

```

  wf-trees-input = {
    (bs, ω, k, i, I) | bs ω k i I.
    sound-ptrs ω bs ∧
    k < length bs ∧
    i < length (bs!k) ∧
    I ⊆ {0..<length (bs!k)} ∧
    i ∈ I
  }

```

**fun** *build-forest'-measure* :: ('a bins × 'a sentence × nat × nat × nat set) ⇒ nat  
**where**

```

  build-forest'-measure (bs, ω, k, i, I) = foldl (+) 0 (map length (take (k+1) bs))
  - card I

```

**lemma** *wf-trees-input-pre*:

```

  assumes (bs, ω, k, i, I) ∈ wf-trees-input

```

**assumes**  $e = \text{bs!k!i}$  pointer  $e = \text{Pre } pre$   
**shows**  $(bs, \omega, (k-1), pre, \{pre\}) \in \text{wf-trees-input}$   
**using** *assms* **unfolding** *wf-trees-input-def*  
**apply** (*auto simp: sound-ptrs-def sound-pre-ptr-def*)  
**apply** (*metis nth-mem*)  
**done**

**lemma** *wf-trees-input-prered-pre:*

**assumes**  $(bs, \omega, k, i, I) \in \text{wf-trees-input}$   
**assumes**  $e = \text{bs!k!i}$  pointer  $e = \text{PreRed } p \ ps$   
**assumes**  $ps' = \text{filter } (\lambda(k', pre, red). red \notin I) (p\#ps)$   
**assumes**  $gs = \text{group-by } (\lambda(k', pre, red). (k', pre)) (\lambda(k', pre, red). red) \ ps'$   
**assumes**  $((k', pre), reds) \in \text{set } gs$   
**shows**  $(bs, \omega, k', pre, \{pre\}) \in \text{wf-trees-input}$

**proof** –

**obtain**  $red$  **where**  $(k', pre, red) \in \text{set } ps'$   
**using** *assms(5,6) group-by-exists-kv* **by** *fast*  
**hence**  $*$ :  $(k', pre, red) \in \text{set } (p\#ps)$   
**using** *assms(4)* **by** (*meson filter-is-subset in-mono*)  
**have**  $k < \text{length } bs$   $e \in \text{set } (bs!k)$   
**using** *assms(1,2)* **unfolding** *wf-trees-input-def* **by** *auto*  
**hence**  $k' < k$   $pre < \text{length } (bs!k')$   
**using** *assms(1,3)* \* **unfolding** *wf-trees-input-def sound-ptrs-def sound-prered-ptr-def*

**by** *blast+*

**thus** *?thesis*

**using** *assms* **by** (*simp add: wf-trees-input-def*)

**qed**

**lemma** *wf-trees-input-prered-red:*

**assumes**  $(bs, \omega, k, i, I) \in \text{wf-trees-input}$   
**assumes**  $e = \text{bs!k!i}$  pointer  $e = \text{PreRed } p \ ps$   
**assumes**  $ps' = \text{filter } (\lambda(k', pre, red). red \notin I) (p\#ps)$   
**assumes**  $gs = \text{group-by } (\lambda(k', pre, red). (k', pre)) (\lambda(k', pre, red). red) \ ps'$   
**assumes**  $((k', pre), reds) \in \text{set } gs$   $red \in \text{set } reds$   
**shows**  $(bs, \omega, k, red, I \cup \{red\}) \in \text{wf-trees-input}$

**proof** –

**have**  $(k', pre, red) \in \text{set } ps'$   
**using** *assms(5,6,7) group-by-forall-v-exists-k* **by** *fastforce*  
**hence**  $*$ :  $(k', pre, red) \in \text{set } (p\#ps)$   
**using** *assms(4)* **by** (*meson filter-is-subset in-mono*)  
**have**  $k < \text{length } bs$   $e \in \text{set } (bs!k)$   
**using** *assms(1,2)* **unfolding** *wf-trees-input-def* **by** *auto*  
**hence**  $0: red < \text{length } (bs!k)$   
**using** *assms(1,3)* \* **unfolding** *wf-trees-input-def sound-ptrs-def sound-prered-ptr-def*

**by** *blast*

**moreover** **have**  $I \subseteq \{0..<\text{length } (bs!k)\}$

**using** *assms(1)* **unfolding** *wf-trees-input-def* **by** *blast*

**ultimately** **have**  $1: I \cup \{red\} \subseteq \{0..<\text{length } (bs!k)\}$

**by** *simp*

**show** *?thesis*  
**using** *0 1 assms(1) unfolding wf-trees-input-def by blast*  
**qed**

**lemma** *build-trees'-induct*:  
**assumes**  $(bs, \omega, k, i, I) \in wf-trees-input$   
**assumes**  $\bigwedge bs \ \omega \ k \ i \ I.$   
 $(\bigwedge e \ pre. \ e = bs!k!i \implies pointer \ e = Pre \ pre \implies P \ bs \ \omega \ (k-1) \ pre \ \{pre\}) \implies$   
 $(\bigwedge e \ p \ ps \ ps' \ gs \ k' \ pre \ reds. \ e = bs!k!i \implies pointer \ e = PreRed \ p \ ps \implies$   
 $ps' = filter \ (\lambda(k', \ pre, \ red). \ red \notin I) \ (p\#ps) \implies$   
 $gs = group-by \ (\lambda(k', \ pre, \ red). \ (k', \ pre)) \ (\lambda(k', \ pre, \ red). \ red) \ ps' \implies$   
 $((k', \ pre), \ reds) \in set \ gs \implies P \ bs \ \omega \ k' \ pre \ \{pre\}) \implies$   
 $(\bigwedge e \ p \ ps \ ps' \ gs \ k' \ pre \ red \ reds \ reds'. \ e = bs!k!i \implies pointer \ e = PreRed \ p \ ps$   
 $\implies$   
 $ps' = filter \ (\lambda(k', \ pre, \ red). \ red \notin I) \ (p\#ps) \implies$   
 $gs = group-by \ (\lambda(k', \ pre, \ red). \ (k', \ pre)) \ (\lambda(k', \ pre, \ red). \ red) \ ps' \implies$   
 $((k', \ pre), \ reds) \in set \ gs \implies red \in set \ reds \implies P \ bs \ \omega \ k \ red \ (I \cup \{red\})) \implies$   
 $P \ bs \ \omega \ k \ i \ I$   
**shows**  $P \ bs \ \omega \ k \ i \ I$   
**using** *assms(1)*

**proof** (*induction n $\equiv$ build-forest'-measure (bs,  $\omega$ , k, i, I) arbitrary: k i I rule: nat-less-induct*)  
**case** *1*  
**obtain** *e where entry: e = bs!k!i*  
**by** *simp*  
**consider**  $(Null) \ pointer \ e = Null$   
 $| (Pre) \ \exists pre. \ pointer \ e = Pre \ pre$   
 $| (PreRed) \ \exists p \ ps. \ pointer \ e = PreRed \ p \ ps$   
**by** (*metis pointer.exhaust*)  
**thus** *?case*  
**proof** *cases*  
**case** *Null*  
**thus** *?thesis*  
**using** *assms(2) entry by fastforce*  
**next**  
**case** *Pre*  
**then obtain** *pre where pre: pointer e = Pre pre*  
**by** *blast*  
**define** *n where n: n = build-forest'-measure (bs,  $\omega$ , (k-1), pre, {pre})*  
**have**  $0 < k \ pre < length \ (bs!(k-1))$   
**using** *1(2) entry pre unfolding wf-trees-input-def sound-ptrs-def sound-pre-ptr-def*  
**by** (*smt (verit) mem-Collect-eq nth-mem prod.inject*)  
**have**  $k < length \ bs \ i < length \ (bs!k) \ I \subseteq \{0..<length \ (bs!k)\} \ i \in I$   
**using** *1(2) unfolding wf-trees-input-def by blast+*  
**have**  $length \ (bs!(k-1)) > 0$   
**using**  $\langle pre < length \ (bs!(k-1)) \rangle$  **by** *force*  
**hence**  $foldl \ (+) \ 0 \ (map \ length \ (take \ k \ bs)) > 0$   
**by** (*smt (verit, del-insts) foldl-add-nth  $\langle 0 < k \rangle \langle k < length \ bs \rangle$*   
*add commute add-diff-inverse-nat less-imp-diff-less less-one zero-eq-add-iff-both-eq-0*)

```

have card I ≤ length (bs!k)
  by (simp add: ⟨I ⊆ {0..<length (bs ! k)}⟩ subset-eq-atLeast0-lessThan-card)
have card I + (foldl (+) 0 (map length (take (Suc (k - Suc 0)) bs)) - Suc 0)
=
  card I + (foldl (+) 0 (map length (take k bs)) - 1)
  using ⟨0 < k⟩ by simp
also have ... = card I + foldl (+) 0 (map length (take k bs)) - 1
  using ⟨0 < foldl (+) 0 (map length (take k bs))⟩ by auto
also have ... < card I + foldl (+) 0 (map length (take k bs))
  by (simp add: ⟨0 < foldl (+) 0 (map length (take k bs))⟩)
also have ... ≤ foldl (+) 0 (map length (take k bs)) + length (bs!k)
  by (simp add: ⟨card I ≤ length (bs ! k)⟩)
also have ... = foldl (+) 0 (map length (take (k+1) bs))
  using foldl-add-nth ⟨k < length bs⟩ by blast
finally have build-forest'-measure (bs, ω, k, i, I) - build-forest'-measure (bs,
ω, (k-1), pre, {pre}) > 0
  by simp
hence P bs ω (k-1) pre {pre}
  using 1 n wf-trees-input-pre entry pre zero-less-diff by blast
thus ?thesis
  using assms(2) entry pre pointer.distinct(5) pointer.inject(1) by presburger
next
case PreRed
then obtain p ps where pps: pointer e = PreRed p ps
  by blast
define ps' where ps': ps' = filter (λ(k', pre, red). red ∉ I) (p#ps)
define gs where gs: gs = group-by (λ(k', pre, red). (k', pre)) (λ(k', pre, red).
red) ps'
have 0: ∀(k', pre, red) ∈ set ps'. k' < k ∧ pre < length (bs!k') ∧ red < length
(bs!k)
  using entry pps ps' 1(2) unfolding wf-trees-input-def sound-ptrs-def sound-prered-ptr-def
  apply (auto simp del: filter.simps)
  apply (metis nth-mem prod-cases3)+
  done
hence sound-gs: ∀((k', pre), reds) ∈ set gs. k' < k ∧ pre < length (bs!k')
  using gs group-by-exists-kv by fast
have sound-gs2: ∀((k', pre), reds) ∈ set gs. ∀red ∈ set reds. red < length (bs!k)
proof (standard, standard, standard, standard)
  fix x a b k' pre red
  assume x ∈ set gs x = (a, b) (k', pre) = a red ∈ set b
  hence ∃x ∈ set ps'. red = (λ(k', pre, red). red) x
    using group-by-forall-v-exists-k gs ps' by meson
  thus red < length (bs!k)
    using 0 by fast
qed
{
  fix k' pre reds red
  assume a0: ((k', pre), reds) ∈ set gs
  define n-pre where n-pre: n-pre = build-forest'-measure (bs, ω, k', pre, {pre})

```

```

have  $k < \text{length } bs \ i < \text{length } (bs!k) \ I \subseteq \{0..<\text{length } (bs!k)\} \ i \in I$ 
  using 1(2) unfolding wf-trees-input-def by blast+
have  $k' < k \ pre < \text{length } (bs!k')$ 
  using sound-gs a0 by fastforce+
have  $\text{length } (bs!k') > 0$ 
  using  $\langle pre < \text{length } (bs!k') \rangle$  by force
hence gt0:  $\text{foldl } (+) \ 0 \ (\text{map } \text{length } (\text{take } (k'+1) \ bs)) > 0$ 
  by (smt (verit, del-insts) foldl-add-nth  $\langle k < \text{length } bs \ \langle k' < k \rangle \text{ add-gr-0}$ 
order.strict-trans)
have card-bound:  $\text{card } I \leq \text{length } (bs!k)$ 
  by (simp add:  $\langle I \subseteq \{0..<\text{length } (bs!k)\} \ \text{subset-eq-atLeast0-lessThan-card}$ )
have  $\text{card } I + (\text{foldl } (+) \ 0 \ (\text{map } \text{length } (\text{take } (\text{Suc } k') \ bs))) - \text{Suc } 0 =$ 
 $\text{card } I + \text{foldl } (+) \ 0 \ (\text{map } \text{length } (\text{take } (\text{Suc } k') \ bs)) - 1$ 
  by (metis Nat.add-diff-assoc One-nat-def Suc-eq-plus1 Suc-leI  $\langle 0 < \text{foldl}$ 
 $(+) \ 0 \ (\text{map } \text{length } (\text{take } (k' + 1) \ bs)) \rangle$ )
also have  $\dots < \text{card } I + \text{foldl } (+) \ 0 \ (\text{map } \text{length } (\text{take } (\text{Suc } k') \ bs))$ 
  using gt0 by auto
also have  $\dots \leq \text{foldl } (+) \ 0 \ (\text{map } \text{length } (\text{take } (\text{Suc } k') \ bs)) + \text{length } (bs!k)$ 
  using card-bound by simp
also have  $\dots \leq \text{foldl } (+) \ 0 \ (\text{map } \text{length } (\text{take } (k+1) \ bs))$ 
  using foldl-add-nth-ge Suc-leI  $\langle k < \text{length } bs \ \langle k' < k \rangle$  by blast
finally have  $\text{build-forest'-measure } (bs, \omega, k, i, I) - \text{build-forest'-measure } (bs,$ 
 $\omega, k', pre, \{pre\}) > 0$ 
  by simp
hence  $P \ bs \ \omega \ k' \ pre \ \{pre\}$ 
  using 1(1) wf-trees-input-prered-pre[OF 1.prem1] entry pps ps' gs a0]
zero-less-diff by blast
}
moreover {
  fix  $k' \ pre \ reds \ red$ 
  assume a0:  $((k', pre), reds) \in \text{set } gs$ 
  assume a1:  $red \in \text{set } reds$ 
  define n-red where n-red:  $n\text{-red} = \text{build-forest'-measure } (bs, \omega, k, red, I \cup$ 
 $\{red\})$ 
  have  $k < \text{length } bs \ i < \text{length } (bs!k) \ I \subseteq \{0..<\text{length } (bs!k)\} \ i \in I$ 
    using 1(2) unfolding wf-trees-input-def by blast+
  have  $k' < k \ pre < \text{length } (bs!k') \ red < \text{length } (bs!k)$ 
    using a0 a1 sound-gs sound-gs2 by fastforce+
  have  $red \notin I$ 
    using a0 a1 unfolding gs ps'
    by (smt (verit, best) group-by-forall-v-exists-k case-prodE case-prod-conv
mem-Collect-eq set-filter)
  have card-bound:  $\text{card } I \leq \text{length } (bs!k)$ 
    by (simp add:  $\langle I \subseteq \{0..<\text{length } (bs!k)\} \ \text{subset-eq-atLeast0-lessThan-card}$ )
  have  $\text{length } (bs!k') > 0$ 
    using  $\langle pre < \text{length } (bs!k') \rangle$  by force
  hence gt0:  $\text{foldl } (+) \ 0 \ (\text{map } \text{length } (\text{take } (k'+1) \ bs)) > 0$ 
    by (smt (verit, del-insts) foldl-add-nth  $\langle k < \text{length } bs \ \langle k' < k \rangle \text{ add-gr-0}$ 
order.strict-trans)

```

```

have lt: foldl (+) 0 (map length (take (Suc k') bs)) + length (bs!k) ≤ foldl
(+ ) 0 (map length (take (k+1) bs))
using foldl-add-nth-ge Suc-leI ⟨k < length bs⟩ ⟨k' < k⟩ by blast
have card I + (foldl (+) 0 (map length (take (Suc k) bs)) - card (insert red
I)) =
  card I + (foldl (+) 0 (map length (take (Suc k) bs)) - card I - 1)
using ⟨I ⊆ {0..length (bs ! k)}⟩ ⟨red ∉ I⟩ finite-subset by fastforce
also have ... = foldl (+) 0 (map length (take (Suc k) bs)) - 1
using gt0 card-bound lt by force
also have ... < foldl (+) 0 (map length (take (Suc k) bs))
using gt0 lt by auto
finally have build-forest'-measure (bs,  $\omega$ , k, i, I) - build-forest'-measure (bs,
 $\omega$ , k, red, I ∪ {red}) > 0
by simp
moreover have (bs,  $\omega$ , k, red, I ∪ {red}) ∈ wf-trees-input
using wf-trees-input-prered-red[OF 1(2) entry pps ps' gs] a0 a1 by blast
ultimately have P bs  $\omega$  k red (I ∪ {red})
using 1(1) zero-less-diff by blast
}
moreover have (∧ e pre. e = bs!k!i ⇒ pointer e = Pre pre ⇒ P bs  $\omega$  (k-1)
pre {pre})
using entry pps by fastforce
ultimately show ?thesis
using assms(2) entry gs pointer.inject(2) pps ps' by presburger
qed
qed

```

**lemma** *build-trees'-termination*:

```

assumes (bs,  $\omega$ , k, i, I) ∈ wf-trees-input
shows ∃ fs. build-trees' bs  $\omega$  k i I = Some fs ∧ (∀ f ∈ set fs. ∃ N fss. f = FBranch
N fss)
proof -
have ∃ fs. build-trees' bs  $\omega$  k i I = Some fs ∧ (∀ f ∈ set fs. ∃ N fss. f = FBranch
N fss)
apply (induction rule: build-trees'-induct[OF assms(1)])
subgoal premises IH for bs  $\omega$  k i I
proof -
define e where entry: e = bs!k!i
consider (Null) pointer e = Null
  | (Pre) ∃ pre. pointer e = Pre pre
  | (PreRed) ∃ k' pre red reds. pointer e = PreRed (k', pre, red) reds
by (metis pointer.exhaust surj-pair)
thus ?thesis
proof cases
case Null
have build-trees' bs  $\omega$  k i I = Some ([FBranch (item-rule-head (item e)) []])
using build-forest'-simps(1) Null entry by simp
thus ?thesis
by simp

```



```

next
  case Pre
  then obtain pre where pre: pointer e = Pre pre
    by blast
  obtain fs where fs: build-trees' bs  $\omega$  (k-1) pre {pre} = Some fs
     $\forall f \in \text{set } fs. \exists N fss. f = FBranch N fss$ 
    using IH(1) entry pre by blast
  let ?g =  $\lambda f. \text{case } f \text{ of } FLeaf a \Rightarrow None$ 
    | FBranch N fss  $\Rightarrow Some (FBranch N (fss @ [[FLeaf ( $\omega!(k-1))]])$ )
  have simp: build-trees' bs  $\omega$  k i I = those (map ?g fs)
    using build-forest'-simps(3) entry pre fs by blast
  moreover have  $\forall f \in \text{set } (map ?g fs). \exists a. f = Some a$ 
    using fs(2) by auto
  ultimately obtain fs' where fs': build-trees' bs  $\omega$  k i I = Some fs'
    using those-Some by (smt (verit, best))
  moreover have  $\forall f \in \text{set } fs'. \exists N fss. f = FBranch N fss$ 
  proof standard
    fix f
    assume f  $\in \text{set } fs'$ 
    then obtain x where x  $\in \text{set } fs$  Some f  $\in \text{set } (map ?g fs)$ 
      using those-map-exists by (metis (no-types, lifting) fs' simp)
    thus  $\exists N fss. f = FBranch N fss$ 
      using fs(2) by auto
  qed
  ultimately show ?thesis
    by blast
next
  case PreRed
  then obtain p ps where pps: pointer e = PreRed p ps
    by blast
  define ps' where ps': ps' = filter ( $\lambda(k', pre, red). red \notin I$ ) (p#ps)
  define gs where gs: gs = group-by ( $\lambda(k', pre, red). (k', pre)$ ) ( $\lambda(k', pre,$ 
red). red) ps'
  let ?g =  $\lambda((k', pre), reds).$ 
    do {
      pres  $\leftarrow$  build-trees' bs  $\omega$  k' pre {pre};
      rss  $\leftarrow$  those (map ( $\lambda red. \text{build-trees}' bs \omega k red (I \cup \{red\})$ )) reds);
      those (map ( $\lambda f.$ 
        case f of
          FBranch N fss  $\Rightarrow Some (FBranch N (fss @ [concat rss])$ )
          | -  $\Rightarrow None$  — impossible case
        ) pres)
    }
  have simp: build-trees' bs  $\omega$  k i I = map-option concat (those (map ?g gs))
    using entry pps ps' gs by (subst build-trees'.simps) (auto simp del:
filter.simps)
  have  $\forall fso \in \text{set } (map ?g gs). \exists fs. fso = Some fs \wedge (\forall f \in \text{set } fs. \exists N fss. f$ 
= FBranch N fss)
  proof standard$ 
```

```

fix fso
assume fso ∈ set (map ?g gs)
moreover have ∀ ps ∈ set gs. ∃ fs. ?g ps = Some fs ∧ (∀ f ∈ set fs. ∃ N
fss. f = FBranch N fss)
proof standard
  fix ps
  assume ps ∈ set gs
  then obtain k' pre reds where *: ((k', pre), reds) ∈ set gs ((k', pre),
reds) = ps
    by (metis surj-pair)
  then obtain pres where pres: build-trees' bs ω k' pre {pre} = Some pres
    ∀ f ∈ set pres. ∃ N fss. f = FBranch N fss
    using IH(2) entry pps ps' gs by blast
  have ∀ f ∈ set (map (λred. build-trees' bs ω k red (I ∪ {red})) reds). ∃ a.
f = Some a
    using IH(3)[OF entry pps ps' gs *(1)] by auto
  then obtain rss where rss: Some rss = those (map (λred. build-trees'
bs ω k red (I ∪ {red})) reds)
    using those-Some by (metis (full-types))
  let ?h = λf. case f of FBranch N fss ⇒ Some (FBranch N (fss @ [concat
rss])) | - ⇒ None
  have ∀ x ∈ set (map ?h pres). ∃ a. x = Some a
    using pres(2) by auto
  then obtain fs where fs: Some fs = those (map ?h pres)
    using those-Some by (smt (verit, best))
  have ∀ f ∈ set fs. ∃ N fss. f = FBranch N fss
  proof standard
    fix f
    assume *: f ∈ set fs
    hence ∃ x. x ∈ set pres ∧ Some f ∈ set (map ?h pres)
      using those-map-exists[OF fs *] by blast
    then obtain x where x: x ∈ set pres ∧ Some f ∈ set (map ?h pres)
      by blast
    thus ∃ N fss. f = FBranch N fss
      using pres(2) by auto
    qed
  moreover have ?g ps = Some fs
    using fs pres rss * by (auto, metis bind.bind-lunit)
    ultimately show ∃ fs. ?g ps = Some fs ∧ (∀ f ∈ set fs. ∃ N fss. f =
FBranch N fss)
      by blast
    qed
  ultimately show ∃ fs. fso = Some fs ∧ (∀ f ∈ set fs. ∃ N fss. f = FBranch
N fss)
    using map-Some-P by auto
  qed
  then obtain fss where those (map ?g gs) = Some fss ∀ fs ∈ set fss. ∀ f ∈
set fs. ∃ N fss. f = FBranch N fss
    using those-Some-P by blast

```

```

    hence build-trees' bs ω k i I = Some (concat fss) ∀ f ∈ set (concat fss). ∃ N
fss. f = FBranch N fss
    using simp by auto
    thus ?thesis
    by blast
  qed
  qed
  done
  thus ?thesis
  by blast
qed

lemma wf-item-tree-build-trees':
  assumes (bs, ω, k, i, I) ∈ wf-trees-input
  assumes wf-bins  $\mathcal{G}$  ω bs
  assumes  $k < \text{length } bs$   $i < \text{length } (bs!k)$ 
  assumes build-trees' bs ω k i I = Some fs
  assumes  $f \in \text{set } fs$ 
  assumes  $t \in \text{set } (\text{trees } f)$ 
  shows wf-item-tree  $\mathcal{G}$  (item (bs!k!i)) t
proof -
  have wf-item-tree  $\mathcal{G}$  (item (bs!k!i)) t
  using assms
  apply (induction arbitrary: fs f t rule: build-trees'-induct[OF assms(1)])
  subgoal premises prems for bs ω k i I fs f t
  proof -
    define e where entry:  $e = bs!k!i$ 
    consider (Null) pointer  $e = \text{Null}$ 
    | (Pre)  $\exists \text{pre. pointer } e = \text{Pre pre}$ 
    | (PreRed)  $\exists p \text{ps. pointer } e = \text{PreRed } p \text{ps}$ 
    by (metis pointer.exhaust)
    thus ?thesis
  proof cases
    case Null
    hence simp: build-trees' bs ω k i I = Some ([FBranch (item-rule-head (item
e)) []])
    using entry by simp
    moreover have  $f = \text{FBranch } (\text{item-rule-head } (\text{item } e))$  []
    using build-forest'-simps(1) Null prems(8,9) entry by auto
    ultimately have simp:  $t = \text{Branch } (\text{item-rule-head } (\text{item } e))$  []
    using prems(10) by simp
    have sound-ptrs ω bs
    using prems(4) unfolding wf-trees-input-def by blast
    hence predicts (item e)
    using Null prems(6,7) nth-mem entry unfolding sound-ptrs-def sound-null-ptr-def
  by blast
    hence item-dot (item e) = 0
    unfolding predicts-def by blast
    thus ?thesis
  
```

```

    using simp entry by simp
next
case Pre
then obtain pre where pre: pointer e = Pre pre
  by blast
have sound: sound-ptrs  $\omega$  bs
  using prems(4) unfolding wf-trees-input-def by blast
have scans: scans  $\omega$  k (item (bs!(k-1)!pre)) (item e)
  using entry pre prems(6-7) <sound-ptrs  $\omega$  bs> unfolding sound-ptrs-def
sound-pre-ptr-def by simp
hence *:
  item-rule-head (item (bs!(k-1)!pre)) = item-rule-head (item e)
  item-rule-body (item (bs!(k-1)!pre)) = item-rule-body (item e)
  item-dot (item (bs!(k-1)!pre)) + 1 = item-dot (item e)
  next-symbol (item (bs!(k-1)!pre)) = Some ( $\omega$ !(k-1))
  unfolding scans-def inc-item-def by (simp-all add: item-rule-head-def
item-rule-body-def)
have wf: (bs,  $\omega$ , k-1, pre, {pre})  $\in$  wf-trees-input
  using entry pre prems(4) wf-trees-input-pre by blast
then obtain pres where pres: build-trees' bs  $\omega$  (k-1) pre {pre} = Some
pres
   $\forall f \in$  set pres.  $\exists N$  fss. f = FBranch N fss
  using build-trees'-termination wf by blast
let ?g =  $\lambda f$ . case f of FBranch N fss  $\Rightarrow$  Some (FBranch N (fss @ [[FLeaf
( $\omega$ !(k-1))]]) | -  $\Rightarrow$  None
have build-trees' bs  $\omega$  k i I = those (map ?g pres)
  using entry pre pres by simp
hence fs: Some fs = those (map ?g pres)
  using prems(8) by simp
then obtain f-pre N fss where Nfss: f = FBranch N (fss @ [[FLeaf
( $\omega$ !(k-1))]])
  f-pre = FBranch N fss f-pre  $\in$  set pres
  using those-map-FBranch-only fs pres(2) prems(9) by blast
define tss where tss: tss = map ( $\lambda fs$ . concat (map ( $\lambda f$ . trees f) fs)) fss
have trees (FBranch N (fss @ [[FLeaf ( $\omega$ !(k-1))]]) =
  map ( $\lambda ts$ . Branch N ts) [ ts @ [Leaf ( $\omega$ !(k-1))] . ts <- combinations tss ]
  by (subst tss, subst trees-append-single-singleton, simp)
moreover have t  $\in$  set (trees (FBranch N (fss @ [[FLeaf ( $\omega$ !(k-1))]]))
  using Nfss(1) prems(10) by blast
ultimately obtain ts where ts: t = Branch N (ts @ [Leaf ( $\omega$ !(k-1))])  $\wedge$ 
ts  $\in$  set (combinations tss)
  by auto
have sound-ptrs  $\omega$  bs
  using prems(4) unfolding wf-trees-input-def by blast
hence pre < length (bs!(k-1))
  using entry pre prems(6,7) unfolding sound-ptrs-def sound-pre-ptr-def
by (metis nth-mem)
moreover have k - 1 < length bs
  by (simp add: prems(6) less-imp-diff-less)

```

**moreover have**  $Branch\ N\ ts \in set\ (trees\ (FBranch\ N\ fss))$   
**using**  $ts\ tss$  **by** *simp*  
**ultimately have**  $IH: wf\text{-item}\text{-tree}\ \mathcal{G}\ (item\ (bs!(k-1)!pre))\ (Branch\ N\ ts)$   
**using**  $prems(1,2,4,5)$  **entry**  $pre\ Nfss(2,3)$  **wf**  $pres(1)$  **by** *blast*  
**have**  $map\ root\text{-tree}\ (ts\ @\ [Leaf\ (\omega!(k-1))]) = map\ root\text{-tree}\ ts\ @\ [\omega!(k-1)]$   
**by** *simp*  
**also have**  $\dots = take\ (item\text{-dot}\ (item\ (bs!(k-1)!pre)))\ (item\text{-rule}\text{-body}\ (item\ (bs!(k-1)!pre)))\ @\ [\omega!(k-1)]$   
**using**  $IH$  **by** *simp*  
**also have**  $\dots = take\ (item\text{-dot}\ (item\ (bs!(k-1)!pre)))\ (item\text{-rule}\text{-body}\ (item\ e))\ @\ [\omega!(k-1)]$   
**using**  $*(2)$  **by** *simp*  
**also have**  $\dots = take\ (item\text{-dot}\ (item\ e))\ (item\text{-rule}\text{-body}\ (item\ e))$   
**using**  $*(2-4)$  **by** (*auto simp: next-symbol-def is-complete-def split: if-splits; metis leI take-Suc-conv-app-nth*)  
**finally have**  $map\ root\text{-tree}\ (ts\ @\ [Leaf\ (\omega!(k-1))]) = take\ (item\text{-dot}\ (item\ e))\ (item\text{-rule}\text{-body}\ (item\ e))$  .  
**hence**  $wf\text{-item}\text{-tree}\ \mathcal{G}\ (item\ e)\ (Branch\ N\ (ts\ @\ [Leaf\ (\omega!(k-1))]))$   
**using**  $IH\ *(1)$  **by** *simp*  
**thus** *?thesis*  
**using**  $ts$  **entry** **by** *fastforce*  
**next**  
**case** *PreRed*  
**then obtain**  $p\ ps$  **where**  $prered: pointer\ e = PreRed\ p\ ps$   
**by** *blast*  
**define**  $ps'$  **where**  $ps': ps' = filter\ (\lambda(k',\ pre,\ red).\ red \notin I)\ (p\#\#ps)$   
**define**  $gs$  **where**  $gs: gs = group\text{-by}\ (\lambda(k',\ pre,\ red).\ (k',\ pre))\ (\lambda(k',\ pre,\ red).\ red)\ ps'$   
**let**  $?g = \lambda((k',\ pre),\ reds).$   
**do** {  
 $pres \leftarrow build\text{-trees}'\ bs\ \omega\ k'\ pre\ \{pre\};$   
 $rss \leftarrow those\ (map\ (\lambda red.\ build\text{-trees}'\ bs\ \omega\ k\ red\ (I \cup \{red\}))\ reds);$   
 $those\ (map\ (\lambda f.$   
 $\quad case\ f\ of$   
 $\quad\quad FBranch\ N\ fss \Rightarrow Some\ (FBranch\ N\ (fss\ @\ [concat\ rss]))$   
 $\quad\quad | - \Rightarrow None \text{ --- impossible case}$   
 $\quad )\ pres)$   
 $}$   
**have**  $simp: build\text{-trees}'\ bs\ \omega\ k\ i\ I = map\text{-option}\ concat\ (those\ (map\ ?g\ gs))$   
**using**  $entry\ prered\ ps'\ gs$  **by** (*subst build-trees'.simps*) (*auto simp del: filter.simps*)  
**have**  $\forall fso \in set\ (map\ ?g\ gs).\ \exists fs.\ fso = Some\ fs \wedge (\forall f \in set\ fs.\ \forall t \in set\ (trees\ f).\ wf\text{-item}\text{-tree}\ \mathcal{G}\ (item\ (bs!k!i))\ t)$   
**proof** *standard*  
**fix**  $fso$   
**assume**  $fso \in set\ (map\ ?g\ gs)$   
**moreover have**  $\forall ps \in set\ gs.\ \exists fs.\ ?g\ ps = Some\ fs \wedge (\forall f \in set\ fs.\ \forall t \in set\ (trees\ f).\ wf\text{-item}\text{-tree}\ \mathcal{G}\ (item\ (bs!k!i))\ t)$   
**proof** *standard*

```

fix  $g$ 
assume  $g \in \text{set } gs$ 
then obtain  $k' \text{ pre } reds$  where  $g: ((k', \text{pre}), reds) \in \text{set } gs ((k', \text{pre}),$ 
 $reds) = g$ 
by (metis surj-pair)
moreover have  $wf\text{-pre}: (bs, \omega, k', \text{pre}, \{\text{pre}\}) \in wf\text{-trees-input}$ 
using  $wf\text{-trees-input-prered-pre}[OF \text{prems}(4) \text{ entry prered } ps' \text{ } gs \text{ } g(1)]$ 
by blast
ultimately obtain  $pres$  where  $pres: \text{build-trees}' \text{ } bs \ \omega \ k' \ \text{pre} \ \{\text{pre}\} =$ 
 $\text{Some } pres$ 
 $\forall f\text{-pre} \in \text{set } pres. \exists N \text{ } fss. f\text{-pre} = FBranch \ N \ fss$ 
using  $\text{build-trees}'\text{-termination}$  by blast
have  $wf\text{-reds}: \forall red \in \text{set } reds. (bs, \omega, k, red, I \cup \{red\}) \in wf\text{-trees-input}$ 
using  $wf\text{-trees-input-prered-red}[OF \text{prems}(4) \text{ entry prered } ps' \text{ } gs \text{ } g(1)]$ 
by blast
hence  $\forall f \in \text{set} (\text{map } (\lambda red. \text{build-trees}' \text{ } bs \ \omega \ k \ red \ (I \cup \{red\})) \text{ } reds).$ 
 $\exists a. f = \text{Some } a$ 
using  $\text{build-trees}'\text{-termination}$  by fastforce
then obtain  $rss$  where  $rss: \text{Some } rss = \text{those} (\text{map } (\lambda red. \text{build-trees}'$ 
 $bs \ \omega \ k \ red \ (I \cup \{red\})) \text{ } reds)$ 
using  $\text{those-Some}$  by (metis (full-types))
let  $?h = \lambda f. \text{case } f \text{ of } FBranch \ N \ fss \Rightarrow \text{Some} (FBranch \ N \ (fss \ @ \ [\text{concat}$ 
 $rss])) \mid - \Rightarrow \text{None}$ 
have  $\forall x \in \text{set} (\text{map } ?h \text{ } pres). \exists a. x = \text{Some } a$ 
using  $pres(2)$  by auto
then obtain  $fs$  where  $fs: \text{Some } fs = \text{those} (\text{map } ?h \text{ } pres)$ 
using  $\text{those-Some}$  by (smt (verit, best))
have  $\forall f \in \text{set } fs. \forall t \in \text{set} (\text{trees } f). wf\text{-item-tree } \mathcal{G} (\text{item } (bs!k!i)) \ t$ 
proof (standard, standard)
fix  $f \ t$ 
assume  $ft: f \in \text{set } fs \ t \in \text{set} (\text{trees } f)$ 
hence  $\exists x. x \in \text{set } pres \wedge \text{Some } f \in \text{set} (\text{map } ?h \text{ } pres)$ 
using  $\text{those-map-exists}[OF \text{ } fs \text{ } ft(1)]$  by blast
then obtain  $f\text{-pre} \ N \ fss$  where  $f\text{-pre}: f\text{-pre} \in \text{set } pres \ f\text{-pre} = FBranch$ 
 $N \ fss$ 
 $f = FBranch \ N \ (fss \ @ \ [\text{concat } rss])$ 
using  $pres(2)$  by force
define  $tss$  where  $tss: tss = \text{map} (\lambda fs. \text{concat} (\text{map} (\lambda f. \text{trees } f) \ fs)) \ fss$ 
have  $\text{trees} (FBranch \ N \ (fss \ @ \ [\text{concat } rss])) =$ 
 $\text{map} (\lambda ts. \text{Branch } N \ ts) [\text{ts0} \ @ \ \text{ts1} \ . \ \text{ts0} \ <- \ \text{combinations } tss,$ 
 $\text{ts1} \ <- \ \text{combinations} [\text{concat} (\text{map} (\lambda f. \text{trees } f) (\text{concat } rss))] ] ]$ 
by (subst tss, subst trees-append-singleton, simp)
moreover have  $t \in \text{set} (\text{trees} (FBranch \ N \ (fss \ @ \ [\text{concat } rss])))$ 
using  $ft(2) \ f\text{-pre}(3)$  by blast
ultimately obtain  $ts0 \ ts1$  where  $tsx: t = \text{Branch } N \ (\text{ts0} \ @ \ [\text{ts1}]) \ \text{ts0}$ 
 $\in \text{set} (\text{combinations } tss)$ 
 $\text{ts1} \in \text{set} (\text{concat} (\text{map} (\lambda f. \text{trees } f) (\text{concat } rss)))$ 
by fastforce
then obtain  $f\text{-red}$  where  $f\text{-red}: f\text{-red} \in \text{set} (\text{concat } rss) \ \text{ts1} \in \text{set} (\text{trees}$ 

```

*f-red*)  
**by auto**  
**obtain** *fs-red red* **where** *red*: *Some fs-red = build-trees' bs ω k red (I*  
 $\cup \{red\}$ )  
*f-red*  $\in$  *set fs-red red*  $\in$  *set reds*  
**using** *f-red(1) rss those-map-Some-concat-exists* **by fast**  
**then obtain** *N-red fss-red* **where** *f-red = FBranch N-red fss-red*  
**using** *build-trees'-termination wf-reds* **by** (*metis option.inject*)  
**then obtain** *ts* **where** *ts: Branch N-red ts = ts1*  
**using** *tsx(3) f-red* **by auto**  
**have**  $(k', pre, red) \in$  *set ps'*  
**using** *group-by-forall-v-exists-k*  $\langle((k', pre), reds) \in$  *set gs* $\rangle$  *gs*  $\langle red \in$   
*set reds* $\rangle$  **by fast**  
**hence** *mem: (k', pre, red) ∈ set (p#ps)*  
**using** *ps'* **by** (*metis filter-set member-filter*)  
**have** *sound-ptrs ω bs*  
**using** *prems(4) wf-trees-input-def* **by fastforce**  
**have** *bounds: k' < k pre < length (bs!k') red < length (bs!k)*  
**using** *prered entry prems(6,7) <sound-ptrs ω bs*  
**unfolding** *sound-ptrs-def sound-prered-ptr-def* **by** (*meson mem*  
*nth-mem*) $\rangle$   
**have** *completes: completes k (item (bs!k!pre)) (item e) (item (bs!k!red))*  
**using** *prered entry prems(6,7) <sound-ptrs ω bs*  
**unfolding** *sound-ptrs-def sound-prered-ptr-def* **by** (*metis mem*  
*nth-mem*)  
**have** *transform:*  
*item-rule-head (item (bs!k!pre)) = item-rule-head (item e)*  
*item-rule-body (item (bs!k!pre)) = item-rule-body (item e)*  
*item-dot (item (bs!k!pre)) + 1 = item-dot (item e)*  
*next-symbol (item (bs!k!pre)) = Some (item-rule-head (item (bs!k!red)))*  
*is-complete (item (bs!k!red))*  
**using** *completes unfolding completes-def inc-item-def*  
**by** (*auto simp: item-rule-head-def item-rule-body-def is-complete-def*)  
**have** *Branch N ts0 ∈ set (trees (FBranch N fss))*  
**using** *tss tsx(2)* **by simp**  
**hence** *IH-pre: wf-item-tree G (item (bs!k!pre)) (Branch N ts0)*  
**using** *prems(2)[OF entry prered ps' gs <((k', pre), reds) ∈ set gs*  
*wf-pre prems(5)]*  
*pres(1) f-pre f-pre(3) bounds(1,2) prems(6)* **by fastforce**  
**have** *IH-r: wf-item-tree G (item (bs!k!red)) (Branch N-red ts)*  
**using** *prems(3)[OF entry prered ps' gs <((k', pre), reds) ∈ set gs* $\rangle$   $\langle red$   
 $\in$  *set reds* $\rangle$   $-$  *prems(5)]*  
*bounds(3) f-red(2) red ts wf-reds prems(6)* **by metis**  
**have** *map root-tree (ts0 @ [Branch N-red ts]) = map root-tree ts0 @*  
 $[$ *root-tree (Branch N-red ts)* $]$   
**by simp**  
**also have**  $\dots =$  *take (item-dot (item (bs!k!pre))) (item-rule-body (item*  
 $(bs!k!pre))) @ [$ *root-tree (Branch N-red ts)* $]$   
**using** *IH-pre* **by simp**

**also have** ... = take (item-dot (item (bs!k!pre))) (item-rule-body (item (bs!k!pre))) @ [item-rule-head (item (bs!k!red))]

**using** IH-r by simp

**also have** ... = take (item-dot (item e)) (item-rule-body (item e))

**using transform by** (auto simp: next-symbol-def is-complete-def split: if-splits; metis leI take-Suc-conv-app-nth)

**finally have** roots: map root-tree (ts0 @ [Branch N-red ts]) = take (item-dot (item e)) (item-rule-body (item e)) .

**have** wf-item  $\mathcal{G}$   $\omega$  (item (bs!k!red))

**using** prems(5,6) bounds(3) **unfolding** wf-bins-def wf-bin-def wf-bin-items-def **by** (auto simp: items-def)

**moreover have** N-red = item-rule-head (item (bs!k!red))

**using** IH-r by fastforce

**moreover have** map root-tree ts = item-rule-body (item (bs!k!red))

**using** IH-r transform(5) **by** (auto simp: is-complete-def)

**ultimately have**  $\exists r \in \text{set } (\mathfrak{R} \mathcal{G}). N\text{-red} = \text{rule-head } r \wedge \text{map root-tree } ts = \text{rule-body } r$

**unfolding** wf-item-def item-rule-body-def item-rule-head-def **by** blast

**hence** wf-rule-tree  $\mathcal{G}$  (Branch N-red ts)

**using** IH-r by simp

**hence** wf-item-tree  $\mathcal{G}$  (item (bs!k!i)) (Branch N (ts0 @ [Branch N-red ts]))

**using** transform(1) roots IH-pre entry **by** simp

**thus** wf-item-tree  $\mathcal{G}$  (item (bs!k!i)) t

**using** tsx(1) red ts **by** blast

**qed**

**moreover have** ?g g = Some fs

**using** fs pres rss g **by** (auto, metis bind.bind-lunit)

**ultimately show**  $\exists fs. ?g g = \text{Some } fs \wedge (\forall f \in \text{set } fs. \forall t \in \text{set } (\text{trees } f). \text{wf-item-tree } \mathcal{G} (\text{item } (bs!k!i)) t)$

**by** blast

**qed**

**ultimately show**  $\exists fs. fso = \text{Some } fs \wedge (\forall f \in \text{set } fs. \forall t \in \text{set } (\text{trees } f). \text{wf-item-tree } \mathcal{G} (\text{item } (bs!k!i)) t)$

**using** map-Some-P **by** auto

**qed**

**then obtain** fss **where** those (map ?g gs) = Some fss  $\forall fs \in \text{set } fss. \forall f \in \text{set } fs. \forall t \in \text{set } (\text{trees } f). \text{wf-item-tree } \mathcal{G} (\text{item } (bs!k!i)) t$

**using** those-Some-P **by** blast

**hence** build-trees' bs  $\omega$  k i I = Some (concat fss)  $\forall f \in \text{set } (\text{concat } fss). \forall t \in \text{set } (\text{trees } f). \text{wf-item-tree } \mathcal{G} (\text{item } (bs!k!i)) t$

**using** simp **by** auto

**thus** ?thesis

**using** prems(8–10) **by** auto

**qed**

**qed**

**done**

**thus** ?thesis

**by** blast



qed

**lemma** *wf-yield-tree-build-trees'*:

**assumes**  $(bs, \omega, k, i, I) \in \text{wf-trees-input}$

**assumes**  $\text{wf-bins } \mathcal{G} \ \omega \ bs$

**assumes**  $k < \text{length } bs \ i < \text{length } (bs!k) \ k \leq \text{length } \omega$

**assumes**  $\text{build-trees}' \ bs \ \omega \ k \ i \ I = \text{Some } fs$

**assumes**  $f \in \text{set } fs$

**assumes**  $t \in \text{set } (\text{trees } f)$

**shows**  $\text{wf-yield-tree } \omega \ (\text{item } (bs!k!i)) \ t$

**proof** –

**have**  $\text{wf-yield-tree } \omega \ (\text{item } (bs!k!i)) \ t$

**using** *assms*

**apply** (*induction arbitrary: fs f t rule: build-trees'-induct[OF assms(1)]*)

**subgoal premises** *prems* **for**  $bs \ \omega \ k \ i \ I \ fs \ f \ t$

**proof** –

**define**  $e$  **where** *entry: e = bs!k!i*

**consider** (*Null*) *pointer e = Null*

| (*Pre*)  $\exists \text{pre. pointer } e = \text{Pre } \text{pre}$

| (*PreRed*)  $\exists p \ ps. \text{pointer } e = \text{PreRed } p \ ps$

**by** (*metis pointer.exhaust*)

**thus** *?thesis*

**proof** *cases*

**case** *Null*

**hence** *simp: build-trees' bs ω k i I = Some ([FBranch (item-rule-head (item e)) []])*

**using** *entry by simp*

**moreover** **have**  $f = \text{FBranch } (\text{item-rule-head } (\text{item } e)) \ []$

**using** *build-forest'-simps(1) Null prems(9,10) entry by auto*

**ultimately** **have** *simp: t = Branch (item-rule-head (item e)) []*

**using** *prems(11) by simp*

**have** *sound-ptrs ω bs*

**using** *prems(4) unfolding wf-trees-input-def by blast*

**hence** *predicts (item e)*

**using** *Null prems(6,7) nth-mem entry unfolding sound-ptrs-def sound-null-ptr-def*

**by** *blast*

**thus** *?thesis*

**unfolding** *wf-yield-tree-def predicts-def using simp entry by (auto simp: slice-empty)*

**next**

**case** *Pre*

**then** **obtain** *pre* **where** *pre: pointer e = Pre pre*

**by** *blast*

**have** *sound: sound-ptrs ω bs*

**using** *prems(4) unfolding wf-trees-input-def by blast*

**hence** *bounds: k > 0 pre < length (bs!(k-1))*

**using** *entry pre prems(6,7) unfolding sound-ptrs-def sound-pre-ptr-def*

**by** (*metis nth-mem*)+

**have** *scans: scans ω k (item (bs!(k-1)!pre)) (item e)*

**using** *entry pre prems(6-7) ⟨sound-ptrs ω bs⟩ unfolding sound-ptrs-def sound-pre-ptr-def by simp*  
**have** *wf: (bs, ω, k-1, pre, {pre}) ∈ wf-trees-input*  
**using** *entry pre prems(4) wf-trees-input-pre by blast*  
**then obtain** *pres where pres: build-trees' bs ω (k-1) pre {pre} = Some pres*  
 $\forall f \in \text{set } pres. \exists N \text{ fss. } f = \text{FBranch } N \text{ fss}$   
**using** *build-trees'-termination wf by blast*  
**let** *?g = λf. case f of FBranch N fss ⇒ Some (FBranch N (fss @ [[FLeaf (ω!(k-1))]])) | - ⇒ None*  
**have** *build-trees' bs ω k i I = those (map ?g pres)*  
**using** *entry pre pres by simp*  
**hence** *fs: Some fs = those (map ?g pres)*  
**using** *prems(9) by simp*  
**then obtain** *f-pre N fss where Nfss: f = FBranch N (fss @ [[FLeaf (ω!(k-1))]])*  
 $f\text{-pre} = \text{FBranch } N \text{ fss } f\text{-pre} \in \text{set } pres$   
**using** *those-map-FBranch-only fs pres(2) prems(10) by blast*  
**define** *tss where tss: tss = map (λfs. concat (map (λf. trees f) fs)) fss*  
**have** *trees (FBranch N (fss @ [[FLeaf (ω!(k-1))]]) =*  
 $\text{map } (\lambda ts. \text{Branch } N \text{ ts}) [ts @ [\text{Leaf } (\omega!(k-1))]] . ts <- \text{combinations } tss ]$   
**by** *(subst tss, subst trees-append-single-singleton, simp)*  
**moreover have** *t ∈ set (trees (FBranch N (fss @ [[FLeaf (ω!(k-1))]]))*  
**using** *Nfss(1) prems(11) by blast*  
**ultimately obtain** *ts where ts: t = Branch N (ts @ [Leaf (ω!(k-1))]) ∧*  
 $ts \in \text{set } (\text{combinations } tss)$   
**by auto**  
**have** *sound-ptrs ω bs*  
**using** *prems(4) unfolding wf-trees-input-def by blast*  
**hence** *pre < length (bs!(k-1))*  
**using** *entry pre prems(6,7) unfolding sound-ptrs-def sound-pre-ptr-def*  
**by** *(metis nth-mem)*  
**moreover have** *k-1 < length bs*  
**by** *(simp add: prems(6) less-imp-diff-less)*  
**moreover have** *Branch N ts ∈ set (trees (FBranch N fss))*  
**using** *ts tss by simp*  
**ultimately have** *IH: wf-*yield-tree* ω (item (bs!(k-1)!pre)) (Branch N ts)*  
**using** *prems(1,2,4,5,8) entry pre Nfss(2,3) wf pres(1) by simp*  
**have transform:**  
 $\text{item-origin } (\text{item } (bs!(k-1)!pre)) \leq \text{item-end } (\text{item } (bs!(k-1)!pre))$   
 $\text{item-end } (\text{item } (bs!(k-1)!pre)) = k-1$   
 $\text{item-end } (\text{item } e) = k$   
**using** *entry prems(5,6,7) bounds unfolding wf-bins-def wf-bin-def wf-bin-items-def items-def wf-item-def*  
**by** *(auto, meson less-imp-diff-less nth-mem)*  
**have** *yield-tree t = concat (map yield-tree (ts @ [Leaf (ω!(k-1))]))*  
**by** *(simp add: ts)*  
**also have**  $\dots = \text{concat } (\text{map } \text{yield-tree } ts) @ [\omega!(k-1)]$   
**by** *simp*

```

    also have ... = slice (item-origin (item (bs!(k-1)!pre))) (item-end (item
(bs!(k-1)!pre))) ω @ [ω!(k-1)]
    using IH by (simp add: wf-yield-tree-def)
    also have ... = slice (item-origin (item (bs!(k-1)!pre))) (item-end (item
(bs!(k-1)!pre)) + 1) ω
    using slice-append-nth transform ⟨k > 0⟩ prems(8)
    by (metis diff-less le-eq-less-or-eq less-imp-diff-less less-numeral-extra(1))
    also have ... = slice (item-origin (item e)) (item-end (item (bs!(k-1)!pre))
+ 1) ω
    using scans unfolding scans-def inc-item-def by simp
    also have ... = slice (item-origin (item e)) k ω
    using scans transform unfolding scans-def by (metis Suc-diff-1 Suc-eq-plus1
bounds(1))
    also have ... = slice (item-origin (item e)) (item-end (item e)) ω
    using transform by auto
    finally show ?thesis
    using wf-yield-tree-def entry by blast
next
case PreRed
then obtain p ps where prered: pointer e = PreRed p ps
by blast
define ps' where ps': ps' = filter (λ(k', pre, red). red ∉ I) (p##ps)
define gs where gs: gs = group-by (λ(k', pre, red). (k', pre)) (λ(k', pre,
red). red) ps'
let ?g = λ((k', pre), reds).
do {
pres ← build-trees' bs ω k' pre {pre};
rss ← those (map (λred. build-trees' bs ω k red (I ∪ {red})) reds);
those (map (λf.
case f of
FBranch N fss ⇒ Some (FBranch N (fss @ [concat rss]))
| - ⇒ None — impossible case
) pres)
}
have simp: build-trees' bs ω k i I = map-option concat (those (map ?g gs))
using entry prered ps' gs by (subst build-trees'.simps) (auto simp del:
filter.simps)
have ∀ fso ∈ set (map ?g gs). ∃ fs. fso = Some fs ∧ (∀ f ∈ set fs. ∀ t ∈ set
(trees f). wf-yield-tree ω (item (bs!k!i)) t)
proof standard
fix fso
assume fso ∈ set (map ?g gs)
moreover have ∀ ps ∈ set gs. ∃ fs. ?g ps = Some fs ∧ (∀ f ∈ set fs. ∀ t ∈
set (trees f). wf-yield-tree ω (item (bs!k!i)) t)
proof standard
fix g
assume g ∈ set gs
then obtain k' pre reds where g: ((k', pre), reds) ∈ set gs ((k', pre),
reds) = g

```

by (metis surj-pair)  
 moreover have wf-pre: (bs,  $\omega$ , k', pre, {pre})  $\in$  wf-trees-input  
 using wf-trees-input-prered-pre[OF prems(4) entry prered ps' gs g(1)]  
 by blast  
 ultimately obtain pres where pres: build-trees' bs  $\omega$  k' pre {pre} =  
 Some pres  
 $\forall$  f-pre  $\in$  set pres.  $\exists$  N fss. f-pre = FBranch N fss  
 using build-trees'-termination by blast  
 have wf-reds:  $\forall$  red  $\in$  set reds. (bs,  $\omega$ , k, red, I  $\cup$  {red})  $\in$  wf-trees-input  
 using wf-trees-input-prered-red[OF prems(4) entry prered ps' gs g(1)]  
 by blast  
 hence  $\forall$  f  $\in$  set (map ( $\lambda$ red. build-trees' bs  $\omega$  k red (I  $\cup$  {red}))) reds).  
 $\exists$  a. f = Some a  
 using build-trees'-termination by fastforce  
 then obtain rss where rss: Some rss = those (map ( $\lambda$ red. build-trees'  
 bs  $\omega$  k red (I  $\cup$  {red}))) reds)  
 using those-Some by (metis (full-types))  
 let ?h =  $\lambda$ f. case f of FBranch N fss  $\Rightarrow$  Some (FBranch N (fss @ [concat  
 rss])) | -  $\Rightarrow$  None  
 have  $\forall$  x  $\in$  set (map ?h pres).  $\exists$  a. x = Some a  
 using pres(2) by auto  
 then obtain fs where fs: Some fs = those (map ?h pres)  
 using those-Some by (smt (verit, best))  
 have  $\forall$  f  $\in$  set fs.  $\forall$  t  $\in$  set (trees f). wf-yield-tree  $\omega$  (item (bs!k!i)) t  
 proof (standard, standard)  
 fix f t  
 assume ft: f  $\in$  set fs t  $\in$  set (trees f)  
 hence  $\exists$  x. x  $\in$  set pres  $\wedge$  Some f  $\in$  set (map ?h pres)  
 using those-map-exists[OF fs ft(1)] by blast  
 then obtain f-pre N fss where f-pre: f-pre  $\in$  set pres f-pre = FBranch  
 N fss  
 f = FBranch N (fss @ [concat rss])  
 using pres(2) by force  
 define tss where tss: tss = map ( $\lambda$ fs. concat (map ( $\lambda$ f. trees f) fs)) fss  
 have trees (FBranch N (fss @ [concat rss])) =  
 map ( $\lambda$ ts. Branch N ts) [ ts0 @ ts1 . ts0  $\leftarrow$  combinations tss,  
 ts1  $\leftarrow$  combinations [concat (map ( $\lambda$ f. trees f) (concat rss)) ] ]  
 by (subst tss, subst trees-append-singleton, simp)  
 moreover have t  $\in$  set (trees (FBranch N (fss @ [concat rss])))  
 using ft(2) f-pre(3) by blast  
 ultimately obtain ts0 ts1 where tsx: t = Branch N (ts0 @ [ts1]) ts0  
 $\in$  set (combinations tss)  
 ts1  $\in$  set (concat (map ( $\lambda$ f. trees f) (concat rss)))  
 by fastforce  
 then obtain f-red where f-red: f-red  $\in$  set (concat rss) ts1  $\in$  set (trees  
 f-red)  
 by auto  
 obtain fs-red red where red: Some fs-red = build-trees' bs  $\omega$  k red (I  
 $\cup$  {red})

$f\text{-red} \in \text{set } fs\text{-red}$   $red \in \text{set } reds$   
**using**  $f\text{-red}(1)$   $rss$   $those\text{-map}\text{-Some}\text{-concat}\text{-exists}$  **by**  $fast$   
**then obtain**  $N\text{-red}$   $fss\text{-red}$  **where**  $f\text{-red} = FBranch$   $N\text{-red}$   $fss\text{-red}$   
**using**  $build\text{-trees}'\text{-termination}$   $wf\text{-reds}$  **by**  $(metis\ option.\text{inject})$   
**then obtain**  $ts$  **where**  $ts: Branch$   $N\text{-red}$   $ts = ts1$   
**using**  $tsx(3)$   $f\text{-red}$  **by**  $auto$   
**have**  $(k', pre, red) \in \text{set } ps'$   
**using**  $group\text{-by}\text{-forall}\text{-v}\text{-exists}\text{-k}$   $\langle((k', pre), reds) \in \text{set } gs\rangle$   $gs$   $\langle red \in$   
 $\text{set } reds\rangle$  **by**  $fast$   
**hence**  $mem: (k', pre, red) \in \text{set } (p\#\text{ps})$   
**using**  $ps'$  **by**  $(metis\ filter\text{-set}\ member\text{-filter})$   
**have**  $sound\text{-ptrs} \omega bs$   
**using**  $prems(4)$   $wf\text{-trees}\text{-input}\text{-def}$  **by**  $fastforce$   
**have**  $bounds: k' < k$   $pre < length$   $(bs!k!pre)$   $red < length$   $(bs!k)$   
**using**  $prered$   $entry$   $prems(6,7)$   $\langle sound\text{-ptrs} \omega bs\rangle$   
**unfolding**  $sound\text{-ptrs}\text{-def}$   $sound\text{-prered}\text{-ptr}\text{-def}$  **by**  $(meson\ mem$   
 $nth\text{-mem})+$   
**have**  $completes: completes$   $k$   $(item$   $(bs!k!pre))$   $(item$   $e)$   $(item$   $(bs!k!red))$   
**using**  $prered$   $entry$   $prems(6,7)$   $\langle sound\text{-ptrs} \omega bs\rangle$   
**unfolding**  $sound\text{-ptrs}\text{-def}$   $sound\text{-prered}\text{-ptr}\text{-def}$  **by**  $(metis\ mem$   
 $nth\text{-mem})$   
**have**  $transform:$   
 $item\text{-rule}\text{-head}$   $(item$   $(bs!k!pre)) = item\text{-rule}\text{-head}$   $(item$   $e)$   
 $item\text{-rule}\text{-body}$   $(item$   $(bs!k!pre)) = item\text{-rule}\text{-body}$   $(item$   $e)$   
 $item\text{-dot}$   $(item$   $(bs!k!pre)) + 1 = item\text{-dot}$   $(item$   $e)$   
 $next\text{-symbol}$   $(item$   $(bs!k!pre)) = Some$   $(item\text{-rule}\text{-head}$   $(item$   $(bs!k!red)))$   
 $is\text{-complete}$   $(item$   $(bs!k!red))$   
**using**  $completes$  **unfolding**  $completes\text{-def}$   $inc\text{-item}\text{-def}$   
**by**  $(auto\ simp: item\text{-rule}\text{-head}\text{-def}$   $item\text{-rule}\text{-body}\text{-def}$   $is\text{-complete}\text{-def})$   
**have**  $Branch$   $N$   $ts0 \in \text{set } (trees$   $(FBranch$   $N$   $fss))$   
**using**  $tss$   $tsx(2)$  **by**  $simp$   
**hence**  $IH\text{-pre}: wf\text{-yield}\text{-tree} \omega (item$   $(bs!k!pre))$   $(Branch$   $N$   $ts0)$   
**using**  $prems(2)$   $[OF$   $entry$   $prered$   $ps'$   $gs$   $\langle((k', pre), reds) \in \text{set } gs\rangle$   
 $wf\text{-pre}$   $prems(5)]$   
 $pres(1)$   $f\text{-pre}$   $f\text{-pre}(3)$   $bounds(1,2)$   $prems(6,8)$  **by**  $simp$   
**have**  $IH\text{-r}: wf\text{-yield}\text{-tree} \omega (item$   $(bs!k!red))$   $(Branch$   $N\text{-red}$   $ts)$   
**using**  $prems(3)$   $[OF$   $entry$   $prered$   $ps'$   $gs$   $\langle((k', pre), reds) \in \text{set } gs\rangle$   $\langle red$   
 $\in \text{set } reds\rangle - prems(5)]$   
 $bounds(3)$   $f\text{-red}(2)$   $red$   $ts$   $wf\text{-reds}$   $prems(6,8)$  **by**  $metis$   
**have**  $wf1:$   
 $item\text{-origin}$   $(item$   $(bs!k!pre)) \leq item\text{-end}$   $(item$   $(bs!k!pre))$   
 $item\text{-origin}$   $(item$   $(bs!k!red)) \leq item\text{-end}$   $(item$   $(bs!k!red))$   
**using**  $prems(5-7)$   $bounds$  **unfolding**  $wf\text{-bins}\text{-def}$   $wf\text{-bin}\text{-def}$   
 $wf\text{-bin}\text{-items}\text{-def}$   $items\text{-def}$   $wf\text{-item}\text{-def}$   
**by**  $(metis\ length\text{-map}$   $nth\text{-map}$   $nth\text{-mem}$   $order\text{-less}\text{-trans})+$   
**have**  $wf2:$   
 $item\text{-end}$   $(item$   $(bs!k!red)) = k$   
 $item\text{-end}$   $(item$   $(bs!k!i)) = k$   
**using**  $prems(5-7)$   $bounds$  **unfolding**  $wf\text{-bins}\text{-def}$   $wf\text{-bin}\text{-def}$

*wf-bin-items-def items-def* **by** *simp-all*  
**have** *yield-tree*  $t = \text{concat} (\text{map } \text{yield-tree} (ts0 \text{ @ } [\text{Branch } N\text{-red } ts]))$   
**by** (*simp add: ts tsx(1)*)  
**also have**  $\dots = \text{concat} (\text{map } \text{yield-tree } ts0) \text{ @ } \text{yield-tree} (\text{Branch } N\text{-red } ts)$   
**by** *simp*  
**also have**  $\dots = \text{slice} (\text{item-origin} (\text{item } (bs!k!pre))) (\text{item-end} (\text{item } (bs!k!pre))) \omega \text{ @}$   
 $\text{slice} (\text{item-origin} (\text{item } (bs!k!red))) (\text{item-end} (\text{item } (bs!k!red))) \omega$   
**using** *IH-pre IH-r* **by** (*simp add: wf-yield-tree-def*)  
**also have**  $\dots = \text{slice} (\text{item-origin} (\text{item } (bs!k!pre))) (\text{item-end} (\text{item } (bs!k!red))) \omega$   
**using** *slice-concat wf1 completes-def completes* **by** (*metis (no-types, lifting)*)  
**also have**  $\dots = \text{slice} (\text{item-origin} (\text{item } e)) (\text{item-end} (\text{item } (bs!k!red))) \omega$   
**using** *completes unfolding completes-def inc-item-def* **by** *simp*  
**also have**  $\dots = \text{slice} (\text{item-origin} (\text{item } e)) (\text{item-end} (\text{item } e)) \omega$   
**using** *wf2 entry by presburger*  
**finally show** *wf-yield-tree*  $\omega (\text{item } (bs!k!i)) t$   
**using** *wf-yield-tree-def entry* **by** *blast*  
**qed**  
**moreover have**  $?g \ g = \text{Some } fs$   
**using** *fs pres rss g* **by** (*auto, metis bind.bind-lunit*)  
**ultimately show**  $\exists fs. ?g \ g = \text{Some } fs \wedge (\forall f \in \text{set } fs. \forall t \in \text{set } (\text{trees } f)). \text{wf-yield-tree } \omega (\text{item } (bs!k!i)) t$   
**by** *blast*  
**qed**  
**ultimately show**  $\exists fs. fso = \text{Some } fs \wedge (\forall f \in \text{set } fs. \forall t \in \text{set } (\text{trees } f)). \text{wf-yield-tree } \omega (\text{item } (bs!k!i)) t$   
**using** *map-Some-P* **by** *auto*  
**qed**  
**then obtain** *fss where*  $\text{those } (\text{map } ?g \ gs) = \text{Some } fss \ \forall fs \in \text{set } fss. \forall f \in \text{set } fs. \forall t \in \text{set } (\text{trees } f). \text{wf-yield-tree } \omega (\text{item } (bs!k!i)) t$   
**using** *those-Some-P* **by** *blast*  
**hence** *build-trees'*  $bs \ \omega \ k \ i \ I = \text{Some } (\text{concat } fss) \ \forall f \in \text{set } (\text{concat } fss). \forall t \in \text{set } (\text{trees } f). \text{wf-yield-tree } \omega (\text{item } (bs!k!i)) t$   
**using** *simp* **by** *auto*  
**thus** *?thesis*  
**using** *prems(9–11)* **by** *auto*  
**qed**  
**qed**  
**done**  
**thus** *?thesis*  
**using** *assms(2)* **by** *blast*  
**qed**

**theorem** *wf-rule-root-yield-tree-build-trees:*

**assumes** *wf-bins*  $\mathcal{G} \ \omega \ bs \ \text{sound-ptrs } \omega \ bs \ \text{length } bs = \text{length } \omega + 1$

**assumes**  $\text{build-trees } \mathcal{G} \ \omega \ bs = \text{Some } fs \ f \in \text{set } fs \ t \in \text{set } (\text{trees } f)$   
**shows**  $\text{wf-rule-tree } \mathcal{G} \ t \wedge \text{root-tree } t = \mathfrak{S} \ \mathcal{G} \wedge \text{yield-tree } t = \omega$   
**proof** –  
**let**  $?k = \text{length } bs - 1$   
**define**  $\text{finished}$  **where**  $\text{finished-def}: \text{finished} = \text{filter-with-index } (\text{is-finished } \mathcal{G} \ \omega)$   
 $(\text{items } (bs! ?k))$   
**have**  $\#:$   $\text{Some } fs = \text{map-option concat } (\text{those } (\text{map } (\lambda(-, i). \text{build-trees}' \ bs \ \omega \ ?k \ i \ \{i\}) \text{finished}))$   
**using**  $\text{assms}(4)$   $\text{build-trees-def finished-def}$  **by**  $(\text{metis } (\text{full-types}))$   
**then obtain**  $fss \ fs'$  **where**  $fss:$   $\text{Some } fss = \text{those } (\text{map } (\lambda(-, i). \text{build-trees}' \ bs \ \omega \ ?k \ i \ \{i\}) \text{finished})$   
 $fs' \in \text{set } fss \ f \in \text{set } fs'$   
**using**  $\text{map-option-concat-those-map-exists assms}(5)$  **by**  $\text{fastforce}$   
**then obtain**  $x \ i$  **where**  $*$ :  $(x, i) \in \text{set } \text{finished}$   $\text{Some } fs' = \text{build-trees}' \ bs \ \omega$   
 $(\text{length } bs - 1) \ i \ \{i\}$   
**using**  $\text{those-map-exists}[OF \ fss(1,2)]$  **by**  $\text{auto}$   
**have**  $k:$   $?k < \text{length } bs \ ?k \leq \text{length } \omega$   
**using**  $\text{assms}(3)$  **by**  $\text{simp-all}$   
**have**  $i:$   $i < \text{length } (bs! ?k)$   
**using**  $\text{index-filter-with-index-lt-length} * \text{items-def finished-def}$  **by**  $(\text{metis } (\text{no-types}, \text{opaque-lifting}) \text{length-map})$   
**have**  $x:$   $x = \text{item } (bs! ?k! i)$   
**using**  $*$   $i$   $\text{filter-with-index-nth items-def nth-map finished-def assms}(3)$  **by**  $\text{metis}$   
**have**  $\text{finished}: \text{is-finished } \mathcal{G} \ \omega \ x$   
**using**  $*$   $\text{filter-with-index-P finished-def}$  **by**  $\text{metis}$   
**have**  $\{i\} \subseteq \{0..<\text{length } (bs! ?k)\}$   
**using**  $\text{atLeastLessThan-iff } i$  **by**  $\text{blast}$   
**hence**  $\text{wf}: (bs, \omega, ?k, i, \{i\}) \in \text{wf-trees-input}$   
**unfolding**  $\text{wf-trees-input-def}$  **using**  $\text{assms}(2)$   $i \ k(1)$  **by**  $\text{simp}$   
**hence**  $\text{wf-item-tree}: \text{wf-item-tree } \mathcal{G} \ (\text{item } (bs! ?k! i)) \ t$   
**using**  $\text{wf-item-tree-build-trees}' \ \text{assms}(1,2,5,6)$   $i \ k(1) \ x \ *(2) \ fss(3)$  **by**  $\text{metis}$   
**have**  $\text{wf-item}: \text{wf-item } \mathcal{G} \ \omega \ (\text{item } (bs! ?k! i))$   
**using**  $k(1) \ i \ \text{assms}(1)$  **unfolding**  $\text{wf-bins-def wf-bin-def wf-bin-items-def}$  **by**  
 $(\text{simp add: items-def})$   
**obtain**  $N \ fss$  **where**  $Nfss: f = FBranch \ N \ fss$   
**using**  $\text{build-trees}'\text{-termination}[OF \ \text{wf}]$  **by**  $(\text{metis } *(2) \ fss(3) \ \text{option.inject})$   
**then obtain**  $ts$  **where**  $ts: t = Branch \ N \ ts$   
**using**  $\text{assms}(6)$  **by**  $\text{auto}$   
**hence**  $N = \text{item-rule-head } x$   
 $\text{map root-tree } ts = \text{item-rule-body } x$   
**using**  $\text{finished wf-item-tree } x$  **by**  $(\text{auto simp: is-finished-def is-complete-def})$   
**hence**  $\exists r \in \text{set } (\mathfrak{R} \ \mathcal{G}). N = \text{rule-head } r \wedge \text{map root-tree } ts = \text{rule-body } r$   
**using**  $\text{wf-item } x$  **unfolding**  $\text{wf-item-def item-rule-body-def item-rule-head-def}$   
**by**  $\text{blast}$   
**hence**  $\text{wf-rule}: \text{wf-rule-tree } \mathcal{G} \ t$   
**using**  $\text{wf-item-tree } ts$  **by**  $\text{simp}$   
**have**  $\text{root}: \text{root-tree } t = \mathfrak{S} \ \mathcal{G}$   
**using**  $\text{finished } ts \ \langle N = \text{item-rule-head } x \rangle$  **by**  $(\text{auto simp: is-finished-def})$   
**have**  $\text{yield-tree } t = \text{slice } (\text{item-origin } (\text{item } (bs! ?k! i))) \ (\text{item-end } (\text{item } (bs! ?k! i)))$

$\omega$   
**using**  $k$   $i$  *assms*(1,6) *wf-yield-tree-build-trees'* *wf-yield-tree-def* \*(2) *fss*(3)  
**by** (*smt* (*verit*, *best*))  
**hence** *yield*: *yield-tree*  $t = \omega$   
**using** *finished*  $x$  **unfolding** *is-finished-def* **by** *simp*  
**show** *?thesis*  
**using** \* *wf-rule* *root* *yield* *assms*(4) **unfolding** *build-trees-def* **by** *simp*  
**qed**

**corollary** *wf-rule-root-yield-tree-build-trees-Earley<sub>L</sub>*:  
**assumes** *wf-G*  $\mathcal{G}$  *nonempty-derives*  $\mathcal{G}$   
**assumes** *build-trees*  $\mathcal{G}$   $\omega$  (*Earley<sub>L</sub>*  $\mathcal{G}$   $\omega$ ) = *Some*  $f$   $f \in \text{set } f$   $t \in \text{set } (\text{trees } f)$   
**shows** *wf-rule-tree*  $\mathcal{G}$   $t \wedge$  *root-tree*  $t = \mathfrak{S} \mathcal{G} \wedge$  *yield-tree*  $t = \omega$   
**using** *assms* *wf-rule-root-yield-tree-build-trees* *wf-bins-Earley<sub>L</sub>* *Earley<sub>L</sub>-def*  
*length-Earley<sub>L</sub>-bins* *length-bins-Init<sub>L</sub>* *sound-mono-ptrs-Earley<sub>L</sub>*  
**by** (*metis* *dual-order.eq-iff* )

**theorem** *soundness-build-trees-Earley<sub>L</sub>*:  
**assumes** *wf-G*  $\mathcal{G}$  *is-word*  $\mathcal{G}$   $\omega$  *nonempty-derives*  $\mathcal{G}$   
**assumes** *build-trees*  $\mathcal{G}$   $\omega$  (*Earley<sub>L</sub>*  $\mathcal{G}$   $\omega$ ) = *Some*  $f$   $f \in \text{set } f$   $t \in \text{set } (\text{trees } f)$   
**shows** *derives*  $\mathcal{G}$  [ $\mathfrak{S} \mathcal{G}$ ]  $\omega$   
**proof** –  
**let**  $?k = \text{length } (\text{Earley}_L \mathcal{G} \omega) - 1$   
**define** *finished* **where** *finished-def*: *finished* = *filter-with-index* (*is-finished*  $\mathcal{G}$   $\omega$ )  
(*items* ((*Earley<sub>L</sub>*  $\mathcal{G}$   $\omega$ )! $?k$ ))  
**have**  $\#$ : *Some*  $f$  = *map-option* *concat* (*those* (*map* ( $\lambda(-, i)$ . *build-trees'* (*Earley<sub>L</sub>*  
 $\mathcal{G}$   $\omega$ )  $?k$   $i$   $\{i\}$ ) *finished*))  
**using** *assms*(4) *build-trees-def* *finished-def* **by** (*metis* (*full-types*))  
**then obtain** *fss*  $f$ ' **where** *fss*: *Some*  $f$ ' = *those* (*map* ( $\lambda(-, i)$ . *build-trees'*  
(*Earley<sub>L</sub>*  $\mathcal{G}$   $\omega$ )  $?k$   $i$   $\{i\}$ ) *finished*)  
 $f$ '  $\in \text{set } f$   $f \in \text{set } f$ '  
**using** *map-option-concat-those-map-exists* *assms*(5) **by** *fastforce*  
**then obtain**  $x$   $i$  **where** \*: ( $x, i$ )  $\in \text{set } finished$  *Some*  $f$ ' = *build-trees'* (*Earley<sub>L</sub>*  
 $\mathcal{G}$   $\omega$ )  $?k$   $i$   $\{i\}$   
**using** *those-map-exists[OF* *fss*(1,2)] **by** *auto*  
**have**  $k$ :  $?k < \text{length } (\text{Earley}_L \mathcal{G} \omega)$   $?k \leq \text{length } \omega$   
**by** (*simp-all* *add*: *Earley<sub>L</sub>-def* *assms*(1))  
**have**  $i$ :  $i < \text{length } ((\text{Earley}_L \mathcal{G} \omega) ! ?k)$   
**using** *index-filter-with-index-lt-length* \* *items-def* *finished-def* **by** (*metis* *length-map*)  
**have**  $x$ :  $x = \text{item } ((\text{Earley}_L \mathcal{G} \omega) ! ?k ! i)$   
**using** \*  $i$  *filter-with-index-nth* *items-def* *nth-map* *finished-def* **by** *metis*  
**have** *finished*: *is-finished*  $\mathcal{G}$   $\omega$   $x$   
**using** \* *filter-with-index-P* *finished-def* **by** *metis*  
**moreover have**  $x \in \text{set } (\text{items } ((\text{Earley}_L \mathcal{G} \omega) ! ?k))$   
**using**  $x$  **by** (*auto* *simp*: *items-def*; *metis* *One-nat-def*  $i$  *imageI* *nth-mem*)  
**ultimately have** *recognizing* (*bins* (*Earley<sub>L</sub>*  $\mathcal{G}$   $\omega$ ))  $\mathcal{G}$   $\omega$   
**by** (*meson*  $k$ (1) *kth-bin-sub-bins* *recognizing-def* *subsetD*)  
**thus** *?thesis*  
**using** *correctness-Earley<sub>L</sub>* *assms* **by** *blast*



qed

**theorem** *termination-build-tree-Earley<sub>L</sub>*:

**assumes** *wf- $\mathcal{G}$   $\mathcal{G}$  nonempty-derives  $\mathcal{G}$  derives  $\mathcal{G}$  [ $\mathcal{G}$ ]  $\omega$*

**shows**  $\exists fs. \text{build-trees } \mathcal{G} \ \omega \ (\text{Earley}_L \ \mathcal{G} \ \omega) = \text{Some } fs$

**proof** –

**let**  $?k = \text{length} \ (\text{Earley}_L \ \mathcal{G} \ \omega) - 1$

**define** *finished* **where** *finished-def*: *finished* = *filter-with-index (is-finished  $\mathcal{G}$   $\omega$ ) (items ((Earley<sub>L</sub>  $\mathcal{G}$   $\omega$ )! ?k))*

**have**  $\forall f \in \text{set } \text{finished}. (\text{Earley}_L \ \mathcal{G} \ \omega, \ \omega, \ ?k, \ \text{snd } f, \ \{\text{snd } f\}) \in \text{wf-trees-input}$

**proof** *standard*

**fix** *f*

**assume** *a*:  $f \in \text{set } \text{finished}$

**then obtain** *x i* **where**  $*$ :  $(x, i) = f$

**by** (*metis surj-pair*)

**have** *sound-ptrs*  $\omega \ (\text{Earley}_L \ \mathcal{G} \ \omega)$

**using** *sound-mono-ptrs-Earley<sub>L</sub> assms* **by** *blast*

**moreover have**  $?k < \text{length} \ (\text{Earley}_L \ \mathcal{G} \ \omega)$

**by** (*simp add: Earley<sub>L</sub>-def assms(1)*)

**moreover have**  $i < \text{length} \ ((\text{Earley}_L \ \mathcal{G} \ \omega)! ?k)$

**using** *index-filter-with-index-lt-length a \* items-def finished-def* **by** (*metis length-map*)

**ultimately show**  $(\text{Earley}_L \ \mathcal{G} \ \omega, \ \omega, \ ?k, \ \text{snd } f, \ \{\text{snd } f\}) \in \text{wf-trees-input}$

**using**  $*$  **unfolding** *wf-trees-input-def* **by** *auto*

qed

**hence**  $\forall fso \in \text{set} \ (\text{map} \ (\lambda(-, i). \text{build-trees}' \ (\text{Earley}_L \ \mathcal{G} \ \omega) \ \omega \ ?k \ i \ \{i\}) \ \text{finished}).$   
 $\exists fs. fso = \text{Some } fs$

**using** *build-trees'-termination* **by** *fastforce*

**then obtain** *fss* **where** *fss*: *Some fss = those (map (lambda(-, i). build-trees' (Earley<sub>L</sub>  $\mathcal{G}$   $\omega$ )  $\omega$  ?k i {i}) finished)*

**by** (*smt (verit, best) those-Some*)

**then obtain** *fs* **where** *fs*: *Some fs = map-option concat (those (map (lambda(-, i). build-trees' (Earley<sub>L</sub>  $\mathcal{G}$   $\omega$ )  $\omega$  ?k i {i}) finished))*

**by** (*metis map-option-eq-Some*)

**show** *?thesis*

**using** *finished-def fss fs build-trees-def* **by** (*metis (full-types)*)

qed

end

**theory** *Examples*

**imports** *Earley-Parser*

**begin**

## 10 Epsilon productions

**definition**  *$\varepsilon$ -free* :: *'a cfg  $\Rightarrow$  bool* **where**

*$\varepsilon$ -free  $\mathcal{G} \longleftrightarrow (\forall r \in \text{set} \ (\mathfrak{R} \ \mathcal{G}). \text{rule-body } r \neq [])$*

**lemma**  *$\varepsilon$ -free-impl-non-empty-sentence-deriv*:

```

ε-free  $\mathcal{G} \implies a \neq [] \implies \neg \text{Derivation } \mathcal{G} \ a \ D \ []$ 
proof (induction length D arbitrary: a D rule: nat-less-induct)
  case 1
  show ?case
  proof (rule ccontr)
    assume assm:  $\neg \neg \text{Derivation } \mathcal{G} \ a \ D \ []$ 
    show False
    proof (cases D = [])
      case True
      then show ?thesis
        using 1.prems(2) assm by auto
    next
    case False
    then obtain d D' α where *:
       $D = d \# D' \text{ Derives1 } \mathcal{G} \ a \ (\text{fst } d) \ (\text{snd } d) \ \alpha \ \text{Derivation } \mathcal{G} \ \alpha \ D' \ [] \ \text{snd } d \in$ 
      set ( $\mathfrak{R} \ \mathcal{G}$ )
      using list.exhaust assm Derives1-def by (metis Derivation.simps(2))
      show ?thesis
      proof cases
        assume  $\alpha = []$ 
        thus ?thesis
        using *(2,4) Derives1-split ε-free-def rule-body-def 1.prems(1) by (metis
        append-is-Nil-conv)
      next
        assume  $\neg \alpha = []$ 
        thus ?thesis
        using *(1,3) 1.hyps 1.prems(1) by auto
      qed
    qed
  qed
qed

```

**lemma** *ε-free-impl-non-empty-deriv*:  
 $\varepsilon\text{-free } \mathcal{G} \implies \forall N \in \text{set } (\mathfrak{R} \ \mathcal{G}). \neg \text{derives } \mathcal{G} \ [N] \ []$   
**using** *ε-free-impl-non-empty-sentence-deriv derives-implies-Derivation* **by** (*metis*
*not-Cons-self2*)

**lemma** *nonempty-deriv-impl-ε-free*:  
**assumes**  $\forall N \in \text{set } (\mathfrak{R} \ \mathcal{G}). \neg \text{derives } \mathcal{G} \ [N] \ [] \ \forall (N, \alpha) \in \text{set } (\mathfrak{R} \ \mathcal{G}). N \in \text{set } (\mathfrak{R} \ \mathcal{G})$   
**shows** *ε-free*  $\mathcal{G}$   
**proof** (*rule ccontr*)  
**assume**  $\neg \varepsilon\text{-free } \mathcal{G}$   
**then obtain** *N α* **where** \*:  $(N, \alpha) \in \text{set } (\mathfrak{R} \ \mathcal{G}) \ \text{rule-body } (N, \alpha) = []$   
**unfolding** *ε-free-def* **by** *auto*  
**hence** *derives1*  $\mathcal{G} \ [N] \ []$   
**unfolding** *derives1-def rule-body-def* **by** *auto*  
**hence** *derives*  $\mathcal{G} \ [N] \ []$   
**by** *auto*

**moreover have**  $N \in \text{set } (\mathfrak{N} \mathcal{G})$   
**using**  $*(1) \text{ assms}(2)$  **by** *blast*  
**ultimately show** *False*  
**using**  $\text{assms}(1)$  **by** *blast*  
**qed**

**lemma** *nonempty-deriv-iff- $\varepsilon$ -free*:  
**assumes**  $\forall (N, \alpha) \in \text{set } (\mathfrak{N} \mathcal{G}). N \in \text{set } (\mathfrak{N} \mathcal{G})$   
**shows**  $(\forall N \in \text{set } (\mathfrak{N} \mathcal{G}). \neg \text{derives } \mathcal{G} [N] []) \longleftrightarrow \varepsilon\text{-free } \mathcal{G}$   
**using**  $\varepsilon\text{-free-impl-non-empty-deriv nonempty-deriv-impl-}\varepsilon\text{-free}[OF - \text{assms}]$  **by**  
*blast*

## 11 Example 1: Addition

**datatype**  $t1 = x \mid \text{plus}$   
**datatype**  $n1 = S$   
**datatype**  $s1 = \text{Terminal } t1 \mid \text{Nonterminal } n1$

**definition** *nonterminals1* :: *s1 list* **where**  
 $\text{nonterminals1} = [\text{Nonterminal } S]$

**definition** *terminals1* :: *s1 list* **where**  
 $\text{terminals1} = [\text{Terminal } x, \text{Terminal } \text{plus}]$

**definition** *rules1* :: *s1 rule list* **where**  
 $\text{rules1} = [$   
 $(\text{Nonterminal } S, [\text{Terminal } x]),$   
 $(\text{Nonterminal } S, [\text{Nonterminal } S, \text{Terminal } \text{plus}, \text{Nonterminal } S])$   
 $]$

**definition** *start-symbol1* :: *s1* **where**  
 $\text{start-symbol1} = \text{Nonterminal } S$

**definition** *cfg1* :: *s1 cfg* **where**  
 $\text{cfg1} = \text{CFG nonterminals1 terminals1 rules1 start-symbol1}$

**definition** *inp1* :: *s1 list* **where**  
 $\text{inp1} = [\text{Terminal } x, \text{Terminal } \text{plus}, \text{Terminal } x, \text{Terminal } \text{plus}, \text{Terminal } x]$

**lemmas**  $\text{cfg1-defs} = \text{cfg1-def nonterminals1-def terminals1-def rules1-def start-symbol1-def}$

**lemma** *wf-G1*:  
 $\text{wf-}\mathcal{G} \text{ cfg1}$   
**by**  $(\text{auto simp: wf-}\mathcal{G}\text{-defs cfg1-defs})$

**lemma** *is-word-inp1*:  
 $\text{is-word } \text{cfg1 } \text{inp1}$   
**by**  $(\text{auto simp: is-word-def is-terminal-def cfg1-defs inp1-def})$

**lemma** *nonempty-derives1*:  
*nonempty-derives cfg1*  
**by** (*auto simp:  $\varepsilon$ -free-def cfg1-defs rule-body-def nonempty-derives-def  $\varepsilon$ -free-impl-non-empty-deriv*)

**lemma** *correctness1*:  
*recognizing (bins (Earley<sub>L</sub> cfg1 inp1)) cfg1 inp1  $\longleftrightarrow$  derives cfg1 [ $\mathfrak{S}$  cfg1] inp1*  
**using** *correctness-Earley<sub>L</sub> wf- $\mathcal{G}$ 1 is-word-inp1 nonempty-derives1* **by** *blast*

**lemma** *wf-tree1*:  
**assumes** *build-tree cfg1 inp1 (Earley<sub>L</sub> cfg1 inp1) = Some t*  
**shows** *wf-rule-tree cfg1 t  $\wedge$  root-tree t =  $\mathfrak{S}$  cfg1  $\wedge$  yield-tree t = inp1*  
**using** *assms nonempty-derives1 wf- $\mathcal{G}$ 1 wf-rule-root-yield-tree-build-tree-Earley<sub>L</sub>*  
**by** *blast*

**lemma** *correctness-tree1*:  
 $(\exists t. \text{build-tree } \text{cfg1 } \text{inp1 } (\text{Earley}_L \text{ cfg1 } \text{inp1}) = \text{Some } t) \longleftrightarrow \text{derives } \text{cfg1 } [\mathfrak{S} \text{ cfg1}] \text{ inp1}$   
**using** *correctness-build-tree-Earley<sub>L</sub> is-word-inp1 nonempty-derives1 wf- $\mathcal{G}$ 1* **by** *blast*

**lemma** *wf-trees1*:  
**assumes** *build-trees cfg1 inp1 (Earley<sub>L</sub> cfg1 inp1) = Some fs  $f \in \text{set } fs$   $t \in \text{set } (\text{trees } f)$*   
**shows** *wf-rule-tree cfg1 t  $\wedge$  root-tree t =  $\mathfrak{S}$  cfg1  $\wedge$  yield-tree t = inp1*  
**using** *assms nonempty-derives1 wf- $\mathcal{G}$ 1 wf-rule-root-yield-tree-build-trees-Earley<sub>L</sub>*  
**by** *blast*

**lemma** *soundness-trees1*:  
**assumes** *build-trees cfg1 inp1 (Earley<sub>L</sub> cfg1 inp1) = Some fs  $f \in \text{set } fs$   $t \in \text{set } (\text{trees } f)$*   
**shows** *derives cfg1 [ $\mathfrak{S}$  cfg1] inp1*  
**using** *assms is-word-inp1 nonempty-derives1 soundness-build-trees-Earley<sub>L</sub> wf- $\mathcal{G}$ 1*  
**by** *blast*

## 12 Example 2: Cyclic reduction pointers

**datatype** *t2* = *x*  
**datatype** *n2* = *A* | *B*  
**datatype** *s2* = *Terminal t2* | *Nonterminal n2*

**definition** *nonterminals2* :: *s2 list* **where**  
*nonterminals2* = [*Nonterminal A*, *Nonterminal B*]

**definition** *terminals2* :: *s2 list* **where**  
*terminals2* = [*Terminal x*]

**definition** *rules2* :: *s2 rule list* **where**  
*rules2* = [  
*(Nonterminal B*, [*Nonterminal A*]),

(Nonterminal A, [Nonterminal B]),  
 (Nonterminal A, [Terminal x])  
 ]

**definition** *start-symbol2* :: *s2* **where**  
*start-symbol2* = Nonterminal A

**definition** *cfg2* :: *s2* *cfg* **where**  
*cfg2* = CFG nonterminals2 terminals2 rules2 start-symbol2

**definition** *inp2* :: *s2* list **where**  
*inp2* = [Terminal x]

**lemmas** *cfg2-defs* = *cfg2-def* *nonterminals2-def* *terminals2-def* *rules2-def* *start-symbol2-def*

**lemma** *wf-G2*:  
*wf-G* *cfg2*  
**by** (*auto simp: wf-G-defs cfg2-defs*)

**lemma** *is-word-inp2*:  
*is-word* *cfg2* *inp2*  
**by** (*auto simp: is-word-def is-terminal-def cfg2-defs inp2-def*)

**lemma** *nonempty-derives2*:  
*nonempty-derives* *cfg2*  
**by** (*auto simp: ε-free-def cfg2-defs rule-body-def nonempty-derives-def ε-free-impl-non-empty-deriv*)

**lemma** *correctness2*:  
*recognizing* (*bins* (*Earley<sub>L</sub>* *cfg2* *inp2*)) *cfg2* *inp2*  $\longleftrightarrow$  *derives* *cfg2* [ $\mathfrak{S}$  *cfg2*] *inp2*  
**using** *correctness-Earley<sub>L</sub>* *wf-G2* *is-word-inp2* *nonempty-derives2* **by** *blast*

**lemma** *wf-tree2*:  
**assumes** *build-tree* *cfg2* *inp2* (*Earley<sub>L</sub>* *cfg2* *inp2*) = *Some t*  
**shows** *wf-rule-tree* *cfg2* *t*  $\wedge$  *root-tree* *t* =  $\mathfrak{S}$  *cfg2*  $\wedge$  *yield-tree* *t* = *inp2*  
**using** *assms* *nonempty-derives2* *wf-G2* *wf-rule-root-yield-tree-build-tree-Earley<sub>L</sub>*  
**by** *blast*

**lemma** *correctness-tree2*:  
 $(\exists t. \text{build-tree } \text{cfg2 } \text{inp2 } (\text{Earley}_L \text{ cfg2 } \text{inp2}) = \text{Some } t) \longleftrightarrow \text{derives } \text{cfg2 } [\mathfrak{S} \text{ cfg2}] \text{ inp2}$   
**using** *correctness-build-tree-Earley<sub>L</sub>* *is-word-inp2* *nonempty-derives2* *wf-G2* **by**  
*blast*

**lemma** *wf-trees2*:  
**assumes** *build-trees* *cfg2* *inp2* (*Earley<sub>L</sub>* *cfg2* *inp2*) = *Some fs*  $f \in \text{set } fs \ t \in \text{set } (\text{trees } f)$   
**shows** *wf-rule-tree* *cfg2* *t*  $\wedge$  *root-tree* *t* =  $\mathfrak{S}$  *cfg2*  $\wedge$  *yield-tree* *t* = *inp2*  
**using** *assms* *nonempty-derives2* *wf-G2* *wf-rule-root-yield-tree-build-trees-Earley<sub>L</sub>*  
**by** *blast*

```

lemma soundness-trees2:
  assumes build-trees cfg2 inp2 (EarleyL cfg2 inp2) = Some fs f ∈ set fs t ∈ set
  (trees f)
  shows derives cfg2 [⊆ cfg2] inp2
  using assms is-word-inp2 nonempty-derives2 soundness-build-trees-EarleyL wf- $\mathcal{G}$ 2
by blast

end

```

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