

Dynamic Tables

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Abstract

This article formalizes the amortized analysis of dynamic tables parameterized with their minimal and maximal load factors and the expansion and contraction factors.

A full description is found in a companion paper [1].

```
theory Tables-real
imports Amortized-Complexity.Amortized-Framework0
begin

fun Ψ :: bool ⇒ real ⇒ real ⇒ real ⇒ real ⇒ nat ⇒ real where
Ψ b i d x1 x2 n = (if n ≥ x2 then i*(n - x2) else
  if n ≤ x1 ∧ b then d*(x1 - n) else 0)

declare of-nat-Suc[simp] of-nat-diff[simp]
```

An automatic proof:

```
lemma Psi-diff-Ins:
  0 < i ⟹ 0 < d ⟹ Ψ b i d x1 x2 (Suc n) − Ψ b i d x1 x2 n ≤ i
  by (simp add: add-mono algebra-simps)

lemma assumes [arith]: 0 < i 0 ≤ d
shows Ψ b i d x1 x2 (n+1) − Ψ b i d x1 x2 n ≤ i (is ?D ≤ -)
proof cases
  assume n ≥ x2
  hence ?D = i*(n+1-x2) − i*(n-x2) by (simp)
  also have ... = i by (simp add: algebra-simps)
  finally show ?thesis by simp
next
  assume [arith]: ¬ n ≥ x2
  show ?thesis
  proof cases
    assume *[arith]: n ≤ x1 ∧ b
    show ?thesis
    proof cases
      assume n+1 ≥ x2
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hence ?D = i*(n+1-x2) - d*(x1-n) using * by (simp)
also have ... = i + i*(n-x2) + -(d*(x1-n))
  by (simp add: algebra-simps)
also have i*(n-x2) ≤ 0 by (simp add: mult-le-0-iff)
also have -(d*(x1-n)) ≤ 0 using * by (simp)
finally show ?thesis by simp
next
assume [arith]: ¬ n+1 ≥ x2
thus ?thesis
proof cases
  assume n+1 ≥ x1
  hence ?D = -(d*(x1-n)) using * by (simp)
  also have -(d*(x1-n)) ≤ 0 using * by (simp)
  finally have ?D ≤ 0 by simp
  then show ?thesis by simp
next
assume ¬ n+1 ≥ x1
hence ?D = d*(x1-(n+1)) - d*(x1-n) using * by (simp)
also have ... = -d by (simp add: algebra-simps)
finally show ?thesis by (simp)
qed
qed
next
assume *: ¬ (n ≤ x1 ∧ b)
show ?thesis
proof cases
  assume n+1 ≥ x2
  hence ?D = i*(n+1-x2) using * by (auto)
  also have ... ≤ i by (simp add: algebra-simps)
  finally show ?thesis by simp
next
assume ¬ n+1 ≥ x2
hence ?D = 0 using * by (auto)
thus ?thesis by simp
qed
qed
qed

lemma Psi-diff-Del: assumes [arith]: 0 < i 0 ≤ d n ≠ 0 and x1 ≤ x2
shows Ψ b i d x1 x2 (n-Suc 0) - Ψ b i d x1 x2 (n) ≤ d (is ?D ≤ -)
proof cases
  assume real n - 1 ≥ x2
  hence ?D = i*(n-1-x2) - i*(n-x2) by (simp)
  also have ... = - i by (simp add: algebra-simps)
  finally show ?thesis by simp
next
assume [arith]: ¬ real n - 1 ≥ x2
show ?thesis
proof cases

```

```

assume *:  $n - 1 \leq x_1 \wedge b$ 
show ?thesis
proof cases
  assume [arith]:  $n \geq x_2$ 
  hence  $f1: x_1 \leq n$  using  $\langle x_1 \leq x_2 \rangle$  by linarith
  have ?D =  $d*(x_1 - (n - 1)) - i*(n - x_2)$  using * by (simp)
  also have ... =  $d + d*(x_1 - n) + -(i*(n - x_2))$ 
    by (simp add: algebra-simps)
  also have  $-(i*(n - x_2)) \leq 0$  by (simp add: mult-le-0-iff)
  also have  $d*(x_1 - n) \leq 0$  using f1 by (simp add: mult-le-0-iff)
  finally show ?thesis by simp
next
  assume [arith]:  $\neg n \geq x_2$ 
  thus ?thesis
  proof cases
    assume [arith]:  $n > x_1$ 
    hence ?D =  $d*(x_1 - (n - 1))$  using * by (simp)
    also have ... =  $d + -(d*(n - x_1))$  by (simp add: algebra-simps)
    also have  $-(d*(n - x_1)) \leq 0$  by (simp add: mult-le-0-iff)
    finally show ?thesis by simp
next
  assume  $\neg n > x_1$ 
  hence ?D =  $d*(x_1 - (n - 1)) - d*(x_1 - n)$  using * by (simp)
  also have ... =  $d$  by (simp add: algebra-simps)
  finally show ?thesis by (simp)
qed
qed
next
  assume *:  $\neg (n - 1 \leq x_1 \wedge b)$ 
  show ?thesis
  proof cases
    assume n:  $n \geq x_2$ 
    hence ?D =  $-(i*(n - x_2))$  using * by (auto)
    also have  $-(i*(n - x_2)) \leq 0$  using n by (simp)
    finally show ?thesis by simp
next
  assume  $\neg n \geq x_2$ 
  hence ?D = 0 using * by (auto)
  thus ?thesis by simp
qed
qed
qed

locale Table0 =
  fixes f1 f2 f1' f2' e c :: real
  assumes e1[arith]:  $e > 1$ 
  assumes c1[arith]:  $c > 1$ 
  assumes f1[arith]:  $f1 > 0$ 
  assumes f1cf2:  $f1*c < f2$ 

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assumes f1f2e:  $f1 < f2/e$ 
assumes f1'-def:  $f1' = \min(f1*c, f2/e)$ 
assumes f2'-def:  $f2' = \max(f1*c, f2/e)$ 
begin

lemma f2[arith]:  $0 < f2$ 
using f1f2e zero-less-divide-iff[of f2 e] by simp

lemma f2'[arith]:  $0 < f2'$ 
by (simp add: f2'-def max-def)

lemma f2'-less-f2:  $f2' < f2$ 
using f1cf2 by(auto simp add: f2'-def field-simps)

lemma f1-less-f1':  $f1 < f1'$ 
using f1f2e by(auto simp add: f1'-def field-simps)

lemma f1'-gr0[arith]:  $f1' > 0$ 
using f1-less-f1' by linarith

lemma f1'-le-f2':  $f1' \leq f2'$ 
by(auto simp add: f1'-def f2'-def algebra-simps)

lemma f1'c-le-f1:  $f1'/c \leq f1$ 
by(simp add: f1'-def min-def field-simps)

lemma f2-le-f2'e:  $f2 \leq f2'*e$ 
by(simp add: f2'-def max-def field-simps)

lemma f1f2'c:  $f1 \leq f2'/c$ 
using f1f2e by(auto simp add: f2'-def field-simps)

lemma f1'ef2:  $f1' * e \leq f2$ 
using f1cf2 by(auto simp add: f1'-def field-simps min-def)

end

locale Table = Table0 +
fixes l0 :: real
assumes l0f2e:  $l0 \geq 1/(f2 * (e-1))$ 
assumes l0f1c:  $l0 \geq 1/(f1 * (c-1))$ 
assumes f2f2':  $l0 \geq 1/(f2 - f2')$ 
assumes f1'f1:  $l0 \geq 1/((f1' - f1)*c)$ 
begin

definition ai = f2/(f2-f2')
definition ad = f1/(f1'-f1)

lemma aigr0[arith]:  $ai > 1$ 

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using f2'-less-f2 by(simp add: ai-def field-simps)

lemma adgr0[arith]: ad > 0
using f1-less-f1' by(simp add: ad-def field-simps)

lemma l0-gr0[arith]: l0 > 0
proof -
  have 0 < 1/(f2*(e-1)) by(simp)
  also note l0f2e
  finally show ?thesis .
qed

lemma f1-l0: assumes l0 ≤ l/c shows f1*(l/c) ≤ f1*l - 1
proof -
  have 1 = f1*((c-1)/c)*(c*(1/(f1*(c-1)))) by(simp add: field-simps)
  also note l0f1c
  also note assms(1)
  finally show ?thesis by(simp add: divide-le-cancel) (simp add: field-simps)
qed

fun nxt :: optb ⇒ nat*real ⇒ nat*real where
  nxt Ins (n,l) =
    (n+1, if n+1 ≤ f2*l then l else e*l) |
  nxt Del (n,l) =
    (n-1, if f1*l ≤ real(n-1) then l else if l0 ≤ l/c then l/c else l)

fun T :: optb ⇒ nat*real ⇒ real where
  T Ins (n,l) = (if n+1 ≤ f2*l then 1 else n+1) |
  T Del (n,l) = (if f1*l ≤ real(n-1) then 1 else if l0 ≤ l/c then n else 1)

fun Φ :: nat * real ⇒ real where
  Φ (n,l) = (if n ≥ f2'*l then ai*(n - f2'*l) else
    if n ≤ f1'*l ∧ l0 ≤ l/c then ad*(f1'*l - n) else 0)

lemma Phi-Psi: Φ (n,l) = Ψ (l0 ≤ l/c) ai ad (f1'*l) (f2'*l) n
by(simp)

fun invar where
  invar(n,l) = (l ≥ l0 ∧ (l/c ≥ l0 → f1*l ≤ n) ∧ n ≤ f2*l)

abbreviation U ≡ λf -. case f of Ins ⇒ ai+1 | Del ⇒ ad+1

interpretation tb: Amortized
  where init = (0,l0) and nxt = nxt
  and inv = invar
  and T = T and Φ = Φ
  and U = U
proof (standard, goal-cases)
  case 1 show ?case by (auto simp: field-simps)

```

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next
  case (? s f)
  obtain n l where [simp]: s = (n,l) by fastforce
  from 2 have l0 ≤ l and n ≤ f2*l by auto
  hence [arith]: l > 0 by arith
  show ?case
  proof (cases f)
    case [simp]: Ins
    show ?thesis
    proof cases
      assume n+1 ≤ f2*l thus ?thesis using 2 by (auto)
    next
      assume 0: ¬ n+1 ≤ f2*l
      have f1: f1 * (e*l) ≤ n+1
      proof -
        have f1*e < f2 using f1f2e by(simp add: field-simps)
        hence f1 * (e*l) ≤ f2*l by simp
        with 0 show ?thesis by linarith
      qed
      have f2: n+1 ≤ f2*e*l
      proof -
        have n+1 ≤ f2*l+1 using ‹n ≤ f2*l› by linarith
        also have 1 = f2*(e-1)*(1/(f2*(e-1))) by(simp)
        also note l0f2e
        also note ‹l0 ≤ l›
        finally show ?thesis by simp (simp add: algebra-simps)
      qed
      have l ≤ l*e by simp
      hence l0 ≤ l * e using ‹l0≤l› by linarith
      with 0 f1 f2 show ?thesis by(simp add: field-simps)
    qed
  next
  case [simp]: Del
  show ?thesis
  proof cases
    assume f1*l ≤ real (n - 1)
    thus ?thesis using 2 by(auto)
  next
    assume 0: ¬ f1*l ≤ real (n - 1)
    show ?thesis
    proof cases
      assume l: l0 ≤ l/c
      hence f1: f1*(l/c) ≤ n-1 using f1-l0[OF l] 2 by simp linarith
      have n - 1 ≤ f2 * (l/c)
      proof -
        have f1*l ≤ f2*(l/c) using f1cf2 by (simp add: field-simps)
        thus ?thesis using 0 by linarith
      qed
      with l 0 f1 show ?thesis by (auto)
    qed
  qed

```

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next
  assume  $\neg l0 \leq l/c$ 
  with 2 show ?thesis by (auto simp add: field-simps)
qed
qed
qed
next
  case (3 s) thus ?case by(cases s)(simp split: if-splits)
next
  case 4 show ?case by(simp add: field-simps not-le)
next
  case (5 s f)
  obtain n l where [simp]:  $s = (n, l)$  by fastforce
  have [arith]:  $l \geq l0 \quad n \leq f2 * l$  using 5 by auto
  show ?case
  proof (cases f)
    case [simp]: Ins
    show ?thesis (is ?A  $\leq -$ )
    proof cases
      assume  $n+1 \leq f2 * l$ 
      thus ?thesis by(simp del: Phi.simps Psi.simps add: Phi-Psi Psi-diff-Ins)
    next
      assume [arith]:  $\neg n+1 \leq f2 * l$ 
      have  $(f2 - f2') * l \geq 1$ 
        using mult-mono[OF order-refl {l ≥ l0}, of f2-f2'] f2'-less-f2 f2f2'
        by (simp add: field-simps)
      hence  $n \geq f2' * l$  by(simp add: algebra-simps)
      hence  $\Phi s = ai * (n - f2' * l)$  by simp
      have  $f1' * e * l \leq f2 * l$  using f1'ef2 by(simp)
      hence  $f1' * e * l < n+1$  by linarith
      have ?A  $\leq n - ai * (f2 - f2') * l + ai + 1$ 
      proof cases
        assume  $n+1 < f2' * (e * l)$ 
        hence ?A  $= n+1 - ai * (n - f2' * l)$  using Phi {f1' * e * l < n+1} by simp
        also have ...  $= n + ai * (-n+1) + f2' * l + ai + 1$ 
          by(simp add: algebra-simps)
        also have  $-(n+1) \leq -f2 * l$  by linarith
        finally show ?thesis by(simp add: algebra-simps)
      next
        assume  $n+1 \geq f2' * (e * l)$ 
        hence ?A  $= n + ai * (-f2' * e + f2') * l + ai + 1$  using Phi
          by(simp add: algebra-simps)
        also have  $-f2' * e \leq -f2$  using f2-le-f2'e by linarith
        finally show ?thesis by(simp add: algebra-simps)
      qed
      also have ...  $= n - f2 * l + ai + 1$  using f2'-less-f2 by(simp add: ai-def)
      finally show ?thesis by simp
    qed
  qed
next

```

```

case [simp]: Del
show ?thesis (is ?A  $\leq$  -)
proof cases
  assume n=0 with 5 show ?thesis
    by(simp add: mult-le-0-iff field-simps)
next
  assume [arith]: n $\neq$ 0
  show ?thesis
  proof cases
    assume real n - 1  $\geq$  f1*l  $\vee$  l/c < l0
    thus ?thesis using f1'-le-f2'
      by(auto simp del:  $\Phi$ .simps  $\Psi$ .simps simp add: Phi-Psi Psi-diff-Del)
next
  assume  $\neg$  (real n - 1  $\geq$  f1*l  $\vee$  l/c < l0)
  hence [arith]: real n - 1 < f1*l l/c  $\geq$  l0 by linarith+
  hence l  $\geq$  l0*c and l/c  $\geq$  l0 and f1*l  $\leq$  n using 5
    by (auto simp: field-simps)
  have f1*l  $\leq$  f2'*l/c using f1f2'c by(simp add: field-simps)
  hence f2: n-1 < f2'*l/c by linarith
  have f1'*l  $\leq$  f2'*l using f1'-le-f2' by simp
  have (f1' - f1)*l  $\geq$ 
    using mult-mono[OF order-refl {l $\geq$ l0*c}, of f1'-f1] f1-less-f1' f1'f1
    by (simp add: field-simps)
  hence n < f1'*l by(simp add: algebra-simps)
  hence Phi:  $\Phi s = ad*(f1'*l - n)$ 
    apply(simp) using {f1'*l  $\leq$  f2'*l} by linarith
  have ?A  $\leq$  n - ad*(f1' - f1)*l + ad
  proof cases
    assume n-1 < f1'*l/c  $\wedge$  l/(c*c)  $\geq$  l0
    hence  $\Phi (nxt f s) = ad*(f1'*l/c - (n-1))$  using f2 by(auto)
    hence ?A = n + ad*(f1'*l/c - (n-1)) - (ad*(f1'*l - n))
      using Phi by (simp add: algebra-simps)
    also have ... = n + ad*(f1'/c - f1')*l + ad
      by(simp add: algebra-simps)
    also note f1'c-le-f1
    finally show ?thesis by(simp add: algebra-simps)
next
  assume  $\neg$ (n-1 < f1'*l/c  $\wedge$  l/(c*c)  $\geq$  l0)
  hence  $\Phi (nxt f s) = 0$  using f2 by(auto)
  hence ?A = n + ad*(n - f1'*l) using Phi
    by (simp add: algebra-simps)
  also have ... = n + ad*(n-1 - f1'*l) + ad by(simp add: algebra-simps)
  also have n-1  $\leq$  f1*l by linarith
  finally show ?thesis by (simp add: algebra-simps)
qed
also have ... = n - f1*l + ad using f1-less-f1' by(simp add: ad-def)
  finally show ?thesis by simp
qed
qed

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qed
qed

end

locale Optimal =
fixes f2 c e :: real and l0 :: nat
assumes e1[arith]: e > 1
assumes c1[arith]: c > 1
assumes [arith]: f2 > 0
assumes l0: (e*c)/(f2*(min e c - 1)) ≤ l0
begin

lemma l0e: (e*c)/(f2*(e-1)) ≤ l0
proof-
  have 0: f2 * (l0 * min e c) ≤ e * (f2 * l0)
    by (simp add: min-def ac-simps mult-right-mono)
  from l0 show ?thesis apply(simp add: field-simps) using 0 by linarith
qed

lemma l0c: (e*c)/(f2*(c-1)) ≤ l0
proof-
  have 0: f2 * (l0 * min e c) ≤ c * (f2 * l0)
    by (simp add: min-def ac-simps mult-right-mono)
  from l0 show ?thesis apply(simp add: field-simps) using 0 by linarith
qed

interpretation Table
where f1=f2/(e*c) and f2=f2 and e=e and c=c and f1 '=f2/e and f2 '=f2/e
and l0=l0
proof (standard, goal-cases)
  case 1 show ?case by(rule e1)
next
  case 2 show ?case by(rule c1)
next
  case 3 show ?case by(simp)
next
  case 4 show ?case by(simp add: field-simps)
next
  case 5 show ?case by(simp add: field-simps)
next
  case 6 show ?case by(simp)
next
  case 7 show ?case by(simp)
next
  case 8 show ?case using l0e less-1-mult[OF c1 e1] by(simp add: field-simps)
next
  case 9 show ?case using l0c by(simp)

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next
  case 10 show ?case
  proof-
    have 1:  $c \cdot e > e$  by (simp)
    show ?thesis using l0e apply(simp add: field-simps) using 1 by linarith
  qed
next
  case 11 show ?case
  proof-
    have 1:  $c \cdot e > e$  by (simp)
    show ?thesis using l0c
      apply(simp add: algebra-simps pos-le-divide-eq)
      apply(simp add: field-simps)
      using 1 by linarith
    qed
  qed

lemma  $ai = e/(e-1)$ 
unfolding ai-def by(simp add: field-simps)

lemma  $ad = 1/(c-1)$ 
unfolding ad-def by(simp add: field-simps)

end

interpretation I1: Optimal where e=2 and c=2 and f2=1 and l0=4
proof qed simp-all

interpretation I2: Optimal where e=2 and c=2 and f2=3/4 and l0=6
proof qed simp-all

interpretation I3: Optimal where e=2 and c=2 and f2=0.8 and l0=5
proof qed simp-all

interpretation I4: Optimal where e=3 and c=3 and f2=0.9 and l0=5
proof qed simp-all

interpretation I5: Optimal where e=4 and c=4 and f2=1 and l0=6
proof qed simp-all

interpretation I6: Optimal where e=2.5 and c=2.5 and f2=1 and l0=5
proof qed simp-all

interpretation I7: Optimal where f2=1 and c=3/2 and e=2 and l0=6
proof qed (simp-all add: min-def)

interpretation I8: Optimal where f2=1 and e=3/2 and c=2 and l0=6
proof qed (simp-all add: min-def)

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end
theory Tables-nat
imports Tables-real
begin

declare le-of-int-ceiling[simp]

locale TableInv = Table0 f1 f2 f1' f2' e c for f1 f2 f1' f2' e c :: real +
fixes l0 :: nat
assumes l0f2e: l0 ≥ 1/(f2 * (e-1))
assumes l0f1c: l0 ≥ 1/(f1 * (c-1))

assumes l0f2f1e: l0 ≥ f1/(f2 - f1*e)
assumes l0f2f1c: l0 ≥ f2/(f2 - f1*c)
begin

lemma l0-gr0[arith]: l0 > 0
proof -
  have 0 < 1/(f2*(e-1)) by(simp)
  also note l0f2e
  finally show ?thesis by simp
qed

lemma f1-l0: assumes l0 ≤ l/c shows f1*(l/c) ≤ f1*l - 1
proof -
  have 1 = f1*((c-1)/c)*(c*(1/(f1*(c-1)))) by(simp add: field-simps)
  also note l0f1c
  also have l': c*l0 ≤ l using assms(1) by(simp add: field-simps)
  finally show ?thesis by(simp add: divide-le-cancel) (simp add: field-simps)
qed

fun nxt :: optb ⇒ nat*nat ⇒ nat*nat where
nxt Ins (n,l) =
  (n+1, if n+1 ≤ f2*l then l else nat[e*l]) |
nxt Del (n,l) =
  (n-1, if f1*l ≤ real(n-1) then l else if l0 ≤ ⌊l/c⌋ then nat[⌊l/c⌋] else l)

fun T :: optb ⇒ nat*nat ⇒ real where
T Ins (n,l) = (if n+1 ≤ f2*l then 1 else n+1) |
T Del (n,l) = (if f1*l ≤ real(n-1) then 1 else if l0 ≤ ⌊l/c⌋ then n else 1)

fun invar :: nat * nat ⇒ bool where
invar(n,l) = (l ≥ l0 ∧ (⌊l/c⌋ ≥ l0 → f1*l ≤ n) ∧ n ≤ f2*l)

lemma invar-init: invar (0,l0)
by (auto simp: le-floor-iff field-simps)

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lemma invar-pres: assumes invar s shows invar(nxt f s)
proof -
  obtain n l where [simp]: s = (n,l) by fastforce
  from assms have l0 ≤ l and n ≤ f2*l by auto
  show ?thesis
  proof (cases f)
    case [simp]: Ins
    show ?thesis
    proof cases
      assume n+1 ≤ f2*l thus ?thesis using assms by (auto)
    next
      assume 0: ¬ n+1 ≤ f2*l
      have f1: f1 * ⌈e*l⌉ ≤ n+1
      proof -
        have ⌈e*l⌉ ≤ e*l + 1 by linarith
        hence f1 * ⌈e*l⌉ ≤ f1 * (e*l + 1) by simp
        also have ... ≤ f2*l
        proof -
          have f1 ≤ (f2 - f1*e)*l0
          using l0f2f1e f1f2e by(simp add: field-simps)
          also note ‹l0 ≤ l›
          finally show ?thesis using f1f2e[simplified field-simps]
            by (simp add:ac-simps mult-left-mono) (simp add:algebra-simps)
        qed
        finally show ?thesis using 0 by linarith
      qed
      have n+1 ≤ f2*e*l
      proof -
        have n+1 ≤ f2*l+1 using ‹n ≤ f2*l› by linarith
        also have 1 = f2*(e-1)*(1/(f2*(e-1))) by(simp)
        also note l0f2e
        also note ‹l0 ≤ l›
        finally show ?thesis by simp (simp add: algebra-simps)
      qed
      also have f2*e*l ≤ f2*⌈e*l⌉ by simp
      finally have f2: n+1 ≤ f2*⌈e*l⌉ .
      have l < e*l using ‹l0 ≤ l› by simp
      hence l0 ≤ e*l using ‹l0≤l› by linarith
      with 0 f1 f2 show ?thesis by (auto simp add: field-simps) linarith
    qed
  next
  case [simp]: Del
  show ?thesis
  proof cases
    assume f1*l ≤ real n - 1
    thus ?thesis using assms by(auto)
  next
    assume 0: ¬ f1*l ≤ real n - 1

```

```

show ?thesis
proof cases
  assume n=0 thus ?thesis using 0 assms by(simp add: field-simps)
next
  assume n ≠ 0
  show ?thesis
  proof cases
    assume l: l0 ≤ ⌊l/c⌋
    hence l': l0 ≤ l/c by linarith
    have f1 * ⌊l/c⌋ ≤ f1*(l/c) by(simp del: times-divide-eq-right)
    hence f1: f1*⌊l/c⌋ ≤ n-1 using l' f1-l0[OF l'] assms ⟨n ≠ 0⟩
      by(simp add: le-floor-iff)
    have n-1 ≤ f2 * ⌊l/c⌋
    proof –
      have n-1 < f1*l using 0 ⟨n ≠ 0⟩ by linarith
      also have f1*l ≤ f2*(l/c) - f2
      proof –
        have (f2 - f1*c)*l0 ≥ f2
          using l0f2f1c f1cf2 by(simp add: field-simps)
          with mult-left-mono[OF ⟨l0 ≤ l/c⟩, of f2-f1*c] f1cf2
          have (f2 - f1*c)*(l/c) ≥ f2 by linarith
          thus ?thesis by(simp add: field-simps)
      qed
      also have ... ≤ f2*⌊l/c⌋
      proof –
        have l/c - 1 ≤ ⌊l/c⌋ by linarith
        from mult-left-mono[OF this, of f2] show ?thesis
          by(simp add: algebra-simps)
      qed
      finally show ?thesis using 0 ⟨n ≠ 0⟩ by linarith
    qed
    with l 0 f1 ⟨n ≠ 0⟩ show ?thesis by (auto)
  next
    assume ¬ l0 ≤ ⌊l/c⌋
    with 0 assms show ?thesis by (auto simp add: field-simps)
  qed
  qed
  qed
  qed
  qed
end

locale Table1 = TableInv +
assumes f2f2': l0 ≥ 1/(f2 - f2')
assumes f1'f1: l0 ≥ 1/((f1' - f1)*c)
begin

definition ai = f2/(f2 - f2')

```

```

definition ad = f1 / (f1' - f1)

lemma aigr0[arith]: ai > 1
using f2'-less-f2 by(simp add: ai-def field-simps)

lemma adgr0[arith]: ad > 0
using f1-less-f1' by(simp add: ad-def field-simps)

lemma f1'ad[arith]: f1'*ad > 0
by simp

lemma f2'ai[arith]: f2'*ai > 0
by simp

fun Φ :: nat * nat ⇒ real where
Φ (n,l) = (if n ≥ f2'*l then ai*(n - f2'*l) else
            if n ≤ f1'*l ∧ l0 ≤ ⌊l/c⌋ then ad*(f1'*l - n) else 0)

lemma Phi-Psi: Φ (n,l) = Ψ (l0 ≤ ⌊l/c⌋) ai ad (f1'*l) (f2'*l) n
by(simp)

abbreviation U ≡ λf -. case f of Ins ⇒ ai+1 + f1'*ad | Del ⇒ ad+1 + f2'*ai

interpretation tb: Amortized
  where init = (0,l0) and nxt = nxt
        and inv = invar
        and T = T and Φ = Φ
        and U = U
  proof (standard, goal-cases)
    case 1 show ?case by (fact invar-init)
    next
    case 2 thus ?case by(fact invar-pres)
    next
    case (3 s) thus ?case by(cases s)(simp split: if-splits)
    next
    case 4 show ?case
      by(auto simp: field-simps mult-le-0-iff le-floor-iff)
    next
    case (5 s f)
    obtain n l where [simp]: s = (n,l) by fastforce
    show ?case
    proof (cases f)
      case [simp]: Ins
      show ?thesis (is ?A ≤ -)
      proof cases
        assume n+1 ≤ f2*l
        hence ?A ≤ ai+1 by(simp del: Φ.simps Ψ.simps add: Phi-Psi Psi-diff-Ins)
        thus ?thesis by simp
      next
    
```

```

assume [arith]:  $\neg n+1 \leq f2*l$ 
have [arith]:  $l \geq l0 \quad n \leq f2*l$  using 5 by auto
have  $(f2 - f2')*l \geq 1$ 
    using mult-mono[OF order-refl, of l0 l f2-f2'] f2'-less-f2 f2f2'
    by (simp add: field-simps)
hence  $n \geq f2'*l$  by(simp add: algebra-simps)
hence  $\Phi s = ai * (n - f2'*l)$  by simp
have [simp]:  $\text{real}(\text{nat}\lceil e*l\rceil) = \text{real-of-int}\lceil e*l\rceil$ 
    by (simp add: order.order-iff-strict)
have  $?A \leq n - ai*(f2 - f2')*l + ai + 1 + f1'*ad$  (is  $- \leq ?R$ )
proof cases
    assume  $f2': n+1 < f2'*\lceil e*l\rceil$ 
    show ?thesis
    proof cases
        assume  $n+1 \leq f1'*\lceil e*l\rceil$ 
        hence  $?A \leq n+1 + ad*(f1'*\lceil e*l\rceil - (n+1)) - ai*(n - f2'*l)$ 
            using Phi f2' by simp
        also have  $f1'*\lceil e*l\rceil - (n+1) \leq f1'$ 
        proof -
            have  $f1'*\lceil e*l\rceil \leq f1'*(e*l + 1)$  by(simp)
            also have  $\dots = f1'*e*l + f1'$  by(simp add: algebra-simps)
            also have  $f1'*e*l \leq f2*l$  using f1'ef2 by(simp)
            finally show ?thesis by linarith
        qed
        also have  $n+1 + ad*f1' - ai*(n - f2'*l) = n + ai*(-\text{real}(n+1) + f2'*l) + ai + f1'*ad + 1$ 
            by(simp add: algebra-simps)
        also have  $-\text{real}(n+1) \leq -f2*l$  by linarith
        finally show ?thesis by(simp add: algebra-simps)
    next
        assume  $\neg n+1 \leq f1'*\lceil e*l\rceil$ 
        hence  $?A = n+1 - ai*(n - f2'*l)$  using Phi f2' by (simp)
        also have  $n+1 - ai*(n - f2'*l) = n + ai*(-\text{real}(n+1) + f2'*l) + ai + 1$ 
            by(simp add: algebra-simps)
        also have  $-\text{real}(n+1) \leq -f2*l$  by linarith
        also have  $n + ai*(-f2*l + f2'*l) + ai + 1 \leq ?R$ 
            by(simp add: algebra-simps)
        finally show ?thesis by(simp)
    qed
    next
        assume  $\neg n+1 < f2'*\lceil e*l\rceil$ 
        hence  $?A = n + ai*(-f2'*\lceil e*l\rceil + f2'*l) + ai + 1$  using Phi
            by(simp add: algebra-simps)
        also have  $-f2'*\lceil e*l\rceil \leq -f2'*e*l$  by(simp)
        also have  $-f2'*e \leq -f2$  using f2-le-f2'e by linarith
        also have  $n + ai*(-f2*l + f2'*l) + ai + 1 \leq ?R$  by(simp add: algebra-simps)
        finally show ?thesis by(simp)
    qed
    also have  $\dots = n - f2*l + ai + f1'*ad + 1$  using f2'-less-f2
        by(simp add: ai-def)

```

```

finally show ?thesis by simp
qed
next
case [simp]: Del
have [arith]:  $l \geq l_0$  using 5 by simp
show ?thesis
proof cases
  assume n=0 with 5 show ?thesis
    by(simp add: mult-le-0-iff field-simps)
next
assume [arith]:  $n \neq 0$ 
show ?thesis (is ?A  $\leq -$ )
proof cases
  assume real n - 1  $\geq f1 * l \vee \lfloor l/c \rfloor < l_0$ 
  hence ?A  $\leq ad + 1$  using f1'-le-f2'
    by(auto simp del: Phi.simps Psi.simps simp add: Phi-Psi_Psi-diff-Del)
  thus ?thesis by simp
next
assume  $\neg (real n - 1 \geq f1 * l \vee \lfloor l/c \rfloor < l_0)$ 
hence n: real n - 1  $< f1 * l$  and lc':  $\lfloor l/c \rfloor \geq l_0$  and lc:  $l/c \geq l_0$ 
  by linarith+
have f1'*l  $\leq f2'*l$  using f1'-le-f2' by simp
have  $(f1' - f1) * l \geq 1$  using mult-mono[OF order-refl, of l0 l/c f1'-f1]
  lc f1-less-f1' f1'f1 by (simp add: field-simps)
hence n  $< f1'*l$  using n by(simp add: algebra-simps)
hence Phi:  $\Phi s = ad * (f1'*l - n)$ 
  apply(simp) using f1'*l  $\leq f2'*l$  lc by linarith
have ?A  $\leq n - ad * (f1' - f1) * l + ad + f2'*ai$  (is -  $\leq ?R + -$ )
proof cases
  assume f2':  $n - 1 < f2'*\lfloor l/c \rfloor$ 
  show ?thesis
  proof cases
    assume n-1  $< f1'*\lfloor l/c \rfloor \wedge \lfloor \lfloor l/c \rfloor / c \rfloor \geq l_0$ 
    hence  $\Phi (nxt f s) = ad * (f1'*\lfloor l/c \rfloor - (n-1))$  using f2' n lc' by(auto)
    hence ?A  $= n + ad * (f1'*\lfloor l/c \rfloor - (n-1)) - (ad * (f1'*l - n))$ 
      using Phi n lc' by (simp add: algebra-simps)
    also have  $\lfloor l/c \rfloor \leq l/c$  by(simp)
    also have  $n + ad * (f1' * (l/c) - (n-1)) - (ad * (f1'*l - n)) = n + ad * (f1'/c - f1') * l + ad$ 
      by(simp add: algebra-simps)
    also note f1'c-le-f1
    finally have ?A  $\leq ?R$  by(simp add: algebra-simps)
    thus ?thesis by linarith
  next
    assume  $\neg (n - 1 < f1'*\lfloor l/c \rfloor \wedge \lfloor \lfloor l/c \rfloor / c \rfloor \geq l_0)$ 
    hence  $\Phi (nxt f s) = 0$  using f2' n lc' by(auto)
    hence ?A  $= n + ad * (n - f1'*l)$  using Phi n lc'
      by (simp add: algebra-simps)
    also have ...  $= n + ad * (n - 1 - f1'*l) + ad$  by(simp add: algebra-simps)
    also have n-1  $\leq f1'*l$  using n by linarith
  qed
qed

```

```

finally have ?A ≤ ?R by (simp add: algebra-simps)
thus ?thesis by linarith
qed
next
assume f2': ¬ n - 1 < f2'*[l/c]
hence ?A = n + ai*(n - 1 - f2'*[l/c]) - ad*(f1'*l - n)
using Phi n lc' by (simp)
also have n - 1 - f2'*[l/c] ≤ f2'
proof -
have f1*l ≤ f2'*(l/c) using f1f2'c by(simp add: field-simps)
hence n - 1 < f2'*(l/c) using n by linarith
also have l/c ≤ [l/c] + 1 by linarith
finally show ?thesis by(fastforce simp: algebra-simps)
qed
also have n + ai*f2' - ad*(f1'*l - n) = n + ad*(n - 1 - f1'*l) + ad +
f2'*ai
by(simp add: algebra-simps)
also have n - 1 ≤ f1*l using n by linarith
finally show ?thesis by(simp add: algebra-simps)
qed
also have ... = n - f1*l + ad + f2'*ai using f1-less-f1' by(simp add:
ad-def)
finally show ?thesis using n by simp
qed
qed
qed
qed
qed

end

locale Table2-f1f2'' = TableInv +
fixes f1'' f2'' :: real

locale Table2 = Table2-f1f2'' +
assumes f2f2'': (f2 - f2'')*l0 ≥ 1
assumes f1''f1: (f1'' - f1)*c*l0 ≥ 1

assumes f1-less-f1'': f1 < f1''
assumes f1''-less-f1': f1'' < f1'
assumes f2'-less-f2'': f2' < f2''
assumes f2''-less-f2: f2'' < f2
assumes f1''-f1': l ≥ real l0 ==> f1'' * (l+1) ≤ f1'*l
assumes f2'-f2'': l ≥ real l0 ==> f2'*l ≤ f2'' * (l-1)
begin

definition ai = f2 / (f2 - f2'')
definition ad = f1 / (f1'' - f1)

lemma f1''-gr0[arith]: f1'' > 0

```

```

using f1-less-f1'' f1 by linarith

lemma f2''-gr0[arith]: f2'' > 0
using f2' f2'-less-f2'' by linarith

lemma aigr0[arith]: ai > 0
using f2''-less-f2 by(simp add: ai-def field-simps)

lemma adgr0[arith]: ad > 0
using f1-less-f1'' by(simp add: ad-def field-simps)

fun Φ :: nat * nat ⇒ real where
Φ(n,l) = (if n ≥ f2''*l then ai*(n - f2''*l) else
           if n ≤ f1''*l ∧ l0 ≤ ⌊l/c⌋ then ad*(f1''*l - n) else 0)

lemma Phi-Psi: Φ (n,l) = Ψ (l0 ≤ ⌊l/c⌋) ai ad (f1''*l) (f2''*l) n
by(simp)

abbreviation U ≡ λf -. case f of Ins ⇒ ai+1 | Del ⇒ ad+1

interpretation tb: Amortized
where init = (0,l0) and nxt = nxt
and inv = invar
and T = T and Φ = Φ
and U = U
proof (standard, goal-cases)
  case 1 show ?case by (fact invar-init)
next
  case 2 thus ?case by(fact invar-pres)
next
  case (3 s) thus ?case by(cases s)(simp split: if-splits)
next
  case 4 show ?case
    by(auto simp: field-simps mult-le-0-iff le-floor-iff)
next
  case (5 s f)
  obtain n l where [simp]: s = (n,l) by fastforce
  show ?case
  proof (cases f)
    case [simp]: Ins
    show ?thesis (is ?L ≤ -)
    proof cases
      assume n+1 ≤ f2*l
      thus ?thesis by(simp del: Φ.simps Ψ.simps add: Phi-Psi Psi-diff-Ins)
    next
      assume [arith]: ¬ n+1 ≤ f2*l
      have [arith]: l ≥ l0 n ≤ f2*l using 5 by auto
      have l0 ≤ e*l using ‹l0 ≤ l› e1 mult-mono[of 1 e l0 l] by simp
      have (f2 - f2'')*l ≥ 1
    qed
  qed
qed

```

```

using mult-mono[OF order-refl, of l0 l f2-f2'' f2''-less-f2 f2f2''
by (simp add: algebra-simps)
hence  $n \geq f2''*l$  by (simp add: algebra-simps)
hence  $\Phi s = ai * (n - f2''*l)$  by simp
have [simp]:  $\text{real}(\text{nat}\lceil e*l\rceil) = \text{real-of-int}\lceil e*l\rceil$ 
by (simp add: order.order-iff-strict)
have ?L  $\leq n - ai*(f2 - f2'')*l + ai + 1$  (is  $- \leq ?R$ )
proof cases
assume  $f2'': n+1 < f2''*\lceil e*l\rceil$ 
have  $f1''*\lceil e*l\rceil \leq f1''*(e*l + 1)$  by (simp)
also note  $f1''-f1'[OF \langle l0 \leq e*l \rangle]$ 
also have  $f1''*(e*l) \leq f2*l$  using f1'ef2 by (simp)
also have  $f2*l \leq n+1$  by linarith
finally have ?L  $\leq n+1 - ai*(n - f2''*l)$ 
using Phi f2'' by (simp)
also have  $n+1 - ai*(n - f2''*l) = n + ai*(-\text{real}(n+1) + f2''*l) + ai + 1$ 
by (simp add: algebra-simps)
also have  $-\text{real}(n+1) \leq -f2*l$  by linarith
finally show ?thesis by (simp add: algebra-simps)
next
assume  $\neg n+1 < f2''*\lceil e*l\rceil$ 
hence ?L  $= n + ai*(-f2''*\lceil e*l\rceil + f2''*l) + ai + 1$  using Phi
by (simp add: algebra-simps)
also have  $-f2''*\lceil e*l\rceil \leq -f2''*e*l$  by (simp)
also have  $-f2''*e \leq -f2'*e$  using f2'-less-f2'' by (simp)
also have  $-f2'*e \leq -f2$  using f2-le-f2'e by (simp)
also have  $n + ai*(-f2*l + f2''*l) + ai + 1 \leq ?R$  by (simp add: algebra-simps)
finally show ?thesis by (simp)
qed
also have ...  $= n - f2*l + ai + 1$  using f2''-less-f2
by (simp add: ai-def)
finally show ?thesis by simp
qed
next
case [simp]: Del
have [arith]:  $l \geq l0$  using 5 by simp
show ?thesis
proof cases
assume n=0 with 5 show ?thesis
by (simp add: mult-le-0-iff field-simps)
next
assume [arith]:  $n \neq 0$ 
show ?thesis (is ?A  $\leq -$ )
proof cases
assume  $\text{real } n - 1 \geq f1*l \vee \lfloor l/c \rfloor < l0$ 
thus ?thesis using f1''-less-f1' f1'-le-f2' f2'-less-f2'' by (auto simp del:  $\Phi.\text{simp} \Psi.\text{simp}$  simp add: Phi-Psi Psi-diff-Del)
next
assume  $\neg (\text{real } n - 1 \geq f1*l \vee \lfloor l/c \rfloor < l0)$ 

```

```

hence  $n: \text{real } n - 1 < f1 * l$  and  $lc': \lfloor l/c \rfloor \geq l0$  and  $lc: l/c \geq l0$ 
    by linarith+
have  $f1'' * l \leq f2'' * l$ 
    using  $f1''\text{-less-}f1' f1'\text{-le-}f2' f2'\text{-less-}f2''$  by simp
have  $(f1'' - f1) * l \geq 1$ 
    using mult-mono[OF order-refl, of l0 l/c f1''-f1 lc f1-less-f1'' f1''f1
    by (simp add: field-simps)
hence  $n < f1'' * l$  using  $n$  by(simp add: algebra-simps)
hence  $\Phi: \Phi s = ad*(f1'' * l - n)$ 
    apply(simp) using  $\langle f1'' * l \leq f2'' * l \rangle$  lc by linarith
have  $f2': n - 1 < f2'' * \lfloor l/c \rfloor$ 
proof -
    have  $n - 1 < f1 * l$  using  $n$  by linarith
    also have  $f1 * l \leq f2' * (l/c)$  using  $f1f2'c$  by(auto simp: field-simps)
    also note  $f2'\text{-}f2''[\text{OF } \langle l/c \geq l0 \rangle]$ 
    also have  $f2'' * (l/c - 1) \leq f2'' * \lfloor l/c \rfloor$  by simp
    finally show ?thesis by(simp)
qed
have  $?A \leq n - ad*(f1'' - f1) * l + ad$ 
proof cases
    assume  $n - 1 < f1'' * \lfloor l/c \rfloor \wedge \lfloor l/c \rfloor / c \geq l0$ 
    hence  $\Phi (\text{next } f s) = ad*(f1'' * \lfloor l/c \rfloor - (n - 1))$  using  $f2' n lc'$  by(auto)
    hence  $?A = n + ad*(f1'' * \lfloor l/c \rfloor - (n - 1)) - (ad*(f1'' * l - n))$ 
        using  $\Phi n lc'$  by (simp add: algebra-simps)
    also have  $\lfloor l/c \rfloor \leq l/c$  by(simp)
    also have  $n + ad*(f1'' * \lfloor l/c \rfloor - (n - 1)) - (ad*(f1'' * l - n)) = n + ad*(f1''/c - f1'') * l + ad$ 
        by(simp add: algebra-simps)
    also have  $f1''/c \leq f1'/c$  using  $f1''\text{-less-}f1'$  by(simp add: field-simps)
    also note  $f1'\text{-}c\text{-le-}f1$ 
    finally show ?thesis by(simp add: algebra-simps)
next
    assume  $\neg(n - 1 < f1'' * \lfloor l/c \rfloor \wedge \lfloor l/c \rfloor / c \geq l0)$ 
    hence  $\Phi (\text{next } f s) = 0$  using  $f2' n lc'$  by(auto)
    hence  $?A = n + ad*(n - f1'' * l)$  using  $\Phi n lc'$ 
        by (simp add: algebra-simps)
    also have  $\dots = n + ad*(n - 1 - f1'' * l) + ad$  by(simp add: algebra-simps)
    also have  $n - 1 \leq f1 * l$  using  $n$  by linarith
    finally show ?thesis by (simp add: algebra-simps)
qed
also have  $\dots = n - f1 * l + ad$  using  $f1\text{-less-}f1''$  by(simp add: ad-def)
finally show ?thesis using  $n$  by simp
qed
qed
qed
qed
end

```

```

locale Table3 = Table2-f1f2'' +
assumes f1 ''-def: f1 '' = (f1 ::real)*l0/(l0+1)
assumes f2 ''-def: f2 '' = (f2 ::real)*l0/(l0-1)

assumes l0-f2f2': l0 ≥ (f2+1)/(f2-f2')
assumes l0-f1f1': l0 ≥ (f1'*c+1)/((f1'-f1)*c)

assumes l0-f1-f1': l0 > f1/((f1'-f1))
assumes l0-f2-f2': l0 > f2/(f2-f2')
begin

lemma l0-gr1: l0 > 1
proof -
  have f2/(f2-f2') ≥ 1 using f2'-less-f2 by(simp add: field-simps)
  thus ?thesis using l0-f2-f2' f2'-less-f2 by linarith
qed

lemma f1 ''-less-f1 ': f1 '' < f1 '
by(simp add: f1 ''-def field-simps)

lemma f1-less-f1 '' : f1 < f1 ''
proof -
  have 1 + l0 > 0 by (simp add: add-pos-pos)
  hence f1 ''> f1 ←→ l0 > f1/((f1'-f1))
    using f1-less-f1 ' by(simp add: f1 ''-def field-simps)
  also have ... ←→ True using l0-f1-f1 ' by blast
  finally show ?thesis by blast
qed

lemma f2 '-less-f2 '' : f2 ' < f2 ''
using l0-gr1 by(simp add: f2 ''-def field-simps)

lemma f2 ''-less-f2 : f2 '' < f2
proof -
  have f2 ''< f2 ←→ l0 > f2/(f2-f2')
  using f2'-less-f2 l0-gr1 by(simp add: f2 ''-def field-simps)
  also have ... ←→ True using l0-f2-f2 ' by blast
  finally show ?thesis by blast
qed

lemma f2f2 '' : (f2 - f2 '')*l0 ≥ 1
proof -
  have (f2 - f2 '')*(l0-1) ≥ 1
  using l0-gr1 l0-f2f2' f2'-less-f2
  by(simp add: f2 ''-def algebra-simps del: of-nat-diff) (simp add: field-simps)
  thus ?thesis using f2 ''-less-f2 by (simp add: algebra-simps)

```

qed

lemma $f1''f1 : (f1'' - f1)*c*l0 \geq 1$

proof -

have $1 \leq (f1' - f1)*c*l0 - f1'*c$ using $l0\text{-}f1f1'$ $f1\text{-}less\text{-}f1'$
by(simp add: field-simps)

also have ... = $(f1'*((l0-1)/l0) - f1)*c*l0$
by(simp add: field-simps)

also have $(l0-1)/l0 \leq l0/(l0+1)$
by(simp add: field-simps)

also have $f1'*(l0/(l0+1)) = f1'*l0/(l0+1)$
by(simp add: algebra-simps)

also note $f1''\text{-def}[symmetric]$
finally show ?thesis by(simp)

qed

lemma $f1''f1' : \text{assumes } l \geq \text{real } l0 \text{ shows } f1''*(l+1) \leq f1'*l$

proof -

have $f1''*(l+1) = f1'*(l0/(l0+1))*(l+1)$
by(simp add: f1''-def field-simps)

also have $l0/(l0+1) \leq l/(l+1)$ using assms
by(simp add: field-simps)

finally show ?thesis using $l0 \leq l$ by(simp)

qed

lemma $f2'-f2'' : \text{assumes } l \geq \text{real } l0 \text{ shows } f2'*l \leq f2''*(l-1)$

proof -

have $f2'*l = f2'*l + f2'*((l0-1)/(l0-1) - 1)$ using $l0\text{-gr1}$ by simp
also have $(l0-1)/(l0-1) \leq (l-1)/(l-1)$ using $l \geq l0$ by(simp)

also have $f2'*l + f2'*((l-1)/(l-1) - 1) = f2''*(l-1)$
using $l0\text{-gr1}$ by(simp add: f2''-def field-simps)

finally show ?thesis by simp

qed

sublocale Table2

proof

qed ($\text{fact } f1\text{-less\text{-}f1'' } f1''\text{-less\text{-}f1' } f2'\text{-less\text{-}f2'' } f2''\text{-less\text{-}f2 } f1''f1\ f2f2''f1''\text{-f1' } f2'\text{-f2''} +$

end

end

References

- [1] T. Nipkow. Parameterized dynamic tables. <http://www.in.tum.de/~nipkow/pubs/>, 2015.