

Proving a data flow analysis algorithm for computing dominators

Nan Jiang

March 17, 2025

Abstract

This entry formalises a fast iterative algorithm for computing dominators [1]. It gives a specification of computing dominators on a control flow graph where each node refers to its reverse post order number. A semilattice of reversed-ordered list which represents dominators is built and a Kildall's algorithm on the semilattice is defined for computing dominators. Finally the soundness and completeness of the algorithm are proved w.r.t. the specification.

Contents

1	The specification of computing dominators	1
2	More auxiliary lemmas for Lists Sorted wrt $<$	20
3	Operations on sorted lists	22
4	A semilattice of reversed-ordered list	24
5	A kildall's algorithm for computing dominators	29
6	Properties of the kildall's algorithm on the semilattice	31
7	Soundness and completeness	37

1 The specification of computing dominators

```
theory Cfg
imports Main
begin
```

The specification of computing dominators is defined. For fast data flow analysis presented by CHK [1], a directed graph with explicit node list and

sets of initial nodes is defined. Each node refers to its rPO (reverse PostOrder) number w.r.t a DFST, and related properties as assumptions are handled using a locale.

type-synonym $'a$ *digraph* = $('a \times 'a)$ *set*

record $'a$ *graph-rec* =

g - V :: $'a$ *list*
 g - $V0$:: $'a$ *set*
 g - E :: $'a$ *digraph*

$tail$:: $'a \times 'a \Rightarrow 'a$
 $head$:: $'a \times 'a \Rightarrow 'a$

definition *wf-cfg* :: $'a$ *graph-rec* \Rightarrow *bool* **where**

$wf\text{-}cfg\ G \equiv g\text{-}V0\ G \subseteq set(g\text{-}V\ G)$

type-synonym *node* = *nat*

locale *cfg-doms* =

— Nodes are rPO numbers

fixes G :: *nat* *graph-rec* (**structure**)

— General properties

assumes *wf-cfg*: *wf-cfg* G

assumes *tail[simp]*: $e = (u,v) \Longrightarrow tail\ G\ e = u$

assumes *head[simp]*: $e = (u,v) \Longrightarrow head\ G\ e = v$

assumes *tail-in-verts[simp]*: $e \in g\text{-}E\ G \Longrightarrow tail\ G\ e \in set(g\text{-}V\ G)$

assumes *head-in-verts[simp]*: $e \in g\text{-}E\ G \Longrightarrow head\ G\ e \in set(g\text{-}V\ G)$

— Properties of a cfg where nodes are rPO numbers

assumes *entry0*: $g\text{-}V0\ G = \{0\}$

assumes *dfst*: $\forall v \in set(g\text{-}V\ G) - \{0\}. \exists prev. (prev, v) \in g\text{-}E\ G \wedge prev < v$

assumes *reachable*: $\forall v \in set(g\text{-}V\ G). v \in (g\text{-}E\ G)^* \text{ `` } \{0\}$

assumes *verts*: $g\text{-}V\ G = [0 ..< (length(g\text{-}V\ G))]$

— It is required that the entry node has an immediate successor which is not itself; Otherwise, no need to compute dominators It is required for proving the lemma: "wf_dom start (unstables r step start)".

assumes *succ-of-entry0*: $\exists s. (0,s) \in g\text{-}E\ G \wedge s \neq 0$

begin

inductive *path-entry* :: *nat* *digraph* \Rightarrow *nat* *list* \Rightarrow *nat* \Rightarrow *bool* **for** E **where**

path-entry0: *path-entry* E \square 0

| *path-entry-prepend*: $\llbracket (u,v) \in E; path\text{-}entry\ E\ l\ u \rrbracket \Longrightarrow path\text{-}entry\ E\ (u\#\!l)\ v$

lemma *path-entry0-empty-conv*: *path-entry* E \square $v \longleftrightarrow v = 0$

by (*auto* *intro*: *path-entry0* *elim*: *path-entry.cases*)

inductive-cases *path-entry-uncons*: $\text{path-entry } E (u\#l) w$
inductive-simps *path-entry-cons-conv*: $\text{path-entry } E (u\#l) w$

lemma *single-path-entry*: $\text{path-entry } E [p] w \implies p = 0$
by (*simp add: path-entry-cons-conv path-entry0-empty-conv*)

lemma *path-entry-append*:
 $\llbracket \text{path-entry } E l v; (v,w) \in E \rrbracket \implies \text{path-entry } E (v\#l) w$
by (*rule path-entry-prepend*)

lemma *entry-rtrancl-is-path*:
assumes $(0,v) \in E^*$
obtains p **where** $\text{path-entry } E p v$
using *assms*
by *induct (auto intro:path-entry0 path-entry-prepend)*

lemma *path-entry-is-trancl*:
assumes $\text{path-entry } E l v$
and $l \neq []$
shows $(0,v) \in E^+$
using *assms*
apply *induct*
apply *auto []*
apply (*case-tac l*)
apply (*auto simp add:path-entry0-empty-conv*)
done

lemma *tail-is-vert*: $(u,v) \in g\text{-}E G \implies u \in \text{set } (g\text{-}V G)$
by (*auto dest: tail-in-verts[of (u,v)]*)

lemma *head-is-vert*: $(u,v) \in g\text{-}E G \implies v \in \text{set } (g\text{-}V G)$
by (*auto dest: head-in-verts[of (u,v)]*)

lemma *tail-is-vert2*: $(u,v) \in (g\text{-}E G)^+ \implies u \in \text{set } (g\text{-}V G)$
by (*induct rule:trancl.induct(auto dest: tail-in-verts)*)

lemma *head-is-vert2*: $(u,v) \in (g\text{-}E G)^+ \implies v \in \text{set } (g\text{-}V G)$
by (*induct rule:trancl.induct(auto dest: head-in-verts)*)

lemma *verts-set*: $\text{set } (g\text{-}V G) = \{0 \..< \text{length } (g\text{-}V G)\}$

proof –

from *verts* **have** $\text{set } (g\text{-}V G) = \text{set } [0 \..< (\text{length } (g\text{-}V G))]$ **by** *simp*
also **have** $\text{set } [0 \..< (\text{length } (g\text{-}V G))] = \{0 \..< (\text{length } (g\text{-}V G))\}$ **by** *simp*
ultimately show *?thesis* **by** *auto*

qed

lemma *fin-verts*: $\text{finite } (\text{set } (g\text{-}V G))$
by (*auto*)

lemma *zero-in-verts*: $0 \in \text{set } (g-V G)$
using *wf-cfg entry0* **by** (*unfold wf-cfg-def*) *auto*

lemma *verts-not-empty*: $g-V G \neq []$
using *zero-in-verts* **by** *auto*

lemma *len-verts-gt0*: $\text{length } (g-V G) > 0$
by (*simp add:verts-not-empty*)

lemma *len-verts-gt1*: $\text{length } (g-V G) > 1$
proof –
from *succ-of-entry0* **obtain** s **where** $s \in \text{set } (g-V G)$ **and** $s \neq 0$ **using** *head-is-vert*
by *auto*
with *zero-in-verts* **have** $\{0, s\} \subseteq \text{set } (g-V G)$ **and** $c: \text{card } \{0, s\} > 1$ **by** *auto*
then **have** $\text{card } \{0, s\} \leq \text{card } (\text{set } (g-V G))$ **by** (*auto simp add:card-mono*)
with c **have** $\text{card } (\text{set } (g-V G)) > 1$ **by** *simp*
then **show** *?thesis* **using** *card-length*[*of g-V G*] **by** *auto*
qed

lemma *verts-ge-Suc0* : $\neg [0..<\text{length } (g-V G)] = [0]$
proof
assume $[0..<\text{length } (g-V G)] = [0]$
then **have** $\text{length } [0..<\text{length } (g-V G)] = 1$ **by** *simp*
with *len-verts-gt1* **show** *False* **by** *auto*
qed

lemma *distinct-verts1*: *distinct* $[0..<\text{length } (g-V G)]$
by *simp*

lemma *distinct-verts2*: *distinct* $(g-V G)$
by (*insert distinct-verts1 verts*) *simp*

lemma *single-entry*: *is-singleton* $(g-V0 G)$
by (*simp add:entry0*)

lemma *entry-is-0*: *the-elem* $(g-V0 G) = 0$
by (*simp add: entry0*)

lemma *wf-digraph*: *cfg-doms* G **by** *intro-locales*

lemma *path-entry-prepend-conv*: $\text{path-entry } (g-E G) p n \implies p \neq [] \implies \exists v.$
 $\text{path-entry } (g-E G) (\text{tl } p) v \wedge (v, n) \in (g-E G)$
proof (*induct rule:path-entry.induct*)
case *path-entry0* **then** **show** *?case* **by** *auto*
next
case (*path-entry-prepend u v l*)
then **show** *?case* **by** *auto*
qed

lemma *path-verts*: $\text{path-entry } (g-E \ G) \ p \ n \implies n \in \text{set } (g-V \ G)$
proof (*cases* $p = []$)
 case *True*
 assume $\text{path-entry } (g-E \ G) \ p \ n$ **and** $p = []$
 then show *?thesis* **by** (*simp add: path-entry0-empty-conv zero-in-verts*)
next
 case *False*
 assume $\text{path-entry } (g-E \ G) \ p \ n$ **and** $p \neq []$
 then have $(0, n) \in (g-E \ G)^+$ **by** (*auto simp add: path-entry-is-trancl*)
 then show *?thesis* **using** *head-is-vert2* **by** *simp*
qed

lemma *path-in-verts*:
 assumes $\text{path-entry } (g-E \ G) \ l \ v$
 shows $\text{set } l \subseteq \text{set } (g-V \ G)$
 using *assms*
proof (*induct rule: path-entry.induct*)
 case *path-entry0* **then show** *?case* **by** *auto*
next
 case (*path-entry-prepend* $u \ v \ l$)
 then show *?case* **using** *path-verts* **by** *auto*
qed

lemma *any-node-exits-path*:
 assumes $v \in \text{set } (g-V \ G)$
 shows $\exists p. \text{path-entry } (g-E \ G) \ p \ v$
 using *assms*
proof (*cases* $v = 0$)
 assume $v \in \text{set } (g-V \ G)$ **and** $v = 0$
 have $\text{path-entry } (g-E \ G) \ [] \ 0$ **by** (*auto simp add: path-entry0*)
 then show *?thesis* **using** $\langle v = 0 \rangle$ **by** *auto*
next
 assume $v \in \text{set } (g-V \ G)$ **and** $v \neq 0$
 with *reachable* **have** $v \in (g-E \ G)^* \ \{\!\! \{ 0 \} \}$ **by** *auto*
 then have $(0, v) \in (g-E \ G)^*$ **by** (*simp add: Image-iff*)
 then show *?thesis* **by** (*auto intro: entry-rtrancl-is-path*)
qed

lemma *entry0-path*: $\text{path-entry } (g-E \ G) \ [] \ 0$
 by (*auto simp add: path-entry.path-entry0*)

definition *reachable* $:: \text{node} \Rightarrow \text{bool}$
 where $\text{reachable } v \equiv v \in (g-E \ G)^* \ \{\!\! \{ 0 \} \}$

lemma *path-entry-reachable*:
 assumes $\text{path-entry } (g-E \ G) \ p \ n$
 shows *reachable* n
 using *assms reachable*

by (fastforce intro:path-verts simp add:reachable-def)

lemma *nin-nodes-reachable*: $n \notin \text{set } (g-V G) \implies \neg \text{reachable } n$

proof(rule ccontr)

assume $n \notin \text{set } (g-V G)$ and nn : $\neg \neg \text{reachable } n$

from $\langle n \notin \text{set } (g-V G) \rangle$ have $n \neq 0$ using *verts-set len-verts-gt0 entry0* by *auto*

from nn have *reachable* n by *auto*

then have $n \in (g-E G)^*$ “ $\{0\}$ ” by (simp add: *reachable-def*)

then have $(0, n) \in (g-E G)^*$ by (auto simp add: *Image-def*)

with $\langle n \neq 0 \rangle$ have $\exists n'. (0, n') \in (g-E G)^* \wedge (n', n) \in (g-E G)$ by (auto intro: *rtranclE*)

then obtain n' where $(0, n') \in (g-E G)^*$ and $(n', n) \in (g-E G)$ by *auto*

then have $n \in \text{set } (g-V G)$ using *head-is-vert* by *auto*

with $\langle n \notin \text{set } (g-V G) \rangle$ show *False*

by *auto*

qed

lemma *reachable-path-entry*: $\text{reachable } n \implies \exists p. \text{path-entry } (g-E G) p n$

proof–

assume *reachable* n

then have $(0, n) \in (g-E G)^*$ by (auto simp add: *reachable-def Image-iff*)

then have $0 = n \vee 0 \neq n \wedge (0, n) \in (g-E G)^+$ by (auto simp add: *rtrancl-eq-or-trancl*)

then show *?thesis*

proof

assume $0 = n$

have *path-entry* $(g-E G) [] 0$ by (simp add: *path-entry0*)

with $\langle 0 = n \rangle$ show *?thesis* by *auto*

next

assume $0 \neq n \wedge (0, n) \in (g-E G)^+$

then have $(0, n) \in (g-E G)^+$ by (auto simp add: *rtranclpD*)

then have $n \in \text{set } (g-V G)$ by (simp add: *head-is-vert2*)

then show *?thesis* by (rule *any-node-exits-path*)

qed

qed

lemma *path-entry-unconc*:

assumes *path-entry* $(g-E G) (la@lb) w$

obtains v where *path-entry* $(g-E G) lb v$

using *assms*

apply (induct $la@lb w$ arbitrary: $la lb$ rule: *path-entry.induct*)

apply (fastforce intro: *path-entry.intros*)

by (auto intro: *path-entry.intros iff add: Cons-eq-append-conv*)

lemma *path-entry-append-conv*:

path-entry $(g-E G) (v\#l) w \longleftrightarrow (\text{path-entry } (g-E G) l v \wedge (v, w) \in (g-E G))$

proof

assume *path-entry* $(g-E G) (v\#l) w$

then show *path-entry* $(g-E G) l v \wedge (v, w) \in g-E G$

by (auto simp add:path-entry-cons-conv)
 next
 assume path-entry (g-E G) l v \wedge (v, w) \in g-E G
 then show path-entry (g-E G) (v # l) w by (fastforce intro: path-entry-append)
 qed

lemma takeWhileNot-path-entry:

assumes path-entry E p x
 and v \in set p
 and takeWhile ((\neq) v) (rev p) = c
 shows path-entry E (rev c) v
 using assms
 proof (induct rule: path-entry.induct)
 case path-entry0
 then show ?case by auto
 next
 case (path-entry-prepend u va l)
 then show ?case
 proof (cases v \in set l)
 case True note v-in = this
 then have takeWhile ((\neq) v) (rev (u # l)) = takeWhile ((\neq) v) (rev l) by auto
 auto
 with path-entry-prepend.prem2 have takeWhile ((\neq) v) (rev l) = c by simp
 with v-in show ?thesis using path-entry-prepend.hyps(3) by auto
 next
 case False note v-nin = this
 with path-entry-prepend.prem1 have v-u: v = u by auto
 then have take-eq: takeWhile ((\neq) v) (rev (u # l)) = takeWhile ((\neq) v) ((rev l) @ [u]) by auto
 from v-nin have $\bigwedge x. x \in$ set (rev l) \implies ((\neq) v) x by auto
 then have takeWhile ((\neq) v) ((rev l) @ [u]) = (rev l) @ takeWhile ((\neq) v) [u]
 by (rule takeWhile-append2) simp
 with v-u take-eq have takeWhile ((\neq) v) (rev (u # l)) = (rev l) by simp
 then show ?thesis using path-entry-prepend.prem2 path-entry-prepend.hyps(2) v-u by auto
 qed
 qed

lemma path-entry-last: path-entry (g-E G) p n \implies p \neq [] \implies last p = 0

apply (induct rule: path-entry.induct)
 apply simp
 apply (simp add: path-entry-cons-conv neq-Nil-conv)
 apply (auto simp add:path-entry0-empty-conv)
 done

lemma path-entry-loop:

assumes n-path: path-entry (g-E G) p n
 and n: n \in set p
 shows $\exists p'. \text{path-entry (g-E G) } p' n \wedge n \notin \text{set } p'$

using *assms*
proof –
let $?c = \text{takeWhile } ((\neq) n) (\text{rev } (p))$
have $\forall z \in \text{set } ?c. z \neq n$ **by** (*auto dest: set-takeWhileD*)
then have $n\text{-nin}: n \notin \text{set } (\text{rev } ?c)$ **by** *auto*

from $n\text{-path}$ **obtain** v **where** $\text{path-entry } (g\text{-}E\ G) (p) v$ **using** *path-entry-prepend-conv*
by *auto*
with n **have** $\text{path-entry } (g\text{-}E\ G) (\text{rev } ?c) n$ **by** (*auto intro:takeWhileNot-path-entry*)

with $n\text{-nin}$ **show** $?thesis$ **by** *fastforce*
qed

lemma *path-entry-hd-edge*:
assumes $\text{path-entry } (g\text{-}E\ G) pa\ p$
and $pa \neq []$
shows $(\text{hd } pa, p) \in (g\text{-}E\ G)$
using *assms*
by (*induct rule: path-entry.induct*) *auto*

lemma *path-entry-edge*:
assumes $pa \neq []$
and $\text{path-entry } (g\text{-}E\ G) pa\ p$
shows $\exists u \in \text{set } pa. (\text{path-entry } (g\text{-}E\ G) (\text{rev } (\text{takeWhile } ((\neq) u) (\text{rev } pa))) u) \wedge$
 $(u, p) \in (g\text{-}E\ G)$
using *assms*
proof –
from *assms* **have** $1: \text{path-entry } (g\text{-}E\ G) (\text{rev } (\text{takeWhile } ((\neq) (\text{hd } pa)) (\text{rev } pa)))$
 $(\text{hd } pa)$ **by** (*auto intro:takeWhileNot-path-entry*)
from *assms* **have** $2: (\text{hd } pa, p) \in (g\text{-}E\ G)$ **by** (*auto intro: path-entry-hd-edge*)
have $\text{hd } pa \in \text{set } pa$ **using** *assms(1)* **by** *auto*
with $1\ 2$ **show** $?thesis$ **by** *auto*
qed

definition *is-tail* :: $\text{node} \Rightarrow \text{node} \times \text{node} \Rightarrow \text{bool}$
where $\text{is-tail } v\ e = (v = \text{tail } G\ e)$

definition *is-head* :: $\text{node} \Rightarrow \text{node} \times \text{node} \Rightarrow \text{bool}$
where $\text{is-head } v\ e = (v = \text{head } G\ e)$

definition *succs*:: $\text{node} \Rightarrow \text{node set}$
where $\text{succs } v = (g\text{-}E\ G) \text{ `` } \{v\}$

lemma *succ-in-verts*: $s \in \text{succs } n \implies \{s, n\} \subseteq \text{set } (g\text{-}V\ G)$
by (*simp add:succs-def tail-is-vert head-is-vert*)

lemma *succ0-not-nil*: $\text{succs } 0 \neq \{\}$
using *succ-of-entry0* **by** (*auto simp add:succs-def*)

definition *prevs*:: node \Rightarrow node set **where**
prevs v = (converse (g-E G))“ {v}

lemma $v \in \text{succs } u \longleftrightarrow u \in \text{prevs } v$
by (auto simp add:succs-def prevs-def)

lemma *succ-edge*: $\forall v \in \text{succs } n. (n, v) \in g\text{-E } G$
by (auto simp add:succs-def)

lemma *prev-edge*: $u \in \text{set } (g\text{-V } G) \Longrightarrow \forall v \in \text{prevs } u. (v, u) \in g\text{-E } G$
by (auto simp add:prevs-def)

lemma *succ-in-G*: $\forall v \in \text{succs } n. v \in \text{set } (g\text{-V } G)$
by (auto simp add: succs-def dest:head-in-verts)

lemma *succ-is-subset-of-verts*: $\forall v \in \text{set } (g\text{-V } G). \text{succs } v \subseteq \text{set}(g\text{-V } G)$
by (insert succ-in-G) auto

lemma *fin-succs*: $\forall v \in \text{set } (g\text{-V } G). \text{finite } (\text{succs } v)$
by (insert succ-is-subset-of-verts) (auto intro:rev-finite-subset)

lemma *fin-succs'*: $v < \text{length } (g\text{-V } G) \Longrightarrow \text{finite } (\text{succs } v)$
by (subgoal-tac $v \in \text{set } (g\text{-V } G)$)
(auto simp add: fin-succs verts-set)

lemma *succ-range*: $\forall v \in \text{succs } n. v < \text{length } (g\text{-V } G)$
by (insert succ-in-G verts-set) auto

lemma *path-entry-gt*:

assumes $\forall p. \text{path-entry } E p n \longrightarrow d \in \text{set } p$
and $\forall p. \text{path-entry } E p n' \longrightarrow n \in \text{set } p$
shows $\forall p. \text{path-entry } E p n' \longrightarrow d \in \text{set } p$
using *assms*

proof (intro strip)

fix p

let ?npath = takeWhile ((\neq) n) (rev p)

have sub: set ?npath \subseteq set p **apply** (induct p) **by** (auto dest:set-takeWhileD)

assume *ass*: path-entry E p n'

with *assms*(2) **have** *n-in-p*: n \in set p **by** auto

then **have** n \in set (rev p) **by** auto

with *ass* **have** path-entry E (rev ?npath) n

using takeWhileNot-path-entry **by** auto

with *assms*(1) **have** d \in set ?npath **by** fastforce

with sub **show** d \in set p **by** auto

qed

definition *dominate* :: nat \Rightarrow nat \Rightarrow bool
where *dominate* n1 n2 \equiv

$$\forall pa. \text{path-entry } (g-E \ G) \ pa \ n2 \longrightarrow \\ (n1 \in \text{set } pa \vee n1 = n2)$$

definition *strict-dominate*:: $\text{nat} \Rightarrow \text{nat} \Rightarrow \text{bool}$ **where**

$$\text{strict-dominate } n1 \ n2 \equiv \\ \forall pa. \text{path-entry } (g-E \ G) \ pa \ n2 \longrightarrow \\ (n1 \in \text{set } pa \wedge n1 \neq n2)$$

lemma *any-dominate-unreachable*: $\neg \text{reachable } n \implies \text{dominate } d \ n$

proof(*unfold reachable-def dominate-def*)

assume *ass*: $n \notin (g-E \ G)^* \ \{\{0\}\}$

have $\neg (\exists p. \text{path-entry } (g-E \ G) \ p \ n)$

proof (*rule ccontr*)

assume $\neg (\neg (\exists p. \text{path-entry } (g-E \ G) \ p \ n))$

then obtain *p* **where** *p*: $\text{path-entry } (g-E \ G) \ p \ n$ **by** *auto*

then have $n = 0 \vee \text{reachable } n$ **by** (*auto intro:path-entry-reachable*)

then show *False*

proof

assume $n = 0$

then show *False* **using** *ass* **by** *auto*

next

assume $\text{reachable } n$

then show *False* **using** *ass* **by** (*auto simp add:reachable-def*)

qed

qed

then show $\forall pa. \text{path-entry } (g-E \ G) \ pa \ n \longrightarrow d \in \text{set } pa \vee d = n$ **by** *auto*

qed

lemma *any-sdominate-unreachable*: $\neg \text{reachable } n \implies \text{strict-dominate } d \ n$

proof(*unfold reachable-def strict-dominate-def*)

assume *ass*: $n \notin (g-E \ G)^* \ \{\{0\}\}$

have $\neg (\exists p. \text{path-entry } (g-E \ G) \ p \ n)$

proof (*rule ccontr*)

assume $\neg (\neg (\exists p. \text{path-entry } (g-E \ G) \ p \ n))$

then obtain *p* **where** *p*: $\text{path-entry } (g-E \ G) \ p \ n$ **by** *auto*

then have $n = 0 \vee \text{reachable } n$ **by** (*auto intro:path-entry-reachable*)

then show *False*

proof

assume $n = 0$

then show *False* **using** *ass* **by** *auto*

next

assume $\text{reachable } n$

then show *False* **using** *ass* **by** (*auto simp add:reachable-def*)

qed

qed

then show $\forall pa. \text{path-entry } (g-E \ G) \ pa \ n \longrightarrow d \in \text{set } pa \wedge d \neq n$ **by** *auto*

qed

```

lemma dom-reachable: reachable n  $\implies$  dominate d n  $\implies$  reachable d
proof –
  assume reach-n: reachable n
    and dom-n: dominate d n
  from reach-n have  $\exists p$ . path-entry (g-E G) p n by (rule reachable-path-entry)
  then obtain p where p: path-entry (g-E G) p n by auto

  show reachable d
  proof (cases d  $\neq$  n)
    case True
      with dom-n p have d-in: d  $\in$  set p by (auto simp add:dominate-def)
      let ?pa = takeWhile ( $\neq$  d) (rev p)
      from d-in p have path-entry (g-E G) (rev ?pa) d using takeWhileNot-path-entry
by auto
      then show ?thesis using path-entry-reachable by auto
    next
      case False
      with reach-n show ?thesis by auto
  qed
qed

lemma dominate-refl: dominate n n
  by (simp add:dominate-def)

lemma entry0-dominates-all:  $\forall p \in \text{set } (g-V G)$ . dominate 0 p
proof(intro strip)
  fix p
  assume p  $\in$  set (g-V G)
  show dominate 0 p
  proof (cases p = 0)
    case True
      then show ?thesis by (auto simp add:dominate-def)
    next
      case False
      assume p-neq0: p  $\neq$  0
      have  $\forall pa$ . path-entry (g-E G) pa p  $\longrightarrow$  0  $\in$  set pa
      proof (intro strip)
        fix pa
        assume path-p: path-entry (g-E G) pa p
        show 0  $\in$  set pa
        proof (cases pa  $\neq$  [])
          case True note pa-n-nil = this
          with path-p have last-pa: last pa = 0 using path-entry-last by auto
          from pa-n-nil have last pa  $\in$  set pa by simp
          with last-pa show ?thesis by simp
        next
          case False
          with path-p have p = 0 by (simp add:path-entry0-empty-conv)
      qed
  qed

```

```

    with p-neq0 show ?thesis by auto
  qed
  qed
  then show ?thesis by (auto simp add:dominate-def)
  qed
  qed

```

```

lemma strict-dominate i j  $\implies$  j  $\in$  set (g-V G)  $\implies$  i  $\neq$  j
  using any-node-exits-path
  by (auto simp add:strict-dominate-def)

```

```

definition non-strict-dominate:: nat  $\Rightarrow$  nat  $\Rightarrow$  bool where
  non-strict-dominate n1 n2  $\equiv$   $\exists$  pa. path-entry (g-E G) pa n2  $\wedge$  (n1  $\notin$  set pa)

```

```

lemma any-sdominate-0: n  $\in$  set (g-V G)  $\implies$  non-strict-dominate n 0
  apply (simp add:non-strict-dominate-def)
  by (auto intro:path-entry0)

```

```

lemma non-sdominate-succ: (i,j)  $\in$  g-E G  $\implies$  k  $\neq$  i  $\implies$  non-strict-dominate k i
   $\implies$  non-strict-dominate k j

```

```

proof -
  assume i-j: (i,j)  $\in$  g-E G and k-neq-i: k  $\neq$  i and non-strict-dominate k i
  then obtain pa where path-entry (g-E G) pa i and k-nin-pa: k  $\notin$  set pa by
  (auto simp add:non-strict-dominate-def)
  with i-j have path-entry (g-E G) (i#pa) j by (auto simp add:path-entry-prepend)
  with k-neq-i k-nin-pa show ?thesis by (auto simp add:non-strict-dominate-def)
  qed

```

```

lemma any-node-non-sdom0: non-strict-dominate k 0
  by (auto intro:entry0-path simp add:non-strict-dominate-def)

```

```

lemma nonstrict-eq: non-strict-dominate i j  $\implies$   $\neg$  strict-dominate i j
  by (auto simp add:non-strict-dominate-def strict-dominate-def)

```

```

lemma dominate-trans:
  assumes dominate n1 n2
    and dominate n2 n3
  shows dominate n1 n3
  using assms
proof (cases n1 = n2)
  case True
  then show ?thesis using assms(2) by auto
next
  case False
  then show dominate n1 n3
  proof (cases n1 = n3)
    case True
    then show ?thesis by (auto simp add:dominate-def)
  end
end

```

```

next
  case False
  show dominate n1 n3
  proof (cases n2 = n3)
    case True
    then show ?thesis using assms(1) by auto
  next
  case False
  with  $\langle n1 \neq n2 \rangle \langle n1 \neq n3 \rangle$  show ?thesis
  proof (auto simp add: dominate-def)
    fix pa
    assume  $n1 \neq n2$  and  $n1 \neq n3$  and  $n2 \neq n3$ 
    from  $\langle n1 \neq n2 \rangle$  assms(1) have n1-n2-pa:  $\forall pa. \text{path-entry } (g-E \ G) \ pa \ n2$ 
     $\longrightarrow n1 \in \text{set } pa$ 
    by (auto simp add: dominate-def)
    from  $\langle n2 \neq n3 \rangle$  assms(2) have  $\forall pa. \text{path-entry } (g-E \ G) \ pa \ n3 \longrightarrow n2 \in$ 
    set pa
    by (auto simp add: dominate-def)
    with n1-n2-pa have  $\forall pa. \text{path-entry } (g-E \ G) \ pa \ n3 \longrightarrow n1 \in \text{set } pa$ 
    by (rule path-entry-gt)
    then show  $\bigwedge pa. \text{path-entry } (g-E \ G) \ pa \ n3 \implies n1 \in \text{set } pa$  by auto
  qed
qed
qed
qed

```

lemma *len-takeWhile-lt*: $x \in \text{set } xs \implies \text{length } (\text{takeWhile } ((\neq) \ x) \ xs) < \text{length } xs$
 by (*induct xs*) *auto*

lemma *len-takeWhile-comp*:
 assumes $n1 \in \text{set } xs$
 and $n2 \in \text{set } xs$
 and $n1 \neq n2$
 shows $\text{length } (\text{takeWhile } ((\neq) \ n1) \ xs) \neq \text{length } (\text{takeWhile } ((\neq) \ n2) \ xs)$
 using *assms*
 by (*induct xs*) *auto*

lemma *len-takeWhile-comp1*:
 assumes $n1 \in \text{set } xs$
 and $n2 \in \text{set } xs$
 and $n1 \neq n2$
 shows $\text{length } (\text{takeWhile } ((\neq) \ n1) \ (\text{rev } (x \ \# \ xs))) \neq \text{length } (\text{takeWhile } ((\neq) \ n2) \ (\text{rev } (x \ \# \ xs)))$
 using *assms len-takeWhile-comp*[of $n1 \ \text{rev } xs \ n2$] by *fastforce*

lemma *len-takeWhile-comp2*:
 assumes $n1 \in \text{set } xs$
 and $n2 \notin \text{set } xs$
 shows $\text{length } (\text{takeWhile } ((\neq) \ n1) \ (\text{rev } (x \ \# \ xs))) \neq \text{length } (\text{takeWhile } ((\neq) \ n2) \ (\text{rev } (x \ \# \ xs)))$

```

n2) (rev (x # xs)))
  using assms
proof –
  let ?xs1 = takeWhile ((≠) n1) (rev (x # xs))
  let ?xs2 = takeWhile ((≠) n2) (rev (x # xs))
  from assms have len1: length (takeWhile ((≠) n1) (rev xs)) < length (rev xs)
    using len-takeWhile-lt[of -rev xs] by auto

  from assms(1) have ?xs1 = takeWhile ((≠) n1) (rev xs) by auto
  then have len2: length ?xs1 < length (rev xs) using len1 by auto

  from assms(2) have takeWhile ((≠) n2) (rev xs @ [x]) = (rev xs) @ takeWhile
  ((≠) n2) [x]
    by (fastforce intro:takeWhile-append2)
  then have ?xs2 = (rev xs) @ takeWhile ((≠) n2) [x] by simp
  then show ?thesis using len2 by auto
qed

lemma len-compare1:
  assumes n1 = x and n2 ≠ x
  shows length (takeWhile ((≠) n1) (rev (x # xs))) ≠ length (takeWhile ((≠)
n2) (rev (x # xs)))
  using assms
proof(cases n1 ∈ set xs ∧ n2 ∈ set xs)
  case True
    with assms show ?thesis using len-takeWhile-comp1 by fastforce
  next
    let ?xs1 = takeWhile ((≠) n1) (rev (x # xs))
    let ?xs2 = takeWhile ((≠) n2) (rev (x # xs))

    case False
    then have n1 ∈ set xs ∧ n2 ∉ set xs ∨ n1 ∉ set xs ∧ n2 ∈ set xs ∨ n1 ∉ set
  xs ∧ n2 ∉ set xs by auto
    then show ?thesis
    proof
      assume n1 ∈ set xs ∧ n2 ∉ set xs
      then show ?thesis by (fastforce dest: len-takeWhile-comp2)
    next
      assume n1 ∉ set xs ∧ n2 ∈ set xs ∨ n1 ∉ set xs ∧ n2 ∉ set xs
      then show ?thesis
      proof
        assume n1 ∉ set xs ∧ n2 ∈ set xs
        then have n1: n1 ∉ set xs and n2: n2 ∈ set xs by auto
        have length ?xs2 ≠ length ?xs1 using len-takeWhile-comp2[OF n2 n1] by
auto
        then show ?thesis by simp
      next
        assume n1 ∉ set xs ∧ n2 ∉ set xs
        then have n1-nin: n1 ∉ set xs and n2-nin: n2 ∉ set xs by auto

```

```

      then have t1: takeWhile ((≠) n1) (rev xs @ [x]) = (rev xs) @ takeWhile
((≠) n1) [x]
      and takeWhile ((≠) n2) (rev xs @ [x]) = (rev xs) @ takeWhile ((≠)
n2) [x]
      by (fastforce intro:takeWhile-append2)+
      with ⟨n1 = x⟩ ⟨n2 ≠ x⟩ have t1': takeWhile ((≠) n1) (rev xs @ [x]) = rev
xs
      and takeWhile ((≠) n2) (rev xs @ [x]) = (rev xs) @
[x] by auto
      then have length (takeWhile ((≠) n2) (rev xs @ [x])) = length ((rev xs) @
[x])
      using arg-cong[of takeWhile ((≠) n2) (rev xs @ [x]) rev xs @ [x] length] by
fastforce
      with t1' show ?thesis by auto
    qed
  qed
qed

```

lemma *len-compare2*:

```

  assumes n1 ∈ set xs
  and n1 ≠ n2
  shows length (takeWhile ((≠) n1) (rev (x # xs))) ≠ length (takeWhile ((≠)
n2) (rev (x # xs)))
  using assms
  apply(case-tac n2 ∈ set xs)
  apply (fastforce dest:len-takeWhile-comp1 )
  apply (fastforce dest:len-takeWhile-comp2)
  done

```

lemma *len-takeWhile-set*:

```

  assumes length (takeWhile ((≠) n1) xs) > length (takeWhile ((≠) n2) xs)
  and n1 ≠ n2
  and n1 ∈ set xs
  and n2 ∈ set xs
  shows set (takeWhile ((≠) n2) xs) ⊆ set (takeWhile ((≠) n1) xs)
  using assms

```

proof (*induct xs*)

case *Nil* then show ?case by auto

next

```

  case (Cons y ys)
  note ind-hyp = Cons(1)
  note len-n2-lt-n1-y-ys = Cons(2)
  note n1-n-n2 = Cons(3)
  note n1-in-y-ys = Cons(4)
  note n2-in-y-ys = Cons(5)

```

let ?ys1-take = takeWhile ((≠) n1) ys

let ?ys2-take = takeWhile ((≠) n2) ys

```

show ?case
proof(cases n1 ∈ set ys)
  case True note n1-in-ys = this
  show ?thesis
proof(cases n2 ∈ set ys)
  case True note n2-in-ys = this
  show ?thesis
proof (cases n1 ≠ y)
  case True note n1-neq-y = this
  show ?thesis
proof (cases n2 ≠ y)
  case True note n2-neq-y = this
  from len-n2-lt-n1-y-ys have length ?ys2-take < length ?ys1-take
  using n1-n-n2 n1-in-ys n2-in-ys n1-neq-y n2-neq-y by (induct ys) auto
  from ind-hyp[OF this n1-n-n2 n1-in-ys n2-in-ys]
  have set (takeWhile ((≠) n2) ys) ⊆ set (takeWhile ((≠) n1) ys) by auto
  then show ?thesis using n1-neq-y n2-neq-y by (induct ys) auto
next
  case False
  with n1-n-n2 show ?thesis by auto
qed
next
  case False
  with n1-n-n2 show ?thesis using len-n2-lt-n1-y-ys by auto
qed
next
  case False
  with n2-in-y-ys have n2 = y by auto
  then show ?thesis by auto
qed
next
  case False
  with n1-in-y-ys have n1 = y by auto
  with n1-n-n2 show ?thesis using len-n2-lt-n1-y-ys by auto
qed
qed

```

lemma *reachable-dom-acyclic*:

```

assumes reachable n2
  and dominate n1 n2
  and dominate n2 n1
shows n1 = n2
using assms

```

proof –

```

from assms(1) assms(2) have reachable n1 by (auto intro: dom-reachable)
then have ∃ pa. path-entry (g-E G) pa n1 by (auto intro: reachable-path-entry)
then obtain pa where pa: path-entry (g-E G) pa n1 by auto

```

```

let ?n-take-n1 = takeWhile ((≠) n1) (rev pa)

```



```

let ?n-take-n2 = takeWhile ((≠) n2) (rev pa)

show n1 = n2
proof(rule ccontr)
  assume n1-neq-n2: n1 ≠ n2
  then have pa-n1-n2: ∀ pa. path-entry (g-E G) pa n2 ⟶ n1 ∈ set pa
    and pa-n2-n1: ∀ pa. path-entry (g-E G) pa n1 ⟶ n2 ∈ set pa using
assms(2) assms(3)
    by (auto simp add:dominate-def)
  then have n1-n1-pa: ∀ pa. path-entry (g-E G) pa n1 ⟶ n1 ∈ set pa by (rule
path-entry-gt)
  with pa pa-n2-n1 have n1-in-pa: n1 ∈ set pa
    and n2-in-pa: n2 ∈ set pa by auto
  with n1-neq-n2 have len-neq: length ?n-take-n1 ≠ length ?n-take-n2
    by (auto simp add: len-takeWhile-comp)

from pa n1-in-pa n2-in-pa have path1: path-entry (g-E G) (rev ?n-take-n1) n1
    and path2: path-entry (g-E G) (rev ?n-take-n2) n2
  using takeWhileNot-path-entry by auto

have n1-not-in: n1 ∉ set ?n-take-n1 by (auto dest: set-takeWhileD[of - rev
pa])
have n2-not-in: n2 ∉ set ?n-take-n2 by (auto dest: set-takeWhileD[of - rev
pa])

show False
proof(cases length ?n-take-n1 > length ?n-take-n2)
  case True
  then have set ?n-take-n2 ⊆ set ?n-take-n1
    using n1-in-pa n2-in-pa by (auto dest: len-takeWhile-set[of - rev pa])
  then have n1 ∉ set ?n-take-n2 using n1-not-in by auto
  with path2 show False using pa-n1-n2 by auto
next
  case False
  with len-neq have length ?n-take-n2 > length ?n-take-n1 by auto
  then have set ?n-take-n1 ⊆ set ?n-take-n2
    using n1-neq-n2 n2-in-pa n1-in-pa by (auto dest: len-takeWhile-set)
  then have n2 ∉ set ?n-take-n1 using n2-not-in by auto
  with path1 show False using pa-n2-n1 by auto
qed
qed
qed

lemma sdom-dom: strict-dominate n1 n2 ⟹ dominate n1 n2
  by (auto simp add:strict-dominate-def dominate-def)

lemma dominate-sdominate: dominate n1 n2 ⟹ n1 ≠ n2 ⟹ strict-dominate
n1 n2

```

by (auto simp add:strict-dominate-def dominate-def)

lemma *sdom-neq*:
 assumes *reachable n2*
 and *strict-dominate n1 n2*
 shows $n1 \neq n2$
 using *assms*
proof –
 from *assms*(1) have $\exists p. \text{path-entry } (g-E \ G) \ p \ n2$ by (rule *reachable-path-entry*)

then obtain *p* where *path-entry* (g-E G) p n2 by auto
 with *assms*(2) show ?thesis by (auto simp add:strict-dominate-def)
qed

lemma *reachable-dom-acyclic2*:
 assumes *reachable n2*
 and *strict-dominate n1 n2*
 shows $\neg \text{dominate } n2 \ n1$
 using *assms*
proof –
 from *assms* have *n1-dom-n2*: *dominate n1 n2* and *n1-neq-n2*: $n1 \neq n2$
 by (auto simp add:sdom-dom sdom-neq)
 with *assms*(1) have *dominate n2 n1* $\implies n1 = n2$ using *reachable-dom-acyclic*
 by auto
 with *n1-neq-n2* show ?thesis by auto
qed

lemma *not-dom-eq-not-sdom*: $\neg \text{dominate } n1 \ n2 \implies \neg \text{strict-dominate } n1 \ n2$
 by (auto simp add:strict-dominate-def dominate-def)

lemma *reachable-sdom-acyclic*:
 assumes *reachable n2*
 and *strict-dominate n1 n2*
 shows $\neg \text{strict-dominate } n2 \ n1$
 using *assms*
 apply (insert *reachable-dom-acyclic2*[OF *assms*(1) *assms*(2)])
 by (auto simp add:not-dom-eq-not-sdom)

lemma *strict-dominate-trans1*:
 assumes *strict-dominate n1 n2*
 and *dominate n2 n3*
 shows *strict-dominate n1 n3*
 using *assms*
proof (cases *reachable n2*)
 case True note *reach-n2 = this*
 with *assms*(1) have *n1-dom-n2*: *dominate n1 n2* and *n1-neq-n2*: $n1 \neq n2$
 by (auto simp add:sdom-dom sdom-neq)
 with *assms*(2) have *n1-dom-n3*: *dominate n1 n3* by (auto intro: *dominate-trans*)
 have *n1-neq-n3*: $n1 \neq n3$

```

proof (rule ccontr)
  assume  $\neg n1 \neq n3$  then have  $n1 = n3$  by simp
  with assms(2) have n2-dom-n1: dominate n2 n1 by simp
  with reach-n2 n1-dom-n2 have  $n1 = n2$  by (auto dest:reachable-dom-acyclic)
  with n1-neq-n2 show False by auto
qed
with n1-dom-n3 show ?thesis by (simp add:strict-dominate-def dominate-def)
next
case False note not-reach-n2 = this
have  $\neg$  reachable n3
proof (rule ccontr)
  assume  $\neg \neg$  reachable n3
  with assms(2) have reachable n2 by (auto intro: dom-reachable)
  with not-reach-n2 show False by auto
qed
then show ?thesis by (auto intro:any-sdominate-unreachable)
qed

lemma strict-dominate-trans2:
  assumes dominate n1 n2
    and strict-dominate n2 n3
  shows strict-dominate n1 n3
using assms
proof (cases reachable n3)
case True
  with assms(2) have n2-dom-n3: dominate n2 n3 and n1-neq-n2:  $n2 \neq n3$ 
    by (auto simp add:sdom-dom sdom-neq)
  with assms(1) have n1-dom-n3: dominate n1 n3 by (auto intro: dominate-trans)
  have n1-neq-n3:  $n1 \neq n3$ 
  proof (rule ccontr)
    assume  $\neg n1 \neq n3$  then have  $n1 = n3$  by simp
    with assms(1) have dominate n3 n2 by simp
    with  $\langle$ reachable n3 $\rangle$  n2-dom-n3 have  $n2 = n3$  by (auto dest:reachable-dom-acyclic)
    with n1-neq-n2 show False by auto
  qed
  with n1-dom-n3 show ?thesis by (simp add:strict-dominate-def dominate-def)
next
case False
  then have  $\neg$  reachable n3 by simp
  then show ?thesis by (auto intro:any-sdominate-unreachable)
qed

lemma strict-dominate-trans:
  assumes strict-dominate n1 n2
    and strict-dominate n2 n3
  shows strict-dominate n1 n3
using assms
apply(subgoal-tac dominate n2 n3)
apply(rule strict-dominate-trans1)

```

```

apply (auto simp add: strict-dominate-def dominate-def)
done

lemma sdominate-dominate-succs:
  assumes i-sdom-j: strict-dominate i j
    and j-in-succ-k: j ∈ succs k
  shows dominate i k
proof (rule ccontr)
  assume ass:¬ dominate i k
  then obtain p where path-k: path-entry (g-E G) p k and i-nin-p: i ∉ set p by
(auto simp add:dominate-def)
  with j-in-succ-k i-sdom-j have i: i = k ∨ i = j by (auto intro:path-entry-append
simp add:succs-def strict-dominate-def)

  from j-in-succ-k have reachable j using succ-in-verts reachable by (auto simp
add:reachable-def)
  with i-sdom-j have i ≠ j by (auto simp add: sdom-neq)
  with i have i = k by auto
  then have dominate i k by (auto simp add:dominate-refl)
  with ass show False by auto
qed

end

end

```

2 More auxiliary lemmas for Lists Sorted wrt <

```

theory Sorted-Less2

```

```

  imports Main HOL-Data-Structures.Cmp HOL-Data-Structures.Sorted-Less
begin

```

```

lemma Cons-sorted-less: sorted (rev xs) ⇒ ∀ x∈set xs. x < p ⇒ sorted (rev
(p # xs))
  by (induct xs) (auto simp add:sorted-wrt-append)

```

```

lemma Cons-sorted-less-nth: ∀ x<length xs. xs ! x < p ⇒ sorted (rev xs) ⇒
sorted (rev (p # xs))
  apply(subgoal-tac ∀ x∈set xs. x < p)
  apply(fastforce dest:Cons-sorted-less)
  apply(auto simp add: set-conv-nth)
done

```

```

lemma distinct-sorted-rev: sorted (rev xs) ⇒ distinct xs
  by (induct xs) (auto simp add:sorted-wrt-append)

```

```

lemma sorted-le2lt:
  assumes List.sorted xs
    and distinct xs

```

```

    shows sorted xs
  using assms
proof (induction xs)
  case Nil then show ?case by auto
next
  case (Cons x xs)
  note ind-hyp-xs = Cons(1)
  note sorted-le-x-xs = Cons(2)
  note dist-x-xs = Cons(3)
  from dist-x-xs have x-neq-xs:  $\forall v \in \text{set } xs. x \neq v$ 
    and dist: distinct xs by auto
  from sorted-le-x-xs have sorted-le-xs: List.sorted xs
    and x-le-xs:  $\forall v \in \text{set } xs. v \geq x$  by auto
  from x-neq-xs x-le-xs have x-lt-xs:  $\forall v \in \text{set } xs. v > x$  by fastforce
  from ind-hyp-xs[OF sorted-le-xs dist] have sorted xs by auto
  with x-lt-xs show ?case by auto
qed

```

```

lemma sorted-less-sorted-list-of-set: sorted (sorted-list-of-set S)
  by (auto intro: sorted-le2lt)

```

```

lemma distinct-sorted: sorted xs  $\implies$  distinct xs
  by (induct xs) (auto simp add: sorted-wrt-append)

```

```

lemma sorted-less-set-unique:
  assumes sorted xs
    and sorted ys
    and set xs = set ys
  shows xs = ys
  using assms
proof -
  from assms(1) have distinct xs and List.sorted xs by (induct xs) auto
  also from assms(2) have distinct ys and List.sorted ys by (induct ys) auto
  ultimately show xs = ys using assms(3) by (auto intro: sorted-distinct-set-unique)
qed

```

```

lemma sorted-less-rev-set-unique:
  assumes sorted (rev xs)
    and sorted (rev ys)
    and set xs = set ys
  shows xs = ys
  using assms sorted-less-set-unique[of rev xs rev ys] by auto

```

```

lemma sorted-less-set-eq:
  assumes sorted xs
  shows xs = sorted-list-of-set (set xs)
  using assms
  apply(subgoal-tac sorted (sorted-list-of-set (set xs)))
  apply(auto intro: sorted-less-set-unique sorted-le2lt)

```

done

lemma *sorted-less-rev-set-eq*:

assumes *sorted* (*rev xs*)

shows *sorted-list-of-set* (*set xs*) = *rev xs*

using *assms sorted-less-set-eq*[*of rev xs*] **by** *auto*

lemma *sorted-insort-remove1*: *sorted w* \implies (*insort a (remove1 a w)*) = *sorted-list-of-set (insert a (set w))*

proof –

assume *sorted w*

then have (*sorted-list-of-set (set w - {a})*) = *remove1 a w* **using** *sorted-less-set-eq*
by (*fastforce simp add:sorted-list-of-set-remove*)

hence *insort a (remove1 a w)* = *insort a (sorted-list-of-set (set w - {a}))* **by**
simp

then show *?thesis* **by** (*auto simp add:sorted-list-of-set-insert*)

qed

end

3 Operations on sorted lists

theory *Sorted-List-Operations2*

imports *Sorted-Less2*

begin

The definition and the *inter_sorted_correct* lemma in this theory are the same as those in *Collections* [2]. except the former is for a descending list while the latter is for an ascending one.

fun *inter-sorted-rev* :: '*a*::{*linorder*} *list* \Rightarrow '*a* *list* \Rightarrow '*a* *list* **where**

inter-sorted-rev [] *l2* = []

| *inter-sorted-rev* *l1* [] = []

| *inter-sorted-rev* (*x1* # *l1*) (*x2* # *l2*) =

(*if* (*x1* > *x2*) *then* (*inter-sorted-rev* *l1* (*x2* # *l2*)) *else*

(*if* (*x1* = *x2*) *then* *x1* # (*inter-sorted-rev* *l1* *l2*) *else* *inter-sorted-rev* (*x1* #
l1) *l2*))

lemma *inter-sorted-correct* :

assumes *l1-OK*: *sorted (rev l1)*

assumes *l2-OK*: *sorted (rev l2)*

shows *sorted (rev (inter-sorted-rev l1 l2))* \wedge *set (inter-sorted-rev l1 l2)* = *set l1* \cap *set l2*

using *assms*

proof (*induct l1 arbitrary: l2*)

case Nil **thus** *?case* **by** *simp*

next

case (*Cons x1 l1 l2*)

note *x1-l1-props* = *Cons(2)*

```

note l2-props = Cons(3)

from x1-l1-props have l1-props: sorted (rev l1)
  and x1-nin-l1:  $x1 \notin \text{set } l1$ 
  and x1-gt:  $\bigwedge x. x \in \text{set } l1 \implies x1 > x$ 
  by (auto simp add: Ball-def sorted-wrt-append)

note ind-hyp-l1 = Cons(1)[OF l1-props]
show ?case
using l2-props
proof (induct l2)
  case Nil with x1-l1-props show ?case by simp
next
  case (Cons x2 l2)
  note x2-l2-props = Cons(2)
  from x2-l2-props have l2-props: sorted (rev l2)
    and x2-nin-l2:  $x2 \notin \text{set } l2$ 
    and x2-gt:  $\bigwedge x. x \in \text{set } l2 \implies x2 > x$ 
  by (auto simp add: Ball-def sorted-wrt-append)

  note ind-hyp-l2 = Cons(1)[OF l2-props]
  show ?case
  proof (cases x1 > x2)
    case True note x1-gt-x2 = this
    have  $\text{set } l1 \cap \text{set } (x2 \# l2) = \text{set } (x1 \# l1) \cap \text{set } (x2 \# l2)$ 
      using x1-gt-x2 x1-nin-l1 x2-nin-l2 x1-gt x2-gt
      by fastforce
    then show ?thesis using ind-hyp-l1 [OF x2-l2-props] using x1-gt-x2 x1-nin-l1
x2-nin-l2 x1-gt x2-gt
      by (auto simp add: Ball-def sorted-wrt-append)
    next
    case False note x2-ge-x1 = this
    show ?thesis
    proof (cases x1 = x2)
      case True note x1-eq-x2 = this
      then show ?thesis using ind-hyp-l1 [OF l2-props]
        using x1-eq-x2 x1-nin-l1 x2-nin-l2 x1-gt x2-gt by (auto simp add: Ball-def
sorted-wrt-append)
      next
      case False note x1-neq-x2 = this
      with x2-ge-x1 have x2-gt-x1 :  $x2 > x1$  by auto
      from ind-hyp-l2 x2-ge-x1 x1-neq-x2 x2-gt x2-nin-l2 x1-gt
      show ?thesis by auto
    qed
  qed
qed
qed

```

lemma *inter-sorted-rev-refl*: *inter-sorted-rev xs xs = xs*

```

    by (induct xs) auto

lemma inter-sorted-correct-col:
  assumes sorted (rev xs)
    and sorted (rev ys)
  shows (inter-sorted-rev xs ys) = rev (sorted-list-of-set (set xs ∩ set ys))
  using assms
proof -
  from assms have 1: sorted (rev (inter-sorted-rev xs ys))
    and 2: set (inter-sorted-rev xs ys) = set xs ∩ set ys using in-
  ter-sorted-correct by auto
  have sorted (rev (rev (sorted-list-of-set (set xs ∩ set ys)))) by (simp add:sorted-less-sorted-list-of-set)
  with 1 2 show ?thesis by (auto intro:sorted-less-rev-set-unique)
qed

lemma cons-set-eq: set (x # xs) ∩ set xs = set xs
  by auto

lemma inter-sorted-cons: sorted (rev (x # xs))  $\implies$  inter-sorted-rev (x # xs) xs
  = xs
proof -
  assume ass: sorted (rev (x # xs))
  then have sorted-xs: sorted (rev xs) by (auto simp add:sorted-wrt-append)
  with ass have inter-sorted-rev (x # xs) xs = rev (sorted-list-of-set (set (x # xs)
  ∩ set xs))
  by (simp add:inter-sorted-correct-col)
  then have inter-sorted-rev (x # xs) xs = rev (rev xs) using sorted-xs by (simp
  only:cons-set-eq sorted-less-rev-set-eq)
  then show ?thesis using sorted-xs by auto
qed

end

```

4 A semilattice of reversed-ordered list

```

theory Dom-Semi-List
imports Main Jinja.Semilat Sorted-List-Operations2 Sorted-Less2 Cfg
begin

type-synonym node = nat

context cfg-doms
begin

definition nodes :: nat list
  where nodes  $\equiv$  (g-V G)

definition nodes-le :: node list  $\Rightarrow$  node list  $\Rightarrow$  bool where
  nodes-le xs ys  $\equiv$  (sorted (rev ys)  $\wedge$  sorted (rev xs)  $\wedge$  (set ys)  $\subseteq$  (set xs))  $\vee$  xs = ys

```


definition *nodes-sup* :: *node list* \Rightarrow *node list* \Rightarrow *node list* **where**
nodes-sup = ($\lambda x y. \text{inter-sorted-rev } x y$)

definition *nodes-semi* :: *node list sl* **where**
nodes-semi $\equiv ((\text{rev} \circ \text{sorted-list-of-set}) \text{ ` } (\text{Pow } (\text{set } (\text{nodes}))), \text{nodes-le}, \text{nodes-sup})$

lemma *subset-nodes-inpow*:

assumes *sorted* (*rev xs*)

and *set xs* \subseteq *set nodes*

shows *xs* \in ($\text{rev} \circ \text{sorted-list-of-set}$) ` (*Pow* (*set nodes*))

proof –

from *assms*(1) **have** ($\text{sorted-list-of-set } (\text{set } xs) = \text{rev } xs$) **by** (*auto intro:sorted-less-rev-set-eq*)

then have $\text{rev } (\text{rev } xs) = \text{rev } (\text{sorted-list-of-set } (\text{set } xs))$ **by** *simp*

with *assms*(2) **show** *?thesis* **by** *auto*

qed

lemma *nil-in-A*: $\square \in (\text{rev} \circ \text{sorted-list-of-set}) \text{ ` } (\text{Pow } (\text{set } (\text{nodes})))$

proof(*simp add: Pow-def image-def*)

have $\text{sorted-list-of-set } \{\} = \square$ **by** *auto*

then show $\exists x \subseteq \text{set nodes. } \text{sorted-list-of-set } x = \square$ **by** *blast*

qed

lemma *single-n-in-A*: $p < \text{length nodes} \implies [p] \in (\text{rev} \circ \text{sorted-list-of-set}) \text{ ` } (\text{Pow } (\text{set } (\text{nodes})))$

proof (*unfold nodes-def*)

let $?S = (\text{rev} \circ \text{sorted-list-of-set}) \text{ ` } (\text{Pow } (\text{set } (g\text{-}V\ G)))$

assume $p < \text{length } (g\text{-}V\ G)$

then have $p: \{p\} \in \text{Pow } (\text{set } (g\text{-}V\ G))$ **by** (*auto simp add:Pow-def verts-set*)

then have $[p] \in ?S$ **by** (*unfold image-def*) *force*

then show $[p] \in ?S$ **by** *auto*

qed

lemma *inpow-subset-nodes*:

assumes *xs* \in ($\text{rev} \circ \text{sorted-list-of-set}$) ` (*Pow* (*set nodes*))

shows *set xs* \subseteq *set nodes*

proof –

from *assms* **obtain** *x* **where** $x: x \in \text{Pow } (\text{set } (\text{nodes}))$ **and** $xs = (\text{rev} \circ \text{sorted-list-of-set}) x$ **by** *auto*

then have *eq*: *set xs* = *set* ($\text{sorted-list-of-set } x$) **by** *auto*

have $\forall x \in \text{Pow } (\text{set } (\text{nodes})). \text{finite } x$ **by** (*auto intro: rev-finite-subset*)

with *x eq* **show** *set xs* \subseteq *set nodes* **by** *auto*

qed

lemma *inter-in-pow-nodes*:

assumes *xs* \in ($\text{rev} \circ \text{sorted-list-of-set}$) ` (*Pow* (*set nodes*))

shows $(\text{rev} \circ \text{sorted-list-of-set})(\text{set } xs \cap \text{set } ys) \in (\text{rev} \circ (\text{sorted-list-of-set})) \text{ ` } (\text{Pow } (\text{set } (\text{nodes})))$

```

using assms
proof –
  let  $?res = set\ xs \cap set\ ys$ 
  from assms have  $set\ xs \subseteq set\ nodes$  using inpow-subset-nodes by auto
  then have  $?res \subseteq set\ nodes$  by auto
  then show ?thesis using subset-nodes-inpow by auto
qed

```

```

lemma nodes-le-order: order nodes-le ((rev o sorted-list-of-set) ‘ (Pow (set nodes)))
proof –
  let  $?A = (rev \circ sorted-list-of-set) \text{ ‘ } (Pow (set\ nodes))$ 

```

```

  have  $\forall x \in ?A. sorted (rev\ x)$  by (auto intro: sorted-less-sorted-list-of-set)
  then have  $\forall x \in ?A. nodes-le\ x\ x$  by (auto simp add:nodes-le-def)

```

```

  moreover have  $\forall x \in ?A. \forall y \in ?A. (nodes-le\ x\ y \wedge nodes-le\ y\ x \longrightarrow x = y)$ 
  proof (intro strip)

```

```

  fix  $x\ y$ 
  assume  $x \in ?A$  and  $y \in ?A$  and  $nodes-le\ x\ y \wedge nodes-le\ y\ x$ 
  then have  $sorted (rev\ x) \wedge sorted (rev\ (y::nat\ list)) \wedge set\ x = set\ y \vee x = y$ 
  by (auto simp add: nodes-le-def intro:subset-antisym sorted-less-sorted-list-of-set)
  then show  $x = y$  by (auto dest: sorted-less-rev-set-unique)

```

qed

```

  moreover have  $\forall x \in ?A. \forall y \in ?A. \forall z \in ?A. nodes-le\ x\ y \wedge nodes-le\ y\ z \longrightarrow nodes-le\ x\ z$ 
  by (auto simp add: nodes-le-def)

```

```

  ultimately show ?thesis by (unfold order-def lesub-def lesssub-def) fastforce
qed

```

lemma *nodes-semi-auxi:*

```

  let  $A = (rev \circ sorted-list-of-set) \text{ ‘ } (Pow (set (nodes)))$ ;
   $r = nodes-le$ ;
   $f = (\lambda x\ y. (inter-sorted-rev\ x\ y))$ 
  in semilat(A, r, f)

```

proof –

```

  let  $?A = (rev \circ sorted-list-of-set) \text{ ‘ } (Pow (set (nodes)))$ 
  let  $?r = nodes-le$ 
  let  $?f = (\lambda x\ y. (inter-sorted-rev\ x\ y))$ 

```

```

  have order ?r ?A by (rule nodes-le-order)

```

```

  moreover have closed ?A ?f

```

proof (*unfold closed-def, intro strip*)

```

  fix  $xs\ ys$  assume xs-in: xs ∈ ?A and ys-in: ys ∈ ?A
  then have sorted-xs: sorted (rev xs)
  and sorted-ys: sorted (rev ys)

```

by (auto intro: sorted-less-sorted-list-of-set)
 then have $inter\text{-}xs\text{-}ys: set (?f\ xs\ ys) = set\ xs \cap set\ ys$ and
 $sorted\text{-}res: sorted\ (rev\ (?f\ xs\ ys))$
 using *inter-sorted-correct* by auto

from *xs-in* have $set\ xs \subseteq set\ nodes$ using *inpow-subset-nodes* by auto
 with *inter-xS-ys* have $set\ (?f\ xs\ ys) \subseteq set\ nodes$ by auto
 with *sorted-res* show $xs \sqcup_{?f}\ ys \in ?A$ using *subset-nodes-inpow* by (auto simp
add:plussub-def)
 qed

moreover have $(\forall x \in ?A. \forall y \in ?A. x \sqsubseteq_{?r}\ x \sqcup_{?f}\ y) \wedge (\forall x \in ?A. \forall y \in ?A. y \sqsubseteq_{?r}\ x \sqcup_{?f}\ y)$
 proof (rule *conjI*, intro *strip*)
 fix *xs ys*
 assume *xs-in*: $xs \in ?A$ and *ys-in*: $ys \in ?A$
 then have *sorted-xs*: $sorted\ (rev\ xs)$ and *sorted-ys*: $sorted\ (rev\ ys)$
 by (auto intro: sorted-less-sorted-list-of-set)
 then have $set\ (?f\ xs\ ys) \subseteq set\ xs$ and *sorted-f-xs-ys*: $sorted\ (rev\ (?f\ xs\ ys))$
 by (auto simp *add: inter-sorted-correct*)
 then show $xs \sqsubseteq_{?r}\ xs \sqcup_{?f}\ ys$ by (simp *add: lesub-def sorted-xs sorted-ys sorted-f-xs-ys nodes-le-def plussub-def*)
 next
 show $\forall x \in ?A. \forall y \in ?A. y \sqsubseteq_{?r}\ x \sqcup_{?f}\ y$
 proof (intro *strip*)
 fix *xs ys*
 assume *xs-in*: $xs \in ?A$ and *ys-in*: $ys \in ?A$
 then have *sorted-xs*: $sorted\ (rev\ xs)$ and *sorted-ys*: $sorted\ (rev\ ys)$
 by (auto intro: sorted-less-sorted-list-of-set)
 then have $set\ (?f\ xs\ ys) \subseteq set\ ys$ and *sorted-f-xs-ys*: $sorted\ (rev\ (?f\ xs\ ys))$
 by (auto simp *add: inter-sorted-correct*)
 then show $ys \sqsubseteq_{?r}\ xs \sqcup_{?f}\ ys$ by (simp *add: lesub-def sorted-ys sorted-xs sorted-f-xs-ys nodes-le-def plussub-def*)
 qed
 qed

moreover have $\forall x \in ?A. \forall y \in ?A. \forall z \in ?A. x \sqsubseteq_{?r}\ z \wedge y \sqsubseteq_{?r}\ z \longrightarrow x \sqcup_{?f}\ y \sqsubseteq_{?r}\ z$

proof (intro *strip*)
 fix *xs ys zs*
 assume *xin*: $xs \in ?A$ and *yin*: $ys \in ?A$ and *zin*: $zs \in ?A$ and $xs \sqsubseteq_{?r}\ zs \wedge ys \sqsubseteq_{?r}\ zs$
 then have *xs-zs*: $xs \sqsubseteq_{?r}\ zs$ and *ys-zs*: $ys \sqsubseteq_{?r}\ zs$ and *sorted-xs*: $sorted\ (rev\ xs)$
 and *sorted-ys*: $sorted\ (rev\ ys)$ by (auto simp *add: sorted-less-sorted-list-of-set*)
 then have *inter-xs-ys*: $set\ (?f\ xs\ ys) = (set\ xs \cap set\ ys)$ and *sorted-f-xs-ys*:
 $sorted\ (rev\ (?f\ xs\ ys))$
 by (auto simp *add: inter-sorted-correct*)

from *xs-zs ys-zs sorted-xs* have *sorted-zs*: $sorted\ (rev\ zs)$

and $set\ zs \subseteq set\ xs$
and $set\ zs \subseteq set\ ys$ **by** $(auto\ simp\ add:\ lesub-def\ nodes-le-def)$
then have $zs: set\ zs \subseteq set\ xs \cap set\ ys$ **by** $auto$
with $inter-xs-ys\ sorted-zs\ sorted-f-xs-ys$ **show** $xs \sqcup_{\varphi f} ys \sqsubseteq_{\varphi r} zs$
by $(auto\ simp\ add:\ plussub-def\ lesub-def\ sorted-xs\ sorted-ys\ sorted-f-xs-ys\ sorted-zs\ nodes-le-def)$
qed
ultimately show $?thesis$ **by** $(unfold\ semilat-def)\ simp$
qed

lemma $nodes-semi-is-semilat: semilat\ (nodes-semi)$
using $nodes-semi-axi$
by $(auto\ simp\ add:\ nodes-sup-def\ nodes-semi-def)$

lemma $sorted-rev-subset-len-lt:$
assumes $sorted\ (rev\ a)$
and $sorted\ (rev\ b)$
and $set\ a \subset set\ b$
shows $length\ a < length\ b$
using $assms$

proof –
from $assms(1)\ assms(2)$ **have** $dist-a: distinct\ a$ **and** $dist-b: distinct\ b$ **by** $(auto\ dest:\ distinct-sorted-rev)$
from $assms(3)$ **have** $card\ (set\ a) < card\ (set\ b)$ **by** $(auto\ intro:\ psubset-card-mono)$
with $dist-a\ dist-b$ **show** $?thesis$ **by** $(auto\ simp\ add:\ distinct-card)$
qed

lemma $wf-nodes-le-axi: wf\ \{(y, x). (sorted\ (rev\ y) \wedge sorted\ (rev\ x) \wedge set\ y \subset set\ x) \wedge x \neq y\}$
apply $(rule\ wf-measure\ [THEN\ wf-subset])$
apply $(simp\ only:\ measure-def\ inv-image-def)$
apply $clarify$
apply $(frule\ sorted-rev-subset-len-lt)$
defer
defer
apply $fastforce$
by $(auto\ intro:\ sorted-less-rev-set-unique)$

lemma $wf-nodes-le-axi2:$
 $wf\ \{(y, x). sorted\ (rev\ y) \wedge sorted\ (rev\ x) \wedge set\ y \subset set\ x \wedge rev\ x \neq rev\ y\}$
using $wf-nodes-le-axi$ **by** $auto$

lemma $wf-nodes-le: wf\ \{(y, x). nodes-le\ x\ y \wedge x \neq y\}$
proof –
have $eq-set: \{(y, x). (sorted\ (rev\ y) \wedge sorted\ (rev\ x) \wedge set\ y \subseteq set\ x) \wedge x \neq y\}$
 $=$
 $\{(y, x). nodes-le\ x\ y \wedge x \neq y\}$ **by** $(unfold\ nodes-le-def)\ auto$
have $\{(y, x). (sorted\ (rev\ y) \wedge sorted\ (rev\ x) \wedge set\ y \subset set\ x) \wedge x \neq y\} =$

```

      {(y, x). (sorted (rev y) ∧ sorted (rev x) ∧ set y ⊆ set x) ∧ x ≠ y}
    by (auto simp add:sorted-less-rev-set-unique)
    from this wf-nodes-le-auxi have wf {(y, x). (sorted (rev y) ∧ sorted (rev x) ∧
set y ⊆ set x) ∧ x ≠ y} by (rule subst)
    with eq-set show ?thesis by (rule subst)
qed

```

```

lemma acc-nodes-le: acc nodes-le
  apply (unfold acc-def lesssub-def lesub-def)
  apply (rule wf-nodes-le)
  done

```

```

lemma acc-nodes-le2: acc (fst (snd nodes-semi))
  apply (unfold nodes-semi-def)
  apply (auto simp add: lesssub-def lesub-def intro: acc-nodes-le)
  done

```

```

lemma nodes-le-refl [iff]: nodes-le s s
  apply (unfold nodes-le-def lesssub-def lesub-def)
  apply (auto)
  done

```

end

end

5 A kildall's algorithm for computing dominators

```

theory Dom-Kildall
imports Dom-Semi-List HOL-Library.While-Combinator Jinja.SemilatAlg
begin

```

A kildall's algorithm for computing dominators. It uses the ideas and the framework of kildall's algorithm implemented in Jinja [3], and modifications are needed to make it work for a fast algorithm for computing dominators

```

type-synonym state-dom = nat list

```

```

primrec propa ::
  's binop ⇒ (nat × 's) list ⇒ 's list ⇒ nat list ⇒ 's list * nat list
where
  propa f [] τs wl = (τs, wl)
| propa f (q'# qs) τs wl = (let (q, τ) = q';
    u = (τ ⊔f τs!q);
    wl' = (if u = τs!q then wl
    else (insert q (remove1 q wl))))
  in propa f qs (τs[q := u]) wl')

```

```

definition iter ::

```

's binop \Rightarrow 's step-type \Rightarrow 's list \Rightarrow nat list \Rightarrow 's list \times nat list
where
 iter f step τs w =
 while $(\lambda(\tau s, w). w \neq [])$
 $(\lambda(\tau s, w). \text{let } p = \text{hd } w$
 in propa f (step p ($\tau s!$ p)) τs (tl w))
 ($\tau s, w$)

definition unstacks :: state-dom ord \Rightarrow state-dom step-type \Rightarrow state-dom list \Rightarrow nat list
where
 unstacks r step $\tau s = \text{sorted-list-of-set } \{p. p < \text{size } \tau s \wedge \neg \text{stable } r \text{ step } \tau s p\}$

definition kildall :: state-dom ord \Rightarrow state-dom binop \Rightarrow state-dom step-type \Rightarrow state-dom list \Rightarrow state-dom list **where**
 kildall r f step $\tau s = \text{fst}(\text{iter } f \text{ step } \tau s (\text{unstacks } r \text{ step } \tau s))$

lemma init-worklist-is-sorted: sorted (unstacks r step τs)
by (simp add:sorted-less-sorted-list-of-set unstacks-def)

context cfg-doms

begin

definition transf :: node \Rightarrow state-dom \Rightarrow state-dom **where**
 transf n input $\equiv (n \# \text{input})$

definition exec :: node \Rightarrow state-dom \Rightarrow (node \times state-dom) list
where exec n xs = map $(\lambda pc. (pc, (\text{transf } n \text{ xs}))) (\text{rev} (\text{sorted-list-of-set}(\text{succs } n)))$

lemma transf-res-is-rev: sorted (rev ns) $\implies n > \text{hd } ns \implies \text{sorted} (\text{rev} ((\text{transf } n (ns))))$
by (induct ns) (auto simp add:transf-def sorted-wrt-append)

abbreviation start $\equiv [] \# (\text{replicate} (\text{length } (g-V G) - 1) ((\text{rev}[0..<\text{length}(g-V G)])))$

definition dom-kildall :: state-dom list \Rightarrow state-dom list
where dom-kildall = kildall (fst (snd nodes-semi)) (snd (snd nodes-semi)) exec

definition dom:: nat \Rightarrow nat \Rightarrow bool **where**
 dom i j $\equiv (\text{let } \text{res} = (\text{dom-kildall } \text{start}) \text{ !}j \text{ in } i \in (\text{set } \text{res}) \vee i = j)$

lemma dom-refl: dom i i
by (unfold dom-def) simp

definition strict-dom :: nat \Rightarrow nat \Rightarrow bool **where**

strict-dom i j \equiv (let res = (dom-kildall start) !j in i \in set res)

lemma *strict-domI1*: (dom-kildall (\square # (replicate (length (g-V G) - 1) ((rev[0..\implies i \in set res \implies *strict-dom i j*
by (auto simp add:strict-dom-def)

lemma *strict-domD*:

strict-dom i j \implies
dom-kildall ((\square # (replicate (length (g-V G) - 1) ((rev[0..
= a \implies
i \in set a
by (auto simp add:strict-dom-def)

lemma *sdom-dom*: *strict-dom i j* \implies dom i j

by (unfold strict-dom-def) (auto simp add:dom-def)

lemma *not-sdom-not-dom*: \neg *strict-dom i j* \implies i \neq j \implies \neg dom i j

by (unfold strict-dom-def) (auto simp add:dom-def)

lemma *dom-sdom*: dom i j \implies i \neq j \implies *strict-dom i j*

by (unfold dom-def) (auto simp add:dom-def strict-dom-def)

end

end

6 Properties of the kildall's algorithm on the semi-lattice

theory *Dom-Kildall-Property*

imports *Dom-Kildall Jinja.Listn Jinja.Kildall-1*

begin

lemma *sorted-list-len-lt*: $x \subset y \implies$ finite y \implies length (sorted-list-of-set x) < length (sorted-list-of-set y)

proof -

let ?x = sorted-list-of-set x

let ?y = sorted-list-of-set y

assume x-y: $x \subset y$ **and** fin-y: finite y

then have card-x-y: card x < card y **and** fin-x: finite x

by (auto simp add:psubset-card-mono finite-subset)

with fin-y **have** length ?x = card x **and** length ?y = card y **by** auto

with card-x-y **show** ?thesis **by** auto

qed

lemma *wf-sorted-list*:

```

wf ((λ(x,y). (sorted-list-of-set x, sorted-list-of-set y)) ‘ finite-psubset)
apply (unfold finite-psubset-def)
apply (rule wf-measure [THEN wf-subset])
apply (simp add: measure-def inv-image-def image-def)
by (auto intro: sorted-list-len-lt)

```

lemma *sorted-list-psub*:

```

sorted w →
w ≠ [] →
(sorted-list-of-set (set (tl w)), w) ∈ (λ(x, y). (sorted-list-of-set x, sorted-list-of-set
y)) ‘ {(A, B). A ⊂ B ∧ finite B}
proof(intro strip, simp add:image-iff)
assume sorted-w: sorted w and w-n-nil: w ≠ []
let ?a = set (tl w)
let ?b = set w

```

```

from sorted-w have sorted-tl-w: sorted (tl w) and dist: distinct w by (induct w)
(auto simp add: sorted-wrt-append )
with w-n-nil have a-psub-b: ?a ⊂ ?b by (induct w)auto
from sorted-w sorted-tl-w have w = sorted-list-of-set ?b and tl w = sorted-list-of-set
(set (tl w))
by (auto simp add: sorted-less-set-eq)
with a-psub-b show ∃ a b. a ⊂ b ∧ finite b ∧ sorted-list-of-set (set (tl w)) =
sorted-list-of-set a ∧ w = sorted-list-of-set b
by auto
qed

```

locale *dom-sl* = *cfg-doms* +

```

fixes A and r and f and step and start and n
defines A ≡ ((rev ◦ sorted-list-of-set) ‘ (Pow (set (nodes))))
defines r ≡ nodes-le
defines f ≡ nodes-sup
defines n ≡ (size nodes)
defines step ≡ exec
defines start ≡ ([ # (replicate (length (g-V G) - 1) (rev[0.. $n$ ])))::state-dom
list

```

begin

lemma *is-semi*: *semilat(A,r,f)*

by(*insert nodes-semi-is-semilat*) (auto simp add:nodes-semi-def A-def r-def f-def)

— used by *acc_le_listI*

lemma *Cons-less-Conss* [*simp*]:

```

x#xs [⊆r] y#ys = (x ⊆r y ∧ xs [⊆r] ys ∨ x = y ∧ xs [⊆r] ys)
apply (unfold lesssub-def)
apply auto
apply (unfold lesssub-def lesub-def r-def)
apply (simp only: nodes-le-refl)

```


done

```
lemma acc-le-listI [intro!]:
  acc r  $\implies$  acc (Listn.le r)
  apply (unfold acc-def)
  apply (subgoal-tac Wellfounded.wf( $UN$  n.  $\{(ys,xs). \text{size } xs = n \wedge \text{size } ys = n \wedge$ 
 $xs <-(Listn.le r) ys\}$ ))
  apply (erule wf-subset)
  apply (blast intro: lesssub-lengthD)
  apply (rule wf-UN)
  prefer 2
  apply (rename-tac m n)
  apply (case-tac m=n)
  apply simp
  apply (fast intro!: equals0I dest: not-sym)
  apply (rename-tac n)
  apply (induct-tac n)
  apply (simp add: lesssub-def cong: conj-cong)
  apply (rename-tac k)
  apply (simp add: wf-eq-minimal)
  apply (simp (no-asm) add: length-Suc-conv cong: conj-cong)
  apply clarify
  apply (rename-tac M m)
  apply (case-tac  $\exists x xs. \text{size } xs = k \wedge x\#xs \in M$ )
  prefer 2
  apply (erule thin-rl)
  apply (erule thin-rl)
  apply blast
  apply (erule-tac  $x = \{a. \exists xs. \text{size } xs = k \wedge a\#xs:M\}$  in allE)
  apply (erule impE)
  apply blast
  apply (thin-tac  $\exists x xs. P x xs$  for P)
  apply clarify
  apply (rename-tac maxA xs)
  apply (erule-tac  $x = \{ys. \text{size } ys = \text{size } xs \wedge \text{maxA}\#ys \in M\}$  in allE)
  apply (erule impE)
  apply blast
  apply clarify
  apply (thin-tac  $m \in M$ )
  apply (thin-tac  $\text{maxA}\#xs \in M$ )
  apply (rule beX1)
  prefer 2
  apply assumption
  apply clarify
  apply simp
  apply blast
done
```

```
lemma wf-listn: wf  $\{(y,x). x \sqsubset_{Listn.le} r y\}$ 
```

by(*insert acc-nodes-le acc-le-listI r-def*) (*simp add:acc-def*)

lemma *wf-listn'*: $wf \{(y,x). x \sqsubset_r y\}$
by (*rule wf-listn*)

lemma *wf-listn-termination-rel*:
 $wf \{(y,x). x \sqsubset_{Listn.le\ r} y\} <*lex*> (\lambda(x, y). (sorted-list-of-set\ x, sorted-list-of-set\ y)) \text{ 'finite-psubset}$
by (*insert wf-listn wf-sorted-list*) (*fastforce dest:wf-lex-prod*)

lemma *inA-is-sorted*: $xs \in A \implies sorted (rev\ xs)$
by (*auto simp add:A-def sorted-less-sorted-list-of-set*)

lemma *list-nA-lt-refl*: $xs \in nlists\ n\ A \longrightarrow xs \sqsubset_r xs$
proof
assume $xs \in nlists\ n\ A$
then have $set\ xs \subseteq A$ **by** (*rule nlistsE-set*)
then have $\forall i < length\ xs. xs!i \in A$ **by** *auto*
then have $\forall i < length\ xs. sorted (rev (xs!i))$ **by** (*simp add:inA-is-sorted*)
then show $xs \sqsubset_r xs$ **by**(*unfold Listn.le-def lesub-def*)
(*auto simp add:list-all2-conv-all-nth Listn.le-def r-def nodes-le-def*)
qed

lemma *nil-inA*: $[] \in A$
apply (*unfold A-def*)
apply (*subgoal-tac {} \in Pow (set nodes)*)
apply (*subgoal-tac [] = (\lambda x. rev (sorted-list-of-set x)) {}*)
apply (*fastforce intro:rev-image-eqI*)
by *auto*

lemma *upt-n-in-pow-nodes*: $\{0..<n\} \in Pow (set nodes)$
by(*auto simp add:n-def nodes-def verts-set*)

lemma *rev-all-inA*: $rev [0..<n] \in A$
proof(*unfold A-def, simp*)
let $?f = \lambda x. rev (sorted-list-of-set\ x)$
have $rev [0..<n] = ?f \{0..<n\}$ **by** *auto*
with *upt-n-in-pow-nodes* **show** $rev [0..<n] \in ?f \text{ 'Pow (set nodes)}$
by (*fastforce intro: image-eqI*)
qed

lemma *len-start-is-n*: $length\ start = n$
by (*insert len-verts-gt0*) (*auto simp add:start-def n-def nodes-def dest:Suc-pred*)

lemma *len-start-is-len-verts*: $length\ start = length (g-V\ G)$
using *len-verts-gt0* **by** (*simp add:start-def*)

lemma *start-len-gt-0*: $length\ start > 0$
by (*insert len-verts-gt0*) (*simp add:start-def*)

lemma *start-subset-A*: $set\ start \subseteq A$
by (*auto simp add:nil-inA rev-all-inA start-def*)

lemma *start-in-A* : $start \in (nlists\ n\ A)$
by (*insert start-subset-A len-start-is-n*)(*fastforce intro:nlistsI*)

lemma *sorted-start-nth*: $i < n \implies sorted\ (rev\ (start!i))$
apply(*subgoal-tac start!i \in A*)
apply (*fastforce dest:inA-is-sorted*)
by (*auto simp add:start-subset-A len-start-is-n*)

lemma *start-nth0-empty*: $start!0 = []$
by (*simp add:start-def*)

lemma *start-nth-lt0-all*: $\forall p \in \{1..< length\ start\}. start!p = (rev\ [0..<n])$
by (*auto simp add:start-def*)

lemma *in-nodes-lt-n*: $x \in set\ (g-V\ G) \implies x < n$
by (*simp add:n-def nodes-def verts-set*)

lemma *start-nth0-unstable-axi*: $\neg [0] \sqsubseteq_r (rev\ [0..<n])$
by (*insert len-verts-gt1 verts-ge-Suc0*)
(*auto simp add:r-def lesssub-def lesub-def nodes-le-def n-def nodes-def*)

lemma *start-nth0-unstable*: $\neg stable\ r\ step\ start\ 0$
proof(*rule notI,auto simp add: start-nth0-empty stable-def step-def exec-def transf-def*)

assume *ass*: $\forall x \in set\ (sorted-list-of-set\ (succs\ 0)). [0] \sqsubseteq_r start\ !\ x$
from *succ-of-entry0* **obtain** *s* **where** $s \in succs\ 0$ **and** $s \neq 0 \wedge s \in set\ (g-V\ G)$
using *head-is-vert*
by (*auto simp add:succs-def*)
then have $s \in set\ (sorted-list-of-set\ (succs\ 0))$
and $start!s = (rev\ [0..<n])$ **using** *fin-succs verts-set len-verts-gt0* **by** (*auto simp add:start-def*)
then show *False* **using** *ass start-nth0-unstable-axi* **by** *auto*
qed

lemma *start-nth-unstable*:
assumes $p \in \{1 ..< length\ (g-V\ G)\}$
and $succs\ p \neq \{\}$
shows $\neg stable\ r\ step\ start\ p$
proof (*rule notI, unfold stable-def*)
let $?step-p = step\ p\ (start\ !\ p)$
let $?rev-all = rev[0..<length(g-V\ G)]$
assume *sta*: $\forall (q, \tau) \in set\ ?step-p. \tau \sqsubseteq_r start\ !\ q$

from *assms(1)* **have** *n-sorted*: $\neg sorted\ (rev\ (p\ \# ?rev-all))$
and $p \in set\ (g-V\ G)$ **and** $start!p = ?rev-all$ **using** *verts-set* **by**

```

(auto simp add:n-def nodes-def start-def sorted-wrt-append)
  with sta have step-p:  $\forall (q, \tau) \in \text{set } ?\text{step-p. sorted (rev (p \# ?\text{rev-all}))} \vee (p \# ?\text{rev-all} = \text{start!}q)$ 
  by (auto simp add:step-def exec-def transf-def lesssub-def lesub-def r-def nodes-le-def)

  from assms(2) fin-succs p obtain a b where a-b:  $(a, b) \in \text{set } ?\text{step-p}$  by (auto simp add:step-def exec-def transf-def)
  with step-p have sorted (rev (p \# ?\text{rev-all}))  $\vee (p \# ?\text{rev-all} = \text{start!}a)$  by auto
  with n-sorted have eq-p-cons:  $(p \# ?\text{rev-all} = \text{start!}a)$  by auto

  from p have  $\forall (q, \tau) \in \text{set } ?\text{step-p. } q < n$  using succ-in-G fin-succs verts-set n-def nodes-def by (auto simp add:step-def exec-def)
  with a-b have  $a < n$  using len-start-is-n by auto
  then have sorted (rev (start!a)) using sorted-start-nth by auto
  with eq-p-cons n-sorted show False by auto

```

qed

```

lemma start-unstable-cond:
  assumes succs p  $\neq \{\}$ 
    and  $p < \text{length (g-V } G)$ 
  shows  $\neg \text{stable } r \text{ step start } p$ 
  using assms start-nth0-unstable start-nth-unstable
  by(cases p = 0) auto

```

```

lemma unstable-start: unstabiles r step start = sorted-list-of-set ( $\{p. \text{succs } p \neq \{\}$ 
 $\wedge p < \text{length start}\}$ )
  using len-start-is-len-verts start-unstable-cond
  by (subgoal-tac  $\{p. p < \text{length start} \wedge \neg \text{stable } r \text{ step start } p\} = \{p. \text{succs } p \neq \{\}$ 
 $\} \wedge p < \text{length start}\}$ )
  (auto simp add: unstabiles-def stable-def step-def exec-def)

```

end

```

declare sorted-list-of-set-insert-remove[simp del]

```

```

context dom-sl
begin

```

```

lemma (in dom-sl) decomp-propa:  $\bigwedge ss w.$ 
  ( $\forall (q,t) \in \text{set } qs. q < \text{size } ss \wedge t \in A$ )  $\implies$ 
  sorted w  $\implies$ 
  set ss  $\subseteq A \implies$ 
  propa f qs ss w = (merges f qs ss, (sorted-list-of-set ( $\{q. \exists t.(q,t) \in \text{set } qs \wedge t \sqcup_f$ 
 $(ss!q) \neq ss!q\} \cup \text{set } w)))$ 

```

```

lemma (in Semilat) list-update-le-listI [rule-format]:
  set xs  $\subseteq A \longrightarrow \text{set } ys \subseteq A \longrightarrow xs \sqsubseteq_r ys \longrightarrow p < \text{size } xs \longrightarrow$ 
   $x \sqsubseteq_r ys!p \longrightarrow x \in A \longrightarrow$ 
   $xs[p := x \sqcup_f xs!p] \sqsubseteq_r ys$ 

```

7 Soundness and completeness

```

theory Dom-Kildall-Correct
imports Dom-Kildall-Property
begin

context dom-sl
begin

lemma entry-dominate-dom:
  assumes  $i \in \text{set } (g-V \ G)$ 
    and dominate i 0
  shows dom i 0
  using assms
proof -
  from assms(1) entry0-dominates-all have dominate 0 i by auto
  with assms(2) reachable have  $i = 0$  using reachable-dom-acyclic by (auto simp
add:reachable-def)
  then show ?thesis using dom-refl by auto
qed

lemma path-entry-dom:
  fixes  $pa \ i \ d$ 
  assumes path-entry (g-E G) pa i
    and dom d i
  shows  $d \in \text{set } pa \vee d = i$ 
  using assms
proof (induct rule:path-entry.induct)
  case path-entry0
  then show ?case using zero-dom-zero by auto
next
  case (path-entry-prepend u v l)
  note  $u-v = \text{path-entry-prepend.hyps}(1)$ 
  note  $ind = \text{path-entry-prepend.hyps}(3)$ 
  note  $d-v = \text{path-entry-prepend.prem}$ 
  show ?case
  proof (cases d  $\neq$  v)
  case True note  $d-n-v = \text{this}$ 
  from  $u-v$  have  $v \in \text{succs } u$  by (simp add:succs-def)
  with  $d-v \ d-n-v$  have  $\text{dom } d \ u$  by (auto intro:adom-succs)
  with  $ind$  have  $d \in \text{set } l \vee d = u$  by auto
  then show ?thesis by auto
next
  case False
  then show ?thesis by auto
qed
qed

```

— soundness

lemma *dom-sound*: $\text{dom } i \ j \implies \text{dominate } i \ j$
by (*fastforce simp add: dominate-def dest:path-entry-dom*)

lemma *sdom-sound*: $\text{strict-dom } i \ j \implies j \in \text{set } (g\text{-}V \ G) \implies \text{strict-dominate } i \ j$

proof –

assume *sdom*: $\text{strict-dom } i \ j$ **and** $j \in \text{set } (g\text{-}V \ G)$
then have *i-n-j*: $i \neq j$ **by** (*rule sdom-async*)
from *sdom* **have** $\text{dom } i \ j$ **using** *sdom-dom* **by** *auto*
then have *domi*: $\text{dominate } i \ j$ **by** (*rule dom-sound*)
with *i-n-j* **show** *?thesis* **by** (*fastforce dest: dominate-sdominate*)
qed

— completeness

lemma *dom-complete-auxi*: $i < \text{length } \text{start} \implies (\text{dom-kildall } \text{start})!i = \text{ss}' \wedge k \notin \text{set } \text{ss}' \implies \text{non-strict-dominate } k \ i$

proof –

assume *i-lt*: $i < \text{length } \text{start}$ **and** *dom-kil*: $(\text{dom-kildall } \text{start})!i = \text{ss}' \wedge k \notin \text{set } \text{ss}'$
then have *dom-iter*: $(\text{fst } (\text{iter } f \ \text{step } \text{start } (\text{unstables } r \ \text{step } \text{start})))!i = \text{ss}'$ **and**
k-nin: $k \notin \text{set } \text{ss}'$
using *nodes-semi-def r-def f-def step-def dom-kildall-def kildall-def* **by** *auto*
then obtain *s w* **where** *iter*: $\text{iter } f \ \text{step } \text{start } (\text{unstables } r \ \text{step } \text{start}) = (s, w)$
by *fastforce*
with *dom-iter* **have** $s!i = \text{ss}'$ **by** *auto*
with *iter-dom-invariant-complete iter k-nin i-lt len-start-is-n*
show *?thesis* **by** *auto*
qed

lemma *notsdom-notsdominate*: $\neg \text{strict-dom } i \ j \implies j < \text{length } \text{start} \implies \text{non-strict-dominate } i \ j$

proof –

assume *i-not-sdom-j*: $\neg \text{strict-dom } i \ j$ **and** *j-lt*: $j < \text{length } \text{start}$
then obtain *res* **where** *j-res*: $\text{dom-kildall } \text{start} ! j = \text{res}$ **by** (*auto simp add: strict-dom-def*)
then have $\text{strict-dom } i \ j = (i \in \text{set } \text{res})$ **by** (*auto simp add: strict-dom-def start-def n-def nodes-def*)
with *i-not-sdom-j* **have** *i-nin*: $i \notin \text{set } \text{res}$ **by** *auto*
with *j-res j-lt* **show** $\text{non-strict-dominate } i \ j$ **using** *dom-complete-auxi* **by** *fastforce*
qed

lemma *notsdom-notsdominate'*: $\neg \text{strict-dom } i \ j \implies j < \text{length } \text{start} \implies \neg \text{strict-dominate } i \ j$

using *notsdom-notsdominate nonstrict-eq* **by** *auto*

lemma *dom-complete*: $\text{strict-dominate } i \ j \implies j < \text{size } \text{start} \implies \text{strict-dom } i \ j$

by (*insert notsdom-notsdominate'*) (*auto intro: contrapos-nn nonstrict-eq*)

end

end

References

- [1] K. D. Cooper, T. J. Harvey, and K. Kennedy. A simple, fast dominance algorithm. Technical report, Rice University, Houston, Jan. 2006. <https://scholarship.rice.edu/handle/1911/96345>.
- [2] P. Lammich. Operations on sorted lists. 2009. https://www.isa-afp.org/browser_info/current/AFP/Collections/Sorted_List_Operations.html.
- [3] T. Nipkow and G. Klein. Operations on sorted lists. 2000. https://www.isa-afp.org/browser_info/current/AFP/Jinja/Kildall.html.