

Universal Pairs for Diophantine Equations

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July 29, 2025

Abstract

We formalize a universal construction of Diophantine equations with bounded complexity. This is a formalization of our own work in number theory [2].

Hilbert’s Tenth Problem was answered negatively by Yuri Matiyasevich, who showed that there is no general algorithm to decide whether an arbitrary Diophantine equation has a solution[4]. However, the problem remains open when generalized to the field of rational numbers, or contrarily, when restricted to Diophantine equations with bounded complexity, characterized by the number of variables ν and the degree δ . If every Diophantine set can be represented within the bounds (ν, δ) , we say that this pair is *universal*, and it follows that the corresponding class of equations is undecidable. In a separate mathematics article, we have determined the first non-trivial universal pair for the case of integer unknowns.

This AFP entry contributes the main construction required to establish said universal pair. In doing so, we markedly extend previous work on multivariate polynomials [6], and develop classical theory on Diophantine equations [5]. Additionally, our work includes metaprogramming infrastructure designed to efficiently handle complex definitions of multivariate polynomials. Our mathematical draft has been formalized while the mathematical research was ongoing, and benefited largely from the help of the theorem prover.

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Overview We provide a detailed high-level overview of this formal proof in a forthcoming paper [1]. Here we just reference the various mathematical sources that we have formalized.

The main mathematical text is our preprint “Diophantine Equations over \mathbb{Z} : Universal Bounds and Parallel Formalization” [2]. It contains the majority of the proofs verified here. A lot of it is based on ideas by Zhi-Wei Sun [8, 7]. Moreover, we formalize classical theory on Diophantine Equations following an article by Matiyasevich and Robinson [5]. This material can be found in the section on relation combining.

We also formalize a variety of statements on multivariate polynomials adding to the current entry on multivariate polynomials [6]. Finally, our proof relies on the Three Squares Theorem [3] which we import.

Acknowledgements This project would not exist without Yuri Matiyasevich who proposed the formalization of Hilbert’s Tenth Problem and encouraged our exploration of Universal Pairs. We are deeply grateful to Dierk Schleicher for his unwavering support throughout, both on a personal level and through his mathematical insight. We thank Malte Haßler, Thomas Serafini and Simon Dubischar for their mathematical contributions to the project. We gratefully acknowledge support from the Département de mathématiques et applications at École Normale Supérieure de Paris.

theory *Notation*
imports *Polynomials.More-MPoly-Type Complex-Main HOL-Library.Rewrite*
begin

1 Multivariate Polynomials

1.1 Elementary properties

1.1.1 Notation

notation *smult* (**infixl** $*_s$ 70)

definition *max-coeff* :: 'a::{zero,abs,linorder} mpoly \Rightarrow 'a **where**
max-coeff P \equiv Max (abs ' MPoly-Type.coeffs P)

lemma *coeffs-empty-iff*: coeffs P = {} \longleftrightarrow P = 0
<proof>

lemma *coeff-minus*: coeff p m - coeff q m = coeff (p-q) m
<proof>

definition *nth0* :: 'a::zero list \Rightarrow nat \Rightarrow 'a (**infixl** !₀ 100) **where**
xs !₀ i = (xs ! i when i < length xs)

lemma *nth0-nth* : i < length xs \Longrightarrow xs !₀ i = xs ! i
<proof>

lemma *nth0-0*: i \geq length xs \Longrightarrow xs !₀ i = 0
<proof>

lemma *nth0-Cons*: (x # xs') !₀ i = (case i of 0 \Rightarrow x | Suc i' \Rightarrow xs' !₀ i')
<proof>

lemma *nth0-Cons-0* [*simp, code*]: (x # xs) !₀ 0 = x
<proof>

lemma *nth0-Cons-Suc* [*simp, code*]: (x # xs) !₀ (Suc n) = xs !₀ n
<proof>

lemma *nth0-finite*[*simp*]: finite {i. xs !₀ i \neq 0}
<proof>

lemma *nth0-inj*: length xs = length ys \Longrightarrow (!₀) xs = (!₀) ys \Longrightarrow xs = ys
<proof>

lemma *nth0-sum-list*: sum-list i = (\sum v | i !₀ v \neq 0. i !₀ v)
<proof>

lemma *lookup-Abs-poly-mapping-nth0*[*simp*]:

lookup (*Abs-poly-mapping* (!₀) *xs*) = (!₀) *xs*
⟨*proof*⟩

lemma *Abs-poly-mapping-nth0-single*[*simp*]:
Abs-poly-mapping (!₀) [*x*] = *Poly-Mapping.single* 0 *x*
⟨*proof*⟩

lemma *Abs-poly-mapping-nth0-append-single*[*simp*]:
Abs-poly-mapping (!₀) (*xs* @ [*x*]) =
Abs-poly-mapping (!₀) *xs* + *Poly-Mapping.single* (length *xs*) *x*
⟨*proof*⟩

lemma *Sum-any-rev-image*:
assumes *finite* {*x*. *f x* ≠ 0}
shows *Sum-any* (λ*m*. *Sum-any* (λ*x*. *f x* when *g x* = *m*)) = *Sum-any* *f*
⟨*proof*⟩

lemma *Sum-any-rev-image-add*:
assumes *finite* {(*m*₁, *m*₂). *f m*₁ *m*₂ ≠ 0}
shows *Sum-any* (λ*m*. (*Sum-any* (λ*m*₁. *Sum-any* (λ*m*₂. *f m*₁ *m*₂ when *m* = *m*₁
+ *m*₂))))
= *Sum-any* (λ*m*₁. (*Sum-any* (λ*m*₂. *f m*₁ *m*₂)))
⟨*proof*⟩

lemma *of-int-Sum-any*:
fixes *f* :: 'b ⇒ int
assumes *finite* {*a*. *f a* ≠ 0}
shows (*of-int* :: int ⇒ 'a::ring-1) (*Sum-any* *f*) = *Sum-any* (*of-int* ∘ *f*)
⟨*proof*⟩

lemma *of-int-Prod-any*:
fixes *f* :: 'b ⇒ int
assumes *finite* {*a*. *f a* ≠ 1}
shows (*of-int* :: int ⇒ 'a::comm-ring-1) (*Prod-any* *f*) = *Prod-any* (*of-int* ∘ *f*)
⟨*proof*⟩

1.1.2 The constant polynomial

lemma *Const-zero*: *Const* 0 = 0
⟨*proof*⟩

lemma *Const-one*: *Const* 1 = 1
⟨*proof*⟩

lemma *Const-add*: *Const* *a* + *Const* *b* = *Const* (*a* + *b*)
⟨*proof*⟩

lemma *Const-mult*: *Const* *a* * *Const* *b* = *Const* (*a* * *b*)
⟨*proof*⟩

lemma *Const-power*: *Const* *c* ^ *i* = *Const* (*c* ^ *i*)
⟨*proof*⟩

lemma *Const-sub*: *Const* *a* - *Const* *b* = *Const* (*a* - *b*)

<proof>

lemma *Const-when*: $\text{Const } (a \text{ when } P) = (\text{Const } a \text{ when } P)$
<proof>

lemma *coeff-Const-zero*: $\text{MPoly-Type.coeff } (\text{Const } c) 0 = c$
<proof>

lemma *Const-sum-Any*: $\text{Const } (\text{Sum-any } f) = \text{Sum-any } (\text{Const } \circ f)$
<proof>

lemma *Const-numeral*: $\text{Const } (\text{numeral } x) = \text{numeral } x$
<proof>

lemma *union-subset*:
fixes $A :: 'a \text{ set}$
and $B :: 'b \text{ set}$
and $f :: 'a \Rightarrow 'b \text{ set}$
assumes $\bigwedge x. f x \subseteq B$
shows $\bigcup (f'A) \subseteq B$
<proof>

lemma *of-nat-Const*: $\text{of-nat } n = \text{Const } (\text{int } n)$
<proof>

lemma *of-int-Const*: $\text{of-int } x = \text{Const } x$
<proof>

1.1.3 Finite sums and products

lemma *add-to-finite-sum*:
fixes $f :: 'b :: \text{comm-monoid-add} \Rightarrow 'a :: \text{comm-monoid-add}$ and $g :: 'c \Rightarrow 'b$
assumes $\bigwedge x y. f (x+y) = f x + f y$ and $f 0 = 0$
shows $\text{finite } S \Longrightarrow (\sum x \in S. f (g x)) = f (\sum x \in S. g x)$
<proof>

lemma *mult-to-finite-prod*:
fixes $f :: 'b :: \text{comm-monoid-mult} \Rightarrow 'a :: \text{comm-monoid-mult}$ and $g :: 'c \Rightarrow 'b$
assumes $\bigwedge x y. f (x*y) = f x * f y$ and $f 1 = 1$
shows $\text{finite } S \Longrightarrow (\prod x \in S. f (g x)) = f (\prod x \in S. g x)$
<proof>

lemma *nat-sum-distrib*:
fixes $f :: \text{nat} \Rightarrow \text{int}$
assumes $S\text{-fin}$: $\text{finite } S$ and nonneg : $\bigwedge i. i \in S \Longrightarrow f i \geq 0$
shows $\text{nat } (\sum i \in S. f i) = (\sum i \in S. \text{nat } (f i))$
<proof>

1.1.4 Insertion

named-theorems *insertion-simps* Lemmas about insertion

lemma *pow-when*: $b \neq 0 \implies a \wedge (b \text{ when } P) = (\text{if } P \text{ then } a \wedge b \text{ else } 1)$
<proof>

declare *insertion-add*[*simp*, *insertion-simps*]

declare *insertion-mult*[*simp*, *insertion-simps*]

lemma *insertion-Var*[*simp*, *insertion-simps*]: *insertion f (Var n) = f n*
<proof>

lemma *insertion-Const*[*simp*, *insertion-simps*]: *insertion f (Const c) = c*
<proof>

lemma *insertion-numeral*[*simp*, *insertion-simps*]: *insertion f (numeral n) = numeral n*
<proof>

lemma *Sum-any-neg*:

fixes *f* :: - \Rightarrow 'a::ring-1

shows *Sum-any* ($\lambda a. - f a$) = - *Sum-any* ($\lambda a. f a$)

<proof>

lemma *insertion-neg*[*simp*, *insertion-simps*]:

fixes *f* :: - \Rightarrow 'a::comm-ring-1

shows *insertion f (- p) = - insertion f p*

<proof>

lemma *insertion-diff*[*simp*, *insertion-simps*]:

fixes *f* :: - \Rightarrow 'a::comm-ring-1

shows *insertion f (p - q) = insertion f p - insertion f q*

<proof>

lemma *insertion-of-int*[*simp*, *insertion-simps*]:

fixes *f*::nat \Rightarrow int **and** *c*::int

shows *insertion f (of-int c) = c*

<proof>

lemma *insertion-of-nat*[*simp*, *insertion-simps*]:

fixes *f*::nat \Rightarrow int **and** *n*::nat

shows *insertion f (of-nat n) = int n*

<proof>

lemma *insertion-pow*[*simp*, *insertion-simps*]: *insertion f (P^n) = (insertion f P)^n*

<proof>

lemma *insertion-sum*[*simp*, *insertion-simps*]:

finite S \implies *insertion f* ($\sum_{i \in S}. P\ i$) = ($\sum_{i \in S}. \text{insertion f } (P\ i)$)
 <proof>

lemma *insertion-prod*[*simp*, *insertion-simps*]:
finite S \implies *insertion f* ($\prod_{i \in S}. P\ i$) = ($\prod_{i \in S}. \text{insertion f } (P\ i)$)
 <proof>

lemma *insertion-monom*[*simp*, *insertion-simps*]:
insertion f (*monom m a*) = *a* * ($\prod x. f\ x \wedge \text{lookup m } x$)
 <proof>

lemma *insertion-of-int-times* : *insertion f* (*of-int n* * *P*) = *n* * *insertion f P*
 <proof>

lemma *pow-positive*:
 fixes *a* :: 'a::idom
 assumes *a* $\neq 0$
 assumes *n* > 0
 shows *a* $\wedge n \neq 0$
 <proof>

One more typeclasses

instance *mpoly* :: (*semiring-no-zero-divisors*) *semiring-no-zero-divisors*
 <proof>

end
theory *Degree*
 imports *Notation*
begin

1.2 Degree of a given variable

lemma *degree-Const* [*simp*]: *degree* (*Const x*) *v* = 0
 <proof>

lemma *degree-Var* [*simp*]:
degree ((*Var v*):: 'a::comm-semiring-1 *mpoly*) *v'* = (if *v* = *v'* then 1 else 0)
 <proof>

lemma *degree-neg*:
 fixes *P* :: 'a::ab-group-add *mpoly*
 shows *degree* ($- P$) = *degree P*
 <proof>

lemma *degree-add*:
 fixes *P Q* :: 'a::ab-group-add *mpoly*
 shows *degree* (*P* + *Q*) *v* $\leq \max$ (*degree P v*) (*degree Q v*)

<proof>

lemma *degree-add'*:

fixes $P Q :: 'a::\text{ab-group-add mpoly}$

shows $\text{degree } (P + Q) v \leq \text{degree } P v + \text{degree } Q v$

<proof>

lemma *degree-add-different-degree*:

fixes $P :: 'a::\text{ab-group-add mpoly}$

assumes $\text{degree } P v \neq \text{degree } Q v$

shows $\text{degree } (P + Q) v = \max (\text{degree } P v) (\text{degree } Q v)$

<proof>

lemma *degree-diff*:

fixes $P Q :: 'a::\text{ab-group-add mpoly}$

shows $\text{degree } (P - Q) v \leq \max (\text{degree } P v) (\text{degree } Q v)$

<proof>

lemma *degree-diff'*:

fixes $P Q :: 'a::\text{ab-group-add mpoly}$

shows $\text{degree } (P - Q) v \leq \text{degree } P v + \text{degree } Q v$

<proof>

lemma *degree-diff-different-degree*:

fixes $P :: 'a::\text{ab-group-add mpoly}$

assumes $\text{degree } P v \neq \text{degree } Q v$

shows $\text{degree } (P - Q) v = \max (\text{degree } P v) (\text{degree } Q v)$

<proof>

lemma *degree-sum*:

fixes $P :: 'a \Rightarrow 'b::\text{ab-group-add mpoly}$

assumes $S\text{-fin: finite } S$

shows $\text{degree } (\text{sum } P S) v \leq \text{Max } (\text{insert } 0 ((\lambda i. \text{degree } (P i) v) ` S))$

<proof>

lemma *degree-mult*: $\text{degree } (P * Q) v \leq \text{degree } P v + \text{degree } Q v$

<proof>

lemma *degree-mult-non-zero*:

fixes $P Q :: 'a::\text{idom mpoly}$

assumes $P \neq 0 \ Q \neq 0$

shows $\text{degree } (P * Q) v = \text{degree } P v + \text{degree } Q v$

<proof>

lemma *degree-pow*: $\text{degree } (P \wedge n) v \leq n * \text{degree } P v$

<proof>

lemma *degree-pow-positive*:

fixes $P :: 'a::\text{idom mpoly}$

assumes $n > 0$
shows $\text{degree } (P \wedge n) v = n * \text{degree } P v$
 $\langle \text{proof} \rangle$

lemma *degree-prod*:
assumes $S\text{-fin}$: *finite* S
shows $\text{degree } (\text{prod } P S) v \leq \text{sum } (\lambda i. \text{degree } (P i) v) S$
 $\langle \text{proof} \rangle$

end
theory *Variables*
imports *Degree HOL-Eisbach.Eisbach*
begin

1.3 Variables

lemma *Var-neq-zero*: $(\text{Var } v :: 'a::\text{zero-neq-one } \text{mpoly}) \neq 0$
 $\langle \text{proof} \rangle$

lemma *in-vars-non-zero-degree*: $v \in \text{vars } P \longleftrightarrow \text{degree } P v \neq 0$
 $\langle \text{proof} \rangle$

lemma *vars-non-zero-degree*: $\text{vars } P = \{v. \text{degree } P v \neq 0\}$
 $\langle \text{proof} \rangle$

lemma *vars-Const [simp]*: $\text{vars } (\text{Const } x) = \{\}$
 $\langle \text{proof} \rangle$

lemma *vars-zero [simp]*: $\text{vars } 0 = \{\}$
 $\langle \text{proof} \rangle$

lemma *vars-Var [simp]*: $\text{vars } ((\text{Var } v) :: ('a::\text{zero-neq-one}) \text{mpoly}) = \{v\}$
 $\langle \text{proof} \rangle$

lemma *vars-neg*:
fixes $P :: 'a::\text{ab-group-add } \text{mpoly}$
shows $\text{vars } (- P) = \text{vars } P$
 $\langle \text{proof} \rangle$

lemma *vars-add-different-degree*:
fixes $P :: 'a::\text{ab-group-add } \text{mpoly}$
assumes $\forall u \in \text{vars } P \cap \text{vars } Q. \text{degree } P u \neq \text{degree } Q u$
shows $\text{vars } (P + Q) = \text{vars } P \cup \text{vars } Q$
 $\langle \text{proof} \rangle$

lemma *vars-diff*:
fixes $P :: 'a::\text{ab-group-add } \text{mpoly}$
shows $\text{vars } (P - Q) \subseteq \text{vars } P \cup \text{vars } Q$

$\langle proof \rangle$

lemma *vars-diff-different-degree*:

fixes $P :: 'a::ab-group-add mpoly$

assumes $\forall u \in vars P \cap vars Q. degree P u \neq degree Q u$

shows $vars (P - Q) = vars P \cup vars Q$

$\langle proof \rangle$

lemma *vars-mult-non-zero*:

fixes $P Q :: 'a::idom mpoly$

shows $P \neq 0 \implies Q \neq 0 \implies vars (P * Q) = vars P \cup vars Q$

$\langle proof \rangle$

lemma *vars-pow*: $vars (P^n) \subseteq vars P$

$\langle proof \rangle$

lemma *vars-pow-positive*:

fixes $P :: 'a::idom mpoly$

assumes $n > 0$

shows $vars (P^n) = vars P$

$\langle proof \rangle$

lemma *vars-prod*:

fixes $S :: 'a set$

and $f :: - \Rightarrow (- :: zero-neq-one) mpoly$

shows $vars (prod f S) \subseteq \bigcup (vars 'f' S)$

$\langle proof \rangle$

lemma *vars-empty*:

assumes $vars P = \{\}$

shows $\exists c. P = Const c$

$\langle proof \rangle$

1.3.1 Maximum variable index

definition *max-vars where* $max-vars P \equiv Max (insert 0 (vars P))$

lemma *after-max-vars*:

$lookup (mapping-of P) m \neq 0 \implies \forall v \geq max-vars P + 1. lookup m v = 0$

$\langle proof \rangle$

lemma *max-vars-of-nonempty*: $vars P \neq \{\} \implies max-vars P = Max (vars P)$

$\langle proof \rangle$

1.3.2 Simplification rules for maximum variable index

lemma *max-vars-Const [simp]*: $max-vars (Const x) = 0$

$\langle proof \rangle$

lemma *max-vars-one [simp]*: $max-vars 1 = 0$

<proof>

lemma *max-vars-Var* [*simp*]: $\text{max-vars } ((\text{Var } v) :: ('a::\text{zero-neq-one}) \text{mpoly}) = v$
<proof>

lemma *max-vars-add*: $\text{max-vars } (P + Q) \leq \max (\text{max-vars } P) (\text{max-vars } Q)$
<proof>

lemma *max-vars-neg*: $\text{max-vars } (- P) = \text{max-vars } P$
<proof>

lemma *max-vars-diff*:
fixes $P :: 'a::\text{ab-group-add} \text{mpoly}$
shows $\text{max-vars } (P - Q) \leq \max (\text{max-vars } P) (\text{max-vars } Q)$
<proof>

lemma *max-vars-diff'*:
fixes $P :: \text{int} \text{mpoly}$
shows $\text{max-vars } (P - Q) \leq \max (\text{max-vars } P) (\text{max-vars } Q)$
<proof>

lemma *max-vars-pow*: $\text{max-vars } (P \wedge n) \leq \text{max-vars } P$
<proof>

lemma *max-vars-pow-positive*:
fixes $P :: 'a::\text{idom} \text{mpoly}$
assumes $n > 0$
shows $\text{max-vars } (P \wedge n) = \text{max-vars } P$
<proof>

lemma *max-vars-mult*: $\text{max-vars } (P * Q) \leq \max (\text{max-vars } P) (\text{max-vars } Q)$
<proof>

lemmas *max-vars-simps* = *max-vars-add max-vars-neg max-vars-diff max-vars-pow*
max-vars-pow-positive max-vars-mult

method *mpoly-vars* =
(*rule subset-trans*[*OF vars-pow*]
| *rule subset-trans*[*OF vars-add Un-least*]
| *rule subset-trans*[*OF vars-diff Un-least*]
| *rule subset-trans*[*OF vars-mult Un-least*]
| *rule Set.empty-subsetI*
| *unfold vars-neg*
| *unfold Const-numeral*[*symmetric*]
| *unfold vars-Var*
| *unfold vars-Const*
| *simp-all*)+

```

end
theory Total-Degree
  imports Variables
begin

```

1.4 Total degree

named-theorems *total-degree-simps* *Lemmas about the total-degree function*

```

lemma total-degree-Const [simp]: total-degree (Const x) = 0
  ⟨proof⟩

```

```

lemma total-degree-Var [simp]:
  total-degree ((Var v):: 'a::comm-semiring-1 mpoly) = 1
  ⟨proof⟩

```

```

lemma total-degree-zero-degree-zero:
  assumes total-degree P = 0
  shows degree P v = 0
  ⟨proof⟩

```

```

lemma total-degree-zero:
  assumes total-degree P = 0
  shows  $\exists c. P = \text{Const } c$ 
  ⟨proof⟩

```

```

lemma total-degree-neg[total-degree-simps]:
  fixes P :: 'a::ab-group-add mpoly
  shows total-degree (- P) = total-degree P
  ⟨proof⟩

```

```

lemma total-degree-add[total-degree-simps]:
  shows total-degree (P + Q) ≤ max (total-degree P) (total-degree Q)
  ⟨proof⟩

```

```

lemma total-degree-add-different-total-degree:
  fixes P :: 'a::ab-group-add mpoly
  assumes total-degree P ≠ total-degree Q
  shows total-degree (P + Q) = max (total-degree P) (total-degree Q)
  ⟨proof⟩

```

```

lemma total-degree-diff[total-degree-simps]:
  fixes P :: 'a::ab-group-add mpoly
  shows total-degree (P - Q) ≤ max (total-degree P) (total-degree Q)
  ⟨proof⟩

```

```

lemma total-degree-diff-different-total-degree:
  fixes P :: 'a::ab-group-add mpoly

```

assumes $\text{total-degree } P \neq \text{total-degree } Q$
shows $\text{total-degree } (P - Q) = \max (\text{total-degree } P) (\text{total-degree } Q)$
 $\langle \text{proof} \rangle$

lemma $\text{total-degree-mult}[\text{total-degree-simps}]$:
 $\text{total-degree } (P * Q) \leq \text{total-degree } P + \text{total-degree } Q$
 $\langle \text{proof} \rangle$

lemma $\text{total-degree-mult-non-zero}$:
fixes $P Q :: 'a::\text{idom } \text{mpoly}$
assumes $P \neq 0 \ Q \neq 0$
shows $\text{total-degree } (P * Q) = \text{total-degree } P + \text{total-degree } Q$
 $\langle \text{proof} \rangle$

lemma total-degree-pow : $\text{total-degree } (P \wedge n) \leq n * \text{total-degree } P$
 $\langle \text{proof} \rangle$

lemma $\text{total-degree-pow-positive}[\text{total-degree-simps}]$:
fixes $P :: 'a::\text{idom } \text{mpoly}$
assumes $n > 0$
shows $\text{total-degree } (P \wedge n) = n * \text{total-degree } P$
 $\langle \text{proof} \rangle$

lemma total-degree-sum :
fixes $P :: 'a \Rightarrow 'b::\text{comm-monoid-add } \text{mpoly}$
assumes $S\text{-fin}: \text{finite } S$
shows $\text{total-degree } (\text{sum } P S) \leq \text{Max } (\text{insert } 0 ((\lambda i. \text{total-degree } (P i)) ' S))$
 $\langle \text{proof} \rangle$

lemma total-degree-prod :
assumes $S\text{-fin}: \text{finite } S$
shows $\text{total-degree } (\text{prod } P S) \leq \text{sum } (\lambda i. \text{total-degree } (P i)) S$
 $\langle \text{proof} \rangle$

lemma Max-function-mono :
fixes $f g :: 'a \Rightarrow \text{nat}$
assumes $\text{finite } A$
assumes $A \neq \{\}$
assumes $\forall a \in A. f a \leq g a$
shows $\text{Max } (f ' A) \leq \text{Max } (g ' A)$
 $\langle \text{proof} \rangle$

lemma $\text{degree-total-degree-bound}$:
 $\text{degree } P v \leq \text{total-degree } P$
 $\langle \text{proof} \rangle$

lemma $\text{total-degree-bound}$:
 $\text{total-degree } P \leq \text{sum } (\text{degree } P) (\text{vars } P)$
 $\langle \text{proof} \rangle$

end
theory *Poly-Expansions*
imports *Total-Degree*
begin

1.5 Explicit expansions

lemma *mpoly-keys-subset*: $keys (mapping-of P) \subseteq Abs-poly-mapping \text{ '(!}_0\text{) '}$
 $\{i. length i = max-vars P + 1 \wedge sum-list i \leq total-degree P\}$
 $\langle proof \rangle$

lemma *monom-single*: $monom (Poly-Mapping.single v p) a = Const a * (Var v) \wedge p$
 $\langle proof \rangle$

lemma *coeff-monom* :
 $coeff (monom m a) m' = (if m=m' then a else 0)$
 $\langle proof \rangle$

lemma *monom-eq-var*:
 $monom (Abs-poly-mapping (\lambda v'. (Suc 0) when v=v')) 1 = MPoly (Var_0 v)$
 $\langle proof \rangle$

lemma *monom-eq-power-var*:
 $monom (Abs-poly-mapping (\lambda v'. n when v = v')) 1 = MPoly (Var_0 v) \wedge n$
 $\langle proof \rangle$

lemma *coeff-prod-monom-not-enough*:
fixes $m m' a$
assumes $\exists k. lookup m k < lookup m' k$
shows $coeff (monom m' a * Q) m = 0$
 $\langle proof \rangle$

lemma *finite-sum-mpoly-commute*:
 $finite S \implies (\sum m \in S. MPoly (f m)) = MPoly (\sum m \in S. f m)$
 $\langle proof \rangle$

lemma *finite-prod-mpoly-commute*:
 $finite S \implies (\prod m \in S. MPoly (f m)) = MPoly (\prod m \in S. f m)$
 $\langle proof \rangle$

lemma *power-mpoly-commute*: $MPoly a \wedge p = MPoly (a \wedge p)$
 $\langle proof \rangle$

lemma *finite-sum-poly-mapping-commute* :
 $finite S \implies (\bigwedge m. finite \{x. f m x \neq 0\}) \implies$
 $(\sum m \in S. Abs-poly-mapping (f m)) = Abs-poly-mapping (\lambda x. \sum m \in S. f m x)$
 $\langle proof \rangle$

lemma *coeff-sum-monom*:

assumes *finite* {*m. f m* ≠ 0}

shows *coeff* (*Sum-any* ($\lambda m. \text{monom } m (f m)$)) = *f*

⟨*proof*⟩

lemma *coeff-sum-monom-bis*:

assumes *finite* {*m. f m* ≠ 0} **and** *finite S*

shows *coeff* ($\sum m \in S. \text{monom } m (f m)$) *m'* = (if *m' ∈ S* then *f m'* else 0)

⟨*proof*⟩

lemma *cst-poly-times-monom*: *MPoly* (*Const*₀ (*a*::('a::semiring-1))) * *monom m*
b = *monom m* (*a***b*)

⟨*proof*⟩

lemma *cst-poly-times-monom-one*: *MPoly* (*Const*₀ (*a*::('a::semiring-1))) * *monom*
m 1 = *monom m a*

⟨*proof*⟩

lemma *poly-eq-sum-monom*: *P* = *Sum-any* ($\lambda m. \text{monom } m (\text{coeff } P m)$)

⟨*proof*⟩

lemma *poly-eq-sum-monom-alt*: *P* = ($\sum m \in (\text{keys } (\text{mapping-of } P)). \text{monom } m$
(*coeff P m*))

⟨*proof*⟩

lemma *coeff-sum*:

fixes *P*::- \Rightarrow 'a::comm-monoid-add *mpoly*

assumes *S-fin*: *finite S*

shows *coeff* (*sum P S*) *m* = ($\sum i \in S. \text{coeff } (P i) m$)

⟨*proof*⟩

lemma *coeff-var-power-le*:

$j \leq i \implies \text{MPoly-Type.coeff } (\text{Var } v \wedge j * P) (\text{Poly-Mapping.single } v i)$
= *MPoly-Type.coeff P* (*Poly-Mapping.single v* (*i - j*))

⟨*proof*⟩

lemma *coeff-var-power-eq*: *MPoly-Type.coeff* (*Var v* \wedge *i*) (*Poly-Mapping.single v*
i) = 1

⟨*proof*⟩

lemma *coeff-const*: $i > 0 \implies \text{MPoly-Type.coeff } (\text{Const } c) (\text{Poly-Mapping.single}$
v i) = 0

⟨*proof*⟩

lemma *mpoly-univariate-expansion*:

fixes *P*::'a::comm-semiring-1 *mpoly* **and** *v*::nat

assumes *univariate*: *vars P* \subseteq {*v*}

shows $P = \text{Sum-any } (\lambda i. \text{monom } (\text{Poly-Mapping.single } v \ i) \ (\text{coeff } P \ (\text{Poly-Mapping.single } v \ i)))$
 <proof>

lemma *term-expansion-lemma-1*: $i \neq [] \implies$
 $\text{Poly-Mapping.single } (\text{Abs-poly-mapping } (!_0 \ i)) \ (1 \ :: \ 'a::\text{comm-semiring-1}) =$
 $(\prod s = 0..(\text{length } i - 1). \text{Var}_0 \ s \ ^{(i \ ! \ s)})$
 <proof>

lemma *term-expansion-lemma-2*: $i \neq [] \implies$
 $\text{monom } (\text{Abs-poly-mapping } (!_0 \ i)) \ c =$
 $\text{MPoly } (\text{Const}_0 \ c) * \text{MPoly } (\prod s = 0..\text{length } i - 1. \text{Var}_0 \ s \ ^{(i \ ! \ s)})$
 <proof>

lemma *term-expansion*: $i \neq [] \implies$
 $\text{monom } (\text{Abs-poly-mapping } (!_0 \ i)) \ c =$
 $\text{Const } c * (\prod s = 0..\text{length } i - 1. \text{Var } s \ ^{(i \ ! \ s)})$
 <proof>

fun *sample-prefix* :: $\text{nat} \Rightarrow (\text{nat} \Rightarrow 'a) \Rightarrow 'a \ \text{list}$ **where**
 $\text{sample-prefix } 0 \ f = [] \ |$
 $\text{sample-prefix } (\text{Suc } l) \ f = \text{sample-prefix } l \ f \ @ \ [f \ l]$

lemma *sample-prefix-length[simp]*: $\text{length } (\text{sample-prefix } l \ f) = l$
 <proof>

lemma *sample-prefix-cong*:
 $(\forall x < n. f \ x = g \ x) \implies \text{sample-prefix } n \ f = \text{sample-prefix } n \ g$
 <proof>

lemma *sample-prefix-inv-nth0*: $(\forall i \geq n. f \ i = 0) \implies f = (!_0) \ (\text{sample-prefix } n \ f)$
 <proof>

lemma *sample-prefix-inj*:
 $\text{inj-on } (\lambda f. \text{sample-prefix } n \ f) \ \{f. \forall i \geq n. (f \ i \ :: \ 'a::\text{zero}) = 0\}$
 <proof>

lemma *lookup-nth0-total-degree*:
 $\text{lookup } (\text{mapping-of } P) \ (\text{Abs-poly-mapping } (!_0 \ i)) \ \neq 0 \implies$
 $\text{sum-list } i \leq \text{total-degree } P$
 <proof>

lemma *prod-monom*: $\text{finite } S \implies \text{prod } (\lambda s. \text{monom } (x \ s) \ (a \ s)) \ S = \text{monom } (\text{sum } x \ S) \ (\text{prod } a \ S)$
 <proof>

lemma *poly-mapping-expansion*: $x = (\sum s \in \text{keys } x. \text{Abs-poly-mapping } (\lambda v'. \text{lookup } x \ s \ \text{when } s = v'))$

<proof>

lemma *monom-expansion*:

shows $\text{monom } x \ c = \text{Const } c * (\prod s \in \text{keys } x. \text{Var } s \wedge (\text{lookup } x \ s))$

<proof>

lemma *monom-expansion'*:

fixes $P :: 'a :: \{\text{ring-no-zero-divisors, comm-semiring-1}\} \text{mpoly}$

assumes $x \in \text{keys } (\text{mapping-of } P)$

shows $\text{monom } x \ (\text{coeff } P \ x) = \text{Const } (\text{coeff } P \ x) * (\prod s = 0.. \text{max-vars } P. \text{Var } s \wedge (\text{lookup } x \ s))$

<proof>

lemma *mpoly-multivariate-expansion'*:

fixes $P :: 'a :: \{\text{ring-no-zero-divisors, comm-semiring-1}\} \text{mpoly}$

shows $P = (\sum m \in \text{keys } (\text{mapping-of } P). \text{Const } (\text{coeff } P \ m) * (\prod s = 0.. \text{max-vars } P. (\text{Var } s) \wedge (\text{lookup } m \ s)))$

<proof>

lemma *mpoly-multivariate-expansion*:

fixes $P :: 'a :: \text{comm-semiring-1} \text{mpoly}$

shows $P = (\sum i \mid \text{length } i = \text{max-vars } P + 1 \wedge \text{sum-list } i \leq \text{total-degree } P. \text{Const } (\text{coeff } P \ (\text{Abs-poly-mapping } (!_0 \ i))) * (\prod s = 0.. \text{max-vars } P. (\text{Var } s) \wedge (i \ ! \ s)))$

<proof>

lemma *mpoly-univariate-expansion-sum*:

fixes $P :: ('a :: \text{comm-ring-1}) \text{mpoly}$

assumes $\text{vars } P \subseteq \{v\}$

defines $q \equiv \text{MPoly-Type.degree } P \ v$

defines $\text{coeff-P} \equiv (\lambda d. \text{coeff } P \ (\text{Poly-Mapping.single } v \ d))$

shows $P = (\sum d = 0..q. \text{Const } (\text{coeff-P } d) * (\text{Var } v) \wedge d)$

<proof>

end

theory *Substitutions*

imports *Poly-Expansions*

begin

1.6 Substitution

The following definitions allow substituting polynomials into the variables of the given polynomial p . They correspond to $\{\text{@const subst-pp}\}$ and $\{\text{@const poly-subst}$ in the AFP entry $\{\text{@afp Polynomials.Poly-PM}\}$

definition *poly-subst-monom* $:: (\text{nat} \Rightarrow 'a :: \text{comm-semiring-1} \text{mpoly}) \Rightarrow (\text{nat} \Rightarrow_0 \text{nat}) \Rightarrow 'a \text{mpoly}$

where $\text{poly-subst-monom } f \ t = (\prod x. (f \ x) \wedge (\text{lookup } t \ x))$

definition *poly-subst* :: (nat \Rightarrow 'a::comm-semiring-1 mpoly) \Rightarrow 'a mpoly \Rightarrow 'a mpoly

where *poly-subst* f p = Sum-any (λt . (Const (coeff p t)) * (poly-subst-monom f t))

definition *poly-subst-list* **where** *poly-subst-list* \equiv *poly-subst* \circ (!₀)

abbreviation *insertion-list* **where** *insertion-list* \equiv *insertion* \circ (!₀)

lemma *poly-subst-monom-alt*: *poly-subst-monom* f t = ($\prod x \in \text{keys } t$. (f x) \wedge (lookup t x))
 <proof>

lemma *poly-subst-alt*: *poly-subst* f p = ($\sum t \in \text{keys } (\text{mapping-of } p)$. (Const (coeff p t)) * (poly-subst-monom f t))
 <proof>

lemma *poly-subst-list-id*:

fixes p :: 'a::{comm-semiring-1,ring-no-zero-divisors} mpoly

assumes k \geq max-vars p

shows *poly-subst-list* (map Var [0..*Suc* k]) p = p

<proof>

lemma *insertion-poly-subst-monom*:

insertion g (poly-subst-monom f t) = ($\prod x$. (insertion g (f x)) \wedge (lookup t x))

<proof>

lemma *insertion-poly-subst*:

insertion g (poly-subst f p) = *insertion* ((insertion g) \circ f) p

<proof>

lemma *insertion-nth0*: *insertion* f (l !₀ x) = (map (insertion f) l) !₀ x

<proof>

lemma *poly-subst-monom-zero* [*simp*]:

poly-subst-monom f 0 = 1

<proof>

lemma *poly-subst-monom-single* [*simp*]:

poly-subst-monom f (Poly-Mapping.single v 1) = f v

<proof>

lemma *poly-subst-monom-add*: *poly-subst-monom* f (m₁ + m₂) = *poly-subst-monom*

f m₁ * *poly-subst-monom* f m₂

<proof>

lemma *poly-subst-zero* [*simp*]:

poly-subst f 0 = 0

<proof>

lemma *poly-subst-one* [simp]:

$$\text{poly-subst } f \ 1 = 1$$

<proof>

lemma *poly-subst-Var* [simp]:

$$\text{poly-subst } f \ (\text{Var } v) = f \ v$$

<proof>

lemma *poly-subst-Const* [simp]:

$$\text{poly-subst } f \ (\text{Const } c) = (\text{Const } c)$$

<proof>

lemma *poly-subst-numeral*[simp]:

$$\text{poly-subst } f \ (\text{numeral } n) = (\text{numeral } n)$$

<proof>

lemma *poly-subst-add* [simp]:

$$\text{poly-subst } f \ (P + Q) = \text{poly-subst } f \ P + \text{poly-subst } f \ Q$$

<proof>

lemma *poly-subst-uminus* [simp]:

$$\text{poly-subst } f \ (- P) = - \text{poly-subst } f \ P$$

<proof>

lemma *poly-subst-diff* [simp]:

$$\text{poly-subst } f \ ((P::('a::\{ab-group-add,comm-semiring-1\}) \text{mpoly}) - Q) = \text{poly-subst } f \ P - \text{poly-subst } f \ Q$$

<proof>

lemma *poly-subst-sum*:

$$\text{poly-subst } f \ (\text{sum } P \ A) = \text{sum } (\text{poly-subst } f \ \circ P) \ A$$

<proof>

lemma *poly-subst-mult* [simp]:

$$\text{poly-subst } f \ (P * Q) = \text{poly-subst } f \ P * \text{poly-subst } f \ Q$$

<proof>

lemma *poly-subst-prod*:

$$\text{poly-subst } f \ (\text{prod } P \ A) = \text{prod } (\text{poly-subst } f \ \circ P) \ A$$

<proof>

lemma *poly-subst-monom-id*: *poly-subst-monom* (Var) *t* = *monom t 1*

<proof>

lemma *poly-subst-id*: *poly-subst* (Var) *p* = *p*

<proof>

Vars of substitutions

lemma *vars-poly-subst-monom*: $\text{vars } (\text{poly-subst-monom } f t) \subseteq \bigcup (\text{vars } ' (f ' \text{keys } t))$

<proof>

lemma *vars-poly-subst-monom'*: $\text{vars } (\text{poly-subst-monom } (!_0 \text{ } ls) t) \subseteq \bigcup (\text{vars } ' \text{ set } ls)$

<proof>

lemma *vars-poly-subst-list*: $\text{vars } (\text{poly-subst-list } ls p) \subseteq \bigcup (\text{vars } ' \text{ set } ls)$

<proof>

lemma *vars-poly-subst-monom-bounded*:

$\forall v \in (\text{keys } t). v \leq \text{bound} \implies \text{vars } (\text{poly-subst-monom } (!_0 \text{ } ls) t) \subseteq \bigcup (\text{vars } ' \text{ set } (\text{take } (\text{Suc } \text{bound}) \text{ } ls))$

<proof>

lemma *aux0*: $\text{max-vars } p \leq \text{bound} \implies m \in \text{keys } (\text{mapping-of } p) \implies \forall v \in (\text{keys } m). v \leq \text{bound}$

<proof>

lemma *vars-poly-subst-list-bounded*:

assumes $\text{max-vars } p \leq \text{bound}$

shows $\text{vars } (\text{poly-subst-list } ls p) \subseteq \bigcup (\text{vars } ' \text{ set } (\text{take } (\text{Suc } \text{bound}) \text{ } ls))$

<proof>

lemma *vars-poly-subst*:

$\text{vars } (\text{poly-subst } f p) \subseteq (\bigcup t \in \text{vars } p. \text{vars } (f t))$

<proof>

lemma *max-vars-poly-subst-list-general*:

shows $\text{max-vars } (\text{poly-subst-list } ls p) \leq \text{Max } (\text{max-vars } ' \text{ set } ls)$

<proof>

lemma *max-vars-poly-subst-list-bounded*:

$\text{max-vars } p \leq \text{bound} \implies \text{max-vars } (\text{poly-subst-list } ls p) \leq \text{Max } (\text{max-vars } ' \text{ set } (\text{take } (\text{Suc } \text{bound}) \text{ } ls))$

<proof>

lemma *max-vars-id*:

fixes $p :: 'a :: \{\text{comm-semiring-1, ring-no-zero-divisors}\} \text{ mpoly}$

shows $\text{max-vars } (\text{poly-subst-list } (\text{map } \text{Var } [0..<\text{Suc } k]) p) \leq k$

<proof>

Degrees of substitutions

lemma *degree-poly-subst-monom*:

fixes f

assumes $\text{finite } \{k. f k \neq 0\}$

defines $\text{degree-monom} \equiv (\lambda m t. (\text{lookup } m) t)$

shows $\text{degree } (\text{poly-subst-monom } f m) v$
 $\leq (\sum t \mid v \in \text{vars } (f t)). \text{degree-monom } m t * \text{degree } (f t) v$
 $\langle \text{proof} \rangle$

lemma *degree-poly-subst*:
fixes $p :: 'a::\text{comm-ring-1 } \text{mpoly}$
fixes $f :: \text{nat} \Rightarrow 'a \text{ mpoly}$
assumes $\text{finite } \{k. f k \neq 0\}$
shows $\text{degree } (\text{poly-subst } f p) v \leq (\sum t \mid v \in \text{vars } (f t)). \text{degree } p t * \text{degree } (f t) v$
 $\langle \text{proof} \rangle$

lemma *degree-poly-subst'*:
fixes $p :: 'a::\text{comm-ring-1 } \text{mpoly}$
fixes $f :: \text{nat} \Rightarrow 'a \text{ mpoly}$
assumes $\text{finite } \{k. f k \neq 0\}$
shows $\text{degree } (\text{poly-subst } f p) v \leq (\sum t \in \text{vars } p. \text{degree } p t * \text{degree } (f t) v)$
 $\langle \text{proof} \rangle$

lemma *degree-poly-subst-list*:
fixes $p :: 'a::\text{comm-ring-1 } \text{mpoly}$
shows $\text{degree } (\text{poly-subst-list } ls p) v \leq (\sum t \mid v \in \text{vars } (ls !_0 t)). \text{degree } p t * \text{degree } (ls !_0 t) v$
 $\langle \text{proof} \rangle$

lemma *degree-poly-subst-list'*:
fixes $p :: 'a::\text{comm-ring-1 } \text{mpoly}$
shows $\text{degree } (\text{poly-subst-list } ls p) v \leq (\sum t \leq \text{length } ls. \text{degree } p t * \text{degree } (ls !_0 t) v)$
 $\langle \text{proof} \rangle$

Total degree of substitutions

lemma *deg-imp-tot-deg-zero*: $(\forall v \in \text{vars } P. \text{degree } P v = 0) \implies \text{total-degree } P = 0$
 $\langle \text{proof} \rangle$

lemma *total-degree-poly-subst-monom*:
fixes f
defines $\text{degree-monom} \equiv (\lambda m t. (\text{lookup } m) t)$
shows $\text{total-degree } (\text{poly-subst-monom } f m)$
 $\leq (\sum t \in \text{keys } m. \text{degree-monom } m t * \text{total-degree } (f t))$
 $\langle \text{proof} \rangle$

lemma *total-degree-poly-subst*:
shows $\text{total-degree } (\text{poly-subst } f p) \leq (\sum t \in \text{vars } p. \text{degree } p t * \text{total-degree } (f t))$
 $\langle \text{proof} \rangle$

lemma *total-degree-poly-subst-list*:
fixes $p :: 'a::\text{comm-ring-1 } \text{mpoly}$

shows $\text{total-degree } (\text{poly-subst-list } ls \ p) \leq (\sum t \in \text{vars } p. \text{degree } p \ t * \text{total-degree } (ls \ !_0 \ t))$
 (ls !₀ t)
 ⟨proof⟩

lemma *total-degree-poly-subst-list'*:
fixes $p :: 'a::\text{comm-ring-1} \ \text{mpoly}$
assumes $\text{max-vars } p \leq \text{length } ls$
shows $\text{total-degree } (\text{poly-subst-list } ls \ p) \leq (\sum t \leq \text{length } ls. \text{degree } p \ t * \text{total-degree } (ls \ !_0 \ t))$
 (ls !₀ t)
 ⟨proof⟩

lemma *total-degree-poly-subst-list''*:
fixes $p :: 'a::\text{comm-ring-1} \ \text{mpoly}$
assumes $\forall i \leq \text{length } ls. \text{card } (\text{vars } (ls \ ! \ i)) \leq 1$
shows $\text{total-degree } (\text{poly-subst-list } ls \ p) \leq \text{length } ls * (\sum t \leq \text{length } ls. \text{degree } p \ t * \text{total-degree } (ls \ !_0 \ t))$
 ⟨proof⟩

end
theory *Type-Casting*
imports *Substitutions*
begin

1.7 Type casting for polynomials

named-theorems *of-int-mpoly-simps* *Lemmas about of-int-mpoly*

definition *of-int-mpoly* :: $\text{int } \text{mpoly} \Rightarrow 'a::\text{ring-1} \ \text{mpoly}$ **where**
 $\text{of-int-mpoly } P = \text{MPoly } (\text{Abs-poly-mapping } (\text{of-int} \circ \text{lookup } (\text{mapping-of } P)))$

lemma *of-int-mpoly-coeff* [*simp*, *of-int-mpoly-simps*]:
 $\text{coeff } (\text{of-int-mpoly } P) \ a = \text{of-int } (\text{coeff } P \ a)$
 ⟨proof⟩

lemma *of-int-mpoly-zero* [*of-int-mpoly-simps*]:
 $\text{of-int-mpoly } 0 = 0$
 ⟨proof⟩

lemma *eq-onp-intF*:
fixes $\mathcal{F} :: \text{int } \text{mpoly}$
shows $\text{eq-onp } (\lambda f. \text{finite } \{x. f \ x \neq 0\}) \ (\lambda x. \text{of-int } (\text{lookup } (\text{mapping-of } \mathcal{F}) \ x)) \ (\lambda x. \text{of-int } (\text{lookup } (\text{mapping-of } \mathcal{F}) \ x))$
 ⟨proof⟩

lemma *of-int-mpoly-one* [*of-int-mpoly-simps*]:
 $\text{of-int-mpoly } 1 = 1$
 ⟨proof⟩

lemma *of-int-mpoly-Var* [*of-int-mpoly-simps*]:

of-int-mpoly (Var n) = Var n

<proof>

lemma *of-int-mpoly-Const* [*of-int-mpoly-simps*]:

of-int-mpoly (Const c) = Const (*of-int* c)

<proof>

lemma *of-int-mpoly-numeral* [*of-int-mpoly-simps*]:

of-int-mpoly (numeral n) = numeral n

<proof>

lemma *of-int-mpoly-add* [*of-int-mpoly-simps*]:

fixes $\mathcal{F} \mathcal{G} :: \text{int mpoly}$

shows *of-int-mpoly* ($\mathcal{F} + \mathcal{G}$) = *of-int-mpoly* \mathcal{F} + *of-int-mpoly* \mathcal{G}

<proof>

lemma *of-int-mpoly-neg* [*of-int-mpoly-simps*]:

fixes $\mathcal{G} :: \text{int mpoly}$

shows *of-int-mpoly* ($-\mathcal{G}$) = $-$ *of-int-mpoly* \mathcal{G}

<proof>

lemma *of-int-mpoly-diff* [*of-int-mpoly-simps*]:

fixes $\mathcal{F} \mathcal{G} :: \text{int mpoly}$

shows *of-int-mpoly* ($\mathcal{F} - \mathcal{G}$) = *of-int-mpoly* \mathcal{F} - *of-int-mpoly* \mathcal{G}

<proof>

lemma *of-int-mpoly-mult* [*of-int-mpoly-simps*]:

fixes $\mathcal{F} \mathcal{G} :: \text{int mpoly}$

shows (*of-int-mpoly* ($\mathcal{F} * \mathcal{G}$)) :: ($'a :: \text{ring-1}$) mpoly) = *of-int-mpoly* $\mathcal{F} *$ *of-int-mpoly* \mathcal{G}

<proof>

lemma *of-int-mpoly-power* [*of-int-mpoly-simps*]:

fixes $\mathcal{F} :: \text{int mpoly}$

shows *of-int-mpoly* ($\mathcal{F} ^ n$) = (*of-int-mpoly* \mathcal{F}) ^ n

<proof>

lemma *of-int-mpoly-sum* [*of-int-mpoly-simps*]:

fixes $f :: 'a \Rightarrow \text{int mpoly}$ and S

shows *of-int-mpoly* (sum $f S$) = sum (*of-int-mpoly* $\circ f$) S

<proof>

lemma *of-int-mpoly-prod* [*of-int-mpoly-simps*]:

fixes $f :: 'a \Rightarrow \text{int mpoly}$ and S

shows *of-int-mpoly* (prod $f S$) = prod (*of-int-mpoly* $\circ f$) S

<proof>

lemma *of-int-mpoly-Sum-any*:

```

fixes f :: 'a ⇒ int mpoly
assumes finite {a. f a ≠ 0}
shows (of-int-mpoly (Sum-any f) :: 'b::ring-1 mpoly) = Sum-any (of-int-mpoly
  ◦ f)
⟨proof⟩

lemma of-int-mpoly-Prod-any:
  fixes f :: 'a ⇒ int mpoly
  assumes finite {a. f a ≠ 1}
  shows (of-int-mpoly (Prod-any f) :: 'b::comm-ring-1 mpoly) = Prod-any (of-int-mpoly
    ◦ f)
  ⟨proof⟩

lemma insertion-of-int-mpoly:
  insertion (of-int ◦ α) ((of-int-mpoly P) :: 'a::comm-ring-1 mpoly) = of-int (insertion
    α P)
  ⟨proof⟩

lemma of-int-mpoly-poly-subst-monom [of-int-mpoly-simps]:
  of-int-mpoly (poly-subst-monom f a) = poly-subst-monom (of-int-mpoly ◦ f :: nat
    ⇒ 'a::comm-ring-1 mpoly) a
  ⟨proof⟩

lemma of-int-mpoly-poly-subst [of-int-mpoly-simps]:
  of-int-mpoly (poly-subst f P) = poly-subst (of-int-mpoly ◦ f :: nat ⇒ 'a::comm-ring-1
    mpoly) (of-int-mpoly P)
  ⟨proof⟩

lemma of-int-general-Const: (of-int x :: ('a::ring-1) mpoly) = of-int-mpoly (Const
  x)
  ⟨proof⟩

lemma of-int-mpoly-degree[simp]:
  MPoly-Type.degree (of-int-mpoly P :: ('a::ring-1) mpoly) ≤ MPoly-Type.degree P
  ⟨proof⟩

lemma vars-of-int-mpoly:
  vars (of-int-mpoly P :: ('a :: {comm-semiring-1, ring-1}) mpoly) ⊆ vars P
  ⟨proof⟩

end
theory More-More-MPoly-Type
  imports Type-Casting Substitutions Poly-Expansions Total-Degree
    Variables Degree Notation
begin

end
theory Poly-Extract

```

```

imports More-More-MPoly-Type
keywords poly-extract :: thy-defn
begin

```

1.8 Automatic generation of polynomials from Isabelle terms

$\langle ML \rangle$

```

end
theory Bit-Counting
imports Digit-Expansions.Binary-Operations HOL-Computational-Algebra.Primes
begin

```

2 The Coding Technique

2.1 Counting bits and number of carries

Count the number of bits in the binary expansion of n

definition *bit-set* :: $nat \Rightarrow nat\ set$ **where**
bit-set $n = \{i. n \ i \ i = 1\}$

definition *count-bits* :: $nat \Rightarrow nat$ **where**
count-bits $n = card\ (bit\text{-set}\ n)$

Count the number of carries in the binary addition of a and b

definition *carry-set* :: $nat \Rightarrow nat \Rightarrow nat\ set$ **where**
carry-set $a\ b = \{i. bin\text{-carry}\ a\ b\ i = 1\}$

definition *count-carries* :: $nat \Rightarrow nat \Rightarrow nat$ **where**
count-carries $a\ b = card\ (carry\text{-set}\ a\ b)$

This shows that $\{@const\ count\text{-bits}\}$ is well defined

lemma *bit-set-subset*: $bit\text{-set}\ n \subseteq \{..<n\}$
 $\langle proof \rangle$

corollary *bit-set-finite*: $finite\ (bit\text{-set}\ n)$
 $\langle proof \rangle$

We can be more precise when we know how many bits n requires

lemma *bit-set-subset-variant*: $n < 2^k \implies bit\text{-set}\ n \subseteq \{..<k\}$
 $\langle proof \rangle$

corollary *count-bits-def-sum*: $n < 2^k \implies count\text{-bits}\ n = (\sum\ i < k. n \ i \ i)$
 $\langle proof \rangle$

corollary *count-bits-bounded*: $n < 2^k \implies count\text{-bits}\ n \leq k$
 $\langle proof \rangle$

The following lemma shows that $\{\text{@const count-carries}\}$ is well defined

lemma *carry-set-subset*: $\text{carry-set } a \ b \subseteq \{..max \ a \ b\}$
 $\langle proof \rangle$

corollary *carry-set-finite*: $finite \ (\text{carry-set } a \ b)$
 $\langle proof \rangle$

We can be more precise when we know how many bits $a + b$ requires

lemma *carry-set-subset-variant*: $a + b < 2^k \implies \text{carry-set } a \ b \subseteq \{..<k\}$
 $\langle proof \rangle$

corollary *count-carries-def-sum*: $a + b < 2^k \implies \text{count-carries } a \ b = (\sum_{i < k}. \text{bin-carry } a \ b \ i)$
 $\langle proof \rangle$

Some elementary properties of $\{\text{@const count-bits}\}$ and $\{\text{@const count-carries}\}$

lemma *bit-set-0[simp]*: $\text{bit-set } 0 = \{\}$
 $\langle proof \rangle$

corollary *count-bits-0[simp]*: $\text{count-bits } 0 = 0$
 $\langle proof \rangle$

lemma *bit-set-1[simp]*: $\text{bit-set } 1 = \{0\}$
 $\langle proof \rangle$

corollary *count-bits-1[simp]*: $\text{count-bits } 1 = 1$
 $\langle proof \rangle$

lemma *carry-set-n0[simp]*: $\text{carry-set } n \ 0 = \{\}$
 $\langle proof \rangle$

lemma *carry-set-0n[simp]*: $\text{carry-set } 0 \ n = \{\}$
 $\langle proof \rangle$

corollary *count-carries-n0[simp]*: $\text{count-carries } n \ 0 = 0$
 $\langle proof \rangle$

corollary *count-carries-0n[simp]*: $\text{count-carries } 0 \ n = 0$
 $\langle proof \rangle$

lemma *carry-set-sym*: $\text{carry-set } a \ b = \text{carry-set } b \ a$
 $\langle proof \rangle$

corollary *count-carries-sym*: $\text{count-carries } a \ b = \text{count-carries } b \ a$
 $\langle proof \rangle$

lemma *aux-geometric-sum*:
 $(x::nat) > 1 \implies (x-1) * (\sum_{i < n}. x^i) = x^n - 1$
 $\langle proof \rangle$

lemma *aux-digit-sum-bound*:

assumes $1 < (b::nat)$ **and** $\forall i < q. f\ i < b$
shows $(\sum_{i < q}. f\ i * b^i) < b^q$
 $\langle proof \rangle$

lemma *carry-set-same*[simp]: *carry-set a a = Suc ' bit-set a*
(is $?A = ?B$ **)**
 $\langle proof \rangle$

corollary *count-carries-same*[simp]: *count-carries a a = count-bits a*
 $\langle proof \rangle$

lemma *bit-set-pow2*[simp]: *bit-set (2^k) = {k}*
 $\langle proof \rangle$

corollary *count-bits-pow2*[simp]: *count-bits (2^k) = 1*
 $\langle proof \rangle$

lemma *bit-set-block-ones*[simp]: *bit-set (2^k - 1) = {..<k}*
 $\langle proof \rangle$

corollary *count-bits-block-ones*[simp]: *count-bits (2^k-1) = k*
 $\langle proof \rangle$

The binary complement of a number with k bits

lemma *nth-bit-complement*:
 $a < 2^k \implies (2^{k-1}-a) \text{ } i = (\text{if } i < k \text{ then } 1 - (a \text{ } i) \text{ else } 0)$
 $\langle proof \rangle$

lemma *bit-set-complement*:
 $a < 2^k \implies \text{bit-set } (2^{k-1}-a) = \{..<k\} - \text{bit-set } a$
 $\langle proof \rangle$

corollary *count-bits-complement*:
 $a < 2^k \implies \text{count-bits } (2^{k-1}-a) = k - \text{count-bits } a$
 $\langle proof \rangle$

lemma *carry-set-pow2-block-ones*[simp]: *carry-set (2^k) (2^k-1) = {}*
 $\langle proof \rangle$

corollary *count-carries-pow2-block-ones*[simp]: *count-carries (2^k) (2^k-1) = 0*
 $\langle proof \rangle$

lemma *bit-set-add-shift*:
 $a < 2^k \implies \text{bit-set } (a + b * 2^k) = \text{bit-set } a \cup ((+) k) \text{ ' bit-set } b$
(is $- \implies ?A = ?B$ **)**
 $\langle proof \rangle$

corollary *count-bits-add-shift*:
 $a < 2^k \implies \text{count-bits } (a + b * 2^k) = \text{count-bits } a + \text{count-bits } b$

<proof>

corollary *count-bits-even[simp]*: $\text{count-bits } (2*n) = \text{count-bits } n$
<proof>

corollary *count-bits-odd[simp]*: $\text{count-bits } (2*n+1) = 1 + \text{count-bits } n$
<proof>

lemma *count-bits-digitwise*:

assumes $1 \leq k$ **and** $\forall i < q. f\ i < 2^k$

shows $\text{count-bits } (\sum i < q. f\ i * (2^k)^i) = (\sum i < q. \text{count-bits } (f\ i))$

<proof>

lemma *count-carries-count-bits*:

$\text{count-bits } (a+b) + \text{count-carries } a\ b = \text{count-bits } a + \text{count-bits } b$

<proof>

corollary *count-bits-add-le*: $\text{count-bits } (a+b) \leq \text{count-bits } a + \text{count-bits } b$
<proof>

{@const count-carries} can be defined in term of {@const count-bits}

corollary *count-carries-def-alt*:

$\text{count-carries } a\ b = \text{count-bits } a + \text{count-bits } b - \text{count-bits } (a+b)$

<proof>

lemma *count-bits-sum-le*:

assumes *S-fin*: finite *S*

shows $\text{count-bits } (\text{sum } f\ S) \leq (\sum i \in S. \text{count-bits } (f\ i))$

<proof>

lemma *aux1-carry-set-add-shift*:

$a < 2^k \implies c < 2^k \implies i \leq k \implies \text{bin-carry } (a+b*2^k) (c+d*2^k)\ i = \text{bin-carry } a\ c\ i$

<proof>

lemma *aux2-carry-set-add-shift*:

$a < 2^k \implies c < 2^k \implies k \leq i \implies \text{bin-carry } (a+b*2^k) (c+d*2^k)\ i \geq \text{bin-carry } b\ d\ (i-k)$

<proof>

lemma *aux3-carry-set-add-shift*:

$a + c < 2^k \implies k \leq i \implies \text{bin-carry } (a+b*2^k) (c+d*2^k)\ i = \text{bin-carry } b\ d\ (i-k)$

<proof>

lemma *carry-set-add-shift*:

$a < 2^k \implies c < 2^k \implies \text{carry-set } a\ c \cup ((+) k) \text{ 'carry-set } b\ d \subseteq \text{carry-set } (a+b*2^k) (c+d*2^k)$

(is $- \implies - \implies ?A1 \cup ?A2 \subseteq ?B$)

<proof>

corollary *count-carries-add-shift:*

$$a < 2^k \implies c < 2^k \implies \text{count-carries } (a + b \cdot 2^k) (c + d \cdot 2^k) \\ \geq \text{count-carries } a \ c + \text{count-carries } b \ d$$

<proof>

lemma *carry-set-add-shift-no-overflow:*

$$a + c < 2^k \implies \text{carry-set } (a + b \cdot 2^k) (c + d \cdot 2^k) = \text{carry-set } a \ c \cup ((+ \ k) \ ' \\ \text{carry-set } b \ d \\ (\text{is } - \implies ?A = ?B)$$

<proof>

corollary *count-carries-add-shift-no-overflow:*

$$a + c < 2^k \implies \text{count-carries } (a + b \cdot 2^k) (c + d \cdot 2^k) = \text{count-carries } a \ c + \\ \text{count-carries } b \ d$$

<proof>

corollary *count-carries-even-even:* $\text{count-carries } (2 \cdot a) (2 \cdot b) = \text{count-carries } a \ b$

<proof>

lemma *count-carries-digitwise:*

assumes $1 \leq k$ and $\forall i < q. f \ i < 2^k \wedge g \ i < 2^k$

shows $\text{count-carries } (\sum_{i < q}. f \ i * (2^k)^i) (\sum_{i < q}. g \ i * (2^k)^i) \geq \\ (\sum_{i < q}. \text{count-carries } (f \ i) (g \ i))$

<proof>

corollary *count-carries-digitwise-specific:*

assumes $1 \leq k$ and $\forall i < q. f \ i < 2^k \wedge g \ i < 2^k$

$$\text{shows } i < q \implies \text{count-carries } (\sum_{i < q}. f \ i * (2^k)^i) (\sum_{i < q}. g \ i * (2^k)^i) \\ \geq \\ \text{count-carries } (f \ i) (g \ i)$$

<proof>

lemma *count-carries-digitwise-no-overflow:*

assumes $k \geq 1$ and $\forall i < q. f \ i + g \ i < 2^k$

shows $\text{count-carries } (\sum_{i < q}. f \ i * (2^k)^i) (\sum_{i < q}. g \ i * (2^k)^i) = \\ (\sum_{i < q}. \text{count-carries } (f \ i) (g \ i))$

<proof>

lemma *carry-set-empty-iff:*

$$\text{carry-set } a \ b = \{\} \iff (\forall i. a \ i + b \ i \leq 1)$$

<proof>

corollary *count-carries-zero-iff:*

$$\text{count-carries } a \ b = 0 \iff (\forall i. a \ i + b \ i \leq 1)$$

<proof>

lemma *no-carry-no-overflow:*

assumes $a < 2^k$ **and** $b < 2^k$ **and** *count-carries* $a\ b = 0$
shows $a + b < 2^k$
 <proof>

lemma *count-carries-divisibility-pow2*: *count-carries* $(2^k - 1)\ x = 0 \iff 2^k\ \text{dvd}\ x$
 <proof>

lemma *nth-digit-gen-power-series-general*:
assumes $1 < b$ **and** $\forall k \leq q. f\ k < b$
shows *nth-digit* $(\sum_{k=0..q} f\ k * b^k)\ i\ b = (\text{if } i \leq q \text{ then } f\ i \text{ else } 0)$
 (**is** *nth-digit* ?X - - = -)
 <proof>

lemma *aux-count-bits-multiplicity*:
count-bits $(\text{Suc } x) + \text{multiplicity } 2\ (\text{Suc } x) = \text{count-bits } x + 1$
 <proof>

lemma *count-bits-multiplicity*:
count-bits $x = \text{multiplicity } 2\ (2 * x\ \text{choose } x)$
 <proof>

corollary *count-bits-divisibility-binomial*:
 $2^k\ \text{dvd}\ (2 * x\ \text{choose } x) \iff k \leq \text{count-bits } x$
 <proof>

end
theory *Utils*
imports *Main*
begin

definition *is-square*::*int*⇒*bool* **where**
is-square $n = (\exists k. n = k^2)$

definition *is-power2*::*int*⇒*bool* **where**
is-power2 $x \equiv (\exists n::\text{nat}. x = 2^n)$

lemma *is-power2-ge1*: *is-power2* $x \implies 1 \leq x$
 <proof>

lemma *is-power2-mult[simp]*: *is-power2* $x \implies \text{is-power2 } y \implies \text{is-power2 } (x * y)$
 <proof>

lemma *is-power2-pow[simp]*: *is-power2* $x \implies \text{is-power2 } (x^n)$
 <proof>

lemma *is-power2-1[simp]*: *is-power2* 1


```

    <proof>
lemma is-power2-2[simp]: is-power2 2
    <proof>
lemma is-power2-4[simp]: is-power2 4
    <proof>

lemma is-power2-div2: is-power2 x  $\implies$  2  $\leq$  x  $\implies$  is-power2 (x div 2)
    <proof>

lemma digit-repr-lt:
  fixes q :: nat
  fixes b :: int
  assumes b > 1
  assumes  $\forall k. f\ k < b$ 
  shows  $(\sum k = 0..q. f\ k * b^k) < b^{(Suc\ q)}$ 
    <proof>

end
theory Tau-Reduction
  imports Bit-Counting Utils
begin

```

2.2 Expressing the bit counting function with a binomial coefficient

```

locale Tau-Reduction =
  fixes N::nat and S::nat and T::nat
  assumes HN: is-power2 (int N)
  and HS: S < N
  and HT: T < N
begin

```

```

abbreviation  $\sigma$  where  $\sigma \equiv count\ bits$ 
abbreviation  $\tau$  where  $\tau \equiv count\ carries$ 

```

```

definition R where  $R \equiv (S+T+1)*N + T + 1$ 

```

We prove an identity on natural numbers. To make it more tractable we transpose it to integers.

```

lemma rewrite-R:
   $N^2 * (S+T) + N * (N-1-S) + (N-1-T) = (N-1) * R$ 
    <proof>

```

This is a direct consequence of the properties of sigma and tau.

Lemma 1.4 in the article

```

lemma tau-as-binomial-coefficient:
   $\tau\ S\ T = 0 \iff N^2\ dvd\ 2 * (N-1) * R\ choose\ (N-1) * R$ 

```

<proof>

end

end

theory *Masking*

imports *Complex-Main Bit-Counting Utils*

begin

2.3 Masking

abbreviation σ **where** $\sigma \equiv \text{count-bits}$

abbreviation τ **where** $\tau \equiv \text{count-carries}$

locale *masking-lemma* =

fixes $\delta \ \nu \ \mathcal{B} \ b \ C :: \text{nat}$

assumes $\delta\text{-pos}$: $\delta > 0$

and $\mathcal{B}\text{-power2}$: *is-power2* (int \mathcal{B})

and $b\text{-power2}$: *is-power2* (int b)

and $\mathcal{B}\text{-ge-2}$: $2 \leq \mathcal{B}$

and $b\text{-le-}\mathcal{B}$: $b \leq \mathcal{B}$

and $C\text{-lower-bound}$: $C \geq b * \mathcal{B}^{(\delta+1) \wedge \nu}$

and $C\text{-upper-bound}$: $C \leq \mathcal{B} * \mathcal{B}^{(\delta+1) \wedge \nu}$

begin

definition $n :: \text{nat} \Rightarrow \text{nat}$ **where** $n \ j = (\delta+1) \wedge j$

definition $m :: \text{nat} \Rightarrow \text{nat}$ **where**

$m \ j = (\text{if } j \in n \ \{1.. \nu\} \text{ then } \mathcal{B} - b \text{ else } \mathcal{B} - 1)$

mask($b, \mathcal{B}, \delta, \nu$)

definition $M :: \text{nat}$ **where** $M = (\sum_{j=0..n} \nu. m \ j * \mathcal{B}^j)$

lemma $b\text{-ge-1}$: $1 \leq b$

<proof>

lemma $b\text{-dvd-}\mathcal{B}$: $b \ \text{dvd} \ \mathcal{B}$

<proof>

lemma $n\text{-inj-on}$: *inj-on* $n \ A$

<proof>

lemma *direct-g-bound*:

assumes $z\text{-bound}$: $\forall i. z \ i < b$

and $g\text{-code}$: $g = (\sum_{i=1.. \nu} z \ i * \mathcal{B}^{(n \ i)})$

shows $g < C$

<proof>

lemma *direct-tau-zero*:

assumes *z-bound*: $\forall i. z\ i < b$
and *g-code*: $g = (\sum_{i=1..v.} z\ i * \mathcal{B}^{\wedge(n\ i)})$
shows $\tau\ g\ M = 0$
 <proof>

lemma *reverse-impl*:
assumes *tau-zero*: $\tau\ g\ M = 0$
and *g-bound-C*: $g < C$
shows $\exists z. (\forall i. z\ i < b) \wedge g = (\sum_{i=1..v.} z\ i * \mathcal{B}^{\wedge(n\ i)})$
 <proof>

lemma *masking-lemma*:
 $(\exists z. (\forall i. z\ i < b) \wedge g = (\sum_{i=1..v.} z\ i * \mathcal{B}^{\wedge(n\ i)})) \longleftrightarrow (g < C \wedge \tau\ g\ M = 0)$
 <proof>

end

end

theory *Multinomial*

imports *Main HOL-Library.Disjoint-Sets*

begin

The factorial of a list of natural numbers is the product of all factorials

fun *mfact'* :: *nat list* \Rightarrow *nat* **where**
mfact' [] = 1 |
mfact' (i # is) = (fact i :: nat) * *mfact'* is

definition *mfact* :: *nat list* \Rightarrow *nat* **where**
mfact i = ($\prod_{s < \text{length } i.}$ fact (i ! s) :: nat)

lemma *mfact-Nil[simp]*: *mfact* [] = 1
 <proof>

lemma *mfact-Cons[simp]*: *mfact* (i # is) = fact i * *mfact* is
 <proof>

lemma *mfact'-equiv*: *mfact'* = *mfact* <proof>

The "multi-power" of a list of natural numbers.

fun *mpow'* :: '*a*::*comm-semiring-1 list* \Rightarrow *nat list* \Rightarrow '*a* **where**
mpow' [] ns = 1 |
mpow' ns [] = 1 |
mpow' (x # xs) (n # ns) = x ^ n * *mpow'* xs ns

definition *mpow* :: '*a*::*comm-semiring-1 list* \Rightarrow *nat list* \Rightarrow '*a* **where**
mpow xs ns = ($\prod_{i < \min(\text{length } xs, \text{length } ns).}$ (xs ! i) ^ (ns ! i))

lemma *mpow-Nil-any[simp]*: *mpow* [] ns = 1
 <proof>

lemma *mpow-any-Nil[simp]*: $mpow\ xs\ [] = 1$
 ⟨proof⟩

lemma *mpow-Cons[simp]*: $mpow\ (x\ \#\ xs)\ (n\ \#\ ns) = (x\ \wedge\ n) * (mpow\ xs\ ns)$
 ⟨proof⟩

lemma *mpow'-equiv*: $mpow' = mpow$ ⟨proof⟩

lemma *multinomial'-dvd*: $mfact\ ks\ dvd\ fact\ (sum-list\ ks)$
 ⟨proof⟩

lemma *mchoose-dvd*: $sum-list\ ks \leq n \implies$
 $mfact\ ks * fact\ (n - sum-list\ ks)\ dvd\ fact\ n$
 ⟨proof⟩

lemma *mchoose-le*:
 $sum-list\ ks \leq n \implies mfact\ ks * fact\ (n - sum-list\ ks) \leq fact\ n$
 ⟨proof⟩

The multinomial coefficient.

definition *multinomial'* :: $nat\ list \Rightarrow nat$ **where**
 $multinomial'\ ks = fact\ (sum-list\ ks)\ div\ mfact\ ks$

lemma *multinomial'-Nil[simp]*: $multinomial'\ [] = 1$
 ⟨proof⟩

lemma *multinomial'-Cons[simp]*: $multinomial'\ (k\ \#\ ks) =$
 $((k + sum-list\ ks)\ choose\ k) * multinomial'\ ks$
 ⟨proof⟩

definition *multinomial* :: $nat \Rightarrow nat\ list \Rightarrow nat$ (**infixl** *mchoose* 65) **where**
 $n\ mchoose\ ks = multinomial'\ ((n - sum-list\ ks)\ \#\ ks)$

lemma *sum-exists*:
fixes $n :: nat$
assumes $0: inj\ f$
shows $(\sum s \mid \exists m \leq n. s = f\ m. v\ s) = (\sum m \leq n. v\ (f\ m))$
 ⟨proof⟩

The proof is by induction on xs , and using the standard binomial theorem
 $((?a + ?b)^?n = (\sum k \leq ?n. of-nat\ (?n\ choose\ k) * ?a^k * ?b^{?n - k}))$ See the
 Wikipedia article (https://en.wikipedia.org/wiki/Multinomial_theorem) for
 reference.

theorem *multinomial-ring*:
fixes $xs :: 'a::comm-semiring-1\ list$
shows $(sum-list\ xs)^\wedge n = (\sum ks \mid length\ ks = length\ xs \wedge sum-list\ ks = n.$
 $of-nat\ (multinomial'\ ks) * mpow\ xs\ ks)$
(is $- = (\sum ks \in ?indices\ xs\ n. ?v\ xs\ ks))$

<proof>

This version of the multinomial theorem is also useful.

corollary *multinomial-ring-alt:*

fixes $xs :: 'a::comm-semiring-1$ list

shows $(1 + \text{sum-list } xs)^n = (\sum ks \mid \text{length } ks = \text{length } xs \wedge \text{sum-list } ks \leq n.$
of-nat $(n \text{ mchoose } ks) * \text{mpow } xs \text{ } ks)$

<proof>

end

theory *Lemma-1-8-Defs*

imports *Main ../MPoly-Utills/More-More-MPoly-Type Bit-Counting*
Utills Multinomial

begin

2.4 Expressing polynomial solutions in terms of carry counting

2.4.1 Preliminary definitions

locale *Lemma-1-8-Defs* =

fixes $P :: \text{int mpolynomial}$

and $\mathcal{B} :: \text{nat}$

and $L :: \text{int}$

and $z :: \text{nat list}$

begin

abbreviation σ **where** $\sigma \equiv \text{count-bits}$

abbreviation τ **where** $\tau \equiv \text{count-carries}$

definition $\delta::\text{nat}$ **where** $\delta = \text{total-degree } P$

definition $\nu::\text{nat}$ **where** $\nu = \text{max-vars } P$

definition $b::\text{nat}$ **where** $b \equiv \mathcal{B} \text{ div } 2$

definition $n::\text{nat} \Rightarrow \text{nat}$ **where** $n \ j = (\delta+1)^j$

definition $X::\text{int mpolynomial}$ **where** $X = \text{Var } 0$

The are assignments of variables, used to evaluate (multivariable) polynomials.

definition *z-assign* **where** $z\text{-assign} = (!_0) (\text{map int } z)$

definition *B-assign* **where** $\mathcal{B}\text{-assign} = (\lambda i. (\text{int } \mathcal{B}) \text{ when } i = 0)$

We will often use this set as indices of sums.

definition $\delta\text{-tuples} :: (\text{nat list}) \text{ set}$ **where**

$\delta\text{-tuples} \equiv \{i. \text{length } i = \nu + 1 \wedge \text{sum-list } i \leq \delta\}$

definition $P\text{-coeff} :: \text{nat list} \Rightarrow \text{int}$ **where**

$P\text{-coeff } i \equiv \text{coeff } P (\text{Abs-poly-mapping } (!_0) i)$

definition D -exponent $:: \text{nat list} \Rightarrow \text{nat}$ **where**

$$D\text{-exponent } i \equiv n (\nu+1) - (\sum_{s \leq \nu} i!s * n s)$$

definition D -precoeff $:: \text{nat list} \Rightarrow \text{int}$ **where**

$$D\text{-precoeff } i \equiv \text{int } (m\text{fact } i * \text{fact } (\delta - \text{sum-list } i))$$

This is really a univariate polynomial

definition $D::\text{int}$ $mpoly$ **where**

$$D \equiv \sum_{i \in \delta\text{-tuples.}} \text{of-int } (D\text{-precoeff } i * P\text{-coeff } i) * X^{(D\text{-exponent } i)}$$

This is really a univariate polynomial

definition $c::\text{int}$ $mpoly$ **where**

$$c \equiv (\sum_{i \leq \nu} \text{of-nat } (z!i) * X^{(n i)})$$

Definition of the constant K

definition $R::\text{int}$ $mpoly$ **where** $R \equiv (1+c)^{\delta} * D$

definition $S::\text{nat}$ **where** $S \equiv (\sum_{i \leq (2*\delta+1)} * n \nu. b * \mathcal{B}^i)$

definition $K::\text{int}$ **where** $K \equiv \text{insertion } \mathcal{B}\text{-assign } R + \text{int } S$

Some more notation used in the proofs : $(e j)$ is the coefficient of X^j in R

definition $e::\text{nat} \Rightarrow \text{int}$

$$\text{where } e j = \text{coeff } R (\text{Poly-Mapping.single } 0 j)$$

end

end

theory *Lemma-1-8-Coding*

imports *Lemma-1-8-Defs*

begin

2.4.2 Bounds on the defined variables

locale K -Nonnegative = *Lemma-1-8-Defs* +

assumes δ -pos: $\delta > 0$

and L -pos: $L > 0$

and L -lower-bound: $L \geq \text{max-coeff } P$

and len-z : $\text{length } z = \nu+1$

and \mathcal{B} -even: $2 \text{ dvd } \mathcal{B}$

and \mathcal{B} -lower-bound: $\mathcal{B} > 2 * \text{fact } \delta * (\text{nat } L) * (1 + \text{sum-list } z)^{\delta}$

begin

This is for convenience in proofs, but the following lemma is strictly stronger.

lemma \mathcal{B} -ge-1[*simp*]: $\mathcal{B} \geq 1$

<proof>

lemma \mathcal{B} -gt-2[*simp*]: $\mathcal{B} > 2$

<proof>

Also for convenience.

lemma \mathcal{B} -ge-2[simp]: $\mathcal{B} \geq 2$
 ⟨proof⟩

lemma b -def-reverse: $2 * b = \mathcal{B}$ ⟨proof⟩

lemma b -ge-1[simp]: $b \geq 1$
 ⟨proof⟩

lemma n -ge-1[simp]: $n \geq 1$
 ⟨proof⟩

lemma L -lower-bound-specialize: $L \geq \text{abs } (P\text{-coeff } i)$
 ⟨proof⟩

lemma δ -tuples-finite[simp]: finite δ -tuples
 ⟨proof⟩

lemma P -z-insertion: insertion z -assign $P = (\sum_{i \in \delta\text{-tuples}} P\text{-coeff } i * \text{mpow } z \ i)$
 ⟨proof⟩

This is essentially an instance of the multinomial theorem.

lemma c -delta-expansion:
 $(1+c)^\delta = (\sum_{i \in \delta\text{-tuples}} \text{of-nat } ((\delta \text{ mchoose } i) * \text{mpow } z \ i) * X^{(\sum_{s \leq \nu} i!s * n \ s)})$
 ⟨proof⟩

lemma n - ν p1-ge-sum: $i \in \delta\text{-tuples} \implies n (\nu+1) \geq (\sum_{s \leq \nu} i!s * n \ s)$
 ⟨proof⟩

lemma D -exponent-inj: inj-on D -exponent δ -tuples
 ⟨proof⟩

definition R -exponent :: nat list \Rightarrow nat list \Rightarrow nat **where**
 $R\text{-exponent } i \ j = D\text{-exponent } j + (\sum_{s \leq \nu} i!s * n \ s)$

lemma R -expansion:
 $R = (\sum_{i \in \delta\text{-tuples}} (\sum_{j \in \delta\text{-tuples}} \text{of-int } ((\delta \text{ mchoose } i) * \text{mfact } j * \text{fact } (\delta - \text{sum-list } j) * \text{mpow } z \ i * P\text{-coeff } j) * X^{(R\text{-exponent } i \ j)}))$
 ⟨proof⟩

lemma c -degree-bound: degree $c \ 0 \leq n \ \nu$
 ⟨proof⟩

lemma D -degree-bound: degree $D \ 0 \leq n (\nu+1)$
 ⟨proof⟩

lemma R -degree-bound: degree $R \ 0 \leq (2*\delta+1) * n \ \nu$
 ⟨proof⟩

lemma *R-univariate*: vars $R \subseteq \{0\}$
 ⟨proof⟩

lemma *R-sum-e*: $R = (\sum_{i \leq (2*\delta+1)} * n \nu. (of-int (e i)) * X^i)$
 ⟨proof⟩

lemma *e-expression*:
 $e p = (\sum_{i \in \delta\text{-tuples}. (\sum_{j \in \delta\text{-tuples} \cap \{j. R\text{-exponent } i j = p\}}. (\delta \text{ mchoose } i) * mfact j * fact (\delta - \text{sum-list } j) * P\text{-coeff } j * mpow z i))$
 ⟨proof⟩

This is a key step of the proof.

lemma *e-n-ν1-expression*: $e (n (\nu+1)) = fact \delta * insertion z\text{-assign } P$
 ⟨proof⟩

lemma *abs-int[simp]*: $abs (int x) = int x$
 ⟨proof⟩

This is a key step of the proof.

lemma *e-upper-bound*:
 $abs (e p) \leq fact \delta * L * (1 + \text{sum-list } z)^\delta$
 ⟨proof⟩

lemma *e-b-bound*: shows $0 < e j + b$ and $e j + b < \mathcal{B}$
 ⟨proof⟩

This is a key step of the proof.

lemma *K-expression*: $K = (\sum_{i \leq (2*\delta+1)} * n \nu. (e i + int b) * \mathcal{B}^i)$
 ⟨proof⟩

lemma *δ-n-positive*: $0 < (2*\delta+1) * n \nu$
 ⟨proof⟩

lemma *K-lower-bound*: $K > int \mathcal{B}^{((2*\delta+1) * n \nu)}$
 ⟨proof⟩

corollary *K-gt-0*: $K > 0$
 ⟨proof⟩

end

end

theory *Lemma-1-8*

imports *Lemma-1-8-Coding*

begin

2.4.3 Proof of the equivalence

locale *Lemma-1-8* = *K-Nonnegative* +
assumes *B-power2*: *is-power2* (*int B*)
begin

lemma *b-power2*: *is-power2* (*int b*)
 ⟨*proof*⟩

lemma *K-upper-bound*: $K < \text{int } \mathcal{B}^{\wedge((2*\delta+1) * n \nu + 1)}$
 ⟨*proof*⟩

lemma *direct-implication*:
 $\text{insertion } z\text{-assign } P = 0 \implies \tau (\text{nat } K) ((b-1) * \mathcal{B}^{\wedge(n (\nu+1))}) = 0$
 ⟨*proof*⟩

lemma *reverse-implication*:
 $\tau (\text{nat } K) ((b-1) * \mathcal{B}^{\wedge(n (\nu+1))}) = 0 \implies \text{insertion } z\text{-assign } P = 0$
 ⟨*proof*⟩

lemma *tau-rewrite*:
 $\tau (2 * \text{nat } K) ((\mathcal{B}-2) * \mathcal{B}^{\wedge(n (\nu+1))}) = \tau (\text{nat } K) ((b-1) * \mathcal{B}^{\wedge(n (\nu+1))})$
 ⟨*proof*⟩

lemma *lemma-1-8*:
shows $\text{insertion } z\text{-assign } P = 0 \iff \tau (2 * \text{nat } K) ((\mathcal{B}-2) * \mathcal{B}^{\wedge(n (\nu+1))}) = 0$
and $K > \text{int } \mathcal{B}^{\wedge((2*\delta+1) * n \nu)}$
and $K < \text{int } \mathcal{B}^{\wedge((2*\delta+1) * n \nu + 1)}$
 ⟨*proof*⟩

end

end

theory *Diophantine-Definition*

imports *MPoly-Utills/More-More-MPoly-Type*

begin

definition *is-nonnegative* :: (*nat* \Rightarrow *int*) \Rightarrow *bool* **where**
 $\text{is-nonnegative } f \equiv \forall i. f i \geq 0$

definition *is-diophantine-over-Z* :: *nat set* \Rightarrow *bool* **where**
 $\text{is-diophantine-over-Z } A = (\exists P. (\forall a. (a \in A) \iff (\exists f. \text{insertion } (f(0) := \text{int } a) P = 0)))$

definition *is-diophantine-over-Z-with* :: *nat set* \Rightarrow *int mpoly* \Rightarrow *bool* **where**
 $\text{is-diophantine-over-Z-with } A P =$

$$(\forall a. (a \in A) \longleftrightarrow (\exists f. \text{insertion } (f(0 := \text{int } a)) P = 0))$$

definition *is-diophantine-over-N* :: *nat set* \Rightarrow *bool* **where**

is-diophantine-over-N A = $(\exists P.$

$$(\forall a. (a \in A) \longleftrightarrow (\exists f. \text{insertion } (f(0 := \text{int } a)) P = 0 \wedge \text{is-nonnegative } f)))$$

definition *is-diophantine-over-N-with* :: *nat set* \Rightarrow *int mpoly* \Rightarrow *bool* **where**

is-diophantine-over-N-with A P =

$$(\forall a. (a \in A) \longleftrightarrow (\exists f. \text{insertion } (f(0 := \text{int } a)) P = 0 \wedge \text{is-nonnegative } f))$$

lemma *is-diophantine-finite-vars*:

assumes *is-diophantine-over-N-with* A P

shows $a \in A \longleftrightarrow (\exists f. \text{insertion } (f(0 := \text{int } a)) P = 0 \wedge \text{is-nonnegative } f \wedge$
 $(\forall i > \text{max-vars } P. f i = 0))$

<proof>

end

theory *Total-Degree-Env*

imports *Total-Degree Substitutions*

begin

3 Bottom-up total_degree under a substitution-degree environment

lift-definition *total-degree-env*

:: $(\text{nat} \Rightarrow \text{nat}) \Rightarrow 'a::\text{zero-neg-one mpoly} \Rightarrow \text{nat}$

is $\lambda \text{env } p. \text{Max } (\text{insert } 0$

$$((\lambda m. \text{sum } (\lambda i. \text{env } i * \text{lookup } m i) (\text{keys } m)) \text{ '}$$

 $(\text{keys } p))) \text{ <proof>}$

total-degree-env env p walks over the monomial representation of p, and whenever it sees $(\text{Var } i)^m$, it contributes $m * \text{env } i$ instead of m.

lemma *total-degree-env-id*:

total-degree-env $(\lambda-. 1) p = \text{total-degree } p$

<proof>

lemma *total-degree-env-zero[simp]*: *total-degree-env* f 0 = 0

<proof>

lemma *total-degree-env-one[simp]*: *total-degree-env* f 1 = 0

<proof>

lemma *total-degree-env-Const[simp]*: *total-degree-env* f (Const c) = 0

<proof>

lemma *total-degree-env-Const-le*: *total-degree-env* f (Const c) ≤ 0

<proof>

lemma *total-degree-env-Var*[simp]:

total-degree-env f (Var i) = f i

<proof>

lemma *total-degree-env-Var-le*: *total-degree-env f (Var i) ≤ f i*

<proof>

lemma *total-degree-env-neg*: *total-degree-env f (-P) = total-degree-env f P*

<proof>

lemma *total-degree-env-mult*: *total-degree-env f (P * Q) ≤ total-degree-env f P + total-degree-env f Q*

<proof>

lemma *total-degree-env-pow*: *total-degree-env f (P ^ n) ≤ n * total-degree-env f P*

<proof>

lemma *total-degree-env-add*: *total-degree-env f (P + Q) ≤ max (total-degree-env f P) (total-degree-env f Q)*

<proof>

lemma *total-degree-env-diff*:

fixes *P :: 'a::{ab-group-add,zero-neq-one} mpoly*

shows *total-degree-env f (P - Q) ≤ max (total-degree-env f P) (total-degree-env f Q)*

<proof>

lemma *total-degree-env-sum*:

fixes *P :: 'a ⇒ 'b::{ab-group-add,zero-neq-one} mpoly*

assumes *S-fin: finite S*

shows *total-degree-env ctxt (sum P S) ≤ Max (insert 0 ((λi. total-degree-env ctxt (P i)) ' S))*

<proof>

lemma *total-degree-env-prod*:

assumes *S-fin: finite S*

shows *total-degree-env ctxt (prod P S) ≤ sum (λi. total-degree-env ctxt (P i)) S*

<proof>

lemma *total-degree-env-poly-subst-monom*:

defines *degree-monom ≡ (λm t. (lookup m) t)*

shows *total-degree-env ctxt (poly-subst-monom f m)*

*≤ (∑ t∈keys m. degree-monom m t * total-degree-env ctxt (f t))*

<proof>

lemma *total-degree-env-poly-subst-list*:

fixes *p :: 'a::comm-ring-1 mpoly*

shows *total-degree-env ctxt (poly-subst-list fs p)*

≤ total-degree-env (λm. total-degree-env ctxt (fs !₀ m)) p

<proof>

lemma *total-degree-poly-subst-list-env:*

fixes $p :: 'a::comm-ring-1$ *mpoly*

shows $total-degree (poly-subst-list fs p)$

$\leq total-degree-env (\lambda m. total-degree (fs !_0 m)) p$

<proof>

lemma *total-degree-env-Var-list-bound:* $total-degree-env (\lambda-. 1) ((map Var ls) !_0 i) \leq 1$

<proof>

lemma *total-degree-env-Var-list:*

$total-degree-env (\lambda-. 1) ((map Var ls) !_0 i) = (if i < length ls then 1 else 0)$

<proof>

lemma *total-degree-map-Var:*

$total-degree ((map Var ls) !_0 j :: 'a::comm-semiring-1$ *mpoly*) ≤ 1

<proof>

lemma *total-degree-map-Var-int:*

$total-degree ((map Var ls) !_0 j :: int$ *mpoly*) $\leq Suc 0$

<proof>

lemma *total-degree-env-mono3-map-Var:*

$(\bigwedge i. env i \leq 1) \implies total-degree-env env ((map Var ls) !_0 j) \leq 1$

<proof>

lemma *total-degree-env-reduce:* $i < length ls$

$\implies total-degree-env env ((ls @ xs) !_0 i) = total-degree-env env (ls !_0 i)$

<proof>

lemma *total-degree-env-mono:*

fixes $P :: int$ *mpoly*

assumes $\forall i \leq max-vars P. env1 i \leq env2 i$

shows $total-degree-env env1 P \leq total-degree-env env2 P$

<proof>

lemma *total-degree-env-mono2:*

fixes $P :: int$ *mpoly*

shows $total-degree P \leq rhs1 \implies (\bigwedge i. i \leq max-vars P \implies env i \leq 1) \implies rhs1 = rhs2$

$\implies total-degree-env env P \leq rhs2$

<proof>

lemma *total-degree-env-mono3-bounded*:

fixes *ls* :: *int mpoly list*

shows $j \leq \text{bound} \implies (\bigwedge i. i \leq \text{bound} \implies \text{env } i \leq 1) \implies \text{max-vars } (ls \ !_0 j) \leq \text{bound}$

$\implies \text{total-degree } (ls \ !_0 j) \leq \text{Suc } 0 \implies \text{total-degree-env env } (ls \ !_0 j) \leq \text{Suc } 0$

<proof>

lemma *total-degree-env-mono3*:

$(\bigwedge i. \text{env } i \leq 1) \implies \text{total-degree } (ls \ !_0 j) \leq 1$

$\implies \text{total-degree-env env } (ls \ !_0 j) \leq 1$

<proof>

lemma *total-degree-env-mono3'*:

$(\bigwedge i. \text{env } i \leq \text{Suc } 0) \implies \text{total-degree } (ls \ !_0 j) \leq \text{Suc } 0$

$\implies \text{total-degree-env env } (ls \ !_0 j) \leq \text{Suc } 0$

<proof>

lemma *total-degree-env-mono4*:

$(\bigwedge i. \text{env } i \leq 1) \implies \text{total-degree-env } (\lambda-. 1) (ls \ !_0 j) \leq 1$

$\implies \text{total-degree-env env } (ls \ !_0 j) \leq 1$

<proof>

end

theory *Suitable-For-Coding*

imports *../Diophantine-Definition HOL-Library.Rewrite MPoly-Utils/Total-Degree-Env*

begin

3.1 Polynomials suitable for coding

definition *fresh-var* :: *int mpoly* \Rightarrow *nat* **where**

fresh-var *P* = (if vars *P* = {} then 1 else max-vars *P* + 1)

definition *suitable-for-coding* :: *int mpoly* \Rightarrow *int mpoly* **where**

suitable-for-coding *P* $\equiv P^2 + (\text{Var } (\text{fresh-var } P) - 1)^2$

lemma *suitable-for-coding-degree-vars*:

shows $\text{degree } (\text{suitable-for-coding } P) (\text{fresh-var } P) > 0$

$\text{vars } (\text{suitable-for-coding } P) = \text{insert } (\text{fresh-var } P) (\text{vars } P)$

<proof>

lemma *suitable-for-coding-coeff0*:

fixes *P*

defines *n* $\equiv \text{max-vars } (\text{suitable-for-coding } P)$

defines *m0* $\equiv \text{Abs-poly-mapping } (!_0) (\text{replicate } (n+1) 0)$

shows $\text{coeff } (\text{suitable-for-coding } P) \text{ m0} > 0$

<proof>

```

lemma suitable-for-coding-max-vars:
  assumes vars  $P \neq \{\}$ 
  shows  $\text{max-vars } (\text{suitable-for-coding } P) = \text{max-vars } P + 1$ 
  <proof>

lemma suitable-for-coding-diophantine-equivalent:
  fixes  $P :: \text{int mpoly}$ 
  assumes insertion  $(z(0 := a)) (\text{suitable-for-coding } P) = 0$  and is-nonnegative
   $z$ 
  shows  $\exists y. \text{insertion } (y(0 := a)) P = 0 \wedge \text{is-nonnegative } y$ 
  <proof>

lemma suitable-for-coding-exists-positive-unknown:
  fixes  $P :: \text{int mpoly}$ 
  assumes dioph: is-diophantine-over-N-with  $A P$ 
  assumes  $a: a \in A$ 
  assumes insertion  $(y(0 := a)) P = 0$  and is-nonnegative  $y$ 
  shows  $\exists z. \text{insertion } (z(0 := a)) (\text{suitable-for-coding } P) = 0$ 
     $\wedge (\exists i \in \{1.. \text{fresh-var } P\}. z\ i > 0)$ 
     $\wedge (\forall i > \text{fresh-var } P. z\ i = 0)$ 
     $\wedge \text{is-nonnegative } z$ 
  <proof>

lemma suitable-for-coding-total-degree:
  shows  $\text{total-degree } (\text{suitable-for-coding } P) > 0$ 
  <proof>

lemma suitable-for-coding-total-degree-bound:
  assumes  $\text{total-degree } P > 0$ 
  shows  $\text{total-degree } (\text{suitable-for-coding } P) \leq 2 * \text{total-degree } P$ 
  <proof>

end
theory Poly-Degree
  imports More-More-MPoly-Type Total-Degree-Env Poly-Extract
  keywords poly-degree :: thy-defn and |
begin

  <ML>

end
theory Coding-Theorem-Definitions
  imports ../Coding/Multinomial ../Coding/Bit-Counting Digit-Expansions.Bits-Digits
    ../MPoly-Utils/More-More-MPoly-Type ../MPoly-Utils/Poly-Extract

```

../MPoly-Utils/Total-Degree-Env ../MPoly-Utils/Poly-Degree
begin

4 The Coding Theorem

lemma *series-bound*:

fixes $b :: int$
assumes $b \geq 2$
shows $(\forall k \leq q. f k < b) \implies (\sum k = 0..q. f k * b ^ k) < b ^ (Suc q)$
<proof>

4.1 Definition of polynomials required in the Coding Theorem

locale *coding-variables* =

fixes $P :: int$ *mpoly*
and $a :: int$
and $f :: int$
begin

Notation for working with P

definition $\delta :: nat$ **where** $\delta \equiv total-degree P$

definition $\nu :: nat$ **where** $\nu \equiv max-vars P$

definition $P-coeff :: nat list \Rightarrow int$ **where**
 $P-coeff i \equiv coeff P (Abs-poly-mapping (!_0 i))$

Notation used in the proofs

definition $n :: nat \Rightarrow nat$ **where** $n i \equiv (\delta + 1) ^ i$

definition $\delta-tuples :: (nat list) set$ **where**
 $\delta-tuples \equiv \{i. length i = \nu + 1 \wedge sum-list i \leq \delta\}$

lemma $\delta-tuples-finite[simp]$: *finite $\delta-tuples$*
<proof>

abbreviation σ **where** $\sigma \equiv count-bits$

abbreviation τ **where** $\tau \equiv count-carries$

The variables of Definition 2.2

This is not the same \mathcal{L} as in Lemma 1.8

definition $\mathcal{L} :: nat$ **where** $\mathcal{L} \equiv nat (\sum i \in \delta-tuples. abs (P-coeff i))$

We have to use Inf instead of Min to define r because the set is infinite

definition $r :: nat$ **where** $r \equiv Inf \{y. 4 ^ y > 2 * fact \delta * \mathcal{L} * (\nu + 3) ^ \delta\}$

definition $\beta :: nat$ **where** $\beta \equiv 4 ^ r$

definition $\gamma :: nat$ **where** $\gamma \equiv \beta ^ (n \nu)$

definition $\alpha :: \text{nat}$ **where** $\alpha \equiv \delta * n \nu + 1$

lemma $\alpha\text{-gt-0}$: $\alpha > 0$ *<proof>*

lemma $\gamma\text{-gt-0}$: $\gamma > 0$ *<proof>*

definition $b :: \text{int}$ **where**

$$b \equiv 1 + 3*(2*a + 1) * f$$

definition $\mathcal{B} :: \text{int}$ **where**

$$\mathcal{B} \equiv (\text{of-nat } \beta) * b^\delta$$

definition $N0 :: \text{int}$ **where**

$$N0 \equiv \mathcal{B}^{(\delta+1)} \wedge \nu + 1$$

definition $N1 :: \text{int}$ **where**

$$N1 \equiv 4 * \mathcal{B}^{(2*\delta+1)} * (\delta+1) \wedge \nu + 1$$

definition $N :: \text{int}$ **where**

$$N \equiv N0 * N1$$

definition $c :: \text{int} \Rightarrow \text{int}$ **where**

$$c \ g \equiv 1 + a*\mathcal{B} + g$$

poly-extract b

poly-degree $b\text{-poly}$

poly-extract \mathcal{B}

poly-degree $\mathcal{B}\text{-poly}$

poly-extract $N0$

poly-extract $N1$

poly-extract N

poly-extract c

poly-degree $c\text{-poly}$

The M polynomial.

definition $m :: \text{nat} \Rightarrow \text{int}$ **where**

$$m \ j \equiv (\text{if } j \in n \text{ ' } \{1..\nu\} \text{ then } \mathcal{B} - b \text{ else } \mathcal{B} - 1)$$

definition $M :: \text{int}$ **where**

$$M \equiv (\sum j=0..n \nu. m \ j * \mathcal{B}^\wedge j)$$

definition $m\text{-poly} :: \text{nat} \Rightarrow \text{int}$ **mpoly** **where**

$$m\text{-poly} \ j = (\text{if } j \in n \text{ ' } \{1..\nu\} \text{ then } \mathcal{B}\text{-poly} - b\text{-poly} \text{ else } \mathcal{B}\text{-poly} - 1)$$

definition $M\text{-poly} :: \text{int}$ **mpoly** **where**

$$M\text{-poly} \equiv (\sum j=0..n \nu. m\text{-poly} \ j * \mathcal{B}\text{-poly}^\wedge j)$$

lemma $m\text{-correct}$: $\text{insertion } \text{fn } (m\text{-poly} \ j) = \text{coding-variables.m } P \ (\text{fn } 0) \ (\text{fn } (\text{Suc } 0)) \ j$

<proof>

lemma $m\text{-poly-degree-env-correct}$: $\text{total-degree-env } \text{ctxt } (m\text{-poly} \ j)$

$$\leq \max (\text{total-degree-env } \text{ctxt } \mathcal{B}\text{-poly}) (\text{total-degree-env } \text{ctxt } b\text{-poly})$$

<proof>

lemma *M-correct*:

insertion fn (coding-variables.M-poly P) = coding-variables.M P (fn 0) (fn 1)
 ⟨proof⟩

lemma *m-poly-degree-correct*:

*shows $\delta > 0 \implies \text{total-degree } (m\text{-poly } j) \leq 2 * \delta$*
 ⟨proof⟩

lemma *M-poly-degree-correct*:

assumes asm: $\delta > 0$
*shows $\text{total-degree } M\text{-poly} \leq (1 + (\delta + 1)^\nu) * 2 * \delta$*
 ⟨proof⟩

definition *D-exponent* :: *nat list* \Rightarrow *nat* **where**

D-exponent i $\equiv n (\nu + 1) - (\sum_{s \leq \nu} i! s * n s)$

definition *D-precoeff* :: *nat list* \Rightarrow *int* **where**

D-precoeff i $\equiv \text{int } (m\text{fact } i * \text{fact } (\delta - \text{sum-list } i))$

definition *D* :: *int* **where**

D $\equiv \sum_{i \in \delta\text{-tuples}} \text{of-int } (D\text{-precoeff } i * P\text{-coeff } i) * \mathcal{B}^{(D\text{-exponent } i)}$

definition *D-poly* :: *int mpoly* **where**

D-poly $\equiv \sum_{i \in \delta\text{-tuples}} \text{Const } (D\text{-precoeff } i * P\text{-coeff } i) * \mathcal{B}\text{-poly } ^{(D\text{-exponent } i)}$

lemma *D-correct*:

insertion fn (coding-variables.D-poly P) = coding-variables.D P (fn 0) (fn 1)
 ⟨proof⟩

lemma *D-poly-degree-env-correct*:

*shows $\text{total-degree-env } \text{fv } D\text{-poly} \leq n (\nu + 1) * \text{total-degree-env } \text{fv } \mathcal{B}\text{-poly}$*
 ⟨proof⟩

lemma *D-poly-degree-correct*: *total-degree (coding-variables.D-poly P) $\leq (\delta + 1)^{(\nu + 1)}$*

** (2 * δ)*
 ⟨proof⟩

definition *K* :: *int* \Rightarrow *int* **where**

K g $\equiv (c g)^\delta * D + (\sum_{i=0..(2*\delta+1)*n} \nu. \text{of-nat } (\beta \text{ div } 2) * b^\delta * \mathcal{B}^i)$

definition *K-poly* :: *int mpoly* **where**

K-poly $\equiv c\text{-poly}^\delta * D\text{-poly} + (\sum_{i=0..(2*\delta+1)*n} \nu. \text{of-nat } (\beta \text{ div } 2) * b\text{-poly}^\delta * \mathcal{B}\text{-poly}^i)$

lemma *K-correct*:

insertion fn (coding-variables.K-poly P) = coding-variables.K P (fn 0) (fn 1) (fn 2)
 ⟨proof⟩

lemma *K-poly-degree-correct:*

shows *total-degree (coding-variables.K-poly P)*
 $\leq \max (\delta*(1+2*\delta) + (\delta+1)^\wedge(\nu+1) * 2*\delta) ((1 + (2*\delta+1)*(\delta+1)^\wedge\nu) * 2*\delta)$
 ⟨proof⟩

definition *T where* $T \equiv M + (\mathcal{B}-2) * \mathcal{B}^\wedge((\delta+1)^\wedge(\nu+1)) * N0$

definition *S :: int ⇒ int where* $S g \equiv g + 2 * K g * N0$

definition *R :: int ⇒ int where* $R g \equiv (S g + T + 1)*N + T + 1$

definition *X :: int ⇒ int where* $X g \equiv (N-1) * R g$

definition *Y :: int where* $Y \equiv N^\wedge 2$

poly-extract *T*
poly-extract *S*
poly-extract *R*
poly-extract *X*
poly-extract *Y*

These are the statements that make up theorem I.

definition *statement1-weak where*

statement1-weak y ≡ (y 0 = a) ∧ (∀ i. 0 ≤ y i ∧ y i < b) ∧ insertion y P = 0

definition *statement1-strong where*

statement1-strong y ≡ statement1-weak y ∧ (∃ i ∈ {1..ν}. y i ≠ 0)

We evaluate Y in 0 because it doesn't depend on g.

definition *statement2-strong where*

*statement2-strong g ≡ b ≤ g ∧ g < (int γ) * b^α ∧ Y dvd (2 * nat (X g) choose nat (X g))*

definition *statement2-weak where*

*statement2-weak g ≡ 0 ≤ g ∧ g < 2 * (int γ) * b^α ∧ Y dvd (2 * nat (X g) choose nat (X g))*

lemma *δ-tuples-nonempty: δ-tuples ≠ {}*

⟨proof⟩

corollary *x-series-bound:*

assumes $0 < \delta$

assumes $x \in \delta\text{-tuples}$

shows $(\sum s \leq \nu. x ! s * \text{Suc } \delta^\wedge s) \leq (\delta+1)^\wedge(\nu+1)$

⟨proof⟩

lemma *D-exponent-injective:*

assumes $0 < \delta$

shows *inj-on D-exponent δ-tuples*

⟨proof⟩

corollary *D-exponent-injective'*: $0 < \delta \implies \text{inj-on } D\text{-exponent } (\delta\text{-tuples} - \{x\})$
<proof>

lemma *D-precoeff-bound*:
 assumes $0 < \text{sum-list } i$ **and** $\text{sum-list } i \leq \delta$
 shows $|D\text{-precoeff } i| \leq \text{fact } \delta$
<proof>

We later assume that $\delta > 0$ i.e. P is not the zero polynomial

lemma *P-coeffs-not-all-zero*:
 assumes $\delta > 0$
 shows $\exists i \in \delta\text{-tuples}. P\text{-coeff } i \neq 0$
<proof>

lemma *L-pos*:
 assumes $\delta > 0$
 shows $\mathcal{L} > 0$
<proof>

lemma *L-ge-P-coeff*: $i \in \delta\text{-tuples} \implies \text{abs } (P\text{-coeff } i) \leq \text{int } \mathcal{L}$ **for** i
<proof>

lemma *L-ge-max-coeff*:
 assumes $\delta > 0$
 shows $\text{max-coeff } P \leq \text{int } \mathcal{L}$
<proof>

lemma *beta-lower-bound*: $\beta > 2 * \text{fact } \delta * \mathcal{L} * (\nu+3)^\delta$
<proof>

corollary *r-pos*:
 assumes $\delta > 0$
 shows $r > 0$
<proof>

lemma *marcos-state*:
 fixes i
 shows *total-degree-env*
 $(\lambda m. \text{total-degree-env } (\lambda-. \text{Suc } 0) ([\text{Var } 0, \text{Var } 1, \text{Var } 2] !_0 m))$
 $([\text{Var } 0, \text{Var } 1, \text{Var } 2] !_0 i) \leq 1$
<proof>

end

end

theory *Lemma-2-2*

imports *HOL-Number-Theory.Number-Theory ../Coding/Utils*

begin

4.2 Increasing the base b appropriately

lemma *exp-grows*:
 fixes $a\ b\ k :: int$
 assumes $H: a \geq 2 \wedge k \geq 0$
 shows $\exists (s::nat). a^s \geq b \wedge s \geq k$
<proof>

lemma *little-fermat*:
 fixes $a\ m :: int$
 assumes *gcd*: *coprime* $a\ m$ **and** *posit*: $a \geq 1 \wedge m \geq 2$
 shows $\exists k\ l. a^{k-1} = m * l \wedge k \geq 1$
<proof>

lemma *lemma-2-2*:
 fixes $a\ Z :: int$
 assumes *posit*: $Z > 0 \wedge a \geq 0$
 shows $\exists f\ r. f \geq Z \wedge 1 + 3 * (2 * a + 1) * f = 4^r$
<proof>

lemma *lemma-2-2-useful*:
 fixes $a\ min-b :: int$
 assumes $min-b \geq 0 \wedge a \geq 0$
 defines $b \equiv \lambda f. 1 + 3 * (2 * a + 1) * f$
 shows $\exists f. f > 0 \wedge is-square\ (b\ f) \wedge is-power2\ (b\ f) \wedge b\ f > min-b$
<proof>

end

theory *Lower-Bounds*

imports *Coding-Theorem-Definitions* ../*Coding/Lemma-1-8-Coding-Digit-Expansions.Bits-Digits*
 HOL-Library.Rewrite ../*Coding/Suitable-For-Coding*

begin

4.3 Lower bounds on the defined variables

lemma (*in coding-variables*) *defs-non-negative*:
 fixes $g :: int$
 assumes $a \geq 0$
 assumes $f > 0$
 assumes $g \geq 0$
 assumes $\delta > 0$
 assumes *P-coeff* (*replicate* $(\nu+1)\ 0$) > 0
 shows $B > 0$ **and** $N \geq 2$ **and** $R\ g > 0$ **and** $S\ g \geq 0$ **and** $T \geq 0$ **and** $N0 \geq 1$
<proof>

```

lemma (in coding-variables) lower-bounds:
  fixes  $g :: int$ 
  assumes  $a \geq 0$ 
  assumes  $f > 0$ 
  assumes  $g \geq 0$ 
  assumes  $\delta > 0$ 
  assumes  $p0: P\text{-coeff } (\text{replicate } (\nu+1) 0) > 0$ 
  shows  $X g \geq 3 * b$  and  $Y \geq 2^{\delta}$  and  $Y \geq b$ 
  <proof>

end
theory Coding-Theorem
  imports Coding-Theorem-Definitions ../Coding/Tau-Reduction ../Coding/Masking

  .. /Coding/Lemma-1-8
begin

```

```

lemma digit-sum-bound-int:
  fixes  $f :: nat \Rightarrow int$ 
  assumes  $1 < b$  and  $\forall i \in \{0..q\}. f i < b$ 
  shows  $(\sum_{i=0..q}. f i * b^i) < b^{(Suc q)}$ 
  <proof>

```

4.4 Proof

```

locale coding-theorem = coding-variables +
  assumes a-nonneg:  $a \geq 0$ 
  and f-pos:  $f > 0$ 
  and b-power2: is-power2  $b$ 
  and delta-pos:  $\delta > 0$ 
begin

```

b being a power of 2 implies that the following are also powers of 2:

```

lemma B-power2: is-power2  $\mathcal{B}$ 
  <proof>
lemma N0-power2: is-power2  $N0$ 
  <proof>
lemma N1-power2: is-power2  $N1$ 
  <proof>
lemma N-power2: is-power2  $N$ 
  <proof>
lemma Ng-power2: is-power2  $N$ 
  <proof>

```

```

lemma B-ge-2:  $\mathcal{B} \geq 2$ 
  <proof>

```

```

lemma B-even:  $2 \text{ dvd } \mathcal{B}$ 

```

<proof>

lemma *b-le-B*: $b \leq \mathcal{B}$

<proof>

lemma *M-bound*: $0 \leq M \wedge M < N0$

<proof>

context

fixes $g::int$

assumes *g-lower-bound*: $0 \leq g$

and *g-upper-bound*: $g < 2 * b * \mathcal{B}^{(n \ \nu)}$

begin

lemma *g-lt-N0*: $g < N0$

<proof>

lemma *c-bound*: $abs \ (c \ g) < 3 * b * \mathcal{B}^{(n \ \nu)}$

<proof>

lemma *D-bound*: $abs \ D \leq fact \ \delta * \mathcal{L} * \mathcal{B}^{(n \ (\nu+1))}$

<proof>

lemma *c- δ -D-bound*: $2 * abs \ ((c \ g) \wedge^\delta * D) \leq \mathcal{B}^{((2*\delta+1)*n \ \nu + 1)}$

<proof>

lemma *K-bound*: $0 \leq K \ g \wedge 2 * K \ g < 3 * \mathcal{B}^{((2*\delta+1)*n \ \nu + 1)}$

<proof>

technical condition 2.7, first part

lemma *T-bound*: $0 \leq T \wedge T < N$

<proof>

technical condition 2.7, second part

lemma *S-bound*: $0 \leq S \ g \wedge S \ g < N$

<proof>

Technical condition 2.8

lemma *tau-S-T-decomp*: $\tau \ (nat \ (S \ g)) \ (nat \ (T)) =$

$\tau \ (nat \ g) \ (nat \ (M)) + \tau \ (nat \ (2 * K \ g)) \ (nat \ ((\mathcal{B} - 2) * \mathcal{B}^{(n \ (\nu+1))}))$

<proof>

end

Helper lemmas for masking

lemma *n-masking-lemma[simp]*:

assumes *masking-lemma* $\delta \ \nu \ (nat \ \mathcal{B}) \ (nat \ b) \ C$

shows *masking-lemma.n* $\delta = n$

<proof>

lemma *m-masking-lemma*[simp]:
 assumes *masking-lemma* $\delta \nu$ (*nat* \mathcal{B}) (*nat* b) C
 shows *masking-lemma.m* $\delta \nu$ (*nat* \mathcal{B}) (*nat* b) $j = m j$
<proof>

lemma *M-masking-lemma*[simp]:
 assumes *masking-lemma* $\delta \nu$ (*nat* \mathcal{B}) (*nat* b) C
 shows *masking-lemma.M* $\delta \nu$ (*nat* \mathcal{B}) (*nat* b) = *nat* M
<proof>

Helper lemmas to apply Lemma 1.8

We can only apply Lemma 1.8 when g can be decomposed in base \mathcal{B} with digits $< b$

context
 fixes $g :: \text{int}$
 and $z :: \text{nat} \Rightarrow \text{int}$
 assumes *g-sum*: $g = (\sum_{i=1..v} z\ i * \mathcal{B}^{(n\ i)})$
 and *z-bound*: $\forall i. 0 \leq z\ i \wedge z\ i < b$
begin

This is quite verbose but justified by the following lemma

definition *z-list* :: *nat list* **where**
 $z\text{-list} \equiv \text{nat } a \# \text{map } (\text{nat} \circ z \circ \text{nat}) [1..v]$

lemma *z-list-nth-head*: $z\text{-list}!0 = \text{nat } a$
<proof>

lemma *z-list-nth-tail*: $1 \leq i \implies i \leq v \implies z\text{-list}!i = \text{nat } (z\ i)$
<proof>

lemma *length-z-list*[simp]: $\text{length } z\text{-list} = v+1$
<proof>

lemma *δ -lemma-1-8*[simp]: *Lemma-1-8-Defs.* δ $P = \delta$
<proof>

lemma *ν -lemma-1-8*[simp]: *Lemma-1-8-Defs.* ν $P = \nu$
<proof>

lemma *n -lemma-1-8*[simp]: *Lemma-1-8-Defs.* n $P = n$
<proof>

lemma *insertion-z-assign*[simp]:
 insertion (*Lemma-1-8-Defs.z-assign* $z\text{-list}$) $P = \text{insertion } (z(0 := a)) P$
<proof>

lemma *S-lemma-1-8[simp]*:

$\text{int } (\text{Lemma-1-8-Defs.S } P \text{ (nat } \mathcal{B})) = (\sum_{i=0..(2*\delta+1)*n} \nu. \text{int } (\beta \text{ div } 2) * b^{\wedge \delta} * \mathcal{B}^{\wedge i})$
 $\langle \text{proof} \rangle$

lemma *c-lemma-1-8[simp]*:

$\text{insertion } (\text{Lemma-1-8-Defs.}\mathcal{B}\text{-assign (nat } \mathcal{B})) (\text{Lemma-1-8-Defs.c } P \text{ z-list}) = a * \mathcal{B} + g$
 $(\text{is ?lhs} = \text{?rhs})$
 $\langle \text{proof} \rangle$

lemma *D-lemma-1-8[simp]*:

$\text{insertion } (\text{Lemma-1-8-Defs.}\mathcal{B}\text{-assign (nat } \mathcal{B})) (\text{Lemma-1-8-Defs.D } P) = D$
 $(\text{is ?lhs} = \text{?rhs})$
 $\langle \text{proof} \rangle$

lemma *R-lemma-1-8[simp]*:

$\text{insertion } (\text{Lemma-1-8-Defs.}\mathcal{B}\text{-assign (nat } \mathcal{B})) (\text{Lemma-1-8-Defs.R } P \text{ z-list}) = (c g)^{\wedge \delta} * D$
 $(\text{is ?lhs} = \text{?rhs})$
 $\langle \text{proof} \rangle$

lemma *K-lemma-1-8[simp]*:

$\text{Lemma-1-8-Defs.K } P \text{ (nat } \mathcal{B}) \text{ z-list} = K g$
 $\langle \text{proof} \rangle$

lemma *lemma-1-8-helper*:

shows $\text{insertion } (z(0 := a)) P = 0 \iff \tau (\text{nat } (2 * K g)) (\text{nat } ((\mathcal{B} - 2) * \mathcal{B}^{\wedge (n (\nu+1))})) = 0$
and $K g > \mathcal{B}^{\wedge ((2*\delta+1) * n \nu)}$
and $K g < \mathcal{B}^{\wedge ((2*\delta+1) * n \nu + 1)}$
 $\langle \text{proof} \rangle$

end

lemma *aux-sum-bound-reindex-n*:

$0 \leq (x :: \text{int}) \implies (\sum_{i=0..q} x^{\wedge (n i)}) \leq (\sum_{i=0..n} q. x^{\wedge i})$
 $\langle \text{proof} \rangle$

lemma *coding-theorem-direct*:

$\text{statement1-strong } y \implies (\exists g. \text{statement2-strong } g)$
 $\langle \text{proof} \rangle$

lemma *coding-theorem-reverse*:

$\text{statement2-weak } g \implies (\exists y. \text{statement1-weak } y)$
 $\langle \text{proof} \rangle$

lemma *coding-theorem-reverse'*:

assumes $\exists g. 0 \leq g \wedge g < 2 * (\text{int } \gamma) * b^{\wedge \alpha} \wedge Y \text{ dvd } (2 * \text{nat } (X g)) \text{ choose}$


```

nat (X g))
  shows  $\exists z. (z \ 0 = a) \wedge (\forall i. 0 \leq z \ i \wedge z \ i < b) \wedge \text{insertion } z \ P = 0$ 
  <proof>

end

end
theory Lucas-Sequences
  imports Main HOL.Parity
begin

```

5 Lucas Sequences

```

fun  $\psi :: \text{int} \Rightarrow \text{nat} \Rightarrow \text{int}$  where
 $\psi \ A \ 0 = 0$ 
 $\psi \ A \ (\text{Suc } 0) = 1$ 
 $\psi \ A \ (\text{Suc } (\text{Suc } n)) = A * (\psi \ A \ (\text{Suc } n)) - (\psi \ A \ n)$ 

```

```

fun  $\chi :: \text{int} \Rightarrow \text{nat} \Rightarrow \text{int}$  where
 $\chi \ A \ 0 = 2$ 
 $\chi \ A \ (\text{Suc } 0) = A$ 
 $\chi \ A \ (\text{Suc } (\text{Suc } n)) = A * (\chi \ A \ (\text{Suc } n)) - (\chi \ A \ n)$ 

```

5.1 Elementary properties

```

theorem  $\psi$ -induct [consumes 0, case-names 0 1 sucsuc]:
   $P \ 0 \Longrightarrow P \ 1 \Longrightarrow (\bigwedge n. P \ (n + 1) \Longrightarrow P \ n \Longrightarrow P \ (n + 2)) \Longrightarrow P \ (n::\text{nat})$ 
  <proof>

```

```

theorem  $\psi$ -induct-strict [consumes 0, case-names 0 1 2 sucsuc]:
   $P \ 0 \Longrightarrow P \ 1 \Longrightarrow P \ 2 \Longrightarrow (\bigwedge n. n > 0 \Longrightarrow P \ (n + 1) \Longrightarrow P \ n \Longrightarrow P \ (n + 2))$ 
 $\Longrightarrow P \ (n::\text{nat})$ 
  <proof>

```

```

lemma lem0:  $n \geq 2 \Longrightarrow \exists m. n = \text{Suc } (\text{Suc } m)$ 
  <proof>

```

```

lemma  $\psi$ -reverse:
  assumes  $n \geq 1$ 
  shows  $\psi \ A \ (n-1) = A * (\psi \ A \ n) - (\psi \ A \ (n+1))$ 
  <proof>

```

Strict monotonicity

```

lemma lucas-strict-monotonicity:  $A > 1 \Longrightarrow \psi \ A \ (\text{Suc } n) > \psi \ A \ n \wedge \psi \ A \ (\text{Suc } n) > 0$ 
  <proof>

```

```

lemma lucas-monotone1:
  fixes A

```

assumes $A > 1$
shows $n \geq 2 \longrightarrow \psi A n \geq A$
 <proof>

lemma *lucas-monotone2*:
fixes $A n m$
assumes $A > 1$
shows $\psi A n \leq \psi A (n+m)$
 <proof>

lemma *lucas-monotone3*:
fixes $A n$
assumes $A > 1$
shows $\psi A n \geq \text{int } n$
 <proof>

lemma *lucas-monotone4*:
fixes $A n m$
assumes $A > 1$ **and** $n \leq m$
shows $\psi A n \leq \psi A m$
 <proof>

lemma *lucas-exp-growth-lt*:
fixes $A::\text{int}$ **and** $n::\text{nat}$
assumes $A > 1$
shows $\psi A (\text{Suc } (\text{Suc } (\text{Suc } n))) < A^{(n+2)}$
 <proof>

lemma *lucas-exp-growth-le*:
fixes $A::\text{int}$ **and** $n::\text{nat}$
assumes $A > 1$
shows $\psi A (\text{Suc } (\text{Suc } n)) \leq A^{(n+1)}$
 <proof>

lemma *lucas-exp-growth-gt*:
fixes $A::\text{int}$ **and** $n::\text{nat}$
assumes $A > 1$
shows $\psi A (\text{Suc } (\text{Suc } n)) > (A-1)^{(n+1)}$
 <proof>

lemma *lucas-symmetry-A*:
fixes $A::\text{int}$ **and** $n::\text{nat}$
assumes $A \geq 2$
shows $(\psi A n) = (\text{if } (\text{odd } n) \text{ then } \psi (-A) n \text{ else } -\psi (-A) n)$
 <proof>

lemma *lucas-symmetry-A2*: $-\psi A n = (-1::int) ^ n * \psi (-A) n$
<proof>

lemma *lucas-symmetry-A-abs*: **assumes** $abs A > 1$ **shows** $abs (\psi A n) = \psi (abs A) n$
<proof>

lemma *lucas-A-eq-2*:
 fixes $n::nat$
 shows $(\psi 2 n) = (int n)$
<proof>

lemma *lucas-periodic-modN*:
 fixes $N::int$
 assumes $N > 0$
 shows $\exists T \geq 1. \forall n. (\psi A (T + n)) \bmod N = (\psi A n) \bmod N$
<proof>

lemma *lucas-modN*:
 fixes $N::int$
 assumes $N > 0$
 shows $\forall n. \exists k \geq n. \psi A k \bmod N = 0$
<proof>

lemma *lucas-parity*:
 fixes $A::int$ **and** $B::nat$
 assumes *even A*
 shows $even (\psi A B) = even B$
<proof>

corollary *lucas-parity2*:
 fixes $A::int$ **and** $B::nat$
 assumes *even A*
 shows $even (\psi A B - int B)$
<proof>

lemma *lucas-monotone-A*:
 assumes $1 < A \ A \leq A'$
 shows $\psi A n \leq \psi A' n$
<proof>

lemma *lucas-congruence*:

fixes $A::int$ **and** $B::int$ **and** $n::int$
assumes $n=n \wedge A \bmod n = B \bmod n$
shows $(\psi A m) \bmod n = (\psi B m) \bmod n$
 $\langle proof \rangle$

corollary *lucas-congruence2*:
fixes $\alpha::int$ **and** $m::nat$
shows $\psi \alpha m \bmod (\alpha - 2) = int m \bmod (\alpha - 2)$
 $\langle proof \rangle$

end
theory *Pell-Equation*
imports *Lucas-Sequences Complex-Main ../Coding/Utils*
begin

5.2 The Pell Equation

5.2.1 Auxiliary facts

named-theorems *real-of-int*

lemma *floor-of-real-of-int*[*real-of-int*]: $\lfloor real-of-int x \rfloor = x$
 $\langle proof \rangle$

lemma *floor-of-real-of-int-sub2*[*real-of-int*]: $\lfloor x - real-of-int y \rfloor = \lfloor x \rfloor - y$
 $\langle proof \rangle$

lemma *floor-of-real-of-int-mult*[*real-of-int*]: $\lfloor real-of-int x * real-of-int y \rfloor = x * y$
 $\langle proof \rangle$

lemma *real-of-int-inequality*: $X \leq Y \iff real-of-int X \leq real-of-int Y$ $\langle proof \rangle$

lemma *real-of-int-strict-inequality*: $X < Y \iff real-of-int X < real-of-int Y$
 $\langle proof \rangle$

lemma *evenX2k*:
fixes $X::int$
assumes $evenX:even X$
shows $\exists k. X = 2*k$
 $\langle proof \rangle$

lemma *distrib-add-diff*:
fixes $a b c d::real$
shows $(a+b)*(c-d) = a*c - a*d + b*c - b*d$
 $\langle proof \rangle$

lemma *floor-even*:
fixes $X::int$
assumes $Xeven: even X$
shows $real-of-int \lfloor (real-of-int X)/2 \rfloor = (real-of-int X)/2$

<proof>

lemma *even-to-mod2*:

fixes $X Y :: \text{int}$

assumes $\text{even } X = \text{even } Y$

shows $X \bmod 2 = Y \bmod 2$

<proof>

lemma *oddA-to-mod*:

fixes $X Y A :: \text{int}$

assumes $\text{odd } A$

shows $A^2 \bmod 4 = 1$

<proof>

lemma *sol-non-zero*:

fixes $X Y A :: \text{int}$

assumes $\text{sol}: X^2 - (A^2 - 4) * Y^2 = 4$ **and** $\text{Alarge}: A^2 > 4$

shows $X + \text{sqrt}(A^2 - 4) * Y \neq 0$

<proof>

lemma *conj-inversion*:

fixes $X :: \text{int}$ **and** $Y :: \text{int}$ **and** $A :: \text{int}$

assumes $A4: A^2 > 4$ **and** $\text{sol}: X^2 - (A^2 - 4) * Y^2 = 4$

shows $1/2 * (X - \text{sqrt}(A^2 - 4) * Y) = 2 * \text{inverse}(X + \text{sqrt}(A^2 - 4) * Y)$

<proof>

5.2.2 Group structure of the solutions

lemma *group-structure*:

fixes $X1 X2 Y1 Y2 A :: \text{int}$

assumes $A4: A^2 > 4$

shows $(X1^2 - (A^2 - 4) * Y1^2 = 4) \wedge (X2^2 - (A^2 - 4) * Y2^2 = 4)$

$\implies (X1 * X2 + (A^2 - 4) * Y1 * Y2)^2 - (A^2 - 4) * (X1 * Y2 + X2 * Y1)^2$

$= 16$

<proof>

lemma *group-structure-evenXi*:

fixes $X Y A :: \text{int}$

assumes $\text{sol}: (X^2 - (A^2 - 4) * Y^2 = 4)$ **and** $\text{even } A$

shows $\text{even } X$

<proof>

lemma *XimodYi*:

fixes $X Y A :: \text{int}$

assumes $A4: A^2 > 4$ **and** $\text{sol}: (X^2 - (A^2 - 4) * Y^2 = 4)$ **and** $\text{odd } A$

shows $X \bmod 2 = Y \bmod 2$

<proof>

lemma *group-structure-int*:
fixes $X1\ X2\ Y1\ Y2\ A::int$
assumes $A^2 > 4$ **and** $sol1:(X1^2 - (A^2-4)*Y1^2 = 4)$
and $sol2:(X2^2 - (A^2-4)*Y2^2 = 4)$
shows $even(X1*X2 + (A^2-4)*Y1*Y2) \wedge even(X1*Y2 + X2*Y1)$
 $\langle proof \rangle$

lemma *group-structure-sol4*:
fixes $X1\ X2\ Y1\ Y2\ A::int$
assumes $A^2 > 4$ **and** $sol1:(X1^2 - (A^2-4)*Y1^2 = 4)$
and $sol2:(X2^2 - (A^2-4)*Y2^2 = 4)$
defines $X3 \equiv X1*X2 + (A^2-4)*Y1*Y2$ **and** $Y3 \equiv X1*Y2 + X2*Y1$
shows $(floor(X3/2))^2 - (A^2-4)*(floor(Y3/2))^2 = 4$
 $\langle proof \rangle$

5.2.3 Smallest solution

lemma *smallest-sol-sublemma*:
fixes $X\ Y\ A::int$
assumes $A^2 > 4$ **and** $XYsol: X^2 - (A^2-4)*Y^2 = 4$
and $X \geq 0$ **and** $Y > 0$
shows $X + Y*sqrt(A^2-4) \geq A + sqrt(A^2-4)$
 $\langle proof \rangle$

lemma *binomial-form-sol*:
fixes $X\ Y\ A::int$
assumes $A^2 > 4$ **and** $XYsol: X^2 - (A^2-4)*Y^2 = 4$
shows $(X + Y*sqrt(A^2-4))*(X - Y*sqrt(A^2-4)) = 4$
 $\langle proof \rangle$

lemma *smallest-sol*:
fixes $X\ Y\ A::int$
assumes $A^2 > 4$ **and** $XYsol: X^2 - (A^2-4)*Y^2 = 4$
and *lowerbound*: $2 < X + Y*sqrt(A^2-4)$
and *upperbound*: $X + Y*sqrt(A^2-4) < A + sqrt(A^2-4)$
shows *False*
 $\langle proof \rangle$

5.2.4 Finite generation of solutions

lemma *finite-generation-nat*:
fixes $X\ Y\ A::int$ **and** $n::nat$
assumes $sol: X^2 - (A^2-4)*Y^2 = 4$ **and** $A^2 > 4$
shows $\exists X3. \exists Y3. 2*((X + sqrt(A^2-4)*Y)/2)^n = X3 + sqrt(A^2-4)*Y3$
 \wedge
 $X3^2 - (A^2-4)*Y3^2 = 4$ (**is** ?P n)
 $\langle proof \rangle$

lemma *finite-generation*:

fixes $X Y A::int$ **and** $n::nat$
assumes $sol: X^2 - (A^2-4)*Y^2 = 4$ **and** $A^2 > 4$
shows $\exists X1. \exists Y1. 2 * (inverse ((X + sqrt(A^2-4)*Y)/2) ^n) = X1 + sqrt(A^2-4)*Y1$
 \wedge
 $X1^2 - (A^2-4)*Y1^2 = 4$
 $\langle proof \rangle$

lemma *real-arch-power*:
fixes $x::real$ **and** $y::real$
assumes $x1: x > 1$ **and** $y1: y \geq 1$
shows $\exists n. x^n \leq y \wedge y < x^{(n+1)}$
 $\langle proof \rangle$

lemma *finite-gen-all-sol*:
fixes $X::int$ **and** $Y::int$ **and** $A::int$
defines $rho \equiv |A| + sqrt(A^2-4)$
and $Z \equiv X + sqrt(A^2-4)*Y$ **and** $D \equiv A^2-4$
assumes $A_{large}: A^2 > 4$ **and** $XYsol: X^2 - (A^2-4)*Y^2 = 4$
shows $\exists n. Z \in \{2*(rho/2)^n, -2*(rho/2)^n, 2*inverse(rho/2)^n, -2*inverse(rho/2)^n\}$
 \wedge
 $Y \in \{1/sqrt(D)*((rho/2)^n - inverse(rho/2)^n), -1/sqrt(D)*((rho/2)^n - inverse(rho/2)^n)\}$
 $\langle proof \rangle$

5.2.5 Link between Pell equation and Lucas sequences

lemma *link-to-lucas*:
fixes $A::int$ **and** $n::nat$
assumes $A_4: A^2 > 4$
shows $inverse(sqrt(A^2-4))*((1/2*((real-of-int A)+sqrt(A^2-4)))^n - (2*inverse((real-of-int A)+sqrt(A^2-4)))^n) = \psi A n$
 $\langle proof \rangle$

5.2.6 Special cases

lemma *lucas-pell-sublemmaA2*:
fixes $Y::int$
shows $\exists m. Y = \psi 2 m \vee Y = -\psi 2 m$
 $\langle proof \rangle$

lemma *lucas-pell-sublemmaAmin2*:
fixes $Y::int$
shows $\exists m. Y = \psi (-2) m \vee Y = -\psi (-2) m$
 $\langle proof \rangle$

lemma *lucas-pell-sublemmaA0*:
fixes $Y::int$
assumes $assm: \exists k. (-4)*Y^2 + 4 = k^2$
shows $\exists m. Y = \psi 0 m \vee Y = -\psi 0 m$
 $\langle proof \rangle$

lemma *lucas-pell-sublemmaA1*:
fixes $Y::int$
assumes *assm*: $\exists k. (1^2-4)*Y^2 + 4 = k^2$
shows $\exists m. Y = \psi\ 1\ m \vee Y = -\psi\ 1\ m$
 $\langle proof \rangle$

lemma *lucas-pell-sublemmaAmin1*:
fixes $Y::int$
assumes *assm*: $\exists k. ((-1)^2-4)*Y^2 + 4 = k^2$
shows $\exists m. Y = \psi\ (-1)\ m \vee Y = -\psi\ (-1)\ m$
 $\langle proof \rangle$

5.2.7 The main equivalence

lemma *lucas-pell-part1*:
fixes $A\ Y::int$
shows $(\exists k. (A^2-4)*Y^2 + 4 = k^2) \implies (\exists m. Y = \psi\ A\ m \vee Y = -\psi\ A\ m)$
 $\langle proof \rangle$

lemma *lucas-pell-part3*:
fixes $A::int$ **and** $m::nat$
shows $(A^2-4)*(\psi\ A\ m)^2+4 = (\chi\ A\ m)^2$
 $\langle proof \rangle$

lemma *lucas-pell-part2*:
fixes $A\ X::int$
shows $(\exists m. X = \psi\ A\ m \vee X = -\psi\ A\ m) \implies (\exists k. (A^2-4)*X^2 + 4 = k^2)$
 $\langle proof \rangle$

lemma *lucas-pell-nat*:
fixes $A\ Y::int$
shows $(\exists k. (A^2-4)*Y^2 + 4 = k^2) = (\exists m. Y = \psi\ A\ m \vee Y = -\psi\ A\ m)$
and $(A^2-4)*(\psi\ A\ m)^2 + 4 = (\chi\ A\ m)^2$
 $\langle proof \rangle$

corollary *lucas-pell-corollary*:
fixes $A::int$ **and** $X::int$
shows *is-square* $((A^2-1)*X^2+1) = (\exists m. X = \psi\ (2*A)\ m \vee X = -\psi\ (2*A)\ m)$
 $\langle proof \rangle$

end
theory *Lucas-Diophantine*
imports *Lucas-Sequences*

begin

5.3 Lucas Sequences and Exponentiation

Direct implication of lemma 3.12

lemma *lucas-diophantine-dir*:

fixes $A::int$ **and** $B::nat$

shows $(3 * 2^B * \psi A B) \bmod (2*A-5) = (2 * (2^{(2*B)} - 1)) \bmod (2*A - 5)$

<proof>

A few lemmas helping variable changes in sums

lemma *translation-var-0-to-1*:

fixes $f::nat \Rightarrow int$ **and** $n::nat$

shows $(\sum_{i=0..n}. f (i+1)) = (\sum_{i=1..n+1}. f i)$

<proof>

lemma *chang-var2*:

fixes $f::nat \Rightarrow nat \Rightarrow int$ **and** $n::nat$

shows $(\sum_{i=0..n}. f (i+1) (n-i)) = (\sum_{i=1..n+1}. f i (n+1-i))$

<proof>

lemma *chang-var3*:

fixes $f::nat \Rightarrow nat \Rightarrow int$ **and** $n::nat$

assumes $n \geq 1$

shows $(\sum_{i=0..n-1}. f (i+1) (n-i)) = (\sum_{i=1..n}. f i (n+1-i))$

<proof>

Lemma 3.11, requiring no other result, but necessary to the proof of the reciprocal implication

definition *f-38*:: $int \Rightarrow int \Rightarrow nat \Rightarrow nat \Rightarrow int$

where *f-38* $U V a b = U^{(2*a)} * V^{(2*b)}$

lemma *lucas-exponential-diophantine*:

fixes $A::int$ **and** $B::nat$ **and** $U::int$ **and** $V::int$

assumes $B > 0$

shows $(U * V)^{(B-1)} * \psi A B \bmod (U^2 - A * U * V + V^2)$

$= (\sum_{r=0..(B-1)}. (U^{(2*r)} * (V^{(2*(B-1-r))})) \bmod (U^2 - A * U * V + V^2)$

<proof>

corollary *lucas-diophantine-aux*:

fixes $B::nat$ **and** $A::int$

assumes $B > 0$

shows $2^{(B-1)} * \psi A B \bmod (2*A-5) = (\sum_{r=0..B-1}. 2^{(2*r)}) \bmod (2*A-5)$

<proof>

Reciprocal implication of lemma 3.12

```

lemma lucas-diophantine-rec:
  fixes  $B::nat$  and  $A::int$  and  $W::int$ 
    assumes  $B > 0 \wedge abs\ A > W^4 \wedge abs\ A > 2^{(4*B)} \wedge \exists W*\psi\ A\ B\ mod\ (2*A-5) = 2*(W^2-1)\ mod\ (2*A-5)$ 
    shows  $W = 2^B$ 
  <proof>

end
theory Lemma-4-4
  imports Lucas-Sequences HOL.Real
begin

```

5.4 Bounds on expressions involving Lucas Sequences

```

lemma bernoulli-ineq:
  fixes  $a::int$  and  $n::nat$ 
    assumes  $a \geq 1$ 
    shows  $(a-1)^{(Suc\ n)} \geq a^{(Suc\ n)} - int\ (n+1)*a^n$ 
  <proof>

```

```

lemma lemma-4-4:
  fixes  $U::int$  and  $V::int$  and  $X::nat$ 
    assumes  $U \geq 2*int\ X$  and  $V \geq 1$  and  $X \geq 1$ 
    shows  $-2*int\ X*(V+1)^{(2*X)*\psi\ (U^{2*V})\ (X+1)}$ 
       $\leq U*V*(V^X * \psi\ (U*(V+1))\ (2*X + 1) - (V+1)^{(2*X)} * \psi\ (U^{2*V})\ (X + 1))$ 
       $\wedge U*V*(V^X * \psi\ (U*(V+1))\ (2*X + 1) - (V+1)^{(2*X)} * \psi\ (U^{2*V})\ (X + 1))$ 
       $\leq 2*int\ X*(V+1)^{(2*X)*\psi\ (U^{2*V})\ (X+1)}$ 
  <proof>

```

Corollaries of lemma 3.9 easier to handle for the proof of Theorem 2

```

lemma lemma-4-4-cor:
  fixes  $U::int$  and  $V::int$  and  $X::nat$ 
    assumes  $U \geq 2*int\ X$  and  $V \geq 1$  and  $X \geq 1$ 
    shows  $abs\ (U*V*(V^X * \psi\ (U*(V+1))\ (2*X + 1) - (V+1)^{(2*X)} * \psi\ (U^{2*V})\ (X+1)))$ 
       $\leq 2*int\ X*(V+1)^{(2*X)*\psi\ (U^{2*V})\ (X+1)}$ 
  <proof>

```

This version condenses all inequalities using absolute values

```

lemma lemma-4-4-abs:
  fixes  $U::int$  and  $V::int$  and  $X::nat$ 
    assumes  $abs\ U \geq 2*int\ X$  and  $V \geq 1$  and  $X \geq 1$ 
    shows  $-2*int\ X*(V+1)^{(2*X)*\psi\ (U^{2*V})\ (X+1)}$ 
       $\leq abs\ U*V*(V^X * \psi\ (U*(V+1))\ (2*X + 1) - (V+1)^{(2*X)} * \psi\ (U^{2*V})\ (X + 1))$ 
       $\wedge abs\ U*V*(V^X * \psi\ (U*(V+1))\ (2*X + 1) - (V+1)^{(2*X)} * \psi\ (U^{2*V})\ (X + 1))$ 

```

$\leq 2 * \text{int } X * (V+1) \wedge (2 * X) * \psi (U \wedge 2 * V) (X+1)$
 <proof>

lemma *lemma-4-4-cor-abs:*

fixes $U::\text{int}$ **and** $V::\text{int}$ **and** $X::\text{nat}$
assumes $abs \ U \geq 2 * \text{int } X$ **and** $V \geq 1$ **and** $X \geq 1$
shows $abs (U * V * (V \wedge X * \psi (U * (V+1))) (2 * X + 1) - (V+1) \wedge (2 * X) * \psi (U \wedge 2 * V) (X+1))$
 $\leq 2 * \text{int } X * (V+1) \wedge (2 * X) * \psi (U \wedge 2 * V) (X+1)$
 <proof>

This version uses ϱ (defined in the lemma)

lemma *lemma-4-4-cor-rho:*

fixes $U::\text{int}$ **and** $V::\text{int}$ **and** $X::\text{nat}$ **and** $\varrho::\text{real}$
assumes $U \geq 2 * \text{int } X$ **and** $V \geq 1$ **and** $X \geq 1$
defines $\varrho \equiv (\text{real-of-int } (V+1) \wedge (2 * X)) / (\text{real-of-int } V \wedge X)$
shows $abs (\psi (U * (V+1)) (2 * X + 1) / \psi (U \wedge 2 * V) (X + 1) - \varrho) \leq 2 * \text{int } X * \varrho$
 $/ (U * V)$
 <proof>

This version condenses all inequalities using absolute values, and uses ϱ

lemma *lemma-4-4-cor-rho-abs:*

fixes $U::\text{int}$ **and** $V::\text{int}$ **and** $X::\text{nat}$ **and** $\varrho::\text{real}$
assumes $abs \ U \geq 2 * \text{int } X$ **and** $V \geq 1$ **and** $X \geq 1$
assumes $\varrho \equiv (\text{real-of-int } (V+1) \wedge (2 * X)) / (\text{real-of-int } V \wedge X)$
shows $abs (\psi (U * (V+1)) (2 * X + 1) / \psi (U \wedge 2 * V) (X+1) - \varrho) \leq 2 * \text{int } X * \varrho$
 $/ (abs \ U * V)$
 <proof>

end

theory *DFI-square-0*

imports *Pell-Equation*

begin

5.5 Square Criterion for Exponentiation

locale *bridge-variables*

begin

definition *D-f:: int \Rightarrow int \Rightarrow int where*

D-f $A \ C = (A \wedge 2 - 4) * C \wedge 2 + 4$

definition *E-f::int \Rightarrow int \Rightarrow int \Rightarrow int where*

E-f $C \ D \ x = C \wedge 2 * D * x$

definition *F-f:: int \Rightarrow int \Rightarrow int where*

F-f $A \ E = 4 * (A \wedge 2 - 4) * E \wedge 2 + 1$

definition $G\text{-}f:: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$ **where**
 $G\text{-}f\ A\ C\ D\ E\ F = 1 + C * D * F - 2 * (A + 2) * (A - 2)^{\wedge}2 * E^{\wedge}2$

definition $H\text{-}f:: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$ **where**
 $H\text{-}f\ B\ C\ F\ y = C + B * F + (2*y - 1) * C * F$

definition $I\text{-}f:: \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$ **where**
 $I\text{-}f\ G\ H = (G^{\wedge}2 - 1) * H^{\wedge}2 + 1$

definition $E\text{-}ACx:: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$ **where**
 $E\text{-}ACx\ A\ C\ x = E\text{-}f\ C\ (D\text{-}f\ A\ C)\ x$

definition $F\text{-}ACx:: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$ **where**
 $F\text{-}ACx\ A\ C\ x = F\text{-}f\ A\ (E\text{-}ACx\ A\ C\ x)$

definition $G\text{-}ACx:: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$ **where**
 $G\text{-}ACx\ A\ C\ x = G\text{-}f\ A\ C\ (D\text{-}f\ A\ C)\ (E\text{-}ACx\ A\ C\ x)\ (F\text{-}ACx\ A\ C\ x)$

definition $H\text{-}ABCxy:: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$ **where**
 $H\text{-}ABCxy\ A\ B\ C\ x\ y = H\text{-}f\ B\ C\ (F\text{-}ACx\ A\ C\ x)\ y$

definition $I\text{-}ABCxy:: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$ **where**
 $I\text{-}ABCxy\ A\ B\ C\ x\ y = I\text{-}f\ (G\text{-}ACx\ A\ C\ x)\ (H\text{-}ABCxy\ A\ B\ C\ x\ y)$

lemma lemma-4-2-part-DF :
fixes $A\ B$
defines $C \equiv \psi\ A\ (\text{nat}\ B)$
assumes evA : $\text{even}\ A\ A \geq 4\ B \geq 3$
shows $\forall n. \exists x \geq n. \text{is-square}\ (D\text{-}f\ A\ C) \wedge \text{is-square}\ (F\text{-}ACx\ A\ C\ x)$
 $\langle \text{proof} \rangle$

definition $y\text{-num-}ABCx :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$ **where**
 $y\text{-num-}ABCx\ A\ B\ C\ x = \psi\ (2*(G\text{-}ACx\ A\ C\ x))\ (\text{nat}\ B) - C + (C - B) * F\text{-}ACx\ A\ C\ x$

definition $y\text{-den-}ABCx :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$ **where**
 $y\text{-den-}ABCx\ A\ B\ C\ x = 2 * C * F\text{-}ACx\ A\ C\ x$

lemma lemma-4-2-y-grows :
fixes $A\ B$
defines $C \equiv \psi\ A\ (\text{nat}\ B)$
defines $y\text{-num} \equiv y\text{-num-}ABCx\ A\ B\ C$ **and** $y\text{-den} \equiv y\text{-den-}ABCx\ A\ B\ C$
assumes evA : $\text{even}\ A\ A \geq 4\ B \geq 3$
shows $\forall m. \exists n. \forall x. x \geq n \longrightarrow y\text{-num}\ x \geq m * y\text{-den}\ x \wedge y\text{-den}\ x > 0$
 $\langle \text{proof} \rangle$

lemma *mod-mult*:

fixes $a::int$ **and** $b::int$ **and** $c::int$ **and** $d::int$

assumes $a \bmod c = b \bmod c \wedge a \bmod d = b \bmod d \wedge \text{coprime } c \ d$

shows $a \bmod (c*d) = b \bmod (c*d)$

<proof>

lemma *lemma-4-2-y-int*:

fixes $A \ B \ x$

defines $C \equiv \psi \ A \ (\text{nat } B)$

defines $y\text{-num} \equiv y\text{-num-ABCx } A \ B \ C$ **and** $y\text{-den} \equiv y\text{-den-ABCx } A \ B \ C$

assumes $evA: \text{even } A \ A \geq 4 \ B \geq 3$

shows $y\text{-den } x \ \text{dvd} \ y\text{-num } x$

<proof>

lemma *lemma-4-2*:

fixes $A \ B \ n$

defines $C \equiv \psi \ A \ (\text{nat } B)$

assumes $evA: \text{even } A \ A \geq 4 \ B \geq 3$

shows $\exists x \geq n. \exists y \geq n. \text{is-square } (D\text{-f } A \ C) \wedge \text{is-square } (F\text{-ACx } A \ C \ x) \wedge \text{is-square } (I\text{-ABCxy } A \ B \ C \ x \ y)$

<proof>

lemma *lemma-4-2-cor*:

fixes $A \ B$

defines $C \equiv \psi \ A \ (\text{nat } B)$

assumes $evA: \text{even } A \ A \geq 4 \ B \geq 3$

shows $\forall n. \exists x \geq n. \exists y \geq n. \text{is-square } ((D\text{-f } A \ C) * (F\text{-ACx } A \ C \ x) * (I\text{-ABCxy } A \ B \ C \ x \ y))$

<proof>

end

end

theory *DFI-square-1*

imports *DFI-square-0 Lucas-Diophantine*

begin

fun *rec-forte-init012*:: $\text{nat} \Rightarrow \text{nat}$ **where**

rec-forte-init012 $0 = 0 \mid$

rec-forte-init012 $(\text{Suc } 0) = 0 \mid$

rec-forte-init012 $(\text{Suc } (\text{Suc } 0)) = 0 \mid$

rec-forte-init012 $(\text{Suc } (\text{Suc } (\text{Suc } n))) = (\sum i \leq \text{Suc } (\text{Suc } n). \text{rec-forte-init012 } i)$

theorem *strong-induct-init012* [*consumes 0, case-names 0 1 2 succsucsuc*]:

$P\ 0 \implies P\ (Suc\ 0) \implies P\ (Suc\ (Suc\ 0)) \implies (\bigwedge n. (\forall i \leq Suc\ (Suc\ n). P\ i) \implies$
 $P\ (Suc\ (Suc\ (Suc\ n))))$
 $\implies P\ (n::nat)$
 <proof>

lemma sun-lemma2: $\bigwedge n\ k\ r. \psi\ A\ (k*n+r) =$
 $(\sum_{i \leq n. int\ (n\ choose\ i) * (\psi\ A\ (Suc\ k) - A*\psi\ A\ k)^{\wedge(n-i)} * (\psi\ A\ k)^{\wedge i} * \psi\ A\ (r+i))$
 <proof>

lemma lucas-consec-coprime: $coprime\ (\psi\ A\ k)\ (\psi\ A\ (Suc\ k))$
 <proof>

lemma eq-mod-power: **fixes** $a::int$ **and** $b::int$ **assumes** $a\ mod\ n = b\ mod\ n$ **shows**
 $a^k\ mod\ n = b^k\ mod\ n$
 <proof>

lemma euclids-lemma: $(coprime\ (a::int)\ b) \wedge a\ dvd\ (b*c) \longrightarrow a\ dvd\ c$
 <proof>

lemma coprime-power: **fixes** $a::int$ **and** $b::int$ **assumes** $coprime\ a\ b$ **shows** $coprime\ (a^k)\ b$
 <proof>

lemma dvd-remove-psi:
fixes $A::int$ **and** $k::nat$ **and** $m::nat$
assumes $(\psi\ A\ k)\ dvd\ (\psi\ A\ m)$ **and** $A^2-4 \geq 0$ **and** $k > 0$
shows $(int\ k)\ dvd\ (int\ m)$
 <proof>

lemma sun-lemma7:
fixes $A::int$ **and** $k::nat$ **and** $m::nat$
assumes $A^2-4 \geq 0$ **and** $(\psi\ A\ k)^2\ dvd\ \psi\ A\ m$ **and** $k > 0$
shows $\psi\ A\ k\ dvd\ (int\ m)$
 <proof>

Introducing ψ and χ with both interger parameters. It is a broader definition but induction is harder, that's why a lot of properties for these vesion are proved in the following lemmas

definition $\psi\text{-int}::int \Rightarrow int \Rightarrow int$ **where**
 $\psi\text{-int}\ A\ n = (-1)^{(if\ n \geq 0\ then\ 0\ else\ 1)} * \psi\ A\ (nat\ (abs\ n))$

definition $\chi\text{-int}::int \Rightarrow int \Rightarrow int$ **where**
 $\chi\text{-int}\ A\ n = \chi\ A\ (nat\ (abs\ n))$

lemma $\psi\text{-int}\text{-eq:}$ $\psi\text{-int}\ A\ n = (if\ n \geq 0\ then\ 1\ else\ -1) * \psi\ A\ (nat\ (abs\ n))$

<proof>

lemma *ψ-int-ind*:

fixes *A::int and n::int*

shows $\psi\text{-int } A (n+2) = A * \psi\text{-int } A (n+1) - \psi\text{-int } A n$

<proof>

lemma *χ-int-ind*:

fixes *A::int and n::int*

shows $\chi\text{-int } A (n+2) = A * \chi\text{-int } A (n+1) - \chi\text{-int } A n$

<proof>

lemma *ψ-int-odd*:

fixes *A::int and n::int*

shows $\psi\text{-int } A (-n) = -\psi\text{-int } A n$

<proof>

lemma *χ-int-even*:

fixes *A::int and n::int*

shows $\chi\text{-int } A (-n) = \chi\text{-int } A n$

<proof>

lemma *technical-lemma1*:

fixes *k::int and r::int and A::int*

shows $\psi\text{-int } A (k+r) = \psi\text{-int } A r * \chi\text{-int } A k + \psi\text{-int } A (k-r)$

<proof>

It is now much easier to state the following lemma

lemma *technical-lemma2*:

fixes *r::int and A::int and n::int and q::int and k::int*

assumes $n \neq 0$ **and** $\chi\text{-int } A n = 2 * k$

shows $\psi\text{-int } A (2 * n + r) \bmod (\chi\text{-int } A n) = (-\psi\text{-int } A r) \bmod (\chi\text{-int } A n)$

and $\psi\text{-int } A (4 * n * q + r) \bmod k = \psi\text{-int } A r \bmod k$

<proof>

lemma *lucas-solves-pell*:

fixes *A :: int*

shows $(A^2 - 4) * (\psi\text{-int } A m)^2 + 4 = (\chi\text{-int } A m)^2$

<proof>

lemma *pell-yields-lucas*:

fixes *A Y :: int*

shows $(\exists k. (A^2 - 4) * Y^2 + 4 = k^2) = (\exists m. Y = \psi\text{-int } A m)$

<proof>

corollary *technical-lemma2-part2*:

fixes $r::int$ **and** $A::int$ **and** $n::int$ **and** $q::int$ **and** $k::int$
assumes $n \neq 0$ **and** $\chi\text{-int } A \ n = 2*k$
shows $\psi\text{-int } A \ (4*n*q+r) \ \text{mod } k = \psi\text{-int } A \ r \ \text{mod } k$
 $\langle\text{proof}\rangle$

corollary *technical-cor3*:

fixes $r::int$ **and** $A::int$ **and** $n::int$ **and** $k::int$
assumes $n \neq 0$ **and** $\chi\text{-int } A \ n = 2*k$
shows $\psi\text{-int } A \ (2*n+r) \ \text{mod } k = (-\psi\text{-int } A \ r) \ \text{mod } k$
 $\langle\text{proof}\rangle$

end

theory *DFI-square-2*

imports *DFI-square-1 HOL.NthRoot*

begin

lemma *sun-lemma10-rec*:

fixes $A::int$ **and** $n::int$ **and** $t::int$ **and** $k::int$
assumes $A > 2$ **and** $n > 3$ **and** $\chi\text{-int } A \ n = 2*k$
shows $(s \ \text{mod } (4*n) = t \ \text{mod } (4*n) \vee (s+t) \ \text{mod } (4*n) = (2*n) \ \text{mod } (4*n))$
 $\implies (\psi\text{-int } A \ s \ \text{mod } k = \psi\text{-int } A \ t \ \text{mod } k)$
 $\langle\text{proof}\rangle$

Some results about Lucas sequences seen as real numbers

lemma *expr-of-psi-and-chi*:

fixes $A::int$ **and** $n::nat$ **and** $\alpha::real$
assumes $A > 2$ **and** $\alpha^2 = A^2 - 4$ **and** $\alpha > 0$
defines $\beta p \equiv (A + \alpha) / 2$ **and** $\beta m \equiv (A - \alpha) / 2$
shows $\text{real-of-int } (\psi \ A \ n) = (\beta p^n - \beta m^n) / \alpha \wedge$
 $\text{real-of-int } (\chi \ A \ n) = \beta p^n + \beta m^n$
 $\langle\text{proof}\rangle$

lemma *chi-is-Bigger-sqrt5psi*: $A > 2 \implies \chi \ A \ n > \text{sqrt } 5 * \psi \ A \ n$
 $\langle\text{proof}\rangle$

lemma *chi-is-Bigger-2psi*: $A > 2 \implies \chi \ A \ n > 2 * \psi \ A \ n$
 $\langle\text{proof}\rangle$

lemma *psi-ineq-opti*:

fixes $A::int$ **and** $n::nat$
assumes $A > 2$
shows $5 * \psi \ A \ n < 2 * \psi \ A \ (n+1)$
 $\langle\text{proof}\rangle$

lemma *psi-doubles*:

fixes $A::int$ **and** $n::nat$
assumes $A > 2$
shows $2 * \psi \ A \ n < \psi \ A \ (n+1)$
 $\langle\text{proof}\rangle$

lemma *distinct-residus*:

fixes $A::int$ **and** $n::int$ **and** $k::int$ **and** $i::int$ **and** $j::int$
assumes $A > 2$ **and** $n > 3$ **and** $\chi\text{-int } A \ n = 2*k$ **and** $i \in \{-n..n\}$ **and** $j \in \{-n..n\}$
and $i \neq j$
shows $\psi\text{-int } A \ i \ \text{mod } k \neq \psi\text{-int } A \ j \ \text{mod } k$
(*proof*)

lemma *case-lesser-than-4n*:

fixes $A::int$ **and** $n::int$ **and** $s::int$ **and** $t::int$ **and** $k::int$
assumes $A > 2$ **and** $n > 3$ **and** $\chi\text{-int } A \ n = 2*k$ **and** $0 \leq s \wedge s < 4*n \wedge 0 \leq t \wedge t < 4*n$
shows $(\psi\text{-int } A \ s \ \text{mod } k = \psi\text{-int } A \ t \ \text{mod } k)$
 $\implies (s \ \text{mod } (4*n) = t \ \text{mod } (4*n) \vee (s+t) \ \text{mod } (4*n) = (2*n) \ \text{mod } (4*n))$
(*proof*)

lemma *mod-pos*:

fixes $k::int$ **and** $n::int$
assumes $n > 0$
shows $0 \leq k \ \text{mod } n \wedge k \ \text{mod } n < n$
(*proof*)

lemma *lesser-4n-to-all*:

fixes $A::int$ **and** $n::int$ **and** $s::int$ **and** $t::int$ **and** $k::int$
assumes $A > 2$ **and** $n > 3$ **and** $\chi\text{-int } A \ n = 2*k$
shows $(\psi\text{-int } A \ s \ \text{mod } k = \psi\text{-int } A \ t \ \text{mod } k)$
 $\implies (s \ \text{mod } (4*n) = t \ \text{mod } (4*n) \vee (s+t) \ \text{mod } (4*n) = (2*n) \ \text{mod } (4*n))$
(*proof*)

lemma *sun-lemma10-dir*:

fixes $A::int$ **and** $n::int$ **and** $s::int$ **and** $t::int$ **and** $k::int$
assumes $A > 2$ **and** $n > 3$ **and** $\chi\text{-int } A \ n = 2*k$
shows $(\psi\text{-int } A \ s \ \text{mod } k = \psi\text{-int } A \ t \ \text{mod } k)$
 $\implies (s \ \text{mod } (4*n) = t \ \text{mod } (4*n) \vee (s+t) \ \text{mod } (4*n) = (2*n) \ \text{mod } (4*n))$
(*proof*)

lemma (*in bridge-variables*) *sun-lemma24*:

fixes $A::int$ **and** $B::int$ **and** $C::int$ **and** $x::int$ **and** $y::int$
assumes $\text{abs } A \geq 2$
shows $\text{is-square } (D\text{-f } A \ C * F\text{-ACx } A \ C \ x * I\text{-ABCxy } A \ B \ C \ x \ y) = (\text{is-square } (D\text{-f } A \ C) \wedge \text{is-square } (F\text{-ACx } A \ C \ x) \wedge \text{is-square } (I\text{-ABCxy } A \ B \ C \ x \ y))$
(*proof*)

end

theory *DFI-square-3*

imports *DFI-square-2*

begin

A few lemmas before the proof

lemma *lucas-pell-corollary-int*:

fixes $A::int$ **and** $X::int$

shows $(\exists k. (A^2-4)*X^2 + 4 = k^2) \implies (\exists m. X = \psi\text{-int } A\ m)$

<proof>

lemma *lucas-modN-int*:

fixes $A::int$ **and** $B::int$ **and** $n::int$

assumes $A \bmod n = B \bmod n$

shows $(\psi\text{-int } A\ m) \bmod n = (\psi\text{-int } B\ m) \bmod n$

<proof>

lemma *div-mod*: $(n::int) \text{ dvd } m \implies k \bmod m = l \bmod m \implies k \bmod n = l \bmod n$

<proof>

lemma *$\psi\text{-int-minusA}$* : $\psi\text{-int } (-X)\ n = (-1)^{\text{nat } (\text{abs } n) + 1} * \psi\text{-int } X\ n$ **for** n
 X

<proof>

lemma *eq- $\psi\text{-int}$* : $\text{abs } X > 1 \implies \text{abs } (\psi\text{-int } X\ n) = \psi (\text{abs } X) (\text{nat } (\text{abs } n))$ **for**
 $X\ n$

<proof>

Lemma 10 in Sun

lemma *sun-lemma10-dir-int*:

fixes $A::int$ **and** $n::int$ **and** $s::int$ **and** $t::int$ **and** $k::int$

assumes $\text{abs } A > 2$ **and** $n > 3$ **and** $\chi\text{-int } (\text{abs } A)\ n = 2*k$

shows $(\psi\text{-int } A\ s \bmod k = \psi\text{-int } A\ t \bmod k)$

$\implies (s \bmod (2*n) = t \bmod (2*n) \vee (s+t) \bmod (2*n) = 0 \bmod (2*n))$

<proof>

Theorem in Sun

theorem (in *bridge-variables*) *sun-theorem*:

fixes $A::int$ **and** $B::int$ **and** $C::int$ **and** $x::int$ **and** $y::int$

assumes $\text{abs } B > 1$ **and** $2*\text{abs } B < \text{abs } A - 2$ **and** $(A-2) \text{ dvd } (C-B)$ **and** x
 $\neq 0$

and *is-square* $(D\text{-f } A\ C * F\text{-ACx } A\ C\ x * I\text{-ABCxy } A\ B\ C\ x\ y)$

shows $C = \psi\text{-int } A\ B$

<proof>

end

theory *Bridge-Theorem-Imp*

imports *HOL.Binomial*

../MPoly-Utills/Poly-Extract

../Lucas-Sequences/DFI-square-0

../Lucas-Sequences/Lucas-Diophantine

../Lucas-Sequences/Lemma-4-4

begin

6 The Bridge Theorem

6.1 Constructing polynomials

context *bridge-variables*

begin

definition $L :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$

where $L l Y = l * Y$

definition $U :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$

where $U l X Y = 2 * X * L l Y$

definition $V :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$

where $V w g Y = 4 * w * g * Y$

definition $A :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$

where $A l w g Y X = U l X Y * (V w g Y + 1)$

definition $B :: \text{int} \Rightarrow \text{int}$

where $B X = 2 * X + 1$

definition $C :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$

where $C l w h g Y X = B X + (A l w g Y X - 2) * h$

definition $D :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$

where $D l w h g Y X = ((A l w g Y X)^2 - 4) * (C l w h g Y X)^2 + 4$

definition $E :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$

where $E l w h x g Y X = (C l w h g Y X)^2 * D l w h g Y X * x$

definition $F :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$

where $F l w h x g Y X = 4 * ((A l w g Y X)^2 - 4) * (E l w h x g Y X)^2 + 1$

definition $G :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$

where $G l w h x g Y X = 1 + C l w h g Y X * D l w h g Y X * F l w h x g Y X -$

$Y X)^2$

$2 * (A l w g Y X + 2) * (A l w g Y X - 2)^2 * (E l w h x g$

definition $H :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$

where $H l w h x y g Y X = C l w h g Y X + B X * F l w h x g Y X + (2 * y - 1) *$

$C l w h g Y X * F l w h x g Y X$

definition $I :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$

where $I l w h x y g Y X = ((G l w h x g Y X)^2 - 1) * (H l w h x y g Y X)^2 + 1$

definition $W :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$

where $W w b = b * w$

definition $K :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$

where $K k l w g Y X = X + 1 + k * ((U l X Y)^2 * V w g Y - 2)$

definition $J :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$

where $J k l w g Y X = K k l w g Y X$

definition $S :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \text{ where}$

$S l w g Y X = 2 * A l w g Y X - 5$

definition $T :: int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int$ **where**
 $T l w h g Y X b = 3 * (W w b) * (C l w h g Y X) - 2 * ((W w b)^2 - 1)$

poly-extract L
poly-extract U
poly-extract V
poly-extract A
poly-extract B
poly-extract C
poly-extract D
poly-extract E
poly-extract F
poly-extract G
poly-extract H
poly-extract I
poly-extract W
poly-extract K
poly-extract S
poly-extract T

definition $d2a$ **where** $d2a l w h x y g Y X = is-square(D l w h g Y X * F l w h x g Y X$

$* I l w h x y g Y X)$

definition $d2b$ **where** $d2b k l w x g Y X = is-square((U l X Y^4 * V w g Y^2 - 4) * K k l w g Y X^2 + 4)$

definition $d2c$ **where**

$d2c l w h b g Y X \equiv S l w g Y X dvd T l w h g Y X b$

definition $d2d$ **where** $d2d b w X = (b * w = 2^{\wedge} nat(B X))$

definition $d2e$ **where** $d2e k l w h g Y X = ((4 * g * (C l w h g Y X) - 4 * g * (L l Y) * (K k l w g Y X))^2 < (K k l w g Y X)^2)$

definition $d2f$ **where** $d2f k l w h g Y X = ((2 * (C l w h g Y X) - 2 * (L l Y) * (K k l w g Y X))^2 < (K k l w g Y X)^2)$

definition $statement1$ **where**

$statement1 b Y X \equiv is-power2 b$

$\wedge Y dvd int (2 * nat X choose nat X)$

definition $statement2$ **where**

$statement2 b Y X g = (\exists h k l w x y :: int. (l * x \neq 0) \wedge (d2a l w h x y g Y X) \wedge$

$(d2b k l w x g Y X) \wedge (d2c l w h b g Y X) \wedge (d2f k l w h g Y$

$X))$

definition $statement2a$ **where**

$statement2a b Y X g = (\exists h k l w x y :: int. (d2a l w h x y g Y X) \wedge$

$(d2b k l w x g Y X) \wedge (d2c l w h b g Y X) \wedge (d2e k l w h g Y X)$

$\wedge (h \geq b) \wedge (k \geq b) \wedge (l \geq b) \wedge (w \geq b) \wedge (x \geq b) \wedge (y \geq b))$

end

lemma *min-power*:
fixes $a::int$ **and** $n::nat$
assumes $a \geq 3$
shows $(a-1)^{(n+2)} \geq 3 + int\ n + (a-2)^2$
 $\langle proof \rangle$

lemma *change-sum*:
fixes $f::int \Rightarrow int$ **and** $n::nat$
shows $(\sum_{i \leq n}. (f\ (int\ i))) = sum\ (\lambda i. f\ i)\ (set[0..int\ n])$
 $\langle proof \rangle$

lemma *chang-var1*:
fixes $f::int \Rightarrow int$ **and** $n::nat$
shows $sum\ (\lambda i. f\ (i+1))\ (set[0..int\ n]) = sum\ (\lambda i. f\ i)\ (set[1..int\ (Suc\ n)])$
 $\langle proof \rangle$

lemma *chang-var*:
fixes $f::int \Rightarrow int$ **and** $n::nat$ **and** $m::nat$
shows $sum\ (\lambda i. f\ i)\ (set[int\ n..int\ (n+m)]) = sum\ (\lambda i. f\ (int\ (n+m) - i))\ (set[0..int\ m])$
 $\langle proof \rangle$

6.2 Proof of implication (1) \implies (3)

context *bridge-variables*
begin

lemma *theorem-II-1-3*:
assumes $b-def1:(b::int) \geq 0$ **and** $Y-def:(Y::int) \geq b \wedge Y \geq 2^8$ **and** $X-def:(X::int) \geq 3*b$
and $g-def:(g::int) \geq 1$
shows $(statement1\ b\ Y\ X) \implies (statement2a\ b\ Y\ X\ g)$
 $\langle proof \rangle$

end

end

theory *Bridge-Theorem-Rev*
imports *../Lucas-Sequences/DFI-square-3*
Bridge-Theorem-Imp
HOL-Computational-Algebra.Primes
begin

lemma *div-pow'*:
fixes $a::real$ **and** $n::nat$ **and** $p::nat$
assumes $n \geq p$ **and** $a \neq 0$
shows $a^n / a^p = a^{(n-p)}$
 $\langle proof \rangle$

lemma *inv-decr*:

fixes $a::real$ **and** $b::real$
assumes $a \geq b$ **and** $b > 0$
shows $1/a \leq 1/b$
 ⟨*proof*⟩

lemma *div-pow*:
fixes $a::real$ **and** $n::nat$ **and** $m::nat$
assumes $m < n$ **and** $a \neq 0$
shows $a^n/a^m = a * a^{(n-m-1)}$
 ⟨*proof*⟩

lemma *power-majoration*:
fixes $a::real$ **and** $n::nat$
assumes $0 < a$ **and** $a \leq 1$
shows $(1+a)^n \leq 1 + (2^n-1)*a$
 ⟨*proof*⟩

lemma *div-reg*:
fixes $a::int$ **and** $b::int$ **and** $c::int$ **and** $d::int$
assumes $a \leq b$ **and** $c \geq d$ **and** $d > 0$ **and** $a \geq 0$
shows $a/c \leq b/d$
 ⟨*proof*⟩

lemma *lucas-modN-int*:
fixes $\alpha::int$ **and** $m::int$
shows $\psi\text{-int } \alpha \ m \ \text{mod } (\alpha - 2) = m \ \text{mod } (\alpha - 2)$
 ⟨*proof*⟩

6.3 Proof of implication (2) \implies (1)

lemma (in *bridge-variables*) *theorem-II-2-1*:
assumes $b\text{-def}:(b::int) \geq 0$ **and** $Y\text{-def}:(Y::int) \geq b \wedge Y \geq 2^8$ **and** $X\text{-def}:(X::int) \geq 3*b$
and $g\text{-def}:(g::int) \geq 1$
shows $(\text{statement2 } b \ Y \ X \ g) \implies (\text{statement1 } b \ Y \ X)$
 ⟨*proof*⟩

6.4 Proof of implication (2a) \implies (2)

lemma (in *bridge-variables*) *theorem-II-3-2*:
assumes $b\text{-def}:(b::int) \geq 0$ **and** $Y\text{-def}:(Y::int) \geq b \wedge Y \geq 2^8$ **and** $X\text{-def}:(X::int) \geq 3*b$
and $g\text{-def}:(g::int) \geq 1$
shows $(\text{statement2a } b \ Y \ X \ g) \implies (\text{statement2 } b \ Y \ X \ g)$
 ⟨*proof*⟩

end
theory *Bridge-Theorem*
imports *Bridge-Theorem-Rev*
begin

theorem (in *bridge-variables*) *theorem-II*:
fixes $b \ Y \ X \ g :: \text{int}$
assumes $b \geq 0$ **and** $Y \geq b \wedge Y \geq 2^8$ **and** $X \geq 3*b$ **and** $g \geq 1$
shows $\text{statement2 } b \ Y \ X \ g = \text{statement1 } b \ Y \ X$
and $\text{statement2a } b \ Y \ X \ g = \text{statement1 } b \ Y \ X$
 $\langle \text{proof} \rangle$

definition (in *bridge-variables*) *bridge-relations*
where *bridge-relations* $k \ l \ w \ h \ x \ y \ b \ g \ Y \ X \equiv$
 $\text{is-square } (D \ l \ w \ h \ g \ Y \ X * F \ l \ w \ h \ x \ g \ Y \ X * I \ l \ w \ h \ x \ y \ g \ Y \ X)$
 $\wedge \text{is-square } ((U \ l \ X \ Y^4 * V \ w \ g \ Y^2 - 4) * J \ k \ l \ w \ g \ Y \ X^{2+4})$
 $\wedge S \ l \ w \ g \ Y \ X \ \text{dvd} \ T \ l \ w \ h \ g \ Y \ X \ b$
 $\wedge ((4*g*(C \ l \ w \ h \ g \ Y \ X) - 4*g*l*Y*(J \ k \ l \ w \ g \ Y \ X))^2 < (J \ k \ l \ w \ g \ Y \ X)^2)$

theorem (in *bridge-variables*) *bridge-theorem-simplified*:
fixes $b \ Y \ X \ g :: \text{int}$
assumes $b \geq 0$ **and** $Y \geq b$ **and** $Y \geq 2^8$ **and** $X \geq 3*b$ **and** $g \geq 1$
shows ($\text{is-power2 } b \wedge Y \ \text{dvd} \ \text{int } (2 * \text{nat } X \ \text{choose } \text{nat } X)$)
 $= (\exists h \ k \ l \ w \ x \ y :: \text{int. } \text{bridge-relations } k \ l \ w \ h \ x \ y \ b \ g \ Y \ X$
 $\wedge (h \geq b) \wedge (k \geq b) \wedge (l \geq b) \wedge (w \geq b) \wedge (x \geq b) \wedge (y \geq b))$
 $\langle \text{proof} \rangle$

end
theory *Algebra-Basics*
imports *Main ../Lucas-Sequences/Lucas-Sequences*
 $HOL-Computational-Algebra.Primes \ \text{Complex-Main} \ \text{HOL.NthRoot}$
begin

7 Relation Combining

In this section the Matiyasevich-Robinson polynomial is formalized. The formal proof follows their paper [5]: first, auxiliary polynomials J_3 and Π are defined and then M_3 can be constructed from them.

7.1 Algebra Preliminaries

lemma *coercion-coherent*: $\text{complex-of-real } (\text{of-rat } q) = \text{of-rat } q$
 $\langle \text{proof} \rangle$

definition $C :: \text{complex set}$ **where** $C = \{x. \text{True}\}$

definition $Q :: \text{complex set}$ **where** $Q = \{x. \exists q :: \text{rat. } x = \text{of-rat } q\}$

definition $Qi :: \text{complex set}$ **where** $Qi = \{x. \text{Re } x \in Q \wedge \text{Im } x \in Q\}$

definition $\text{cpx-sqrt} :: \text{int} \Rightarrow \text{complex}$ **where**
 $\text{cpx-sqrt } n = (\text{if } (n \geq 0) \text{ then } (\text{sqrt } n) \text{ else } (i * \text{sqrt } (-n)))$

lemma *norm-cpx-sqrt*: $\text{norm } (\text{cpx-sqrt } x) = \text{sqrt } (\text{norm } x)$
<proof>

lemma *square-sqrt*: $(\text{cpx-sqrt } n)^2 = n$
<proof>

fun *field*:: *int list* \Rightarrow *complex set* **where**
field [] = \mathbb{Q} |
field (a # l) = $\{x. \exists q \in (\text{field } l). \exists r \in (\text{field } l). x = q + r * \text{cpx-sqrt } a\}$

lemma *Qi-is-m1*: $Q_i = \text{field } [-1]$
<proof>

lemma *Zero-in-field*: $0 \in \text{field } l$
<proof>

lemma *field-incr*: $\text{field } l \subseteq \text{field } (a \# l)$
<proof>

lemma *int-in-field*: $\bigwedge x :: \text{int}. \text{of-int } x \in \text{field } l$
<proof>

lemma *field-add-mult*: $\bigwedge x y. x \in \text{field } l \wedge y \in \text{field } l \Longrightarrow (x + y \in \text{field } l \wedge x * y \in \text{field } l)$
<proof>

lemma *field-square*: $x \in \text{field } l \Longrightarrow x^2 \in \text{field } l$ *<proof>*

lemma *field-opp*: $x \in \text{field } l \Longrightarrow -x \in \text{field } l$
<proof>

lemma *field-inv-div*: $\bigwedge x y. x \in \text{field } l \wedge y \in \text{field } l \Longrightarrow (1/x \in \text{field } l \wedge y/x \in \text{field } l)$
<proof>

lemma *field-sum*: $(\forall x \in S. f x \in \text{field } l) \Longrightarrow \text{finite } S \Longrightarrow (\sum_{x \in S} f x) \in \text{field } l$
<proof>

lemma *field-comm*: $\text{field } (a \# b \# l) = \text{field } (b \# a \# l)$
<proof>

lemma *field-idempot1*: $\text{field } (a \# a \# l) = \text{field } (a \# l)$
<proof>

lemma *field-idempot*: $a \in \text{set } l \Longrightarrow \text{field } (a \# l) = \text{field } l$
<proof>

lemma *disjoint-field-extensions-no-prime-roots*:

fixes $p\text{-}l::\text{int list}$
shows $\bigwedge(q\text{-}s::\text{int set}). ((\text{finite } q\text{-}s \wedge q\text{-}s \neq \{\}) \wedge q\text{-}s \cap (\text{set } p\text{-}l) = \{\}) \wedge (\forall q \in q\text{-}s. \text{prime } q)$
 $\wedge (\forall p \in (\text{set } p\text{-}l). \text{prime } p)) \implies \text{prod } (\lambda x. \text{cpx-sqrt } x) \text{ } q\text{-}s \notin \text{field } (p\text{-}l@[-1])$
 $\langle \text{proof} \rangle$

definition $\text{prime-list}::\text{int} \Rightarrow \text{int list}$
where $\text{prime-list } n = \text{sorted-list-of-set } (\{p. \text{prime } p \wedge p \text{ dvd } n\})$

lemma $\text{correct-prime-list}: n \neq 0 \implies \text{set } (\text{prime-list } n) = \{p. \text{prime } p \wedge p \text{ dvd } n\}$
 $\langle \text{proof} \rangle$

lemma $\text{field-prod}: \text{field } ((a*b)\#l) \subseteq \text{field } (a\#b\#l)$
 $\langle \text{proof} \rangle$

lemma $\text{field-add-in}: \text{cpx-sqrt } a \in \text{field } l \implies \text{field } (a\#l) = \text{field } l$
 $\langle \text{proof} \rangle$

lemma $\text{field-add-0}: \text{field } (0\#l) = \text{field } l$
 $\langle \text{proof} \rangle$

lemma $\text{field-remove-zeros}: \exists l'::\text{int list}. \text{set } l' = \text{set } l - \{0\} \wedge \text{field } l' = \text{field } l$
 $\langle \text{proof} \rangle$

lemma $\text{sqrt-in-field}: x \in \text{set } l \implies \text{cpx-sqrt } x \in \text{field } l$
 $\langle \text{proof} \rangle$

lemma $\text{field-incr2}: \text{field } l \subseteq \text{field } m \implies \text{field } (a\#l) \subseteq \text{field } (a\#m)$
 $\langle \text{proof} \rangle$

lemma $\text{min-set-induct}: (P::\text{int set} \Rightarrow \text{bool}) \{\}$
 $\implies (\bigwedge X. \bigwedge x. \text{finite } X \implies x = \text{Min } (X \cup \{x\}) \implies P X \implies P (X \cup \{x\})) \implies$
 $(\bigwedge X. \text{finite } X \implies P X)$
 $\langle \text{proof} \rangle$

Sorting sets

lemma sorting-set1 :
fixes $b::\text{int}$ **and** $C::\text{int set}$
assumes $\forall c \in C. b < c$ **and** $\text{finite } C$
shows $\text{sorted-list-of-set } (\{b\} \cup C) = b\#(\text{sorted-list-of-set } C)$
 $\langle \text{proof} \rangle$

lemma sorting-set2 :
fixes $B::\text{int set}$ **and** $C::\text{int set}$
assumes $\text{finite } B$
shows $(\forall b \in B. \forall c \in C. b < c) \wedge \text{finite } B \wedge \text{finite } C$
 $\implies \text{sorted-list-of-set } (B \cup C) = (\text{sorted-list-of-set } B) \# (\text{sorted-list-of-set } C)$
 $\langle \text{proof} \rangle$

corollary *sorting-set3*:

fixes $B::\text{int set}$ **and** $C::\text{int set}$

assumes $\forall b \in B. \forall c \in C. b < c$ **and** *finite B* **and** *finite C*

shows $\text{sorted-list-of-set } (B \cup C) = (\text{sorted-list-of-set } B) @ (\text{sorted-list-of-set } C)$

$\langle \text{proof} \rangle$

lemma *min-mset-induct*: $(P::\text{int multiset} \Rightarrow \text{bool}) \{\#\}$

$\Rightarrow (\bigwedge X. \bigwedge x. x = \text{Min-mset } (X + \{\#x\}) \Rightarrow P X \Rightarrow P (X + \{\#x\}))$

$\Rightarrow (\bigwedge X. P X)$

$\langle \text{proof} \rangle$

lemma *field-prod2*: $\text{field } ((\text{prod-mset } A) \# l) \subseteq \text{field } ((\text{sorted-list-of-set } (\text{set-mset } A)) @ l)$

$\langle \text{proof} \rangle$

lemma *field-n-in-field-prime*:

fixes $n::\text{int}$ **and** $l::\text{int list}$

assumes $n \neq 0$

shows $\text{field } (n \# l) \subseteq \text{field } ((-1) \# (\text{prime-list } n) @ l)$

$\langle \text{proof} \rangle$

lemma *field-n-in-field-prime2*:

fixes $n::\text{int}$ **and** $l::\text{int list}$

shows $\text{field } (n \# l) \subseteq \text{field } ((-1) \# (\text{prime-list } n) @ l)$

$\langle \text{proof} \rangle$

fun *prime-list-list*:: $\text{int list} \Rightarrow \text{int list}$ **where**

prime-list-list $[] = [] \mid$

prime-list-list $(a \# l) = (\text{prime-list } a) @ (\text{prime-list-list } l)$

lemma *field-list-in-field-primes*:

fixes $l::\text{int list}$

shows $\text{field } (l) \subseteq \text{field } ((\text{prime-list-list } l) @ [-1])$

$\langle \text{proof} \rangle$

Corollary

corollary *root-p-not-in-field-extension*:

fixes $B::\text{int list}$ **and** $p::\text{int}$

assumes *prime p* **and** $\forall b \in (\text{set } B). \neg p \text{ dvd } b$

shows $\text{cpx-sqrt } p \notin \text{field } B$

$\langle \text{proof} \rangle$

lemma *sqrt-int-smaller*:

fixes $a::\text{int}$ **assumes** $a \geq 0$

shows $\text{sqrt } a \leq a$

$\langle \text{proof} \rangle$

end

```

theory J3-Polynomial
  imports Main Algebra-Basics Polynomials.More-MPoly-Type ../MPoly-Utils/More-More-MPoly-Type
  ../Coding/Utils
  abbrevs  $pA1 = \mathcal{A}_1$ 
    and  $pA2 = \mathcal{A}_2$ 
    and  $pA3 = \mathcal{A}_3$ 
    and  $pX3 = \mathcal{X}_3$ 
begin

```

7.2 The J_3 polynomial

```

locale section5-given
begin

```

```

definition  $x :: \text{int mpoly where } x \equiv \text{Var } 0$ 

```

```

definition  $\mathcal{A}_1 :: \text{int mpoly where } \mathcal{A}_1 \equiv \text{Var } 1$ 

```

```

definition  $\mathcal{A}_2 :: \text{int mpoly where } \mathcal{A}_2 \equiv \text{Var } 2$ 

```

```

definition  $\mathcal{A}_3 :: \text{int mpoly where } \mathcal{A}_3 \equiv \text{Var } 3$ 

```

```

definition  $\mathcal{X}_3 :: \text{int mpoly where } \mathcal{X}_3 \equiv \text{Const } 1 + \mathcal{A}_1^{\wedge} 2 + \mathcal{A}_2^{\wedge} 2 + \mathcal{A}_3^{\wedge} 2$ 

```

```

lemmas defs = x-def \mathcal{A}_1-def \mathcal{A}_2-def \mathcal{A}_3-def \mathcal{X}_3-def

```

Functions on triples

```

fun  $\text{fst3} :: 'a \times 'a \times 'a \Rightarrow 'a$  where  $\text{fst3 } (a, b, c) = a$ 

```

```

fun  $\text{snd3} :: 'a \times 'a \times 'a \Rightarrow 'a$  where  $\text{snd3 } (a, b, c) = b$ 

```

```

fun  $\text{trd3} :: 'a \times 'a \times 'a \Rightarrow 'a$  where  $\text{trd3 } (a, b, c) = c$ 

```

```

fun  $\text{fun3} :: 'a \times 'a \times 'a \Rightarrow \text{nat} \Rightarrow 'a :: \text{zero}$  where

```

```

   $\text{fun3 } (a, b, c) \ k = (\text{if } k=1 \text{ then } a \text{ else } (\text{if } k=2 \text{ then } b \text{ else } (\text{if } k=3 \text{ then } c \text{ else } 0)))$ 

```

```

lemma fun3-1-eq-fst3:  $\text{fun3 } a \ 1 = \text{fst3 } a$  <proof>

```

```

lemma fun3-2-eq-snd3:  $\text{fun3 } a \ 2 = \text{snd3 } a$  <proof>

```

```

lemma fun3-3-eq-trd3:  $\text{fun3 } a \ 3 = \text{trd3 } a$ 
  <proof>

```

```

lemmas fun3-def = fun3-1-eq-fst3 fun3-2-eq-snd3 fun3-3-eq-trd3

```

```

definition  $J3 :: \text{int mpoly where}$ 

```

```

   $J3 = ((x^{\wedge} 2 + \mathcal{A}_1 + \mathcal{A}_2 * \mathcal{X}_3^{\wedge} 2 - \mathcal{A}_3 * \mathcal{X}_3^{\wedge} 4)^{\wedge} 2 + 4 * x^{\wedge} 2 * \mathcal{A}_1 - 4 * x^{\wedge} 2 * \mathcal{A}_2 * \mathcal{X}_3^{\wedge} 2 -$ 
   $4 * \mathcal{A}_1 * \mathcal{A}_2 * \mathcal{X}_3^{\wedge} 2)^{\wedge} 2$ 
   $- \mathcal{A}_1 * ((4 * x * (x^{\wedge} 2 + \mathcal{A}_1 + \mathcal{A}_2 * \mathcal{X}_3^{\wedge} 2 - \mathcal{A}_3 * \mathcal{X}_3^{\wedge} 4) - 8 * x * \mathcal{A}_2 * \mathcal{X}_3^{\wedge} 2))^{\wedge} 2$ 

```

```

definition  $r$  where  $r = \text{MPoly-Type.degree } J3 \ 0$ 

```

```

lemma J3-vars:  $\text{vars } J3 \subseteq \{0, 1, 2, 3\}$ 
  <proof>

```

Key lemma about J3

definition $\mathcal{E} \equiv \{-1, 1::int\} \times \{-1, 1::int\} \times \{-1, 1::int\}$

lemma *J3-fonction-eq-polynomial*:

fixes $f::nat \Rightarrow int$

defines $X \equiv 1 + (f\ 1)^2 + (f\ 2)^2 + (f\ 3)^2$

shows $of-int\ (insertion\ f\ J3) =$

$$\begin{aligned} & (\prod_{\varepsilon \in \mathcal{E}} of-int\ (f\ 0) \\ & + fst3\ \varepsilon * cpx-sqrt(f\ 1) \\ & + snd3\ \varepsilon * cpx-sqrt(f\ 2) * of-int\ X \\ & + trd3\ \varepsilon * cpx-sqrt(f\ 3) * of-int\ (X^2)) \end{aligned}$$

<proof>

lemma *J3-cancels-iff*:

fixes $f::nat \Rightarrow int$

defines $X \equiv 1 + (f\ 1)^2 + (f\ 2)^2 + (f\ 3)^2$

shows $(insertion\ f\ J3 = 0) = (\exists \varepsilon \in \mathcal{E}.$

$$\begin{aligned} & of-int(f\ 0) + of-int\ (fst3\ \varepsilon) * cpx-sqrt(f\ 1) + of-int\ (snd3\ \varepsilon) * cpx-sqrt(f\ 2) * \\ & of-int(X) \\ & + of-int\ (trd3\ \varepsilon) * cpx-sqrt(f\ 3) * of-int\ (X^2) = 0) \end{aligned}$$

<proof>

lemma *J3-zeros-bound*:

fixes $A1\ A2\ A3$

defines $X \equiv 1 + A1^2 + A2^2 + A3^2$

defines $I \equiv X^3$

shows $(\forall x. insertion\ ((\lambda-. 0)(0:=x, 1:=A1, 2:=A2, 3:=A3))\ J3 = 0 \longrightarrow x > -I)$

<proof>

declare *single-numeral[simp del]*

end

end

theory *J3-Relations*

imports *J3-Polynomial ../Coding/Utils*

begin

7.3 Properties of the J_3 polynomial

context *section5-given*

begin

Helper lemmas

lemma *cpx-sqrt-of-square*:

$cpx-sqrt\ (k^2) = of-int\ (abs\ k)$

<proof>

lemma *sqrt-is-int-iff-square*:

$(\exists k::int. \text{cpx-sqrt } n = \text{of-int } k) \longleftrightarrow (\exists a. n = a^2)$
<proof>

abbreviation *divd* (**infixl** *divd* 70) **where** (*divd*) \equiv *Rings.divide-class.divide*

lemma *square-odd-mult-prime*:

assumes $b \geq 0$
shows $\neg \text{is-square } b \implies \exists p. \text{prime } p \wedge \text{odd}(\text{multiplicity } p \ b)$
<proof>

lemma *square-even-multiplicity*: $\text{prime } p \wedge \text{is-square } a \implies \text{even}(\text{multiplicity } p \ a)$

<proof>

J3 correctly encodes the three squares

lemma *J3-encodes-three-squares*:

fixes $a1::int$ **and** $a2::int$ **and** $a3::int$
defines $f \equiv (\lambda y. (\lambda-. 0)(0:=y, 1:=a1, 2:=a2, 3:=a3))$
shows $(\text{is-square } a1 \wedge \text{is-square } a2 \wedge \text{is-square } a3) \longleftrightarrow (\exists y::int. \text{insertion } (f \ y) \ J3 = 0)$
<proof>

end

end

theory *Pi-Relations*

imports *J3-Relations*

begin

7.4 The Π polynomial

lemma *finite-when*: $\text{finite } \{x. (f \ x \ \text{when } x = c) \neq 0\}$
<proof>

locale *section5-variables*

begin

definition $\mathcal{S} :: int \ \text{mpoly}$ **where** $\mathcal{S} \equiv \text{Var } 4$

definition $\mathcal{T} :: int \ \text{mpoly}$ **where** $\mathcal{T} \equiv \text{Var } 5$

definition $\mathcal{R} :: int \ \text{mpoly}$ **where** $\mathcal{R} \equiv \text{Var } 6$

definition $\mathcal{I} :: int \ \text{mpoly}$ **where** $\mathcal{I} \equiv \text{Var } 7$

definition $\mathcal{Y} :: int \ \text{mpoly}$ **where** $\mathcal{Y} \equiv \text{Var } 8$

definition $\mathfrak{J} :: int \ \text{mpoly}$ **where** $\mathfrak{J} \equiv \text{Var } 9$

definition $\mathcal{J} :: int \ \text{mpoly}$ **where** $\mathcal{J} \equiv \text{Var } 10$

definition $n :: int \ \text{mpoly}$ **where** $n \equiv \text{Var } 11$

definition $U :: int \ \text{mpoly}$ **where** $U = \mathcal{S}^2 * (2*\mathcal{R}-1)$

definition $V :: int \ \text{mpoly}$ **where** $V = \mathcal{T}^2 + \mathcal{S}^2 * n$

lemmas *defs* = \mathcal{S} -def \mathcal{T} -def \mathcal{R} -def \mathcal{I} -def \mathcal{Y} -def \mathfrak{Z} -def \mathcal{J} -def n -def \mathcal{U} -def \mathcal{V} -def

end

locale *pi-relations* = *section5-variables* +

fixes $\mathcal{F} :: \text{int mpoly}$

and $v :: \text{nat}$

assumes *F-monom-over-v: vars* $\mathcal{F} \subseteq \{v\}$

and *F-one: coeff* \mathcal{F} (*Abs-poly-mapping* ($\lambda k. (\text{degree } \mathcal{F} \ v \ \text{when } k = v)$)) = 1

begin

definition *coeff-F* **where**

coeff-F $d = \text{coeff } \mathcal{F}$ (*Abs-poly-mapping* ($\lambda k. (d \ \text{when } k = v)$))

definition $q :: \text{nat}$ **where**

$q = \text{degree } \mathcal{F} \ v$

definition $G :: \text{int mpoly}$ **where**

$G = (\sum_{i=0..q} \text{of-int } (\text{coeff-F } i) * (\mathcal{Y} - \mathcal{I} - \mathcal{T}^2)^i)$

definition *G-sub* $:: \text{nat} \Rightarrow \text{int mpoly}$ **where**

G-sub $j = (\sum_{i=j..q} \text{of-int } (\text{coeff-F } i) * \text{of-nat } (i \ \text{choose } j) * (-\mathcal{I} - \mathcal{T}^2)^{(i-j)})$

definition $H :: \text{int mpoly}$ **where**

$H = (\sum_{j=0..q} \text{G-sub } j * \mathfrak{Z}^j * \mathcal{J}^{(q-j)})$

definition $\Pi :: \text{int mpoly}$ **where**

$\Pi = (\sum_{j=0..q} \text{G-sub } j * (\mathcal{S}^{2*n} + \mathcal{T}^2)^j * (\mathcal{S}^{2*(2*R-1)})^{(q-j)})$

lemma *eval-F*:

insertion $f \ \mathcal{F} = (\sum_{d=0..q} \text{coeff-F } d * (f \ v^d))$

<proof>

lemma *triangular-sum*: $(\sum_{k=0..(n::\text{nat})} (\sum_{j=0..k} f \ j \ k)) = (\sum_{j=0..(n::\text{nat})} (\sum_{k=j..n} f \ j \ k))$

<proof>

lemma *G-expr*:

$G = (\sum_{j=0..q} \mathcal{Y}^j * (\sum_{i=j..q} \text{of-int } (\text{coeff-F } i) * \text{of-nat } (i \ \text{choose } j) * (-\mathcal{I} - \mathcal{T}^2)^{(i-j)}))$

<proof>

lemma *G-in-Y*: $G = (\sum_{j=0..q} \mathcal{Y}^j * \text{G-sub } j)$

<proof>

lemma *Gq-eq-1*: $\text{G-sub } q = 1$

<proof>

lemma *lemma-J-div-Z* :

$(\sum_{j'=0..q} \text{insertion } f \ (\text{G-sub } j') * z^{j'} * j'^{(q-j')}) = 0 \implies j \ \text{dvd } z \ \text{for } f \ z \ j$

<proof>

lemma *lemma-pos*:

assumes $(\sum_{j'=0..q} \text{insertion } f (G\text{-sub } j') * z^{j'} * j^{(q-j')}) = 0$
 $j \neq 0 \implies z = z' * j$

shows $\text{insertion } (\lambda-. z' - f \ 7 - (f \ 5)^{\wedge} 2) \ \mathcal{F} = 0$

<proof>

lemma *II-encodes-correctly*:

fixes $S \ T \ R \ I :: \text{int}$

assumes $S \neq 0$

$(\forall x. \text{insertion } (\lambda-. x) \ \mathcal{F} = 0 \implies x > -I)$

and $S \ \text{dvd} \ T$

$R > 0$

$\text{insertion } (\lambda-. y) \ \mathcal{F} = 0$

defines $\varphi \ n \equiv (\lambda-. 0)(4:=S, 5:=T, 6:=R, 7:=I, 11:=\text{int } n)$

shows $\exists n :: \text{nat}. \text{insertion } (\varphi \ n) \ \Pi = 0 \wedge n = (2 * R - 1) * (y + I + T^{\wedge} 2) - (T \ \text{div} \ S)^{\wedge} 2$

<proof>

lemma *II-encodes-correctly-2*:

fixes $S \ T \ R \ I :: \text{int}$

assumes $S \neq 0$

$(\forall x. \text{insertion } (\lambda-. x) \ \mathcal{F} = 0 \implies x > -I)$

defines $\varphi \ n \equiv (\lambda-. 0)(4:=S, 5:=T, 6:=R, 7:=I, 11:=\text{int } n)$

assumes $\exists n :: \text{nat}. \text{insertion } (\varphi \ n) \ \Pi = 0$

shows $S \ \text{dvd} \ T \wedge R > 0 \wedge (\exists x :: \text{int}. (\text{insertion } (\lambda-. x) \ \mathcal{F}) = 0)$

<proof>

end

end

theory *M3-Polynomial*

imports *Pi-Relations Polynomials.MPoly-Type-Univariate*

../MPoly-Utils/Poly-Extract ../MPoly-Utils/Poly-Degree

begin

7.5 The Matiyasevich-Robinson-Polynomial

For any appropriately typed function fn , we introduce the syntax $\text{fn } \pi \equiv \text{fn } A1 \ A2 \ A3 \ S \ T \ R \ n, \text{ as well as } (\lambda\pi. e) \equiv (\lambda A1 \ A2 \ A3 \ S \ T \ R \ n. e)$.

syntax $\text{pi} :: (\text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}) \Rightarrow \text{int} \ (- \ \pi \ [1000] \ 1000)$

syntax $\text{pi-fn} :: (\text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}) \Rightarrow \text{int} \ (\lambda\pi. - \ [0] \ 0)$

<ML>

locale *section5* = *section5-given* + *section5-variables*

begin

definition $X_0 :: int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int$ **where**

$$X_0 \pi = 1 + A_1^2 + A_2^2 + A_3^2$$

definition $I_0 :: int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int$ **where**

$$I_0 \pi = (X_0 \pi)^3$$

definition $U_0 :: int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int$ **where**

$$U_0 \pi = S^2 * (2 * R - 1)$$

definition $V_0 :: int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int$ **where**

$$V_0 \pi = S^2 * n + T^2$$

poly-extract X_0

poly-extract I_0

poly-extract U_0

poly-extract V_0

definition $M3 :: int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int$ **where**

$$M3 A_1 A_2 A_3 S T R n =$$

$$\begin{aligned} & (\\ & \quad ((\\ & \quad \quad (\\ & \quad \quad \quad ((V_0 \pi) - (U_0 \pi) * (I_0 \pi) - (U_0 \pi) * T^2)^2 \\ & \quad \quad \quad + (U_0 \pi)^2 * A_1 \\ & \quad \quad \quad + (U_0 \pi)^2 * A_2 * (X_0 \pi)^2 \\ & \quad \quad \quad - (U_0 \pi)^2 * A_3 * (X_0 \pi)^4 \\ & \quad \quad \quad) \\ & \quad \quad)^2 \\ & \quad + 4 * (U_0 \pi)^2 * (V_0 \pi - U_0 \pi * I_0 \pi - U_0 \pi * T^2)^2 * A_1 \\ & \quad - 4 * (U_0 \pi)^2 * (V_0 \pi - U_0 \pi * I_0 \pi - U_0 \pi * T^2)^2 * A_2 * (X_0 \\ \pi)^2 \\ & \quad - 4 * (U_0 \pi)^4 * A_1 * A_2 * (X_0 \pi)^2 \\ & \quad)^2) \\ & - A_1 * (U_0 \pi * (\\ & \quad 4 \\ & \quad * (V_0 \pi - U_0 \pi * I_0 \pi - U_0 \pi * T^2) \\ & \quad * (\\ & \quad \quad ((V_0 \pi - U_0 \pi * I_0 \pi - U_0 \pi * T^2))^2 \\ & \quad \quad + (U_0 \pi)^2 * A_1 \\ & \quad \quad + (U_0 \pi)^2 * A_2 * (X_0 \pi)^2 \\ & \quad \quad - (U_0 \pi)^2 * A_3 * (X_0 \pi)^4 \\ & \quad \quad) \\ & \quad - 8 \\ & \quad * (U_0 \pi)^2 \\ & \quad * (V_0 \pi - U_0 \pi * I_0 \pi - U_0 \pi * T^2) \\ & \quad * A_2 \\ & \quad * (X_0 \pi)^2 \\ & \quad))^2 \end{aligned}$$

)

poly-extract $M3$

end

end

theory *Pi-to-M3-rat*

imports *Pi-Relations J3-Relations M3-Polynomial*

begin

7.6 Relation between M_3 and Π

$\langle ML \rangle$

locale *matiyasevich-polynomial = section5*

begin

type-synonym $\tau = \text{real}$

definition $x' :: \tau \text{ mpoly}$ **where** $x' \equiv \text{of-int-mpoly } x$

definition $A_1' :: \tau \text{ mpoly}$ **where** $A_1' \equiv \text{of-int-mpoly } A_1$

definition $A_2' :: \tau \text{ mpoly}$ **where** $A_2' \equiv \text{of-int-mpoly } A_2$

definition $A_3' :: \tau \text{ mpoly}$ **where** $A_3' \equiv \text{of-int-mpoly } A_3$

definition $\mathcal{X}_3' :: \tau \text{ mpoly}$ **where** $\mathcal{X}_3' \equiv \text{of-int-mpoly } \mathcal{X}_3$

definition $S' :: \tau \text{ mpoly}$ **where** $S' \equiv \text{of-int-mpoly } S$

definition $\mathcal{T}' :: \tau \text{ mpoly}$ **where** $\mathcal{T}' \equiv \text{of-int-mpoly } \mathcal{T}$

definition $\mathcal{R}' :: \tau \text{ mpoly}$ **where** $\mathcal{R}' \equiv \text{of-int-mpoly } \mathcal{R}$

definition $\mathcal{I}' :: \tau \text{ mpoly}$ **where** $\mathcal{I}' \equiv \text{of-int-mpoly } \mathcal{I}$

definition $\mathcal{Y}' :: \tau \text{ mpoly}$ **where** $\mathcal{Y}' \equiv \text{of-int-mpoly } \mathcal{Y}$

definition $\mathcal{Z}' :: \tau \text{ mpoly}$ **where** $\mathcal{Z}' \equiv \text{of-int-mpoly } \mathcal{Z}$

definition $\mathcal{J}' :: \tau \text{ mpoly}$ **where** $\mathcal{J}' \equiv \text{of-int-mpoly } \mathcal{J}$

definition $n' :: \tau \text{ mpoly}$ **where** $n' \equiv \text{of-int-mpoly } n$

definition $\mathcal{U}' :: \tau \text{ mpoly}$ **where** $\mathcal{U}' = \text{of-int-mpoly } \mathcal{U}$

definition $\mathcal{V}' :: \tau \text{ mpoly}$ **where** $\mathcal{V}' = \text{of-int-mpoly } \mathcal{V}$

definition $J3' :: \tau \text{ mpoly}$ **where** $J3' = \text{of-int-mpoly } J3$

definition $\psi' :: \text{nat} \Rightarrow \tau \text{ mpoly}$ **where** $\psi' = \text{of-int-mpoly} \circ ((\lambda -. 0)(0 := \mathcal{T}^{\wedge 2} + \mathcal{S}^{\wedge 2} * n - \mathcal{T}^{\wedge 2} * \mathcal{U} - \mathcal{X}_3^{\wedge 3} * \mathcal{U}, 1 := \mathcal{U}^{\wedge 2} * A_1, 2 := \mathcal{U}^{\wedge 2} * A_2, 3 := \mathcal{U}^{\wedge 2} * A_3))$

definition $M3\text{-poly}' :: \tau \text{ mpoly}$ **where** $M3\text{-poly}' \equiv \text{of-int-mpoly } M3\text{-poly}$

lemma *Pi-equals-M3-rationals:*

fixes $A_1 A_2 A_3 R S T n :: \text{int}$

defines $X \equiv X_0 \pi$

defines $I \equiv I_0 \pi$

```

defines  $U \equiv U_0 \pi$ 
defines  $V \equiv V_0 \pi$ 

defines  $\varphi \equiv (\lambda-. 0)(0 := \text{Var } 0, 1 := \text{Const } A_1, 2 := \text{Const } A_2, 3 := \text{Const } A_3)$ 

defines  $\varphi' \equiv \text{of-int-mpoly} \circ \varphi$ 
defines  $\mathcal{F} \equiv \text{poly-subst } \varphi J3$ 
defines  $\mathcal{F}' \equiv \text{of-int-mpoly } \mathcal{F} :: \tau \text{ mpoly}$ 
defines  $q \equiv \text{MPoly-Type.degree } \mathcal{F} 0$ 
defines  $\Pi \equiv \text{pi-relations.}\Pi \mathcal{F} 0$ 

defines  $G\text{-sub} \equiv \text{pi-relations.}G\text{-sub } \mathcal{F} 0$ 

defines  $G\text{-sub}' \equiv \text{of-int-mpoly} \circ (\text{pi-relations.}G\text{-sub } \mathcal{F} 0)$ 

defines  $\xi \equiv ((\lambda-. 0)(4 := S, 5 := T, 6 := R, 7 := X^3, 11 := n))$ 

defines  $\xi' \equiv \text{of-int} \circ \xi$ 

assumes  $U \neq 0$ 
assumes  $q = 8$ 
assumes  $F\text{-monom-over-0: vars } \mathcal{F} \subseteq \{0\}$ 
and  $F\text{-one-0: MPoly-Type.coeff } \mathcal{F} (\text{Abs-poly-mapping } (\lambda k. (\text{MPoly-Type.degree } \mathcal{F} 0 \text{ when } k = 0))) = 1$ 

shows  $\text{insertion } \xi \Pi = M3 \pi$ 
 $\langle \text{proof} \rangle$ 

end

end
theory Matiyasevich-Polynomial
imports M3-Polynomial Digit-Expansions.Binary-Operations Pi-to-M3-rat
begin

```

7.7 The key property of M_3

```

context matiyasevich-polynomial
begin

```

lemma *relation-combining'*:

```

fixes  $R S T A_1 A_2 A_3 :: \text{int}$ 
assumes  $S \neq 0$ 

```

```

defines  $\gamma' \equiv \lambda(n :: \text{int}) \text{fn. fn } A_1 A_2 A_3 S T R n :: \text{int}$ 

```

```

shows  $(S \text{ dvd } T \wedge R > 0 \wedge \text{is-square } A_1 \wedge \text{is-square } A_2 \wedge \text{is-square } A_3)$ 
 $= (\exists n. n \geq 0 \wedge (\gamma' n) M3 = 0) \text{ (is ?LHS = ?RHS)}$ 

```

<proof>

lemma *relation-combining*:

assumes $S \neq 0$

shows $(S \text{ dvd } T \wedge R > 0 \wedge \text{is-square } A_1 \wedge \text{is-square } A_2 \wedge \text{is-square } A_3)$
 $= (\exists n \geq 0. M \exists A_1 A_2 A_3 S T R n = 0)$

<proof>

end

end

theory *Nine-Unknowns-N-Z-Definitions*

imports *../Coding-Theorem/Coding-Theorem-Definitions ../Bridge-Theorem/Bridge-Theorem-Imp*
M3-Polynomial ../Coding/Suitable-For-Coding ../MPoly-Utils/Poly-Degree
HOL-Eisbach.Eisbach

begin

8 Nine Unknowns over \mathbb{N} and \mathbb{Z}

8.1 Combining all previous constructions

For any appropriately typed function F , we introduce the syntax $fn \tau \equiv fn \ a \ f \ g \ h \ k \ l \ w \ x \ y \ n,$ as well as $(\lambda\tau. e) \equiv (\lambda f \ a \ f \ g \ h \ k \ l \ w \ x \ y \ n. e).$

syntax $tau :: (int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int) \Rightarrow int \ (- \ \tau \ [1000] \ 1000)$

syntax $tau-fn :: (int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int) \Rightarrow int \ (\lambda\tau. \ - \ [0] \ 0)$

<ML>

locale *combined-variables* =

fixes $P :: int \ mpoly$

begin

Copy of the polynomials from Theorem I

definition $P_1 :: int \ mpoly$ **where**

$P_1 \equiv \text{suitable-for-coding } P$

abbreviation $\delta \equiv \text{coding-variables}.\delta \ P_1$

abbreviation $\nu \equiv \text{coding-variables}.\nu \ P_1$

definition $b :: int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int$

where $b \ \tau = \text{coding-variables}.\delta \ a \ f$

definition $X :: int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int$

where $X \ \tau = \text{coding-variables}.\nu \ P_1 \ a \ f \ g$

definition $Y :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$

where $Y \tau = \text{coding-variables}.Y P_1 a f$

poly-extract b

definition $Y\text{-poly} :: \text{int mpoly}$ **where**

$Y\text{-poly} \equiv \text{coding-variables}.Y\text{-poly} P_1$

lemma $Y\text{-correct}$: $\text{insertion } f Y\text{-poly} = Y (f 0) (f 1) (f 2) (f 3) (f 4) (f 5) (f 6)$
 $(f 7) (f 8) (f 9)$

$\langle \text{proof} \rangle$

definition $X\text{-poly} :: \text{int mpoly}$ **where**

$X\text{-poly} \equiv \text{coding-variables}.X\text{-poly} P_1$

lemma $X\text{-correct}$: $\text{insertion } f X\text{-poly} = X (f 0) (f 1) (f 2) (f 3) (f 4) (f 5) (f 6)$
 $(f 7) (f 8) (f 9)$

$\langle \text{proof} \rangle$

lemma $\delta\text{-gt}0$: $\delta > 0$

$\langle \text{proof} \rangle$

Polynomials from Theorem II

definition $L :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$

where $L \tau = \text{bridge-variables}.L l (Y \tau)$

definition $U :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$

where $U \tau = \text{bridge-variables}.U l (X \tau) (Y \tau)$

definition $V :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$

where $V \tau = \text{bridge-variables}.V w g (Y \tau)$

definition $A :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$

where $A \tau = \text{bridge-variables}.A l w g (Y \tau) (X \tau)$

definition $C :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$

where $C \tau = \text{bridge-variables}.C l w h g (Y \tau) (X \tau)$

definition $D :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$

where $D \tau = \text{bridge-variables}.D l w h g (Y \tau) (X \tau)$

definition $F :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$

where $F \tau = \text{bridge-variables}.F l w h x g (Y \tau) (X \tau)$

definition $I :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$

$\Rightarrow int$
where $I \tau = \text{bridge-variables}.I l w h x y g (Y \tau) (X \tau)$
definition $W :: int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int$
 $\Rightarrow int$
where $W \tau = \text{bridge-variables}.W w (\text{coding-variables}.b a f)$
definition $K :: int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int$
 $\Rightarrow int$
where $K \tau = \text{bridge-variables}.K k l w g (Y \tau) (X \tau)$

poly-extract L
poly-extract U
poly-extract V
poly-extract A
poly-extract C
poly-extract D
poly-extract F
poly-extract I
poly-extract W
poly-extract K

Variables in the proof of Theorem III

definition $M3 :: int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int$
where $M3 A_1 A_2 A_3 S T Q' m = \text{insertion-list } [A_1, A_2, A_3, S, T, Q', m]$
 section5.M3-poly

poly-extract $M3$

lemma $\text{max-vars-M3: max-vars } M3\text{-poly} \leq 6$
 $\langle \text{proof} \rangle$

definition $\mu :: int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int$
 $\Rightarrow int$
where $\mu \tau = (\text{coding-variables}.\gamma P_1) * (b \tau) \wedge (\text{coding-variables}.\alpha P_1)$

poly-extract μ

definition $A_1 :: int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int$
 $\Rightarrow int$

where $A_1 \tau = b \tau$

definition $A_2 :: int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int$
 $\Rightarrow int$

where $A_2 \tau = (D \tau) * (F \tau) * (I \tau)$

definition $A_3 :: int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int$
 $\Rightarrow int$

where $A_3 \tau = ((U \tau) \wedge 4 * (V \tau)^2 - 4) * (K \tau)^2 + 4$

definition $S :: int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int \Rightarrow int$
 $\Rightarrow int$

where $S \tau = \text{bridge-variables.S l w g } (Y \tau) (X \tau)$
definition $T :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$
where $T \tau = \text{bridge-variables.T l w h g } (Y \tau) (X \tau) (b \tau)$
definition $R :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$
where $R \tau = f^2 * l^2 * x^2 * (\delta * (\mu \tau) \wedge 3 * g * (K \tau)^2 - g^2 * (32 * ((C \tau) - (K \tau) * (L \tau)) \wedge 2 * (\mu \tau) \wedge 3 + g^2 * (K \tau)^2))$
definition $m :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$
where $m \tau = n$

poly-extract A_1
poly-extract A_2
poly-extract A_3
poly-extract S
poly-extract T
poly-extract R
poly-extract m

definition $\sigma :: (\text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}) \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$
where $(\sigma \text{ fn}) \tau = \text{fn } (A_1 \tau) (A_2 \tau) (A_3 \tau) (S \tau) (T \tau) (R \tau) (m \tau)$

definition $Q :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$
where $Q \tau = M3 (A_1 \tau) (A_2 \tau) (A_3 \tau) (S \tau) (T \tau) (R \tau) (m \tau)$

lemma *M-poly-degree-correct: total-degree (coding-variables.M-poly P_1) $\leq (1 + (\delta + 1) \wedge \nu) * 2 * \delta$*
<proof>

lemma *D-poly-degree-correct: total-degree (coding-variables.D-poly P_1) $\leq (\delta + 1) \wedge (\nu + 1) * (2 * \delta)$*
<proof>

lemma *K-poly-degree-correct: total-degree (coding-variables.K-poly P_1) $\leq \max (\delta * (1 + 2 * \delta) + (\delta + 1) \wedge (\nu + 1) * 2 * \delta) ((1 + (2 * \delta + 1) * (\delta + 1) \wedge \nu) * 2 * \delta)$*
<proof>

poly-degree *X-poly*
poly-degree *Y-poly*

lemma *X-poly-degree-alt: X-poly-degree = $12 * \delta + 12 * \delta * \text{Suc } \delta \wedge \nu + 12 * \delta^2 * \text{Suc } \delta \wedge \nu$*
if $\delta > 0$

<proof>

poly-degree *A₁-poly*

poly-degree *A₂-poly*

poly-degree *A₃-poly*

poly-degree *S-poly*

poly-degree *T-poly*

poly-degree *R-poly*

lemma *A₁-vars: max-vars A₁-poly ≤ 8*

<proof>

lemma *h0: max-vars (4::int mpoly) ≤ 8*

<proof>

lemma *h1: max-vars (8::int mpoly) ≤ 8*

<proof>

lemma *h2: max-vars (32::int mpoly) ≤ 8*

<proof>

lemma *A₂-vars: max-vars A₂-poly ≤ 8*

<proof>

lemma *A₃-vars: max-vars A₃-poly ≤ 8*

<proof>

lemma *S-vars: max-vars S-poly ≤ 8*

<proof>

lemma *T-vars: max-vars T-poly ≤ 8*

<proof>

lemma *K-vars: max-vars K-poly ≤ 8*

<proof>

lemma *L-vars: max-vars L-poly ≤ 8*

<proof>

lemma *C-vars: max-vars C-poly ≤ 8*

<proof>

lemma *μ-vars:*

max-vars (poly-subst-list [Var 0, Var (Suc 0), Var 2, Var 3, Var 4, Var 5, Var 6, Var 7, Var 8, Var 9] μ-poly) ≤ 8

<proof>

lemma *R-vars: max-vars R-poly ≤ 8*

<proof>

lemma *list-vars*: $i \leq 8 \implies \text{max-vars}$

([Var 0::int mpoly, Var (Suc 0), Var (Suc (Suc 0)), Var (Suc (Suc (Suc 0))),
 Var (Suc (Suc (Suc (Suc 0)))), Var (Suc (Suc (Suc (Suc (Suc 0))))),
 Var (Suc (Suc (Suc (Suc (Suc (Suc 0)))))),
 Var (Suc (Suc (Suc (Suc (Suc (Suc (Suc 0)))))),
 Var (Suc (Suc (Suc (Suc (Suc (Suc (Suc (Suc 0)))))))] !₀
 $\stackrel{i}{\leq} 8$
 ⟨proof⟩

lemmas *aux-vars* = $A_1\text{-vars } A_2\text{-vars } A_3\text{-vars } S\text{-vars } T\text{-vars } R\text{-vars}$

lemma *total-degree-three-squares-rw*:

fixes *Pextra* :: 'a::comm-semiring-1 mpoly

shows $ia \leq 8 \implies$

total-degree-env env
 ([Var 0, Var (Suc 0), Var (Suc (Suc 0)),
 Var (Suc (Suc (Suc 0))), Var (Suc (Suc (Suc (Suc 0)))),
 Var (Suc (Suc (Suc (Suc (Suc 0))))),
 Var (Suc (Suc (Suc (Suc (Suc (Suc 0)))))),
 Var (Suc (Suc (Suc (Suc (Suc (Suc (Suc 0)))))),
 Var (Suc (Suc (Suc (Suc (Suc (Suc (Suc (Suc 0)))))))] !₀
Pextra] !₀
 $\stackrel{ia}{=}$ *total-degree-env env*
 ([Var 0 :: 'a mpoly , Var (Suc 0), Var (Suc (Suc 0)), Var (Suc (Suc (Suc 0))),
 Var (Suc (Suc (Suc (Suc 0))), Var (Suc (Suc (Suc (Suc (Suc 0))))),
 Var (Suc (Suc (Suc (Suc (Suc (Suc 0)))))),
 Var (Suc (Suc (Suc (Suc (Suc (Suc (Suc 0)))))))] !₀
 $\stackrel{ia}{\langle \text{proof} \rangle}$

lemma *final*: $\bigwedge ia. ia \leq 8 \implies$

total-degree-env ($\lambda-. \text{Suc } 0$)
 ([Var 0::int mpoly, Var (Suc 0), Var (Suc (Suc 0)), Var (Suc (Suc (Suc 0))),
 Var (Suc (Suc (Suc (Suc 0))), Var (Suc (Suc (Suc (Suc (Suc 0))))),
 Var (Suc (Suc (Suc (Suc (Suc (Suc 0)))))),
 Var (Suc (Suc (Suc (Suc (Suc (Suc (Suc 0)))))),
 Var (Suc (Suc (Suc (Suc (Suc (Suc (Suc (Suc 0)))))))] !₀


```

+
  (Var (Suc (Suc (Suc (Suc (Suc (Suc (Suc (Suc (Suc 0)))))))))) *
  (Var (Suc (Suc (Suc (Suc (Suc (Suc (Suc (Suc (Suc 0)))))))))) +
  (Var (Suc (Suc (Suc (Suc (Suc (Suc (Suc (Suc (Suc 0)))))))))) *
  (Var (Suc (Suc (Suc (Suc (Suc (Suc (Suc (Suc (Suc 0)))))))))) +
  (Var (Suc (Suc (Suc (Suc (Suc (Suc (Suc (Suc (Suc 0)))))))))) *
  (Var (Suc (Suc (Suc (Suc (Suc (Suc (Suc (Suc (Suc 0)))))))))) !0
  (ia)
  ≤ Suc 0
  ⟨proof⟩

```

poly-extract Q

lemma Q -alt: $Q = \sigma M3$
 ⟨proof⟩

lemma R -gt-0-consequences:
 fixes $a :: nat$ and $f g h k l w n :: int$
 assumes $R \tau > 0$ and $b \tau \geq 0$ and $f > 0$
 shows $g \geq 1$ and $g < 2 * \mu \tau$ and $K \tau \neq 0$
 and $bridge\text{-variables}.d2f k l w h g (Y \tau) (X \tau)$
 ⟨proof⟩

lemma R -gt-0-necessary-condition:
 fixes $a :: nat$ and $f g h k l w x y :: int$
 assumes $f > 0$ and $x > 0$ and $l > 0$ and $g > 0$ and $g < \mu \tau$ and
 $bridge\text{-variables}.d2e k l w h g (Y \tau) (X \tau)$
 shows $R \tau > 0$
 ⟨proof⟩

end

end

theory $Nine$ -Unknowns- N - Z
 imports ../Coding-Theorem/Coding-Theorem ../Bridge-Theorem/Bridge-Theorem
 ../Coding-Theorem/Lemma-2-2 ../Coding-Theorem/Lower-Bounds
 Nine-Unknowns- N - Z -Definitions Matiyasevich-Polynomial

begin

8.2 Proof of the Nine Unknowns Theorem

theorem $theorem$ -III-new-statement:
 fixes $A :: nat$ set
 and P
 defines $\varphi a z_1 z_2 z_3 z_4 z_5 z_6 z_7 z_8 z_9 \equiv \lambda fn. fn (int a) z_1 z_2 z_3 z_4 z_5 z_6 z_7 z_8$

z_9
assumes *is-diophantine-over-N-with A P*
shows $a \in A = (\exists z_1 z_2 z_3 z_4 z_5 z_6 z_7 z_8 z_9. z_9 \geq 0 \wedge$
 $\varphi a z_1 z_2 z_3 z_4 z_5 z_6 z_7 z_8 z_9 \text{ (combined-variables.Q P)} = 0)$
(is - = ?Pa-zero)
 $\langle \text{proof} \rangle$

theorem *theorem-III:*
fixes $A :: \text{nat set}$ **and** $P :: \text{int mpoly}$
assumes *is-diophantine-over-N-with A P*
shows $a \in A = (\exists f g h k l w x y n. n \geq 0 \wedge$
 $\text{insertion } (!) [\text{int } a, f, g, h, k, l, w, x, y, n])$
 $\text{(combined-variables.Q-poly P)} = 0)$
 $\langle \text{proof} \rangle$

theorem *nine-unknowns-over-Z-and-N:*
fixes $P :: \text{int mpoly}$
shows $(\exists z :: \text{nat} \Rightarrow \text{int. is-nonnegative } z \wedge$
 $\text{insertion } (z(0 := \text{int } a)) P = 0)$
 $= (\exists f g h k l w x y n. n \geq 0 \wedge$
 $\text{insertion } (!) [\text{int } a, f, g, h, k, l, w, x, y, n])$
 $\text{(combined-variables.Q-poly P)} = 0)$
 $\langle \text{proof} \rangle$

end
theory *Eleven-Unknowns-Z*
imports *Nine-Unknowns-N-Z / Nine-Unknowns-N-Z Three-Squares.Three-Squares*
begin

9 Eleven Unknowns over \mathbb{Z}

context
fixes $P :: \text{int mpoly}$
begin

definition $Q\text{-tilde} :: \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow$
 $\text{int} \Rightarrow \text{int} \Rightarrow \text{int} \Rightarrow \text{int}$
where $Q\text{-tilde } a z_1 z_2 z_3 z_4 z_5 z_6 z_7 z_8 z_9 z_{10} z_{11} =$
 $\text{(combined-variables.Q P)} a z_1 z_2 z_3 z_4 z_5 z_6 z_7 z_8 (z_9^2 + z_{10}^2 + z_{11}^2 +$
 $z_{11})$

poly-extract $Q\text{-tilde}$
poly-degree $Q\text{-tilde-poly} \mid \text{combined-variables.aux-vars combined-variables.final}$
 $\text{combined-variables.list-vars}$

lemma $Q\text{-tilde-degree-in-X-Y:$
 $Q\text{-tilde-poly-degree} = 8352 * \text{combined-variables.Y-poly-degree } P$
 $+ (15568 + (4176 * \text{combined-variables.X-poly-degree } P$

+ 48 * coding-variables.alpha (combined-variables.P₁ P)))
 ⟨proof⟩

definition delta-P-suitable (δ_s) **where**
 delta-P-suitable ≡ total-degree (suitable-for-coding P)

definition nu-P-suitable (ν_s) **where**
 nu-P-suitable ≡ max-vars (suitable-for-coding P)

definition eta_s **where**
 eta_s ≡ 15616 + 116928 * δ_s + 116976 * δ_s * Suc δ_s ^ ν_s + 116928 * δ_s ^ 2 *
 Suc δ_s ^ ν_s

lemma Q-tilde-degree-eta_s: Q-tilde-poly-degree = eta_s
 ⟨proof⟩

definition η **where**
 η ν δ ≡ 15616 + 233856 * δ + 233952 * δ * (2 * δ + 1) ^ (ν + 1)
 + 467712 * δ ^ 2 * (2 * δ + 1) ^ (ν + 1)

lemma Q-tilde-degree:
 assumes 0 < total-degree P
 assumes total-degree P ≤ δ
 assumes max-vars P ≤ ν
 shows Q-tilde-poly-degree ≤ η ν δ
 ⟨proof⟩

lemma max-vars-Q-tilde: max-vars Q-tilde-poly ≤ 11
 ⟨proof⟩

lemma eleven-unknowns-over-Z:
 fixes A :: nat set
 assumes is-diophantine-over-N-with A P
 shows a ∈ A = (∃ z₁ z₂ z₃ z₄ z₅ z₆ z₇ z₈ z₉ z₁₀ z₁₁.
 Q-tilde (int a) z₁ z₂ z₃ z₄ z₅ z₆ z₇ z₈ z₉ z₁₀ z₁₁ = 0)
 ⟨proof⟩

end

lemma eleven-unknowns-over-Z-polynomial:
 fixes A :: nat set **and** P :: int mpoly
 assumes is-diophantine-over-N-with A P
 shows a ∈ A = (∃ z₁ z₂ z₃ z₄ z₅ z₆ z₇ z₈ z₉ z₁₀ z₁₁.
 insertion (!) [int a, z₁, z₂, z₃, z₄, z₅, z₆, z₇, z₈, z₉, z₁₀, z₁₁])
 (Q-tilde-poly P) = 0)
 ⟨proof⟩

end

theory *Universal-Pairs*
imports *Eleven-Unknowns-Z*
begin

definition *universal-pair-N* ('(-, -)_N [1000]) **where**
universal-pair-N ν $\delta \equiv (\forall A::\text{nat set. is-diophantine-over-N } A \longrightarrow$
 $(\exists P::\text{int mpoly. max-vars } P \leq \nu \wedge \text{total-degree } P \leq \delta \wedge$
 $\text{is-diophantine-over-N-with } A \ P))$

definition *universal-pair-Z* ('(-, -)_Z [1000]) **where**
universal-pair-Z ν $\delta \equiv (\forall A::\text{nat set. is-diophantine-over-N } A \longrightarrow$
 $(\exists P::\text{int mpoly. max-vars } P \leq \nu \wedge \text{total-degree } P \leq \delta \wedge$
 $\text{is-diophantine-over-Z-with } A \ P))$

theorem *universal-pairs-Z-from-N*:
assumes $(\nu, \delta)_N$
shows $(11, \eta \ \nu \ \delta)_Z$
 $\langle \text{proof} \rangle$

theorem *universal-pair-1*:
assumes $(58, 4)_N$
shows $(11, 1681043235226619916301182624511918527834137733707408448335539840)_Z$
 $\langle \text{proof} \rangle$

theorem *universal-pair-2*:
assumes $(32, 12)_N$
shows $(11, 950817549694171759711025515571236610412597656252821888)_Z$
 $\langle \text{proof} \rangle$

end

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