

Deriving class instances for datatypes.*

Christian Sternagel and René Thiemann

August 16, 2018

Abstract

We provide a framework for registering automatic methods to derive class instances of datatypes, as it is possible using Haskell’s “deriving Ord, Show, . . .” feature.

We further implemented such automatic methods to derive comparators, linear orders, parametrizable equality functions, and hash-functions which are required in the Isabelle Collection Framework [1] and the Container Framework [2]. Moreover, for the tactic of Blanchette to show that a datatype is countable, we implemented a wrapper so that this tactic becomes accessible in our framework. All of the generators are based on the infrastructure that is provided by the BNF-based datatype package.

Our formalization was performed as part of the `IsaFoR/CeTA` project¹ [3]. With our new tactics we could remove several tedious proofs for (conditional) linear orders, and conditional equality operators within `IsaFoR` and the Container Framework.

Contents

1	Derive Manager	3
2	Shared Utilities for all Generator	3
3	Comparisons	4
3.1	Comparators and Linear Orders	4
3.2	Compare	7
3.3	Example: Modifying the Code-Equations of Red-Black-Trees	8
3.4	A Comparator-Interface to Red-Black-Trees	9

*Supported by FWF (Austrian Science Fund) projects P27502 and Y757.

¹<http://cl-informatik.uibk.ac.at/software/ceta>

4	Generating Comparators	14
4.1	Lexicographic combination of <i>order</i>	14
4.2	Improved code for non-lazy languages	14
4.3	Pointwise properties for equality, symmetry, and transitivity .	14
4.4	Separate properties of comparators	16
4.5	Auxiliary Lemmas for Comparator Generator	18
4.6	The Comparator Generator	19
4.7	Compare Generator	19
4.8	Defining Comparators and Compare-Instances for Common Types	20
4.9	Defining Compare-Order-Instances for Common Types	22
4.10	Compare Instance for Rational Numbers	24
4.11	Compare Instance for Real Numbers	24
5	Checking Equality Without ”=”	24
5.1	Improved Code for Non-Lazy Languages	25
5.2	Partial Equality Property	25
5.3	Global equality property	25
5.4	The Generator	26
5.5	Defining Equality-Functions for Common Types	26
6	Generating Hash-Functions	27
6.1	Improved Code for Non-Lazy Languages	27
6.2	The Generator	27
6.3	Defining Hash-Functions for Common Types	27
7	Countable Datatypes	28
7.1	Installing the tactic	28
8	Loading Existing Derive-Commands	28
9	Examples	29
9.1	Rational Numbers	29
9.2	A Datatype Without Nested Recursion	30
9.3	Using Other datatypes	30
9.4	Mutual Recursion	30
9.5	Nested recursion	30
9.6	Examples from <i>IsaFoR</i>	30
9.7	A Complex Datatype	31
10	Acknowledgements	31


```

Ball (set [x]) P = P x
Ball (set (x # y # zs)) P = (P x ∧ Ball (set (y # zs)) P)
by auto

```

lemma *conj-weak-cong*: $a = b \implies c = d \implies (a \wedge c) = (b \wedge d)$ **by** *auto*

lemma *refl-True*: $(x = x) = True$ **by** *simp*

end

3 Comparisons

3.1 Comparators and Linear Orders

```

theory Comparator
imports Main
begin

```

Instead of having to define a strict and a weak linear order, ($(<)$, (\leq)), one can alternative use a comparator to define the linear order, which may deliver three possible outcomes when comparing two values.

```

datatype order = Eq | Lt | Gt

```

```

type-synonym 'a comparator = 'a ⇒ 'a ⇒ order

```

In the following, we provide the obvious definitions how to switch between linear orders and comparators.

```

definition lt-of-comp :: 'a comparator ⇒ 'a ⇒ 'a ⇒ bool where
  lt-of-comp acomp x y = (case acomp x y of Lt ⇒ True | - ⇒ False)

```

```

definition le-of-comp :: 'a comparator ⇒ 'a ⇒ 'a ⇒ bool where
  le-of-comp acomp x y = (case acomp x y of Gt ⇒ False | - ⇒ True)

```

```

definition comp-of-ords :: ('a ⇒ 'a ⇒ bool) ⇒ ('a ⇒ 'a ⇒ bool) ⇒ 'a comparator
where
  comp-of-ords le lt x y = (if lt x y then Lt else if le x y then Eq else Gt)

```

```

lemma comp-of-ords-of-le-lt[simp]: comp-of-ords (le-of-comp c) (lt-of-comp c) = c
by (intro ext, auto simp: comp-of-ords-def le-of-comp-def lt-of-comp-def split: order.split)

```

```

lemma lt-of-comp-of-ords: lt-of-comp (comp-of-ords le lt) = lt
by (intro ext, auto simp: comp-of-ords-def le-of-comp-def lt-of-comp-def split: order.split)

```

```

lemma le-of-comp-of-ords-gen:  $(\bigwedge x y. lt\ x\ y \implies le\ x\ y) \implies le-of-comp\ (comp-of-ords\ le\ lt) = le$ 
by (intro ext, auto simp: comp-of-ords-def le-of-comp-def lt-of-comp-def split: order.split)

```

```

lemma le-of-comp-of-ords-linorder: assumes class.linorder le lt
  shows le-of-comp (comp-of-ords le lt) = le
proof –
  interpret linorder le lt by fact
  show ?thesis by (rule le-of-comp-of-ords-gen) simp
qed

fun invert-order:: order  $\Rightarrow$  order where
  invert-order Lt = Gt |
  invert-order Gt = Lt |
  invert-order Eq = Eq

locale comparator =
  fixes comp :: 'a comparator
  assumes sym: invert-order (comp x y) = comp y x
    and weak-eq: comp x y = Eq  $\implies$  x = y
    and trans: comp x y = Lt  $\implies$  comp y z = Lt  $\implies$  comp x z = Lt
begin

lemma eq: (comp x y = Eq) = (x = y)
proof
  assume x = y
  with sym [of y y] show comp x y = Eq by (cases comp x y) auto
qed (rule weak-eq)

lemma comp-same [simp]:
  comp x x = Eq
  by (simp add: eq)

abbreviation lt  $\equiv$  lt-of-comp comp
abbreviation le  $\equiv$  le-of-comp comp

lemma linorder: class.linorder le lt
proof
  note [simp] = lt-of-comp-def le-of-comp-def
  fix x y z :: 'a
  show lt x y = (le x y  $\wedge$   $\neg$  le y x)
    using sym [of x y] by (cases comp x y (simp-all))
  show le x y  $\vee$  le y x
    using sym [of x y] by (cases comp x y (simp-all))
  show le x x using eq [of x x] by (simp)
  show le x y  $\implies$  le y x  $\implies$  x = y
    using sym [of x y] by (cases comp x y (simp-all add: eq))
  show le x y  $\implies$  le y z  $\implies$  le x z
    by (cases comp x y comp y z rule: order.exhaust [case-product order.exhaust])
    (auto dest: trans simp: eq)
qed

```

sublocale *linorder* *le* *lt*
by (*rule linorder*)

lemma *Gt-lt-conv*: $\text{comp } x \ y = \text{Gt} \longleftrightarrow \text{lt } y \ x$
unfolding *lt-of-comp-def sym*[*of x y, symmetric*]
by (*cases comp x y, auto*)

lemma *Lt-lt-conv*: $\text{comp } x \ y = \text{Lt} \longleftrightarrow \text{lt } x \ y$
unfolding *lt-of-comp-def* **by** (*cases comp x y, auto*)

lemma *eq-Eq-conv*: $\text{comp } x \ y = \text{Eq} \longleftrightarrow x = y$
by (*rule eq*)

lemma *nGt-le-conv*: $\text{comp } x \ y \neq \text{Gt} \longleftrightarrow \text{le } x \ y$
unfolding *le-of-comp-def* **by** (*cases comp x y, auto*)

lemma *nLt-le-conv*: $\text{comp } x \ y \neq \text{Lt} \longleftrightarrow \text{le } y \ x$
unfolding *le-of-comp-def sym*[*of x y, symmetric*] **by** (*cases comp x y, auto*)

lemma *nEq-neq-conv*: $\text{comp } x \ y \neq \text{Eq} \longleftrightarrow x \neq y$
using *eq-Eq-conv*[*of x y*] **by** *simp*

lemmas *le-lt-convs* = *nLt-le-conv nGt-le-conv Gt-lt-conv Lt-lt-conv eq-Eq-conv nEq-neq-conv*

lemma *two-comparisons-into-case-order*:

(*if le x y then (if x = y then P else Q) else R*) = (*case-order P Q R (comp x y)*)
(*if le x y then (if y = x then P else Q) else R*) = (*case-order P Q R (comp x y)*)
(*if le x y then (if le y x then P else Q) else R*) = (*case-order P Q R (comp x y)*)
(*if le x y then (if lt x y then Q else P) else R*) = (*case-order P Q R (comp x y)*)
(*if lt x y then Q else (if le x y then P else R)*) = (*case-order P Q R (comp x y)*)
(*if lt x y then Q else (if lt y x then R else P)*) = (*case-order P Q R (comp x y)*)
(*if lt x y then Q else (if x = y then P else R)*) = (*case-order P Q R (comp x y)*)
(*if lt x y then Q else (if y = x then P else R)*) = (*case-order P Q R (comp x y)*)
(*if x = y then P else (if lt y x then R else Q)*) = (*case-order P Q R (comp x y)*)
(*if x = y then P else (if lt x y then Q else R)*) = (*case-order P Q R (comp x y)*)
(*if x = y then P else (if le y x then R else Q)*) = (*case-order P Q R (comp x y)*)
(*if x = y then P else (if le x y then Q else R)*) = (*case-order P Q R (comp x y)*)
by (*auto simp: le-lt-convs split: order.splits*)

end

lemma *comp-of-ords*: **assumes** *class.linorder le lt*
shows *comparator (comp-of-ords le lt)*

proof –

interpret *linorder le lt* **by** *fact*

show *?thesis*

by (*unfold-locales, auto simp: comp-of-ords-def split: if-splits*)

qed

definition (**in** *linorder*) *comparator-of* :: '*a comparator* **where**
comparator-of $x \ y = (\text{if } x < y \text{ then } \text{Lt} \text{ else if } x = y \text{ then } \text{Eq} \text{ else } \text{Gt})$

lemma *comparator-of*: *comparator comparator-of*

by *unfold-locales* (*auto split: if-splits simp: comparator-of-def*)

end

3.2 Compare

```
theory Compare
imports Comparator
keywords compare-code :: thy-decl
begin
```

This introduces a type class for having a proper comparator, similar to *linorder*. Since most of the Isabelle/HOL algorithms work on the latter, we also provide a method which turns linear-order based algorithms into comparator-based algorithms, where two consecutive invocations of linear orders and equality are merged into one comparator invocation. We further define a class which both define a linear order and a comparator, and where the induces orders coincide.

```
class compare =
  fixes compare :: 'a comparator
  assumes comparator-compare: comparator compare
begin
```

```
lemma compare-Eq-is-eq [simp]:
  compare x y = Eq  $\longleftrightarrow$  x = y
  by (rule comparator.eq [OF comparator-compare])
```

```
lemma compare-refl [simp]:
  compare x x = Eq
  by simp
```

end

```
lemma (in linorder) le-lt-comparator-of:
  le-of-comp comparator-of = ( $\leq$ ) lt-of-comp comparator-of = ( $<$ )
  by (intro ext, auto simp: comparator-of-def le-of-comp-def lt-of-comp-def)+
```

```
class compare-order = ord + compare +
  assumes ord-defs: le-of-comp compare = ( $\leq$ ) lt-of-comp compare = ( $<$ )
```

compare-order is *compare* and *linorder*, where comparator and orders define the same ordering.

```
subclass (in compare-order) linorder
  by (unfold ord-defs[symmetric], rule comparator.linorder, rule comparator-compare)
```

```
context compare-order
begin
```

```
lemma compare-is-comparator-of:
```

```

    compare = comparator-of
proof (intro ext)
  fix x y :: 'a
  show compare x y = comparator-of x y
    by (unfold comparator-of-def, unfold ord-defs[symmetric] lt-of-comp-def,
        cases compare x y, auto)
qed

lemmas two-comparisons-into-compare =
  comparator.two-comparisons-into-case-order[OF comparator-compare, unfolded
ord-defs]

thm two-comparisons-into-compare
end

```

ML-file *compare-code.ML*

Compare-Code.change-compare-code const ty-args changes the code equations of some constant such that two consecutive comparisons via (\leq), ($<$)", or ($=$) are turned into one invocation of *compare*. The difference to a standard *code-unfold* is that here we change the code-equations where an additional sort-constraint on *compare-order* can be added. Otherwise, there would be no *compare*-function.

end

3.3 Example: Modifying the Code-Equations of Red-Black-Trees

```

theory RBT-Compare-Order-Impl
imports
  Compare
  HOL-Library.RBT-Impl
begin

```

In the following, we modify all code-equations of the red-black-tree implementation that perform comparisons. As a positive result, they now all require one invocation of *comparator*, where before two comparisons have been performed. The disadvantage of this simple solution is the additional class constraint on *compare-order*.

```

compare-code ('a) rbt-ins
compare-code ('a) rbt-lookup
compare-code ('a) rbt-del
compare-code ('a) rbt-map-entry
compare-code ('a) sunion-with
compare-code ('a) sinter-with

```

```

export-code rbt-ins rbt-lookup rbt-del rbt-map-entry rbt-union-with-key rbt-inter-with-key
in Haskell

```


end

3.4 A Comparator-Interface to Red-Black-Trees

```
theory RBT-Comparator-Impl
imports
  HOL-Library.RBT-Impl
  Comparator
begin
```

For all of the main algorithms of red-black trees, we provide alternatives which are completely based on comparators, and which are provable equivalent. At the time of writing, this interface is used in the Container AFP-entry.

It does not rely on the modifications of code-equations as in the previous subsection.

```
context
```

```
  fixes  $c :: 'a$  comparator
```

```
begin
```

```
primrec rbt-comp-lookup :: ('a, 'b) rbt  $\Rightarrow$  'a  $\rightarrow$  'b
```

```
where
```

```
  rbt-comp-lookup RBT-Impl.Empty k = None
| rbt-comp-lookup (Branch - l x y r) k =
  (case c k x of Lt  $\Rightarrow$  rbt-comp-lookup l k
   | Gt  $\Rightarrow$  rbt-comp-lookup r k
   | Eq  $\Rightarrow$  Some y)
```

```
fun
```

```
  rbt-comp-ins :: ('a  $\Rightarrow$  'b  $\Rightarrow$  'b  $\Rightarrow$  'b)  $\Rightarrow$  'a  $\Rightarrow$  'b  $\Rightarrow$  ('a,'b) rbt  $\Rightarrow$  ('a,'b) rbt
```

```
where
```

```
  rbt-comp-ins f k v RBT-Impl.Empty = Branch RBT-Impl.R RBT-Impl.Empty k
v RBT-Impl.Empty |
  rbt-comp-ins f k v (Branch RBT-Impl.B l x y r) = (case c k x of
    Lt  $\Rightarrow$  balance (rbt-comp-ins f k v l) x y r
  | Gt  $\Rightarrow$  balance l x y (rbt-comp-ins f k v r)
  | Eq  $\Rightarrow$  Branch RBT-Impl.B l x (f k y v) r) |
  rbt-comp-ins f k v (Branch RBT-Impl.R l x y r) = (case c k x of
    Lt  $\Rightarrow$  Branch RBT-Impl.R (rbt-comp-ins f k v l) x y r
  | Gt  $\Rightarrow$  Branch RBT-Impl.R l x y (rbt-comp-ins f k v r)
  | Eq  $\Rightarrow$  Branch RBT-Impl.R l x (f k y v) r)
```

```
definition rbt-comp-insert-with-key :: ('a  $\Rightarrow$  'b  $\Rightarrow$  'b  $\Rightarrow$  'b)  $\Rightarrow$  'a  $\Rightarrow$  'b  $\Rightarrow$  ('a,'b)
rbt  $\Rightarrow$  ('a,'b) rbt
```

```
where rbt-comp-insert-with-key f k v t = paint RBT-Impl.B (rbt-comp-ins f k v t)
```

```
definition rbt-comp-insert :: 'a  $\Rightarrow$  'b  $\Rightarrow$  ('a, 'b) rbt  $\Rightarrow$  ('a, 'b) rbt where
```

```
  rbt-comp-insert = rbt-comp-insert-with-key ( $\lambda$ - - nv. nv)
```

fun
rbt-comp-del-from-left :: 'a ⇒ ('a,'b) rbt ⇒ 'a ⇒ 'b ⇒ ('a,'b) rbt ⇒ ('a,'b) rbt
and
rbt-comp-del-from-right :: 'a ⇒ ('a,'b) rbt ⇒ 'a ⇒ 'b ⇒ ('a,'b) rbt ⇒ ('a,'b) rbt
and
rbt-comp-del :: 'a ⇒ ('a,'b) rbt ⇒ ('a,'b) rbt
where
rbt-comp-del x *RBT-Impl.Empty* = *RBT-Impl.Empty* |
rbt-comp-del x (*Branch* - a y s b) =
(case c x y of
 Lt ⇒ *rbt-comp-del-from-left* x a y s b
 | *Gt* ⇒ *rbt-comp-del-from-right* x a y s b
 | *Eq* ⇒ *combine* a b) |
rbt-comp-del-from-left x (*Branch* *RBT-Impl.B* lt z v rt) y s b = *balance-left*
(*rbt-comp-del* x (*Branch* *RBT-Impl.B* lt z v rt)) y s b |
rbt-comp-del-from-left x a y s b = *Branch* *RBT-Impl.R* (*rbt-comp-del* x a) y s b |
rbt-comp-del-from-right x a y s (*Branch* *RBT-Impl.B* lt z v rt) = *balance-right* a
y s (*rbt-comp-del* x (*Branch* *RBT-Impl.B* lt z v rt)) |
rbt-comp-del-from-right x a y s b = *Branch* *RBT-Impl.R* a y s (*rbt-comp-del* x b)

definition *rbt-comp-delete* k t = *paint* *RBT-Impl.B* (*rbt-comp-del* k t)

definition *rbt-comp-bulkload* xs = *foldr* (λ(k, v). *rbt-comp-insert* k v) xs *RBT-Impl.Empty*

primrec
rbt-comp-map-entry :: 'a ⇒ ('b ⇒ 'b) ⇒ ('a, 'b) rbt ⇒ ('a, 'b) rbt
where
rbt-comp-map-entry k f *RBT-Impl.Empty* = *RBT-Impl.Empty*
| *rbt-comp-map-entry* k f (*Branch* cc lt x v rt) =
(case c k x of
 Lt ⇒ *Branch* cc (*rbt-comp-map-entry* k f lt) x v rt
 | *Gt* ⇒ *Branch* cc lt x v (*rbt-comp-map-entry* k f rt)
 | *Eq* ⇒ *Branch* cc lt x (f v) rt)

function *comp-sunion-with* :: ('a ⇒ 'b ⇒ 'b ⇒ 'b) ⇒ ('a × 'b) list ⇒ ('a × 'b)
list ⇒ ('a × 'b) list

where
comp-sunion-with f ((k, v) # as) ((k', v') # bs) =
(case c k' k of
 Lt ⇒ (k', v') # *comp-sunion-with* f ((k, v) # as) bs
 | *Gt* ⇒ (k, v) # *comp-sunion-with* f as ((k', v') # bs)
 | *Eq* ⇒ (k, f k v v') # *comp-sunion-with* f as bs)
| *comp-sunion-with* f [] bs = bs
| *comp-sunion-with* f as [] = as

by *pat-completeness* *auto*

termination *by* *lexicographic-order*

function *comp-sinter-with* :: ('a ⇒ 'b ⇒ 'b ⇒ 'b) ⇒ ('a × 'b) list ⇒ ('a × 'b)

$list \Rightarrow ('a \times 'b) list$

where

$comp-sinter-with f ((k, v) \# as) ((k', v') \# bs) =$
 $(case c k' k of$
 $Lt \Rightarrow comp-sinter-with f ((k, v) \# as) bs$
 $| Gt \Rightarrow comp-sinter-with f as ((k', v') \# bs)$
 $| Eq \Rightarrow (k, f k v v') \# comp-sinter-with f as bs)$
 $| comp-sinter-with f [] - = []$
 $| comp-sinter-with f - [] = []$

by *pat-completeness auto*

termination by *lexicographic-order*

definition $rbt-comp-union-with-key :: ('a \Rightarrow 'b \Rightarrow 'b \Rightarrow 'b) \Rightarrow ('a, 'b) rbt \Rightarrow ('a, 'b) rbt \Rightarrow ('a, 'b) rbt$

where

$rbt-comp-union-with-key f t1 t2 =$
 $(case RBT-Impl.compare-height t1 t1 t2 t2$
 $of compare.EQ \Rightarrow rbtreeify (comp-sunion-with f (RBT-Impl.entries t1) (RBT-Impl.entries$
 $t2))$
 $| compare.LT \Rightarrow RBT-Impl.fold (rbt-comp-insert-with-key (\lambda k v w. f k w v)) t1$
 $t2$
 $| compare.GT \Rightarrow RBT-Impl.fold (rbt-comp-insert-with-key f) t2 t1)$

definition $rbt-comp-inter-with-key :: ('a \Rightarrow 'b \Rightarrow 'b \Rightarrow 'b) \Rightarrow ('a, 'b) rbt \Rightarrow ('a, 'b) rbt \Rightarrow ('a, 'b) rbt$

where

$rbt-comp-inter-with-key f t1 t2 =$
 $(case RBT-Impl.compare-height t1 t1 t2 t2$
 $of compare.EQ \Rightarrow rbtreeify (comp-sinter-with f (RBT-Impl.entries t1) (RBT-Impl.entries$
 $t2))$
 $| compare.LT \Rightarrow rbtreeify (List.map-filter (\lambda(k, v). map-option (\lambda w. (k, f k v$
 $w)) (rbt-comp-lookup t2 k)) (RBT-Impl.entries t1))$
 $| compare.GT \Rightarrow rbtreeify (List.map-filter (\lambda(k, v). map-option (\lambda w. (k, f k w$
 $v)) (rbt-comp-lookup t1 k)) (RBT-Impl.entries t2)))$

context

assumes c : *comparator c*

begin

lemma $rbt-comp-lookup$: $rbt-comp-lookup = ord.rbt-lookup (lt-of-comp c)$

proof (*intro ext*)

fix k **and** $t :: ('a, 'b) rbt$

show $rbt-comp-lookup t k = ord.rbt-lookup (lt-of-comp c) t k$

by (*induct t, unfold rbt-comp-lookup.simps ord.rbt-lookup.simps*
comparator.two-comparisons-into-case-order[OF c]
(auto split: order.splits))

qed

lemma *rbt-comp-ins*: *rbt-comp-ins* = *ord.rbt-ins* (*lt-of-comp c*)
proof (*intro ext*)
fix *f k v* **and** *t* :: ('a,'b)rbt
show *rbt-comp-ins f k v t* = *ord.rbt-ins* (*lt-of-comp c*) *f k v t*
by (*induct f k v t rule: rbt-comp-ins.induct, unfold rbt-comp-ins.simps ord.rbt-ins.simps*
comparator.two-comparisons-into-case-order[OF c])
(auto split: order.splits)

qed

lemma *rbt-comp-insert-with-key*: *rbt-comp-insert-with-key* = *ord.rbt-insert-with-key*
(*lt-of-comp c*)
unfolding *rbt-comp-insert-with-key-def[abs-def]* *ord.rbt-insert-with-key-def[abs-def]*
unfolding *rbt-comp-ins ..*

lemma *rbt-comp-insert*: *rbt-comp-insert* = *ord.rbt-insert* (*lt-of-comp c*)
unfolding *rbt-comp-insert-def[abs-def]* *ord.rbt-insert-def[abs-def]*
unfolding *rbt-comp-insert-with-key ..*

lemma *rbt-comp-del*: *rbt-comp-del* = *ord.rbt-del* (*lt-of-comp c*)

proof – {

fix *k a b* **and** *s t* :: ('a,'b)rbt

have

rbt-comp-del-from-left k t a b s = *ord.rbt-del-from-left* (*lt-of-comp c*) *k t a b s*
rbt-comp-del-from-right k t a b s = *ord.rbt-del-from-right* (*lt-of-comp c*) *k t a b*

s

rbt-comp-del k t = *ord.rbt-del* (*lt-of-comp c*) *k t*

by (*induct k t a b s and k t a b s and k t rule: rbt-comp-del-from-left-rbt-comp-del-from-right-rbt-comp-del.induct*
unfold

rbt-comp-del.simps ord.rbt-del.simps
rbt-comp-del-from-left.simps ord.rbt-del-from-left.simps
rbt-comp-del-from-right.simps ord.rbt-del-from-right.simps
comparator.two-comparisons-into-case-order[OF c],
auto split: order.split)

}

thus *?thesis* **by** (*intro ext*)

qed

lemma *rbt-comp-delete*: *rbt-comp-delete* = *ord.rbt-delete* (*lt-of-comp c*)

unfolding *rbt-comp-delete-def[abs-def]* *ord.rbt-delete-def[abs-def]*

unfolding *rbt-comp-del ..*

lemma *rbt-comp-bulkload*: *rbt-comp-bulkload* = *ord.rbt-bulkload* (*lt-of-comp c*)

unfolding *rbt-comp-bulkload-def[abs-def]* *ord.rbt-bulkload-def[abs-def]*

unfolding *rbt-comp-insert ..*

lemma *rbt-comp-map-entry*: *rbt-comp-map-entry* = *ord.rbt-map-entry* (*lt-of-comp c*)

proof (*intro ext*)

fix *f k* **and** *t* :: ('a,'b)rbt

```

show rbt-comp-map-entry f k t = ord.rbt-map-entry (lt-of-comp c) f k t
by (induct t, unfold rbt-comp-map-entry.simps ord.rbt-map-entry.simps
      comparator.two-comparisons-into-case-order[OF c])
      (auto split: order.splits)
qed

lemma comp-sunion-with: comp-sunion-with = ord.sunion-with (lt-of-comp c)
proof (intro ext)
  fix f and as bs :: ('a × 'b)list
  show comp-sunion-with f as bs = ord.sunion-with (lt-of-comp c) f as bs
  by (induct f as bs rule: comp-sunion-with.induct,
        unfold comp-sunion-with.simps ord.sunion-with.simps
        comparator.two-comparisons-into-case-order[OF c])
        (auto split: order.splits)
qed

lemma comp-sinter-with: comp-sinter-with = ord.sinter-with (lt-of-comp c)
proof (intro ext)
  fix f and as bs :: ('a × 'b)list
  show comp-sinter-with f as bs = ord.sinter-with (lt-of-comp c) f as bs
  by (induct f as bs rule: comp-sinter-with.induct,
        unfold comp-sinter-with.simps ord.sinter-with.simps
        comparator.two-comparisons-into-case-order[OF c])
        (auto split: order.splits)
qed

lemma rbt-comp-union-with-key: rbt-comp-union-with-key = ord.rbt-union-with-key
(lt-of-comp c)
unfolding rbt-comp-union-with-key-def[abs-def] ord.rbt-union-with-key-def[abs-def]
unfolding rbt-comp-insert-with-key comp-sunion-with ..

lemma rbt-comp-inter-with-key: rbt-comp-inter-with-key = ord.rbt-inter-with-key
(lt-of-comp c)
unfolding rbt-comp-inter-with-key-def[abs-def] ord.rbt-inter-with-key-def[abs-def]
unfolding rbt-comp-insert-with-key comp-sinter-with rbt-comp-lookup ..

lemmas rbt-comp-simps =
  rbt-comp-insert
  rbt-comp-lookup
  rbt-comp-delete
  rbt-comp-bulkload
  rbt-comp-map-entry
  rbt-comp-union-with-key
  rbt-comp-inter-with-key
end
end

end

```

4 Generating Comparators

```
theory Comparator-Generator
imports
  ../Generator-Aux
  ../Derive-Manager
  Comparator
begin
```

```
typedecl ('a,'b,'c,'z)type
```

In the following, we define a generator which for a given datatype (*'a, 'b, 'c, 'z*) *Comparator-Generator.type* constructs a comparator of type *'a comparator \Rightarrow 'b comparator \Rightarrow 'c comparator \Rightarrow 'z comparator \Rightarrow ('a, 'b, 'c, 'z) Comparator-Generator.type*. To this end, we first compare the index of the constructors, then for equal constructors, we compare the arguments recursively and combine the results lexicographically.

```
hide-type type
```

4.1 Lexicographic combination of *order*

```
fun comp-lex :: order list  $\Rightarrow$  order
where
  comp-lex (c # cs) = (case c of Eq  $\Rightarrow$  comp-lex cs | -  $\Rightarrow$  c) |
  comp-lex [] = Eq
```

4.2 Improved code for non-lazy languages

The following equations will eliminate all occurrences of *comp-lex* in the generated code of the comparators.

```
lemma comp-lex-unfolds:
  comp-lex [] = Eq
  comp-lex [c] = c
  comp-lex (c # d # cs) = (case c of Eq  $\Rightarrow$  comp-lex (d # cs) | z  $\Rightarrow$  z)
by (cases c, auto)+
```

4.3 Pointwise properties for equality, symmetry, and transitivity

The pointwise properties are important during inductive proofs of soundness of comparators. They are defined in a way that are combinable with *comp-lex*.

```
lemma comp-lex-eq: comp-lex os = Eq  $\longleftrightarrow$  ( $\forall$  ord  $\in$  set os. ord = Eq)
by (induct os) (auto split: order.splits)
```

```
definition trans-order :: order  $\Rightarrow$  order  $\Rightarrow$  order  $\Rightarrow$  bool where
  trans-order x y z  $\longleftrightarrow$  x  $\neq$  Gt  $\longrightarrow$  y  $\neq$  Gt  $\longrightarrow$  z  $\neq$  Gt  $\wedge$  ((x = Lt  $\vee$  y = Lt)  $\longrightarrow$  z = Lt)
```

lemma trans-orderI:
 $(x \neq Gt \implies y \neq Gt \implies z \neq Gt \wedge ((x = Lt \vee y = Lt) \longrightarrow z = Lt)) \implies$
trans-order $x\ y\ z$
by (*simp add: trans-order-def*)

lemma trans-orderD:
assumes *trans-order* $x\ y\ z$ **and** $x \neq Gt$ **and** $y \neq Gt$
shows $z \neq Gt$ **and** $x = Lt \vee y = Lt \implies z = Lt$
using *assms* **by** (*auto simp: trans-order-def*)

lemma All-less-Suc:
 $(\forall i < Suc\ x. P\ i) \longleftrightarrow P\ 0 \wedge (\forall i < x. P\ (Suc\ i))$
using *less-Suc-eq-0-disj* **by** *force*

lemma comp-lex-trans:
assumes $length\ xs = length\ ys$
and $length\ ys = length\ zs$
and $\forall i < length\ zs. trans-order\ (xs\ !\ i)\ (ys\ !\ i)\ (zs\ !\ i)$
shows *trans-order* $(comp-lex\ xs)\ (comp-lex\ ys)\ (comp-lex\ zs)$
using *assms*
proof (*induct xs ys zs rule: list-induct3*)
case (*Cons* $x\ xs\ y\ ys\ z\ zs$)
then show *?case*
by (*intro trans-orderI*)
(cases x y z rule: order.exhaust [case-product order.exhaust order.exhaust],
auto simp: All-less-Suc dest: trans-orderD)
qed (*simp add: trans-order-def*)

lemma comp-lex-sym:
assumes $length\ xs = length\ ys$
and $\forall i < length\ ys. invert-order\ (xs\ !\ i) = ys\ !\ i$
shows $invert-order\ (comp-lex\ xs) = comp-lex\ ys$
using *assms* **by** (*induct xs ys rule: list-induct2, simp, case-tac x*) *fastforce+*

declare *comp-lex.simps* [*simp del*]

definition *peq-comp* $:: 'a\ comparator \Rightarrow 'a \Rightarrow bool$
where
 $peq-comp\ acomp\ x \longleftrightarrow (\forall y. acomp\ x\ y = Eq \longleftrightarrow x = y)$

lemma *peq-compD*: $peq-comp\ acomp\ x \implies acomp\ x\ y = Eq \longleftrightarrow x = y$
unfolding *peq-comp-def* **by** *auto*

lemma *peq-compI*: $(\bigwedge y. acomp\ x\ y = Eq \longleftrightarrow x = y) \implies peq-comp\ acomp\ x$
unfolding *peq-comp-def* **by** *auto*

definition *psym-comp* $:: 'a\ comparator \Rightarrow 'a \Rightarrow bool$ **where**
 $psym-comp\ acomp\ x \longleftrightarrow (\forall y. invert-order\ (acomp\ x\ y) = (acomp\ y\ x))$

lemma *psym-compD*:
assumes *psym-comp* *acomp* *x*
shows *invert-order* (*acomp* *x* *y*) = (*acomp* *y* *x*)
using *assms* **unfolding** *psym-comp-def* **by** *blast*+

lemma *psym-compI*:
assumes $\bigwedge y. \textit{invert-order}$ (*acomp* *x* *y*) = (*acomp* *y* *x*)
shows *psym-comp* *acomp* *x*
using *assms* **unfolding** *psym-comp-def* **by** *blast*

definition *ptrans-comp* :: 'a comparator \Rightarrow 'a \Rightarrow bool **where**
ptrans-comp *acomp* *x* \longleftrightarrow ($\forall y z. \textit{trans-order}$ (*acomp* *x* *y*) (*acomp* *y* *z*) (*acomp* *x* *z*))

lemma *ptrans-compD*:
assumes *ptrans-comp* *acomp* *x*
shows *trans-order* (*acomp* *x* *y*) (*acomp* *y* *z*) (*acomp* *x* *z*)
using *assms* **unfolding** *ptrans-comp-def* **by** *blast*+

lemma *ptrans-compI*:
assumes $\bigwedge y z. \textit{trans-order}$ (*acomp* *x* *y*) (*acomp* *y* *z*) (*acomp* *x* *z*)
shows *ptrans-comp* *acomp* *x*
using *assms* **unfolding** *ptrans-comp-def* **by** *blast*

4.4 Separate properties of comparators

definition *eq-comp* :: 'a comparator \Rightarrow bool **where**
eq-comp *acomp* \longleftrightarrow ($\forall x. \textit{peq-comp}$ *acomp* *x*)

lemma *eq-compD2*: *eq-comp* *acomp* \Longrightarrow *peq-comp* *acomp* *x*
unfolding *eq-comp-def* **by** *blast*

lemma *eq-compI2*: ($\bigwedge x. \textit{peq-comp}$ *acomp* *x*) \Longrightarrow *eq-comp* *acomp*
unfolding *eq-comp-def* **by** *blast*

definition *trans-comp* :: 'a comparator \Rightarrow bool **where**
trans-comp *acomp* \longleftrightarrow ($\forall x. \textit{ptrans-comp}$ *acomp* *x*)

lemma *trans-compD2*: *trans-comp* *acomp* \Longrightarrow *ptrans-comp* *acomp* *x*
unfolding *trans-comp-def* **by** *blast*

lemma *trans-compI2*: ($\bigwedge x. \textit{ptrans-comp}$ *acomp* *x*) \Longrightarrow *trans-comp* *acomp*
unfolding *trans-comp-def* **by** *blast*

definition *sym-comp* :: 'a comparator \Rightarrow bool **where**
sym-comp *acomp* \longleftrightarrow ($\forall x. \textit{psym-comp}$ *acomp* *x*)

lemma *sym-compD2*:
sym-comp *acom* \implies *psym-comp* *acom* *x*
unfolding *sym-comp-def* **by** *blast*

lemma *sym-compI2*: $(\bigwedge x. \textit{psym-comp} \textit{acom} x) \implies \textit{sym-comp} \textit{acom}$
unfolding *sym-comp-def* **by** *blast*

lemma *eq-compD*: *eq-comp* *acom* $\implies \textit{acom} x y = \textit{Eq} \longleftrightarrow x = y$
by (*rule* *peq-compD*[*OF* *eq-compD2*])

lemma *eq-compI*: $(\bigwedge x y. \textit{acom} x y = \textit{Eq} \longleftrightarrow x = y) \implies \textit{eq-comp} \textit{acom}$
by (*intro* *eq-compI2* *peq-compI*)

lemma *trans-compD*: *trans-comp* *acom* $\implies \textit{trans-order} (\textit{acom} x y) (\textit{acom} y z)$
(acom x z)
by (*rule* *ptrans-compD*[*OF* *trans-compD2*])

lemma *trans-compI*: $(\bigwedge x y z. \textit{trans-order} (\textit{acom} x y) (\textit{acom} y z) (\textit{acom} x z))$
 $\implies \textit{trans-comp} \textit{acom}$
by (*intro* *trans-compI2* *ptrans-compI*)

lemma *sym-compD*:
sym-comp *acom* $\implies \textit{invert-order} (\textit{acom} x y) = (\textit{acom} y x)$
by (*rule* *psym-compD*[*OF* *sym-compD2*])

lemma *sym-compI*: $(\bigwedge x y. \textit{invert-order} (\textit{acom} x y) = (\textit{acom} y x)) \implies \textit{sym-comp} \textit{acom}$
by (*intro* *sym-compI2* *psym-compI*)

lemma *eq-sym-trans-imp-comparator*:
assumes *eq-comp* *acom* **and** *sym-comp* *acom* **and** *trans-comp* *acom*
shows *comparator* *acom*
proof
fix *x y z*
show *invert-order* *(acom x y)* = *acom y x*
using *sym-compD* [*OF* *(sym-comp acom)*].
{
assume *acom x y = Eq*
with *eq-compD* [*OF* *(eq-comp acom)*]
show *x = y* **by** *blast*
}
{
assume *acom x y = Lt* **and** *acom y z = Lt*
with *trans-orderD* [*OF* *trans-compD* [*OF* *(trans-comp acom)*], *of x y z*]
show *acom x z = Lt* **by** *auto*
}
}
qed

```

lemma comparator-imp-eq-sym-trans:
  assumes comparator acomp
  shows eq-comp acomp sym-comp acomp trans-comp acomp
proof –
  interpret comparator acomp by fact
  show eq-comp acomp using eq by (intro eq-compI, auto)
  show sym-comp acomp using sym by (intro sym-compI, auto)
  show trans-comp acomp
  proof (intro trans-compI trans-orderI)
    fix x y z
    assume acomp x y ≠ Gt acomp y z ≠ Gt
    thus acomp x z ≠ Gt ∧ (acomp x y = Lt ∨ acomp y z = Lt → acomp x z = Lt)
      using trans [of x y z] and eq [of x y] and eq [of y z]
      by (cases acomp x y acomp y z rule: order.exhaust [case-product order.exhaust])
  auto
  qed
qed

context
  fixes acomp :: 'a comparator
  assumes c: comparator acomp
begin
lemma comp-to-psym-comp: psym-comp acomp x
  using comparator-imp-eq-sym-trans [OF c]
  by (intro sym-compD2)

lemma comp-to-peq-comp: peq-comp acomp x
  using comparator-imp-eq-sym-trans [OF c]
  by (intro eq-compD2)

lemma comp-to-ptrans-comp: ptrans-comp acomp x
  using comparator-imp-eq-sym-trans [OF c]
  by (intro trans-compD2)
end

```

4.5 Auxiliary Lemmas for Comparator Generator

```

lemma forall-finite:  $(\forall i < (0 :: nat). P i) = True$ 
   $(\forall i < Suc 0. P i) = P 0$ 
   $(\forall i < Suc (Suc x). P i) = (P 0 \wedge (\forall i < Suc x. P (Suc i)))$ 
  by (auto, case-tac i, auto)

lemma trans-order-different:
  trans-order a b Lt
  trans-order Gt b c
  trans-order a Gt c
  by (intro trans-orderI, auto)+

```

lemma *length-nth-simps*:
 $length\ [] = 0$ $length\ (x\ \#\ xs) = Suc\ (length\ xs)$
 $(x\ \#\ xs)\ !\ 0 = x$ $(x\ \#\ xs)\ !\ (Suc\ n) = xs\ !\ n$ **by** *auto*

4.6 The Comparator Generator

ML-file *comparator-generator.ML*

end

4.7 Compare Generator

theory *Compare-Generator*

imports

Comparator-Generator

Compare

begin

We provide a generator which takes the comparators of the comparator generator to synthesize suitable *compare*-functions from the *compare*-class.

One can further also use these comparison functions to derive an instance of the *compare-order*-class, and therefore also for *linorder*. In total, we provide the three *derive*-methods where the example type *prod* can be replaced by any other datatype.

- *derive compare prod* creates an instance $prod :: (compare, compare)\ compare$.
- *derive compare-order prod* creates an instance $prod :: (compare, compare)\ compare-order$.
- *derive linorder prod* creates an instance $prod :: (linorder, linorder)\ linorder$.

Usually, the use of *derive linorder* is not recommended if there are comparators available: Internally, the linear orders will directly be converted into comparators, so a direct use of the comparators will result in more efficient generated code. This command is mainly provided as a convenience method where comparators are not yet present. For example, at the time of writing, the Container Framework has partly been adapted to internally use comparators, whereas in other AFP-entries, we did not integrate comparators.

lemma *linorder-axiomsD*: **assumes** *class.linorder le lt*

shows

$lt\ x\ y = (le\ x\ y \wedge \neg le\ y\ x)$ (**is** *?a*)

$le\ x\ x$ (**is** *?b*)

$le\ x\ y \implies le\ y\ z \implies le\ x\ z$ (**is** *?c1* \implies *?c2* \implies *?c3*)

$le\ x\ y \implies le\ y\ x \implies x = y$ (**is** *?d1* \implies *?d2* \implies *?d3*)

$le\ x\ y \vee le\ y\ x$ (**is** *?e*)

```

proof –
  interpret linorder le lt by fact
  show ?a ?b ?c1  $\implies$  ?c2  $\implies$  ?c3 ?d1  $\implies$  ?d2  $\implies$  ?d3 ?e by auto
qed

```

named-theorems *compare-simps simp theorems to derive compare = comparator-of*

ML-file *compare-generator.ML*

end

4.8 Defining Comparators and Compare-Instances for Common Types

theory *Compare-Instances*

imports

Compare-Generator

HOL-Library.Char-ord

begin

For all of the following types, we define comparators and register them in the class *compare*: *int*, *integer*, *nat*, *char*, *bool*, *unit*, *sum*, *option*, *list*, and *prod*. We do not register those classes in *compare-order* where so far no linear order is defined, in particular if there are conflicting orders, like pair-wise or lexicographic comparison on pairs.

For *int*, *nat*, *integer* and *char* we just use their linear orders as comparators.

derive (*linorder*) *compare-order int integer nat char*

For *sum*, *list*, *prod*, and *option* we generate comparators which are however are not used to instantiate *linorder*.

derive *compare sum list prod option*

We do not use the linear order to define the comparator for *bool* and *unit*, but implement more efficient ones.

fun *comparator-unit* :: *unit comparator* **where**

comparator-unit x y = Eq

fun *comparator-bool* :: *bool comparator* **where**

comparator-bool False False = Eq

| *comparator-bool False True = Lt*

| *comparator-bool True True = Eq*

| *comparator-bool True False = Gt*

lemma *comparator-unit: comparator comparator-unit*

by (*unfold-locales, auto*)

lemma *comparator-bool: comparator comparator-bool*

```

proof
  fix x y z :: bool
  show invert-order (comparator-bool x y) = comparator-bool y x by (cases x,
(cases y, auto)+)
  show comparator-bool x y = Eq  $\implies$  x = y by (cases x, (cases y, auto)+)
  show comparator-bool x y = Lt  $\implies$  comparator-bool y z = Lt  $\implies$  comparator-bool
x z = Lt
  by (cases x, (cases y, auto), cases y, (cases z, auto)+)
qed

```

```

local-setup ⟨⟨
  Comparator-Generator.register-foreign-comparator @{typ unit}
  @{term comparator-unit}
  @{thm comparator-unit}
⟩⟩

```

```

local-setup ⟨⟨
  Comparator-Generator.register-foreign-comparator @{typ bool}
  @{term comparator-bool}
  @{thm comparator-bool}
⟩⟩

```

```

derive compare bool unit

```

It is not directly possible to *derive* (*linorder*) *bool unit*, since *compare* was not defined as *comparator-of*, but as *comparator-bool*. However, we can manually prove this equivalence and then use this knowledge to prove the instance of *compare-order*.

```

lemma comparator-bool-comparator-of [compare-simps]:

```

```

  comparator-bool = comparator-of

```

```

proof (intro ext)

```

```

  fix a b

```

```

  show comparator-bool a b = comparator-of a b

```

```

    unfolding comparator-of-def

```

```

    by (cases a, (cases b, auto))

```

```

qed

```

```

lemma comparator-unit-comparator-of [compare-simps]:

```

```

  comparator-unit = comparator-of

```

```

proof (intro ext)

```

```

  fix a b

```

```

  show comparator-unit a b = comparator-of a b

```

```

    unfolding comparator-of-def by auto

```

```

qed

```

```

derive (linorder) compare-order bool unit

```

```

end

```

4.9 Defining Compare-Order-Instances for Common Types

theory *Compare-Order-Instances*

imports

Compare-Instances

HOL-Library.List-Lexorder

HOL-Library.Product-Lexorder

HOL-Library.Option-ord

begin

We now also instantiate class *compare-order* and not only *compare*. Here, we also prove that our definitions do not clash with existing orders on *list*, *option*, and *prod*.

For *sum* we just define the linear orders via their comparator.

derive *compare-order sum*

instance *list* :: (*compare-order*)*compare-order*

proof

note [*simp*] = *le-of-comp-def lt-of-comp-def comparator-of-def*

show *le-of-comp* (*compare* :: 'a *list comparator*) = (\leq)

unfolding *compare-list-def compare-is-comparator-of*

proof (*intro ext*)

fix *xs ys* :: 'a *list*

show *le-of-comp* (*comparator-list comparator-of*) *xs ys* = ($xs \leq ys$)

proof (*induct xs arbitrary: ys*)

case (*Nil ys*)

show ?*case*

by (*cases ys, simp-all*)

next

case (*Cons x xs yys*) **note** *IH* = *this*

thus ?*case*

proof (*cases yyys*)

case *Nil*

thus ?*thesis* **by** *auto*

next

case (*Cons y ys*)

show ?*thesis* **unfolding** *Cons*

using *IH*[*of ys*]

by (*cases x y rule: linorder-cases, auto*)

qed

qed

show *lt-of-comp* (*compare* :: 'a *list comparator*) = ($<$)

unfolding *compare-list-def compare-is-comparator-of*

proof (*intro ext*)

fix *xs ys* :: 'a *list*

show *lt-of-comp* (*comparator-list comparator-of*) *xs ys* = ($xs < ys$)

proof (*induct xs arbitrary: ys*)

case (*Nil ys*)

```

    show ?case
      by (cases ys, simp-all)
  next
  case (Cons x xs yys) note IH = this
  thus ?case
  proof (cases yys)
    case Nil
    thus ?thesis by auto
  next
  case (Cons y ys)
  show ?thesis unfolding Cons
    using IH[of ys]
    by (cases x y rule: linorder-cases, auto)
  qed
qed
qed
qed

instance prod :: (compare-order, compare-order)compare-order
proof
  note [simp] = le-of-comp-def lt-of-comp-def comparator-of-def
  show le-of-comp (compare :: ('a,'b)prod comparator) = (≤)
    unfolding compare-prod-def compare-is-comparator-of
  proof (intro ext)
    fix xy1 xy2 :: ('a,'b)prod
    show le-of-comp (comparator-prod comparator-of comparator-of) xy1 xy2 =
      (xy1 ≤ xy2)
    by (cases xy1, cases xy2, auto)
  qed
  show lt-of-comp (compare :: ('a,'b)prod comparator) = (<)
    unfolding compare-prod-def compare-is-comparator-of
  proof (intro ext)
    fix xy1 xy2 :: ('a,'b)prod
    show lt-of-comp (comparator-prod comparator-of comparator-of) xy1 xy2 =
      (xy1 < xy2)
    by (cases xy1, cases xy2, auto)
  qed
qed

instance option :: (compare-order)compare-order
proof
  note [simp] = le-of-comp-def lt-of-comp-def comparator-of-def
  show le-of-comp (compare :: 'a option comparator) = (≤)
    unfolding compare-option-def compare-is-comparator-of
  proof (intro ext)
    fix xy1 xy2 :: 'a option
    show le-of-comp (comparator-option comparator-of) xy1 xy2 = (xy1 ≤ xy2)
    by (cases xy1, (cases xy2, auto split: if-splits)+)
  qed
qed

```

```

show lt-of-comp (compare :: 'a option comparator) = (<)
  unfolding compare-option-def compare-is-comparator-of
proof (intro ext)
  fix xy1 xy2 :: 'a option
  show lt-of-comp (comparator-option comparator-of) xy1 xy2 = (xy1 < xy2)
    by (cases xy1, (cases xy2, auto split: if-splits)+)
  qed
qed

end

```

4.10 Compare Instance for Rational Numbers

```

theory Compare-Rat
imports
  Compare-Generator
  HOL.Rat
begin

derive (linorder) compare-order rat

end

```

4.11 Compare Instance for Real Numbers

```

theory Compare-Real
imports
  Compare-Generator
  HOL.Real
begin

derive (linorder) compare-order real

lemma invert-order-compare-real[simp]:  $\wedge x y :: \text{real. invert-order (compare } x y)$ 
  = compare y x
  by (simp add: comparator-of-def compare-is-comparator-of)

end

```

5 Checking Equality Without "=="

```

theory Equality-Generator
imports
  ../Generator-Aux
  ../Derive-Manager
begin

typedecl ('a,'b,'c,'z)type

```


In the following, we define a generator which for a given datatype $(\text{'a}, \text{'b}, \text{'c}, \text{'z})$ *Equality-Generator.type* constructs an equality-test function of type $(\text{'a} \Rightarrow \text{'a} \Rightarrow \text{bool}) \Rightarrow (\text{'b} \Rightarrow \text{'b} \Rightarrow \text{bool}) \Rightarrow (\text{'c} \Rightarrow \text{'c} \Rightarrow \text{bool}) \Rightarrow (\text{'z} \Rightarrow \text{'z} \Rightarrow \text{bool}) \Rightarrow (\text{'a}, \text{'b}, \text{'c}, \text{'z})$ *Equality-Generator.type* $\Rightarrow (\text{'a}, \text{'b}, \text{'c}, \text{'z})$ *Equality-Generator.type* $\Rightarrow \text{bool}$. These functions are essential to synthesize conditional equality functions in the container framework, where a strict membership in the *equal*-class must not be enforced.

hide-type *type*

Just a constant to define conjunction on lists of booleans, which will be used to merge the results when having compared the arguments of identical constructors.

definition *list-all-eq* :: *bool list* \Rightarrow *bool* **where**
list-all-eq = *list-all id*

5.1 Improved Code for Non-Lazy Languages

The following equations will eliminate all occurrences of *list-all-eq* in the generated code of the equality functions.

lemma *list-all-eq-unfold*:

list-all-eq [] = *True*

list-all-eq [b] = b

list-all-eq (b1 # b2 # bs) = (b1 \wedge *list-all-eq* (b2 # bs))

unfolding *list-all-eq-def*

by *auto*

lemma *list-all-eq*: *list-all-eq* bs $\longleftrightarrow (\forall b \in \text{set } bs. b)$

unfolding *list-all-eq-def list-all-iff* **by** *auto*

5.2 Partial Equality Property

We require a partial property which can be used in inductive proofs.

type-synonym *'a equality* = *'a* \Rightarrow *'a* \Rightarrow *bool*

definition *pequality* :: *'a equality* \Rightarrow *'a* \Rightarrow *bool*

where

pequality aeq x $\longleftrightarrow (\forall y. \text{aeq } x \ y \longleftrightarrow x = y)$

lemma *pequalityD*: *pequality aeq* x $\Longrightarrow \text{aeq } x \ y \longleftrightarrow x = y$

unfolding *pequality-def* **by** *auto*

lemma *pequalityI*: $(\bigwedge y. \text{aeq } x \ y \longleftrightarrow x = y) \Longrightarrow \text{pequality aeq } x$

unfolding *pequality-def* **by** *auto*

5.3 Global equality property

definition *equality* :: *'a equality* \Rightarrow *bool* **where**

```

equality aeq  $\longleftrightarrow$  ( $\forall x. pequality aeq x$ )

lemma equalityD2: equality aeq  $\implies$  pequality aeq x
  unfolding equality-def by blast

lemma equalityI2: ( $\bigwedge x. pequality aeq x$ )  $\implies$  equality aeq
  unfolding equality-def by blast

lemma equalityD: equality aeq  $\implies$  aeq x y  $\longleftrightarrow$  x = y
  by (rule pequalityD[OF equalityD2])

lemma equalityI: ( $\bigwedge x y. aeq x y \longleftrightarrow x = y$ )  $\implies$  equality aeq
  by (intro equalityI2 pequalityI)

lemma equality-imp-eq:
  equality aeq  $\implies$  aeq = (=)
  by (intro ext, auto dest: equalityD)

lemma eq-equality: equality (=)
  by (rule equalityI, simp)

lemma equality-def': equality f = (f = (=))
  using equality-imp-eq eq-equality by blast

```

5.4 The Generator

ML-file *equality-generator.ML*

hide-fact (open) *equalityI*

end

5.5 Defining Equality-Functions for Common Types

theory *Equality-Instances*

imports

Equality-Generator

begin

For all of the following types, we register equality-functions. *int*, *integer*, *nat*, *char*, *bool*, *unit*, *sum*, *option*, *list*, and *prod*. For types without type parameters, we use plain (=), and for the others we use generated ones. These functions will be essential, when the generator is later on invoked on types, which in their definition use one these types.

derive (eq) equality *int integer nat char bool unit*

derive equality *sum list prod option*

end

6 Generating Hash-Functions

```
theory Hash-Generator
imports
  ../Generator-Aux
  ../Derive-Manager
  Collections.HashCode
begin
```

As usual, in the generator we use a dedicated function to combine the results from evaluating the hash-function of the arguments of a constructor, to deliver the global hash-value.

```
fun hash-combine :: hashcode list  $\Rightarrow$  hashcode list  $\Rightarrow$  hashcode where
  hash-combine [] [x] = x
| hash-combine (y # ys) (z # zs) = y * z + hash-combine ys zs
| hash-combine - - = 0
```

The first argument of *hash-combine* originates from evaluating the hash-function on the arguments of a constructor, and the second argument of *hash-combine* will be static *magic* numbers which are generated within the generator.

6.1 Improved Code for Non-Lazy Languages

```
lemma hash-combine-unfold:
  hash-combine [] [x] = x
  hash-combine (y # ys) (z # zs) = y * z + hash-combine ys zs
by auto
```

6.2 The Generator

```
ML-file hash-generator.ML
```

```
end
```

6.3 Defining Hash-Functions for Common Types

```
theory Hash-Instances
imports
  Hash-Generator
begin
```

For all of the following types, we register hashcode-functions. *int*, *integer*, *nat*, *char*, *bool*, *unit*, *sum*, *option*, *list*, and *prod*. For types without type parameters, we use plain *hashcode*, and for the others we use generated ones.

```
derive (hashcode) hash-code int integer bool char unit nat
```

```
derive hash-code prod sum option list
```

There is no need to *derive hashable prod sum option list* since all of these types are already instances of class *hashable*. Still the above command is necessary to register these types in the generator.

end

7 Countable Datatypes

theory *Countable-Generator*

imports

HOL-Library.Countable

../Derive-Manager

begin

Brian Huffman and Alexander Krauss (old datatype), and Jasmin Blanchette (BNF datatype) have developed tactics which automatically can prove that a datatype is countable. We just make this tactic available in the derive-manager so that one can conveniently write `derive countable some-datatype`.

7.1 Installing the tactic

There is nothing more to do, then to write some boiler-plate ML-code for class-instantiation.

setup $\langle\langle$

let

fun derive dtyp-name - thy =

let

val base-name = Long-Name.base-name dtyp-name

val - = writeln (proving that datatype ^ base-name ^ is countable)

val sort = @{sort countable}

val vs =

let val i = BNF-LFP-Compat.the-spec thy dtyp-name |> #1

in map (fn (n,-) => (n, sort)) i end

val thy' = Class.instantiation ([dtyp-name],vs,sort) thy

|> Class.prove-instantiation-exit (fn ctxt => countable-tac ctxt 1)

val - = writeln (registered ^ base-name ^ in class countable)

in thy' end

in

Derive-Manager.register-derive countable register datatypes is class countable

derive

end

$\rangle\rangle$

end

8 Loading Existing Derive-Commands

theory *Derive*

```

imports
  Comparator-Generator / Compare-Instances
  Equality-Generator / Equality-Instances
  Hash-Generator / Hash-Instances
  Countable-Generator / Countable-Generator
begin

```

We just load the commands to derive comparators, equality-functions, hash-functions, and the command to show that a datatype is countable, so that now all of them are available. There are further generators available in the AFP entries Containers and Show.

```

print-derives

end

```

9 Examples

```

theory Derive-Examples
imports
  Derive
  Comparator-Generator / Compare-Order-Instances
  Equality-Generator / Equality-Instances
  HOL.Rat
begin

```

9.1 Rational Numbers

The rational numbers are not a datatype, so it will not be possible to derive corresponding instances of comparators, hashcodes, etc. via the generators. But we can and should still register the existing instances, so that later datatypes are supported which use rational numbers.

Use the linear order on rationals to define the *compare-order*-instance.

```

derive (linorder) compare-order rat

```

Use (=) as equality function.

```

derive (eq) equality rat

```

First manually define a hashcode function.

```

instantiation rat :: hashable
begin
definition def-hashmap-size = ( $\lambda$ - :: rat itself. 10)
definition hashcode (r :: rat) = hashcode (quotient-of r)
instance
  by (intro-classes)(simp-all add: def-hashmap-size-rat-def)
end

```

And then register it at the generator.

```

derive (hashcode) hash-code rat

```

9.2 A Datatype Without Nested Recursion

```
datatype 'a bintree = BEmpty | BNode 'a bintree 'a 'a bintree
```

```
derive compare-order bintree
```

```
derive countable bintree
```

```
derive equality bintree
```

```
derive hashable bintree
```

9.3 Using Other datatypes

```
datatype nat-list-list = NNil | CCons nat list  $\times$  rat option nat-list-list
```

```
derive compare-order nat-list-list
```

```
derive countable nat-list-list
```

```
derive (eq) equality nat-list-list
```

```
derive hashable nat-list-list
```

9.4 Mutual Recursion

```
datatype
```

```
  'a mtree = MEmpty | MNode 'a 'a mtree-list and
```

```
  'a mtree-list = MNil | MCons 'a mtree 'a mtree-list
```

```
derive compare-order mtree mtree-list
```

```
derive countable mtree mtree-list
```

```
derive hashable mtree mtree-list
```

For *derive* (*equality*|*comparator*|*hash-code*) *mutual-recursive-type* there is the speciality that only one of the mutual recursive types has to be mentioned in order to register all of them. So one of *mtree* and *mtree-list* suffices.

```
derive equality mtree
```

9.5 Nested recursion

```
datatype 'a tree = Empty | Node 'a 'a tree list
```

```
datatype 'a ttree = TEmpty | TNode 'a 'a ttree list tree
```

```
derive compare-order tree ttree
```

```
derive countable tree ttree
```

```
derive equality tree ttree
```

```
derive hashable tree ttree
```

9.6 Examples from IsaFoR

```
datatype ('f,'v) term = Var 'v | Fun 'f ('f,'v) term list
```

```
datatype ('f, 'l) lab =
```

```
  Lab ('f, 'l) lab 'l
```

```
| FunLab ('f, 'l) lab ('f, 'l) lab list
```

```
| UnLab 'f
```

| *Sharp ('f, 'l) lab*

derive *compare-order term lab*
derive *countable term lab*
derive *equality term lab*
derive *hashable term lab*

9.7 A Complex Datatype

The following datatype has nested and mutual recursion, and uses other datatypes.

```
datatype ('a, 'b) complex =  
  C1 nat 'a ttree × rat + ('a,'b) complex list |  
  C2 ('a, 'b) complex list tree tree 'b ('a, 'b) complex ('a, 'b) complex2 ttree list  
and ('a, 'b) complex2 = D1 ('a, 'b) complex ttree
```

On this last example type we illustrate the difference of the various comparator- and order-generators.

For *complex* we create an instance of *compare-order* which also defines a linear order. Note however that the instance will be *complex* :: (*compare*, *compare*) *compare-order*, i.e., the argument types have to be in class *compare*.

For *complex2* we only derive *compare* which is not a subclass of *linorder*. The instance will be *complex2* :: (*compare*, *compare*) *compare*, i.e., again the argument types have to be in class *compare*.

To avoid the dependence on *compare*, we can also instruct *derive* to be based on *linorder*. Here, the command *derive linorder complex2* will create the instance *complex2* :: (*linorder*, *linorder*) *linorder*, i.e., here the argument types have to be in class *linorder*.

```
derive compare-order complex  
derive compare complex2  
derive linorder complex2  
derive countable complex complex2  
derive equality complex  
derive hashable complex complex2
```

end

10 Acknowledgements

We thank

- Lukas Bulwahn and Brian Huffman for the discussion on a generic *derive* command.
- Jasmin Blanchette for providing the tactic for countability for BNF-based datatypes.

- Jasmin Blanchette and Dmitriy Traytel for adjusting the Isabelle/ML interface of the BNF-based datatypes.
- Alexander Krauss for telling us to avoid the function package for this task.
- Peter Lammich for the inspiration of developing a hash-function generator.
- Andreas Lochbihler for the inspiration of developing generators for the container framework.
- Christian Urban for his cookbook on Isabelle/ML.
- Stefan Berghofer, Florian Haftmann, Cezary Kaliszyk, Tobias Nipkow, and Makarius Wenzel for their explanations on several Isabelle related questions.

References

- [1] P. Lammich and A. Lochbihler. The Isabelle collections framework. In *Proc. ITP'10*, volume 6172 of *LNCS*, pages 339–354, 2010.
- [2] A. Lochbihler. Light-weight containers for isabelle: Efficient, extensible, nestable. In *Proc. ITP'13*, volume 7998 of *LNCS*, pages 116–132, 2013.
- [3] R. Thiemann and C. Sternagel. Certification of termination proofs using CeTA. In *Proc. TPHOLs'09*, volume 5674 of *LNCS*, pages 452–468, 2009.