Depth-First Search

Toshiaki Nishihara

Yasuhiko Minamide

March 17, 2025

Abstract

Depth-first search of a graph is formalized with function. It is shown that it visits all of the reachable nodes from a given list of nodes. Executable ML code of depth-first search is obtained with code generation feature of Isabelle/HOL. The formalization contains two implementations of depth-first search: one by stack and one by nested recursion. They are shown to be equivalent. The termination condition of the version with nested-recursion is shown by the method of inductive invariants.

Contents

1	Dep	oth-First Search
	1.1	Definition of Graphs
	1.2	Depth-First Search with Stack
	1.3	Depth-First Search with Nested-Recursion
	1.4	Basic Properties
	1.5	Correctness
	1.6	Executable Code

1 Depth-First Search

theory DFS imports Main begin

1.1 Definition of Graphs

```
typedecl node

type-synonym graph = (node * node) list

primrec nexts :: [graph, node] \Rightarrow node list

where

nexts [] n = []

| nexts (e \# es) n = (if fst e = n then snd e \# nexts es n else nexts es n)
```

```
definition nextss :: [graph, node \ list] \Rightarrow node \ set
where nextss g \ xs = set \ g " set \ xs

lemma nexts-set: y \in set \ (nexts \ g \ x) = ((x,y) \in set \ g)
\langle proof \rangle

lemma nextss-Cons: nextss g \ (x\#xs) = set \ (nexts \ g \ x) \cup nextss \ g \ xs
\langle proof \rangle

definition reachable :: [graph, node \ list] \Rightarrow node \ set
where reachable \ g \ xs = (set \ g)^* " set \ xs
```

1.2 Depth-First Search with Stack

```
definition nodes-of :: graph \Rightarrow node \ set
where nodes-of g = set \ (map \ fst \ g \ @ \ map \ snd \ g)

lemma [simp]: x \notin nodes-of g \Longrightarrow nexts \ g \ x = []
\langle proof \rangle

lemma [simp]: finite \ (nodes-of g - set \ ys)
\langle proof \rangle

function
dfs :: graph \Rightarrow node \ list \Rightarrow node \ list
where
dfs-base: dfs \ g \ [] \ ys = ys
| \ dfs-inductive: dfs \ g \ (x\#xs) \ ys = (if \ List.member \ ys \ x \ then \ dfs \ g \ xs \ ys \ else \ dfs \ g \ (nexts \ g \ x@xs) \ (x\#ys))
\langle proof \rangle

termination
```

- The second argument of dfs is a stack of nodes that will be visited.
- The third argument of *dfs* is a list of nodes that have been visited already.

1.3 Depth-First Search with Nested-Recursion

```
function
```

 $\langle proof \rangle$

```
dfs2:: graph \Rightarrow node \ list \Rightarrow node \ list where dfs2 \ g \ [] \ ys = ys | dfs2\text{-}inductive: dfs2 \ g \ (x\#xs) \ ys = (if \ List.member \ ys \ x \ then \ dfs2 \ g \ xs \ ys
```

```
else dfs2 g xs (dfs2 g (nexts g x) (x\#ys)))
\langle proof \rangle
lemma dfs2-invariant: dfs2-dom (g, xs, ys) \Longrightarrow set ys \subseteq set (<math>dfs2 \ g \ xs \ ys)
\langle proof \rangle
termination dfs2
\langle proof \rangle
lemma dfs-app: dfs g (xs@ys) zs = dfs g ys (dfs g xs zs)
  \langle proof \rangle
lemma dfs2 g xs ys = dfs g xs ys
  \langle proof \rangle
1.4
         Basic Properties
lemma visit-subset-dfs: set ys \subseteq set (dfs g xs ys)
  \langle proof \rangle
lemma next-subset-dfs: set xs \subseteq set (dfs g xs ys)
\langle proof \rangle
lemma nextss-closed-dfs'[rule-format]:
 nextss\ g\ ys \subseteq set\ xs \cup set\ ys \longrightarrow nextss\ g\ (dfs\ g\ xs\ ys) \subseteq set\ (dfs\ g\ xs\ ys)
  \langle proof \rangle
lemma nextss-closed-dfs: nextss g (dfs g xs []) \subseteq set (dfs g xs [])
  \langle proof \rangle
lemma Image-closed-trancl: assumes r "X \subseteq X shows r^*" X = X
\langle proof \rangle
lemma reachable-closed-dfs: reachable g \ xs \subseteq set(dfs \ g \ xs \ [])
\langle proof \rangle
lemma reachable-nexts: reachable g (nexts g x) \subseteq reachable g [x]
  \langle proof \rangle
lemma reachable-append: reachable g (xs @ ys) = reachable g xs \cup reachable g ys
  \langle proof \rangle
lemma dfs-subset-reachable-visit-nodes: set (dfs \ g \ xs \ ys) \subseteq reachable \ g \ xs \cup set \ ys
\langle proof \rangle
```

1.5 Correctness

```
theorem dfs-eq-reachable: set (dfs g xs []) = reachable g xs \langle proof \rangle

theorem y \in set (dfs g [x] []) = ((x,y) \in (set g)^*)

\langle proof \rangle
```

1.6 Executable Code

end

```
consts Node :: int \Rightarrow node

code-datatype Node

instantiation node :: equal
begin

definition equal\text{-}node :: node \Rightarrow node \Rightarrow bool
where

[code \ del]: equal\text{-}node = HOL.eq

instance \langle proof \rangle

end

declare [[code \ abort: HOL.equal :: node \Rightarrow node \Rightarrow bool]]

export-code dfs \ dfs2 in SML file \langle dfs.ML \rangle
```