

Compositional Security-Preserving Refinement for Concurrent Imperative Programs

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Abstract

The paper “Compositional Verification and Refinement of Concurrent Value-Dependent Noninterference” by Murray et. al. [MSPR16] presents a compositional theory of refinement for a value-dependent noninterference property, defined in [Mur15], for concurrent programs. This development formalises that refinement theory, and demonstrates its application on some small examples.

The formalisation is contained in the theory `CompositionalRefinement.thy`.

Examples are also present in the formalisation in the `Examples/` directory.

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```
theory CompositionalRefinement  
imports Dependent-SIFUM-Type-Systems.Compositionality  
begin
```

```
lemma inj-card-le:
```

$inj (f::'a \Rightarrow 'b) \Longrightarrow finite (UNIV::'b set) \Longrightarrow card (UNIV::'a set) \leq card (UNIV::'b set)$
by (blast intro: card-inj-on-le)

We define a generic locale for capturing refinement between an abstract and a concrete program. We then define and prove sufficient, conditions that preserve local security from the abstract to the concrete program.

Below we define a second locale that is more restrictive than this one. Specifically, this one allows the concrete program to have extra variables not present in the abstract one. These variables might be used, for instance, to implement a runtime stack that was implicit in the semantics of the abstract program; or as temporary storage for expression evaluation that may (appear to be) atomic in the abstract semantics.

The simpler locale below forbids extra variables in the concrete program, making the necessary conditions for preservation of local security simpler.

locale *sifum-refinement* =
abs: *sifum-security* dma_A $\mathcal{C}\text{-vars}_A$ \mathcal{C}_A *eval*_A *some-val* +
conc: *sifum-security* dma_C $\mathcal{C}\text{-vars}_C$ \mathcal{C}_C *eval*_C *some-val*
for $dma_A :: ('Var_A, 'Val) Mem \Rightarrow 'Var_A \Rightarrow Sec$
and $dma_C :: ('Var_C, 'Val) Mem \Rightarrow 'Var_C \Rightarrow Sec$
and $\mathcal{C}\text{-vars}_A :: 'Var_A \Rightarrow 'Var_A set$
and $\mathcal{C}\text{-vars}_C :: 'Var_C \Rightarrow 'Var_C set$
and $\mathcal{C}_A :: 'Var_A set$
and $\mathcal{C}_C :: 'Var_C set$
and $eval_A :: ('Com_A, 'Var_A, 'Val) LocalConf rel$
and $eval_C :: ('Com_C, 'Var_C, 'Val) LocalConf rel$
and *some-val* :: 'Val +
fixes *var_C-of* :: 'Var_A \Rightarrow 'Var_C
assumes *var_C-of-inj*: $inj\ var_C\text{-of}$
assumes *dma-consistent*:
 $dma_A (\lambda x_A. mem_C (var_C\text{-of } x_A)) x_A = dma_C mem_C (var_C\text{-of } x_A)$
assumes *C-vars-consistent*:
 $(var_C\text{-of } ' \mathcal{C}\text{-vars}_A x_A) = \mathcal{C}\text{-vars}_C (var_C\text{-of } x_A)$

assumes *control-vars-are-A-vars*:
 $\mathcal{C}_C = var_C\text{-of } ' \mathcal{C}_A$

1 General Compositional Refinement

The type of state relations between the abstract and compiled components. The job of a certifying compiler will be to exhibit one of these for each component it compiles. Below we'll define the conditions that such a relation needs to satisfy to give compositional refinement.

type-synonym $('Com_A, 'Var_A, 'Val, 'Com_C, 'Var_C) state\text{-relation} =$
 $(('Com_A, 'Var_A, 'Val) LocalConf \times ('Com_C, 'Var_C, 'Val) LocalConf) set$

context *sifum-refinement* **begin**

abbreviation

$conf-abv_A :: 'Com_A \Rightarrow 'Var_A Mds \Rightarrow ('Var_A, 'Val) Mem \Rightarrow (-,-,-) LocalConf$
 $(\langle \langle -, -, - \rangle_A \rangle [0, 0, 0] 1000)$

where

$\langle c, mds, mem \rangle_A \equiv ((c, mds), mem)$

abbreviation

$conf-abv_C :: 'Com_C \Rightarrow 'Var_C Mds \Rightarrow ('Var_C, 'Val) Mem \Rightarrow (-,-,-) LocalConf$
 $(\langle \langle -, -, - \rangle_C \rangle [0, 0, 0] 1000)$

where

$\langle c, mds, mem \rangle_C \equiv ((c, mds), mem)$

abbreviation

$eval-abv_A :: ('Com_A, 'Var_A, 'Val) LocalConf \Rightarrow (-, -, -) LocalConf \Rightarrow bool$
(infixl $\langle \rightsquigarrow_A \rangle$ **70)**

where

$x \rightsquigarrow_A y \equiv (x, y) \in eval_A$

abbreviation

$eval-abv_C :: ('Com_C, 'Var_C, 'Val) LocalConf \Rightarrow (-, -, -) LocalConf \Rightarrow bool$
(infixl $\langle \rightsquigarrow_C \rangle$ **70)**

where

$x \rightsquigarrow_C y \equiv (x, y) \in eval_C$

definition

$preserves-modes-mem :: ('Com_A, 'Var_A, 'Val, 'Com_C, 'Var_C) state-relation \Rightarrow bool$

where

$preserves-modes-mem \mathcal{R} \equiv$
 $(\forall c_A mds_A mem_A c_C mds_C mem_C. (\langle c_A, mds_A, mem_A \rangle_A, \langle c_C, mds_C, mem_C \rangle_C) \in \mathcal{R} \longrightarrow$
 $(\forall x_A. (mem_A x_A) = (mem_C (var_C-of x_A))) \wedge$
 $(\forall m. var_C-of ' mds_A m = (range var_C-of \cap mds_C m)))$

definition

$mem_A-of :: ('Var_C, 'Val) Mem \Rightarrow ('Var_A, 'Val) Mem$

where

$mem_A-of mem_C \equiv (\lambda x_A. (mem_C (var_C-of x_A)))$

definition

$mds_A-of :: 'Var_C Mds \Rightarrow 'Var_A Mds$

where

$mds_A-of mds_C \equiv (\lambda m. (inv var_C-of) ' (range var_C-of \cap mds_C m))$

lemma *low-mds-eq-from-conc-to-abs*:

$conc.low-mds-eq mds mem mem' \Longrightarrow abs.low-mds-eq (mds_A-of mds) (mem_A-of mem) (mem_A-of mem')$

apply(*clarsimp simp: abs.low-mds-eq-def conc.low-mds-eq-def mem_A-of-def mds_A-of-def*)
using *var_C-of-inj*
by (*metis IntI control-vars-are-A-vars dma-consistent image-eqI inv-f-f rangeI*)

definition

var_A-of :: 'Var_C ⇒ 'Var_A

where

var_A-of ≡ *inv var_C-of*

lemma *preserves-modes-mem-mem_A-simp*:

(∀ *x_A*. (*mem_A x_A*) = (*mem_C (var_C-of x_A)*)) ⇒

mem_A = *mem_A-of mem_C*

unfolding *mem_A-of-def* **by** *blast*

lemma *preserves-modes-mem-mds_A-simp*:

(∀ *m*. *var_C-of* ' *mds_A m* = *range (var_C-of) ∩ mds_C m*) ⇒

mds_A = *mds_A-of mds_C*

unfolding *mds_A-of-def*

apply(*rule ext*)

apply(*drule-tac x=m in spec*)

apply(*rule equalityI*)

apply *clarsimp*

apply(*rename-tac x_A*)

apply(*drule equalityD1*)

apply(*drule-tac c=var_C-of x_A in subsetD*)

apply *blast*

unfolding *image-def*

apply *clarsimp*

apply(*rule-tac x=var_C-of x_A in be_xI*)

apply(*rule sym*)

apply(*rule inv-f-f[OF var_C-of-inj]*)

apply(*drule inj-onD[OF var_C-of-inj]*)

apply *blast+*

apply *clarsimp*

apply(*rename-tac x_A*)

apply(*simp add: inv-f-f[OF var_C-of-inj]*)

apply(*drule equalityD2*)

apply(*drule-tac c=var_C-of x_A in subsetD*)

apply *blast*

apply *clarsimp*

apply(*drule inj-onD[OF var_C-of-inj]*)

apply *blast+*

done

This version might be more useful. Not sure yet.

lemma *preserves-modes-mem-def2*:

preserves-modes-mem \mathcal{R} =

(∀ *c_A mds_A mem_A c_C mds_C mem_C*. (⟨ *c_A, mds_A, mem_A ⟩_A, ⟨ *c_C, mds_C, mem_C ⟩_C**

$\rangle_C) \in \mathcal{R} \longrightarrow$
 $mem_A = mem_{A\text{-of } mem_C} \wedge$
 $mds_A = mds_{A\text{-of } mds_C}$
unfolding *preserves-modes-mem-def*
apply(*rule iffI*)
apply(*blast dest: preserves-modes-mem-mem_A-simp preserves-modes-mem-mds_A-simp*)
apply safe
apply(*elim allE impE, assumption, elim conjE*)
apply(*simp add: mem_A-of-def*)
apply blast
apply clarsimp
apply(*rename-tac x_A*)
apply(*elim allE impE, assumption, elim conjE*)
apply clarsimp
apply(*clarsimp simp: mds_A-of-def image-def*)
apply(*simp add: inv-f-f[OF var_C-of-inj]*)
apply clarsimp
apply(*rename-tac x_A*)
apply(*rule imageI*)
apply(*elim allE impE, assumption, elim conjE*)
apply(*clarsimp simp: mds_A-of-def*)
apply(*subst image-def*)
apply clarify
apply(*rule-tac x=var_C-of x_A in beXI*)
apply(*simp add: inv-f-f[OF var_C-of-inj]*)
apply blast
done

definition

$closed\text{-others} :: ('Com_A, 'Var_A, 'Val, 'Com_C, 'Var_C) \text{ state-relation} \Rightarrow \text{bool}$

where

$closed\text{-others } \mathcal{R} \equiv$
 $(\forall c_A c_C mds_C mem_C mem_C'. (\langle c_A, mds_{A\text{-of } mds_C}, mem_{A\text{-of } mem_C} \rangle_A, \langle c_C,$
 $mds_C, mem_C \rangle_C) \in \mathcal{R} \longrightarrow$
 $(\forall x. mem_C x \neq mem_C' x \longrightarrow \neg \text{var-asm-not-written } mds_C x) \longrightarrow$
 $(\forall x. dma_C mem_C x \neq dma_C mem_C' x \longrightarrow \neg \text{var-asm-not-written } mds_C x) \longrightarrow$
 $(\langle c_A, mds_{A\text{-of } mds_C}, mem_{A\text{-of } mem_C'} \rangle_A, \langle c_C, mds_C, mem_C' \rangle_C) \in \mathcal{R})$

definition

$stops_C :: ('Com_C, 'Var_C, 'Val) \text{ LocalConf} \Rightarrow \text{bool}$

where

$stops_C c \equiv \forall c'. \neg (c \rightsquigarrow_C c')$

lemmas $neval\text{-induct} = \text{abs.neval.induct}[\text{consumes } 1, \text{case-names Zero Suc}]$

lemma $strong\text{-low-bisim-neval}'$:

$\text{abs.neval } c_1 \ n \ c_n \Longrightarrow (c_1, c_1') \in \mathcal{R}_A \Longrightarrow \text{snd } (\text{fst } c_1) = \text{snd } (\text{fst } c_1') \Longrightarrow$
 $\text{abs.strong-low-bisim-mm } \mathcal{R}_A \Longrightarrow$

$\exists c_n'. \text{abs.neval } c_1' \ n \ c_n' \wedge (c_n, c_n') \in \mathcal{R}_A \wedge \text{snd } (\text{fst } c_n) = \text{snd } (\text{fst } (c_n'))$
proof(*induct arbitrary: c₁' rule: neval-induct*)
case (*Zero c₁ c_n*)
hence $\text{abs.neval } c_1' \ 0 \ c_1' \wedge (c_n, c_1') \in \mathcal{R}_A \wedge \text{snd } (\text{fst } c_n) = \text{snd } (\text{fst } c_1')$
by(*blast intro: abs.neval.intros(1)*)
thus *?case by blast*
next
case (*Suc lc₀ lc₁ n lc_n lc₀'*)
obtain *c₀ mds₀ mem₀*
where [*simp*]: $lc_0 = \langle c_0, \text{mds}_0, \text{mem}_0 \rangle_A$ **by** (*case-tac lc₀, auto*)
obtain *c₁ mds₁ mem₁*
where [*simp*]: $lc_1 = \langle c_1, \text{mds}_1, \text{mem}_1 \rangle_A$ **by** (*case-tac lc₁, auto*)
from $\langle \text{snd } (\text{fst } lc_0) = \text{snd } (\text{fst } lc_0') \rangle$ **obtain** *c₀' mem₀'*
where [*simp*]: $lc_0' = \langle c_0', \text{mds}_0, \text{mem}_0' \rangle_A$ **by** (*case-tac lc₀', auto*)

from $\langle (lc_0, lc_0') \in \mathcal{R}_A \rangle$ [*simplified*] $\langle lc_0 \rightsquigarrow_A lc_1 \rangle$ [*simplified*] $\langle \text{abs.strong-low-bisim-mm } \mathcal{R}_A \rangle$
obtain *c₁' mem₁'* **where** *a: $\langle c_0', \text{mds}_0, \text{mem}_0' \rangle_A \rightsquigarrow_A \langle c_1', \text{mds}_1, \text{mem}_1' \rangle_A$* **and**
b: $(\langle c_1, \text{mds}_1, \text{mem}_1 \rangle_A, \langle c_1', \text{mds}_1, \text{mem}_1' \rangle_A) \in \mathcal{R}_A$
unfolding *abs.strong-low-bisim-mm-def*
by *blast*

from this Suc.hyps Suc(6) **obtain** *lc_S'* **where** $\text{abs.neval } \langle c_1', \text{mds}_1, \text{mem}_1' \rangle_A \ n$
 lc_S' **and** $(lc_n, lc_S') \in \mathcal{R}_A$ **and** $\text{snd } (\text{fst } lc_n) = \text{snd } (\text{fst } lc_S')$
by *force*
with *Suc this a b* **show** *?case by(fastforce intro: abs.neval.intros(2))*
qed

lemma *strong-low-bisim-neval:*

$\text{abs.neval } \langle c_1, \text{mds}_1, \text{mem}_1 \rangle_A \ n \ \langle c_n, \text{mds}_n, \text{mem}_n \rangle_A \implies (\langle c_1, \text{mds}_1, \text{mem}_1 \rangle_A, \langle c_1', \text{mds}_1, \text{mem}_1' \rangle_A)$
 $\in \mathcal{R}_A \implies \text{abs.strong-low-bisim-mm } \mathcal{R}_A \implies$
 $\exists c_n' \ \text{mem}_n'. \text{abs.neval } \langle c_1', \text{mds}_1, \text{mem}_1' \rangle_A \ n \ \langle c_n', \text{mds}_n, \text{mem}_n' \rangle_A \wedge (\langle c_n, \text{mds}_n, \text{mem}_n \rangle_A, \langle c_n', \text{mds}_n, \text{mem}_n' \rangle_A)$
 $\in \mathcal{R}_A$
by(*drule strong-low-bisim-neval', simp+*)

lemma *in- \mathcal{R} -dma':*

assumes *preserves: preserves-modes-mem \mathcal{R}*
assumes *in- \mathcal{R} : $(\langle c_A, \text{mds}_A, \text{mem}_A \rangle_A, \langle c_C, \text{mds}_C, \text{mem}_C \rangle_C) \in \mathcal{R}$*
shows $\text{dma}_A \ \text{mem}_A \ x_A = \text{dma}_C \ \text{mem}_C \ (\text{var}_C\text{-of } x_A)$

proof –

from *assms* **have**

$\text{mds}_A\text{-def: } \text{mds}_A = \text{mds}_A\text{-of } \text{mds}_C$ **and**
 $\text{mem}_A\text{-def: } \text{mem}_A = \text{mem}_A\text{-of } \text{mem}_C$
unfolding *preserves-modes-mem-def2* **by** *blast+*

have $\text{dma}_A \ (\text{mem}_A\text{-of } \text{mem}_C) \ x_A = \text{dma}_C \ \text{mem}_C \ (\text{var}_C\text{-of } x_A)$
unfolding *mem_A-of-def*
by(*rule dma-consistent*)

thus *?thesis*
 by(*simp add: mem_A-def*)
 qed

lemma *in- \mathcal{R} -dma*:

assumes *preserves: preserves-modes-mem \mathcal{R}*
 assumes *in- \mathcal{R}* : $(\langle c_A, mds_A, mem_A \rangle_A, \langle c_C, mds_C, mem_C \rangle_C) \in \mathcal{R}$
 shows $dma_A mem_A = (dma_C mem_C \circ var_C\text{-of})$
 unfolding *o-def*
 using *assms* by(*blast intro: in- \mathcal{R} -dma'*)

definition

new-vars-private :: $(Com_A, Var_A, Val, Com_C, Var_C)$ *state-relation* \Rightarrow *bool*
 where
new-vars-private $\mathcal{R} \equiv$
 $(\forall c_{1A} mds_A mem_{1A} c_{1C} mds_C mem_{1C}.$
 $(\langle c_{1A}, mds_A, mem_{1A} \rangle_A, \langle c_{1C}, mds_C, mem_{1C} \rangle_C) \in \mathcal{R} \longrightarrow$
 $(\forall c_{1C}' mds_C' mem_{1C}'. \langle c_{1C}, mds_C, mem_{1C} \rangle_C \rightsquigarrow_C \langle c_{1C}', mds_C', mem_{1C}' \rangle_C \longrightarrow$
 $(\forall v_C. (mem_{1C}' v_C \neq mem_{1C} v_C \vee dma_C mem_{1C}' v_C < dma_C mem_{1C} v_C)$
 $\wedge v_C \notin range\ var_C\text{-of} \longrightarrow v_C \in mds_C' AsmNoReadOrWrite) \wedge$
 $(mds_C AsmNoReadOrWrite - (range\ var_C\text{-of})) \subseteq (mds_C' AsmNoReadOrWrite$
 $- (range\ var_C\text{-of}))))$

lemma *not-less-eq-is-greater-Sec*:

$(\neg a \leq (b::Sec)) = (a > b)$
 unfolding *less-Sec-def less-eq-Sec-def* using *Sec.exhaust* by *blast*

lemma *doesnt-have-mode*:

$(x \notin mds_A\text{-of}\ mds_C\ m) = (var_C\text{-of}\ x \notin mds_C\ m)$
 apply(*clarsimp simp: mds_A-of-def image-def*)
 apply(*rule iffI*)
 apply *clarsimp*
 apply(*drule-tac x=var_C-of x in bspec*)
 apply *blast*
 apply(*simp add: inv-f-f[OF var_C-of-inj]*)
 apply(*clarify*)
 apply(*simp add: inv-f-f[OF var_C-of-inj]*)
 done

lemma *new-vars-private-does-the-thing*:

assumes *nice: new-vars-private \mathcal{R}*
 assumes *in- \mathcal{R}_1* : $(\langle c_{1A}, mds_A\text{-of}\ mds_C, mem_A\text{-of}\ mem_{1C} \rangle_A, \langle c_{1C}, mds_C, mem_{1C} \rangle_C) \in \mathcal{R}$
 assumes *in- \mathcal{R}_2* : $(\langle c_{2A}, mds_A\text{-of}\ mds_C, mem_A\text{-of}\ mem_{2C} \rangle_A, \langle c_{2C}, mds_C, mem_{2C} \rangle_C) \in \mathcal{R}$
 assumes *step_{1C}*: $\langle c_{1C}, mds_C, mem_{1C} \rangle_C \rightsquigarrow_C \langle c_{1C}', mds_C', mem_{1C}' \rangle_C$
 assumes *step_{2C}*: $\langle c_{2C}, mds_C, mem_{2C} \rangle_C \rightsquigarrow_C \langle c_{2C}', mds_C', mem_{2C}' \rangle_C$

assumes *low-mds-eq_C*: *conc.low-mds-eq mds_C mem_{1C} mem_{2C}*
assumes *low-mds-eq_{A'}*: *abs.low-mds-eq (mds_A-of mds_{C'}) (mem_A-of mem_{1C'})*
(mem_A-of mem_{2C'})
shows *conc.low-mds-eq mds_{C'} mem_{1C'} mem_{2C'}*
unfolding *conc.low-mds-eq-def*
proof(*clarify*)
let *?mem_{1A} = mem_A-of mem_{1C}*
let *?mem_{2A} = mem_A-of mem_{2C}*
let *?mem_{1A'} = mem_A-of mem_{1C'}*
let *?mem_{2A'} = mem_A-of mem_{2C'}*
let *?mds_A = mds_A-of mds_C*
let *?mds_{A'} = mds_A-of mds_{C'}*
fix *x_C*
assume *is-Low_{C'}*: *dma_C mem_{1C'} x_C = Low*
assume *is-readable_{C'}*: *x_C ∈ C_C ∨ x_C ∉ mds_{C'} AsmNoReadOrWrite*
show *mem_{1C'} x_C = mem_{2C'} x_C*
proof(*cases dma_C mem_{1C'} x_C ≥ dma_C mem_{1C} x_C ∧ mem_{1C'} x_C = mem_{1C} x_C*
∧ mem_{2C'} x_C = mem_{2C} x_C ∧ (x_C ∈ mds_C AsmNoReadOrWrite → x_C ∈ mds_{C'}
AsmNoReadOrWrite))
assume *easy*: *dma_C mem_{1C'} x_C ≥ dma_C mem_{1C} x_C ∧ mem_{1C'} x_C = mem_{1C}*
x_C ∧ mem_{2C'} x_C = mem_{2C} x_C ∧ (x_C ∈ mds_C AsmNoReadOrWrite → x_C ∈
mds_{C'} AsmNoReadOrWrite)
with *is-Low_{C'}* **have** *is-Low_C*: *dma_C mem_{1C} x_C = Low* **by** (*simp add: less-eq-Sec-def*)
from *easy is-readable_{C'}* **have** *is-readable_C*: *x_C ∈ C_C ∨ x_C ∉ mds_C AsmNoRe-*
adOrWrite **by** *blast*
from *is-Low_C is-readable_C low-mds-eq_C* **have** *mem_{1C} x_C = mem_{2C} x_C*
unfolding *conc.low-mds-eq-def* **by** *blast*
with *easy* **show** *?thesis* **by** *metis*
next
assume *a*: *¬ (dma_C mem_{1C} x_C ≤ dma_C mem_{1C'} x_C ∧*
mem_{1C'} x_C = mem_{1C} x_C ∧
mem_{2C'} x_C = mem_{2C} x_C ∧ (x_C ∈ mds_C AsmNoReadOrWrite → x_C ∈
mds_{C'} AsmNoReadOrWrite))
hence *a-disj*: *(dma_C mem_{1C} x_C > dma_C mem_{1C'} x_C ∨*
mem_{1C'} x_C ≠ mem_{1C} x_C ∨
mem_{2C'} x_C ≠ mem_{2C} x_C ∨ (x_C ∈ mds_C AsmNoReadOrWrite ∧ x_C ∉ mds_{C'}
AsmNoReadOrWrite))
using *not-less-eq-is-greater-Sec* **by** *blast*
show *mem_{1C'} x_C = mem_{2C'} x_C*
proof(*cases x_C ∈ range var_C-of*)
assume *C-only-var*: *x_C ∉ range var_C-of*
with *in-ℛ₁ step_{1C} nice*
have *(mem_{1C'} x_C ≠ mem_{1C} x_C ∨ dma_C mem_{1C'} x_C < dma_C mem_{1C} x_C)*
→ x_C ∈ mds_{C'} AsmNoReadOrWrite
unfolding *new-vars-private-def* **by** *blast*
moreover from *C-only-var in-ℛ₂ step_{2C} nice* **have** *(mem_{2C'} x_C ≠ mem_{2C}*
x_C) → x_C ∈ mds_{C'} AsmNoReadOrWrite
unfolding *new-vars-private-def* **by** *blast*
moreover from *C-only-var in-ℛ₁ step_{1C} nice* **have** *x_C ∈ mds_C AsmNoRe-*

adOrWrite \longrightarrow $x_C \in mds_C'$ *AsmNoReadOrWrite* **unfolding** *new-vars-private-def*
by *blast*
moreover from *C-only-var is-readable_{C'}* **have** $x_C \notin mds_C'$ *AsmNoReadOrWrite*
Write
using *control-vars-are-A-vars* **by** *blast*
ultimately have *False* **using** *a-disj* **by** *blast*
thus *?thesis* **by** *blast*
next
assume *in-val_C-of*: $x_C \in \text{range } \text{var}_{C\text{-of}}$
from *this* **obtain** x_A **where** *x_C-def*: $x_C = \text{var}_{C\text{-of}} x_A$ **by** *blast*
from *is-Low_{C'}* **have** *is-Low_{A'}*: *dma_A* *?mem_{1A'}* $x_A = \text{Low}$
using *dma-consistent* **unfolding** *mem_A-of-def* *x_C-def* **by** *force*
from *is-readable_{C'}* **have** *is-readable_{A'}*: $x_A \in \mathcal{C}_A \vee x_A \notin ?mds_A'$ *AsmNoReadOrWrite*
using *control-vars-are-A-vars* *x_C-def* *doesnt-have-mode[symmetric]* *var_C-of-inj*
inj-image-mem-iff **by** *fast*
with *is-Low_{A'}* *low-mds-eq_{A'}* **have** *x_A-eq'*: *?mem_{1A'}* $x_A = ?mem_{2A'} x_A$
unfolding *abs.low-mds-eq-def* **by** *blast*
thus *?thesis* **by** (*simp add: mem_A-of-def* *x_C-def*)
qed
qed
qed

Perhaps surprisingly, we don't necessarily care whether the refinement preserves termination or divergence behaviour from the source to the target program. It can do whatever it likes, so long as it transforms two source programs that are low bisimilar (i.e. perform the same low actions at the same time), into two target ones that perform the same low actions at the same time.

Having the concrete step correspond to zero abstract ones is like expanding abstract code out (think e.g. of side-effect free expression evaluation). Having the concrete step correspond to more than one abstract step is like optimising out abstract code. But importantly, the optimisation needs to look the same for abstract-bisimilar code.

Additionally, we allow the instantiation of this theory to supply an arbitrary predicate that can be used to restrict our consideration to pairs of concrete steps that correspond to each other in terms of progress. This is particularly important for distinguishing between multiple concrete steps derived from the expansion of a single abstract step.

definition

secure-refinement $:: ('Com_A, 'Var_A, 'Val) \text{LocalConf } rel \Rightarrow ('Com_A, 'Var_A, 'Val, 'Com_C, 'Var_C) \text{state-relation} \Rightarrow$
 $('Com_C, 'Var_C, 'Val) \text{LocalConf } rel \Rightarrow \text{bool}$

where

secure-refinement $\mathcal{R}_A \ \mathcal{R} \ P \equiv$
closed-others $\mathcal{R} \wedge$
preserves-modes-mem $\mathcal{R} \wedge$

new-vars-private $\mathcal{R} \wedge$
conc.closed-glob-consistent $P \wedge$
 $(\forall c_{1A} \text{ mds}_A \text{ mem}_{1A} c_{1C} \text{ mds}_C \text{ mem}_{1C}.$
 $(\langle c_{1A}, \text{ mds}_A, \text{ mem}_{1A} \rangle_A, \langle c_{1C}, \text{ mds}_C, \text{ mem}_{1C} \rangle_C) \in \mathcal{R} \longrightarrow$
 $(\forall c_{1C}' \text{ mds}_{C'} \text{ mem}_{1C}'. \langle c_{1C}, \text{ mds}_C, \text{ mem}_{1C} \rangle_C \rightsquigarrow_C \langle c_{1C}', \text{ mds}_{C'}, \text{ mem}_{1C}' \rangle_C$
 \longrightarrow
 $(\exists n c_{1A}' \text{ mds}_{A'} \text{ mem}_{1A}'. \text{abs.neval } \langle c_{1A}, \text{ mds}_A, \text{ mem}_{1A} \rangle_A n \langle c_{1A}', \text{ mds}_{A'}, \text{ mem}_{1A}' \rangle_A \wedge$
 $(\langle c_{1A}', \text{ mds}_{A'}, \text{ mem}_{1A}' \rangle_A, \langle c_{1C}', \text{ mds}_{C'}, \text{ mem}_{1C}' \rangle_C) \in \mathcal{R} \wedge$
 $(\forall c_{2A} \text{ mem}_{2A} c_{2C} \text{ mem}_{2C} c_{2A}' \text{ mem}_{2A}'.$
 $(\langle c_{1A}, \text{ mds}_A, \text{ mem}_{1A} \rangle_A, \langle c_{2A}, \text{ mds}_A, \text{ mem}_{2A} \rangle_A) \in \mathcal{R}_A \wedge$
 $(\langle c_{2A}, \text{ mds}_A, \text{ mem}_{2A} \rangle_A, \langle c_{2C}, \text{ mds}_C, \text{ mem}_{2C} \rangle_C) \in \mathcal{R} \wedge$
 $(\langle c_{1C}, \text{ mds}_C, \text{ mem}_{1C} \rangle_C, \langle c_{2C}, \text{ mds}_C, \text{ mem}_{2C} \rangle_C) \in P \wedge$
 $\text{abs.neval } \langle c_{2A}, \text{ mds}_A, \text{ mem}_{2A} \rangle_A n \langle c_{2A}', \text{ mds}_{A'}, \text{ mem}_{2A}' \rangle_A \longrightarrow$
 $(\exists c_{2C}' \text{ mem}_{2C}'. \langle c_{2C}, \text{ mds}_C, \text{ mem}_{2C} \rangle_C \rightsquigarrow_C \langle c_{2C}', \text{ mds}_{C'}, \text{ mem}_{2C}' \rangle_C$
 $\rangle_C \wedge$
 $(\langle c_{2A}', \text{ mds}_{A'}, \text{ mem}_{2A}' \rangle_A, \langle c_{2C}', \text{ mds}_{C'}, \text{ mem}_{2C}' \rangle_C) \in \mathcal{R} \wedge$
 $(\langle c_{1C}', \text{ mds}_{C'}, \text{ mem}_{1C}' \rangle_C, \langle c_{2C}', \text{ mds}_{C'}, \text{ mem}_{2C}' \rangle_C) \in P))))))$

lemma *preserves-modes-memD*:

$\llbracket \text{preserves-modes-mem } \mathcal{R}; (\langle c_A, \text{ mds}_A, \text{ mem}_A \rangle_A, \langle c_C, \text{ mds}_C, \text{ mem}_C \rangle_C) \in \mathcal{R} \rrbracket \implies$
 $\text{mem}_A = \text{mem}_A\text{-of mem}_C \wedge \text{mds}_A = \text{mds}_A\text{-of mds}_C$
using *preserves-modes-mem-def2* **by** *blast*

lemma *secure-refinement-def2*:

secure-refinement $\mathcal{R}_A \mathcal{R} P \equiv$
closed-others $\mathcal{R} \wedge$
preserves-modes-mem $\mathcal{R} \wedge$
new-vars-private $\mathcal{R} \wedge$
conc.closed-glob-consistent $P \wedge$
 $(\forall c_{1A} c_{1C} \text{ mds}_C \text{ mem}_{1C}.$
 $(\langle c_{1A}, \text{ mds}_A\text{-of mds}_C, \text{ mem}_A\text{-of mem}_{1C} \rangle_A, \langle c_{1C}, \text{ mds}_C, \text{ mem}_{1C} \rangle_C) \in \mathcal{R} \longrightarrow$
 $(\forall c_{1C}' \text{ mds}_{C'} \text{ mem}_{1C}'. \langle c_{1C}, \text{ mds}_C, \text{ mem}_{1C} \rangle_C \rightsquigarrow_C \langle c_{1C}', \text{ mds}_{C'}, \text{ mem}_{1C}' \rangle_C$
 $\rangle_C \longrightarrow$
 $(\exists n c_{1A}'. \text{abs.neval } \langle c_{1A}, \text{ mds}_A\text{-of mds}_C, \text{ mem}_A\text{-of mem}_{1C} \rangle_A n \langle c_{1A}', \text{ mds}_A\text{-of mds}_{C'}, \text{ mem}_A\text{-of mem}_{1C}' \rangle_A \wedge$
 $(\langle c_{1A}', \text{ mds}_A\text{-of mds}_{C'}, \text{ mem}_A\text{-of mem}_{1C}' \rangle_A, \langle c_{1C}', \text{ mds}_{C'}, \text{ mem}_{1C}' \rangle_C) \in \mathcal{R} \wedge$
 $(\forall c_{2A} c_{2C} \text{ mem}_{2C} c_{2A}' \text{ mem}_{2A}'.$
 $(\langle c_{1A}, \text{ mds}_A\text{-of mds}_C, \text{ mem}_A\text{-of mem}_{1C} \rangle_A, \langle c_{2A}, \text{ mds}_A\text{-of mds}_C, \text{ mem}_A\text{-of mem}_{2C} \rangle_A) \in \mathcal{R}_A \wedge$
 $(\langle c_{2A}, \text{ mds}_A\text{-of mds}_C, \text{ mem}_A\text{-of mem}_{2C} \rangle_A, \langle c_{2C}, \text{ mds}_C, \text{ mem}_{2C} \rangle_C) \in$
 $\mathcal{R} \wedge$
 $(\langle c_{1C}, \text{ mds}_C, \text{ mem}_{1C} \rangle_C, \langle c_{2C}, \text{ mds}_C, \text{ mem}_{2C} \rangle_C) \in P \wedge$
 $\text{abs.neval } \langle c_{2A}, \text{ mds}_A\text{-of mds}_C, \text{ mem}_A\text{-of mem}_{2C} \rangle_A n \langle c_{2A}', \text{ mds}_A\text{-of mds}_{C'}, \text{ mem}_{2A}' \rangle_A \longrightarrow$
 $(\exists c_{2C}' \text{ mem}_{2C}'. \langle c_{2C}, \text{ mds}_C, \text{ mem}_{2C} \rangle_C \rightsquigarrow_C \langle c_{2C}', \text{ mds}_{C'}, \text{ mem}_{2C}' \rangle_C$
 $\rangle_C \wedge$
 $(\langle c_{2A}', \text{ mds}_A\text{-of mds}_{C'}, \text{ mem}_{2A}' \rangle_A, \langle c_{2C}', \text{ mds}_{C'}, \text{ mem}_{2C}' \rangle_C) \in$

$\mathcal{R} \wedge$
 $(\langle c_{1C'}, mds_{C'}, mem_{1C'} \rangle_C, \langle c_{2C'}, mds_{C'}, mem_{2C'} \rangle_C) \in P)$

```

apply(rule eq-reflection)
unfolding secure-refinement-def
apply(rule conj-cong)
  apply(fastforce)
apply(rule conj-cong)
  apply(fastforce)
apply(rule conj-cong)
  apply(fastforce)
apply(rule conj-cong, fastforce)
apply(rule iffI)
  apply(intro allI conjI impI)
  apply((drule spec)+,erule (1) impE)
  apply((drule spec)+,erule (1) impE)
  using preserves-modes-memD applymetis
apply(intro allI conjI impI)
apply(frule (1) preserves-modes-memD, clarify)
apply((drule spec)+,erule (1) impE)
apply((drule spec)+,erule (1) impE)
using preserves-modes-memD applymetis
done

```

lemma *extra-vars-are-not-control-vars*:
 $x \notin \text{range } \text{var}_C\text{-of} \implies x \notin \mathcal{C}_C$
proof(erule contrapos-nn)
assume $x \in \mathcal{C}_C$
from *this* **obtain** x_A **where** $x = \text{var}_C\text{-of } x_A$
using *control-vars-are-A-vars* **by** blast
thus $x \in \text{range } \text{var}_C\text{-of}$ **by** blast
qed

definition

$R_C\text{-of} ::$
 $((('Com_A \times (Mode \Rightarrow 'Var_A \text{ set})) \times ('Var_A \Rightarrow 'Val)) \times$
 $('Com_A \times (Mode \Rightarrow 'Var_A \text{ set})) \times ('Var_A \Rightarrow 'Val)) \text{ set} \Rightarrow$
 $('Com_A, 'Var_A, 'Val, 'Com_C, 'Var_C) \text{ state-relation} \Rightarrow$
 $((('Com_C \times (Mode \Rightarrow 'Var_C \text{ set})) \times ('Var_C \Rightarrow 'Val)) \times$
 $('Com_C \times (Mode \Rightarrow 'Var_C \text{ set})) \times ('Var_C \Rightarrow 'Val)) \text{ set} \Rightarrow$
 $((('Com_C \times (Mode \Rightarrow 'Var_C \text{ set})) \times ('Var_C \Rightarrow 'Val)) \times$
 $('Com_C \times (Mode \Rightarrow 'Var_C \text{ set})) \times ('Var_C \Rightarrow 'Val)) \text{ set}$

where

$R_C\text{-of } \mathcal{R}_A \mathcal{R} P \equiv \{(x,y). \exists x_A y_A. (x_A,x) \in \mathcal{R} \wedge (y_A,y) \in \mathcal{R} \wedge (x_A,y_A) \in \mathcal{R}_A \wedge$
 $\text{snd } (fst x) = \text{snd } (fst y) \text{ — TODO: annoying to have to say } \wedge$
 $\text{conc.low-mds-eq } (\text{snd } (fst x)) (\text{snd } x) (\text{snd } y) \wedge$
 $(x,y) \in P\}$

lemma *abs-low-mds-eq-dma_C-eq*:

assumes *abs.low-mds-eq* ($mds_A\text{-of } mds$) ($mem_A\text{-of } mem_{1C}$) ($mem_A\text{-of } mem_{2C}$)

shows $dma_C mem_{1C} = dma_C mem_{2C}$
proof(*rule conc.dma-C, rule ballI*)
fix x_C
assume $x_C \in \mathcal{C}_C$
from this obtain x_A **where** $var_C\text{-of } x_A = x_C$ **and** $x_A \in \mathcal{C}_A$ **using** *control-vars-are-A-vars* **by** *blast*
from *assms* $\langle x_A \in \mathcal{C}_A \rangle$ **have** $(mem_A\text{-of } mem_{1C}) x_A = (mem_A\text{-of } mem_{2C}) x_A$
unfolding *abs.low-mds-eq-def*
using *abs.C-Low* **by** *blast*
thus $(mem_{1C} x_C) = (mem_{2C} x_C)$
using $\langle var_C\text{-of } x_A = x_C \rangle$ **unfolding** *mem_A-of-def* **by** *blast*
qed

lemma *R_C-ofD*:

assumes *rr: secure-refinement* $\mathcal{R}_A \mathcal{R} P$
assumes *in-R*: $(\langle c_{1C}, mds_C, mem_{1C} \rangle_C, \langle c_{2C}, mds_C', mem_{2C} \rangle_C) \in R_C\text{-of } \mathcal{R}_A$
 $\mathcal{R} P$
shows
 $(\exists c_{1A} c_{2A}. (\langle c_{1A}, mds_A\text{-of } mds_C, mem_A\text{-of } mem_{1C} \rangle_A, \langle c_{1C}, mds_C, mem_{1C} \rangle_C) \in \mathcal{R} \wedge$
 $(\langle c_{2A}, mds_A\text{-of } mds_C, mem_A\text{-of } mem_{2C} \rangle_A, \langle c_{2C}, mds_C, mem_{2C} \rangle_C) \in$
 $\mathcal{R} \wedge$
 $(\langle c_{1A}, mds_A\text{-of } mds_C, mem_A\text{-of } mem_{1C} \rangle_A, \langle c_{2A}, mds_A\text{-of } mds_C, mem_A\text{-of } mem_{2C} \rangle_A) \in \mathcal{R}_A) \wedge$
 $(mds_C' = mds_C) \wedge$
 $conc.\text{low-mds-eq } mds_C mem_{1C} mem_{2C} \wedge$
 $(\langle c_{1C}, mds_C, mem_{1C} \rangle_C, \langle c_{2C}, mds_C', mem_{2C} \rangle_C) \in P$
proof –
have *R-preserves-modes-mem*: *preserves-modes-mem* \mathcal{R}
using *rr* **unfolding** *secure-refinement-def* **by** *blast*

from *in-R* **obtain** $c_{1A} mds_{1A} mem_{1A} c_{2A} mds_{2A} mem_{2A}$ **where**
*in-R*₁: $(\langle c_{1A}, mds_{1A}, mem_{1A} \rangle_A, \langle c_{1C}, mds_C, mem_{1C} \rangle_C) \in \mathcal{R}$ **and**
*in-R*₂: $(\langle c_{2A}, mds_{2A}, mem_{2A} \rangle_A, \langle c_{2C}, mds_C', mem_{2C} \rangle_C) \in \mathcal{R}$ **and**
*in-R*_A: $(\langle c_{1A}, mds_{1A}, mem_{1A} \rangle_A, \langle c_{2A}, mds_{2A}, mem_{2A} \rangle_A) \in \mathcal{R}_A$ **and**
pred-holds: $(\langle c_{1C}, mds_C, mem_{1C} \rangle_C, \langle c_{2C}, mds_C, mem_{2C} \rangle_C) \in P$ **and**
mds-eq: $mds_C = mds_C'$ **and**
mds-eq: $conc.\text{low-mds-eq } mds_C mem_{1C} mem_{2C}$
unfolding *R_C-of-def* **by** *force+*

from this *R-preserves-modes-mem*[*simplified preserves-modes-mem-def2, rule-format, OF in-R*₁] *R-preserves-modes-mem*[*simplified preserves-modes-mem-def2, rule-format, OF in-R*₂]

show *?thesis* **by** *blast*

qed

lemma *R_C-ofI*:

$(\langle c_{1A}, mds_A\text{-of } mds_C, mem_A\text{-of } mem_{1C} \rangle_A, \langle c_{1C}, mds_C, mem_{1C} \rangle_C) \in \mathcal{R} \implies$
 $(\langle c_{2A}, mds_A\text{-of } mds_C, mem_A\text{-of } mem_{2C} \rangle_A, \langle c_{2C}, mds_C, mem_{2C} \rangle_C) \in \mathcal{R} \implies$

$(\langle c_{1A}, mds_A\text{-of } mds_C, mem_A\text{-of } mem_{1C} \rangle_A, \langle c_{2A}, mds_A\text{-of } mds_C, mem_A\text{-of } mem_{2C} \rangle_A) \in \mathcal{R}_A \implies$
 $conc.\text{low-}mds\text{-eq } mds_C \ mem_{1C} \ mem_{2C} \implies$
 $(\langle c_{1C}, mds_C, mem_{1C} \rangle_C, \langle c_{2C}, mds_C, mem_{2C} \rangle_C) \in P \implies$
 $(\langle c_{1C}, mds_C, mem_{1C} \rangle_C, \langle c_{2C}, mds_C, mem_{2C} \rangle_C) \in R_C\text{-of } \mathcal{R}_A \ \mathcal{R} \ P$
unfolding $R_C\text{-of-}def$ **by** $fastforce$

lemma $R_C\text{-of-sym}$:

assumes $sym \ \mathcal{R}_A$

assumes $P\text{-sym}$: $sym \ P$

assumes rr : $secure\text{-refinement } \mathcal{R}_A \ \mathcal{R} \ P$

assumes mm :

$\bigwedge c_1 \ mds \ mem_1 \ c_2 \ mds \ mem_2. (\langle c_1, mds, mem_1 \rangle_A, \langle c_2, mds, mem_2 \rangle_A) \in \mathcal{R}_A$
 \implies

$abs.\text{low-}mds\text{-eq } mds \ mem_1 \ mem_2$

shows $sym \ (R_C\text{-of } \mathcal{R}_A \ \mathcal{R} \ P)$

proof($rule \ symI$, $clarify$)

fix $c_{1C} \ mds_C \ mem_{1C} \ c_{2C} \ mds_C' \ mem_{2C}$

assume $in\text{-}R_C\text{-of}$: $(\langle c_{1C}, mds_C, mem_{1C} \rangle_C, \langle c_{2C}, mds_C', mem_{2C} \rangle_C) \in R_C\text{-of } \mathcal{R}_A \ \mathcal{R} \ P$

from $in\text{-}R_C\text{-of}$ **obtain** $c_{1A} \ c_{2A}$ **where**

$junk$:

$(\langle c_{1A}, mds_A\text{-of } mds_C, mem_A\text{-of } mem_{1C} \rangle_A, \langle c_{1C}, mds_C, mem_{1C} \rangle_C) \in \mathcal{R} \wedge$
 $(\langle c_{2A}, mds_A\text{-of } mds_C, mem_A\text{-of } mem_{2C} \rangle_A, \langle c_{2C}, mds_C, mem_{2C} \rangle_C) \in \mathcal{R} \wedge$
 $(\langle c_{1A}, mds_A\text{-of } mds_C, mem_A\text{-of } mem_{1C} \rangle_A, \langle c_{2A}, mds_A\text{-of } mds_C, mem_A\text{-of } mem_{2C} \rangle_A) \in \mathcal{R}_A \wedge$

$(mds_C' = mds_C) \wedge conc.\text{low-}mds\text{-eq } mds_C \ mem_{1C} \ mem_{2C} \wedge$

$(\langle c_{1C}, mds_C, mem_{1C} \rangle_C, \langle c_{2C}, mds_C, mem_{2C} \rangle_C) \in P$

using $rr \ R_C\text{-of}D$ **by** $fastforce+$

hence $dma\text{-eq}$: $dma_C \ mem_{1C} = dma_C \ mem_{2C}$

using $abs.\text{low-}mds\text{-eq-}dma_C\text{-eq}[OF \ mm]$ **by** $blast$

with $junk$ **have** $junk'$:

$(\langle c_{1A}, mds_A\text{-of } mds_C, mem_A\text{-of } mem_{1C} \rangle_A, \langle c_{1C}, mds_C, mem_{1C} \rangle_C) \in \mathcal{R} \wedge$
 $(\langle c_{2A}, mds_A\text{-of } mds_C, mem_A\text{-of } mem_{2C} \rangle_A, \langle c_{2C}, mds_C, mem_{2C} \rangle_C) \in \mathcal{R} \wedge$
 $(\langle c_{2A}, mds_A\text{-of } mds_C, mem_A\text{-of } mem_{2C} \rangle_A, \langle c_{1A}, mds_A\text{-of } mds_C, mem_A\text{-of } mem_{1C} \rangle_A) \in \mathcal{R}_A \wedge$

$(mds_C' = mds_C) \wedge$

$conc.\text{low-}mds\text{-eq } mds_C' \ mem_{2C} \ mem_{1C} \wedge$

$(\langle c_{2C}, mds_C, mem_{2C} \rangle_C, \langle c_{1C}, mds_C, mem_{1C} \rangle_C) \in P$

using $\langle sym \ \mathcal{R}_A \rangle \ P\text{-sym}$ **unfolding** $sym\text{-def}$ **using** $conc.\text{low-}mds\text{-eq-}sym$ **by** $metis$

thus $(\langle c_{2C}, mds_C', mem_{2C} \rangle_C, \langle c_{1C}, mds_C, mem_{1C} \rangle_C) \in R_C\text{-of } \mathcal{R}_A \ \mathcal{R} \ P$

using $R_C\text{-of}I$ **by** $auto$

qed

lemma $R_C\text{-of-simp}$:

assumes rr : $secure\text{-refinement } \mathcal{R}_A \ \mathcal{R} \ P$

shows $(\langle c_{1C}, mds_C, mem_{1C} \rangle_C, \langle c_{2C}, mds_C, mem_{2C} \rangle_C) \in R_C\text{-of } \mathcal{R}_A \ \mathcal{R} \ P =$

$(\exists c_{1A} \ c_{2A}. (\langle c_{1A}, mds_A\text{-of } mds_C, mem_A\text{-of } mem_{1C} \rangle_A, \langle c_{1C}, mds_C, mem_{1C} \rangle_C))$

$\in \mathcal{R} \wedge$
 $(\langle c_{2A}, mds_A\text{-of } mds_C, mem_A\text{-of } mem_{2C} \rangle_A, \langle c_{2C}, mds_C, mem_{2C} \rangle_C) \in$
 $\mathcal{R} \wedge$
 $(\langle c_{1A}, mds_A\text{-of } mds_C, mem_A\text{-of } mem_{1C} \rangle_A, \langle c_{2A}, mds_A\text{-of } mds_C, mem_A\text{-of } mem_{2C} \rangle_A) \in \mathcal{R}_A) \wedge$
 $conc.\text{low-}mds\text{-eq } mds_C \ mem_{1C} \ mem_{2C} \wedge$
 $(\langle c_{1C}, mds_C, mem_{1C} \rangle_C, \langle c_{2C}, mds_C, mem_{2C} \rangle_C) \in P)$
using *assms* **by**(*blast dest: R_C-ofD intro: R_C-ofI*)

definition

$A_A\text{-of} :: ('Var_C, 'Val) \text{ adaptation} \Rightarrow ('Var_A, 'Val) \text{ adaptation}$

where

$A_A\text{-of } A \equiv \lambda x_A. \text{ case } A \text{ (} var_C\text{-of } x_A \text{) of None} \Rightarrow \text{None} \mid$
 $\text{Some } (v, v') \Rightarrow \text{Some } (v, v')$

lemma *var-writable_A*:

$\neg \text{var-asm-not-written } mds_C \text{ (} var_C\text{-of } x) \Longrightarrow \neg \text{var-asm-not-written (} mds_A\text{-of } mds_C) x$
apply(*simp add: var-asm-not-written-def mds_A-of-def*)
apply(*auto simp: inv-f-f[OF var_C-of-inj]*)
done

lemma *A_A-asm-mem*:

assumes $A_C\text{-asm-mem: } \forall x. \text{ case } A_C \ x \text{ of None} \Rightarrow \text{True}$
 $\mid \text{Some } (v, v') \Rightarrow$
 $mem_{1C} \ x \neq v \vee mem_{2C} \ x \neq v' \longrightarrow \neg \text{var-asm-not-written } mds_C \ x$
shows $\text{case } (A_A\text{-of } A_C) \ x \text{ of None} \Rightarrow \text{True}$
 $\mid \text{Some } (v, v') \Rightarrow$
 $(mem_A\text{-of } mem_{1C}) \ x \neq v \vee (mem_A\text{-of } mem_{2C}) \ x \neq v' \longrightarrow \neg$
 $\text{var-asm-not-written (} mds_A\text{-of } mds_C) x$
apply(*split option.splits, simp, intro allI impI*)
proof –
fix $v \ v'$
assume $A_A\text{-not-None: } A_A\text{-of } A_C \ x = \text{Some } (v, v')$
assume $A_A\text{-updates-x: } mem_A\text{-of } mem_{1C} \ x = v \longrightarrow mem_A\text{-of } mem_{2C} \ x \neq v'$
from $A_A\text{-not-None}$ **have**
 $A_C\text{-not-None: } A_C \text{ (} var_C\text{-of } x) = \text{Some } (v, v')$
unfolding $A_A\text{-of-def}$ **by** (*auto split: option.splits*)

from $A_A\text{-updates-x}$ **have**
 $A_C\text{-updates-x: } mem_{1C} \text{ (} var_C\text{-of } x) \neq v \vee mem_{2C} \text{ (} var_C\text{-of } x) \neq v'$
unfolding $mem_A\text{-of-def}$ **by** *fastforce*

from $A_C\text{-not-None } A_C\text{-updates-x } A_C\text{-asm-mem}$ **have**
 $\neg \text{var-asm-not-written } mds_C \text{ (} var_C\text{-of } x) \text{ by (auto split: option.splits)}$

thus $\neg \text{var-asm-not-written (} mds_A\text{-of } mds_C) x$
by(*rule var-writable_A*)
qed

lemma *dma_A-adaptation-eq*:
dma_A ((mem_A-of mem_{1C}) [||₁ A_A-of A_C]) x_A = dma_C (mem_{1C} [||₁ A_C]) (var_C-of x_A)
apply(*subst dma-consistent*[*folded mem_A-of-def, symmetric*])
apply(*rule-tac x=x_A in fun-cong*)
apply(*rule-tac f=dma_A in arg-cong*)
apply(*rule ext*)
apply(*clarsimp simp: apply-adaptation-def A_A-of-def mem_A-of-def split: option.splits*)
done

lemma *A_A-asm-dma*:
assumes *A_C-asm-dma: $\forall x. dma_C (mem_{1C} [||_1 A_C]) x \neq dma_C mem_{1C} x \longrightarrow \neg var_asm_not_written mds_C x$*
shows *dma_A ((mem_A-of mem_{1C}) [||₁ (A_A-of A_C)]) x_A \neq dma_A (mem_A-of mem_{1C}) x_A $\longrightarrow \neg var_asm_not_written (mds_A\text{-of } mds_C) x_A$*
proof(*intro impI*)
assume *A_A-updates-dma: dma_A ((mem_A-of mem_{1C}) [||₁ A_A-of A_C]) x_A \neq dma_A (mem_A-of mem_{1C}) x_A*

with *dma-consistent*[*folded mem_A-of-def*] *dma_A-adaptation-eq*
have *dma_C (mem_{1C} [||₁ A_C]) (var_C-of x_A) \neq dma_C mem_{1C} (var_C-of x_A)*
by(*metis*)

with *A_C-asm-dma* **have** $\neg var_asm_not_written mds_C (var_C\text{-of } x_A)$ **by** *blast*

thus $\neg var_asm_not_written (mds_A\text{-of } mds_C) x_A$ **by** (*rule var-writable_A*)
qed

lemma *var_C-of-in- \mathcal{C}_C* :
assumes *x_A \in \mathcal{C}_A*
shows *var_C-of x_A \in \mathcal{C}_C*
proof –
from *assms obtain y_A where x_A \in $\mathcal{C}\text{-vars}_A y_A$*
unfolding *abs.C-def* **by** *blast*

hence *var_C-of x_A \in $\mathcal{C}\text{-vars}_C (var_C\text{-of } y_A)$*
using *$\mathcal{C}\text{-vars-consistent}$* **by** *blast*

thus *?thesis* **using** *conc.C-def* **by** *blast*
qed

lemma *doesn't-have-mode_C*:
x \notin mds_A-of mds_C m \implies var_C-of x \notin mds_C m
by(*simp add: doesn't-have-mode*)

lemma *has-mode_A*: *var_C-of x \in mds_C m \implies x \in mds_A-of mds_C m*
using *doesn't-have-mode_C*

by *fastforce*

lemma *A_A-sec*:

assumes *A_C-sec*: $\forall x. dma_C (mem_{1C} [\![1\!] A_C]) x = Low \wedge (x \notin mds_C \text{ AsmNoReadOrWrite} \vee x \in \mathcal{C}_C) \longrightarrow$

$mem_{1C} [\![1\!] A_C] x = mem_{2C} [\![2\!] A_C] x$

shows *dma_A* ($(mem_{A\text{-of } mem_{1C}} [\![1\!] A_{A\text{-of } A_C}]) x = Low \wedge (x \notin mds_{A\text{-of } mds_C} \text{ AsmNoReadOrWrite} \vee x \in \mathcal{C}_A) \longrightarrow$

$(mem_{A\text{-of } mem_{1C}} [\![1\!] A_{A\text{-of } A_C}] x = (mem_{A\text{-of } mem_{2C}} [\![2\!] A_{A\text{-of } A_C}]$

x

proof(*clarify*)

assume *x-is-Low*: $dma_A ((mem_{A\text{-of } mem_{1C}} [\![1\!] A_{A\text{-of } A_C}]) x = Low$

assume *x-is-readable*: $x \notin mds_{A\text{-of } mds_C} \text{ AsmNoReadOrWrite} \vee x \in \mathcal{C}_A$

from *x-is-Low* **have** *x-is-Low_C*: $dma_C (mem_{1C} [\![1\!] A_C]) (var_C\text{-of } x) = Low$

using *dma_A-adaptation-eq* **by** *simp*

from *x-is-readable* **have** $var_C\text{-of } x \notin mds_C \text{ AsmNoReadOrWrite} \vee var_C\text{-of } x \in \mathcal{C}_C$

using *doesnt-have-mode_C* *var_C-of-in- \mathcal{C}_C* **by** *blast*

with *A_C-sec* *x-is-Low_C* **have** $mem_{1C} [\![1\!] A_C] (var_C\text{-of } x) = mem_{2C} [\![2\!] A_C] (var_C\text{-of } x)$

by *blast*

thus $(mem_{A\text{-of } mem_{1C}} [\![1\!] A_{A\text{-of } A_C}] x = (mem_{A\text{-of } mem_{2C}} [\![2\!] A_{A\text{-of } A_C}] x$

by(*auto simp: mem_A-of-def apply-adaptation-def A_A-of-def split: option.splits*)

qed

lemma *apply-adaptation_A*:

$(mem_{A\text{-of } mem_{1C}} [\![1\!] A_{A\text{-of } A_C}] = mem_{A\text{-of } (mem_{1C} [\![1\!] A_C])$

$(mem_{A\text{-of } mem_{1C}} [\![2\!] A_{A\text{-of } A_C}] = mem_{A\text{-of } (mem_{1C} [\![2\!] A_C])$

by(*auto simp: mem_A-of-def A_A-of-def apply-adaptation-def split: option.splits*)

lemma *R_C-of-closed-glob-consistent*:

assumes *mm*:

$\bigwedge c_1 \ mds \ mem_1 \ c_2 \ mds \ mem_2. (\langle c_1, mds, mem_1 \rangle_A, \langle c_2, mds, mem_2 \rangle_A) \in \mathcal{R}_A$

\implies

abs.low-mds-eq *mds* *mem₁* *mem₂*

assumes *cg_C*: *abs.closed-glob-consistent* \mathcal{R}_A

assumes *rr*: *secure-refinement* $\mathcal{R}_A \ \mathcal{R} \ P$

shows *conc.closed-glob-consistent* ($R_C\text{-of } \mathcal{R}_A \ \mathcal{R} \ P$)

unfolding *conc.closed-glob-consistent-def*

proof(*clarify*)

fix $c_{1C} \ mds_C \ mem_{1C} \ c_{2C} \ mem_{2C} \ A_C$

assume $(\langle c_{1C}, mds_C, mem_{1C} \rangle_C, \langle c_{2C}, mds_C, mem_{2C} \rangle_C) \in R_C\text{-of } \mathcal{R}_A \ \mathcal{R} \ P$

from *this rr* **obtain** $c_{1A} \ c_{2A}$ **where**

in- \mathcal{R}_A : $(\langle c_{1A}, mds_{A\text{-of } mds_C}, mem_{A\text{-of } mem_{1C}} \rangle_A, \langle c_{2A}, mds_{A\text{-of } mds_C}, mem_{A\text{-of } mem_{2C}} \rangle_A) \in \mathcal{R}_A$ **and**

in- \mathcal{R}_1 : $(\langle c_{1A}, mds_{A\text{-of } mds_C}, mem_{A\text{-of } mem_{1C}} \rangle_A, \langle c_{1C}, mds_C, mem_{1C} \rangle_C) \in \mathcal{R}$ **and**

$in\text{-}\mathcal{R}_2: (\langle c_{2A}, mds_A\text{-of } mds_C, mem_A\text{-of } mem_{2C} \rangle_A, \langle c_{2C}, mds_C, mem_{2C} \rangle_C)$
 $\in \mathcal{R}$

and

$mds\text{-eq}: conc.\text{low-}mds\text{-eq } mds_C \ mem_{1C} \ mem_{2C}$

and

$P: (\langle c_{1C}, mds_C, mem_{1C} \rangle_C, \langle c_{2C}, mds_C, mem_{2C} \rangle_C) \in P$

by (*blast dest: $R_C\text{-of}D$*)

assume $A_C\text{-asm-mem}: \forall x. \text{case } A_C \ x \ \text{of } None \Rightarrow True$

| $Some \ (v, v') \Rightarrow$

$mem_{1C} \ x \neq v \vee mem_{2C} \ x \neq v' \longrightarrow \neg$

$var\text{-asm-not-written } mds_C \ x$

hence $A_A\text{-asm-mem}: \forall x. \text{case } (A_A\text{-of } A_C) \ x \ \text{of } None \Rightarrow True$

| $Some \ (v, v') \Rightarrow$

$(mem_A\text{-of } mem_{1C}) \ x \neq v \vee (mem_A\text{-of } mem_{2C}) \ x \neq v' \longrightarrow \neg$

$var\text{-asm-not-written } (mds_A\text{-of } mds_C) \ x$

by(*metis $A_A\text{-asm-mem}$*)

assume $A_C\text{-asm-dma}: \forall x. dma_C \ (mem_{1C} \ [\![1] A_C]) \ x \neq dma_C \ mem_{1C} \ x \longrightarrow \neg$

$var\text{-asm-not-written } mds_C \ x$

hence $A_A\text{-asm-dma}: \forall x_A. dma_A \ ((mem_A\text{-of } mem_{1C}) \ [\![1] (A_A\text{-of } A_C)]) \ x_A \neq dma_A \ (mem_A\text{-of } mem_{1C}) \ x_A \longrightarrow \neg var\text{-asm-not-written } (mds_A\text{-of } mds_C) \ x_A$

by(*metis $A_A\text{-asm-dma}$*)

assume $A_C\text{-sec}: \forall x. dma_C \ (mem_{1C} \ [\![1] A_C]) \ x = Low \wedge (x \notin mds_C \ AsmNoReadOrWrite \vee x \in \mathcal{C}_C) \longrightarrow$

$mem_{1C} \ [\![1] A_C] \ x = mem_{2C} \ [\![2] A_C] \ x$

hence $A_A\text{-sec}: \forall x. dma_A \ ((mem_A\text{-of } mem_{1C}) \ [\![1] A_A\text{-of } A_C]) \ x = Low \wedge (x \notin mds_A\text{-of } mds_C \ AsmNoReadOrWrite \vee x \in \mathcal{C}_A) \longrightarrow$

$(mem_A\text{-of } mem_{1C}) \ [\![1] A_A\text{-of } A_C] \ x = (mem_A\text{-of } mem_{2C}) \ [\![2] A_A\text{-of } A_C] \ x$

by(*metis $A_A\text{-sec}$*)

from rr **have** $others: closed\text{-others } \mathcal{R}$

unfolding $secure\text{-refinement-def}$ **by** $blast$

from rr **have** $P\text{-cgc}: conc.\text{closed-glob-consistent } P$

unfolding $secure\text{-refinement-def}$ **by** $blast$

let $?mem_{1C}' = (mem_{1C} \ [\![1] A_C])$ **and**

$?mem_{2C}' = (mem_{2C} \ [\![2] A_C])$ **and**

$?mem_{1A} = (mem_A\text{-of } mem_{1C})$ **and**

$?mem_{2A} = (mem_A\text{-of } mem_{2C})$ **and**

$?mem_{1A}' = (mem_A\text{-of } mem_{1C}) \ [\![1] A_A\text{-of } A_C]$ **and**

$?mem_{2A}' = (mem_A\text{-of } mem_{2C}) \ [\![2] A_A\text{-of } A_C]$

have $mem'\text{-simps}$:

$?mem_{1A}' = mem_A\text{-of } ?mem_{1C}'$

$?mem_{2A}' = mem_A\text{-of } ?mem_{2C}'$ **by**(*simp add: apply-adaptation_A*)**+**

from cgc $in\text{-}\mathcal{R}_A$ $A_A\text{-asm-mem}$ $A_A\text{-asm-dma}$ $A_A\text{-sec}$ **have**

$in\text{-}\mathcal{R}_A': (\langle c_{1A}, mds_A\text{-of } mds_C, (mem_A\text{-of } mem_{1C}) \ [\![1] A_A\text{-of } A_C] \rangle_A, \langle c_{2A}, mds_A\text{-of } mds_C, (mem_A\text{-of } mem_{2C}) \ [\![2] A_A\text{-of } A_C] \rangle_A) \in \mathcal{R}_A$ **unfolding** $abs.\text{closed-glob-consistent-def}$

by *blast*

from *AC-asm-mem AC-asm-dma* have

$AC\text{-asm-mem}_1': \forall x. mem_{1C} x \neq ?mem_{1C}' x \longrightarrow \neg var\text{-asm-not-written } mds_C$
x and

$AC\text{-asm-dma}_1': \forall x. dma_C mem_{1C} x \neq dma_C ?mem_{1C}' x \longrightarrow \neg var\text{-asm-not-written } mds_C$ *x*

unfolding *apply-adaptation-def* by(*force split: option.splits*)+

from *AC-asm-mem* have

$AC\text{-asm-mem}_2': \forall x. mem_{2C} x \neq ?mem_{2C}' x \longrightarrow \neg var\text{-asm-not-written } mds_C$
x

unfolding *apply-adaptation-def* by(*force split: option.splits*)

from *in- \mathcal{R}_1 AC-asm-mem $_1'$ AC-asm-dma $_1'$ others* have

$in\text{-}\mathcal{R}_1': (\langle c_{1A}, mds_A\text{-of } mds_C, ?mem_{1A} \rangle_A, \langle c_{1C}, mds_C, ?mem_{1C} \rangle_C) \in \mathcal{R}$
unfolding *closed-others-def mem'-simps* by *blast*

from *mm[OF in- \mathcal{R}_A]* have

$dma_C\text{-eq}: dma_C mem_{1C} = dma_C mem_{2C}$ by(*rule abs-low-mds-eq-dma_C-eq*)

have $dma_C\text{-eq}': dma_C ?mem_{1C}' = dma_C ?mem_{2C}'$

apply(*rule abs-low-mds-eq-dma_C-eq[OF mm]*)

apply(*simp add: mem'-simps[symmetric]*)

by(*rule in- \mathcal{R}_A'*)

from $dma_C\text{-eq } dma_C\text{-eq}'$ *AC-asm-dma $_1'$* have

$AC\text{-asm-dma}_2': \forall x. dma_C mem_{2C} x \neq dma_C ?mem_{2C}' x \longrightarrow \neg var\text{-asm-not-written } mds_C$ *x*

by *simp*

from *in- \mathcal{R}_2 AC-asm-mem $_2'$ AC-asm-dma $_2'$ others* have

$in\text{-}\mathcal{R}_2': (\langle c_{2A}, mds_A\text{-of } mds_C, ?mem_{2A} \rangle_A, \langle c_{2C}, mds_C, ?mem_{2C} \rangle_C) \in \mathcal{R}$
unfolding *closed-others-def mem'-simps* by *blast*

have $mds\text{-eq}': conc.\text{low-mds-eq } mds_C ?mem_{1C}' ?mem_{2C}'$

using *AC-sec* unfolding *conc.low-mds-eq-def* by *blast*

from *P P-cgc AC-asm-mem AC-asm-dma AC-sec* have $P': (\langle c_{1C}, mds_C, ?mem_{1C} \rangle_C, \langle c_{2C}, mds_C, ?mem_{2C} \rangle_C) \in P$

unfolding *conc.closed-glob-consistent-def* by *blast*

from *in- \mathcal{R}_A' in- \mathcal{R}_1' in- \mathcal{R}_2' mem'-simps $R_C\text{-ofI } mds\text{-eq}' P'$* show

$(\langle c_{1C}, mds_C, ?mem_{1C} \rangle_C, \langle c_{2C}, mds_C, ?mem_{2C} \rangle_C) \in R_C\text{-of } \mathcal{R}_A \mathcal{R} P$

by(*metis*)

qed

lemma *R $_C$ -of-local-preservation*:

assumes *rr: secure-refinement $\mathcal{R}_A \mathcal{R} P$*

assumes *bisim: abs.strong-low-bisim-mm \mathcal{R}_A*

assumes *in- $R_C\text{-of}$: $(\langle c_{1C}, mds_C, mem_{1C} \rangle_C, \langle c_{2C}, mds_C, mem_{2C} \rangle_C) \in R_C\text{-of } \mathcal{R}_A \mathcal{R} P$*

assumes $step_{1C}: \langle c_{1C}, mds_C, mem_{1C} \rangle_C \rightsquigarrow_C \langle c_{1C}', mds_C', mem_{1C}' \rangle_C$
shows $\exists c_{2C}' mem_{2C}'$.

$\langle c_{2C}, mds_C, mem_{2C} \rangle_C \rightsquigarrow_C \langle c_{2C}', mds_C', mem_{2C}' \rangle_C \wedge$
 $(\langle c_{1C}', mds_C', mem_{1C}' \rangle_C, \langle c_{2C}', mds_C', mem_{2C}' \rangle_C) \in R_C\text{-of } \mathcal{R}_A \mathcal{R} P$

proof –

from rr *in- R_C -of* **have**

$P: (\langle c_{1C}, mds_C, mem_{1C} \rangle_C, \langle c_{2C}, mds_C, mem_{2C} \rangle_C) \in P$
by(blast dest: R_C -ofD)

let $?m_{ds}_A = mds_A\text{-of } mds_C$ **and**

$?mem_{1A} = mem_A\text{-of } mem_{1C}$ **and**

$?mem_{2A} = mem_A\text{-of } mem_{2C}$ **and**

$?m_{ds}_{A'} = mds_A\text{-of } mds_{C'}$ **and**

$?mem_{1A'} = mem_A\text{-of } mem_{1C}'$

from rr *in- R_C -of* **obtain** $c_{1A} c_{2A}$ **where**

$in\text{-}\mathcal{R}_1: (\langle c_{1A}, ?m_{ds}_A, ?mem_{1A} \rangle_A, \langle c_{1C}, mds_C, mem_{1C} \rangle_C) \in \mathcal{R}$ **and**

$in\text{-}\mathcal{R}_2: (\langle c_{2A}, ?m_{ds}_A, ?mem_{2A} \rangle_A, \langle c_{2C}, mds_C, mem_{2C} \rangle_C) \in \mathcal{R}$ **and**

$in\text{-}\mathcal{R}_A: (\langle c_{1A}, ?m_{ds}_A, ?mem_{1A} \rangle_A, \langle c_{2A}, ?m_{ds}_A, ?mem_{2A} \rangle_A) \in \mathcal{R}_A$ **and**

$low\text{-}m_{ds}\text{-}m_{ds}_C: conc.\text{low}\text{-}m_{ds}\text{-}eq\ mds_C\ mem_{1C}\ mem_{2C}$

by(blast dest: R_C -ofD)+

from rr *in- \mathcal{R}_1* *in- \mathcal{R}_A* *in- \mathcal{R}_2* $step_{1C}$ **obtain** $n\ c_{1A}'$ **where**

$a: (abs.\text{neval } \langle c_{1A}, ?m_{ds}_A, ?mem_{1A} \rangle_A\ n\ \langle c_{1A}', ?m_{ds}_{A'}, ?mem_{1A'} \rangle_A) \wedge$
 $(\langle c_{1A}', ?m_{ds}_{A'}, ?mem_{1A'} \rangle_A, \langle c_{1C}', mds_{C'}, mem_{1C}' \rangle_C) \in \mathcal{R} \wedge$
 $(\forall c_{2A}' mem_{2A}')$

$(\langle c_{1C}, mds_C, mem_{1C} \rangle_C, \langle c_{2C}, mds_C, mem_{2C} \rangle_C) \in P \wedge$

$abs.\text{neval } \langle c_{2A}, ?m_{ds}_A, ?mem_{2A} \rangle_A\ n\ \langle c_{2A}', ?m_{ds}_{A'}, mem_{2A'} \rangle_A \longrightarrow$

$(\exists c_{2C}' mem_{2C}': \langle c_{2C}, mds_C, mem_{2C} \rangle_C \rightsquigarrow_C \langle c_{2C}', mds_{C'}, mem_{2C}' \rangle_C)$

$\rangle_C \wedge$

$(\langle c_{2A}', ?m_{ds}_{A'}, mem_{2A'} \rangle_A, \langle c_{2C}', mds_{C'}, mem_{2C}' \rangle_C) \in \mathcal{R} \wedge$

$(\langle c_{1C}', mds_{C'}, mem_{1C}' \rangle_C, \langle c_{2C}', mds_{C'}, mem_{2C}' \rangle_C) \in P))$

unfolding *secure-refinement-def2*

by *metis*

show *?thesis*

proof –

from a **have** $neval_{1A}: abs.\text{neval } \langle c_{1A}, ?m_{ds}_A, ?mem_{1A} \rangle_A\ n\ \langle c_{1A}', ?m_{ds}_{A'},$
 $?mem_{1A}' \rangle_A$ **and**

$in\text{-}\mathcal{R}_1': (\langle c_{1A}', ?m_{ds}_{A'}, ?mem_{1A}' \rangle_A, \langle c_{1C}', mds_{C'}, mem_{1C}' \rangle_C) \in \mathcal{R}$

by *blast+*

from *strong-low-bisim-neval[OF neval_{1A} in- \mathcal{R}_A bisim]* **obtain** $c_{2A}' mem_{2A}'$
where

$neval_{2A}: abs.\text{neval } \langle c_{2A}, ?m_{ds}_A, ?mem_{2A} \rangle_A\ n\ \langle c_{2A}', ?m_{ds}_{A'}, mem_{2A}' \rangle_A$ **and**

$in\text{-}\mathcal{R}_A'\text{-help}: (\langle c_{1A}', ?m_{ds}_{A'}, ?mem_{1A}' \rangle_A, \langle c_{2A}', ?m_{ds}_{A'}, mem_{2A}' \rangle_A) \in \mathcal{R}_A$

unfolding *abs.strong-low-bisim-mm-def*

by *blast*

from a *in- \mathcal{R}_A* *in- \mathcal{R}_2* $neval_{2A}$ P **obtain** $c_{2C}' mem_{2C}'$ **where**

$step_{2C}: \langle c_{2C}, mds_C, mem_{2C} \rangle_C \rightsquigarrow_C \langle c_{2C'}, mds_{C'}, mem_{2C'} \rangle_C$ **and**
 $in\text{-}\mathcal{R}_2'\text{-help}: (\langle c_{2A'}, ?mds_{A'}, mem_{2A'} \rangle_A, \langle c_{2C'}, mds_{C'}, mem_{2C'} \rangle_C) \in \mathcal{R}$

and

$P': (\langle c_{1C'}, mds_{C'}, mem_{1C'} \rangle_C, \langle c_{2C'}, mds_{C'}, mem_{2C'} \rangle_C) \in P$
by *blast*

let $?mem_{2A'} = mem_{A\text{-of}} mem_{2C'}$
from $in\text{-}\mathcal{R}_2'\text{-help}$ *rr preserves-modes-memD* **have** $mem_{2A'} = ?mem_{2A'}$
unfolding *secure-refinement-def* **by** *metis*
with $in\text{-}\mathcal{R}_2'\text{-help}$ $in\text{-}\mathcal{R}_{A'}\text{-help}$ **have**
 $in\text{-}\mathcal{R}_2': (\langle c_{2A'}, ?mds_{A'}, ?mem_{2A'} \rangle_A, \langle c_{2C'}, mds_{C'}, mem_{2C'} \rangle_C) \in \mathcal{R}$ **and**
 $in\text{-}\mathcal{R}_{A'}: (\langle c_{1A'}, ?mds_{A'}, ?mem_{1A'} \rangle_A, \langle c_{2A'}, ?mds_{A'}, ?mem_{2A'} \rangle_A) \in \mathcal{R}_A$
by *simp+*

have *conc.low-mds-eq* $mds_{C'} mem_{1C'} mem_{2C'}$
apply(*rule new-vars-private-does-the-thing*[**where** $\mathcal{R}=\mathcal{R}$, $OF - in\text{-}\mathcal{R}_1 in\text{-}\mathcal{R}_2$
 $step_{1C} step_{2C} low\text{-}mds\text{-}mds_C$])
using *rr apply*(*fastforce simp: secure-refinement-def*)
using $in\text{-}\mathcal{R}_{A'}$ *bisim unfolding abs.strong-low-bisim-mm-def* **by** *blast*

with $step_{2C}$ $in\text{-}\mathcal{R}_1'$ $in\text{-}\mathcal{R}_2'$ $in\text{-}\mathcal{R}_{A'}$ $in\text{-}\mathcal{R}_2'$ P' **show** *?thesis*
by(*blast intro: R_C-ofI*)

qed

qed

Security of the concrete system should follow straightforwardly from security of the abstract one, via the compositionality theorem, presuming that the compiler also preserves the sound use of modes.

lemma *R_C-of-strong-low-bisim-mm*:

assumes *abs: abs.strong-low-bisim-mm* \mathcal{R}_A
assumes *rr: secure-refinement* $\mathcal{R}_A \mathcal{R} P$
assumes *P-sym: sym* P
shows *conc.strong-low-bisim-mm* ($R_C\text{-of}$ $\mathcal{R}_A \mathcal{R} P$)
unfolding *conc.strong-low-bisim-mm-def*
apply(*intro conjI*)
apply(*rule R_C-of-sym*)
using *abs rr P-sym unfolding abs.strong-low-bisim-mm-def* **apply** *blast+*
apply(*rule R_C-of-closed-glob-consistent*)
using *abs unfolding abs.strong-low-bisim-mm-def* **apply** *blast+*
using *rr apply blast*
apply *safe*
apply(*fastforce simp: R_C-of-def*)
apply(*rule R_C-of-local-preservation*)
apply(*rule rr*)
apply(*rule abs*)
apply *assumption+*
done

2 A Simpler Proof Principle for General Compositional Refinement

Here we make use of the fact that the source language we are working in is assumed deterministic. This allows us to invert the direction of refinement and thereby to derive a simpler condition for secure compositional refinement.

The simpler condition rests on an ordinary definition of refinement, and has the user prove separately that the coupling invariant P is self-preserving. This allows proofs about coupling invariant properties to be disentangled from the proof of refinement itself.

Given a bisimulation \mathcal{R}_A , this definition captures the essence of the extra requirements on a refinement relation \mathcal{R} needed to ensure that the refined program is also secure. These requirements are essentially that:

1. The enabledness of the compiled code depends only on Low abstract data;
2. The length of the abstract program to which a single step of the concrete program corresponds depends only on Low abstract data;
3. The coupling invariant is maintained.

The second requirement we express via the parameter *abs-steps* that, given an abstract and corresponding concrete configuration, yields the number of execution steps of the abstract configuration to which a single step of the concrete configuration corresponds.

Note that a more specialised version of this definition, fixing the coupling invariant P to be the one that relates all configurations with identical programs and mode states, appeared in Murray et al., CSF 2016. Here we generalise the theory to support a wider class of coupling invariants.

definition

simpler-refinement-safe

where

$$\begin{aligned}
 & \text{simpler-refinement-safe } \mathcal{R}_A \mathcal{R} P \text{ abs-steps} \equiv \\
 & \forall c_{1A} \text{ mds}_A \text{ mem}_{1A} c_{2A} \text{ mem}_{2A} c_{1C} \text{ mds}_C \text{ mem}_{1C} c_{2C} \text{ mem}_{2C}. (\langle c_{1A}, \text{mds}_A, \text{mem}_{1A} \rangle_A, \langle c_{2A}, \text{mds}_A, \text{mem}_{2A} \rangle_A) \\
 & \in \mathcal{R}_A \wedge \\
 & (\langle c_{1A}, \text{mds}_A, \text{mem}_{1A} \rangle_A, \langle c_{1C}, \text{mds}_C, \text{mem}_{1C} \rangle_C) \in \mathcal{R} \wedge (\langle c_{2A}, \text{mds}_A, \text{mem}_{2A} \rangle_A, \langle c_{2C}, \\
 & \text{mds}_C, \text{mem}_{2C} \rangle_C) \in \mathcal{R} \wedge \\
 & ((c_{1C}, \text{mds}_C, \text{mem}_{1C})_C, (c_{2C}, \text{mds}_C, \text{mem}_{2C})_C) \in P \longrightarrow \\
 & (\text{stops}_C \langle c_{1C}, \text{mds}_C, \text{mem}_{1C} \rangle_C = \text{stops}_C \langle c_{2C}, \text{mds}_C, \text{mem}_{2C} \rangle_C) \wedge \\
 & (\text{abs-steps } \langle c_{1A}, \text{mds}_A, \text{mem}_{1A} \rangle_A \langle c_{1C}, \text{mds}_C, \text{mem}_{1C} \rangle_C = \text{abs-steps} \\
 & \langle c_{2A}, \text{mds}_A, \text{mem}_{2A} \rangle_A \langle c_{2C}, \text{mds}_C, \text{mem}_{2C} \rangle_C) \wedge \\
 & (\forall \text{mds}_{1C}' \text{ mds}_{2C}' \text{ mem}_{1C}' \text{ mem}_{2C}' c_{1C}' c_{2C}'. \langle c_{1C}, \text{mds}_C, \text{mem}_{1C} \rangle_C \rightsquigarrow_C \\
 & \langle c_{1C}', \text{mds}_{1C}', \text{mem}_{1C}' \rangle_C \wedge
 \end{aligned}$$

$$\begin{aligned}
\langle c_{2C}, mds_C, mem_{2C} \rangle_C &\rightsquigarrow_C \langle c_{2C'}, mds_{2C'}, \\
mem_{2C} \rangle_C &\longrightarrow \\
mem_{2C} \rangle_C \in P \wedge & \quad \langle c_{1C'}, mds_{1C'}, mem_{1C'} \rangle_C, \langle c_{2C'}, mds_{2C'}, \\
& \quad mds_{1C'} = mds_{2C'} \rangle
\end{aligned}$$

definition

secure-refinement-simpler

where

secure-refinement-simpler $\mathcal{R}_A \mathcal{R} P$ *abs-steps* \equiv

closed-others $\mathcal{R} \wedge$

preserves-modes-mem $\mathcal{R} \wedge$

new-vars-private $\mathcal{R} \wedge$

simpler-refinement-safe $\mathcal{R}_A \mathcal{R} P$ *abs-steps* \wedge

conc.closed-glob-consistent $P \wedge$

$(\forall c_{1A} mds_A mem_{1A} c_{1C} mds_C mem_{1C}.$

$\langle c_{1A}, mds_A, mem_{1A} \rangle_A, \langle c_{1C}, mds_C, mem_{1C} \rangle_C \in \mathcal{R} \longrightarrow$

$\langle c_{1C'}, mds_{C'}, mem_{1C'} \rangle_C \rightsquigarrow_C \langle c_{1C'}, mds_{C'}, mem_{1C'} \rangle_C \longrightarrow$

$(\exists c_{1A}' mds_{A}' mem_{1A}'. \text{abs.neval} \langle c_{1A}, mds_A, mem_{1A} \rangle_A (\text{abs-steps} \langle c_{1A}, mds_A, mem_{1A} \rangle_A$

$\langle c_{1C}, mds_C, mem_{1C} \rangle_C \langle c_{1A}', mds_{A}', mem_{1A}' \rangle_A \wedge$

$\langle c_{1A}', mds_{A}', mem_{1A}' \rangle_A, \langle c_{1C'}, mds_{C'}, mem_{1C'} \rangle_C \in \mathcal{R}))$

lemma *secure-refinement-simpler*:

assumes *rrs*: *secure-refinement-simpler* $\mathcal{R}_A \mathcal{R} P$ *abs-steps*

shows *secure-refinement* $\mathcal{R}_A \mathcal{R} P$

unfolding *secure-refinement-def*

proof(*safe*)

from *rrs* **show** *closed-others* \mathcal{R}

unfolding *secure-refinement-simpler-def* **by** *blast*

next

from *rrs* **show** *preserves-modes-mem* \mathcal{R}

unfolding *secure-refinement-simpler-def* **by** *blast*

next

from *rrs* **show** *new-vars-private* \mathcal{R}

unfolding *secure-refinement-simpler-def* **by** *blast*

next

fix $c_{1A} mds_A mem_{1A} c_{1C} mds_C mem_{1C} c_{1C}' mds_{C'} mem_{1C}'$

let $?n = \text{abs-steps} \langle c_{1A}, mds_A, mem_{1A} \rangle_A \langle c_{1C}, mds_C, mem_{1C} \rangle_C$

assume $in\text{-}\mathcal{R}_1: (\langle c_{1A}, mds_A, mem_{1A} \rangle_A, \langle c_{1C}, mds_C, mem_{1C} \rangle_C) \in \mathcal{R}$

and $eval_{1C}: \langle c_{1C}, mds_C, mem_{1C} \rangle_C \rightsquigarrow_C \langle c_{1C}', mds_{C'}, mem_{1C}' \rangle_C$

with *rrs* **obtain** $c_{1A}' mds_{A}' mem_{1A}'$ **where**

$neval_1: \text{abs.neval} \langle c_{1A}, mds_A, mem_{1A} \rangle_A ?n \langle c_{1A}', mds_{A}', mem_{1A}' \rangle_A$ **and**

$in\text{-}\mathcal{R}_1': (\langle c_{1A}', mds_{A}', mem_{1A}' \rangle_A, \langle c_{1C}', mds_{C'}, mem_{1C}' \rangle_C) \in \mathcal{R}$

unfolding *secure-refinement-simpler-def* **by** *metis*

have $(\forall c_{2A} mem_{2A} c_{2C} mem_{2C} c_{2A}' mem_{2A}').$

$(\langle c_{1A}, mds_A, mem_{1A} \rangle_A, \langle c_{2A}, mds_A, mem_{2A} \rangle_A) \in \mathcal{R}_A \wedge$

$(\langle c_{2A}, mds_A, mem_{2A} \rangle_A, \langle c_{2C}, mds_C, mem_{2C} \rangle_C) \in \mathcal{R} \wedge$

$(\langle c_{1C}, mds_C, mem_{1C} \rangle_C, \langle c_{2C}, mds_C, mem_{2C} \rangle_C) \in P \wedge \text{abs.neval} \langle c_{2A},$

$mds_A, mem_{2A} \rangle_A \ ?n \langle c_{2A}', mds_{A'}, mem_{2A}' \rangle_A \longrightarrow$
 $(\exists c_{2C}' mem_{2C}').$
 $\langle c_{2C}, mds_C, mem_{2C} \rangle_C \rightsquigarrow_C \langle c_{2C}', mds_{C'}, mem_{2C}' \rangle_C \wedge$
 $(\langle c_{2A}', mds_{A'}, mem_{2A}' \rangle_A, \langle c_{2C}', mds_{C'}, mem_{2C}' \rangle_C) \in \mathcal{R} \wedge$
 $(\langle c_{1C}', mds_{C'}, mem_{1C}' \rangle_C, \langle c_{2C}', mds_{C'}, mem_{2C}' \rangle_C) \in P)$

proof(*clarsimp*)

fix $c_{2A} mem_{2A} c_{2C} mem_{2C} c_{2A}' mem_{2A}'$

assume

$in\text{-}\mathcal{R}_A: (\langle c_{1A}, mds_A, mem_{1A} \rangle_A, \langle c_{2A}, mds_A, mem_{2A} \rangle_A) \in \mathcal{R}_A$ **and**

$in\text{-}\mathcal{R}_2: (\langle c_{2A}, mds_A, mem_{2A} \rangle_A, \langle c_{2C}, mds_C, mem_{2C} \rangle_C) \in \mathcal{R}$ **and**

$neval_2: abs.neval \langle c_{2A}, mds_A, mem_{2A} \rangle_A \ ?n \langle c_{2A}', mds_{A'}, mem_{2A}' \rangle_A$ **and**

$in\text{-}P: (\langle c_{1C}, mds_C, mem_{1C} \rangle_C, \langle c_{2C}, mds_C, mem_{2C} \rangle_C) \in P$

have $\forall c_{2C}' mem_{2C}'. \langle c_{2C}, mds_C, mem_{2C} \rangle_C \rightsquigarrow_C \langle c_{2C}', mds_{C'}, mem_{2C}' \rangle_C$
 $\longrightarrow (\langle c_{2A}', mds_{A'}, mem_{2A}' \rangle_A, \langle c_{2C}', mds_{C'}, mem_{2C}' \rangle_C) \in \mathcal{R} \wedge ((\langle c_{1C}', mds_{C'},$
 $mem_{1C}' \rangle_C, \langle c_{2C}', mds_{C'}, mem_{2C}' \rangle_C) \in P$

proof(*clarify*)

fix $c_{2C}' mem_{2C}'$

assume $eval_{2C}: \langle c_{2C}, mds_C, mem_{2C} \rangle_C \rightsquigarrow_C \langle c_{2C}', mds_{C'}, mem_{2C}' \rangle_C$

from $in\text{-}\mathcal{R}_2$ $eval_{2C}$ $in\text{-}P$ **rrs obtain**

$c_{2A}'' mds_{A}'' mem_{2A}''$ **where**

$neval_2': abs.neval \langle c_{2A}, mds_A, mem_{2A} \rangle_A (abs\text{-}steps \langle c_{2A}, mds_A, mem_{2A} \rangle_A$
 $\langle c_{2C}, mds_C, mem_{2C} \rangle_C) \langle c_{2A}'', mds_{A}'', mem_{2A}'' \rangle_A$ **and**

$in\text{-}\mathcal{R}_2': (\langle c_{2A}'', mds_{A}'', mem_{2A}'' \rangle_A, \langle c_{2C}', mds_{C}', mem_{2C}' \rangle_C) \in \mathcal{R}$

unfolding *secure-refinement-simpler-def* **by** *blast*

let $?n' = (abs\text{-}steps \langle c_{2A}, mds_A, mem_{2A} \rangle_A \langle c_{2C}, mds_C, mem_{2C} \rangle_C)$

from rrs **have** $pe: simpler\text{-}refinement\text{-}safe \mathcal{R}_A \mathcal{R} P abs\text{-}steps$

unfolding *secure-refinement-simpler-def* **by** *blast*

with $in\text{-}\mathcal{R}_A$ $in\text{-}\mathcal{R}_1$ $in\text{-}\mathcal{R}_2$ $in\text{-}P$

have $?n' = ?n$

unfolding *simpler-refinement-safe-def* **by** *fastforce*

with $neval_2$ $neval_2'$ $abs.neval\text{-}det$

have [*simp*]: $c_{2A}'' = c_{2A}'$ **and** [*simp*]: $mds_{A}'' = mds_{A}'$ **and** [*simp*]: mem_{2A}''
 $= mem_{2A}'$

by *auto*

from $in\text{-}\mathcal{R}_2'$ **have** $in\text{-}\mathcal{R}_2': (\langle c_{2A}', mds_{A}', mem_{2A}' \rangle_A, \langle c_{2C}', mds_{C}', mem_{2C}' \rangle_C)$
 $\in \mathcal{R}$ **by** *simp*

from $eval_{1C}$ $eval_{2C}$ $in\text{-}P$ **have**

$in\text{-}P': (\langle c_{1C}', mds_{C}', mem_{1C}' \rangle_C, \langle c_{2C}', mds_{C}', mem_{2C}' \rangle_C) \in P$

using rrs **unfolding** *secure-refinement-simpler-def*
simpler-refinement-safe-def

using $in\text{-}\mathcal{R}_A$ $in\text{-}\mathcal{R}_1$ $in\text{-}\mathcal{R}_2$ $in\text{-}P$ **by** *auto*

with $in\text{-}\mathcal{R}_2'$

show $(\langle c_{2A}', mds_{A}', mem_{2A}' \rangle_A, \langle c_{2C}', mds_{C}', mem_{2C}' \rangle_C) \in \mathcal{R} \wedge$
 $(\langle c_{1C}', mds_{C}', mem_{1C}' \rangle_C, \langle c_{2C}', mds_{C}', mem_{2C}' \rangle_C) \in P$ **by** *blast*

qed

moreover **have** $\exists c_{2C}' mem_{2C}'. \langle c_{2C}, mds_C, mem_{2C} \rangle_C \rightsquigarrow_C \langle c_{2C}', mds_{C}',$
 $mem_{2C}' \rangle_C$

proof –

from rrs **have** $pe: simpler\text{-}refinement\text{-}safe \mathcal{R}_A \mathcal{R} P abs\text{-}steps$

unfolding *secure-refinement-simpler-def* **by** *blast*
with $in\text{-}\mathcal{R}_A$ $in\text{-}\mathcal{R}_1$ $in\text{-}\mathcal{R}_2$ $in\text{-}P$ **have** $stops_C \langle c_{1C}, mds_C, mem_{1C} \rangle_C = stops_C \langle c_{2C}, mds_C, mem_{2C} \rangle_C$
unfolding *simpler-refinement-safe-def* **by** *blast*
moreover from $eval_{1C}$ **have** $\neg stops_C \langle c_{1C}, mds_C, mem_{1C} \rangle_C$
unfolding *stops_C-def* **by** *blast*
ultimately have $\neg stops_C \langle c_{2C}, mds_C, mem_{2C} \rangle_C$
by *simp*
from this obtain $c_{2C}' mds_C'' mem_{2C}''$ **where** $eval_{2C}': \langle c_{2C}, mds_C, mem_{2C} \rangle_C \rightsquigarrow_C \langle c_{2C}', mds_C'', mem_{2C}'' \rangle_C$
unfolding *stops_C-def* **by** *auto*
with $pe\ eval_{1C}$ $in\text{-}\mathcal{R}_A$ $in\text{-}\mathcal{R}_1$ $in\text{-}\mathcal{R}_2$ $in\text{-}P$ **have** $in\text{-}P': (\langle c_{1C}', mds_C', mem_{1C} \rangle_C, \langle c_{2C}', mds_C'', mem_{2C}'' \rangle_C) \in P$
and [*simp*]: $mds_C'' = mds_C'$
unfolding *simpler-refinement-safe-def* **by** *blast+*
from $in\text{-}P'$ $eval_{2C}'$
show $\exists c_{2C}' mem_{2C}'. \langle c_{2C}, mds_C, mem_{2C} \rangle_C \rightsquigarrow_C \langle c_{2C}', mds_C', mem_{2C} \rangle_C$
by *fastforce*
qed
ultimately show
 $\exists c_{2C}' mem_{2C}'.$
 $\langle c_{2C}, mds_C, mem_{2C} \rangle_C \rightsquigarrow_C \langle c_{2C}', mds_C', mem_{2C} \rangle_C \wedge (\langle c_{2A}', mds_A', mem_{2A} \rangle_A, \langle c_{2C}', mds_C', mem_{2C} \rangle_C) \in \mathcal{R} \wedge (\langle c_{1C}', mds_C', mem_{1C} \rangle_C, \langle c_{2C}', mds_C', mem_{2C} \rangle_C) \in P$
by *blast*
qed
with $neval_1$ $in\text{-}\mathcal{R}_1$ $in\text{-}\mathcal{R}_1'$
show $\exists n\ c_{1A}'\ mds_A'\ mem_{1A}'.$
 $abs.neval \langle c_{1A}, mds_A, mem_{1A} \rangle_A\ n\ \langle c_{1A}', mds_A', mem_{1A} \rangle_A \wedge (\langle c_{1A}', mds_A', mem_{1A} \rangle_A, \langle c_{1C}', mds_C', mem_{1C} \rangle_C) \in \mathcal{R} \wedge (\forall c_{2A}\ mem_{2A}\ c_{2C}\ mem_{2C}\ c_{2A}'\ mem_{2A}'. (\langle c_{1A}, mds_A, mem_{1A} \rangle_A, \langle c_{2A}, mds_A, mem_{2A} \rangle_A) \in \mathcal{R}_A \wedge (\langle c_{2A}, mds_A, mem_{2A} \rangle_A, \langle c_{2C}, mds_C, mem_{2C} \rangle_C) \in \mathcal{R} \wedge (\langle c_{1C}, mds_C, mem_{1C} \rangle_C, \langle c_{2C}, mds_C, mem_{2C} \rangle_C) \in P \wedge abs.neval \langle c_{2A}, mds_A, mem_{2A} \rangle_A\ n\ \langle c_{2A}', mds_A', mem_{2A} \rangle_A \longrightarrow (\exists c_{2C}'\ mem_{2C}'. \langle c_{2C}, mds_C, mem_{2C} \rangle_C \rightsquigarrow_C \langle c_{2C}', mds_C', mem_{2C} \rangle_C \wedge (\langle c_{2A}', mds_A', mem_{2A} \rangle_A, \langle c_{2C}', mds_C', mem_{2C} \rangle_C) \in \mathcal{R} \wedge (\langle c_{1C}', mds_C', mem_{1C} \rangle_C, \langle c_{2C}', mds_C', mem_{2C} \rangle_C) \in P))$
by *auto*
next
show *conc.closed-glob-consistent* P
using *rrs unfolding secure-refinement-simpler-def* **by** *blast*
qed

3 Simple Bisimulations and Simple Refinement

We derive the theory of simple refinements from Murray et al. CSF 2016 from the above *simpler* theory of secure refinement.

definition

bisim-simple

where

bisim-simple $\mathcal{R}_A \equiv \forall c_{1A} \text{ mds } mem_{1A} c_{2A} mem_{2A}. (\langle c_{1A}, \text{mds}, mem_{1A} \rangle_A, \langle c_{2A}, \text{mds}, mem_{2A} \rangle_A) \in \mathcal{R}_A \longrightarrow$

$$c_{1A} = c_{2A}$$

definition

simple-refinement-safe

where

simple-refinement-safe $\mathcal{R}_A \mathcal{R} \text{ abs-steps} \equiv$

$\forall c_A \text{ mds}_A mem_{1A} mem_{2A} c_C \text{ mds}_C mem_{1C} mem_{2C}. (\langle c_A, \text{mds}_A, mem_{1A} \rangle_A, \langle c_A, \text{mds}_A, mem_{2A} \rangle_A) \in \mathcal{R}_A \wedge$

$(\langle c_A, \text{mds}_A, mem_{1A} \rangle_A, \langle c_C, \text{mds}_C, mem_{1C} \rangle_C) \in \mathcal{R} \wedge (\langle c_A, \text{mds}_A, mem_{2A} \rangle_A, \langle c_C, \text{mds}_C, mem_{2C} \rangle_C) \in \mathcal{R} \longrightarrow$

$$(\text{stops}_C \langle c_C, \text{mds}_C, mem_{1C} \rangle_C = \text{stops}_C \langle c_C, \text{mds}_C, mem_{2C} \rangle_C) \wedge$$

$$(\text{abs-steps} \langle c_A, \text{mds}_A, mem_{1A} \rangle_A \langle c_C, \text{mds}_C, mem_{1C} \rangle_C = \text{abs-steps} \langle c_A, \text{mds}_A, mem_{2A} \rangle_A$$

$\langle c_C, \text{mds}_C, mem_{2C} \rangle_C) \wedge$

$$(\forall \text{ mds}_{1C}' \text{ mds}_{2C}' mem_{1C}' mem_{2C}' c_{1C}' c_{2C}'. \langle c_C, \text{mds}_C, mem_{1C} \rangle_C \rightsquigarrow_C$$

$\langle c_{1C}', \text{mds}_{1C}', mem_{1C}' \rangle_C \wedge$

$$\langle c_C, \text{mds}_C, mem_{2C} \rangle_C \rightsquigarrow_C \langle c_{2C}', \text{mds}_{2C}',$$

$mem_{2C}' \rangle_C \longrightarrow$

$$c_{1C}' = c_{2C}' \wedge \text{mds}_{1C}' = \text{mds}_{2C}')$$

definition

secure-refinement-simple

where

secure-refinement-simple $\mathcal{R}_A \mathcal{R} \text{ abs-steps} \equiv$

closed-others $\mathcal{R} \wedge$

preserves-modes-mem $\mathcal{R} \wedge$

new-vars-private $\mathcal{R} \wedge$

simple-refinement-safe $\mathcal{R}_A \mathcal{R} \text{ abs-steps} \wedge$

bisim-simple $\mathcal{R}_A \wedge$

$(\forall c_{1A} \text{ mds}_A mem_{1A} c_{1C} \text{ mds}_C mem_{1C}. \langle c_{1A}, \text{mds}_A, mem_{1A} \rangle_A, \langle c_{1C}, \text{mds}_C, mem_{1C} \rangle_C) \in \mathcal{R} \longrightarrow$

$(\forall c_{1C}' \text{ mds}_{1C}' mem_{1C}'. \langle c_{1C}, \text{mds}_C, mem_{1C} \rangle_C \rightsquigarrow_C \langle c_{1C}', \text{mds}_{1C}', mem_{1C}' \rangle_C \longrightarrow$

$\langle c_{1A}', \text{mds}_{1A}', mem_{1A}' \rangle_A \wedge$

$(\exists c_{1A}' \text{ mds}_{1A}' mem_{1A}'. \text{abs.neval} \langle c_{1A}, \text{mds}_A, mem_{1A} \rangle_A (\text{abs-steps} \langle c_{1A}, \text{mds}_A, mem_{1A} \rangle_A$

$\langle c_{1C}, \text{mds}_C, mem_{1C} \rangle_C) \langle c_{1A}', \text{mds}_{1A}', mem_{1A}' \rangle_A \wedge$

$(\langle c_{1A}', \text{mds}_{1A}', mem_{1A}' \rangle_A, \langle c_{1C}', \text{mds}_{1C}', mem_{1C}' \rangle_C) \in \mathcal{R}))$

definition

Isimple

where

Isimple $\equiv \{(\langle c, \text{mds}, mem \rangle_C, \langle c', \text{mds}', mem' \rangle_C) \mid c \text{ mds } mem \ c' \text{ mds}' \text{ mem}'. \ c = c'\}$

```

lemma Isimple-closed-glob-consistent:
  conc.closed-glob-consistent Isimple
  by(auto simp: conc.closed-glob-consistent-def Isimple-def)

lemma secure-refinement-simple:
  assumes srs: secure-refinement-simple  $\mathcal{R}_A \mathcal{R}$  abs-steps
  shows secure-refinement-simpler  $\mathcal{R}_A \mathcal{R}$  Isimple abs-steps
unfolding secure-refinement-simpler-def
proof(safe | clarsimp)+
  from srs show closed-others  $\mathcal{R}$ 
  unfolding secure-refinement-simple-def by blast
next
  from srs show preserves-modes-mem  $\mathcal{R}$ 
  unfolding secure-refinement-simple-def by blast
next
  from srs show new-vars-private  $\mathcal{R}$ 
  unfolding secure-refinement-simple-def by blast
next
  show conc.closed-glob-consistent Isimple by (rule Isimple-closed-glob-consistent)
next
  from srs have safe: simple-refinement-safe  $\mathcal{R}_A \mathcal{R}$  abs-steps
  unfolding secure-refinement-simple-def by blast
  from srs have simple: bisim-simple  $\mathcal{R}_A$ 
  unfolding secure-refinement-simple-def by fastforce

  from safe simple show simpler-refinement-safe  $\mathcal{R}_A \mathcal{R}$  Isimple abs-steps
  by(fastforce simp: simpler-refinement-safe-def Isimple-def simple-refinement-safe-def
bisim-simple-def)
next
  fix  $c_{1A} mds_A mem_{1A} c_{1C} mds_C mem_{1C} c_{1C}' mds_C' mem_{1C}'$ 
  show ( $\langle c_{1A}, mds_A, mem_{1A} \rangle_A, \langle c_{1C}, mds_C, mem_{1C} \rangle_C$ )  $\in \mathcal{R} \implies$ 
     $\langle c_{1C}, mds_C, mem_{1C} \rangle_C \rightsquigarrow_C \langle c_{1C}', mds_C', mem_{1C}' \rangle_C \implies$ 
     $\exists c_{1A}' mds_A' mem_{1A}'.$ 
       $abs.neval \langle c_{1A}, mds_A, mem_{1A} \rangle_A (abs.steps \langle c_{1A}, mds_A, mem_{1A} \rangle_A \langle c_{1C},$ 
mds_C, mem_{1C} \rangle_C)
       $\langle c_{1A}', mds_A', mem_{1A}' \rangle_A \wedge$ 
       $(\langle c_{1A}', mds_A', mem_{1A}' \rangle_A, \langle c_{1C}', mds_C', mem_{1C}' \rangle_C) \in \mathcal{R}$ 
  using srs unfolding secure-refinement-simple-def by blast
qed

```

4 Sound Mode Use Preservation

Prove that

acquiring a mode on the concrete version of an abstract variable x , and then mapping the new concrete mode state to the corresponding abstract mode state,

is equivalent to

first mapping the initial concrete mode state to its corresponding abstract mode state and then acquiring the mode on the abstract variable x .

This lemma essentially justifies why a concrete program doing Acq (var_C -of x) $SomeMode$ is a the right way to implement the abstract program doing Acq x $SomeMode$.

lemma *mode-acquire-refinement-helper*:

mds_A -of (mds_C ($SomeMode := insert$ (var_C -of x) (mds_C $SomeMode$))) =
 (mds_A -of mds_C)($SomeMode := insert$ x (mds_A -of mds_C $SomeMode$))
apply(*clarsimp simp: mds_A-of-def*)
apply(*rule ext*)
apply(*force simp: image-def inv-f-f[OF var_C-of-inj]*)
done

lemma *mode-release-refinement-helper*:

mds_A -of (mds_C ($SomeMode := \{y \in mds_C$ $SomeMode.$ $y \neq (var_C$ -of $x)\}$)) =
 (mds_A -of mds_C)($SomeMode := \{y \in (mds_A$ -of mds_C $SomeMode).$ $y \neq x\}$)
apply(*clarsimp simp: mds_A-of-def*)
apply(*rule ext*)
apply (*force simp: image-def inv-f-f[OF var_C-of-inj]*)
done

definition

preserves-locally-sound-mode-use :: ($'Com_A$, $'Var_A$, $'Val$, $'Com_C$, $'Var_C$) *state-relation*
 \Rightarrow *bool*

where

preserves-locally-sound-mode-use $\mathcal{R} \equiv$
 $\forall lc_A lc_C.$
 (*abs.locally-sound-mode-use* $lc_A \wedge (lc_A, lc_C) \in \mathcal{R} \longrightarrow$
conc.locally-sound-mode-use lc_C)

lemma *secure-refinement-loc-reach*:

assumes *rr*: *secure-refinement* $\mathcal{R}_A \mathcal{R} P$

assumes *in- \mathcal{R}* : ($\langle c_A, mds_A, mem_A \rangle_A, \langle c_C, mds_C, mem_C \rangle_C$) $\in \mathcal{R}$

assumes *loc-reach $_C$* : $\langle c_C', mds_C', mem_C' \rangle_C \in$ *conc.loc-reach* $\langle c_C, mds_C, mem_C \rangle_C$

shows $\exists c_A' mds_A' mem_A'.$

$(\langle c_A', mds_A', mem_A' \rangle_A, \langle c_C', mds_C', mem_C' \rangle_C) \in \mathcal{R} \wedge$
 $\langle c_A', mds_A', mem_A' \rangle_A \in$ *abs.loc-reach* $\langle c_A, mds_A, mem_A \rangle_A$

using *loc-reach $_C$* **proof**(*induct rule: conc.loc-reach.induct*)

case (*refl*) **show** *?case*

using *in- \mathcal{R}* *abs.loc-reach.refl* **by** *force*

next

case (*step* $c_C' mds_C' mem_C' c_C'' mds_C'' mem_C''$)

from *step*(2) **obtain** $c_A' mds_A' mem_A'$ **where**

in- \mathcal{R} : ($\langle c_A', mds_A', mem_A' \rangle_A, \langle c_C', mds_C', mem_C' \rangle_C$) $\in \mathcal{R}$ **and**

loc-reach $_A$: $\langle c_A', mds_A', mem_A' \rangle_A \in$ *abs.loc-reach* $\langle c_A, mds_A, mem_A \rangle_A$

by blast
from rr *in- \mathcal{R}' step(3)*
obtain n c_A'' mds_A'' mem_A'' **where**
 $neval_A$: $abs.neval \langle c_A', mds_A', mem_A' \rangle_A n \langle c_A'', mds_A'', mem_A'' \rangle_A$ **and**
 $in-\mathcal{R}''$: $(\langle c_A'', mds_A'', mem_A'' \rangle_A, \langle c_C'', mds_C'', mem_C'' \rangle_C) \in \mathcal{R}$
unfolding *secure-refinement-def* **by blast**
from $neval_A$ *loc-reach_A* **have** $\langle c_A'', mds_A'', mem_A'' \rangle_A \in abs.loc-reach \langle c_A, mds_A, mem_A \rangle_A$
using *abs.neval-loc-reach*
by blast
with $in-\mathcal{R}''$ **show** *?case* **by blast**
next
case (*mem-diff* c_C' mds_C' mem_C' mem_C'')
from *mem-diff(2)* **obtain** c_A' mds_A' mem_A' **where**
 $in-\mathcal{R}'$: $(\langle c_A', mds_A', mem_A' \rangle_A, \langle c_C', mds_C', mem_C' \rangle_C) \in \mathcal{R}$ **and**
 $loc-reach_A$: $\langle c_A', mds_A', mem_A' \rangle_A \in abs.loc-reach \langle c_A, mds_A, mem_A \rangle_A$
by blast
from rr **have** mm : *preserves-modes-mem* \mathcal{R} **and** co : *closed-others* \mathcal{R}
unfolding *secure-refinement-def* **by blast**
from *preserves-modes-memD[OF mm in- \mathcal{R}']* **have**
 mem_A' -def: $mem_A' = mem_{A-of} mem_C'$ **and** mds_A' -def: $mds_A' = mds_{A-of} mds_C'$
by simp
hence $in-\mathcal{R}'$: $(\langle c_A', mds_{A-of} mds_C', mem_{A-of} mem_C' \rangle_A, \langle c_C', mds_C', mem_C' \rangle_C) \in \mathcal{R}$
and $loc-reach_A$: $(\langle c_A', mds_{A-of} mds_C', mem_{A-of} mem_C' \rangle_A) \in abs.loc-reach \langle c_A, mds_A, mem_A \rangle_A$
using $in-\mathcal{R}'$ *loc-reach_A* **by simp**
with *mem-diff(3)* co
have $(\langle c_A', mds_{A-of} mds_C', mem_{A-of} mem_C'' \rangle_A, \langle c_C', mds_C', mem_C'' \rangle_C) \in \mathcal{R}$
unfolding *closed-others-def* **by blast**
moreover **have** $\langle c_A', mds_{A-of} mds_C', mem_{A-of} mem_C'' \rangle_A \in abs.loc-reach \langle c_A, mds_A, mem_A \rangle_A$
apply(*rule abs.loc-reach.mem-diff*)
apply(*rule loc-reach_A*)
using *mem-diff(3)*
using *calculation in- \mathcal{R}' in- \mathcal{R} -dma' mem_{A-of}-def mm var-writable_A* **by fastforce**

ultimately show *?case* **by blast**
qed

definition *preserves-local-guarantee-compliance* ::
 $(Com_A, Val_A, Var_A, Com_C, Val_C, Var_C)$ *state-relation* \Rightarrow *bool*
where

preserves-local-guarantee-compliance $\mathcal{R} \equiv$
 $\forall cm_A mem_A cm_C mem_C.$
 $abs.respects-own-guarantees cm_A \wedge$
 $((cm_A, mem_A), (cm_C, mem_C)) \in \mathcal{R} \longrightarrow$
 $conc.respects-own-guarantees cm_C$

lemma *preserves-local-guarantee-compliance-def2*:
preserves-local-guarantee-compliance $\mathcal{R} \equiv$
 $\forall c_A \text{ mds}_A \text{ mem}_A c_C \text{ mds}_C \text{ mem}_C.$
 $\text{abs.respects-own-guarantees } (c_A, \text{mds}_A) \wedge$
 $(\langle c_A, \text{mds}_A, \text{mem}_A \rangle_A, \langle c_C, \text{mds}_C, \text{mem}_C \rangle_C) \in \mathcal{R} \longrightarrow$
 $\text{conc.respects-own-guarantees } (c_C, \text{mds}_C)$
unfolding *preserves-local-guarantee-compliance-def*
by *simp*

lemma *locally-sound-mode-use-preservation*:
assumes *rr*: *secure-refinement* $\mathcal{R}_A \mathcal{R} P$
assumes *preserves-guarantee-compliance*: *preserves-local-guarantee-compliance* \mathcal{R}
shows *preserves-locally-sound-mode-use* \mathcal{R}
unfolding *preserves-locally-sound-mode-use-def*
proof(*clarsimp*)
fix $c_A \text{ mds}_A \text{ mem}_A c_C \text{ mds}_C \text{ mem}_C$
assume *locally-sound*_A: *abs.locally-sound-mode-use* $\langle c_A, \text{mds}_A, \text{mem}_A \rangle_A$ **and**
 $\text{in-}\mathcal{R}: (\langle c_A, \text{mds}_A, \text{mem}_A \rangle_A, \langle c_C, \text{mds}_C, \text{mem}_C \rangle_C) \in \mathcal{R}$

show *conc.locally-sound-mode-use* $\langle c_C, \text{mds}_C, \text{mem}_C \rangle_C$
unfolding *conc.locally-sound-mode-use-def2*
proof(*clarsimp*)
fix $c_C' \text{ mds}_C' \text{ mem}_C'$
assume *loc-reach*_C: $\langle c_C', \text{mds}_C', \text{mem}_C' \rangle_C \in \text{conc.loc-reach } \langle c_C, \text{mds}_C, \text{mem}_C \rangle_C$

from *rr in-}\mathcal{R} \text{ loc-reach}_C*
obtain $c_A' \text{ mds}_A' \text{ mem}_A'$ **where**
 $\text{in-}\mathcal{R}': (\langle c_A', \text{mds}_A', \text{mem}_A' \rangle_A, \langle c_C', \text{mds}_C', \text{mem}_C' \rangle_C) \in \mathcal{R}$ **and**
 $\text{loc-reach}_A: \langle c_A', \text{mds}_A', \text{mem}_A' \rangle_A \in \text{abs.loc-reach } \langle c_A, \text{mds}_A, \text{mem}_A \rangle_A$
using *secure-refinement-loc-reach* **by** *blast*

from *locally-sound*_A *loc-reach*_A
have *respects-guarantees*_A': *abs.respects-own-guarantees* (c_A', mds_A')
unfolding *abs.locally-sound-mode-use-def2* **by** *auto*

with *preserves-guarantee-compliance in-}\mathcal{R}'*
show *conc.respects-own-guarantees* (c_C', mds_C')
unfolding *preserves-local-guarantee-compliance-def* **by** *blast*

qed
qed
end

5 Refinement without changing the Memory Model

Here we define a locale which restricts the refinement to be between an abstract and concrete programs that share identical memory models: i.e. have the same set of variables. This allows us to derive simpler versions of the conditions that are likely to be easier to work with for initial experimentation.

```
locale sifum-refinement-same-mem =
  abs: sifum-security dma C-vars C evalA some-val +
  conc: sifum-security dma C-vars C evalC some-val
  for dma :: ('Var,'Val) Mem ⇒ 'Var ⇒ Sec
  and C-vars :: 'Var ⇒ 'Var set
  and C :: 'Var set
  and evalA :: ('ComA, 'Var, 'Val) LocalConf rel
  and evalC :: ('ComC, 'Var, 'Val) LocalConf rel
  and some-val :: 'Val
```

```
sublocale sifum-refinement-same-mem ⊆
  gen-refine: sifum-refinement dma dma C-vars C-vars C C evalA evalC
  some-val id
  by(unfold-locales, simp-all)
```

```
context sifum-refinement-same-mem begin
```

```
lemma [simp]:
  gen-refine.new-vars-private  $\mathcal{R}$ 
  unfolding gen-refine.new-vars-private-def
  by simp
```

definition

```
preserves-modes-mem :: ('ComA, 'Var, 'Val, 'ComC, 'Var) state-relation ⇒ bool
where
  preserves-modes-mem  $\mathcal{R} \equiv$ 
  ( $\forall c_A mds_A mem_A c_C mds_C mem_C. (\langle c_A, mds_A, mem_A \rangle_A, \langle c_C, mds_C, mem_C \rangle_C) \in \mathcal{R} \longrightarrow$ 
     $mem_A = mem_C \wedge mds_A = mds_C$ )
```

definition

```
closed-others :: ('ComA, 'Var, 'Val, 'ComC, 'Var) state-relation ⇒ bool
where
  closed-others  $\mathcal{R} \equiv$ 
  ( $\forall c_A mds mem c_C mem'. (\langle c_A, mds, mem \rangle_A, \langle c_C, mds, mem \rangle_C) \in \mathcal{R} \longrightarrow$ 
    ( $\forall x. mem\ x \neq mem'\ x \longrightarrow \neg var\text{-asm-not-written}\ mds\ x$ )  $\longrightarrow$ 
    ( $\forall x. dma\ mem\ x \neq dma\ mem'\ x \longrightarrow \neg var\text{-asm-not-written}\ mds\ x$ )  $\longrightarrow$ 
    ( $\langle c_A, mds, mem' \rangle_A, \langle c_C, mds, mem' \rangle_C) \in \mathcal{R}$ )
```

```
lemma [simp]:
```

gen-refine.mds_A-of $x = x$
by(*simp add: gen-refine.mds_A-of-def*)

lemma [*simp*]:
gen-refine.mem_A-of $x = x$
by(*simp add: gen-refine.mem_A-of-def*)

lemma [*simp*]:
preserves-modes-mem $\mathcal{R} \implies$
gen-refine.closed-others $\mathcal{R} = \text{closed-others } \mathcal{R}$
unfolding *closed-others-def*
gen-refine.closed-others-def
preserves-modes-mem-def
by *auto*

lemma [*simp*]:
gen-refine.preserves-modes-mem $\mathcal{R} = \text{preserves-modes-mem } \mathcal{R}$
unfolding *gen-refine.preserves-modes-mem-def2* *preserves-modes-mem-def*
by *simp*

definition
secure-refinement $:: ('Com_A, 'Var, 'Val) \text{LocalConf rel} \Rightarrow ('Com_A, 'Var, 'Val,$
'Com_C, 'Var) state-relation \Rightarrow
 $('Com_C, 'Var, 'Val) \text{LocalConf rel} \Rightarrow \text{bool}$

where
secure-refinement $\mathcal{R}_A \mathcal{R} P \equiv$
closed-others $\mathcal{R} \wedge$
preserves-modes-mem $\mathcal{R} \wedge$
conc.closed-glob-consistent $P \wedge$
 $(\forall c_{1A} \text{ mds } mem_1 c_{1C}.$
 $(\langle c_{1A}, \text{ mds}, mem_1 \rangle_A, \langle c_{1C}, \text{ mds}, mem_1 \rangle_C) \in \mathcal{R} \longrightarrow$
 $(\forall c_{1C}' \text{ mds}' mem_1'. \langle c_{1C}, \text{ mds}, mem_1 \rangle_C \rightsquigarrow_C \langle c_{1C}', \text{ mds}', mem_1' \rangle_C \longrightarrow$
 $(\exists n c_{1A}'. \text{abs.neval } \langle c_{1A}, \text{ mds}, mem_1 \rangle_A n \langle c_{1A}', \text{ mds}', mem_1' \rangle_A \wedge$
 $(\langle c_{1A}', \text{ mds}', mem_1' \rangle_A, \langle c_{1C}', \text{ mds}', mem_1' \rangle_C) \in \mathcal{R} \wedge$
 $(\forall c_{2A} \text{ mem}_2 c_{2C} c_{2A}' \text{ mem}_2'.$
 $(\langle c_{1A}, \text{ mds}, mem_1 \rangle_A, \langle c_{2A}, \text{ mds}, mem_2 \rangle_A) \in \mathcal{R}_A \wedge$
 $(\langle c_{2A}, \text{ mds}, mem_2 \rangle_A, \langle c_{2C}, \text{ mds}, mem_2 \rangle_C) \in \mathcal{R} \wedge$
 $(\langle c_{1C}, \text{ mds}, mem_1 \rangle_C, \langle c_{2C}, \text{ mds}, mem_2 \rangle_C) \in P \wedge$
 $\text{abs.neval } \langle c_{2A}, \text{ mds}, mem_2 \rangle_A n \langle c_{2A}', \text{ mds}', mem_2' \rangle_A \longrightarrow$
 $(\exists c_{2C}'. \langle c_{2C}, \text{ mds}, mem_2 \rangle_C \rightsquigarrow_C \langle c_{2C}', \text{ mds}', mem_2' \rangle_C \wedge$
 $(\langle c_{2A}', \text{ mds}', mem_2' \rangle_A, \langle c_{2C}', \text{ mds}', mem_2' \rangle_C) \in \mathcal{R} \wedge$
 $(\langle c_{1C}', \text{ mds}', mem_1' \rangle_C, \langle c_{2C}', \text{ mds}', mem_2' \rangle_C) \in P))))$

lemma *preserves-modes-memD*:
preserves-modes-mem $\mathcal{R} \implies$
 $(\langle c_A, \text{ mds}_A, mem_A \rangle_A, \langle c_C, \text{ mds}_C, mem_C \rangle_C) \in \mathcal{R} \implies$
 $mem_A = mem_C \wedge mds_A = mds_C$
by(*auto simp: preserves-modes-mem-def*)

```

lemma [simp]:
  gen-refine.secure-refinement  $\mathcal{R}_A \mathcal{R} P = \text{secure-refinement } \mathcal{R}_A \mathcal{R} P$ 
unfolding gen-refine.secure-refinement-def secure-refinement-def
apply safe
  apply fastforce
  apply fastforce
  defer
  apply fastforce
  apply fastforce
  apply fastforce
  defer
  apply ((drule spec)+, erule (1) impE)
  apply ((drule spec)+, erule (1) impE)
  apply (clarify)
  apply(rename-tac n c1A' mdsA' mem1A')
  apply(rule-tac x=n in exI)
  apply(rule-tac x=c1A' in exI)
  apply(fastforce dest: preserves-modes-memD)
  apply (frule (1) preserves-modes-memD)
  apply clarify
  apply ((drule spec)+, erule (1) impE)
  apply ((drule spec)+, erule (1) impE)
  apply clarify
  apply(blast dest: preserves-modes-memD)
done

```

```

lemma  $R_C$ -of-strong-low-bisim-mm:
  assumes abs: abs.strong-low-bisim-mm  $\mathcal{R}_A$ 
  assumes rr: secure-refinement  $\mathcal{R}_A \mathcal{R} P$ 
  assumes P-sym: sym P
  shows conc.strong-low-bisim-mm (gen-refine. $R_C$ -of  $\mathcal{R}_A \mathcal{R} P$ )
  using abs rr gen-refine. $R_C$ -of-strong-low-bisim-mm[OF - - P-sym]
  by simp

```

end

context sifum-refinement **begin**

lemma use-secure-refinement-helper:

```

secure-refinement  $\mathcal{R}_A \mathcal{R} P \implies$ 
  ((cmA, memA), (cmC, memC)) ∈  $\mathcal{R} \implies (cm_C, mem_C) \rightsquigarrow_C (cm_C', mem_C') \implies$ 
  (∃ cmA' memA' n. abs.neval (cmA, memA) n (cmA', memA') ∧
    ((cmA', memA'), (cmC', memC')) ∈  $\mathcal{R}$ )

```

apply(case-tac cm_A, case-tac cm_C)

apply clarsimp

apply(clarsimp simp: secure-refinement-def)

by (metis surjective-pairing)

lemma closed-othersD:

closed-others $\mathcal{R} \implies$

$(\langle c_A, mds_A\text{-of } mds_C, mem_A\text{-of } mem_C \rangle_A, \langle c_C, mds_C, mem_C \rangle_C) \in \mathcal{R} \implies$
 $(\bigwedge x. mem_C' x \neq mem_C x \vee dma_C mem_C' x \neq dma_C mem_C x \implies \neg var\text{-asm-not-written}$
 $mds_C x) \implies$
 $(\langle c_A, mds_A\text{-of } mds_C, mem_A\text{-of } mem_C \rangle_A, \langle c_C, mds_C, mem_C \rangle_C) \in \mathcal{R}$
unfolding *closed-others-def*
by *auto*
end

record (*a*, *'Val*, *'Var_C*, *'Com_C*, *'Var_A*, *'Com_A*) *componentwise-refinement* =
priv-mem :: *'Var_C set*
R_A-rel :: (*'Com_A*, *'Var_A*, *'Val*) *LocalConf rel*
R-rel :: (*'Com_A*, *'Var_A*, *'Val*, *'Com_C*, *'Var_C*) *state-relation*
P-rel :: (*'Com_C*, *'Var_C*, *'Val*) *LocalConf rel*

6 Whole System Refinement

A locale to capture componentwise refinement of an entire system.

locale *sifum-refinement-sys* =
sifum-refinement dma_A dma_C C-vars_A C-vars_C C_A C_C eval_A eval_C some-val
var_C-of
for *dma_A* :: (*'Var_A*, *'Val*) *Mem* \Rightarrow *'Var_A* \Rightarrow *Sec*
and *dma_C* :: (*'Var_C*, *'Val*) *Mem* \Rightarrow *'Var_C* \Rightarrow *Sec*
and *C-vars_A* :: *'Var_A* \Rightarrow *'Var_A set*
and *C-vars_C* :: *'Var_C* \Rightarrow *'Var_C set*
and *C_A* :: *'Var_A set*
and *C_C* :: *'Var_C set*
and *eval_A* :: (*'Com_A*, *'Var_A*, *'Val*) *LocalConf rel*
and *eval_C* :: (*'Com_C*, *'Var_C*, *'Val*) *LocalConf rel*
and *some-val* :: *'Val*
and *var_C-of* :: *'Var_A* \Rightarrow *'Var_C* +
fixes *cms* :: (*a::wellorder*, *'Val*, *'Var_C*, *'Com_C*, *'Var_A*, *'Com_A*) *component-*
wise-refinement list
fixes *priv-mem_C* :: *'Var_C set list*
defines *priv-mem_C-def*: *priv-mem_C* \equiv *map priv-mem cms*
assumes *priv-mem-disjoint*: $i < \text{length } cms \implies j < \text{length } cms \implies i \neq j \implies$
 $priv\text{-mem}_C ! i \cap priv\text{-mem}_C ! j = \{\}$
assumes *new-vars-priv*: $-\text{range } var_C\text{-of} = \bigcup (set\ priv\text{-mem}_C)$
assumes *new-privs-preserved*: $\langle c, mds, mem \rangle_C \rightsquigarrow_C \langle c', mds', mem' \rangle_C \implies x \notin$
 $range\ var_C\text{-of} \implies$
 $(x \in mds\ m) = (x \in mds'\ m)$
assumes *secure-refinements*:
 $i < \text{length } cms \implies secure\text{-refinement } (\mathcal{R}_A\text{-rel } (cms ! i)) (\mathcal{R}\text{-rel } (cms ! i)) (P\text{-rel}$
 $(cms ! i))$
assumes *local-guarantee-preservation*:
 $i < \text{length } cms \implies preserves\text{-local-guarantee-compliance } (\mathcal{R}\text{-rel } (cms ! i))$
assumes *bisims*:
 $i < \text{length } cms \implies abs.\text{strong-low-bisim-mm } (\mathcal{R}_A\text{-rel } (cms ! i))$
assumes *Ps-sym*:

$\wedge a b. i < \text{length } cms \implies \text{sym } (P\text{-rel } (cms ! i))$
assumes *Ps-refl-on-low-mds-eq*:
 $i < \text{length } cms \implies \text{conc.low-mds-eq } mds_C \text{ mem}_C \text{ mem}_{C'} \implies (\langle c_C, mds_C, \text{mem}_C \rangle_C, \langle c_C, mds_C, \text{mem}_{C'} \rangle_C) \in (P\text{-rel } (cms ! i))$

context *sifum-security* **begin**

lemma *neval-modifies-helper*:

assumes *nevaln*: $\text{neval } lcn \ m \ lcn'$

assumes *lcn-def*: $lcn = (cms ! n, mem)$

assumes *lcn'-def*: $lcn' = (cmn', mem')$

assumes *len*: $n < \text{length } cms$

assumes *modified*: $mem \ x \neq mem' \ x \vee dma \ mem \ x \neq dma \ mem' \ x$

shows $\exists k \ cmn'' \ mem'' \ cmn''' \ mem''' . k < m \wedge \text{neval } (cms ! n, mem) \ k \ (cmn'', mem'')$

\wedge

$$(cmn'', mem'') \rightsquigarrow (cmn''', mem''') \wedge (mem'' \ x \neq mem''' \ x \vee dma \ mem'' \ x \neq dma \ mem''' \ x)$$

using *nevaln lcn-def lcn'-def modified len*

proof(*induct arbitrary: cms cmn' mem mem' rule: neval.induct*)

case (*neval-0 lcn lcn'*)

from *neval-0* **show** *?case* **by** *simp*

next

case (*neval-S-n lcn lcn'' m lcn'*)

obtain *cmn'' mem''* **where** *lcn''-def*: $lcn'' = (cmn'', mem'')$ **by** *fastforce*

show *?case*

proof(*cases mem x ≠ mem'' x ∨ dma mem x ≠ dma mem'' x*)

assume *a*: $mem \ x \neq mem'' \ x \vee dma \ mem \ x \neq dma \ mem'' \ x$

let *?k* = $0::nat$

let *?cmn''* = $cms ! n$

let *?mem''* = mem

have *?k* < $Suc \ m \wedge$

$\text{neval } (cms ! n, mem) \ ?k \ (?cmn'', ?mem'') \wedge$

$(?cmn'', ?mem'') \rightsquigarrow (cmn'', mem'') \wedge (?mem'' \ x \neq mem'' \ x \vee$

$dma \ ?mem'' \ x \neq dma \ mem'' \ x)$

apply (*rule conjI, simp add: neval.neval-0*)**+**

apply (*simp only: a*)

by (*simp add: neval-S-n(1)[simplified neval-S-n lcn''-def]*)

thus *?case* **by** *blast*

next

assume *a*: $\neg (mem \ x \neq mem'' \ x \vee dma \ mem \ x \neq dma \ mem'' \ x)$

hence *unchanged*: $mem'' \ x = mem \ x \wedge dma \ mem'' \ x = dma \ mem \ x$

by (*blast intro: sym*)

define *cms''* **where** $cms'' = cms[n := cmn'']$

have *len''*: $n < \text{length } cms''$

by(*simp add: cms''-def neval-S-n*)

hence *lcn''-def2*: $lcn'' = (cms'' ! n, mem'')$

by(*simp add: lcn''-def cms''-def*)

from

neval-S-n(3)[OF lcn''-def2 neval-S-n(5), simplified unchanged neval-S-n len'']

```

obtain  $k$   $cmn'''$   $mem'''$   $cmn''''$   $mem''''$  where
  hyp:  $k < m \wedge$ 
     $neval (cms'' ! n, mem'') k (cmn''', mem''') \wedge$ 
     $(cmn''', mem''') \rightsquigarrow (cmn'''', mem''''') \wedge$ 
     $(mem''' x \neq mem'''' x \vee dma mem''' x \neq dma mem'''' x)$ 
  by blast
have  $neval (cms ! n, mem) (Suc k) (cmn''', mem''')$ 
apply(rule neval.neval-S-n)
prefer 2
using hyp apply fastforce
apply(simp add: cms''-def neval-S-n)
by(rule neval-S-n(1)[simplified neval-S-n lcn''-def])
moreover have  $Suc k < Suc m$  using hyp by auto
ultimately show ?case using hyp by fastforce
qed
qed

lemma neval-sched-Nil [simp]:
   $(cms, mem) \rightarrow [] (cms, mem)$ 
by simp

lemma reachable-mode-states-refl:
   $map snd cms \in reachable-mode-states (cms, mem)$ 
apply(clarsimp simp: reachable-mode-states-def)
using neval-sched-Nil by blast

lemma neval-reachable-mode-states:
  assumes neval: neval lc n lc'
  assumes lc-def: lc = (cms ! k, mem)
  assumes len: k < length cms
  shows  $map snd (cms[k := (fst lc')]) \in reachable-mode-states (cms, mem)$ 
using neval lc-def len proof(induct arbitrary: cms mem rule: neval.induct)
case (neval-0 x y)
  thus ?case
  apply simp
  apply(drule sym, simp add: len reachable-mode-states-refl)
  done
next
case (neval-S-n x y n z)
  define  $cms'$  where  $cms' = cms[k := fst y]$ 
  define  $mem'$  where  $mem' = snd y$ 
  have y-def:  $y = (cms' ! k, mem')$ 
  by(simp add: cms'-def mem'-def neval-S-n)
  moreover have  $len' : k < length cms'$ 
  by(simp add: cms'-def neval-S-n)
  ultimately have hyp:  $map snd (cms'[k := fst z]) \in reachable-mode-states (cms', mem')$ 
  using neval-S-n by metis
  have  $map snd (cms'[k := fst z]) = map snd (cms[k := fst z])$ 

```

unfolding cms' -def
 by *simp*
moreover have $(cms, mem) \rightsquigarrow_k (cms', mem')$
using *meval-intro neval-S-n y-def cms'-def mem'-def len'* **by** *fastforce*
ultimately show *?case*
using *reachable-modes-subset subsetD hyp* **by** *fastforce*
qed

lemma *meval-sched-sound-mode-use*:
 $sound-mode-use\ gc \implies meval-sched\ sched\ gc\ gc' \implies sound-mode-use\ gc'$
proof(*induct rule: meval-sched.induct*)
case (1 gc)
thus *?case* **by** *simp*
next
case (2 $n\ ns\ gc\ gc'$)
from 2(3) **obtain** gc'' **where** *meval-abv gc n gc''* **and** a : *meval-sched ns gc''*
 gc' **by** *force*
with 2(2) *sound-modes-invariant* **have** b : *sound-mode-use gc''* **by** (*metis surjective-pairing*)
show *?case* **by** (*rule 2(1)[OF b a]*)
qed

lemma *neval-meval*:
 $neval\ lcn\ k\ lcn' \implies n < length\ cms \implies lcn = (cms\ !\ n, mem) \implies lcn' = (cmn', mem')$
 $meval-sched\ (replicate\ k\ n)\ (cms, mem)\ (cms[n := cmn'], mem')$
proof(*induct arbitrary: cms mem cmn' mem' rule: neval.induct*)
case (*neval-0 lcn lcn'*)
thus *?case* **by** *fastforce*
next
case (*neval-S-n lcn lcn'' k lcn'*)
define cms'' **where** [*simp*]: $cms'' = cms[n := fst\ lcn'']$
define mem'' **where** [*simp*]: $mem'' = snd\ lcn''$
have len'' [*simp*]: $n < length\ cms''$ **by** (*simp add: neval-S-n(4)*)
have lcn'' -def: $lcn'' = (cms''\ !\ n, mem'')$ **using** len'' **by** *simp*
have hyp : $(cms'', mem'') \rightarrow_{replicate\ k\ n}\ (cms''[n := cmn'], mem')$
by (*rule neval-S-n(3)[OF len'' lcn''-def neval-S-n(6)]*)
have *meval*: $(cms, mem) \rightsquigarrow_n (cms'', mem'')$
using cms'' -def *neval-S-n.hyps(1) neval-S-n.prem(1) neval-S-n.prem(2)* **by**
fastforce
from *hyp meval* **show** *?case*
by *fastforce*
qed

lemma *meval-sched-app*:
 $meval-sched\ as\ gc\ gc' \implies meval-sched\ bs\ gc'\ gc'' \implies meval-sched\ (as@bs)\ gc\ gc''$
proof(*induct as arbitrary: gc gc' bs*)
case *Nil* **thus** *?case* **by** *simp*

```

next
case (Cons a as)
  from Cons(2)
  obtain gc''' where a: meval-abv gc a gc''' and as: meval-sched as gc''' gc' by
force
  from Cons(1)[OF as Cons(3)] a
  have gc →a # (as @ bs) gc''
  by (metis meval-sched.simps)
  thus ?case by simp
qed

end

```

context *sifum-refinement-sys* **begin**

lemma *conc-respects-priv*:

```

  assumes xin:  $x_C \notin \text{range } \text{var}_C\text{-of}$ 
  assumes modifiedC:  $\text{mem}_C x_C \neq \text{mem}_{C'} x_C \vee \text{dma}_C \text{ mem}_C x_C \neq \text{dma}_{C'} \text{ mem}_{C'} x_C$ 
  assumes evalC:  $(\text{cms}_C ! n, \text{mem}_C) \rightsquigarrow_C (\text{cm}_C n', \text{mem}_{C'})$ 
  assumes in- $\mathcal{R}n$ :  $((\text{cms}_A ! n, \text{mem}_A), \text{cms}_C ! n, \text{mem}_C) \in \mathcal{R}n$ 
  assumes preserves: preserves-local-guarantee-compliance  $\mathcal{R}n$ 
  assumes sound-mode-useA: abs.sound-mode-use  $(\text{cms}_A, \text{mem}_A)$ 
  assumes nlen:  $n < \text{length } \text{cms}$ 
  assumes len-eq:  $\text{length } \text{cms}_A = \text{length } \text{cms}$ 
  assumes len-eq':  $\text{length } \text{cms}_C = \text{length } \text{cms}$ 
  shows  $x_C \notin (\text{snd } (\text{cms}_C ! n)) \text{ GuarNoWrite} \wedge x_C \notin (\text{snd } (\text{cms}_C ! n)) \text{ GuarNoReadOrWrite}$ 

```

proof –

```

  from sound-mode-useA have abs.respects-own-guarantees  $(\text{cms}_A ! n)$ 
  using nlen len-eq abs.locally-sound-respects-guarantees
  unfolding abs.sound-mode-use-def list-all-length
  by fastforce
  with in- $\mathcal{R}n$  have 1: conc.respects-own-guarantees  $(\text{cms}_C ! n)$ 
  using preserves
  unfolding preserves-local-guarantee-compliance-def
  by metis
  with evalC modifiedC have 2:  $\neg \text{conc.doesnt-modify } (\text{fst } (\text{cms}_C ! n)) x_C$ 
  unfolding conc.doesnt-modify-def
  by (metis surjective-pairing)
  then have  $\neg \text{conc.doesnt-read-or-modify } (\text{fst } (\text{cms}_C ! n)) x_C$ 
  using conc.doesnt-read-or-modify-doesnt-modify by metis
  with 1 2 show ?thesis
  unfolding conc.respects-own-guarantees-def
  by metis

```

qed

lemma *modified-variables-are-not-assumed-not-written*:

```

  fixes  $\text{cms}_A \text{ mem}_A \text{ cms}_C \text{ mem}_C \text{ cm}_C n' \text{ mem}_{C'} \mathcal{R}n \text{ cm}_A n' \text{ mem}_A' m_A \mathcal{R}i$ 

```

assumes *sound-mode-use_A*: *abs.sound-mode-use* (*cms_A*, *mem_A*)
assumes *pmmn*: *preserves-modes-mem* $\mathcal{R}n$
assumes *in- $\mathcal{R}n$* : $((cms_A ! n, mem_A), (cms_C ! n, mem_C)) \in \mathcal{R}n$
assumes *pmmi*: *preserves-modes-mem* $\mathcal{R}i$
assumes *in- $\mathcal{R}i$* : $((cms_A ! i, mem_A), (cms_C ! i, mem_C)) \in \mathcal{R}i$
assumes *nlen*: $n < \text{length } cms$
assumes *len_A*: $\text{length } cms_A = \text{length } cms$
assumes *len_C*: $\text{length } cms_C = \text{length } cms$
assumes *priv-is-asm-priv*: $\bigwedge i. i < \text{length } cms \implies \text{priv-mem}_C ! i \subseteq \text{snd } (cms_C ! i)$
! *i*) *AsmNoReadOrWrite*
assumes *priv-is-guar-priv*: $\bigwedge i j. i < \text{length } cms \implies j < \text{length } cms \implies i \neq j$
 $\implies \text{priv-mem}_C ! i \subseteq \text{snd } (cms_C ! j)$ *GuarNoReadOrWrite*
assumes *new-asms-only-for-priv*: $\bigwedge i. i < \text{length } cms \implies$
 $(\text{snd } (cms_C ! i) \text{ AsmNoReadOrWrite} \cup \text{snd } (cms_C ! i) \text{ AsmNoWrite}) \cap (- \text{range } \text{var}_C\text{-of}) \subseteq \text{priv-mem}_C ! i$
assumes *eval_Cn*: $(cms_C ! n, mem_C) \rightsquigarrow_C (cm_{Cn'}, mem_{C'})$
assumes *neval_An*: *abs.neval* (*cms_A* ! *n*, *mem_A*) *m_A* (*cm_An'*, *mem_A'*)
assumes *in- $\mathcal{R}n'$* : $((cm_{An'}, mem_{A'}), (cm_{Cn'}, mem_{C'})) \in \mathcal{R}n$
assumes *modified_C*: $mem_C x_C \neq mem_{C'} x_C \vee dma_C mem_C x_C \neq dma_C mem_{C'} x_C$
! *x_C*
assumes *neq*: $i \neq n$
assumes *ilen*: $i < \text{length } cms$
assumes *preserves*: *preserves-local-guarantee-compliance* $\mathcal{R}n$
shows $\neg \text{var-asm-not-written } (\text{snd } (cms_C ! i)) x_C$
proof(*cases* $x_C \in \text{range } \text{var}_C\text{-of}$)
assume $x_C \in \text{range } \text{var}_C\text{-of}$
from this obtain *x_A* **where** *x_C-def*: $x_C = \text{var}_C\text{-of } x_A$ **by** *blast*
obtain *c_{AN}* *mds_{AN}* **where** [*simp*]: $cms_A ! n = (c_{AN}, mds_{AN})$ **by** *fastforce*
obtain *c_{Cn}* *mds_{Cn}* **where** [*simp*]: $cms_C ! n = (c_{Cn}, mds_{Cn})$ **by** *fastforce*
obtain *c_{Cn'}* *mds_{Cn'}* **where** [*simp*]: $cm_{Cn'} = (c_{Cn'}, mds_{Cn'})$ **by** *fastforce*
obtain *c_{AN'}* *mds_{AN'}* **where** [*simp*]: $cm_{AN'} = (c_{AN'}, mds_{AN'})$ **by** *fastforce*

from *in- $\mathcal{R}n$* *pmmn* **have** [*simp*]: $mem_A = mem_A\text{-of } mem_C$ **and** [*simp*]: $mds_{AN} = mds_{AN}\text{-of } mds_{Cn}$
using *preserves-modes-memD* **by** *auto*
from *in- $\mathcal{R}n'$* *pmmn* **have** [*simp*]: $mem_{A'} = mem_{A'}\text{-of } mem_{C'}$ **and** [*simp*]: $mds_{AN'} = mds_{AN'}\text{-of } mds_{Cn'}$
using *preserves-modes-memD* **by** *auto*

from *modified_C* *dma-consistent* **have**
modified_A: $mem_A x_A \neq mem_{A'} x_A \vee dma_A mem_A x_A \neq dma_A mem_{A'} x_A$
by (*simp add: mem_A-of-def x_C-def*)

from *len_A* *nlen* **have** *nlen_A*: $n < \text{length } cms_A$ **by** *simp*
from *len_A* *ilen* **have** *ilen_A*: $i < \text{length } cms_A$ **by** *simp*

from *abs.neval-modifies-helper*[*OF neval_{AN} HOL.refl HOL.refl nlen_A modified_A*]
obtain *k_A* *cm_{AN''}* *mem_{A''}* *cm_{AN'''}* *mem_{A'''}*
where $k_A < m_A$

and $neval_{AN''}$: $abs.neval (cms_A ! n, mem_A) k_A (cm_{AN''}, mem_{A''})$
and $eval_{AN''}$: $(cm_{AN''}, mem_{A''}) \rightsquigarrow_A (cm_{AN''}, mem_{A''})$
and $modified_{A''}$: $(mem_{A''} x_A \neq mem_{A'''} x_A \vee dma_A mem_{A''} x_A \neq dma_A mem_{A'''} x_A)$ **by** *blast*
let $?c_{AN''} = fst\ cm_{AN''}$
let $?mds_{AN''} = snd\ cm_{AN''}$
from $eval_{AN''}$ $modified_{A''}$ **have** $modifies_{A''}$: $\neg abs.doesnt-modify\ ?c_{AN''} x_A$
unfolding *abs.doesnt-modify-def*
by (*metis surjective-pairing*)
have $loc-reach_{A''}$: $(cm_{AN''}, mem_{A''}) \in abs.loc-reach (cms_A ! n, mem_A)$
apply(*rule abs.neval-loc-reach*)
apply(*rule neval_{AN''}*)
using *abs.loc-reach.refl* **by** *simp*
have $locally-sound-mode-use_{AN}$: $abs.locally-sound-mode-use (cms_A ! n, mem_A)$
using *sound-mode-use_A nlen_A*
unfolding *abs.sound-mode-use-def*
using *list-all-length* **by** *fastforce*
from $modifies_{A''}$ $loc-reach_{A''}$ $locally-sound-mode-use_{AN}$ $abs.doesnt-read-or-modify-doesnt-modify$
have $no-guar_{AN}$: $x_A \notin ?mds_{AN''} GuarNoReadOrWrite \wedge x_A \notin ?mds_{AN''} GuarNoWrite$
unfolding *abs.locally-sound-mode-use-def*
by (*metis surjective-pairing*)
let $?mdss_{A''} = map\ snd (cms_A[n := fst (cm_{AN''}, mem_{A''})])$
have $?mdss_{A''} \in abs.reachable-mode-states (cms_A, mem_A)$
apply(*rule abs.neval-reachable-mode-states*)
apply(*rule neval_{AN''}*)
apply(*rule HOL.refl*)
by(*rule nlen_A*)
hence $compat$: $abs.compatible-modes\ ?mdss_{A''}$
using *sound-mode-use_A*
by(*simp add: abs.globally-sound-mode-use-def*)
have n : $?mdss_{A''} ! n = ?mds_{AN''}$
by(*simp add: nlen_A*)
let $?mds_{Ai} = snd (cms_A ! i)$
have i : $?mdss_{A''} ! i = ?mds_{Ai}$
apply(*simp add: ilen_A*)
by(*metis nth-list-update-neq neq*)
from $nlen_A$ **have** $nlen_{A''}$: $n < length\ ?mdss_{A''}$ **by** *simp*
from $ilen_A$ **have** $ilen_{A''}$: $i < length\ ?mdss_{A''}$ **by** *simp*
with $compat\ n\ i\ nlen_{A''}\ ilen_{A''}\ no-guar_{AN}\ neq$
have $no-asm_{Ai}$: $x_A \notin ?mds_{Ai} AsmNoWrite \wedge x_A \notin ?mds_{Ai} AsmNoReadOrWrite$
unfolding *abs.compatible-modes-def*
by *metis*

obtain $c_{Ai}\ mds_{Ai}$ **where** [*simp*]: $cms_A ! i = (c_{Ai}, mds_{Ai})$ **by** *fastforce*
obtain $c_{Ci}\ mds_{Ci}$ **where** [*simp*]: $cms_C ! i = (c_{Ci}, mds_{Ci})$ **by** *fastforce*

from *in-Ri pmmi* **have** [*simp*]: $mds_{Ai} = mds_{A-of}\ mds_{Ci}$
using *preserves-modes-memD* **by** *auto*
have [*simp*]: $?mds_{Ai} = mds_{Ai}$ **by** *simp*

from $no\text{-}asm_A i$ **have** $no\text{-}asm_C i: x_C \notin mds_C i \text{ AsmNoWrite} \wedge x_C \notin mds_C i \text{ AsmNoReadOrWrite}$
using $x_C\text{-def } mds_A\text{-of-def}$
using $doesnt\text{-}have\text{-}mode$ **by** $auto$
thus $?thesis$
unfolding $var\text{-}asm\text{-}not\text{-}written\text{-}def$
by $simp$
next
let $?mds_C n = snd (cms_C ! n)$
let $?mds_C i = snd (cms_C ! i)$

assume $new\text{-}var: x_C \notin range\ var_C\text{-}of$
from $conc\text{-}respects\text{-}priv[OF\ new\text{-}var\ modified_C\ eval_C n\ in\ \mathcal{R}n\ preserves\ sound\text{-}mode\text{-}use_A\ nlen\ len_A\ len_C]$
have $x_C \notin ?mds_C n \text{ GuarNoWrite} \wedge x_C \notin ?mds_C n \text{ GuarNoReadOrWrite} .$
with $priv\text{-}is\text{-}guar\text{-}priv\ nlen\ ilen\ neg$
have $x_C \notin priv\text{-}mem_C ! i$
by $blast$
with $new\text{-}var\ new\text{-}asms\text{-}only\text{-}for\text{-}priv\ ilen$
have $x_C \notin ?mds_C i \text{ AsmNoReadOrWrite} \cup ?mds_C i \text{ AsmNoWrite}$
by $blast$
thus $?thesis$
unfolding $var\text{-}asm\text{-}not\text{-}written\text{-}def$
by $simp$
qed

definition

$priv\text{-}is\text{-}asm\text{-}priv :: 'Var_C\ Mds\ list \Rightarrow bool$

where

$priv\text{-}is\text{-}asm\text{-}priv\ mdss_C \equiv \forall i < length\ cms. priv\text{-}mem_C ! i \subseteq (mdss_C ! i) \text{ AsmNoReadOrWrite}$

definition

$priv\text{-}is\text{-}guar\text{-}priv :: 'Var_C\ Mds\ list \Rightarrow bool$

where

$priv\text{-}is\text{-}guar\text{-}priv\ mdss_C \equiv$
 $\forall i < length\ cms. (\forall j < length\ cms. i \neq j \longrightarrow priv\text{-}mem_C ! i \subseteq (mdss_C ! j) \text{ GuarNoReadOrWrite})$

definition

$new\text{-}asms\text{-}only\text{-}for\text{-}priv :: 'Var_C\ Mds\ list \Rightarrow bool$

where

$new\text{-}asms\text{-}only\text{-}for\text{-}priv\ mdss_C \equiv$
 $\forall i < length\ cms.$
 $((mdss_C ! i) \text{ AsmNoReadOrWrite} \cup (mdss_C ! i) \text{ AsmNoWrite}) \cap (\neg range\ var_C\text{-}of) \subseteq priv\text{-}mem_C ! i$

definition

$new\text{-}asms\text{-}NoReadOrWrite\text{-}only :: 'Var_C\ Mds\ list \Rightarrow bool$

where

new-asms-NoReadOrWrite-only mdss_C \equiv
 $\forall i < \text{length } \text{cms}.$
 $(\text{mdss}_C ! i) \text{ AsmNoWrite} \cap (- \text{range } \text{var}_C\text{-of}) = \{\}$

definition

modes-respect-priv :: 'Var_C Mds list \Rightarrow bool

where

modes-respect-priv mdss_C \equiv *priv-is-asm-priv mdss_C* \wedge *priv-is-guar-priv mdss_C*
 \wedge
new-asms-only-for-priv mdss_C \wedge
new-asms-NoReadOrWrite-only mdss_C

definition

ignores-old-vars :: ('Var_C Mds list \Rightarrow bool) \Rightarrow bool

where

ignores-old-vars P \equiv $\forall \text{mdss } \text{mdss}'.$ *length mdss* = *length mdss'* \wedge *length mdss'* =
length cms \longrightarrow
 $(\text{map } (\lambda x m. x m \cap (- \text{range } \text{var}_C\text{-of})) \text{mdss}) = (\text{map } (\lambda x m. x m \cap (- \text{range}$
var_C-of $)) \text{mdss}') \longrightarrow$
P mdss = *P mdss'*

lemma *ignores-old-vars-conj*:

assumes *Rdef*: $(\bigwedge x. R x = (P x \wedge Q x))$

assumes *iP*: *ignores-old-vars P*

assumes *iQ*: *ignores-old-vars Q*

shows *ignores-old-vars R*

unfolding *ignores-old-vars-def*

apply (*simp add: Rdef*)

apply (*intro impI allI*)

apply (*rule conj-cong*)

apply (*erule (1) iP[unfolded ignores-old-vars-def, rule-format]*)

apply (*erule (1) iQ[unfolded ignores-old-vars-def, rule-format]*)

done

lemma *nth-map-eq'*:

length xs = *length ys* \Longrightarrow *map f xs* = *map g ys* \Longrightarrow *i* < *length xs* \Longrightarrow *f (xs ! i)*
= *g (ys ! i)*

apply (*induct xs ys rule: list-induct2*)

apply *simp*

apply (*case-tac i*)

apply *force*

by (*metis length-map nth-map*)

lemma *nth-map-eq*:

map f xs = *map g ys* \Longrightarrow *i* < *length xs* \Longrightarrow *f (xs ! i)* = *g (ys ! i)*

apply (*rule nth-map-eq'*)

apply (*erule map-eq-imp-length-eq*)

```

apply assumption+
done

lemma nth-in-Union-over-set:
   $i < \text{length } xs \implies xs ! i \subseteq \bigcup (\text{set } xs)$ 
by (simp add: Union-upper)

lemma priv-are-new-vars:
   $x \in \text{priv-mem}_C ! i \implies i < \text{length } cms \implies x \notin \text{range } \text{var}_C\text{-of}$ 
using new-vars-priv nth-in-Union-over-set subsetD
using priv-memC-def by fastforce

lemma priv-is-asm-priv-ignores-old-vars:
  ignores-old-vars priv-is-asm-priv
apply(clarsimp simp: ignores-old-vars-def priv-is-asm-priv-def)
apply(rule all-cong)
apply(drule nth-map-eq)
apply simp
apply(blast dest: priv-are-new-vars fun-cong)
done

lemma priv-is-guar-priv-ignores-old-vars:
  ignores-old-vars priv-is-guar-priv
apply(clarsimp simp: ignores-old-vars-def priv-is-guar-priv-def)
apply(rule all-cong)
apply(rule all-cong)
apply(rule imp-cong)
apply(rule HOL.refl)
apply(frule nth-map-eq)
apply simp
apply(drule-tac i=j in nth-map-eq)
apply simp
apply(blast dest: priv-are-new-vars fun-cong)
done

lemma new-asms-only-for-priv-ignores-old-vars:
  ignores-old-vars new-asms-only-for-priv
apply(clarsimp simp: ignores-old-vars-def new-asms-only-for-priv-def)
apply(rule all-cong)
apply(drule nth-map-eq)
apply simp
apply(blast dest: priv-are-new-vars fun-cong)
done

lemma new-asms-NoReadOrWrite-only-ignores-old-vars:
  ignores-old-vars new-asms-NoReadOrWrite-only
apply(clarsimp simp: ignores-old-vars-def new-asms-NoReadOrWrite-only-def)
apply(rule all-cong)
apply(drule nth-map-eq)

```

```

apply simp
apply(blast dest: priv-are-new-vars fun-cong)
done

```

```

lemma modes-respect-priv-ignores-old-vars:
  ignores-old-vars modes-respect-priv
apply(rule ignores-old-vars-conj)
  apply(subst modes-respect-priv-def)
  apply(rule HOL.refl)
  apply(rule priv-is-asm-priv-ignores-old-vars)
apply(rule ignores-old-vars-conj)
  apply(rule HOL.refl)
apply(rule priv-is-guar-priv-ignores-old-vars)
apply(rule ignores-old-vars-conj)
  apply(rule HOL.refl)
  apply(rule new-asms-only-for-priv-ignores-old-vars)
apply(rule new-asms-NoReadOrWrite-only-ignores-old-vars)
done

```

```

lemma ignores-old-varsD:
  ignores-old-vars P  $\implies$  length mdss = length mdss'  $\implies$  length mdss' = length cms  $\implies$ 
  (map ( $\lambda x m. x m \cap (- \text{range } \text{var}_C\text{-of})$ ) mdss) = (map ( $\lambda x m. x m \cap (- \text{range } \text{var}_C\text{-of})$ ) mdss')  $\implies$ 
  P mdss = P mdss'
  unfolding ignores-old-vars-def
  by force

```

```

lemma new-privs-preserved':
   $\langle c, \text{mds}, \text{mem} \rangle_C \rightsquigarrow_C \langle c', \text{mds}', \text{mem}' \rangle_C \implies (\text{mds } m \cap (- \text{range } \text{var}_C\text{-of})) =$ 
   $(\text{mds}' m \cap (- \text{range } \text{var}_C\text{-of}))$ 
  using new-privs-preserved by blast

```

```

lemma map-nth-eq:
  length xs = length ys  $\implies$  ( $\bigwedge i. i < \text{length } xs \implies f (xs ! i) = g (ys ! i)$ )  $\implies$ 
  map f xs = map g ys
apply(induct xs ys rule: list-induct2)
  apply simp
apply force
done

```

```

lemma ignores-old-vars-conc-meval:
  assumes ignores: ignores-old-vars P
  assumes meval: conc.meval-abv gc_C n gc_C'
  assumes len-eq: length (fst gc_C) = length cms
  shows P (map snd (fst gc_C)) = P (map snd (fst gc_C'))
proof –
  obtain cms_C mem_C where [simp]: gc_C = (cms_C, mem_C) by fastforce
  obtain cms_C' mem_C' where [simp]: gc_C' = (cms_C', mem_C') by fastforce

```

```

from meval obtain cmn' mem_C' where
  eval_C n: (cms_C ! n, mem_C)  $\rightsquigarrow_C$  (cmn', mem_C') and len: n < length cms_C and
  cms_C'-def: cms_C' = cms_C[n := cmn']
  using conc.meval.cases by fastforce
have
  P (map snd cms_C) = P (map snd cms_C')
  apply(rule ignores-old-varsD[OF ignores])
  apply(simp add: cms_C'-def)
  using len-eq apply (simp add: cms_C'-def)
  apply(rule map-nth-eq)
  apply (simp add: cms_C'-def)
  apply(case-tac i = n)
  apply simp
  apply(rule ext)
  apply(simp add: cms_C'-def)
  using eval_C n new-privs-preserved' apply(metis surjective-pairing)
  by (simp add: cms_C'-def)
thus ?thesis by simp
qed

```

```

lemma ignores-old-vars-conc-meval-sched:
  assumes ignores: ignores-old-vars P
  assumes meval-sched: conc.meval-sched sched gc_C gc_C'
  assumes len-eq: length (fst gc_C) = length cms
  shows P (map snd (fst gc_C)) = P (map snd (fst gc_C'))
using meval-sched len-eq proof(induct rule: conc.meval-sched.induct)
  case (1 gc gc')
  thus ?case by simp
next
  case (2 n ns gc gc')
  from 2(2) obtain gc'' where b: conc.meval-abv gc n gc'' and a: conc.meval-sched
  ns gc'' gc' by force
  with 2 have length (fst gc'') = length cms
  using conc.meval.cases
  by (metis length-list-update surjective-pairing)
  with 2 a b show ?case
  using ignores-old-vars-conc-meval ignores by metis
qed

```

```

lemma meval-sched-modes-respect-priv:
  conc.meval-sched sched gc_C gc_C'  $\implies$  length (fst gc_C) = length cms  $\implies$ 
  modes-respect-priv (map snd (fst gc_C))  $\implies$ 
  modes-respect-priv (map snd (fst gc_C'))
by(blast dest!: ignores-old-vars-conc-meval-sched[OF modes-respect-priv-ignores-old-vars])

```

```

lemma meval-modes-respect-priv:
  conc.meval-abv gc_C n gc_C'  $\implies$  length (fst gc_C) = length cms  $\implies$ 
  modes-respect-priv (map snd (fst gc_C))  $\implies$ 
  modes-respect-priv (map snd (fst gc_C'))

```

by(blast dest!: ignores-old-vars-conc-meval[OF modes-respect-priv-ignores-old-vars])

lemma traces-refinement:

$$\begin{aligned} & \bigwedge gc_C gc_C' sched_C gc_A. conc.meval-sched sched_C gc_C gc_C' \implies \\ & \quad length (fst gc_A) = length cms \implies length (fst gc_C) = length cms \implies \\ & \quad (\bigwedge i. i < length cms \implies ((fst gc_A ! i, snd gc_A), (fst gc_C ! i, snd gc_C)) \in \mathcal{R}\text{-rel} \\ & (cms ! i)) \implies \\ & \quad abs.sound-mode-use gc_A \implies modes-respect-priv (map snd (fst gc_C)) \implies \\ & \quad \exists sched_A gc_A'. abs.meval-sched sched_A gc_A gc_A' \wedge \\ & \quad (\forall i. i < length cms \longrightarrow ((fst gc_A' ! i, snd gc_A'), (fst gc_C' ! i, snd gc_C'))) \\ & \in \mathcal{R}\text{-rel} (cms ! i) \wedge \\ & \quad abs.sound-mode-use gc_A' \end{aligned}$$

proof –

fix $gc_C gc_C' sched_C gc_A$
assume $meval_C: conc.meval-sched sched_C gc_C gc_C'$
and $len\text{-}eq [simp]: length (fst gc_A) = length cms$
and $len\text{-}eq [simp]: length (fst gc_C) = length cms$
and $in\text{-}\mathcal{R}: (\bigwedge i. i < length cms \implies ((fst gc_A ! i, snd gc_A), (fst gc_C ! i, snd gc_C)) \in \mathcal{R}\text{-rel} (cms ! i))$
and $sound\text{-}mode\text{-}use_A: abs.sound-mode-use gc_A$
and $modes\text{-}respect\text{-}priv: modes-respect-priv (map snd (fst gc_C))$
thus
 $\exists sched_A gc_A'. abs.meval-sched sched_A gc_A gc_A' \wedge$
 $(\forall i. i < length cms \longrightarrow ((fst gc_A' ! i, snd gc_A'), (fst gc_C' ! i, snd gc_C'))) \in \mathcal{R}\text{-rel} (cms ! i) \wedge$
 $abs.sound-mode-use gc_A'$

proof(induct arbitrary: gc_A rule: $conc.meval-sched.induct$)

case (1 $cms_C cms_C'$)

from 1(1) **have** $cms_C'\text{-}def [simp]: cms_C' = cms_C$ **by** $simp$

with 1 **have** $abs.meval-sched [] gc_A gc_A \wedge$

$(\forall i < length cms.$

$((fst gc_A ! i, snd gc_A), fst cms_C' ! i, snd cms_C') \in \mathcal{R}\text{-rel} (cms ! i) \wedge$

$abs.sound-mode-use gc_A$

by $simp$

thus $?case$ **by** $blast$

next

case (2 $n ns gc_C gc_C'$)

obtain $cms_C mem_C$ **where** $gc_C\text{-}def [simp]: gc_C = (cms_C, mem_C)$ **by** $force$

obtain $cms_A mem_A$ **where** $gc_A\text{-}def [simp]: gc_A = (cms_A, mem_A)$ **by** $force$

from 2(2) $gc_C\text{-}def$ **obtain** $cms_C'' mem_C''$ **where**

$meval_C: ((cms_C, mem_C), n, (cms_C'', mem_C'')) \in conc.meval$ **and**

$meval\text{-}sched_C: conc.meval-sched ns (cms_C'', mem_C'') gc_C'$

by $force$

let $?cm_C n = cms_C ! n$

let $?cm_A n = cms_A ! n$

let $?R n = \mathcal{R}\text{-rel} (cms ! n)$

from $meval_C$ **obtain** $cm_C n''$ **where**

$eval_C n: (?cm_C n, mem_C) \rightsquigarrow_C (cm_C n'', mem_C'')$ **and**
 $len: n < length\ cms_C$ **and**
 $cms_C''\text{-def}: cms_C'' = cms_C [n := cm_C n'']$ **by** (*blast elim: conc.meval.cases*)
from len **have** $len [simp]: n < length\ cms$ **by** (*simp add: 2[simplified]*)
from $cms_C''\text{-def}$ **2** **have**
 $len\text{-}cms_C'' [simp]: length\ cms_C'' = length\ cms$ **by** *simp*
from $2\ len$ **have**
 $in\text{-}\mathcal{R}n: ((?cm_A n, mem_A), (?cm_C n, mem_C)) \in ?\mathcal{R}n$
by *simp*

with $eval_C n$ *use-secure-refinement-helper[OF secure-refinements[OF len]]*
obtain $cm_A n'' mem_A'' m_A$ **where**
 $neval_A n: abs.neval (?cm_A n, mem_A) m_A (cm_A n'', mem_A'')$ **and**
 $in\text{-}\mathcal{R}n'': ((cm_A n'', mem_A''), (cm_C n'', mem_C'')) \in ?\mathcal{R}n$
by *blast+*

define cms_A'' **where** $cms_A'' = cms_A [n := cm_A n'']$
define gc_A'' **where** $[simp]: gc_A'' = (cms_A'', mem_A'')$
have $len\text{-}cms_A'' [simp]: length\ cms_A'' = length\ cms$ **by** (*simp add: cms_A''-def 2[simplified]*)

have $in\text{-}\mathcal{R}'': (\bigwedge i. i < length\ cms \implies ((cms_A'' ! i, mem_A''), cms_C'' ! i, mem_C''))$
 $\in \mathcal{R}\text{-rel}\ (cms ! i)$
proof –
fix i
assume $i < length\ cms$
show $?thesis\ i$
proof(*cases i = n*)
assume $i = n$
hence $cms_A'' ! i = cm_A n''$
using $cms_A''\text{-def}\ len\text{-}cms_A''\ len$ **by** *simp*
moreover from $\langle i = n \rangle$ **have** $cms_C'' ! i = cm_C n''$
using $cms_C''\text{-def}\ len\text{-}cms_C''\ len$ **by** *simp*
ultimately show $?thesis$
using $in\text{-}\mathcal{R}n''\ \langle i = n \rangle$
by *simp*
next
obtain $c_A i mds_A i$ **where** $cms_A i\text{-def} [simp]: (cms_A ! i) = (c_A i, mds_A i)$ **by**
fastforce
obtain $c_C i mds_C i$ **where** $cms_C i\text{-def} [simp]: (cms_C ! i) = (c_C i, mds_C i)$ **by**
fastforce
hence $mds_C i\text{-def}: mds_C i = snd\ (cms_C ! i)$ **by** *simp*

from $2(5)\ \langle i < length\ cms \rangle$ **have**
 $in\text{-}\mathcal{R}i: ((cms_A ! i, mem_A), (cms_C ! i, mem_C)) \in \mathcal{R}\text{-rel}\ (cms ! i)$
by *force*

from $in\text{-}\mathcal{R}n''$ *secure-refinements len preserves-modes-memD*
have $mem_A''\text{-def} [simp]: mem_A'' = mem_A\text{-of}\ mem_C''$

```

unfolding secure-refinement-def
by (metis surjective-pairing)

from in- $\mathcal{R}i$  secure-refinements  $\langle i < \text{length cms} \rangle$  preserves-modes-memD
  cmsAi-def cmsCi-def
have memA-def [simp]: memA = memA-of memC and
  mdsAi-def [simp]: mdsAi = mdsA-of mdsCi
unfolding secure-refinement-def
by metis+

assume  $i \neq n$ 
hence  $\text{cms}_A'' ! i = \text{cms}_A ! i$ 
  using cmsA''-def len-cmsA'' len by simp
moreover from  $\langle i \neq n \rangle$  have  $\text{cms}_C'' ! i = \text{cms}_C ! i$ 
  using cmsC''-def len-cmsC'' len by simp
ultimately show ?thesis

  using  $2(5)[\text{of } i] \langle i \neq n \rangle \langle i < \text{length cms} \rangle$ 
  apply simp
  apply (rule closed-othersD)
    apply (rule secure-refinements[OF  $\langle i < \text{length cms} \rangle$ , unfolded se-
      ecure-refinement-def, THEN conjunct1])
    apply assumption
    apply (simp only: mdsCi-def)
    apply (rule-tac  $\mathcal{R}n = \mathcal{R}\text{-rel}(\text{cms} ! n)$  and  $\mathcal{R}i = \mathcal{R}\text{-rel}(\text{cms} ! i)$  in modi-
      fied-variables-are-not-assumed-not-written)
    apply (rule 2(6)[unfolded gcA-def])
    using secure-refinements len secure-refinement-def apply blast
    apply (rule in- $\mathcal{R}n$ )
    using secure-refinements secure-refinement-def apply blast
    apply (rule in- $\mathcal{R}i$ )
    apply (rule len)
    using  $2$  apply simp
    using  $2$  apply simp
    using  $2(7)$  unfolding modes-respect-priv-def priv-is-asm-priv-def
gcC-def
    using  $2.\text{prems}(3)$  apply auto[1]
    using  $2(7)$  unfolding modes-respect-priv-def priv-is-guar-priv-def
gcC-def
    using  $2.\text{prems}(3)$  apply auto[1]
    using  $2(7)$  unfolding modes-respect-priv-def new-asms-only-for-priv-def
gcC-def
    using  $2.\text{prems}(3)$  apply auto[1]
    apply (rule evalCn)
    apply (rule nevalAn)
    apply (rule in- $\mathcal{R}n''$ )
    apply fastforce
    apply assumption
    apply assumption

```

```

    apply(rule local-guarantee-preservation)
    by simp
  qed
qed

have meval-schedA: abs.meval-sched (replicate mA n) gcA (cmsA'', memA'')
  apply(simp add: cmsA''-def)
  apply(rule abs.neval-meval[OF - - HOL.refl HOL.refl])
  apply(rule nevalAn)
  using 2.premis(2) by auto

have sound-mode-useA'': abs.sound-mode-use (cmsA'', memA'')
  apply(rule abs.meval-sched-sound-mode-use)
  apply(rule 2(6))
  by(rule meval-schedA)

have respects'': modes-respect-priv (map snd cmsC'')
  apply(rule meval-modes-respect-priv[where gcC'=(cmsC'', memC''), simplified])
  apply(rule mevalC)
  using 2.premis(3) gcC-def apply blast
  using 2 by simp

from respects'' 2(1)[OF meval-schedC, where gcA γ = gcA''] in-ℛ'' sound-mode-useA''
  obtain schedA gcA'
  where meval-schedA'': abs.meval-sched schedA gcA'' gcA' and
        in-ℛ': (∀ i < length cms. ((fst gcA' ! i, snd gcA'), fst gcC' ! i, snd gcC') ∈
ℛ-rel (cms ! i)) and
        sound-mode-useA': abs.sound-mode-use gcA' by fastforce
  define final-schedA where final-schedA = (replicate mA n) @ schedA
  have meval-final-schedA: abs.meval-sched final-schedA gcA gcA'
    using meval-schedA'' meval-schedA abs.meval-sched-app final-schedA-def
    gcA''-def by blast

  from meval-final-schedA in-ℛ' sound-mode-useA'
  show ?case by blast
  qed
qed

end

context sifum-security begin

definition
  restrict-modes :: 'Var Mds list ⇒ 'Var set ⇒ 'Var Mds list
  where
    restrict-modes mdss X ≡ map (λmds m. mds m ∩ X) mdss

lemma restrict-modes-length [simp]:

```


$length (restrict-modes\ mdss\ X) = length\ mdss$
by(*auto simp: restrict-modes-def*)

lemma *compatible-modes-by-case-distinction*:
assumes *compat-X*: *compatible-modes (restrict-modes mdss X)*
assumes *compat-compX*: *compatible-modes (restrict-modes mdss (-X))*
shows *compatible-modes mdss*
unfolding *compatible-modes-def*
proof(*safe*)
fix *i x j*
assume *ilen*: $i < length\ mdss$
assume *jlen*: $j < length\ mdss$
assume *neq*: $j \neq i$
assume *asm*: $x \in (mdss\ !\ i)\ AsmNoReadOrWrite$
show $x \in (mdss\ !\ j)\ GuarNoReadOrWrite$
proof(*cases* $x \in X$)
assume *xin*: $x \in X$
let $?mdss_X = restrict-modes\ mdss\ X$
from *asm xin* **have** $x \in (?mdss_X\ !\ i)\ AsmNoReadOrWrite$
unfolding *restrict-modes-def*
using *ilen* **by** *auto*

with *compat-X jlen ilen neq*
have $x \in (?mdss_X\ !\ j)\ GuarNoReadOrWrite$
unfolding *compatible-modes-def*
by *auto*
with *xin jlen* **show** *?thesis*
unfolding *restrict-modes-def* **by** *auto*
next
assume *xnin*: $x \notin X$
let $?mdss_X = restrict-modes\ mdss\ (-\ X)$
from *asm xnin* **have** $x \in (?mdss_X\ !\ i)\ AsmNoReadOrWrite$
unfolding *restrict-modes-def*
using *ilen* **by** *auto*

with *compat-compX jlen ilen neq*
have $x \in (?mdss_X\ !\ j)\ GuarNoReadOrWrite$
unfolding *compatible-modes-def*
by *auto*
with *xnin jlen* **show** *?thesis*
unfolding *restrict-modes-def* **by** *auto*
qed
next
fix *i x j*
assume *ilen*: $i < length\ mdss$
assume *jlen*: $j < length\ mdss$
assume *neq*: $j \neq i$
assume *asm*: $x \in (mdss\ !\ i)\ AsmNoWrite$
show $x \in (mdss\ !\ j)\ GuarNoWrite$

```

proof(cases  $x \in X$ )
  assume  $xin: x \in X$ 
  let  $?mdss_X = restrict-modes\ mdss\ X$ 
  from  $asm\ xin$  have  $x \in (?mdss_X ! i)\ AsmNoWrite$ 
    unfolding  $restrict-modes-def$ 
    using  $ilen$  by  $auto$ 

  with  $compat-X\ jlen\ ilen\ neq$ 
  have  $x \in (?mdss_X ! j)\ GuarNoWrite$ 
    unfolding  $compatible-modes-def$ 
    by  $auto$ 
  with  $xin\ jlen$  show  $?thesis$ 
    unfolding  $restrict-modes-def$  by  $auto$ 
next
  assume  $xnin: x \notin X$ 
  let  $?mdss_X = restrict-modes\ mdss\ (-\ X)$ 
  from  $asm\ xnin$  have  $x \in (?mdss_X ! i)\ AsmNoWrite$ 
    unfolding  $restrict-modes-def$ 
    using  $ilen$  by  $auto$ 

  with  $compat-compX\ jlen\ ilen\ neq$ 
  have  $x \in (?mdss_X ! j)\ GuarNoWrite$ 
    unfolding  $compatible-modes-def$ 
    by  $auto$ 
  with  $xnin\ jlen$  show  $?thesis$ 
    unfolding  $restrict-modes-def$  by  $auto$ 
qed
qed

lemma  $in-restrict-modesD$ :
   $i < length\ mdss \implies x \in ((restrict-modes\ mdss\ X) ! i)\ m \implies x \in X \wedge x \in (mdss$ 
   $! i)\ m$ 
  by( $auto\ simp: restrict-modes-def$ )

lemma  $in-restrict-modesI$ :
   $i < length\ mdss \implies x \in X \implies x \in (mdss ! i)\ m \implies x \in ((restrict-modes\ mdss$ 
   $X) ! i)\ m$ 
  by( $auto\ simp: restrict-modes-def$ )

lemma  $meval-sched-length$ :
   $meval-sched\ sched\ gc\ gc' \implies length\ (fst\ gc') = length\ (fst\ gc)$ 
  apply( $induct\ sched\ arbitrary: gc\ gc'$ )
  by  $auto$ 

end

context  $sifum-refinement-sys$  begin

```

lemma *compatible-modes-old-vars*:

assumes *compatible-modes_A*: *abs.compatible-modes* (*map snd cms_A*)

assumes *len_A*: *length cms_A = length cms*

assumes *len_C*: *length cms_C = length cms*

assumes *in- \mathcal{R}* : $(\forall i < \text{length } cms. ((cms_A ! i, mem_A), cms_C ! i, mem_C) \in \mathcal{R}\text{-rel } (cms ! i))$

shows *conc.compatible-modes* (*conc.restrict-modes* (*map snd cms_C*) (*range var_C-of*))

unfolding *conc.compatible-modes-def*

proof (*clarsimp*)

fix *i x*

assume *i-len*: $i < \text{length } cms_C$

let $?cms = cms ! i$ **and**

$?c_A = \text{fst } (cms_A ! i)$ **and** $?m_{ds_A} = \text{snd } (cms_A ! i)$ **and**

$?c_C = \text{fst } (cms_C ! i)$ **and** $?m_{ds_C} = \text{snd } (cms_C ! i)$

from *in- \mathcal{R}* *i-len len_C*

have *in- \mathcal{R} -i*: $((cms_A ! i, mem_A), cms_C ! i, mem_C) \in \mathcal{R}\text{-rel } ?cms$ **by** *simp*

from *i-len* **have** $i < \text{length } (map\ snd\ cms_C)$ **by** *simp*

hence *m-x-range*: $\bigwedge m. x \in (conc.restrict-modes\ (map\ snd\ cms_C)\ (range\ var_C\ of))$

! *i* $m \implies x \in range\ var_C\ of \wedge x \in (map\ snd\ cms_C ! i)\ m$

using *conc.in-restrict-modesD* *i-len* **by** *blast+*

hence *m-x_C-i*: $\bigwedge m. x \in (conc.restrict-modes\ (map\ snd\ cms_C)\ (range\ var_C\ of))$

! *i* $m \implies x \in ?m_{ds_C}\ m$

by (*simp add: i-len*)

from *secure-refinements* *i-len len_C*

have *secure-refinement* ($\mathcal{R}_A\text{-rel } ?cms$) ($\mathcal{R}\text{-rel } ?cms$) ($P\text{-rel } ?cms$) **by** *simp*

hence *preserves-modes-mem- \mathcal{R} -i*: *preserves-modes-mem* ($\mathcal{R}\text{-rel } ?cms$)

unfolding *secure-refinement-def* **by** *simp*

from *in- \mathcal{R} -i* **have** $(\langle ?c_A, ?m_{ds_A}, mem_A \rangle_A, \langle ?c_C, ?m_{ds_C}, mem_C \rangle_C) \in \mathcal{R}\text{-rel } ?cms$

by *clarsimp*

with *preserves-modes-mem- \mathcal{R} -i*

have $(\forall x_A. mem_A\ x_A = mem_C\ (var_C\ of\ x_A)) \wedge (\forall m. var_C\ of\ ' ?m_{ds_A}\ m = range\ var_C\ of \cap ?m_{ds_C}\ m)$

unfolding *preserves-modes-mem-def* **by** *blast*

with *m-x_C-i* **have** *m-x_A*: $\bigwedge m. x \in (conc.restrict-modes\ (map\ snd\ cms_C)\ (range\ var_C\ of)) ! i\ m \implies var_A\ of\ x \in ?m_{ds_A}\ m$

unfolding *var_A-of-def* **using** *m-x-range inj-image-mem-iff var_C-of-inj* **by** *fast-force*

show $(x \in (conc.restrict-modes\ (map\ snd\ cms_C)\ (range\ var_C\ of)) ! i)\ AsmNoReadOrWrite \longrightarrow$

$(\forall j < \text{length } cms_C. j \neq i \longrightarrow$

$x \in (conc.restrict-modes\ (map\ snd\ cms_C)\ (range\ var_C\ of)) ! j)\ GuarNoReadOrWrite)$ \wedge

$(x \in (conc.restrict-modes\ (map\ snd\ cms_C)\ (range\ var_C\ of)) ! i)\ AsmNoWrite$

\longrightarrow

$(\forall j < \text{length } cms_C. j \neq i \longrightarrow$
 $x \in (\text{conc.restrict-modes } (\text{map snd } cms_C) (\text{range var}_C\text{-of}) ! j) \text{ GuarNoWrite}))$
proof (*safe*)
fix j
assume $AsmNoRW\text{-}x_C: x \in (\text{conc.restrict-modes } (\text{map snd } cms_C) (\text{range}$
 $\text{var}_C\text{-of}) ! i) \text{ AsmNoReadOrWrite}$ **and**
 $j\text{-len}: j < \text{length } cms_C$ **and**
 $j\text{-not-}i: j \neq i$
let $?cms' = cms ! j$ **and**
 $?c_A' = \text{fst } (cms_A ! j)$ **and** $?m_{ds_A}' = \text{snd } (cms_A ! j)$ **and**
 $?c_C' = \text{fst } (cms_C ! j)$ **and** $?m_{ds_C}' = \text{snd } (cms_C ! j)$

from $AsmNoRW\text{-}x_C \text{ m-}x\text{-range}$
have $x\text{-range}: x \in \text{range var}_C\text{-of}$ **by** *simp*

from $AsmNoRW\text{-}x_C \text{ m-}x_A$
have $\text{var}_A\text{-of } x \in ?m_{ds_A} \text{ AsmNoReadOrWrite}$ **by** *simp*
with *compatible-modes_A*
have $\text{GuarNoRW-}x_A: \text{var}_A\text{-of } x \in ?m_{ds_A}' \text{ GuarNoReadOrWrite}$
unfolding *abs.compatible-modes-def* **using** $i\text{-len } len_A \ len_C \ j\text{-len } j\text{-not-}i$ **by**
clarsimp

from $in\text{-}\mathcal{R} \ j\text{-len } len_C$
have $in\text{-}\mathcal{R}\text{-}j: ((cms_A ! j, mem_A), cms_C ! j, mem_C) \in \mathcal{R}\text{-rel } ?cms'$ **by** *simp*

from $j\text{-len}$ **have** $j\text{-len}' : j < \text{length } (\text{map snd } cms_C)$ **by** *simp*

from *secure-refinements* $j\text{-len } len_C$
have *secure-refinement* $(\mathcal{R}_A\text{-rel } ?cms') (\mathcal{R}\text{-rel } ?cms') (P\text{-rel } ?cms')$ **by** *simp*
hence *preserves-modes-mem- $\mathcal{R}\text{-}j$* : *preserves-modes-mem* $(\mathcal{R}\text{-rel } ?cms')$
unfolding *secure-refinement-def* **by** *simp*

from $in\text{-}\mathcal{R}\text{-}j$ **have** $(\langle ?c_A', ?m_{ds_A}', mem_A \rangle_A, \langle ?c_C', ?m_{ds_C}', mem_C \rangle_C) \in$
 $\mathcal{R}\text{-rel } ?cms'$ **by** *clarsimp*
with *preserves-modes-mem- $\mathcal{R}\text{-}j$*
have $(\forall x_A. mem_A \ x_A = mem_C \ (\text{var}_C\text{-of } x_A)) \wedge (\forall m. \text{var}_C\text{-of } ' ?m_{ds_A}' \ m$
 $= \text{range var}_C\text{-of} \cap ?m_{ds_C}' \ m)$
unfolding *preserves-modes-mem-def* **by** *blast*

with $\text{GuarNoRW-}x_A \ j\text{-len } j\text{-len}' \ m_{ds_A}\text{-of-def } x\text{-range } \text{conc.in-restrict-modesI}$
 $\text{var}_C\text{-of-in}j$
show $x \in (\text{conc.restrict-modes } (\text{map snd } cms_C) (\text{range var}_C\text{-of}) ! j) \text{ GuarNoRe-}$
 adOrWrite
unfolding *var}_A\text{-of-def}*
by (*metis* (*no-types*, *lifting*) *doesn't-have-mode f-inv-into-f image-inv-f-f*
 nth-map)
next

fix j

assume *AsmNoWrite-x_C*: $x \in (\text{conc.restrict-modes } (\text{map snd cms}_C) (\text{range var}_C\text{-of}) ! i)$ *AsmNoWrite* **and**
j-len: $j < \text{length cms}_C$ **and**
j-not-i: $j \neq i$
let $?cms' = cms ! j$ **and**
 $?c_A' = \text{fst } (cms_A ! j)$ **and** $?mds_A' = \text{snd } (cms_A ! j)$ **and**
 $?c_C' = \text{fst } (cms_C ! j)$ **and** $?mds_C' = \text{snd } (cms_C ! j)$

from *AsmNoWrite-x_C* *m-x-range*
have *x-range*: $x \in \text{range var}_C\text{-of}$ **by** *simp*

from *AsmNoWrite-x_C* *m-x_A*
have *var_A-of* $x \in ?mds_A$ *AsmNoWrite* **by** *simp*
with *compatible-modes_A*
have *GuarNoWrite-x_A*: $\text{var}_A\text{-of } x \in ?mds_A'$ *GuarNoWrite*
unfolding *abs.compatible-modes-def* **using** *i-len len_A len_C j-len j-not-i* **by**
clarsimp

from *in- \mathcal{R}* *j-len len_C*
have *in- \mathcal{R} -j*: $((cms_A ! j, mem_A), cms_C ! j, mem_C) \in \mathcal{R}\text{-rel } ?cms'$ **by** *simp*

from *j-len* **have** *j-len'*: $j < \text{length } (\text{map snd cms}_C)$ **by** *simp*

from *secure-refinements* *j-len len_C*
have *secure-refinement* $(\mathcal{R}_A\text{-rel } ?cms') (\mathcal{R}\text{-rel } ?cms') (P\text{-rel } ?cms')$ **by** *simp*
hence *preserves-modes-mem- \mathcal{R} -j*: *preserves-modes-mem* $(\mathcal{R}\text{-rel } ?cms')$
unfolding *secure-refinement-def* **by** *simp*

from *in- \mathcal{R} -j* **have** $(\langle ?c_A', ?mds_A', mem_A \rangle_A, \langle ?c_C', ?mds_C', mem_C \rangle_C) \in$
 $\mathcal{R}\text{-rel } ?cms'$ **by** *clarsimp*
with *preserves-modes-mem- \mathcal{R} -j*
have $(\forall x_A. mem_A x_A = mem_C (\text{var}_C\text{-of } x_A)) \wedge (\forall m. \text{var}_C\text{-of } ' ?mds_A' m$
 $= \text{range var}_C\text{-of} \cap ?mds_C' m)$
unfolding *preserves-modes-mem-def* **by** *blast*

with *GuarNoWrite-x_A* *j-len j-len' mds_A-of-def x-range conc.in-restrict-modesI*
var_C-of-inj
show $x \in (\text{conc.restrict-modes } (\text{map snd cms}_C) (\text{range var}_C\text{-of}) ! j)$ *GuarNoWrite*
unfolding *var_A-of-def*
by *(metis (no-types, lifting) doesnt-have-mode f-inv-into-f image-inv-f-f*
nth-map)
qed
qed

lemma *compatible-modes-new-vars*:

$\text{length mdss} = \text{length cms} \implies \text{modes-respect-priv mdss} \implies \text{conc.compatible-modes}$
 $(\text{conc.restrict-modes mdss } (- \text{range var}_C\text{-of}))$

unfolding *conc.compatible-modes-def*

proof *(safe)*

```

let ?X = - range varC-of
let ?mdssX = conc.restrict-modes mdss ?X
assume respect: modes-respect-priv mdss
assume len-eq: length mdss = length cms
fix i xC j
assume ilen: i < length ?mdssX
assume jlen: j < length ?mdssX
assume neq: j ≠ i
assume asmX: xC ∈ (?mdssX ! i) AsmNoWrite
from conc.in-restrict-modesD ilen asmX conc.restrict-modes-length have
  xin: xC ∈ ?X and
  asm: xC ∈ (mdss ! i) AsmNoWrite by metis+
from asm have False
  using respect xin ilen conc.restrict-modes-length len-eq
  unfolding modes-respect-priv-def new-asms-NoReadOrWrite-only-def
  by force
thus xC ∈ (?mdssX ! j) GuarNoWrite by blast
next
let ?X = - range varC-of
let ?mdssX = conc.restrict-modes mdss ?X
assume respect: modes-respect-priv mdss
assume len-eq: length mdss = length cms
fix i xC j
assume ilen: i < length ?mdssX
assume jlen: j < length ?mdssX
assume neq: j ≠ i
assume asmX: xC ∈ (?mdssX ! i) AsmNoReadOrWrite
from conc.in-restrict-modesD ilen asmX conc.restrict-modes-length have
  xin: xC ∈ ?X and
  asm: xC ∈ (mdss ! i) AsmNoReadOrWrite by metis+
from respect asm xin ilen conc.restrict-modes-length len-eq have
  xC ∈ priv-memC ! i
  unfolding modes-respect-priv-def new-asms-only-for-priv-def
  by force
with respect ilen jlen neq conc.restrict-modes-length len-eq have
  xC ∈ (mdss ! j) GuarNoReadOrWrite
  unfolding modes-respect-priv-def priv-is-guar-priv-def
  by force
with jlen xin conc.in-restrict-modesI show
  xC ∈ (?mdssX ! j) GuarNoReadOrWrite by force
qed

```

lemma sound-mode-use-preservation:

$$\begin{aligned}
& \bigwedge gc_C gc_A. \\
& \text{length (fst } gc_A) = \text{length cms} \implies \text{length (fst } gc_C) = \text{length cms} \implies \\
& (\bigwedge i. i < \text{length cms} \implies ((\text{fst } gc_A ! i, \text{snd } gc_A), (\text{fst } gc_C ! i, \text{snd } gc_C)) \in \mathcal{R}\text{-rel} \\
& (\text{cms} ! i)) \implies \\
& \text{abs.sound-mode-use } gc_A \implies \text{modes-respect-priv (map snd (fst } gc_C)) \implies
\end{aligned}$$

```

    conc.sound-mode-use gc_C
proof –
  fix gc_C gc_A
  assume len-eq [simp]: length (fst gc_A) = length cms
    and len-eq'[simp]: length (fst gc_C) = length cms
    and in-ℛ: (∧ i. i < length cms ⇒ ((fst gc_A ! i, snd gc_A), (fst gc_C ! i, snd
gc_C)) ∈ ℛ-rel (cms ! i))
    and sound-mode-use_A: abs.sound-mode-use gc_A
    and modes-respect-priv: modes-respect-priv (map snd (fst gc_C))
  have conc.globally-sound-mode-use gc_C
  unfolding conc.globally-sound-mode-use-def
  proof(clarsimp)
    fix mdss_C'
    assume in-reachable-modes: mdss_C' ∈ conc.reachable-mode-states gc_C
    from this obtain cms_C' mem_C' sched_C where
      meval-sched_C: conc.meval-sched sched_C gc_C (cms_C', mem_C') and
      mdss_C'-def: mdss_C' = map snd cms_C'
    unfolding conc.reachable-mode-states-def by blast
    from traces-refinement[OF meval-sched_C, OF len-eq len-eq' in-ℛ sound-mode-use_A
modes-respect-priv]
    obtain sched_A gc_A' cms_A' mem_A' where gc_A'-def [simp]: gc_A' = (cms_A',
mem_A') and
      meval-sched_A: abs.meval-sched sched_A gc_A gc_A' and
      in-ℛ: (∀ i < length cms.
        ((cms_A' ! i, mem_A'), cms_C' ! i, mem_C') ∈ ℛ-rel (cms ! i))
    and sound-mode-use_A': abs.sound-mode-use gc_A'
    by fastforce
  let ?mdss_A' = map snd cms_A'
  have ?mdss_A' ∈ abs.reachable-mode-states gc_A
    unfolding abs.reachable-mode-states-def
    using meval-sched_A by fastforce
  hence compatible-modes_A': abs.compatible-modes ?mdss_A'
  using sound-mode-use_A unfolding abs.sound-mode-use-def abs.globally-sound-mode-use-def
  by fastforce
  let ?X = range var_C-of
  show conc.compatible-modes mdss_C'
  proof(rule conc.compatible-modes-by-case-distinction[where X = ?X])
    show conc.compatible-modes (conc.restrict-modes mdss_C' ?X)
      apply(simp add: mdss_C'-def)
      apply(rule compatible-modes-old-vars[OF - - - in-ℛ])
      apply(rule compatible-modes_A')
      using len-eq abs.meval-sched-length[OF meval-sched_A] gc_A'-def apply simp
      using len-eq' conc.meval-sched-length[OF meval-sched_C] by simp
  next
  show conc.compatible-modes (conc.restrict-modes mdss_C' (– ?X))
    apply(rule compatible-modes-new-vars)
    using len-eq' conc.meval-sched-length[OF meval-sched_C] mdss_C'-def apply
simp
    apply(simp add: mdss_C'-def)

```

```

    apply(rule meval-sched-modes-respect-priv[OF meval-schedC, simplified])
    using modes-respect-priv by simp
  qed
qed

moreover have list-all (λ cm. conc.locally-sound-mode-use (cm, (snd gcC))) (fst
gcC)
  unfolding list-all-length
  proof(clarify)
    fix i
    assume i < length (fst gcC)
    hence len: i < length cms by simp
    have preserves: preserves-locally-sound-mode-use (ℛ-rel (cms ! i))
      apply(rule locally-sound-mode-use-preservation)
      using secure-refinements len apply blast
      using local-guarantee-preservation len by blast
    have abs.locally-sound-mode-use (fst gcA ! i, snd gcA)
      using sound-mode-useA ⟨i < length cms⟩ len-eq
      unfolding abs.sound-mode-use-def list-all-length
      by (simp add: case-prod-unfold)

    from this in-ℛ[OF len] preserves[unfolded preserves-locally-sound-mode-use-def]
    show conc.locally-sound-mode-use (fst gcC ! i, snd gcC)
      by blast
  qed

ultimately show ?thesis gcC gcA unfolding conc.sound-mode-use-def
  by (simp add: case-prod-unfold)
qed

lemma refined-prog-secure:
  assumes lenA [simp]: length cmsC = length cms
  assumes lenC [simp]: length cmsA = length cms
  assumes in-ℛ: (∧ i memC. i < length cms ⇒ ((cmsA ! i, memA-of memC), (cmsC
! i, memC)) ∈ ℛ-rel (cms ! i))
  assumes in-ℛA: (∧ i memC memC'. [i < length cms; conc.low-mds-eq (snd
(cmsC ! i)) memC memC']) ⇒ ((cmsA ! i, memA-of memC), (cmsA ! i, memA-of memC')) ∈ ℛA-rel
(cms ! i)
  assumes sound-mode-useA: (∧ memA. abs.sound-mode-use (cmsA, memA))
  assumes modes-respect-priv: modes-respect-priv (map snd cmsC)
  shows conc.prog-sifum-secure-cont cmsC
  apply(rule conc.sifum-compositionality-cont)
  apply(clarsimp simp: list-all-length)
  apply(clarsimp simp: conc.com-sifum-secure-def conc.low-indistinguishable-def)
  apply(rule conc.mm-equiv.intros)
  apply(rule RC-of-strong-low-bisim-mm)
  apply(fastforce intro: bisims)
  apply(fastforce intro: secure-refinements)

```



```

apply(fastforce simp: Ps-sym)
apply(clarsimp simp: RC-of-def)
apply(rename-tac i cC mdsC memC memC')
apply(rule-tac x=fst (cmsA ! i) in exI)
apply(rule-tac x=snd (cmsA ! i) in exI)
apply(rule-tac x=memA-of memC in exI)
apply(rule conjI)
using in- $\mathcal{R}$  apply fastforce
apply(rule-tac x=fst (cmsA ! i) in exI)
apply(rule-tac x=snd (cmsA ! i) in exI)
apply(rule-tac x=memA-of memC' in exI)
apply(rule conjI)
using in- $\mathcal{R}$  apply fastforce
apply(fastforce simp: in- $\mathcal{R}_A$  Ps-refl-on-low-mds-eq)
apply(clarify)
apply(rename-tac memC)
apply(rule-tac gcA=(cmsA,memA-of memC) in sound-mode-use-preservation)
  apply simp
  apply simp
  using in- $\mathcal{R}$  apply fastforce
apply(rule sound-mode-useA)
apply clarsimp
by(rule modes-respect-priv)

```

lemma refined-prog-secure':

```

assumes lenA [simp]: length cmsC = length cms
assumes lenC [simp]: length cmsA = length cms
assumes in- $\mathcal{R}$ : ( $\bigwedge i \text{ mem}_C. i < \text{length cms} \implies ((\text{cms}_A ! i, \text{mem}_A\text{-of mem}_C), (\text{cms}_C ! i, \text{mem}_C)) \in \mathcal{R}\text{-rel } (\text{cms} ! i)$ )
assumes in- $\mathcal{R}_A$ : ( $\bigwedge i \text{ mem}_A \text{ mem}_A'. \llbracket i < \text{length cms}; \text{abs.low-mds-eq } (\text{snd } (\text{cms}_A ! i)) \text{ mem}_A \text{ mem}_A' \rrbracket \implies ((\text{cms}_A ! i, \text{mem}_A), (\text{cms}_A ! i, \text{mem}_A')) \in \mathcal{R}_A\text{-rel } (\text{cms} ! i)$ )
assumes sound-mode-useA: ( $\bigwedge \text{mem}_A. \text{abs.sound-mode-use } (\text{cms}_A, \text{mem}_A)$ )
assumes modes-respect-priv: modes-respect-priv (map snd cmsC)
shows conc.prog-sifum-secure-cont cmsC
apply(rule refined-prog-secure)
  apply(rule lenA)
  apply(rule lenC)
  apply(blast intro: in- $\mathcal{R}$ )
  apply(rule in- $\mathcal{R}_A$ )
  apply assumption
  apply(subgoal-tac snd (cmsA ! i) = mdsA-of (snd (cmsC ! i)))
  using low-mds-eq-from-conc-to-abs apply fastforce
  apply(rule-tac  $\mathcal{R}1 = \mathcal{R}\text{-rel } (\text{cms} ! i)$  and cA1=fst (cmsA ! i) and cC1=fst (cmsC ! i) in preserves-modes-memD[THEN conjunct2])
  using secure-refinements unfolding secure-refinement-def apply fast
  apply clarsimp
  using in- $\mathcal{R}$  apply fastforce
apply(blast intro: sound-mode-useA)

```

```

    by(rule modes-respect-priv)

end

context sifum-security begin

definition
  reachable-mems :: ('Com × (Mode ⇒ 'Var set)) list ⇒ ('Var,'Val) Mem ⇒
  ('Var,'Val) Mem set
  where
    reachable-mems cms mem ≡ {mem'. ∃ sched cms'. meval-sched sched (cms,mem)
    (cms',mem')}

lemma reachable-mems-refl:
  mem ∈ reachable-mems cms mem
  apply(clarsimp simp: reachable-mems-def)
  apply(rule-tac x=[] in exI)
  apply fastforce
  done

end

context sifum-refinement-sys begin

lemma reachable-mems-refinement:
  assumes sys-nonempty: length cms > 0
  assumes len_A [simp]: length cms_C = length cms
  assumes len_C [simp]: length cms_A = length cms
  assumes in-ℛ: (∧ i mem_C. i < length cms ⇒ ((cms_A ! i, mem_A-of mem_C), (cms_C
  ! i, mem_C)) ∈ ℛ-rel (cms ! i))
  assumes sound-mode-use_A: (∧ mem_A. abs.sound-mode-use (cms_A, mem_A))
  assumes modes-respect-priv: modes-respect-priv (map snd cms_C)
  assumes reachable_C: mem_C' ∈ conc.reachable-mems cms_C mem_C
  shows mem_A-of mem_C' ∈ abs.reachable-mems cms_A (mem_A-of mem_C)
proof -
  from reachable_C obtain sched_C cms_C' where
    meval-sched_C: conc.meval-sched sched_C (cms_C, mem_C) (cms_C', mem_C')
    by (fastforce simp: conc.reachable-mems-def)

  let ?mem_A = mem_A-of mem_C

  have sound-mode-use_A: abs.sound-mode-use (cms_A, ?mem_A)
    by(rule sound-mode-use_A)

  from traces-refinement[where gc_A=(cms_A, ?mem_A), OF meval-sched_C, OF - - -
  sound-mode-use_A]
    in-ℛ[of - mem_C]
    modes-respect-priv
  obtain sched_A cms_A' mem_A' where

```

*meval-sched*_A: *abs.meval-sched sched*_A (*cms*_A, ?*mem*_A) (*cms*_A' , *mem*_A') **and**
in- \mathcal{R} ': ($\forall i < \text{length } \textit{cms}$.
 $((\textit{cms}_A' ! i, \textit{mem}_A'), \textit{cms}_C' ! i, \textit{mem}_C') \in \mathcal{R}\text{-rel } (\textit{cms} ! i)$)
by *fastforce*
hence *reachable*_A: *mem*_A' \in *abs.reachable-mems cms*_A ?*mem*_A
by(*fastforce simp: abs.reachable-mems-def*)
from *sys-nonempty* **obtain** *i* **where** *ilen*: *i* < *length cms* **by** *blast*
let ?*Ri* = *\mathcal{R} -rel (cms ! i)*
from *ilen secure-refinements* **have** *preserves-modes-mem ?Ri*
unfolding *secure-refinement-def* **by** *blast*
from *ilen in- \mathcal{R} ' preserves-modes-memD[OF this]* **have**
*mem*_A'-*def*: *mem*_A' = *mem*_A-*of mem*_C'
by(*metis surjective-pairing*)
with *reachable*_A **show** ?*thesis* **by** *simp*
qed
end
end

References

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