

Decreasing-Diagrams

Harald Zankl

February 23, 2021

Abstract

This theory contains a formalization of decreasing diagrams showing that any locally decreasing abstract rewrite system is confluent. We consider the valley (van Oostrom, TCS 1994) and the conversion version (van Oostrom, RTA 2008) and closely follow the original proofs. As an application we prove Newman’s lemma.

A description of this formalization is available in [3].

Contents

1	Decreasing Diagrams	1
1.1	Valley Version	1
1.1.1	Appendix	1
1.1.2	Multisets	3
1.1.3	Lexicographic maximum measure	6
1.1.4	Labeled Rewriting	9
1.1.5	Application: Newman’s Lemma	12
1.2	Conversion Version	12

1 Decreasing Diagrams

```
theory Decreasing-Diagrams imports HOL-Library.Multiset Abstract-Rewriting.Abstract-Rewriting  
begin
```

1.1 Valley Version

This section follows [1].

1.1.1 Appendix

interaction of multisets with sets

```
definition diff :: 'a multiset  $\Rightarrow$  'a set  $\Rightarrow$  'a multiset  
where diff M S = filter-mset ( $\lambda x. x \notin S$ ) M
```

definition *intersect* :: 'a multiset \Rightarrow 'a set \Rightarrow 'a multiset
where *intersect* $M\ S = \text{filter-mset } (\lambda x. x \in S)\ M$

notation

diff (infixl -s 800) **and**
intersect (infixl \cap s 800)

lemma *count-diff* [simp]:

$\text{count } (M -s A)\ a = \text{count } M\ a * \text{of-bool } (a \notin A)$
 <proof>

lemma *set-mset-diff* [simp]:

$\text{set-mset } (M -s A) = \text{set-mset } M - A$
 <proof>

lemma *diff-eq-singleton-imp*:

$M -s A = \{\#a\# \} \implies a \in (\text{set-mset } M - A)$
 <proof>

lemma *count-intersect* [simp]:

$\text{count } (M \cap s A)\ a = \text{count } M\ a * \text{of-bool } (a \in A)$
 <proof>

lemma *set-mset-intersect* [simp]:

$\text{set-mset } (M \cap s A) = \text{set-mset } M \cap A$
 <proof>

lemma *diff-from-empty*: $\{\#\} -s S = \{\#\}$ <proof>

lemma *diff-empty*: $M -s \{\#\} = M$ <proof>

lemma *submultiset-implies-subset*: **assumes** $M \subseteq\# N$ **shows** $\text{set-mset } M \subseteq \text{set-mset } N$
 <proof>

lemma *subset-implies-remove-empty*: **assumes** $\text{set-mset } M \subseteq S$ **shows** $M -s S = \{\#\}$
 <proof>

lemma *remove-empty-implies-subset*: **assumes** $M -s S = \{\#\}$ **shows** $\text{set-mset } M \subseteq S$ <proof>

lemma *lemmaA-3-8*: $(M + N) -s S = (M -s S) + (N -s S)$ <proof>

lemma *lemmaA-3-9*: $(M -s S) -s T = M -s (S \cup T)$ <proof>

lemma *lemmaA-3-10*: $M = (M \cap s S) + (M -s S)$ <proof>

lemma *lemmaA-3-11*: $(M -s T) \cap s S = (M \cap s S) -s T$ <proof>

1.1.2 Multisets

Definition 2.5(1)

definition $ds :: 'a\ rel \Rightarrow 'a\ set \Rightarrow 'a\ set$
where $ds\ r\ S = \{y . \exists x \in S. (y,x) \in r\}$

definition $dm :: 'a\ rel \Rightarrow 'a\ multiset \Rightarrow 'a\ set$
where $dm\ r\ M = ds\ r\ (set\mset\ M)$

definition $dl :: 'a\ rel \Rightarrow 'a\ list \Rightarrow 'a\ set$
where $dl\ r\ \sigma = ds\ r\ (set\ \sigma)$

notation

ds (**infixl** \downarrow_s 900) **and**
 dm (**infixl** \downarrow_m 900) **and**
 dl (**infixl** \downarrow_l 900)

missing but useful

lemma $ds\text{-}ds\text{-}subsepeq\text{-}ds$: **assumes** $t: trans\ r$ **shows** $ds\ r\ (ds\ r\ S) \subseteq ds\ r\ S$ $\langle proof \rangle$

from PhD thesis of van Oostrom

lemma $ds\text{-}monotone$: **assumes** $S \subseteq T$ **shows** $ds\ r\ S \subseteq ds\ r\ T$ $\langle proof \rangle$

lemma $subset\text{-}imp\text{-}ds\text{-}subset$: **assumes** $trans\ r$ **and** $S \subseteq ds\ r\ T$ **shows** $ds\ r\ S \subseteq ds\ r\ T$
 $\langle proof \rangle$

Definition 2.5(2)

strict order (mult) is used from Multiset.thy

definition $mult\text{-}eq :: 'a\ rel \Rightarrow 'a\ multiset\ rel$ **where**
 $mult\text{-}eq\ r = (mult1\ r)^*$

definition $mul :: 'a\ rel \Rightarrow 'a\ multiset\ rel$ **where**
 $mul\ r = \{(M,N).\exists I\ J\ K. M = I + K \wedge N = I + J \wedge set\mset\ K \subseteq dm\ r\ J \wedge J \neq \{\#\}\}$

definition $mul\text{-}eq :: 'a\ rel \Rightarrow 'a\ multiset\ rel$ **where**
 $mul\text{-}eq\ r = \{(M,N).\exists I\ J\ K. M = I + K \wedge N = I + J \wedge set\mset\ K \subseteq dm\ r\ J\}$

lemma $in\text{-}mul\text{-}eqI$:

assumes $M = I + K\ N = I + J\ set\mset\ K \subseteq r\ \downarrow_m\ J$
shows $(M, N) \in mul\text{-}eq\ r$
 $\langle proof \rangle$

lemma $downset\text{-}intro$:

assumes $\forall k \in set\mset\ K. \exists j \in set\mset\ J. (k,j) \in r$ **shows** $set\mset\ K \subseteq dm\ r\ J$
 $\langle proof \rangle$

lemma *downset-elim*:

assumes $set\text{-}mset\ K \subseteq dm\ r\ J$ **shows** $\forall k \in set\text{-}mset\ K. \exists j \in set\text{-}mset\ J. (k,j) \in r$
<proof>

to closure-free representation

lemma *mult-eq-implies-one-or-zero-step*:

assumes $trans\ r$ **and** $(M,N) \in mult\text{-}eq\ r$ **shows** $\exists I\ J\ K. N = I + J \wedge M = I + K \wedge set\text{-}mset\ K \subseteq dm\ r\ J$
<proof>

from closure-free representation

lemma *one-step-implies-mult-eq*: **assumes** $trans\ r$ **and** $set\text{-}mset\ K \subseteq dm\ r\ J$ **shows** $(I+K, I+J) \in mult\text{-}eq\ r$
<proof>

lemma *mult-is-mul*: **assumes** $trans\ r$ **shows** $mult\ r = mul\ r$ *<proof>*

lemma *mult-eq-is-mul-eq*: **assumes** $trans\ r$ **shows** $mult\text{-}eq\ r = mul\text{-}eq\ r$ *<proof>*

lemma $mul\text{-}eq\ r = (mul\ r)^=$ *<proof>*

useful properties on multisets

lemma *mul-eq-reflexive*: $(M,M) \in mul\text{-}eq\ r$ *<proof>*

lemma *mul-eq-trans*: **assumes** $trans\ r$ **and** $(M,N) \in mul\text{-}eq\ r$ **and** $(N,P) \in mul\text{-}eq\ r$ **shows** $(M,P) \in mul\text{-}eq\ r$
<proof>

lemma *mul-eq-singleton*: **assumes** $(M, \{\#\alpha\#\}) \in mul\text{-}eq\ r$ **shows** $M = \{\#\alpha\#\}$
 $\vee set\text{-}mset\ M \subseteq dm\ r\ \{\#\alpha\#\}$ *<proof>*

lemma *mul-and-mul-eq-imp-mul*: **assumes** $trans\ r$ **and** $(M,N) \in mul\ r$ **and** $(N,P) \in mul\text{-}eq\ r$ **shows** $(M,P) \in mul\ r$
<proof>

lemma *mul-eq-and-mul-imp-mul*: **assumes** $trans\ r$ **and** $(M,N) \in mul\text{-}eq\ r$ **and** $(N,P) \in mul\ r$ **shows** $(M,P) \in mul\ r$
<proof>

lemma *wf-mul*: **assumes** $trans\ r$ **and** $wf\ r$ **shows** $wf\ (mul\ r)$
<proof>

lemma *remove-is-empty-imp-mul*: **assumes** $M \text{ --}s\ dm\ r\ \{\#\alpha\#\} = \{\#\}$ **shows** $(M, \{\#\alpha\#\}) \in mul\ r$ *<proof>*

Lemma 2.6

lemma *lemma2-6-1-set*: $ds\ r\ (S \cup T) = ds\ r\ S \cup ds\ r\ T$
<proof>

lemma *lemma2-6-1-list*: $dl\ r\ (\sigma@_T) = dl\ r\ \sigma \cup dl\ r\ \tau$

<proof>

lemma *lemma2-6-1-multiset*: $dm\ r\ (M + N) = dm\ r\ M \cup dm\ r\ N$

<proof>

lemma *lemma2-6-1-diff*: $(dm\ r\ M) - ds\ r\ S \subseteq dm\ r\ (M -s S)$

<proof>

missing but useful

lemma *dl-monotone*: $dl\ r\ (\sigma@_T) \subseteq dl\ r\ (\sigma@_{T'}@_T)$ *<proof>*

Lemma 2.6.2

lemma *lemma2-6-2-a*: **assumes** $t: trans\ r$ **and** $M \subseteq\# N$ **shows** $(M,N) \in mul\text{-}eq\ r$ *<proof>*

lemma *mul-eq-not-equal-imp-elt*:

assumes $(M,N) \in mul\text{-}eq\ r$ **and** $y \in set\text{-}mset\ M - set\text{-}mset\ N$ **shows** $\exists z \in set\text{-}mset\ N. (y,z) \in r$ *<proof>*

lemma *lemma2-6-2-b*: **assumes** $trans\ r$ **and** $(M,N) \in mul\text{-}eq\ r$ **shows** $dm\ r\ M \subseteq dm\ r\ N$ *<proof>*

Lemma 2.6.3

lemma *ds-trans-contrapos*: **assumes** $t: trans\ r$ **and** $x \notin ds\ r\ S$ **and** $(x,y) \in r$ **shows** $y \notin ds\ r\ S$

<proof>

lemma *dm-max-elt*: **assumes** $i: irrefl\ r$ **and** $t: trans\ r$ **shows** $x \in dm\ r\ M \implies \exists y \in set\text{-}mset\ (M -s dm\ r\ M). (x,y) \in r$

<proof>

lemma *dm-subset*: **assumes** $i: irrefl\ r$ **and** $t: trans\ r$ **shows** $dm\ r\ M \subseteq dm\ r\ (M -s dm\ r\ M)$

<proof>

lemma *dm-eq*: **assumes** $i: irrefl\ r$ **and** $t: trans\ r$ **shows** $dm\ r\ M = dm\ r\ (M -s dm\ r\ M)$

<proof>

lemma *lemma2-6-3*: **assumes** $t: trans\ r$ **and** $i: irrefl\ r$ **and** $(M,N) \in mul\text{-}eq\ r$ **shows** $\exists I' J' K'. N = I' + J' \wedge M = I' + K' \wedge J' \cap\# K' = \{\#\} \wedge set\text{-}mset\ K' \subseteq dm\ r\ J'$

<proof>

Lemma 2.6.4

lemma *lemma2-6-4*: **assumes** $t: trans\ r$ **and** $N \neq \{\#\}$ **and** $set\text{-}mset\ M \subseteq dm\ r\ N$ **shows** $(M,N) \in mul\ r$ *<proof>*

lemma *lemma2-6-5-a*: **assumes** $t: \text{trans } r$ **and** $ds\ r\ S \subseteq S$ **and** $(M, N) \in \text{mul-eq } r$
shows $(M -s\ S, N -s\ S) \in \text{mul-eq } r$
 $\langle \text{proof} \rangle$

lemma *lemma2-6-5-a'*: **assumes** $t: \text{trans } r$ **and** $(M, N) \in \text{mul-eq } r$ **shows** $(M -s\ ds\ r\ S, N -s\ ds\ r\ S) \in \text{mul-eq } r$
 $\langle \text{proof} \rangle$

Lemma 2.6.6

lemma *lemma2-6-6-a*: **assumes** $t: \text{trans } r$ **and** $(M, N) \in \text{mul-eq } r$ **shows** $(Q + M, Q + N) \in \text{mul-eq } r$ $\langle \text{proof} \rangle$

lemma *add-left-one*:

assumes $\exists I\ J\ K. \text{add-mset } q\ N = I + J \wedge \text{add-mset } q\ M = I + K \wedge (J \cap \#K = \{\#\}) \wedge \text{set-mset } K \subseteq dm\ r\ J$
shows $\exists I2\ J\ K. N = I2 + J \wedge M = I2 + K \wedge \text{set-mset } K \subseteq dm\ r\ J$ $\langle \text{proof} \rangle$

lemma *lemma2-6-6-b-one* :

assumes $\text{trans } r$ **and** $\text{irrefl } r$ **and** $(\text{add-mset } q\ M, \text{add-mset } q\ N) \in \text{mul-eq } r$
shows $(M, N) \in \text{mul-eq } r$
 $\langle \text{proof} \rangle$

lemma *lemma2-6-6-b'*: **assumes** $\text{trans } r$ **and** $i: \text{irrefl } r$ **and** $(Q + M, Q + N) \in \text{mul-eq } r$
shows $(M, N) \in \text{mul-eq } r$ $\langle \text{proof} \rangle$

lemma *lemma2-6-9*: **assumes** $t: \text{trans } r$ **and** $(M, N) \in \text{mul } r$ **shows** $(Q + M, Q + N) \in \text{mul } r$ $\langle \text{proof} \rangle$

Lemma 2.6.7

lemma *lemma2-6-7-a*: **assumes** $t: \text{trans } r$ **and** $\text{set-mset } Q \subseteq dm\ r\ N - dm\ r\ M$ **and** $(M, N) \in \text{mul-eq } r$
shows $(Q + M, N) \in \text{mul-eq } r$ $\langle \text{proof} \rangle$

missing?; similar to lemma_2.6.2?

lemma *lemma2-6-8*: **assumes** $t: \text{trans } r$ **and** $S \subseteq T$ **shows** $(M -s\ T, M -s\ S) \in \text{mul-eq } r$ $\langle \text{proof} \rangle$

1.1.3 Lexicographic maximum measure

Def 3.1: lexicographic maximum measure

fun *lexmax* :: 'a rel \Rightarrow 'a list \Rightarrow 'a multiset **where**
 $\text{lexmax } r\ [] = \{\#\}$
 $|\ \text{lexmax } r\ (\alpha \#\sigma) = \{\#\alpha\#\} + (\text{lexmax } r\ \sigma -s\ ds\ r\ \{\alpha\})$

notation

lexmax (-|-| [1000] 1000)

lemma *lexmax-singleton*: $r|\alpha| = \{\#\alpha\}$ *<proof>*

Lemma 3.2

Lemma 3.2(1)

lemma *lemma3-2-1*: **assumes** $t: \text{trans } r$ **shows** $r \downarrow m r|\sigma| = r \downarrow l \sigma$ *<proof>*

Lemma 3.2(2)

lemma *lemma3-2-2*: $r|\sigma@tau| = r|\sigma| + (r|tau| -s r \downarrow l \sigma)$ *<proof>*

Definition 3.3

definition $D :: 'a \text{ rel} \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list} \Rightarrow \text{bool}$ **where**
 $D r \tau \sigma \sigma' \tau' = ((r|\sigma@tau'|, r|tau| + r|\sigma|) \in \text{mul-eq } r$
 $\wedge (r|tau@sigma'|, r|tau| + r|\sigma|) \in \text{mul-eq } r)$

lemma *D-eq*: **assumes** $\text{trans } r$ **and** $\text{irrefl } r$ **and** $D r \tau \sigma \sigma' \tau'$
shows $(r|tau'| -s dl r \sigma, r|tau|) \in \text{mul-eq } r$ **and** $(r|sigma'| -s dl r \tau, r|sigma|) \in \text{mul-eq } r$
<proof>

lemma *D-inv*: **assumes** $\text{trans } r$ **and** $\text{irrefl } r$ **and** $(r|tau'| -s dl r \sigma, r|tau|) \in \text{mul-eq } r$
and $(r|sigma'| -s dl r \tau, r|sigma|) \in \text{mul-eq } r$

shows $D r \tau \sigma \sigma' \tau'$
<proof>

lemma *D*: **assumes** $\text{trans } r$ **and** $\text{irrefl } r$
shows $D r \tau \sigma \sigma' \tau' = ((r|tau'| -s dl r \sigma, r|tau|) \in \text{mul-eq } r$
 $\wedge (r|sigma'| -s dl r \tau, r|sigma|) \in \text{mul-eq } r)$
<proof>

lemma *mirror-D*: **assumes** $\text{trans } r$ **and** $\text{irrefl } r$ **and** $D r \tau \sigma \sigma' \tau'$ **shows** $D r \sigma$
 $\tau \tau' \sigma'$
<proof>

Proposition 3.4

definition $LD-1' :: 'a \text{ rel} \Rightarrow 'a \Rightarrow 'a \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list} \Rightarrow \text{bool}$
where $LD-1' r \beta \alpha \sigma 1 \sigma 2 \sigma 3 =$
 $(\text{set } \sigma 1 \subseteq \text{ds } r \{\beta\} \wedge \text{length } \sigma 2 \leq 1 \wedge \text{set } \sigma 2 \subseteq \{\alpha\} \wedge \text{set } \sigma 3 \subseteq \text{ds } r \{\alpha, \beta\})$

definition $LD' :: 'a \text{ rel} \Rightarrow 'a \Rightarrow 'a$
 $\Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list} \Rightarrow \text{bool}$
where $LD' r \beta \alpha \sigma 1 \sigma 2 \sigma 3 \tau 1 \tau 2 \tau 3 = (LD-1' r \beta \alpha \sigma 1 \sigma 2 \sigma 3 \wedge LD-1' r \alpha \beta$
 $\tau 1 \tau 2 \tau 3)$

auxiliary properties on multisets

lemma *lexmax-le-multiset*: **assumes** $t: \text{trans } r$ **shows** $r|\sigma| \subseteq\# \text{mset } \sigma$ *<proof>*

lemma split: assumes $LD-1' r \beta \alpha \sigma 1 \sigma 2 \sigma 3$ shows $\sigma 2 = [] \vee \sigma 2 = [\alpha]$
 ⟨proof⟩

lemma proposition3-4-step: assumes $trans r$ and $irrefl r$ and $LD-1' r \beta \alpha \sigma 1 \sigma 2 \sigma 3$
 shows $(r|\sigma 1 @ \sigma 2 @ \sigma 3| -s (dm r \{\#\beta\#}), r|[\alpha]|) \in mul-eq r$ ⟨proof⟩

lemma proposition3-4:
 assumes $t: trans r$ and $i: irrefl r$ and $ld: LD' r \beta \alpha \sigma 1 \sigma 2 \sigma 3 \tau 1 \tau 2 \tau 3$
 shows $D r [\beta] [\alpha] (\sigma 1 @ \sigma 2 @ \sigma 3) (\tau 1 @ \tau 2 @ \tau 3)$
 ⟨proof⟩

lemma lexmax-decompose: assumes $\alpha \in \# r|\sigma|$ shows $\exists \sigma 1 \sigma 3. (\sigma = \sigma 1 @ [\alpha] @ \sigma 3 \wedge \alpha \notin dl r \sigma 1)$
 ⟨proof⟩

lemma lexmax-elt: assumes $trans r$ and $x \in (set \sigma)$ and $x \notin set-mset r|\sigma|$
 shows $\exists y. (x, y) \in r \wedge y \in set-mset r|\sigma|$ ⟨proof⟩

lemma lexmax-set: assumes $trans r$ and $set-mset r|\sigma| \subseteq r \downarrow s S$ shows $set \sigma \subseteq r \downarrow s S$ ⟨proof⟩

lemma drop-left-mult-eq:
 assumes $trans r$ and $irrefl r$ and $(N+M, M) \in mul-eq r$ shows $N = \{\#\}$ ⟨proof⟩

generalized to lists

lemma proposition3-4-inv-lists:
 assumes $t: trans r$ and $i: irrefl r$ and $k: (r|\sigma| -s r \downarrow l \beta, \{\#\alpha\#}) \in mul-eq r$ (is $(?M, -) \in -$)
 shows $\exists \sigma 1 \sigma 2 \sigma 3. ((\sigma = \sigma 1 @ \sigma 2 @ \sigma 3) \wedge set \sigma 1 \subseteq dl r \beta \wedge length \sigma 2 \leq 1 \wedge set \sigma 2 \subseteq \{\alpha\}) \wedge set \sigma 3 \subseteq dl r (\alpha \# \beta)$ ⟨proof⟩

lemma proposition3-4-inv-step:
 assumes $t: trans r$ and $i: irrefl r$ and $k: (r|\sigma| -s r \downarrow l [\beta], \{\#\alpha\#}) \in mul-eq r$ (is $(?M, -) \in -$)
 shows $\exists \sigma 1 \sigma 2 \sigma 3. ((\sigma = \sigma 1 @ \sigma 2 @ \sigma 3) \wedge LD-1' r \beta \alpha \sigma 1 \sigma 2 \sigma 3)$
 ⟨proof⟩

lemma proposition3-4-inv:
 assumes $t: trans r$ and $i: irrefl r$ and $D r [\beta] [\alpha] \sigma \tau$
 shows $\exists \sigma 1 \sigma 2 \sigma 3 \tau 1 \tau 2 \tau 3. (\sigma = \sigma 1 @ \sigma 2 @ \sigma 3 \wedge \tau = \tau 1 @ \tau 2 @ \tau 3 \wedge LD' r \beta \alpha \sigma 1 \sigma 2 \sigma 3 \tau 1 \tau 2 \tau 3)$
 ⟨proof⟩

Lemma 3.5

lemma lemma3-5-1:

assumes t : *trans* r and *irrefl* r and $D r \tau \sigma \sigma' \tau'$ and $D r v \sigma' \sigma'' v'$
shows $(\text{lexmax } r (\tau @ v @ \sigma''), \text{lexmax } r (\tau @ v) + \text{lexmax } r \sigma) \in \text{mul-eq } r$ *<proof>*

lemma claim1: **assumes** t : *trans* r and $D r \tau \sigma \sigma' \tau'$
shows $(r|\sigma@ \tau'| + ((r|v'| -s r \downarrow l (\sigma@ \tau')) \cap s r \downarrow l \tau), r|\sigma| + r|\tau|) \in \text{mul-eq } r$ (**is** $(?F+?H, ?G) \in -$)
<proof>

lemma step3: **assumes** t : *trans* r and $D r \tau \sigma \sigma' \tau'$
shows $r \downarrow l (\sigma@ \tau) \supseteq (r \downarrow m (r|\sigma'| + r|\tau|))$ *<proof>*

lemma claim2: **assumes** t : *trans* r and $D r \tau \sigma \sigma' \tau'$
shows $((r|v'| -s r \downarrow l (\sigma@ \tau')) -s r \downarrow l \tau, (r|v'| -s r \downarrow l \sigma') -s r \downarrow l \tau) \in \text{mul-eq } r$
(is $(?L, ?R) \in -$)
<proof>

lemma lemma3-5-2: **assumes** *trans* r and *irrefl* r and $D r \tau \sigma \sigma' \tau'$ and $D r v \sigma' \sigma'' v'$
shows $(r|(\sigma @ \tau' @ v')|, r|\sigma| + r|(\tau@v)|) \in \text{mul-eq } r$
<proof>

lemma lemma3-5: **assumes** *trans* r and *irrefl* r and $D r \tau \sigma \sigma' \tau'$ and $D r v \sigma' \sigma'' v'$
shows $D r (\tau@v) \sigma \sigma'' (\tau'@v')$
<proof>

lemma step2: **assumes** *trans* r and $\tau \neq []$ **shows** $(M \cap s \text{ dl } r \tau, \text{lexmax } r \tau) \in \text{mul } r$ *<proof>*

Lemma 3.6

lemma lemma3-6: **assumes** t : *trans* r and ne : $\tau \neq []$ and D : $D r \tau \sigma \sigma' \tau'$
shows $(r|\sigma'| + r|v|, r|\sigma| + r|\tau@v|) \in \text{mul } r$ (**is** $(?L, ?R) \in -$) *<proof>*

lemma lemma3-6-v: **assumes** *trans* r and *irrefl* r and $\sigma \neq []$ and $D r \tau \sigma \sigma' \tau'$
shows $(r|\tau'| + r|v|, r|\tau| + r|\sigma@v|) \in \text{mul } r$
<proof>

1.1.4 Labeled Rewriting

Theorem 3.7

type-synonym $('a, 'b) \text{ lars} = ('a \times 'b \times 'a) \text{ set}$

type-synonym $('a, 'b) \text{ seq} = ('a \times ('b \times 'a) \text{ list})$

inductive-set $\text{seq} :: ('a, 'b) \text{ lars} \Rightarrow ('a, 'b) \text{ seq set for } \text{ars}$

where $(a, []) \in \text{seq } \text{ars}$

$| (a, \alpha, b) \in \text{ars} \Longrightarrow (b, \text{ss}) \in \text{seq } \text{ars} \Longrightarrow (a, (\alpha, b) \# \text{ss}) \in \text{seq } \text{ars}$

definition $\text{lst} :: ('a, 'b) \text{ seq} \Rightarrow 'a$

where $\text{lst } \text{ss} = (\text{if } \text{snd } \text{ss} = [] \text{ then } \text{fst } \text{ss} \text{ else } \text{snd } (\text{last } (\text{snd } \text{ss})))$

results on seqs

lemma seq-tail1: **assumes** $seq: (s, x \# xs) \in seq\ lars$
shows $(snd\ x, xs) \in seq\ lars$ **and** $(s, fst\ x, snd\ x) \in lars$ **and** $lst\ (s, x \# xs) = lst\ (snd\ x, xs)$
 $\langle proof \rangle$

lemma seq-chop: **assumes** $(s, ss@ts) \in seq\ ars$ **shows** $(s, ss) \in seq\ ars$ $(lst(s, ss), ts) \in seq\ ars$ $\langle proof \rangle$

lemma seq-concat-helper:
assumes $(s, ls) \in seq\ ars$ **and** $ss2 \in seq\ ars$ **and** $lst\ (s, ls) = fst\ ss2$
shows $(s, ls@snd\ ss2) \in seq\ ars \wedge (lst\ (s, ls@snd\ ss2) = lst\ ss2)$
 $\langle proof \rangle$

lemma seq-concat:
assumes $ss1 \in seq\ ars$ **and** $ss2 \in seq\ ars$ **and** $lst\ ss1 = fst\ ss2$
shows $(fst\ ss1, snd\ ss1@snd\ ss2) \in seq\ ars$ **and** $(lst\ (fst\ ss1, snd\ ss1@snd\ ss2) = lst\ ss2)$
 $\langle proof \rangle$

diagrams

definition diagram $:: ('a, 'b)\ lars \Rightarrow ('a, 'b)\ seq \times ('a, 'b)\ seq \times ('a, 'b)\ seq \times ('a, 'b)\ seq \Rightarrow bool$
where $diagram\ ars\ d = (let\ (\tau, \sigma, \sigma', \tau') = d\ in\ \{\sigma, \tau, \sigma', \tau'\} \subseteq seq\ ars \wedge$
 $fst\ \sigma = fst\ \tau \wedge lst\ \sigma = fst\ \tau' \wedge lst\ \tau = fst\ \sigma' \wedge lst\ \sigma' = lst\ \tau')$

definition labels $:: ('a, 'b)\ seq \Rightarrow 'b\ list$
where $labels\ ss = map\ fst\ (snd\ ss)$

definition D2 $:: 'b\ rel \Rightarrow ('a, 'b)\ seq \times ('a, 'b)\ seq \times ('a, 'b)\ seq \times ('a, 'b)\ seq \Rightarrow bool$
where $D2\ r\ d = (let\ (\tau, \sigma, \sigma', \tau') = d\ in\ D\ r\ (labels\ \tau)\ (labels\ \sigma)\ (labels\ \sigma')\ (labels\ \tau'))$

lemma lemma3-5-d: **assumes** $diagram\ ars\ (\tau, \sigma, \sigma', \tau')$ **and** $diagram\ ars\ (v, \sigma', \sigma'', v')$
shows $diagram\ ars\ ((fst\ \tau, snd\ \tau@snd\ v), \sigma, \sigma'', (fst\ \tau'), snd\ \tau'@snd\ v')$ $\langle proof \rangle$

lemma lemma3-5-d-v: **assumes** $diagram\ ars\ (\tau, \sigma, \sigma', \tau')$ **and** $diagram\ ars\ (\tau', v, v', \tau')$
shows $diagram\ ars\ (\tau, (fst\ \sigma, snd\ \sigma@snd\ v), (fst\ \sigma', snd\ \sigma'@snd\ v'), \tau')$ $\langle proof \rangle$

lemma lemma3-5': **assumes** $trans\ r$ **and** $irrefl\ r$ **and** $D2\ r\ (\tau, \sigma, \sigma', \tau')$ **and** $D2\ r\ (v, \sigma', \sigma'', v')$
shows $D2\ r\ ((fst\ \tau, snd\ \tau@snd\ v), \sigma, \sigma'', (fst\ \tau'), snd\ \tau'@snd\ v')$
 $\langle proof \rangle$

lemma lemma3-5'-v: **assumes** $trans\ r$ **and** $irrefl\ r$ **and** $D2\ r\ (\tau, \sigma, \sigma', \tau')$ **and** $D2\ r\ (\tau', v, v', \tau')$
shows $D2\ r\ (\tau, (fst\ \sigma, snd\ \sigma@snd\ v), (fst\ \sigma', snd\ \sigma'@snd\ v'), \tau')$ $\langle proof \rangle$

lemma trivial-diagram: **assumes** $\sigma \in seq\ ars$ **shows** $diagram\ ars\ (\sigma, (fst\ \sigma, []), (lst$

$\sigma, []), \sigma)$
 $\langle proof \rangle$

lemma trivial-D2: **assumes** $\sigma \in seq\ ars$ **shows** $D2\ r\ (\sigma, (fst\ \sigma, []), (lst\ \sigma, []), \sigma)$
 $\langle proof \rangle$

definition DD $:: ('a, 'b)\ lars \Rightarrow 'b\ rel \Rightarrow ('a, 'b)\ seq \times ('a, 'b)\ seq \times ('a, 'b)\ seq \times ('a, 'b)\ seq \Rightarrow bool$
where $DD\ ars\ r\ d = (diagram\ ars\ d \wedge D2\ r\ d)$

lemma lemma3-5-DD: **assumes** $trans\ r$ **and** $irrefl\ r$ **and** $DD\ ars\ r\ (\tau, \sigma, \sigma', \tau')$ **and** $DD\ ars\ r\ (v, \sigma', \sigma'', v')$
shows $DD\ ars\ r\ ((fst\ \tau, snd\ \tau @ snd\ v), \sigma, \sigma'', (fst\ \tau'), snd\ \tau' @ snd\ v')$
 $\langle proof \rangle$

lemma lemma3-5-DD-v: **assumes** $trans\ r$ **and** $irrefl\ r$ **and** $DD\ ars\ r\ (\tau, \sigma, \sigma', \tau')$ **and** $DD\ ars\ r\ (\tau', v, v', \tau')$
shows $DD\ ars\ r\ (\tau, (fst\ \sigma, snd\ \sigma @ snd\ v), (fst\ \sigma', snd\ \sigma' @ snd\ v'), \tau')$
 $\langle proof \rangle$

lemma trivial-DD: **assumes** $\sigma \in seq\ ars$ **shows** $DD\ ars\ r\ (\sigma, (fst\ \sigma, []), (lst\ \sigma, []), \sigma)$
 $\langle proof \rangle$

lemma mirror-DD: **assumes** $trans\ r$ **and** $irrefl\ r$ **and** $DD\ ars\ r\ (\tau, \sigma, \sigma', \tau')$ **shows** $DD\ ars\ r\ (\sigma, \tau, \tau', \sigma')$
 $\langle proof \rangle$

well-foundedness of rel r

definition measure $:: 'b\ rel \Rightarrow ('a, 'b)\ seq \times ('a, 'b)\ seq \Rightarrow 'b\ multiset$
where $measure\ r\ P = r|labels\ (fst\ P)| + r|labels\ (snd\ P)|$

definition pex $:: 'b\ rel \Rightarrow (('a, 'b)\ seq \times ('a, 'b)\ seq)\ rel$
where $pex\ r = \{(P1, P2). (measure\ r\ P1, measure\ r\ P2) \in mul\ r\}$

lemma wfi: **assumes** $relr = pex\ r$ **and** $\neg wf\ (relr)$ **shows** $\neg wf\ (mul\ r)$ $\langle proof \rangle$

lemma wf: **assumes** $trans\ r$ **and** $wf\ r$ **shows** $wf\ (pex\ r)$ $\langle proof \rangle$

main result

definition peak $:: ('a, 'b)\ lars \Rightarrow ('a, 'b)\ seq \times ('a, 'b)\ seq \Rightarrow bool$
where $peak\ ars\ p = (let\ (\tau, \sigma) = p\ in\ \{\tau, \sigma\} \subseteq seq\ ars \wedge fst\ \tau = fst\ \sigma)$

definition local-peak $:: ('a, 'b)\ lars \Rightarrow ('a, 'b)\ seq \times ('a, 'b)\ seq \Rightarrow bool$
where $local\ peak\ ars\ p = (let\ (\tau, \sigma) = p\ in\ peak\ ars\ p \wedge length\ (snd\ \tau) = 1 \wedge length\ (snd\ \sigma) = 1)$

proof of Theorem 3.7

lemma LD-imp-D: **assumes** $trans\ r$ **and** $wf\ r$ **and** $\forall P. (local\ peak\ ars\ P \longrightarrow \exists$

$\sigma' \tau'. DD \text{ ars } r (fst P, snd P, \sigma', \tau')$
and *peak ars* P **shows** $(\exists \sigma' \tau'. DD \text{ ars } r (fst P, snd P, \sigma', \tau'))$ $\langle proof \rangle$

CR with unlabeled

definition *unlabel* $:: ('a, 'b) \text{ lars} \Rightarrow 'a \text{ rel}$
where *unlabel ars* $= \{(a, c). \exists b. (a, b, c) \in \text{ars}\}$

lemma *step-imp-seq*: **assumes** $(a, b) \in (\text{unlabel ars})$
shows $\exists ss \in \text{seq ars}. fst ss = a \wedge lst ss = b$ $\langle proof \rangle$

lemma *steps-imp-seq*: **assumes** $(a, b) \in (\text{unlabel ars})^*$
shows $\exists ss \in \text{seq ars}. fst ss = a \wedge lst ss = b$ $\langle proof \rangle$

lemma *step-imp-unlabeled-step*: **assumes** $(a, b, c) \in \text{ars}$ **shows** $(a, c) \in (\text{unlabel ars})$
 $\langle proof \rangle$

lemma *seq-imp-steps*:
assumes $ss \in \text{seq ars}$ **and** $fst ss = a$ **and** $lst ss = b$ **shows** $(a, b) \in (\text{unlabel ars})^*$
 $\langle proof \rangle$

lemma *seq-vs-steps*: **shows** $(a, b) \in (\text{unlabel ars})^* = (\exists ss. fst ss = a \wedge lst ss = b \wedge ss \in \text{seq ars})$
 $\langle proof \rangle$

lemma *D-imp-CR*: **assumes** $\forall P. (\text{peak ars } P \longrightarrow (\exists \sigma' \tau'. DD \text{ ars } r (fst P, snd P, \sigma', \tau')))$ **shows** *CR* (unlabel ars) $\langle proof \rangle$

definition *LD* $:: 'b \text{ set} \Rightarrow 'a \text{ rel} \Rightarrow \text{bool}$
where *LD L ars* $= (\exists (r::('b \text{ rel})) (lrs::('a, 'b) \text{ lars}). (\text{ars} = \text{unlabel lrs}) \wedge \text{trans } r \wedge \text{wf } r \wedge (\forall P. (\text{local-peak lrs } P \longrightarrow (\exists \sigma' \tau'. (DD \text{ lrs } r (fst P, snd P, \sigma', \tau'))))))$

lemma *sound*: **assumes** *LD L ars* **shows** *CR ars*
 $\langle proof \rangle$

1.1.5 Application: Newman's Lemma

lemma *measure*:
assumes *lab-eq*: $lrs = \{(a, c, b). c = a \wedge (a, b) \in \text{ars}\}$ **and** $(s, (\alpha, t) \# ss) \in \text{seq lrs}$
shows $\text{set } (\text{labels } (t, ss)) \subseteq \text{ds } ((\text{ars}^+)^{-1}) \{\alpha\}$ $\langle proof \rangle$

lemma *newman*: **assumes** *WCR ars* **and** *SN ars* **shows** *CR ars* $\langle proof \rangle$

1.2 Conversion Version

This section follows [2].

auxiliary results on multisets

lemma *mul-eq-add-right*: $(M, M+P) \in \text{mul-eq } r$ $\langle proof \rangle$

lemma *mul-add-right*: **assumes** $(M,N) \in \text{mul } r$ **shows** $(M,N+P) \in \text{mul } r$ $\langle \text{proof} \rangle$

lemma *mul-eq-and-ds-imp-ds*:

assumes $t: \text{trans } r$ **and** $(M,N) \in \text{mul-eq } r$ **and** $\text{set-mset } N \subseteq \text{ds } r$ S

shows $\text{set-mset } M \subseteq \text{ds } r$ S $\langle \text{proof} \rangle$

lemma *lemma2-6-2-set*: **assumes** $S \subseteq T$ **shows** $\text{ds } r$ $S \subseteq \text{ds } r$ T $\langle \text{proof} \rangle$

lemma *leq-imp-subseteq*: **assumes** $M \subseteq\# N$ **shows** $\text{set-mset } M \subseteq \text{set-mset } N$ $\langle \text{proof} \rangle$

lemma *mul-add-mul-eq-imp-mul*: **assumes** $(M,N) \in \text{mul } r$ **and** $(P,Q) \in \text{mul-eq } r$ **shows** $(M+P,N+Q) \in \text{mul } r$ $\langle \text{proof} \rangle$

labeled conversion

type-synonym $(\text{'a},\text{'b}) \text{ conv} = (\text{'a} \times ((\text{bool} \times \text{'b} \times \text{'a}) \text{ list}))$

inductive-set $\text{conv} :: (\text{'a},\text{'b}) \text{ lars} \Rightarrow (\text{'a},\text{'b}) \text{ conv set}$ **for** ars

where $(a,[]) \in \text{conv ars}$

| $(a,\alpha,b) \in \text{ars} \Rightarrow (b,ss) \in \text{conv ars} \Rightarrow (a,(\text{True},\alpha,b) \# ss) \in \text{conv ars}$

| $(b,\alpha,a) \in \text{ars} \Rightarrow (b,ss) \in \text{conv ars} \Rightarrow (a,(\text{False},\alpha,b) \# ss) \in \text{conv ars}$

definition *labels-conv* :: $(\text{'a},\text{'b}) \text{ conv} \Rightarrow \text{'b list}$

where $\text{labels-conv } c = \text{map } (\lambda q. (\text{fst } (\text{snd } q))) (\text{snd } c)$

definition *measure-conv* :: $\text{'b rel} \Rightarrow (\text{'a},\text{'b}) \text{ conv} \Rightarrow \text{'b multiset}$

where $\text{measure-conv } r \ c = \text{lexmax } r (\text{labels-conv } c)$

fun *lst-conv* :: $(\text{'a},\text{'b}) \text{ conv} \Rightarrow \text{'a}$

where $\text{lst-conv } (s,[]) = s$

| $\text{lst-conv } (s,(d,\alpha,t) \# ss) = \text{lst-conv } (t,ss)$

definition *local-diagram1* :: $(\text{'a},\text{'b}) \text{ lars} \Rightarrow (\text{'a},\text{'b}) \text{ seq} \Rightarrow (\text{'a},\text{'b}) \text{ seq} \Rightarrow (\text{'a},\text{'b}) \text{ seq} \Rightarrow (\text{'a},\text{'b}) \text{ seq} \Rightarrow (\text{'a},\text{'b}) \text{ seq} \Rightarrow \text{bool}$

where $\text{local-diagram1 ars } \beta \ \alpha \ \sigma 1 \ \sigma 2 \ \sigma 3 =$

$(\text{local-peak ars } (\beta,\alpha) \wedge \{\sigma 1,\sigma 2,\sigma 3\} \subseteq \text{seq ars} \wedge \text{lst } \beta = \text{fst } \sigma 1 \wedge \text{lst } \sigma 1 = \text{fst } \sigma 2 \wedge \text{lst } \sigma 2 = \text{fst } \sigma 3)$

definition *LDD1* :: $(\text{'a},\text{'b}) \text{ lars} \Rightarrow \text{'b rel} \Rightarrow (\text{'a},\text{'b}) \text{ seq} \Rightarrow (\text{'a},\text{'b}) \text{ seq} \Rightarrow (\text{'a},\text{'b}) \text{ seq} \Rightarrow (\text{'a},\text{'b}) \text{ seq} \Rightarrow (\text{'a},\text{'b}) \text{ seq} \Rightarrow \text{bool}$

where $\text{LDD1 ars } r \ \beta \ \alpha \ \sigma 1 \ \sigma 2 \ \sigma 3 = (\text{local-diagram1 ars } \beta \ \alpha \ \sigma 1 \ \sigma 2 \ \sigma 3 \wedge$

$\text{LD-1}' r (\text{hd } (\text{labels } \beta)) (\text{hd } (\text{labels } \alpha)) (\text{labels } \sigma 1) (\text{labels } \sigma 2) (\text{labels } \sigma 3))$

definition *LDD* :: $(\text{'a},\text{'b}) \text{ lars} \Rightarrow \text{'b rel} \Rightarrow (\text{'a},\text{'b}) \text{ seq} \times (\text{'a},\text{'b}) \text{ seq} \times (\text{'a},\text{'b}) \text{ seq} \times (\text{'a},\text{'b}) \text{ seq} \times (\text{'a},\text{'b}) \text{ seq} \times (\text{'a},\text{'b}) \text{ seq} \Rightarrow \text{bool}$

where $\text{LDD ars } r \ d = (\text{let } (\beta,\alpha,\sigma 1,\sigma 2,\sigma 3,\tau 1,\tau 2,\tau 3) = d \text{ in } \text{LDD1 ars } r \ \beta \ \alpha \ \sigma 1 \ \sigma 2 \ \sigma 3 \wedge \text{LDD1 ars } r \ \alpha \ \beta \ \tau 1 \ \tau 2 \ \tau 3 \wedge \text{lst } \sigma 3 = \text{lst } \tau 3)$

definition *local-triangle1* :: ('a,'b) lars \Rightarrow ('a,'b) seq \Rightarrow ('a,'b) seq \Rightarrow ('a,'b) conv \Rightarrow ('a,'b) seq \Rightarrow ('a,'b) conv \Rightarrow bool
where *local-triangle1* ars β α $\sigma 1$ $\sigma 2$ $\sigma 3$ =
(local-peak ars (β , α) \wedge $\sigma 2 \in$ seq ars \wedge $\{\sigma 1, \sigma 3\} \subseteq$ conv ars \wedge lst $\beta =$ fst $\sigma 1 \wedge$ lst-conv $\sigma 1 =$ fst $\sigma 2 \wedge$ lst $\sigma 2 =$ fst $\sigma 3$)

definition *LT1* :: ('a,'b) lars \Rightarrow 'b rel \Rightarrow ('a,'b) seq \Rightarrow ('a,'b) seq \Rightarrow ('a,'b) conv \Rightarrow ('a,'b) seq \Rightarrow ('a,'b) conv \Rightarrow bool
where *LT1* ars r β α $\sigma 1$ $\sigma 2$ $\sigma 3$ = (local-triangle1 ars β α $\sigma 1$ $\sigma 2$ $\sigma 3 \wedge$ LD-1' r (hd (labels β)) (hd (labels α)) (labels-conv $\sigma 1$) (labels $\sigma 2$) (labels-conv $\sigma 3$))

definition *LT* :: ('a,'b) lars \Rightarrow 'b rel \Rightarrow ('a,'b) seq \times ('a,'b) seq \times ('a,'b) conv \times ('a,'b) seq \times ('a,'b) conv \times ('a,'b) conv \times ('a,'b) seq \times ('a,'b) conv \Rightarrow bool
where *LT* ars r t = (let ($\beta, \alpha, \sigma 1, \sigma 2, \sigma 3, \tau 1, \tau 2, \tau 3$) = t in *LT1* ars r β α $\sigma 1$ $\sigma 2$ $\sigma 3 \wedge$ *LT1* ars r α β $\tau 1$ $\tau 2$ $\tau 3 \wedge$ lst-conv $\sigma 3 =$ lst-conv $\tau 3$)

lemma *conv-tail1*: **assumes** conv: (s,(d, α ,t)#xs) \in conv ars
shows (t,xs) \in conv ars **and** d \Longrightarrow (s, α ,t) \in ars **and** \neg d \Longrightarrow (t, α ,s) \in ars **and** lst-conv (s,(d, α ,t)#xs) = lst-conv (t,xs) \langle proof \rangle

lemma *conv-chop*: **assumes** (s,ss1@ss2) \in conv ars **shows** (s,ss1) \in conv ars (lst-conv (s,ss1),ss2) \in conv ars \langle proof \rangle

lemma *conv-concat-helper*:
assumes (s,ls) \in conv ars **and** ss2 \in conv ars **and** lst-conv (s,ls) = fst ss2
shows (s,ls@snd ss2) \in conv ars \wedge (lst-conv (s,ls@snd ss2) = lst-conv ss2) \langle proof \rangle

lemma *conv-concat*:
assumes ss1 \in conv ars **and** ss2 \in conv ars **and** lst-conv ss1 = fst ss2
shows (fst ss1,snd ss1@snd ss2) \in conv ars **and** (lst-conv (fst ss1,snd ss1@snd ss2) = lst-conv ss2) \langle proof \rangle

lemma *conv-concat-labels*:
assumes ss1 \in conv ars **and** ss2 \in conv ars **and** set (labels-conv ss1) \subseteq S **and** set (labels-conv ss2) \subseteq T
shows set (labels-conv (fst ss1,snd ss1@snd ss2)) \subseteq S \cup T \langle proof \rangle

lemma *seq-decompose*:
assumes $\sigma \in$ seq ars **and** labels $\sigma = \sigma 1' @ \sigma 2'$
shows $\exists \sigma 1 \sigma 2. (\{\sigma 1, \sigma 2\} \subseteq$ seq ars $\wedge \sigma =$ (fst $\sigma 1, \text{snd } \sigma 1 @ \text{snd } \sigma 2) \wedge$ lst $\sigma 1 =$ fst $\sigma 2 \wedge$ lst $\sigma 2 =$ lst $\sigma \wedge$ labels $\sigma 1 = \sigma 1' \wedge$ labels $\sigma 2 = \sigma 2')$ \langle proof \rangle

lemma *seq-imp-conv*:
assumes (s,ss) \in seq ars
shows (s,map (λ step. (True,step)) ss) \in conv ars \wedge lst-conv (s,map (λ step. (True,step)) ss) = lst (s,ss) \wedge

$labels (s,ss) = labels-conv (s, map (\lambda step.(True,step)) ss)$
 <proof>

fun *conv-mirror* :: ('a,'b) conv \Rightarrow ('a,'b) conv
where *conv-mirror* $\sigma = (let (s,ss) = \sigma$ in case ss of
 [] $\Rightarrow (s,ss)$
 | $x\#xs \Rightarrow let (d,\alpha,t) = x$ in
 (fst (conv-mirror (t,xs)),snd (conv-mirror (t,xs))@[(-d,\alpha,s)]))

lemma *conv-mirror*: **assumes** $\sigma \in conv\ ars$
shows *conv-mirror* $\sigma \in conv\ ars \wedge$
 $set (labels-conv (conv-mirror \sigma)) = set (labels-conv \sigma) \wedge$
 $fst \sigma = lst-conv (conv-mirror \sigma) \wedge$
 $lst-conv \sigma = fst (conv-mirror \sigma)$ <proof>

lemma *DD-subset-helper*:
assumes $t:trans\ r$ **and** $(r|\tau@|\sigma', r|\tau| + r|\sigma|) \in mul-eq\ r$ **and** $set-mset (r|\tau| + r|\sigma|) \subseteq ds\ r\ S$
shows $set-mset\ r|\sigma'| \subseteq ds\ r\ S$ <proof>

lemma *DD-subset-ds*:
assumes $t:trans\ r$ **and** *DD*: $DD\ ars\ r (\tau,\sigma,\sigma',\tau')$ **and** $set-mset (measure\ r (\tau,\sigma)) \subseteq ds\ r\ S$ **shows** $set-mset (measure\ r (\sigma',\tau')) \subseteq ds\ r\ S$ <proof>

lemma *conv-imp-valley*:
assumes $t:trans\ r$
and *IH*: $!!y . ((y,(s,[\alpha-step]@|\varrho-step),(s,[\beta-step]@|\nu-step))) \in pex\ r \Rightarrow peak\ ars\ y \Rightarrow \exists \sigma' \tau'. DD\ ars\ r (fst\ y, snd\ y, \sigma', \tau'))$ (**is** $!!y . ((y,?P) \in - \Rightarrow - \Rightarrow -)$)
and $\delta 1 \in conv\ ars$
and $set-mset (measure-conv\ r\ \delta 1) \subseteq dm\ r\ M$
and $(M, \{\#fst\ \alpha-step, fst\ \beta-step\#}) \in mul-eq\ r$
shows $\exists \sigma \tau. (\{\sigma, \tau\} \subseteq seq\ ars \wedge fst\ \sigma = fst\ \delta 1 \wedge fst\ \tau = lst-conv\ \delta 1 \wedge lst\ \sigma = lst\ \tau \wedge set-mset (measure\ r (\sigma, \tau)) \subseteq dm\ r\ M)$ <proof>

lemma *labels-multiset*: **assumes** $length (labels\ \sigma) \leq 1$ **and** $set (labels\ \sigma) \subseteq \{\alpha\}$
shows $(r|labels\ \sigma|, \{\#\alpha\#}) \in mul-eq\ r$ <proof>

lemma *decreasing-imp-local-decreasing*:
assumes $t:trans\ r$ **and** *i*: $irrefl\ r$ **and** *DD*: $DD\ ars\ r (\tau,\sigma,\sigma',\tau')$ **and** $set (labels\ \tau) \subseteq ds\ r\ \{\beta\}$
and $length (labels\ \sigma) \leq 1$ **and** $set (labels\ \sigma) \subseteq \{\alpha\}$
shows $\exists \sigma 1\ \sigma 2\ \sigma 3. (\sigma' = (fst\ \sigma 1, snd\ \sigma 1 @ snd\ \sigma 2 @ snd\ \sigma 3) \wedge lst\ \sigma 1 = fst\ \sigma 2 \wedge lst\ \sigma 2 = fst\ \sigma 3 \wedge lst\ \sigma 3 = lst\ \sigma')$
 $\wedge LD-1'\ r\ \beta\ \alpha (labels\ \sigma 1) (labels\ \sigma 2) (labels\ \sigma 3)$
 $set (labels\ \tau') \subseteq ds\ r (\{\alpha, \beta\})$
 <proof>

lemma *local-decreasing-extended-imp-decreasing*:
assumes *LT1* $ars\ r (s, [\beta-step]) (s, [\alpha-step]) \gamma 1\ \gamma 2\ \gamma 3$

and t : *trans* r **and** i : *irrefl* r
and IH :!! y . $((y,((s,[\beta\text{-step}]@v\text{-step}), (s,[\alpha\text{-step}]@q\text{-step}))) \in \text{pex } r \implies \text{peak ars } y$
 $\implies \exists \sigma' \tau'. DD \text{ ars } r (fst \ y, snd \ y, \sigma', \tau')$ (**is** !! y . $((y, ?P) \in - \implies - \implies -)$)
shows $\exists \sigma 1 \sigma 2 \sigma 3' \gamma 1'''$. $(\{\sigma 1, \sigma 2, \sigma 3', \gamma 1'''\} \subseteq \text{seq ars} \wedge$
 $\text{set}(\text{labels } \sigma 1) \subseteq \text{ds } r \{\text{fst } \beta\text{-step}\} \wedge \text{length}(\text{labels } \sigma 2) \leq 1 \wedge \text{set}(\text{labels } \sigma 2) \subseteq$
 $\{\text{fst } \alpha\text{-step}\} \wedge \text{set}(\text{labels } \sigma 3') \subseteq \text{ds } r \{\text{fst } \alpha\text{-step}, \text{fst } \beta\text{-step}\} \wedge$
 $\text{set}(\text{labels } \gamma 1''') \subseteq \text{ds } r \{\text{fst } \alpha\text{-step}, \text{fst } \beta\text{-step}\} \wedge$
 $\text{snd } \beta\text{-step} = \text{fst } \sigma 1 \wedge \text{lst } \sigma 1 = \text{fst } \sigma 2 \wedge \text{lst } \sigma 2 = \text{fst } \sigma 3' \wedge \text{lst } \sigma 3' = \text{lst } \gamma 1''' \wedge$
 $\text{fst } \gamma 1''' = \text{fst } \gamma 3$
 $\langle \text{proof} \rangle$

lemma *LDD-imp-DD*:

assumes t :*trans* r **and** i :*irrefl* r **and** *LDD ars* r $(\tau, \sigma, \sigma 1, \sigma 2, \sigma 3, \tau 1, \tau 2, \tau 3)$
shows $\exists \sigma' \tau'. DD \text{ ars } r (\tau, \sigma, \sigma', \tau')$ $\langle \text{proof} \rangle$

lemma *LT-imp-DD*:

assumes t :*trans* r

and i :*irrefl* r

and IH :!! y . $((y,((s,[\beta\text{-step}]@v\text{-step}), (s,[\alpha\text{-step}]@q\text{-step}))) \in \text{pex } r \implies \text{peak ars } y$
 $\implies \exists \sigma' \tau'. DD \text{ ars } r (fst \ y, snd \ y, \sigma', \tau')$ (**is** !! y . $((y, ?P) \in - \implies - \implies -)$)

and LT : *LT ars* r $((s,[\beta\text{-step}]), (s,[\alpha\text{-step}]), \gamma 1, \gamma 2, \gamma 3, \delta 1, \delta 2, \delta 3)$

shows $\exists \kappa \mu. DD \text{ ars } r ((s,[\beta\text{-step}]), (s,[\alpha\text{-step}]), \kappa, \mu)$

$\langle \text{proof} \rangle$

lemma *LT-imp-D*: **assumes** t :*trans* r **and** wf r **and** $\forall p$. (*local-peak ars* $p \longrightarrow (\exists$
 $\gamma 1 \gamma 2 \gamma 3 \delta 1 \delta 2 \delta 3. LT \text{ ars } r (fst \ p, snd \ p, \gamma 1, \gamma 2, \gamma 3, \delta 1, \delta 2, \delta 3))$)

and *peak ars* P **shows** $(\exists \sigma' \tau'. DD \text{ ars } r (fst \ P, snd \ P, \sigma', \tau'))$ $\langle \text{proof} \rangle$

definition *LD-conv* :: ' b set \Rightarrow ' a rel \Rightarrow bool

where *LD-conv* $L \text{ ars} = (\exists (r::('b \text{ rel})) (lrs::('a, 'b) \text{ lars}). (\text{ars} = \text{unlabel } lrs) \wedge$
 $\text{trans } r \wedge \text{wf } r \wedge (\forall p. (\text{local-peak } lrs \ p \longrightarrow (\exists \gamma 1 \gamma 2 \gamma 3 \delta 1 \delta 2 \delta 3. LT \text{ lrs } r (fst$
 $p, snd \ p, \gamma 1, \gamma 2, \gamma 3, \delta 1, \delta 2, \delta 3))))))$

lemma *sound-conv*: **assumes** *LD-conv* $L \text{ ars}$ **shows** *CR ars*

$\langle \text{proof} \rangle$

hide-const (**open**) D

hide-const (**open**) *seq*

hide-const (**open**) *measure*

hide-fact (**open**) *split*

end

References

- [1] V. van Oostrom. Confluence by decreasing diagrams. *Theoretical Computer Science*, 126(2):259–280, 1994.

- [2] V. van Oostrom. Confluence by decreasing diagrams – converted. In *Proc. 19th International Conference on Rewriting Techniques and Applications*, volume 5117 of *Lecture Notes in Computer Science*, pages 306–320, 2008.
- [3] H. Zankl. Confluence by decreasing diagrams – formalized. In *Proc. 24th International Conference on Rewriting Techniques and Applications*, number 21 in *Leibniz International Proceedings in Informatics*, pages 352–367, 2013.