

Decreasing-Diagrams

Harald Zankl

March 17, 2025

Abstract

This theory contains a formalization of decreasing diagrams showing that any locally decreasing abstract rewrite system is confluent. We consider the valley (van Oostrom, TCS 1994) and the conversion version (van Oostrom, RTA 2008) and closely follow the original proofs. As an application we prove Newman's lemma.

A description of this formalization is available in [3].

Contents

1 Decreasing Diagrams	1
1.1 Valley Version	1
1.1.1 Appendix	1
1.1.2 Multisets	3
1.1.3 Lexicographic maximum measure	12
1.1.4 Labeled Rewriting	20
1.1.5 Application: Newman's Lemma	27
1.2 Conversion Version	29

1 Decreasing Diagrams

theory *Decreasing-Diagrams* imports *HOL-Library.Multiset Abstract-Rewriting*.
begin

1.1 Valley Version

This section follows [1].

1.1.1 Appendix

interaction of multisets with sets

definition *diff* :: '*a multiset* \Rightarrow '*a set* \Rightarrow '*a multiset*
where *diff M S* = *filter-mset* ($\lambda x. x \notin S$) *M*

```

definition intersect :: 'a multiset ⇒ 'a set ⇒ 'a multiset
  where intersect M S = filter-mset (λx. x ∈ S) M

notation
  diff      (infixl ‹-s› 800) and
  intersect (infixl ‹∩s› 800)

lemma count-diff [simp]:
  count (M -s A) a = count M a * of-bool (a ∉ A)
  by (simp add: diff-def)

lemma set-mset-diff [simp]:
  set-mset (M -s A) = set-mset M - A
  by (auto simp add: diff-def)

lemma diff-eq-singleton-imp:
  M -s A = {#a#} ⟹ a ∈ (set-mset M - A)
  unfolding diff-def filter-mset-eq-conv by auto

lemma count-intersect [simp]:
  count (M ∩s A) a = count M a * of-bool (a ∈ A)
  by (simp add: intersect-def)

lemma set-mset-intersect [simp]:
  set-mset (M ∩s A) = set-mset M ∩ A
  by (auto simp add: intersect-def)

lemma diff-from-empty: {#}-s S = {#} unfolding diff-def by auto

lemma diff-empty: M -s {} = M unfolding diff-def by (rule multiset-eqI) simp

lemma submultiset-implies-subset: assumes M ⊆# N shows set-mset M ⊆ set-mset N
  using assms mset-subset-eqD by auto

lemma subset-implies-remove-empty: assumes set-mset M ⊆ S shows M -s S = {#}
  unfolding diff-def using assms by (induct M) auto

lemma remove-empty-implies-subset: assumes M -s S = {#} shows set-mset M ⊆ S proof
  fix x assume A: x ∈ set-mset M
  have x ∉ set-mset (M -s S) using assms by auto
  thus x ∈ S using A unfolding diff-def by auto
qed

lemma lemmaA-3-8: (M + N) -s S = (M -s S) + (N -s S) unfolding diff-def
  by (rule multiset-eqI) simp

```

```

lemma lemmaA-3-9:  $(M -s S) -s T = M -s (S \cup T)$  unfolding diff-def by  

(rule multiset-eqI) simp  

lemma lemmaA-3-10:  $M = (M \cap s S) + (M -s S)$  unfolding diff-def intersect-def  

by auto  

lemma lemmaA-3-11:  $(M -s T) \cap s S = (M \cap s S) -s T$  unfolding diff-def  

intersect-def by (rule multiset-eqI) simp

```

1.1.2 Multisets

Definition 2.5(1)

```

definition ds :: 'a rel  $\Rightarrow$  'a set  $\Rightarrow$  'a set  

where ds r S = {y .  $\exists x \in S. (y,x) \in r$ }  

  

definition dm :: 'a rel  $\Rightarrow$  'a multiset  $\Rightarrow$  'a set  

where dm r M = ds r (set-mset M)  

  

definition dl :: 'a rel  $\Rightarrow$  'a list  $\Rightarrow$  'a set  

where dl r σ = ds r (set σ)

```

notation

```

ds (infixl  $\downarrow s$  900) and  

dm (infixl  $\downarrow m$  900) and  

dl (infixl  $\downarrow l$  900)

```

missing but useful

```

lemma ds-ds-subseteq-ds: assumes t: trans r shows ds r (ds r S)  $\subseteq$  ds r S proof  

fix x assume A:  $x \in ds r (ds r S)$  show  $x \in ds r S$  proof –  

from A obtain y z where  $(x,y) \in r$  and  $(y,z) \in r$  and mem:  $z \in S$  unfolding  

ds-def by auto  

thus ?thesis using mem t trans-def unfolding ds-def by fast  

qed  

qed

```

from PhD thesis of van Oostrom

```

lemma ds-monotone: assumes  $S \subseteq T$  shows ds r S  $\subseteq$  ds r T using assms  

unfolding ds-def by auto

```

```

lemma subset-imp-ds-subset: assumes trans r and  $S \subseteq ds r T$  shows ds r S  $\subseteq$   

ds r T  

using assms ds-monotone ds-ds-subseteq-ds by blast

```

Definition 2.5(2)

strict order (mult) is used from Multiset.thy

```

definition mult-eq :: 'a rel  $\Rightarrow$  'a multiset rel where  

mult-eq r = (mult1 r)*

```

```

definition mul :: 'a rel  $\Rightarrow$  'a multiset rel where

```

```

mul r = {(M,N). $\exists I J K. M = I + K \wedge N = I + J \wedge \text{set-mset } K \subseteq dm r J \wedge J \neq \{\#\}}$ }

```

```

definition mul-eq :: 'a rel  $\Rightarrow$  'a multiset rel where
mul-eq r = {(M,N). $\exists I J K. M = I + K \wedge N = I + J \wedge \text{set-mset } K \subseteq dm r J\}$ }

```

```

lemma in-mul-eqI:
assumes M = I + K N = I + J set-mset K  $\subseteq r \downarrow m J$ 
shows (M, N)  $\in$  mul-eq r
using assms by (auto simp add: mul-eq-def)

```

```

lemma downset-intro:
assumes  $\forall k \in \text{set-mset } K. \exists j \in \text{set-mset } J. (k,j) \in r$  shows set-mset K  $\subseteq dm r J$ 
proof
fix x assume x  $\in$  set-mset K thus x  $\in dm r J$  using assms unfolding dm-def ds-def by fast
qed

```

```

lemma downset-elim:
assumes set-mset K  $\subseteq dm r J$  shows  $\forall k \in \text{set-mset } K. \exists j \in \text{set-mset } J. (k,j) \in r$ 
proof
fix k assume k  $\in$  set-mset K thus  $\exists j \in \text{set-mset } J. (k,j) \in r$  using assms unfolding dm-def ds-def by fast
qed

```

to closure-free representation

```

lemma mult-eq-implies-one-or-zero-step:
assumes trans r and (M,N)  $\in$  mult-eq r shows  $\exists I J K. N = I + J \wedge M = I + K \wedge \text{set-mset } K \subseteq dm r J$ 
proof (cases (M,N)  $\in$  mult r)
case True thus ?thesis using mult-implies-one-step[OF assms(1)] downset-intro by blast
next
case False hence A: M = N using assms rtrancl-eq-or-trancl unfolding mult-eq-def mult-def by metis
hence N = N + {#}  $\wedge M = M + {#} \wedge \text{set-mset } \{#\} \subseteq dm r \{#\}$  by auto
thus ?thesis unfolding A by fast
qed

```

from closure-free representation

```

lemma one-step-implies-mult-eq: assumes trans r and set-mset K  $\subseteq dm r J$ 
shows (I+K,I+J)  $\in$  mult-eq r
proof (cases set-mset J = {})
case True hence set-mset K = {} using assms downset-elim by (metis all-not-in-conv emptyE)
thus ?thesis using True unfolding mult-eq-def by auto
next
case False hence h: J  $\neq \{#\}$  using set-mset-eq-empty-iff by auto
hence (I+K,I+J)  $\in$  mult r using set-mset-eq-empty-iff assms one-step-implies-mult

```

```

downset-elim
  by auto blast
  thus ?thesis unfolding mult-eq-def mult-def by auto
qed

lemma mult-is-mul: assumes trans r shows mult r = mul r proof
  show mult r ⊆ mul r proof
    fix N M assume A: (N,M) ∈ mult r show (N,M) ∈ mul r proof –
      obtain I J K where M = I + J and N = I + K and J ≠ {#} and set-mset
      K ⊆ dm r J
        using mult-implies-one-step[OF assms A] downset-intro by metis
        thus ?thesis unfolding mul-def by auto
      qed
    qed
  next
  show mul r ⊆ mult r proof
    fix N M assume A: (N,M) ∈ mul r show (N,M) ∈ mult r proof –
      obtain I J K where M = I + J and N = I + K and J ≠ {#} and set-mset
      K ⊆ dm r J
        using A unfolding mul-def by auto
        thus ?thesis using one-step-implies-mult assms downset-elim by metis
      qed
    qed
  qed

lemma mult-eq-is-mul-eq: assumes trans r shows mult-eq r = mul-eq r proof
  show mult-eq r ⊆ mul-eq r proof
    fix N M assume A: (N,M) ∈ mult-eq r show (N,M) ∈ mul-eq r proof (cases
    (N,M) ∈ mult r)
      case True thus ?thesis unfolding mult-is-mul[OF assms] mul-def mul-eq-def
      by auto
    next
      case False hence eq: N = M using A rtranclD unfolding mult-def mult-eq-def
      by metis
      hence M = M + {#} ∧ N = N + {#} ∧ set-mset {#} ⊆ dm r {#} by auto
      thus ?thesis unfolding eq unfolding mul-eq-def by fast
    qed
  qed
  show mul-eq r ⊆ mult-eq r using one-step-implies-mult-eq[OF assms] unfolding
  mul-eq-def by auto
qed

lemma mul-eq r = (mul r) = proof
  show mul-eq r ⊆ (mul r) = proof
    fix M N assume A:(M,N) ∈ mul-eq r show (M,N) ∈ (mul r) = proof –
      from A obtain I J K where 1: M = I + K and 2: N = I + J and 3:
      set-mset K ⊆ dm r J unfolding mul-eq-def by auto
      show ?thesis proof (cases J = {#})
        case True hence K = {#} using 3 unfolding dm-def ds-def by auto

```

```

hence  $M = N$  using True 1 2 by auto
thus ?thesis by auto
next
  case False thus ?thesis using 1 2 3 unfolding mul-def mul-eq-def by auto
  qed
  qed
  qed
show  $\text{mul-eq } r \supseteq (\text{mul } r)^=$  proof
fix  $M N$  assume  $A:(M,N) \in (\text{mul } r)^=$  show  $(M,N) \in \text{mul-eq } r$ 
proof (cases M = N)
  case True hence  $M = M + \{\#\}$  and  $N = M + \{\#\}$  and  $\text{set-mset } \{\#\} \subseteq dm r \{\#\}$  by auto
    thus ?thesis unfolding mul-eq-def by fast
  next
    case False hence  $(M,N) \in \text{mul } r$  using A by auto
      thus ?thesis unfolding mul-def mul-eq-def by auto
    qed
  qed
qed

```

useful properties on multisets

```

lemma mul-eq-reflexive: (M,M) \in mul-eq r proof -
have  $M = M + \{\#\}$  and  $\text{set-mset } \{\#\} \subseteq dm r \{\#\}$  by auto
thus ?thesis unfolding mul-eq-def by fast
qed

```

```

lemma mul-eq-trans: assumes trans r and (M,N) \in mul-eq r and (N,P) \in mul-eq r shows (M,P) \in mul-eq r
using assms unfolding mult-eq-is-mul-eq[symmetric,OF assms(1)] mult-eq-def by auto

```

```

lemma mul-eq-singleton: assumes (M, {\# \alpha \#}) \in mul-eq r shows M = {\# \alpha \#}
\vee  $\text{set-mset } M \subseteq dm r \{\#\alpha\}$  proof -
from assms obtain I J K where 1:M = I + K and 2:{\# \alpha \#} = I + J and 3:set-mset K \subseteq dm r J unfolding mul-eq-def by auto
thus ?thesis proof (cases I = {\#})
  case True hence  $J = \{\#\alpha\}$  using 2 by auto
  thus ?thesis using 1 3 True by auto
next
  case False hence  $i: I = \{\#\alpha\}$  using 2 union-is-single by metis
  hence  $J = \{\#\}$  using 2 union-is-single by metis
  thus ?thesis using 1 i 3 unfolding dm-def ds-def by auto
qed
qed

```

```

lemma mul-and-mul-eq-imp-mul: assumes trans r and (M,N) \in mul r and (N,P) \in mul-eq r shows (M,P) \in mul r
using assms unfolding mult-is-mul[symmetric,OF assms(1)] mult-eq-is-mul-eq[symmetric,OF assms(1)] mult-def mult-eq-def by auto

```

```

lemma mul-eq-and-mul-imp-mul: assumes trans r and (M,N) ∈ mul-eq r and
(N,P) ∈ mul r shows (M,P) ∈ mul r
using assms unfolding mult-is-mul[symmetric,OF assms(1)] mult-eq-is-mul-eq[symmetric,OF
assms(1)] mult-def mult-eq-def by auto

lemma wf-mul: assumes trans r and wf r shows wf (mul r)
unfolding mult-is-mul[symmetric,OF assms(1)] using wf-mult[OF assms(2)] by
auto

lemma remove-is-empty-imp-mul: assumes M –s dm r {#α#} = {#} shows
(M,{#α#}) ∈ mul r proof –
from assms have C: set-mset M ⊆ dm r {#α#} by (metis remove-empty-implies-subset)
have M = {#} + M and {#α#} = {#} + {#α#} and {#α#} ≠ {#} by auto
thus ?thesis using C unfolding mul-def by fast
qed

```

Lemma 2.6

```

lemma lemma2-6-1-set: ds r (S ∪ T) = ds r S ∪ ds r T
unfolding set-mset-union ds-def by auto

```

```

lemma lemma2-6-1-list: dl r (σ@τ) = dl r σ ∪ dl r τ
unfolding dl-def ds-def set-append by auto

```

```

lemma lemma2-6-1-multiset: dm r (M + N) = dm r M ∪ dm r N
unfolding dm-def set-mset-union ds-def by auto

```

```

lemma lemma2-6-1-diff: (dm r M) – ds r S ⊆ dm r (M –s S)
unfolding diff-def dm-def ds-def by (rule subsetI) auto

```

missing but useful

```

lemma dl-monotone: dl r (σ@τ) ⊆ dl r (σ@τ'@τ) unfolding lemma2-6-1-list by
auto

```

Lemma 2.6.2

```

lemma lemma2-6-2-a: assumes t: trans r and M ⊆# N shows (M,N) ∈ mul-eq
r proof –
from assms(2) obtain J where N=M+J by (metis assms(2) mset-subset-eq-exists-conv)
hence M = M + {#} and N = M + J and set-mset {#} ⊆ dm r J by auto
thus ?thesis unfolding mul-eq-def by fast
qed

```

```

lemma mul-eq-not-equal-imp-elt:
assumes (M,N) ∈ mul-eq r and y ∈ set-mset M – set-mset N shows ∃ z ∈ set-mset
N. (y,z) ∈ r proof –
from assms obtain I J K where N=I+J and M=I+K and F3:set-mset K ⊆
dm r J unfolding mul-eq-def by auto
thus ?thesis using assms(2) downset-elim[OF F3] by auto
qed

```

```

lemma lemma2-6-2-b: assumes trans r and (M,N) ∈ mul-eq r shows dm r M
⊆ dm r N proof
fix x assume A: x ∈ dm r M show x ∈ dm r N proof -
from A obtain y where F2:y∈set-mset M and F3:(x,y)∈r unfolding dm-def
ds-def by auto
hence ∃ z ∈ set-mset N. (x,z)∈r proof (cases y∈set-mset N)
case True thus ?thesis using F3 unfolding ds-def by auto
next
case False thus ?thesis using mul-eq-not-equal-imp-elt assms F2 F3 trans-def
by fast
qed
thus ?thesis unfolding dm-def ds-def by auto
qed
qed

```

Lemma 2.6.3

```

lemma ds-trans-contrapos: assumes t: trans r and x ∉ ds r S and (x,y) ∈ r
shows y ∉ ds r S
using assms unfolding ds-def trans-def by fast

lemma dm-max-elt: assumes i: irrefl r and t: trans r shows x ∈ dm r M ==>
∃ y ∈ set-mset (M -s dm r M). (x,y) ∈ r
proof (induct M arbitrary: x)
case empty thus ?case unfolding dm-def ds-def by auto
next
case (add p P)
hence mem: x ∈ (dm r P ∪ dm r {#p#}) unfolding dm-def ds-def by auto
from i t have not-mem-dm: p ∉ dm r {#p#} unfolding dm-def ds-def irrefl-def
by auto
thus ?case
proof (cases x ∈ dm r P)
case False hence relp: (x,p) ∈ r using mem unfolding dm-def ds-def by auto
show ?thesis proof (cases p ∈ dm r P)
case True thus ?thesis using relp t ds-trans-contrapos False unfolding dm-def
by fast
next
case False thus ?thesis using not-mem-dm relp unfolding dm-def ds-def
diff-def by auto
qed
next
case True obtain y where key: y ∈ set-mset P y ∉ dm r P (x,y) ∈ r using
add(1)[OF True] unfolding diff-def by auto
thus ?thesis
proof (cases y ∈ dm r {#p#})
case True hence rely: (y,p) ∈ r unfolding dm-def ds-def by auto
hence relp: (x,p) ∈ r using rely t key trans-def by metis
have not-memp: p ∉ set-mset P using rely key unfolding dm-def ds-def by
auto

```

```

have memp:  $p \in \text{set-mset}(P + \{\#p\#})$  by auto
have  $p \notin dm r P$  using ds-trans-contrapos[OF t] key(2) rely unfolding dm-def
by auto
  hence  $p \notin dm r (P + \{\#p\#})$  using not-mem-dm unfolding dm-def ds-def
by auto
  thus ?thesis using repl unfolding diff-def by auto
next
  case False thus ?thesis using key unfolding dm-def ds-def diff-def by auto
qed
qed
qed

lemma dm-subset: assumes i:irrefl r and t: trans r shows  $dm r M \subseteq dm r (M - s dm r M)$ 
using assms dm-max-elt unfolding dm-def ds-def by fast

lemma dm-eq: assumes i:irrefl r and t: trans r shows  $dm r M = dm r (M - s dm r M)$ 
using dm-subset[OF assms] unfolding dm-def ds-def diff-def by auto

lemma lemma2-6-3: assumes t:trans r and i:irrefl r and  $(M, N) \in \text{mul-eq } r$ 
shows  $\exists I' J' K'. N = I' + J' \wedge M = I' + K' \wedge J' \cap \# K' = \{\#\} \wedge \text{set-mset } K' \subseteq dm r J'$ 
proof -
  from assms obtain IJK where 1:N = I + J and 2:M = I + K and 3:set-mset K ⊆ dm r J unfolding mul-eq-def by auto
  have set-mset (J ∩ # K) ⊆ r ↓m J using 3 by auto
  then obtain A where  $r \downarrow m J = \text{set-mset}(J \cap \# K) \cup A$ 
  by blast
  then have key: set-mset (J - s dm r J) ⊆ set-mset (J - (J ∩ # K))
  by clar simp (metis Multiset.count-diff add.left-neutral add-diff-cancel-left' mem-Collect-eq not-gr0 set-mset-def)
  from 1 2 3 have  $N = (I + (J \cap \# K)) + (J - (J \cap \# K))$ 
  by (metis diff-union-cancelL subset-mset.inf-le2 multiset-diff-union-assoc multi-set-inter-commute union-commute union-lcomm)
  moreover have  $M = (I + (J \cap \# K)) + (K - (J \cap \# K))$ 
  by (rule multiset-eqI) (simp add: 2)
  moreover have set-mset (K - (J ∩ # K)) ⊆ dm r (J - (J ∩ # K))
  proof -
    have set-mset (K - (J ∩ # K)) ⊆ dm r J using 3
    by (meson Multiset.diff-subset-eq-self mset-subset-eqD subset-eq)
    moreover have ... =  $dm r (J - s dm r J)$  using dm-eq[OF i t] by auto
    moreover have ... ⊆  $dm r (J - (J \cap \# K))$  using ds-monotone[OF key] unfolding dm-def by auto
    ultimately show ?thesis by auto
  qed
  moreover have  $(J - (J \cap \# K)) \cap \# (K - (J \cap \# K)) = \{\#\}$  by (rule multiset-eqI)
  ultimately show ?thesis by auto

```

qed

Lemma 2.6.4

lemma lemma2-6-4: **assumes** $t: \text{trans } r$ **and** $N \neq \{\#\}$ **and** $\text{set-mset } M \subseteq \text{dm } r$
N shows $(M, N) \in \text{mul } r$ **proof** –
have $M = \{\#\} + M$ **and** $N = \{\#\} + N$ **using assms by auto**
thus $?thesis$ **using assms(2,3)** **unfolding mul-def by fast**
qed

lemma lemma2-6-5-a: **assumes** $t: \text{trans } r$ **and** $\text{ds } r S \subseteq S$ **and** $(M, N) \in \text{mul-eq } r$
shows $(M - s S, N - s S) \in \text{mul-eq } r$
proof –
from $\text{assms}(1,3)$
obtain $I J K$ **where** $a: N = I + J$ **and** $b: M = I + K$ **and** $c: \text{set-mset } K \subseteq \text{dm } r J$
unfolding mul-eq-def **by** best
from $a b$ **have** $M - s S = I - s S + K - s S$
 $N - s S = I - s S + J - s S$ **by** (*auto simp add: lemmaA-3-8*)
moreover have $\text{set-mset } (K - s S) \subseteq \text{dm } r (J - s S)$ **proof** –
have $\text{set-mset } (K - s S) \subseteq \text{set-mset } (K - s (\text{ds } r S))$ **using assms(2)** **unfolding**
diff-def by auto
moreover have $\text{set-mset } (K - s (\text{ds } r S)) \subseteq (\text{dm } r J) - (\text{ds } r S)$ **using c un-**
folding diff-def by auto
moreover have $(\text{dm } r J) - (\text{ds } r S) \subseteq \text{dm } r (J - s S)$ **using lemma2-6-1-diff**
by fast
ultimately show $?thesis$ **by auto**
qed
ultimately show $?thesis$ **by** (*rule in-mul-eqI*)
qed

lemma lemma2-6-5-a': **assumes** $t: \text{trans } r$ **and** $(M, N) \in \text{mul-eq } r$ **shows** $(M - s$
 $\text{ds } r S, N - s \text{ds } r S) \in \text{mul-eq } r$
using assms lemma2-6-5-a[OF t] $\text{ds-ds-subseteq-ds}[OF t]$ **by auto**

Lemma 2.6.6

lemma lemma2-6-6-a: **assumes** $t: \text{trans } r$ **and** $(M, N) \in \text{mul-eq } r$ **shows** $(Q +$
 $M, Q + N) \in \text{mul-eq } r$ **proof** –
obtain $I J K$ **where** $A: Q + N = (Q + I) + J$ **and** $B: Q + M = (Q + I) + K$ **and** $C: \text{set-mset }$
 $K \subseteq \text{dm } r J$
using assms(2) **unfolding mul-eq-def by auto**
thus $?thesis$ **using C unfolding mul-eq-def by blast**
qed

lemma add-left-one:

assumes $\exists I J K. \text{add-mset } q N = I + J \wedge \text{add-mset } q M = I + K \wedge$
 $(J \cap \#K = \{\#\}) \wedge \text{set-mset } K \subseteq \text{dm } r J$
shows $\exists I2 J K. N = I2 + J \wedge M = I2 + K \wedge \text{set-mset } K \subseteq \text{dm } r J$ **proof** –
from $\text{assms obtain } I J K$ **where** $A: \{\#q\#} + N = I + J$ **and** $B: \{\#q\#} + M$
 $= I + K$

```

and  $C:(J \cap \# K = \{\#\})$  and  $D:\text{set-mset } K \subseteq dm r J$  by auto
have  $q \in \# I$  proof (cases  $q \in \# I$ )
  case True thus ?thesis by auto
next
  case False
  have  $q \in \# J$  using False A by (metis UnE multi-member-this set-mset-union)
    moreover have  $q \in \# K$  using False B by (metis UnE multi-member-this set-mset-union)
    moreover have  $\neg q \in \# (J \cap \# K)$  using C by auto
    ultimately show ?thesis by auto
qed
hence  $\exists I2. I = add\text{-mset } q I2$  by (metis multi-member-split)
hence  $\exists I2. add\text{-mset } q N = (add\text{-mset } q I2) + J \wedge add\text{-mset } q M = (add\text{-mset } q I2) + K$  using A B by auto
thus ?thesis using D by auto
qed

lemma lemma2-6-6-b-one :
assumes trans r and irrefl r and  $(add\text{-mset } q M, add\text{-mset } q N) \in mul\text{-eq } r$ 
shows  $(M, N) \in mul\text{-eq } r$ 
using add-left-one[OF lemma2-6-3[OF assms]] unfolding mul-eq-def by auto

lemma lemma2-6-6-b' : assumes trans r and i: irrefl r and  $(Q + M, Q + N) \in mul\text{-eq } r$ 
shows  $(M, N) \in mul\text{-eq } r$  using assms(3) proof (induct Q arbitrary: M N)
case empty thus ?case by auto
next
case  $(add\text{ } q\text{ } Q)$  thus ?case unfolding union-assoc using lemma2-6-6-b-one[OF assms(1,2)]
  by (metis union-mset-add-mset-left)
qed

lemma lemma2-6-9: assumes t: trans r and  $(M, N) \in mul\text{ } r$  shows  $(Q + M, Q + N) \in mul\text{ } r$ 
proof -
  obtain I J K where F1:N = I + J and F2:M = I + K and F3:set-mset K  $\subseteq dm r J$  and F4: $J \neq \{\#\}$ 
    using assms unfolding mul-def by auto
  have  $Q + N = Q + I + J$  and  $Q + M = Q + I + K$  by (auto simp: F1 F2 union-commute union-lcomm)
  thus ?thesis using assms(1) F3 F4 unfolding mul-def by blast
qed

```

Lemma 2.6.7

```

lemma lemma2-6-7-a: assumes t: trans r and set-mset Q  $\subseteq dm r N - dm r M$ 
and  $(M, N) \in mul\text{-eq } r$ 
shows  $(Q + M, N) \in mul\text{-eq } r$  proof -
  obtain I J K where A:  $N = I + J$  and B:M = I + K and C:set-mset K  $\subseteq dm r J$ 
  using assms unfolding mul-eq-def by auto
  hence set-mset( $Q + K$ )  $\subseteq dm r J$  using assms lemma2-6-1-diff unfolding dm-def

```

```

ds-def by auto
hence  $(I+(Q+K), I+J) \in \text{mul-eq } r$  unfolding mul-eq-def by fast
thus ?thesis using A B C union-assoc union-commute by metis
qed

missing?; similar to lemma_2.6.2?

lemma lemma2-6-8: assumes t: trans r and  $S \subseteq T$  shows  $(M -s T, M -s S) \in \text{mul-eq } r$ 
proof -
from assms(2) have  $(M -s T) \subseteq \# (M -s S)$ 
by (metis Un-absorb2 Un-commute lemmaA-3-10 lemmaA-3-9 mset-subset-eq-add-right)
thus ?thesis using lemma2-6-2-a[OF t] by auto
qed

```

1.1.3 Lexicographic maximum measure

Def 3.1: lexicographic maximum measure

```

fun lexmax :: 'a rel ⇒ 'a list ⇒ 'a multiset where
  lexmax r [] = {#}
  | lexmax r (α#σ) = {#α#} + (lexmax r σ -s ds r {α})

```

notation

```
lexmax (<-|-> [1000] 1000)
```

```

lemma lexmax-singleton:  $r|[\alpha] = \{\#\alpha\}$  unfolding lexmax.simps diff-def by simp

```

Lemma 3.2

Lemma 3.2(1)

```

lemma lemma3-2-1: assumes t: trans r shows  $r \downarrow_m r|\sigma = r \downarrow_l \sigma$  proof (induct σ)
  case Nil show ?case unfolding dm-def dl-def by auto
  next
  case (Cons α σ)
  have  $dm r \{\#\alpha\} \cup dm r (r|\sigma -s ds r \{\alpha\}) = dm r \{\#\alpha\} \cup dl r \sigma$  (is ?L = ?R) proof -
    have ?L ⊆ ?R unfolding Cons[symmetric] diff-def dm-def ds-def by auto
    moreover have ?R ⊆ ?L proof
      fix x assume A:  $x \in ?R$  show  $x \in ?L$  proof (cases x ∈ dm r {#α#})
        case True thus ?thesis by auto
      next
        case False
        hence mem:  $x \in dm r (lexmax r \sigma)$  using A Cons by auto
        have  $x \notin (ds r (ds r \{\alpha\}))$  using False ds-ds-subseteq-ds[OF t] unfolding dm-def by auto
        thus ?thesis using mem lemma2-6-1-diff by fast
      qed
    qed
    ultimately show ?thesis by auto
  qed

```

```

qed
thus ?case unfolding lemma2-6-1-multiset lexmax.simps dl-def dm-def ds-def by
auto
qed

```

Lemma 3.2(2)

```

lemma lemma3-2-2: r|σ@τ| = r|σ| + (r|τ| - s r ↓l σ) proof(induct σ)
  case Nil thus ?case unfolding dl-def ds-def lexmax.simps apply auto unfolding
    diff-empty by auto
  next
  case (Cons α σ)
    have lexmax r (α#σ@τ) = {#α#} + ((lexmax r (σ@τ)) - s (ds r {α})) by auto
    moreover have ... = {#α#} + ((lexmax r σ + ((lexmax r τ) - s (dl r σ))) - s
      (ds r {α}))
      using Cons by auto
    moreover have ... = {#α#} + ((lexmax r σ) - s (ds r {α})) + (((lexmax r τ)
      - s (dl r σ)) - s (ds r {α}))
      unfolding lemmaA-3-8 unfolding union-assoc by auto
    moreover have ... = lexmax r (α#σ) + (((lexmax r τ) - s (dl r σ)) - s (ds r
      {α})) by simp
    moreover have ... = lexmax r (α#σ) + ((lexmax r τ) - s (dl r (α#σ))) unfolding
      lemmaA-3-9 dl-def dm-def lemma2-6-1-set[symmetric] by auto
    ultimately show ?case unfolding diff-def by simp
qed

```

Definition 3.3

```

definition D :: 'a rel ⇒ 'a list ⇒ 'a list ⇒ 'a list ⇒ bool where
  D r τ σ σ' τ' = ((r|σ@τ'|, r|τ| + r|σ|) ∈ mul-eq r
    ∧ (r|τ@σ'|, r|τ| + r|σ|) ∈ mul-eq r)

lemma D-eq: assumes trans r and irrefl r and D r τ σ σ' τ'
  shows (r|τ'| - s dl r σ, r|τ|) ∈ mul-eq r and (r|σ'| - s dl r τ, r|σ|) ∈ mul-eq r
  using assms unfolding D-def lemma3-2-2 using union-commute lemma2-6-6-b'
  apply metis
  using assms unfolding D-def lemma3-2-2 using union-commute lemma2-6-6-b'
  by metis

lemma D-inv: assumes trans r and irrefl r and (r|τ'| - s dl r σ, r|τ|) ∈ mul-eq r
  and (r|σ'| - s dl r τ, r|σ|) ∈ mul-eq r
  shows D r τ σ σ' τ'
  using assms unfolding D-def lemma3-2-2 using lemma2-6-6-a[OF assms(1)]
  union-commute by metis

lemma D: assumes trans r and irrefl r
  shows D r τ σ σ' τ' = ((r|τ'| - s dl r σ, r|τ|) ∈ mul-eq r
    ∧ (r|σ'| - s dl r τ, r|σ|) ∈ mul-eq r)
  using assms D-eq D-inv by auto

```

```

lemma mirror-D: assumes trans r and irrefl r and D r τ σ σ' τ' shows D r σ
τ τ' σ'
using assms D by metis

```

Proposition 3.4

```

definition LD-1' :: 'a rel ⇒ 'a ⇒ 'a list ⇒ 'a list ⇒ 'a list ⇒ bool
where LD-1' r β α σ1 σ2 σ3 =
(set σ1 ⊆ ds r {β} ∧ length σ2 ≤ 1 ∧ set σ2 ⊆ {α} ∧ set σ3 ⊆ ds r {α,β})

```

```

definition LD' :: 'a rel ⇒ 'a ⇒ 'a
⇒ 'a list ⇒ bool
where LD' r β α σ1 σ2 σ3 τ1 τ2 τ3 = (LD-1' r β α σ1 σ2 σ3 ∧ LD-1' r α
β τ1 τ2 τ3)

```

auxiliary properties on multisets

```

lemma lexmax-le-multiset: assumes t:trans r shows r|σ| ⊆# mset σ proof
(induct σ)
case Nil thus ?case unfolding lexmax.simps by auto
next
case (Cons s τ) hence lexmax r τ -s ds r {s} ⊆# mset τ using lemmaA-3-10
mset-subset-eq-add-right subset-mset.order-trans by metis
thus ?case by simp
qed

```

```

lemma split: assumes LD-1' r β α σ1 σ2 σ3 shows σ2 = [] ∨ σ2 = [α]
using assms unfolding LD-1'-def by (cases σ2) auto

```

```

lemma proposition3-4-step: assumes trans r and irrefl r and LD-1' r β α σ1
σ2 σ3
shows (r|σ1@σ2@σ3| -s (dm r {#β#}), r|[a]|) ∈ mul-eq r proof –
from assms have set σ1 ⊆ dm r {#β#} unfolding LD'-def LD-1'-def dm-def
by auto
hence set-mset (lexmax r σ1) ⊆ dm r {#β#} using submultiset-implies-subset[OF
lexmax-le-multiset[OF assms(1)]] by auto
hence one: lexmax r σ1 -s dm r {#β#} = {#} using subset-implies-remove-empty
by auto
from assms have set σ3 ⊆ dl r σ2 ∪ dl r σ1 ∪ dm r {#β#} ∪ dm r {#α#}
(is ?l ⊆ ?r) unfolding LD'-def LD-1'-def dm-def ds-def by auto
hence set-mset (lexmax r σ3) ⊆ ?r using submultiset-implies-subset[OF
lexmax-le-multiset[OF assms(1)]] by auto
hence pre3: lexmax r σ3 -s ?r = {#} using subset-implies-remove-empty by
auto
show ?thesis proof (cases σ2 = [])
case True
hence two:(lexmax r σ2 -s dl r σ1) -s dm r {#β#} = {#} unfolding diff-def
by auto
from pre3 have (((lexmax r σ3 -s dl r σ2) -s dl r σ1) -s dm r {#β#}) -s
dm r {#α#} = {#} unfolding True dl-def lemmaA-3-9 by auto
hence three:(((lexmax r σ3 -s dl r σ2) -s dl r σ1) -s dm r {#β#}, {#α#}))

```

```

 $\in \text{mul } r \text{ using remove-is-empty-imp-mul by metis}$ 
 $\quad \text{show ?thesis using three unfolding lemma3-2-2 lexmax-singleton lemmaA-3-8}$ 
 $\quad \text{one two mul-def mul-eq-def by auto}$ 
 $\quad \text{next}$ 
 $\quad \text{case False hence eq: } \sigma_2 = [\alpha] \text{ using split[OF assms(3)] by fast}$ 
 $\quad \text{have two: } ((\text{lexmax } r \sigma_2 - s \text{ dl } r \sigma_1) - s \text{ dm } r \{\#\beta\}, \{\#\alpha\}) \in \text{mul-eq } r \text{ using}$ 
 $\quad \text{lemma2-6-8[OF assms(1) empty-subsetI] unfolding eq lexmax.simps diff-from-empty}$ 
 $\quad \text{lemmaA-3-9 diff-empty by auto}$ 
 $\quad \text{from pre3 have lexmax } r \sigma_3 - s ((\text{dl } r \sigma_2 \cup \text{dm } r \{\#\alpha\}) \cup \text{dl } r \sigma_1 \cup \text{dm } r$ 
 $\quad \{\#\beta\}) = \{\#} \text{ unfolding eq lemmaA-3-9 using Un-assoc Un-commute by metis}$ 
 $\quad \text{hence three: } ((\text{lexmax } r \sigma_3 - s \text{ dl } r \sigma_2) - s \text{ dm } r \{\#\beta\}) = \{\#}$ 
 $\quad \text{using Un-absorb unfolding lemmaA-3-9 eq dm-def dl-def by auto}$ 
 $\quad \text{show ?thesis unfolding lemma3-2-2 lexmax-singleton lemmaA-3-8 one three}$ 
 $\quad \text{using two by auto}$ 
 $\quad \text{qed}$ 
 $\quad \text{qed}$ 

 $\text{lemma proposition3-4:}$ 
 $\quad \text{assumes t: trans } r \text{ and i: irrefl } r \text{ and ld: } LD' r \beta \alpha \sigma_1 \sigma_2 \sigma_3 \tau_1 \tau_2 \tau_3$ 
 $\quad \text{shows D } r [\beta] [\alpha] (\sigma_1 @ \sigma_2 @ \sigma_3) (\tau_1 @ \tau_2 @ \tau_3)$ 
 $\quad \text{using proposition3-4-step[OF t i] ld unfolding } LD'\text{-def D[OF assms(1,2)] dl-def}$ 
 $\quad \text{dm-def by auto}$ 

 $\text{lemma lexmax-decompose: assumes } \alpha \in \# r|\sigma| \text{ shows } \exists \sigma_1 \sigma_3. (\sigma = \sigma_1 @ [\alpha] @ \sigma_3 \wedge \alpha \notin \text{dl } r \sigma_1)$ 
 $\quad \text{using assms proof (induct } \sigma)$ 
 $\quad \text{case Nil thus ?case by auto}$ 
 $\quad \text{next}$ 
 $\quad \text{case (Cons } \beta \text{ as) thus ?case proof (cases } \alpha = \beta)$ 
 $\quad \text{case True}$ 
 $\quad \text{from this obtain } \sigma_1 \sigma_3 \text{ where dec: } \beta \# as = \sigma_1 @ [\alpha] @ \sigma_3 \text{ and empty: } \sigma_1 = []$ 
 $\quad \text{by auto}$ 
 $\quad \text{hence } \alpha \notin \text{dl } r \sigma_1 \text{ unfolding dl-def ds-def by auto}$ 
 $\quad \text{thus ?thesis using dec by auto}$ 
 $\quad \text{next}$ 
 $\quad \text{case False hence } \alpha \in \# r|as| - s \text{ ds } r \{\beta\} \text{ using Cons(2) by auto}$ 
 $\quad \text{hence } x: \alpha \in \# r|as| \wedge \alpha \notin \text{ds } r \{\beta\}$ 
 $\quad \text{by simp}$ 
 $\quad \text{from this obtain } \sigma_1 \sigma_3 \text{ where as = } \sigma_1 @ [\alpha] @ \sigma_3 \text{ and w: } \alpha \notin \text{dl } r \sigma_1 \text{ using}$ 
 $\quad \text{Cons(1) by auto}$ 
 $\quad \text{hence } \beta \# as = (\beta \# \sigma_1) @ [\alpha] @ \sigma_3 \text{ and } \alpha \notin \text{dl } r (\beta \# \sigma_1) \text{ using x w unfolding}$ 
 $\quad \text{dm-def dl-def ds-def by auto}$ 
 $\quad \text{thus ?thesis by fast}$ 
 $\quad \text{qed}$ 
 $\quad \text{qed}$ 

 $\text{lemma lexmax-elt: assumes trans } r \text{ and } x \in (\text{set } \sigma) \text{ and } x \notin \text{set-mset } r|\sigma|$ 

```

```

shows  $\exists y. (x,y) \in r \wedge y \in \text{set-mset } r|\sigma|$  using assms(2,3) proof (induct  $\sigma$ )
  case Nil thus ?case by auto
next
  case (Cons  $a$   $as$ ) thus ?case proof (cases  $x \notin \text{set-mset } r|as|$ )
    case True
      from Cons True obtain  $y$  where  $s: (x, y) \in r \wedge y \in \text{set-mset } r|as|$  by auto
      thus ?thesis proof (cases  $y \in ds r \{a\}$ )
        case True thus ?thesis using transD[OF assms(1)] s unfolding dm-def ds-def
by auto
      next
        case False thus ?thesis using s unfolding lexmax.simps diff-def by auto
      qed
    next
      case False thus ?thesis using Cons unfolding diff-def dm-def ds-def lex-
max.simps by auto
    qed
  qed

```

```

lemma lexmax-set: assumes trans  $r$  and set-mset  $r|\sigma| \subseteq r \downarrow_s S$  shows  $\text{set } \sigma \subseteq r \downarrow_s S$  proof
  fix  $x$  assume  $A: x \in \text{set } \sigma$  show  $x \in ds r S$  proof (cases  $x \in \text{set-mset } r|\sigma|$ )
    case True thus ?thesis using assms by auto
  next
    case False from lexmax-elt[OF assms(1)] A False obtain  $y$ 
      where rel:  $(x,y) \in r \wedge y \in \text{set-mset } r|\sigma|$  by auto
      hence  $y \in ds r S$  using assms by auto
      thus ?thesis using rel assms transD unfolding dm-def ds-def by fast
  qed
qed

```

```

lemma drop-left-mult-eq:
assumes trans  $r$  and irrefl  $r$  and  $(N+M,M) \in \text{mul-eq } r$  shows  $N = \{\#\}$  proof
-
  have  $(M+N,M+\{\#\}) \in \text{mul-eq } r$  using assms(3) apply auto using union-commute
  by metis
  hence  $k:(N,\{\#\}) \in \text{mul-eq } r$  using lemma2-6-6-b'[OF assms(1,2)] by fast
  from this obtain  $I J K$  where  $\{\#\} = I + J \wedge N = I + K \wedge \text{set-mset } K \subseteq dm$ 
   $r J$  unfolding mul-eq-def by fast
  thus ?thesis unfolding dm-def ds-def by auto
qed

```

generalized to lists

```

lemma proposition3-4-inv-lists:
assumes t: trans  $r$  and i: irrefl  $r$  and k:  $(r|\sigma| - s r \downarrow l \beta, \{\#\alpha\#\}) \in \text{mul-eq } r$  (is
( $?M,-$ )  $\in -$ )
shows  $\exists \sigma_1 \sigma_2 \sigma_3. ((\sigma = \sigma_1 @ \sigma_2 @ \sigma_3) \wedge \text{set } \sigma_1 \subseteq dl r \beta \wedge \text{length } \sigma_2 \leq 1 \wedge$ 
 $\text{set } \sigma_2 \subseteq \{\alpha\}) \wedge \text{set } \sigma_3 \subseteq dl r (\alpha \# \beta)$  proof (cases  $\alpha \in \# ?M$ )
  case True hence  $\alpha \in \# r|\sigma|$  by simp
  from this obtain  $\sigma_1 \sigma_3$  where sigma:  $\sigma = \sigma_1 @ [\alpha] @ \sigma_3$  and alpha:  $\alpha \notin dl r \sigma_1$ 

```

```

using lexmax-decompose by metis
hence dec: ((r|σ1|-sdl r β) + (r|[α]|-s (dl r σ1 ∪ dl r β)) + (r|σ3| -s (dl r
[α] ∪ dl r σ1 ∪ dl r β)), {#α#}) ∈ mul-eq r (is (?M1 + ?M2 + ?M3,-) ∈ -)
  using k unfolding sigma lemma3-2-2 lemmaA-3-8 lemmaA-3-9 LD-1'-def union-assoc
by auto

from True have key: α ≠ dl r β by simp
hence ?M2 = {#α#} unfolding lexmax-singleton diff-def using alpha by auto
hence (?M1 + ?M3 + {#α#}, {#α#}) ∈ mul-eq r using dec union-assoc union-commute
by metis
hence w: ?M1 + ?M3 = {#} using drop-left-mult-eq assms(1,2) by blast
from w have (r|σ1|-sdl r β) = {#} by auto
hence set-mset r|σ1| ⊆ ds r (set β) using remove-empty-implies-subset unfold-
ing dl-def dm-def by auto
hence sigma1: set σ1 ⊆ ds r (set β) using lexmax-set[OF assms(1)] by auto

have sigma2: length [α] ≤ 1 ∧ set [α] ⊆ {α} by auto

have sub: dl r σ1 ⊆ dl r β using subset-imp-ds-subset[OF assms(1) sigma1]
unfolding dm-def dl-def by auto
hence sub2: dl r σ1 ∪ dl r β = dl r β by auto
from w have ?M3 = {#} by auto
hence r|σ3| -s (ds r {α} ∪ ds r (set β)) = {#} unfolding Un-assoc sub2
unfolding dl-def by auto
hence r|σ3| -s (ds r ({α} ∪ (set β))) = {#} unfolding lemma2-6-1-set[symmetric]
by metis
hence set-mset r|σ3| ⊆ ds r ({α} ∪ (set β)) using remove-empty-implies-subset
by auto
hence sigma3: set σ3 ⊆ ds r ({α} ∪ (set β)) using lexmax-set[OF assms(1)]
by auto
show ?thesis using sigma sigma1 sigma2 sigma3 unfolding dl-def apply auto
by (metis One-nat-def append-Cons append-Nil sigma2)
next
case False hence set-mset ?M ⊆ dm r {#α#} using mul-eq-singleton[OF k]
  by (auto dest: diff-eq-singleton-imp)
hence set-mset r|σ| ⊆ ds r ({α} ∪ (set β)) unfolding diff-def dm-def dl-def
ds-def by auto
hence set σ ⊆ ds r ({α} ∪ (set β)) using lexmax-set[OF assms(1)] by auto
thus ?thesis unfolding dl-def apply auto by (metis append-Nil bot-least empty-set
le0 length-0-conv)
qed

lemma proposition3-4-inv-step:
assumes t: trans r and i: irrefl r and k:(r|σ| -s r ↓l [β], {#α#}) ∈ mul-eq r (is
(?M,-) ∈ -)
shows ∃ σ1 σ2 σ3. ((σ = σ1 @ σ2 @ σ3) ∧ LD-1' r β α σ1 σ2 σ3)
  using proposition3-4-inv-lists[OF assms] unfolding LD-1'-def dl-def by auto

lemma proposition3-4-inv:

```

```

assumes t: trans r and i: irrefl r and D r [β] [α] σ τ
shows ∃ σ1 σ2 σ3 τ1 τ2 τ3. (σ = σ1@σ2@σ3 ∧ τ = τ1@τ2@τ3 ∧ LD' r β α
σ1 σ2 σ3 τ1 τ2 τ3)
using proposition3-4-inv-step[OF assms(1,2)] D-eq[OF assms] unfolding lexmax-singleton
LD'-def by metis

```

Lemma 3.5

```

lemma lemma3-5-1:
assumes t: trans r and irrefl r and D r τ σ σ' τ' and D r v σ' σ'' v'
shows (lexmax r (τ @ v @ σ'')) lexmax r (τ @ v) + lexmax r σ) ∈ mul-eq r proof –
have lexmax r (τ @ v @ σ'') = (lexmax r (τ @ v) + ((lexmax r σ'') -s (dl r
(τ@v)))) unfolding append-assoc[symmetric] using lemma3-2-2 by fast
moreover have x:... = lexmax r (τ@v) + (((lexmax r σ'') -s dl r v) -s dl r τ)
by (auto simp: lemma2-6-1-list lemmaA-3-9 Un-commute)
moreover have (... ,lexmax r (τ@v) + (lexmax r σ' -s dl r τ)) ∈ mul-eq r (is
(-,?R) ∈ -)
using lemma2-6-6-a[OF t lemma2-6-5-a'[OF t D-eq(2)[OF assms(1,2,4)]]] un-
folding dl-def by auto
moreover have (?R,lexmax r (τ@v) + lexmax r σ) ∈ mul-eq r
using lemma2-6-6-a[OF t D-eq(2)[OF assms(1-3)]] by auto
ultimately show ?thesis using mul-eq-trans[OF t] by metis
qed

```

```

lemma claim1: assumes t: trans r and D r τ σ σ' τ'
shows (r|σ@τ'| + ((r|v'| -s r ↓l (σ@τ')) ∩ s r ↓l τ), r|σ| + r|τ|) ∈ mul-eq r (is
(?F + ?H, ?G) ∈ -)
proof –
have 1: (?F, ?G) ∈ mul-eq r using assms(2) unfolding D-def by (auto simp:
union-commute)
have 2: set-mset ?H ⊆ (dm r ?G) - (dm r ?F) (is (?L ⊆ -)) proof –
have set-mset ?H = set-mset ((lexmax r v' ∩ s dl r τ) -s dl r (σ@τ')) unfolding
lemmaA-3-11 by auto
moreover have ... ⊆ (dl r τ - dl r (σ@τ')) unfolding diff-def intersect-def
by auto
moreover have ... ⊆ ((dl r σ ∪ dl r τ) - dl r (σ@τ')) by auto
ultimately show ?thesis unfolding lemma2-6-1-multiset lemma3-2-1[OF t] by
auto
qed
show ?thesis using lemma2-6-7-a[OF t 2 1] by (auto simp: union-commute)
qed

```

```

lemma step3: assumes t:trans r and D r τ σ σ' τ'
shows r ↓l (σ@τ) ⊇ (r ↓m (r|σ'| + r|τ|)) proof –
have a: dl r (σ@τ) = dm r (lexmax r τ + lexmax r σ) and b: dl r (τ@σ') = dm
r (lexmax r σ' + lexmax r τ)
unfolding lemma2-6-1-list lemma3-2-1[OF t,symmetric] lemma2-6-1-multiset
by auto

```

```

show ?thesis using assms(2) lemma2-6-2-b[OF t] lemma3-2-1[OF t,symmetric]
unfolding D-def a b[symmetric] by auto
qed

lemma claim2: assumes t: trans r and D r τ σ σ' τ'
shows ((r|v'| -s r ↓l (σ@τ')) -s r ↓l τ, (r|v'| -s r ↓l σ') -s r ↓l τ) ∈ mul-eq r
(is (?L,?R) ∈ -)
proof -
  have ?L = lexmax r v' -s (dl r (σ@τ'@τ)) unfolding lemmaA-3-9 append-assoc[symmetric]
  lemma2-6-1-list by auto
  moreover have (... ,lexmax r v' -s dl r (σ@τ)) ∈ mul-eq r (is (-,?R) ∈ -) using
  lemma2-6-8[OF t dl-monotone] by auto
  moreover have (?R,lexmax r v' -s dm r (lexmax r σ' + lexmax r τ)) ∈ mul-eq
  r (is (-,?R) ∈ -) using lemma2-6-8[OF t step3[OF assms]] by auto
  moreover have ?R = (lexmax r v' -s dl r σ') -s dl r τ unfolding lemma3-2-1[OF
  t,symmetric] lemma2-6-1-multiset lemmaA-3-9[symmetric] by auto
  ultimately show ?thesis using mul-eq-trans[OF t] by metis
qed

lemma lemma3-5-2: assumes trans r and irrefl r and D r τ σ σ' τ' and D r v
σ' σ'' v'
shows (r|(σ @ τ' @ v')|, r|σ| + r|τ@v| ) ∈ mul-eq r
proof -
  have 0: lexmax r (σ@τ'@v') = lexmax r (σ@τ') + (lexmax r v' -s dl r (σ@τ'))
  (is ?L = -)
  unfolding append-assoc[symmetric] lemma3-2-2 by auto
  moreover have ... = lexmax r (σ@τ') + ((lexmax r v' -s dl r (σ@τ')) ∩ s dl r
  τ) + ((lexmax r v' -s dl r (σ@τ')) -s dl r τ)
  using lemmaA-3-10 unfolding union-assoc by auto
  moreover have (... , lexmax r σ + lexmax r τ + ((lexmax r v' -s dl r (σ@τ'))
  -s dl r τ)) ∈ mul-eq r (is (-,?R) ∈ -)
  using assms claim1 lemma2-6-6-a union-commute by metis
  moreover have (?R, lexmax r σ + lexmax r τ + (((lexmax r v' -s dl r σ') -s
  dl r τ))) ∈ mul-eq r (is (-,?R) ∈ -)
  using lemma2-6-6-a[OF assms(1) claim2[OF assms(1,3)]] by auto
  moreover have (?R, lexmax r σ + lexmax r τ + lexmax r v -s dl r τ) ∈ mul-eq
  r (is (-,?R) ∈ -)
  using lemma2-6-6-a[OF assms(1) lemma2-6-5-a'[OF assms(1) D-eq(1)[OF assms(1,2,4)]]]
  unfolding dl-def by auto
  moreover have ?R = lexmax r σ + lexmax r (τ@v) unfolding union-assoc
  lemma3-2-2 by auto
  ultimately show ?thesis using mul-eq-trans[OF assms(1)] by metis
qed

lemma lemma3-5: assumes trans r and irrefl r and D r τ σ σ' τ' and D r v
σ' σ'' v'
shows D r (τ@v) σ σ'' (τ'@v')
unfolding D-def append-assoc using assms lemma3-5-1 lemma3-5-2 union-commute
by metis

```

```

lemma step2: assumes trans r and τ ≠ [] shows (M ∩s dl r τ, lexmax r τ) ∈
mul r proof -
from assms obtain x xs where τ=x#xs using list.exhaust by auto
hence x: lexmax r τ ≠ {#} by auto
from assms(2) have y: set-mset (M ∩sdl r τ) ⊆ dm r (lexmax r τ) unfolding
lemma3-2-1[OF assms(1)] intersect-def by auto
show ?thesis using lemma2-6-4[OF assms(1) x y] by auto
qed

```

Lemma 3.6

```

lemma lemma3-6: assumes t: trans r and ne: τ ≠ [] and D: D r τ σ σ' τ'
shows (r|σ'| + r|v|, r|σ| + r|τ@v|) ∈ mul r (is (?L,?R) ∈ -) proof -
have ?L = ((lexmax r σ' + lexmax r v) ∩s dl r τ) + ((lexmax r σ' + lexmax r
v) -s dl r τ)
unfolding lemmaA-3-10[symmetric] by auto
moreover have (... ,lexmax r τ + ((lexmax r σ' + lexmax r v) -s dl r τ)) ∈
mul r (is (-,?R2) ∈ -)
using lemma2-6-9[OF t step2[OF t ne]] union-commute by metis
moreover have ?R2 = lexmax r τ + (lexmax r σ' -s dl r τ) + (lexmax r v -s
dl r τ)
unfolding lemmaA-3-8 union-assoc[symmetric] by auto
moreover have ... = lexmax r (τ@σ') + (lexmax r v -s dl r τ) unfolding
lemma3-2-2 by auto
moreover have (... ,lexmax r σ + lexmax r τ + (lexmax r v -s dl r τ)) ∈ mul-eq
r (is (-,?R5) ∈ -)
using D unfolding D-def using lemma2-6-6-a[OF t] union-commute by metis
moreover have ?R5 = ?R unfolding lemma3-2-2 union-assoc by auto
ultimately show ?thesis using mul-and-mul-eq-imp-mul t by metis
qed

```

```

lemma lemma3-6-v: assumes trans r and irrefl r and σ ≠ [] and D r τ σ σ' τ'
shows (r|τ'| + r|v|, r|τ| + r|σ@v|) ∈ mul r
using assms lemma3-6 mirror-D by fast

```

1.1.4 Labeled Rewriting

Theorem 3.7

```

type-synonym ('a,'b) lars = ('a×'b×'a) set
type-synonym ('a,'b) seq = ('a×('b×'a)list)

inductive-set seq :: ('a,'b) lars ⇒ ('a,'b) seq set for ars
where (a,[]) ∈ seq ars
| (a,α,b) ∈ ars ⇒ (b,ss) ∈ seq ars ⇒ (a,(α,b) # ss) ∈ seq ars

definition lst :: ('a,'b) seq ⇒ 'a
where lst ss = (if snd ss = [] then fst ss else snd (last (snd ss)))

```

results on seqs

```

lemma seq-tail1: assumes seq:  $(s, x \# xs) \in \text{seq lars}$ 
shows  $(\text{snd } x, xs) \in \text{seq lars}$  and  $(s, \text{fst } x, \text{snd } x) \in \text{lars}$  and  $\text{lst} (s, x \# xs) = \text{lst} (\text{snd } x, xs)$ 
proof -
  show  $(\text{snd } x, xs) \in \text{seq lars}$  using assms by (cases) auto
next
  show  $(s, \text{fst } x, \text{snd } x) \in \text{lars}$  using assms by (cases) auto
next
  show  $\text{lst} (s, x \# xs) = \text{lst} (\text{snd } x, xs)$  using assms unfolding lst-def by (cases)
auto
qed

lemma seq-chop: assumes  $(s, ss @ ts) \in \text{seq ars}$  shows  $(s, ss) \in \text{seq ars}$  ( $\text{lst}(s, ss), ts$ )  $\in \text{seq ars}$ 
proof -
  show  $(s, ss) \in \text{seq ars}$  using assms proof (induct ss arbitrary: s)
    case Nil show ?case using seq.intros(1) by fast
  next
    case (Cons x xs) hence k:( $s, x \# (xs @ ts) \in \text{seq ars}$ ) by auto
      from Cons have  $(\text{snd } x, xs) \in \text{seq ars}$  using seq-tail1(1) unfolding append.simps
      by fast
      thus ?case using seq.intros(2)[OF seq-tail1(2)[OF k]] by auto
    qed
  next
    show  $(\text{lst}(s, ss), ts) \in \text{seq ars}$  using assms proof (induct ss arbitrary:s)
      case Nil thus ?case unfolding lst-def by auto
    next
      case (Cons x xs)
        hence  $(\text{lst} (\text{snd } x, xs), ts) \in \text{seq ars}$  using seq-tail1(1) unfolding append.simps
        by fast
        thus ?case unfolding lst-def by auto
      qed
    qed

lemma seq-concat-helper:
assumes  $(s, ls) \in \text{seq ars}$  and  $ss2 \in \text{seq ars}$  and  $\text{lst} (s, ls) = \text{fst } ss2$ 
shows  $(s, ls @ \text{snd } ss2) \in \text{seq ars} \wedge (\text{lst} (s, ls @ \text{snd } ss2) = \text{lst } ss2)$ 
using assms proof (induct ls arbitrary: s ss2 rule:list.induct)
  case Nil thus ?case unfolding lst-def by auto
  next
    case (Cons x xs)
      hence  $(\text{snd } x, xs) \in \text{seq ars}$  and mem:( $s, \text{fst } x, \text{snd } x \in \text{ars}$ ) and  $\text{lst} (\text{snd } x, xs) = \text{fst } ss2$ 
      using seq-tail1[OF Cons(2)] Cons(4) by auto
      thus ?case using Cons seq.intros(2)[OF mem] unfolding lst-def by auto
    qed

lemma seq-concat:
assumes  $ss1 \in \text{seq ars}$  and  $ss2 \in \text{seq ars}$  and  $\text{lst } ss1 = \text{fst } ss2$ 
shows  $(\text{fst } ss1, \text{snd } ss1 @ \text{snd } ss2) \in \text{seq ars}$  and  $(\text{lst} (\text{fst } ss1, \text{snd } ss1 @ \text{snd } ss2) =$ 

```

```

lst ss2)
proof –
  show (fst ss1,snd ss1@snd ss2) ∈ seq ars using seq-concat-helper assms by force
  next
    show (lst (fst ss1,snd ss1@snd ss2)) = lst ss2)
      using assms surjective-pairing seq-concat-helper by metis
  qed

```

diagrams

```

definition diagram :: ('a,'b) lars ⇒ ('a,'b) seq × ('a,'b) seq × ('a,'b) seq × ('a,'b)
seq ⇒ bool
where diagram ars d = (let (τ,σ,σ',τ') = d in {σ,τ,σ',τ'} ⊆ seq ars ∧
  fst σ = fst τ ∧ lst σ = fst τ' ∧ lst τ = fst σ' ∧ lst σ' = lst τ')

```

```

definition labels :: ('a,'b) seq ⇒ 'b list
where labels ss = map fst (snd ss)

```

```

definition D2 :: 'b rel ⇒ ('a,'b) seq × ('a,'b) seq × ('a,'b) seq × ('a,'b) seq ⇒
bool
where D2 r d = (let (τ,σ,σ',τ') = d in D r (labels τ) (labels σ) (labels σ') (labels
τ'))

```

```

lemma lemma3-5-d: assumes diagram ars (τ,σ,σ',τ') and diagram ars (v,σ',σ'',v')
shows diagram ars ((fst τ,snd τ@snd v),σ,σ'',(fst τ'),snd τ'@snd v') proof –
  from assms have tau: τ ∈ seq ars and upsilon: v ∈ seq ars and o: lst τ = fst v
    and tau': τ' ∈ seq ars and upsilon': v' ∈ seq ars and l: lst τ' = fst v'
  unfolding diagram-def by auto
  show ?thesis using assms seq-concat[OF tau' upsilon' l] seq-concat[OF tau upsilon
o] unfolding diagram-def by auto
  qed

```

```

lemma lemma3-5-d-v: assumes diagram ars (τ,σ,σ',τ') and diagram ars (τ',v,v',τ'')
shows diagram ars (τ,(fst σ,snd σ@snd v),(fst σ',snd σ'@snd v'),τ'') proof –
  from assms have d1: diagram ars (σ,τ,τ',σ') and d2: diagram ars (v,τ',τ'',v')
  unfolding diagram-def by auto
  show ?thesis using lemma3-5-d[OF d1 d2] unfolding diagram-def by auto
  qed

```

```

lemma lemma3-5': assumes trans r and irrefl r and D2 r (τ,σ,σ',τ') and D2 r
(v,σ',σ'',v')
shows D2 r ((fst τ,snd τ@snd v),σ,σ'',(fst τ'),snd τ'@snd v')
  using assms lemma3-5[OF assms(1,2)] unfolding labels-def D2-def by auto

```

```

lemma lemma3-5'-v: assumes trans r and irrefl r and D2 r (τ,σ,σ',τ') and D2
r (τ',v,v',τ'')
shows D2 r (τ, (fst σ,snd σ@snd v),(fst σ',snd σ'@snd v'),τ'') proof –
  from assms(3,4) have D1:D2 r (σ,τ,τ',σ') and D2: D2 r (v,τ',τ'',v')
  unfolding D2-def using mirror-D[OF assms(1,2)] by auto
  show ?thesis using lemma3-5'[OF assms(1,2) D1 D2] mirror-D[OF assms(1,2)]

```

```

unfolding D2-def by auto
qed

lemma trivial-diagram: assumes  $\sigma \in \text{seq ars}$  shows diagram ars  $(\sigma, (\text{fst } \sigma, []), (\text{lst } \sigma, []), \sigma)$ 
  using assms seq.intros(1) unfolding diagram-def Let-def lst-def by auto

lemma trivial-D2: assumes  $\sigma \in \text{seq ars}$  shows D2 r  $(\sigma, (\text{fst } \sigma, []), (\text{lst } \sigma, []), \sigma)$ 
  using assms unfolding D2-def D-def labels-def using mul-eq-reflexive by auto

definition DD :: ('a,'b) lars  $\Rightarrow$  'b rel  $\Rightarrow$  ('a,'b) seq  $\times$  ('a,'b) seq  $\times$  ('a,'b) seq  $\times$  ('a,'b) seq  $\Rightarrow$  bool
  where DD ars r d = (diagram ars d  $\wedge$  D2 r d)

lemma lemma3-5-DD: assumes trans r and irrefl r and DD ars r  $(\tau, \sigma, \sigma', \tau')$ 
  and DD ars r  $(v, \sigma', \sigma'', v')$ 
  shows DD ars r  $((\text{fst } \tau, \text{snd } \tau @ \text{snd } v), \sigma, \sigma'', (\text{fst } \tau'), \text{snd } \tau' @ \text{snd } v')$ 
  using assms lemma3-5-d lemma3-5-[OF assms(1,2)] unfolding DD-def by fast

lemma lemma3-5-DD-v: assumes trans r and irrefl r and DD ars r  $(\tau, \sigma, \sigma', \tau')$ 
  and DD ars r  $(\tau', v, v', \tau'')$ 
  shows DD ars r  $(\tau, (\text{fst } \sigma, \text{snd } \sigma @ \text{snd } v), (\text{fst } \sigma', \text{snd } \sigma' @ \text{snd } v'), \tau'')$ 
  using assms lemma3-5-d-v lemma3-5'-v unfolding DD-def by fast

lemma trivial-DD: assumes  $\sigma \in \text{seq ars}$  shows DD ars r  $(\sigma, (\text{fst } \sigma, []), (\text{lst } \sigma, []), \sigma)$ 
  using assms trivial-diagram trivial-D2 unfolding DD-def by fast

lemma mirror-DD: assumes trans r and irrefl r and DD ars r  $(\tau, \sigma, \sigma', \tau')$  shows
  DD ars r  $(\sigma, \tau, \tau', \sigma')$ 
  using assms mirror-D unfolding DD-def D2-def diagram-def by auto

well-foundedness of rel r

definition measure :: 'b rel  $\Rightarrow$  ('a,'b) seq  $\times$  ('a,'b) seq  $\Rightarrow$  'b multiset
  where measure r P = r|labels(fst P)| + r|labels(snd P)|

definition pex :: 'b rel  $\Rightarrow$  (('a,'b) seq  $\times$  ('a,'b) seq) rel
  where pex r = {(P1,P2). (measure r P1, measure r P2)  $\in$  mul r}

lemma wf: assumes relr = pex r and  $\neg wf(\text{relr})$  shows  $\neg wf(\text{mul r})$  proof -
  have  $\neg SN((\text{relr})^{-1})$  using assms unfolding SN-iff-wf converse-converse by auto
  from this obtain s where  $\forall i. (s i, s (\text{Suc } i)) \in \text{relr}^{-1}$  unfolding SN-def
  SN-on-def by auto
  hence fact: $\forall i. (\text{measure r}(s i), \text{measure r}(s (\text{Suc } i))) \in (\text{mul r})^{-1}$  unfolding
  assms(1) pex-def by auto
  have  $\neg SN((\text{mul r})^{-1})$  using chain-imp-not-SN-on[OF fact] unfolding SN-on-def
  by auto
  thus ?thesis unfolding SN-iff-wf converse-converse by auto

```

qed

lemma wf: assumes trans r and wf r shows wf (pex r) using wf-mul[OF assms] wfi by auto

main result

definition peak :: ('a,'b) lars \Rightarrow ('a,'b) seq \times ('a,'b) seq \Rightarrow bool
where peak ars p = (let $(\tau,\sigma) = p$ in $\{\tau,\sigma\} \subseteq \text{seq ars} \wedge \text{fst } \tau = \text{fst } \sigma$)

definition local-peak :: ('a,'b) lars \Rightarrow ('a,'b) seq \times ('a,'b) seq \Rightarrow bool
where local-peak ars p = (let $(\tau,\sigma) = p$ in peak ars p \wedge length (snd τ) = 1 \wedge length (snd σ) = 1)

proof of Theorem 3.7

lemma LD-imp-D: assumes trans r and wf r and $\forall P.$ (local-peak ars P \longrightarrow ($\exists \sigma' \tau'. DD \text{ars } r (\text{fst } P, \text{snd } P, \sigma', \tau')$))
and peak ars P shows ($\exists \sigma' \tau'. DD \text{ars } r (\text{fst } P, \text{snd } P, \sigma', \tau')$) proof –
have i: irrefl r using assms(1,2) acyclic-irrefl trancl-id wf-acyclic by metis
have wf: wf (pex r) using wf[OF assms(1,2)].
show ?thesis using assms(4) proof (induct rule:wf-induct-rule[OF wf])
case (1 P)
obtain s τ σ where decompose:P = (τ,σ) and tau: $\tau \in \text{seq ars}$ and sigma: $\sigma \in \text{seq ars}$
and tau-s: fst $\tau = s$ and sigma-s: fst $\sigma = s$ using 1 unfolding peak-def by auto
show ?case proof (cases snd τ)
case Nil from mirror-DD[OF assms(1) i trivial-DD[OF sigma]]
show ?thesis using tau-s sigma-s Nil surjective-pairing unfolding decompose
fst-conv snd-conv DD-def by metis
next
case (Cons β -step v-step)
hence tau-dec: $\tau = (s, [\beta\text{-step}] @ v\text{-step})$ apply auto using tau-s surjective-pairing
by metis
hence tau2: $(s, [\beta\text{-step}] @ v\text{-step}) \in \text{seq ars}$ using tau by auto
show ?thesis proof (cases snd σ)
case Nil from trivial-DD[OF tau]
show ?thesis using tau-s sigma-s Nil surjective-pairing unfolding decompose
fst-conv snd-conv DD-def by metis
next
case (Cons α -step ϱ -step)
hence sigma-dec: $\sigma = (s, [\alpha\text{-step}] @ \varrho\text{-step})$ apply auto using sigma-s surjective-pairing by metis
hence sigma2:($s, [\alpha\text{-step}] @ \varrho\text{-step}) \in \text{seq ars}$ using sigma by auto

have alpha:($s, [\alpha\text{-step}]$) $\in \text{seq ars}$ (is ? $\alpha \in -$)
and rho: ($lst(s, [\alpha\text{-step}]), \varrho\text{-step}$) $\in \text{seq ars}$ (is ? $\varrho \in -$) using seq-chop[OF sigma2] by auto
have beta:($s, [\beta\text{-step}]$) $\in \text{seq ars}$ (is ? $\beta \in -$)
and epsilon: ($lst(s, [\beta\text{-step}]), v\text{-step}$) $\in \text{seq ars}$ (is ? $v \in -$) using seq-chop[OF

```

tau2] by auto
  have local-peak ars (?β,?α) using alpha beta unfolding local-peak-def peak-def
  by auto
    from this obtain κ μ where D:DD ars r (?β,?α,κ,μ) using assms(3) apply
    auto by metis
    hence kappa: κ∈seq ars and mu: μ∈seq ars unfolding DD-def diagram-def by
    auto
    have P-IH1: peak ars (?v,κ) using upsilon kappa D unfolding DD-def dia-
    gram-def peak-def by auto
    have beta-ne: labels ?β ≠ [] unfolding labels-def by auto
    have dec: D r (labels ?β) (labels ?α) (labels κ) (labels μ) using D unfolding
    DD-def D2-def by auto
    have x1:((?v,κ), (τ,?α)) ∈ pex r using lemma3-6[OF assms(1) beta-ne dec]
      unfolding pex-def measure-def decompose labels-def tau-dec by (simp add:
      add.commute)
    have (lexmax r (labels τ) + lexmax r (labels (?α)), lexmax r (labels τ) + lexmax
    r (labels σ)) ∈ mul-eq r (is (?l,?r) ∈ -)
      unfolding sigma-dec labels-def snd-conv list.map lexmax.simps diff-from-empty
      using assms(1) by (simp add: lemma2-6-2-a)
    hence ((?v,κ),P) ∈ pex r using x1 unfolding sigma-s pex-def measure-def
    decompose using mul-and-mul-eq-imp-mul[OF assms(1)] by auto
    from this obtain κ' v' where IH1: DD ars r (?v,κ,κ',v') using 1(1)[OF -
    P-IH1] unfolding decompose by auto
    hence kappa':κ'∈seq ars and upsilon': v'∈seq ars using D unfolding DD-def
    diagram-def by auto
    have tau': (fst μ,snd μ@ (snd v')) ∈ seq ars (is ?τ' ∈ -) using seq-concat(1)[OF
    mu upsilon'] D IH1 unfolding DD-def diagram-def by auto
    have DIH1: DD ars r (τ,?α,κ',?τ') using lemma3-5-DD[OF assms(1) i D IH1]
    tau-dec by auto
    hence dec-dih1: D r (labels τ) (labels ?α) (labels κ') (labels ?τ') using DIH1
    unfolding DD-def D2-def by simp

    have P-IH2: peak ars (?τ',?ρ) using tau' rho D unfolding DD-def diagram-def
    peak-def by auto
    have alpha-ne: labels ?α ≠ [] unfolding labels-def by simp
    have ((?τ',?ρ),P) ∈ pex r using lemma3-6-v[OF assms(1) i alpha-ne dec-dih1]
    unfolding pex-def measure-def decompose labels-def sigma-dec by auto
    from this obtain ρ' τ'' where IH2: DD ars r (?τ',?ρ,ρ',τ'') using 1(1)[OF -
    P-IH2] by auto
    show ?thesis using lemma3-5-DD-v[OF assms(1) i DIH1 IH2] unfolding
    decompose fst-conv snd-conv sigma-dec by fast
    qed
    qed
    qed
    qed

```

CR with unlabeling

```

definition unlabel :: ('a,'b) lars ⇒ 'a rel
  where unlabel ars = {(a,c). ∃ b. (a,b,c) ∈ ars}

```

```

lemma step-imp-seq: assumes (a,b) ∈ (unlabel ars)
shows ∃ ss ∈ seq ars. fst ss = a ∧ lst ss = b proof -
  obtain α where step:(a,α,b) ∈ ars using assms unfolding unlabel-def by auto
  hence ss: (a,[(α,b)]) ∈ seq ars (is ?ss ∈ -) using seq.intros by fast
  have fst ?ss = a and lst ?ss = b unfolding lst-def by auto
  thus ?thesis using ss unfolding lst-def by fast
qed

lemma steps-imp-seq: assumes (a,b) ∈ (unlabel ars) ^*
shows ∃ ss ∈ seq ars. fst ss = a ∧ lst ss = b using assms(1) proof
  fix n assume A: (a,b) ∈ (unlabel ars) ^n thus ?thesis proof (induct n arbitrary:
a b ars)
  case 0 hence eq: a = b by auto
  have (a,[]) ∈ seq ars using seq.intros(1) by fast
  thus ?case using fst-eqD snd-conv lst-def eq by metis
  next
  case (Suc m)
  obtain c where steps: (a,c) ∈ (unlabel ars) ^m and step: (c,b) ∈ (unlabel ars)
  using Suc by auto
  obtain ss ts where ss1: ss ∈ seq ars and ss2: fst ss = a
  and ts1: ts ∈ seq ars and ts3: lst ts = b and eq: lst ss = fst ts
  using Suc steps step-imp-seq[OF step] by metis
  show ?case using seq-concat[OF ss1 ts1 eq] unfolding ss2 ts3 by force
qed
qed

lemma step-imp-unlabeled-step: assumes (a,b,c) ∈ ars shows (a,c) ∈ (unlabel
ars)
using assms unfolding unlabel-def by auto

lemma seq-imp-steps:
assumes ss ∈ seq ars and fst ss = a and lst ss = b shows (a,b) ∈ (unlabel ars) ^*
proof -
  from assms surjective-pairing obtain ls where (a,ls) ∈ seq (ars) and lst (a,ls)
= b by metis
  thus ?thesis proof (induct ls arbitrary: a b rule:list.induct)
  case Nil thus ?case unfolding lst-def by auto
  next
  case (Cons x xs)
  have fst:(a,fst x,snd x) ∈ ars using Cons seq-tail1(2) surjective-pairing by metis
  have (snd x,b) ∈ (unlabel ars) ^* using Cons seq-tail1(1,3) by metis
  thus ?case using step-imp-unlabeled-step[OF fst] by auto
qed
qed

lemma seq-vs-steps: shows (a,b) ∈ (unlabel ars) ^* = (∃ ss. fst ss = a ∧ lst ss =
b ∧ ss ∈ seq ars)
using seq-imp-steps steps-imp-seq by metis

```

```

lemma D-imp-CR: assumes  $\forall P. (\text{peak ars } P \longrightarrow (\exists \sigma' \tau'. \text{DD ars } r (\text{fst } P, \text{snd } P, \sigma', \tau')))$  shows CR (unlabel ars) proof
  fix a b c assume A:  $(a, b) \in (\text{unlabel ars})^*$  and B:  $(a, c) \in (\text{unlabel ars})^*$  show
     $(b, c) \in (\text{unlabel ars})^*$  proof -
      obtain ss1 ss2 where peak ars (ss1,ss2) and b: lst ss1 = b and c: lst ss2 = c
      unfolding peak-def using A B unfolding seq-vs-steps by auto
      from this obtain ss3 ss4 where dia: diagram ars (ss1,ss2,ss3,ss4) using
        assms(1) unfolding DD-def apply auto using surjective-pairing by metis
      from dia obtain d where ss3: ss3  $\in$  seq ars and ss4: ss4  $\in$  seq ars
      and ss3-1: fst ss3 = b and ss3-2: lst ss3 = d and ss4-1: fst ss4 = c and
        ss4-2: lst ss4 = d
      using b c unfolding diagram-def by auto
      show ?thesis using seq-imp-steps[OF ss3 ss3-1 ss3-2] seq-imp-steps[OF ss4 ss4-1
        ss4-2] by auto
      qed
      qed

definition LD :: 'b set  $\Rightarrow$  'a rel  $\Rightarrow$  bool
  where LD L ars =  $(\exists (r: ('b rel)) (lrs: ('a, 'b) lars). (ars = \text{unlabel lrs}) \wedge trans$ 
     $r \wedge wf r \wedge (\forall P. (\text{local-peak lrs } P \longrightarrow (\exists \sigma' \tau'. (\text{DD lrs } r (\text{fst } P, \text{snd } P, \sigma', \tau')))))$ )

```

```

lemma sound: assumes LD L ars shows CR ars
  using assms LD-imp-D D-imp-CR unfolding LD-def by metis

```

1.1.5 Application: Newman's Lemma

```

lemma measure:
  assumes lab-eq: lrs =  $\{(a, c, b). c = a \wedge (a, b) \in ars\}$  and  $(s, (\alpha, t) \# ss) \in \text{seq lrs}$ 
  shows set (labels (t,ss))  $\subseteq ds((ars^+)^{-1}) \{\alpha\}$  using assms(2) proof (induct ss
  arbitrary: s  $\alpha$  t )
  case Nil thus ?case unfolding labels-def by auto
  next
  case (Cons x xs)
  from this obtain  $\beta u$  where  $x : x = (\beta, u)$  using surjective-pairing by metis
  have t: trans  $((ars^+)^{-1})$  by (metis trans-on-converse trans-trancl)
  from Cons(1) x have s0:  $(s, \alpha, t) \in lrs$  and cs:(t,(\beta,u)\#xs)  $\in \text{seq lrs}$  using
    Cons.premises seq-tail1(1) snd-conv fst-conv seq-tail1(2) by auto
  have ih: set (labels (u, xs))  $\subseteq ds((ars^+)^{-1}) \{\beta\}$  using Cons(1)[OF cs] by auto
  have key:  $\{\beta\} \subseteq ds((ars^+)^{-1}) \{\alpha\}$  using s0 cs seq-tail1(2)[OF cs] unfolding
    ds-def lab-eq by auto
  show ?case using ih subset-imp-ds-subset[OF t key] key unfolding x labels-def
  by auto
  qed

lemma newman: assumes WCR ars and SN ars shows CR ars proof -
  from assms obtain L where L =  $\{a . \exists b. (a, b) \in ars\}$  by auto
  from assms obtain lrs where lab-eq:  $(lrs = \{(a, c, b). c = a \wedge (a, b) \in ars\})$  by
    auto

```

```

have lab: ars = unlabel lrs unfolding unlabel-def lab-eq by auto
have t: trans ((ars+)-1) using trans-on-converse trans-trancl by auto
have w: wf ((ars+)-1) using assms(2) wf-trancl trancl-converse unfolding
SN-iff-wf by metis
have ps: ∀ P. (local-peak lrs P --> (exists σ' τ'. DD lrs ((ars+)-1) (fst P, snd
P, σ', τ'))) proof
fix P show local-peak lrs P --> (exists σ' τ'. DD lrs ((ars+)-1) (fst P, snd P, σ', τ'))
proof
assume A: local-peak lrs P show (exists σ' τ'. DD lrs ((ars+)-1) (fst P, snd
P, σ', τ')) (is ?DD) proof -
from lab-eq have lab: ars = unlabel lrs unfolding unlabel-def by auto
from A obtain τ σ where ts: {τ, σ} ⊆ seq lrs and l1: length (snd τ) = 1 and
l2: length (snd σ) = 1 and P: P = (τ, σ)
and p: fst τ = fst σ unfolding local-peak-def peak-def by auto
from l1 obtain β b where 1: snd τ = [(β, b)] by (auto simp add: length-Suc-conv)
from this obtain a where tau: τ = (a, [(β, b)]) by (metis surjective-pairing)
hence alb: (a, β, b) ∈ lrs using ts by (metis fst-conv insert-subset seq-tail1(2)
snd-conv)
have ab: (a, b) ∈ ars and a-eq: a = β using alb unfolding lab-eq by auto
from l2 obtain α c where 2: snd σ = [(α, c)] by (auto simp add: length-Suc-conv)
hence sigma: σ = (a, [(α, c)]) using ts by (metis fst-conv p prod.collapse tau)
hence alc: (a, α, c) ∈ lrs using ts by (metis fst-conv insert-subset seq-tail1(2)
snd-conv)
hence ac: (a, c) ∈ ars and a-eq: a = α using alb unfolding lab-eq by auto
from tau sigma have fl: fst τ = a ∧ fst σ = a ∧ lst τ = b ∧ lst σ = c unfolding
lst-def by auto
from ab ac obtain d where (b, d) ∈ ars* and (c, d) ∈ ars* using assms(1)
by auto
from this obtain σ' τ' where sigma': σ' ∈ seq lrs and sigma'1: fst σ' = b
and lst σ' = d
and tau': τ' ∈ seq lrs and fst τ' = c and lst τ' = d using
steps-imp-seq unfolding lab by metis
hence d: diagram lrs (fst P, snd P, σ', τ') using P A ts fl unfolding
local-peak-def peak-def diagram-def by auto
have s1:(a, (β, b) # snd σ') ∈ seq lrs using ⟨fst σ' = b⟩ seq.intros(2)[OF alb]
sigma' by auto
have vv: set (labels σ') ⊆ ds ((ars+)-1) {β} using measure[OF lab-eq s1]
by (metis ⟨fst σ' = b⟩ surjective-pairing)
have s2:(a, (α, c) # snd τ') ∈ seq lrs using ⟨fst τ' = c⟩ seq.intros(2)[OF alc]
tau' by auto
hence ww: set (labels τ') ⊆ ds ((ars+)-1) {α} using measure[OF lab-eq] s2
by (metis ⟨fst τ' = c⟩ surjective-pairing)
from w have i: irrefl ((ars+)-1) by (metis SN-imp-acyclic acyclic-converse
acyclic-irrefl assms(2) trancl-converse)

```

```

from vv ww have ld: LD' ((ars^+)-1) β α (labels σ') [] [] (labels τ') [] []
unfolding LD'-def LD-1'-def by auto
have D: D ((ars^+)-1) (labels (fst P)) (labels (snd P)) (labels σ') (labels τ')
using proposition3-4[OF t i ld] unfolding P sigma tau lst-def labels-def by auto

from d D have DD lrs ((ars^+)-1) (fst P, snd P, σ', τ') unfolding DD-def
D2-def by auto
thus ?thesis by fast
qed
qed
qed
have LD L ars using lab t w ps unfolding LD-def by fast
thus ?thesis using sound by auto
qed

```

1.2 Conversion Version

This section follows [2].

auxiliary results on multisets

```

lemma mul-eq-add-right: (M,M+P) ∈ mul-eq r proof -
have M = M + {#} set-mset {#} ⊆ dm r P by auto
thus ?thesis unfolding mul-eq-def by fast
qed

```

```

lemma mul-add-right: assumes (M,N) ∈ mul r shows (M,N+P) ∈ mul r proof
-
from assms obtain I J K where M = I + K N = I + J set-mset K ⊆ dm r J
J ≠ {#} unfolding mul-def by auto
hence b: M = I + K N + P = I + (J + P) set-mset K ⊆ ds r (set-mset J ∪
set-mset P) J+P ≠ {#} unfolding dm-def lemma2-6-1-set using union-assoc by
auto
hence set-mset K ⊆ ds r (set-mset (J+P)) by auto
thus ?thesis using b unfolding mul-def unfolding dm-def by fast
qed

```

```

lemma mul-eq-and-ds-imp-ds:
assumes t: trans r and (M,N) ∈ mul-eq r and set-mset N ⊆ ds r S
shows set-mset M ⊆ ds r S proof -
from assms obtain I J K where a: M = I + K and N = I + J and c: set-mset
K ⊆ dm r J unfolding mul-eq-def by auto
hence k1:set-mset I ⊆ ds r S set-mset J ⊆ ds r S using assms by auto
hence ds r (set-mset J) ⊆ ds r S using subset-imp-ds-subset[OF t] by auto
thus ?thesis using k1 a c unfolding dm-def by auto
qed

```

```

lemma lemma2-6-2-set: assumes S ⊆ T shows ds r S ⊆ ds r T using assms
unfolding ds-def by auto

```

lemma *leq-imp-subseteq*: **assumes** $M \subseteq\# N$ **shows** *set-mset* $M \subseteq$ *set-mset* N
using *assms mset-subset-eqD by auto*

lemma *mul-add-mul-eq-imp-mul*: **assumes** $(M,N) \in \text{mul } r$ **and** $(P,Q) \in \text{mul-eq } r$
shows $(M+P,N+Q) \in \text{mul } r$ **proof** –
from *assms(1)* **obtain** IJK **where** $a:M = I + K$ $N = I + J$ *set-mset* $K \subseteq dm$
 $r J J \neq \{\#\}$ **unfolding** *mul-def* **by** *auto*
from *assms(2)* **obtain** $I2J2K2$ **where** $b:P = I2 + K2$ $Q = I2 + J2$ *set-mset*
 $K2 \subseteq dm r J2$ **unfolding** *mul-eq-def* **by** *auto*
have $M + P = (I + I2) + (K + K2)$ **using** *a b union-commute union-assoc* **by**
metis
moreover have $N + Q = (I + I2) + (J + J2)$ **using** *a b union-commute*
union-assoc **by** *metis*
moreover have *set-mset* $(K + K2) \subseteq dm r (J + J2)$ **using** *a b unfolding*
lemma2-6-1-multiset **by** *auto*
ultimately show *?thesis* **using** *a b unfolding mul-def* **by** *fast*
qed

labeled conversion

type-synonym $('a,'b) conv = ('a \times ((bool \times 'b \times 'a) list))$

inductive-set $conv :: ('a,'b) lars \Rightarrow ('a,'b) conv$ **set for** *ars*
where $(a,[]) \in conv$ *ars*
 $| (a,\alpha,b) \in ars \Rightarrow (b,ss) \in conv$ *ars* $\Rightarrow (a,(True,\alpha,b) \# ss) \in conv$ *ars*
 $| (b,\alpha,a) \in ars \Rightarrow (b,ss) \in conv$ *ars* $\Rightarrow (a,(False,\alpha,b) \# ss) \in conv$ *ars*

definition $labels\text{-}conv :: ('a,'b) conv \Rightarrow 'b list$
where $labels\text{-}conv c = map (\lambda q. (fst (snd q))) (snd c)$

definition $measure\text{-}conv :: 'b rel \Rightarrow ('a,'b) conv \Rightarrow 'b multiset$
where $measure\text{-}conv r c = lexmax r (labels\text{-}conv c)$

fun $lst\text{-}conv :: ('a,'b) conv \Rightarrow 'a$
where $lst\text{-}conv (s,[]) = s$
 $| lst\text{-}conv (s,(d,\alpha,t) \# ss) = lst\text{-}conv (t,ss)$

definition $local\text{-}diagram1 :: ('a,'b) lars \Rightarrow ('a,'b) seq \Rightarrow ('a,'b) seq \Rightarrow ('a,'b) seq$
 $\Rightarrow ('a,'b) seq \Rightarrow ('a,'b) seq \Rightarrow bool$
where $local\text{-}diagram1 ars \beta \alpha \sigma1 \sigma2 \sigma3 =$
 $(local\text{-}peak ars (\beta,\alpha) \wedge \{\sigma1,\sigma2,\sigma3\} \subseteq seq ars \wedge lst \beta = fst \sigma1 \wedge lst \sigma1 = fst \sigma2$
 $\wedge lst \sigma2 = fst \sigma3)$

definition $LDI :: ('a,'b) lars \Rightarrow 'b rel \Rightarrow ('a,'b) seq \Rightarrow ('a,'b) seq \Rightarrow ('a,'b) seq$
 $\Rightarrow ('a,'b) seq \Rightarrow ('a,'b) seq \Rightarrow bool$
where $LDI ars r \beta \alpha \sigma1 \sigma2 \sigma3 = (local\text{-}diagram1 ars \beta \alpha \sigma1 \sigma2 \sigma3 \wedge$
 $LD-1' r (hd (labels \beta)) (hd (labels \alpha)) (labels \sigma1) (labels \sigma2) (labels \sigma3))$

definition $LDD :: ('a,'b) lars \Rightarrow 'b rel \Rightarrow ('a,'b) seq \times ('a,'b) seq \times ('a,'b) seq \times$
 $('a,'b) seq \times ('a,'b) seq \times ('a,'b) seq \times ('a,'b) seq \times ('a,'b) seq \Rightarrow bool$

where $LD\Delta ars r d = (\text{let } (\beta, \alpha, \sigma_1, \sigma_2, \sigma_3, \tau_1, \tau_2, \tau_3) = d \text{ in } LD\Delta 1 ars r \beta \alpha \sigma_1 \sigma_2 \sigma_3 \wedge LD\Delta 1 ars r \alpha \beta \tau_1 \tau_2 \tau_3 \wedge \text{lst } \sigma_3 = \text{lst } \tau_3)$

definition $local\text{-triangle}1 :: ('a, 'b) lars \Rightarrow ('a, 'b) seq \Rightarrow ('a, 'b) seq \Rightarrow ('a, 'b) conv \Rightarrow ('a, 'b) seq \Rightarrow ('a, 'b) conv \Rightarrow \text{bool}$

where $local\text{-triangle}1 ars \beta \alpha \sigma_1 \sigma_2 \sigma_3 =$

$(\text{local-peak } ars (\beta, \alpha) \wedge \sigma_2 \in \text{seq } ars \wedge \{\sigma_1, \sigma_3\} \subseteq \text{conv } ars \wedge \text{lst } \beta = \text{fst } \sigma_1 \wedge \text{lst-conv } \sigma_1 = \text{fst } \sigma_2 \wedge \text{lst } \sigma_2 = \text{fst } \sigma_3)$

definition $LT1 :: ('a, 'b) lars \Rightarrow 'b rel \Rightarrow ('a, 'b) seq \Rightarrow ('a, 'b) seq \Rightarrow ('a, 'b) conv \Rightarrow ('a, 'b) seq \Rightarrow ('a, 'b) conv \Rightarrow \text{bool}$

where $LT1 ars r \beta \alpha \sigma_1 \sigma_2 \sigma_3 = (local\text{-triangle}1 ars \beta \alpha \sigma_1 \sigma_2 \sigma_3 \wedge$

$LD\Delta 1' r (\text{hd } (\text{labels } \beta)) (\text{hd } (\text{labels } \alpha)) (\text{labels-conv } \sigma_1) (\text{labels } \sigma_2) (\text{labels-conv } \sigma_3))$

definition $LT :: ('a, 'b) lars \Rightarrow 'b rel \Rightarrow ('a, 'b) seq \times ('a, 'b) seq \times ('a, 'b) conv \times ('a, 'b) seq \times ('a, 'b) conv \times ('a, 'b) conv \times ('a, 'b) seq \times ('a, 'b) conv \Rightarrow \text{bool}$

where $LT ars r t = (\text{let } (\beta, \alpha, \sigma_1, \sigma_2, \sigma_3, \tau_1, \tau_2, \tau_3) = t \text{ in } LT1 ars r \beta \alpha \sigma_1 \sigma_2 \sigma_3 \wedge LT1 ars r \alpha \beta \tau_1 \tau_2 \tau_3 \wedge \text{lst-conv } \sigma_3 = \text{lst-conv } \tau_3)$

lemma $\text{conv-tail}1$: **assumes** $\text{conv}: (s, (d, \alpha, t) \# xs) \in \text{conv } ars$

shows $(t, xs) \in \text{conv } ars \text{ and } d \Rightarrow (s, \alpha, t) \in \text{ars} \text{ and } \neg d \Rightarrow (t, \alpha, s) \in \text{ars} \text{ and } \text{lst-conv } (s, (d, \alpha, t) \# xs) = \text{lst-conv } (t, xs)$ **proof** –

show $(t, xs) \in \text{conv } ars$ **using assms by** (cases) auto

show $d \Rightarrow (s, \alpha, t) \in \text{ars}$ **using assms by** (cases) auto

show $\neg d \Rightarrow (t, \alpha, s) \in \text{ars}$ **using assms by** (cases) auto

show $\text{lst-conv } (s, (d, \alpha, t) \# xs) = \text{lst-conv } (t, xs)$ **unfolding** lst-conv.simps **by** auto

qed

lemma conv-chop : **assumes** $(s, ss1 @ ss2) \in \text{conv } ars$ **shows** $(s, ss1) \in \text{conv } ars$ $(\text{lst-conv } (s, ss1), ss2) \in \text{conv } ars$ **proof** –

show $(s, ss1) \in \text{conv } ars$ **using assms proof** (induct ss1 arbitrary: s)

case Nil **thus** ?case **using conv.intros by** fast

next

case $(\text{Cons } t' ts)$ **from this obtain** $d \alpha t$ **where** $\text{dec}: t' = (d, \alpha, t)$ **using** $\text{prod-cases3 by metis}$

from Cons **have** $(s, t' \# ts @ ss2) \in \text{conv } ars$ **by** auto

hence $(t, ts @ ss2) \in \text{conv } ars$ **and** $d1: d \Rightarrow (s, \alpha, t) \in \text{ars}$ **and** $d2: \neg d \Rightarrow (t, \alpha, s) \in \text{ars}$ **using** $\text{conv-tail}1(1-3)$ **unfolding** dec **by** auto

hence $(t, ts) \in \text{conv } ars$ **using** Cons **by** auto

thus ?case **unfolding** dec **using** Cons conv.intros d1 d2 **by** (cases d) auto

qed

show $(\text{lst-conv } (s, ss1), ss2) \in \text{conv } ars$ **using assms proof** (induct ss1 arbitrary: s)

case Nil **thus** ?case **using conv.intros unfolding last.simps by** auto

next

case $(\text{Cons } t' ts)$ **from this obtain** $d \alpha t$ **where** $\text{dec}: t' = (d, \alpha, t)$ **using** $\text{prod-cases3 by metis}$

from Cons **have** $(s, t' \# ts @ ss2) \in \text{conv } ars$ **by** auto

hence $(\text{snd } (\text{snd } t'), ts @ ss2) \in \text{conv } ars$ **using** $\text{conv-tail}1(1)$ **unfolding** dec

```

by auto
thus ?case using Cons(1) unfolding dec last.simps by auto
qed
qed

lemma conv-concat-helper:
assumes (s,ls) ∈ conv ars and ss2 ∈ conv ars and lst-conv (s,ls) = fst ss2
shows (s,ls@snd ss2) ∈ conv ars ∧ (lst-conv (s,ls@snd ss2) = lst-conv ss2)
using assms proof (induct ls arbitrary: s ss2 rule:list.induct)
case Nil thus ?case unfolding lst-def by auto
next
case (Cons x xs) from this obtain d α t where dec: x = (d,α,t) using prod-cases3
by metis
hence tl: (t,xs) ∈ conv ars and d1:d ⇒ (s,α,t) ∈ ars and d2: ¬d ⇒ (t,α,s)
∈ ars and lst:lst-conv (t,xs) = fst ss2
using conv-tail1 Cons(2) Cons(4) by auto
have (t,xs@snd ss2) ∈ conv ars and lst: lst-conv (t,xs@snd ss2) = lst-conv ss2
using Cons(1)[OF tl Cons(3) lst] by auto
thus ?case using conv.intros d1 d2 unfolding dec lst-conv.simps by (cases d)
auto
qed

lemma conv-concat:
assumes ss1 ∈ conv ars and ss2 ∈ conv ars and lst-conv ss1 = fst ss2
shows (fst ss1,snd ss1@snd ss2) ∈ conv ars and (lst-conv (fst ss1,snd ss1@snd ss2) = lst-conv ss2)
proof -
show (fst ss1,snd ss1@snd ss2) ∈ conv ars using conv-concat-helper assms by
force
next
show (lst-conv (fst ss1,snd ss1@snd ss2) = lst-conv ss2)
using assms surjective-pairing conv-concat-helper by metis
qed

lemma conv-concat-labels:
assumes ss1 ∈ conv ars and ss2 ∈ conv ars and set (labels-conv ss1) ⊆ S and
set (labels-conv ss2) ⊆ T
shows set (labels-conv (fst ss1,snd ss1@snd ss2)) ⊆ S ∪ T using assms unfolding
labels-conv-def by auto

lemma seq-decompose:
assumes σ ∈ seq ars and labels σ = σ1'@σ2'
shows ∃ σ1 σ2. ({σ1,σ2} ⊆ seq ars ∧ σ = (fst σ1,snd σ1@snd σ2) ∧ lst σ1 =
fst σ2 ∧ lst σ2 = lst σ ∧ labels σ1 = σ1' ∧ labels σ2 = σ2') proof -
obtain s ss where σ-dec: σ = (s,ss) using assms(1) surjective-pairing by metis
show ?thesis using assms unfolding σ-dec proof (induct ss arbitrary: s σ1' σ2'
rule:list.induct)
case Nil thus ?case unfolding labels-def lst-def by auto
next

```

```

case (Cons x xs)
  have step: (s,x) ∈ ars and x:(snd x, xs) ∈ seq ars using seq-tail1[OF Cons(2)]
  surjective-pairing by auto
  hence steps: (s,[x]) ∈ seq ars by (metis Cons(2) append-Cons append-Nil seq-chop(1))
    from Cons(3) have a:fst x#labels (snd x, xs) = σ1'@σ2' unfolding labels-def
    snd-conv by auto
    show ?case proof (cases σ1' =[])
      case True
        from a True obtain l ls where σ2'-dec: σ2' = l#ls and y1:fst x = l and
        y2:labels (snd x, xs) = []@ls by auto
        obtain σ1 σ2 where ih: σ1 ∈ seq ars σ2 ∈ seq ars (snd x, xs) = (fst σ1, snd
        σ1 @ snd σ2) lst σ1 = fst σ2
          labels σ1 = [] labels σ2 = ls using Cons(1)[OF x y2] by blast
        hence c:fst (snd x, xs) = fst σ1 by auto

        have 1: σ1 = (snd x, []) using ih unfolding labels-def apply auto by (metis
        surjective-pairing)
        hence 2: snd x = fst σ1 xs = snd σ2 using ih by auto
        have 3: snd x = fst σ2 using ih 1 unfolding lst-def by auto
        have σ2 = (snd x, xs) using x 1 2 3 surjective-pairing by metis
        hence l:lst (s, [x]) = fst σ2 unfolding lst-def by auto
        have m:{(s,[]), (s,x# snd σ2)} ⊆ seq ars (is {?σ1,?σ2} ⊆ -) using seq.intros(1)
        seq-concat(1)[OF steps - l] ih by auto
        moreover have (s, x # xs) = (fst ?σ1, snd ?σ1 @ snd ?σ2) using m 2 by
        auto
        moreover have lst ?σ1 = fst ?σ2 using m unfolding lst-def by auto
        moreover have lst ?σ2 = lst (s,x#xs) unfolding lst-def using 2 3 by auto
        moreover have labels (s,[]) = σ1' unfolding labels-def using True by auto
        moreover have labels ?σ2 = σ2' using ih y1 unfolding σ2'-dec labels-def by
        auto
        ultimately show ?thesis by metis
      next
        case False from False obtain l ls where σ1'-dec:σ1' = l#ls using list.exhaust
        by auto
        hence y1:fst x = l and y2:labels (snd x, xs) = ls @ σ2' using a by auto
        obtain σ1 σ2 where ih: σ1 ∈ seq ars σ2 ∈ seq ars (snd x, xs) = (fst σ1, snd
        σ1 @ snd σ2)
          lst σ1 = fst σ2 lst σ2 = lst (snd x, xs) labels σ1 = ls labels σ2 = σ2' using
          Cons(1)[OF x y2] by blast
        hence {(s,x# snd σ1),σ2} ⊆ seq ars (is {?σ1,-} ⊆ seq ars) using seq-concat(1)[OF
        steps] ih unfolding lst-def by auto
        moreover have (s,x#xs) = (fst ?σ1,snd ?σ1@snd σ2) using ih by auto
        moreover have lst ?σ1 = fst σ2 using ih unfolding lst-def by auto
        moreover have lst σ2 = lst (s, x # xs) using ih unfolding lst-def by auto
        moreover have labels ?σ1 = σ1' using ih σ1'-dec y1 unfolding labels-def by
        auto
        moreover have labels σ2 = σ2' using ih by auto
        ultimately show ?thesis by blast
      qed

```

```

qed
qed

lemma seq-imp-conv:
assumes (s,ss) ∈ seq ars
shows (s,map (λstep. (True,step)) ss) ∈ conv ars ∧
      lst-conv (s,map (λstep.(True,step)) ss) = lst (s,ss) ∧
      labels (s,ss) = labels-conv (s,map (λstep.(True,step)) ss)
using assms proof (induct ss arbitrary:s rule:list.induct )
  case Nil show ?case unfolding lst-def labels-def labels-conv-def apply auto
  using conv.intros by fast
next
  case (Cons t' ts) have t'-dec: t' = (fst t',snd t') using surjective-pairing by auto
    have step: (s,fst t',snd t') ∈ ars and x:(snd t',ts) ∈ seq ars using seq-tail1[OF Cons(2)] by auto
    have y1:(snd t', map (Pair True) ts) ∈ conv ars and
        y2: lst (snd t', ts) = lst-conv (snd t', map (Pair True) ts) and
        y3: labels (snd t', ts) = labels-conv (snd t', map (Pair True) ts) using
    Cons(1)[OF x] by auto
    have k: (s,(True,fst t',snd t')#map (Pair True) ts) ∈ conv ars using step y1
    conv.intros by fast
    moreover have lst (s,(fst t',snd t')#ts) = lst-conv (s, map (Pair True) ((fst t',snd t')#ts)) using y2 unfolding list.map lst-def lst-conv.simps by auto
    moreover have labels (s,(fst t',snd t')#ts) = labels-conv (s, map (Pair True) ((fst t',snd t')#ts)) using y3 unfolding list.map labels-def labels-conv-def by auto
    ultimately show ?case by auto
qed

fun conv-mirror :: ('a,'b) conv ⇒ ('a,'b) conv
where conv-mirror σ = (let (s,ss) = σ in case ss of
  [] ⇒ (s,ss)
  | x#xs ⇒ let (d,α,t) = x in
    (fst (conv-mirror (t,xs)),snd (conv-mirror (t,xs))@[¬d,α,s])))

lemma conv-mirror: assumes σ ∈ conv ars
shows conv-mirror σ ∈ conv ars ∧
      set (labels-conv (conv-mirror σ)) = set (labels-conv σ) ∧
      fst σ = lst-conv (conv-mirror σ) ∧
      lst-conv σ = fst (conv-mirror σ) proof -
from assms obtain s ss where σ-dec: σ = (s,ss) using surjective-pairing by metis
show ?thesis using assms unfolding σ-dec proof (induct ss arbitrary:s rule:list.induct )
  case Nil thus ?case using conv.intros conv-mirror.simps by auto
next
  case (Cons t' ts) from this obtain d α t where t'-dec: t' = (d,α,t) by (metis prod-cases3)
    have 1:(t, ts) ∈ conv ars and 2: d ⇒ (s,α,t) ∈ ars and 3: ¬d ⇒ (t,α,s) ∈ ars and 4:lst-conv (s,t'#ts) = lst-conv (t,ts)
    using Cons(2) conv-tail1 unfolding t'-dec by auto

```

```

have r:  $(t, [(\neg d, \alpha, s)]) \in conv\ ars$  using 2 3  $conv.intros(3)[OF - conv.intros(1)]$ 
 $conv.intros(2)[OF - conv.intros(1)]$  by (cases d) auto
have conv-mirror  $(s, t' \# ts) \in conv\ ars$  using conv-concat[ $OF - r$ ] Cons(1)[ $OF$ 
1]  $t'\text{-dec}$  by auto
moreover have set (labels-conv (conv-mirror  $(s, t' \# ts)$ )) = set (labels-conv
 $(s, t' \# ts)$ ) using Cons(1)[ $OF$  1] unfolding labels-conv-def  $t'\text{-dec}$  by auto
moreover have fst  $(s, t' \# ts) = lst\text{-conv} (conv\text{-mirror} (s, t' \# ts))$  using  $t'\text{-dec}$ 
Cons(1)[ $OF$  1] conv-concat(2)[ $OF - r$ ] by auto
moreover have lst-conv  $(s, t' \# ts) = fst (conv\text{-mirror} (s, t' \# ts))$  using  $t'\text{-dec}$ 
4 Cons(1)[ $OF$  1] by auto
ultimately show ?case by auto
qed
qed

```

lemma DD-subset-helper:

assumes t:trans r **and** $(r|\tau @ \sigma'|, r|\tau| + r|\sigma|) \in mul\text{-eq } r$ **and** set-mset $(r|\tau| + r|\sigma|) \subseteq ds\ r\ S$

shows set-mset $r|\sigma'| \subseteq ds\ r\ S$ **proof** –

from assms have d: $(r|\tau| + r|\sigma'| - s\ dl\ r(\tau), r|\tau| + r|\sigma|) \in mul\text{-eq } r$ **unfolding** lemma3-2-2 **by** auto

from assms have assm:set-mset $(r|\tau| + r|\sigma|) \subseteq ds\ r\ S$ **unfolding** measure-def **by** auto

hence tau:ds r (set-mset $r|\tau|) \subseteq ds\ r\ S **using** subset-imp-ds-subset[$OF\ t$] **by** auto$

have set-mset $(r|\tau| + (r|\sigma'| - s\ dl\ r\ \tau)) \subseteq ds\ r\ S$ **using** mul-eq-and-ds-imp-ds[$OF\ t\ d\ assm$] **by** auto

hence set-mset $(r|\sigma'| - s\ ds\ r\ (set\ \tau)) \subseteq ds\ r\ S$ **unfolding** dl-def **by** auto

hence set-mset $(r|\sigma'| - s\ ds\ r\ (set\ \tau)) \cup ds\ r\ (set\ \tau) \subseteq ds\ r\ S$ **using** tau **by** (metis t dl-def dm-def le-sup-iff lemma3-2-1)

thus ?thesis **unfolding** diff-def **by** auto

qed

lemma DD-subset-ds:

assumes t:trans r **and** DD: DD ars r $(\tau, \sigma, \sigma', \tau')$ **and** set-mset (measure r (τ, σ)) $\subseteq ds\ r\ S$ **shows** set-mset (measure r (σ', τ')) $\subseteq ds\ r\ S$ **proof** –

have d1:($r|\text{labels}\ \tau @ \text{labels}\ \sigma'|, r|\text{labels}\ \tau| + r|\text{labels}\ \sigma|) \in mul\text{-eq } r$ **using** DD **unfolding** DD-def D2-def D-def **by** auto

have d2:($r|\text{labels}\ \sigma @ \text{labels}\ \tau'|, r|\text{labels}\ \sigma| + r|\text{labels}\ \tau|) \in mul\text{-eq } r$ **using** DD **unfolding** DD-def D2-def D-def **by** (auto simp: union-commute)

show ?thesis **using** DD-subset-helper[$OF\ t\ d1$] DD-subset-helper[$OF\ t\ d2$] assms(3) **unfolding** measure-def **by** auto

qed

lemma conv-imp-valley:

assumes t: trans r

and IH: $\exists y. ((y, ((s, [\alpha\text{-step}] @ \varrho\text{-step}), (s, [\beta\text{-step}] @ v\text{-step}))) \in pex\ r \implies peak\ ars\ y \implies \exists \sigma' \tau'. DD\ ars\ r\ (fst\ y, snd\ y, \sigma', \tau'))$ (**is** $\exists y. ((y, ?P) \in - \implies - \implies -)$)

and $\delta 1 \in conv\ ars$

```

and set-mset (measure-conv r δ1) ⊆ dm r M
and (M,{#fst α-step,fst β-step#}) ∈ mul-eq r
shows ∃ σ τ. ({σ,τ} ⊆ seq ars ∧ fst σ = fst δ1 ∧ fst τ = lst-conv δ1 ∧ lst σ = lst τ ∧ set-mset (measure r (σ,τ)) ⊆ dm r M) proof -
from assms obtain s ss where sigma1: δ1 = (s,ss) using surjective-pairing by metis
show ?thesis using assms(3,4) unfolding sigma1 proof (induct ss arbitrary: s rule:list.induct)
case Nil
hence (s,[]) ∈ seq ars using seq.intros(1) by fast
moreover have set-mset (measure r ((s,[]),(s,[]))) ⊆ dm r M unfolding measure-def labels-def by auto
ultimately show ?case by auto
next
case (Cons t' ts) obtain d β t where dec: t' = (d,β,t) using surjective-pairing by metis
hence dic: {β} ⊆ ds r (set-mset M) using Cons(3) unfolding dec measure-conv-def labels-conv-def dm-def by auto
have one:ds r {β} ⊆ dm r M unfolding dm-def using subset-imp-ds-subset[OF t dic] by auto
have set-mset (measure-conv r (t,ts) -s ds r {β}) ⊆ dm r M using Cons(3) unfolding measure-conv-def labels-conv-def dec by auto
hence set-mset (measure-conv r (t,ts)) ⊆ dm r M ∪ ds r {β} unfolding set-mset-def diff-def by auto
hence ts2: set-mset (measure-conv r (t,ts)) ⊆ dm r M using dic one by auto
from Cons(2) have ts: (t,ts) ∈ conv ars unfolding dec using conv-tail1(1) by fast
from Cons(1)[OF ts ts2] obtain σ' τ where
ih:{σ', τ} ⊆ seq ars ∧ fst σ' = fst (t,ts) ∧ fst τ = lst-conv (t, ts) ∧ lst σ' = lst τ ∧ set-mset (measure r (σ',τ)) ⊆ dm r M by metis
have diff:!!x. x ∈# r|map fst (snd σ')|-sds r {β} ==> x ∈# r|map fst (snd σ')| by simp
show ?case proof (cases d)
case True hence step:(s,β,t) ∈ ars using conv-tail1(2) Cons(2) unfolding dec by auto
have (s,(β,t)# snd σ') ∈ seq ars (is ?σ ∈ -) using seq.intros(2)[OF step] using ih(1) by auto
hence {?σ,τ} ⊆ seq ars using ih by auto
moreover have (fst ?σ) = fst (s, t' # ts) by auto
moreover have fst τ = lst-conv (s, t' # ts) using ih unfolding dec lst-conv.simps by auto
moreover have lst ?σ = lst τ by (metis `s, (β, t) # snd σ' ∈ seq ars` fst-conv ih seq-tail1(3) snd-conv surjective-pairing)
moreover have set-mset (measure r (?σ,τ)) ⊆ dm r M using diff ih dic unfolding measure-def labels-def dm-def by auto
ultimately show ?thesis by blast
next
case False hence step:(t,β,s) ∈ ars using conv-tail1(3) Cons(2) unfolding dec by auto

```

```

hence  $(t,[(\beta,s)]) \in \text{seq ars}$  using seq.intros by metis
hence  $p:\text{peak ars } ((t,[(\beta,s)]),\sigma')$  (is  $\text{peak ars } ?y$ ) using seq.intros unfolding
 $\text{peak-def}$  using ih by auto
hence  $mp:\text{set-mset } (\text{measure } r ?y) \subseteq ds r (\text{set-mset } M)$  using ih dic unfolding
 $\text{measure-def}$   $\text{labels-def}$   $dm\text{-def}$ 
by simp
hence  $ne: M \neq \{\#\}$  using dec dic unfolding  $dm\text{-def}$   $ds\text{-def}$  by auto
hence  $x:(\text{measure } r ?y,M) \in mul r$  using  $mp$  unfolding  $dm\text{-def}$   $mul\text{-def}$  apply
auto by (metis add-0)
have  $(\{\#fst \alpha\text{-step}\} + \{\#fst \beta\text{-step}\}, \text{measure } r ?P) \in mul\text{-eq } r$  unfolding
 $\text{assms}(2)$   $\text{measure-def}$   $\text{labels-def}$  apply auto
unfolding  $\text{union-lcomm}$   $\text{union-assoc[symmetric]}$  using  $\text{mul\text{-eq-add-right[where}}$ 
 $M = \{\#fst \alpha\text{-step}\} + \{\#fst \beta\text{-step}\}$  unfolding  $\text{union-assoc}$  by auto
hence  $(M, \text{measure } r ?P) \in \text{mul\text{-eq } r}$  using  $\text{assms}(5)$   $\text{mul\text{-eq-trans } t}$  by (auto
simp: add-mset-commute)
hence  $w:(?y,?P) \in pex r$  unfolding  $\text{assms}(1)$  pex-def using  $\text{mul-and-mul\text{-eq-imp-mul[OF}}$ 
 $t x]$  by auto
obtain  $\sigma'' \tau''$  where  $DD:DD \text{ars } r ((t,[(\beta,s)]), \sigma', \sigma'', \tau'')$  using  $IH[\text{OF } w p]$  by
auto
have  $\text{sigma}: \sigma'' \in \text{seq ars}$   $\text{fst } \sigma'' = fst (s, t' \# ts)$   $\text{lst } \sigma'' = lst \tau''$  using  $DD$ 
unfolding  $DD\text{-def}$   $\text{diagram-def}$   $\text{lst-def}$  by auto
have  $\text{tau}'': \tau'' \in \text{seq ars}$  and  $\text{eq}: \text{lst } \tau = fst \tau''$  using  $DD$  unfolding  $DD\text{-def}$ 
 $\text{diagram-def}$  using ih by auto
have  $\text{tau}': fst \tau, snd \tau @ snd \tau'' \in \text{seq ars}$  (is  $? \tau''' \in \text{-}$ ) and  $\text{lst } \tau'' = lst (fst$ 
 $\tau, snd \tau @ snd \tau'')$  using  $\text{seq-concat[OF - tau'' eq]}$  ih by auto
hence  $\text{tau2}: fst ? \tau''' = lst\text{-conv } (s, t' \# ts)$   $\text{lst } \sigma'' = lst ? \tau'''$  using  $DD$  ih un-
folding  $DD\text{-def}$   $\text{diagram-def}$   $\text{dec}$   $\text{lst\text{-conv.simps}}$  by auto
have  $\text{set-mset } (\text{measure } r (\sigma'', \tau'')) \subseteq ds r (\text{set-mset } M)$  using  $DD\text{-subset-ds[OF}$ 
 $t DD mp]$  unfolding  $\text{measure-def}$  by auto
hence  $\text{set-mset } (r|\text{labels } \sigma'' | + r|\text{labels } \tau| + (r|\text{labels } \tau''| - s (dl r (\text{labels } \tau))) )$ 
 $\subseteq dm r M$  using ih unfolding  $\text{measure-def}$   $dm\text{-def}$   $\text{diff-def}$  by auto
hence  $\text{fin}: \text{set-mset } (\text{measure } r (\sigma'', ? \tau'')) \subseteq dm r M$  unfolding  $\text{measure-def}$ 
 $\text{labels-def}$  apply auto unfolding  $\text{lemma3-2-2}$  by auto
show  $?thesis$  using  $\text{sigma}$   $\text{tau}$   $\text{tau2}$   $\text{fin}$  by blast
qed
qed
qed

```

lemma labels-multiset : **assumes** $\text{length } (\text{labels } \sigma) \leq 1$ **and** $\text{set } (\text{labels } \sigma) \subseteq \{\alpha\}$
shows $(r|\text{labels } \sigma|, \{\#\alpha\}) \in \text{mul\text{-eq } r}$ **proof** (cases $\text{snd } \sigma$)
 case Nil hence $r|\text{labels } \sigma| = \{\#\}$ unfolding labels-def by `auto`
 thus $?thesis$ unfolding $\text{mul\text{-eq-def}}$ by `auto`
 next
 case $(\text{Cons } x xs)$ hence $\text{l:length } (\text{labels } \sigma) = 1$ using $\text{assms}(1)$ unfolding
 labels-def by `auto`
 from this have $\text{labels } \sigma \neq []$ by `auto`
 from this obtain a as where $\text{labels } \sigma = a \# as$ using neq-Nil-conv by `metis`
 hence $\text{leg}: \text{labels } \sigma = [a]$ using `l` by `auto`
 hence $\text{set } (\text{labels } \sigma) = \{\alpha\}$ using $\text{assms}(2)$ by `auto`

hence $(r|labels \sigma|) = \{\# \alpha \#\}$ **unfolding** $leq lexmax.simps diff-def$ **by auto**
thus $?thesis$ **using** $mul\text{-}eq\text{-}reflexive$ **by auto**
qed

lemma *decreasing-imp-local-decreasing*:

assumes $t:trans r$ **and** $i:irrefl r$ **and** $DD: DD ars r (\tau, \sigma, \sigma', \tau')$ **and** $set (labels \tau) \subseteq ds r \{\beta\}$
and $length (labels \sigma) \leq 1$ **and** $set (labels \sigma) \subseteq \{\alpha\}$
shows $\exists \sigma_1 \sigma_2 \sigma_3. (\sigma' = (fst \sigma_1, snd \sigma_1 @ snd \sigma_2 @ snd \sigma_3)) \wedge lst \sigma_1 = fst \sigma_2 \wedge lst \sigma_2 = fst \sigma_3 \wedge lst \sigma_3 = lst \sigma'$
 $\wedge LD-1' r \beta \alpha (labels \sigma_1) (labels \sigma_2) (labels \sigma_3))$
 $set (labels \tau') \subseteq ds r (\{\alpha, \beta\})$

proof –

show $\exists \sigma_1 \sigma_2 \sigma_3. (\sigma' = (fst \sigma_1, snd \sigma_1 @ snd \sigma_2 @ snd \sigma_3)) \wedge$
 $lst \sigma_1 = fst \sigma_2 \wedge lst \sigma_2 = fst \sigma_3 \wedge lst \sigma_3 = lst \sigma' \wedge LD-1' r \beta \alpha (labels \sigma_1) (labels \sigma_2) (labels \sigma_3))$ **proof** –
from DD **have** $\sigma':\sigma' \in seq ars$ **using** $assms$ **unfolding** $DD\text{-}def$ $diagram\text{-}def$ **by auto**
from DD **have** $x: (r|labels \sigma'| -s dl r (labels \tau), r|labels \sigma|) \in mul\text{-}eq r$ **unfolding**
 $DD\text{-}def$ $D2\text{-}def$ **using** $D\text{-}eq(2)[OF t i]$ **by auto**
have $dl r (labels \tau) \subseteq ds r (ds r \{\beta\})$ **using** $assms(4)$ **unfolding** $dl\text{-}def$ $ds\text{-}def$
by auto
hence $dl r (labels \tau) \subseteq ds r \{\beta\}$ **using** $ds\text{-}ds\text{-}subsequeq\text{-}ds[OF t]$ **by auto**
hence $x:(r|labels \sigma'| -s ds r \{\beta\}, r|labels \sigma|) \in mul\text{-}eq r$ **using** x **unfolding**
 $diff\text{-}def$ **by** (*metis diff-def lemma2-6-8 mul-eq-trans t*)
hence $x:(r|labels \sigma'| -s dl r [\beta], \{\# \alpha \#\}) \in mul\text{-}eq r$ **using** $labels\text{-}multiset[OF assms(5,6)]$ **unfolding** $dl\text{-}def$ **using** $mul\text{-}eq\text{-}trans[OF t x]$ **by auto**
obtain $\sigma'_1 \sigma'_2 \sigma'_3$ **where** $l:labels \sigma' = \sigma'_1 @ (\sigma'_2 @ \sigma'_3)$ **and** $\sigma'_1 l: set \sigma'_1 \subseteq ds r \{\beta\}$ **and**
 $\sigma'_2 l: length \sigma'_2 \leq 1 \wedge set \sigma'_2 \subseteq \{\alpha\}$ **and** $\sigma'_3 l: set \sigma'_3 \subseteq ds r \{\alpha, \beta\}$ **using**
 $proposition3-4\text{-}inv\text{-}lists[OF t i x]$ **unfolding** $dl\text{-}def$ **by auto**
obtain $\sigma_1 \sigma_{23}$ **where** $\sigma_1: \sigma_1 \in seq ars$ **and** $\sigma_{23}: \sigma_{23} \in seq ars$ **and** $lf1: lst \sigma_1 = fst \sigma_{23}$ **and**
 $lf1b: lst \sigma'_1 = lst \sigma_{23}$ **and**
 $\sigma'\text{-}eq:\sigma' = (fst \sigma_1, snd \sigma_1 @ snd \sigma_{23})$ **and** $\sigma_1 l: labels \sigma_1 = \sigma'_1$ **and** $l_2: labels \sigma_{23} = \sigma'_2 @ \sigma'_3$
using $seq\text{-}decompose[OF \sigma'_1 l]$ **by auto**
obtain $\sigma_2 \sigma_3$ **where** $\sigma_2: \sigma_2 \in seq ars$ **and** $\sigma_3: \sigma_3 \in seq ars$ **and** $lf2: lst \sigma_2 = fst \sigma_3$ **and** $lf2b: lst \sigma_{23} = lst \sigma_3$ **and**
 $\sigma_{23}\text{-}eq:\sigma_{23} = (fst \sigma_2, snd \sigma_2 @ snd \sigma_3)$ **and** $\sigma_2 l: labels \sigma_2 = \sigma'_2$ **and** $\sigma_3 l: labels \sigma_3 = \sigma'_3$
using $seq\text{-}decompose[OF \sigma_{23} l_2]$ **by auto**
have $\sigma' = (fst \sigma_1, snd \sigma_1 @ snd \sigma_2 @ snd \sigma_3)$ **using** $\sigma_1 \sigma_2 \sigma_3 \sigma'\text{-}eq \sigma_{23}\text{-}eq$
by auto
moreover have $lst \sigma_1 = fst \sigma_2$ **using** $lf1 \sigma_{23}\text{-}eq$ **by auto**
moreover have $lst \sigma_2 = fst \sigma_3$ **using** $lf2$ **by auto**
moreover have $lst \sigma_3 = lst \sigma'_1$ **using** $lf1b lf2b$ **by auto**
moreover have $set (labels \sigma_1) \subseteq ds r \{\beta\}$ **using** $\sigma_1 l \sigma_1 l$ **by auto**
moreover have $length (labels \sigma_2) \leq 1 \wedge set (labels \sigma_2) \subseteq \{\alpha\}$ **using** $\sigma_2 l \sigma_2 l$
by auto

moreover have set (labels $\sigma\beta$) \subseteq ds r $\{\alpha, \beta\}$ using $\sigma\beta l \sigma\beta' l$ by auto
 ultimately show ?thesis unfolding LD-1'-def by fast
 qed
 show set (labels τ') \subseteq ds r $\{\alpha, \beta\}$ proof –
 have $x:(r|labels \tau'| -s dl r (labels \sigma), r|labels \tau|) \in mul-eq r$ using DD D-eq[OF t i] unfolding DD-def D2-def by auto
 have $y: set-mset r|labels \tau| \subseteq ds r \{\beta\}$ using leq-imp-subseteq[OF lexmax-le-multiset[OF t]] assms(4) by auto
 hence set-mset (r|labels τ' | -s ds r (set (labels σ))) \subseteq ds r $\{\beta\}$ using mul-eq-and-ds-imp-ds[OF t x y] unfolding dl-def by auto
 hence set-mset (r|labels τ' |) \subseteq ds r $\{\beta\} \cup ds r (set (labels \sigma))$ unfolding diff-def by auto
 hence set-mset (r|labels τ' |) \subseteq ds r $\{\alpha, \beta\}$ using assms(6) unfolding ds-def by auto
 thus ?thesis using lexmax-set[OF t] by auto
 qed
 qed

lemma local-decreasing-extended-imp-decreasing:
assumes LT1 ars r $(s, [\beta\text{-step}]) (s, [\alpha\text{-step}]) \gamma_1 \gamma_2 \gamma_3$
and t: trans r and i: irrefl r
and IH:!!y . $((y, ((s, [\beta\text{-step}] @ v\text{-step}), (s, [\alpha\text{-step}] @ \rho\text{-step}))) \in pex r \implies peak ars y \implies \exists \sigma' \tau'. DD ars r (fst y, snd y, \sigma', \tau'))$ (is !!y . $((y, ?P) \in - \implies - \implies -)$)
shows $\exists \sigma_1 \sigma_2 \sigma_3' \gamma_1''' . (\{\sigma_1, \sigma_2, \sigma_3', \gamma_1'''\} \subseteq seq ars \wedge$
 $set (labels \sigma_1) \subseteq ds r \{fst \beta\text{-step}\} \wedge length (labels \sigma_2) \leq 1 \wedge set (labels \sigma_2) \subseteq \{fst \alpha\text{-step}\} \wedge set (labels \sigma_3') \subseteq ds r \{fst \alpha\text{-step}, fst \beta\text{-step}\} \wedge$
 $set (labels \gamma_1''') \subseteq ds r \{fst \alpha\text{-step}, fst \beta\text{-step}\} \wedge$
 $snd \beta\text{-step} = fst \sigma_1 \wedge lst \sigma_1 = fst \sigma_2 \wedge lst \sigma_2 = fst \sigma_3' \wedge lst \sigma_3' = lst \gamma_1''' \wedge$
 $\wedge fst \gamma_1''' = fst \gamma_3$
proof –
from assms labels-multiset **have** s2: $(r|labels \gamma_2|, \#\{fst \alpha\text{-step}\}) \in mul-eq r$ unfolding LT1-def local-triangle1-def LD-1'-def labels-def by auto
from assms **have** $\gamma_1: \gamma_1 \in conv ars$ and $\gamma_3: \gamma_3 \in conv ars$ and $\gamma_2-l: length (labels \gamma_2) \leq 1$
and $\gamma_2-s: set (labels \gamma_2) \subseteq \{fst \alpha\text{-step}\}$ and $\gamma_3-s: set (labels \text{-conv} \gamma_3) \subseteq ds r \{fst \alpha\text{-step}, fst \beta\text{-step}\}$
and $set (labels \text{-conv} \gamma_1) \subseteq ds r \{fst \beta\text{-step}\}$ unfolding LT-def LD'-def LT1-def LD-1'-def labels-def local-triangle1-def by auto
hence set-mset (measure-conv r γ_1) \subseteq ds r $\{fst \beta\text{-step}\}$ unfolding measure-conv-def using lexmax-le-multiset[OF t] by (metis set-mset-mset submultiset-implies-subset subset-trans)
hence $\gamma_1-s: set-mset (measure-conv r \gamma_1) \subseteq dm r \{\#\{fst \beta\text{-step}\}\}$ unfolding dm-def by auto
have x: $(\{\#\{fst \beta\text{-step}\}\}, \{\#\{fst \beta\text{-step}\}, fst \alpha\text{-step}\}) \in mul-eq r$ using mul-eq-add-right[of \#\#\}] by auto
obtain $\gamma_1' \gamma_1''$ **where** $\gamma_1': \gamma_1' \in seq ars$ and $\gamma_1'': \gamma_1'' \in seq ars$ and $eqx: fst \gamma_1' = fst \gamma_1$
and $fst \gamma_1'' = lst \text{-conv} \gamma_1$ and $\gamma_1'-eq: lst \gamma_1' = lst \gamma_1''$ and m2: $set-mset (measure r (\gamma_1', \gamma_1'')) \subseteq dm r \{\#\{fst \beta\text{-step}\}\}$

```

using conv-imp-valley[OF t IH γ1 γ1-s x] apply auto by fast
hence Q:peak ars (γ1'',γ2) (is peak ars ?Q) unfolding peak-def using assms(1)
unfolding LT-def LD'-def LT1-def local-triangle1-def by auto
from m2 have γ1's: set (labels γ1') ⊆ ds r {fst β-step} and γ1''s: set (labels
γ1'') ⊆ ds r {fst β-step} unfolding measure-def dm-def using lexmax-set[OF t]
by auto
from m2 have τ1'-m:(r|labels γ1''),{#fst β-step#}) ∈ mul r unfolding
measure-def mul-def apply auto by (metis dm-def empty-neutral(1) set-mset-single
add-mset-not-empty)
hence y:(r|labels γ1'') + r|labels γ2|,{#fst α-step#}+{#fst β-step#}) ∈ mul r
using mul-add-mul-eq-imp-mul[OF τ1'-m s2] union-commute by metis
have (r|labels γ1'| + r|labels γ2|, {#fst α-step,fst β-step#} + (r|map fst ρ-step|-sds
r {fst α-step} + r|map fst v-step|-sds r {fst β-step})) ∈ mul r using mul-add-right[OF
y] by (auto simp: add-mset-commute)
hence q:(?Q,?P) ∈ pex r unfolding pex-def measure-def labels-def apply auto
by (metis union-assoc union-commute union-lcomm)
obtain γ1''' σ' where DD:DD ars r (γ1'',γ2,σ',γ1''') using IH[OF q Q] by
auto
from DD have σ': σ' ∈ seq ars and γ1'''::γ1''' ∈ seq ars unfolding DD-def
diagram-def by auto
from decreasing-imp-local-decreasing[OF t i DD γ1''s γ2-l γ2-s] obtain σ1' σ2'
σ3' where σ'-dec: σ' = (fst σ1', snd σ1' @ snd σ2' @ snd σ3')
and eq1: lst σ1' = fst σ2' lst σ2' = fst σ3' lst σ3' = lst σ'
and σ1s: set (labels σ1') ⊆ ds r {fst β-step} and σ2l: length (labels σ2') ≤ 1
and σ2's: set (labels σ2') ⊆ {fst α-step} and set (labels σ3') ⊆ ds r {fst α-step,fst
β-step}
and σ3's: set (labels σ3') ⊆ ds r {fst α-step,fst β-step} and γ1'''s: set (labels
γ1''') ⊆ ds r {fst α-step,fst β-step} unfolding LD-1'-def by blast
have σ'-ds: (fst σ1', snd σ1' @ snd σ2' @ snd σ3') ∈ seq ars using σ' σ'-dec
by auto
have σ1':σ1' ∈ seq ars and tmp: (fst σ2',snd σ2'@snd σ3') ∈ seq ars using
seq-chop[OF σ'-ds] surjective-pairing eq1 by auto
have σ2': σ2' ∈ seq ars and σ3': σ3' ∈ seq ars using seq-chop[OF tmp] using
surjective-pairing eq1 by auto
have eq:lst γ1' = fst σ1' using DD γ1'-eq unfolding DD-def diagram-def apply
auto unfolding σ'-dec by auto
have σ1: (fst γ1',snd γ1'@snd σ1') ∈ seq ars (is ?σ1 ∈ -) and eq0:lst (fst γ1',
snd γ1' @ snd σ1') = lst σ1' using seq-concat[OF γ1' σ1' eq] by auto
moreover have set (labels ?σ1) ⊆ ds r {fst β-step} using σ1s γ1's unfolding
labels-def dm-def by auto
moreover have snd β-step = fst ?σ1 using assms(1) unfolding LT1-def lo-
cal-triangle1-def lst-def σ'-dec eqx by auto
moreover have lst ?σ1 = fst σ2' unfolding eq0 eq1 by auto
moreover have lst σ3' = lst γ1''' unfolding eq1 using DD unfolding DD-def
diagram-def by auto
moreover have fst γ1''' = fst γ3 using DD assms(1) unfolding DD-def dia-
gram-def LT1-def local-triangle1-def by auto
ultimately show ?thesis using σ2' σ2's σ3' σ3's γ1''' γ1'''s eq1 surjec-
tive-pairing σ2l by blast

```

qed

lemma LDD-imp-DD:

assumes $t:\text{trans } r$ **and** $i:\text{irrefl } r$ **and** $\text{LDD ars } r (\tau, \sigma, \sigma_1, \sigma_2, \sigma_3, \tau_1, \tau_2, \tau_3)$
shows $\exists \sigma' \tau'. \text{DD ars } r (\tau, \sigma, \sigma', \tau')$ **proof** –
from assms have $\text{length}(\text{labels } \sigma) = 1$ **unfolding** $\text{LDD-def LDD1-def local-diagram1-def local-peak-def unfolding labels-def by auto}$
from this obtain α **where** $l: \text{labels } \sigma = [\alpha]$ **by** (*metis append-Nil append-butlast-last-id butlast-conv-take diff-self-eq-0 length-0-conv take-0 zero-neq-one*)
hence $\sigma l: \text{labels } \sigma = [\text{hd } (\text{labels } \sigma)]$ **by auto**
from assms have $\text{length}(\text{labels } \tau) = 1$ **unfolding** $\text{LDD-def LDD1-def local-diagram1-def local-peak-def unfolding labels-def by auto}$
from this obtain β **where** $l: \text{labels } \tau = [\beta]$ **by** (*metis append-Nil append-butlast-last-id butlast-conv-take diff-self-eq-0 length-0-conv take-0 zero-neq-one*)
hence $\tau l: \text{labels } \tau = [\text{hd } (\text{labels } \tau)]$ **by auto**
from assms have $\sigma': (\text{fst } \sigma_1, \text{snd } \sigma_1 @ \text{snd } \sigma_2 @ \text{snd } \sigma_3) \in \text{seq ars} (\text{is } ?\sigma' \in -)$ **and**
 $\tau': (\text{fst } \tau_1, \text{snd } \tau_1 @ \text{snd } \tau_2 @ \text{snd } \tau_3) \in \text{seq ars} (\text{is } ?\tau' \in -)$
unfolding $\text{LDD-def LDD1-def local-diagram1-def local-peak-def peak-def apply auto apply}$ (*metis fst-eqD seq-concat(1) snd-eqD*) **by** (*metis fst-eqD seq-concat(1) snd-eqD*)
from assms have $\text{sigmas: } \text{fst } ?\sigma' = \text{fst } \sigma_1 \text{ lst } ?\sigma' = \text{lst } \sigma_3$ **unfolding** $\text{LDD-def LDD1-def local-diagram1-def local-peak-def peak-def apply auto by}$ (*metis (opaque-lifting, no-types) \sigma' append-assoc seq-chop(1) seq-concat(2) seq-concat-helper*)
from assms have $\text{taus: } \text{fst } ?\tau' = \text{fst } \tau_1 \text{ lst } ?\tau' = \text{lst } \tau_3$ **unfolding** $\text{LDD-def LDD1-def local-diagram1-def local-peak-def peak-def apply auto by}$ (*metis (opaque-lifting, no-types) \tau' append-assoc seq-chop(1) seq-concat(2) seq-concat-helper*)
have diagram ars $(\tau, \sigma, ?\sigma', ?\tau')$ **using assms unfolding** $\text{LDD-def LDD1-def local-diagram1-def diagram-def local-peak-def apply auto}$
unfolding peak-def apply auto using sigmas taus \sigma' \tau' by auto
moreover have $D2 r (\tau, \sigma, ?\sigma', ?\tau')$ **using assms proposition3-4[OF t i] \sigma l \tau l**
unfolding $\text{LDD-def LDD1-def D2-def LD'-def labels-def by auto}$
ultimately show $?thesis$ **unfolding DD-def by auto**
qed

lemma LT-imp-DD:

assumes $t:\text{trans } r$
and $i:\text{irrefl } r$
and $IH: !!y . ((y, ((s, [\beta\text{-step}] @ v\text{-step}), (s, [\alpha\text{-step}] @ \varrho\text{-step}))) \in pex r \implies \text{peak ars } y \implies \exists \sigma' \tau'. \text{DD ars } r (\text{fst } y, \text{snd } y, \sigma', \tau') (\text{is } !!y. ((y, ?P) \in - \implies - \implies -))$
and $LT: LT \text{ ars } r ((s, [\beta\text{-step}]), (s, [\alpha\text{-step}]), \gamma_1, \gamma_2, \gamma_3, \delta_1, \delta_2, \delta_3)$
shows $\exists \kappa \mu. \text{DD ars } r ((s, [\beta\text{-step}]), (s, [\alpha\text{-step}]), \kappa, \mu)$
proof –
from LT have $LTa: LT1 \text{ ars } r (s, [\beta\text{-step}]) (s, [\alpha\text{-step}]) \gamma_1 \gamma_2 \gamma_3$ **and** $LTb: LT1 \text{ ars } r (s, [\alpha\text{-step}]) (s, [\beta\text{-step}]) \delta_1 \delta_2 \delta_3$ **unfolding** $LT\text{-def by auto}$

from local-decreasing-extended-imp-decreasing[OF LTa t i IH] obtain $\sigma_1 \sigma_2 \sigma_3' \gamma_1'''$ **where** $\text{sigmas: } \{\sigma_1, \sigma_2, \sigma_3', \gamma_1'''\} \subseteq \text{seq ars}$ **and**
 $\text{onetwo1: set } (\text{labels } \sigma_1) \subseteq ds r \{\text{fst } \beta\text{-step}\} \wedge \text{length } (\text{labels } \sigma_2) \leq 1 \wedge \text{set } (\text{labels } \sigma_2) \subseteq \{\text{fst } \alpha\text{-step}\} \wedge$

```

set (labels σ3') ⊆ ds r {fst α-step, fst β-step} ∧ set (labels γ1'') ⊆ ds r {fst
α-step,fst β-step} ∧
snd β-step = fst σ1 ∧ lst σ1 = fst σ2 ∧ lst σ2 = fst σ3' ∧ lst σ3' = lst γ1 ''
∧ fst γ1 '' = fst γ3 by metis

have ih2: !!y. (y, (s, [α-step] @ ρ-step),(s,[β-step] @ v-step)) ∈ pex r  $\implies$  peak
ars y  $\implies$   $\exists \sigma' \tau'. DD$  ars r (fst y, snd y, σ', τ')
using IH unfolding pex-def measure-def by (auto simp: union-commute)

from local-decreasing-extended-imp-decreasing[OF LTb t i ih2] obtain τ1 τ2
τ3' δ1 '' where taus:{τ1,τ2,τ3',δ1 ''} ⊆ seq ars and
onetwo2: set (labels τ1) ⊆ ds r {fst α-step} ∧ length (labels τ2) ≤ 1 ∧ set
(labels τ2) ⊆ {fst β-step} ∧
set (labels τ3') ⊆ ds r {fst β-step,fst α-step} ∧ set (labels δ1 '') ⊆ ds r {fst
β-step,fst α-step} ∧
snd α-step = fst τ1 ∧ lst τ1 = fst τ2 ∧ lst τ2 = fst τ3' ∧ lst τ3' = lst δ1 ''
∧ fst δ1 '' = fst δ3 by metis

have γ3: γ3 ∈ conv ars and γ3m:set (labels-conv γ3) ⊆ ds r {fst α-step,fst
β-step} and
δ3: δ3 ∈ conv ars (is ?c2 ∈ -) and δ3m: set (labels-conv δ3) ⊆ ds r {fst
β-step,fst α-step} and
eq: lst-conv γ3 = lst-conv δ3 using LT unfolding LT-def LT1-def lo-
cal-triangle1-def LD-1'-def labels-def by auto
hence δ3m: set (labels-conv δ3) ⊆ ds r {fst α-step, fst β-step} using in-
sert-commute by metis

have δ1 ''': δ1''' ∈ seq ars using taus by auto
have γ1 ''': γ1''' ∈ seq ars using sigmas by auto
have c1: (fst δ1 ''',map (Pair True) (snd δ1 ''')) ∈ conv ars (is ?c0 ∈ -)
using seq-imp-conv δ1''' surjective-pairing by metis
have c11: lst δ1''' = lst-conv ?c0 using seq-imp-conv δ1''' surjective-pairing
by metis
have c1l: set (labels-conv ?c0) ⊆ ds r {fst β-step,fst α-step} using onetwo2
seq-imp-conv δ1''' surjective-pairing by metis
hence c1l: set (labels-conv ?c0) ⊆ ds r {fst α-step,fst β-step} using insert-commute
by metis

have c1: conv-mirror ?c0 ∈ conv ars (is ?c1 ∈ -)
set (labels-conv (conv-mirror ?c0)) ⊆ ds r {fst α-step,fst β-step}
fst (conv-mirror ?c0) = lst τ3'
lst-conv (conv-mirror ?c0) = fst δ3
using conv-mirror[OF c1] c11 c1l c1 onetwo2 by auto

have c2: ?c2 ∈ conv ars
set (labels-conv ?c2) ⊆ ds r {fst α-step, fst β-step}
fst ?c2 = fst δ3

```

```

 $lst\text{-}conv ?c2 = lst\text{-}conv \gamma_3$  using  $\delta_3 \text{ eq } \delta_3m$  by auto

have  $conv\text{-}mirror \gamma_3 \in conv\ ars$  (is  $?c3 \in -$ ) set ( $labels\text{-}conv (conv\text{-}mirror \gamma_3)$ )
= set ( $labels\text{-}conv \gamma_3$ ) using  $conv\text{-}mirror[OF \gamma_3]$  by auto
hence  $c3: ?c3 \in conv\ ars$ 
      set ( $labels\text{-}conv ?c3$ )  $\subseteq ds\ r \{fst\ \alpha\text{-}step, fst\ \beta\text{-}step\}$ 
       $fst\ ?c3 = lst\text{-}conv \delta_3$ 
       $lst\text{-}conv ?c3 = fst\ \gamma_1'''$  using  $conv\text{-}mirror[OF \gamma_3]$   $eq\ onetwo{1}{\gamma_3m}$ 
by auto

have  $c4: (fst\ \gamma_1''', map\ (Pair\ True)\ (snd\ \gamma_1''')) \in conv\ ars$  (is  $?c4 \in -$ ) set
( $labels\text{-}conv (fst\ \gamma_1''', map\ (Pair\ True)\ (snd\ \gamma_1'''))$ ) = set ( $labels\ \gamma_1'''$ )
using  $seq\text{-}imp\text{-}conv \gamma_1'''$  surjective-pairing apply  $metis$  using  $seq\text{-}imp\text{-}conv$ 
 $\gamma_1'''$  surjective-pairing by  $metis$ 
have  $c4: ?c4 \in conv\ ars$ 
       $lst\text{-}conv ?c4 = lst\ \gamma_1'''$ 
      set ( $labels\text{-}conv ?c4$ )  $\subseteq ds\ r \{fst\ \alpha\text{-}step, fst\ \beta\text{-}step\}$ 
using  $seq\text{-}imp\text{-}conv \gamma_1'''$  surjective-pairing apply  $metis$  using  $seq\text{-}imp\text{-}conv$ 
 $\gamma_1'''$  surjective-pairing apply  $metis$  using  $c4(2)$   $onetwo{1}{by\ auto}$ 

have  $eq: lst\text{-}conv ?c1 = fst\ ?c2$  using  $c1\ c2$  by auto
have  $c12: (fst\ ?c1, snd\ ?c1@snd\ ?c2) \in conv\ ars$  (is  $?c12 \in -$ )
 $fst\ (fst\ ?c1, snd\ ?c1@snd\ ?c2) = fst\ ?c1\ lst\text{-}conv\ (fst\ ?c1, snd\ ?c1@snd\ ?c2) =$ 
 $lst\text{-}conv\ ?c2$  using  $conv\text{-}concat[OF\ c1(1)\ c2(1)\ eq]$  by auto
have  $eq: lst\text{-}conv ?c12 = fst\ ?c3$  using  $c12\ c3$  by auto
have  $c123: (fst\ ?c12, snd\ ?c12@snd\ ?c3) \in conv\ ars$  (is  $?c123 \in -$ )
 $fst\ (fst\ ?c12, snd\ ?c12@snd\ ?c3) = fst\ ?c12\ lst\text{-}conv\ (fst\ ?c12, snd\ ?c12@snd\ ?c3) =$ 
 $lst\text{-}conv\ ?c3$  using  $conv\text{-}concat[OF\ c12(1)\ c3(1)\ eq]$  by auto

have  $eq: lst\text{-}conv ?c123 = fst\ ?c4$  using  $c123\ c2\ c4$   $onetwo{1}{onetwo2}$  apply
auto unfolding  $Let\text{-}def$  using  $c3(4)$  apply  $auto$  by  $metis$ 
have  $c1234: (fst\ ?c123, snd\ ?c123@snd\ ?c4) \in conv\ ars$  (is  $?c1234 \in -$ )
 $fst\ (fst\ ?c123, snd\ ?c123@snd\ ?c4) = fst\ ?c123\ lst\text{-}conv\ (fst\ ?c123, snd\ ?c123@snd\ ?c4) =$ 
 $lst\text{-}conv\ ?c4$  using  $conv\text{-}concat[OF\ c123(1)\ c4(1)\ eq]$  by auto
hence  $c1234: ?c1234 \in conv\ ars$  (is  $?c1234 \in -$ )
 $fst\ (?c1234) = lst\ \tau_3' lst\text{-}conv\ ?c1234 = lst\ \sigma_3'$  apply  $auto$  unfolding  $Let\text{-}def$ 
using  $c1(3)$  apply  $auto$  apply  $metis$  using  $c4(2)$   $onetwo{1}{by\ metis}$ 

have  $c12l: set\ (labels\text{-}conv\ ?c12) \subseteq ds\ r \{fst\ \alpha\text{-}step, fst\ \beta\text{-}step\}$  using  $conv\text{-}concat\text{-}labels[OF$ 
 $c1(1)\ c2(1)]\ c1\ c2$  by auto
have  $c123l: set\ (labels\text{-}conv\ ?c123) \subseteq ds\ r \{fst\ \alpha\text{-}step, fst\ \beta\text{-}step\}$  using
 $conv\text{-}concat\text{-}labels[OF\ c12(1)\ c3(1)]\ c12l\ c3$  by auto
have  $c1234l: set\ (labels\text{-}conv\ ?c1234) \subseteq ds\ r \{fst\ \alpha\text{-}step, fst\ \beta\text{-}step\}$  using
 $conv\text{-}concat\text{-}labels[OF\ c123(1)\ c4(1)]\ c123l\ c4$  by auto
hence  $set\text{-}mset\ (r|labels\text{-}conv\ ?c1234|) \subseteq ds\ r \{fst\ \alpha\text{-}step, fst\ \beta\text{-}step\}$  using
 $submultiset\text{-}implies\text{-}subset[OF\ lexmax\text{-}le\text{-}multiset[OF\ t]]$  by auto
hence  $set\text{-}mset\ (measure\text{-}conv\ r\ ?c1234) \subseteq dm\ r \{\#fst\ \beta\text{-}step, fst\ \alpha\text{-}step\#}$ 
unfolding  $measure\text{-}conv\text{-}def$   $dm\text{-}def$  by ( $auto\ simp:\ add\text{-}mset\text{-}commute$ )
hence  $m: set\text{-}mset\ (measure\text{-}conv\ r\ ?c1234) \subseteq dm\ r \{\#fst\ \alpha\text{-}step, fst\ \beta\text{-}step\#}$ 

```

```

by (auto simp: add-mset-commute)

from c1234 m obtain  $\varrho$  where  $\varrho:\varrho \in conv\ ars\ and\ \varrho m: set\ mset\ (measure\ -conv\ r\ \varrho) \subseteq dm\ r\ \{\# fst\ \alpha\text{-step},\ fst\ \beta\text{-step}\#\}$ 
and eq1:  $fst\ \varrho = lst\ \tau 3'$  and eq2:  $lst\ -conv\ \varrho = lst\ \sigma 3'$  by auto

have  $M:(\{\# fst\ \alpha\text{-step}, fst\ \beta\text{-step}\#\}, \{\# fst\ \beta\text{-step}, fst\ \alpha\text{-step}\#\}) \in mul\ -eq\ r$  using
mul-eq-reflexive add-mset-commute by metis
from conv-imp-valley[ $OF\ t\ IH\ \varrho\ gm\ M$ ] obtain  $\tau 3''\ \sigma 3''$  where
 $\tau 3'':\tau 3'' \in seq\ ars\ and\ \sigma 3'':\sigma 3'' \in seq\ ars\ and\ eq:fst\ \tau 3'' = fst\ \varrho \wedge fst\ \sigma 3'' = lst\ -conv\ \varrho \wedge lst\ \tau 3'' = lst\ \sigma 3'' \wedge$ 
set-mset (measure r ( $\tau 3'',\ \sigma 3''$ ))  $\subseteq dm\ r\ \{\# fst\ \alpha\text{-step},\ fst\ \beta\text{-step}\#\}$  apply
auto by fast
have s1: set (labels  $\sigma 3''$ )  $\subseteq ds\ r\ \{fst\ \alpha\text{-step},\ fst\ \beta\text{-step}\}$  using eq unfolding
dm-def measure-def apply auto by (metis (opaque-lifting, no-types) insert-commute lexmax-set subsetD t)
have s2: set (labels  $\tau 3''$ )  $\subseteq ds\ r\ \{fst\ \beta\text{-step},\ fst\ \alpha\text{-step}\}$  using eq unfolding
dm-def measure-def apply auto by (metis (opaque-lifting, no-types) insert-commute lexmax-set subsetD t)
have σ1-eq:  $lst\ (s, [\beta\text{-step}]) = fst\ \sigma 1$  and τ1-eq:  $lst\ (s, [\alpha\text{-step}]) = fst\ \tau 1$  using
onetwo1 onetwo2 surjective-pairing unfolding lst-def by auto
have eqn:  $lst\ \tau 3'' = lst\ \sigma 3''$  and σ-eq:  $lst\ \sigma 3' = fst\ \sigma 3''$  and τ-eq:  $lst\ \tau 3' = fst\ \tau 3''$  using eq eq1 eq2 by auto
have σ3':( $fst\ \sigma 3',\ snd\ \sigma 3'@\ snd\ \sigma 3''$ )  $\in seq\ ars$  (is  $? \sigma 3 \in -$ ) using seq-concat[ $OF\ -\ \sigma 3''\ \sigma\text{-eq}$ ] sigmas by blast
have τ3':( $fst\ \tau 3',\ snd\ \tau 3'@\ snd\ \tau 3''$ )  $\in seq\ ars$  (is  $? \tau 3 \in -$ ) using seq-concat[ $OF\ -\ \tau 3''\ \tau\text{-eq}$ ] taus by blast
have fst ?σ3 = lst σ2 and fst ?τ3 = lst τ2 using onetwo1 onetwo2 by auto
have lst:lst ?σ3 = lst ?τ3 using eqn σ3'' σ3' σ-eq τ3'' τ-eq τ3' seq-chop(1)
seq-concat(2) surjective-pairing by metis
have local-diagram1 ars (s, [β-step]) (s, [α-step]) σ1 σ2 (fst σ3', snd σ3' @
snd σ3'')
using sigmas σ3' onetwo1 σ1-eq LT unfolding local-diagram1-def LT-def
LT1-def local-triangle1-def by auto
moreover have local-diagram1 ars (s,[α-step]) (s,[β-step]) τ1 τ2 (fst τ3', snd
τ3'@snd τ3'')
using taus τ3' onetwo2 τ1-eq LT unfolding local-diagram1-def LT-def LT1-def
local-triangle1-def by auto
moreover have LD-1' r (hd (labels (s, [β-step]))) (hd (labels (s, [α-step])))
(labels σ1) (labels σ2) (labels (fst σ3', snd σ3' @ snd σ3''))
using onetwo1 s1 unfolding LD-1'-def labels-def by auto
moreover have LD-1' r (hd (labels (s, [α-step]))) (hd (labels (s, [β-step])))
(labels τ1) (labels τ2) (labels (fst τ3', snd τ3' @ snd τ3''))
using onetwo2 s2 unfolding LD-1'-def labels-def by auto
ultimately have LDD: LDD ars r ((s,[β-step]),(s,[α-step]),σ1,σ2,?σ3,τ1,τ2,?τ3)
using lst unfolding LDD-def LDD1-def local-diagram1-def by auto
from LDD-imp-DD[ $OF\ t\ i\ LDD$ ] show ?thesis by auto
qed

```

```

lemma LT-imp-D: assumes t:trans r and wf r and ∀ p. (local-peak ars p → (exists γ1 γ2 γ3 δ1 δ2 δ3. LT ars r (fst p,snd p,γ1,γ2,γ3,δ1,δ2,δ3))) and peak ars P shows (exists σ' τ'. DD ars r (fst P,snd P,σ',τ')) proof –
  have i: irrefl r using assms(1,2) acyclic-irrefl trancl-id wf-acyclic by metis
  have wf: wf (pex r) using wf[OF assms(1,2)] .
  show ?thesis using assms(4) proof (induct rule:wf-induct-rule[OF wf])
    case (1 P)
    obtain s τ σ where decompose:P = (τ,σ) and tau:τ ∈ seq ars and sigma:σ ∈ seq ars
      and tau-s: fst τ = s and sigma-s: fst σ = s using 1 unfolding peak-def by auto
      show ?case proof (cases snd τ)
        case Nil from mirror-DD[OF assms(1) i trivial-DD[OF sigma]]
        show ?thesis using tau-s sigma-s Nil surjective-pairing unfolding decompose
          fst-conv snd-conv DD-def by metis
        next
        case (Cons β-step v-step)
        hence tau-dec: τ = (s,[β-step]@v-step) apply auto using tau-s surjective-pairing
          by metis
        hence tau2: (s,[β-step]@v-step) ∈ seq ars using tau by auto
        show ?thesis proof (cases snd σ)
          case Nil from trivial-DD[OF tau]
          show ?thesis using tau-s sigma-s Nil surjective-pairing unfolding decompose
            fst-conv snd-conv DD-def by metis
          next
          case (Cons α-step ρ-step)
          hence sigma-dec: σ = (s,[α-step]@ρ-step) apply auto using sigma-s surjective-pairing
            by metis
          hence sigma2:(s,[α-step]@ρ-step) ∈ seq ars using sigma by auto

          have alpha:(s,[α-step]) ∈ seq ars (is ?α ∈ -)
          and rho: (lst (s,[α-step]),ρ-step) ∈ seq ars (is ?ρ ∈ -) using seq-chop[OF
            sigma2] by auto
          hence alpha': (s,fst α-step, snd α-step) ∈ ars by (metis seq-tail1(2))
          have beta:(s,[β-step]) ∈ seq ars (is ?β ∈ -)
          and upsilon: (lst (s,[β-step]),v-step) ∈ seq ars (is ?v ∈ -) using seq-chop[OF
            tau2] by auto

          have lp:local-peak ars (?β,?α) using alpha beta unfolding local-peak-def peak-def
            by auto

        from this obtain γ1 γ2 γ3 δ1 δ2 δ3 where LT: LT ars r (?β, ?α, γ1, γ2,
          γ3, δ1, δ2, δ3) using assms(3) apply auto by metis
        have P:P = ((s,[β-step]@v-step),(s,[α-step]@ρ-step)) (is P = ?P) using de-
          compose unfolding tau-dec sigma-dec by auto
        obtain κ μ where D:DD ars r (?β,?α,κ,μ) using LT-imp-DD[OF t i 1(1) LT]
          unfolding P by fast

```

```

hence  $\kappa : \kappa \in \text{seq ars}$  and  $\mu : \mu \in \text{seq ars}$  unfolding DD-def diagram-def by auto
have  $P\text{-IH1}: \text{peak ars } (\tau, \kappa) \text{ using } \text{upsilon } \kappa D \text{ unfolding DD-def diagram-def peak-def by auto}$ 
have  $\text{beta-ne: labels } ?\beta \neq [] \text{ unfolding labels-def by auto}$ 
have  $\text{dec: } D r (\text{labels } ?\beta) (\text{labels } ?\alpha) (\text{labels } \kappa) (\text{labels } \mu) \text{ using } D \text{ unfolding DD-def D2-def by auto}$ 
have  $x1: ((\tau, \kappa), (\sigma, \alpha)) \in \text{pex } r \text{ using lemma3-6[OF assms(1) beta-ne dec]}$ 
unfolding pex-def measure-def decompose labels-def tau-dec apply (auto simp: add-mset-commute) using union-commute by metis
have  $(\text{lexmax } r (\text{labels } \tau) + \text{lexmax } r (\text{labels } ?\alpha), \text{lexmax } r (\text{labels } \tau) + \text{lexmax } r (\text{labels } \sigma)) \in \text{mul-eq } r (\text{is } ?l, ?r) \in -$ 
unfolding sigma-dec labels-def snd-conv list.map lexmax.simps diff-from-empty by (simp add: lemma2-6-2-a t)
hence  $((\tau, \kappa), P) \in \text{pex } r \text{ using } x1 \text{ unfolding sigma-s pex-def measure-def decompose using mul-and-mul-eq-imp-mul[OF assms(1)] by auto}$ 
from this obtain  $\kappa' v'$  where  $\text{IH1: DD ars } r (\tau, \kappa, \kappa', v') \text{ using } 1(1)[OF - P\text{-IH1}] \text{ unfolding decompose by auto}$ 
hence  $\kappa' : \kappa' \in \text{seq ars}$  and  $v' : v' \in \text{seq ars}$  using  $D \text{ unfolding DD-def diagram-def by auto}$ 
have  $\text{tau': } (fst \mu, snd \mu @ (snd v')) \in \text{seq ars} (\text{is } ?\tau' \in -) \text{ using seq-concat(1)[OF mu uppsilon'] } D \text{ IH1 unfolding DD-def diagram-def by auto}$ 
have  $\text{DIH1: DD ars } r (\tau, \alpha, \kappa', \tau') \text{ using lemma3-5-DD[OF assms(1) i D IH1] tau-dec by auto}$ 
hence  $\text{dec-dih1: } D r (\text{labels } \tau) (\text{labels } \alpha) (\text{labels } \kappa') (\text{labels } \tau') \text{ using DIH1 unfolding DD-def D2-def by simp}$ 

have  $P\text{-IH2: peak ars } (\tau', \varrho) \text{ using } \text{tau'} \rho D \text{ unfolding DD-def diagram-def peak-def by auto}$ 
have  $\text{alpha-ne: labels } ?\alpha \neq [] \text{ unfolding labels-def by simp}$ 
have  $((\tau', \varrho), P) \in \text{pex } r \text{ using lemma3-6-v[OF assms(1) i alpha-ne dec-dih1]}$ 
unfolding pex-def measure-def decompose labels-def sigma-dec by auto
from this obtain  $\varrho' \tau''$  where  $\text{IH2: DD ars } r (\tau', \varrho, \varrho', \tau'') \text{ using } 1(1)[OF - P\text{-IH2}] \text{ by auto}$ 
show ?thesis using lemma3-5-DD-v[OF assms(1) i DIH1 IH2] unfolding decompose fst-conv snd-conv sigma-dec by fast
qed
qed
qed
qed

definition LD-conv :: "'b set ⇒ 'a rel ⇒ bool"
where  $\text{LD-conv } L \text{ ars} = (\exists (r::('b rel)) (lrs::('a,'b) lars). (ars = unlabel lrs) \wedge trans r \wedge wf r \wedge (\forall p. (local-peak lrs p \longrightarrow (\exists \gamma_1 \gamma_2 \gamma_3 \delta_1 \delta_2 \delta_3. LT lrs r (fst p, snd p, \gamma_1, \gamma_2, \gamma_3, \delta_1, \delta_2, \delta_3))))))$ 

lemma sound-conv: assumes  $\text{LD-conv } L \text{ ars}$  shows  $\text{CR ars}$ 
using assms LT-imp-D D-imp-CR unfolding LD-conv-def by metis

```

```

hide-const (open) D
hide-const (open) seq
hide-const (open) measure

hide-fact (open) split

end

```

References

- [1] V. van Oostrom. Confluence by decreasing diagrams. *Theoretical Computer Science*, 126(2):259–280, 1994.
- [2] V. van Oostrom. Confluence by decreasing diagrams – converted. In *Proc. 19th International Conference on Rewriting Techniques and Applications*, volume 5117 of *Lecture Notes in Computer Science*, pages 306–320, 2008.
- [3] H. Zankl. Confluence by decreasing diagrams – formalized. In *Proc. 24th International Conference on Rewriting Techniques and Applications*, number 21 in Leibniz International Proceedings in Informatics, pages 352–367, 2013.