

# DCR Execution Equivalence

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## Abstract

We present an Isabelle formalization of the basics of DCR-graphs [1] before defining *Execution Equivalent* markings. We then prove that execution equivalent markings are perfectly interchangeable during process execution, yielding significant state-space reduction for execution-based model-checking of DCR graphs.

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```
theory DCRExecutionEquivalence
  imports Main
begin
```

## 1 DCR processes

Although we use the term "process", the present theory formalises DCR graphs as defined in the original places and other papers.

**type-synonym** *event* = *nat*

The static structure. This encompasses the relations, the set of event *dom* of the process, and the labelling function *lab*. We do not explicitly enforce that relations and marking are confined to this set, except in definitions of enabledness and execution below.

**record** *rels* =

```
  cond :: event rel
  pend :: event rel
  incl :: event rel
  excl :: event rel
```

*mist* :: event rel

*dom* :: event set

The dynamic structure, called the marking

**record** *marking* =

*Ex* :: event set

*In* :: event set

*Re* :: event set

It will be convenient to have notation for the events required, excluded, etc. by a given event.

**abbreviation** *conds* :: rels  $\Rightarrow$  event  $\Rightarrow$  event set

**where**

$conds\ T\ e \equiv \{ f . (f,e) \in cond\ T \}$

**abbreviation** *excls* :: rels  $\Rightarrow$  event  $\Rightarrow$  event set

**where**

$excls\ T\ e \equiv \{ x . (e,x) \in excl\ T \wedge (e,x) \notin incl\ T \}$

**abbreviation** *incls* :: rels  $\Rightarrow$  event  $\Rightarrow$  event set

**where**

$incls\ T\ e \equiv \{ x . (e,x) \in incl\ T \}$

**abbreviation** *resps* :: rels  $\Rightarrow$  event  $\Rightarrow$  event set

**where**

$resps\ T\ e \equiv \{ f . (e,f) \in pend\ T \}$

**abbreviation** *mists* :: rels  $\Rightarrow$  event  $\Rightarrow$  event set

**where**

$mists\ T\ e \equiv \{ f . (f,e) \in mist\ T \}$

Similarly, it is convenient to be able to identify directly the currently excluded events.

## 1.1 Execution semantics

**definition** *enabled* :: rels  $\Rightarrow$  marking  $\Rightarrow$  event  $\Rightarrow$  bool

**where**

$enabled\ T\ M\ e \equiv$

$e \in In\ M \wedge$

$(conds\ T\ e \cap In\ M) - Ex\ M = \{\}$   $\wedge$

$(mists\ T\ e \cap In\ M) - (dom\ T - Re\ M) = \{\}$

**definition** *execute* :: rels  $\Rightarrow$  marking  $\Rightarrow$  nat  $\Rightarrow$  marking

**where**

```

execute T M e ≡ (|
  Ex = Ex M ∪ { e },
  In = (In M - excls T e) ∪ incls T e,
  Re = (Re M - { e }) ∪ resps T e
|)

```

## 1.2 Execution Equivalence

**definition** *accepting* :: marking ⇒ bool **where**  
*accepting* M = (Re M ∩ In M = {})

**fun** *acceptingrun* :: rels ⇒ marking ⇒ event list ⇒ bool **where**  
*acceptingrun* T M [] = *accepting* M  
| *acceptingrun* T M (e#t) = (enabled T M e ∧ *acceptingrun* T (execute T M e) t)

**definition** *all-conds* :: rels ⇒ nat set **where**  
*all-conds* T = { fst rel | rel . rel ∈ cond T }

**definition** *execution-equivalent* :: rels ⇒ marking ⇒ marking ⇒ bool **where**  
*execution-equivalent* T M1 M2 = (  
 (In M1 = In M2) ∧  
 (Re M1 = Re M2) ∧  
 ((Ex M1 ∩ *all-conds* T) = (Ex M2 ∩ *all-conds* T))  
)

**lemma** *conds-subset-eq-all-conds*: conds T e ⊆ *all-conds* T  
**using** *all-conds-def* **by** *auto*

**lemma** *ex-equiv-over-cond*: (Ex M1 ∩ *all-conds* T) = (Ex M2 ∩ *all-conds* T) ⇒  
(Ex M1 ∩ conds T e) = (Ex M2 ∩ conds T e)  
**using** *conds-subset-eq-all-conds* **by** *blast*

**lemma** *enabled-ex-equiv*:  
**assumes** *execution-equivalent* T M1 M2 *enabled* T M1 e  
**shows** *enabled* T M2 e

**proof** –

**from** *assms*(1) **have**  
(Ex M1 ∩ *all-conds* T) = (Ex M2 ∩ *all-conds* T)  
**by** (*simp add: execution-equivalent-def*)

**hence** *ex-eq*:  
(Ex M1 ∩ conds T e) = (Ex M2 ∩ conds T e)  
**using** *ex-equiv-over-cond* **by** *metis*

**from** *assms*(1) **have** *in-eq*:  
In M1 = In M2  
**by** (*simp add: execution-equivalent-def*)

**from** *assms*(2) **have**  
(conds T e ∩ In M1) ⊆ Ex M1  
**by**(*simp-all add: enabled-def*)

**hence**

$(conds\ T\ e \cap In\ M1) \cap (conds\ T\ e) \subseteq Ex\ M1 \cap (conds\ T\ e)$   
**by auto**  
**hence**  
 $(conds\ T\ e \cap In\ M1) \subseteq Ex\ M1 \cap (conds\ T\ e)$   
**by auto**  
**hence**  
 $(conds\ T\ e \cap In\ M2) \subseteq Ex\ M2 \cap (conds\ T\ e)$   
**using ex-eq in-eq by auto**  
**hence**  
 $(conds\ T\ e \cap In\ M2) \subseteq Ex\ M2$   
**by simp**  
**then show ?thesis**  
**using enabled-def assms in-eq execution-equivalent-def by auto**  
**qed**

**lemma execute-ex-equiv:**

**assumes** *execution-equivalent*  $T\ M1\ M2$  *execute*  $T\ M1\ e = M3$  *execute*  $T\ M2\ e = M4$

**shows** *execution-equivalent*  $T\ M3\ M4$

**proof** –

**from assms have**

$In\ M3 = In\ M4$

**using execute-def execution-equivalent-def by fastforce**

**moreover from assms have**

$Re\ M3 = Re\ M4$

**using execute-def execution-equivalent-def by force**

**ultimately show ?thesis using assms execute-def execution-equivalent-def by fastforce**

**qed**

**lemma accepting-ex-equiv:** *execution-equivalent*  $T\ M1\ M2 \implies$  *accepting*  $M1 \implies$  *accepting*  $M2$

**by** (*simp add: accepting-def execution-equivalent-def*)

**theorem acceptingrun-ex-equiv:**

**assumes** *acceptingrun*  $T\ M1$  *seq execution-equivalent*  $T\ M1\ M2$

**shows** *acceptingrun*  $T\ M2$  *seq*

**using assms**

**proof**(*induction seq arbitrary: M1 M2 rule: acceptingrun.induct*)

**case** ( $1\ T\ M$ )

**then show ?case**

**by** (*simp add: accepting-ex-equiv*)

**next**

**case** ( $2\ T\ M\ e\ t$ )

**then show ?case proof** –

**from**  $2(2)$  **obtain**  $M1e$  **where**  $m1e$ :

$M1e = execute\ T\ M1\ e$

**by blast**

**hence**  $m1e$ -*accept*:

```

    acceptingrun T M1e t
  using 2(2) acceptingrun.simps(2) by blast
obtain M2e where
  M2e = execute T M2 e
  by blast
moreover from this m1e have
  execution-equivalent T M1e M2e
  using 2(3) execute-ex-equiv by blast
moreover from this have
  acceptingrun T M2e t
  using 2(1) m1e-accept by blast
ultimately show ?thesis using 2(2) enabled-ex-equiv 2(3) acceptingrun.simps(2)
by blast
qed
qed

end

```

## References

- [1] C. O. Back, T. Slaats, T. T. Hildebrandt, and M. Marquard. Discover: accurate and efficient discovery of declarative process models. *International Journal on Software Tools for Technology Transfer*, pages 1–25, 2021.