

# CryptHOL

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## Abstract

CryptHOL provides a framework for formalising cryptographic arguments in Isabelle/HOL. It shallowly embeds a probabilistic functional programming language in higher order logic. The language features monadic sequencing, recursion, random sampling, failures and failure handling, and black-box access to oracles. Oracles are probabilistic functions which maintain hidden state between different invocations. All operators are defined in the new semantic domain of generative probabilistic values, a codatatype. We derive proof rules for the operators and establish a connection with the theory of relational parametricity. Thus, the resulting proofs are trustworthy and comprehensible, and the framework is extensible and widely applicable.

The framework is used in the accompanying AFP entry “Game-based Cryptography in HOL”. There, we show-case our framework by formalizing different game-based proofs from the literature. This formalisation continues the work described in the author’s ESOP 2016 paper [1].

A tutorial in the AFP entry *Game-based cryptography* explains how CryptHOL can be used to formalize game-based cryptography proofs.

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# 1 Miscellaneous library additions

```
theory Misc-CryptHOL imports  
  Probabilistic-While.While-SPMF  
  HOL-Library.Rewrite  
  HOL-Library.Simps-Case-Conv  
  HOL-Library.Type-Length  
  HOL-Eisbach.Eisbach  
  Coinductive.TLList  
  Monad-Normalisation.Monad-Normalisation  
  Monomorphic-Monad.Monomorphic-Monad  
  Applicative-Lifting.Applicative  
begin  
  
hide-const (open) Henstock-Kurzweil-Integration.negligible  
  
declare eq-on-def [simp del]
```

## 1.1 HOL

```
lemma asm-rl-conv:  $(PROP P \implies PROP P) \equiv Trueprop True$   
<proof>
```

```
named-theorems if-distrib Distributivity theorems for If
```

```
lemma if-mono-cong:  $\llbracket b \implies x \leq x'; \neg b \implies y \leq y' \rrbracket \implies If\ b\ x\ y \leq If\ b\ x'\ y'$   
<proof>
```

```
lemma if-cong-then:  $\llbracket b = b'; b' \implies t = t'; e = e' \rrbracket \implies If\ b\ t\ e = If\ b'\ t'\ e'$   
<proof>
```

```
lemma if-False-eq:  $\llbracket b \implies False; e = e' \rrbracket \implies If\ b\ t\ e = e'$   
<proof>
```

```
lemma imp-OO-imp [simp]:  $(\longrightarrow) OO (\longrightarrow) = (\longrightarrow)$   
<proof>
```

```
lemma inj-on-fun-updD:  $\llbracket inj\ on\ (f(x := y))\ A; x \notin A \rrbracket \implies inj\ on\ f\ A$   
<proof>
```

```
lemma disjoint-notin1:  $\llbracket A \cap B = \{\}; x \in B \rrbracket \implies x \notin A$  <proof>
```

```
lemma Least-le-Least:  
  fixes  $x :: 'a :: wellorder$   
  assumes  $Q\ x$   
  and  $Q: \bigwedge x. Q\ x \implies \exists y \leq x. P\ y$   
  shows  $Least\ P \leq Least\ Q$   
<proof>
```

```
lemma is-empty-image [simp]:  $Set.is\ empty\ (f\ 'A) = Set.is\ empty\ A$ 
```

*<proof>*

## 1.2 Relations

**inductive** *Imagep* :: ('a ⇒ 'b ⇒ bool) ⇒ ('a ⇒ bool) ⇒ 'b ⇒ bool  
for *R P*

**where** *ImageI*: [ *P x*; *R x y* ] ⇒ *Imagep R P y*

**lemma** *r-r-into-tranclp*: [ *r x y*; *r y z* ] ⇒  $r^{++} x z$   
*<proof>*

**lemma** *transp-tranclp-id*:

**assumes** *transp R*

**shows** *tranclp R = R*

*<proof>*

**lemma** *transp-inv-image*: *transp r* ⇒ *transp (λx y. r (f x) (f y))*

*<proof>*

**lemma** *Domainp-conversep*: *Domainp R<sup>-1-1</sup> = Rangep R*

*<proof>*

**lemma** *bi-unique-rel-set-bij-betw*:

**assumes** *unique: bi-unique R*

**and** *rel: rel-set R A B*

**shows** ∃*f*. *bij-betw f A B* ∧ (∀*x*∈*A*. *R x (f x)*)

*<proof>*

**definition** *restrict-relp* :: ('a ⇒ 'b ⇒ bool) ⇒ ('a ⇒ bool) ⇒ ('b ⇒ bool) ⇒ 'a ⇒ 'b ⇒ bool

(λ- λ (- ⊗ -) [53, 54, 54] 53)

**where** *restrict-relp R P Q* = (λ*x y*. *R x y* ∧ *P x* ∧ *Q y*)

**lemma** *restrict-relp-apply* [*simp*]: (*R* ∩ *P* ⊗ *Q*) *x y* ↔ *R x y* ∧ *P x* ∧ *Q y*

*<proof>*

**lemma** *restrict-relpI* [*intro?*]: [ *R x y*; *P x*; *Q y* ] ⇒ (*R* ∩ *P* ⊗ *Q*) *x y*

*<proof>*

**lemma** *restrict-relpE* [*elim?*, *cases pred*]:

**assumes** (*R* ∩ *P* ⊗ *Q*) *x y*

**obtains** (*restrict-relp*) *R x y P x Q y*

*<proof>*

**lemma** *conversep-restrict-relp* [*simp*]: (*R* ∩ *P* ⊗ *Q*)<sup>-1-1</sup> = *R<sup>-1-1</sup> ∩ Q* ⊗ *P*

*<proof>*

**lemma** *restrict-relp-restrict-relp* [*simp*]: *R* ∩ *P* ⊗ *Q* ∩ *P'* ⊗ *Q'* = *R* ∩ *inf P P'* ⊗ *inf Q Q'*

*<proof>*

**lemma** *restrict-relp-cong*:

$\llbracket P = P'; Q = Q'; \bigwedge x y. \llbracket P x; Q y \rrbracket \implies R x y = R' x y \rrbracket \implies R \upharpoonright P \otimes Q = R' \upharpoonright P' \otimes Q'$

*<proof>*

**lemma** *restrict-relp-cong-simp*:

$\llbracket P = P'; Q = Q'; \bigwedge x y. P x =_{\text{simp}} Q y \implies R x y = R' x y \rrbracket \implies R \upharpoonright P \otimes Q = R' \upharpoonright P' \otimes Q'$

*<proof>*

**lemma** *restrict-relp-parametric* [*transfer-rule*]:

**includes** *lifting-syntax* **shows**

$((A \implies B \implies (=)) \implies (A \implies (=)) \implies (B \implies (=)) \implies A \implies B \implies (=))$  *restrict-relp restrict-relp*

*<proof>*

**lemma** *restrict-relp-mono*:  $\llbracket R \leq R'; P \leq P'; Q \leq Q' \rrbracket \implies R \upharpoonright P \otimes Q \leq R' \upharpoonright P' \otimes Q'$

*<proof>*

**lemma** *restrict-relp-mono'*:

$\llbracket (R \upharpoonright P \otimes Q) x y; \llbracket R x y; P x; Q y \rrbracket \implies R' x y \ \&\&\& \ P' x \ \&\&\& \ Q' y \rrbracket \implies (R' \upharpoonright P' \otimes Q') x y$

*<proof>*

**lemma** *restrict-relp-DomainpD*:  $\text{Domainp } (R \upharpoonright P \otimes Q) x \implies \text{Domainp } R x \wedge P x$

*<proof>*

**lemma** *restrict-relp-True*:  $R \upharpoonright (\lambda-. \text{True}) \otimes (\lambda-. \text{True}) = R$

*<proof>*

**lemma** *restrict-relp-False1*:  $R \upharpoonright (\lambda-. \text{False}) \otimes Q = \text{bot}$

*<proof>*

**lemma** *restrict-relp-False2*:  $R \upharpoonright P \otimes (\lambda-. \text{False}) = \text{bot}$

*<proof>*

**definition** *rel-prod2* ::  $('a \Rightarrow 'b \Rightarrow \text{bool}) \Rightarrow 'a \Rightarrow ('c \times 'b) \Rightarrow \text{bool}$

**where** *rel-prod2*  $R a = (\lambda(c, b). R a b)$

**lemma** *rel-prod2-simps* [*simp*]:  $\text{rel-prod2 } R a (c, b) \longleftrightarrow R a b$

*<proof>*

**lemma** *restrict-rel-prod*:

$\text{rel-prod } (R \upharpoonright I1 \otimes I2) (S \upharpoonright I1' \otimes I2') = \text{rel-prod } R S \upharpoonright \text{pred-prod } I1 I1' \otimes \text{pred-prod } I2 I2'$

*<proof>*

**lemma** *restrict-rel-prod1*:

$rel\text{-}prod (R \upharpoonright I1 \otimes I2) S = rel\text{-}prod R S \upharpoonright pred\text{-}prod I1 (\lambda\cdot. True) \otimes pred\text{-}prod I2 (\lambda\cdot. True)$

*<proof>*

**lemma** *restrict-rel-prod2*:

$rel\text{-}prod R (S \upharpoonright I1 \otimes I2) = rel\text{-}prod R S \upharpoonright pred\text{-}prod (\lambda\cdot. True) I1 \otimes pred\text{-}prod (\lambda\cdot. True) I2$

*<proof>*

**consts** *relcompp-witness* :: ('a  $\Rightarrow$  'b  $\Rightarrow$  bool)  $\Rightarrow$  ('b  $\Rightarrow$  'c  $\Rightarrow$  bool)  $\Rightarrow$  'a  $\times$  'c  $\Rightarrow$  'b

**specification** (*relcompp-witness*)

*relcompp-witness1*: (A OO B) (fst xy) (snd xy)  $\Longrightarrow$  A (fst xy) (*relcompp-witness* A B xy)

*relcompp-witness2*: (A OO B) (fst xy) (snd xy)  $\Longrightarrow$  B (*relcompp-witness* A B xy) (snd xy)

*<proof>*

**lemmas** *relcompp-witness[of - - (x, y) for x y, simplified]* = *relcompp-witness1 relcompp-witness2*

**hide-fact** (**open**) *relcompp-witness1 relcompp-witness2*

**lemma** *relcompp-witness-eq [simp]*: *relcompp-witness* (=) (=) (x, x) = x

*<proof>*

### 1.3 Pairs

**lemma** *split-apfst [simp]*: *case-prod* h (*apfst* f xy) = *case-prod* (h  $\circ$  f) xy

*<proof>*

**definition** *corec-prod* :: ('s  $\Rightarrow$  'a)  $\Rightarrow$  ('s  $\Rightarrow$  'b)  $\Rightarrow$  's  $\Rightarrow$  'a  $\times$  'b

**where** *corec-prod* f g = ( $\lambda s. (f s, g s)$ )

**lemma** *corec-prod-apply*: *corec-prod* f g s = (f s, g s)

*<proof>*

**lemma** *corec-prod-sel [simp]*:

**shows** *fst-corec-prod*: *fst* (*corec-prod* f g s) = f s

**and** *snd-corec-prod*: *snd* (*corec-prod* f g s) = g s

*<proof>*

**lemma** *apfst-corec-prod [simp]*: *apfst* h (*corec-prod* f g s) = *corec-prod* (h  $\circ$  f) g s

*<proof>*

**lemma** *apsnd-corec-prod [simp]*: *apsnd* h (*corec-prod* f g s) = *corec-prod* f (h  $\circ$  g)

s

*<proof>*

**lemma** *map-corec-prod* [*simp*]: *map-prod* *f g* (*corec-prod* *h k s*) = *corec-prod* (*f*  $\circ$  *h*) (*g*  $\circ$  *k*) *s*  
*<proof>*

**lemma** *split-corec-prod* [*simp*]: *case-prod* *h* (*corec-prod* *f g s*) = *h* (*f s*) (*g s*)  
*<proof>*

**lemma** *Pair-fst-Unity*: (*fst* *x*, ()) = *x*  
*<proof>*

**definition** *rprodl* :: ('*a*  $\times$  '*b*)  $\times$  '*c*  $\Rightarrow$  '*a*  $\times$  ('*b*  $\times$  '*c*) **where** *rprodl* = ( $\lambda$ ((*a*, *b*), *c*).  
(*a*, (*b*, *c*)))

**lemma** *rprodl-simps* [*simp*]: *rprodl* ((*a*, *b*), *c*) = (*a*, (*b*, *c*))  
*<proof>*

**lemma** *rprodl-parametric* [*transfer-rule*]: **includes** *lifting-syntax* **shows**  
(*rel-prod* (*rel-prod* *A B*) *C*  $\implies$  *rel-prod* *A* (*rel-prod* *B C*)) *rprodl* *rprodl*  
*<proof>*

**definition** *lprodr* :: '*a*  $\times$  ('*b*  $\times$  '*c*)  $\Rightarrow$  ('*a*  $\times$  '*b*)  $\times$  '*c* **where** *lprodr* = ( $\lambda$ (*a*, *b*), *c*).  
((*a*, *b*), *c*))

**lemma** *lprodr-simps* [*simp*]: *lprodr* (*a*, *b*, *c*) = ((*a*, *b*), *c*)  
*<proof>*

**lemma** *lprodr-parametric* [*transfer-rule*]: **includes** *lifting-syntax* **shows**  
(*rel-prod* *A* (*rel-prod* *B C*)  $\implies$  *rel-prod* (*rel-prod* *A B*) *C*) *lprodr* *lprodr*  
*<proof>*

**lemma** *lprodr-inverse* [*simp*]: *rprodl* (*lprodr* *x*) = *x*  
*<proof>*

**lemma** *rprodl-inverse* [*simp*]: *lprodr* (*rprodl* *x*) = *x*  
*<proof>*

**lemma** *pred-prod-mono'* [*mono*]:  
*pred-prod* *A B xy*  $\longrightarrow$  *pred-prod* *A' B' xy*  
**if**  $\bigwedge x. A\ x \longrightarrow A'\ x \bigwedge y. B\ y \longrightarrow B'\ y$   
*<proof>*

**fun** *rel-witness-prod* :: ('*a*  $\times$  '*b*)  $\times$  ('*c*  $\times$  '*d*)  $\Rightarrow$  (('*a*  $\times$  '*c*)  $\times$  ('*b*  $\times$  '*d*)) **where**  
*rel-witness-prod* ((*a*, *b*), (*c*, *d*)) = ((*a*, *c*), (*b*, *d*))

## 1.4 Sums

**lemma** *isLE*:



**assumes** *isl* *x*  
**obtains** *l* **where**  $x = \text{Inl } l$   
 ⟨*proof*⟩

**lemma** *Inl-in-Plus* [*simp*]:  $\text{Inl } x \in A <+> B \longleftrightarrow x \in A$   
 ⟨*proof*⟩

**lemma** *Inr-in-Plus* [*simp*]:  $\text{Inr } x \in A <+> B \longleftrightarrow x \in B$   
 ⟨*proof*⟩

**lemma** *Inl-eq-map-sum-iff*:  $\text{Inl } x = \text{map-sum } f \ g \ y \longleftrightarrow (\exists z. y = \text{Inl } z \wedge x = f \ z)$   
 ⟨*proof*⟩

**lemma** *Inr-eq-map-sum-iff*:  $\text{Inr } x = \text{map-sum } f \ g \ y \longleftrightarrow (\exists z. y = \text{Inr } z \wedge x = g \ z)$   
 ⟨*proof*⟩

**lemma** *inj-on-map-sum* [*simp*]:  
 $\llbracket \text{inj-on } f \ A; \text{inj-on } g \ B \rrbracket \implies \text{inj-on } (\text{map-sum } f \ g) \ (A <+> B)$   
 ⟨*proof*⟩

**lemma** *inv-into-map-sum*:  
 $\text{inv-into } (A <+> B) \ (\text{map-sum } f \ g) \ x = \text{map-sum } (\text{inv-into } A \ f) \ (\text{inv-into } B \ g) \ x$   
**if**  $x \in f \ 'A <+> g \ 'B$  *inj-on* *f* *A* *inj-on* *g* *B*  
 ⟨*proof*⟩

**fun** *rsuml* ::  $'a + 'b + 'c \Rightarrow 'a + ('b + 'c)$  **where**  
 $\text{rsuml } (\text{Inl } (\text{Inl } a)) = \text{Inl } a$   
 $\text{rsuml } (\text{Inl } (\text{Inr } b)) = \text{Inr } (\text{Inl } b)$   
 $\text{rsuml } (\text{Inr } c) = \text{Inr } (\text{Inr } c)$

**fun** *lsumr* ::  $'a + ('b + 'c) \Rightarrow ('a + 'b) + 'c$  **where**  
 $\text{lsumr } (\text{Inl } a) = \text{Inl } (\text{Inl } a)$   
 $\text{lsumr } (\text{Inr } (\text{Inl } b)) = \text{Inl } (\text{Inr } b)$   
 $\text{lsumr } (\text{Inr } (\text{Inr } c)) = \text{Inr } c$

**lemma** *rsuml-lsumr* [*simp*]:  $\text{rsuml } (\text{lsumr } x) = x$   
 ⟨*proof*⟩

**lemma** *lsumr-rsuml* [*simp*]:  $\text{lsumr } (\text{rsuml } x) = x$   
 ⟨*proof*⟩

## 1.5 Option

**declare** *is-none-bind* [*simp*]

**lemma** *case-option-collapse*:  $\text{case-option } x \ (\lambda-. x) \ y = x$   
 ⟨*proof*⟩

**lemma** *indicator-single-Some*:  $\text{indicator } \{\text{Some } x\} (\text{Some } y) = \text{indicator } \{x\} y$   
 ⟨proof⟩

### 1.5.1 Predicate and relator

**lemma** *option-pred-mono-strong*:

[[  $\text{pred-option } P x; \bigwedge a. \llbracket a \in \text{set-option } x; P a \rrbracket \implies P' a \rrbracket \implies \text{pred-option } P' x$  ]]  
 ⟨proof⟩

**lemma** *option-pred-map* [simp]:  $\text{pred-option } P (\text{map-option } f x) = \text{pred-option } (P \circ f) x$   
 ⟨proof⟩

**lemma** *option-pred-o-map* [simp]:  $\text{pred-option } P \circ \text{map-option } f = \text{pred-option } (P \circ f)$   
 ⟨proof⟩

**lemma** *option-pred-bind* [simp]:  $\text{pred-option } P (\text{Option.bind } x f) = \text{pred-option } (\text{pred-option } P \circ f) x$   
 ⟨proof⟩

**lemma** *pred-option-conj* [simp]:

$\text{pred-option } (\lambda x. P x \wedge Q x) = (\lambda x. \text{pred-option } P x \wedge \text{pred-option } Q x)$   
 ⟨proof⟩

**lemma** *pred-option-top* [simp]:

$\text{pred-option } (\lambda-. \text{True}) = (\lambda-. \text{True})$   
 ⟨proof⟩

**lemma** *rel-option-restrict-relI* [intro?]:

[[  $\text{rel-option } R x y; \text{pred-option } P x; \text{pred-option } Q y \rrbracket \implies \text{rel-option } (R \upharpoonright P \otimes Q) x y$  ]]  
 ⟨proof⟩

**lemma** *rel-option-restrict-relE* [elim?]:

**assumes**  $\text{rel-option } (R \upharpoonright P \otimes Q) x y$   
**obtains**  $\text{rel-option } R x y \text{ pred-option } P x \text{ pred-option } Q y$   
 ⟨proof⟩

**lemma** *rel-option-restrict-rel-iff*:

$\text{rel-option } (R \upharpoonright P \otimes Q) x y \iff \text{rel-option } R x y \wedge \text{pred-option } P x \wedge \text{pred-option } Q y$   
 ⟨proof⟩

**lemma** *option-rel-map-restrict-relp*:

**shows** *option-rel-map-restrict-relp1*:

$\text{rel-option } (R \upharpoonright P \otimes Q) (\text{map-option } f x) = \text{rel-option } (R \circ f \upharpoonright P \circ f \otimes Q) x$

**and** *option-rel-map-restrict-relp2*:

$\text{rel-option } (R \upharpoonright P \otimes Q) x (\text{map-option } g y) = \text{rel-option } ((\lambda x. R x \circ g) \upharpoonright P \otimes Q)$

$\circ g$ )  $x y$   
(proof)

**fun** *rel-witness-option* :: 'a option  $\times$  'b option  $\Rightarrow$  ('a  $\times$  'b) option **where**  
  *rel-witness-option* (Some  $x$ , Some  $y$ ) = Some ( $x$ ,  $y$ )  
| *rel-witness-option* (None, None) = None  
| *rel-witness-option* - = None — Just to make the definition complete

**lemma** *rel-witness-option*:  
  **shows** *set-rel-witness-option*:  $\llbracket \text{rel-option } A \ x \ y; (a, b) \in \text{set-option } (\text{rel-witness-option } (x, y)) \rrbracket \Longrightarrow A \ a \ b$   
  **and** *map1-rel-witness-option*:  $\text{rel-option } A \ x \ y \Longrightarrow \text{map-option } \text{fst } (\text{rel-witness-option } (x, y)) = x$   
  **and** *map2-rel-witness-option*:  $\text{rel-option } A \ x \ y \Longrightarrow \text{map-option } \text{snd } (\text{rel-witness-option } (x, y)) = y$   
  (proof)

**lemma** *rel-witness-option1*:  
  **assumes** *rel-option*  $A \ x \ y$   
  **shows** *rel-option*  $(\lambda a \ (a', b). a = a' \wedge A \ a' \ b) \ x \ (\text{rel-witness-option } (x, y))$   
  (proof)

**lemma** *rel-witness-option2*:  
  **assumes** *rel-option*  $A \ x \ y$   
  **shows** *rel-option*  $(\lambda(a, b') \ b. b = b' \wedge A \ a \ b') \ (\text{rel-witness-option } (x, y)) \ y$   
  (proof)

### 1.5.2 Orders on option

**abbreviation** *le-option* :: 'a option  $\Rightarrow$  'a option  $\Rightarrow$  bool  
**where** *le-option*  $\equiv$  *ord-option* (=)

**lemma** *le-option-bind-mono*:  
   $\llbracket \text{le-option } x \ y; \bigwedge a. a \in \text{set-option } x \Longrightarrow \text{le-option } (f \ a) \ (g \ a) \rrbracket$   
   $\Longrightarrow \text{le-option } (\text{Option.bind } x \ f) \ (\text{Option.bind } y \ g)$   
  (proof)

**lemma** *le-option-refl* [simp]: *le-option*  $x \ x$   
(proof)

**lemma** *le-option-conv-option-ord*: *le-option* = *option-ord*  
(proof)

**definition** *pcr-Some* :: ('a  $\Rightarrow$  'b  $\Rightarrow$  bool)  $\Rightarrow$  'a  $\Rightarrow$  'b option  $\Rightarrow$  bool  
**where** *pcr-Some*  $R \ x \ y \longleftrightarrow (\exists z. y = \text{Some } z \wedge R \ x \ z)$

**lemma** *pcr-Some-simps* [simp]: *pcr-Some*  $R \ x \ (\text{Some } y) \longleftrightarrow R \ x \ y$   
(proof)

**lemma** *pcr-SomeE* [*cases pred*]:  
**assumes** *pcr-Some R x y*  
**obtains** (*pcr-Some*) *z* **where** *y = Some z R x z*  
*<proof>*

### 1.5.3 Filter for option

**fun** *filter-option* :: (*'a*  $\Rightarrow$  *bool*)  $\Rightarrow$  *'a option*  $\Rightarrow$  *'a option*  
**where**  
*filter-option P None = None*  
| *filter-option P (Some x) = (if P x then Some x else None)*

**lemma** *set-filter-option* [*simp*]: *set-option (filter-option P x) = {y  $\in$  set-option x. P y}*  
*<proof>*

**lemma** *filter-map-option*: *filter-option P (map-option f x) = map-option f (filter-option (P  $\circ$  f) x)*  
*<proof>*

**lemma** *is-none-filter-option* [*simp*]: *Option.is-none (filter-option P x)  $\longleftrightarrow$  Option.is-none x  $\vee$   $\neg$  P (the x)*  
*<proof>*

**lemma** *filter-option-eq-Some-iff* [*simp*]: *filter-option P x = Some y  $\longleftrightarrow$  x = Some y  $\wedge$  P y*  
*<proof>*

**lemma** *Some-eq-filter-option-iff* [*simp*]: *Some y = filter-option P x  $\longleftrightarrow$  x = Some y  $\wedge$  P y*  
*<proof>*

**lemma** *filter-conv-bind-option*: *filter-option P x = Option.bind x ( $\lambda y.$  if P y then Some y else None)*  
*<proof>*

### 1.5.4 Assert for option

**primrec** *assert-option* :: *bool*  $\Rightarrow$  *unit option* **where**  
*assert-option True = Some ()*  
| *assert-option False = None*

**lemma** *set-assert-option-conv*: *set-option (assert-option b) = (if b then {()} else {})*  
*<proof>*

**lemma** *in-set-assert-option* [*simp*]: *x  $\in$  set-option (assert-option b)  $\longleftrightarrow$  b*  
*<proof>*

### 1.5.5 Join on options

**definition** *join-option* :: 'a option option  $\Rightarrow$  'a option  
**where** *join-option* x = (case x of Some y  $\Rightarrow$  y | None  $\Rightarrow$  None)

**simps-of-case** *join-simps* [simp, code]: *join-option-def*

**lemma** *set-join-option* [simp]: *set-option* (*join-option* x) =  $\bigcup$  (*set-option* ' *set-option* x)  
<proof>

**lemma** *in-set-join-option*: x  $\in$  *set-option* (*join-option* (Some (Some x)))  
<proof>

**lemma** *map-join-option*: *map-option* f (*join-option* x) = *join-option* (*map-option* (*map-option* f) x)  
<proof>

**lemma** *bind-conv-join-option*: *Option.bind* x f = *join-option* (*map-option* f x)  
<proof>

**lemma** *join-conv-bind-option*: *join-option* x = *Option.bind* x id  
<proof>

**lemma** *join-option-parametric* [transfer-rule]:  
  **includes** *lifting-syntax* **shows**  
  (*rel-option* (*rel-option* R)  $\implies$  *rel-option* R) *join-option* *join-option*  
<proof>

**lemma** *join-option-eq-Some* [simp]: *join-option* x = Some y  $\longleftrightarrow$  x = Some (Some y)  
<proof>

**lemma** *Some-eq-join-option* [simp]: Some y = *join-option* x  $\longleftrightarrow$  x = Some (Some y)  
<proof>

**lemma** *join-option-eq-None*: *join-option* x = None  $\longleftrightarrow$  x = None  $\vee$  x = Some None  
<proof>

**lemma** *None-eq-join-option*: None = *join-option* x  $\longleftrightarrow$  x = None  $\vee$  x = Some None  
<proof>

### 1.5.6 Zip on options

**function** *zip-option* :: 'a option  $\Rightarrow$  'b option  $\Rightarrow$  ('a  $\times$  'b) option  
**where**  
  *zip-option* (Some x) (Some y) = Some (x, y)

| *zip-option - None = None*  
 | *zip-option None - = None*  
 <proof>

**termination** <proof>

**lemma** *zip-option-eq-Some-iff* [iff]:

*zip-option x y = Some (a, b)  $\longleftrightarrow$  x = Some a  $\wedge$  y = Some b*  
 <proof>

**lemma** *set-zip-option* [simp]:

*set-option (zip-option x y) = set-option x  $\times$  set-option y*  
 <proof>

**lemma** *zip-map-option1*: *zip-option (map-option f x) y = map-option (apfst f)*

*(zip-option x y)*  
 <proof>

**lemma** *zip-map-option2*: *zip-option x (map-option g y) = map-option (apsnd g)*

*(zip-option x y)*  
 <proof>

**lemma** *map-zip-option*:

*map-option (map-prod f g) (zip-option x y) = zip-option (map-option f x) (map-option g y)*  
 <proof>

**lemma** *zip-conv-bind-option*:

*zip-option x y = Option.bind x ( $\lambda x$ . Option.bind y ( $\lambda y$ . Some (x, y)))*  
 <proof>

**lemma** *zip-option-parametric* [transfer-rule]:

**includes** *lifting-syntax shows*  
*(rel-option R  $\implies$  rel-option Q  $\implies$  rel-option (rel-prod R Q)) zip-option*  
*zip-option*  
 <proof>

**lemma** *rel-option-eqI* [simp]: *rel-option (=) x x*

<proof>

### 1.5.7 Binary supremum on 'a option

**primrec** *sup-option* :: 'a option  $\Rightarrow$  'a option  $\Rightarrow$  'a option

**where**

*sup-option x None = x*  
 | *sup-option x (Some y) = (Some y)*

**lemma** *sup-option-idem* [simp]: *sup-option x x = x*

<proof>

**lemma** *sup-option-assoc*:  $\text{sup-option } (\text{sup-option } x \ y) \ z = \text{sup-option } x \ (\text{sup-option } y \ z)$   
 ⟨proof⟩

**lemma** *sup-option-left-idem*:  $\text{sup-option } x \ (\text{sup-option } x \ y) = \text{sup-option } x \ y$   
 ⟨proof⟩

**lemmas** *sup-option-ai* = *sup-option-assoc* *sup-option-left-idem*

**lemma** *sup-option-None* [simp]:  $\text{sup-option } \text{None } y = y$   
 ⟨proof⟩

### 1.5.8 Restriction on 'a option

**primrec** (*transfer*) *enforce-option* :: ('a ⇒ bool) ⇒ 'a option ⇒ 'a option **where**  
*enforce-option*  $P$  (Some  $x$ ) = (if  $P$   $x$  then Some  $x$  else None)  
 | *enforce-option*  $P$  None = None

**lemma** *set-enforce-option* [simp]:  $\text{set-option } (\text{enforce-option } P \ x) = \{a \in \text{set-option } x. P \ a\}$   
 ⟨proof⟩

**lemma** *enforce-map-option*:  $\text{enforce-option } P \ (\text{map-option } f \ x) = \text{map-option } f \ (\text{enforce-option } (P \circ f) \ x)$   
 ⟨proof⟩

**lemma** *enforce-bind-option* [simp]:  
 $\text{enforce-option } P \ (\text{Option.bind } x \ f) = \text{Option.bind } x \ (\text{enforce-option } P \circ f)$   
 ⟨proof⟩

**lemma** *enforce-option-alt-def*:  
 $\text{enforce-option } P \ x = \text{Option.bind } x \ (\lambda a. \text{Option.bind } (\text{assert-option } (P \ a)) \ (\lambda - :: \text{unit}. \text{Some } a))$   
 ⟨proof⟩

**lemma** *enforce-option-eq-None-iff* [simp]:  
 $\text{enforce-option } P \ x = \text{None} \longleftrightarrow (\forall a. x = \text{Some } a \longrightarrow \neg P \ a)$   
 ⟨proof⟩

**lemma** *enforce-option-eq-Some-iff* [simp]:  
 $\text{enforce-option } P \ x = \text{Some } y \longleftrightarrow x = \text{Some } y \wedge P \ y$   
 ⟨proof⟩

**lemma** *Some-eq-enforce-option-iff* [simp]:  
 $\text{Some } y = \text{enforce-option } P \ x \longleftrightarrow x = \text{Some } y \wedge P \ y$   
 ⟨proof⟩

**lemma** *enforce-option-top* [simp]:  $\text{enforce-option } \top = \text{id}$   
 ⟨proof⟩

**lemma** *enforce-option-K-True* [simp]: *enforce-option* ( $\lambda\cdot$ . *True*)  $x = x$   
 ⟨*proof*⟩

**lemma** *enforce-option-bot* [simp]: *enforce-option*  $\perp = (\lambda\cdot$ . *None*)  
 ⟨*proof*⟩

**lemma** *enforce-option-K-False* [simp]: *enforce-option* ( $\lambda\cdot$ . *False*)  $x = \text{None}$   
 ⟨*proof*⟩

**lemma** *enforce-pred-id-option*: *pred-option*  $P x \implies \text{enforce-option } P x = x$   
 ⟨*proof*⟩

### 1.5.9 Maps

**lemma** *map-add-apply*:  $(m1 ++ m2) x = \text{sup-option } (m1 x) (m2 x)$   
 ⟨*proof*⟩

**lemma** *map-le-map-upd2*:  $\llbracket f \subseteq_m g; \bigwedge y'. f x = \text{Some } y' \implies y' = y \rrbracket \implies f \subseteq_m g(x \mapsto y)$   
 ⟨*proof*⟩

**lemma** *eq-None-iff-not-dom*:  $f x = \text{None} \longleftrightarrow x \notin \text{dom } f$   
 ⟨*proof*⟩

**lemma** *card-ran-le-dom*:  $\text{finite } (\text{dom } m) \implies \text{card } (\text{ran } m) \leq \text{card } (\text{dom } m)$   
 ⟨*proof*⟩

**lemma** *dom-subset-ran-iff*:  
**assumes** *finite* (*ran*  $m$ )  
**shows**  $\text{dom } m \subseteq \text{ran } m \longleftrightarrow \text{dom } m = \text{ran } m$   
 ⟨*proof*⟩

We need a polymorphic constant for the empty map such that *transfer-prover* can use a custom transfer rule for *Map.empty*

**definition** *Map-empty* **where** [simp]: *Map-empty*  $\equiv \text{Map.empty}$

**lemma** *map-le-SomeID*:  $\llbracket m \subseteq_m m'; m x = \text{Some } y \rrbracket \implies m' x = \text{Some } y$   
 ⟨*proof*⟩

**lemma** *map-le-fun-upd2*:  $\llbracket f \subseteq_m g; x \notin \text{dom } f \rrbracket \implies f \subseteq_m g(x := y)$   
 ⟨*proof*⟩

**lemma** *map-eqI*:  $\forall x \in \text{dom } m \cup \text{dom } m'. m x = m' x \implies m = m'$   
 ⟨*proof*⟩

### 1.6 Countable

**lemma** *countable-lfp*:



**assumes** *step*:  $\bigwedge Y. \text{countable } Y \implies \text{countable } (F Y)$   
**and** *cont*: *Order-Continuity.sup-continuous*  $F$   
**shows** *countable* ( $\text{lfp } F$ )  
 <proof>

**lemma** *countable-lfp-apply*:  
**assumes** *step*:  $\bigwedge Y x. (\bigwedge x. \text{countable } (Y x)) \implies \text{countable } (F Y x)$   
**and** *cont*: *Order-Continuity.sup-continuous*  $F$   
**shows** *countable* ( $\text{lfp } F x$ )  
 <proof>

## 1.7 Extended naturals

**lemma** *idiff-enat-eq-enat-iff*:  $x - \text{enat } n = \text{enat } m \iff (\exists k. x = \text{enat } k \wedge k - n = m)$   
 <proof>

**lemma** *eSuc-SUP*:  $A \neq \{\}$   $\implies e\text{Suc } (\bigsqcup (f \text{ ' } A)) = (\bigsqcup x \in A. e\text{Suc } (f x))$   
 <proof>

**lemma** *ereal-of-enat-1*: *ereal-of-enat*  $1 = \text{ereal } 1$   
 <proof>

**lemma** *ennreal-real-conv-ennreal-of-enat*: *ennreal* (*real*  $n$ ) = *ennreal-of-enat*  $n$   
 <proof>

**lemma** *enat-add-sub-same2*:  $b \neq \infty \implies a + b - b = (a :: \text{enat})$   
 <proof>

**lemma** *enat-sub-add*:  $y \leq x \implies x - y + z = x + z - (y :: \text{enat})$   
 <proof>

**lemma** *SUP-enat-eq-0-iff* [*simp*]:  $\bigsqcup (f \text{ ' } A) = (0 :: \text{enat}) \iff (\forall x \in A. f x = 0)$   
 <proof>

**lemma** *SUP-enat-add-left*:  
**assumes**  $I \neq \{\}$   
**shows**  $(\text{SUP } i \in I. f i + c :: \text{enat}) = (\text{SUP } i \in I. f i) + c$  (**is** ?lhs = ?rhs)  
 <proof>

**lemma** *SUP-enat-add-right*:  
**assumes**  $I \neq \{\}$   
**shows**  $(\text{SUP } i \in I. c + f i :: \text{enat}) = c + (\text{SUP } i \in I. f i)$   
 <proof>

**lemma** *iadd-SUP-le-iff*:  $n + (\text{SUP } x \in A. f x :: \text{enat}) \leq y \iff (\text{if } A = \{\} \text{ then } n \leq y \text{ else } \forall x \in A. n + f x \leq y)$   
 <proof>

**lemma** *SUP-iadd-le-iff*:  $(\text{SUP } x \in A. f\ x :: \text{enat}) + n \leq y \longleftrightarrow (\text{if } A = \{\} \text{ then } n \leq y \text{ else } \forall x \in A. f\ x + n \leq y)$   
 ⟨proof⟩

## 1.8 Extended non-negative reals

**lemma** (*in finite-measure*) *nn-integral-indicator-neq-infty*:  
 $f - ' A \in \text{sets } M \implies (\int^+ x. \text{indicator } A (f\ x) \partial M) \neq \infty$   
 ⟨proof⟩

**lemma** (*in finite-measure*) *nn-integral-indicator-neq-top*:  
 $f - ' A \in \text{sets } M \implies (\int^+ x. \text{indicator } A (f\ x) \partial M) \neq \top$   
 ⟨proof⟩

**lemma** *nn-integral-indicator-map*:  
**assumes** [*measurable*]:  $f \in \text{measurable } M\ N \ \{x \in \text{space } N. P\ x\} \in \text{sets } N$   
**shows**  $(\int^+ x. \text{indicator } \{x \in \text{space } N. P\ x\} (f\ x) \partial M) = \text{emeasure } M \ \{x \in \text{space } M. P (f\ x)\}$   
 ⟨proof⟩

## 1.9 BNF material

**lemma** *transp-rel-fun*:  $\llbracket \text{is-equality } Q; \text{transp } R \rrbracket \implies \text{transp } (\text{rel-fun } Q\ R)$   
 ⟨proof⟩

**lemma** *rel-fun-inf*:  $\text{inf } (\text{rel-fun } Q\ R) (\text{rel-fun } Q\ R') = \text{rel-fun } Q (\text{inf } R\ R')$   
 ⟨proof⟩

**lemma** *reflp-fun1*: **includes** *lifting-syntax* **shows**  $\llbracket \text{is-equality } A; \text{reflp } B \rrbracket \implies \text{reflp } (A \text{ ===> } B)$   
 ⟨proof⟩

**lemma** *type-copy-id'*: *type-definition*  $(\lambda x. x) (\lambda x. x) \text{ UNIV}$   
 ⟨proof⟩

**lemma** *type-copy-id*: *type-definition*  $\text{id id UNIV}$   
 ⟨proof⟩

**lemma** *GrpE* [*cases pred*]:  
**assumes** *BNF-Def.Grp*  $A\ f\ x\ y$   
**obtains**  $(\text{Grp})\ y = f\ x\ x \in A$   
 ⟨proof⟩

**lemma** *rel-fun-Grp-copy-Abs*:  
**includes** *lifting-syntax*  
**assumes** *type-definition*  $\text{Rep Abs } A$   
**shows**  $\text{rel-fun } (\text{BNF-Def.Grp } A\ \text{Abs}) (\text{BNF-Def.Grp } B\ g) = \text{BNF-Def.Grp } \{f. f - ' A \subseteq B\} (\text{Rep } \text{---->} g)$   
 ⟨proof⟩

**lemma** *rel-set-Grp*:

*rel-set* (BNF-Def.Grp  $A f$ ) = BNF-Def.Grp { $B. B \subseteq A$ } (*image f*)  
<proof>

**lemma** *rel-set-comp-Grp*:

*rel-set*  $R = (\text{BNF-Def.Grp } \{x. x \subseteq \{(x, y). R x y\}\} ((\cdot) \text{fst}))^{-1-1} \text{ OO } \text{BNF-Def.Grp } \{x. x \subseteq \{(x, y). R x y\}\} ((\cdot) \text{snd})$   
<proof>

**lemma** *Domainp-Grp*: *Domainp* (BNF-Def.Grp  $A f$ ) = ( $\lambda x. x \in A$ )

<proof>

**lemma** *pred-prod-conj [simp]*:

**shows** *pred-prod-conj1*:  $\bigwedge P Q R. \text{pred-prod } (\lambda x. P x \wedge Q x) R = (\lambda x. \text{pred-prod } P R x \wedge \text{pred-prod } Q R x)$

**and** *pred-prod-conj2*:  $\bigwedge P Q R. \text{pred-prod } P (\lambda x. Q x \wedge R x) = (\lambda x. \text{pred-prod } P Q x \wedge \text{pred-prod } P R x)$

<proof>

**lemma** *pred-sum-conj [simp]*:

**shows** *pred-sum-conj1*:  $\bigwedge P Q R. \text{pred-sum } (\lambda x. P x \wedge Q x) R = (\lambda x. \text{pred-sum } P R x \wedge \text{pred-sum } Q R x)$

**and** *pred-sum-conj2*:  $\bigwedge P Q R. \text{pred-sum } P (\lambda x. Q x \wedge R x) = (\lambda x. \text{pred-sum } P Q x \wedge \text{pred-sum } P R x)$

<proof>

**lemma** *pred-list-conj [simp]*: *list-all* ( $\lambda x. P x \wedge Q x$ ) = ( $\lambda x. \text{list-all } P x \wedge \text{list-all } Q x$ )

<proof>

**lemma** *pred-prod-top [simp]*:

*pred-prod* ( $\lambda-. \text{True}$ ) ( $\lambda-. \text{True}$ ) = ( $\lambda-. \text{True}$ )

<proof>

**lemma** *rel-fun-conversep*: **includes** *lifting-syntax* **shows**

$(A^{\hat{\ }--1} ==> B^{\hat{\ }--1}) = (A ==> B)^{\hat{\ }--1}$

<proof>

**lemma** *left-unique-Grp [iff]*:

*left-unique* (BNF-Def.Grp  $A f$ )  $\longleftrightarrow$  *inj-on f A*

<proof>

**lemma** *right-unique-Grp [simp, intro!]*: *right-unique* (BNF-Def.Grp  $A f$ )

<proof>

**lemma** *bi-unique-Grp [iff]*:

*bi-unique* (BNF-Def.Grp  $A f$ )  $\longleftrightarrow$  *inj-on f A*

<proof>

**lemma** *left-total-Grp* [iff]:  
 $\text{left-total } (\text{BNF-Def.Grp } A f) \longleftrightarrow A = \text{UNIV}$   
 ⟨proof⟩

**lemma** *right-total-Grp* [iff]:  
 $\text{right-total } (\text{BNF-Def.Grp } A f) \longleftrightarrow f \text{ ' } A = \text{UNIV}$   
 ⟨proof⟩

**lemma** *bi-total-Grp* [iff]:  
 $\text{bi-total } (\text{BNF-Def.Grp } A f) \longleftrightarrow A = \text{UNIV} \wedge \text{surj } f$   
 ⟨proof⟩

**lemma** *left-unique-vimage2p* [simp]:  
 $\llbracket \text{left-unique } P; \text{inj } f \rrbracket \Longrightarrow \text{left-unique } (\text{BNF-Def.vimage2p } f g P)$   
 ⟨proof⟩

**lemma** *right-unique-vimage2p* [simp]:  
 $\llbracket \text{right-unique } P; \text{inj } g \rrbracket \Longrightarrow \text{right-unique } (\text{BNF-Def.vimage2p } f g P)$   
 ⟨proof⟩

**lemma** *bi-unique-vimage2p* [simp]:  
 $\llbracket \text{bi-unique } P; \text{inj } f; \text{inj } g \rrbracket \Longrightarrow \text{bi-unique } (\text{BNF-Def.vimage2p } f g P)$   
 ⟨proof⟩

**lemma** *left-total-vimage2p* [simp]:  
 $\llbracket \text{left-total } P; \text{surj } g \rrbracket \Longrightarrow \text{left-total } (\text{BNF-Def.vimage2p } f g P)$   
 ⟨proof⟩

**lemma** *right-total-vimage2p* [simp]:  
 $\llbracket \text{right-total } P; \text{surj } f \rrbracket \Longrightarrow \text{right-total } (\text{BNF-Def.vimage2p } f g P)$   
 ⟨proof⟩

**lemma** *bi-total-vimage2p* [simp]:  
 $\llbracket \text{bi-total } P; \text{surj } f; \text{surj } g \rrbracket \Longrightarrow \text{bi-total } (\text{BNF-Def.vimage2p } f g P)$   
 ⟨proof⟩

**lemma** *vimage2p-eq* [simp]:  
 $\text{inj } f \Longrightarrow \text{BNF-Def.vimage2p } f f (=) = (=)$   
 ⟨proof⟩

**lemma** *vimage2p-conversep*:  $\text{BNF-Def.vimage2p } f g R^{\hat{-} - 1} = (\text{BNF-Def.vimage2p } g f R)^{\hat{-} - 1}$   
 ⟨proof⟩

**lemma** *rel-fun-refl*:  $\llbracket A \leq (=); (=) \leq B \rrbracket \Longrightarrow (=) \leq \text{rel-fun } A B$   
 ⟨proof⟩

**lemma** *rel-fun-mono-strong*:  
 $\llbracket \text{rel-fun } A B f g; A' \leq A; \bigwedge x y. \llbracket x \in f \text{ ' } \{x. \text{Domainp } A' x\}; y \in g \text{ ' } \{x. \text{Rangep}$

$A' x \}; B x y \]] \implies B' x y \]] \implies \text{rel-fun } A' B' f g$   
 ⟨proof⟩

**lemma** *rel-fun-refl-strong*:

**assumes**  $A \leq (=) \wedge x. x \in f' \{x. \text{Domainp } A x\} \implies B x x$

**shows**  $\text{rel-fun } A B f f$

⟨proof⟩

**lemma** *Grp-iff*:  $\text{BNF-Def.Grp } B g x y \longleftrightarrow y = g x \wedge x \in B$  ⟨proof⟩

**lemma** *Rangep-Grp*:  $\text{Rangep } (\text{BNF-Def.Grp } A f) = (\lambda x. x \in f' A)$

⟨proof⟩

**lemma** *rel-fun-Grp*:

$\text{rel-fun } (\text{BNF-Def.Grp } \text{UNIV } h)^{-1-1} (\text{BNF-Def.Grp } A g) = \text{BNF-Def.Grp } \{f. f$   
 ‘  $\text{range } h \subseteq A\}$  (map-fun  $h g$ )

⟨proof⟩

## 1.10 Transfer and lifting material

**context includes** *lifting-syntax* **begin**

**lemma** *monotone-parametric* [transfer-rule]:

**assumes** [transfer-rule]: *bi-total*  $A$

**shows**  $((A \implies A \implies (=)) \implies (B \implies B \implies (=)) \implies (A \implies B) \implies (=))$  *monotone monotone*

⟨proof⟩

**lemma** *fun-ord-parametric* [transfer-rule]:

**assumes** [transfer-rule]: *bi-total*  $C$

**shows**  $((A \implies B \implies (=)) \implies (C \implies A) \implies (C \implies B) \implies (=))$  *fun-ord fun-ord*

⟨proof⟩

**lemma** *Plus-parametric* [transfer-rule]:

$(\text{rel-set } A \implies \text{rel-set } B \implies \text{rel-set } (\text{rel-sum } A B)) (<+>) (<+>)$

⟨proof⟩

**lemma** *pred-fun-parametric* [transfer-rule]:

**assumes** [transfer-rule]: *bi-total*  $A$

**shows**  $((A \implies (=)) \implies (B \implies (=)) \implies (A \implies B) \implies (=))$  *pred-fun pred-fun*

⟨proof⟩

**lemma** *rel-fun-eq-OO*:  $((=) \implies A) \text{ OO } ((=) \implies B) = ((=) \implies A \text{ OO } B)$

⟨proof⟩

**end**

**lemma** *Quotient-set-rel-eq*:  
**includes** *lifting-syntax*  
**assumes** *Quotient R Abs Rep T*  
**shows**  $(rel\text{-}set\ T \implies rel\text{-}set\ T \implies (=))\ (rel\text{-}set\ R)\ (=)$   
 $\langle proof \rangle$

**lemma** *Domainp-eq*:  $Domainp\ (=) = (\lambda\cdot. True)$   
 $\langle proof \rangle$

**lemma** *rel-fun-eq-onpI*:  $eq\text{-}onp\ (pred\text{-}fun\ P\ Q)\ f\ g \implies rel\text{-}fun\ (eq\text{-}onp\ P)\ (eq\text{-}onp\ Q)\ f\ g$   
 $\langle proof \rangle$

**lemma** *bi-unique-eq-onp*:  $bi\text{-}unique\ (eq\text{-}onp\ P)$   
 $\langle proof \rangle$

**lemma** *rel-fun-eq-conversep*: **includes** *lifting-syntax* **shows**  $(A^{-1-1} \implies (=)) = (A \implies (=))^{-1-1}$   
 $\langle proof \rangle$

**lemma** *rel-fun-comp*:  
 $\bigwedge f\ g\ h. rel\text{-}fun\ A\ B\ (f \circ g)\ h = rel\text{-}fun\ A\ (\lambda x. B\ (f\ x))\ g\ h$   
 $\bigwedge f\ g\ h. rel\text{-}fun\ A\ B\ f\ (g \circ h) = rel\text{-}fun\ A\ (\lambda x\ y. B\ x\ (g\ y))\ f\ h$   
 $\langle proof \rangle$

**lemma** *rel-fun-map-fun1*:  $rel\text{-}fun\ (BNF\text{-}Def.\ Grp\ UNIV\ h)^{-1-1}\ A\ f\ g \implies rel\text{-}fun\ (=)\ A\ (map\text{-}fun\ h\ id\ f)\ g$   
 $\langle proof \rangle$

**lemma** *map-fun2-id*:  $map\text{-}fun\ f\ g\ x = g \circ map\text{-}fun\ f\ id\ x$   
 $\langle proof \rangle$

**lemma** *map-fun-id2-in*:  $map\text{-}fun\ g\ h\ f = map\text{-}fun\ g\ id\ (h \circ f)$   
 $\langle proof \rangle$

**lemma** *Domainp-rel-fun-le*:  $Domainp\ (rel\text{-}fun\ A\ B) \leq pred\text{-}fun\ (Domainp\ A)\ (Domainp\ B)$   
 $\langle proof \rangle$

**definition** *rel-witness-fun* ::  $('a \Rightarrow 'b \Rightarrow bool) \Rightarrow ('b \Rightarrow 'c \Rightarrow bool) \Rightarrow ('a \Rightarrow 'd) \times ('c \Rightarrow 'e) \Rightarrow ('b \Rightarrow 'd \times 'e)$  **where**  
 $rel\text{-}witness\text{-}fun\ A\ A' = (\lambda(f, g)\ b. (f\ (THE\ a. A\ a\ b), g\ (THE\ c. A'\ b\ c)))$

**lemma**  
**assumes** *fg*:  $rel\text{-}fun\ (A\ OO\ A')\ B\ f\ g$   
**and** *A*:  $left\text{-}unique\ A\ right\text{-}total\ A$   
**and** *A'*:  $right\text{-}unique\ A'\ left\text{-}total\ A'$   
**shows** *rel-witness-fun1*:  $rel\text{-}fun\ A\ (\lambda x\ (x', y). x = x' \wedge B\ x'\ y)\ f\ (rel\text{-}witness\text{-}fun\ A\ A'\ f\ g)$

$A A' (f, g)$   
**and** *rel-witness-fun2*: *rel-fun*  $A' (\lambda(x, y') y. y = y' \wedge B x y')$  (*rel-witness-fun*  
 $A A' (f, g)$ )  $g$   
 $\langle proof \rangle$

**lemma** *rel-witness-fun-eq* [*simp*]: *rel-witness-fun*  $(=)$   $(=)$   $(f, g) = (\lambda x. (f x, g x))$   
 $\langle proof \rangle$

## 1.11 Arithmetic

**lemma** *abs-diff-triangle-ineq2*:  $|a - b| + |c - b| \leq |a - c|$   
 $\langle proof \rangle$

**lemma** (**in** *ordered-ab-semigroup-add*) *add-left-mono-trans*:  
 $\llbracket x \leq a + b; b \leq c \rrbracket \implies x \leq a + c$   
 $\langle proof \rangle$

**lemma** *of-nat-le-one-cancel-iff* [*simp*]:  
**fixes**  $n :: nat$  **shows** *real*  $n \leq 1 \iff n \leq 1$   
 $\langle proof \rangle$

**lemma** (**in** *linordered-semidom*) *mult-right-le*:  $c \leq 1 \implies 0 \leq a \implies c * a \leq a$   
 $\langle proof \rangle$

## 1.12 Chain-complete partial orders and partial-function

**lemma** *fun-ordD*: *fun-ord*  $ord f g \implies ord (f x) (g x)$   
 $\langle proof \rangle$

**lemma** *parallel-fixp-induct-strong*:  
**assumes** *ccpo1*: *class.ccpo* *luba* *orda* (*mk-less* *orda*)  
**and** *ccpo2*: *class.ccpo* *lubb* *ordb* (*mk-less* *ordb*)  
**and** *adm*: *ccpo.admissible* (*prod-lub* *luba* *lubb*) (*rel-prod* *orda* *ordb*)  $(\lambda x. P (fst x)$   
 $(snd x))$   
**and** *f*: *monotone* *orda* *orda* *f*  
**and** *g*: *monotone* *ordb* *ordb* *g*  
**and** *bot*:  $P (luba \{\}) (lubb \{\})$   
**and** *step*:  $\bigwedge x y. \llbracket orda x (ccpo.fixp\ luba\ orda\ f); ordb y (ccpo.fixp\ lubb\ ordb\ g); P$   
 $x\ y \rrbracket \implies P (f\ x) (g\ y)$   
**shows**  $P (ccpo.fixp\ luba\ orda\ f) (ccpo.fixp\ lubb\ ordb\ g)$   
 $\langle proof \rangle$

**lemma** *parallel-fixp-induct-strong-uc*:  
**assumes** *a*: *partial-function-definitions* *orda* *luba*  
**and** *b*: *partial-function-definitions* *ordb* *lubb*  
**and** *F*:  $\bigwedge x. monotone (fun-ord\ orda)\ orda (\lambda f. U1 (F (C1\ f))\ x)$   
**and** *G*:  $\bigwedge y. monotone (fun-ord\ ordb)\ ordb (\lambda g. U2 (G (C2\ g))\ y)$   
**and** *eq1*:  $f \equiv C1 (ccpo.fixp (fun-lub\ luba) (fun-ord\ orda) (\lambda f. U1 (F (C1\ f))))$   
**and** *eq2*:  $g \equiv C2 (ccpo.fixp (fun-lub\ lubb) (fun-ord\ ordb) (\lambda g. U2 (G (C2\ g))))$

**and inverse:**  $\bigwedge f. U1 (C1 f) = f$   
**and inverse2:**  $\bigwedge g. U2 (C2 g) = g$   
**and adm:**  $ccpo.admissible (prod-lub (fun-lub luba) (fun-lub lubb)) (rel-prod (fun-ord orda) (fun-ord ordb)) (\lambda x. P (fst x) (snd x))$   
**and bot:**  $P (\lambda-. luba \{\}) (\lambda-. lubb \{\})$   
**and step:**  $\bigwedge f' g'. \llbracket \bigwedge x. orda (U1 f' x) (U1 f x); \bigwedge y. ordb (U2 g' y) (U2 g y); P (U1 f') (U2 g') \rrbracket \implies P (U1 (F f')) (U2 (G g'))$   
**shows**  $P (U1 f) (U2 g)$   
 $\langle proof \rangle$

**lemmas parallel-fixp-induct-strong-1-1 = parallel-fixp-induct-strong-uc**  
*of* - - - -  $\lambda x. x - \lambda x. x \lambda x. x - \lambda x. x,$   
*OF* - - - - - *refl refl*]

**lemmas parallel-fixp-induct-strong-2-2 = parallel-fixp-induct-strong-uc**  
*of* - - - - *case-prod - curry case-prod - curry,*  
**where**  $P = \lambda f g. P (curry f) (curry g),$   
*unfolded case-prod-curry curry-case-prod curry-K,*  
*OF* - - - - - *refl refl,*  
*split-format (complete), unfolded prod.case]*  
**for**  $P$

**lemma fixp-induct-option':** — Stronger induction rule  
**fixes**  $F :: 'c \Rightarrow 'c$  **and**  
 $U :: 'c \Rightarrow 'b \Rightarrow 'a$  **option and**  
 $C :: ('b \Rightarrow 'a \text{ option}) \Rightarrow 'c$  **and**  
 $P :: 'b \Rightarrow 'a \Rightarrow bool$   
**assumes** *mono:*  $\bigwedge x. mono-option (\lambda f. U (F (C f)) x)$   
**assumes** *eq:*  $f \equiv C (ccpo.fixp (fun-lub (flat-lub None)) (fun-ord option-ord) (\lambda f. U (F (C f))))$   
**assumes** *inverse2:*  $\bigwedge f. U (C f) = f$   
**assumes** *step:*  $\bigwedge g x y. \llbracket \bigwedge x y. U g x = Some y \implies P x y; U (F g) x = Some y; \bigwedge x. option-ord (U g x) (U f x) \rrbracket \implies P x y$   
**assumes** *defined:*  $U f x = Some y$   
**shows**  $P x y$   
 $\langle proof \rangle$

$\langle ML \rangle$

**lemma bot-fun-least [simp]:**  $(\lambda-. bot :: 'a :: order-bot) \leq x$   
 $\langle proof \rangle$

**lemma fun-ord-conv-rel-fun:**  $fun-ord = rel-fun (=)$   
 $\langle proof \rangle$

**inductive finite-chains**  $:: ('a \Rightarrow 'a \Rightarrow bool) \Rightarrow bool$   
**for**  $ord$   
**where** *finite-chainsI:*  $(\bigwedge Y. Complete-Partial-Order.chain ord Y \implies finite Y) \implies finite-chains ord$



**lemma** *finite-chainsD*:  $\llbracket \text{finite-chains ord}; \text{Complete-Partial-Order.chain ord } Y \rrbracket$   
 $\implies \text{finite } Y$   
 $\langle \text{proof} \rangle$

**lemma** *finite-chains-flat-ord* [*simp, intro!*]: *finite-chains (flat-ord x)*  
 $\langle \text{proof} \rangle$

**lemma** *mcont-finite-chains*:  
**assumes** *finite: finite-chains ord*  
**and** *mono: monotone ord ord' f*  
**and** *ccpo: class.ccpo lub ord (mk-less ord)*  
**and** *ccpo': class.ccpo lub' ord' (mk-less ord')*  
**shows** *mcont lub ord lub' ord' f*  
 $\langle \text{proof} \rangle$

**lemma** *rel-fun-curry: includes lifting-syntax shows*  
 $(A \implies B \implies C) f g \longleftrightarrow (\text{rel-prod } A \ B \implies C) (\text{case-prod } f) (\text{case-prod } g)$   
 $\langle \text{proof} \rangle$

**lemma** (**in** *ccpo*) *Sup-image-mono*:  
**assumes** *ccpo: class.ccpo luba orda lessa*  
**and** *mono: monotone orda ( $\leq$ ) f*  
**and** *chain: Complete-Partial-Order.chain orda A*  
**and**  $A \neq \{\}$   
**shows**  $\text{Sup } (f \text{ ` } A) \leq (f (\text{luba } A))$   
 $\langle \text{proof} \rangle$

**lemma** (**in** *ccpo*) *admissible-le-mono*:  
**assumes** *monotone ( $\leq$ ) ( $\leq$ ) f*  
**shows** *ccpo.admissible Sup ( $\leq$ ) ( $\lambda x. x \leq f x$ )*  
 $\langle \text{proof} \rangle$

**lemma** (**in** *ccpo*) *fixp-induct-strong2*:  
**assumes** *adm: ccpo.admissible Sup ( $\leq$ ) P*  
**and** *mono: monotone ( $\leq$ ) ( $\leq$ ) f*  
**and** *bot: P ( $\bigsqcup \{\}$ )*  
**and** *step:  $\bigwedge x. \llbracket x \leq \text{ccpo-class.fixp } f; x \leq f x; P x \rrbracket \implies P (f x)$*   
**shows**  $P (\text{ccpo-class.fixp } f)$   
 $\langle \text{proof} \rangle$

**context** *partial-function-definitions* **begin**

**lemma** *fixp-induct-strong2-uc*:  
**fixes**  $F :: 'c \Rightarrow 'c$   
**and**  $U :: 'c \Rightarrow 'b \Rightarrow 'a$   
**and**  $C :: ('b \Rightarrow 'a) \Rightarrow 'c$   
**and**  $P :: ('b \Rightarrow 'a) \Rightarrow \text{bool}$

**assumes** *mono*:  $\bigwedge x. \text{mono-body } (\lambda f. U (F (C f))) x$   
**and** *eq*:  $f \equiv C (\text{fixp-fun } (\lambda f. U (F (C f))))$   
**and** *inverse*:  $\bigwedge f. U (C f) = f$   
**and** *adm*: *ccpo.admissible lub-fun le-fun* *P*  
**and** *bot*:  $P (\lambda-. \text{lub } \{\})$   
**and** *step*:  $\bigwedge f'. \llbracket \text{le-fun } (U f') (U f); \text{le-fun } (U f') (U (F f')); P (U f') \rrbracket \implies$   
 $P (U (F f'))$   
**shows**  $P (U f)$   
*<proof>*

**end**

**lemmas** *parallel-fixp-induct-2-4 = parallel-fixp-induct-uc*  
*of - - - case-prod - curry*  $\lambda f. \text{case-prod } (\text{case-prod } (\text{case-prod } f)) - \lambda f. \text{curry}$   
*(curry (curry f))*,  
**where**  $P = \lambda f g. P (\text{curry } f) (\text{curry } (\text{curry } g))$ ,  
*unfolded case-prod-curry curry-case-prod curry-K*,  
*OF - - - - - refl refl*  
**for** *P*

**lemma** (**in** *ccpo*) *fixp-greatest*:  
**assumes** *f*: *monotone*  $(\leq) (\leq) f$   
**and** *ge*:  $\bigwedge y. f y \leq y \implies x \leq y$   
**shows**  $x \leq \text{ccpo.fixp Sup } (\leq) f$   
*<proof>*

**lemma** *fixp-rolling*:  
**assumes** *class.ccpo lub1 leq1* (*mk-less leq1*)  
**and** *class.ccpo lub2 leq2* (*mk-less leq2*)  
**and** *f*: *monotone leq1 leq2* *f*  
**and** *g*: *monotone leq2 leq1* *g*  
**shows**  $\text{ccpo.fixp lub1 leq1 } (\lambda x. g (f x)) = g (\text{ccpo.fixp lub2 leq2 } (\lambda x. f (g x)))$   
*<proof>*

**lemma** *fixp-lfp-parametric-eq*:  
**includes** *lifting-syntax*  
**assumes** *f*:  $\bigwedge x. \text{lfp.mono-body } (\lambda f. F f x)$   
**and** *g*:  $\bigwedge x. \text{lfp.mono-body } (\lambda f. G f x)$   
**and** *param*:  $((A \text{====>} (=)) \text{====>} A \text{====>} (=)) F G$   
**shows**  $(A \text{====>} (=)) (\text{lfp.fixp-fun } F) (\text{lfp.fixp-fun } G)$   
*<proof>*

**lemma** *mono2mono-map-option*[*THEN option.mono2mono, simp, cont-intro*]:  
**shows** *monotone-map-option*: *monotone option-ord option-ord* (*map-option f*)  
*<proof>*

**lemma** *mcont2mcont-map-option*[*THEN option.mcont2mcont, simp, cont-intro*]:  
**shows** *mcont-map-option*: *mcont (flat-lub None) option-ord (flat-lub None) op-*  
*tion-ord* (*map-option f*)

*<proof>*

**lemma** *mono2mono-set-option* [*THEN lfp.mono2mono*]:  
  **shows** *monotone-set-option: monotone option-ord* ( $\subseteq$ ) *set-option*  
*<proof>*

**lemma** *mcont2mcont-set-option* [*THEN lfp.mcont2mcont, cont-intro, simp*]:  
  **shows** *mcont-set-option: mcont (flat-lub None) option-ord Union* ( $\subseteq$ ) *set-option*  
*<proof>*

**lemma** *eadd-gfp-partial-function-mono* [*partial-function-mono*]:  
   $\llbracket \text{monotone (fun-ord } (\geq)) (\geq) f; \text{monotone (fun-ord } (\geq)) (\geq) g \rrbracket$   
   $\implies \text{monotone (fun-ord } (\geq)) (\geq) (\lambda x. f x + g x :: \text{enat})$   
*<proof>*

**lemma** *map-option-mono* [*partial-function-mono*]:  
   $\text{mono-option } B \implies \text{mono-option } (\lambda f. \text{map-option } g (B f))$   
*<proof>*

### 1.13 Folding over finite sets

**lemma** (*in comp-fun-commute*) *fold-invariant-remove* [*consumes 1, case-names start step*]:  
  **assumes** *fin: finite A*  
  **and start:**  $I A s$   
  **and step:**  $\bigwedge x s A'. \llbracket x \in A'; I A' s; A' \subseteq A \rrbracket \implies I (A' - \{x\}) (f x s)$   
  **shows**  $I \{\}$  (*Finite-Set.fold*  $f s A$ )  
*<proof>*

**lemma** (*in comp-fun-commute*) *fold-invariant-insert* [*consumes 1, case-names start step*]:  
  **assumes** *fin: finite A*  
  **and start:**  $I \{\} s$   
  **and step:**  $\bigwedge x s A'. \llbracket I A' s; x \notin A'; x \in A; A' \subseteq A \rrbracket \implies I (\text{insert } x A') (f x s)$   
  **shows**  $I A$  (*Finite-Set.fold*  $f s A$ )  
*<proof>*

**lemma** (*in comp-fun-idem*) *fold-set-union*:  
  **assumes** *finite A finite B*  
  **shows**  $\text{Finite-Set.fold } f z (A \cup B) = \text{Finite-Set.fold } f (\text{Finite-Set.fold } f z A) B$   
*<proof>*

### 1.14 Parametrisation of transfer rules

*<ML>*

### 1.15 Lists

**lemma** *nth-eq-tII*:  $xs ! n = z \implies (x \# xs) ! \text{Suc } n = z$   
*<proof>*

**lemma** *list-all2-append'*:

$length\ us = length\ vs \implies list\text{-}all2\ P\ (xs\ @\ us)\ (ys\ @\ vs) \longleftrightarrow list\text{-}all2\ P\ xs\ ys \wedge list\text{-}all2\ P\ us\ vs$   
*<proof>*

**definition** *disjointp* :: ('a  $\Rightarrow$  bool) list  $\Rightarrow$  bool

**where** *disjointp* xs = *disjoint-family-on* ( $\lambda n. \{x. (xs\ !\ n)\ x\}$ ) {0..*length* xs}

**lemma** *disjointpD*:

$\llbracket disjointp\ xs; (xs\ !\ n)\ x; (xs\ !\ m)\ x; n < length\ xs; m < length\ xs \rrbracket \implies n = m$   
*<proof>*

**lemma** *disjointpD'*:

$\llbracket disjointp\ xs; P\ x; Q\ x; xs\ !\ n = P; xs\ !\ m = Q; n < length\ xs; m < length\ xs \rrbracket \implies n = m$   
*<proof>*

**lemma** *wf-strict-prefix*: *wfP* *strict-prefix*

*<proof>*

**lemma** *strict-prefix-setD*:

*strict-prefix* xs ys  $\implies set\ xs \subseteq set\ ys$   
*<proof>*

### 1.15.1 List of a given length

**inductive-set** *nlists* :: 'a set  $\Rightarrow$  nat  $\Rightarrow$  'a list set **for** A n

**where** *nlists*:  $\llbracket set\ xs \subseteq A; length\ xs = n \rrbracket \implies xs \in nlists\ A\ n$

**hide-fact** (**open**) *nlists*

**lemma** *nlists-alt-def*:  $nlists\ A\ n = \{xs. set\ xs \subseteq A \wedge length\ xs = n\}$

*<proof>*

**lemma** *nlists-empty*:  $nlists\ \{\} n = (if\ n = 0\ then\ \{\}\ else\ \{\})$

*<proof>*

**lemma** *nlists-empty-gt0* [*simp*]:  $n > 0 \implies nlists\ \{\} n = \{\}$

*<proof>*

**lemma** *nlists-0* [*simp*]:  $nlists\ A\ 0 = \{\}\}$

*<proof>*

**lemma** *Cons-in-nlists-Suc* [*simp*]:  $x \# xs \in nlists\ A\ (Suc\ n) \longleftrightarrow x \in A \wedge xs \in nlists\ A\ n$

*<proof>*

**lemma** *Nil-in-nlists* [*simp*]:  $\llbracket \rrbracket \in nlists\ A\ n \longleftrightarrow n = 0$

*<proof>*

**lemma** *Cons-in-nlists-iff*:  $x \# xs \in nlists\ A\ n \longleftrightarrow (\exists n'. n = Suc\ n' \wedge x \in A \wedge xs \in nlists\ A\ n')$   
 <proof>

**lemma** *in-nlists-Suc-iff*:  $xs \in nlists\ A\ (Suc\ n) \longleftrightarrow (\exists x\ xs'. xs = x \# xs' \wedge x \in A \wedge xs' \in nlists\ A\ n)$   
 <proof>

**lemma** *nlists-Suc*:  $nlists\ A\ (Suc\ n) = (\bigcup x \in A. (\#)\ x\ ' nlists\ A\ n)$   
 <proof>

**lemma** *replicate-in-nlists* [*simp, intro*]:  $x \in A \implies replicate\ n\ x \in nlists\ A\ n$   
 <proof>

**lemma** *nlists-eq-empty-iff* [*simp*]:  $nlists\ A\ n = \{\} \longleftrightarrow n > 0 \wedge A = \{\}$   
 <proof>

**lemma** *finite-nlists* [*simp*]:  $finite\ A \implies finite\ (nlists\ A\ n)$   
 <proof>

**lemma** *finite-nlistsD*:  
 assumes  $finite\ (nlists\ A\ n)$   
 shows  $finite\ A \vee n = 0$   
 <proof>

**lemma** *finite-nlists-iff*:  $finite\ (nlists\ A\ n) \longleftrightarrow finite\ A \vee n = 0$   
 <proof>

**lemma** *card-nlists*:  $card\ (nlists\ A\ n) = card\ A \wedge n$   
 <proof>

**lemma** *in-nlists-UNIV*:  $xs \in nlists\ UNIV\ n \longleftrightarrow length\ xs = n$   
 <proof>

### 1.15.2 The type of lists of a given length

**typedef** (**overloaded**) ( $'a, 'b :: len0$ )  $nlist = nlists\ (UNIV :: 'a\ set)\ (LENGTH\ ('b))$   
 <proof>

**setup-lifting** *type-definition-nlist*

## 1.16 Streams and infinite lists

**primrec** *sprefix* ::  $'a\ list \Rightarrow 'a\ stream \Rightarrow bool$  **where**  
 $sprefix\ Nil: sprefix\ []\ ys = True$   
 |  $sprefix\ Cons: sprefix\ (x \# xs)\ ys \longleftrightarrow x = shd\ ys \wedge sprefix\ xs\ (stl\ ys)$

**lemma** *sprefix-append*:  $sprefix\ (xs\ @\ ys)\ zs \longleftrightarrow sprefix\ xs\ zs \wedge sprefix\ ys\ (sdrop\ (length\ xs)\ zs)$

*<proof>*

**lemma** *sprefix-stake-same* [*simp*]: *sprefix (stake n xs) xs*  
*<proof>*

**lemma** *sprefix-same-imp-eq*:  
  **assumes** *sprefix xs ys* *sprefix xs' ys*  
  **and** *length xs = length xs'*  
  **shows** *xs = xs'*  
*<proof>*

**lemma** *sprefix-shift-same* [*simp*]:  
  *sprefix xs (xs @- ys)*  
*<proof>*

**lemma** *sprefix-shift* [*simp*]:  
  *length xs ≤ length ys ⇒* *sprefix xs (ys @- zs) ↔* *prefix xs ys*  
*<proof>*

**lemma** *prefixeq-stake2* [*simp*]: *prefix xs (stake n ys) ↔ length xs ≤ n ∧* *sprefix xs ys*  
*<proof>*

**lemma** *tlength-eq-infinity-iff*: *tlength xs = ∞ ↔ ¬ tfinite xs*  
**including** *tlift.list.lifting* *<proof>*

## 1.17 Monomorphic monads

**context includes** *lifting-syntax* **begin**  
*<ML>*

**definition** *bind-option* :: *'m fail ⇒ 'a option ⇒ ('a ⇒ 'm) ⇒ 'm*  
**where** *bind-option fail x f = (case x of None ⇒ fail | Some x' ⇒ f x')* **for** *fail*

**simps-of-case** *bind-option-simps* [*simp*]: *bind-option-def*

**lemma** *bind-option-parametric* [*transfer-rule*]:  
  (*M ==> rel-option B ==> (B ==> M) ==> M*) *bind-option bind-option*  
*<proof>*

**lemma** *bind-option-K*:  
   $\bigwedge_{\text{monad.}} (x = \text{None} \implies m = \text{fail}) \implies \text{bind-option fail } x (\lambda-. m) = m$   
*<proof>*

**end**

**lemma** *bind-option-option* [*simp*]: *monad.bind-option None = Option.bind*  
*<proof>*

**context** *monad-fail-hom* **begin**

**lemma** *hom-bind-option*:  $h \text{ (monad.bind-option fail1 } x f) = \text{monad.bind-option fail2 } x (h \circ f)$   
*<proof>*

**end**

**lemma** *bind-option-set* [*simp*]:  $\text{monad.bind-option fail-set} = (\lambda x f. \bigcup (f \text{ ' set-option } x))$   
*<proof>*

**lemma** *run-bind-option-stateT* [*simp*]:  
 $\bigwedge \text{more. run-state (monad.bind-option (fail-state fail) } x f) s = \text{monad.bind-option fail } x (\lambda y. \text{run-state (f } y) s)$   
*<proof>*

**lemma** *run-bind-option-envT* [*simp*]:  
 $\bigwedge \text{more. run-env (monad.bind-option (fail-env fail) } x f) s = \text{monad.bind-option fail } x (\lambda y. \text{run-env (f } y) s)$   
*<proof>*

## 1.18 Measures

**declare** *sets-restrict-space-count-space* [*measurable-cong*]

**lemma** (*in sigma-algebra*) *sets-Collect-countable-Ex1*:  
 $(\bigwedge i :: 'i :: \text{countable. } \{x \in \Omega. P \ i \ x\} \in M) \implies \{x \in \Omega. \exists !i. P \ i \ x\} \in M$   
*<proof>*

**lemma** *pred-countable-Ex1* [*measurable*]:  
 $(\bigwedge i :: - :: \text{countable. Measurable.pred } M (\lambda x. P \ i \ x) \implies \text{Measurable.pred } M (\lambda x. \exists !i. P \ i \ x)$   
*<proof>*

**lemma** *measurable-snd-count-space* [*measurable*]:  
 $A \subseteq B \implies \text{snd} \in \text{measurable } (M1 \otimes_M \text{count-space } A) (\text{count-space } B)$   
*<proof>*

**lemma** *integrable-scale-measure* [*simp*]:  
 $\llbracket \text{integrable } M \ f; r < \top \rrbracket \implies \text{integrable (scale-measure } r \ M) \ f$   
**for**  $f :: 'a \Rightarrow 'b :: \{\text{banach, second-countable-topology}\}$   
*<proof>*

**lemma** *integral-scale-measure*:  
**assumes** *integrable*  $M \ f \ r < \top$   
**shows**  $\text{integral}^L (\text{scale-measure } r \ M) \ f = \text{enn2real } r * \text{integral}^L \ M \ f$   
*<proof>*

## 1.19 Sequence space

**lemma** (in *sequence-space*) *nn-integral-split*:

**assumes**  $f[\text{measurable}]$ :  $f \in \text{borel-measurable } S$

**shows**  $(\int^{+\omega}. f \ \omega \ \partial S) = (\int^{+\omega}. (\int^{+\omega'}. f \ (\text{comb-seq } i \ \omega \ \omega') \ \partial S) \ \partial S)$

*<proof>*

**lemma** (in *sequence-space*) *prob-Collect-split*:

**assumes**  $f[\text{measurable}]$ :  $\{x \in \text{space } S. P \ x\} \in \text{sets } S$

**shows**  $\mathcal{P}(x \text{ in } S. P \ x) = (\int^{+x}. \mathcal{P}(x' \text{ in } S. P \ (\text{comb-seq } i \ x \ x')) \ \partial S)$

*<proof>*

## 1.20 Probability mass functions

**lemma** *measure-map-pmf-conv-distr*:

$\text{measure-pmf} \ (\text{map-pmf } f \ p) = \text{distr} \ (\text{measure-pmf } p) \ (\text{count-space } \text{UNIV}) \ f$

*<proof>*

**abbreviation** *coin-pmf* :: *bool pmf where coin-pmf*  $\equiv$  *pmf-of-set UNIV*

The rule *rel-pmf-bindI* is not complete as a program logic.

**notepad begin**

*<proof>*

**end**

**lemma** *pred-rel-pmf*:

$\llbracket \text{pred-pmf } P \ p; \text{rel-pmf } R \ p \ q \rrbracket \implies \text{pred-pmf} \ (\text{Imagep } R \ P) \ q$

*<proof>*

**lemma** *pmf-rel-mono'*:  $\llbracket \text{rel-pmf } P \ x \ y; P \leq Q \rrbracket \implies \text{rel-pmf } Q \ x \ y$

*<proof>*

**lemma** *rel-pmf-eqI [simp]*:  $\text{rel-pmf} \ (=) \ x \ x$

*<proof>*

**lemma** *rel-pmf-bind-reflI*:

$(\bigwedge x. x \in \text{set-pmf } p \implies \text{rel-pmf } R \ (f \ x) \ (g \ x))$

$\implies \text{rel-pmf } R \ (\text{bind-pmf } p \ f) \ (\text{bind-pmf } p \ g)$

*<proof>*

**lemma** *pmf-pred-mono-strong*:

$\llbracket \text{pred-pmf } P \ p; \bigwedge a. \llbracket a \in \text{set-pmf } p; P \ a \rrbracket \implies P' \ a \rrbracket \implies \text{pred-pmf } P' \ p$

*<proof>*

**lemma** *rel-pmf-restrict-relpI [intro?]*:

$\llbracket \text{rel-pmf } R \ x \ y; \text{pred-pmf } P \ x; \text{pred-pmf } Q \ y \rrbracket \implies \text{rel-pmf} \ (R \upharpoonright P \otimes Q) \ x \ y$

*<proof>*

**lemma** *rel-pmf-restrict-relpE [elim?]*:

**assumes**  $\text{rel-pmf} \ (R \upharpoonright P \otimes Q) \ x \ y$



**obtains**  $rel\text{-}pmf\ R\ x\ y\ pred\text{-}pmf\ P\ x\ pred\text{-}pmf\ Q\ y$   
 $\langle proof \rangle$

**lemma**  $rel\text{-}pmf\text{-}restrict\text{-}rel\text{-}p\text{-}iff$ :  
 $rel\text{-}pmf\ (R\ \upharpoonright\ P\ \otimes\ Q)\ x\ y\ \longleftrightarrow\ rel\text{-}pmf\ R\ x\ y\ \wedge\ pred\text{-}pmf\ P\ x\ \wedge\ pred\text{-}pmf\ Q\ y$   
 $\langle proof \rangle$

**lemma**  $rel\text{-}pmf\text{-}OO\text{-}trans$  [*trans*]:  
 $\llbracket rel\text{-}pmf\ R\ p\ q;\ rel\text{-}pmf\ S\ q\ r \rrbracket \implies rel\text{-}pmf\ (R\ OO\ S)\ p\ r$   
 $\langle proof \rangle$

**lemma**  $pmf\text{-}pred\text{-}map$  [*simp*]:  $pred\text{-}pmf\ P\ (map\text{-}pmf\ f\ p) = pred\text{-}pmf\ (P\ \circ\ f)\ p$   
 $\langle proof \rangle$

**lemma**  $pred\text{-}pmf\text{-}bind$  [*simp*]:  $pred\text{-}pmf\ P\ (bind\text{-}pmf\ p\ f) = pred\text{-}pmf\ (pred\text{-}pmf\ P\ \circ\ f)\ p$   
 $\langle proof \rangle$

**lemma**  $pred\text{-}pmf\text{-}return$  [*simp*]:  $pred\text{-}pmf\ P\ (return\text{-}pmf\ x) = P\ x$   
 $\langle proof \rangle$

**lemma**  $pred\text{-}pmf\text{-}of\text{-}set$  [*simp*]:  $\llbracket finite\ A;\ A\ \neq\ \{\} \rrbracket \implies pred\text{-}pmf\ P\ (pmf\text{-}of\text{-}set\ A) = Ball\ A\ P$   
 $\langle proof \rangle$

**lemma**  $pred\text{-}pmf\text{-}of\text{-}multiset$  [*simp*]:  $M\ \neq\ \{\#\} \implies pred\text{-}pmf\ P\ (pmf\text{-}of\text{-}multiset\ M) = Ball\ (set\text{-}mset\ M)\ P$   
 $\langle proof \rangle$

**lemma**  $pred\text{-}pmf\text{-}cond$  [*simp*]:  
 $set\text{-}pmf\ p\ \cap\ A\ \neq\ \{\} \implies pred\text{-}pmf\ P\ (cond\text{-}pmf\ p\ A) = pred\text{-}pmf\ (\lambda x. x \in A \longrightarrow P\ x)\ p$   
 $\langle proof \rangle$

**lemma**  $pred\text{-}pmf\text{-}pair$  [*simp*]:  
 $pred\text{-}pmf\ P\ (pair\text{-}pmf\ p\ q) = pred\text{-}pmf\ (\lambda x. pred\text{-}pmf\ (P\ \circ\ Pair\ x)\ q)\ p$   
 $\langle proof \rangle$

**lemma**  $pred\text{-}pmf\text{-}join$  [*simp*]:  $pred\text{-}pmf\ P\ (join\text{-}pmf\ p) = pred\text{-}pmf\ (pred\text{-}pmf\ P)\ p$   
 $\langle proof \rangle$

**lemma**  $pred\text{-}pmf\text{-}bernoulli$  [*simp*]:  $\llbracket 0 < p;\ p < 1 \rrbracket \implies pred\text{-}pmf\ P\ (bernoulli\text{-}pmf\ p) = All\ P$   
 $\langle proof \rangle$

**lemma**  $pred\text{-}pmf\text{-}geometric$  [*simp*]:  $\llbracket 0 < p;\ p < 1 \rrbracket \implies pred\text{-}pmf\ P\ (geometric\text{-}pmf\ p) = All\ P$   
 $\langle proof \rangle$

**lemma** *pred-pmf-poisson* [simp]:  $0 < \text{rate} \implies \text{pred-pmf } P \text{ (poisson-pmf rate)} = \text{All } P$   
 <proof>

**lemma** *pmf-rel-map-restrict-relp*:  
**shows** *pmf-rel-map-restrict-relp1*:  $\text{rel-pmf } (R \upharpoonright P \otimes Q) (\text{map-pmf } f \ p) = \text{rel-pmf } (R \circ f \upharpoonright P \circ f \otimes Q) \ p$   
**and** *pmf-rel-map-restrict-relp2*:  $\text{rel-pmf } (R \upharpoonright P \otimes Q) \ p (\text{map-pmf } g \ q) = \text{rel-pmf } ((\lambda x. R \ x \circ g) \upharpoonright P \otimes Q \circ g) \ p \ q$   
 <proof>

**lemma** *pred-pmf-conj* [simp]:  $\text{pred-pmf } (\lambda x. P \ x \wedge Q \ x) = (\lambda x. \text{pred-pmf } P \ x \wedge \text{pred-pmf } Q \ x)$   
 <proof>

**lemma** *pred-pmf-top* [simp]:  
 $\text{pred-pmf } (\lambda \_. \text{True}) = (\lambda \_. \text{True})$   
 <proof>

**lemma** *rel-pmf-of-setI*:  
**assumes** *A*:  $A \neq \{\}$  *finite A*  
**and** *B*:  $B \neq \{\}$  *finite B*  
**and** *card*:  $\bigwedge X. X \subseteq A \implies \text{card } B * \text{card } X \leq \text{card } A * \text{card } \{y \in B. \exists x \in X. R \ x \ y\}$   
**shows**  $\text{rel-pmf } R \ (\text{pmf-of-set } A) \ (\text{pmf-of-set } B)$   
 <proof>

**consts** *rel-witness-pmf* ::  $('a \Rightarrow 'b \Rightarrow \text{bool}) \Rightarrow 'a \ \text{pmf} \times 'b \ \text{pmf} \Rightarrow ('a \times 'b) \ \text{pmf}$   
**specification** (*rel-witness-pmf*)  
*set-rel-witness-pmf'*:  $\text{rel-pmf } A \ (\text{fst } xy) \ (\text{snd } xy) \implies \text{set-pmf } (\text{rel-witness-pmf } A \ xy) \subseteq \{(a, b). A \ a \ b\}$   
*map1-rel-witness-pmf'*:  $\text{rel-pmf } A \ (\text{fst } xy) \ (\text{snd } xy) \implies \text{map-pmf } \text{fst} \ (\text{rel-witness-pmf } A \ xy) = \text{fst } xy$   
*map2-rel-witness-pmf'*:  $\text{rel-pmf } A \ (\text{fst } xy) \ (\text{snd } xy) \implies \text{map-pmf } \text{snd} \ (\text{rel-witness-pmf } A \ xy) = \text{snd } xy$   
 <proof>

**lemmas**  $\text{set-rel-witness-pmf} = \text{set-rel-witness-pmf}'[\text{of } - \ (x, y) \ \text{for } x \ y, \ \text{simplified}]$   
**lemmas**  $\text{map1-rel-witness-pmf} = \text{map1-rel-witness-pmf}'[\text{of } - \ (x, y) \ \text{for } x \ y, \ \text{simplified}]$   
**lemmas**  $\text{map2-rel-witness-pmf} = \text{map2-rel-witness-pmf}'[\text{of } - \ (x, y) \ \text{for } x \ y, \ \text{simplified}]$   
**lemmas**  $\text{rel-witness-pmf} = \text{set-rel-witness-pmf} \ \text{map1-rel-witness-pmf} \ \text{map2-rel-witness-pmf}$

**lemma** *rel-witness-pmf1*:  
**assumes** *rel-pmf A p q*  
**shows**  $\text{rel-pmf } (\lambda a \ (a', b). a = a' \wedge A \ a' \ b) \ p \ (\text{rel-witness-pmf } A \ (p, q))$   
 <proof>

**lemma** *rel-witness-pmf2*:

**assumes** *rel-pmf*  $A$   $p$   $q$

**shows** *rel-pmf*  $(\lambda(a, b'). b. b = b' \wedge A a b')$  (*rel-witness-pmf*  $A$   $(p, q)$ )  $q$

*<proof>*

**lemma** *cond-pmf-of-set*:

**assumes** *fin*: *finite*  $A$  **and** *nonempty*:  $A \cap B \neq \{\}$

**shows** *cond-pmf* (*pmf-of-set*  $A$ )  $B = \text{pmf-of-set } (A \cap B)$  (**is** *?lhs = ?rhs*)

*<proof>*

**lemma** *pair-pmf-of-set*:

**assumes**  $A$ : *finite*  $A$   $A \neq \{\}$

**and**  $B$ : *finite*  $B$   $B \neq \{\}$

**shows** *pair-pmf* (*pmf-of-set*  $A$ ) (*pmf-of-set*  $B$ ) = *pmf-of-set*  $(A \times B)$

*<proof>*

**lemma** *emeasure-cond-pmf*:

**fixes**  $p$   $A$

**defines**  $q \equiv \text{cond-pmf } p$   $A$

**assumes** *set-pmf*  $p \cap A \neq \{\}$

**shows** *emeasure* (*measure-pmf*  $q$ )  $B = \text{emeasure } (\text{measure-pmf } p)$   $(A \cap B) /$   
*emeasure* (*measure-pmf*  $p$ )  $A$

*<proof>*

**lemma** *measure-cond-pmf*:

*measure* (*measure-pmf* (*cond-pmf*  $p$   $A$ ))  $B = \text{measure } (\text{measure-pmf } p)$   $(A \cap B)$   
*/ measure* (*measure-pmf*  $p$ )  $A$

**if** *set-pmf*  $p \cap A \neq \{\}$

*<proof>*

**lemma** *emeasure-measure-pmf-zero-iff*: *emeasure* (*measure-pmf*  $p$ )  $s = 0 \iff \text{set-pmf}$   
 $p \cap s = \{\}$  (**is** *?lhs = ?rhs*)

*<proof>*

## 1.21 Subprobability mass functions

**lemma** *ord-spmf-return-spmf1*: *ord-spmf*  $R$  (*return-spmf*  $x$ )  $p \iff \text{lossless-spmf } p$   
 $\wedge (\forall y \in \text{set-spmf } p. R x y)$

*<proof>*

**lemma** *ord-spmf-conv*:

*ord-spmf*  $R = \text{rel-spmf } R$  *OO* *ord-spmf*  $(=)$

*<proof>*

**lemma** *ord-spmf-expand*:

*NO-MATCH*  $(=)$   $R \implies \text{ord-spmf } R = \text{rel-spmf } R$  *OO* *ord-spmf*  $(=)$

*<proof>*

**lemma** *ord-spmf-eqD-measure*: *ord-spmf*  $(=)$   $p$   $q \implies \text{measure } (\text{measure-spmf } p)$

$A \leq \text{measure } (\text{measure-spmf } q) A$   
 $\langle \text{proof} \rangle$

**lemma** *ord-spmf-measureD*:

**assumes** *ord-spmf*  $R p q$   
**shows**  $\text{measure } (\text{measure-spmf } p) A \leq \text{measure } (\text{measure-spmf } q) \{y. \exists x \in A. R x y\}$   
**(is ?lhs  $\leq$  ?rhs)**  
 $\langle \text{proof} \rangle$

**lemma** *ord-spmf-bind-pmfI1*:

$(\bigwedge x. x \in \text{set-pmf } p \implies \text{ord-spmf } R (f x) q) \implies \text{ord-spmf } R (\text{bind-pmf } p f) q$   
 $\langle \text{proof} \rangle$

**lemma** *ord-spmf-bind-spmfI1*:

$(\bigwedge x. x \in \text{set-spmf } p \implies \text{ord-spmf } R (f x) q) \implies \text{ord-spmf } R (\text{bind-spmf } p f) q$   
 $\langle \text{proof} \rangle$

**lemma** *spmf-of-set-empty*:  $\text{spmf-of-set } \{\} = \text{return-pmf } \text{None}$   
 $\langle \text{proof} \rangle$

**lemma** *rel-spmf-of-setI*:

**assumes** *card*:  $\bigwedge X. X \subseteq A \implies \text{card } B * \text{card } X \leq \text{card } A * \text{card } \{y \in B. \exists x \in X. R x y\}$   
**and** *eq*:  $(\text{finite } A \wedge A \neq \{\}) \longleftrightarrow (\text{finite } B \wedge B \neq \{\})$   
**shows**  $\text{rel-spmf } R (\text{spmf-of-set } A) (\text{spmf-of-set } B)$   
 $\langle \text{proof} \rangle$

**lemmas** *map-bind-spmf = map-spmf-bind-spmf*

**lemma** *nn-integral-measure-spmf-conv-measure-pmf*:

**assumes** [*measurable*]:  $f \in \text{borel-measurable } (\text{count-space } \text{UNIV})$   
**shows**  $\text{nn-integral } (\text{measure-spmf } p) f = \text{nn-integral } (\text{restrict-space } (\text{measure-pmf } p) (\text{range } \text{Some})) (f \circ \text{the})$   
 $\langle \text{proof} \rangle$

**lemma** *nn-integral-spmf-neq-infinity*:  $(\int^+ x. \text{spmf } p x \partial \text{count-space } \text{UNIV}) \neq \infty$   
 $\langle \text{proof} \rangle$

**lemma** *return-pmf-bind-option*:

$\text{return-pmf } (\text{Option.bind } x f) = \text{bind-spmf } (\text{return-pmf } x) (\text{return-pmf } \circ f)$   
 $\langle \text{proof} \rangle$

**lemma** *rel-spmf-pos-distr*:  $\text{rel-spmf } A \text{ OO } \text{rel-spmf } B \leq \text{rel-spmf } (A \text{ OO } B)$   
 $\langle \text{proof} \rangle$

**lemma** *rel-spmf-OO-trans* [*trans*]:

$\llbracket \text{rel-spmf } R p q; \text{rel-spmf } S q r \rrbracket \implies \text{rel-spmf } (R \text{ OO } S) p r$   
 $\langle \text{proof} \rangle$

**lemma** *map-spmf-eq-map-spmf-iff*:  $\text{map-spmf } f \ p = \text{map-spmf } g \ q \longleftrightarrow \text{rel-spmf } (\lambda x \ y. f \ x = g \ y) \ p \ q$   
 ⟨proof⟩

**lemma** *map-spmf-eq-map-spmfI*:  $\text{rel-spmf } (\lambda x \ y. f \ x = g \ y) \ p \ q \implies \text{map-spmf } f \ p = \text{map-spmf } g \ q$   
 ⟨proof⟩

**lemma** *spmf-rel-mono-strong*:  
 $\llbracket \text{rel-spmf } A \ f \ g; \bigwedge x \ y. \llbracket x \in \text{set-spmf } f; y \in \text{set-spmf } g; A \ x \ y \rrbracket \implies B \ x \ y \rrbracket \implies \text{rel-spmf } B \ f \ g$   
 ⟨proof⟩

**lemma** *set-spmf-eq-empty*:  $\text{set-spmf } p = \{\} \longleftrightarrow p = \text{return-pmf } \text{None}$   
 ⟨proof⟩

**lemma** *measure-pair-spmf-times*:  
 $\text{measure } (\text{measure-spmf } (\text{pair-spmf } p \ q)) \ (A \times B) = \text{measure } (\text{measure-spmf } p) \ A * \text{measure } (\text{measure-spmf } q) \ B$   
 ⟨proof⟩

**lemma** *lossless-spmfD-set-spmf-nonempty*:  $\text{lossless-spmf } p \implies \text{set-spmf } p \neq \{\}$   
 ⟨proof⟩

**lemma** *set-spmf-return-pmf*:  $\text{set-spmf } (\text{return-pmf } x) = \text{set-option } x$   
 ⟨proof⟩

**lemma** *bind-spmf-pmf-assoc*:  $\text{bind-spmf } (\text{bind-pmf } p \ f) \ g = \text{bind-pmf } p \ (\lambda x. \text{bind-spmf } (f \ x) \ g)$   
 ⟨proof⟩

**lemma** *bind-spmf-of-set*:  $\llbracket \text{finite } A; A \neq \{\} \rrbracket \implies \text{bind-spmf } (\text{spmof-of-set } A) \ f = \text{bind-pmf } (\text{pmf-of-set } A) \ f$   
 ⟨proof⟩

**lemma** *bind-spmf-map-pmf*:  
 $\text{bind-spmf } (\text{map-pmf } f \ p) \ g = \text{bind-pmf } p \ (\lambda x. \text{bind-spmf } (\text{return-pmf } (f \ x)) \ g)$   
 ⟨proof⟩

**lemma** *rel-spmf-eqI [simp]*:  $\text{rel-spmf } (=) \ x \ x$   
 ⟨proof⟩

**lemma** *set-spmf-map-pmf*:  $\text{set-spmf } (\text{map-pmf } f \ p) = \bigcup_{x \in \text{set-pmf } p} \text{set-option } (f \ x)$   
 ⟨proof⟩

**lemma** *ord-spmf-return-spmf [simp]*:  $\text{ord-spmf } (=) \ (\text{return-spmf } x) \ p \longleftrightarrow p =$

*return-spmf*  $x$   
 $\langle$ proof $\rangle$

**declare**

*set-bind-spmf* [simp]  
*set-spmf-return-pmf* [simp]

**lemma** *bind-spmf-pmf-commute*:

$bind\text{-}spm\ f\ p\ (\lambda x. bind\text{-}pm\ f\ q\ (f\ x)) = bind\text{-}pm\ f\ q\ (\lambda y. bind\text{-}spm\ f\ p\ (\lambda x. f\ x\ y))$   
 $\langle$ proof $\rangle$

**lemma** *return-pmf-map-option-conv-bind*:

$return\text{-}pm\ f\ (map\text{-}option\ f\ x) = bind\text{-}spm\ f\ (return\text{-}pm\ f\ x)\ (return\text{-}spm\ f\ \circ\ f)$   
 $\langle$ proof $\rangle$

**lemma** *lossless-return-pmf-iff* [simp]:  $lossless\text{-}spm\ f\ (return\text{-}pm\ f\ x) \longleftrightarrow x \neq None$   
 $\langle$ proof $\rangle$

**lemma** *lossless-map-pmf*:  $lossless\text{-}spm\ f\ (map\text{-}pm\ f\ f\ p) \longleftrightarrow (\forall x \in set\text{-}pm\ f\ p. f\ x \neq None)$   
 $\langle$ proof $\rangle$

**lemma** *bind-pmf-spmf-assoc*:

$g\ None = return\text{-}pm\ f\ None$   
 $\implies bind\text{-}pm\ f\ (bind\text{-}spm\ f\ p\ f)\ g = bind\text{-}spm\ f\ p\ (\lambda x. bind\text{-}pm\ f\ (f\ x)\ g)$   
 $\langle$ proof $\rangle$

**abbreviation**  $pred\text{-}spm\ f :: ('a \Rightarrow bool) \Rightarrow 'a\ spmf \Rightarrow bool$

**where**  $pred\text{-}spm\ f\ P \equiv pred\text{-}pm\ f\ (pred\text{-}option\ P)$

**lemma** *pred-spmf-def*:  $pred\text{-}spm\ f\ P\ p \longleftrightarrow (\forall x \in set\text{-}spm\ f\ p. P\ x)$   
 $\langle$ proof $\rangle$

**lemma** *spm\ f\ pred-mono-strong*:

$\llbracket pred\text{-}spm\ f\ P\ p; \bigwedge a. \llbracket a \in set\text{-}spm\ f\ p; P\ a \rrbracket \implies P'\ a \rrbracket \implies pred\text{-}spm\ f\ P'\ p$   
 $\langle$ proof $\rangle$

**lemma** *spm\ f\ Domainp-rel*:  $Domainp\ (rel\text{-}spm\ f\ R) = pred\text{-}spm\ f\ (Domainp\ R)$   
 $\langle$ proof $\rangle$

**lemma** *rel-spmf-restrict-relpI* [intro?]:

$\llbracket rel\text{-}spm\ f\ R\ p\ q; pred\text{-}spm\ f\ P\ p; pred\text{-}spm\ f\ Q\ q \rrbracket \implies rel\text{-}spm\ f\ (R \upharpoonright P \otimes Q)\ p\ q$   
 $\langle$ proof $\rangle$

**lemma** *rel-spmf-restrict-relpE* [elim?]:

**assumes**  $rel\text{-}spm\ f\ (R \upharpoonright P \otimes Q)\ x\ y$   
**obtains**  $rel\text{-}spm\ f\ R\ x\ y\ pred\text{-}spm\ f\ P\ x\ pred\text{-}spm\ f\ Q\ y$   
 $\langle$ proof $\rangle$

**lemma** *rel-spmf-restrict-relp-iff*:

$rel\text{-}spmf (R \upharpoonright P \otimes Q) x y \iff rel\text{-}spmf R x y \wedge pred\text{-}spmf P x \wedge pred\text{-}spmf Q y$   
 $\langle proof \rangle$

**lemma** *spmf-pred-map*:  $pred\text{-}spmf P (map\text{-}spmf f p) = pred\text{-}spmf (P \circ f) p$   
 $\langle proof \rangle$

**lemma** *pred-spmf-bind [simp]*:  $pred\text{-}spmf P (bind\text{-}spmf p f) = pred\text{-}spmf (pred\text{-}spmf P \circ f) p$   
 $\langle proof \rangle$

**lemma** *pred-spmf-return*:  $pred\text{-}spmf P (return\text{-}spmf x) = P x$   
 $\langle proof \rangle$

**lemma** *pred-spmf-return-pmf-None*:  $pred\text{-}spmf P (return\text{-}pmf None)$   
 $\langle proof \rangle$

**lemma** *pred-spmf-spmf-of-pmf [simp]*:  $pred\text{-}spmf P (spmf\text{-}of\text{-}pmf p) = pred\text{-}pmf P p$   
 $\langle proof \rangle$

**lemma** *pred-spmf-of-set [simp]*:  $pred\text{-}spmf P (spmf\text{-}of\text{-}set A) = (finite A \longrightarrow Ball A P)$   
 $\langle proof \rangle$

**lemma** *pred-spmf-assert-spmf [simp]*:  $pred\text{-}spmf P (assert\text{-}spmf b) = (b \longrightarrow P ())$   
 $\langle proof \rangle$

**lemma** *pred-spmf-pair [simp]*:  
 $pred\text{-}spmf P (pair\text{-}spmf p q) = pred\text{-}spmf (\lambda x. pred\text{-}spmf (P \circ Pair x) q) p$   
 $\langle proof \rangle$

**lemma** *set-spmf-try [simp]*:  
 $set\text{-}spmf (try\text{-}spmf p q) = set\text{-}spmf p \cup (if\ lossless\text{-}spmf p\ then\ \{\}\ else\ set\text{-}spmf q)$   
 $\langle proof \rangle$

**lemma** *try-spmf-bind-out1*:  
 $(\bigwedge x. lossless\text{-}spmf (f x)) \implies bind\text{-}spmf (TRY p ELSE q) f = TRY (bind\text{-}spmf p f) ELSE (bind\text{-}spmf q f)$   
 $\langle proof \rangle$

**lemma** *pred-spmf-try [simp]*:  
 $pred\text{-}spmf P (try\text{-}spmf p q) = (pred\text{-}spmf P p \wedge (\neg lossless\text{-}spmf p \longrightarrow pred\text{-}spmf P q))$   
 $\langle proof \rangle$

**lemma** *pred-spmf-cond [simp]*:  
 $pred\text{-}spmf P (cond\text{-}spmf p A) = pred\text{-}spmf (\lambda x. x \in A \longrightarrow P x) p$

*<proof>*

**lemma** *spmf-rel-map-restrict-relp*:

**shows** *spmf-rel-map-restrict-relp1*:  $rel\text{-}spmf (R \upharpoonright P \otimes Q) (map\text{-}spmf f p) = rel\text{-}spmf (R \circ f \upharpoonright P \circ f \otimes Q) p$

**and** *spmf-rel-map-restrict-relp2*:  $rel\text{-}spmf (R \upharpoonright P \otimes Q) p (map\text{-}spmf g q) = rel\text{-}spmf ((\lambda x. R x \circ g) \upharpoonright P \otimes Q \circ g) p q$

*<proof>*

**lemma** *pred-spmf-conj*:  $pred\text{-}spmf (\lambda x. P x \wedge Q x) = (\lambda x. pred\text{-}spmf P x \wedge pred\text{-}spmf Q x)$

*<proof>*

**lemma** *spmf-of-pmf-parametric* [*transfer-rule*]:

**includes** *lifting-syntax* **shows**

$(rel\text{-}pmf A ==> rel\text{-}spmf A) \text{ } spmf\text{-}of\text{-}pmf \text{ } spmf\text{-}of\text{-}pmf$

*<proof>*

**lemma** *mono2mono-return-pmf* [*THEN* *spmf.mono2mono*, *simp*, *cont-intro*]:

**shows** *monotone-return-pmf*:  $monotone \text{ } option\text{-}ord (ord\text{-}spmf (=)) \text{ } return\text{-}pmf$

*<proof>*

**lemma** *mcont2mcont-return-pmf* [*THEN* *spmf.mcont2mcont*, *simp*, *cont-intro*]:

**shows** *mcont-return-pmf*:  $mcont (flat\text{-}lub \text{ } None) \text{ } option\text{-}ord \text{ } lub\text{-}spmf (ord\text{-}spmf (=)) \text{ } return\text{-}pmf$

*<proof>*

**lemma** *pred-spmf-top*:

$pred\text{-}spmf (\lambda\cdot. True) = (\lambda\cdot. True)$

*<proof>*

**lemma** *rel-spmf-restrict-relpI'* [*intro?*]:

$\llbracket rel\text{-}spmf (\lambda x y. P x \longrightarrow Q y \longrightarrow R x y) p q; pred\text{-}spmf P p; pred\text{-}spmf Q q \rrbracket \implies rel\text{-}spmf (R \upharpoonright P \otimes Q) p q$

*<proof>*

**lemma** *set-spmf-map-pmf-MATCH* [*simp*]:

**assumes** *NO-MATCH* (*map-option* *g*) *f*

**shows**  $set\text{-}spmf (map\text{-}pmf f p) = (\bigcup x \in set\text{-}pmf p. set\text{-}option (f x))$

*<proof>*

**lemma** *rel-spmf-bindI'*:

$\llbracket rel\text{-}spmf A p q; \bigwedge x y. \llbracket A x y; x \in set\text{-}spmf p; y \in set\text{-}spmf q \rrbracket \implies rel\text{-}spmf B (f x) (g y) \rrbracket$

$\implies rel\text{-}spmf B (p \ggg f) (q \ggg g)$

*<proof>*

**definition** *rel-witness-spmf* ::  $('a \Rightarrow 'b \Rightarrow bool) \Rightarrow 'a \text{ } spmf \times 'b \text{ } spmf \Rightarrow ('a \times 'b) \text{ } spmf$  **where**



$rel\text{-}witness\text{-}spmf\ A = map\text{-}pmf\ rel\text{-}witness\text{-}option \circ rel\text{-}witness\text{-}pmf\ (rel\text{-}option\ A)$

**lemma assumes**  $rel\text{-}spmf\ A\ p\ q$

**shows**  $rel\text{-}witness\text{-}spmf1: rel\text{-}spmf\ (\lambda a\ (a', b). a = a' \wedge A\ a'\ b)\ p\ (rel\text{-}witness\text{-}spmf\ A\ (p, q))$

**and**  $rel\text{-}witness\text{-}spmf2: rel\text{-}spmf\ (\lambda(a, b'). b = b' \wedge A\ a\ b')\ (rel\text{-}witness\text{-}spmf\ A\ (p, q))\ q$

$\langle proof \rangle$

**lemma**  $weight\text{-}assert\text{-}spmf\ [simp]: weight\text{-}spmf\ (assert\text{-}spmf\ b) = indicator\ \{True\}\ b$

$\langle proof \rangle$

**definition**  $enforce\text{-}spmf :: ('a \Rightarrow bool) \Rightarrow 'a\ spmf \Rightarrow 'a\ spmf$  **where**

$enforce\text{-}spmf\ P = map\text{-}pmf\ (enforce\text{-}option\ P)$

**lemma**  $enforce\text{-}spmf\text{-}parametric\ [transfer\text{-}rule]: includes\ lifting\text{-}syntax\ shows$

$((A\ ==> (=))\ ==> rel\text{-}spmf\ A\ ==> rel\text{-}spmf\ A)\ enforce\text{-}spmf\ enforce\text{-}spmf$

$\langle proof \rangle$

**lemma**  $enforce\text{-}return\text{-}spmf\ [simp]:$

$enforce\text{-}spmf\ P\ (return\text{-}spmf\ x) = (if\ P\ x\ then\ return\text{-}spmf\ x\ else\ return\text{-}pmf\ None)$

$\langle proof \rangle$

**lemma**  $enforce\text{-}return\text{-}pmf\ None\ [simp]:$

$enforce\text{-}spmf\ P\ (return\text{-}pmf\ None) = return\text{-}pmf\ None$

$\langle proof \rangle$

**lemma**  $enforce\text{-}map\text{-}spmf:$

$enforce\text{-}spmf\ P\ (map\text{-}spmf\ f\ p) = map\text{-}spmf\ f\ (enforce\text{-}spmf\ (P \circ f)\ p)$

$\langle proof \rangle$

**lemma**  $enforce\text{-}bind\text{-}spmf\ [simp]:$

$enforce\text{-}spmf\ P\ (bind\text{-}spmf\ p\ f) = bind\text{-}spmf\ p\ (enforce\text{-}spmf\ P \circ f)$

$\langle proof \rangle$

**lemma**  $set\text{-}enforce\text{-}spmf\ [simp]: set\text{-}spmf\ (enforce\text{-}spmf\ P\ p) = \{a \in set\text{-}spmf\ p.$

$P\ a\}$

$\langle proof \rangle$

**lemma**  $enforce\text{-}spmf\text{-}alt\text{-}def:$

$enforce\text{-}spmf\ P\ p = bind\text{-}spmf\ p\ (\lambda a. bind\text{-}spmf\ (assert\text{-}spmf\ (P\ a))\ (\lambda - :: unit. return\text{-}spmf\ a))$

$\langle proof \rangle$

**lemma**  $bind\text{-}enforce\text{-}spmf\ [simp]:$

$bind\text{-}spmf\ (enforce\text{-}spmf\ P\ p)\ f = bind\text{-}spmf\ p\ (\lambda x. if\ P\ x\ then\ f\ x\ else\ return\text{-}pmf\ None)$

*<proof>*

**lemma** *weight-enforce-spmf*:

$weight\text{-}spmf\ (enforce\text{-}spmf\ P\ p) = weight\text{-}spmf\ p - measure\ (measure\text{-}spmf\ p)\ \{x.\ \neg\ P\ x\}$  (is ?lhs = ?rhs)  
*<proof>*

**lemma** *lossless-enforce-spmf* [simp]:

$lossless\text{-}spmf\ (enforce\text{-}spmf\ P\ p) \longleftrightarrow lossless\text{-}spmf\ p \wedge set\text{-}spmf\ p \subseteq \{x.\ P\ x\}$   
*<proof>*

**lemma** *enforce-spmf-top* [simp]:  $enforce\text{-}spmf\ \top = id$

*<proof>*

**lemma** *enforce-spmf-K-True* [simp]:  $enforce\text{-}spmf\ (\lambda\_.\ True)\ p = p$

*<proof>*

**lemma** *enforce-spmf-bot* [simp]:  $enforce\text{-}spmf\ \perp = (\lambda\_.\ return\text{-}pmf\ None)$

*<proof>*

**lemma** *enforce-spmf-K-False* [simp]:  $enforce\text{-}spmf\ (\lambda\_.\ False)\ p = return\text{-}pmf\ None$

*<proof>*

**lemma** *enforce-pred-id-spmf*:  $enforce\text{-}spmf\ P\ p = p$  if  $pred\text{-}spmf\ P\ p$

*<proof>*

**lemma** *map-the-spmf-of-pmf* [simp]:  $map\text{-}pmf\ the\ (spmf\text{-}of\text{-}pmf\ p) = p$

*<proof>*

**lemma** *bind-bind-conv-pair-spmf*:

$bind\text{-}spmf\ p\ (\lambda x.\ bind\text{-}spmf\ q\ (f\ x)) = bind\text{-}spmf\ (pair\text{-}spmf\ p\ q)\ (\lambda(x, y).\ f\ x\ y)$   
*<proof>*

**lemma** *cond-spmf-spmf-of-set*:

$cond\text{-}spmf\ (spmf\text{-}of\text{-}set\ A)\ B = spmf\text{-}of\text{-}set\ (A \cap B)$  if *finite*  $A$   
*<proof>*

**lemma** *pair-spmf-of-set*:

$pair\text{-}spmf\ (spmf\text{-}of\text{-}set\ A)\ (spmf\text{-}of\text{-}set\ B) = spmf\text{-}of\text{-}set\ (A \times B)$   
*<proof>*

**lemma** *emeasure-cond-spmf*:

$emeasure\ (measure\text{-}spmf\ (cond\text{-}spmf\ p\ A))\ B = emeasure\ (measure\text{-}spmf\ p)\ (A \cap B) / emeasure\ (measure\text{-}spmf\ p)\ A$   
*<proof>*

**lemma** *measure-cond-spmf*:

$measure\ (measure\text{-}spmf\ (cond\text{-}spmf\ p\ A))\ B = measure\ (measure\text{-}spmf\ p)\ (A \cap B) / measure\ (measure\text{-}spmf\ p)\ A$

*<proof>*

**lemma** *lossless-cond-spmf [simp]: lossless-spmf (cond-spmf p A)  $\longleftrightarrow$  set-spmf p  $\cap$  A  $\neq$  {}*  
*<proof>*

**lemma** *measure-spmf-eq-density: measure-spmf p = density (count-space UNIV) (spmf p)*  
*<proof>*

**lemma** *integral-measure-spmf:*  
**fixes** *f :: 'a  $\Rightarrow$  'b::{banach, second-countable-topology}*  
**assumes** *A: finite A*  
**shows** *( $\bigwedge a. a \in \text{set-spmf } M \implies f a \neq 0 \implies a \in A \implies (\text{LINT } x | \text{measure-spmf } M. f x) = (\sum_{a \in A. \text{spmf } M a *_{\mathbb{R}} f a)$ )*  
*<proof>*

**lemma** *image-set-spmf-eq:*  
*f ' set-spmf p = g ' set-spmf q* **if** *ASSUMPTION (map-spmf f p = map-spmf g q)*  
*<proof>*

**lemma** *map-spmf-const: map-spmf ( $\lambda \cdot. x$ ) p = scale-spmf (weight-spmf p) (return-spmf x)*  
*<proof>*

**lemma** *cond-return-pmf [simp]: cond-pmf (return-pmf x) A = return-pmf x* **if** *x  $\in$  A*  
*<proof>*

**lemma** *cond-return-spmf [simp]: cond-spmf (return-spmf x) A = (if x  $\in$  A then return-spmf x else return-pmf None)*  
*<proof>*

**lemma** *measure-range-Some-eq-weight:*  
*measure (measure-pmf p) (range Some) = weight-spmf p*  
*<proof>*

**lemma** *restrict-spmf-eq-return-pmf-None [simp]:*  
*restrict-spmf p A = return-pmf None  $\longleftrightarrow$  set-spmf p  $\cap$  A = {}*  
*<proof>*

**definition** *mk-lossless :: 'a spmf  $\Rightarrow$  'a spmf* **where**  
*mk-lossless p = scale-spmf (inverse (weight-spmf p)) p*

**lemma** *mk-lossless-idem [simp]: mk-lossless (mk-lossless p) = mk-lossless p*  
*<proof>*

**lemma** *mk-lossless-return* [*simp*]:  $mk\text{-lossless} (return\text{-pmf } x) = return\text{-pmf } x$   
(*proof*)

**lemma** *mk-lossless-map* [*simp*]:  $mk\text{-lossless} (map\text{-spmf } f p) = map\text{-spmf } f (mk\text{-lossless } p)$   
(*proof*)

**lemma** *spmf-mk-lossless* [*simp*]:  $spmf (mk\text{-lossless } p) x = spmf p x / weight\text{-spmf } p$   
(*proof*)

**lemma** *set-spmf-mk-lossless* [*simp*]:  $set\text{-spmf} (mk\text{-lossless } p) = set\text{-spmf } p$   
(*proof*)

**lemma** *mk-lossless-lossless* [*simp*]:  $lossless\text{-spmf } p \implies mk\text{-lossless } p = p$   
(*proof*)

**lemma** *mk-lossless-eq-return-pmf-None* [*simp*]:  $mk\text{-lossless } p = return\text{-pmf } None \iff p = return\text{-pmf } None$   
(*proof*)

**lemma** *return-pmf-None-eq-mk-lossless* [*simp*]:  $return\text{-pmf } None = mk\text{-lossless } p \iff p = return\text{-pmf } None$   
(*proof*)

**lemma** *mk-lossless-spmf-of-set* [*simp*]:  $mk\text{-lossless} (spmf\text{-of-set } A) = spmf\text{-of-set } A$   
(*proof*)

**lemma** *weight-mk-lossless*:  $weight\text{-spmf} (mk\text{-lossless } p) = (if p = return\text{-pmf } None \text{ then } 0 \text{ else } 1)$   
(*proof*)

**lemma** *mk-lossless-parametric* [*transfer-rule*]: **includes** *lifting-syntax shows*  
(*rel-spmf*  $A \implies rel\text{-spmf } A$ ) *mk-lossless mk-lossless*  
(*proof*)

**lemma** *rel-spmf-mk-losslessI*:  
 $rel\text{-spmf } A p q \implies rel\text{-spmf } A (mk\text{-lossless } p) (mk\text{-lossless } q)$   
(*proof*)

**lemma** *rel-spmf-restrict-spmfI*:  
 $rel\text{-spmf } (\lambda x y. (x \in A \wedge y \in B \wedge R x y) \vee x \notin A \wedge y \notin B) p q$   
 $\implies rel\text{-spmf } R (restrict\text{-spmf } p A) (restrict\text{-spmf } q B)$   
(*proof*)

**lemma** *cond-spmf-alt*:  $cond\text{-spmf } p A = mk\text{-lossless} (restrict\text{-spmf } p A)$   
(*proof*)

**lemma** *cond-spmf-bind*:

$cond\text{-}spmf\ (bind\text{-}spmf\ p\ f)\ A = mk\text{-}lossless\ (p \gg= (\lambda x. f\ x \mid A))$   
 $\langle proof \rangle$

**lemma** *cond-spmf-UNIV* [simp]:  $cond\text{-}spmf\ p\ UNIV = mk\text{-}lossless\ p$

$\langle proof \rangle$

**lemma** *cond-pmf-singleton*:

$cond\text{-}pmf\ p\ A = return\text{-}pmf\ x$  **if**  $set\text{-}pmf\ p \cap A = \{x\}$   
 $\langle proof \rangle$

**definition** *cond-spmf-fst* ::  $('a \times 'b)\ spmf \Rightarrow 'a \Rightarrow 'b\ spmf$  **where**

$cond\text{-}spmf\text{-}fst\ p\ a = map\text{-}spmf\ snd\ (cond\text{-}spmf\ p\ (\{a\} \times UNIV))$

**lemma** *cond-spmf-fst-return-spmf* [simp]:

$cond\text{-}spmf\text{-}fst\ (return\text{-}spmf\ (x, y))\ x = return\text{-}spmf\ y$   
 $\langle proof \rangle$

**lemma** *cond-spmf-fst-map-Pair* [simp]:  $cond\text{-}spmf\text{-}fst\ (map\text{-}spmf\ (Pair\ x)\ p)\ x =$   
 $mk\text{-}lossless\ p$

$\langle proof \rangle$

**lemma** *cond-spmf-fst-map-Pair'* [simp]:  $cond\text{-}spmf\text{-}fst\ (map\text{-}spmf\ (\lambda y. (x, f\ y))\ p)$   
 $x = map\text{-}spmf\ f\ (mk\text{-}lossless\ p)$

$\langle proof \rangle$

**lemma** *cond-spmf-fst-eq-return-None* [simp]:  $cond\text{-}spmf\text{-}fst\ p\ x = return\text{-}pmf\ None$

$\longleftrightarrow x \notin fst\ `set\text{-}spmf\ p$

$\langle proof \rangle$

**lemma** *cond-spmf-fst-map-Pair1*:

$cond\text{-}spmf\text{-}fst\ (map\text{-}spmf\ (\lambda x. (f\ x, g\ x))\ p)\ (f\ x) = return\text{-}spmf\ (g\ (inv\text{-}into$   
 $(set\text{-}spmf\ p)\ f\ (f\ x)))$

**if**  $x \in set\text{-}spmf\ p\ inj\text{-}on\ f\ (set\text{-}spmf\ p)$

$\langle proof \rangle$

**lemma** *lossless-cond-spmf-fst* [simp]:  $lossless\text{-}spmf\ (cond\text{-}spmf\text{-}fst\ p\ x) \longleftrightarrow x \in fst$   
 $\ `set\text{-}spmf\ p$

$\langle proof \rangle$

**lemma** *cond-spmf-fst-inverse*:

$bind\text{-}spmf\ (map\text{-}spmf\ fst\ p)\ (\lambda x. map\text{-}spmf\ (Pair\ x)\ (cond\text{-}spmf\text{-}fst\ p\ x)) = p$   
**(is ?lhs = ?rhs)**

$\langle proof \rangle$

### 1.21.1 Embedding of 'a option into 'a spmf

This theoretically follows from the embedding between - *Monomorphic-Monad.id* into - *prob* and the isomorphism between (-, - *prob*) *optionT* and - *spmf*, but we would only get the monomorphic version via this connection. So we do it directly.

**lemma** *bind-option-spmf-monad* [*simp*]: *monad.bind-option (return-pmf None) x = bind-spmf (return-pmf x)*  
(*proof*)

**locale** *option-to-spmf begin*

We have to get the embedding into the lifting package such that we can use the parametrisation of transfer rules.

**definition** *the-pmf* :: 'a pmf  $\Rightarrow$  'a **where** *the-pmf* p = (*THE* x. p = *return-pmf* x)

**lemma** *the-pmf-return* [*simp*]: *the-pmf (return-pmf x) = x*  
(*proof*)

**lemma** *type-definition-option-spmf*: *type-definition return-pmf the-pmf {x.  $\exists$  y :: 'a option. x = return-pmf y}*  
(*proof*)

**context begin**

**private setup-lifting** *type-definition-option-spmf*

**abbreviation** *cr-spmf-option* **where** *cr-spmf-option*  $\equiv$  *cr-option*

**abbreviation** *pcr-spmf-option* **where** *pcr-spmf-option*  $\equiv$  *pcr-option*

**lemmas** *Quotient-spmf-option = Quotient-option*

**and** *cr-spmf-option-def = cr-option-def*

**and** *pcr-spmf-option-bi-unique = option.bi-unique*

**and** *Domainp-pcr-spmf-option = option.domain*

**and** *Domainp-pcr-spmf-option-eq = option.domain-eq*

**and** *Domainp-pcr-spmf-option-par = option.domain-par*

**and** *Domainp-pcr-spmf-option-left-total = option.domain-par-left-total*

**and** *pcr-spmf-option-left-unique = option.left-unique*

**and** *pcr-spmf-option-cr-eq = option.pcr-cr-eq*

**and** *pcr-spmf-option-return-pmf-transfer = option.rep-transfer*

**and** *pcr-spmf-option-right-total = option.right-total*

**and** *pcr-spmf-option-right-unique = option.right-unique*

**and** *pcr-spmf-option-def = pcr-option-def*

**bundle** *spmf-option-lifting* = [[*Lifting.lifting-restore-internal Misc-CryptHOL.option.lifting*]]  
**end**

**context includes** *lifting-syntax begin*

**lemma** *return-option-spmf-transfer* [*transfer-parametric return-spmf-parametric, transfer-rule*]:

$((=) \implies \text{cr-spmf-option}) \text{ return-spmf Some}$   
 $\langle \text{proof} \rangle$

**lemma** *map-option-spmf-transfer* [*transfer-parametric map-spmf-parametric, transfer-rule*]:

$((=(=) \implies (=)) \implies \text{cr-spmf-option} \implies \text{cr-spmf-option}) \text{ map-spmf map-option}$   
 $\langle \text{proof} \rangle$

**lemma** *fail-option-spmf-transfer* [*transfer-parametric return-spmf-None-parametric, transfer-rule*]:

$\text{cr-spmf-option} (\text{return-pmf None}) \text{ None}$   
 $\langle \text{proof} \rangle$

**lemma** *bind-option-spmf-transfer* [*transfer-parametric bind-spmf-parametric, transfer-rule*]:

$(\text{cr-spmf-option} \implies ((=) \implies \text{cr-spmf-option}) \implies \text{cr-spmf-option})$   
 $\text{bind-spmf Option.bind}$   
 $\langle \text{proof} \rangle$

**lemma** *set-option-spmf-transfer* [*transfer-parametric set-spmf-parametric, transfer-rule*]:

$(\text{cr-spmf-option} \implies \text{rel-set} (=)) \text{ set-spmf set-option}$   
 $\langle \text{proof} \rangle$

**lemma** *rel-option-spmf-transfer* [*transfer-parametric rel-spmf-parametric, transfer-rule*]:

$((=(=) \implies (=) \implies (=)) \implies \text{cr-spmf-option} \implies \text{cr-spmf-option} \implies (=)) \text{ rel-spmf rel-option}$   
 $\langle \text{proof} \rangle$

**end**

**end**

**locale** *option-le-spmf* **begin**

Embedding where only successful computations in the option monad are related to Dirac spmf.

**definition** *cr-option-le-spmf* ::  $'a \text{ option} \Rightarrow 'a \text{ spmf} \Rightarrow \text{bool}$   
**where**  $\text{cr-option-le-spmf } x \ p \longleftrightarrow \text{ord-spmf} (=) (\text{return-pmf } x) \ p$

**context** **includes** *lifting-syntax* **begin**

**lemma** *return-option-le-spmf-transfer* [*transfer-rule*]:

$((=) \implies \text{cr-option-le-spmf}) (\lambda x. x) \text{ return-pmf}$   
 $\langle \text{proof} \rangle$

**lemma** *map-option-le-spmf-transfer* [*transfer-rule*]:

$((=(=) \implies (=)) \implies \text{cr-option-le-spmf} \implies \text{cr-option-le-spmf}) \text{ map-option}$

*map-spmf*  
*<proof>*

**lemma** *bind-option-le-spmf-transfer* [*transfer-rule*]:  
 $(cr-option-le-spmf ==> ((=) ==> cr-option-le-spmf) ==> cr-option-le-spmf)$   
*Option.bind bind-spmf*  
*<proof>*

**end**

**end**

**interpretation** *rel-spmf-characterisation* *<proof>*

**lemma** *if-distrib-bind-spmf1* [*if-distrib*]:  
 $bind-spmf (if\ b\ then\ x\ else\ y)\ f = (if\ b\ then\ bind-spmf\ x\ f\ else\ bind-spmf\ y\ f)$   
*<proof>*

**lemma** *if-distrib-bind-spmf2* [*if-distrib*]:  
 $bind-spmf\ x\ (\lambda y. if\ b\ then\ f\ y\ else\ g\ y) = (if\ b\ then\ bind-spmf\ x\ f\ else\ bind-spmf\ x\ g)$   
*<proof>*

**lemma** *rel-spmf-if-distrib* [*if-distrib*]:  
 $rel-spmf\ R\ (if\ b\ then\ x\ else\ y)\ (if\ b\ then\ x'\ else\ y') \longleftrightarrow$   
 $(b \longrightarrow rel-spmf\ R\ x\ x') \wedge (\neg b \longrightarrow rel-spmf\ R\ y\ y')$   
*<proof>*

**lemma** *if-distrib-map-spmf* [*if-distrib*]:  
 $map-spmf\ f\ (if\ b\ then\ p\ else\ q) = (if\ b\ then\ map-spmf\ f\ p\ else\ map-spmf\ f\ q)$   
*<proof>*

**lemma** *if-distrib-restrict-spmf1* [*if-distrib*]:  
 $restrict-spmf\ (if\ b\ then\ p\ else\ q)\ A = (if\ b\ then\ restrict-spmf\ p\ A\ else\ restrict-spmf\ q\ A)$   
*<proof>*

**end**

**theory** *Set-Applicative* **imports**  
*Applicative-Lifting.Applicative-Set*  
**begin**

## 1.22 Applicative instance for 'a set

**lemma** *ap-set-conv-bind*:  $ap-set\ f\ x = Set.bind\ f\ (\lambda f. Set.bind\ x\ (\lambda x. \{f\ x\}))$   
*<proof>*

**context** **includes** *applicative-syntax* **begin**



**lemma** *in-ap-setI*:  $\llbracket f' \in f; x' \in x \rrbracket \implies f' x' \in f \diamond x$   
 ⟨proof⟩

**lemma** *in-ap-setE* [*elim!*]:  
 $\llbracket x \in f \diamond y; \bigwedge f' y'. \llbracket x = f' y'; f' \in f; y' \in y \rrbracket \implies thesis \rrbracket \implies thesis$   
 ⟨proof⟩

**lemma** *in-ap-pure-set* [*iff*]:  $x \in \{f\} \diamond y \longleftrightarrow (\exists y' \in y. x = f y')$   
 ⟨proof⟩

**end**

**end**

**theory** *SPMF-Applicative* **imports**  
*Applicative-Lifting.Applicative-PMF*  
*Set-Applicative*  
*HOL-Probability.SPMF*

**begin**

**declare** *eq-on-def* [*simp del*]

### 1.23 Applicative instance for 'a spmf

**abbreviation** (*input*) *pure-spmf* :: 'a ⇒ 'a spmf  
**where** *pure-spmf* ≡ *return-spmf*

**definition** *ap-spmf* :: ('a ⇒ 'b) spmf ⇒ 'a spmf ⇒ 'b spmf  
**where** *ap-spmf* f x = *map-spmf* (λ(f, x). f x) (*pair-spmf* f x)

**lemma** *ap-spmf-conv-bind*: *ap-spmf* f x = *bind-spmf* f (λf. *bind-spmf* x (λx. *return-spmf* (f x)))  
 ⟨proof⟩

**adhoc-overloading** *Applicative.ap* ≡ *ap-spmf*

**context includes** *applicative-syntax* **begin**

**lemma** *ap-spmf-id*: *pure-spmf* (λx. x) ◊ x = x  
 ⟨proof⟩

**lemma** *ap-spmf-comp*: *pure-spmf* (◊) ◊ u ◊ v ◊ w = u ◊ (v ◊ w)  
 ⟨proof⟩

**lemma** *ap-spmf-homo*: *pure-spmf* f ◊ *pure-spmf* x = *pure-spmf* (f x)  
 ⟨proof⟩

**lemma** *ap-spmf-interchange*: u ◊ *pure-spmf* x = *pure-spmf* (λf. f x) ◊ u  
 ⟨proof⟩

**lemma** *ap-spmf-C*: *return-spmf* ( $\lambda f x y. f y x$ )  $\diamond f \diamond x \diamond y = f \diamond y \diamond x$   
 $\langle proof \rangle$

**applicative** *spmf* (*C*)  
**for**

*pure*: *pure-spmf*  
*ap*: *ap-spmf*  
 $\langle proof \rangle$

**lemma** *set-ap-spmf* [*simp*]: *set-spmf* ( $p \diamond q$ ) = *set-spmf* *p*  $\diamond$  *set-spmf* *q*  
 $\langle proof \rangle$

**lemma** *bind-ap-spmf*: *bind-spmf* ( $p \diamond x$ ) *f* = *bind-spmf* *p* ( $\lambda p. x \gg= (\lambda x. f (p x))$ )  
 $\langle proof \rangle$

**lemma** *bind-pmf-ap-return-spmf* [*simp*]: *bind-pmf* (*ap-spmf* (*return-spmf* *f*) *p*) *g*  
= *bind-pmf* *p* ( $g \circ \text{map-option } f$ )  
 $\langle proof \rangle$

**lemma** *map-spmf-conv-ap* [*applicative-unfold*]: *map-spmf* *f* *p* = *return-spmf* *f*  $\diamond$  *p*  
 $\langle proof \rangle$

**end**

**end**

## 1.24 Exclusive or on lists

**theory** *List-Bits* **imports** *Misc-CryptHOL* **begin**

**definition** *xor* :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a :: {*uminus, inf, sup*} (**infixr**  $\langle \oplus \rangle$  67)  
**where**  $x \oplus y = \text{inf } (sup x y) (- (\text{inf } x y))$

**lemma** *xor-bool-def* [*iff*]: **fixes** *x y* :: *bool* **shows**  $x \oplus y \longleftrightarrow x \neq y$   
 $\langle proof \rangle$

**lemma** *xor-commute*:  
**fixes** *x y* :: 'a :: {*semilattice-sup, semilattice-inf, uminus*}  
**shows**  $x \oplus y = y \oplus x$   
 $\langle proof \rangle$

**lemma** *xor-assoc*:  
**fixes** *x y* :: 'a :: *boolean-algebra*  
**shows**  $(x \oplus y) \oplus z = x \oplus (y \oplus z)$   
 $\langle proof \rangle$

**lemma** *xor-left-commute*:  
**fixes** *x y* :: 'a :: *boolean-algebra*  
**shows**  $x \oplus (y \oplus z) = y \oplus (x \oplus z)$

*<proof>*

**lemma** [*simp*]:  
  **fixes**  $x :: 'a :: \text{boolean-algebra}$   
  **shows** *xor-bot*:  $x \oplus \text{bot} = x$   
  **and** *bot-xor*:  $\text{bot} \oplus x = x$   
  **and** *xor-top*:  $x \oplus \text{top} = \neg x$   
  **and** *top-xor*:  $\text{top} \oplus x = \neg x$   
*<proof>*

**lemma** *xor-inverse* [*simp*]:  
  **fixes**  $x :: 'a :: \text{boolean-algebra}$   
  **shows**  $x \oplus x = \text{bot}$   
*<proof>*

**lemma** *xor-left-inverse* [*simp*]:  
  **fixes**  $x :: 'a :: \text{boolean-algebra}$   
  **shows**  $x \oplus x \oplus y = y$   
*<proof>*

**lemmas** *xor-ac = xor-assoc xor-commute xor-left-commute*

**definition** *xor-list* ::  $'a :: \{\text{uminus}, \text{inf}, \text{sup}\}$  list  $\Rightarrow 'a$  list  $\Rightarrow 'a$  list (**infixr**  $\langle [\oplus] \rangle$   
67)  
**where** *xor-list*  $xs\ ys = \text{map } (\text{case-prod } (\oplus)) (\text{zip } xs\ ys)$

**lemma** *xor-list-unfold*:  
   $xs\ [\oplus]\ ys = (\text{case } xs\ \text{of } [] \Rightarrow [] \mid x \# xs' \Rightarrow (\text{case } ys\ \text{of } [] \Rightarrow [] \mid y \# ys' \Rightarrow x \oplus$   
   $y \# xs' [\oplus] ys'))$   
*<proof>*

**lemma** *xor-list-commute*: **fixes**  $xs\ ys :: 'a :: \{\text{semilattice-sup}, \text{semilattice-inf}, \text{uminus}\}$   
list  
  **shows**  $xs\ [\oplus]\ ys = ys\ [\oplus]\ xs$   
*<proof>*

**lemma** *xor-list-assoc* [*simp*]:  
  **fixes**  $xs\ ys :: 'a :: \text{boolean-algebra list}$   
  **shows**  $(xs\ [\oplus]\ ys)\ [\oplus]\ zs = xs\ [\oplus]\ (ys\ [\oplus]\ zs)$   
*<proof>*

**lemma** *xor-list-left-commute*:  
  **fixes**  $xs\ ys\ zs :: 'a :: \text{boolean-algebra list}$   
  **shows**  $xs\ [\oplus]\ (ys\ [\oplus]\ zs) = ys\ [\oplus]\ (xs\ [\oplus]\ zs)$   
*<proof>*

**lemmas** *xor-list-ac = xor-list-assoc xor-list-commute xor-list-left-commute*

**lemma** *xor-list-inverse* [simp]:  
**fixes**  $xs :: 'a :: \text{boolean-algebra list}$   
**shows**  $xs [\oplus] xs = \text{replicate } (\text{length } xs) \text{ bot}$   
<proof>

**lemma** *xor-replicate-bot-right* [simp]:  
**fixes**  $xs :: 'a :: \text{boolean-algebra list}$   
**shows**  $\llbracket \text{length } xs \leq n; x = \text{bot} \rrbracket \implies xs [\oplus] \text{replicate } n \ x = xs$   
<proof>

**lemma** *xor-replicate-bot-left* [simp]:  
**fixes**  $xs :: 'a :: \text{boolean-algebra list}$   
**shows**  $\llbracket \text{length } xs \leq n; x = \text{bot} \rrbracket \implies \text{replicate } n \ x [\oplus] xs = xs$   
<proof>

**lemma** *xor-list-left-inverse* [simp]:  
**fixes**  $xs :: 'a :: \text{boolean-algebra list}$   
**shows**  $\text{length } ys \leq \text{length } xs \implies xs [\oplus] (xs [\oplus] ys) = ys$   
<proof>

**lemma** *length-xor-list* [simp]:  $\text{length } (\text{xor-list } xs \ ys) = \min (\text{length } xs) (\text{length } ys)$   
<proof>

**lemma** *inj-on-xor-list-nlists* [simp]:  
**fixes**  $xs :: 'a :: \text{boolean-algebra list}$   
**shows**  $n \leq \text{length } xs \implies \text{inj-on } (\text{xor-list } xs) (\text{nlists UNIV } n)$   
<proof>

**lemma** *one-time-pad*:  
**fixes**  $xs :: - :: \text{boolean-algebra list}$   
**shows**  $\text{length } xs \geq n \implies \text{map-spmf } (\text{xor-list } xs) (\text{spmfs-of-set } (\text{nlists UNIV } n))$   
 $= \text{spmfs-of-set } (\text{nlists UNIV } n)$   
<proof>

**end**

**theory** *Environment-Function imports*  
*Applicative-Lifting.Applicative-Environment*  
**begin**

## 1.25 The environment functor

**type-synonym**  $(i, 'a) \text{ envir} = i \Rightarrow 'a$

**lemma** *const-apply* [simp]:  $\text{const } x \ i = x$   
<proof>

**context** **includes** *applicative-syntax* **begin**

**lemma** *ap-envir-apply* [simp]:  $(f \diamond x) \ i = f \ i \ (x \ i)$

*<proof>*

**definition** *all-envir* :: ('i, bool) *envir* ⇒ bool  
**where** *all-envir* p ⇔ (∀ x. p x)

**lemma** *all-envirI* [*Pure.intro!*, *intro!*]: (∧ x. p x) ⇒ *all-envir* p  
*<proof>*

**lemma** *all-envirE* [*Pure.elim 2*, *elim*]: *all-envir* p ⇒ (p x ⇒ *thesis*) ⇒ *thesis*  
*<proof>*

**lemma** *all-envirD*: *all-envir* p ⇒ p x  
*<proof>*

**definition** *pred-envir* :: ('a ⇒ bool) ⇒ ('i, 'a) *envir* ⇒ bool  
**where** *pred-envir* p f = *all-envir* (const p ◊ f)

**lemma** *pred-envir-conv*: *pred-envir* p f ⇔ (∀ x. p (f x))  
*<proof>*

**lemma** *pred-envirI* [*Pure.intro!*, *intro!*]: (∧ x. p (f x)) ⇒ *pred-envir* p f  
*<proof>*

**lemma** *pred-envirD*: *pred-envir* p f ⇒ p (f x)  
*<proof>*

**lemma** *pred-envirE* [*Pure.elim 2*, *elim*]: *pred-envir* p f ⇒ (p (f x) ⇒ *thesis*)  
⇒ *thesis*  
*<proof>*

**lemma** *pred-envir-mono*: [ *pred-envir* p f; ∧ x. p (f x) ⇒ q (g x) ] ⇒ *pred-envir* q g  
*<proof>*

**definition** *rel-envir* :: ('a ⇒ 'b ⇒ bool) ⇒ ('i, 'a) *envir* ⇒ ('i, 'b) *envir* ⇒ bool  
**where** *rel-envir* p f g ⇔ *all-envir* (const p ◊ f ◊ g)

**lemma** *rel-envir-conv*: *rel-envir* p f g ⇔ (∀ x. p (f x) (g x))  
*<proof>*

**lemma** *rel-envir-conv-rel-fun*: *rel-envir* = *rel-fun* (=)  
*<proof>*

**lemma** *rel-envirI* [*Pure.intro!*, *intro!*]: (∧ x. p (f x) (g x)) ⇒ *rel-envir* p f g  
*<proof>*

**lemma** *rel-envirD*: *rel-envir* p f g ⇒ p (f x) (g x)  
*<proof>*

**lemma** *rel-envirE* [*Pure.elim 2, elim*]: *rel-envir p f g*  $\implies$  (*p (f x) (g x)*  $\implies$  *thesis*)  
 $\implies$  *thesis*  
 ⟨*proof*⟩

**lemma** *rel-envir-mono*:  $\llbracket$  *rel-envir p f g*;  $\bigwedge x. p (f x) (g x) \implies q (f' x) (g' x)$   $\rrbracket \implies$   
*rel-envir q f' g'*  
 ⟨*proof*⟩

**lemma** *rel-envir-mono1*:  $\llbracket$  *pred-envir p f*;  $\bigwedge x. p (f x) \implies q (f' x) (g' x)$   $\rrbracket \implies$   
*rel-envir q f' g'*  
 ⟨*proof*⟩

**lemma** *pred-envir-mono2*:  $\llbracket$  *rel-envir p f g*;  $\bigwedge x. p (f x) (g x) \implies q (f' x)$   $\rrbracket \implies$   
*pred-envir q f'*  
 ⟨*proof*⟩

**end**

**end**

**theory** *Partial-Function-Set* **imports** *Main* **begin**

## 1.26 Setup for *partial-function* for sets

**lemma** (**in** *complete-lattice*) *lattice-partial-function-definition*:  
*partial-function-definitions* ( $\leq$ ) *Sup*  
 ⟨*proof*⟩

**interpretation** *set*: *partial-function-definitions* ( $\subseteq$ ) *Union*  
 ⟨*proof*⟩

**lemma** *fun-lub-Sup*: *fun-lub Sup* = (*Sup* :: -  $\Rightarrow$  - :: *complete-lattice*)  
 ⟨*proof*⟩

**lemma** *set-admissible*: *set.admissible* ( $\lambda f :: 'a \Rightarrow 'b$  *set*.  $\forall x y. y \in f x \longrightarrow P x y$ )  
 ⟨*proof*⟩

**abbreviation** *mono-set*  $\equiv$  *monotone* (*fun-ord* ( $\subseteq$ )) ( $\subseteq$ )

**lemma** *fixp-induct-set-scott*:

**fixes** *F* ::  $'c \Rightarrow 'c$

**and** *U* ::  $'c \Rightarrow 'b \Rightarrow 'a$  *set*

**and** *C* ::  $('b \Rightarrow 'a$  *set*)  $\Rightarrow 'c$

**and** *P* ::  $'b \Rightarrow 'a \Rightarrow$  *bool*

**and** *x* **and** *y*

**assumes** *mono*:  $\bigwedge x. \text{mono-set } (\lambda f. U (F (C f)) x)$

**and** *eq*:  $f \equiv C (\text{ccpo.fixp } (\text{fun-lub Sup}) (\text{fun-ord } (\leq)) (\lambda f. U (F (C f))))$

**and** *inverse2*:  $\bigwedge f. U (C f) = f$   
**and** *step*:  $\bigwedge f x y. [\bigwedge x y. y \in U f x \implies P x y; y \in U (F f) x] \implies P x y$   
**and** *enforce-variable-ordering*:  $x = x$   
**and** *elem*:  $y \in U f x$   
**shows**  $P x y$   
 $\langle$ *proof* $\rangle$

**lemma** *fixp-Sup-le*:  
**defines**  $le \equiv ((\leq) :: - :: \text{complete-lattice} \Rightarrow -)$   
**shows**  $ccpo.\text{fixp } Sup \text{ le} = cppo.\text{class}.\text{fixp}$   
 $\langle$ *proof* $\rangle$

**lemma** *fun-ord-le*:  $\text{fun-ord } (\leq) = (\leq)$   
 $\langle$ *proof* $\rangle$

**lemma** *fixp-induct-set*:  
**fixes**  $F :: 'c \Rightarrow 'c$   
**and**  $U :: 'c \Rightarrow 'b \Rightarrow 'a \text{ set}$   
**and**  $C :: ('b \Rightarrow 'a \text{ set}) \Rightarrow 'c$   
**and**  $P :: 'b \Rightarrow 'a \Rightarrow \text{bool}$   
**and**  $x$  **and**  $y$   
**assumes** *mono*:  $\bigwedge x. \text{mono-set } (\lambda f. U (F (C f))) x$   
**and** *eq*:  $f \equiv C (ccpo.\text{fixp } (\text{fun-lub } Sup) (\text{fun-ord } (\leq))) (\lambda f. U (F (C f)))$   
**and** *inverse2*:  $\bigwedge f. U (C f) = f$   
  
**and** *step*:  $\bigwedge f' x y. [\bigwedge x. U f' x = U f' x; y \in U (F (C (\text{inf } (U f) (\lambda x. \{y. P x y\})))) x] \implies P x y$   
— *partial\_function* requires a quantifier over  $f'$ , so let's have a fake one  
**and** *elem*:  $y \in U f x$   
**shows**  $P x y$   
 $\langle$ *proof* $\rangle$

$\langle$ *ML* $\rangle$

**lemma** [*partial-function-mono*]:  
**shows** *insert-mono*:  $\text{mono-set } A \implies \text{mono-set } (\lambda f. \text{insert } x (A f))$   
**and** *UNION-mono*:  $[\text{mono-set } B; \bigwedge y. \text{mono-set } (\lambda f. C y f)] \implies \text{mono-set } (\lambda f. \bigcup_{y \in B} f. C y f)$   
**and** *set-bind-mono*:  $[\text{mono-set } B; \bigwedge y. \text{mono-set } (\lambda f. C y f)] \implies \text{mono-set } (\lambda f. \text{Set.bind } (B f) (\lambda y. C y f))$   
**and** *Un-mono*:  $[\text{mono-set } A; \text{mono-set } B] \implies \text{mono-set } (\lambda f. A f \cup B f)$   
**and** *Int-mono*:  $[\text{mono-set } A; \text{mono-set } B] \implies \text{mono-set } (\lambda f. A f \cap B f)$   
**and** *Diff-mono1*:  $\text{mono-set } A \implies \text{mono-set } (\lambda f. A f - X)$   
**and** *image-mono*:  $\text{mono-set } A \implies \text{mono-set } (\lambda f. g \text{ ` } A f)$   
**and** *vimage-mono*:  $\text{mono-set } A \implies \text{mono-set } (\lambda f. g \text{ -' } A f)$   
 $\langle$ *proof* $\rangle$

**partial-function** (*set*) *test* ::  $'a \text{ list} \Rightarrow \text{nat} \Rightarrow \text{bool} \Rightarrow \text{int set}$

**where**

*test xs i j = insert 4 (test [] 0 j ∪ test [] 1 True ∩ test [] 2 False - {5}) ∪ uminus  
' test [undefined] 0 True ∪ uminus - ' test [] 1 False)*

**interpretation** *coset: partial-function-definitions* ( $\supseteq$ ) *Inter*  
(*proof*)

**lemma** *fun-lub-Inf: fun-lub Inf = (Inf :: -  $\Rightarrow$  - :: complete-lattice)*  
(*proof*)

**lemma** *fun-ord-ge: fun-ord ( $\geq$ ) = ( $\geq$ )*  
(*proof*)

**lemma** *coset-admissible: coset.admissible ( $\lambda f :: 'a \Rightarrow 'b \text{ set. } \forall x y. P x y \longrightarrow y \in f x$ )*  
(*proof*)

**abbreviation** *mono-coset*  $\equiv$  *monotone (fun-ord ( $\supseteq$ ))* ( $\supseteq$ )

**lemma** *gfp-eq-fixp:*  
**fixes** *f :: 'a :: complete-lattice  $\Rightarrow$  'a*  
**assumes** *f: monotone ( $\geq$ ) ( $\geq$ ) f*  
**shows** *gfp f = ccpo.fixp Inf ( $\geq$ ) f*  
(*proof*)

**lemma** *fixp-coinduct-set:*  
**fixes** *F :: 'c  $\Rightarrow$  'c*  
**and** *U :: 'c  $\Rightarrow$  'b  $\Rightarrow$  'a set*  
**and** *C :: ('b  $\Rightarrow$  'a set)  $\Rightarrow$  'c*  
**and** *P :: 'b  $\Rightarrow$  'a  $\Rightarrow$  bool*  
**and** *x and y*  
**assumes** *mono:  $\bigwedge x. \text{mono-coset } (\lambda f. U (F (C f))) x$*   
**and** *eq:  $f \equiv C (\text{ccpo.fixp } (\text{fun-lub } \text{Inter}) (\text{fun-ord } (\geq))) (\lambda f. U (F (C f)))$*   
**and** *inverse2:  $\bigwedge f. U (C f) = f$*

**and** *step:  $\bigwedge f' x y. [\bigwedge x. U f' x = U f' x; \neg P x y] \Longrightarrow y \in U (F (C (\text{sup } (\lambda x. \{y. \neg P x y\}) (U f)))) x$*

— *partial\_function* requires a quantifier over *f'*, so let's have a fake one

**and** *elem:  $y \notin U f x$*   
**shows** *P x y*

(*proof*)

(*ML*)

**abbreviation** *mono-set'*  $\equiv$  *monotone (fun-ord ( $\supseteq$ ))* ( $\supseteq$ )

**lemma** [*partial-function-mono*]:  
**shows** *insert-mono': mono-set' A  $\Longrightarrow$  mono-set' ( $\lambda f. \text{insert } x (A f)$ )*  
**and** *UNION-mono':  $[\text{mono-set}' B; \bigwedge y. \text{mono-set}' (\lambda f. C y f)] \Longrightarrow \text{mono-set}'$*



$(\lambda f. \bigcup_{y \in B} f. C y f)$   
**and** *set-bind-mono'*:  $\llbracket \text{mono-set}' B; \bigwedge y. \text{mono-set}' (\lambda f. C y f) \rrbracket \implies \text{mono-set}'$   
 $(\lambda f. \text{Set.bind } (B f) (\lambda y. C y f))$   
**and** *Un-mono'*:  $\llbracket \text{mono-set}' A; \text{mono-set}' B \rrbracket \implies \text{mono-set}' (\lambda f. A f \cup B f)$   
**and** *Int-mono'*:  $\llbracket \text{mono-set}' A; \text{mono-set}' B \rrbracket \implies \text{mono-set}' (\lambda f. A f \cap B f)$   
 $\langle \text{proof} \rangle$

**context begin**

**private partial-function** *(coset) test2* ::  $\text{nat} \Rightarrow \text{nat set}$

**where**  $\text{test2 } x = \text{insert } x (\text{test2 } (\text{Suc } x))$

**private lemma** *test2-coinduct*:

**assumes**  $P x y$

**and**  $*$ :  $\bigwedge x y. P x y \implies y = x \vee (P (\text{Suc } x) y \vee y \in \text{test2 } (\text{Suc } x))$

**shows**  $y \in \text{test2 } x$

$\langle \text{proof} \rangle$

**end**

**end**

## 2 Negligibility

**theory** *Negligible imports*

*Complex-Main*

*Landau-Symbols.Landau-More*

**begin**

**named-theorems** *negligible-intros*

**definition** *negligible* ::  $(\text{nat} \Rightarrow \text{real}) \Rightarrow \text{bool}$

**where**  $\text{negligible } f \longleftrightarrow (\forall c > 0. f \in o(\lambda x. \text{inverse } (x \text{ powr } c)))$

**lemma** *negligibleI* [*intro?*]:

$(\bigwedge c. c > 0 \implies f \in o(\lambda x. \text{inverse } (x \text{ powr } c))) \implies \text{negligible } f$

$\langle \text{proof} \rangle$

**lemma** *negligibleD*:

$\llbracket \text{negligible } f; c > 0 \rrbracket \implies f \in o(\lambda x. \text{inverse } (x \text{ powr } c))$

$\langle \text{proof} \rangle$

**lemma** *negligibleD-real*:

**assumes**  $\text{negligible } f$

**shows**  $f \in o(\lambda x. \text{inverse } (x \text{ powr } c))$

$\langle \text{proof} \rangle$

**lemma** *negligible-mono*:  $\llbracket \text{negligible } g; f \in O(g) \rrbracket \implies \text{negligible } f$

$\langle \text{proof} \rangle$

**lemma** *negligible-le*:  $\llbracket \text{negligible } g; \bigwedge \eta. |f \ \eta| \leq g \ \eta \rrbracket \implies \text{negligible } f$   
 $\langle \text{proof} \rangle$

**lemma** *negligible-K0* [*negligible-intros, simp, intro!*]: *negligible*  $(\lambda-. 0)$   
 $\langle \text{proof} \rangle$

**lemma** *negligible-0* [*negligible-intros, simp, intro!*]: *negligible*  $0$   
 $\langle \text{proof} \rangle$

**lemma** *negligible-const-iff* [*simp*]: *negligible*  $(\lambda-. c :: \text{real}) \longleftrightarrow c = 0$   
 $\langle \text{proof} \rangle$

**lemma** *not-negligible-1*:  $\neg \text{negligible } (\lambda-. 1 :: \text{real})$   
 $\langle \text{proof} \rangle$

**lemma** *negligible-plus* [*negligible-intros*]:  
 $\llbracket \text{negligible } f; \text{negligible } g \rrbracket \implies \text{negligible } (\lambda \eta. f \ \eta + g \ \eta)$   
 $\langle \text{proof} \rangle$

**lemma** *negligible-uminus* [*simp*]: *negligible*  $(\lambda \eta. - f \ \eta) \longleftrightarrow \text{negligible } f$   
 $\langle \text{proof} \rangle$

**lemma** *negligible-uminusI* [*negligible-intros*]: *negligible*  $f \implies \text{negligible } (\lambda \eta. - f \ \eta)$   
 $\langle \text{proof} \rangle$

**lemma** *negligible-minus* [*negligible-intros*]:  
 $\llbracket \text{negligible } f; \text{negligible } g \rrbracket \implies \text{negligible } (\lambda \eta. f \ \eta - g \ \eta)$   
 $\langle \text{proof} \rangle$

**lemma** *negligible-cmult*: *negligible*  $(\lambda \eta. c * f \ \eta) \longleftrightarrow \text{negligible } f \vee c = 0$   
 $\langle \text{proof} \rangle$

**lemma** *negligible-cmultI* [*negligible-intros*]:  
 $(c \neq 0 \implies \text{negligible } f) \implies \text{negligible } (\lambda \eta. c * f \ \eta)$   
 $\langle \text{proof} \rangle$

**lemma** *negligible-multc*: *negligible*  $(\lambda \eta. f \ \eta * c) \longleftrightarrow \text{negligible } f \vee c = 0$   
 $\langle \text{proof} \rangle$

**lemma** *negligible-multcI* [*negligible-intros*]:  
 $(c \neq 0 \implies \text{negligible } f) \implies \text{negligible } (\lambda \eta. f \ \eta * c)$   
 $\langle \text{proof} \rangle$

**lemma** *negligible-times* [*negligible-intros*]:  
**assumes**  $f: \text{negligible } f$   
**and**  $g: \text{negligible } g$   
**shows** *negligible*  $(\lambda \eta. f \ \eta * g \ \eta :: \text{real})$   
 $\langle \text{proof} \rangle$

**lemma** *negligible-power* [*negligible-intros*]:  
**assumes** *negligible f*  
**and**  $n > 0$   
**shows** *negligible*  $(\lambda\eta. f \eta ^ n :: \text{real})$   
 $\langle \text{proof} \rangle$

**lemma** *negligible-powr* [*negligible-intros*]:  
**assumes**  $f: \text{negligible } f$   
**and**  $p: p > 0$   
**shows** *negligible*  $(\lambda x. |f x| \text{ powr } p :: \text{real})$   
 $\langle \text{proof} \rangle$

**lemma** *negligible-abs* [*simp*]: *negligible*  $(\lambda x. |f x|) \longleftrightarrow \text{negligible } f$   
 $\langle \text{proof} \rangle$

**lemma** *negligible-absI* [*negligible-intros*]: *negligible*  $f \implies \text{negligible } (\lambda x. |f x|)$   
 $\langle \text{proof} \rangle$

**lemma** *negligible-powrI* [*negligible-intros*]:  
**assumes**  $0 \leq k < 1$   
**shows** *negligible*  $(\lambda x. k \text{ powr } x)$   
 $\langle \text{proof} \rangle$

**lemma** *negligible-powerI* [*negligible-intros*]:  
**fixes**  $k :: \text{real}$   
**assumes**  $|k| < 1$   
**shows** *negligible*  $(\lambda n. k ^ n)$   
 $\langle \text{proof} \rangle$

**lemma** *negligible-inverse-powerI* [*negligible-intros*]:  $|k| > 1 \implies \text{negligible } (\lambda\eta. 1 / k ^ \eta)$   
 $\langle \text{proof} \rangle$

**inductive** *polynomial* ::  $(\text{nat} \Rightarrow \text{real}) \Rightarrow \text{bool}$   
**for**  $f$   
**where**  $f \in O(\lambda x. x \text{ powr } n) \implies \text{polynomial } f$

**lemma** *negligible-times-poly*:  
**assumes**  $f: \text{negligible } f$   
**and**  $g: g \in O(\lambda x. x \text{ powr } n)$   
**shows** *negligible*  $(\lambda x. f x * g x)$   
 $\langle \text{proof} \rangle$

**lemma** *negligible-poly-times*:  
 $\llbracket f \in O(\lambda x. x \text{ powr } n); \text{negligible } g \rrbracket \implies \text{negligible } (\lambda x. f x * g x)$   
 $\langle \text{proof} \rangle$

**lemma** *negligible-times-polynomial* [*negligible-intros*]:  
 $\llbracket \text{negligible } f; \text{polynomial } g \rrbracket \implies \text{negligible } (\lambda x. f x * g x)$

*<proof>*

**lemma** *negligible-polynomial-times* [*negligible-intros*]:

$\llbracket \text{polynomial } f; \text{negligible } g \rrbracket \implies \text{negligible } (\lambda x. f\ x * g\ x)$

*<proof>*

**lemma** *negligible-divide-poly1*:

$\llbracket f \in O(\lambda x. x \text{ powr } n); \text{negligible } (\lambda \eta. 1 / g\ \eta) \rrbracket \implies \text{negligible } (\lambda \eta. \text{real } (f\ \eta) / g\ \eta)$

*<proof>*

**lemma** *negligible-divide-polynomial1* [*negligible-intros*]:

$\llbracket \text{polynomial } f; \text{negligible } (\lambda \eta. 1 / g\ \eta) \rrbracket \implies \text{negligible } (\lambda \eta. \text{real } (f\ \eta) / g\ \eta)$

*<proof>*

**end**

### 3 The resumption-error monad

**theory** *Resumption*

**imports**

*Misc-CryptHOL*

*Partial-Function-Set*

**begin**

**codatatype** (*results: 'a, outputs: 'out, 'in*) *resumption*

= *Done* (*result: 'a option*)

| *Pause* (*output: 'out*) (*resume: 'in*  $\Rightarrow$  (*'a, 'out, 'in*) *resumption*)

**where**

*resume* (*Done a*) = (*inp. Done None*)

**code-datatype** *Done Pause*

**primcorec** *bind-resumption* ::

(*'a, 'out, 'in*) *resumption*

$\Rightarrow$  (*'a*  $\Rightarrow$  (*'b, 'out, 'in*) *resumption*)  $\Rightarrow$  (*'b, 'out, 'in*) *resumption*

**where**

$\llbracket \text{is-Done } x; \text{result } x \neq \text{None} \longrightarrow \text{is-Done } (f\ (\text{the } (\text{result } x))) \rrbracket \implies \text{is-Done } (\text{bind-resumption } x\ f)$

| *result* (*bind-resumption x f*) = *result x*  $\gg$  *result*  $\circ$  *f*

| *output* (*bind-resumption x f*) = (*if is-Done x then output (f (the (result x))) else output x*)

| *resume* (*bind-resumption x f*) = (*inp. if is-Done x then resume (f (the (result x))) inp else bind-resumption (resume x inp) f*)

**declare** *bind-resumption.sel* [*simp del*]

**adhoc-overloading** *Monad-Syntax.bind*  $\equiv$  *bind-resumption*

**lemma** *is-Done-bind-resumption* [simp]:  
 $is\text{-}Done\ (x \ggg f) \longleftrightarrow is\text{-}Done\ x \wedge (result\ x \neq None \longrightarrow is\text{-}Done\ (f\ (the\ (result\ x))))$   
 ⟨proof⟩

**lemma** *result-bind-resumption* [simp]:  
 $is\text{-}Done\ (x \ggg f) \Longrightarrow result\ (x \ggg f) = result\ x \ggg result\ \circ\ f$   
 ⟨proof⟩

**lemma** *output-bind-resumption* [simp]:  
 $\neg is\text{-}Done\ (x \ggg f) \Longrightarrow output\ (x \ggg f) = (if\ is\text{-}Done\ x\ then\ output\ (f\ (the\ (result\ x)))\ else\ output\ x)$   
 ⟨proof⟩

**lemma** *resume-bind-resumption* [simp]:  
 $\neg is\text{-}Done\ (x \ggg f) \Longrightarrow$   
 $resume\ (x \ggg f) =$   
 $(if\ is\text{-}Done\ x\ then\ resume\ (f\ (the\ (result\ x)))$   
 $else\ (\lambda inp.\ resume\ x\ inp \ggg f))$   
 ⟨proof⟩

**definition** *DONE* :: 'a  $\Rightarrow$  ('a, 'out, 'in) resumption  
 where *DONE* = Done  $\circ$  Some

**definition** *ABORT* :: ('a, 'out, 'in) resumption  
 where *ABORT* = Done None

**lemma** [simp]:  
 shows *is-Done-DONE*:  $is\text{-}Done\ (DONE\ a)$   
 and *is-Done-ABORT*:  $is\text{-}Done\ ABORT$   
 and *result-DONE*:  $result\ (DONE\ a) = Some\ a$   
 and *result-ABORT*:  $result\ ABORT = None$   
 and *DONE-inject*:  $DONE\ a = DONE\ b \longleftrightarrow a = b$   
 and *DONE-neq-ABORT*:  $DONE\ a \neq ABORT$   
 and *ABORT-neq-DONE*:  $ABORT \neq DONE\ a$   
 and *ABORT-eq-Done*:  $\bigwedge a.\ ABORT = Done\ a \longleftrightarrow a = None$   
 and *Done-eq-ABORT*:  $\bigwedge a.\ Done\ a = ABORT \longleftrightarrow a = None$   
 and *DONE-eq-Done*:  $\bigwedge b.\ DONE\ a = Done\ b \longleftrightarrow b = Some\ a$   
 and *Done-eq-DONE*:  $\bigwedge b.\ Done\ b = DONE\ a \longleftrightarrow b = Some\ a$   
 and *DONE-neq-Pause*:  $DONE\ a \neq Pause\ out\ c$   
 and *Pause-neq-DONE*:  $Pause\ out\ c \neq DONE\ a$   
 and *ABORT-neq-Pause*:  $ABORT \neq Pause\ out\ c$   
 and *Pause-neq-ABORT*:  $Pause\ out\ c \neq ABORT$   
 ⟨proof⟩

**lemma** *resume-ABORT* [simp]:  
 $resume\ (Done\ r) = (\lambda inp.\ ABORT)$   
 ⟨proof⟩

**declare** *resumption.sel*( $\beta$ )[*simp del*]

**lemma** *results-DONE* [*simp*]: *results* (*DONE*  $x$ ) =  $\{x\}$   
 $\langle$ *proof* $\rangle$

**lemma** *results-ABORT* [*simp*]: *results* *ABORT* =  $\{\}$   
 $\langle$ *proof* $\rangle$

**lemma** *outputs-ABORT* [*simp*]: *outputs* *ABORT* =  $\{\}$   
 $\langle$ *proof* $\rangle$

**lemma** *outputs-DONE* [*simp*]: *outputs* (*DONE*  $x$ ) =  $\{\}$   
 $\langle$ *proof* $\rangle$

**lemma** *is-Done-cases* [*cases pred*]:  
**assumes** *is-Done*  $r$   
**obtains** (*DONE*)  $x$  **where**  $r = \text{DONE } x \mid (\text{ABORT}) r = \text{ABORT}$   
 $\langle$ *proof* $\rangle$

**lemma** *not-is-Done-conv-Pause*:  $\neg \text{is-Done } r \longleftrightarrow (\exists \text{ out } c. r = \text{Pause out } c)$   
 $\langle$ *proof* $\rangle$

**lemma** *Done-bind* [*code*]:  
 $\text{Done } a \ggg f = (\text{case } a \text{ of } \text{None} \Rightarrow \text{Done None} \mid \text{Some } a \Rightarrow f a)$   
 $\langle$ *proof* $\rangle$

**lemma** *DONE-bind* [*simp*]:  
 $\text{DONE } a \ggg f = f a$   
 $\langle$ *proof* $\rangle$

**lemma** *bind-resumption-Pause* [*simp, code*]: **fixes** *cont* **shows**  
 $\text{Pause out } cont \ggg f$   
 $= \text{Pause out } (\lambda \text{ inp. } cont \text{ inp} \ggg f)$   
 $\langle$ *proof* $\rangle$

**lemma** *bind-DONE* [*simp*]:  
 $x \ggg \text{DONE} = x$   
 $\langle$ *proof* $\rangle$

**lemma** *bind-bind-resumption*:  
**fixes**  $r :: ('a, 'in, 'out) \text{ resumption}$   
**shows**  $(r \ggg f) \ggg g = \text{do } \{ x \leftarrow r; f x \ggg g \}$   
 $\langle$ *proof* $\rangle$

**lemmas** *resumption-monad* = *DONE-bind bind-DONE bind-bind-resumption*

**lemma** *ABORT-bind* [*simp*]: *ABORT*  $\ggg f = \text{ABORT}$   
 $\langle$ *proof* $\rangle$

**lemma** *bind-resumption-is-Done*:  $is\text{-}Done\ f \implies f \ggg g = (if\ result\ f = None\ then\ ABORT\ else\ g\ (the\ (result\ f)))$

$\langle proof \rangle$

**lemma** *bind-resumption-eq-Done-iff* [*simp*]:

$f \ggg g = Done\ x \iff (\exists y. f = DONE\ y \wedge g\ y = Done\ x) \vee f = ABORT \wedge x = None$

$\langle proof \rangle$

**lemma** *bind-resumption-cong*:

**assumes**  $x = y$

**and**  $\bigwedge z. z \in results\ y \implies f\ z = g\ z$

**shows**  $x \ggg f = y \ggg g$

$\langle proof \rangle$

**lemma** *results-bind-resumption*:

$results\ (bind\text{-}resumption\ x\ f) = (\bigcup a \in results\ x. results\ (f\ a))$

(**is** ?lhs = ?rhs)

$\langle proof \rangle$

**lemma** *outputs-bind-resumption* [*simp*]:

$outputs\ (bind\text{-}resumption\ r\ f) = outputs\ r \cup (\bigcup x \in results\ r. outputs\ (f\ x))$

(**is** ?lhs = ?rhs)

$\langle proof \rangle$

**primrec** *ensure* ::  $bool \Rightarrow (unit, 'out, 'in)\ resumption$

**where**

$ensure\ True = DONE\ ()$

|  $ensure\ False = ABORT$

**lemma** *is-Done-map-resumption* [*simp*]:

$is\text{-}Done\ (map\text{-}resumption\ f1\ f2\ r) \iff is\text{-}Done\ r$

$\langle proof \rangle$

**lemma** *result-map-resumption* [*simp*]:

$is\text{-}Done\ r \implies result\ (map\text{-}resumption\ f1\ f2\ r) = map\text{-}option\ f1\ (result\ r)$

$\langle proof \rangle$

**lemma** *output-map-resumption* [*simp*]:

$\neg is\text{-}Done\ r \implies output\ (map\text{-}resumption\ f1\ f2\ r) = f2\ (output\ r)$

$\langle proof \rangle$

**lemma** *resume-map-resumption* [*simp*]:

$\neg is\text{-}Done\ r$

$\implies resume\ (map\text{-}resumption\ f1\ f2\ r) = map\text{-}resumption\ f1\ f2 \circ resume\ r$

$\langle proof \rangle$

**lemma** *rel-resumption-is-DoneD*:  $rel\text{-}resumption\ A\ B\ r1\ r2 \implies is\text{-}Done\ r1 \iff is\text{-}Done\ r2$

*<proof>*

**lemma** *rel-resumption-resultD1*:

$\llbracket \text{rel-resumption } A \ B \ r1 \ r2; \text{is-Done } r1 \rrbracket \implies \text{rel-option } A \ (\text{result } r1) \ (\text{result } r2)$   
*<proof>*

**lemma** *rel-resumption-resultD2*:

$\llbracket \text{rel-resumption } A \ B \ r1 \ r2; \text{is-Done } r2 \rrbracket \implies \text{rel-option } A \ (\text{result } r1) \ (\text{result } r2)$   
*<proof>*

**lemma** *rel-resumption-outputD1*:

$\llbracket \text{rel-resumption } A \ B \ r1 \ r2; \neg \text{is-Done } r1 \rrbracket \implies B \ (\text{output } r1) \ (\text{output } r2)$   
*<proof>*

**lemma** *rel-resumption-outputD2*:

$\llbracket \text{rel-resumption } A \ B \ r1 \ r2; \neg \text{is-Done } r2 \rrbracket \implies B \ (\text{output } r1) \ (\text{output } r2)$   
*<proof>*

**lemma** *rel-resumption-resumeD1*:

$\llbracket \text{rel-resumption } A \ B \ r1 \ r2; \neg \text{is-Done } r1 \rrbracket$   
 $\implies \text{rel-resumption } A \ B \ (\text{resume } r1 \ \text{inp}) \ (\text{resume } r2 \ \text{inp})$   
*<proof>*

**lemma** *rel-resumption-resumeD2*:

$\llbracket \text{rel-resumption } A \ B \ r1 \ r2; \neg \text{is-Done } r2 \rrbracket$   
 $\implies \text{rel-resumption } A \ B \ (\text{resume } r1 \ \text{inp}) \ (\text{resume } r2 \ \text{inp})$   
*<proof>*

**lemma** *rel-resumption-coinduct*

[consumes 1, case-names Done Pause,  
case-conclusion Done is-Done result,  
case-conclusion Pause output resume,  
coinduct pred: rel-resumption]:

**assumes**  $X: X \ r1 \ r2$

**and** Done:  $\bigwedge r1 \ r2. X \ r1 \ r2 \implies (\text{is-Done } r1 \longleftrightarrow \text{is-Done } r2) \wedge (\text{is-Done } r1 \longrightarrow \text{is-Done } r2 \longrightarrow \text{rel-option } A \ (\text{result } r1) \ (\text{result } r2))$

**and** Pause:  $\bigwedge r1 \ r2. \llbracket X \ r1 \ r2; \neg \text{is-Done } r1; \neg \text{is-Done } r2 \rrbracket \implies B \ (\text{output } r1) \ (\text{output } r2) \wedge (\forall \text{inp}. X \ (\text{resume } r1 \ \text{inp}) \ (\text{resume } r2 \ \text{inp}))$

**shows** *rel-resumption*  $A \ B \ r1 \ r2$

*<proof>*

### 3.1 Setup for partial-function

**context includes** *lifting-syntax* **begin**

**coinductive** *resumption-ord* :: ('a, 'out, 'in) *resumption*  $\Rightarrow$  ('a, 'out, 'in) *resumption*  $\Rightarrow$  bool

**where**

*Done-Done*: *flat-ord*  $\text{None } a \ a' \implies \text{resumption-ord } (\text{Done } a) \ (\text{Done } a')$



| *Done-Pause: resumption-ord ABORT (Pause out c)*  
 | *Pause-Pause: ((=) ===> resumption-ord) c c' ==> resumption-ord (Pause out c) (Pause out c')*

**inductive-simps** *resumption-ord-simps* [simp]:  
*resumption-ord (Pause out c) r*  
*resumption-ord r (Done a)*

**lemma** *resumption-ord-is-DoneD*:  
 $\llbracket \text{resumption-ord } r \ r'; \text{ is-Done } r' \rrbracket \implies \text{is-Done } r$   
 <proof>

**lemma** *resumption-ord-resultD*:  
 $\llbracket \text{resumption-ord } r \ r'; \text{ is-Done } r' \rrbracket \implies \text{flat-ord None (result } r) \text{ (result } r')$   
 <proof>

**lemma** *resumption-ord-outputD*:  
 $\llbracket \text{resumption-ord } r \ r'; \neg \text{is-Done } r \rrbracket \implies \text{output } r = \text{output } r'$   
 <proof>

**lemma** *resumption-ord-resumeD*:  
 $\llbracket \text{resumption-ord } r \ r'; \neg \text{is-Done } r \rrbracket \implies ((=) ===> \text{resumption-ord}) \text{ (resume } r) \text{ (resume } r')$   
 <proof>

**lemma** *resumption-ord-abort*:  
 $\llbracket \text{resumption-ord } r \ r'; \text{ is-Done } r; \neg \text{is-Done } r' \rrbracket \implies \text{result } r = \text{None}$   
 <proof>

**lemma** *resumption-ord-coinduct* [consumes 1, case-names Done Abort Pause, case-conclusion Pause output resume, coinduct pred: resumption-ord]:

**assumes**  $X \ r \ r'$   
**and** *Done*:  $\bigwedge r \ r'. \llbracket X \ r \ r'; \text{ is-Done } r' \rrbracket \implies \text{is-Done } r \wedge \text{flat-ord None (result } r) \text{ (result } r')$   
**and** *Abort*:  $\bigwedge r \ r'. \llbracket X \ r \ r'; \neg \text{is-Done } r'; \text{ is-Done } r \rrbracket \implies \text{result } r = \text{None}$   
**and** *Pause*:  $\bigwedge r \ r'. \llbracket X \ r \ r'; \neg \text{is-Done } r; \neg \text{is-Done } r' \rrbracket \implies \text{output } r = \text{output } r' \wedge ((=) ===> (\lambda r \ r'. X \ r \ r' \vee \text{resumption-ord } r \ r'))$   
 (resume r) (resume r')  
**shows** *resumption-ord* r r'  
 <proof>

**end**

**lemma** *resumption-ord-ABORT* [intro!, simp]: *resumption-ord ABORT r*  
 <proof>

**lemma** *resumption-ord-ABORT2* [simp]: *resumption-ord r ABORT  $\longleftrightarrow$  r = ABORT*  
 <proof>

**lemma** *resumption-ord-DONE1* [simp]: *resumption-ord* (DONE *x*) *r*  $\longleftrightarrow$  *r* =  
 DONE *x*  
 ⟨proof⟩

**lemma** *resumption-ord-refl*: *resumption-ord* *r r*  
 ⟨proof⟩

**lemma** *resumption-ord-antisym*:  
 [ *resumption-ord* *r r'*; *resumption-ord* *r' r* ]  
 $\implies r = r'$   
 ⟨proof⟩

**lemma** *resumption-ord-trans*:  
 [ *resumption-ord* *r r'*; *resumption-ord* *r' r''* ]  
 $\implies \text{resumption-ord } r r''$   
 ⟨proof⟩

**primcorec** *resumption-lub* :: ('*a*, '*out*, '*in*) *resumption set*  $\Rightarrow$  ('*a*, '*out*, '*in*) *re-*  
*sumption*

**where**

$\forall r \in R. \text{is-Done } r \implies \text{is-Done } (\text{resumption-lub } R)$   
 | *result* (*resumption-lub* *R*) = *flat-lub* None (*result* ' *R*)  
 | *output* (*resumption-lub* *R*) = (THE *out*. *out*  $\in$  *output* ' (*R*  $\cap$  {*r*.  $\neg$  *is-Done* *r*}))  
 | *resume* (*resumption-lub* *R*) = ( $\lambda \text{inp. resumption-lub } ((\lambda c. c \text{ inp}) ' \text{resume } ' (R \cap \{r. \neg \text{is-Done } r\})))$ )

**lemma** *is-Done-resumption-lub* [simp]:  
*is-Done* (*resumption-lub* *R*)  $\longleftrightarrow$  ( $\forall r \in R. \text{is-Done } r$ )  
 ⟨proof⟩

**lemma** *result-resumption-lub* [simp]:  
 $\forall r \in R. \text{is-Done } r \implies \text{result } (\text{resumption-lub } R) = \text{flat-lub None } (\text{result } ' R)$   
 ⟨proof⟩

**lemma** *output-resumption-lub* [simp]:  
 $\exists r \in R. \neg \text{is-Done } r \implies \text{output } (\text{resumption-lub } R) = (\text{THE } \text{out. out} \in \text{output } ' (R \cap \{r. \neg \text{is-Done } r\}))$   
 ⟨proof⟩

**lemma** *resume-resumption-lub* [simp]:  
 $\exists r \in R. \neg \text{is-Done } r$   
 $\implies \text{resume } (\text{resumption-lub } R) \text{ inp} =$   
 $\text{resumption-lub } ((\lambda c. c \text{ inp}) ' \text{resume } ' (R \cap \{r. \neg \text{is-Done } r\}))$   
 ⟨proof⟩

**lemma** *resumption-lub-empty*: *resumption-lub* {} = ABORT  
 ⟨proof⟩

**context**

```

fixes R state inp R'
defines R'-def:  $R' \equiv (\lambda c. c \text{ inp}) \text{ ' resume ' } (R \cap \{r. \neg \text{is-Done } r\})$ 
assumes chain: Complete-Partial-Order.chain resumption-ord R
begin

lemma resumption-ord-chain-resume: Complete-Partial-Order.chain resumption-ord
R'
<proof>

end

lemma resumption-partial-function-definition:
partial-function-definitions resumption-ord resumption-lub
<proof>

interpretation resumption:
partial-function-definitions resumption-ord resumption-lub
rewrites resumption-lub  $\{ \} = (\text{ABORT} :: ('a, 'b, 'c) \text{ resumption})$ 
<proof>

<ML>

abbreviation mono-resumption  $\equiv \text{monotone } (\text{fun-ord } \text{resumption-ord}) \text{ resump-}$ 
tion-ord

lemma mono-resumption-resume:
assumes mono-resumption B
shows mono-resumption  $(\lambda f. \text{resume } (B f) \text{ inp})$ 
<proof>

lemma bind-resumption-mono [partial-function-mono]:
assumes mf: mono-resumption B
and mg:  $\bigwedge y. \text{mono-resumption } (C y)$ 
shows mono-resumption  $(\lambda f. \text{do } \{ y \leftarrow B f; C y f \})$ 
<proof>

lemma fixes f F
defines  $F \equiv \lambda \text{results } r. \text{case } r \text{ of } \text{resumption.Done } x \Rightarrow \text{set-option } x \mid \text{resump-}$ 
tion.Pause out } c \Rightarrow \bigcup \text{input. results } (c \text{ input})
shows results-conv-fixp:  $\text{results} \equiv \text{ccpo.fixp } (\text{fun-lub } \text{Union}) (\text{fun-ord } (\subseteq)) F$  (is
-  $\equiv ?\text{fixp}$ )
and results-mono:  $\bigwedge x. \text{monotone } (\text{fun-ord } (\subseteq)) (\subseteq) (\lambda f. F f x)$  (is PROP ?mono)
<proof>

lemma mcont-case-resumption:
fixes f g
defines  $h \equiv \lambda r. \text{if } \text{is-Done } r \text{ then } f (\text{result } r) \text{ else } g (\text{output } r) (\text{resume } r) r$ 
assumes mcont1: mcont (flat-lub None) option-ord lub ord f
and mcont2:  $\bigwedge \text{out. mcont } (\text{fun-lub } \text{resumption-lub}) (\text{fun-ord } \text{resumption-ord}) \text{ lub}$ 

```

**ord** ( $\lambda c. g \text{ out } c \text{ (Pause out } c)$ )  
**and** *ccpo*: *class.ccpo lub ord (mk-less ord)*  
**and** *bot*:  $\bigwedge x. \text{ord } (f \text{ None}) x$   
**shows** *mcont resumption-lub resumption-ord lub ord* ( $\lambda r. \text{case } r \text{ of Done } x \Rightarrow f x$   
 $| \text{Pause out } c \Rightarrow g \text{ out } c r$ )  
*(is mcont ?lub ?ord - - ?f)*  
 $\langle \text{proof} \rangle$

**lemma** *mcont2mcont-results*[*THEN mcont2mcont, cont-intro, simp*]:  
**shows** *mcont-results*: *mcont resumption-lub resumption-ord Union* ( $\subseteq$ ) *results*  
 $\langle \text{proof} \rangle$

**lemma** *mono2mono-results*[*THEN lfp.mono2mono, cont-intro, simp*]:  
**shows** *monotone-results*: *monotone resumption-ord* ( $\subseteq$ ) *results*  
 $\langle \text{proof} \rangle$

**lemma** *fixes f F*  
**defines**  $F \equiv \lambda \text{outputs } xs. \text{case } xs \text{ of resumption.Done } x \Rightarrow \{ \} | \text{resumption.Pause}$   
 $\text{out } c \Rightarrow \text{insert out } (\bigcup \text{input. outputs } (c \text{ input}))$   
**shows** *outputs-conv-fixp*:  $\text{outputs} \equiv \text{ccpo.fixp } (\text{fun-lub Union}) (\text{fun-ord } (\subseteq)) F$  **(is**  
 $- \equiv \text{?fixp})$   
**and** *outputs-mono*:  $\bigwedge x. \text{monotone } (\text{fun-ord } (\subseteq)) (\subseteq) (\lambda f. F f x)$  **(is PROP ?mono)**  
 $\langle \text{proof} \rangle$

**lemma** *mcont2mcont-outputs*[*THEN lfp.mcont2mcont, cont-intro, simp*]:  
**shows** *mcont-outputs*: *mcont resumption-lub resumption-ord Union* ( $\subseteq$ ) *outputs*  
 $\langle \text{proof} \rangle$

**lemma** *mono2mono-outputs*[*THEN lfp.mono2mono, cont-intro, simp*]:  
**shows** *monotone-outputs*: *monotone resumption-ord* ( $\subseteq$ ) *outputs*  
 $\langle \text{proof} \rangle$

**lemma** *pred-resumption-antimono*:  
**assumes** *r*: *pred-resumption A C r'*  
**and** *le*: *resumption-ord r r'*  
**shows** *pred-resumption A C r*  
 $\langle \text{proof} \rangle$

### 3.2 Setup for lifting and transfer

**declare** *resumption.rel-eq* [*id-simps, relator-eq*]  
**declare** *resumption.rel-mono* [*relator-mono*]

**lemma** *rel-resumption-OO* [*relator-distr*]:  
 $\text{rel-resumption } A B \text{ OO rel-resumption } C D = \text{rel-resumption } (A \text{ OO } C) (B \text{ OO } D)$   
 $\langle \text{proof} \rangle$

**lemma** *left-total-rel-resumption* [*transfer-rule*]:

[[ left-total R1; left-total R2 ]]  $\implies$  left-total (rel-resumption R1 R2)  
 <proof>

**lemma** left-unique-rel-resumption [transfer-rule]:  
 [[ left-unique R1; left-unique R2 ]]  $\implies$  left-unique (rel-resumption R1 R2)  
 <proof>

**lemma** right-total-rel-resumption [transfer-rule]:  
 [[ right-total R1; right-total R2 ]]  $\implies$  right-total (rel-resumption R1 R2)  
 <proof>

**lemma** right-unique-rel-resumption [transfer-rule]:  
 [[ right-unique R1; right-unique R2 ]]  $\implies$  right-unique (rel-resumption R1 R2)  
 <proof>

**lemma** bi-total-rel-resumption [transfer-rule]:  
 [[ bi-total A; bi-total B ]]  $\implies$  bi-total (rel-resumption A B)  
 <proof>

**lemma** bi-unique-rel-resumption [transfer-rule]:  
 [[ bi-unique A; bi-unique B ]]  $\implies$  bi-unique (rel-resumption A B)  
 <proof>

**lemma** Quotient-resumption [quot-map]:  
 [[ Quotient R1 Abs1 Rep1 T1; Quotient R2 Abs2 Rep2 T2 ]]  
 $\implies$  Quotient (rel-resumption R1 R2) (map-resumption Abs1 Abs2) (map-resumption  
 Rep1 Rep2) (rel-resumption T1 T2)  
 <proof>

end

## 4 Generative probabilistic values

**theory** Generat imports

Misc-CryptHOL

**begin**

### 4.1 Single-step generative

**datatype** (generat-pures: 'a, generat-outs: 'b, generat-contrs: 'c) generat  
 = Pure (result: 'a)  
 | IO (output: 'b) (continuation: 'c)

**datatype-compat** generat

**lemma** IO-code-cong: out = out'  $\implies$  IO out c = IO out' c <proof>  
 <ML>

**lemma** is-Pure-map-generat [simp]: is-Pure (map-generat f g h x) = is-Pure x

$\langle \text{proof} \rangle$

**lemma** *result-map-generat* [*simp*]:  $\text{is-Pure } x \implies \text{result } (\text{map-generat } f \ g \ h \ x) = f$   
 $(\text{result } x)$   
 $\langle \text{proof} \rangle$

**lemma** *output-map-generat* [*simp*]:  $\neg \text{is-Pure } x \implies \text{output } (\text{map-generat } f \ g \ h \ x)$   
 $= g \ (\text{output } x)$   
 $\langle \text{proof} \rangle$

**lemma** *continuation-map-generat* [*simp*]:  $\neg \text{is-Pure } x \implies \text{continuation } (\text{map-generat}$   
 $f \ g \ h \ x) = h \ (\text{continuation } x)$   
 $\langle \text{proof} \rangle$

**lemma** [*simp*]:

**shows** *map-generat-eq-Pure*:

$\text{map-generat } f \ g \ h \ \text{generat} = \text{Pure } x \iff (\exists x'. \text{generat} = \text{Pure } x' \wedge x = f \ x')$

**and** *Pure-eq-map-generat*:

$\text{Pure } x = \text{map-generat } f \ g \ h \ \text{generat} \iff (\exists x'. \text{generat} = \text{Pure } x' \wedge x = f \ x')$

$\langle \text{proof} \rangle$

**lemma** [*simp*]:

**shows** *map-generat-eq-IO*:

$\text{map-generat } f \ g \ h \ \text{generat} = \text{IO } \text{out } c \iff (\exists \text{out}' \ c'. \text{generat} = \text{IO } \text{out}' \ c' \wedge \text{out}$   
 $= g \ \text{out}' \wedge c = h \ c')$

**and** *IO-eq-map-generat*:

$\text{IO } \text{out } c = \text{map-generat } f \ g \ h \ \text{generat} \iff (\exists \text{out}' \ c'. \text{generat} = \text{IO } \text{out}' \ c' \wedge \text{out}$   
 $= g \ \text{out}' \wedge c = h \ c')$

$\langle \text{proof} \rangle$

**lemma** *is-PureE* [*cases pred*]:

**assumes** *is-Pure generat*

**obtains**  $(\text{Pure}) \ x$  **where**  $\text{generat} = \text{Pure } x$

$\langle \text{proof} \rangle$

**lemma** *not-is-PureE*:

**assumes**  $\neg \text{is-Pure } \text{generat}$

**obtains**  $(\text{IO}) \ \text{out } c$  **where**  $\text{generat} = \text{IO } \text{out } c$

$\langle \text{proof} \rangle$

**lemma** *rel-generatI*:

$\llbracket \text{is-Pure } x \iff \text{is-Pure } y;$

$\llbracket \text{is-Pure } x; \text{is-Pure } y \rrbracket \implies A \ (\text{result } x) \ (\text{result } y);$

$\llbracket \neg \text{is-Pure } x; \neg \text{is-Pure } y \rrbracket \implies \text{Out } (\text{output } x) \ (\text{output } y) \wedge R \ (\text{continuation}$   
 $x) \ (\text{continuation } y) \rrbracket$

$\implies \text{rel-generat } A \ \text{Out } R \ x \ y$

$\langle \text{proof} \rangle$

**lemma** *rel-generatD'*:

$rel\text{-}generat\ A\ Out\ R\ x\ y$   
 $\implies (is\text{-}Pure\ x \longleftrightarrow is\text{-}Pure\ y) \wedge$   
 $(is\text{-}Pure\ x \longrightarrow is\text{-}Pure\ y \longrightarrow A\ (result\ x)\ (result\ y)) \wedge$   
 $(\neg is\text{-}Pure\ x \longrightarrow \neg is\text{-}Pure\ y \longrightarrow Out\ (output\ x)\ (output\ y) \wedge R\ (continuation\ x)\ (continuation\ y))$   
 <proof>

**lemma** *rel-generatD*:

**assumes**  $rel\text{-}generat\ A\ Out\ R\ x\ y$   
**shows**  $rel\text{-}generat\text{-}is\text{-}PureD$ :  $is\text{-}Pure\ x \longleftrightarrow is\text{-}Pure\ y$   
**and**  $rel\text{-}generat\text{-}resultD$ :  $is\text{-}Pure\ x \vee is\text{-}Pure\ y \implies A\ (result\ x)\ (result\ y)$   
**and**  $rel\text{-}generat\text{-}outputD$ :  $\neg is\text{-}Pure\ x \vee \neg is\text{-}Pure\ y \implies Out\ (output\ x)\ (output\ y)$   
**and**  $rel\text{-}generat\text{-}continuationD$ :  $\neg is\text{-}Pure\ x \vee \neg is\text{-}Pure\ y \implies R\ (continuation\ x)\ (continuation\ y)$   
 <proof>

**lemma** *rel-generat-mono*:

$\llbracket rel\text{-}generat\ A\ B\ C\ x\ y; \bigwedge x\ y. A\ x\ y \implies A'\ x\ y; \bigwedge x\ y. B\ x\ y \implies B'\ x\ y; \bigwedge x\ y. C\ x\ y \implies C'\ x\ y \rrbracket$   
 $\implies rel\text{-}generat\ A'\ B'\ C'\ x\ y$   
 <proof>

**lemma** *rel-generat-mono'* [*mono*]:

$\llbracket \bigwedge x\ y. A\ x\ y \longrightarrow A'\ x\ y; \bigwedge x\ y. B\ x\ y \longrightarrow B'\ x\ y; \bigwedge x\ y. C\ x\ y \longrightarrow C'\ x\ y \rrbracket$   
 $\implies rel\text{-}generat\ A\ B\ C\ x\ y \longrightarrow rel\text{-}generat\ A'\ B'\ C'\ x\ y$   
 <proof>

**lemma** *rel-generat-same*:

$rel\text{-}generat\ A\ B\ C\ r\ r \longleftrightarrow$   
 $(\forall x \in generat\text{-}pures\ r. A\ x\ x) \wedge$   
 $(\forall out \in generat\text{-}outs\ r. B\ out\ out) \wedge$   
 $(\forall c \in generat\text{-}conts\ r. C\ c\ c)$   
 <proof>

**lemma** *rel-generat-reflI*:

$\llbracket \bigwedge y. y \in generat\text{-}pures\ x \implies A\ y\ y;$   
 $\bigwedge out. out \in generat\text{-}outs\ x \implies B\ out\ out;$   
 $\bigwedge cont. cont \in generat\text{-}conts\ x \implies C\ cont\ cont \rrbracket$   
 $\implies rel\text{-}generat\ A\ B\ C\ x\ x$   
 <proof>

**lemma** *reflp-rel-generat* [*simp*]:  $reflp\ (rel\text{-}generat\ A\ B\ C) \longleftrightarrow reflp\ A \wedge reflp\ B \wedge reflp\ C$   
 <proof>

**lemma** *transp-rel-generatI*:

**assumes**  $transp\ A\ transp\ B\ transp\ C$   
**shows**  $transp\ (rel\text{-}generat\ A\ B\ C)$

*<proof>*

**lemma** *rel-generat-inf*:

$\text{inf } (\text{rel-generat } A \ B \ C) \ (\text{rel-generat } A' \ B' \ C') = \text{rel-generat } (\text{inf } A \ A') \ (\text{inf } B \ B') \ (\text{inf } C \ C')$

(**is** ?lhs = ?rhs)

*<proof>*

**lemma** *rel-generat-Pure1*:  $\text{rel-generat } A \ B \ C \ (\text{Pure } x) = (\lambda r. \exists y. r = \text{Pure } y \wedge A \ x \ y)$

*<proof>*

**lemma** *rel-generat-IO1*:  $\text{rel-generat } A \ B \ C \ (\text{IO } \text{out } c) = (\lambda r. \exists \text{out}' \ c'. r = \text{IO } \text{out}' \ c' \wedge B \ \text{out } \text{out}' \wedge C \ c \ c')$

*<proof>*

**lemma** *not-is-Pure-conv*:  $\neg \text{is-Pure } r \iff (\exists \text{out } c. r = \text{IO } \text{out } c)$

*<proof>*

**lemma** *finite-generat-outs [simp]*:  $\text{finite } (\text{generat-outs } \text{generat})$

*<proof>*

**lemma** *countable-generat-outs [simp]*:  $\text{countable } (\text{generat-outs } \text{generat})$

*<proof>*

**lemma** *case-map-generat*:

$\text{case-generat } \text{pure } \text{io } (\text{map-generat } a \ b \ d \ r) =$

$\text{case-generat } (\text{pure } \circ a) \ (\lambda \text{out}. \text{io } (b \ \text{out}) \circ d) \ r$

*<proof>*

**lemma** *continuation-in-generat-contr*:

$\neg \text{is-Pure } r \implies \text{continuation } r \in \text{generat-contrs}$

*<proof>*

**fun** *dest-IO* ::  $('a, 'out, 'c) \text{ generat} \Rightarrow ('out \times 'c) \text{ option}$

**where**

$\text{dest-IO } (\text{Pure } -) = \text{None}$

|  $\text{dest-IO } (\text{IO } \text{out } c) = \text{Some } (\text{out}, c)$

**lemma** *dest-IO-eq-Some-iff [simp]*:  $\text{dest-IO } \text{generat} = \text{Some } (\text{out}, c) \iff \text{generat} = \text{IO } \text{out } c$

*<proof>*

**lemma** *dest-IO-eq-None-iff [simp]*:  $\text{dest-IO } \text{generat} = \text{None} \iff \text{is-Pure } \text{generat}$

*<proof>*

**lemma** *dest-IO-comp-Pure [simp]*:  $\text{dest-IO} \circ \text{Pure} = (\lambda -. \text{None})$

*<proof>*



**lemma** *dom-dest-IO*:  $\text{dom dest-IO} = \{x. \neg \text{is-Pure } x\}$

*<proof>*

**definition** *generat-lub* :: ('a set  $\Rightarrow$  'b)  $\Rightarrow$  ('out set  $\Rightarrow$  'out')  $\Rightarrow$  ('cont set  $\Rightarrow$  'cont')

$\Rightarrow$  ('a, 'out, 'cont) generat set  $\Rightarrow$  ('b, 'out', 'cont') generat

**where**

*generat-lub* lub1 lub2 lub3 A =  
 (if  $\exists x \in A. \text{is-Pure } x$  then *Pure* (lub1 (result ' (A  $\cap$  {f. *is-Pure* f})))  
 else *IO* (lub2 (output ' (A  $\cap$  {f.  $\neg \text{is-Pure } f$ }))) (lub3 (continuation ' (A  $\cap$  {f.  
 $\neg \text{is-Pure } f$ }))))))

**lemma** *is-Pure-generat-lub* [*simp*]:

$\text{is-Pure} (\text{generat-lub } \text{lub1 } \text{lub2 } \text{lub3 } A) \longleftrightarrow (\exists x \in A. \text{is-Pure } x)$

*<proof>*

**lemma** *result-generat-lub* [*simp*]:

$\exists x \in A. \text{is-Pure } x \implies \text{result} (\text{generat-lub } \text{lub1 } \text{lub2 } \text{lub3 } A) = \text{lub1} (\text{result ' (A } \cap$   
 $\{f. \text{is-Pure } f\}))$

*<proof>*

**lemma** *output-generat-lub*:

$\forall x \in A. \neg \text{is-Pure } x \implies \text{output} (\text{generat-lub } \text{lub1 } \text{lub2 } \text{lub3 } A) = \text{lub2} (\text{output ' (A } \cap$   
 $\{f. \neg \text{is-Pure } f\}))$

*<proof>*

**lemma** *continuation-generat-lub*:

$\forall x \in A. \neg \text{is-Pure } x \implies \text{continuation} (\text{generat-lub } \text{lub1 } \text{lub2 } \text{lub3 } A) = \text{lub3}$   
 $(\text{continuation ' (A } \cap \{f. \neg \text{is-Pure } f\}))$

*<proof>*

**lemma** *generat-lub-map* [*simp*]:

*generat-lub* lub1 lub2 lub3 (map-generat f g h ' A) = *generat-lub* (lub1  $\circ$  (' f)  
 (lub2  $\circ$  (' g) (lub3  $\circ$  (' h) A

*<proof>*

**lemma** *map-generat-lub* [*simp*]:

map-generat f g h (generat-lub lub1 lub2 lub3 A) = *generat-lub* (f  $\circ$  lub1) (g  $\circ$   
 lub2) (h  $\circ$  lub3) A

*<proof>*

**abbreviation** *generat-lub'* :: ('cont set  $\Rightarrow$  'cont')  $\Rightarrow$  ('a, 'out, 'cont) generat set  
 $\Rightarrow$  ('a, 'out, 'cont') generat

**where** *generat-lub'*  $\equiv$  *generat-lub* ( $\lambda A. \text{THE } x. x \in A$ ) ( $\lambda A. \text{THE } x. x \in A$ )

**fun** *rel-witness-generat* :: ('a, 'c, 'e) generat  $\times$  ('b, 'd, 'f) generat  $\Rightarrow$  ('a  $\times$  'b, 'c

$\times 'd, 'e \times 'f)$  **generat where**  
 $rel-witness-generat (Pure\ x, Pure\ y) = Pure\ (x, y)$   
 $| rel-witness-generat (IO\ out\ c, IO\ out'\ c') = IO\ (out, out')\ (c, c')$

**lemma** *rel-witness-generat*:

**assumes** *rel-generat A C R x y*  
**shows** *pures-rel-witness-generat: generat-pures (rel-witness-generat (x, y))  $\subseteq$  {(a, b). A a b}*  
**and** *outs-rel-witness-generat: generat-outs (rel-witness-generat (x, y))  $\subseteq$  {(c, d). C c d}*  
**and** *conts-rel-witness-generat: generat-conts (rel-witness-generat (x, y))  $\subseteq$  {(e, f). R e f}*  
**and** *map1-rel-witness-generat: map-generat fst fst fst (rel-witness-generat (x, y)) = x*  
**and** *map2-rel-witness-generat: map-generat snd snd snd (rel-witness-generat (x, y)) = y*  
*<proof>*

**lemmas** *set-rel-witness-generat = pures-rel-witness-generat outs-rel-witness-generat conts-rel-witness-generat*

**lemma** *rel-witness-generat1*:

**assumes** *rel-generat A C R x y*  
**shows** *rel-generat ( $\lambda a\ (a', b). a = a' \wedge A\ a'\ b$ ) ( $\lambda c\ (c', d). c = c' \wedge C\ c'\ d$ ) ( $\lambda r\ (r', s). r = r' \wedge R\ r'\ s$ ) x (rel-witness-generat (x, y))*  
*<proof>*

**lemma** *rel-witness-generat2*:

**assumes** *rel-generat A C R x y*  
**shows** *rel-generat ( $\lambda(a, b')\ b. b = b' \wedge A\ a\ b'$ ) ( $\lambda(c, d')\ d. d = d' \wedge C\ c\ d'$ ) ( $\lambda(r, s')\ s. s = s' \wedge R\ r\ s'$ ) (rel-witness-generat (x, y)) y*  
*<proof>*

**end**

**theory** *Generative-Probabilistic-Value imports*

*Resumption*

*Generat*

*HOL-Types-To-Sets.Types-To-Sets*

**begin**

**hide-const** (**open**) *Done*

## 4.2 Type definition

**context notes** *[[bnf-internals]] begin*

**codatatype** (*results'-gpv: 'a, outs'-gpv: 'out, 'in*) *gpv*

= *GPV* (*the-gpv*: ('a, 'out, 'in  $\Rightarrow$  ('a, 'out, 'in) *gpv*) *generat spmf*)

**end**

**declare** *gpv.rel-eq* [*relator-eq*]

Reactive values are like generative, except that they take an input first.

**type-synonym** ('a, 'out, 'in) *rpv* = 'in  $\Rightarrow$  ('a, 'out, 'in) *gpv*  
 <ML>

**typ** ('a, 'out, 'in) *rpv*

Effectively, ('a, 'out, 'in) *gpv* and ('a, 'out, 'in) *rpv* are mutually recursive.

**lemma** *eq-GPV-iff*:  $f = \text{GPV } g \iff \text{the-gpv } f = g$   
 <proof>

**declare** *gpv.set*[*simp del*]

**declare** *gpv.set-map*[*simp*]

**lemma** *rel-gpv-def'*:

$\text{rel-gpv } A B \text{ gpv } \text{gpv}' \iff$   
 $(\exists \text{gpv}''. (\forall (x, y) \in \text{results}'\text{-gpv } \text{gpv}''. A x y) \wedge (\forall (x, y) \in \text{outs}'\text{-gpv } \text{gpv}''. B x y))$   
 $\wedge$   
 $\text{map-gpv } \text{fst } \text{fst } \text{gpv}'' = \text{gpv} \wedge \text{map-gpv } \text{snd } \text{snd } \text{gpv}'' = \text{gpv}'$   
 <proof>

**definition** *results'-rpv* :: ('a, 'out, 'in) *rpv*  $\Rightarrow$  'a *set*  
**where** *results'-rpv* *rpv* = *range* *rpv*  $\gg=$  *results'-gpv*

**definition** *outs'-rpv* :: ('a, 'out, 'in) *rpv*  $\Rightarrow$  'out *set*  
**where** *outs'-rpv* *rpv* = *range* *rpv*  $\gg=$  *outs'-gpv*

**abbreviation** *rel-rpv*

:: ('a  $\Rightarrow$  'b  $\Rightarrow$  bool)  $\Rightarrow$  ('out  $\Rightarrow$  'out'  $\Rightarrow$  bool)  
 $\Rightarrow$  ('in  $\Rightarrow$  ('a, 'out, 'in) *gpv*)  $\Rightarrow$  ('in  $\Rightarrow$  ('b, 'out', 'in) *gpv*)  $\Rightarrow$  bool  
**where** *rel-rpv* *A B*  $\equiv$  *rel-fun* (=) (*rel-gpv* *A B*)

**lemma** *in-results'-rpv* [*iff*]:  $x \in \text{results}'\text{-rpv } \text{rpv} \iff (\exists \text{input}. x \in \text{results}'\text{-gpv } (\text{rpv } \text{input}))$   
 <proof>

**lemma** *in-outs'-rpv* [*iff*]:  $\text{out} \in \text{outs}'\text{-rpv } \text{rpv} \iff (\exists \text{input}. \text{out} \in \text{outs}'\text{-gpv } (\text{rpv } \text{input}))$   
 <proof>

**lemma** *results'-GPV* [*simp*]:

$\text{results}'\text{-gpv } (\text{GPV } r) =$   
 $(\text{set-spmf } r \gg= \text{generat-pures}) \cup$   
 $((\text{set-spmf } r \gg= \text{generat-contrs}) \gg= \text{results}'\text{-rpv})$

$\langle \text{proof} \rangle$

**lemma** *outs'-GPV* [*simp*]:

$\text{outs}'\text{-gpv } (GPV\ r) =$   
 $(\text{set-spmf } r \gg \text{generat-outs}) \cup$   
 $((\text{set-spmf } r \gg \text{generat-contrs}) \gg \text{outs}'\text{-rpv})$

$\langle \text{proof} \rangle$

**lemma** *outs'-gpv-unfold*:

$\text{outs}'\text{-gpv } r =$   
 $(\text{set-spmf } (\text{the-gpv } r) \gg \text{generat-outs}) \cup$   
 $((\text{set-spmf } (\text{the-gpv } r) \gg \text{generat-contrs}) \gg \text{outs}'\text{-rpv})$

$\langle \text{proof} \rangle$

**lemma** *outs'-gpv-induct* [*consumes 1, case-names Out Cont, induct set: outs'-gpv*]:

**assumes**  $x: x \in \text{outs}'\text{-gpv } \text{gpv}$

**and** *Out*:  $\bigwedge \text{generat } \text{gpv}. \llbracket \text{generat} \in \text{set-spmf } (\text{the-gpv } \text{gpv}); x \in \text{generat-outs } \text{generat} \rrbracket \implies P\ \text{gpv}$

**and** *Cont*:  $\bigwedge \text{generat } \text{gpv } c\ \text{input}.$

$\llbracket \text{generat} \in \text{set-spmf } (\text{the-gpv } \text{gpv}); c \in \text{generat-contrs } \text{generat}; x \in \text{outs}'\text{-gpv } (c\ \text{input}); P\ (c\ \text{input}) \rrbracket \implies P\ \text{gpv}$

**shows**  $P\ \text{gpv}$

$\langle \text{proof} \rangle$

**lemma** *outs'-gpv-cases* [*consumes 1, case-names Out Cont, cases set: outs'-gpv*]:

**assumes**  $x \in \text{outs}'\text{-gpv } \text{gpv}$

**obtains** (*Out*)  $\text{generat}$  **where**  $\text{generat} \in \text{set-spmf } (\text{the-gpv } \text{gpv})\ x \in \text{generat-outs } \text{generat}$

| (*Cont*)  $\text{generat } c\ \text{input}$  **where**  $\text{generat} \in \text{set-spmf } (\text{the-gpv } \text{gpv})\ c \in \text{generat-contrs } \text{generat}\ x \in \text{outs}'\text{-gpv } (c\ \text{input})$

$\langle \text{proof} \rangle$

**lemma** *outs'-gpvI* [*intro?*]:

**shows** *outs'-gpv-Out*:  $\llbracket \text{generat} \in \text{set-spmf } (\text{the-gpv } \text{gpv}); x \in \text{generat-outs } \text{generat} \rrbracket \implies x \in \text{outs}'\text{-gpv } \text{gpv}$

**and** *outs'-gpv-Cont*:  $\llbracket \text{generat} \in \text{set-spmf } (\text{the-gpv } \text{gpv}); c \in \text{generat-contrs } \text{generat}; x \in \text{outs}'\text{-gpv } (c\ \text{input}) \rrbracket \implies x \in \text{outs}'\text{-gpv } \text{gpv}$

$\langle \text{proof} \rangle$

**lemma** *results'-gpv-induct* [*consumes 1, case-names Pure Cont, induct set: results'-gpv*]:

**assumes**  $x: x \in \text{results}'\text{-gpv } \text{gpv}$

**and** *Pure*:  $\bigwedge \text{generat } \text{gpv}. \llbracket \text{generat} \in \text{set-spmf } (\text{the-gpv } \text{gpv}); x \in \text{generat-pures } \text{generat} \rrbracket \implies P\ \text{gpv}$

**and** *Cont*:  $\bigwedge \text{generat } \text{gpv } c\ \text{input}.$

$\llbracket \text{generat} \in \text{set-spmf } (\text{the-gpv } \text{gpv}); c \in \text{generat-contrs } \text{generat}; x \in \text{results}'\text{-gpv } (c\ \text{input}); P\ (c\ \text{input}) \rrbracket \implies P\ \text{gpv}$

**shows**  $P\ \text{gpv}$

$\langle \text{proof} \rangle$

**lemma** *results'-gpv-cases* [consumes 1, case-names Pure Cont, cases set: results'-gpv]:

**assumes**  $x \in \text{results}'\text{-gpv } \text{gpv}$

**obtains** (Pure) *generat* **where**  $\text{generat} \in \text{set-spmf } (\text{the-gpv } \text{gpv})$   $x \in \text{generat-pures } \text{generat}$

| (Cont) *generat c input* **where**  $\text{generat} \in \text{set-spmf } (\text{the-gpv } \text{gpv})$   $c \in \text{generat-contrs } \text{generat}$   $x \in \text{results}'\text{-gpv } (c \text{ input})$

*<proof>*

**lemma** *results'-gpvI* [intro?]:

**shows** *results'-gpv-Pure*:  $\llbracket \text{generat} \in \text{set-spmf } (\text{the-gpv } \text{gpv}); x \in \text{generat-pures } \text{generat} \rrbracket \implies x \in \text{results}'\text{-gpv } \text{gpv}$

**and** *results'-gpv-Cont*:  $\llbracket \text{generat} \in \text{set-spmf } (\text{the-gpv } \text{gpv}); c \in \text{generat-contrs } \text{generat}; x \in \text{results}'\text{-gpv } (c \text{ input}) \rrbracket \implies x \in \text{results}'\text{-gpv } \text{gpv}$

*<proof>*

**lemma** *left-unique-rel-gpv* [transfer-rule]:

$\llbracket \text{left-unique } A; \text{left-unique } B \rrbracket \implies \text{left-unique } (\text{rel-gpv } A B)$

*<proof>*

**lemma** *right-unique-rel-gpv* [transfer-rule]:

$\llbracket \text{right-unique } A; \text{right-unique } B \rrbracket \implies \text{right-unique } (\text{rel-gpv } A B)$

*<proof>*

**lemma** *bi-unique-rel-gpv* [transfer-rule]:

$\llbracket \text{bi-unique } A; \text{bi-unique } B \rrbracket \implies \text{bi-unique } (\text{rel-gpv } A B)$

*<proof>*

**lemma** *left-total-rel-gpv* [transfer-rule]:

$\llbracket \text{left-total } A; \text{left-total } B \rrbracket \implies \text{left-total } (\text{rel-gpv } A B)$

*<proof>*

**lemma** *right-total-rel-gpv* [transfer-rule]:

$\llbracket \text{right-total } A; \text{right-total } B \rrbracket \implies \text{right-total } (\text{rel-gpv } A B)$

*<proof>*

**lemma** *bi-total-rel-gpv* [transfer-rule]:  $\llbracket \text{bi-total } A; \text{bi-total } B \rrbracket \implies \text{bi-total } (\text{rel-gpv } A B)$

*<proof>*

**declare** *gpv.map-transfer*[transfer-rule]

**lemma** *if-distrib-map-gpv* [if-distrib]:

$\text{map-gpv } f g (\text{if } b \text{ then } \text{gpv } \text{else } \text{gpv}') = (\text{if } b \text{ then } \text{map-gpv } f g \text{gpv } \text{else } \text{map-gpv } f g \text{gpv}')$

*<proof>*

**lemma** *gpv-pred-mono-strong*:

$\llbracket \text{pred-gpv } P Q x; \bigwedge a. \llbracket a \in \text{results}'\text{-gpv } x; P a \rrbracket \implies P' a; \bigwedge b. \llbracket b \in \text{outs}'\text{-gpv } x \rrbracket \implies Q b \rrbracket$

$x; Q\ b \ ] \Longrightarrow Q'\ b \ ] \Longrightarrow \text{pred-gpv } P'\ Q'\ x$   
 $\langle \text{proof} \rangle$

**lemma** *pred-gpv-top* [*simp*]:  
 $\text{pred-gpv } (\lambda\cdot. \text{True}) (\lambda\cdot. \text{True}) = (\lambda\cdot. \text{True})$   
 $\langle \text{proof} \rangle$

**lemma** *pred-gpv-conj* [*simp*]:  
**shows** *pred-gpv-conj1*:  $\bigwedge P\ Q\ R. \text{pred-gpv } (\lambda x. P\ x \wedge Q\ x)\ R = (\lambda x. \text{pred-gpv } P\ R\ x \wedge \text{pred-gpv } Q\ R\ x)$   
**and** *pred-gpv-conj2*:  $\bigwedge P\ Q\ R. \text{pred-gpv } P\ (\lambda x. Q\ x \wedge R\ x) = (\lambda x. \text{pred-gpv } P\ Q\ x \wedge \text{pred-gpv } P\ R\ x)$   
 $\langle \text{proof} \rangle$

**lemma** *rel-gpv-restrict-relp1I* [*intro?*]:  
 $\llbracket \text{rel-gpv } R\ R'\ x\ y; \text{pred-gpv } P\ P'\ x; \text{pred-gpv } Q\ Q'\ y \rrbracket \Longrightarrow \text{rel-gpv } (R\ \upharpoonright\ P\ \otimes\ Q)$   
 $(R'\ \upharpoonright\ P' \otimes Q')\ x\ y$   
 $\langle \text{proof} \rangle$

**lemma** *rel-gpv-restrict-relpE* [*elim?*]:  
**assumes**  $\text{rel-gpv } (R\ \upharpoonright\ P\ \otimes\ Q)\ (R'\ \upharpoonright\ P' \otimes Q')\ x\ y$   
**obtains**  $\text{rel-gpv } R\ R'\ x\ y\ \text{pred-gpv } P\ P'\ x\ \text{pred-gpv } Q\ Q'\ y$   
 $\langle \text{proof} \rangle$

**lemma** *gpv-pred-map* [*simp*]:  $\text{pred-gpv } P\ Q\ (\text{map-gpv } f\ g\ \text{gpv}) = \text{pred-gpv } (P\ \circ\ f)$   
 $(Q\ \circ\ g)\ \text{gpv}$   
 $\langle \text{proof} \rangle$

### 4.3 Generalised mapper and relator

**context includes** *lifting-syntax* **begin**

**primcorec** *map-gpv'* ::  $('a \Rightarrow 'b) \Rightarrow ('out \Rightarrow 'out') \Rightarrow ('ret' \Rightarrow 'ret) \Rightarrow ('a, 'out,$   
 $'ret)\ \text{gpv} \Rightarrow ('b, 'out', 'ret)\ \text{gpv}$

**where**

$\text{map-gpv}'\ f\ g\ h\ \text{gpv} =$   
 $\text{GPV } (\text{map-spmf } (\text{map-generat } f\ g\ ((\circ)\ (\text{map-gpv}'\ f\ g\ h)))\ (\text{map-spmf } (\text{map-generat}$   
 $\text{id } \text{id } (\text{map-fun } h\ \text{id}))\ (\text{the-gpv } \text{gpv})))$

**declare** *map-gpv'.sel* [*simp del*]

**lemma** *map-gpv'.sel* [*simp*]:  
 $\text{the-gpv } (\text{map-gpv}'\ f\ g\ h\ \text{gpv}) = \text{map-spmf } (\text{map-generat } f\ g\ (h\ \text{----> } \text{map-gpv}'$   
 $f\ g\ h))\ (\text{the-gpv } \text{gpv})$   
 $\langle \text{proof} \rangle$

**lemma** *map-gpv'-GPV* [*simp*]:  
 $\text{map-gpv}'\ f\ g\ h\ (\text{GPV } p) = \text{GPV } (\text{map-spmf } (\text{map-generat } f\ g\ (h\ \text{----> } \text{map-gpv}'$   
 $f\ g\ h))\ p)$

$\langle \text{proof} \rangle$

**lemma** *map-gpv'-id*:  $\text{map-gpv}' \text{ id id id} = \text{id}$   
 $\langle \text{proof} \rangle$

**lemma** *map-gpv'-comp*:  $\text{map-gpv}' f g h (\text{map-gpv}' f' g' h' \text{ gpv}) = \text{map-gpv}' (f \circ f') (g \circ g') (h' \circ h) \text{ gpv}$   
 $\langle \text{proof} \rangle$

**functor** *gpv*:  $\text{map-gpv}' \langle \text{proof} \rangle$

**lemma** *map-gpv-conv-map-gpv'*:  $\text{map-gpv} f g = \text{map-gpv}' f g \text{ id}$   
 $\langle \text{proof} \rangle$

**coinductive** *rel-gpv''* ::  $('a \Rightarrow 'b \Rightarrow \text{bool}) \Rightarrow ('out \Rightarrow 'out' \Rightarrow \text{bool}) \Rightarrow ('ret \Rightarrow 'ret' \Rightarrow \text{bool}) \Rightarrow ('a, 'out, 'ret) \text{ gpv} \Rightarrow ('b, 'out', 'ret') \text{ gpv} \Rightarrow \text{bool}$

**for**  $A C R$

**where**

$\text{rel-spmf} (\text{rel-generat } A C (R \implies \text{rel-gpv}'' A C R)) (\text{the-gpv } \text{gpv}) (\text{the-gpv } \text{gpv}') \implies \text{rel-gpv}'' A C R \text{ gpv } \text{gpv}'$

**lemma** *rel-gpv''-coinduct* [*consumes 1*, *case-names rel-gpv''*, *coinduct pred: rel-gpv''*]:

$\llbracket X \text{ gpv } \text{gpv}' ;$

$\bigwedge \text{gpv } \text{gpv}'. X \text{ gpv } \text{gpv}'$

$\implies \text{rel-spmf} (\text{rel-generat } A C (R \implies (\lambda \text{gpv } \text{gpv}'. X \text{ gpv } \text{gpv}' \vee \text{rel-gpv}'' A C R \text{ gpv } \text{gpv}'))$

$(\text{the-gpv } \text{gpv}) (\text{the-gpv } \text{gpv}') \rrbracket$

$\implies \text{rel-gpv}'' A C R \text{ gpv } \text{gpv}'$

$\langle \text{proof} \rangle$

**lemma** *rel-gpv''D*:

$\text{rel-gpv}'' A C R \text{ gpv } \text{gpv}'$

$\implies \text{rel-spmf} (\text{rel-generat } A C (R \implies \text{rel-gpv}'' A C R)) (\text{the-gpv } \text{gpv}) (\text{the-gpv } \text{gpv}') \langle \text{proof} \rangle$

**lemma** *rel-gpv''-GPV* [*simp*]:

$\text{rel-gpv}'' A C R (\text{GPV } p) (\text{GPV } q) \longleftrightarrow$

$\text{rel-spmf} (\text{rel-generat } A C (R \implies \text{rel-gpv}'' A C R)) p q \langle \text{proof} \rangle$

**lemma** *rel-gpv-conv-rel-gpv''*:  $\text{rel-gpv} A C = \text{rel-gpv}'' A C (=)$

$\langle \text{proof} \rangle$

**lemma** *rel-gpv''-eq* :

$\text{rel-gpv}'' (=) (=) (=) = (=)$

$\langle \text{proof} \rangle$

**lemma** *rel-gpv''-mono*:

**assumes**  $A \leq A' \ C \leq C' \ R' \leq R$

**shows**  $\text{rel-gpv}'' \ A \ C \ R \leq \text{rel-gpv}'' \ A' \ C' \ R'$

*<proof>*

**lemma** *rel-gpv''-conversep*:  $\text{rel-gpv}'' \ A^{-1-1} \ C^{-1-1} \ R^{-1-1} = (\text{rel-gpv}'' \ A \ C \ R)^{-1-1}$

*<proof>*

**lemma** *rel-gpv''-pos-distr*:

$\text{rel-gpv}'' \ A \ C \ R \ OO \ \text{rel-gpv}'' \ A' \ C' \ R' \leq \text{rel-gpv}'' \ (A \ OO \ A') \ (C \ OO \ C') \ (R \ OO \ R')$

*<proof>*

**lemma** *left-unique-rel-gpv''*:

$\llbracket \text{left-unique } A; \text{ left-unique } C; \text{ left-total } R \rrbracket \implies \text{left-unique } (\text{rel-gpv}'' \ A \ C \ R)$

*<proof>*

**lemma** *right-unique-rel-gpv''*:

$\llbracket \text{right-unique } A; \text{ right-unique } C; \text{ right-total } R \rrbracket \implies \text{right-unique } (\text{rel-gpv}'' \ A \ C \ R)$

*<proof>*

**lemma** *bi-unique-rel-gpv''* [*transfer-rule*]:

$\llbracket \text{bi-unique } A; \text{ bi-unique } C; \text{ bi-total } R \rrbracket \implies \text{bi-unique } (\text{rel-gpv}'' \ A \ C \ R)$

*<proof>*

**lemma** *rel-gpv''-map-gpv1*:

$\text{rel-gpv}'' \ A \ C \ R \ (\text{map-gpv} \ f \ g \ \text{gpv}) \ \text{gpv}' = \text{rel-gpv}'' \ (\lambda a. \ A \ (f \ a)) \ (\lambda c. \ C \ (g \ c)) \ R$   
 $\text{gpv} \ \text{gpv}' \ (\text{is } ?\text{lhs} = ?\text{rhs})$

*<proof>*

**lemma** *rel-gpv''-map-gpv2*:

$\text{rel-gpv}'' \ A \ C \ R \ \text{gpv} \ (\text{map-gpv} \ f \ g \ \text{gpv}') = \text{rel-gpv}'' \ (\lambda a \ b. \ A \ a \ (f \ b)) \ (\lambda c \ d. \ C \ c \ (g \ d)) \ R \ \text{gpv} \ \text{gpv}'$

*<proof>*

**lemmas** *rel-gpv''-map-gpv = rel-gpv''-map-gpv1* [*abs-def*] *rel-gpv''-map-gpv2*

**lemma** *rel-gpv''-map-gpv'* [*simp*]:

**shows**  $\bigwedge f \ g \ h \ \text{gpv}. \ \text{NO-MATCH} \ id \ f \ \vee \ \text{NO-MATCH} \ id \ g$

$\implies \text{rel-gpv}'' \ A \ C \ R \ (\text{map-gpv}' \ f \ g \ h \ \text{gpv}) = \text{rel-gpv}'' \ (\lambda a. \ A \ (f \ a)) \ (\lambda c. \ C \ (g \ c)) \ R \ (\text{map-gpv}' \ id \ id \ h \ \text{gpv})$

**and**  $\bigwedge f \ g \ h \ \text{gpv} \ \text{gpv}'. \ \text{NO-MATCH} \ id \ f \ \vee \ \text{NO-MATCH} \ id \ g$

$\implies \text{rel-gpv}'' \ A \ C \ R \ \text{gpv} \ (\text{map-gpv}' \ f \ g \ h \ \text{gpv}') = \text{rel-gpv}'' \ (\lambda a \ b. \ A \ a \ (f \ b)) \ (\lambda c \ d. \ C \ c \ (g \ d)) \ R \ \text{gpv} \ (\text{map-gpv}' \ id \ id \ h \ \text{gpv}')$

*<proof>*

**lemmas** *rel-gpv-map-gpv' = rel-gpv''-map-gpv'* [**where**  $R=(=)$ , *folded rel-gpv-conv-rel-gpv'*]



**definition** *rel-witness-gpv* :: ('a ⇒ 'd ⇒ bool) ⇒ ('b ⇒ 'e ⇒ bool) ⇒ ('c ⇒ 'g ⇒ bool) ⇒ ('g ⇒ 'f ⇒ bool) ⇒ ('a, 'b, 'c) gpv × ('d, 'e, 'f) gpv ⇒ ('a × 'd, 'b × 'e, 'g) gpv **where**  
*rel-witness-gpv* A C R R' = corec-gpv (  
 map-spmf (map-generat id id (λ(rpv, rpv'). (Inr ∘ rel-witness-fun R R' (rpv, rpv'))) ∘ rel-witness-generat) ∘  
 rel-witness-spmf (rel-generat A C (rel-fun (R OO R') (rel-gpv'' A C (R OO R')))) ∘ map-prod the-gpv the-gpv)

**lemma** *rel-witness-gpv-sel* [simp]:  
 the-gpv (rel-witness-gpv A C R R' (gpv, gpv')) =  
 map-spmf (map-generat id id (λ(rpv, rpv'). (rel-witness-gpv A C R R' ∘  
 rel-witness-fun R R' (rpv, rpv'))) ∘ rel-witness-generat)  
 (rel-witness-spmf (rel-generat A C (rel-fun (R OO R') (rel-gpv'' A C (R OO R')))) (the-gpv gpv, the-gpv gpv'))  
 ⟨proof⟩

**lemma assumes** *rel-gpv''* A C (R OO R') gpv gpv'  
**and** R: *left-unique* R *right-total* R  
**and** R': *right-unique* R' *left-total* R'  
**shows** *rel-witness-gpv1*: *rel-gpv''* (λa (a', b). a = a' ∧ A a' b) (λc (c', d). c = c' ∧ C c' d) R gpv (rel-witness-gpv A C R R' (gpv, gpv')) (**is** ?thesis1)  
**and** *rel-witness-gpv2*: *rel-gpv''* (λ(a, b') b. b = b' ∧ A a b') (λ(c, d') d. d = d' ∧ C c d') R' (rel-witness-gpv A C R R' (gpv, gpv')) gpv' (**is** ?thesis2)  
 ⟨proof⟩

**lemma** *rel-gpv''-neg-distr*:  
**assumes** R: *left-unique* R *right-total* R  
**and** R': *right-unique* R' *left-total* R'  
**shows** *rel-gpv''* (A OO A') (C OO C') (R OO R') ≤ *rel-gpv''* A C R OO *rel-gpv''* A' C' R'  
 ⟨proof⟩

**lemma** *rel-gpv''-mono'* [mono]:  
**assumes** ∧x y. A x y → A' x y  
**and** ∧x y. C x y → C' x y  
**and** ∧x y. R' x y → R x y  
**shows** *rel-gpv''* A C R gpv gpv' → *rel-gpv''* A' C' R' gpv gpv'  
 ⟨proof⟩

**lemma** *left-total-rel-gpv'*:  
 [ [ *left-total* A; *left-total* C; *left-unique* R; *right-total* R ] ] ⇒ *left-total* (*rel-gpv''* A C R)  
 ⟨proof⟩

**lemma** *right-total-rel-gpv'*:  
 [ [ *right-total* A; *right-total* C; *right-unique* R; *left-total* R ] ] ⇒ *right-total* (*rel-gpv''* A C R)

*<proof>*

**lemma** *bi-total-rel-gpv'* [*transfer-rule*]:

$\llbracket \text{bi-total } A; \text{bi-total } C; \text{bi-unique } R; \text{bi-total } R \rrbracket \implies \text{bi-total } (\text{rel-gpv}'' A C R)$   
*<proof>*

**lemma** *rel-fun-conversep-grp-grp*:

$\text{rel-fun } (\text{conversep } (\text{BNF-Def.Grp UNIV } f)) (\text{BNF-Def.Grp } B g) = \text{BNF-Def.Grp}$   
 $\{x. (x \circ f) \text{ ' UNIV } \subseteq B\} (\text{map-fun } f g)$   
*<proof>*

**lemma** *Quotient-gpv*:

**assumes** *Q1: Quotient R1 Abs1 Rep1 T1*  
**and** *Q2: Quotient R2 Abs2 Rep2 T2*  
**and** *Q3: Quotient R3 Abs3 Rep3 T3*  
**shows** *Quotient (rel-gpv'' R1 R2 R3) (map-gpv' Abs1 Abs2 Rep3) (map-gpv'*  
*Rep1 Rep2 Abs3) (rel-gpv'' T1 T2 T3)*  
**(is** *Quotient ?R ?abs ?rep ?T)*  
*<proof>*

**lemma** *the-gpv-parametric'*:

$(\text{rel-gpv}'' A C R \implies \text{rel-spmf } (\text{rel-generat } A C (R \implies \text{rel-gpv}'' A C R)))$   
*the-gpv the-gpv*  
*<proof>*

**lemma** *GPV-parametric'*:

$(\text{rel-spmf } (\text{rel-generat } A C (R \implies \text{rel-gpv}'' A C R)) \implies \text{rel-gpv}'' A C R)$   
*GPV GPV*  
*<proof>*

**lemma** *corec-gpv-parametric'*:

$((S \implies \text{rel-spmf } (\text{rel-generat } A C (R \implies \text{rel-sum } (\text{rel-gpv}'' A C R) S)))$   
 $\implies S \implies \text{rel-gpv}'' A C R)$   
*corec-gpv corec-gpv*  
*<proof>*

**lemma** *map-gpv'-parametric* [*transfer-rule*]:

$((A \implies A') \implies (C \implies C') \implies (R' \implies R) \implies \text{rel-gpv}''$   
 $A C R \implies \text{rel-gpv}'' A' C' R')$  *map-gpv' map-gpv'*  
*<proof>*

**lemma** *map-gpv-parametric'*:  $((A \implies A') \implies (C \implies C') \implies \text{rel-gpv}''$

$A C R \implies \text{rel-gpv}'' A' C' R)$  *map-gpv map-gpv*  
*<proof>*

**end**

## 4.4 Simple, derived operations

**primcorec** *Done* :: 'a ⇒ ('a, 'out, 'in) gpv  
**where** *the-gpv* (*Done* a) = *return-spmf* (*Pure* a)

**primcorec** *Pause* :: 'out ⇒ ('in ⇒ ('a, 'out, 'in) gpv) ⇒ ('a, 'out, 'in) gpv  
**where** *the-gpv* (*Pause* out c) = *return-spmf* (*IO* out c)

**primcorec** *lift-spmf* :: 'a spmf ⇒ ('a, 'out, 'in) gpv  
**where** *the-gpv* (*lift-spmf* p) = *map-spmf* *Pure* p

**definition** *Fail* :: ('a, 'out, 'in) gpv  
**where** *Fail* = *GPV* (*return-pmf* *None*)

**definition** *React* :: ('in ⇒ 'out × ('a, 'out, 'in) rpv) ⇒ ('a, 'out, 'in) rpv  
**where** *React* f *input* = *case-prod* *Pause* (f *input*)

**definition** *rFail* :: ('a, 'out, 'in) rpv  
**where** *rFail* = (λ-. *Fail*)

**lemma** *Done-inject* [*simp*]: *Done* x = *Done* y ⟷ x = y  
⟨*proof*⟩

**lemma** *Pause-inject* [*simp*]: *Pause* out c = *Pause* out' c' ⟷ out = out' ∧ c = c'  
⟨*proof*⟩

**lemma** [*simp*]:  
**shows** *Done-neq-Pause*: *Done* x ≠ *Pause* out c  
**and** *Pause-neq-Done*: *Pause* out c ≠ *Done* x  
⟨*proof*⟩

**lemma** *outs'-gpv-Done* [*simp*]: *outs'-gpv* (*Done* x) = {}  
⟨*proof*⟩

**lemma** *results'-gpv-Done* [*simp*]: *results'-gpv* (*Done* x) = {x}  
⟨*proof*⟩

**lemma** *pred-gpv-Done* [*simp*]: *pred-gpv* P Q (*Done* x) = P x  
⟨*proof*⟩

**lemma** *outs'-gpv-Pause* [*simp*]: *outs'-gpv* (*Pause* out c) = *insert* out (⋃ *input*.  
*outs'-gpv* (c *input*))  
⟨*proof*⟩

**lemma** *results'-gpv-Pause* [*simp*]: *results'-gpv* (*Pause* out rpv) = *results'-rpv* rpv  
⟨*proof*⟩

**lemma** *pred-gpv-Pause* [*simp*]: *pred-gpv* P Q (*Pause* x c) = (Q x ∧ *All* (*pred-gpv*  
P Q ∘ c))  
⟨*proof*⟩

**lemma** *lift-spmf-return* [*simp*]: *lift-spmf (return-spmf x) = Done x*  
 ⟨*proof*⟩

**lemma** *lift-spmf-None* [*simp*]: *lift-spmf (return-pmf None) = Fail*  
 ⟨*proof*⟩

**lemma** *the-gpv-lift-spmf* [*simp*]: *the-gpv (lift-spmf r) = map-spmf Pure r*  
 ⟨*proof*⟩

**lemma** *outs'-gpv-lift-spmf* [*simp*]: *outs'-gpv (lift-spmf p) = {}*  
 ⟨*proof*⟩

**lemma** *results'-gpv-lift-spmf* [*simp*]: *results'-gpv (lift-spmf p) = set-spmf p*  
 ⟨*proof*⟩

**lemma** *pred-gpv-lift-spmf* [*simp*]: *pred-gpv P Q (lift-spmf p) = pred-spmf P p*  
 ⟨*proof*⟩

**lemma** *lift-spmf-inject* [*simp*]: *lift-spmf p = lift-spmf q  $\longleftrightarrow$  p = q*  
 ⟨*proof*⟩

**lemma** *map-lift-spmf*: *map-gpv f g (lift-spmf p) = lift-spmf (map-spmf f p)*  
 ⟨*proof*⟩

**lemma** *lift-map-spmf*: *lift-spmf (map-spmf f p) = map-gpv f id (lift-spmf p)*  
 ⟨*proof*⟩

**lemma** [*simp*]:  
 shows *Fail-neq-Pause*: *Fail  $\neq$  Pause out c*  
 and *Pause-neq-Fail*: *Pause out c  $\neq$  Fail*  
 and *Fail-neq-Done*: *Fail  $\neq$  Done x*  
 and *Done-neq-Fail*: *Done x  $\neq$  Fail*  
 ⟨*proof*⟩

Add *unit* closure to circumvent SML value restriction

**definition** *Fail'* :: *unit  $\Rightarrow$  ('a, 'out, 'in) gpv*  
 where [*code del*]: *Fail' - = Fail*

**lemma** *Fail-code* [*code-unfold*]: *Fail = Fail' ()*  
 ⟨*proof*⟩

**lemma** *Fail'-code* [*code*]:  
*Fail' x = GPV (return-pmf None)*  
 ⟨*proof*⟩

**lemma** *Fail-sel* [*simp*]:  
*the-gpv Fail = return-pmf None*  
 ⟨*proof*⟩

**lemma** *Fail-eq-GPV-iff* [simp]:  $Fail = GPV f \longleftrightarrow f = \text{return-pmf None}$   
 ⟨proof⟩

**lemma** *outs'-gpv-Fail* [simp]:  $\text{outs}'\text{-gpv Fail} = \{\}$   
 ⟨proof⟩

**lemma** *results'-gpv-Fail* [simp]:  $\text{results}'\text{-gpv Fail} = \{\}$   
 ⟨proof⟩

**lemma** *pred-gpv-Fail* [simp]:  $\text{pred-gpv } P \ Q \ Fail$   
 ⟨proof⟩

**lemma** *React-inject* [iff]:  $\text{React } f = \text{React } f' \longleftrightarrow f = f'$   
 ⟨proof⟩

**lemma** *React-apply* [simp]:  $f \text{ input} = (\text{out}, c) \implies \text{React } f \text{ input} = \text{Pause out } c$   
 ⟨proof⟩

**lemma** *rFail-apply* [simp]:  $rFail \text{ input} = Fail$   
 ⟨proof⟩

**lemma** [simp]:  
 shows *rFail-neq-React*:  $rFail \neq \text{React } f$   
 and *React-neq-rFail*:  $\text{React } f \neq rFail$   
 ⟨proof⟩

**lemma** *rel-gpv-FailI* [simp]:  $\text{rel-gpv } A \ C \ Fail \ Fail$   
 ⟨proof⟩

**lemma** *rel-gpv-Done* [iff]:  $\text{rel-gpv } A \ C \ (\text{Done } x) \ (\text{Done } y) \longleftrightarrow A \ x \ y$   
 ⟨proof⟩

**lemma** *rel-gpv''-Done* [iff]:  $\text{rel-gpv}'' \ A \ C \ R \ (\text{Done } x) \ (\text{Done } y) \longleftrightarrow A \ x \ y$   
 ⟨proof⟩

**lemma** *rel-gpv-Pause* [iff]:  
 $\text{rel-gpv } A \ C \ (\text{Pause out } c) \ (\text{Pause out}' \ c') \longleftrightarrow C \ \text{out} \ \text{out}' \wedge (\forall x. \text{rel-gpv } A \ C \ (c \ x) \ (c' \ x))$   
 ⟨proof⟩

**lemma** *rel-gpv''-Pause* [iff]:  
 $\text{rel-gpv}'' \ A \ C \ R \ (\text{Pause out } c) \ (\text{Pause out}' \ c') \longleftrightarrow C \ \text{out} \ \text{out}' \wedge (\forall x \ x'. R \ x \ x' \longrightarrow \text{rel-gpv}'' \ A \ C \ R \ (c \ x) \ (c' \ x'))$   
 ⟨proof⟩

**lemma** *rel-gpv-lift-spmf* [iff]:  $\text{rel-gpv } A \ C \ (\text{lift-spmf } p) \ (\text{lift-spmf } q) \longleftrightarrow \text{rel-spmf } A \ p \ q$   
 ⟨proof⟩

**lemma** *rel-gpv''-lift-spmf* [*iff*]:  
 $rel-gpv'' A C R (lift-spmf p) (lift-spmf q) \longleftrightarrow rel-spmf A p q$   
 ⟨*proof*⟩

**context includes** *lifting-syntax* **begin**

**lemmas** *Fail-parametric* [*transfer-rule*] = *rel-gpv-FailI*

**lemma** *Fail-parametric'* [*simp*]:  $rel-gpv'' A C R Fail Fail$   
 ⟨*proof*⟩

**lemma** *Done-parametric* [*transfer-rule*]:  $(A ===> rel-gpv A C) Done Done$   
 ⟨*proof*⟩

**lemma** *Done-parametric'*:  $(A ===> rel-gpv'' A C R) Done Done$   
 ⟨*proof*⟩

**lemma** *Pause-parametric* [*transfer-rule*]:  
 $(C ===> ((=) ===> rel-gpv A C) ===> rel-gpv A C) Pause Pause$   
 ⟨*proof*⟩

**lemma** *Pause-parametric'*:  
 $(C ===> (R ===> rel-gpv'' A C R) ===> rel-gpv'' A C R) Pause Pause$   
 ⟨*proof*⟩

**lemma** *lift-spmf-parametric* [*transfer-rule*]:  
 $(rel-spmf A ===> rel-gpv A C) lift-spmf lift-spmf$   
 ⟨*proof*⟩

**lemma** *lift-spmf-parametric'*:  
 $(rel-spmf A ===> rel-gpv'' A C R) lift-spmf lift-spmf$   
 ⟨*proof*⟩  
**end**

**lemma** *map-gpv-Done* [*simp*]:  $map-gpv f g (Done x) = Done (f x)$   
 ⟨*proof*⟩

**lemma** *map-gpv'-Done* [*simp*]:  $map-gpv' f g h (Done x) = Done (f x)$   
 ⟨*proof*⟩

**lemma** *map-gpv-Pause* [*simp*]:  $map-gpv f g (Pause x c) = Pause (g x) (map-gpv f g \circ c)$   
 ⟨*proof*⟩

**lemma** *map-gpv'-Pause* [*simp*]:  $map-gpv' f g h (Pause x c) = Pause (g x) (map-gpv' f g h \circ c \circ h)$   
 ⟨*proof*⟩

**lemma** *map-gpv-Fail* [*simp*]:  $map-gpv f g Fail = Fail$

⟨proof⟩

**lemma** *map-gpv'-Fail* [simp]: *map-gpv' f g h Fail = Fail*  
⟨proof⟩

## 4.5 Monad structure

**primcorec** *bind-gpv* :: ('a, 'out, 'in) gpv ⇒ ('a ⇒ ('b, 'out, 'in) gpv) ⇒ ('b, 'out, 'in) gpv

**where**

*the-gpv* (*bind-gpv* *r f*) =  
  *map-spmf* (*map-generat id id* (( $\circ$ ) (*case-sum id* ( $\lambda r$ . *bind-gpv r f*))))  
  (*the-gpv* *r*  $\gg$   
  (*case-generat*  
    ( $\lambda x$ . *map-spmf* (*map-generat id id* (( $\circ$ ) *Inl*)) (*the-gpv* (*f x*)))  
    ( $\lambda out\ c$ . *return-spmf* (*IO out* ( $\lambda input$ . *Inr* (*c input*))))))

**declare** *bind-gpv.sel* [simp del]

**adhoc-overloading** *Monad-Syntax.bind*  $\equiv$  *bind-gpv*

**lemma** *bind-gpv-unfold* [code]:

*r*  $\gg$  *f* = *GPV* (  
  *do* {  
    *generat*  $\leftarrow$  *the-gpv* *r*;  
    *case generat of Pure x*  $\Rightarrow$  *the-gpv* (*f x*)  
    | *IO out c*  $\Rightarrow$  *return-spmf* (*IO out* ( $\lambda input$ . *c input*  $\gg$  *f*))  
  }  
⟨proof⟩

**lemma** *bind-gpv-code-cong*: *f = f'*  $\implies$  *bind-gpv f g = bind-gpv f' g* ⟨proof⟩  
⟨ML⟩

**lemma** *bind-gpv-sel*:

*the-gpv* (*r*  $\gg$  *f*) =  
  *do* {  
    *generat*  $\leftarrow$  *the-gpv* *r*;  
    *case generat of Pure x*  $\Rightarrow$  *the-gpv* (*f x*)  
    | *IO out c*  $\Rightarrow$  *return-spmf* (*IO out* ( $\lambda input$ . *bind-gpv* (*c input*) *f*))  
  }  
⟨proof⟩

**lemma** *bind-gpv-sel'* [simp]:

*the-gpv* (*r*  $\gg$  *f*) =  
  *do* {  
    *generat*  $\leftarrow$  *the-gpv* *r*;  
    *if is-Pure generat then the-gpv* (*f* (*result generat*))  
    *else return-spmf* (*IO* (*output generat*) ( $\lambda input$ . *bind-gpv* (*continuation generat*  
  *input*) *f*))

}  
 ⟨proof⟩

**lemma** *Done-bind-gpv* [simp]:  $\text{Done } a \ggg f = f a$   
 ⟨proof⟩

**lemma** *bind-gpv-Done* [simp]:  $f \ggg \text{Done} = f$   
 ⟨proof⟩

**lemma** *if-distrib-bind-gpv2* [if-distrib]:  
 $\text{bind-gpv } gpv (\lambda y. \text{if } b \text{ then } f y \text{ else } g y) = (\text{if } b \text{ then } \text{bind-gpv } gpv f \text{ else } \text{bind-gpv } gpv g)$   
 ⟨proof⟩

**lemma** *lift-spmf-bind*:  $\text{lift-spmf } r \ggg f = \text{GPV } (r \ggg \text{the-gpv } \circ f)$   
 ⟨proof⟩

**lemma** *the-gpv-bind-gpv-lift-spmf* [simp]:  
 $\text{the-gpv } (\text{bind-gpv } (\text{lift-spmf } p) f) = \text{bind-spmf } p (\text{the-gpv } \circ f)$   
 ⟨proof⟩

**lemma** *lift-spmf-bind-spmf*:  $\text{lift-spmf } (p \ggg f) = \text{lift-spmf } p \ggg (\lambda x. \text{lift-spmf } (f x))$   
 ⟨proof⟩

**lemma** *lift-bind-spmf*:  $\text{lift-spmf } (\text{bind-spmf } p f) = \text{bind-gpv } (\text{lift-spmf } p) (\text{lift-spmf } \circ f)$   
 ⟨proof⟩

**lemma** *GPV-bind*:  
 $\text{GPV } f \ggg g = \text{GPV } (f \ggg (\lambda \text{generat. case generat of Pure } x \Rightarrow \text{the-gpv } (g x) \mid \text{IO out } c \Rightarrow \text{return-spmf } (\text{IO out } (\lambda \text{input. } c \text{ input } \ggg g))))$   
 ⟨proof⟩

**lemma** *GPV-bind'*:  
 $\text{GPV } f \ggg g = \text{GPV } (f \ggg (\lambda \text{generat. if is-Pure generat then the-gpv } (g (\text{result generat})) \text{ else return-spmf } (\text{IO } (\text{output generat}) (\lambda \text{input. continuation generat input } \ggg g))))$   
 ⟨proof⟩

**lemma** *bind-gpv-assoc*:  
**fixes**  $f :: ('a, 'out, 'in) \text{gpv}$   
**shows**  $(f \ggg g) \ggg h = f \ggg (\lambda x. g x \ggg h)$   
 ⟨proof⟩

**lemma** *map-gpv-bind-gpv*:  $\text{map-gpv } f g (\text{bind-gpv } gpv h) = \text{bind-gpv } (\text{map-gpv } id g gpv) (\lambda x. \text{map-gpv } f g (h x))$   
 ⟨proof⟩



**lemma** *map-gpv-id-bind-gpv*:  $\text{map-gpv } f \text{ id } (\text{bind-gpv } \text{gpv } g) = \text{bind-gpv } \text{gpv } (\text{map-gpv } f \text{ id } \circ g)$   
 ⟨proof⟩

**lemma** *map-gpv-conv-bind*:  
 $\text{map-gpv } f (\lambda x. x) x = \text{bind-gpv } x (\lambda x. \text{Done } (f x))$   
 ⟨proof⟩

**lemma** *bind-map-gpv*:  $\text{bind-gpv } (\text{map-gpv } f \text{ id } \text{gpv}) g = \text{bind-gpv } \text{gpv } (g \circ f)$   
 ⟨proof⟩

**lemma** *outs-bind-gpv*:  
 $\text{outs}'\text{-gpv } (\text{bind-gpv } x f) = \text{outs}'\text{-gpv } x \cup (\bigcup x \in \text{results}'\text{-gpv } x. \text{outs}'\text{-gpv } (f x))$   
 (is ?lhs = ?rhs)  
 ⟨proof⟩

**lemma** *bind-gpv-Fail [simp]*:  $\text{Fail} \ggg f = \text{Fail}$   
 ⟨proof⟩

**lemma** *bind-gpv-eq-Fail*:  
 $\text{bind-gpv } \text{gpv } f = \text{Fail} \iff (\forall x \in \text{set-spmf } (\text{the-gpv } \text{gpv}). \text{is-Pure } x) \wedge (\forall x \in \text{results}'\text{-gpv } \text{gpv}. f x = \text{Fail})$   
 (is ?lhs = ?rhs)  
 ⟨proof⟩

**context includes** *lifting-syntax begin*

**lemma** *bind-gpv-parametric [transfer-rule]*:  
 $(\text{rel-gpv } A C \implies (A \implies \text{rel-gpv } B C) \implies \text{rel-gpv } B C) \text{ bind-gpv}$   
 $\text{bind-gpv}$   
 ⟨proof⟩

**lemma** *bind-gpv-parametric'*:  
 $(\text{rel-gpv}'' A C R \implies (A \implies \text{rel-gpv}'' B C R) \implies \text{rel-gpv}'' B C R)$   
 $\text{bind-gpv } \text{bind-gpv}$   
 ⟨proof⟩

**end**

**lemma** *monad-gpv [locale-witness]*: *monad Done bind-gpv*  
 ⟨proof⟩

**lemma** *monad-fail-gpv [locale-witness]*: *monad-fail Done bind-gpv Fail*  
 ⟨proof⟩

**lemma** *rel-gpv-bindI*:  
 $\llbracket \text{rel-gpv } A C \text{ gpv } \text{gpv}'; \bigwedge x y. A x y \implies \text{rel-gpv } B C (f x) (g y) \rrbracket$   
 $\implies \text{rel-gpv } B C (\text{bind-gpv } \text{gpv } f) (\text{bind-gpv } \text{gpv}' g)$

*<proof>*

**lemma** *bind-gpv-cong*:

$\llbracket gpv = gpv'; \bigwedge x. x \in results'-gpv\ gpv' \implies f\ x = g\ x \rrbracket \implies bind-gpv\ gpv\ f = bind-gpv\ gpv'\ g$   
*<proof>*

**definition** *bind-rpv* :: ('a, 'in, 'out) rpv  $\Rightarrow$  ('a  $\Rightarrow$  ('b, 'in, 'out) gpv)  $\Rightarrow$  ('b, 'in, 'out) rpv

**where** *bind-rpv* rpv f = (*λinput. bind-gpv* (rpv input) f)

**lemma** *bind-rpv-apply* [*simp*]: *bind-rpv* rpv f input = *bind-gpv* (rpv input) f

*<proof>*

**adhoc-overloading** *Monad-Syntax.bind*  $\equiv$  *bind-rpv*

**lemma** *bind-rpv-code-cong*: rpv = rpv'  $\implies$  *bind-rpv* rpv f = *bind-rpv* rpv' f *<proof>*  
*<ML>*

**lemma** *bind-rpv-rDone* [*simp*]: *bind-rpv* rpv Done = rpv

*<proof>*

**lemma** *bind-gpv-Pause* [*simp*]: *bind-gpv* (Pause out rpv) f = Pause out (*bind-rpv* rpv f)

*<proof>*

**lemma** *bind-rpv-React* [*simp*]: *bind-rpv* (React f) g = React (*apsnd* (*λrpv. bind-rpv* rpv g)  $\circ$  f)

*<proof>*

**lemma** *bind-rpv-assoc*: *bind-rpv* (*bind-rpv* rpv f) g = *bind-rpv* rpv ((*λgpv. bind-gpv* gpv g)  $\circ$  f)

*<proof>*

**lemma** *bind-rpv-Done* [*simp*]: *bind-rpv* Done f = f

*<proof>*

**lemma** *results'-rpv-Done* [*simp*]: *results'-rpv* Done = UNIV

*<proof>*

## 4.6 Embedding 'a spmf as a monad

**lemma** *neg-fun-distr3*:

**includes** *lifting-syntax*

**assumes** 1: *left-unique* R *right-total* R

**assumes** 2: *right-unique* S *left-total* S

**shows** (R OO R'  $\implies$  S OO S')  $\leq$  ((R  $\implies$  S) OO (R'  $\implies$  S'))

*<proof>*

**locale *spmf-to-gpv* begin**

The lifting package cannot handle free term variables in the merging of transfer rules, so for the embedding we define a specialised relator *rel-gpv'* which acts only on the returned values.

**definition** *rel-gpv'* :: ('a ⇒ 'b ⇒ bool) ⇒ ('a, 'out, 'in) gpv ⇒ ('b, 'out, 'in) gpv ⇒ bool

**where** *rel-gpv'* A = *rel-gpv* A (=)

**lemma** *rel-gpv'-eq* [*relator-eq*]: *rel-gpv'* (=) = (=)

*<proof>*

**lemma** *rel-gpv'-mono* [*relator-mono*]: A ≤ B ⇒ *rel-gpv'* A ≤ *rel-gpv'* B

*<proof>*

**lemma** *rel-gpv'-distr* [*relator-distr*]: *rel-gpv'* A OO *rel-gpv'* B = *rel-gpv'* (A OO B)

*<proof>*

**lemma** *left-unique-rel-gpv'* [*transfer-rule*]: *left-unique* A ⇒ *left-unique* (*rel-gpv'* A)

*<proof>*

**lemma** *right-unique-rel-gpv'* [*transfer-rule*]: *right-unique* A ⇒ *right-unique* (*rel-gpv'* A)

*<proof>*

**lemma** *bi-unique-rel-gpv'* [*transfer-rule*]: *bi-unique* A ⇒ *bi-unique* (*rel-gpv'* A)

*<proof>*

**lemma** *left-total-rel-gpv'* [*transfer-rule*]: *left-total* A ⇒ *left-total* (*rel-gpv'* A)

*<proof>*

**lemma** *right-total-rel-gpv'* [*transfer-rule*]: *right-total* A ⇒ *right-total* (*rel-gpv'* A)

*<proof>*

**lemma** *bi-total-rel-gpv'* [*transfer-rule*]: *bi-total* A ⇒ *bi-total* (*rel-gpv'* A)

*<proof>*

We cannot use *setup-lifting* because ('a, 'out, 'in) gpv contains type variables which do not appear in 'a *spmf*.

**definition** *cr-spmf-gpv* :: 'a *spmf* ⇒ ('a, 'out, 'in) gpv ⇒ bool

**where** *cr-spmf-gpv* p gpv ←→ gpv = *lift-spmf* p

**definition** *spmf-of-gpv* :: ('a, 'out, 'in) gpv ⇒ 'a *spmf*

**where** *spmf-of-gpv* gpv = (THE p. gpv = *lift-spmf* p)

**lemma** *spmf-of-gpv-lift-spmf* [*simp*]: *spmf-of-gpv* (*lift-spmf* p) = p

*<proof>*

**lemma** *rel-spmf-setD2*:

$\llbracket \text{rel-spmf } A \text{ } p \text{ } q; y \in \text{set-spmf } q \rrbracket \implies \exists x \in \text{set-spmf } p. A \text{ } x \text{ } y$   
*<proof>*

**lemma** *rel-gpv-lift-spmf1*:  $\text{rel-gpv } A \text{ } B \text{ } (\text{lift-spmf } p) \text{ } gpv \longleftrightarrow (\exists q. gpv = \text{lift-spmf } q \wedge \text{rel-spmf } A \text{ } p \text{ } q)$   
*<proof>*

**lemma** *rel-gpv-lift-spmf2*:  $\text{rel-gpv } A \text{ } B \text{ } gpv \text{ } (\text{lift-spmf } q) \longleftrightarrow (\exists p. gpv = \text{lift-spmf } p \wedge \text{rel-spmf } A \text{ } p \text{ } q)$   
*<proof>*

**definition** *pcr-spmf-gpv* ::  $( 'a \Rightarrow 'b \Rightarrow \text{bool} ) \Rightarrow 'a \text{ } \text{spmf} \Rightarrow ('b, 'out, 'in) \text{ } gpv \Rightarrow \text{bool}$

**where**  $\text{pcr-spmf-gpv } A = \text{cr-spmf-gpv } OO \text{ rel-gpv } A (=)$

**lemma** *pcr-cr-eq-spmf-gpv*:  $\text{pcr-spmf-gpv } (=) = \text{cr-spmf-gpv}$   
*<proof>*

**lemma** *left-unique-cr-spmf-gpv*: *left-unique cr-spmf-gpv*  
*<proof>*

**lemma** *left-unique-pcr-spmf-gpv* [*transfer-rule*]:  
 $\text{left-unique } A \implies \text{left-unique } (\text{pcr-spmf-gpv } A)$   
*<proof>*

**lemma** *right-unique-cr-spmf-gpv*: *right-unique cr-spmf-gpv*  
*<proof>*

**lemma** *right-unique-pcr-spmf-gpv* [*transfer-rule*]:  
 $\text{right-unique } A \implies \text{right-unique } (\text{pcr-spmf-gpv } A)$   
*<proof>*

**lemma** *bi-unique-cr-spmf-gpv*: *bi-unique cr-spmf-gpv*  
*<proof>*

**lemma** *bi-unique-pcr-spmf-gpv* [*transfer-rule*]:  $\text{bi-unique } A \implies \text{bi-unique } (\text{pcr-spmf-gpv } A)$   
*<proof>*

**lemma** *left-total-cr-spmf-gpv*: *left-total cr-spmf-gpv*  
*<proof>*

**lemma** *left-total-pcr-spmf-gpv* [*transfer-rule*]:  $\text{left-total } A \implies \text{left-total } (\text{pcr-spmf-gpv } A)$   
*<proof>*

**context includes** *lifting-syntax* **begin**

**lemma** *return-spmf-gpv-transfer'*:

$((=) \implies cr\text{-}spmf\text{-}gpv) \text{ return-spmf Done}$   
 $\langle proof \rangle$

**lemma** *return-spmf-gpv-transfer [transfer-rule]*:

$(A \implies pcr\text{-}spmf\text{-}gpv A) \text{ return-spmf Done}$   
 $\langle proof \rangle$

**lemma** *bind-spmf-gpv-transfer'*:

$(cr\text{-}spmf\text{-}gpv \implies ((=) \implies cr\text{-}spmf\text{-}gpv) \implies cr\text{-}spmf\text{-}gpv) \text{ bind-spmf}$   
*bind-gpv*  
 $\langle proof \rangle$

**lemma** *bind-spmf-gpv-transfer [transfer-rule]*:

$(pcr\text{-}spmf\text{-}gpv A \implies (A \implies pcr\text{-}spmf\text{-}gpv B) \implies pcr\text{-}spmf\text{-}gpv B)$   
*bind-spmf bind-gpv*  
 $\langle proof \rangle$

**lemma** *lift-spmf-gpv-transfer'*:

$((=) \implies cr\text{-}spmf\text{-}gpv) (\lambda x. x) \text{ lift-spmf}$   
 $\langle proof \rangle$

**lemma** *lift-spmf-gpv-transfer [transfer-rule]*:

$(rel\text{-}spmf A \implies pcr\text{-}spmf\text{-}gpv A) (\lambda x. x) \text{ lift-spmf}$   
 $\langle proof \rangle$

**lemma** *fail-spmf-gpv-transfer'*: *cr-spmf-gpv (return-pmf None) Fail*

$\langle proof \rangle$

**lemma** *fail-spmf-gpv-transfer [transfer-rule]*: *pcr-spmf-gpv A (return-pmf None)*

*Fail*

$\langle proof \rangle$

**lemma** *map-spmf-gpv-transfer'*:

$((=) \implies R \implies cr\text{-}spmf\text{-}gpv \implies cr\text{-}spmf\text{-}gpv) (\lambda f g. \text{map-spmf } f)$   
*map-gpv*  
 $\langle proof \rangle$

**lemma** *map-spmf-gpv-transfer [transfer-rule]*:

$((A \implies B) \implies R \implies pcr\text{-}spmf\text{-}gpv A \implies pcr\text{-}spmf\text{-}gpv B) (\lambda f g.$   
*map-spmf f) map-gpv*  
 $\langle proof \rangle$

**end**

**end**

## 4.7 Embedding 'a option as a monad

**locale** *option-to-gpv* **begin**

**interpretation** *option-to-spmf*  $\langle \text{proof} \rangle$

**interpretation** *spmf-to-gpv*  $\langle \text{proof} \rangle$

**definition** *cr-option-gpv* :: 'a option  $\Rightarrow$  ('a, 'out, 'in) gpv  $\Rightarrow$  bool

**where** *cr-option-gpv*  $x$  gpv  $\longleftrightarrow$  gpv = (lift-spmf  $\circ$  return-pmf)  $x$

**lemma** *cr-option-gpv-conv-OO*:

*cr-option-gpv* = *cr-spmf-option*<sup>-1-1</sup> OO *cr-spmf-gpv*  
 $\langle \text{proof} \rangle$

**context includes** *lifting-syntax* **begin**

These transfer rules should follow from merging the transfer rules, but this has not yet been implemented.

**lemma** *return-option-gpv-transfer* [transfer-rule]:

((=)  $\implies$  *cr-option-gpv*) Some Done  
 $\langle \text{proof} \rangle$

**lemma** *bind-option-gpv-transfer* [transfer-rule]:

(*cr-option-gpv*  $\implies$  ((=)  $\implies$  *cr-option-gpv*)  $\implies$  *cr-option-gpv*) Option.bind bind-gpv  
 $\langle \text{proof} \rangle$

**lemma** *fail-option-gpv-transfer* [transfer-rule]: *cr-option-gpv* None Fail

$\langle \text{proof} \rangle$

**lemma** *map-option-gpv-transfer* [transfer-rule]:

((=)  $\implies$   $R \implies$  *cr-option-gpv*  $\implies$  *cr-option-gpv*) ( $\lambda f g. \text{map-option } f$ )  
*map-gpv*  
 $\langle \text{proof} \rangle$

**end**

**end**

**locale** *option-le-gpv* **begin**

**interpretation** *option-le-spmf*  $\langle \text{proof} \rangle$

**interpretation** *spmf-to-gpv*  $\langle \text{proof} \rangle$

**definition** *cr-option-le-gpv* :: 'a option  $\Rightarrow$  ('a, 'out, 'in) gpv  $\Rightarrow$  bool

**where** *cr-option-le-gpv*  $x$  gpv  $\longleftrightarrow$  gpv = (lift-spmf  $\circ$  return-pmf)  $x \vee x = \text{None}$

**context includes** *lifting-syntax* **begin**

**lemma** *return-option-le-gpv-transfer* [transfer-rule]:

((=) ==> cr-option-le-gpv) Some Done  
<proof>

**lemma** bind-option-gpv-transfer [transfer-rule]:  
(cr-option-le-gpv ==> ((=) ==> cr-option-le-gpv) ==> cr-option-le-gpv)  
Option.bind bind-gpv  
<proof>

**lemma** fail-option-gpv-transfer [transfer-rule]:  
cr-option-le-gpv None Fail  
<proof>

**lemma** map-option-gpv-transfer [transfer-rule]:  
(((=) ==> (=)) ==> cr-option-le-gpv ==> cr-option-le-gpv) map-option  
(λf. map-gpv f id)  
<proof>

**end**

**end**

## 4.8 Embedding resumptions

**primcorec** lift-resumption :: ('a, 'out, 'in) resumption ⇒ ('a, 'out, 'in) gpv

**where**

the-gpv (lift-resumption r) =  
(case r of resumption.Done None ⇒ return-pmf None  
| resumption.Done (Some x') ⇒ return-spmf (Pure x')  
| resumption.Pause out c ⇒ map-spmf (map-generat id id ((◦) lift-resumption))  
(return-spmf (IO out c)))

**lemma** the-gpv-lift-resumption:

the-gpv (lift-resumption r) =  
(if is-Done r then if Option.is-none (resumption.result r) then return-pmf None  
else return-spmf (Pure (the (resumption.result r))))  
else return-spmf (IO (resumption.output r) (lift-resumption ◦ resume r)))  
<proof>

**declare** lift-resumption.simps [simp del]

**lemma** lift-resumption-Done [code]:

lift-resumption (resumption.Done x) = (case x of None ⇒ Fail | Some x' ⇒ Done x')  
<proof>

**lemma** lift-resumption-DONE [simp]:

lift-resumption (DONE x) = Done x  
<proof>

**lemma** *lift-resumption-ABORT* [simp]:

*lift-resumption ABORT = Fail*

*<proof>*

**lemma** *lift-resumption-Pause* [simp, code]:

*lift-resumption (resumption.Pause out c) = Pause out (lift-resumption o c)*

*<proof>*

**lemma** *lift-resumption-Done-Some* [simp]: *lift-resumption (resumption.Done (Some x)) = Done x*

*<proof>*

**lemma** *results'-gpv-lift-resumption* [simp]:

*results'-gpv (lift-resumption r) = results r (is ?lhs = ?rhs)*

*<proof>*

**lemma** *outs'-gpv-lift-resumption* [simp]:

*outs'-gpv (lift-resumption r) = outputs r (is ?lhs = ?rhs)*

*<proof>*

**lemma** *pred-gpv-lift-resumption* [simp]:

$\bigwedge A. \text{pred-gpv } A \ C \ (\text{lift-resumption } r) = \text{pred-resumption } A \ C \ r$

*<proof>*

**lemma** *lift-resumption-bind*: *lift-resumption (r  $\gg$  f) = lift-resumption r  $\gg$  lift-resumption o f*

*<proof>*

## 4.9 Assertions

**definition** *assert-gpv* :: *bool*  $\Rightarrow$  (*unit*, *'out*, *'in*) *gpv*

**where** *assert-gpv b = (if b then Done () else Fail)*

**lemma** *assert-gpv-simps* [simp]:

*assert-gpv True = Done ()*

*assert-gpv False = Fail*

*<proof>*

**lemma** [simp]:

**shows** *assert-gpv-eq-Done*: *assert-gpv b = Done x  $\longleftrightarrow$  b*

**and** *Done-eq-assert-gpv*: *Done x = assert-gpv b  $\longleftrightarrow$  b*

**and** *Pause-neq-assert-gpv*: *Pause out rpv  $\neq$  assert-gpv b*

**and** *assert-gpv-neq-Pause*: *assert-gpv b  $\neq$  Pause out rpv*

**and** *assert-gpv-eq-Fail*: *assert-gpv b = Fail  $\longleftrightarrow$   $\neg$  b*

**and** *Fail-eq-assert-gpv*: *Fail = assert-gpv b  $\longleftrightarrow$   $\neg$  b*

*<proof>*

**lemma** *assert-gpv-inject* [simp]: *assert-gpv b = assert-gpv b'  $\longleftrightarrow$  b = b'*

*<proof>*



**lemma** *assert-gpv-sel* [*simp*]:

$the-gpv (assert-gpv b) = map-spmf Pure (assert-spmf b)$   
 $\langle proof \rangle$

**lemma** *the-gpv-bind-assert* [*simp*]:

$the-gpv (bind-gpv (assert-gpv b) f) =$   
 $bind-spmf (assert-spmf b) (the-gpv \circ f)$   
 $\langle proof \rangle$

**lemma** *pred-gpv-assert* [*simp*]:  $pred-gpv P Q (assert-gpv b) = (b \longrightarrow P ())$   
 $\langle proof \rangle$

**primcorec** *try-gpv* ::  $('a, 'call, 'ret) gpv \Rightarrow ('a, 'call, 'ret) gpv \Rightarrow ('a, 'call, 'ret) gpv$   
 $\langle TRY - ELSE \rightarrow [0,60] 59 \rangle$

**where**

$the-gpv (TRY gpv ELSE gpv') =$   
 $map-spmf (map-generat id id (\lambda c input. case c input of Inl gpv \Rightarrow try-gpv gpv$   
 $gpv' | Inr gpv' \Rightarrow gpv'))$   
 $(try-spmf (map-spmf (map-generat id id (map-fun id Inl)) (the-gpv gpv))$   
 $(map-spmf (map-generat id id (map-fun id Inr)) (the-gpv gpv')))$

**lemma** *try-gpv-sel*:

$the-gpv (TRY gpv ELSE gpv') =$   
 $TRY map-spmf (map-generat id id (\lambda c input. TRY c input ELSE gpv')) (the-gpv$   
 $gpv) ELSE the-gpv gpv'$   
 $\langle proof \rangle$

**lemma** *try-gpv-Done* [*simp*]:  $TRY Done x ELSE gpv' = Done x$   
 $\langle proof \rangle$

**lemma** *try-gpv-Fail* [*simp*]:  $TRY Fail ELSE gpv' = gpv'$   
 $\langle proof \rangle$

**lemma** *try-gpv-Pause* [*simp*]:  $TRY Pause out c ELSE gpv' = Pause out (\lambda input.$   
 $TRY c input ELSE gpv')$   
 $\langle proof \rangle$

**lemma** *try-gpv-Fail2* [*simp*]:  $TRY gpv ELSE Fail = gpv$   
 $\langle proof \rangle$

**lemma** *lift-try-spmf*:  $lift-spmf (TRY p ELSE q) = TRY lift-spmf p ELSE lift-spmf$   
 $q$   
 $\langle proof \rangle$

**lemma** *try-assert-gpv*:  $TRY assert-gpv b ELSE gpv' = (if b then Done () else gpv')$   
 $\langle proof \rangle$

**context includes** *lifting-syntax* **begin**

**lemma** *try-gpv-parametric* [*transfer-rule*]:  
 $(rel\text{-}gpv\ A\ C\ ==\Rightarrow\ rel\text{-}gpv\ A\ C\ ==\Rightarrow\ rel\text{-}gpv\ A\ C)\ try\text{-}gpv\ try\text{-}gpv$   
 $\langle proof \rangle$

**lemma** *try-gpv-parametric'*:  
 $(rel\text{-}gpv''\ A\ C\ R\ ==\Rightarrow\ rel\text{-}gpv''\ A\ C\ R\ ==\Rightarrow\ rel\text{-}gpv''\ A\ C\ R)\ try\text{-}gpv\ try\text{-}gpv$   
 $\langle proof \rangle$   
**end**

**lemma** *map-try-gpv*:  $map\text{-}gpv\ f\ g\ (TRY\ gpv\ ELSE\ gpv') = TRY\ map\text{-}gpv\ f\ g\ gpv$   
 $ELSE\ map\text{-}gpv\ f\ g\ gpv'$   
 $\langle proof \rangle$

**lemma** *map'-try-gpv*:  $map\text{-}gpv'\ f\ g\ h\ (TRY\ gpv\ ELSE\ gpv') = TRY\ map\text{-}gpv'\ f\ g$   
 $h\ gpv\ ELSE\ map\text{-}gpv'\ f\ g\ h\ gpv'$   
 $\langle proof \rangle$

**lemma** *try-bind-assert-gpv*:  
 $TRY\ (assert\text{-}gpv\ b\ \gg\ f)\ ELSE\ gpv = (if\ b\ then\ TRY\ (f\ ())\ ELSE\ gpv\ else\ gpv)$   
 $\langle proof \rangle$

#### 4.10 Order for $(\text{'}a, \text{'out}, \text{'in})\ gpv$

**coinductive** *ord-gpv* ::  $(\text{'}a, \text{'out}, \text{'in})\ gpv \Rightarrow (\text{'}a, \text{'out}, \text{'in})\ gpv \Rightarrow bool$

**where**

$ord\text{-}spmf\ (rel\text{-}generat\ (=)\ (=)\ (rel\text{-}fun\ (=)\ ord\text{-}gpv))\ f\ g \Longrightarrow ord\text{-}gpv\ (GPV\ f)$   
 $(GPV\ g)$

**inductive-simps** *ord-gpv-simps* [*simp*]:  
 $ord\text{-}gpv\ (GPV\ f)\ (GPV\ g)$

**lemma** *ord-gpv-coinduct* [*consumes 1, case-names ord-gpv, coinduct pred: ord-gpv*]:  
**assumes**  $X\ f\ g$   
**and step**:  $\bigwedge f\ g. X\ f\ g \Longrightarrow ord\text{-}spmf\ (rel\text{-}generat\ (=)\ (=)\ (rel\text{-}fun\ (=)\ X))\ (the\text{-}gpv\ f)$   
 $(the\text{-}gpv\ g)$   
**shows**  $ord\text{-}gpv\ f\ g$   
 $\langle proof \rangle$

**lemma** *ord-gpv-the-gpvD*:  
 $ord\text{-}gpv\ f\ g \Longrightarrow ord\text{-}spmf\ (rel\text{-}generat\ (=)\ (=)\ (rel\text{-}fun\ (=)\ ord\text{-}gpv))\ (the\text{-}gpv\ f)$   
 $(the\text{-}gpv\ g)$   
 $\langle proof \rangle$

**lemma** *reflp-equality*:  $reflp\ (=)$   
 $\langle proof \rangle$

**lemma** *ord-gpv-refl* [*simp*]:  $ord\text{-}gpv\ f\ f$   
 $\langle proof \rangle$

**lemma** *reflp-ord-gpv*: *reflp ord-gpv*  
 ⟨*proof*⟩

**lemma** *ord-gpv-trans*:  
**assumes** *ord-gpv f g ord-gpv g h*  
**shows** *ord-gpv f h*  
 ⟨*proof*⟩

**lemma** *ord-gpv-compp*: (*ord-gpv OO ord-gpv*) = *ord-gpv*  
 ⟨*proof*⟩

**lemma** *transp-ord-gpv [simp]*: *transp ord-gpv*  
 ⟨*proof*⟩

**lemma** *ord-gpv-antisym*:  
 [ *ord-gpv f g; ord-gpv g f* ]  $\implies f = g$   
 ⟨*proof*⟩

**lemma** *RFail-least [simp]*: *ord-gpv Fail f*  
 ⟨*proof*⟩

## 4.11 Bounds on interaction

**context**

**fixes** *consider* :: 'out  $\Rightarrow$  bool

**notes** *monotone-SUP*[*partial-function-mono*] [[*function-internals*]]

**begin**

⟨*ML*⟩

**partial-function** (*lfp-strong*) *interaction-bound* :: ('a, 'out, 'in) *gpv*  $\Rightarrow$  *enat*

**where**

*interaction-bound gpv* =

(*SUP generat*  $\in$  *set-spmf* (*the-gpv gpv*). *case generat* of *Pure* -  $\Rightarrow$  0

| *IO out c*  $\Rightarrow$  *if consider out* then *eSuc* (*SUP input. interaction-bound* (*c input*))

else (*SUP input. interaction-bound* (*c input*)))

**lemma** *interaction-bound-fixp-induct* [*case-names adm bottom step*]:

[ *ccpo.admissible* (*fun-lub Sup*) (*fun-ord* ( $\leq$ )) *P*;

*P* ( $\lambda$ -. 0);

$\wedge$  *interaction-bound'*.

[ *P interaction-bound'*;

$\wedge$  *gpv. interaction-bound' gpv*  $\leq$  *interaction-bound gpv*;

$\wedge$  *gpv. interaction-bound' gpv*  $\leq$  (*SUP generat*  $\in$  *set-spmf* (*the-gpv gpv*). *case*

*generat* of *Pure* -  $\Rightarrow$  0

| *IO out c*  $\Rightarrow$  *if consider out* then *eSuc* (*SUP input. interaction-bound'* (*c*

*input*)) else (*SUP input. interaction-bound'* (*c input*)))

] ]

$\implies$  *P* ( $\lambda$  *gpv. [ ] generat*  $\in$  *set-spmf* (*the-gpv gpv*). *case generat* of *Pure* *x*  $\Rightarrow$  0

$$\begin{aligned} & | IO \text{ out } c \Rightarrow \text{if consider out then } eSuc (\bigsqcup \text{input. interaction-bound}' (c \\ \text{input})) \text{ else } (\bigsqcup \text{input. interaction-bound}' (c \text{ input})) \bigsqcup \\ & \Rightarrow P \text{ interaction-bound} \\ \langle \text{proof} \rangle \end{aligned}$$

**lemma** *interaction-bound-IO*:

$$\begin{aligned} & IO \text{ out } c \in \text{set-spmf } (the-gpv \text{ gpv}) \\ & \Rightarrow (\text{if consider out then } eSuc (\text{interaction-bound } (c \text{ input})) \text{ else } \text{interaction-bound} \\ & (c \text{ input})) \leq \text{interaction-bound gpv} \\ \langle \text{proof} \rangle \end{aligned}$$

**lemma** *interaction-bound-IO-consider*:

$$\begin{aligned} & \llbracket IO \text{ out } c \in \text{set-spmf } (the-gpv \text{ gpv}); \text{consider out} \rrbracket \\ & \Rightarrow eSuc (\text{interaction-bound } (c \text{ input})) \leq \text{interaction-bound gpv} \\ \langle \text{proof} \rangle \end{aligned}$$

**lemma** *interaction-bound-IO-ignore*:

$$\begin{aligned} & \llbracket IO \text{ out } c \in \text{set-spmf } (the-gpv \text{ gpv}); \neg \text{consider out} \rrbracket \\ & \Rightarrow \text{interaction-bound } (c \text{ input}) \leq \text{interaction-bound gpv} \\ \langle \text{proof} \rangle \end{aligned}$$

**lemma** *interaction-bound-Done [simp]*:  $\text{interaction-bound } (Done \ x) = 0$   
 $\langle \text{proof} \rangle$

**lemma** *interaction-bound-Fail [simp]*:  $\text{interaction-bound } Fail = 0$   
 $\langle \text{proof} \rangle$

**lemma** *interaction-bound-Pause [simp]*:

$$\begin{aligned} & \text{interaction-bound } (Pause \ \text{out } c) = \\ & (\text{if consider out then } eSuc (SUP \ \text{input. interaction-bound } (c \ \text{input})) \text{ else } (SUP \\ \text{input. interaction-bound } (c \ \text{input}))) \\ \langle \text{proof} \rangle \end{aligned}$$

**lemma** *interaction-bound-lift-spmf [simp]*:  $\text{interaction-bound } (lift-spmf \ p) = 0$   
 $\langle \text{proof} \rangle$

**lemma** *interaction-bound-assert-gpv [simp]*:  $\text{interaction-bound } (assert-gpv \ b) = 0$   
 $\langle \text{proof} \rangle$

**lemma** *interaction-bound-bind-step*:

**assumes** *IH*:  $\bigwedge p. \text{interaction-bound}' (p \ggg f) \leq \text{interaction-bound } p + (\bigsqcup x \in \text{results}'\text{-gpv } p. \text{interaction-bound}' (f \ x))$

**and** *unfold*:  $\bigwedge gpv. \text{interaction-bound}' \ gpv \leq (\bigsqcup \text{generat} \in \text{set-spmf } (the-gpv \ gpv). \text{case generat of Pure } x \Rightarrow 0$

$$\begin{aligned} & | IO \text{ out } c \Rightarrow \text{if consider out then } eSuc (\bigsqcup \text{input. interaction-bound}' (c \\ \text{input})) \text{ else } \bigsqcup \text{input. interaction-bound}' (c \ \text{input})) \end{aligned}$$

**shows**  $(\bigsqcup \text{generat} \in \text{set-spmf } (the-gpv \ (p \ggg f))).$

$$\text{case generat of Pure } x \Rightarrow 0$$

$$| IO \text{ out } c \Rightarrow$$

$$\begin{aligned} & \text{if consider out then } eSuc (\sqcup \text{input. interaction-bound}' (c \text{ input})) \\ & \text{else } \sqcup \text{input. interaction-bound}' (c \text{ input}) \\ \leq & \text{interaction-bound } p + \\ & (\sqcup x \in \text{results}'\text{-gpv } p. \\ & \quad \sqcup \text{generat} \in \text{set-spmf } (the\text{-gpv } (f \ x)). \\ & \quad \text{case generat of Pure } x \Rightarrow 0 \\ & \quad | IO \text{ out } c \Rightarrow \\ & \quad \quad \text{if consider out then } eSuc (\sqcup \text{input. interaction-bound}' (c \text{ input})) \\ & \quad \quad \text{else } \sqcup \text{input. interaction-bound}' (c \text{ input})) \\ & (\text{is } (SUP \text{ generat}' \in ?\text{bind. } ?g \text{ generat}') \leq ?p + ?f) \\ \langle \text{proof} \rangle \end{aligned}$$

**lemma** *interaction-bound-bind*:

**defines**  $ib1 \equiv \text{interaction-bound}$

**shows**  $\text{interaction-bound } (p \ggg f) \leq ib1 \ p + (SUP \ x \in \text{results}'\text{-gpv } p. \text{interaction-bound } (f \ x))$

$\langle \text{proof} \rangle$

**lemma** *interaction-bound-bind-lift-spmf* [simp]:

$\text{interaction-bound } (\text{lift-spmf } p \ggg f) = (SUP \ x \in \text{set-spmf } p. \text{interaction-bound } (f \ x))$

$\langle \text{proof} \rangle$

**end**

**lemma** *interaction-bound-map-gpv'*:

**assumes** *surj h*

**shows**  $\text{interaction-bound consider } (\text{map-gpv}' \ f \ g \ h \ \text{gpv}) = \text{interaction-bound } (\text{consider } \circ \ g) \ \text{gpv}$

$\langle \text{proof} \rangle$

**abbreviation** *interaction-any-bound* ::  $(\text{'a}, \text{'out}, \text{'in}) \text{ gpv} \Rightarrow \text{enat}$

**where**  $\text{interaction-any-bound} \equiv \text{interaction-bound } (\lambda\text{-}. \text{True})$

**lemma** *interaction-any-bound-coinduct* [consumes 1, case-names *interaction-bound*]:

**assumes**  $X: X \text{ gpv } n$

**and**  $*$ :  $\bigwedge \text{gpv } n \ \text{out } c \ \text{input. } \llbracket X \ \text{gpv } n; IO \ \text{out } c \in \text{set-spmf } (the\text{-gpv } \text{gpv}) \rrbracket$

$\implies \exists n'. (X \ (c \ \text{input}) \ n' \vee \text{interaction-any-bound } (c \ \text{input}) \leq n') \wedge eSuc \ n' \leq$

$n$

**shows**  $\text{interaction-any-bound } \text{gpv} \leq n$

$\langle \text{proof} \rangle$

**context includes** *lifting-syntax* **begin**

**lemma** *interaction-bound-parametric'*:

**assumes** [transfer-rule]: *bi-total R*

**shows**  $((C \text{ ===== } (=)) \implies \text{rel-gpv'' } A \ C \ R \implies (=)) \ \text{interaction-bound } \text{interaction-bound}$

$\langle \text{proof} \rangle$

**lemma** *interaction-bound-parametric* [transfer-rule]:  
 $((C \text{ ===> } (=)) \text{ ===> } \text{rel-gpv } A \ C \text{ ===> } (=)) \text{ interaction-bound interaction-bound}$   
 <proof>  
**end**

There is no nice *interaction-bound* equation for ( $\gg$ ), as it computes an exact bound, but we only need an upper bound. As *enat* is hard to work with (and  $\infty$  does not constrain a *gpv* in any way), we work with *nat*.

**inductive** *interaction-bounded-by* :: ('out  $\Rightarrow$  bool)  $\Rightarrow$  ('a, 'out, 'in) *gpv*  $\Rightarrow$  *enat*  $\Rightarrow$  bool  
**for** *consider gpv n where*  
*interaction-bounded-by*:  $\llbracket \text{interaction-bound consider gpv } \leq n \rrbracket \Longrightarrow \text{interaction-bounded-by consider gpv } n$

**lemmas** *interaction-bounded-byI* = *interaction-bounded-by*  
**hide-fact** (**open**) *interaction-bounded-by*

**context includes** *lifting-syntax* **begin**

**lemma** *interaction-bounded-by-parametric* [transfer-rule]:  
 $((C \text{ ===> } (=)) \text{ ===> } \text{rel-gpv } A \ C \text{ ===> } (=) \text{ ===> } (=)) \text{ interaction-bounded-by interaction-bounded-by}$   
 <proof>

**lemma** *interaction-bounded-by-parametric'*:  
**notes** *interaction-bound-parametric'*[transfer-rule]  
**assumes** [transfer-rule]: *bi-total R*  
**shows**  $((C \text{ ===> } (=)) \text{ ===> } \text{rel-gpv}'' \ A \ C \ R \text{ ===> } (=) \text{ ===> } (=)) \text{ interaction-bounded-by interaction-bounded-by}$   
 <proof>  
**end**

**lemma** *interaction-bounded-by-mono*:  
 $\llbracket \text{interaction-bounded-by consider gpv } n; n \leq m \rrbracket \Longrightarrow \text{interaction-bounded-by consider gpv } m$   
 <proof>

**lemma** *interaction-bounded-by-contD*:  
 $\llbracket \text{interaction-bounded-by consider gpv } n; IO \ \text{out } c \in \text{set-spmf } (\text{the-gpv } \text{gpv}); \text{consider out} \rrbracket$   
 $\Longrightarrow n > 0 \wedge \text{interaction-bounded-by consider } (c \ \text{input}) \ (n - 1)$   
 <proof>

**lemma** *interaction-bounded-by-contD-ignore*:  
 $\llbracket \text{interaction-bounded-by consider gpv } n; IO \ \text{out } c \in \text{set-spmf } (\text{the-gpv } \text{gpv}) \rrbracket$   
 $\Longrightarrow \text{interaction-bounded-by consider } (c \ \text{input}) \ n$   
 <proof>

**lemma** *interaction-bounded-byI-epred*:

**assumes**  $\bigwedge \text{out } c. \llbracket \text{IO out } c \in \text{set-spmf } (\text{the-gpv } \text{gpv}); \text{consider out} \rrbracket \implies n \neq 0$   
 $\wedge (\forall \text{input}. \text{interaction-bounded-by consider } (c \text{ input}) (n - 1))$   
**and**  $\bigwedge \text{out } c \text{ input}. \llbracket \text{IO out } c \in \text{set-spmf } (\text{the-gpv } \text{gpv}); \neg \text{consider out} \rrbracket \implies$   
 $\text{interaction-bounded-by consider } (c \text{ input}) n$   
**shows**  $\text{interaction-bounded-by consider gpv } n$   
 $\langle \text{proof} \rangle$

**lemma** *interaction-bounded-by-IO:*

$\llbracket \text{IO out } c \in \text{set-spmf } (\text{the-gpv } \text{gpv}); \text{interaction-bounded-by consider gpv } n; \text{consider out} \rrbracket$   
 $\implies n \neq 0 \wedge \text{interaction-bounded-by consider } (c \text{ input}) (n - 1)$   
 $\langle \text{proof} \rangle$

**lemma** *interaction-bounded-by-0: interaction-bounded-by consider gpv 0  $\longleftrightarrow$  interaction-bound consider gpv = 0*  
 $\langle \text{proof} \rangle$

**abbreviation** *interaction-bounded-by'* ::  $(\text{'out} \Rightarrow \text{bool}) \Rightarrow (\text{'a}, \text{'out}, \text{'in}) \text{gpv} \Rightarrow \text{nat} \Rightarrow \text{bool}$   
**where**  $\text{interaction-bounded-by}' \text{ consider gpv } n \equiv \text{interaction-bounded-by consider gpv } (\text{enat } n)$

**named-theorems** *interaction-bound*

**lemmas** *interaction-bounded-by-start = interaction-bounded-by-mono*

**method** *interaction-bound-start = (rule interaction-bounded-by-start)*

**method** *interaction-bound-step uses add simp =*  
 $((\text{match conclusion in interaction-bounded-by - - -} \Rightarrow \text{fail} \mid - \Rightarrow \langle \text{solves } \langle \text{clarsimp simp add: simp} \rangle \rangle) \mid \text{rule add interaction-bound})$

**method** *interaction-bound-rec uses add simp =*  
 $(\text{interaction-bound-step add: add simp: simp; } (\text{interaction-bound-rec add: add simp: simp})?)$

**method** *interaction-bound uses add simp =*  
 $(\text{interaction-bound-start, interaction-bound-rec add: add simp: simp})$

**lemma** *interaction-bounded-by-Done [simp]: interaction-bounded-by consider (Done x) n*  
 $\langle \text{proof} \rangle$

**lemma** *interaction-bounded-by-DoneI [interaction-bound]:*  
 $\text{interaction-bounded-by consider (Done x) 0}$   
 $\langle \text{proof} \rangle$

**lemma** *interaction-bounded-by-Fail [simp]: interaction-bounded-by consider Fail n*  
 $\langle \text{proof} \rangle$

**lemma** *interaction-bounded-by-FailI [interaction-bound]: interaction-bounded-by consider Fail 0*

$\langle \text{proof} \rangle$

**lemma** *interaction-bounded-by-lift-spmf* [simp]: *interaction-bounded-by consider (lift-spmf p) n*  
 $\langle \text{proof} \rangle$

**lemma** *interaction-bounded-by-lift-spmfI* [interaction-bound]:  
*interaction-bounded-by consider (lift-spmf p) 0*  
 $\langle \text{proof} \rangle$

**lemma** *interaction-bounded-by-assert-gpv* [simp]: *interaction-bounded-by consider (assert-gpv b) n*  
 $\langle \text{proof} \rangle$

**lemma** *interaction-bounded-by-assert-gpvI* [interaction-bound]:  
*interaction-bounded-by consider (assert-gpv b) 0*  
 $\langle \text{proof} \rangle$

**lemma** *interaction-bounded-by-Pause* [simp]:  
*interaction-bounded-by consider (Pause out c) n  $\longleftrightarrow$*   
*(if consider out then  $0 < n \wedge (\forall \text{input. interaction-bounded-by consider (c input) (n - 1))$  else  $(\forall \text{input. interaction-bounded-by consider (c input) n)$ )*  
 $\langle \text{proof} \rangle$

**lemma** *interaction-bounded-by-PauseI* [interaction-bound]:  
*( $\bigwedge \text{input. interaction-bounded-by consider (c input) (n input)$ )*  
 *$\implies$  interaction-bounded-by consider (Pause out c) (if consider out then  $1 + (\text{SUP input. } n \text{ input})$  else  $(\text{SUP input. } n \text{ input})$ )*  
 $\langle \text{proof} \rangle$

**lemma** *interaction-bounded-by-bindI* [interaction-bound]:  
 *$\llbracket \text{interaction-bounded-by consider } \text{gpv } n; \bigwedge x. x \in \text{results}'\text{-gpv } \text{gpv} \implies \text{interaction-bounded-by consider (f x) (m x)} \rrbracket$*   
 *$\implies$  interaction-bounded-by consider (gpv  $\ggg$  f) (n + (SUP  $x \in \text{results}'\text{-gpv } \text{gpv. } m x$ ))*  
 $\langle \text{proof} \rangle$

**lemma** *interaction-bounded-by-bind-PauseI* [interaction-bound]:  
*( $\bigwedge \text{input. interaction-bounded-by consider (c input } \ggg \text{ f) (n input)$ )*  
 *$\implies$  interaction-bounded-by consider (Pause out c  $\ggg$  f) (if consider out then  $\text{SUP input. } n \text{ input} + 1$  else  $\text{SUP input. } n \text{ input}$ )*  
 $\langle \text{proof} \rangle$

**lemma** *interaction-bounded-by-bind-lift-spmf* [simp]:  
*interaction-bounded-by consider (lift-spmf p  $\ggg$  f) n  $\longleftrightarrow$  ( $\forall x \in \text{set-spmf } p. \text{interaction-bounded-by consider (f x) n}$ )*  
 $\langle \text{proof} \rangle$

**lemma** *interaction-bounded-by-bind-lift-spmfI* [interaction-bound]:



$(\bigwedge x. x \in \text{set-spmf } p \implies \text{interaction-bounded-by consider } (f x) (n x))$   
 $\implies \text{interaction-bounded-by consider } (\text{lift-spmf } p \gg\!\!\gg f) (\text{SUP } x \in \text{set-spmf } p. n x)$   
 <proof>

**lemma** *interaction-bounded-by-bind-DoneI* [*interaction-bound*]:  
 $\text{interaction-bounded-by consider } (f x) n \implies \text{interaction-bounded-by consider } (\text{Done } x \gg\!\!\gg f) n$   
 <proof>

**lemma** *interaction-bounded-by-if* [*interaction-bound*]:  
 $\llbracket b \implies \text{interaction-bounded-by consider } \text{gpv1 } n; \neg b \implies \text{interaction-bounded-by consider } \text{gpv2 } m \rrbracket$   
 $\implies \text{interaction-bounded-by consider } (\text{if } b \text{ then } \text{gpv1} \text{ else } \text{gpv2}) (\text{if } b \text{ then } n \text{ else } m)$   
 <proof>

**lemma** *interaction-bounded-by-case-bool* [*interaction-bound*]:  
 $\llbracket b \implies \text{interaction-bounded-by consider } t \text{ bt}; \neg b \implies \text{interaction-bounded-by consider } f \text{ bf} \rrbracket$   
 $\implies \text{interaction-bounded-by consider } (\text{case-bool } t \text{ f } b) (\text{if } b \text{ then } \text{bt} \text{ else } \text{bf})$   
 <proof>

**lemma** *interaction-bounded-by-case-sum* [*interaction-bound*]:  
 $\llbracket \bigwedge y. x = \text{Inl } y \implies \text{interaction-bounded-by consider } (l y) (bl y);$   
 $\bigwedge y. x = \text{Inr } y \implies \text{interaction-bounded-by consider } (r y) (br y) \rrbracket$   
 $\implies \text{interaction-bounded-by consider } (\text{case-sum } l \text{ r } x) (\text{case-sum } bl \text{ br } x)$   
 <proof>

**lemma** *interaction-bounded-by-case-prod* [*interaction-bound*]:  
 $(\bigwedge a \text{ b. } x = (a, b) \implies \text{interaction-bounded-by consider } (f a \text{ b}) (n a \text{ b}))$   
 $\implies \text{interaction-bounded-by consider } (\text{case-prod } f \text{ x}) (\text{case-prod } n \text{ x})$   
 <proof>

**lemma** *interaction-bounded-by-let* [*interaction-bound*]: — This rule unfolds let's  
 $\text{interaction-bounded-by consider } (f t) m \implies \text{interaction-bounded-by consider } (\text{Let } t \text{ f}) m$   
 <proof>

**lemma** *interaction-bounded-by-map-gpv-id* [*interaction-bound*]:  
**assumes** [*interaction-bound*]: *interaction-bounded-by P gpv n*  
**shows** *interaction-bounded-by P (map-gpv f id gpv) n*  
 <proof>

**abbreviation** *interaction-any-bounded-by* :: ('a, 'out, 'in) gpv  $\Rightarrow$  enat  $\Rightarrow$  bool  
**where** *interaction-any-bounded-by*  $\equiv$  *interaction-bounded-by* ( $\lambda\text{-}$ . True)

**lemma** *interaction-any-bounded-by-map-gpv'*:  
**assumes** *interaction-any-bounded-by gpv n*  
**and** *surj h*

**shows** *interaction-any-bounded-by* (*map-gpv' f g h gpv*) *n*  
 ⟨*proof*⟩

## 4.12 Typing

### 4.12.1 Interface between gpvs and rpvs / callees

**lemma** *is-empty-parametric* [*transfer-rule*]: *rel-fun* (*rel-set A*) (=) *Set.is-empty*  
*Set.is-empty*  
 ⟨*proof*⟩

**typedef** (*'call, 'ret*) *I* = *UNIV* :: (*'call* ⇒ *'ret set*) *set* ⟨*proof*⟩

**setup-lifting** *type-definition-I*

**lemma** *outs-I-tparametric*:  
**includes** *lifting-syntax*  
**assumes** [*transfer-rule*]: *bi-total A*  
**shows** ((*A* ==> *rel-set B*) ==> *rel-set A*) ( $\lambda$ *resps. {out. resps out ≠ {}}*)  
 ( $\lambda$ *resps. {out. resps out ≠ {}}*)  
 ⟨*proof*⟩

**lift-definition** *outs-I* :: (*'call, 'ret*) *I* ⇒ *'call set is*  $\lambda$ *resps. {out. resps out ≠ {}}*  
**parametric** *outs-I-tparametric* ⟨*proof*⟩

**lift-definition** *responses-I* :: (*'call, 'ret*) *I* ⇒ *'call* ⇒ *'ret set is*  $\lambda$ *x. x parametric*  
*id-transfer*[*unfolded id-def*] ⟨*proof*⟩

**lift-definition** *rel-I* :: (*'call* ⇒ *'call' ⇒ bool*) ⇒ (*'ret* ⇒ *'ret' ⇒ bool*) ⇒ (*'call,*  
*'ret*) *I* ⇒ (*'call', 'ret'*) *I* ⇒ *bool*  
**is**  $\lambda$ *C R resp1 resp2. rel-set C {out. resp1 out ≠ {}} {out. resp2 out ≠ {}} ∧*  
*rel-fun C (rel-set R) resp1 resp2*  
 ⟨*proof*⟩

**lemma** *rel-II* [*intro?*]:  
 [[ *rel-set C (outs-I I1) (outs-I I2);*  $\bigwedge x y. C x y \implies rel-set R (responses-I I1$   
*x) (responses-I I2 y)* ]]  
 ⇒ *rel-I C R I1 I2*  
 ⟨*proof*⟩

**lemma** *rel-I-eq* [*relator-eq*]: *rel-I* (=) (=) = (=)  
 ⟨*proof*⟩

**lemma** *rel-I-conversep* [*simp*]: *rel-I C*<sup>-1-1</sup> *R*<sup>-1-1</sup> = (*rel-I C R*)<sup>-1-1</sup>  
 ⟨*proof*⟩

**lemma** *rel-I-conversep1-eq* [*simp*]: *rel-I C*<sup>-1-1</sup> (=) = (*rel-I C* (=))<sup>-1-1</sup>  
 ⟨*proof*⟩

**lemma** *rel-I-conversep2-eq* [*simp*]: *rel-I* (=) *R*<sup>-1-1</sup> = (*rel-I* (=) *R*)<sup>-1-1</sup>  
 ⟨*proof*⟩

**lemma** *responses-I-empty-iff*:  $\text{responses-I } \mathcal{I} \text{ out} = \{\}$   $\longleftrightarrow$   $\text{out} \notin \text{outs-I } \mathcal{I}$   
**including** *I.lifting*  $\langle \text{proof} \rangle$

**lemma** *in-outs-I-iff-responses-I*:  $\text{out} \in \text{outs-I } \mathcal{I} \longleftrightarrow \text{responses-I } \mathcal{I} \text{ out} \neq \{\}$   
 $\langle \text{proof} \rangle$

**lift-definition** *I-full* :: (*'call*, *'ret*)  $\mathcal{I}$  **is**  $\lambda\cdot$ . *UNIV*  $\langle \text{proof} \rangle$

**lemma** *I-full-sel* [*simp*]:  
**shows** *outs-I-full*:  $\text{outs-I } \mathcal{I}\text{-full} = \text{UNIV}$   
**and** *responses-I-full*:  $\text{responses-I } \mathcal{I}\text{-full } x = \text{UNIV}$   
 $\langle \text{proof} \rangle$

**context includes** *lifting-syntax* **begin**

**lemma** *outs-I-parametric* [*transfer-rule*]:  $(\text{rel-I } C R \implies \text{rel-set } C) \text{outs-I}$   
 $\text{outs-I}$   
 $\langle \text{proof} \rangle$

**lemma** *responses-I-parametric* [*transfer-rule*]:  
 $(\text{rel-I } C R \implies C \implies \text{rel-set } R) \text{responses-I responses-I}$   
 $\langle \text{proof} \rangle$

**end**

**definition** *I-trivial* :: (*'out*, *'in*)  $\mathcal{I} \Rightarrow \text{bool}$   
**where** *I-trivial*  $\mathcal{I} \longleftrightarrow \text{outs-I } \mathcal{I} = \text{UNIV}$

**lemma** *I-trivialI* [*intro?*]:  $(\bigwedge x. x \in \text{outs-I } \mathcal{I}) \implies \text{I-trivial } \mathcal{I}$   
 $\langle \text{proof} \rangle$

**lemma** *I-trivialD*:  $\text{I-trivial } \mathcal{I} \implies \text{outs-I } \mathcal{I} = \text{UNIV}$   
 $\langle \text{proof} \rangle$

**lemma** *I-trivial-I-full* [*simp*]: *I-trivial*  $\mathcal{I}\text{-full}$   
 $\langle \text{proof} \rangle$

**lifting-update** *I.lifting*  
**lifting-forget** *I.lifting*

**context includes** *I.lifting* **begin**

**lift-definition** *I-uniform* :: *'out set*  $\Rightarrow$  *'in set*  $\Rightarrow$  (*'out*, *'in*)  $\mathcal{I}$  **is**  $\lambda A B x. \text{if } x \in A \text{ then } B \text{ else } \{\}$   $\langle \text{proof} \rangle$

**lemma** *outs-I-uniform* [*simp*]:  $\text{outs-I } (\text{I-uniform } A B) = (\text{if } B = \{\} \text{ then } \{\} \text{ else } A)$   
 $\langle \text{proof} \rangle$

**lemma** *responses- $\mathcal{I}$ -uniform* [simp]: *responses- $\mathcal{I}$  ( $\mathcal{I}$ -uniform  $A B$ )  $x = (if\ x \in A$*   
*then  $B$  else  $\{\}$ )*

*\langle proof \rangle*

**lemma**  *$\mathcal{I}$ -uniform-UNIV* [simp]:  *$\mathcal{I}$ -uniform UNIV UNIV =  $\mathcal{I}$ -full*

*\langle proof \rangle*

**lift-definition** *map- $\mathcal{I}$*  :: *('out'  $\Rightarrow$  'out')  $\Rightarrow$  ('in'  $\Rightarrow$  'in')  $\Rightarrow$  ('out, 'in)  $\mathcal{I} \Rightarrow$  ('out',*  
*'in')  $\mathcal{I}$*

**is**  *$\lambda f\ g\ resp\ x.\ g\ \text{' resp } (f\ x)$*  *\langle proof \rangle*

**lemma** *outs- $\mathcal{I}$ -map- $\mathcal{I}$*  [simp]:

*outs- $\mathcal{I}$  (map- $\mathcal{I}$   $f\ g\ \mathcal{I}) = f\ \text{' outs- $\mathcal{I}$   $\mathcal{I}$$*

*\langle proof \rangle*

**lemma** *responses- $\mathcal{I}$ -map- $\mathcal{I}$*  [simp]:

*responses- $\mathcal{I}$  (map- $\mathcal{I}$   $f\ g\ \mathcal{I})\ x = g\ \text{' responses- $\mathcal{I}$   $\mathcal{I}$  (f x)$*

*\langle proof \rangle*

**lemma** *map- $\mathcal{I}$ - $\mathcal{I}$ -uniform* [simp]:

*map- $\mathcal{I}$   $f\ g$  ( $\mathcal{I}$ -uniform  $A B$ ) =  $\mathcal{I}$ -uniform (f -'  $A$ ) (g -'  $B$ )*

*\langle proof \rangle*

**lemma** *map- $\mathcal{I}$ -id* [simp]: *map- $\mathcal{I}$  id id  $\mathcal{I} = \mathcal{I}$*

*\langle proof \rangle*

**lemma** *map- $\mathcal{I}$ -id0*: *map- $\mathcal{I}$  id id = id*

*\langle proof \rangle*

**lemma** *map- $\mathcal{I}$ -comp* [simp]: *map- $\mathcal{I}$   $f\ g$  (map- $\mathcal{I}$   $f'\ g'\ \mathcal{I}) = map- $\mathcal{I}$  (f'  $\circ$  f) (g  $\circ$  g')$*   
 *$\mathcal{I}$*

*\langle proof \rangle*

**lemma** *map- $\mathcal{I}$ -cong*: *map- $\mathcal{I}$   $f\ g\ \mathcal{I} = map- $\mathcal{I}$  f' g'  $\mathcal{I}'$$*

**if**  *$\mathcal{I} = \mathcal{I}'$  and  $f: f = f'$  and  $\bigwedge x\ y.\ \llbracket x \in outs- $\mathcal{I}$   $\mathcal{I}'$ ; y \in responses- $\mathcal{I}$   $\mathcal{I}'\ x \rrbracket \implies$$*   
 *$g\ y = g'\ y$*

*\langle proof \rangle*

**lifting-update**  *$\mathcal{I}$ .lifting*

**lifting-forget**  *$\mathcal{I}$ .lifting*

**end**

**functor** *map- $\mathcal{I}$*  *\langle proof \rangle*

**lemma**  *$\mathcal{I}$ -eqI*:  *$\llbracket outs- $\mathcal{I}$   $\mathcal{I} = outs- $\mathcal{I}$   $\mathcal{I}'$ ; \bigwedge x.\ x \in outs- $\mathcal{I}$   $\mathcal{I}' \implies responses- $\mathcal{I}$   $\mathcal{I}\ x =$$$$*   
 *$responses- $\mathcal{I}$   $\mathcal{I}'\ x \rrbracket \implies \mathcal{I} = \mathcal{I}'$$*

**including**  *$\mathcal{I}$ .lifting* *\langle proof \rangle*

**instantiation**  *$\mathcal{I}$*  :: *(type, type) order begin*

**definition**  $less\text{-}eq\text{-}\mathcal{I} :: ('a, 'b) \mathcal{I} \Rightarrow ('a, 'b) \mathcal{I} \Rightarrow bool$   
**where**  $le\text{-}\mathcal{I}\text{-}def: less\text{-}eq\text{-}\mathcal{I} \mathcal{I} \mathcal{I}' \longleftrightarrow outs\text{-}\mathcal{I} \mathcal{I} \subseteq outs\text{-}\mathcal{I} \mathcal{I}' \wedge (\forall x \in outs\text{-}\mathcal{I} \mathcal{I}. responses\text{-}\mathcal{I} \mathcal{I}' x \subseteq responses\text{-}\mathcal{I} \mathcal{I} x)$

**definition**  $less\text{-}\mathcal{I} :: ('a, 'b) \mathcal{I} \Rightarrow ('a, 'b) \mathcal{I} \Rightarrow bool$   
**where**  $less\text{-}\mathcal{I} = mk\text{-}less (\leq)$

**instance**  
 $\langle proof \rangle$   
**end**

**instantiation**  $\mathcal{I} :: (type, type) order\text{-}bot$  **begin**  
**definition**  $bot\text{-}\mathcal{I} :: ('a, 'b) \mathcal{I}$  **where**  $bot\text{-}\mathcal{I} = \mathcal{I}\text{-}uniform \{\}$   $UNIV$   
**instance**  $\langle proof \rangle$   
**end**

**lemma**  $outs\text{-}\mathcal{I}\text{-}bot$   $[simp]: outs\text{-}\mathcal{I} bot = \{\}$   
 $\langle proof \rangle$

**lemma**  $responses\text{-}\mathcal{I}\text{-}bot$   $[simp]: responses\text{-}\mathcal{I} bot x = \{\}$   
 $\langle proof \rangle$

**lemma**  $outs\text{-}\mathcal{I}\text{-}mono: \mathcal{I} \leq \mathcal{I}' \Longrightarrow outs\text{-}\mathcal{I} \mathcal{I} \subseteq outs\text{-}\mathcal{I} \mathcal{I}'$   
 $\langle proof \rangle$

**lemma**  $responses\text{-}\mathcal{I}\text{-}mono: \llbracket \mathcal{I} \leq \mathcal{I}'; x \in outs\text{-}\mathcal{I} \mathcal{I} \rrbracket \Longrightarrow responses\text{-}\mathcal{I} \mathcal{I}' x \subseteq responses\text{-}\mathcal{I} \mathcal{I} x$   
 $\langle proof \rangle$

**lemma**  $\mathcal{I}\text{-}uniform\text{-}empty$   $[simp]: \mathcal{I}\text{-}uniform \{\} A = bot$   
 $\langle proof \rangle$  **including**  $\mathcal{I}\text{-}lifting$   $\langle proof \rangle$

**lemma**  $\mathcal{I}\text{-}uniform\text{-}mono:$   
 $\mathcal{I}\text{-}uniform A B \leq \mathcal{I}\text{-}uniform C D$  **if**  $A \subseteq C$   $D \subseteq B$   $D = \{\} \longrightarrow B = \{\}$   
 $\langle proof \rangle$

**context begin**

**qualified inductive**  $resultsp\text{-}gpv :: ('out, 'in) \mathcal{I} \Rightarrow 'a \Rightarrow ('a, 'out, 'in) gpv \Rightarrow bool$   
**for**  $\Gamma x$

**where**

$Pure: Pure x \in set\text{-}spmf (the\text{-}gpv gpv) \Longrightarrow resultsp\text{-}gpv \Gamma x gpv$

|  $IO:$

$\llbracket IO out c \in set\text{-}spmf (the\text{-}gpv gpv); input \in responses\text{-}\mathcal{I} \Gamma out; resultsp\text{-}gpv \Gamma x (c input) \rrbracket$

$\Longrightarrow resultsp\text{-}gpv \Gamma x gpv$

**definition**  $results\text{-}gpv :: ('out, 'in) \mathcal{I} \Rightarrow ('a, 'out, 'in) gpv \Rightarrow 'a set$

**where**  $results\text{-}gpv \ \Gamma \ gpv \equiv \{x. results\text{-}gpv \ \Gamma \ x \ gpv\}$

**lemma**  $results\text{-}gpv\text{-}results\text{-}gpv\text{-}eq$  [*pred-set-conv*]:  $results\text{-}gpv \ \Gamma \ x \ gpv \longleftrightarrow x \in results\text{-}gpv \ \Gamma \ gpv$   
(*proof*)

**context begin**

(*ML*)

**lemmas**  $intros$  [*intro?*] =  $results\text{-}gpv.intros[to\text{-}set]$   
  **and**  $Pure = Pure[to\text{-}set]$   
  **and**  $IO = IO[to\text{-}set]$   
  **and**  $induct$  [*consumes 1, case-names Pure IO, induct set: results-gpv*] =  $results\text{-}gpv.induct[to\text{-}set]$   
  **and**  $cases$  [*consumes 1, case-names Pure IO, cases set: results-gpv*] =  $results\text{-}gpv.cases[to\text{-}set]$   
  **and**  $simps = results\text{-}gpv.simps[to\text{-}set]$   
**end**

**inductive-simps**  $results\text{-}gpv\text{-}GPV$  [*to-set, simp*]:  $results\text{-}gpv \ \Gamma \ x \ (GPV \ gpv)$

**end**

**lemma**  $results\text{-}gpv\text{-}Done$  [*iff*]:  $results\text{-}gpv \ \Gamma \ (Done \ x) = \{x\}$   
(*proof*)

**lemma**  $results\text{-}gpv\text{-}Fail$  [*iff*]:  $results\text{-}gpv \ \Gamma \ Fail = \{\}$   
(*proof*)

**lemma**  $results\text{-}gpv\text{-}Pause$  [*simp*]:  
   $results\text{-}gpv \ \Gamma \ (Pause \ out \ c) = (\bigcup input \in responses\text{-}\mathcal{I} \ \Gamma \ out. results\text{-}gpv \ \Gamma \ (c \ input))$   
(*proof*)

**lemma**  $results\text{-}gpv\text{-}lift\text{-}spm\text{-}f$  [*iff*]:  $results\text{-}gpv \ \Gamma \ (lift\text{-}spm\text{-}f \ p) = set\text{-}spm\text{-}f \ p$   
(*proof*)

**lemma**  $results\text{-}gpv\text{-}assert\text{-}gpv$  [*simp*]:  $results\text{-}gpv \ \Gamma \ (assert\text{-}gpv \ b) = (if \ b \ then \ \{\}\ else \ \{\})$   
(*proof*)

**lemma**  $results\text{-}gpv\text{-}bind\text{-}gpv$  [*simp*]:  
   $results\text{-}gpv \ \Gamma \ (gpv \ggg \ f) = (\bigcup x \in results\text{-}gpv \ \Gamma \ gpv. results\text{-}gpv \ \Gamma \ (f \ x))$   
  (**is** ?*lhs* = ?*rhs*)  
(*proof*)

**lemma**  $results\text{-}gpv\text{-}\mathcal{I}\text{-full}$ :  $results\text{-}gpv \ \mathcal{I}\text{-full} = results'\text{-}gpv$   
(*proof*)

**lemma**  $results'\text{-}bind\text{-}gpv$  [*simp*]:  
   $results'\text{-}gpv \ (bind\text{-}gpv \ gpv \ f) = (\bigcup x \in results'\text{-}gpv \ gpv. results'\text{-}gpv \ (f \ x))$

*<proof>*

**lemma** *results-gpv-map-gpv-id* [simp]: *results-gpv*  $\mathcal{I}$  (*map-gpv* *f id* *gpv*) = *f* ‘ *results-gpv*  $\mathcal{I}$  *gpv*  
*<proof>*

**lemma** *results-gpv-map-gpv-id'* [simp]: *results-gpv*  $\mathcal{I}$  (*map-gpv* *f* ( $\lambda x. x$ ) *gpv*) = *f* ‘ *results-gpv*  $\mathcal{I}$  *gpv*  
*<proof>*

**lemma** *pred-gpv-bind* [simp]: *pred-gpv* *P Q* (*bind-gpv* *gpv f*) = *pred-gpv* (*pred-gpv* *P Q*  $\circ$  *f*) *Q gpv*  
*<proof>*

**lemma** *results'-gpv-bind-option* [simp]:  
*results'-gpv* (*monad.bind-option* *Fail x f*) = ( $\bigcup_{y \in \text{set-option } x. \text{results'-gpv } (f y)}$ )  
*<proof>*

**lemma** *results'-gpv-map-gpv'*:  
**assumes** *surj h*  
**shows** *results'-gpv* (*map-gpv'* *f g h gpv*) = *f* ‘ *results'-gpv* *gpv* (**is** ?lhs = ?rhs)  
*<proof>*

**lemma** *bind-gpv-bind-option-assoc*:  
*bind-gpv* (*monad.bind-option* *Fail x f*) *g* = *monad.bind-option* *Fail x* ( $\lambda x. \text{bind-gpv}$  (*f x*) *g*)  
*<proof>*

**context begin**

**qualified inductive** *outsp-gpv* :: (*'out, 'in*)  $\mathcal{I} \Rightarrow 'out \Rightarrow ('a, 'out, 'in) \text{gpv} \Rightarrow \text{bool}$   
**for**  $\mathcal{I}$  *x* **where**  
  *IO*: *IO* *x c*  $\in \text{set-spmf } (\text{the-gpv } \text{gpv}) \implies \text{outsp-gpv } \mathcal{I} \text{ } x \text{ gpv}$   
  | *Cont*:  $\llbracket \text{IO } \text{out } \text{rpv} \in \text{set-spmf } (\text{the-gpv } \text{gpv}); \text{input} \in \text{responses-}\mathcal{I} \text{ } \mathcal{I} \text{ } \text{out}; \text{outsp-gpv}$   
   $\mathcal{I} \text{ } x \text{ (rpv input)} \rrbracket$   
   $\implies \text{outsp-gpv } \mathcal{I} \text{ } x \text{ gpv}$

**definition** *outs-gpv* :: (*'out, 'in*)  $\mathcal{I} \Rightarrow ('a, 'out, 'in) \text{gpv} \Rightarrow 'out \text{ set}$   
**where** *outs-gpv*  $\mathcal{I}$  *gpv*  $\equiv \{x. \text{outsp-gpv } \mathcal{I} \text{ } x \text{ gpv}\}$

**lemma** *outsp-gpv-outs-gpv-eq* [pred-set-conv]: *outsp-gpv*  $\mathcal{I}$  *x* = ( $\lambda \text{gpv}. x \in \text{outs-gpv}$   $\mathcal{I}$  *gpv*)  
*<proof>*

**context begin**

*<ML>*

**lemmas** *intros* [*intro?*] = *outsp-gpv.intros*[*to-set*]  
**and** *IO* = *IO*[*to-set*]  
**and** *Cont* = *Cont*[*to-set*]

**and** *induct* [*consumes 1, case-names IO Cont, induct set: outs-gpv*] = *outsp-gpv.induct[to-set]*  
**and** *cases* [*consumes 1, case-names IO Cont, cases set: outs-gpv*] = *outsp-gpv.cases[to-set]*  
**and** *simps* = *outsp-gpv.simps[to-set]*  
**end**

**inductive-simps** *outs-gpv-GPV* [*to-set, simp*]: *outsp-gpv*  $\mathcal{I}$  *x* (*GPV gpv*)

**end**

**lemma** *outs-gpv-Done* [*iff*]: *outs-gpv*  $\mathcal{I}$  (*Done x*) = {}  
 ⟨*proof*⟩

**lemma** *outs-gpv-Fail* [*iff*]: *outs-gpv*  $\mathcal{I}$  *Fail* = {}  
 ⟨*proof*⟩

**lemma** *outs-gpv-Pause* [*simp*]:  
*outs-gpv*  $\mathcal{I}$  (*Pause out c*) = *insert out* ( $\bigcup$  *input* ∈ *responses- $\mathcal{I}$*   $\mathcal{I}$  *out*. *outs-gpv*  $\mathcal{I}$  (*c input*))  
 ⟨*proof*⟩

**lemma** *outs-gpv-lift-spmf* [*iff*]: *outs-gpv*  $\mathcal{I}$  (*lift-spmf p*) = {}  
 ⟨*proof*⟩

**lemma** *outs-gpv-assert-gpv* [*simp*]: *outs-gpv*  $\mathcal{I}$  (*assert-gpv b*) = {}  
 ⟨*proof*⟩

**lemma** *outs-gpv-bind-gpv* [*simp*]:  
*outs-gpv*  $\mathcal{I}$  (*gpv*  $\ggg$  *f*) = *outs-gpv*  $\mathcal{I}$  *gpv*  $\cup$  ( $\bigcup$  *x* ∈ *results-gpv*  $\mathcal{I}$  *gpv*. *outs-gpv*  $\mathcal{I}$  (*f x*))  
 (**is** ?*lhs* = ?*rhs*)  
 ⟨*proof*⟩

**lemma** *outs-gpv- $\mathcal{I}$ -full*: *outs-gpv*  $\mathcal{I}$ -full = *outs'-gpv*  
 ⟨*proof*⟩

**lemma** *outs'-bind-gpv* [*simp*]:  
*outs'-gpv* (*bind-gpv gpv f*) = *outs'-gpv gpv*  $\cup$  ( $\bigcup$  *x* ∈ *results'-gpv gpv*. *outs'-gpv* (*f x*))  
 ⟨*proof*⟩

**lemma** *outs-gpv-map-gpv-id* [*simp*]: *outs-gpv*  $\mathcal{I}$  (*map-gpv f id gpv*) = *outs-gpv*  $\mathcal{I}$  *gpv*  
 ⟨*proof*⟩

**lemma** *outs-gpv-map-gpv-id'* [*simp*]: *outs-gpv*  $\mathcal{I}$  (*map-gpv f* ( $\lambda x. x$ ) *gpv*) = *outs-gpv*  $\mathcal{I}$  *gpv*  
 ⟨*proof*⟩

**lemma** *outs'-gpv-bind-option* [*simp*]:



$outs'-gpv \text{ (monad.bind-option Fail } x f) = (\bigcup_{y \in \text{set-option } x} outs'-gpv (f y))$   
 ⟨proof⟩

**lemma** *rel-gpv''-Grp: includes lifting-syntax shows*  
 $rel-gpv'' (BNF-Def.Grp A f) (BNF-Def.Grp B g) (BNF-Def.Grp UNIV h)^{-1-1}$   
 =  
 $BNF-Def.Grp \{x. results-gpv (\mathcal{I}\text{-uniform } UNIV (range h)) x \subseteq A \wedge outs-gpv$   
 $(\mathcal{I}\text{-uniform } UNIV (range h)) x \subseteq B\} (map-gpv' f g h)$   
 (is ?lhs = ?rhs)  
 ⟨proof⟩

**inductive** *pred-gpv'* :: ('a ⇒ bool) ⇒ ('out ⇒ bool) ⇒ 'in set ⇒ ('a, 'out, 'in) gpv  
 ⇒ bool **for** P Q X gpv **where**  
 $pred-gpv' P Q X gpv$   
**if**  $\bigwedge x. x \in results-gpv (\mathcal{I}\text{-uniform } UNIV X) gpv \implies P x \wedge out. out \in outs-gpv$   
 $(\mathcal{I}\text{-uniform } UNIV X) gpv \implies Q out$

**lemma** *pred-gpv-conv-pred-gpv'*:  $pred-gpv P Q = pred-gpv' P Q UNIV$   
 ⟨proof⟩

**lemma** *rel-gpv''-map-gpv'1*:  
 $rel-gpv'' A C (BNF-Def.Grp UNIV h)^{-1-1} gpv gpv' \implies rel-gpv'' A C (=)$   
 $(map-gpv' id id h gpv) gpv'$   
 ⟨proof⟩

**lemma** *rel-gpv''-map-gpv'2*:  
 $rel-gpv'' A C (eq-on (range h)) gpv gpv' \implies rel-gpv'' A C (BNF-Def.Grp UNIV$   
 $h)^{-1-1} gpv (map-gpv' id id h gpv')$   
 ⟨proof⟩

**context**

**fixes** A :: 'a ⇒ 'd ⇒ bool  
**and** C :: 'c ⇒ 'g ⇒ bool  
**and** R :: 'b ⇒ 'e ⇒ bool

**begin**

**private lemma** *f11*:  $Pure x \in \text{set-spmf (the-gpv gpv)} \implies$   
 $Domainp (rel-generat A C (rel-fun R (rel-gpv'' A C R))) (Pure x) \implies Domainp$   
 A x

⟨proof⟩ **lemma** *f21*:  $IO out c \in \text{set-spmf (the-gpv gpv)} \implies$   
 $rel-generat A C (rel-fun R (rel-gpv'' A C R)) (IO out c) ba \implies Domainp C out$

⟨proof⟩ **lemma** *f12*:

**assumes**  $IO out c \in \text{set-spmf (the-gpv gpv)}$

**and**  $input \in \text{responses-}\mathcal{I} (\mathcal{I}\text{-uniform } UNIV \{x. Domainp R x\}) out$

**and**  $x \in results-gpv (\mathcal{I}\text{-uniform } UNIV \{x. Domainp R x\}) (c input)$

**and**  $Domainp (rel-gpv'' A C R) gpv$

**shows**  $Domainp (rel-gpv'' A C R) (c input)$

⟨proof⟩ **lemma** *f22*:

**assumes**  $IO out' rpv \in \text{set-spmf (the-gpv gpv)}$

**and**  $input \in responses\mathcal{I}$  ( $\mathcal{I}$ -uniform UNIV  $\{x. Domainp R x\}$ )  $out'$   
**and**  $out \in outs\text{-}gpv$  ( $\mathcal{I}$ -uniform UNIV  $\{x. Domainp R x\}$ ) ( $rpv\ input$ )  
**and**  $Domainp$  ( $rel\text{-}gpv'' A C R$ )  $gpv$   
**shows**  $Domainp$  ( $rel\text{-}gpv'' A C R$ ) ( $rpv\ input$ )  
 $\langle proof \rangle$

**lemma**  $Domainp\text{-}rel\text{-}gpv''\text{-}le$ :

$Domainp$  ( $rel\text{-}gpv'' A C R$ )  $\leq pred\text{-}gpv'$  ( $Domainp A$ ) ( $Domainp C$ )  $\{x. Domainp R x\}$   
 $\langle proof \rangle$

**end**

**lemma**  $map\text{-}gpv'\text{-}id12$ :  $map\text{-}gpv' f g h\ gpv = map\text{-}gpv' id id h$  ( $map\text{-}gpv f g\ gpv$ )  
 $\langle proof \rangle$

**lemma**  $rel\text{-}gpv''\text{-}reft$ :  $\llbracket (=) \leq A; (=) \leq C; R \leq (=) \rrbracket \implies (=) \leq rel\text{-}gpv'' A C R$   
 $\langle proof \rangle$

**context**

**fixes**  $A A' :: 'a \Rightarrow 'b \Rightarrow bool$   
**and**  $C C' :: 'c \Rightarrow 'd \Rightarrow bool$   
**and**  $R R' :: 'e \Rightarrow 'f \Rightarrow bool$

**begin**

**private abbreviation**  $foo$  **where**

$foo \equiv (\lambda fx\ fy\ gpvx\ gpvy\ g1\ g2.$   
 $\quad \forall x\ y. x \in fx$  ( $\mathcal{I}$ -uniform UNIV ( $Collect$  ( $Domainp R'$ )))  $gpx \longrightarrow$   
 $\quad y \in fy$  ( $\mathcal{I}$ -uniform UNIV ( $Collect$  ( $Rangep R'$ )))  $gpy \longrightarrow g1\ x\ y$   
 $\longrightarrow g2\ x\ y)$

**private lemma**  $f1$ :  $foo\ results\text{-}gpv\ results\text{-}gpv\ gpv\ gpv' A A' \implies$

$x \in set\text{-}spmf$  ( $the\text{-}gpv\ gpv$ )  $\implies y \in set\text{-}spmf$  ( $the\text{-}gpv\ gpv'$ )  $\implies$   
 $a \in generat\text{-}conts\ x \implies b \in generat\text{-}conts\ y \implies R' a' \alpha \implies R' \beta b' \implies$   
 $foo\ results\text{-}gpv\ results\text{-}gpv$  ( $a\ a'$ ) ( $b\ b'$ )  $A\ A'$

$\langle proof \rangle$  **lemma**  $f2$ :  $foo\ outs\text{-}gpv\ outs\text{-}gpv\ gpv\ gpv' C C' \implies$

$x \in set\text{-}spmf$  ( $the\text{-}gpv\ gpv$ )  $\implies y \in set\text{-}spmf$  ( $the\text{-}gpv\ gpv'$ )  $\implies$   
 $a \in generat\text{-}conts\ x \implies b \in generat\text{-}conts\ y \implies R' a' \alpha \implies R' \beta b' \implies$   
 $foo\ outs\text{-}gpv\ outs\text{-}gpv$  ( $a\ a'$ ) ( $b\ b'$ )  $C\ C'$

$\langle proof \rangle$

**lemma**  $rel\text{-}gpv''\text{-}mono\text{-}strong$ :

$\llbracket rel\text{-}gpv'' A C R\ gpv\ gpv';$   
 $\quad \bigwedge x\ y. \llbracket x \in results\text{-}gpv$  ( $\mathcal{I}$ -uniform UNIV  $\{x. Domainp R' x\}$ )  $gpv; y \in$   
 $results\text{-}gpv$  ( $\mathcal{I}$ -uniform UNIV  $\{x. Rangep R' x\}$ )  $gpv'; A\ x\ y \rrbracket \implies A' x\ y;$   
 $\quad \bigwedge x\ y. \llbracket x \in outs\text{-}gpv$  ( $\mathcal{I}$ -uniform UNIV  $\{x. Domainp R' x\}$ )  $gpv; y \in outs\text{-}gpv$   
 $(\mathcal{I}\text{-uniform UNIV } \{x. Rangep R' x\})\ gpv'; C\ x\ y \rrbracket \implies C' x\ y;$

$R' \leq R$  ]  
 $\implies \text{rel-gpv}'' A' C' R' \text{ gpv } \text{gpv}'$   
 <proof>

**end**

**lemma** *rel-gpv''-reft-strong*:

**assumes**  $\bigwedge x. x \in \text{results-gpv } (\mathcal{I}\text{-uniform UNIV } \{x. \text{Domainp } R \ x\}) \text{ gpv} \implies A$   
 $x \ x$   
**and**  $\bigwedge x. x \in \text{outs-gpv } (\mathcal{I}\text{-uniform UNIV } \{x. \text{Domainp } R \ x\}) \text{ gpv} \implies C \ x \ x$   
**and**  $R \leq (=)$   
**shows**  $\text{rel-gpv}'' A \ C \ R \ \text{gpv} \ \text{gpv}$   
 <proof>

**lemma** *rel-gpv''-reft-eq-on*:

$\llbracket \bigwedge x. x \in \text{results-gpv } (\mathcal{I}\text{-uniform UNIV } X) \text{ gpv} \implies A \ x \ x; \bigwedge \text{out}. \text{out} \in \text{outs-gpv}$   
 $(\mathcal{I}\text{-uniform UNIV } X) \text{ gpv} \implies B \ \text{out} \ \text{out} \rrbracket$   
 $\implies \text{rel-gpv}'' A \ B \ (\text{eq-on } X) \ \text{gpv} \ \text{gpv}$   
 <proof>

**lemma** *pred-gpv'-mono' [mono]*:

$\text{pred-gpv}' A \ C \ R \ \text{gpv} \longrightarrow \text{pred-gpv}' A' \ C' \ R \ \text{gpv}$   
**if**  $\bigwedge x. A \ x \longrightarrow A' \ x \ \bigwedge x. C \ x \longrightarrow C' \ x$   
 <proof>

#### 4.12.2 Type judgements

**coinductive** *WT-gpv* :: ('out, 'in)  $\mathcal{I} \Rightarrow ('a, 'out, 'in) \text{ gpv} \Rightarrow \text{bool}$  ( $\langle\langle(-)/\vdash_g(-)\rangle\rangle$   
 $\checkmark$ ) $\triangleright [100, 0] \ 99$ )

**for**  $\Gamma$

**where**

$(\bigwedge \text{out } c. \text{IO out } c \in \text{set-spmf } \text{gpv} \implies \text{out} \in \text{outs-}\mathcal{I} \ \Gamma \wedge (\forall \text{input} \in \text{responses-}\mathcal{I} \ \Gamma$   
 $\text{out}. \Gamma \vdash_g c \ \text{input} \ \checkmark))$   
 $\implies \Gamma \vdash_g \text{GPV } \text{gpv} \ \checkmark$

**lemma** *WT-gpv-coinduct [consumes 1, case-names WT-gpv, case-conclusion WT-gpv*  
*out cont, coinduct pred: WT-gpv]*:

**assumes** \*:  $X \ \text{gpv}$

**and** *step*:  $\bigwedge \text{gpv out } c.$

$\llbracket X \ \text{gpv}; \text{IO out } c \in \text{set-spmf } (\text{the-gpv } \text{gpv}) \rrbracket$

$\implies \text{out} \in \text{outs-}\mathcal{I} \ \Gamma \wedge (\forall \text{input} \in \text{responses-}\mathcal{I} \ \Gamma \ \text{out}. X \ (c \ \text{input}) \vee \Gamma \vdash_g c \ \text{input}$

$\checkmark)$

**shows**  $\Gamma \vdash_g \text{gpv} \ \checkmark$

<proof>

**lemma** *WT-gpv-simps*:

$\Gamma \vdash_g \text{GPV } \text{gpv} \ \checkmark \longleftrightarrow$

$(\forall \text{out } c. \text{IO out } c \in \text{set-spmf } \text{gpv} \longrightarrow \text{out} \in \text{outs-}\mathcal{I} \ \Gamma \wedge (\forall \text{input} \in \text{responses-}\mathcal{I} \ \Gamma$   
 $\text{out}. \Gamma \vdash_g c \ \text{input} \ \checkmark))$

$\langle proof \rangle$

**lemma** *WT-gpvI*:

$(\bigwedge out\ c. IO\ out\ c \in set\ spmf\ (the\ gpv\ gpv) \implies out \in outs\ \mathcal{I}\ \Gamma \wedge (\forall input \in responses\ \mathcal{I}\ \Gamma\ out. \Gamma \vdash_g\ c\ input\ \checkmark))$   
 $\implies \Gamma \vdash_g\ gpv\ \checkmark$   
 $\langle proof \rangle$

**lemma** *WT-gpvD*:

**assumes**  $\Gamma \vdash_g\ gpv\ \checkmark$   
**shows** *WT-gpv-OutD*:  $IO\ out\ c \in set\ spmf\ (the\ gpv\ gpv) \implies out \in outs\ \mathcal{I}\ \Gamma$   
**and** *WT-gpv-ContD*:  $\llbracket IO\ out\ c \in set\ spmf\ (the\ gpv\ gpv); input \in responses\ \mathcal{I}\ \Gamma\ out \rrbracket \implies \Gamma \vdash_g\ c\ input\ \checkmark$   
 $\langle proof \rangle$

**lemma** *WT-gpv-mono*:

**assumes** *WT*:  $\mathcal{I}1 \vdash_g\ gpv\ \checkmark$   
**and** *outs*:  $outs\ \mathcal{I}\ \mathcal{I}1 \subseteq outs\ \mathcal{I}\ \mathcal{I}2$   
**and** *responses*:  $\bigwedge x. x \in outs\ \mathcal{I}\ \mathcal{I}1 \implies responses\ \mathcal{I}\ \mathcal{I}2\ x \subseteq responses\ \mathcal{I}\ \mathcal{I}1\ x$   
**shows**  $\mathcal{I}2 \vdash_g\ gpv\ \checkmark$   
 $\langle proof \rangle$

**lemma** *WT-gpv-Done* [*iff*]:  $\Gamma \vdash_g\ Done\ x\ \checkmark$

$\langle proof \rangle$

**lemma** *WT-gpv-Fail* [*iff*]:  $\Gamma \vdash_g\ Fail\ \checkmark$

$\langle proof \rangle$

**lemma** *WT-gpv-PauseI*:

$\llbracket out \in outs\ \mathcal{I}\ \Gamma; \bigwedge input. input \in responses\ \mathcal{I}\ \Gamma\ out \implies \Gamma \vdash_g\ c\ input\ \checkmark \rrbracket$   
 $\implies \Gamma \vdash_g\ Pause\ out\ c\ \checkmark$   
 $\langle proof \rangle$

**lemma** *WT-gpv-Pause* [*iff*]:

$\Gamma \vdash_g\ Pause\ out\ c\ \checkmark \iff out \in outs\ \mathcal{I}\ \Gamma \wedge (\forall input \in responses\ \mathcal{I}\ \Gamma\ out. \Gamma \vdash_g\ c\ input\ \checkmark)$   
 $\langle proof \rangle$

**lemma** *WT-gpv-bindI*:

$\llbracket \Gamma \vdash_g\ gpv\ \checkmark; \bigwedge x. x \in results\ gpv\ \Gamma\ gpv \implies \Gamma \vdash_g\ f\ x\ \checkmark \rrbracket$   
 $\implies \Gamma \vdash_g\ gpv\ \ggg\ f\ \checkmark$   
 $\langle proof \rangle$

**lemma** *WT-gpv-bindD2*:

**assumes** *WT*:  $\Gamma \vdash_g\ gpv\ \ggg\ f\ \checkmark$   
**and** *x*:  $x \in results\ gpv\ \Gamma\ gpv$   
**shows**  $\Gamma \vdash_g\ f\ x\ \checkmark$   
 $\langle proof \rangle$

**lemma** *WT-gpv-bindD1*:  $\Gamma \vdash_g \text{gpv} \ggg f \checkmark \implies \Gamma \vdash_g \text{gpv} \checkmark$   
 ⟨proof⟩

**lemma** *WT-gpv-bind [simp]*:  $\Gamma \vdash_g \text{gpv} \ggg f \checkmark \iff \Gamma \vdash_g \text{gpv} \checkmark \wedge (\forall x \in \text{results-gpv} \Gamma \text{ gpv}. \Gamma \vdash_g f x \checkmark)$   
 ⟨proof⟩

**lemma** *WT-gpv-full [simp, intro!]*:  $\mathcal{I}\text{-full} \vdash_g \text{gpv} \checkmark$   
 ⟨proof⟩

**lemma** *WT-gpv-lift-spmf [simp, intro!]*:  $\mathcal{I} \vdash_g \text{lift-spmf } p \checkmark$   
 ⟨proof⟩

**lemma** *WT-gpv-coinduct-bind [consumes 1, case-names WT-gpv, case-conclusion WT-gpv out cont]*:

**assumes** \*:  $X \text{ gpv}$   
**and step**:  $\bigwedge \text{gpv out } c. \llbracket X \text{ gpv}; IO \text{ out } c \in \text{set-spmf } (\text{the-gpv } \text{gpv}) \rrbracket$   
 $\implies \text{out} \in \text{outs-}\mathcal{I} \ \mathcal{I} \wedge (\forall \text{input} \in \text{responses-}\mathcal{I} \ \mathcal{I} \text{ out.}$   
 $\quad X (c \text{ input}) \vee$   
 $\quad \mathcal{I} \vdash_g c \text{ input} \checkmark \vee$   
 $\quad (\exists (\text{gpv}' :: ('b, 'call, 'ret) \text{gpv}) f. c \text{ input} = \text{gpv}' \ggg f \wedge \mathcal{I} \vdash_g \text{gpv}' \checkmark \wedge$   
 $(\forall x \in \text{results-gpv } \mathcal{I} \ \text{gpv}'. X (f x))))$   
**shows**  $\mathcal{I} \vdash_g \text{gpv} \checkmark$   
 ⟨proof⟩

**lemma** *I-trivial-WT-gpvD [simp]*:  $\mathcal{I}\text{-trivial } \mathcal{I} \implies \mathcal{I} \vdash_g \text{gpv} \checkmark$   
 ⟨proof⟩

**lemma** *I-trivial-WT-gpvI*:  
**assumes**  $\bigwedge \text{gpv} :: ('a, 'out, 'in) \text{gpv}. \mathcal{I} \vdash_g \text{gpv} \checkmark$   
**shows**  $\mathcal{I}\text{-trivial } \mathcal{I}$   
 ⟨proof⟩

**lemma** *WT-gpv-I-mono*:  $\llbracket \mathcal{I} \vdash_g \text{gpv} \checkmark; \mathcal{I} \leq \mathcal{I}' \rrbracket \implies \mathcal{I}' \vdash_g \text{gpv} \checkmark$   
 ⟨proof⟩

**lemma** *results-gpv-mono*:  
**assumes**  $le: \mathcal{I}' \leq \mathcal{I}$  **and**  $WT: \mathcal{I}' \vdash_g \text{gpv} \checkmark$   
**shows**  $\text{results-gpv } \mathcal{I} \ \text{gpv} \subseteq \text{results-gpv } \mathcal{I}' \ \text{gpv}$   
 ⟨proof⟩

**lemma** *WT-gpv-outs-gpv*:  
**assumes**  $\mathcal{I} \vdash_g \text{gpv} \checkmark$   
**shows**  $\text{outs-gpv } \mathcal{I} \ \text{gpv} \subseteq \text{outs-}\mathcal{I} \ \mathcal{I}$   
 ⟨proof⟩

**lemma** *WT-gpv-map-gpv'*:  $\mathcal{I} \vdash_g \text{map-gpv}' f g h \text{ gpv} \checkmark$  **if**  $\text{map-}\mathcal{I} \ g \ h \ \mathcal{I} \vdash_g \text{gpv} \checkmark$   
 ⟨proof⟩

**lemma** *WT-gpv-map-gpv*:  $\mathcal{I} \vdash g \text{ map-gpv } f g \text{ gpv } \checkmark$  **if**  $\text{map-}\mathcal{I} \text{ } g \text{ id } \mathcal{I} \vdash g \text{ gpv } \checkmark$   
 ⟨proof⟩

**lemma** *results-gpv-map-gpv'* [*simp*]:  
 $\text{results-gpv } \mathcal{I} (\text{map-gpv}' f g h \text{ gpv}) = f' (\text{results-gpv } (\text{map-}\mathcal{I} g h \mathcal{I}) \text{ gpv})$   
 ⟨proof⟩

**lemma** *WT-gpv-parametric'*: **includes** *lifting-syntax* **shows**  
 $\text{bi-unique } C \implies (\text{rel-}\mathcal{I} C R \implies \text{rel-gpv}'' A C R \implies (=)) \text{ WT-gpv } \text{ WT-gpv}$   
 ⟨proof⟩

**lemma** *WT-gpv-map-gpv-id* [*simp*]:  $\mathcal{I} \vdash g \text{ map-gpv } f \text{ id } \text{ gpv } \checkmark \iff \mathcal{I} \vdash g \text{ gpv } \checkmark$   
 ⟨proof⟩

**lemma** *WT-gpv-outs-gpvI*:  
**assumes**  $\text{outs-gpv } \mathcal{I} \text{ gpv} \subseteq \text{outs-}\mathcal{I} \mathcal{I}$   
**shows**  $\mathcal{I} \vdash g \text{ gpv } \checkmark$   
 ⟨proof⟩

**lemma** *WT-gpv-iff-outs-gpv*:  
 $\mathcal{I} \vdash g \text{ gpv } \checkmark \iff \text{outs-gpv } \mathcal{I} \text{ gpv} \subseteq \text{outs-}\mathcal{I} \mathcal{I}$   
 ⟨proof⟩

### 4.13 Sub-gpvs

**context begin**

**qualified inductive** *sub-gpvsp* ::  $(\text{'out}, \text{'in}) \mathcal{I} \Rightarrow (\text{'a}, \text{'out}, \text{'in}) \text{ gpv} \Rightarrow (\text{'a}, \text{'out}, \text{'in}) \text{ gpv} \Rightarrow \text{bool}$

**for**  $\mathcal{I} x$

**where**

*base*:

$\llbracket \text{IO out } c \in \text{set-spmf } (\text{the-gpv } \text{gpv}); \text{input} \in \text{responses-}\mathcal{I} \mathcal{I} \text{ out}; x = c \text{ input} \rrbracket$   
 $\implies \text{sub-gpvsp } \mathcal{I} x \text{ gpv}$

| *cont*:

$\llbracket \text{IO out } c \in \text{set-spmf } (\text{the-gpv } \text{gpv}); \text{input} \in \text{responses-}\mathcal{I} \mathcal{I} \text{ out}; \text{sub-gpvsp } \mathcal{I} x (c \text{ input}) \rrbracket$   
 $\implies \text{sub-gpvsp } \mathcal{I} x \text{ gpv}$

**qualified lemma** *sub-gpvsp-base*:

$\llbracket \text{IO out } c \in \text{set-spmf } (\text{the-gpv } \text{gpv}); \text{input} \in \text{responses-}\mathcal{I} \mathcal{I} \text{ out} \rrbracket$   
 $\implies \text{sub-gpvsp } \mathcal{I} (c \text{ input}) \text{ gpv}$

⟨proof⟩

**definition** *sub-gpvs* ::  $(\text{'out}, \text{'in}) \mathcal{I} \Rightarrow (\text{'a}, \text{'out}, \text{'in}) \text{ gpv} \Rightarrow (\text{'a}, \text{'out}, \text{'in}) \text{ gpv} \text{ set}$   
**where**  $\text{sub-gpvs } \mathcal{I} \text{ gpv} \equiv \{x. \text{sub-gpvsp } \mathcal{I} x \text{ gpv}\}$

**lemma** *sub-gpvsp-sub-gpvs-eq* [*pred-set-conv*]:  $\text{sub-gpvsp } \mathcal{I} x \text{ gpv} \iff x \in \text{sub-gpvs } \mathcal{I} \text{ gpv}$   
 ⟨proof⟩

**context begin**

$\langle ML \rangle$

**lemmas** *intros* [*intro*?] = *sub-gpvsp.intros[to-set]*  
  **and** *base* = *sub-gpvsp-base[to-set]*  
  **and** *cont* = *cont[to-set]*  
  **and** *induct* [*consumes 1, case-names Pure IO, induct set: sub-gpvs*] = *sub-gpvsp.induct[to-set]*  
  **and** *cases* [*consumes 1, case-names Pure IO, cases set: sub-gpvs*] = *sub-gpvsp.cases[to-set]*  
  **and** *simps* = *sub-gpvsp.simps[to-set]*  
**end**  
**end**

**lemma** *WT-sub-gpvsD*:

**assumes**  $\mathcal{I} \vdash_g \text{gpv} \checkmark$  **and**  $\text{gpv}' \in \text{sub-gpvs } \mathcal{I} \text{ gpv}$

**shows**  $\mathcal{I} \vdash_g \text{gpv}' \checkmark$

$\langle \text{proof} \rangle$

**lemma** *WT-sub-gpvsI*:

$\llbracket \bigwedge \text{out } c. \text{IO out } c \in \text{set-spmf } (\text{the-gpv } \text{gpv}) \implies \text{out} \in \text{outs-}\mathcal{I} \ \Gamma;$

$\bigwedge \text{gpv}'. \text{gpv}' \in \text{sub-gpvs } \Gamma \ \text{gpv} \implies \Gamma \vdash_g \text{gpv}' \checkmark \rrbracket$

$\implies \Gamma \vdash_g \text{gpv} \checkmark$

$\langle \text{proof} \rangle$

#### 4.14 Losslessness

A gpv is lossless iff we are guaranteed to get a result after a finite number of interactions that respect the interface. It is colossless if the interactions may go on for ever, but there is no non-termination.

We define both notions of losslessness simultaneously by mimicking what the (co)inductive package would do internally. Thus, we get a constant which is parametrised by the choice of the fixpoint, i.e., for non-recursive gpvs, we can state and prove both versions of losslessness in one go.

**context**

**fixes** *co* :: *bool* **and**  $\mathcal{I} :: ('out, 'in) \ \mathcal{I}$

**and** *F* ::  $(( 'a, 'out, 'in) \ \text{gpv} \implies \text{bool}) \implies (( 'a, 'out, 'in) \ \text{gpv} \implies \text{bool})$

**and** *co'* :: *bool*

**defines**  $F \equiv \lambda \text{gen-lossless-gpv } \text{gpv}. \exists \text{pa}. \text{gpv} = \text{GPV } \text{pa} \wedge$

$\text{lossless-spmf } \text{pa} \wedge (\forall \text{out } c \ \text{input}. \text{IO out } c \in \text{set-spmf } \text{pa} \longrightarrow \text{input} \in \text{responses-}\mathcal{I} \ \mathcal{I} \ \text{out} \longrightarrow \text{gen-lossless-gpv } (c \ \text{input}))$

**and**  $\text{co}' \equiv \text{co}$  — We use a copy of *co* such that we can do case distinctions on *co'* without the simplifier rewriting the *co* in the local abbreviations for the constants.

**begin**

**lemma** *gen-lossless-gpv-mono*: *mono F*

$\langle \text{proof} \rangle$

**definition**  $gen\text{-}lossless\text{-}gpv :: ('a, 'out, 'in) gpv \Rightarrow bool$   
**where**  $gen\text{-}lossless\text{-}gpv = (if\ co'\ then\ gfp\ else\ lfp)\ F$

**lemma**  $gen\text{-}lossless\text{-}gpv\text{-}unfold: gen\text{-}lossless\text{-}gpv = F\ gen\text{-}lossless\text{-}gpv$   
 $\langle proof \rangle$

**lemma**  $gen\text{-}lossless\text{-}gpv\text{-}True: co' = True \Longrightarrow gen\text{-}lossless\text{-}gpv \equiv gfp\ F$   
**and**  $gen\text{-}lossless\text{-}gpv\text{-}False: co' = False \Longrightarrow gen\text{-}lossless\text{-}gpv \equiv lfp\ F$   
 $\langle proof \rangle$

**lemma**  $gen\text{-}lossless\text{-}gpv\text{-}cases$   $[elim?, cases\ pred]:$   
**assumes**  $gen\text{-}lossless\text{-}gpv\ gpv$   
**obtains**  $(gen\text{-}lossless\text{-}gpv)\ p$  **where**  $gpv = GPV\ p\ lossless\text{-}spmf\ p$   
 $\bigwedge out\ c\ input. \llbracket IO\ out\ c \in set\text{-}spmf\ p; input \in responses\text{-}\mathcal{I}\ \mathcal{I}\ out \rrbracket \Longrightarrow gen\text{-}lossless\text{-}gpv$   
 $(c\ input)$   
 $\langle proof \rangle$

**lemma**  $gen\text{-}lossless\text{-}gpvD:$   
**assumes**  $gen\text{-}lossless\text{-}gpv\ gpv$   
**shows**  $gen\text{-}lossless\text{-}gpv\text{-}lossless\text{-}spmfD: lossless\text{-}spmf\ (the\text{-}gpv\ gpv)$   
**and**  $gen\text{-}lossless\text{-}gpv\text{-}continuationD:$   
 $\llbracket IO\ out\ c \in set\text{-}spmf\ (the\text{-}gpv\ gpv); input \in responses\text{-}\mathcal{I}\ \mathcal{I}\ out \rrbracket \Longrightarrow gen\text{-}lossless\text{-}gpv$   
 $(c\ input)$   
 $\langle proof \rangle$

**lemma**  $gen\text{-}lossless\text{-}gpv\text{-}intros:$   
 $\llbracket lossless\text{-}spmf\ p;$   
 $\bigwedge out\ c\ input. \llbracket IO\ out\ c \in set\text{-}spmf\ p; input \in responses\text{-}\mathcal{I}\ \mathcal{I}\ out \rrbracket \Longrightarrow$   
 $gen\text{-}lossless\text{-}gpv\ (c\ input) \rrbracket$   
 $\Longrightarrow gen\text{-}lossless\text{-}gpv\ (GPV\ p)$   
 $\langle proof \rangle$

**lemma**  $gen\text{-}lossless\text{-}gpvI$   $[intro?]:$   
 $\llbracket lossless\text{-}spmf\ (the\text{-}gpv\ gpv);$   
 $\bigwedge out\ c\ input. \llbracket IO\ out\ c \in set\text{-}spmf\ (the\text{-}gpv\ gpv); input \in responses\text{-}\mathcal{I}\ \mathcal{I}\ out \rrbracket$   
 $\Longrightarrow gen\text{-}lossless\text{-}gpv\ (c\ input) \rrbracket$   
 $\Longrightarrow gen\text{-}lossless\text{-}gpv\ gpv$   
 $\langle proof \rangle$

**lemma**  $gen\text{-}lossless\text{-}gpv\text{-}simps:$   
 $gen\text{-}lossless\text{-}gpv\ gpv \longleftrightarrow$   
 $(\exists p. gpv = GPV\ p \wedge lossless\text{-}spmf\ p \wedge (\forall out\ c\ input.$   
 $IO\ out\ c \in set\text{-}spmf\ p \longrightarrow input \in responses\text{-}\mathcal{I}\ \mathcal{I}\ out \longrightarrow gen\text{-}lossless\text{-}gpv$   
 $(c\ input)))$   
 $\langle proof \rangle$

**lemma**  $gen\text{-}lossless\text{-}gpv\text{-}Done$   $[iff]: gen\text{-}lossless\text{-}gpv\ (Done\ x)$   
 $\langle proof \rangle$



**lemma** *gen-lossless-gpv-Fail* [iff]:  $\neg \text{gen-lossless-gpv Fail}$   
 ⟨proof⟩

**lemma** *gen-lossless-gpv-Pause* [simp]:  
 $\text{gen-lossless-gpv (Pause out } c) \longleftrightarrow (\forall \text{ input} \in \text{responses-}\mathcal{I} \ \mathcal{I} \ \text{out. gen-lossless-gpv (} c \ \text{input}))$   
 ⟨proof⟩

**lemma** *gen-lossless-gpv-lift-spmf* [iff]:  $\text{gen-lossless-gpv (lift-spmf } p) \longleftrightarrow \text{lossless-spmf } p$   
 ⟨proof⟩

**end**

**lemma** *gen-lossless-gpv-assert-gpv* [iff]:  $\text{gen-lossless-gpv co } \mathcal{I} \ (\text{assert-gpv } b) \longleftrightarrow b$   
 ⟨proof⟩

**abbreviation** *lossless-gpv* ::  $('out, 'in) \ \mathcal{I} \Rightarrow ('a, 'out, 'in) \ \text{gpv} \Rightarrow \text{bool}$   
**where**  $\text{lossless-gpv} \equiv \text{gen-lossless-gpv False}$

**abbreviation** *colossless-gpv* ::  $('out, 'in) \ \mathcal{I} \Rightarrow ('a, 'out, 'in) \ \text{gpv} \Rightarrow \text{bool}$   
**where**  $\text{colossless-gpv} \equiv \text{gen-lossless-gpv True}$

**lemma** *lossless-gpv-induct* [consumes 1, case-names *lossless-gpv*, induct *pred*]:  
**assumes** \*:  $\text{lossless-gpv } \mathcal{I} \ \text{gpv}$   
**and step**:  $\bigwedge p. \llbracket \text{lossless-spmf } p; \bigwedge \text{out } c \ \text{input.} \llbracket \text{IO out } c \in \text{set-spmf } p; \text{input} \in \text{responses-}\mathcal{I} \ \mathcal{I} \ \text{out} \rrbracket \implies \text{lossless-gpv } \mathcal{I} \ (c \ \text{input});$   
 $\bigwedge \text{out } c \ \text{input.} \llbracket \text{IO out } c \in \text{set-spmf } p; \text{input} \in \text{responses-}\mathcal{I} \ \mathcal{I} \ \text{out} \rrbracket \implies P \ (c \ \text{input}) \rrbracket$   
 $\implies P \ (\text{GPV } p)$   
**shows**  $P \ \text{gpv}$   
 ⟨proof⟩

**lemma** *colossless-gpv-coinduct*  
 [consumes 1, case-names *colossless-gpv*, case-conclusion *colossless-gpv lossless-spmf continuation*, coinduct *pred*]:  
**assumes** \*:  $X \ \text{gpv}$   
**and step**:  $\bigwedge \text{gpv. } X \ \text{gpv} \implies \text{lossless-spmf (the-gpv gpv)} \wedge (\forall \text{out } c \ \text{input.} \text{IO out } c \in \text{set-spmf (the-gpv gpv)} \longrightarrow \text{input} \in \text{responses-}\mathcal{I} \ \mathcal{I} \ \text{out} \longrightarrow X \ (c \ \text{input}) \vee \text{colossless-gpv } \mathcal{I} \ (c \ \text{input}))$   
**shows**  $\text{colossless-gpv } \mathcal{I} \ \text{gpv}$   
 ⟨proof⟩

**lemmas**  $\text{lossless-gpvI} = \text{gen-lossless-gpvI}[\mathbf{where} \ \text{co}=\text{False}]$   
**and**  $\text{lossless-gpvD} = \text{gen-lossless-gpvD}[\mathbf{where} \ \text{co}=\text{False}]$   
**and**  $\text{lossless-gpv-lossless-spmfD} = \text{gen-lossless-gpv-lossless-spmfD}[\mathbf{where} \ \text{co}=\text{False}]$   
**and**  $\text{lossless-gpv-continuationD} = \text{gen-lossless-gpv-continuationD}[\mathbf{where} \ \text{co}=\text{False}]$

**lemmas** *colossless-gpvI* = *gen-lossless-gpvI*[**where** *co=True*]  
**and** *colossless-gpvD* = *gen-lossless-gpvD*[**where** *co=True*]  
**and** *colossless-gpv-lossless-spmfD* = *gen-lossless-gpv-lossless-spmfD*[**where** *co=True*]  
**and** *colossless-gpv-continuationD* = *gen-lossless-gpv-continuationD*[**where** *co=True*]

**lemma** *gen-lossless-bind-gpvI*:  
**assumes** *gen-lossless-gpv* *co I* *gpv*  $\wedge x. x \in \text{results-gpv } \mathcal{I} \text{ gpv} \implies \text{gen-lossless-gpv}$   
*co I* (*f x*)  
**shows** *gen-lossless-gpv* *co I* (*gpv*  $\ggg$  *f*)  
 $\langle \text{proof} \rangle$

**lemmas** *lossless-bind-gpvI* = *gen-lossless-bind-gpvI*[**where** *co=False*]  
**and** *colossless-bind-gpvI* = *gen-lossless-bind-gpvI*[**where** *co=True*]

**lemma** *gen-lossless-bind-gpvD1*:  
**assumes** *gen-lossless-gpv* *co I* (*gpv*  $\ggg$  *f*)  
**shows** *gen-lossless-gpv* *co I* *gpv*  
 $\langle \text{proof} \rangle$

**lemmas** *lossless-bind-gpvD1* = *gen-lossless-bind-gpvD1*[**where** *co=False*]  
**and** *colossless-bind-gpvD1* = *gen-lossless-bind-gpvD1*[**where** *co=True*]

**lemma** *gen-lossless-bind-gpvD2*:  
**assumes** *gen-lossless-gpv* *co I* (*gpv*  $\ggg$  *f*)  
**and**  $x \in \text{results-gpv } \mathcal{I} \text{ gpv}$   
**shows** *gen-lossless-gpv* *co I* (*f x*)  
 $\langle \text{proof} \rangle$

**lemmas** *lossless-bind-gpvD2* = *gen-lossless-bind-gpvD2*[**where** *co=False*]  
**and** *colossless-bind-gpvD2* = *gen-lossless-bind-gpvD2*[**where** *co=True*]

**lemma** *gen-lossless-bind-gpv* [*simp*]:  
 $\text{gen-lossless-gpv } \text{co } \mathcal{I} \text{ (gpv } \ggg \text{ f)} \iff \text{gen-lossless-gpv } \text{co } \mathcal{I} \text{ gpv} \wedge (\forall x \in \text{results-gpv}$   
 $\mathcal{I} \text{ gpv. } \text{gen-lossless-gpv } \text{co } \mathcal{I} \text{ (f x)})$   
 $\langle \text{proof} \rangle$

**lemmas** *lossless-bind-gpv* = *gen-lossless-bind-gpv*[**where** *co=False*]  
**and** *colossless-bind-gpv* = *gen-lossless-bind-gpv*[**where** *co=True*]

**context includes** *lifting-syntax* **begin**

**lemma** *rel-gpv''-lossless-gpvD1*:  
**assumes** *rel*: *rel-gpv''* *A C R* *gpv gpv'*  
**and** *gpv*: *lossless-gpv* *I* *gpv*  
**and** [*transfer-rule*]: *rel-I* *C R I I'*  
**shows** *lossless-gpv* *I'* *gpv'*  
 $\langle \text{proof} \rangle$

**lemma** *rel-gpv''-lossless-gpvD2*:

$$\llbracket \text{rel-gpv}'' A C R \text{ gpv gpv}'; \text{lossless-gpv } \mathcal{I}' \text{ gpv}'; \text{rel-}\mathcal{I} C R \mathcal{I} \mathcal{I}' \rrbracket$$

$$\implies \text{lossless-gpv } \mathcal{I} \text{ gpv}$$
 <proof>

**lemma** *rel-gpv-lossless-gpvD1*:  

$$\llbracket \text{rel-gpv } A C \text{ gpv gpv}'; \text{lossless-gpv } \mathcal{I} \text{ gpv}; \text{rel-}\mathcal{I} C (=) \mathcal{I} \mathcal{I}' \rrbracket \implies \text{lossless-gpv } \mathcal{I}' \text{ gpv}'$$
 <proof>

**lemma** *rel-gpv-lossless-gpvD2*:  

$$\llbracket \text{rel-gpv } A C \text{ gpv gpv}'; \text{lossless-gpv } \mathcal{I}' \text{ gpv}'; \text{rel-}\mathcal{I} C (=) \mathcal{I} \mathcal{I}' \rrbracket$$

$$\implies \text{lossless-gpv } \mathcal{I} \text{ gpv}$$
 <proof>

**lemma** *rel-gpv''-colossless-gpvD1*:  
**assumes** *rel*:  $\text{rel-gpv}'' A C R \text{ gpv gpv}'$   
**and** *gpv*: *colossless-gpv*  $\mathcal{I} \text{ gpv}$   
**and** [*transfer-rule*]:  $\text{rel-}\mathcal{I} C R \mathcal{I} \mathcal{I}'$   
**shows** *colossless-gpv*  $\mathcal{I}' \text{ gpv}'$   
 <proof>

**lemma** *rel-gpv''-colossless-gpvD2*:  

$$\llbracket \text{rel-gpv}'' A C R \text{ gpv gpv}'; \text{colossless-gpv } \mathcal{I}' \text{ gpv}'; \text{rel-}\mathcal{I} C R \mathcal{I} \mathcal{I}' \rrbracket$$

$$\implies \text{colossless-gpv } \mathcal{I} \text{ gpv}$$
 <proof>

**lemma** *rel-gpv-colossless-gpvD1*:  

$$\llbracket \text{rel-gpv } A C \text{ gpv gpv}'; \text{colossless-gpv } \mathcal{I} \text{ gpv}; \text{rel-}\mathcal{I} C (=) \mathcal{I} \mathcal{I}' \rrbracket \implies \text{colossless-gpv } \mathcal{I}' \text{ gpv}'$$
 <proof>

**lemma** *rel-gpv-colossless-gpvD2*:  

$$\llbracket \text{rel-gpv } A C \text{ gpv gpv}'; \text{colossless-gpv } \mathcal{I}' \text{ gpv}'; \text{rel-}\mathcal{I} C (=) \mathcal{I} \mathcal{I}' \rrbracket$$

$$\implies \text{colossless-gpv } \mathcal{I} \text{ gpv}$$
 <proof>

**lemma** *gen-lossless-gpv-parametric'*:  

$$((=) \implies \text{rel-}\mathcal{I} C R \implies \text{rel-gpv}'' A C R \implies (=))$$

$$\text{gen-lossless-gpv } \text{gen-lossless-gpv}$$
 <proof>

**lemma** *gen-lossless-gpv-parametric* [*transfer-rule*]:  

$$((=) \implies \text{rel-}\mathcal{I} C (=) \implies \text{rel-gpv } A C \implies (=))$$

$$\text{gen-lossless-gpv } \text{gen-lossless-gpv}$$
 <proof>

**end**

**lemma** *gen-lossless-gpv-map-full* [*simp*]:

$gen\text{-}lossless\text{-}gpv\ b\ \mathcal{I}\text{-}full\ (map\text{-}gpv\ f\ g\ gpv) = gen\text{-}lossless\text{-}gpv\ b\ \mathcal{I}\text{-}full\ gpv$   
*(is ?lhs = ?rhs)*  
 <proof>

**lemma** *gen-lossless-gpv-map-id* [simp]:  
 $gen\text{-}lossless\text{-}gpv\ b\ \mathcal{I}\ (map\text{-}gpv\ f\ id\ gpv) = gen\text{-}lossless\text{-}gpv\ b\ \mathcal{I}\ gpv$   
 <proof>

**lemma** *results-gpv-try-gpv* [simp]:  
 $results\text{-}gpv\ \mathcal{I}\ (TRY\ gpv\ ELSE\ gpv') =$   
 $results\text{-}gpv\ \mathcal{I}\ gpv \cup (if\ colossless\text{-}gpv\ \mathcal{I}\ gpv\ then\ \{\}\ else\ results\text{-}gpv\ \mathcal{I}\ gpv')$   
*(is ?lhs = ?rhs)*  
 <proof>

**lemma** *results'-gpv-try-gpv* [simp]:  
 $results'\text{-}gpv\ (TRY\ gpv\ ELSE\ gpv') =$   
 $results'\text{-}gpv\ gpv \cup (if\ colossless\text{-}gpv\ \mathcal{I}\text{-}full\ gpv\ then\ \{\}\ else\ results'\text{-}gpv\ gpv')$   
 <proof>

**lemma** *outs'-gpv-try-gpv* [simp]:  
 $outs'\text{-}gpv\ (TRY\ gpv\ ELSE\ gpv') =$   
 $outs'\text{-}gpv\ gpv \cup (if\ colossless\text{-}gpv\ \mathcal{I}\text{-}full\ gpv\ then\ \{\}\ else\ outs'\text{-}gpv\ gpv')$   
*(is ?lhs = ?rhs)*  
 <proof>

**lemma** *pred-gpv-try* [simp]:  
 $pred\text{-}gpv\ P\ Q\ (try\text{-}gpv\ gpv\ gpv') = (pred\text{-}gpv\ P\ Q\ gpv \wedge (\neg\ colossless\text{-}gpv\ \mathcal{I}\text{-}full\ gpv \longrightarrow pred\text{-}gpv\ P\ Q\ gpv'))$   
 <proof>

**lemma** *lossless-WT-gpv-induct* [consumes 2, case-names lossless-gpv]:  
**assumes** *lossless*:  $lossless\text{-}gpv\ \mathcal{I}\ gpv$   
**and** *WT*:  $\mathcal{I} \vdash g\ gpv\ \checkmark$   
**and** *step*:  $\bigwedge p.\ \llbracket$   
 $lossless\text{-}spmf\ p;$   
 $\bigwedge out\ c.\ IO\ out\ c \in set\text{-}spmf\ p \implies out \in outs\text{-}\mathcal{I}\ \mathcal{I};$   
 $\bigwedge out\ c\ input.\ \llbracket IO\ out\ c \in set\text{-}spmf\ p; out \in outs\text{-}\mathcal{I}\ \mathcal{I} \implies input \in responses\text{-}\mathcal{I}$   
 $\mathcal{I}\ out \rrbracket \implies lossless\text{-}gpv\ \mathcal{I}\ (c\ input);$   
 $\bigwedge out\ c\ input.\ \llbracket IO\ out\ c \in set\text{-}spmf\ p; out \in outs\text{-}\mathcal{I}\ \mathcal{I} \implies input \in responses\text{-}\mathcal{I}$   
 $\mathcal{I}\ out \rrbracket \implies \mathcal{I} \vdash g\ c\ input\ \checkmark;$   
 $\bigwedge out\ c\ input.\ \llbracket IO\ out\ c \in set\text{-}spmf\ p; out \in outs\text{-}\mathcal{I}\ \mathcal{I} \implies input \in responses\text{-}\mathcal{I}$   
 $\mathcal{I}\ out \rrbracket \implies P\ (c\ input)\rrbracket$   
 $\implies P\ (GPV\ p)$   
**shows**  $P\ gpv$   
 <proof>

**lemma** *lossless-gpv-induct-strong* [consumes 1, case-names lossless-gpv]:  
**assumes** *gpv*:  $lossless\text{-}gpv\ \mathcal{I}\ gpv$   
**and** *step*:

$\bigwedge p. \llbracket \text{lossless-spmf } p; \text{ } \bigwedge gpv. gpv \in \text{sub-gpvs } \mathcal{I} (GPV p) \implies \text{lossless-gpv } \mathcal{I} gpv; \bigwedge gpv. gpv \in \text{sub-gpvs } \mathcal{I} (GPV p) \implies P gpv \rrbracket$   
 $\implies P (GPV p)$   
**shows**  $P gpv$   
 $\langle \text{proof} \rangle$

**lemma** *lossless-sub-gpvsI*:  
**assumes** *spmf*: *lossless-spmf* (*the-gpv gpv*)  
**and** *sub*:  $\bigwedge gpv'. gpv' \in \text{sub-gpvs } \mathcal{I} gpv \implies \text{lossless-gpv } \mathcal{I} gpv'$   
**shows** *lossless-gpv*  $\mathcal{I} gpv$   
 $\langle \text{proof} \rangle$

**lemma** *lossless-sub-gpvsD*:  
**assumes** *lossless-gpv*  $\mathcal{I} gpv$   $gpv' \in \text{sub-gpvs } \mathcal{I} gpv$   
**shows** *lossless-gpv*  $\mathcal{I} gpv'$   
 $\langle \text{proof} \rangle$

**lemma** *lossless-WT-gpv-induct-strong* [*consumes 2, case-names lossless-gpv*]:  
**assumes** *lossless*: *lossless-gpv*  $\mathcal{I} gpv$   
**and** *WT*:  $\mathcal{I} \vdash_g gpv \checkmark$   
**and** *step*:  $\bigwedge p. \llbracket \text{lossless-spmf } p; \bigwedge \text{out } c. IO \text{ out } c \in \text{set-spmf } p \implies \text{out} \in \text{outs-}\mathcal{I} \mathcal{I}; \bigwedge gpv. gpv \in \text{sub-gpvs } \mathcal{I} (GPV p) \implies \text{lossless-gpv } \mathcal{I} gpv; \bigwedge gpv. gpv \in \text{sub-gpvs } \mathcal{I} (GPV p) \implies \mathcal{I} \vdash_g gpv \checkmark; \bigwedge gpv. gpv \in \text{sub-gpvs } \mathcal{I} (GPV p) \implies P gpv \rrbracket$   
 $\implies P (GPV p)$   
**shows**  $P gpv$   
 $\langle \text{proof} \rangle$

**lemma** *try-gpv-gen-lossless*: — TODO: generalise to arbitrary typings?  
 $\text{gen-lossless-gpv } b \mathcal{I}\text{-full } gpv \implies (TRY gpv ELSE gpv') = gpv$   
 $\langle \text{proof} \rangle$

**lemmas** *try-gpv-lossless* [*simp*] = *try-gpv-gen-lossless*[**where**  $b=False$ ]  
**and** *try-gpv-colossless* [*simp*] = *try-gpv-gen-lossless*[**where**  $b=True$ ]

**lemma** *try-gpv-bind-gen-lossless*: — TODO: generalise to arbitrary typings?  
 $\text{gen-lossless-gpv } b \mathcal{I}\text{-full } gpv \implies TRY \text{ bind-gpv } gpv f ELSE gpv' = \text{bind-gpv } gpv$   
 $(\lambda x. TRY f x ELSE gpv')$   
 $\langle \text{proof} \rangle$

**lemmas** *try-gpv-bind-lossless* = *try-gpv-bind-gen-lossless*[**where**  $b=False$ ]  
**and** *try-gpv-bind-colossless* = *try-gpv-bind-gen-lossless*[**where**  $b=True$ ]

**lemma** *try-gpv-cong*:  
 $\llbracket gpv = gpv''; \neg \text{colossless-gpv } \mathcal{I}\text{-full } gpv'' \implies gpv' = gpv''' \rrbracket$   
 $\implies \text{try-gpv } gpv gpv' = \text{try-gpv } gpv'' gpv'''$   
 $\langle \text{proof} \rangle$

**context fixes**  $B :: 'b \Rightarrow 'c$  set and  $x :: 'a$  begin

**primcorec**  $mk\text{-}lossless\text{-}gpv :: ('a, 'b, 'c) gpv \Rightarrow ('a, 'b, 'c) gpv$  **where**  
 $the\text{-}gpv (mk\text{-}lossless\text{-}gpv gpv) =$   
 $map\text{-}spmf (\lambda generat. case generat of Pure x \Rightarrow Pure x$   
 $| IO out c \Rightarrow IO out (\lambda input. if input \in B out then mk\text{-}lossless\text{-}gpv (c input)$   
 $else Done x))$   
 $(the\text{-}gpv gpv)$

**end**

**lemma**  $WT\text{-}gpv\text{-}mk\text{-}lossless\text{-}gpv$ :  
**assumes**  $\mathcal{I} \vdash g gpv \checkmark$   
**and**  $outs: outs\text{-}\mathcal{I} \ \mathcal{I}' = outs\text{-}\mathcal{I} \ \mathcal{I}$   
**shows**  $\mathcal{I}' \vdash g mk\text{-}lossless\text{-}gpv (responses\text{-}\mathcal{I} \ \mathcal{I}) x gpv \checkmark$   
 $\langle proof \rangle$

#### 4.15 Sequencing with failure handling included

**definition**  $catch\text{-}gpv :: ('a, 'out, 'in) gpv \Rightarrow ('a option, 'out, 'in) gpv$   
**where**  $catch\text{-}gpv gpv = TRY map\text{-}gpv Some id gpv ELSE Done None$

**lemma**  $catch\text{-}gpv\text{-}Done$  [simp]:  $catch\text{-}gpv (Done x) = Done (Some x)$   
 $\langle proof \rangle$

**lemma**  $catch\text{-}gpv\text{-}Fail$  [simp]:  $catch\text{-}gpv Fail = Done None$   
 $\langle proof \rangle$

**lemma**  $catch\text{-}gpv\text{-}Pause$  [simp]:  $catch\text{-}gpv (Pause out rpv) = Pause out (\lambda input. catch\text{-}gpv (rpv input))$   
 $\langle proof \rangle$

**lemma**  $catch\text{-}gpv\text{-}lift\text{-}spmf$  [simp]:  $catch\text{-}gpv (lift\text{-}spmf p) = lift\text{-}spmf (spmf\text{-}of\text{-}pmf p)$   
 $\langle proof \rangle$

**lemma**  $catch\text{-}gpv\text{-}assert$  [simp]:  $catch\text{-}gpv (assert\text{-}gpv b) = Done (assert\text{-}option b)$   
 $\langle proof \rangle$

**lemma**  $catch\text{-}gpv\text{-}sel$  [simp]:  
 $the\text{-}gpv (catch\text{-}gpv gpv) =$   
 $TRY map\text{-}spmf (map\text{-}generat Some id (\lambda rpv input. catch\text{-}gpv (rpv input)))$   
 $(the\text{-}gpv gpv)$   
 $ELSE return\text{-}spmf (Pure None)$   
 $\langle proof \rangle$

**lemma**  $catch\text{-}gpv\text{-}bind\text{-}gpv$ :  $catch\text{-}gpv (bind\text{-}gpv gpv f) = bind\text{-}gpv (catch\text{-}gpv gpv)$   
 $(\lambda x. case x of None \Rightarrow Done None | Some x' \Rightarrow catch\text{-}gpv (f x'))$

*<proof>*

**context includes** *lifting-syntax* **begin**

**lemma** *catch-gpv-parametric* [*transfer-rule*]:

$(rel\text{-}gpv\ A\ C\ ==>\ rel\text{-}gpv\ (rel\text{-}option\ A)\ C)\ catch\text{-}gpv\ catch\text{-}gpv$

*<proof>*

**lemma** *catch-gpv-parametric'*:

**notes** [*transfer-rule*] = *try-gpv-parametric' map-gpv-parametric' Done-parametric'*

**shows**  $(rel\text{-}gpv''\ A\ C\ R\ ==>\ rel\text{-}gpv''\ (rel\text{-}option\ A)\ C\ R)\ catch\text{-}gpv\ catch\text{-}gpv$

*<proof>*

**end**

**lemma** *catch-gpv-map'*:  $catch\text{-}gpv\ (map\text{-}gpv'\ f\ g\ h\ gpv) = map\text{-}gpv'\ (map\text{-}option\ f)\ g\ h\ (catch\text{-}gpv\ gpv)$

*<proof>*

**lemma** *catch-gpv-map*:  $catch\text{-}gpv\ (map\text{-}gpv\ f\ g\ gpv) = map\text{-}gpv\ (map\text{-}option\ f)\ g\ (catch\text{-}gpv\ gpv)$

*<proof>*

**lemma** *colossless-gpv-catch-gpv* [*simp*]:  $colossless\text{-}gpv\ \mathcal{I}\text{-full}\ (catch\text{-}gpv\ gpv)$

*<proof>*

**lemma** *colossless-gpv-catch-gpv-conv-map*:

$colossless\text{-}gpv\ \mathcal{I}\text{-full}\ gpv\ ==>\ catch\text{-}gpv\ gpv = map\text{-}gpv\ Some\ id\ gpv$

*<proof>*

**lemma** *catch-gpv-catch-gpv* [*simp*]:  $catch\text{-}gpv\ (catch\text{-}gpv\ gpv) = map\text{-}gpv\ Some\ id\ (catch\text{-}gpv\ gpv)$

*<proof>*

**lemma** *case-map-resumption*:

$case\text{-}resumption\ done\ pause\ (map\text{-}resumption\ f\ g\ r) =$

$case\text{-}resumption\ (done\ \circ\ map\text{-}option\ f)\ (\lambda out\ c.\ pause\ (g\ out)\ (map\text{-}resumption\ f\ g\ \circ\ c))\ r$

*<proof>*

**lemma** *catch-gpv-lift-resumption* [*simp*]:  $catch\text{-}gpv\ (lift\text{-}resumption\ r) = lift\text{-}resumption\ (map\text{-}resumption\ Some\ id\ r)$

*<proof>*

**lemma** *results-gpv-catch-gpv*:

$results\text{-}gpv\ \mathcal{I}\ (catch\text{-}gpv\ gpv) = Some\ ' results\text{-}gpv\ \mathcal{I}\ gpv\ \cup\ (if\ colossless\text{-}gpv\ \mathcal{I}\ gpv\ then\ \{\}\ else\ \{None\})$

*<proof>*

**lemma** *Some-in-results-gpv-catch-gpv* [*simp*]:

$Some\ x\ \in\ results\text{-}gpv\ \mathcal{I}\ (catch\text{-}gpv\ gpv)\ \longleftrightarrow\ x\ \in\ results\text{-}gpv\ \mathcal{I}\ gpv$

$\langle \text{proof} \rangle$

**lemma** *None-in-results-gpv-catch-gpv* [simp]:

$\text{None} \in \text{results-gpv } \mathcal{I} (\text{catch-gpv } \text{gpv}) \longleftrightarrow \neg \text{colossless-gpv } \mathcal{I} \text{ gpv}$

$\langle \text{proof} \rangle$

**lemma** *results'-gpv-catch-gpv*:

$\text{results}'\text{-gpv } (\text{catch-gpv } \text{gpv}) = \text{Some } \text{'results}'\text{-gpv } \text{gpv} \cup (\text{if } \text{colossless-gpv } \mathcal{I}\text{-full } \text{gpv } \text{ then } \{\} \text{ else } \{\text{None}\})$

$\langle \text{proof} \rangle$

**lemma** *Some-in-results'-gpv-catch-gpv* [simp]:

$\text{Some } x \in \text{results}'\text{-gpv } (\text{catch-gpv } \text{gpv}) \longleftrightarrow x \in \text{results}'\text{-gpv } \text{gpv}$

$\langle \text{proof} \rangle$

**lemma** *None-in-results'-gpv-catch-gpv* [simp]:

$\text{None} \in \text{results}'\text{-gpv } (\text{catch-gpv } \text{gpv}) \longleftrightarrow \neg \text{colossless-gpv } \mathcal{I}\text{-full } \text{gpv}$

$\langle \text{proof} \rangle$

**lemma** *results'-gpv-catch-gpvE*:

**assumes**  $x \in \text{results}'\text{-gpv } (\text{catch-gpv } \text{gpv})$

**obtains**  $(\text{Some}) x'$

**where**  $x = \text{Some } x' \ x' \in \text{results}'\text{-gpv } \text{gpv}$

$| (\text{colossless}) \ x = \text{None} \ \neg \text{colossless-gpv } \mathcal{I}\text{-full } \text{gpv}$

$\langle \text{proof} \rangle$

**lemma** *outs'-gpv-catch-gpv* [simp]:  $\text{outs}'\text{-gpv } (\text{catch-gpv } \text{gpv}) = \text{outs}'\text{-gpv } \text{gpv}$

$\langle \text{proof} \rangle$

**lemma** *pred-gpv-catch-gpv* [simp]:  $\text{pred-gpv } (\text{pred-option } P) Q (\text{catch-gpv } \text{gpv}) =$

$\text{pred-gpv } P Q \text{ gpv}$

$\langle \text{proof} \rangle$

**abbreviation**  $\text{bind-gpv}' :: ('a, 'call, 'ret) \text{ gpv} \Rightarrow ('a \text{ option} \Rightarrow ('b, 'call, 'ret) \text{ gpv})$

$\Rightarrow ('b, 'call, 'ret) \text{ gpv}$

**where**  $\text{bind-gpv}' \text{ gpv} \equiv \text{bind-gpv } (\text{catch-gpv } \text{gpv})$

**lemma** *bind-gpv'-assoc* [simp]:  $\text{bind-gpv}' (\text{bind-gpv}' \text{ gpv } f) g = \text{bind-gpv}' \text{ gpv } (\lambda x.$

$\text{bind-gpv}' (f x) g)$

$\langle \text{proof} \rangle$

**lemma** *bind-gpv'-bind-gpv*:  $\text{bind-gpv}' (\text{bind-gpv } \text{gpv } f) g = \text{bind-gpv}' \text{ gpv } (\text{case-option}$

$(g \ \text{None}) (\lambda y. \text{bind-gpv}' (f y) g))$

$\langle \text{proof} \rangle$

**lemma** *bind-gpv'-cong*:

$\llbracket \text{gpv} = \text{gpv}' ; \bigwedge x. x \in \text{Some } \text{'results}'\text{-gpv } \text{gpv}' \vee (\neg \text{colossless-gpv } \mathcal{I}\text{-full } \text{gpv} \wedge x$



$= \text{None}) \implies f x = f' x \ ]$   
 $\implies \text{bind-gpv}' \text{ gpv } f = \text{bind-gpv}' \text{ gpv}' f'$   
 <proof>

**lemma** *bind-gpv'-cong2*:

$\llbracket \text{gpv} = \text{gpv}'; \bigwedge x. x \in \text{results}'\text{-gpv } \text{gpv}' \implies f (\text{Some } x) = f' (\text{Some } x); \neg \text{coloss-}$   
 $\text{less-gpv } \mathcal{I}\text{-full } \text{gpv} \implies f \text{ None} = f' \text{ None} \rrbracket$   
 $\implies \text{bind-gpv}' \text{ gpv } f = \text{bind-gpv}' \text{ gpv}' f'$   
 <proof>

## 4.16 Inlining

**lemma** *gpv-coinduct-bind* [*consumes 1, case-names Eq-gpv*]:

**fixes**  $\text{gpv } \text{gpv}' :: ('a, 'call, 'ret) \text{ gpv}$   
**assumes**  $*$ :  $R \text{ gpv } \text{gpv}'$   
**and step**:  $\bigwedge \text{gpv } \text{gpv}' . R \text{ gpv } \text{gpv}'$   
 $\implies \text{rel-spmf } (\text{rel-generat } (=) (=) (\text{rel-fun } (=) (\lambda \text{gpv } \text{gpv}' . R \text{ gpv } \text{gpv}' \vee \text{gpv} =$   
 $\text{gpv}' \vee$   
 $(\exists \text{gpv}2 :: ('b, 'call, 'ret) \text{ gpv} . \exists \text{gpv}2' :: ('c, 'call, 'ret) \text{ gpv} . \exists f f' . \text{gpv} =$   
 $\text{bind-gpv } \text{gpv}2 f \wedge \text{gpv}' = \text{bind-gpv } \text{gpv}2' f' \wedge$   
 $\text{rel-gpv } (\lambda x y . R (f x) (f' y)) (=) \text{gpv}2 \text{ gpv}2'))$   
 $(\text{the-gpv } \text{gpv}) (\text{the-gpv } \text{gpv}'))$   
**shows**  $\text{gpv} = \text{gpv}'$   
 <proof>

Inlining one gpv into another. This may throw out arbitrarily many interactions between the two gpvs if the inlined one does not call its callee. So we define it as the coiteration of a least-fixpoint search operator.

**context**

**fixes**  $\text{callee} :: 's \Rightarrow 'call \Rightarrow ('ret \times 's, 'call', 'ret') \text{ gpv}$   
**notes**  $\llbracket \text{function-internals} \rrbracket$   
**begin**

**partial-function** (*spmf*) *inline1*

$:: ('a, 'call, 'ret) \text{ gpv} \Rightarrow 's$   
 $\Rightarrow ('a \times 's + 'call' \times ('ret \times 's, 'call', 'ret') \text{ rpv} \times ('a, 'call, 'ret) \text{ rpv}) \text{ spmf}$

**where**

$\text{inline1 } \text{gpv } s =$   
 $\text{the-gpv } \text{gpv} \gg=$   
 $\text{case-generat } (\lambda x . \text{return-spmf } (\text{Inl } (x, s)))$   
 $(\lambda \text{out } \text{rpv} . \text{the-gpv } (\text{callee } s \text{ out}) \gg=$   
 $\text{case-generat } (\lambda(x, y) . \text{inline1 } (\text{rpv } x) y)$   
 $(\lambda \text{out } \text{rpv}' . \text{return-spmf } (\text{Inr } (\text{out}, \text{rpv}', \text{rpv}))))$

**lemma** *inline1-unfold*:

$\text{inline1 } \text{gpv } s =$   
 $\text{the-gpv } \text{gpv} \gg=$   
 $\text{case-generat } (\lambda x . \text{return-spmf } (\text{Inl } (x, s)))$   
 $(\lambda \text{out } \text{rpv} . \text{the-gpv } (\text{callee } s \text{ out}) \gg=$

$case\_generat (\lambda(x, y). inline1 (rpv\ x)\ y)$   
 $(\lambda out\ rpv'. return\_spmf (Inr (out, rpv', rpv))))$   
 <proof>

**lemma** *inline1-fixp-induct* [case-names adm bottom step]:

**assumes** *ccpo.admissible (fun-lub lub-spmf) (fun-ord (ord-spmf (=)))* ( $\lambda inline1'$ .  
 $P (\lambda gpv\ s. inline1' (gpv, s))$ )  
**and**  $P (\lambda - -. return\_pmf\ None)$   
**and**  $\bigwedge inline1'. P\ inline1' \implies P (\lambda gpv\ s. the\_gpv\ gpv \ggg case\_generat (\lambda x.$   
 $return\_spmf (Inl (x, s))) (\lambda out\ rpv. the\_gpv (callee\ s\ out) \ggg case\_generat (\lambda(x,$   
 $y). inline1' (rpv\ x)\ y) (\lambda out\ rpv'. return\_spmf (Inr (out, rpv', rpv))))$ )  
**shows**  $P\ inline1$   
 <proof>

**lemma** *inline1-fixp-induct-strong* [case-names adm bottom step]:

**assumes** *ccpo.admissible (fun-lub lub-spmf) (fun-ord (ord-spmf (=)))* ( $\lambda inline1'$ .  
 $P (\lambda gpv\ s. inline1' (gpv, s))$ )  
**and**  $P (\lambda - -. return\_pmf\ None)$   
**and**  $\bigwedge inline1'. \llbracket \bigwedge gpv\ s. ord\_spmf (=) (inline1' gpv\ s) (inline1\ gpv\ s); P\ inline1' \rrbracket$   
 $\implies P (\lambda gpv\ s. the\_gpv\ gpv \ggg case\_generat (\lambda x. return\_spmf (Inl (x, s))) (\lambda out$   
 $rpv. the\_gpv (callee\ s\ out) \ggg case\_generat (\lambda(x, y). inline1' (rpv\ x)\ y) (\lambda out\ rpv'.$   
 $return\_spmf (Inr (out, rpv', rpv))))$ )  
**shows**  $P\ inline1$   
 <proof>

**lemma** *inline1-fixp-induct-strong2* [case-names adm bottom step]:

**assumes** *ccpo.admissible (fun-lub lub-spmf) (fun-ord (ord-spmf (=)))* ( $\lambda inline1'$ .  
 $P (\lambda gpv\ s. inline1' (gpv, s))$ )  
**and**  $P (\lambda - -. return\_pmf\ None)$   
**and**  $\bigwedge inline1'.$   
 $\llbracket \bigwedge gpv\ s. ord\_spmf (=) (inline1' gpv\ s) (inline1\ gpv\ s);$   
 $\bigwedge gpv\ s. ord\_spmf (=) (inline1' gpv\ s) (the\_gpv\ gpv \ggg case\_generat (\lambda x.$   
 $return\_spmf (Inl (x, s))) (\lambda out\ rpv. the\_gpv (callee\ s\ out) \ggg case\_generat (\lambda(x,$   
 $y). inline1' (rpv\ x)\ y) (\lambda out\ rpv'. return\_spmf (Inr (out, rpv', rpv))))$ );  
 $P\ inline1' \rrbracket$   
 $\implies P (\lambda gpv\ s. the\_gpv\ gpv \ggg case\_generat (\lambda x. return\_spmf (Inl (x, s))) (\lambda out$   
 $rpv. the\_gpv (callee\ s\ out) \ggg case\_generat (\lambda(x, y). inline1' (rpv\ x)\ y) (\lambda out\ rpv'.$   
 $return\_spmf (Inr (out, rpv', rpv))))$ )  
**shows**  $P\ inline1$   
 <proof>

Iterate *local.inline1* over all interactions. We'd like to use ( $\ggg$ ) before the recursive call, but *primcorec* does not support this. So we emulate ( $\ggg$ ) by effectively defining two mutually recursive functions (sum type in the argument) where the second is exactly ( $\ggg$ ) specialised to call *inline* in the bind.

**primcorec** *inline-aux*

$:: ('a, 'call, 'ret)\ gpv \times 's + ('ret \Rightarrow ('a, 'call, 'ret)\ gpv) \times ('ret \times 's, 'call', 'ret')$

$gpv$   
 $\Rightarrow ('a \times 's, 'call', 'ret')\ gpv$   
**where**  
 $\bigwedge state. the-gpv\ (inline-aux\ state) =$   
 $(case\ state\ of\ Inl\ (c, s) \Rightarrow map-spmf\ (\lambda result.$   
 $\quad case\ result\ of\ Inl\ (x, s) \Rightarrow Pure\ (x, s)$   
 $\quad | Inr\ (out, oracle, rpv) \Rightarrow IO\ out\ (\lambda input. inline-aux\ (Inr\ (rpv, oracle\ input))))$   
 $(inline1\ c\ s)$   
 $| Inr\ (rpv, c) \Rightarrow$   
 $\quad map-spmf\ (\lambda result.$   
 $\quad\quad case\ result\ of\ Inl\ (Inl\ (x, s)) \Rightarrow Pure\ (x, s)$   
 $\quad\quad | Inl\ (Inr\ (out, oracle, rpv)) \Rightarrow IO\ out\ (\lambda input. inline-aux\ (Inr\ (rpv, oracle$   
 $\quad\quad\quad input)))$   
 $\quad\quad | Inr\ (out, c) \Rightarrow IO\ out\ (\lambda input. inline-aux\ (Inr\ (rpv, c\ input))))$   
 $\quad (bind-spmf\ (the-gpv\ c)\ (\lambda generat. case\ generat\ of\ Pure\ (x, s') \Rightarrow (map-spmf\ Inl$   
 $\quad (inline1\ (rpv\ x)\ s'))$   
 $\quad\quad | IO\ out\ c \Rightarrow return-spmf\ (Inr\ (out, c)))$   
 $\quad\quad ))$

**declare**  $inline-aux.simps[simp\ del]$

**definition**  $inline :: ('a, 'call, 'ret)\ gpv \Rightarrow 's \Rightarrow ('a \times 's, 'call', 'ret')\ gpv$   
**where**  $inline\ c\ s = inline-aux\ (Inl\ (c, s))$

**lemma**  $inline-aux-Inr$ :  
 $inline-aux\ (Inr\ (rpv, oracl)) = bind-gpv\ oracl\ (\lambda(x, s). inline\ (rpv\ x)\ s)$   
 $\langle proof \rangle$

**lemma**  $inline-sel$ :  
 $the-gpv\ (inline\ c\ s) =$   
 $map-spmf\ (\lambda result. case\ result\ of\ Inl\ xs \Rightarrow Pure\ xs$   
 $\quad | Inr\ (out, oracle, rpv) \Rightarrow IO\ out\ (\lambda input. bind-gpv\ (oracle$   
 $\quad input)\ (\lambda(x, s'). inline\ (rpv\ x)\ s'))\ (inline1\ c\ s)$   
 $\langle proof \rangle$

**lemma**  $inline1-Fail [simp]$ :  $inline1\ Fail\ s = return-pmf\ None$   
 $\langle proof \rangle$

**lemma**  $inline-Fail [simp]$ :  $inline\ Fail\ s = Fail$   
 $\langle proof \rangle$

**lemma**  $inline1-Done [simp]$ :  $inline1\ (Done\ x)\ s = return-spmf\ (Inl\ (x, s))$   
 $\langle proof \rangle$

**lemma**  $inline-Done [simp]$ :  $inline\ (Done\ x)\ s = Done\ (x, s)$   
 $\langle proof \rangle$

**lemma**  $inline1-lift-spmf [simp]$ :  $inline1\ (lift-spmf\ p)\ s = map-spmf\ (\lambda x. Inl\ (x,$   
 $s))\ p$

$\langle \text{proof} \rangle$

**lemma** *inline-lift-spmf* [simp]:  $\text{inline} (\text{lift-spmf } p) s = \text{lift-spmf} (\text{map-spmf } (\lambda x. (x, s)) p)$   
 $\langle \text{proof} \rangle$

**lemma** *inline1-Pause*:

$\text{inline1} (\text{Pause out } c) s =$   
 $\text{the-gpv} (\text{callee } s \text{ out}) \gg= (\lambda \text{react. case react of Pure } (x, s') \Rightarrow \text{inline1} (c \ x) \ s' \mid$   
 $\text{IO out' } c' \Rightarrow \text{return-spmf} (\text{Inr} (\text{out}', c', c)))$   
 $\langle \text{proof} \rangle$

**lemma** *inline-Pause* [simp]:

$\text{inline} (\text{Pause out } c) s = \text{callee } s \text{ out} \gg= (\lambda(x, s'). \text{inline} (c \ x) \ s')$   
 $\langle \text{proof} \rangle$

**lemma** *inline1-bind-gpv*:

**fixes**  $gpv \ f \ s$   
**defines** [simp]:  $\text{inline11} \equiv \text{inline1}$  **and** [simp]:  $\text{inline12} \equiv \text{inline1}$  **and** [simp]:  
 $\text{inline13} \equiv \text{inline1}$   
**shows**  $\text{inline11} (\text{bind-gpv } gpv \ f) s = \text{bind-spmf} (\text{inline12 } gpv \ s)$   
 $(\lambda \text{res. case res of Inl } (x, s') \Rightarrow \text{inline13} (f \ x) \ s' \mid \text{Inr} (\text{out}, \text{rpv}', \text{rpv}) \Rightarrow$   
 $\text{return-spmf} (\text{Inr} (\text{out}, \text{rpv}', \text{bind-rpv } \text{rpv} \ f)))$   
**(is**  $?lhs = ?rhs$   
 $\langle \text{proof} \rangle$

**lemma** *inline-bind-gpv* [simp]:

$\text{inline} (\text{bind-gpv } gpv \ f) s = \text{bind-gpv} (\text{inline } gpv \ s) (\lambda(x, s'). \text{inline} (f \ x) \ s')$   
 $\langle \text{proof} \rangle$

**end**

**lemma** *set-inline1-lift-spmf1*:  $\text{set-spmf} (\text{inline1} (\lambda s \ x. \text{lift-spmf} (p \ s \ x)) \ gpv \ s) \subseteq$   
 $\text{range Inl}$   
 $\langle \text{proof} \rangle$

**lemma** *in-set-inline1-lift-spmf1*:  $y \in \text{set-spmf} (\text{inline1} (\lambda s \ x. \text{lift-spmf} (p \ s \ x))$   
 $gpv \ s) \implies \exists r \ s'. y = \text{Inl} (r, s')$   
 $\langle \text{proof} \rangle$

**lemma** *inline-lift-spmf1*:

**fixes**  $p$  **defines**  $\text{callee} \equiv \lambda s \ c. \text{lift-spmf} (p \ s \ c)$   
**shows**  $\text{inline } \text{callee } gpv \ s = \text{lift-spmf} (\text{map-spmf } \text{projl} (\text{inline1 } \text{callee } gpv \ s))$   
 $\langle \text{proof} \rangle$

**context includes** *lifting-syntax* **begin**

**lemma** *inline1-parametric'*:

$((S \implies C \implies \text{rel-gpv}'' (\text{rel-prod } R \ S) \ C' \ R') \implies \text{rel-gpv}'' A \ C \ R$   
 $\implies S$

$$\text{====> rel-spmf (rel-sum (rel-prod A S) (rel-prod C' (rel-prod (R' \text{====>} \\ \text{rel-gpv'' (rel-prod R S) C' R') (R \text{====>} \text{rel-gpv'' A C R))))))}$$

$$\text{inline1 inline1}$$

$$\text{(is (- \text{====>} ?R) - -)}$$

$$\langle \text{proof} \rangle$$

**lemma** *inline1-parametric* [*transfer-rule*]:  

$$\text{((S \text{====>} C \text{====>} \text{rel-gpv (rel-prod (=) S) C') \text{====>} \text{rel-gpv A C \text{====>} S}$$

$$\text{====>} \text{rel-spmf (rel-sum (rel-prod A S) (rel-prod C' (rel-prod (rel-rpv (rel-prod} \\ \text{(=) S) C') (rel-rpv A C))))))}$$

$$\text{inline1 inline1}$$

$$\langle \text{proof} \rangle$$

**lemma** *inline-parametric'*:  
**notes** [*transfer-rule*] = *inline1-parametric' the-gpv-parametric' corec-gpv-parametric'*  
**shows** 
$$\text{((S \text{====>} C \text{====>} \text{rel-gpv'' (rel-prod R S) C' R') \text{====>} \text{rel-gpv'' A} \\ \text{C R \text{====>} S \text{====>} \text{rel-gpv'' (rel-prod A S) C' R'})}$$

$$\text{inline inline}$$

$$\langle \text{proof} \rangle$$

**lemma** *inline-parametric* [*transfer-rule*]:  

$$\text{((S \text{====>} C \text{====>} \text{rel-gpv (rel-prod (=) S) C') \text{====>} \text{rel-gpv A C \text{====>} S}$$

$$\text{====>} \text{rel-gpv (rel-prod A S) C'})}$$

$$\text{inline inline}$$

$$\langle \text{proof} \rangle$$
**end**

Associativity rule for *inline*

**context**  
**fixes** *callee1* :: 's1 ⇒ 'c1 ⇒ ('r1 × 's1, 'c, 'r) *gpv*  
**and** *callee2* :: 's2 ⇒ 'c2 ⇒ ('r2 × 's2, 'c1, 'r1) *gpv*  
**begin**

**partial-function** (*spmf*) *inline2* :: ('a, 'c2, 'r2) *gpv* ⇒ 's2 ⇒ 's1  

$$\Rightarrow ('a \times ('s2 \times 's1) + 'c \times ('r1 \times 's1, 'c, 'r) \text{rpv} \times ('r2 \times 's2, 'c1, 'r1) \text{rpv} \times \\ ('a, 'c2, 'r2) \text{rpv}) \text{spmf}$$

**where**  

$$\text{inline2 gpv s2 s1 =}$$

$$\text{bind-spmf (the-gpv gpv)}$$

$$\text{(case-generat (\lambda x. return-spmf (Inl (x, s2, s1)))}$$

$$\text{(\lambda out rpv. bind-spmf (inline1 callee1 (callee2 s2 out) s1)}$$

$$\text{(case-sum (\lambda((r2, s2), s1). inline2 (rpv r2) s2 s1)}$$

$$\text{(\lambda(x, rpv'', rpv'). return-spmf (Inr (x, rpv'', rpv'))))})}$$

**lemma** *inline2-fixp-induct* [*case-names adm bottom step*]:  
**assumes** *ccpo.admissible (fun-lub lub-spmf) (fun-ord (ord-spmf (=)))* ( $\lambda \text{inline2.}$   
 $P (\lambda \text{gpv s2 s1. inline2 ((gpv, s2), s1))$ )  
**and**  $P (\lambda - -. \text{return-pmf None})$   
**and**  $\bigwedge \text{inline2'}. P \text{inline2'} \implies$

$P (\lambda gpv\ s2\ s1.\ bind\text{-}spmf\ (the\text{-}gpv\ gpv)\ (\lambda generat.\ case\ generat\ of$   
 $\quad Pure\ x \Rightarrow return\text{-}spmf\ (Inl\ (x,\ s2,\ s1))$   
 $\quad | IO\ out\ rpv \Rightarrow bind\text{-}spmf\ (inline1\ callee1\ (callee2\ s2\ out)\ s1)\ (\lambda lr.\ case\ lr$   
*of*  
 $\quad Inl\ ((r2,\ s2),\ c) \Rightarrow inline2'\ (rpv\ r2)\ s2\ c$   
 $\quad | Inr\ (x,\ rpv'',\ rpv') \Rightarrow return\text{-}spmf\ (Inr\ (x,\ rpv'',\ rpv',\ rpv))))$   
**shows**  $P\ inline2$   
 $\langle proof \rangle$

**lemma** *inline1-inline-conv-inline2*:  
**fixes**  $gpv' :: ('r2 \times 's2, 'c1, 'r1)\ gpv$   
**shows**  $inline1\ callee1\ (inline\ callee2\ gpv\ s2)\ s1 =$   
 $map\text{-}spmf\ (map\text{-}sum\ (\lambda(x,\ (s2,\ s1)).\ ((x,\ s2),\ s1))$   
 $\quad (\lambda(x,\ rpv'',\ rpv',\ rpv).\ (x,\ rpv'',\ \lambda r1.\ rpv'\ r1 \gg= (\lambda(r2,\ s2).\ inline\ callee2\ (rpv$   
 $\quad r2)\ s2))))$   
 $(inline2\ gpv\ s2\ s1)$   
**(is**  $?lhs = ?rhs)$   
 $\langle proof \rangle$

**lemma** *inline1-inline-conv-inline2'*:  
 $inline1\ (\lambda(s2,\ s1)\ c2.\ map\text{-}gpv\ (\lambda((r,\ s2),\ s1).\ (r,\ s2,\ s1))\ id\ (inline\ callee1$   
 $(callee2\ s2\ c2)\ s1))\ gpv\ (s2,\ s1) =$   
 $map\text{-}spmf\ (map\text{-}sum\ id\ (\lambda(x,\ rpv'',\ rpv',\ rpv).\ (x,\ \lambda r.\ bind\text{-}gpv\ (rpv''\ r)$   
 $\quad (\lambda(r1,\ s1).\ map\text{-}gpv\ (\lambda((r2,\ s2),\ s1).\ (r2,\ s2,\ s1))\ id\ (inline\ callee1\ (rpv'$   
 $\quad r1)\ s1)),\ rpv)))$   
 $(inline2\ gpv\ s2\ s1)$   
**(is**  $?lhs = ?rhs)$   
 $\langle proof \rangle$

**lemma** *inline-assoc*:  
 $inline\ callee1\ (inline\ callee2\ gpv\ s2)\ s1 =$   
 $map\text{-}gpv\ (\lambda(r,\ s2,\ s1).\ ((r,\ s2),\ s1))\ id\ (inline\ (\lambda(s2,\ s1)\ c2.\ map\text{-}gpv\ (\lambda((r,$   
 $s2),\ s1).\ (r,\ s2,\ s1))\ id\ (inline\ callee1\ (callee2\ s2\ c2)\ s1))\ gpv\ (s2,\ s1))$   
 $\langle proof \rangle$

**end**

**lemma** *set-inline2-lift-spmf1*:  $set\text{-}spmf\ (inline2\ (\lambda s\ x.\ lift\text{-}spmf\ (p\ s\ x))\ callee\ gpv$   
 $s\ s') \subseteq range\ Inl$   
 $\langle proof \rangle$

**lemma** *in-set-inline2-lift-spmf1*:  $y \in set\text{-}spmf\ (inline2\ (\lambda s\ x.\ lift\text{-}spmf\ (p\ s\ x))$   
 $callee\ gpv\ s\ s') \implies \exists r\ s\ s'. y = Inl\ (r,\ s,\ s')$   
 $\langle proof \rangle$

**context**

**fixes**  $consider' :: 'call \Rightarrow bool$   
**and**  $consider :: 'call' \Rightarrow bool$   
**and**  $callee :: 's \Rightarrow 'call \Rightarrow ('ret \times 's,\ 'call', 'ret')\ gpv$

**notes**  $[[\text{function-internals}]]$   
**begin**

**private partial-function** (*spmf*) *inline1'*  
 $:: ('a, 'call, 'ret) \text{ gpv} \Rightarrow 's$   
 $\Rightarrow ('a \times 's + 'call \times 'call' \times ('ret \times 's, 'call', 'ret') \text{ rpv} \times ('a, 'call, 'ret) \text{ rpv})$   
*spmf*  
**where**  
*inline1' gpv s* =  
*the-gpv gpv*  $\ggg$   
*case-generat* ( $\lambda x. \text{return-spmf (Inl (x, s))}$ )  
 $(\lambda out \text{ rpv}. \text{the-gpv (callee s out)} \ggg$   
 $\text{case-generat } (\lambda(x, y). \text{inline1}' (\text{rpv } x) \text{ y})$   
 $(\lambda out' \text{ rpv}'. \text{return-spmf (Inr (out, out', rpv', rpv))}))$ )

**private lemma** *inline1'-fixp-induct* [*case-names adm bottom step*]:  
**assumes** *ccpo.admissible (fun-lub lub-spmf) (fun-ord (ord-spmf (=)))* ( $\lambda \text{inline1}'.$   
 $P (\lambda \text{gpv } s. \text{inline1}' (\text{gpv}, s))$ )  
**and**  $P (\lambda -. \text{return-pmf None})$   
**and**  $\bigwedge \text{inline1}'. P \text{inline1}' \Longrightarrow P (\lambda \text{gpv } s. \text{the-gpv gpv} \ggg \text{case-generat } (\lambda x.$   
 $\text{return-spmf (Inl (x, s))} (\lambda out \text{ rpv}. \text{the-gpv (callee s out)} \ggg \text{case-generat } (\lambda(x,$   
 $y). \text{inline1}' (\text{rpv } x) \text{ y}) (\lambda out' \text{ rpv}'. \text{return-spmf (Inr (out, out', rpv', rpv))}))$ )  
**shows**  $P \text{inline1}'$   
 $\langle \text{proof} \rangle$  **lemma** *inline1-conv-inline1'*: *inline1 callee gpv s = map-spmf (map-sum*  
 $\text{id snd) (inline1' gpv s)$   
 $\langle \text{proof} \rangle$

**context**  
**fixes**  $q :: \text{enat}$   
**assumes**  $q: \bigwedge s \ x. \text{consider}' x \Longrightarrow \text{interaction-bound consider (callee s } x) \leq q$   
**and**  $\text{ignore}: \bigwedge s \ x. \neg \text{consider}' x \Longrightarrow \text{interaction-bound consider (callee s } x) = 0$   
**begin**

**private lemma** *interaction-bound-inline1'-aux*:  
 $\text{interaction-bound consider}' \text{ gpv} \leq p$   
 $\Longrightarrow \text{set-spmf (inline1' gpv s)} \subseteq \{\text{Inr (out', out, c', rpv)} \mid \text{out' out c' rpv}.$   
 $\text{if consider}' \text{ out'}$   
 $\text{then } (\forall \text{input}. (\text{if consider out then } \text{eSuc (interaction-bound consider (c'}$   
 $\text{input})) \text{ else } \text{interaction-bound consider (c' input)}) \leq q) \wedge$   
 $(\forall x. \text{eSuc (interaction-bound consider}' (\text{rpv } x)) \leq p)$   
 $\text{else } \neg \text{consider out} \wedge (\forall \text{input}. \text{interaction-bound consider (c' input)} = 0) \wedge$   
 $(\forall x. \text{interaction-bound consider}' (\text{rpv } x) \leq p)\}$   
 $\cup \text{range Inl}$   
 $\langle \text{proof} \rangle$

**lemma** *interaction-bound-inline1'*:  
 $[[ \text{Inr (out', out, c', rpv)} \in \text{set-spmf (inline1' gpv s)}; \text{interaction-bound consider}'$   
 $\text{gpv} \leq p ]]$   
 $\Longrightarrow \text{if consider}' \text{ out' then}$

(if consider out then eSuc (interaction-bound consider (c' input)) else interaction-bound consider (c' input))  $\leq q \wedge$   
 eSuc (interaction-bound consider' (rpv x))  $\leq p$   
 else  $\neg$  consider out  $\wedge$  interaction-bound consider (c' input) = 0  $\wedge$  interaction-bound consider' (rpv x)  $\leq p$   
 ⟨proof⟩

**end**

**lemma** *interaction-bounded-by-inline1*:

[[ Inr (out', out, c', rpv)  $\in$  set-spmf (inline1' gpv s);  
 interaction-bounded-by consider' gpv p;  
 $\bigwedge s x$ . consider' x  $\implies$  interaction-bounded-by consider (callee s x) q;  
 $\bigwedge s x$ .  $\neg$  consider' x  $\implies$  interaction-bounded-by consider (callee s x) 0 ]]  
 $\implies$  if consider' out' then  
 (if consider out then  $q \neq 0 \wedge$  interaction-bounded-by consider (c' input) (q - 1) else interaction-bounded-by consider (c' input) q)  $\wedge$   
 $p \neq 0 \wedge$  interaction-bounded-by consider' (rpv x) (p - 1)  
 else  $\neg$  consider out  $\wedge$  interaction-bounded-by consider (c' input) 0  $\wedge$  interaction-bounded-by consider' (rpv x) p  
 ⟨proof⟩

**declare** *enat-0-iff* [simp]

**lemma** *interaction-bounded-by-inline* [interaction-bound]:

**assumes** p: interaction-bounded-by consider' gpv p  
**and** q:  $\bigwedge s x$ . consider' x  $\implies$  interaction-bounded-by consider (callee s x) q  
**and** ignore:  $\bigwedge s x$ .  $\neg$  consider' x  $\implies$  interaction-bounded-by consider (callee s x) 0  
**shows** interaction-bounded-by consider (inline callee gpv s) (p \* q)  
 ⟨proof⟩

**end**

**lemma** *interaction-bounded-by-inline-invariant*:

**includes** *lifting-syntax*  
**fixes** consider' :: 'call  $\implies$  bool  
**and** consider :: 'call'  $\implies$  bool  
**and** callee :: 's  $\implies$  'call  $\implies$  ('ret  $\times$  's, 'call', 'ret') gpv  
**and** gpv :: ('a, 'call, 'ret) gpv  
**assumes** p: interaction-bounded-by consider' gpv p  
**and** q:  $\bigwedge s x$ . [[ I s; consider' x ]  $\implies$  interaction-bounded-by consider (callee s x) q  
**and** ignore:  $\bigwedge s x$ . [[ I s;  $\neg$  consider' x ]  $\implies$  interaction-bounded-by consider (callee s x) 0  
**and** I: I s  
**and** invariant:  $\bigwedge s x y s'$ . [[ (y, s')  $\in$  results'-gpv (callee s x); I s ]  $\implies$  I s'  
**shows** interaction-bounded-by consider (inline callee gpv s) (p \* q)  
 ⟨proof⟩



**context**  
**fixes**  $\mathcal{I} :: ('call, 'ret) \mathcal{I}$   
**and**  $\mathcal{I}' :: ('call', 'ret') \mathcal{I}$   
**and**  $callee :: 's \Rightarrow 'call \Rightarrow ('ret \times 's, 'call', 'ret') gpv$   
**assumes**  $results: \bigwedge s x. x \in outs\text{-}\mathcal{I} \mathcal{I} \Longrightarrow results\text{-}gpv \mathcal{I}' (callee\ s\ x) \subseteq responses\text{-}\mathcal{I} \mathcal{I} \times UNIV$   
**begin**

**lemma** *inline1-in-sub-gpvs-callee*:  
**assumes**  $Inr (out, callee', rpv') \in set\text{-}spmf (inline1\ callee\ gpv\ s)$   
**and**  $WT: \mathcal{I} \vdash g\ gpv \checkmark$   
**shows**  $\exists call \in outs\text{-}\mathcal{I} \mathcal{I}. \exists s. \forall x \in responses\text{-}\mathcal{I} \mathcal{I}'\ out. callee'\ x \in sub\text{-}gpvs \mathcal{I}' (callee\ s\ call)$   
 $\langle proof \rangle$

**lemma** *inline1-in-sub-gpvs*:  
**assumes**  $Inr (out, callee', rpv') \in set\text{-}spmf (inline1\ callee\ gpv\ s)$   
**and**  $(x, s') \in results\text{-}gpv \mathcal{I}' (callee'\ input)$   
**and**  $input \in responses\text{-}\mathcal{I} \mathcal{I}'\ out$   
**and**  $\mathcal{I} \vdash g\ gpv \checkmark$   
**shows**  $rpv'\ x \in sub\text{-}gpvs \mathcal{I} gpv$   
 $\langle proof \rangle$

**context**  
**assumes**  $WT: \bigwedge x s. x \in outs\text{-}\mathcal{I} \mathcal{I} \Longrightarrow \mathcal{I}' \vdash g\ callee\ s\ x \checkmark$   
**begin**

**lemma** *WT-gpv-inline1*:  
**assumes**  $Inr (out, rpv, rpv') \in set\text{-}spmf (inline1\ callee\ gpv\ s)$   
**and**  $\mathcal{I} \vdash g\ gpv \checkmark$   
**shows**  $out \in outs\text{-}\mathcal{I} \mathcal{I}'$  (**is** *?thesis1*)  
**and**  $input \in responses\text{-}\mathcal{I} \mathcal{I}'\ out \Longrightarrow \mathcal{I}' \vdash g\ rpv\ input \checkmark$  (**is** *PROP ?thesis2*)  
**and**  $\llbracket input \in responses\text{-}\mathcal{I} \mathcal{I}'\ out; (x, s') \in results\text{-}gpv \mathcal{I}' (rpv\ input) \rrbracket \Longrightarrow \mathcal{I} \vdash g\ rpv'\ x \checkmark$  (**is** *PROP ?thesis3*)  
 $\langle proof \rangle$

**lemma** *WT-gpv-inline*:  
**assumes**  $\mathcal{I} \vdash g\ gpv \checkmark$   
**shows**  $\mathcal{I}' \vdash g\ inline\ callee\ gpv\ s \checkmark$   
 $\langle proof \rangle$

**end**

**context**  
**fixes**  $gpv :: ('a, 'call, 'ret) gpv$   
**assumes**  $gpv: lossless\text{-}gpv \mathcal{I} gpv \mathcal{I} \vdash g\ gpv \checkmark$   
**begin**

**lemma** *lossless-spmf-inline1*:  
**assumes** *lossless*:  $\bigwedge s x. x \in \text{outs-}\mathcal{I} \ \mathcal{I} \implies \text{lossless-spmf } (\text{the-gpv } (\text{callee } s \ x))$   
**shows** *lossless-spmf* (*inline1 callee gpv s*)  
 $\langle \text{proof} \rangle$

**lemma** *lossless-gpv-inline1*:  
**assumes** \*: *Inr* (*out*, *rpv*, *rpv'*)  $\in \text{set-spmf } (\text{inline1 callee gpv } s)$   
**and** \*\*: *input*  $\in \text{responses-}\mathcal{I} \ \mathcal{I}' \ \text{out}$   
**and** *lossless*:  $\bigwedge s x. x \in \text{outs-}\mathcal{I} \ \mathcal{I} \implies \text{lossless-gpv } \mathcal{I}' \ (\text{callee } s \ x)$   
**shows** *lossless-gpv*  $\mathcal{I}' \ (\text{rpv } \text{input})$   
 $\langle \text{proof} \rangle$

**lemma** *lossless-results-inline1*:  
**assumes** *Inr* (*out*, *rpv*, *rpv'*)  $\in \text{set-spmf } (\text{inline1 callee gpv } s)$   
**and** (*x*, *s'*)  $\in \text{results-gpv } \mathcal{I}' \ (\text{rpv } \text{input})$   
**and** *input*  $\in \text{responses-}\mathcal{I} \ \mathcal{I}' \ \text{out}$   
**shows** *lossless-gpv*  $\mathcal{I} \ (\text{rpv}' \ x)$   
 $\langle \text{proof} \rangle$

**end**

**lemmas** *lossless-inline1* [rotated 2] = *lossless-spmf-inline1 lossless-gpv-inline1 lossless-results-inline1*

**lemma** *lossless-inline* [rotated]:  
**fixes** *gpv* :: ('a, 'call, 'ret) gpv  
**assumes** *gpv*: *lossless-gpv*  $\mathcal{I} \ \text{gpv } \mathcal{I} \vdash_g \ \text{gpv } \checkmark$   
**and** *lossless*:  $\bigwedge s x. x \in \text{outs-}\mathcal{I} \ \mathcal{I} \implies \text{lossless-gpv } \mathcal{I}' \ (\text{callee } s \ x)$   
**shows** *lossless-gpv*  $\mathcal{I}' \ (\text{inline callee gpv } s)$   
 $\langle \text{proof} \rangle$

**end**

**definition** *id-oracle* :: 's  $\Rightarrow$  'call  $\Rightarrow$  ('ret  $\times$  's, 'call, 'ret) gpv  
**where** *id-oracle* *s x* = *Pause x* ( $\lambda x. \text{Done } (x, s)$ )

**lemma** *inline1-id-oracle*:  
*inline1 id-oracle gpv s* =  
*map-spmf* ( $\lambda \text{generat. case generat of Pure } x \Rightarrow \text{Inl } (x, s) \mid \text{IO out } c \Rightarrow \text{Inr } (\text{out}, \lambda x. \text{Done } (x, s), c)$ ) (*the-gpv gpv*)  
 $\langle \text{proof} \rangle$

**lemma** *inline-id-oracle* [simp]: *inline id-oracle gpv s* = *map-gpv* ( $\lambda x. (x, s)$ ) *id gpv*  
 $\langle \text{proof} \rangle$

**locale** *raw-converter-invariant* =  
**fixes**  $\mathcal{I} :: ('call, 'ret) \ \mathcal{I}$   
**and**  $\mathcal{I}' :: ('call', 'ret') \ \mathcal{I}$   
**and** *callee* :: 's  $\Rightarrow$  'call  $\Rightarrow$  ('ret  $\times$  's, 'call', 'ret') gpv

**and**  $I :: 's \Rightarrow \text{bool}$   
**assumes**  $\text{results-callee}: \bigwedge s x. \llbracket x \in \text{outs-}\mathcal{I} \ \mathcal{I}; I \ s \rrbracket \Longrightarrow \text{results-gpv } \mathcal{I}' \ (\text{callee } s \ x)$   
 $\subseteq \text{responses-}\mathcal{I} \ \mathcal{I} \ x \times \{s. I \ s\}$   
**and**  $\text{WT-callee}: \bigwedge x s. \llbracket x \in \text{outs-}\mathcal{I} \ \mathcal{I}; I \ s \rrbracket \Longrightarrow \mathcal{I}' \vdash_g \text{callee } s \ x \ \checkmark$   
**begin**

**context begin**

**private lemma aux:**

$\text{set-spmf } (\text{inline1 callee gpv } s) \subseteq \{ \text{Inr } (out, \text{callee}', rpv') \mid out \ \text{callee}' \ rpv'.$   
 $\exists \text{call} \in \text{outs-}\mathcal{I} \ \mathcal{I}. \exists s. I \ s \wedge (\forall x \in \text{responses-}\mathcal{I} \ \mathcal{I}' \ out. \text{callee}' \ x \in \text{sub-gpvs } \mathcal{I}'$   
 $(\text{callee } s \ \text{call})) \} \cup$   
 $\{ \text{Inl } (x, s') \mid x \ s'. x \in \text{results-gpv } \mathcal{I} \ \text{gpv} \wedge I \ s' \}$   
**(is**  $\text{?concl } (\text{inline1 callee}) \ \text{gpv } s \ \text{is} \ - \subseteq \text{?rhs1} \cup \text{?rhs2 gpv}$   
**if**  $\mathcal{I} \vdash_g \text{gpv } \checkmark \ I \ s$   
 $\langle \text{proof} \rangle$

**lemma inline1-in-sub-gpvs-callee:**

**assumes**  $\text{Inr } (out, \text{callee}', rpv') \in \text{set-spmf } (\text{inline1 callee gpv } s)$   
**and**  $\text{WT}: \mathcal{I} \vdash_g \text{gpv } \checkmark$   
**and**  $s: I \ s$   
**shows**  $\exists \text{call} \in \text{outs-}\mathcal{I} \ \mathcal{I}. \exists s. I \ s \wedge (\forall x \in \text{responses-}\mathcal{I} \ \mathcal{I}' \ out. \text{callee}' \ x \in \text{sub-gpvs}$   
 $\mathcal{I}' \ (\text{callee } s \ \text{call}))$   
 $\langle \text{proof} \rangle$

**lemma inline1-Inl-results-gpv:**

**assumes**  $\text{Inl } (x, s') \in \text{set-spmf } (\text{inline1 callee gpv } s)$   
**and**  $\text{WT}: \mathcal{I} \vdash_g \text{gpv } \checkmark$   
**and**  $s: I \ s$   
**shows**  $x \in \text{results-gpv } \mathcal{I} \ \text{gpv} \wedge I \ s'$   
 $\langle \text{proof} \rangle$

**end**

**lemma inline1-in-sub-gpvs:**

**assumes**  $\text{Inr } (out, \text{callee}', rpv') \in \text{set-spmf } (\text{inline1 callee gpv } s)$   
**and**  $(x, s') \in \text{results-gpv } \mathcal{I}' \ (\text{callee}' \ \text{input})$   
**and**  $\text{input} \in \text{responses-}\mathcal{I} \ \mathcal{I}' \ out$   
**and**  $\mathcal{I} \vdash_g \text{gpv } \checkmark$   
**and**  $I \ s$   
**shows**  $rpv' \ x \in \text{sub-gpvs } \mathcal{I} \ \text{gpv} \wedge I \ s'$   
 $\langle \text{proof} \rangle$

**lemma WT-gpv-inline1:**

**assumes**  $\text{Inr } (out, rpv, rpv') \in \text{set-spmf } (\text{inline1 callee gpv } s)$   
**and**  $\mathcal{I} \vdash_g \text{gpv } \checkmark$   
**and**  $I \ s$   
**shows**  $out \in \text{outs-}\mathcal{I} \ \mathcal{I}' \ (\text{is } \text{?thesis1})$   
**and**  $\text{input} \in \text{responses-}\mathcal{I} \ \mathcal{I}' \ out \Longrightarrow \mathcal{I}' \vdash_g \text{rpv } \text{input} \ \checkmark \ (\text{is } \text{PROP } \text{?thesis2})$   
**and**  $\llbracket \text{input} \in \text{responses-}\mathcal{I} \ \mathcal{I}' \ out; (x, s') \in \text{results-gpv } \mathcal{I}' \ (\text{rpv } \text{input}) \rrbracket \Longrightarrow \mathcal{I}$   
 $\vdash_g \text{rpv}' \ x \ \checkmark \wedge I \ s' \ (\text{is } \text{PROP } \text{?thesis3})$

*<proof>*

**lemma** *WT-gpv-inline-invar:*

**assumes**  $\mathcal{I} \vdash g \text{ gpv } \checkmark$

**and**  $I \ s$

**shows**  $\mathcal{I}' \vdash g \text{ inline callee gpv } s \checkmark$

*<proof>*

**end**

**lemma** *WT-gpv-inline':*

**assumes**  $\bigwedge s \ x. x \in \text{outs-}\mathcal{I} \ \mathcal{I} \implies \text{results-gpv } \mathcal{I}' \ (\text{callee } s \ x) \subseteq \text{responses-}\mathcal{I} \ \mathcal{I} \ x \times \text{UNIV}$

**and**  $\bigwedge x \ s. x \in \text{outs-}\mathcal{I} \ \mathcal{I} \implies \mathcal{I}' \vdash g \text{ callee } s \ x \checkmark$

**and**  $\mathcal{I} \vdash g \text{ gpv } \checkmark$

**shows**  $\mathcal{I}' \vdash g \text{ inline callee gpv } s \checkmark$

*<proof>*

**lemma** *results-gpv-sub-gvps:*  $\text{gpv}' \in \text{sub-gvps } \mathcal{I} \ \text{gpv} \implies \text{results-gpv } \mathcal{I} \ \text{gpv}' \subseteq \text{results-gpv } \mathcal{I} \ \text{gpv}$

*<proof>*

**lemma** *in-results-gpv-sub-gvps:*  $\llbracket x \in \text{results-gpv } \mathcal{I} \ \text{gpv}'; \text{gpv}' \in \text{sub-gvps } \mathcal{I} \ \text{gpv} \rrbracket \implies x \in \text{results-gpv } \mathcal{I} \ \text{gpv}$

*<proof>*

**context** *raw-converter-invariant* **begin**

**lemma** *results-gpv-inline-aux:*

**assumes**  $(x, s') \in \text{results-gpv } \mathcal{I}' \ (\text{inline-aux callee } y)$

**shows**  $\llbracket y = \text{Inl } (\text{gpv}, s); \mathcal{I} \vdash g \text{ gpv } \checkmark; I \ s \rrbracket \implies x \in \text{results-gpv } \mathcal{I} \ \text{gpv} \wedge I \ s'$

**and**  $\llbracket y = \text{Inr } (\text{rpv}, \text{callee}'); \forall (z, s') \in \text{results-gpv } \mathcal{I}' \ \text{callee}'. \mathcal{I} \vdash g \ \text{rpv } z \checkmark \wedge I \ s' \rrbracket$

$\implies \exists (z, s'') \in \text{results-gpv } \mathcal{I}' \ \text{callee}'. x \in \text{results-gpv } \mathcal{I} \ (\text{rpv } z) \wedge I \ s'' \wedge I \ s'$

*<proof>*

**lemma** *results-gpv-inline:*

$\llbracket (x, s') \in \text{results-gpv } \mathcal{I}' \ (\text{inline callee gpv } s); \mathcal{I} \vdash g \text{ gpv } \checkmark; I \ s \rrbracket \implies x \in \text{results-gpv } \mathcal{I} \ \text{gpv} \wedge I \ s'$

*<proof>*

**end**

**lemma** *inline-map-gpv:*

$\text{inline callee } (\text{map-gpv } f \ g \ \text{gpv}) \ s = \text{map-gpv } (\text{apfst } f) \ \text{id } (\text{inline } (\lambda s \ x. \text{callee } s \ (g \ x)) \ \text{gpv } s)$

*<proof>*

## 4.17 Running GPVs

**type-synonym** ('call, 'ret, 's) callee = 's  $\Rightarrow$  'call  $\Rightarrow$  ('ret  $\times$  's) spmf

**context fixes** callee :: ('call, 'ret, 's) callee **notes** [[function-internals]] **begin**

**partial-function** (spmf) exec-gpv :: ('a, 'call, 'ret) gpv  $\Rightarrow$  's  $\Rightarrow$  ('a  $\times$  's) spmf  
**where**

exec-gpv c s =  
the-gpv c  $\ggg$   
case-generat ( $\lambda x$ . return-spmf (x, s))  
( $\lambda out$  c. callee s out  $\ggg$  ( $\lambda(x, y)$ . exec-gpv (c x) y))

**abbreviation** run-gpv :: ('a, 'call, 'ret) gpv  $\Rightarrow$  's  $\Rightarrow$  'a spmf

**where** run-gpv gpv s  $\equiv$  map-spmf fst (exec-gpv gpv s)

**lemma** exec-gpv-fixp-induct [case-names adm bottom step]:

**assumes** ccpo.admissible (fun-lub lub-spmf) (fun-ord (ord-spmf (=))) ( $\lambda f$ . P ( $\lambda c$  s. f (c, s)))

**and** P ( $\lambda$ - . return-pmf None)

**and**  $\bigwedge$  exec-gpv. P exec-gpv  $\implies$

P ( $\lambda c$  s. the-gpv c  $\ggg$  case-generat ( $\lambda x$ . return-spmf (x, s)) ( $\lambda out$  c. callee s out  $\ggg$  ( $\lambda(x, y)$ . exec-gpv (c x) y)))

**shows** P exec-gpv

*<proof>*

**lemma** exec-gpv-fixp-induct-strong [case-names adm bottom step]:

**assumes** ccpo.admissible (fun-lub lub-spmf) (fun-ord (ord-spmf (=))) ( $\lambda f$ . P ( $\lambda c$  s. f (c, s)))

**and** P ( $\lambda$ - . return-pmf None)

**and**  $\bigwedge$  exec-gpv'.  $\llbracket \bigwedge c$  s. ord-spmf (=) (exec-gpv' c s) (exec-gpv c s); P exec-gpv'  $\rrbracket$

$\implies$  P ( $\lambda c$  s. the-gpv c  $\ggg$  case-generat ( $\lambda x$ . return-spmf (x, s)) ( $\lambda out$  c. callee s out  $\ggg$  ( $\lambda(x, y)$ . exec-gpv' (c x) y)))

**shows** P exec-gpv

*<proof>*

**lemma** exec-gpv-fixp-induct-strong2 [case-names adm bottom step]:

**assumes** ccpo.admissible (fun-lub lub-spmf) (fun-ord (ord-spmf (=))) ( $\lambda f$ . P ( $\lambda c$  s. f (c, s)))

**and** P ( $\lambda$ - . return-pmf None)

**and**  $\bigwedge$  exec-gpv'.

$\llbracket \bigwedge c$  s. ord-spmf (=) (exec-gpv' c s) (exec-gpv c s);

$\bigwedge c$  s. ord-spmf (=) (exec-gpv' c s) (the-gpv c  $\ggg$  case-generat ( $\lambda x$ . return-spmf (x, s)) ( $\lambda out$  c. callee s out  $\ggg$  ( $\lambda(x, y)$ . exec-gpv' (c x) y)));

P exec-gpv'  $\rrbracket$

$\implies$  P ( $\lambda c$  s. the-gpv c  $\ggg$  case-generat ( $\lambda x$ . return-spmf (x, s)) ( $\lambda out$  c. callee s out  $\ggg$  ( $\lambda(x, y)$ . exec-gpv' (c x) y)))

**shows** P exec-gpv

*<proof>*

**end**

**lemma** *exec-gpv-conv-inline1*:

$exec\text{-}gpv\ callee\ gpv\ s = map\text{-}spmf\ projl\ (inline1\ (\lambda s\ c.\ lift\text{-}spmf\ (callee\ s\ c) :: (-, unit, unit)\ gpv)\ gpv\ s)$   
*<proof>*

**lemma** *exec-gpv-simps*:

$exec\text{-}gpv\ callee\ gpv\ s =$   
 $the\text{-}gpv\ gpv \gg=$   
 $case\text{-}generat\ (\lambda x.\ return\text{-}spmf\ (x, s))$   
 $(\lambda out\ rpv.\ callee\ s\ out \gg= (\lambda(x, y).\ exec\text{-}gpv\ callee\ (rpv\ x)\ y))$   
*<proof>*

**lemma** *exec-gpv-lift-spmf [simp]*:

$exec\text{-}gpv\ callee\ (lift\text{-}spmf\ p)\ s = bind\text{-}spmf\ p\ (\lambda x.\ return\text{-}spmf\ (x, s))$   
*<proof>*

**lemma** *exec-gpv-Done [simp]*:  $exec\text{-}gpv\ callee\ (Done\ x)\ s = return\text{-}spmf\ (x, s)$

*<proof>*

**lemma** *exec-gpv-Fail [simp]*:  $exec\text{-}gpv\ callee\ Fail\ s = return\text{-}pmf\ None$

*<proof>*

**lemma** *if-distrib-exec-gpv [if-distrib]*:

$exec\text{-}gpv\ callee\ (if\ b\ then\ x\ else\ y)\ s = (if\ b\ then\ exec\text{-}gpv\ callee\ x\ s\ else\ exec\text{-}gpv\ callee\ y\ s)$   
*<proof>*

**lemmas** *exec-gpv-fixp-parallel-induct [case-names adm bottom step] =*

*parallel-fixp-induct-2-2[OF partial-function-definitions-spmf partial-function-definitions-spmf exec-gpv.mono exec-gpv.mono exec-gpv-def exec-gpv-def, unfolded lub-spmf-empty]*

**context includes** *lifting-syntax begin*

**lemma** *exec-gpv-parametric'*:

$((S ==> CALL ==> rel\text{-}spmf\ (rel\text{-}prod\ R\ S)) ==> rel\text{-}gpv''\ A\ CALL\ R$   
 $==> S ==> rel\text{-}spmf\ (rel\text{-}prod\ A\ S))$   
 $exec\text{-}gpv\ exec\text{-}gpv$   
*<proof>*

**lemma** *exec-gpv-parametric [transfer-rule]*:

$((S ==> CALL ==> rel\text{-}spmf\ (rel\text{-}prod\ ((=) :: 'ret \Rightarrow -)\ S)) ==> rel\text{-}gpv$   
 $A\ CALL ==> S ==> rel\text{-}spmf\ (rel\text{-}prod\ A\ S))$   
 $exec\text{-}gpv\ exec\text{-}gpv$   
*<proof>*

**end**

**lemma** *exec-gpv-bind*:  $exec\text{-}gpv\ callee\ (c \gg= f)\ s = exec\text{-}gpv\ callee\ c\ s \gg= (\lambda(x, s') \Rightarrow exec\text{-}gpv\ callee\ (f\ x)\ s')$   
 ⟨proof⟩

**lemma** *exec-gpv-map-gpv-id*:  
 $exec\text{-}gpv\ oracle\ (map\text{-}gpv\ f\ id\ gpv)\ \sigma = map\text{-}spmf\ (apfst\ f)\ (exec\text{-}gpv\ oracle\ gpv\ \sigma)$   
 ⟨proof⟩

**lemma** *exec-gpv-Pause* [simp]:  
 $exec\text{-}gpv\ callee\ (Pause\ out\ f)\ s = callee\ s\ out \gg= (\lambda(x, s'). exec\text{-}gpv\ callee\ (f\ x)\ s')$   
 ⟨proof⟩

**lemma** *exec-gpv-bind-lift-spmf*:  
 $exec\text{-}gpv\ callee\ (bind\text{-}gpv\ (lift\text{-}spmf\ p)\ f)\ s = bind\text{-}spmf\ p\ (\lambda x. exec\text{-}gpv\ callee\ (f\ x)\ s)$   
 ⟨proof⟩

**lemma** *exec-gpv-bind-option* [simp]:  
 $exec\text{-}gpv\ oracle\ (monad.\text{bind-option}\ Fail\ x\ f)\ s = monad.\text{bind-option}\ (return\text{-}pmf\ None)\ x\ (\lambda a. exec\text{-}gpv\ oracle\ (f\ a)\ s)$   
 ⟨proof⟩

**lemma** *pred-spmf-exec-gpv*:  
 — We don't get an equivalence here because states are threaded through in *exec-gpv*.  
 $\llbracket pred\text{-}gpv\ A\ C\ gpv; pred\text{-}fun\ S\ (pred\text{-}fun\ C\ (pred\text{-}spmf\ (pred\text{-}prod\ (\lambda\_. True)\ S))\ callee; S\ s \rrbracket$   
 $\implies pred\text{-}spmf\ (pred\text{-}prod\ A\ S)\ (exec\text{-}gpv\ callee\ gpv\ s)$   
 ⟨proof⟩

**lemma** *exec-gpv-inline*:  
**fixes** *callee* :: ('c, 'r, 's) callee  
**and** *gpv* :: 's'  $\Rightarrow$  'c'  $\Rightarrow$  ('r'  $\times$  's', 'c, 'r) gpv  
**shows**  $exec\text{-}gpv\ callee\ (inline\ gpv\ c'\ s')\ s =$   
 $map\text{-}spmf\ (\lambda(x, s', s). ((x, s'), s))\ (exec\text{-}gpv\ (\lambda(s', s)\ y. map\text{-}spmf\ (\lambda((x, s'), s). (x, s', s))\ (exec\text{-}gpv\ callee\ (gpv\ s'\ y)\ s))\ c'\ (s', s))$   
 (is ?lhs = ?rhs)  
 ⟨proof⟩

**lemma** *ord-spmf-exec-gpv*:  
**assumes** *callee*:  $\bigwedge s\ x. ord\text{-}spmf\ (=)\ (callee1\ s\ x)\ (callee2\ s\ x)$   
**shows**  $ord\text{-}spmf\ (=)\ (exec\text{-}gpv\ callee1\ gpv\ s)\ (exec\text{-}gpv\ callee2\ gpv\ s)$   
 ⟨proof⟩

**context** **fixes** *callee* :: ('call, 'ret, 's) callee **notes** [[function-internals]] **begin**

**partial-function** (*spmf*) *excep-resumption* :: ('a, 'call, 'ret) *resumption*  $\Rightarrow$  's  $\Rightarrow$  ('a  $\times$  's) *spmf*

**where**

*excep-resumption* *r s* = (case *r* of *resumption.Done* *x*  $\Rightarrow$  *return-pmf* (*map-option* ( $\lambda a. (a, s)$ ) *x*)  
| *resumption.Pause* *out c*  $\Rightarrow$  *bind-spmf* (*callee s out*) ( $\lambda(input, s')$ . *excep-resumption* (*c input*) *s'*))

**simps-of-case** *excep-resumption-simps* [*simp*]: *excep-resumption.simps*

**lemma** *excep-resumption-ABORT* [*simp*]: *excep-resumption ABORT s* = *return-pmf None*  
<proof>

**lemma** *excep-resumption-DONE* [*simp*]: *excep-resumption (DONE x) s* = *return-spmf (x, s)*  
<proof>

**lemma** *exec-gpv-lift-resumption*: *exec-gpv callee (lift-resumption r) s* = *excep-resumption r s*  
<proof>

**lemma** *mcont2mcont-excep-resumption* [*THEN* *spmf.mcont2mcont, cont-intro, simp*]:  
**shows** *mcont-excep-resumption*:  
*mcont resumption-lub resumption-ord lub-spmf (ord-spmf (=))* ( $\lambda r. \textit{excep-resumption r s}$ )  
<proof>

**lemma** *excep-resumption-bind* [*simp*]:  
*excep-resumption (r  $\ggg$  f) s* = *excep-resumption r s  $\ggg$  ( $\lambda(x, s'). \textit{excep-resumption (f x) s'}$ )*  
<proof>

**lemma** *pred-spmf-excep-resumption*:  
 $\bigwedge A. \llbracket \textit{pred-resumption A C r; pred-fun S (pred-fun C (pred-spmf (pred-prod (\lambda-. True) S))) callee; S s} \rrbracket$   
 $\implies \textit{pred-spmf (pred-prod A S) (excep-resumption r s)}$   
<proof>

**end**

**inductive** *WT-callee* :: ('call, 'ret) *I*  $\Rightarrow$  ('call  $\Rightarrow$  ('ret  $\times$  's) *spmf*)  $\Rightarrow$  *bool* ( $\iota(-)$ )  
 $\vdash c/ (-) \checkmark \triangleright [100, 0] 99$

**for** *I callee*

**where**

*WT-callee*:

$\llbracket \bigwedge call \textit{ret s.} \llbracket call \in \textit{outs-I I; (ret, s) \in set-spmf (callee call)} \rrbracket \implies \textit{ret} \in \textit{responses-I I call} \rrbracket$



$\implies \mathcal{I} \vdash c \text{ callee } \checkmark$

**lemmas**  $WT\text{-callee}I = WT\text{-callee}$

**hide-fact**  $WT\text{-callee}$

**lemma**  $WT\text{-callee}D$ :  $\llbracket \mathcal{I} \vdash c \text{ callee } \checkmark; (ret, s) \in \text{set-spmf } (callee \text{ out}); out \in \text{outs-}\mathcal{I} \mathcal{I} \rrbracket \implies ret \in \text{responses-}\mathcal{I} \mathcal{I} \text{ out}$

$\langle \text{proof} \rangle$

**lemma**  $WT\text{-callee-full}$   $[intro!, simp]$ :  $\mathcal{I}\text{-full} \vdash c \text{ callee } \checkmark$

$\langle \text{proof} \rangle$

**lemma**  $WT\text{-callee-parametric}$   $[transfer\text{-rule}]$ :

**includes**  $lifting\text{-syntax}$

**assumes**  $[transfer\text{-rule}]$ :  $bi\text{-unique } R$

**shows**  $(rel\text{-}\mathcal{I} \ C \ R \implies (C \implies rel\text{-spmfs } (rel\text{-prod } R \ S)) \implies (=))$

$WT\text{-callee } WT\text{-callee}$

$\langle \text{proof} \rangle$

**locale**  $callee\text{-invariant-on-base} =$

**fixes**  $callee :: 's \Rightarrow 'a \Rightarrow ('b \times 's) \text{ spmf}$

**and**  $I :: 's \Rightarrow \text{bool}$

**and**  $\mathcal{I} :: ('a, 'b) \mathcal{I}$

**locale**  $callee\text{-invariant-on} = callee\text{-invariant-on-base } callee \ I \ \mathcal{I}$

**for**  $callee :: 's \Rightarrow 'a \Rightarrow ('b \times 's) \text{ spmf}$

**and**  $I :: 's \Rightarrow \text{bool}$

**and**  $\mathcal{I} :: ('a, 'b) \mathcal{I}$

**+**

**assumes**  $callee\text{-invariant}$ :  $\bigwedge s \ x \ y \ s'. \llbracket (y, s') \in \text{set-spmf } (callee \ s \ x); I \ s; x \in \text{outs-}\mathcal{I} \mathcal{I} \rrbracket \implies I \ s'$

**and**  $WT\text{-callee}$ :  $\bigwedge s. I \ s \implies \mathcal{I} \vdash c \text{ callee } s \checkmark$

**begin**

**lemma**  $callee\text{-invariant}'$ :  $\llbracket (y, s') \in \text{set-spmf } (callee \ s \ x); I \ s; x \in \text{outs-}\mathcal{I} \mathcal{I} \rrbracket \implies I \ s' \wedge y \in \text{responses-}\mathcal{I} \mathcal{I} \ x$

$\langle \text{proof} \rangle$

**lemma**  $exec\text{-gpv-invariant}'$ :

$\llbracket I \ s; \mathcal{I} \vdash g \text{ gpv } \checkmark \rrbracket \implies \text{set-spmf } (exec\text{-gpv } callee \ gpv \ s) \subseteq \{(x, s'). I \ s'\}$

$\langle \text{proof} \rangle$

**lemma**  $exec\text{-gpv-invariant}$ :

$\llbracket (x, s') \in \text{set-spmf } (exec\text{-gpv } callee \ gpv \ s); I \ s; \mathcal{I} \vdash g \text{ gpv } \checkmark \rrbracket \implies I \ s'$

$\langle \text{proof} \rangle$

**lemma**  $interaction\text{-bounded-by-exec-gpv-count}'$ :

**fixes**  $count$

**assumes**  $bound$ :  $interaction\text{-bounded-by consider gpv } n$

**and** *count*:  $\bigwedge s x y s'. \llbracket (y, s') \in \text{set-spmf} (\text{callee } s x); I s; \text{consider } x; x \in \text{outs-}\mathcal{I} \rrbracket \implies \text{count } s' \leq \text{eSuc} (\text{count } s)$   
**and** *ignore*:  $\bigwedge s x y s'. \llbracket (y, s') \in \text{set-spmf} (\text{callee } s x); I s; \neg \text{consider } x; x \in \text{outs-}\mathcal{I} \rrbracket \implies \text{count } s' \leq \text{count } s$   
**and** *WT*:  $\mathcal{I} \vdash g \text{ gpv } \checkmark$   
**and** *I*:  $I s$   
**shows**  $\text{set-spmf} (\text{exec-gpv callee gpv } s) \subseteq \{(x, s'). \text{count } s' \leq n + \text{count } s\}$   
*<proof>*

**lemma** *interaction-bounded-by-exec-gpv-count*:

**fixes** *count*  
**assumes** *bound*: *interaction-bounded-by consider gpv n*  
**and** *xs'*:  $(x, s') \in \text{set-spmf} (\text{exec-gpv callee gpv } s)$   
**and** *count*:  $\bigwedge s x y s'. \llbracket (y, s') \in \text{set-spmf} (\text{callee } s x); I s; \text{consider } x; x \in \text{outs-}\mathcal{I} \rrbracket \implies \text{count } s' \leq \text{eSuc} (\text{count } s)$   
**and** *ignore*:  $\bigwedge s x y s'. \llbracket (y, s') \in \text{set-spmf} (\text{callee } s x); I s; \neg \text{consider } x; x \in \text{outs-}\mathcal{I} \rrbracket \implies \text{count } s' \leq \text{count } s$   
**and** *WT*:  $\mathcal{I} \vdash g \text{ gpv } \checkmark$   
**and** *I*:  $I s$   
**shows**  $\text{count } s' \leq n + \text{count } s$   
*<proof>*

**lemma** *interaction-bounded-by'-exec-gpv-count*:

**fixes** *count*  
**assumes** *bound*: *interaction-bounded-by' consider gpv n*  
**and** *xs'*:  $(x, s') \in \text{set-spmf} (\text{exec-gpv callee gpv } s)$   
**and** *count*:  $\bigwedge s x y s'. \llbracket (y, s') \in \text{set-spmf} (\text{callee } s x); I s; \text{consider } x; x \in \text{outs-}\mathcal{I} \rrbracket \implies \text{count } s' \leq \text{Suc} (\text{count } s)$   
**and** *ignore*:  $\bigwedge s x y s'. \llbracket (y, s') \in \text{set-spmf} (\text{callee } s x); I s; \neg \text{consider } x; x \in \text{outs-}\mathcal{I} \rrbracket \implies \text{count } s' \leq \text{count } s$   
**and** *outs*:  $\mathcal{I} \vdash g \text{ gpv } \checkmark$   
**and** *I*:  $I s$   
**shows**  $\text{count } s' \leq n + \text{count } s$   
*<proof>*

**lemma** *pred-spmf-calleeI*:  $\llbracket I s; x \in \text{outs-}\mathcal{I} \rrbracket \implies \text{pred-spmf} (\text{pred-prod } (\lambda-. \text{True}) I) (\text{callee } s x)$   
*<proof>*

**lemma** *lossless-exec-gpv*:

**assumes** *gpv*: *lossless-gpv I gpv*  
**and** *callee*:  $\bigwedge s \text{out}. \llbracket \text{out} \in \text{outs-}\mathcal{I} \rrbracket; I s \implies \text{lossless-spmf} (\text{callee } s \text{out})$   
**and** *WT-gpv*:  $\mathcal{I} \vdash g \text{ gpv } \checkmark$   
**and** *I*:  $I s$   
**shows**  $\text{lossless-spmf} (\text{exec-gpv callee gpv } s)$   
*<proof>*

**lemma** *in-set-spmf-exec-gpv-into-results-gpv*:

**assumes** \*:  $(x, s') \in \text{set-spmf} (\text{exec-gpv callee gpv } s)$

**and**  $WT\text{-}gpv : \mathcal{I} \vdash g \text{ } gpv \ \checkmark$   
**and**  $I : I \ s$   
**shows**  $x \in \text{results-gpv } \mathcal{I} \text{ } gpv$   
 $\langle \text{proof} \rangle$

**end**

**lemma** *callee-invariant-on-alt-def*:

$\text{callee-invariant-on} = (\lambda \text{callee } I \ \mathcal{I}.$   
 $\quad (\forall s \in \text{Collect } I. \forall x \in \text{outs-}\mathcal{I} \ \mathcal{I}. \forall (y, s') \in \text{set-spmf } (\text{callee } s \ x). I \ s') \wedge$   
 $\quad (\forall s \in \text{Collect } I. \mathcal{I} \vdash c \ \text{callee } s \ \checkmark))$   
 $\langle \text{proof} \rangle$

**lemma** *callee-invariant-on-parametric* [transfer-rule]: **includes** *lifting-syntax*

**assumes** [transfer-rule]: *bi-unique*  $R$  *bi-total*  $S$   
**shows**  $((S \text{ } \text{====>} C \text{ } \text{====>} \text{rel-spmf } (\text{rel-prod } R \ S)) \text{ } \text{====>} (S \text{ } \text{====>} (=))$   
 $\text{====>} \text{rel-}\mathcal{I} \ C \ R \text{ } \text{====>} (=))$   
 $\text{callee-invariant-on } \text{callee-invariant-on}$   
 $\langle \text{proof} \rangle$

**lemma** *callee-invariant-on-cong*:

$\llbracket I = I'; \text{outs-}\mathcal{I} \ \mathcal{I} = \text{outs-}\mathcal{I} \ \mathcal{I}' \rrbracket$   
 $\quad \wedge s \ x. \llbracket I' \ s; x \in \text{outs-}\mathcal{I} \ \mathcal{I}' \rrbracket \implies \text{set-spmf } (\text{callee } s \ x) \subseteq \text{responses-}\mathcal{I} \ \mathcal{I} \ x \times$   
 $\text{Collect } I' \longleftrightarrow \text{set-spmf } (\text{callee}' \ s \ x) \subseteq \text{responses-}\mathcal{I} \ \mathcal{I}' \ x \times \text{Collect } I'$   
 $\implies \text{callee-invariant-on } \text{callee } I \ \mathcal{I} = \text{callee-invariant-on } \text{callee}' \ I' \ \mathcal{I}'$   
 $\langle \text{proof} \rangle$

**abbreviation** *callee-invariant* ::  $('s \implies 'a \implies ('b \times 's) \text{ } \text{spmf}) \implies ('s \implies \text{bool}) \implies \text{bool}$   
**where** *callee-invariant*  $\text{callee } I \equiv \text{callee-invariant-on } \text{callee } I \ \mathcal{I}\text{-full}$

**interpretation** *oi-True*: *callee-invariant-on*  $\text{callee } \lambda\text{-}$ . *True*  $\mathcal{I}$ -full **for** *callee*

$\langle \text{proof} \rangle$

**lemma** *callee-invariant-on-return-spmf* [simp]:

$\text{callee-invariant-on } (\lambda s \ x. \text{return-spmf } (f \ s \ x)) \ I \ \mathcal{I} \longleftrightarrow (\forall s. \forall x \in \text{outs-}\mathcal{I} \ \mathcal{I}. I \ s$   
 $\longrightarrow I \ (\text{snd } (f \ s \ x)) \wedge \text{fst } (f \ s \ x) \in \text{responses-}\mathcal{I} \ \mathcal{I} \ x)$   
 $\langle \text{proof} \rangle$

**lemma** *callee-invariant-return-spmf* [simp]:

$\text{callee-invariant } (\lambda s \ x. \text{return-spmf } (f \ s \ x)) \ I \longleftrightarrow (\forall s \ x. I \ s \longrightarrow I \ (\text{snd } (f \ s \ x)))$   
 $\langle \text{proof} \rangle$

**lemma** *callee-invariant-restrict-relp*:

**includes** *lifting-syntax*  
**assumes**  $(S \text{ } \text{====>} C \text{ } \text{====>} \text{rel-spmf } (\text{rel-prod } R \ S))$  *callee1* *callee2*  
**and** *callee-invariant* *callee1*  $I1$   
**and** *callee-invariant* *callee2*  $I2$   
**shows**  $((S \upharpoonright I1 \otimes I2) \text{ } \text{====>} C \text{ } \text{====>} \text{rel-spmf } (\text{rel-prod } R \ (S \upharpoonright I1 \otimes I2)))$   
*callee1* *callee2*

$\langle \text{proof} \rangle$

**lemma** *callee-invariant-on-True* [simp]: *callee-invariant-on callee*  $(\lambda-. \text{True}) \mathcal{I} \longleftrightarrow$   
 $(\forall s. \mathcal{I} \vdash c \text{ callee } s \checkmark)$   
 $\langle \text{proof} \rangle$

**lemma** *lossless-exec-gpv*:  
[[ *lossless-gpv*  $\mathcal{I}$  *gpv*;  $\bigwedge s \text{ out}. \text{out} \in \text{outs-}\mathcal{I} \mathcal{I} \implies \text{lossless-spmf} (\text{callee } s \text{ out});$   
 $\mathcal{I} \vdash g \text{ gpv } \checkmark$ ;  $\bigwedge s. \mathcal{I} \vdash c \text{ callee } s \checkmark$  ]]  
 $\implies \text{lossless-spmf} (\text{exec-gpv callee gpv } s)$   
 $\langle \text{proof} \rangle$

**lemma** *in-set-spmf-exec-gpv-into-results'-gpv*:  
**assumes** \*:  $(x, s') \in \text{set-spmf} (\text{exec-gpv callee gpv } s)$   
**shows**  $x \in \text{results}'\text{-gpv gpv}$   
 $\langle \text{proof} \rangle$

**context** **fixes**  $\mathcal{I} :: ('out, 'in) \mathcal{I}$  **begin**

**primcorec** *restrict-gpv* ::  $('a, 'out, 'in) \text{gpv} \Rightarrow ('a, 'out, 'in) \text{gpv}$   
**where**

*restrict-gpv gpv* = *GPV* (  
*map-pmf* (*case-option* *None* (*case-generat* (*Some*  $\circ$  *Pure*)  
 $(\lambda \text{out } c. \text{if } \text{out} \in \text{outs-}\mathcal{I} \mathcal{I} \text{ then } \text{Some} (\text{IO } \text{out} (\lambda \text{input}. \text{if } \text{input} \in \text{responses-}\mathcal{I} \mathcal{I} \text{ out then } \text{restrict-gpv} (c \text{ input}) \text{ else } \text{Fail}))$   
*else* *None*)))  
*the-gpv gpv*))

**lemma** *restrict-gpv-Done* [simp]: *restrict-gpv* (*Done*  $x$ ) = *Done*  $x$   
 $\langle \text{proof} \rangle$

**lemma** *restrict-gpv-Fail* [simp]: *restrict-gpv* *Fail* = *Fail*  
 $\langle \text{proof} \rangle$

**lemma** *restrict-gpv-Pause* [simp]: *restrict-gpv* (*Pause*  $\text{out } c$ ) = (*if*  $\text{out} \in \text{outs-}\mathcal{I} \mathcal{I}$   
*then* *Pause*  $\text{out} (\lambda \text{input}. \text{if } \text{input} \in \text{responses-}\mathcal{I} \mathcal{I} \text{ out then } \text{restrict-gpv} (c \text{ input})$   
*else* *Fail*) *else* *Fail*)  
 $\langle \text{proof} \rangle$

**lemma** *restrict-gpv-bind* [simp]: *restrict-gpv* (*bind-gpv*  $\text{gpv } f$ ) = *bind-gpv* (*restrict-gpv*  
 $\text{gpv}$ )  $(\lambda x. \text{restrict-gpv} (f x))$   
 $\langle \text{proof} \rangle$

**lemma** *WT-restrict-gpv* [simp]:  $\mathcal{I} \vdash g \text{ restrict-gpv gpv } \checkmark$   
 $\langle \text{proof} \rangle$

**lemma** *exec-gpv-restrict-gpv*:  
**assumes**  $\mathcal{I} \vdash g \text{ gpv } \checkmark$  **and** *WT-callee*:  $\bigwedge s. \mathcal{I} \vdash c \text{ callee } s \checkmark$

**shows**  $\text{exec-gpv callee (restrict-gpv gpv) s} = \text{exec-gpv callee gpv s}$   
(proof)

**lemma**  $\text{in-outs}'\text{-restrict-gpvD: } x \in \text{outs}'\text{-gpv (restrict-gpv gpv)} \implies x \in \text{outs-}\mathcal{I} \mathcal{I}$   
(proof)

**lemma**  $\text{outs}'\text{-restrict-gpv: } \text{outs}'\text{-gpv (restrict-gpv gpv)} \subseteq \text{outs-}\mathcal{I} \mathcal{I}$  (proof)

**lemma**  $\text{lossless-restrict-gpvI: } \llbracket \text{lossless-gpv } \mathcal{I} \text{ gpv; } \mathcal{I} \vdash_g \text{ gpv } \checkmark \rrbracket \implies \text{lossless-gpv } \mathcal{I} \text{ (restrict-gpv gpv)}$   
(proof)

**lemma**  $\text{lossless-restrict-gpvD: } \llbracket \text{lossless-gpv } \mathcal{I} \text{ (restrict-gpv gpv); } \mathcal{I} \vdash_g \text{ gpv } \checkmark \rrbracket \implies \text{lossless-gpv } \mathcal{I} \text{ gpv}$   
(proof)

**lemma**  $\text{colossless-restrict-gpvD: } \llbracket \text{colossless-gpv } \mathcal{I} \text{ (restrict-gpv gpv); } \mathcal{I} \vdash_g \text{ gpv } \checkmark \rrbracket \implies \text{colossless-gpv } \mathcal{I} \text{ gpv}$   
(proof)

**lemma**  $\text{colossless-restrict-gpvI: } \llbracket \text{colossless-gpv } \mathcal{I} \text{ gpv; } \mathcal{I} \vdash_g \text{ gpv } \checkmark \rrbracket \implies \text{colossless-gpv } \mathcal{I} \text{ (restrict-gpv gpv)}$   
(proof)

**lemma**  $\text{gen-colossless-restrict-gpv [simp]: } \mathcal{I} \vdash_g \text{ gpv } \checkmark \implies \text{gen-lossless-gpv b } \mathcal{I} \text{ (restrict-gpv gpv)} \longleftrightarrow \text{gen-lossless-gpv b } \mathcal{I} \text{ gpv}$   
(proof)

**lemma**  $\text{interaction-bound-restrict-gpv: } \text{interaction-bound consider (restrict-gpv gpv)} \leq \text{interaction-bound consider gpv}$   
(proof)

**lemma**  $\text{interaction-bounded-by-restrict-gpvI [interaction-bound, simp]: } \text{interaction-bounded-by consider gpv } n \implies \text{interaction-bounded-by consider (restrict-gpv gpv) } n$   
(proof)

**end**

**lemma**  $\text{restrict-gpv-parametric':}$   
**includes**  $\text{lifting-syntax}$   
**notes**  $[\text{transfer-rule}] = \text{the-gpv-parametric' Fail-parametric' corec-gpv-parametric'}$   
**assumes**  $[\text{transfer-rule}]: \text{bi-unique } C \text{ bi-unique } R$   
**shows**  $(\text{rel-}\mathcal{I} \ C \ R \implies \text{rel-gpv}'' \ A \ C \ R \implies \text{rel-gpv}'' \ A \ C \ R) \text{ restrict-gpv}$   
 $\text{restrict-gpv}$   
(proof)

**lemma**  $\text{restrict-gpv-parametric [transfer-rule]: includes lifting-syntax shows}$

*bi-unique*  $C \implies (\text{rel-}\mathcal{I} \ C (=) \implies \text{rel-gpv} \ A \ C \implies \text{rel-gpv} \ A \ C) \text{ restrict-gpv}$   
*restrict-gpv*  
 $\langle \text{proof} \rangle$

**lemma** *map-restrict-gpv*:  $\text{map-gpv} \ f \ id \ (\text{restrict-gpv} \ \mathcal{I} \ gpv) = \text{restrict-gpv} \ \mathcal{I} \ (\text{map-gpv} \ f \ id \ gpv)$   
**for**  $gpv :: ('a, 'out, 'ret) \ gpv$   
 $\langle \text{proof} \rangle$

**lemma** (*in callee-invariant-on*) *exec-gpv-restrict-gpv-invariant*:  
**assumes**  $\mathcal{I} \vdash g \ gpv \ \checkmark$  **and**  $I \ s$   
**shows**  $\text{exec-gpv} \ \text{callee} \ (\text{restrict-gpv} \ \mathcal{I} \ gpv) \ s = \text{exec-gpv} \ \text{callee} \ gpv \ s$   
 $\langle \text{proof} \rangle$

**lemma** *in-results-gpv-restrict-gpvD*:  
**assumes**  $x \in \text{results-gpv} \ \mathcal{I} \ (\text{restrict-gpv} \ \mathcal{I}' \ gpv)$   
**shows**  $x \in \text{results-gpv} \ \mathcal{I} \ gpv$   
 $\langle \text{proof} \rangle$

**lemma** *results-gpv-restrict-gpv*:  
 $\text{results-gpv} \ \mathcal{I} \ (\text{restrict-gpv} \ \mathcal{I}' \ gpv) \subseteq \text{results-gpv} \ \mathcal{I} \ gpv$   
 $\langle \text{proof} \rangle$

**lemma** *in-results'-gpv-restrict-gpvD*:  
 $x \in \text{results}'\text{-gpv} \ (\text{restrict-gpv} \ \mathcal{I}' \ gpv) \implies x \in \text{results}'\text{-gpv} \ gpv$   
 $\langle \text{proof} \rangle$

**primcorec** *enforce- $\mathcal{I}$ -gpv* ::  $('out, 'in) \ \mathcal{I} \Rightarrow ('a, 'out, 'in) \ gpv \Rightarrow ('a, 'out, 'in) \ gpv$   
**where**  
 $\text{enforce-}\mathcal{I}\text{-gpv} \ \mathcal{I} \ gpv = GPV$   
 $(\text{map-spmf} \ (\text{map-generat} \ id \ id \ ((\circ) \ (\text{enforce-}\mathcal{I}\text{-gpv} \ \mathcal{I}))))$   
 $(\text{map-spmf} \ (\lambda \text{generat. case generat of Pure } x \Rightarrow \text{Pure } x \mid IO \ out \ rpv \Rightarrow IO \ out$   
 $(\lambda \text{input. if input} \in \text{responses-}\mathcal{I} \ \mathcal{I} \ out \ \text{then } rpv \ \text{input} \ \text{else Fail}))$   
 $(\text{enforce-spmf} \ (\text{pred-generat} \ \top \ (\lambda x. x \in \text{outs-}\mathcal{I} \ \mathcal{I}) \ \top) \ (\text{the-gpv} \ gpv))))$

**lemma** *enforce- $\mathcal{I}$ -gpv-Done [simp]*:  $\text{enforce-}\mathcal{I}\text{-gpv} \ \mathcal{I} \ (\text{Done} \ x) = \text{Done} \ x$   
 $\langle \text{proof} \rangle$

**lemma** *enforce- $\mathcal{I}$ -gpv-Fail [simp]*:  $\text{enforce-}\mathcal{I}\text{-gpv} \ \mathcal{I} \ \text{Fail} = \text{Fail}$   
 $\langle \text{proof} \rangle$

**lemma** *enforce- $\mathcal{I}$ -gpv-Pause [simp]*:  
 $\text{enforce-}\mathcal{I}\text{-gpv} \ \mathcal{I} \ (\text{Pause} \ out \ rpv) =$   
 $(\text{if } out \in \text{outs-}\mathcal{I} \ \mathcal{I} \ \text{then } \text{Pause} \ out \ (\lambda \text{input. if input} \in \text{responses-}\mathcal{I} \ \mathcal{I} \ out \ \text{then}$   
 $\text{enforce-}\mathcal{I}\text{-gpv} \ \mathcal{I} \ (rpv \ \text{input}) \ \text{else Fail}) \ \text{else Fail})$   
 $\langle \text{proof} \rangle$

**lemma** *enforce- $\mathcal{I}$ -gpv-lift-spmf [simp]*:  $\text{enforce-}\mathcal{I}\text{-gpv} \ \mathcal{I} \ (\text{lift-spmf} \ p) = \text{lift-spmf} \ p$   
 $\langle \text{proof} \rangle$

**lemma** *enforce- $\mathcal{I}$ -gpv-bind-gpv* [simp]:  
 $enforce\text{-}\mathcal{I}\text{-gpv } \mathcal{I} (bind\text{-gpv } gpv f) = bind\text{-gpv } (enforce\text{-}\mathcal{I}\text{-gpv } \mathcal{I} gpv) (enforce\text{-}\mathcal{I}\text{-gpv } \mathcal{I} \circ f)$   
 ⟨proof⟩

**lemma** *enforce- $\mathcal{I}$ -gpv-parametric'*:  
**includes** *lifting-syntax*  
**notes** [transfer-rule] = *corec-gpv-parametric' the-gpv-parametric' Fail-parametric'*  
**assumes** [transfer-rule]: *bi-unique C bi-unique R*  
**shows** ( $rel\text{-}\mathcal{I} C R \implies rel\text{-gpv}'' A C R \implies rel\text{-gpv}'' A C R$ ) *enforce- $\mathcal{I}$ -gpv*  
*enforce- $\mathcal{I}$ -gpv*  
 ⟨proof⟩

**lemma** *enforce- $\mathcal{I}$ -gpv-parametric* [transfer-rule]: **includes** *lifting-syntax* **shows**  
 $bi\text{-unique } C \implies (rel\text{-}\mathcal{I} C (=) \implies rel\text{-gpv } A C \implies rel\text{-gpv } A C)$  *enforce- $\mathcal{I}$ -gpv*  
*enforce- $\mathcal{I}$ -gpv*  
 ⟨proof⟩

**lemma** *WT-enforce- $\mathcal{I}$ -gpv* [simp]:  $\mathcal{I} \vdash g$  *enforce- $\mathcal{I}$ -gpv*  $\mathcal{I} gpv \checkmark$   
 ⟨proof⟩

**context** *fixes*  $\mathcal{I} :: ('out, 'in) \mathcal{I}$  **begin**

**inductive** *finite-gpv* ::  $('a, 'out, 'in) gpv \Rightarrow bool$   
**where**

*finite-gpvI*:  
 $(\bigwedge out\ c\ input. \llbracket IO\ out\ c \in set\text{-spmf } (the\text{-gpv } gpv); input \in responses\text{-}\mathcal{I} \ \mathcal{I}\ out \rrbracket \implies finite\text{-gpv } (c\ input)) \implies finite\text{-gpv } gpv$

**lemmas** *finite-gpv-induct*[consumes 1, case-names *finite-gpv*, induct *pred*] = *finite-gpv.induct*

**lemma** *finite-gpvD*:  $\llbracket finite\text{-gpv } gpv; IO\ out\ c \in set\text{-spmf } (the\text{-gpv } gpv); input \in responses\text{-}\mathcal{I} \ \mathcal{I}\ out \rrbracket \implies finite\text{-gpv } (c\ input)$   
 ⟨proof⟩

**lemma** *finite-gpv-Fail* [simp]: *finite-gpv* *Fail*  
 ⟨proof⟩

**lemma** *finite-gpv-Done* [simp]: *finite-gpv* (*Done*  $x$ )  
 ⟨proof⟩

**lemma** *finite-gpv-Pause* [simp]: *finite-gpv* (*Pause*  $x\ c$ )  $\longleftrightarrow (\forall input \in responses\text{-}\mathcal{I} \ \mathcal{I}\ x. finite\text{-gpv } (c\ input))$   
 ⟨proof⟩

**lemma** *finite-gpv-lift-spmf* [simp]: *finite-gpv* (*lift-spmf*  $p$ )  
 ⟨proof⟩

**lemma** *finite-gpv-bind* [*simp*]:  
 $finite-gpv (gpv \gg= f) \longleftrightarrow finite-gpv gpv \wedge (\forall x \in results-gpv \mathcal{I} gpv. finite-gpv (f x))$   
 (is ?lhs = ?rhs)  
 <proof>

**end**

**context includes** *lifting-syntax* **begin**

**lemma** *finite-gpv-rel''D1*:  
 assumes  $rel-gpv'' A C R gpv gpv'$  and  $finite-gpv \mathcal{I} gpv$  and  $\mathcal{I}: rel-\mathcal{I} C R \mathcal{I} \mathcal{I}'$   
 shows  $finite-gpv \mathcal{I}' gpv'$   
 <proof>

**lemma** *finite-gpv-relD1*:  $\llbracket rel-gpv A C gpv gpv'; finite-gpv \mathcal{I} gpv; rel-\mathcal{I} C (=) \mathcal{I} \mathcal{I} \rrbracket \Longrightarrow finite-gpv \mathcal{I} gpv'$   
 <proof>

**lemma** *finite-gpv-rel''D2*:  $\llbracket rel-gpv'' A C R gpv gpv'; finite-gpv \mathcal{I} gpv'; rel-\mathcal{I} C R \mathcal{I}' \mathcal{I} \rrbracket \Longrightarrow finite-gpv \mathcal{I}' gpv$   
 <proof>

**lemma** *finite-gpv-relD2*:  $\llbracket rel-gpv A C gpv gpv'; finite-gpv \mathcal{I} gpv'; rel-\mathcal{I} C (=) \mathcal{I} \mathcal{I} \rrbracket \Longrightarrow finite-gpv \mathcal{I} gpv$   
 <proof>

**lemma** *finite-gpv-parametric'*:  $(rel-\mathcal{I} C R \Longrightarrow rel-gpv'' A C R \Longrightarrow (=))$   
 $finite-gpv \ finite-gpv$   
 <proof>

**lemma** *finite-gpv-parametric* [*transfer-rule*]:  $(rel-\mathcal{I} C (=) \Longrightarrow rel-gpv A C \Longrightarrow (=))$   
 $finite-gpv \ finite-gpv$   
 <proof>

**end**

**lemma** *finite-gpv-map* [*simp*]:  $finite-gpv \mathcal{I} (map-gpv f id gpv) = finite-gpv \mathcal{I} gpv$   
 <proof>

**lemma** *finite-gpv-assert* [*simp*]:  $finite-gpv \mathcal{I} (assert-gpv b)$   
 <proof>

**lemma** *finite-gpv-try* [*simp*]:  
 $finite-gpv \mathcal{I} (TRY gpv ELSE gpv') \longleftrightarrow finite-gpv \mathcal{I} gpv \wedge (colossless-gpv \mathcal{I} gpv \vee finite-gpv \mathcal{I} gpv')$   
 (is ?lhs = -)  
 <proof>



**lemma** *lossless-gpv-conv-finite*:

*lossless-gpv*  $\mathcal{I}$  *gpv*  $\longleftrightarrow$  *finite-gpv*  $\mathcal{I}$  *gpv*  $\wedge$  *colossless-gpv*  $\mathcal{I}$  *gpv*  
 (is ?*loss*  $\longleftrightarrow$  ?*fin*  $\wedge$  ?*co*)

$\langle$ *proof* $\rangle$

**lemma** *colossless-gpv-try* [*simp*]:

*colossless-gpv*  $\mathcal{I}$  (*TRY* *gpv* *ELSE* *gpv'*)  $\longleftrightarrow$  *colossless-gpv*  $\mathcal{I}$  *gpv*  $\vee$  *colossless-gpv*  
 $\mathcal{I}$  *gpv'*

(is ?*lhs*  $\longleftrightarrow$  ?*gpv*  $\vee$  ?*gpv'*)

$\langle$ *proof* $\rangle$

**lemma** *lossless-gpv-try* [*simp*]:

*lossless-gpv*  $\mathcal{I}$  (*TRY* *gpv* *ELSE* *gpv'*)  $\longleftrightarrow$   
*finite-gpv*  $\mathcal{I}$  *gpv*  $\wedge$  (*lossless-gpv*  $\mathcal{I}$  *gpv*  $\vee$  *lossless-gpv*  $\mathcal{I}$  *gpv'*)

$\langle$ *proof* $\rangle$

**lemma** *interaction-any-bounded-by-imp-finite*:

**assumes** *interaction-any-bounded-by* *gpv* (*enat* *n*)

**shows** *finite-gpv*  $\mathcal{I}$ -full *gpv*

$\langle$ *proof* $\rangle$

**lemma** *finite-restrict-gpvI* [*simp*]: *finite-gpv*  $\mathcal{I}'$  *gpv*  $\implies$  *finite-gpv*  $\mathcal{I}'$  (*restrict-gpv*  
 $\mathcal{I}$  *gpv*)

$\langle$ *proof* $\rangle$

**lemma** *interaction-bounded-by-exec-gpv-bad-count*:

**fixes** *count* **and** *bad* **and** *n* :: *enat* **and** *k* :: *real*

**assumes** *bound*: *interaction-bounded-by* *consider* *gpv* *n*

**and** *good*:  $\neg$  *bad* *s*

**and** *count*:  $\bigwedge s$  *x y s'*.  $\llbracket (y, s') \in \text{set-spmf} (\text{callee } s \ x); \text{consider } x; x \in \text{outs-}\mathcal{I} \ \mathcal{I} \rrbracket$   
 $\implies$  *count* *s'*  $\leq$  *Suc* (*count* *s*)

**and** *ignore*:  $\bigwedge s$  *x y s'*.  $\llbracket (y, s') \in \text{set-spmf} (\text{callee } s \ x); \neg \text{consider } x; x \in \text{outs-}\mathcal{I} \ \mathcal{I} \rrbracket$   
 $\implies$  *count* *s'*  $\leq$  *count* *s*

**and** *bad*:  $\bigwedge s' *x*.  $\llbracket \neg \text{bad } s'; \text{count } s' < n + \text{count } s; \text{consider } x; x \in \text{outs-}\mathcal{I} \ \mathcal{I} \rrbracket$   
 $\implies$  *spmf* (*map-spmf* (*bad*  $\circ$  *snd*) (*callee* *s'* *x*)) *True*  $\leq$  *k*$

**and** *consider*:  $\bigwedge s$  *x y s'*.  $\llbracket (y, s') \in \text{set-spmf} (\text{callee } s \ x); \neg \text{bad } s; \text{bad } s'; x \in \text{outs-}\mathcal{I} \ \mathcal{I} \rrbracket$   
 $\implies$  *consider* *x*

**and** *k-nonneg*: *k*  $\geq$  0

**and** *WT-gpv*:  $\mathcal{I} \vdash_g$  *gpv*  $\checkmark$

**and** *WT-callee*:  $\bigwedge s$ .  $\mathcal{I} \vdash_c$  *callee* *s*  $\checkmark$

**shows** *spmf* (*map-spmf* (*bad*  $\circ$  *snd*) (*exec-gpv* *callee* *gpv* *s*)) *True*  $\leq$  *ennreal* *k* \*  
*n*

$\langle$ *proof* $\rangle$

**context** *callee-invariant-on* **begin**

**lemma** *interaction-bounded-by-exec-gpv-bad-count*:

**includes** *lifting-syntax*

**fixes** *count* **and** *bad* **and** *n* :: *enat*  
**assumes** *bound*: *interaction-bounded-by consider gpv n*  
**and** *I*: *I s*  
**and** *good*:  $\neg$  *bad s*  
**and** *count*:  $\bigwedge s x y s'. \llbracket (y, s') \in \text{set-spmf } (\text{callee } s x); I s; \text{consider } x; x \in \text{outs-}\mathcal{I} \rrbracket \implies \text{count } s' \leq \text{Suc } (\text{count } s)$   
**and** *ignore*:  $\bigwedge s x y s'. \llbracket (y, s') \in \text{set-spmf } (\text{callee } s x); I s; \neg \text{consider } x; x \in \text{outs-}\mathcal{I} \rrbracket \implies \text{count } s' \leq \text{count } s$   
**and** *bad*:  $\bigwedge s' x. \llbracket I s'; \neg \text{bad } s'; \text{count } s' < n + \text{count } s; \text{consider } x; x \in \text{outs-}\mathcal{I} \rrbracket \implies \text{spmf } (\text{map-spmf } (\text{bad} \circ \text{snd}) (\text{callee } s' x)) \text{ True} \leq k$   
**and** *consider*:  $\bigwedge s x y s'. \llbracket (y, s') \in \text{set-spmf } (\text{callee } s x); I s; \neg \text{bad } s; \text{bad } s'; x \in \text{outs-}\mathcal{I} \rrbracket \implies \text{consider } x$   
**and** *k-nonneg*:  $k \geq 0$   
**and** *WT-gpv*:  $\mathcal{I} \vdash g \text{ gpv } \checkmark$   
**shows**  $\text{spmf } (\text{map-spmf } (\text{bad} \circ \text{snd}) (\text{exec-gpv } \text{callee } \text{gpv } s)) \text{ True} \leq \text{ennreal } k * n$   
*<proof>*

**lemma** *interaction-bounded-by'-exec-gpv-bad-count*:

**fixes** *count* **and** *bad* **and** *n* :: *nat*  
**assumes** *bound*: *interaction-bounded-by' consider gpv n*  
**and** *I*: *I s*  
**and** *good*:  $\neg$  *bad s*  
**and** *count*:  $\bigwedge s x y s'. \llbracket (y, s') \in \text{set-spmf } (\text{callee } s x); I s; \text{consider } x; x \in \text{outs-}\mathcal{I} \rrbracket \implies \text{count } s' \leq \text{Suc } (\text{count } s)$   
**and** *ignore*:  $\bigwedge s x y s'. \llbracket (y, s') \in \text{set-spmf } (\text{callee } s x); I s; \neg \text{consider } x; x \in \text{outs-}\mathcal{I} \rrbracket \implies \text{count } s' \leq \text{count } s$   
**and** *bad*:  $\bigwedge s' x. \llbracket I s'; \neg \text{bad } s'; \text{count } s' < n + \text{count } s; \text{consider } x; x \in \text{outs-}\mathcal{I} \rrbracket \implies \text{spmf } (\text{map-spmf } (\text{bad} \circ \text{snd}) (\text{callee } s' x)) \text{ True} \leq k$   
**and** *consider*:  $\bigwedge s x y s'. \llbracket (y, s') \in \text{set-spmf } (\text{callee } s x); I s; \neg \text{bad } s; \text{bad } s'; x \in \text{outs-}\mathcal{I} \rrbracket \implies \text{consider } x$   
**and** *k-nonneg*:  $k \geq 0$   
**and** *WT-gpv*:  $\mathcal{I} \vdash g \text{ gpv } \checkmark$   
**shows**  $\text{spmf } (\text{map-spmf } (\text{bad} \circ \text{snd}) (\text{exec-gpv } \text{callee } \text{gpv } s)) \text{ True} \leq k * n$   
*<proof>*

**lemma** *interaction-bounded-by-exec-gpv-bad*:

**assumes** *interaction-any-bounded-by gpv n*  
**and** *I s*  $\neg$  *bad s*  
**and** *bad*:  $\bigwedge s x. \llbracket I s; \neg \text{bad } s; x \in \text{outs-}\mathcal{I} \rrbracket \implies \text{spmf } (\text{map-spmf } (\text{bad} \circ \text{snd}) (\text{callee } s x)) \text{ True} \leq k$   
**and** *k-nonneg*:  $0 \leq k$   
**and** *WT-gpv*:  $\mathcal{I} \vdash g \text{ gpv } \checkmark$   
**shows**  $\text{spmf } (\text{map-spmf } (\text{bad} \circ \text{snd}) (\text{exec-gpv } \text{callee } \text{gpv } s)) \text{ True} \leq k * n$   
*<proof>*

**end**

**end**

## 5 Oracle combinators

**theory** *Computational-Model* **imports**

*Generative-Probabilistic-Value*

**begin**

**type-synonym** *security* = *nat*

**type-synonym** *advantage* = *security*  $\Rightarrow$  *real*

**type-synonym** (*' $\sigma$* , *'call*, *'ret*) *oracle'* = *' $\sigma$*   $\Rightarrow$  *'call*  $\Rightarrow$  (*'ret*  $\times$  *' $\sigma$* ) *spmf*

**type-synonym** (*' $\sigma$* , *'call*, *'ret*) *oracle* = *security*  $\Rightarrow$  (*' $\sigma$* , *'call*, *'ret*) *oracle'*  $\times$  *' $\sigma$*

$\langle ML \rangle$

**typ** (*' $\sigma$* , *'call*, *'ret*) *oracle*

### 5.1 Shared state

**context includes**  *$\mathcal{I}$ .lifting* and *lifting-syntax* **begin**

**lift-definition** *plus- $\mathcal{I}$*  :: (*'out*, *'ret*)  *$\mathcal{I}$*   $\Rightarrow$  (*'out'*, *'ret'*)  *$\mathcal{I}$*   $\Rightarrow$  (*'out* + *'out'*, *'ret* + *'ret'*)  *$\mathcal{I}$*  (**infix**  $\langle \oplus_{\mathcal{I}} \rangle$  500)

**is**  $\lambda$ *resp1 resp2*.  $\lambda$ *out*. *case out of* *Inl out'*  $\Rightarrow$  *Inl ' resp1 out'* | *Inr out'*  $\Rightarrow$  *Inr ' resp2 out'*  $\langle$ *proof* $\rangle$

**lemma** *plus- $\mathcal{I}$ -sel* [*simp*]:

**shows** *outs-plus- $\mathcal{I}$* : *outs- $\mathcal{I}$*  (*plus- $\mathcal{I}$*   *$\mathcal{I}l$*   *$\mathcal{I}r$* ) = *outs- $\mathcal{I}$*   *$\mathcal{I}l$*   $\langle + \rangle$  *outs- $\mathcal{I}$*   *$\mathcal{I}r$*

**and** *responses-plus- $\mathcal{I}$ -Inl*: *responses- $\mathcal{I}$*  (*plus- $\mathcal{I}$*   *$\mathcal{I}l$*   *$\mathcal{I}r$* ) (*Inl x*) = *Inl ' responses- $\mathcal{I}$*   *$\mathcal{I}l$*  *x*

**and** *responses-plus- $\mathcal{I}$ -Inr*: *responses- $\mathcal{I}$*  (*plus- $\mathcal{I}$*   *$\mathcal{I}l$*   *$\mathcal{I}r$* ) (*Inr y*) = *Inr ' responses- $\mathcal{I}$*   *$\mathcal{I}r$*  *y*

$\langle$ *proof* $\rangle$

**lemma** *vimage-Inl-Plus* [*simp*]: *Inl - ' (A*  $\langle + \rangle$  *B) = A*

**and** *vimage-Inr-Plus* [*simp*]: *Inr - ' (A*  $\langle + \rangle$  *B) = B*

$\langle$ *proof* $\rangle$

**lemma** *vimage-Inl-image-Inr*: *Inl - ' Inr ' A = {}*

**and** *vimage-Inr-image-Inl*: *Inr - ' Inl ' A = {}*

$\langle$ *proof* $\rangle$

**lemma** *plus- $\mathcal{I}$ -parametric* [*transfer-rule*]:

(*rel- $\mathcal{I}$*  *C* *R*  $====>$  *rel- $\mathcal{I}$*  *C'* *R'*  $====>$  *rel- $\mathcal{I}$*  (*rel-sum* *C* *C'*) (*rel-sum* *R* *R'*)) *plus- $\mathcal{I}$*

$\langle$ *proof* $\rangle$

**lifting-update**  *$\mathcal{I}$ .lifting*

**lifting-forget**  *$\mathcal{I}$ .lifting*

**lemma**  *$\mathcal{I}$ -trivial-plus- $\mathcal{I}$*  [*simp*]:  *$\mathcal{I}$ -trivial* ( *$\mathcal{I}_1$*   $\oplus_{\mathcal{I}}$   *$\mathcal{I}_2$* )  $\longleftrightarrow$   *$\mathcal{I}$ -trivial*  *$\mathcal{I}_1$*   $\wedge$   *$\mathcal{I}$ -trivial*  *$\mathcal{I}_2$*

*<proof>*

**end**

**lemma** *map- $\mathcal{I}$ -plus- $\mathcal{I}$*  [*simp*]:

$map\text{-}\mathcal{I} (map\text{-}sum\ f1\ f2) (map\text{-}sum\ g1\ g2) (\mathcal{I}1 \oplus_{\mathcal{I}} \mathcal{I}2) = map\text{-}\mathcal{I}\ f1\ g1\ \mathcal{I}1 \oplus_{\mathcal{I}} map\text{-}\mathcal{I}\ f2\ g2\ \mathcal{I}2$

*<proof>*

**lemma** *le-plus- $\mathcal{I}$ -iff* [*simp*]:

$\mathcal{I}1 \oplus_{\mathcal{I}} \mathcal{I}2 \leq \mathcal{I}1' \oplus_{\mathcal{I}} \mathcal{I}2' \iff \mathcal{I}1 \leq \mathcal{I}1' \wedge \mathcal{I}2 \leq \mathcal{I}2'$

*<proof>*

**lemma**  *$\mathcal{I}$ -full-le-plus- $\mathcal{I}$* :  $\mathcal{I}$ -full  $\leq$  plus- $\mathcal{I}$   $\mathcal{I}1$   $\mathcal{I}2$  **if**  $\mathcal{I}$ -full  $\leq$   $\mathcal{I}1$   $\mathcal{I}$ -full  $\leq$   $\mathcal{I}2$

*<proof>*

**lemma** *plus- $\mathcal{I}$ -mono*: plus- $\mathcal{I}$   $\mathcal{I}1$   $\mathcal{I}2 \leq$  plus- $\mathcal{I}$   $\mathcal{I}1'$   $\mathcal{I}2'$  **if**  $\mathcal{I}1 \leq \mathcal{I}1'$   $\mathcal{I}2 \leq \mathcal{I}2'$

*<proof>*

**context**

**fixes** *left* :: ('s, 'a, 'b) oracle'

**and** *right* :: ('s, 'c, 'd) oracle'

**and** *s* :: 's

**begin**

**primrec** *plus-oracle* :: 'a + 'c  $\Rightarrow$  (('b + 'd)  $\times$  's) spmf

**where**

$plus\text{-}oracle\ (Inl\ a) = map\text{-}spmf\ (apfst\ Inl)\ (left\ s\ a)$

|  $plus\text{-}oracle\ (Inr\ b) = map\text{-}spmf\ (apfst\ Inr)\ (right\ s\ b)$

**lemma** *lossless-plus-oracleI* [*intro, simp*]:

$\llbracket \bigwedge a. x = Inl\ a \implies lossless\text{-}spmf\ (left\ s\ a);$

$\bigwedge b. x = Inr\ b \implies lossless\text{-}spmf\ (right\ s\ b) \rrbracket$

$\implies lossless\text{-}spmf\ (plus\text{-}oracle\ x)$

*<proof>*

**lemma** *plus-oracle-split*:

$P\ (plus\text{-}oracle\ lr) \iff$

$(\forall x. lr = Inl\ x \longrightarrow P\ (map\text{-}spmf\ (apfst\ Inl)\ (left\ s\ x))) \wedge$

$(\forall y. lr = Inr\ y \longrightarrow P\ (map\text{-}spmf\ (apfst\ Inr)\ (right\ s\ y)))$

*<proof>*

**lemma** *plus-oracle-split-asm*:

$P\ (plus\text{-}oracle\ lr) \iff$

$\neg ((\exists x. lr = Inl\ x \wedge \neg P\ (map\text{-}spmf\ (apfst\ Inl)\ (left\ s\ x))) \vee$

$(\exists y. lr = Inr\ y \wedge \neg P\ (map\text{-}spmf\ (apfst\ Inr)\ (right\ s\ y))))$

*<proof>*

**end**

**notation** *plus-oracle* (**infix**  $\oplus_O$  500)

**context**

**fixes** *left* :: ('s, 'a, 'b) oracle'

**and** *right* :: ('s, 'c, 'd) oracle'

**begin**

**lemma** *WT-plus-oracleI* [*intro!*]:

$\llbracket \mathcal{I}l \vdash c \text{ left } s \checkmark; \mathcal{I}r \vdash c \text{ right } s \checkmark \rrbracket \implies \mathcal{I}l \oplus_{\mathcal{I}} \mathcal{I}r \vdash c \text{ (left } \oplus_O \text{ right) } s \checkmark$   
<proof>

**lemma** *WT-plus-oracleD1*:

**assumes**  $\mathcal{I}l \oplus_{\mathcal{I}} \mathcal{I}r \vdash c \text{ (left } \oplus_O \text{ right) } s \checkmark$  (**is**  $?\mathcal{I} \vdash c \text{ ?callee } s \checkmark$ )

**shows**  $\mathcal{I}l \vdash c \text{ left } s \checkmark$

<proof>

**lemma** *WT-plus-oracleD2*:

**assumes**  $\mathcal{I}l \oplus_{\mathcal{I}} \mathcal{I}r \vdash c \text{ (left } \oplus_O \text{ right) } s \checkmark$  (**is**  $?\mathcal{I} \vdash c \text{ ?callee } s \checkmark$ )

**shows**  $\mathcal{I}r \vdash c \text{ right } s \checkmark$

<proof>

**lemma** *WT-plus-oracle-iff* [*simp*]:  $\mathcal{I}l \oplus_{\mathcal{I}} \mathcal{I}r \vdash c \text{ (left } \oplus_O \text{ right) } s \checkmark \iff \mathcal{I}l \vdash c \text{ left } s \checkmark \wedge \mathcal{I}r \vdash c \text{ right } s \checkmark$

<proof>

**lemma** *callee-invariant-on-plus-oracle* [*simp*]:

*callee-invariant-on* (left  $\oplus_O$  right)  $I \iff$

*callee-invariant-on* left  $I \mathcal{I}l \wedge$  *callee-invariant-on* right  $I \mathcal{I}r$

(**is**  $?\text{lhs} \iff ?\text{rhs}$ )

<proof>

**lemma** *callee-invariant-plus-oracle* [*simp*]:

*callee-invariant* (left  $\oplus_O$  right)  $I \iff$

*callee-invariant* left  $I \wedge$  *callee-invariant* right  $I$

(**is**  $?\text{lhs} \iff ?\text{rhs}$ )

<proof>

**lemma** *plus-oracle-parametric* [*transfer-rule*]:

**includes** *lifting-syntax* **shows**

$((S \implies A \implies \text{rel-spmf } (\text{rel-prod } B \ S))$

$\implies (S \implies C \implies \text{rel-spmf } (\text{rel-prod } D \ S))$

$\implies S \implies \text{rel-sum } A \ C \implies \text{rel-spmf } (\text{rel-prod } (\text{rel-sum } B \ D) \ S))$

*plus-oracle plus-oracle*

<proof>

**lemma** *rel-spmf-plus-oracle*:

$\llbracket \wedge q1' \ q2'. \llbracket q1 = \text{Inl } q1'; q2 = \text{Inl } q2' \rrbracket \implies \text{rel-spmf } (\text{rel-prod } B \ S) \text{ (left1 } s1 \ q1') \text{ (left2 } s2 \ q2')$ ;

$\bigwedge q1' q2'. \llbracket q1 = \text{Inr } q1'; q2 = \text{Inr } q2' \rrbracket \implies \text{rel-spmf } (\text{rel-prod } D \ S) \ (\text{right1 } s1 \ q1') \ (\text{right2 } s2 \ q2')$ ;  
 $S \ s1 \ s2; \text{rel-sum } A \ C \ q1 \ q2 \llbracket$   
 $\implies \text{rel-spmf } (\text{rel-prod } (\text{rel-sum } B \ D) \ S) \ ((\text{left1 } \oplus_O \ \text{right1}) \ s1 \ q1) \ ((\text{left2 } \oplus_O \ \text{right2}) \ s2 \ q2)$   
 $\langle \text{proof} \rangle$

**end**

## 5.2 Shared state with aborts

**context**

**fixes**  $\text{left} :: ('s, 'a, 'b \text{ option}) \text{ oracle}'$   
**and**  $\text{right} :: ('s, 'c, 'd \text{ option}) \text{ oracle}'$   
**and**  $s :: 's$

**begin**

**primrec**  $\text{plus-oracle-stop} :: 'a + 'c \Rightarrow (('b + 'd) \text{ option} \times 's) \text{ spmf}$

**where**

$\text{plus-oracle-stop } (\text{Inl } a) = \text{map-spmf } (\text{apfst } (\text{map-option } \text{Inl})) \ (\text{left } s \ a)$   
 $|\ \text{plus-oracle-stop } (\text{Inr } b) = \text{map-spmf } (\text{apfst } (\text{map-option } \text{Inr})) \ (\text{right } s \ b)$

**lemma**  $\text{lossless-plus-oracle-stopI}$  [*intro, simp*]:

$\llbracket \bigwedge a. x = \text{Inl } a \implies \text{lossless-spmf } (\text{left } s \ a);$   
 $\bigwedge b. x = \text{Inr } b \implies \text{lossless-spmf } (\text{right } s \ b) \rrbracket$   
 $\implies \text{lossless-spmf } (\text{plus-oracle-stop } x)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{plus-oracle-stop-split}$ :

$P \ (\text{plus-oracle-stop } lr) \longleftrightarrow$   
 $(\forall x. lr = \text{Inl } x \longrightarrow P \ (\text{map-spmf } (\text{apfst } (\text{map-option } \text{Inl})) \ (\text{left } s \ x))) \wedge$   
 $(\forall y. lr = \text{Inr } y \longrightarrow P \ (\text{map-spmf } (\text{apfst } (\text{map-option } \text{Inr})) \ (\text{right } s \ y)))$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{plus-oracle-stop-split-asm}$ :

$P \ (\text{plus-oracle-stop } lr) \longleftrightarrow$   
 $\neg \ ((\exists x. lr = \text{Inl } x \wedge \neg P \ (\text{map-spmf } (\text{apfst } (\text{map-option } \text{Inl})) \ (\text{left } s \ x))) \vee$   
 $\quad (\exists y. lr = \text{Inr } y \wedge \neg P \ (\text{map-spmf } (\text{apfst } (\text{map-option } \text{Inr})) \ (\text{right } s \ y))))$   
 $\langle \text{proof} \rangle$

**end**

**notation**  $\text{plus-oracle-stop}$  (**infix**  $\langle \oplus_O^S \rangle$  500)

## 5.3 Disjoint state

**context**

**fixes**  $\text{left} :: ('s1, 'a, 'b) \text{ oracle}'$   
**and**  $\text{right} :: ('s2, 'c, 'd) \text{ oracle}'$

**begin**

**fun** *parallel-oracle* :: ('s1 × 's2, 'a + 'c, 'b + 'd) oracle'

**where**

*parallel-oracle* (s1, s2) (Inl a) = map-spmf (map-prod Inl (λs1'. (s1', s2))) (left s1 a)  
 | *parallel-oracle* (s1, s2) (Inr b) = map-spmf (map-prod Inr (Pair s1)) (right s2 b)

**lemma** *parallel-oracle-def*:

*parallel-oracle* = (λ(s1, s2). case-sum (λa. map-spmf (map-prod Inl (λs1'. (s1', s2))) (left s1 a)) (λb. map-spmf (map-prod Inr (Pair s1)) (right s2 b)))  
 ⟨proof⟩

**lemma** *lossless-parallel-oracle* [simp]:

*lossless-spmf* (*parallel-oracle* s1s2 xy) ↔  
 (∀ x. xy = Inl x → *lossless-spmf* (left (fst s1s2) x)) ∧  
 (∀ y. xy = Inr y → *lossless-spmf* (right (snd s1s2) y))  
 ⟨proof⟩

**lemma** *parallel-oracle-split*:

*P* (*parallel-oracle* s1s2 lr) ↔  
 (∀ s1 s2 x. s1s2 = (s1, s2) → lr = Inl x → *P* (map-spmf (map-prod Inl (λs1'. (s1', s2))) (left s1 x))) ∧  
 (∀ s1 s2 y. s1s2 = (s1, s2) → lr = Inr y → *P* (map-spmf (map-prod Inr (Pair s1)) (right s2 y)))  
 ⟨proof⟩

**lemma** *parallel-oracle-split-asm*:

*P* (*parallel-oracle* s1s2 lr) ↔  
 ¬ ((∃ s1 s2 x. s1s2 = (s1, s2) ∧ lr = Inl x ∧ ¬ *P* (map-spmf (map-prod Inl (λs1'. (s1', s2))) (left s1 x))) ∨  
 (∃ s1 s2 y. s1s2 = (s1, s2) ∧ lr = Inr y ∧ ¬ *P* (map-spmf (map-prod Inr (Pair s1)) (right s2 y))))  
 ⟨proof⟩

**lemma** *WT-parallel-oracle* [intro!, simp]:

[[ *I*l ⊢<sub>c</sub> left sl √; *I*r ⊢<sub>c</sub> right sr √ ]] ⇒ plus-*I* *I*l *I*r ⊢<sub>c</sub> *parallel-oracle* (sl, sr)  
 √  
 ⟨proof⟩

**lemma** *callee-invariant-parallel-oracleI* [simp, intro]:

**assumes** *callee-invariant-on* left *I*l *I*l *callee-invariant-on* right *I*r *I*r  
**shows** *callee-invariant-on* *parallel-oracle* (pred-prod *I*l *I*r) (*I*l ⊕<sub>*I*</sub> *I*r)  
 ⟨proof⟩

**end**

**lemma** *parallel-oracle-parametric*:

**includes** *lifting-syntax* **shows**

$((S1 \text{ ===> } CALL1 \text{ ===> } \text{rel-spmf } (\text{rel-prod } (=) S1))$   
 $\text{===> } (S2 \text{ ===> } CALL2 \text{ ===> } \text{rel-spmf } (\text{rel-prod } (=) S2))$   
 $\text{===> } \text{rel-prod } S1 S2 \text{ ===> } \text{rel-sum } CALL1 CALL2 \text{ ===> } \text{rel-spmf } (\text{rel-prod}$   
 $(=) (\text{rel-prod } S1 S2)))$   
*parallel-oracle parallel-oracle*  
 <proof>

## 5.4 Indexed oracles

**definition** *family-oracle* :: ('i  $\Rightarrow$  ('s, 'a, 'b) oracle')  $\Rightarrow$  ('i  $\Rightarrow$  's, 'i  $\times$  'a, 'b) oracle'  
**where** *family-oracle* f s = ( $\lambda(i, x). \text{map-spmf } (\lambda(y, s'). (y, s(i := s')))$  (f i (s i) x))

**lemma** *family-oracle-apply* [simp]:  
*family-oracle* f s (i, x) =  $\text{map-spmf } (\text{apsnd } (\text{fun-upd } s i))$  (f i (s i) x)  
 <proof>

**lemma** *lossless-family-oracle*:  
 $\text{lossless-spmf } (\text{family-oracle } f s ix) \iff \text{lossless-spmf } (f (\text{fst } ix) (s (\text{fst } ix))) (\text{snd } ix)$   
 <proof>

## 5.5 State extension

**definition** *extend-state-oracle* :: ('call, 'ret, 's) callee  $\Rightarrow$  ('call, 'ret, 's'  $\times$  's) callee  
 ( $\dagger \rightarrow [1000] 1000$ )  
**where** *extend-state-oracle* callee = ( $\lambda(s', s) x. \text{map-spmf } (\lambda(y, s). (y, (s', s)))$  (callee s x))

**lemma** *extend-state-oracle-simps* [simp]:  
 $\text{extend-state-oracle } \text{callee } (s', s) x = \text{map-spmf } (\lambda(y, s). (y, (s', s)))$  (callee s x)  
 <proof>

**context includes** *lifting-syntax begin*

**lemma** *extend-state-oracle-parametric* [transfer-rule]:  
 $((S \text{ ===> } C \text{ ===> } \text{rel-spmf } (\text{rel-prod } R S)) \text{ ===> } \text{rel-prod } S' S \text{ ===> } C$   
 $\text{===> } \text{rel-spmf } (\text{rel-prod } R (\text{rel-prod } S' S)))$   
*extend-state-oracle extend-state-oracle*  
 <proof>

**lemma** *extend-state-oracle-transfer*:  
 $((S \text{ ===> } C \text{ ===> } \text{rel-spmf } (\text{rel-prod } R S))$   
 $\text{===> } \text{rel-prod2 } S \text{ ===> } C \text{ ===> } \text{rel-spmf } (\text{rel-prod } R (\text{rel-prod2 } S)))$   
 $(\lambda \text{oracle. oracle}) \text{ extend-state-oracle}$   
 <proof>

**end**

**lemma** *callee-invariant-extend-state-oracle-const* [simp]:  
 $\text{callee-invariant } \dagger \text{oracle } (\lambda(s', s). I s')$   
 <proof>



**lemma** *callee-invariant-extend-state-oracle-const'*:

*callee-invariant* †oracle (λs. I (fst s))  
⟨proof⟩

**definition** *lift-stop-oracle* :: ('call, 'ret, 's) callee ⇒ ('call, 'ret option, 's) callee  
**where** *lift-stop-oracle* oracle s x = map-spmf (apfst Some) (oracle s x)

**lemma** *lift-stop-oracle-apply* [simp]: *lift-stop-oracle* oracle s x = map-spmf (apfst Some) (oracle s x)  
⟨proof⟩

**context includes** *lifting-syntax* **begin**

**lemma** *lift-stop-oracle-transfer*:

((S ==> C ==> rel-spmf (rel-prod R S)) ==> (S ==> C ==> rel-spmf (rel-prod (pcr-Some R) S)))  
(λx. x) *lift-stop-oracle*  
⟨proof⟩

**end**

**definition** *extend-state-oracle2* :: ('call, 'ret, 's) callee ⇒ ('call, 'ret, 's × 's) callee (⟨-†⟩ [1000] 1000)  
**where** *extend-state-oracle2* callee = (λ(s, s') x. map-spmf (λ(y, s). (y, (s, s')))) (callee s x)

**lemma** *extend-state-oracle2-simps* [simp]:

*extend-state-oracle2* callee (s, s') x = map-spmf (λ(y, s). (y, (s, s')))) (callee s x)  
⟨proof⟩

**lemma** *extend-state-oracle2-parametric* [transfer-rule]: **includes** *lifting-syntax* **shows**

((S ==> C ==> rel-spmf (rel-prod R S)) ==> rel-prod S S' ==> C ==> rel-spmf (rel-prod R (rel-prod S S'))))  
*extend-state-oracle2* *extend-state-oracle2*  
⟨proof⟩

**lemma** *callee-invariant-extend-state-oracle2-const* [simp]:

*callee-invariant* oracle† (λ(s, s'). I s')  
⟨proof⟩

**lemma** *callee-invariant-extend-state-oracle2-const'*:

*callee-invariant* oracle† (λs. I (snd s))  
⟨proof⟩

**lemma** *extend-state-oracle2-plus-oracle*:

*extend-state-oracle2* (plus-oracle oracle1 oracle2) = plus-oracle (*extend-state-oracle2* oracle1) (*extend-state-oracle2* oracle2)  
⟨proof⟩

**lemma** *parallel-oracle-conv-plus-oracle*:

$parallel-oracle\ oracle1\ oracle2 = plus-oracle\ (oracle1\ \dagger)\ (\dagger\ oracle2)$   
 $\langle proof \rangle$

**lemma** *map-sum-parallel-oracle*: **includes** *lifting-syntax* **shows**

$(id\ ---->\ map-sum\ f\ g\ ---->\ map-spmf\ (map-prod\ (map-sum\ h\ k)\ id))\ (parallel-oracle\ oracle1\ oracle2)$   
 $=\ parallel-oracle\ ((id\ ---->\ f\ ---->\ map-spmf\ (map-prod\ h\ id))\ oracle1)\ ((id\ ---->\ g\ ---->\ map-spmf\ (map-prod\ k\ id))\ oracle2)$   
 $\langle proof \rangle$

**lemma** *map-sum-plus-oracle*: **includes** *lifting-syntax* **shows**

$(id\ ---->\ map-sum\ f\ g\ ---->\ map-spmf\ (map-prod\ (map-sum\ h\ k)\ id))\ (plus-oracle\ oracle1\ oracle2)$   
 $=\ plus-oracle\ ((id\ ---->\ f\ ---->\ map-spmf\ (map-prod\ h\ id))\ oracle1)\ ((id\ ---->\ g\ ---->\ map-spmf\ (map-prod\ k\ id))\ oracle2)$   
 $\langle proof \rangle$

**lemma** *map-rsuml-plus-oracle*: **includes** *lifting-syntax* **shows**

$(id\ ---->\ rsuml\ ---->\ (map-spmf\ (map-prod\ lsumr\ id)))\ (oracle1\ \oplus_O\ (oracle2\ \oplus_O\ oracle3)) =$   
 $((oracle1\ \oplus_O\ oracle2)\ \oplus_O\ oracle3)$   
 $\langle proof \rangle$

**lemma** *map-lsumr-plus-oracle*: **includes** *lifting-syntax* **shows**

$(id\ ---->\ lsumr\ ---->\ (map-spmf\ (map-prod\ rsuml\ id)))\ ((oracle1\ \oplus_O\ oracle2)\ \oplus_O\ oracle3) =$   
 $(oracle1\ \oplus_O\ (oracle2\ \oplus_O\ oracle3))$   
 $\langle proof \rangle$

**context** **includes** *lifting-syntax* **begin**

**definition** *lift-state-oracle*

$::\ (('s\ \Rightarrow\ 'a\ \Rightarrow\ (('b\ \times\ 't)\ \times\ 's)\ spmf)\ \Rightarrow\ ('s'\ \Rightarrow\ 'a\ \Rightarrow\ (('b\ \times\ 't)\ \times\ 's')\ spmf))$   
 $\Rightarrow\ ('t\ \times\ 's\ \Rightarrow\ 'a\ \Rightarrow\ ('b\ \times\ 't\ \times\ 's)\ spmf)\ \Rightarrow\ ('t\ \times\ 's'\ \Rightarrow\ 'a\ \Rightarrow\ ('b\ \times\ 't\ \times\ 's')\ spmf)$  **where**

$lift-state-oracle\ F\ oracle =$   
 $(\lambda(t,\ s')\ a.\ map-spmf\ rprodl\ (F\ ((Pair\ t\ ---->\ id\ ---->\ map-spmf\ lprodr)\ oracle)\ s'\ a))$

**lemma** *lift-state-oracle-simps* [*simp*]:

$lift-state-oracle\ F\ oracle\ (t,\ s')\ a = map-spmf\ rprodl\ (F\ ((Pair\ t\ ---->\ id\ ---->\ map-spmf\ lprodr)\ oracle)\ s'\ a)$   
 $\langle proof \rangle$

**lemma** *lift-state-oracle-parametric* [*transfer-rule*]: **includes** *lifting-syntax* **shows**

$((S\ ===>\ A\ ===>\ rel-spmf\ (rel-prod\ (rel-prod\ B\ T)\ S))\ ===>\ S'\ ===>\ A\ ===>\ rel-spmf\ (rel-prod\ (rel-prod\ B\ T)\ S'))$

$\implies (rel\text{-}prod\ T\ S \implies A \implies rel\text{-}spmf\ (rel\text{-}prod\ B\ (rel\text{-}prod\ T\ S)))$   
 $\implies rel\text{-}prod\ T\ S' \implies A \implies rel\text{-}spmf\ (rel\text{-}prod\ B\ (rel\text{-}prod\ T\ S'))$   
*lift-state-oracle lift-state-oracle*  
*<proof>*

**lemma** *lift-state-oracle-extend-state-oracle*:

**includes** *lifting-syntax*  
**assumes**  $\bigwedge B. Transfer.Rel\ ((=) \implies (=) \implies rel\text{-}spmf\ (rel\text{-}prod\ B\ (=)))$   
 $\implies (=) \implies (=) \implies rel\text{-}spmf\ (rel\text{-}prod\ B\ (=))\ G\ F$

**shows**  $lift\text{-}state\text{-}oracle\ F\ (extend\text{-}state\text{-}oracle\ oracle) = extend\text{-}state\text{-}oracle\ (G\ oracle)$   
*<proof>*

**lemma** *lift-state-oracle-compose*:

$lift\text{-}state\text{-}oracle\ F\ (lift\text{-}state\text{-}oracle\ G\ oracle) = lift\text{-}state\text{-}oracle\ (F \circ G)\ oracle$   
*<proof>*

**lemma** *lift-state-oracle-id [simp]*:  $lift\text{-}state\text{-}oracle\ id = id$

*<proof>*

**lemma** *rprodl-extend-state-oracle*: **includes** *lifting-syntax* **shows**

$(rprodl\ \text{----}\>\ id\ \text{----}\>\ map\text{-}spmf\ (map\text{-}prod\ id\ lprodr))\ (extend\text{-}state\text{-}oracle\ (extend\text{-}state\text{-}oracle\ oracle)) =$   
 $extend\text{-}state\text{-}oracle\ oracle$   
*<proof>*

**end**

## 6 Combining GPVs

### 6.1 Shared state without interrupts

**context**

**fixes**  $left :: 's \Rightarrow 'x1 \Rightarrow ('y1 \times 's, 'call, 'ret)\ gpv$

**and**  $right :: 's \Rightarrow 'x2 \Rightarrow ('y2 \times 's, 'call, 'ret)\ gpv$

**begin**

**primrec**  $plus\text{-}intercept :: 's \Rightarrow 'x1 + 'x2 \Rightarrow (('y1 + 'y2) \times 's, 'call, 'ret)\ gpv$

**where**

$plus\text{-}intercept\ s\ (Inl\ x) = map\text{-}gpv\ (apfst\ Inl)\ id\ (left\ s\ x)$

|  $plus\text{-}intercept\ s\ (Inr\ x) = map\text{-}gpv\ (apfst\ Inr)\ id\ (right\ s\ x)$

**end**

**lemma** *plus-intercept-parametric [transfer-rule]*:

**includes** *lifting-syntax* **shows**

$((S \implies X1 \implies rel\text{-}gpv\ (rel\text{-}prod\ Y1\ S)\ C)$

$\implies (S \implies X2 \implies rel\text{-}gpv\ (rel\text{-}prod\ Y2\ S)\ C)$

$====> S ====> \text{rel-sum } X1 \ X2 ====> \text{rel-gpv } (\text{rel-prod } (\text{rel-sum } Y1 \ Y2) \ S)$   
*C)*  
*plus-intercept plus-intercept*  
*<proof>*

**lemma** *interaction-bounded-by-plus-intercept* [*interaction-bound*]:

**fixes** *left right*  
**shows**  $\llbracket \bigwedge x'. x = \text{Inl } x' \implies \text{interaction-bounded-by } P (\text{left } s \ x') (n \ x');$   
 $\bigwedge y. x = \text{Inr } y \implies \text{interaction-bounded-by } P (\text{right } s \ y) (m \ y) \rrbracket$   
 $\implies \text{interaction-bounded-by } P (\text{plus-intercept } \text{left } \text{right } s \ x) (\text{case } x \text{ of } \text{Inl } x \Rightarrow n$   
 $x \mid \text{Inr } y \Rightarrow m \ y)$   
*<proof>*

## 6.2 Shared state with interrupts

**context**

**fixes** *left* ::  $'s \Rightarrow 'x1 \Rightarrow ('y1 \ \text{option} \times 's, 'call, 'ret) \ \text{gpv}$   
**and** *right* ::  $'s \Rightarrow 'x2 \Rightarrow ('y2 \ \text{option} \times 's, 'call, 'ret) \ \text{gpv}$   
**begin**

**primrec** *plus-intercept-stop* ::  $'s \Rightarrow 'x1 + 'x2 \Rightarrow (('y1 + 'y2) \ \text{option} \times 's, 'call,$   
 $'ret) \ \text{gpv}$

**where**

$\text{plus-intercept-stop } s (\text{Inl } x) = \text{map-gpv } (\text{apfst } (\text{map-option } \text{Inl})) \ \text{id } (\text{left } s \ x)$   
 $\mid \text{plus-intercept-stop } s (\text{Inr } x) = \text{map-gpv } (\text{apfst } (\text{map-option } \text{Inr})) \ \text{id } (\text{right } s \ x)$

**end**

**lemma** *plus-intercept-stop-parametric* [*transfer-rule*]:

**includes** *lifting-syntax* **shows**  
 $((S ====> X1 ====> \text{rel-gpv } (\text{rel-prod } (\text{rel-option } Y1) \ S) \ C)$   
 $====> (S ====> X2 ====> \text{rel-gpv } (\text{rel-prod } (\text{rel-option } Y2) \ S) \ C)$   
 $====> S ====> \text{rel-sum } X1 \ X2 ====> \text{rel-gpv } (\text{rel-prod } (\text{rel-option } (\text{rel-sum } Y1$   
 $Y2)) \ S) \ C)$   
*plus-intercept-stop plus-intercept-stop*  
*<proof>*

## 6.3 One-sided shifts

**primcorec** (*transfer*) *left-gpv* ::  $('a, 'out, 'in) \ \text{gpv} \Rightarrow ('a, 'out + 'out', 'in + 'in')$   
 $\ \text{gpv}$  **where**

$\text{the-gpv } (\text{left-gpv } \text{gpv}) =$   
 $\text{map-spmf } (\text{map-generat } \text{id } \text{Inl } (\lambda \text{rpv } \text{input}. \text{case } \text{input} \ \text{of } \text{Inl } \text{input}' \Rightarrow \text{left-gpv}$   
 $(\text{rpv } \text{input}') \mid - \Rightarrow \text{Fail})) (\text{the-gpv } \text{gpv})$

**abbreviation** *left-rpv* ::  $('a, 'out, 'in) \ \text{rpv} \Rightarrow ('a, 'out + 'out', 'in + 'in') \ \text{rpv}$   
**where**

$\text{left-rpv } \text{rpv} \equiv \lambda \text{input}. \text{case } \text{input} \ \text{of } \text{Inl } \text{input}' \Rightarrow \text{left-gpv } (\text{rpv } \text{input}') \mid - \Rightarrow \text{Fail}$

**primcorec** (*transfer*) *right-gpv* :: ('a, 'out, 'in) *gpv* ⇒ ('a, 'out' + 'out, 'in' + 'in) *gpv* **where**

*the-gpv* (*right-gpv gpv*) =  
*map-spmf* (*map-generat id Inr* (λ*rpv input. case input of Inr input' ⇒ right-gpv*  
(*rpv input'*) | - ⇒ *Fail*)) (*the-gpv gpv*)

**abbreviation** *right-rpv* :: ('a, 'out, 'in) *rpv* ⇒ ('a, 'out' + 'out, 'in' + 'in) *rpv* **where**

*right-rpv rpv* ≡ λ*input. case input of Inr input' ⇒ right-gpv* (*rpv input'*) | - ⇒ *Fail*

**context**

**includes** *lifting-syntax*

**notes** [*transfer-rule*] = *corec-gpv-parametric' Fail-parametric' the-gpv-parametric'*  
**begin**

**lemmas** *left-gpv-parametric* = *left-gpv.transfer*

**lemma** *left-gpv-parametric'*:

(*rel-gpv'' A C R* ==> *rel-gpv'' A (rel-sum C C') (rel-sum R R')*) *left-gpv left-gpv*  
⟨*proof*⟩

**lemmas** *right-gpv-parametric* = *right-gpv.transfer*

**lemma** *right-gpv-parametric'*:

(*rel-gpv'' A C' R'* ==> *rel-gpv'' A (rel-sum C C') (rel-sum R R')*) *right-gpv*  
*right-gpv*  
⟨*proof*⟩

**end**

**lemma** *left-gpv-Done* [*simp*]: *left-gpv* (*Done x*) = *Done x*

⟨*proof*⟩

**lemma** *right-gpv-Done* [*simp*]: *right-gpv* (*Done x*) = *Done x*

⟨*proof*⟩

**lemma** *left-gpv-Pause* [*simp*]:

*left-gpv* (*Pause x rpv*) = *Pause* (*Inl x*) (λ*input. case input of Inl input' ⇒ left-gpv*  
(*rpv input'*) | - ⇒ *Fail*)

⟨*proof*⟩

**lemma** *right-gpv-Pause* [*simp*]:

*right-gpv* (*Pause x rpv*) = *Pause* (*Inr x*) (λ*input. case input of Inr input' ⇒*  
*right-gpv* (*rpv input'*) | - ⇒ *Fail*)

⟨*proof*⟩

**lemma** *left-gpv-map*: *left-gpv* (*map-gpv f g gpv*) = *map-gpv f* (*map-sum g h*)  
(*left-gpv gpv*)

*<proof>*

**lemma** *right-gpv-map*:  $\text{right-gpv } (\text{map-gpv } f \ g \ \text{gpv}) = \text{map-gpv } f \ (\text{map-sum } h \ g)$   
(*right-gpv gpv*)  
*<proof>*

**lemma** *results'-gpv-left-gpv* [*simp*]:  
 $\text{results'-gpv } (\text{left-gpv } \text{gpv} :: ('a, 'out + 'out', 'in + 'in') \ \text{gpv}) = \text{results'-gpv } \text{gpv}$   
(**is** ?*lhs* = ?*rhs*)  
*<proof>*

**lemma** *results'-gpv-right-gpv* [*simp*]:  
 $\text{results'-gpv } (\text{right-gpv } \text{gpv} :: ('a, 'out' + 'out, 'in' + 'in) \ \text{gpv}) = \text{results'-gpv } \text{gpv}$   
(**is** ?*lhs* = ?*rhs*)  
*<proof>*

**lemma** *left-gpv-Inl-transfer*:  $\text{rel-gpv}'' (=) (\lambda l \ r. l = \text{Inl } r) (\lambda l \ r. l = \text{Inl } r) (\text{left-gpv } \text{gpv}) \ \text{gpv}$   
*<proof>*

**lemma** *right-gpv-Inr-transfer*:  $\text{rel-gpv}'' (=) (\lambda l \ r. l = \text{Inr } r) (\lambda l \ r. l = \text{Inr } r) (\text{right-gpv } \text{gpv}) \ \text{gpv}$   
*<proof>*

**lemma** *exec-gpv-plus-oracle-left*:  $\text{exec-gpv } (\text{plus-oracle } \text{oracle1 } \text{oracle2}) (\text{left-gpv } \text{gpv}) \ s = \text{exec-gpv } \text{oracle1 } \text{gpv } s$   
*<proof>*

**lemma** *exec-gpv-plus-oracle-right*:  $\text{exec-gpv } (\text{plus-oracle } \text{oracle1 } \text{oracle2}) (\text{right-gpv } \text{gpv}) \ s = \text{exec-gpv } \text{oracle2 } \text{gpv } s$   
*<proof>*

**lemma** *left-gpv-bind-gpv*:  $\text{left-gpv } (\text{bind-gpv } \text{gpv } f) = \text{bind-gpv } (\text{left-gpv } \text{gpv}) (\text{left-gpv } \circ f)$   
*<proof>*

**lemma** *inline1-left-gpv*:  
 $\text{inline1 } (\lambda s \ q. \text{left-gpv } (\text{callee } s \ q)) \ \text{gpv } s =$   
 $\text{map-spmf } (\text{map-sum } \text{id } (\text{map-prod } \text{Inl } (\text{map-prod } \text{left-rpv } \text{id}))) (\text{inline1 } \text{callee } \text{gpv } s)$   
*<proof>*

**lemma** *left-gpv-inline*:  $\text{left-gpv } (\text{inline } \text{callee } \text{gpv } s) = \text{inline } (\lambda s \ q. \text{left-gpv } (\text{callee } s \ q)) \ \text{gpv } s$   
*<proof>*

**lemma** *right-gpv-bind-gpv*:  $\text{right-gpv } (\text{bind-gpv } \text{gpv } f) = \text{bind-gpv } (\text{right-gpv } \text{gpv}) (\text{right-gpv } \circ f)$   
*<proof>*

**lemma** *inline1-right-gpv*:

$inline1 (\lambda s q. right-gpv (callee s q)) gpv s =$   
 $map-spmf (map-sum id (map-prod Inr (map-prod right-rpv id))) (inline1 callee$   
 $gpv s)$   
*<proof>*

**lemma** *right-gpv-inline*:  $right-gpv (inline callee gpv s) = inline (\lambda s q. right-gpv$   
 $(callee s q)) gpv s$   
*<proof>*

**lemma** *WT-gpv-left-gpv*:  $\mathcal{I}1 \vdash_g gpv \checkmark \implies \mathcal{I}1 \oplus_{\mathcal{I}} \mathcal{I}2 \vdash_g left-gpv gpv \checkmark$   
*<proof>*

**lemma** *WT-gpv-right-gpv*:  $\mathcal{I}2 \vdash_g gpv \checkmark \implies \mathcal{I}1 \oplus_{\mathcal{I}} \mathcal{I}2 \vdash_g right-gpv gpv \checkmark$   
*<proof>*

**lemma** *results-gpv-left-gpv [simp]*:  $results-gpv (\mathcal{I}1 \oplus_{\mathcal{I}} \mathcal{I}2) (left-gpv gpv) = re-$   
 $sults-gpv \mathcal{I}1 gpv$   
*(is ?lhs = ?rhs)*  
*<proof>*

**lemma** *results-gpv-right-gpv [simp]*:  $results-gpv (\mathcal{I}1 \oplus_{\mathcal{I}} \mathcal{I}2) (right-gpv gpv) = re-$   
 $sults-gpv \mathcal{I}2 gpv$   
*(is ?lhs = ?rhs)*  
*<proof>*

**lemma** *left-gpv-Fail [simp]*:  $left-gpv Fail = Fail$   
*<proof>*

**lemma** *right-gpv-Fail [simp]*:  $right-gpv Fail = Fail$   
*<proof>*

**lemma** *rsuml-lsumr-left-gpv-left-gpv*:  $map-gpv' id rsuml lsumr (left-gpv (left-gpv$   
 $gpv)) = left-gpv gpv$   
*<proof>*

**lemma** *rsuml-lsumr-left-gpv-right-gpv*:  $map-gpv' id rsuml lsumr (left-gpv (right-gpv$   
 $gpv)) = right-gpv (left-gpv gpv)$   
*<proof>*

**lemma** *rsuml-lsumr-right-gpv*:  $map-gpv' id rsuml lsumr (right-gpv gpv) = right-gpv$   
 $(right-gpv gpv)$   
*<proof>*

**lemma** *map-gpv'-map-gpv-swap*:

$map-gpv' f g h (map-gpv f' id gpv) = map-gpv (f \circ f') id (map-gpv' id g h gpv)$   
*<proof>*

**lemma** *lsumr-rsuml-left-gpv*:  $\text{map-gpv}' \text{ id } \text{lsumr } \text{rsuml } (\text{left-gpv } \text{gpv}) = \text{left-gpv } (\text{left-gpv } \text{gpv})$   
 ⟨proof⟩

**lemma** *lsumr-rsuml-right-gpv-left-gpv*:  
 $\text{map-gpv}' \text{ id } \text{lsumr } \text{rsuml } (\text{right-gpv } (\text{left-gpv } \text{gpv})) = \text{left-gpv } (\text{right-gpv } \text{gpv})$   
 ⟨proof⟩

**lemma** *lsumr-rsuml-right-gpv-right-gpv*:  
 $\text{map-gpv}' \text{ id } \text{lsumr } \text{rsuml } (\text{right-gpv } (\text{right-gpv } \text{gpv})) = \text{right-gpv } \text{gpv}$   
 ⟨proof⟩

**lemma** *in-set-spmf-extend-state-oracle* [simp]:  
 $x \in \text{set-spmf } (\text{extend-state-oracle } \text{oracle } s \ y) \longleftrightarrow$   
 $\text{fst } (\text{snd } x) = \text{fst } s \wedge (\text{fst } x, \text{snd } (\text{snd } x)) \in \text{set-spmf } (\text{oracle } (\text{snd } s) \ y)$   
 ⟨proof⟩

**lemma** *extend-state-oracle-plus-oracle*:  
 $\text{extend-state-oracle } (\text{plus-oracle } \text{oracle1 } \text{oracle2}) = \text{plus-oracle } (\text{extend-state-oracle } \text{oracle1}) (\text{extend-state-oracle } \text{oracle2})$   
 ⟨proof⟩

**definition** *stateless-callee* ::  $('a \Rightarrow ('b, 'out, 'in) \text{gpv}) \Rightarrow ('s \Rightarrow 'a \Rightarrow ('b \times 's, 'out, 'in) \text{gpv})$  **where**  
 $\text{stateless-callee } \text{callee } s = \text{map-gpv } (\lambda b. (b, s)) \text{ id } \circ \text{callee}$

**lemma** *stateless-callee-parametric'*:  
**includes** *lifting-syntax notes* [transfer-rule] = *map-gpv-parametric'* **shows**  
 $((A \text{ ===> } \text{rel-gpv}'' B \ C \ R) \text{ ===> } S \text{ ===> } A \text{ ===> } (\text{rel-gpv}'' (\text{rel-prod } B \ S) \ C \ R))$   
 $\text{stateless-callee } \text{stateless-callee}$   
 ⟨proof⟩

**lemma** *id-oracle-alt-def*:  $\text{id-oracle} = \text{stateless-callee } (\lambda x. \text{Pause } x \ \text{Done})$   
 ⟨proof⟩

**context**

**fixes** *left* ::  $'s1 \Rightarrow 'x1 \Rightarrow ('y1 \times 's1, 'call1, 'ret1) \text{gpv}$   
**and** *right* ::  $'s2 \Rightarrow 'x2 \Rightarrow ('y2 \times 's2, 'call2, 'ret2) \text{gpv}$   
**begin**

**fun** *parallel-intercept* ::  $'s1 \times 's2 \Rightarrow 'x1 + 'x2 \Rightarrow (('y1 + 'y2) \times ('s1 \times 's2), 'call1 + 'call2, 'ret1 + 'ret2) \text{gpv}$   
**where**  
 $\text{parallel-intercept } (s1, s2) (\text{Inl } a) = \text{left-gpv } (\text{map-gpv } (\text{map-prod } \text{Inl } (\lambda s1'. (s1', s2)))) \text{ id } (\text{left } s1 \ a)$   
 $\mid \text{parallel-intercept } (s1, s2) (\text{Inr } b) = \text{right-gpv } (\text{map-gpv } (\text{map-prod } \text{Inr } (\text{Pair } s1' \ s2)))) \text{ id } (\text{right } s2 \ b)$



$s1$ )  $id$  (*right*  $s2$   $b$ )

**end**

**end**

## 6.4 Expectation transformer semantics

**theory** *GPV-Expectation* **imports**

*Computational-Model*

**begin**

**lemma** *le-enn2realI*:  $\llbracket ennreal\ x \leq y; y = \top \implies x \leq 0 \rrbracket \implies x \leq enn2real\ y$   
*<proof>*

**lemma** *enn2real-leD*:  $\llbracket enn2real\ x < y; x \neq \top \rrbracket \implies x < ennreal\ y$   
*<proof>*

**lemma** *ennreal-mult-le-self2I*:  $\llbracket y > 0 \implies x \leq 1 \rrbracket \implies x * y \leq y$  **for**  $x\ y :: ennreal$   
*<proof>*

**lemma** *ennreal-leI*:  $x \leq enn2real\ y \implies ennreal\ x \leq y$   
*<proof>*

**lemma** *enn2real-INF*:  $\llbracket A \neq \{\}; \forall x \in A. f\ x < \top \rrbracket \implies enn2real\ (INF\ x \in A. f\ x)$   
 $= (INF\ x \in A. enn2real\ (f\ x))$   
*<proof>*

**lemma** *monotone-times-ennreal1*: *monotone*  $(\leq)$   $(\leq)$   $(\lambda x. x * y :: ennreal)$   
*<proof>*

**lemma** *monotone-times-ennreal2*: *monotone*  $(\leq)$   $(\leq)$   $(\lambda x. y * x :: ennreal)$   
*<proof>*

**lemma** *mono2mono-times-ennreal*[*THEN* *lfp.mono2mono2*, *cont-intro*, *simp*]:  
**shows** *monotone-times-ennreal*: *monotone*  $(rel\text{-}prod\ (\leq)\ (\leq))\ (\leq)\ (\lambda(x, y). x * y :: ennreal)$   
*<proof>*

**lemma** *mcont-times-ennreal1*: *mcont* *Sup*  $(\leq)$  *Sup*  $(\leq)$   $(\lambda y. x * y :: ennreal)$   
*<proof>*

**lemma** *mcont-times-ennreal2*: *mcont* *Sup*  $(\leq)$  *Sup*  $(\leq)$   $(\lambda y. y * x :: ennreal)$   
*<proof>*

**lemma** *mcont2mcont-times-ennreal* [*cont-intro*, *simp*]:  
 $\llbracket mcont\ lub\ ord\ Sup\ (\leq)\ (\lambda x. f\ x);$   
 $mcont\ lub\ ord\ Sup\ (\leq)\ (\lambda x. g\ x) \rrbracket$   
 $\implies mcont\ lub\ ord\ Sup\ (\leq)\ (\lambda x. f\ x * g\ x :: ennreal)$

*<proof>*

**lemma** *ereal-INF-cmult*:  $0 < c \implies (\text{INF } i \in I. c * f i) = \text{ereal } c * (\text{INF } i \in I. f i)$   
*<proof>*

**lemma** *ereal-INF-multc*:  $0 < c \implies (\text{INF } i \in I. f i * c) = (\text{INF } i \in I. f i) * \text{ereal } c$   
*<proof>*

**lemma** *INF-mult-left-ennreal*:

**assumes**  $I = \{\}$   $\implies c \neq 0$

**and**  $\llbracket c = \top; \exists i \in I. f i > 0 \rrbracket \implies \exists p > 0. \forall i \in I. f i \geq p$

**shows**  $c * (\text{INF } i \in I. f i) = (\text{INF } i \in I. c * f i :: \text{ennreal})$

*<proof>* **including** *ennreal.lifting*

*<proof>*

**lemma** *pmf-map-spmf-None*:  $\text{pmf } (\text{map-spmf } f p) \text{ None} = \text{pmf } p \text{ None}$

*<proof>*

**lemma** *nn-integral-try-spmf*:

$\text{nn-integral } (\text{measure-spmf } (\text{try-spmf } p q)) f = \text{nn-integral } (\text{measure-spmf } p) f +$   
 $\text{nn-integral } (\text{measure-spmf } q) f * \text{pmf } p \text{ None}$

*<proof>*

**lemma** *INF-UNION*:  $(\text{INF } z \in \bigcup x \in A. B x. f z) = (\text{INF } x \in A. \text{INF } z \in B x. f z)$

**for**  $f :: - \Rightarrow 'b :: \text{complete-lattice}$

*<proof>*

**definition** *nn-integral-spmf* ::  $'a \text{ spmf} \Rightarrow ('a \Rightarrow \text{ennreal}) \Rightarrow \text{ennreal}$  **where**

$\text{nn-integral-spmf } p = \text{nn-integral } (\text{measure-spmf } p)$

**lemma** *nn-integral-spmf-parametric* [*transfer-rule*]:

**includes** *lifting-syntax*

**shows**  $(\text{rel-spmf } A \text{ ===== } (A \text{ ===== } (=)) \text{ ===== } (=)) \text{ nn-integral-spmf nn-integral-spmf}$

*<proof>*

**lemma** *weight-spmf-mcont2mcont* [*THEN* *lfp.mcont2mcont*, *cont-intro*]:

**shows** *weight-spmf-mcont*:  $\text{mcont } (\text{lub-spmf}) (\text{ord-spmf } (=)) \text{ Sup } (\leq) (\lambda p. \text{ennreal}$   
 $(\text{weight-spmf } p))$

*<proof>*

**lemma** *mono2mono-nn-integral-spmf* [*THEN* *lfp.mono2mono*, *cont-intro*]:

**shows** *monotone-nn-integral-spmf*:  $\text{monotone } (\text{ord-spmf } (=)) (\leq) (\lambda p. \text{integral}^N$   
 $(\text{measure-spmf } p) f)$

*<proof>*

**lemma** *cont-nn-integral-spmf*:

$\text{cont } \text{lub-spmf } (\text{ord-spmf } (=)) \text{ Sup } (\leq) (\lambda p :: 'a \text{ spmf}. \text{nn-integral } (\text{measure-spmf}$   
 $p) f)$

*<proof>*

**lemma** *mcont2mcont-nn-integral-spmf* [*THEN lfp.mcont2mcont, cont-intro*]:  
  **shows** *mcont-nn-integral-spmf*:  
  *mcont lub-spmf (ord-spmf (=)) Sup (≤) (λp :: 'a spmf. nn-integral (measure-spmf p) f)*  
*<proof>*

**lemma** *nn-integral-mono2mono*:  
  **assumes**  $\bigwedge x. x \in \text{space } M \implies \text{monotone ord } (\leq) (\lambda f. F f x)$   
  **shows** *monotone ord (≤) (λf. nn-integral M (F f))*  
*<proof>*

**lemma** *nn-integral-mono-lfp* [*partial-function-mono*]:  
  — *Partial\_Function.mono\_tac* does not like conditional assumptions (more precisely the case splitter)  
   $(\bigwedge x. \text{lfp.mono-body } (\lambda f. F f x)) \implies \text{lfp.mono-body } (\lambda f. \text{nn-integral } M (F f))$   
*<proof>*

**lemma** *INF-mono-lfp* [*partial-function-mono*]:  
   $(\bigwedge x. \text{lfp.mono-body } (\lambda f. F f x)) \implies \text{lfp.mono-body } (\lambda f. \text{INF } x \in M. F f x)$   
*<proof>*

**lemmas** *parallel-fixp-induct-1-2 = parallel-fixp-induct-uc*  
  *of - - - λx. x - λx. x case-prod - curry,*  
  **where**  $P = \lambda f g. P f (\text{curry } g),$   
  *unfolded case-prod-curry curry-case-prod curry-K,*  
   $OF - - - - - \text{refl refl}$   
  **for**  $P$

**lemma** *monotone-ennreal-add1*: *monotone (≤) (≤) (λx. x + y :: ennreal)*  
*<proof>*

**lemma** *monotone-ennreal-add2*: *monotone (≤) (≤) (λy. x + y :: ennreal)*  
*<proof>*

**lemma** *mono2mono-ennreal-add* [*THEN lfp.mono2mono2, cont-intro, simp*]:  
  **shows** *monotone-eadd: monotone (rel-prod (≤) (≤)) (≤) (λ(x, y). x + y :: ennreal)*  
*<proof>*

**lemma** *ennreal-add-partial-function-mono* [*partial-function-mono*]:  
   $\llbracket \text{monotone (fun-ord } (\leq)) (\leq) f; \text{monotone (fun-ord } (\leq)) (\leq) g \rrbracket$   
   $\implies \text{monotone (fun-ord } (\leq)) (\leq) (\lambda x. f x + g x :: \text{ennreal})$   
*<proof>*

**context**  
  **fixes** *fail :: ennreal*

```

and  $\mathcal{I} :: ('out, 'ret) \mathcal{I}$ 
and  $f :: 'a \Rightarrow ennreal$ 
notes [[function-internals]]
begin

partial-function (lfp-strong) expectation-gpv :: ('a, 'out, 'ret) gpv  $\Rightarrow$  ennreal where
  expectation-gpv gpv =
    ( $\int^+$  generat. (case generat of Pure  $x \Rightarrow f x$ 
      | IO out  $c \Rightarrow INF r \in responses-\mathcal{I} \ \mathcal{I} \ out.$  expectation-gpv ( $c \ r$ ))
   $\partial measure\text{-}spmf$  (the-gpv gpv))
    + fail * pmf (the-gpv gpv) None

lemma expectation-gpv-fixp-induct [case-names adm bottom step]:
  assumes lfp.admissible P
  and  $P (\lambda-. 0)$ 
  and  $\bigwedge expectation\text{-}gpv'. \llbracket \bigwedge gpv. expectation\text{-}gpv' \ gpv \leq expectation\text{-}gpv \ gpv; P$ 
expectation-gpv'  $\rrbracket \Longrightarrow$ 
     $P (\lambda gpv. (\int^+ generat. (case generat of Pure \ x \Rightarrow f \ x \ | \ IO \ out \ c \Rightarrow INF$ 
   $r \in responses-\mathcal{I} \ \mathcal{I} \ out. expectation\text{-}gpv' (c \ r)) \ \partial measure\text{-}spmf (the\text{-}gpv \ gpv)) + fail$ 
  * pmf (the-gpv gpv) None)
  shows  $P \ expectation\text{-}gpv$ 
   $\langle proof \rangle$ 

lemma expectation-gpv-Done [simp]: expectation-gpv (Done  $x$ ) =  $f \ x$ 
   $\langle proof \rangle$ 

lemma expectation-gpv-Fail [simp]: expectation-gpv Fail = fail
   $\langle proof \rangle$ 

lemma expectation-gpv-lift-spmf [simp]:
  expectation-gpv (lift-spmf  $p$ ) = ( $\int^+ x. f \ x \ \partial measure\text{-}spmf \ p$ ) + fail * pmf  $p \ None$ 
   $\langle proof \rangle$ 

lemma expectation-gpv-Pause [simp]:
  expectation-gpv (Pause  $out \ c$ ) = ( $INF \ r \in responses-\mathcal{I} \ \mathcal{I} \ out. expectation\text{-}gpv (c$ 
   $r)$ )
   $\langle proof \rangle$ 

end

context begin
private definition weight-spmf'  $p = weight\text{-}spmf \ p$ 
lemmas weight-spmf'-parametric = weight-spmf-parametric[folded weight-spmf'-def]
lemma expectation-gpv-parametric':
  includes lifting-syntax notes weight-spmf'-parametric[transfer-rule]
  shows  $((=) \Longrightarrow rel-\mathcal{I} \ C \ R \Longrightarrow (A \Longrightarrow (=)) \Longrightarrow rel\text{-}gpv'' \ A \ C \ R$ 
   $\Longrightarrow (=)) \ expectation\text{-}gpv \ expectation\text{-}gpv$ 
   $\langle proof \rangle$ 
end

```

**lemma** *expectation-gpv-parametric* [*transfer-rule*]:  
**includes** *lifting-syntax*  
**shows**  $((=) \implies \text{rel-}\mathcal{I} \ C \ (=) \implies (A \implies (=)) \implies \text{rel-gpv} \ A \ C \implies (=))$  *expectation-gpv expectation-gpv*  
 $\langle \text{proof} \rangle$

**lemma** *expectation-gpv-cong*:  
**fixes** *fail fail'*  
**assumes** *fail: fail = fail'*  
**and**  $\mathcal{I}: \mathcal{I} = \mathcal{I}'$   
**and** *gpv: gpv = gpv'*  
**and**  $f: \bigwedge x. x \in \text{results-gpv} \ \mathcal{I}' \ gpv' \implies f \ x = g \ x$   
**shows** *expectation-gpv fail*  $\mathcal{I} \ f \ gpv = \text{expectation-gpv fail}' \ \mathcal{I}' \ g \ gpv'$   
 $\langle \text{proof} \rangle$

**lemma** *expectation-gpv-cong-fail*:  
 $\text{colossless-gpv} \ \mathcal{I} \ gpv \implies \text{expectation-gpv fail} \ \mathcal{I} \ f \ gpv = \text{expectation-gpv fail}' \ \mathcal{I} \ f \ gpv$   
**for** *fail*  
 $\langle \text{proof} \rangle$

**lemma** *expectation-gpv-mono*:  
**fixes** *fail fail'*  
**assumes** *fail: fail  $\leq$  fail'*  
**and**  $fg: f \leq g$   
**shows** *expectation-gpv fail*  $\mathcal{I} \ f \ gpv \leq \text{expectation-gpv fail}' \ \mathcal{I} \ g \ gpv$   
 $\langle \text{proof} \rangle$

**lemma** *expectation-gpv-mono-strong*:  
**fixes** *fail fail'*  
**assumes** *fail:  $\neg \text{colossless-gpv} \ \mathcal{I} \ gpv \implies fail \leq fail'$*   
**and**  $fg: \bigwedge x. x \in \text{results-gpv} \ \mathcal{I} \ gpv \implies f \ x \leq g \ x$   
**shows** *expectation-gpv fail*  $\mathcal{I} \ f \ gpv \leq \text{expectation-gpv fail}' \ \mathcal{I} \ g \ gpv$   
 $\langle \text{proof} \rangle$

**lemma** *expectation-gpv-bind* [*simp*]:  
**fixes**  $\mathcal{I} \ f \ g \ fail$   
**defines** *expectation-gpv1*  $\equiv \text{expectation-gpv fail} \ \mathcal{I} \ f$   
**and** *expectation-gpv2*  $\equiv \text{expectation-gpv fail} \ \mathcal{I} \ (\text{expectation-gpv fail} \ \mathcal{I} \ f \circ g)$   
**shows** *expectation-gpv1*  $(\text{bind-gpv} \ gpv \ g) = \text{expectation-gpv2} \ gpv$  (**is** *?lhs = ?rhs*)  
 $\langle \text{proof} \rangle$

**lemma** *expectation-gpv-try-gpv* [*simp*]:  
**fixes** *fail*  $\mathcal{I} \ f \ gpv'$   
**defines** *expectation-gpv1*  $\equiv \text{expectation-gpv fail} \ \mathcal{I} \ f$   
**and** *expectation-gpv2*  $\equiv \text{expectation-gpv} \ (\text{expectation-gpv fail} \ \mathcal{I} \ f \ gpv') \ \mathcal{I} \ f$   
**shows** *expectation-gpv1*  $(\text{try-gpv} \ gpv \ gpv') = \text{expectation-gpv2} \ gpv$   
 $\langle \text{proof} \rangle$

**lemma** *expectation-gpv-restrict-gpv*:

$\mathcal{I} \vdash_g \text{gpv } \checkmark \implies \text{expectation-gpv fail } \mathcal{I} f (\text{restrict-gpv } \mathcal{I} \text{ gpv}) = \text{expectation-gpv fail } \mathcal{I} f \text{ gpv}$  **for** *fail*  
 ⟨proof⟩

**lemma** *expectation-gpv-const-le*:  $\mathcal{I} \vdash_g \text{gpv } \checkmark \implies \text{expectation-gpv fail } \mathcal{I} (\lambda-. c) \text{ gpv} \leq \max c \text{ fail}$  **for** *fail*  
 ⟨proof⟩

**lemma** *expectation-gpv-no-results*:

$\llbracket \text{results-gpv } \mathcal{I} \text{ gpv} = \{\}; \mathcal{I} \vdash_g \text{gpv } \checkmark \rrbracket \implies \text{expectation-gpv } 0 \mathcal{I} f \text{ gpv} = 0$   
 ⟨proof⟩

**lemma** *expectation-gpv-cmult*:

**fixes** *fail*  
**assumes**  $0 < c$  **and**  $c \neq \top$   
**shows**  $c * \text{expectation-gpv fail } \mathcal{I} f \text{ gpv} = \text{expectation-gpv } (c * \text{fail}) \mathcal{I} (\lambda x. c * f x) \text{ gpv}$   
 ⟨proof⟩

**lemma** *expectation-gpv-le-exec-gpv*:

**assumes** *callee*:  $\bigwedge s x. x \in \text{outs-}\mathcal{I} \mathcal{I} \implies \text{lossless-spmf } (\text{callee } s x)$   
**and** *WT-gpv*:  $\mathcal{I} \vdash_g \text{gpv } \checkmark$   
**and** *WT-callee*:  $\bigwedge s. \mathcal{I} \vdash_c \text{callee } s \checkmark$   
**shows**  $\text{expectation-gpv } 0 \mathcal{I} f \text{ gpv} \leq \int^+ (x, s). f x \partial \text{measure-spmf } (\text{exec-gpv callee gpv } s)$   
 ⟨proof⟩

**definition** *weight-gpv* ::  $(\text{'out}, \text{'ret}) \mathcal{I} \Rightarrow (\text{'a}, \text{'out}, \text{'ret}) \text{gpv} \Rightarrow \text{real}$

**where**  $\text{weight-gpv } \mathcal{I} \text{ gpv} = \text{enn2real } (\text{expectation-gpv } 0 \mathcal{I} (\lambda-. 1) \text{ gpv})$

**lemma** *weight-gpv-Done* [*simp*]:  $\text{weight-gpv } \mathcal{I} (\text{Done } x) = 1$

⟨proof⟩

**lemma** *weight-gpv-Fail* [*simp*]:  $\text{weight-gpv } \mathcal{I} \text{ Fail} = 0$

⟨proof⟩

**lemma** *weight-gpv-lift-spmf* [*simp*]:  $\text{weight-gpv } \mathcal{I} (\text{lift-spmf } p) = \text{weight-spmf } p$

⟨proof⟩

**lemma** *weight-gpv-Pause* [*simp*]:

$(\bigwedge r. r \in \text{responses-}\mathcal{I} \mathcal{I} \text{ out} \implies \mathcal{I} \vdash_g c r \checkmark)$

$\implies \text{weight-gpv } \mathcal{I} (\text{Pause out } c) = (\text{if out} \in \text{outs-}\mathcal{I} \mathcal{I} \text{ then } \text{INF } r \in \text{responses-}\mathcal{I} \mathcal{I} \text{ out. } \text{weight-gpv } \mathcal{I} (c r) \text{ else } 0)$

⟨proof⟩

**lemma** *weight-gpv-nonneg*:  $0 \leq \text{weight-gpv } \mathcal{I} \text{ gpv}$

⟨proof⟩

**lemma** *weight-gpv-le-1*:  $\mathcal{I} \vdash_g \text{gpv } \checkmark \implies \text{weight-gpv } \mathcal{I} \text{ gpv} \leq 1$   
 ⟨proof⟩

**theorem** *weight-exec-gpv*:  
 assumes *callee*:  $\bigwedge s x. x \in \text{outs-}\mathcal{I} \ \mathcal{I} \implies \text{lossless-spmf } (\text{callee } s \ x)$   
 and *WT-gpv*:  $\mathcal{I} \vdash_g \text{gpv } \checkmark$   
 and *WT-callee*:  $\bigwedge s. \mathcal{I} \vdash_c \text{callee } s \ \checkmark$   
 shows  $\text{weight-gpv } \mathcal{I} \ \text{gpv} \leq \text{weight-spmf } (\text{exec-gpv } \text{callee } \text{gpv } s)$   
 ⟨proof⟩

**lemma** (in *callee-invariant-on*) *weight-exec-gpv*:  
 assumes *callee*:  $\bigwedge s x. \llbracket x \in \text{outs-}\mathcal{I} \ \mathcal{I}; I \ s \rrbracket \implies \text{lossless-spmf } (\text{callee } s \ x)$   
 and *WT-gpv*:  $\mathcal{I} \vdash_g \text{gpv } \checkmark$   
 and *I*:  $I \ s$   
 shows  $\text{weight-gpv } \mathcal{I} \ \text{gpv} \leq \text{weight-spmf } (\text{exec-gpv } \text{callee } \text{gpv } s)$   
 including *lifting-syntax*  
 ⟨proof⟩

## 6.5 Probabilistic termination

**definition** *pgen-lossless-gpv* ::  $(c, r) \ \mathcal{I} \Rightarrow (a, c, r) \ \text{gpv} \Rightarrow \text{bool}$   
 where  $\text{pgen-lossless-gpv } \text{fail } \mathcal{I} \ \text{gpv} = (\text{expectation-gpv } \text{fail } \mathcal{I} \ (\lambda-. 1) \ \text{gpv} = 1)$  **for** *fail*

**abbreviation** *plossless-gpv* ::  $(c, r) \ \mathcal{I} \Rightarrow (a, c, r) \ \text{gpv} \Rightarrow \text{bool}$   
 where  $\text{plossless-gpv} \equiv \text{pgen-lossless-gpv } 0$

**abbreviation** *pfinite-gpv* ::  $(c, r) \ \mathcal{I} \Rightarrow (a, c, r) \ \text{gpv} \Rightarrow \text{bool}$   
 where  $\text{pfinite-gpv} \equiv \text{pgen-lossless-gpv } 1$

**lemma** *pgen-lossless-gpvI* [*intro?*]:  $\text{expectation-gpv } \text{fail } \mathcal{I} \ (\lambda-. 1) \ \text{gpv} = 1 \implies \text{pgen-lossless-gpv } \text{fail } \mathcal{I} \ \text{gpv}$  **for** *fail*  
 ⟨proof⟩

**lemma** *pgen-lossless-gpvD*:  $\text{pgen-lossless-gpv } \text{fail } \mathcal{I} \ \text{gpv} \implies \text{expectation-gpv } \text{fail } \mathcal{I} \ (\lambda-. 1) \ \text{gpv} = 1$  **for** *fail*  
 ⟨proof⟩

**lemma** *lossless-imp-plossless-gpv*:  
 assumes *lossless-gpv*:  $\mathcal{I} \ \text{gpv} \ \mathcal{I} \vdash_g \text{gpv } \checkmark$   
 shows  $\text{plossless-gpv } \mathcal{I} \ \text{gpv}$   
 ⟨proof⟩

**lemma** *finite-imp-pfinite-gpv*:  
 assumes *finite-gpv*:  $\mathcal{I} \ \text{gpv} \ \mathcal{I} \vdash_g \text{gpv } \checkmark$   
 shows  $\text{pfinite-gpv } \mathcal{I} \ \text{gpv}$   
 ⟨proof⟩

**lemma** *plossless-gpv-lossless-spmfD*:

**assumes** *lossless*:  $plossless\text{-}gpv \mathcal{I} \text{ } gpv$   
**and**  $WT: \mathcal{I} \vdash g \text{ } gpv \checkmark$   
**shows** *lossless-spmf* ( $the\text{-}gpv \text{ } gpv$ )  
 $\langle proof \rangle$

**lemma**

**shows** *plossless-gpv-ContD*:  
 $\llbracket plossless\text{-}gpv \mathcal{I} \text{ } gpv; IO \text{ } out \text{ } c \in set\text{-}spm f (the\text{-}gpv \text{ } gpv); input \in responses\text{-}\mathcal{I} \mathcal{I} \text{ } out; \mathcal{I} \vdash g \text{ } gpv \checkmark \rrbracket$   
 $\implies plossless\text{-}gpv \mathcal{I} (c \text{ } input)$   
**and** *pfinite-gpv-ContD*:  
 $\llbracket pfinite\text{-}gpv \mathcal{I} \text{ } gpv; IO \text{ } out \text{ } c \in set\text{-}spm f (the\text{-}gpv \text{ } gpv); input \in responses\text{-}\mathcal{I} \mathcal{I} \text{ } out; \mathcal{I} \vdash g \text{ } gpv \checkmark \rrbracket$   
 $\implies pfinite\text{-}gpv \mathcal{I} (c \text{ } input)$   
 $\langle proof \rangle$

**lemma** *plossless-iff-colossless-pfinite*:

**assumes**  $WT: \mathcal{I} \vdash g \text{ } gpv \checkmark$   
**shows**  $plossless\text{-}gpv \mathcal{I} \text{ } gpv \longleftrightarrow colossless\text{-}gpv \mathcal{I} \text{ } gpv \wedge pfinite\text{-}gpv \mathcal{I} \text{ } gpv$   
 $\langle proof \rangle$

**lemma** *pgen-lossless-gpv-Done* [*simp*]:  $pgen\text{-}lossless\text{-}gpv \text{ } fail \mathcal{I} (Done \text{ } x) \text{ } \mathbf{for} \text{ } fail$   
 $\langle proof \rangle$

**lemma** *pgen-lossless-gpv-Fail* [*simp*]:  $pgen\text{-}lossless\text{-}gpv \text{ } fail \mathcal{I} \text{ } Fail \longleftrightarrow fail = 1 \text{ } \mathbf{for} \text{ } fail$   
 $\langle proof \rangle$

**lemma** *pgen-lossless-gpv-PauseI* [*simp*, *intro!*]:

$\llbracket out \in outs\text{-}\mathcal{I} \mathcal{I}; \bigwedge r. r \in responses\text{-}\mathcal{I} \mathcal{I} \text{ } out \implies pgen\text{-}lossless\text{-}gpv \text{ } fail \mathcal{I} (c \text{ } r) \rrbracket$   
 $\implies pgen\text{-}lossless\text{-}gpv \text{ } fail \mathcal{I} (Pause \text{ } out \text{ } c) \text{ } \mathbf{for} \text{ } fail$   
 $\langle proof \rangle$

**lemma** *pgen-lossless-gpv-bindI* [*simp*, *intro!*]:

$\llbracket pgen\text{-}lossless\text{-}gpv \text{ } fail \mathcal{I} \text{ } gpv; \bigwedge x. x \in results\text{-}gpv \mathcal{I} \text{ } gpv \implies pgen\text{-}lossless\text{-}gpv \text{ } fail \mathcal{I} (f \text{ } x) \rrbracket$   
 $\implies pgen\text{-}lossless\text{-}gpv \text{ } fail \mathcal{I} (bind\text{-}gpv \text{ } gpv \text{ } f) \text{ } \mathbf{for} \text{ } fail$   
 $\langle proof \rangle$

**lemma** *pgen-lossless-gpv-lift-spmf* [*simp*]:

$pgen\text{-}lossless\text{-}gpv \text{ } fail \mathcal{I} (lift\text{-}spm f \text{ } p) \longleftrightarrow lossless\text{-}spm f \text{ } p \vee fail = 1 \text{ } \mathbf{for} \text{ } fail$   
 $\langle proof \rangle$

**lemma** *expectation-gpv-top-pfinite*:

**assumes**  $pfinite\text{-}gpv \mathcal{I} \text{ } gpv$   
**shows**  $expectation\text{-}gpv \top \mathcal{I} (\lambda\cdot. \top) \text{ } gpv = \top$   
 $\langle proof \rangle$

**lemma** *pfinite-INF-le-expectation-gpv*:



**fixes**  $fail \ \mathcal{I} \ gpv \ f$   
**defines**  $c \equiv \min (INF \ x \in results-gpv \ \mathcal{I} \ gpv. \ f \ x) \ fail$   
**assumes**  $fin: \ pfinite-gpv \ \mathcal{I} \ gpv$   
**shows**  $c \leq expectation-gpv \ fail \ \mathcal{I} \ f \ gpv$  (**is**  $?lhs \leq ?rhs$ )  
 $\langle proof \rangle$

**lemma** *plossless-INF-le-expectation-gpv*:  
**fixes**  $fail$   
**assumes**  $plossless-gpv \ \mathcal{I} \ gpv$  **and**  $\mathcal{I} \vdash_g \ gpv \ \checkmark$   
**shows**  $(INF \ x \in results-gpv \ \mathcal{I} \ gpv. \ f \ x) \leq expectation-gpv \ fail \ \mathcal{I} \ f \ gpv$  (**is**  $?lhs \leq ?rhs$ )  
 $\langle proof \rangle$

**lemma** *expectation-gpv-le-inline*:  
**fixes**  $\mathcal{I}'$   
**defines**  $expectation-gpv2 \equiv expectation-gpv \ 0 \ \mathcal{I}'$   
**assumes**  $callee: \bigwedge s \ x. \ x \in outs-\mathcal{I} \ \mathcal{I} \implies plossless-gpv \ \mathcal{I}' \ (callee \ s \ x)$   
**and**  $callee': \bigwedge s \ x. \ x \in outs-\mathcal{I} \ \mathcal{I} \implies results-gpv \ \mathcal{I}' \ (callee \ s \ x) \subseteq responses-\mathcal{I} \ \mathcal{I}$   
 $x \times UNIV$   
**and**  $WT-gpv: \ \mathcal{I} \vdash_g \ gpv \ \checkmark$   
**and**  $WT-callee: \bigwedge s \ x. \ x \in outs-\mathcal{I} \ \mathcal{I} \implies \mathcal{I}' \vdash_g \ callee \ s \ x \ \checkmark$   
**shows**  $expectation-gpv \ 0 \ \mathcal{I} \ f \ gpv \leq expectation-gpv2 \ (\lambda(x, s). \ f \ x)$  (*inline callee gpv s*)  
 $\langle proof \rangle$

**lemma** *plossless-inline*:  
**assumes**  $lossless: \ plossless-gpv \ \mathcal{I} \ gpv$   
**and**  $WT: \ \mathcal{I} \vdash_g \ gpv \ \checkmark$   
**and**  $callee: \bigwedge s \ x. \ x \in outs-\mathcal{I} \ \mathcal{I} \implies plossless-gpv \ \mathcal{I}' \ (callee \ s \ x)$   
**and**  $callee': \bigwedge s \ x. \ x \in outs-\mathcal{I} \ \mathcal{I} \implies results-gpv \ \mathcal{I}' \ (callee \ s \ x) \subseteq responses-\mathcal{I} \ \mathcal{I}$   
 $x \times UNIV$   
**and**  $WT-callee: \bigwedge s \ x. \ x \in outs-\mathcal{I} \ \mathcal{I} \implies \mathcal{I}' \vdash_g \ callee \ s \ x \ \checkmark$   
**shows**  $plossless-gpv \ \mathcal{I}'$  (*inline callee gpv s*)  
 $\langle proof \rangle$

**lemma** *plossless-exec-gpv*:  
**assumes**  $lossless: \ plossless-gpv \ \mathcal{I} \ gpv$   
**and**  $WT: \ \mathcal{I} \vdash_g \ gpv \ \checkmark$   
**and**  $callee: \bigwedge s \ x. \ x \in outs-\mathcal{I} \ \mathcal{I} \implies lossless-spmf \ (callee \ s \ x)$   
**and**  $callee': \bigwedge s \ x. \ x \in outs-\mathcal{I} \ \mathcal{I} \implies set-spmf \ (callee \ s \ x) \subseteq responses-\mathcal{I} \ \mathcal{I} \ x \times UNIV$   
**shows**  $lossless-spmf \ (exec-gpv \ callee \ gpv \ s)$   
 $\langle proof \rangle$

**lemma** *expectation-gpv-I-mono*:  
**defines**  $expectation-gpv' \equiv expectation-gpv$   
**assumes**  $le: \ \mathcal{I} \leq \mathcal{I}'$   
**and**  $WT: \ \mathcal{I} \vdash_g \ gpv \ \checkmark$

**shows**  $\text{expectation-gpv fail } \mathcal{I} f \text{ gpv} \leq \text{expectation-gpv}' \text{ fail } \mathcal{I}' f \text{ gpv}$   
 ⟨proof⟩

**lemma** *pgen-lossless-gpv-mono*:

**assumes** \*:  $\text{pgen-lossless-gpv fail } \mathcal{I} \text{ gpv}$   
**and**  $le: \mathcal{I} \leq \mathcal{I}'$   
**and**  $WT: \mathcal{I} \vdash_g \text{gpv } \checkmark$   
**and**  $fail: fail \leq 1$   
**shows**  $\text{pgen-lossless-gpv fail } \mathcal{I}' \text{ gpv}$   
 ⟨proof⟩

**lemma** *plossless-gpv-mono*:

$\llbracket \text{plossless-gpv } \mathcal{I} \text{ gpv}; \mathcal{I} \leq \mathcal{I}'; \mathcal{I} \vdash_g \text{gpv } \checkmark \rrbracket \implies \text{plossless-gpv } \mathcal{I}' \text{ gpv}$   
 ⟨proof⟩

**lemma** *pfinite-gpv-mono*:

$\llbracket \text{pfinite-gpv } \mathcal{I} \text{ gpv}; \mathcal{I} \leq \mathcal{I}'; \mathcal{I} \vdash_g \text{gpv } \checkmark \rrbracket \implies \text{pfinite-gpv } \mathcal{I}' \text{ gpv}$   
 ⟨proof⟩

**lemma** *pgen-lossless-gpv-parametric'*: **includes** *lifting-syntax* **shows**

$((=) \implies \text{rel-}\mathcal{I} \ C \ R \implies \text{rel-gpv}'' \ A \ C \ R \implies (=)) \text{pgen-lossless-gpv}$   
 $\text{pgen-lossless-gpv}$   
 ⟨proof⟩

**lemma** *pgen-lossless-gpv-parametric*: **includes** *lifting-syntax* **shows**

$((=) \implies \text{rel-}\mathcal{I} \ C \ (=) \implies \text{rel-gpv} \ A \ C \implies (=)) \text{pgen-lossless-gpv}$   
 $\text{pgen-lossless-gpv}$   
 ⟨proof⟩

**lemma** *pgen-lossless-gpv-map-gpv-id* [*simp*]:

$\text{pgen-lossless-gpv fail } \mathcal{I} (\text{map-gpv } f \ \text{id } \text{gpv}) = \text{pgen-lossless-gpv fail } \mathcal{I} \text{ gpv}$   
 ⟨proof⟩

**context** *raw-converter-invariant* **begin**

**lemma** *expectation-gpv-le-inline*:

**defines**  $\text{expectation-gpv2} \equiv \text{expectation-gpv } 0 \ \mathcal{I}'$   
**assumes**  $\text{callee: } \bigwedge s \ x. \llbracket x \in \text{outs-}\mathcal{I} \ \mathcal{I}; I \ s \rrbracket \implies \text{plossless-gpv } \mathcal{I}' (\text{callee } s \ x)$   
**and**  $WT\text{-gpv}: \mathcal{I} \vdash_g \text{gpv } \checkmark$   
**and**  $I: I \ s$   
**shows**  $\text{expectation-gpv } 0 \ \mathcal{I} f \text{ gpv} \leq \text{expectation-gpv2 } (\lambda(x, s). f \ x)$  (*inline callee*  
 $\text{gpv } s$ )  
 ⟨proof⟩

**lemma** *plossless-inline*:

**assumes**  $\text{lossless: plossless-gpv } \mathcal{I} \text{ gpv}$   
**and**  $WT: \mathcal{I} \vdash_g \text{gpv } \checkmark$   
**and**  $\text{callee: } \bigwedge s \ x. \llbracket I \ s; x \in \text{outs-}\mathcal{I} \ \mathcal{I} \rrbracket \implies \text{plossless-gpv } \mathcal{I}' (\text{callee } s \ x)$   
**and**  $I: I \ s$

**shows** *plossless-gpv*  $\mathcal{I}'$  (*inline callee gpv s*)  
 ⟨*proof*⟩

**end**

**lemma** *expectation-left-gpv* [*simp*]:  
 $expectation-gpv\ fail\ (\mathcal{I} \oplus_{\mathcal{I}} \mathcal{I}')\ f\ (left-gpv\ gpv) = expectation-gpv\ fail\ \mathcal{I}\ f\ gpv$   
 ⟨*proof*⟩

**lemma** *expectation-right-gpv* [*simp*]:  
 $expectation-gpv\ fail\ (\mathcal{I} \oplus_{\mathcal{I}} \mathcal{I}')\ f\ (right-gpv\ gpv) = expectation-gpv\ fail\ \mathcal{I}'\ f\ gpv$   
 ⟨*proof*⟩

**lemma** *pgen-lossless-left-gpv* [*simp*]: *pgen-lossless-gpv*  $fail\ (\mathcal{I} \oplus_{\mathcal{I}} \mathcal{I}')\ (left-gpv\ gpv)$   
 $= pgen-lossless-gpv\ fail\ \mathcal{I}\ gpv$   
 ⟨*proof*⟩

**lemma** *pgen-lossless-right-gpv* [*simp*]: *pgen-lossless-gpv*  $fail\ (\mathcal{I} \oplus_{\mathcal{I}} \mathcal{I}')\ (right-gpv\ gpv)$   
 $= pgen-lossless-gpv\ fail\ \mathcal{I}'\ gpv$   
 ⟨*proof*⟩

**lemma** (**in** *raw-converter-invariant*) *expectation-gpv-le-inline-invariant*:  
**defines**  $expectation-gpv2 \equiv expectation-gpv\ 0\ \mathcal{I}'$   
**assumes** *callee*:  $\bigwedge s\ x.\ \llbracket x \in outs-\mathcal{I}\ \mathcal{I};\ I\ s \rrbracket \implies plossless-gpv\ \mathcal{I}'\ (callee\ s\ x)$   
**and** *WT-gpv*:  $\mathcal{I} \vdash_g\ gpv\ \checkmark$   
**and** *I*:  $I\ s$   
**shows**  $expectation-gpv\ 0\ \mathcal{I}\ f\ gpv \leq expectation-gpv2\ (\lambda(x, s). f\ x)\ (inline\ callee\ gpv\ s)$   
 ⟨*proof*⟩

**lemma** (**in** *raw-converter-invariant*) *plossless-inline-invariant*:  
**assumes** *lossless*: *plossless-gpv*  $\mathcal{I}\ gpv$   
**and** *WT*:  $\mathcal{I} \vdash_g\ gpv\ \checkmark$   
**and** *callee*:  $\bigwedge s\ x.\ \llbracket x \in outs-\mathcal{I}\ \mathcal{I};\ I\ s \rrbracket \implies plossless-gpv\ \mathcal{I}'\ (callee\ s\ x)$   
**and** *I*:  $I\ s$   
**shows** *plossless-gpv*  $\mathcal{I}'\ (inline\ callee\ gpv\ s)$   
 ⟨*proof*⟩

**context** *callee-invariant-on* **begin**

**lemma** *raw-converter-invariant*: *raw-converter-invariant*  $\mathcal{I}\ \mathcal{I}'\ (\lambda s\ x.\ lift-spmf\ (callee\ s\ x))\ I$   
 ⟨*proof*⟩

**lemma** (**in** *callee-invariant-on*) *plossless-exec-gpv*:  
**assumes** *lossless*: *plossless-gpv*  $\mathcal{I}\ gpv$   
**and** *WT*:  $\mathcal{I} \vdash_g\ gpv\ \checkmark$   
**and** *callee*:  $\bigwedge s\ x.\ \llbracket x \in outs-\mathcal{I}\ \mathcal{I};\ I\ s \rrbracket \implies lossless-spmf\ (callee\ s\ x)$   
**and** *I*:  $I\ s$

**shows** *lossless-spmf* (*exec-gpv callee gpv s*)  
 ⟨*proof*⟩

**end**

**lemma** *expectation-gpv-mk-lossless-gpv*:

**fixes**  $\mathcal{I} \ y$   
**defines**  $rhs \equiv \text{expectation-gpv } 0 \ \mathcal{I} \ (\lambda-. \ y)$   
**assumes**  $WT: \mathcal{I}' \vdash_g \text{ gpv } \checkmark$   
**and**  $\text{outs-}\mathcal{I} \ \mathcal{I} = \text{outs-}\mathcal{I} \ \mathcal{I}'$   
**shows**  $\text{expectation-gpv } 0 \ \mathcal{I}' \ (\lambda-. \ y) \text{ gpv} \leq rhs \ (\text{mk-lossless-gpv} \ (\text{responses-}\mathcal{I} \ \mathcal{I}') \ x \ \text{gpv})$   
 ⟨*proof*⟩

**lemma** *plossless-gpv-mk-lossless-gpv*:

**assumes** *plossless-gpv*  $\mathcal{I} \ \text{gpv}$   
**and**  $\mathcal{I} \vdash_g \text{ gpv } \checkmark$   
**and**  $\text{outs-}\mathcal{I} \ \mathcal{I} = \text{outs-}\mathcal{I} \ \mathcal{I}'$   
**shows** *plossless-gpv*  $\mathcal{I}' \ (\text{mk-lossless-gpv} \ (\text{responses-}\mathcal{I} \ \mathcal{I}) \ x \ \text{gpv})$   
 ⟨*proof*⟩

**lemma** (**in** *callee-invariant-on*) *exec-gpv-mk-lossless-gpv*:

**assumes**  $\mathcal{I} \vdash_g \text{ gpv } \checkmark$   
**and**  $I \ s$   
**shows**  $\text{exec-gpv callee} \ (\text{mk-lossless-gpv} \ (\text{responses-}\mathcal{I} \ \mathcal{I}) \ x \ \text{gpv}) \ s = \text{exec-gpv callee} \ \text{gpv} \ s$   
 ⟨*proof*⟩

**lemma** *expectation-gpv-map-gpv'* [*simp*]:

$\text{expectation-gpv fail } \mathcal{I} \ f \ (\text{map-gpv}' \ g \ h \ k \ \text{gpv}) =$   
 $\text{expectation-gpv fail} \ (\text{map-}\mathcal{I} \ h \ k \ \mathcal{I}) \ (f \circ g) \ \text{gpv}$   
 ⟨*proof*⟩

**lemma** *plossless-gpv-map-gpv'* [*simp*]:

$\text{pgen-lossless-gpv } b \ \mathcal{I} \ (\text{map-gpv}' \ f \ g \ h \ \text{gpv}) \longleftrightarrow \text{pgen-lossless-gpv } b \ (\text{map-}\mathcal{I} \ g \ h \ \mathcal{I}) \ \text{gpv}$   
 ⟨*proof*⟩

**end**

**theory** *GPV-Bisim* **imports**

*GPV-Expectation*

**begin**

## 6.6 Bisimulation for oracles

Bisimulation is a consequence of parametricity

**lemma** *exec-gpv-oracle-bisim'*:

**assumes** \*:  $X\ s1\ s2$   
**and** *bisim*:  $\bigwedge s1\ s2\ x.\ X\ s1\ s2 \implies \text{rel-spmf } (\lambda(a, s1') (b, s2')).\ a = b \wedge X\ s1'\ s2'$   
*(oracle1 s1 x) (oracle2 s2 x)*  
**shows**  $\text{rel-spmf } (\lambda(a, s1') (b, s2')).\ a = b \wedge X\ s1'\ s2'$  (*exec-gpv oracle1 gpv s1*)  
(*exec-gpv oracle2 gpv s2*)  
*<proof>*

**lemma** *exec-gpv-oracle-bisim*:

**assumes** \*:  $X\ s1\ s2$   
**and** *bisim*:  $\bigwedge s1\ s2\ x.\ X\ s1\ s2 \implies \text{rel-spmf } (\lambda(a, s1') (b, s2')).\ a = b \wedge X\ s1'\ s2'$   
*(oracle1 s1 x) (oracle2 s2 x)*  
**and** *R*:  $\bigwedge x\ s1'\ s2'.\ \llbracket X\ s1'\ s2'; (x, s1') \in \text{set-spmf } (\text{exec-gpv oracle1 gpv s1}); (x, s2') \in \text{set-spmf } (\text{exec-gpv oracle2 gpv s2}) \rrbracket \implies R\ (x, s1')\ (x, s2')$   
**shows**  $\text{rel-spmf } R\ (\text{exec-gpv oracle1 gpv s1})\ (\text{exec-gpv oracle2 gpv s2})$   
*<proof>*

**lemma** *run-gpv-oracle-bisim*:

**assumes**  $X\ s1\ s2$   
**and**  $\bigwedge s1\ s2\ x.\ X\ s1\ s2 \implies \text{rel-spmf } (\lambda(a, s1') (b, s2')).\ a = b \wedge X\ s1'\ s2'$   
*(oracle1 s1 x) (oracle2 s2 x)*  
**shows**  $\text{run-gpv oracle1 gpv s1} = \text{run-gpv oracle2 gpv s2}$   
*<proof>*

**context**

**fixes** *joint-oracle* ::  $('s1 \times 's2) \Rightarrow 'a \Rightarrow (('b \times 's1) \times ('b \times 's2))\ \text{spmf}$   
**and** *oracle1* ::  $'s1 \Rightarrow 'a \Rightarrow ('b \times 's1)\ \text{spmf}$   
**and** *bad1* ::  $'s1 \Rightarrow \text{bool}$   
**and** *oracle2* ::  $'s2 \Rightarrow 'a \Rightarrow ('b \times 's2)\ \text{spmf}$   
**and** *bad2* ::  $'s2 \Rightarrow \text{bool}$

**begin**

**partial-function** (*spmf*) *exec-until-bad* ::  $('x, 'a, 'b)\ \text{gpv} \Rightarrow 's1 \Rightarrow 's2 \Rightarrow (('x \times 's1) \times ('x \times 's2))\ \text{spmf}$

**where**

*exec-until-bad gpv s1 s2* =  
*(if bad1 s1  $\vee$  bad2 s2 then pair-spmf (exec-gpv oracle1 gpv s1) (exec-gpv oracle2 gpv s2)*  
*else bind-spmf (the-gpv gpv) ( $\lambda$ generat.*  
*case generat of Pure x  $\Rightarrow$  return-spmf ((x, s1), (x, s2))*  
*| IO out f  $\Rightarrow$  bind-spmf (joint-oracle (s1, s2) out) ( $\lambda((x, s1'), (y, s2'))$ ).*  
*if bad1 s1'  $\vee$  bad2 s2' then pair-spmf (exec-gpv oracle1 (f x) s1') (exec-gpv oracle2 (f y) s2')*  
*else exec-until-bad (f x) s1' s2'))*

**lemma** *exec-until-bad-fixp-induct* [*case-names adm bottom step*]:

**assumes** *ccpo.admissible* (*fun-lub lub-spmf*) (*fun-ord* (*ord-spmf* (=))) ( $\lambda f.\ P$   
( $\lambda\ \text{gpv}\ s1\ s2.\ f\ ((\text{gpv}, s1), s2)$ ))  
**and**  $P\ (\lambda - -.\ \text{return-pmf None})$

**and**  $\bigwedge \text{exec-until-bad}'. P \text{ exec-until-bad}' \implies$   
 $P (\lambda \text{gpv } s1 \ s2. \text{ if bad1 } s1 \vee \text{ bad2 } s2 \text{ then pair-spmf } (\text{exec-gpv oracle1 gpv } s1)$   
 $(\text{exec-gpv oracle2 gpv } s2)$   
 $\text{ else bind-spmf } (\text{the-gpv gpv}) (\lambda \text{generat.}$   
 $\text{ case generat of Pure } x \Rightarrow \text{ return-spmf } ((x, s1), (x, s2))$   
 $| \text{ IO out } f \Rightarrow \text{ bind-spmf } (\text{joint-oracle } (s1, s2) \text{ out}) (\lambda((x, s1'), (y, s2')).$   
 $\text{ if bad1 } s1' \vee \text{ bad2 } s2' \text{ then pair-spmf } (\text{exec-gpv oracle1 } (f \ x) \ s1') (\text{exec-gpv}$   
 $\text{ oracle2 } (f \ y) \ s2')$   
 $\text{ else exec-until-bad}' (f \ x) \ s1' \ s2'))$   
**shows**  $P \text{ exec-until-bad}$   
 $\langle \text{proof} \rangle$

**end**

**lemma** *exec-gpv-oracle-bisim-bad-plossless*:

**fixes**  $s1 :: 's1$  **and**  $s2 :: 's2$  **and**  $X :: 's1 \Rightarrow 's2 \Rightarrow \text{bool}$   
**and**  $\text{oracle1} :: 's1 \Rightarrow 'a \Rightarrow ('b \times 's1) \text{ spmf}$   
**and**  $\text{oracle2} :: 's2 \Rightarrow 'a \Rightarrow ('b \times 's2) \text{ spmf}$   
**assumes**  $*$ : *if bad2 s2 then X-bad s1 s2 else X s1 s2*  
**and**  $\text{bad}$ :  $\text{bad1 } s1 = \text{bad2 } s2$   
**and**  $\text{bisim}$ :  $\bigwedge s1 \ s2 \ x. \llbracket X \ s1 \ s2; x \in \text{outs-}\mathcal{I} \ \mathcal{I} \rrbracket \implies \text{rel-spmf } (\lambda(a, s1') (b, s2').$   
 $\text{bad1 } s1' = \text{bad2 } s2' \wedge (\text{if bad2 } s2' \text{ then } X\text{-bad } s1' \ s2' \text{ else } a = b \wedge X \ s1' \ s2'))$   
 $(\text{oracle1 } s1 \ x) (\text{oracle2 } s2 \ x)$   
**and**  $\text{bad-sticky1}$ :  $\bigwedge s2. \text{bad2 } s2 \implies \text{callee-invariant-on oracle1 } (\lambda s1. \text{bad1 } s1 \wedge$   
 $X\text{-bad } s1 \ s2) \ \mathcal{I}$   
**and**  $\text{bad-sticky2}$ :  $\bigwedge s1. \text{bad1 } s1 \implies \text{callee-invariant-on oracle2 } (\lambda s2. \text{bad2 } s2 \wedge$   
 $X\text{-bad } s1 \ s2) \ \mathcal{I}$   
**and**  $\text{lossless1}$ :  $\bigwedge s1 \ x. \llbracket \text{bad1 } s1; x \in \text{outs-}\mathcal{I} \ \mathcal{I} \rrbracket \implies \text{lossless-spmf } (\text{oracle1 } s1 \ x)$   
**and**  $\text{lossless2}$ :  $\bigwedge s2 \ x. \llbracket \text{bad2 } s2; x \in \text{outs-}\mathcal{I} \ \mathcal{I} \rrbracket \implies \text{lossless-spmf } (\text{oracle2 } s2 \ x)$   
**and**  $\text{lossless}$ : *plossless-gpv*  $\mathcal{I} \ \text{gpv}$   
**and**  $\text{WT-oracle1}$ :  $\bigwedge s1. \mathcal{I} \vdash c \ \text{oracle1 } s1 \ \checkmark$   
**and**  $\text{WT-oracle2}$ :  $\bigwedge s2. \mathcal{I} \vdash c \ \text{oracle2 } s2 \ \checkmark$   
**and**  $\text{WT-gpv}$ :  $\mathcal{I} \vdash g \ \text{gpv} \ \checkmark$   
**shows**  $\text{rel-spmf } (\lambda(a, s1') (b, s2'). \text{bad1 } s1' = \text{bad2 } s2' \wedge (\text{if bad2 } s2' \text{ then } X\text{-bad}$   
 $s1' \ s2' \text{ else } a = b \wedge X \ s1' \ s2')) (\text{exec-gpv oracle1 gpv } s1) (\text{exec-gpv oracle2 gpv}$   
 $s2)$   
 $(\text{is rel-spmf } ?R \ ?p \ ?q)$   
 $\langle \text{proof} \rangle$

**lemma** *exec-gpv-oracle-bisim-bad'*:

**fixes**  $s1 :: 's1$  **and**  $s2 :: 's2$  **and**  $X :: 's1 \Rightarrow 's2 \Rightarrow \text{bool}$   
**and**  $\text{oracle1} :: 's1 \Rightarrow 'a \Rightarrow ('b \times 's1) \text{ spmf}$   
**and**  $\text{oracle2} :: 's2 \Rightarrow 'a \Rightarrow ('b \times 's2) \text{ spmf}$   
**assumes**  $*$ : *if bad2 s2 then X-bad s1 s2 else X s1 s2*  
**and**  $\text{bad}$ :  $\text{bad1 } s1 = \text{bad2 } s2$   
**and**  $\text{bisim}$ :  $\bigwedge s1 \ s2 \ x. \llbracket X \ s1 \ s2; x \in \text{outs-}\mathcal{I} \ \mathcal{I} \rrbracket \implies \text{rel-spmf } (\lambda(a, s1') (b, s2').$   
 $\text{bad1 } s1' = \text{bad2 } s2' \wedge (\text{if bad2 } s2' \text{ then } X\text{-bad } s1' \ s2' \text{ else } a = b \wedge X \ s1' \ s2'))$   
 $(\text{oracle1 } s1 \ x) (\text{oracle2 } s2 \ x)$   
**and**  $\text{bad-sticky1}$ :  $\bigwedge s2. \text{bad2 } s2 \implies \text{callee-invariant-on oracle1 } (\lambda s1. \text{bad1 } s1 \wedge$

$X\text{-bad } s1 \ s2) \mathcal{I}$   
**and** *bad-sticky2*:  $\bigwedge s1. \text{bad1 } s1 \implies \text{callee-invariant-on oracle2 } (\lambda s2. \text{bad2 } s2 \wedge X\text{-bad } s1 \ s2) \mathcal{I}$   
**and** *lossless1*:  $\bigwedge s1 \ x. \llbracket \text{bad1 } s1; x \in \text{outs-}\mathcal{I} \ \mathcal{I} \rrbracket \implies \text{lossless-spmf } (\text{oracle1 } s1 \ x)$   
**and** *lossless2*:  $\bigwedge s2 \ x. \llbracket \text{bad2 } s2; x \in \text{outs-}\mathcal{I} \ \mathcal{I} \rrbracket \implies \text{lossless-spmf } (\text{oracle2 } s2 \ x)$   
**and** *lossless*: *lossless-gpv*  $\mathcal{I}$  *gpv*  
**and** *WT-oracle1*:  $\bigwedge s1. \mathcal{I} \vdash c \ \text{oracle1 } s1 \ \checkmark$   
**and** *WT-oracle2*:  $\bigwedge s2. \mathcal{I} \vdash c \ \text{oracle2 } s2 \ \checkmark$   
**and** *WT-gpv*:  $\mathcal{I} \vdash g \ \text{gpv} \ \checkmark$   
**shows** *rel-spmf*  $(\lambda(a, s1') (b, s2'). \text{bad1 } s1' = \text{bad2 } s2' \wedge (\text{if } \text{bad2 } s2' \text{ then } X\text{-bad } s1' \ s2' \text{ else } a = b \wedge X \ s1' \ s2')) (\text{exec-gpv } \text{oracle1 } \ \text{gpv } \ s1) (\text{exec-gpv } \text{oracle2 } \ \text{gpv } \ s2)$   
*<proof>*

**lemma** *exec-gpv-oracle-bisim-bad-invariant*:

**fixes**  $s1 :: 's1$  **and**  $s2 :: 's2$  **and**  $X :: 's1 \Rightarrow 's2 \Rightarrow \text{bool}$  **and**  $I1 :: 's1 \Rightarrow \text{bool}$   
**and**  $I2 :: 's2 \Rightarrow \text{bool}$   
**and** *oracle1*  $:: 's1 \Rightarrow 'a \Rightarrow ('b \times 's1) \ \text{spmf}$   
**and** *oracle2*  $:: 's2 \Rightarrow 'a \Rightarrow ('b \times 's2) \ \text{spmf}$   
**assumes** \*: *if bad2 s2 then X-bad s1 s2 else X s1 s2*  
**and** *bad*:  $\text{bad1 } s1 = \text{bad2 } s2$   
**and** *bisim*:  $\bigwedge s1 \ s2 \ x. \llbracket X \ s1 \ s2; x \in \text{outs-}\mathcal{I} \ \mathcal{I}; I1 \ s1; I2 \ s2 \rrbracket \implies \text{rel-spmf } (\lambda(a, s1') (b, s2'). \text{bad1 } s1' = \text{bad2 } s2' \wedge (\text{if } \text{bad2 } s2' \text{ then } X\text{-bad } s1' \ s2' \text{ else } a = b \wedge X \ s1' \ s2')) (\text{oracle1 } s1 \ x) (\text{oracle2 } s2 \ x)$   
**and** *bad-sticky1*:  $\bigwedge s2. \llbracket \text{bad2 } s2; I2 \ s2 \rrbracket \implies \text{callee-invariant-on oracle1 } (\lambda s1. \text{bad1 } s1 \wedge X\text{-bad } s1 \ s2) \ \mathcal{I}$   
**and** *bad-sticky2*:  $\bigwedge s1. \llbracket \text{bad1 } s1; I1 \ s1 \rrbracket \implies \text{callee-invariant-on oracle2 } (\lambda s2. \text{bad2 } s2 \wedge X\text{-bad } s1 \ s2) \ \mathcal{I}$   
**and** *lossless1*:  $\bigwedge s1 \ x. \llbracket \text{bad1 } s1; I1 \ s1; x \in \text{outs-}\mathcal{I} \ \mathcal{I} \rrbracket \implies \text{lossless-spmf } (\text{oracle1 } s1 \ x)$   
**and** *lossless2*:  $\bigwedge s2 \ x. \llbracket \text{bad2 } s2; I2 \ s2; x \in \text{outs-}\mathcal{I} \ \mathcal{I} \rrbracket \implies \text{lossless-spmf } (\text{oracle2 } s2 \ x)$   
**and** *lossless*: *lossless-gpv*  $\mathcal{I}$  *gpv*  
**and** *WT-gpv*:  $\mathcal{I} \vdash g \ \text{gpv} \ \checkmark$   
**and** *I1*: *callee-invariant-on oracle1*  $I1 \ \mathcal{I}$   
**and** *I2*: *callee-invariant-on oracle2*  $I2 \ \mathcal{I}$   
**and**  $s1: I1 \ s1$   
**and**  $s2: I2 \ s2$   
**shows** *rel-spmf*  $(\lambda(a, s1') (b, s2'). \text{bad1 } s1' = \text{bad2 } s2' \wedge (\text{if } \text{bad2 } s2' \text{ then } X\text{-bad } s1' \ s2' \text{ else } a = b \wedge X \ s1' \ s2')) (\text{exec-gpv } \text{oracle1 } \ \text{gpv } \ s1) (\text{exec-gpv } \text{oracle2 } \ \text{gpv } \ s2)$   
**including** *lifting-syntax*  
*<proof>*

**lemma** *exec-gpv-oracle-bisim-bad*:

**assumes** \*: *if bad2 s2 then X-bad s1 s2 else X s1 s2*  
**and** *bad*:  $\text{bad1 } s1 = \text{bad2 } s2$   
**and** *bisim*:  $\bigwedge s1 \ s2 \ x. X \ s1 \ s2 \implies \text{rel-spmf } (\lambda(a, s1') (b, s2'). \text{bad1 } s1' = \text{bad2 } s2' \wedge (\text{if } \text{bad2 } s2' \text{ then } X\text{-bad } s1' \ s2' \text{ else } a = b \wedge X \ s1' \ s2')) (\text{oracle1 } s1 \ x) (\text{oracle2 } s2 \ x)$

$s2\ x)$   
**and** *bad-sticky1*:  $\bigwedge s2. \text{bad2 } s2 \implies \text{callee-invariant-on oracle1 } (\lambda s1. \text{bad1 } s1 \wedge X\text{-bad } s1\ s2) \mathcal{I}$   
**and** *bad-sticky2*:  $\bigwedge s1. \text{bad1 } s1 \implies \text{callee-invariant-on oracle2 } (\lambda s2. \text{bad2 } s2 \wedge X\text{-bad } s1\ s2) \mathcal{I}$   
**and** *lossless1*:  $\bigwedge s1\ x. \text{bad1 } s1 \implies \text{lossless-spmf } (\text{oracle1 } s1\ x)$   
**and** *lossless2*:  $\bigwedge s2\ x. \text{bad2 } s2 \implies \text{lossless-spmf } (\text{oracle2 } s2\ x)$   
**and** *lossless*:  $\text{lossless-gpv } \mathcal{I}\ \text{gpv}$   
**and** *WT-oracle1*:  $\bigwedge s1. \mathcal{I} \vdash c\ \text{oracle1 } s1\ \checkmark$   
**and** *WT-oracle2*:  $\bigwedge s2. \mathcal{I} \vdash c\ \text{oracle2 } s2\ \checkmark$   
**and** *WT-gpv*:  $\mathcal{I} \vdash g\ \text{gpv}\ \checkmark$   
**and** *R*:  $\bigwedge a\ s1\ b\ s2. \llbracket \text{bad1 } s1 = \text{bad2 } s2; \neg \text{bad2 } s2 \implies a = b \wedge X\ s1\ s2; \text{bad2 } s2 \implies X\text{-bad } s1\ s2 \rrbracket \implies R\ (a, s1)\ (b, s2)$   
**shows** *rel-spmf*  $R\ (\text{exec-gpv } \text{oracle1}\ \text{gpv } s1)\ (\text{exec-gpv } \text{oracle2}\ \text{gpv } s2)$   
*<proof>*

**lemma** *exec-gpv-oracle-bisim-bad-full*:

**assumes**  $X\ s1\ s2$   
**and**  $\text{bad1 } s1 = \text{bad2 } s2$   
**and**  $\bigwedge s1\ s2\ x. X\ s1\ s2 \implies \text{rel-spmf } (\lambda(a, s1'). (b, s2'). \text{bad1 } s1' = \text{bad2 } s2' \wedge (\neg \text{bad2 } s2' \longrightarrow a = b \wedge X\ s1'\ s2'))\ (\text{oracle1 } s1\ x)\ (\text{oracle2 } s2\ x)$   
**and** *callee-invariant*  $\text{oracle1 } \text{bad1}$   
**and** *callee-invariant*  $\text{oracle2 } \text{bad2}$   
**and**  $\bigwedge s1\ x. \text{bad1 } s1 \implies \text{lossless-spmf } (\text{oracle1 } s1\ x)$   
**and**  $\bigwedge s2\ x. \text{bad2 } s2 \implies \text{lossless-spmf } (\text{oracle2 } s2\ x)$   
**and** *lossless-gpv*  $\mathcal{I}\text{-full}\ \text{gpv}$   
**and** *R*:  $\bigwedge a\ s1\ b\ s2. \llbracket \text{bad1 } s1 = \text{bad2 } s2; \neg \text{bad2 } s2 \implies a = b \wedge X\ s1\ s2 \rrbracket \implies R\ (a, s1)\ (b, s2)$   
**shows** *rel-spmf*  $R\ (\text{exec-gpv } \text{oracle1}\ \text{gpv } s1)\ (\text{exec-gpv } \text{oracle2}\ \text{gpv } s2)$   
*<proof>*

**lemma** *max-enn2ereal*:  $\text{max } (\text{enn2ereal } x)\ (\text{enn2ereal } y) = \text{enn2ereal } (\text{max } x\ y)$   
**including** *ennreal.lifting* *<proof>*

**lemma** *identical-until-bad*:

**assumes** *bad-eq*:  $\text{map-spmf } \text{bad } p = \text{map-spmf } \text{bad } q$   
**and** *not-bad*:  $\text{measure } (\text{measure-spmf } (\text{map-spmf } (\lambda x. (f\ x, \text{bad } x))\ p))\ (A \times \{\text{False}\}) = \text{measure } (\text{measure-spmf } (\text{map-spmf } (\lambda x. (f\ x, \text{bad } x))\ q))\ (A \times \{\text{False}\})$   
**shows**  $|\text{measure } (\text{measure-spmf } (\text{map-spmf } f\ p))\ A - \text{measure } (\text{measure-spmf } (\text{map-spmf } f\ q))\ A| \leq \text{spmfs } (\text{map-spmf } \text{bad } p)\ \text{True}$   
*<proof>*

**lemma** (**in** *callee-invariant-on*) *exec-gpv-bind-materialize*:

**fixes**  $f :: 's \Rightarrow 'r\ \text{spmfs}$   
**and**  $g :: 'x \times 's \Rightarrow 'r \Rightarrow 'y\ \text{spmfs}$   
**and**  $s :: 's$   
**defines**  $\text{exec-gpv2} \equiv \text{exec-gpv}$   
**assumes** *cond*:  $\bigwedge s\ x\ y\ s'. \llbracket (y, s') \in \text{set-spmfs } (\text{callee } s\ x); I\ s \rrbracket \implies f\ s = f\ s'$   
**and**  $\mathcal{I} = \mathcal{I}\text{-full}$



**shows**  $\text{bind-spmf} (\text{exec-gpv} \text{ callee } \text{gpv } s) (\lambda \text{as}. \text{bind-spmf} (f (\text{snd } \text{as})) (g \text{ as})) =$   
 $\text{exec-gpv2} (\lambda(r, s) x. \text{bind-spmf} (\text{callee } s x) (\lambda(y, s'). \text{if } I s' \wedge r = \text{None} \text{ then}$   
 $\text{map-spmf} (\lambda r. (y, (\text{Some } r, s'))) (f s') \text{ else return-spmf } (y, (r, s')))) \text{gpv} (\text{None},$   
 $s)$   
 $\gg= (\lambda(a, r, s). \text{case } r \text{ of } \text{None} \Rightarrow \text{bind-spmf} (f s) (g (a, s)) \mid \text{Some } r' \Rightarrow g (a,$   
 $s) r')$   
**(is ?lhs = ?rhs is - = bind-spmf (exec-gpv2 ?callee2 - -) -)**  
 $\langle \text{proof} \rangle$

**primcorec**  $\text{gpv-stop} :: ('a, 'c, 'r) \text{gpv} \Rightarrow ('a \text{ option}, 'c, 'r \text{ option}) \text{gpv}$   
**where**  
 $\text{the-gpv} (\text{gpv-stop } \text{gpv}) =$   
 $\text{map-spmf} (\text{map-generat } \text{Some } \text{id} (\lambda \text{rpv } \text{input}. \text{case } \text{input} \text{ of } \text{None} \Rightarrow \text{Done } \text{None}$   
 $\mid \text{Some } \text{input}' \Rightarrow \text{gpv-stop } (\text{rpv } \text{input}'))$   
 $(\text{the-gpv } \text{gpv})$

**lemma**  $\text{gpv-stop-Done} [\text{simp}]: \text{gpv-stop} (\text{Done } x) = \text{Done} (\text{Some } x)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{gpv-stop-Fail} [\text{simp}]: \text{gpv-stop } \text{Fail} = \text{Fail}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{gpv-stop-Pause} [\text{simp}]: \text{gpv-stop} (\text{Pause } \text{out } \text{rpv}) = \text{Pause } \text{out} (\lambda \text{input}. \text{case}$   
 $\text{input} \text{ of } \text{None} \Rightarrow \text{Done } \text{None} \mid \text{Some } \text{input}' \Rightarrow \text{gpv-stop } (\text{rpv } \text{input}'))$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{gpv-stop-lift-spmf} [\text{simp}]: \text{gpv-stop} (\text{lift-spmf } p) = \text{lift-spmf} (\text{map-spmf}$   
 $\text{Some } p)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{gpv-stop-bind} [\text{simp}]:$   
 $\text{gpv-stop} (\text{bind-gpv } \text{gpv } f) = \text{bind-gpv} (\text{gpv-stop } \text{gpv}) (\lambda x. \text{case } x \text{ of } \text{None} \Rightarrow \text{Done}$   
 $\text{None} \mid \text{Some } x' \Rightarrow \text{gpv-stop} (f x'))$   
 $\langle \text{proof} \rangle$

**context includes** *lifting-syntax* **begin**

**lemma**  $\text{gpv-stop-parametric}':$   
**notes**  $[\text{transfer-rule}] = \text{the-gpv-parametric}' \text{ the-gpv-parametric}' \text{ Done-parametric}'$   
 $\text{corec-gpv-parametric}'$   
**shows**  $(\text{rel-gpv}'' A C R \text{ ==>} \text{rel-gpv}'' (\text{rel-option } A) C (\text{rel-option } R)) \text{gpv-stop}$   
 $\text{gpv-stop}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{gpv-stop-parametric} [\text{transfer-rule}]:$   
**shows**  $(\text{rel-gpv } A C \text{ ==>} \text{rel-gpv} (\text{rel-option } A) C) \text{gpv-stop } \text{gpv-stop}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{gpv-stop-transfer}:$

$(rel-gpv'' A B C ==> rel-gpv'' (pcr-Some A) B (pcr-Some C)) (\lambda x. x) gpv-stop$   
 $\langle proof \rangle$

**end**

**lemma** *gpv-stop-map'* [*simp*]:

$gpv-stop (map-gpv' f g h gpv) = map-gpv' (map-option f) g (map-option h)$   
 $(gpv-stop gpv)$   
 $\langle proof \rangle$

**lemma** *interaction-bound-gpv-stop* [*simp*]:

$interaction-bound consider (gpv-stop gpv) = interaction-bound consider gpv$   
 $\langle proof \rangle$

**abbreviation** *exec-gpv-stop* ::  $('s \Rightarrow 'c \Rightarrow ('r option \times 's) spmf) \Rightarrow ('a, 'c, 'r)$   
 $gpv \Rightarrow 's \Rightarrow ('a option \times 's) spmf$

**where** *exec-gpv-stop callee gpv*  $\equiv exec-gpv callee (gpv-stop gpv)$

**abbreviation** *inline-stop* ::  $('s \Rightarrow 'c \Rightarrow ('r option \times 's, 'c', 'r') gpv) \Rightarrow ('a, 'c, 'r)$   
 $gpv \Rightarrow 's \Rightarrow ('a option \times 's, 'c', 'r') gpv$

**where** *inline-stop callee gpv*  $\equiv inline callee (gpv-stop gpv)$

**context**

**fixes** *joint-oracle* ::  $'s1 \Rightarrow 's2 \Rightarrow 'c \Rightarrow (('r option \times 's1) option \times ('r option \times 's2) option) pmf$

**and** *callee1* ::  $'s1 \Rightarrow 'c \Rightarrow ('r option \times 's1) spmf$

**notes**  $[[function-internals]]$

**begin**

**partial-function** (*spmf*) *exec-until-stop* ::  $('a option, 'c, 'r) gpv \Rightarrow 's1 \Rightarrow 's2 \Rightarrow$   
 $bool \Rightarrow ('a option \times 's1 \times 's2) spmf$

**where**

$exec-until-stop gpv s1 s2 b =$

$(if b then$

$bind-spmf (the-gpv gpv) (\lambda generat. case generat of$

$Pure x \Rightarrow return-spmf (x, s1, s2)$

$| IO out rpv \Rightarrow bind-pmf (joint-oracle s1 s2 out) (\lambda(a, b).$

$case a of None \Rightarrow return-pmf None$

$| Some (r1, s1') \Rightarrow (case b of None \Rightarrow undefined | Some (r2, s2') \Rightarrow$

$(case (r1, r2) of (None, None) \Rightarrow exec-until-stop (Done None) s1' s2'$

$True$

$| (Some r1', Some r2') \Rightarrow exec-until-stop (rpv r1') s1' s2' True$

$| (None, Some r2') \Rightarrow exec-until-stop (Done None) s1' s2' True$

$| (Some r1', None) \Rightarrow exec-until-stop (rpv r1') s1' s2' False))))$

$else$

$bind-spmf (the-gpv gpv) (\lambda generat. case generat of$

$Pure x \Rightarrow return-spmf (None, s1, s2)$

$| IO out rpv \Rightarrow bind-spmf (callee1 s1 out) (\lambda(r1, s1').$

$case r1 of None \Rightarrow exec-until-stop (Done None) s1' s2 False$

| *Some*  $r1' \Rightarrow \text{exec-until-stop } (rpv \ r1') \ s1' \ s2 \ \text{False}))$

**end**

**lemma** *ord-spmf-exec-gpv-stop*:

**fixes** *callee1* :: ('c, 'r option, 's) callee

**and** *callee2* :: ('c, 'r option, 's) callee

**and** *S* :: 's  $\Rightarrow$  's  $\Rightarrow$  bool

**and** *gpv* :: ('a, 'c, 'r) gpv

**assumes** *bisim*:

$\bigwedge s1 \ s2 \ x. \llbracket S \ s1 \ s2; \neg \text{stop } s2 \rrbracket \Longrightarrow$

$\text{ord-spmf } (\lambda(r1, s1') (r2, s2'). \text{le-option } r2 \ r1 \wedge S \ s1' \ s2' \wedge (r2 = \text{None} \wedge r1 \neq \text{None} \longleftrightarrow \text{stop } s2'))$

$(\text{callee1 } s1 \ x) (\text{callee2 } s2 \ x)$

**and** *init*:  $S \ s1 \ s2$

**and** *go*:  $\neg \text{stop } s2$

**and** *sticking*:  $\bigwedge s1 \ s2 \ x \ y \ s1'. \llbracket (y, s1') \in \text{set-spmf } (\text{callee1 } s1 \ x); S \ s1 \ s2; \text{stop } s2 \rrbracket \Longrightarrow S \ s1' \ s2$

**shows**  $\text{ord-spmf } (\text{rel-prod } (\text{ord-option } \top)^{-1-1} \ S) (\text{exec-gpv-stop } \text{callee1 } \text{gpv } s1) (\text{exec-gpv-stop } \text{callee2 } \text{gpv } s2)$

*<proof>*

**end**

**theory** *GPV-Applicative imports*

*Generative-Probabilistic-Value*

*SPMF-Applicative*

**begin**

## 6.7 Applicative instance for $(-, 'out, 'in) \text{ gpv}$

**definition** *ap-gpv* :: ('a  $\Rightarrow$  'b, 'out, 'in) gpv  $\Rightarrow$  ('a, 'out, 'in) gpv  $\Rightarrow$  ('b, 'out, 'in) gpv

**where**  $\text{ap-gpv } f \ x = \text{bind-gpv } f \ (\lambda f'. \text{bind-gpv } x \ (\lambda x'. \text{Done } (f' \ x)))$

**adhoc-overloading** *Applicative.ap*  $\equiv$  *ap-gpv*

**abbreviation** (*input*) *pure-gpv* :: 'a  $\Rightarrow$  ('a, 'out, 'in) gpv

**where**  $\text{pure-gpv} \equiv \text{Done}$

**context includes** *applicative-syntax begin*

**lemma** *ap-gpv-id*:  $\text{pure-gpv } (\lambda x. \ x) \diamond x = x$

*<proof>*

**lemma** *ap-gpv-comp*:  $\text{pure-gpv } (\circ) \diamond u \diamond v \diamond w = u \diamond (v \diamond w)$

*<proof>*

**lemma** *ap-gpv-homo*:  $\text{pure-gpv } f \diamond \text{pure-gpv } x = \text{pure-gpv } (f \ x)$

*<proof>*

**lemma** *ap-gpv-interchange*:  $u \diamond \text{pure-gpv } x = \text{pure-gpv } (\lambda f. f x) \diamond u$   
 ⟨proof⟩

**applicative** *gpv*  
**for**

*pure*: *pure-gpv*  
*ap*: *ap-gpv*  
 ⟨proof⟩

**lemma** *map-conv-ap-gpv*:  $\text{map-gpv } f (\lambda x. x) \text{ gpv} = \text{pure-gpv } f \diamond \text{gpv}$   
 ⟨proof⟩

**lemma** *exec-gpv-ap*:

*exec-gpv callee*  $(f \diamond x) \sigma =$   
*exec-gpv callee*  $f \sigma \gg= (\lambda(f', \sigma'). \text{pure-spmf } (\lambda(x', \sigma''). (f' x', \sigma'')) \diamond \text{exec-gpv}$   
*callee*  $x \sigma')$   
 ⟨proof⟩

**lemma** *exec-gpv-ap-pure* [*simp*]:

*exec-gpv callee*  $(\text{pure-gpv } f \diamond x) \sigma = \text{pure-spmf } (\text{apfst } f) \diamond \text{exec-gpv callee } x \sigma$   
 ⟨proof⟩

**end**

**end**

## 7 Cyclic groups

**theory** *Cyclic-Group* **imports**

*HOL-Algebra.Coset*

**begin**

**record** *'a cyclic-group* = *'a monoid* +  
*generator* :: *'a* (*'g1*)

**locale** *cyclic-group = group* *G*

**for** *G* :: (*'a*, *'b*) *cyclic-group-scheme* (**structure**)

+

**assumes** *generator-closed* [*intro*, *simp*]: *generator*  $G \in \text{carrier } G$

**and** *generator*:  $\text{carrier } G \subseteq \text{range } (\lambda n :: \text{nat}. \text{generator } G [\_ ]_G n)$

**begin**

**lemma** *generatorE* [*elim?*]:

**assumes**  $x \in \text{carrier } G$

**obtains**  $n :: \text{nat}$  **where**  $x = \text{generator } G [\_ ] n$

⟨proof⟩

**lemma** *inj-on-generator*: *inj-on*  $(([\_ ]) \mathbf{g}) \{..<\text{order } G\}$

*<proof>*

**lemma** *finite-carrier*: *finite (carrier G)*

*<proof>*

**lemma** *carrier-conv-generator*: *carrier G = (λn. g [↑] n) ‘ {..*order G*}*

*<proof>*

**lemma** *bij-betw-generator-carrier*:

*bij-betw (λn :: nat. g [↑] n) {..*order G*} (carrier G)*

*<proof>*

**lemma** *order-gt-0*: *order G > 0*

*<proof>*

**end**

**lemma** (**in** *monoid*) *order-in-range-Suc*: *order G ∈ range Suc ↔ finite (carrier G)*

*<proof>*

**end**

**theory** *Cyclic-Group-SPMF* **imports**

*Cyclic-Group*

*HOL-Probability.SPMF*

**begin**

**definition** *sample-uniform* :: *nat ⇒ nat spmf*

**where** *sample-uniform n = spmf-of-set {..*n*}*

**lemma** *spmf-sample-uniform*: *spmf (sample-uniform n) x = indicator {..*n*} x / n*

*<proof>*

**lemma** *weight-sample-uniform*: *weight-spmf (sample-uniform n) = indicator (range Suc) n*

*<proof>*

**lemma** *weight-sample-uniform-0* [*simp*]: *weight-spmf (sample-uniform 0) = 0*

*<proof>*

**lemma** *weight-sample-uniform-gt-0* [*simp*]: *0 < n ⇒ weight-spmf (sample-uniform n) = 1*

*<proof>*

**lemma** *lossless-sample-uniform* [*simp*]: *lossless-spmf (sample-uniform n) ↔ 0 < n*

*<proof>*

**lemma** *set-spmf-sample-uniform* [*simp*]:  $0 < n \implies \text{set-spmf } (\text{sample-uniform } n)$   
=  $\{..<n\}$   
*<proof>*

**lemma** (in *cyclic-group*) *sample-uniform-one-time-pad*:  
**assumes** [*simp*]:  $c \in \text{carrier } G$   
**shows**  
 $\text{map-spmf } (\lambda x. \mathbf{g} [\uparrow] x \otimes c) (\text{sample-uniform } (\text{order } G)) =$   
 $\text{map-spmf } (\lambda x. \mathbf{g} [\uparrow] x) (\text{sample-uniform } (\text{order } G))$   
(**is** ?*lhs* = ?*rhs*)  
*<proof>*

**end**

**theory** *CryptHOL* **imports**

*GPV-Bisim*  
*GPV-Applicative*  
*Computational-Model*  
*Negligible*  
*Cyclic-Group-SPMF*  
*List-Bits*  
*Environment-Functor*

**begin**

**end**

## References

- [1] A. Lochbihler. Probabilistic functions and cryptographic oracles in higher order logic. In P. Thiemann, editor, *Programming Languages and Systems (ESOP 2016)*, volume 9632 of *LNCS*, pages 503–531. Springer, 2016.