

CryptHOL

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Abstract

CryptHOL provides a framework for formalising cryptographic arguments in Isabelle/HOL. It shallowly embeds a probabilistic functional programming language in higher order logic. The language features monadic sequencing, recursion, random sampling, failures and failure handling, and black-box access to oracles. Oracles are probabilistic functions which maintain hidden state between different invocations. All operators are defined in the new semantic domain of generative probabilistic values, a codatatype. We derive proof rules for the operators and establish a connection with the theory of relational parametricity. Thus, the resulting proofs are trustworthy and comprehensible, and the framework is extensible and widely applicable.

The framework is used in the accompanying AFP entry “Game-based Cryptography in HOL”. There, we show-case our framework by formalizing different game-based proofs from the literature. This formalisation continues the work described in the author’s ESOP 2016 paper [1].

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1 Miscellaneous library additions

theory *Misc-CryptHOL* **imports**

Probabilistic-While.While-SPMF
HOL-Library.Rewrite
HOL-Library.Simps-Case-Conv
HOL-Library.Type-Length
HOL-Eisbach.Eisbach
Coinductive.TLList
Monad-Normalisation.Monad-Normalisation
Monomorphic-Monad.Monomorphic-Monad

begin

hide-const (**open**) *Henstock-Kurzweil-Integration.negligible*

1.1 HOL

lemma *asm-rl-conv*: $(PROP P \implies PROP P) \equiv Trueprop True$

by (*rule equal-intr-rule*) *iprover*+

named-theorems *if-distrib* *Distributivity theorems for If*

lemma *if-mono-cong*: $\llbracket b \implies x \leq x'; \neg b \implies y \leq y' \rrbracket \implies If\ b\ x\ y \leq If\ b\ x'\ y'$

by *simp*

lemma *if-cong-then*: $\llbracket b = b'; b' \implies t = t'; e = e' \rrbracket \implies If\ b\ t\ e = If\ b'\ t'\ e'$

by *simp*

lemma *if-False-eq*: $\llbracket b \implies False; e = e' \rrbracket \implies If\ b\ t\ e = e'$

by *auto*

lemma *imp-OO-imp* [*simp*]: $(\longrightarrow) OO (\longrightarrow) = (\longrightarrow)$

by *auto*

lemma *inj-on-fun-updD*: $\llbracket inj\ on\ (f(x := y))\ A; x \notin A \rrbracket \implies inj\ on\ f\ A$

by (*auto simp add: inj-on-def split: if-split-asm*)

lemma *disjoint-notin1*: $\llbracket A \cap B = \{\}; x \in B \rrbracket \implies x \notin A$ **by** *auto*

lemma *Least-le-Least*:

fixes $x :: 'a :: wellorder$

assumes $Q\ x$

and $Q: \bigwedge x. Q\ x \implies \exists y \leq x. P\ y$

shows $Least\ P \leq Least\ Q$

proof –

obtain $f :: 'a \Rightarrow 'a$ **where** $\forall a. \neg Q\ a \vee f\ a \leq a \wedge P\ (f\ a)$ **using** Q **by** *moura*

moreover **have** $Q\ (Least\ Q)$ **using** $\langle Q\ x \rangle$ **by** (*rule LeastI*)

ultimately **show** *?thesis* **by** (*metis (full-types) le-cases le-less less-le-trans not-less-Least*)

qed

1.2 Relations

inductive *Imagep* :: ('a ⇒ 'b ⇒ bool) ⇒ ('a ⇒ bool) ⇒ 'b ⇒ bool
for *R P*
where *ImageI*: [*P x*; *R x y*] ⇒ *Imagep R P y*

lemma *r-r-into-tranclp*: [*r x y*; *r y z*] ⇒ $r^{++} x z$
by(*rule tranclp.trancl-into-trancl*)(*rule tranclp.r-into-trancl*)

lemma *transp-tranclp-id*:
assumes *transp R*
shows *tranclp R = R*
proof(*intro ext iffI*)
fix *x y*
assume $R^{++} x y$
thus *R x y* **by** *induction*(*blast dest: transpD[OF assms]*)
qed *simp*

lemma *transp-inv-image*: *transp r* ⇒ *transp* ($\lambda x y. r (f x) (f y)$)
using *trans-inv-image*[**where** $r = \{(x, y). r x y\}$ **and** $f = f$]
by(*simp add: transp-trans inv-image-def*)

lemma *Domainp-conversep*: *Domainp* $R^{-1-1} = \text{Rangep } R$
by(*auto*)

lemma *bi-unique-rel-set-bij-betw*:
assumes *unique: bi-unique R*
and *rel: rel-set R A B*
shows $\exists f. \text{bij-betw } f A B \wedge (\forall x \in A. R x (f x))$
proof –
from *assms* **obtain** *f* **where** $f: \bigwedge x. x \in A \implies R x (f x)$ **and** $B: \bigwedge x. x \in A \implies f x \in B$
apply(*atomize-elim*)
apply(*fold all-conj-distrib*)
apply(*subst choice-iff[symmetric]*)
apply(*auto dest: rel-setD1*)
done
have *inj-on f A* **by**(*rule inj-onI*)(*auto dest!: f dest: bi-uniqueDl[OF unique]*)
moreover **have** $f ' A = B$ **using** *rel*
by(*auto 4 3 intro: B dest: rel-setD2 f bi-uniqueDr[OF unique]*)
ultimately **have** *bij-betw f A B* **unfolding** *bij-betw-def* ..
thus *?thesis* **using** *f* **by** *blast*
qed

definition *restrict-relp* :: ('a ⇒ 'b ⇒ bool) ⇒ ('a ⇒ bool) ⇒ ('b ⇒ bool) ⇒ 'a ⇒ 'b ⇒ bool
 $(- \upharpoonright (- \otimes -) [53, 54, 54] 53)$
where *restrict-relp R P Q* = ($\lambda x y. R x y \wedge P x \wedge Q y$)

lemma *restrict-relp-apply* [*simp*]: $(R \upharpoonright P \otimes Q) x y \longleftrightarrow R x y \wedge P x \wedge Q y$

by(*simp add: restrict-relp-def*)

lemma *restrict-relpI* [*intro?*]: $\llbracket R \ x \ y; P \ x; Q \ y \rrbracket \Longrightarrow (R \upharpoonright P \otimes Q) \ x \ y$
by(*simp add: restrict-relp-def*)

lemma *restrict-relpE* [*elim?*, *cases pred*]:
 assumes $(R \upharpoonright P \otimes Q) \ x \ y$
 obtains (*restrict-relp*) $R \ x \ y \ P \ x \ Q \ y$
using *assms* **by**(*simp add: restrict-relp-def*)

lemma *conversep-restrict-relp* [*simp*]: $(R \upharpoonright P \otimes Q)^{-1-1} = R^{-1-1} \upharpoonright Q \otimes P$
by(*auto simp add: fun-eq-iff*)

lemma *restrict-relp-restrict-relp* [*simp*]: $R \upharpoonright P \otimes Q \upharpoonright P' \otimes Q' = R \upharpoonright \text{inf } P \ P' \otimes \text{inf } Q \ Q'$
by(*auto simp add: fun-eq-iff*)

lemma *restrict-relp-cong*:
 $\llbracket P = P'; Q = Q'; \bigwedge x \ y. \llbracket P \ x; Q \ y \rrbracket \Longrightarrow R \ x \ y = R' \ x \ y \rrbracket \Longrightarrow R \upharpoonright P \otimes Q = R' \upharpoonright P' \otimes Q'$
by(*auto simp add: fun-eq-iff*)

lemma *restrict-relp-cong-simp*:
 $\llbracket P = P'; Q = Q'; \bigwedge x \ y. P \ x = \text{simp} \Rightarrow Q \ y = \text{simp} \Rightarrow R \ x \ y = R' \ x \ y \rrbracket \Longrightarrow R \upharpoonright P \otimes Q = R' \upharpoonright P' \otimes Q'$
by(*rule restrict-relp-cong; simp add: simp-implies-def*)

lemma *restrict-relp-parametric* [*transfer-rule*]:
 includes *lifting-syntax* **shows**
 $((A \text{ ===== } B \text{ ===== } (=)) \text{ ===== } (A \text{ ===== } (=)) \text{ ===== } (B \text{ ===== } (=)) \text{ ===== } A \text{ ===== } B \text{ ===== } (=))$ *restrict-relp restrict-relp*
unfolding *restrict-relp-def[abs-def]* **by** *transfer-prover*

lemma *restrict-relp-mono*: $\llbracket R \leq R'; P \leq P'; Q \leq Q' \rrbracket \Longrightarrow R \upharpoonright P \otimes Q \leq R' \upharpoonright P' \otimes Q'$
by(*simp add: le-fun-def*)

lemma *restrict-relp-mono'*:
 $\llbracket (R \upharpoonright P \otimes Q) \ x \ y; \llbracket R \ x \ y; P \ x; Q \ y \rrbracket \Longrightarrow R' \ x \ y \ \&\&\& \ P' \ x \ \&\&\& \ Q' \ y \rrbracket \Longrightarrow (R' \upharpoonright P' \otimes Q') \ x \ y$
by(*auto dest: conjunctionD1 conjunctionD2*)

lemma *restrict-relp-DomainpD*: $\text{Domainp } (R \upharpoonright P \otimes Q) \ x \Longrightarrow \text{Domainp } R \ x \wedge P \ x$
by(*auto simp add: Domainp.simps*)

lemma *restrict-relp-True*: $R \upharpoonright (\lambda-. \text{True}) \otimes (\lambda-. \text{True}) = R$
by(*simp add: fun-eq-iff*)

lemma *restrict-relp-False1*: $R \upharpoonright (\lambda-. \text{False}) \otimes Q = \text{bot}$
by(*simp add: fun-eq-iff*)

lemma *restrict-relp-False2*: $R \upharpoonright P \otimes (\lambda-. \text{False}) = \text{bot}$
by(*simp add: fun-eq-iff*)

definition *rel-prod2* :: $('a \Rightarrow 'b \Rightarrow \text{bool}) \Rightarrow 'a \Rightarrow ('c \times 'b) \Rightarrow \text{bool}$
where *rel-prod2* $R a = (\lambda(c, b). R a b)$

lemma *rel-prod2-simps* [*simp*]: $\text{rel-prod2 } R a (c, b) \longleftrightarrow R a b$
by(*simp add: rel-prod2-def*)

lemma *restrict-rel-prod*:
 $\text{rel-prod } (R \upharpoonright I1 \otimes I2) (S \upharpoonright I1' \otimes I2') = \text{rel-prod } R S \upharpoonright \text{pred-prod } I1 I1' \otimes \text{pred-prod } I2 I2'$
by(*auto simp add: fun-eq-iff*)

lemma *restrict-rel-prod1*:
 $\text{rel-prod } (R \upharpoonright I1 \otimes I2) S = \text{rel-prod } R S \upharpoonright \text{pred-prod } I1 (\lambda-. \text{True}) \otimes \text{pred-prod } I2 (\lambda-. \text{True})$
by(*simp add: restrict-rel-prod[symmetric] restrict-relp-True*)

lemma *restrict-rel-prod2*:
 $\text{rel-prod } R (S \upharpoonright I1 \otimes I2) = \text{rel-prod } R S \upharpoonright \text{pred-prod } (\lambda-. \text{True}) I1 \otimes \text{pred-prod } (\lambda-. \text{True}) I2$
by(*simp add: restrict-rel-prod[symmetric] restrict-relp-True*)

1.3 Pairs

lemma *split-apfst* [*simp*]: $\text{case-prod } h (\text{apfst } f xy) = \text{case-prod } (h \circ f) xy$
by(*cases xy simp*)

definition *corec-prod* :: $('s \Rightarrow 'a) \Rightarrow ('s \Rightarrow 'b) \Rightarrow 's \Rightarrow 'a \times 'b$
where *corec-prod* $f g = (\lambda s. (f s, g s))$

lemma *corec-prod-apply*: $\text{corec-prod } f g s = (f s, g s)$
by(*simp add: corec-prod-def*)

lemma *corec-prod-sel* [*simp*]:
shows *fst-corec-prod*: $\text{fst } (\text{corec-prod } f g s) = f s$
and *snd-corec-prod*: $\text{snd } (\text{corec-prod } f g s) = g s$
by(*simp-all add: corec-prod-apply*)

lemma *apfst-corec-prod* [*simp*]: $\text{apfst } h (\text{corec-prod } f g s) = \text{corec-prod } (h \circ f) g s$
by(*simp add: corec-prod-apply*)

lemma *apsnd-corec-prod* [*simp*]: $\text{apsnd } h (\text{corec-prod } f g s) = \text{corec-prod } f (h \circ g) s$
by(*simp add: corec-prod-apply*)

lemma *map-corec-prod* [simp]: $\text{map-prod } f \ g \ (\text{corec-prod } h \ k \ s) = \text{corec-prod } (f \circ h) \ (g \circ k) \ s$
by(simp add: corec-prod-apply)

lemma *split-corec-prod* [simp]: $\text{case-prod } h \ (\text{corec-prod } f \ g \ s) = h \ (f \ s) \ (g \ s)$
by(simp add: corec-prod-apply)

1.4 Sums

lemma *islE*:
assumes *isl* *x*
obtains *l* **where** $x = \text{Inl } l$
using *assms* **by**(cases *x*) *auto*

lemma *Inl-in-Plus* [simp]: $\text{Inl } x \in A \lt+\gt B \longleftrightarrow x \in A$
by *auto*

lemma *Inr-in-Plus* [simp]: $\text{Inr } x \in A \lt+\gt B \longleftrightarrow x \in B$
by *auto*

lemma *Inl-eq-map-sum-iff*: $\text{Inl } x = \text{map-sum } f \ g \ y \longleftrightarrow (\exists z. y = \text{Inl } z \wedge x = f \ z)$
by(cases *y*) *auto*

lemma *Inr-eq-map-sum-iff*: $\text{Inr } x = \text{map-sum } f \ g \ y \longleftrightarrow (\exists z. y = \text{Inr } z \wedge x = g \ z)$
by(cases *y*) *auto*

1.5 Option

declare *is-none-bind* [simp]

lemma *case-option-collapse*: $\text{case-option } x \ (\lambda-. x) \ y = x$
by(simp split: option.split)

lemma *indicator-single-Some*: $\text{indicator } \{\text{Some } x\} \ (\text{Some } y) = \text{indicator } \{x\} \ y$
by(simp split: split-indicator)

1.5.1 Predicate and relator

lemma *option-pred-mono-strong*:
 $\llbracket \text{pred-option } P \ x; \bigwedge a. \llbracket a \in \text{set-option } x; P \ a \rrbracket \implies P' \ a \rrbracket \implies \text{pred-option } P' \ x$
by(fact option.pred-mono-strong)

lemma *option-pred-map* [simp]: $\text{pred-option } P \ (\text{map-option } f \ x) = \text{pred-option } (P \circ f) \ x$
by(fact option.pred-map)

lemma *option-pred-o-map* [simp]: $\text{pred-option } P \circ \text{map-option } f = \text{pred-option } (P \circ f)$

by(*simp add: fun-eq-iff*)

lemma *option-pred-bind* [*simp*]: $\text{pred-option } P \text{ (Option.bind } x \text{ } f) = \text{pred-option } (\text{pred-option } P \circ f) \ x$
by(*simp add: pred-option-def*)

lemma *pred-option-conj* [*simp*]:
 $\text{pred-option } (\lambda x. P \ x \wedge Q \ x) = (\lambda x. \text{pred-option } P \ x \wedge \text{pred-option } Q \ x)$
by(*auto simp add: pred-option-def*)

lemma *pred-option-top* [*simp*]:
 $\text{pred-option } (\lambda \cdot. \text{True}) = (\lambda \cdot. \text{True})$
by(*fact option.pred-True*)

lemma *rel-option-restrict-relpI* [*intro?*]:
 $\llbracket \text{rel-option } R \ x \ y; \text{pred-option } P \ x; \text{pred-option } Q \ y \rrbracket \implies \text{rel-option } (R \upharpoonright P \otimes Q) \ x \ y$
by(*erule option.rel-mono-strong*) *simp*

lemma *rel-option-restrict-relpE* [*elim?*]:
assumes $\text{rel-option } (R \upharpoonright P \otimes Q) \ x \ y$
obtains $\text{rel-option } R \ x \ y \ \text{pred-option } P \ x \ \text{pred-option } Q \ y$
proof
show $\text{rel-option } R \ x \ y$ **using** *assms* **by**(*auto elim!: option.rel-mono-strong*)
have $\text{pred-option } (\text{Domainp } (R \upharpoonright P \otimes Q)) \ x$ **using** *assms* **by**(*fold option.Domainp-rel*)
blast
then show $\text{pred-option } P \ x$ **by**(*rule option-pred-mono-strong*)(*blast dest!: restrict-relp-DomainpD*)
have $\text{pred-option } (\text{Domainp } (R \upharpoonright P \otimes Q)^{-1-1}) \ y$ **using** *assms*
by(*fold option.Domainp-rel*)(*auto simp only: option.rel-conversep Domainp-conversep*)
then show $\text{pred-option } Q \ y$ **by**(*rule option-pred-mono-strong*)(*auto dest!: restrict-relp-DomainpD*)
qed

lemma *rel-option-restrict-relp-iff*:
 $\text{rel-option } (R \upharpoonright P \otimes Q) \ x \ y \iff \text{rel-option } R \ x \ y \wedge \text{pred-option } P \ x \wedge \text{pred-option } Q \ y$
by(*blast intro: rel-option-restrict-relpI elim: rel-option-restrict-relpE*)

lemma *option-rel-map-restrict-relp*:
shows *option-rel-map-restrict-relp1*:
 $\text{rel-option } (R \upharpoonright P \otimes Q) \ (\text{map-option } f \ x) = \text{rel-option } (R \circ f \upharpoonright P \circ f \otimes Q) \ x$
and *option-rel-map-restrict-relp2*:
 $\text{rel-option } (R \upharpoonright P \otimes Q) \ x \ (\text{map-option } g \ y) = \text{rel-option } ((\lambda x. R \ x \circ g) \upharpoonright P \otimes Q \circ g) \ x \ y$
by(*simp-all add: option.rel-map restrict-relp-def fun-eq-iff*)

1.5.2 Orders on option

abbreviation *le-option* :: 'a option \Rightarrow 'a option \Rightarrow bool
where *le-option* \equiv *ord-option* (=)

lemma *le-option-bind-mono*:
 $\llbracket \text{le-option } x \ y; \bigwedge a. a \in \text{set-option } x \implies \text{le-option } (f \ a) \ (g \ a) \rrbracket$
 $\implies \text{le-option } (\text{Option.bind } x \ f) \ (\text{Option.bind } y \ g)$
by(cases *x*) *simp-all*

lemma *le-option-refl* [*simp*]: *le-option x x*
by(cases *x*) *simp-all*

lemma *le-option-conv-option-ord*: *le-option = option-ord*
by(auto *simp add: fun-eq-iff flat-ord-def elim: ord-option.cases*)

definition *pcr-Some* :: ('a \Rightarrow 'b \Rightarrow bool) \Rightarrow 'a \Rightarrow 'b option \Rightarrow bool
where *pcr-Some* *R x y* $\longleftrightarrow (\exists z. y = \text{Some } z \wedge R \ x \ z)$

lemma *pcr-Some-simps* [*simp*]: *pcr-Some R x (Some y) \longleftrightarrow R x y*
by(*simp add: pcr-Some-def*)

lemma *pcr-SomeE* [*cases pred*]:
assumes *pcr-Some R x y*
obtains (*pcr-Some*) *z* **where** *y = Some z R x z*
using *assms* **by**(auto *simp add: pcr-Some-def*)

1.5.3 Filter for option

fun *filter-option* :: ('a \Rightarrow bool) \Rightarrow 'a option \Rightarrow 'a option
where
filter-option P None = None
| *filter-option P (Some x) = (if P x then Some x else None)*

lemma *set-filter-option* [*simp*]: *set-option (filter-option P x) = {y \in set-option x. P y}*
by(cases *x*) *auto*

lemma *filter-map-option*: *filter-option P (map-option f x) = map-option f (filter-option (P \circ f) x)*
by(cases *x*) *simp-all*

lemma *is-none-filter-option* [*simp*]: *Option.is-none (filter-option P x) \longleftrightarrow Option.is-none x \vee \neg P (the x)*
by(cases *x*) *simp-all*

lemma *filter-option-eq-Some-iff* [*simp*]: *filter-option P x = Some y \longleftrightarrow x = Some y \wedge P y*
by(cases *x*) *auto*

lemma *Some-eq-filter-option-iff* [*simp*]: *Some y = filter-option P x \longleftrightarrow x = Some y \wedge P y*

by(cases x) auto

lemma filter-conv-bind-option: filter-option P $x = \text{Option.bind } x (\lambda y. \text{if } P \text{ } y \text{ then } \text{Some } y \text{ else } \text{None})$
by(cases x) simp-all

1.5.4 Assert for option

primrec assert-option :: bool \Rightarrow unit option **where**
 assert-option True = Some ()
| assert-option False = None

lemma set-assert-option-conv: set-option (assert-option b) = (if b then {} else {})
by(simp)

lemma in-set-assert-option [simp]: $x \in \text{set-option } (\text{assert-option } b) \iff b$
by(cases b) simp-all

1.5.5 Join on options

definition join-option :: 'a option option \Rightarrow 'a option
where join-option $x = (\text{case } x \text{ of } \text{Some } y \Rightarrow y \mid \text{None} \Rightarrow \text{None})$

simps-of-case join-simps [simp, code]: join-option-def

lemma set-join-option [simp]: set-option (join-option x) = \bigcup (set-option ' set-option x)
by(cases x)(simp-all)

lemma in-set-join-option: $x \in \text{set-option } (\text{join-option } (\text{Some } (\text{Some } x)))$
by simp

lemma map-join-option: map-option f (join-option x) = join-option (map-option (map-option f) x)
by(cases x) simp-all

lemma bind-conv-join-option: Option.bind x f = join-option (map-option f x)
by(cases x) simp-all

lemma join-conv-bind-option: join-option x = Option.bind x id
by(cases x) simp-all

lemma join-option-parametric [transfer-rule]:
 includes lifting-syntax **shows**
 ($\text{rel-option } (\text{rel-option } R) \implies \text{rel-option } R$) join-option join-option
unfolding join-conv-bind-option[abs-def] **by** transfer-prover

lemma join-option-eq-Some [simp]: join-option x = Some y $\iff x = \text{Some } (\text{Some } y)$

by(cases x) simp-all

lemma *Some-eq-join-option* [simp]: $\text{Some } y = \text{join-option } x \longleftrightarrow x = \text{Some } (\text{Some } y)$
by(cases x) auto

lemma *join-option-eq-None*: $\text{join-option } x = \text{None} \longleftrightarrow x = \text{None} \vee x = \text{Some } \text{None}$
by(cases x) simp-all

lemma *None-eq-join-option*: $\text{None} = \text{join-option } x \longleftrightarrow x = \text{None} \vee x = \text{Some } \text{None}$
by(cases x) auto

1.5.6 Zip on options

function *zip-option* :: 'a option \Rightarrow 'b option \Rightarrow ('a \times 'b) option
where
 zip-option (Some x) (Some y) = Some (x, y)
 | *zip-option* - None = None
 | *zip-option* None - = None
by pat-completeness auto
termination by lexicographic-order

lemma *zip-option-eq-Some-iff* [iff]:
 $\text{zip-option } x y = \text{Some } (a, b) \longleftrightarrow x = \text{Some } a \wedge y = \text{Some } b$
by(cases (x, y) rule: zip-option.cases) simp-all

lemma *set-zip-option* [simp]:
 $\text{set-option } (\text{zip-option } x y) = \text{set-option } x \times \text{set-option } y$
by auto

lemma *zip-map-option1*: $\text{zip-option } (\text{map-option } f x) y = \text{map-option } (\text{apfst } f) (\text{zip-option } x y)$
by(cases (x, y) rule: zip-option.cases) simp-all

lemma *zip-map-option2*: $\text{zip-option } x (\text{map-option } g y) = \text{map-option } (\text{apsnd } g) (\text{zip-option } x y)$
by(cases (x, y) rule: zip-option.cases) simp-all

lemma *map-zip-option*:
 $\text{map-option } (\text{map-prod } f g) (\text{zip-option } x y) = \text{zip-option } (\text{map-option } f x) (\text{map-option } g y)$
by(simp add: zip-map-option1 zip-map-option2 option.map-comp apfst-def apsnd-def o-def prod.map-comp)

lemma *zip-conv-bind-option*:
 $\text{zip-option } x y = \text{Option.bind } x (\lambda x. \text{Option.bind } y (\lambda y. \text{Some } (x, y)))$
by(cases (x, y) rule: zip-option.cases) simp-all

lemma *zip-option-parametric* [*transfer-rule*]:
includes *lifting-syntax* **shows**
 $(rel-option\ R\ ==>\ rel-option\ Q\ ==>\ rel-option\ (rel-prod\ R\ Q))\ zip-option$
zip-option
unfolding *zip-conv-bind-option*[*abs-def*] **by** *transfer-prover*

lemma *rel-option-eqI* [*simp*]: $rel-option\ (=)\ x\ x$
by(*simp add: option.rel-eq*)

1.5.7 Binary supremum on 'a option

primrec *sup-option* :: 'a option \Rightarrow 'a option \Rightarrow 'a option
where

$sup-option\ x\ None = x$
 $| sup-option\ x\ (Some\ y) = (Some\ y)$

lemma *sup-option-idem* [*simp*]: $sup-option\ x\ x = x$
by(*cases x*) *simp-all*

lemma *sup-option-assoc*: $sup-option\ (sup-option\ x\ y)\ z = sup-option\ x\ (sup-option\ y\ z)$
by(*cases z*) *simp-all*

lemma *sup-option-left-idem*: $sup-option\ x\ (sup-option\ x\ y) = sup-option\ x\ y$
by(*rewrite sup-option-assoc[symmetric]*)(*simp*)

lemmas *sup-option-ai = sup-option-assoc sup-option-left-idem*

lemma *sup-option-None* [*simp*]: $sup-option\ None\ y = y$
by(*cases y*) *simp-all*

1.5.8 Maps

lemma *map-add-apply*: $(m1\ ++\ m2)\ x = sup-option\ (m1\ x)\ (m2\ x)$
by(*simp add: map-add-def split: option.split*)

lemma *map-le-map-upd2*: $\llbracket f\ \subseteq_m\ g;\ \bigwedge y'.\ f\ x = Some\ y' \implies y' = y \rrbracket \implies f\ \subseteq_m\ g(x\ \mapsto\ y)$
by(*cases x \in dom f*)(*auto simp add: map-le-def Ball-def*)

lemma *eq-None-iff-not-dom*: $f\ x = None \iff x \notin dom\ f$
by *auto*

lemma *card-ran-le-dom*: $finite\ (dom\ m) \implies card\ (ran\ m) \leq card\ (dom\ m)$
by(*simp add: ran-alt-def card-image-le*)

lemma *dom-subset-ran-iff*:
assumes *finite (ran m)*
shows $dom\ m \subseteq ran\ m \iff dom\ m = ran\ m$

proof

assume $le: \text{dom } m \subseteq \text{ran } m$
then have $\text{card } (\text{dom } m) \leq \text{card } (\text{ran } m)$ **by** (*simp add: card-mono assms*)
moreover have $\text{card } (\text{ran } m) \leq \text{card } (\text{dom } m)$ **by** (*simp add: finite-subset[OF le assms] card-ran-le-dom*)
ultimately show $\text{dom } m = \text{ran } m$ **using** *card-subset-eq[OF assms le]* **by** *simp*
qed *simp*

We need a polymorphic constant for the empty map such that *transfer-prover* can use a custom transfer rule for *Map.empty*

definition *Map-empty* **where** [*simp*]: $\text{Map-empty} \equiv \text{Map.empty}$

lemma *map-le-Some1D*: $\llbracket m \subseteq_m m'; m x = \text{Some } y \rrbracket \implies m' x = \text{Some } y$
by (*auto simp add: map-le-def Ball-def*)

lemma *map-le-fun-upd2*: $\llbracket f \subseteq_m g; x \notin \text{dom } f \rrbracket \implies f \subseteq_m g(x := y)$
by (*auto simp add: map-le-def*)

lemma *map-eqI*: $\forall x \in \text{dom } m \cup \text{dom } m'. m x = m' x \implies m = m'$
by (*auto simp add: fun-eq-iff domIff intro: option.expand*)

1.6 Countable

lemma *countable-lfp*:

assumes *step*: $\bigwedge Y. \text{countable } Y \implies \text{countable } (F Y)$
and *cont*: *Order-Continuity.sup-continuous F*
shows $\text{countable } (\text{lfp } F)$
by (*subst sup-continuous-lfp[OF cont]*)(*simp add: countable-funpow[OF step]*)

lemma *countable-lfp-apply*:

assumes *step*: $\bigwedge Y x. (\bigwedge x. \text{countable } (Y x)) \implies \text{countable } (F Y x)$
and *cont*: *Order-Continuity.sup-continuous F*
shows $\text{countable } (\text{lfp } F x)$

proof –

{ **fix** n
have $\bigwedge x. \text{countable } ((F \hat{\ } n) \text{ bot } x)$
by (*induct n*)(*auto intro: step*) }
thus *?thesis* **using** *cont* **by** (*simp add: sup-continuous-lfp*)
qed

1.7 Extended naturals

lemma *idiff-enat-eq-enat-iff*: $x - \text{enat } n = \text{enat } m \longleftrightarrow (\exists k. x = \text{enat } k \wedge k - n = m)$
by (*cases x*) *simp-all*

lemma *eSuc-SUP*: $A \neq \{\}$ $\implies e\text{Suc } (\text{SUPRENUM } A f) = (\text{SUP } x:A. e\text{Suc } (f x))$
by (*subst eSuc-Sup*)(*simp-all*)

lemma *ereal-of-enat-1*: $ereal\text{-of-enat } 1 = ereal\ 1$

by(*simp add: one-enat-def*)

lemma *ennreal-real-conv-ennreal-of-enat*: $ennreal\ (real\ n) = ennreal\text{-of-enat } n$

by (*simp add: ennreal-of-nat-eq-real-of-nat*)

lemma *enat-add-sub-same2*: $b \neq \infty \implies a + b - b = (a :: enat)$

by(*cases a; cases b*) *simp-all*

lemma *enat-sub-add*: $y \leq x \implies x - y + z = x + z - (y :: enat)$

by(*cases x; cases y; cases z*) *simp-all*

lemma *SUP-enat-eq-0-iff* [*simp*]: $(SUP\ x:A. f\ x) = (0 :: enat) \iff (\forall x \in A. f\ x = 0)$

by(*simp add: bot-enat-def[symmetric]*)

lemma *SUP-enat-add-left*:

assumes $I \neq \{\}$

shows $(SUP\ i:I. f\ i + c :: enat) = (SUP\ i:I. f\ i) + c$ (**is** $?lhs = ?rhs$)

proof(*cases c, rule antisym*)

case (*enat n*)

show $?lhs \leq ?rhs$ **by**(*auto 4 3 intro: SUP-upper intro: SUP-least*)

have $(SUP\ i:I. f\ i) \leq ?lhs - c$ **using** *enat*

by(*auto simp add: enat-add-sub-same2 intro!: SUP-least order-trans[OF - SUP-upper[THEN enat-minus-mono1]]*)

note *add-right-mono*[*OF this, of c*]

also have $\dots + c \leq ?lhs$ **using** *assms*

by(*subst enat-sub-add*)(*auto intro: SUP-upper2 simp add: enat-add-sub-same2 enat*)

finally show $?rhs \leq ?lhs$.

qed(*simp add: assms SUP-constant*)

lemma *SUP-enat-add-right*:

assumes $I \neq \{\}$

shows $(SUP\ i:I. c + f\ i :: enat) = c + (SUP\ i:I. f\ i)$

using *SUP-enat-add-left*[*OF assms, of f c*]

by(*simp add: add commute*)

lemma *iadd-SUP-le-iff*: $n + (SUP\ x:A. f\ x :: enat) \leq y \iff$ (*if* $A = \{\}$ *then* $n \leq y$ *else* $\forall x \in A. n + f\ x \leq y$)

by(*simp add: bot-enat-def SUP-enat-add-right[symmetric] SUP-le-iff*)

lemma *SUP-iadd-le-iff*: $(SUP\ x:A. f\ x :: enat) + n \leq y \iff$ (*if* $A = \{\}$ *then* $n \leq y$ *else* $\forall x \in A. f\ x + n \leq y$)

using *iadd-SUP-le-iff*[*of n f A y*] **by**(*simp add: add commute*)

1.8 Extended non-negative reals

lemma (in *finite-measure*) *nn-integral-indicator-neq-infty*:
 $f - ' A \in \text{sets } M \implies (\int^+ x. \text{indicator } A (f x) \partial M) \neq \infty$
unfolding *ennreal-indicator[symmetric]*
apply(rule *integrableD*)
apply(rule *integrable-const-bound[where B=1]*)
apply(*simp-all add: indicator-vimage[symmetric]*)
done

lemma (in *finite-measure*) *nn-integral-indicator-neq-top*:
 $f - ' A \in \text{sets } M \implies (\int^+ x. \text{indicator } A (f x) \partial M) \neq \top$
by(*drule nn-integral-indicator-neq-infty*) *simp*

lemma *nn-integral-indicator-map*:
assumes [*measurable*]: $f \in \text{measurable } M N \{x \in \text{space } N. P x\} \in \text{sets } N$
shows $(\int^+ x. \text{indicator } \{x \in \text{space } N. P x\} (f x) \partial M) = \text{emeasure } M \{x \in \text{space } M. P (f x)\}$
using *assms(1)[THEN measurable-space]*
by (*subst nn-integral-indicator[symmetric]*)
(auto intro!: nn-integral-cong split: split-indicator simp del: nn-integral-indicator)

1.9 BNF material

lemma *transp-rel-fun*: $\llbracket \text{is-equality } Q; \text{transp } R \rrbracket \implies \text{transp } (\text{rel-fun } Q R)$
by(rule *transpI*)(*auto dest: transpD rel-funD simp add: is-equality-def*)

lemma *rel-fun-inf*: $\text{inf } (\text{rel-fun } Q R) (\text{rel-fun } Q R') = \text{rel-fun } Q (\text{inf } R R')$
by(rule *antisym*)(*auto elim: rel-fun-mono dest: rel-funD*)

lemma *reflp-fun1*: **includes** *lifting-syntax* **shows** $\llbracket \text{is-equality } A; \text{reflp } B \rrbracket \implies \text{reflp } (A \implies B)$
by(*simp add: reflp-def rel-fun-def is-equality-def*)

lemma *type-copy-id'*: *type-definition* $(\lambda x. x) (\lambda x. x) \text{UNIV}$
by *unfold-locales simp-all*

lemma *type-copy-id*: *type-definition* id id UNIV
by(*simp add: id-def type-copy-id'*)

lemma *GrpE* [*cases pred*]:
assumes *BNF-Def.Grp A f x y*
obtains $(\text{Grp}) y = f x x \in A$
using *assms*
by(*simp add: Grp-def*)

lemma *rel-fun-Grp-copy-Abs*:
includes *lifting-syntax*
assumes *type-definition Rep Abs A*
shows $\text{rel-fun } (\text{BNF-Def.Grp } A \text{ Abs}) (\text{BNF-Def.Grp } B g) = \text{BNF-Def.Grp } \{f.$

$f \text{ ' } A \subseteq B \text{ } \{ \text{Rep} \text{ ---} > g \}$
proof –
interpret *type-definition* *Rep* *Abs* *A* **by** *fact*
show *?thesis*
by(*auto simp add: rel-fun-def Grp-def fun-eq-iff Abs-inverse Rep-inverse intro!*
Rep)
qed

lemma *rel-set-Grp*:
 $\text{rel-set } (BNF\text{-Def}.Grp\ A\ f) = BNF\text{-Def}.Grp\ \{B.\ B \subseteq A\}\ (image\ f)$
by(*auto simp add: rel-set-def BNF-Def.Grp-def fun-eq-iff*)

lemma *rel-set-comp-Grp*:
 $\text{rel-set } R = (BNF\text{-Def}.Grp\ \{x.\ x \subseteq \{(x, y).\ R\ x\ y\}\}\ ((\text{'})\ fst))^{-1-1}\ OO\ BNF\text{-Def}.Grp\ \{x.\ x \subseteq \{(x, y).\ R\ x\ y\}\}\ ((\text{'})\ snd)$
apply(*auto 4 4 del: ext intro!: ext simp add: BNF-Def.Grp-def intro!: rel-setI intro:*
rev-bexI)
apply(*simp add: relcompp-apply*)
subgoal **for** *A B*
apply(*rule exI[where x=A × B ∩ {(x, y). R x y}]*)
apply(*auto 4 3 dest: rel-setD1 rel-setD2 intro: rev-image-eqI*)
done
done

lemma *Domainp-Grp*: $\text{Domainp } (BNF\text{-Def}.Grp\ A\ f) = (\lambda x.\ x \in A)$
by(*auto simp add: fun-eq-iff Grp-def*)

lemma *pred-prod-conj* [*simp*]:
shows *pred-prod-conj1*: $\bigwedge P\ Q\ R.\ \text{pred-prod } (\lambda x.\ P\ x \wedge Q\ x)\ R = (\lambda x.\ \text{pred-prod } P\ R\ x \wedge \text{pred-prod } Q\ R\ x)$
and *pred-prod-conj2*: $\bigwedge P\ Q\ R.\ \text{pred-prod } P\ (\lambda x.\ Q\ x \wedge R\ x) = (\lambda x.\ \text{pred-prod } P\ Q\ x \wedge \text{pred-prod } P\ R\ x)$
by(*auto simp add: pred-prod.simps*)

lemma *pred-sum-conj* [*simp*]:
shows *pred-sum-conj1*: $\bigwedge P\ Q\ R.\ \text{pred-sum } (\lambda x.\ P\ x \wedge Q\ x)\ R = (\lambda x.\ \text{pred-sum } P\ R\ x \wedge \text{pred-sum } Q\ R\ x)$
and *pred-sum-conj2*: $\bigwedge P\ Q\ R.\ \text{pred-sum } P\ (\lambda x.\ Q\ x \wedge R\ x) = (\lambda x.\ \text{pred-sum } P\ Q\ x \wedge \text{pred-sum } P\ R\ x)$
by(*auto simp add: pred-sum.simps fun-eq-iff*)

lemma *pred-list-conj* [*simp*]: $\text{list-all } (\lambda x.\ P\ x \wedge Q\ x) = (\lambda x.\ \text{list-all } P\ x \wedge \text{list-all } Q\ x)$
by(*auto simp add: list-all-def*)

lemma *pred-prod-top* [*simp*]:
 $\text{pred-prod } (\lambda\cdot.\ True)\ (\lambda\cdot.\ True) = (\lambda\cdot.\ True)$
by(*simp add: pred-prod.simps fun-eq-iff*)

lemma *rel-fun-conversep*: **includes** *lifting-syntax* **shows**

$$(A \hat{\text{---}} 1 \text{ ===> } B \hat{\text{---}} 1) = (A \text{ ===> } B) \hat{\text{---}} 1$$

by(*auto simp add: rel-fun-def fun-eq-iff*)

lemma *left-unique-Grp* [*iff*]:

$$\text{left-unique } (BNF\text{-Def.Grp } A \ f) \longleftrightarrow \text{inj-on } f \ A$$

unfolding *Grp-def left-unique-def* **by**(*auto simp add: inj-on-def*)

lemma *right-unique-Grp* [*simp, intro!*]: *right-unique* (*BNF-Def.Grp* *A f*)

by(*simp add: Grp-def right-unique-def*)

lemma *bi-unique-Grp* [*iff*]:

$$\text{bi-unique } (BNF\text{-Def.Grp } A \ f) \longleftrightarrow \text{inj-on } f \ A$$

by(*simp add: bi-unique-alt-def*)

lemma *left-total-Grp* [*iff*]:

$$\text{left-total } (BNF\text{-Def.Grp } A \ f) \longleftrightarrow A = UNIV$$

by(*auto simp add: left-total-def Grp-def*)

lemma *right-total-Grp* [*iff*]:

$$\text{right-total } (BNF\text{-Def.Grp } A \ f) \longleftrightarrow f \ ' \ A = UNIV$$

by(*auto simp add: right-total-def BNF-Def.Grp-def image-def*)

lemma *bi-total-Grp* [*iff*]:

$$\text{bi-total } (BNF\text{-Def.Grp } A \ f) \longleftrightarrow A = UNIV \wedge \text{surj } f$$

by(*auto simp add: bi-total-alt-def*)

lemma *left-unique-vimage2p* [*simp*]:

$$\llbracket \text{left-unique } P; \text{inj } f \rrbracket \Longrightarrow \text{left-unique } (BNF\text{-Def.vimage2p } f \ g \ P)$$

unfolding *vimage2p-Grp* **by**(*intro left-unique-OO simp-all*)

lemma *right-unique-vimage2p* [*simp*]:

$$\llbracket \text{right-unique } P; \text{inj } g \rrbracket \Longrightarrow \text{right-unique } (BNF\text{-Def.vimage2p } f \ g \ P)$$

unfolding *vimage2p-Grp* **by**(*intro right-unique-OO simp-all*)

lemma *bi-unique-vimage2p* [*simp*]:

$$\llbracket \text{bi-unique } P; \text{inj } f; \text{inj } g \rrbracket \Longrightarrow \text{bi-unique } (BNF\text{-Def.vimage2p } f \ g \ P)$$

unfolding *bi-unique-alt-def* **by** *simp*

lemma *left-total-vimage2p* [*simp*]:

$$\llbracket \text{left-total } P; \text{surj } g \rrbracket \Longrightarrow \text{left-total } (BNF\text{-Def.vimage2p } f \ g \ P)$$

unfolding *vimage2p-Grp* **by**(*intro left-total-OO simp-all*)

lemma *right-total-vimage2p* [*simp*]:

$$\llbracket \text{right-total } P; \text{surj } f \rrbracket \Longrightarrow \text{right-total } (BNF\text{-Def.vimage2p } f \ g \ P)$$

unfolding *vimage2p-Grp* **by**(*intro right-total-OO simp-all*)

lemma *bi-total-vimage2p* [*simp*]:

$$\llbracket \text{bi-total } P; \text{surj } f; \text{surj } g \rrbracket \Longrightarrow \text{bi-total } (BNF\text{-Def.vimage2p } f \ g \ P)$$

unfolding *bi-total-alt-def* **by** *simp*

lemma *vimage2p-eq* [*simp*]:

inj f \implies *BNF-Def.vimage2p f f* (=) = (=)

by(*auto simp add: vimage2p-def fun-eq-iff inj-on-def*)

lemma *vimage2p-conversep*: *BNF-Def.vimage2p f g R* $\hat{-} - 1 =$ (*BNF-Def.vimage2p g f R*) $\hat{-} - 1$

by(*simp add: vimage2p-def fun-eq-iff*)

1.10 Transfer and lifting material

context includes *lifting-syntax* **begin**

lemma *monotone-parametric* [*transfer-rule*]:

assumes [*transfer-rule*]: *bi-total A*

shows ((*A* \implies *A* \implies (=)) \implies (*B* \implies *B* \implies (=)) \implies (*A* \implies *B*) \implies (=)) *monotone monotone*

unfolding *monotone-def*[*abs-def*] **by** *transfer-prover*

lemma *fun-ord-parametric* [*transfer-rule*]:

assumes [*transfer-rule*]: *bi-total C*

shows ((*A* \implies *B* \implies (=)) \implies (*C* \implies *A*) \implies (*C* \implies *B*) \implies (=)) *fun-ord fun-ord*

unfolding *fun-ord-def*[*abs-def*] **by** *transfer-prover*

lemma *Plus-parametric* [*transfer-rule*]:

(*rel-set A* \implies *rel-set B* \implies *rel-set (rel-sum A B)*) (*<+>*) (*<+>*)

unfolding *Plus-def*[*abs-def*] **by** *transfer-prover*

lemma *pred-fun-parametric* [*transfer-rule*]:

assumes [*transfer-rule*]: *bi-total A*

shows ((*A* \implies (=)) \implies (*B* \implies (=)) \implies (*A* \implies *B*) \implies (=)) *pred-fun pred-fun*

unfolding *pred-fun-def* **by**(*transfer-prover*)

lemma *rel-fun-eq-OO*: ((=) \implies *A*) *OO* ((=) \implies *B*) = ((=) \implies *A OO B*)

by(*clarsimp simp add: rel-fun-def fun-eq-iff relcompp.simps*) *metis*

end

lemma *Quotient-set-rel-eq*:

includes *lifting-syntax*

assumes *Quotient R Abs Rep T*

shows (*rel-set T* \implies *rel-set T* \implies (=)) (*rel-set R*) (=)

proof(*rule rel-funI iffI*)**+**

fix *A B C D*

assume *AB: rel-set T A B* **and** *CD: rel-set T C D*

```

have *:  $\bigwedge x y. R x y = (T x (Abs x) \wedge T y (Abs y) \wedge Abs x = Abs y)$ 
 $\bigwedge a b. T a b \implies Abs a = b$ 
using assms unfolding Quotient-alt-def by simp-all

{ assume [simp]:  $B = D$ 
  thus rel-set  $R A C$ 
  by(auto 4 4 intro!: rel-setI dest: rel-setD1[OF  $AB$ , simplified] rel-setD2[OF
 $AB$ , simplified] rel-setD2[OF  $CD$ ] rel-setD1[OF  $CD$ ] simp add: * elim!: rev-bexE)
next
  assume  $AC$ : rel-set  $R A C$ 
  show  $B = D$ 
  apply safe
  apply(drule rel-setD2[OF  $AB$ ], erule bexE)
  apply(drule rel-setD1[OF  $AC$ ], erule bexE)
  apply(drule rel-setD1[OF  $CD$ ], erule bexE)
  apply(simp add: *)
  apply(drule rel-setD2[OF  $CD$ ], erule bexE)
  apply(drule rel-setD2[OF  $AC$ ], erule bexE)
  apply(drule rel-setD1[OF  $AB$ ], erule bexE)
  apply(simp add: *)
  done
}
qed

```

```

lemma Domainp-eq: Domainp (=) = ( $\lambda$ -. True)
by(simp add: Domainp.simps fun-eq-iff)

```

```

lemma rel-fun-eq-onpI: eq-onp (pred-fun  $P Q$ )  $f g \implies \text{rel-fun } (eq-onp P) (eq-onp Q) f g$ 
by(auto simp add: eq-onp-def rel-fun-def)

```

```

lemma bi-unique-eq-onp: bi-unique (eq-onp  $P$ )
by(simp add: bi-unique-def eq-onp-def)

```

```

lemma rel-fun-eq-conversep: includes lifting-syntax shows ( $A^{-1-1} \implies (=)$ )
= ( $A \implies (=)$ )-1-1
by(auto simp add: fun-eq-iff rel-fun-def)

```

1.11 Arithmetic

```

lemma abs-diff-triangle-ineq2:  $|a - b| + |c - b| \leq |a - c| + |c - b|$ 
by(rule order-trans[OF - abs-diff-triangle-ineq]) simp

```

```

lemma (in ordered-ab-semigroup-add) add-left-mono-trans:
 $\llbracket x \leq a + b; b \leq c \rrbracket \implies x \leq a + c$ 
by(erule order-trans)(rule add-left-mono)

```

```

lemma of-nat-le-one-cancel-iff [simp]:

```

fixes $n :: \text{nat}$ **shows** $\text{real } n \leq 1 \longleftrightarrow n \leq 1$
by *linarith*

lemma (**in** *linordered-semidom*) *mult-right-le*: $c \leq 1 \implies 0 \leq a \implies c * a \leq a$
by(*subst mult.commute*)(*rule mult-left-le*)

1.12 Chain-complete partial orders and *partial-function*

lemma *fun-ordD*: $\text{fun-ord } ord \ f \ g \implies \text{ord } (f \ x) \ (g \ x)$
by(*simp add: fun-ord-def*)

lemma *parallel-fixp-induct-strong*:

assumes *ccpo1*: *class.ccpo luba orda (mk-less orda)*
and *ccpo2*: *class.ccpo lubb ordb (mk-less ordb)*
and *adm*: *ccpo.admissible (prod-lub luba lubb) (rel-prod orda ordb) ($\lambda x. P (fst \ x)$ (snd x))*
and *f*: *monotone orda orda f*
and *g*: *monotone ordb ordb g*
and *bot*: $P (luba \ \{\}) (lubb \ \{\})$
and *step*: $\bigwedge x \ y. \llbracket \text{orda } x \ (\text{ccpo.fixp } luba \ orda \ f); \text{ ordb } y \ (\text{ccpo.fixp } lubb \ ordb \ g); P \ x \ y \rrbracket \implies P (f \ x) (g \ y)$
shows $P (\text{ccpo.fixp } luba \ orda \ f) (\text{ccpo.fixp } lubb \ ordb \ g)$
proof –
let $?P = \lambda x \ y. \text{orda } x \ (\text{ccpo.fixp } luba \ orda \ f) \wedge \text{ ordb } y \ (\text{ccpo.fixp } lubb \ ordb \ g) \wedge P \ x \ y$
show *?thesis using ccpo1 ccpo2 - f g*
proof(*rule parallel-fixp-induct[where P=?P, THEN conjunct2, THEN conjunct2]*)
note [*cont-intro*] =
admissible-leI[OF ccpo1] ccpo.mcont-const[OF ccpo1]
admissible-leI[OF ccpo2] ccpo.mcont-const[OF ccpo2]
show *ccpo.admissible (prod-lub luba lubb) (rel-prod orda ordb) ($\lambda xy. ?P (fst \ xy)$ (snd xy))*
using *adm by simp*
show $?P (luba \ \{\}) (lubb \ \{\})$ **using** *bot by(auto intro: ccpo.ccpo-Sup-least ccpo1 ccpo2 chain-empty)*
show $?P (f \ x) (g \ y)$ **if** $?P \ x \ y$ **for** $x \ y$ **using** *that*
apply(*subst ccpo.fixp-unfold[OF ccpo1 f]*)
apply(*subst ccpo.fixp-unfold[OF ccpo2 g]*)
apply(*auto intro: step monotoneD[OF f] monotoneD[OF g]*)
done
qed
qed

lemma *parallel-fixp-induct-strong-uc*:

assumes *a*: *partial-function-definitions orda luba*
and *b*: *partial-function-definitions ordb lubb*
and *F*: $\bigwedge x. \text{monotone } (\text{fun-ord } orda) \ orda \ (\lambda f. U1 \ (F \ (C1 \ f)) \ x)$
and *G*: $\bigwedge y. \text{monotone } (\text{fun-ord } ordb) \ ordb \ (\lambda g. U2 \ (G \ (C2 \ g)) \ y)$

```

and eq1: f ≡ C1 (ccpo.fixp (fun-lub luba) (fun-ord orda) (λf. U1 (F (C1 f))))
and eq2: g ≡ C2 (ccpo.fixp (fun-lub lubb) (fun-ord ordb) (λg. U2 (G (C2 g))))
and inverse: λf. U1 (C1 f) = f
and inverse2: λg. U2 (C2 g) = g
and adm: ccpo.admissible (prod-lub (fun-lub luba) (fun-lub lubb)) (rel-prod (fun-ord
orda) (fun-ord ordb)) (λx. P (fst x) (snd x))
and bot: P (λ-. luba {}) (λ-. lubb {})
and step: λf' g'. [ λx. orda (U1 f' x) (U1 f x); λy. ordb (U2 g' y) (U2 g y);
P (U1 f') (U2 g') ] ⇒ P (U1 (F f')) (U2 (G g'))
shows P (U1 f) (U2 g)
apply(unfold eq1 eq2 inverse inverse2)
apply(rule parallel-fixp-induct-strong[OF partial-function-definitions.ccpo[OF a] partial-function-definitions.c
b] adm])
using F apply(simp add: monotone-def fun-ord-def)
using G apply(simp add: monotone-def fun-ord-def)
apply(simp add: fun-lub-def bot)
apply(rule step; simp add: inverse inverse2 eq1 eq2 fun-ordD)
done

```

```

lemmas parallel-fixp-induct-strong-1-1 = parallel-fixp-induct-strong-uc[
of - - - - λx. x - λx. x λx. x - λx. x,
OF - - - - - refl refl]

```

```

lemmas parallel-fixp-induct-strong-2-2 = parallel-fixp-induct-strong-uc[
of - - - - case-prod - curry case-prod - curry,
where P=λf g. P (curry f) (curry g),
unfolded case-prod-curry curry-case-prod curry-K,
OF - - - - - refl refl,
split-format (complete), unfolded prod.case]
for P

```

```

lemma fixp-induct-option': — Stronger induction rule
fixes F :: 'c ⇒ 'c and
  U :: 'c ⇒ 'b ⇒ 'a option and
  C :: ('b ⇒ 'a option) ⇒ 'c and
  P :: 'b ⇒ 'a ⇒ bool
assumes mono: λx. mono-option (λf. U (F (C f)) x)
assumes eq: f ≡ C (ccpo.fixp (fun-lub (flat-lub None)) (fun-ord option-ord) (λf.
U (F (C f))))
assumes inverse2: λf. U (C f) = f
assumes step: λg x y. [ λx y. U g x = Some y ⇒ P x y; U (F g) x = Some
y; λx. option-ord (U g x) (U f x) ] ⇒ P x y
assumes defined: U f x = Some y
shows P x y
using step defined option.fixp-strong-induct-uc[of U F C, OF mono eq inverse2
option-admissible, of P]
unfolding fun-lub-def flat-lub-def fun-ord-def
by(simp (no-asm-use)) blast

```

declaration \langle Partial-Function.init option' @ {term option.fixp-fun}
@ {term option.mono-body} @ {thm option.fixp-rule-uc} @ {thm option.fixp-induct-uc}
(SOME @ {thm fixp-induct-option'}) \rangle

lemma *bot-fun-least* [simp]: $(\lambda-. bot :: 'a :: order-bot) \leq x$
by(fold bot-fun-def) simp

lemma *fun-ord-conv-rel-fun*: *fun-ord* = *rel-fun* (=)
by(simp add: fun-ord-def fun-eq-iff rel-fun-def)

inductive *finite-chains* :: ('a \Rightarrow 'a \Rightarrow bool) \Rightarrow bool
for ord
where *finite-chainsI*: $(\bigwedge Y. Complete-Partial-Order.chain\ ord\ Y \implies finite\ Y)$
 $\implies finite-chains\ ord$

lemma *finite-chainsD*: $\llbracket finite-chains\ ord; Complete-Partial-Order.chain\ ord\ Y \rrbracket$
 $\implies finite\ Y$
by(rule finite-chains.cases)

lemma *finite-chains-flat-ord* [simp, intro!]: *finite-chains* (flat-ord *x*)
proof

fix *Y*
assume *chain*: Complete-Partial-Order.chain (flat-ord *x*) *Y*
show *finite Y*
proof(cases $\exists y \in Y. y \neq x$)
case True
then obtain *y* **where** *y*: *y* $\in Y$ **and** *yx*: *y* $\neq x$ **by** blast
hence $Y \subseteq \{x, y\}$ **by**(auto dest: chainD[OF chain] simp add: flat-ord-def)
thus ?thesis **by**(rule finite-subset) simp
next
case False
hence $Y \subseteq \{x\}$ **by** auto
thus ?thesis **by**(rule finite-subset) simp
qed
qed

lemma *mcont-finite-chains*:
assumes *finite*: *finite-chains* ord
and *mono*: monotone ord ord' *f*
and *ccpo*: class.ccpo lub ord (mk-less ord)
and *ccpo'*: class.ccpo lub' ord' (mk-less ord')
shows mcont lub ord lub' ord' *f*
proof(intro mcontI contI)
fix *Y*
assume *chain*: Complete-Partial-Order.chain ord *Y* **and** *Y*: $Y \neq \{\}$
from *finite chain* **have** *fin*: *finite Y* **by**(rule finite-chainsD)
from *ccpo chain fin Y* **have** *lub*: lub *Y* $\in Y$ **by**(rule ccpo.in-chain-finite)

interpret *ccpo'*: ccpo lub' ord' mk-less ord' **by**(rule ccpo')

```

have chain': Complete-Partial-Order.chain ord' (f ' Y) using chain
  by(rule chain-imageI)(rule monotoneD[OF mono])

have ord' (f (lub Y)) (lub' (f ' Y)) using chain'
  by(rule ccpo'.ccpo-Sup-upper)(simp add: lub)
moreover
have ord' (lub' (f ' Y)) (f (lub Y)) using chain'
  by(rule ccpo'.ccpo-Sup-least)(blast intro: monotoneD[OF mono] ccpo'.ccpo-Sup-upper[OF
ccpo chain])
  ultimately show f (lub Y) = lub' (f ' Y) by(rule ccpo'.antisym)
qed(fact mono)

lemma rel-fun-curry: includes lifting-syntax shows
  (A ==> B ==> C) f g  $\longleftrightarrow$  (rel-prod A B ==> C) (case-prod f) (case-prod
g)
by(auto simp add: rel-fun-def)

lemma (in ccpo) Sup-image-mono:
  assumes ccpo: class.ccpo luba orda lessa
  and mono: monotone orda ( $\leq$ ) f
  and chain: Complete-Partial-Order.chain orda A
  and A  $\neq$  {}
  shows Sup (f ' A)  $\leq$  (f (luba A))
proof(rule ccpo-Sup-least)
  from chain show Complete-Partial-Order.chain ( $\leq$ ) (f ' A)
  by(rule chain-imageI)(rule monotoneD[OF mono])
  fix x
  assume x  $\in$  f ' A
  then obtain y where x = f y y  $\in$  A by blast
  from  $\langle y \in A \rangle$  have orda y (luba A) by(rule ccpo'.ccpo-Sup-upper[OF ccpo chain])
  hence f y  $\leq$  f (luba A) by(rule monotoneD[OF mono])
  thus x  $\leq$  f (luba A) using  $\langle x = f y \rangle$  by simp
qed

lemma (in ccpo) admissible-le-mono:
  assumes monotone ( $\leq$ ) ( $\leq$ ) f
  shows ccpo.admissible Sup ( $\leq$ ) ( $\lambda x. x \leq f x$ )
proof(rule ccpo.admissibleI)
  fix Y
  assume chain: Complete-Partial-Order.chain ( $\leq$ ) Y
  and Y: Y  $\neq$  {}
  and le [rule-format]:  $\forall x \in Y. x \leq f x$ 
  have  $\bigsqcup Y \leq \bigsqcup (f ' Y)$  using chain
  by(rule ccpo-Sup-least)(rule order-trans[OF le]; blast intro!: ccpo-Sup-upper
chain-imageI[OF chain] intro: monotoneD[OF assms])
  also have  $\dots \leq f (\bigsqcup Y)$ 
  by(rule Sup-image-mono[OF - assms chain Y, where lessa= $\langle \rangle$ ]) unfold-locales
  finally show  $\bigsqcup Y \leq \dots$  .

```


qed

```
lemma (in ccpo) fixp-induct-strong2:
  assumes adm: ccpo.admissible Sup ( $\leq$ ) P
  and mono: monotone ( $\leq$ ) ( $\leq$ ) f
  and bot: P ( $\sqcup \{\}$ )
  and step:  $\bigwedge x. \llbracket x \leq \text{ccpo-class.fixp } f; x \leq f x; P x \rrbracket \implies P (f x)$ 
  shows P (ccpo-class.fixp f)
proof(rule fixp-strong-induct[where P= $\lambda x. x \leq f x \wedge P x$ , THEN conjunct2])
  show ccpo.admissible Sup ( $\leq$ ) ( $\lambda x. x \leq f x \wedge P x$ )
    using admissible-le-mono adm by(rule admissible-conj)(rule mono)
next
  show  $\sqcup \{\} \leq f (\sqcup \{\}) \wedge P (\sqcup \{\})$ 
    by(auto simp add: bot chain-empty intro: ccpo-Sup-least)
next
  fix x
  assume  $x \leq \text{ccpo-class.fixp } f x \leq f x \wedge P x$ 
  thus  $f x \leq f (f x) \wedge P (f x)$ 
    by(auto dest: monotoneD[OF mono] intro: step)
qed(rule mono)
```

context partial-function-definitions begin

```
lemma fixp-induct-strong2-uc:
  fixes F :: 'c  $\Rightarrow$  'c
  and U :: 'c  $\Rightarrow$  'b  $\Rightarrow$  'a
  and C :: ('b  $\Rightarrow$  'a)  $\Rightarrow$  'c
  and P :: ('b  $\Rightarrow$  'a)  $\Rightarrow$  bool
  assumes mono:  $\bigwedge x. \text{mono-body } (\lambda f. U (F (C f)) x)$ 
  and eq:  $f \equiv C (\text{fixp-fun } (\lambda f. U (F (C f))))$ 
  and inverse:  $\bigwedge f. U (C f) = f$ 
  and adm: ccpo.admissible lub-fun le-fun P
  and bot: P ( $\lambda -. \text{lub } \{\}$ )
  and step:  $\bigwedge f'. \llbracket \text{le-fun } (U f') (U f); \text{le-fun } (U f') (U (F f')); P (U f') \rrbracket \implies$ 
P (U (F f'))
  shows P (U f)
unfolding eq inverse
apply (rule ccpo.fixp-induct-strong2[OF ccpo adm])
apply (insert mono, auto simp: monotone-def fun-ord-def bot fun-lub-def)[2]
apply (rule-tac f'5=C x in step)
apply (simp-all add: inverse eq)
done
```

end

```
lemmas parallel-fixp-induct-2-4 = parallel-fixp-induct-uc[
  of - - - case-prod - curry  $\lambda f. \text{case-prod } (\text{case-prod } (\text{case-prod } f)) - \lambda f. \text{curry}$ 
  (curry (curry f)),
  where P= $\lambda f g. P (\text{curry } f) (\text{curry } (\text{curry } g))$ ],
```

unfolding case-prod-curry curry-case-prod curry-K,
 OF - - - - - refl refl]
 for P

lemma (in ccpo) fixp-greatest:
 assumes f: monotone (\leq) (\leq) f
 and ge: $\bigwedge y. f y \leq y \implies x \leq y$
 shows $x \leq \text{ccpo.fixp Sup } (\leq) f$
 by(rule ge)(simp add: fixp-unfold[OF f, symmetric])

lemma fixp-rolling:
 assumes class.ccpo lub1 leq1 (mk-less leq1)
 and class.ccpo lub2 leq2 (mk-less leq2)
 and f: monotone leq1 leq2 f
 and g: monotone leq2 leq1 g
 shows $\text{ccpo.fixp lub1 leq1 } (\lambda x. g (f x)) = g (\text{ccpo.fixp lub2 leq2 } (\lambda x. f (g x)))$
proof -
 interpret c1: ccpo lub1 leq1 mk-less leq1 **by** fact
 interpret c2: ccpo lub2 leq2 mk-less leq2 **by** fact
 show ?thesis
proof(rule c1.antisym)
 have fg: monotone leq2 leq2 ($\lambda x. f (g x)$) **using** f g **by**(rule monotone2monotone)
 simp-all
 have gf: monotone leq1 leq1 ($\lambda x. g (f x)$) **using** g f **by**(rule monotone2monotone)
 simp-all
 show leq1 (c1.fixp ($\lambda x. g (f x)$)) (g (c2.fixp ($\lambda x. f (g x)$))) **using** gf
by(rule c1.fixp-lowerbound)(subst (2) c2.fixp-unfold[OF fg], simp)
 show leq1 (g (c2.fixp ($\lambda x. f (g x)$))) (c1.fixp ($\lambda x. g (f x)$)) **using** gf
proof(rule c1.fixp-greatest)
 fix u
 assume u: leq1 (g (f u)) u
 have leq1 (g (c2.fixp ($\lambda x. f (g x)$))) (g (f u))
by(intro monotoneD[OF g] c2.fixp-lowerbound[OF fg] monotoneD[OF f u])
 then show leq1 (g (c2.fixp ($\lambda x. f (g x)$))) u **using** u **by**(rule c1.order-trans)
 qed
 qed
 qed

lemma fixp-lfp-parametric-eq:
 includes lifting-syntax
 assumes f: $\bigwedge x. \text{lfp.mono-body } (\lambda f. F f x)$
 and g: $\bigwedge x. \text{lfp.mono-body } (\lambda f. G f x)$
 and param: ((A \implies (=)) \implies A \implies (=)) F G
 shows (A \implies (=)) (lfp.fixp-fun F) (lfp.fixp-fun G)
using f g
proof(rule parallel-fixp-induct-1-1[OF complete-lattice-partial-function-definitions
 complete-lattice-partial-function-definitions - - reflexive reflexive, **where** P=(A \implies (=))
 (=))]
 show ccpo.admissible (prod-lub lfp.lub-fun lfp.lub-fun) (rel-prod lfp.le-fun lfp.le-fun)

```

( $\lambda x. (A \implies (=)) (fst\ x) (snd\ x)$ )
  unfolding rel-fun-def by simp
  show  $(A \implies (=)) (\lambda-. \sqcup \{\}) (\lambda-. \sqcup \{\})$  by auto
  show  $(A \implies (=)) (F\ f) (G\ g)$  if  $(A \implies (=))\ f\ g$  for  $f\ g$ 
    using that by(rule rel-funD[OF param])
qed

```

```

lemma mono2mono-map-option [THEN option.mono2mono, simp, cont-intro]:
  shows monotone-map-option: monotone option-ord option-ord (map-option f)
  by(rule monotoneI)(auto simp add: flat-ord-def)

```

```

lemma mcont2mcont-map-option [THEN option.mcont2mcont, simp, cont-intro]:
  shows mcont-map-option: mcont (flat-lub None) option-ord (flat-lub None) option-ord
  (map-option f)
  by(rule mcont-finite-chains[OF - - flat-interpretation [THEN ccpo] flat-interpretation [THEN
  ccpo]]) simp-all

```

```

lemma mono2mono-set-option [THEN lfp.mono2mono]:
  shows monotone-set-option: monotone option-ord ( $\subseteq$ ) set-option
  by(auto intro!: monotoneI simp add: option-ord-Some1-iff)

```

```

lemma mcont2mcont-set-option [THEN lfp.mcont2mcont, cont-intro, simp]:
  shows mcont-set-option: mcont (flat-lub None) option-ord Union ( $\subseteq$ ) set-option
  by(rule mcont-finite-chains)(simp-all add: monotone-set-option ccpo option.partial-function-definitions-axioms)

```

```

lemma eadd-gfp-partial-function-mono [partial-function-mono]:
  [monotone (fun-ord ( $\geq$ )) ( $\geq$ ) f; monotone (fun-ord ( $\geq$ )) ( $\geq$ ) g]
   $\implies$  monotone (fun-ord ( $\geq$ )) ( $\geq$ ) ( $\lambda x. f\ x + g\ x :: enat$ )
  by(rule mono2mono-gfp-eadd)

```

```

lemma map-option-mono [partial-function-mono]:
  mono-option B  $\implies$  mono-option ( $\lambda f. map-option\ g\ (B\ f)$ )
  unfolding map-conv-bind-option by(rule bind-mono) simp-all

```

1.13 Folding over finite sets

```

lemma (in comp-fun-commute) fold-invariant-remove [consumes 1, case-names
start step]:

```

```

  assumes fin: finite A
  and start:  $I\ A\ s$ 
  and step:  $\bigwedge x\ s\ A'. \llbracket x \in A'; I\ A'\ s; A' \subseteq A \rrbracket \implies I\ (A' - \{x\})\ (f\ x\ s)$ 
  shows  $I\ \{\}\ (Finite-Set.fold\ f\ s\ A)$ 

```

proof –

```

  define A' where  $A' == A$ 
  with fin start have finite A' A'  $\subseteq$  A I A' s by simp-all
  thus  $I\ \{\}\ (Finite-Set.fold\ f\ s\ A')$ 
  proof(induction arbitrary: s)
    case empty thus ?case by simp
  next

```

```

    case (insert x A')
    let ?A' = insert x A'
    have x ∈ ?A' I ?A' s ?A' ⊆ A using insert by auto
    hence I (?A' - {x}) (f x s) by(rule step)
    with insert have A' ⊆ A I A' (f x s) by auto
    hence I {} (Finite-Set.fold f (f x s) A') by(rule insert.IH)
    thus ?case using insert by(simp add: fold-insert2 del: fold-insert)
  qed
qed

```

lemma (in *comp-fun-commute*) *fold-invariant-insert* [*consumes 1, case-names start step*]:

```

  assumes fin: finite A
  and start: I {} s
  and step:  $\bigwedge x s A'. \llbracket I A' s; x \notin A'; x \in A; A' \subseteq A \rrbracket \implies I (\text{insert } x A') (f x s)$ 
  shows I A (Finite-Set.fold f s A)
using fin start
proof(rule fold-invariant-remove[where I= $\lambda A'. I (A - A')$  and A=A and s=s,
simplified])
  fix x s A'
  assume *: x ∈ A' I (A - A') s A' ⊆ A
  hence x ∉ A - A' x ∈ A A - A' ⊆ A by auto
  with ⟨I (A - A') s⟩ have I (insert x (A - A')) (f x s) by(rule step)
  also have insert x (A - A') = A - (A' - {x}) using * by auto
  finally show I ... (f x s) .
qed

```

lemma (in *comp-fun-idem*) *fold-set-union*:

```

  assumes finite A finite B
  shows Finite-Set.fold f z (A ∪ B) = Finite-Set.fold f (Finite-Set.fold f z A) B
using assms(2,1) by induction simp-all

```

1.14 Parametrisation of transfer rules

```

attribute-setup transfer-parametric = ⟨
  Attrib.thm >> (fn parametricity =>
    Thm.rule-attribute [] (fn context => fn transfer-rule =>
      let
        val ctxt = Context.proof-of context;
        val thm' = Lifting-Term.parametrize-transfer-rule ctxt transfer-rule
      in Lifting-Def.generate-parametric-transfer-rule ctxt thm' parametricity
      end
      handle Lifting-Term.MERGE-TRANSFER-REL msg => error (Pretty.string-of
msg)
    ))
⟩ combine transfer rule with parametricity theorem

```

1.15 Lists

lemma *nth-eq-tII*: $xs ! n = z \implies (x \# xs) ! \text{Suc } n = z$

by simp

lemma list-all2-append':

$length\ us = length\ vs \implies list\text{-}all2\ P\ (xs\ @\ us)\ (ys\ @\ vs) \longleftrightarrow list\text{-}all2\ P\ xs\ ys \wedge list\text{-}all2\ P\ us\ vs$

by(auto simp add: list-all2-append1 list-all2-append2 dest: list-all2-lengthD)

definition disjointp :: ('a \Rightarrow bool) list \Rightarrow bool

where disjointp xs = disjoint-family-on ($\lambda n. \{x. (xs\ !\ n)\ x\}$) $\{0..<length\ xs\}$

lemma disjointpD:

$\llbracket disjointp\ xs; (xs\ !\ n)\ x; (xs\ !\ m)\ x; n < length\ xs; m < length\ xs \rrbracket \implies n = m$

by(auto 4 3 simp add: disjointp-def disjoint-family-on-def)

lemma disjointpD':

$\llbracket disjointp\ xs; P\ x; Q\ x; xs\ !\ n = P; xs\ !\ m = Q; n < length\ xs; m < length\ xs \rrbracket \implies n = m$

by(auto 4 3 simp add: disjointp-def disjoint-family-on-def)

1.15.1 List of a given length

inductive-set nlists :: 'a set \Rightarrow nat \Rightarrow 'a list set for A n

where nlists: $\llbracket set\ xs \subseteq A; length\ xs = n \rrbracket \implies xs \in nlists\ A\ n$

hide-fact (open) nlists

lemma nlists-alt-def: nlists A n = $\{xs. set\ xs \subseteq A \wedge length\ xs = n\}$

by(auto simp add: nlists.simps)

lemma nlists-empty: nlists {} n = (if n = 0 then $\{\{\}\}$ else $\{\}$)

by(auto simp add: nlists-alt-def)

lemma nlists-empty-gt0 [simp]: n > 0 $\implies nlists\ \{\}\ n = \{\}$

by(simp add: nlists-empty)

lemma nlists-0 [simp]: nlists A 0 = $\{\{\}\}$

by(auto simp add: nlists-alt-def)

lemma Cons-in-nlists-Suc [simp]: $x \# xs \in nlists\ A\ (Suc\ n) \longleftrightarrow x \in A \wedge xs \in nlists\ A\ n$

by(simp add: nlists-alt-def)

lemma Nil-in-nlists [simp]: $\{\} \in nlists\ A\ n \longleftrightarrow n = 0$

by(auto simp add: nlists-alt-def)

lemma Cons-in-nlists-iff: $x \# xs \in nlists\ A\ n \longleftrightarrow (\exists n'. n = Suc\ n' \wedge x \in A \wedge xs \in nlists\ A\ n')$

by(cases n) simp-all

lemma in-nlists-Suc-iff: $xs \in nlists\ A\ (Suc\ n) \longleftrightarrow (\exists x\ xs'. xs = x \# xs' \wedge x \in$

$A \wedge xs' \in nlists\ A\ n$
by(cases xs) simp-all

lemma nlists-Suc: $nlists\ A\ (Suc\ n) = (\bigcup x \in A. (\#)\ x \ ' nlists\ A\ n)$
by(auto 4 3 simp add: in-nlists-Suc-iff intro: rev-image-eqI)

lemma replicate-in-nlists [simp, intro]: $x \in A \implies replicate\ n\ x \in nlists\ A\ n$
by(simp add: nlists-alt-def set-replicate-conv-if)

lemma nlists-eq-empty-iff [simp]: $nlists\ A\ n = \{\} \longleftrightarrow n > 0 \wedge A = \{\}$
using replicate-in-nlists **by**(cases n)(auto)

lemma finite-nlists [simp]: $finite\ A \implies finite\ (nlists\ A\ n)$
by(induction n)(simp-all add: nlists-Suc)

lemma finite-nlistsD:
 assumes finite (nlists A n)
 shows finite A \vee n = 0
proof(rule disjCI)
 assume n \neq 0
 then obtain n' where n: n = Suc n' **by**(cases n)auto
 then have A = hd ' nlists A n **by**(auto 4 4 simp add: nlists-Suc intro: rev-image-eqI rev-bexI)
 also have finite ... **using** assms ..
 finally show finite A .
qed

lemma finite-nlists-iff: $finite\ (nlists\ A\ n) \longleftrightarrow finite\ A \vee n = 0$
by(auto dest: finite-nlistsD)

lemma card-nlists: $card\ (nlists\ A\ n) = card\ A \wedge^n n$
proof(induction n)
 case (Suc n)
 have card $(\bigcup x \in A. (\#)\ x \ ' nlists\ A\ n) = card\ A * card\ (nlists\ A\ n)$
proof(cases finite A)
 case True
 then show ?thesis **by**(subst card-UN-disjoint)(auto simp add: card-image inj-on-def)
 next
 case False
 hence \neg finite $(\bigcup x \in A. (\#)\ x \ ' nlists\ A\ n)$
 unfolding nlists-Suc[symmetric] **by**(auto dest: finite-nlistsD)
 then show ?thesis **using** False **by** simp
qed
 then show ?case **using** Suc.IH **by**(simp add: nlists-Suc)
qed simp

lemma in-nlists-UNIV: $xs \in nlists\ UNIV\ n \longleftrightarrow length\ xs = n$
by(simp add: nlists-alt-def)

1.15.2 The type of lists of a given length

```
typedef (overloaded) ('a, 'b :: len0) nlist = nlists (UNIV :: 'a set) (LENGTH('b))
proof
  show replicate LENGTH('b) undefined ∈ ?nlist by simp
qed
```

setup-lifting type-definition-nlist

1.16 Streams and infinite lists

```
primrec sprefix :: 'a list ⇒ 'a stream ⇒ bool where
  sprefix-Nil: sprefix [] ys = True
| sprefix-Cons: sprefix (x # xs) ys ⟷ x = shd ys ∧ sprefix xs (stl ys)
```

```
lemma sprefix-append: sprefix (xs @ ys) zs ⟷ sprefix xs zs ∧ sprefix ys (sdrop
(length xs) zs)
by(induct xs arbitrary: zs) simp-all
```

```
lemma sprefix-stake-same [simp]: sprefix (stake n xs) xs
by(induct n arbitrary: xs) simp-all
```

```
lemma sprefix-same-imp-eq:
  assumes sprefix xs ys sprefix xs' ys
  and length xs = length xs'
  shows xs = xs'
using assms(3,1,2) by(induct arbitrary: ys rule: list-induct2) auto
```

```
lemma sprefix-shift-same [simp]:
  sprefix xs (xs @- ys)
by(induct xs) simp-all
```

```
lemma sprefix-shift [simp]:
  length xs ≤ length ys ⟹ sprefix xs (ys @- zs) ⟷ prefix xs ys
by(induct xs arbitrary: ys)(simp, case-tac ys, auto)
```

```
lemma prefixeq-stake2 [simp]: prefix xs (stake n ys) ⟷ length xs ≤ n ∧ sprefix
xs ys
proof(induct xs arbitrary: n ys)
  case (Cons x xs)
  thus ?case by(cases ys n rule: stream.exhaust[case-product nat.exhaust]) auto
qed simp
```

```
lemma tlength-eq-infinity-iff: tlength xs = ∞ ⟷ ¬ tfinite xs
including tllist.lifting by transfer(simp add: tlength-eq-infty-conv-lfinite)
```

1.17 Monomorphic monads

```
context includes lifting-syntax begin
local-setup ⟨Local-Theory.map-background-naming (Name-Space.mandatory-path
```

monad)

definition *bind-option* :: 'm fail \Rightarrow 'a option \Rightarrow ('a \Rightarrow 'm) \Rightarrow 'm
where *bind-option fail* x f = (case x of None \Rightarrow fail | Some x' \Rightarrow f x') **for** fail

simps-of-case *bind-option-simps* [*simp*]: *bind-option-def*

lemma *bind-option-parametric* [*transfer-rule*]:
(M \implies rel-option B \implies (B \implies M) \implies M) *bind-option bind-option*
unfolding *bind-option-def* **by** *transfer-prover*

lemma *bind-option-K*:
 $\bigwedge_{\text{monad.}} (x = \text{None} \implies m = \text{fail}) \implies \text{bind-option fail } x (\lambda \cdot. m) = m$
by(cases x) *simp-all*

end

lemma *bind-option-option* [*simp*]: *monad.bind-option None* = *Option.bind*
by(*simp add: monad.bind-option-def fun-eq-iff split: option.split*)

context *monad-fail-hom* **begin**

lemma *hom-bind-option*: $h (\text{monad.bind-option fail1 } x f) = \text{monad.bind-option fail2 } x (h \circ f)$
by(cases x)(*simp-all*)

end

lemma *bind-option-set* [*simp*]: *monad.bind-option fail-set* = ($\lambda x. \text{UNION } (\text{set-option } x)$)
by(*simp add: monad.bind-option-def fun-eq-iff split: option.split*)

lemma *run-bind-option-stateT* [*simp*]:
 $\bigwedge_{\text{more.}} \text{run-state } (\text{monad.bind-option } (\text{fail-state fail}) x f) s = \text{monad.bind-option fail } x (\lambda y. \text{run-state } (f y) s)$
by(cases x) *simp-all*

lemma *run-bind-option-envT* [*simp*]:
 $\bigwedge_{\text{more.}} \text{run-env } (\text{monad.bind-option } (\text{fail-env fail}) x f) s = \text{monad.bind-option fail } x (\lambda y. \text{run-env } (f y) s)$
by(cases x) *simp-all*

1.18 Measures

declare *sets-restrict-space-count-space* [*measurable-cong*]

lemma (in *sigma-algebra*) *sets-Collect-countable-Ex1*:
($\bigwedge i :: 'i :: \text{countable. } \{x \in \Omega. P i x\} \in M$) $\implies \{x \in \Omega. \exists ! i. P i x\} \in M$
using *sets-Collect-countable-Ex1* [of *UNIV :: 'i set*] **by** *simp*

lemma *pred-countable-Ex1* [*measurable*]:
 $(\bigwedge i :: - :: \text{countable. Measurable.pred } M (\lambda x. P i x))$
 $\implies \text{Measurable.pred } M (\lambda x. \exists ! i. P i x)$
unfolding *pred-def* **by**(*rule sets.sets-Collect-countable-Ex1*)

lemma *measurable-snd-count-space* [*measurable*]:
 $A \subseteq B \implies \text{snd} \in \text{measurable } (M1 \otimes_M \text{count-space } A) (\text{count-space } B)$
by(*auto simp add: measurable-def space-pair-measure snd-vimage-eq-Times times-Int-times*)

1.19 Sequence space

lemma (*in sequence-space*) *nn-integral-split*:
assumes *f*[*measurable*]: $f \in \text{borel-measurable } S$
shows $(\int^{+\omega}. f \omega \partial S) = (\int^{+\omega}. (\int^{+\omega'}. f (\text{comb-seq } i \omega \omega') \partial S) \partial S)$
by (*subst PiM-comb-seq[symmetric, where i=i]*)
(*simp add: nn-integral-distr P.nn-integral-fst[symmetric]*)

lemma (*in sequence-space*) *prob-Collect-split*:
assumes *f*[*measurable*]: $\{x \in \text{space } S. P x\} \in \text{sets } S$
shows $\mathcal{P}(x \text{ in } S. P x) = (\int^{+x}. \mathcal{P}(x' \text{ in } S. P (\text{comb-seq } i x x')) \partial S)$
proof –
have $\mathcal{P}(x \text{ in } S. P x) = (\int^{+x}. (\int^{+x'}. \text{indicator } \{x \in \text{space } S. P x\} (\text{comb-seq } i x x') \partial S) \partial S)$
using *nn-integral-split*[*of indicator* $\{x \in \text{space } S. P x\}$] **by** (*auto simp: emeasure-eq-measure*)
also have $\dots = (\int^{+x}. \mathcal{P}(x' \text{ in } S. P (\text{comb-seq } i x x')) \partial S)$
by (*intro nn-integral-cong*) (*auto simp: emeasure-eq-measure nn-integral-indicator-map*)
finally show *?thesis* .
qed

1.20 Probability mass functions

lemma *measure-map-pmf-conv-distr*:
 $\text{measure-pmf } (\text{map-pmf } f p) = \text{distr } (\text{measure-pmf } p) (\text{count-space } UNIV) f$
by(*fact map-pmf-rep-eq*)

abbreviation *coin-pmf* :: *bool pmf* **where** *coin-pmf* \equiv *pmf-of-set UNIV*

The rule *rel-pmf-bindI* is not complete as a program logic.

notepad begin
define *x* **where** $x = \text{pmf-of-set } \{\text{True}, \text{False}\}$
define *y* **where** $y = \text{pmf-of-set } \{\text{True}, \text{False}\}$
define *f* **where** $f x = \text{pmf-of-set } \{\text{True}, \text{False}\}$ **for** $x :: \text{bool}$
define *g* :: *bool* \Rightarrow *bool pmf* **where** $g = \text{return-pmf}$
define *P* :: *bool* \Rightarrow *bool* \Rightarrow *bool* **where** $P = (=)$
have *rel-pmf P* (*bind-pmf x f*) (*bind-pmf y g*)
by(*simp add: P-def f-def[abs-def] g-def y-def bind-return-pmf' pmf.rel-eq*)
have $\neg R x y$ **if** $\bigwedge x y. R x y \implies \text{rel-pmf } P (f x) (g y)$ **for** $R x y$
– Only the empty relation satisfies *rel-pmf-bindI*'s second premise.

```

proof
  assume  $R\ x\ y$ 
  hence  $rel\text{-}pmf\ P\ (f\ x)\ (g\ y)$  by(rule that)
  thus  $False$  by(auto simp add: P-def f-def g-def rel-pmf-return-pmf2)
qed
define  $R$  where  $R\ x\ y = False$  for  $x\ y :: bool$ 
have  $\neg\ rel\text{-}pmf\ R\ x\ y$  by(simp add: R-def[abs-def])
end

```

```

lemma pred-rel-pmf:
   $\llbracket\ pred\text{-}pmf\ P\ p;\ rel\text{-}pmf\ R\ p\ q\ \rrbracket \implies pred\text{-}pmf\ (Imagep\ R\ P)\ q$ 
unfolding pred-pmf-def
apply(rule ballI)
apply(unfold rel-pmf.simps)
apply(erule exE conjE)+
apply hypsubst
apply(unfold pmf.set-map)
apply(erule imageE, hypsubst)
apply(drule bspec)
  apply(erule rev-image-eqI)
  apply(rule refl)
apply(erule Imagep.intros)
apply(erule allE)+
  apply(erule mp)
apply(unfold prod.collapse)
apply assumption
done

```

```

lemma pmf-rel-mono':  $\llbracket\ rel\text{-}pmf\ P\ x\ y;\ P \leq Q\ \rrbracket \implies rel\text{-}pmf\ Q\ x\ y$ 
by(drule pmf.rel-mono) (auto)

```

```

lemma rel-pmf-eqI [simp]:  $rel\text{-}pmf\ (=)\ x\ x$ 
by(simp add: pmf.rel-eq)

```

```

lemma rel-pmf-bind-reflI:
   $(\bigwedge x. x \in set\text{-}pmf\ p \implies rel\text{-}pmf\ R\ (f\ x)\ (g\ x))$ 
   $\implies rel\text{-}pmf\ R\ (bind\text{-}pmf\ p\ f)\ (bind\text{-}pmf\ p\ g)$ 
by(rule rel-pmf-bindI[where R= $\lambda x\ y. x = y \wedge x \in set\text{-}pmf\ p$ ](auto intro: rel-pmf-reflI))

```

```

lemma pmf-pred-mono-strong:
   $\llbracket\ pred\text{-}pmf\ P\ p;\ \bigwedge a. \llbracket\ a \in set\text{-}pmf\ p;\ P\ a\ \rrbracket \implies P'\ a\ \rrbracket \implies pred\text{-}pmf\ P'\ p$ 
by(simp add: pred-pmf-def)

```

```

lemma rel-pmf-restrict-relpI [intro?]:
   $\llbracket\ rel\text{-}pmf\ R\ x\ y;\ pred\text{-}pmf\ P\ x;\ pred\text{-}pmf\ Q\ y\ \rrbracket \implies rel\text{-}pmf\ (R \upharpoonright P \otimes Q)\ x\ y$ 
by(erule pmf.rel-mono-strong)(simp add: pred-pmf-def)

```

```

lemma rel-pmf-restrict-relpE [elim?]:
  assumes  $rel\text{-}pmf\ (R \upharpoonright P \otimes Q)\ x\ y$ 

```

obtains $rel\text{-}pmf\ R\ x\ y\ pred\text{-}pmf\ P\ x\ pred\text{-}pmf\ Q\ y$
proof
show $rel\text{-}pmf\ R\ x\ y$ **using** $assms$ **by**($auto\ elim!$: $pmf.rel\text{-}mono\text{-}strong$)
have $pred\text{-}pmf\ (Domainp\ (R\ \upharpoonright\ P\ \otimes\ Q))\ x$ **using** $assms$ **by**($fold\ pmf.Domainp\text{-}rel$)
 $blast$
then show $pred\text{-}pmf\ P\ x$ **by**($rule\ pmf\text{-}pred\text{-}mono\text{-}strong$)($blast\ dest!$: $restrict\text{-}relp\text{-}DomainpD$)
have $pred\text{-}pmf\ (Domainp\ (R\ \upharpoonright\ P\ \otimes\ Q))^{-1-1}\ y$ **using** $assms$
by($fold\ pmf.Domainp\text{-}rel$)($auto\ simp\ only$: $pmf.rel\text{-}conversep\ Domainp\text{-}conversep$)
then show $pred\text{-}pmf\ Q\ y$ **by**($rule\ pmf\text{-}pred\text{-}mono\text{-}strong$)($auto\ dest!$: $restrict\text{-}relp\text{-}DomainpD$)
qed

lemma $rel\text{-}pmf\text{-}restrict\text{-}relp\text{-}iff$:
 $rel\text{-}pmf\ (R\ \upharpoonright\ P\ \otimes\ Q)\ x\ y \iff rel\text{-}pmf\ R\ x\ y \wedge pred\text{-}pmf\ P\ x \wedge pred\text{-}pmf\ Q\ y$
by($blast\ intro$: $rel\text{-}pmf\text{-}restrict\text{-}relpI\ elim$: $rel\text{-}pmf\text{-}restrict\text{-}relpE$)

lemma $rel\text{-}pmf\text{-}OO\text{-}trans$ [$trans$]:
 $\llbracket rel\text{-}pmf\ R\ p\ q; rel\text{-}pmf\ S\ q\ r \rrbracket \implies rel\text{-}pmf\ (R\ OO\ S)\ p\ r$
unfolding $pmf.rel\text{-}compp$ **by** $blast$

lemma $pmf\text{-}pred\text{-}map$ [$simp$]: $pred\text{-}pmf\ P\ (map\text{-}pmf\ f\ p) = pred\text{-}pmf\ (P\ \circ\ f)\ p$
by($simp\ add$: $pred\text{-}pmf\text{-}def$)

lemma $pred\text{-}pmf\text{-}bind$ [$simp$]: $pred\text{-}pmf\ P\ (bind\text{-}pmf\ p\ f) = pred\text{-}pmf\ (pred\text{-}pmf\ P\ \circ\ f)\ p$
by($simp\ add$: $pred\text{-}pmf\text{-}def$)

lemma $pred\text{-}pmf\text{-}return$ [$simp$]: $pred\text{-}pmf\ P\ (return\text{-}pmf\ x) = P\ x$
by($simp\ add$: $pred\text{-}pmf\text{-}def$)

lemma $pred\text{-}pmf\text{-}of\text{-}set$ [$simp$]: $\llbracket finite\ A; A \neq \{\} \rrbracket \implies pred\text{-}pmf\ P\ (pmf\text{-}of\text{-}set\ A) = Ball\ A\ P$
by($simp\ add$: $pred\text{-}pmf\text{-}def$)

lemma $pred\text{-}pmf\text{-}of\text{-}multiset$ [$simp$]: $M \neq \{\#\} \implies pred\text{-}pmf\ P\ (pmf\text{-}of\text{-}multiset\ M) = Ball\ (set\text{-}mset\ M)\ P$
by($simp\ add$: $pred\text{-}pmf\text{-}def$)

lemma $pred\text{-}pmf\text{-}cond$ [$simp$]:
 $set\text{-}pmf\ p \cap A \neq \{\} \implies pred\text{-}pmf\ P\ (cond\text{-}pmf\ p\ A) = pred\text{-}pmf\ (\lambda x. x \in A \longrightarrow P\ x)\ p$
by($auto\ simp\ add$: $pred\text{-}pmf\text{-}def$)

lemma $pred\text{-}pmf\text{-}pair$ [$simp$]:
 $pred\text{-}pmf\ P\ (pair\text{-}pmf\ p\ q) = pred\text{-}pmf\ (\lambda x. pred\text{-}pmf\ (P\ \circ\ Pair\ x)\ q)\ p$
by($simp\ add$: $pred\text{-}pmf\text{-}def$)

lemma $pred\text{-}pmf\text{-}join$ [$simp$]: $pred\text{-}pmf\ P\ (join\text{-}pmf\ p) = pred\text{-}pmf\ (pred\text{-}pmf\ P)\ p$
by($simp\ add$: $pred\text{-}pmf\text{-}def$)

lemma *pred-pmf-bernoulli* [simp]: $\llbracket 0 < p; p < 1 \rrbracket \implies \text{pred-pmf } P \text{ (bernoulli-pmf } p) = \text{All } P$

by(*simp add: pred-pmf-def*)

lemma *pred-pmf-geometric* [simp]: $\llbracket 0 < p; p < 1 \rrbracket \implies \text{pred-pmf } P \text{ (geometric-pmf } p) = \text{All } P$

by(*simp add: pred-pmf-def set-pmf-geometric*)

lemma *pred-pmf-poisson* [simp]: $0 < \text{rate} \implies \text{pred-pmf } P \text{ (poisson-pmf rate)} = \text{All } P$

by(*simp add: pred-pmf-def*)

lemma *pmf-rel-map-restrict-relp*:

shows *pmf-rel-map-restrict-relp1*: $\text{rel-pmf } (R \upharpoonright P \otimes Q) (\text{map-pmf } f \text{ } p) = \text{rel-pmf } (R \circ f \upharpoonright P \circ f \otimes Q) \text{ } p$

and *pmf-rel-map-restrict-relp2*: $\text{rel-pmf } (R \upharpoonright P \otimes Q) \text{ } p (\text{map-pmf } g \text{ } q) = \text{rel-pmf } ((\lambda x. R \text{ } x \circ g) \upharpoonright P \otimes Q \circ g) \text{ } p \text{ } q$

by(*simp-all add: pmf.rel-map restrict-relp-def fun-eq-iff*)

lemma *pred-pmf-conj* [simp]: $\text{pred-pmf } (\lambda x. P \text{ } x \wedge Q \text{ } x) = (\lambda x. \text{pred-pmf } P \text{ } x \wedge \text{pred-pmf } Q \text{ } x)$

by(*auto simp add: pred-pmf-def*)

lemma *pred-pmf-top* [simp]:

$\text{pred-pmf } (\lambda \cdot. \text{True}) = (\lambda \cdot. \text{True})$

by(*simp add: pred-pmf-def*)

lemma *rel-pmf-of-setI*:

assumes *A*: $A \neq \{\}$ *finite A*

and *B*: $B \neq \{\}$ *finite B*

and *card*: $\bigwedge X. X \subseteq A \implies \text{card } B * \text{card } X \leq \text{card } A * \text{card } \{y \in B. \exists x \in X. R \text{ } x \text{ } y\}$

shows $\text{rel-pmf } R \text{ (pmf-of-set } A) \text{ (pmf-of-set } B)$

apply(*rule rel-pmf-measureI*)

using *assms*

apply(*clarsimp simp add: measure-pmf-of-set card-gt-0-iff field-simps of-nat-mult[symmetric] simp del: of-nat-mult*)

apply(*subst mult.commute*)

apply(*erule meta-allE*)

apply(*erule meta-impE*)

prefer 2

apply(*erule order-trans*)

apply(*auto simp add: card-gt-0-iff intro: card-mono*)

done

1.21 Subprobability mass functions

lemma *ord-spmf-return-spmf1*: $\text{ord-spmf } R \text{ (return-spmf } x) \text{ } p \iff \text{lossless-spmf } p \wedge (\forall y \in \text{set-spmf } p. R \text{ } x \text{ } y)$

by(*auto simp add: rel-pmf-return-pmf1 ord-option.simps in-set-spmf lossless-iff-set-pmf-None Ball-def*) (*metis option.exhaust*)

lemma *ord-spmf-conv*:

ord-spmf R = rel-spmf R OO ord-spmf (=)
apply(*subst pmf.rel-compp[symmetric]*)
apply(*rule arg-cong[where f=rel-pmf]*)
apply(*rule ext*)
apply(*auto elim!: ord-option.cases option.rel-cases intro: option.rel-intros*)
done

lemma *ord-spmf-expand*:

NO-MATCH (=) R \implies ord-spmf R = rel-spmf R OO ord-spmf (=)
by(*rule ord-spmf-conv*)

lemma *ord-spmf-eqD-measure*: *ord-spmf (=) p q \implies measure (measure-spmf p)*

A \leq measure (measure-spmf q) A

by(*drule ord-spmf-eqD-measure-spmf*)(*simp add: le-measure measure-spmf.emmeasure-eq-measure*)

lemma *ord-spmf-measureD*:

assumes *ord-spmf R p q*
shows *measure (measure-spmf p) A \leq measure (measure-spmf q) {y. $\exists x \in A. R$*
x y}
(is ?lhs \leq ?rhs)

proof –

from *assms* **obtain** *p'* **where** ***: *rel-spmf R p p'* **and** ****: *ord-spmf (=) p' q*
by(*auto simp add: ord-spmf-expand*)
have *?lhs \leq measure (measure-spmf p') {y. $\exists x \in A. R$ x y}* **using** *** **by**(*rule*
rel-spmf-measureD)
also have *... \leq ?rhs* **using** **** **by**(*rule ord-spmf-eqD-measure*)
finally show *?thesis* .

qed

lemma *ord-spmf-bind-pmfI1*:

($\bigwedge x. x \in \text{set-pmf } p \implies \text{ord-spmf } R (f x) q \implies \text{ord-spmf } R (\text{bind-pmf } p f) q$)
apply(*rewrite at ord-spmf - - \sqsupset bind-return-pmf[symmetric, where f= λ - :: unit.*
q])
apply(*rule rel-pmf-bindI[where R= λ x y. x \in set-pmf p]*)
apply(*simp-all add: rel-pmf-return-pmf2*)
done

lemma *ord-spmf-bind-spmfI1*:

($\bigwedge x. x \in \text{set-spmf } p \implies \text{ord-spmf } R (f x) q \implies \text{ord-spmf } R (\text{bind-spmf } p f) q$)
unfolding *bind-spmf-def* **by**(*rule ord-spmf-bind-pmfI1*)(*auto split: option.split simp*
add: in-set-spmf)

lemma *spmf-of-set-empty*: *spmf-of-set {} = return-pmf None*

by(*simp add: spmf-of-set-def*)

lemma *rel-spmf-of-setI*:
assumes *card*: $\bigwedge X. X \subseteq A \implies \text{card } B * \text{card } X \leq \text{card } A * \text{card } \{y \in B. \exists x \in X. R \ x \ y\}$
and *eq*: $(\text{finite } A \wedge A \neq \{\}) \longleftrightarrow (\text{finite } B \wedge B \neq \{\})$
shows *rel-spmf* *R* (*spmf-of-set* *A*) (*spmf-of-set* *B*)
using *eq* **by** (*clarsimp simp add: spmf-of-set-def card rel-pmf-of-setI simp del: spmf-of-pmf-pmf-of-set cong: conj-cong*)

lemmas *map-bind-spmf = map-spmf-bind-spmf*

lemma *nn-integral-measure-spmf-conv-measure-pmf*:
assumes [*measurable*]: $f \in \text{borel-measurable } (\text{count-space } \text{UNIV})$
shows *nn-integral* (*measure-spmf* *p*) $f = \text{nn-integral } (\text{restrict-space } (\text{measure-pmf } p) (\text{range } \text{Some})) (f \circ \text{the})$
by (*simp add: measure-spmf-def nn-integral-distr o-def*)

lemma *nn-integral-spmf-neq-infinity*: $(\int^+ x. \text{spmf } p \ x \ \partial \text{count-space } \text{UNIV}) \neq \infty$
using *nn-integral-measure-spmf* [**where** $f = \lambda. 1$, *of* *p*, *symmetric*] **by** *simp*

lemma *return-pmf-bind-option*:
return-pmf (*Option.bind* *x* *f*) = *bind-spmf* (*return-pmf* *x*) (*return-pmf* \circ *f*)
by (*cases* *x*) *simp-all*

lemma *rel-spmf-pos-distr*: *rel-spmf* *A* *OO* *rel-spmf* *B* \leq *rel-spmf* (*A* *OO* *B*)
unfolding *option.rel-compp* *pmf.rel-compp* ..

lemma *rel-spmf-OO-trans* [*trans*]:
 $\llbracket \text{rel-spmf } R \ p \ q; \text{rel-spmf } S \ q \ r \rrbracket \implies \text{rel-spmf } (R \ \text{OO } S) \ p \ r$
by (*rule* *rel-spmf-pos-distr* [*THEN* *predicate2D*]) *auto*

lemma *map-spmf-eq-map-spmf-iff*: *map-spmf* *f* *p* = *map-spmf* *g* *q* \longleftrightarrow *rel-spmf* $(\lambda x \ y. f \ x = g \ y) \ p \ q$
by (*simp add: spmf-rel-eq* [*symmetric*] *spmf-rel-map*)

lemma *map-spmf-eq-map-spmfI*: *rel-spmf* $(\lambda x \ y. f \ x = g \ y) \ p \ q \implies \text{map-spmf } f \ p = \text{map-spmf } g \ q$
by (*simp add: map-spmf-eq-map-spmf-iff*)

lemma *spmf-rel-mono-strong*:
 $\llbracket \text{rel-spmf } A \ f \ g; \bigwedge x \ y. \llbracket x \in \text{set-spmf } f; y \in \text{set-spmf } g; A \ x \ y \rrbracket \implies B \ x \ y \rrbracket \implies \text{rel-spmf } B \ f \ g$
apply (*erule* *pmf.rel-mono-strong*)
apply (*erule* *option.rel-mono-strong*)
by (*clarsimp simp add: in-set-spmf*)

lemma *set-spmf-eq-empty*: *set-spmf* *p* = $\{\}$ \longleftrightarrow *p* = *return-pmf* *None*
by *auto* (*metis* *restrict-spmf-empty* *restrict-spmf-trivial*)

lemma *measure-pair-spmf-times*:

$measure (measure\text{-}spmf (pair\text{-}spmf p q)) (A \times B) = measure (measure\text{-}spmf p) A * measure (measure\text{-}spmf q) B$

proof –

have $emeasure (measure\text{-}spmf (pair\text{-}spmf p q)) (A \times B) = (\int^+ x. ennreal (spmf (pair\text{-}spmf p q) x) * indicator (A \times B) x \partial count\text{-}space UNIV)$

by(*simp add: nn-integral-spmf[symmetric] nn-integral-count-space-indicator*)

also have $\dots = (\int^+ x. (\int^+ y. (ennreal (spmf p x) * indicator A x) * (ennreal (spmf q y) * indicator B y) \partial count\text{-}space UNIV) \partial count\text{-}space UNIV)$

by(*subst nn-integral-fst-count-space[symmetric](auto intro!: nn-integral-cong split: split-indicator simp add: ennreal-mult)*)

also have $\dots = (\int^+ x. ennreal (spmf p x) * indicator A x * emeasure (measure\text{-}spmf q) B \partial count\text{-}space UNIV)$

by(*simp add: nn-integral-cmult nn-integral-spmf[symmetric] nn-integral-count-space-indicator*)

also have $\dots = emeasure (measure\text{-}spmf p) A * emeasure (measure\text{-}spmf q) B$

by(*simp add: nn-integral-multc)(simp add: nn-integral-spmf[symmetric] nn-integral-count-space-indicator*)

finally show *?thesis* **by**(*simp add: measure-spmf.emeasure-eq-measure ennreal-mult[symmetric]*)

qed

lemma *lossless-spmfD-set-spmf-nonempty*: $lossless\text{-}spmf p \implies set\text{-}spmf p \neq \{\}$

using *set-pmf-not-empty[of p]* **by**(*auto simp add: set-spmf-def bind-UNION lossless-iff-set-pmf-None*)

lemma *set-spmf-return-pmf*: $set\text{-}spmf (return\text{-}pmf x) = set\text{-}option x$

by(*cases x*) *simp-all*

lemma *bind-spmf-pmf-assoc*: $bind\text{-}spmf (bind\text{-}pmf p f) g = bind\text{-}pmf p (\lambda x. bind\text{-}spmf (f x) g)$

by(*simp add: bind-spmf-def bind-assoc-pmf*)

lemma *bind-spmf-of-set*: $\llbracket finite A; A \neq \{\} \rrbracket \implies bind\text{-}spmf (spmf\text{-}of\text{-}set A) f = bind\text{-}pmf (pmf\text{-}of\text{-}set A) f$

by(*simp add: spmf-of-set-def del: spmf-of-pmf-pmf-of-set*)

lemma *bind-spmf-map-pmf*:

$bind\text{-}spmf (map\text{-}pmf f p) g = bind\text{-}pmf p (\lambda x. bind\text{-}spmf (return\text{-}pmf (f x)) g)$

by(*simp add: map-pmf-def bind-spmf-def bind-assoc-pmf*)

lemma *rel-spmf-eqI* [*simp*]: $rel\text{-}spmf (=) x x$

by(*simp add: option.rel-eq*)

lemma *set-spmf-map-pmf*: $set\text{-}spmf (map\text{-}pmf f p) = (\bigcup x \in set\text{-}pmf p. set\text{-}option (f x))$

by(*simp add: set-spmf-def bind-UNION*)

lemma *ord-spmf-return-spmf* [*simp*]: $ord\text{-}spmf (=) (return\text{-}spmf x) p \iff p = return\text{-}spmf x$

proof –

have $p = return\text{-}spmf x \implies ord\text{-}spmf (=) (return\text{-}spmf x) p$ **by** *simp*

thus *?thesis*

by (*metis* (*no-types*) *ord-option-eq-simps*(2) *rel-pmf-return-pmf1* *rel-pmf-return-pmf2* *spmf.leq-antisym*)

qed

declare

set-bind-spmf [*simp*]

set-spmf-return-pmf [*simp*]

lemma *bind-spmf-pmf-commute*:

$bind\text{-}spmf\ p\ (\lambda x. bind\text{-}pmf\ q\ (f\ x)) = bind\text{-}pmf\ q\ (\lambda y. bind\text{-}spmf\ p\ (\lambda x. f\ x\ y))$

unfolding *bind-spmf-def*

by (*subst* *bind-commute-pmf*) (*auto* *intro*: *bind-pmf-cong*[*OF refl*] *split*: *option.split*)

lemma *return-pmf-map-option-conv-bind*:

$return\text{-}pmf\ (map\text{-}option\ f\ x) = bind\text{-}spmf\ (return\text{-}pmf\ x)\ (return\text{-}spmf\ \circ\ f)$

by (*cases* *x*) *simp-all*

lemma *lossless-return-pmf-iff* [*simp*]: $lossless\text{-}spmf\ (return\text{-}pmf\ x) \longleftrightarrow x \neq None$

by (*cases* *x*) *simp-all*

lemma *lossless-map-pmf*: $lossless\text{-}spmf\ (map\text{-}pmf\ f\ p) \longleftrightarrow (\forall x \in set\text{-}pmf\ p. f\ x \neq None)$

using *image-iff* **by** (*fastforce* *simp* *add*: *lossless-iff-set-pmf-None*)

lemma *bind-pmf-spmf-assoc*:

$g\ None = return\text{-}pmf\ None$

$\implies bind\text{-}pmf\ (bind\text{-}spmf\ p\ f)\ g = bind\text{-}spmf\ p\ (\lambda x. bind\text{-}pmf\ (f\ x)\ g)$

by (*auto* *simp* *add*: *bind-spmf-def* *bind-assoc-pmf* *bind-return-pmf* *fun-eq-iff* *intro!*: *arg-cong2*[**where** $f = bind\text{-}pmf$] *split*: *option.split*)

abbreviation *pred-spmf* :: $('a \Rightarrow bool) \Rightarrow 'a\ spmf \Rightarrow bool$

where $pred\text{-}spmf\ P \equiv pred\text{-}pmf\ (pred\text{-}option\ P)$

lemma *pred-spmf-def*: $pred\text{-}spmf\ P\ p \longleftrightarrow (\forall x \in set\text{-}spmf\ p. P\ x)$

by (*auto* *simp* *add*: *pred-pmf-def* *pred-option-def* *set-spmf-def*)

lemma *spmf-pred-mono-strong*:

$\llbracket pred\text{-}spmf\ P\ p; \bigwedge a. \llbracket a \in set\text{-}spmf\ p; P\ a \rrbracket \implies P'\ a \rrbracket \implies pred\text{-}spmf\ P'\ p$

by (*simp* *add*: *pred-spmf-def*)

lemma *spmf-Domainp-rel*: $Domainp\ (rel\text{-}spmf\ R) = pred\text{-}spmf\ (Domainp\ R)$

by (*simp* *add*: *pmf.Domainp-rel* *option.Domainp-rel*)

lemma *rel-spmf-restrict-relpI* [*intro?*]:

$\llbracket rel\text{-}spmf\ R\ p\ q; pred\text{-}spmf\ P\ p; pred\text{-}spmf\ Q\ q \rrbracket \implies rel\text{-}spmf\ (R \upharpoonright P \otimes Q)\ p\ q$

by (*erule* *spmf-rel-mono-strong*) (*simp* *add*: *pred-spmf-def*)

lemma *rel-spmf-restrict-relpE* [*elim?*]:

assumes $rel\text{-}spmf\ (R \upharpoonright P \otimes Q)\ x\ y$

obtains $rel\text{-}spmf\ R\ x\ y\ pred\text{-}spmf\ P\ x\ pred\text{-}spmf\ Q\ y$
proof
show $rel\text{-}spmf\ R\ x\ y$ **using** $assms$ **by** $(auto\ elim!:\ spmf\text{-}rel\text{-}mono\text{-}strong)$
have $pred\text{-}spmf\ (Domainp\ (R\ \upharpoonright\ P\ \otimes\ Q))\ x$ **using** $assms$ **by** $(fold\ spmf\text{-}Domainp\text{-}rel)$
 $blast$
then show $pred\text{-}spmf\ P\ x$ **by** $(rule\ spmf\text{-}pred\text{-}mono\text{-}strong)$ $(blast\ dest!:\ restrict\text{-}relp\text{-}DomainpD)$
have $pred\text{-}spmf\ (Domainp\ (R\ \upharpoonright\ P\ \otimes\ Q))^{-1-1}\ y$ **using** $assms$
by $(fold\ spmf\text{-}Domainp\text{-}rel)$ $(auto\ simp\ only:\ spmf\text{-}rel\text{-}conversep\ Domainp\text{-}conversep)$
then show $pred\text{-}spmf\ Q\ y$ **by** $(rule\ spmf\text{-}pred\text{-}mono\text{-}strong)$ $(auto\ dest!:\ restrict\text{-}relp\text{-}DomainpD)$
qed

lemma $rel\text{-}spmf\text{-}restrict\text{-}relp\text{-}iff$:
 $rel\text{-}spmf\ (R\ \upharpoonright\ P\ \otimes\ Q)\ x\ y \longleftrightarrow rel\text{-}spmf\ R\ x\ y \wedge pred\text{-}spmf\ P\ x \wedge pred\text{-}spmf\ Q\ y$
by $(blast\ intro:\ rel\text{-}spmf\text{-}restrict\text{-}relpI\ elim:\ rel\text{-}spmf\text{-}restrict\text{-}relpE)$

lemma $spmf\text{-}pred\text{-}map$: $pred\text{-}spmf\ P\ (map\text{-}spmf\ f\ p) = pred\text{-}spmf\ (P\ \circ\ f)\ p$
by $(simp)$

lemma $pred\text{-}spmf\text{-}bind$ $[simp]$: $pred\text{-}spmf\ P\ (bind\text{-}spmf\ p\ f) = pred\text{-}spmf\ (pred\text{-}spmf\ P\ \circ\ f)\ p$
by $(simp\ add:\ pred\text{-}spmf\text{-}def\ bind\text{-}UNION)$

lemma $pred\text{-}spmf\text{-}return$: $pred\text{-}spmf\ P\ (return\text{-}spmf\ x) = P\ x$
by $simp$

lemma $pred\text{-}spmf\text{-}return\text{-}pmf\text{-}None$: $pred\text{-}spmf\ P\ (return\text{-}pmf\ None)$
by $simp$

lemma $pred\text{-}spmf\text{-}spmf\text{-}of\text{-}pmf$ $[simp]$: $pred\text{-}spmf\ P\ (spmf\text{-}of\text{-}pmf\ p) = pred\text{-}pmf\ P\ p$
unfolding $pred\text{-}spmf\text{-}def$ **by** $(simp\ add:\ pred\text{-}pmf\text{-}def)$

lemma $pred\text{-}spmf\text{-}of\text{-}set$ $[simp]$: $pred\text{-}spmf\ P\ (spmf\text{-}of\text{-}set\ A) = (finite\ A \longrightarrow Ball\ A\ P)$
by $(auto\ simp\ add:\ pred\text{-}spmf\text{-}def\ set\text{-}spmf\text{-}of\text{-}set)$

lemma $pred\text{-}spmf\text{-}assert\text{-}spmf$ $[simp]$: $pred\text{-}spmf\ P\ (assert\text{-}spmf\ b) = (b \longrightarrow P\ ())$
by $(cases\ b)\ simp\text{-}all$

lemma $pred\text{-}spmf\text{-}pair$ $[simp]$:
 $pred\text{-}spmf\ P\ (pair\text{-}spmf\ p\ q) = pred\text{-}spmf\ (\lambda x.\ pred\text{-}spmf\ (P\ \circ\ Pair\ x)\ q)\ p$
by $(simp\ add:\ pred\text{-}spmf\text{-}def)$

lemma $set\text{-}spmf\text{-}try$ $[simp]$:
 $set\text{-}spmf\ (try\text{-}spmf\ p\ q) = set\text{-}spmf\ p \cup (if\ lossless\text{-}spmf\ p\ then\ \{\}\ else\ set\text{-}spmf\ q)$
by $(auto\ simp\ add:\ try\text{-}spmf\text{-}def\ set\text{-}spmf\text{-}bind\text{-}pmf\ in\text{-}set\text{-}spmf\ lossless\text{-}iff\ set\text{-}pmf\text{-}None\ split:\ option.\ splits)(metis\ option.\ collapse)$

lemma *try-spmf-bind-out1*:
 $(\bigwedge x. \text{lossless-spmf } (f x)) \implies \text{bind-spmf } (\text{TRY } p \text{ ELSE } q) f = \text{TRY } (\text{bind-spmf } p f) \text{ ELSE } (\text{bind-spmf } q f)$
apply(*clarsimp simp add: bind-spmf-def try-spmf-def bind-assoc-pmf bind-return-pmf intro!: bind-pmf-cong[OF refl] split: option.split*)
apply(*rewrite in $\sqsupset = - \text{bind-return-pmf}'$ [symmetric]*)
apply(*rule bind-pmf-cong[OF refl]*)
apply(*clarsimp split: option.split simp add: lossless-iff-set-pmf-None*)
done

lemma *pred-spmf-try* [*simp*]:
 $\text{pred-spmf } P (\text{try-spmf } p q) = (\text{pred-spmf } P p \wedge (\neg \text{lossless-spmf } p \longrightarrow \text{pred-spmf } P q))$
by(*auto simp add: pred-spmf-def*)

lemma *pred-spmf-cond* [*simp*]:
 $\text{pred-spmf } P (\text{cond-spmf } p A) = \text{pred-spmf } (\lambda x. x \in A \longrightarrow P x) p$
by(*auto simp add: pred-spmf-def*)

lemma *spmof-rel-map-restrict-relp*:
shows *spmof-rel-map-restrict-relp1*: $\text{rel-spmf } (R \upharpoonright P \otimes Q) (\text{map-spmf } f p) = \text{rel-spmf } (R \circ f \upharpoonright P \circ f \otimes Q) p$
and *spmof-rel-map-restrict-relp2*: $\text{rel-spmf } (R \upharpoonright P \otimes Q) p (\text{map-spmf } g q) = \text{rel-spmf } ((\lambda x. R x \circ g) \upharpoonright P \otimes Q \circ g) p q$
by(*simp-all add: spmf-rel-map restrict-relp-def*)

lemma *pred-spmf-conj*: $\text{pred-spmf } (\lambda x. P x \wedge Q x) = (\lambda x. \text{pred-spmf } P x \wedge \text{pred-spmf } Q x)$
by *simp*

lemma *spmof-of-pmf-parametric* [*transfer-rule*]:
includes *lifting-syntax shows*
 $(\text{rel-pmf } A \implies \text{rel-spmf } A) \text{ spmf-of-pmf spmf-of-pmf}$
unfolding *spmof-of-pmf-def*[*abs-def*] **by** *transfer-prover*

lemma *mono2mono-return-pmf*[*THEN spmf.mono2mono, simp, cont-intro*]:
shows *monotone-return-pmf*: $\text{monotone option-ord } (\text{ord-spmf } (=)) \text{ return-pmf}$
by(*rule monotoneI*)(*auto simp add: flat-ord-def*)

lemma *mcont2mcont-return-pmf*[*THEN spmf.mcont2mcont, simp, cont-intro*]:
shows *mcont-return-pmf*: $\text{mcont } (\text{flat-lub } \text{None}) \text{ option-ord lub-spmf } (\text{ord-spmf } (=)) \text{ return-pmf}$
by(*rule mcont-finite-chains*[*OF - - flat-interpretation*[*THEN ccpo*] *ccpo-spmf*]) *simp-all*

lemma *pred-spmf-top*:
 $\text{pred-spmf } (\lambda -. \text{True}) = (\lambda -. \text{True})$
by(*simp*)

lemma *rel-spmf-restrict-relpI'* [intro?]:
 $\llbracket \text{rel-spmf } (\lambda x y. P x \longrightarrow Q y \longrightarrow R x y) p q; \text{pred-spmf } P p; \text{pred-spmf } Q q \rrbracket$
 $\implies \text{rel-spmf } (R \upharpoonright P \otimes Q) p q$
by(*erule spmf-rel-mono-strong*)(*simp add: pred-spmf-def*)

lemma *set-spmf-map-pmf-MATCH* [simp]:
assumes *NO-MATCH* (*map-option g*) *f*
shows *set-spmf* (*map-pmf f p*) = $(\bigcup x \in \text{set-pmf } p. \text{set-option } (f x))$
by(*rule set-spmf-map-pmf*)

lemma *rel-spmf-bindI'*:
 $\llbracket \text{rel-spmf } A p q; \bigwedge x y. \llbracket A x y; x \in \text{set-spmf } p; y \in \text{set-spmf } q \rrbracket \implies \text{rel-spmf } B$
 $(f x) (g y) \rrbracket$
 $\implies \text{rel-spmf } B (p \ggg f) (q \ggg g)$
apply(*rule rel-spmf-bindI*[**where** $R = \lambda x y. A x y \wedge x \in \text{set-spmf } p \wedge y \in \text{set-spmf } q$])
apply(*erule spmf-rel-mono-strong; simp*)
apply *simp*
done

1.21.1 Embedding of 'a option into 'a spmf

This theoretically follows from the embedding between - *Monomorphic-Monad.id* into - *prob* and the isomorphism between $(-, - \text{prob}) \text{optionT}$ and - *spmf*, but we would only get the monomorphic version via this connection. So we do it directly.

lemma *bind-option-spmf-monad* [simp]: *monad.bind-option* (*return-pmf None*) *x*
= *bind-spmf* (*return-pmf x*)
by(*cases x*)(*simp-all add: fun-eq-iff*)

locale *option-to-spmf begin*

We have to get the embedding into the lifting package such that we can use the parametrisation of transfer rules.

definition *the-pmf* :: 'a pmf \Rightarrow 'a **where** *the-pmf p* = (*THE x. p = return-pmf x*)

lemma *the-pmf-return* [simp]: *the-pmf* (*return-pmf x*) = *x*
by(*simp add: the-pmf-def*)

lemma *type-definition-option-spmf*: *type-definition return-pmf the-pmf* {*x. $\exists y ::$*
'*a option. x = return-pmf y*}
by *unfold-locales(auto)*

context begin

private setup-lifting *type-definition-option-spmf*

abbreviation *cr-spmf-option* **where** *cr-spmf-option* \equiv *cr-option*

abbreviation *pcr-spmf-option* **where** *pcr-spmf-option* \equiv *pcr-option*

```

lemmas Quotient-spmf-option = Quotient-option
  and cr-spmf-option-def = cr-option-def
  and pcr-spmf-option-bi-unique = option.bi-unique
  and Domainp-pcr-spmf-option = option.domain
  and Domainp-pcr-spmf-option-eq = option.domain-eq
  and Domainp-pcr-spmf-option-par = option.domain-par
  and Domainp-pcr-spmf-option-left-total = option.domain-par-left-total
  and pcr-spmf-option-left-unique = option.left-unique
  and pcr-spmf-option-cr-eq = option.pcr-cr-eq
  and pcr-spmf-option-return-pmf-transfer = option.rep-transfer
  and pcr-spmf-option-right-total = option.right-total
  and pcr-spmf-option-right-unique = option.right-unique
  and pcr-spmf-option-def = pcr-option-def
bundle spmf-option-lifting = [[Lifting.lifting-restore-internal Misc-CryptHOL.option.lifting]]
end

```

context includes *lifting-syntax* **begin**

```

lemma return-option-spmf-transfer [transfer-parametric return-spmf-parametric,
transfer-rule]:

```

```

  ((=) ==> cr-spmf-option) return-spmf Some
by(rule rel-funI)(simp add: cr-spmf-option-def)

```

```

lemma map-option-spmf-transfer [transfer-parametric map-spmf-parametric, transfer-rule]:
  (((=) ==> (=)) ==> cr-spmf-option ==> cr-spmf-option) map-spmf
map-option

```

```

unfolding rel-fun-eq by(auto simp add: rel-fun-def cr-spmf-option-def)

```

```

lemma fail-option-spmf-transfer [transfer-parametric return-spmf-None-parametric,
transfer-rule]:

```

```

  cr-spmf-option (return-pmf None) None
by(simp add: cr-spmf-option-def)

```

```

lemma bind-option-spmf-transfer [transfer-parametric bind-spmf-parametric, transfer-rule]:
  (cr-spmf-option ==> ((=) ==> cr-spmf-option) ==> cr-spmf-option)

```

```

bind-spmf Option.bind

```

```

apply(clarsimp simp add: rel-fun-def cr-spmf-option-def)

```

```

subgoal for x f g by(cases x; simp)

```

```

done

```

```

lemma set-option-spmf-transfer [transfer-parametric set-spmf-parametric, transfer-rule]:

```

```

  (cr-spmf-option ==> rel-set (=)) set-spmf set-option
by(clarsimp simp add: rel-fun-def cr-spmf-option-def rel-set-eq)

```

```

lemma rel-option-spmf-transfer [transfer-parametric rel-spmf-parametric, transfer-rule]:

```

```

  (((=) ==> (=) ==> (=)) ==> cr-spmf-option ==> cr-spmf-option
==> (=)) rel-spmf rel-option

```

```

unfolding rel-fun-eq by(simp add: rel-fun-def cr-spmf-option-def)

```

end

end

locale *option-le-spmf* **begin**

Embedding where only successful computations in the option monad are related to Dirac spmf.

definition *cr-option-le-spmf* :: 'a option \Rightarrow 'a spmf \Rightarrow bool
where *cr-option-le-spmf* $x\ p \longleftrightarrow$ *ord-spmf* (=) (return-pmf x) p

context includes *lifting-syntax* **begin**

lemma *return-option-le-spmf-transfer* [*transfer-rule*]:
((=) \implies *cr-option-le-spmf*) ($\lambda x. x$) *return-pmf*
by(rule *rel-funI*)(*simp add: cr-option-le-spmf-def ord-option-reflI*)

lemma *map-option-le-spmf-transfer* [*transfer-rule*]:
(((=) \implies (=)) \implies *cr-option-le-spmf* \implies *cr-option-le-spmf*) *map-option*
map-spmf

unfolding *rel-fun-eq*

apply(*clarsimp simp add: rel-fun-def cr-option-le-spmf-def rel-pmf-return-pmf1 ord-option-map1 ord-option-map2*)

subgoal for $f\ x\ p\ y$ **by**(*cases x; simp add: ord-option-reflI*)

done

lemma *bind-option-le-spmf-transfer* [*transfer-rule*]:
(*cr-option-le-spmf* \implies ((=) \implies *cr-option-le-spmf*) \implies *cr-option-le-spmf*)
Option.bind bind-spmf

apply(*clarsimp simp add: rel-fun-def cr-option-le-spmf-def*)

subgoal for $x\ p\ f\ g$ **by**(*cases x; auto 4 3 simp add: rel-pmf-return-pmf1 set-pmf-bind-spmf*)

done

end

end

interpretation *rel-spmf-characterisation* **by** *unfold-locales(rule rel-pmf-measureI)*

lemma *if-distrib-bind-spmf1* [*if-distrib*]:
bind-spmf (*if b then x else y*) $f =$ (*if b then bind-spmf x f else bind-spmf y f*)
by *simp*

lemma *if-distrib-bind-spmf2* [*if-distrib*]:
bind-spmf x ($\lambda y. \text{if } b \text{ then } f\ y \text{ else } g\ y$) = (*if b then bind-spmf x f else bind-spmf x g*)
by *simp*

lemma *rel-spmf-if-distrib* [*if-distrib*]:
 $rel\text{-}spm\ f\ R\ (if\ b\ then\ x\ else\ y)\ (if\ b\ then\ x'\ else\ y') \longleftrightarrow$
 $(b \longrightarrow rel\text{-}spm\ f\ R\ x\ x') \wedge (\neg b \longrightarrow rel\text{-}spm\ f\ R\ y\ y')$
by (*simp*)

lemma *if-distrib-map-spmf* [*if-distrib*]:
 $map\text{-}spm\ f\ (if\ b\ then\ p\ else\ q) = (if\ b\ then\ map\text{-}spm\ f\ p\ else\ map\text{-}spm\ f\ q)$
by *simp*

lemma *if-distrib-restrict-spmf1* [*if-distrib*]:
 $restrict\text{-}spm\ (if\ b\ then\ p\ else\ q)\ A = (if\ b\ then\ restrict\text{-}spm\ p\ A\ else\ restrict\text{-}spm\ q\ A)$
by *simp*

end
theory *Set-Applicative* **imports**
Applicative-Lifting.Applicative-Set
begin

1.22 Applicative instance for 'a set

lemma *ap-set-conv-bind*: $ap\text{-}set\ f\ x = Set.\text{bind}\ f\ (\lambda f.\ Set.\text{bind}\ x\ (\lambda x.\ \{f\ x\}))$
by (*auto simp add: ap-set-def bind-UNION*)

context **includes** *applicative-syntax* **begin**

lemma *in-ap-setI*: $\llbracket f' \in f; x' \in x \rrbracket \Longrightarrow f' x' \in f \diamond x$
by (*auto simp add: ap-set-def*)

lemma *in-ap-setE* [*elim!*]:
 $\llbracket x \in f \diamond y; \bigwedge f' y'. \llbracket x = f' y'; f' \in f; y' \in y \rrbracket \Longrightarrow thesis \rrbracket \Longrightarrow thesis$
by (*auto simp add: ap-set-def*)

lemma *in-ap-pure-set* [*iff*]: $x \in \{f\} \diamond y \longleftrightarrow (\exists y' \in y. x = f y')$
unfolding *ap-set-def* **by** *auto*

end

end
theory *SPMF-Applicative* **imports**
Applicative-Lifting.Applicative-PMF
Set-Applicative
HOL-Probability.SPMF
begin

1.23 Applicative instance for 'a spmf

abbreviation (*input*) *pure-spmf* :: 'a \Rightarrow 'a *spmf*
where *pure-spmf* \equiv *return-spmf*

definition $ap\text{-}spmf :: ('a \Rightarrow 'b) \text{ } spmf \Rightarrow 'a \text{ } spmf \Rightarrow 'b \text{ } spmf$
where $ap\text{-}spmf \text{ } f \text{ } x = map\text{-}spmf (\lambda(f, x). f \text{ } x) (pair\text{-}spmf \text{ } f \text{ } x)$

lemma $ap\text{-}spmf\text{-}conv\text{-}bind$: $ap\text{-}spmf \text{ } f \text{ } x = bind\text{-}spmf \text{ } f (\lambda f. bind\text{-}spmf \text{ } x (\lambda x. return\text{-}spmf (f \text{ } x)))$
by($simp \text{ } add$: $ap\text{-}spmf\text{-}def \text{ } map\text{-}spmf\text{-}conv\text{-}bind\text{-}spmf \text{ } pair\text{-}spmf\text{-}alt\text{-}def$)

adhoc-overloading $Applicative.ap \text{ } ap\text{-}spmf$

context includes $applicative\text{-}syntax$ **begin**

lemma $ap\text{-}spmf\text{-}id$: $pure\text{-}spmf (\lambda x. x) \diamond x = x$
by($simp \text{ } add$: $ap\text{-}spmf\text{-}def \text{ } pair\text{-}spmf\text{-}return\text{-}spmf1 \text{ } spmf.map\text{-}comp \text{ } o\text{-}def$)

lemma $ap\text{-}spmf\text{-}comp$: $pure\text{-}spmf (\circ) \diamond u \diamond v \diamond w = u \diamond (v \diamond w)$
by($simp \text{ } add$: $ap\text{-}spmf\text{-}def \text{ } pair\text{-}spmf\text{-}return\text{-}spmf1 \text{ } pair\text{-}map\text{-}spmf1 \text{ } pair\text{-}map\text{-}spmf2 \text{ } spmf.map\text{-}comp \text{ } o\text{-}def \text{ } split\text{-}def \text{ } pair\text{-}pair\text{-}spmf$)

lemma $ap\text{-}spmf\text{-}homo$: $pure\text{-}spmf \text{ } f \diamond pure\text{-}spmf \text{ } x = pure\text{-}spmf (f \text{ } x)$
by($simp \text{ } add$: $ap\text{-}spmf\text{-}def \text{ } pair\text{-}spmf\text{-}return\text{-}spmf1$)

lemma $ap\text{-}spmf\text{-}interchange$: $u \diamond pure\text{-}spmf \text{ } x = pure\text{-}spmf (\lambda f. f \text{ } x) \diamond u$
by($simp \text{ } add$: $ap\text{-}spmf\text{-}def \text{ } pair\text{-}spmf\text{-}return\text{-}spmf1 \text{ } pair\text{-}spmf\text{-}return\text{-}spmf2 \text{ } spmf.map\text{-}comp \text{ } o\text{-}def$)

lemma $ap\text{-}spmf\text{-}C$: $return\text{-}spmf (\lambda f \text{ } x \text{ } y. f \text{ } y \text{ } x) \diamond f \diamond x \diamond y = f \diamond y \diamond x$
apply($simp \text{ } add$: $ap\text{-}spmf\text{-}def \text{ } pair\text{-}map\text{-}spmf1 \text{ } spmf.map\text{-}comp \text{ } pair\text{-}spmf\text{-}return\text{-}spmf1 \text{ } pair\text{-}pair\text{-}spmf \text{ } o\text{-}def \text{ } split\text{-}def$)
apply($subst (2) \text{ } pair\text{-}commute\text{-}spmf$)
apply($simp \text{ } add$: $pair\text{-}map\text{-}spmf2 \text{ } spmf.map\text{-}comp \text{ } o\text{-}def \text{ } split\text{-}def$)
done

applicative $spmf (C)$

for

$pure$: $pure\text{-}spmf$

ap : $ap\text{-}spmf$

by($rule \text{ } ap\text{-}spmf\text{-}id \text{ } ap\text{-}spmf\text{-}comp[unfolded \text{ } o\text{-}def[abs\text{-}def]] \text{ } ap\text{-}spmf\text{-}homo \text{ } ap\text{-}spmf\text{-}interchange \text{ } ap\text{-}spmf\text{-}C$)**+**

lemma $set\text{-}ap\text{-}spmf$ [$simp$]: $set\text{-}spmf (p \diamond q) = set\text{-}spmf \text{ } p \diamond set\text{-}spmf \text{ } q$
by($auto \text{ } simp \text{ } add$: $ap\text{-}spmf\text{-}def \text{ } ap\text{-}set\text{-}def$)

lemma $bind\text{-}ap\text{-}spmf$: $bind\text{-}spmf (p \diamond x) \text{ } f = bind\text{-}spmf \text{ } p (\lambda p. x \gg= (\lambda x. f (p \text{ } x)))$
by($simp \text{ } add$: $ap\text{-}spmf\text{-}conv\text{-}bind$)

lemma $bind\text{-}pmf\text{-}ap\text{-}return\text{-}spmf$ [$simp$]: $bind\text{-}pmf (ap\text{-}spmf (return\text{-}spmf \text{ } f) \text{ } p) \text{ } g = bind\text{-}pmf \text{ } p (g \circ map\text{-}option \text{ } f)$
by($auto \text{ } simp \text{ } add$: $ap\text{-}spmf\text{-}conv\text{-}bind \text{ } bind\text{-}spmf\text{-}def \text{ } bind\text{-}return\text{-}pmf \text{ } bind\text{-}assoc\text{-}pmf$)

intro: bind-pmf-cong split: option.split)

lemma *map-spmf-conv-ap* [*applicative-unfold*]: $\text{map-spmf } f \ p = \text{return-spmf } f \ \diamond \ p$
by(*simp add: map-spmf-conv-bind-spmf ap-spmf-conv-bind*)

end

end

1.24 Exclusive or on lists

theory *List-Bits* **imports** *Misc-CryptHOL* **begin**

definition *xor* :: $'a \Rightarrow 'a \Rightarrow 'a :: \{\text{uminus}, \text{inf}, \text{sup}\}$ (**infixr** \oplus 67)
where $x \oplus y = \text{inf } (\text{sup } x \ y) \ (- \ (\text{inf } x \ y))$

lemma *xor-bool-def* [*iff*]: **fixes** $x \ y :: \text{bool}$ **shows** $x \oplus y \longleftrightarrow x \neq y$
by(*auto simp add: xor-def*)

lemma *xor-commute*:
fixes $x \ y :: 'a :: \{\text{semilattice-sup}, \text{semilattice-inf}, \text{uminus}\}$
shows $x \oplus y = y \oplus x$
by(*simp add: xor-def sup commute inf commute*)

lemma *xor-assoc*:
fixes $x \ y :: 'a :: \text{boolean-algebra}$
shows $(x \oplus y) \oplus z = x \oplus (y \oplus z)$
by(*simp add: xor-def inf-sup-aci inf-sup-distrib1 inf-sup-distrib2*)

lemma *xor-left-commute*:
fixes $x \ y :: 'a :: \text{boolean-algebra}$
shows $x \oplus (y \oplus z) = y \oplus (x \oplus z)$
by (*metis xor-assoc xor-commute*)

lemma [*simp*]:
fixes $x :: 'a :: \text{boolean-algebra}$
shows *xor-bot*: $x \oplus \text{bot} = x$
and *bot-xor*: $\text{bot} \oplus x = x$
and *xor-top*: $x \oplus \text{top} = - \ x$
and *top-xor*: $\text{top} \oplus x = - \ x$
by(*simp-all add: xor-def*)

lemma *xor-inverse* [*simp*]:
fixes $x :: 'a :: \text{boolean-algebra}$
shows $x \oplus x = \text{bot}$
by(*simp add: xor-def*)

lemma *xor-left-inverse* [*simp*]:
fixes $x :: 'a :: \text{boolean-algebra}$

shows $x \oplus x \oplus y = y$
by(metis xor-left-commute xor-inverse xor-bot)

lemmas xor-ac = xor-assoc xor-commute xor-left-commute

definition xor-list :: 'a :: {uminus,inf,sup} list \Rightarrow 'a list \Rightarrow 'a list (**infixr** $[\oplus]$ 67)

where xor-list xs ys = map (case-prod (\oplus)) (zip xs ys)

lemma xor-list-unfold:

xs $[\oplus]$ ys = (case xs of [] \Rightarrow [] | x # xs' \Rightarrow (case ys of [] \Rightarrow [] | y # ys' \Rightarrow x \oplus y # xs' $[\oplus]$ ys'))

by(simp add: xor-list-def split: list.split)

lemma xor-list-commute: **fixes** xs ys :: 'a :: {semilattice-sup,semilattice-inf,uminus} list

shows xs $[\oplus]$ ys = ys $[\oplus]$ xs

unfolding xor-list-def **by**(subst zip-commute)(auto simp add: split-def xor-commute)

lemma xor-list-assoc [simp]:

fixes xs ys :: 'a :: boolean-algebra list

shows (xs $[\oplus]$ ys) $[\oplus]$ zs = xs $[\oplus]$ (ys $[\oplus]$ zs)

unfolding xor-list-def zip-map1 zip-map2

apply(subst (2) zip-commute)

apply(subst zip-left-commute)

apply(subst (2) zip-commute)

apply(auto simp add: zip-map2 split-def xor-assoc)

done

lemma xor-list-left-commute:

fixes xs ys zs :: 'a :: boolean-algebra list

shows xs $[\oplus]$ (ys $[\oplus]$ zs) = ys $[\oplus]$ (xs $[\oplus]$ zs)

by(metis xor-list-assoc xor-list-commute)

lemmas xor-list-ac = xor-list-assoc xor-list-commute xor-list-left-commute

lemma xor-list-inverse [simp]:

fixes xs :: 'a :: boolean-algebra list

shows xs $[\oplus]$ xs = replicate (length xs) bot

by(simp add: xor-list-def zip-same-conv-map o-def map-replicate-const)

lemma xor-replicate-bot-right [simp]:

fixes xs :: 'a :: boolean-algebra list

shows \llbracket length xs \leq n; x = bot $\rrbracket \Longrightarrow$ xs $[\oplus]$ replicate n x = xs

by(simp add: xor-list-def zip-replicate2 o-def)

lemma xor-replicate-bot-left [simp]:

fixes xs :: 'a :: boolean-algebra list

shows $\llbracket \text{length } xs \leq n; x = \text{bot} \rrbracket \implies \text{replicate } n \ x \ [\oplus] \ xs = xs$
by(*simp add: xor-list-commute*)

lemma *xor-list-left-inverse* [*simp*]:
fixes $xs :: 'a :: \text{boolean-algebra list}$
shows $\text{length } ys \leq \text{length } xs \implies xs \ [\oplus] \ (xs \ [\oplus] \ ys) = ys$
by(*subst xor-list-assoc[symmetric]*)(*simp*)

lemma *length-xor-list* [*simp*]: $\text{length } (\text{xor-list } xs \ ys) = \min (\text{length } xs) (\text{length } ys)$
by(*simp add: xor-list-def*)

lemma *inj-on-xor-list-nlists* [*simp*]:
fixes $xs :: 'a :: \text{boolean-algebra list}$
shows $n \leq \text{length } xs \implies \text{inj-on } (\text{xor-list } xs) \ (\text{nlists } UNIV \ n)$
apply(*clarsimp simp add: inj-on-def in-nlists-UNIV*)
using *xor-list-left-inverse* **by** *fastforce*

lemma *one-time-pad*:
fixes $xs :: - :: \text{boolean-algebra list}$
shows $\text{length } xs \geq n \implies \text{map-spmf } (\text{xor-list } xs) \ (\text{spmof-of-set } (\text{nlists } UNIV \ n))$
 $= \text{spmof-of-set } (\text{nlists } UNIV \ n)$
by(*auto 4 3 simp add: in-nlists-UNIV intro: xor-list-left-inverse[symmetric] rev-image-eqI*
intro!: arg-cong[where f=spmof-of-set])

end
theory *Environment-Function imports*
Applicative-Lifting.Applicative-Environment
begin

1.25 The environment functor

type-synonym $(i, 'a) \text{ envir} = i \Rightarrow 'a$

lemma *const-apply* [*simp*]: $\text{const } x \ i = x$
by(*simp add: const-def*)

context includes *applicative-syntax* **begin**

lemma *ap-envir-apply* [*simp*]: $(f \diamond x) \ i = f \ i \ (x \ i)$
by(*simp add: apf-def*)

definition *all-envir* $:: (i, \text{bool}) \text{ envir} \Rightarrow \text{bool}$
where $\text{all-envir } p \longleftrightarrow (\forall x. p \ x)$

lemma *all-envirI* [*Pure.intro!*, *intro!*]: $(\bigwedge x. p \ x) \implies \text{all-envir } p$
by(*simp add: all-envir-def*)

lemma *all-envirE* [*Pure.elim 2*, *elim*]: $\text{all-envir } p \implies (p \ x \implies \text{thesis}) \implies \text{thesis}$
by(*simp add: all-envir-def*)

lemma *all-envirD*: $all\text{-}envir\ p \implies p\ x$
by(*simp add: all-envir-def*)

definition *pred-envir* :: $('a \implies bool) \implies ('i, 'a)\ enviro \implies bool$
where $pred\text{-}envir\ p\ f = all\text{-}envir\ (const\ p \diamond f)$

lemma *pred-envir-conv*: $pred\text{-}envir\ p\ f \longleftrightarrow (\forall x. p\ (f\ x))$
by(*auto simp add: pred-envir-def*)

lemma *pred-envirI* [*Pure.intro!*, *intro!*]: $(\bigwedge x. p\ (f\ x)) \implies pred\text{-}envir\ p\ f$
by(*auto simp add: pred-envir-def*)

lemma *pred-envirD*: $pred\text{-}envir\ p\ f \implies p\ (f\ x)$
by(*auto simp add: pred-envir-def*)

lemma *pred-envirE* [*Pure.elim 2*, *elim*]: $pred\text{-}envir\ p\ f \implies (p\ (f\ x) \implies thesis) \implies thesis$
by(*simp add: pred-envir-conv*)

lemma *pred-envir-mono*: $\llbracket pred\text{-}envir\ p\ f; \bigwedge x. p\ (f\ x) \implies q\ (g\ x) \rrbracket \implies pred\text{-}envir\ q\ g$
by *blast*

definition *rel-envir* :: $('a \implies 'b \implies bool) \implies ('i, 'a)\ enviro \implies ('i, 'b)\ enviro \implies bool$
where $rel\text{-}envir\ p\ f\ g \longleftrightarrow all\text{-}envir\ (const\ p \diamond f \diamond g)$

lemma *rel-envir-conv*: $rel\text{-}envir\ p\ f\ g \longleftrightarrow (\forall x. p\ (f\ x)\ (g\ x))$
by(*auto simp add: rel-envir-def*)

lemma *rel-envir-conv-rel-fun*: $rel\text{-}envir = rel\text{-}fun\ (=)$
by(*simp add: rel-envir-conv rel-fun-def fun-eq-iff*)

lemma *rel-envirI* [*Pure.intro!*, *intro!*]: $(\bigwedge x. p\ (f\ x)\ (g\ x)) \implies rel\text{-}envir\ p\ f\ g$
by(*auto simp add: rel-envir-def*)

lemma *rel-envirD*: $rel\text{-}envir\ p\ f\ g \implies p\ (f\ x)\ (g\ x)$
by(*auto simp add: rel-envir-def*)

lemma *rel-envirE* [*Pure.elim 2*, *elim*]: $rel\text{-}envir\ p\ f\ g \implies (p\ (f\ x)\ (g\ x) \implies thesis) \implies thesis$
by(*simp add: rel-envir-conv*)

lemma *rel-envir-mono*: $\llbracket rel\text{-}envir\ p\ f\ g; \bigwedge x. p\ (f\ x)\ (g\ x) \implies q\ (f'\ x)\ (g'\ x) \rrbracket \implies rel\text{-}envir\ q\ f'\ g'$
by *blast*

lemma *rel-envir-mono1*: $\llbracket pred\text{-}envir\ p\ f; \bigwedge x. p\ (f\ x) \implies q\ (f'\ x)\ (g'\ x) \rrbracket \implies$

rel-envir $q f' g'$
by *blast*

lemma *pred-envir-mono2*: $\llbracket \text{rel-envir } p f g; \bigwedge x. p (f x) (g x) \implies q (f' x) \rrbracket \implies$
pred-envir $q f'$
by *blast*

end

end

theory *Partial-Function-Set* **imports** *Main* **begin**

1.26 Setup for partial-function for sets

lemma (**in** *complete-lattice*) *lattice-partial-function-definition*:
partial-function-definitions (\leq) *Sup*
by(*unfold-locales*)(*auto intro: Sup-upper Sup-least*)

interpretation *set*: *partial-function-definitions* (\subseteq) *Union*
by(*rule lattice-partial-function-definition*)

lemma *fun-lub-Sup*: *fun-lub* *Sup* = (*Sup* :: $- \Rightarrow -$:: *complete-lattice*)
by(*fastforce simp add: fun-lub-def fun-eq-iff Sup-fun-def intro: Sup-eqI SUP-upper SUP-least*)

lemma *set-admissible*: *set.admissible* $(\lambda f :: 'a \Rightarrow 'b \text{ set}. \forall x y. y \in f x \longrightarrow P x y)$
by(*rule ccpo.admissibleI*)(*auto simp add: fun-lub-Sup*)

abbreviation *mono-set* \equiv *monotone* (*fun-ord* (\subseteq)) (\subseteq)

lemma *fixp-induct-set-scott*:

fixes $F :: 'c \Rightarrow 'c$

and $U :: 'c \Rightarrow 'b \Rightarrow 'a \text{ set}$

and $C :: ('b \Rightarrow 'a \text{ set}) \Rightarrow 'c$

and $P :: 'b \Rightarrow 'a \Rightarrow \text{bool}$

and x **and** y

assumes *mono*: $\bigwedge x. \text{mono-set } (\lambda f. U (F (C f)) x)$

and *eq*: $f \equiv C (\text{ccpo.fixp } (\text{fun-lub } \text{Sup}) (\text{fun-ord } (\leq)) (\lambda f. U (F (C f))))$

and *inverse2*: $\bigwedge f. U (C f) = f$

and *step*: $\bigwedge f x y. \llbracket \bigwedge x y. y \in U f x \implies P x y; y \in U (F f) x \rrbracket \implies P x y$

and *enforce-variable-ordering*: $x = x$

and *elem*: $y \in U f x$

shows $P x y$

using *step elem set.fixp-induct-uc*[*of* $U F C$, OF *mono eq inverse2 set-admissible*,
of P]

by *blast*

```

lemma fixp-Sup-le:
  defines le  $\equiv ((\leq) :: - :: \text{complete-lattice} \Rightarrow -)$ 
  shows ccpo.fixp Sup le = ccpo-class.fixp
proof –
  have class.ccpo Sup le (<) unfolding le-def by unfold-locales
  thus ?thesis
  by(simp add: ccpo.fixp-def fixp-def ccpo.iterates-def iterates-def ccpo.iteratesp-def
iteratesp-def fun-eq-iff le-def)
qed

lemma fun-ord-le: fun-ord ( $\leq$ ) = ( $\leq$ )
by(auto simp add: fun-ord-def fun-eq-iff le-fun-def)

lemma monotone-le-le: monotone ( $\leq$ ) ( $\leq$ ) = mono
by(simp add: monotone-def[abs-def] mono-def[abs-def])

lemma fixp-induct-set:
  fixes F :: 'c  $\Rightarrow$  'c
  and U :: 'c  $\Rightarrow$  'b  $\Rightarrow$  'a set
  and C :: ('b  $\Rightarrow$  'a set)  $\Rightarrow$  'c
  and P :: 'b  $\Rightarrow$  'a  $\Rightarrow$  bool
  and x and y
  assumes mono:  $\bigwedge x. \text{mono-set } (\lambda f. U (F (C f)) x)$ 
  and eq:  $f \equiv C (\text{ccpo.fixp } (\text{fun-lub } Sup) (\text{fun-ord } (\leq)) (\lambda f. U (F (C f))))$ 
  and inverse2:  $\bigwedge f. U (C f) = f$ 

  and step:  $\bigwedge f' x y. [\bigwedge x. U f' x = U f' x; y \in U (F (C (\text{inf } (U f) (\lambda x. \{y. P x y\})))) x] \implies P x y$ 
  — partial_function requires a quantifier over f', so let's have a fake one
  and elem:  $y \in U f x$ 
  shows P x y
proof –
  from mono
  have mono':  $\text{mono } (\lambda f. U (F (C f)))$ 
  by(simp add: fun-ord-le monotone-le-le mono-def le-fun-def)
  hence eq':  $f \equiv C (\text{lfp } (\lambda f. U (F (C f))))$ 
  using eq unfolding fun-ord-le fun-lub-Sup fixp-Sup-le by(simp add: lfp-eq-fixp)

  let ?f =  $C (\text{lfp } (\lambda f. U (F (C f))))$ 
  have step':  $\bigwedge x y. [y \in U (F (C (\text{inf } (U ?f) (\lambda x. \{y. P x y\})))) x] \implies P x y$ 
  unfolding eq'[symmetric] by(rule step[OF refl])

  let ?P =  $\lambda x. \{y. P x y\}$ 
  from mono' have lfp  $(\lambda f. U (F (C f))) \leq ?P$ 
  by(rule lfp-induct)(auto intro!: le-funI step' simp add: inverse2)
  with elem show ?thesis
  by(subst (asm) eq')(auto simp add: inverse2 le-fun-def)
qed

```

declaration \ll *Partial-Function.init set* $\@$ {*term set.fixp-fun*}
 $\@$ {*term set.mono-body*} $\@$ {*thm set.fixp-rule-uc*} $\@$ {*thm set.fixp-induct-uc*}
(*SOME* $\@$ {*thm fixp-induct-set*}) \gg

lemma [*partial-function-mono*]:

shows *insert-mono*: *mono-set* $A \implies$ *mono-set* $(\lambda f. \text{insert } x (A f))$
and *UNION-mono*: \ll *mono-set* B ; $\bigwedge y. \text{mono-set } (\lambda f. C y f)$ $\gg \implies$ *mono-set* $(\lambda f. \bigcup_{y \in B} f. C y f)$
and *set-bind-mono*: \ll *mono-set* B ; $\bigwedge y. \text{mono-set } (\lambda f. C y f)$ $\gg \implies$ *mono-set* $(\lambda f. \text{Set.bind } (B f) (\lambda y. C y f))$
and *Un-mono*: \ll *mono-set* A ; *mono-set* B $\gg \implies$ *mono-set* $(\lambda f. A f \cup B f)$
and *Int-mono*: \ll *mono-set* A ; *mono-set* B $\gg \implies$ *mono-set* $(\lambda f. A f \cap B f)$
and *Diff-mono1*: *mono-set* $A \implies$ *mono-set* $(\lambda f. A f - X)$
and *image-mono*: *mono-set* $A \implies$ *mono-set* $(\lambda f. g \text{ ` } A f)$
and *vimage-mono*: *mono-set* $A \implies$ *mono-set* $(\lambda f. g \text{ - ` } A f)$
unfolding *bind-UNION* **by**(*fast intro!*: *monotoneI* *dest*: *monotoneD*) $+$

partial-function (*set*) *test* :: '*a list* \Rightarrow *nat* \Rightarrow *bool* \Rightarrow *int set*

where

test $xs \ i \ j = \text{insert } 4 (\text{test } [] \ 0 \ j \cup \text{test } [] \ 1 \ \text{True} \cap \text{test } [] \ 2 \ \text{False} - \{5\} \cup \text{uminus}$
'*test* [*undefined*] $0 \ \text{True} \cup \text{uminus} - \text{ ` } \text{test } [] \ 1 \ \text{False}$)

interpretation *coset*: *partial-function-definitions* (\supseteq) *Inter*

by(*rule complete-lattice.lattice-partial-function-definition*[*OF dual-complete-lattice*])

lemma *fun-lub-Inf*: *fun-lub* *Inf* = (*Inf* :: $- \Rightarrow -$:: *complete-lattice*)

by(*auto simp add: fun-lub-def fun-eq-iff Inf-fun-def intro: Inf-eqI INF-lower INF-greatest*)

lemma *fun-ord-ge*: *fun-ord* $(\geq) = (\geq)$

by(*auto simp add: fun-ord-def fun-eq-iff le-fun-def*)

lemma *coset-admissible*: *coset.admissible* $(\lambda f :: 'a \Rightarrow 'b \ \text{set}. \forall x \ y. P \ x \ y \longrightarrow y \in f \ x)$

by(*rule ccpo.admissibleI*)(*auto simp add: fun-lub-Inf*)

abbreviation *mono-coset* \equiv *monotone* (*fun-ord* (\supseteq)) (\supseteq)

lemma *gfp-eq-fixp*:

fixes $f :: 'a :: \text{complete-lattice} \Rightarrow 'a$

assumes f : *monotone* $(\geq) (\geq) f$

shows $\text{gfp } f = \text{ccpo.fixp } \text{Inf } (\geq) f$

proof (*rule antisym*)

from f **have** f' : *mono* f **by**(*simp add: mono-def monotone-def*)

interpret *ccpo* *Inf* (\geq) *mk-less* (\geq) :: '*a* \Rightarrow -

by(*rule ccpo*)(*rule complete-lattice.lattice-partial-function-definition*[*OF dual-complete-lattice*])

show $\text{ccpo.fixp } \text{Inf } (\geq) f \leq \text{gfp } f$

by(*rule gfp-upperbound*)(*subst fixp-unfold*[*OF f*], *rule order-refl*)

show $\text{gfp } f \leq \text{ccpo.fixp } \text{Inf } (\geq) f$
by(rule $\text{fixp-lowerbound}[OF f]$)(subst $\text{gfp-unfold}[OF f]$, rule order-refl)
qed

lemma fixp-coinduct-set :

fixes $F :: 'c \Rightarrow 'c$
and $U :: 'c \Rightarrow 'b \Rightarrow 'a \text{ set}$
and $C :: ('b \Rightarrow 'a \text{ set}) \Rightarrow 'c$
and $P :: 'b \Rightarrow 'a \Rightarrow \text{bool}$
and x **and** y
assumes $\text{mono}: \bigwedge x. \text{mono-coset } (\lambda f. U (F (C f))) x$
and $\text{eq}: f \equiv C (\text{ccpo.fixp } (\text{fun-lub } \text{Inter}) (\text{fun-ord } (\geq))) (\lambda f. U (F (C f)))$
and $\text{inverse2}: \bigwedge f. U (C f) = f$

and $\text{step}: \bigwedge f' x y. [\bigwedge x. U f' x = U f' x; \neg P x y] \Longrightarrow y \in U (F (C (\text{sup } (\lambda x. \{y. \neg P x y\}) (U f)))) x$

— partial_function requires a quantifier over f' , so let's have a fake one

and $\text{elem}: y \notin U f x$

shows $P x y$

using elem

proof(rule contrapos-mp)

have mono' : $\text{monotone } (\geq) (\geq) (\lambda f. U (F (C f)))$

and mono'' : $\text{mono } (\lambda f. U (F (C f)))$

using mono **by**(simp-all add: $\text{monotone-def fun-ord-def le-fun-def mono-def}$)

hence eq' : $U f = \text{gfp } (\lambda f. U (F (C f)))$

by(subst eq)(simp add: $\text{fun-lub-Inf fun-ord-ge gfp-eq-fixp inverse2}$)

let $?P = \lambda x. \{y. \neg P x y\}$

have $?P \leq \text{gfp } (\lambda f. U (F (C f)))$

using mono'' **by**(rule coinduct)(auto intro!: $\text{le-funI dest: step}[OF \text{refl}] \text{simp add: eq}'$)

moreover

assume $\neg P x y$

ultimately show $y \in U f x$ **by**(auto simp add: $\text{le-fun-def eq}'$)

qed

declaration $\ll \text{Partial-Function.init coset } @\{\text{term coset.fixp-fun}\}$

$@\{\text{term coset.mono-body}\} @\{\text{thm coset.fixp-rule-uc}\} @\{\text{thm coset.fixp-induct-uc}\}$

$(\text{SOME } @\{\text{thm fixp-coinduct-set}\}) \gg$

abbreviation $\text{mono-set}' \equiv \text{monotone } (\text{fun-ord } (\sup)) (\sup)$

lemma [$\text{partial-function-mono}$]:

shows $\text{insert-mono}'$: $\text{mono-set}' A \Longrightarrow \text{mono-set}' (\lambda f. \text{insert } x (A f))$

and $\text{UNION-mono}'$: $[\text{mono-set}' B; \bigwedge y. \text{mono-set}' (\lambda f. C y f)] \Longrightarrow \text{mono-set}' (\lambda f. \bigcup_{y \in B} C y f)$

and $\text{set-bind-mono}'$: $[\text{mono-set}' B; \bigwedge y. \text{mono-set}' (\lambda f. C y f)] \Longrightarrow \text{mono-set}' (\lambda f. \text{Set.bind } (B f) (\lambda y. C y f))$

and *Un-mono'*: $\llbracket \text{mono-set}' A; \text{mono-set}' B \rrbracket \implies \text{mono-set}' (\lambda f. A f \cup B f)$
and *Int-mono'*: $\llbracket \text{mono-set}' A; \text{mono-set}' B \rrbracket \implies \text{mono-set}' (\lambda f. A f \cap B f)$
unfolding *bind-UNION* **by**(*fast intro!*; *monotoneI* *dest*: *monotoneD*)**+**

context begin

private partial-function (*coset*) *test2* :: *nat* \Rightarrow *nat set*
where *test2* *x* = *insert* *x* (*test2* (*Suc* *x*))

private lemma *test2-coinduct*:

assumes *P* *x* *y*
and *: $\bigwedge x y. P x y \implies y = x \vee (P (Suc x) y \vee y \in \text{test2} (Suc x))$
shows $y \in \text{test2} x$
using $\langle P x y \rangle$
apply(*rule* *contrapos-pp*)
apply(*erule* *test2.raw-induct*[*rotated*])
apply(*simp* *add*: *)
done

end

end

2 Negligibility

theory *Negligible* **imports**

Complex-Main

Landau-Symbols.Landau-More

begin

named-theorems *negligible-intros*

definition *negligible* :: (*nat* \Rightarrow *real*) \Rightarrow *bool*
where *negligible* *f* $\longleftrightarrow (\forall c > 0. f \in o(\lambda x. \text{inverse} (x \text{ powr } c)))$

lemma *negligibleI* [*intro?*]:

$(\bigwedge c. c > 0 \implies f \in o(\lambda x. \text{inverse} (x \text{ powr } c))) \implies \text{negligible } f$
unfolding *negligible-def* **by**(*simp*)

lemma *negligibleD*:

$\llbracket \text{negligible } f; c > 0 \rrbracket \implies f \in o(\lambda x. \text{inverse} (x \text{ powr } c))$
unfolding *negligible-def* **by**(*simp*)

lemma *negligibleD-real*:

assumes *negligible* *f*
shows $f \in o(\lambda x. \text{inverse} (x \text{ powr } c))$
proof –
let *?c* = *max* 1 *c*
have $f \in o(\lambda x. \text{inverse} (x \text{ powr } ?c))$ **using** *assms* **by**(*rule* *negligibleD*) *simp*
also have $(\lambda x. x \text{ powr } c) \in O(\lambda x. \text{real } x \text{ powr } \text{max } 1 \text{ } c)$

by(rule bigoI[**where** $c=1$])(auto simp add: eventually-at-top-linorder intro!:
 exI[**where** $x=1$] powr-mono)
then have $(\lambda x. \text{inverse } (\text{real } x \text{ powr } \max 1 c)) \in O(\lambda x. \text{inverse } (x \text{ powr } c))$
by(auto simp add: eventually-at-top-linorder exI[**where** $x=1$] intro: landau-o.big.inverse)
finally show ?thesis .
qed

lemma negligible-mono: $\llbracket \text{negligible } g; f \in O(g) \rrbracket \implies \text{negligible } f$
by(rule negligibleI)(drule (1) negligibleD; erule (1) landau-o.big-small-trans)

lemma negligible-le: $\llbracket \text{negligible } g; \bigwedge \eta. |f \eta| \leq g \eta \rrbracket \implies \text{negligible } f$
by(erule negligible-mono)(force intro: order-trans intro!: eventually-sequentiallyI
 landau-o.big-mono)

lemma negligible-K0 [negligible-intros, simp, intro!]: negligible $(\lambda-. 0)$
by(rule negligibleI) simp

lemma negligible-0 [negligible-intros, simp, intro!]: negligible 0
by(simp add: zero-fun-def)

lemma negligible-const-iff [simp]: negligible $(\lambda-. c :: \text{real}) \longleftrightarrow c = 0$
by(auto simp add: negligible-def const-smallo-inverse-powr filterlim-real-sequentially
 dest!: spec[**where** $x=1$])

lemma not-negligible-1: $\neg \text{negligible } (\lambda-. 1 :: \text{real})$
by simp

lemma negligible-plus [negligible-intros]:
 $\llbracket \text{negligible } f; \text{negligible } g \rrbracket \implies \text{negligible } (\lambda \eta. f \eta + g \eta)$
by(auto intro!: negligibleI dest!: negligibleD intro: sum-in-smallo)

lemma negligible-uminus [simp]: negligible $(\lambda \eta. - f \eta) \longleftrightarrow \text{negligible } f$
by(simp add: negligible-def)

lemma negligible-uminusI [negligible-intros]: negligible $f \implies \text{negligible } (\lambda \eta. - f \eta)$
by simp

lemma negligible-minus [negligible-intros]:
 $\llbracket \text{negligible } f; \text{negligible } g \rrbracket \implies \text{negligible } (\lambda \eta. f \eta - g \eta)$
by(auto simp add: uminus-add-conv-diff[symmetric] negligible-plus simp del: uminus-add-conv-diff)

lemma negligible-cmult: negligible $(\lambda \eta. c * f \eta) \longleftrightarrow \text{negligible } f \vee c = 0$
by(auto intro!: negligibleI dest!: negligibleD)

lemma negligible-cmultI [negligible-intros]:
 $(c \neq 0 \implies \text{negligible } f) \implies \text{negligible } (\lambda \eta. c * f \eta)$
by(auto simp add: negligible-cmult)

lemma *negligible-multc*: $\text{negligible } (\lambda\eta. f \eta * c) \longleftrightarrow \text{negligible } f \vee c = 0$
by(*subst mult commute*)(*simp add: negligible-cmult*)

lemma *negligible-multcI* [*negligible-intros*]:
 $(c \neq 0 \implies \text{negligible } f) \implies \text{negligible } (\lambda\eta. f \eta * c)$
by(*auto simp add: negligible-multc*)

lemma *negligible-times* [*negligible-intros*]:

assumes *f*: *negligible f*
and *g*: *negligible g*
shows *negligible* $(\lambda\eta. f \eta * g \eta :: \text{real})$

proof

fix *c* :: *real*

assume $0 < c$

hence $0 < c / 2$ **by** *simp*

from *negligibleD*[*OF f this*] *negligibleD*[*OF g this*]

have $(\lambda\eta. f \eta * g \eta) \in o(\lambda x. \text{inverse } (x \text{ powr } (c / 2)) * \text{inverse } (x \text{ powr } (c / 2)))$

by(*rule landau-o.small-mult*)

also have $\dots = o(\lambda x. \text{inverse } (x \text{ powr } c))$

by(*rule landau-o.small.cong*)(*auto simp add: inverse-mult-distrib[symmetric] powr-add[symmetric] eventually-at-top-linorder intro!: exI[where x=1] simp del: inverse-mult-distrib*)

finally show $(\lambda\eta. f \eta * g \eta) \in \dots$

qed

lemma *negligible-power* [*negligible-intros*]:

assumes *negligible f*

and $n > 0$

shows *negligible* $(\lambda\eta. f \eta ^ n :: \text{real})$

using $\langle n > 0 \rangle$

proof(*induct n*)

case (*Suc n*)

thus *?case using* $\langle \text{negligible } f \rangle$ **by**(*cases n*)(*simp-all add: negligible-times*)

qed *simp*

lemma *negligible-powr* [*negligible-intros*]:

assumes *f*: *negligible f*

and *p*: $p > 0$

shows *negligible* $(\lambda x. |f x| \text{ powr } p :: \text{real})$

proof

fix *c* :: *real*

let *?c* = c / p

assume *c*: $0 < c$

with *p* **have** $0 < ?c$ **by** *simp*

with *f* **have** $f \in o(\lambda x. \text{inverse } (x \text{ powr } ?c))$ **by**(*rule negligibleD*)

hence $(\lambda x. |f x| \text{ powr } p) \in o(\lambda x. |\text{inverse } (x \text{ powr } ?c)| \text{ powr } p)$ **using** *p* **by**(*rule smallo-powr*)

also have $\dots = o(\lambda x. \text{inverse } (x \text{ powr } c))$

apply(*rule landau-o.small.cong*) **using** *p* **by**(*auto simp add: powr-powr*)
finally show $(\lambda x. |f x| \text{ powr } p) \in \dots$.
qed

lemma *negligible-abs* [*simp*]: *negligible* $(\lambda x. |f x|) \longleftrightarrow$ *negligible* *f*
by(*simp add: negligible-def*)

lemma *negligible-absI* [*negligible-intros*]: *negligible* *f* \implies *negligible* $(\lambda x. |f x|)$
by(*simp*)

lemma *negligible-powrI* [*negligible-intros*]:

assumes $0 \leq k < 1$

shows *negligible* $(\lambda x. k \text{ powr } x)$

proof(*cases k = 0*)

case *True*

thus *?thesis* **by** *simp*

next

case *False*

show *?thesis*

proof

fix *c* :: *real*

assume $0 < c$

then have $(\lambda x. \text{real } x \text{ powr } c) \in o(\lambda x. \text{inverse } k \text{ powr } \text{real } x)$ **using** *assms False*

by(*intro powr-fast-growth-tendsto*)(*simp-all add: one-less-inverse-iff filterlim-real-sequentially*)

then have $(\lambda x. \text{inverse } (k \text{ powr } - \text{real } x)) \in o(\lambda x. \text{inverse } (\text{real } x \text{ powr } c))$

using *assms*

by(*intro landau-o.small.inverse*)(*auto simp add: False eventually-sequentially powr-minus intro: exI[where x=1]*)

also have $(\lambda x. \text{inverse } (k \text{ powr } - \text{real } x)) = (\lambda x. k \text{ powr } \text{real } x)$ **by**(*simp add: powr-minus*)

finally show $\dots \in o(\lambda x. \text{inverse } (x \text{ powr } c))$.

qed

qed

lemma *negligible-powerI* [*negligible-intros*]:

fixes *k* :: *real*

assumes $|k| < 1$

shows *negligible* $(\lambda n. k \wedge n)$

proof(*cases k = 0*)

case *True*

show *?thesis* **using** *negligible-K0*

by(*rule negligible-mono*)(*auto intro: exI[where x=1] simp add: True eventually-at-top-linorder*)

next

case *False*

hence $0 < |k|$ **by** *auto*

from *assms* **have** *negligible* $(\lambda x. |k| \text{ powr } \text{real } x)$ **using** *negligible-powrI[of |k|]*

by *simp*

hence *negligible* $(\lambda x. |k| \wedge x)$ **using** *False*

by(*elim negligible-mono*)(*simp add: powr-realpow*)

then show *?thesis* **by**(*simp add: power-abs[symmetric]*)
qed

lemma *negligible-inverse-powerI* [*negligible-intros*]: $|k| > 1 \implies \text{negligible } (\lambda\eta. 1 / k ^ \eta)$
using *negligible-powerI[of 1 / k]* **by**(*simp add: power-one-over*)

inductive *polynomial* :: (nat \Rightarrow real) \Rightarrow bool
for *f*
where $f \in O(\lambda x. x \text{ powr } n) \implies \text{polynomial } f$

lemma *negligible-times-poly*:
assumes *f*: *negligible f*
and *g*: $g \in O(\lambda x. x \text{ powr } n)$
shows *negligible* $(\lambda x. f x * g x)$

proof

fix *c* :: real
assume *c*: $0 < c$
from *negligibleD-real[OF f]* *g*
have $(\lambda x. f x * g x) \in o(\lambda x. \text{inverse } (x \text{ powr } (c + n)) * x \text{ powr } n)$
by(*rule landau-o.small-big-mult*)
also have $\dots = o(\lambda x. \text{inverse } (x \text{ powr } c))$
by(*rule landau-o.small.cong*)(*auto simp add: powr-minus[symmetric] powr-add[symmetric]*)
intro!: *exI[where x=0]*
finally show $(\lambda x. f x * g x) \in o(\lambda x. \text{inverse } (x \text{ powr } c))$.
qed

lemma *negligible-poly-times*:
 $\llbracket f \in O(\lambda x. x \text{ powr } n); \text{negligible } g \rrbracket \implies \text{negligible } (\lambda x. f x * g x)$
by(*subst mult.commute*)(*rule negligible-times-poly*)

lemma *negligible-times-polynomial* [*negligible-intros*]:
 $\llbracket \text{negligible } f; \text{polynomial } g \rrbracket \implies \text{negligible } (\lambda x. f x * g x)$
by(*clarsimp simp add: polynomial.simps negligible-times-poly*)

lemma *negligible-polynomial-times* [*negligible-intros*]:
 $\llbracket \text{polynomial } f; \text{negligible } g \rrbracket \implies \text{negligible } (\lambda x. f x * g x)$
by(*clarsimp simp add: polynomial.simps negligible-poly-times*)

lemma *negligible-divide-poly1*:
 $\llbracket f \in O(\lambda x. x \text{ powr } n); \text{negligible } (\lambda\eta. 1 / g \eta) \rrbracket \implies \text{negligible } (\lambda\eta. \text{real } (f \eta) / g \eta)$
by(*drule (1) negligible-times-poly*) *simp*

lemma *negligible-divide-polynomial1* [*negligible-intros*]:
 $\llbracket \text{polynomial } f; \text{negligible } (\lambda\eta. 1 / g \eta) \rrbracket \implies \text{negligible } (\lambda\eta. \text{real } (f \eta) / g \eta)$
by(*clarsimp simp add: polynomial.simps negligible-divide-poly1*)

end

3 The resumption-error monad

```

theory Resumption
imports
  Misc-CryptHOL
  Partial-Function-Set
begin

codatatype (results: 'a, outputs: 'out, 'in) resumption
  = Done (result: 'a option)
  | Pause (output: 'out) (resume: 'in  $\Rightarrow$  ('a, 'out, 'in) resumption)
where
  resume (Done a) = ( $\lambda$ inp. Done None)

code-datatype Done Pause

primcorec bind-resumption ::
  ('a, 'out, 'in) resumption
   $\Rightarrow$  ('a  $\Rightarrow$  ('b, 'out, 'in) resumption)  $\Rightarrow$  ('b, 'out, 'in) resumption
where
  [| is-Done x; result x  $\neq$  None  $\longrightarrow$  is-Done (f (the (result x))) |]  $\Longrightarrow$  is-Done
  (bind-resumption x f)
  | result (bind-resumption x f) = result x  $\gg=$  result  $\circ$  f
  | output (bind-resumption x f) = (if is-Done x then output (f (the (result x))) else
  output x)
  | resume (bind-resumption x f) = ( $\lambda$ inp. if is-Done x then resume (f (the (result
  x))) inp else bind-resumption (resume x inp) f)

declare bind-resumption.sel [simp del]

adhoc-overloading Monad-Syntax.bind bind-resumption

lemma is-Done-bind-resumption [simp]:
  is-Done (x  $\gg=$  f)  $\longleftrightarrow$  is-Done x  $\wedge$  (result x  $\neq$  None  $\longrightarrow$  is-Done (f (the (result
  x))))
by(simp add: bind-resumption-def)

lemma result-bind-resumption [simp]:
  is-Done (x  $\gg=$  f)  $\Longrightarrow$  result (x  $\gg=$  f) = result x  $\gg=$  result  $\circ$  f
by(simp add: bind-resumption-def)

lemma output-bind-resumption [simp]:
   $\neg$  is-Done (x  $\gg=$  f)  $\Longrightarrow$  output (x  $\gg=$  f) = (if is-Done x then output (f (the
  (result x))) else output x)
by(simp add: bind-resumption-def)

lemma resume-bind-resumption [simp]:
   $\neg$  is-Done (x  $\gg=$  f)  $\Longrightarrow$ 
  resume (x  $\gg=$  f) =

```

(if is-Done x then resume (f (the (result x)))
 else ($\lambda inp.$ resume x inp $\gg=$ f))
by(auto simp add: bind-resumption-def)

definition DONE :: 'a \Rightarrow ('a, 'out, 'in) resumption
where DONE = Done \circ Some

definition ABORT :: ('a, 'out, 'in) resumption
where ABORT = Done None

lemma [simp]:
shows is-Done-DONE: is-Done (DONE a)
and is-Done-ABORT: is-Done ABORT
and result-DONE: result (DONE a) = Some a
and result-ABORT: result ABORT = None
and DONE-inject: DONE a = DONE b \longleftrightarrow a = b
and DONE-neq-ABORT: DONE a \neq ABORT
and ABORT-neq-DONE: ABORT \neq DONE a
and ABORT-eq-Done: $\bigwedge a.$ ABORT = Done a \longleftrightarrow a = None
and Done-eq-ABORT: $\bigwedge a.$ Done a = ABORT \longleftrightarrow a = None
and DONE-eq-Done: $\bigwedge b.$ DONE a = Done b \longleftrightarrow b = Some a
and Done-eq-DONE: $\bigwedge b.$ Done b = DONE a \longleftrightarrow b = Some a
and DONE-neq-Pause: DONE a \neq Pause out c
and Pause-neq-DONE: Pause out c \neq DONE a
and ABORT-neq-Pause: ABORT \neq Pause out c
and Pause-neq-ABORT: Pause out c \neq ABORT
by(auto simp add: DONE-def ABORT-def)

lemma resume-ABORT [simp]:
 resume (Done r) = ($\lambda inp.$ ABORT)
by(simp add: ABORT-def)

declare resumption.sel(3)[simp del]

lemma results-DONE [simp]: results (DONE x) = {x}
by(simp add: DONE-def)

lemma results-ABORT [simp]: results ABORT = {}
by(simp add: ABORT-def)

lemma outputs-ABORT [simp]: outputs ABORT = {}
by(simp add: ABORT-def)

lemma outputs-DONE [simp]: outputs (DONE x) = {}
by(simp add: DONE-def)

lemma is-Done-cases [cases pred]:
assumes is-Done r
obtains (DONE) x **where** r = DONE x | (ABORT) r = ABORT

using *assms* **by**(*cases r*) *auto*

lemma *not-is-Done-conv-Pause*: $\neg \text{is-Done } r \longleftrightarrow (\exists \text{ out } c. r = \text{Pause out } c)$
by(*cases r*) *auto*

lemma *Done-bind* [*code*]:

$\text{Done } a \ggg f = (\text{case } a \text{ of } \text{None} \Rightarrow \text{Done None} \mid \text{Some } a \Rightarrow f a)$
by(*rule resumption.expand*)(*auto split: option.split*)

lemma *DONE-bind* [*simp*]:

$\text{DONE } a \ggg f = f a$
by(*simp add: DONE-def Done-bind*)

lemma *bind-resumption-Pause* [*simp, code*]: **fixes** *cont* **shows**

$\text{Pause out } \text{cont} \ggg f$
 $= \text{Pause out } (\lambda \text{ inp}. \text{cont } \text{inp} \ggg f)$
by(*rule resumption.expand*)(*simp-all*)

lemma *bind-DONE* [*simp*]:

$x \ggg \text{DONE} = x$
by(*coinduction arbitrary: x*)(*auto simp add: split-beta o-def*)

lemma *bind-bind-resumption*:

fixes $r :: ('a, 'in, 'out) \text{resumption}$
shows $(r \ggg f) \ggg g = \text{do } \{ x \leftarrow r; f x \ggg g \}$
apply(*coinduction arbitrary: r rule: resumption.coinduct-strong*)
apply(*auto simp add: split-beta bind-eq-Some-conv*)
apply(*case-tac [!] result r*)
apply *simp-all*
done

lemmas *resumption-monad* = *DONE-bind bind-DONE bind-bind-resumption*

lemma *ABORT-bind* [*simp*]: $\text{ABORT} \ggg f = \text{ABORT}$

by(*simp add: ABORT-def Done-bind*)

lemma *bind-resumption-is-Done*: $\text{is-Done } f \Longrightarrow f \ggg g = (\text{if result } f = \text{None}$
then ABORT *else* g (*the (result } f*)))

by(*rule resumption.expand*) *auto*

lemma *bind-resumption-eq-Done-iff* [*simp*]:

$f \ggg g = \text{Done } x \longleftrightarrow (\exists y. f = \text{DONE } y \wedge g y = \text{Done } x) \vee f = \text{ABORT} \wedge x = \text{None}$

by(*cases f*)(*auto simp add: Done-bind split: option.split*)

lemma *bind-resumption-cong*:

assumes $x = y$
and $\bigwedge z. z \in \text{results } y \Longrightarrow f z = g z$
shows $x \ggg f = y \ggg g$

```

using assms(2) unfolding  $\langle x = y \rangle$ 
proof(coinduction arbitrary: y rule: resumption.coinduct-strong)
  case Eq-resumption thus ?case
    by(auto intro: resumption.set-sel simp add: is-Done-def rel-fun-def)
      (fastforce del: exI intro!: exI intro: resumption.set-sel(2) simp add: is-Done-def)
qed

lemma results-bind-resumption:
  results (bind-resumption x f) = (⋃ a ∈ results x. results (f a))
  (is ?lhs = ?rhs)
proof(intro set-eqI iffI)
  show  $z \in ?rhs$  if  $z \in ?lhs$  for  $z$  using that
  proof(induction r ≡ x ≫= f arbitrary: x)
    case (Done z z' x)
      from Done(1) Done(2)[symmetric] show ?case by(auto)
    next
      case (Pause out c r z x)
        then show ?case
        proof(cases x)
          case (Done x')
            show ?thesis
            proof(cases x')
              case None
                with Done Pause(4) show ?thesis by(auto simp add: ABORT-def[symmetric])
              next
                case (Some x'')
                  thus ?thesis using Pause(1,2,4) Done
                    by(auto 4 3 simp add: DONE-def[unfolded o-def, symmetric, unfolded
fun-eq-iff] dest: sym)
                qed
              qed(fastforce)
            qed
          next
            fix  $z$ 
            assume  $z \in ?rhs$ 
            then obtain  $z'$  where  $z': z' \in results\ x$ 
              and  $z: z \in results\ (f\ z')$  by blast
            from  $z'$  show  $z \in ?lhs$ 
            proof(induction z' ≡ z' x)
              case (Done r)
                then show ?case using  $z$ 
                  by(auto simp add: DONE-def[unfolded o-def, symmetric, unfolded fun-eq-iff])
              qed auto
            qed
          qed
        qed
      next
        lemma outputs-bind-resumption [simp]:
          outputs (bind-resumption r f) = outputs r ∪ (⋃ x ∈ results r. outputs (f x))
          (is ?lhs = ?rhs)
          proof(rule set-eqI iffI)+

```



```

show  $x \in ?rhs$  if  $x \in ?lhs$  for  $x$  using that
proof(induction  $r' \equiv \text{bind-resumption } r \text{ f arbitrary: } r$ )
  case (Pause1 out  $c$ )
  thus ?case by(cases  $r$ )(auto simp add: Done-bind split: option.split-asm dest: sym)
next
  case (Pause2 out  $c$   $r'$   $x$ )
  thus ?case by(cases  $r$ )(auto 4 3 simp add: Done-bind split: option.split-asm dest: sym)
qed
next
fix  $x$ 
assume  $x \in ?rhs$ 
then consider (left)  $x \in \text{outputs } r \mid$  (right)  $a$  where  $a \in \text{results } r$   $x \in \text{outputs } (f a)$  by auto
then show  $x \in ?lhs$ 
proof cases
  { case left thus ?thesis by induction auto }
  { case right thus ?thesis by induction(auto simp add: Done-bind) }
qed
qed

```

```

primrec ensure ::  $\text{bool} \Rightarrow (\text{unit}, 'out, 'in) \text{resumption}$ 
where
  ensure True = DONE ()
| ensure False = ABORT

```

```

lemma is-Done-map-resumption [simp]:
   $\text{is-Done } (\text{map-resumption } f1 \ f2 \ r) \longleftrightarrow \text{is-Done } r$ 
by(cases  $r$ ) simp-all

```

```

lemma result-map-resumption [simp]:
   $\text{is-Done } r \Longrightarrow \text{result } (\text{map-resumption } f1 \ f2 \ r) = \text{map-option } f1 \ (\text{result } r)$ 
by(clarsimp simp add: is-Done-def)

```

```

lemma output-map-resumption [simp]:
   $\neg \text{is-Done } r \Longrightarrow \text{output } (\text{map-resumption } f1 \ f2 \ r) = f2 \ (\text{output } r)$ 
by(cases  $r$ ) simp-all

```

```

lemma resume-map-resumption [simp]:
   $\neg \text{is-Done } r$ 
   $\Longrightarrow \text{resume } (\text{map-resumption } f1 \ f2 \ r) = \text{map-resumption } f1 \ f2 \circ \text{resume } r$ 
by(cases  $r$ ) simp-all

```

```

lemma rel-resumption-is-DoneD:  $\text{rel-resumption } A \ B \ r1 \ r2 \Longrightarrow \text{is-Done } r1 \longleftrightarrow \text{is-Done } r2$ 
by(cases  $r1 \ r2$  rule: resumption.exhaust[case-product resumption.exhaust]) simp-all

```

```

lemma rel-resumption-resultD1:

```

$\llbracket \text{rel-resumption } A \ B \ r1 \ r2; \text{ is-Done } r1 \rrbracket \Longrightarrow \text{rel-option } A \ (\text{result } r1) \ (\text{result } r2)$
by(cases $r1 \ r2$ rule: *resumption.exhaust*[*case-product resumption.exhaust*]) *simp-all*

lemma *rel-resumption-resultD2*:

$\llbracket \text{rel-resumption } A \ B \ r1 \ r2; \text{ is-Done } r2 \rrbracket \Longrightarrow \text{rel-option } A \ (\text{result } r1) \ (\text{result } r2)$
by(cases $r1 \ r2$ rule: *resumption.exhaust*[*case-product resumption.exhaust*]) *simp-all*

lemma *rel-resumption-outputD1*:

$\llbracket \text{rel-resumption } A \ B \ r1 \ r2; \neg \text{ is-Done } r1 \rrbracket \Longrightarrow B \ (\text{output } r1) \ (\text{output } r2)$
by(cases $r1 \ r2$ rule: *resumption.exhaust*[*case-product resumption.exhaust*]) *simp-all*

lemma *rel-resumption-outputD2*:

$\llbracket \text{rel-resumption } A \ B \ r1 \ r2; \neg \text{ is-Done } r2 \rrbracket \Longrightarrow B \ (\text{output } r1) \ (\text{output } r2)$
by(cases $r1 \ r2$ rule: *resumption.exhaust*[*case-product resumption.exhaust*]) *simp-all*

lemma *rel-resumption-resumeD1*:

$\llbracket \text{rel-resumption } A \ B \ r1 \ r2; \neg \text{ is-Done } r1 \rrbracket$
 $\Longrightarrow \text{rel-resumption } A \ B \ (\text{resume } r1 \ \text{inp}) \ (\text{resume } r2 \ \text{inp})$
by(cases $r1 \ r2$ rule: *resumption.exhaust*[*case-product resumption.exhaust*])(*auto dest: rel-funD*)

lemma *rel-resumption-resumeD2*:

$\llbracket \text{rel-resumption } A \ B \ r1 \ r2; \neg \text{ is-Done } r2 \rrbracket$
 $\Longrightarrow \text{rel-resumption } A \ B \ (\text{resume } r1 \ \text{inp}) \ (\text{resume } r2 \ \text{inp})$
by(cases $r1 \ r2$ rule: *resumption.exhaust*[*case-product resumption.exhaust*])(*auto dest: rel-funD*)

lemma *rel-resumption-coinduct*

[*consumes 1, case-names Done Pause,*
case-conclusion Done is-Done result,
case-conclusion Pause output resume,
coinduct pred: rel-resumption]:
assumes $X: X \ r1 \ r2$
and $\text{Done}: \bigwedge r1 \ r2. X \ r1 \ r2 \Longrightarrow (\text{is-Done } r1 \longleftrightarrow \text{is-Done } r2) \wedge (\text{is-Done } r1 \longrightarrow \text{is-Done } r2 \longrightarrow \text{rel-option } A \ (\text{result } r1) \ (\text{result } r2))$
and $\text{Pause}: \bigwedge r1 \ r2. \llbracket X \ r1 \ r2; \neg \text{ is-Done } r1; \neg \text{ is-Done } r2 \rrbracket \Longrightarrow B \ (\text{output } r1) \ (\text{output } r2) \wedge (\forall \text{ inp}. X \ (\text{resume } r1 \ \text{inp}) \ (\text{resume } r2 \ \text{inp}))$
shows *rel-resumption* $A \ B \ r1 \ r2$
using X
apply(*rule resumption.rel-coinduct*)
apply(*unfold rel-fun-def*)
apply(*rule conjI*)
apply(*erule Done[THEN conjunct1]*)
apply(*rule conjI*)
apply(*erule Done[THEN conjunct2]*)
apply(*rule impI*)
apply(*drule (2) Pause*)
apply *blast*
done

3.1 Setup for *partial-function*

context includes *lifting-syntax* begin

coinductive *resumption-ord* :: ('a, 'out, 'in) *resumption* \Rightarrow ('a, 'out, 'in) *resumption* \Rightarrow bool

where

Done-Done: flat-ord None a a' \Longrightarrow *resumption-ord* (Done a) (Done a')

 | *Done-Pause*: *resumption-ord* ABORT (Pause out c)

 | *Pause-Pause*: ((=) \Longrightarrow *resumption-ord*) c c' \Longrightarrow *resumption-ord* (Pause out c) (Pause out c')

inductive-simps *resumption-ord-simps* [simp]:

resumption-ord (Pause out c) r

resumption-ord r (Done a)

lemma *resumption-ord-is-DoneD*:

 [[*resumption-ord* r r'; is-Done r']] \Longrightarrow is-Done r

by(cases r')(auto simp add: fun-ord-def)

lemma *resumption-ord-resultD*:

 [[*resumption-ord* r r'; is-Done r']] \Longrightarrow flat-ord None (result r) (result r')

by(cases r')(auto simp add: flat-ord-def)

lemma *resumption-ord-outputD*:

 [[*resumption-ord* r r'; \neg is-Done r]] \Longrightarrow output r = output r'

by(cases r) auto

lemma *resumption-ord-resumeD*:

 [[*resumption-ord* r r'; \neg is-Done r]] \Longrightarrow ((=) \Longrightarrow *resumption-ord*) (resume r) (resume r')

by(cases r) auto

lemma *resumption-ord-abort*:

 [[*resumption-ord* r r'; is-Done r; \neg is-Done r']] \Longrightarrow result r = None

by(auto elim: *resumption-ord.cases*)

lemma *resumption-ord-coinduct* [consumes 1, case-names Done Abort Pause, case-conclusion Pause output resume, coinduct pred: *resumption-ord*]:

assumes X r r'

and Done: \bigwedge r r'. [[X r r'; is-Done r']] \Longrightarrow is-Done r \wedge flat-ord None (result r) (result r')

and Abort: \bigwedge r r'. [[X r r'; \neg is-Done r'; is-Done r]] \Longrightarrow result r = None

and Pause: \bigwedge r r'. [[X r r'; \neg is-Done r; \neg is-Done r']] \Longrightarrow output r = output r' \wedge ((=) \Longrightarrow (λ r r'. X r r' \vee *resumption-ord* r r')) (resume r) (resume r')

shows *resumption-ord* r r'

using <X r r'>

proof coinduct

case (*resumption-ord* r r')

```

thus ?case
  by(cases r r' rule: resumption.exhaust[case-product resumption.exhaust])(auto
dest: Done Pause Abort)
qed

end

lemma resumption-ord-ABORT [intro!, simp]: resumption-ord ABORT r
by(cases r)(simp-all add: flat-ord-def resumption-ord.Done-Pause)

lemma resumption-ord-ABORT2 [simp]: resumption-ord r ABORT  $\longleftrightarrow$  r = ABORT
by(simp add: ABORT-def flat-ord-def)

lemma resumption-ord-DONE1 [simp]: resumption-ord (DONE x) r  $\longleftrightarrow$  r =
DONE x
by(cases r)(auto simp add: option-ord-Some1-iff DONE-def dest: resumption-ord-abort)

lemma resumption-ord-refl: resumption-ord r r
by(coinduction arbitrary: r)(auto simp add: flat-ord-def)

lemma resumption-ord-antisym:
  [ resumption-ord r r'; resumption-ord r' r ]
   $\implies$  r = r'
proof(coinduction arbitrary: r r' rule: resumption.coinduct-strong)
  case (Eq-resumption r r')
  thus ?case
    by cases(auto simp add: flat-ord-def rel-fun-def)
qed

lemma resumption-ord-trans:
  [ resumption-ord r r'; resumption-ord r' r'' ]
   $\implies$  resumption-ord r r''
proof(coinduction arbitrary: r r' r'')
  case (Done r r' r'')
  thus ?case by(auto 4 4 elim: resumption-ord.cases simp add: flat-ord-def)
next
  case (Abort r r' r'')
  thus ?case by(auto 4 4 elim: resumption-ord.cases simp add: flat-ord-def)
next
  case (Pause r r' r'')
  hence resumption-ord r r' resumption-ord r' r'' by simp-all
  thus ?case using ( $\neg$  is-Done r) ( $\neg$  is-Done r'')
    by(cases)(auto simp add: rel-fun-def)
qed

primcorec resumption-lub :: ('a, 'out, 'in) resumption set  $\Rightarrow$  ('a, 'out, 'in) resumption
where
   $\forall r \in R. \text{is-Done } r \implies \text{is-Done } (\text{resumption-lub } R)$ 
| result (resumption-lub R) = flat-lub None (result ' R)

```

| $output (resumption-lub R) = (THE out. out \in output \text{ ' } (R \cap \{r. \neg is-Done r\}))$
| $resume (resumption-lub R) = (\lambda inp. resumption-lub ((\lambda c. c inp) \text{ ' } resume \text{ ' } (R \cap \{r. \neg is-Done r\})))$

lemma *is-Done-resumption-lub* [simp]:
 $is-Done (resumption-lub R) \longleftrightarrow (\forall r \in R. is-Done r)$
by(simp add: resumption-lub-def)

lemma *result-resumption-lub* [simp]:
 $\forall r \in R. is-Done r \implies result (resumption-lub R) = flat-lub None (result \text{ ' } R)$
by(simp add: resumption-lub-def)

lemma *output-resumption-lub* [simp]:
 $\exists r \in R. \neg is-Done r \implies output (resumption-lub R) = (THE out. out \in output \text{ ' } (R \cap \{r. \neg is-Done r\}))$
by(simp add: resumption-lub-def)

lemma *resume-resumption-lub* [simp]:
 $\exists r \in R. \neg is-Done r$
 $\implies resume (resumption-lub R) inp =$
 $resumption-lub ((\lambda c. c inp) \text{ ' } resume \text{ ' } (R \cap \{r. \neg is-Done r\}))$
by(simp add: resumption-lub-def)

lemma *resumption-lub-empty*: $resumption-lub \{\} = ABORT$
by(subst resumption-lub.code)(simp add: flat-lub-def)

context

fixes R state inp R'
defines R' -def: $R' \equiv (\lambda c. c inp) \text{ ' } resume \text{ ' } (R \cap \{r. \neg is-Done r\})$
assumes chain: *Complete-Partial-Order.chain* $resumption-ord R$
begin

lemma *resumption-ord-chain-resume*: *Complete-Partial-Order.chain* $resumption-ord R'$

proof(rule chainI)
fix $r' r''$
assume $r' \in R'$
and $r'' \in R'$
then obtain $r' r''$
where r' : $r' = resume r' inp$ $r' \in R \neg is-Done r'$
and r'' : $r'' = resume r'' inp$ $r'' \in R \neg is-Done r''$
by(auto simp add: R' -def)
from chain $\langle r' \in R \rangle \langle r'' \in R \rangle$
have $resumption-ord r' r'' \vee resumption-ord r'' r'$
by(auto elim: chainE)
with $r' r''$
have $resumption-ord (resume r' inp) (resume r'' inp) \vee$
 $resumption-ord (resume r'' inp) (resume r' inp)$
by(auto elim: resumption-ord.cases simp add: rel-fun-def)

```

  with r' r''
  show resumption-ord r' r'' ∨ resumption-ord r'' r' by auto
qed

end

lemma resumption-partial-function-definition:
  partial-function-definitions resumption-ord resumption-lub
proof
  show resumption-ord r r for r :: ('a, 'b, 'c) resumption by (rule resumption-ord-refl)
  show resumption-ord r r'' if resumption-ord r r' resumption-ord r' r''
    for r r' r'' :: ('a, 'b, 'c) resumption using that by (rule resumption-ord-trans)
  show r = r' if resumption-ord r r' resumption-ord r' r for r r' :: ('a, 'b, 'c)
  resumption
    using that by (rule resumption-ord-antisym)
next
  fix R and r :: ('a, 'b, 'c) resumption
  assume Complete-Partial-Order.chain resumption-ord R r ∈ R
  thus resumption-ord r (resumption-lub R)
  proof (coinduction arbitrary: r R)
    case (Done r R)
    note chain = ⟨Complete-Partial-Order.chain resumption-ord R⟩
    and r = ⟨r ∈ R⟩
    from ⟨is-Done (resumption-lub R)⟩ have A: ∀ r ∈ R. is-Done r by simp
    with r obtain a' where r = Done a' by (cases r) auto
    { fix r'
      assume a' ≠ None
      hence (THE x. x ∈ result ' R ∧ x ≠ None) = a'
        using r A ⟨r = Done a'⟩
      by (auto 4 3 del: the-equality intro!: the-equality intro: rev-image-eqI elim:
  chainE[OF chain] simp add: flat-ord-def is-Done-def)
    }
    with A r ⟨r = Done a'⟩ show ?case
    by (cases a')(auto simp add: flat-ord-def flat-lub-def)
  next
    case (Abort r R)
    hence chain: Complete-Partial-Order.chain resumption-ord R and r ∈ R by
  simp-all
    from ⟨r ∈ R⟩ ⟨¬ is-Done (resumption-lub R)⟩ ⟨is-Done r⟩
  show ?case by (auto elim: chainE[OF chain] dest: resumption-ord-abort resumption-ord-is-DoneD)
  next
    case (Pause r R)
    hence chain: Complete-Partial-Order.chain resumption-ord R
    and r: r ∈ R by simp-all
    have ?resume
    using r ⟨¬ is-Done r⟩ resumption-ord-chain-resume[OF chain]
    by (auto simp add: rel-fun-def bexI)
  moreover
  from r ⟨¬ is-Done r⟩ have output (resumption-lub R) = output r

```

```

    by(auto 4 4 simp add: beXI del: the-equality intro!: the-equality elim: chainE[OF
chain] dest: resumption-ord-outputD)
    ultimately show ?case by simp
  qed
next
  fix R and r :: ('a, 'b, 'c) resumption
  assume Complete-Partial-Order.chain resumption-ord R  $\wedge$  r'. r'  $\in$  R  $\implies$  resumption-ord
r' r
  thus resumption-ord (resumption-lub R) r
  proof(coinduction arbitrary: R r)
    case (Done R r)
    hence chain: Complete-Partial-Order.chain resumption-ord R
    and ub:  $\forall r' \in R. \text{resumption-ord } r' r$  by simp-all
    from  $\langle \text{is-Done } r \rangle$  ub have is-Done:  $\forall r' \in R. \text{is-Done } r'$ 
    and ub':  $\bigwedge r'. r' \in \text{result } 'R \implies \text{flat-ord None } r' (\text{result } r)$ 
    by(auto dest: resumption-ord-is-DoneD resumption-ord-resultD)
    from is-Done have chain': Complete-Partial-Order.chain (flat-ord None)
(result ' R)
    by(auto 5 2 intro!: chainI elim: chainE[OF chain] dest: resumption-ord-resultD)
    hence flat-ord None (flat-lub None (result ' R)) (result r)
    by(rule partial-function-definitions.lub-least[OF flat-interpretation])(rule ub')
    thus ?case using is-Done by simp
  next
    case (Abort R r)
    hence chain: Complete-Partial-Order.chain resumption-ord R
    and ub:  $\forall r' \in R. \text{resumption-ord } r' r$  by simp-all
    from  $\langle \neg \text{is-Done } r \rangle$   $\langle \text{is-Done } (\text{resumption-lub } R) \rangle$  ub
    show ?case by(auto simp add: flat-lub-def dest: resumption-ord-abort)
  next
    case (Pause R r)
    hence chain: Complete-Partial-Order.chain resumption-ord R
    and ub:  $\bigwedge r'. r' \in R \implies \text{resumption-ord } r' r$  by simp-all
    from  $\langle \neg \text{is-Done } (\text{resumption-lub } R) \rangle$  have exR:  $\exists r \in R. \neg \text{is-Done } r$  by simp
    then obtain r' where r': r'  $\in$  R  $\neg \text{is-Done } r'$  by auto
    with ub[of r'] have output r = output r' by(auto dest: resumption-ord-outputD)
    also have [symmetric]: output (resumption-lub R) = output r' using exR r'
    by(auto 4 4 elim: chainE[OF chain] dest: resumption-ord-outputD)
    finally have ?output ..
  moreover
  { fix inp r''
    assume r''  $\in$  R  $\neg \text{is-Done } r''$ 
    with ub[of r'']
    have resumption-ord (resume r'' inp) (resume r inp)
    by(auto dest!: resumption-ord-resumeD simp add: rel-fun-def) }
  with exR resumption-ord-chain-resume[OF chain] r'
  have ?resume by(auto simp add: rel-fun-def)
  ultimately show ?case ..
  qed
qed

```

interpretation *resumption*:

partial-function-definitions resumption-ord resumption-lub

rewrites *resumption-lub* $\{ \} = (ABORT :: ('a, 'b, 'c) \text{resumption})$

by (*rule resumption-partial-function-definition resumption-lub-empty*) $+$

declaration $\langle\langle$ *Partial-Function.init resumption* $\@ \{ \text{term resumption.fixp-fun} \}$

$\@ \{ \text{term resumption.mono-body} \}$ $\@ \{ \text{thm resumption.fixp-rule-uc} \}$ $\@ \{ \text{thm resumption.fixp-induct-uc} \}$ *NONE* $\rangle\rangle$

abbreviation *mono-resumption* \equiv *monotone (fun-ord resumption-ord) resumption-ord*

lemma *mono-resumption-resume*:

assumes *mono-resumption B*

shows *mono-resumption* $(\lambda f. \text{resume } (B f) \text{ inp})$

proof

fix *f g* $:: 'a \Rightarrow ('b, 'c, 'd) \text{resumption}$

assume *fg*: *fun-ord resumption-ord f g*

hence *resumption-ord (B f) (B g)* **by**(*rule monotoneD[OF assms]*)

with *resumption-ord-resumeD*[*OF this*]

show *resumption-ord (resume (B f) inp) (resume (B g) inp)*

by(*cases is-Done (B f)*)(*auto simp add: rel-fun-def is-Done-def*)

qed

lemma *bind-resumption-mono* [*partial-function-mono*]:

assumes *mf*: *mono-resumption B*

and *mg*: $\bigwedge y. \text{mono-resumption } (C y)$

shows *mono-resumption* $(\lambda f. \text{do } \{ y \leftarrow B f; C y f \})$

proof(*rule monotoneI*)

fix *f g* $:: 'a \Rightarrow ('b, 'c, 'd) \text{resumption}$

assume $*$: *fun-ord resumption-ord f g*

define *f'* **where** $f' \equiv B f$ **define** *g'* **where** $g' \equiv B g$

define *h* **where** $h \equiv \lambda x. C x f$ **define** *k* **where** $k \equiv \lambda x. C x g$

from *mf*[*THEN monotoneD, OF **] *mg*[*THEN monotoneD, OF **] *f'-def g'-def*
h-def k-def

have *resumption-ord f' g' $\bigwedge x. \text{resumption-ord } (h x) (k x)$* **by** *auto*

thus *resumption-ord (f' \ggg h) (g' \ggg k)*

proof(*coinduction arbitrary: f' g' h k*)

case (*Done f' g' h k*)

hence *le*: *resumption-ord f' g'*

and *mg*: $\bigwedge y. \text{resumption-ord } (h y) (k y)$ **by** *simp-all*

from $\langle \text{is-Done } (g' \ggg k) \rangle$

have *done-Bg*: *is-Done g'*

and *result g' \neq None \implies is-Done (k (the (result g')))* **by** *simp-all*

moreover

have *is-Done f'* **using** *le done-Bg* **by**(*rule resumption-ord-is-DoneD*)

moreover

from *le done-Bg* **have** *flat-ord None (result f') (result g')*

by(*rule resumption-ord-resultD*)


```

hence result f' ≠ None ⇒ result g' = result f'
  by(auto simp add: flat-ord-def)
moreover
have resumption-ord (h (the (result f'))) (k (the (result f'))) by(rule mg)
ultimately show ?case
  by(subst (1 2) result-bind-resumption)(auto dest: resumption-ord-is-DoneD
resumption-ord-resultD simp add: flat-ord-def bind-eq-None-conv)
next
  case (Abort f' g' h k)
  hence resumption-ord (h (the (result f'))) (k (the (result f'))) by simp
  thus ?case using Abort
  by(cases is-Done g')(auto 4 4 simp add: bind-eq-None-conv flat-ord-def dest:
resumption-ord-abort resumption-ord-resultD resumption-ord-is-DoneD)
next
  case (Pause f' g' h k)
  hence ?output
  by(auto 4 4 dest: resumption-ord-outputD resumption-ord-is-DoneD resumption-ord-resultD
resumption-ord-abort simp add: flat-ord-def)
  moreover have ?resume
  proof(cases is-Done f')
    case False
    with Pause show ?thesis
    by(auto simp add: rel-fun-def dest: resumption-ord-is-DoneD intro: resumption-ord-resumeD[THEN
rel-funD] del: exI intro!: exI)
  next
  case True
  hence is-Done g' using Pause by(auto dest: resumption-ord-abort)
  thus ?thesis using True Pause resumption-ord-resultD[OF ‹resumption-ord
f' g'›]
  by(auto del: rel-funI intro!: rel-funI simp add: bind-resumption-is-Done
flat-ord-def intro: resumption-ord-resumeD[THEN rel-funD] exI[where x=f] exI[where
x=g])
  qed
  ultimately show ?case ..
qed
qed

```

lemma fixes f F

```

defines F ≡ λresults r. case r of resumption.Done x ⇒ set-option x | resump-
tion.Pause out c ⇒ ∪ input. results (c input)
shows results-conv-fixp: results ≡ ccpo.fixp (fun-lub Union) (fun-ord (⊆)) F (is
- ≡ ?fixp)
and results-mono: ∧x. monotone (fun-ord (⊆)) (⊆) (λf. F f x) (is PROP ?mono)
proof(rule eq-reflection ext antisym subsetI)+
show mono: PROP ?mono unfolding F-def by(tactic ‹‹ Partial-Function.mono-tac
@{context} 1 ‹››)
fix x r
show ?fixp r ⊆ results r
  by(induction arbitrary: r rule: lfp.fixp-induct-uc[of λx. x F λx. x, OF mono

```

```

reflexive refl])
  (fastforce simp add: F-def split: resumption.split-asm)+

  assume  $x \in \text{results } r$ 
  thus  $x \in ?\text{fixp } r$  by induct(subst lfp.mono-body-fixp[OF mono]; auto simp add:
F-def)+
qed

lemma mcont-case-resumption:
  fixes  $f g$ 
  defines  $h \equiv \lambda r. \text{if is-Done } r \text{ then } f \text{ (result } r) \text{ else } g \text{ (output } r) \text{ (resume } r) r$ 
  assumes mcont1: mcont (flat-lub None) option-ord lub ord  $f$ 
  and mcont2:  $\bigwedge \text{out. mcont (fun-lub resumption-lub) (fun-ord resumption-ord) lub}$ 
  ord ( $\lambda c. g \text{ out } c$  (Pause out  $c$ ))
  and ccpo: class.ccpo lub ord (mk-less ord)
  and bot:  $\bigwedge x. \text{ord } (f \text{ None}) x$ 
  shows mcont resumption-lub resumption-ord lub ord ( $\lambda r. \text{case } r \text{ of Done } x \Rightarrow f$ 
 $x \mid \text{Pause out } c \Rightarrow g \text{ out } c r$ )
  (is mcont ?lub ?ord - - ?f)
proof(rule resumption.mcont-if-bot[OF ccpo bot, where bound=ABORT and  $f=h$ ])
  show  $?f x = (\text{if } ?\text{ord } x \text{ ABORT then } f \text{ None else } h x)$  for  $x$ 
  by(simp add: h-def split: resumption.split)
  show ord ( $h x$ ) ( $h y$ ) if  $?\text{ord } x y \neg ?\text{ord } x \text{ ABORT}$  for  $x y$  using that
  by(cases  $x$ )(simp-all add: h-def mcont-monoD[OF mcont1] fun-ord-conv-rel-fun
mcont-monoD[OF mcont2])

  fix  $Y :: ('a, 'b, 'c) \text{ resumption set}$ 
  assume chain: Complete-Partial-Order.chain ?ord  $Y$ 
  and  $Y: Y \neq \{\}$ 
  and nbot:  $\bigwedge x. x \in Y \Longrightarrow \neg ?\text{ord } x \text{ ABORT}$ 
  show  $h (?lub Y) = lub (h ` Y)$ 
  proof(cases  $\exists x. \text{DONE } x \in Y$ )
  case True
  then obtain  $x$  where  $x: \text{DONE } x \in Y ..$ 
  have is-Done: is-Done  $r$  if  $r \in Y$  for  $r$  using chainD[OF chain that  $x$ ]
  by(auto dest: resumption-ord-is-DoneD)
  from is-Done have chain': Complete-Partial-Order.chain (flat-ord None)
(result `  $Y$ )
  by(auto 5 2 intro!: chainI elim: chainE[OF chain] dest: resumption-ord-resultD)
  from is-Done have is-Done (?lub  $Y$ )  $Y \cap \{r. \text{is-Done } r\} = Y Y \cap \{r. \neg$ 
is-Done  $r\} = \{\}$  by auto
  then show ?thesis using  $Y$  by(simp add: h-def mcont-contD[OF mcont1
chain'] image-image)
  next
  case False
  have is-Done:  $\neg \text{is-Done } r$  if  $r \in Y$  for  $r$  using that False nbot
  by(auto elim!: is-Done-cases)
  from  $Y$  obtain out  $c$  where Pause: Pause out  $c \in Y$ 
  by(auto 5 2 dest: is-Done iff: not-is-Done-conv-Pause)

```

have *out*: (*THE out. out* \in *output* ‘ ($Y \cap \{r. \neg \text{is-Done } r\}$)) = *out* **using** *Pause*
by(*auto* 4 3 *intro: rev-image-eqI iff: not-is-Done-conv-Pause dest: chainD[OF chain]*)
have ($\lambda r. g$ (*output* *r*) (*resume* *r*) *r*) ‘ ($Y \cap \{r. \neg \text{is-Done } r\}$) = ($\lambda r. g$ *out* (*resume* *r*) *r*) ‘ ($Y \cap \{r. \neg \text{is-Done } r\}$)
by(*auto* 4 3 *simp add: not-is-Done-conv-Pause dest: chainD[OF chain Pause] intro: rev-image-eqI*)
moreover have $\neg \text{is-Done } (?lub Y)$ **using** *Y is-Done* **by**(*auto*)
moreover from *is-Done* **have** $Y \cap \{r. \text{is-Done } r\} = \{r. Y \cap \{r. \neg \text{is-Done } r\} = Y$ **by** *auto*
moreover have ($\lambda \text{inp. resumption-lub } ((\lambda x. \text{resume } x \text{ inp}) ‘ Y)) = \text{fun-lub resumption-lub } (\text{resume } ‘ Y)$
by(*auto simp add: fun-lub-def fun-eq-iff intro!: arg-cong[where f=resumption-lub]*)
moreover have $\text{resumption-lub } Y = \text{Pause out } (\text{fun-lub resumption-lub } (\text{resume } ‘ Y))$
using *Y is-Done out*
by(*intro resumption.expand*)(*auto simp add: fun-lub-def fun-eq-iff image-image intro!: arg-cong[where f=resumption-lub]*)
moreover have *chain'*: *Complete-Partial-Order.chain resumption.le-fun* (*resume* ‘ *Y*) **using** *chain*
by(*rule chain-imageI*)(*auto dest!: is-Done simp add: not-is-Done-conv-Pause fun-ord-conv-rel-fun*)
moreover have ($\lambda r. g$ *out* (*resume* *r*) (*Pause out* (*resume* *r*))) ‘ $Y = (\lambda r. g$ *out* (*resume* *r*) *r*) ‘ Y
by(*intro image-cong[OF refl]*)(*frule nbot; auto dest!: chainD[OF chain Pause] elim: resumption-ord.cases*)
ultimately show *?thesis using False out Y*
by(*simp add: h-def image-image mcont-contD[OF mcont2]*)
qed
qed

lemma *mcont2mcont-results*[*THEN mcont2mcont, cont-intro, simp*]:
shows *mcont-results: mcont resumption-lub resumption-ord Union* (\subseteq) *results*
apply(*rule lfp.fixp-preserves-mcont1*[*OF results-mono results-conv-fixp*])
apply(*rule mcont-case-resumption*)
apply(*simp-all add: mcont-applyI*)
done

lemma *mono2mono-results*[*THEN lfp.mono2mono, cont-intro, simp*]:
shows *monotone-results: monotone resumption-ord* (\subseteq) *results*
using *mcont-results* **by**(*rule mcont-mono*)

lemma *fixes f F*

defines $F \equiv \lambda \text{outputs } xs. \text{case } xs \text{ of } \text{resumption.Done } x \Rightarrow \{x\} \mid \text{resumption.Pause out } c \Rightarrow \text{insert out } (\bigcup \text{input. outputs } (c \text{ input}))$
shows *outputs-conv-fixp: outputs* $\equiv \text{ccpo.fixp } (\text{fun-lub Union})$ (*fun-ord* (\subseteq)) F
(is - \equiv ?fixp)

and *outputs-mono*: $\bigwedge x. \text{monotone } (\text{fun-ord } (\subseteq)) (\subseteq) (\lambda f. F f x)$ (**is** *PROP* *?mono*)
proof(*rule eq-reflection ext antisym subsetI*)+
show *mono*: *PROP* *?mono* **unfolding** *F-def* **by**(*tactic* \ll *Partial-Function.mono-tac*
 $\text{@}\{\text{context}\} 1 \gg$)
show *?fixp* $r \subseteq \text{outputs } r$ **for** r
by(*induct arbitrary: r rule: lfp.fixp-induct-uc*[*of* $\lambda x. x F \lambda x. x$, *OF mono*
reflexive refl])(*auto simp add: F-def split: resumption.split*)
show $x \in \text{?fixp } r$ **if** $x \in \text{outputs } r$ **for** $x r$ **using** *that*
by *induct*(*subst lfp.mono-body-fixp*[*OF mono*]; *auto simp add: F-def; fail*)+
qed

lemma *mcont2mcont-outputs*[*THEN lfp.mcont2mcont, cont-intro, simp*]:
shows *mcont-outputs*: *mcont resumption-lub resumption-ord Union* (\subseteq) *outputs*
apply(*rule lfp.fixp-preserves-mcont1*[*OF outputs-mono outputs-conv-fixp*])
apply(*auto intro: lfp.mcont2mcont intro!: mcont2mcont-insert mcont-SUP mcont-case-resumption*)
done

lemma *mono2mono-outputs*[*THEN lfp.mono2mono, cont-intro, simp*]:
shows *monotone-outputs*: *monotone resumption-ord* (\subseteq) *outputs*
using *mcont-outputs* **by**(*rule mcont-mono*)

lemma *pred-resumption-antimono*:
assumes r : *pred-resumption* $A C r'$
and le : *resumption-ord* $r r'$
shows *pred-resumption* $A C r$
using r *monotoneD*[*OF monotone-results le*] *monotoneD*[*OF monotone-outputs le*]
by(*auto simp add: pred-resumption-def*)

3.2 Setup for lifting and transfer

declare *resumption.rel-eq* [*id-simps, relator-eq*]
declare *resumption.rel-mono* [*relator-mono*]

lemma *rel-resumption-OO* [*relator-distr*]:
rel-resumption $A B OO$ *rel-resumption* $C D = \text{rel-resumption } (A OO C) (B OO D)$
by(*simp add: resumption.rel-compp*)

lemma *left-total-rel-resumption* [*transfer-rule*]:
 $\llbracket \text{left-total } R1; \text{left-total } R2 \rrbracket \implies \text{left-total } (\text{rel-resumption } R1 R2)$
by(*simp only: left-total-alt-def resumption.rel-eq*[*symmetric*] *resumption.rel-conversep*[*symmetric*]
rel-resumption-OO resumption.rel-mono)

lemma *left-unique-rel-resumption* [*transfer-rule*]:
 $\llbracket \text{left-unique } R1; \text{left-unique } R2 \rrbracket \implies \text{left-unique } (\text{rel-resumption } R1 R2)$
by(*simp only: left-unique-alt-def resumption.rel-eq*[*symmetric*] *resumption.rel-conversep*[*symmetric*]
rel-resumption-OO resumption.rel-mono)

lemma *right-total-rel-resumption* [*transfer-rule*]:
 $\llbracket \text{right-total } R1; \text{right-total } R2 \rrbracket \implies \text{right-total } (\text{rel-resumption } R1 \ R2)$
by (*simp only: right-total-alt-def* *resumption.rel-eq*[*symmetric*] *resumption.rel-conversep*[*symmetric*] *rel-resumption-OO* *resumption.rel-mono*)

lemma *right-unique-rel-resumption* [*transfer-rule*]:
 $\llbracket \text{right-unique } R1; \text{right-unique } R2 \rrbracket \implies \text{right-unique } (\text{rel-resumption } R1 \ R2)$
by (*simp only: right-unique-alt-def* *resumption.rel-eq*[*symmetric*] *resumption.rel-conversep*[*symmetric*] *rel-resumption-OO* *resumption.rel-mono*)

lemma *bi-total-rel-resumption* [*transfer-rule*]:
 $\llbracket \text{bi-total } A; \text{bi-total } B \rrbracket \implies \text{bi-total } (\text{rel-resumption } A \ B)$
unfolding *bi-total-alt-def*
by (*blast intro: left-total-rel-resumption right-total-rel-resumption*)

lemma *bi-unique-rel-resumption* [*transfer-rule*]:
 $\llbracket \text{bi-unique } A; \text{bi-unique } B \rrbracket \implies \text{bi-unique } (\text{rel-resumption } A \ B)$
unfolding *bi-unique-alt-def*
by (*blast intro: left-unique-rel-resumption right-unique-rel-resumption*)

lemma *Quotient-resumption* [*quot-map*]:
 $\llbracket \text{Quotient } R1 \ \text{Abs1} \ \text{Rep1} \ T1; \text{Quotient } R2 \ \text{Abs2} \ \text{Rep2} \ T2 \rrbracket$
 $\implies \text{Quotient } (\text{rel-resumption } R1 \ R2) \ (\text{map-resumption } \text{Abs1} \ \text{Abs2}) \ (\text{map-resumption } \text{Rep1} \ \text{Rep2}) \ (\text{rel-resumption } T1 \ T2)$
by (*simp add: Quotient-alt-def5* *resumption.rel-Grp*[*of UNIV - UNIV -*, *symmetric*, *simplified*] *resumption.rel-compp* *resumption.rel-conversep*[*symmetric*] *resumption.rel-mono*)

end

4 Generative probabilistic values

theory *Generat* **imports**
Misc-CryptHOL
begin

4.1 Single-step generative

datatype (*generat-pures: 'a*, *generat-outs: 'b*, *generat-contrs: 'c*) *generat*
 $= \text{Pure } (\text{result: } 'a)$
 $| \text{IO } (\text{output: } 'b) \ (\text{continuation: } 'c)$

datatype-compat *generat*

lemma *IO-code-cong*: $\text{out} = \text{out}' \implies \text{IO } \text{out } c = \text{IO } \text{out}' \ c$ **by** *simp*
setup $\ll \text{Code-Simp.map-ss } (\text{Simplifier.add-cong } @\{\text{thm } \text{IO-code-cong}\}) \gg$

lemma *is-Pure-map-generat* [*simp*]: $\text{is-Pure } (\text{map-generat } f \ g \ h \ x) = \text{is-Pure } x$
by (*cases x*) *simp-all*

lemma *result-map-generat* [*simp*]: $is\text{-}Pure\ x \implies result\ (map\text{-}generat\ f\ g\ h\ x) = f\ (result\ x)$
by(*cases* *x*) *simp-all*

lemma *output-map-generat* [*simp*]: $\neg is\text{-}Pure\ x \implies output\ (map\text{-}generat\ f\ g\ h\ x) = g\ (output\ x)$
by(*cases* *x*) *simp-all*

lemma *continuation-map-generat* [*simp*]: $\neg is\text{-}Pure\ x \implies continuation\ (map\text{-}generat\ f\ g\ h\ x) = h\ (continuation\ x)$
by(*cases* *x*) *simp-all*

lemma [*simp*]:
shows *map-generat-eq-Pure*:
 $map\text{-}generat\ f\ g\ h\ generat = Pure\ x \longleftrightarrow (\exists x'.\ generat = Pure\ x' \wedge x = f\ x')$
and *Pure-eq-map-generat*:
 $Pure\ x = map\text{-}generat\ f\ g\ h\ generat \longleftrightarrow (\exists x'.\ generat = Pure\ x' \wedge x = f\ x')$
by(*cases* *generat*; *auto*; *fail*)⁺

lemma [*simp*]:
shows *map-generat-eq-IO*:
 $map\text{-}generat\ f\ g\ h\ generat = IO\ out\ c \longleftrightarrow (\exists out'\ c'.\ generat = IO\ out'\ c' \wedge out = g\ out' \wedge c = h\ c')$
and *IO-eq-map-generat*:
 $IO\ out\ c = map\text{-}generat\ f\ g\ h\ generat \longleftrightarrow (\exists out'\ c'.\ generat = IO\ out'\ c' \wedge out = g\ out' \wedge c = h\ c')$
by(*cases* *generat*; *auto*; *fail*)⁺

lemma *is-PureE* [*cases* *pred*]:
assumes *is-Pure generat*
obtains (*Pure*) *x* **where** $generat = Pure\ x$
using *assms* **by**(*auto* *simp* *add: is-Pure-def*)

lemma *not-is-PureE*:
assumes $\neg is\text{-}Pure\ generat$
obtains (*IO*) *out* *c* **where** $generat = IO\ out\ c$
using *assms* **by**(*cases* *generat*) *auto*

lemma *rel-generatI*:
 $\llbracket is\text{-}Pure\ x \longleftrightarrow is\text{-}Pure\ y;$
 $\llbracket is\text{-}Pure\ x; is\text{-}Pure\ y \rrbracket \implies A\ (result\ x)\ (result\ y);$
 $\llbracket \neg is\text{-}Pure\ x; \neg is\text{-}Pure\ y \rrbracket \implies Out\ (output\ x)\ (output\ y) \wedge R\ (continuation\ x)\ (continuation\ y) \rrbracket$
 $\implies rel\text{-}generat\ A\ Out\ R\ x\ y$
by(*cases* *x* *y* *rule: generat.exhaust[case-product generat.exhaust]*) *simp-all*

lemma *rel-generatD'*:
 $rel\text{-}generat\ A\ Out\ R\ x\ y$

$\implies (is\text{-}Pure\ x \longleftrightarrow is\text{-}Pure\ y) \wedge$
 $(is\text{-}Pure\ x \longrightarrow is\text{-}Pure\ y \longrightarrow A\ (result\ x)\ (result\ y)) \wedge$
 $(\neg is\text{-}Pure\ x \longrightarrow \neg is\text{-}Pure\ y \longrightarrow Out\ (output\ x)\ (output\ y) \wedge R\ (continuation\ x)\ (continuation\ y))$
by(cases $x\ y$ rule: generat.exhaust[case-product generat.exhaust]) simp-all

lemma rel-generatD:

assumes rel-generat $A\ Out\ R\ x\ y$
shows rel-generat-is-PureD: $is\text{-}Pure\ x \longleftrightarrow is\text{-}Pure\ y$
and rel-generat-resultD: $is\text{-}Pure\ x \vee is\text{-}Pure\ y \implies A\ (result\ x)\ (result\ y)$
and rel-generat-outputD: $\neg is\text{-}Pure\ x \vee \neg is\text{-}Pure\ y \implies Out\ (output\ x)\ (output\ y)$
and rel-generat-continuationD: $\neg is\text{-}Pure\ x \vee \neg is\text{-}Pure\ y \implies R\ (continuation\ x)\ (continuation\ y)$
using rel-generatD'[OF assms] **by** simp-all

lemma rel-generat-mono:

$\llbracket rel\text{-}generat\ A\ B\ C\ x\ y; \bigwedge x\ y. A\ x\ y \implies A'\ x\ y; \bigwedge x\ y. B\ x\ y \implies B'\ x\ y; \bigwedge x\ y. C\ x\ y \implies C'\ x\ y \rrbracket$
 $\implies rel\text{-}generat\ A'\ B'\ C'\ x\ y$
using generat.rel-mono[of $A\ A'\ B\ B'\ C\ C'$] **by**(auto simp add: le-fun-def)

lemma rel-generat-mono' [mono]:

$\llbracket \bigwedge x\ y. A\ x\ y \longrightarrow A'\ x\ y; \bigwedge x\ y. B\ x\ y \longrightarrow B'\ x\ y; \bigwedge x\ y. C\ x\ y \longrightarrow C'\ x\ y \rrbracket$
 $\implies rel\text{-}generat\ A\ B\ C\ x\ y \longrightarrow rel\text{-}generat\ A'\ B'\ C'\ x\ y$
by(blast intro: rel-generat-mono)

lemma rel-generat-same:

$rel\text{-}generat\ A\ B\ C\ r\ r \longleftrightarrow$
 $(\forall x \in generat\text{-}pures\ r. A\ x\ x) \wedge$
 $(\forall out \in generat\text{-}outs\ r. B\ out\ out) \wedge$
 $(\forall c \in generat\text{-}conts\ r. C\ c\ c)$
by(cases r)(auto simp add: rel-fun-def)

lemma rel-generat-reflI:

$\llbracket \bigwedge y. y \in generat\text{-}pures\ x \implies A\ y\ y;$
 $\bigwedge out. out \in generat\text{-}outs\ x \implies B\ out\ out;$
 $\bigwedge cont. cont \in generat\text{-}conts\ x \implies C\ cont\ cont \rrbracket$
 $\implies rel\text{-}generat\ A\ B\ C\ x\ x$
by(cases x) auto

lemma reflp-rel-generat [simp]: $reflp\ (rel\text{-}generat\ A\ B\ C) \longleftrightarrow reflp\ A \wedge reflp\ B \wedge reflp\ C$

by(auto 4 3 intro!: reflpI rel-generatI dest: reflpD reflpD[where $x=Pure\ -$] reflpD[where $x=IO\ -$])

lemma transp-rel-generatI:

assumes transp $A\ transp\ B\ transp\ C$
shows transp $(rel\text{-}generat\ A\ B\ C)$

by(rule transpI)(auto 6 5 dest: rel-generatD' intro!: rel-generatI intro: assms[THEN transpD] simp add: rel-fun-def)

lemma rel-generat-inf:

$\text{inf } (\text{rel-generat } A \ B \ C) \ (\text{rel-generat } A' \ B' \ C') = \text{rel-generat } (\text{inf } A \ A') \ (\text{inf } B \ B') \ (\text{inf } C \ C')$
(is ?lhs = ?rhs)

proof(rule antisym)

show ?lhs \leq ?rhs

by(auto elim!: generat.rel-cases simp add: rel-fun-def)

qed(auto elim: rel-generat-mono)

lemma rel-generat-Pure1: $\text{rel-generat } A \ B \ C \ (\text{Pure } x) = (\lambda r. \exists y. r = \text{Pure } y \wedge A \ x \ y)$

by(rule ext)(case-tac r, simp-all)

lemma rel-generat-IO1: $\text{rel-generat } A \ B \ C \ (\text{IO } \text{out } c) = (\lambda r. \exists \text{out}' \ c'. r = \text{IO } \text{out}' \ c' \wedge B \ \text{out}' \ \text{out}' \wedge C \ c \ c')$

by(rule ext)(case-tac r, simp-all)

lemma not-is-Pure-conv: $\neg \text{is-Pure } r \iff (\exists \text{out } c. r = \text{IO } \text{out } c)$

by(cases r) auto

lemma finite-generat-outs [simp]: $\text{finite } (\text{generat-outs } \text{generat})$

by(cases generat) auto

lemma countable-generat-outs [simp]: $\text{countable } (\text{generat-outs } \text{generat})$

by(simp add: countable-finite)

lemma case-map-generat:

$\text{case-generat } \text{pure } \text{io } (\text{map-generat } a \ b \ d \ r) =$
 $\text{case-generat } (\text{pure } \circ a) \ (\lambda \text{out}. \text{io } (b \ \text{out}) \circ d) \ r$

by(cases r) simp-all

lemma continuation-in-generat-contr:

$\neg \text{is-Pure } r \implies \text{continuation } r \in \text{generat-contrs } r$

by(cases r) auto

fun dest-IO :: $('a, 'out, 'c) \text{ generat} \Rightarrow ('out \times 'c) \text{ option}$

where

$\text{dest-IO } (\text{Pure } -) = \text{None}$

| $\text{dest-IO } (\text{IO } \text{out } c) = \text{Some } (\text{out}, c)$

lemma dest-IO-eq-Some-iff [simp]: $\text{dest-IO } \text{generat} = \text{Some } (\text{out}, c) \iff \text{generat} = \text{IO } \text{out } c$

by(cases generat) simp-all

lemma dest-IO-eq-None-iff [simp]: $\text{dest-IO } \text{generat} = \text{None} \iff \text{is-Pure } \text{generat}$

by(cases generat) simp-all

lemma dest-IO-comp-Pure [simp]: dest-IO \circ Pure = (λ -. None)
by(simp add: fun-eq-iff)

lemma dom-dest-IO: dom dest-IO = { x . \neg is-Pure x }
by(auto simp add: not-is-Pure-conv)

definition generat-lub :: ('a set \Rightarrow 'b) \Rightarrow ('out set \Rightarrow 'out') \Rightarrow ('cont set \Rightarrow 'cont')
 \Rightarrow ('a, 'out, 'cont) generat set \Rightarrow ('b, 'out', 'cont') generat

where

generat-lub lub1 lub2 lub3 A =
(if $\exists x \in A$. is-Pure x then Pure (lub1 (result ' (A \cap { f . is-Pure f })))
else IO (lub2 (output ' (A \cap { f . \neg is-Pure f }))) (lub3 (continuation ' (A \cap { f .
 \neg is-Pure f }))))))

lemma is-Pure-generat-lub [simp]:
is-Pure (generat-lub lub1 lub2 lub3 A) \longleftrightarrow ($\exists x \in A$. is-Pure x)
by(simp add: generat-lub-def)

lemma result-generat-lub [simp]:
 $\exists x \in A$. is-Pure $x \implies$ result (generat-lub lub1 lub2 lub3 A) = lub1 (result ' (A \cap
{ f . is-Pure f }))
by(simp add: generat-lub-def)

lemma output-generat-lub:
 $\forall x \in A$. \neg is-Pure $x \implies$ output (generat-lub lub1 lub2 lub3 A) = lub2 (output ' (A \cap
{ f . \neg is-Pure f }))
by(simp add: generat-lub-def)

lemma continuation-generat-lub:
 $\forall x \in A$. \neg is-Pure $x \implies$ continuation (generat-lub lub1 lub2 lub3 A) = lub3
(continuation ' (A \cap { f . \neg is-Pure f }))
by(simp add: generat-lub-def)

lemma generat-lub-map [simp]:
generat-lub lub1 lub2 lub3 (map-generat f g h ' A) = generat-lub (lub1 \circ (' f)
(lub2 \circ (' g) (lub3 \circ (' h) A)
by(auto 4 3 simp add: generat-lub-def intro: arg-cong[**where** f =lub1] arg-cong[**where**
 f =lub2] arg-cong[**where** f =lub3] rev-image-eqI del: ext intro!: ext)

lemma map-generat-lub [simp]:
map-generat f g h (generat-lub lub1 lub2 lub3 A) = generat-lub ($f \circ$ lub1) ($g \circ$
lub2) ($h \circ$ lub3) A
by(simp add: generat-lub-def o-def)

```

abbreviation generat-lub' :: ('cont set  $\Rightarrow$  'cont')  $\Rightarrow$  ('a, 'out, 'cont) generat set
 $\Rightarrow$  ('a, 'out, 'cont') generat
where generat-lub'  $\equiv$  generat-lub ( $\lambda A. \text{THE } x. x \in A$ ) ( $\lambda A. \text{THE } x. x \in A$ )

end

```

```

theory Generative-Probabilistic-Value imports
  Resumption
  Generat
  HOL-Types-To-Sets.Types-To-Sets
begin

```

```

hide-const (open) Done

```

4.2 Type definition

```

context notes [[bnf-internals]] begin

```

```

codatatype (results'-gpv: 'a, outs'-gpv: 'out, 'in) gpv
  = GPV (the-gpv: ('a, 'out, 'in  $\Rightarrow$  ('a, 'out, 'in) gpv) generat spmf)

```

```

end

```

```

declare gpv.rel-eq [relator-eq]

```

Reactive values are like generative, except that they take an input first.

```

type-synonym ('a, 'out, 'in) rpv = 'in  $\Rightarrow$  ('a, 'out, 'in) gpv
print-translation — pretty printing for ('a, 'out, 'in) rpv  $\langle$ 
  let
    fun tr' [in1, Const (@{type-syntax gpv}, -) $ a $ out $ in2] =
      if in1 = in2 then Syntax.const @{type-syntax rpv} $ a $ out $ in1
      else raise Match;
    in [(@{type-syntax fun}, K tr')]
  end
 $\rangle$ 
typ ('a, 'out, 'in) rpv

```

Effectively, ('a, 'out, 'in) gpv and ('a, 'out, 'in) rpv are mutually recursive.

```

lemma eq-GPV-iff:  $f = \text{GPV } g \iff \text{the-gpv } f = g$ 
by(cases f) auto

```

```

declare gpv.set[simp del]

```

```

declare gpv.set-map[simp]

```

```

lemma rel-gpv-def':
  rel-gpv A B gpv gpv'  $\longleftrightarrow$ 

```

$(\exists gpv''. (\forall (x, y) \in \text{results}'\text{-gpv } gpv''. A x y) \wedge (\forall (x, y) \in \text{outs}'\text{-gpv } gpv''. B x y)) \wedge$

$\text{map-gpv } \text{fst } \text{fst } gpv'' = gpv \wedge \text{map-gpv } \text{snd } \text{snd } gpv'' = gpv'$

unfolding *rel-gpv-def* **by**(*auto simp add: BNF-Def.Grp-def*)

definition *results'-rpv* :: ('a, 'out, 'in) rpv \Rightarrow 'a set
where *results'-rpv* rpv = range rpv \ggg results'-gpv

definition *outs'-rpv* :: ('a, 'out, 'in) rpv \Rightarrow 'out set
where *outs'-rpv* rpv = range rpv \ggg outs'-gpv

abbreviation *rel-rpv*

:: ('a \Rightarrow 'b \Rightarrow bool) \Rightarrow ('out \Rightarrow 'out' \Rightarrow bool)

\Rightarrow ('in \Rightarrow ('a, 'out, 'in) gpv) \Rightarrow ('in \Rightarrow ('b, 'out', 'in) gpv) \Rightarrow bool

where *rel-rpv* A B \equiv rel-fun (=) (rel-gpv A B)

lemma *in-results'-rpv [iff]*: $x \in \text{results}'\text{-rpv } rpv \iff (\exists \text{input}. x \in \text{results}'\text{-gpv } (rpv \text{ input}))$

by(*simp add: results'-rpv-def*)

lemma *in-outs-rpv [iff]*: $\text{out} \in \text{outs}'\text{-rpv } rpv \iff (\exists \text{input}. \text{out} \in \text{outs}'\text{-gpv } (rpv \text{ input}))$

by(*simp add: outs'-rpv-def*)

lemma *results'-GPV [simp]*:

results'-gpv (GPV r) =

(*set-spmf* r \ggg *generat-pures*) \cup

((*set-spmf* r \ggg *generat-contrs*) \ggg *results'-rpv*)

by(*auto simp add: gpv.set bind-UNION set-spmf-def*)

lemma *outs'-GPV [simp]*:

outs'-gpv (GPV r) =

(*set-spmf* r \ggg *generat-outs*) \cup

((*set-spmf* r \ggg *generat-contrs*) \ggg *outs'-rpv*)

by(*auto simp add: gpv.set bind-UNION set-spmf-def*)

lemma *outs'-gpv-unfold*:

outs'-gpv r =

(*set-spmf* (the-gpv r) \ggg *generat-outs*) \cup

((*set-spmf* (the-gpv r) \ggg *generat-contrs*) \ggg *outs'-rpv*)

by(*cases r*) *simp*

lemma *outs'-gpv-induct* [*consumes 1*, *case-names Out Cont*, *induct set: outs'-gpv*]:

assumes *x*: $x \in \text{outs}'\text{-gpv } gpv$

and *Out*: $\bigwedge \text{generat } gpv. \llbracket \text{generat} \in \text{set-spmf } (\text{the-gpv } gpv); x \in \text{generat-outs } \text{generat} \rrbracket \implies P \text{ } gpv$

and *Cont*: $\bigwedge \text{generat } gpv \text{ } c \text{ input.}$

$\llbracket \text{generat} \in \text{set-spmf } (\text{the-gpv } gpv); c \in \text{generat-contrs } \text{generat}; x \in \text{outs}'\text{-gpv } (c \text{ input}); P (c \text{ input}) \rrbracket \implies P \text{ } gpv$

shows P gpv
using x
apply(*induction* $y \equiv x$ gpv)
apply(*rule* Out , *simp add:* $in\text{-}set\text{-}spmf$, *simp*)
apply(*erule* $imageE$, *rule* $Cont$, *simp add:* $in\text{-}set\text{-}spmf$, *simp*, *simp*, *simp*)
.

lemma $outs'\text{-}gpv\text{-}cases$ [*consumes* 1, *case-names* Out $Cont$, *cases set:* $outs'\text{-}gpv$]:
assumes $x \in outs'\text{-}gpv$ gpv
obtains (Out) $generat$ **where** $generat \in set\text{-}spmf$ ($the\text{-}gpv$ gpv) $x \in generat\text{-}outs$
 $generat$
| ($Cont$) $generat$ c *input* **where** $generat \in set\text{-}spmf$ ($the\text{-}gpv$ gpv) $c \in generat\text{-}conts$
 $generat$ $x \in outs'\text{-}gpv$ (c *input*)
using *assms* **by** *cases*(*auto simp add:* $in\text{-}set\text{-}spmf$)

lemma $outs'\text{-}gpvI$ [*intro?*]:
shows $outs'\text{-}gpv\text{-}Out$: $\llbracket generat \in set\text{-}spmf$ ($the\text{-}gpv$ gpv); $x \in generat\text{-}outs$ $generat$ $\rrbracket \implies x \in outs'\text{-}gpv$ gpv
and $outs'\text{-}gpv\text{-}Cont$: $\llbracket generat \in set\text{-}spmf$ ($the\text{-}gpv$ gpv); $c \in generat\text{-}conts$ $generat$; $x \in outs'\text{-}gpv$ (c *input*) $\rrbracket \implies x \in outs'\text{-}gpv$ gpv
by(*auto intro:* $gpv.set\text{-}sel$ *simp add:* $in\text{-}set\text{-}spmf$)

lemma $results'\text{-}gpv\text{-}induct$ [*consumes* 1, *case-names* $Pure$ $Cont$, *induct set:* $results'\text{-}gpv$]:
assumes $x: x \in results'\text{-}gpv$ gpv
and $Pure$: $\bigwedge generat$ gpv . $\llbracket generat \in set\text{-}spmf$ ($the\text{-}gpv$ gpv); $x \in generat\text{-}pures$ $generat$ $\rrbracket \implies P$ gpv
and $Cont$: $\bigwedge generat$ gpv c *input*.
 $\llbracket generat \in set\text{-}spmf$ ($the\text{-}gpv$ gpv); $c \in generat\text{-}conts$ $generat$; $x \in results'\text{-}gpv$ (c *input*); P (c *input*) $\rrbracket \implies P$ gpv
shows P gpv
using x
apply(*induction* $y \equiv x$ gpv)
apply(*rule* $Pure$; *simp add:* $in\text{-}set\text{-}spmf$)
apply(*erule* $imageE$, *rule* $Cont$, *simp add:* $in\text{-}set\text{-}spmf$, *simp*, *simp*, *simp*)
.

lemma $results'\text{-}gpv\text{-}cases$ [*consumes* 1, *case-names* $Pure$ $Cont$, *cases set:* $results'\text{-}gpv$]:
assumes $x \in results'\text{-}gpv$ gpv
obtains ($Pure$) $generat$ **where** $generat \in set\text{-}spmf$ ($the\text{-}gpv$ gpv) $x \in generat\text{-}pures$
 $generat$
| ($Cont$) $generat$ c *input* **where** $generat \in set\text{-}spmf$ ($the\text{-}gpv$ gpv) $c \in generat\text{-}conts$
 $generat$ $x \in results'\text{-}gpv$ (c *input*)
using *assms* **by** *cases*(*auto simp add:* $in\text{-}set\text{-}spmf$)

lemma $results'\text{-}gpvI$ [*intro?*]:
shows $results'\text{-}gpv\text{-}Pure$: $\llbracket generat \in set\text{-}spmf$ ($the\text{-}gpv$ gpv); $x \in generat\text{-}pures$ $generat$ $\rrbracket \implies x \in results'\text{-}gpv$ gpv
and $results'\text{-}gpv\text{-}Cont$: $\llbracket generat \in set\text{-}spmf$ ($the\text{-}gpv$ gpv); $c \in generat\text{-}conts$

generat; $x \in \text{results}'\text{-gpv } (c \text{ input}) \implies x \in \text{results}'\text{-gpv } \text{gpv}$
by(*auto intro: gpv.set-sel simp add: in-set-spmf*)

lemma *left-unique-rel-gpv* [*transfer-rule*]:
 $\llbracket \text{left-unique } A; \text{left-unique } B \rrbracket \implies \text{left-unique } (\text{rel-gpv } A \ B)$
unfolding *left-unique-alt-def gpv.rel-conversep[symmetric] gpv.rel-compp[symmetric]*
by(*subst gpv.rel-eq[symmetric]*)(*rule gpv.rel-mono*)

lemma *right-unique-rel-gpv* [*transfer-rule*]:
 $\llbracket \text{right-unique } A; \text{right-unique } B \rrbracket \implies \text{right-unique } (\text{rel-gpv } A \ B)$
unfolding *right-unique-alt-def gpv.rel-conversep[symmetric] gpv.rel-compp[symmetric]*
by(*subst gpv.rel-eq[symmetric]*)(*rule gpv.rel-mono*)

lemma *bi-unique-rel-gpv* [*transfer-rule*]:
 $\llbracket \text{bi-unique } A; \text{bi-unique } B \rrbracket \implies \text{bi-unique } (\text{rel-gpv } A \ B)$
unfolding *bi-unique-alt-def* **by**(*simp add: left-unique-rel-gpv right-unique-rel-gpv*)

lemma *left-total-rel-gpv* [*transfer-rule*]:
 $\llbracket \text{left-total } A; \text{left-total } B \rrbracket \implies \text{left-total } (\text{rel-gpv } A \ B)$
unfolding *left-total-alt-def gpv.rel-conversep[symmetric] gpv.rel-compp[symmetric]*
by(*subst gpv.rel-eq[symmetric]*)(*rule gpv.rel-mono*)

lemma *right-total-rel-gpv* [*transfer-rule*]:
 $\llbracket \text{right-total } A; \text{right-total } B \rrbracket \implies \text{right-total } (\text{rel-gpv } A \ B)$
unfolding *right-total-alt-def gpv.rel-conversep[symmetric] gpv.rel-compp[symmetric]*
by(*subst gpv.rel-eq[symmetric]*)(*rule gpv.rel-mono*)

lemma *bi-total-rel-gpv* [*transfer-rule*]: $\llbracket \text{bi-total } A; \text{bi-total } B \rrbracket \implies \text{bi-total } (\text{rel-gpv } A \ B)$
unfolding *bi-total-alt-def* **by**(*simp add: left-total-rel-gpv right-total-rel-gpv*)

declare *gpv.map-transfer*[*transfer-rule*]

lemma *if-distrib-map-gpv* [*if-distrib*]:
 $\text{map-gpv } f \ g \ (\text{if } b \ \text{then } \text{gpv} \ \text{else } \text{gpv}') = (\text{if } b \ \text{then } \text{map-gpv } f \ g \ \text{gpv} \ \text{else } \text{map-gpv } f \ g \ \text{gpv}')$
by *simp*

lemma *gpv-pred-mono-strong*:
 $\llbracket \text{pred-gpv } P \ Q \ x; \bigwedge a. \llbracket a \in \text{results}'\text{-gpv } x; P \ a \rrbracket \implies P' \ a; \bigwedge b. \llbracket b \in \text{outs}'\text{-gpv } x; Q \ b \rrbracket \implies Q' \ b \rrbracket \implies \text{pred-gpv } P' \ Q' \ x$
by(*simp add: pred-gpv-def*)

lemma *pred-gpv-top* [*simp*]:
 $\text{pred-gpv } (\lambda-. \ \text{True}) \ (\lambda-. \ \text{True}) = (\lambda-. \ \text{True})$
by(*simp add: pred-gpv-def*)

lemma *pred-gpv-conj* [*simp*]:
shows *pred-gpv-conj1*: $\bigwedge P \ Q \ R. \text{pred-gpv } (\lambda x. P \ x \wedge Q \ x) \ R = (\lambda x. \text{pred-gpv } P$

$R x \wedge \text{pred-gpv } Q R x$
and $\text{pred-gpv-conj2}: \wedge P Q R. \text{pred-gpv } P (\lambda x. Q x \wedge R x) = (\lambda x. \text{pred-gpv } P Q x \wedge \text{pred-gpv } P R x)$
by(*auto simp add: pred-gpv-def*)

lemma *rel-gpv-restrict-relp1I* [*intro?*]:
 $\llbracket \text{rel-gpv } R R' x y; \text{pred-gpv } P P' x; \text{pred-gpv } Q Q' y \rrbracket \implies \text{rel-gpv } (R \upharpoonright P \otimes Q) (R' \upharpoonright P' \otimes Q') x y$
by(*erule gpv.rel-mono-strong*)(*simp-all add: pred-gpv-def*)

lemma *rel-gpv-restrict-relpE* [*elim?*]:
assumes $\text{rel-gpv } (R \upharpoonright P \otimes Q) (R' \upharpoonright P' \otimes Q') x y$
obtains $\text{rel-gpv } R R' x y \text{ pred-gpv } P P' x \text{ pred-gpv } Q Q' y$
proof
show $\text{rel-gpv } R R' x y$ **using** *assms* **by**(*auto elim!: gpv.rel-mono-strong*)
have $\text{pred-gpv } (\text{Domainp } (R \upharpoonright P \otimes Q)) (\text{Domainp } (R' \upharpoonright P' \otimes Q')) x$ **using**
assms **by**(*fold gpv.Domainp-rel*) *blast*
then show $\text{pred-gpv } P P' x$ **by**(*rule gpv-pred-mono-strong*)(*blast dest!: restrict-relp-DomainpD*)
have $\text{pred-gpv } (\text{Domainp } (R \upharpoonright P \otimes Q)^{-1-1}) (\text{Domainp } (R' \upharpoonright P' \otimes Q')^{-1-1}) y$
using *assms*
by(*fold gpv.Domainp-rel*)(*auto simp only: gpv.rel-conversep Domainp-conversep*)
then show $\text{pred-gpv } Q Q' y$ **by**(*rule gpv-pred-mono-strong*)(*auto dest!: restrict-relp-DomainpD*)
qed

lemma *gpv-pred-map* [*simp*]: $\text{pred-gpv } P Q (\text{map-gpv } f g \text{ gpv}) = \text{pred-gpv } (P \circ f) (Q \circ g) \text{ gpv}$
by(*simp add: pred-gpv-def*)

4.3 Generalised mapper and relator

context *includes lifting-syntax* **begin**

primcorec $\text{map-gpv}' :: ('a \Rightarrow 'b) \Rightarrow ('out \Rightarrow 'out') \Rightarrow ('ret' \Rightarrow 'ret) \Rightarrow ('a, 'out, 'ret) \text{ gpv} \Rightarrow ('b, 'out', 'ret') \text{ gpv}$

where

$\text{map-gpv}' f g h \text{ gpv} =$
 $GPV (\text{map-spmf } (\text{map-generat } f g ((\circ) (\text{map-gpv}' f g h))) (\text{map-spmf } (\text{map-generat } id id (\text{map-fun } h id)) (\text{the-gpv } \text{gpv})))$

declare $\text{map-gpv}'.sel$ [*simp del*]

lemma $\text{map-gpv}'-sel$ [*simp*]:
 $\text{the-gpv } (\text{map-gpv}' f g h \text{ gpv}) = \text{map-spmf } (\text{map-generat } f g (h \text{ ----> } \text{map-gpv}' f g h)) (\text{the-gpv } \text{gpv})$
by(*simp add: map-gpv'.sel spmf.map-comp o-def generat.map-comp map-fun-def[abs-def]*)

lemma $\text{map-gpv}'-GPV$ [*simp*]:
 $\text{map-gpv}' f g h (GPV p) = GPV (\text{map-spmf } (\text{map-generat } f g (h \text{ ----> } \text{map-gpv}' f g h)) p)$

by(*rule gpv.expand*) *simp*

lemma *map-gpv'-id*: *map-gpv' id id id = id*

apply(*rule ext*)

apply(*coinduction*)

apply(*auto simp add: spmf-rel-map generat.rel-map rel-fun-def intro!: rel-spmf-reflI generat.rel-refl*)

done

lemma *map-gpv'-comp*: *map-gpv' f g h (map-gpv' f' g' h' gpv) = map-gpv' (f \circ f') (g \circ g') (h' \circ h) gpv*

by(*coinduction arbitrary: gpv*)(*auto simp add: spmf.map-comp spmf-rel-map generat.rel-map rel-fun-def intro!: rel-spmf-reflI generat.rel-refl*)

functor *gpv*: *map-gpv'* **by**(*simp-all add: map-gpv'-comp map-gpv'-id o-def*)

lemma *map-gpv-conv-map-gpv'*: *map-gpv f g = map-gpv' f g id*

apply(*rule ext*)

apply(*coinduction*)

apply(*auto simp add: gpv.map-sel spmf-rel-map generat.rel-map rel-fun-def intro!: generat.rel-refl-strong rel-spmf-reflI*)

done

coinductive *rel-gpv''* :: (*'a \Rightarrow 'b \Rightarrow bool*) \Rightarrow (*'out \Rightarrow 'out' \Rightarrow bool*) \Rightarrow (*'ret \Rightarrow 'ret' \Rightarrow bool*) \Rightarrow (*'a, 'out, 'ret*) *gpv* \Rightarrow (*'b, 'out', 'ret'*) *gpv* \Rightarrow *bool*

for *A C R*

where

rel-spmf (rel-generat A C (R \implies rel-gpv'' A C R)) (the-gpv gpv) (the-gpv gpv')

\implies *rel-gpv'' A C R gpv gpv'*

lemma *rel-gpv''-coinduct* [*consumes 1, case-names rel-gpv'', coinduct pred: rel-gpv''*]:

$\llbracket X$ *gpv gpv'*;

\bigwedge *gpv gpv'. X gpv gpv'*

\implies *rel-spmf (rel-generat A C (R \implies (λ *gpv gpv'. X gpv gpv' \vee rel-gpv'' A C R gpv gpv'))))**

(the-gpv gpv) (the-gpv gpv') \rrbracket

\implies *rel-gpv'' A C R gpv gpv'*

by(*erule rel-gpv''.coinduct*) *blast*

lemma *rel-gpv''D*:

rel-gpv'' A C R gpv gpv'

\implies *rel-spmf (rel-generat A C (R \implies rel-gpv'' A C R)) (the-gpv gpv) (the-gpv gpv')*

by(*simp add: rel-gpv''.simps*)

lemma *rel-gpv''-GPV* [*simp*]:

rel-gpv'' A C R (GPV p) (GPV q) \longleftrightarrow

rel-spmf (rel-generat A C (R \implies rel-gpv'' A C R)) p q

by(*simp add: rel-gpv''.simps*)

lemma *rel-gpv-conv-rel-gpv''*: $rel-gpv\ A\ C = rel-gpv''\ A\ C (=)$

proof(*rule ext iffI*)+

show $rel-gpv\ A\ C\ gpv\ gpv'$ **if** $rel-gpv''\ A\ C (=) gpv\ gpv'$ **for** $gpv :: ('a, 'b, 'c)$
 gpv **and** $gpv' :: ('d, 'e, 'c)\ gpv$

using *that* **by**(*coinduct*)(*blast dest: rel-gpv''D*)

show $rel-gpv''\ A\ C (=) gpv\ gpv'$ **if** $rel-gpv\ A\ C\ gpv\ gpv'$ **for** $gpv :: ('a, 'b, 'c)$
 gpv **and** $gpv' :: ('d, 'e, 'c)\ gpv$

using *that* **by**(*coinduct*)(*auto elim!: gpv.rel-cases rel-spmf-mono generat.rel-mono-strong rel-fun-mono*)

qed

lemma *rel-gpv''-eq* :

$rel-gpv'' (=) (=) (=) = (=)$

by(*simp add: rel-gpv-conv-rel-gpv''[symmetric] gpv.rel-eq*)

lemma *rel-gpv''-mono*:

assumes $A \leq A'\ C \leq C'\ R' \leq R$

shows $rel-gpv''\ A\ C\ R \leq rel-gpv''\ A'\ C'\ R'$

proof

show $rel-gpv''\ A'\ C'\ R'\ gpv\ gpv'$ **if** $rel-gpv''\ A\ C\ R\ gpv\ gpv'$ **for** $gpv\ gpv'$ **using**
that

by(*coinduct*)(*auto dest: rel-gpv''D elim!: rel-spmf-mono generat.rel-mono-strong rel-fun-mono intro: assms[THEN predicate2D]*)

qed

lemma *rel-gpv''-conversep*: $rel-gpv''\ A^{-1-1}\ C^{-1-1}\ R^{-1-1} = (rel-gpv''\ A\ C\ R)^{-1-1}$

proof(*intro ext iffI; simp*)

show $rel-gpv''\ A\ C\ R\ gpv\ gpv'$ **if** $rel-gpv''\ A^{-1-1}\ C^{-1-1}\ R^{-1-1}\ gpv'\ gpv$
for $A :: 'a1 \Rightarrow 'a2 \Rightarrow bool$ **and** $C :: 'c1 \Rightarrow 'c2 \Rightarrow bool$ **and** $R :: 'r1 \Rightarrow 'r2 \Rightarrow$
 $bool$ **and** $gpv\ gpv'$

using *that* **apply**(*coinduct*)

apply(*drule rel-gpv''D*)

apply(*rewrite in \sqsupset conversep-iff[symmetric]*)

apply(*subst spmf-rel-conversep[symmetric]*)

apply(*erule rel-spmf-mono*)

apply(*subst generat.rel-conversep[symmetric]*)

apply(*erule generat.rel-mono-strong*)

apply(*auto simp add: rel-fun-def conversep-iff[abs-def]*)

done

from *this*[*of* $A^{-1-1}\ C^{-1-1}\ R^{-1-1}$]

show $rel-gpv''\ A^{-1-1}\ C^{-1-1}\ R^{-1-1}\ gpv'\ gpv$ **if** $rel-gpv''\ A\ C\ R\ gpv\ gpv'$ **for**
 $gpv\ gpv'$ **using** *that* **by** *simp*

qed

lemma *rel-gpv''-pos-distr*:

$rel-gpv''\ A\ C\ R\ OO\ rel-gpv''\ A'\ C'\ R' \leq rel-gpv''\ (A\ OO\ A')\ (C\ OO\ C')\ (R\ OO\ R')$

R')
proof(rule predicate2I; erule relcomppE)
 show $rel\text{-}gpv'' (A \text{ OO } A') (C \text{ OO } C') (R \text{ OO } R') \text{ } gpv \text{ } gpv''$
 if $rel\text{-}gpv'' A C R \text{ } gpv \text{ } gpv' \text{ } rel\text{-}gpv'' A' C' R' \text{ } gpv' \text{ } gpv''$
 for $gpv \text{ } gpv' \text{ } gpv''$ using that
 apply(coinduction arbitrary: $gpv \text{ } gpv' \text{ } gpv''$)
 apply(drule $rel\text{-}gpv''D$)
 apply(drule (1) $rel\text{-}spmf\text{-}pos\text{-}distr$ [THEN predicate2D, OF relcomppI])
 apply(erule $spmf\text{-}rel\text{-}mono\text{-}strong$)
 apply(subst (asm) generat. $rel\text{-}compp$ [symmetric])
 apply(erule generat. $rel\text{-}mono\text{-}strong$, assumption, assumption)
 apply(drule $pos\text{-}fun\text{-}distr$ [THEN predicate2D])
 apply(auto simp add: $rel\text{-}fun\text{-}def$)
 done
 qed

lemma $left\text{-}unique\text{-}rel\text{-}gpv''$:
 $\llbracket left\text{-}unique A; left\text{-}unique C; left\text{-}total R \rrbracket \implies left\text{-}unique (rel\text{-}gpv'' A C R)$
unfolding $left\text{-}unique\text{-}alt\text{-}def$ $left\text{-}total\text{-}alt\text{-}def$ $rel\text{-}gpv''\text{-}conversep$ [symmetric]
 apply(subst $rel\text{-}gpv''\text{-}eq$ [symmetric])
 apply(rule order-trans[OF $rel\text{-}gpv''\text{-}pos\text{-}distr$])
 apply(erule (2) $rel\text{-}gpv''\text{-}mono$)
 done

lemma $right\text{-}unique\text{-}rel\text{-}gpv''$:
 $\llbracket right\text{-}unique A; right\text{-}unique C; right\text{-}total R \rrbracket \implies right\text{-}unique (rel\text{-}gpv'' A C R)$
unfolding $right\text{-}unique\text{-}alt\text{-}def$ $right\text{-}total\text{-}alt\text{-}def$ $rel\text{-}gpv''\text{-}conversep$ [symmetric]
 apply(subst $rel\text{-}gpv''\text{-}eq$ [symmetric])
 apply(rule order-trans[OF $rel\text{-}gpv''\text{-}pos\text{-}distr$])
 apply(erule (2) $rel\text{-}gpv''\text{-}mono$)
 done

lemma $bi\text{-}unique\text{-}rel\text{-}gpv''$ [transfer-rule]:
 $\llbracket bi\text{-}unique A; bi\text{-}unique C; bi\text{-}total R \rrbracket \implies bi\text{-}unique (rel\text{-}gpv'' A C R)$
unfolding $bi\text{-}unique\text{-}alt\text{-}def$ $bi\text{-}total\text{-}alt\text{-}def$ **by**(blast intro: $left\text{-}unique\text{-}rel\text{-}gpv''$ $right\text{-}unique\text{-}rel\text{-}gpv''$)

lemma $rel\text{-}gpv''\text{-}neg\text{-}distr$:
 assumes R : $left\text{-}unique R$ $right\text{-}total R$
 and R' : $right\text{-}unique R'$ $left\text{-}total R'$
 shows $rel\text{-}gpv'' (A \text{ OO } A') (C \text{ OO } C') (R \text{ OO } R') \leq rel\text{-}gpv'' A C R \text{ OO } rel\text{-}gpv'' A' C' R'$
proof(rule predicate2I relcomppI)+
 fix $gpv \text{ } gpv''$
 assume *: $rel\text{-}gpv'' (A \text{ OO } A') (C \text{ OO } C') (R \text{ OO } R') \text{ } gpv \text{ } gpv''$
 let ? $P\text{-}spmf = \lambda gpv \text{ } gpv'' \text{ } pq$.
 $(\forall (generat, generat'') \in set\text{-}spmf \text{ } pq. rel\text{-}generat (A \text{ OO } A') (C \text{ OO } C') (R \text{ OO } R') \text{ } gpv \text{ } gpv'' \implies rel\text{-}gpv'' (A \text{ OO } A') (C \text{ OO } C') (R \text{ OO } R') \text{ } generat \text{ } generat'') \wedge$
 $map\text{-}spmf \text{ } fst \text{ } pq = the\text{-}gpv \text{ } gpv \wedge$

```

    map-spmf snd pq = the-gpv gpv''
  define pq where pq gpv gpv'' = Eps (?P-spmf gpv gpv'') for gpv gpv''
  let ?P-generat = λgenerat generat'' gg'.
    (∀ (a, a'') ∈ generat-pures gg'. (A OO A') a a'') ∧ (∀ (out, out'') ∈ generat-outs
    gg'. (C OO C') out out'') ∧ (∀ (c, c'') ∈ generat-contrs gg'. (R OO R') ==> rel-gpv''
    (A OO A') (C OO C') (R OO R')) c c'') ∧
    map-generat fst fst fst gg' = generat ∧
    map-generat snd snd snd gg' = generat''
  define gg' where gg' generat generat'' = Eps (?P-generat generat generat'') for
  generat generat''
  define middle where middle ≡ corec-gpv (λ(gpv, gpv'').
    map-spmf (λ(generat, generat'').
      map-generat (λ(a, a''). SOME a'. A a a' ∧ A' a' a'') (λ(out, out''). SOME
      out'. C out out' ∧ C' out' out'')
      (λ(rpv, rpv'') input. Inr (rpv (THE x. R x input), rpv'' (THE z. R'
      input z))) (gg' generat generat'')
      ) (pq gpv gpv''))
  have sel-middle:
    the-gpv (middle (gpv, gpv'')) = map-spmf (λ(generat, generat''). map-generat
    (λ(a, a''). SOME a'. A a a' ∧ A' a' a'')
    (λ(out, out''). SOME out'. C out out' ∧ C' out' out'')
    (λ(rpv, rpv'') xa. middle (rpv (THE x. R x xa), rpv'' (THE x''. R' xa
    x''))))
    (gg' generat generat'')
    (pq gpv gpv'')
  for gpv gpv'' unfolding middle-def by(simp add: spmf.map-comp split-def
  o-def generat.map-comp)
  from * show rel-gpv'' A C R gpv (middle (gpv, gpv''))
  proof(coinduction arbitrary: gpv gpv'')
    case (rel-gpv'' gpv gpv'')
    let ?X = λgpv gpv'. (∃ gpv''. gpv' = middle (gpv, gpv'') ∧ rel-gpv'' (A OO A')
    (C OO C') (R OO R') gpv gpv'') ∨ rel-gpv'' A C R gpv gpv'
    from rel-gpv''D[OF rel-gpv''] have Ex (?P-spmf gpv gpv'') by(auto simp add:
    rel-spmf-simps)
    hence pq: ?P-spmf gpv gpv'' (pq gpv gpv'') unfolding pq-def by(rule someI-ex)
    hence rel-spmf (λgenerat (generat', generat''). generat = generat')-1-1 (pq
    gpv gpv'') (the-gpv gpv)
    by(fold spmf-rel-eq)(auto simp add: spmf-rel-map split-def elim: rel-spmf-mono)
    then show ?case
    proof(clarsimp simp add: sel-middle spmf-rel-converse spmf-rel-map generat.rel-map
    prod.case-distrib[where h=A -] prod.case-distrib[where h=C -] prod.case-distrib[where
    h=rel-fun - -] elim!: rel-spmf-mono-strong)
      fix generat generat''
      assume (generat, generat'') ∈ set-spmf (pq gpv gpv'')
      with pq have rel-generat (A OO A') (C OO C') (R OO R') ==> rel-gpv''
      (A OO A') (C OO C') (R OO R')) generat generat''
      by blast
      hence Ex (?P-generat generat generat'') by(auto simp add: Grp-def generat.rel-compp-Grp)
    end
  end

```

```

    hence gg': ?P-generat generat generat'' (gg' generat generat'') unfolding
gg'-def by(rule someI-ex)
    hence rel-generat ( $\lambda a aa'' . a = \text{fst } aa''$ )-1-1 ( $\lambda out outout'' . out = \text{fst } out-$ 
 $out''$ )-1-1 ( $\lambda rpv rpvrv'' . rpv = \text{fst } rpvrv''$ )-1-1 (gg' generat generat'') generat
by(fold generat.rel-eq)(auto simp add: generat.rel-map elim!: generat.rel-mono-strong)
    then show rel-generat ( $\lambda a (aa, a'') . A a (SOME a' . A aa a' \wedge A' a' a'')$ )
    ( $\lambda out (outt, out'') . C out (SOME out' . C outt out' \wedge C' out' out'')$ )
    ( $\lambda rpv (rpvv, rpv'') . (R ==> ?X) rpv (\lambda y . \text{middle } (rpvv (THE x .$ 
 $R x y), rpv'' (THE z . R' y z)))$ )
    generat (gg' generat generat'')
unfolding generat.rel-conversep conversep-iff apply(rule generat.rel-mono-strong)
proof(safe, simp-all)
  fix a a''
  assume (a, a'')  $\in$  generat-pures (gg' generat generat'')
  with gg' have  $\exists a' . A a a' \wedge A' a' a''$  by blast
  from someI-ex[OF this] show A a (SOME a' . A a a'  $\wedge$  A' a' a'') ..
next
  fix out out''
  assume (out, out'')  $\in$  generat-outs (gg' generat generat'')
  with gg' have  $\exists out' . C out out' \wedge C' out' out''$  by blast
  from someI-ex[OF this] show C out (SOME out' . C out out'  $\wedge$  C' out'
out'') ..
next
  fix rpv rpv''
  assume (rpv, rpv'')  $\in$  generat-contrs (gg' generat generat'')
  with gg' have *: (R OO R' ==> rel-gpv'' (A OO A') (C OO C')) (R OO
R') rpv rpv'' by blast
  show (R ==> ?X) rpv ( $\lambda y . \text{middle } (rpv (THE x . R x y), rpv'' (THE z .$ 
 $R' y z)))$ )
  proof(rule rel-funI)
    fix x y
    assume xy: R x y
    from R' obtain z where yz: R' y z by(blast elim: left-totalE)
    with xy have (R OO R') x z by blast
    with * have rel-gpv'' (A OO A') (C OO C') (R OO R') (rpv x) (rpv'' z)
by(rule rel-funD)
    moreover have (THE x . R x y) = x using xy
    by(rule the-equality)(blast dest: left-uniqueD[OF R(1) xy])
    moreover have (THE z . R' y z) = z using yz
    by(rule the-equality)(blast dest: right-uniqueD[OF R'(1) yz])
    ultimately show ?X (rpv x) (middle (rpv (THE x . R x y), rpv'' (THE
z . R' y z))) by blast
  qed
qed
qed
qed
from * show rel-gpv'' A' C' R' (middle (gpv, gpv'')) gpv''
proof(coinduction arbitrary: gpv gpv'')
  case (rel-gpv'' gpv gpv'')

```

```

let ?X = λgpv' gpv''. (∃ gpv. gpv' = middle (gpv, gpv'') ∧ rel-gpv'' (A OO A')
(C OO C') (R OO R') gpv gpv'') ∨ rel-gpv'' A' C' R' gpv' gpv''
from rel-gpv''D[OF rel-gpv''] have Ex (?P-spmf gpv gpv'') by(auto simp add:
rel-spmf-simps)
hence pq: ?P-spmf gpv gpv'' (pq gpv gpv'') unfolding pq-def by(rule someI-ex)
hence rel-spmf (λ(generat', generat'') generat. generat = generat'') (pq gpv
gpv'') (the-gpv gpv'')
by(fold spmf-rel-eq)(auto simp add: spmf-rel-map split-def elim: rel-spmf-mono)
then show ?case
proof(clarsimp simp add: sel-middle spmf-rel-conversep spmf-rel-map generat.rel-map prod.case-distrib[where h=A'] prod.case-distrib[where h=C'] prod.case-distrib[where
h=rel-fun - -] elim!: rel-spmf-mono-strong)
fix generat generat''
assume (generat, generat'') ∈ set-spmf (pq gpv gpv'')
with pq have rel-generat (A OO A') (C OO C') (R OO R' ===> rel-gpv''
(A OO A') (C OO C') (R OO R')) generat generat''
by blast
hence Ex (?P-generat generat generat'') by(auto simp add: Grp-def generat.rel-compp-Grp)
hence gg': ?P-generat generat generat'' (gg' generat generat'') unfolding
gg'-def by(rule someI-ex)
hence rel-generat (λaa'' a. a = snd aa'') (λoutout'' out. out = snd outout'')
(λrprvrpv'' rpv. rpv = snd rprvrpv'') (gg' generat generat'') generat''
by(fold generat.rel-eq)(auto simp add: generat.rel-map elim!: generat.rel-mono-strong)
then show rel-generat (λ(a, aa'') a''. A' (SOME a'. A a a' ∧ A' a' aa'') a'')
(λ(out, out'') out''. C' (SOME out'. C out out' ∧ C' out' out''))
out'')
(λ(rpv, rpvv'') rpv''. (R' ===> ?X) (λy. middle (rpv (THE x. R x
y), rpvv'' (THE z. R' y z))) rpv'')
(gg' generat generat'') generat''
apply(rule generat.rel-mono-strong)
proof(safe, simp-all)
fix a a''
assume (a, a'') ∈ generat-pures (gg' generat generat'')
with gg' have ∃ a'. A a a' ∧ A' a' a'' by blast
from someI-ex[OF this] show A' (SOME a'. A a a' ∧ A' a' a'') a'' ..
next
fix out out''
assume (out, out'') ∈ generat-outs (gg' generat generat'')
with gg' have ∃ out'. C out out' ∧ C' out' out'' by blast
from someI-ex[OF this] show C' (SOME out'. C out out' ∧ C' out' out'')
out'' ..
next
fix rpv rpv''
assume (rpv, rpv'') ∈ generat-contrs (gg' generat generat'')
with gg' have *: (R OO R' ===> rel-gpv'' (A OO A') (C OO C') (R OO
R')) rpv rpv'' by blast
show (R' ===> ?X) (λy. middle (rpv (THE x. R x y), rpv'' (THE z. R'
y z))) rpv''

```

```

proof(rule rel-funI)
  fix y z
  assume yz: R' y z
  from R obtain x where xy: R x y by(blast elim: right-totalE)
  with yz have (R OO R') x z by blast
  with * have rel-gpv'' (A OO A') (C OO C') (R OO R') (rpv x) (rpv'' z)
by(rule rel-funD)
  moreover have (THE x. R x y) = x using xy
    by(rule the-equality)(blast dest: left-uniqueD[OF R(1) xy])
  moreover have (THE z. R' y z) = z using yz
    by(rule the-equality)(blast dest: right-uniqueD[OF R'(1) yz])
  ultimately show ?X (middle (rpv (THE x. R x y), rpv'' (THE z. R' y
z))) (rpv'' z) by blast
  qed
qed
qed
qed
qed

```

lemma left-total-rel-gpv':

```

[[ left-total A; left-total C; left-unique R; right-total R ]] ==> left-total (rel-gpv'' A
C R)
unfolding left-unique-alt-def left-total-alt-def rel-gpv''-conversep[symmetric]
apply(subst rel-gpv''-eq[symmetric])
apply(rule order-trans[rotated])
apply(rule rel-gpv''-neg-distr; simp add: left-unique-alt-def)
apply(rule rel-gpv''-mono; assumption)
done

```

lemma right-total-rel-gpv':

```

[[ right-total A; right-total C; right-unique R; left-total R ]] ==> right-total (rel-gpv''
A C R)
unfolding right-unique-alt-def right-total-alt-def rel-gpv''-conversep[symmetric]
apply(subst rel-gpv''-eq[symmetric])
apply(rule order-trans[rotated])
apply(rule rel-gpv''-neg-distr; simp add: right-unique-alt-def)
apply(rule rel-gpv''-mono; assumption)
done

```

lemma bi-total-rel-gpv' [transfer-rule]:

```

[[ bi-total A; bi-total C; bi-unique R; bi-total R ]] ==> bi-total (rel-gpv'' A C R)
unfolding bi-total-alt-def bi-unique-alt-def by(blast intro: left-total-rel-gpv' right-total-rel-gpv')

```

lemma rel-fun-conversep-grp-grp:

```

rel-fun (conversep (BNF-Def.Grp UNIV f)) (BNF-Def.Grp B g) = BNF-Def.Grp
{x. (x o f) ' UNIV ⊆ B} (map-fun f g)
unfolding rel-fun-def Grp-def simp-thms fun-eq-iff conversep-iff by auto

```

lemma Quotient-gpv:

```

assumes Q1: Quotient R1 Abs1 Rep1 T1
and Q2: Quotient R2 Abs2 Rep2 T2
and Q3: Quotient R3 Abs3 Rep3 T3
shows Quotient (rel-gpv'' R1 R2 R3) (map-gpv' Abs1 Abs2 Rep3) (map-gpv'
Rep1 Rep2 Abs3) (rel-gpv'' T1 T2 T3)
(is Quotient ?R ?abs ?rep ?T)
unfolding Quotient-alt-def2
proof(intro conjI strip iffI; (elim conjE exE)?)
  note [simp] = spmf-rel-map generat.rel-map
    and [elim!] = rel-spmf-mono generat.rel-mono-strong
    and [rule del] = rel-funI and [intro!] = rel-funI
  have Abs1 [simp]: Abs1 x = y if T1 x y for x y using Q1 that by(simp add:
Quotient-alt-def)
  have Abs2 [simp]: Abs2 x = y if T2 x y for x y using Q2 that by(simp add:
Quotient-alt-def)
  have Abs3 [simp]: Abs3 x = y if T3 x y for x y using Q3 that by(simp add:
Quotient-alt-def)
  have Rep1: T1 (Rep1 x) x for x using Q1 by(simp add: Quotient-alt-def)
  have Rep2: T2 (Rep2 x) x for x using Q2 by(simp add: Quotient-alt-def)
  have Rep3: T3 (Rep3 x) x for x using Q3 by(simp add: Quotient-alt-def)
  have T1: T1 x (Abs1 y) if R1 x y for x y using Q1 that by(simp add:
Quotient-alt-def2)
  have T2: T2 x (Abs2 y) if R2 x y for x y using Q2 that by(simp add:
Quotient-alt-def2)
  have T1': T1 x (Abs1 y) if R1 y x for x y using Q1 that by(simp add:
Quotient-alt-def2)
  have T2': T2 x (Abs2 y) if R2 y x for x y using Q2 that by(simp add:
Quotient-alt-def2)
  have R3: R3 x (Rep3 y) if T3 x y for x y using Q3 that by(simp add:
Quotient-alt-def2 Abs3[OF Rep3])
  have R3': R3 (Rep3 y) x if T3 x y for x y using Q3 that by(simp add:
Quotient-alt-def2 Abs3[OF Rep3])
  have r1: R1 = T1 OO T1-1-1 using Q1 by(simp add: Quotient-alt-def4)
  have r2: R2 = T2 OO T2-1-1 using Q2 by(simp add: Quotient-alt-def4)
  have r3: R3 = T3 OO T3-1-1 using Q3 by(simp add: Quotient-alt-def4)
  show abs: ?abs gpv = gpv' if ?T gpv gpv' for gpv gpv' using that
by(coinduction arbitrary: gpv gpv')(drule rel-gpv''D; auto 4 4 intro: Rep3 dest:
rel-funD)
  show ?T (?rep gpv) gpv for gpv
    by(coinduction arbitrary: gpv)(auto simp add: Rep1 Rep2 intro!: rel-spmf-reflI
generat.rel-refl-strong)
  show ?T gpv (?abs gpv') if ?R gpv gpv' for gpv gpv' using that
by(coinduction arbitrary: gpv gpv')(drule rel-gpv''D; auto 4 3 simp add: T1 T2
intro!: R3 dest: rel-funD)
  show ?T gpv (?abs gpv') if ?R gpv' gpv for gpv gpv'
  proof -
    from that have rel-gpv'' R1-1-1 R2-1-1 R3-1-1 gpv gpv' unfolding rel-gpv''-conversep
by simp
    then show ?thesis

```

```

    by(coinduction arbitrary: gpv gpv')(drule rel-gpv''D; auto 4 3 simp add: T1'
T2' intro!: R3' dest: rel-funD)
  qed
  show ?R gpv gpv' if ?T gpv (?abs gpv') ?T gpv' (?abs gpv) for gpv gpv'
  proof -
    from that[THEN abs] have ?abs gpv' = ?abs gpv by simp
    with that have (?T OO ?T-1-1) gpv gpv' by auto
    hence rel-gpv'' (T1 OO T1-1-1) (T2 OO T2-1-1) (T3 OO T3-1-1) gpv gpv'
      unfolding rel-gpv''-conversep[symmetric]
      by(rule rel-gpv''-pos-distr[THEN predicate2D])
    thus ?thesis by(simp add: r1 r2 r3)
  qed
qed

```

lemma *rel-gpv''-Grp*:

```

  rel-gpv'' (BNF-Def.Grp A f) (BNF-Def.Grp B g) (BNF-Def.Grp UNIV h)-1-1
=
  BNF-Def.Grp {x. results'-gpv x ⊆ A ∧ outs'-gpv x ⊆ B} (map-gpv' f g h)
  (is ?lhs = ?rhs)
proof(intro ext GrpI iffI CollectI conjI subsetI)
  fix gpv gpv'
  assume *: ?lhs gpv gpv'
  then show map-gpv' f g h gpv = gpv'
    apply(coinduction arbitrary: gpv gpv')
    apply(drule rel-gpv''D)
    apply(auto 4 5 simp add: spmf-rel-map generat.rel-map elim!: rel-spmf-mono
generat.rel-mono-strong GrpE intro!: GrpI dest: rel-funD)
  done
  show x ∈ A if x ∈ results'-gpv gpv for x using that *
  proof(induction arbitrary: gpv')
    case (Pure generat gpv)
    have pred-spmf (Domainp (rel-generat (BNF-Def.Grp A f) (BNF-Def.Grp B g)
((BNF-Def.Grp UNIV h)-1-1 ==> rel-gpv'' (BNF-Def.Grp A f) (BNF-Def.Grp
B g) (BNF-Def.Grp UNIV h)-1-1))) (the-gpv gpv)
      using Pure.premis[THEN rel-gpv''D] unfolding spmf-Domainp-rel[symmetric]
    by(rule DomainPI)
    with Pure.hyps show ?case by(simp add: generat.Domainp-rel pred-spmf-def
pred-generat-def Domainp-Grp)
  next
    case (Cont generat gpv c input)
    have pred-spmf (Domainp (rel-generat (BNF-Def.Grp A f) (BNF-Def.Grp B g)
((BNF-Def.Grp UNIV h)-1-1 ==> rel-gpv'' (BNF-Def.Grp A f) (BNF-Def.Grp
B g) (BNF-Def.Grp UNIV h)-1-1))) (the-gpv gpv)
      using Cont.premis[THEN rel-gpv''D] unfolding spmf-Domainp-rel[symmetric]
    by(rule DomainPI)
    with Cont.hyps show ?case apply(clarsimp simp add: generat.Domainp-rel
pred-spmf-def pred-generat-def)
      apply(drule (1) bspec)+
      apply clarsimp

```

```

apply(drule rel-funD)
prefer 2
apply(erule Cont.IH)
apply(simp add: Grp-def)
oops

```

```

lemma the-gpv-parametric':
  (rel-gpv'' A C R  $\implies$  rel-spmf (rel-generat A C (R  $\implies$  rel-gpv'' A C R)))
  the-gpv the-gpv
by(rule rel-funI)(auto elim: rel-gpv''.cases)

```

```

lemma GPV-parametric':
  (rel-spmf (rel-generat A C (R  $\implies$  rel-gpv'' A C R))  $\implies$  rel-gpv'' A C R)
  GPV GPV
by(rule rel-funI)(auto)

```

```

lemma corec-gpv-parametric':
  ((S  $\implies$  rel-spmf (rel-generat A C (R  $\implies$  rel-sum (rel-gpv'' A C R) S)))
   $\implies$  S  $\implies$  rel-gpv'' A C R)
  corec-gpv corec-gpv
proof(rule rel-funI)+
  fix f g s1 s2
  assume fg: (S  $\implies$  rel-spmf (rel-generat A C (R  $\implies$  rel-sum (rel-gpv'' A
  C R) S))) f g
  and s: S s1 s2
  from s show rel-gpv'' A C R (corec-gpv f s1) (corec-gpv g s2)
  apply(coinduction arbitrary: s1 s2)
  apply(drule fg[THEN rel-funD])
  apply(simp add: spmf-rel-map)
  apply(erule rel-spmf-mono)
  apply(simp add: generat.rel-map)
  apply(erule generat.rel-mono-strong; clarsimp simp add: o-def)
  apply(rule rel-funI)
  apply(drule (1) rel-funD)
  apply(auto 4 3 elim!: rel-sum.cases)
  done

```

qed

```

lemma map-gpv'-parametric [transfer-rule]:
  ((A  $\implies$  A')  $\implies$  (C  $\implies$  C')  $\implies$  (R'  $\implies$  R)  $\implies$  rel-gpv''
  A C R  $\implies$  rel-gpv'' A' C' R') map-gpv' map-gpv'
  unfolding map-gpv'-def
  supply corec-gpv-parametric'[transfer-rule] the-gpv-parametric'[transfer-rule]
  by(transfer-prover)

```

```

lemma map-gpv-parametric': ((A  $\implies$  A')  $\implies$  (C  $\implies$  C')  $\implies$  rel-gpv''
  A C R  $\implies$  rel-gpv'' A' C' R) map-gpv map-gpv
  unfolding map-gpv-conv-map-gpv'[abs-def] by transfer-prover

```


end

4.4 Simple, derived operations

primcorec *Done* :: 'a \Rightarrow ('a, 'out, 'in) gpv
where *the-gpv* (*Done* a) = *return-spmf* (*Pure* a)

primcorec *Pause* :: 'out \Rightarrow ('in \Rightarrow ('a, 'out, 'in) gpv) \Rightarrow ('a, 'out, 'in) gpv
where *the-gpv* (*Pause* out c) = *return-spmf* (*IO* out c)

primcorec *lift-spmf* :: 'a spmf \Rightarrow ('a, 'out, 'in) gpv
where *the-gpv* (*lift-spmf* p) = *map-spmf* *Pure* p

definition *Fail* :: ('a, 'out, 'in) gpv
where *Fail* = *GPV* (*return-pmf* *None*)

definition *React* :: ('in \Rightarrow 'out \times ('a, 'out, 'in) rpv) \Rightarrow ('a, 'out, 'in) rpv
where *React* f *input* = *case-prod* *Pause* (f *input*)

definition *rFail* :: ('a, 'out, 'in) rpv
where *rFail* = (λ -. *Fail*)

lemma *Done-inject* [*simp*]: *Done* x = *Done* y \longleftrightarrow x = y
by(*simp* *add*: *Done.ctr*)

lemma *Pause-inject* [*simp*]: *Pause* out c = *Pause* out' c' \longleftrightarrow out = out' \wedge c = c'
by(*simp* *add*: *Pause.ctr*)

lemma [*simp*]:
 shows *Done-neq-Pause*: *Done* x \neq *Pause* out c
 and *Pause-neq-Done*: *Pause* out c \neq *Done* x
by(*simp-all* *add*: *Done.ctr* *Pause.ctr*)

lemma *outs'-gpv-Done* [*simp*]: *outs'-gpv* (*Done* x) = {}
by(*auto* *elim*: *outs'-gpv-cases*)

lemma *results'-gpv-Done* [*simp*]: *results'-gpv* (*Done* x) = {x}
by(*auto* *intro*: *results'-gpvI* *elim*: *results'-gpv-cases*)

lemma *pred-gpv-Done* [*simp*]: *pred-gpv* P Q (*Done* x) = P x
by(*simp* *add*: *pred-gpv-def*)

lemma *outs'-gpv-Pause* [*simp*]: *outs'-gpv* (*Pause* out c) = *insert* out (\bigcup *input*.
outs'-gpv (c *input*))
by(*auto* 4 4 *intro*: *outs'-gpvI* *elim*: *outs'-gpv-cases*)

lemma *results'-gpv-Pause* [*simp*]: *results'-gpv* (*Pause* out rpv) = *results'-rpv* rpv

by(*auto* 4 4 *intro: results'-gpvI elim: results'-gpv-cases*)

lemma *pred-gpv-Pause* [*simp*]: *pred-gpv P Q (Pause x c) = (Q x \wedge All (pred-gpv P Q \circ c))*
by(*auto simp add: pred-gpv-def o-def*)

lemma *lift-spmf-return* [*simp*]: *lift-spmf (return-spmf x) = Done x*
by(*simp add: lift-spmf.ctr Done.ctr*)

lemma *lift-spmf-None* [*simp*]: *lift-spmf (return-pmf None) = Fail*
by(*rule gpv.expand*)(*simp add: Fail-def*)

lemma *the-gpv-lift-spmf* [*simp*]: *the-gpv (lift-spmf r) = map-spmf Pure r*
by(*simp*)

lemma *outs'-gpv-lift-spmf* [*simp*]: *outs'-gpv (lift-spmf p) = {}*
by(*auto* 4 3 *elim: outs'-gpv-cases*)

lemma *results'-gpv-lift-spmf* [*simp*]: *results'-gpv (lift-spmf p) = set-spmf p*
by(*auto* 4 3 *elim: results'-gpv-cases intro: results'-gpvI*)

lemma *pred-gpv-lift-spmf* [*simp*]: *pred-gpv P Q (lift-spmf p) = pred-spmf P p*
by(*simp add: pred-gpv-def pred-spmf-def*)

lemma *lift-spmf-inject* [*simp*]: *lift-spmf p = lift-spmf q \longleftrightarrow p = q*
by(*auto simp add: lift-spmf.code dest!: pmf.inj-map-strong[rotated] option.inj-map-strong[rotated]*)

lemma *map-lift-spmf*: *map-gpv f g (lift-spmf p) = lift-spmf (map-spmf f p)*
by(*rule gpv.expand*)(*simp add: gpv.map-sel spmf.map-comp o-def*)

lemma *lift-map-spmf*: *lift-spmf (map-spmf f p) = map-gpv f id (lift-spmf p)*
by(*rule gpv.expand*)(*simp add: gpv.map-sel spmf.map-comp o-def*)

lemma [*simp*]:
 shows *Fail-neq-Pause*: *Fail \neq Pause out c*
 and *Pause-neq-Fail*: *Pause out c \neq Fail*
 and *Fail-neq-Done*: *Fail \neq Done x*
 and *Done-neq-Fail*: *Done x \neq Fail*
by(*simp-all add: Fail-def Pause.ctr Done.ctr*)

Add *unit* closure to circumvent SML value restriction

definition *Fail'* :: *unit \Rightarrow ('a, 'out, 'in) gpv*
where [*code del*]: *Fail' - = Fail*

lemma *Fail-code* [*code-unfold*]: *Fail = Fail' ()*
by(*simp add: Fail'-def*)

lemma *Fail'-code* [*code*]:
 Fail' x = GPV (return-pmf None)

by(*simp* *add*: *Fail'-def* *Fail-def*)

lemma *Fail-sel* [*simp*]:
 the-gpv *Fail* = *return-pmf* *None*
by(*simp* *add*: *Fail-def*)

lemma *Fail-eq-GPV-iff* [*simp*]: *Fail* = *GPV* *f* \longleftrightarrow *f* = *return-pmf* *None*
by(*auto* *simp* *add*: *Fail-def*)

lemma *outs'-gpv-Fail* [*simp*]: *outs'-gpv* *Fail* = {}
by(*auto* *elim*: *outs'-gpv-cases*)

lemma *results'-gpv-Fail* [*simp*]: *results'-gpv* *Fail* = {}
by(*auto* *elim*: *results'-gpv-cases*)

lemma *pred-gpv-Fail* [*simp*]: *pred-gpv* *P* *Q* *Fail*
by(*simp* *add*: *pred-gpv-def*)

lemma *React-inject* [*iff*]: *React* *f* = *React* *f'* \longleftrightarrow *f* = *f'*
by(*auto* *simp* *add*: *React-def* *fun-eq-iff* *split-def* *intro*: *prod.expand*)

lemma *React-apply* [*simp*]: *f* *input* = (*out*, *c*) \implies *React* *f* *input* = *Pause* *out* *c*
by(*simp* *add*: *React-def*)

lemma *rFail-apply* [*simp*]: *rFail* *input* = *Fail*
by(*simp* *add*: *rFail-def*)

lemma [*simp*]:
 shows *rFail-neq-React*: *rFail* \neq *React* *f*
 and *React-neq-rFail*: *React* *f* \neq *rFail*
by(*simp-all* *add*: *React-def* *fun-eq-iff* *split-beta*)

lemma *rel-gpv-FailI* [*simp*]: *rel-gpv* *A* *C* *Fail* *Fail*
by(*subst* *gpv.rel-sel*) *simp*

lemma *rel-gpv-Done* [*iff*]: *rel-gpv* *A* *C* (*Done* *x*) (*Done* *y*) \longleftrightarrow *A* *x* *y*
by(*subst* *gpv.rel-sel*) *simp*

lemma *rel-gpv''-Done* [*iff*]: *rel-gpv''* *A* *C* *R* (*Done* *x*) (*Done* *y*) \longleftrightarrow *A* *x* *y*
by(*subst* *rel-gpv''.simps*) *simp*

lemma *rel-gpv-Pause* [*iff*]:
 rel-gpv *A* *C* (*Pause* *out* *c*) (*Pause* *out'* *c'*) \longleftrightarrow *C* *out* *out'* \wedge (\forall *x*. *rel-gpv* *A* *C* (*c* *x*) (*c'* *x*))
by(*subst* *gpv.rel-sel*)(*simp* *add*: *rel-fun-def*)

lemma *rel-gpv''-Pause* [*iff*]:
 rel-gpv'' *A* *C* *R* (*Pause* *out* *c*) (*Pause* *out'* *c'*) \longleftrightarrow *C* *out* *out'* \wedge (\forall *x* *x'*. *R* *x* *x'* \longrightarrow *rel-gpv''* *A* *C* *R* (*c* *x*) (*c'* *x'*))

by(subst rel-gpv''.simps)(simp add: rel-fun-def)

lemma rel-gpv-lift-spmf [iff]: rel-gpv A C (lift-spmf p) (lift-spmf q) \longleftrightarrow rel-spmf A p q
by(subst gpv.rel-sel)(simp add: spmf-rel-map)

lemma rel-gpv''-lift-spmf [iff]:
rel-gpv'' A C R (lift-spmf p) (lift-spmf q) \longleftrightarrow rel-spmf A p q
by(subst rel-gpv''.simps)(simp add: spmf-rel-map)

context includes lifting-syntax **begin**

lemmas Fail-parametric [transfer-rule] = rel-gpv-FailI

lemma Fail-parametric' [simp]: rel-gpv'' A C R Fail Fail
unfolding Fail-def **by** simp

lemma Done-parametric [transfer-rule]: (A \implies rel-gpv A C) Done Done
by(rule rel-funI) simp

lemma Done-parametric': (A \implies rel-gpv'' A C R) Done Done
by(rule rel-funI) simp

lemma Pause-parametric [transfer-rule]:
(C \implies ((=) \implies rel-gpv A C) \implies rel-gpv A C) Pause Pause
by(simp add: rel-fun-def)

lemma Pause-parametric':
(C \implies (R \implies rel-gpv'' A C R) \implies rel-gpv'' A C R) Pause Pause
by(simp add: rel-fun-def)

lemma lift-spmf-parametric [transfer-rule]:
(rel-spmf A \implies rel-gpv A C) lift-spmf lift-spmf
by(simp add: rel-fun-def)

lemma lift-spmf-parametric':
(rel-spmf A \implies rel-gpv'' A C R) lift-spmf lift-spmf
by(simp add: rel-fun-def)
end

lemma map-gpv-Done [simp]: map-gpv f g (Done x) = Done (f x)
by(simp add: Done.code)

lemma map-gpv'-Done [simp]: map-gpv' f g h (Done x) = Done (f x)
by(simp add: Done.code)

lemma map-gpv-Pause [simp]: map-gpv f g (Pause x c) = Pause (g x) (map-gpv f g \circ c)
by(simp add: Pause.code)

lemma *map-gpv'-Pause* [simp]: $\text{map-gpv}' f g h (\text{Pause } x c) = \text{Pause } (g x) (\text{map-gpv}' f g h \circ c \circ h)$

by(simp add: *Pause.code map-fun-def*)

lemma *map-gpv-Fail* [simp]: $\text{map-gpv } f g \text{ Fail} = \text{Fail}$

by(simp add: *Fail-def*)

lemma *map-gpv'-Fail* [simp]: $\text{map-gpv}' f g h \text{ Fail} = \text{Fail}$

by(simp add: *Fail-def*)

4.5 Monad structure

primcorec *bind-gpv* :: $('a, 'out, 'in) \text{ gpv} \Rightarrow ('a \Rightarrow ('b, 'out, 'in) \text{ gpv}) \Rightarrow ('b, 'out, 'in) \text{ gpv}$

where

the-gpv (*bind-gpv* *r f*) =
map-spmf (*map-generat id id* ((\circ) (*case-sum id* ($\lambda r. \text{bind-gpv } r f$))))
(*the-gpv* *r* \gg *case-generat*
($\lambda x. \text{map-spmf} (*map-generat id id* ((\circ) *Inl*)) (*the-gpv* (*f x*)))
(*lout c. return-spmf* (*IO out* ($\lambda \text{input}. \text{Inr } (c \text{ input})$))))))$

declare *bind-gpv.sel* [simp del]

ad hoc-overloading *Monad-Syntax.bind bind-gpv*

lemma *bind-gpv-unfold* [code]:

r \gg *f* = *GPV* (
do {
generat \leftarrow *the-gpv* *r*;
case generat of Pure x \Rightarrow *the-gpv* (*f x*)
| *IO out c* \Rightarrow *return-spmf* (*IO out* ($\lambda \text{input}. c \text{ input} \gg f$))
})

unfolding *bind-gpv-def*

apply(*rule gpv.expand*)

apply(simp add: *map-spmf-bind-spmf*)

apply(*rule arg-cong*[**where** *f = bind-spmf* (*the-gpv* *r*)])

apply(*auto split: generat.split simp add: map-spmf-bind-spmf fun-eq-iff spmf.map-comp o-def generat.map-comp id-def*[*symmetric*] *generat.map-id pmf.map-id option.map-id*)

done

lemma *bind-gpv-code-cong*: $f = f' \Longrightarrow \text{bind-gpv } f g = \text{bind-gpv } f' g$ **by** *simp*

setup \ll *Code-Simp.map-ss* (*Simplifier.add-cong* $\text{@}\{\text{thm } \text{bind-gpv-code-cong}\}$) \gg

lemma *bind-gpv-sel*:

the-gpv (*r* \gg *f*) =
do {
generat \leftarrow *the-gpv* *r*;
case generat of Pure x \Rightarrow *the-gpv* (*f x*)

```

    | IO out c ⇒ return-spmf (IO out (λinput. bind-gpv (c input) f))
  }
by(subst bind-gpv-unfold) simp

lemma bind-gpv-sel' [simp]:
  the-gpv (r ≫= f) =
  do {
    generat ← the-gpv r;
    if is-Pure generat then the-gpv (f (result generat))
    else return-spmf (IO (output generat) (λinput. bind-gpv (continuation generat
input) f))
  }
unfolding bind-gpv-sel
by(rule arg-cong[where f=bind-spmf (the-gpv r)])(simp add: fun-eq-iff split: generat.split)

lemma Done-bind-gpv [simp]: Done a ≫= f = f a
by(rule gpv.expand)(simp)

lemma bind-gpv-Done [simp]: f ≫= Done = f
proof(coinduction arbitrary: f rule: gpv.coinduct)
  case (Eq-gpv f)
  have *: the-gpv f ≫= (case-generat (λx. return-spmf (Pure x)) (λout c. return-spmf
(IO out (λinput. Inr (c input))))) =
    map-spmf (map-generat id id ((◦) Inr)) (bind-spmf (the-gpv f) return-spmf)
  unfolding map-spmf-bind-spmf
  by(rule arg-cong2[where f=bind-spmf])(auto simp add: fun-eq-iff split: generat.split)
  show ?case
  by(auto simp add: * bind-gpv.simps pmf.rel-map option.rel-map[abs-def] generat.rel-map[abs-def] simp del: bind-gpv-sel' intro!: rel-generatI rel-spmf-reflI)
qed

lemma if-distrib-bind-gpv2 [if-distrib]:
  bind-gpv gpv (λy. if b then f y else g y) = (if b then bind-gpv gpv f else bind-gpv
gpv g)
by simp

lemma lift-spmf-bind: lift-spmf r ≫= f = GPV (r ≫= the-gpv ◦ f)
by(coinduction arbitrary: r f rule: gpv.coinduct-strong)(auto simp add: bind-map-spmf
o-def intro: rel-pmf-reflI rel-optionI rel-generatI)

lemma the-gpv-bind-gpv-lift-spmf [simp]:
  the-gpv (bind-gpv (lift-spmf p) f) = bind-spmf p (the-gpv ◦ f)
by(simp add: bind-map-spmf o-def)

lemma lift-spmf-bind-spmf: lift-spmf (p ≫= f) = lift-spmf p ≫= (λx. lift-spmf (f
x))
by(rule gpv.expand)(simp add: lift-spmf-bind o-def map-spmf-bind-spmf)

```

lemma *lift-bind-spmf*: $\text{lift-spmf } (\text{bind-spmf } p \ f) = \text{bind-gpv } (\text{lift-spmf } p) (\text{lift-spmf } \circ \ f)$
by(*rule* *gpv.expand*)(*simp add*: *bind-map-spmf map-spmf-bind-spmf o-def*)

lemma *GPV-bind*:

$\text{GPV } f \ggg g =$
 $\text{GPV } (f \ggg (\lambda \text{generat. case generat of Pure } x \Rightarrow \text{the-gpv } (g \ x) \mid \text{IO out } c \Rightarrow$
 $\text{return-spmf } (\text{IO out } (\lambda \text{input. } c \ \text{input} \ggg g))))$
by(*subst bind-gpv-unfold*) *simp*

lemma *GPV-bind'*:

$\text{GPV } f \ggg g = \text{GPV } (f \ggg (\lambda \text{generat. if is-Pure generat then the-gpv } (g \ (\text{result}$
 $\text{generat})) \ \text{else return-spmf } (\text{IO } (\text{output generat}) (\lambda \text{input. continuation generat in-}$
 $\text{put} \ggg g))))$
unfolding *GPV-bind gpv.inject*
by(*rule arg-cong[where f=bind-spmf f]*)(*simp add*: *fun-eq-iff split: generat.split*)

lemma *bind-gpv-assoc*:

fixes $f :: ('a, 'out, 'in) \ \text{gpv}$
shows $(f \ggg g) \ggg h = f \ggg (\lambda x. g \ x \ggg h)$
proof(*coinduction arbitrary: f g h rule: gpv.coinduct-strong*)
case (*Eq-gpv f g h*)
show *?case*
apply(*simp cong del: if-weak-cong*)
apply(*rule rel-spmf-bindI[where R=(=)]*)
apply(*simp add: option.rel-eq pmf.rel-eq*)
apply(*fastforce intro: rel-pmf-return-pmfI rel-generatI rel-spmf-reflI*)
done

qed

lemma *map-gpv-bind-gpv*: $\text{map-gpv } f \ g \ (\text{bind-gpv } \text{gpv } h) = \text{bind-gpv } (\text{map-gpv } \text{id } g$
 $\text{gpv}) (\lambda x. \text{map-gpv } f \ g \ (h \ x))$
apply(*coinduction arbitrary: gpv rule: gpv.coinduct-strong*)
apply(*simp add: bind-gpv.sel gpv.map-sel spmf-rel-map generat.rel-map o-def bind-map-spmf*
del: bind-gpv-sel')
apply(*rule rel-spmf-bind-reflI*)
apply(*auto simp add: spmf-rel-map generat.rel-map split: generat.split del: rel-funI*
intro!: rel-spmf-reflI generat.rel-refl rel-funI)
done

lemma *map-gpv-id-bind-gpv*: $\text{map-gpv } f \ \text{id} \ (\text{bind-gpv } \text{gpv } g) = \text{bind-gpv } \text{gpv} \ (\text{map-gpv}$
 $f \ \text{id} \circ \ g)$

by(*simp add: map-gpv-bind-gpv gpv.map-id o-def*)

lemma *map-gpv-conv-bind*:

$\text{map-gpv } f \ (\lambda x. x) \ x = \text{bind-gpv } x \ (\lambda x. \text{Done } (f \ x))$
using *map-gpv-bind-gpv[of f λx. x x Done]* **by**(*simp add: id-def[symmetric] gpv.map-id*)

lemma *bind-map-gpv*: $\text{bind-gpv } (\text{map-gpv } f \text{ id } \text{gpv}) \text{ } g = \text{bind-gpv } \text{gpv } (g \circ f)$
by(*simp add: map-gpv-conv-bind id-def bind-gpv-assoc o-def*)

lemma *outs-bind-gpv*:

$\text{outs}'\text{-gpv } (\text{bind-gpv } x \text{ } f) = \text{outs}'\text{-gpv } x \cup (\bigcup x \in \text{results}'\text{-gpv } x. \text{outs}'\text{-gpv } (f \text{ } x))$
(is ?lhs = ?rhs)

proof(*rule Set.set-eqI iffI*)+

fix *out*

assume $out \in ?lhs$

then show $out \in ?rhs$

proof(*induction $g \equiv x \ggg f$ arbitrary: x*)

case (*Out generat*)

then obtain *generat'* **where** $*$: $generat' \in \text{set-spmf } (\text{the-gpv } x)$

and $**$: $generat \in \text{set-spmf } (\text{if is-Pure } generat' \text{ then the-gpv } (f \text{ } (\text{result } generat'))$
 $\text{else return-spmf } (IO \text{ } (\text{output } generat') \text{ } (\lambda \text{input. continuation } generat' \text{ input } \ggg f)))$

by(*auto*)

show *?case*

proof(*cases is-Pure generat'*)

case *True*

then have $out \in \text{outs}'\text{-gpv } (f \text{ } (\text{result } generat'))$ **using** *Out(2) ** by(auto intro: outs'-gpvI)*

moreover have $\text{result } generat' \in \text{results}'\text{-gpv } x$ **using** $*$ *True*

by(*auto intro: results'-gpvI generat.set-sel*)

ultimately show *?thesis by blast*

next

case *False*

hence $out \in \text{outs}'\text{-gpv } x$ **using** $*$ *** Out(2) by(auto intro: outs'-gpvI generat.set-sel)*

thus *?thesis by blast*

qed

next

case (*Cont generat c input*)

then obtain *generat'* **where** $*$: $generat' \in \text{set-spmf } (\text{the-gpv } x)$

and $**$: $generat \in \text{set-spmf } (\text{if is-Pure } generat' \text{ then the-gpv } (f \text{ } (\text{generat.result } generat'))$
 $\text{else return-spmf } (IO \text{ } (\text{generat.output } generat') \text{ } (\lambda \text{input. continuation } generat' \text{ input } \ggg f)))$

by(*auto*)

show *?case*

proof(*cases is-Pure generat'*)

case *True*

then have $out \in \text{outs}'\text{-gpv } (f \text{ } (\text{result } generat'))$ **using** *Cont(2-3) ** by(auto intro: outs'-gpvI)*

moreover have $\text{result } generat' \in \text{results}'\text{-gpv } x$ **using** $*$ *True*

by(*auto intro: results'-gpvI generat.set-sel*)

ultimately show *?thesis by blast*

next


```

    case False
    then have generat: generat = IO (output generat') ( $\lambda$ input. continuation
generat' input  $\gg$  f)
    using ** by simp
    with Cont(2) have c input = continuation generat' input  $\gg$  f by auto
    hence out  $\in$  outs'-gpv (continuation generat' input)  $\cup$  ( $\bigcup_{x \in \text{results}'\text{-gpv}}$ 
(continuation generat' input). outs'-gpv (f x))
    by(rule Cont)
    thus ?thesis
    proof
    assume out  $\in$  outs'-gpv (continuation generat' input)
    with * ** False have out  $\in$  outs'-gpv x by(auto intro: outs'-gpvI generat.set-sel)
    thus ?thesis ..
    next
    assume out  $\in$  ( $\bigcup_{x \in \text{results}'\text{-gpv}}$  (continuation generat' input). outs'-gpv (f
x))
    then obtain y where y  $\in$  results'-gpv (continuation generat' input) out  $\in$ 
outs'-gpv (f y) ..
    from (y  $\in$   $\rightarrow$ ) * ** False have y  $\in$  results'-gpv x
    by(auto intro: results'-gpvI generat.set-sel)
    with (out  $\in$  outs'-gpv (f y)) show ?thesis by blast
    qed
  qed
  qed
next
fix out
assume out  $\in$  ?rhs
then show out  $\in$  ?lhs
proof
  assume out  $\in$  outs'-gpv x
  thus ?thesis
  proof(induction)
    case (Out generat gpv)
    then show ?case
    by(cases generat)(fastforce intro: outs'-gpvI rev-bexI)+
  next
    case (Cont generat gpv gpv')
    then show ?case
    by(cases generat)(auto 4 4 intro: outs'-gpvI rev-bexI simp add: in-set-spmf
set-pmf-bind-spmf simp del: set-bind-spmf)
  qed
next
  assume out  $\in$  ( $\bigcup_{x \in \text{results}'\text{-gpv}}$  x. outs'-gpv (f x))
  then obtain y where y  $\in$  results'-gpv x out  $\in$  outs'-gpv (f y) ..
  from (y  $\in$   $\rightarrow$ ) show ?thesis
  proof(induction)
    case (Pure generat gpv)
    thus ?case using (out  $\in$  outs'-gpv  $\rightarrow$ )

```

```

      by(cases generat)(auto 4 5 intro: outs'-gpvI rev-bezI elim: outs'-gpv-cases)
    next
    case (Cont generat gpv gpv')
    thus ?case
    by(cases generat)(auto 4 4 simp add: in-set-spmf simp add: set-pmf-bind-spmf
intro: outs'-gpvI rev-bezI simp del: set-bind-spmf)
  qed
  qed
  qed

```

lemma *bind-gpv-Fail [simp]: Fail $\gg=$ f = Fail*
by(subst bind-gpv-unfold)(simp add: Fail-def)

lemma *bind-gpv-eq-Fail:*
*bind-gpv gpv f = Fail \longleftrightarrow ($\forall x \in \text{set-spmf } (the-gpv gpv). \text{is-Pure } x$) \wedge ($\forall x \in \text{results}'-gpv$
gpv. f x = Fail)
(is ?lhs = ?rhs)
proof(intro iffI conjI strip)
show ?lhs **if** ?rhs **using** that
by(intro gpv.expand)(auto 4 4 simp add: bind-eq-return-pmf-None intro: re-
sults'-gpv-Pure generat.set-sel dest: bspec)*

```

    assume ?lhs
    hence *: the-gpv (bind-gpv gpv f) = return-pmf None by simp
    from * show is-Pure x if  $x \in \text{set-spmf } (the-gpv gpv)$  for x using that
      by(simp add: bind-eq-return-pmf-None split: if-split-asm)
    show f x = Fail if  $x \in \text{results}'-gpv gpv$  for x using that *
      by(cases)(auto 4 3 simp add: bind-eq-return-pmf-None elim!: generat.set-cases
intro: gpv.expand dest: bspec)
  qed

```

context includes *lifting-syntax* **begin**

lemma *bind-gpv-parametric [transfer-rule]:*
(rel-gpv A C \implies (A \implies rel-gpv B C) \implies rel-gpv B C) bind-gpv
bind-gpv
unfolding *bind-gpv-def* **by** *transfer-prover*

lemma *bind-gpv-parametric':*
(rel-gpv'' A C R \implies (A \implies rel-gpv'' B C R) \implies rel-gpv'' B C R)
bind-gpv bind-gpv
unfolding *bind-gpv-def* **supply** *corec-gpv-parametric'[transfer-rule]* *the-gpv-parametric'[transfer-rule]*
by(*transfer-prover*)

end

lemma *monad-gpv [locale-witness]: monad Done bind-gpv*
by(*unfold-locales*)(simp-all add: bind-gpv-assoc)

lemma *monad-fail-gpv* [*locale-witness*]: *monad-fail Done bind-gpv Fail*
by *unfold-locales auto*

lemma *rel-gpv-bindI*:
 $\llbracket \text{rel-gpv } A \ C \ \text{gpv } \text{gpv}' ; \bigwedge x \ y. \ A \ x \ y \implies \text{rel-gpv } B \ C \ (f \ x) \ (g \ y) \rrbracket$
 $\implies \text{rel-gpv } B \ C \ (\text{bind-gpv } \text{gpv } f) \ (\text{bind-gpv } \text{gpv}' \ g)$
by(*fact bind-gpv-parametric*[*THEN rel-funD, THEN rel-funD, OF - rel-funI*])

lemma *bind-gpv-cong*:
 $\llbracket \text{gpv} = \text{gpv}' ; \bigwedge x. \ x \in \text{results}'\text{-gpv } \text{gpv}' \implies f \ x = g \ x \rrbracket \implies \text{bind-gpv } \text{gpv } f =$
 $\text{bind-gpv } \text{gpv}' \ g$
apply(*subst gpv.rel-eq*[*symmetric*])
apply(*rule rel-gpv-bindI*[**where** *A=eq-onp* ($\lambda x. \ x \in \text{results}'\text{-gpv } \text{gpv}'$)])
apply(*subst (asm) gpv.rel-eq*[*symmetric*])
apply(*erule gpv.rel-mono-strong*)
apply(*simp add: eq-onp-def*)
apply *simp*
apply(*clarsimp simp add: gpv.rel-eq eq-onp-def*)
done

definition *bind-rpv* :: (*'a, 'in, 'out*) *rpv* \Rightarrow (*'a* \Rightarrow (*'b, 'in, 'out*) *gpv*) \Rightarrow (*'b, 'in,*
'out) *rpv*
where *bind-rpv rpv f* = ($\lambda \text{input}. \ \text{bind-gpv } (\text{rpv } \text{input}) \ f$)

lemma *bind-rpv-apply* [*simp*]: *bind-rpv rpv f input* = *bind-gpv (rpv input) f*
by(*simp add: bind-rpv-def fun-eq-iff*)

adhoc-overloading *Monad-Syntax.bind bind-rpv*

lemma *bind-rpv-code-cong*: *rpv* = *rpv'* \implies *bind-rpv rpv f* = *bind-rpv rpv' f* **by**
simp
setup \ll *Code-Simp.map-ss (Simplifier.add-cong @{\thm bind-rpv-code-cong})* \gg

lemma *bind-rpv-rDone* [*simp*]: *bind-rpv rpv Done* = *rpv*
by(*simp add: bind-rpv-def*)

lemma *bind-gpv-Pause* [*simp*]: *bind-gpv (Pause out rpv) f* = *Pause out (bind-rpv*
rpv f)
by(*rule gpv.expand*)(*simp add: fun-eq-iff*)

lemma *bind-rpv-React* [*simp*]: *bind-rpv (React f) g* = *React (apsnd (λrpv. bind-rpv*
rpv g) ∘ f)
by(*simp add: React-def split-beta fun-eq-iff*)

lemma *bind-rpv-assoc*: *bind-rpv (bind-rpv rpv f) g* = *bind-rpv rpv ((λgpv. bind-gpv*
gpv g) ∘ f)
by(*simp add: fun-eq-iff bind-gpv-assoc o-def*)

lemma *bind-rpv-Done* [*simp*]: *bind-rpv Done f* = *f*

by(*simp add: bind-rpv-def*)

lemma *results'-rpv-Done* [*simp*]: *results'-rpv Done = UNIV*
by(*auto simp add: results'-rpv-def*)

4.6 Embedding 'a *spmf* as a monad

lemma *neg-fun-distr3*:
 includes *lifting-syntax*
 assumes 1: *left-unique R right-total R*
 assumes 2: *right-unique S left-total S*
 shows $(R \text{ OO } R' \implies S \text{ OO } S') \leq ((R \implies S) \text{ OO } (R' \implies S'))$
using *functional-relation*[*OF 2*] *functional-converse-relation*[*OF 1*]
unfolding *rel-fun-def OO-def*
apply *clarify*
apply (*subst all-comm*)
apply (*subst all-conj-distrib*[*symmetric*])
apply (*intro choice*)
by *metis*

locale *spmf-to-gpv begin*

The lifting package cannot handle free term variables in the merging of transfer rules, so for the embedding we define a specialised relator *rel-gpv'* which acts only on the returned values.

definition *rel-gpv'* :: $('a \Rightarrow 'b \Rightarrow \text{bool}) \Rightarrow ('a, 'out, 'in) \text{ gpv} \Rightarrow ('b, 'out, 'in) \text{ gpv} \Rightarrow \text{bool}$

where *rel-gpv' A = rel-gpv A (=)*

lemma *rel-gpv'-eq* [*relator-eq*]: *rel-gpv' (=) = (=)*

unfolding *rel-gpv'-def gpv.rel-eq ..*

lemma *rel-gpv'-mono* [*relator-mono*]: $A \leq B \implies \text{rel-gpv}' A \leq \text{rel-gpv}' B$

unfolding *rel-gpv'-def by* (*rule gpv.rel-mono; simp*)

lemma *rel-gpv'-distr* [*relator-distr*]: $\text{rel-gpv}' A \text{ OO } \text{rel-gpv}' B = \text{rel-gpv}' (A \text{ OO } B)$

unfolding *rel-gpv'-def by* (*metis OO-eq gpv.rel-compp*)

lemma *left-unique-rel-gpv'* [*transfer-rule*]: $\text{left-unique } A \implies \text{left-unique } (\text{rel-gpv}' A)$

unfolding *rel-gpv'-def by* (*simp add: left-unique-rel-gpv left-unique-eq*)

lemma *right-unique-rel-gpv'* [*transfer-rule*]: $\text{right-unique } A \implies \text{right-unique } (\text{rel-gpv}' A)$

unfolding *rel-gpv'-def by* (*simp add: right-unique-rel-gpv right-unique-eq*)

lemma *bi-unique-rel-gpv'* [*transfer-rule*]: $\text{bi-unique } A \implies \text{bi-unique } (\text{rel-gpv}' A)$

unfolding *rel-gpv'-def by* (*simp add: bi-unique-rel-gpv bi-unique-eq*)

lemma *left-total-rel-gpv'* [transfer-rule]: *left-total A* \implies *left-total (rel-gpv' A)*

unfolding *rel-gpv'-def* **by**(*simp add: left-total-rel-gpv left-total-eq*)

lemma *right-total-rel-gpv'* [transfer-rule]: *right-total A* \implies *right-total (rel-gpv' A)*

unfolding *rel-gpv'-def* **by**(*simp add: right-total-rel-gpv right-total-eq*)

lemma *bi-total-rel-gpv'* [transfer-rule]: *bi-total A* \implies *bi-total (rel-gpv' A)*

unfolding *rel-gpv'-def* **by**(*simp add: bi-total-rel-gpv bi-total-eq*)

We cannot use *setup-lifting* because (*'a, 'out, 'in*) *gpv* contains type variables which do not appear in *'a spmf*.

definition *cr-spmf-gpv* :: *'a spmf* \Rightarrow (*'a, 'out, 'in*) *gpv* \Rightarrow *bool*

where *cr-spmf-gpv p gpv* \longleftrightarrow *gpv = lift-spmf p*

definition *spmf-of-gpv* :: (*'a, 'out, 'in*) *gpv* \Rightarrow *'a spmf*

where *spmf-of-gpv gpv* = (*THE p. gpv = lift-spmf p*)

lemma *spmf-of-gpv-lift-spmf* [*simp*]: *spmf-of-gpv (lift-spmf p)* = *p*

unfolding *spmf-of-gpv-def* **by** *auto*

lemma *rel-spmf-setD2*:

$\llbracket \text{rel-spmf } A \text{ } p \text{ } q; y \in \text{set-spmf } q \rrbracket \implies \exists x \in \text{set-spmf } p. A \text{ } x \text{ } y$

by(*erule rel-spmfE*) **force**

lemma *rel-gpv-lift-spmf1*: *rel-gpv A B (lift-spmf p) gpv* \longleftrightarrow ($\exists q. \text{gpv} = \text{lift-spmf } q \wedge \text{rel-spmf } A \text{ } p \text{ } q$)

apply(*subst gpv.rel-sel*)

apply(*simp add: spmf-rel-map rel-generat-Pure1*)

apply *safe*

apply(*rule exI[where x=map-spmf result (the-gpv gpv)]*)

apply(*clarsimp simp add: spmf-rel-map*)

apply(*rule conjI*)

apply(*rule gpv.expand*)

apply(*simp add: spmf.map-comp*)

apply(*subst map-spmf-cong[OF refl, where g=id]*)

apply(*drule (1) rel-spmf-setD2*)

apply *clarsimp*

apply *simp*

apply(*erule rel-spmf-mono*)

apply *clarsimp*

apply(*clarsimp simp add: spmf-rel-map*)

done

lemma *rel-gpv-lift-spmf2*: *rel-gpv A B gpv (lift-spmf q)* \longleftrightarrow ($\exists p. \text{gpv} = \text{lift-spmf } p \wedge \text{rel-spmf } A \text{ } p \text{ } q$)

by(*subst gpv.rel-flip[symmetric]*)(*simp add: rel-gpv-lift-spmf1 pmf.rel-flip option.rel-conversep*)

definition *pcr-spmf-gpv* :: (*'a* \Rightarrow *'b* \Rightarrow *bool*) \Rightarrow *'a spmf* \Rightarrow (*'b, 'out, 'in*) *gpv* \Rightarrow

bool
where $pcr\text{-}spmf\text{-}gpv\ A = cr\text{-}spmf\text{-}gpv\ OO\ rel\text{-}gpv\ A\ (=)$

lemma $pcr\text{-}cr\text{-}eq\text{-}spmf\text{-}gpv$: $pcr\text{-}spmf\text{-}gpv\ (=) = cr\text{-}spmf\text{-}gpv$
by(*simp add: pcr-spmf-gpv-def gpv.rel-eq OO-eq*)

lemma $left\text{-}unique\text{-}cr\text{-}spmf\text{-}gpv$: $left\text{-}unique\ cr\text{-}spmf\text{-}gpv$
by(*rule left-uniqueI*)(*simp add: cr-spmf-gpv-def*)

lemma $left\text{-}unique\text{-}pcr\text{-}spmf\text{-}gpv$ [*transfer-rule*]:
 $left\text{-}unique\ A \implies left\text{-}unique\ (pcr\text{-}spmf\text{-}gpv\ A)$
unfolding $pcr\text{-}spmf\text{-}gpv\text{-}def$ **by**(*intro left-unique-OO left-unique-cr-spmf-gpv left-unique-rel-gpv left-unique-eq*)

lemma $right\text{-}unique\text{-}cr\text{-}spmf\text{-}gpv$: $right\text{-}unique\ cr\text{-}spmf\text{-}gpv$
by(*rule right-uniqueI*)(*simp add: cr-spmf-gpv-def*)

lemma $right\text{-}unique\text{-}pcr\text{-}spmf\text{-}gpv$ [*transfer-rule*]:
 $right\text{-}unique\ A \implies right\text{-}unique\ (pcr\text{-}spmf\text{-}gpv\ A)$
unfolding $pcr\text{-}spmf\text{-}gpv\text{-}def$ **by**(*intro right-unique-OO right-unique-cr-spmf-gpv right-unique-rel-gpv right-unique-eq*)

lemma $bi\text{-}unique\text{-}cr\text{-}spmf\text{-}gpv$: $bi\text{-}unique\ cr\text{-}spmf\text{-}gpv$
by(*simp add: bi-unique-alt-def left-unique-cr-spmf-gpv right-unique-cr-spmf-gpv*)

lemma $bi\text{-}unique\text{-}pcr\text{-}spmf\text{-}gpv$ [*transfer-rule*]: $bi\text{-}unique\ A \implies bi\text{-}unique\ (pcr\text{-}spmf\text{-}gpv\ A)$
by(*simp add: bi-unique-alt-def left-unique-pcr-spmf-gpv right-unique-pcr-spmf-gpv*)

lemma $left\text{-}total\text{-}cr\text{-}spmf\text{-}gpv$: $left\text{-}total\ cr\text{-}spmf\text{-}gpv$
by(*rule left-totalI*)(*simp add: cr-spmf-gpv-def*)

lemma $left\text{-}total\text{-}pcr\text{-}spmf\text{-}gpv$ [*transfer-rule*]: $left\text{-}total\ A \implies left\text{-}total\ (pcr\text{-}spmf\text{-}gpv\ A)$
unfolding $pcr\text{-}spmf\text{-}gpv\text{-}def$ **by**(*intro left-total-OO left-total-cr-spmf-gpv left-total-rel-gpv left-total-eq*)

context includes *lifting-syntax* **begin**

lemma $return\text{-}spmf\text{-}gpv\text{-}transfer'$:
 $((=) \implies cr\text{-}spmf\text{-}gpv)\ return\text{-}spmf\ Done$
by(*rule rel-funI*)(*simp add: cr-spmf-gpv-def*)

lemma $return\text{-}spmf\text{-}gpv\text{-}transfer$ [*transfer-rule*]:
 $(A \implies pcr\text{-}spmf\text{-}gpv\ A)\ return\text{-}spmf\ Done$
unfolding $pcr\text{-}spmf\text{-}gpv\text{-}def$
apply(*rewrite in* ($\exists \implies -$) - - *eq-OO[symmetric]*)
apply(*rule pos-fun-distr*[*THEN le-funD, THEN le-funD, THEN le-boolD, THEN mp*])

```

apply(rule relcomppI)
  apply(rule return-spmf-gpv-transfer')
apply transfer-prover
done

```

```

lemma bind-spmf-gpv-transfer':
  (cr-spmf-gpv ===> ((=) ===> cr-spmf-gpv) ===> cr-spmf-gpv) bind-spmf
  bind-gpv
apply(clarsimp simp add: rel-fun-def cr-spmf-gpv-def)
apply(rule gpv.expand)
apply(simp add: bind-map-spmf map-spmf-bind-spmf o-def)
done

```

```

lemma bind-spmf-gpv-transfer [transfer-rule]:
  (pcr-spmf-gpv A ===> (A ===> pcr-spmf-gpv B) ===> pcr-spmf-gpv B)
  bind-spmf bind-gpv
unfolding pcr-spmf-gpv-def
apply(rewrite in (- ===> (∃ ===> -) ===> -) - - eq-OO[symmetric])
apply(rule fun-mono[THEN le-funD, THEN le-funD, THEN le-boolD, THEN mp])
  apply(rule order.refl)
  apply(rule fun-mono)
  apply(rule neg-fun-distr3[OF left-unique-eq right-total-eq right-unique-cr-spmf-gpv
  left-total-cr-spmf-gpv])
  apply(rule order.refl)
apply(rule fun-mono[THEN le-funD, THEN le-funD, THEN le-boolD, THEN mp])
  apply(rule order.refl)
  apply(rule pos-fun-distr)
apply(rule pos-fun-distr[THEN le-funD, THEN le-funD, THEN le-boolD, THEN
  mp])
apply(rule relcomppI)
  apply(rule bind-spmf-gpv-transfer')
apply transfer-prover
done

```

```

lemma lift-spmf-gpv-transfer':
  ((=) ===> cr-spmf-gpv) (λx. x) lift-spmf
by(simp add: rel-fun-def cr-spmf-gpv-def)

```

```

lemma lift-spmf-gpv-transfer [transfer-rule]:
  (rel-spmf A ===> pcr-spmf-gpv A) (λx. x) lift-spmf
unfolding pcr-spmf-gpv-def
apply(rewrite in (∃ ===> -) - - eq-OO[symmetric])
apply(rule pos-fun-distr[THEN le-funD, THEN le-funD, THEN le-boolD, THEN
  mp])
apply(rule relcomppI)
  apply(rule lift-spmf-gpv-transfer')
apply transfer-prover
done

```

lemma *fail-spmf-gpv-transfer'*: *cr-spmf-gpv (return-pmf None) Fail*
by(*simp add: cr-spmf-gpv-def*)

lemma *fail-spmf-gpv-transfer* [*transfer-rule*]: *pcr-spmf-gpv A (return-pmf None)*
Fail
unfolding *pcr-spmf-gpv-def*
apply(*rule relcomppI*)
apply(*rule fail-spmf-gpv-transfer'*)
apply *transfer-prover*
done

lemma *map-spmf-gpv-transfer'*:
 $((=) \implies R \implies cr\text{-}spmf\text{-}gpv \implies cr\text{-}spmf\text{-}gpv) (\lambda f g. map\text{-}spmf\ f)$
map-gpv
by(*simp add: rel-fun-def cr-spmf-gpv-def map-lift-spmf*)

lemma *map-spmf-gpv-transfer* [*transfer-rule*]:
 $((A \implies B) \implies R \implies pcr\text{-}spmf\text{-}gpv\ A \implies pcr\text{-}spmf\text{-}gpv\ B) (\lambda f g.$
map-spmf f) map-gpv
unfolding *pcr-spmf-gpv-def*
apply(*rewrite in (($\sqsupset \implies -$) $\implies -$) - - eq-OO[symmetric])
apply(*rewrite in (($- \implies \sqsupset$) $\implies -$) - - eq-OO[symmetric])
apply(*rewrite in ($- \implies \sqsupset \implies -$) - - OO-eq[symmetric])
apply(*rule fun-mono[THEN le-funD, THEN le-funD, THEN le-boolD, THEN mp]*)
apply(*rule neg-fun-distr3[OF left-unique-eq right-total-eq right-unique-eq left-total-eq]*)
apply(*rule fun-mono[OF order.refl]*)
apply(*rule pos-fun-distr*)
apply(*rule fun-mono[THEN le-funD, THEN le-funD, THEN le-boolD, THEN mp]*)
apply(*rule order.refl*)
apply(*rule pos-fun-distr*)
apply(*rule pos-fun-distr[THEN le-funD, THEN le-funD, THEN le-boolD, THEN mp]*)
apply(*rule relcomppI*)
apply(*unfold rel-fun-eq*)
apply(*rule map-spmf-gpv-transfer'*)
apply(*unfold rel-fun-eq[symmetric]*)
apply *transfer-prover*
done***

end

end

4.7 Embedding 'a option as a monad

locale *option-to-gpv begin*

interpretation *option-to-spmf .*

interpretation *spmf-to-gpv .*

definition $cr\text{-option-gpv} :: 'a\ option \Rightarrow ('a, 'out, 'in)\ gpv \Rightarrow bool$
where $cr\text{-option-gpv}\ x\ gpv \longleftrightarrow gpv = (lift\text{-spmf} \circ return\text{-pmf})\ x$

lemma $cr\text{-option-gpv-conv-OO}$:

$cr\text{-option-gpv} = cr\text{-spmf-option}^{-1-1}\ OO\ cr\text{-spmf-gpv}$

by($simp\ add: fun\text{-eq}\text{-iff}\ relcompp.simps\ cr\text{-option-gpv}\text{-def}\ cr\text{-spmf-gpv}\text{-def}\ cr\text{-spmf-option}\text{-def}$)

context includes $lifting\text{-syntax}$ **begin**

These transfer rules should follow from merging the transfer rules, but this has not yet been implemented.

lemma $return\text{-option-gpv-transfer}$ [$transfer\text{-rule}$]:

$((=) \implies cr\text{-option-gpv})\ Some\ Done$

by($simp\ add: cr\text{-option-gpv}\text{-def}\ rel\text{-fun}\text{-def}$)

lemma $bind\text{-option-gpv-transfer}$ [$transfer\text{-rule}$]:

$(cr\text{-option-gpv} \implies ((=) \implies cr\text{-option-gpv}) \implies cr\text{-option-gpv})\ Option.\text{bind}\ bind\text{-gpv}$

apply($clarsimp\ simp\ add: cr\text{-option-gpv}\text{-def}\ rel\text{-fun}\text{-def}$)

subgoal for $x\ f\ g$ **by**($cases\ x;$ $simp$)

done

lemma $fail\text{-option-gpv-transfer}$ [$transfer\text{-rule}$]: $cr\text{-option-gpv}\ None\ Fail$

by($simp\ add: cr\text{-option-gpv}\text{-def}$)

lemma $map\text{-option-gpv-transfer}$ [$transfer\text{-rule}$]:

$((=) \implies R \implies cr\text{-option-gpv} \implies cr\text{-option-gpv})\ (\lambda f\ g.\ map\text{-option}\ f)\ map\text{-gpv}$

unfolding $rel\text{-fun}\text{-eq}$ **by**($simp\ add: rel\text{-fun}\text{-def}\ cr\text{-option-gpv}\text{-def}\ map\text{-lift}\text{-spmf}$)

end

end

locale $option\text{-le-gpv}$ **begin**

interpretation $option\text{-le-spmf}$.

interpretation $spmf\text{-to-gpv}$.

definition $cr\text{-option-le-gpv} :: 'a\ option \Rightarrow ('a, 'out, 'in)\ gpv \Rightarrow bool$

where $cr\text{-option-le-gpv}\ x\ gpv \longleftrightarrow gpv = (lift\text{-spmf} \circ return\text{-pmf})\ x \vee x = None$

context includes $lifting\text{-syntax}$ **begin**

lemma $return\text{-option-le-gpv-transfer}$ [$transfer\text{-rule}$]:

$((=) \implies cr\text{-option-le-gpv})\ Some\ Done$

by($simp\ add: cr\text{-option-le-gpv}\text{-def}\ rel\text{-fun}\text{-def}$)

```

lemma bind-option-gpv-transfer [transfer-rule]:
  (cr-option-le-gpv ==> ((=) ==> cr-option-le-gpv) ==> cr-option-le-gpv)
  Option.bind bind-gpv
apply(clarsimp simp add: cr-option-le-gpv-def rel-fun-def bind-eq-Some-conv)
subgoal for f g x y by(erule allE[where x=y]) auto
done

```

```

lemma fail-option-gpv-transfer [transfer-rule]:
  cr-option-le-gpv None Fail
by(simp add: cr-option-le-gpv-def)

```

```

lemma map-option-gpv-transfer [transfer-rule]:
  (((=) ==> (=)) ==> cr-option-le-gpv ==> cr-option-le-gpv) map-option
  ( $\lambda f. \text{map-gpv } f \text{ id}$ )
unfolding rel-fun-eq by(simp add: rel-fun-def cr-option-le-gpv-def map-lift-spmf)

```

end

end

4.8 Embedding resumptions

```

primcorec lift-resumption :: ('a, 'out, 'in) resumption  $\Rightarrow$  ('a, 'out, 'in) gpv
where

```

```

  the-gpv (lift-resumption r) =
    (case r of resumption.Done None  $\Rightarrow$  return-pmf None
     | resumption.Done (Some x')  $\Rightarrow$  return-spmf (Pure x')
     | resumption.Pause out c  $\Rightarrow$  map-spmf (map-generat id id ((\circ) lift-resumption))
    (return-spmf (IO out c)))

```

```

lemma the-gpv-lift-resumption:
  the-gpv (lift-resumption r) =
    (if is-Done r then if Option.is-none (resumption.result r) then return-pmf None
     else return-spmf (Pure (the (resumption.result r)))
     else return-spmf (IO (resumption.output r) (lift-resumption \circ resume r)))
by(simp split: option.split resumption.split)

```

```

declare lift-resumption.simps [simp del]

```

```

lemma lift-resumption-Done [code]:
  lift-resumption (resumption.Done x) = (case x of None  $\Rightarrow$  Fail | Some x'  $\Rightarrow$ 
  Done x')
by(rule gpv.expand)(simp add: the-gpv-lift-resumption split: option.split)

```

```

lemma lift-resumption-DONE [simp]:
  lift-resumption (DONE x) = Done x
by(simp add: DONE-def lift-resumption-Done)

```

```

lemma lift-resumption-ABORT [simp]:

```

lift-resumption ABORT = Fail
by(*simp add: ABORT-def lift-resumption-Done*)

lemma *lift-resumption-Pause* [*simp, code*]:
lift-resumption (resumption.Pause out c) = Pause out (lift-resumption \circ c)
by(*rule gpv.expand*)(*simp add: the-gpv-lift-resumption*)

lemma *lift-resumption-Done-Some* [*simp*]: *lift-resumption (resumption.Done (Some x)) = Done x*
using *lift-resumption-DONE unfolding DONE-def by simp*

lemma *results'-gpv-lift-resumption* [*simp*]:
results'-gpv (lift-resumption r) = results r (is ?lhs = ?rhs)
proof(*rule set-eqI iffI*)
show $x \in ?rhs$ **if** $x \in ?lhs$ **for** x **using** *that*
by(*induction gpv \equiv lift-resumption r arbitrary: r*)
(auto intro: resumption.set-sel simp add: lift-resumption.sel split: resumption.split-asm option.split-asm)
show $x \in ?lhs$ **if** $x \in ?rhs$ **for** x **using** *that by induction(auto simp add: lift-resumption.sel)*
qed

lemma *outs'-gpv-lift-resumption* [*simp*]:
outs'-gpv (lift-resumption r) = outputs r (is ?lhs = ?rhs)
proof(*rule set-eqI iffI*)
show $x \in ?rhs$ **if** $x \in ?lhs$ **for** x **using** *that*
by(*induction gpv \equiv lift-resumption r arbitrary: r*)
(auto simp add: lift-resumption.sel split: resumption.split-asm option.split-asm)
show $x \in ?lhs$ **if** $x \in ?rhs$ **for** x **using** *that by induction auto*
qed

lemma *pred-gpv-lift-resumption* [*simp*]:
 $\bigwedge A. \text{pred-gpv } A \ C \ (\text{lift-resumption } r) = \text{pred-resumption } A \ C \ r$
by(*simp add: pred-gpv-def pred-resumption-def*)

lemma *lift-resumption-bind*: *lift-resumption (r \gg f) = lift-resumption r \gg lift-resumption \circ f*
by(*coinduction arbitrary: r rule: gpv.coinduct-strong*)
(auto simp add: lift-resumption.sel Done-bind split: resumption.split option.split del: rel-funI intro!: rel-funI)

4.9 Assertions

definition *assert-gpv* :: *bool \Rightarrow (unit, 'out, 'in) gpv*
where *assert-gpv b = (if b then Done () else Fail)*

lemma *assert-gpv-simps* [*simp*]:
assert-gpv True = Done ()
assert-gpv False = Fail

by(*simp-all add: assert-gpv-def*)

lemma [*simp*]:

shows *assert-gpv-eq-Done*: $\text{assert-gpv } b = \text{Done } x \longleftrightarrow b$

and *Done-eq-assert-gpv*: $\text{Done } x = \text{assert-gpv } b \longleftrightarrow b$

and *Pause-neq-assert-gpv*: $\text{Pause out } rpv \neq \text{assert-gpv } b$

and *assert-gpv-neq-Pause*: $\text{assert-gpv } b \neq \text{Pause out } rpv$

and *assert-gpv-eq-Fail*: $\text{assert-gpv } b = \text{Fail} \longleftrightarrow \neg b$

and *Fail-eq-assert-gpv*: $\text{Fail} = \text{assert-gpv } b \longleftrightarrow \neg b$

by(*simp-all add: assert-gpv-def*)

lemma *assert-gpv-inject* [*simp*]: $\text{assert-gpv } b = \text{assert-gpv } b' \longleftrightarrow b = b'$

by(*simp add: assert-gpv-def*)

lemma *assert-gpv-sel* [*simp*]:

the-gpv (*assert-gpv* *b*) = *map-spmf Pure* (*assert-spmf* *b*)

by(*simp add: assert-gpv-def*)

lemma *the-gpv-bind-assert* [*simp*]:

the-gpv (*bind-gpv* (*assert-gpv* *b*) *f*) =

bind-spmf (*assert-spmf* *b*) (*the-gpv* \circ *f*)

by(*cases b*) *simp-all*

lemma *pred-gpv-assert* [*simp*]: $\text{pred-gpv } P \ Q \ (\text{assert-gpv } b) = (b \longrightarrow P \ ())$

by(*cases b*) *simp-all*

primcorec *try-gpv* :: ('a, 'call, 'ret) gpv \Rightarrow ('a, 'call, 'ret) gpv \Rightarrow ('a, 'call, 'ret) gpv
TRY - ELSE - [0,60] 59

where

the-gpv (*TRY* *gpv* *ELSE* *gpv'*) =

map-spmf (*map-generat id id* (λc *input. case c input of Inl* *gpv* \Rightarrow *try-gpv* *gpv*
gpv' | Inr *gpv'* \Rightarrow *gpv'*))

(*try-spmf* (*map-spmf* (*map-generat id id* (*map-fun id Inl*)) (*the-gpv* *gpv*))

(*map-spmf* (*map-generat id id* (*map-fun id Inr*)) (*the-gpv* *gpv'*)))

lemma *try-gpv-sel*:

the-gpv (*TRY* *gpv* *ELSE* *gpv'*) =

TRY *map-spmf* (*map-generat id id* (λc *input. TRY* *c input ELSE* *gpv'*)) (*the-gpv* *gpv*)
ELSE *the-gpv* *gpv'*

by(*simp add: try-gpv-def map-try-spmf spmf.map-comp o-def generat.map-comp generat.map-ident id-def*)

lemma *try-gpv-Done* [*simp*]: *TRY Done* *x ELSE* *gpv'* = *Done* *x*

by(*rule gpv.expand*)(*simp*)

lemma *try-gpv-Fail* [*simp*]: *TRY Fail ELSE* *gpv'* = *gpv'*

by(*rule gpv.expand*)(*simp add: spmf.map-comp o-def generat.map-comp generat.map-ident*)

lemma *try-gpv-Pause* [*simp*]: *TRY Pause out* *c ELSE* *gpv'* = *Pause out* (λ *input.*

TRY c input ELSE gpv'
by(rule *gpv.expand*) *simp*

lemma *try-gpv-Fail2* [*simp*]: *TRY gpv ELSE Fail = gpv*
by(*coinduction arbitrary: gpv rule: gpv.coinduct-strong*)
(auto simp add: spmf-rel-map generat.rel-map intro!: rel-spmf-refl generat.rel-refl)

lemma *lift-try-spmf*: *lift-spmf (TRY p ELSE q) = TRY lift-spmf p ELSE lift-spmf q*
by(rule *gpv.expand*)(*simp add: map-try-spmf spmf.map-comp o-def*)

lemma *try-assert-gpv*: *TRY assert-gpv b ELSE gpv' = (if b then Done () else gpv')*
by(*simp*)

context includes *lifting-syntax* **begin**

lemma *try-gpv-parametric* [*transfer-rule*]:
(rel-gpv'' A C ===> rel-gpv A C ===> rel-gpv A C) try-gpv try-gpv
unfolding *try-gpv-def* **by** *transfer-prover*

lemma *try-gpv-parametric'*:
(rel-gpv'' A C R ===> rel-gpv'' A C R ===> rel-gpv'' A C R) try-gpv try-gpv
unfolding *try-gpv-def*
supply *corec-gpv-parametric'*[*transfer-rule*] *the-gpv-parametric'*[*transfer-rule*]
by *transfer-prover*
end

lemma *map-try-gpv*: *map-gpv f g (TRY gpv ELSE gpv') = TRY map-gpv f g gpv ELSE map-gpv f g gpv'*
by(*simp add: gpv.rel-map try-gpv-parametric[THEN rel-funD, THEN rel-funD] gpv.rel-refl gpv.rel-eq[symmetric]*)

lemma *map'-try-gpv*: *map-gpv' f g h (TRY gpv ELSE gpv') = TRY map-gpv' f g h gpv ELSE map-gpv' f g h gpv'*
by(*coinduction arbitrary: gpv rule: gpv.coinduct-strong*)(*auto 4 3 simp add: spmf-rel-map generat.rel-map intro!: rel-spmf-refl generat.rel-refl rel-funI rel-spmf-try-spmf*)

lemma *try-bind-assert-gpv*:
TRY (assert-gpv b ≧ f) ELSE gpv = (if b then TRY (f ()) ELSE gpv else gpv)
by(*simp*)

4.10 Order for ('a, 'out, 'in) gpv

coinductive *ord-gpv* :: ('a, 'out, 'in) gpv ⇒ ('a, 'out, 'in) gpv ⇒ bool

where

ord-spmf (rel-generat (=) (=) (rel-fun (=) ord-gpv)) f g ⇒ ord-gpv (GPV f) (GPV g)

inductive-simps *ord-gpv-simps* [*simp*]:

ord-gpv (GPV *f*) (GPV *g*)

lemma *ord-gpv-coinduct* [*consumes 1, case-names ord-gpv, coinduct pred: ord-gpv*]:
 assumes $X f g$
 and step: $\bigwedge f g. X f g \implies \text{ord-spmf } (\text{rel-generat } (=) (=) (\text{rel-fun } (=) X)) (\text{the-gpv } f) (\text{the-gpv } g)$
 shows *ord-gpv* $f g$
 using $\langle X f g \rangle$
 by(*coinduct*)(*auto dest: step simp add: eq-GPV-iff intro: ord-spmf-mono rel-generat-mono rel-fun-mono*)

lemma *ord-gpv-the-gpvD*:
 $\text{ord-gpv } f g \implies \text{ord-spmf } (\text{rel-generat } (=) (=) (\text{rel-fun } (=) \text{ord-gpv})) (\text{the-gpv } f) (\text{the-gpv } g)$
 by(*erule ord-gpv.cases simp*)

lemma *reflp-equality*: *reflp* (=)
by(*simp add: reflp-def*)

lemma *ord-gpv-reflI* [*simp*]: *ord-gpv* $f f$
by(*coinduction arbitrary: f*)(*auto intro: ord-spmf-reflI simp add: rel-generat-same rel-fun-def*)

lemma *reflp-ord-gpv*: *reflp* *ord-gpv*
by(*rule reflpI*)(*rule ord-gpv-reflI*)

lemma *ord-gpv-trans*:
 assumes *ord-gpv* $f g$ *ord-gpv* $g h$
 shows *ord-gpv* $f h$
 using *assms*
 proof(*coinduction arbitrary: f g h*)
 case (*ord-gpv* $f g h$)
 have *: $\text{ord-spmf } (\text{rel-generat } (=) (=) (\text{rel-fun } (=) (\lambda f h. \exists g. \text{ord-gpv } f g \wedge \text{ord-gpv } g h))) (\text{the-gpv } f) (\text{the-gpv } h) =$
 $\text{ord-spmf } (\text{rel-generat } ((=) \text{OO } (=)) ((=) \text{OO } (=)) (\text{rel-fun } (=) (\text{ord-gpv } \text{OO } \text{ord-gpv}))) (\text{the-gpv } f) (\text{the-gpv } h)$
 by(*simp add: relcompp.simps[abs-def]*)
 then show ?*case* **using** *ord-gpv*
 by(*auto elim!: ord-gpv.cases simp add: generat.rel-compp ord-spmf-compp fun.rel-compp*)
 qed

lemma *ord-gpv-compp*: (*ord-gpv* *OO* *ord-gpv*) = *ord-gpv*
by(*auto simp add: fun-eq-iff intro: ord-gpv-trans*)

lemma *transp-ord-gpv* [*simp*]: *transp* *ord-gpv*
by(*blast intro: transpI ord-gpv-trans*)

lemma *ord-gpv-antisym*:
 $\llbracket \text{ord-gpv } f g; \text{ord-gpv } g f \rrbracket \implies f = g$

proof(*coinduction arbitrary: f g*)
case (*Eq-gpv f g*)
let $?R = \text{rel-generat } (=) (=) (\text{rel-fun } (=) \text{ord-gpv})$
from $\langle \text{ord-gpv } f \ g \rangle$ **have** $\text{ord-spmf } ?R (\text{the-gpv } f) (\text{the-gpv } g)$ **by cases simp**
moreover
from $\langle \text{ord-gpv } g \ f \rangle$ **have** $\text{ord-spmf } ?R (\text{the-gpv } g) (\text{the-gpv } f)$ **by cases simp**
ultimately have $\text{rel-spmf } (\text{inf } ?R \ ?R^{-1-1}) (\text{the-gpv } f) (\text{the-gpv } g)$
by(*rule rel-spmf-inf*)(*auto 4 3 intro: transp-rel-generatI transp-ord-gpv reflp-ord-gpv reflp-equality reflp-fun1 is-equality-eq transp-rel-fun*)
also have $\text{inf } ?R \ ?R^{-1-1} = \text{rel-generat } (\text{inf } (=) (=)) (\text{inf } (=) (=)) (\text{rel-fun } (=) (\text{inf } \text{ord-gpv } \text{ord-gpv}^{-1-1}))$
unfolding $\text{rel-generat-inf}[\text{symmetric}] \ \text{rel-fun-inf}[\text{symmetric}]$
by(*simp add: generat.rel-conversep[symmetric] fun.rel-conversep*)
finally show $?case$ **by**(*simp add: inf-fun-def*)
qed

lemma *RFail-least [simp]: ord-gpv Fail f*
by(*coinduction arbitrary: f*)(*simp add: eq-GPV-iff*)

4.11 Bounds on interaction

context

fixes $\text{consider} :: 'out \Rightarrow \text{bool}$

notes $\text{monotone-SUP}[\text{partial-function-mono}] \ [\![\text{function-internals}]\!]$

begin

declaration $\ll \text{Partial-Function.init lfp-strong } @\{\text{term lfp.fixp-fun}\} @\{\text{term lfp.mono-body}\} @\{\text{thm lfp.fixp-rule-uc}\} @\{\text{thm lfp.fixp-induct-strong2-uc}\} \text{NONE} \gg$

partial-function (*lfp-strong*) $\text{interaction-bound} :: ('a, 'out, 'in) \text{gpv} \Rightarrow \text{enat}$

where

$\text{interaction-bound gpv} =$

$(\text{SUP generat:set-spmf } (\text{the-gpv } \text{gpv}). \text{case generat of Pure } - \Rightarrow 0$

$\mid \text{IO out } c \Rightarrow \text{if consider out then eSuc } (\text{SUP input. interaction-bound } (c \ \text{input}))$

$\text{else } (\text{SUP input. interaction-bound } (c \ \text{input})))$

lemma $\text{interaction-bound-fixp-induct} [\text{case-names adm bottom step}]:$

$\ll \text{ccpo.admissible } (\text{fun-lub } \text{Sup}) (\text{fun-ord } (\leq)) \ P;$

$P (\lambda-. 0);$

$\bigwedge \text{interaction-bound}'.$

$\ll P \ \text{interaction-bound}';$

$\bigwedge \text{gpv. interaction-bound}' \ \text{gpv} \leq \text{interaction-bound } \text{gpv};$

$\bigwedge \text{gpv. interaction-bound}' \ \text{gpv} \leq (\text{SUP generat:set-spmf } (\text{the-gpv } \text{gpv}). \text{case generat of Pure } - \Rightarrow 0$

$\text{generat of Pure } - \Rightarrow 0$

$\mid \text{IO out } c \Rightarrow \text{if consider out then eSuc } (\text{SUP input. interaction-bound}' (c \ \text{input}))$

$\text{else } (\text{SUP input. interaction-bound}' (c \ \text{input})))$

\ll

$\Rightarrow P (\lambda \text{gpv. } \ll \text{generat} \in \text{set-spmf } (\text{the-gpv } \text{gpv}). \text{case generat of Pure } x \Rightarrow 0$

$\mid \text{IO out } c \Rightarrow \text{if consider out then eSuc } (\ll \text{input. interaction-bound}' (c \ \text{input})) \text{ else } (\ll \text{input. interaction-bound}' (c \ \text{input}))) \gg$

$\Rightarrow P$ interaction-bound
by(erule interaction-bound.fixp-induct)(simp-all add: bot-enat-def fun-ord-def)

lemma interaction-bound-IO:
 IO out $c \in \text{set-spmf } (the\text{-gpv } gpv)$
 \Rightarrow (if consider out then $eSuc$ (interaction-bound (c input)) else interaction-bound (c input)) \leq interaction-bound gpv
by(rewrite in - $\leq \sqsupset$ interaction-bound.simps)(auto intro!: SUP-upper2)

lemma interaction-bound-IO-consider:
 $\llbracket IO$ out $c \in \text{set-spmf } (the\text{-gpv } gpv);$ consider out \rrbracket
 $\Rightarrow eSuc$ (interaction-bound (c input)) \leq interaction-bound gpv
by(drule interaction-bound-IO) simp

lemma interaction-bound-IO-ignore:
 $\llbracket IO$ out $c \in \text{set-spmf } (the\text{-gpv } gpv);$ \neg consider out \rrbracket
 \Rightarrow interaction-bound (c input) \leq interaction-bound gpv
by(drule interaction-bound-IO) simp

lemma interaction-bound-Done [simp]: interaction-bound (Done x) = 0
by(simp add: interaction-bound.simps)

lemma interaction-bound-Fail [simp]: interaction-bound Fail = 0
by(simp add: interaction-bound.simps bot-enat-def)

lemma interaction-bound-Pause [simp]:
interaction-bound (Pause out c) =
(if consider out then $eSuc$ (SUP input. interaction-bound (c input)) else (SUP input. interaction-bound (c input)))
by(simp add: interaction-bound.simps)

lemma interaction-bound-lift-spmf [simp]: interaction-bound (lift-spmf p) = 0
by(simp add: interaction-bound.simps SUP-constant bot-enat-def)

lemma interaction-bound-assert-gpv [simp]: interaction-bound (assert-gpv b) = 0
by(cases b) simp-all

lemma interaction-bound-bind-step:
assumes IH: $\bigwedge p. \text{interaction-bound}' (p \ggg f) \leq \text{interaction-bound } p + (\bigsqcup x \in \text{results}'\text{-gpv } p. \text{interaction-bound}' (f x))$
and unfold: $\bigwedge gpv. \text{interaction-bound}' gpv \leq (\bigsqcup generat \in \text{set-spmf } (the\text{-gpv } gpv). \text{case generat of Pure } x \Rightarrow 0$
 $\quad | IO$ out $c \Rightarrow$ if consider out then $eSuc$ (\bigsqcup input. interaction-bound' (c input)) else \bigsqcup input. interaction-bound' (c input))
shows ($\bigsqcup generat \in \text{set-spmf } (the\text{-gpv } (p \ggg f)). \text{case generat of Pure } x \Rightarrow 0$
 $\quad | IO$ out $c \Rightarrow$
if consider out then $eSuc$ (\bigsqcup input. interaction-bound' (c input))
else \bigsqcup input. interaction-bound' (c input))


```

≤ interaction-bound p +
  (⊔ x∈results'-gpv p.
    ⊔ generat∈set-spmf (the-gpv (f x)).
      case generat of Pure x ⇒ 0
      | IO out c ⇒
        if consider out then eSuc (⊔ input. interaction-bound' (c input))
        else ⊔ input. interaction-bound' (c input))
  (is (SUP generat':?bind. ?g generat') ≤ ?p + ?f)
proof(rule SUP-least)
  fix generat'
  assume generat' ∈ ?bind
  then obtain generat where generat: generat ∈ set-spmf (the-gpv p)
  and *: case generat of Pure x ⇒ generat' ∈ set-spmf (the-gpv (f x))
    | IO out c ⇒ generat' = IO out (λinput. c input ≫ f)
  by(clarsimp simp add: bind-gpv.sel simp del: bind-gpv-sel')
    (clarsimp split: generat.split-asm simp add: generat.map-comp o-def generat.map-id[unfolded id-def])
  show ?g generat' ≤ ?p + ?f
  proof(cases generat)
    case (Pure x)
      have ?g generat' ≤ (SUP generat':set-spmf (the-gpv (f x)). (case generat' of
        Pure x ⇒ 0 | IO out c ⇒ if consider out then eSuc (⊔ input. interaction-bound'
        (c input)) else ⊔ input. interaction-bound' (c input)))
      using * Pure by(auto intro: SUP-upper)
      also have ... ≤ 0 + ?f using generat Pure
      by(auto 4 3 intro: SUP-upper results'-gpv-Pure)
      also have ... ≤ ?p + ?f by simp
      finally show ?thesis .
    next
      case (IO out c)
      with * have ?g generat' = (if consider out then eSuc (SUP input. interaction-bound'
        (c input ≫ f)) else (SUP input. interaction-bound' (c input ≫ f))) by simp
      also have ... ≤ (if consider out then eSuc (SUP input. interaction-bound (c
        input) + (⊔ x∈results'-gpv (c input). interaction-bound' (f x))) else (SUP input.
        interaction-bound (c input) + (⊔ x∈results'-gpv (c input). interaction-bound' (f
        x))))
      by(auto intro: SUP-mono IH)
      also have ... ≤ (case IO out c of Pure (x :: 'a) ⇒ 0 | IO out c ⇒ if
        consider out then eSuc (SUP input. interaction-bound (c input)) else (SUP in-
        put. interaction-bound (c input))) + (SUP input. SUP x:results'-gpv (c input).
        interaction-bound' (f x))
      by(simp add: iadd-Suc SUP-le-iff)(meson SUP-upper2 UNIV-I add-mono
        order-refl)
      also have ... ≤ ?p + ?f
      apply(rewrite in - ≤ ⊔ interaction-bound.simps)
      apply(rule add-mono SUP-least SUP-upper generat[unfolded IO])+
      apply(rule order-trans[OF unfold])
      apply(auto 4 3 intro: results'-gpv-Cont[OF generat] SUP-upper simp add:
        IO)

```

```

done
finally show ?thesis .
qed
qed

```

```

lemma interaction-bound-bind:
  defines ib1  $\equiv$  interaction-bound
  shows interaction-bound (p  $\ggg$  f)  $\leq$  ib1 p + (SUP x:results'-gpv p. interaction-bound (f x))
proof(induction arbitrary: p rule: interaction-bound-fixp-induct)
  case adm show ?case by simp
  case bottom show ?case by simp
  case (step interaction-bound') then show ?case unfolding ib1-def by -(rule interaction-bound-bind-step)
qed

```

```

lemma interaction-bound-bind-lift-spmf [simp]:
  interaction-bound (lift-spmf p  $\ggg$  f) = (SUP x:set-spmf p. interaction-bound (f x))
by(subst (1 2) interaction-bound.simps)(simp add: bind-UNION SUP-UNION)

end

```

```

abbreviation interaction-any-bound :: ('a, 'out, 'in) gpv  $\Rightarrow$  enat
where interaction-any-bound  $\equiv$  interaction-bound ( $\lambda$ -. True)

```

```

lemma interaction-any-bound-coinduct [consumes 1, case-names interaction-bound]:
  assumes X: X gpv n
  and *:  $\bigwedge$ gpv n out c input.  $\llbracket$  X gpv n; IO out c  $\in$  set-spmf (the-gpv gpv)  $\rrbracket$ 
     $\implies \exists n'. (X (c input) n' \vee \text{interaction-any-bound} (c input) \leq n') \wedge eSuc n' \leq n$ 
  shows interaction-any-bound gpv  $\leq$  n
using X
proof(induction arbitrary: gpv n rule: interaction-bound-fixp-induct)
  case adm show ?case by(intro cont-intro)
  case bottom show ?case by simp
next
  case (step interaction-bound')
  { fix out c
    assume IO: IO out c  $\in$  set-spmf (the-gpv gpv)
    from *[OF step.prem IO] obtain n' where n: n = eSuc n'
    by(cases n rule: co.enat.exhaust) auto
    moreover
    { fix input
      have  $\exists n''. (X (c input) n'' \vee \text{interaction-any-bound} (c input) \leq n'') \wedge eSuc n'' \leq n$ 
      using step.prem IO (n = eSuc n') by(auto 4 3 dest: *)
      then have interaction-bound' (c input)  $\leq$  n' using n
      by(auto dest: step.IH intro: step.hyps[THEN order-trans] elim!: order-trans)
    }
  }

```

```

simp add: neq-zero-conv-eSuc }
  ultimately have eSuc ( $\lfloor$  input. interaction-bound' (c input))  $\leq$  n
  by(auto intro: SUP-least) }
  then show ?case by(auto intro!: SUP-least split: generat.split)
qed

```

```

context includes lifting-syntax begin
lemma interaction-bound-parametric':
  assumes [transfer-rule]: bi-total R
  shows ((C  $\implies$  (=))  $\implies$  rel-gpv'' A C R  $\implies$  (=)) interaction-bound
interaction-bound
unfolding interaction-bound-def[abs-def]
apply(rule rel-funI)
apply(rule fixp-lfp-parametric-eq[OF interaction-bound.mono interaction-bound.mono])
subgoal premises [transfer-rule]
  supply the-gpv-parametric'[transfer-rule] rel-gpv''-eq[relator-eq]
  by transfer-prover
done

```

```

lemma interaction-bound-parametric [transfer-rule]:
  ((C  $\implies$  (=))  $\implies$  rel-gpv A C  $\implies$  (=)) interaction-bound interaction-bound
unfolding rel-gpv-conv-rel-gpv'' by(rule interaction-bound-parametric')(rule bi-total-eq)
end

```

There is no nice *interaction-bound* equation for (\gg), as it computes an exact bound, but we only need an upper bound. As *enat* is hard to work with (and ∞ does not constrain a *gpv* in any way), we work with *nat*.

```

inductive interaction-bounded-by :: ('out  $\Rightarrow$  bool)  $\Rightarrow$  ('a, 'out, 'in) gpv  $\Rightarrow$  enat
 $\Rightarrow$  bool
for consider gpv n where
  interaction-bounded-by: [ interaction-bound consider gpv  $\leq$  n ]  $\implies$  interaction-bounded-by
consider gpv n

```

```

lemmas interaction-bounded-byI = interaction-bounded-by
hide-fact (open) interaction-bounded-by

```

```

context includes lifting-syntax begin
lemma interaction-bounded-by-parametric [transfer-rule]:
  ((C  $\implies$  (=))  $\implies$  rel-gpv A C  $\implies$  (=)  $\implies$  (=)) interaction-bounded-by
interaction-bounded-by
unfolding interaction-bounded-by.simps[abs-def] by transfer-prover

```

```

lemma interaction-bounded-by-parametric':
  notes interaction-bound-parametric'[transfer-rule]
  assumes [transfer-rule]: bi-total R
  shows ((C  $\implies$  (=))  $\implies$  rel-gpv'' A C R  $\implies$  (=)  $\implies$  (=))
interaction-bounded-by interaction-bounded-by
unfolding interaction-bounded-by.simps[abs-def] by transfer-prover
end

```

lemma *interaction-bounded-by-mono*:

$\llbracket \text{interaction-bounded-by consider gpv } n; n \leq m \rrbracket \implies \text{interaction-bounded-by consider gpv } m$

unfolding *interaction-bounded-by.simps* **by**(erule order-trans) simp

lemma *interaction-bounded-by-contD*:

$\llbracket \text{interaction-bounded-by consider gpv } n; \text{IO out } c \in \text{set-spmf (the-gpv gpv)}; \text{consider out} \rrbracket$

$\implies n > 0 \wedge \text{interaction-bounded-by consider (c input) (n - 1)}$

unfolding *interaction-bounded-by.simps*

by(subst (asm) interaction-bound.simps)(auto simp add: SUP-le-iff eSuc-le-iff enat-eSuc-iff dest!: bspec)

lemma *interaction-bounded-by-contD-ignore*:

$\llbracket \text{interaction-bounded-by consider gpv } n; \text{IO out } c \in \text{set-spmf (the-gpv gpv)} \rrbracket$

$\implies \text{interaction-bounded-by consider (c input) } n$

unfolding *interaction-bounded-by.simps*

by(subst (asm) interaction-bound.simps)(auto 4 4 simp add: SUP-le-iff eSuc-le-iff enat-eSuc-iff dest!: bspec split: if-split-asm elim: order-trans)

lemma *interaction-bounded-byI-epred*:

assumes $\bigwedge \text{out } c. \llbracket \text{IO out } c \in \text{set-spmf (the-gpv gpv)}; \text{consider out} \rrbracket \implies n \neq 0 \wedge (\forall \text{input}. \text{interaction-bounded-by consider (c input) (n - 1)})$

and $\bigwedge \text{out } c \text{ input}. \llbracket \text{IO out } c \in \text{set-spmf (the-gpv gpv)}; \neg \text{consider out} \rrbracket \implies \text{interaction-bounded-by consider (c input) } n$

shows *interaction-bounded-by consider gpv n*

unfolding *interaction-bounded-by.simps*

by(subst interaction-bound.simps)(auto 4 5 intro!: SUP-least split: generat.split dest: assms simp add: eSuc-le-iff enat-eSuc-iff gr0-conv-Suc neq-zero-conv-eSuc interaction-bounded-by.simps)

lemma *interaction-bounded-by-IO*:

$\llbracket \text{IO out } c \in \text{set-spmf (the-gpv gpv)}; \text{interaction-bounded-by consider gpv } n; \text{consider out} \rrbracket$

$\implies n \neq 0 \wedge \text{interaction-bounded-by consider (c input) (n - 1)}$

by(drule interaction-bound-IO[**where** input=input **and** ?consider=consider])(auto simp add: interaction-bounded-by.simps epred-conv-minus eSuc-le-iff enat-eSuc-iff)

lemma *interaction-bounded-by-0*: *interaction-bounded-by consider gpv 0* \longleftrightarrow *interaction-bound consider gpv = 0*

by(simp add: interaction-bounded-by.simps zero-enat-def[symmetric])

abbreviation *interaction-bounded-by'* :: ('out \implies bool) \implies ('a, 'out, 'in) gpv \implies nat \implies bool

where *interaction-bounded-by'* consider gpv n \equiv *interaction-bounded-by consider gpv (enat n)*

named-theorems *interaction-bound*

lemmas *interaction-bounded-by-start* = *interaction-bounded-by-mono*

method *interaction-bound-start* = (rule *interaction-bounded-by-start*)

method *interaction-bound-step* **uses** *add simp* =
 ((match **conclusion in** *interaction-bounded-by* - - - \Rightarrow fail | - \Rightarrow \langle solves \langle clarsimp
simp add: simp $\rangle\rangle$) | rule *add interaction-bound*)

method *interaction-bound-rec* **uses** *add simp* =
 (*interaction-bound-step add: add simp: simp*; (*interaction-bound-rec add: add
 simp: simp*)?)

method *interaction-bound* **uses** *add simp* =
 (*interaction-bound-start*, *interaction-bound-rec add: add simp: simp*)

lemma *interaction-bounded-by-Done* [*simp*]: *interaction-bounded-by consider (Done
 x) n*
by(*simp add: interaction-bounded-by.simps*)

lemma *interaction-bounded-by-DoneI* [*interaction-bound*]:
interaction-bounded-by consider (Done x) 0
by *simp*

lemma *interaction-bounded-by-Fail* [*simp*]: *interaction-bounded-by consider Fail n*
by(*simp add: interaction-bounded-by.simps*)

lemma *interaction-bounded-by-FailI* [*interaction-bound*]: *interaction-bounded-by con-
 sider Fail 0*
by *simp*

lemma *interaction-bounded-by-lift-spmf* [*simp*]: *interaction-bounded-by consider (lift-spmf
 p) n*
by(*simp add: interaction-bounded-by.simps*)

lemma *interaction-bounded-by-lift-spmfI* [*interaction-bound*]:
interaction-bounded-by consider (lift-spmf p) 0
by *simp*

lemma *interaction-bounded-by-assert-gpv* [*simp*]: *interaction-bounded-by consider
 (assert-gpv b) n*
by(*cases b*) *simp-all*

lemma *interaction-bounded-by-assert-gpvI* [*interaction-bound*]:
interaction-bounded-by consider (assert-gpv b) 0
by *simp*

lemma *interaction-bounded-by-Pause* [*simp*]:
interaction-bounded-by consider (Pause out c) n \longleftrightarrow
(if consider out then $0 < n \wedge (\forall \text{input. } \textit{interaction-bounded-by consider (c input)}$
(n - 1)) else $(\forall \text{input. } \textit{interaction-bounded-by consider (c input) n}$)
by(*cases n rule: co.enat.exhaust*)

(*auto 4 3 simp add: interaction-bounded-by.simps eSuc-le-iff enat-eSuc-iff gr0-conv-Suc intro: SUP-least dest: order-trans[OF SUP-upper, rotated]*)

lemma *interaction-bounded-by-PauseI* [*interaction-bound*]:

(\wedge input. *interaction-bounded-by consider* (*c input*) (*n input*))
 \implies *interaction-bounded-by consider* (*Pause out c*) (*if consider out then 1 + (SUP input. n input) else (SUP input. n input)*)
by(*auto simp add: iadd-is-0 enat-add-sub-same intro: interaction-bounded-by-mono SUP-upper*)

lemma *interaction-bounded-by-bindI* [*interaction-bound*]:

\llbracket *interaction-bounded-by consider* *gpv n*; $\wedge x. x \in$ *results'-gpv gpv* \implies *interaction-bounded-by consider* (*f x*) (*m x*) \rrbracket
 \implies *interaction-bounded-by consider* (*gpv \ggg f*) (*n + (SUP x:results'-gpv gpv. m x)*)

unfolding *interaction-bounded-by.simps plus-enat-simps(1)[symmetric]*

by(*rule interaction-bound-bind[THEN order-trans]*)(*auto intro: add-mono SUP-mono*)

lemma *interaction-bounded-by-bind-PauseI* [*interaction-bound*]:

(\wedge input. *interaction-bounded-by consider* (*c input \ggg f*) (*n input*))
 \implies *interaction-bounded-by consider* (*Pause out c \ggg f*) (*if consider out then SUP input. n input + 1 else SUP input. n input*)
by(*auto 4 3 simp add: interaction-bounded-by.simps SUP-enat-add-left eSuc-plus-1 intro: SUP-least SUP-upper2*)

lemma *interaction-bounded-by-bind-lift-spmf* [*simp*]:

interaction-bounded-by consider (*lift-spmf p \ggg f*) *n* \longleftrightarrow ($\forall x \in$ *set-spmf p. interaction-bounded-by consider* (*f x*) *n*)
by(*simp add: interaction-bounded-by.simps SUP-le-iff*)

lemma *interaction-bounded-by-bind-lift-spmfI* [*interaction-bound*]:

($\wedge x. x \in$ *set-spmf p* \implies *interaction-bounded-by consider* (*f x*) (*n x*))
 \implies *interaction-bounded-by consider* (*lift-spmf p \ggg f*) (*SUP x:set-spmf p. n x*)
by(*auto intro: interaction-bounded-by-mono SUP-upper*)

lemma *interaction-bounded-by-bind-DoneI* [*interaction-bound*]:

interaction-bounded-by consider (*f x*) *n* \implies *interaction-bounded-by consider* (*Done x \ggg f*) *n*
by(*simp*)

lemma *interaction-bounded-by-if* [*interaction-bound*]:

\llbracket *b* \implies *interaction-bounded-by consider* *gpv1 n*; \neg *b* \implies *interaction-bounded-by consider* *gpv2 m* \rrbracket
 \implies *interaction-bounded-by consider* (*if b then gpv1 else gpv2*) (*if b then n else m*)
by(*auto 4 3 simp add: max-def not-le elim: interaction-bounded-by-mono*)

lemma *interaction-bounded-by-case-bool* [*interaction-bound*]:

\llbracket *b* \implies *interaction-bounded-by consider* *t bt*; \neg *b* \implies *interaction-bounded-by*

consider f bf]
 \implies *interaction-bounded-by consider (case-bool t f b) (if b then bt else bf)*
by(cases b)(auto)

lemma *interaction-bounded-by-case-sum* [*interaction-bound*]:
 [$\bigwedge y. x = \text{Inl } y \implies$ *interaction-bounded-by consider (l y) (bl y)*;
 $\bigwedge y. x = \text{Inr } y \implies$ *interaction-bounded-by consider (r y) (br y)*]
 \implies *interaction-bounded-by consider (case-sum l r x) (case-sum bl br x)*
by(cases x)(auto)

lemma *interaction-bounded-by-case-prod* [*interaction-bound*]:
 $(\bigwedge a b. x = (a, b) \implies$ *interaction-bounded-by consider (f a b) (n a b)*)
 \implies *interaction-bounded-by consider (case-prod f x) (case-prod n x)*
by(simp split: prod.split)

lemma *interaction-bounded-by-let* [*interaction-bound*]: — This rule unfolds let's
interaction-bounded-by consider (f t) m \implies interaction-bounded-by consider (Let t f) m
by(simp add: Let-def)

lemma *interaction-bounded-by-map-gpv-id* [*interaction-bound*]:
assumes [*interaction-bound*]: *interaction-bounded-by P gpv n*
shows *interaction-bounded-by P (map-gpv f id gpv) n*
unfolding *id-def map-gpv-conv-bind* **by** *interaction-bound simp*

abbreviation *interaction-any-bounded-by* :: ('a, 'out, 'in) gpv \Rightarrow enat \Rightarrow bool
where *interaction-any-bounded-by* \equiv *interaction-bounded-by* (λ -. True)

4.12 Typing

4.12.1 Interface between gpvs and rpvs / callees

lemma *is-empty-parametric* [*transfer-rule*]: *rel-fun (rel-set A) (=) Set.is-empty Set.is-empty*
by(auto simp add: rel-fun-def Set.is-empty-def dest: rel-setD1 rel-setD2)

typedef ('call, 'ret) $\mathcal{I} = \text{UNIV} :: ('call \Rightarrow 'ret \text{ set}) \text{ set} ..$

setup-lifting *type-definition- \mathcal{I}*

lemma *outs- \mathcal{I} -tparametric*:
includes *lifting-syntax*
assumes [*transfer-rule*]: *bi-total A*
shows $((A \text{ ===> } \text{rel-set } B) \text{ ===> } \text{rel-set } A) (\lambda \text{resps. } \{\text{out. resps out} \neq \{\}\})$
 $(\lambda \text{resps. } \{\text{out. resps out} \neq \{\}\})$
by(fold *Set.is-empty-def*) *transfer-prover*

lift-definition *outs- \mathcal{I}* :: ('call, 'ret) $\mathcal{I} \Rightarrow 'call \text{ set is } \lambda \text{resps. } \{\text{out. resps out} \neq \{\}\}$
parametric *outs- \mathcal{I} -tparametric* .

lift-definition *responses- \mathcal{I}* :: ('call, 'ret) $\mathcal{I} \Rightarrow 'call \Rightarrow 'ret \text{ set is } \lambda x. x$ **parametric**

id-transfer[*unfolded id-def*] .

lift-definition *rel-I* :: ('call \Rightarrow 'call' \Rightarrow bool) \Rightarrow ('ret \Rightarrow 'ret' \Rightarrow bool) \Rightarrow ('call, 'ret) *I* \Rightarrow ('call', 'ret') *I* \Rightarrow bool
is $\lambda C R \text{ resp1 resp2. rel-set } C \{out. \text{ resp1 out} \neq \{\}\} \{out. \text{ resp2 out} \neq \{\}\} \wedge$
rel-fun *C* (*rel-set* *R*) *resp1 resp2*
 .

lemma *rel-II* [*intro?*]:
 $\llbracket \text{rel-set } C (\text{outs-}I \ I1) (\text{outs-}I \ I2); \bigwedge x y. C \ x \ y \implies \text{rel-set } R (\text{responses-}I \ I1 \ x) (\text{responses-}I \ I2 \ y) \rrbracket$
 $\implies \text{rel-I } C \ R \ I1 \ I2$
by *transfer*(*auto simp add: rel-fun-def*)

lemma *rel-I-eq* [*relator-eq*]: *rel-I* (=) (=) = (=)
unfolding *fun-eq-iff* **by** *transfer*(*auto simp add: relator-eq*)

lemma *rel-I-conversep* [*simp*]: *rel-I* $C^{-1-1} \ R^{-1-1} = (\text{rel-I } C \ R)^{-1-1}$
unfolding *fun-eq-iff conversep-iff*
apply *transfer*
apply(*rewrite in rel-fun* \sqcap *conversep-iff*[*symmetric*])
apply(*rewrite in rel-set* \sqcap *conversep-iff*[*symmetric*])
apply(*rewrite in rel-fun* - \sqcap *conversep-iff*[*symmetric*])
apply(*simp del: conversep-iff add: rel-fun-conversep*)
apply(*simp*)
done

lemma *rel-I-conversep1-eq* [*simp*]: *rel-I* $C^{-1-1} (=) = (\text{rel-I } C (=))^{-1-1}$
by(*rewrite in* $\sqcap = -$ *conversep-eq*[*symmetric*])(*simp del: conversep-eq*)

lemma *rel-I-conversep2-eq* [*simp*]: *rel-I* (=) $R^{-1-1} = (\text{rel-I} (=) \ R)^{-1-1}$
by(*rewrite in* $\sqcap = -$ *conversep-eq*[*symmetric*])(*simp del: conversep-eq*)

lemma *responses-I-empty-iff*: *responses-I* *I* *out* = {} \longleftrightarrow *out* \notin *outs-I* *I*
including *I.lifting* **by** *transfer auto*

lemma *in-outs-I-iff-responses-I*: *out* \in *outs-I* *I* \longleftrightarrow *responses-I* *I* *out* \neq {}
by(*simp add: responses-I-empty-iff*)

lift-definition *I-full* :: ('call, 'ret) *I* **is** λ . *UNIV* .

lemma *I-full-sel* [*simp*]:
shows *outs-I-full*: *outs-I* *I-full* = *UNIV*
and *responses-I-full*: *responses-I* *I-full* *x* = *UNIV*
by(*transfer; simp; fail*) $+$

context includes *lifting-syntax* **begin**

lemma *outs-I-parametric* [*transfer-rule*]: (*rel-I* *C* *R* \implies *rel-set* *C*) *outs-I*
outs-I

unfolding *rel-fun-def* **by** *transfer simp*

lemma *responses-I-parametric* [*transfer-rule*]:

$(rel\text{-}I\ C\ R\ ==>\ C\ ==>\ rel\text{-}set\ R)\ responses\text{-}I\ responses\text{-}I$

unfolding *rel-fun-def* **by** *transfer(auto dest: rel-funD)*

end

definition *I-trivial* :: $(\text{'out}, \text{'in})\ I \Rightarrow bool$

where $I\text{-trivial}\ I \longleftrightarrow outs\text{-}I\ I = UNIV$

lemma *I-trivialI* [*intro?*]: $(\bigwedge x. x \in outs\text{-}I\ I) \Longrightarrow I\text{-trivial}\ I$

by (*auto simp add: I-trivial-def*)

lemma *I-trivialD*: $I\text{-trivial}\ I \Longrightarrow outs\text{-}I\ I = UNIV$

by (*simp add: I-trivial-def*)

lemma *I-trivial-I-full* [*simp*]: $I\text{-trivial}\ I\text{-full}$

by (*simp add: I-trivial-def*)

lifting-update *I.lifting*

lifting-forget *I.lifting*

context begin

qualified inductive *resultsp-gpv* :: $(\text{'out}, \text{'in})\ I \Rightarrow \text{'a} \Rightarrow (\text{'a}, \text{'out}, \text{'in})\ gpv \Rightarrow bool$

for $\Gamma\ x$

where

Pure: $Pure\ x \in set\text{-}spmf\ (the\text{-}gpv\ gpv) \Longrightarrow resultsp\text{-}gpv\ \Gamma\ x\ gpv$

| *IO*:

$\llbracket IO\ out\ c \in set\text{-}spmf\ (the\text{-}gpv\ gpv); input \in responses\text{-}I\ \Gamma\ out; resultsp\text{-}gpv\ \Gamma\ x\ (c\ input) \rrbracket$

$\Longrightarrow resultsp\text{-}gpv\ \Gamma\ x\ gpv$

definition *results-gpv* :: $(\text{'out}, \text{'in})\ I \Rightarrow (\text{'a}, \text{'out}, \text{'in})\ gpv \Rightarrow \text{'a}\ set$

where $results\text{-}gpv\ \Gamma\ gpv \equiv \{x. resultsp\text{-}gpv\ \Gamma\ x\ gpv\}$

lemma *resultsp-gpv-results-gpv-eq* [*pred-set-conv*]: $resultsp\text{-}gpv\ \Gamma\ x\ gpv \longleftrightarrow x \in results\text{-}gpv\ \Gamma\ gpv$

by (*simp add: results-gpv-def*)

context begin

local-setup $\ll Local\text{-}Theory.map\text{-}background\text{-}naming\ (Name\text{-}Space.mandatory\text{-}path\ results\text{-}gpv) \gg$

lemmas *intros* [*intro?*] = *resultsp-gpv.intros[to-set]*

and *Pure* = *Pure[to-set]*

and *IO* = *IO[to-set]*

```

and induct [consumes 1, case-names Pure IO, induct set: results-gpv] = resultsp-gpv.induct[to-set]
and cases [consumes 1, case-names Pure IO, cases set: results-gpv] = resultsp-gpv.cases[to-set]
and simps = resultsp-gpv.simps[to-set]
end

```

```

inductive-simps results-gpv-GPV [to-set, simp]: resultsp-gpv  $\Gamma$   $x$  (GPV gpv)

```

```

end

```

```

lemma results-gpv-Done [iff]: resultsp-gpv  $\Gamma$  (Done x) = { $x$ }
by(auto simp add: Done.ctr)

```

```

lemma results-gpv-Fail [iff]: resultsp-gpv  $\Gamma$  Fail = {}
by(auto simp add: Fail-def)

```

```

lemma results-gpv-Pause [simp]:
  resultsp-gpv  $\Gamma$  (Pause out c) = ( $\bigcup$  input  $\in$  responses- $\mathcal{I}$   $\Gamma$  out. resultsp-gpv  $\Gamma$  (c input))
by(auto simp add: Pause.ctr)

```

```

lemma results-gpv-lift-spmf [iff]: resultsp-gpv  $\Gamma$  (lift-spmf p) = set-spmf p
by(auto simp add: lift-spmf.ctr)

```

```

lemma results-gpv-assert-gpv [simp]: resultsp-gpv  $\Gamma$  (assert-gpv b) = (if b then {} else {})
by auto

```

```

lemma results-gpv-bind-gpv [simp]:
  resultsp-gpv  $\Gamma$  (gpv  $\ggg$  f) = ( $\bigcup$  x  $\in$  results-gpv  $\Gamma$  gpv. resultsp-gpv  $\Gamma$  (f x))
  (is ?lhs = ?rhs)

```

```

proof(intro set-eqI iffI)

```

```

  fix x

```

```

  assume x  $\in$  ?lhs

```

```

  then show x  $\in$  ?rhs

```

```

  proof(induction gpv'  $\equiv$  gpv  $\ggg$  f arbitrary: gpv)

```

```

    case Pure thus ?case

```

```

      by(auto 4 3 split: if-split-asm intro: resultsp-gpv.intros rev-beqI)

```

```

  next

```

```

    case (IO out c input)

```

```

    from  $\langle$ IO out c  $\in$   $\cdot$  $\rangle$ 

```

```

    obtain generat where generat  $\in$  set-spmf (the-gpv gpv)

```

```

    and  $*$ : IO out c  $\in$  set-spmf (if is-Pure generat then the-gpv (f (result generat))
      else return-spmf (IO (output generat) ( $\lambda$ input.

```

```

continuation generat input  $\ggg$  f)))

```

```

    by(auto)

```

```

  thus ?case

```

```

proof(cases generat)

```

```

  case (Pure y)

```

```

    with generat have y  $\in$  resultsp-gpv  $\Gamma$  gpv by(auto intro: resultsp-gpv.intros)

```

```

    thus ?thesis using  $*$  Pure  $\langle$ input  $\in$  responses- $\mathcal{I}$   $\Gamma$  out $\rangle$   $\langle$ x  $\in$  resultsp-gpv  $\Gamma$  (c

```

```

input)›
  by(auto intro: results-gpv.IO)
next
  case (IO out' c')
  hence [simp]: out' = out
  and c:  $\bigwedge$ input. c input = bind-gpv (c' input) f using * by simp-all
  from IO.hyps(4)[OF c] obtain y where y: y  $\in$  results-gpv  $\Gamma$  (c' input)
  and x  $\in$  results-gpv  $\Gamma$  (f y) by blast
  from y IO generat have y  $\in$  results-gpv  $\Gamma$  gpv using  $\langle$ input  $\in$  responses- $\mathcal{I}$   $\Gamma$ 
out)
  by(auto intro: results-gpv.IO)
  with  $\langle$ x  $\in$  results-gpv  $\Gamma$  (f y) $\rangle$  show ?thesis by blast
qed
qed
next
fix x
assume x  $\in$  ?rhs
then obtain y where y: y  $\in$  results-gpv  $\Gamma$  gpv
  and x: x  $\in$  results-gpv  $\Gamma$  (f y) by blast
from y show x  $\in$  ?lhs
proof(induction)
  case (Pure gpv)
  with x show ?case
  by cases(auto 4 4 intro: results-gpv.intros rev-bexI)
qed(auto 4 4 intro: rev-bexI results-gpv.IO)
qed

```

```

lemma results-gpv- $\mathcal{I}$ -full: results-gpv  $\mathcal{I}$ -full = results'-gpv
proof(intro ext set-eqI iffI)
  show x  $\in$  results'-gpv gpv if x  $\in$  results-gpv  $\mathcal{I}$ -full gpv for x gpv
  using that by induction(auto intro: results'-gpvI)
  show x  $\in$  results-gpv  $\mathcal{I}$ -full gpv if x  $\in$  results'-gpv gpv for x gpv
  using that by induction(auto intro: results-gpv.intros elim!: generat.set-cases)
qed

```

```

lemma results'-bind-gpv [simp]:
  results'-gpv (bind-gpv gpv f) = ( $\bigcup$  x $\in$ results'-gpv gpv. results'-gpv (f x))
unfolding results-gpv- $\mathcal{I}$ -full[symmetric] by simp

```

```

lemma results-gpv-map-gpv-id [simp]: results-gpv  $\mathcal{I}$  (map-gpv f id gpv) = f ' results-gpv
 $\mathcal{I}$  gpv
by(auto simp add: map-gpv-conv-bind id-def)

```

```

lemma results-gpv-map-gpv-id' [simp]: results-gpv  $\mathcal{I}$  (map-gpv f ( $\lambda$ x. x) gpv) = f
' results-gpv  $\mathcal{I}$  gpv
by(auto simp add: map-gpv-conv-bind id-def)

```

```

lemma pred-gpv-bind [simp]: pred-gpv P Q (bind-gpv gpv f) = pred-gpv (pred-gpv
P Q  $\circ$  f) Q gpv

```

by(*auto simp add: pred-gpv-def outs-bind-gpv*)

lemma *results'-gpv-bind-option* [*simp*]:

results'-gpv (monad.bind-option Fail x f) = (∪ y ∈ set-option x. results'-gpv (f y))
by(*cases x simp-all*)

lemma *bind-gpv-bind-option-assoc*:

bind-gpv (monad.bind-option Fail x f) g = monad.bind-option Fail x (λx. bind-gpv (f x) g)
by(*cases x simp-all*)

4.12.2 Type judgements

coinductive *WT-gpv* :: ('out, 'in) $\mathcal{I} \Rightarrow$ ('a, 'out, 'in) *gpv* \Rightarrow bool (((-)/ \vdash_g (-) \checkmark)
[100, 0] 99)

for Γ

where

(\bigwedge out c. *IO out c* \in *set-spmf gpv* \implies out \in *outs- \mathcal{I}* $\Gamma \wedge$ (\forall input \in *responses- \mathcal{I}* Γ out. $\Gamma \vdash_g$ c input \checkmark))
 $\implies \Gamma \vdash_g$ *GPV gpv* \checkmark)

lemma *WT-gpv-coinduct* [*consumes 1, case-names WT-gpv, case-conclusion WT-gpv out cont, coinduct pred: WT-gpv*]:

assumes *: *X gpv*

and *step*: \bigwedge *gpv out c*.

$\llbracket X$ *gpv*; *IO out c* \in *set-spmf (the-gpv gpv)* \rrbracket

\implies out \in *outs- \mathcal{I}* $\Gamma \wedge$ (\forall input \in *responses- \mathcal{I}* Γ out. *X (c input)* $\vee \Gamma \vdash_g$ c input \checkmark)

shows $\Gamma \vdash_g$ *gpv* \checkmark

using * **by**(*coinduct*)(*auto dest: step simp add: eq-GPV-iff*)

lemma *WT-gpv-simps*:

$\Gamma \vdash_g$ *GPV gpv* $\checkmark \iff$

(\forall out c. *IO out c* \in *set-spmf gpv* \longrightarrow out \in *outs- \mathcal{I}* $\Gamma \wedge$ (\forall input \in *responses- \mathcal{I}* Γ out. $\Gamma \vdash_g$ c input \checkmark))

by(*subst WT-gpv.simps simp*)

lemma *WT-gpvI*:

(\bigwedge out c. *IO out c* \in *set-spmf (the-gpv gpv)* \implies out \in *outs- \mathcal{I}* $\Gamma \wedge$ (\forall input \in *responses- \mathcal{I}* Γ out. $\Gamma \vdash_g$ c input \checkmark))

$\implies \Gamma \vdash_g$ *gpv* \checkmark

by(*cases gpv*)(*simp add: WT-gpv-simps*)

lemma *WT-gpvD*:

assumes $\Gamma \vdash_g$ *gpv* \checkmark

shows *WT-gpv-OutD*: *IO out c* \in *set-spmf (the-gpv gpv)* \implies out \in *outs- \mathcal{I}* Γ

and *WT-gpv-ContD*: $\llbracket IO$ out c \in *set-spmf (the-gpv gpv)*; input \in *responses- \mathcal{I}* Γ out $\rrbracket \implies \Gamma \vdash_g$ c input \checkmark

using *assms* **by**(*cases, fastforce*) $+$

```

lemma WT-gpv-mono:
  assumes WT:  $\mathcal{I}1 \vdash_g \text{gpv} \checkmark$ 
  and outs:  $\text{outs-}\mathcal{I} \ \mathcal{I}1 \subseteq \text{outs-}\mathcal{I} \ \mathcal{I}2$ 
  and responses:  $\bigwedge x. x \in \text{outs-}\mathcal{I} \ \mathcal{I}1 \implies \text{responses-}\mathcal{I} \ \mathcal{I}2 \ x \subseteq \text{responses-}\mathcal{I} \ \mathcal{I}1 \ x$ 
  shows  $\mathcal{I}2 \vdash_g \text{gpv} \checkmark$ 
using WT
proof coinduct
  case (WT-gpv gpv out c)
  with outs show ?case by(auto 6 4 dest: responses WT-gpvD)
qed

lemma WT-gpv-Done [iff]:  $\Gamma \vdash_g \text{Done} \ x \checkmark$ 
by(rule WT-gpvI simp-all)

lemma WT-gpv-Fail [iff]:  $\Gamma \vdash_g \text{Fail} \checkmark$ 
by(rule WT-gpvI simp-all)

lemma WT-gpv-PauseI:
   $\llbracket \text{out} \in \text{outs-}\mathcal{I} \ \Gamma; \bigwedge \text{input}. \text{input} \in \text{responses-}\mathcal{I} \ \Gamma \ \text{out} \implies \Gamma \vdash_g \text{c input} \checkmark \rrbracket$ 
   $\implies \Gamma \vdash_g \text{Pause out c} \checkmark$ 
by(rule WT-gpvI simp-all)

lemma WT-gpv-Pause [iff]:
   $\Gamma \vdash_g \text{Pause out c} \checkmark \iff \text{out} \in \text{outs-}\mathcal{I} \ \Gamma \wedge (\forall \text{input} \in \text{responses-}\mathcal{I} \ \Gamma \ \text{out}. \Gamma \vdash_g \text{c input} \checkmark)$ 
by(auto intro: WT-gpv-PauseI dest: WT-gpvD)

lemma WT-gpv-bindI:
   $\llbracket \Gamma \vdash_g \text{gpv} \checkmark; \bigwedge x. x \in \text{results-gpv} \ \Gamma \ \text{gpv} \implies \Gamma \vdash_g \text{f x} \checkmark \rrbracket$ 
   $\implies \Gamma \vdash_g \text{gpv} \ggg \text{f} \checkmark$ 
proof(coinduction arbitrary: gpv)
  case [rule-format]: (WT-gpv out c gpv)
  from  $\langle \text{IO out c} \in \cdot \rangle$ 
  obtain generat where generat: generat  $\in \text{set-spmf} \ (\text{the-gpv gpv})$ 
  and *:  $\text{IO out c} \in \text{set-spmf} \ (\text{if is-Pure generat then the-gpv} \ (f \ (\text{result generat}))$ 
   $\text{else return-spmf} \ (\text{IO} \ (\text{output generat}) \ (\lambda \text{input}. \text{continuation}$ 
generat input} \ggg \text{f})))
  by(auto)
  show ?case
proof(cases generat)
  case (Pure y)
  with generat have  $y \in \text{results-gpv} \ \Gamma \ \text{gpv}$  by(auto intro: results-gpv.Pure)
  hence  $\Gamma \vdash_g \text{f y} \checkmark$  by(rule WT-gpv)
  with * Pure show ?thesis by(auto dest: WT-gpvD)
next
  case (IO out' c')
  hence [simp]:  $\text{out}' = \text{out}$ 
  and  $c: \bigwedge \text{input}. c \ \text{input} = \text{bind-gpv} \ (c' \ \text{input}) \ \text{f}$  using * by simp-all

```

from *generat IO* **have** **: $IO\ out\ c' \in set\text{-}spmf\ (the\text{-}gpv\ gpv)$ **by** *simp*
with $\langle \Gamma \vdash g\ gpv\ \checkmark \rangle$ **have** $?out$ **by**(*auto dest: WT-gpvD*)
moreover {
 fix *input*
 assume *input: input* $\in responses\text{-}\mathcal{I}\ \Gamma\ out$
 with $\langle \Gamma \vdash g\ gpv\ \checkmark \rangle$ ** **have** $\Gamma \vdash g\ c'\ input\ \checkmark$ **by**(*rule WT-gpvD*)
 moreover {
 fix *y*
 assume $y \in results\text{-}gpv\ \Gamma\ (c'\ input)$
 with ** *input* **have** $y \in results\text{-}gpv\ \Gamma\ gpv$ **by**(*rule results-gpv.IO*)
 hence $\Gamma \vdash g\ f\ y\ \checkmark$ **by**(*rule WT-gpv*) }
 moreover **note** *calculation* }
 hence $?cont$ **using** *c* **by** *blast*
 ultimately **show** $?thesis\ ..$
qed
qed

lemma *WT-gpv-bindD2*:
 assumes *WT*: $\Gamma \vdash g\ gpv \ggg f\ \checkmark$
 and $x: x \in results\text{-}gpv\ \Gamma\ gpv$
 shows $\Gamma \vdash g\ f\ x\ \checkmark$
using *x WT*
proof *induction*
 case (*Pure gpv*)
 show $?case$
 proof(*rule WT-gpvI*)
 fix *out c*
 assume $IO\ out\ c \in set\text{-}spmf\ (the\text{-}gpv\ (f\ x))$
 with *Pure* **have** $IO\ out\ c \in set\text{-}spmf\ (the\text{-}gpv\ (gpv \ggg f))$ **by**(*auto intro: rev-bexI*)
 with $\langle \Gamma \vdash g\ gpv \ggg f\ \checkmark \rangle$ **show** $out \in outs\text{-}\mathcal{I}\ \Gamma \wedge (\forall input \in responses\text{-}\mathcal{I}\ \Gamma\ out.\ \Gamma \vdash g\ c\ input\ \checkmark)$
 by(*auto dest: WT-gpvD simp del: set-bind-spmf*)
qed
next
 case (*IO out c gpv input*)
 from $\langle IO\ out\ c \in \cdot \rangle$
 have $IO\ out\ (\lambda input.\ bind\text{-}gpv\ (c\ input)\ f) \in set\text{-}spmf\ (the\text{-}gpv\ (gpv \ggg f))$
 by(*auto intro: rev-bexI*)
 with *IO.prem*s **have** $\Gamma \vdash g\ c\ input \ggg f\ \checkmark$ **using** $\langle input \in \cdot \rangle$ **by**(*rule WT-gpv-ContD*)
 thus $?case$ **by**(*rule IO.IH*)
qed

lemma *WT-gpv-bindD1*: $\Gamma \vdash g\ gpv \ggg f\ \checkmark \implies \Gamma \vdash g\ gpv\ \checkmark$
proof(*coinduction arbitrary: gpv*)
 case (*WT-gpv out c gpv*)
 from $\langle IO\ out\ c \in \cdot \rangle$
 have $IO\ out\ (\lambda input.\ bind\text{-}gpv\ (c\ input)\ f) \in set\text{-}spmf\ (the\text{-}gpv\ (gpv \ggg f))$
 by(*auto intro: rev-bexI*)

with $\langle \Gamma \vdash g \text{ gpv} \ggg f \checkmark \rangle$ **show** $?case$
by(*auto simp del: bind-gpv-sel' dest: WT-gpvD*)
qed

lemma *WT-gpv-bind [simp]:* $\Gamma \vdash g \text{ gpv} \ggg f \checkmark \longleftrightarrow \Gamma \vdash g \text{ gpv} \checkmark \wedge (\forall x \in \text{results-gpv } \Gamma \text{ gpv}. \Gamma \vdash g f x \checkmark)$
by(*blast intro: WT-gpv-bindI dest: WT-gpv-bindD1 WT-gpv-bindD2*)

lemma *WT-gpv-full [simp, intro!]:* $\mathcal{I}\text{-full} \vdash g \text{ gpv} \checkmark$
by(*coinduction arbitrary: gpv*)(*auto*)

lemma *WT-gpv-lift-spmf [simp, intro!]:* $\mathcal{I} \vdash g \text{ lift-spmf } p \checkmark$
by(*rule WT-gpvI*) *auto*

lemma *WT-gpv-coinduct-bind [consumes 1, case-names WT-gpv, case-conclusion WT-gpv out cont]:*
assumes $*$: $X \text{ gpv}$
and *step*: $\bigwedge \text{ gpv out } c. \llbracket X \text{ gpv}; \text{ IO out } c \in \text{set-spmf } (\text{the-gpv } \text{ gpv}) \rrbracket$
 $\implies \text{out} \in \text{outs-}\mathcal{I} \ \mathcal{I} \wedge (\forall \text{input} \in \text{responses-}\mathcal{I} \ \mathcal{I} \text{ out}. X (c \text{ input}) \vee \mathcal{I} \vdash g c \text{ input} \checkmark \vee (\exists (\text{gpv}' :: ('b, 'call, 'ret) \text{ gpv}) f. c \text{ input} = \text{gpv}' \ggg f \wedge \mathcal{I} \vdash g \text{ gpv}' \checkmark \wedge (\forall x \in \text{results-gpv } \mathcal{I} \text{ gpv}'. X (f x))))$
shows $\mathcal{I} \vdash g \text{ gpv} \checkmark$
proof –
fix x
define $\text{gpv}' :: ('b, 'call, 'ret) \text{ gpv}$ **and** $f :: 'b \Rightarrow ('a, 'call, 'ret) \text{ gpv}$
where $\text{gpv}' = \text{Done } x$ **and** $f = (\lambda \cdot. \text{gpv})$
with $*$ **have** $\mathcal{I} \vdash g \text{ gpv}' \checkmark$ **and** $\bigwedge x. x \in \text{results-gpv } \mathcal{I} \text{ gpv}' \implies X (f x)$ **by** *simp-all*
then **have** $\mathcal{I} \vdash g \text{ gpv}' \ggg f \checkmark$
proof(*coinduction arbitrary: gpv' f rule: WT-gpv-coinduct*)
case [*rule-format*]: $(\text{WT-gpv out } c \text{ gpv}')$
from $\langle \text{IO out } c \in \cdot \rangle$
obtain *generat* **where** *generat*: $\text{generat} \in \text{set-spmf } (\text{the-gpv } \text{ gpv}')$
and $*$: $\text{IO out } c \in \text{set-spmf } (\text{if is-Pure } \text{generat} \text{ then the-gpv } (f (\text{result } \text{generat})) \text{ else return-spmf } (\text{IO } (\text{output } \text{generat}) (\lambda \text{input}. \text{continuation } \text{generat } \text{input} \ggg f)))$
by(*clarsimp*)
show $?case$
proof(*cases generat*)
case (*Pure* x)
from *Pure* $*$ **have** *IO*: $\text{IO out } c \in \text{set-spmf } (\text{the-gpv } (f x))$ **by** *simp*
from *generat Pure* **have** $x \in \text{results-gpv } \mathcal{I} \text{ gpv}'$ **by** (*simp add: results-gpv.Pure*)
then **have** $X (f x)$ **by**(*rule WT-gpv*)
from *step*[*OF this IO*] **show** $?thesis$ **by**(*auto 4 4 intro: exI*[**where** $x = \text{Done}$ -])
next

```

    case (IO out' c')
  with * have [simp]: out' = out
    and c: c = (λinput. c' input ≫= f) by simp-all
  from IO generat have IO: IO out c' ∈ set-spmf (the-gpv gpv') by simp
  then have ∧input. input ∈ responses- $\mathcal{I}$   $\mathcal{I}$  out  $\implies$  results-gpv  $\mathcal{I}$  (c' input)
 $\subseteq$  results-gpv  $\mathcal{I}$  gpv'
    by(auto intro: results-gpv.IO)
  with WT-gpvD[OF  $\mathcal{I} \vdash g$  gpv'  $\surd$  IO] show ?thesis unfolding c using
WT-gpv(2) by blast
  qed
  qed
  then show ?thesis unfolding gpv'-def f-def by simp
  qed

```

lemma \mathcal{I} -trivial-WT-gpvD [simp]: \mathcal{I} -trivial $\mathcal{I} \implies \mathcal{I} \vdash g$ gpv \surd
using WT-gpv-full **by**(rule WT-gpv-mono)(simp-all add: \mathcal{I} -trivial-def)

lemma \mathcal{I} -trivial-WT-gpvI:
assumes $\bigwedge gpv :: ('a, 'out, 'in) gpv. \mathcal{I} \vdash g$ gpv \surd
shows \mathcal{I} -trivial \mathcal{I}
proof
fix x
have $\mathcal{I} \vdash g$ Pause x ($\lambda-. Fail :: ('a, 'out, 'in) gpv$) \surd **by**(rule assms)
thus $x \in outs\text{-}\mathcal{I}$ \mathcal{I} **by**(simp)
qed

4.13 Sub-gpvs

context begin
qualified inductive sub-gpvsp $:: ('out, 'in) \mathcal{I} \Rightarrow ('a, 'out, 'in) gpv \Rightarrow ('a, 'out, 'in) gpv \Rightarrow bool$
for \mathcal{I} x
where
 base:
 $\llbracket IO$ out $c \in set\text{-}spmf$ (the-gpv gpv); input $\in responses\text{-}\mathcal{I}$ \mathcal{I} out; $x = c$ input \rrbracket
 $\implies sub\text{-}gpvsp \mathcal{I} x$ gpv
 | cont:
 $\llbracket IO$ out $c \in set\text{-}spmf$ (the-gpv gpv); input $\in responses\text{-}\mathcal{I}$ \mathcal{I} out; sub-gpvsp $\mathcal{I} x$ (c input) \rrbracket
 $\implies sub\text{-}gpvsp \mathcal{I} x$ gpv

qualified lemma sub-gpvsp-base:
 $\llbracket IO$ out $c \in set\text{-}spmf$ (the-gpv gpv); input $\in responses\text{-}\mathcal{I}$ \mathcal{I} out \rrbracket
 $\implies sub\text{-}gpvsp \mathcal{I}$ (c input) gpv
by(rule base) simp-all

definition sub-gpvs $:: ('out, 'in) \mathcal{I} \Rightarrow ('a, 'out, 'in) gpv \Rightarrow ('a, 'out, 'in) gpv$ set
where sub-gpvs \mathcal{I} gpv $\equiv \{x. sub\text{-}gpvsp \mathcal{I} x$ gpv $\}$

lemma *sub-gpvsp-sub-gpvs-eq* [*pred-set-conv*]: *sub-gpvsp* \mathcal{I} *x gpv* $\longleftrightarrow x \in \text{sub-gpvs}$
 \mathcal{I} *gpv*

by(*simp add: sub-gpvs-def*)

context begin

local-setup \ll *Local-Theory.map-background-naming* (*Name-Space.mandatory-path*
sub-gpvs) \gg

lemmas *intros* [*intro?*] = *sub-gpvsp.intros*[*to-set*]

and *base* = *sub-gpvsp-base*[*to-set*]

and *cont* = *cont*[*to-set*]

and *induct* [*consumes 1, case-names Pure IO, induct set: sub-gpvs*] = *sub-gpvsp.induct*[*to-set*]

and *cases* [*consumes 1, case-names Pure IO, cases set: sub-gpvs*] = *sub-gpvsp.cases*[*to-set*]

and *simps* = *sub-gpvsp.simps*[*to-set*]

end

end

lemma *WT-sub-gpvsD*:

assumes $\mathcal{I} \vdash_g \text{gpv} \checkmark$ **and** $\text{gpv}' \in \text{sub-gpvs} \mathcal{I} \text{ gpv}$

shows $\mathcal{I} \vdash_g \text{gpv}' \checkmark$

using *assms(2,1)* **by**(*induction*)(*auto dest: WT-gpvD*)

lemma *WT-sub-gpvsI*:

$\ll \bigwedge \text{out } c. \text{IO out } c \in \text{set-spmfs} (\text{the-gpv } \text{gpv}) \implies \text{out} \in \text{outs-}\mathcal{I} \Gamma;$

$\bigwedge \text{gpv}'. \text{gpv}' \in \text{sub-gpvs} \Gamma \text{ gpv} \implies \Gamma \vdash_g \text{gpv}' \checkmark \ll$

$\implies \Gamma \vdash_g \text{gpv} \checkmark$

by(*rule WT-gpvI*)(*auto intro: sub-gpvs.base*)

4.14 Losslessness

A gpv is lossless iff we are guaranteed to get a result after a finite number of interactions that respect the interface. It is colossless if the interactions may go on for ever, but there is no non-termination.

We define both notions of losslessness simultaneously by mimicking what the (co)inductive package would do internally. Thus, we get a constant which is parametrised by the choice of the fixpoint, i.e., for non-recursive gpvs, we can state and prove both versions of losslessness in one go.

context

fixes *co* :: *bool* **and** $\mathcal{I} :: ('out, 'in) \mathcal{I}$

and *F* :: $((a, 'out, 'in) \text{gpv} \Rightarrow \text{bool}) \Rightarrow ((a, 'out, 'in) \text{gpv} \Rightarrow \text{bool})$

and *co'* :: *bool*

defines $F \equiv \lambda \text{gen-lossless-gpv } \text{gpv}. \exists \text{pa}. \text{gpv} = \text{GPV } \text{pa} \wedge$

$\text{lossless-spmfs } \text{pa} \wedge (\forall \text{out } c \text{ input}. \text{IO out } c \in \text{set-spmfs } \text{pa} \longrightarrow \text{input} \in \text{responses-}\mathcal{I}$
 $\mathcal{I} \text{ out} \longrightarrow \text{gen-lossless-gpv} (c \text{ input}))$

and $\text{co}' \equiv \text{co}$ — We use a copy of *co* such that we can do case distinctions on *co'* without the simplifier rewriting the *co* in the local abbreviations for the constants.

begin

```

lemma gen-lossless-gpv-mono: mono F
unfolding F-def
apply(rule monoI le-funI le-boolI)+
apply(tactic REPEAT (resolve-tac @{context} (Inductive.get-monos @{context} 1)))
apply(erule le-funE)
apply(erule le-boolD)
done

```

```

definition gen-lossless-gpv :: ('a, 'out, 'in) gpv  $\Rightarrow$  bool
where gen-lossless-gpv = (if co' then gfp else lfp) F

```

```

lemma gen-lossless-gpv-unfold: gen-lossless-gpv = F gen-lossless-gpv
by(simp add: gen-lossless-gpv-def gfp-unfold[OF gen-lossless-gpv-mono, symmetric]
lfp-unfold[OF gen-lossless-gpv-mono, symmetric])

```

```

lemma gen-lossless-gpv-True: co' = True  $\Longrightarrow$  gen-lossless-gpv  $\equiv$  gfp F
and gen-lossless-gpv-False: co' = False  $\Longrightarrow$  gen-lossless-gpv  $\equiv$  lfp F
by(simp-all add: gen-lossless-gpv-def)

```

```

lemma gen-lossless-gpv-cases [elim?, cases pred]:
assumes gen-lossless-gpv gpv
obtains (gen-lossless-gpv) p where gpv = GPV p lossless-spmf p
 $\wedge$  out c input. [IO out c  $\in$  set-spmf p; input  $\in$  responses- $\mathcal{I}$   $\mathcal{I}$  out]  $\Longrightarrow$  gen-lossless-gpv
(c input)
proof –
from assms show ?thesis
by(rewrite in asm gen-lossless-gpv-unfold)(auto simp add: F-def intro: that)
qed

```

```

lemma gen-lossless-gpvD:
assumes gen-lossless-gpv gpv
shows gen-lossless-gpv-lossless-spmfD: lossless-spmf (the-gpv gpv)
and gen-lossless-gpv-continuationD:
 $[IO out c \in set-spmf (the-gpv gpv); input \in responses-\mathcal{I} \mathcal{I} out] \Longrightarrow gen-lossless-gpv$ 
(c input)
using assms by(auto elim: gen-lossless-gpv-cases)

```

```

lemma gen-lossless-gpv-intros:
 $[lossless-spmf p;$ 
 $\wedge out c input. [IO out c \in set-spmf p; input \in responses-\mathcal{I} \mathcal{I} out] \Longrightarrow$ 
gen-lossless-gpv (c input)
 $\Longrightarrow gen-lossless-gpv (GPV p)$ 
by(rewrite gen-lossless-gpv-unfold)(simp add: F-def)

```

```

lemma gen-lossless-gpvI [intro?]:
 $[lossless-spmf (the-gpv gpv);$ 
 $\wedge out c input. [IO out c \in set-spmf (the-gpv gpv); input \in responses-\mathcal{I} \mathcal{I} out$ 

```

\llbracket
 $\implies \text{gen-lossless-gpv } (c \text{ input}) \rrbracket$
 $\implies \text{gen-lossless-gpv } \text{gpv}$
by(cases gpv)(auto intro: gen-lossless-gpv-intros)

lemma *gen-lossless-gpv-simps*:
 $\text{gen-lossless-gpv } \text{gpv} \longleftrightarrow$
 $(\exists p. \text{gpv} = \text{GPV } p \wedge \text{lossless-spmf } p \wedge (\forall \text{out } c \text{ input.}$
 $\text{IO out } c \in \text{set-spmf } p \longrightarrow \text{input} \in \text{responses-}\mathcal{I} \ \mathcal{I} \ \text{out} \longrightarrow \text{gen-lossless-gpv}$
 $(c \text{ input})))$
by(rewrite gen-lossless-gpv-unfold)(simp add: F-def)

lemma *gen-lossless-gpv-Done* [iff]: $\text{gen-lossless-gpv } (\text{Done } x)$
by(rule gen-lossless-gpvI) auto

lemma *gen-lossless-gpv-Fail* [iff]: $\neg \text{gen-lossless-gpv } \text{Fail}$
by(auto dest: gen-lossless-gpvD)

lemma *gen-lossless-gpv-Pause* [simp]:
 $\text{gen-lossless-gpv } (\text{Pause out } c) \longleftrightarrow (\forall \text{input} \in \text{responses-}\mathcal{I} \ \mathcal{I} \ \text{out. } \text{gen-lossless-gpv}$
 $(c \text{ input}))$
by(auto dest: gen-lossless-gpvD intro: gen-lossless-gpvI)

lemma *gen-lossless-gpv-lift-spmf* [iff]: $\text{gen-lossless-gpv } (\text{lift-spmf } p) \longleftrightarrow \text{lossless-spmf}$
 p
by(auto dest: gen-lossless-gpvD intro: gen-lossless-gpvI)

end

lemma *gen-lossless-gpv-assert-gpv* [iff]: $\text{gen-lossless-gpv } \text{co } \mathcal{I} (\text{assert-gpv } b) \longleftrightarrow b$
by(cases b) simp-all

abbreviation $\text{lossless-gpv} :: ('out, 'in) \mathcal{I} \Rightarrow ('a, 'out, 'in) \text{gpv} \Rightarrow \text{bool}$
where $\text{lossless-gpv} \equiv \text{gen-lossless-gpv } \text{False}$

abbreviation $\text{colossless-gpv} :: ('out, 'in) \mathcal{I} \Rightarrow ('a, 'out, 'in) \text{gpv} \Rightarrow \text{bool}$
where $\text{colossless-gpv} \equiv \text{gen-lossless-gpv } \text{True}$

lemma *lossless-gpv-induct* [consumes 1, case-names lossless-gpv, induct pred]:
assumes *: $\text{lossless-gpv } \mathcal{I} \ \text{gpv}$
and *step*: $\bigwedge p. \llbracket \text{lossless-spmf } p;$
 $\bigwedge \text{out } c \text{ input. } \llbracket \text{IO out } c \in \text{set-spmf } p; \text{input} \in \text{responses-}\mathcal{I} \ \mathcal{I} \ \text{out} \rrbracket \implies$
 $\text{lossless-gpv } \mathcal{I} (c \text{ input});$
 $\bigwedge \text{out } c \text{ input. } \llbracket \text{IO out } c \in \text{set-spmf } p; \text{input} \in \text{responses-}\mathcal{I} \ \mathcal{I} \ \text{out} \rrbracket \implies P (c$
 $\text{input}) \rrbracket$
 $\implies P (\text{GPV } p)$
shows $P \ \text{gpv}$
proof –
have $\text{lossless-gpv } \mathcal{I} \leq P$

```

  by(rule def-lfp-induct[OF gen-lossless-gpv-False gen-lossless-gpv-mono])(auto
intro!: le-funI step)
  then show ?thesis using * by auto
qed

```

```

lemma colossless-gpv-coinduct
  [consumes 1, case-names colossless-gpv, case-conclusion colossless-gpv lossless-spmf
continuation, coinduct pred]:
  assumes *:  $X$  gpv
  and step:  $\bigwedge$ gpv.  $X$  gpv  $\implies$  lossless-spmf (the-gpv gpv)  $\wedge$  ( $\forall$  out c input.
    IO out c  $\in$  set-spmf (the-gpv gpv)  $\longrightarrow$  input  $\in$  responses- $\mathcal{I}$   $\mathcal{I}$  out  $\longrightarrow$   $X$  (c
input)  $\vee$  colossless-gpv  $\mathcal{I}$  (c input))
  shows colossless-gpv  $\mathcal{I}$  gpv
proof -
  have  $X \leq$  colossless-gpv  $\mathcal{I}$ 
  by(rule def-coinduct[OF gen-lossless-gpv-True gen-lossless-gpv-mono])
    (auto 4 intro!: le-funI dest!: step intro: exI[where x=the-gpv -])
  then show ?thesis using * by auto
qed

```

```

lemmas lossless-gpvI = gen-lossless-gpvI[where co=False]
  and lossless-gpvD = gen-lossless-gpvD[where co=False]
  and lossless-gpv-lossless-spmfD = gen-lossless-gpv-lossless-spmfD[where co=False]
  and lossless-gpv-continuationD = gen-lossless-gpv-continuationD[where co=False]

```

```

lemmas colossless-gpvI = gen-lossless-gpvI[where co=True]
  and colossless-gpvD = gen-lossless-gpvD[where co=True]
  and colossless-gpv-lossless-spmfD = gen-lossless-gpv-lossless-spmfD[where co=True]
  and colossless-gpv-continuationD = gen-lossless-gpv-continuationD[where co=True]

```

```

lemma gen-lossless-bind-gpvI:
  assumes gen-lossless-gpv co  $\mathcal{I}$  gpv  $\bigwedge$ x. x  $\in$  results-gpv  $\mathcal{I}$  gpv  $\implies$  gen-lossless-gpv
co  $\mathcal{I}$  (f x)
  shows gen-lossless-gpv co  $\mathcal{I}$  (gpv  $\gg$  f)
proof(cases co)
  case False
  hence eq: co = False by simp
  show ?thesis using assms unfolding eq
  proof(induction)
  case (lossless-gpv p)
  { fix x
    assume Pure x  $\in$  set-spmf p
    hence x  $\in$  results-gpv  $\mathcal{I}$  (GPV p) by simp
    hence lossless-gpv  $\mathcal{I}$  (f x) by(rule lossless-gpv.prem) }
  with <lossless-spmf p> show ?case unfolding GPV-bind
  apply(intro gen-lossless-gpv-intros)
  apply(fastforce dest: lossless-gpvD split: generat.split)
  apply(clarsimp; split generat.split-asm)
  apply(auto dest: lossless-gpvD intro!: lossless-gpv)

```

```

done
qed
next
case True
hence eq: co = True by simp
show ?thesis using assms unfolding eq
proof (coinduction arbitrary: gpv rule: colossless-gpv-coinduct)
  case * [rule-format]: (colossless-gpv gpv)
  from *(1) have ?lossless-spmf
  by (auto 4 3 dest: colossless-gpv-lossless-spmfD elim!: is-PureE intro: *(2)[THEN
colossless-gpv-lossless-spmfD] results-gpv.Pure)
  moreover have ?continuation
  proof (intro strip)
    fix out c input
    assume IO: IO out c ∈ set-spmf (the-gpv (gpv ≫= f))
    and input: input ∈ responses- $\mathcal{I}$   $\mathcal{I}$  out
    from IO obtain generat where generat: generat ∈ set-spmf (the-gpv gpv)
    and IO: IO out c ∈ set-spmf (if is-Pure generat then the-gpv (f (result
generat)))
    else return-spmf (IO (output generat) ( $\lambda$ input. continuation generat
input ≫= f)))
    by (auto)
    show ( $\exists$  gpv. c input = gpv ≫= f  $\wedge$  colossless-gpv  $\mathcal{I}$  gpv  $\wedge$  ( $\forall$  x. x ∈ results-gpv
 $\mathcal{I}$  gpv  $\longrightarrow$  colossless-gpv  $\mathcal{I}$  (f x)))  $\vee$ 
colossless-gpv  $\mathcal{I}$  (c input)
    proof (cases generat)
      case (Pure x)
      hence x ∈ results-gpv  $\mathcal{I}$  gpv using generat by (auto intro: results-gpv.Pure)
      from *(2)[OF this] have colossless-gpv  $\mathcal{I}$  (c input)
      using IO Pure input by (auto intro: colossless-gpv-continuationD)
      thus ?thesis ..
    next
      case **: (IO out' c')
      with input generat IO have colossless-gpv  $\mathcal{I}$  (f x) if x ∈ results-gpv  $\mathcal{I}$  (c'
input) for x
      using that by (auto intro: * results-gpv.IO)
      then show ?thesis using IO input ** *(1) generat by (auto dest: colossless-gpv-continuationD)
    qed
  qed
ultimately show ?case ..
qed
qed

```

```

lemmas lossless-bind-gpvI = gen-lossless-bind-gpvI[where co=False]
and colossless-bind-gpvI = gen-lossless-bind-gpvI[where co=True]

```

```

lemma gen-lossless-bind-gpvD1:
  assumes gen-lossless-gpv co  $\mathcal{I}$  (gpv ≫= f)
  shows gen-lossless-gpv co  $\mathcal{I}$  gpv

```

```

proof(cases co)
  case False
  hence eq: co = False by simp
  show ?thesis using assms unfolding eq
  proof(induction gpv'≡gpv ≧≧ f arbitrary: gpv)
    case (lossless-gpv p)
    obtain p' where gpv: gpv = GPV p' by(cases gpv)
    from lossless-gpv.hyps gpv have lossless-spmf p' by(simp add: GPV-bind)
    then show ?case unfolding gpv
    proof(rule gen-lossless-gpv-intros)
      fix out c input
      assume IO out c ∈ set-spmf p' input ∈ responses- $\mathcal{I}$   $\mathcal{I}$  out
      hence IO out (λinput. c input ≧≧ f) ∈ set-spmf p using lossless-gpv.hyps
    gpv
      by(auto simp add: GPV-bind intro: rev-bexI)
      thus lossless-gpv  $\mathcal{I}$  (c input) using (input ∈  $\rightarrow$ ) by(rule lossless-gpv.hyps)
  simp
  qed
  qed
next
  case True
  hence eq: co = True by simp
  show ?thesis using assms unfolding eq
  by(coinduction arbitrary: gpv)(auto 4 3 intro: rev-bexI elim!: colossless-gpv-continuationD
  dest: colossless-gpv-lossless-spmfD)
  qed

lemmas lossless-bind-gpvD1 = gen-lossless-bind-gpvD1[where co=False]
  and colossless-bind-gpvD1 = gen-lossless-bind-gpvD1[where co=True]

lemma gen-lossless-bind-gpvD2:
  assumes gen-lossless-gpv co  $\mathcal{I}$  (gpv ≧≧ f)
  and x ∈ results-gpv  $\mathcal{I}$  gpv
  shows gen-lossless-gpv co  $\mathcal{I}$  (f x)
using assms(2,1)
proof(induction)
  case (Pure gpv)
  thus ?case
  by -(rule gen-lossless-gpvI, auto 4 4 dest: gen-lossless-gpvD intro: rev-bexI)
qed(auto 4 4 dest: gen-lossless-gpvD intro: rev-bexI)

lemmas lossless-bind-gpvD2 = gen-lossless-bind-gpvD2[where co=False]
  and colossless-bind-gpvD2 = gen-lossless-bind-gpvD2[where co=True]

lemma gen-lossless-bind-gpv [simp]:
  gen-lossless-gpv co  $\mathcal{I}$  (gpv ≧≧ f)  $\longleftrightarrow$  gen-lossless-gpv co  $\mathcal{I}$  gpv  $\wedge$  ( $\forall x \in$  results-gpv
 $\mathcal{I}$  gpv. gen-lossless-gpv co  $\mathcal{I}$  (f x))
by(blast intro: gen-lossless-bind-gpvI dest: gen-lossless-bind-gpvD1 gen-lossless-bind-gpvD2)

```

```

lemmas lossless-bind-gpv = gen-lossless-bind-gpv[where co=False]
and colossless-bind-gpv = gen-lossless-bind-gpv[where co=True]

context includes lifting-syntax begin

lemma rel-gpv''-lossless-gpvD1:
  assumes rel: rel-gpv'' A C R gpv gpv'
  and gpv: lossless-gpv I gpv
  and [transfer-rule]: rel-I C R I I'
  shows lossless-gpv I' gpv'
using gpv rel
proof(induction arbitrary: gpv')
  case (lossless-gpv p)
  from lossless-gpv.prems obtain q where q: gpv' = GPV q
    and [transfer-rule]: rel-spmf (rel-generat A C (R ==> rel-gpv'' A C R)) p q
    by(cases gpv') auto
  show ?case
  proof(rule lossless-gpvI)
    have lossless-spmf p = lossless-spmf q by transfer-prover
    with lossless-gpv.hyps(1) q show lossless-spmf (the-gpv gpv') by simp

    fix out' c' input'
    assume IO': IO out' c' ∈ set-spmf (the-gpv gpv')
      and input': input' ∈ responses-I I' out'
    have rel-set (rel-generat A C (R ==> rel-gpv'' A C R)) (set-spmf p) (set-spmf
q)
      by transfer-prover
    with IO' q obtain out c where IO: IO out c ∈ set-spmf p
      and [transfer-rule]: C out out' (R ==> rel-gpv'' A C R) c c'
      by(auto dest!: rel-setD2 elim: generat.rel-cases)
    have rel-set R (responses-I I out) (responses-I I' out') by transfer-prover
    moreover
    from this[THEN rel-setD2, OF input'] obtain input
      where [transfer-rule]: R input input' and input: input ∈ responses-I I out
by blast
    have rel-gpv'' A C R (c input) (c' input') by transfer-prover
    ultimately show lossless-gpv I' (c' input') using input IO by(auto intro:
lossless-gpv.IH)
    qed
  qed

lemma rel-gpv''-lossless-gpvD2:
  [ rel-gpv'' A C R gpv gpv'; lossless-gpv I' gpv'; rel-I C R I I' ]
  ⇒ lossless-gpv I gpv
using rel-gpv''-lossless-gpvD1[of A-1-1 C-1-1 R-1-1 gpv' gpv I' I]
by(simp add: rel-gpv''-conversep prod.rel-conversep rel-fun-eq-conversep)

lemma rel-gpv-lossless-gpvD1:
  [ rel-gpv A C gpv gpv'; lossless-gpv I gpv; rel-I C (=) I I' ] ⇒ lossless-gpv I'

```

gpv'
using $rel-gpv''-lossless-gpvD1$ [of $A C (=) gpv gpv' \mathcal{I} \mathcal{I}'$] **by** (*simp add: rel-gpv-conv-rel-gpv''*)

lemma $rel-gpv-lossless-gpvD2$:

[$rel-gpv A C gpv gpv'$; $lossless-gpv \mathcal{I}' gpv'$; $rel-\mathcal{I} C (=) \mathcal{I} \mathcal{I}'$]
 $\implies lossless-gpv \mathcal{I} gpv$

using $rel-gpv-lossless-gpvD1$ [of $A^{-1-1} C^{-1-1} gpv' gpv \mathcal{I}' \mathcal{I}$]

by (*simp add: gpv.rel-conversep prod.rel-conversep rel-fun-eq-conversep*)

lemma $rel-gpv''-colossless-gpvD1$:

assumes $rel: rel-gpv'' A C R gpv gpv'$

and $gpv: colossless-gpv \mathcal{I} gpv$

and [*transfer-rule*]: $rel-\mathcal{I} C R \mathcal{I} \mathcal{I}'$

shows $colossless-gpv \mathcal{I}' gpv'$

using $gpv rel$

proof (*coinduction arbitrary: gpv gpv'*)

case ($colossless-gpv gpv gpv'$)

note [*transfer-rule*] = $\langle rel-gpv'' A C R gpv gpv' \rangle$ *the-gpv-parametric'*

and $co = \langle colossless-gpv \mathcal{I} gpv \rangle$

have $lossless-spmf (the-gpv gpv) = lossless-spmf (the-gpv gpv')$ **by** *transfer-prover*

with co **have** $?lossless-spmf$ **by** (*auto dest: colossless-gpv-lossless-spmfD*)

moreover **have** $?continuation$

proof (*intro strip disjI1*)

fix $out' c' input'$

assume IO' : $IO out' c' \in set-spmf (the-gpv gpv')$

and $input'$: $input' \in responses-\mathcal{I} \mathcal{I}' out'$

have $rel-set (rel-generat A C (R \implies rel-gpv'' A C R)) (set-spmf (the-gpv gpv)) (set-spmf (the-gpv gpv'))$

by *transfer-prover*

with IO' **obtain** $out c$ **where** $IO: IO out c \in set-spmf (the-gpv gpv)$

and [*transfer-rule*]: $C out out' (R \implies rel-gpv'' A C R) c c'$

by (*auto dest!: rel-setD2 elim: generat.rel-cases*)

have $rel-set R (responses-\mathcal{I} \mathcal{I} out) (responses-\mathcal{I} \mathcal{I}' out')$ **by** *transfer-prover*

moreover

from *this* [*THEN rel-setD2, OF input'*] **obtain** $input$

where [*transfer-rule*]: $R input input'$ **and** $input: input \in responses-\mathcal{I} \mathcal{I} out$

by *blast*

have $rel-gpv'' A C R (c input) (c' input')$ **by** *transfer-prover*

ultimately show $\exists gpv gpv'. c' input' = gpv' \wedge colossless-gpv \mathcal{I} gpv \wedge rel-gpv''$

$A C R gpv gpv'$

using $input IO co$ **by** (*auto dest: colossless-gpv-continuationD*)

qed

ultimately show $?case ..$

qed

lemma $rel-gpv''-colossless-gpvD2$:

[$rel-gpv'' A C R gpv gpv'$; $colossless-gpv \mathcal{I}' gpv'$; $rel-\mathcal{I} C R \mathcal{I} \mathcal{I}'$]

$\implies colossless-gpv \mathcal{I} gpv$

using $rel-gpv''-colossless-gpvD1$ [of $A^{-1-1} C^{-1-1} R^{-1-1} gpv' gpv \mathcal{I}' \mathcal{I}$]

by(*simp add: rel-gpv''-conversep prod.rel-conversep rel-fun-eq-conversep*)

lemma *rel-gpv-colossless-gpvD1*:

$\llbracket \text{rel-gpv } A \ C \ \text{gpv } \text{gpv}' ; \text{colossless-gpv } \mathcal{I} \ \text{gpv} ; \text{rel-}\mathcal{I} \ C \ (=) \ \mathcal{I} \ \mathcal{I}' \rrbracket \implies \text{colossless-gpv } \mathcal{I}' \ \text{gpv}'$

using *rel-gpv''-colossless-gpvD1 [of A C (=) gpv gpv' I I']* **by**(*simp add: rel-gpv-conv-rel-gpv''*)

lemma *rel-gpv-colossless-gpvD2*:

$\llbracket \text{rel-gpv } A \ C \ \text{gpv } \text{gpv}' ; \text{colossless-gpv } \mathcal{I}' \ \text{gpv}' ; \text{rel-}\mathcal{I} \ C \ (=) \ \mathcal{I} \ \mathcal{I}' \rrbracket$

$\implies \text{colossless-gpv } \mathcal{I} \ \text{gpv}$

using *rel-gpv-colossless-gpvD1 [of A⁻¹⁻¹ C⁻¹⁻¹ gpv' gpv I' I]*

by(*simp add: gpv.rel-conversep prod.rel-conversep rel-fun-eq-conversep*)

lemma *gen-lossless-gpv-parametric'*:

$((=) \implies \text{rel-}\mathcal{I} \ C \ R \implies \text{rel-gpv}'' \ A \ C \ R \implies (=))$

gen-lossless-gpv gen-lossless-gpv

proof(*rule rel-funI; hypsubst*)

show $(\text{rel-}\mathcal{I} \ C \ R \implies \text{rel-gpv}'' \ A \ C \ R \implies (=))$ (*gen-lossless-gpv b*)

(*gen-lossless-gpv b*) **for** *b*

by(*cases b*)(*auto intro!: rel-funI dest: rel-gpv''-colossless-gpvD1 rel-gpv''-colossless-gpvD2 rel-gpv''-lossless-gpvD1 rel-gpv''-lossless-gpvD2*)

qed

lemma *gen-lossless-gpv-parametric [transfer-rule]*:

$((=) \implies \text{rel-}\mathcal{I} \ C \ (=) \implies \text{rel-gpv } A \ C \implies (=))$

gen-lossless-gpv gen-lossless-gpv

proof(*rule rel-funI; hypsubst*)

show $(\text{rel-}\mathcal{I} \ C \ (=) \implies \text{rel-gpv } A \ C \implies (=))$ (*gen-lossless-gpv b*) (*gen-lossless-gpv b*) **for** *b*

by(*cases b*)(*auto intro!: rel-funI dest: rel-gpv-colossless-gpvD1 rel-gpv-colossless-gpvD2 rel-gpv-lossless-gpvD1 rel-gpv-lossless-gpvD2*)

qed

end

lemma *gen-lossless-gpv-map-full [simp]*:

gen-lossless-gpv b I-full (map-gpv f g gpv) = gen-lossless-gpv b I-full gpv

(*is ?lhs = ?rhs*)

proof(*cases b = True*)

case *True*

show *?lhs = ?rhs*

proof

show *?rhs if ?lhs using that unfolding True*

by(*coinduction arbitrary: gpv*)(*auto 4 3 dest: colossless-gpvD simp add: gpv.map-sel intro!: rev-image-eqI*)

show *?lhs if ?rhs using that unfolding True*

by(*coinduction arbitrary: gpv*)(*auto 4 4 dest: colossless-gpvD simp add: gpv.map-sel intro!: rev-image-eqI*)

qed

```

next
  case False
  hence False:  $b = \text{False}$  by simp
  show  $?lhs = ?rhs$ 
  proof
    show  $?rhs$  if  $?lhs$  using that unfolding False
    apply(induction  $gpv' \equiv \text{map-gpv } f \ g \ gpv$  arbitrary:  $gpv$ )
    subgoal for  $p \ gpv$  by(cases  $gpv$ )(rule lossless-gpvI; fastforce intro: rev-image-eqI)
    done
    show  $?lhs$  if  $?rhs$  using that unfolding False
    by induction(auto 4 4 intro: lossless-gpvI)
  qed
qed

lemma gen-lossless-gpv-map-id [simp]:
  gen-lossless-gpv  $b \ \mathcal{I} \ (\text{map-gpv } f \ \text{id } gpv) = \text{gen-lossless-gpv } b \ \mathcal{I} \ gpv$ 
  using gen-lossless-gpv-parametric[of BNF-Def.Grp UNIV id BNF-Def.Grp UNIV
f] unfolding gpv.rel-Grp
  by(simp add: rel-fun-def eq-alt[symmetric] rel-I-eq)(auto simp add: Grp-def)

lemma results-gpv-try-gpv [simp]:
  results-gpv  $\mathcal{I} \ (\text{TRY } gpv \ \text{ELSE } gpv')$  =
  results-gpv  $\mathcal{I} \ gpv \cup (\text{if } \text{colossless-gpv } \mathcal{I} \ gpv \ \text{then } \{\} \ \text{else } \text{results-gpv } \mathcal{I} \ gpv')$ 
  (is  $?lhs = ?rhs$ )
  proof(intro set-eqI iffI)
    show  $x \in ?rhs$  if  $x \in ?lhs$  for  $x$  using that
    proof(induction  $gpv'' \equiv \text{try-gpv } gpv \ gpv'$  arbitrary:  $gpv$ )
      case Pure thus  $?case$ 
        by(auto split: if-split-asm intro: results-gpv.Pure dest: colossless-gpv-lossless-spmfD)
    next
      case (IO out c input)
      then show  $?case$ 
        apply(auto dest: colossless-gpv-lossless-spmfD split: if-split-asm)
        apply(force intro: results-gpv.IO dest: colossless-gpv-continuationD split:
if-split-asm)
        done
    qed
  next
  fix  $x$ 
  assume  $x \in ?rhs$ 
  then consider (left)  $x \in \text{results-gpv } \mathcal{I} \ gpv$  | (right)  $\neg \text{colossless-gpv } \mathcal{I} \ gpv \ x \in$ 
results-gpv } \mathcal{I} \ gpv'
    by(auto split: if-split-asm)
  thus  $x \in ?lhs$ 
  proof cases
    case left
    thus  $?thesis$ 
    by(induction)(auto 4 4 intro: results-gpv.intros rev-image-eqI split del: if-split)
  next

```

```

case right
from right(1) show ?thesis
proof(rule contrapos-np)
  assume  $x \notin ?lhs$ 
  with right(2) show colossless-gpv  $\mathcal{I}$  gpv
  proof(coinduction arbitrary: gpv)
    case (colossless-gpv gpv)
    then have ?lossless-spmf
      apply(rewrite in asm try-gpv.code)
      apply(rule ccontr)
      apply(erule results-gpv.cases)
      apply(fastforce simp add: image-Un image-image generat.map-comp
o-def)+
    done
    moreover have ?continuation using colossless-gpv
      by(auto 4 4 split del: if-split simp add: image-Un image-image generat.map-comp o-def intro: rev-image-eqI results-gpv.IO)
    ultimately show ?case ..
  qed
qed
qed
qed

```

```

lemma results'-gpv-try-gpv [simp]:
  results'-gpv (TRY gpv ELSE gpv') =
  results'-gpv gpv  $\cup$  (if colossless-gpv  $\mathcal{I}$ -full gpv then {} else results'-gpv gpv')
by(simp add: results-gpv- $\mathcal{I}$ -full[symmetric])

```

```

lemma outs'-gpv-try-gpv [simp]:
  outs'-gpv (TRY gpv ELSE gpv') =
  outs'-gpv gpv  $\cup$  (if colossless-gpv  $\mathcal{I}$ -full gpv then {} else outs'-gpv gpv')
  (is ?lhs = ?rhs)

```

```

proof(intro set-eqI iffI)
  show  $x \in ?rhs$  if  $x \in ?lhs$  for  $x$  using that
  proof(induction gpv'' $\equiv$ try-gpv gpv gpv' arbitrary: gpv)
    case Out thus ?case
      by(auto 4 3 simp add: generat.map-comp o-def elim!: generat.set-cases(2)
intro: outs'-gpv-Out split: if-split-asm dest: colossless-gpv-lossless-spmfD)
    next
      case (Cont generat c input)
      then show ?case
        apply(auto dest: colossless-gpv-lossless-spmfD split: if-split-asm elim!: generat.set-cases(3))
        apply(auto 4 3 dest: colossless-gpv-continuationD split: if-split-asm intro: outs'-gpv-Cont elim!: meta-allE meta-impE[OF - refl])+
      done
    qed
  next
  fix  $x$ 

```

```

assume  $x \in ?rhs$ 
then consider (left)  $x \in outs'-gpv\ gpv \mid (right) \neg colossless-gpv\ \mathcal{I}\text{-full}\ gpv\ x \in outs'-gpv\ gpv'$ 
  by(auto split: if-split-asm)
thus  $x \in ?lhs$ 
proof cases
  case left
    thus ?thesis
    by(induction)(auto elim!: generat.set-cases(2,3) intro: outs'-gpvI intro!: rev-image-eqI split del: if-split simp add: image-Un image-image generat.map-comp o-def)
  next
    case right
    from right(1) show ?thesis
    proof(rule contrapos-np)
      assume  $x \notin ?lhs$ 
      with right(2) show colossless-gpv\ \mathcal{I}\text{-full}\ gpv
      proof(coinduction arbitrary: gpv)
        case (colossless-gpv gpv)
        then have ?lossless-spmf
          apply(rewrite in asm try-gpv.code)
          apply(erule contrapos-np)
          apply(erule gpv.set-cases)
          apply(auto 4 3 simp add: image-Un image-image generat.map-comp o-def generat.set-map in-set-spmf[symmetric] bind-UNION generat.map-id[unfolded id-def] elim!: generat.set-cases)
        done
        moreover have ?continuation using colossless-gpv
          by(auto simp add: image-Un image-image generat.map-comp o-def split del: if-split intro!: rev-image-eqI intro: outs'-gpv-Cont)
        ultimately show ?case ..
      qed
    qed
  qed

```

lemma *pred-gpv-try [simp]:*
 $pred-gpv\ P\ Q\ (try-gpv\ gpv\ gpv') = (pred-gpv\ P\ Q\ gpv \wedge (\neg colossless-gpv\ \mathcal{I}\text{-full}\ gpv \longrightarrow pred-gpv\ P\ Q\ gpv'))$
by(*auto simp add: pred-gpv-def*)

lemma *lossless-WT-gpv-induct [consumes 2, case-names lossless-gpv]:*
assumes *lossless: lossless-gpv\ \mathcal{I}\ gpv*
and *WT: \mathcal{I} \vdash g\ gpv\ \checkmark*
and *step: \bigwedge p. \llbracket lossless-spmf\ p; \bigwedge out\ c. IO\ out\ c \in set-spmf\ p \implies out \in outs-\mathcal{I}\ \mathcal{I}; \bigwedge out\ c\ input. \llbracket IO\ out\ c \in set-spmf\ p; out \in outs-\mathcal{I}\ \mathcal{I} \implies input \in responses-\mathcal{I}\ \mathcal{I}\ out \rrbracket \implies lossless-gpv\ \mathcal{I}\ (c\ input);*

$\bigwedge \text{out } c \text{ input. } \llbracket \text{IO out } c \in \text{set-spmf } p; \text{out} \in \text{outs-}\mathcal{I} \mathcal{I} \implies \text{input} \in \text{responses-}\mathcal{I} \mathcal{I} \text{ out} \rrbracket \implies \mathcal{I} \vdash g \text{ c input } \checkmark;$
 $\bigwedge \text{out } c \text{ input. } \llbracket \text{IO out } c \in \text{set-spmf } p; \text{out} \in \text{outs-}\mathcal{I} \mathcal{I} \implies \text{input} \in \text{responses-}\mathcal{I} \mathcal{I} \text{ out} \rrbracket \implies P \text{ (c input)}$
 $\implies P \text{ (GPV } p)$
shows $P \text{ gpv}$
using *lossless WT*
apply(*induction*)
apply(*erule step*)
apply(*auto elim: WT-gpvD simp add: WT-gpv-simps*)
done

lemma *lossless-gpv-induct-strong* [*consumes 1, case-names lossless-gpv*]:

assumes *gpv: lossless-gpv \mathcal{I} gpv*
and step:
 $\bigwedge p. \llbracket \text{lossless-spmf } p;$
 $\bigwedge \text{gpv. } \text{gpv} \in \text{sub-gpvs } \mathcal{I} \text{ (GPV } p) \implies \text{lossless-gpv } \mathcal{I} \text{ gpv};$
 $\bigwedge \text{gpv. } \text{gpv} \in \text{sub-gpvs } \mathcal{I} \text{ (GPV } p) \implies P \text{ gpv} \rrbracket$
 $\implies P \text{ (GPV } p)$
shows $P \text{ gpv}$
proof –
define *gpv'* **where** $\text{gpv}' = \text{gpv}$
then have $\text{gpv}' \in \text{insert } \text{gpv} \text{ (sub-gpvs } \mathcal{I} \text{ gpv)}$ **by** *simp*
with *gpv* **have** $\text{lossless-gpv } \mathcal{I} \text{ gpv}' \wedge P \text{ gpv}'$
proof(*induction arbitrary: gpv'*)
case (*lossless-gpv p*)
from $\langle \text{gpv}' \in \text{insert } \text{ (GPV } p) \rangle$ **show** *?case*
proof(*rule insertE*)
assume $\text{gpv}' = \text{GPV } p$
moreover have $\text{lossless-gpv } \mathcal{I} \text{ (GPV } p)$
by(*auto 4 3 intro: lossless-gpvI lossless-gpv.hyps*)
moreover have $P \text{ (GPV } p)$ **using** *lossless-gpv.hyps(1)*
by(*rule step*)(*fastforce elim: sub-gpvs.cases lossless-gpv.IH[THEN conjunct1]*)
 $\text{lossless-gpv.IH[THEN conjunct2]}+$
ultimately show *?case by simp*
qed(*fastforce elim: sub-gpvs.cases lossless-gpv.IH[THEN conjunct1] lossless-gpv.IH[THEN conjunct2]*)
qed
thus *?thesis by (simp add: gpv'-def)*
qed

lemma *lossless-sub-gpvsI*:

assumes *spmf: lossless-spmf (the-gpv gpv)*
and *sub: $\bigwedge \text{gpv}'. \text{gpv}' \in \text{sub-gpvs } \mathcal{I} \text{ gpv} \implies \text{lossless-gpv } \mathcal{I} \text{ gpv}'$*
shows $\text{lossless-gpv } \mathcal{I} \text{ gpv}$
using *spmf by (rule lossless-gpvI)(rule sub[OF sub-gpvs.base])*

lemma *lossless-sub-gpvsD*:

assumes $\text{lossless-gpv } \mathcal{I} \text{ gpv } \text{gpv}' \in \text{sub-gpvs } \mathcal{I} \text{ gpv}$

shows *lossless-gpv* \mathcal{I} *gpv'*
using *assms*(2,1) **by**(*induction*)(*auto dest: lossless-gpvD*)

lemma *lossless-WT-gpv-induct-strong* [*consumes 2, case-names lossless-gpv*]:
assumes *lossless: lossless-gpv* \mathcal{I} *gpv*
and *WT: $\mathcal{I} \vdash g$ gpv \checkmark*
and *step: $\bigwedge p. \llbracket \text{lossless-spmf } p; \text{ $\bigwedge out c. IO out c \in \text{set-spmf } p \implies out \in \text{outs-}\mathcal{I} \mathcal{I}; \text{ $\bigwedge gpv. gpv \in \text{sub-gpvs } \mathcal{I} (GPV p) \implies \text{lossless-gpv } \mathcal{I} \text{ gpv}; \text{ $\bigwedge gpv. gpv \in \text{sub-gpvs } \mathcal{I} (GPV p) \implies \mathcal{I} \vdash g \text{ gpv } \checkmark; \text{ $\bigwedge gpv. gpv \in \text{sub-gpvs } \mathcal{I} (GPV p) \implies P \text{ gpv} \rrbracket \implies P (GPV p)$$$$$*
shows *P gpv*
using *lossless WT*
apply(*induction rule: lossless-gpv-induct-strong*)
apply(*erule step*)
apply(*auto elim: WT-gpvD dest: WT-sub-gpvsD*)
done

lemma *try-gpv-gen-lossless*: — TODO: generalise to arbitrary typings ?

gen-lossless-gpv b \mathcal{I} -full gpv $\implies (TRY \text{ gpv } ELSE \text{ gpv}') = \text{gpv}$
proof(*coinduction arbitrary: gpv*)
case (*Eq-gpv gpv*)
from *Eq-gpv [THEN gen-lossless-gpv-lossless-spmfD]*
have *eq: the-gpv gpv = (TRY the-gpv gpv ELSE the-gpv gpv')* **by**(*simp*)
show ?*case*
by(*subst eq*)(*auto simp add: spmf-rel-map generat.rel-map [abs-def] intro!: rel-spmf-try-spmf rel-spmf-reflI rel-generat-reflI elim!: generat.set-cases gen-lossless-gpv-continuationD [OF Eq-gpv] simp add: Eq-gpv [THEN gen-lossless-gpv-lossless-spmfD]*)
qed

— We instantiate the parameter *b* such that it can be used as a conditional simp rule.

lemmas *try-gpv-lossless [simp] = try-gpv-gen-lossless [where b=False]*
and *try-gpv-colossless [simp] = try-gpv-gen-lossless [where b=True]*

lemma *try-gpv-bind-gen-lossless*: — TODO: generalise to arbitrary typings?

gen-lossless-gpv b \mathcal{I} -full gpv $\implies TRY \text{ bind-gpv gpv } f \text{ ELSE } \text{gpv}' = \text{bind-gpv gpv } (\lambda x. TRY \text{ f } x \text{ ELSE } \text{gpv}')$
proof(*coinduction arbitrary: gpv rule: gpv.coinduct-strong*)
case (*Eq-gpv gpv*)
note [*simp*] = *spmfm-rel-map generat.rel-map map-spmf-bind-spmf*
and [*intro!*] = *rel-spmf-reflI rel-generat-reflI rel-funI*
show ?*case using gen-lossless-gpvD [OF Eq-gpv]*
by(*auto 4 3 simp del: bind-gpv-sel' simp add: bind-gpv.sel try-spmf-bind-spmf-lossless split: generat.split intro!: rel-spmf-bind-reflI rel-spmf-try-spmf*)
qed

— We instantiate the parameter *b* such that it can be used as a conditional simp

rule.

lemmas *try-gpv-bind-lossless* = *try-gpv-bind-gen-lossless*[**where** *b=False*]
and *try-gpv-bind-colossless* = *try-gpv-bind-gen-lossless*[**where** *b=True*]

lemma *try-gpv-cong*:

$\llbracket \text{gpv} = \text{gpv}''; \neg \text{colossless-gpv } \mathcal{I}\text{-full } \text{gpv}'' \implies \text{gpv}' = \text{gpv}''' \rrbracket$
 $\implies \text{try-gpv } \text{gpv } \text{gpv}' = \text{try-gpv } \text{gpv}'' \text{gpv}'''$

by(*cases colossless-gpv I-full gpv'' simp-all*)

4.15 Sequencing with failure handling included

definition *catch-gpv* :: ('a, 'out, 'in) gpv \Rightarrow ('a option, 'out, 'in) gpv
where *catch-gpv gpv* = *TRY map-gpv Some id gpv ELSE Done None*

lemma *catch-gpv-Done* [*simp*]: *catch-gpv (Done x) = Done (Some x)*
by(*simp add: catch-gpv-def*)

lemma *catch-gpv-Fail* [*simp*]: *catch-gpv Fail = Done None*
by(*simp add: catch-gpv-def*)

lemma *catch-gpv-Pause* [*simp*]: *catch-gpv (Pause out rpv) = Pause out (λ input.
catch-gpv (rpv input))*
by(*simp add: catch-gpv-def*)

lemma *catch-gpv-lift-spmf* [*simp*]: *catch-gpv (lift-spmf p) = lift-spmf (spmf-of-pmf
p)*
by(*rule gpv.expand*)(*auto simp add: catch-gpv-def spmf-of-pmf-def map-lift-spmf
try-spmf-def o-def map-pmf-def bind-assoc-pmf bind-return-pmf intro!: bind-pmf-cong[OF
refl] split: option.split*)

lemma *catch-gpv-assert* [*simp*]: *catch-gpv (assert-gpv b) = Done (assert-option b)*
by(*cases b simp-all*)

lemma *catch-gpv-sel* [*simp*]:

the-gpv (catch-gpv gpv) =
*TRY map-spmf (map-generat Some id (λ rpv input. *catch-gpv (rpv input)*))*
(the-gpv gpv)
ELSE return-spmf (Pure None)

by(*simp add: catch-gpv-def gpv.map-sel spmf.map-comp o-def generat.map-comp
map-try-spmf id-def*)

lemma *catch-gpv-bind-gpv*: *catch-gpv (bind-gpv gpv f) = bind-gpv (catch-gpv gpv)*
($\lambda x. \text{case } x \text{ of } \text{None} \Rightarrow \text{Done None} \mid \text{Some } x' \Rightarrow \text{catch-gpv } (f x')$)

using [*show-variants*]

apply(*coinduction arbitrary: gpv rule: gpv.coinduct-strong*)

apply(*clarsimp simp add: map-bind-pmf bind-gpv.sel spmf.map-comp o-def[abs-def]
map-bind-spmf generat.map-comp simp del: bind-gpv-sel'*)

apply(*subst bind-spmf-def*)

apply(*subst try-spmf-bind-pmf*)

```

apply(subst (2) try-spmf-def)
apply(subst bind-spmf-pmf-assoc)
apply(simp add: bind-map-pmf)
apply(rule rel-pmf-bind-refl)
apply(auto split!: option.split generat.split simp add: spmf-rel-map spmf.map-comp
o-def generat.map-comp id-def[symmetric] generat.map-id rel-spmf-refl generat.rel-refl
refl rel-fun-def)
done

```

context includes *lifting-syntax* **begin**

lemma *catch-gpv-parametric* [transfer-rule]:

(rel-gpv A C ==> rel-gpv (rel-option A) C) *catch-gpv catch-gpv*

unfolding *catch-gpv-def* **by** *transfer-prover*

lemma *catch-gpv-parametric'*:

notes [transfer-rule] = *try-gpv-parametric' map-gpv-parametric' Done-parametric'*

shows (rel-gpv'' A C R ==> rel-gpv'' (rel-option A) C R) *catch-gpv catch-gpv*

unfolding *catch-gpv-def* **by** *transfer-prover*

end

lemma *catch-gpv-map'*: *catch-gpv (map-gpv' f g h gpv) = map-gpv' (map-option f) g h (catch-gpv gpv)*

by(simp add: *catch-gpv-def map'-try-gpv map-gpv-conv-map-gpv' map-gpv'-comp o-def*)

lemma *catch-gpv-map*: *catch-gpv (map-gpv f g gpv) = map-gpv (map-option f) g (catch-gpv gpv)*

by(simp add: *map-gpv-conv-map-gpv' catch-gpv-map'*)

lemma *colossless-gpv-catch-gpv* [simp]: *colossless-gpv I-full (catch-gpv gpv)*

by(*coinduction arbitrary: gpv auto*)

lemma *colossless-gpv-catch-gpv-conv-map*:

colossless-gpv I-full gpv ==> catch-gpv gpv = map-gpv Some id gpv

apply(*coinduction arbitrary: gpv*)

apply(*frule colossless-gpv-lossless-spmfD*)

apply(*auto simp add: spmf-rel-map gpv.map-sel generat.rel-map intro!: rel-spmf-refl generat.rel-refl-strong rel-funI elim!: colossless-gpv-continuationD generat.set-cases*)

done

lemma *catch-gpv-catch-gpv* [simp]: *catch-gpv (catch-gpv gpv) = map-gpv Some id (catch-gpv gpv)*

by(simp add: *colossless-gpv-catch-gpv-conv-map*)

lemma *case-map-resumption*:

case-resumption done pause (map-resumption f g r) =

case-resumption (done o map-option f) (λout c. pause (g out) (map-resumption f g o c)) r

by(*cases r simp-all*)

lemma *catch-gpv-lift-resumption* [simp]: *catch-gpv (lift-resumption r) = lift-resumption (map-resumption Some id r)*
apply(*coinduction arbitrary: r*)
apply(*auto simp add: lift-resumption.sel case-map-resumption split: resumption.split option.split*)
oops

lemma *results-gpv-catch-gpv*:
results-gpv I (catch-gpv gpv) = Some ' results-gpv I gpv ∪ (if colossless-gpv I gpv then {} else {None})
by(*simp add: catch-gpv-def*)

lemma *Some-in-results-gpv-catch-gpv* [simp]:
Some x ∈ results-gpv I (catch-gpv gpv) ⟷ x ∈ results-gpv I gpv
by(*auto simp add: results-gpv-catch-gpv*)

lemma *None-in-results-gpv-catch-gpv* [simp]:
None ∈ results-gpv I (catch-gpv gpv) ⟷ ¬ colossless-gpv I gpv
by(*auto simp add: results-gpv-catch-gpv*)

lemma *results'-gpv-catch-gpv*:
results'-gpv (catch-gpv gpv) = Some ' results'-gpv gpv ∪ (if colossless-gpv I-full gpv then {} else {None})
by(*simp add: results-gpv-I-full[symmetric] results-gpv-catch-gpv*)

lemma *Some-in-results'-gpv-catch-gpv* [simp]:
Some x ∈ results'-gpv (catch-gpv gpv) ⟷ x ∈ results'-gpv gpv
by(*simp add: results-gpv-I-full[symmetric]*)

lemma *None-in-results'-gpv-catch-gpv* [simp]:
None ∈ results'-gpv (catch-gpv gpv) ⟷ ¬ colossless-gpv I-full gpv
by(*simp add: results-gpv-I-full[symmetric]*)

lemma *results'-gpv-catch-gpvE*:
assumes *x ∈ results'-gpv (catch-gpv gpv)*
obtains (*Some*) *x'*
where *x = Some x' x' ∈ results'-gpv gpv*
| (*colossless*) *x = None ¬ colossless-gpv I-full gpv*
using *assms by*(*auto simp add: results'-gpv-catch-gpv split: if-split-asm*)

lemma *outs'-gpv-catch-gpv* [simp]: *outs'-gpv (catch-gpv gpv) = outs'-gpv gpv*
by(*simp add: catch-gpv-def*)

lemma *pred-gpv-catch-gpv* [simp]: *pred-gpv (pred-option P) Q (catch-gpv gpv) = pred-gpv P Q gpv*
by(*simp add: pred-gpv-def results'-gpv-catch-gpv*)

abbreviation *bind-gpv'* :: (*'a, 'call, 'ret*) *gpv* ⇒ (*'a option* ⇒ (*'b, 'call, 'ret*) *gpv*)

$\Rightarrow ('b, 'call, 'ret) gpv$
where $bind-gpv' gpv \equiv bind-gpv (catch-gpv gpv)$

lemma $bind-gpv'-assoc$ [*simp*]: $bind-gpv' (bind-gpv' gpv f) g = bind-gpv' gpv (\lambda x. bind-gpv' (f x) g)$
by (*simp add: catch-gpv-bind-gpv bind-map-gpv o-def bind-gpv-assoc*)

lemma $bind-gpv'-bind-gpv$: $bind-gpv' (bind-gpv gpv f) g = bind-gpv' gpv (case-option (g None) (\lambda y. bind-gpv' (f y) g))$
by (*clarsimp simp add: catch-gpv-bind-gpv bind-gpv-assoc intro!: bind-gpv-cong[OF refl] split: option.split*)

lemma $bind-gpv'-cong$:
 $\llbracket gpv = gpv'; \bigwedge x. x \in Some \text{ 'results'-gpv } gpv' \vee (\neg colossless-gpv \mathcal{I}\text{-full } gpv \wedge x = None) \implies f x = f' x \rrbracket$
 $\implies bind-gpv' gpv f = bind-gpv' gpv' f'$
by (*auto elim: results'-gpv-catch-gpvE split: if-split-asm intro!: bind-gpv-cong[OF refl]*)

lemma $bind-gpv'-cong2$:
 $\llbracket gpv = gpv'; \bigwedge x. x \in results'-gpv gpv' \implies f (Some x) = f' (Some x); \neg colossless-gpv \mathcal{I}\text{-full } gpv \implies f None = f' None \rrbracket$
 $\implies bind-gpv' gpv f = bind-gpv' gpv' f'$
by (*rule bind-gpv'-cong*) *auto*

4.16 Inlining

lemma $gpv-coinduct-bind$ [*consumes 1, case-names Eq-gpv*]:
fixes $gpv gpv' :: ('a, 'call, 'ret) gpv$
assumes $*$: $R gpv gpv'$
and *step*: $\bigwedge gpv gpv'. R gpv gpv'$
 $\implies rel-spmf (rel-generat (=) (=) (rel-fun (=) (\lambda gpv gpv'. R gpv gpv' \vee gpv = gpv' \vee$
 $(\exists gpv2 :: ('b, 'call, 'ret) gpv. \exists gpv2' :: ('c, 'call, 'ret) gpv. \exists f f'. gpv =$
 $bind-gpv gpv2 f \wedge gpv' = bind-gpv gpv2' f' \wedge$
 $rel-gpv (\lambda x y. R (f x) (f' y)) (=) gpv2 gpv2'))$
 $(the-gpv gpv) (the-gpv gpv'))$
shows $gpv = gpv'$
proof –
fix $x y$
define $gpv1 :: ('b, 'call, 'ret) gpv$
and $f :: 'b \Rightarrow ('a, 'call, 'ret) gpv$
and $gpv1' :: ('c, 'call, 'ret) gpv$
and $f' :: 'c \Rightarrow ('a, 'call, 'ret) gpv$
where $gpv1 = Done x$
and $f = (\lambda \cdot. gpv)$
and $gpv1' = Done y$

```

    and f' = (λ-. gpv')
  from * have rel-gpv (λx y. R (f x) (f' y)) (=) gpv1 gpv1'
    by(simp add: gpv1-def gpv1'-def f-def f'-def)
  then have gpv1 ≫= f = gpv1' ≫= f'
  proof(coinduction arbitrary: gpv1 gpv1' f f' rule: gpv.coinduct-strong)
    case (Eq-gpv gpv1 gpv1' f f')
  from Eq-gpv[simplified gpv.rel-sel] show ?case unfolding bind-gpv.sel spmf-rel-map
    apply(rule rel-spmf-bindI)
    subgoal for generat generat'
      apply(cases generat generat' rule: generat.exhaust[case-product generat.exhaust];
        clarsimp simp add: o-def spmf-rel-map generat.rel-map)
      subgoal premises Pure for x y
        using step[OF ⟨R (f x) (f' y)⟩] apply -
          apply(assumption | rule rel-spmf-mono rel-generat-mono rel-fun-mono
            refl)+
          apply(fastforce intro: exI[where x=Done -])+
          done
        subgoal by(fastforce simp add: rel-fun-def)
          done
      done
    qed
  thus ?thesis by(simp add: gpv1-def gpv1'-def f-def f'-def)
  qed

```

Inlining one gpv into another. This may throw out arbitrarily many interactions between the two gpv's if the inlined one does not call its callee. So we define it as the coiteration of a least-fixpoint search operator.

context

fixes callee :: 's ⇒ 'call ⇒ ('ret × 's, 'call', 'ret') gpv

notes [[function-internals]]

begin

partial-function (spm_f) inline1

:: ('a, 'call, 'ret) gpv ⇒ 's

⇒ ('a × 's + 'call' × ('ret × 's, 'call', 'ret') rpv × ('a, 'call, 'ret) rpv) spm_f

where

inline1 gpv s =

the-gpv gpv ≫=

case-generat (λx. return-spm_f (Inl (x, s)))

(λout rpv. the-gpv (callee s out) ≫=

case-generat (λ(x, y). inline1 (rpv x) y)

(λout rpv'. return-spm_f (Inr (out, rpv', rpv))))

lemma inline1-unfold:

inline1 gpv s =

the-gpv gpv ≫=

case-generat (λx. return-spm_f (Inl (x, s)))

(λout rpv. the-gpv (callee s out) ≫=

case-generat (λ(x, y). inline1 (rpv x) y)

($\lambda out\ rpv'.\ return\text{-}spmf\ (Inr\ (out,\ rpv',\ rpv))$)
by(*fact inline1.simps*)

lemma *inline1-fixp-induct* [*case-names adm bottom step*]:

assumes *ccpo.admissible* (*fun-lub lub-spmf*) (*fun-ord* (*ord-spmf* (=))) ($\lambda inline1'$.
 $P\ (\lambda gpv\ s.\ inline1'\ (gpv,\ s))$)
and $P\ (\lambda -.\ return\text{-}pmf\ None)$
and $\bigwedge inline1'.\ P\ inline1' \implies P\ (\lambda gpv\ s.\ the\text{-}gpv\ gpv \ggg case\text{-}generat\ (\lambda x.\$
 $return\text{-}spmf\ (Inl\ (x,\ s)))\ (\lambda out\ rpv.\ the\text{-}gpv\ (callee\ s\ out) \ggg case\text{-}generat\ (\lambda(x,\$
 $y).\ inline1'\ (rpv\ x)\ y)\ (\lambda out\ rpv'.\ return\text{-}spmf\ (Inr\ (out,\ rpv',\ rpv))))$)
shows $P\ inline1$
using *assms* **by**(*rule inline1.fixp-induct[unfolded curry-conv[abs-def]]*)

lemma *inline1-fixp-induct-strong* [*case-names adm bottom step*]:

assumes *ccpo.admissible* (*fun-lub lub-spmf*) (*fun-ord* (*ord-spmf* (=))) ($\lambda inline1'$.
 $P\ (\lambda gpv\ s.\ inline1'\ (gpv,\ s))$)
and $P\ (\lambda -.\ return\text{-}pmf\ None)$
and $\bigwedge inline1'.\ \llbracket \bigwedge gpv\ s.\ ord\text{-}spmf\ (=)\ (inline1'\ gpv\ s)\ (inline1\ gpv\ s); P\ inline1' \rrbracket$
 $\implies P\ (\lambda gpv\ s.\ the\text{-}gpv\ gpv \ggg case\text{-}generat\ (\lambda x.\ return\text{-}spmf\ (Inl\ (x,\ s)))\ (\lambda out$
 $rpv.\ the\text{-}gpv\ (callee\ s\ out) \ggg case\text{-}generat\ (\lambda(x,\ y).\ inline1'\ (rpv\ x)\ y)\ (\lambda out\ rpv'.$
 $return\text{-}spmf\ (Inr\ (out,\ rpv',\ rpv))))$)
shows $P\ inline1$
using *assms* **by**(*rule spmf.fixp-strong-induct-uc* **where** $P=\lambda f.\ P\ (curry\ f)$ **and**
 $U=case\text{-}prod$ **and** $C=curry$, *OF inline1.mono inline1-def, simplified curry-case-prod,*
simplified curry-conv[abs-def] fun-ord-def split-paired-All prod.case case-prod-eta,
OF refl) *blast+*

lemma *inline1-fixp-induct-strong2* [*case-names adm bottom step*]:

assumes *ccpo.admissible* (*fun-lub lub-spmf*) (*fun-ord* (*ord-spmf* (=))) ($\lambda inline1'$.
 $P\ (\lambda gpv\ s.\ inline1'\ (gpv,\ s))$)
and $P\ (\lambda -.\ return\text{-}pmf\ None)$
and $\bigwedge inline1'.$
 $\llbracket \bigwedge gpv\ s.\ ord\text{-}spmf\ (=)\ (inline1'\ gpv\ s)\ (inline1\ gpv\ s);$
 $\bigwedge gpv\ s.\ ord\text{-}spmf\ (=)\ (inline1'\ gpv\ s)\ (the\text{-}gpv\ gpv \ggg case\text{-}generat\ (\lambda x.$
 $return\text{-}spmf\ (Inl\ (x,\ s)))\ (\lambda out\ rpv.\ the\text{-}gpv\ (callee\ s\ out) \ggg case\text{-}generat\ (\lambda(x,\$
 $y).\ inline1'\ (rpv\ x)\ y)\ (\lambda out\ rpv'.\ return\text{-}spmf\ (Inr\ (out,\ rpv',\ rpv))))$);
 $P\ inline1' \rrbracket$
 $\implies P\ (\lambda gpv\ s.\ the\text{-}gpv\ gpv \ggg case\text{-}generat\ (\lambda x.\ return\text{-}spmf\ (Inl\ (x,\ s)))\ (\lambda out$
 $rpv.\ the\text{-}gpv\ (callee\ s\ out) \ggg case\text{-}generat\ (\lambda(x,\ y).\ inline1'\ (rpv\ x)\ y)\ (\lambda out\ rpv'.$
 $return\text{-}spmf\ (Inr\ (out,\ rpv',\ rpv))))$)
shows $P\ inline1$
using *assms*
by(*rule spmf.fixp-induct-strong2-uc* **where** $P=\lambda f.\ P\ (curry\ f)$ **and** $U=case\text{-}prod$
and $C=curry$, *OF inline1.mono inline1-def, simplified curry-case-prod, simplified*
curry-conv[abs-def] fun-ord-def split-paired-All prod.case case-prod-eta, OF refl)
blast+

Iterate *local.inline1* over all interactions. We'd like to use (\ggg) before the

recursive call, but `primcorec` does not support this. So we emulate (\gg) by effectively defining two mutually recursive functions (sum type in the argument) where the second is exactly (\gg) specialised to call `inline` in the `bind`.

primcorec `inline-aux`

```

:: ('a, 'call, 'ret) gpv × 's + ('ret ⇒ ('a, 'call, 'ret) gpv) × ('ret × 's, 'call',
'ret') gpv
⇒ ('a × 's, 'call', 'ret') gpv

```

where

```

∧ state. the-gpv (inline-aux state) =
(case state of Inl (c, s) ⇒ map-spmf (λresult.
  case result of Inl (x, s) ⇒ Pure (x, s)
  | Inr (out, oracle, rpv) ⇒ IO out (λinput. inline-aux (Inr (rpv, oracle input))))
(inline1 c s)
| Inr (rpv, c) ⇒
  map-spmf (λresult.
    case result of Inl (Inl (x, s)) ⇒ Pure (x, s)
    | Inl (Inr (out, oracle, rpv)) ⇒ IO out (λinput. inline-aux (Inr (rpv, oracle
input))))
  | Inr (out, c) ⇒ IO out (λinput. inline-aux (Inr (rpv, c input))))
(bind-spmf (the-gpv c) (λgenerat. case generat of Pure (x, s') ⇒ (map-spmf Inl
(inline1 (rpv x) s')))
| IO out c ⇒ return-spmf (Inr (out, c)))
))

```

declare `inline-aux.simps[simp del]`

definition `inline` :: ('a, 'call, 'ret) gpv ⇒ 's ⇒ ('a × 's, 'call', 'ret') gpv

where `inline c s = inline-aux (Inl (c, s))`

lemma `inline-aux-Inr`:

```
inline-aux (Inr (rpv, oracl)) = bind-gpv oracl (λ(x, s). inline (rpv x) s)
```

unfolding `inline-def`

apply(`coinduction arbitrary: oracl rule: gpv.coinduct-strong`)

apply(`simp add: inline-aux.sel bind-gpv.sel spmf-rel-map del: bind-gpv.sel'`)

apply(`rule rel-spmf-bindI[where R=(=)]`)

apply(`auto simp add: spmf-rel-map inline-aux.sel rel-spmf-reflI generat.rel-map generat.rel-refl rel-fun-def split: generat.split`)

done

lemma `inline-sel`:

```
the-gpv (inline c s) =
```

```
  map-spmf (λresult. case result of Inl xs ⇒ Pure xs
```

```
    | Inr (out, oracle, rpv) ⇒ IO out (λinput. bind-gpv (oracle
input) (λ(x, s'). inline (rpv x) s')) (inline1 c s)
```

by(`simp add: inline-def inline-aux.sel inline-aux-Inr cong del: sum.case-cong`)

lemma `inline1-Fail [simp]: inline1 Fail s = return-pmf None`

by(`rewrite inline1.simps) simp`

lemma *inline-Fail* [*simp*]: *inline Fail s = Fail*
by(*rule gpv.expand*)(*simp add: inline-sel*)

lemma *inline1-Done* [*simp*]: *inline1 (Done x) s = return-spmf (Inl (x, s))*
by(*rewrite inline1.simps*) *simp*

lemma *inline-Done* [*simp*]: *inline (Done x) s = Done (x, s)*
by(*rule gpv.expand*)(*simp add: inline-sel*)

lemma *inline1-lift-spmf* [*simp*]: *inline1 (lift-spmf p) s = map-spmf ($\lambda x. \text{Inl } (x, s)$) p*
by(*rewrite inline1.simps*)(*simp add: bind-map-spmf o-def map-spmf-conv-bind-spmf*)

lemma *inline-lift-spmf* [*simp*]: *inline (lift-spmf p) s = lift-spmf (map-spmf ($\lambda x. \text{Inl } (x, s)$) p)*
by(*rule gpv.expand*)(*simp add: inline-sel spmf.map-comp o-def*)

lemma *inline1-Pause*:
inline1 (Pause out c) s =
the-gpv (callee s out) \gg ($\lambda \text{react}. \text{case react of Pure } (x, s') \Rightarrow \text{inline1 } (c x) s' \mid$
IO out' c' \Rightarrow return-spmf (Inr (out', c', c)))
by(*rewrite inline1.simps*) *simp*

lemma *inline-Pause* [*simp*]:
inline (Pause out c) s = callee s out \gg ($\lambda(x, s'). \text{inline } (c x) s'$)
by(*rule gpv.expand*)(*auto simp add: inline-sel inline1-Pause map-spmf-bind-spmf*
bind-gpv.sel o-def[abs-def] spmf.map-comp generat.map-comp id-def generat.map-id[unfolded
id-def] simp del: bind-gpv-sel' intro!: bind-spmf-cong[OF refl] split: generat.split)

lemma *inline1-bind-gpv*:
fixes *gpv f s*
defines [*simp*]: *inline11 \equiv inline1 and* [*simp*]: *inline12 \equiv inline1 and* [*simp*]:
inline13 \equiv inline1
shows *inline11 (bind-gpv gpv f) s = bind-spmf (inline12 gpv s)*
($\lambda \text{res}. \text{case res of Inl } (x, s') \Rightarrow \text{inline13 } (f x) s' \mid \text{Inr } (out, rpv', rpv) \Rightarrow$
return-spmf (Inr (out, rpv', bind-rpv rpv f)))
(is ?lhs = ?rhs)
proof(*rule spmf.leq-antisym*)
note [*intro!*] = *ord-spmf-bind-refl* **and** [*split*] = *generat.split*
show *ord-spmf (=) ?lhs ?rhs unfolding inline11-def*
proof(*induction arbitrary: gpv s f rule: inline1-fixp-induct*)
case adm show ?case by simp
case bottom show ?case by simp
case (step inline1')
show ?case unfolding inline12-def
apply(*rewrite inline1.simps; clarsimp simp add: bind-rpv-def*)
apply(*rule conjI; clarsimp*)
subgoal premises Pure for x

```

apply(rewrite inline1.simps; clarsimp)
subgoal for out c ret s' using step.IH[of Done x λ-. c ret s'] by simp
done
subgoal for out c ret s' using step.IH[of c ret f s'] by(simp cong del:
sum.case-cong-weak)
done
qed
show ord-spmf (=) ?rhs ?lhs unfolding inline12-def
proof(induction arbitrary: gpv s rule: inline1-fixp-induct)
case adm show ?case by simp
case bottom show ?case by simp
case (step inline1')
show ?case unfolding inline11-def
apply(rewrite inline1.simps; clarsimp simp add: bind-rpv-def)
apply(rule conjI; clarsimp)
subgoal by(rewrite inline1.simps; simp)
subgoal for out c ret s' using step.IH[of c ret s'] by(simp cong del:
sum.case-cong-weak)
done
qed
qed

```

```

lemma inline-bind-gpv [simp]:
  inline (bind-gpv gpv f) s = bind-gpv (inline gpv s) (λ(x, s'). inline (f x) s')
apply(coinduction arbitrary: gpv s rule: gpv-coinduct-bind)
apply(clarsimp simp add: map-spmf-bind-spmf o-def[abs-def] bind-gpv.sel inline-sel
bind-map-spmf inline1-bind-gpv simp del: bind-gpv-sel' intro!: rel-spmf-bind-reflI
split: generat.split)
apply(rule conjI)
subgoal by(auto split: sum.split-asm simp add: spmf-rel-map spmf.map-comp
o-def generat.map-comp generat.map-id[unfolded id-def] spmf.map-id[unfolded id-def]
inline-sel intro!: rel-spmf-reflI generat.rel-refl fun.rel-refl)
by(auto split: sum.split-asm simp add: bind-gpv-assoc split-def intro!: gpv.rel-refl
exI disjI2 rel-funI)

end

```

```

lemma set-inline1-lift-spmf1: set-spmf (inline1 (λs x. lift-spmf (p s x)) gpv s) ⊆
range Inl
apply(induction arbitrary: gpv s rule: inline1-fixp-induct)
subgoal by(rule cont-intro ccpo-class.admissible-leI)+
apply(auto simp add: o-def bind-UNION split: generat.split-asm)+
done

```

```

lemma in-set-inline1-lift-spmf1: y ∈ set-spmf (inline1 (λs x. lift-spmf (p s x))
gpv s) ⇒ ∃ r s'. y = Inl (r, s')
by(drule set-inline1-lift-spmf1[THEN subsetD]) auto

```

```

lemma inline-lift-spmf1:

```

fixes p **defines** $callee \equiv \lambda s c. lift\text{-}spmf\ (p\ s\ c)$
shows $inline\ callee\ gpv\ s = lift\text{-}spmf\ (map\text{-}spmf\ projl\ (inline1\ callee\ gpv\ s))$
by($rule\ gpv.expand$)($auto\ simp\ add: inline\text{-}sel\ spmf.map\text{-}comp\ callee\text{-}def\ intro!:$
 $map\text{-}spmf\ cong[OF\ refl]\ dest: in\text{-}set\ inline1\ lift\text{-}spmf1$)

context includes $lifting\text{-}syntax$ **begin**

lemma $inline1\text{-}parametric'$:

$((S \text{====>} C \text{====>} rel\text{-}gpv''\ (rel\text{-}prod\ R\ S)\ C'\ R') \text{====>} rel\text{-}gpv''\ A\ C\ R$
 $\text{====>} S$

$\text{====>} rel\text{-}spmf\ (rel\text{-}sum\ (rel\text{-}prod\ A\ S)\ (rel\text{-}prod\ C'\ (rel\text{-}prod\ (R'\ \text{====>} rel\text{-}gpv''\ (rel\text{-}prod\ R\ S)\ C'\ R'))\ (R\ \text{====>} rel\text{-}gpv''\ A\ C\ R))))$

$inline1\ inline1$

(**is** $(- \text{====>} ?R) - -$)

proof($rule\ rel\text{-}funI$)

note [$transfer\text{-}rule$] = $the\text{-}gpv\text{-}parametric'$

show $?R\ (inline1\ callee)\ (inline1\ callee')$

if [$transfer\text{-}rule$]: $(S \text{====>} C \text{====>} rel\text{-}gpv''\ (rel\text{-}prod\ R\ S)\ C'\ R')\ callee$
 $callee'$

for $callee\ callee'$

unfolding $inline1\text{-}def$

by($unfold\ rel\text{-}fun\text{-}curry\ case\text{-}prod\ curry$)($rule\ fixp\text{-}spmf\text{-}parametric[OF\ inline1.mono$
 $inline1.mono]$; $transfer\text{-}prover$)

qed

lemma $inline1\text{-}parametric\ [transfer\text{-}rule]$:

$((S \text{====>} C \text{====>} rel\text{-}gpv\ (rel\text{-}prod\ (=)\ S)\ C') \text{====>} rel\text{-}gpv\ A\ C \text{====>} S$
 $\text{====>} rel\text{-}spmf\ (rel\text{-}sum\ (rel\text{-}prod\ A\ S)\ (rel\text{-}prod\ C'\ (rel\text{-}prod\ (rel\text{-}rpv\ (rel\text{-}prod$
 $(=)\ S)\ C')\ (rel\text{-}rpv\ A\ C))))$

$inline1\ inline1$

unfolding $rel\text{-}gpv\text{-}conv\text{-}rel\text{-}gpv''$ **by**($rule\ inline1\text{-}parametric'$)

lemma $inline\text{-}parametric'$:

notes [$transfer\text{-}rule$] = $inline1\text{-}parametric'\ the\text{-}gpv\text{-}parametric'\ corec\text{-}gpv\text{-}parametric'$

shows $((S \text{====>} C \text{====>} rel\text{-}gpv''\ (rel\text{-}prod\ R\ S)\ C'\ R') \text{====>} rel\text{-}gpv''\ A$
 $C\ R \text{====>} S \text{====>} rel\text{-}gpv''\ (rel\text{-}prod\ A\ S)\ C'\ R')$

$inline\ inline$

unfolding $inline\text{-}def[abs\text{-}def]\ inline\text{-}aux\text{-}def$

apply($rule\ rel\text{-}funI$)**+**

subgoal premises [$transfer\text{-}rule$] **by** $transfer\text{-}prover$

done

lemma $inline\text{-}parametric\ [transfer\text{-}rule]$:

$((S \text{====>} C \text{====>} rel\text{-}gpv\ (rel\text{-}prod\ (=)\ S)\ C') \text{====>} rel\text{-}gpv\ A\ C \text{====>} S$
 $\text{====>} rel\text{-}gpv\ (rel\text{-}prod\ A\ S)\ C')$

$inline\ inline$

unfolding $rel\text{-}gpv\text{-}conv\text{-}rel\text{-}gpv''$ **by**($rule\ inline\text{-}parametric'$)

end

Associativity rule for $inline$

context

fixes *callee1* :: 's1 ⇒ 'c1 ⇒ ('r1 × 's1, 'c, 'r) *gpv*

and *callee2* :: 's2 ⇒ 'c2 ⇒ ('r2 × 's2, 'c1, 'r1) *gpv*

begin

partial-function (*spmf*) *inline2* :: ('a, 'c2, 'r2) *gpv* ⇒ 's2 ⇒ 's1

⇒ ('a × ('s2 × 's1) + 'c × ('r1 × 's1, 'c, 'r) *rpv* × ('r2 × 's2, 'c1, 'r1) *rpv* × ('a, 'c2, 'r2) *rpv*) *spmf*

where

inline2 gpv s2 s1 =

bind-spmf (*the-gpv gpv*)

(*case-generat* (λ*x*. *return-spmf* (*Inl* (*x*, *s2*, *s1*)))

(λ*out rpv*. *bind-spmf* (*inline1 callee1* (*callee2 s2 out*) *s1*))

(*case-sum* (λ((*r2*, *s2*), *s1*). *inline2* (*rpv r2*) *s2 s1*)

(λ(*x*, *rpv''*, *rpv'*). *return-spmf* (*Inr* (*x*, *rpv''*, *rpv'*, *rpv*))))))

lemma *inline2-fixp-induct* [*case-names adm bottom step*]:

assumes *ccpo.admissibile* (*fun-lub lub-spmf*) (*fun-ord* (*ord-spmf* (=))) (λ*inline2*.

P (λ*gpv s2 s1*. *inline2* ((*gpv*, *s2*), *s1*)))

and *P* (λ- - -. *return-pmf None*)

and ∧*inline2'*. *P inline2' ⇒*

P (λ*gpv s2 s1*. *bind-spmf* (*the-gpv gpv*) (λ*generat*. *case generat of*

Pure x ⇒ return-spmf (*Inl* (*x*, *s2*, *s1*))

| *IO out rpv ⇒ bind-spmf* (*inline1 callee1* (*callee2 s2 out*) *s1*) (λ*lr*. *case lr*

of

Inl ((*r2*, *s2*), *c*) ⇒ *inline2'* (*rpv r2*) *s2 c*

| *Inr* (*x*, *rpv''*, *rpv'*) ⇒ *return-spmf* (*Inr* (*x*, *rpv''*, *rpv'*, *rpv*))))))

shows *P inline2*

using *assms unfolding split-def by*(*rule inline2.fixp-induct*[*unfolded curry-conv*[*abs-def*]*split-def*])

lemma *inline1-inline-conv-inline2*:

fixes *gpv'* :: ('r2 × 's2, 'c1, 'r1) *gpv*

shows *inline1 callee1* (*inline callee2 gpv s2*) *s1* =

map-spmf (*map-sum* (λ(*x*, (*s2*, *s1*)). ((*x*, *s2*), *s1*))

(λ(*x*, *rpv''*, *rpv'*, *rpv*). (*x*, *rpv''*, λ*r1*. *rpv' r1* ≍ (λ(*r2*, *s2*). *inline callee2* (*rpv*

r2) *s2*))))))

(*inline2 gpv s2 s1*)

(**is** ?*lhs* = ?*rhs*)

proof(*rule spmf.leq-antisym*)

define *inline1-1* :: ('s1 ⇒ 'c1 ⇒ ('r1 × 's1, 'c, 'r) *gpv*) ⇒ ('r2 × 's2, 'c1, 'r1)

gpv ⇒ 's1 ⇒ -

where *inline1-1* = *inline1*

have *ord-spmf* (=) ?*lhs* ?*rhs*

— We need in the inductive step that the approximation behaves well with (≍) because of *inline-aux-Inr*. So we have to thread it through the induction and do one half of the proof from *inline1-bind-gpv* again. We cannot inline *inline1-bind-gpv* in this proof here because the types are too specific.

and *ord-spmf* (=) (*inline1 callee1* (*gpv' ≍ f*) *s1'*)

```

(do {
  res ← inline1-1 callee1 gpv' s1';
  case res of Inl (x, s') ⇒ inline1 callee1 (f x) s'
  | Inr (out, rpv', rpv) ⇒ return-spmf (Inr (out, rpv', rpv) ≫= f))
}) for gpv' and f :: - ⇒ ('a × 's2, 'c1, 'r1) gpv and s1'
proof(induction arbitrary: gpv s2 s1 gpv' f s1' rule: inline1-fixp-induct-strong2)
case adm thus ?case
apply(rule cont-intro)+
subgoal for a b c d by(cases d; clarsimp)
done

case (step inline1')
note step-IH = step.IH[unfolded inline1-1-def] and step-hyps = step.hyps[unfolded
inline1-1-def]
{ case 1
  have inline1: ord-spmf (=)
    (inline1 callee2 gpv s2 ≫= (λlr. case lr of Inl as2 ⇒ return-spmf (Inl (as2,
s1))
  | Inr (out1, rpv', rpv) ⇒ the-gpv (callee1 s1 out1) ≫= (λgenerat. case
generat of
    Pure (r1, s1) ⇒ inline1' (bind-gpv (rpv' r1) (λ(r2, s2). inline callee2
(rpv r2) s2)) s1
  | IO out rpv'' ⇒ return-spmf (Inr (out, rpv'', λr1. bind-gpv (rpv' r1)
(λ(r2, s2). inline callee2 (rpv r2) s2)) )))
    (the-gpv gpv ≫= (λgenerat. case generat of Pure x ⇒ return-spmf (Inl ((x,
s2), s1))
  | IO out2 rpv ⇒ inline1-1 callee1 (callee2 s2 out2) s1 ≫= (λlr. case lr of
Inl ((r2, s2), s1) ⇒
    map-spmf (map-sum (λ(x, s2, s1). ((x, s2), s1)) (λ(x, rpv'', rpv',
rpv). (x, rpv'', λr1. bind-gpv (rpv' r1) (λ(r2, s2). inline callee2 (rpv r2) s2))))
    (inline2 (rpv r2) s2 s1)
  | Inr (out, rpv'', rpv) ⇒
    return-spmf (Inr (out, rpv'', λr1. bind-gpv (rpv' r1) (λ(r2, s2).
inline callee2 (rpv r2) s2))))))
  proof(induction arbitrary: gpv s2 s1 rule: inline1-fixp-induct)
  case step2: (step inline1'')
  note step2-IH = step2.IH[unfolded inline1-1-def]

  show ?case unfolding inline1-1-def
  apply(rewrite in ord-spmf - - ▯ inline1.simps)
  apply(clarsimp intro!: ord-spmf-bind-reflI split: generat.split)
  apply(rule conjI)
  subgoal by(rewrite in ord-spmf - - ▯ inline2.simps)(clarsimp simp add:
map-spmf-bind-spmf o-def split: generat.split sum.split intro!: ord-spmf-bind-reflI
spmfl.leq-trans[OF step2-IH])
  subgoal by(clarsimp intro!: ord-spmf-bind-reflI step-IH[THEN spmfl.leq-trans]
split: generat.split sum.split simp add: bind-rpv-def)
  done
qed simp-all

```

```

show ?case
  apply(rewrite in ord-spmf -  $\sqsupset$  - inline-sel)
  apply(rewrite in ord-spmf - -  $\sqsupset$  inline2.simps)
  apply(clarsimp simp add: map-spmf-bind-spmf bind-map-spmf o-def intro!:
ord-spmf-bind-reflI split: generat.split)
  apply(rule spmf.leq-trans[OF spmf.leq-trans, OF - inline1])
  apply(auto intro!: ord-spmf-bind-reflI split: sum.split generat.split simp add:
inline1-1-def map-spmf-bind-spmf)
  done }
{ case 2
  show ?case unfolding inline1-1-def
  by(rewrite inline1.simps)(auto simp del: bind-gpv-sel' simp add: bind-gpv.sel
map-spmf-bind-spmf bind-map-spmf o-def bind-rpv-def intro!: ord-spmf-bind-reflI
step-IH(2)[THEN spmf.leq-trans] step-hyps(2) split: generat.split sum.split) }
qed simp-all
thus ord-spmf (=) ?lhs ?rhs by -

show ord-spmf (=) ?rhs ?lhs
proof(induction arbitrary: gpv s2 s1 rule: inline2-fixp-induct)
  case adm show ?case by simp
  case bottom show ?case by simp
  case (step inline2')
  show ?case
    apply(rewrite in ord-spmf - -  $\sqsupset$  inline1.simps)
    apply(rewrite inline-sel)
    apply(rewrite in ord-spmf -  $\sqsupset$  - inline1.simps)
    apply(rewrite in ord-spmf - -  $\sqsupset$  inline1.simps)
    apply(clarsimp simp add: map-spmf-bind-spmf bind-map-spmf intro!: ord-spmf-bind-reflI
split: generat.split)
    apply(rule conjI)
    subgoal
      apply clarsimp
      apply(rule step.IH[THEN spmf.leq-trans])
      apply(rewrite in ord-spmf -  $\sqsupset$  - inline1.simps)
      apply(rewrite inline-sel)
      apply(simp add: bind-map-spmf)
    done
    subgoal by(clarsimp intro!: ord-spmf-bind-reflI split: generat.split sum.split
simp add: o-def inline1-bind-gpv bind-rpv-def step.IH)
  done
qed
qed

lemma inline1-inline-conv-inline2':
  inline1 ( $\lambda$ (s2, s1) c2. map-gpv ( $\lambda$ ((r, s2), s1). (r, s2, s1)) id (inline callee1
(callee2 s2 c2) s1)) gpv (s2, s1) =
  map-spmf (map-sum id ( $\lambda$ (x, rpv'', rpv'). (x,  $\lambda$ r. bind-gpv (rpv'' r)
( $\lambda$ (r1, s1). map-gpv ( $\lambda$ ((r2, s2), s1). (r2, s2, s1)) id (inline callee1 (rpv'
r1) s1)), rpv)))

```

```

      (inline2 gpv s2 s1)
    (is ?lhs = ?rhs)
  proof(rule spmf.leq-antisym)
    show ord-spmf (=) ?lhs ?rhs
    proof(induction arbitrary: gpv s2 s1 rule: inline1-fixp-induct)
      case (step inline1') show ?case
        by(rewrite inline2.simps)(auto simp add: map-spmf-bind-spmf o-def inline-sel
        gpv.map-sel bind-map-spmf id-def[symmetric] gpv.map-id map-gpv-bind-gpv split-def
        intro!: ord-spmf-bind-reflI step.IH[THEN spmf.leq-trans] split: generat.split sum.split)
      qed simp-all
    show ord-spmf (=) ?rhs ?lhs
    proof(induction arbitrary: gpv s2 s1 rule: inline2-fixp-induct)
      case (step inline2')
      show ?case
        apply(rewrite in ord-spmf - -  $\sqsubset$  inline1.simps)
        apply(clarsimp simp add: map-spmf-bind-spmf bind-rpv-def o-def gpv.map-sel
        bind-map-spmf inline-sel map-gpv-bind-gpv id-def[symmetric] gpv.map-id split-def
        split: generat.split sum.split intro!: ord-spmf-bind-reflI)
        apply(rule spmf.leq-trans[OF spmf.leq-trans, OF - step.IH])
        apply(auto simp add: split-def id-def[symmetric] intro!: ord-spmf-reflI)
      done
    qed simp-all
  qed

```

lemma *inline-assoc*:

```

  inline callee1 (inline callee2 gpv s2) s1 =
    map-gpv ( $\lambda(r, s2, s1). ((r, s2), s1)$ ) id (inline ( $\lambda(s2, s1) c2. map-gpv (\lambda((r,
    s2), s1). (r, s2, s1)) id (inline callee1 (callee2 s2 c2) s1)) gpv (s2, s1))
  proof(coinduction arbitrary: s2 s1 gpv rule: gpv-coinduct-bind[where ?'b = ('r2
   $\times$  's2)  $\times$  's1 and ?'c = ('r2  $\times$  's2)  $\times$  's1])
    case (Eq-gpv s2 s1 gpv)
    have  $\exists gpv2 gpv2' (f :: ('r2 \times 's2) \times 's1 \Rightarrow -) (f' :: ('r2 \times 's2) \times 's1 \Rightarrow -)$ .
      bind-gpv (bind-gpv (rpv'' r) ( $\lambda(r1, s1). inline callee1 (rpv' r1) s1)) (\lambda((r2,
      s2), s1). inline callee1 (inline callee2 (rpv r2) s2) s1) = gpv2  $\ggg$  f  $\wedge$ 
      bind-gpv (bind-gpv (rpv'' r) ( $\lambda(r1, s1). inline callee1 (rpv' r1) s1))
      (\lambda((r2, s2), s1). map-gpv ( $\lambda(r, s2, y). ((r, s2), y)$ ) id (inline ( $\lambda(s2, s1) c2.
      map-gpv (\lambda((r, s2), s1). (r, s2, s1)) id (inline callee1 (callee2 s2 c2) s1)) (rpv
      r2) (s2, s1))) = gpv2'  $\ggg$  f'  $\wedge$ 
      rel-gpv ( $\lambda x y. \exists s2 s1 gpv. f x = inline callee1 (inline callee2 gpv s2) s1 \wedge
      f' y = map-gpv (\lambda(r, s2, y). ((r, s2), y)) id (inline (\lambda(s2, s1) c2.
      map-gpv (\lambda((r, s2), s1). (r, s2, s1)) id (inline callee1 (callee2 s2 c2) s1)) gpv
      (s2, s1)))
      (=) gpv2 gpv2'
    for rpv'' :: ('r1  $\times$  's1, 'c, 'r) rpv and rpv' :: ('r2  $\times$  's2, 'c1, 'r1) rpv and rpv
    :: ('a, 'c2, 'r2) rpv and r :: 'r
    by(auto intro!: exI gpv.rel-refl)
  then show ?case
    apply(subst inline-sel)
    apply(subst gpv.map-sel)$$$$$ 
```

```

apply(subst inline-sel)
apply(subst inline1-inline-conv-inline2)
apply(subst inline1-inline-conv-inline2')
apply(unfold spmf.map-comp o-def case-sum-map-sum spmf-rel-map generat.rel-map)
apply(rule rel-spmf-reflI)
  subgoal for lr by(cases lr)(auto del: disjCI intro!: rel-funI disjI2 simp add:
split-def map-gpv-conv-bind[folded id-def] bind-gpv-assoc)
  done
qed

```

end

```

lemma set-inline2-lift-spmf1: set-spmf (inline2 ( $\lambda s x.$  lift-spmf (p s x)) callee gpv
s s')  $\subseteq$  range Inl
apply(induction arbitrary: gpv s s' rule: inline2-fixp-induct)
subgoal by(rule cont-intro ccpo-class.admissible-leI)+
apply(auto simp add: o-def bind-UNION split: generat.split-asm sum.split-asm
dest!: in-set-inline1-lift-spmf1)
apply blast
done

```

```

lemma in-set-inline2-lift-spmf1:  $y \in$  set-spmf (inline2 ( $\lambda s x.$  lift-spmf (p s x))
callee gpv s s')  $\implies \exists r s s'. y =$  Inl (r, s, s')
by(drule set-inline2-lift-spmf1[THEN subsetD]) auto

```

context

```

  fixes consider' :: 'call  $\Rightarrow$  bool
  and consider :: 'call'  $\Rightarrow$  bool
  and callee :: 's  $\Rightarrow$  'call  $\Rightarrow$  ('ret  $\times$  's, 'call', 'ret') gpv
  notes [[function-internals]]
begin

```

```

private partial-function (spmf) inline1'
  :: ('a, 'call, 'ret) gpv  $\Rightarrow$  's
   $\Rightarrow$  ('a  $\times$  's + 'call  $\times$  'call'  $\times$  ('ret  $\times$  's, 'call', 'ret') rpv  $\times$  ('a, 'call, 'ret) rpv)
  spmf

```

where

```

  inline1' gpv s =
  the-gpv gpv  $\gg$ 
  case-generat ( $\lambda x.$  return-spmf (Inl (x, s)))
  ( $\lambda out rpv.$  the-gpv (callee s out)  $\gg$ 
  case-generat ( $\lambda(x, y).$  inline1' (rpv x) y)
  ( $\lambda out' rpv'.$  return-spmf (Inr (out, out', rpv', rpv))))

```

private lemma inline1'-fixp-induct [case-names adm bottom step]:

```

assumes ccpo.admissible (fun-lub lub-spmf) (fun-ord (ord-spmf (=))) ( $\lambda inline1'.$ 
P ( $\lambda gpv s.$  inline1' (gpv, s)))
and P ( $\lambda -.$  return-pmf None)
and  $\bigwedge inline1'. P inline1' \implies P (\lambda gpv s.$  the-gpv gpv  $\gg$  case-generat ( $\lambda x.$ 

```

$\text{return-spmf } (\text{Inl } (x, s)) (\lambda \text{out } \text{rpv}. \text{the-gpv } (\text{callee } s \text{ out}) \gg= \text{case-generat } (\lambda(x, y). \text{inline1}' (\text{rpv } x) y) (\lambda \text{out}' \text{rpv}'. \text{return-spmf } (\text{Inr } (\text{out}', \text{out}', \text{rpv}', \text{rpv}))))$
shows $P \text{ inline1}'$
using $\text{assms by}(\text{rule } \text{inline1}'.\text{fixp-induct}[\text{unfolded } \text{curry-conv}[\text{abs-def}]])$

private lemma $\text{inline1-conv-inline1}'$: $\text{inline1 } \text{callee } \text{gpv } s = \text{map-spmf } (\text{map-sum } \text{id } \text{snd}) (\text{inline1}' \text{ gpv } s)$
proof($\text{induction arbitrary: gpv } s \text{ rule: parallel-fixp-induct-2-2}[\text{OF } \text{partial-function-definitions-spmf } \text{partial-function-definitions-spmf } \text{inline1.mono } \text{inline1}'.\text{mono } \text{inline1-def } \text{inline1}'\text{-def}, \text{unfolded } \text{lub-spmf-empty}, \text{case-names } \text{adm } \text{bottom } \text{step}]$)
case adm **show** $? \text{case by simp}$
case bottom **show** $? \text{case by simp}$
case ($\text{step } \text{inline1}' \text{ inline1}'$)
thus $? \text{case by}(\text{clar simp } \text{simp } \text{add: map-spmf-bind-spmf } \text{o-def } \text{intro!: bind-spmf-cong}[\text{OF } \text{refl}] \text{ split: generat.split})$
qed

context

fixes $q :: \text{enat}$
assumes $q: \bigwedge s \ x. \text{consider}' x \implies \text{interaction-bound } \text{consider } (\text{callee } s \ x) \leq q$
and $\text{ignore: } \bigwedge s \ x. \neg \text{consider}' x \implies \text{interaction-bound } \text{consider } (\text{callee } s \ x) = 0$
begin

private lemma $\text{interaction-bound-inline1}'\text{-aux}$:

$\text{interaction-bound } \text{consider}' \text{ gpv } \leq p$
 $\implies \text{set-spmf } (\text{inline1}' \text{ gpv } s) \subseteq \{ \text{Inr } (\text{out}', \text{out}', \text{c}', \text{rpv}) \mid \text{out}' \text{ out } \text{c}' \text{ rpv}. \text{if } \text{consider}' \text{ out}'$
 $\text{ then } (\forall \text{input}. (\text{if } \text{consider } \text{out} \text{ then } \text{eSuc } (\text{interaction-bound } \text{consider } (\text{c}' \text{ input})) \text{ else } \text{interaction-bound } \text{consider } (\text{c}' \text{ input})) \leq q) \wedge$
 $(\forall x. \text{eSuc } (\text{interaction-bound } \text{consider}' (\text{rpv } x)) \leq p)$
 $\text{ else } \neg \text{consider } \text{out} \wedge (\forall \text{input}. \text{interaction-bound } \text{consider } (\text{c}' \text{ input}) = 0)$
 $\wedge (\forall x. \text{interaction-bound } \text{consider}' (\text{rpv } x) \leq p) \}$
 $\cup \text{range } \text{Inl}$

proof($\text{induction arbitrary: gpv } s \text{ rule: inline1}'\text{-fixp-induct}$)

{ case adm **show** $? \text{case by}(\text{rule } \text{cont-intro } \text{ccpo-class.admissible-leI})+$ **}**
{ case bottom **show** $? \text{case by simp}$ **}**
case ($\text{step } \text{inline1}'$)
have $*$: $\text{interaction-bound } \text{consider}' (c \ \text{input}) \leq p$ **if** $\text{IO } \text{out } c \in \text{set-spmf } (\text{the-gpv } \text{gpv})$ **for** $\text{out } c \ \text{input}$
by($\text{cases } \text{consider}' \ \text{out}$)($\text{auto } \text{intro: interaction-bound-IO-consider}[\text{OF } \text{that}, \text{THEN } \text{order-trans}, \text{THEN } \text{order-trans}[\text{OF } \text{ile-eSuc}]] \text{interaction-bound-IO-ignore}[\text{OF } \text{that}, \text{THEN } \text{order-trans}] \text{step.premis}$)
have $**$: $\text{if } \text{consider}' \ \text{out}'$
 $\text{ then } (\forall \text{input}. (\text{if } \text{consider } \text{out} \text{ then } \text{eSuc } (\text{interaction-bound } \text{consider } (c \ \text{input})) \text{ else } \text{interaction-bound } \text{consider } (c \ \text{input})) \leq q) \wedge$
 $(\forall x. \text{eSuc } (\text{interaction-bound } \text{consider}' (\text{rpv } x)) \leq p)$
 $\text{ else } \neg \text{consider } \text{out} \wedge (\forall \text{input}. \text{interaction-bound } \text{consider } (c \ \text{input}) = 0) \wedge$
 $(\forall x. \text{interaction-bound } \text{consider}' (\text{rpv } x) \leq p)$
if $\text{IO } \text{out}' \ \text{rpv} \in \text{set-spmf } (\text{the-gpv } \text{gpv})$ $\text{IO } \text{out } c \in \text{set-spmf } (\text{the-gpv } (\text{callee } s$

```

out')
  for out' rpv out c
  proof(cases consider' out')
    case True
    then show ?thesis using that q
      by(auto split del: if-split intro!: interaction-bound-IO[THEN order-trans]
interaction-bound-IO-consider[THEN order-trans] step.prem)
    next
    case False
    have  $\neg$  consider out interaction-bound consider (c input) = 0 for input
      using interaction-bound-IO[OF that(2), of consider input] ignore[OF False,
of s]
    by(auto split: if-split-asm)
    then show ?thesis using False that
      by(auto split del: if-split intro: interaction-bound-IO-ignore[THEN order-trans]
step.prem)
    qed
    show ?case
      by(auto 6 4 simp add: bind-UNION del: subsetI intro!: UN-least intro: step.IH
* ** split: generat.split split del: if-split)
    qed

```

lemma *interaction-bound-inline1'*:

```

[[ Inr (out', out, c', rpv)  $\in$  set-spmf (inline1' gpv s); interaction-bound consider'
gpv  $\leq$  p ]]
 $\implies$  if consider' out' then
  (if consider out then eSuc (interaction-bound consider (c' input)) else
interaction-bound consider (c' input))  $\leq$  q  $\wedge$ 
  eSuc (interaction-bound consider' (rpv x))  $\leq$  p
  else  $\neg$  consider out  $\wedge$  interaction-bound consider (c' input) = 0  $\wedge$  interaction-bound
consider' (rpv x)  $\leq$  p
using interaction-bound-inline1'-aux[where gpv=gpv and p=p and s=s] by(auto
split: if-split-asm)

```

end

lemma *interaction-bounded-by-inline1*:

```

[[ Inr (out', out, c', rpv)  $\in$  set-spmf (inline1' gpv s);
interaction-bounded-by consider' gpv p;
 $\bigwedge$  s x. consider' x  $\implies$  interaction-bounded-by consider (callee s x) q;
 $\bigwedge$  s x.  $\neg$  consider' x  $\implies$  interaction-bounded-by consider (callee s x) 0 ]]
 $\implies$  if consider' out' then
  (if consider out then q  $\neq$  0  $\wedge$  interaction-bounded-by consider (c' input) (q
- 1) else interaction-bounded-by consider (c' input) q)  $\wedge$ 
  p  $\neq$  0  $\wedge$  interaction-bounded-by consider' (rpv x) (p - 1)
  else  $\neg$  consider out  $\wedge$  interaction-bounded-by consider (c' input) 0  $\wedge$  interaction-bounded-by
consider' (rpv x) p
unfolding interaction-bounded-by-0 unfolding interaction-bounded-by.simps
apply(drule (1) interaction-bound-inline1'[where input=input and x=x, rotated

```

```

2], assumption, assumption)
apply(cases p q rule: co.enat.exhaust[case-product co.enat.exhaust])
apply(simp-all add: zero-enat-def[symmetric] eSuc-enat[symmetric] split: if-split-asm)
done

declare enat-0-iff [simp]

lemma interaction-bounded-by-inline [interaction-bound]:
  assumes p: interaction-bounded-by consider' gpv p
  and q:  $\bigwedge s x. \text{consider}' x \implies \text{interaction-bounded-by consider (callee s x) } q$ 
  and ignore:  $\bigwedge s x. \neg \text{consider}' x \implies \text{interaction-bounded-by consider (callee s x) } 0$ 
  shows interaction-bounded-by consider (inline callee gpv s) (p * q)
proof
  have interaction-bounded-by consider' gpv p  $\implies$  interaction-bound consider (inline
  callee gpv s)  $\leq$  p * q
    and interaction-bound consider (bind-gpv gpv' f)  $\leq$  interaction-bound consider
  gpv' + (SUP x:results'-gpv gpv'. interaction-bound consider (f x))
    for gpv' and f :: 'ret  $\times$  's  $\Rightarrow$  ('a  $\times$  's, 'call', 'ret') gpv
  proof(induction arbitrary: gpv s p gpv' f rule: interaction-bound-fixp-induct)
  case adm show ?case by simp
  case bottom case 1 show ?case by simp
  case (step interaction-bound') case step: 1
  show ?case (is (SUP generat:?inline. ?lhs generat)  $\leq$  ?rhs)
  proof(rule SUP-least)
    fix generat
    assume generat  $\in$  ?inline
    then consider (Pure) ret s' where generat = Pure (ret, s')
      and Inl (ret, s')  $\in$  set-spmf (inline1 callee gpv s)
      | (IO) out c rpv where generat = IO out ( $\lambda$ input. bind-gpv (c input) ( $\lambda$ (ret,
  s'). inline callee (rpv ret) s'))
      and Inr (out, c, rpv)  $\in$  set-spmf (inline1 callee gpv s)
      by(clarsimp simp add: inline-sel split: sum.split-asm)
    then show ?lhs generat  $\leq$  ?rhs
    proof(cases)
      case Pure thus ?thesis by simp
    next
      case IO
      from IO(2) obtain out' where out': Inr (out', out, c, rpv)  $\in$  set-spmf
  (inline1' gpv s)
      by(auto simp add: inline1-conv-inline1' Inr-eq-map-sum-iff)
      show ?thesis
      proof(cases consider' out')
        case True
          with interaction-bounded-by-inline1[OF out' step.prem1 q ignore]
          have p: p  $\neq$  0 and rpv:  $\bigwedge x. \text{interaction-bounded-by consider}' (rpv x) (p$ 
  - 1)
          and c:  $\bigwedge \text{input}. \text{if consider out then } q \neq 0 \wedge \text{interaction-bounded-by}$ 
  consider (c input) (q - 1) else interaction-bounded-by consider (c input) q

```



```

by auto

  have ?lhs generat  $\leq$  (if consider out then 1 else 0) + (SUP input.
interaction-bound' (bind-gpv (c input) ( $\lambda$ (ret, s'). inline callee (rpv ret) s')))
  (is -  $\leq$  - + ?sup)
  using IO(1) by(auto simp add: plus-1-eSuc)
  also have ?sup  $\leq$  (SUP input. interaction-bound consider (c input) +
(SUP (ret, s') : results'-gpv (c input). interaction-bound' (inline callee (rpv ret)
s')))
    unfolding split-def by(rule SUP-mono)(blast intro: step.IH)
    also have ...  $\leq$  (SUP input. interaction-bound consider (c input) + (SUP
(ret, s') : results'-gpv (c input). (p - 1) * q))
      using rpv by(auto intro!: SUP-mono rev-bexI add-mono step.IH)
      also have ...  $\leq$  (SUP input. interaction-bound consider (c input) + (p -
1) * q)
        apply(auto simp add: SUP-constant bot-enat-def intro!: SUP-mono)
        apply(metis add.right-neutral add-mono i0-lb order-refl)+
        done
        also have ...  $\leq$  (SUP input :: 'ret'. (if consider out then q - 1 else q) +
(p - 1) * q)
          apply(rule SUP-mono rev-bexI UNIV-I add-mono)+
          using c
          apply(auto simp add: interaction-bounded-by.simps)
          done
          also have ... = (if consider out then q - 1 else q) + (p - 1) * q
            by(simp add: SUP-constant)
            finally show ?thesis
              apply(rule order-trans)
              prefer 5
              using p c
              apply(cases p; cases q)
              apply(auto simp add: one-enat-def algebra-simps Suc-leI)
              done
            next
            case False
            with interaction-bounded-by-inline1[OF out' step.premis q ignore]
            have out:  $\neg$  consider out and zero:  $\bigwedge$ input. interaction-bounded-by consider
(c input) 0
              and rpv:  $\bigwedge$ x. interaction-bounded-by consider' (rpv x) p by auto
              have ?lhs generat  $\leq$  (SUP input. interaction-bound' (bind-gpv (c input)
( $\lambda$ (ret, s'). inline callee (rpv ret) s')))
                using IO(1) out by auto
                also have ...  $\leq$  (SUP input. interaction-bound consider (c input) + (SUP
(ret, s') : results'-gpv (c input). interaction-bound' (inline callee (rpv ret) s')))
                  unfolding split-def by(rule SUP-mono)(blast intro: step.IH)
                  also have ...  $\leq$  (SUP input. (SUP (ret, s') : results'-gpv (c input). p *
q))
                    using rpv zero by(auto intro!: SUP-mono rev-bexI add-mono step.IH
simp add: interaction-bounded-by-0)

```

```

    also have ... ≤ (SUP input :: 'ret'. p * q)
      by(rule SUP-mono rev-bexI)+(auto simp add: SUP-constant)
    also have ... = p * q by(simp add: SUP-constant)
    finally show ?thesis .
  qed
  qed
  qed
next
  case bottom case 2 show ?case by simp
  case step case 2 show ?case using step by -(rule interaction-bound-bind-step)
  qed
  then show interaction-bound consider (inline callee gpv s) ≤ p * q using p by
  -
  qed
end

```

lemma *interaction-bounded-by-inline-invariant*:

```

  includes lifting-syntax
  fixes consider' :: 'call ⇒ bool
  and consider :: 'call' ⇒ bool
  and callee :: 's ⇒ 'call ⇒ ('ret × 's, 'call', 'ret') gpv
  and gpv :: ('a, 'call, 'ret) gpv
  assumes p: interaction-bounded-by consider' gpv p
  and q:  $\bigwedge s x. \llbracket I s; consider' x \rrbracket \implies \text{interaction-bounded-by consider } (callee s x) q$ 
  and ignore:  $\bigwedge s x. \llbracket I s; \neg consider' x \rrbracket \implies \text{interaction-bounded-by consider } (callee s x) 0$ 
  and I:  $I s$ 
  and invariant:  $\bigwedge s x y s'. \llbracket (y, s') \in \text{results}'\text{-gpv } (callee s x); I s \rrbracket \implies I s'$ 
  shows interaction-bounded-by consider (inline callee gpv s) (p * q)
proof -
  { assume  $\exists (Rep :: 's' \Rightarrow 's) \text{ Abs. type-definition } Rep \text{ Abs } \{s. I s\}$ 
    then obtain  $Rep :: 's' \Rightarrow 's$  and  $Abs$  where  $td: \text{type-definition } Rep \text{ Abs } \{s. I s\}$ 
    } by blast
  then interpret  $td: \text{type-definition } Rep \text{ Abs } \{s. I s\}$  .
  define  $cr$  where  $cr x y \longleftrightarrow x = Rep y$  for  $x y$ 
  have [transfer-rule]: bi-unique cr right-total cr
    using  $td$   $cr\text{-def}[abs\text{-def}]$  by(rule typedef-bi-unique typedef-right-total)+
  have [transfer-domain-rule]:  $\text{Domainp } cr = I$ 
    using type-definition-Domainp[OF  $td$   $cr\text{-def}[abs\text{-def}]$ ] by simp

  define  $callee'$  where  $callee' = (Rep \dashrightarrow id \dashrightarrow \text{map-gpv } (\text{map-prod } id \text{ Abs } id) \text{ callee}$ 
  have [transfer-rule]:  $(cr \implies \text{=} \implies \text{rel-gpv } (\text{rel-prod } (\text{=} ) cr) (\text{=} )) \text{ callee } callee'$ 
    by(auto simp add:  $callee'\text{-def}$   $\text{rel-fun-def}$   $cr\text{-def}$   $gpv.\text{rel-map}$   $\text{prod.rel-map}$   $td.\text{Abs-inverse intro!}$ :  $gpv.\text{rel-refl-strong intro}$ :  $td.\text{Rep}[simplified]$   $\text{dest}$ :  $\text{invariant}$ )

```

```

define  $s'$  where  $s' = Abs\ s$ 
have [transfer-rule]:  $cr\ s\ s'$  using  $I$  by(simp add: cr-def s'-def td.Abs-inverse)

note  $p$  moreover
have  $consider'\ x \implies interaction\ bounded\ by\ consider\ (callee'\ s\ x)\ q$  for  $s\ x$ 
  by(transfer fixing: consider consider') (clarsimp simp add: q)
moreover have  $\neg\ consider'\ x \implies interaction\ bounded\ by\ consider\ (callee'\ s$ 
 $x)\ 0$  for  $s\ x$ 
  by(transfer fixing: consider consider') (clarsimp simp add: ignore)
ultimately have  $interaction\ bounded\ by\ consider\ (inline\ callee'\ gpv\ s')\ (p * q)$ 

  by(rule interaction-bounded-by-inline)
  then have  $interaction\ bounded\ by\ consider\ (inline\ callee\ gpv\ s)\ (p * q)$  by
transfer }
from this[cancel-type-definition]  $I$  show ?thesis by blast
qed

context
fixes  $\mathcal{I} :: ('call, 'ret)\ \mathcal{I}$ 
and  $\mathcal{I}' :: ('call', 'ret')\ \mathcal{I}$ 
and  $callee :: 's \Rightarrow 'call \Rightarrow ('ret \times 's, 'call', 'ret')\ gpv$ 
assumes results:  $\bigwedge s\ x. x \in outs\ \mathcal{I}\ \mathcal{I} \implies results\ gpv\ \mathcal{I}'\ (callee\ s\ x) \subseteq responses\ \mathcal{I}$ 
 $\mathcal{I}\ x \times UNIV$ 
begin

lemma inline1-in-sub-gpvs-callee:
  assumes Inr (out, callee', rpv')  $\in set\ spmf\ (inline1\ callee\ gpv\ s)$ 
  and WT:  $\mathcal{I} \vdash g\ gpv\ \checkmark$ 
  shows  $\exists call \in outs\ \mathcal{I}\ \mathcal{I}. \exists s. \forall x \in responses\ \mathcal{I}\ \mathcal{I}'\ out. callee'\ x \in sub\ gpvs\ \mathcal{I}'$ 
  (callee s call)
proof -
  from WT
  have  $set\ spmf\ (inline1\ callee\ gpv\ s) \subseteq \{Inr\ (out, callee', rpv') \mid out\ callee'\ rpv'\.$ 
 $\exists call \in outs\ \mathcal{I}\ \mathcal{I}. \exists s. \forall x \in responses\ \mathcal{I}\ \mathcal{I}'\ out. callee'\ x \in sub\ gpvs\ \mathcal{I}'\ (callee\ s$ 
  call)\} \cup range\ Inl
  (is ?concl (inline1 callee) gpv s)
proof(induction arbitrary: gpv s rule: inline1-fixp-induct)
  case adm show ?case by(intro cont-intro ccpo-class.admissible-leI)
  case bottom show ?case by simp
  case (step inline1')
  { fix out c
    assume IO:  $IO\ out\ c \in set\ spmf\ (the\ gpv\ gpv)$ 
    from step.prem1 IO have out:  $out \in outs\ \mathcal{I}\ \mathcal{I}$  by(rule WT-gpvD)
    { fix x s'
      assume Pure:  $Pure\ (x, s') \in set\ spmf\ (the\ gpv\ (callee\ s\ out))$ 
      then have  $(x, s') \in results\ gpv\ \mathcal{I}'\ (callee\ s\ out)$  by(rule results-gpv.Pure)
      with out have  $x \in responses\ \mathcal{I}\ \mathcal{I}\ out$  by(auto dest: results)
      with step.prem1 IO have  $\mathcal{I} \vdash g\ c\ x\ \checkmark$  by(rule WT-gpvD)
      hence ?concl inline1' (c x) s' by(rule step.IH)
    }
  }

```

```

} moreover {
  fix out' c'
  assume IO out' c' ∈ set-spmf (the-gpv (callee s out))
  hence ∀ x ∈ responses- $\mathcal{I}$   $\mathcal{I}'$  out'. c' x ∈ sub-gpvs  $\mathcal{I}'$  (callee s out)
  by(auto intro: sub-gpvs.base)
  then have ∃ call ∈ outs- $\mathcal{I}$   $\mathcal{I}$ . ∃ s. ∀ x ∈ responses- $\mathcal{I}$   $\mathcal{I}'$  out'. c' x ∈ sub-gpvs  $\mathcal{I}'$ 
(callee s call)
  using out by blast
} moreover note calculation }
then show ?case using step.prem
by(auto del: subsetI simp add: bind-UNION intro!: UN-least split: generat.split)
qed
thus ?thesis using assms by fastforce
qed

```

lemma inline1-in-sub-gpvs:

```

assumes Inr (out, callee', rpv') ∈ set-spmf (inline1 callee gpv s)
and (x, s') ∈ results-gpv  $\mathcal{I}'$  (callee' input)
and input ∈ responses- $\mathcal{I}$   $\mathcal{I}'$  out
and  $\mathcal{I} \vdash g$  gpv  $\checkmark$ 
shows rpv' x ∈ sub-gpvs  $\mathcal{I}$  gpv
proof -
  from  $\langle \mathcal{I} \vdash g$  gpv  $\checkmark \rangle$ 
  have set-spmf (inline1 callee gpv s)  $\subseteq$  {Inr (out, callee', rpv') | out callee' rpv'.
    ∀ input ∈ responses- $\mathcal{I}$   $\mathcal{I}'$  out. ∀ (x, s') ∈ results-gpv  $\mathcal{I}'$  (callee' input). rpv' x ∈
sub-gpvs  $\mathcal{I}$  gpv}
  ∪ range Inl (is ?concl (inline1 callee) gpv s is -  $\subseteq$  ?rhs gpv s)
proof(induction arbitrary: gpv s rule: inline1-fixp-induct)
  case adm show ?case by(intro cont-intro ccpo-class.admissible-leI)
  case bottom show ?case by simp
next
  case (step inline1')
  { fix out c
  assume IO: IO out c ∈ set-spmf (the-gpv gpv)
  from step.prem IO have out: out ∈ outs- $\mathcal{I}$   $\mathcal{I}$  by(rule WT-gpvD)
  { fix x s'
  assume Pure: Pure (x, s') ∈ set-spmf (the-gpv (callee s out))
  then have (x, s') ∈ results-gpv  $\mathcal{I}'$  (callee s out) by(rule results-gpv.Pure)
  with out have x ∈ responses- $\mathcal{I}$   $\mathcal{I}$  out by(auto dest: results)
  with step.prem IO have  $\mathcal{I} \vdash g$  c x  $\checkmark$  by(rule WT-gpvD)
  hence ?concl inline1' (c x) s' by(rule step.IH)
  also have ...  $\subseteq$  ?rhs gpv s' using IO Pure
  by(fastforce intro: sub-gpvs.cont dest: WT-gpv-OutD[OF step.prem])
results[THEN subsetD, OF - results-gpv.Pure])
  finally have set-spmf (inline1' (c x) s')  $\subseteq$  ... .
} moreover {
  fix out' c' input x s'
  assume IO out' c' ∈ set-spmf (the-gpv (callee s out))
  and input ∈ responses- $\mathcal{I}$   $\mathcal{I}'$  out' and (x, s') ∈ results-gpv  $\mathcal{I}'$  (c' input)

```

then have $c x \in \text{sub-gpvs } \mathcal{I} \text{ gpv using } IO$
by(*auto intro!*: *sub-gpvs.base dest: WT-gpv-OutD[OF step.premis]* *results[THEN subsetD, OF - results-gpv.IO]*)
} **moreover note calculation }**
then show *?case*
by(*auto simp add: bind-UNION intro!: UN-least split: generat.split del: subsetI*)
qed
with *assms show ?thesis by fastforce*
qed

context

assumes $WT: \bigwedge x s. x \in \text{outs-}\mathcal{I} \mathcal{I} \implies \mathcal{I}' \vdash_g \text{callee } s x \checkmark$
begin

lemma *WT-gpv-inline1*:

assumes $\text{Inr } (out, rpv, rpv') \in \text{set-spmf } (inline1 \text{ callee gpv } s)$
and $\mathcal{I} \vdash_g \text{gpv } \checkmark$
shows $out \in \text{outs-}\mathcal{I} \mathcal{I}'$ (**is** *?thesis1*)
and $input \in \text{responses-}\mathcal{I} \mathcal{I}' out \implies \mathcal{I}' \vdash_g rpv \text{ input } \checkmark$ (**is** *PROP ?thesis2*)
and $\llbracket input \in \text{responses-}\mathcal{I} \mathcal{I}' out; (x, s') \in \text{results-gpv } \mathcal{I}' (rpv \text{ input}) \rrbracket \implies \mathcal{I} \vdash_g rpv' x \checkmark$ (**is** *PROP ?thesis3*)

proof –

from $\langle \mathcal{I} \vdash_g \text{gpv } \checkmark \rangle$
have $\text{set-spmf } (inline1 \text{ callee gpv } s) \subseteq \{ \text{Inr } (out, rpv, rpv') \mid out \ rpv \ rpv'. out \in \text{outs-}\mathcal{I} \mathcal{I}' \} \cup \text{range } \text{Inl}$

proof(*induction arbitrary: gpv s rule: inline1-fixp-induct*)

{ case adm show *?case by*(*intro cont-intro ccpo-class.admissible-leI*) **}**

{ case bottom show *?case by simp* **}**

case (*step inline1'*)

{ fix *out c*

assume *IO*: $IO \ out \ c \in \text{set-spmf } (the-gpv \ gpv)$

from *step.premis IO* **have** $out: out \in \text{outs-}\mathcal{I} \mathcal{I}$ **by**(*rule WT-gpvD*)

{ fix $x \ s'$

assume *Pure*: $Pure \ (x, s') \in \text{set-spmf } (the-gpv \ (\text{callee } s \ out))$

then have $(x, s') \in \text{results-gpv } \mathcal{I}' (\text{callee } s \ out)$ **by**(*rule results-gpv.Pure*)

with *out* **have** $x \in \text{responses-}\mathcal{I} \mathcal{I} \ out$ **by**(*auto dest: results*)

with *step.premis IO* **have** $\mathcal{I} \vdash_g c \ x \ \checkmark$ **by**(*rule WT-gpvD*)

} **moreover** **{**

fix $out' \ c'$

from *out* **have** $\mathcal{I}' \vdash_g \text{callee } s \ out \ \checkmark$ **by**(*rule WT*)

moreover assume *IO* $out' \ c' \in \text{set-spmf } (the-gpv \ (\text{callee } s \ out))$

ultimately have $out' \in \text{outs-}\mathcal{I} \mathcal{I}'$ **by**(*rule WT-gpvD*)

} **moreover note calculation }**

then show *?case*

by(*auto del: subsetI simp add: bind-UNION intro!: UN-least split: generat.split intro!: step.IH[THEN order-trans]*)

qed

then show *?thesis1 using assms by auto*

```

assume  $input \in responses\text{-}\mathcal{I} \ \mathcal{I}' \ out$ 
with  $inline1\text{-}in\text{-}sub\text{-}gpvs\text{-}callee[OF \ \langle Inr \ - \ \rangle] \ \langle \mathcal{I} \vdash_g \ gpv \ \checkmark \rangle$ 
obtain  $out' \ s$  where  $out' \in outs\text{-}\mathcal{I} \ \mathcal{I}$ 
  and  $*$ :  $rpv \ input \in sub\text{-}gpvs \ \mathcal{I}' \ (callee \ s \ out')$  by auto
from  $\langle out' \in \rangle$  have  $\mathcal{I}' \vdash_g \ callee \ s \ out' \ \checkmark$  by(rule WT)
then show  $\mathcal{I}' \vdash_g \ rpv \ input \ \checkmark$  using  $*$  by(rule WT-sub-gpvsD)

assume  $(x, s') \in results\text{-}gpv \ \mathcal{I}' \ (rpv \ input)$ 
with  $\langle Inr \ - \ \rangle$  have  $rpv' \ x \in sub\text{-}gpvs \ \mathcal{I} \ gpv$ 
  using  $\langle input \in \rangle \ \langle \mathcal{I} \vdash_g \ gpv \ \checkmark \rangle$  by(rule inline1-in-sub-gpvs)
with  $\langle \mathcal{I} \vdash_g \ gpv \ \checkmark \rangle$  show  $\mathcal{I} \vdash_g \ rpv' \ x \ \checkmark$  by(rule WT-sub-gpvsD)
qed

lemma WT-gpv-inline:
  assumes  $\mathcal{I} \vdash_g \ gpv \ \checkmark$ 
  shows  $\mathcal{I}' \vdash_g \ inline \ callee \ gpv \ s \ \checkmark$ 
using assms
proof(coinduction arbitrary: gpv s rule: WT-gpv-coinduct-bind)
  case (WT-gpv out c gpv)
  from  $\langle IO \ out \ c \in \rangle$  obtain  $callee' \ rpv'$ 
    where  $Inr: Inr \ (out, \ callee', \ rpv') \in set\text{-}spmf \ (inline1 \ callee \ gpv \ s)$ 
    and  $c: c = (\lambda input. callee' \ input \gg= (\lambda(x, s). inline \ callee \ (rpv' \ x) \ s))$ 
    by(clarsimp simp add: inline-sel split: sum.split-asm)
  from  $Inr \ \langle \mathcal{I} \vdash_g \ gpv \ \checkmark \rangle$  have  $?out$  by(rule WT-gpv-inline1)
  moreover have  $?cont \ TYPE('ret \times 's) \ (is \ \forall \ input \in \cdot. \ - \ \vee \ - \ \vee \ ?case' \ input)$ 
  proof(rule ballI disjI2)+
    fix  $input$ 
    assume  $input \in responses\text{-}\mathcal{I} \ \mathcal{I}' \ out$ 
    with  $Inr \ \langle \mathcal{I} \vdash_g \ gpv \ \checkmark \rangle$  have  $\mathcal{I}' \vdash_g \ callee' \ input \ \checkmark$ 
      and  $\bigwedge x \ s'. (x, s') \in results\text{-}gpv \ \mathcal{I}' \ (callee' \ input) \implies \mathcal{I} \vdash_g \ rpv' \ x \ \checkmark$ 
      by(blast intro: WT-gpv-inline1)+
    then show  $?case' \ input$  by(subst c)(auto 4 4)
    qed
  ultimately show  $?case \ TYPE('ret \times 's) \ ..$ 
qed

end

context
  fixes  $gpv :: ('a, 'call, 'ret) \ gpv$ 
  assumes  $gpv: lossless\text{-}gpv \ \mathcal{I} \ gpv \ \mathcal{I} \vdash_g \ gpv \ \checkmark$ 
begin

lemma lossless-spmf-inline1:
  assumes  $lossless: \bigwedge s \ x. x \in outs\text{-}\mathcal{I} \ \mathcal{I} \implies lossless\text{-}spmf \ (the\text{-}gpv \ (callee \ s \ x))$ 
  shows  $lossless\text{-}spmf \ (inline1 \ callee \ gpv \ s)$ 
using  $gpv$ 
proof(induction arbitrary: s rule: lossless-WT-gpv-induct)

```

```

  case (lossless-gpv p)
  show ?case using ⟨lossless-spmf p⟩
    apply (subst inline1-unfold)
    apply (auto split: generat.split intro: lossless lossless-gpv.hyps dest: results [THEN
subsetD, rotated, OF results-gpv.Pure] intro: lossless-gpv.IH)
    done
qed

```

```

lemma lossless-gpv-inline1:
  assumes *: Inr (out, rpv, rpv') ∈ set-spmf (inline1 callee gpv s)
  and **: input ∈ responses- $\mathcal{I}$   $\mathcal{I}'$  out
  and lossless:  $\bigwedge s x. x \in \text{outs-}\mathcal{I} \ \mathcal{I} \implies \text{lossless-gpv } \mathcal{I}' \ (\text{callee } s \ x)$ 
  shows lossless-gpv  $\mathcal{I}'$  (rpv input)
proof -
  from inline1-in-sub-gpvs-callee[OF * gpv(2)] **
  obtain out' s where out' ∈ outs- $\mathcal{I}$   $\mathcal{I}$  and ***: rpv input ∈ sub-gpvs  $\mathcal{I}'$  (callee
s out') by blast
  from ⟨out' ∈ -⟩ have lossless-gpv  $\mathcal{I}'$  (callee s out') by (rule lossless)
  thus ?thesis using *** by (rule lossless-sub-gpvsD)
qed

```

```

lemma lossless-results-inline1:
  assumes Inr (out, rpv, rpv') ∈ set-spmf (inline1 callee gpv s)
  and (x, s') ∈ results-gpv  $\mathcal{I}'$  (rpv input)
  and input ∈ responses- $\mathcal{I}$   $\mathcal{I}'$  out
  shows lossless-gpv  $\mathcal{I}$  (rpv' x)
proof -
  from assms gpv(2) have rpv' x ∈ sub-gpvs  $\mathcal{I}$  gpv by (rule inline1-in-sub-gpvs)
  with gpv(1) show lossless-gpv  $\mathcal{I}$  (rpv' x) by (rule lossless-sub-gpvsD)
qed

```

end

lemmas lossless-inline1 [rotated 2] = lossless-spmf-inline1 lossless-gpv-inline1 lossless-results-inline1

```

lemma lossless-inline [rotated]:
  fixes gpv :: ('a, 'call, 'ret) gpv
  assumes gpv: lossless-gpv  $\mathcal{I}$  gpv  $\mathcal{I} \vdash g$  gpv  $\checkmark$ 
  and lossless:  $\bigwedge s x. x \in \text{outs-}\mathcal{I} \ \mathcal{I} \implies \text{lossless-gpv } \mathcal{I}' \ (\text{callee } s \ x)$ 
  shows lossless-gpv  $\mathcal{I}'$  (inline callee gpv s)
using gpv
proof (induction arbitrary: s rule: lossless-WT-gpv-induct-strong)
  case (lossless-gpv p)
  have lp: lossless-gpv  $\mathcal{I}$  (GPV p) by (rule lossless-sub-gpvsI) (auto intro: lossless-gpv.hyps)
  moreover have wp:  $\mathcal{I} \vdash g$  GPV p  $\checkmark$  by (rule WT-sub-gpvsI) (auto intro: lossless-gpv.hyps)
  ultimately have lossless-spmf (the-gpv (inline callee (GPV p) s))
  by (auto simp add: inline-sel intro: lossless-spmf-inline1 lossless-gpv-lossless-spmfD [OF
lossless])
  moreover {

```

```

fix out c input
assume IO: IO out c ∈ set-spmf (the-gpv (inline callee (GPV p) s))
  and input ∈ responses- $\mathcal{I}$   $\mathcal{I}'$  out
from IO obtain callee' rpv
  where Inr: Inr (out, callee', rpv) ∈ set-spmf (inline1 callee (GPV p) s)
  and c: c = ( $\lambda$ input. callee' input  $\gg$  ( $\lambda$ (x, y). inline callee (rpv x) y))
  by(clarsimp simp add: inline-sel split: sum.split-asm)
from Inr  $\langle$ input  $\in \rightarrow$  lossless lp wp have lossless-gpv  $\mathcal{I}'$  (callee' input) by(rule
lossless-inline1)
  moreover {
    fix x s'
    assume (x, s') ∈ results-gpv  $\mathcal{I}'$  (callee' input)
    with Inr have rpv x ∈ sub-gpvs  $\mathcal{I}$  (GPV p) using  $\langle$ input  $\in \rightarrow$  wp by(rule
inline1-in-sub-gpvs)
    hence lossless-gpv  $\mathcal{I}'$  (inline callee (rpv x) s') by(rule lossless-gpv.IH)
  } ultimately have lossless-gpv  $\mathcal{I}'$  (c input) unfolding c by clarsimp
  } ultimately show ?case by(rule lossless-gpvI)
qed

end

```

definition *id-oracle :: 's \Rightarrow 'call \Rightarrow ('ret \times 's, 'call, 'ret) gpv*
where *id-oracle s x = Pause x (λ x. Done (x, s))*

lemma *inline1-id-oracle:*

```

inline1 id-oracle gpv s =
  map-spmf ( $\lambda$ generat. case generat of Pure x  $\Rightarrow$  Inl (x, s) | IO out c  $\Rightarrow$  Inr (out,
 $\lambda$ x. Done (x, s), c)) (the-gpv gpv)
by(subst inline1.simps)(auto simp add: id-oracle-def map-spmf-conv-bind-spmf in-
tro!: bind-spmf-cong split: generat.split)

```

lemma *inline-id-oracle [simp]: inline id-oracle gpv s = map-gpv (λ x. (x, s)) id*
gpv
by(*coinduction arbitrary: gpv s)(auto 4 3 simp add: inline-sel inline1-id-oracle*
spmf-rel-map gpv.map-sel o-def generat.rel-map intro!: rel-spmf-reflI rel-funI split:
generat.split)

4.17 Running GPVs

type-synonym *('call, 'ret, 's) callee = 's \Rightarrow 'call \Rightarrow ('ret \times 's) spmf*

context *fixes callee :: ('call, 'ret, 's) callee notes [[function-internals]] begin*

partial-function *(spmf) exec-gpv :: ('a, 'call, 'ret) gpv \Rightarrow 's \Rightarrow ('a \times 's) spmf*
where

```

exec-gpv c s =
  the-gpv c  $\gg$ 
  case-generat ( $\lambda$ x. return-spmf (x, s))
  ( $\lambda$ out c. callee s out  $\gg$  ( $\lambda$ (x, y). exec-gpv (c x) y))

```


abbreviation $run\text{-}gpv :: ('a, 'call, 'ret) \text{ } gpv \Rightarrow 's \Rightarrow 'a \text{ } spmf$

where $run\text{-}gpv \text{ } gpv \text{ } s \equiv map\text{-}spmf \text{ } fst \text{ } (exec\text{-}gpv \text{ } gpv \text{ } s)$

lemma $exec\text{-}gpv\text{-}fixp\text{-}induct$ [*case-names adm bottom step*]:

assumes $ccpo.admissible \text{ } (fun\text{-}lub \text{ } lub\text{-}spmf) \text{ } (fun\text{-}ord \text{ } (ord\text{-}spmf \text{ } (=))) \text{ } (\lambda f. P \text{ } (\lambda c \text{ } s. f \text{ } (c, s)))$

and $P \text{ } (\lambda - \text{ } -. \text{ } return\text{-}pmf \text{ } None)$

and $\bigwedge exec\text{-}gpv. P \text{ } exec\text{-}gpv \Rightarrow$

$P \text{ } (\lambda c \text{ } s. \text{ } the\text{-}gpv \text{ } c \gg\gg case\text{-}generat \text{ } (\lambda x. \text{ } return\text{-}spmf \text{ } (x, s)) \text{ } (\lambda out \text{ } c. \text{ } callee \text{ } s \text{ } out \gg\gg (\lambda(x, y). \text{ } exec\text{-}gpv \text{ } (c \text{ } x) \text{ } y)))$

shows $P \text{ } exec\text{-}gpv$

using $assms(1)$

by($rule \text{ } exec\text{-}gpv.fixp\text{-}induct[unfolding \text{ } curry\text{-}conv[abs\text{-}def]](simp\text{-}all \text{ } add: \text{ } assms(2-))$)

lemma $exec\text{-}gpv\text{-}fixp\text{-}induct\text{-}strong$ [*case-names adm bottom step*]:

assumes $ccpo.admissible \text{ } (fun\text{-}lub \text{ } lub\text{-}spmf) \text{ } (fun\text{-}ord \text{ } (ord\text{-}spmf \text{ } (=))) \text{ } (\lambda f. P \text{ } (\lambda c \text{ } s. f \text{ } (c, s)))$

and $P \text{ } (\lambda - \text{ } -. \text{ } return\text{-}pmf \text{ } None)$

and $\bigwedge exec\text{-}gpv'. \llbracket \bigwedge c \text{ } s. \text{ } ord\text{-}spmf \text{ } (=) \text{ } (exec\text{-}gpv' \text{ } c \text{ } s) \text{ } (exec\text{-}gpv \text{ } c \text{ } s); P \text{ } exec\text{-}gpv' \rrbracket$

$\Rightarrow P \text{ } (\lambda c \text{ } s. \text{ } the\text{-}gpv \text{ } c \gg\gg case\text{-}generat \text{ } (\lambda x. \text{ } return\text{-}spmf \text{ } (x, s)) \text{ } (\lambda out \text{ } c. \text{ } callee \text{ } s \text{ } out \gg\gg (\lambda(x, y). \text{ } exec\text{-}gpv' \text{ } (c \text{ } x) \text{ } y)))$

shows $P \text{ } exec\text{-}gpv$

using $assms$

by($rule \text{ } spmf.fixp\text{-}strong\text{-}induct\text{-}uc[\text{where } P=\lambda f. P \text{ } (curry \text{ } f) \text{ } \text{and } U=case\text{-}prod \text{ } \text{and } C=curry, \text{ } OF \text{ } exec\text{-}gpv.mono \text{ } exec\text{-}gpv\text{-}def, \text{ } simplified \text{ } curry\text{-}case\text{-}prod, \text{ } simplified \text{ } curry\text{-}conv[abs\text{-}def] \text{ } fun\text{-}ord\text{-}def \text{ } split\text{-}paired\text{-}All \text{ } prod.case \text{ } case\text{-}prod\text{-}eta, \text{ } OF \text{ } refl]) \text{ } blast$)

lemma $exec\text{-}gpv\text{-}fixp\text{-}induct\text{-}strong2$ [*case-names adm bottom step*]:

assumes $ccpo.admissible \text{ } (fun\text{-}lub \text{ } lub\text{-}spmf) \text{ } (fun\text{-}ord \text{ } (ord\text{-}spmf \text{ } (=))) \text{ } (\lambda f. P \text{ } (\lambda c \text{ } s. f \text{ } (c, s)))$

and $P \text{ } (\lambda - \text{ } -. \text{ } return\text{-}pmf \text{ } None)$

and $\bigwedge exec\text{-}gpv'.$

$\llbracket \bigwedge c \text{ } s. \text{ } ord\text{-}spmf \text{ } (=) \text{ } (exec\text{-}gpv' \text{ } c \text{ } s) \text{ } (exec\text{-}gpv \text{ } c \text{ } s);$

$\bigwedge c \text{ } s. \text{ } ord\text{-}spmf \text{ } (=) \text{ } (exec\text{-}gpv' \text{ } c \text{ } s) \text{ } (the\text{-}gpv \text{ } c \gg\gg case\text{-}generat \text{ } (\lambda x. \text{ } return\text{-}spmf \text{ } (x, s)) \text{ } (\lambda out \text{ } c. \text{ } callee \text{ } s \text{ } out \gg\gg (\lambda(x, y). \text{ } exec\text{-}gpv' \text{ } (c \text{ } x) \text{ } y)));$

$P \text{ } exec\text{-}gpv' \rrbracket$

$\Rightarrow P \text{ } (\lambda c \text{ } s. \text{ } the\text{-}gpv \text{ } c \gg\gg case\text{-}generat \text{ } (\lambda x. \text{ } return\text{-}spmf \text{ } (x, s)) \text{ } (\lambda out \text{ } c. \text{ } callee \text{ } s \text{ } out \gg\gg (\lambda(x, y). \text{ } exec\text{-}gpv' \text{ } (c \text{ } x) \text{ } y)))$

shows $P \text{ } exec\text{-}gpv$

using $assms$

by($rule \text{ } spmf.fixp\text{-}induct\text{-}strong2\text{-}uc[\text{where } P=\lambda f. P \text{ } (curry \text{ } f) \text{ } \text{and } U=case\text{-}prod \text{ } \text{and } C=curry, \text{ } OF \text{ } exec\text{-}gpv.mono \text{ } exec\text{-}gpv\text{-}def, \text{ } simplified \text{ } curry\text{-}case\text{-}prod, \text{ } simplified \text{ } curry\text{-}conv[abs\text{-}def] \text{ } fun\text{-}ord\text{-}def \text{ } split\text{-}paired\text{-}All \text{ } prod.case \text{ } case\text{-}prod\text{-}eta, \text{ } OF \text{ } refl]) \text{ } blast+$)

end

lemma *exec-gpv-conv-inline1*:

exec-gpv callee gpv s = map-spmf projl (inline1 (λs c. lift-spmf (callee s c) :: (-, unit, unit) gpv) gpv s)

by(*induction arbitrary: gpv s rule: parallel-fixp-induct-2-2[OF partial-function-definitions-spmf partial-function-definitions-spmf exec-gpv.mono inline1.mono exec-gpv-def inline1-def, unfolded lub-spmf-empty, case-names adm bottom step]*)

(*auto simp add: map-spmf-bind-spmf o-def spmf.map-comp bind-map-spmf split-def intro!: bind-spmf-cong[OF refl] split: generat.split*)

lemma *exec-gpv-simps*:

exec-gpv callee gpv s =

the-gpv gpv ≫=

case-generat (λx. return-spmf (x, s))

(λout rpv. callee s out ≫= (λ(x, y). exec-gpv callee (rpv x) y))

by(*fact exec-gpv.simps*)

lemma *exec-gpv-lift-spmf [simp]*:

exec-gpv callee (lift-spmf p) s = bind-spmf p (λx. return-spmf (x, s))

by(*simp add: exec-gpv-conv-inline1 spmf.map-comp o-def map-spmf-conv-bind-spmf*)

lemma *exec-gpv-Done [simp]*: *exec-gpv callee (Done x) s = return-spmf (x, s)*

by(*simp add: exec-gpv-conv-inline1*)

lemma *exec-gpv-Fail [simp]*: *exec-gpv callee Fail s = return-pmf None*

by(*simp add: exec-gpv-conv-inline1*)

lemma *if-distrib-exec-gpv [if-distrib]*:

exec-gpv callee (if b then x else y) s = (if b then exec-gpv callee x s else exec-gpv callee y s)

by *simp*

lemmas *exec-gpv-fixp-parallel-induct [case-names adm bottom step] =*

parallel-fixp-induct-2-2[OF partial-function-definitions-spmf partial-function-definitions-spmf exec-gpv.mono exec-gpv.mono exec-gpv-def exec-gpv-def, unfolded lub-spmf-empty]

context *includes lifting-syntax begin*

lemma *exec-gpv-parametric'*:

((S ==> CALL ==> rel-spmf (rel-prod R S)) ==> rel-gpv'' A CALL R ==> S ==> rel-spmf (rel-prod A S))

exec-gpv exec-gpv

apply(*rule rel-funI*)**+**

apply(*unfold spmf-rel-map exec-gpv-conv-inline1*)

apply(*rule rel-spmf-mono-strong*)

apply(*erule inline1-parametric'[THEN rel-funD, THEN rel-funD, THEN rel-funD, rotated]*)

prefer 3

apply(*drule in-set-inline1-lift-spmf1*)**+**

apply *fastforce*
subgoal by *simp*
subgoal premises [*transfer-rule*]
supply *lift-spmf-parametric*'[*transfer-rule*] **by** *transfer-prover*
done

lemma *exec-gpv-parametric* [*transfer-rule*]:
 $((S \text{====>} CALL \text{====>} \text{rel-spmf } (\text{rel-prod } ((=) :: 'ret \Rightarrow -) S)) \text{====>} \text{rel-gpv } A \text{ CALL} \text{====>} S \text{====>} \text{rel-spmf } (\text{rel-prod } A S))$
exec-gpv exec-gpv
unfolding *rel-gpv-conv-rel-gpv''* **by**(*rule exec-gpv-parametric'*)

end

lemma *exec-gpv-bind*: *exec-gpv callee* ($c \gg= f$) $s = \text{exec-gpv callee } c \ s \gg= (\lambda(x, s') \Rightarrow \text{exec-gpv callee } (f \ x) \ s')$
by(*auto simp add: exec-gpv-conv-inline1 inline1-bind-gpv map-spmf-bind-spmf o-def bind-map-spmf intro!: bind-spmf-cong[OF refl] dest: in-set-inline1-lift-spmf1*)

lemma *exec-gpv-map-gpv-id*:
 $\text{exec-gpv oracle } (\text{map-gpv } f \ \text{id } \text{gpv}) \ \sigma = \text{map-spmf } (\text{apfst } f) (\text{exec-gpv oracle } \text{gpv } \sigma)$
proof(*rule sym*)
define *gpv'* **where** $\text{gpv}' = \text{map-gpv } f \ \text{id } \text{gpv}$
have [*transfer-rule*]: $\text{rel-gpv } (\lambda x \ y. \ y = f \ x) \ (=) \ \text{gpv } \ \text{gpv}'$
unfolding *gpv'-def* **by**(*simp add: gpv.rel-map gpv.rel-refl*)
have $\text{rel-spmf } (\text{rel-prod } (\lambda x \ y. \ y = f \ x) \ (=)) (\text{exec-gpv oracle } \text{gpv } \sigma) (\text{exec-gpv oracle } \text{gpv}' \ \sigma)$
by *transfer-prover*
thus $\text{map-spmf } (\text{apfst } f) (\text{exec-gpv oracle } \text{gpv } \sigma) = \text{exec-gpv oracle } (\text{map-gpv } f \ \text{id } \text{gpv}) \ \sigma$
unfolding *spm-rel-eq[symmetric]* *gpv'-def* *spm-rel-map* **by**(*rule rel-spmf-mono*)
clarsimp
qed

lemma *exec-gpv-Pause* [*simp*]:
 $\text{exec-gpv callee } (\text{Pause out } f) \ s = \text{callee } s \ \text{out} \gg= (\lambda(x, s') . \text{exec-gpv callee } (f \ x) \ s')$
by(*simp add: inline1-Pause map-spmf-bind-spmf bind-map-spmf o-def exec-gpv-conv-inline1 split-def*)

lemma *exec-gpv-bind-lift-spmf*:
 $\text{exec-gpv callee } (\text{bind-gpv } (\text{lift-spmf } p) \ f) \ s = \text{bind-spmf } p \ (\lambda x. \text{exec-gpv callee } (f \ x) \ s)$
by(*simp add: exec-gpv-bind*)

lemma *exec-gpv-bind-option* [*simp*]:
 $\text{exec-gpv oracle } (\text{monad.bind-option } \text{Fail } x \ f) \ s = \text{monad.bind-option } (\text{return-pmf } \text{None}) \ x \ (\lambda a. \text{exec-gpv oracle } (f \ a) \ s)$

by(cases x) simp-all

lemma pred-spmf-exec-gpv:

— We don't get an equivalence here because states are threaded through in *exec-gpv*.

\llbracket pred-gpv A C gpv; pred-fun S (pred-fun C (pred-spmf (pred-prod (λ -. True) S))) callee; S s \rrbracket

\implies pred-spmf (pred-prod A S) (exec-gpv callee gpv s)

using exec-gpv-parametric[of eq-onp S eq-onp C eq-onp A, folded eq-onp-True]

apply(unfold prod.rel-eq-onp option.rel-eq-onp pmf.rel-eq-onp gpv.rel-eq-onp)

apply(drule rel-funD[where x=callee and y=callee])

subgoal

apply(rule rel-fun-mono[where X=eq-onp S])

apply(rule rel-fun-eq-onpI)

apply(unfold eq-onp-same-args)

apply assumption

apply simp

apply(erule rel-fun-eq-onpI)

done

apply(auto dest!: rel-funD simp add: eq-onp-def)

done

lemma exec-gpv-inline:

fixes callee :: ('c, 'r, 's) callee

and gpv :: 's' \Rightarrow 'c' \Rightarrow ('r' \times 's', 'c, 'r) gpv

shows exec-gpv callee (inline gpv c' s') s =

map-spmf ($\lambda(x, s', s). ((x, s'), s)$) (exec-gpv ($\lambda(s', s) y. \text{map-spmf } (\lambda((x, s'), s). (x, s', s))$) (exec-gpv callee (gpv s' y) s)) c' (s', s))

(is ?lhs = ?rhs)

proof —

have ?lhs = map-spmf projl (map-spmf (map-sum ($\lambda(x, s2, y). ((x, s2), y)$))

($\lambda(x, rpv'' :: ('r \times 's, \text{unit}, \text{unit}) rpv, rpv', rpv). (x, rpv'', \lambda r1. \text{bind-gpv } (rpv' r1) (\lambda(r2, y). \text{inline gpv } (rpv r2) y))))$)

(inline2 ($\lambda s c. \text{lift-spmf } (\text{callee } s c)$) gpv c' s' s))

unfolding exec-gpv-conv-inline1 **by**(simp add: inline1-inline-conv-inline2)

also have ... = map-spmf ($\lambda(x, s', s). ((x, s'), s)$) (map-spmf projl (map-spmf (map-sum id

($\lambda(x, rpv'' :: ('r \times 's, \text{unit}, \text{unit}) rpv, rpv', rpv). (x, \lambda r. \text{bind-gpv } (rpv'' r) (\lambda(r1, s1). \text{map-gpv } (\lambda((r2, s2), s1). (r2, s2, s1)) \text{id } (\text{inline } (\lambda s c. \text{lift-spmf } (\text{callee } s c)) (rpv' r1) s1)), rpv))))$)

(inline2 ($\lambda s c. \text{lift-spmf } (\text{callee } s c)$) gpv c' s' s))

unfolding spmf.map-comp **by**(rule map-spmf-cong[OF refl])(auto dest!: in-set-inline2-lift-spmf1)

also have ... = ?rhs **unfolding** exec-gpv-conv-inline1

by(subst inline1-inline-conv-inline2[symmetric])(simp add: spmf.map-comp split-def inline-lift-spmf1 map-lift-spmf)

finally show ?thesis .

qed

lemma ord-spmf-exec-gpv:

```

assumes callee:  $\bigwedge s x. \text{ord-spmf } (=) (\text{callee1 } s x) (\text{callee2 } s x)$ 
shows  $\text{ord-spmf } (=) (\text{exec-gpv } \text{callee1 } \text{gpv } s) (\text{exec-gpv } \text{callee2 } \text{gpv } s)$ 
proof(induction arbitrary: gpv s rule: exec-gpv-fixp-parallel-induct)
  case adm show ?case by simp
  case bottom show ?case by simp
next
  case (step exec-gpv1 exec-gpv2)
  show ?case using step.prems
    by(clarsimp intro!: ord-spmf-bind-reflI ord-spmf-bindI[OF assms] step.IH split!:
generat.split)
qed

```

context **fixes** *callee* :: ('call, 'ret, 's) callee **notes** [[*function-internals*]] **begin**

partial-function (*spmf*) *excep-resumption* :: ('a, 'call, 'ret) *resumption* \Rightarrow 's \Rightarrow ('a \times 's) *spmf*

where

excep-resumption *r* *s* = (*case* *r* of *resumption.Done* *x* \Rightarrow *return-pmf* (*map-option* ($\lambda a. (a, s)$) *x*)
| *resumption.Pause* *out* *c* \Rightarrow *bind-spmf* (*callee* *s* *out*) ($\lambda(\text{input}, s')$. *excep-resumption* (*c* *input*) *s'*))

simps-of-case *excep-resumption-simps* [*simp*]: *excep-resumption.simps*

lemma *excep-resumption-ABORT* [*simp*]: *excep-resumption* *ABORT* *s* = *return-pmf* *None*

by(*simp add: ABORT-def*)

lemma *excep-resumption-DONE* [*simp*]: *excep-resumption* (*DONE* *x*) *s* = *return-spmf* (*x*, *s*)

by(*simp add: DONE-def*)

lemma *exec-gpv-lift-resumption*: *exec-gpv* *callee* (*lift-resumption* *r*) *s* = *excep-resumption* *r* *s*

proof(*induction arbitrary: r s rule: parallel-fixp-induct-2-2[OF partial-function-definitions-spmf partial-function-definitions-spmf exec-gpv.mono excep-resumption.mono exec-gpv-def excep-resumption-def, case-names adm bot step]*)

case adm show ?case **by**(*simp*)

case bot thus ?case **by** *simp*

case (*step exec-gpv' excep-resumption'*)

show ?case

by(*auto split: resumption.split option.split simp add: lift-resumption.sel intro: bind-spmf-cong step*)

qed

lemma *mcont2mcont-excep-resumption* [*THEN* *spmf.mcont2mcont, cont-intro, simp*]:

shows *mcont-excep-resumption*:

mcont *resumption-lub* *resumption-ord* *lub-spmf* (*ord-spmf* (=)) ($\lambda r. \text{excep-resumption } r s$)

proof –
have $mcont$ ($prod-lub$ $resumption-lub$ $the-Sup$) ($rel-prod$ $resumption-ord$ $(=)$)
 $lub-spmf$ ($ord-spmf$ $(=)$) ($case-prod$ $execp-resumption$)
proof($rule$ $ccpo.fixp-preserves-mcont2$ [OF $ccpo-spmf$ $execp-resumption.mono$ $execp-resumption-def$])
fix $execp-resumption'$:: ($'b$, $'call$, $'ret$) $resumption \Rightarrow 's \Rightarrow ('b \times 's)$ $spmf$
assume *: $mcont$ ($prod-lub$ $resumption-lub$ $the-Sup$) ($rel-prod$ $resumption-ord$
 $(=)$) $lub-spmf$ ($ord-spmf$ $(=)$) ($\lambda(r, s).$ $execp-resumption'$ r s)
have [$THEN$ $spmf.mcont2mcont$, $cont-intro$, $simp$]: $mcont$ $resumption-lub$ $resumption-ord$
 $lub-spmf$ ($ord-spmf$ $(=)$) ($\lambda r.$ $execp-resumption'$ r s)
for s **using** * **by** $simp$
have $mcont$ $resumption-lub$ $resumption-ord$ $lub-spmf$ ($ord-spmf$ $(=)$)
($\lambda r.$ $case$ r of $resumption.Done$ $x \Rightarrow return-pmf$ ($map-option$ ($\lambda a.$ (a , s)) x)
| $resumption.Pause$ out $c \Rightarrow bind-spmf$ ($callee$ s out) ($\lambda(input, s').$
 $execp-resumption'$ (c $input$) s')
for s **by**($rule$ $mcont-case-resumption$)($auto$ $simp$ $add: cppo-spmf$ $intro!$: $mcont-bind-spmf$)
thus $mcont$ ($prod-lub$ $resumption-lub$ $the-Sup$) ($rel-prod$ $resumption-ord$ $(=)$)
 $lub-spmf$ ($ord-spmf$ $(=)$)
($\lambda(r, s).$ $case$ r of $resumption.Done$ $x \Rightarrow return-pmf$ ($map-option$ ($\lambda a.$ (a ,
 s)) x)
| $resumption.Pause$ out $c \Rightarrow bind-spmf$ ($callee$ s out) ($\lambda(input, s').$
 $execp-resumption'$ (c $input$) s')
by $simp$
qed
thus $?thesis$ **by** $auto$
qed

lemma $execp-resumption-bind$ [$simp$]:
 $execp-resumption$ ($r \ggg f$) $s = execp-resumption$ r $s \ggg (\lambda(x, s').$ $execp-resumption$
 $(f$ x) $s')$
by($simp$ $add: exec-gpv-lift-resumption[symmetric]$ $lift-resumption-bind$ $exec-gpv-bind$)

lemma $pred-spmf-execp-resumption$:
 $\bigwedge A. \llbracket pred-resumption$ A C $r; pred-fun$ S ($pred-fun$ C ($pred-spmf$ ($pred-prod$ ($\lambda-$
 $True$) S)) $callee; S$ s \rrbracket
 $\implies pred-spmf$ ($pred-prod$ A S) ($execp-resumption$ r s)
unfolding $exec-gpv-lift-resumption[symmetric]$
by($rule$ $pred-spmf-exec-gpv$) $simp-all$

end

inductive $WT-callee$:: ($'call$, $'ret$) $\mathcal{I} \Rightarrow ('call \Rightarrow ('ret \times 's)$ $spmf) \Rightarrow bool$ ($(-$
 $\vdash_c / (-) \checkmark$ [$100, 0$] 99)
for \mathcal{I} $callee$
where
 $WT-callee$:
 $\llbracket \bigwedge call$ ret $s. \llbracket call \in outs-\mathcal{I}$ $\mathcal{I}; (ret, s) \in set-spmf$ ($callee$ $call$) $\rrbracket \implies ret \in$
 $responses-\mathcal{I}$ \mathcal{I} $call$ \rrbracket
 $\implies \mathcal{I} \vdash_c$ $callee$ \checkmark

lemmas $WT\text{-callee}I = WT\text{-callee}$

hide-fact $WT\text{-callee}$

lemma $WT\text{-callee}D$: $\llbracket \mathcal{I} \vdash c \text{ callee } \checkmark; (ret, s) \in \text{set-spmf } (callee \text{ out}); out \in \text{outs-}\mathcal{I} \mathcal{I} \rrbracket \implies ret \in \text{responses-}\mathcal{I} \mathcal{I} \text{ out}$
by(*rule* $WT\text{-callee.cases}$)

lemma $WT\text{-callee-full}$ [*intro!*, *simp*]: $\mathcal{I}\text{-full} \vdash c \text{ callee } \checkmark$
by(*rule* $WT\text{-callee}I$) *simp*

lemma $WT\text{-callee-parametric}$ [*transfer-rule*]:

includes *lifting-syntax*

assumes [*transfer-rule*]: *bi-unique* R

shows $(\text{rel-}\mathcal{I} \ C \ R \implies (C \implies \text{rel-spmf } (\text{rel-prod } R \ S)) \implies (=))$
 $WT\text{-callee} \ WT\text{-callee}$

proof –

have $*$: $WT\text{-callee} = (\lambda \mathcal{I} \text{ callee. } \forall call \in \text{outs-}\mathcal{I} \ \mathcal{I}. \forall (ret, s) \in \text{set-spmf } (callee \text{ call}). ret \in \text{responses-}\mathcal{I} \ \mathcal{I} \text{ call})$

unfolding $WT\text{-callee.simps}$ **by** *blast*

show *?thesis* **unfolding** $*$ **by** *transfer-prover*

qed

locale $\text{callee-invariant-on-base} =$

fixes $\text{callee} :: 's \Rightarrow 'a \Rightarrow ('b \times 's) \text{ spmf}$

and $I :: 's \Rightarrow \text{bool}$

and $\mathcal{I} :: ('a, 'b) \ \mathcal{I}$

locale $\text{callee-invariant-on} = \text{callee-invariant-on-base} \ \text{callee} \ I \ \mathcal{I}$

for $\text{callee} :: 's \Rightarrow 'a \Rightarrow ('b \times 's) \text{ spmf}$

and $I :: 's \Rightarrow \text{bool}$

and $\mathcal{I} :: ('a, 'b) \ \mathcal{I}$

+

assumes callee-invariant : $\bigwedge s \ x \ y \ s'. \llbracket (y, s') \in \text{set-spmf } (callee \ s \ x); I \ s; x \in \text{outs-}\mathcal{I} \ \mathcal{I} \rrbracket \implies I \ s'$

and $WT\text{-callee}$: $\bigwedge s. I \ s \implies \mathcal{I} \vdash c \ \text{callee} \ s \ \checkmark$

begin

lemma $\text{callee-invariant}'$: $\llbracket (y, s') \in \text{set-spmf } (callee \ s \ x); I \ s; x \in \text{outs-}\mathcal{I} \ \mathcal{I} \rrbracket \implies I \ s' \wedge y \in \text{responses-}\mathcal{I} \ \mathcal{I} \ x$

by(*auto* *dest*: $WT\text{-callee}D$ [*OF* $WT\text{-callee}$] callee-invariant)

lemma $\text{exec-gpv-invariant}'$:

$\llbracket I \ s; \mathcal{I} \vdash g \ \text{gpv} \ \checkmark \rrbracket \implies \text{set-spmf } (\text{exec-gpv} \ \text{callee} \ \text{gpv} \ s) \subseteq \{(x, s'). I \ s'\}$

proof(*induction* *arbitrary*: $\text{gpv} \ s$ *rule*: $\text{exec-gpv-fixp-induct}$)

case *adm* **show** *?case* **by**(*intro* *cont-intro* *ccpo-class.admissible-leI*)

case *bottom* **show** *?case* **by** *simp*

case *step* **show** *?case* **using** *step.prem*s

by(*auto* *simp* *add*: *bind-UNION* *intro!*: *UN-least* *step.IH* *del*: *subsetI* *split*:

generat.split dest!: callee-invariant' elim: WT-gpvD
qed

lemma *exec-gpv-invariant:*
 $\llbracket (x, s') \in \text{set-spmf } (\text{exec-gpv } \text{callee } \text{gpv } s); I s; \mathcal{I} \vdash_g \text{gpv } \checkmark \rrbracket \implies I s'$
by(*drule exec-gpv-invariant'*) *blast+*

lemma *interaction-bounded-by-exec-gpv-count':*
fixes *count*
assumes *bound: interaction-bounded-by consider gpv n*
and *count: $\bigwedge s x y s'. \llbracket (y, s') \in \text{set-spmf } (\text{callee } s x); I s; \text{consider } x; x \in \text{outs-}\mathcal{I} \rrbracket \implies \text{count } s' \leq \text{eSuc } (\text{count } s)$*
and *ignore: $\bigwedge s x y s'. \llbracket (y, s') \in \text{set-spmf } (\text{callee } s x); I s; \neg \text{consider } x; x \in \text{outs-}\mathcal{I} \rrbracket \implies \text{count } s' \leq \text{count } s$*
and *WT: $\mathcal{I} \vdash_g \text{gpv } \checkmark$*
and *I: $I s$*
shows $\text{set-spmf } (\text{exec-gpv } \text{callee } \text{gpv } s) \subseteq \{(x, s'). \text{count } s' \leq n + \text{count } s\}$
using *bound I WT*
proof(*induction arbitrary: gpv s n rule: exec-gpv-fixp-induct*)
case *adm show ?case by(intro cont-intro ccpo-class.admissible-leI)*
case *bottom show ?case by simp*
case (*step exec-gpv'*)
have $\text{set-spmf } (\text{exec-gpv}' (c \text{ input}) s') \subseteq \{(x, s''). \text{count } s'' \leq n + \text{count } s\}$
if *out: $IO \text{ out } c \in \text{set-spmf } (\text{the-gpv } \text{gpv})$*
and *input: $(\text{input}, s') \in \text{set-spmf } (\text{callee } s \text{ out})$*
and *X: $\text{out} \in \text{outs-}\mathcal{I} \mathcal{I}$*
for *out c input s'*
proof(*cases consider out*)
case *True*
with *step.prem s out have $n > 0$*
and *bound': interaction-bounded-by consider (c input) (n - 1)*
by(*auto dest: interaction-bounded-by-contD*)
note *bound'*
moreover from *input $\langle I s \rangle X$ have $I s'$ by(rule callee-invariant)*
moreover have $\mathcal{I} \vdash_g c \text{ input } \checkmark$ **using** *step.prem s(3) out WT-calleeD[OF WT-callee input]*
by(*rule WT-gpvD*)(*rule step.prem s X*)+
ultimately have $\text{set-spmf } (\text{exec-gpv}' (c \text{ input}) s') \subseteq \{(x, s''). \text{count } s'' \leq n - 1 + \text{count } s'\}$
by(*rule step.IH*)
also have $\dots \subseteq \{(x, s''). \text{count } s'' \leq n + \text{count } s\}$ **using** (*n > 0*) *count[OF input $\langle I s \rangle \text{True } X$]*
by(*cases n rule: co.enat.exhaust*)(*auto, metis add-left-mono-trans eSuc-plus iadd-Suc-right*)
finally show *?thesis .*
next
case *False*
from *step.prem s out this have bound': interaction-bounded-by consider (c input)*
n


```

    by(auto dest: interaction-bounded-by-contD-ignore)
    from input ⟨I s⟩ X have I s' by(rule callee-invariant)
    note bound'
    moreover from input ⟨I s⟩ X have I s' by(rule callee-invariant)
    moreover have  $\mathcal{I} \vdash_g c \text{ input } \surd$  using step.prem $s(\mathcal{I})$  out WT-calleeD[OF
WT-callee input]
    by(rule WT-gpvD)(rule step.prem $s X$ )+
    ultimately have set-spmf (exec-gpv' (c input) s')  $\subseteq \{(x, s''). \text{count } s'' \leq n$ 
+ count s'}
    by(rule step.IH)
    also have ...  $\subseteq \{(x, s''). \text{count } s'' \leq n + \text{count } s\}$ 
    using ignore[OF input ⟨I s⟩ False X] by(auto elim: order-trans)
    finally show ?thesis .
qed
then show ?case using step.prem $s(\mathcal{I})$ 
    by(auto 4  $\mathcal{I}$  simp add: bind-UNION del: subsetI intro!: UN-least split: generat.split dest: WT-gpvD)
qed

```

lemma *interaction-bounded-by-exec-gpv-count*:

```

    fixes count
    assumes bound: interaction-bounded-by consider gpv n
    and xs': (x, s')  $\in$  set-spmf (exec-gpv callee gpv s)
    and count:  $\bigwedge s x y s'. \llbracket (y, s') \in \text{set-spmf (callee } s x); I s; \text{consider } x; x \in \text{outs-}\mathcal{I} \rrbracket \implies \text{count } s' \leq \text{eSuc (count } s)$ 
    and ignore:  $\bigwedge s x y s'. \llbracket (y, s') \in \text{set-spmf (callee } s x); I s; \neg \text{consider } x; x \in \text{outs-}\mathcal{I} \rrbracket \implies \text{count } s' \leq \text{count } s$ 
    and WT:  $\mathcal{I} \vdash_g \text{gpv } \surd$ 
    and I: I s
    shows count s'  $\leq n + \text{count } s$ 
using bound count ignore WT I
by(rule interaction-bounded-by-exec-gpv-count'[THEN subsetD, OF - - - - xs',
unfolded mem-Collect-eq prod.case])

```

lemma *interaction-bounded-by'-exec-gpv-count*:

```

    fixes count
    assumes bound: interaction-bounded-by' consider gpv n
    and xs': (x, s')  $\in$  set-spmf (exec-gpv callee gpv s)
    and count:  $\bigwedge s x y s'. \llbracket (y, s') \in \text{set-spmf (callee } s x); I s; \text{consider } x; x \in \text{outs-}\mathcal{I} \rrbracket \implies \text{count } s' \leq \text{Suc (count } s)$ 
    and ignore:  $\bigwedge s x y s'. \llbracket (y, s') \in \text{set-spmf (callee } s x); I s; \neg \text{consider } x; x \in \text{outs-}\mathcal{I} \rrbracket \implies \text{count } s' \leq \text{count } s$ 
    and outs:  $\mathcal{I} \vdash_g \text{gpv } \surd$ 
    and I: I s
    shows count s'  $\leq n + \text{count } s$ 
using interaction-bounded-by-exec-gpv-count[OF bound xs', of count] count ignore
outs I
by(simp add: eSuc-enat)

```

lemma *pred-spmf-calleeI*: $\llbracket I\ s; x \in \text{outs-}\mathcal{I}\ \mathcal{I} \rrbracket \implies \text{pred-spmf } (\text{pred-prod } (\lambda\cdot \text{True})\ I)\ (\text{callee } s\ x)$

by(*auto simp add: pred-spmf-def dest: callee-invariant*)

lemma *lossless-exec-gpv*:

assumes *gpv*: *lossless-gpv* \mathcal{I} *gpv*

and *callee*: $\bigwedge s\ \text{out}.\ \llbracket \text{out} \in \text{outs-}\mathcal{I}\ \mathcal{I}; I\ s \rrbracket \implies \text{lossless-spmf } (\text{callee } s\ \text{out})$

and *WT-gpv*: $\mathcal{I} \vdash g\ \text{gpv}\ \checkmark$

and *I*: $I\ s$

shows *lossless-spmf* (*exec-gpv* *callee* *gpv* *s*)

using *gpv WT-gpv I*

proof(*induction arbitrary: s rule: lossless-WT-gpv-induct*)

case (*lossless-gpv* *gpv*)

show *?case* **using** *lossless-gpv.hyps lossless-gpv.prem*s

by(*subst exec-gpv.simps*)(*fastforce split: generat.split simp add: callee intro!: lossless-gpv.IH intro: WT-calleeD[OF WT-callee] elim!: callee-invariant*)

qed

lemma *in-set-spmf-exec-gpv-into-results-gpv*:

assumes ***: $(x, s') \in \text{set-spmf } (\text{exec-gpv } \text{callee } \text{gpv } s)$

and *WT-gpv* : $\mathcal{I} \vdash g\ \text{gpv}\ \checkmark$

and *I*: $I\ s$

shows $x \in \text{results-gpv } \mathcal{I}\ \text{gpv}$

proof –

have *set-spmf* (*exec-gpv* *callee* *gpv* *s*) $\subseteq \text{results-gpv } \mathcal{I}\ \text{gpv} \times \text{UNIV}$

using *WT-gpv I*

proof(*induction arbitrary: gpv s rule: exec-gpv-fixp-induct*)

{ **case** *adm* **show** *?case* **by**(*intro cont-intro ccpo-class.admissible-leI*) }

{ **case** *bottom* **show** *?case* **by** *simp* }

case (*step* *exec-gpv'*)

{ **fix** *out c ret s'*

assume *IO*: $IO\ \text{out}\ c \in \text{set-spmf } (\text{the-gpv } \text{gpv})$

and *ret*: $(\text{ret}, s') \in \text{set-spmf } (\text{callee } s\ \text{out})$

from *step.prem*s(1) *IO* **have** $\text{out} \in \text{outs-}\mathcal{I}\ \mathcal{I}$ **by**(*rule WT-gpvD*)

with *WT-callee*[*OF* $\langle I\ s \rangle$] *ret* **have** $\text{ret} \in \text{responses-}\mathcal{I}\ \mathcal{I}\ \text{out}$ **by**(*rule WT-calleeD*)

with *step.prem*s(1) *IO* **have** $\mathcal{I} \vdash g\ c\ \text{ret}\ \checkmark$ **by**(*rule WT-gpvD*)

moreover **from** *ret* $\langle I\ s \rangle$ $\langle \text{out} \in \text{outs-}\mathcal{I}\ \mathcal{I} \rangle$ **have** $I\ s'$ **by**(*rule callee-invariant*)

ultimately **have** *set-spmf* (*exec-gpv'* (*c* *ret*) *s'*) $\subseteq \text{results-gpv } \mathcal{I}\ (c\ \text{ret}) \times$

UNIV

by(*rule step.IH*)

also **have** $\dots \subseteq \text{results-gpv } \mathcal{I}\ \text{gpv} \times \text{UNIV}$ **using** *IO* $\langle \text{ret} \in \cdot \rangle$

by(*auto intro: results-gpv.IO*)

finally **have** *set-spmf* (*exec-gpv'* (*c* *ret*) *s'*) $\subseteq \text{results-gpv } \mathcal{I}\ \text{gpv} \times \text{UNIV}$. }

then **show** *?case* **using** *step.prem*s

by(*auto simp add: bind-UNION intro!: UN-least del: subsetI split: generat.split intro: results-gpv.Pure*)

qed

thus $x \in \text{results-gpv } \mathcal{I}\ \text{gpv}$ **using** *** **by** *blast+*

qed

end

lemma *callee-invariant-on-alt-def*:

callee-invariant-on = (λ callee $I \mathcal{I}$.

$(\forall s \in \text{Collect } I. \forall x \in \text{outs-}\mathcal{I} \mathcal{I}. \forall (y, s') \in \text{set-spmf } (\text{callee } s x). I s') \wedge$

$(\forall s \in \text{Collect } I. \mathcal{I} \vdash c \text{ callee } s \checkmark)$)

unfolding *callee-invariant-on-def* **by** *blast*

lemma *callee-invariant-on-parametric* [*transfer-rule*]: **includes** *lifting-syntax*

assumes [*transfer-rule*]: *bi-unique R bi-total S*

shows $((S \text{====>} C \text{====>} \text{rel-spmf } (\text{rel-prod } R S)) \text{====>} (S \text{====>} (=))$
 $\text{====>} \text{rel-}\mathcal{I} C R \text{====>} (=))$

callee-invariant-on callee-invariant-on

unfolding *callee-invariant-on-alt-def* **by** *transfer-prover*

lemma *callee-invariant-on-cong*:

$\llbracket I = I'; \text{outs-}\mathcal{I} \mathcal{I} = \text{outs-}\mathcal{I} \mathcal{I}' \rrbracket$

$\wedge s x. \llbracket I' s; x \in \text{outs-}\mathcal{I} \mathcal{I}' \rrbracket \implies \text{set-spmf } (\text{callee } s x) \subseteq \text{responses-}\mathcal{I} \mathcal{I} x \times$
 $\text{Collect } I' \longleftrightarrow \text{set-spmf } (\text{callee}' s x) \subseteq \text{responses-}\mathcal{I} \mathcal{I}' x \times \text{Collect } I' \rrbracket$

$\implies \text{callee-invariant-on callee } I \mathcal{I} = \text{callee-invariant-on callee}' I' \mathcal{I}'$

unfolding *callee-invariant-on-def* *WT-callee.simps*

by *safe((erule meta-allE)+, (erule (1) meta-impE)+, force)+*

abbreviation *callee-invariant* :: $('s \Rightarrow 'a \Rightarrow ('b \times 's) \text{ spmf}) \Rightarrow ('s \Rightarrow \text{bool}) \Rightarrow$
bool

where *callee-invariant callee I* \equiv *callee-invariant-on callee I* \mathcal{I} -full

interpretation *oi-True*: *callee-invariant-on callee* λ -. *True* \mathcal{I} -full **for** *callee*

by *unfold-locales (simp-all)*

lemma *callee-invariant-on-return-spmf* [*simp*]:

callee-invariant-on $(\lambda s x. \text{return-spmf } (f s x)) I \mathcal{I} \longleftrightarrow (\forall s. \forall x \in \text{outs-}\mathcal{I} \mathcal{I}. I s$
 $\longrightarrow I (\text{snd } (f s x)) \wedge \text{fst } (f s x) \in \text{responses-}\mathcal{I} \mathcal{I} x)$

by(*auto simp add: callee-invariant-on-def split-pairs WT-callee.simps*)

lemma *callee-invariant-return-spmf* [*simp*]:

callee-invariant $(\lambda s x. \text{return-spmf } (f s x)) I \longleftrightarrow (\forall s x. I s \longrightarrow I (\text{snd } (f s x)))$

by(*auto simp add: callee-invariant-on-def split-pairs*)

lemma *callee-invariant-restrict-relp*:

includes *lifting-syntax*

assumes $(S \text{====>} C \text{====>} \text{rel-spmf } (\text{rel-prod } R S))$ *callee1 callee2*

and *callee-invariant callee1 I1*

and *callee-invariant callee2 I2*

shows $((S \upharpoonright I1 \otimes I2) \text{====>} C \text{====>} \text{rel-spmf } (\text{rel-prod } R (S \upharpoonright I1 \otimes I2)))$
callee1 callee2

proof –

interpret *ci1*: *callee-invariant-on callee1 I1* \mathcal{I} -full **by** *fact*

interpret *ci2*: *callee-invariant-on callee2 I2 I-full* **by** *fact*
show *?thesis using assms(1)*
by(*intro rel-funI*)(*auto simp add: restrict-rel-prod2 intro!: rel-spmf-restrict-relpI*
intro: ci1.pred-spmf-calleeI ci2.pred-spmf-calleeI dest: rel-funD rel-setD1 rel-setD2)
qed

lemma *callee-invariant-on-True* [*simp*]: *callee-invariant-on callee (λ-. True) I*
 $\longleftrightarrow (\forall s. \mathcal{I} \vdash c \text{ callee } s \checkmark)$
by(*simp add: callee-invariant-on-def*)

lemma *lossless-exec-gpv*:
 $\llbracket \text{lossless-gpv } \mathcal{I} \text{ gpv}; \bigwedge s \text{ out. out} \in \text{outs-}\mathcal{I} \ \mathcal{I} \implies \text{lossless-spmf (callee } s \text{ out)};$
 $\mathcal{I} \vdash g \text{ gpv } \checkmark; \bigwedge s. \mathcal{I} \vdash c \text{ callee } s \checkmark \rrbracket$
 $\implies \text{lossless-spmf (exec-gpv callee gpv } s)$
by(*rule callee-invariant-on.lossless-exec-gpv; simp*)

lemma *in-set-spmf-exec-gpv-into-results'-gpv*:
assumes $*$: $(x, s') \in \text{set-spmf (exec-gpv callee gpv } s)$
shows $x \in \text{results'-gpv gpv}$
using *oi-True.in-set-spmf-exec-gpv-into-results-gpv[OF *]* **by**(*simp add: results-gpv-I-full*)

context *fixes* $\mathcal{I} :: ('out, 'in) \mathcal{I}$ **begin**

primcorec *restrict-gpv* :: $('a, 'out, 'in) \text{ gpv} \Rightarrow ('a, 'out, 'in) \text{ gpv}$
where

restrict-gpv gpv = GPV (
map-pmf (case-option None (case-generat (Some o Pure)
 $(\lambda \text{out } c. \text{if out} \in \text{outs-}\mathcal{I} \ \mathcal{I} \text{ then Some (IO out } (\lambda \text{input. if input} \in \text{responses-}\mathcal{I}$
 $\mathcal{I} \text{ out then restrict-gpv (c input) else Fail)$
 $\text{else None}))$
 $(\text{the-gpv gpv}))$

lemma *restrict-gpv-Done* [*simp*]: *restrict-gpv (Done x) = Done x*
by(*rule gpv.expand*)(*simp*)

lemma *restrict-gpv-Fail* [*simp*]: *restrict-gpv Fail = Fail*
by(*rule gpv.expand*)(*simp*)

lemma *restrict-gpv-Pause* [*simp*]: *restrict-gpv (Pause out c) = (if out \in outs- \mathcal{I} \mathcal{I} then Pause out $(\lambda \text{input. if input} \in \text{responses-}\mathcal{I} \ \mathcal{I} \text{ out then restrict-gpv (c input) else Fail)$ else Fail)*
by(*rule gpv.expand*)(*simp*)

lemma *restrict-gpv-bind* [*simp*]: *restrict-gpv (bind-gpv gpv f) = bind-gpv (restrict-gpv gpv) $(\lambda x. \text{restrict-gpv (f } x)$*
apply(*coinduction arbitrary: gpv rule: gpv.coinduct-strong*)
apply(*auto 4 3 simp del: bind-gpv-sel' simp add: bind-gpv.sel bind-spmf-def pmf.rel-map bind-map-pmf rel-fun-def intro!: rel-pmf-bind-reflI rel-pmf-reflI split!: option.split*)

generat.split split: if-split-asm)
done

lemma *WT-restrict-gpv* [*simp*]: $\mathcal{I} \vdash g \text{ restrict-gpv } gpv \checkmark$
apply(*coinduction arbitrary: gpv*)
apply(*clarsimp split: option.split-asm*)
apply(*split generat.split-asm; auto split: if-split-asm*)
done

lemma *exec-gpv-restrict-gpv*:
assumes $\mathcal{I} \vdash g \text{ gpv } \checkmark$ **and** *WT-callee*: $\bigwedge s. \mathcal{I} \vdash c \text{ callee } s \checkmark$
shows *exec-gpv callee (restrict-gpv gpv) s = exec-gpv callee gpv s*
using *assms(1)*
proof(*induction arbitrary: gpv s rule: exec-gpv-fixp-induct*)
case adm show ?case by simp
case bottom show ?case by simp
case (step exec-gpv[^]) show ?case
by(*auto 4 3 simp add: bind-spmf-def bind-map-pmf in-set-spmf[symmetric]*
WT-gpv-OutD[OF step.premis] WT-calleeD[OF WT-callee] intro!: bind-pmf-cong[OF
refl] step.IH split!: option.split generat.split intro: WT-gpv-ContD[OF step.premis])
qed

lemma *in-outs'-restrict-gpvD*: $x \in \text{outs}'\text{-gpv (restrict-gpv gpv)} \implies x \in \text{outs-}\mathcal{I} \mathcal{I}$
apply(*induction gpv'≡restrict-gpv gpv arbitrary: gpv rule: outs'-gpv-induct*)
apply(*clarsimp split: option.split-asm; split generat.split-asm; clarsimp split: if-split-asm*)
done

lemma *outs'-restrict-gpv*: $\text{outs}'\text{-gpv (restrict-gpv gpv)} \subseteq \text{outs-}\mathcal{I} \mathcal{I}$ **by**(*blast intro: in-outs'-restrict-gpvD*)

lemma *lossless-restrict-gpvI*: $\llbracket \text{lossless-gpv } \mathcal{I} \text{ gpv}; \mathcal{I} \vdash g \text{ gpv } \checkmark \rrbracket \implies \text{lossless-gpv } \mathcal{I} \text{ (restrict-gpv gpv)}$
apply(*induction rule: lossless-gpv-induct*)
apply(*rule lossless-gpvI*)
subgoal by(*clarsimp simp add: lossless-map-pmf lossless-iff-set-pmf-None in-set-spmf[symmetric]*
WT-gpv-OutD split: option.split-asm generat.split-asm if-split-asm)
subgoal by(*clarsimp split: option.split-asm; split generat.split-asm; force simp*
add: fun-eq-iff in-set-spmf[symmetric] split: if-split-asm intro: WT-gpv-ContD)
done

lemma *lossless-restrict-gpvD*: $\llbracket \text{lossless-gpv } \mathcal{I} \text{ (restrict-gpv gpv)}; \mathcal{I} \vdash g \text{ gpv } \checkmark \rrbracket \implies \text{lossless-gpv } \mathcal{I} \text{ gpv}$
proof(*induction gpv'≡restrict-gpv gpv arbitrary: gpv rule: lossless-gpv-induct*)
case (lossless-gpv p)
from *lossless-gpv.hyps(4)* **have** $p = \text{the-gpv (restrict-gpv gpv)}$ **by**(*cases restrict-gpv gpv*) *simp*
show ?case
proof(*rule lossless-gpvI*)
from *lossless-gpv.hyps(1)* **show** *lossless-spmf (the-gpv gpv)*

by(*auto simp add: p lossless-iff-set-pmf-None intro: rev-image-eqI*)
fix *out c input*
assume *IO: IO out c ∈ set-spmf (the-gpv gpv) and input: input ∈ responses- \mathcal{I}*
 \mathcal{I} *out*
from *lossless-gpv.premis(1) IO have out: out ∈ outs- \mathcal{I} \mathcal{I} by(rule WT-gpv-OutD)*
hence *IO out (λ input. if input ∈ responses- \mathcal{I} \mathcal{I} out then restrict-gpv (c input)*
else Fail) ∈ set-spmf p using IO
by(*auto simp add: p in-set-spmf intro: rev-bezI*)
from *lossless-gpv.hyps(3)[OF this input, of c input] WT-gpvD[OF lossless-gpv.premis*
IO] input
show *lossless-gpv \mathcal{I} (c input) by simp*
qed
qed

lemma colossless-restrict-gpvD:
 $\llbracket \text{colossless-gpv } \mathcal{I} (\text{restrict-gpv gpv}); \mathcal{I} \vdash g \text{ gpv } \checkmark \rrbracket \implies \text{colossless-gpv } \mathcal{I} \text{ gpv}$
proof(*coinduction arbitrary: gpv*)
case (*colossless-gpv gpv*)
have *?lossless-spmf using colossless-gpv(1)[THEN colossless-gpv-lossless-spmfD]*
by(*auto simp add: lossless-iff-set-pmf-None intro: rev-image-eqI*)
moreover have *?continuation*
proof(*intro strip disjI1*)
fix *out c input*
assume *IO: IO out c ∈ set-spmf (the-gpv gpv) and input: input ∈ responses- \mathcal{I}*
 \mathcal{I} *out*
from *colossless-gpv(2) IO have out: out ∈ outs- \mathcal{I} \mathcal{I} by(rule WT-gpv-OutD)*
hence *IO out (λ input. if input ∈ responses- \mathcal{I} \mathcal{I} out then restrict-gpv (c input)*
else Fail) ∈ set-spmf (the-gpv (restrict-gpv gpv))
using *IO by(auto simp add: in-set-spmf intro: rev-bezI)*
from *colossless-gpv-continuationD[OF colossless-gpv(1) this input] input WT-gpv-ContD[OF*
colossless-gpv(2) IO input]
show $\exists \text{gpv. } c \text{ input} = \text{gpv} \wedge \text{colossless-gpv } \mathcal{I} (\text{restrict-gpv gpv}) \wedge \mathcal{I} \vdash g \text{ gpv } \checkmark$
by *simp*
qed
ultimately show *?case ..*
qed

lemma colossless-restrict-gpvI:
 $\llbracket \text{colossless-gpv } \mathcal{I} \text{ gpv}; \mathcal{I} \vdash g \text{ gpv } \checkmark \rrbracket \implies \text{colossless-gpv } \mathcal{I} (\text{restrict-gpv gpv})$
proof(*coinduction arbitrary: gpv*)
case (*colossless-gpv gpv*)
have *?lossless-spmf using colossless-gpv(1)[THEN colossless-gpv-lossless-spmfD]*
by(*auto simp add: lossless-iff-set-pmf-None in-set-spmf[symmetric] split: op-*
tion.split-asm generat.split-asm if-split-asm dest: WT-gpv-OutD[OF colossless-gpv(2)])
moreover have *?continuation*
proof(*intro strip disjI1*)
fix *out c input*
assume *IO: IO out c ∈ set-spmf (the-gpv (restrict-gpv gpv)) and input: input*

$\in \text{responses-}\mathcal{I} \ \mathcal{I} \ \text{out}$
then obtain c' **where** $\text{out} \in \text{outs-}\mathcal{I} \ \mathcal{I}$
and $c: c = (\lambda \text{input}. \text{if } \text{input} \in \text{responses-}\mathcal{I} \ \mathcal{I} \ \text{out} \text{ then } \text{restrict-gpv} \ (c' \ \text{input})$
else Fail)
and $\text{IO}' : \text{IO} \ \text{out} \ c' \in \text{set-spmf} \ (\text{the-gpv} \ \text{gpv})$
by(*clarsimp split: option.split-asm; split generat.split-asm; clarsimp simp add:*
in-set-spmf split: if-split-asm)
with $\text{input} \ \text{WT-gpv-ContD}[\text{OF} \ \text{colossless-gpv}(2) \ \text{IO}' \ \text{input}] \ \text{colossless-gpv-continuationD}[\text{OF}$
 $\text{colossless-gpv}(1) \ \text{IO}' \ \text{input}]$
show $\exists \text{gpv}. c \ \text{input} = \text{restrict-gpv} \ \text{gpv} \wedge \text{colossless-gpv} \ \mathcal{I} \ \text{gpv} \wedge \mathcal{I} \vdash g \ \text{gpv} \ \checkmark$
by(*auto*)
qed
ultimately show $?case \ ..$
qed

lemma *gen-colossless-restrict-gpv* [*simp*]:
 $\mathcal{I} \vdash g \ \text{gpv} \ \checkmark \implies \text{gen-lossless-gpv} \ b \ \mathcal{I} \ (\text{restrict-gpv} \ \text{gpv}) \longleftrightarrow \text{gen-lossless-gpv} \ b \ \mathcal{I}$
 gpv
by(*cases b*)(*auto intro: lossless-restrict-gpvI lossless-restrict-gpvD colossless-restrict-gpvI*
colossless-restrict-gpvD)

lemma *interaction-bound-restrict-gpv*:
 $\text{interaction-bound} \ \text{consider} \ (\text{restrict-gpv} \ \text{gpv}) \leq \text{interaction-bound} \ \text{consider} \ \text{gpv}$
proof(*induction arbitrary: gpv rule: interaction-bound-fixp-induct*)
case adm show $?case$ **by** *simp*
case bottom show $?case$ **by** *simp*
case (*step interaction-bound'*)
show $?case$ **using** *step.hyps(1)*[*of Fail*]
by(*fastforce simp add: SUP-UNION set-spmf-def bind-UNION intro: SUP-mono*
rev-bexI step.IH split: option.split generat.split)
qed

lemma *interaction-bounded-by-restrict-gpvI* [*interaction-bound, simp*]:
 $\text{interaction-bounded-by} \ \text{consider} \ \text{gpv} \ n \implies \text{interaction-bounded-by} \ \text{consider} \ (\text{restrict-gpv}$
 $\text{gpv}) \ n$
using *interaction-bound-restrict-gpv*[*of consider gpv*] **by**(*simp add: interaction-bounded-by.simps*)

end

lemma *restrict-gpv-parametric'*:
includes *lifting-syntax*
notes [*transfer-rule*] = *the-gpv-parametric' Fail-parametric' corec-gpv-parametric'*
assumes [*transfer-rule*]: *bi-unique C bi-unique R*
shows ($\text{rel-}\mathcal{I} \ C \ R \implies \text{rel-gpv}'' \ A \ C \ R \implies \text{rel-gpv}'' \ A \ C \ R$) *restrict-gpv*
restrict-gpv
unfolding *restrict-gpv-def* **by** *transfer-prover*

lemma *restrict-gpv-parametric* [*transfer-rule*]: **includes** *lifting-syntax* **shows**
 $\text{bi-unique} \ C \implies (\text{rel-}\mathcal{I} \ C \ (=) \implies \text{rel-gpv} \ A \ C \implies \text{rel-gpv} \ A \ C)$ *restrict-gpv*

```

restrict-gpv
using restrict-gpv-parametric'[of  $C (=) A$ ]
by(simp add: bi-unique-eq rel-gpv-conv-rel-gpv')

lemma map-restrict-gpv: map-gpv f id (restrict-gpv  $\mathcal{I}$  gpv) = restrict-gpv  $\mathcal{I}$  (map-gpv f id gpv)
for gpv :: ('a, 'out, 'ret) gpv
using restrict-gpv-parametric[of BNF-Def.Grp UNIV (id :: 'out  $\Rightarrow$  'out) BNF-Def.Grp UNIV f, where ?'c='ret]
unfolding gpv.rel-Grp by (simp add: eq-alt[symmetric] rel- $\mathcal{I}$ -eq rel-fun-def bi-unique-eq)(simp add: Grp-def)

lemma (in callee-invariant-on) exec-gpv-restrict-gpv-invariant:
  assumes  $\mathcal{I} \vdash g \text{ gpv } \checkmark$  and  $I \ s$ 
  shows exec-gpv callee (restrict-gpv  $\mathcal{I}$  gpv) s = exec-gpv callee gpv s
using assms
proof(induction arbitrary: gpv s rule: exec-gpv-fixp-induct)
  case adm show ?case by simp
  case bottom show ?case by simp
  case (step exec-gpv ^) show ?case using step.prem(2)
    by(auto 4 3 simp add: bind-spmf-def bind-map-pmf in-set-spmf[symmetric] WT-gpv-OutD[OF step.prem(1)] WT-calleeD[OF WT-callee[OF step.prem(2)]] intro!: bind-pmf-cong[OF refl] step.IH split!: option.split generat.split intro: WT-gpv-ContD[OF step.prem(1)] callee-invariant)
qed

context fixes  $\mathcal{I} :: ('out, 'in) \mathcal{I}$  begin

inductive finite-gpv :: ('a, 'out, 'in) gpv  $\Rightarrow$  bool
where
  finite-gpvI:
    ( $\bigwedge out \ c \ input. \llbracket IO \ out \ c \in \text{set-spmf } (the-gpv \ gpv); \text{input} \in \text{responses-}\mathcal{I} \ \mathcal{I} \ out \rrbracket$ 
 $\Longrightarrow \text{finite-gpv } (c \ input) \Longrightarrow \text{finite-gpv } gpv$ )

lemmas finite-gpv-induct[consumes 1, case-names finite-gpv, induct pred] = finite-gpv.induct

lemma finite-gpvD:  $\llbracket \text{finite-gpv } gpv; IO \ out \ c \in \text{set-spmf } (the-gpv \ gpv); \text{input} \in \text{responses-}\mathcal{I} \ \mathcal{I} \ out \rrbracket \Longrightarrow \text{finite-gpv } (c \ input)$ 
by(auto elim: finite-gpv.cases)

lemma finite-gpv-Fail [simp]: finite-gpv Fail
by(auto intro: finite-gpvI)

lemma finite-gpv-Done [simp]: finite-gpv (Done x)
by(auto intro: finite-gpvI)

lemma finite-gpv-Pause [simp]: finite-gpv (Pause x c)  $\longleftrightarrow$  ( $\forall input \in \text{responses-}\mathcal{I} \ \mathcal{I} \ x. \text{finite-gpv } (c \ input)$ )
by(auto dest: finite-gpvD intro: finite-gpvI)

```



```

lemma finite-gpv-lift-spmf [simp]: finite-gpv (lift-spmf p)
by(auto intro: finite-gpvI)

lemma finite-gpv-bind [simp]:
  finite-gpv (gpv  $\ggg$  f)  $\longleftrightarrow$  finite-gpv gpv  $\wedge$  ( $\forall x \in \text{results-gpv } \mathcal{I} \text{ gpv. } \text{finite-gpv } (f$ 
  x)
  (is ?lhs = ?rhs)
proof(intro iffI conjI ballI; (elim conjE)?)
  show finite-gpv gpv if ?lhs using that
  proof(induction gpv'  $\equiv$  gpv  $\ggg$  f arbitrary: gpv)
    case finite-gpv
    show ?case
    proof(rule finite-gpvI)
      fix out c input
      assume IO: IO out c  $\in$  set-spmf (the-gpv gpv)
      and input: input  $\in$  responses- $\mathcal{I}$   $\mathcal{I}$  out
      have IO out ( $\lambda$ input. c input  $\ggg$  f)  $\in$  set-spmf (the-gpv (gpv  $\ggg$  f))
      using IO by(auto intro: rev-bexI)
      thus finite-gpv (c input) using input by(rule finite-gpv.hyps) simp
    qed
  qed
  show finite-gpv (f x) if x  $\in$  results-gpv  $\mathcal{I}$  gpv ?lhs for x using that
  proof(induction)
    case (Pure gpv)
    show ?case
    proof
      fix out c input
      assume IO out c  $\in$  set-spmf (the-gpv (f x)) input  $\in$  responses- $\mathcal{I}$   $\mathcal{I}$  out
      with Pure have IO out c  $\in$  set-spmf (the-gpv (gpv  $\ggg$  f)) by(auto intro:
      rev-bexI)
      with Pure.prems show finite-gpv (c input) by(rule finite-gpvD) fact
    qed
  next
    case (IO out c gpv input)
    with IO.hyps have IO out ( $\lambda$ input. c input  $\ggg$  f)  $\in$  set-spmf (the-gpv (gpv
     $\ggg$  f))
    by(auto intro: rev-bexI)
    with IO.prems have finite-gpv (c input  $\ggg$  f) using IO.hyps(2) by(rule
    finite-gpvD)
    thus ?case by(rule IO.IH)
  qed
  show ?lhs if finite-gpv gpv  $\forall x \in$  results-gpv  $\mathcal{I}$  gpv. finite-gpv (f x) using that
  proof induction
    case (finite-gpv gpv)
    show ?case
    proof(rule finite-gpvI)
      fix out c input
      assume IO: IO out c  $\in$  set-spmf (the-gpv (gpv  $\ggg$  f)) and input: input  $\in$ 

```

```

responses- $\mathcal{I}$   $\mathcal{I}$  out
  then obtain generat where generat: generat  $\in$  set-spmf (the-gpv gpv)
    and IO: IO out  $c \in$  set-spmf (if is-Pure generat then the-gpv (f (result
generat))) else
      return-spmf (IO (output generat) ( $\lambda$ input. continuation generat
input  $\gg=$  f)))
    by(auto)
  show finite-gpv (c input)
  proof(cases generat)
    case (Pure x)
      with generat IO have  $x \in$  results-gpv  $\mathcal{I}$  gpv IO out  $c \in$  set-spmf (the-gpv
(f x))
        by(auto intro: results-gpv.Pure)
      thus ?thesis using finite-gpv.premis input by(auto dest: finite-gpvD)
    next
      case *: (IO out' c')
        with IO generat finite-gpv.premis input show ?thesis
          by(auto 4 4 intro: finite-gpv.IH results-gpv.IO)
    qed
  qed
  qed
  qed
end

```

context includes lifting-syntax begin

```

lemma finite-gpv-rel''D1:
  assumes rel-gpv'' A C R gpv gpv' and finite-gpv  $\mathcal{I}$  gpv and  $\mathcal{I}$ : rel- $\mathcal{I}$  C R  $\mathcal{I}$   $\mathcal{I}'$ 
  shows finite-gpv  $\mathcal{I}'$  gpv'
  using assms(2,1)
  proof(induction arbitrary: gpv')
    case (finite-gpv gpv)
      note finite-gpv.premis[transfer-rule]
      show ?case
      proof(rule finite-gpvI)
        fix out' c' input'
        assume IO: IO out' c'  $\in$  set-spmf (the-gpv gpv') and input': input'  $\in$  responses- $\mathcal{I}$ 
 $\mathcal{I}'$  out'
          have rel-set (rel-generat A C (R  $\implies$  (rel-gpv'' A C R))) (set-spmf (the-gpv
gpv)) (set-spmf (the-gpv gpv'))
            supply the-gpv-parametric'[transfer-rule] by transfer-prover
          with IO input' responses- $\mathcal{I}$ -parametric[THEN rel-funD, OF  $\mathcal{I}$ ] obtain out c
input
            where IO out c  $\in$  set-spmf (the-gpv gpv) input  $\in$  responses- $\mathcal{I}$   $\mathcal{I}$  out rel-gpv''
A C R (c input) (c' input')
              by(auto 4 3 dest!: rel-setD2 elim!: generat.rel-cases dest: rel-funD)
            then show finite-gpv  $\mathcal{I}'$  (c' input') by(rule finite-gpv.IH)
          qed
        qed
      qed
  qed

```

qed

lemma *finite-gpv-relD1*: $\llbracket \text{rel-gpv } A \ C \ \text{gpv } \text{gpv}' ; \text{finite-gpv } \mathcal{I} \ \text{gpv} ; \text{rel-}\mathcal{I} \ C \ (=) \ \mathcal{I} \ \mathcal{I} \rrbracket \implies \text{finite-gpv } \mathcal{I} \ \text{gpv}'$
using *finite-gpv-rel''D1*[of $A \ C \ (=) \ \text{gpv } \text{gpv}' \ \mathcal{I} \ \mathcal{I}$] **by**(*simp add: rel-gpv-conv-rel-gpv''*)

lemma *finite-gpv-rel''D2*: $\llbracket \text{rel-gpv}'' \ A \ C \ R \ \text{gpv } \text{gpv}' ; \text{finite-gpv } \mathcal{I} \ \text{gpv}' ; \text{rel-}\mathcal{I} \ C \ R \ \mathcal{I}' \ \mathcal{I} \rrbracket \implies \text{finite-gpv } \mathcal{I}' \ \text{gpv}$
using *finite-gpv-rel''D1*[of $A^{-1-1} \ C^{-1-1} \ R^{-1-1} \ \text{gpv}' \ \text{gpv} \ \mathcal{I} \ \mathcal{I}'$] **by**(*simp add: rel-gpv''-conversep*)

lemma *finite-gpv-relD2*: $\llbracket \text{rel-gpv } A \ C \ \text{gpv } \text{gpv}' ; \text{finite-gpv } \mathcal{I} \ \text{gpv}' ; \text{rel-}\mathcal{I} \ C \ (=) \ \mathcal{I} \ \mathcal{I} \rrbracket \implies \text{finite-gpv } \mathcal{I} \ \text{gpv}$
using *finite-gpv-rel''D2*[of $A \ C \ (=) \ \text{gpv } \text{gpv}' \ \mathcal{I} \ \mathcal{I}$] **by**(*simp add: rel-gpv-conv-rel-gpv''*)

lemma *finite-gpv-parametric'*: $(\text{rel-}\mathcal{I} \ C \ R \implies \text{rel-gpv}'' \ A \ C \ R \implies (=))$
finite-gpv finite-gpv
by(*blast dest: finite-gpv-rel''D2 finite-gpv-rel''D1*)

lemma *finite-gpv-parametric* [*transfer-rule*]: $(\text{rel-}\mathcal{I} \ C \ (=) \implies \text{rel-gpv } A \ C \implies (=))$ *finite-gpv finite-gpv*
using *finite-gpv-parametric'*[of $C \ (=) \ A$] **by**(*simp add: rel-gpv-conv-rel-gpv''*)

end

lemma *finite-gpv-map* [*simp*]: $\text{finite-gpv } \mathcal{I} \ (\text{map-gpv } f \ \text{id } \text{gpv}) = \text{finite-gpv } \mathcal{I} \ \text{gpv}$
using *finite-gpv-parametric*[of $\text{BNF-Def.Grp UNIV id BNF-Def.Grp UNIV } f$]
unfolding *gpv.rel-Grp* **by**(*auto simp add: rel-fun-def BNF-Def.Grp-def eq-commute rel-I-eq*)

lemma *finite-gpv-assert* [*simp*]: $\text{finite-gpv } \mathcal{I} \ (\text{assert-gpv } b)$
by(*cases b simp-all*)

lemma *finite-gpv-try* [*simp*]:

$\text{finite-gpv } \mathcal{I} \ (\text{TRY } \text{gpv} \ \text{ELSE } \text{gpv}') \longleftrightarrow \text{finite-gpv } \mathcal{I} \ \text{gpv} \wedge (\text{colossless-gpv } \mathcal{I} \ \text{gpv} \vee \text{finite-gpv } \mathcal{I} \ \text{gpv}')$
(*is ?lhs = -*)

proof(*intro iffI conjI; (elim conjE disjE)?*)

show *1: finite-gpv I gpv if ?lhs using that*

proof(*induction gpv'' \equiv TRY gpv ELSE gpv' arbitrary: gpv*)

case (*finite-gpv gpv*)

show *?case*

proof(*rule finite-gpvI*)

fix *out c input*

assume *IO: IO out c \in set-spmf (the-gpv gpv) and input: input \in responses-I*

I out

from *IO have IO out $(\lambda \text{input. TRY } c \ \text{input } \text{ELSE } \text{gpv}') \in \text{set-spmf (the-gpv (TRY } \text{gpv } \text{ELSE } \text{gpv}'))$*

by(*auto simp add: image-image generat.map-comp o-def intro: rev-image-eqI*)

```

    thus finite-gpv  $\mathcal{I}$  (c input) using input by(rule finite-gpv.hyps) simp
  qed
  qed
  have finite-gpv  $\mathcal{I}$  gpv' if ?lhs  $\neg$  colossless-gpv  $\mathcal{I}$  gpv using that
  proof(induction gpv'' $\equiv$ TRY gpv ELSE gpv' arbitrary: gpv)
    case (finite-gpv gpv)
      show ?case
      proof(cases lossless-spmf (the-gpv gpv))
        case True
          have  $\exists$  out c input. IO out c  $\in$  set-spmf (the-gpv gpv)  $\wedge$  input  $\in$  responses- $\mathcal{I}$ 
 $\mathcal{I}$  out  $\wedge$   $\neg$  colossless-gpv  $\mathcal{I}$  (c input)
          using finite-gpv.premis by(rule contrapos- $\mathcal{I}$ )(auto intro: colossless-gpvI simp
add: True)
          then obtain out c input where IO: IO out c  $\in$  set-spmf (the-gpv gpv)
            and co':  $\neg$  colossless-gpv  $\mathcal{I}$  (c input)
            and input: input  $\in$  responses- $\mathcal{I}$   $\mathcal{I}$  out by blast
          from IO have IO out  $(\lambda$ input. TRY c input ELSE gpv')  $\in$  set-spmf (the-gpv
(TRY gpv ELSE gpv'))
          by(auto simp add: image-image generat.map-comp o-def intro: rev-image-eqI)
          with co' show ?thesis using input by(blast intro: finite-gpv.hyps(2))
        next
          case False
            show ?thesis
            proof(rule finite-gpvI)
              fix out c input
                assume IO: IO out c  $\in$  set-spmf (the-gpv gpv') and input: input  $\in$ 
responses- $\mathcal{I}$   $\mathcal{I}$  out
                from IO False have IO out c  $\in$  set-spmf (the-gpv (TRY gpv ELSE gpv'))
by(auto intro: rev-image-eqI)
                then show finite-gpv  $\mathcal{I}$  (c input) using input by(rule finite-gpv.hyps)
              qed
            qed
          qed
        then show colossless-gpv  $\mathcal{I}$  gpv  $\vee$  finite-gpv  $\mathcal{I}$  gpv' if ?lhs using that by blast

show ?lhs if finite-gpv  $\mathcal{I}$  gpv finite-gpv  $\mathcal{I}$  gpv' using that(1)
proof induction
  case (finite-gpv gpv)
    show ?case
    proof
      fix out c input
        assume IO: IO out c  $\in$  set-spmf (the-gpv (TRY gpv ELSE gpv'))
          and input: input  $\in$  responses- $\mathcal{I}$   $\mathcal{I}$  out
          then consider (gpv) c' where IO out c'  $\in$  set-spmf (the-gpv gpv) c =
 $(\lambda$ input. TRY c' input ELSE gpv')
          | (gpv') IO out c  $\in$  set-spmf (the-gpv gpv') by(auto split: if-split-asm)
          then show finite-gpv  $\mathcal{I}$  (c input) using input
          by cases(auto intro: finite-gpv.IH finite-gpvD[OF that(2)])
        qed
      qed
    qed
  qed

```

qed
show $?lhs$ **if** $finite-gpv \mathcal{I} \text{ } gpv \text{ } colossless-gpv \mathcal{I} \text{ } gpv$ **using** *that*
proof *induction*
 case ($finite-gpv \text{ } gpv$)
 show $?case$
 by($rule \text{ } finite-gpvI$)($use \text{ } finite-gpv.premis \text{ } in \text{ } \langle fastforce \text{ } split: \text{ } if-split-asm \text{ } dest: \text{ } colossless-gpvD \text{ } intro: \text{ } finite-gpv.IH \rangle$)
qed
qed

lemma *lossless-gpv-conv-finite*:
 $lossless-gpv \mathcal{I} \text{ } gpv \longleftrightarrow finite-gpv \mathcal{I} \text{ } gpv \wedge colossless-gpv \mathcal{I} \text{ } gpv$
(is $?loss \longleftrightarrow ?fin \wedge ?co$)
proof($intro \text{ } iffI \text{ } conjI; \text{ } (elim \text{ } conjE)?$)
 show $?fin$ **if** $?loss$ **using** *that* **by** *induction*($auto \text{ } intro: \text{ } finite-gpvI$)
 show $?co$ **if** $?loss$ **using** *that* **by** *induction*($auto \text{ } intro: \text{ } colossless-gpvI$)
 show $?loss$ **if** $?fin \text{ } ?co$ **using** *that*
 proof *induction*
 case ($finite-gpv \text{ } gpv$)
 from $finite-gpv.premis \text{ } finite-gpv.IH$ **show** $?case$
 by $cases(auto \text{ } intro: \text{ } lossless-gpvI)$
 qed
qed

lemma *colossless-gpv-try [simp]*:
 $colossless-gpv \mathcal{I} \text{ } (TRY \text{ } gpv \text{ } ELSE \text{ } gpv') \longleftrightarrow colossless-gpv \mathcal{I} \text{ } gpv \vee colossless-gpv \mathcal{I} \text{ } gpv'$
(is $?lhs \longleftrightarrow ?gpv \vee ?gpv'$)
proof($intro \text{ } iffI \text{ } disjCI; \text{ } (elim \text{ } disjE)?$)
 show $?gpv$ **if** $?lhs \neg ?gpv'$ **using** *that*(1)
 proof(*coinduction arbitrary: gpv*)
 case ($colossless-gpv \text{ } gpv$)
 have $?lossless-spmf$
 proof($rule \text{ } ccontr$)
 assume $loss: \neg ?lossless-spmf$
 with $colossless-gpv-lossless-spmfD[OF \text{ } colossless-gpv(1)]$
 have $gpv': lossless-spmf \text{ } (the-gpv \text{ } gpv')$ **by** *auto*
 have $\exists out \text{ } c \text{ } input. IO \text{ } out \text{ } c \in set-spmf \text{ } (the-gpv \text{ } gpv') \wedge input \in responses-\mathcal{I}$
 $\mathcal{I} \text{ } out \wedge \neg colossless-gpv \mathcal{I} \text{ } (c \text{ } input)$
 using *that*(2) **by**($rule \text{ } contrapos-np$)($auto \text{ } intro: \text{ } colossless-gpvI \text{ } gpv'$)
 then obtain $out \text{ } c \text{ } input$
 where $IO: IO \text{ } out \text{ } c \in set-spmf \text{ } (the-gpv \text{ } gpv')$
 and $co': \neg colossless-gpv \mathcal{I} \text{ } (c \text{ } input)$
 and $input: input \in responses-\mathcal{I} \text{ } \mathcal{I} \text{ } out$ **by** *blast*
 from $IO \text{ } loss$ **have** $IO \text{ } out \text{ } c \in set-spmf \text{ } (the-gpv \text{ } (TRY \text{ } gpv \text{ } ELSE \text{ } gpv'))$
 by($auto \text{ } intro: \text{ } rev-image-eqI$)
 with $colossless-gpv(1)$ **have** $colossless-gpv \mathcal{I} \text{ } (c \text{ } input)$ **using** $input$
 by($rule \text{ } colossless-gpv-continuationD$)
 with co' **show** *False by contradiction*

```

qed
moreover have ?continuation
proof(intro strip disjI1; simp)
  fix out c input
  assume IO: IO out c ∈ set-spmf (the-gpv gpv) and input: input ∈ responses- $\mathcal{I}$ 
 $\mathcal{I}$  out
  hence IO out (λinput. TRY c input ELSE gpv') ∈ set-spmf (the-gpv (TRY
gpv ELSE gpv'))
  by(auto intro: rev-image-eqI)
  with colossless-gpv show colossless-gpv  $\mathcal{I}$  (TRY c input ELSE gpv')
  by(rule colossless-gpv-continuationD)(simp add: input)
qed
ultimately show ?case ..
qed
show ?lhs if ?gpv'
proof(coinduction arbitrary: gpv)
  case colossless-gpv
  show ?case using colossless-gpvD[OF that] by(auto 4 3)
qed
show ?lhs if ?gpv using that
proof(coinduction arbitrary: gpv)
  case colossless-gpv
  show ?case using colossless-gpvD[OF colossless-gpv] by(auto 4 3)
qed
qed

```

```

lemma lossless-gpv-try [simp]:
  lossless-gpv  $\mathcal{I}$  (TRY gpv ELSE gpv')  $\longleftrightarrow$ 
  finite-gpv  $\mathcal{I}$  gpv ∧ (lossless-gpv  $\mathcal{I}$  gpv ∨ lossless-gpv  $\mathcal{I}$  gpv')
by(auto simp add: lossless-gpv-conv-finite)

```

```

lemma interaction-any-bounded-by-imp-finite:
  assumes interaction-any-bounded-by gpv (enat n)
  shows finite-gpv  $\mathcal{I}$ -full gpv
using assms
proof(induction n arbitrary: gpv)
  case 0
  then show ?case by(auto intro: finite-gpv.intros dest: interaction-bounded-by-contD
simp add: zero-enat-def[symmetric])
next
  case (Suc n)
  from Suc.premis show ?case unfolding eSuc-enat[symmetric]
  by(auto 4 4 intro: finite-gpv.intros Suc.IH dest: interaction-bounded-by-contD)
qed

```

```

lemma finite-restrict-gpvI [simp]: finite-gpv  $\mathcal{I}'$  gpv  $\implies$  finite-gpv  $\mathcal{I}'$  (restrict-gpv
 $\mathcal{I}$  gpv)
by(induction rule: finite-gpv-induct)(rule finite-gpvI; clarsimp split: option.split-asm;
split generat.split-asm; clarsimp split: if-split-asm simp add: in-set-spmf)

```

lemma *interaction-bounded-by-exec-gpv-bad-count*:
fixes *count* **and** *bad* **and** *n* :: *enat* **and** *k* :: *real*
assumes *bound*: *interaction-bounded-by consider gpv n*
and *good*: \neg *bad s*
and *count*: $\bigwedge s x y s'. \llbracket (y, s') \in \text{set-spmf } (\text{callee } s x); \text{ consider } x; x \in \text{outs-}\mathcal{I} \mathcal{I} \rrbracket \implies \text{count } s' \leq \text{Suc } (\text{count } s)$
and *ignore*: $\bigwedge s x y s'. \llbracket (y, s') \in \text{set-spmf } (\text{callee } s x); \neg \text{ consider } x; x \in \text{outs-}\mathcal{I} \mathcal{I} \rrbracket \implies \text{count } s' \leq \text{count } s$
and *bad*: $\bigwedge s' x. \llbracket \neg \text{ bad } s'; \text{ count } s' < n + \text{count } s; \text{ consider } x; x \in \text{outs-}\mathcal{I} \mathcal{I} \rrbracket \implies \text{spmfs } (\text{map-spmf } (\text{bad} \circ \text{snd}) (\text{callee } s' x)) \text{ True} \leq k$
and *consider*: $\bigwedge s x y s'. \llbracket (y, s') \in \text{set-spmf } (\text{callee } s x); \neg \text{ bad } s; \text{ bad } s'; x \in \text{outs-}\mathcal{I} \mathcal{I} \rrbracket \implies \text{consider } x$
and *k-nonneg*: $k \geq 0$
and *WT-gpv*: $\mathcal{I} \vdash_g \text{gpv} \checkmark$
and *WT-callee*: $\bigwedge s. \mathcal{I} \vdash_c \text{callee } s \checkmark$
shows $\text{spmfs } (\text{map-spmf } (\text{bad} \circ \text{snd}) (\text{exec-gpv } \text{callee } \text{gpv } s)) \text{ True} \leq \text{ennreal } k * n$
using *bound good bad WT-gpv*
proof(*induction arbitrary: gpv s n rule: exec-gpv-fixp-induct*)
case *adm show* ?*case* **by**(*rule cont-intro ccpo-class.admissible-leI*)
case *bottom show* ?*case* **using** *k-nonneg* **by**(*simp add: zero-ereal-def[symmetric]*)
next
case (*step exec-gpv*)
let ?*M* = *restrict-space* (*measure-spmf* (*the-gpv gpv*)) {*IO out c* | *out c. True*}
have $\text{ennreal } (\text{spmfs } (\text{map-spmf } (\text{bad} \circ \text{snd}) (\text{bind-spmf } (\text{the-gpv } \text{gpv}) (\text{case-generat } (\lambda x. \text{return-spmf } (x, s)) (\lambda \text{out } c. \text{bind-spmf } (\text{callee } s \text{ out}) (\lambda(x, y). \text{exec-gpv}' (c x) y)))))) \text{ True}) =$
 $\text{ennreal } (\text{spmfs } (\text{bind-spmf } (\text{the-gpv } \text{gpv}) (\lambda \text{generat. case generat of Pure } x \Rightarrow \text{return-spmf } (\text{bad } s) |$
 $\text{IO out rpv} \Rightarrow \text{bind-spmf } (\text{callee } s \text{ out}) (\lambda(x, s'). \text{map-spmf } (\text{bad} \circ \text{snd}) (\text{exec-gpv}' (rpv x) s')))) \text{ True})$
(is $= \text{ennreal } (\text{spmfs } (\text{bind-spmf } - (\text{case-generat } - \text{?io})) -)$
by(*simp add: map-spmf-bind-spmf o-def generat.case-distrib[where h=map-spmf*
 $-]$ *split-def cong del: generat.case-cong-weak*)
also **have** $\dots = \int^+ \text{generat. } \int^+ (x, s'). \text{spmfs } (\text{map-spmf } (\text{bad} \circ \text{snd}) (\text{exec-gpv}' (\text{continuation generat } x) s')) \text{ True} \partial \text{measure-spmf } (\text{callee } s (\text{output generat})) \partial ?M$
using *step.premis(2)* **by**(*auto simp add: ennreal-spmf-bind nn-integral-restrict-space*
intro!: nn-integral-cong split: generat.split)
also **have** $\dots \leq \int^+ \text{generat. } \int^+ (x, s'). (\text{if } \text{bad } s' \text{ then } 1 \text{ else } \text{ennreal } k * (\text{if } \text{consider } (\text{output generat}) \text{ then } n - 1 \text{ else } n)) \partial \text{measure-spmf } (\text{callee } s (\text{output generat})) \partial ?M$
proof(*clarsimp intro!: nn-integral-mono-AE simp add: AE-restrict-space-iff split del: if-split cong del: if-cong*)
show $\text{ennreal } (\text{spmfs } (\text{map-spmf } (\text{bad} \circ \text{snd}) (\text{exec-gpv}' (rpv \text{ret}) s')) \text{ True})$
 $\leq (\text{if } \text{bad } s' \text{ then } 1 \text{ else } \text{ennreal } k * \text{ennreal-of-enat } (\text{if } \text{consider } \text{out} \text{ then } n - 1 \text{ else } n))$
if *IO*: $\text{IO out rpv} \in \text{set-spmf } (\text{the-gpv } \text{gpv})$
and *call*: $(\text{ret}, s') \in \text{set-spmf } (\text{callee } s \text{ out})$

```

    for out rpv ret s'
  proof(cases bad s')
    case True
    then show ?thesis by(simp add: pmf-le-1)
  next
    case False
    let ?n' = if consider out then n - 1 else n
  have out: out ∈ outs- $\mathcal{I}$   $\mathcal{I}$  using IO step.prems(4) by(simp add: WT-gpv-OutD)
  have bound': interaction-bounded-by consider (rpv ret) ?n'
    using interaction-bounded-by-contD[OF step.prems(1) IO]
      interaction-bounded-by-contD-ignore[OF step.prems(1) IO] by(auto)
  have ret ∈ responses- $\mathcal{I}$   $\mathcal{I}$  out using WT-callee call out by(rule WT-calleeD)
  with step.prems(4) IO have WT':  $\mathcal{I} \vdash_g$  rpv ret  $\surd$  by(rule WT-gpv-ContD)
  have bad': spmf (map-pmf (map-option (bad ∘ snd)) (callee s'' x)) True ≤
k
    if ¬ bad s'' and count': count s'' < ?n' + count s' and consider x and x
∈ outs- $\mathcal{I}$   $\mathcal{I}$ 
    for s'' x using (¬ bad s'') - (consider x) (x ∈ outs- $\mathcal{I}$   $\mathcal{I}$ )
  proof(rule step.prems)
    show count s'' < n + count s
    proof(cases consider out)
      case True
      with count[OF call True out] count' interaction-bounded-by-contD[OF
step.prems(1) IO, of undefined]
      show ?thesis by(cases n)(auto simp add: one-enat-def)
    next
      case False
      with ignore[OF call - out] count' show ?thesis by(cases n)auto
    qed
  qed
  from step.IH[OF bound' False this] False WT' show ?thesis by(auto simp
add: o-def)
  qed
  qed
  also have ... =  $\int^+$  generat.  $\int^+$  b. indicator {True} b + ennreal k * (if con-
sider (output generat) then n - 1 else n) * indicator {False} b  $\partial$ measure-spmf
(map-spmf (bad ∘ snd) (callee s (output generat)))  $\partial^?M$ 
    (is - =  $\int^+$  generat.  $\int^+$  -. -  $\partial^?O'$  generat  $\partial$ -)
    by(auto intro!: nn-integral-cong)
  also have ... =  $\int^+$  generat. ( $\int^+$  b. indicator {True} b  $\partial^?O'$  generat) + ennreal
k * (if consider (output generat) then n - 1 else n) *  $\int^+$  b. indicator {False} b
 $\partial^?O'$  generat  $\partial^?M$ 
    by(subst nn-integral-add)(simp-all add: k-nonneg nn-integral-cmult o-def)
  also have ... =  $\int^+$  generat. ennreal (spmf (map-spmf (bad ∘ snd) (callee s
(output generat)))) True) + ennreal k * (if consider (output generat) then n - 1
else n) * spmf (map-spmf (bad ∘ snd) (callee s (output generat))) False  $\partial^?M$ 
    by(simp del: nn-integral-map-spmf add: emeasure-spmf-single ereal-of-enat-mult)
  also have ... ≤  $\int^+$  generat. ennreal k * n  $\partial^?M$ 
  proof(intro nn-integral-mono-AE, clarsimp intro!: nn-integral-mono-AE simp

```



```

add: AE-restrict-space-iff not-is-Pure-conv split del: if-split)
  fix out c
  assume IO: IO out c ∈ set-spmf (the-gpv gpv)
  with step.premis(4) have out: out ∈ outs- $\mathcal{I}$   $\mathcal{I}$  by(rule WT-gpv-OutD)
  show spmf (map-spmf (bad ∘ snd) (callee s out)) True +
    ennreal k * (if consider out then n - 1 else n) * spmf (map-spmf (bad ∘
snd) (callee s out)) False
    ≤ ennreal k * n
  proof(cases consider out)
    case True
      with IO have n > 0 using interaction-bounded-by-contD[OF step.premis(1)]
by(blast dest: interaction-bounded-by-contD)
      have spmf (map-spmf (bad ∘ snd) (callee s out)) True ≤ k (is ?o True ≤ -)
        using ⟨¬ bad s⟩ True ⟨n > 0⟩ out by(intro step.premis)(simp)
      hence ennreal (?o True) ≤ k using k-nonneg by(simp del: o-apply)
      hence ?o True + ennreal k * (n - 1) * ?o False ≤ ennreal k + ennreal k *
(n - 1) * ennreal 1
        by(rule add-mono)(rule mult-left-mono, simp-all add: pmf-le-1 k-nonneg)
      also have ... ≤ ennreal k * n using ⟨n > 0⟩
        by(cases n)(auto simp add: zero-enat-def ennreal-top-mult gr0-conv-Suc
eSuc-enat[symmetric] field-simps)
      finally show ?thesis using True by(simp del: o-apply add: ereal-of-enat-mult)
    next
      case False
        hence spmf (map-spmf (bad ∘ snd) (callee s out)) True = 0 using ⟨¬ bad
s⟩ out
          unfolding spmf-eq-0-set-spmf by(auto dest: consider)
        with False k-nonneg pmf-le-1[of map-spmf (bad ∘ snd) (callee s out) Some
False]
          show ?thesis by(simp add: mult-left-mono[THEN order-trans, where ?b1=1])
      qed
    qed
  also have ... ≤ ennreal k * n
    by(simp add: k-nonneg emeasure-restrict-space measure-spmf.emmeasure-eq-measure
space-restrict-space measure-spmf.subprob-measure-le-1 mult-left-mono[THEN order-trans,
where ?b1=1])
  finally show ?case by(simp del: o-apply)
qed

```

context callee-invariant-on **begin**

lemma interaction-bounded-by-exec-gpv-bad-count:

```

  includes lifting-syntax
  fixes count and bad and n :: enat
  assumes bound: interaction-bounded-by consider gpv n
  and I: I s
  and good: ¬ bad s
  and count:  $\bigwedge s x y s'. \llbracket (y, s') \in \text{set-spmf (callee s } x); I s; \text{ consider } x; x \in \text{outs-}\mathcal{I} \rrbracket \implies \text{count } s' \leq \text{Suc (count } s)$ 

```

and ignore: $\bigwedge s x y s'. \llbracket (y, s') \in \text{set-spmf} (\text{callee } s x); I s; \neg \text{consider } x; x \in \text{outs-}\mathcal{I} \mathcal{I} \rrbracket \implies \text{count } s' \leq \text{count } s$
and bad: $\bigwedge s' x. \llbracket I s'; \neg \text{bad } s'; \text{count } s' < n + \text{count } s; \text{consider } x; x \in \text{outs-}\mathcal{I} \mathcal{I} \rrbracket \implies \text{spmf} (\text{map-spmf} (\text{bad} \circ \text{snd}) (\text{callee } s' x)) \text{ True} \leq k$
and consider: $\bigwedge s x y s'. \llbracket (y, s') \in \text{set-spmf} (\text{callee } s x); I s; \neg \text{bad } s; \text{bad } s'; x \in \text{outs-}\mathcal{I} \mathcal{I} \rrbracket \implies \text{consider } x$
and k-nonneg: $k \geq 0$
and WT-gpv: $\mathcal{I} \vdash g \text{ gpv} \checkmark$
shows $\text{spmf} (\text{map-spmf} (\text{bad} \circ \text{snd}) (\text{exec-gpv } \text{callee } \text{gpv } s)) \text{ True} \leq \text{ennreal } k * n$
proof –
{ **assume** $\exists (\text{Rep} :: 's' \Rightarrow 's) \text{ Abs. type-definition } \text{Rep Abs} \{s. I s\}$
then obtain $\text{Rep} :: 's' \Rightarrow 's$ **and** Abs **where** $\text{td: type-definition } \text{Rep Abs} \{s. I s\}$ **by** *blast*
then interpret $\text{td: type-definition } \text{Rep Abs} \{s. I s\}$.
define cr **where** $\text{cr} \equiv \lambda x y. x = \text{Rep } y$
have [*transfer-rule*]: *bi-unique cr right-total cr using td cr-def by(rule typedef-bi-unique typedef-right-total)+*
have [*transfer-domain-rule*]: $\text{Domainp } \text{cr} = I$ **using** *type-definition-Domainp[OF td cr-def]* **by** *simp*

let $?C = \text{eq-onp} (\lambda x. x \in \text{outs-}\mathcal{I} \mathcal{I})$

define callee' **where** $\text{callee}' \equiv (\text{Rep} \text{ ----} > \text{id} \text{ ----} > \text{map-spmf} (\text{map-prod } \text{id } \text{Abs})) \text{ callee}$
have [*transfer-rule*]: $(\text{cr} \text{ =====} > ?C \text{ =====} > \text{rel-spmf} (\text{rel-prod} (=) \text{cr})) \text{ callee } \text{callee}'$
by(*auto simp add: callee'-def rel-fun-def cr-def spmf-rel-map prod.rel-map td.Abs-inverse eq-onp-def intro!: rel-spmf-reflI intro: td.Rep[simplified] dest: callee-invariant*)
define s' **where** $s' \equiv \text{Abs } s$
have [*transfer-rule*]: $\text{cr } s \text{ } s'$ **using** I **by**(*simp add: cr-def s'-def td.Abs-inverse*)
define bad' **where** $\text{bad}' \equiv (\text{Rep} \text{ ----} > \text{id}) \text{ bad}$
have [*transfer-rule*]: $(\text{cr} \text{ =====} > (=)) \text{ bad } \text{bad}'$ **by**(*simp add: rel-fun-def bad'-def cr-def*)
define count' **where** $\text{count}' \equiv (\text{Rep} \text{ ----} > \text{id}) \text{ count}$
have [*transfer-rule*]: $(\text{cr} \text{ =====} > (=)) \text{ count } \text{count}'$ **by**(*simp add: rel-fun-def count'-def cr-def*)

have [*transfer-rule*]: $(?C \text{ =====} > (=)) \text{ consider } \text{consider}$ **by**(*simp add: eq-onp-def rel-fun-def*)
have [*transfer-rule*]: $\text{rel-}\mathcal{I} \text{ } ?C (=) \mathcal{I} \mathcal{I}$
by(*rule rel-II*)(*auto simp add: rel-set-eq set-relator-eq-onp eq-onp-same-args dest: eq-onp-to-eq*)
note [*transfer-rule*] = *bi-unique-eq-onp bi-unique-eq*

define gpv' **where** $\text{gpv}' \equiv \text{restrict-gpv } \mathcal{I} \text{ gpv}$
have [*transfer-rule*]: $\text{rel-gpv} (=) ?C \text{ gpv}' \text{ gpv}'$
by(*fold eq-onp-top-eq-eq*)(*auto simp add: gpv.rel-eq-onp eq-onp-same-args pred-gpv-def gpv'-def dest: in-outs'-restrict-gpvD*)

have *interaction-bounded-by consider gpv' n using bound* **by** (*simp add: gpv'-def*)
moreover have $\neg \text{bad}' s'$ **using** *good* **by** *transfer*
moreover have [*rule-format, rotated*]:
 $\bigwedge s y s'. \forall x \in \text{outs-}\mathcal{I} \mathcal{I}. (y, s') \in \text{set-spmf} (\text{callee}' s x) \longrightarrow \text{consider } x \longrightarrow$
 $\text{count}' s' \leq \text{Suc} (\text{count}' s)$
by (*transfer fixing: consider*) (*blast intro: count*)
moreover have [*rule-format, rotated*]:
 $\bigwedge s y s'. \forall x \in \text{outs-}\mathcal{I} \mathcal{I}. (y, s') \in \text{set-spmf} (\text{callee}' s x) \longrightarrow \neg \text{consider } x \longrightarrow$
 $\text{count}' s' \leq \text{count}' s$
by (*transfer fixing: consider*) (*blast intro: ignore*)
moreover have [*rule-format, rotated*]:
 $\bigwedge s''. \forall x \in \text{outs-}\mathcal{I} \mathcal{I}. \neg \text{bad}' s'' \longrightarrow \text{count}' s'' < n + \text{count}' s' \longrightarrow \text{consider}$
 $x \longrightarrow \text{spmf} (\text{map-spmf} (\text{bad}' \circ \text{snd}) (\text{callee}' s'' x)) \text{ True} \leq k$
by (*transfer fixing: consider k n*) (*blast intro: bad*)
moreover have [*rule-format, rotated*]:
 $\bigwedge s y s'. \forall x \in \text{outs-}\mathcal{I} \mathcal{I}. (y, s') \in \text{set-spmf} (\text{callee}' s x) \longrightarrow \neg \text{bad}' s \longrightarrow \text{bad}'$
 $s' \longrightarrow \text{consider } x$
by (*transfer fixing: consider*) (*blast intro: consider*)
moreover note *k-nonneg*
moreover have $\mathcal{I} \vdash g \text{ gpv}' \checkmark$ **by** (*simp add: gpv'-def*)
moreover have $\bigwedge s. \mathcal{I} \vdash c \text{ callee}' s \checkmark$ **by** *transfer (rule WT-callee)*
ultimately have **: *spmf (map-spmf (bad' o snd) (exec-gpv callee' gpv' s'))*
 $\text{True} \leq \text{ennreal } k * n$
by (*rule interaction-bounded-by-exec-gpv-bad-count*)
have [*transfer-rule*]: ($(=) \implies ?C \implies \text{rel-spmf} (\text{rel-prod} (=) (=))$) *callee*
callee
by (*simp add: rel-fun-def eq-onp-def prod.rel-eq*)
have *spmf (map-spmf (bad o snd) (exec-gpv callee gpv' s)) True ≤ ennreal k*
 $* n$ **using** **
by (*transfer*)
also have *exec-gpv callee gpv' s = exec-gpv callee gpv s*
unfolding *gpv'-def using WT-gpv I by (rule exec-gpv-restrict-gpv-invariant)*
finally have *?thesis . }*
from *this [cancel-type-definition] I show ?thesis by blast*
qed

lemma *interaction-bounded-by'-exec-gpv-bad-count:*

fixes *count and bad and n :: nat*
assumes *bound: interaction-bounded-by' consider gpv n*
and *I: I s*
and *good: ¬ bad s*
and *count: $\bigwedge s x y s'. \llbracket (y, s') \in \text{set-spmf} (\text{callee } s x); I s; \text{consider } x; x \in \text{outs-}\mathcal{I} \mathcal{I} \rrbracket \implies \text{count } s' \leq \text{Suc} (\text{count } s)$*
and *ignore: $\bigwedge s x y s'. \llbracket (y, s') \in \text{set-spmf} (\text{callee } s x); I s; \neg \text{consider } x; x \in \text{outs-}\mathcal{I} \mathcal{I} \rrbracket \implies \text{count } s' \leq \text{count } s$*
and *bad: $\bigwedge s' x. \llbracket I s'; \neg \text{bad } s'; \text{count } s' < n + \text{count } s; \text{consider } x; x \in \text{outs-}\mathcal{I} \mathcal{I} \rrbracket \implies \text{spmf} (\text{map-spmf} (\text{bad} \circ \text{snd}) (\text{callee } s' x)) \text{ True} \leq k$*
and *consider: $\bigwedge s x y s'. \llbracket (y, s') \in \text{set-spmf} (\text{callee } s x); I s; \neg \text{bad } s; \text{bad } s'; x$*

```

∈ outs- $\mathcal{I}$   $\mathcal{I}$  ]  $\implies$  consider  $x$ 
  and  $k$ -nonneg:  $k \geq 0$ 
  and WT-gpv:  $\mathcal{I} \vdash_g$  gpv  $\checkmark$ 
  shows spmf (map-spmf (bad  $\circ$  snd) (exec-gpv callee gpv  $s$ )) True  $\leq k * n$ 
  apply(subst ennreal-le-iff[symmetric], simp-all add: k-nonneg ennreal-mult ennreal-real-conv-ennreal-of-enat
  del: ennreal-of-enat-enat ennreal-le-iff)
  apply(rule interaction-bounded-by-exec-gpv-bad-count[OF bound  $I$  - count ignore
  bad consider k-nonneg WT-gpv, OF good])
  apply simp-all
  done

```

```

lemma interaction-bounded-by-exec-gpv-bad:
  assumes interaction-any-bounded-by-gpv  $n$ 
  and  $I$   $s$   $\neg$  bad  $s$ 
  and bad:  $\bigwedge s$   $x$ . [  $I$   $s$ ;  $\neg$  bad  $s$ ;  $x \in$  outs- $\mathcal{I}$   $\mathcal{I}$  ]  $\implies$  spmf (map-spmf (bad  $\circ$  snd)
  (callee  $s$   $x$ )) True  $\leq k$ 
  and  $k$ -nonneg:  $0 \leq k$ 
  and WT-gpv:  $\mathcal{I} \vdash_g$  gpv  $\checkmark$ 
  shows spmf (map-spmf (bad  $\circ$  snd) (exec-gpv callee gpv  $s$ )) True  $\leq k * n$ 
  using interaction-bounded-by-exec-gpv-bad-count[where bad=bad, OF assms(1)
  assms(2-3), where ?count =  $\lambda$ -. 0, OF - - bad -  $k$ -nonneg] k-nonneg WT-gpv
  by(simp add: ennreal-real-conv-ennreal-of-enat[symmetric] ennreal-mult[symmetric]
  del: ennreal-of-enat-enat)

```

end

end

4.18 Expectation transformer semantics

```

theory GPV-Expectation imports

```

```

  Generative-Probabilistic-Value

```

```

begin

```

```

lemma le-enn2realI: [ ennreal  $x \leq y$ ;  $y = \top \implies x \leq 0$  ]  $\implies x \leq$  enn2real  $y$ 
by(cases  $y$ ) simp-all

```

```

lemma enn2real-leD: [ enn2real  $x < y$ ;  $x \neq \top$  ]  $\implies x <$  ennreal  $y$ 
by(cases  $x$ )(simp-all add: ennreal-lessI)

```

```

lemma ennreal-mult-le-self2I: [  $y > 0 \implies x \leq 1$  ]  $\implies x * y \leq y$  for  $x$   $y$  ::
ennreal

```

```

apply(cases  $x$ ; cases  $y$ )

```

```

apply(auto simp add: top-unique ennreal-top-mult ennreal-mult[symmetric] intro:
  ccontr)

```

```

using mult-left-le-one-le by force

```

```

lemma ennreal-leI:  $x \leq$  enn2real  $y \implies$  ennreal  $x \leq y$ 

```

```

by(cases  $y$ ) simp-all

```

```

lemma enn2real-INF:  $\llbracket A \neq \{\} ; \forall x \in A. f x < \top \rrbracket \implies \text{enn2real } (\text{INF } x:A. f x) =$ 
 $(\text{INF } x:A. \text{enn2real } (f x))$ 
apply(rule antisym)
apply(rule cINF-greatest)
apply simp
apply(rule enn2real-mono)
apply(erule INF-lower)
apply simp
apply(rule le-enn2realI)
apply simp-all
apply(rule INF-greatest)
apply(rule ennreal-leI)
apply(rule cINF-lower)
apply(rule bdd-belowI[where  $m=0$ ])
apply auto
done

```

```

lemma monotone-times-ennreal1: monotone  $(\leq) (\leq) (\lambda x. x * y :: \text{ennreal})$ 
by(auto intro!: monotoneI mult-right-mono)

```

```

lemma monotone-times-ennreal2: monotone  $(\leq) (\leq) (\lambda x. y * x :: \text{ennreal})$ 
by(auto intro!: monotoneI mult-left-mono)

```

```

lemma mono2mono-times-ennreal[THEN lfp.mono2mono2, cont-intro, simp]:
  shows monotone-times-ennreal: monotone  $(\text{rel-prod } (\leq) (\leq)) (\leq) (\lambda(x, y). x * y :: \text{ennreal})$ 
by(simp add: monotone-times-ennreal1 monotone-times-ennreal2)

```

```

lemma mcont-times-ennreal1: mcont Sup  $(\leq) \text{Sup } (\leq) (\lambda y. x * y :: \text{ennreal})$ 
by(auto intro!: mcontI contI simp add: SUP-mult-left-ennreal[symmetric])

```

```

lemma mcont-times-ennreal2: mcont Sup  $(\leq) \text{Sup } (\leq) (\lambda y. y * x :: \text{ennreal})$ 
by(subst mult.commute)(rule mcont-times-ennreal1)

```

```

lemma mcont2mcont-times-ennreal [cont-intro, simp]:
   $\llbracket \text{mcont lub ord Sup } (\leq) (\lambda x. f x);$ 
 $\text{mcont lub ord Sup } (\leq) (\lambda x. g x) \rrbracket$ 
 $\implies \text{mcont lub ord Sup } (\leq) (\lambda x. f x * g x :: \text{ennreal})$ 
by(best intro: ccpo.mcont2mcont'[OF complete-lattice-ccpo] mcont-times-ennreal1
mcont-times-ennreal2 ccpo.mcont-const[OF complete-lattice-ccpo])

```

```

lemma ereal-INF-cmult:  $0 < c \implies (\text{INF } i:I. c * f i) = \text{ereal } c * (\text{INF } i:I. f i)$ 
using ereal-Inf-cmult[where  $P = \lambda x. \exists i \in I. x = f i, \text{ of } c$ ]
by(rule box-equals)(auto intro!: arg-cong[where  $f = \text{Inf}$ ] arg-cong2[where  $f = (*$ 
 $))$ )

```

```

lemma ereal-INF-multc:  $0 < c \implies (\text{INF } i:I. f i * c) = (\text{INF } i:I. f i) * \text{ereal } c$ 
using ereal-INF-cmult[of c f I] by(simp add: mult.commute)

```

lemma *INF-mult-left-ennreal*:
assumes $I = \{\}$ $\implies c \neq 0$
and $\llbracket c = \top; \exists i \in I. f i > 0 \rrbracket \implies \exists p > 0. \forall i \in I. f i \geq p$
shows $c * (\text{INF } i:I. f i) = (\text{INF } i:I. c * f i :: \text{ennreal})$
proof –
consider (*empty*) $I = \{\}$ | (*top*) $c = \top$ | (*zero*) $c = 0$ | (*normal*) $I \neq \{\}$ $c \neq \top$
 $c \neq 0$ **by** *auto*
then show *?thesis*
proof *cases*
case *empty* **then show** *?thesis* **by**(*simp add: ennreal-mult-top assms(1)*)
next
case *top*
show *?thesis*
proof(*cases* $\exists i \in I. f i > 0$)
case *True*
with *assms(2)* **top obtain** p **where** $p > 0$ **and** $p: \bigwedge i. i \in I \implies f i \geq p$ **by**
auto
then have $*$: $\bigwedge i. i \in I \implies f i > 0$ **by**(*auto intro: less-le-trans*)
note $\langle 0 < p \rangle$ **also from** p **have** $p \leq (\text{INF } i:I. f i)$ **by**(*rule INF-greatest*)
finally show *?thesis* **using** *top* **by**(*auto simp add: ennreal-top-mult dest: **)
next
case *False*
hence $f i = 0$ **if** $i \in I$ **for** i **using** *that* **by** *auto*
thus *?thesis* **using** *top* **by**(*simp add: INF-constant ennreal-mult-top*)
qed
next
case *zero*
then show *?thesis* **using** *assms(1)* **by**(*auto simp add: INF-constant*)
next
case *normal*
then show *?thesis* **including** *ennreal.lifting*
apply *transfer*
subgoal for $I c f$ **by**(*cases c*)(*simp-all add: top-ereal-def ereal-INF-cmult*)
done
qed
qed

lemma *pmf-map-spmf-None*: $\text{pmf } (\text{map-spmf } f p) \text{ None} = \text{pmf } p \text{ None}$
by(*simp add: pmf-None-eq-weight-spmf*)

lemma *nn-integral-try-spmf*:
 $\text{nn-integral } (\text{measure-spmf } (\text{try-spmf } p q)) f = \text{nn-integral } (\text{measure-spmf } p) f +$
 $\text{nn-integral } (\text{measure-spmf } q) f * \text{pmf } p \text{ None}$
by(*simp add: nn-integral-measure-spmf spmf-try-spmf distrib-right nn-integral-add*
ennreal-mult mult.assoc nn-integral-cmult)
(*simp add: mult.commute*)

lemma *INF-UNION*: $(\text{INF } z : \bigcup x \in A. B x. f z) = (\text{INF } x:A. \text{INF } z:B x. f z)$ **for**

$f :: - \Rightarrow 'b::\text{complete-lattice}$
by(*auto intro!*: *antisym INF-greatest intro: INF-lower2*)

definition *nn-integral-spmf* :: $'a \text{ spmf} \Rightarrow ('a \Rightarrow \text{ennreal}) \Rightarrow \text{ennreal}$ **where**
nn-integral-spmf $p = \text{nn-integral} (\text{measure-spmf } p)$

lemma *nn-integral-spmf-parametric* [*transfer-rule*]:

includes *lifting-syntax*
shows (*rel-spmf* $A \text{ ===> } (A \text{ ===> } (=)) \text{ ===> } (=)$) *nn-integral-spmf nn-integral-spmf*
unfolding *nn-integral-spmf-def*
proof(*rule rel-funI*)
fix $p \ q$ **and** $f \ g :: - \Rightarrow \text{ennreal}$
assume $pq: \text{rel-spmf } A \ p \ q$ **and** $fg: (A \text{ ===> } (=)) \ f \ g$
from pq **obtain** pq **where** pq [*rule-format*]: $\forall (x, y) \in \text{set-spmf } pq. A \ x \ y$
and $p: p = \text{map-spmf } \text{fst} \ pq$ **and** $q: q = \text{map-spmf } \text{snd} \ pq$
by(*cases rule: rel-spmfE*) *auto*
show $\text{nn-integral} (\text{measure-spmf } p) \ f = \text{nn-integral} (\text{measure-spmf } q) \ g$
by(*simp add: p q*)(*auto simp add: nn-integral-measure-spmf spmf-eq-0-set-spmf*
dest!: pq rel-funD[OF fg] intro: ennreal-mult-left-cong intro!: nn-integral-cong)
qed

lemma *weight-spmf-mcont2mcont* [*THEN lfp.mcont2mcont, cont-intro*]:

shows *weight-spmf-mcont: mcont (lub-spmf) (ord-spmf (=)) Sup (\leq) ($\lambda p. \text{ennreal} (\text{weight-spmf } p)$)*
apply(*simp add: mcont-def cont-def weight-spmf-def measure-spmf.emmeasure-eq-measure[symmetric]*
emeasure-lub-spmf)
apply(*rule call-mono[THEN lfp.mono2mono]*)
apply(*unfold fun-ord-def*)
apply(*rule monotone-emeasure-spmf[unfolded le-fun-def]*)
done

lemma *mono2mono-nn-integral-spmf* [*THEN lfp.mono2mono, cont-intro*]:

shows *monotone-nn-integral-spmf: monotone (ord-spmf (=)) (\leq) ($\lambda p. \text{integral}^N (\text{measure-spmf } p) \ f$)*
by(*rule monotoneI*)(*auto simp add: nn-integral-measure-spmf intro!: nn-integral-mono*
mult-right-mono dest: monotone-spmf[THEN monotoneD])

lemma *cont-nn-integral-spmf*:

cont lub-spmf (ord-spmf (=)) Sup (\leq) ($\lambda p :: 'a \text{ spmf}. \text{nn-integral} (\text{measure-spmf } p) \ f$)
proof
fix $Y :: 'a \text{ spmf set}$
assume $Y: \text{Complete-Partial-Order.chain} (\text{ord-spmf } (=)) \ Y \ Y \neq \{\}$
let $?M = \text{count-space} (\text{set-spmf} (\text{lub-spmf } Y))$
have $\text{nn-integral} (\text{measure-spmf} (\text{lub-spmf } Y)) \ f = \int^+ x. \text{ennreal} (\text{spm} (\text{lub-spmf } Y) \ x) * f \ x \ \partial ?M$
by(*simp add: nn-integral-measure-spmf'*)
also have $\dots = \int^+ x. (\text{SUP } p:Y. \text{ennreal} (\text{spm} \ p \ x) * f \ x) \ \partial ?M$

by(*simp add: spmf-lub-spmf Y ennreal-SUP[OF SUP-spmf-neq-top]* *SUP-mult-right-ennreal*)
also have $\dots = (SUP\ p:Y. \int^+ x. ennreal (spm\ f\ p\ x) * f\ x\ \partial^?M)$
proof(*rule nn-integral-monotone-convergence-SUP-countable*)
show *Complete-Partial-Order.chain* (\leq) ($(\lambda i\ x. ennreal (spm\ f\ i\ x) * f\ x) \text{ ' } Y$)
using $Y(1)$ **by**(*rule chain-imageI*)(*auto simp add: le-fun-def intro!: mult-right-mono*)
dest: monotone-spmf[THEN monotoneD])
qed(*simp-all add: Y(2)*)
also have $\dots = (SUP\ p:Y. nn\text{-integral} (measure\text{-spm}\ f\ p) f)$
by(*auto simp add: nn-integral-measure-spmf Y nn-integral-count-space-indicator*
set-lub-spmf spmf-eq-0-set-spmf split: split-indicator intro!: SUP-cong nn-integral-cong)
finally show $nn\text{-integral} (measure\text{-spm}\ f (lub\text{-spm}\ f\ Y)) f = (SUP\ p:Y. nn\text{-integral}$
 $(measure\text{-spm}\ f\ p) f) .$
qed

lemma *mcont2mcont-nn-integral-spmf [THEN lfp.mcont2mcont, cont-intro]:*
shows *mcont-nn-integral-spmf:*
 $mcont\ lub\text{-spm}\ f (ord\text{-spm}\ f (=))\ Sup\ (\leq) (\lambda p :: 'a\ spmf. nn\text{-integral} (measure\text{-spm}\ f\ p) f)$
by(*rule mcontI*)(*simp-all add: cont-nn-integral-spmf*)

lemma *nn-integral-mono2mono:*
assumes $\bigwedge x. x \in space\ M \implies monotone\ ord\ (\leq) (\lambda f. F\ f\ x)$
shows $monotone\ ord\ (\leq) (\lambda f. nn\text{-integral}\ M\ (F\ f))$
by(*rule monotoneI nn-integral-mono monotoneD[OF assms]*)+

lemma *nn-integral-mono-lfp [partial-function-mono]:*
— *Partial_Function.mono_tac* does not like conditional assumptions (more precisely the case splitter)
 $(\bigwedge x. lfp.mono\text{-body} (\lambda f. F\ f\ x)) \implies lfp.mono\text{-body} (\lambda f. nn\text{-integral}\ M\ (F\ f))$
by(*rule nn-integral-mono2mono*)

lemma *INF-mono-lfp [partial-function-mono]:*
 $(\bigwedge x. lfp.mono\text{-body} (\lambda f. F\ f\ x)) \implies lfp.mono\text{-body} (\lambda f. INF\ x:M. F\ f\ x)$
by(*rule monotoneI*)(*blast dest: monotoneD intro: INF-mono*)

lemmas *parallel-fixp-induct-1-2 = parallel-fixp-induct-uc*
of - - - $\lambda x. x - \lambda x. x$ case-prod - curry,
where $P = \lambda f\ g. P\ f\ (curry\ g),$
unfolded case-prod-curry curry-case-prod curry-K,
OF - - - - refl refl]
for P

lemma *monotone-ennreal-add1: monotone* (\leq) (\leq) ($\lambda x. x + y :: ennreal$)
by(*auto intro!: monotoneI*)

lemma *monotone-ennreal-add2: monotone* (\leq) (\leq) ($\lambda y. x + y :: ennreal$)
by(*auto intro!: monotoneI*)

lemma *mono2mono-ennreal-add* [*THEN lfp.mono2mono2, cont-intro, simp*]:
shows *monotone-eadd*: *monotone (rel-prod (≤) (≤)) (≤) (λ(x, y). x + y :: ennreal)*
by(*simp add: monotone-ennreal-add1 monotone-ennreal-add2*)

lemma *ennreal-add-partial-function-mono* [*partial-function-mono*]:
 \llbracket *monotone (fun-ord (≤)) (≤) f; monotone (fun-ord (≤)) (≤) g* \rrbracket
 \implies *monotone (fun-ord (≤)) (≤) (λx. f x + g x :: ennreal)*
by(*rule mono2mono-ennreal-add*)

context
fixes *fail* :: *ennreal*
and \mathcal{I} :: ('out, 'ret) \mathcal{I}
and *f* :: 'a \Rightarrow *ennreal*
notes \llbracket *function-internals* \rrbracket
begin

partial-function (*lfp-strong*) *expectation-gpv* :: ('a, 'out, 'ret) *gpv* \Rightarrow *ennreal*
where
expectation-gpv gpv =
 $(\int^+ \text{generat. (case generat of Pure } x \Rightarrow f \ x$
 $\quad \mid \text{IO out } c \Rightarrow \text{INF } r:\text{responses-}\mathcal{I} \ \mathcal{I} \ \text{out. } \text{expectation-gpv } (c \ r))$
 $\partial\text{measure-spmf (the-gpv gpv))$
 $+ \text{fail} * \text{pmf (the-gpv gpv) None}$

lemma *expectation-gpv-fixp-induct* [*case-names adm bottom step*]:
assumes *lfp.admissible P*
and $P (\lambda-. 0)$
and $\bigwedge \text{expectation-gpv}'. \llbracket \bigwedge \text{gpv. } \text{expectation-gpv}' \ \text{gpv} \leq \text{expectation-gpv } \text{gpv}; P \ \text{expectation-gpv}' \rrbracket \implies$
 $P (\lambda \text{gpv. } (\int^+ \text{generat. (case generat of Pure } x \Rightarrow f \ x \mid \text{IO out } c \Rightarrow \text{INF } r:\text{responses-}\mathcal{I} \ \mathcal{I} \ \text{out. } \text{expectation-gpv}' (c \ r)) \partial\text{measure-spmf (the-gpv gpv))} + \text{fail} * \text{pmf (the-gpv gpv) None})$
shows $P \ \text{expectation-gpv}$
by(*rule expectation-gpv.fixp-induct*)(*simp-all add: bot-ennreal-def assms fun-ord-def*)

lemma *expectation-gpv-Done* [*simp*]: *expectation-gpv (Done x) = f x*
by(*subst expectation-gpv.simps*)(*simp add: measure-spmf-return-spmf nn-integral-return*)

lemma *expectation-gpv-Fail* [*simp*]: *expectation-gpv Fail = fail*
by(*subst expectation-gpv.simps*) *simp*

lemma *expectation-gpv-lift-spmf* [*simp*]:
*expectation-gpv (lift-spmf p) = (∫⁺ x. f x ∂measure-spmf p) + fail * pmf p None*
by(*subst expectation-gpv.simps*)(*auto simp add: o-def pmf-map vimage-def measure-pmf-single*)

lemma *expectation-gpv-Pause* [*simp*]:
expectation-gpv (Pause out c) = (INF r:responses- \mathcal{I} \mathcal{I} out. expectation-gpv (c r))
by(*subst expectation-gpv.simps*)(*simp add: measure-spmf-return-spmf nn-integral-return*)

end

context begin

private definition *weight-spmf' p = weight-spmf p*

lemmas *weight-spmf'-parametric = weight-spmf-parametric[folded weight-spmf'-def]*

lemma *expectation-gpv-parametric'*:

includes *lifting-syntax* notes *weight-spmf'-parametric[transfer-rule]*

shows $((=) \implies \text{rel-}\mathcal{I} \ C \ R \implies (A \implies (=)) \implies \text{rel-gpv}'' \ A \ C \ R \implies (=))$ *expectation-gpv expectation-gpv*

unfolding *expectation-gpv-def*

apply(*rule rel-funI*)

apply(*rule rel-funI*)

apply(*rule rel-funI*)

apply(*rule fixp-lfp-parametric-eq[OF expectation-gpv.mono expectation-gpv.mono]*)

apply(*fold nn-integral-spmf-def Set.is-empty-def pmf-None-eq-weight-spmf[symmetric]*)

apply(*simp only: weight-spmf'-def[symmetric]*)

subgoal premises [*transfer-rule*] supply *the-gpv-parametric'[transfer-rule]* by *transfer-prover*

done

end

lemma *expectation-gpv-parametric [transfer-rule]*:

includes *lifting-syntax*

shows $((=) \implies \text{rel-}\mathcal{I} \ C \ (=) \implies (A \implies (=)) \implies \text{rel-gpv} \ A \ C \implies (=))$ *expectation-gpv expectation-gpv*

using *expectation-gpv-parametric'[of C (=) A]* by(*simp add: rel-gpv-conv-rel-gpv''*)

lemma *expectation-gpv-cong*:

fixes *fail fail'*

assumes *fail: fail = fail'*

and $\mathcal{I}: \mathcal{I} = \mathcal{I}'$

and *gpv: gpv = gpv'*

and $f: \bigwedge x. x \in \text{results-gpv } \mathcal{I}' \ gpv' \implies f \ x = g \ x$

shows *expectation-gpv fail \mathcal{I} f gpv = expectation-gpv fail' \mathcal{I}' g gpv'*

using f unfolding \mathcal{I} [*symmetric*] *gpv*[*symmetric*] *fail*[*symmetric*]

proof(*induction arbitrary: gpv rule: parallel-fixp-induct-1-1[OF complete-lattice-partial-function-definitions complete-lattice-partial-function-definitions expectation-gpv.mono expectation-gpv.mono expectation-gpv-def expectation-gpv-def, case-names adm bottom step]*)

case *adm* show ?*case* by *simp*

case *bottom* show ?*case* by *simp*

case (*step expectation-gpv' expectation-gpv''*) show ?*case*

by(*rule arg-cong2[where f=(+)] nn-integral-cong-AE*)+(*clarsimp simp add: step.prem results-gpv.intros split!: generat.split intro!: INF-cong[OF refl] step.IH*)+
qed

lemma *expectation-gpv-cong-fail*:

colossless-gpv \mathcal{I} gpv \implies expectation-gpv fail \mathcal{I} f gpv = expectation-gpv fail' \mathcal{I} f gpv for *fail*

proof(*induction arbitrary: gpv rule: parallel-fixp-induct-1-1*[*OF complete-lattice-partial-function-definitions complete-lattice-partial-function-definitions expectation-gpv.mono expectation-gpv.mono expectation-gpv-def expectation-gpv-def, case-names adm bottom step*])
case adm show ?case **by simp**
case bottom show ?case **by simp**
case (step expectation-gpv' expectation-gpv')
from colossless-gpv-lossless-spmfD[*OF step.premis*] **show** ?case
by(auto simp add: lossless-iff-pmf-None intro!: nn-integral-cong-AE INF-cong step.IH intro: colossless-gpv-continuationD[*OF step.premis*] split: generat.split)
qed

lemma expectation-gpv-mono:

fixes fail fail'
assumes fail: fail \leq fail'
and fg: $f \leq g$
shows expectation-gpv fail \mathcal{I} f gpv \leq expectation-gpv fail' \mathcal{I} g gpv
proof(*induction arbitrary: gpv rule: parallel-fixp-induct-1-1*[*OF complete-lattice-partial-function-definitions complete-lattice-partial-function-definitions expectation-gpv.mono expectation-gpv.mono expectation-gpv-def expectation-gpv-def, case-names adm bottom step*])
case adm show ?case **by simp**
case bottom show ?case **by simp**
case (step expectation-gpv' expectation-gpv')
show ?case
by(intro add-mono mult-right-mono fail nn-integral-mono-AE)
(auto split: generat.split simp add: fg[*THEN le-funD*] INF-mono rev-bezI step.IH)
qed

lemma expectation-gpv-mono-strong:

fixes fail fail'
assumes fail: \neg colossless-gpv \mathcal{I} gpv \implies fail \leq fail'
and fg: $\bigwedge x. x \in \text{results-gpv } \mathcal{I} \text{ gpv} \implies f x \leq g x$
shows expectation-gpv fail \mathcal{I} f gpv \leq expectation-gpv fail' \mathcal{I} g gpv
proof –
let ?fail = if colossless-gpv \mathcal{I} gpv then fail' else fail
and ?f = $\lambda x. \text{if } x \in \text{results-gpv } \mathcal{I} \text{ gpv then } f x \text{ else } g x$
have expectation-gpv fail \mathcal{I} f gpv = expectation-gpv ?fail \mathcal{I} f gpv **by**(simp cong: expectation-gpv-cong-fail)
also have ... = expectation-gpv ?fail \mathcal{I} ?f gpv **by**(rule expectation-gpv-cong; simp)
also have ... \leq expectation-gpv fail' \mathcal{I} g gpv **using** assms **by**(simp add: expectation-gpv-mono le-fun-def)
finally show ?thesis .
qed

lemma expectation-gpv-bind [*simp*]:

fixes \mathcal{I} f g fail
defines expectation-gpv1 \equiv expectation-gpv fail \mathcal{I} f
and expectation-gpv2 \equiv expectation-gpv fail \mathcal{I} (expectation-gpv fail \mathcal{I} f \circ g)

```

shows expectation-gpv1 (bind-gpv gpv g) = expectation-gpv2 gpv (is ?lhs = ?rhs)
proof(rule antisym)
  note [simp] = case-map-generat o-def
    and [cong del] = generat.case-cong-weak
  show ?lhs ≤ ?rhs unfolding expectation-gpv1-def
  proof(induction arbitrary: gpv rule: expectation-gpv-fixp-induct)
    case adm show ?case by simp
    case bottom show ?case by simp
    case (step expectation-gpv')
  show ?case unfolding expectation-gpv2-def
    apply(rewrite bind-gpv.sel)
    apply(simp add: map-spmf-bind-spmf measure-spmf-bind)
    apply(rewrite nn-integral-bind[where B=measure-spmf -])
      apply(simp-all add: space-subprob-algebra)
    apply(rewrite expectation-gpv.simps)
    apply(simp add: pmf-bind-spmf-None distrib-left nn-integral-eq-integral[symmetric]
measure-spmf.integrable-const-bound[where B=1] pmf-le-1 nn-integral-cmult[symmetric]
nn-integral-add[symmetric])
    apply(rule disjI2)
    apply(rule nn-integral-mono)
    apply(clarsimp split!: generat.split)
    apply(rewrite expectation-gpv.simps)
    apply simp
    apply(rule disjI2)
    apply(rule nn-integral-mono)
    apply(clarsimp split!: generat.split)
    apply(rule INF-mono)
    apply(erule rev-bexI)
    apply(rule step.hyps)
    apply(clarsimp simp add: measure-spmf-return-spmf nn-integral-return)
    apply(rule INF-mono)
    apply(erule rev-bexI)
    apply(rule step.IH[unfolded expectation-gpv2-def o-def])
  done
qed
show ?rhs ≤ ?lhs unfolding expectation-gpv2-def
proof(induction arbitrary: gpv rule: expectation-gpv-fixp-induct)
  case adm show ?case by simp
  case bottom show ?case by simp
  case (step expectation-gpv')
  show ?case unfolding expectation-gpv1-def
    apply(rewrite in - ≤  $\sqcap$  expectation-gpv.simps)
    apply(rewrite bind-gpv.sel)
    apply(simp add: measure-spmf-bind)
    apply(rewrite nn-integral-bind[where B=measure-spmf -])
      apply(simp-all add: space-subprob-algebra)
    apply(simp add: pmf-bind-spmf-None distrib-left nn-integral-eq-integral[symmetric]
measure-spmf.integrable-const-bound[where B=1] pmf-le-1 nn-integral-cmult[symmetric]
nn-integral-add[symmetric])

```

```

    apply(rule disjI2)
    apply(rule nn-integral-mono)
    apply(clarsimp split!: generat.split)
    apply(rewrite expectation-gpv.simps)
    apply(simp cong del: if-weak-cong)
    apply(simp add: measure-spmf-return-spmf nn-integral-return)
    apply(rule INF-mono)
    apply(erule rev-bexI)
    apply(rule step.IH[unfolded expectation-gpv1-def])
  done
qed
qed

lemma expectation-gpv-try-gpv [simp]:
  fixes fail  $\mathcal{I}$   $f$   $gpv'$ 
  defines expectation-gpv1  $\equiv$  expectation-gpv fail  $\mathcal{I}$   $f$ 
    and expectation-gpv2  $\equiv$  expectation-gpv (expectation-gpv fail  $\mathcal{I}$   $f$   $gpv'$ )  $\mathcal{I}$   $f$ 
  shows expectation-gpv1 (try-gpv  $gpv$   $gpv'$ ) = expectation-gpv2  $gpv$ 
proof(rule antisym)
  show expectation-gpv1 (try-gpv  $gpv$   $gpv'$ )  $\leq$  expectation-gpv2  $gpv$  unfolding
  expectation-gpv1-def
  proof(induction arbitrary:  $gpv$  rule: expectation-gpv-fixp-induct)
    case adm show ?case by simp
    case bottom show ?case by simp
    case step [unfolded expectation-gpv2-def]: (step expectation-gpv')
    show ?case unfolding expectation-gpv2-def
      apply(rewrite expectation-gpv.simps)
      apply(rewrite in -  $\leq$  +  $\sqcap$  expectation-gpv.simps)
      apply(simp add: pmf-map-spmf-None nn-integral-try-spmf o-def generat.map-comp
        case-map-generat distrib-right cong del: generat.case-cong-weak)
      apply(simp add: mult-ac add.assoc ennreal-mult)
      apply(intro disjI2 add-mono mult-left-mono nn-integral-mono; clarsimp split:
        generat.split intro!: INF-mono step elim!: rev-bexI)
    done
  qed
  show expectation-gpv2  $gpv$   $\leq$  expectation-gpv1 (try-gpv  $gpv$   $gpv'$ ) unfolding
  expectation-gpv2-def
  proof(induction arbitrary:  $gpv$  rule: expectation-gpv-fixp-induct)
    case adm show ?case by simp
    case bottom show ?case by simp
    case step [unfolded expectation-gpv1-def]: (step expectation-gpv')
    show ?case unfolding expectation-gpv1-def
      apply(rewrite in -  $\leq$   $\sqcap$  expectation-gpv.simps)
      apply(rewrite in  $\sqcap$   $\leq$  - expectation-gpv.simps)
      apply(simp add: pmf-map-spmf-None nn-integral-try-spmf o-def generat.map-comp
        case-map-generat distrib-left ennreal-mult mult-ac cong del: generat.case-cong-weak)
      apply(rule disjI2 nn-integral-mono)+
      apply(clarsimp split: generat.split intro!: INF-mono step(2) elim!: rev-bexI)
    done
  qed

```

qed
qed

lemma *expectation-gpv-restrict-gpv*:

$\mathcal{I} \vdash g \text{ gpv } \checkmark \implies \text{expectation-gpv fail } \mathcal{I} f (\text{restrict-gpv } \mathcal{I} \text{ gpv}) = \text{expectation-gpv fail } \mathcal{I} f \text{ gpv}$ **for** *fail*

proof(*induction arbitrary: gpv rule: expectation-gpv-fixp-induct*)

case *adm show ?case by simp*

case *bottom show ?case by simp*

case (*step expectation-gpv'*)

show *?case*

apply(*simp add: pmf-map vimage-def*)

apply(*rule arg-cong2[where f=(+)]*)

subgoal **by**(*clarsimp simp add: measure-spmf-def nn-integral-distr nn-integral-restrict-space step.IH WT-gpv-ContD[OF step.prem] AE-measure-pmf-iff in-set-spmf[symmetric] WT-gpv-OutD[OF step.prem] split!: option.split generat.split intro!: nn-integral-cong-AE INF-cong[OF refl]*)

apply(*simp add: measure-pmf-single[symmetric]*)

apply(*rule arg-cong[where f= $\lambda x. - * \text{ennreal } x$]*)

apply(*rule measure-pmf.finite-measure-eq-AE*)

apply(*auto simp add: AE-measure-pmf-iff in-set-spmf[symmetric] intro: WT-gpv-OutD[OF step.prem] split: option.split-asm generat.split-asm if-split-asm*)

done

qed

lemma *expectation-gpv-const-le*: $\mathcal{I} \vdash g \text{ gpv } \checkmark \implies \text{expectation-gpv fail } \mathcal{I} (\lambda-. c) \text{ gpv} \leq \text{max } c \text{ fail}$ **for** *fail*

proof(*induction arbitrary: gpv rule: expectation-gpv-fixp-induct*)

case *adm show ?case by simp*

case *bottom show ?case by simp*

case (*step expectation-gpv'*)

have $\text{integral}^N (\text{measure-spmf } (\text{the-gpv } \text{gpv})) (\text{case-generat } (\lambda x. c) (\lambda \text{out } c. \text{INF } r:\text{responses-}\mathcal{I} \text{ out. expectation-gpv}' (c \ r))) \leq \text{integral}^N (\text{measure-spmf } (\text{the-gpv } \text{gpv})) (\lambda-. \text{max } c \text{ fail})$

using *step.prem*

by(*intro nn-integral-mono-AE(auto 4 4 split: generat.split intro: INF-lower2 step.IH WT-gpv-ContD[OF step.prem] dest!: WT-gpv-OutD simp add: in-outs- \mathcal{I} -iff-responses- \mathcal{I})*)

also **have** $\dots + \text{fail} * \text{pmf } (\text{the-gpv } \text{gpv}) \text{ None} \leq \dots + \text{max } c \text{ fail} * \text{pmf } (\text{the-gpv } \text{gpv}) \text{ None}$

by(*intro add-left-mono mult-right-mono simp-all*)

also **have** $\dots \leq \text{max } c \text{ fail}$

by(*simp add: measure-spmf.emmeasure-eq-measure pmf-None-eq-weight-spmf ennreal-minus[symmetric] (metis (no-types, hide-lams) add-diff-eq-iff-ennreal distrib-left ennreal-le-1*)

le-max-iff-disj max.cobounded2 mult.commute mult.left-neutral weight-spmf-le-1)

finally **show** *?case by(simp add: add-mono)*

qed

lemma *expectation-gpv-no-results*:

$\llbracket \text{results-gpv } \mathcal{I} \text{ gpv} = \{\}; \mathcal{I} \vdash g \text{ gpv } \checkmark \rrbracket \implies \text{expectation-gpv } 0 \text{ } \mathcal{I} f \text{ gpv} = 0$

```

proof(induction arbitrary: gpv rule: expectation-gpv-fixp-induct)
  case adm show ?case by simp
  case bottom show ?case by simp
  case (step expectation-gpv')
  have results-gpv  $\mathcal{I}(c\ x) = \{\}$  if IO out  $c \in \text{set-spmf } (\text{the-gpv } gpv) \ x \in \text{responses-}\mathcal{I}$ 
  \mathcal{I} out
  for out c x using that step.premis(1) by(auto intro: results-gpv.IO)
  then show ?case using step.premis
  by(auto 4 4 intro!: nn-integral-zero' split: generat.split intro: results-gpv.Pure
cong: INF-cong simp add: step.IH WT-gpv-ContD INF-constant in-outs-\mathcal{I}-iff-responses-\mathcal{I}
dest: WT-gpv-OutD)
qed

```

lemma *expectation-gpv-cmult:*

```

  fixes fail
  assumes  $0 < c$  and  $c \neq \top$ 
  shows  $c * \text{expectation-gpv } fail \ \mathcal{I} \ f \ gpv = \text{expectation-gpv } (c * fail) \ \mathcal{I} \ (\lambda x. c * f \ x) \ gpv$ 
proof(induction arbitrary: gpv rule: parallel-fixp-induct-1-1[OF complete-lattice-partial-function-definitions
complete-lattice-partial-function-definitions expectation-gpv.mono expectation-gpv.mono
expectation-gpv-def expectation-gpv-def, case-names adm bottom step])
  case adm show ?case by simp
  case bottom show ?case by(simp add: bot-ennreal-def)
  case (step expectation-gpv' expectation-gpv'')
  show ?case using assms
  apply(simp add: distrib-left mult-ac nn-integral-cmult[symmetric] generat.case-distrib[where
h=( * ) -])
  apply(subst INF-mult-left-ennreal, simp-all add: step.IH)
  done
qed

```

lemma *expectation-gpv-le-exec-gpv:*

```

  assumes callee: \bigwedge s x. x \in outs-\mathcal{I} \ \mathcal{I} \implies lossless-spmf (callee s x)
  and WT-gpv: \mathcal{I} \vdash g \ gpv \ \checkmark
  and WT-callee: \bigwedge s. \mathcal{I} \vdash c \ callee \ s \ \checkmark
  shows  $\text{expectation-gpv } 0 \ \mathcal{I} \ f \ gpv \leq \int^+ (x, s). f \ x \ \partial \text{measure-spmf } (\text{exec-gpv } callee \ gpv \ s)$ 
using WT-gpv
proof(induction arbitrary: gpv s rule: parallel-fixp-induct-1-2[OF complete-lattice-partial-function-definitions
partial-function-definitions-spmf expectation-gpv.mono exec-gpv.mono expectation-gpv-def
exec-gpv-def, case-names adm bottom step])
  case adm show ?case by simp
  case bottom show ?case by(simp add: bot-ennreal-def)
  case (step expectation-gpv'' exec-gpv')
  have *: (INF r:responses-\mathcal{I} \ \mathcal{I} \ out. expectation-gpv'' (c r) \leq \int^+ (x, s). f \ x
\partial \text{measure-spmf } (\text{bind-spmf } (callee \ s \ out) (\lambda(r, s'). \text{exec-gpv}' (c r) \ s')) is ?lhs \leq
  ?rhs)
  if IO out  $c \in \text{set-spmf } (\text{the-gpv } gpv)$  for out c
proof –

```

from *step.prem*s that **have** *out*: $out \in \text{outs-}\mathcal{I} \mathcal{I}$ **by**(*rule WT-gpvD*)
have $?lhs = \int^+ \cdot. ?lhs \partial \text{measure-spmf} (callee\ s\ out)$ **using** *callee[OF out, THEN lossless-weight-spmfD]*
by(*simp add: measure-spmf.emmeasure-eq-measure*)
also have $\dots \leq \int^+ (r, s'). \text{expectation-gpv}'' (c\ r) \partial \text{measure-spmf} (callee\ s\ out)$
by(*rule nn-integral-mono-AE*)(*auto intro: WT-calleeD[OF WT-callee - out] INF-lower*)
also have $\dots \leq \int^+ (r, s'). \int^+ (x, -). f\ x \partial \text{measure-spmf} (exec-gpv' (c\ r)\ s')$
 $\partial \text{measure-spmf} (callee\ s\ out)$
by(*rule nn-integral-mono-AE*)(*auto intro!: step.IH intro: WT-gpv-ContD[OF step.prem*s that] *WT-calleeD[OF WT-callee - out]*)
also have $\dots = ?rhs$ **by**(*simp add: measure-spmf-bind split-def nn-integral-bind*[**where** $B = \text{measure-spmf } \cdot$] *o-def space-subprob-algebra*)
finally show *?thesis* .
qed
show *?case*
by(*simp add: measure-spmf-bind nn-integral-bind*[**where** $B = \text{measure-spmf } \cdot$] *space-subprob-algebra*)
(*simp split!: generat.split add: measure-spmf-return-spmf nn-integral-return * nn-integral-mono-AE*)
qed

definition *weight-gpv* :: $(out, ret) \mathcal{I} \Rightarrow (a, out, ret) \text{gpv} \Rightarrow \text{real}$
where *weight-gpv* $\mathcal{I} \text{gpv} = \text{enn2real} (\text{expectation-gpv } 0 \mathcal{I} (\lambda \cdot. 1) \text{gpv})$

lemma *weight-gpv-Done* [*simp*]: *weight-gpv* $\mathcal{I} (\text{Done } x) = 1$
by(*simp add: weight-gpv-def*)

lemma *weight-gpv-Fail* [*simp*]: *weight-gpv* $\mathcal{I} \text{Fail} = 0$
by(*simp add: weight-gpv-def*)

lemma *weight-gpv-lift-spmf* [*simp*]: *weight-gpv* $\mathcal{I} (\text{lift-spmf } p) = \text{weight-spmf } p$
by(*simp add: weight-gpv-def measure-spmf.emmeasure-eq-measure*)

lemma *weight-gpv-Pause* [*simp*]:
 $(\bigwedge r. r \in \text{responses-}\mathcal{I} \mathcal{I} \text{out} \Longrightarrow \mathcal{I} \vdash_g c\ r \checkmark)$
 $\Longrightarrow \text{weight-gpv } \mathcal{I} (\text{Pause } out\ c) = (\text{if } out \in \text{outs-}\mathcal{I} \mathcal{I} \text{ then } \text{INF } r:\text{responses-}\mathcal{I} \mathcal{I} \text{ out. } \text{weight-gpv } \mathcal{I} (c\ r) \text{ else } 0)$
apply(*clarsimp simp add: weight-gpv-def in-outs- \mathcal{I} -iff-responses- \mathcal{I}*)
apply(*erule enn2real-INF*)
apply(*clarsimp simp add: expectation-gpv-const-le[THEN le-less-trans]*)
done

lemma *weight-gpv-nonneg*: $0 \leq \text{weight-gpv } \mathcal{I} \text{gpv}$
by(*simp add: weight-gpv-def*)

lemma *weight-gpv-le-1*: $\mathcal{I} \vdash_g \text{gpv } \checkmark \Longrightarrow \text{weight-gpv } \mathcal{I} \text{gpv} \leq 1$
using *expectation-gpv-const-le*[*of* $\mathcal{I} \text{gpv } 0\ 1$] **by**(*simp add: weight-gpv-def enn2real-leI*)

max-def)

theorem *weight-exec-gpv*:

assumes *callee*: $\bigwedge s x. x \in \text{outs-}\mathcal{I} \ \mathcal{I} \implies \text{lossless-spmf} \ (\text{callee } s \ x)$

and *WT-gpv*: $\mathcal{I} \vdash_g \text{gpv} \ \checkmark$

and *WT-callee*: $\bigwedge s. \mathcal{I} \vdash_c \text{callee } s \ \checkmark$

shows *weight-gpv* $\mathcal{I} \ \text{gpv} \leq \text{weight-spmf} \ (\text{exec-gpv} \ \text{callee} \ \text{gpv} \ s)$

proof –

have *expectation-gpv* $0 \ \mathcal{I} \ (\lambda-. \ 1) \ \text{gpv} \leq \int^+ (x, s). \ 1 \ \partial \text{measure-spmf} \ (\text{exec-gpv} \ \text{callee} \ \text{gpv} \ s)$

using *assms* **by**(*rule expectation-gpv-le-exec-gpv*)

also have $\dots = \text{weight-spmf} \ (\text{exec-gpv} \ \text{callee} \ \text{gpv} \ s)$

by(*simp add: split-def measure-spmf.emmeasure-eq-measure*)

finally show *?thesis* **by**(*simp add: weight-gpv-def enn2real-leI*)

qed

lemma (*in callee-invariant-on*) *weight-exec-gpv*:

assumes *callee*: $\bigwedge s x. \llbracket x \in \text{outs-}\mathcal{I} \ \mathcal{I}; I \ s \rrbracket \implies \text{lossless-spmf} \ (\text{callee } s \ x)$

and *WT-gpv*: $\mathcal{I} \vdash_g \text{gpv} \ \checkmark$

and *I*: $I \ s$

shows *weight-gpv* $\mathcal{I} \ \text{gpv} \leq \text{weight-spmf} \ (\text{exec-gpv} \ \text{callee} \ \text{gpv} \ s)$

including *lifting-syntax*

proof –

{ assume $\exists (\text{Rep} :: 's' \Rightarrow 's) \ \text{Abs. type-definition} \ \text{Rep} \ \text{Abs} \ \{s. \ I \ s\}$

then obtain $\text{Rep} :: 's' \Rightarrow 's$ **and** Abs **where** *td*: *type-definition* $\text{Rep} \ \text{Abs} \ \{s. \ I \ s\}$

s **by** *blast*

then interpret *td*: *type-definition* $\text{Rep} \ \text{Abs} \ \{s. \ I \ s\}$.

define *cr* **where** $cr \equiv \lambda x y. x = \text{Rep } y$

have [*transfer-rule*]: *bi-unique* *cr* *right-total* *cr* **using** *td* *cr-def* **by**(*rule typedef-bi-unique typedef-right-total*)**+**

have [*transfer-domain-rule*]: *Domainp* *cr* = *I* **using** *type-definition-Domainp*[*OF td cr-def*] **by** *simp*

let *?C* = *eq-onp* $(\lambda x. x \in \text{outs-}\mathcal{I} \ \mathcal{I})$

define *callee'* **where** $\text{callee}' \equiv (\text{Rep} \ \text{----} \> \text{id} \ \text{----} \> \text{map-spmf} \ (\text{map-prod} \ \text{id} \ \text{Abs})) \ \text{callee}$

have [*transfer-rule*]: $(cr \ \text{====} \> \ ?C \ \text{====} \> \text{rel-spmf} \ (\text{rel-prod} \ (=) \ cr)) \ \text{callee} \ \text{callee}'$

by(*auto simp add: callee'-def rel-fun-def cr-def spmf-rel-map prod.rel-map td.Abs-inverse eq-onp-def intro!*: *rel-spmf-reflI* *intro: td.Rep[simplified]* *dest: callee-invariant*)

define *s'* **where** $s' \equiv \text{Abs } s$

have [*transfer-rule*]: *cr* *s* *s'* **using** *I* **by**(*simp add: cr-def s'-def td.Abs-inverse*)

have [*transfer-rule*]: *rel-I* *?C* (=) $\mathcal{I} \ \mathcal{I}$

by(*rule rel-II*)(*auto simp add: rel-set-eq set-relator-eq-onp eq-onp-same-args dest: eq-onp-to-eq*)

note [*transfer-rule*] = *bi-unique-eq-onp* *bi-unique-eq*

```

define gpv' where gpv'  $\equiv$  restrict-gpv  $\mathcal{I}$  gpv
have [transfer-rule]: rel-gpv (=) ?C gpv' gpv'
  by(fold eq-onp-top-eq-eq)(auto simp add: gpv.rel-eq-onp eq-onp-same-args
pred-gpv-def gpv'-def dest: in-outs'-restrict-gpvD)

define weight-spmf' :: ('c  $\times$  's') spmf  $\Rightarrow$  real where weight-spmf'  $\equiv$  weight-spmf
define weight-spmf'' :: ('c  $\times$  's') spmf  $\Rightarrow$  real where weight-spmf''  $\equiv$  weight-spmf
  have [transfer-rule]: (rel-spmf (rel-prod (=) cr)  $\implies$  (=)) weight-spmf''
weight-spmf'
  by(simp add: weight-spmf'-def weight-spmf''-def weight-spmf-parametric)

have [rule-format]:  $\bigwedge s. \forall x \in \text{outs-}\mathcal{I} \mathcal{I}. \text{lossless-spmf} (\text{callee}' s x)$ 
  by(transfer)(blast intro: callee)
moreover have  $\mathcal{I} \vdash g \text{ gpv}' \checkmark$  by(simp add: gpv'-def)
moreover have  $\bigwedge s. \mathcal{I} \vdash c \text{ callee}' s \checkmark$  by transfer(rule WT-callee)
ultimately have **: weight-gpv  $\mathcal{I}$  gpv'  $\leq$  weight-spmf' (exec-gpv callee' gpv'
s')
  unfolding weight-spmf'-def by(rule weight-exec-gpv)
  have [transfer-rule]: ((=)  $\implies$  ?C  $\implies$  rel-spmf (rel-prod (=) (=))) callee
callee
  by(simp add: rel-fun-def eq-onp-def prod.rel-eq)
  have weight-gpv  $\mathcal{I}$  gpv'  $\leq$  weight-spmf'' (exec-gpv callee gpv' s) using ** by
transfer
  also have exec-gpv callee gpv' s = exec-gpv callee gpv s
  unfolding gpv'-def using WT-gpv I by(rule exec-gpv-restrict-gpv-invariant)
  also have weight-gpv  $\mathcal{I}$  gpv' = weight-gpv  $\mathcal{I}$  gpv using WT-gpv
  by(simp add: gpv'-def expectation-gpv-restrict-gpv weight-gpv-def)
  finally have ?thesis by(simp add: weight-spmf''-def) }
from this[cancel-type-definition] I show ?thesis by blast
qed

```

4.19 Probabilistic termination

definition *pgen-lossless-gpv* :: (*c*, '*r*') $\mathcal{I} \Rightarrow$ ('*a*', '*c*', '*r*') *gpv* \Rightarrow *bool*
where *pgen-lossless-gpv fail* \mathcal{I} *gpv* = (*expectation-gpv fail* \mathcal{I} ($\lambda-. 1$) *gpv* = 1) **for** *fail*

abbreviation *plossless-gpv* :: ('*c*', '*r*') $\mathcal{I} \Rightarrow$ ('*a*', '*c*', '*r*') *gpv* \Rightarrow *bool*
where *plossless-gpv* \equiv *pgen-lossless-gpv 0*

abbreviation *pfinite-gpv* :: ('*c*', '*r*') $\mathcal{I} \Rightarrow$ ('*a*', '*c*', '*r*') *gpv* \Rightarrow *bool*
where *pfinite-gpv* \equiv *pgen-lossless-gpv 1*

lemma *pgen-lossless-gpvI* [*intro?*]: *expectation-gpv fail* \mathcal{I} ($\lambda-. 1$) *gpv* = 1 \implies *pgen-lossless-gpv fail* \mathcal{I} *gpv* **for** *fail*
by(*simp add: pgen-lossless-gpv-def*)

lemma *pgen-lossless-gpvD*: *pgen-lossless-gpv fail* \mathcal{I} *gpv* \implies *expectation-gpv fail* \mathcal{I} ($\lambda-. 1$) *gpv* = 1 **for** *fail*

by(*simp add: pgen-lossless-gpv-def*)

lemma *lossless-imp-plossless-gpv*:

assumes *lossless-gpv* \mathcal{I} *gpv* $\mathcal{I} \vdash g$ *gpv* \checkmark

shows *plossless-gpv* \mathcal{I} *gpv*

proof

show *expectation-gpv* 0 \mathcal{I} $(\lambda-. 1)$ *gpv* = 1 **using** *assms*

proof(*induction rule: lossless-WT-gpv-induct*)

case (*lossless-gpv* *p*)

have *expectation-gpv* 0 \mathcal{I} $(\lambda-. 1)$ (*GPV* *p*) = *nn-integral* (*measure-spmf* *p*)
(*case-generat* $(\lambda-. 1)$ $(\lambda out c. INF r:responses-\mathcal{I}$ \mathcal{I} *out. 1*))

by(*subst expectation-gpv.simps*)(*clarsimp split: generat.split cong: INF-cong*
simp add: lossless-gpv.IH intro!: nn-integral-cong-AE)

also have ... = *nn-integral* (*measure-spmf* *p*) $(\lambda-. 1)$

by(*intro nn-integral-cong-AE*)(*auto split: generat.split dest!: lossless-gpv.hyps*(2)
simp add: in-outs-\mathcal{I}-iff-responses-\mathcal{I})

finally show ?*case* **by**(*simp add: measure-spmf.emmeasure-eq-measure lossless-weight-spmfD*
lossless-gpv.hyps(1))

qed

qed

lemma *finite-imp-pfinite-gpv*:

assumes *finite-gpv* \mathcal{I} *gpv* $\mathcal{I} \vdash g$ *gpv* \checkmark

shows *pfinite-gpv* \mathcal{I} *gpv*

proof

show *expectation-gpv* 1 \mathcal{I} $(\lambda-. 1)$ *gpv* = 1 **using** *assms*

proof(*induction rule: finite-gpv-induct*)

case (*finite-gpv* *gpv*)

then have *expectation-gpv* 1 \mathcal{I} $(\lambda-. 1)$ *gpv* = *nn-integral* (*measure-spmf* (*the-gpv*
gpv)) (*case-generat* $(\lambda-. 1)$ $(\lambda out c. INF r:responses-\mathcal{I}$ \mathcal{I} *out. 1*)) + *pmf* (*the-gpv*
gpv) *None*

by(*subst expectation-gpv.simps*)(*clarsimp intro!: nn-integral-cong-AE INF-cong*[*OF*
refl] *split!: generat.split simp add: WT-gpv-ContD*)

also have ... = *nn-integral* (*measure-spmf* (*the-gpv* *gpv*)) $(\lambda-. 1)$ + *pmf*
(*the-gpv* *gpv*) *None*

by(*intro arg-cong2*[**where** *f*=(+)] *nn-integral-cong-AE*)

(*auto split: generat.split dest!: WT-gpv-OutD*[*OF* *finite-gpv.prem*s] *simp add:*
in-outs-\mathcal{I}-iff-responses-\mathcal{I})

finally show ?*case*

by(*simp add: measure-spmf.emmeasure-eq-measure ennreal-plus*[*symmetric*] *del:*
ennreal-plus)

(*simp add: pmf-None-eq-weight-spmf*)

qed

qed

lemma *plossless-gpv-lossless-spmfD*:

assumes *lossless: plossless-gpv* \mathcal{I} *gpv*

and *WT: \mathcal{I} \vdash g* *gpv* \checkmark

shows *lossless-spmf* (*the-gpv* *gpv*)

proof –
have $1 = \text{expectation-gpv } 0 \ \mathcal{I} \ (\lambda\cdot. 1) \ \text{gpv}$
using *lossless* **by**(*auto* *dest: pgen-lossless-gpvD* *simp* *add: weight-gpv-def*)
also have $\dots = \int^+ \text{generat. (case generat of Pure } x \Rightarrow 1 \mid \text{IO out } c \Rightarrow \text{INF } r:\text{responses-}\mathcal{I} \ \mathcal{I} \ \text{out. expectation-gpv } 0 \ \mathcal{I} \ (\lambda\cdot. 1) \ (c \ r)) \ \partial\text{measure-spmf (the-gpv gpv)}$
by(*subst expectation-gpv.simps*)(*auto*)
also have $\dots \leq \int^+ \text{generat. (case generat of Pure } x \Rightarrow 1 \mid \text{IO out } c \Rightarrow 1)$
 $\partial\text{measure-spmf (the-gpv gpv)}$
apply(*rule nn-integral-mono-AE*)
apply(*clarsimp split: generat.split*)
apply(*frule WT-gpv-OutD[OF WT]*)
using *expectation-gpv-const-le*[*of* $\mathcal{I} - 0 \ 1$]
apply(*auto* *simp* *add: in-outs- \mathcal{I} -iff-responses- \mathcal{I} max-def* *intro: INF-lower2* *WT-gpv-ContD[OF WT]* *dest: WT-gpv-OutD[OF WT]*)
done
also have $\dots = \text{weight-spmf (the-gpv gpv)}$
by(*auto* *simp* *add: weight-spmf-eq-nn-integral-spmf nn-integral-measure-spmf* *intro!: nn-integral-cong split: generat.split*)
finally show *?thesis* **using** *weight-spmf-le-1*[*of the-gpv gpv*] **by**(*simp* *add: lossless-spmf-def*)
qed

lemma

shows *plossless-gpv-ContD*:
 $\llbracket \text{plossless-gpv } \mathcal{I} \ \text{gpv}; \text{IO out } c \in \text{set-spmf (the-gpv gpv)}; \text{input} \in \text{responses-}\mathcal{I} \ \mathcal{I} \ \text{out}; \mathcal{I} \vdash_g \ \text{gpv} \ \checkmark \rrbracket$
 $\implies \text{plossless-gpv } \mathcal{I} \ (c \ \text{input})$
and *pfinite-gpv-ContD*:
 $\llbracket \text{pfinite-gpv } \mathcal{I} \ \text{gpv}; \text{IO out } c \in \text{set-spmf (the-gpv gpv)}; \text{input} \in \text{responses-}\mathcal{I} \ \mathcal{I} \ \text{out}; \mathcal{I} \vdash_g \ \text{gpv} \ \checkmark \rrbracket$
 $\implies \text{pfinite-gpv } \mathcal{I} \ (c \ \text{input})$
proof(*rule-tac* [!] *pgen-lossless-gpvI*, *rule-tac* [!] *antisym[rotated]*, *rule-tac* *ccontr*, *rule-tac* [3] *ccontr*)
assume *IO*: $\text{IO out } c \in \text{set-spmf (the-gpv gpv)}$
and *input*: $\text{input} \in \text{responses-}\mathcal{I} \ \mathcal{I} \ \text{out}$
and *WT*: $\mathcal{I} \vdash_g \ \text{gpv} \ \checkmark$
from *WT* *IO* *input* **have** *WT'*: $\mathcal{I} \vdash_g \ c \ \text{input} \ \checkmark$ **by**(*rule WT-gpv-ContD*)
from *expectation-gpv-const-le*[*OF this, of 0 1*] *expectation-gpv-const-le*[*OF this, of 1 1*]
show $\text{expectation-gpv } 0 \ \mathcal{I} \ (\lambda\cdot. 1) \ (c \ \text{input}) \leq 1$
and $\text{expectation-gpv } 1 \ \mathcal{I} \ (\lambda\cdot. 1) \ (c \ \text{input}) \leq 1$ **by**(*simp-all* *add: max-def*)

have *less*: $\text{expectation-gpv fail } \mathcal{I} \ (\lambda\cdot. 1) \ \text{gpv} < \text{weight-spmf (the-gpv gpv)} + \text{fail} \ * \ \text{pmf (the-gpv gpv)} \ \text{None}$
if *fail*: $\text{fail} \leq 1$ **and** ***: $\neg 1 \leq \text{expectation-gpv fail } \mathcal{I} \ (\lambda\cdot. 1) \ (c \ \text{input})$ **for** *fail*
 $:: \text{ennreal}$
proof –
have $\text{expectation-gpv fail } \mathcal{I} \ (\lambda\cdot. 1) \ \text{gpv} = (\int^+ \text{generat. (case generat of Pure } x \Rightarrow 1 \mid \text{IO out } c \Rightarrow \text{INF } r:\text{responses-}\mathcal{I} \ \mathcal{I} \ \text{out. expectation-gpv fail } \mathcal{I} \ (\lambda\cdot. 1) \ (c \ r))$

\ast *spmf* (the-gpv gpv) generat \ast indicator (UNIV - {IO out c}) generat + (INF r:responses- \mathcal{I} \mathcal{I} out. expectation-gpv fail \mathcal{I} (λ -. 1) (c r)) \ast *spmf* (the-gpv gpv) (IO out c) \ast indicator {IO out c} generat ∂ count-space UNIV) + fail \ast pmf (the-gpv gpv) None

by(subst expectation-gpv.simps)(auto simp add: nn-integral-measure-spmf mult commute intro!: nn-integral-cong split: split-indicator generat.split)

also have ... = (\int^+ generat. (case generat of Pure $x \Rightarrow 1 \mid$ IO out c \Rightarrow INF r:responses- \mathcal{I} \mathcal{I} out. expectation-gpv fail \mathcal{I} (λ -. 1) (c r)) \ast *spmf* (the-gpv gpv) generat \ast indicator (UNIV - {IO out c}) generat ∂ count-space UNIV) + (INF r:responses- \mathcal{I} \mathcal{I} out. expectation-gpv fail \mathcal{I} (λ -. 1) (c r)) \ast *spmf* (the-gpv gpv) (IO out c) + fail \ast pmf (the-gpv gpv) None (**is** - = ?rest + ?cr + -)

by(subst nn-integral-add) simp-all

also from calculation expectation-gpv-const-le[OF WT, of fail 1] fail **have** fin: ?rest $\neq \infty$

by(auto simp add: top-add top-unique max-def split: if-split-asm)

have ?cr \leq expectation-gpv fail \mathcal{I} (λ -. 1) (c input) \ast *spmf* (the-gpv gpv) (IO out c)

by(rule mult-right-mono INF-lower[OF input])+ simp

also have ?rest + ... $<$?rest + 1 \ast ennreal (spmf (the-gpv gpv) (IO out c))

unfolding ennreal-add-left-cancel-less **using** \ast IO

by(intro conjI fin ennreal-mult-strict-right-mono)(simp-all add: not-le weight-gpv-def in-set-spmf-iff-spmf)

also have ?rest $\leq \int^+$ generat. *spmf* (the-gpv gpv) generat \ast indicator (UNIV - {IO out c}) generat ∂ count-space UNIV

apply(rule nn-integral-mono)

apply(clarsimp split: generat.split split-indicator)

apply(rule ennreal-mult-le-self2I)

apply simp

subgoal premises prems **for** out' c'

apply(subgoal-tac IO out' c' \in set-spmf (the-gpv gpv))

apply(frule WT-gpv-OutD[OF WT])

apply(simp add: in-outs- \mathcal{I} -iff-responses- \mathcal{I})

apply safe

apply(erule notE)

apply(rule INF-lower2, assumption)

apply(rule expectation-gpv-const-le[THEN order-trans])

apply(erule (1) WT-gpv-ContD[OF WT])

apply(simp add: fail)

using prems **by**(simp add: in-set-spmf-iff-spmf)

done

also have ... + 1 \ast ennreal (spmf (the-gpv gpv) (IO out c)) = (\int^+ generat. *spmf* (the-gpv gpv) generat \ast indicator (UNIV - {IO out c}) generat + ennreal (spmf (the-gpv gpv) (IO out c)) \ast indicator {IO out c} generat ∂ count-space UNIV)

by(subst nn-integral-add)(simp-all)

also have ... = \int^+ generat. *spmf* (the-gpv gpv) generat ∂ count-space UNIV

by(auto intro!: nn-integral-cong split: split-indicator)

also have ... = weight-spmf (the-gpv gpv) **by**(simp add: nn-integral-spmf measure-spmf.emmeasure-eq-measure space-measure-spmf)

finally show *?thesis using fail*
by(*fastforce simp add: top-unique add-mono ennreal-plus[symmetric] ennreal-mult-eq-top-iff*)
qed

show *False if *: $\neg 1 \leq \text{expectation-gpv } 0 \mathcal{I} (\lambda-. 1)$ (c input) and lossless:*
plossless-gpv \mathcal{I} gpv
using *less[OF - *] plossless-gpv-lossless-spmfD[OF lossless WT] lossless[THEN*
pgen-lossless-gpvD]
by(*simp add: lossless-spmf-def*)

show *False if *: $\neg 1 \leq \text{expectation-gpv } 1 \mathcal{I} (\lambda-. 1)$ (c input) and finite:*
pfinite-gpv \mathcal{I} gpv
using *less[OF - *] finite[THEN pgen-lossless-gpvD] by(simp add: ennreal-plus[symmetric]*
del: ennreal-plus)(simp add: pmf-None-eq-weight-spmf)
qed

lemma *plossless-iff-colossless-pfinite:*
assumes *WT: $\mathcal{I} \vdash g$ gpv \checkmark*
shows *plossless-gpv \mathcal{I} gpv \longleftrightarrow colossless-gpv \mathcal{I} gpv \wedge pfinite-gpv \mathcal{I} gpv*
proof(*intro iffI conjI; (elim conjE)?*)
assume **: plossless-gpv \mathcal{I} gpv*
show *colossless-gpv \mathcal{I} gpv using * WT*
proof(*coinduction arbitrary: gpv*)
case (*colossless-gpv gpv*)
have *?lossless-spmf using colossless-gpv by(rule plossless-gpv-lossless-spmfD)*
moreover have *?continuation using colossless-gpv*
by(*auto intro: plossless-gpv-ContD WT-gpv-ContD*)
ultimately show *?case ..*
qed

show *pfinite-gpv \mathcal{I} gpv unfolding pgen-lossless-gpv-def*
proof(*rule antisym*)
from *expectation-gpv-const-le[OF WT, of 1 1] show expectation-gpv 1 \mathcal{I} ($\lambda-$*
1) gpv ≤ 1 by simp
have *1 = expectation-gpv 0 \mathcal{I} ($\lambda-. 1$) gpv using * by(simp add: pgen-lossless-gpv-def)*
also have *... \leq expectation-gpv 1 \mathcal{I} ($\lambda-. 1$) gpv by(rule expectation-gpv-mono)*
simp-all
finally show *1 \leq *
qed

next
show *plossless-gpv \mathcal{I} gpv if colossless-gpv \mathcal{I} gpv and pfinite-gpv \mathcal{I} gpv using*
that
by(*simp add: pgen-lossless-gpv-def cong: expectation-gpv-cong-fail*)
qed

lemma *pgen-lossless-gpv-Done [simp]: pgen-lossless-gpv fail \mathcal{I} (Done x) for fail*
by(*simp add: pgen-lossless-gpv-def*)

lemma *pgen-lossless-gpv-Fail [simp]: pgen-lossless-gpv fail \mathcal{I} Fail \longleftrightarrow fail = 1 for*

fail
by(*simp add: pgen-lossless-gpv-def*)

lemma *pgen-lossless-gpv-PauseI* [*simp, intro!*]:
 $\llbracket \text{out} \in \text{outs-}\mathcal{I} \ \mathcal{I}; \bigwedge r. r \in \text{responses-}\mathcal{I} \ \mathcal{I} \ \text{out} \implies \text{pgen-lossless-gpv fail } \mathcal{I} \ (c \ r) \rrbracket$
 $\implies \text{pgen-lossless-gpv fail } \mathcal{I} \ (\text{Pause out } c) \ \mathbf{for} \ \text{fail}$
by(*simp add: pgen-lossless-gpv-def weight-gpv-def in-outs- \mathcal{I} -iff-responses- \mathcal{I}*)

lemma *pgen-lossless-gpv-bindI* [*simp, intro!*]:
 $\llbracket \text{pgen-lossless-gpv fail } \mathcal{I} \ \text{gpv}; \bigwedge x. x \in \text{results-gpv } \mathcal{I} \ \text{gpv} \implies \text{pgen-lossless-gpv fail } \mathcal{I} \ (f \ x) \rrbracket$
 $\implies \text{pgen-lossless-gpv fail } \mathcal{I} \ (\text{bind-gpv gpv } f) \ \mathbf{for} \ \text{fail}$
by(*simp add: pgen-lossless-gpv-def weight-gpv-def o-def cong: expectation-gpv-cong*)

lemma *pgen-lossless-gpv-lift-spmf* [*simp*]:
 $\text{pgen-lossless-gpv fail } \mathcal{I} \ (\text{lift-spmf } p) \longleftrightarrow \text{lossless-spmf } p \vee \text{fail} = 1 \ \mathbf{for} \ \text{fail}$
apply(*cases fail*)

subgoal

by(*simp add: pgen-lossless-gpv-def lossless-spmf-def measure-spmf.emeasure-eq-measure pmf-None-eq-weight-spmf ennreal-minus ennreal-mult[symmetric] weight-spmf-le-1 ennreal-plus[symmetric] del: ennreal-plus*)

(*metis add-diff-cancel-left' diff-add-cancel eq-iff-diff-eq-0 mult-cancel-right1*)

subgoal **by**(*simp add: pgen-lossless-gpv-def measure-spmf.emeasure-eq-measure ennreal-top-mult lossless-spmf-def add-top weight-spmf-conv-pmf-None*)

done

lemma *expectation-gpv-top-pfinite*:

assumes *pfinite-gpv* $\mathcal{I} \ \text{gpv}$

shows $\text{expectation-gpv } \top \ \mathcal{I} \ (\lambda-. \top) \ \text{gpv} = \top$

proof(*rule ccontr*)

assume $*$: \neg *?thesis*

have $1 = \text{expectation-gpv } 1 \ \mathcal{I} \ (\lambda-. 1) \ \text{gpv}$ **using** *assms* **by**(*simp add: pgen-lossless-gpv-def*)

also have $\dots \leq \text{expectation-gpv } \top \ \mathcal{I} \ (\lambda-. \top) \ \text{gpv}$ **by**(*rule expectation-gpv-mono*)(*simp-all add: le-fun-def*)

also have $\dots = 0$ **using** *expectation-gpv-cmult[of 2 $\top \ \mathcal{I} \ \lambda-. \top \ \text{gpv}$]* $*$

by(*simp add: ennreal-mult-top*) (*metis ennreal-mult-cancel-left mult commute mult-numeral-1-right not-gr-zero numeral-eq-one-iff semiring-norm(85) zero-neq-numeral*)

finally show *False* **by** *simp*

qed

lemma *pfinite-INF-le-expectation-gpv*:

fixes *fail* $\mathcal{I} \ \text{gpv} \ f$

defines $c \equiv \min (\text{INF } x:\text{results-gpv } \mathcal{I} \ \text{gpv}. f \ x) \ \text{fail}$

assumes *fin*: *pfinite-gpv* $\mathcal{I} \ \text{gpv}$

shows $c \leq \text{expectation-gpv fail } \mathcal{I} \ f \ \text{gpv}$ (**is** *?lhs* \leq *?rhs*)

proof(*cases c > 0*)

case *True*

have $c = c * \text{expectation-gpv } 1 \ \mathcal{I} \ (\lambda-. 1) \ \text{gpv}$ **using** *assms* **by**(*simp add: pgen-lossless-gpv-def*)

also have $\dots = \text{expectation-gpv } c \ \mathcal{I} \ (\lambda\cdot. c) \ \text{gpv}$ **using** fin True
by($\text{cases } c = \top$)($\text{simp-all add: expectation-gpv-top-pfinite ennreal-top-mult}$
 $\text{expectation-gpv-cmult, simp add: pgen-lossless-gpv-def}$)
also have $\dots \leq ?rhs$ **by**($\text{rule expectation-gpv-mono-strong}$)($\text{auto simp add: c-def}$
 $\text{min-def intro: INF-lower2}$)
finally show $?thesis$.
qed simp

lemma $\text{plossless-INF-le-expectation-gpv}$:
fixes fail
assumes $\text{plossless-gpv } \mathcal{I} \ \text{gpv}$ **and** $\mathcal{I} \vdash g \ \text{gpv} \ \checkmark$
shows $(\text{INF } x:\text{results-gpv } \mathcal{I} \ \text{gpv}. f \ x) \leq \text{expectation-gpv } \text{fail} \ \mathcal{I} \ f \ \text{gpv}$ (**is** $?lhs \leq$
 $?rhs$)
proof –
from assms **have** $\text{fin: pfinite-gpv } \mathcal{I} \ \text{gpv}$ **and** $\text{co: colossless-gpv } \mathcal{I} \ \text{gpv}$
by($\text{simp-all add: plossless-iff-colossless-pfinite}$)
have $?lhs \leq \text{min } ?lhs \ \top$ **by**(simp add: min-def)
also have $\dots \leq \text{expectation-gpv } \top \ \mathcal{I} \ f \ \text{gpv}$ **using** fin **by**($\text{rule pfinite-INF-le-expectation-gpv}$)
also have $\dots = ?rhs$ **using** co **by**($\text{simp add: expectation-gpv-cong-fail}$)
finally show $?thesis$.
qed

lemma $\text{expectation-gpv-le-inline}$:
fixes \mathcal{I}'
defines $\text{expectation-gpv2} \equiv \text{expectation-gpv } 0 \ \mathcal{I}'$
assumes $\text{callee: } \bigwedge s \ x. x \in \text{outs-}\mathcal{I} \ \mathcal{I} \implies \text{plossless-gpv } \mathcal{I}' \ (\text{callee } s \ x)$
and $\text{callee': } \bigwedge s \ x. x \in \text{outs-}\mathcal{I} \ \mathcal{I} \implies \text{results-gpv } \mathcal{I}' \ (\text{callee } s \ x) \subseteq \text{responses-}\mathcal{I} \ \mathcal{I}$
 $x \times \text{UNIV}$
and $\text{WT-gpv: } \mathcal{I} \vdash g \ \text{gpv} \ \checkmark$
and $\text{WT-callee: } \bigwedge s \ x. x \in \text{outs-}\mathcal{I} \ \mathcal{I} \implies \mathcal{I}' \vdash g \ \text{callee } s \ x \ \checkmark$
shows $\text{expectation-gpv } 0 \ \mathcal{I} \ f \ \text{gpv} \leq \text{expectation-gpv2} \ (\lambda(x, s). f \ x)$ (inline callee
 $\text{gpv } s$)
using WT-gpv
proof($\text{induction arbitrary: gpv } s \ \text{rule: expectation-gpv-fixp-induct}$)
case adm **show** $?case$ **by** simp
case bottom **show** $?case$ **by** simp
case ($\text{step expectation-gpv'}$)
{ **fix** $\text{out } c$
assume $\text{IO: } \text{IO out } c \in \text{set-spmf} \ (\text{the-gpv } \text{gpv})$
with step.premis **have** $\text{out: out} \in \text{outs-}\mathcal{I} \ \mathcal{I}$ **by**(rule WT-gpv-OutD)
have $(\text{INF } r:\text{responses-}\mathcal{I} \ \mathcal{I} \ \text{out}. \text{expectation-gpv'} \ (c \ r)) = \int^+ \text{generat.} \ (\text{INF}$
 $r:\text{responses-}\mathcal{I} \ \mathcal{I} \ \text{out}. \text{expectation-gpv'} \ (c \ r)) \ \partial \text{measure-spmf} \ (\text{the-gpv} \ (\text{callee } s \ \text{out}))$
using $\text{WT-callee}[OF \ \text{out}, \ \text{of } s] \ \text{callee}[OF \ \text{out}, \ \text{of } s]$
by($\text{clarsimp simp add: measure-spmf.emmeasure-eq-measure plossless-iff-colossless-pfinite}$
 $\text{colossless-gpv-lossless-spmfD lossless-weight-spmfD}$)
also have $\dots \leq \int^+ \text{generat.} \ (\text{case generat of Pure } (x, s') \Rightarrow$
 $\int^+ xx. (\text{case } xx \ \text{of Inl } (x, -) \Rightarrow f \ x$
 $\mid \text{Inr } (\text{out}', \text{callee}', \text{rpv}) \Rightarrow \text{INF } r':\text{responses-}\mathcal{I} \ \mathcal{I}' \ \text{out}'. \text{expectation-gpv}$


```

0  $\mathcal{I}'$  ( $\lambda(r, s')$ . expectation-gpv 0  $\mathcal{I}'$  ( $\lambda(x, s)$ .  $f x$ ) (inline callee (rpv  $r$ )  $s'$ )) (callee'
 $r'$ ))
   $\partial$ measure-spmf (inline1 callee (c  $x$ )  $s'$ )
  | IO out' rpv  $\Rightarrow$  INF  $r':$ responses- $\mathcal{I}$   $\mathcal{I}'$  out'. expectation-gpv 0  $\mathcal{I}'$  ( $\lambda(r', s')$ .
expectation-gpv 0  $\mathcal{I}'$  ( $\lambda(x, s)$ .  $f x$ ) (inline callee (c  $r'$ )  $s'$ )) (rpv  $r'$ )
   $\partial$ measure-spmf (the-gpv (callee  $s$  out))
proof(rule nn-integral-mono-AE; simp split!: generat.split)
  fix  $x s'$ 
  assume Pure: Pure ( $x, s' \in$  set-spmf (the-gpv (callee  $s$  out))
  hence ( $x, s' \in$  results-gpv  $\mathcal{I}'$  (callee  $s$  out) by(rule results-gpv.Pure)
  with callee'[OF out, of  $s$ ] have  $x: x \in$  responses- $\mathcal{I}$   $\mathcal{I}$  out by blast
  hence (INF  $r':$ responses- $\mathcal{I}$   $\mathcal{I}$  out. expectation-gpv' (c  $r$ ))  $\leq$  expectation-gpv'
(c  $x$ ) by(rule INF-lower)
  also have ...  $\leq$  expectation-gpv2 ( $\lambda(x, s)$ .  $f x$ ) (inline callee (c  $x$ )  $s'$ )
  by(rule step.IH)(rule WT-gpv-ContD[OF step.premis(1) IO  $x$ ] step.premis|assumption)+
  also have ... =  $\int^+ xx$ . (case  $xx$  of Inl ( $x, -$ )  $\Rightarrow f x$ 
  | Inr (out', callee', rpv)  $\Rightarrow$  INF  $r':$ responses- $\mathcal{I}$   $\mathcal{I}'$  out'. expectation-gpv
0  $\mathcal{I}'$  ( $\lambda(r, s')$ . expectation-gpv 0  $\mathcal{I}'$  ( $\lambda(x, s)$ .  $f x$ ) (inline callee (rpv  $r$ )  $s'$ )) (callee'
 $r'$ ))
   $\partial$ measure-spmf (inline1 callee (c  $x$ )  $s'$ )
  unfolding expectation-gpv2-def
  by(subst expectation-gpv.simps)(auto simp add: inline-sel split-def o-def
intro!: nn-integral-cong split: generat.split sum.split)
  finally show (INF  $r':$ responses- $\mathcal{I}$   $\mathcal{I}$  out. expectation-gpv' (c  $r$ ))  $\leq$  ... .
next
  fix out' rpv
  assume IO': IO out' rpv  $\in$  set-spmf (the-gpv (callee  $s$  out))
  have (INF  $r':$ responses- $\mathcal{I}$   $\mathcal{I}$  out. expectation-gpv' (c  $r$ ))  $\leq$  (INF ( $r, s'$ ):( $\bigcup r' \in$ responses- $\mathcal{I}$ 
 $\mathcal{I}'$  out'. results-gpv  $\mathcal{I}'$  (rpv  $r'$ )). expectation-gpv' (c  $r$ ))
  using IO' callee'[OF out, of  $s$ ] by(intro INF-mono)(auto intro: results-gpv.IO)
  also have ... = (INF  $r':$ responses- $\mathcal{I}$   $\mathcal{I}'$  out'. INF ( $r, s'$ ):results-gpv  $\mathcal{I}'$  (rpv
 $r'$ ). expectation-gpv' (c  $r$ ))
  by(simp add: INF-UNION)
  also have ...  $\leq$  (INF  $r':$ responses- $\mathcal{I}$   $\mathcal{I}'$  out'. expectation-gpv 0  $\mathcal{I}'$  ( $\lambda(r', s')$ .
expectation-gpv 0  $\mathcal{I}'$  ( $\lambda(x, s)$ .  $f x$ ) (inline callee (c  $r'$ )  $s'$ )) (rpv  $r'$ )
  proof(rule INF-mono, rule beXI)
  fix  $r'$ 
  assume  $r': r' \in$  responses- $\mathcal{I}$   $\mathcal{I}'$  out'
  have (INF ( $r, s'$ ):results-gpv  $\mathcal{I}'$  (rpv  $r'$ ). expectation-gpv' (c  $r$ ))  $\leq$  (INF ( $r, s'$ ):results-gpv  $\mathcal{I}'$  (rpv  $r'$ ). expectation-gpv2 ( $\lambda(x, s)$ .  $f x$ ) (inline callee (c  $r$ )  $s'$ ))
  using IO IO' step.premis out callee'[OF out, of  $s$ ]  $r'$ 
  by(auto intro!: INF-mono rev-beXI step.IH dest: WT-gpv-ContD intro:
results-gpv.IO)
  also have ...  $\leq$  expectation-gpv 0  $\mathcal{I}'$  ( $\lambda(r', s')$ . expectation-gpv 0  $\mathcal{I}'$  ( $\lambda(x, s)$ .
 $f x$ ) (inline callee (c  $r'$ )  $s'$ )) (rpv  $r'$ )
  unfolding expectation-gpv2-def using plossless-gpv-ContD[OF callee, OF
out IO'  $r'$ ] WT-callee[OF out, of  $s$ ] IO'  $r'$ 
  by(intro plossless-INF-le-expectation-gpv)(auto intro: WT-gpv-ContD)
  finally show (INF ( $r, s'$ ):results-gpv  $\mathcal{I}'$  (rpv  $r'$ ). expectation-gpv' (c  $r$ ))  $\leq$ 

```

```

... .
  qed
  finally show (INF r:responses-I I out. expectation-gpv' (c r)) ≤ ... .
  qed
  also note calculation }
then show ?case unfolding expectation-gpv2-def
  apply(rewrite expectation-gpv.simps)
  apply(rewrite inline-sel)
  apply(simp add: o-def pmf-map-spmf-None)
  apply(rewrite sum.case-distrib[where h=case-generat - -])
  apply(simp cong del: sum.case-cong-weak)
  apply(simp add: split-beta o-def cong del: sum.case-cong-weak)
  apply(rewrite inline1.simps)
  apply(rewrite measure-spmf-bind)
  apply(rewrite nn-integral-bind[where B=measure-spmf -])
  apply simp
  apply(simp add: space-subprob-algebra)
  apply(rule nn-integral-mono-AE)
  apply(clarsimp split!: generat.split)
  apply(simp add: measure-spmf-return-spmf nn-integral-return)
  apply(rewrite measure-spmf-bind)
  apply(simp add: nn-integral-bind[where B=measure-spmf -] space-subprob-algebra)
  apply(subst generat.case-distrib[where h=measure-spmf])
  apply(subst generat.case-distrib[where h=λx. nn-integral x -])
  apply(simp add: measure-spmf-return-spmf nn-integral-return split-def)
done
qed

lemma plossless-inline:
  assumes lossless: plossless-gpv I gpv
  and WT: I ⊢g gpv √
  and callee: ∧s x. x ∈ outs-I I ⇒ plossless-gpv I' (callee s x)
  and callee': ∧s x. x ∈ outs-I I ⇒ results-gpv I' (callee s x) ⊆ responses-I I
x × UNIV
  and WT-callee: ∧s x. x ∈ outs-I I ⇒ I' ⊢g callee s x √
  shows plossless-gpv I' (inline callee gpv s)
unfolding pgen-lossless-gpv-def
proof(rule antisym)
  have WT': I' ⊢g inline callee gpv s √ using callee' WT-callee WT by(rule
WT-gpv-inline)
  from expectation-gpv-const-le[OF WT', of 0 1]
  show expectation-gpv 0 I' (λ-. 1) (inline callee gpv s) ≤ 1 by(simp add: max-def)

  have 1 = expectation-gpv 0 I (λ-. 1) gpv using lossless by(simp add: pgen-lossless-gpv-def)
  also have ... ≤ expectation-gpv 0 I' (λ-. 1) (inline callee gpv s)
  by(rule expectation-gpv-le-inline[unfolded split-def]; rule callee callee' WT WT-callee)
  finally show 1 ≤ ... .
qed

```

lemma *plossless-exec-gpv*:
assumes *lossless*: *plossless-gpv* \mathcal{I} *gpv*
and *WT*: $\mathcal{I} \vdash g$ *gpv* \checkmark
and *callee*: $\bigwedge s x. x \in \text{outs-}\mathcal{I} \ \mathcal{I} \implies \text{lossless-spmf} \ (\text{callee} \ s \ x)$
and *callee'*: $\bigwedge s x. x \in \text{outs-}\mathcal{I} \ \mathcal{I} \implies \text{set-spmf} \ (\text{callee} \ s \ x) \subseteq \text{responses-}\mathcal{I} \ \mathcal{I} \times \text{UNIV}$
shows *lossless-spmf* (*exec-gpv callee gpv s*)
proof –
have *plossless-gpv* \mathcal{I} -full (*inline* ($\lambda s x. \text{lift-spmf} \ (\text{callee} \ s \ x)$) *gpv s*)
using *lossless WT* **by**(*rule plossless-inline*)(*simp-all add: callee callee'*)
from *this*[*THEN plossless-gpv-lossless-spmfD*] **show** *?thesis*
unfolding *exec-gpv-conv-inline1* **by**(*simp add: inline-sel*)
qed
end

theory *GPV-Bisim* **imports**
GPV-Expectation
begin

4.20 Bisimulation for oracles

Bisimulation is a consequence of parametricity

lemma *exec-gpv-oracle-bisim'*:
assumes $*$: $X \ s1 \ s2$
and *bisim*: $\bigwedge s1 \ s2 \ x. X \ s1 \ s2 \implies \text{rel-spmf} \ (\lambda(a, \ s1') \ (b, \ s2'). a = b \wedge X \ s1' \ s2') \ (\text{oracle1} \ s1 \ x) \ (\text{oracle2} \ s2 \ x)$
shows *rel-spmf* ($\lambda(a, \ s1') \ (b, \ s2'). a = b \wedge X \ s1' \ s2'$) (*exec-gpv oracle1 gpv s1*)
(*exec-gpv oracle2 gpv s2*)
by(*rule exec-gpv-parametric[of X (=) (=), unfolded gpv.rel-eq rel-prod-conv, THEN rel-funD, THEN rel-funD, THEN rel-funD, OF rel-funI refl, OF rel-funI *]*)(*simp add: bisim*)

lemma *exec-gpv-oracle-bisim*:
assumes $*$: $X \ s1 \ s2$
and *bisim*: $\bigwedge s1 \ s2 \ x. X \ s1 \ s2 \implies \text{rel-spmf} \ (\lambda(a, \ s1') \ (b, \ s2'). a = b \wedge X \ s1' \ s2') \ (\text{oracle1} \ s1 \ x) \ (\text{oracle2} \ s2 \ x)$
and *R*: $\bigwedge x \ s1' \ s2'. \llbracket X \ s1' \ s2'; (x, \ s1') \in \text{set-spmf} \ (\text{exec-gpv} \ \text{oracle1} \ \text{gpv} \ s1); (x, \ s2') \in \text{set-spmf} \ (\text{exec-gpv} \ \text{oracle2} \ \text{gpv} \ s2) \rrbracket \implies R \ (x, \ s1') \ (x, \ s2')$
shows *rel-spmf* *R* (*exec-gpv oracle1 gpv s1*) (*exec-gpv oracle2 gpv s2*)
apply(*rule spmf-rel-mono-strong*)
apply(*rule exec-gpv-oracle-bisim'[OF * bisim]*)
apply(*auto dest: R*)
done

lemma *run-gpv-oracle-bisim*:
assumes $X \ s1 \ s2$
and $\bigwedge s1 \ s2 \ x. X \ s1 \ s2 \implies \text{rel-spmf} \ (\lambda(a, \ s1') \ (b, \ s2'). a = b \wedge X \ s1' \ s2')$

```

(oracle1 s1 x) (oracle2 s2 x)
  shows run-gpv oracle1 gpv s1 = run-gpv oracle2 gpv s2
using exec-gpv-oracle-bisim'[OF assms]
by(fold spmf-rel-eq)(fastforce simp add: spmf-rel-map intro: rel-spmf-mono)

```

context

```

fixes joint-oracle :: ('s1 × 's2) ⇒ 'a ⇒ (('b × 's1) × ('b × 's2)) spmf
and oracle1 :: 's1 ⇒ 'a ⇒ ('b × 's1) spmf
and bad1 :: 's1 ⇒ bool
and oracle2 :: 's2 ⇒ 'a ⇒ ('b × 's2) spmf
and bad2 :: 's2 ⇒ bool

```

begin

```

partial-function (spmf) exec-until-bad :: ('x, 'a, 'b) gpv ⇒ 's1 ⇒ 's2 ⇒ (('x × 's1) × ('x × 's2)) spmf

```

where

```

exec-until-bad gpv s1 s2 =
  (if bad1 s1 ∨ bad2 s2 then pair-spmf (exec-gpv oracle1 gpv s1) (exec-gpv oracle2 gpv s2)
  else bind-spmf (the-gpv gpv) (λgenerat.
    case generat of Pure x ⇒ return-spmf ((x, s1), (x, s2))
    | IO out f ⇒ bind-spmf (joint-oracle (s1, s2) out) (λ((x, s1'), (y, s2')).
      if bad1 s1' ∨ bad2 s2' then pair-spmf (exec-gpv oracle1 (f x) s1') (exec-gpv oracle2 (f y) s2')
      else exec-until-bad (f x) s1' s2')))

```

lemma *exec-until-bad-fixp-induct* [*case-names adm bottom step*]:

```

assumes ccpo.admissible (fun-lub lub-spmf) (fun-ord (ord-spmf (=))) (λf. P
(λgpv s1 s2. f ((gpv, s1), s2))))
and P (λ- - -. return-pmf None)
and  $\bigwedge$ exec-until-bad'. P exec-until-bad' ⇒
  P (λgpv s1 s2. if bad1 s1 ∨ bad2 s2 then pair-spmf (exec-gpv oracle1 gpv s1)
(exec-gpv oracle2 gpv s2)
  else bind-spmf (the-gpv gpv) (λgenerat.
    case generat of Pure x ⇒ return-spmf ((x, s1), (x, s2))
    | IO out f ⇒ bind-spmf (joint-oracle (s1, s2) out) (λ((x, s1'), (y, s2')).
      if bad1 s1' ∨ bad2 s2' then pair-spmf (exec-gpv oracle1 (f x) s1') (exec-gpv oracle2 (f y) s2')
      else exec-until-bad' (f x) s1' s2')))
shows P exec-until-bad
using assms by(rule exec-until-bad.fixp-induct[unfolding curry-conv[abs-def]])

```

end

lemma *exec-gpv-oracle-bisim-bad-plossless*:

```

fixes s1 :: 's1 and s2 :: 's2 and X :: 's1 ⇒ 's2 ⇒ bool
and oracle1 :: 's1 ⇒ 'a ⇒ ('b × 's1) spmf
and oracle2 :: 's2 ⇒ 'a ⇒ ('b × 's2) spmf
assumes *: if bad2 s2 then X-bad s1 s2 else X s1 s2

```

and *bad*: $bad1\ s1 = bad2\ s2$
and *bisim*: $\bigwedge s1\ s2\ x. \llbracket X\ s1\ s2; x \in outs\text{-}\mathcal{I}\ \mathcal{I} \rrbracket \implies rel\text{-}spmf\ (\lambda(a, s1')\ (b, s2')).$
 $bad1\ s1' = bad2\ s2' \wedge (if\ bad2\ s2'\ then\ X\text{-}bad\ s1'\ s2'\ else\ a = b \wedge X\ s1'\ s2')$
 $(oracle1\ s1\ x)\ (oracle2\ s2\ x)$
and *bad-sticky1*: $\bigwedge s2. bad2\ s2 \implies callee\text{-}invariant\text{-}on\ oracle1\ (\lambda s1. bad1\ s1 \wedge X\text{-}bad\ s1\ s2)\ \mathcal{I}$
and *bad-sticky2*: $\bigwedge s1. bad1\ s1 \implies callee\text{-}invariant\text{-}on\ oracle2\ (\lambda s2. bad2\ s2 \wedge X\text{-}bad\ s1\ s2)\ \mathcal{I}$
and *lossless1*: $\bigwedge s1\ x. \llbracket bad1\ s1; x \in outs\text{-}\mathcal{I}\ \mathcal{I} \rrbracket \implies lossless\text{-}spmf\ (oracle1\ s1\ x)$
and *lossless2*: $\bigwedge s2\ x. \llbracket bad2\ s2; x \in outs\text{-}\mathcal{I}\ \mathcal{I} \rrbracket \implies lossless\text{-}spmf\ (oracle2\ s2\ x)$
and *lossless*: $plossless\text{-}gpv\ \mathcal{I}\ gpv$
and *WT-oracle1*: $\bigwedge s1. \mathcal{I} \vdash c\ oracle1\ s1\ \checkmark$
and *WT-oracle2*: $\bigwedge s2. \mathcal{I} \vdash c\ oracle2\ s2\ \checkmark$
and *WT-gpv*: $\mathcal{I} \vdash g\ gpv\ \checkmark$
shows $rel\text{-}spmf\ (\lambda(a, s1')\ (b, s2')). bad1\ s1' = bad2\ s2' \wedge (if\ bad2\ s2'\ then\ X\text{-}bad\ s1'\ s2'\ else\ a = b \wedge X\ s1'\ s2')$ $(exec\text{-}gpv\ oracle1\ gpv\ s1)\ (exec\text{-}gpv\ oracle2\ gpv\ s2)$
(is $rel\text{-}spmf\ ?R\ ?p\ ?q)$
proof $-$
let $?R' = \lambda(a, s1')\ (b, s2'). bad1\ s1' = bad2\ s2' \wedge (if\ bad2\ s2'\ then\ X\text{-}bad\ s1'\ s2'\ else\ a = b \wedge X\ s1'\ s2')$
from *bisim* **have** $\forall s1\ s2. \forall x \in outs\text{-}\mathcal{I}\ \mathcal{I}. X\ s1\ s2 \longrightarrow rel\text{-}spmf\ ?R'\ (oracle1\ s1\ x)\ (oracle2\ s2\ x)$ **by** *blast*
then obtain *joint-oracle*
where *oracle1* [*symmetric*]: $\bigwedge s1\ s2\ x. \llbracket X\ s1\ s2; x \in outs\text{-}\mathcal{I}\ \mathcal{I} \rrbracket \implies map\text{-}spmf\ fst\ (joint\text{-}oracle\ s1\ s2\ x) = oracle1\ s1\ x$
and *oracle2* [*symmetric*]: $\bigwedge s1\ s2\ x. \llbracket X\ s1\ s2; x \in outs\text{-}\mathcal{I}\ \mathcal{I} \rrbracket \implies map\text{-}spmf\ snd\ (joint\text{-}oracle\ s1\ s2\ x) = oracle2\ s2\ x$
and \exists [*rotated 2*]: $\bigwedge s1\ s2\ x\ y\ y'\ s1'\ s2'. \llbracket X\ s1\ s2; x \in outs\text{-}\mathcal{I}\ \mathcal{I}; ((y, s1'), (y', s2')) \in set\text{-}spmf\ (joint\text{-}oracle\ s1\ s2\ x) \rrbracket$
 $\implies bad1\ s1' = bad2\ s2' \wedge (if\ bad2\ s2'\ then\ X\text{-}bad\ s1'\ s2'\ else\ y = y' \wedge X\ s1'\ s2')$
apply *atomize-elim*
apply (*unfold* $rel\text{-}spmf\text{-}simps\ all\text{-}conj\text{-}distrib$ [*symmetric*] $all\text{-}simps(6)\ imp\text{-}conjR$ [*symmetric*])
apply (*subst* $choice\text{-}iff$ [*symmetric*] $ex\text{-}simps(6)$)
apply *fastforce*
done
let $?joint\text{-}oracle = \lambda(s1, s2). joint\text{-}oracle\ s1\ s2$
let $?pq = exec\text{-}until\text{-}bad\ ?joint\text{-}oracle\ oracle1\ bad1\ oracle2\ bad2\ gpv\ s1\ s2$

have *setD*: $\bigwedge s1\ s2\ x\ y\ y'\ s1'\ s2'. \llbracket X\ s1\ s2; x \in outs\text{-}\mathcal{I}\ \mathcal{I}; ((y, s1'), (y', s2')) \in set\text{-}spmf\ (joint\text{-}oracle\ s1\ s2\ x) \rrbracket$
 $\implies (y, s1') \in set\text{-}spmf\ (oracle1\ s1\ x) \wedge (y', s2') \in set\text{-}spmf\ (oracle2\ s2\ x)$
unfolding *oracle1* *oracle2* **by** (*auto* *intro*: *rev-image-eqI*)
show *?thesis*
proof
show $map\text{-}spmf\ fst\ ?pq = exec\text{-}gpv\ oracle1\ gpv\ s1$
proof (*rule* $spmf.leq\text{-}antisym$)
show $ord\text{-}spmf\ (=)\ (map\text{-}spmf\ fst\ ?pq)\ (exec\text{-}gpv\ oracle1\ gpv\ s1)$ **using** $*$ *bad*

```

WT-gpv lossless
  proof(induction arbitrary: s1 s2 gpv rule: exec-until-bad-fixp-induct)
  case adm show ?case by simp
  case bottom show ?case by simp
  case (step exec-until-bad')
  show ?case
  proof(cases bad2 s2)
  case True
  then have weight-spmf (exec-gpv oracle2 gpv s2) = 1
  using callee-invariant-on.weight-exec-gpv[OF bad-sticky2 lossless2, of s1
gpv s2]
  step.premis weight-spmf-le-1[of exec-gpv oracle2 gpv s2]
  by(simp add: pgen-lossless-gpv-def weight-gpv-def)
  then show ?thesis using True by simp
next
case False
hence ¬ bad1 s1 using step.premis(2) by simp
moreover {
  fix out c r1 s1' r2 s2'
  assume IO: IO out c ∈ set-spmf (the-gpv gpv)
  and joint: ((r1, s1'), (r2, s2')) ∈ set-spmf (joint-oracle s1 s2 out)
  from step.premis(3) IO have out: out ∈ outs- $\mathcal{I}$   $\mathcal{I}$  by(rule WT-gpvD)
  from setD[OF - out joint] step.premis(1) False
  have 1: (r1, s1') ∈ set-spmf (oracle1 s1 out)
  and 2: (r2, s2') ∈ set-spmf (oracle2 s2 out) by simp-all
  hence r1: r1 ∈ responses- $\mathcal{I}$   $\mathcal{I}$  out and r2: r2 ∈ responses- $\mathcal{I}$   $\mathcal{I}$  out
  using WT-oracle1 WT-oracle2 out by(blast dest: WT-calleeD)+
  have *: plossless-gpv  $\mathcal{I}$  (c r2) using step.premis(4) IO r2 step.premis(3)
  by(rule plossless-gpv-ContD)
  then have bad2 s2'  $\implies$  weight-spmf (exec-gpv oracle2 (c r2) s2') = 1
  and ¬ bad2 s2'  $\implies$  ord-spmf (=) (map-spmf fst (exec-until-bad' (c r2)
s1' s2')) (exec-gpv oracle1 (c r2) s1')
  using callee-invariant-on.weight-exec-gpv[OF bad-sticky2 lossless2, of
s1' c r2 s2']
  weight-spmf-le-1[of exec-gpv oracle2 (c r2) s2'] WT-gpv-ContD[OF
step.premis(3) IO r2]
  3[OF joint - out] step.premis(1) False
  by(simp-all add: pgen-lossless-gpv-def weight-gpv-def step.IH) }
ultimately show ?thesis using False step.premis(1)
by(rewrite in ord-spmf - -  $\square$  exec-gpv.simps)
(fastforce simp add: split-def bind-map-spmf map-spmf-bind-spmf oracle1
WT-gpv-OutD[OF step.premis(3)] intro!: ord-spmf-bind-reflI split!: generat.split dest:
3)
qed
qed
show ord-spmf (=) (exec-gpv oracle1 gpv s1) (map-spmf fst ?pq) using * bad
WT-gpv lossless
proof(induction arbitrary: gpv s1 s2 rule: exec-gpv-fixp-induct-strong)
case adm show ?case by simp

```

```

case bottom show ?case by simp
case (step exec-gpv')
then show ?case
proof(cases bad2 s2)
  case True
  then have weight-spmf (exec-gpv oracle2 gpv s2) = 1
    using callee-invariant-on.weight-exec-gpv[OF bad-sticky2 lossless2, of s1
  gpv s2]
    step.premis weight-spmf-le-1[of exec-gpv oracle2 gpv s2]
  by(simp add: pgen-lossless-gpv-def weight-gpv-def)
  then show ?thesis using True
  by(rewrite exec-until-bad.simps; rewrite exec-gpv.simps)
    (clarsimp intro!: ord-spmf-bind-reflI split!: generat.split simp add:
  step.hyps)
  next
  case False
  hence  $\neg$  bad1 s1 using step.premis(2) by simp
  moreover {
    fix out c r1 s1' r2 s2'
    assume IO: IO out c  $\in$  set-spmf (the-gpv gpv)
      and joint: ((r1, s1'), (r2, s2'))  $\in$  set-spmf (joint-oracle s1 s2 out)
    from step.premis(3) IO have out: out  $\in$  outs- $\mathcal{I}$   $\mathcal{I}$  by(rule WT-gpvD)
    from setD[OF - out joint] step.premis(1) False
    have 1: (r1, s1')  $\in$  set-spmf (oracle1 s1 out)
      and 2: (r2, s2')  $\in$  set-spmf (oracle2 s2 out) by simp-all
    hence r1: r1  $\in$  responses- $\mathcal{I}$   $\mathcal{I}$  out and r2: r2  $\in$  responses- $\mathcal{I}$   $\mathcal{I}$  out
      using WT-oracle1 WT-oracle2 out by(blast dest: WT-calleeD)+
    have *: plossless-gpv  $\mathcal{I}$  (c r2) using step.premis(4) IO r2 step.premis(3)
      by(rule plossless-gpv-ContD)
    then have bad2 s2'  $\implies$  weight-spmf (exec-gpv oracle2 (c r2) s2') = 1
      and  $\neg$  bad2 s2'  $\implies$  ord-spmf (=) (exec-gpv' (c r2) s1') (map-spmf
  fst (exec-until-bad ( $\lambda(x, y).$  joint-oracle x y) oracle1 bad1 oracle2 bad2 (c r2) s1'
  s2'))
    using callee-invariant-on.weight-exec-gpv[OF bad-sticky2 lossless2, of
  s1' c r2 s2']
      weight-spmf-le-1[of exec-gpv oracle2 (c r2) s2'] WT-gpv-ContD[OF
  step.premis(3) IO r2]
      3[OF joint - out] step.premis(1) False
    by(simp-all add: pgen-lossless-gpv-def weight-gpv-def step.IH) }
    ultimately show ?thesis using False step.premis(1)
    by(rewrite exec-until-bad.simps)
    (fastforce simp add: map-spmf-bind-spmf WT-gpv-OutD[OF step.premis(3)]
  oracle1 bind-map-spmf step.hyps intro!: ord-spmf-bind-reflI split!: generat.split dest:
  3)
  qed
  qed
  qed
show map-spmf snd ?pq = exec-gpv oracle2 gpv s2

```

```

proof(rule spmf.leq-antisym)
  show ord-spmf (=) (map-spmf snd ?pq) (exec-gpv oracle2 gpv s2) using *
bad WT-gpv lossless
  proof(induction arbitrary: s1 s2 gpv rule: exec-until-bad-fixp-induct)
    case adm show ?case by simp
    case bottom show ?case by simp
    case (step exec-until-bad')
      show ?case
      proof(cases bad2 s2)
        case True
          then have weight-spmf (exec-gpv oracle1 gpv s1) = 1
            using callee-invariant-on.weight-exec-gpv[OF bad-sticky1 lossless1, of s2
gpv s1]
              step.prems weight-spmf-le-1[of exec-gpv oracle1 gpv s1]
              by(simp add: pgen-lossless-gpv-def weight-gpv-def)
          then show ?thesis using True by simp
        next
          case False
            hence  $\neg$  bad1 s1 using step.prems(2) by simp
            moreover {
              fix out c r1 s1' r2 s2'
              assume IO: IO out c  $\in$  set-spmf (the-gpv gpv)
                and joint: ((r1, s1'), (r2, s2'))  $\in$  set-spmf (joint-oracle s1 s2 out)
                from step.prems(3) IO have out: out  $\in$  outs- $\mathcal{I}$   $\mathcal{I}$  by(rule WT-gpvD)
                from setD[OF - out joint] step.prems(1) False
                have 1: (r1, s1')  $\in$  set-spmf (oracle1 s1 out)
                  and 2: (r2, s2')  $\in$  set-spmf (oracle2 s2 out) by simp-all
                hence r1: r1  $\in$  responses- $\mathcal{I}$   $\mathcal{I}$  out and r2: r2  $\in$  responses- $\mathcal{I}$   $\mathcal{I}$  out
                  using WT-oracle1 WT-oracle2 out by(blast dest: WT-calleeD)+
                have *: plossless-gpv  $\mathcal{I}$  (c r1) using step.prems(4) IO r1 step.prems(3)
                  by(rule plossless-gpv-ContD)
                then have bad2 s2'  $\implies$  weight-spmf (exec-gpv oracle1 (c r1) s1') = 1
                  and  $\neg$  bad2 s2'  $\implies$  ord-spmf (=) (map-spmf snd (exec-until-bad' (c
r2) s1' s2')) (exec-gpv oracle2 (c r2) s2')
                  using callee-invariant-on.weight-exec-gpv[OF bad-sticky1 lossless1, of
s2' c r1 s1']
                    weight-spmf-le-1[of exec-gpv oracle1 (c r1) s1'] WT-gpv-ContD[OF
step.prems(3) IO r1]
                    3[OF joint - out] step.prems(1) False
                  by(simp-all add: pgen-lossless-gpv-def weight-gpv-def step.IH) }
                ultimately show ?thesis using False step.prems(1)
                  by(rewrite in ord-spmf - -  $\sqcap$  exec-gpv.simps)
                  (fastforce simp add: split-def bind-map-spmf map-spmf-bind-spmf oracle2
WT-gpv-OutD[OF step.prems(3)] intro!: ord-spmf-bind-refl split!: generat.split dest:
3)
              }
            qed
          qed
          show ord-spmf (=) (exec-gpv oracle2 gpv s2) (map-spmf snd ?pq) using *
bad WT-gpv lossless

```



```

proof(induction arbitrary: gpv s1 s2 rule: exec-gpv-fixp-induct-strong)
  case adm show ?case by simp
  case bottom show ?case by simp
  case (step exec-gpv')
  then show ?case
  proof(cases bad2 s2)
    case True
      then have weight-spmf (exec-gpv oracle1 gpv s1) = 1
        using callee-invariant-on.weight-exec-gpv[OF bad-sticky1 lossless1, of s2
gpv s1]
        step.premis weight-spmf-le-1[of exec-gpv oracle1 gpv s1]
        by(simp add: pgen-lossless-gpv-def weight-gpv-def)
      then show ?thesis using True
        by(rewrite exec-until-bad.simps; subst (2) exec-gpv.simps)
          (clarsimp intro!: ord-spmf-bind-reflI split!: generat.split simp add:
step.hyps)
    next
      case False
      hence  $\neg$  bad1 s1 using step.premis(2) by simp
      moreover {
        fix out c r1 s1' r2 s2'
        assume IO: IO out c  $\in$  set-spmf (the-gpv gpv)
          and joint: ((r1, s1'), (r2, s2'))  $\in$  set-spmf (joint-oracle s1 s2 out)
        from step.premis(3) IO have out: out  $\in$  outs- $\mathcal{I}$   $\mathcal{I}$  by(rule WT-gpvD)
        from setD[OF - out joint] step.premis(1) False
        have 1: (r1, s1')  $\in$  set-spmf (oracle1 s1 out)
          and 2: (r2, s2')  $\in$  set-spmf (oracle2 s2 out) by simp-all
        hence r1: r1  $\in$  responses- $\mathcal{I}$   $\mathcal{I}$  out and r2: r2  $\in$  responses- $\mathcal{I}$   $\mathcal{I}$  out
          using WT-oracle1 WT-oracle2 out by(blast dest: WT-calleeD)+
        have *: plossless-gpv  $\mathcal{I}$  (c r1) using step.premis(4) IO r1 step.premis(3)
          by(rule plossless-gpv-ContD)
        then have bad2 s2'  $\implies$  weight-spmf (exec-gpv oracle1 (c r1) s1') = 1
          and  $\neg$  bad2 s2'  $\implies$  ord-spmf (=) (exec-gpv' (c r2) s2') (map-spmf
snd (exec-until-bad ( $\lambda(x, y).$  joint-oracle x y) oracle1 bad1 oracle2 bad2 (c r2) s1'
s2'))
          using callee-invariant-on.weight-exec-gpv[OF bad-sticky1 lossless1, of
s2' c r1 s1']
          weight-spmf-le-1[of exec-gpv oracle1 (c r1) s1'] WT-gpv-ContD[OF
step.premis(3) IO r1]
          3[OF joint - out] step.premis(1) False
          by(simp-all add: pgen-lossless-gpv-def step.IH weight-gpv-def) }
      ultimately show ?thesis using False step.premis(1)
        by(rewrite exec-until-bad.simps)
        (fastforce simp add: map-spmf-bind-spmf WT-gpv-OutD[OF step.premis(3)]
oracle2 bind-map-spmf step.hyps intro!: ord-spmf-bind-reflI split!: generat.split dest:
3)
    qed
  qed
qed

```

```

have set-spmf ?pq  $\subseteq \{(as1, bs2). ?R' as1 bs2\}$  using * bad WT-gpv
proof(induction arbitrary: gpv s1 s2 rule: exec-until-bad-fixp-induct)
  case adm show ?case by(intro cont-intro ccpo-class.admissible-leI)
  case bottom show ?case by simp
  case step
    have switch: set-spmf (exec-gpv oracle1 (c r1) s1')  $\times$  set-spmf (exec-gpv
oracle2 (c r2) s2')
       $\subseteq \{(a, s1'), b, s2'\}. bad1 s1' = bad2 s2' \wedge (if bad2 s2' then X-bad s1'$ 
s2' else a = b  $\wedge$  X s1' s2')\}
      if  $\neg bad1 s1 \mathcal{I} \vdash g gpv \checkmark \neg bad2 s2$  and  $X: X s1 s2$  and  $out: IO out c \in$ 
set-spmf (the-gpv gpv)
      and joint: ((r1, s1'), (r2, s2'))  $\in$  set-spmf (joint-oracle s1 s2 out)
      and bad2: bad2 s2'
      for  $out c r1 s1' r2 s2'$ 
    proof(clarify; rule conjI)
      from step.prems(3)  $out$  have  $outs: out \in outs\mathcal{I} \mathcal{I}$  by(rule WT-gpv-OutD)
      from bad2  $\exists [OF joint X this]$  have  $bad1: bad1 s1' \wedge X-bad s1' s2'$  by
simp-all

    have  $s1': (r1, s1') \in set-spmf (oracle1 s1 out)$  and  $s2': (r2, s2') \in set-spmf$ 
(oracle2 s2 out)
    using setD[OF X outs joint] by simp-all
    have  $resp: r1 \in responses\mathcal{I} \mathcal{I} out$  using WT-oracle1 s1' outs by(rule
WT-calleeD)
    with step.prems(3)  $out$  have  $WT1: \mathcal{I} \vdash g c r1 \checkmark$  by(rule WT-gpv-ContD)
    have  $resp: r2 \in responses\mathcal{I} \mathcal{I} out$  using WT-oracle2 s2' outs by(rule
WT-calleeD)
    with step.prems(3)  $out$  have  $WT2: \mathcal{I} \vdash g c r2 \checkmark$  by(rule WT-gpv-ContD)

    fix  $r1' s1'' r2' s2''$ 
    assume  $s1'': (r1', s1'') \in set-spmf (exec-gpv oracle1 (c r1) s1')$ 
      and  $s2'': (r2', s2'') \in set-spmf (exec-gpv oracle2 (c r2) s2')$ 
    have *:  $bad1 s1'' \wedge X-bad s1'' s2'$  using bad2 s1'' bad1 WT1
      by(rule callee-invariant-on.exec-gpv-invariant[OF bad-sticky1])
    have  $bad2 s2'' \wedge X-bad s1'' s2''$  using -  $s2''$  - WT2
      by(rule callee-invariant-on.exec-gpv-invariant[OF bad-sticky2])(simp-all
add: bad2 *)
    then show  $bad1 s1'' = bad2 s2''$  if bad2 s2'' then X-bad s1'' s2'' else r1'
= r2'  $\wedge$  X s1'' s2''
      using * by(simp-all)
    qed
    show ?case using step.prems
    apply(clarsimp simp add: bind-UNION step.IH  $\exists$  WT-gpv-OutD WT-gpv-ContD
del: subsetI intro!: UN-least split: generat.split if-split-asm)
    subgoal by(auto 4  $\exists$  dest: callee-invariant-on.exec-gpv-invariant[OF bad-sticky1,
rotated] callee-invariant-on.exec-gpv-invariant[OF bad-sticky2, rotated]  $\exists$ )
    apply(intro strip conjI)
    subgoal by(drule (6) switch) auto

```

subgoal by(*auto 4 3 intro!*: *step.IH[THEN order.trans]* *del*: *subsetI* *dest*:
3 setD[rotated 2] *simp add*: *WT-gpv-OutD WT-gpv-ContD* *intro*: *WT-gpv-ContD*
intro!: *WT-calleeD[OF WT-oracle1]*)
done
qed
then show $\bigwedge x y. (x, y) \in \text{set-spmf } ?pq \implies ?R x y$ **by** *auto*
qed
qed

lemma *exec-gpv-oracle-bisim-bad'*:

fixes *s1* :: '*s1* **and** *s2* :: '*s2* **and** *X* :: '*s1* \Rightarrow '*s2* \Rightarrow *bool*
and *oracle1* :: '*s1* \Rightarrow '*a* \Rightarrow ('*b* \times '*s1*) *spmf*
and *oracle2* :: '*s2* \Rightarrow '*a* \Rightarrow ('*b* \times '*s2*) *spmf*
assumes *: *if bad2 s2 then X-bad s1 s2 else X s1 s2*
and *bad*: *bad1 s1 = bad2 s2*
and *bisim*: $\bigwedge s1 s2 x. \llbracket X s1 s2; x \in \text{outs-}\mathcal{I} \mathcal{I} \rrbracket \implies \text{rel-spmf } (\lambda(a, s1') (b, s2').$
bad1 s1' = bad2 s2' \wedge (if bad2 s2' then X-bad s1' s2' else a = b \wedge X s1' s2'))
(oracle1 s1 x) (oracle2 s2 x)
and *bad-sticky1*: $\bigwedge s2. \text{bad2 } s2 \implies \text{callee-invariant-on } \text{oracle1 } (\lambda s1. \text{bad1 } s1 \wedge$
X-bad s1 s2) \mathcal{I}
and *bad-sticky2*: $\bigwedge s1. \text{bad1 } s1 \implies \text{callee-invariant-on } \text{oracle2 } (\lambda s2. \text{bad2 } s2 \wedge$
X-bad s1 s2) \mathcal{I}
and *lossless1*: $\bigwedge s1 x. \llbracket \text{bad1 } s1; x \in \text{outs-}\mathcal{I} \mathcal{I} \rrbracket \implies \text{lossless-spmf } (\text{oracle1 } s1 x)$
and *lossless2*: $\bigwedge s2 x. \llbracket \text{bad2 } s2; x \in \text{outs-}\mathcal{I} \mathcal{I} \rrbracket \implies \text{lossless-spmf } (\text{oracle2 } s2 x)$
and *lossless*: *lossless-gpv \mathcal{I} gpv*
and *WT-oracle1*: $\bigwedge s1. \mathcal{I} \vdash c \text{ oracle1 } s1 \checkmark$
and *WT-oracle2*: $\bigwedge s2. \mathcal{I} \vdash c \text{ oracle2 } s2 \checkmark$
and *WT-gpv*: $\mathcal{I} \vdash g \text{ gpv } \checkmark$
shows *rel-spmf* $(\lambda(a, s1') (b, s2'). \text{bad1 } s1' = \text{bad2 } s2' \wedge (\text{if bad2 } s2' \text{ then X-bad } s1' s2' \text{ else } a = b \wedge X s1' s2'))$ *(exec-gpv oracle1 gpv s1) (exec-gpv oracle2 gpv s2)*
using *assms(1–7) lossless-imp-plossless-gpv[OF lossless WT-gpv] assms(9–)*
by(*rule exec-gpv-oracle-bisim-bad-plossless*)

lemma *exec-gpv-oracle-bisim-bad-invariant*:

fixes *s1* :: '*s1* **and** *s2* :: '*s2* **and** *X* :: '*s1* \Rightarrow '*s2* \Rightarrow *bool* **and** *I1* :: '*s1* \Rightarrow *bool*
and *I2* :: '*s2* \Rightarrow *bool*
and *oracle1* :: '*s1* \Rightarrow '*a* \Rightarrow ('*b* \times '*s1*) *spmf*
and *oracle2* :: '*s2* \Rightarrow '*a* \Rightarrow ('*b* \times '*s2*) *spmf*
assumes *: *if bad2 s2 then X-bad s1 s2 else X s1 s2*
and *bad*: *bad1 s1 = bad2 s2*
and *bisim*: $\bigwedge s1 s2 x. \llbracket X s1 s2; x \in \text{outs-}\mathcal{I} \mathcal{I}; I1 s1; I2 s2 \rrbracket \implies \text{rel-spmf } (\lambda(a,$
s1') (b, s2'). \text{bad1 } s1' = \text{bad2 } s2' \wedge (\text{if bad2 } s2' \text{ then X-bad } s1' s2' \text{ else } a = b \wedge
X s1' s2')) *(oracle1 s1 x) (oracle2 s2 x)*
and *bad-sticky1*: $\bigwedge s2. \llbracket \text{bad2 } s2; I2 s2 \rrbracket \implies \text{callee-invariant-on } \text{oracle1 } (\lambda s1.$
bad1 s1 \wedge X-bad s1 s2) \mathcal{I}
and *bad-sticky2*: $\bigwedge s1. \llbracket \text{bad1 } s1; I1 s1 \rrbracket \implies \text{callee-invariant-on } \text{oracle2 } (\lambda s2.$
bad2 s2 \wedge X-bad s1 s2) \mathcal{I}
and *lossless1*: $\bigwedge s1 x. \llbracket \text{bad1 } s1; I1 s1; x \in \text{outs-}\mathcal{I} \mathcal{I} \rrbracket \implies \text{lossless-spmf } (\text{oracle1}$

```

s1 x)
  and lossless2:  $\bigwedge s2 x. \llbracket bad2 s2; I2 s2; x \in outs-I \mathcal{I} \rrbracket \implies lossless-spmf (oracle2 s2 x)$ 
  and lossless: lossless-gpv  $\mathcal{I}$  gpv
  and WT-gpv:  $\mathcal{I} \vdash g \text{ gpv } \checkmark$ 
  and I1: callee-invariant-on oracle1 I1  $\mathcal{I}$ 
  and I2: callee-invariant-on oracle2 I2  $\mathcal{I}$ 
  and s1: I1 s1
  and s2: I2 s2
  shows rel-spmf  $(\lambda(a, s1') (b, s2'). bad1 s1' = bad2 s2' \wedge (if\ bad2\ s2'\ then\ X\ bad\ s1'\ s2'\ else\ a = b \wedge X\ s1'\ s2')) (exec-gpv\ oracle1\ gpv\ s1) (exec-gpv\ oracle2\ gpv\ s2)$ 
  including lifting-syntax
proof -
  interpret I1: callee-invariant-on oracle1 I1  $\mathcal{I}$  by(fact I1)
  interpret I2: callee-invariant-on oracle2 I2  $\mathcal{I}$  by(fact I2)
  from s1 have nonempty1:  $\{s. I1\ s\} \neq \{\}$  by auto
  { assume  $\exists (Rep1 :: 's1' \Rightarrow 's1) Abs1. type-definition\ Rep1\ Abs1\ \{s. I1\ s\}$ 
    and  $\exists (Rep2 :: 's2' \Rightarrow 's2) Abs2. type-definition\ Rep2\ Abs2\ \{s. I2\ s\}$ 
    then obtain Rep1 ::  $'s1' \Rightarrow 's1$  and Abs1 and Rep2 ::  $'s2' \Rightarrow 's2$  and Abs2
    where td1: type-definition Rep1 Abs1  $\{s. I1\ s\}$  and td2: type-definition Rep2 Abs2  $\{s. I2\ s\}$ 
    by blast
    interpret td1: type-definition Rep1 Abs1  $\{s. I1\ s\}$  by(rule td1)
    interpret td2: type-definition Rep2 Abs2  $\{s. I2\ s\}$  by(rule td2)
    define cr1 where cr1  $\equiv \lambda x y. x = Rep1\ y$ 
    have [transfer-rule]: bi-unique cr1 right-total cr1 using td1 cr1-def by(rule typedef-bi-unique typedef-right-total)+
    have [transfer-domain-rule]: Domainp cr1 = I1 using type-definition-Domainp[OF td1 cr1-def] by simp
    define cr2 where cr2  $\equiv \lambda x y. x = Rep2\ y$ 
    have [transfer-rule]: bi-unique cr2 right-total cr2 using td2 cr2-def by(rule typedef-bi-unique typedef-right-total)+
    have [transfer-domain-rule]: Domainp cr2 = I2 using type-definition-Domainp[OF td2 cr2-def] by simp

    let ?C = eq-onp  $(\lambda out. out \in outs-I \mathcal{I})$ 

    define oracle1' where oracle1'  $\equiv (Rep1 \dashrightarrow id \dashrightarrow map-spmf (map-prod id Abs1))\ oracle1$ 
    have [transfer-rule]:  $(cr1 \implies ?C \implies rel-spmf (rel-prod (=) cr1))\ oracle1\ oracle1'$ 
    by(auto simp add: oracle1'-def rel-fun-def cr1-def spmf-rel-map prod.rel-map td1.Abs-inverse eq-onp-def intro!: rel-spmf-reflI intro: td1.Rep[simplified] dest: I1.callee-invariant)
    define oracle2' where oracle2'  $\equiv (Rep2 \dashrightarrow id \dashrightarrow map-spmf (map-prod id Abs2))\ oracle2$ 
    have [transfer-rule]:  $(cr2 \implies ?C \implies rel-spmf (rel-prod (=) cr2))\ oracle2\ oracle2'$ 
    by(auto simp add: oracle2'-def rel-fun-def cr2-def spmf-rel-map prod.rel-map)

```

td2.Abs-inverse eq-onp-def intro!: *rel-spmf-reflI intro: td2.Rep[simplified] dest: I2.callee-invariant*)

```

define s1' where s1' ≡ Abs1 s1
have [transfer-rule]: cr1 s1 s1' using s1 by(simp add: cr1-def s1'-def td1.Abs-inverse)
define s2' where s2' ≡ Abs2 s2
have [transfer-rule]: cr2 s2 s2' using s2 by(simp add: cr2-def s2'-def td2.Abs-inverse)

define bad1' where bad1' ≡ (Rep1 ----> id) bad1
have [transfer-rule]: (cr1 ===> (=)) bad1 bad1' by(simp add: rel-fun-def
bad1'-def cr1-def)
define bad2' where bad2' ≡ (Rep2 ----> id) bad2
have [transfer-rule]: (cr2 ===> (=)) bad2 bad2' by(simp add: rel-fun-def
bad2'-def cr2-def)

define X' where X' ≡ (Rep1 ----> Rep2 ----> id) X
have [transfer-rule]: (cr1 ===> cr2 ===> (=)) X X' by(simp add: rel-fun-def
X'-def cr1-def cr2-def)
define X-bad' where X-bad' ≡ (Rep1 ----> Rep2 ----> id) X-bad
have [transfer-rule]: (cr1 ===> cr2 ===> (=)) X-bad X-bad' by(simp add:
rel-fun-def X-bad'-def cr1-def cr2-def)

define gpv' where gpv' ≡ restrict-gpv I gpv
have [transfer-rule]: rel-gpv (=) ?C gpv' gpv'
by(fold eq-onp-top-eq-eq)(auto simp add: gpv.rel-eq-onp eq-onp-same-args
pred-gpv-def gpv'-def dest: in-outs'-restrict-gpvD)

have if bad2' s2' then X-bad' s1' s2' else X' s1' s2' using * by transfer
moreover have bad1' s1' ↔ bad2' s2' using bad by transfer
moreover have x: ?C x x if x ∈ outs-I I for x using that by(simp add:
eq-onp-def)
have rel-spmf (λ(a, s1') (b, s2'). (bad1' s1' ↔ bad2' s2') ∧ (if bad2' s2'
then X-bad' s1' s2' else a = b ∧ X' s1' s2')) (oracle1' s1 x) (oracle2' s2 x)
if X' s1 s2 and x ∈ outs-I I for s1 s2 x using that(1) supply that(2)[THEN
x, transfer-rule]
by(transfer)(rule bisim[OF - that(2)])
moreover have [transfer-rule]: rel-I ?C (=) I I by(rule rel-II)(auto simp
add: set-relator-eq-onp eq-onp-same-args rel-set-eq dest: eq-onp-to-eq)
have callee-invariant-on oracle1' (λs1. bad1' s1 ∧ X-bad' s1 s2) I if bad2' s2
for s2
using that unfolding callee-invariant-on-alt-def apply(transfer)
using bad-sticky1[unfolded callee-invariant-on-alt-def] by blast
moreover have callee-invariant-on oracle2' (λs2. bad2' s2 ∧ X-bad' s1 s2) I
if bad1' s1 for s1
using that unfolding callee-invariant-on-alt-def apply(transfer)
using bad-sticky2[unfolded callee-invariant-on-alt-def] by blast
moreover have lossless-spmf (oracle1' s1 x) if bad1' s1 x ∈ outs-I I for s1 x
using that supply that(2)[THEN x, transfer-rule] by transfer(rule lossless1)
moreover have lossless-spmf (oracle2' s2 x) if bad2' s2 x ∈ outs-I I for s2 x
using that supply that(2)[THEN x, transfer-rule] by transfer(rule lossless2)

```

moreover have *lossless-gpv* \mathcal{I} *gpv'* **using** *WT-gpv lossless* **by**(*simp add: gpv'-def lossless-restrict-gpvI*)
moreover have $\mathcal{I} \vdash c$ *oracle1'* $s1 \checkmark$ **for** $s1$ **using** *I1.WT-callee* **by** *transfer*
moreover have $\mathcal{I} \vdash c$ *oracle2'* $s2 \checkmark$ **for** $s2$ **using** *I2.WT-callee* **by** *transfer*
moreover have $\mathcal{I} \vdash g$ *gpv'* \checkmark **by**(*simp add: gpv'-def*)
ultimately have **: *rel-spmf* $(\lambda(a, s1') (b, s2'). bad1' s1' = bad2' s2' \wedge (if\ bad2' s2' then\ X\ bad' s1' s2' else\ a = b \wedge X' s1' s2'))$ (*exec-gpv oracle1' gpv' s1'*) (*exec-gpv oracle2' gpv' s2'*)
by(*rule exec-gpv-oracle-bisim-bad'*)
have [*transfer-rule*]: $((=) ===> ?C ===> rel-spmf (rel-prod (=) (=)))$
oracle2 oracle2
 $((=) ===> ?C ===> rel-spmf (rel-prod (=) (=)))$ *oracle1 oracle1*
by(*simp-all add: rel-fun-def eq-onp-def prod.rel-eq*)
note [*transfer-rule*] = *bi-unique-eq-onp bi-unique-eq*
from ** **have** *rel-spmf* $(\lambda(a, s1') (b, s2'). bad1 s1' = bad2 s2' \wedge (if\ bad2 s2' then\ X\ bad s1' s2' else\ a = b \wedge X s1' s2'))$ (*exec-gpv oracle1 gpv' s1*) (*exec-gpv oracle2 gpv' s2*)
by(*transfer*)
also have *exec-gpv oracle1 gpv' s1 = exec-gpv oracle1 gpv s1*
unfolding *gpv'-def* **using** *WT-gpv s1* **by**(*rule I1.exec-gpv-restrict-gpv-invariant*)
also have *exec-gpv oracle2 gpv' s2 = exec-gpv oracle2 gpv s2*
unfolding *gpv'-def* **using** *WT-gpv s2* **by**(*rule I2.exec-gpv-restrict-gpv-invariant*)
finally have *?thesis . }*
from *this[cancel-type-definition, OF nonempty1, cancel-type-definition]* $s2$ **show**
?thesis **by** *blast*
qed

lemma *exec-gpv-oracle-bisim-bad*:

assumes *: *if bad2 s2 then X-bad s1 s2 else X s1 s2*
and *bad*: *bad1 s1 = bad2 s2*
and *bisim*: $\bigwedge s1 s2 x. X s1 s2 \implies rel-spmf (\lambda(a, s1') (b, s2'). bad1 s1' = bad2 s2' \wedge (if\ bad2 s2' then\ X\ bad s1' s2' else\ a = b \wedge X s1' s2'))$ (*oracle1 s1 x*) (*oracle2 s2 x*)
and *bad-sticky1*: $\bigwedge s2. bad2 s2 \implies callee-invariant-on\ oracle1 (\lambda s1. bad1 s1 \wedge X\ bad s1 s2)$ \mathcal{I}
and *bad-sticky2*: $\bigwedge s1. bad1 s1 \implies callee-invariant-on\ oracle2 (\lambda s2. bad2 s2 \wedge X\ bad s1 s2)$ \mathcal{I}
and *lossless1*: $\bigwedge s1 x. bad1 s1 \implies lossless-spmf (oracle1 s1 x)$
and *lossless2*: $\bigwedge s2 x. bad2 s2 \implies lossless-spmf (oracle2 s2 x)$
and *lossless*: *lossless-gpv* \mathcal{I} *gpv*
and *WT-oracle1*: $\bigwedge s1. \mathcal{I} \vdash c$ *oracle1 s1* \checkmark
and *WT-oracle2*: $\bigwedge s2. \mathcal{I} \vdash c$ *oracle2 s2* \checkmark
and *WT-gpv*: $\mathcal{I} \vdash g$ *gpv* \checkmark
and *R*: $\bigwedge a s1 b s2. \llbracket bad1 s1 = bad2 s2; \neg bad2 s2 \implies a = b \wedge X s1 s2; bad2 s2 \implies X\ bad s1 s2 \rrbracket \implies R (a, s1) (b, s2)$
shows *rel-spmf R (exec-gpv oracle1 gpv s1) (exec-gpv oracle2 gpv s2)*
using *exec-gpv-oracle-bisim-bad'[OF * bad bisim bad-sticky1 bad-sticky2 lossless1 lossless2 lossless WT-oracle1 WT-oracle2 WT-gpv]*
by(*rule rel-spmf-mono*)(*auto intro: R*)

lemma *exec-gpv-oracle-bisim-bad-full*:

assumes $X\ s1\ s2$
and $bad1\ s1 = bad2\ s2$
and $\bigwedge s1\ s2\ x. X\ s1\ s2 \implies rel\text{-}spmf\ (\lambda(a, s1')\ (b, s2')).\ bad1\ s1' = bad2\ s2' \wedge$
 $(\neg\ bad2\ s2' \longrightarrow a = b \wedge X\ s1'\ s2')$ $(oracle1\ s1\ x)\ (oracle2\ s2\ x)$
and *callee-invariant* $oracle1\ bad1$
and *callee-invariant* $oracle2\ bad2$
and $\bigwedge s1\ x.\ bad1\ s1 \implies lossless\text{-}spmf\ (oracle1\ s1\ x)$
and $\bigwedge s2\ x.\ bad2\ s2 \implies lossless\text{-}spmf\ (oracle2\ s2\ x)$
and *lossless-gpv* $\mathcal{I}\text{-full}\ gpv$
and $R: \bigwedge a\ s1\ b\ s2.\ \llbracket\ bad1\ s1 = bad2\ s2; \neg\ bad2\ s2 \implies a = b \wedge X\ s1\ s2 \rrbracket \implies$
 $R\ (a, s1)\ (b, s2)$
shows $rel\text{-}spmf\ R\ (exec\text{-}gpv\ oracle1\ gpv\ s1)\ (exec\text{-}gpv\ oracle2\ gpv\ s2)$
using *assms*
by(*intro exec-gpv-oracle-bisim-bad*[*of bad2 s2* $\lambda\ -.\ True\ s1\ X\ bad1\ oracle1\ oracle2$
 $\mathcal{I}\text{-full}\ gpv\ R$])(*auto intro: rel-spmf-mono*)

lemma *max-enn2ereal*: $max\ (enn2ereal\ x)\ (enn2ereal\ y) = enn2ereal\ (max\ x\ y)$
including *ennreal.lifting unfolding max-def by transfer simp*

lemma *identical-until-bad*:

assumes *bad-eq*: $map\text{-}spmf\ bad\ p = map\text{-}spmf\ bad\ q$
and *not-bad*: $measure\ (measure\text{-}spmf\ (map\text{-}spmf\ (\lambda x.\ (f\ x, bad\ x))\ p))\ (A \times$
 $\{False\}) = measure\ (measure\text{-}spmf\ (map\text{-}spmf\ (\lambda x.\ (f\ x, bad\ x))\ q))\ (A \times \{False\})$
shows $|measure\ (measure\text{-}spmf\ (map\text{-}spmf\ f\ p))\ A - measure\ (measure\text{-}spmf$
 $(map\text{-}spmf\ f\ q))\ A| \leq\ spmf\ (map\text{-}spmf\ bad\ p)\ True$
proof –
have $|enn2ereal\ (measure\ (measure\text{-}spmf\ (map\text{-}spmf\ f\ p))\ A) - enn2ereal\ (measure$
 $(measure\text{-}spmf\ (map\text{-}spmf\ f\ q))\ A)| =$
 $|enn2ereal\ (\int^+\ x.\ indicator\ A\ (f\ x)\ \partial measure\text{-}spmf\ p) - enn2ereal\ (\int^+\ x.$
 $indicator\ A\ (f\ x)\ \partial measure\text{-}spmf\ q)|$
unfolding *measure-spmf.emasure-eq-measure*[*symmetric*]
by(*simp add: nn-integral-indicator*[*symmetric*] *indicator-vimage*[*abs-def*] *o-def*)
also have $\dots =$
 $|enn2ereal\ (\int^+\ x.\ indicator\ (A \times \{False\})\ (f\ x, bad\ x) + indicator\ (A \times$
 $\{True\})\ (f\ x, bad\ x)\ \partial measure\text{-}spmf\ p) -$
 $enn2ereal\ (\int^+\ x.\ indicator\ (A \times \{False\})\ (f\ x, bad\ x) + indicator\ (A \times$
 $\{True\})\ (f\ x, bad\ x)\ \partial measure\text{-}spmf\ q)|$
by(*intro arg-cong*[*where f=abs*] *arg-cong2*[*where f=(-)*] *arg-cong*[*where*
 $f=enn2ereal$] *nn-integral-cong*)(*simp-all split: split-indicator*)
also have $\dots =$
 $|enn2ereal\ (emeasure\ (measure\text{-}spmf\ (map\text{-}spmf\ (\lambda x.\ (f\ x, bad\ x))\ p))\ (A \times$
 $\{False\}) + (\int^+\ x.\ indicator\ (A \times \{True\})\ (f\ x, bad\ x)\ \partial measure\text{-}spmf\ p) -$
 $enn2ereal\ (emeasure\ (measure\text{-}spmf\ (map\text{-}spmf\ (\lambda x.\ (f\ x, bad\ x))\ q))\ (A \times$
 $\{False\}) + (\int^+\ x.\ indicator\ (A \times \{True\})\ (f\ x, bad\ x)\ \partial measure\text{-}spmf\ q))|$
by(*subst* (1 2) *nn-integral-add*)(*simp-all add: indicator-vimage*[*abs-def*] *o-def*
nn-integral-indicator[*symmetric*])
also have $\dots = |enn2ereal\ (\int^+\ x.\ indicator\ (A \times \{True\})\ (f\ x, bad\ x)\ \partial measure\text{-}spmf$

$p) - \text{enn2ereal } (\int^+ x. \text{indicator } (A \times \{\text{True}\}) (f x, \text{bad } x) \partial \text{measure-spmf } q) |$
 $(\text{is } - = |\text{?}x - \text{?}y|)$
by (*simp add: measure-spmf.emmeasure-eq-measure not-bad plus-ennreal.rep-eq*
ereal-diff-add-eq-diff-diff-swap ereal-diff-add-assoc2 ereal-add-uminus-conv-diff)
also have $\dots \leq \max \text{?}x \text{?}y$
proof (*rule ereal-abs-leI*)
have $\text{?}x - \text{?}y \leq \text{?}x - 0$ **by** (*rule ereal-minus-mono*)(*simp-all*)
also have $\dots \leq \max \text{?}x \text{?}y$ **by** *simp*
finally show $\text{?}x - \text{?}y \leq \dots$.

have $-(\text{?}x - \text{?}y) = \text{?}y - \text{?}x$
by (*rule ereal-minus-diff-eq*)(*simp-all add: measure-spmf.nn-integral-indicator-neq-top*)
also have $\dots \leq \text{?}y - 0$ **by** (*rule ereal-minus-mono*)(*simp-all*)
also have $\dots \leq \max \text{?}x \text{?}y$ **by** *simp*
finally show $-(\text{?}x - \text{?}y) \leq \dots$.
qed
also have $\dots \leq \text{enn2ereal } (\max (\int^+ x. \text{indicator } \{\text{True}\} (\text{bad } x) \partial \text{measure-spmf } p)$
 $(\int^+ x. \text{indicator } \{\text{True}\} (\text{bad } x) \partial \text{measure-spmf } q))$
unfolding *max-enn2ereal less-eq-ennreal.rep-eq[symmetric]*
by (*intro max.mono nn-integral-mono*)(*simp-all split: split-indicator*)
also have $\dots = \text{enn2ereal } (\text{spmfs } (\text{map-spmf } \text{bad } p) \text{True})$
using *arg-cong2[where f=spmfs, OF bad-eq refl, of True, THEN arg-cong[where*
f=ennreal]]
unfolding *ennreal-spmfs-map-conv-nn-integral indicator-vimage[abs-def]* **by** *simp*
finally show *?thesis* **by** *simp*
qed

lemma (*in callee-invariant-on*) *exec-gpv-bind-materialize*:

fixes $f :: 's \Rightarrow 'r \text{ spmf}$
and $g :: 'x \times 's \Rightarrow 'r \Rightarrow 'y \text{ spmf}$
and $s :: 's$
defines $\text{exec-gpv2} \equiv \text{exec-gpv}$
assumes *cond*: $\bigwedge s x y s'. \llbracket (y, s') \in \text{set-spmf } (\text{callee } s x); I s \rrbracket \implies f s = f s'$
and \mathcal{I} : $\mathcal{I} = \mathcal{I}\text{-full}$
shows $\text{bind-spmf } (\text{exec-gpv } \text{callee } \text{gpv } s) (\lambda as. \text{bind-spmf } (f (\text{snd } as)) (g as)) =$
 $\text{exec-gpv2 } (\lambda(r, s) x. \text{bind-spmf } (\text{callee } s x) (\lambda(y, s'). \text{if } I s' \wedge r = \text{None} \text{ then}$
 $\text{map-spmf } (\lambda r. (y, (\text{Some } r, s')))) (f s') \text{ else return-spmf } (y, (r, s')))) \text{gpv } (\text{None},$
 $s)$
 $\ggg (\lambda(a, r, s). \text{case } r \text{ of } \text{None} \Rightarrow \text{bind-spmf } (f s) (g (a, s)) \mid \text{Some } r' \Rightarrow g (a,$
 $s) r')$
(is *?lhs = ?rhs* **is** $- = \text{bind-spmf } (\text{exec-gpv2 } \text{?callee2 } - -) -$)

proof –

define $\text{exec-gpv1} :: ('a, 'b, 's \text{ option} \times 's) \text{ callee} \Rightarrow ('x, 'a, 'b) \text{ gpv} \Rightarrow -$
where [*simp*]: $\text{exec-gpv1} = \text{exec-gpv}$
let $\text{?}X = \lambda s (ss, s'). s = s'$
let $\text{?callee} = \lambda(ss, s) x. \text{map-spmf } (\lambda(y, s'). (y, \text{if } I s' \wedge ss = \text{None} \text{ then } \text{Some}$
 $s' \text{ else } ss, s')) (\text{callee } s x)$
let $\text{?track} = \text{exec-gpv1 } \text{?callee } \text{gpv } (\text{None}, s)$
have $\text{rel-spmf } (\text{rel-prod } (=) \text{?}X) (\text{exec-gpv } \text{callee } \text{gpv } s) \text{?track}$ **unfolding** *exec-gpv1-def*

by(rule *exec-gpv-oracle-bisim*[**where** $X=?X$])(*auto simp add: spmf-rel-map intro!: rel-spmf-reflI*)
hence *exec-gpv callee gpv s = map-spmf* ($\lambda(a, ss, s). (a, s)$) *?track*
by(*auto simp add: spmf-rel-eq[symmetric] spmf-rel-map elim: rel-spmf-mono*)
hence $?lhs = \text{bind-spmf } ?track (\lambda(a, s'', s'). \text{bind-spmf } (f s') (g (a, s')))$
by(*simp add: bind-map-spmf o-def split-def*)
also let $?inv = \lambda(ss, s). \text{case } ss \text{ of } None \Rightarrow True \mid Some s' \Rightarrow f s = f s' \wedge I s' \wedge I s$
interpret *inv: callee-invariant-on ?callee ?inv I*
by *unfold-locales(auto 4 4 split: option.split if-split-asm dest: cond callee-invariant simp add: I)*
have $\text{bind-spmf } ?track (\lambda(a, s'', s'). \text{bind-spmf } (f s') (g (a, s'))) = \text{bind-spmf } ?track (\lambda(a, ss', s'). \text{bind-spmf } (f (\text{case } ss' \text{ of } None \Rightarrow s' \mid Some s'' \Rightarrow s'')) (g (a, s')))$
(is - = ?rhs')
by(rule *bind-spmf-cong[OF refl]*)(*auto dest!: inv.exec-gpv-invariant split: option.split-asm simp add: I*)
also
have *track-Some: exec-gpv ?callee gpv (Some ss, s) = map-spmf* ($\lambda(a, s). (a, \text{Some } ss, s)$) (*exec-gpv callee gpv s*)
for $s \text{ ss} :: 's$ **and** $gpv :: ('x, 'a, 'b) \text{ gpv}$
proof –
let $?X = \lambda(ss', s') s. s = s' \wedge ss' = \text{Some } ss$
have *rel-spmf (rel-prod (=) ?X) (exec-gpv ?callee gpv (Some ss, s)) (exec-gpv callee gpv s)*
by(rule *exec-gpv-oracle-bisim*[**where** $X=?X$])(*auto simp add: spmf-rel-map intro!: rel-spmf-reflI*)
thus $?thesis$ **by**(*auto simp add: spmf-rel-eq[symmetric] spmf-rel-map elim: rel-spmf-mono*)
qed
have *sample-Some: exec-gpv ?callee2 gpv (Some r, s) = map-spmf* ($\lambda(a, s). (a, \text{Some } r, s)$) (*exec-gpv callee gpv s*)
for $s :: 's$ **and** $r :: 'r$ **and** $gpv :: ('x, 'a, 'b) \text{ gpv}$
proof –
let $?X = \lambda(r', s') s. s' = s \wedge r' = \text{Some } r$
have *rel-spmf (rel-prod (=) ?X) (exec-gpv ?callee2 gpv (Some r, s)) (exec-gpv callee gpv s)*
by(rule *exec-gpv-oracle-bisim*[**where** $X=?X$])(*auto simp add: spmf-rel-map map-spmf-conv-bind-spmf[symmetric] split-def intro!: rel-spmf-reflI*)
then show $?thesis$ **by**(*auto simp add: spmf-rel-eq[symmetric] spmf-rel-map elim: rel-spmf-mono*)
qed
have $?rhs' = ?rhs$
— Actually, parallel fixpoint induction should be used here, but then we cannot use the facts *track-Some* and *sample-Some* because fixpoint induction replaces *exec-gpv* with approximations. So we do two separate fixpoint inductions instead and jump from the approximation to the fixpoint when the state has been found.
proof(rule *spmfl.eq-antisym*)
show *ord-spmf (=) ?rhs' ?rhs unfolding exec-gpv1-def*

```

proof(induction arbitrary: gpv s rule: exec-gpv-fixp-induct-strong)
  case adm show ?case by simp
  case bottom show ?case by simp
  case (step exec-gpv')
  show ?case unfolding exec-gpv2-def
    apply(rewrite in ord-spmf - -  $\sqsupset$  exec-gpv.simps)
  apply(clarsimp split: generat.split simp add: bind-map-spmf intro!: ord-spmf-bind-reflI
split del: if-split)
  subgoal for out rpv ret s'
    apply(cases I s')
    subgoal
      apply simp
      apply(rule spmf.leq-trans)
      apply(rule ord-spmf-bindI[OF step.hyps])
      apply hypsubst
      apply(rule spmf.leq-refl)
      apply(simp add: track-Some sample-Some bind-map-spmf o-def)
      apply(subst bind-commute-spmf)
      apply(simp add: split-def)
      done
    subgoal
      apply simp
      apply(rule step.IH[THEN spmf.leq-trans])
      apply(simp add: split-def exec-gpv2-def)
      done
    done
  done
qed
show ord-spmf (=) ?rhs ?rhs' unfolding exec-gpv2-def
proof(induction arbitrary: gpv s rule: exec-gpv-fixp-induct-strong)
  case adm show ?case by simp
  case bottom show ?case by simp
  case (step exec-gpv')
  show ?case unfolding exec-gpv1-def
    apply(rewrite in ord-spmf - -  $\sqsupset$  exec-gpv.simps)
  apply(clarsimp split: generat.split simp add: bind-map-spmf intro!: ord-spmf-bind-reflI
split del: if-split)
  subgoal for out rpv ret s'
    apply(cases I s')
    subgoal
      apply(simp add: bind-map-spmf o-def)
      apply(rule spmf.leq-trans)
      apply(rule ord-spmf-bind-reflI)
      apply(rule ord-spmf-bindI)
      apply(rule step.hyps)
      apply hypsubst
      apply(rule spmf.leq-refl)
      apply(simp add: track-Some sample-Some bind-map-spmf o-def)
      apply(subst bind-commute-spmf)

```

```

      apply(simp add: split-def)
    done
  subgoal
    apply simp
    apply(rule step.IH[THEN spmf.leq-trans])
    apply(simp add: split-def exec-gpv2-def)
    done
  done
done
qed
qed
finally show ?thesis .
qed

primcorec gpv-stop :: ('a, 'c, 'r) gpv  $\Rightarrow$  ('a option, 'c, 'r option) gpv
where
  the-gpv (gpv-stop gpv) =
    map-spmf (map-generat Some id ( $\lambda$ rpv input. case input of None  $\Rightarrow$  Done None
| Some input'  $\Rightarrow$  gpv-stop (rpv input')))
    (the-gpv gpv)

lemma gpv-stop-Done [simp]: gpv-stop (Done x) = Done (Some x)
by(rule gpv.expand) simp

lemma gpv-stop-Fail [simp]: gpv-stop Fail = Fail
by(rule gpv.expand) simp

lemma gpv-stop-Pause [simp]: gpv-stop (Pause out rpv) = Pause out ( $\lambda$ input. case
input of None  $\Rightarrow$  Done None | Some input'  $\Rightarrow$  gpv-stop (rpv input'))
by(rule gpv.expand) simp

lemma gpv-stop-lift-spmf [simp]: gpv-stop (lift-spmf p) = lift-spmf (map-spmf
Some p)
by(rule gpv.expand)(simp add: spmf.map-comp o-def)

lemma gpv-stop-bind [simp]:
  gpv-stop (bind-gpv gpv f) = bind-gpv (gpv-stop gpv) ( $\lambda$ x. case x of None  $\Rightarrow$  Done
None | Some x'  $\Rightarrow$  gpv-stop (f x'))
apply(coinduction arbitrary: gpv rule: gpv.coinduct-strong)
apply(auto 4 3 simp add: spmf-rel-map map-spmf-bind-spmf o-def bind-map-spmf
bind-gpv.sel generat.rel-map simp del: bind-gpv-sel' intro!: rel-spmf-bind-reflI generat.rel-refl-strong rel-spmf-reflI rel-funI split!: generat.split option.split)
done

context includes lifting-syntax begin

lemma gpv-stop-parametric':
  notes [transfer-rule] = the-gpv-parametric' the-gpv-parametric' Done-parametric'
corec-gpv-parametric'

```

shows $(rel\text{-}gpv'' A C R \implies rel\text{-}gpv'' (rel\text{-}option A) C (rel\text{-}option R))$ *gpv-stop*
gpv-stop

unfolding *gpv-stop-def* **by** *transfer-prover*

lemma *gpv-stop-parametric* [*transfer-rule*]:

shows $(rel\text{-}gpv A C \implies rel\text{-}gpv (rel\text{-}option A) C)$ *gpv-stop* *gpv-stop*

unfolding *gpv-stop-def* **by** *transfer-prover*

lemma *gpv-stop-transfer*:

$(rel\text{-}gpv'' A B C \implies rel\text{-}gpv'' (pcr\text{-}Some A) B (pcr\text{-}Some C))$ $(\lambda x. x)$ *gpv-stop*

apply(*rule rel-funI*)

subgoal for *gpv* *gpv'*

apply(*coinduction arbitrary: gpv gpv'*)

apply(*drule rel-gpv''D*)

apply(*auto simp add: spmf-rel-map generat.rel-map rel-fun-def elim!: pcr-SomeE generat.rel-mono-strong rel-spmf-mono*)

done

done

end

lemma *gpv-stop-map'* [*simp*]:

$gpv\text{-}stop (map\text{-}gpv' f g h gpv) = map\text{-}gpv' (map\text{-}option f) g (map\text{-}option h)$
(gpv-stop gpv)

apply(*coinduction arbitrary: gpv rule: gpv.coinduct-strong*)

apply(*auto 4 3 simp add: spmf-rel-map generat.rel-map intro!: rel-spmf-reflI generat.rel-refl-strong split!: option.split*)

done

lemma *interaction-bound-gpv-stop* [*simp*]:

$interaction\text{-}bound\ consider (gpv\text{-}stop\ gpv) = interaction\text{-}bound\ consider\ gpv$

proof(*induction arbitrary: gpv rule: parallel-fix-induct-strong-1-1[OF complete-lattice-partial-function-definitions complete-lattice-partial-function-definitions interaction-bound.mono interaction-bound.mono interaction-bound-def interaction-bound-def, case-names adm bottom step]*)

case adm show *?case* **by** *simp*

case bottom show *?case* **by** *simp*

next

case (*step interaction-bound' interaction-bound''*)

have $(SUP x. interaction\text{-}bound' (case\ x\ of\ None \Rightarrow Done\ None \mid Some\ input \Rightarrow gpv\text{-}stop (c\ input))) =$

$(SUP\ input. interaction\text{-}bound'' (c\ input))$ (**is** *?lhs = ?rhs* **is** $(SUP\ x. ?f\ x) = -$)

if *IO out c ∈ set-spmf (the-gpv gpv)* **for** *out c*

proof –

have *?lhs = sup (interaction-bound' (Done None)) (SUP x:range Some. ?f x)*

by(*simp add: UNIV-option-conv*)

also have $interaction\text{-}bound' (Done\ None) = 0$ **using** *step.hyps(1)[of Done None]* **by** *simp*

also have $(SUP\ x:range\ Some. ?f\ x) = ?rhs$ **by**(*simp add: step.IH*)

finally show *?thesis* **by**(*simp add: bot-enat-def[symmetric]*)
qed
then show *?case*
by(*auto simp add: case-map-generat o-def cong del: generat.case-cong-weak if-weak-cong intro!: SUP-cong split: generat.split*)
qed

abbreviation *exec-gpv-stop* :: (*'s* \Rightarrow *'c* \Rightarrow (*'r option* \times *'s*) *spmf*) \Rightarrow (*'a*, *'c*, *'r*)
gpv \Rightarrow *'s* \Rightarrow (*'a option* \times *'s*) *spmf*
where *exec-gpv-stop callee gpv* \equiv *exec-gpv callee (gpv-stop gpv)*

abbreviation *inline-stop* :: (*'s* \Rightarrow *'c* \Rightarrow (*'r option* \times *'s*, *'c'*, *'r'*) *gpv*) \Rightarrow (*'a*, *'c*,
'r) *gpv* \Rightarrow *'s* \Rightarrow (*'a option* \times *'s*, *'c'*, *'r'*) *gpv*
where *inline-stop callee gpv* \equiv *inline callee (gpv-stop gpv)*

context

fixes *joint-oracle* :: *'s1* \Rightarrow *'s2* \Rightarrow *'c* \Rightarrow ((*'r option* \times *'s1*) *option* \times (*'r option* \times
's2) *option*) *pmf*
and *callee1* :: *'s1* \Rightarrow *'c* \Rightarrow (*'r option* \times *'s1*) *spmf*
notes [[*function-internals*]]
begin

partial-function (*spmf*) *exec-until-stop* :: (*'a option*, *'c*, *'r*) *gpv* \Rightarrow *'s1* \Rightarrow *'s2* \Rightarrow
bool \Rightarrow (*'a option* \times *'s1* \times *'s2*) *spmf*
where

exec-until-stop gpv s1 s2 b =
(if b then
bind-spmf (the-gpv gpv) (λ generat. case generat of
*Pure *x* \Rightarrow return-spmf (*x*, *s1*, *s2*)*
*| IO out *rpv* \Rightarrow bind-pmf (*joint-oracle s1 s2 out*) (λ (*a*, *b*).
*case *a* of None \Rightarrow return-pmf None*
*| Some (*r1*, *s1'*) \Rightarrow (case *b* of None \Rightarrow *undefined* | Some (*r2*, *s2'*) \Rightarrow*
*(case (*r1*, *r2*) of (None, None) \Rightarrow *exec-until-stop (Done None) s1' s2'**
True
*| (Some *r1'*, Some *r2'*) \Rightarrow *exec-until-stop (rpv r1') s1' s2' True*
*| (None, Some *r2'*) \Rightarrow *exec-until-stop (Done None) s1' s2' True*
*| (Some *r1'*, None) \Rightarrow *exec-until-stop (rpv r1') s1' s2' False*)))
else
bind-spmf (the-gpv gpv) (λ generat. case generat of
*Pure *x* \Rightarrow return-spmf (None, *s1*, *s2*)*
*| IO out *rpv* \Rightarrow bind-spmf (*callee1 s1 out*) (λ (*r1*, *s1'*).
*case *r1* of None \Rightarrow *exec-until-stop (Done None) s1' s2 False*
*| Some *r1'* \Rightarrow *exec-until-stop (rpv r1') s1' s2 False*)))*******

end

lemma *ord-spmf-exec-gpv-stop*:

fixes *callee1* :: (*'c*, *'r option*, *'s*) *callee*
and *callee2* :: (*'c*, *'r option*, *'s*) *callee*

```

and  $S :: 's \Rightarrow 's \Rightarrow \text{bool}$ 
and  $gpv :: ('a, 'c, 'r) \text{ gpv}$ 
assumes bisim:
   $\bigwedge s1\ s2\ x. \llbracket S\ s1\ s2; \neg\ \text{stop}\ s2 \rrbracket \Longrightarrow$ 
   $\text{ord-spmf}\ (\lambda(r1, s1')\ (r2, s2'). \text{le-option}\ r2\ r1 \wedge S\ s1'\ s2' \wedge (r2 = \text{None} \wedge r1$ 
 $\neq \text{None} \longleftrightarrow \text{stop}\ s2'))$ 
   $(\text{callee1}\ s1\ x)\ (\text{callee2}\ s2\ x)$ 
and init:  $S\ s1\ s2$ 
and go:  $\neg\ \text{stop}\ s2$ 
and sticking:  $\bigwedge s1\ s2\ x\ y\ s1'. \llbracket (y, s1') \in \text{set-spmf}\ (\text{callee1}\ s1\ x); S\ s1\ s2; \text{stop}$ 
 $s2 \rrbracket \Longrightarrow S\ s1'\ s2$ 
shows  $\text{ord-spmf}\ (\text{rel-prod}\ (\text{ord-option}\ \top)^{-1-1}\ S)\ (\text{exec-gpv-stop}\ \text{callee1}\ \text{gpv}\ s1)$ 
 $(\text{exec-gpv-stop}\ \text{callee2}\ \text{gpv}\ s2)$ 
proof -
  let  $?R = \lambda(r1, s1')\ (r2, s2'). \text{le-option}\ r2\ r1 \wedge S\ s1'\ s2' \wedge (r2 = \text{None} \wedge r1 \neq$ 
 $\text{None} \longleftrightarrow \text{stop}\ s2')$ 
  obtain joint ::  $'s \Rightarrow 's \Rightarrow 'c \Rightarrow ((r\ \text{option} \times 's)\ \text{option} \times (r\ \text{option} \times 's)$ 
 $\text{option})\ \text{pmf}$ 
  where j1:  $\text{map-pmf}\ \text{fst}\ (\text{joint}\ s1\ s2\ x) = \text{callee1}\ s1\ x$ 
  and j2:  $\text{map-pmf}\ \text{snd}\ (\text{joint}\ s1\ s2\ x) = \text{callee2}\ s2\ x$ 
  and rel [rule-format, rotated -1]:  $\forall (a, b) \in \text{set-pmf}\ (\text{joint}\ s1\ s2\ x). \text{ord-option}$ 
 $?R\ a\ b$ 
  if  $S\ s1\ s2\ \neg\ \text{stop}\ s2$  for  $x\ s1\ s2$  using bisim
  apply atomize-elim
  apply  $(\text{subst}\ (\text{asm})\ \text{rel-pmf.simps})$ 
  apply  $(\text{unfold}\ \text{rel-spmf-simps}\ \text{all-conj-distrib}[\text{symmetric}]\ \text{all-simps}(6)\ \text{imp-conjR}[\text{symmetric}])$ 
  apply  $(\text{subst}\ \text{all-comm})$ 
  apply  $(\text{subst}\ 2)\ \text{all-comm})$ 
  apply  $(\text{subst}\ \text{choice-iff}[\text{symmetric}]\ \text{ex-simps}(6))+$ 
  apply fastforce
  done
  note [simp del] = top-apply converse-iff id-apply
  have  $\neg\ \text{stop}\ s2 \Longrightarrow \text{rel-spmf}\ (\text{rel-prod}\ (\text{ord-option}\ \top)^{-1-1}\ S)\ (\text{exec-gpv-stop}$ 
 $\text{callee1}\ \text{gpv}\ s1)\ (\text{map-spmf}\ (\lambda(x, s1, s2). (x, s2))\ (\text{exec-until-stop}\ \text{joint}\ \text{callee1}$ 
 $(\text{map-gpv}\ \text{Some}\ \text{id}\ \text{gpv})\ s1\ s2\ \text{True}))$ 
  and  $\text{rel-spmf}\ (\text{rel-prod}\ (\text{ord-option}\ \top)^{-1-1}\ S)\ (\text{exec-gpv}\ \text{callee1}\ (\text{Done}\ \text{None} ::$ 
 $('a\ \text{option}, 'c, 'r\ \text{option})\ \text{gpv})\ s1)\ (\text{map-spmf}\ (\lambda(x, s1, s2). (x, s2))\ (\text{exec-until-stop}$ 
 $\text{joint}\ \text{callee1}\ (\text{Done}\ \text{None} :: ('a\ \text{option}, 'c, 'r)\ \text{gpv})\ s1\ s2\ b))$ 
  and  $\text{stop}\ s2 \Longrightarrow \text{rel-spmf}\ (\text{rel-prod}\ (\text{ord-option}\ \top)^{-1-1}\ S)\ (\text{exec-gpv-stop}\ \text{callee1}$ 
 $\text{gpv}\ s1)\ (\text{map-spmf}\ (\lambda(x, s1, y). (x, y))\ (\text{exec-until-stop}\ \text{joint}\ \text{callee1}\ (\text{map-gpv}$ 
 $\text{Some}\ \text{id}\ \text{gpv})\ s1\ s2\ \text{False}))$ 
  for  $b$  using init
  proof (induction arbitrary: gpv s1 s2 b rule: parallel-fixp-induct-2-4[OF partial-function-definitions-spmf
partial-function-definitions-spmf exec-gpv.mono exec-until-stop.mono exec-gpv-def
exec-until-stop-def, unfolded lub-spmf-empty, case-names adm bottom step)
  case adm show ?case by simp
  { case bottom case 1 show ?case by simp }
  { case bottom case 2 show ?case by simp }
  { case bottom case 3 show ?case by simp }

```

```

next
  case (step exec-gpv' exec-until-stop') case step: 1
  show ?case using step.premis
    apply(rewrite gpv-stop.sel)
    apply(simp add: map-spmf-bind-spmf bind-map-spmf gpv.map-sel)
    apply(rule rel-spmf-bind-refl)
    apply(clarsimp split!: generat.split)
    apply(rewrite j1[symmetric], assumption+)
    apply(rewrite bind-spmf-def)
    apply(auto 4 3 split!: option.split dest: rel intro: step.IH intro!: rel-pmf-bind-refl)
simp add: map-bind-pmf bind-map-pmf)
  done
next
  case step case 2
  then show ?case by(simp add: conversep-iff)
next
  case (step exec-gpv' exec-until-stop') case step: 3
  show ?case using step.premis
    apply(simp add: map-spmf-bind-spmf bind-map-spmf gpv.map-sel)
    apply(rule rel-spmf-bind-refl)
    apply(clarsimp simp add: map-spmf-bind-spmf split!: generat.split)
    apply(rule rel-spmf-bind-refl)
    apply clarsimp
    apply(drule (2) sticking)
    apply(auto split!: option.split intro: step.IH)
  done
qed
note this(1)[OF go]
also
  have  $\neg$  stop s2  $\implies$  ord-spmf (=) (map-spmf ( $\lambda(x, s1, s2). (x, s2)$ )) (exec-until-stop
  joint callee1 (map-gpv Some id gpv) s1 s2 True)) (exec-gpv-stop callee2 gpv s2)
    and ord-spmf (=) (map-spmf ( $\lambda(x, s1, y). (x, y)$ )) (exec-until-stop joint callee1
  (Done None :: ('a option, 'c, 'r) gpv) s1 s2 b)) (return-spmf (None, s2))
    and stop s2  $\implies$  ord-spmf (=) (map-spmf ( $\lambda(x, s1, s2). (x, s2)$ )) (exec-until-stop
  joint callee1 (map-gpv Some id gpv) s1 s2 False)) (return-spmf (None, s2))
  for b using init
  proof(induction arbitrary: gpv s1 s2 b rule: exec-until-stop.fixp-induct[case-names
  adm bottom step])
    case adm show ?case by simp
    { case bottom case 1 show ?case by simp }
    { case bottom case 2 show ?case by simp }
    { case bottom case 3 show ?case by simp }
  next
  case (step exec-until-stop') case step: 1
  show ?case using step.premis
    using [[show-variants]]
    apply(rewrite exec-gpv.simps)
    apply(simp add: map-spmf-bind-spmf bind-map-spmf gpv.map-sel)
    apply(rule ord-spmf-bind-refl)

```

```

    apply(clarsimp split!: generat.split simp add: map-bind-pmf bind-spmf-def)
    apply(rewrite j2[symmetric], assumption+)
    apply(auto 4 3 split!: option.split dest: rel intro: step.IH intro!: rel-pmf-bind-reflI
simp add: bind-map-pmf)
  done
next
  case step case 2 thus ?case by simp
next
  case (step exec-until-stop') case 3
  thus ?case
    apply(simp add: map-spmf-bind-spmf o-def)
    apply(rule ord-spmf-bind-spmfI1)
    apply(clarsimp split!: generat.split simp add: map-spmf-bind-spmf o-def
gpv.map-sel)
    apply(rule ord-spmf-bind-spmfI1)
    apply clarsimp
    apply(drule (2) sticking)
    apply(clarsimp split!: option.split simp add: step.IH)
  done
qed
note this(1)[OF go]
finally show ?thesis by(rule rel-pmf-mono)(auto elim!: option.rel-cases)
qed

end
theory GPV-Applicative imports
  Generative-Probabilistic-Value
  SPMF-Applicative
begin

4.21 Applicative instance for  $(-, 'out, 'in)$   $gpv$ 

definition  $ap\text{-}gpv :: ('a \Rightarrow 'b, 'out, 'in) gpv \Rightarrow ('a, 'out, 'in) gpv \Rightarrow ('b, 'out, 'in)$ 
 $gpv$ 
where  $ap\text{-}gpv f x = bind\text{-}gpv f (\lambda f'. bind\text{-}gpv x (\lambda x'. Done (f' x')))$ 

adhoc-overloading  $Applicative.ap\ ap\text{-}gpv$ 

abbreviation  $(input) pure\text{-}gpv :: 'a \Rightarrow ('a, 'out, 'in) gpv$ 
where  $pure\text{-}gpv \equiv Done$ 

context includes applicative-syntax begin

lemma  $ap\text{-}gpv\text{-}id: pure\text{-}gpv (\lambda x. x) \diamond x = x$ 
by  $(simp\ add: ap\text{-}gpv\text{-}def)$ 

lemma  $ap\text{-}gpv\text{-}comp: pure\text{-}gpv (\circ) \diamond u \diamond v \diamond w = u \diamond (v \diamond w)$ 
by  $(simp\ add: ap\text{-}gpv\text{-}def\ bind\text{-}gpv\text{-}assoc)$ 

```


lemma *ap-gpv-homo*: $\text{pure-gpv } f \diamond \text{pure-gpv } x = \text{pure-gpv } (f x)$
by(*simp add: ap-gpv-def*)

lemma *ap-gpv-interchange*: $u \diamond \text{pure-gpv } x = \text{pure-gpv } (\lambda f. f x) \diamond u$
by(*simp add: ap-gpv-def*)

applicative *gpv*
for

pure: pure-gpv

ap: ap-gpv

by(*rule ap-gpv-id ap-gpv-comp[unfolded o-def[abs-def]] ap-gpv-homo ap-gpv-interchange*)**+**

lemma *map-conv-ap-gpv*: $\text{map-gpv } f (\lambda x. x) \text{gpv} = \text{pure-gpv } f \diamond \text{gpv}$
by(*simp add: ap-gpv-def map-gpv-conv-bind*)

lemma *exec-gpv-ap*:

exec-gpv callee $(f \diamond x) \sigma =$

exec-gpv callee $f \sigma \gg= (\lambda(f', \sigma'). \text{pure-spmf } (\lambda(x', \sigma''). (f' x', \sigma'')) \diamond \text{exec-gpv}$
callee $x \sigma')$

by(*simp add: ap-gpv-def exec-gpv-bind ap-spmf-conv-bind split-def*)

lemma *exec-gpv-ap-pure* [*simp*]:

exec-gpv callee $(\text{pure-gpv } f \diamond x) \sigma = \text{pure-spmf } (\text{apfst } f) \diamond \text{exec-gpv callee } x \sigma$

by(*simp add: exec-gpv-ap apfst-def map-prod-def*)

end

end

5 Oracle combinators

theory *Computational-Model imports*

Generative-Probabilistic-Value

begin

type-synonym *security* = *nat*

type-synonym *advantage* = *security* \Rightarrow *real*

type-synonym $(\sigma, 'call, 'ret)$ *oracle'* = $'\sigma \Rightarrow 'call \Rightarrow ('ret \times '\sigma)$ *spmf*

type-synonym $(\sigma, 'call, 'ret)$ *oracle* = *security* $\Rightarrow (\sigma, 'call, 'ret)$ *oracle'* $\times '\sigma$

print-translation — pretty printing for $(\sigma, 'call, 'ret)$ *oracle* \langle

let

fun *tr'* [*Const* (@{*type-syntax nat*}, -),

Const (@{*type-syntax prod*}, -) \$

(*Const* (@{*type-syntax fun*}, -) \$ *s1* \$

(*Const* (@{*type-syntax fun*}, -) \$ *call* \$

(*Const* (@{*type-syntax pmf*}, -) \$

(*Const* (@{*type-syntax option*}, -) \$

```

      (Const (@{type-syntax prod}, -) $ ret $ s2)))))) $
    s3] =
    if s1 = s2 andalso s1 = s3 then Syntax.const @ {type-syntax oracle} $ s1 $
call $ ret
    else raise Match;
    in [(@ {type-syntax fun}, K tr')]
    end
  )
typ ('σ, 'call, 'ret) oracle

```

5.1 Shared state

context includes \mathcal{I} .*lifting* *lifting-syntax* **begin**

lift-definition *plus- \mathcal{I}* :: ('out, 'ret) $\mathcal{I} \Rightarrow$ ('out', 'ret') $\mathcal{I} \Rightarrow$ ('out + 'out', 'ret + 'ret') \mathcal{I} (**infix** $\oplus_{\mathcal{I}}$ 500)

is λ resp1 resp2. λ out. case out of Inl out' \Rightarrow Inl ' resp1 out' | Inr out' \Rightarrow Inr ' resp2 out' .

lemma *plus- \mathcal{I} -sel* [*simp*]:

shows *outs-plus- \mathcal{I}* : *outs- \mathcal{I}* (*plus- \mathcal{I}* \mathcal{I} l \mathcal{I} r) = *outs- \mathcal{I}* \mathcal{I} l <+> *outs- \mathcal{I}* \mathcal{I} r

and *responses-plus- \mathcal{I} -Inl*: *responses- \mathcal{I}* (*plus- \mathcal{I}* \mathcal{I} l \mathcal{I} r) (Inl x) = Inl ' *responses- \mathcal{I}* \mathcal{I} l x

and *responses-plus- \mathcal{I} -Inr*: *responses- \mathcal{I}* (*plus- \mathcal{I}* \mathcal{I} l \mathcal{I} r) (Inr y) = Inr ' *responses- \mathcal{I}* \mathcal{I} r y

by(*transfer*; *auto split*: *sum.split-asm*; *fail*)+

lemma *vimage-Inl-Plus* [*simp*]: Inl - ' (A <+> B) = A

and *vimage-Inr-Plus* [*simp*]: Inr - ' (A <+> B) = B

by *auto*

lemma *vimage-Inl-image-Inr*: Inl - ' Inr ' A = {}

and *vimage-Inr-image-Inl*: Inr - ' Inl ' A = {}

by *auto*

lemma *plus- \mathcal{I} -parametric* [*transfer-rule*]:

(*rel- \mathcal{I}* C R \implies *rel- \mathcal{I}* C' R' \implies *rel- \mathcal{I}* (*rel-sum* C C') (*rel-sum* R R')) *plus- \mathcal{I}*

apply(*rule rel-funI rel- \mathcal{I} I*)+

subgoal premises [*transfer-rule*] **by**(*simp*; *rule conjI*; *transfer-prover*)

apply(*erule rel-sum.cases*; *clarsimp simp add: inj-vimage-image-eq vimage-Inl-image-Inr empty-transfer vimage-Inr-image-Inl*)

subgoal premises [*transfer-rule*] **by** *transfer-prover*

subgoal premises [*transfer-rule*] **by** *transfer-prover*

done

lifting-update \mathcal{I} .*lifting*

lifting-forget \mathcal{I} .*lifting*

lemma \mathcal{I} -trivial-plus- \mathcal{I} [simp]: \mathcal{I} -trivial $(\mathcal{I}_1 \oplus_{\mathcal{I}} \mathcal{I}_2) \longleftrightarrow \mathcal{I}$ -trivial $\mathcal{I}_1 \wedge \mathcal{I}$ -trivial \mathcal{I}_2

by(*auto simp add: \mathcal{I} -trivial-def*)

end

context

fixes *left* :: ('s, 'a, 'b) oracle'

and *right* :: ('s, 'c, 'd) oracle'

and *s* :: 's

begin

primrec *plus-oracle* :: 'a + 'c \Rightarrow (('b + 'd) \times 's) spmf

where

plus-oracle (Inl a) = map-spmf (apfst Inl) (left s a)

| *plus-oracle* (Inr b) = map-spmf (apfst Inr) (right s b)

lemma *lossless-plus-oracleI* [intro, simp]:

$\llbracket \bigwedge a. x = \text{Inl } a \Rightarrow \text{lossless-spmf } (\text{left } s \ a);$

$\bigwedge b. x = \text{Inr } b \Rightarrow \text{lossless-spmf } (\text{right } s \ b) \rrbracket$

$\Rightarrow \text{lossless-spmf } (\text{plus-oracle } x)$

by(*cases x simp-all*)

lemma *plus-oracle-split*:

$P (\text{plus-oracle } lr) \longleftrightarrow$

$(\forall x. lr = \text{Inl } x \longrightarrow P (\text{map-spmf } (\text{apfst } \text{Inl}) (\text{left } s \ x))) \wedge$

$(\forall y. lr = \text{Inr } y \longrightarrow P (\text{map-spmf } (\text{apfst } \text{Inr}) (\text{right } s \ y)))$

by(*cases lr auto*)

lemma *plus-oracle-split-asm*:

$P (\text{plus-oracle } lr) \longleftrightarrow$

$\neg ((\exists x. lr = \text{Inl } x \wedge \neg P (\text{map-spmf } (\text{apfst } \text{Inl}) (\text{left } s \ x))) \vee$

$(\exists y. lr = \text{Inr } y \wedge \neg P (\text{map-spmf } (\text{apfst } \text{Inr}) (\text{right } s \ y))))$

by(*cases lr auto*)

end

notation *plus-oracle* (**infix** \oplus_O 500)

context

fixes *left* :: ('s, 'a, 'b) oracle'

and *right* :: ('s, 'c, 'd) oracle'

begin

lemma *WT-plus-oracleI* [intro!]:

$\llbracket \mathcal{I}l \vdash c \ \text{left } s \ \surd; \mathcal{I}r \vdash c \ \text{right } s \ \surd \rrbracket \Longrightarrow \mathcal{I}l \oplus_{\mathcal{I}} \mathcal{I}r \vdash c \ (\text{left } \oplus_O \ \text{right}) \ s \ \surd$

by(*rule WT-calleeI*)(*auto elim!: WT-calleeD simp add: inj-image-mem-iff*)

lemma *WT-plus-oracleD1*:

assumes $\mathcal{I}l \oplus_{\mathcal{I}} \mathcal{I}r \vdash c \text{ (left } \oplus_O \text{ right) } s \checkmark$ (**is** $?\mathcal{I} \vdash c \text{ ?callee } s \checkmark$)
shows $\mathcal{I}l \vdash c \text{ left } s \checkmark$
proof(rule *WT-calleeI*)
fix $call \text{ ret } s'$
assume $call \in \text{outs-}\mathcal{I} \mathcal{I}l \text{ (ret, } s') \in \text{set-spmf (left } s \text{ call)}$
hence $(Inl \text{ ret, } s') \in \text{set-spmf (?callee } s \text{ (Inl call)) } Inl \text{ call} \in \text{outs-}\mathcal{I} (\mathcal{I}l \oplus_{\mathcal{I}} \mathcal{I}r)$
by(auto intro: *rev-image-eqI*)
hence $Inl \text{ ret} \in \text{responses-}\mathcal{I} \text{ ?}\mathcal{I} \text{ (Inl call)}$ **by**(rule *WT-calleeD[OF assms]*)
then show $\text{ret} \in \text{responses-}\mathcal{I} \mathcal{I}l \text{ call}$ **by**(*simp add: inj-image-mem-iff*)
qed

lemma *WT-plus-oracleD2*:
assumes $\mathcal{I}l \oplus_{\mathcal{I}} \mathcal{I}r \vdash c \text{ (left } \oplus_O \text{ right) } s \checkmark$ (**is** $?\mathcal{I} \vdash c \text{ ?callee } s \checkmark$)
shows $\mathcal{I}r \vdash c \text{ right } s \checkmark$
proof(rule *WT-calleeI*)
fix $call \text{ ret } s'$
assume $call \in \text{outs-}\mathcal{I} \mathcal{I}r \text{ (ret, } s') \in \text{set-spmf (right } s \text{ call)}$
hence $(Inr \text{ ret, } s') \in \text{set-spmf (?callee } s \text{ (Inr call)) } Inr \text{ call} \in \text{outs-}\mathcal{I} (\mathcal{I}l \oplus_{\mathcal{I}} \mathcal{I}r)$
by(auto intro: *rev-image-eqI*)
hence $Inr \text{ ret} \in \text{responses-}\mathcal{I} \text{ ?}\mathcal{I} \text{ (Inr call)}$ **by**(rule *WT-calleeD[OF assms]*)
then show $\text{ret} \in \text{responses-}\mathcal{I} \mathcal{I}r \text{ call}$ **by**(*simp add: inj-image-mem-iff*)
qed

lemma *WT-plus-oracle-iff [simp]*: $\mathcal{I}l \oplus_{\mathcal{I}} \mathcal{I}r \vdash c \text{ (left } \oplus_O \text{ right) } s \checkmark \iff \mathcal{I}l \vdash c \text{ left } s \checkmark \wedge \mathcal{I}r \vdash c \text{ right } s \checkmark$
by(blast dest: *WT-plus-oracleD1 WT-plus-oracleD2*)

lemma *callee-invariant-on-plus-oracle [simp]*:
 $\text{callee-invariant-on (left } \oplus_O \text{ right) } I (\mathcal{I}l \oplus_{\mathcal{I}} \mathcal{I}r) \iff$
 $\text{callee-invariant-on left } I \mathcal{I}l \wedge \text{callee-invariant-on right } I \mathcal{I}r$
(is $?\text{lhs} \iff \text{?rhs}$ **)**
proof(intro *iffI conjI*)
assume $?\text{lhs}$
then interpret *plus: callee-invariant-on left* \oplus_O *right* $I \mathcal{I}l \oplus_{\mathcal{I}} \mathcal{I}r$.
show *callee-invariant-on left* $I \mathcal{I}l$
proof
fix $s \ x \ y \ s'$
assume $(y, s') \in \text{set-spmf (left } s \ x)$ **and** $I \ s$ **and** $x \in \text{outs-}\mathcal{I} \mathcal{I}l$
then have $(Inl \ y, s') \in \text{set-spmf ((left } \oplus_O \text{ right) } s \text{ (Inl } x))$
by(auto intro: *rev-image-eqI*)
then show $I \ s'$ **using** $\langle I \ s \rangle$ **by**(rule *plus.callee-invariant*)(*simp add: x* \in *outs-}\mathcal{I} \mathcal{I}l)
next
show $\mathcal{I}l \vdash c \text{ left } s \checkmark$ **if** $I \ s$ **for** s **using** *plus.WT-callee[OF that]* **by** *simp*
qed
show *callee-invariant-on right* $I \mathcal{I}r$
proof
fix $s \ x \ y \ s'$
assume $(y, s') \in \text{set-spmf (right } s \ x)$ **and** $I \ s$ **and** $x \in \text{outs-}\mathcal{I} \mathcal{I}r$*

```

    then have (Inr y, s') ∈ set-spmf ((left ⊕O right) s (Inr x))
      by(auto intro: rev-image-eqI)
    then show I s' using ⟨I s⟩ by(rule plus.callee-invariant)(simp add: ⟨x ∈ outs- $\mathcal{I}$ 
 $\mathcal{I}r$ ⟩)
  next
    show  $\mathcal{I}r \vdash_c$  right s  $\surd$  if I s for s using plus.WT-callee[OF that] by simp
  qed
next
  assume ?rhs
  interpret left: callee-invariant-on left I  $\mathcal{I}l$  using ⟨?rhs⟩ by simp
  interpret right: callee-invariant-on right I  $\mathcal{I}r$  using ⟨?rhs⟩ by simp
  show ?lhs
  proof
    fix s x y s'
    assume (y, s') ∈ set-spmf ((left ⊕O right) s x) and I s and x ∈ outs- $\mathcal{I}$  ( $\mathcal{I}l$ 
    ⊕ $\mathcal{I}$   $\mathcal{I}r$ )
    then have (projl y, s') ∈ set-spmf (left s (projl x)) ∧ projl x ∈ outs- $\mathcal{I}$   $\mathcal{I}l$  ∨
      (projr y, s') ∈ set-spmf (right s (projr x)) ∧ projr x ∈ outs- $\mathcal{I}$   $\mathcal{I}r$ 
      by (cases x) auto
    then show I s' using ⟨I s⟩
      by (auto dest: left.callee-invariant right.callee-invariant)
  next
    show  $\mathcal{I}l$  ⊕ $\mathcal{I}$   $\mathcal{I}r \vdash_c$  (left ⊕O right) s  $\surd$  if I s for s
      using left.WT-callee[OF that] right.WT-callee[OF that] by simp
  qed
qed

```

lemma *callee-invariant-plus-oracle* [simp]:
callee-invariant (left ⊕_O right) I \longleftrightarrow
callee-invariant left I ∧ *callee-invariant* right I
(is ?lhs \longleftrightarrow ?rhs)
proof –
 have ?lhs \longleftrightarrow *callee-invariant-on* (left ⊕_O right) I (\mathcal{I} -full ⊕ _{\mathcal{I}} \mathcal{I} -full)
 by(rule *callee-invariant-on-cong*)(auto split: *plus-oracle-split-asm*)
 also have ... \longleftrightarrow ?rhs by(rule *callee-invariant-on-plus-oracle*)
 finally show ?thesis .
qed

lemma *plus-oracle-parametric* [transfer-rule]:
includes *lifting-syntax* **shows**
((S \implies A \implies rel-spmf (rel-prod B S))
 \implies (S \implies C \implies rel-spmf (rel-prod D S))
 \implies S \implies rel-sum A C \implies rel-spmf (rel-prod (rel-sum B D) S))
plus-oracle plus-oracle
unfolding *plus-oracle-def*[*abs-def*] **by** *transfer-prover*

lemma *rel-spmf-plus-oracle*:
 $\llbracket \bigwedge q1' q2'. \llbracket q1 = \text{Inl } q1'; q2 = \text{Inl } q2' \rrbracket \implies \text{rel-spmf (rel-prod B S) (left1 s1 } q1') \text{ (left2 s2 } q2') \rrbracket$

```

   $\wedge q1' q2'. \llbracket q1 = \text{Inr } q1'; q2 = \text{Inr } q2' \rrbracket \implies \text{rel-spmf } (\text{rel-prod } D \ S) (\text{right1 } s1 \ q1') (\text{right2 } s2 \ q2')$ ;
   $S \ s1 \ s2; \text{rel-sum } A \ C \ q1 \ q2 \llbracket$ 
   $\implies \text{rel-spmf } (\text{rel-prod } (\text{rel-sum } B \ D) \ S) ((\text{left1 } \oplus_O \ \text{right1}) \ s1 \ q1) ((\text{left2 } \oplus_O \ \text{right2}) \ s2 \ q2)$ 
apply(erule rel-sum.cases; clarsimp)
apply(erule meta-allE)+
apply(erule meta-impE, rule refl)+
subgoal premises [transfer-rule] by transfer-prover
apply(erule meta-allE)+
apply(erule meta-impE, rule refl)+
subgoal premises [transfer-rule] by transfer-prover
done

end

```

5.2 Shared state with aborts

context

```

fixes left :: ('s, 'a, 'b option) oracle'
and right :: ('s, 'c, 'd option) oracle'
and s :: 's

```

begin

primrec plus-oracle-stop :: 'a + 'c \Rightarrow (('b + 'd) option \times 's) spmf

where

```

  plus-oracle-stop (Inl a) = map-spmf (apfst (map-option Inl)) (left s a)
| plus-oracle-stop (Inr b) = map-spmf (apfst (map-option Inr)) (right s b)

```

lemma lossless-plus-oracle-stopI [intro, simp]:

```

 $\llbracket \wedge a. x = \text{Inl } a \implies \text{lossless-spmf } (\text{left } s \ a);$ 
 $\wedge b. x = \text{Inr } b \implies \text{lossless-spmf } (\text{right } s \ b) \rrbracket$ 
 $\implies \text{lossless-spmf } (\text{plus-oracle-stop } x)$ 

```

by(cases x) simp-all

lemma plus-oracle-stop-split:

```

  P (plus-oracle-stop lr)  $\longleftrightarrow$ 
  ( $\forall x. \text{lr} = \text{Inl } x \longrightarrow P (\text{map-spmf } (\text{apfst } (\text{map-option } \text{Inl})) (\text{left } s \ x))$ )  $\wedge$ 
  ( $\forall y. \text{lr} = \text{Inr } y \longrightarrow P (\text{map-spmf } (\text{apfst } (\text{map-option } \text{Inr})) (\text{right } s \ y))$ )

```

by(cases lr) auto

lemma plus-oracle-stop-split-asm:

```

  P (plus-oracle-stop lr)  $\longleftrightarrow$ 
   $\neg ((\exists x. \text{lr} = \text{Inl } x \wedge \neg P (\text{map-spmf } (\text{apfst } (\text{map-option } \text{Inl})) (\text{left } s \ x))) \vee$ 
   $(\exists y. \text{lr} = \text{Inr } y \wedge \neg P (\text{map-spmf } (\text{apfst } (\text{map-option } \text{Inr})) (\text{right } s \ y))))$ 

```

by(cases lr) auto

end

notation *plus-oracle-stop* (**infix** \oplus_O^S 500)

5.3 Disjoint state

context

fixes *left* :: ('s1, 'a, 'b) oracle'
and *right* :: ('s2, 'c, 'd) oracle'

begin

fun *parallel-oracle* :: ('s1 × 's2, 'a + 'c, 'b + 'd) oracle'

where

parallel-oracle (s1, s2) (Inl a) = map-spmf (map-prod Inl (λs1'. (s1', s2))) (left s1 a)
| *parallel-oracle* (s1, s2) (Inr b) = map-spmf (map-prod Inr (Pair s1)) (right s2 b)

lemma *parallel-oracle-def*:

parallel-oracle = (λ(s1, s2). case-sum (λa. map-spmf (map-prod Inl (λs1'. (s1', s2))) (left s1 a)) (λb. map-spmf (map-prod Inr (Pair s1)) (right s2 b)))
by(auto intro!: ext split: sum.split)

lemma *lossless-parallel-oracle* [simp]:

lossless-spmf (*parallel-oracle* s12 xy) \longleftrightarrow
(∀ x. xy = Inl x \longrightarrow *lossless-spmf* (left (fst s12) x)) ∧
(∀ y. xy = Inr y \longrightarrow *lossless-spmf* (right (snd s12) y))
by(cases s12; cases xy) simp-all

lemma *parallel-oracle-split*:

P (*parallel-oracle* s1s2 lr) \longleftrightarrow
(∀ s1 s2 x. s1s2 = (s1, s2) \longrightarrow lr = Inl x \longrightarrow *P* (map-spmf (map-prod Inl (λs1'. (s1', s2))) (left s1 x))) ∧
(∀ s1 s2 y. s1s2 = (s1, s2) \longrightarrow lr = Inr y \longrightarrow *P* (map-spmf (map-prod Inr (Pair s1)) (right s2 y)))
by(cases s1s2; cases lr) auto

lemma *parallel-oracle-split-asm*:

P (*parallel-oracle* s1s2 lr) \longleftrightarrow
¬ ((∃ s1 s2 x. s1s2 = (s1, s2) ∧ lr = Inl x ∧ ¬ *P* (map-spmf (map-prod Inl (λs1'. (s1', s2))) (left s1 x))) ∨
(∃ s1 s2 y. s1s2 = (s1, s2) ∧ lr = Inr y ∧ ¬ *P* (map-spmf (map-prod Inr (Pair s1)) (right s2 y))))
by(cases s1s2; cases lr) auto

lemma *WT-parallel-oracle* [intro!, simp]:

[[*I*l ⊢ c left sl √; *I*r ⊢ c right sr √]] \implies plus-*I* *I*l *I*r ⊢ c *parallel-oracle* (sl, sr)
√
by(rule WT-calleeI)(auto elim!: WT-calleeD simp add: inj-image-mem-iff)

lemma *callee-invariant-parallel-oracleI* [simp, intro]:

assumes *callee-invariant-on left* $\mathcal{I}l$ *callee-invariant-on right* $\mathcal{I}r$ $\mathcal{I}r$
shows *callee-invariant-on parallel-oracle* (*pred-prod* $\mathcal{I}l$ $\mathcal{I}r$) ($\mathcal{I}l \oplus_{\mathcal{I}} \mathcal{I}r$)
proof
interpret left: *callee-invariant-on left* $\mathcal{I}l$ **by fact**
interpret right: *callee-invariant-on right* $\mathcal{I}r$ **by fact**

show *pred-prod* $\mathcal{I}l$ $\mathcal{I}r$ $s12'$
if $(y, s12') \in \text{set-spmf } (\text{parallel-oracle } s12 \ x)$ **and** *pred-prod* $\mathcal{I}l$ $\mathcal{I}r$ $s12$ **and** $x \in \text{outs-}\mathcal{I} (\mathcal{I}l \oplus_{\mathcal{I}} \mathcal{I}r)$
for $s12 \ x \ y \ s12'$ **using that**
by(*cases* $s12$; *cases* $s12$; *cases* x)(*auto dest: left.callee-invariant right.callee-invariant*)

show $\mathcal{I}l \oplus_{\mathcal{I}} \mathcal{I}r \vdash_c \text{local.parallel-oracle } s \ \surd$ **if** *pred-prod* $\mathcal{I}l$ $\mathcal{I}r$ s **for** s **using that**
by(*cases* s)(*simp add: left.WT-callee right.WT-callee*)
qed

end

lemma *parallel-oracle-parametric:*
includes *lifting-syntax shows*
 $((S1 \implies CALL1 \implies \text{rel-spmf } (\text{rel-prod } (=) \ S1))$
 $\implies (S2 \implies CALL2 \implies \text{rel-spmf } (\text{rel-prod } (=) \ S2))$
 $\implies \text{rel-prod } S1 \ S2 \implies \text{rel-sum } CALL1 \ CALL2 \implies \text{rel-spmf } (\text{rel-prod } (=) \ (\text{rel-prod } S1 \ S2)))$
parallel-oracle parallel-oracle
unfolding *parallel-oracle-def[abs-def]* **by** (*fold relator-eq*)*transfer-prover*

5.4 Indexed oracles

definition *family-oracle* $:: ('i \Rightarrow ('s, 'a, 'b) \text{ oracle}') \Rightarrow ('i \Rightarrow 's, 'i \times 'a, 'b) \text{ oracle}'$
where *family-oracle* $f \ s = (\lambda(i, x). \text{map-spmf } (\lambda(y, s'). (y, s(i := s')))) (f \ i \ (s \ i) \ x)$

lemma *family-oracle-apply [simp]:*
family-oracle $f \ s \ (i, x) = \text{map-spmf } (\text{apsnd } (\text{fun-upd } s \ i)) (f \ i \ (s \ i) \ x)$
by(*simp add: family-oracle-def apsnd-def map-prod-def*)

lemma *lossless-family-oracle:*
 $\text{lossless-spmf } (\text{family-oracle } f \ s \ ix) \longleftrightarrow \text{lossless-spmf } (f \ (\text{fst } ix) \ (s \ (\text{fst } ix))) (\text{snd } ix)$
by(*simp add: family-oracle-def split-beta*)

5.5 State extension

definition *extend-state-oracle* $:: ('call, 'ret, 's) \text{ callee} \Rightarrow ('call, 'ret, 's' \times 's) \text{ callee}$
 $(\dagger- [1000] \ 1000)$
where *extend-state-oracle* $\text{callee} = (\lambda(s', s) \ x. \text{map-spmf } (\lambda(y, s). (y, (s', s)))) (\text{callee } s \ x)$

lemma *extend-state-oracle-simps [simp]:*

extend-state-oracle callee (s', s) $x = \text{map-spmf } (\lambda(y, s). (y, (s', s))) (\text{callee } s \ x)$
by(*simp add: extend-state-oracle-def*)

context includes *lifting-syntax* **begin**

lemma *extend-state-oracle-parametric* [*transfer-rule*]:

$((S \text{====>} C \text{====>} \text{rel-spmf } (\text{rel-prod } R \ S)) \text{====>} \text{rel-prod } S' \ S \text{====>} C \text{====>} \text{rel-spmf } (\text{rel-prod } R \ (\text{rel-prod } S' \ S)))$

extend-state-oracle extend-state-oracle

unfolding *extend-state-oracle-def*[*abs-def*] **by** *transfer-prover*

lemma *extend-state-oracle-transfer*:

$((S \text{====>} C \text{====>} \text{rel-spmf } (\text{rel-prod } R \ S))$

$\text{====>} \text{rel-prod2 } S \text{====>} C \text{====>} \text{rel-spmf } (\text{rel-prod } R \ (\text{rel-prod2 } S)))$

$(\lambda \text{oracle. oracle})$ *extend-state-oracle*

unfolding *extend-state-oracle-def*[*abs-def*]

apply(*rule rel-funI*)+

apply *clarsimp*

apply(*drule* (1) *rel-funD*)+

apply(*auto simp add: spmf-rel-map split-def dest: rel-funD intro: rel-spmf-mono*)

done

end

lemma *callee-invariant-extend-state-oracle-const* [*simp*]:

callee-invariant $\dagger \text{oracle } (\lambda(s', s). I \ s')$

by *unfold-locales auto*

lemma *callee-invariant-extend-state-oracle-const'*:

callee-invariant $\dagger \text{oracle } (\lambda s. I \ (\text{fst } s))$

by *unfold-locales auto*

definition *lift-stop-oracle* :: (*'call, 'ret, 's*) *callee* \Rightarrow (*'call, 'ret option, 's*) *callee*

where *lift-stop-oracle oracle s x = map-spmf (apfst Some) (oracle s x)*

lemma *lift-stop-oracle-apply* [*simp*]: *lift-stop-oracle oracle s x = map-spmf (apfst Some) (oracle s x)*

by(*fact lift-stop-oracle-def*)

context includes *lifting-syntax* **begin**

lemma *lift-stop-oracle-transfer*:

$((S \text{====>} C \text{====>} \text{rel-spmf } (\text{rel-prod } R \ S)) \text{====>} (S \text{====>} C \text{====>} \text{rel-spmf } (\text{rel-prod } (\text{pcr-Some } R) \ S)))$

$(\lambda x. x)$ *lift-stop-oracle*

unfolding *lift-stop-oracle-def*

apply(*rule rel-funI*)+

apply(*drule* (1) *rel-funD*)+

apply(*simp add: spmf-rel-map apfst-def prod.rel-map*)

done

end

6 Combining GPVs

6.1 Shared state without interrupts

context

fixes left :: 's ⇒ 'x1 ⇒ ('y1 × 's, 'call, 'ret) gpv
and right :: 's ⇒ 'x2 ⇒ ('y2 × 's, 'call, 'ret) gpv

begin

primrec plus-intercept :: 's ⇒ 'x1 + 'x2 ⇒ (('y1 + 'y2) × 's, 'call, 'ret) gpv

where

plus-intercept s (Inl x) = map-gpv (apfst Inl) id (left s x)
| plus-intercept s (Inr x) = map-gpv (apfst Inr) id (right s x)

end

lemma plus-intercept-parametric [transfer-rule]:

includes lifting-syntax shows

((S ==> X1 ==> rel-gpv (rel-prod Y1 S) C)
==> (S ==> X2 ==> rel-gpv (rel-prod Y2 S) C)
==> S ==> rel-sum X1 X2 ==> rel-gpv (rel-prod (rel-sum Y1 Y2) S)
C)

plus-intercept plus-intercept

unfolding plus-intercept-def[abs-def] by transfer-prover

lemma interaction-bounded-by-plus-intercept [interaction-bound]:

fixes left right

shows $\llbracket \bigwedge x'. x = \text{Inl } x' \implies \text{interaction-bounded-by } P (\text{left } s x') (n x');$

$\bigwedge y. x = \text{Inr } y \implies \text{interaction-bounded-by } P (\text{right } s y) (m y) \rrbracket$

$\implies \text{interaction-bounded-by } P (\text{plus-intercept left right } s x) (\text{case } x \text{ of } \text{Inl } x \Rightarrow n$
 $x \mid \text{Inr } y \Rightarrow m y)$

by(simp split!: sum.split add: interaction-bounded-by-map-gpv-id)

6.2 Shared state with interrupts

context

fixes left :: 's ⇒ 'x1 ⇒ ('y1 option × 's, 'call, 'ret) gpv
and right :: 's ⇒ 'x2 ⇒ ('y2 option × 's, 'call, 'ret) gpv

begin

primrec plus-intercept-stop :: 's ⇒ 'x1 + 'x2 ⇒ (('y1 + 'y2) option × 's, 'call,
'ret) gpv

where

plus-intercept-stop s (Inl x) = map-gpv (apfst (map-option Inl)) id (left s x)
| plus-intercept-stop s (Inr x) = map-gpv (apfst (map-option Inr)) id (right s x)

end

```

lemma plus-intercept-stop-parametric [transfer-rule]:
  includes lifting-syntax shows
    ((S ==> X1 ==> rel-gpv (rel-prod (rel-option Y1) S) C)
     ==> (S ==> X2 ==> rel-gpv (rel-prod (rel-option Y2) S) C)
     ==> S ==> rel-sum X1 X2 ==> rel-gpv (rel-prod (rel-option (rel-sum
Y1 Y2)) S) C)
  plus-intercept-stop plus-intercept-stop
unfolding plus-intercept-stop-def by transfer-prover

end

```

7 Cyclic groups

theory *Cyclic-Group* **imports**

HOL-Algebra.Coset

begin

record *'a cyclic-group* = *'a monoid* +
generator :: *'a* (**g1**)

locale *cyclic-group* = *group* *G*

for *G* :: (*'a*, *'b*) *cyclic-group-scheme* (**structure**)

+

assumes *generator-closed* [*intro*, *simp*]: *generator* *G* ∈ *carrier* *G*

and *generator*: *carrier* *G* ⊆ *range* (λ*n* :: *nat*. *generator* *G* [[^]]_{*G*} *n*)

begin

lemma *generatorE* [*elim?*]:

assumes *x* ∈ *carrier* *G*

obtains *n* :: *nat* **where** *x* = *generator* *G* [[^]] *n*

using *generator assms* **by** *auto*

lemma *inj-on-generator*: *inj-on* (([[^]]) **g**) {..*order* *G*}

proof(*rule inj-onI*)

fix *n m*

assume *n* ∈ {..*order* *G*} *m* ∈ {..*order* *G*}

hence *n*: *n* < *order* *G* **and** *m*: *m* < *order* *G* **by** *simp-all*

moreover

assume **g** [[^]] *n* = **g** [[^]] *m*

ultimately show *n* = *m*

proof(*induction n m rule: linorder-wlog*)

case *sym* **thus** *?case* **by** *simp*

next

case (*le n m*)

let *?d* = *m* - *n*

have **g** [[^]] (*int* *m* - *int* *n*) = **g** [[^]] *int* *m* ⊗ *inv* (**g** [[^]] *int* *n*)

by(*simp add: int-pow-diff*)

also have **g** [[^]] *int* *m* = **g** [[^]] *int* *n* **by**(*simp add: le.premis int-pow-int*)

```

also have ...  $\otimes \text{inv } (\mathbf{g} [\wedge] (\text{int } n)) = \mathbf{1}$  by simp
finally have  $\mathbf{g} [\wedge] ?d = \mathbf{1}$  using le.hyps by(simp add: of-nat-diff[symmetric])
int-pow-int)
{ assume  $n < m$ 
  have  $\text{carrier } G \subseteq (\lambda n. \mathbf{g} [\wedge] n) \text{ ' } \{..<?d\}$ 
  proof
    fix  $x$ 
    assume  $x \in \text{carrier } G$ 
    then obtain  $k :: \text{nat}$  where  $x = \mathbf{g} [\wedge] k ..$ 
    also have ... =  $(\mathbf{g} [\wedge] ?d) [\wedge] (k \text{ div } ?d) \otimes \mathbf{g} [\wedge] (k \text{ mod } ?d)$ 
      by(simp add: nat-pow-pow nat-pow-mult div-mult-mod-eq)
    also have ... =  $\mathbf{g} [\wedge] (k \text{ mod } ?d)$ 
      using  $\langle \mathbf{g} [\wedge] ?d = \mathbf{1} \rangle$  by simp
    finally show  $x \in (\lambda n. \mathbf{g} [\wedge] n) \text{ ' } \{..<?d\}$  using  $\langle n < m \rangle$  by auto
  qed
  hence  $\text{order } G \leq \text{card } ((\lambda n. \mathbf{g} [\wedge] n) \text{ ' } \{..<?d\})$ 
    by(simp add: order-def card-mono)
  also have ...  $\leq \text{card } \{..<?d\}$  by(rule card-image-le) simp
  also have ...  $< \text{order } G$  using  $\langle m < \text{order } G \rangle$  by simp
  finally have False by simp }
with  $\langle n \leq m \rangle$  show  $n = m$  by(auto simp add: order.order-iff-strict)
qed
qed

```

lemma *carrier-conv-generator*:

```

  finite (carrier G)  $\implies$  carrier G = (\lambda n. \mathbf{g} [\wedge] n) \text{ ' } \{..<\text{order } G\}
proof –
  assume finite (carrier G)
  moreover have  $(\lambda n. \mathbf{g} [\wedge] n) \text{ ' } \{..<\text{order } G\} \subseteq \text{carrier } G$  by auto
  moreover have  $\text{card } ((\lambda n. \mathbf{g} [\wedge] n) \text{ ' } \{..<\text{order } G\}) \geq \text{order } G$ 
    using inj-on-generator by(simp add: card-image)
  ultimately show ?thesis unfolding order-def by(rule card-seteq[symmetric])
qed

```

lemma *bij-betw-generator-carrier*:

```

  finite (carrier G)  $\implies$  bij-betw (\lambda n :: nat. \mathbf{g} [\wedge] n) \{..<\text{order } G\} (\text{carrier } G)
by(simp add: bij-betw-def inj-on-generator carrier-conv-generator)

```

end

lemma (**in** *monoid*) *order-in-range-Suc*: $\text{order } G \in \text{range } \text{Suc} \iff \text{finite } (\text{carrier } G)$

```

by(cases order G)(auto simp add: order-def carrier-not-empty intro: card-ge-0-finite)

```

end

theory *Cyclic-Group-SPMF* **imports**

Cyclic-Group

HOL-Probability.SPMF
begin

definition *sample-uniform* :: nat \Rightarrow nat spmf
where *sample-uniform* n = spmf-of-set {..*n*}

lemma *spmf-sample-uniform*: spmf (sample-uniform n) x = indicator {..*n*} x /
n
by(simp add: sample-uniform-def spmf-of-set)

lemma *weight-sample-uniform*: weight-spmf (sample-uniform n) = indicator (range
Suc) n
by(auto simp add: sample-uniform-def weight-spmf-of-set split: split-indicator elim:
lessE)

lemma *weight-sample-uniform-0* [simp]: weight-spmf (sample-uniform 0) = 0
by(auto simp add: weight-sample-uniform indicator-def)

lemma *weight-sample-uniform-gt-0* [simp]: 0 < n \implies weight-spmf (sample-uniform
n) = 1
by(auto simp add: weight-sample-uniform indicator-def gr0-conv-Suc)

lemma *lossless-sample-uniform* [simp]: lossless-spmf (sample-uniform n) \longleftrightarrow 0
< n
by(auto simp add: lossless-spmf-def intro: ccontr)

lemma *set-spmf-sample-uniform* [simp]: 0 < n \implies set-spmf (sample-uniform n)
= {..*n*}
by(simp add: sample-uniform-def)

lemma (in cyclic-group) *sample-uniform-one-time-pad*:
assumes [simp]: c \in carrier G

shows
map-spmf ($\lambda x. \mathbf{g} [\hat{\cdot}] x \otimes c$) (sample-uniform (order G)) =
map-spmf ($\lambda x. \mathbf{g} [\hat{\cdot}] x$) (sample-uniform (order G))
(is ?lhs = ?rhs)

proof(cases finite (carrier G))

case False

thus ?thesis **by**(simp add: order-def sample-uniform-def)

next

case True

have ?lhs = map-spmf ($\lambda x. x \otimes c$) ?rhs

by(simp add: pmf.map-comp o-def option.map-comp)

also have rhs: ?rhs = spmf-of-set (carrier G)

using True **by**(simp add: carrier-conv-generator inj-on-generator sample-uniform-def)

also have map-spmf ($\lambda x. x \otimes c$) ... = spmf-of-set (($\lambda x. x \otimes c$) ‘ carrier G)

by(simp add: inj-on-multc)

also have ($\lambda x. x \otimes c$) ‘ carrier G = carrier G

using True **by**(rule endo-inj-surj)(auto simp add: inj-on-multc)

```
    finally show ?thesis using rhs by simp
qed
```

```
end
theory CryptHOL imports
  GPV-Bisim
  GPV-Applicative
  Computational-Model
  Negligible
  Cyclic-Group-SPMF
  List-Bits
  Environment-Functor
begin

end
```

References

- [1] A. Lochbihler. Probabilistic functions and cryptographic oracles in higher order logic. In P. Thiemann, editor, *Programming Languages and Systems (ESOP 2016)*, volume 9632 of *LNCS*, pages 503–531. Springer, 2016.