

CryptHOL

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March 19, 2025

Abstract

CryptHOL provides a framework for formalising cryptographic arguments in Isabelle/HOL. It shallowly embeds a probabilistic functional programming language in higher order logic. The language features monadic sequencing, recursion, random sampling, failures and failure handling, and black-box access to oracles. Oracles are probabilistic functions which maintain hidden state between different invocations. All operators are defined in the new semantic domain of generative probabilistic values, a codatatype. We derive proof rules for the operators and establish a connection with the theory of relational parametricity. Thus, the resulting proofs are trustworthy and comprehensible, and the framework is extensible and widely applicable.

The framework is used in the accompanying AFP entry “Game-based Cryptography in HOL”. There, we show-case our framework by formalizing different game-based proofs from the literature. This formalisation continues the work described in the author’s ESOP 2016 paper [1].

A tutorial in the AFP entry *Game-based cryptography* explains how CryptHOL can be used to formalize game-based cryptography proofs.

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1 Miscellaneous library additions

```
theory Misc-CryptHOL imports
  Probabilistic-While.While-SPMF
  HOL-Library.Rewrite
  HOL-Library.Simps-Case-Conv
  HOL-Library.Type-Length
  HOL-Eisbach.Eisbach
  Coinductive.TLLList
  Monad-Normalisation.Monad-Normalisation
  Monomorphic-Monad.Monomorphic-Monad
  Applicative-Lifting.Applicative
begin

hide-const (open) Henstock-Kurzweil-Integration.negligible

declare eq-on-def [simp del]
```

1.1 HOL

```
lemma asm-rl-conv: ( $\text{PROP } P \Rightarrow \text{PROP } P$ )  $\equiv \text{Trueprop True}$ 
by(rule equal-intr-rule) iprover+
```

```
named-theorems if-distrib Distributivity theorems for If
```

```
lemma if-mono-cong:  $\llbracket b \Rightarrow x \leq x'; \neg b \Rightarrow y \leq y' \rrbracket \Rightarrow \text{If } b \ x \ y \leq \text{If } b' \ x' \ y'$ 
by simp
```

```
lemma if-cong-then:  $\llbracket b = b'; b' \Rightarrow t = t'; e = e' \rrbracket \Rightarrow \text{If } b \ t \ e = \text{If } b' \ t' \ e'$ 
by simp
```

```
lemma if-False-eq:  $\llbracket b \Rightarrow \text{False}; e = e' \rrbracket \Rightarrow \text{If } b \ t \ e = e'$ 
by auto
```

```
lemma imp-OO-imp [simp]:  $(\rightarrow) \text{ OO } (\rightarrow) = (\rightarrow)$ 
by auto
```

```
lemma inj-on-fun-updD:  $\llbracket \text{inj-on } (f(x := y)) \ A; x \notin A \rrbracket \Rightarrow \text{inj-on } f \ A$ 
by(auto simp add: inj-on-def split: if-split-asm)
```

```
lemma disjoint-notin1:  $\llbracket A \cap B = \{\}; x \in B \rrbracket \Rightarrow x \notin A$  by auto
```

```
lemma Least-le-Least:
  fixes x :: 'a :: wellorder
  assumes Q x
  and Q:  $\bigwedge x. Q \ x \Rightarrow \exists y \leq x. P \ y$ 
  shows Least P  $\leq$  Least Q
  by (metis assms order-trans wellorder-Least-lemma)
```

```
lemma is-empty-image [simp]: Set.is-empty (f ` A) = Set.is-empty A
```

by(auto simp add: Set.is-empty-def)

1.2 Relations

inductive Imagep :: ('a \Rightarrow 'b \Rightarrow bool) \Rightarrow ('a \Rightarrow bool) \Rightarrow 'b \Rightarrow bool
for R P
where ImagepI: $\llbracket P\ x; R\ x\ y \rrbracket \implies \text{Imagep}\ R\ P\ y$

lemma r-r-into-tranclp: $\llbracket r\ x\ y; r\ y\ z \rrbracket \implies r^{\wedge\wedge}\ x\ z$
by(rule tranclp.trancl-into-trancl)(rule tranclp.r-into-trancl)

lemma transp-tranclp-id:
assumes transp R
shows tranclp R = R
proof(intro ext iffI)
fix x y
assume $R^{\wedge\wedge}\ x\ y$
thus R x y **by** induction(blast dest: transpD[OF assms])
qed simp

lemma transp-inv-image: transp r \implies transp ($\lambda x\ y. r\ (f\ x)\ (f\ y)$)
using transp-inv-image[**where** r={x, y}. r x y] **and** f = f]
by(simp add: transp-trans inv-image-def)

lemma Domainp-conversep: Domainp $R^{-1-1} = \text{Rangep}\ R$
by(auto)

lemma bi-unique-rel-set-bij-betw:
assumes unique: bi-unique R
and rel: rel-set R A B
shows $\exists f. \text{bij-betw}\ f\ A\ B \wedge (\forall x \in A. R\ x\ (f\ x))$
proof –
from assms obtain f **where** f: $\bigwedge x. x \in A \implies R\ x\ (f\ x)$ **and** B: $\bigwedge x. x \in A \implies f\ x \in B$
apply(atomize-elim)
apply(fold all-conj-distrib)
apply(subst choice-iff[symmetric])
apply(auto dest: rel-setD1)
done
have inj-on f A **by**(rule inj-onI)(auto dest!: f dest: bi-uniqueDl[OF unique])
moreover have f ` A = B **using** rel
by(auto 4 3 intro: B dest: rel-setD2 f bi-uniqueDr[OF unique])
ultimately have bij-betw f A B **unfolding** bij-betw-def ..
thus ?thesis **using** f **by** blast
qed

definition restrict-relp :: ('a \Rightarrow 'b \Rightarrow bool) \Rightarrow ('a \Rightarrow bool) \Rightarrow ('b \Rightarrow bool) \Rightarrow 'a \Rightarrow 'b \Rightarrow bool
($\dashv\ 1\ (\dashv\ \otimes\ \dashv)$ [53, 54, 54] 53)

```

where restrict-relp R P Q = ( $\lambda x y. R x y \wedge P x \wedge Q y$ )

lemma restrict-relp-apply [simp]: ( $R \upharpoonright P \otimes Q$ ) x y  $\longleftrightarrow$  R x y  $\wedge$  P x  $\wedge$  Q y
by(simp add: restrict-relp-def)

lemma restrict-relpI [intro?]:  $\llbracket R x y; P x; Q y \rrbracket \implies (R \upharpoonright P \otimes Q) x y$ 
by(simp add: restrict-relp-def)

lemma restrict-relpE [elim?, cases pred]:
assumes ( $R \upharpoonright P \otimes Q$ ) x y
obtains (restrict-relp) R x y P x Q y
using assms by(simp add: restrict-relp-def)

lemma conversep-restrict-relp [simp]: ( $R \upharpoonright P \otimes Q$ ) $^{-1-1}$  =  $R^{-1-1} \upharpoonright Q \otimes P$ 
by(auto simp add: fun-eq-iff)

lemma restrict-relp-restrict-relp [simp]:  $R \upharpoonright P \otimes Q \upharpoonright P' \otimes Q' = R \upharpoonright \inf P P' \otimes \inf Q Q'$ 
by(auto simp add: fun-eq-iff)

lemma restrict-relp-cong:
 $\llbracket P = P'; Q = Q'; \bigwedge x y. \llbracket P x; Q y \rrbracket \implies R x y = R' x y \rrbracket \implies R \upharpoonright P \otimes Q = R' \upharpoonright P' \otimes Q'$ 
by(auto simp add: fun-eq-iff)

lemma restrict-relp-cong-simp:
 $\llbracket P = P'; Q = Q'; \bigwedge x y. P x =simp=> Q y =simp=> R x y = R' x y \rrbracket \implies R \upharpoonright P \otimes Q = R' \upharpoonright P' \otimes Q'$ 
by(rule restrict-relp-cong; simp add: simp-implies-def)

lemma restrict-relp-parametric [transfer-rule]:
includes lifting-syntax shows
 $((A ==> B ==> (=)) ==> (A ==> (=)) ==> (B ==> (=)) ==> A ==> B ==> (=))$  restrict-relp restrict-relp
unfolding restrict-relp-def[abs-def] by transfer-prover

lemma restrict-relp-mono:  $\llbracket R \leq R'; P \leq P'; Q \leq Q' \rrbracket \implies R \upharpoonright P \otimes Q \leq R' \upharpoonright P' \otimes Q'$ 
by(simp add: le-fun-def)

lemma restrict-relp-mono':
 $\llbracket (R \upharpoonright P \otimes Q) x y; \llbracket R x y; P x; Q y \rrbracket \implies R' x y \&&& P' x \&&& Q' y \rrbracket \implies (R' \upharpoonright P' \otimes Q') x y$ 
by(auto dest: conjunctionD1 conjunctionD2)

lemma restrict-relp-DomainpD: Domainp ( $R \upharpoonright P \otimes Q$ ) x  $\implies$  Domainp R x  $\wedge$  P x
by(auto simp add: Domainp.simps)

```

```

lemma restrict-relp-True:  $R \upharpoonright (\lambda\_. \text{True}) \otimes (\lambda\_. \text{True}) = R$ 
by(simp add: fun-eq-iff)

lemma restrict-relp-False1:  $R \upharpoonright (\lambda\_. \text{False}) \otimes Q = \text{bot}$ 
by(simp add: fun-eq-iff)

lemma restrict-relp-False2:  $R \upharpoonright P \otimes (\lambda\_. \text{False}) = \text{bot}$ 
by(simp add: fun-eq-iff)

definition rel-prod2 ::  $('a \Rightarrow 'b \Rightarrow \text{bool}) \Rightarrow 'a \Rightarrow ('c \times 'b) \Rightarrow \text{bool}$ 
where rel-prod2 R a =  $(\lambda(c, b). R a b)$ 

lemma rel-prod2-simps [simp]: rel-prod2 R a (c, b)  $\longleftrightarrow$  R a b
by(simp add: rel-prod2-def)

lemma restrict-rel-prod:
  rel-prod ( $R \upharpoonright I1 \otimes I2$ ) ( $S \upharpoonright I1' \otimes I2'$ ) = rel-prod R S  $\upharpoonright$  pred-prod I1 I1'  $\otimes$ 
  pred-prod I2 I2'
by(auto simp add: fun-eq-iff)

lemma restrict-rel-prod1:
  rel-prod ( $R \upharpoonright I1 \otimes I2$ ) S = rel-prod R S  $\upharpoonright$  pred-prod I1 ( $\lambda\_. \text{True}$ )  $\otimes$  pred-prod
  I2 ( $\lambda\_. \text{True}$ )
by(simp add: restrict-rel-prod[symmetric] restrict-relp-True)

lemma restrict-rel-prod2:
  rel-prod R ( $S \upharpoonright I1 \otimes I2$ ) = rel-prod R S  $\upharpoonright$  pred-prod ( $\lambda\_. \text{True}$ ) I1  $\otimes$  pred-prod
  ( $\lambda\_. \text{True}$ ) I2
by(simp add: restrict-rel-prod[symmetric] restrict-relp-True)

consts relcompp-witness ::  $('a \Rightarrow 'b \Rightarrow \text{bool}) \Rightarrow ('b \Rightarrow 'c \Rightarrow \text{bool}) \Rightarrow 'a \times 'c \Rightarrow 'b$ 
specification (relcompp-witness)
  relcompp-witness1:  $(A \ OO B) (\text{fst } xy) (\text{snd } xy) \implies A (\text{fst } xy) (\text{relcompp-witness }$ 
   $A B xy)$ 
  relcompp-witness2:  $(A \ OO B) (\text{fst } xy) (\text{snd } xy) \implies B (\text{relcompp-witness } A B xy)$ 
   $(\text{snd } xy)$ 
apply(fold all-conj-distrib)
apply(rule choice allI)+
by(auto intro: choice allI)

lemmas relcompp-witness[of - - (x, y) for x y, simplified] = relcompp-witness1
relcompp-witness2

hide-fact (open) relcompp-witness1 relcompp-witness2

lemma relcompp-witness-eq [simp]: relcompp-witness (=) (=) (x, x) = x
using relcompp-witness(1)[of (=) (=) x x] by(simp add: eq-OO)

```

1.3 Pairs

lemma *split-apfst* [simp]: *case-prod* h (*apfst* f xy) = *case-prod* ($h \circ f$) xy
by(*cases* xy) *simp*

definition *corec-prod* :: $('s \Rightarrow 'a) \Rightarrow ('s \Rightarrow 'b) \Rightarrow 's \Rightarrow 'a \times 'b$
where *corec-prod* $f g$ = $(\lambda s. (f s, g s))$

lemma *corec-prod-apply*: *corec-prod* $f g s$ = $(f s, g s)$
by(*simp add: corec-prod-def*)

lemma *corec-prod-sel* [simp]:
shows *fst-corec-prod*: *fst* (*corec-prod* $f g s$) = $f s$
and *snd-corec-prod*: *snd* (*corec-prod* $f g s$) = $g s$
by(*simp-all add: corec-prod-apply*)

lemma *apfst-corec-prod* [simp]: *apfst* h (*corec-prod* $f g s$) = *corec-prod* ($h \circ f$) $g s$
by(*simp add: corec-prod-apply*)

lemma *apsnd-corec-prod* [simp]: *apsnd* h (*corec-prod* $f g s$) = *corec-prod* $f (h \circ g)$
 s
by(*simp add: corec-prod-apply*)

lemma *map-corec-prod* [simp]: *map-prod* $f g$ (*corec-prod* $h k s$) = *corec-prod* ($f \circ h$) ($g \circ k$) s
by(*simp add: corec-prod-apply*)

lemma *split-corec-prod* [simp]: *case-prod* h (*corec-prod* $f g s$) = $h (f s) (g s)$
by(*simp add: corec-prod-apply*)

lemma *Pair-fst-Unity*: *(fst* x , ()) = x
by(*cases* x) *simp*

definition *rprod1* :: $('a \times 'b) \times 'c \Rightarrow 'a \times ('b \times 'c)$ **where** *rprod1* = $(\lambda((a, b), c). (a, (b, c)))$

lemma *rprod1-simps* [simp]: *rprod1* $((a, b), c)$ = $(a, (b, c))$
by(*simp add: rprod1-def*)

lemma *rprod1-parametric* [transfer-rule]: **includes** lifting-syntax **shows**
 $(\text{rel-prod } (\text{rel-prod } A B) C \implies \text{rel-prod } A (\text{rel-prod } B C))$ *rprod1 rprod1*
unfolding *rprod1-def* **by** transfer-prover

definition *lprod1* :: $'a \times ('b \times 'c) \Rightarrow ('a \times 'b) \times 'c$ **where** *lprod1* = $(\lambda(a, b, c). ((a, b), c))$

lemma *lprod1-simps* [simp]: *lprod1* (a, b, c) = $((a, b), c)$
by(*simp add: lprod1-def*)

lemma *lprod1-parametric* [transfer-rule]: **includes** lifting-syntax **shows**

*(rel-prod A (rel-prod B C) ==> rel-prod (rel-prod A B) C) lprodrl lprodrr
 unfolding lprodrr-def by transfer-prover*

lemma *lprodrr-inverse* [simp]: *rprodrl (lprodrr x) = x*
by(cases *x*) auto

lemma *rprodrl-inverse* [simp]: *lprodrr (rprodrl x) = x*
by(cases *x*) auto

lemma *pred-prod-mono'* [mono]:
pred-prod A B xy —> pred-prod A' B' xy
if $\bigwedge x. A x \rightarrow A' x \bigwedge y. B y \rightarrow B' y$
using that by(cases xy) auto

fun *rel-witness-prod* :: $('a \times 'b) \times ('c \times 'd) \Rightarrow ('a \times 'c) \times ('b \times 'd)$ **where**
rel-witness-prod ((a, b), (c, d)) = ((a, c), (b, d))

1.4 Sums

lemma *islE*:
assumes *isl x*
obtains *l* **where** *x = Inl l*
using *assms* **by**(cases *x*) auto

lemma *Inl-in-Plus* [simp]: *Inl x ∈ A <+> B ↔ x ∈ A*
by auto

lemma *Inr-in-Plus* [simp]: *Inr x ∈ A <+> B ↔ x ∈ B*
by auto

lemma *Inl-eq-map-sum-iff*: *Inl x = map-sum f g y ↔ (exists z. y = Inl z ∧ x = f z)*
by(cases *y*) auto

lemma *Inr-eq-map-sum-iff*: *Inr x = map-sum f g y ↔ (exists z. y = Inr z ∧ x = g z)*
by(cases *y*) auto

lemma *inj-on-map-sum* [simp]:
 $\llbracket \text{inj-on } f A; \text{inj-on } g B \rrbracket \implies \text{inj-on} (\text{map-sum } f g) (A <+> B)$
proof(rule *inj-onI*, goal-cases)
case *(1 x y)*
then show ?case **by**(cases *x*; cases *y*; auto simp add: *inj-on-def*)
qed

lemma *inv-into-map-sum*:
inv-into (A <+> B) (map-sum f g) x = map-sum (inv-into A f) (inv-into B g) x
if $x \in f ' A <+> g ' B$ inj-on f A inj-on g B
using that by(cases rule: PlusE[consumes 1])(auto simp add: inv-into-f-eq f-inv-into-f)

```

fun rsuml :: ('a + 'b) + 'c  $\Rightarrow$  'a + ('b + 'c) where
  rsuml (Inl (Inl a)) = Inl a
  | rsuml (Inl (Inr b)) = Inr (Inl b)
  | rsuml (Inr c) = Inr (Inr c)

fun lsumr :: 'a + ('b + 'c)  $\Rightarrow$  ('a + 'b) + 'c where
  lsumr (Inl a) = Inl (Inl a)
  | lsumr (Inr (Inl b)) = Inl (Inr b)
  | lsumr (Inr (Inr c)) = Inr c

lemma rsuml-lsumr [simp]: rsuml (lsumr x) = x
  by(cases x rule: lsumr.cases) simp-all

lemma lsumr-rsuml [simp]: lsumr (rsuml x) = x
  by(cases x rule: rsuml.cases) simp-all

```

1.5 Option

declare is-none-bind [simp]

lemma case-option-collapse: case-option x ($\lambda_. x$) y = x
by(simp split: option.split)

lemma indicator-single-Some: indicator {Some x} (Some y) = indicator {x} y
by(simp split: split-indicator)

1.5.1 Predicator and relator

lemma option-pred-mono-strong:
 $\llbracket \text{pred-option } P x; \bigwedge a. \llbracket a \in \text{set-option } x; P a \rrbracket \implies P' a \rrbracket \implies \text{pred-option } P' x$
by(fact option.pred-mono-strong)

lemma option-pred-map [simp]: pred-option P (map-option f x) = pred-option (P \circ f) x
by(fact option.pred-map)

lemma option-pred-o-map [simp]: pred-option P \circ map-option f = pred-option (P \circ f)
by(simp add: fun-eq-iff)

lemma option-pred-bind [simp]: pred-option P (Option.bind x f) = pred-option (pred-option P \circ f) x
by(simp add: pred-option-def)

lemma pred-option-conj [simp]:
 $\text{pred-option } (\lambda x. P x \wedge Q x) = (\lambda x. \text{pred-option } P x \wedge \text{pred-option } Q x)$
by(auto simp add: pred-option-def)

lemma pred-option-top [simp]:
 $\text{pred-option } (\lambda_. \text{True}) = (\lambda_. \text{True})$

```

by(fact option.pred-True)

lemma rel-option-restrict-relpI [intro?]:
  ⟦ rel-option R x y; pred-option P x; pred-option Q y ⟧ ==> rel-option (R ∣ P ⊗ Q) x y
  by(erule option.rel-mono-strong) simp

lemma rel-option-restrict-relpE [elim?]:
  assumes rel-option (R ∣ P ⊗ Q) x y
  obtains rel-option R x y pred-option P x pred-option Q y
proof
  show rel-option R x y using assms by(auto elim!: option.rel-mono-strong)
  have pred-option (Domainp (R ∣ P ⊗ Q)) x using assms by(fold option.Domainp-rel)
  blast
  then show pred-option P x by(rule option-pred-mono-strong)(blast dest!: re-
strict-relp-DomainpD)
  have pred-option (Domainp (R ∣ P ⊗ Q)-1-1) y using assms
  by(fold option.Domainp-rel)(auto simp only: option.rel-conversep Domainp-conversep)
  then show pred-option Q y by(rule option-pred-mono-strong)(auto dest!: re-
strict-relp-DomainpD)
qed

lemma rel-option-restrict-relp-iff:
  rel-option (R ∣ P ⊗ Q) x y ↔ rel-option R x y ∧ pred-option P x ∧ pred-option
Q y
  by(blast intro: rel-option-restrict-relpI elim: rel-option-restrict-relpE)

lemma option-rel-map-restrict-relp:
  shows option-rel-map-restrict-relp1:
    rel-option (R ∣ P ⊗ Q) (map-option f x) = rel-option (R ∘ f ∣ P ∘ f ⊗ Q) x
  and option-rel-map-restrict-relp2:
    rel-option (R ∣ P ⊗ Q) x (map-option g y) = rel-option ((λx. R x ∘ g) ∣ P ⊗ Q
  ∘ g) x y
  by(simp-all add: option.rel-map restrict-relp-def fun-eq-iff)

fun rel-witness-option :: 'a option × 'b option ⇒ ('a × 'b) option where
  rel-witness-option (Some x, Some y) = Some (x, y)
  | rel-witness-option (None, None) = None
  | rel-witness-option - = None — Just to make the definition complete

lemma rel-witness-option:
  shows set-rel-witness-option: ⟦ rel-option A x y; (a, b) ∈ set-option (rel-witness-option
(x, y)) ⟧ ==> A a b
  and map1-rel-witness-option: rel-option A x y ==> map-option fst (rel-witness-option
(x, y)) = x
  and map2-rel-witness-option: rel-option A x y ==> map-option snd (rel-witness-option
(x, y)) = y
  by(cases (x, y) rule: rel-witness-option.cases; simp; fail)+
```

```

lemma rel-witness-option1:
  assumes rel-option A x y
  shows rel-option ( $\lambda a (a', b). a = a' \wedge A a' b$ ) x (rel-witness-option (x, y))
  using map1-rel-witness-option[OF assms, symmetric]
  unfolding option.rel-eq[symmetric] option.rel-map
  by(rule option.rel-mono-strong)(auto intro: set-rel-witness-option[OF assms])

lemma rel-witness-option2:
  assumes rel-option A x y
  shows rel-option ( $\lambda(a, b') b. b = b' \wedge A a b'$ ) (rel-witness-option (x, y)) y
  using map2-rel-witness-option[OF assms]
  unfolding option.rel-eq[symmetric] option.rel-map
  by(rule option.rel-mono-strong)(auto intro: set-rel-witness-option[OF assms])

```

1.5.2 Orders on option

abbreviation le-option :: '*a* option \Rightarrow '*a* option \Rightarrow bool
where le-option \equiv ord-option (=)

```

lemma le-option-bind-mono:
   $\llbracket \text{le-option } x \text{ } y; \bigwedge a. a \in \text{set-option } x \implies \text{le-option } (f a) (g a) \rrbracket$ 
   $\implies \text{le-option } (\text{Option.bind } x f) (\text{Option.bind } y g)$ 
  by(cases x) simp-all

```

```

lemma le-option-refl [simp]: le-option x x
  by(cases x) simp-all

```

```

lemma le-option-conv-option-ord: le-option = option-ord
  by(auto simp add: fun-eq-iff flat-ord-def elim: ord-option.cases)

```

```

definition pcr-Some :: ('a  $\Rightarrow$  'b  $\Rightarrow$  bool)  $\Rightarrow$  'a  $\Rightarrow$  'b option  $\Rightarrow$  bool
where pcr-Some R x y  $\longleftrightarrow$  ( $\exists z. y = \text{Some } z \wedge R x z$ )

```

```

lemma pcr-Some-simps [simp]: pcr-Some R x (Some y)  $\longleftrightarrow$  R x y
  by(simp add: pcr-Some-def)

```

```

lemma pcr-SomeE [cases pred]:
  assumes pcr-Some R x y
  obtains (pcr-Some) z where y = Some z R x z
  using assms by(auto simp add: pcr-Some-def)

```

1.5.3 Filter for option

```

fun filter-option :: ('a  $\Rightarrow$  bool)  $\Rightarrow$  'a option  $\Rightarrow$  'a option
where
  filter-option P None = None
  | filter-option P (Some x) = (if P x then Some x else None)

```

```

lemma set-filter-option [simp]: set-option (filter-option P x) = {y ∈ set-option x.
P y}
by(cases x) auto

lemma filter-map-option: filter-option P (map-option f x) = map-option f (filter-option
(P ∘ f) x)
by(cases x) simp-all

lemma is-none-filter-option [simp]: Option.is-none (filter-option P x) ←→ Op-
tion.is-none x ∨ ¬ P (the x)
by(cases x) simp-all

lemma filter-option-eq-Some-iff [simp]: filter-option P x = Some y ←→ x = Some
y ∧ P y
by(cases x) auto

lemma Some-eq-filter-option-iff [simp]: Some y = filter-option P x ←→ x = Some
y ∧ P y
by(cases x) auto

lemma filter-conv-bind-option: filter-option P x = Option.bind x (λy. if P y then
Some y else None)
by(cases x) simp-all

```

1.5.4 Assert for option

```

primrec assert-option :: bool ⇒ unit option where
  assert-option True = Some ()
| assert-option False = None

lemma set-assert-option-conv: set-option (assert-option b) = (if b then {()} else
{})
by(simp)

lemma in-set-assert-option [simp]: x ∈ set-option (assert-option b) ←→ b
by(cases b) simp-all

```

1.5.5 Join on options

```

definition join-option :: 'a option option ⇒ 'a option
where join-option x = (case x of Some y ⇒ y | None ⇒ None)

```

```

simp-of-case join-simps [simp, code]: join-option-def

lemma set-join-option [simp]: set-option (join-option x) = ⋃ (set-option ` set-option
x)
by(cases x)(simp-all)

lemma in-set-join-option: x ∈ set-option (join-option (Some (Some x)))
by simp

```

```

lemma map-join-option: map-option f (join-option x) = join-option (map-option
  (map-option f) x)
by(cases x) simp-all

lemma bind-conv-join-option: Option.bind x f = join-option (map-option f x)
by(cases x) simp-all

lemma join-conv-bind-option: join-option x = Option.bind x id
by(cases x) simp-all

lemma join-option-parametric [transfer-rule]:
  includes lifting-syntax shows
    (rel-option (rel-option R) ==> rel-option R) join-option join-option
  unfolding join-conv-bind-option[abs-def] by transfer-prover

lemma join-option-eq-Some [simp]: join-option x = Some y <=> x = Some (Some
  y)
by(cases x) simp-all

lemma Some-eq-join-option [simp]: Some y = join-option x <=> x = Some (Some
  y)
by(cases x) auto

lemma join-option-eq-None: join-option x = None <=> x = None ∨ x = Some
  None
by(cases x) simp-all

lemma None-eq-join-option: None = join-option x <=> x = None ∨ x = Some
  None
by(cases x) auto

```

1.5.6 Zip on options

```

function zip-option :: 'a option ⇒ 'b option ⇒ ('a × 'b) option
where
  zip-option (Some x) (Some y) = Some (x, y)
  | zip-option - None = None
  | zip-option None - = None
  by pat-completeness auto
  termination by lexicographic-order

lemma zip-option-eq-Some-iff [iff]:
  zip-option x y = Some (a, b) <=> x = Some a ∧ y = Some b
by(cases (x, y) rule: zip-option.cases) simp-all

lemma set-zip-option [simp]:
  set-option (zip-option x y) = set-option x × set-option y
by auto

```

```

lemma zip-map-option1: zip-option (map-option f x) y = map-option (apfst f)
(zip-option x y)
by(cases (x, y) rule: zip-option.cases) simp-all

lemma zip-map-option2: zip-option x (map-option g y) = map-option (apsnd g)
(zip-option x y)
by(cases (x, y) rule: zip-option.cases) simp-all

lemma map-zip-option:
map-option (map-prod fg) (zip-option x y) = zip-option (map-option f x) (map-option
g y)
by(simp add: zip-map-option1 zip-map-option2 option.map-comp apfst-def apsnd-def
o-def prod.map-comp)

lemma zip-conv-bind-option:
zip-option x y = Option.bind x (λx. Option.bind y (λy. Some (x, y)))
by(cases (x, y) rule: zip-option.cases) simp-all

lemma zip-option-parametric [transfer-rule]:
includes lifting-syntax shows
(rel-option R ==> rel-option Q ==> rel-option (rel-prod R Q)) zip-option
zip-option
unfolding zip-conv-bind-option[abs-def] by transfer-prover

lemma rel-option-eqI [simp]: rel-option (=) x x
by(simp add: option.rel-eq)

```

1.5.7 Binary supremum on '*a* option

```

primrec sup-option :: 'a option ⇒ 'a option ⇒ 'a option
where
  sup-option x None = x
  | sup-option x (Some y) = (Some y)

```

```

lemma sup-option-idem [simp]: sup-option x x = x
by(cases x) simp-all

```

```

lemma sup-option-assoc: sup-option (sup-option x y) z = sup-option x (sup-option
y z)
by(cases z) simp-all

```

```

lemma sup-option-left-idem: sup-option x (sup-option x y) = sup-option x y
by(rewrite sup-option-assoc[symmetric])(simp)

```

```

lemmas sup-option-ai = sup-option-assoc sup-option-left-idem

```

```

lemma sup-option-None [simp]: sup-option None y = y
by(cases y) simp-all

```

1.5.8 Restriction on '*a* option'

```

primrec (transfer) enforce-option :: ('a ⇒ bool) ⇒ 'a option ⇒ 'a option where
  enforce-option P (Some x) = (if P x then Some x else None)
  | enforce-option P None = None

lemma set-enforce-option [simp]: set-option (enforce-option P x) = {a ∈ set-option
x. P a}
  by(cases x) auto

lemma enforce-map-option: enforce-option P (map-option f x) = map-option f
(enforce-option (P ∘ f) x)
  by(cases x) auto

lemma enforce-bind-option [simp]:
enforce-option P (Option.bind x f) = Option.bind x (enforce-option P ∘ f)
  by(cases x) auto

lemma enforce-option-alt-def:
enforce-option P x = Option.bind x (λa. Option.bind (assert-option (P a)) (λ- :: unit. Some a))
  by(cases x) simp-all

lemma enforce-option-eq-None-iff [simp]:
enforce-option P x = None ↔ (∀ a. x = Some a → ¬ P a)
  by(cases x) auto

lemma enforce-option-eq-Some-iff [simp]:
enforce-option P x = Some y ↔ x = Some y ∧ P y
  by(cases x) auto

lemma Some-eq-enforce-option-iff [simp]:
Some y = enforce-option P x ↔ x = Some y ∧ P y
  by(cases x) auto

lemma enforce-option-top [simp]: enforce-option ⊤ = id
  by(rule ext; rename-tac x; case-tac x; simp)

lemma enforce-option-K-True [simp]: enforce-option (λ-. True) x = x
  by(cases x) simp-all

lemma enforce-option-bot [simp]: enforce-option ⊥ = (λ-. None)
  by(simp add: fun-eq-iff)

lemma enforce-option-K-False [simp]: enforce-option (λ-. False) x = None
  by simp

lemma enforce-pred-id-option: pred-option P x ==> enforce-option P x = x
  by(cases x) auto

```

1.5.9 Maps

```

lemma map-add-apply:  $(m1 ++ m2) x = \text{sup-option } (m1 x) (m2 x)$ 
by(simp add: map-add-def split: option.split)

lemma map-le-map-upd2:  $\llbracket f \subseteq_m g; \bigwedge y'. f x = \text{Some } y' \implies y' = y \rrbracket \implies f \subseteq_m g(x \mapsto y)$ 
by(cases x ∈ dom f)(auto simp add: map-le-def Ball-def)

lemma eq-None-iff-not-dom:  $f x = \text{None} \longleftrightarrow x \notin \text{dom } f$ 
by auto

lemma card-ran-le-dom: finite (dom m)  $\implies$  card (ran m)  $\leq$  card (dom m)
by(simp add: ran-alt-def card-image-le)

lemma dom-subset-ran-iff:
assumes finite (ran m)
shows dom m  $\subseteq$  ran m  $\longleftrightarrow$  dom m = ran m
proof
assume le: dom m  $\subseteq$  ran m
then have card (dom m)  $\leq$  card (ran m) by(simp add: card-mono assms)
moreover have card (ran m)  $\leq$  card (dom m) by(simp add: finite-subset[OF le assms] card-ran-le-dom)
ultimately show dom m = ran m using card-subset-eq[OF assms le] by simp
qed simp

```

We need a polymorphic constant for the empty map such that *transfer-prover* can use a custom transfer rule for *Map.empty*

definition Map-empty **where** [simp]: *Map.empty* \equiv *Map.empty*

```

lemma map-le-Some1D:  $\llbracket m \subseteq_m m'; m x = \text{Some } y \rrbracket \implies m' x = \text{Some } y$ 
by(auto simp add: map-le-def Ball-def)

```

```

lemma map-le-fun-upd2:  $\llbracket f \subseteq_m g; x \notin \text{dom } f \rrbracket \implies f \subseteq_m g(x := y)$ 
by(auto simp add: map-le-def)

```

```

lemma map-eqI:  $\forall x \in \text{dom } m \cup \text{dom } m'. m x = m' x \implies m = m'$ 
by(auto simp add: fun-eq-iff domIff intro: option.expand)

```

1.6 Countable

```

lemma countable-lfp:
assumes step:  $\bigwedge Y. \text{countable } Y \implies \text{countable } (F Y)$ 
and cont: Order-Continuity.sup-continuous F
shows countable (lfp F)
by(subst sup-continuous-lfp[OF cont])(simp add: countable-funpow[OF step])

lemma countable-lfp-apply:
assumes step:  $\bigwedge Y x. (\bigwedge x. \text{countable } (Y x)) \implies \text{countable } (F Y x)$ 
and cont: Order-Continuity.sup-continuous F

```

```

shows countable (lfp F x)
proof -
{ fix n
  have ⋀x. countable ((F ▷ n) bot x)
    by(induct n)(auto intro: step)
  thus ?thesis using cont by(simp add: sup-continuous-lfp)
qed

```

1.7 Extended naturals

```

lemma idiff-enat-eq-enat-iff: x - enat n = enat m ↔ (∃k. x = enat k ∧ k - n
= m)
  by (cases x) simp-all

```

```

lemma eSuc-SUP: A ≠ {} ⇒ eSuc (⊔ (f ` A)) = (⊔ x∈A. eSuc (f x))
  by (subst eSuc-Sup) (simp-all add: image-comp)

```

```

lemma ereal-of-enat-1: ereal-of-enat 1 = ereal 1
  by (simp add: one-enat-def)

```

```

lemma ennreal-real-conv-ennreal-of-enat: ennreal (real n) = ennreal-of-enat n
  by (simp add: ennreal-of-nat-eq-real-of-nat)

```

```

lemma enat-add-sub-same2: b ≠ ∞ ⇒ a + b - b = (a :: enat)
  by (cases a; cases b) simp-all

```

```

lemma enat-sub-add: y ≤ x ⇒ x - y + z = x + z - (y :: enat)
  by (cases x; cases y; cases z) simp-all

```

```

lemma SUP-enat-eq-0-iff [simp]: ⊔ (f ` A) = (0 :: enat) ↔ (∀x∈A. f x = 0)
  by (simp add: bot-enat-def [symmetric])

```

```

lemma SUP-enat-add-left:
  assumes I ≠ {}
  shows (SUP i∈I. f i + c :: enat) = (SUP i∈I. f i) + c (is ?lhs = ?rhs)
  proof(cases c, rule antisym)
    case (enat n)
    show ?lhs ≤ ?rhs by(auto 4 3 intro: SUP-upper intro: SUP-least)
    have (SUP i∈I. f i) ≤ ?lhs - c using enat
      by(auto simp add: enat-add-sub-same2 intro!: SUP-least order-trans[OF - SUP-upper[THEN
enat-minus-mono1]])
    note add-right-mono[OF this, of c]
    also have ... + c ≤ ?lhs using assms
      by(subst enat-sub-add)(auto intro: SUP-upper2 simp add: enat-add-sub-same2
enat)
    finally show ?rhs ≤ ?lhs .
  qed(simp add: assms SUP-constant)

```

```

lemma SUP-enat-add-right:

```

```

assumes I ≠ {}
shows (SUP i∈I. c + f i :: enat) = c + (SUP i∈I. f i)
using SUP-enat-add-left[OF assms, of f c]
by(simp add: add.commute)

lemma iadd-SUP-le-iff: n + (SUP x∈A. f x :: enat) ≤ y ↔ (if A = {} then n
≤ y else ∀ x∈A. n + f x ≤ y)
by(simp add: bot-enat-def SUP-enat-add-right[symmetric] SUP-le-iff)

lemma SUP-iadd-le-iff: (SUP x∈A. f x :: enat) + n ≤ y ↔ (if A = {} then n
≤ y else ∀ x∈A. f x + n ≤ y)
using iadd-SUP-le-iff[of n f A y] by(simp add: add.commute)

```

1.8 Extended non-negative reals

```

lemma (in finite-measure) nn-integral-indicator-neq-infty:
f -` A ∈ sets M ==> (ʃ+ x. indicator A (f x) ∂M) ≠ ∞
unfolding ennreal-indicator[symmetric]
apply(rule integrableD)
apply(rule integrable-const-bound[where B=1])
apply(simp-all add: indicator-vimage[symmetric])
done

lemma (in finite-measure) nn-integral-indicator-neq-top:
f -` A ∈ sets M ==> (ʃ+ x. indicator A (f x) ∂M) ≠ ⊤
by(drule nn-integral-indicator-neq-infty) simp

lemma nn-integral-indicator-map:
assumes [measurable]: f ∈ measurable M N {x∈space N. P x} ∈ sets N
shows (ʃ+ x. indicator {x∈space N. P x} (f x) ∂M) = emeasure M {x∈space
M. P (f x)}
using assms(1)[THEN measurable-space]
by (subst nn-integral-indicator[symmetric])
(auto intro!: nn-integral-cong split: split-indicator simp del: nn-integral-indicator)

```

1.9 BNF material

```

lemma transp-rel-fun: [| is-equality Q; transp R |] ==> transp (rel-fun Q R)
by(rule transpI)(auto dest: transpD rel-funD simp add: is-equality-def)

lemma rel-fun-inf: inf (rel-fun Q R) (rel-fun Q R') = rel-fun Q (inf R R')
by(rule antisym)(auto elim: rel-fun-mono dest: rel-funD)

lemma reflp-fun1: includes lifting-syntax shows [| is-equality A; reflp B |] ==>
reflp (A ==> B)
by(simp add: reflp-def rel-fun-def is-equality-def)

lemma type-copy-id': type-definition (λx. x) (λx. x) UNIV
by unfold-locales simp-all

```

```

lemma type-copy-id: type-definition id id UNIV
by(simp add: id-def type-copy-id')

lemma GrpE [cases pred]:
  assumes BNF-Def.Grp A f x y
  obtains (Grp) y = f x x ∈ A
  using assms
by(simp add: Grp-def)

lemma rel-fun-Grp-copy-Abs:
  includes lifting-syntax
  assumes type-definition Rep Abs A
  shows rel-fun (BNF-Def.Grp A Abs) (BNF-Def.Grp B g) = BNF-Def.Grp {f.
  f ` A ⊆ B} (Rep --> g)
  proof –
    interpret type-definition Rep Abs A by fact
    show ?thesis
      by(auto simp add: rel-fun-def Grp-def fun-eq-iff Abs-inverse Rep-inverse intro!: Rep)
  qed

lemma rel-set-Grp:
  rel-set (BNF-Def.Grp A f) = BNF-Def.Grp {B. B ⊆ A} (image f)
  by(auto simp add: rel-set-def BNF-Def.Grp-def fun-eq-iff)

lemma rel-set-comp-Grp:
  rel-set R = (BNF-Def.Grp {x. x ⊆ {(x, y). R x y}} ((` fst))-1 OO BNF-Def.Grp
  {x. x ⊆ {(x, y). R x y}} ((` snd))
  apply(auto 4 4 del: ext intro!: ext simp add: BNF-Def.Grp-def intro!: rel-setI intro:
  rev-bexI)
  apply(simp add: relcompp-apply)
  subgoal for A B
    apply(rule exI[where x=A × B ∩ {(x, y). R x y}])
    apply(auto 4 3 dest: rel-setD1 rel-setD2 intro: rev-image-eqI)
    done
  done

lemma Domainp-Grp: Domainp (BNF-Def.Grp A f) = (λx. x ∈ A)
by(auto simp add: fun-eq-iff Grp-def)

lemma pred-prod-conj [simp]:
  shows pred-prod-conj1: ⋀P Q R. pred-prod (λx. P x ∧ Q x) R = (λx. pred-prod
  P R x ∧ pred-prod Q R x)
  and pred-prod-conj2: ⋀P Q R. pred-prod P (λx. Q x ∧ R x) = (λx. pred-prod P
  Q x ∧ pred-prod P R x)
by(auto simp add: pred-prod.simps)

lemma pred-sum-conj [simp]:
  shows pred-sum-conj1: ⋀P Q R. pred-sum (λx. P x ∧ Q x) R = (λx. pred-sum

```

P R x \wedge pred-sum Q R x)
and pred-sum-conj2: $\bigwedge P Q R. \text{pred-sum } P (\lambda x. Q x \wedge R x) = (\lambda x. \text{pred-sum } P Q x \wedge \text{pred-sum } P R x)$
by(auto simp add: pred-sum.simps fun-eq-iff)

lemma pred-list-conj [simp]: $\text{list-all } (\lambda x. P x \wedge Q x) = (\lambda x. \text{list-all } P x \wedge \text{list-all } Q x)$
by(auto simp add: list-all-def)

lemma pred-prod-top [simp]: $\text{pred-prod } (\lambda -. \text{True}) (\lambda -. \text{True}) = (\lambda -. \text{True})$
by(simp add: pred-prod.simps fun-eq-iff)

lemma rel-fun-conversep: includes lifting-syntax shows
 $(A^{\wedge\wedge\wedge\wedge\wedge\wedge} \implies B^{\wedge\wedge\wedge\wedge\wedge\wedge}) = (A \implies B)^{\wedge\wedge\wedge\wedge\wedge\wedge}$
by(auto simp add: rel-fun-def fun-eq-iff)

lemma left-unique-Grp [iff]: $\text{left-unique } (\text{BNF-Def.Grp } A f) \longleftrightarrow \text{inj-on } f A$
unfolding Grp-def left-unique-def by(auto simp add: inj-on-def)

lemma right-unique-Grp [simp, intro!]: $\text{right-unique } (\text{BNF-Def.Grp } A f)$
by(simp add: Grp-def right-unique-def)

lemma bi-unique-Grp [iff]: $\text{bi-unique } (\text{BNF-Def.Grp } A f) \longleftrightarrow \text{inj-on } f A$
by(simp add: bi-unique-alt-def)

lemma left-total-Grp [iff]: $\text{left-total } (\text{BNF-Def.Grp } A f) \longleftrightarrow A = \text{UNIV}$
by(auto simp add: left-total-def Grp-def)

lemma right-total-Grp [iff]: $\text{right-total } (\text{BNF-Def.Grp } A f) \longleftrightarrow f ` A = \text{UNIV}$
by(auto simp add: right-total-def BNF-Def.Grp-def image-def)

lemma bi-total-Grp [iff]: $\text{bi-total } (\text{BNF-Def.Grp } A f) \longleftrightarrow A = \text{UNIV} \wedge \text{surj } f$
by(auto simp add: bi-total-alt-def)

lemma left-unique-vimage2p [simp]: $\llbracket \text{left-unique } P; \text{inj } f \rrbracket \implies \text{left-unique } (\text{BNF-Def.vimage2p } f g P)$
unfolding vimage2p-Grp by(intro left-unique-OO) simp-all

lemma right-unique-vimage2p [simp]: $\llbracket \text{right-unique } P; \text{inj } g \rrbracket \implies \text{right-unique } (\text{BNF-Def.vimage2p } f g P)$
unfolding vimage2p-Grp by(intro right-unique-OO) simp-all

lemma bi-unique-vimage2p [simp]:

$\llbracket \text{bi-unique } P; \text{inj } f; \text{inj } g \rrbracket \implies \text{bi-unique } (\text{BNF-Def.vimage2p } f g P)$
unfolding *bi-unique-alt-def* **by** *simp*

lemma *left-total-vimage2p* [*simp*]:
 $\llbracket \text{left-total } P; \text{surj } g \rrbracket \implies \text{left-total } (\text{BNF-Def.vimage2p } f g P)$
unfolding *vimage2p-Grp* **by**(*intro left-total-OO*) *simp-all*

lemma *right-total-vimage2p* [*simp*]:
 $\llbracket \text{right-total } P; \text{surj } f \rrbracket \implies \text{right-total } (\text{BNF-Def.vimage2p } f g P)$
unfolding *vimage2p-Grp* **by**(*intro right-total-OO*) *simp-all*

lemma *bi-total-vimage2p* [*simp*]:
 $\llbracket \text{bi-total } P; \text{surj } f; \text{surj } g \rrbracket \implies \text{bi-total } (\text{BNF-Def.vimage2p } f g P)$
unfolding *bi-total-alt-def* **by** *simp*

lemma *vimage2p-eq* [*simp*]:
 $\text{inj } f \implies \text{BNF-Def.vimage2p } f f (=) = (=)$
by(*auto simp add: vimage2p-def fun-eq-iff inj-on-def*)

lemma *vimage2p-conversep*: $\text{BNF-Def.vimage2p } f g R^{\wedge--1} = (\text{BNF-Def.vimage2p } g f R)^{\wedge--1}$
by(*simp add: vimage2p-def fun-eq-iff*)

lemma *rel-fun-refl*: $\llbracket A \leq (=); (=) \leq B \rrbracket \implies (=) \leq \text{rel-fun } A B$
by(*subst fun.rel-eq[symmetric])(rule fun-mono*)

lemma *rel-fun-mono-strong*:
 $\llbracket \text{rel-fun } A B f g; A' \leq A; \bigwedge x y. \llbracket x \in f ` \{x. \text{Domainp } A' x\}; y \in g ` \{x. \text{Rangep } A' x\}; B x y \rrbracket \implies B' x y \rrbracket \implies \text{rel-fun } A' B' f g$
by(*auto simp add: rel-fun-def*) *fastforce*

lemma *rel-fun-refl-strong*:
assumes $A \leq (=) \bigwedge x. x \in f ` \{x. \text{Domainp } A x\} \implies B x x$
shows *rel-fun A B ff*
proof –
have *rel-fun (=) (=) ff* **by**(*simp add: rel-fun-eq*)
then show ?thesis **using** *assms(1)*
by(*rule rel-fun-mono-strong*) (*auto intro: assms(2)*)
qed

lemma *Grp-iff*: $\text{BNF-Def.Grp } B g x y \longleftrightarrow y = g x \wedge x \in B$ **by**(*simp add: Grp-def*)

lemma *Rangep-Grp*: $\text{Rangep } (\text{BNF-Def.Grp } A f) = (\lambda x. x \in f ` A)$
by(*auto simp add: fun-eq-iff Grp-iff*)

lemma *rel-fun-Grp*:
 $\text{rel-fun } (\text{BNF-Def.Grp UNIV } h)^{-1-1} (\text{BNF-Def.Grp } A g) = \text{BNF-Def.Grp } \{f. f ` \text{range } h \subseteq A\} (\text{map-fun } h g)$
by(*auto simp add: rel-fun-def fun-eq-iff Grp-iff*)

1.10 Transfer and lifting material

```

context includes lifting-syntax begin

lemma monotone-parametric [transfer-rule]:
  assumes [transfer-rule]: bi-total A
  shows ((A ==> A ==> (=)) ==> (B ==> B ==> (=)) ==> (A
  ==> B) ==> (=)) monotone monotone
  unfolding monotone-def[abs-def] by transfer-prover

lemma fun-ord-parametric [transfer-rule]:
  assumes [transfer-rule]: bi-total C
  shows ((A ==> B ==> (=)) ==> (C ==> A) ==> (C ==> B)
  ==> (=)) fun-ord fun-ord
  unfolding fun-ord-def[abs-def] by transfer-prover

lemma Plus-parametric [transfer-rule]:
  (rel-set A ==> rel-set B ==> rel-set (rel-sum A B)) (<+>) (<+>)
  unfolding Plus-def[abs-def] by transfer-prover

lemma pred-fun-parametric [transfer-rule]:
  assumes [transfer-rule]: bi-total A
  shows ((A ==> (=)) ==> (B ==> (=)) ==> (A ==> B) ==>
  (=)) pred-fun pred-fun
  unfolding pred-fun-def by(transfer-prover)

lemma rel-fun-eq-OO: ((=) ==> A) OO ((=) ==> B) = ((=) ==> A) OO
B)
by(clarsimp simp add: rel-fun-def fun-eq-iff relcompp.simps) metis

end

lemma Quotient-set-rel-eq:
  includes lifting-syntax
  assumes Quotient R Abs Rep T
  shows (rel-set T ==> rel-set T ==> (=)) (rel-set R) (=)
proof(rule rel-funI iffI)+
  fix A B C D
  assume AB: rel-set T A B and CD: rel-set T C D
  have *:  $\bigwedge x y. R x y = (T x (\text{Abs } x) \wedge T y (\text{Abs } y) \wedge \text{Abs } x = \text{Abs } y)$ 
 $\bigwedge a b. T a b \implies \text{Abs } a = b$ 
  using assms unfolding Quotient-alt-def by simp-all

  { assume [simp]: B = D
    thus rel-set R A C
      by(auto 4 4 intro!: rel-setI dest: rel-setD1[OF AB, simplified] rel-setD2[OF
AB, simplified] rel-setD2[OF CD] rel-setD1[OF CD] simp add: * elim!: rev-bexI)
  next
    assume AC: rel-set R A C
    show B = D
  }

```

```

apply safe
  apply(drule rel-setD2[OF AB], erule bxE)
  apply(drule rel-setD1[OF AC], erule bxE)
  apply(drule rel-setD1[OF CD], erule bxE)
  apply(simp add: *)
  apply(drule rel-setD2[OF CD], erule bxE)
  apply(drule rel-setD2[OF AC], erule bxE)
  apply(drule rel-setD1[OF AB], erule bxE)
  apply(simp add: *)
done
}

qed

lemma Domainp-eq: Domainp (=) = ( $\lambda$ - True)
by(simp add: Domainp.simps fun-eq-iff)

lemma rel-fun-eq-onpI: eq-onp (pred-fun P Q) f g  $\implies$  rel-fun (eq-onp P) (eq-onp Q) f g
by(auto simp add: eq-onp-def rel-fun-def)

lemma bi-unique-eq-onp: bi-unique (eq-onp P)
by(simp add: bi-unique-def eq-onp-def)

lemma rel-fun-eq-conversep: includes lifting-syntax shows  $(A^{-1-1} \implies (=)) = (A \implies (=))^{-1-1}$ 
by(auto simp add: fun-eq-iff rel-fun-def)

lemma rel-fun-comp:
 $\bigwedge f g h. \text{rel-fun } A B (f \circ g) h = \text{rel-fun } A (\lambda x. B (f x)) g h$ 
 $\bigwedge f g h. \text{rel-fun } A B f (g \circ h) = \text{rel-fun } A (\lambda x y. B x (g y)) f h$ 
by(auto simp add: rel-fun-def)

lemma rel-fun-map-fun1: rel-fun (BNF-Def.Grp UNIV h) $^{-1-1}$  A f g  $\implies$  rel-fun (=) A (map-fun h id) g
by(auto simp add: rel-fun-def Grp-def)

lemma map-fun2-id: map-fun f g x = g  $\circ$  map-fun f id x
by(simp add: map-fun-def o-assoc)

lemma map-fun-id2-in: map-fun g h f = map-fun g id (h  $\circ$  f)
by(simp add: map-fun-def)

lemma Domainp-rel-fun-le: Domainp (rel-fun A B)  $\leq$  pred-fun (Domainp A) (Domainp B)
by(auto dest: rel-funD)

definition rel-witness-fun :: ('a  $\Rightarrow$  'b  $\Rightarrow$  bool)  $\Rightarrow$  ('b  $\Rightarrow$  'c  $\Rightarrow$  bool)  $\Rightarrow$  ('a  $\Rightarrow$  'd)  $\times$  ('c  $\Rightarrow$  'e)  $\Rightarrow$  ('b  $\Rightarrow$  'd  $\times$  'e) where
  rel-witness-fun A A' = ( $\lambda(f, g)$  b. (f (THE a. A a b), g (THE c. A' b c)))

```

```

lemma
  assumes fg: rel-fun (A OO A') B f g
    and A: left-unique A right-total A
    and A': right-unique A' left-total A'
  shows rel-witness-fun1: rel-fun A ( $\lambda x (x', y). x = x' \wedge B x' y$ ) f (rel-witness-fun
A A' (f, g))
    and rel-witness-fun2: rel-fun A' ( $\lambda(x, y'). y = y' \wedge B x y'$ ) (rel-witness-fun
A A' (f, g)) g
  proof (goal-cases)
    case 1
      have A x y  $\implies$  f x = f (THE a. A a y)  $\wedge$  B (f (THE a. A a y)) (g (The (A'
y))) for x y
        by(rule left-totalE[OF A'(2)]; erule meta-allE[of - y]; erule exE; frule (1)
fg[THEN rel-funD, OF relcomppI])
        (auto intro!: arg-cong[where f=f] arg-cong[where f=g] rel-funI the-equality
the-equality[symmetric] dest: left-uniqueD[OF A(1)] right-uniqueD[OF A'(1)] elim!:
arg-cong2[where f=B, THEN iffD2, rotated -1])
    with 1 show ?case by(clarsimp simp add: rel-fun-def rel-witness-fun-def)
  next
    case 2
      have A' x y  $\implies$  g y = g (The (A' x))  $\wedge$  B (f (THE a. A a x)) (g (The (A' x)))
      for x y
        by(rule right-totalE[OF A(2), of x]; frule (1) fg[THEN rel-funD, OF relcomppI])
        (auto intro!: arg-cong[where f=f] arg-cong[where f=g] rel-funI the-equality
the-equality[symmetric] dest: left-uniqueD[OF A(1)] right-uniqueD[OF A'(1)] elim!:
arg-cong2[where f=B, THEN iffD2, rotated -1])
    with 2 show ?case by(clarsimp simp add: rel-fun-def rel-witness-fun-def)
  qed

```

```

lemma rel-witness-fun-eq [simp]: rel-witness-fun (=) (=) (f, g) = ( $\lambda x. (f x, g x)$ )
  by(simp add: rel-witness-fun-def)

```

1.11 Arithmetic

```

lemma abs-diff-triangle-ineq2:  $|a - b| \leq |a - c| + |c - b|$ 

```

```

  by(rule order-trans[OF - abs-diff-triangle-ineq]) simp

```

```

lemma (in ordered-ab-semigroup-add) add-left-mono-trans:

```

```

   $\llbracket x \leq a + b; b \leq c \rrbracket \implies x \leq a + c$ 
  by(erule order-trans)(rule add-left-mono)

```

```

lemma of-nat-le-one-cancel-iff [simp]:

```

```

  fixes n :: nat shows real n  $\leq 1 \longleftrightarrow n \leq 1$ 
  by linarith

```

```

lemma (in linordered-semidom) mult-right-le:  $c \leq 1 \implies 0 \leq a \implies c * a \leq a$ 
by(subst mult.commute)(rule mult-left-le)

```

1.12 Chain-complete partial orders and partial-function

```

lemma fun-ordD: fun-ord ord f g  $\implies$  ord (f x) (g x)
by(simp add: fun-ord-def)

```

```

lemma parallel-fixp-induct-strong:
assumes ccpo1: class ccpo luba orda (mk-less orda)
and ccpo2: class ccpo lubb ordb (mk-less ordb)
and adm: ccpo.admissible (prod-lub luba lubb) (rel-prod orda ordb) ( $\lambda x. P (fst x)$ )
(snd x))
and f: monotone orda orda f
and g: monotone ordb ordb g
and bot: P (luba {}) (lubb {})
and step:  $\bigwedge x y. [ ord a x (ccpo.fixp luba orda f); ord b y (ccpo.fixp lubb ordb g); P$ 
 $x y ] \implies P (f x) (g y)$ 
shows P (ccpo.fixp luba orda f) (ccpo.fixp lubb ordb g)
proof -
let ?P= $\lambda x y. ord a x (ccpo.fixp luba orda f) \wedge ord b y (ccpo.fixp lubb ordb g) \wedge P$ 
x y
show ?thesis using ccpo1 ccpo2 - f g
proof(rule parallel-fixp-induct[where P=?P, THEN conjunct2, THEN conjunct2])
note [cont-intro] =
admissible-leI[OF ccpo1] ccpo.mcont-const[OF ccpo1]
admissible-leI[OF ccpo2] ccpo.mcont-const[OF ccpo2]
show ccpo.admissible (prod-lub luba lubb) (rel-prod orda ordb) ( $\lambda x y. ?P (fst xy)$ )
(snd xy))
using adm by simp
show ?P (luba {}) (lubb {}) using bot by(auto intro: ccpo ccpo-Sup-least ccpo1
ccpo2 chain-empty)
show ?P (f x) (g y) if ?P x y for x y using that
apply(subst ccpo.fixp-unfold[OF ccpo1 f])
apply(subst ccpo.fixp-unfold[OF ccpo2 g])
apply(auto intro: step monotoneD[OF f] monotoneD[OF g])
done
qed
qed

```

```

lemma parallel-fixp-induct-strong-uc:
assumes a: partial-function-definitions orda luba
and b: partial-function-definitions ordb lubb
and F:  $\bigwedge x. monotone (fun-ord orda) ord a (\lambda f. U1 (F (C1 f)) x)$ 
and G:  $\bigwedge y. monotone (fun-ord ordb) ord b (\lambda g. U2 (G (C2 g)) y)$ 
and eq1: f  $\equiv$  C1 (ccpo.fixp (fun-lub luba) (fun-ord orda) ( $\lambda f. U1 (F (C1 f))$ ))
and eq2: g  $\equiv$  C2 (ccpo.fixp (fun-lub lubb) (fun-ord ordb) ( $\lambda g. U2 (G (C2 g))$ ))
and inverse:  $\bigwedge f. U1 (C1 f) = f$ 
and inverse2:  $\bigwedge g. U2 (C2 g) = g$ 

```

```

and adm: ccpo.admissible (prod-lub (fun-lub luba) (fun-lub lubb)) (rel-prod (fun-ord
orda) (fun-ord ordb)) ( $\lambda x. P (fst x)$ ) ( $snd x$ ))
and bot:  $P (\lambda \_. luba \{\})$  ( $\lambda \_. lubb \{\}$ )
and step:  $\bigwedge f' g'. [\bigwedge x. ord_a (U1 f' x) (U1 f x); \bigwedge y. ord_b (U2 g' y) (U2 g y); P$ 
( $U1 f'$ ) ( $U2 g'$ ) ] \implies P (U1 (F f')) (U2 (G g'))
```

shows $P (U1 f)$ ($U2 g$)

apply(unfold eq1 eq2 inverse inverse2)

apply(rule parallel-fixp-induct-strong[OF partial-function-definitions ccpo[OF a] par-
tial-function-definitions ccpo[OF b] adm])

using F **apply**(simp add: monotone-def fun-ord-def)

using G **apply**(simp add: monotone-def fun-ord-def)

apply(simp add: fun-lub-def bot)

apply(rule step; simp add: inverse inverse2 eq1 eq2 fun-ordD)

done

lemmas parallel-fixp-induct-strong-1-1 = parallel-fixp-induct-strong-uc[
 $of \dots \lambda x. x - \lambda x. x \lambda x. x - \lambda x. x,$
 $OF \dots refl refl]$

lemmas parallel-fixp-induct-strong-2-2 = parallel-fixp-induct-strong-uc[
 $of \dots case\text{-}prod - curry case\text{-}prod - curry,$
where $P = \lambda f g. P (curry f) (curry g)$,
unfolded case-prod-curry curry-case-prod curry-K,
 $OF \dots refl refl,$
split-format (complete), unfolded prod.case]
for P

lemma fixp-induct-option': — Stronger induction rule

fixes $F :: 'c \Rightarrow 'c$ **and**

$U :: 'c \Rightarrow 'b \Rightarrow 'a$ option **and**

$C :: ('b \Rightarrow 'a option) \Rightarrow 'c$ **and**

$P :: 'b \Rightarrow 'a \Rightarrow bool$

assumes mono: $\bigwedge x. mono\text{-}option (\lambda f. U (F (C f)) x)$

assumes eq: $f \equiv C (ccpo.\text{fixp} (\text{fun-lub} (\text{flat-lub } None)) (\text{fun-ord option-ord}) (\lambda f.$
 $U (F (C f))))$

assumes inverse2: $\bigwedge f. U (C f) = f$

assumes step: $\bigwedge g x y. [\bigwedge x y. U g x = Some y \implies P x y; U (F g) x = Some$
 $y; \bigwedge x. option\text{-}ord (U g x) (U f x)] \implies P x y$

assumes defined: $U f x = Some y$

shows $P x y$

using step defined option.fixp-strong-induct-uc[of $U F C$, OF mono eq inverse2
option-admissible, of P]

unfolding fun-lub-def flat-lub-def fun-ord-def

by(simp (no-asm-use)) blast

declaration <*Partial-Function.init option'* @{term option.fixp-fun}
@{term option.mono-body} @{thm option.fixp-rule-uc} @{thm option.fixp-induct-uc}>
(SOME @{thm fixp-induct-option'})>

```

lemma bot-fun-least [simp]: ( $\lambda\_. \text{bot} :: 'a :: \text{order-bot}$ )  $\leq x$ 
by(fold bot-fun-def) simp

lemma fun-ord-conv-rel-fun: fun-ord = rel-fun (=)
by(simp add: fun-ord-def fun-eq-iff rel-fun-def)

inductive finite-chains :: ('a  $\Rightarrow$  'a  $\Rightarrow$  bool)  $\Rightarrow$  bool
  for ord
  where finite-chainsI: ( $\bigwedge Y. \text{Complete-Partial-Order.chain } \text{ord } Y \implies \text{finite } Y$ )
     $\implies \text{finite-chains } \text{ord}$ 

lemma finite-chainsD:  $\llbracket \text{finite-chains } \text{ord}; \text{Complete-Partial-Order.chain } \text{ord } Y \rrbracket$ 
 $\implies \text{finite } Y$ 
by(rule finite-chains.cases)

lemma finite-chains-flat-ord [simp, intro!]: finite-chains (flat-ord x)
proof
  fix Y
  assume chain: Complete-Partial-Order.chain (flat-ord x) Y
  show finite Y
  proof(cases  $\exists y \in Y. y \neq x$ )
    case True
    then obtain y where y:  $y \in Y$  and yx:  $y \neq x$  by blast
    hence  $Y \subseteq \{x, y\}$  by(auto dest: chainD[OF chain] simp add: flat-ord-def)
    thus ?thesis by(rule finite-subset) simp
  next
    case False
    hence  $Y \subseteq \{x\}$  by auto
    thus ?thesis by(rule finite-subset) simp
  qed
qed

lemma mcont-finite-chains:
  assumes finite: finite-chains ord
  and mono: monotone ord ord' f
  and ccpo: class ccpo lub ord (mk-less ord)
  and ccpo': class ccpo lub' ord' (mk-less ord')
  shows mcont lub ord lub' ord' f
proof(intro mcontI contI)
  fix Y
  assume chain: Complete-Partial-Order.chain ord Y and Y:  $Y \neq \{\}$ 
  from finite chain have fin: finite Y by(rule finite-chainsD)
  from ccpo chain fin Y have lub: lub Y  $\in Y$  by(rule ccpo.in-chain-finite)

  interpret ccpo': ccpo lub' ord' mk-less ord' by(rule ccpo')

  have chain': Complete-Partial-Order.chain ord' (f ` Y) using chain
    by(rule chain-imageI)(rule monotoneD[OF mono])

```

```

have ord' (f (lub Y)) (lub' (f ` Y)) using chain'
  by(rule ccpo'.ccpo-Sup-upper)(simp add: lub)
moreover
  have ord' (lub' (f ` Y)) (f (lub Y)) using chain'
    by(rule ccpo'.ccpo-Sup-least)(blast intro: monotoneD[OF mono] ccpo.ccpo-Sup-upper[OF
      ccpo chain])
  ultimately show f (lub Y) = lub' (f ` Y) by(rule ccpo'.order.antisym)
qed(fact mono)

lemma rel-fun-curry: includes lifting-syntax shows
  ( $A \implies B \implies C$ )  $f g \longleftrightarrow (\text{rel-prod } A B \implies C)$  (case-prod  $f$ ) (case-prod
   $g$ )
  by(auto simp add: rel-fun-def)

lemma (in ccpo) Sup-image-mono:
  assumes ccpo: class ccpo luba orda lessa
  and mono: monotone orda ( $\leq$ ) f
  and chain: Complete-Partial-Order.chain orda A
  and A  $\neq \{\}$ 
  shows Sup (f ` A)  $\leq$  (f (luba A))
  proof(rule ccpo-Sup-least)
    from chain show Complete-Partial-Order.chain ( $\leq$ ) (f ` A)
      by(rule chain-imageI)(rule monotoneD[OF mono])
    fix x
    assume  $x \in f`A$ 
    then obtain y where  $x = f y$   $y \in A$  by blast
    from  $\langle y \in A \rangle$  have orda y (luba A) by(rule ccpo.ccpo-Sup-upper[OF ccpo chain])
    hence  $f y \leq f (luba A)$  by(rule monotoneD[OF mono])
    thus  $x \leq f (luba A)$  using  $\langle x = f y \rangle$  by simp
  qed

lemma (in ccpo) admissible-le-mono:
  assumes monotone ( $\leq$ ) ( $\leq$ ) f
  shows ccpo.admissible Sup ( $\leq$ ) ( $\lambda x. x \leq f x$ )
  proof(rule ccpo.admissibleI)
    fix Y
    assume chain: Complete-Partial-Order.chain ( $\leq$ ) Y
    and Y: Y  $\neq \{\}$ 
    and le [rule-format]:  $\forall x \in Y. x \leq f x$ 
    have  $\bigsqcup Y \leq \bigsqcup (f`Y)$  using chain
      by(rule ccpo-Sup-least)(rule order-trans[OF le]; blast intro!: ccpo-Sup-upper
        chain-imageI[OF chain] intro: monotoneD[OF assms])
    also have ...  $\leq f (\bigsqcup Y)$ 
      by(rule Sup-image-mono[OF - assms chain Y, where lessa=( $<$ )]) unfold-locales
    finally show  $\bigsqcup Y \leq \dots$  .
  qed

lemma (in ccpo) fixp-induct-strong2:
  assumes adm: ccpo.admissible Sup ( $\leq$ ) P

```

```

and mono: monotone ( $\leq$ ) ( $\leq$ ) f
and bot: P ( $\sqcup \{\}$ )
and step:  $\bigwedge x. [\![ x \leq \text{ccpo-class.fixp } f; x \leq f x; P x ]\!] \implies P (f x)$ 
shows P (ccpo-class.fixp f)
proof(rule fixp-strong-induct[where P= $\lambda x. x \leq f x \wedge P x$ , THEN conjunct2])
show ccpo.admissible Sup ( $\leq$ ) ( $\lambda x. x \leq f x \wedge P x$ )
using admissible-le-mono adm by(rule admissible-conj)(rule mono)
next
show  $\sqcup \{\} \leq f (\sqcup \{\}) \wedge P (\sqcup \{\})$ 
by(auto simp add: bot chain-empty intro: ccpo-Sup-least)
next
fix x
assume x  $\leq$  ccpo-class.fixp f x  $\leq f x \wedge P x$ 
thus f x  $\leq f (f x) \wedge P (f x)$ 
by(auto dest: monotoneD[OF mono] intro: step)
qed(rule mono)

context partial-function-definitions begin

lemma fixp-induct-strong2-uc:
fixes F :: ' $c \Rightarrow c$ 
and U :: ' $c \Rightarrow b \Rightarrow a$ 
and C :: (' $b \Rightarrow a$ )  $\Rightarrow c$ 
and P :: (' $b \Rightarrow a$ )  $\Rightarrow \text{bool}$ 
assumes mono:  $\bigwedge x. \text{mono-body } (\lambda f. U (F (C f))) x$ 
and eq: f  $\equiv$  C (fixp-fun ( $\lambda f. U (F (C f)))$ )
and inverse:  $\bigwedge f. U (C f) = f$ 
and adm: ccpo.admissible lub-fun le-fun P
and bot: P ( $\lambda -. lub \{\}$ )
and step:  $\bigwedge f'. [\![ \text{le-fun } (U f') (U f); \text{le-fun } (U f') (U (F f')); P (U f') ]\!] \implies P (U (F f'))$ 
shows P (U f)
unfolding eq inverse
apply (rule ccpo.fixp-induct-strong2[OF ccpo adm])
apply (insert mono, auto simp: monotone-def fun-ord-def bot fun-lub-def)[2]
apply (rule-tac f'5=C x in step)
apply (simp-all add: inverse eq)
done

end

lemmas parallel-fixp-induct-2-4 = parallel-fixp-induct-uc[
  of - - - case-prod - curry  $\lambda f. \text{case-prod } (\text{case-prod } (\text{case-prod } f)) - \lambda f. \text{curry } (\text{curry } f)$ ,
  where P= $\lambda f g. P (\text{curry } f) (\text{curry } (\text{curry } (\text{curry } g)))$ ,
  unfolded case-prod-curry curry-case-prod curry-K,
  OF - - - - refl refl]
for P

```

```

lemma (in ccpo) fixp-greatest:
  assumes f: monotone ( $\leq$ ) ( $\leq$ ) f
    and ge:  $\bigwedge y. f y \leq y \implies x \leq y$ 
  shows  $x \leq \text{ccpo.fixp Sup} (\leq) f$ 
  by(rule ge)(simp add: fixp-unfold[OF f, symmetric])

lemma fixp-rolling:
  assumes class ccpo lub1 leq1 (mk-less leq1)
    and class ccpo lub2 leq2 (mk-less leq2)
    and f: monotone leq1 leq2 f
    and g: monotone leq2 leq1 g
  shows ccpo.fixp lub1 leq1 ( $\lambda x. g (f x)$ ) = g (ccpo.fixp lub2 leq2 ( $\lambda x. f (g x)$ ))
  proof -
    interpret c1: ccpo lub1 leq1 mk-less leq1 by fact
    interpret c2: ccpo lub2 leq2 mk-less leq2 by fact
    show ?thesis
    proof(rule c1.order.antisym)
      have fg: monotone leq2 leq2 ( $\lambda x. f (g x)$ ) using f g by(rule monotone2monotone) simp-all
      have gf: monotone leq1 leq1 ( $\lambda x. g (f x)$ ) using g f by(rule monotone2monotone) simp-all
      show leq1 (c1.fixp ( $\lambda x. g (f x)$ )) (g (c2.fixp ( $\lambda x. f (g x)$ ))) using gf
        by(rule c1.fixp-lowerbound)(subst (2) c2.fixp-unfold[OF fg], simp)
      show leq1 (g (c2.fixp ( $\lambda x. f (g x)$ ))) (c1.fixp ( $\lambda x. g (f x)$ )) using gf
      proof(rule c1.fixp-greatest)
        fix u
        assume u: leq1 (g (f u)) u
        have leq1 (g (c2.fixp ( $\lambda x. f (g x)$ ))) (g (f u))
          by(intro monotoneD[OF g] c2.fixp-lowerbound[OF fg] monotoneD[OF f u])
        then show leq1 (g (c2.fixp ( $\lambda x. f (g x)$ ))) u using u by(rule c1.order-trans)
      qed
    qed
  qed

```

```

lemma fixp-lfp-parametric-eq:
  includes lifting-syntax
  assumes f:  $\bigwedge x. \text{lfp.mono-body} (\lambda f. F f x)$ 
  and g:  $\bigwedge x. \text{lfp.mono-body} (\lambda f. G f x)$ 
  and param:  $((A ==> (=)) ==> A ==> (=)) F G$ 
  shows  $(A ==> (=)) (\text{lfp.fixp-fun } F) (\text{lfp.fixp-fun } G)$ 
  using f g
  proof(rule parallel-fixp-induct-1-1[OF complete-lattice-partial-function-definitions
    complete-lattice-partial-function-definitions -- reflexive reflexive, where P=(A ==> (=)))
    show ccpo.admissible (prod-lub lfp.lub-fun lfp.lub-fun) (rel-prod lfp.le-fun lfp.le-fun)
       $(\lambda x. (A ==> (=)) (fst x) (snd x))$ 
      unfolding rel-fun-def by simp
      show  $(A ==> (=)) (\lambda \_. \bigsqcup \{\}) (\lambda \_. \bigsqcup \{\})$  by auto
      show  $(A ==> (=)) (F f) (G g)$  if  $(A ==> (=)) f g$  for f g

```

```

using that by(rule rel-funD[OF param])
qed

lemma mono2mono-map-option[THEN option.mono2mono, simp, cont-intro]:
  shows monotone-map-option: monotone option-ord option-ord (map-option f)
by(rule monotoneI)(auto simp add: flat-ord-def)

lemma mcont2mcont-map-option[THEN option.mcont2mcont, simp, cont-intro]:
  shows mcont-map-option: mcont (flat-lub None) option-ord (flat-lub None) option-ord (map-option f)
by(rule mcont-finite-chains[OF - - flat-interpretation[THEN ccpo] flat-interpretation[THEN ccpo]]) simp-all

lemma mono2mono-set-option [THEN lfp.mono2mono]:
  shows monotone-set-option: monotone option-ord ( $\subseteq$ ) set-option
by(auto intro!: monotoneI simp add: option-ord-Some1-iff)

lemma mcont2mcont-set-option [THEN lfp.mcont2mcont, cont-intro, simp]:
  shows mcont-set-option: mcont (flat-lub None) option-ord Union ( $\subseteq$ ) set-option
by(rule mcont-finite-chains)(simp-all add: monotone-set-option ccpo option.partial-function-definitions-axioms)

lemma eadd-gfp-partial-function-mono [partial-function-mono]:
   $\llbracket \text{monotone } (\text{fun-ord } (\geq)) (\geq) f; \text{monotone } (\text{fun-ord } (\geq)) (\geq) g \rrbracket$ 
   $\implies \text{monotone } (\text{fun-ord } (\geq)) (\geq) (\lambda x. f x + g x :: \text{enat})$ 
by(rule mono2mono-gfp-eadd)

lemma map-option-mono [partial-function-mono]:
  mono-option B  $\implies$  mono-option  $(\lambda f. \text{map-option } g (B f))$ 
unfolding map-conv-bind-option by(rule bind-mono) simp-all

```

1.13 Folding over finite sets

```

lemma (in comp-fun-commute) fold-invariant-remove [consumes 1, case-names start step]:
  assumes fin: finite A
  and start: I A s
  and step:  $\bigwedge x s A'. \llbracket x \in A'; I A' s; A' \subseteq A \rrbracket \implies I (A' - \{x\}) (f x s)$ 
  shows I {} (Finite-Set.fold f s A)
proof -
  define A' where A' == A
  with fin start have finite A' A' ⊆ A I A' s by simp-all
  thus I {} (Finite-Set.fold f s A')
  proof(induction arbitrary: s)
    case empty thus ?case by simp
  next
    case (insert x A')
    let ?A' = insert x A'
    have x ∈ ?A' I ?A' s ?A' ⊆ A using insert by auto
    hence I (?A' - {x}) (f x s) by(rule step)

```

```

with insert have  $A' \subseteq A$   $I A' (f x s)$  by auto
hence  $I \{\} (Finite-Set.fold f (f x s) A')$  by(rule insert.IH)
thus ?case using insert by(simp add: fold-insert2 del: fold-insert)
qed
qed

lemma (in comp-fun-commute) fold-invariant-insert [consumes 1, case-names start step]:
assumes fin: finite A
and start:  $I \{\} s$ 
and step:  $\bigwedge x s A'. \llbracket I A' s; x \notin A'; x \in A; A' \subseteq A \rrbracket \implies I (insert x A') (f x s)$ 
shows  $I A (Finite-Set.fold f s A)$ 
using fin start
proof(rule fold-invariant-remove[where  $I = \lambda A'. I (A - A')$  and  $A = A$  and  $s = s$ , simplified])
fix x s A'
assume *:  $x \in A' I (A - A') s A' \subseteq A$ 
hence  $x \notin A - A' x \in A A - A' \subseteq A$  by auto
with  $\langle I (A - A') s \rangle$  have  $I (insert x (A - A')) (f x s)$  by(rule step)
also have  $insert x (A - A') = A - (A' - \{x\})$  using * by auto
finally show  $I \dots (f x s)$ .
qed

lemma (in comp-fun-idem) fold-set-union:
assumes finite A finite B
shows Finite-Set.fold f z ( $A \cup B$ ) = Finite-Set.fold f (Finite-Set.fold f z A) B
using assms(2,1) by induction simp-all

```

1.14 Parametrisation of transfer rules

```

attribute-setup transfer-parametric = <
Attrib.thm >> (fn parametricity =>
Thm.rule-attribute [] (fn context => fn transfer-rule =>
let
  val ctxt = Context.proof-of context;
  val thm' = Lifting-Term.parametrize-transfer-rule ctxt transfer-rule
  in Lifting-Def.generate-parametric-transfer-rule ctxt thm' parametricity
end
handle Lifting-Term.MERGE-TRANSFER-REL msg => error (Pretty.string-of
msg)
))
> combine transfer rule with parametricity theorem

```

1.15 Lists

```

lemma nth-eq-tlI:  $xs ! n = z \implies (x \# xs) ! Suc n = z$ 
by simp

```

```

lemma list-all2-append':

```

```

length us = length vs  $\implies$  list-all2 P (xs @ us) (ys @ vs)  $\longleftrightarrow$  list-all2 P xs ys  $\wedge$ 
list-all2 P us vs
by(auto simp add: list-all2-append1 list-all2-append2 dest: list-all2-lengthD)

definition disjointp :: ('a  $\Rightarrow$  bool) list  $\Rightarrow$  bool
where disjointp xs = disjoint-family-on ( $\lambda n$ . {x. (xs ! n) x}) {0.. $<$ length xs}

lemma disjointpD:
[disjointp xs; (xs ! n) x; (xs ! m) x; n  $<$  length xs; m  $<$  length xs]  $\implies$  n = m
by(auto 4 3 simp add: disjointp-def disjoint-family-on-def)

lemma disjointpD':
[disjointp xs; P x; Q x; xs ! n = P; xs ! m = Q; n  $<$  length xs; m  $<$  length xs]
 $\implies$  n = m
by(auto 4 3 simp add: disjointp-def disjoint-family-on-def)

lemma wf-strict-prefix: wfP strict-prefix
proof -
  from wf have wf (inv-image {(x, y). x < y} length) by(rule wf-inv-image)
  moreover have {(x, y). strict-prefix x y}  $\subseteq$  inv-image {(x, y). x < y} length
  by(auto intro: prefix-length-less)
  ultimately show ?thesis unfolding wfp-def by(rule wf-subset)
qed

lemma strict-prefix-setD:
strict-prefix xs ys  $\implies$  set xs  $\subseteq$  set ys
by(auto simp add: strict-prefix-def prefix-def)

```

1.15.1 List of a given length

```

inductive-set nlists :: 'a set  $\Rightarrow$  nat  $\Rightarrow$  'a list set for A n
where nlists: [set xs  $\subseteq$  A; length xs = n]  $\implies$  xs  $\in$  nlists A n
hide-fact (open) nlists

lemma nlists-alt-def: nlists A n = {xs. set xs  $\subseteq$  A  $\wedge$  length xs = n}
by(auto simp add: nlists.simps)

lemma nlists-empty: nlists {} n = (if n = 0 then [] else {})
by(auto simp add: nlists-alt-def)

lemma nlists-empty-gt0 [simp]: n > 0  $\implies$  nlists {} n = {}
by(simp add: nlists-empty)

lemma nlists-0 [simp]: nlists A 0 = []
by(auto simp add: nlists-alt-def)

lemma Cons-in-nlists-Suc [simp]: x # xs  $\in$  nlists A (Suc n)  $\longleftrightarrow$  x  $\in$  A  $\wedge$  xs  $\in$ 
nlists A n
by(simp add: nlists-alt-def)

```

```

lemma Nil-in-nlists [simp]:  $[] \in nlists A$   $n \longleftrightarrow n = 0$ 
by(auto simp add: nlists-alt-def)

lemma Cons-in-nlists-iff:  $x \# xs \in nlists A$   $n \longleftrightarrow (\exists n'. n = Suc n' \wedge x \in A \wedge$ 
 $xs \in nlists A n')$ 
by(cases n) simp-all

lemma in-nlists-Suc-iff:  $xs \in nlists A$  ( $Suc n$ )  $\longleftrightarrow (\exists x xs'. xs = x \# xs' \wedge x \in$ 
 $A \wedge xs' \in nlists A n')$ 
by(cases xs) simp-all

lemma nlists-Suc:  $nlists A (Suc n) = (\bigcup_{x \in A} (\#) x ` nlists A n)$ 
by(auto 4 3 simp add: in-nlists-Suc-iff intro: rev-image-eqI)

lemma replicate-in-nlists [simp, intro]:  $x \in A \implies replicate n x \in nlists A n$ 
by(simp add: nlists-alt-def set-replicate-conv-if)

lemma nlists-eq-empty-iff [simp]:  $nlists A n = \{\} \longleftrightarrow n > 0 \wedge A = \{\}$ 
using replicate-in-nlists by(cases n)(auto)

lemma finite-nlists [simp]:  $finite A \implies finite (nlists A n)$ 
by(induction n)(simp-all add: nlists-Suc)

lemma finite-nlistsD:
  assumes finite (nlists A n)
  shows finite A  $\vee n = 0$ 
proof(rule disjCI)
  assume  $n \neq 0$ 
  then obtain n' where  $n: n = Suc n'$  by(cases n)auto
  then have A = hd ` nlists A n by(auto 4 4 simp add: nlists-Suc intro: rev-image-eqI
  rev-bexI)
  also have finite ... using assms ..
  finally show finite A .
qed

lemma finite-nlists-iff:  $finite (nlists A n) \longleftrightarrow finite A \vee n = 0$ 
by(auto dest: finite-nlistsD)

lemma card-nlists:  $card (nlists A n) = card A \wedge n$ 
proof(induction n)
  case (Suc n)
  have card ( $\bigcup_{x \in A} (\#) x ` nlists A n$ ) = card A * card (nlists A n)
  proof(cases finite A)
    case True
    then show ?thesis by(subst card-UN-disjoint)(auto simp add: card-image
    inj-on-def)
    next
    case False

```

```

hence  $\neg \text{finite}(\bigcup_{x \in A} (\#) x \cdot \text{nlists } A \ n)$ 
  unfolding nlists-Suc[symmetric] by(auto dest: finite-nlistsD)
  then show ?thesis using False by simp
qed
then show ?case using Suc.IH by(simp add: nlists-Suc)
qed simp

```

lemma in-nlists-UNIV: $xs \in \text{nlists } UNIV \ n \longleftrightarrow \text{length } xs = n$
by(simp add: nlists-alt-def)

1.15.2 The type of lists of a given length

```

typedef (overloaded) ('a, 'b :: len0) nlist = nlists (UNIV :: 'a set) (LENGTH('b))
proof
  show replicate LENGTH('b) undefined ∈ ?nlist by simp
qed

```

setup-lifting type-definition-nlist

1.16 Streams and infinite lists

```

primrec sprefix :: 'a list ⇒ 'a stream ⇒ bool where
  sprefix-Nil: sprefix [] ys = True
  | sprefix-Cons: sprefix (x # xs) ys  $\longleftrightarrow$  x = shd ys ∧ sprefix xs (stl ys)

```

lemma sprefix-append: $\text{sprefix } (xs @ ys) \ zs \longleftrightarrow \text{sprefix } xs \ zs \wedge \text{sprefix } ys \ (\text{sdrop } (\text{length } xs) \ zs)$
by(induct xs arbitrary: zs) simp-all

lemma sprefix-stake-same [simp]: $\text{sprefix } (\text{stake } n \ xs) \ xs$
by(induct n arbitrary: xs) simp-all

lemma sprefix-same-imp-eq:
 assumes sprefix xs ys sprefix xs' ys
 and length xs = length xs'
 shows xs = xs'
 using assms(3,1,2) by(induct arbitrary: ys rule: list-induct2) auto

lemma sprefix-shift-same [simp]:
 sprefix xs (xs @- ys)
 by(induct xs) simp-all

lemma sprefix-shift [simp]:
 $\text{length } xs \leq \text{length } ys \implies \text{sprefix } xs \ (ys @- zs) \longleftrightarrow \text{prefix } xs \ ys$
by(induct xs arbitrary: ys)(simp, case-tac ys, auto)

lemma prefixeq-stake2 [simp]: $\text{prefix } xs \ (\text{stake } n \ ys) \longleftrightarrow \text{length } xs \leq n \wedge \text{sprefix } xs \ ys$
proof(induct xs arbitrary: n ys)
 case (Cons x xs)

```
thus ?case by(cases ys n rule: stream.exhaust[case-product nat.exhaust]) auto
qed simp
```

```
lemma tlength-eq-infinity-iff: tlength xs = ∞ ↔ ¬ tfinite xs
including tlist.lifting by transfer(simp add: llength-eq-infty-conv-lfinite)
```

1.17 Monomorphic monads

```
context includes lifting-syntax begin
local-setup <Local-Theory.map-background-naming (Name-Space.mandatory-path
monad)>

definition bind-option :: 'm fail ⇒ 'a option ⇒ ('a ⇒ 'm) ⇒ 'm
where bind-option fail x f = (case x of None ⇒ fail | Some x' ⇒ f x') for fail

simp-of-case bind-option-simps [simp]: bind-option-def

lemma bind-option-parametric [transfer-rule]:
(M ==> rel-option B ==> (B ==> M) ==> M) bind-option bind-option
unfolding bind-option-def by transfer-prover

lemma bind-option-K:
  ⋀ monad. (x = None ⇒ m = fail) ⇒ bind-option fail x (λ_. m) = m
by(cases x) simp-all

end

lemma bind-option-option [simp]: monad.bind-option None = Option.bind
by(simp add: monad.bind-option-def fun-eq-iff split: option.split)

context monad-fail-hom begin

lemma hom-bind-option: h (monad.bind-option fail1 x f) = monad.bind-option
fail2 x (h ∘ f)
by(cases x)(simp-all)

end

lemma bind-option-set [simp]: monad.bind-option fail-set = (λx f. ⋃ (f ` set-option
x))
by(simp add: monad.bind-option-def fun-eq-iff split: option.split)

lemma run-bind-option-stateT [simp]:
  ⋀ more. run-state (monad.bind-option (fail-state fail) x f) s =
  monad.bind-option fail x (λy. run-state (f y) s)
by(cases x) simp-all

lemma run-bind-option-envT [simp]:
  ⋀ more. run-env (monad.bind-option (fail-env fail) x f) s =
```

```

monad.bind-option fail x (λy. run-env (f y) s)
by(cases x) simp-all

```

1.18 Measures

```
declare sets-restrict-space-count-space [measurable-cong]
```

```

lemma (in sigma-algebra) sets-Collect-countable-Ex1:
  ( $\bigwedge i :: 'i :: \text{countable}. \{x \in \Omega. P i x\} \in M \implies \{x \in \Omega. \exists !i. P i x\} \in M$ )
  using sets-Collect-countable-Ex1[of UNIV :: 'i set] by simp

```

```

lemma pred-countable-Ex1 [measurable]:
  ( $\bigwedge i :: - :: \text{countable}. \text{Measurable.pred } M (\lambda x. P i x)$ )
   $\implies \text{Measurable.pred } M (\lambda x. \exists !i. P i x)$ 
  unfolding pred-def by(rule sets.sets-Collect-countable-Ex1)

```

```

lemma measurable-snd-count-space [measurable]:
   $A \subseteq B \implies \text{snd} \in \text{measurable}(M1 \otimes_M \text{count-space } A) (\text{count-space } B)$ 
  by(auto simp add: measurable-def space-pair-measure snd-vimage-eq-Times Times-Int-Times)

```

```

lemma integrable-scale-measure [simp]:
  [ $\int \text{integrable } M f; r < \top$ ]  $\implies \text{integrable}(\text{scale-measure } r M) f$ 
  for f :: 'a  $\Rightarrow$  'b::{banach, second-countable-topology}
  by(auto simp add: integrable-iff-bounded nn-integral-scale-measure ennreal-mult-less-top)

```

```

lemma integral-scale-measure:
  assumes integrable M f r <  $\top$ 
  shows  $\int \text{integral}^L(\text{scale-measure } r M) f = \text{enn2real } r * \int \text{integral}^L M f$ 
  using assms
  apply(subst (1 2) real-lebesgue-integral-def)
  apply(simp-all add: nn-integral-scale-measure ennreal-enn2real-if)
  by(auto simp add: ennreal-mult-less-top ennreal-less-top-iff ennreal-mult-eq-top-iff
    enn2real-mult right-diff-distrib elim!: integrableE)

```

1.19 Sequence space

```

lemma (in sequence-space) nn-integral-split:
  assumes f[measurable]:  $f \in \text{borel-measurable } S$ 
  shows  $(\int^+ \omega. f \omega \partial S) = (\int^+ \omega. (\int^+ \omega'. f (\text{comb-seq } i \omega \omega') \partial S) \partial S)$ 
  by (subst PiM-comb-seq[symmetric, where i=i])
  (simp add: nn-integral-distr P.nn-integral-fst[symmetric])

```

```

lemma (in sequence-space) prob-Collect-split:
  assumes f[measurable]:  $\{x \in \text{space } S. P x\} \in \text{sets } S$ 
  shows  $\mathcal{P}(x \text{ in } S. P x) = (\int^+ x. \mathcal{P}(x' \text{ in } S. P (\text{comb-seq } i x x')) \partial S)$ 
  proof -
    have  $\mathcal{P}(x \text{ in } S. P x) = (\int^+ x. (\int^+ x'. \text{indicator } \{x \in \text{space } S. P x\} (\text{comb-seq } i x x') \partial S) \partial S)$ 
    using nn-integral-split[of indicator {x in space S. P x}] by (auto simp: emeasure-eq-measure)
  
```

```

also have ... = ( $\int^+ x. \mathcal{P}(x' \text{ in } S. P (\text{comb-seq } i x x')) \partial S$ )
  by (intro nn-integral-cong) (auto simp: emeasure-eq-measure nn-integral-indicator-map)
  finally show ?thesis .
qed

```

1.20 Probability mass functions

```

lemma measure-map-pmf-conv-distr:
  measure-pmf (map-pmf f p) = distr (measure-pmf p) (count-space UNIV) f
  by(fact map-pmf-rep-eq)

```

```
abbreviation coin-pmf :: bool pmf where coin-pmf ≡ pmf-of-set UNIV
```

The rule *rel-pmf-bindI* is not complete as a program logic.

```

notepad begin
  define x where x = pmf-of-set {True, False}
  define y where y = pmf-of-set {True, False}
  define f where f x = pmf-of-set {True, False} for x :: bool
  define g :: bool ⇒ bool pmf where g = return-pmf
  define P :: bool ⇒ bool ⇒ bool where P = (=)
  have rel-pmf P (bind-pmf x f) (bind-pmf y g)
    by(simp add: P-def f-def[abs-def] g-def bind-return-pmf' pmf.rel-eq)
  have ¬ R x y if ∃x y. R x y ⇒ rel-pmf P (f x) (g y) for R x y
    — Only the empty relation satisfies rel-pmf-bindI's second premise.
proof
  assume R x y
  hence rel-pmf P (f x) (g y) by(rule that)
  thus False by(auto simp add: P-def f-def g-def rel-pmf-return-pmf2)
qed
define R where R x y = False for x y :: bool
have ¬ rel-pmf R x y by(simp add: R-def[abs-def])
end

lemma pred-rel-pmf:
  [pred-pmf P p; rel-pmf R p q] ⇒ pred-pmf (Imagep R P) q
unfolding pred-pmf-def
apply(rule ballI)
apply(unfold rel-pmf.simps)
apply(erule exE conjE)+
apply(hypsubst)
apply(unfold pmf.set-map)
apply(erule imageE, hypsubst)
apply(drule bspec)
apply(erule rev-image-eqI)
apply(rule refl)
apply(erule Imagep.intros)
apply(erule allE)+
apply(erule mp)
apply(unfold prod.collapse)

```

```

apply assumption
done

lemma pmf-rel-mono':  $\llbracket \text{rel-pmf } P x y; P \leq Q \rrbracket \implies \text{rel-pmf } Q x y$ 
by(drule pmf.rel-mono) (auto)

lemma rel-pmf-eqI [simp]:  $\text{rel-pmf } (=) x x$ 
by(simp add: pmf.rel-eq)

lemma rel-pmf-bind-reflI:

$$\begin{aligned} (\forall x. x \in \text{set-pmf } p \implies \text{rel-pmf } R (f x) (g x)) \\ \implies \text{rel-pmf } R (\text{bind-pmf } p f) (\text{bind-pmf } p g) \end{aligned}$$

by(rule rel-pmf-bindI[where R=λx y. x = y ∧ x ∈ set-pmf p])(auto intro: rel-pmf-reflI)

lemma pmf-pred-mono-strong:
 $\llbracket \text{pred-pmf } P p; \bigwedge a. \llbracket a \in \text{set-pmf } p; P a \rrbracket \implies P' a \rrbracket \implies \text{pred-pmf } P' p$ 
by(simp add: pred-pmf-def)

lemma rel-pmf-restrict-relpI [intro?]:
 $\llbracket \text{rel-pmf } R x y; \text{pred-pmf } P x; \text{pred-pmf } Q y \rrbracket \implies \text{rel-pmf } (R \upharpoonright P \otimes Q) x y$ 
by(erule pmf.rel-mono-strong)(simp add: pred-pmf-def)

lemma rel-pmf-restrict-relpE [elim?]:
assumes rel-pmf  $(R \upharpoonright P \otimes Q) x y$ 
obtains rel-pmf  $R x y$  pred-pmf  $P x$  pred-pmf  $Q y$ 
proof
show rel-pmf  $R x y$  using assms by(auto elim!: pmf.rel-mono-strong)
have pred-pmf  $(\text{Domainp } (R \upharpoonright P \otimes Q)) x$  using assms by(fold pmf.Domainp-rel)
blast
then show pred-pmf  $P x$  by(rule pmf-pred-mono-strong)(blast dest!: restrict-relp-DomainpD)
have pred-pmf  $(\text{Domainp } (R \upharpoonright P \otimes Q)^{-1-1}) y$  using assms
by(fold pmf.Domainp-rel)(auto simp only: pmf.rel-conversep Domainp-conversep)
then show pred-pmf  $Q y$  by(rule pmf-pred-mono-strong)(auto dest!: restrict-relp-DomainpD)
qed

lemma rel-pmf-restrict-relp-iff:
rel-pmf  $(R \upharpoonright P \otimes Q) x y \longleftrightarrow \text{rel-pmf } R x y \wedge \text{pred-pmf } P x \wedge \text{pred-pmf } Q y$ 
by(blast intro: rel-pmf-restrict-relpI elim: rel-pmf-restrict-relpE)

lemma rel-pmf-OO-trans [trans]:
 $\llbracket \text{rel-pmf } R p q; \text{rel-pmf } S q r \rrbracket \implies \text{rel-pmf } (R \text{ OO } S) p r$ 
unfolding pmf.rel-compp by blast

lemma pmf-pred-map [simp]:  $\text{pred-pmf } P (\text{map-pmf } f p) = \text{pred-pmf } (P \circ f) p$ 
by(simp add: pred-pmf-def)

lemma pred-pmf-bind [simp]:  $\text{pred-pmf } P (\text{bind-pmf } p f) = \text{pred-pmf } (\text{pred-pmf } P \circ f) p$ 
by(simp add: pred-pmf-def)

```

lemma *pred-pmf-return* [*simp*]: *pred-pmf P (return-pmf x) = P x*
by(*simp add: pred-pmf-def*)

lemma *pred-pmf-of-set* [*simp*]: $\llbracket \text{finite } A; A \neq \{\} \rrbracket \implies \text{pred-pmf } P (\text{pmf-of-set } A) = \text{Ball } A P$
by(*simp add: pred-pmf-def*)

lemma *pred-pmf-of-multiset* [*simp*]: $M \neq \{\#\} \implies \text{pred-pmf } P (\text{pmf-of-multiset } M) = \text{Ball } (\text{set-mset } M) P$
by(*simp add: pred-pmf-def*)

lemma *pred-pmf-cond* [*simp*]:
 $\text{set-pmf } p \cap A \neq \{\} \implies \text{pred-pmf } P (\text{cond-pmf } p A) = \text{pred-pmf } (\lambda x. x \in A \longrightarrow P x) p$
by(*auto simp add: pred-pmf-def*)

lemma *pred-pmf-pair* [*simp*]:
 $\text{pred-pmf } P (\text{pair-pmf } p q) = \text{pred-pmf } (\lambda x. \text{pred-pmf } (P \circ \text{Pair } x) q) p$
by(*simp add: pred-pmf-def*)

lemma *pred-pmf-join* [*simp*]: *pred-pmf P (join-pmf p) = pred-pmf (pred-pmf P) p*
by(*simp add: pred-pmf-def*)

lemma *pred-pmf-bernoulli* [*simp*]: $\llbracket 0 < p; p < 1 \rrbracket \implies \text{pred-pmf } P (\text{bernoulli-pmf } p) = \text{All } P$
by(*simp add: pred-pmf-def*)

lemma *pred-pmf-geometric* [*simp*]: $\llbracket 0 < p; p < 1 \rrbracket \implies \text{pred-pmf } P (\text{geometric-pmf } p) = \text{All } P$
by(*simp add: pred-pmf-def set-pmf-geometric*)

lemma *pred-pmf-poisson* [*simp*]: $0 < \text{rate} \implies \text{pred-pmf } P (\text{poisson-pmf } \text{rate}) = \text{All } P$
by(*simp add: pred-pmf-def*)

lemma *pmf-rel-map-restrict-relp*:
shows *pmf-rel-map-restrict-relp1*: $\text{rel-pmf } (R \upharpoonright P \otimes Q) (\text{map-pmf } f p) = \text{rel-pmf } (R \circ f \upharpoonright P \circ f \otimes Q) p$
and *pmf-rel-map-restrict-relp2*: $\text{rel-pmf } (R \upharpoonright P \otimes Q) p (\text{map-pmf } g q) = \text{rel-pmf } ((\lambda x. R x \circ g) \upharpoonright P \otimes Q \circ g) p q$
by(*simp-all add: pmf.rel-map restrict-relp-def fun-eq-iff*)

lemma *pred-pmf-conj* [*simp*]: $\text{pred-pmf } (\lambda x. P x \wedge Q x) = (\lambda x. \text{pred-pmf } P x \wedge \text{pred-pmf } Q x)$
by(*auto simp add: pred-pmf-def*)

lemma *pred-pmf-top* [*simp*]:
 $\text{pred-pmf } (\lambda _. \text{True}) = (\lambda _. \text{True})$

```

by(simp add: pred-pmf-def)

lemma rel-pmf-of-setI:
  assumes A:  $A \neq \{\}$  finite A
  and B:  $B \neq \{\}$  finite B
  and card:  $\bigwedge X. X \subseteq A \implies \text{card } B * \text{card } X \leq \text{card } A * \text{card } \{y \in B. \exists x \in X. R x y\}$ 
  shows rel-pmf R (pmf-of-set A) (pmf-of-set B)
apply(rule rel-pmf-measureI)
using assms
apply(clarsimp simp add: measure-pmf-of-set card-gt-0-iff field-simps of-nat-mult[symmetric]
simp del: of-nat-mult)
apply(subst mult.commute)
apply(erule meta-allE)
apply(erule meta-impE)
prefer 2
apply(erule order-trans)
apply(auto simp add: card-gt-0-iff intro: card-mono)
done

consts rel-witness-pmf :: ('a  $\Rightarrow$  'b  $\Rightarrow$  bool)  $\Rightarrow$  'a pmf  $\times$  'b pmf  $\Rightarrow$  ('a  $\times$  'b) pmf
specification (rel-witness-pmf)
set-rel-witness-pmf': rel-pmf A (fst xy) (snd xy)  $\implies$  set-pmf (rel-witness-pmf A xy)  $\subseteq \{(a, b). A a b\}$ 
map1-rel-witness-pmf': rel-pmf A (fst xy) (snd xy)  $\implies$  map-pmf fst (rel-witness-pmf A xy) = fst xy
map2-rel-witness-pmf': rel-pmf A (fst xy) (snd xy)  $\implies$  map-pmf snd (rel-witness-pmf A xy) = snd xy
apply(fold all-conj-distrib imp-conjR)
apply(rule choice allI)+
apply(unfold pmf.in-rel)
by blast

lemmas set-rel-witness-pmf = set-rel-witness-pmf'[of - (x, y) for x y, simplified]
lemmas map1-rel-witness-pmf = map1-rel-witness-pmf'[of - (x, y) for x y, simplified]
lemmas map2-rel-witness-pmf = map2-rel-witness-pmf'[of - (x, y) for x y, simplified]
lemmas rel-witness-pmf = set-rel-witness-pmf map1-rel-witness-pmf map2-rel-witness-pmf

lemma rel-witness-pmf1:
  assumes rel-pmf A p q
  shows rel-pmf ( $\lambda a. (a', b). a = a' \wedge A a' b$ ) p (rel-witness-pmf A (p, q))
  using map1-rel-witness-pmf[OF assms, symmetric]
  unfolding pmf.rel-eq[symmetric] pmf.rel-map
  by(rule pmf.rel-mono-strong)(auto dest: set-rel-witness-pmf[OF assms, THEN subsetD])

lemma rel-witness-pmf2:

```

```

assumes rel-pmf A p q
shows rel-pmf ( $\lambda(a, b'). b = b' \wedge A a b'$ ) (rel-witness-pmf A (p, q)) q
using map2-rel-witness-pmf[OF assms]
unfolding pmf.rel-eq[symmetric] pmf.rel-map
by(rule pmf.rel-mono-strong)(auto dest: set-rel-witness-pmf[OF assms, THEN
subsetD])

lemma cond-pmf-of-set:
assumes fin: finite A and nonempty: A ∩ B ≠ {}
shows cond-pmf (pmf-of-set A) B = pmf-of-set (A ∩ B) (is ?lhs = ?rhs)
proof(rule pmf-eqI)
from nonempty have A: A ≠ {} by auto
show pmf ?lhs x = pmf ?rhs x for x
by(subst pmf-cond; clar simp simp add: fin A nonempty measure-pmf-of-set split:
split-indicator)
qed

lemma pair-pmf-of-set:
assumes A: finite A A ≠ {}
and B: finite B B ≠ {}
shows pair-pmf (pmf-of-set A) (pmf-of-set B) = pmf-of-set (A × B)
by(rule pmf-eqI)(clar simp simp add: pmf-pair assms split: split-indicator)

lemma emeasure-cond-pmf:
fixes p A
defines q ≡ cond-pmf p A
assumes set-pmf p ∩ A ≠ {}
shows emeasure (measure-pmf q) B = emeasure (measure-pmf p) (A ∩ B) /
emeasure (measure-pmf p) A
proof -
note [transfer-rule] = cond-pmf.transfer[OF assms(2), folded q-def]
interpret pmf-as-measure .
show ?thesis by transfer simp
qed

lemma measure-cond-pmf:
measure (measure-pmf (cond-pmf p A)) B = measure (measure-pmf p) (A ∩ B)
/ measure (measure-pmf p) A
if set-pmf p ∩ A ≠ {}
using emeasure-cond-pmf[OF that, of B] that
by(auto simp add: measure-pmf.emeasure-eq-measure measure-pmf-posI divide-ennreal)

lemma emeasure-measure-pmf-zero-iff: emeasure (measure-pmf p) s = 0 ↔ set-pmf
p ∩ s = {} (is ?lhs = ?rhs)
proof -
have ?lhs ↔ (AE x in measure-pmf p. x ∉ s)
by(subst AE-iff-measurable)(auto)
also have ... = ?rhs by(auto simp add: AE-measure-pmf-iff)
finally show ?thesis .

```

qed

1.21 Subprobability mass functions

```

lemma ord-spmf-return-spmf1: ord-spmf R (return-spmf x) p  $\longleftrightarrow$  lossless-spmf p
 $\wedge (\forall y \in \text{set-spmf } p. R x y)$ 
by(auto simp add: rel-pmf-return-pmf1 ord-option.simps in-set-spmf lossless-iff-set-pmf-None
Ball-def) (metis option.exhaust)

lemma ord-spmf-conv:
ord-spmf R = rel-spmf R OO ord-spmf (=)
apply(subst pmf.rel-compp[symmetric])
apply(rule arg-cong[where f=rel-pmf])
apply(rule ext)+
apply(auto elim!: ord-option.cases option.rel-cases intro: option.rel-intros)
done

lemma ord-spmf-expand:
NO-MATCH (=) R  $\Longrightarrow$  ord-spmf R = rel-spmf R OO ord-spmf (=)
by(rule ord-spmf-conv)

lemma ord-spmf-eqD-measure: ord-spmf (=) p q  $\Longrightarrow$  measure (measure-spmf p)
A  $\leq$  measure (measure-spmf q) A
by(drule ord-spmf-eqD-measure-spmf)(simp add: le-measure measure-spmf.emeasure-eq-measure)

lemma ord-spmf-measureD:
assumes ord-spmf R p q
shows measure (measure-spmf p) A  $\leq$  measure (measure-spmf q) {y.  $\exists x \in A. R$ 
x y}
(is ?lhs  $\leq$  ?rhs)
proof –
from assms obtain p' where *: rel-spmf R p p' and **: ord-spmf (=) p' q
by(auto simp add: ord-spmf-expand)
have ?lhs  $\leq$  measure (measure-spmf p') {y.  $\exists x \in A. R x y}$  using * by(rule
rel-spmf-measureD)
also have ...  $\leq$  ?rhs using ** by(rule ord-spmf-eqD-measure)
finally show ?thesis .
qed

lemma ord-spmf-bind-pmfI1:
( $\bigwedge x. x \in \text{set-pmf } p \Longrightarrow \text{ord-spmf } R (f x) q$ )  $\Longrightarrow$  ord-spmf R (bind-pmf p f) q
apply(rewrite at ord-spmf - - □ bind-return-pmf[symmetric, where f= $\lambda x. x \in \text{set-pmf } p$ ])
apply(rule rel-pmf-bindI[where R= $\lambda x. x \in \text{set-pmf } p$ ])
apply(simp-all add: rel-pmf-return-pmf2)
done

lemma ord-spmf-bind-spmfI1:
( $\bigwedge x. x \in \text{set-spmf } p \Longrightarrow \text{ord-spmf } R (f x) q$ )  $\Longrightarrow$  ord-spmf R (bind-spmf p f) q

```

```

unfolding bind-spmf-def by(rule ord-spmf-bind-pmfI1)(auto split: option.split simp
add: in-set-spmf)

lemma spmf-of-set-empty: spmf-of-set {} = return-pmf None
by(simp add: spmf-of-set-def)

lemma rel-spmf-of-setI:
  assumes card:  $\bigwedge X. X \subseteq A \implies \text{card } B * \text{card } X \leq \text{card } A * \text{card } \{y \in B. \exists x \in X. R x y\}$ 
  and eq:  $(\text{finite } A \wedge A \neq \{\}) \longleftrightarrow (\text{finite } B \wedge B \neq \{\})$ 
  shows rel-spmf R (spmf-of-set A) (spmf-of-set B)
  using eq by(clarify simp add: spmf-of-set-def card rel-pmf-of-setI simp del: spmf-of-pmf-pmf-of-set
cong: conj-cong)

lemmas map-bind-spmf = map-spmf-bind-spmf

lemma nn-integral-measure-spmf-conv-measure-pmf:
  assumes [measurable]:  $f \in \text{borel-measurable}(\text{count-space } \text{UNIV})$ 
  shows nn-integral(measure-spmf p) f = nn-integral(restrict-space(measure-pmf
p) (range Some)) (f o the)
by(simp add: measure-spmf-def nn-integral-distr o-def)

lemma nn-integral-spmf-neq-infinity:  $(\int^+ x. \text{spmf } p x \partial \text{count-space } \text{UNIV}) \neq \infty$ 
using nn-integral-measure-spmf[where f=λ-. 1, of p, symmetric] by simp

lemma return-pmf-bind-option:
  return-pmf(Option.bind x f) = bind-spmf(return-pmf x) (return-pmf o f)
by(cases x) simp-all

lemma rel-spmf-pos-distr: rel-spmf A OO rel-spmf B ≤ rel-spmf(A OO B)
unfolding option.rel-compp pmf.rel-compp ..

lemma rel-spmf-OO-trans [trans]:
   $\llbracket \text{rel-spmf } R p q; \text{rel-spmf } S q r \rrbracket \implies \text{rel-spmf } (R \text{ OO } S) p r$ 
by(rule rel-spmf-pos-distr[THEN predicate2D]) auto

lemma map-spmf-eq-map-spmf-iff: map-spmf f p = map-spmf g q  $\longleftrightarrow$  rel-spmf
 $(\lambda x y. f x = g y) p q$ 
by(simp add: spmf-rel-eq[symmetric] spmf-rel-map)

lemma map-spmf-eq-map-spmfI: rel-spmf  $(\lambda x y. f x = g y) p q \implies \text{map-spmf } f p$ 
 $= \text{map-spmf } g q$ 
by(simp add: map-spmf-eq-map-spmf-iff)

lemma spmf-rel-mono-strong:
   $\llbracket \text{rel-spmf } A f g; \bigwedge x y. \llbracket x \in \text{set-spmf } f; y \in \text{set-spmf } g; A x y \rrbracket \implies B x y \rrbracket \implies$ 
   $\text{rel-spmf } B f g$ 
apply(erule pmf.rel-mono-strong)
apply(erule option.rel-mono-strong)

```

```

by(clarsimp simp add: in-set-spmf)

lemma set-spmf-eq-empty: set-spmf p = {}  $\longleftrightarrow$  p = return-pmf None
by auto (metis restrict-spmf-empty restrict-spmf-trivial)

lemma measure-pair-spmf-times:
measure (measure-spmf (pair-spmf p q)) (A × B) = measure (measure-spmf p)
A * measure (measure-spmf q) B
proof -
have emeasure (measure-spmf (pair-spmf p q)) (A × B) = ( $\int^+ x.$  ennreal (spmf (pair-spmf p q) x) * indicator (A × B) x ∂count-space UNIV)
by(simp add: nn-integral-spmf[symmetric] nn-integral-count-space-indicator)
also have ... = ( $\int^+ x.$  ( $\int^+ y.$  (ennreal (spmf p x) * indicator A x) * (ennreal (spmf q y) * indicator B y) ∂count-space UNIV) ∂count-space UNIV)
by(subst nn-integral-fst-count-space[symmetric])(auto intro!: nn-integral-cong
split: split-indicator simp add: ennreal-mult)
also have ... = ( $\int^+ x.$  ennreal (spmf p x) * indicator A x * emeasure (measure-spmf q) B ∂count-space UNIV)
by(simp add: nn-integral-cmult nn-integral-spmf[symmetric] nn-integral-count-space-indicator)
also have ... = emeasure (measure-spmf p) A * emeasure (measure-spmf q) B
by(simp add: nn-integral-multc)(simp add: nn-integral-spmf[symmetric] nn-integral-count-space-indicator)
finally show ?thesis by(simp add: measure-spmf.emeasure-eq-measure ennreal-mult[symmetric])
qed

lemma lossless-spmfD-set-spmf-nonempty: lossless-spmf p  $\implies$  set-spmf p  $\neq \{\}$ 
using set-pmf-not-empty[of p] by(auto simp add: set-spmf-def bind-UNION loss-
less-iff-set-pmf-None)

lemma set-spmf-return-pmf: set-spmf (return-pmf x) = set-option x
by(cases x) simp-all

lemma bind-spmf-pmf-assoc: bind-spmf (bind-pmf p f) g = bind-pmf p ( $\lambda x.$  bind-spmf
(f x) g)
by(simp add: bind-spmf-def bind-assoc-pmf)

lemma bind-spmf-of-set: [| finite A; A  $\neq \{\}$  |]  $\implies$  bind-spmf (spmf-of-set A) f =
bind-pmf (pmf-of-set A) f
by(simp add: spmf-of-set-def del: spmf-of-pmf.pmf-of-set)

lemma bind-spmf-map-pmf:
bind-spmf (map-pmf f p) g = bind-pmf p ( $\lambda x.$  bind-spmf (return-pmf (f x)) g)
by(simp add: map-pmf-def bind-spmf-def bind-assoc-pmf)

lemma rel-spmf-eqI [simp]: rel-spmf (=) x x
by(simp add: option.rel-eq)

lemma set-spmf-map-pmf: set-spmf (map-pmf f p) = ( $\bigcup_{x \in \text{set-pmf } p}.$  set-option
(f x))

```

```

by(simp add: set-spmf-def bind-UNION)

lemma ord-spmf-return-spmf [simp]: ord-spmf (=) (return-spmf x) p  $\longleftrightarrow$  p = return-spmf x
proof -
  have p = return-spmf x  $\implies$  ord-spmf (=) (return-spmf x) p by simp
  thus ?thesis
    by (metis (no-types) ord-option-eq-simps(2) rel-pmf-return-pmf1 rel-pmf-return-pmf2 spmf.leq-antisym)
qed

declare
  set-bind-spmf [simp]
  set-spmf-return-pmf [simp]

lemma bind-spmf-pmf-commute:
  bind-spmf p ( $\lambda x.$  bind-pmf q (f x)) = bind-pmf q ( $\lambda y.$  bind-spmf p ( $\lambda x.$  f x y))
  unfolding bind-spmf-def
  by(subst bind-commute-pmf)(auto intro: bind-pmf-cong[OF refl] split: option.split)

lemma return-pmf-map-option-conv-bind:
  return-pmf (map-option f x) = bind-spmf (return-pmf x) (return-spmf  $\circ$  f)
  by(cases x) simp-all

lemma lossless-return-pmf-iff [simp]: lossless-spmf (return-pmf x)  $\longleftrightarrow$  x  $\neq$  None
  by(cases x) simp-all

lemma lossless-map-pmf: lossless-spmf (map-pmf f p)  $\longleftrightarrow$  ( $\forall x \in$  set-pmf p. f x  $\neq$  None)
  using image-iff by(fastforce simp add: lossless-iff-set-pmf-None)

lemma bind-pmf-spmf-assoc:
  g None = return-pmf None
   $\implies$  bind-pmf (bind-spmf p f) g = bind-spmf p ( $\lambda x.$  bind-pmf (f x) g)
  by(auto simp add: bind-spmf-def bind-assoc-pmf bind-return-pmf fun-eq-iff intro!: arg-cong2[where f=bind-pmf] split: option.split)

abbreviation pred-spmf :: ('a  $\Rightarrow$  bool)  $\Rightarrow$  'a spmf  $\Rightarrow$  bool
  where pred-spmf P  $\equiv$  pred-pmf (pred-option P)

lemma pred-spmf-def: pred-spmf P p  $\longleftrightarrow$  ( $\forall x \in$  set-spmf p. P x)
  by(auto simp add: pred-pmf-def pred-option-def set-spmf-def)

lemma spmf-pred-mono-strong:
   $\llbracket$  pred-spmf P p;  $\wedge a.$   $\llbracket$  a  $\in$  set-spmf p; P a  $\rrbracket \implies P' a \rrbracket \implies$  pred-spmf P' p
  by(simp add: pred-spmf-def)

lemma spmf-Domainp-rel: Domainp (rel-spmf R) = pred-spmf (Domainp R)
  by(simp add: pmf.Domainp-rel option.Domainp-rel)

```

```

lemma rel-spmf-restrict-relpI [intro?]:
   $\llbracket \text{rel-spmf } R \ p \ q; \text{pred-spmf } P \ p; \text{pred-spmf } Q \ q \rrbracket \implies \text{rel-spmf } (R \upharpoonright P \otimes Q) \ p \ q$ 
  by(erule spmf-rel-mono-strong)(simp add: pred-spmf-def)

lemma rel-spmf-restrict-relpE [elim?]:
  assumes rel-spmf  $(R \upharpoonright P \otimes Q) \ x \ y$ 
  obtains rel-spmf  $R \ x \ y$  pred-spmf  $P \ x$  pred-spmf  $Q \ y$ 
proof
  show rel-spmf  $R \ x \ y$  using assms by(auto elim!: spmf-rel-mono-strong)
  have pred-spmf  $(\text{Domainp } (R \upharpoonright P \otimes Q)) \ x$  using assms by(fold spmf-Domainp-rel)
  blast
  then show pred-spmf  $P \ x$  by(rule spmf-pred-mono-strong)(blast dest!: restrict-relp-DomainpD)
  have pred-spmf  $(\text{Domainp } (R \upharpoonright P \otimes Q)^{-1-1}) \ y$  using assms
  by(fold spmf-Domainp-rel)(auto simp only: spmf-rel-conversep Domainp-conversep)
  then show pred-spmf  $Q \ y$  by(rule spmf-pred-mono-strong)(auto dest!: restrict-relp-DomainpD)
qed

lemma rel-spmf-restrict-relp-iff:
  rel-spmf  $(R \upharpoonright P \otimes Q) \ x \ y \longleftrightarrow \text{rel-spmf } R \ x \ y \wedge \text{pred-spmf } P \ x \wedge \text{pred-spmf } Q \ y$ 
  by(blast intro: rel-spmf-restrict-relpI elim: rel-spmf-restrict-relpE)

lemma spmf-pred-map: pred-spmf  $P$  (map-spmf  $f \ p$ ) = pred-spmf  $(P \circ f) \ p$ 
  by(simp)

lemma pred-spmf-bind [simp]: pred-spmf  $P$  (bind-spmf  $p \ f$ ) = pred-spmf  $(\text{pred-spmf } P \circ f) \ p$ 
  by(simp add: pred-spmf-def bind-UNION)

lemma pred-spmf-return: pred-spmf  $P$  (return-spmf  $x$ ) =  $P \ x$ 
  by simp

lemma pred-spmf-return-pmf-None: pred-spmf  $P$  (return-pmf None)
  by simp

lemma pred-spmf-spmf-of-pmf [simp]: pred-spmf  $P$  (spmf-of-pmf  $p$ ) = pred-pmf  $P \ p$ 
  unfolding pred-spmf-def by(simp add: pred-pmf-def)

lemma pred-spmf-of-set [simp]: pred-spmf  $P$  (spmf-of-set  $A$ ) = (finite  $A \longrightarrow \text{Ball } A \ P$ )
  by(auto simp add: pred-spmf-def set-spmf-of-set)

lemma pred-spmf-assert-spmf [simp]: pred-spmf  $P$  (assert-spmf  $b$ ) =  $(b \longrightarrow P \ ())$ 
  by(cases  $b$ ) simp-all

lemma pred-spmf-pair [simp]:
  pred-spmf  $P$  (pair-spmf  $p \ q$ ) = pred-spmf  $(\lambda x. \text{pred-spmf } (P \circ \text{Pair } x) \ q) \ p$ 
  by(simp add: pred-spmf-def)

```

```

lemma set-spmf-try [simp]:
  set-spmf (try-spmf p q) = set-spmf p ∪ (if lossless-spmf p then {} else set-spmf q)
by(auto simp add: try-spmf-def set-spmf-bind-pmf in-set-spmf lossless-iff-set-pmf-None
split: option.splits)(metis option.collapse)

lemma try-spmf-bind-out1:
  ( $\bigwedge x. \text{lossless-spmf } (f x)$ )  $\implies$  bind-spmf (TRY p ELSE q) f = TRY (bind-spmf p f) ELSE (bind-spmf q f)
  apply(clar simp simp add: bind-spmf-def try-spmf-def bind-assoc-pmf bind-return-pmf
intro!: bind-pmf-cong[OF refl] split: option.split)
  apply(rewrite in □ = - bind-return-pmf['symmetric])
  apply(rule bind-pmf-cong[OF refl])
  apply(clar simp split: option.split simp add: lossless-iff-set-pmf-None)
  done

lemma pred-spmf-try [simp]:
  pred-spmf P (try-spmf p q) = (pred-spmf P p ∧ (¬ lossless-spmf p  $\longrightarrow$  pred-spmf P q))
by(auto simp add: pred-spmf-def)

lemma pred-spmf-cond [simp]:
  pred-spmf P (cond-spmf p A) = pred-spmf ( $\lambda x. x \in A \longrightarrow P x$ ) p
by(auto simp add: pred-spmf-def)

lemma spmf-rel-map-restrict-relp:
  shows spmf-rel-map-restrict-relp1: rel-spmf (R ∣ P ⊗ Q) (map-spmf f p) =
  rel-spmf (R ∘ f ∣ P ∘ f ⊗ Q) p
  and spmf-rel-map-restrict-relp2: rel-spmf (R ∣ P ⊗ Q) p (map-spmf g q) =
  rel-spmf (( $\lambda x. R x \circ g$ ) ∣ P ⊗ Q ∘ g) p q
by(simp-all add: spmf-rel-map restrict-relp-def)

lemma pred-spmf-conj: pred-spmf ( $\lambda x. P x \wedge Q x$ ) = ( $\lambda x. \text{pred-spmf } P x \wedge \text{pred-spmf } Q x$ )
by simp

lemma spmf-of-pmf-parametric [transfer-rule]:
  includes lifting-syntax shows
  (rel-pmf A ==> rel-spmf A) spmf-of-pmf spmf-of-pmf
  unfolding spmf-of-pmf-def[abs-def] by transfer-prover

lemma mono2mono-return-pmf[THEN spmf.mono2mono, simp, cont-intro]:
  shows monotone-return-pmf: monotone option-ord (ord-spmf (=)) return-pmf
by(rule monotoneI)(auto simp add: flat-ord-def)

lemma mcont2mcont-return-pmf[THEN spmf.mcont2mcont, simp, cont-intro]:
  shows mcont-return-pmf: mcont (flat-lub None) option-ord lub-spmf (ord-spmf (=)) return-pmf

```

```

by(rule mcont-finite-chains[OF -- flat-interpretation[THEN ccpo] ccpo-spmf]) simp-all

lemma pred-spmf-top:
  pred-spmf ( $\lambda \_. \text{True}$ ) = ( $\lambda \_. \text{True}$ )
by(simp)

lemma rel-spmf-restrict-relpI' [intro?]:
   $\llbracket \text{rel-spmf } (\lambda x y. P x \rightarrow Q y \rightarrow R x y) p q; \text{pred-spmf } P p; \text{pred-spmf } Q q \rrbracket$ 
 $\implies \text{rel-spmf } (R \upharpoonright P \otimes Q) p q$ 
by(erule spmf-rel-mono-strong)(simp add: pred-spmf-def)

lemma set-spmf-map-pmf-MATCH [simp]:
  assumes NO-MATCH (map-option g) f
  shows set-spmf (map-pmf f p) = ( $\bigcup_{x \in \text{set-pmf } p} \text{set-option } (f x)$ )
by(rule set-spmf-map-pmf)

lemma rel-spmf-bindI':
   $\llbracket \text{rel-spmf } A p q; \bigwedge x y. \llbracket A x y; x \in \text{set-spmf } p; y \in \text{set-spmf } q \rrbracket \implies \text{rel-spmf } B (f x) (g y) \rrbracket$ 
 $\implies \text{rel-spmf } B (p \gg f) (q \gg g)$ 
apply(rule rel-spmf-bindI[where R= $\lambda x y. A x y \wedge x \in \text{set-spmf } p \wedge y \in \text{set-spmf } q$ ])
apply(erule spmf-rel-mono-strong; simp)
apply simp
done

definition rel-witness-spmf :: ('a  $\Rightarrow$  'b  $\Rightarrow$  bool)  $\Rightarrow$  'a spmf  $\times$  'b spmf  $\Rightarrow$  ('a  $\times$  'b) spmf where
  rel-witness-spmf A = map-pmf rel-witness-option  $\circ$  rel-witness-pmf (rel-option A)

lemma assumes rel-spmf A p q
  shows rel-witness-spmf1: rel-spmf ( $\lambda a (a', b). a = a' \wedge A a' b$ ) p (rel-witness-spmf A (p, q))
    and rel-witness-spmf2: rel-spmf ( $\lambda (a, b'). b = b' \wedge A a b'$ ) (rel-witness-spmf A (p, q)) q
  by(auto simp add: pmf.rel-map rel-witness-spmf-def intro: pmf.rel-mono-strong[OF rel-witness-pmf1[OF assms]] rel-witness-option1 pmf.rel-mono-strong[OF rel-witness-pmf2[OF assms]] rel-witness-option2)

lemma weight-assert-spmf [simp]: weight-spmf (assert-spmf b) = indicator {True}
  by(simp split: split-indicator)

definition enforce-spmf :: ('a  $\Rightarrow$  bool)  $\Rightarrow$  'a spmf  $\Rightarrow$  'a spmf where
  enforce-spmf P = map-pmf (enforce-option P)

lemma enforce-spmf-parametric [transfer-rule]: includes lifting-syntax shows
  (( $A ==> (=)$ ) ==> rel-spmf A ==> rel-spmf A) enforce-spmf enforce-spmf
  unfolding enforce-spmf-def by transfer-prover

```

```

lemma enforce-return-spmf [simp]:
  enforce-spmf P (return-spmf x) = (if P x then return-spmf x else return-pmf
  None)
  by(simp add: enforce-spmf-def)

lemma enforce-return-pmf-None [simp]:
  enforce-spmf P (return-pmf None) = return-pmf None
  by(simp add: enforce-spmf-def)

lemma enforce-map-spmf:
  enforce-spmf P (map-spmf f p) = map-spmf f (enforce-spmf (P o f) p)
  by(simp add: enforce-spmf-def pmf.map-comp o-def enforce-map-option)

lemma enforce-bind-spmf [simp]:
  enforce-spmf P (bind-spmf p f) = bind-spmf p (enforce-spmf P o f)
  by(auto simp add: enforce-spmf-def bind-spmf-def map-bind-pmf intro!: bind-pmf-cong
  split: option.split)

lemma set-enforce-spmf [simp]: set-spmf (enforce-spmf P p) = {a ∈ set-spmf p.
  P a}
  by(auto simp add: enforce-spmf-def in-set-spmf)

lemma enforce-spmf-alt-def:
  enforce-spmf P p = bind-spmf p (λa. bind-spmf (assert-spmf (P a)) (λ- :: unit.
  return-spmf a))
  by(auto simp add: enforce-spmf-def assert-spmf-def map-pmf-def bind-spmf-def
  bind-return-pmf intro!: bind-pmf-cong split: option.split)

lemma bind-enforce-spmf [simp]:
  bind-spmf (enforce-spmf P p) f = bind-spmf p (λx. if P x then f x else return-pmf
  None)
  by(auto simp add: enforce-spmf-alt-def assert-spmf-def intro!: bind-spmf-cong)

lemma weight-enforce-spmf:
  weight-spmf (enforce-spmf P p) = weight-spmf p - measure (measure-spmf p)
  {x. ¬ P x} (is ?lhs = ?rhs)
  proof -
    have ?lhs = LINT x|measure-spmf p. indicator {x. P x} x
    by(auto simp add: enforce-spmf-alt-def weight-bind-spmf o-def simp del: Bochner-Integration.integral-indicate
    intro!: Bochner-Integration.integral-cong split: split-indicator)
    also have ... = ?rhs
    by(subst measure-spmf.finite-measure-Diff[symmetric])(auto simp add: space-measure-spmf
    intro!: arg-cong2[where f=measure])
    finally show ?thesis .
  qed

lemma lossless-enforce-spmf [simp]:
  lossless-spmf (enforce-spmf P p) ←→ lossless-spmf p ∧ set-spmf p ⊆ {x. P x}

```

```

by(auto simp add: enforce-spmf-alt-def)

lemma enforce-spmf-top [simp]: enforce-spmf  $\top = id$ 
  by(simp add: enforce-spmf-def)

lemma enforce-spmf-K-True [simp]: enforce-spmf  $(\lambda \_. \ True) p = p$ 
  using enforce-spmf-top[THEN fun-cong, of p] by(simp add: top-fun-def)

lemma enforce-spmf-bot [simp]: enforce-spmf  $\perp = (\lambda \_. \ return-pmf None)$ 
  by(simp add: enforce-spmf-def fun-eq-iff)

lemma enforce-spmf-K-False [simp]: enforce-spmf  $(\lambda \_. \ False) p = return-pmf None$ 
  using enforce-spmf-bot[THEN fun-cong, of p] by(simp add: bot-fun-def)

lemma enforce-pred-id-spmf: enforce-spmf  $P p = p$  if pred-spmf  $P p$ 
proof -
  have enforce-spmf  $P p = map-pmf id p$  using that
    by(auto simp add: enforce-spmf-def enforce-pred-id-option simp del: map-pmf-id
      intro!: pmf.map-cong-pred[OF refl] elim!: pmf-pred-mono-strong)
    then show ?thesis by simp
qed

lemma map-the-spmf-of-pmf [simp]: map-pmf the (spmf-of-pmf p) = p
  by(simp add: spmf-of-pmf-def pmf.map-comp o-def)

lemma bind-bind-conv-pair-spmf:
  bind-spmf  $p (\lambda x. \ bind-spmf q (f x)) = bind-spmf (pair-spmf p q) (\lambda (x, y). \ f x y)$ 
  by(simp add: pair-spmf-alt-def)

lemma cond-spmf-spmf-of-set:
  cond-spmf (spmf-of-set A) B = spmf-of-set  $(A \cap B)$  if finite A
  by(rule spmf-eqI)(auto simp add: spmf-of-set measure-spmf-of-set that split: split-indicator)

lemma pair-spmf-of-set:
  pair-spmf (spmf-of-set A) (spmf-of-set B) = spmf-of-set  $(A \times B)$ 
  by(rule spmf-eqI)(clar simp simp add: spmf-of-set card-cartesian-product split:
    split-indicator)

lemma emeasure-cond-spmf:
  emeasure (measure-spmf (cond-spmf p A)) B = emeasure (measure-spmf p)  $(A \cap B) / emeasure (measure-spmf p) A$ 
  apply(clarsimp simp add: cond-spmf-def emeasure-measure-spmf-conv-measure-pmf
    emeasure-measure-pmf-zero-iff set-pmf-Int-Some split!: if-split)
  apply blast
  apply(subst (asm) emeasure-cond-pmf)
  by(auto simp add: set-pmf-Int-Some image-Int)

lemma measure-cond-spmf:
  measure (measure-spmf (cond-spmf p A)) B = measure (measure-spmf p)  $(A \cap$ 

```

```

B) / measure (measure-spmf p) A
  apply(clar simp simp add: cond-spmf-def measure-measure-spmf-conv-measure-pmf
measure-pmf-zero-iff set-pmf-Int-Some split!: if-split)
  apply(subst (asm) measure-cond-pmf)
  by(auto simp add: image-Int set-pmf-Int-Some)

lemma lossless-cond-spmf [simp]: lossless-spmf (cond-spmf p A)  $\longleftrightarrow$  set-spmf p
 $\cap A \neq \{\}$ 
  by(clar simp simp add: cond-spmf-def lossless-iff-set-pmf-None set-pmf-Int-Some)

lemma measure-spmf-eq-density: measure-spmf p = density (count-space UNIV)
(spmf p)
  by(rule measure-eqI)(simp-all add: emeasure-density nn-integral-spmf[symmetric]
nn-integral-count-space-indicator)

lemma integral-measure-spmf:
  fixes f :: 'a  $\Rightarrow$  'b:{banach, second-countable-topology}
  assumes A: finite A
  shows ( $\bigwedge a. a \in \text{set-spmf } M \implies f a \neq 0 \implies a \in A$ )  $\implies$  (LINT x|measure-spmf
M. f x) = ( $\sum a \in A. \text{spmf } M a *_R f a$ )
  unfolding measure-spmf-eq-density
  apply (simp add: integral-density)
  apply (subst lebesgue-integral-count-space-finite-support)
  by (auto intro!: finite-subset[OF - {finite A}] sum.mono-neutral-left simp: spmf-eq-0-set-spmf)

lemma image-set-spmf-eq:
  f ` set-spmf p = g ` set-spmf q if ASSUMPTION (map-spmf f p = map-spmf g
q)
  using that[unfolded ASSUMPTION-def, THEN arg-cong[where f=set-spmf]] by
simp

lemma map-spmf-const: map-spmf ( $\lambda\_. x$ ) p = scale-spmf (weight-spmf p) (return-spmf
x)
  by(simp add: map-spmf-conv-bind-spmf bind-spmf-const)

lemma cond-return-pmf [simp]: cond-pmf (return-pmf x) A = return-pmf x if x
 $\in A$ 
  using that by(intro pmf-eqI)(auto simp add: pmf-cond split: split-indicator)

lemma cond-return-spmf [simp]: cond-spmf (return-spmf x) A = (if x  $\in A$  then
return-spmf x else return-pmf None)
  by(simp add: cond-spmf-def)

lemma measure-range-Some-eq-weight:
  measure (measure-pmf p) (range Some) = weight-spmf p
  by (simp add: measure-measure-spmf-conv-measure-pmf space-measure-spmf)

```

```

lemma restrict-spmf-eq-return-pmf-None [simp]:
  restrict-spmf p A = return-pmf None  $\longleftrightarrow$  set-spmf p  $\cap$  A = {}
  by(auto 4 3 simp add: restrict-spmf-def map-pmf-eq-return-pmf-iff bind-UNION
in-set-spmf bind-eq-None-conv option.the-def dest: bspec split: if-split-asm option.split-asm)

definition mk-lossless :: 'a spmf  $\Rightarrow$  'a spmf where
  mk-lossless p = scale-spmf (inverse (weight-spmf p)) p

lemma mk-lossless-idem [simp]: mk-lossless (mk-lossless p) = mk-lossless p
  by(simp add: mk-lossless-def weight-scale-spmf min-def max-def inverse-eq-divide)

lemma mk-lossless-return [simp]: mk-lossless (return-pmf x) = return-pmf x
  by(cases x)(simp-all add: mk-lossless-def)

lemma mk-lossless-map [simp]: mk-lossless (map-spmf f p) = map-spmff (mk-lossless p)
  by(simp add: mk-lossless-def map-scale-spmf)

lemma spmf-mk-lossless [simp]: spmf (mk-lossless p) x = spmf p x / weight-spmf p
  by(simp add: mk-lossless-def spmf-scale-spmf inverse-eq-divide max-def)

lemma set-spmf-mk-lossless [simp]: set-spmf (mk-lossless p) = set-spmf p
  by(simp add: mk-lossless-def set-scale-spmf measure-spmf-zero-iff zero-less-measure-iff)

lemma mk-lossless-lossless [simp]: lossless-spmf p  $\implies$  mk-lossless p = p
  by(simp add: mk-lossless-def lossless-weight-spmfD)

lemma mk-lossless-eq-return-pmf-None [simp]: mk-lossless p = return-pmf None
 $\longleftrightarrow$  p = return-pmf None
proof -
  have aux: weight-spmf p = 0  $\implies$  spmf p i = 0 for i
  by(rule antisym, rule order-trans[OF spmf-le-weight]) (auto intro!: order-trans[OF spmf-le-weight])

  have[simp]: spmf (scale-spmf (inverse (weight-spmf p)) p) = spmf (return-pmf None)  $\implies$  spmf p i = 0 for i
  by(drule fun-cong[where x=i]) (auto simp add: aux spmf-scale-spmf max-def)

  show ?thesis by(auto simp add: mk-lossless-def intro: spmf-eqI)
qed

lemma return-pmf-None-eq-mk-lossless [simp]: return-pmf None = mk-lossless p
 $\longleftrightarrow$  p = return-pmf None
  by(metis mk-lossless-eq-return-pmf-None)

lemma mk-lossless-spmf-of-set [simp]: mk-lossless (spmf-of-set A) = spmf-of-set A
  by(simp add: spmf-of-set-def del: spmf-of-pmf-pmf-of-set)

```

```

lemma weight-mk-lossless: weight-spmf (mk-lossless p) = (if p = return-pmf None
then 0 else 1)
  by(simp add: mk-lossless-def weight-scale-spmf min-def max-def inverse-eq-divide
weight-spmf-eq-0)

lemma mk-lossless-parametric [transfer-rule]: includes lifting-syntax shows
  (rel-spmf A ===> rel-spmf A) mk-lossless mk-lossless
  by(simp add: mk-lossless-def rel-fun-def rel-spmf-weightD rel-spmf-scaleI)

lemma rel-spmf-mk-losslessI:
  rel-spmf A p q ==> rel-spmf A (mk-lossless p) (mk-lossless q)
  by(rule mk-lossless-parametric[THEN rel-funD])

lemma rel-spmf-restrict-spmfI:
  rel-spmf (λx y. (x ∈ A ∧ y ∈ B ∧ R x y) ∨ x ∉ A ∧ y ∉ B) p q
  ==> rel-spmf R (restrict-spmf p A) (restrict-spmf q B)
  by(auto simp add: restrict-spmf-def pmf.rel-map elim!: option.rel-cases pmf.rel-mono-strong)

lemma cond-spmf-alt: cond-spmf p A = mk-lossless (restrict-spmf p A)
proof(cases set-spmf p ∩ A = {})
  case True
    then show ?thesis by(simp add: cond-spmf-def measure-spmf-zero-iff)
  next
    case False
    show ?thesis
      by(rule spmf-eqI)(simp add: False cond-spmf-def pmf-cond set-pmf-Int-Some im-
age-iff measure-measure-spmf-conv-measure-pmf[symmetric] spmf-scale-spmf max-def
inverse-eq-divide)
  qed

lemma cond-spmf-bind:
  cond-spmf (bind-spmf p f) A = mk-lossless (p ≈= (λx. f x ∣ A))
  by(simp add: cond-spmf-alt restrict-bind-spmf scale-bind-spmf)

lemma cond-spmf-UNIV [simp]: cond-spmf p UNIV = mk-lossless p
  by(clar simp simp add: cond-spmf-alt)

lemma cond-pmf-singleton:
  cond-pmf p A = return-pmf x if set-pmf p ∩ A = {x}
proof -
  have[simp]: set-pmf p ∩ A = {x} ==> x ∈ A ==> measure-pmf.prob p A = pmf
  p x
  by(auto simp add: measure-pmf-single[symmetric] AE-measure-pmf-iff intro!
measure-pmf.finite-measure-eq-AE)

  have pmf (cond-pmf p A) i = pmf (return-pmf x) i for i
  using that by(auto simp add: pmf-cond measure-pmf-zero-iff pmf-eq-0-set-pmf
split: split-indicator)

```

```

then show ?thesis by(rule pmf-eqI)
qed

definition cond-spmf-fst :: ('a × 'b) spmf ⇒ 'a ⇒ 'b spmf where
cond-spmf-fst p a = map-spmf snd (cond-spmf p ({a} × UNIV))

lemma cond-spmf-fst-return-spmf [simp]:
cond-spmf-fst (return-spmf (x, y)) x = return-spmf y
by(simp add: cond-spmf-fst-def)

lemma cond-spmf-fst-map-Pair [simp]: cond-spmf-fst (map-spmf (Pair x) p) x =
mk-lossless p
by(clarify simp add: cond-spmf-fst-def spmf.map-comp o-def)

lemma cond-spmf-fst-map-Pair' [simp]: cond-spmf-fst (map-spmf (λy. (x, f y)) p)
x = map-spmf f (mk-lossless p)
by(subst spmf.map-comp[where f=Pair x, symmetric, unfolded o-def]) simp

lemma cond-spmf-fst-eq-return-None [simp]: cond-spmf-fst p x = return-pmf None
longleftrightarrow x ∉ fst ` set-spmf p
by(auto 4 4 simp add: cond-spmf-fst-def map-pmf-eq-return-pmf-iff in-set-spmf[symmetric]
dest: bspec[where x=Some -] intro: ccontr rev-image-eqI)

lemma cond-spmf-fst-map-Pair1:
cond-spmf-fst (map-spmf (λx. (f x, g x)) p) (f x) = return-spmf (g (inv-into
(set-spmf p) f (f x)))
if x ∈ set-spmf p inj-on f (set-spmf p)
proof –
let ?foo=λy. map-option (λx. (f x, g x)) – ‘ Some ‘ ({f y} × UNIV)
have[simp]: y ∈ set-spmf p ⟹ f x = f y ⟹ set-pmf p ∩ (?foo y) ≠ {} for y
by(auto simp add: vimage-def image-def in-set-spmf)

have[simp]: y ∈ set-spmf p ⟹ f x = f y ⟹ map-spmf snd (map-spmf (λx. (f
x, g x)) (cond-pmf p (?foo y))) = return-spmf (g x) for y
using that by(subst cond-pmf-singleton[where x=Some x]) (auto simp add:
in-set-spmf elim: inj-onD)

show ?thesis
using that
by(auto simp add: cond-spmf-fst-def cond-spmf-def)
(erule noteE, subst cond-map-pmf, simp-all)
qed

lemma lossless-cond-spmf-fst [simp]: lossless-spmf (cond-spmf-fst p x)longleftrightarrow x ∈ fst
` set-spmf p
by(auto simp add: cond-spmf-fst-def intro: rev-image-eqI)

```

```

lemma cond-spmf-fst-inverse:
  bind-spmf (map-spmf fst p) ( $\lambda x.$  map-spmf (Pair x) (cond-spmf-fst p x)) = p
  (is ?lhs = ?rhs)
proof(rule spmf-eqI)
  fix i :: 'a × 'b
  have *: ( $\{x\} \times \text{UNIV} \cap (\text{Pair } x \circ \text{snd}) - \{i\}$ ) = (if  $x = \text{fst } i$  then  $\{i\}$  else  $\{\}$ )
for x by(cases i)auto
  have spmf ?lhs i = LINT x|measure-spmf (map-spmf fst p). spmf (map-spmf
  (Pair x ∘ snd) (cond-spmf p ( $\{x\} \times \text{UNIV}$ ))) i
    by(auto simp add: spmf-bind spmf.map-comp[symmetric] cond-spmf-fst-def intro!: integral-cong-AE)
    also have ... = LINT x|measure-spmf (map-spmf fst p). measure (measure-spmf
    (cond-spmf p ( $\{x\} \times \text{UNIV}$ ))) ((Pair x ∘ snd) - {i})
      by(rule integral-cong-AE)(auto simp add: spmf-map)
    also have ... = LINT x|measure-spmf (map-spmf fst p). measure (measure-spmf
    p) ( $\{x\} \times \text{UNIV} \cap (\text{Pair } x \circ \text{snd}) - \{i\}$ ) /
      measure (measure-spmf p) ( $\{x\} \times \text{UNIV}$ )
      by(rule integral-cong-AE; clarsimp simp add: measure-cond-spmf)
    also have ... = spmf (map-spmf fst p) (fst i) * spmf p i / measure (measure-spmf
    p) ( $\{fst i\} \times \text{UNIV}$ )
      by(simp add: * if-distrib[where f=measure (measure-spmf -)] cong: if-cong)
        (subst integral-measure-spmf[where A={fst i}]; auto split: if-split-asm simp
        add: spmf-conv-measure-spmf)
    also have ... = spmf p i
      by(clarsimp simp add: spmf-map vimage-fst)(metis (no-types, lifting) Int-insert-left-if1
      in-set-spmf-iff-spmf insertI1 insert-UNIV insert-absorb insert-not-empty measure-spmf-zero-iff
      mem-Sigma-iff prod.collapse)
    finally show spmf ?lhs i = spmf ?rhs i .
qed

```

1.21.1 Embedding of 'a option into 'a spmf

This theoretically follows from the embedding between - *Monomorphic-Monad.id* into - *prob* and the isomorphism between (-, - *prob*) *optionT* and - *spmf*, but we would only get the monomorphic version via this connection. So we do it directly.

```

lemma bind-option-spmf-monad [simp]: monad.bind-option (return-pmf None) x
= bind-spmf (return-pmf x)
by(cases x)(simp-all add: fun-eq-iff)

```

```

locale option-to-spmf begin

```

We have to get the embedding into the lifting package such that we can use the parametrisation of transfer rules.

```

definition the-pmf :: 'a pmf ⇒ 'a where the-pmf p = (THE x. p = return-pmf
x)

```

```

lemma the-pmf-return [simp]: the-pmf (return-pmf x) = x

```

```

by(simp add: the-pmf-def)

lemma type-definition-option-spmf: type-definition return-pmf the-pmf {x.  $\exists y ::$ 
'a option. x = return-pmf y}
by unfold-locales(auto)

context begin
private setup-lifting type-definition-option-spmf
abbreviation cr-spmf-option where cr-spmf-option ≡ cr-option
abbreviation pcr-spmf-option where pcr-spmf-option ≡ pcr-option
lemmas Quotient-spmf-option = Quotient-option
and cr-spmf-option-def = cr-option-def
and pcr-spmf-option-bi-unique = option.bi-unique
and Domainp-pcr-spmf-option = option.domain
and Domainp-pcr-spmf-option-eq = option.domain-eq
and Domainp-pcr-spmf-option-par = option.domain-par
and Domainp-pcr-spmf-option-left-total = option.domain-par-left-total
and pcr-spmf-option-left-unique = option.left-unique
and pcr-spmf-option-cr-eq = option.pcr-cr-eq
and pcr-spmf-option-return-pmf-transfer = option.rep-transfer
and pcr-spmf-option-right-total = option.right-total
and pcr-spmf-option-right-unique = option.right-unique
and pcr-spmf-option-def = pcr-option-def
bundle spmf-option-lifting = [[Lifting.lifting-restore-internal Misc-CryptHOL.option.lifting]]
end

```

```

context includes lifting-syntax begin

lemma return-option-spmf-transfer [transfer-parametric return-spmf-parametric,
transfer-rule]:
((=) ==> cr-spmf-option) return-spmf Some
by(rule rel-funI)(simp add: cr-spmf-option-def)

lemma map-option-spmf-transfer [transfer-parametric map-spmf-parametric, transfer-rule]:
(((=) ==> (=)) ==> cr-spmf-option ==> cr-spmf-option) map-spmf
map-option
unfolding rel-fun-eq by(auto simp add: rel-fun-def cr-spmf-option-def)

lemma fail-option-spmf-transfer [transfer-parametric return-spmf-None-parametric,
transfer-rule]:
cr-spmf-option (return-pmf None) None
by(simp add: cr-spmf-option-def)

lemma bind-option-spmf-transfer [transfer-parametric bind-spmf-parametric, transfer-rule]:
(cr-spmf-option ==> ((=) ==> cr-spmf-option) ==> cr-spmf-option)
bind-spmf Option.bind

```

```

apply(clarsimp simp add: rel-fun-def cr-spmf-option-def)
subgoal for x f g by(cases x; simp)
done

lemma set-option-spmf-transfer [transfer-parametric set-spmf-parametric, transfer-rule]:
  (cr-spmf-option ==> rel-set (=)) set-spmf set-option
by(clarsimp simp add: rel-fun-def cr-spmf-option-def rel-set-eq)

lemma rel-option-spmf-transfer [transfer-parametric rel-spmf-parametric, transfer-rule]:
  (((=) ==> (=) ==> (=)) ==> cr-spmf-option ==> cr-spmf-option
  ==> (=)) rel-spmf rel-option
unfolding rel-fun-eq by(simp add: rel-fun-def cr-spmf-option-def)

end

end

locale option-le-spmf begin

Embedding where only successful computations in the option monad are
related to Dirac spmf.

definition cr-option-le-spmf :: 'a option ⇒ 'a spmf ⇒ bool
where cr-option-le-spmf x p ⟷ ord-spmf (=) (return-pmf x) p

context includes lifting-syntax begin

lemma return-option-le-spmf-transfer [transfer-rule]:
  ((=) ==> cr-option-le-spmf) (λx. x) return-pmf
by(rule rel-funI)(simp add: cr-option-le-spmf-def ord-option-reflI)

lemma map-option-le-spmf-transfer [transfer-rule]:
  (((=) ==> (=)) ==> cr-option-le-spmf ==> cr-option-le-spmf) map-option
map-spmf
unfolding rel-fun-eq
apply(clarsimp simp add: rel-fun-def cr-option-le-spmf-def rel-pmf-return-pmf1 ord-option-map1
ord-option-map2)
subgoal for f x p y by(cases x; simp add: ord-option-reflI)
done

lemma bind-option-le-spmf-transfer [transfer-rule]:
  (cr-option-le-spmf ==> ((=) ==> cr-option-le-spmf) ==> cr-option-le-spmf)
Option.bind bind-spmf
apply(clarsimp simp add: rel-fun-def cr-option-le-spmf-def)
subgoal for x p f g by(cases x; auto 4 3 simp add: rel-pmf-return-pmf1 set-pmf-bind-spmf)
done

end

```

```

end

interpretation rel-spmf-characterisation by unfold-locales(rule rel-spmf-measureI)

lemma if-distrib-bind-spmf1 [if-distribs]:
  bind-spmf (if b then x else y) f = (if b then bind-spmf x f else bind-spmf y f)
  by simp

lemma if-distrib-bind-spmf2 [if-distribs]:
  bind-spmf x ( $\lambda y. \text{if } b \text{ then } f y \text{ else } g y$ ) = (if b then bind-spmf x f else bind-spmf x g)
  by simp

lemma rel-spmf-if-distrib [if-distribs]:
  rel-spmf R (if b then x else y) (if b then x' else y')  $\longleftrightarrow$ 
  (b  $\longrightarrow$  rel-spmf R x x')  $\wedge$  ( $\neg b$   $\longrightarrow$  rel-spmf R y y')
  by (simp)

lemma if-distrib-map-spmf [if-distribs]:
  map-spmf f (if b then p else q) = (if b then map-spmf f p else map-spmf f q)
  by simp

lemma if-distrib-restrict-spmf1 [if-distribs]:
  restrict-spmf (if b then p else q) A = (if b then restrict-spmf p A else restrict-spmf q A)
  by simp

end

theory Set-Applicative imports
  Applicative-Lifting.Applicative-Set
begin

```

1.22 Applicative instance for 'a set

```

lemma ap-set-conv-bind: ap-set f x = Set.bind f ( $\lambda f. \text{Set.bind } x (\lambda x. \{f x\})$ )
by(auto simp add: ap-set-def bind-UNION)

```

```

context includes applicative-syntax begin

```

```

lemma in-ap-setI:  $\llbracket f' \in f; x' \in x \rrbracket \implies f' x' \in f \diamond x$ 
by(auto simp add: ap-set-def)

```

```

lemma in-ap-setE [elim!]:
   $\llbracket x \in f \diamond y; \bigwedge f' y'. \llbracket x = f' y'; f' \in f; y' \in y \rrbracket \implies \text{thesis} \rrbracket \implies \text{thesis}$ 
by(auto simp add: ap-set-def)

```

```

lemma in-ap-pure-set [iff]: x  $\in \{f\} \diamond y \longleftrightarrow (\exists y' \in y. x = f y')$ 
unfolding ap-set-def by auto

```

```

end

end
theory SPMF-Applicative imports
  Applicative-Lifting.Applicative-PMF
  Set-Applicative
  HOL-Probability.SPMF
begin

```

```
declare eq-on-def [simp del]
```

1.23 Applicative instance for ' a spmf'

```
abbreviation (input) pure-spmf :: ' $a$   $\Rightarrow$  ' $a$  spmf'
where pure-spmf  $\equiv$  return-spmf
```

```
definition ap-spmf :: (' $a$   $\Rightarrow$  ' $b$ ) spmf  $\Rightarrow$  ' $a$  spmf  $\Rightarrow$  ' $b$  spmf
where ap-spmf  $f$   $x$  = map-spmf ( $\lambda(f, x). f x$ ) (pair-spmf  $f$   $x$ )
```

```
lemma ap-spmf-conv-bind: ap-spmf  $f$   $x$  = bind-spmf  $f$  ( $\lambda f. bind-spmf x (\lambda x. return-spmf (f x))$ )
by(simp add: ap-spmf-def map-spmf-conv-bind-spmf pair-spmf-alt-def)
```

```
adhoc-overloading Applicative.ap  $\Leftarrow$  ap-spmf
```

```
context includes applicative-syntax begin
```

```
lemma ap-spmf-id: pure-spmf ( $\lambda x. x$ )  $\diamond$   $x$  =  $x$ 
by(simp add: ap-spmf-def pair-spmf-return-spmf1 spmf.map-comp o-def)
```

```
lemma ap-spmf-comp: pure-spmf ( $\circ$ )  $\diamond$   $u \diamond v \diamond w$  =  $u \diamond (v \diamond w)$ 
by(simp add: ap-spmf-def pair-spmf-return-spmf1 pair-map-spmf1 pair-map-spmf2
spmf.map-comp o-def split-def pair-pair-spmf)
```

```
lemma ap-spmf-homo: pure-spmf  $f \diamond$  pure-spmf  $x$  = pure-spmf ( $f x$ )
by(simp add: ap-spmf-def pair-spmf-return-spmf1)
```

```
lemma ap-spmf-interchange:  $u \diamond$  pure-spmf  $x$  = pure-spmf ( $\lambda f. f x$ )  $\diamond$   $u$ 
by(simp add: ap-spmf-def pair-spmf-return-spmf1 pair-spmf-return-spmf2 spmf.map-comp
o-def)
```

```
lemma ap-spmf-C: return-spmf ( $\lambda f x y. f y x$ )  $\diamond$   $f \diamond x \diamond y$  =  $f \diamond y \diamond x$ 
apply(simp add: ap-spmf-def pair-map-spmf1 spmf.map-comp pair-spmf-return-spmf1
pair-pair-spmf o-def split-def)
apply(subst (2) pair-commute-spmf)
apply(simp add: pair-map-spmf2 spmf.map-comp o-def split-def)
done
```

```
applicative spmf (C)
```

```

for
  pure: pure-spmf
  ap: ap-spmf
by(rule ap-spmf-id ap-spmf-comp[unfolded o-def[abs-def]] ap-spmf-homo ap-spmf-interchange
  ap-spmf-C)+

lemma set-ap-spmf [simp]: set-spmf ( $p \diamond q$ ) = set-spmf  $p \diamond$  set-spmf  $q$ 
by(auto simp add: ap-spmf-def ap-set-def)

lemma bind-ap-spmf: bind-spmf ( $p \diamond x$ )  $f$  = bind-spmf  $p$  ( $\lambda p. x \gg= (\lambda x. f (p x))$ )
by(simp add: ap-spmf-conv-bind)

lemma bind-pmf-ap-return-spmf [simp]: bind-pmf (ap-spmf (return-spmf  $f$ )  $p$ )  $g$ 
= bind-pmf  $p$  ( $g \circ$  map-option  $f$ )
by(auto simp add: ap-spmf-conv-bind bind-spmf-def bind-return-pmf bind-assoc-pmf
intro: bind-pmf-cong split: option.split)

lemma map-spmf-conv-ap [applicative-unfold]: map-spmf  $f$   $p$  = return-spmf  $f \diamond p$ 
by(simp add: map-spmf-conv-bind-spmf ap-spmf-conv-bind)

end

end

```

1.24 Exclusive or on lists

```

theory List-Bits imports Misc-CryptHOL begin

definition xor :: ' $a \Rightarrow 'a \Rightarrow 'a$  :: {uminus,inf,sup} (infixr  $\oplus$  67)
where  $x \oplus y = inf (sup x y) (- (inf x y))$ 

lemma xor-bool-def [iff]: fixes  $x y :: bool$  shows  $x \oplus y \longleftrightarrow x \neq y$ 
by(auto simp add: xor-def)

lemma xor-commute:
  fixes  $x y :: 'a :: \{semilattice-sup,semilattice-inf,uminus\}$ 
  shows  $x \oplus y = y \oplus x$ 
by(simp add: xor-def sup.commute inf.commute)

lemma xor-assoc:
  fixes  $x y :: 'a :: boolean-algebra$ 
  shows  $(x \oplus y) \oplus z = x \oplus (y \oplus z)$ 
by(simp add: xor-def inf-sup-aci inf-sup-distrib1 inf-sup-distrib2)

lemma xor-left-commute:
  fixes  $x y :: 'a :: boolean-algebra$ 
  shows  $x \oplus (y \oplus z) = y \oplus (x \oplus z)$ 
by (metis xor-assoc xor-commute)

```

```

lemma [simp]:
  fixes x :: 'a :: boolean-algebra
  shows xor-bot: x ⊕ bot = x
  and bot-xor: bot ⊕ x = x
  and xor-top: x ⊕ top = - x
  and top-xor: top ⊕ x = - x
  by(simp-all add: xor-def)

lemma xor-inverse [simp]:
  fixes x :: 'a :: boolean-algebra
  shows x ⊕ x = bot
  by(simp add: xor-def)

lemma xor-left-inverse [simp]:
  fixes x :: 'a :: boolean-algebra
  shows x ⊕ x ⊕ y = y
  by(metis xor-left-commute xor-inverse xor-bot)

lemmas xor-ac = xor-assoc xor-commute xor-left-commute

definition xor-list :: 'a :: {uminus,inf,sup} list ⇒ 'a list ⇒ 'a list (infixr <[⊕]> 67)
where xor-list xs ys = map (case-prod (⊕)) (zip xs ys)

lemma xor-list-unfold:
  xs [⊕] ys = (case xs of [] ⇒ [] | x # xs' ⇒ (case ys of [] ⇒ [] | y # ys' ⇒ x ⊕ y # xs' [⊕] ys'))
  by(simp add: xor-list-def split: list.split)

lemma xor-list-commute: fixes xs ys :: 'a :: {semilattice-sup,semilattice-inf,uminus}
list
  shows xs [⊕] ys = ys [⊕] xs
  unfolding xor-list-def by(subst zip-commute)(auto simp add: split-def xor-commute)

lemma xor-list-assoc [simp]:
  fixes xs ys :: 'a :: boolean-algebra list
  shows (xs [⊕] ys) [⊕] zs = xs [⊕] (ys [⊕] zs)
  unfolding xor-list-def zip-map1 zip-map2
  apply(subst (2) zip-commute)
  apply(subst zip-left-commute)
  apply(subst (2) zip-commute)
  apply(auto simp add: zip-map2 split-def xor-assoc)
  done

lemma xor-list-left-commute:
  fixes xs ys zs :: 'a :: boolean-algebra list
  shows xs [⊕] (ys [⊕] zs) = ys [⊕] (xs [⊕] zs)
  by(metis xor-list-assoc xor-list-commute)

```

```

lemmas xor-list-ac = xor-list-assoc xor-list-commute xor-list-left-commute

lemma xor-list-inverse [simp]:
  fixes xs :: 'a :: boolean-algebra list
  shows xs [ $\oplus$ ] xs = replicate (length xs) bot
  by(simp add: xor-list-def zip-same-conv-map o-def map-replicate-const)

lemma xor-replicate-bot-right [simp]:
  fixes xs :: 'a :: boolean-algebra list
  shows [| length xs  $\leq$  n; x = bot |]  $\Rightarrow$  xs [ $\oplus$ ] replicate n x = xs
  by(simp add: xor-list-def zip-replicate2 o-def)

lemma xor-replicate-bot-left [simp]:
  fixes xs :: 'a :: boolean-algebra list
  shows [| length xs  $\leq$  n; x = bot |]  $\Rightarrow$  replicate n x [ $\oplus$ ] xs = xs
  by(simp add: xor-list-commute)

lemma xor-list-left-inverse [simp]:
  fixes xs :: 'a :: boolean-algebra list
  shows length ys  $\leq$  length xs  $\Rightarrow$  xs [ $\oplus$ ] (xs [ $\oplus$ ] ys) = ys
  by(subst xor-list-assoc[symmetric])(simp)

lemma length-xor-list [simp]: length (xor-list xs ys) = min (length xs) (length ys)
by(simp add: xor-list-def)

lemma inj-on-xor-list-nlists [simp]:
  fixes xs :: 'a :: boolean-algebra list
  shows n  $\leq$  length xs  $\Rightarrow$  inj-on (xor-list xs) (nlists UNIV n)
apply(clarsimp simp add: inj-on-def in-nlists-UNIV)
using xor-list-left-inverse by fastforce

lemma one-time-pad:
  fixes xs :: - :: boolean-algebra list
  shows length xs  $\geq$  n  $\Rightarrow$  map-spmf (xor-list xs) (spmf-of-set (nlists UNIV n))
= spmf-of-set (nlists UNIV n)
by(auto 4 3 simp add: in-nlists-UNIV intro: xor-list-left-inverse[symmetric] rev-image-eqI
intro!: arg-cong[where f=spmf-of-set])

end
theory Environment-Functor imports
  Applicative-Lifting.Applicative-Environment
begin

1.25 The environment functor

type-synonym ('i, 'a) envir = 'i  $\Rightarrow$  'a

lemma const-apply [simp]: const x i = x

```

```

by(simp add: const-def)

context includes applicative-syntax begin

lemma ap-envir-apply [simp]: ( $f \diamond x$ )  $i = f i (x i)$ 
by(simp add: apf-def)

definition all-envir :: ('i, bool) envir  $\Rightarrow$  bool
where all-envir  $p \longleftrightarrow (\forall x. p x)$ 

lemma all-envirI [Pure.intro!, intro!]: ( $\Lambda x. p x$ )  $\Longrightarrow$  all-envir  $p$ 
by(simp add: all-envir-def)

lemma all-envirE [Pure.elim 2, elim]: all-envir  $p \Longrightarrow (p x \Longrightarrow thesis) \Longrightarrow thesis$ 
by(simp add: all-envir-def)

lemma all-envirD: all-envir  $p \Longrightarrow p x$ 
by(simp add: all-envir-def)

definition pred-envir :: ('a  $\Rightarrow$  bool)  $\Rightarrow$  ('i, 'a) envir  $\Rightarrow$  bool
where pred-envir  $p f = all-envir (const p \diamond f)$ 

lemma pred-envir-conv: pred-envir  $p f \longleftrightarrow (\forall x. p (f x))$ 
by(auto simp add: pred-envir-def)

lemma pred-envirI [Pure.intro!, intro!]: ( $\Lambda x. p (f x)$ )  $\Longrightarrow$  pred-envir  $p f$ 
by(auto simp add: pred-envir-def)

lemma pred-envirD: pred-envir  $p f \Longrightarrow p (f x)$ 
by(auto simp add: pred-envir-def)

lemma pred-envirE [Pure.elim 2, elim]: pred-envir  $p f \Longrightarrow (p (f x) \Longrightarrow thesis) \Longrightarrow thesis$ 
by(simp add: pred-envir-conv)

lemma pred-envir-mono:  $\llbracket pred-envir p f; \Lambda x. p (f x) \Longrightarrow q (g x) \rrbracket \Longrightarrow pred-envir q g$ 
by(blast)

definition rel-envir :: ('a  $\Rightarrow$  'b  $\Rightarrow$  bool)  $\Rightarrow$  ('i, 'a) envir  $\Rightarrow$  ('i, 'b) envir  $\Rightarrow$  bool
where rel-envir  $p f g \longleftrightarrow all-envir (const p \diamond f \diamond g)$ 

lemma rel-envir-conv: rel-envir  $p f g \longleftrightarrow (\forall x. p (f x) (g x))$ 
by(auto simp add: rel-envir-def)

lemma rel-envir-conv-rel-fun: rel-envir = rel-fun (=)
by(simp add: rel-envir-conv rel-fun-def fun-eq-iff)

```

```

lemma rel-envirI [Pure.intro!, intro!]: ( $\lambda x. p(fx)(gx)$ )  $\Rightarrow$  rel-envir p f g
by(auto simp add: rel-envir-def)

lemma rel-envirD: rel-envir p f g  $\Rightarrow$  p(fx)(gx)
by(auto simp add: rel-envir-def)

lemma rel-envirE [Pure.elim 2, elim]: rel-envir p f g  $\Rightarrow$  (p(fx)(gx)  $\Rightarrow$  thesis)
 $\Rightarrow$  thesis
by(simp add: rel-envir-conv)

lemma rel-envir-mono:  $\llbracket \text{rel-envir } p \text{ } f \text{ } g; \lambda x. p(fx)(gx) \Rightarrow q(f'x)(g'x) \rrbracket \Rightarrow$ 
rel-envir q f' g'
by blast

lemma rel-envir-mono1:  $\llbracket \text{pred-envir } p \text{ } f; \lambda x. p(fx) \Rightarrow q(f'x)(g'x) \rrbracket \Rightarrow$ 
rel-envir q f' g'
by blast

lemma pred-envir-mono2:  $\llbracket \text{rel-envir } p \text{ } f \text{ } g; \lambda x. p(fx)(gx) \Rightarrow q(f'x) \rrbracket \Rightarrow$ 
pred-envir q f'
by blast

end

end

```

theory Partial-Function-Set **imports** Main **begin**

1.26 Setup for partial-function for sets

lemma (in complete-lattice) lattice-partial-function-definition:
partial-function-definitions (\leq) Sup
by(unfold-locales)(auto intro: Sup-upper Sup-least)

interpretation set: partial-function-definitions (\subseteq) Union
by(rule lattice-partial-function-definition)

lemma fun-lub-Sup: fun-lub Sup = (Sup :: - \Rightarrow - :: complete-lattice)
by(fastforce simp add: fun-lub-def fun-eq-iff Sup-fun-def intro: Sup-eqI SUP-upper SUP-least)

lemma set-admissible: set.admissible ($\lambda f :: 'a \Rightarrow 'b$ set. $\forall x y. y \in fx \rightarrow P x y$)
by(rule ccpo.admissibleI)(auto simp add: fun-lub-Sup)

abbreviation mono-set = monotone (fun-ord (\subseteq)) (\subseteq)

lemma fixp-induct-set-scott:
fixes F :: 'c \Rightarrow 'c

```

and  $U :: 'c \Rightarrow 'b \Rightarrow 'a \text{ set}$ 
and  $C :: ('b \Rightarrow 'a \text{ set}) \Rightarrow 'c$ 
and  $P :: 'b \Rightarrow 'a \Rightarrow \text{bool}$ 
and  $x \text{ and } y$ 
assumes  $\text{mono}: \bigwedge x. \text{mono-set}(\lambda f. U(F(Cf))x)$ 
and  $eq: f \equiv C(\text{ccpo.fixp}(\text{fun-lub Sup})(\text{fun-ord}(\leq))(\lambda f. U(F(Cf))))$ 
and  $\text{inverse2}: \bigwedge f. U(Cf) = f$ 
and  $\text{step}: \bigwedge f x y. [\bigwedge x y. y \in Uf \Rightarrow P x y; y \in U(Ff)x] \Rightarrow P x y$ 
and  $\text{enforce-variable-ordering}: x = x$ 
and  $\text{elem}: y \in Uf x$ 
shows  $P x y$ 
using  $\text{step elem set.fixp-induct-uc}[of U F C, OF \text{mono eq inverse2 set-admissible},$ 
 $of P]$ 
by  $\text{blast}$ 

```

```

lemma  $\text{fixp-Sup-le}:$ 
defines  $le \equiv ((\leq) :: - :: \text{complete-lattice} \Rightarrow -)$ 
shows  $\text{ccpo.fixp Sup le} = \text{ccpo-class.fixp}$ 
proof -
  have  $\text{class.ccpo Sup le} (<) \text{ unfolding le-def by unfold-locales}$ 
  thus  $?thesis$ 
    by( $\text{simp add: ccpo.fixp-def fixp-def ccpo.iterates-def iterates-def ccpo.iteratesp-def}$ 
 $\text{iteratesp-def fun-eq-iff le-def}$ )
qed

```

```

lemma  $\text{fun-ord-le}: \text{fun-ord}(\leq) = (\leq)$ 
by( $\text{auto simp add: fun-ord-def fun-eq-iff le-fun-def}$ )

```

```

lemma  $\text{fixp-induct-set}:$ 
fixes  $F :: 'c \Rightarrow 'c$ 
and  $U :: 'c \Rightarrow 'b \Rightarrow 'a \text{ set}$ 
and  $C :: ('b \Rightarrow 'a \text{ set}) \Rightarrow 'c$ 
and  $P :: 'b \Rightarrow 'a \Rightarrow \text{bool}$ 
and  $x \text{ and } y$ 
assumes  $\text{mono}: \bigwedge x. \text{mono-set}(\lambda f. U(F(Cf))x)$ 
and  $eq: f \equiv C(\text{ccpo.fixp}(\text{fun-lub Sup})(\text{fun-ord}(\leq))(\lambda f. U(F(Cf))))$ 
and  $\text{inverse2}: \bigwedge f. U(Cf) = f$ 

and  $\text{step}: \bigwedge f' x y. [\bigwedge x. Uf' x = Uf' x; y \in U(F(C(\text{inf}(Uf)(\lambda x. \{y. P x y\}))))x] \Rightarrow P x y$ 
  — partial_function requires a quantifier over f', so let's have a fake one
and  $\text{elem}: y \in Uf x$ 
shows  $P x y$ 
proof -
  from  $\text{mono}$ 
  have  $\text{mono}' : \text{mono}(\lambda f. U(F(Cf)))$ 
    by( $\text{simp add: fun-ord-le mono-def le-fun-def}$ )
  hence  $eq' : f \equiv C(\text{lfp}(\lambda f. U(F(Cf))))$ 

```

using *eq unfolding fun-ord-le fun-lub-Sup fixp-Sup-le by(simp add: lfp-eq-fixp)*

let $?f = C (\text{lfp } (\lambda f. U (F (C f))))$
have $\text{step}': \bigwedge x y. [\![y \in U (F (C (\inf (U ?f) (\lambda x. \{y. P x y\}))))) x]!] \implies P x y$
unfolding *eq['symmetric]* **by**(rule *step[OF refl]*)

let $?P = \lambda x. \{y. P x y\}$
from *mono'* **have** $\text{lfp } (\lambda f. U (F (C f))) \leq ?P$
by(rule *lfp-induct*)(auto intro!: *le-funI* *step'* simp add: *inverse2*)
with elem show *?thesis*
by(subst (asm) *eq'*)(auto simp add: *inverse2 le-fun-def*)
qed

declaration <*Partial-Function.init set* @{*term set.fixp-fun*}
@{*term set.mono-body*} @{*thm set.fixp-rule-uc*} @{*thm set.fixp-induct-uc*}
(*SOME* @{*thm fixp-induct-set*})>

lemma [*partial-function-mono*]:
shows *insert-mono*: *mono-set A* \implies *mono-set* ($\lambda f. \text{insert } x (A f)$)
and *UNION-mono*: $[\![\text{mono-set } B; \bigwedge y. \text{mono-set } (\lambda f. C y f)]!] \implies \text{mono-set } (\lambda f. \bigcup_{y \in B} f. C y f)$
and *set-bind-mono*: $[\![\text{mono-set } B; \bigwedge y. \text{mono-set } (\lambda f. C y f)]!] \implies \text{mono-set } (\lambda f. \text{Set.bind } (B f) (\lambda y. C y f))$
and *Un-mono*: $[\![\text{mono-set } A; \text{mono-set } B]!] \implies \text{mono-set } (\lambda f. A f \cup B f)$
and *Int-mono*: $[\![\text{mono-set } A; \text{mono-set } B]!] \implies \text{mono-set } (\lambda f. A f \cap B f)$
and *Diff-mono1*: *mono-set A* \implies *mono-set* ($\lambda f. A f - X$)
and *image-mono*: *mono-set A* \implies *mono-set* ($\lambda f. g ` A f$)
and *vimage-mono*: *mono-set A* \implies *mono-set* ($\lambda f. g -` A f$)
unfolding *bind-UNION* **by**(fast intro!: *monotoneI dest: monotoneD*)+

partial-function (*set*) *test* :: 'a list \Rightarrow nat \Rightarrow bool \Rightarrow int set
where

test xs i j = *insert 4* (*test [] 0 j* \cup *test [] 1 True* \cap *test [] 2 False* $- \{5\}$ \cup *uminus` test [undefined] 0 True* \cup *uminus` test [] 1 False*)

interpretation *coset*: *partial-function-definitions* (\supseteq) *Inter*
by(rule *complete-lattice.lattice-partial-function-definition[OF dual-complete-lattice]*)

lemma *fun-lub-Inf*: *fun-lub Inf* = (*Inf :: - \Rightarrow - :: complete-lattice*)
by(auto simp add: *fun-lub-def fun-eq-iff Inf-fun-def intro: Inf-eqI INF-lower INF-greatest*)

lemma *fun-ord-ge*: *fun-ord* (\geq) = (\geq)
by(auto simp add: *fun-ord-def fun-eq-iff le-fun-def*)

lemma *coset-admissible*: *coset.admissible* ($\lambda f :: 'a \Rightarrow 'b \text{ set}. \forall x y. P x y \longrightarrow y \in f x$)
by(rule *ccpo.admissibleI*)(auto simp add: *fun-lub-Inf*)

abbreviation *mono-coset* \equiv *monotone (fun-ord (\supseteq))* (\supseteq)

```

lemma gfp-eq-fixp:
  fixes f :: 'a :: complete-lattice ⇒ 'a
  assumes f: monotone (≥) (≥) f
  shows gfp f = ccpo.fixp Inf (≥) f
proof (rule antisym)
  from f have f': mono f by(simp add: mono-def monotone-def)

  interpret ccpo Inf (≥) mk-less (≥) :: 'a ⇒ -
    by(rule ccpo)(rule complete-lattice.lattice-partial-function-definition[OF dual-complete-lattice])
  show ccpo.fixp Inf (≥) f ≤ gfp f
    by(rule gfp-upperbound)(subst fixp-unfold[OF f], rule order-refl)

  show gfp f ≤ ccpo.fixp Inf (≥) f
    by(rule fixp-lowerbound[OF f])(subst gfp-unfold[OF f'], rule order-refl)
qed

lemma fixp-coinduct-set:
  fixes F :: 'c ⇒ 'c
  and U :: 'c ⇒ 'b ⇒ 'a set
  and C :: ('b ⇒ 'a set) ⇒ 'c
  and P :: 'b ⇒ 'a ⇒ bool
  and x and y
  assumes mono: ∀x. mono-coset (λf. U (F (C f))) x
  and eq: f ≡ C (ccpo.fixp (fun-lub Inter) (fun-ord (≥)) (λf. U (F (C f))))
  and inverse2: ∀f. U (C f) = f

  and step: ∀f' x y. [ ∀x. U f' x = U f' x; ¬ P x y ] ⇒ y ∈ U (F (C (sup (λx.
  {y. ¬ P x y}) (U f)))) x
    — partial_function requires a quantifier over f', so let's have a fake one
  and elem: y ∉ U f x
  shows P x y
using elem
proof(rule contrapos-np)
  have mono': monotone (≥) (≥) (λf. U (F (C f)))
  and mono'': mono (λf. U (F (C f)))
  using mono by(simp-all add: monotone-def fun-ord-def le-fun-def mono-def)
  hence eq': U f = gfp (λf. U (F (C f)))
    by(subst eq)(simp add: fun-lub-Inf fun-ord-ge gfp-eq-fixp inverse2)

  let ?P = λx. {y. ¬ P x y}
  have ?P ≤ gfp (λf. U (F (C f)))
    using mono'' by(rule coinduct)(auto intro!: le-funI dest: step[OF refl] simp
add: eq')
  moreover
  assume ¬ P x y
  ultimately show y ∈ U f x by(auto simp add: le-fun-def eq')
qed

```

```

declaration <Partial-Function.init coset @{term coset.fixp-fun}
@{term coset.mono-body} @{thm coset.fixp-rule-uc} @{thm coset.fixp-induct-uc}
(SOME @{thm fixp-coinduct-set})>

abbreviation mono-set' ≡ monotone (fun-ord (⊇)) (⊇)

lemma [partial-function-mono]:
  shows insert-mono': mono-set' A ⇒ mono-set' (λf. insert x (A f))
  and UNION-mono': [mono-set' B; ∀y. mono-set' (λf. C y f)] ⇒ mono-set'
(λf. ∪ y∈B f. C y f)
  and set-bind-mono': [mono-set' B; ∀y. mono-set' (λf. C y f)] ⇒ mono-set'
(λf. Set.bind (B f) (λy. C y f))
  and Un-mono': [ mono-set' A; mono-set' B ] ⇒ mono-set' (λf. A f ∪ B f)
  and Int-mono': [ mono-set' A; mono-set' B ] ⇒ mono-set' (λf. A f ∩ B f)
unfolding bind-UNION by(fast intro!: monotoneI dest: monotoneD)+

context begin
private partial-function (coset) test2 :: nat ⇒ nat set
where test2 x = insert x (test2 (Suc x))

private lemma test2-coinduct:
assumes P x y
and *: ∀x y. P x y ⇒ y = x ∨ (P (Suc x) y ∨ y ∈ test2 (Suc x))
shows y ∈ test2 x
using <P x y>
apply(rule contrapos-pp)
apply(erule test2.raw-induct[rotated])
apply(simp add: *)
done

end

end

```

2 Negligibility

```

theory Negligible imports
  Complex-Main
  Landau-Symbols.Landau-More
begin

named-theorems negligible-intros

definition negligible :: (nat ⇒ real) ⇒ bool
where negligible f ↔ (∀c>0. f ∈ o(λx. inverse (x powr c)))

lemma negligibleI [intro?]:
  (λc. c > 0 ⇒ f ∈ o(λx. inverse (x powr c))) ⇒ negligible f
unfolding negligible-def by(simp)

```

```

lemma negligibleD:
   $\llbracket \text{negligible } f; c > 0 \rrbracket \implies f \in o(\lambda x. \text{inverse} (x \text{ powr } c))$ 
  unfolding negligible-def by(simp)

lemma negligibleD-real:
  assumes negligible f
  shows  $f \in o(\lambda x. \text{inverse} (x \text{ powr } c))$ 
proof -
  let ?c = max 1 c
  have  $f \in o(\lambda x. \text{inverse} (x \text{ powr } ?c))$  using assms by(rule negligibleD) simp
  also have  $(\lambda x. x \text{ powr } c) \in O(\lambda x. \text{real } x \text{ powr } \max 1 c)$ 
    by(rule bigoI[where c=1])(auto simp add: eventually-at-top-linorder intro!
  exI[where x=1] powr-mono)
  then have  $(\lambda x. \text{inverse} (\text{real } x \text{ powr } \max 1 c)) \in O(\lambda x. \text{inverse} (x \text{ powr } c))$ 
    by(auto simp add: eventually-at-top-linorder exI[where x=1] intro: landau-o.big.inverse)
  finally show ?thesis .
qed

lemma negligible-mono:  $\llbracket \text{negligible } g; f \in O(g) \rrbracket \implies \text{negligible } f$ 
  by(rule negligibleI)(drule (1) negligibleD; erule (1) landau-o.big-small-trans)

lemma negligible-le:  $\llbracket \text{negligible } g; \bigwedge \eta. |f \eta| \leq g \eta \rrbracket \implies \text{negligible } f$ 
  by(erule negligible-mono)(force intro: order-trans intro!: eventually-sequentiallyI
  landau-o.big-mono)

lemma negligible-K0 [negligible-intros, simp, intro!]:  $\text{negligible } (\lambda -. 0)$ 
  by(rule negligibleI) simp

lemma negligible-0 [negligible-intros, simp, intro!]:  $\text{negligible } 0$ 
  by(simp add: zero-fun-def)

lemma negligible-const-iff [simp]:  $\text{negligible } (\lambda -. c :: \text{real}) \longleftrightarrow c = 0$ 
  by(auto simp add: negligible-def const-small-o-inverse-powr filterlim-real-sequentially
  dest!: spec[where x=1])

lemma not-negligible-1:  $\neg \text{negligible } (\lambda -. 1 :: \text{real})$ 
  by simp

lemma negligible-plus [negligible-intros]:
   $\llbracket \text{negligible } f; \text{negligible } g \rrbracket \implies \text{negligible } (\lambda \eta. f \eta + g \eta)$ 
  by(auto intro!: negligibleI dest!: negligibleD intro: sum-in-small-o)

lemma negligible-uminus [simp]:  $\text{negligible } (\lambda \eta. - f \eta) \longleftrightarrow \text{negligible } f$ 
  by(simp add: negligible-def)

lemma negligible-uminusI [negligible-intros]:  $\text{negligible } f \implies \text{negligible } (\lambda \eta. - f \eta)$ 
  by simp

```

```

lemma negligible-minus [negligible-intros]:
   $\llbracket \text{negligible } f; \text{negligible } g \rrbracket \implies \text{negligible } (\lambda\eta. f \eta - g \eta)$ 
by(auto simp add: uminus-add-conv-diff[symmetric] negligible-plus simp del: uminus-add-conv-diff)

lemma negligible-cmult: negligible  $(\lambda\eta. c * f \eta) \longleftrightarrow \text{negligible } f \vee c = 0$ 
by(auto intro!: negligibleI dest!: negligibleD)

lemma negligible-cmultI [negligible-intros]:
   $(c \neq 0 \implies \text{negligible } f) \implies \text{negligible } (\lambda\eta. c * f \eta)$ 
by(auto simp add: negligible-cmult)

lemma negligible-multc: negligible  $(\lambda\eta. f \eta * c) \longleftrightarrow \text{negligible } f \vee c = 0$ 
by(subst mult.commute)(simp add: negligible-cmult)

lemma negligible-multcI [negligible-intros]:
   $(c \neq 0 \implies \text{negligible } f) \implies \text{negligible } (\lambda\eta. f \eta * c)$ 
by(auto simp add: negligible-multc)

lemma negligible-times [negligible-intros]:
  assumes f: negligible f
  and g: negligible g
  shows negligible  $(\lambda\eta. f \eta * g \eta :: \text{real})$ 
proof
  fix c :: real
  assume 0 < c
  hence 0 < c / 2 by simp
  from negligibleD[OF f this] negligibleD[OF g this]
  have  $(\lambda\eta. f \eta * g \eta) \in o(\lambda x. \text{inverse}(x \text{ powr } (c / 2)) * \text{inverse}(x \text{ powr } (c / 2)))$ 
    by(rule landau-o.small-mult)
  also have ... =  $o(\lambda x. \text{inverse}(x \text{ powr } c))$ 
    by(rule landau-o.small.cong)(auto simp add: inverse-mult-distrib[symmetric]
      powr-add[symmetric] eventually-at-top-linorder intro!: extI[where x=1] simp del:
      inverse-mult-distrib)
  finally show  $(\lambda\eta. f \eta * g \eta) \in \dots$  .
qed

lemma negligible-power [negligible-intros]:
  assumes negligible f
  and n > 0
  shows negligible  $(\lambda\eta. f \eta \wedge n :: \text{real})$ 
using ⟨n > 0⟩
proof(induct n)
  case (Suc n)
  thus ?case using ⟨negligible f⟩ by(cases n)(simp-all add: negligible-times)
qed simp

lemma negligible-powr [negligible-intros]:
  assumes f: negligible f

```

```

and p:  $p > 0$ 
shows negligible ( $\lambda x. |f x| \text{ powr } p :: \text{real}$ )
proof
  fix c :: real
  let ?c = c / p
  assume c:  $0 < c$ 
  with p have  $0 < ?c$  by simp
  with f have  $f \in o(\lambda x. \text{inverse}(x \text{ powr } ?c))$  by(rule negligibleD)
  hence  $(\lambda x. |f x| \text{ powr } p) \in o(\lambda x. |\text{inverse}(x \text{ powr } ?c)| \text{ powr } p)$  using p by(rule
  smallo-powr)
  also have ... =  $o(\lambda x. \text{inverse}(x \text{ powr } c))$ 
  apply(rule landau-o.small.cong) using p by(auto simp add: powr-powr)
  finally show  $(\lambda x. |f x| \text{ powr } p) \in \dots$  .
qed

lemma negligible-abs [simp]: negligible ( $\lambda x. |f x|$ )  $\longleftrightarrow$  negligible f
by(simp add: negligible-def)

lemma negligible-absI [negligible-intros]: negligible f  $\implies$  negligible ( $\lambda x. |f x|$ )
by(simp)

lemma negligible-powrI [negligible-intros]:
  assumes  $0 \leq k$   $k < 1$ 
  shows negligible ( $\lambda x. k \text{ powr } x$ )
  proof(cases k = 0)
    case True
    thus ?thesis by simp
  next
    case False
    show ?thesis
    proof
      fix c :: real
      assume  $0 < c$ 
      then have  $(\lambda x. \text{real } x \text{ powr } c) \in o(\lambda x. \text{inverse } k \text{ powr } \text{real } x)$  using assms False
        by(intro powr-fast-growth-tendsto)(simp-all add: one-less-inverse-iff filter-
lim-real-sequentially)
      then have  $(\lambda x. \text{inverse } (k \text{ powr } - \text{real } x)) \in o(\lambda x. \text{inverse } (\text{real } x \text{ powr } c))$ 
      using assms
        by(intro landau-o.small.inverse)(auto simp add: False eventually-sequentially
powr-minus intro: exI[where x=1])
      also have  $(\lambda x. \text{inverse } (k \text{ powr } - \text{real } x)) = (\lambda x. k \text{ powr } \text{real } x)$  by(simp add:
powr-minus)
      finally show ...  $\in o(\lambda x. \text{inverse } (x \text{ powr } c))$  .
    qed
  qed

lemma negligible-powerI [negligible-intros]:
  fixes k :: real
  assumes  $|k| < 1$ 

```

```

shows negligible ( $\lambda n. k \wedge n$ )
proof(cases k = 0)
  case True
    show ?thesis using negligible-K0
      by(rule negligible-mono)(auto intro: exI[where x=1] simp add: True eventually-at-top-linorder)
  next
  case False
    hence 0 < |k| by auto
    from assms have negligible ( $\lambda x. |k| \text{ powr real } x$ ) using negligible-powrI[of |k|]
    by simp
    hence negligible ( $\lambda x. |k| \wedge x$ ) using False
      by(elim negligible-mono)(simp add: powr-realpow)
    then show ?thesis by(simp add: power-abs[symmetric])
qed

lemma negligible-inverse-powerI [negligible-intros]:  $|k| > 1 \implies \text{negligible } (\lambda \eta. 1 / k \wedge \eta)$ 
using negligible-powerI[of 1 / k] by(simp add: power-one-over)

inductive polynomial :: (nat ⇒ real) ⇒ bool
  for f
  where f ∈ O(λx. x powr n) ⟹ polynomial f

lemma negligible-times-poly:
  assumes f: negligible f
  and g: g ∈ O(λx. x powr n)
  shows negligible ( $\lambda x. f x * g x$ )
proof
  fix c :: real
  assume c: 0 < c
  from negligibleD-real[OF f] g
  have ( $\lambda x. f x * g x$ ) ∈ o(λx. inverse (x powr (c + n)) * x powr n)
    by(rule landau-o.small-big-mult)
  also have ... = o(λx. inverse (x powr c))
    by(rule landau-o.small.cong)(auto simp add: powr-minus[symmetric] powr-add[symmetric]
      intro!: exI[where x=0])
  finally show ( $\lambda x. f x * g x$ ) ∈ o(λx. inverse (x powr c)) .
qed

lemma negligible-poly-times:
  [| f ∈ O(λx. x powr n); negligible g |] ⟹ negligible ( $\lambda x. f x * g x$ )
by(subst mult.commute)(rule negligible-times-poly)

lemma negligible-times-polynomial [negligible-intros]:
  [| negligible f; polynomial g |] ⟹ negligible ( $\lambda x. f x * g x$ )
by(clarsimp simp add: polynomial.simps negligible-times-poly)

lemma negligible-polynomial-times [negligible-intros]:

```

```

 $\llbracket \text{polynomial } f; \text{negligible } g \rrbracket \implies \text{negligible } (\lambda x. f x * g x)$ 
by(clarsimp simp add: polynomial.simps negligible-poly-times)
lemma negligible-divide-poly1:
 $\llbracket f \in O(\lambda x. x \text{ powr } n); \text{negligible } (\lambda \eta. 1 / g \eta) \rrbracket \implies \text{negligible } (\lambda \eta. \text{real } (f \eta) / g \eta)$ 
by(drule (1) negligible-times-poly simp

lemma negligible-divide-polynomial1 [negligible-intros]:
 $\llbracket \text{polynomial } f; \text{negligible } (\lambda \eta. 1 / g \eta) \rrbracket \implies \text{negligible } (\lambda \eta. \text{real } (f \eta) / g \eta)$ 
by(clarsimp simp add: polynomial.simps negligible-divide-poly1)

```

end

3 The resumption-error monad

```

theory Resumption
imports
  Misc-CryptHOL
  Partial-Function-Set
begin

codatatype (results: 'a, outputs: 'out, 'in) resumption
  = Done (result: 'a option)
  | Pause (output: 'out) (resume: 'in  $\Rightarrow$  ('a, 'out, 'in) resumption)
where
  resume (Done a) = ( $\lambda$ inp. Done None)

code-datatype Done Pause

primcorec bind-resumption :: 
  ('a, 'out, 'in) resumption
   $\Rightarrow$  ('a  $\Rightarrow$  ('b, 'out, 'in) resumption)  $\Rightarrow$  ('b, 'out, 'in) resumption
where
   $\llbracket \text{is-Done } x; \text{result } x \neq \text{None} \longrightarrow \text{is-Done } (f (\text{the } (\text{result } x))) \rrbracket \implies \text{is-Done } (\text{bind-resumption } x f)$ 
  | result (bind-resumption x f) = result x  $\ggg$  result  $\circ$  f
  | output (bind-resumption x f) = (if is-Done x then output (f (the (result x))) else output x)
  | resume (bind-resumption x f) = ( $\lambda$ inp. if is-Done x then resume (f (the (result x))) inp else bind-resumption (resume x inp) f)

declare bind-resumption.sel [simp del]

adhoc-overloading Monad-Syntax.bind  $\equiv$  bind-resumption

lemma is-Done-bind-resumption [simp]:
  is-Done (x  $\ggg$  f)  $\longleftrightarrow$  is-Done x  $\wedge$  (result x  $\neq$  None  $\longrightarrow$  is-Done (f (the (result x))))
```

```

by(simp add: bind-resumption-def)

lemma result-bind-resumption [simp]:
  is-Done (x ≫= f) ==> result (x ≫= f) = result x ≫= result ∘ f
by(simp add: bind-resumption-def)

lemma output-bind-resumption [simp]:
  ¬ is-Done (x ≫= f) ==> output (x ≫= f) = (if is-Done x then output (f (the
  (result x))) else output x)
by(simp add: bind-resumption-def)

lemma resume-bind-resumption [simp]:
  ¬ is-Done (x ≫= f) ==>
  resume (x ≫= f) =
  (if is-Done x then resume (f (the (result x)))
  else (λinp. resume x inp ≫= f))
by(auto simp add: bind-resumption-def)

definition DONE :: 'a ⇒ ('a, 'out, 'in) resumption
where DONE = Done ∘ Some

definition ABORT :: ('a, 'out, 'in) resumption
where ABORT = Done None

lemma [simp]:
  shows is-Done-DONE: is-Done (DONE a)
  and is-Done-ABORT: is-Done ABORT
  and result-DONE: result (DONE a) = Some a
  and result-ABORT: result ABORT = None
  and DONE-inject: DONE a = DONE b ↔ a = b
  and DONE-neq-ABORT: DONE a ≠ ABORT
  and ABORT-neq-DONE: ABORT ≠ DONE a
  and ABORT-eq-Done: ∀a. ABORT = Done a ↔ a = None
  and Done-eq-ABORT: ∀a. Done a = ABORT ↔ a = None
  and DONE-eq-Done: ∀b. DONE a = Done b ↔ b = Some a
  and Done-eq-DONE: ∀b. Done b = DONE a ↔ b = Some a
  and DONE-neq-Pause: DONE a ≠ Pause out c
  and Pause-neq-DONE: Pause out c ≠ DONE a
  and ABORT-neq-Pause: ABORT ≠ Pause out c
  and Pause-neq-ABORT: Pause out c ≠ ABORT
by(auto simp add: DONE-def ABORT-def)

lemma resume-ABORT [simp]:
  resume (Done r) = (λinp. ABORT)
by(simp add: ABORT-def)

declare resumption.sel(3)[simp del]

lemma results-DONE [simp]: results (DONE x) = {x}

```

```

by(simp add: DONE-def)

lemma results-ABORT [simp]: results ABORT = {}
by(simp add: ABORT-def)

lemma outputs-ABORT [simp]: outputs ABORT = {}
by(simp add: ABORT-def)

lemma outputs-DONE [simp]: outputs (DONE x) = {}
by(simp add: DONE-def)

lemma is-Done-cases [cases pred]:
assumes is-Done r
obtains (DONE) x where r = DONE x | (ABORT) r = ABORT
using assms by(cases r) auto

lemma not-is-Done-conv-Pause: ¬ is-Done r ↔ (∃ out c. r = Pause out c)
by(cases r) auto

lemma Done-bind [code]:
Done a ≈ f = (case a of None ⇒ Done None | Some a ⇒ f a)
by(rule resumption.expand)(auto split: option.split)

lemma DONE-bind [simp]:
DONE a ≈ f = f a
by(simp add: DONE-def Done-bind)

lemma bind-resumption-Pause [simp, code]: fixes cont shows
Pause out cont ≈ f
= Pause out (λinp. cont inp ≈ f)
by(rule resumption.expand)(simp-all)

lemma bind-DONE [simp]:
x ≈ DONE = x
by(coinduction arbitrary: x)(auto simp add: split-beta o-def)

lemma bind-bind-resumption:
fixes r :: ('a, 'in, 'out) resumption
shows (r ≈ f) ≈ g = do { x ← r; f x ≈ g }
apply(coinduction arbitrary: r rule: resumption.coinduct-strong)
apply(auto simp add: split-beta bind-eq-Some-conv)
apply(case-tac [|] result r)
apply simp-all
done

lemmas resumption-monad = DONE-bind bind-DONE bind-bind-resumption

lemma ABORT-bind [simp]: ABORT ≈ f = ABORT
by(simp add: ABORT-def Done-bind)

```

```

lemma bind-resumption-is-Done: is-Done f  $\implies$  f  $\ggg$  g = (if result f = None then
ABORT else g (the (result f)))
by(rule resumption.expand) auto

lemma bind-resumption-eq-Done-iff [simp]:
f  $\ggg$  g = Done x  $\longleftrightarrow$  ( $\exists$  y. f = DONE y  $\wedge$  g y = Done x)  $\vee$  f = ABORT  $\wedge$  x
= None
by(cases f)(auto simp add: Done-bind split: option.split)

lemma bind-resumption-cong:
assumes x = y
and  $\bigwedge$  z. z  $\in$  results y  $\implies$  f z = g z
shows x  $\ggg$  f = y  $\ggg$  g
using assms(2) unfolding {x = y}
proof(coinduction arbitrary: y rule: resumption.coinduct-strong)
case Eq-resumption thus ?case
by(auto intro: resumption.setsel simp add: is-Done-def rel-fun-def)
(fastforce del: exI intro!: exI intro: resumption.setsel(2) simp add: is-Done-def)
qed

lemma results-bind-resumption:
results (bind-resumption x f) = ( $\bigcup$  a  $\in$  results x. results (f a))
(is ?lhs = ?rhs)
proof(intro set-eqI iffI)
show z  $\in$  ?rhs if z  $\in$  ?lhs for z using that
proof(induction r  $\equiv$  x  $\ggg$  f arbitrary: x)
case (Done z z' x)
from Done(1) Done(2)[symmetric] show ?case by(auto)
next
case (Pause out c r z x)
then show ?case
proof(cases x)
case (Done x')
show ?thesis
proof(cases x')
case None
with Done Pause(4) show ?thesis by(auto simp add: ABORT-def[symmetric])
next
case (Some x'')
thus ?thesis using Pause(1,2,4) Done
by(auto 4 3 simp add: DONE-def[unfolded o-def, symmetric, unfolded
fun-eq-iff] dest: sym)
qed
qed(fastforce)
qed
next
fix z
assume z  $\in$  ?rhs

```

```

then obtain z' where z': z' ∈ results x
  and z: z ∈ results (f z') by blast
from z' show z ∈ ?lhs
proof(induction z'≡z' x)
  case (Done r)
  then show ?case using z
  by(auto simp add: DONE-def[unfolded o-def, symmetric, unfolded fun-eq-iff])
qed auto
qed

lemma outputs-bind-resumption [simp]:
outputs (bind-resumption r f) = outputs r ∪ (⋃ x∈results r. outputs (f x))
(is ?lhs = ?rhs)
proof(rule set-eqI iffI)+
show x ∈ ?rhs if x ∈ ?lhs for x using that
proof(induction r'≡bind-resumption r f arbitrary: r)
  case (Pause1 out c)
  thus ?case by(cases r)(auto simp add: Done-bind split: option.split-asm dest:
sym)
next
  case (Pause2 out c r' x)
  thus ?case by(cases r)(auto 4 3 simp add: Done-bind split: option.split-asm
dest: sym)
qed
next
fix x
assume x ∈ ?rhs
then consider (left) x ∈ outputs r | (right) a where a ∈ results r x ∈ outputs
(f a) by auto
then show x ∈ ?lhs
proof cases
{ case left thus ?thesis by induction auto }
{ case right thus ?thesis by induction(auto simp add: Done-bind) }
qed
qed

primrec ensure :: bool ⇒ (unit, 'out, 'in) resumption
where
ensure True = DONE ()
| ensure False = ABORT

lemma is-Done-map-resumption [simp]:
is-Done (map-resumption f1 f2 r) ←→ is-Done r
by(cases r) simp-all

lemma result-map-resumption [simp]:
is-Done r ⇒ result (map-resumption f1 f2 r) = map-option f1 (result r)
by(clarsimp simp add: is-Done-def)

```

```

lemma output-map-resumption [simp]:
   $\neg \text{is-Done } r \implies \text{output}(\text{map-resumption } f1 f2 r) = f2(\text{output } r)$ 
by(cases r) simp-all

lemma resume-map-resumption [simp]:
   $\neg \text{is-Done } r \implies \text{resume}(\text{map-resumption } f1 f2 r) = \text{map-resumption } f1 f2 \circ \text{resume } r$ 
by(cases r) simp-all

lemma rel-resumption-is-DoneD: rel-resumption A B r1 r2  $\implies \text{is-Done } r1 \longleftrightarrow \text{is-Done } r2$ 
by(cases r1 r2 rule: resumption.exhaust[case-product resumption.exhaust]) simp-all

lemma rel-resumption-resultD1:
   $\llbracket \text{rel-resumption } A B r1 r2; \text{is-Done } r1 \rrbracket \implies \text{rel-option } A (\text{result } r1) (\text{result } r2)$ 
by(cases r1 r2 rule: resumption.exhaust[case-product resumption.exhaust]) simp-all

lemma rel-resumption-resultD2:
   $\llbracket \text{rel-resumption } A B r1 r2; \text{is-Done } r2 \rrbracket \implies \text{rel-option } A (\text{result } r1) (\text{result } r2)$ 
by(cases r1 r2 rule: resumption.exhaust[case-product resumption.exhaust]) simp-all

lemma rel-resumption-outputD1:
   $\llbracket \text{rel-resumption } A B r1 r2; \neg \text{is-Done } r1 \rrbracket \implies B(\text{output } r1) (\text{output } r2)$ 
by(cases r1 r2 rule: resumption.exhaust[case-product resumption.exhaust]) simp-all

lemma rel-resumption-outputD2:
   $\llbracket \text{rel-resumption } A B r1 r2; \neg \text{is-Done } r2 \rrbracket \implies B(\text{output } r1) (\text{output } r2)$ 
by(cases r1 r2 rule: resumption.exhaust[case-product resumption.exhaust]) simp-all

lemma rel-resumption-resumeD1:
   $\llbracket \text{rel-resumption } A B r1 r2; \neg \text{is-Done } r1 \rrbracket \implies \text{rel-resumption } A B (\text{resume } r1 \text{ inp}) (\text{resume } r2 \text{ inp})$ 
by(cases r1 r2 rule: resumption.exhaust[case-product resumption.exhaust])(auto dest: rel-funD)

lemma rel-resumption-resumeD2:
   $\llbracket \text{rel-resumption } A B r1 r2; \neg \text{is-Done } r2 \rrbracket \implies \text{rel-resumption } A B (\text{resume } r1 \text{ inp}) (\text{resume } r2 \text{ inp})$ 
by(cases r1 r2 rule: resumption.exhaust[case-product resumption.exhaust])(auto dest: rel-funD)

lemma rel-resumption-coinduct
  [consumes 1, case-names Done Pause,
   case-conclusion Done is-Done result,
   case-conclusion Pause output resume,
   coinduct pred: rel-resumption];
assumes X: X r1 r2
and Done:  $\bigwedge r1 r2. X r1 r2 \implies (\text{is-Done } r1 \longleftrightarrow \text{is-Done } r2) \wedge (\text{is-Done } r1 \rightarrow \text{is-Done } r2 \rightarrow \text{rel-option } A (\text{result } r1) (\text{result } r2))$ 

```

```

and Pause:  $\bigwedge r1 r2. \llbracket X r1 r2; \neg is\text{-Done } r1; \neg is\text{-Done } r2 \rrbracket \implies B (output r1)$   

 $(output r2) \wedge (\forall inp. X (resume r1 inp) (resume r2 inp))$   

shows rel-resumption A B r1 r2  

using X  

apply(rule resumption.rel-coinduct)  

apply(unfold rel-fun-def)  

apply(rule conjI)  

apply(erule Done[THEN conjunct1])  

apply(rule conjI)  

apply(erule Done[THEN conjunct2])  

apply(rule impI)+  

apply(drule (2) Pause)  

apply blast  

done

```

3.1 Setup for partial-function

context includes lifting-syntax **begin**

coinductive resumption-ord :: ('a, 'out, 'in) resumption \Rightarrow ('a, 'out, 'in) resumption \Rightarrow bool

where

$Done\text{-Done}: flat\text{-ord } None a a' \implies resumption\text{-ord } (Done a) (Done a')$
 $| Done\text{-Pause}: resumption\text{-ord } ABORT (Pause out c)$
 $| Pause\text{-Pause}: ((=) ==> resumption\text{-ord}) c c' \implies resumption\text{-ord } (Pause out c) (Pause out c')$

inductive-simps resumption-ord-simps [simp]:

resumption-ord (Pause out c) r
resumption-ord r (Done a)

lemma resumption-ord-is-DoneD:

$\llbracket resumption\text{-ord } r r'; is\text{-Done } r' \rrbracket \implies is\text{-Done } r$
by(cases r')(auto simp add: fun-ord-def)

lemma resumption-ord-resultD:

$\llbracket resumption\text{-ord } r r'; is\text{-Done } r' \rrbracket \implies flat\text{-ord } None (result r) (result r')$
by(cases r')(auto simp add: flat-ord-def)

lemma resumption-ord-outputD:

$\llbracket resumption\text{-ord } r r'; \neg is\text{-Done } r \rrbracket \implies output r = output r'$
by(cases r) auto

lemma resumption-ord-resumeD:

$\llbracket resumption\text{-ord } r r'; \neg is\text{-Done } r \rrbracket \implies ((=) ==> resumption\text{-ord}) (resume r) (resume r')$
by(cases r) auto

lemma resumption-ord-abort:

```

 $\llbracket \text{resumption-ord } r \ r'; \text{is-Done } r; \neg \text{is-Done } r' \rrbracket \implies \text{result } r = \text{None}$ 
by(auto elim: resumption-ord.cases)

lemma resumption-ord-coinduct [consumes 1, case-names Done Abort Pause, case-conclusion
Pause output resume, coinduct pred: resumption-ord]:
assumes X r r'
and Done:  $\bigwedge r \ r'. \llbracket X \ r \ r'; \text{is-Done } r' \rrbracket \implies \text{is-Done } r \wedge \text{flat-ord None } (\text{result } r)$ 
(result r')
and Abort:  $\bigwedge r \ r'. \llbracket X \ r \ r'; \neg \text{is-Done } r'; \text{is-Done } r \rrbracket \implies \text{result } r = \text{None}$ 
and Pause:  $\bigwedge r \ r'. \llbracket X \ r \ r'; \neg \text{is-Done } r; \neg \text{is-Done } r' \rrbracket$ 
 $\implies \text{output } r = \text{output } r' \wedge ((=) \implies (\lambda r \ r'. X \ r \ r' \vee \text{resumption-ord } r \ r'))$ 
(resume r) (resume r')
shows resumption-ord r r'
using <X r r'
proof coinduct
case (resumption-ord r r')
thus ?case
by(cases r r' rule: resumption.exhaust[case-product resumption.exhaust])(auto
dest: Done Pause Abort)
qed

end

lemma resumption-ord-ABORT [intro!, simp]: resumption-ord ABORT r
by(cases r)(simp-all add: flat-ord-def resumption-ord.Done-Pause)

lemma resumption-ord-ABORT2 [simp]: resumption-ord r ABORT  $\longleftrightarrow r = \text{ABORT}$ 
by(simp add: ABORT-def flat-ord-def)

lemma resumption-ord-DONE1 [simp]: resumption-ord (DONE x) r  $\longleftrightarrow r = \text{DONE } x$ 
by(cases r)(auto simp add: option-ord-Some1-iff DONE-def dest: resumption-ord-abort)

lemma resumption-ord-refl: resumption-ord r r
by(coinduction arbitrary: r)(auto simp add: flat-ord-def)

lemma resumption-ord-antisym:
 $\llbracket \text{resumption-ord } r \ r'; \text{resumption-ord } r' \ r \rrbracket$ 
 $\implies r = r'$ 
proof(coinduction arbitrary: r r' rule: resumption.coinduct-strong)
case (Eq-resumption r r')
thus ?case
by cases(auto simp add: flat-ord-def rel-fun-def)
qed

lemma resumption-ord-trans:
 $\llbracket \text{resumption-ord } r \ r'; \text{resumption-ord } r' \ r'' \rrbracket$ 
 $\implies \text{resumption-ord } r \ r''$ 
proof(coinduction arbitrary: r r' r'')

```

```

case (Done r r' r'')
  thus ?case by(auto 4 4 elim: resumption-ord.cases simp add: flat-ord-def)
next
  case (Abort r r' r'')
    thus ?case by(auto 4 4 elim: resumption-ord.cases simp add: flat-ord-def)
next
  case (Pause r r' r'')
    hence resumption-ord r r' resumption-ord r' r'' by simp-all
    thus ?case using < $\neg$  is-Done r > < $\neg$  is-Done r''>
      by(cases)(auto simp add: rel-fun-def)
qed

primcorec resumption-lub :: ('a, 'out, 'in) resumption set  $\Rightarrow$  ('a, 'out, 'in) re-
sumption
where
   $\forall r \in R. \text{is-Done } r \implies \text{is-Done } (\text{resumption-lub } R)$ 
  | result (resumption-lub R) = flat-lub None (result` R)
  | output (resumption-lub R) = (THE out. out  $\in$  output` (R ∩ {r. } ∃ is-Done r))
  | resume (resumption-lub R) = ( $\lambda \text{inp}. \text{resumption-lub } ((\lambda c. c \text{ inp}) \text{ ` resume` } (R \cap \{r. \neg \text{is-Done } r\}))$ )

lemma is-Done-resumption-lub [simp]:
  is-Done (resumption-lub R)  $\leftrightarrow$  ( $\forall r \in R. \text{is-Done } r$ )
  by(simp add: resumption-lub-def)

lemma result-resumption-lub [simp]:
   $\forall r \in R. \text{is-Done } r \implies \text{result } (\text{resumption-lub } R) = \text{flat-lub } \text{None } (\text{result` } R)$ 
  by(simp add: resumption-lub-def)

lemma output-resumption-lub [simp]:
   $\exists r \in R. \neg \text{is-Done } r \implies \text{output } (\text{resumption-lub } R) = (\text{THE out. out} \in \text{output` } (R \cap \{r. \neg \text{is-Done } r\}))$ 
  by(simp add: resumption-lub-def)

lemma resume-resumption-lub [simp]:
   $\exists r \in R. \neg \text{is-Done } r$ 
   $\implies \text{resume } (\text{resumption-lub } R) \text{ inp} =$ 
   $\text{resumption-lub } ((\lambda c. c \text{ inp}) \text{ ` resume` } (R \cap \{r. \neg \text{is-Done } r\}))$ 
  by(simp add: resumption-lub-def)

lemma resumption-lub-empty: resumption-lub {} = ABORT
  by(subst resumption-lub.code)(simp add: flat-lub-def)

context
  fixes R state inp R'
  defines R'-def: R' ≡ (λc. c inp) ` resume` (R ∩ {r. } ∃ is-Done r)
  assumes chain: Complete-Partial-Order.chain resumption-ord R
begin

```

```

lemma resumption-ord-chain-resume: Complete-Partial-Order.chain resumption-ord
R'
proof(rule chainI)
fix r' r'' 
assume r' ∈ R'
and r'' ∈ R'
then obtain r' r'' 
where r': r' = resume r' inp r' ∈ R ⊢ is-Done r'
and r'': r'' = resume r'' inp r'' ∈ R ⊢ is-Done r''
by(auto simp add: R'-def)
from chain ⟨r' ∈ R⟩ ⟨r'' ∈ R⟩
have resumption-ord r' r'' ∨ resumption-ord r'' r'
by(auto elim: chainE)
with r' r'' 
have resumption-ord (resume r' inp) (resume r'' inp) ∨
resumption-ord (resume r'' inp) (resume r' inp)
by(auto elim: resumption-ord.cases simp add: rel-fun-def)
with r' r'' 
show resumption-ord r' r'' ∨ resumption-ord r'' r' by auto
qed

end

lemma resumption-partial-function-definition:
partial-function-definitions resumption-ord resumption-lub
proof
show resumption-ord r r for r :: ('a, 'b, 'c) resumption by(rule resumption-ord-refl)
show resumption-ord r r'' if resumption-ord r r' resumption-ord r' r'' 
for r r' r'' :: ('a, 'b, 'c) resumption using that by(rule resumption-ord-trans)
show r = r' if resumption-ord r r' resumption-ord r' r for r r' :: ('a, 'b, 'c)
resumption
using that by(rule resumption-ord-antisym)
next
fix R and r :: ('a, 'b, 'c) resumption
assume Complete-Partial-Order.chain resumption-ord R r ∈ R
thus resumption-ord r (resumption-lub R)
proof(coinduction arbitrary: r R)
case (Done r R)
note chain = ⟨Complete-Partial-Order.chain resumption-ord R⟩
and r = ⟨r ∈ R⟩
from ⟨is-Done (resumption-lub R)⟩ have A: ∀ r ∈ R. is-Done r by simp
with r obtain a' where r = Done a' by(cases r) auto
{ fix r'
assume a' ≠ None
hence (THE x. x ∈ result ' R ∧ x ≠ None) = a'
using r A ⟨r = Done a'⟩
by(auto 4 3 del: the-equality intro!: the-equality intro: rev-image-eqI elim:
chainE[OF chain] simp add: flat-ord-def is-Done-def)
}

```

```

with A r ‹r = Done a'› show ?case
  by(cases a')(auto simp add: flat-ord-def flat-lub-def)
next
  case (Abort r R)
    hence chain: Complete-Partial-Order.chain resumption-ord R and r ∈ R by
      simp-all
    from ‹r ∈ R› ‹¬ is-Done (resumption-lub R)› ‹is-Done r›
    show ?case by(auto elim: chainE[OF chain] dest: resumption-ord-abort resump-
      tion-ord-is-DoneD)
  next
    case (Pause r R)
    hence chain: Complete-Partial-Order.chain resumption-ord R
      and r: r ∈ R by simp-all
    have ?resume
      using r ‹¬ is-Done r› resumption-ord-chain-resume[OF chain]
      by(auto simp add: rel-fun-def bexI)
    moreover
    from r ‹¬ is-Done r› have output (resumption-lub R) = output r
      by(auto 4 4 simp add: bexI del: the-equality intro!: the-equality elim: chainE[OF
      chain] dest: resumption-ord-outputD)
    ultimately show ?case by simp
  qed
next
  fix R and r :: ('a, 'b, 'c) resumption
  assume Complete-Partial-Order.chain resumption-ord R ∧ r'. r' ∈ R  $\implies$  re-
    sumption-ord r' r
  thus resumption-ord (resumption-lub R) r
  proof(coinduction arbitrary: R r)
    case (Done R r)
    hence chain: Complete-Partial-Order.chain resumption-ord R
      and ub:  $\forall r' \in R$ . resumption-ord r' r by simp-all
    from ‹is-Done r› ub have is-Done:  $\forall r' \in R$ . is-Done r'
      and ub':  $\bigwedge r'. r' \in \text{result} 'R \implies \text{flat-ord } \text{None } r'$  (result r)
      by(auto dest: resumption-ord-is-DoneD resumption-ord-resultD)
    from is-Done have chain': Complete-Partial-Order.chain (flat-ord None) (result
      'R)
      by(auto 5 2 intro!: chainI elim: chainE[OF chain] dest: resumption-ord-resultD)
    hence flat-ord None (flat-lub None (result 'R)) (result r)
      by(rule partial-function-definitions.lub-least[OF flat-interpretation])(rule ub')
    thus ?case using is-Done by simp
  next
    case (Abort R r)
    hence chain: Complete-Partial-Order.chain resumption-ord R
      and ub:  $\forall r' \in R$ . resumption-ord r' r by simp-all
    from ‹¬ is-Done r› ‹is-Done (resumption-lub R)› ub
    show ?case by(auto simp add: flat-lub-def dest: resumption-ord-abort)
  next
    case (Pause R r)
    hence chain: Complete-Partial-Order.chain resumption-ord R

```

```

and  $\text{ub}: \bigwedge r'. r' \in R \implies \text{resumption-ord } r' r$  by simp-all
from  $\langle \neg \text{is-Done} (\text{resumption-lub } R) \rangle$  have  $\text{exR}: \exists r \in R. \neg \text{is-Done } r$  by simp
then obtain  $r'$  where  $r': r' \in R \neg \text{is-Done } r'$  by auto
with  $\text{ub}[of r']$  have  $\text{output } r = \text{output } r'$  by(auto dest: resumption-ord-outputD)
also have [symmetric]:  $\text{output } (\text{resumption-lub } R) = \text{output } r'$  using exR r'
    by(auto 4 4 elim: chainE[OF chain] dest: resumption-ord-outputD)
finally have ?output ..
moreover
{ fix inp r'''
  assume  $r''' \in R \neg \text{is-Done } r'''$ 
  with  $\text{ub}[of r''']$ 
  have  $\text{resumption-ord } (\text{resume } r''' \text{ inp}) = \text{resume } r \text{ inp}$ 
      by(auto dest!: resumption-ord-resumed simp add: rel-fun-def) }
with exR resumption-ord-chain-resume[OF chain] r'
have ?resume by(auto simp add: rel-fun-def)
ultimately show ?case ..
qed
qed

```

interpretation *resumption*:

```

partial-function-definitions resumption-ord resumption-lub
rewrites resumption-lub {} = (ABORT :: ('a, 'b, 'c) resumption)
by (rule resumption-partial-function-definition resumption-lub-empty) +

```

```

declaration <Partial-Function.init resumption @{term resumption.fixp-fun}
@{term resumption.mono-body} @{thm resumption.fixp-rule-uc} @{thm resumption.fixp-induct-uc} NONE>

```

```

abbreviation mono-resumption ≡ monotone (fun-ord resumption-ord) resumption-ord

```

lemma mono-resumption-resume:

```

assumes mono-resumption B
shows mono-resumption ( $\lambda f. \text{resume } (B f)$ ) inp)
proof
fix f g :: 'a ⇒ ('b, 'c, 'd) resumption
assume fg: fun-ord resumption-ord f g
hence resumption-ord (B f) (B g) by(rule monotoneD[OF assms])
with resumption-ord-resumed[OF this]
show resumption-ord (resume (B f) inp) (resume (B g) inp)
    by(cases is-Done (B f))(auto simp add: rel-fun-def is-Done-def)
qed

```

lemma bind-resumption-mono [partial-function-mono]:

```

assumes mf: mono-resumption B
and mg:  $\bigwedge y. \text{mono-resumption } (C y)$ 
shows mono-resumption ( $\lambda f. \text{do } \{ y \leftarrow B f; C y f \}$ )
proof(rule monotoneI)
fix f g :: 'a ⇒ ('b, 'c, 'd) resumption

```

```

assume *: fun-ord resumption-ord f g
define f' where f' ≡ B f define g' where g' ≡ B g
define h where h ≡ λx. C x f define k where k ≡ λx. C x g
from mf[THEN monotoneD, OF *] mg[THEN monotoneD, OF *] f'-def g'-def
h-def k-def
have resumption-ord f' g' ∧x. resumption-ord (h x) (k x) by auto
thus resumption-ord (f' ≈= h) (g' ≈= k)
proof(coinduction arbitrary: f' g' h k)
  case (Done f' g' h k)
    hence le: resumption-ord f' g'
      and mg: ∀y. resumption-ord (h y) (k y) by simp-all
    from ⟨is-Done (g' ≈= k)⟩
    have done-Bg: is-Done g'
      and result g' ≠ None ==> is-Done (k (the (result g'))) by simp-all
    moreover
    have is-Done f' using le done-Bg by(rule resumption-ord-is-DoneD)
    moreover
    from le done-Bg have flat-ord None (result f') (result g')
      by(rule resumption-ord-resultD)
    hence result f' ≠ None ==> result g' = result f'
      by(auto simp add: flat-ord-def)
    moreover
    have resumption-ord (h (the (result f'))) (k (the (result f'))) by(rule mg)
    ultimately show ?case
      by(subst (1 2) result-bind-resumption)(auto dest: resumption-ord-is-DoneD
resumption-ord-resultD simp add: flat-ord-def bind-eq-None-conv)
  next
    case (Abort f' g' h k)
    hence resumption-ord (h (the (result f'))) (k (the (result f'))) by simp
    thus ?case using Abort
      by(cases is-Done g')(auto 4 4 simp add: bind-eq-None-conv flat-ord-def dest:
resumption-ord-abort resumption-ord-resultD resumption-ord-is-DoneD)
  next
    case (Pause f' g' h k)
    hence ?output
      by(auto 4 4 dest: resumption-ord-outputD resumption-ord-is-DoneD resump-
tion-ord-resultD resumption-ord-abort simp add: flat-ord-def)
    moreover have ?resume
    proof(cases is-Done f')
      case False
        with Pause show ?thesis
          by(auto simp add: rel-fun-def dest: resumption-ord-is-DoneD intro: resump-
tion-ord-resumeD[THEN rel-funD] del: exI intro!: exI)
    next
      case True
      hence is-Done g' using Pause by(auto dest: resumption-ord-abort)
      thus ?thesis using True Pause resumption-ord-resultD[OF ⟨resumption-ord
f' g'⟩]
        by(auto del: rel-funI intro!: rel-funI simp add: bind-resumption-is-Done

```

```

flat-ord-def intro: resumption-ord-resumeD[THEN rel-funD] exI[where x=f'] exI[where
x=g])
qed
ultimately show ?case ..
qed
qed

lemma fixes f F
defines F ≡ λresults r. case r of resumption.Done x ⇒ set-option x | resumption.Pause out c ⇒ ∪ input. results (c input)
shows results-conv-fixp: results ≡ ccpo.fixp (fun-lub Union) (fun-ord (≤)) F (is
- ≡ ?fixp)
and results-mono: ∀x. monotone (fun-ord (≤)) (≤) (λf. F fx) (is PROP ?mono)
proof(rule eq-reflection ext antisym subsetI)+
show mono: PROP ?mono unfolding F-def by(tactic ‹Partial-Function.mono-tac
@{context} 1›)
fix x r
show ?fixp r ⊆ results r
by(induction arbitrary: r rule: lfp.fixp-induct-uc[of λx. x F λx. x, OF mono
reflexive refl])
(fastforce simp add: F-def split: resumption.split-asm)+

assume x ∈ results r
thus x ∈ ?fixp r by induct(subst lfp.mono-body-fixp[OF mono]; auto simp add:
F-def)+
qed

lemma mcont-case-resumption:
fixes f g
defines h ≡ λr. if is-Done r then f (result r) else g (output r) (resume r) r
assumes mcont1: mcont (flat-lub None) option-ord lub ord f
and mcont2: ∀out. mcont (fun-lub resumption-lub) (fun-ord resumption-ord) lub
ord (λc. g out c (Pause out c))
and ccpo: class ccpo lub ord (mk-less ord)
and bot: ∀x. ord (f None) x
shows mcont resumption-lub resumption-ord lub ord (λr. case r of Done x ⇒ f x
| Pause out c ⇒ g out c r)
(is mcont ?lub ?ord - - ?f)
proof(rule resumption.mcont-if-bot[OF ccpo bot, where bound=ABORT and f=h])
show ?f x = (if ?ord x ABORT then f None else h x) for x
by(simp add: h-def split: resumption.split)
show ord (h x) (h y) if ?ord x y ∼ ?ord x ABORT for x y using that
by(cases x)(simp-all add: h-def mcont-monoD[OF mcont1] fun-ord-conv-rel-fun
mcont-monoD[OF mcont2])

fix Y :: ('a, 'b, 'c) resumption set
assume chain: Complete-Partial-Order.chain ?ord Y
and Y: Y ≠ {}
and nbot: ∀x. x ∈ Y ⇒ ∼ ?ord x ABORT

```

```

show h (?lub Y) = lub (h ` Y)
proof(cases ∃x. DONE x ∈ Y)
  case True
  then obtain x where x: DONE x ∈ Y ..
  have is-Done: is-Done r if r ∈ Y for r using chainD[OF chain that x]
    by(auto dest: resumption-ord-is-DoneD)
  from is-Done have chain': Complete-Partial-Order.chain (flat-ord None) (result
    ` Y)
    by(auto 5 2 intro!: chainI elim: chainE[OF chain] dest: resumption-ord-resultD)
      from is-Done have is-Done (?lub Y) Y ∩ {r. is-Done r} = Y Y ∩ {r. ¬
      is-Done r} = {} by auto
      then show ?thesis using Y by(simp add: h-def mcont-contD[OF mcont1 chain']
      image-image)
  next
  case False
  have is-Done: ¬ is-Done r if r ∈ Y for r using that False nbot
    by(auto elim!: is-Done-cases)
  from Y obtain out c where Pause: Pause out c ∈ Y
    by(auto 5 2 dest: is-Done iff: not-is-Done-conv-Pause)

  have out: (THE out. out ∈ output ` (Y ∩ {r. ¬ is-Done r})) = out using
    Pause
    by(auto 4 3 intro: rev-image-eqI iff: not-is-Done-conv-Pause dest: chainD[OF
    chain])
    have (λr. g (output r) (resume r) r) ` (Y ∩ {r. ¬ is-Done r}) = (λr. g out
    (resume r) r) ` (Y ∩ {r. ¬ is-Done r})
      by(auto 4 3 simp add: not-is-Done-conv-Pause dest: chainD[OF chain Pause]
      intro: rev-image-eqI)
    moreover have ¬ is-Done (?lub Y) using Y is-Done by(auto)
    moreover from is-Done have Y ∩ {r. is-Done r} = {} Y ∩ {r. ¬ is-Done
    r} = Y by auto
    moreover have (λinp. resumption-lub ((λx. resume x inp) ` Y)) = fun-lub
    resumption-lub (resume ` Y)
      by(auto simp add: fun-lub-def fun-eq-iff intro!: arg-cong[where f=resumption-lub])
    moreover have resumption-lub Y = Pause out (fun-lub resumption-lub (resume
    ` Y))
      using Y is-Done out
      by(intro resumption.expand)(auto simp add: fun-lub-def fun-eq-iff image-image
      intro!: arg-cong[where f=resumption-lub])
    moreover have chain': Complete-Partial-Order.chain resumption.le-fun (resume
    ` Y) using chain
      by(rule chain-imageI)(auto dest!: is-Done simp add: not-is-Done-conv-Pause
      fun-ord-conv-rel-fun)
    moreover have (λr. g out (resume r) (Pause out (resume r))) ` Y = (λr. g
    out (resume r) r) ` Y
      by(intro image-cong[OF refl])(frule nbot; auto dest!: chainD[OF chain Pause]
      elim: resumption-ord.cases)
    ultimately show ?thesis using False out Y
      by(simp add: h-def image-image mcont-contD[OF mcont2])

```

```

qed
qed

lemma mcont2mcont-results[THEN mcont2mcont, cont-intro, simp]:
  shows mcont-results: mcont resumption-lub resumption-ord Union ( $\subseteq$ ) results
  apply(rule lfp.fixp-preserves-mcont1[OF results-mono results-conv-fixp])
  apply(rule mcont-case-resumption)
  apply(simp-all add: mcont-applyI)
done

lemma mono2mono-results[THEN lfp.mono2mono, cont-intro, simp]:
  shows monotone-results: monotone resumption-ord ( $\subseteq$ ) results
  using mcont-results by(rule mcont-mono)

lemma fixes f F
  defines F  $\equiv \lambda outputs\ xs.\ case\ xs\ of\ resumption.\ Done\ x \Rightarrow \{\} \mid resumption.\ Pause\ out\ c \Rightarrow insert\ out\ (\bigcup input.\ outputs\ (c\ input))$ 
  shows outputs-conv-fixp: outputs  $\equiv ccpo.fixp\ (fun-lub\ Union)\ (fun-ord\ (\subseteq))\ F$  (is -  $\equiv ?fixp$ )
  and outputs-mono:  $\bigwedge x.\ monotone\ (fun-ord\ (\subseteq))\ (\subseteq) (\lambda f.\ F\ f\ x)$  (is PROP ?mono)
  proof(rule eq-reflection ext antisym subsetI)+
    show mono: PROP ?mono unfolding F-def by(tactic <Partial-Function.mono-tac @{context} 1>)
    show ?fixp r  $\subseteq$  outputs r for r
      by(induct arbitrary: r rule: lfp.fixp-induct-uc[of  $\lambda x.\ x\ F\ \lambda x.\ x$ , OF mono reflexive refl])(auto simp add: F-def split: resumption.split)
      show  $x \in ?fixp\ r$  if  $x \in outputs\ r$  for  $x\ r$  using that
        by induct(subst lfp.mono-body-fixp[OF mono]; auto simp add: F-def; fail)+
  qed

lemma mcont2mcont-outputs[THEN lfp.mcont2mcont, cont-intro, simp]:
  shows mcont-outputs: mcont resumption-lub resumption-ord Union ( $\subseteq$ ) outputs
  apply(rule lfp.fixp-preserves-mcont1[OF outputs-mono outputs-conv-fixp])
  apply(auto intro: lfp.mcont2mcont_intro!: mcont2mcont-insert mcont-SUP mcont-case-resumption)
done

lemma mono2mono-outputs[THEN lfp.mono2mono, cont-intro, simp]:
  shows monotone-outputs: monotone resumption-ord ( $\subseteq$ ) outputs
  using mcont-outputs by(rule mcont-mono)

lemma pred-resumption-antimono:
  assumes r: pred-resumption A C r'
  and le: resumption-ord r r'
  shows pred-resumption A C r
  using r monotoneD[OF monotone-results le] monotoneD[OF monotone-outputs le]
  by(auto simp add: pred-resumption-def)

```

3.2 Setup for lifting and transfer

```

declare resumption.rel-eq [id-simps, relator-eq]
declare resumption.rel-mono [relator-mono]

lemma rel-resumption-OO [relator-distr]:
  rel-resumption A B OO rel-resumption C D = rel-resumption (A OO C) (B OO
D)
by(simp add: resumption.rel-compp)

lemma left-total-rel-resumption [transfer-rule]:
  [| left-total R1; left-total R2 |] ==> left-total (rel-resumption R1 R2)
by(simp only: left-total-alt-def resumption.rel-eq[symmetric] resumption.rel-conversep[symmetric]
resumption-OO resumption.rel-mono)

lemma left-unique-rel-resumption [transfer-rule]:
  [| left-unique R1; left-unique R2 |] ==> left-unique (rel-resumption R1 R2)
by(simp only: left-unique-alt-def resumption.rel-eq[symmetric] resumption.rel-conversep[symmetric]
resumption-OO resumption.rel-mono)

lemma right-total-rel-resumption [transfer-rule]:
  [| right-total R1; right-total R2 |] ==> right-total (rel-resumption R1 R2)
by(simp only: right-total-alt-def resumption.rel-eq[symmetric] resumption.rel-conversep[symmetric]
resumption-OO resumption.rel-mono)

lemma right-unique-rel-resumption [transfer-rule]:
  [| right-unique R1; right-unique R2 |] ==> right-unique (rel-resumption R1 R2)
by(simp only: right-unique-alt-def resumption.rel-eq[symmetric] resumption.rel-conversep[symmetric]
resumption-OO resumption.rel-mono)

lemma bi-total-rel-resumption [transfer-rule]:
  [| bi-total A; bi-total B |] ==> bi-total (rel-resumption A B)
unfolding bi-total-alt-def
by(blast intro: left-total-rel-resumption right-total-rel-resumption)

lemma bi-unique-rel-resumption [transfer-rule]:
  [| bi-unique A; bi-unique B |] ==> bi-unique (rel-resumption A B)
unfolding bi-unique-alt-def
by(blast intro: left-unique-rel-resumption right-unique-rel-resumption)

lemma Quotient-resumption [quot-map]:
  [| Quotient R1 Abs1 Rep1 T1; Quotient R2 Abs2 Rep2 T2 |]
  ==> Quotient (rel-resumption R1 R2) (map-resumption Abs1 Abs2) (map-resumption
Rep1 Rep2) (rel-resumption T1 T2)
by(simp add: Quotient-alt-def5 resumption.rel-Grp[of UNIV - UNIV -, symmetric, simplified] resumption.rel-compp resumption.rel-conversep[symmetric] resumption.rel-mono)

end

```

4 Generative probabilistic values

```

theory Generat imports
  Misc-CryptHOL
begin

4.1 Single-step generative

datatype (generat-pures: 'a, generat-outs: 'b, generat-continuations: 'c) generat
  = Pure (result: 'a)
  | IO (output: 'b) (continuation: 'c)

datatype-compat generat

lemma IO-code-cong: out = out' ⟹ IO out c = IO out' c by simp
setup ‹Code-Simp.map_ss (Simplifier.add-cong @{thm IO-code-cong})›

lemma is-Pure-map-generat [simp]: is-Pure (map-generat f g h x) = is-Pure x
by(cases x) simp-all

lemma result-map-generat [simp]: is-Pure x ⟹ result (map-generat f g h x) = f
(result x)
by(cases x) simp-all

lemma output-map-generat [simp]: ¬ is-Pure x ⟹ output (map-generat f g h x)
= g (output x)
by(cases x) simp-all

lemma continuation-map-generat [simp]: ¬ is-Pure x ⟹ continuation (map-generat
f g h x) = h (continuation x)
by(cases x) simp-all

lemma [simp]:
  shows map-generat-eq-Pure:
    map-generat f g h generat = Pure x ⟷ (∃ x'. generat = Pure x' ∧ x = f x')
  and Pure-eq-map-generat:
    Pure x = map-generat f g h generat ⟷ (∃ x'. generat = Pure x' ∧ x = f x')
  by(cases generat; auto; fail)+

lemma [simp]:
  shows map-generat-eq-IO:
    map-generat f g h generat = IO out c ⟷ (∃ out' c'. generat = IO out' c' ∧ out
    = g out' ∧ c = h c')
  and IO-eq-map-generat:
    IO out c = map-generat f g h generat ⟷ (∃ out' c'. generat = IO out' c' ∧ out
    = g out' ∧ c = h c')
  by(cases generat; auto; fail)+

lemma is-PureE [cases pred]:
  assumes is-Pure generat

```

```

obtains (Pure) x where generat = Pure x
using assms by(auto simp add: is-Pure-def)

lemma not-is-PureE:
assumes ¬ is-Pure generat
obtains (IO) out c where generat = IO out c
using assms by(cases generat) auto

lemma rel-generatI:
[ is-Pure x ↔ is-Pure y;
  [ is-Pure x; is-Pure y ] ⇒ A (result x) (result y);
  [ ¬ is-Pure x; ¬ is-Pure y ] ⇒ Out (output x) (output y) ∧ R (continuation
x) (continuation y) ]
  ⇒ rel-generat A Out R x y
by(cases x y rule: generat.exhaust[case-product generat.exhaust]) simp-all

lemma rel-generatD':
rel-generat A Out R x y
  ⇒ (is-Pure x ↔ is-Pure y) ∧
    (is-Pure x → is-Pure y → A (result x) (result y)) ∧
    (¬ is-Pure x → ¬ is-Pure y → Out (output x) (output y) ∧ R (continuation
x) (continuation y))
by(cases x y rule: generat.exhaust[case-product generat.exhaust]) simp-all

lemma rel-generatD:
assumes rel-generat A Out R x y
shows rel-generat-is-PureD: is-Pure x ↔ is-Pure y
and rel-generat-resultD: is-Pure x ∨ is-Pure y ⇒ A (result x) (result y)
and rel-generat-outputD: ¬ is-Pure x ∨ ¬ is-Pure y ⇒ Out (output x) (output
y)
and rel-generat-continuationD: ¬ is-Pure x ∨ ¬ is-Pure y ⇒ R (continuation
x) (continuation y)
using rel-generatD'[OF assms] by simp-all

lemma rel-generat-mono:
[ rel-generat A B C x y; ∀x y. A x y ⇒ A' x y; ∀x y. B x y ⇒ B' x y; ∀x y.
C x y ⇒ C' x y ]
  ⇒ rel-generat A' B' C' x y
using generat.rel-mono[of A A' B B' C C'] by(auto simp add: le-fun-def)

lemma rel-generat-mono' [mono]:
[ ∀x y. A x y → A' x y; ∀x y. B x y → B' x y; ∀x y. C x y → C' x y ]
  ⇒ rel-generat A B C x y → rel-generat A' B' C' x y
by(blast intro: rel-generat-mono)

lemma rel-generat-same:
rel-generat A B C r r ↔
(∀x ∈ generat-pures r. A x x) ∧
(∀out ∈ generat-outs r. B out out) ∧

```

```

 $(\forall c \in \text{generat-consts } r. C c c)$ 
by(cases r)(auto simp add: rel-fun-def)

lemma rel-generat-reflI:
 $\llbracket \begin{array}{l} \bigwedge y. y \in \text{generat-pures } x \implies A y y; \\ \bigwedge out. out \in \text{generat-outs } x \implies B out out; \\ \bigwedge cont. cont \in \text{generat-consts } x \implies C cont cont \end{array} \rrbracket$ 
 $\implies \text{rel-generat } A B C x x$ 
by(cases x) auto

lemma reflp-rel-generat [simp]: reflp (rel-generat A B C)  $\longleftrightarrow$  reflp A  $\wedge$  reflp B  $\wedge$  reflp C
by(auto 4 3 intro!: reflpI rel-generatI dest: reflpD reflpD[where x=Pure -] reflpD[where x=IO -])

lemma transp-rel-generatI:
assumes transp A transp B transp C
shows transp (rel-generat A B C)
by(rule transpI)(auto 6 5 dest: rel-generatD' intro!: rel-generatI intro: assms[THEN transpD] simp add: rel-fun-def)

lemma rel-generat-inf:
 $\text{inf} (\text{rel-generat } A B C) (\text{rel-generat } A' B' C') = \text{rel-generat } (\text{inf } A A') (\text{inf } B B') (\text{inf } C C')$ 
 $(\text{is } ?lhs = ?rhs)$ 
proof(rule antisym)
show ?lhs  $\leq$  ?rhs
by(auto elim!: generat.rel-cases simp add: rel-fun-def)
qed(auto elim: rel-generat-mono)

lemma rel-generat-Pure1: rel-generat A B C (Pure x) =  $(\lambda r. \exists y. r = \text{Pure } y \wedge A x y)$ 
by(rule ext)(case-tac r, simp-all)

lemma rel-generat-IO1: rel-generat A B C (IO out c) =  $(\lambda r. \exists out' c'. r = \text{IO out' c'} \wedge A out out' \wedge C c c')$ 
by(rule ext)(case-tac r, simp-all)

lemma not-is-Pure-conv:  $\neg \text{is-Pure } r \longleftrightarrow (\exists out c. r = \text{IO out c})$ 
by(cases r) auto

lemma finite-generat-outs [simp]: finite (generat-outs generat)
by(cases generat) auto

lemma countable-generat-outs [simp]: countable (generat-outs generat)
by(simp add: countable-finite)

lemma case-map-generat:
case-generat pure io (map-generat a b d r) =

```

```

case-generat (pure o a) (λout. io (b out) o d) r
by(cases r) simp-all

lemma continuation-in-generat-contrs:
  ¬ is-Pure r ==> continuation r ∈ generat-contrs r
by(cases r) auto

fun dest-IO :: ('a, 'out, 'c) generat => ('out × 'c) option
where
  dest-IO (Pure _) = None
  | dest-IO (IO out c) = Some (out, c)

lemma dest-IO-eq-Some-iff [simp]: dest-IO generat = Some (out, c) <=> generat
= IO out c
by(cases generat) simp-all

lemma dest-IO-eq-None-iff [simp]: dest-IO generat = None <=> is-Pure generat
by(cases generat) simp-all

lemma dest-IO-comp-Pure [simp]: dest-IO o Pure = (λ-. None)
by(simp add: fun-eq-iff)

lemma dom-dest-IO: dom dest-IO = {x. ¬ is-Pure x}
by(auto simp add: not-is-Pure-conv)

definition generat-lub :: ('a set => 'b) => ('out set => 'out') => ('cont set => 'cont')
  => ('a, 'out, 'cont) generat set => ('b, 'out', 'cont') generat
where
  generat-lub lub1 lub2 lub3 A =
  (if ∃x∈A. is-Pure x then Pure (lub1 (result ` (A ∩ {f. is-Pure f})))
    else IO (lub2 (output ` (A ∩ {f. ¬ is-Pure f}))) (lub3 (continuation ` (A ∩ {f.
    ¬ is-Pure f}))))))

lemma is-Pure-generat-lub [simp]:
  is-Pure (generat-lub lub1 lub2 lub3 A) <=> (∃x∈A. is-Pure x)
by(simp add: generat-lub-def)

lemma result-generat-lub [simp]:
  ∃x∈A. is-Pure x ==> result (generat-lub lub1 lub2 lub3 A) = lub1 (result ` (A ∩
  {f. is-Pure f}))
by(simp add: generat-lub-def)

lemma output-generat-lub:
  ∀x∈A. ¬ is-Pure x ==> output (generat-lub lub1 lub2 lub3 A) = lub2 (output ` (A ∩
  {f. ¬ is-Pure f}))
by(simp add: generat-lub-def)

```

```

lemma continuation-generat-lub:
   $\forall x \in A. \neg \text{is-Pure } x \implies \text{continuation} (\text{generat-lub } \text{lub1 } \text{lub2 } \text{lub3 } A) = \text{lub3}$ 
  ( $\text{continuation} ' (A \cap \{f. \neg \text{is-Pure } f\})$ )
by(simp add: generat-lub-def)

lemma generat-lub-map [simp]:
  generat-lub lub1 lub2 lub3 (map-generat f g h ' A) = generat-lub (lub1  $\circ$  (' f)
  (lub2  $\circ$  (' g) (lub3  $\circ$  (' h) A
by(auto 4 3 simp add: generat-lub-def intro: arg-cong[where f=lub1] arg-cong[where
f=lub2] arg-cong[where f=lub3] rev-image-eqI del: ext intro!: ext)

lemma map-generat-lub [simp]:
  map-generat f g h (generat-lub lub1 lub2 lub3 A) = generat-lub (f  $\circ$  lub1) (g  $\circ$ 
  lub2) (h  $\circ$  lub3) A
by(simp add: generat-lub-def o-def)

abbreviation generat-lub' :: ('cont set  $\Rightarrow$  'cont')  $\Rightarrow$  ('a, 'out, 'cont) generat set
 $\Rightarrow$  ('a, 'out, 'cont') generat
where generat-lub'  $\equiv$  generat-lub ( $\lambda A.$  THE x.  $x \in A$ ) ( $\lambda A.$  THE x.  $x \in A$ )

fun rel-witness-generat :: ('a, 'c, 'e) generat  $\times$  ('b, 'd, 'f) generat  $\Rightarrow$  ('a  $\times$  'b, 'c
 $\times$  'd, 'e  $\times$  'f) generat where
  rel-witness-generat (Pure x, Pure y) = Pure (x, y)
  | rel-witness-generat (IO out c, IO out' c') = IO (out, out') (c, c')

lemma rel-witness-generat:
  assumes rel-generat A C R x y
  shows pures-rel-witness-generat: generat-pures (rel-witness-generat (x, y))  $\subseteq$  {(a,
b). A a b}
    and outs-rel-witness-generat: generat-outs (rel-witness-generat (x, y))  $\subseteq$  {(c,
d). C c d}
    and conts-rel-witness-generat: generat-conts (rel-witness-generat (x, y))  $\subseteq$  {(e,
f). R e f}
    and map1-rel-witness-generat: map-generat fst fst fst (rel-witness-generat (x,
y)) = x
    and map2-rel-witness-generat: map-generat snd snd snd (rel-witness-generat (x,
y)) = y
  using assms by(cases; simp; fail)+

lemmas set-rel-witness-generat = pures-rel-witness-generat outs-rel-witness-generat
conts-rel-witness-generat

lemma rel-witness-generat1:
  assumes rel-generat A C R x y
  shows rel-generat ( $\lambda a (a', b).$  a = a'  $\wedge$  A a' b) ( $\lambda c (c', d).$  c = c'  $\wedge$  C c' d) ( $\lambda r$ 
(r', s). r = r'  $\wedge$  R r' s) x (rel-witness-generat (x, y))
  using map1-rel-witness-generat[OF assms, symmetric]

```

```

unfolding generat.rel-eq[symmetric] generat.rel-map
  by(rule generat.rel-mono-strong)(auto dest: set-rel-witness-generat[OF assms,
THEN subsetD])

lemma rel-witness-generat2:
  assumes rel-generat A C R x y
  shows rel-generat ( $\lambda(a, b'). b. b = b' \wedge A a b'$ ) ( $\lambda(c, d'). d. d = d' \wedge C c d'$ )
( $\lambda(r, s'). s. s = s' \wedge R r s'$ ) (rel-witness-generat (x, y)) y
  using map2-rel-witness-generat[OF assms]
  unfolding generat.rel-eq[symmetric] generat.rel-map
  by(rule generat.rel-mono-strong)(auto dest: set-rel-witness-generat[OF assms,
THEN subsetD])

end

```

```

theory Generative-Probabilistic-Value imports
  Resumption
  Generat
  HOL-Types-To-Sets.Types-To-Sets
begin

```

```
  hide-const (open) Done
```

4.2 Type definition

```

context notes [[bnf-internals]] begin

codatatype (results'-gpv: 'a, outs'-gpv: 'out, 'in) gpv
  = GPV (the-gpv: ('a, 'out, 'in  $\Rightarrow$  ('a, 'out, 'in) gpv) generat spmf)

```

```
end
```

```
declare gpv.rel-eq [relator-eq]
```

Reactive values are like generative, except that they take an input first.

```

type-synonym ('a, 'out, 'in) rpv = 'in  $\Rightarrow$  ('a, 'out, 'in) gpv
print-translation — pretty printing for ('a, 'out, 'in) rpv
  let
    fun tr' [in1, Const (@{type-syntax gpv}, -) $ a $ out $ in2] =
      if in1 = in2 then Syntax.const @{type-syntax rpv} $ a $ out $ in1
      else raise Match;
    in [(@{type-syntax fun}, K tr')]
    end
  typ ('a, 'out, 'in) rpv

```

Effectively, ('a, 'out, 'in) gpv and ('a, 'out, 'in) rpv are mutually recursive.

```
lemma eq-GPV-iff: f = GPV g  $\longleftrightarrow$  the-gpv f = g
```

```

by(cases f) auto

declare gpv.set[simp del]

declare gpv.set-map[simp]

lemma rel-gpv-def':
  rel-gpv A B gpv gpv'  $\longleftrightarrow$ 
  ( $\exists$  gpv''. ( $\forall$  (x, y)  $\in$  results'-gpv gpv''. A x y)  $\wedge$  ( $\forall$  (x, y)  $\in$  outs'-gpv gpv''. B x y)
 $\wedge$ 
  map-gpv fst fst gpv'' = gpv  $\wedge$  map-gpv snd snd gpv'' = gpv')
unfolding rel-gpv-def by(auto simp add: BNF-Def.Grp-def)

definition results'-rpv :: ('a, 'out, 'in) rpv  $\Rightarrow$  'a set
where results'-rpv rpv = range rpv  $\ggg$  results'-gpv

definition outs'-rpv :: ('a, 'out, 'in) rpv  $\Rightarrow$  'out set
where outs'-rpv rpv = range rpv  $\ggg$  outs'-gpv

abbreviation rel-rpv
:: ('a  $\Rightarrow$  'b  $\Rightarrow$  bool)  $\Rightarrow$  ('out  $\Rightarrow$  'out'  $\Rightarrow$  bool)
 $\Rightarrow$  ('in  $\Rightarrow$  ('a, 'out, 'in) gpv)  $\Rightarrow$  ('in  $\Rightarrow$  ('b, 'out', 'in) gpv)  $\Rightarrow$  bool
where rel-rpv A B  $\equiv$  rel-fun (=) (rel-gpv A B)

lemma in-results'-rpv [iff]: x  $\in$  results'-rpv rpv  $\longleftrightarrow$  ( $\exists$  input. x  $\in$  results'-gpv (rpv
input))
by(simp add: results'-rpv-def)

lemma in-outs-rpv [iff]: out  $\in$  outs'-rpv rpv  $\longleftrightarrow$  ( $\exists$  input. out  $\in$  outs'-gpv (rpv
input))
by(simp add: outs'-rpv-def)

lemma results'-GPV [simp]:
  results'-gpv (GPV r) =
  (set-spmf r  $\ggg$  generat-pures)  $\cup$ 
  ((set-spmf r  $\ggg$  generat-conts)  $\ggg$  results'-rpv)
by(auto simp add: gpv.set bind-UNION set-spmf-def)

lemma outs'-GPV [simp]:
  outs'-gpv (GPV r) =
  (set-spmf r  $\ggg$  generat-outs)  $\cup$ 
  ((set-spmf r  $\ggg$  generat-conts)  $\ggg$  outs'-rpv)
by(auto simp add: gpv.set bind-UNION set-spmf-def)

lemma outs'-gpv-unfold:
  outs'-gpv r =
  (set-spmf (the-gpv r)  $\ggg$  generat-outs)  $\cup$ 
  ((set-spmf (the-gpv r)  $\ggg$  generat-conts)  $\ggg$  outs'-rpv)
by(cases r) simp

```

```

lemma outs'-gpv-induct [consumes 1, case-names Out Cont, induct set: outs'-gpv]:
  assumes x:  $x \in \text{outs}'\text{-gpv gpv}$ 
  and Out:  $\bigwedge \text{generat gpv. } [\text{generat} \in \text{set-spmf (the-gpv gpv)}; x \in \text{generat-outs generat}] \implies P \text{ gpv}$ 
  and Cont:  $\bigwedge \text{generat gpv c input. }$ 
     $[\text{generat} \in \text{set-spmf (the-gpv gpv)}; c \in \text{generat-conts generat}; x \in \text{outs}'\text{-gpv (c input)}; P \text{ (c input)}] \implies P \text{ gpv}$ 
  shows P gpv
  using x
  apply(induction y≡x gpv)
  apply(rule Out, simp add: in-set-spmf, simp)
  apply(erule imageE, rule Cont, simp add: in-set-spmf, simp, simp, simp)
  .

lemma outs'-gpv-cases [consumes 1, case-names Out Cont, cases set: outs'-gpv]:
  assumes x:  $x \in \text{outs}'\text{-gpv gpv}$ 
  obtains (Out) generat where generat  $\in \text{set-spmf (the-gpv gpv)}$   $x \in \text{generat-outs generat}$ 
    | (Cont) generat c input where generat  $\in \text{set-spmf (the-gpv gpv)}$   $c \in \text{generat-conts generat}$   $x \in \text{outs}'\text{-gpv (c input)}$ 
  using assms by cases(auto simp add: in-set-spmf)

lemma outs'-gpvI [intro?]:
  shows outs'-gpv-Out:  $[\text{generat} \in \text{set-spmf (the-gpv gpv)}; x \in \text{generat-outs generat}] \implies x \in \text{outs}'\text{-gpv gpv}$ 
  and outs'-gpv-Cont:  $[\text{generat} \in \text{set-spmf (the-gpv gpv)}; c \in \text{generat-conts generat}; x \in \text{outs}'\text{-gpv (c input)}] \implies x \in \text{outs}'\text{-gpv gpv}$ 
  by(auto intro: gpv.setsel simp add: in-set-spmf)

lemma results'-gpv-induct [consumes 1, case-names Pure Cont, induct set: results'-gpv]:
  assumes x:  $x \in \text{results}'\text{-gpv gpv}$ 
  and Pure:  $\bigwedge \text{generat gpv. } [\text{generat} \in \text{set-spmf (the-gpv gpv)}; x \in \text{generat-pures generat}] \implies P \text{ gpv}$ 
  and Cont:  $\bigwedge \text{generat gpv c input. }$ 
     $[\text{generat} \in \text{set-spmf (the-gpv gpv)}; c \in \text{generat-conts generat}; x \in \text{results}'\text{-gpv (c input)}; P \text{ (c input)}] \implies P \text{ gpv}$ 
  shows P gpv
  using x
  apply(induction y≡x gpv)
  apply(rule Pure; simp add: in-set-spmf)
  apply(erule imageE, rule Cont, simp add: in-set-spmf, simp, simp, simp)
  .

lemma results'-gpv-cases [consumes 1, case-names Pure Cont, cases set: results'-gpv]:
  assumes x:  $x \in \text{results}'\text{-gpv gpv}$ 
  obtains (Pure) generat where generat  $\in \text{set-spmf (the-gpv gpv)}$   $x \in \text{generat-pures generat}$ 

```

| (Cont) generat c input where generat ∈ set-spmf (the-gpv gpv) c ∈ generat-conts generat x ∈ results'-gpv (c input)

using assms by cases(auto simp add: in-set-spmf)

lemma results'-gpvI [intro?]:

shows results'-gpv-Pure: $\llbracket \text{generat} \in \text{set-spmf} (\text{the-gpv gpv}); x \in \text{generat-pures generat} \rrbracket \implies x \in \text{results}'\text{-gpv gpv}$

and results'-gpv-Cont: $\llbracket \text{generat} \in \text{set-spmf} (\text{the-gpv gpv}); c \in \text{generat-conts generat}; x \in \text{results}'\text{-gpv (c input)} \rrbracket \implies x \in \text{results}'\text{-gpv gpv}$

by(auto intro: gpv.setsel simp add: in-set-spmf)

lemma left-unique-rel-gpv [transfer-rule]:

$\llbracket \text{left-unique } A; \text{left-unique } B \rrbracket \implies \text{left-unique} (\text{rel-gpv } A B)$

unfolding left-unique-alt-def gpv.rel-conversep[symmetric] gpv.rel-compp[symmetric]
by(subst gpv.rel-eq[symmetric])(rule gpv.rel-mono)

lemma right-unique-rel-gpv [transfer-rule]:

$\llbracket \text{right-unique } A; \text{right-unique } B \rrbracket \implies \text{right-unique} (\text{rel-gpv } A B)$

unfolding right-unique-alt-def gpv.rel-conversep[symmetric] gpv.rel-compp[symmetric]
by(subst gpv.rel-eq[symmetric])(rule gpv.rel-mono)

lemma bi-unique-rel-gpv [transfer-rule]:

$\llbracket \text{bi-unique } A; \text{bi-unique } B \rrbracket \implies \text{bi-unique} (\text{rel-gpv } A B)$

unfolding bi-unique-alt-def by(simp add: left-unique-rel-gpv right-unique-rel-gpv)

lemma left-total-rel-gpv [transfer-rule]:

$\llbracket \text{left-total } A; \text{left-total } B \rrbracket \implies \text{left-total} (\text{rel-gpv } A B)$

unfolding left-total-alt-def gpv.rel-conversep[symmetric] gpv.rel-compp[symmetric]
by(subst gpv.rel-eq[symmetric])(rule gpv.rel-mono)

lemma right-total-rel-gpv [transfer-rule]:

$\llbracket \text{right-total } A; \text{right-total } B \rrbracket \implies \text{right-total} (\text{rel-gpv } A B)$

unfolding right-total-alt-def gpv.rel-conversep[symmetric] gpv.rel-compp[symmetric]
by(subst gpv.rel-eq[symmetric])(rule gpv.rel-mono)

lemma bi-total-rel-gpv [transfer-rule]: $\llbracket \text{bi-total } A; \text{bi-total } B \rrbracket \implies \text{bi-total} (\text{rel-gpv } A B)$

unfolding bi-total-alt-def by(simp add: left-total-rel-gpv right-total-rel-gpv)

declare gpv.map-transfer[transfer-rule]

lemma if-distrib-map-gpv [if-distribs]:

$\text{map-gpv } f g (\text{if } b \text{ then gpv else gpv}') = (\text{if } b \text{ then map-gpv } f g \text{ gpv else map-gpv } f g \text{ gpv}')$

by simp

lemma gpv-pred-mono-strong:

$\llbracket \text{pred-gpv } P Q x; \bigwedge a. \llbracket a \in \text{results}'\text{-gpv } x; P a \rrbracket \implies P' a; \bigwedge b. \llbracket b \in \text{outs}'\text{-gpv } x; Q b \rrbracket \implies Q' b \rrbracket \implies \text{pred-gpv } P' Q' x$

```

by(simp add: pred-gpv-def)

lemma pred-gpv-top [simp]:
  pred-gpv ( $\lambda\_. \text{True}$ ) ( $\lambda\_. \text{True}$ ) = ( $\lambda\_. \text{True}$ )
by(simp add: pred-gpv-def)

lemma pred-gpv-conj [simp]:
  shows pred-gpv-conj1:  $\bigwedge P Q R. \text{pred-gpv} (\lambda x. P x \wedge Q x) R = (\lambda x. \text{pred-gpv} P R x \wedge \text{pred-gpv} Q R x)$ 
  and pred-gpv-conj2:  $\bigwedge P Q R. \text{pred-gpv} P (\lambda x. Q x \wedge R x) = (\lambda x. \text{pred-gpv} P Q x \wedge \text{pred-gpv} P R x)$ 
by(auto simp add: pred-gpv-def)

lemma rel-gpv-restrict-relp1I [intro?]:
   $\llbracket \text{rel-gpv } R \ R' x y; \text{pred-gpv } P \ P' x; \text{pred-gpv } Q \ Q' y \rrbracket \implies \text{rel-gpv} (R \upharpoonright P \otimes Q) (R' \upharpoonright P' \otimes Q') x y$ 
by(erule gpv.rel-mono-strong)(simp-all add: pred-gpv-def)

lemma rel-gpv-restrict-relpE [elim?]:
  assumes rel-gpv (R  $\upharpoonright$  P  $\otimes$  Q) (R'  $\upharpoonright$  P'  $\otimes$  Q') x y
  obtains rel-gpv R R' x y pred-gpv P P' x pred-gpv Q Q' y
proof
  show rel-gpv R R' x y using assms by(auto elim!: gpv.rel-mono-strong)
  have pred-gpv (Domainp (R  $\upharpoonright$  P  $\otimes$  Q)) (Domainp (R'  $\upharpoonright$  P'  $\otimes$  Q')) x using assms
  by(fold gpv.Domainp-rel) blast
  then show pred-gpv P P' x by(rule gpv-pred-mono-strong)(blast dest!: restrict-relp-DomainpD)+
    have pred-gpv (Domainp (R  $\upharpoonright$  P  $\otimes$  Q) $^{-1-1}$ ) (Domainp (R'  $\upharpoonright$  P'  $\otimes$  Q') $^{-1-1}$ ) y
    using assms
    by(fold gpv.Domainp-rel)(auto simp only: gpv.rel-conversep Domainp-conversep)
    then show pred-gpv Q Q' y by(rule gpv-pred-mono-strong)(auto dest!: restrict-relp-DomainpD)
qed

lemma gpv-pred-map [simp]: pred-gpv P Q (map-gpv f g gpv) = pred-gpv (P  $\circ$  f) (Q  $\circ$  g) gpv
by(simp add: pred-gpv-def)

4.3 Generalised mapper and relator

context includes lifting-syntax begin

primcorec map-gpv' :: ('a  $\Rightarrow$  'b)  $\Rightarrow$  ('out  $\Rightarrow$  'out')  $\Rightarrow$  ('ret'  $\Rightarrow$  'ret')  $\Rightarrow$  ('a, 'out, 'ret) gpv  $\Rightarrow$  ('b, 'out', 'ret') gpv
where
  map-gpv' f g h gpv =
    GPV (map-spmf (map-generat f g (( $\circ$ ) (map-gpv' f g h))) (map-spmf (map-generat id id (map-fun h id)) (the-gpv gpv)))
declare map-gpv'.sel [simp del]

```

```

lemma map-gpv'-sel [simp]:
  the-gpv (map-gpv' f g h gpv) = map-spmf (map-generat f g (h ---> map-gpv'
  f g h)) (the-gpv gpv)
by(simp add: map-gpv'.sel spmf.map-comp o-def generat.map-comp map-fun-def[abs-def])

lemma map-gpv'-GPV [simp]:
  map-gpv' f g h (GPV p) = GPV (map-spmf (map-generat f g (h ---> map-gpv'
  f g h)) p)
by(rule gpv.expand) simp

lemma map-gpv'-id: map-gpv' id id id = id
apply(rule ext)
apply(coinduction)
apply(auto simp add: spmf-rel-map generat.rel-map rel-fun-def intro!: rel-spmf-reflI
generat.rel-refl)
done

lemma map-gpv'-comp: map-gpv' f g h (map-gpv' f' g' h' gpv) = map-gpv' (f ○
f') (g ○ g') (h' ○ h) gpv
by(coinduction arbitrary: gpv)(auto simp add: spmf.map-comp spmf-rel-map gen-
erat.rel-map rel-fun-def intro!: rel-spmf-reflI generat.rel-refl)

functor gpv: map-gpv' by(simp-all add: map-gpv'-comp map-gpv'-id o-def)

lemma map-gpv-conv-map-gpv': map-gpv f g = map-gpv' f g id
apply(rule ext)
apply(coinduction)
apply(auto simp add: gpv.mapsel spmf-rel-map generat.rel-map rel-fun-def intro!:
generat.rel-refl-strong rel-spmf-reflI)
done

coinductive rel-gpv'' :: ('a ⇒ 'b ⇒ bool) ⇒ ('out ⇒ 'out' ⇒ bool) ⇒ ('ret ⇒ 'ret'
⇒ bool) ⇒ ('a, 'out, 'ret) gpv ⇒ ('b, 'out', 'ret') gpv ⇒ bool
  for A C R
where
  rel-spmf (rel-generat A C (R ==> rel-gpv'' A C R)) (the-gpv gpv) (the-gpv
gpv')
  ⇒ rel-gpv'' A C R gpv gpv'

lemma rel-gpv''-coinduct [consumes 1, case-names rel-gpv'', coinduct pred: rel-gpv'']:
  []X gpv gpv';
  ∃gpv gpv'. X gpv gpv'
  ⇒ rel-spmf (rel-generat A C (R ==> (λgpv gpv'. X gpv gpv' ∨ rel-gpv'' A
C R gpv gpv'))))
  (the-gpv gpv) (the-gpv gpv')
  ⇒ rel-gpv'' A C R gpv gpv'
by(erule rel-gpv''.coinduct) blast

lemma rel-gpv''D:

```

```

rel-gpv'' A C R gpv gpv'
  ==> rel-spmf (rel-generat A C (R ==> rel-gpv'' A C R)) (the-gpv gpv) (the-gpv
gpv')
by(simp add: rel-gpv''.simp)

```

lemma rel-gpv''-GPV [simp]:
 $\text{rel-gpv'' } A \ C \ R \ (\text{GPV } p) \ (\text{GPV } q) \longleftrightarrow$
 $\text{rel-spmf (rel-generat } A \ C \ (R ==> \text{rel-gpv'' } A \ C \ R)) \ p \ q$
by(simp add: rel-gpv''.simp)

lemma rel-gpv-conv-rel-gpv'': rel-gpv A C = rel-gpv'' A C (=)
proof(rule ext iffI)+
 show rel-gpv A C gpv gpv' if rel-gpv'' A C (=) gpv gpv' for gpv :: ('a, 'b, 'c) gpv
and gpv' :: ('d, 'e, 'c) gpv
 using that by(coinduct)(blast dest: rel-gpv''D)
 show rel-gpv'' A C (=) gpv gpv' if rel-gpv A C gpv gpv' for gpv :: ('a, 'b, 'c) gpv
and gpv' :: ('d, 'e, 'c) gpv
 using that by(coinduct)(auto elim!: gpv.rel-cases rel-spmf-mono generat.rel-mono-strong
rel-fun-mono)
qed

lemma rel-gpv''-eq :
 $\text{rel-gpv'' } (=) \ (=) \ (=) \ (=)$
by(simp add: rel-gpv-conv-rel-gpv''[symmetric] gpv.rel-eq)

lemma rel-gpv''-mono:
assumes $A \leq A' \ C \leq C' \ R' \leq R$
shows rel-gpv'' A C R ≤ rel-gpv'' A' C' R'
proof
 show rel-gpv'' A' C' R' gpv gpv' if rel-gpv'' A C R gpv gpv' for gpv gpv' using
that
 by(coinduct)(auto dest: rel-gpv''D elim!: rel-spmf-mono generat.rel-mono-strong
rel-fun-mono intro: assms[THEN predicate2D])
qed

lemma rel-gpv''-conversep: rel-gpv'' A⁻¹⁻¹ C⁻¹⁻¹ R⁻¹⁻¹ = (rel-gpv'' A C R)⁻¹⁻¹
proof(intro ext iffI; simp)
 show rel-gpv'' A C R gpv gpv' if rel-gpv'' A⁻¹⁻¹ C⁻¹⁻¹ R⁻¹⁻¹ gpv' gpv
 for A :: 'a1 ⇒ 'a2 ⇒ bool and C :: 'c1 ⇒ 'c2 ⇒ bool and R :: 'r1 ⇒ 'r2 ⇒
bool and gpv gpv'
 using that apply(coinduct)
 apply(drule rel-gpv''D)
 apply(rewrite in ▷ conversep-iff[symmetric])
 apply(subst spmf-rel-conversep[symmetric])
 apply(erule rel-spmf-mono)
 apply(subst generat.rel-conversep[symmetric])
 apply(erule generat.rel-mono-strong)
 apply(auto simp add: rel-fun-def conversep-iff[abs-def])
done

```

from this[of  $A^{-1-1} C^{-1-1} R^{-1-1}$ ]
show rel-gpv''  $A^{-1-1} C^{-1-1} R^{-1-1}$  gpv' gpv if rel-gpv''  $A C R$  gpv gpv' for gpv
gpv' using that by simp
qed

```

```

lemma rel-gpv''-pos-distr:
  rel-gpv''  $A C R$  OO rel-gpv''  $A' C' R'$   $\leq$  rel-gpv''  $(A \text{ OO } A')$   $(C \text{ OO } C')$   $(R \text{ OO } R')$ 
proof(rule predicate2I; erule relcomppE)
  show rel-gpv''  $(A \text{ OO } A')$   $(C \text{ OO } C')$   $(R \text{ OO } R')$  gpv gpv''
    if rel-gpv''  $A C R$  gpv gpv' rel-gpv''  $A' C' R'$  gpv' gpv''
    for gpv gpv' gpv'' using that
    apply(coinduction arbitrary: gpv gpv' gpv'')
    apply(drule rel-gpv''D) +
    apply(drule (1) rel-spmf-pos-distr[THEN predicate2D, OF relcomppI])
    apply(erule spmf-rel-mono-strong)
    apply(subst (asm) generat.rel-compp[symmetric])
    apply(erule generat.rel-mono-strong, assumption, assumption)
    apply(drule pos-fun-distr[THEN predicate2D])
    apply(auto simp add: rel-fun-def)
    done
qed

```

```

lemma left-unique-rel-gpv'':
  [ left-unique  $A$ ; left-unique  $C$ ; left-total  $R$  ]  $\implies$  left-unique (rel-gpv''  $A C R$ )
unfolding left-unique-alt-def left-total-alt-def rel-gpv''-conversep[symmetric]
apply(subst rel-gpv''-eq[symmetric])
apply(rule order-trans[OF rel-gpv''-pos-distr])
apply(erule (2) rel-gpv''-mono)
done

```

```

lemma right-unique-rel-gpv'':
  [ right-unique  $A$ ; right-unique  $C$ ; right-total  $R$  ]  $\implies$  right-unique (rel-gpv''  $A C$ 
 $R$ )
unfolding right-unique-alt-def right-total-alt-def rel-gpv''-conversep[symmetric]
apply(subst rel-gpv''-eq[symmetric])
apply(rule order-trans[OF rel-gpv''-pos-distr])
apply(erule (2) rel-gpv''-mono)
done

```

```

lemma bi-unique-rel-gpv'' [transfer-rule]:
  [ bi-unique  $A$ ; bi-unique  $C$ ; bi-total  $R$  ]  $\implies$  bi-unique (rel-gpv''  $A C R$ )
unfolding bi-unique-alt-def bi-total-alt-def by(blast intro: left-unique-rel-gpv'' right-unique-rel-gpv'')

```

```

lemma rel-gpv''-map-gpv1:
  rel-gpv''  $A C R$  (map-gpv  $f g$  gpv) gpv' = rel-gpv''  $(\lambda a. A (f a)) (\lambda c. C (g c)) R$ 
  gpv gpv' (is ?lhs = ?rhs)
proof

```

```

show ?rhs if ?lhs using that
  apply(coinduction arbitrary: gpv gpv')
  apply(drule rel-gpv''D)
  apply(simp add: gpv.mapsel spmf-rel-map)
  apply(erule rel-spmf-mono)
  by(auto simp add: generat.rel-map rel-fun-comp elim!: generat.rel-mono-strong
rel-fun-mono)
show ?lhs if ?rhs using that
  apply(coinduction arbitrary: gpv gpv')
  apply(drule rel-gpv''D)
  apply(simp add: gpv.mapsel spmf-rel-map)
  apply(erule rel-spmf-mono)
  by(auto simp add: generat.rel-map rel-fun-comp elim!: generat.rel-mono-strong
rel-fun-mono)
qed

```

```

lemma rel-gpv''-map-gpv2:
  rel-gpv'' A C R gpv (map-gpv f g gpv') = rel-gpv'' (λa b. A a (f b)) (λc d. C c (g
d)) R gpv gpv'
  using rel-gpv''-map-gpv1[of conversep A conversep C conversep R f g gpv' gpv]
  apply(rewrite in □ = - conversep-iff[symmetric])
  apply(rewrite in - = □ conversep-iff[symmetric])
  apply(simp only: rel-gpv''-conversep)
  apply(simp only: rel-gpv''-conversep[symmetric])
  apply(simp only: conversep-iff[abs-def])
  done

```

```
lemmas rel-gpv''-map-gpv = rel-gpv''-map-gpv1[abs-def] rel-gpv''-map-gpv2
```

```

lemma rel-gpv''-map-gpv' [simp]:
  shows ∀f g h gpv. NO-MATCH id f ∨ NO-MATCH id g
  ⟹ rel-gpv'' A C R (map-gpv' f g h gpv) = rel-gpv'' (λa. A (f a)) (λc. C (g c))
R (map-gpv' id id h gpv)
  and ∀f g h gpv gpv'. NO-MATCH id f ∨ NO-MATCH id g
  ⟹ rel-gpv'' A C R gpv (map-gpv' f g h gpv') = rel-gpv'' (λa b. A a (f b)) (λc
d. C c (g d)) R gpv (map-gpv' id id h gpv')
proof (goal-cases)
  case (1 f g h gpv)
  then show ?case using map-gpv'-comp[of f g id id h gpv, symmetric] by(simp
add: rel-gpv''-map-gpv[unfolded map-gpv-conv-map-gpv'])
next
  case (2 f g h gpv gpv')
  then show ?case using map-gpv'-comp[of f g id id h gpv', symmetric] by(simp
add: rel-gpv''-map-gpv[unfolded map-gpv-conv-map-gpv'])
qed

```

```
lemmas rel-gpv-map-gpv' = rel-gpv''-map-gpv'[where R=(=), folded rel-gpv-conv-rel-gpv']
```

```
definition rel-witness-gpv :: ('a ⇒ 'd ⇒ bool) ⇒ ('b ⇒ 'e ⇒ bool) ⇒ ('c ⇒ 'g ⇒
```

```

bool) ⇒ ('g ⇒ 'f ⇒ bool) ⇒ ('a, 'b, 'c) gpv × ('d, 'e, 'f) gpv ⇒ ('a × 'd, 'b × 'e,
'g) gpv where
  rel-witness-gpv A C R R' = corec-gpv (
    map-spmf (map-generat id id (λ(rpv, rpv'). (Inr ∘ rel-witness-fun R R' (rpv,
    rpv')))) ∘ rel-witness-generat) ∘
    rel-witness-spmf (rel-generat A C (rel-fun (R OO R') (rel-gpv'' A C (R OO
    R')))) ∘ map-prod the-gpv the-gpv)

```

lemma rel-witness-gpv-sel [simp]:

```

the-gpv (rel-witness-gpv A C R R' (gpv, gpv')) =
  map-spmf (map-generat id id (λ(rpv, rpv'). (rel-witness-gpv A C R R' ∘
  rel-witness-fun R R' (rpv, rpv')))) ∘ rel-witness-generat)
  (rel-witness-spmf (rel-generat A C (rel-fun (R OO R') (rel-gpv'' A C (R OO
  R')))) (the-gpv gpv, the-gpv gpv'))
unfolding rel-witness-gpv-def
by(auto simp add: spmf.map-comp generat.map-comp o-def intro!: map-spmf-cong
generat.map-cong)

```

lemma assumes rel-gpv'' A C (R OO R') gpv gpv'

and R: left-unique R right-total R

and R': right-unique R' left-total R'

shows rel-witness-gpv1: rel-gpv'' (λa (a', b). a = a' ∧ A a' b) (λc (c', d). c = c'
 \wedge C c' d) R gpv (rel-witness-gpv A C R R' (gpv, gpv')) (**is** ?thesis1)
and rel-witness-gpv2: rel-gpv'' (λ(a, b') b. b = b' ∧ A a b') (λ(c, d') d. d = d'
 \wedge C c d') R' (rel-witness-gpv A C R R' (gpv, gpv')) gpv' (**is** ?thesis2)

proof –

show ?thesis1 **using** assms(1)

proof(coinduction arbitrary: gpv gpv')

case rel-gpv''

from this[THEN rel-gpv''D] **show** ?case

by(auto simp add: spmf-rel-map generat.rel-map rel-fun-comp elim!: rel-fun-mono[OF
rel-witness-fun1[OF - R R']])

rel-spmf-mono[OF rel-witness-spmf1] generat.rel-mono[THEN predicate2D,
rotated - 1, OF rel-witness-generat1])

qed

show ?thesis2 **using** assms(1)

proof(coinduction arbitrary: gpv gpv')

case rel-gpv''

from this[THEN rel-gpv''D] **show** ?case

by(simp add: spmf-rel-map)

(erule rel-spmf-mono[OF rel-witness-spmf2])

, auto simp add: generat.rel-map rel-fun-comp elim!: rel-fun-mono[OF
rel-witness-fun2[OF - R R']])

generat.rel-mono[THEN predicate2D, rotated - 1, OF rel-witness-generat2])

qed

qed

lemma rel-gpv''-neg-distr:

assumes R: left-unique R right-total R

```

and  $R'$ : right-unique  $R'$  left-total  $R'$ 
shows rel-gpv''(A OO A') (C OO C') (R OO R')  $\leq$  rel-gpv'' A C R OO rel-gpv''  

 $A' C' R'$ 
proof(rule predicate2I relcomppI) +
  fix gpv gpv''
  assume *: rel-gpv''(A OO A') (C OO C') (R OO R') gpv gpv''
  let ?gpv' = map-gpv (relcompp-witness A A') (relcompp-witness C C') (rel-witness-gpv  

  (A OO A') (C OO C') R R' (gpv, gpv''))
  show rel-gpv'' A C R gpv ?gpv' using rel-witness-gpv1[OF * R R'] unfolding  

  rel-gpv''-map-gpv
    by(rule rel-gpv''-mono[THEN predicate2D, rotated -1]; clarify del: relcomppE  

  elim!: relcompp-witness)
    show rel-gpv'' A' C' R' ?gpv' gpv'' using rel-witness-gpv2[OF * R R'] unfolding  

  rel-gpv''-map-gpv
    by(rule rel-gpv''-mono[THEN predicate2D, rotated -1]; clarify del: relcomppE  

  elim!: relcompp-witness)
  qed

lemma rel-gpv''-mono' [mono]:
  assumes  $\bigwedge x y. A x y \longrightarrow A' x y$ 
  and  $\bigwedge x y. C x y \longrightarrow C' x y$ 
  and  $\bigwedge x y. R' x y \longrightarrow R x y$ 
  shows rel-gpv'' A C R gpv gpv'  $\longrightarrow$  rel-gpv'' A' C' R' gpv gpv'  

  using rel-gpv''-mono[of A A' C C' R' R] assms by(blast)

lemma left-total-rel-gpv':
   $\llbracket \text{left-total } A; \text{left-total } C; \text{left-unique } R; \text{right-total } R \rrbracket \implies \text{left-total } (\text{rel-gpv'' } A C R)$ 
  unfolding left-unique-alt-def left-total-alt-def rel-gpv''-conversep[symmetric]
  apply(subst rel-gpv''-eq[symmetric])
  apply(rule order-trans[rotated])
  apply(rule rel-gpv''-neg-distr; simp add: left-unique-alt-def)
  apply(rule rel-gpv''-mono; assumption)
  done

lemma right-total-rel-gpv':
   $\llbracket \text{right-total } A; \text{right-total } C; \text{right-unique } R; \text{left-total } R \rrbracket \implies \text{right-total } (\text{rel-gpv'' } A C R)$ 
  unfolding right-unique-alt-def right-total-alt-def rel-gpv''-conversep[symmetric]
  apply(subst rel-gpv''-eq[symmetric])
  apply(rule order-trans[rotated])
  apply(rule rel-gpv''-neg-distr; simp add: right-unique-alt-def)
  apply(rule rel-gpv''-mono; assumption)
  done

lemma bi-total-rel-gpv' [transfer-rule]:
   $\llbracket \text{bi-total } A; \text{bi-total } C; \text{bi-unique } R; \text{bi-total } R \rrbracket \implies \text{bi-total } (\text{rel-gpv'' } A C R)$ 
  unfolding bi-total-alt-def bi-unique-alt-def by(blast intro: left-total-rel-gpv' right-total-rel-gpv')

```

```

lemma rel-fun-conversep-grp-grp:
  rel-fun (conversep (BNF-Def.Grp UNIV f)) (BNF-Def.Grp B g) = BNF-Def.Grp
  {x. (x ∘ f) ‘ UNIV ⊆ B} (map-fun f g)
  unfolding rel-fun-def Grp-def simp-thms fun-eq-iff conversep-iff by auto

lemma Quotient-gpv:
  assumes Q1: Quotient R1 Abs1 Rep1 T1
  and Q2: Quotient R2 Abs2 Rep2 T2
  and Q3: Quotient R3 Abs3 Rep3 T3
  shows Quotient (rel-gpv'' R1 R2 R3) (map-gpv' Abs1 Abs2 Rep3) (map-gpv'
  Rep1 Rep2 Abs3) (rel-gpv'' T1 T2 T3)
  (is Quotient ?R ?abs ?rep ?T)
  unfolding Quotient-alt-def2
  proof(intro conjI strip iffI; (elim conjE exE) ?)
    note [simp] = spmf-rel-map generat.rel-map
    and [elim!] = rel-spmf-mono generat.rel-mono-strong
    and [rule del] = rel-funI and [intro!] = rel-funI
    have Abs1 [simp]: Abs1 x = y if T1 x y for x y using Q1 that by(simp add:
    Quotient-alt-def)
    have Abs2 [simp]: Abs2 x = y if T2 x y for x y using Q2 that by(simp add:
    Quotient-alt-def)
    have Abs3 [simp]: Abs3 x = y if T3 x y for x y using Q3 that by(simp add:
    Quotient-alt-def)
    have Rep1: T1 (Rep1 x) x for x using Q1 by(simp add: Quotient-alt-def)
    have Rep2: T2 (Rep2 x) x for x using Q2 by(simp add: Quotient-alt-def)
    have Rep3: T3 (Rep3 x) x for x using Q3 by(simp add: Quotient-alt-def)
    have T1: T1 x (Abs1 y) if R1 x y for x y using Q1 that by(simp add: Quo-
    tient-alt-def2)
    have T2: T2 x (Abs2 y) if R2 x y for x y using Q2 that by(simp add: Quo-
    tient-alt-def2)
    have T1': T1 x (Abs1 y) if R1 y x for x y using Q1 that by(simp add: Quo-
    tient-alt-def2)
    have T2': T2 x (Abs2 y) if R2 y x for x y using Q2 that by(simp add: Quo-
    tient-alt-def2)
    have R3: R3 x (Rep3 y) if T3 x y for x y using Q3 that by(simp add: Quo-
    tient-alt-def2 Abs3[OF Rep3])
    have R3': R3 (Rep3 y) x if T3 x y for x y using Q3 that by(simp add: Quo-
    tient-alt-def2 Abs3[OF Rep3])
    have r1: R1 = T1 OO T1⁻¹⁻¹ using Q1 by(simp add: Quotient-alt-def4)
    have r2: R2 = T2 OO T2⁻¹⁻¹ using Q2 by(simp add: Quotient-alt-def4)
    have r3: R3 = T3 OO T3⁻¹⁻¹ using Q3 by(simp add: Quotient-alt-def4)
    show abs: ?abs gpv = gpv' if ?T gpv gpv' for gpv gpv' using that
      by(coinduction arbitrary: gpv gpv')(drule rel-gpv''D; auto 4 4 intro: Rep3 dest:
      rel-funD)
    show ?T (?rep gpv) gpv for gpv
      by(coinduction arbitrary: gpv)(auto simp add: Rep1 Rep2 intro!: rel-spmf-reflI
      generat.rel-refl-strong)
    show ?T gpv (?abs gpv') if ?R gpv gpv' for gpv gpv' using that
      by(coinduction arbitrary: gpv gpv')(drule rel-gpv''D; auto 4 3 simp add: T1 T2

```

```

intro!: R3 dest: rel-funD)
show ?T gpv (?abs gpv') if ?R gpv' gpv for gpv gpv'
proof -
  from that have rel-gpv'' R1-1-1 R2-1-1 R3-1-1 gpv gpv' unfolding rel-gpv''-conversep
by simp
  then show ?thesis
    by(coinduction arbitrary: gpv gpv')(drule rel-gpv''D; auto 4 3 simp add: T1'
T2' intro!: R3' dest: rel-funD)
qed
show ?R gpv gpv' if ?T gpv (?abs gpv') ?T gpv' (?abs gpv) for gpv gpv'
proof -
  from that[THEN abs] have ?abs gpv' = ?abs gpv by simp
  with that have (?T OO ?T-1-1) gpv gpv' by(auto simp del: rel-gpv''-map-gpv')
  hence rel-gpv'' (T1 OO T1-1-1) (T2 OO T2-1-1) (T3 OO T3-1-1) gpv gpv'
  unfolding rel-gpv''-conversep[symmetric]
  by(rule rel-gpv''-pos-distr[THEN predicate2D])
  thus ?thesis by(simp add: r1 r2 r3)
qed
qed

lemma the-gpv-parametric':
  (rel-gpv'' A C R ==> rel-spmf (rel-generat A C (R ==> rel-gpv'' A C R)))
the-gpv the-gpv
by(rule rel-funI)(auto elim: rel-gpv''.cases)

lemma GPV-parametric':
  (rel-spmf (rel-generat A C (R ==> rel-gpv'' A C R)) ==> rel-gpv'' A C R)
GPV GPV
by(rule rel-funI)(auto)

lemma corec-gpv-parametric':
  ((S ==> rel-spmf (rel-generat A C (R ==> rel-sum (rel-gpv'' A C R) S)))
==> S ==> rel-gpv'' A C R)
corec-gpv corec-gpv
proof(rule rel-funI)+
  fix f g s1 s2
  assume fg: (S ==> rel-spmf (rel-generat A C (R ==> rel-sum (rel-gpv'' A
C R) S))) f g
  and s: S s1 s2
  from s show rel-gpv'' A C R (corec-gpv f s1) (corec-gpv g s2)
    apply(coinduction arbitrary: s1 s2)
    apply(drule fg[THEN rel-funD])
    apply(simp add: spmf-rel-map)
    apply(erule rel-spmf-mono)
    apply(simp add: generat.rel-map)
    apply(erule generat.rel-mono-strong; clarsimp simp add: o-def)
    apply(rule rel-funI)
    apply(drule (1) rel-funD)
    apply(auto 4 3 elim!: rel-sum.cases)

```

```

done
qed

lemma map-gpv'-parametric [transfer-rule]:
  ((A ==> A') ==> (C ==> C') ==> (R' ==> R) ==> rel-gpv'')
  A C R ==> rel-gpv'' A' C' R') map-gpv' map-gpv'
  unfoldng map-gpv'-def
  supply corec-gpv-parametric'[transfer-rule] the-gpv-parametric'[transfer-rule]
  by(transfer-prover)

lemma map-gpv-parametric': ((A ==> A') ==> (C ==> C') ==> rel-gpv'')
  A C R ==> rel-gpv'' A' C' R) map-gpv map-gpv
  unfoldng map-gpv-conv-map-gpv'[abs-def] by transfer-prover

end

```

4.4 Simple, derived operations

```

primcorec Done :: 'a => ('a, 'out, 'in) gpv
where the-gpv (Done a) = return-spmf (Pure a)

primcorec Pause :: 'out => ('in => ('a, 'out, 'in) gpv) => ('a, 'out, 'in) gpv
where the-gpv (Pause out c) = return-spmf (IO out c)

primcorec lift-spmf :: 'a spmf => ('a, 'out, 'in) gpv
where the-gpv (lift-spmf p) = map-spmf Pure p

definition Fail :: ('a, 'out, 'in) gpv
where Fail = GPV (return-pmf None)

definition React :: ('in => 'out × ('a, 'out, 'in) rpv) => ('a, 'out, 'in) rpv
where React f input = case-prod Pause (f input)

definition rFail :: ('a, 'out, 'in) rpv
where rFail = (λ-. Fail)

lemma Done-inject [simp]: Done x = Done y <→ x = y
by(simp add: Done.ctr)

lemma Pause-inject [simp]: Pause out c = Pause out' c' <→ out = out' ∧ c = c'
by(simp add: Pause.ctr)

lemma [simp]:
shows Done-neq-Pause: Done x ≠ Pause out c
and Pause-neq-Done: Pause out c ≠ Done x
by(simp-all add: Done.ctr Pause.ctr)

lemma outs'-gpv-Done [simp]: outs'-gpv (Done x) = {}
by(auto elim: outs'-gpv-cases)

```

```

lemma results'-gpv-Done [simp]: results'-gpv (Done x) = {x}
by(auto intro: results'-gpvI elim: results'-gpv-cases)

lemma pred-gpv-Done [simp]: pred-gpv P Q (Done x) = P x
by(simp add: pred-gpv-def)

lemma outs'-gpv-Pause [simp]: outs'-gpv (Pause out c) = insert out (UNION input.
outs'-gpv (c input))
by(auto 4 4 intro: outs'-gpvI elim: outs'-gpv-cases)

lemma results'-gpv-Pause [simp]: results'-gpv (Pause out rpv) = results'-rvp rpv
by(auto 4 4 intro: results'-gpvI elim: results'-gpv-cases)

lemma pred-gpv-Pause [simp]: pred-gpv P Q (Pause x c) = (Q x ∧ All (pred-gpv
P Q ∘ c))
by(auto simp add: pred-gpv-def o-def)

lemma lift-spmf-return [simp]: lift-spmf (return-spmf x) = Done x
by(simp add: lift-spmf.ctr Done.ctr)

lemma lift-spmf-None [simp]: lift-spmf (return-spmf None) = Fail
by(rule gpv.expand)(simp add: Fail-def)

lemma the-gpv-lift-spmf [simp]: the-gpv (lift-spmf r) = map-spmf Pure r
by(simp)

lemma outs'-gpv-lift-spmf [simp]: outs'-gpv (lift-spmf p) = {}
by(auto 4 3 elim: outs'-gpv-cases)

lemma results'-gpv-lift-spmf [simp]: results'-gpv (lift-spmf p) = set-spmf p
by(auto 4 3 elim: results'-gpv-cases intro: results'-gpvI)

lemma pred-gpv-lift-spmf [simp]: pred-gpv P Q (lift-spmf p) = pred-spmf P p
by(simp add: pred-gpv-def pred-spmf-def)

lemma lift-spmf-inject [simp]: lift-spmf p = lift-spmf q ⟷ p = q
by(auto simp add: lift-spmf.code dest!: pmf.inj-map-strong[rotated] option.inj-map-strong[rotated])

lemma map-lift-spmf: map-gpv f g (lift-spmf p) = lift-spmf (map-spmf f p)
by(rule gpv.expand)(simp add: gpv.mapsel spmf.mapcomp o-def)

lemma lift-map-spmf: lift-spmf (map-spmf f p) = map-gpv f id (lift-spmf p)
by(rule gpv.expand)(simp add: gpv.mapsel spmf.mapcomp o-def)

lemma [simp]:
shows Fail-neq-Pause: Fail ≠ Pause out c
and Pause-neq-Fail: Pause out c ≠ Fail
and Fail-neq-Done: Fail ≠ Done x

```

and Done-neq-Fail: $\text{Done } x \neq \text{Fail}$
by(simp-all add: Fail-def Pause.ctr Done.ctr)

Add *unit* closure to circumvent SML value restriction

definition $\text{Fail}' :: \text{unit} \Rightarrow ('a, 'out, 'in) \text{gpv}$
where [code del]: $\text{Fail}' - = \text{Fail}$

lemma Fail-code [code-unfold]: $\text{Fail} = \text{Fail}' ()$
by(simp add: Fail'-def)

lemma Fail'-code [code]:
 $\text{Fail}' x = \text{GPV} (\text{return-pmf } \text{None})$
by(simp add: Fail'-def Fail-def)

lemma Fail-sel [simp]:
 $\text{the-gpv } \text{Fail} = \text{return-pmf } \text{None}$
by(simp add: Fail-def)

lemma Fail-eq-GPV-iff [simp]: $\text{Fail} = \text{GPV } f \longleftrightarrow f = \text{return-pmf } \text{None}$
by(auto simp add: Fail-def)

lemma outs'-gpv-Fail [simp]: $\text{outs}'\text{-gpv } \text{Fail} = \{\}$
by(auto elim: outs'-gpv-cases)

lemma results'-gpv-Fail [simp]: $\text{results}'\text{-gpv } \text{Fail} = \{\}$
by(auto elim: results'-gpv-cases)

lemma pred-gpv-Fail [simp]: $\text{pred-gpv } P \ Q \ \text{Fail}$
by(simp add: pred-gpv-def)

lemma React-inject [iff]: $\text{React } f = \text{React } f' \longleftrightarrow f = f'$
by(auto simp add: React-def fun-eq-iff split-def intro: prod.expand)

lemma React-apply [simp]: $f \text{ input} = (\text{out}, c) \implies \text{React } f \text{ input} = \text{Pause out } c$
by(simp add: React-def)

lemma rFail-apply [simp]: $rFail \text{ input} = \text{Fail}$
by(simp add: rFail-def)

lemma [simp]:
shows rFail-neq-React: $rFail \neq \text{React } f$
and React-neq-rFail: $\text{React } f \neq rFail$
by(simp-all add: React-def fun-eq-iff split-beta)

lemma rel-gpv-FailI [simp]: $\text{rel-gpv } A \ C \ \text{Fail } \text{Fail}$
by(subst gpv.rel-sel) simp

lemma rel-gpv-Done [iff]: $\text{rel-gpv } A \ C \ (\text{Done } x) \ (\text{Done } y) \longleftrightarrow A \ x \ y$
by(subst gpv.rel-sel) simp

```

lemma rel-gpv"-Done [iff]: rel-gpv" A C R (Done x) (Done y)  $\longleftrightarrow$  A x y
by(subst rel-gpv".simp) simp

lemma rel-gpv-Pause [iff]:
  rel-gpv A C (Pause out c) (Pause out' c')  $\longleftrightarrow$  C out out'  $\wedge$  ( $\forall$  x. rel-gpv A C (c x) (c' x))
by(subst gpv.relsel)(simp add: rel-fun-def)

lemma rel-gpv"-Pause [iff]:
  rel-gpv" A C R (Pause out c) (Pause out' c')  $\longleftrightarrow$  C out out'  $\wedge$  ( $\forall$  x x'. R x x'
 $\longrightarrow$  rel-gpv" A C R (c x) (c' x'))
by(subst rel-gpv".simp)(simp add: rel-fun-def)

lemma rel-gpv-lift-spmf [iff]: rel-gpv A C (lift-spmf p) (lift-spmf q)  $\longleftrightarrow$  rel-spmf
A p q
by(subst gpv.relsel)(simp add: spmf-rel-map)

lemma rel-gpv"-lift-spmf [iff]:
  rel-gpv" A C R (lift-spmf p) (lift-spmf q)  $\longleftrightarrow$  rel-spmf A p q
by(subst rel-gpv".simp)(simp add: spmf-rel-map)

context includes lifting-syntax begin
lemmas Fail-parametric [transfer-rule] = rel-gpv-FailI

lemma Fail-parametric' [simp]: rel-gpv" A C R Fail Fail
unfolding Fail-def by simp

lemma Done-parametric [transfer-rule]: (A ==> rel-gpv A C) Done Done
by(rule rel-funI) simp

lemma Done-parametric': (A ==> rel-gpv" A C R) Done Done
by(rule rel-funI) simp

lemma Pause-parametric [transfer-rule]:
  (C ==> ((=) ==> rel-gpv A C) ==> rel-gpv A C) Pause Pause
by(simp add: rel-fun-def)

lemma Pause-parametric':
  (C ==> (R ==> rel-gpv" A C R) ==> rel-gpv" A C R) Pause Pause
by(simp add: rel-fun-def)

lemma lift-spmf-parametric [transfer-rule]:
  (rel-spmf A ==> rel-gpv A C) lift-spmf lift-spmf
by(simp add: rel-fun-def)

lemma lift-spmf-parametric':
  (rel-spmf A ==> rel-gpv" A C R) lift-spmf lift-spmf
by(simp add: rel-fun-def)

```

```

end

lemma map-gpv-Done [simp]: map-gpv f g (Done x) = Done (f x)
by(simp add: Done.code)

lemma map-gpv'-Done [simp]: map-gpv' f g h (Done x) = Done (f x)
by(simp add: Done.code)

lemma map-gpv-Pause [simp]: map-gpv f g (Pause x c) = Pause (g x) (map-gpv f
g o c)
by(simp add: Pause.code)

lemma map-gpv'-Pause [simp]: map-gpv' f g h (Pause x c) = Pause (g x) (map-gpv'
f g h o c o h)
by(simp add: Pause.code map-fun-def)

lemma map-gpv-Fail [simp]: map-gpv f g Fail = Fail
by(simp add: Fail-def)

lemma map-gpv'-Fail [simp]: map-gpv' f g h Fail = Fail
by(simp add: Fail-def)

```

4.5 Monad structure

```

primcorec bind-gpv :: ('a, 'out, 'in) gpv  $\Rightarrow$  ('a  $\Rightarrow$  ('b, 'out, 'in) gpv)  $\Rightarrow$  ('b, 'out,
'in) gpv
where
  the-gpv (bind-gpv r f) =
    map-spmf (map-generat id id (( $\circ$ ) (case-sum id ( $\lambda r.$  bind-gpv r f))))
    (the-gpv r  $\gg$ 
      (case-generat
        ( $\lambda x.$  map-spmf (map-generat id id (( $\circ$ ) Inl)) (the-gpv (f x)))
        ( $\lambda out\ c.$  return-spmf (IO out ( $\lambda input.$  Inr (c input))))))

```

```

declare bind-gpv.sel [simp del]

adhoc-overloading Monad-Syntax.bind  $\Leftarrow$  bind-gpv

lemma bind-gpv-unfold [code]:
  r  $\gg$  f = GPV (
    do {
      generat  $\leftarrow$  the-gpv r;
      case generat of Pure x  $\Rightarrow$  the-gpv (f x)
      | IO out c  $\Rightarrow$  return-spmf (IO out ( $\lambda input.$  c input  $\gg$  f))
    })
unfolding bind-gpv-def
apply(rule gpv.expand)
apply(simp add: map-spmf-bind-spmf)
apply(rule arg-cong[where f=bind-spmf (the-gpv r)])

```

```

apply(auto split: generat.split simp add: map-spmf-bind-spmf fun-eq-iff spmf.map-comp
o-def generat.map-comp id-def[symmetric] generat.map-id pmf.map-id option.map-id)
done

lemma bind-gpv-code-cong:  $f = f' \implies \text{bind-gpv } f g = \text{bind-gpv } f' g$  by simp
setup <Code-Simp.map-ss (Simplifier.add-cong @{thm bind-gpv-code-cong})>

lemma bind-gpvsel:
 $\text{the-gpv } (r \gg f) =$ 
 $\text{do } \{$ 
 $\text{generat} \leftarrow \text{the-gpv } r;$ 
 $\text{case generat of Pure } x \Rightarrow \text{the-gpv } (f x)$ 
 $| \text{IO out } c \Rightarrow \text{return-spmf } (\text{IO out } (\lambda \text{input}. \text{bind-gpv } (c \text{ input}) f))$ 
 $\}$ 
by(subst bind-gpv-unfold) simp

lemma bind-gpvsel' [simp]:
 $\text{the-gpv } (r \gg f) =$ 
 $\text{do } \{$ 
 $\text{generat} \leftarrow \text{the-gpv } r;$ 
 $\text{if is-Pure generat then the-gpv } (f (\text{result generat}))$ 
 $\text{else return-spmf } (\text{IO } (\text{output generat}) (\lambda \text{input}. \text{bind-gpv } (\text{continuation generat input}) f))$ 
 $\}$ 
unfolding bind-gpvsel
by(rule arg-cong[where  $f = \text{bind-spmf } (\text{the-gpv } r)$ ])(simp add: fun-eq-iff split: generat.split)

lemma Done-bind-gpv [simp]: Done a  $\gg f = f a$ 
by(rule gpv.expand)(simp)

lemma bind-gpv-Done [simp]:  $f \gg \text{Done} = f$ 
proof(coinduction arbitrary: f rule: gpv.coinduct)
case (Eq-gpv f)
have *:  $\text{the-gpv } f \gg (\text{case-generat } (\lambda x. \text{return-spmf } (\text{Pure } x)) (\lambda \text{out } c. \text{return-spmf } (\text{IO out } (\lambda \text{input}. \text{Inr } (c \text{ input})))))) =$ 
 $\text{map-spmf } (\text{map-generat id id } ((\circ) \text{Inr})) (\text{bind-spmf } (\text{the-gpv } f) \text{return-spmf})$ 
unfolding map-spmf-bind-spmf
by(rule arg-cong2[where  $f = \text{bind-spmf }$ ])(auto simp add: fun-eq-iff split: generat.split)
show ?case
by(auto simp add: * bind-gpv.simps pmf.rel-map option.rel-map[abs-def] generat.rel-map[abs-def] simp del: bind-gpvsel' intro!: rel-generatI rel-spmf-refI)
qed

lemma if-distrib-bind-gpv2 [if-distribbs]:
 $\text{bind-gpv } gpv (\lambda y. \text{if } b \text{ then } f y \text{ else } g y) = (\text{if } b \text{ then } \text{bind-gpv } gpv f \text{ else } \text{bind-gpv } gpv g)$ 
by simp

```

```

lemma lift-spmf-bind: lift-spmf r ≈≈ f = GPV (r ≈≈ the-gpv ∘ f)
by(coinduction arbitrary: r f rule: gpv.coinduct-strong)(auto simp add: bind-map-spmf
o-def intro: rel-pmf-refI rel-optionI rel-generatI)

lemma the-gpv-bind-gpv-lift-spmf [simp]:
the-gpv (bind-gpv (lift-spmf p) f) = bind-spmf p (the-gpv ∘ f)
by(simp add: bind-map-spmf o-def)

lemma lift-spmf-bind-spmf: lift-spmf (p ≈≈ f) = lift-spmf p ≈≈ (λx. lift-spmf (f
x))
by(rule gpv.expand)(simp add: lift-spmf-bind o-def map-spmf-bind-spmf)

lemma lift-bind-spmf: lift-spmf (bind-spmf p f) = bind-gpv (lift-spmf p) (lift-spmf
◦ f)
by(rule gpv.expand)(simp add: bind-map-spmf map-spmf-bind-spmf o-def)

lemma GPV-bind:
GPV f ≈≈ g =
GPV (f ≈≈ (λgenerat. case generat of Pure x ⇒ the-gpv (g x) | IO out c ⇒
return-spmf (IO out (λinput. c input ≈≈ g)))))
by(subst bind-gpv-unfold) simp

lemma GPV-bind':
GPV f ≈≈ g = GPV (f ≈≈ (λgenerat. if is-Pure generat then the-gpv (g (result
generat)) else return-spmf (IO (output generat) (λinput. continuation generat input
≈≈ g))))))
unfolding GPV-bind gpv.inject
by(rule arg-cong[where f=bind-spmf f])(simp add: fun-eq-iff split: generat.split)

lemma bind-gpv-assoc:
fixes f :: ('a, 'out, 'in) gpv
shows (f ≈≈ g) ≈≈ h = f ≈≈ (λx. g x ≈≈ h)
proof(coinduction arbitrary: f g h rule: gpv.coinduct-strong)
case (Eq-gpv f g h)
show ?case
apply(simp cong del: if-weak-cong)
apply(rule rel-spmf-bindI[where R=(=)])
apply(simp add: option.rel-eq pmf.rel-eq)
apply(fastforce intro: rel-pmf-return-pmfI rel-generatI rel-spmf-refI)
done
qed

lemma map-gpv-bind-gpv: map-gpv f g (bind-gpv gpv h) = bind-gpv (map-gpv id g
gpv) (λx. map-gpv f g (h x))
apply(coinduction arbitrary: gpv rule: gpv.coinduct-strong)
apply(simp add: bind-gpv.sel gpv.map-sel spmf-rel-map generat.rel-map o-def bind-map-spmf
del: bind-gpvsel')
apply(rule rel-spmf-bind-refI)

```

```

apply(auto simp add: spmf-rel-map generat.rel-map split: generat.split del: rel-funI
intro!: rel-spmf-reflI generat.rel-reflI rel-funI)
done

lemma map-gpv-id-bind-gpv: map-gpv f id (bind-gpv gpv g) = bind-gpv gpv (map-gpv
f id o g)
by(simp add: map-gpv-bind-gpv gpv.map-id o-def)

lemma map-gpv-conv-bind:
  map-gpv f (λx. x) x = bind-gpv x (λx. Done (f x))
using map-gpv-bind-gpv[of f λx. x x Done] by(simp add: id-def[symmetric] gpv.map-id)

lemma bind-map-gpv: bind-gpv (map-gpv f id gpv) g = bind-gpv gpv (g o f)
by(simp add: map-gpv-conv-bind id-def bind-gpv-assoc o-def)

lemma outs-bind-gpv:
  outs'-gpv (bind-gpv x f) = outs'-gpv x ∪ (⋃ x ∈ results'-gpv x. outs'-gpv (f x))
(is ?lhs = ?rhs)
proof(rule Set.set-eqI iffI)+
  fix out
  assume out ∈ ?lhs
  then show out ∈ ?rhs
  proof(induction g≡x ≈ f arbitrary: x)
    case (Out generat)
    then obtain generat' where *: generat' ∈ set-spmf (the-gpv x)
      and **: generat ∈ set-spmf (if is-Pure generat' then the-gpv (f (result generat')) else return-spmf (IO (output generat') (λinput. continuation generat' input ≈ f)))
    by(auto)
    show ?case
    proof(cases is-Pure generat')
      case True
      then have out ∈ outs'-gpv (f (result generat')) using Out(2) ** by(auto
intro: outs'-gpvI)
      moreover have result generat' ∈ results'-gpv x using * True
      by(auto intro: results'-gpvI generat.setsel)
      ultimately show ?thesis by blast
    next
      case False
      hence out ∈ outs'-gpv x using * ** Out(2) by(auto intro: outs'-gpvI generat.setsel)
      thus ?thesis by blast
    qed
  next
    case (Cont generat c input)
    then obtain generat' where *: generat' ∈ set-spmf (the-gpv x)
      and **: generat ∈ set-spmf (if is-Pure generat' then the-gpv (f (generat.result generat')) else return-spmf (IO (generat.output generat') (λinput.

```

```

continuation generat' input ≈= f)))
  by(auto)
  show ?case
  proof(cases is-Pure generat')
    case True
      then have out ∈ outs'-gpv (f (result generat')) using Cont(2–3) ** by(auto
intro: outs'-gpvI)
      moreover have result generat' ∈ results'-gpv x using * True
        by(auto intro: results'-gpvI generat.setsel)
      ultimately show ?thesis by blast
    next
    case False
      then have generat: generat = IO (output generat') (λinput. continuation
generat' input ≈= f)
        using ** by simp
      with Cont(2) have c input = continuation generat' input ≈= f by auto
        hence out ∈ outs'-gpv (continuation generat' input) ∪ (⋃x∈results'-gpv
(continuation generat' input). outs'-gpv (f x))
          by(rule Cont)
        thus ?thesis
        proof
          assume out ∈ outs'-gpv (continuation generat' input)
          with * ** False have out ∈ outs'-gpv x by(auto intro: outs'-gpvI gen-
erat.setsel)
          thus ?thesis ..
        next
        assume out ∈ (⋃x∈results'-gpv (continuation generat' input). outs'-gpv (f
x))
        then obtain y where y ∈ results'-gpv (continuation generat' input) out ∈
outs'-gpv (f y) ..
        from ⟨y ∈ _⟩ * ** False have y ∈ results'-gpv x
          by(auto intro: results'-gpvI generat.setsel)
        with ⟨out ∈ outs'-gpv (f y)⟩ show ?thesis by blast
      qed
      qed
      qed
    next
    fix out
    assume out ∈ ?rhs
    then show out ∈ ?lhs
    proof
      assume out ∈ outs'-gpv x
      thus ?thesis
      proof(induction)
        case (Out generat gpv)
        then show ?case
          by(cases generat)(fastforce intro: outs'-gpvI rev-bexI) +
      next
      case (Cont generat gpv gpv')

```

```

then show ?case
  by(cases generat)(auto 4 4 intro: outs'-gpvI rev-bexI simp add: in-set-spmf
set-pmf-bind-spmf simp del: set-bind-spmf)
  qed
next
  assume out  $\in$  ( $\bigcup_{x \in results'} outs'-gpv (f x)$ )
  then obtain y where y  $\in$  results'-gpv x out  $\in$  outs'-gpv (f y) ..
  from y  $\in$   $\rightarrow$  show ?thesis
  proof(induction)
    case (Pure generat gpv)
    thus ?case using out  $\in$  outs'-gpv  $\rightarrow$ 
      by(cases generat)(auto 4 5 intro: outs'-gpvI rev-bexI elim: outs'-gpv-cases)
next
  case (Cont generat gpv gpv')
  thus ?case
    by(cases generat)(auto 4 4 simp add: in-set-spmf simp add: set-pmf-bind-spmf
intro: outs'-gpvI rev-bexI simp del: set-bind-spmf)
    qed
  qed
qed

lemma bind-gpv-Fail [simp]: Fail  $\gg=$  f = Fail
by(subst bind-gpv-unfold)(simp add: Fail-def)

lemma bind-gpv-eq-Fail:
  bind-gpv gpv f = Fail  $\longleftrightarrow$  ( $\forall x \in set\text{-}spmf (the\text{-}gpv gpv)$ . is-Pure x)  $\wedge$  ( $\forall x \in results'$ -gpv gpv. f x = Fail)
  (is ?lhs = ?rhs)
  proof(intro iffI conjI strip)
    show ?lhs if ?rhs using that
    by(intro gpv.expand)(auto 4 4 simp add: bind-eq-return-pmf-None intro: re-
    sults'-gpv-Pure generat.setsel dest: bspec)

    assume ?lhs
    hence *: the-gpv (bind-gpv gpv f) = return-pmf None by simp
    from * show is-Pure x if x  $\in$  set-spmf (the-gpv gpv) for x using that
      by(simp add: bind-eq-return-pmf-None split: if-split-asm)
    show f x = Fail if x  $\in$  results'-gpv gpv for x using that *
      by(cases)(auto 4 3 simp add: bind-eq-return-pmf-None elim!: generat.set-cases
intro: gpv.expand dest: bspec)
    qed

context includes lifting-syntax begin

lemma bind-gpv-parametric [transfer-rule]:
  (rel-gpv A C  $\implies$  (A  $\implies$  rel-gpv B C)  $\implies$  rel-gpv B C) bind-gpv
  bind-gpv
  unfolding bind-gpv-def by transfer-prover

```

```

lemma bind-gpv-parametric':
  (rel-gpv'' A C R ==> (A ==> rel-gpv'' B C R) ==> rel-gpv'' B C R)
  bind-gpv bind-gpv
  unfolding bind-gpv-def supply corec-gpv-parametric'[transfer-rule] the-gpv-parametric'[transfer-rule]
  by(transfer-prover)

end

lemma monad-gpv [locale-witness]: monad Done bind-gpv
  by(unfold-locales)(simp-all add: bind-gpv-assoc)

lemma monad-fail-gpv [locale-witness]: monad-fail Done bind-gpv Fail
  by unfold-locales auto

lemma rel-gpv-bindI:
  [rel-gpv A C gpv gpv';  $\bigwedge x. A x \implies$  rel-gpv B C (f x) (g y)]
   $\implies$  rel-gpv B C (bind-gpv gpv f) (bind-gpv gpv' g)
  by(fact bind-gpv-parametric[THEN rel-funD, THEN rel-funD, OF - rel-funI])

lemma bind-gpv-cong:
  [gpv = gpv';  $\bigwedge x. x \in \text{results}'\text{-}gpv \implies$  f x = g x]  $\implies$  bind-gpv gpv f =
  bind-gpv gpv' g
  apply(subst gpv.rel-eq[symmetric])
  apply(rule rel-gpv-bindI[where A=eq-onp ( $\lambda x. x \in \text{results}'\text{-}gpv$ )])
  apply(subst (asm) gpv.rel-eq[symmetric])
  apply(erule gpv.rel-mono-strong)
  apply(simp add: eq-onp-def)
  apply simp
  apply(clarsimp simp add: gpv.rel-eq eq-onp-def)
  done

definition bind-rpv :: ('a, 'in, 'out) rpv  $\Rightarrow$  ('a  $\Rightarrow$  ('b, 'in, 'out) gpv)  $\Rightarrow$  ('b, 'in, 'out) rpv
  where bind-rpv rpv f = ( $\lambda$ input. bind-gpv (rpv input) f)

lemma bind-rpv-apply [simp]: bind-rpv rpv f input = bind-gpv (rpv input) f
  by(simp add: bind-rpv-def fun-eq-iff)

adhoc-overloading Monad-Syntax.bind == bind-rpv

lemma bind-rpv-code-cong: rpv = rpv'  $\implies$  bind-rpv rpv f = bind-rpv rpv' f by
  simp
  setup `Code-Simp.map-ss (Simplifier.add-cong @{thm bind-rpv-code-cong})`>

lemma bind-rpv-rDone [simp]: bind-rpv rpv Done = rpv
  by(simp add: bind-rpv-def)

lemma bind-gpv-Pause [simp]: bind-gpv (Pause out rpv) f = Pause out (bind-rpv rpv f)

```

```

by(rule gpv.expand)(simp add: fun-eq-iff)

lemma bind-rpv-React [simp]: bind-rpv (React f) g = React (apsnd (λ rpv. bind-rpv
rv g) ∘ f)
by(simp add: React-def split-beta fun-eq-iff)

lemma bind-rpv-assoc: bind-rpv (bind-rpv rv f) g = bind-rpv rv ((λ gpv. bind-gpv
gpv g) ∘ f)
by(simp add: fun-eq-iff bind-gpv-assoc o-def)

lemma bind-rpv-Done [simp]: bind-rpv Done f = f
by(simp add: bind-rpv-def)

lemma results'-rv-Done [simp]: results'-rv Done = UNIV
by(auto simp add: results'-rv-def)

```

4.6 Embedding 'a spmf as a monad

```

lemma neg-fun-distr3:
  includes lifting-syntax
  assumes 1: left-unique R right-total R
  assumes 2: right-unique S left-total S
  shows (R OO R' ==> S OO S') ≤ ((R ==> S) OO (R' ==> S'))
using functional-relation[OF 2] functional-converse-relation[OF 1]
unfolding rel-fun-def OO-def
apply clarify
apply (subst all-comm)
apply (subst all-conj-distrib[symmetric])
apply (intro choice)
by metis

```

```
locale spmf-to-gpv begin
```

The lifting package cannot handle free term variables in the merging of transfer rules, so for the embedding we define a specialised relator *rel-gpv'* which acts only on the returned values.

```

definition rel-gpv' :: ('a ⇒ 'b ⇒ bool) ⇒ ('a, 'out, 'in) gpv ⇒ ('b, 'out, 'in) gpv
⇒ bool
where rel-gpv' A = rel-gpv A (=)

```

```

lemma rel-gpv'-eq [relator-eq]: rel-gpv' (=) = (=)
unfolding rel-gpv'-def gpv.rel-eq ..

```

```

lemma rel-gpv'-mono [relator-mono]: A ≤ B ==> rel-gpv' A ≤ rel-gpv' B
unfolding rel-gpv'-def by(rule gpv.rel-mono; simp)

```

```

lemma rel-gpv'-distr [relator-distr]: rel-gpv' A OO rel-gpv' B = rel-gpv' (A OO
B)
unfolding rel-gpv'-def by (metis OO-eq gpv.rel-compp)

```

```

lemma left-unique-rel-gpv' [transfer-rule]: left-unique A  $\implies$  left-unique (rel-gpv' A)
unfolding rel-gpv'-def by(simp add: left-unique-rel-gpv left-unique-eq)

lemma right-unique-rel-gpv' [transfer-rule]: right-unique A  $\implies$  right-unique (rel-gpv' A)
unfolding rel-gpv'-def by(simp add: right-unique-rel-gpv right-unique-eq)

lemma bi-unique-rel-gpv' [transfer-rule]: bi-unique A  $\implies$  bi-unique (rel-gpv' A)
unfolding rel-gpv'-def by(simp add: bi-unique-rel-gpv bi-unique-eq)

lemma left-total-rel-gpv' [transfer-rule]: left-total A  $\implies$  left-total (rel-gpv' A)
unfolding rel-gpv'-def by(simp add: left-total-rel-gpv left-total-eq)

lemma right-total-rel-gpv' [transfer-rule]: right-total A  $\implies$  right-total (rel-gpv' A)
unfolding rel-gpv'-def by(simp add: right-total-rel-gpv right-total-eq)

lemma bi-total-rel-gpv' [transfer-rule]: bi-total A  $\implies$  bi-total (rel-gpv' A)
unfolding rel-gpv'-def by(simp add: bi-total-rel-gpv bi-total-eq)

We cannot use setup-lifting because ('a, 'out, 'in) gpv contains type variables
which do not appear in 'a spmf.

definition cr-spmf-gpv :: 'a spmf  $\Rightarrow$  ('a, 'out, 'in) gpv  $\Rightarrow$  bool
where cr-spmf-gpv p gpv  $\longleftrightarrow$  gpv = lift-spmf p

definition spmf-of-gpv :: ('a, 'out, 'in) gpv  $\Rightarrow$  'a spmf
where spmf-of-gpv gpv = (THE p. gpv = lift-spmf p)

lemma spmf-of-gpv-lift-spmf [simp]: spmf-of-gpv (lift-spmf p) = p
unfolding spmf-of-gpv-def by auto

lemma rel-spmf-setD2:
   $\llbracket \text{rel-spmf } A \ p \ q; \ y \in \text{set-spmf } q \ \rrbracket \implies \exists x \in \text{set-spmf } p. \ A \ x \ y$ 
by(erule rel-spmfE) force

lemma rel-gpv-lift-spmf1: rel-gpv A B (lift-spmf p) gpv  $\longleftrightarrow$  ( $\exists q. \ gpv = \text{lift-spmf } q \wedge \text{rel-spmf } A \ p \ q$ )
apply(subst gpv.rel-sel)
apply(simp add: spmf-rel-map rel-generat-Pure1)
apply safe
apply(rule exI[where x=map-spmf result (the-gpv gpv)])
apply(clar simp simp add: spmf-rel-map)
apply(rule conjI)
apply(rule gpv.expand)
apply(simp add: spmf.map-comp)
apply(subst map-spmf-cong[OF refl, where g=id])
apply(drule (1) rel-spmf-setD2)
apply clar simp

```

```

apply simp
apply(erule rel-spmf-mono)
apply clarsimp
apply(clarsimp simp add: spmf-rel-map)
done

lemma rel-gpv-lift-spmf2: rel-gpv A B gpv (lift-spmf q)  $\longleftrightarrow$  ( $\exists p.$  gpv = lift-spmf p  $\wedge$  rel-spmf A p q)
by(subst gpv.rel-flip[symmetric])(simp add: rel-gpv-lift-spmf1 pmf.rel-flip option.rel-conversep)

definition pcr-spmf-gpv :: ('a  $\Rightarrow$  'b  $\Rightarrow$  bool)  $\Rightarrow$  'a spmf  $\Rightarrow$  ('b, 'out, 'in) gpv  $\Rightarrow$  bool
where pcr-spmf-gpv A = cr-spmf-gpv OO rel-gpv A (=)

lemma pcr-cr-eq-spmf-gpv: pcr-spmf-gpv (=) = cr-spmf-gpv
by(simp add: pcr-spmf-gpv-def gpv.rel-eq OO-eq)

lemma left-unique-cr-spmf-gpv: left-unique cr-spmf-gpv
by(rule left-uniqueI)(simp add: cr-spmf-gpv-def)

lemma left-unique-pcr-spmf-gpv [transfer-rule]:
left-unique A  $\Longrightarrow$  left-unique (pcr-spmf-gpv A)
unfolding pcr-spmf-gpv-def by(intro left-unique-OO left-unique-cr-spmf-gpv left-unique-rel-gpv
left-unique-eq)

lemma right-unique-cr-spmf-gpv: right-unique cr-spmf-gpv
by(rule right-uniqueI)(simp add: cr-spmf-gpv-def)

lemma right-unique-pcr-spmf-gpv [transfer-rule]:
right-unique A  $\Longrightarrow$  right-unique (pcr-spmf-gpv A)
unfolding pcr-spmf-gpv-def by(intro right-unique-OO right-unique-cr-spmf-gpv right-unique-rel-gpv
right-unique-eq)

lemma bi-unique-cr-spmf-gpv: bi-unique cr-spmf-gpv
by(simp add: bi-unique-alt-def left-unique-cr-spmf-gpv right-unique-cr-spmf-gpv)

lemma bi-unique-pcr-spmf-gpv [transfer-rule]: bi-unique A  $\Longrightarrow$  bi-unique (pcr-spmf-gpv
A)
by(simp add: bi-unique-alt-def left-unique-pcr-spmf-gpv right-unique-pcr-spmf-gpv)

lemma left-total-cr-spmf-gpv: left-total cr-spmf-gpv
by(rule left-totalI)(simp add: cr-spmf-gpv-def)

lemma left-total-pcr-spmf-gpv [transfer-rule]: left-total A ==> left-total (pcr-spmf-gpv
A)
unfolding pcr-spmf-gpv-def by(intro left-total-OO left-total-cr-spmf-gpv left-total-rel-gpv
left-total-eq)

context includes lifting-syntax begin

```

```

lemma return-spmf-gpv-transfer':
  ((=) ===> cr-spmf-gpv) return-spmf Done
  by(rule rel-funI)(simp add: cr-spmf-gpv-def)

lemma return-spmf-gpv-transfer [transfer-rule]:
  (A ===> pcr-spmf-gpv A) return-spmf Done
  unfolding pcr-spmf-gpv-def
  apply(rewrite in (□ ===> -) - - eq-OO[symmetric])
  apply(rule pos-fun-distr[THEN le-funD, THEN le-funD, THEN le-boolD, THEN mp])
  apply(rule relcomppI)
  apply(rule return-spmf-gpv-transfer')
  apply transfer-prover
  done

lemma bind-spmf-gpv-transfer':
  (cr-spmf-gpv ===> ((=) ===> cr-spmf-gpv) ===> cr-spmf-gpv) bind-spmf
  bind-gpv
  apply(clar simp simp add: rel-fun-def cr-spmf-gpv-def)
  apply(rule gpv.expand)
  apply(simp add: bind-map-spmf map-spmf-bind-spmf o-def)
  done

lemma bind-spmf-gpv-transfer [transfer-rule]:
  (pcr-spmf-gpv A ===> (A ===> pcr-spmf-gpv B) ===> pcr-spmf-gpv B)
  bind-spmf bind-gpv
  unfolding pcr-spmf-gpv-def
  apply(rewrite in (- ===> (□ ===> -) ===> -) - - eq-OO[symmetric])
  apply(rule fun-mono[THEN le-funD, THEN le-funD, THEN le-boolD, THEN mp])
  apply(rule order.refl)
  apply(rule fun-mono)
  apply(rule neg-fun-distr3[OF left-unique-eq right-total-eq right-unique-cr-spmf-gpv
  left-total-cr-spmf-gpv])
  apply(rule order.refl)
  apply(rule fun-mono[THEN le-funD, THEN le-funD, THEN le-boolD, THEN mp])
  apply(rule order.refl)
  apply(rule pos-fun-distr)
  apply(rule pos-fun-distr[THEN le-funD, THEN le-funD, THEN le-boolD, THEN mp])
  apply(rule relcomppI)
  apply(rule bind-spmf-gpv-transfer')
  apply transfer-prover
  done

lemma lift-spmf-gpv-transfer':
  ((=) ===> cr-spmf-gpv) (λx. x) lift-spmf
  by(simp add: rel-fun-def cr-spmf-gpv-def)

```

```

lemma lift-spmf-gpv-transfer [transfer-rule]:
  (rel-spmf A ==> pcr-spmf-gpv A) ( $\lambda x. x$ ) lift-spmf
unfolding pcr-spmf-gpv-def
apply(rewrite in ( $\square ==> \cdot$ ) - - eq-OO[symmetric])
apply(rule pos-fun-distr[THEN le-funD, THEN le-funD, THEN le-boolD, THEN mp])
apply(rule relcomppI)
apply(rule lift-spmf-gpv-transfer')
apply transfer-prover
done

lemma fail-spmf-gpv-transfer': cr-spmf-gpv (return-pmf None) Fail
by(simp add: cr-spmf-gpv-def)

lemma fail-spmf-gpv-transfer [transfer-rule]: pcr-spmf-gpv A (return-pmf None)
Fail
unfolding pcr-spmf-gpv-def
apply(rule relcomppI)
apply(rule fail-spmf-gpv-transfer')
apply transfer-prover
done

lemma map-spmf-gpv-transfer':
  ((=) ==> R ==> cr-spmf-gpv ==> cr-spmf-gpv) ( $\lambda f g. \text{map-spmf } f$ )
map-gpv
by(simp add: rel-fun-def cr-spmf-gpv-def map-lift-spmf)

lemma map-spmf-gpv-transfer [transfer-rule]:
  ((A ==> B) ==> R ==> pcr-spmf-gpv A ==> pcr-spmf-gpv B) ( $\lambda f g.$ 
map-spmf f) map-gpv
unfolding pcr-spmf-gpv-def
apply(rewrite in (( $\square ==> \cdot$ ) ==> -) - - eq-OO[symmetric])
apply(rewrite in (( $\cdot ==> \square$ ) ==> -) - - eq-OO[symmetric])
apply(rewrite in ( $\cdot ==> \square ==> \cdot$ ) - - OO-eq[symmetric])
apply(rule fun-mono[THEN le-funD, THEN le-funD, THEN le-boolD, THEN mp])
apply(rule neg-fun-distr3[OF left-unique-eq right-total-eq right-unique-eq left-total-eq])
apply(rule fun-mono[OF order.refl])
apply(rule pos-fun-distr)
apply(rule fun-mono[THEN le-funD, THEN le-funD, THEN le-boolD, THEN mp])
apply(rule order.refl)
apply(rule pos-fun-distr)
apply(rule pos-fun-distr[THEN le-funD, THEN le-funD, THEN le-boolD, THEN mp])
apply(rule relcomppI)
apply(unfold rel-fun-eq)
apply(rule map-spmf-gpv-transfer')
apply(unfold rel-fun-eq[symmetric])
apply transfer-prover
done

```

```
end
```

```
end
```

4.7 Embedding '*a option* as a monad

```
locale option-to-gpv begin
```

```
interpretation option-to-spmf .
```

```
interpretation spmf-to-gpv .
```

```
definition cr-option-gpv :: 'a option  $\Rightarrow$  ('a, 'out, 'in) gpv  $\Rightarrow$  bool  
where cr-option-gpv x gpv  $\longleftrightarrow$  gpv = (lift-spmf  $\circ$  return-spmf) x
```

```
lemma cr-option-gpv-conv-OO:
```

```
cr-option-gpv = cr-spmf-option-1-1 OO cr-spmf-gpv
```

```
by(simp add: fun-eq-iff relcompp.simps cr-option-gpv-def cr-spmf-gpv-def cr-spmf-option-def)
```

```
context includes lifting-syntax begin
```

These transfer rules should follow from merging the transfer rules, but this has not yet been implemented.

```
lemma return-option-gpv-transfer [transfer-rule]:
```

```
((=) ==> cr-option-gpv) Some Done
```

```
by(simp add: cr-option-gpv-def rel-fun-def)
```

```
lemma bind-option-gpv-transfer [transfer-rule]:
```

```
(cr-option-gpv ==> ((=) ==> cr-option-gpv) ==> cr-option-gpv) Op-  
tion.bind bind-gpv
```

```
apply(clarsimp simp add: cr-option-gpv-def rel-fun-def)
```

```
subgoal for x f g by(cases x; simp)
```

```
done
```

```
lemma fail-option-gpv-transfer [transfer-rule]: cr-option-gpv None Fail
```

```
by(simp add: cr-option-gpv-def)
```

```
lemma map-option-gpv-transfer [transfer-rule]:
```

```
((=) ==> R ==> cr-option-gpv ==> cr-option-gpv) ( $\lambda f g.$  map-option f)  
map-gpv
```

```
unfolding rel-fun-eq by(simp add: rel-fun-def cr-option-gpv-def map-lift-spmf)
```

```
end
```

```
end
```

```
locale option-le-gpv begin
```

```
interpretation option-le-spmf .
```

```

interpretation spmf-to-gpv .

definition cr-option-le-gpv :: 'a option ⇒ ('a, 'out, 'in) gpv ⇒ bool
where cr-option-le-gpv x gpv ←→ gpv = (lift-spmf ∘ return-pmf) x ∨ x = None

context includes lifting-syntax begin

lemma return-option-le-gpv-transfer [transfer-rule]:
((=) ==> cr-option-le-gpv) Some Done
by(simp add: cr-option-le-gpv-def rel-fun-def)

lemma bind-option-gpv-transfer [transfer-rule]:
(cr-option-le-gpv ==> ((=) ==> cr-option-le-gpv) ==> cr-option-le-gpv)
Option.bind bind-gpv
apply(clarsimp simp add: cr-option-le-gpv-def rel-fun-def bind-eq-Some-conv)
subgoal for f g x y by(erule allE[where x=y]) auto
done

lemma fail-option-gpv-transfer [transfer-rule]:
cr-option-le-gpv None Fail
by(simp add: cr-option-le-gpv-def)

lemma map-option-gpv-transfer [transfer-rule]:
(((=) ==> (=)) ==> cr-option-le-gpv ==> cr-option-le-gpv) map-option
(λf. map-gpv f id)
unfolding rel-fun-eq by(simp add: rel-fun-def cr-option-le-gpv-def map-lift-spmf)

end

end

```

4.8 Embedding resumptions

```

primcorec lift-resumption :: ('a, 'out, 'in) resumption ⇒ ('a, 'out, 'in) gpv
where
the-gpv (lift-resumption r) =
(case r of resumption.Done None ⇒ return-pmf None
| resumption.Done (Some x') => return-spmf (Pure x')
| resumption.Pause out c => map-spmf (map-generat id id ((○) lift-resumption))
(return-spmf (IO out c)))

lemma the-gpv-lift-resumption:
the-gpv (lift-resumption r) =
(if is-Done r then if Option.is-none (resumption.result r) then return-pmf None
else return-spmf (Pure (the (resumption.result r)))
else return-spmf (IO (resumption.output r) (lift-resumption ∘ resume r)))
by(simp split: option.split resumption.split)

declare lift-resumption.simps [simp del]

```

```

lemma lift-resumption-Done [code]:
  lift-resumption (resumption.Done x) = (case x of None ⇒ Fail | Some x' ⇒ Done
  x')
by(rule gpv.expand)(simp add: the-gpv-lift-resumption split: option.split)

lemma lift-resumption-DONE [simp]:
  lift-resumption (DONE x) = Done x
by(simp add: DONE-def lift-resumption-Done)

lemma lift-resumption-ABORT [simp]:
  lift-resumption ABORT = Fail
by(simp add: ABORT-def lift-resumption-Done)

lemma lift-resumption-Pause [simp, code]:
  lift-resumption (resumption.Pause out c) = Pause out (lift-resumption o c)
by(rule gpv.expand)(simp add: the-gpv-lift-resumption)

lemma lift-resumption-Done-Some [simp]: lift-resumption (resumption.Done (Some
x)) = Done x
using lift-resumption-DONE unfolding DONE-def by simp

lemma results'-gpv-lift-resumption [simp]:
  results'-gpv (lift-resumption r) = results r (is ?lhs = ?rhs)
proof(rule set-eqI iff)+
  show x ∈ ?rhs if x ∈ ?lhs for x using that
    by(induction gpv≡lift-resumption r arbitrary: r)
      (auto intro: resumption.setsel simp add: lift-resumption.sel split: resumption.split-asm option.split-asm)
  show x ∈ ?lhs if x ∈ ?rhs for x using that by induction(auto simp add:
  lift-resumption.sel)
qed

lemma outs'-gpv-lift-resumption [simp]:
  outs'-gpv (lift-resumption r) = outputs r (is ?lhs = ?rhs)
proof(rule set-eqI iff)+
  show x ∈ ?rhs if x ∈ ?lhs for x using that
    by(induction gpv≡lift-resumption r arbitrary: r)
      (auto simp add: lift-resumption.sel split: resumption.split-asm option.split-asm)
  show x ∈ ?lhs if x ∈ ?rhs for x using that by induction auto
qed

lemma pred-gpv-lift-resumption [simp]:
  ⋀A. pred-gpv A C (lift-resumption r) = pred-resumption A C r
by(simp add: pred-gpv-def pred-resumption-def)

lemma lift-resumption-bind: lift-resumption (r ≈ f) = lift-resumption r ≈
lift-resumption o f
by(coinduction arbitrary: r rule: gpv.coinduct-strong)

```

```
(auto simp add: lift-resumption.sel Done-bind split: resumption.split option.split
del: rel-funI intro!: rel-funI)
```

4.9 Assertions

```
definition assert-gpv :: bool ⇒ (unit, 'out, 'in) gpv
where assert-gpv b = (if b then Done () else Fail)
```

```
lemma assert-gpv-simps [simp]:
assert-gpv True = Done ()
assert-gpv False = Fail
by(simp-all add: assert-gpv-def)
```

```
lemma [simp]:
shows assert-gpv-eq-Done: assert-gpv b = Done x ↔ b
and Done-eq-assert-gpv: Done x = assert-gpv b ↔ b
and Pause-neq-assert-gpv: Pause out rpv ≠ assert-gpv b
and assert-gpv-neq-Pause: assert-gpv b ≠ Pause out rpv
and assert-gpv-eq-Fail: assert-gpv b = Fail ↔ ¬ b
and Fail-eq-assert-gpv: Fail = assert-gpv b ↔ ¬ b
by(simp-all add: assert-gpv-def)
```

```
lemma assert-gpv-inject [simp]: assert-gpv b = assert-gpv b' ↔ b = b'
by(simp add: assert-gpv-def)
```

```
lemma assert-gpv-sel [simp]:
the-gpv (assert-gpv b) = map-spmf Pure (assert-spmf b)
by(simp add: assert-gpv-def)
```

```
lemma the-gpv-bind-assert [simp]:
the-gpv (bind-gpv (assert-gpv b) f) =
bind-spmf (assert-spmf b) (the-gpv ∘ f)
by(cases b) simp-all
```

```
lemma pred-gpv-assert [simp]: pred-gpv P Q (assert-gpv b) = (b → P ())
by(cases b) simp-all
```

```
primcorec try-gpv :: ('a, 'call, 'ret) gpv ⇒ ('a, 'call, 'ret) gpv ⇒ ('a, 'call, 'ret)
gpv (TRY - ELSE → [0,60] 59)
where
the-gpv (TRY gpv ELSE gpv') =
map-spmf (map-generat id id (λc input. case c input of Inl gpv ⇒ try-gpv gpv
gpv' | Inr gpv' ⇒ gpv'))
(try-spmf (map-spmf (map-generat id id (map-fun id Inl)) (the-gpv gpv))
(map-spmf (map-generat id id (map-fun id Inr)) (the-gpv gpv'))))
```

```
lemma try-gpv-sel:
the-gpv (TRY gpv ELSE gpv') =
TRY map-spmf (map-generat id id (λc input. TRY c input ELSE gpv')) (the-gpv
```

```

 $gpv)$  ELSE  $the-gpv gpv'$   

by(simp add: try-gpv-def map-try-spmf spmf.map-comp o-def generat.map-comp  

generat.map-ident id-def)

lemma try-gpv-Done [simp]: TRY Done x ELSE  $gpv' = Done x$   

by(rule gpv.expand)(simp)

lemma try-gpv-Fail [simp]: TRY Fail ELSE  $gpv' = gpv'$   

by(rule gpv.expand)(simp add: spmf.map-comp o-def generat.map-comp generat.map-ident)

lemma try-gpv-Pause [simp]: TRY Pause out c ELSE  $gpv' = Pause out (\lambda input.$   

 $TRY c input$  ELSE  $gpv')$   

by(rule gpv.expand) simp

lemma try-gpv-Fail2 [simp]: TRY  $gpv$  ELSE Fail =  $gpv$   

by(coinduction arbitrary: gpv rule: gpv.coinduct-strong)  

(auto simp add: spmf-rel-map generat.rel-map intro!: rel-spmf-refl generat.rel-refl)

lemma lift-try-spmf: lift-spmf (TRY p ELSE q) = TRY lift-spmf p ELSE lift-spmf  

q  

by(rule gpv.expand)(simp add: map-try-spmf spmf.map-comp o-def)

lemma try-assert-gpv: TRY assert-gpv b ELSE  $gpv' = (if b then Done () else gpv')$   

by(simp)

context includes lifting-syntax begin

lemma try-gpv-parametric [transfer-rule]:  

 $(rel-gpv A C ==> rel-gpv A C ==> rel-gpv A C)$  try-gpv try-gpv  

unfolding try-gpv-def by transfer-prover

lemma try-gpv-parametric':  

 $(rel-gpv'' A C R ==> rel-gpv'' A C R ==> rel-gpv'' A C R)$  try-gpv try-gpv  

unfolding try-gpv-def  

supply corec-gpv-parametric'[transfer-rule] the-gpv-parametric'[transfer-rule]  

by transfer-prover  

end

lemma map-try-gpv: map-gpv f g (TRY  $gpv$  ELSE  $gpv'$ ) = TRY map-gpv f g  $gpv$   

ELSE map-gpv f g  $gpv'$   

by(simp add: gpv.rel-map try-gpv-parametric[THEN rel-funD, THEN rel-funD] gpv.rel-refl  

gpv.rel-eq[symmetric])

lemma map'-try-gpv: map-gpv' f g h (TRY  $gpv$  ELSE  $gpv'$ ) = TRY map-gpv' f g  

h  $gpv$  ELSE map-gpv' f g h  $gpv'$   

by(coinduction arbitrary: gpv rule: gpv.coinduct-strong)(auto 4 3 simp add: spmf-rel-map  

generat.rel-map intro!: rel-spmf-refl generat.rel-refl rel-funI rel-spmf-try-spmf)

lemma try-bind-assert-gpv:

```

TRY (assert-gpv b $\gg=$ f) ELSE gpv = (if b then TRY (f ()) ELSE gpv else gpv)
by(simp)

4.10 Order for ('a, 'out, 'in) gpv

coinductive ord-gpv :: ('a, 'out, 'in) gpv \Rightarrow ('a, 'out, 'in) gpv \Rightarrow bool
where

ord-spmf (rel-generat (=) (=) (rel-fun (=) ord-gpv)) f g \Longrightarrow ord-gpv (GPV f) (GPV g)

inductive-simps ord-gpv-simps [simp]:
ord-gpv (GPV f) (GPV g)

lemma ord-gpv-coinduct [consumes 1, case-names ord-gpv, coinduct pred: ord-gpv]:
assumes X f g
and step: $\bigwedge f g. X f g \Longrightarrow$ ord-spmf (rel-generat (=) (=) (rel-fun (=) X)) (the-gpv f) (the-gpv g)
shows ord-gpv f g
using ⟨X f g⟩
by(coinduct)(auto dest: step simp add: eq-GPV-iff intro: ord-spmf-mono rel-generat-mono rel-fun-mono)

lemma ord-gpv-the-gpvD:
ord-gpv f g \Longrightarrow ord-spmf (rel-generat (=) (=) (rel-fun (=) ord-gpv)) (the-gpv f) (the-gpv g)
by(erule ord-gpv.cases) simp

lemma reflp-equality: reflp (=)
by(simp add: reflp-def)

lemma ord-gpv-reflI [simp]: ord-gpv f f
by(coinduction arbitrary: f)(auto intro: ord-spmf-reflI simp add: rel-generat-same rel-fun-def)

lemma reflp-ord-gpv: reflp ord-gpv
by(rule reflpI)(rule ord-gpv-reflI)

lemma ord-gpv-trans:
assumes ord-gpv f g ord-gpv g h
shows ord-gpv f h
using assms
proof(coinduction arbitrary: f g h)
case (ord-gpv f g h)
have *: ord-spmf (rel-generat (=) (=) (rel-fun (=) ($\lambda f h. \exists g. ord\text{-}gpv f g \wedge ord\text{-}gpv g h$))) (the-gpv f) (the-gpv h) =
ord-spmf (rel-generat ((=) OO (=)) ((=) OO (=)) (rel-fun (=) (ord-gpv OO ord-gpv))) (the-gpv f) (the-gpv h)
by(simp add: relcompp.simps[abs-def])
then show ?case **using** ord-gpv

```

by(auto elim!: ord-gpv.cases simp add: generat.rel-compp ord-spmf-compp fun.rel-compp)
qed

lemma ord-gpv-compp: (ord-gpv OO ord-gpv) = ord-gpv
by(auto simp add: fun-eq-iff intro: ord-gpv-trans)

lemma transp-ord-gpv [simp]: transp ord-gpv
by(blast intro: transpI ord-gpv-trans)

lemma ord-gpv-antisym:
   $\llbracket \text{ord-gpv } f g; \text{ord-gpv } g f \rrbracket \implies f = g$ 
proof(coinduction arbitrary: f g)
  case (Eq-gpv f g)
  let ?R = rel-generat (=) (=) (rel-fun (=) ord-gpv)
  from ⟨ord-gpv f g⟩ have ord-spmf ?R (the-gpv f) (the-gpv g) by cases simp
  moreover
  from ⟨ord-gpv g f⟩ have ord-spmf ?R (the-gpv g) (the-gpv f) by cases simp
  ultimately have rel-spmf (inf ?R ?R-1-1) (the-gpv f) (the-gpv g)
  by(rule rel-spmf-inf)(auto 4 3 intro: transp-rel-generatI transp-ord-gpv refl-ord-gpv reflp-equality reflp-fun1 is-equality-eq transp-rel-fun)
  also have inf ?R ?R-1-1 = rel-generat (inf (=) (=)) (inf (=) (=)) (rel-fun (=) (inf ord-gpv ord-gpv-1-1))
  unfolding rel-generat-inf[symmetric] rel-fun-inf[symmetric]
  by(simp add: generat.rel-conversep[symmetric] fun.rel-conversep)
  finally show ?case by(simp add: inf-fun-def)
qed

lemma RFail-least [simp]: ord-gpv Fail f
by(coinduction arbitrary: f)(simp add: eq-GPV-iff)

```

4.11 Bounds on interaction

```

context
  fixes consider :: 'out  $\Rightarrow$  bool
  notes monotone-SUP[partial-function-mono] [[function-internals]]
begin
declaration ⟨Partial-Function.init lfp-strong @{term lfp.fixp-fun} @{term lfp.mono-body}
  @{thm lfp.fixp-rule-uc} @{thm lfp.fixp-induct-strong2-uc} NONE⟩

partial-function (lfp-strong) interaction-bound :: ('a, 'out, 'in) gpv  $\Rightarrow$  enat
where
  interaction-bound gpv =
    (SUP generat $\in$ set-spmf (the-gpv gpv). case generat of Pure -  $\Rightarrow$  0
     | IO out c  $\Rightarrow$  if consider out then eSuc (SUP input. interaction-bound (c input))
     else (SUP input. interaction-bound (c input)))

lemma interaction-bound-fixp-induct [case-names adm bottom step]:
   $\llbracket \text{ccpo.admissible } (\text{fun-lub Sup}) (\text{fun-ord } (\leq)) P;$ 
   $P (\lambda\_. 0);$ 

```

```

 $\wedge_{interaction-bound'}$ .
 $\llbracket P \text{ interaction-bound}' ;$ 
 $\quad \wedge_{gpv.} interaction-bound' gpv \leq interaction-bound gpv;$ 
 $\quad \wedge_{gpv.} interaction-bound' gpv \leq (SUP \text{ generat} \in \text{set-spmf} (\text{the-gpv gpv}). \text{case generat of Pure} - \Rightarrow 0$ 
 $\quad \quad | IO \text{ out } c \Rightarrow \text{if consider out then } eSuc (SUP \text{ input.} interaction-bound' (c input)) \text{ else } (SUP \text{ input.} interaction-bound' (c input)))$ 
 $\quad \quad \rrbracket$ 
 $\quad \Rightarrow P (\lambda gpv. \bigsqcup \text{generat} \in \text{set-spmf} (\text{the-gpv gpv}). \text{case generat of Pure } x \Rightarrow 0$ 
 $\quad \quad \quad | IO \text{ out } c \Rightarrow \text{if consider out then } eSuc (\bigsqcup \text{input.} interaction-bound' (c input)) \text{ else } (\bigsqcup \text{input.} interaction-bound' (c input))) \rrbracket$ 
 $\quad \Rightarrow P \text{ interaction-bound}$ 
by(erule interaction-bound.fixp-induct)(simp-all add: bot-enat-def fun-ord-def)

```

lemma *interaction-bound-IO*:

```

 $IO \text{ out } c \in \text{set-spmf} (\text{the-gpv gpv})$ 
 $\Rightarrow (\text{if consider out then } eSuc (\text{interaction-bound} (c \text{ input})) \text{ else } \text{interaction-bound} (c \text{ input})) \leq \text{interaction-bound gpv}$ 
by(rewrite in - \leq \Rightarrow interaction-bound.simps)(auto intro!: SUP-upper2)

```

lemma *interaction-bound-IO-consider*:

```

 $\llbracket IO \text{ out } c \in \text{set-spmf} (\text{the-gpv gpv}); \text{consider out} \rrbracket$ 
 $\Rightarrow eSuc (\text{interaction-bound} (c \text{ input})) \leq \text{interaction-bound gpv}$ 
by(drule interaction-bound-IO) simp

```

lemma *interaction-bound-IO-ignore*:

```

 $\llbracket IO \text{ out } c \in \text{set-spmf} (\text{the-gpv gpv}); \neg \text{consider out} \rrbracket$ 
 $\Rightarrow \text{interaction-bound} (c \text{ input}) \leq \text{interaction-bound gpv}$ 
by(drule interaction-bound-IO) simp

```

lemma *interaction-bound-Done* [simp]: $\text{interaction-bound} (\text{Done } x) = 0$
by(simp add: interaction-bound.simps)

lemma *interaction-bound-Fail* [simp]: $\text{interaction-bound Fail} = 0$
by(simp add: interaction-bound.simps bot-enat-def)

lemma *interaction-bound-Pause* [simp]:

```

 $\text{interaction-bound} (\text{Pause out } c) =$ 
 $\quad (\text{if consider out then } eSuc (SUP \text{ input.} interaction-bound (c \text{ input})) \text{ else } (SUP \text{ input.} interaction-bound (c \text{ input})))$ 
by(simp add: interaction-bound.simps)

```

lemma *interaction-bound-lift-spmf* [simp]: $\text{interaction-bound} (\text{lift-spmf } p) = 0$
by(simp add: interaction-bound.simps SUP-constant bot-enat-def)

lemma *interaction-bound-assert-gpv* [simp]: $\text{interaction-bound} (\text{assert-gpv } b) = 0$
by(cases b) simp-all

lemma *interaction-bound-bind-step*:

```

assumes IH:  $\bigwedge p. \text{interaction-bound}'(p \gg f) \leq \text{interaction-bound } p + (\bigcup_{x \in \text{results}'-gpv} p. \text{interaction-bound}'(f x))$ 
and unfold:  $\bigwedge gpv. \text{interaction-bound}' gpv \leq (\bigcup_{\text{generat} \in \text{set-spmf}} (\text{the-gpv } gpv)).$ 
case generat of Pure  $x \Rightarrow 0$ 
  |  $\text{IO out } c \Rightarrow$  if consider out then eSuc ( $\bigcup \text{input. interaction-bound}'(c \text{ input})$ )
    else  $\bigcup \text{input. interaction-bound}'(c \text{ input})$ )
shows ( $\bigcup_{\text{generat} \in \text{set-spmf}} (\text{the-gpv } (p \gg f))$ .
  case generat of Pure  $x \Rightarrow 0$ 
  |  $\text{IO out } c \Rightarrow$ 
    if consider out then eSuc ( $\bigcup \text{input. interaction-bound}'(c \text{ input})$ )
    else  $\bigcup \text{input. interaction-bound}'(c \text{ input})$ )
 $\leq \text{interaction-bound } p +$ 
 $(\bigcup_{x \in \text{results}'-gpv} p.$ 
 $\bigcup_{\text{generat} \in \text{set-spmf}} (\text{the-gpv } (f x))).$ 
  case generat of Pure  $x \Rightarrow 0$ 
  |  $\text{IO out } c \Rightarrow$ 
    if consider out then eSuc ( $\bigcup \text{input. interaction-bound}'(c \text{ input})$ )
    else  $\bigcup \text{input. interaction-bound}'(c \text{ input})$ )
(is ( $SUP \text{ generat}' \in ?bind. ?g \text{ generat}'$ )  $\leq ?p + ?f$ )
proof(rule SUP-least)
  fix generat'
  assume generat'  $\in ?bind$ 
  then obtain generat where generat: generat  $\in \text{set-spmf } (\text{the-gpv } p)$ 
  and *: case generat of Pure  $x \Rightarrow$  generat'  $\in \text{set-spmf } (\text{the-gpv } (f x))$ 
  |  $\text{IO out } c \Rightarrow$  generat' =  $\text{IO out } (\lambda \text{input. } c \text{ input} \gg f)$ 
  by(clar simp simp add: bind-gpv.sel simp del: bind-gpvsel')
    (clar simp split: generat.split-asm simp add: generat.map-comp o-def generat.map-id[unfolded id-def])
  show ?g generat'  $\leq ?p + ?f$ 
  proof(cases generat)
    case (Pure  $x$ )
      have ?g generat'  $\leq (SUP \text{ generat}' \in \text{set-spmf } (\text{the-gpv } (f x)). (\text{case generat}' \text{ of Pure } x \Rightarrow 0 \mid \text{IO out } c \Rightarrow \text{if consider out then eSuc } (\bigcup \text{input. interaction-bound}'(c \text{ input})) \text{ else } \bigcup \text{input. interaction-bound}'(c \text{ input})))$ 
        using * Pure by(auto intro: SUP-upper)
      also have ...  $\leq 0 + ?f$  using generat Pure
        by(auto 4 3 intro: SUP-upper results'-gpv-Pure)
      also have ...  $\leq ?p + ?f$  by simp
      finally show ?thesis .
  next
    case (IO out  $c$ )
      with * have ?g generat' = (if consider out then eSuc ( $SUP \text{ input. interaction-bound}'(c \text{ input} \gg f)$ ) else ( $SUP \text{ input. interaction-bound}'(c \text{ input} \gg f)$ ))
      by simp
        also have ...  $\leq (\text{if consider out then eSuc } (SUP \text{ input. interaction-bound } (c \text{ input}) + (\bigcup_{x \in \text{results}'-gpv} (c \text{ input}). \text{interaction-bound}'(f x))) \text{ else } (SUP \text{ input. interaction-bound } (c \text{ input}) + (\bigcup_{x \in \text{results}'-gpv} (c \text{ input}). \text{interaction-bound}'(f x))))$ 
        by(auto intro: SUP-mono IH)

```

```

also have ...  $\leq$  (case IO out c of Pure (x :: 'a)  $\Rightarrow$  0 | IO out c  $\Rightarrow$  if consider out then eSuc (SUP input. interaction-bound (c input)) else (SUP input. interaction-bound (c input))) + (SUP input. SUP x $\in$ results'-gpv (c input). interaction-bound' (f x))
  by(simp add: iadd-Suc SUP-le-iff)(meson SUP-upper2 UNIV-I add-mono order-refl)
also have ...  $\leq$  ?p + ?f
  apply(rewrite in -  $\leq$   $\Leftrightarrow$  interaction-bound.simps)
  apply(rule add-mono SUP-least SUP-upper generat[unfolded IO])+
  apply(rule order-trans[OF unfold])
  apply(auto 4 3 intro: results'-gpv-Cont[OF generat] SUP-upper simp add: IO)
  done
finally show ?thesis .
qed
qed

lemma interaction-bound-bind:
defines ib1  $\equiv$  interaction-bound
shows interaction-bound (p  $\geqslant$  f)  $\leq$  ib1 p + (SUP x $\in$ results'-gpv p. interaction-bound (f x))
proof(induction arbitrary: p rule: interaction-bound-fixp-induct)
case adm show ?case by simp
case bottom show ?case by simp
case (step interaction-bound') then show ?case unfolding ib1-def by -(rule interaction-bound-bind-step)
qed

lemma interaction-bound-bind-lift-spmf [simp]:
  interaction-bound (lift-spmf p  $\geqslant$  f) = (SUP x $\in$ set-spmf p. interaction-bound (f x))
by(subst (1 2) interaction-bound.simps)(simp add: bind-UNION SUP-UNION)

end

lemma interaction-bound-map-gpv':
assumes surj h
shows interaction-bound consider (map-gpv' f g h gpv) = interaction-bound (consider  $\circ$  g) gpv
proof(induction arbitrary: gpv rule: parallel-fixp-induct-1-1[OF lattice-partial-function-definition lattice-partial-function-definition interaction-bound.mono interaction-bound.mono interaction-bound-def interaction-bound-def, case-names adm bottom step])
case (step interaction-bound' interaction-bound'' gpv)
have *: IO out c  $\in$  set-spmf (the-gpv gpv)  $\Longrightarrow$  x  $\in$  UNIV  $\Longrightarrow$  interaction-bound'' (c x)  $\leq$  ( $\bigsqcup$  x. interaction-bound'' (c (h x))) for out c x
using assms[THEN surjD, of x] by (clarify simp intro!: SUP-upper)

show ?case
by (auto simp add: * step.IH image-comp split: generat.split
  intro!: SUP-cong [OF refl] antisym SUP-upper SUP-least)

```

```

qed simp-all

abbreviation interaction-any-bound :: ('a, 'out, 'in) gpv ⇒ enat
where interaction-any-bound ≡ interaction-bound (λ_. True)

lemma interaction-any-bound-coinduct [consumes 1, case-names interaction-bound]:
assumes X: X gpv n
and *: ⋀gpv n out c input. [ X gpv n; IO out c ∈ set-spmf (the-gpv gpv) ]
    ⟹ ∃n'. (X (c input) n' ∨ interaction-any-bound (c input) ≤ n') ∧ eSuc n' ≤
n
shows interaction-any-bound gpv ≤ n
using X
proof(induction arbitrary: gpv n rule: interaction-bound-fixp-induct)
case adm show ?case by(intro cont-intro)
case bottom show ?case by simp
next
case (step interaction-bound')
{ fix out c
assume IO: IO out c ∈ set-spmf (the-gpv gpv)
from *[OF step.prem IO] obtain n' where n: n = eSuc n'
by(cases n rule: co.enat.exhaust) auto
moreover
{ fix input
have ∃n''. (X (c input) n'' ∨ interaction-any-bound (c input) ≤ n'') ∧ eSuc
n'' ≤ n
using step.prem IO `n = eSuc n'` by(auto 4 3 dest: *)
then have interaction-bound' (c input) ≤ n' using n
by(auto dest: step.IH intro: step.hyps[THEN order-trans] elim!: order-trans
simp add: neq-zero-conv-eSuc)
ultimately have eSuc (⊔ input. interaction-bound' (c input)) ≤ n
by(auto intro: SUP-least)
then show ?case by(auto intro!: SUP-least split: generat.split)
qed

context includes lifting-syntax begin
lemma interaction-bound-parametric':
assumes [transfer-rule]: bi-total R
shows ((C ==> (=)) ==> rel-gpv'' A C R ==> (=)) interaction-bound
interaction-bound
unfolding interaction-bound-def[abs-def]
apply(rule rel-funI)
apply(rule fixp-lfp-parametric-eq[OF interaction-bound.mono interaction-bound.mono])
subgoal premises [transfer-rule]
supply the-gpv-parametric'[transfer-rule] rel-gpv''-eq[relator-eq]
by transfer-prover
done

lemma interaction-bound-parametric [transfer-rule]:
((C ==> (=)) ==> rel-gpv A C ==> (=)) interaction-bound interac-

```

tion-bound
unfolding *rel-gpv-conv-rel-gpv''* **by**(*rule interaction-bound-parametric'*)(*rule bi-total-eq*)
end

There is no nice *interaction-bound* equation for (\gg), as it computes an exact bound, but we only need an upper bound. As *enat* is hard to work with (and ∞ does not constrain a *gpv* in any way), we work with *nat*.

inductive *interaction-bounded-by* :: ('out \Rightarrow bool) \Rightarrow ('a, 'out, 'in) *gpv* \Rightarrow *enat* \Rightarrow bool

for consider *gpv* *n* **where**

interaction-bounded-by: $\llbracket \text{interaction-bound consider } \text{gpv} \leq n \rrbracket \implies \text{interaction-bounded-by consider } \text{gpv} n$

lemmas *interaction-bounded-byI* = *interaction-bounded-by*
hide-fact (**open**) *interaction-bounded-by*

context includes *lifting-syntax* **begin**

lemma *interaction-bounded-by-parametric* [*transfer-rule*]:

$((C \implies (=)) \implies rel\text{-}gpv A C \implies (=) \implies (=)) \implies \text{interaction-bounded-by}$
interaction-bounded-by

unfolding *interaction-bounded-by.simps[abs-def]* **by** *transfer-prover*

lemma *interaction-bounded-by-parametric'*:

notes *interaction-bound-parametric'[transfer-rule]*

assumes [*transfer-rule*]: *bi-total R*

shows $((C \implies (=)) \implies rel\text{-}gpv'' A C R \implies (=) \implies (=)) \implies \text{interaction-bounded-by}$
interaction-bounded-by

unfolding *interaction-bounded-by.simps[abs-def]* **by** *transfer-prover*
end

lemma *interaction-bounded-by-mono*:

$\llbracket \text{interaction-bounded-by consider } \text{gpv} n; n \leq m \rrbracket \implies \text{interaction-bounded-by}$
consider gpv m

unfolding *interaction-bounded-by.simps* **by**(*erule order-trans*) *simp*

lemma *interaction-bounded-by-contD*:

$\llbracket \text{interaction-bounded-by consider } \text{gpv} n; IO \text{ out } c \in set\text{-}spmf (\text{the-gpv gpv});$
consider out \rrbracket

$\implies n > 0 \wedge \text{interaction-bounded-by consider } (c \text{ input}) (n - 1)$

unfolding *interaction-bounded-by.simps*

by(*subst (asm) interaction-bound.simps*)(*auto simp add: SUP-le-iff eSuc-le-iff enat-eSuc-iff dest!: bspec*)

lemma *interaction-bounded-by-contD-ignore*:

$\llbracket \text{interaction-bounded-by consider } \text{gpv} n; IO \text{ out } c \in set\text{-}spmf (\text{the-gpv gpv}) \rrbracket$

$\implies \text{interaction-bounded-by consider } (c \text{ input}) n$

unfolding *interaction-bounded-by.simps*

by(*subst (asm) interaction-bound.simps*)(*auto 4 4 simp add: SUP-le-iff eSuc-le-iff enat-eSuc-iff dest!: bspec split: if-split-asm elim: order-trans*)

```

lemma interaction-bounded-byI-epred:
  assumes ⋀ out c. [ IO out c ∈ set-spmf (the-gpv gpv); consider out ]  $\implies n \neq 0$ 
  ⋀ (forall input. interaction-bounded-by consider (c input) (n - 1))
  and ⋀ out c input. [ IO out c ∈ set-spmf (the-gpv gpv); not consider out ]  $\implies$ 
  interaction-bounded-by consider (c input) n
  shows interaction-bounded-by consider gpv n
unfolding interaction-bounded-by.simps
by(subst interaction-bound.simps)(auto 4 5 intro!: SUP-least split: generat.split
dest: assms simp add: eSuc-le-iff enat-eSuc-iff gr0-conv-Suc neq-zero-conv-eSuc in-
teraction-bounded-by.simps)

lemma interaction-bounded-by-IO:
  [ IO out c ∈ set-spmf (the-gpv gpv); interaction-bounded-by consider gpv n; con-
sider out ]
 $\implies n \neq 0 \wedge \text{interaction-bounded-by consider (c input) (n - 1)}$ 
by(drule interaction-bound-IO[where input=input and ?consider=consider])(auto
simp add: interaction-bounded-by.simps epred-conv-minus eSuc-le-iff enat-eSuc-iff)

lemma interaction-bounded-by-0: interaction-bounded-by consider gpv 0  $\longleftrightarrow$  in-
teraction-bound consider gpv = 0
by(simp add: interaction-bounded-by.simps zero-enat-def[symmetric])

abbreviation interaction-bounded-by' :: ('out  $\Rightarrow$  bool)  $\Rightarrow$  ('a, 'out, 'in) gpv  $\Rightarrow$  nat
 $\Rightarrow$  bool
where interaction-bounded-by' consider gpv n  $\equiv$  interaction-bounded-by consider
gpv (enat n)

named-theorems interaction-bound

lemmas interaction-bounded-by-start = interaction-bounded-by-mono

method interaction-bound-start = (rule interaction-bounded-by-start)
method interaction-bound-step uses add simp =
((match conclusion in interaction-bounded-by - - -  $\Rightarrow$  fail | -  $\Rightarrow$  (solves clarsimp
simp add: simp)) | rule add interaction-bound)
method interaction-bound-rec uses add simp =
(interaction-bound-step add: add simp: simp; (interaction-bound-rec add: add
simp: simp)?)
method interaction-bound uses add simp =
( interaction-bound-start, interaction-bound-rec add: add simp: simp)

lemma interaction-bounded-by-Done [simp]: interaction-bounded-by consider (Done
x) n
by(simp add: interaction-bounded-by.simps)

lemma interaction-bounded-by-DoneI [interaction-bound]:
  interaction-bounded-by consider (Done x) 0
by simp

```

```

lemma interaction-bounded-by-Fail [simp]: interaction-bounded-by consider Fail n
by(simp add: interaction-bounded-by.simps)

lemma interaction-bounded-by-FailI [interaction-bound]: interaction-bounded-by consider Fail 0
by simp

lemma interaction-bounded-by-lift-spmf [simp]: interaction-bounded-by consider (lift-spmf p) n
by(simp add: interaction-bounded-by.simps)

lemma interaction-bounded-by-lift-spmfI [interaction-bound]:
  interaction-bounded-by consider (lift-spmf p) 0
by simp

lemma interaction-bounded-by-assert-gpv [simp]: interaction-bounded-by consider (assert-gpv b) n
by(cases b) simp-all

lemma interaction-bounded-by-assert-gpvI [interaction-bound]:
  interaction-bounded-by consider (assert-gpv b) 0
by simp

lemma interaction-bounded-by-Pause [simp]:
  interaction-bounded-by consider (Pause out c) n  $\longleftrightarrow$ 
  (if consider out then  $0 < n \wedge (\forall \text{input. interaction-bounded-by consider } (c \text{ input}) (n - 1))$  else  $(\forall \text{input. interaction-bounded-by consider } (c \text{ input}) n)$ )
by(cases n rule: co.enat.exhaust)
  (auto 4 3 simp add: interaction-bounded-by.simps eSuc-le-iff enat-eSuc-iff gr0-conv-Suc
intro: SUP-least dest: order-trans[OF SUP-upper, rotated])

lemma interaction-bounded-by-PauseI [interaction-bound]:
   $(\bigwedge \text{input. interaction-bounded-by consider } (c \text{ input}) (n \text{ input})) \implies \text{interaction-bounded-by consider } (\text{Pause out } c) \text{ (if consider out then } 1 + (\text{SUP input. } n \text{ input}) \text{ else } (\text{SUP input. } n \text{ input}))$ 
by(auto simp add: iadd-is-0 enat-add-sub-same intro: interaction-bounded-by-mono
SUP-upper)

lemma interaction-bounded-by-bindI [interaction-bound]:
   $\llbracket \text{interaction-bounded-by consider gpv } n; \bigwedge x. x \in \text{results}'\text{-gpv gpv} \implies \text{interaction-bounded-by consider } (f x) (m x) \rrbracket$ 
   $\implies \text{interaction-bounded-by consider } (\text{gpv} \geq f) (n + (\text{SUP } x \in \text{results}'\text{-gpv gpv. } m x))$ 
unfolding interaction-bounded-by.simps plus-enat-simps(1)[symmetric]
by(rule interaction-bound-bind[THEN order-trans])(auto intro: add-mono SUP-mono)

lemma interaction-bounded-by-bind-PauseI [interaction-bound]:
   $(\bigwedge \text{input. interaction-bounded-by consider } (c \text{ input} \geq f) (n \text{ input}))$ 

```

$\implies \text{interaction-bounded-by consider } (\text{Pause out } c \gg f) \text{ (if consider out then } SUP \text{ input. } n \text{ input} + 1 \text{ else } SUP \text{ input. } n \text{ input})$
by(auto 4 3 simp add: interaction-bounded-by.simps SUP-enat-add-left eSuc-plus-1 intro: SUP-least SUP-upper2)

lemma interaction-bounded-by-bind-lift-spmf [simp]:
 $\text{interaction-bounded-by consider } (\text{lift-spmf } p \gg f) n \longleftrightarrow (\forall x \in \text{set-spmf } p. \text{ interaction-bounded-by consider } (f x) n)$
by(simp add: interaction-bounded-by.simps SUP-le-iff)

lemma interaction-bounded-by-bind-lift-spmfI [interaction-bound]:
 $(\bigwedge x. x \in \text{set-spmf } p \implies \text{interaction-bounded-by consider } (f x) (n x))$
 $\implies \text{interaction-bounded-by consider } (\text{lift-spmf } p \gg f) (\text{SUP } x \in \text{set-spmf } p. n x)$
by(auto intro: interaction-bounded-by-mono SUP-upper)

lemma interaction-bounded-by-bind-DoneI [interaction-bound]:
 $\text{interaction-bounded-by consider } (f x) n \implies \text{interaction-bounded-by consider } (\text{Done } x \gg f) n$
by(simp)

lemma interaction-bounded-by-if [interaction-bound]:
 $\llbracket b \implies \text{interaction-bounded-by consider } gpv1 n; \neg b \implies \text{interaction-bounded-by consider } gpv2 m \rrbracket$
 $\implies \text{interaction-bounded-by consider } (\text{if } b \text{ then } gpv1 \text{ else } gpv2) (\text{if } b \text{ then } n \text{ else } m)$
by(auto 4 3 simp add: max-def not-le elim: interaction-bounded-by-mono)

lemma interaction-bounded-by-case-bool [interaction-bound]:
 $\llbracket b \implies \text{interaction-bounded-by consider } t \text{ bt}; \neg b \implies \text{interaction-bounded-by consider } f \text{ bf} \rrbracket$
 $\implies \text{interaction-bounded-by consider } (\text{case-bool } t \text{ f } b) (\text{if } b \text{ then } bt \text{ else } bf)$
by(cases b)(auto)

lemma interaction-bounded-by-case-sum [interaction-bound]:
 $\llbracket \bigwedge y. x = \text{Inl } y \implies \text{interaction-bounded-by consider } (l y) (bl y);$
 $\bigwedge y. x = \text{Inr } y \implies \text{interaction-bounded-by consider } (r y) (br y) \rrbracket$
 $\implies \text{interaction-bounded-by consider } (\text{case-sum } l \text{ r } x) (\text{case-sum } bl \text{ br } x)$
by(cases x)(auto)

lemma interaction-bounded-by-case-prod [interaction-bound]:
 $(\bigwedge a \ b. x = (a, b) \implies \text{interaction-bounded-by consider } (f a b) (n a b))$
 $\implies \text{interaction-bounded-by consider } (\text{case-prod } f x) (\text{case-prod } n x)$
by(simp split: prod.split)

lemma interaction-bounded-by-let [interaction-bound]: — This rule unfolds let's
 $\text{interaction-bounded-by consider } (f t) m \implies \text{interaction-bounded-by consider } (\text{Let } t f) m$
by(simp add: Let-def)

```

lemma interaction-bounded-by-map-gpv-id [interaction-bound]:
  assumes [interaction-bound]: interaction-bounded-by P gpv n
  shows interaction-bounded-by P (map-gpv f id gpv) n
  unfolding id-def map-gpv-conv-bind by interaction-bound simp

abbreviation interaction-any-bounded-by :: ('a, 'out, 'in) gpv  $\Rightarrow$  enat  $\Rightarrow$  bool
where interaction-any-bounded-by  $\equiv$  interaction-bounded-by ( $\lambda$ -). True

lemma interaction-any-bounded-by-map-gpv':
  assumes interaction-any-bounded-by gpv n
  and surj h
  shows interaction-any-bounded-by (map-gpv' f g h gpv) n
  using assms by(simp add: interaction-bounded-by.simps interaction-bound-map-gpv'
o-def)

```

4.12 Typing

4.12.1 Interface between gpvs and rpvs / callees

```

lemma is-empty-parametric [transfer-rule]: rel-fun (rel-set A) (=) Set.is-empty
Set.is-empty
by(auto simp add: rel-fun-def Set.is-empty-def dest: rel-setD1 rel-setD2)

typedef ('call, 'ret) I = UNIV :: ('call  $\Rightarrow$  'ret set) set ..

setup-lifting type-definition-I

lemma outs-I-tparametric:
  includes lifting-syntax
  assumes [transfer-rule]: bi-total A
  shows ((A  $\Longrightarrow$  rel-set B)  $\Longrightarrow$  rel-set A) ( $\lambda$ resps. {out. resps out  $\neq$  {}})
( $\lambda$ resps. {out. resps out  $\neq$  {}})
by(fold Set.is-empty-def) transfer-prover

lift-definition outs-I :: ('call, 'ret) I  $\Rightarrow$  'call set is  $\lambda$ resps. {out. resps out  $\neq$  {}}
parametric outs-I-tparametric .
lift-definition responses-I :: ('call, 'ret) I  $\Rightarrow$  'call  $\Rightarrow$  'ret set is  $\lambda$ x. x parametric
id-transfer[unfolded id-def] .

lift-definition rel-I :: ('call  $\Rightarrow$  'call'  $\Rightarrow$  bool)  $\Rightarrow$  ('ret  $\Rightarrow$  'ret'  $\Rightarrow$  bool)  $\Rightarrow$  ('call,
'ret) I  $\Rightarrow$  ('call', 'ret') I  $\Rightarrow$  bool
is  $\lambda$ C R resp1 resp2. rel-set C {out. resp1 out  $\neq$  {}} {out. resp2 out  $\neq$  {}}  $\wedge$ 
rel-fun C (rel-set R) resp1 resp2
.

lemma rel-II [intro?]:
   $\llbracket$  rel-set C (outs-I I1) (outs-I I2);  $\wedge$ x y. C x y  $\implies$  rel-set R (responses-I I1
x) (responses-I I2 y)  $\rrbracket$ 
 $\implies$  rel-I C R I1 I2
by transfer(auto simp add: rel-fun-def)

```

```

lemma rel- $\mathcal{I}$ -eq [relator-eq]: rel- $\mathcal{I}$   $(=)$   $(=)$   $= (=)$ 
unfolding fun-eq-iff by transfer(auto simp add: relator-eq)

lemma rel- $\mathcal{I}$ -conversep [simp]: rel- $\mathcal{I}$   $C^{-1-1}$   $R^{-1-1} = (\text{rel-}\mathcal{I} C R)^{-1-1}$ 
unfolding fun-eq-iff conversep-iff
apply transfer
apply(rewrite in rel-fun  $\square$  conversep-iff[symmetric])
apply(rewrite in rel-set  $\square$  conversep-iff[symmetric])
apply(rewrite in rel-fun -  $\square$  conversep-iff[symmetric])
apply(simp del: conversep-iff add: rel-fun-conversep)
apply(simp)
done

lemma rel- $\mathcal{I}$ -conversep1-eq [simp]: rel- $\mathcal{I}$   $C^{-1-1}$   $(=) = (\text{rel-}\mathcal{I} C (=))^{-1-1}$ 
by(rewrite in  $\square = -$  conversep-eq[symmetric])(simp del: conversep-eq)

lemma rel- $\mathcal{I}$ -conversep2-eq [simp]: rel- $\mathcal{I}$   $(=) R^{-1-1} = (\text{rel-}\mathcal{I} (=) R)^{-1-1}$ 
by(rewrite in  $\square = -$  conversep-eq[symmetric])(simp del: conversep-eq)

lemma responses- $\mathcal{I}$ -empty-iff: responses- $\mathcal{I}$   $\mathcal{I}$  out = {}  $\longleftrightarrow$  out  $\notin$  outs- $\mathcal{I}$   $\mathcal{I}$ 
including  $\mathcal{I}$ .lifting by transfer auto

lemma in-outs- $\mathcal{I}$ -iff-responses- $\mathcal{I}$ : out  $\in$  outs- $\mathcal{I}$   $\mathcal{I}$   $\longleftrightarrow$  responses- $\mathcal{I}$   $\mathcal{I}$  out  $\neq$  {}
by(simp add: responses- $\mathcal{I}$ -empty-iff)

lift-definition  $\mathcal{I}$ -full :: ('call, 'ret)  $\mathcal{I}$  is  $\lambda$ - UNIV .

lemma  $\mathcal{I}$ -full-sel [simp]:
shows outs- $\mathcal{I}$ -full: outs- $\mathcal{I}$   $\mathcal{I}$ -full = UNIV
and responses- $\mathcal{I}$ -full: responses- $\mathcal{I}$   $\mathcal{I}$ -full x = UNIV
by(transfer; simp; fail)+

context includes lifting-syntax begin
lemma outs- $\mathcal{I}$ -parametric [transfer-rule]: (rel- $\mathcal{I}$  C R  $\implies$  rel-set C) outs- $\mathcal{I}$ 
outs- $\mathcal{I}$ 
unfolding rel-fun-def by transfer simp

lemma responses- $\mathcal{I}$ -parametric [transfer-rule]:
 $(\text{rel-}\mathcal{I} C R \implies C \implies \text{rel-set } R)$  responses- $\mathcal{I}$  responses- $\mathcal{I}$ 
unfolding rel-fun-def by transfer(auto dest: rel-funD)

end

definition  $\mathcal{I}$ -trivial :: ('out, 'in)  $\mathcal{I}$   $\Rightarrow$  bool
where  $\mathcal{I}$ -trivial  $\mathcal{I} \longleftrightarrow$  outs- $\mathcal{I}$   $\mathcal{I}$  = UNIV

lemma  $\mathcal{I}$ -trivialI [intro?]:  $(\bigwedge x. x \in \text{outs-}\mathcal{I} \mathcal{I}) \implies \mathcal{I}$ -trivial  $\mathcal{I}$ 
by(auto simp add:  $\mathcal{I}$ -trivial-def)

```

```

lemma  $\mathcal{I}$ -trivialD:  $\mathcal{I}$ -trivial  $\mathcal{I} \implies \text{outs-}\mathcal{I} \mathcal{I} = \text{UNIV}$ 
by(simp add:  $\mathcal{I}$ -trivial-def)

lemma  $\mathcal{I}$ -trivial- $\mathcal{I}$ -full [simp]:  $\mathcal{I}$ -trivial  $\mathcal{I}$ -full
by(simp add:  $\mathcal{I}$ -trivial-def)

lifting-update  $\mathcal{I}$ .lifting
lifting-forget  $\mathcal{I}$ .lifting

context includes  $\mathcal{I}$ .lifting begin

lift-definition  $\mathcal{I}$ -uniform :: 'out set  $\Rightarrow$  'in set  $\Rightarrow$  ('out, 'in)  $\mathcal{I}$  is  $\lambda A B x. \text{if } x \in A \text{ then } B \text{ else } \{\}$  .

lemma  $\text{outs-}\mathcal{I}$ -uniform [simp]:  $\text{outs-}\mathcal{I} (\mathcal{I}\text{-uniform } A B) = (\text{if } B = \{\} \text{ then } \{} \text{ else } A)$ 
by transfer simp

lemma  $\text{responses-}\mathcal{I}$ -uniform [simp]:  $\text{responses-}\mathcal{I} (\mathcal{I}\text{-uniform } A B) x = (\text{if } x \in A \text{ then } B \text{ else } \{\})$ 
by transfer simp

lemma  $\mathcal{I}$ -uniform-UNIV [simp]:  $\mathcal{I}$ -uniform UNIV UNIV =  $\mathcal{I}$ -full
by transfer simp

lift-definition map- $\mathcal{I}$  :: ('out'  $\Rightarrow$  'out)  $\Rightarrow$  ('in'  $\Rightarrow$  'in')  $\Rightarrow$  ('out, 'in)  $\mathcal{I} \Rightarrow$  ('out', 'in')  $\mathcal{I}$ 
is  $\lambda f g \text{ resp } x. g \cdot \text{ resp } (f x)$  .

lemma  $\text{outs-}\mathcal{I}$ -map- $\mathcal{I}$  [simp]:
 $\text{outs-}\mathcal{I} (\text{map-}\mathcal{I} f g \mathcal{I}) = f -^{\cdot} \text{outs-}\mathcal{I} \mathcal{I}$ 
by transfer simp

lemma  $\text{responses-}\mathcal{I}$ -map- $\mathcal{I}$  [simp]:
 $\text{responses-}\mathcal{I} (\text{map-}\mathcal{I} f g \mathcal{I}) x = g \cdot \text{ responses-}\mathcal{I} \mathcal{I} (f x)$ 
by transfer simp

lemma map- $\mathcal{I}$ - $\mathcal{I}$ -uniform [simp]:
 $\text{map-}\mathcal{I} f g (\mathcal{I}\text{-uniform } A B) = \mathcal{I}\text{-uniform } (f -^{\cdot} A) (g \cdot B)$ 
by transfer(auto simp add: fun-eq-iff)

lemma map- $\mathcal{I}$ -id [simp]:  $\text{map-}\mathcal{I} \text{ id id } \mathcal{I} = \mathcal{I}$ 
by transfer simp

lemma map- $\mathcal{I}$ -id0:  $\text{map-}\mathcal{I} \text{ id id } = \text{id}$ 
by(simp add: fun-eq-iff)

lemma map- $\mathcal{I}$ -comp [simp]:  $\text{map-}\mathcal{I} f g (\text{map-}\mathcal{I} f' g' \mathcal{I}) = \text{map-}\mathcal{I} (f' \circ f) (g \circ g')$ 

```

```

 $\mathcal{I}$ 
by transfer auto

lemma map- $\mathcal{I}$ -cong: map- $\mathcal{I}$  f g  $\mathcal{I}$  = map- $\mathcal{I}$  f' g'  $\mathcal{I}'$ 
  if  $\mathcal{I} = \mathcal{I}'$  and  $f: f = f'$  and  $\bigwedge x y. [x \in \text{outs-}\mathcal{I} \mathcal{I}'; y \in \text{responses-}\mathcal{I} \mathcal{I}' x] \implies g y = g' y$ 
    unfolding that(1,2) using that(3-)
    by transfer(auto simp add: fun-eq-iff intro!: image-cong)

lifting-update  $\mathcal{I}.\text{lifting}$ 
lifting-forget  $\mathcal{I}.\text{lifting}$ 
end

functor map- $\mathcal{I}$  by(simp-all add: fun-eq-iff)

lemma  $\mathcal{I}$ -eqI:  $[\text{outs-}\mathcal{I} \mathcal{I} = \text{outs-}\mathcal{I} \mathcal{I}', \bigwedge x. x \in \text{outs-}\mathcal{I} \mathcal{I}' \implies \text{responses-}\mathcal{I} \mathcal{I} x = \text{responses-}\mathcal{I} \mathcal{I}' x] \implies \mathcal{I} = \mathcal{I}'$ 
  including  $\mathcal{I}.\text{lifting}$  by transfer auto

instantiation  $\mathcal{I} :: (\text{type}, \text{type}) \text{ order}$  begin

definition less-eq- $\mathcal{I} :: ('a, 'b) \mathcal{I} \Rightarrow ('a, 'b) \mathcal{I} \Rightarrow \text{bool}$ 
  where le- $\mathcal{I}$ -def: less-eq- $\mathcal{I}$   $\mathcal{I} \mathcal{I}' \longleftrightarrow \text{outs-}\mathcal{I} \mathcal{I} \subseteq \text{outs-}\mathcal{I} \mathcal{I}' \wedge (\forall x \in \text{outs-}\mathcal{I} \mathcal{I}. \text{responses-}\mathcal{I} \mathcal{I}' x \subseteq \text{responses-}\mathcal{I} \mathcal{I} x)$ 

definition less- $\mathcal{I} :: ('a, 'b) \mathcal{I} \Rightarrow ('a, 'b) \mathcal{I} \Rightarrow \text{bool}$ 
  where less- $\mathcal{I} = \text{mk-less } (\leq)$ 

instance
proof
  show  $\mathcal{I} < \mathcal{I}' \longleftrightarrow \mathcal{I} \leq \mathcal{I}' \wedge \neg \mathcal{I}' \leq \mathcal{I}$  for  $\mathcal{I} \mathcal{I}' :: ('a, 'b) \mathcal{I}$  by(simp add: less- $\mathcal{I}$ -def mk-less-def)
    show  $\mathcal{I} \leq \mathcal{I}$  for  $\mathcal{I} :: ('a, 'b) \mathcal{I}$  by(simp add: le- $\mathcal{I}$ -def)
    show  $\mathcal{I} \leq \mathcal{I}''$  if  $\mathcal{I} \leq \mathcal{I}' \mathcal{I}' \leq \mathcal{I}''$  for  $\mathcal{I} \mathcal{I}' \mathcal{I}'' :: ('a, 'b) \mathcal{I}$  using that
      by(fastforce simp add: le- $\mathcal{I}$ -def)
    show  $\mathcal{I} = \mathcal{I}'$  if  $\mathcal{I} \leq \mathcal{I}' \mathcal{I}' \leq \mathcal{I}$  for  $\mathcal{I} \mathcal{I}' :: ('a, 'b) \mathcal{I}$  using that
      by(auto simp add: le- $\mathcal{I}$ -def intro!:  $\mathcal{I}$ -eqI)
qed
end

instantiation  $\mathcal{I} :: (\text{type}, \text{type}) \text{ order-bot}$  begin
definition bot- $\mathcal{I} :: ('a, 'b) \mathcal{I}$  where bot- $\mathcal{I} = \mathcal{I}\text{-uniform } \{\} \text{ UNIV}$ 
instance by standard(auto simp add: bot- $\mathcal{I}$ -def le- $\mathcal{I}$ -def)
end

lemma outs- $\mathcal{I}$ -bot [simp]: outs- $\mathcal{I}$  bot = {}
  by(simp add: bot- $\mathcal{I}$ -def)

lemma responses- $\mathcal{I}$ -bot [simp]: responses- $\mathcal{I}$  bot x = {}

```

```

by(simp add: bot- $\mathcal{I}$ -def)

lemma outs- $\mathcal{I}$ -mono:  $\mathcal{I} \leq \mathcal{I}' \implies \text{outs-}\mathcal{I} \mathcal{I} \subseteq \text{outs-}\mathcal{I} \mathcal{I}'$ 
  by(simp add: le- $\mathcal{I}$ -def)

lemma responses- $\mathcal{I}$ -mono:  $\llbracket \mathcal{I} \leq \mathcal{I}'; x \in \text{outs-}\mathcal{I} \mathcal{I} \rrbracket \implies \text{responses-}\mathcal{I} \mathcal{I}' x \subseteq \text{responses-}\mathcal{I} \mathcal{I} x$ 
  by(simp add: le- $\mathcal{I}$ -def)

lemma  $\mathcal{I}$ -uniform-empty [simp]:  $\mathcal{I}$ -uniform  $\{\}$   $A = \text{bot}$ 
  unfolding bot- $\mathcal{I}$ -def including  $\mathcal{I}.\text{lifting}$  by transfer simp

lemma  $\mathcal{I}$ -uniform-mono:
   $\mathcal{I}$ -uniform  $A B \leq \mathcal{I}$ -uniform  $C D$  if  $A \subseteq C D \subseteq B D = \{\} \rightarrow B = \{\}$ 
  unfolding le- $\mathcal{I}$ -def using that by auto

context begin
qualified inductive resultsp-gpv :: ('out, 'in)  $\mathcal{I} \Rightarrow 'a \Rightarrow ('a, 'out, 'in) \text{gpv} \Rightarrow \text{bool}$ 
  for  $\Gamma x$ 
where
  Pure: Pure  $x \in \text{set-spmf} (\text{the-gpv gpv}) \implies \text{resultsp-gpv } \Gamma x \text{ gpv}$ 
  | IO:
     $\llbracket \text{IO out } c \in \text{set-spmf} (\text{the-gpv gpv}); \text{input} \in \text{responses-}\mathcal{I} \Gamma \text{ out}; \text{resultsp-gpv } \Gamma x (c \text{ input}) \rrbracket \implies \text{resultsp-gpv } \Gamma x \text{ gpv}$ 

definition results-gpv :: ('out, 'in)  $\mathcal{I} \Rightarrow ('a, 'out, 'in) \text{gpv} \Rightarrow 'a \text{ set}$ 
where results-gpv  $\Gamma \text{ gpv} \equiv \{x. \text{resultsp-gpv } \Gamma x \text{ gpv}\}$ 

lemma resultsp-gpv-results-gpv-eq [pred-set-conv]: resultsp-gpv  $\Gamma x \text{ gpv} \longleftrightarrow x \in \text{results-gpv } \Gamma \text{ gpv}$ 
  by(simp add: results-gpv-def)

context begin
local-setup <Local-Theory.map-background-naming (Name-Space.mandatory-path results-gpv)>

lemmas intros [intro?] = resultsp-gpv.intros[to-set]
  and Pure = Pure[to-set]
  and IO = IO[to-set]
  and induct [consumes 1, case-names Pure IO, induct set: results-gpv] = resultsp-gpv.induct[to-set]
  and cases [consumes 1, case-names Pure IO, cases set: results-gpv] = resultsp-gpv.cases[to-set]
  and simps = resultsp-gpv.simps[to-set]
end

inductive-simps results-gpv-GPV [to-set, simp]: resultsp-gpv  $\Gamma x (\text{GPV gpv})$ 

```

```

end

lemma results-gpv-Done [iff]: results-gpv  $\Gamma$  (Done  $x$ ) = { $x$ }
by(auto simp add: Done.ctr)

lemma results-gpv-Fail [iff]: results-gpv  $\Gamma$  Fail = {}
by(auto simp add: Fail-def)

lemma results-gpv-Pause [simp]:
  results-gpv  $\Gamma$  (Pause out  $c$ ) = ( $\bigcup$  input  $\in$  responses- $\mathcal{I}$   $\Gamma$  out. results-gpv  $\Gamma$  ( $c$  input))
by(auto simp add: Pause.ctr)

lemma results-gpv-lift-spmf [iff]: results-gpv  $\Gamma$  (lift-spmf  $p$ ) = set-spmf  $p$ 
by(auto simp add: lift-spmf.ctr)

lemma results-gpv-assert-gpv [simp]: results-gpv  $\Gamma$  (assert-gpv  $b$ ) = (if  $b$  then {})
else {}
by auto

lemma results-gpv-bind-gpv [simp]:
  results-gpv  $\Gamma$  (gpv  $\gg f$ ) = ( $\bigcup$   $x \in$  results-gpv  $\Gamma$  gpv. results-gpv  $\Gamma$  ( $f x$ ))
  (is ?lhs = ?rhs)
proof(intro set-eqI iffI)
  fix  $x$ 
  assume  $x \in$  ?lhs
  then show  $x \in$  ?rhs
proof(induction gpv'  $\equiv$  gpv  $\gg f$  arbitrary: gpv)
  case Pure thus ?case
    by(auto 4 3 split: if-split-asm intro: results-gpv.intros rev-bexI)
  next
  case (IO out  $c$  input)
  from <IO out  $c \in \rightarrow$ 
  obtain generat where generat: generat  $\in$  set-spmf (the-gpv gpv)
  and *: IO out  $c \in$  set-spmf (if is-Pure generat then the-gpv ( $f$  (result generat))
                                else return-spmf (IO (output generat) ( $\lambda$ input.
continuation generat input  $\gg f$ )))
  by(auto)
  thus ?case
proof(cases generat)
  case (Pure  $y$ )
  with generat have  $y \in$  results-gpv  $\Gamma$  gpv by(auto intro: results-gpv.intros)
  thus ?thesis using * Pure <input  $\in$  responses- $\mathcal{I}$   $\Gamma$  out> < $x \in$  results-gpv  $\Gamma$  ( $c$  input)>
  by(auto intro: results-gpv.IO)
  next
  case (IO out'  $c'$ )
  hence [simp]: out' = out
  and  $c: \bigwedge$  input.  $c$  input = bind-gpv ( $c'$  input)  $f$  using * by simp-all
  from IO.hyps(4)[OF c] obtain  $y$  where  $y: y \in$  results-gpv  $\Gamma$  ( $c'$  input)

```

```

and  $x \in \text{results-gpv } \Gamma (f y)$  by blast
from  $y \text{ IO generat have } y \in \text{results-gpv } \Gamma \text{ gpv using } \langle \text{input} \in \text{responses-}\mathcal{I} \Gamma$ 
out>
by(auto intro: results-gpv.IO)
with  $\langle x \in \text{results-gpv } \Gamma (f y) \rangle$  show ?thesis by blast
qed
qed
next
fix  $x$ 
assume  $x \in ?rhs$ 
then obtain  $y \text{ where } y: y \in \text{results-gpv } \Gamma \text{ gpv}$ 
and  $x: x \in \text{results-gpv } \Gamma (f y)$  by blast
from  $y$  show  $x \in ?lhs$ 
proof(induction)
case (Pure gpv)
with  $x$  show ?case
by cases/auto 4 4 intro: results-gpv.intros rev-bexI)
qed(auto 4 4 intro: rev-bexI results-gpv.IO)
qed

lemma results-gpv- $\mathcal{I}$ -full: results-gpv  $\mathcal{I}$ -full = results'-gpv
proof(intro ext set-eqI iffI)
show  $x \in \text{results}'\text{-gpv gpv}$  if  $x \in \text{results-gpv } \mathcal{I}\text{-full gpv}$  for  $x \text{ gpv}$ 
using that by induction(auto intro: results'-gpvI)
show  $x \in \text{results-gpv } \mathcal{I}\text{-full gpv}$  if  $x \in \text{results}'\text{-gpv gpv}$  for  $x \text{ gpv}$ 
using that by induction(auto intro: results-gpv.intros elim!: generat.set-cases)
qed

lemma results'-bind-gpv [simp]:
results'-gpv (bind-gpv gpv f) = ( $\bigcup_{x \in \text{results}'\text{-gpv gpv}} \text{results}'\text{-gpv } (f x)$ )
unfolding results-gpv- $\mathcal{I}$ -full[symmetric] by simp

lemma results-gpv-map-gpv-id [simp]: results-gpv  $\mathcal{I}$  (map-gpv f id gpv) =  $f`$  results-gpv  $\mathcal{I}$  gpv
by(auto simp add: map-gpv-conv-bind id-def)

lemma results-gpv-map-gpv-id' [simp]: results-gpv  $\mathcal{I}$  (map-gpv f ( $\lambda x. x$ ) gpv) =  $f`$  results-gpv  $\mathcal{I}$  gpv
by(auto simp add: map-gpv-conv-bind id-def)

lemma pred-gpv-bind [simp]: pred-gpv P Q (bind-gpv gpv f) = pred-gpv (pred-gpv
P Q  $\circ$  f) Q gpv
by(auto simp add: pred-gpv-def outs-bind-gpv)

lemma results'-gpv-bind-option [simp]:
results'-gpv (monad.bind-option Fail x f) = ( $\bigcup_{y \in \text{set-option } x} \text{results}'\text{-gpv } (f y)$ )
by(cases x) simp-all

lemma results'-gpv-map-gpv':

```

```

assumes surj h
shows results'-gpv (map-gpv' f g h gpv) = f ` results'-gpv gpv (is ?lhs = ?rhs)
proof -
have *:IO z c ∈ set-spmf (the-gpv gpv) ==> x ∈ results'-gpv (c input) ==>
    f x ∈ results'-gpv (map-gpv' f g h (c input)) ==> f x ∈ results'-gpv (map-gpv' f
    g h gpv) for x z gpv c input
    using surjD[OF assms, of input] by(fastforce intro: results'-gpvI elim!: generat.set-cases intro: rev-image-eqI simp add: map-fun-def o-def)

show ?thesis
proof(intro Set.set-eqI iffI; (elim imageE; hypsubst) ?)
    show x ∈ ?rhs if x ∈ ?lhs for x using that
        by(induction gpv'≡map-gpv' f g h gpv arbitrary: gpv)(fastforce elim!: generat.set-cases intro: results'-gpvI)+
    show f x ∈ ?lhs if x ∈ results'-gpv gpv for x using that
        by induction (fastforce intro: results'-gpvI elim!: generat.set-cases intro:
        rev-image-eqI simp add: map-fun-def o-def
        , clarsimp simp add: * elim!: generat.set-cases)
    qed
qed

lemma bind-gpv-bind-option-assoc:
    bind-gpv (monad.bind-option Fail x f) g = monad.bind-option Fail x (λx. bind-gpv
    (f x) g)
    by(cases x) simp-all

context begin
qualified inductive outsp-gpv :: ('out, 'in) I ⇒ 'out ⇒ ('a, 'out, 'in) gpv ⇒ bool
for I x where
    IO: IO x c ∈ set-spmf (the-gpv gpv) ==> outsp-gpv I x gpv
    | Cont: [IO out rpv ∈ set-spmf (the-gpv gpv); input ∈ responses-I I out; outsp-gpv
    I x (rpv input)] ==
    ==> outsp-gpv I x gpv

definition outs-gpv :: ('out, 'in) I ⇒ ('a, 'out, 'in) gpv ⇒ 'out set
    where outs-gpv I gpv ≡ {x. outsp-gpv I x gpv}

lemma outsp-gpv-outs-gpv-eq [pred-set-conv]: outsp-gpv I x = (λgpv. x ∈ outs-gpv
    I gpv)
    by(simp add: outs-gpv-def)

context begin
local-setup <Local-Theory.map-background-naming (Name-Space.mandatory-path
outs-gpv)>

lemmas intros [intro?] = outsp-gpv.intros[to-set]
    and IO = IO[to-set]
    and Cont = Cont[to-set]
    and induct [consumes 1, case-names IO Cont, induct set: outs-gpv] = outsp-gpv.induct[to-set]

```

```

and cases [consumes 1, case-names IO Cont, cases set: outs-gpv] = outsp-gpv.cases[to-set]
and simps = outsp-gpv.simps[to-set]
end

inductive-simps outs-gpv-GPV [to-set, simp]: outsp-gpv I x (GPV gpv)

end

lemma outs-gpv-Done [iff]: outs-gpv I (Done x) = {}
  by(auto simp add: Done.ctr)

lemma outs-gpv-Fail [iff]: outs-gpv I Fail = {}
  by(auto simp add: Fail-def)

lemma outs-gpv-Pause [simp]:
  outs-gpv I (Pause out c) = insert out ( $\bigcup_{input \in responses\text{-}I} I$  out. outs-gpv I (c input))
  by(auto simp add: Pause.ctr)

lemma outs-gpv-lift-spmf [iff]: outs-gpv I (lift-spmf p) = {}
  by(auto simp add: lift-spmf.ctr)

lemma outs-gpv-assert-gpv [simp]: outs-gpv I (assert-gpv b) = {}
  by(cases b)auto

lemma outs-gpv-bind-gpv [simp]:
  outs-gpv I (gpv  $\gg f$ ) = outs-gpv I gpv  $\cup$  ( $\bigcup_{x \in results\text{-}gpv} I$  gpv. outs-gpv I (f x))
  (is ?lhs = ?rhs)
  proof(intro Set.set-eqI iffI)
    fix x
    assume x ∈ ?lhs
    then show x ∈ ?rhs
    proof(induction gpv'≡gpv  $\gg f$  arbitrary: gpv)
      case IO thus ?case
      proof(clar simp split: if-split-asm elim!: is-PureE not-is-PureE, goal-cases)
        case (1 generat)
        then show ?case by(cases generat)(auto intro: results-gpv.Pure outs-gpv.intros)
        qed
      next
      case (Cont out rpv input)
      thus ?case
      proof(clar simp split: if-split-asm, goal-cases)
        case (1 generat)
        then show ?case by(cases generat)(auto 4 3 split: if-split-asm intro: results-gpv.intros outs-gpv.intros)
        qed
      qed
    next

```

```

fix x
assume x ∈ ?rhs
then consider (out) x ∈ outs-gpv I gpv | (result) y where y ∈ results-gpv I
gpv x ∈ outs-gpv I (f y) by auto
then show x ∈ ?lhs
proof cases
  case out then show ?thesis
    by(induction) (auto 4 4 intro: outs-gpv.IO outs-gpv.Cont rev-bexI)
next
  case result then show ?thesis
    by induction ((erule outs-gpv.cases | rule outs-gpv.Cont),
      auto 4 4 intro: outs-gpv.intros rev-bexI elim: outs-gpv.cases)+
qed
qed

lemma outs-gpv-I-full: outs-gpv I-full = outs'-gpv
proof(intro ext Set.set-eqI iffI)
  show x ∈ outs'-gpv gpv if x ∈ outs-gpv I-full gpv for x gpv
    using that by induction(auto intro: outs'-gpvI)
  show x ∈ outs-gpv I-full gpv if x ∈ outs'-gpv gpv for x gpv
    using that by induction(auto intro: outs-gpv.intros elim: generat.set-cases)
qed

lemma outs'-bind-gpv [simp]:
  outs'-gpv (bind-gpv gpv f) = outs'-gpv gpv ∪ (⋃ x∈results'-gpv gpv. outs'-gpv (x))
  unfolding outs-gpv-I-full[symmetric] results-gpv-I-full[symmetric] by simp

lemma outs-gpv-map-gpv-id [simp]: outs-gpv I (map-gpv f id gpv) = outs-gpv I
gpv
  by(auto simp add: map-gpv-conv-bind id-def)

lemma outs-gpv-map-gpv-id' [simp]: outs-gpv I (map-gpv f (λx. x) gpv) = outs-gpv I
gpv
  by(auto simp add: map-gpv-conv-bind id-def)

lemma outs'-gpv-bind-option [simp]:
  outs'-gpv (monad.bind-option Fail x f) = (⋃ y∈set-option x. outs'-gpv (f y))
  by(cases x) simp-all

lemma rel-gpv''-Grp: includes lifting-syntax shows
  rel-gpv'' (BNF-Def.Grp A f) (BNF-Def.Grp B g) (BNF-Def.Grp UNIV h)-1-1
=
  BNF-Def.Grp {x. results-gpv (I-uniform UNIV (range h)) x ⊆ A ∧ outs-gpv
(I-uniform UNIV (range h)) x ⊆ B} (map-gpv' f g h)
  (is ?lhs = ?rhs)
proof(intro ext GrpI iffI CollectI conjI subsetI)
  let ?I = I-uniform UNIV (range h)
  fix gpv gpv'

```

```

assume *: ?lhs gpv gpv'
then show map-gpv' f g h gpv = gpv'
  by(coinduction arbitrary: gpv gpv')
    (drule rel-gpv''D
      , auto 4 5 simp add: spmf-rel-map generat.rel-map elim!: rel-spmf-mono
      generat.rel-mono-strong GrpE intro!: GrpI dest: rel-funD)
  show x ∈ A if x ∈ results-gpv ?I gpv for x using that *
  proof(induction arbitrary: gpv')
    case (Pure gpv)
      have pred-spmf (Domaininp (rel-generat (BNF-Def.Grp A f) (BNF-Def.Grp B g)
      ((BNF-Def.Grp UNIV h)-1-1 ==> rel-gpv'' (BNF-Def.Grp A f) (BNF-Def.Grp
      B g) (BNF-Def.Grp UNIV h)-1-1))) (the-gpv gpv)
        using Pure.preds[THEN rel-gpv''D] unfolding spmf-Domaininp-rel[symmetric]
      ..
      with Pure.hyps show ?case by(simp add: generat.Domaininp-rel pred-spmf-def
      pred-generat-def Domaininp-Grp)
      next
        case (IO out c gpv input)
          have pred-spmf (Domaininp (rel-generat (BNF-Def.Grp A f) (BNF-Def.Grp B g)
          ((BNF-Def.Grp UNIV h)-1-1 ==> rel-gpv'' (BNF-Def.Grp A f) (BNF-Def.Grp
          B g) (BNF-Def.Grp UNIV h)-1-1))) (the-gpv gpv)
            using IO.preds[THEN rel-gpv''D] unfolding spmf-Domaininp-rel[symmetric]
          by(rule DomainPI)
          with IO.hyps show ?case
            by(auto simp add: generat.Domaininp-rel pred-spmf-def pred-generat-def Grp-iff
            dest: rel-funD intro: IO.IH dest!: bspec)
          qed
          show x ∈ B if x ∈ outs-gpv ?I gpv for x using that *
          proof(induction arbitrary: gpv')
            case (IO c gpv)
              have pred-spmf (Domaininp (rel-generat (BNF-Def.Grp A f) (BNF-Def.Grp B g)
              ((BNF-Def.Grp UNIV h)-1-1 ==> rel-gpv'' (BNF-Def.Grp A f) (BNF-Def.Grp
              B g) (BNF-Def.Grp UNIV h)-1-1))) (the-gpv gpv)
                using IO.preds[THEN rel-gpv''D] unfolding spmf-Domaininp-rel[symmetric]
              by(rule DomainPI)
              with IO.hyps show ?case by(simp add: generat.Domaininp-rel pred-spmf-def
              pred-generat-def Domaininp-Grp)
              next
                case (Cont out rpv gpv input)
                  have pred-spmf (Domaininp (rel-generat (BNF-Def.Grp A f) (BNF-Def.Grp B g)
                  ((BNF-Def.Grp UNIV h)-1-1 ==> rel-gpv'' (BNF-Def.Grp A f) (BNF-Def.Grp
                  B g) (BNF-Def.Grp UNIV h)-1-1))) (the-gpv gpv)
                    using Cont.preds[THEN rel-gpv''D] unfolding spmf-Domaininp-rel[symmetric]
                  by(rule DomainPI)
                  with Cont.hyps show ?case
                    by(auto simp add: generat.Domaininp-rel pred-spmf-def pred-generat-def Grp-iff
                    dest: rel-funD intro: Cont.IH dest!: bspec)
                  qed
                next
  
```

```

fix gpv gpv'
assume ?rhs gpv gpv'
then have gpv': gpv' = map-gpv' f g h gpv
  and *: results-gpv (I-uniform UNIV (range h)) gpv ⊆ A outs-gpv (I-uniform
UNIV (range h)) gpv ⊆ B by(auto simp add: Grp-iff)
show ?lhs gpv gpv' using * unfolding gpv'
  by(coinduction arbitrary: gpv)
  (fastforce simp add: spmf-rel-map generat.rel-map Grp-iff intro!: rel-spmf-refl
generat.rel-refl-strong rel-funI elim!: generat.set-cases intro: results-gpv.intros outs-gpv.intros)
qed

inductive pred-gpv' :: ('a ⇒ bool) ⇒ ('out ⇒ bool) ⇒ 'in set ⇒ ('a, 'out, 'in) gpv
⇒ bool for P Q X gpv where
pred-gpv' P Q X gpv
if ⋀x. x ∈ results-gpv (I-uniform UNIV X) gpv ==> P x ⋀out. out ∈ outs-gpv
(I-uniform UNIV X) gpv ==> Q out

lemma pred-gpv-conv-pred-gpv': pred-gpv P Q = pred-gpv' P Q UNIV
  by(auto simp add: fun-eq-iff pred-gpv-def pred-gpv'.simp results-gpv-I-full outs-gpv-I-full)

lemma rel-gpv''-map-gpv'1:
  rel-gpv'' A C (BNF-Def.Grp UNIV h)^{-1-1} gpv gpv' ==> rel-gpv'' A C (=)
  (map-gpv' id id h gpv) gpv'
  apply(coinduction arbitrary: gpv gpv')
  apply(drule rel-gpv''D)
  apply(simp add: spmf-rel-map)
  apply(erule rel-spmf-mono)
  apply(simp add: generat.rel-map)
  apply(erule generat.rel-mono-strong; simp?)
  apply(subst map-fun2-id)
  by(auto simp add: rel-fun-comp intro!: rel-fun-map-fun1 elim: rel-fun-mono)

lemma rel-gpv''-map-gpv'2:
  rel-gpv'' A C (eq-on (range h)) gpv gpv' ==> rel-gpv'' A C (BNF-Def.Grp UNIV
h^{-1-1} gpv (map-gpv' id id h gpv'))
  apply(coinduction arbitrary: gpv gpv')
  apply(drule rel-gpv''D)
  apply(simp add: spmf-rel-map)
  apply(erule rel-spmf-mono-strong)
  apply(simp add: generat.rel-map)
  apply(erule generat.rel-mono-strong; simp?)
  apply(subst map-fun-id2-in)
  apply(rule rel-fun-map-fun2)
  by (auto simp add: rel-fun-comp elim: rel-fun-mono)

context
fixes A :: 'a ⇒ 'd ⇒ bool
and C :: 'c ⇒ 'g ⇒ bool
and R :: 'b ⇒ 'e ⇒ bool

```

```

begin

private lemma f11: Pure x ∈ set-spmf (the-gpv gpv) ==>
  Domainip (rel-generat A C (rel-fun R (rel-gpv'' A C R))) (Pure x) ==> Domainip
  A x
  by (auto simp add: pred-generat-def elim:bspec dest: generat.Domainip-rel[THEN
  fun-cong, THEN iffD1, OF Domainip-iff[THEN iffD2], OF exI])

private lemma f21: IO out c ∈ set-spmf (the-gpv gpv) ==>
  rel-generat A C (rel-fun R (rel-gpv'' A C R)) (IO out c) ba ==> Domainip C out
  by (auto simp add: pred-generat-def elim:bspec dest: generat.Domainip-rel[THEN
  fun-cong, THEN iffD1, OF Domainip-iff[THEN iffD2], OF exI])

private lemma f12:
  assumes IO out c ∈ set-spmf (the-gpv gpv)
  and input ∈ responses- $\mathcal{I}$  ( $\mathcal{I}$ -uniform UNIV {x. Domainip R x}) out
  and x ∈ results-gpv ( $\mathcal{I}$ -uniform UNIV {x. Domainip R x}) (c input)
  and Domainip (rel-gpv'' A C R) gpv
  shows Domainip (rel-gpv'' A C R) (c input)
  proof -
    obtain b1 where o1:rel-gpv'' A C R gpv b1 using assms(4) by clarsimp
    obtain b2 where o2:rel-generat A C (rel-fun R (rel-gpv'' A C R)) (IO out c) b2
      using assms(1) o1[THEN rel-gpv''D, THEN spmf-Domainip-rel[THEN fun-cong,
      THEN iffD1, OF Domainip-iff[THEN iffD2], OF exI]]
      unfolding pred-spmf-def by - (drule (1) bspec, auto)

    have Ball (generat-conts (IO out c)) (Domainip (rel-fun R (rel-gpv'' A C R)))
      using o2[THEN generat.Domainip-rel[THEN fun-cong, THEN iffD1, OF Do-
      mainip-iff[THEN iffD2], OF exI]]
      unfolding pred-generat-def by simp

    with assms(2) show ?thesis
      apply -
      apply(drule bspec)
      apply simp
      apply clarify
      apply(drule Domainip-rel-fun-le[THEN predicate1D, OF Domainip-iff[THEN
      iffD2], OF exI])
      by simp
  qed

private lemma f22:
  assumes IO out' rpv ∈ set-spmf (the-gpv gpv)
  and input ∈ responses- $\mathcal{I}$  ( $\mathcal{I}$ -uniform UNIV {x. Domainip R x}) out'
  and out ∈ outs-gpv ( $\mathcal{I}$ -uniform UNIV {x. Domainip R x}) (rpv input)
  and Domainip (rel-gpv'' A C R) gpv
  shows Domainip (rel-gpv'' A C R) (rpv input)
  proof -
    obtain b1 where o1:rel-gpv'' A C R gpv b1 using assms(4) by auto

```

```

obtain b2 where o2:rel-generat A C (rel-fun R (rel-gpv'' A C R)) (IO out' rpv)
b2
  using assms(1) o1 [THEN rel-gpv''D, THEN spmf-Domainp-rel[THEN fun-cong,
THEN iffD1, OF Domainp-iff[THEN iffD2], OF exI]]
  unfolding pred-spmf-def by – (drule (1) bspec, auto)

  have Ball (generat-conts (IO out' rpv)) (Domainp (rel-fun R (rel-gpv'' A C R)))
    using o2[THEN generat.Domainp-rel[THEN fun-cong, THEN iffD1, OF Do-
mainp-iff[THEN iffD2], OF exI]]
    unfolding pred-generat-def by simp

  with assms(2) show ?thesis
    apply –
    apply(drule bspec)
    apply simp
    apply clarify
    apply(drule Domainp-rel-fun-le[THEN predicate1D, OF Domainp-iff[THEN
iffD2], OF exI])
    by simp
  qed

lemma Domainp-rel-gpv''-le:
  Domainp (rel-gpv'' A C R) ≤ pred-gpv' (Domainp A) (Domainp C) {x. Domainp
R x}
  proof(rule predicate1I pred-gpv'.intros)+
    show Domainp A x if x ∈ results-gpv (I-uniform UNIV {x. Domainp R x}) gpv
  Domainp (rel-gpv'' A C R) gpv for x gpv using that
    proof(induction)
      case (Pure gpv)
      then show ?case
        by (clarify) (drule rel-gpv''D
          , auto simp add: f11 pred-spmf-def dest: spmf-Domainp-rel[THEN fun-cong,
THEN iffD1, OF Domainp-iff[THEN iffD2], OF exI])
      qed (simp add: f12)
      show Domainp C out if out ∈ outs-gpv (I-uniform UNIV {x. Domainp R x}) gpv
  Domainp (rel-gpv'' A C R) gpv for out gpv using that
      proof( induction)
        case (IO c gpv)
        then show ?case
          by (clarify) (drule rel-gpv''D
            , auto simp add: f21 pred-spmf-def dest!: bspec spmf-Domainp-rel[THEN
fun-cong, THEN iffD1, OF Domainp-iff[THEN iffD2], OF exI])
        qed (simp add: f22)
      qed

  end

lemma map-gpv'-id12: map-gpv' f g h gpv = map-gpv' id id h (map-gpv f g gpv)
  unfolding map-gpv-conv-map-gpv' map-gpv'-comp by simp

```

lemma *rel-gpv''-refl*: $\llbracket (=) \leq A; (=) \leq C; R \leq (=) \rrbracket \implies (=) \leq \text{rel-gpv}'' A C R$
by(*subst rel-gpv''-eq[symmetric]*)(*rule rel-gpv''-mono*)

context

fixes $A A' :: 'a \Rightarrow 'b \Rightarrow \text{bool}$
and $C C' :: 'c \Rightarrow 'd \Rightarrow \text{bool}$
and $R R' :: 'e \Rightarrow 'f \Rightarrow \text{bool}$

begin

private abbreviation *foo* **where**

foo $\equiv (\lambda fx fy \text{gpx} \text{gpy} g1 g2.$
 $\forall x y. x \in fx (\mathcal{I}\text{-uniform UNIV } (\text{Collect } (\text{Domainp } R'))) \text{gpx} \rightarrow$
 $y \in fy (\mathcal{I}\text{-uniform UNIV } (\text{Collect } (\text{Rangep } R'))) \text{gpy} \rightarrow g1 x y$
 $\rightarrow g2 x y)$

private lemma *f1*: *foo results-gpv results-gpv gpy gpy' A A'* \implies
 $x \in \text{set-spmf } (\text{the-gpv gpy}) \implies y \in \text{set-spmf } (\text{the-gpv gpy'}) \implies$
 $a \in \text{generat-conts } x \implies b \in \text{generat-conts } y \implies R' a' \alpha \implies R' \beta b' \implies$
 $\text{foo results-gpv results-gpv } (a a') (b b') A A'$
by (*fastforce elim: generat.set-cases intro: results-gpv.IO*)

private lemma *f2*: *foo outs-gpv outs-gpv gpy gpy' C C'* \implies
 $x \in \text{set-spmf } (\text{the-gpv gpy}) \implies y \in \text{set-spmf } (\text{the-gpv gpy'}) \implies$
 $a \in \text{generat-conts } x \implies b \in \text{generat-conts } y \implies R' a' \alpha \implies R' \beta b' \implies$
 $\text{foo outs-gpv outs-gpv } (a a') (b b') C C'$
by (*fastforce elim: generat.set-cases intro: outs-gpv.Cont*)

lemma *rel-gpv''-mono-strong*:

$\llbracket \text{rel-gpv}'' A C R \text{gpy gpy}';$
 $\quad \wedge x y. \llbracket x \in \text{results-gpv } (\mathcal{I}\text{-uniform UNIV } \{x. \text{Domainp } R' x\}) \text{gpy}; y \in \text{results-gpv } (\mathcal{I}\text{-uniform UNIV } \{x. \text{Rangep } R' x\}) \text{gpy}'; A x y \rrbracket \implies A' x y;$
 $\quad \wedge x y. \llbracket x \in \text{outs-gpv } (\mathcal{I}\text{-uniform UNIV } \{x. \text{Domainp } R' x\}) \text{gpy}; y \in \text{outs-gpv } (\mathcal{I}\text{-uniform UNIV } \{x. \text{Rangep } R' x\}) \text{gpy}'; C x y \rrbracket \implies C' x y;$
 $\quad R' \leq R \rrbracket$
 $\implies \text{rel-gpv}'' A' C' R' \text{gpy gpy}'$
apply(*coinduction arbitrary: gpy gpy'*)
apply(*drule rel-gpv''D*)
apply(*erule rel-spmf-mono-strong*)
apply(*erule generat.rel-mono-strong*)
apply(*erule generat.set-cases*)
apply(*erule allE, rotate-tac -1*)
apply(*erule allE*)
apply(*erule impE*)
apply(*rule results-gpv.Pure*)
apply *simp*
apply(*erule impE*)

```

apply(rule results-gpv.Pure)
apply simp
apply simp
apply(erule generat.set-cases)+ 
apply(rotate-tac 1)
apply(erule allE, rotate-tac -1)
apply(erule allE)
apply(erule impE)
apply(rule outs-gpv.IO)
apply simp
apply(erule impE)
apply(rule outs-gpv.IO)
apply simp
apply simp
apply(erule (1) rel-fun-mono-strong)
by (fastforce simp add: f1[simplified] f2[simplified])

end

lemma rel-gpv''-refl-strong:
assumes "A x. x ∈ results-gpv (I-uniform UNIV {x. Domainp R x}) gpv ==> A
x x
and "A x. x ∈ outs-gpv (I-uniform UNIV {x. Domainp R x}) gpv ==> C x x
and R ≤ (=)
shows rel-gpv'' A C R gpv gpv
proof -
have rel-gpv'' (=) (=) gpv gpv unfolding rel-gpv''-eq by simp
then show ?thesis using -- assms(3) by(rule rel-gpv''-mono-strong)(auto intro:
assms(1-2))
qed

lemma rel-gpv''-refl-eq-on:
[["A x. x ∈ results-gpv (I-uniform UNIV X) gpv ==> A x x; "A out. out ∈ outs-gpv
(I-uniform UNIV X) gpv ==> B out out ]]
==> rel-gpv'' A B (eq-on X) gpv gpv
by(rule rel-gpv''-refl-strong) (auto elim: eq-onE)

lemma pred-gpv'-mono' [mono]:
pred-gpv' A C R gpv —> pred-gpv' A' C' R gpv
if "A x —> A' x "A x —> C x —> C' x
using that unfolding pred-gpv'.simps
by auto

```

4.12.2 Type judgements

```

coinductive WT-gpv :: ('out, 'in) I ⇒ ('a, 'out, 'in) gpv ⇒ bool (⟨((+)/ ⊢ g (-)
√)⟩ [100, 0] 99)
for Γ
where

```

$(\bigwedge \text{out } c. \text{IO out } c \in \text{set-spmf gpv} \implies \text{out} \in \text{outs-}\mathcal{I} \Gamma \wedge (\forall \text{input} \in \text{responses-}\mathcal{I} \Gamma$
 $\text{out}. \Gamma \vdash g c \text{ input } \checkmark))$
 $\implies \Gamma \vdash g \text{ GPV gpv } \checkmark$

lemma *WT-gpv-coinduct* [*consumes 1*, *case-names WT-gpv*, *case-conclusion WT-gpv out cont, coinduct pred: WT-gpv*]:
assumes $*: X \text{ gpv}$
and *step*: $\bigwedge \text{gpv out } c.$
 $\llbracket X \text{ gpv}; \text{IO out } c \in \text{set-spmf (the-gpv gpv)} \rrbracket$
 $\implies \text{out} \in \text{outs-}\mathcal{I} \Gamma \wedge (\forall \text{input} \in \text{responses-}\mathcal{I} \Gamma \text{ out. } X (c \text{ input}) \vee \Gamma \vdash g c \text{ input } \checkmark)$
shows $\Gamma \vdash g \text{ gpv } \checkmark$
using $* \text{ by (coinduct)(auto dest: step simp add: eq-GPV-iff)}$

lemma *WT-gpv-simps*:
 $\Gamma \vdash g \text{ GPV gpv } \checkmark \longleftrightarrow$
 $(\bigwedge \text{out } c. \text{IO out } c \in \text{set-spmf gpv} \longrightarrow \text{out} \in \text{outs-}\mathcal{I} \Gamma \wedge (\forall \text{input} \in \text{responses-}\mathcal{I} \Gamma$
 $\text{out}. \Gamma \vdash g c \text{ input } \checkmark))$
by(*subst WT-gpv.simps*) *simp*

lemma *WT-gpvI*:
 $(\bigwedge \text{out } c. \text{IO out } c \in \text{set-spmf (the-gpv gpv)} \implies \text{out} \in \text{outs-}\mathcal{I} \Gamma \wedge (\forall \text{input} \in \text{responses-}\mathcal{I} \Gamma$
 $\text{out}. \Gamma \vdash g c \text{ input } \checkmark))$
 $\implies \Gamma \vdash g \text{ gpv } \checkmark$
by(*cases gpv*)(*simp add: WT-gpv-simps*)

lemma *WT-gpvD*:
assumes $\Gamma \vdash g \text{ gpv } \checkmark$
shows *WT-gpv-OutD*: $\text{IO out } c \in \text{set-spmf (the-gpv gpv)} \implies \text{out} \in \text{outs-}\mathcal{I} \Gamma$
and *WT-gpv-ContD*: $\llbracket \text{IO out } c \in \text{set-spmf (the-gpv gpv)}; \text{input} \in \text{responses-}\mathcal{I} \Gamma$
 $\text{out} \rrbracket \implies \Gamma \vdash g c \text{ input } \checkmark$
using assms by (cases, fastforce) +

lemma *WT-gpv-mono*:
assumes *WT*: $\mathcal{I}1 \vdash g \text{ gpv } \checkmark$
and *outs*: $\text{outs-}\mathcal{I} \mathcal{I}1 \subseteq \text{outs-}\mathcal{I} \mathcal{I}2$
and *responses*: $\bigwedge x. x \in \text{outs-}\mathcal{I} \mathcal{I}1 \implies \text{responses-}\mathcal{I} \mathcal{I}2 x \subseteq \text{responses-}\mathcal{I} \mathcal{I}1 x$
shows $\mathcal{I}2 \vdash g \text{ gpv } \checkmark$
using *WT*
proof *coinduct*
case (*WT-gpv gpv out c*)
with *outs* **show** ?*case* **by**(*auto 6 4 dest: responses WT-gpvD*)
qed

lemma *WT-gpv-Done [iff]*: $\Gamma \vdash g \text{ Done } x \checkmark$
by(*rule WT-gpvI*) *simp-all*

lemma *WT-gpv-Fail [iff]*: $\Gamma \vdash g \text{ Fail } \checkmark$
by(*rule WT-gpvI*) *simp-all*

```

lemma WT-gpv-PauseI:
   $\llbracket \text{out} \in \text{outs-}\mathcal{I} \Gamma; \wedge \text{input. } \text{input} \in \text{responses-}\mathcal{I} \Gamma \text{ out} \implies \Gamma \vdash g c \text{ input } \checkmark \rrbracket$ 
   $\implies \Gamma \vdash g \text{ Pause out } c \checkmark$ 
by(rule WT-gpvI) simp-all

lemma WT-gpv-Pause [iff]:
   $\Gamma \vdash g \text{ Pause out } c \checkmark \longleftrightarrow \text{out} \in \text{outs-}\mathcal{I} \Gamma \wedge (\forall \text{input} \in \text{responses-}\mathcal{I} \Gamma \text{ out}. \Gamma \vdash g c \text{ input } \checkmark)$ 
by(auto intro: WT-gpv-PauseI dest: WT-gpvD)

lemma WT-gpv-bindI:
   $\llbracket \Gamma \vdash g \text{ gpv } \checkmark; \wedge x. x \in \text{results-gpv } \Gamma \text{ gpv} \implies \Gamma \vdash g f x \checkmark \rrbracket$ 
   $\implies \Gamma \vdash g \text{ gpv } \gg f \checkmark$ 
proof(coinduction arbitrary: gpv)
  case [rule-format]: (WT-gpv out c gpv)
  from <IO out c ∈ ->
  obtain generat where generat: generat ∈ set-spmf (the-gpv gpv)
  and *: IO out c ∈ set-spmf (if is-Pure generat then the-gpv (f (result generat))
    else return-spmf (IO (output generat) (λinput. continuation
      generat input ≫= f)))
  by(auto)
  show ?case
  proof(cases generat)
  case (Pure y)
  with generat have y ∈ results-gpv Γ gpv by(auto intro: results-gpv.Pure)
  hence  $\Gamma \vdash g f y \checkmark$  by(rule WT-gpv)
  with * Pure show ?thesis by(auto dest: WT-gpvD)
  next
  case (IO out' c')
  hence [simp]: out' = out
  and c:  $\wedge \text{input. } c \text{ input} = \text{bind-gpv } (c' \text{ input}) f$  using * by simp-all
  from generat IO have **: IO out c' ∈ set-spmf (the-gpv gpv) by simp
  with <Γ ⊢ g gpv √> have ?out by(auto dest: WT-gpvD)
  moreover {
    fix input
    assume input: input ∈ responses-Γ Γ out
    with <Γ ⊢ g gpv √> ** have Γ ⊢ g c' input √ by(rule WT-gpvD)
    moreover {
      fix y
      assume y ∈ results-gpv Γ (c' input)
      with ** input have y ∈ results-gpv Γ gpv by(rule results-gpv.IO)
      hence  $\Gamma \vdash g f y \checkmark$  by(rule WT-gpv) }
    moreover note calculation }
  hence ?cont using c by blast
  ultimately show ?thesis ..
qed
qed

```

```

lemma WT-gpv-bindD2:
  assumes WT:  $\Gamma \vdash g \text{ gpv} \gg f \checkmark$ 
  and  $x: x \in \text{results-gpv } \Gamma \text{ gpv}$ 
  shows  $\Gamma \vdash g f x \checkmark$ 
  using  $x$  WT
  proof induction
    case (Pure gpv)
    show ?case
    proof(rule WT-gpvI)
      fix out c
      assume IO out c  $\in$  set-spmf (the-gpv (f x))
      with Pure have IO out c  $\in$  set-spmf (the-gpv (gpv  $\gg$  f)) by(auto intro: rev-bexI)
      with  $\langle \Gamma \vdash g \text{ gpv} \gg f \checkmark \rangle$  show out  $\in$  outs- $\mathcal{I}$   $\Gamma \wedge (\forall \text{input} \in \text{responses-}\mathcal{I} \Gamma \text{ out.}$ 
       $\Gamma \vdash g c \text{ input} \checkmark)$ 
      by(auto dest: WT-gpvD simp del: set-bind-spmf)
    qed
  next
    case (IO out c gpv input)
    from  $\langle IO \text{ out } c \in \rightarrow$ 
    have IO out ( $\lambda \text{input. bind-gpv (c input) f} \in$  set-spmf (the-gpv (gpv  $\gg$  f)))
    by(auto intro: rev-bexI)
    with IO.prem have  $\Gamma \vdash g c \text{ input} \gg f \checkmark$  using  $\langle \text{input} \in \rightarrow \rangle$  by(rule WT-gpv-ContD)
    thus ?case by(rule IO.IH)
  qed

lemma WT-gpv-bindD1:  $\Gamma \vdash g \text{ gpv} \gg f \checkmark \implies \Gamma \vdash g \text{ gpv} \checkmark$ 
proof(coinduction arbitrary: gpv)
  case (WT-gpv out c gpv)
  from  $\langle IO \text{ out } c \in \rightarrow$ 
  have IO out ( $\lambda \text{input. bind-gpv (c input) f} \in$  set-spmf (the-gpv (gpv  $\gg$  f)))
  by(auto intro: rev-bexI)
  with  $\langle \Gamma \vdash g \text{ gpv} \gg f \checkmark \rangle$  show ?case
  by(auto simp del: bind-gpv-sel' dest: WT-gpvD)
qed

lemma WT-gpv-bind [simp]:  $\Gamma \vdash g \text{ gpv} \gg f \checkmark \longleftrightarrow \Gamma \vdash g \text{ gpv} \checkmark \wedge (\forall x \in \text{results-gpv}$ 
 $\Gamma \text{ gpv. } \Gamma \vdash g f x \checkmark)$ 
by(blast intro: WT-gpv-bindI dest: WT-gpv-bindD1 WT-gpv-bindD2)

lemma WT-gpv-full [simp, intro!]:  $\mathcal{I}\text{-full} \vdash g \text{ gpv} \checkmark$ 
by(coinduction arbitrary: gpv)(auto)

lemma WT-gpv-lift-spmf [simp, intro!]:  $\mathcal{I} \vdash g \text{ lift-spmf } p \checkmark$ 
by(rule WT-gpvI) auto

lemma WT-gpv-coinduct-bind [consumes 1, case-names WT-gpv, case-conclusion
WT-gpv out cont]:
  assumes *: X gpv

```

and $\text{step}: \bigwedge gpv \text{ out } c. \llbracket X \text{ gpv}; IO \text{ out } c \in \text{set-spmf} (\text{the-gpv gpv}) \rrbracket$
 $\implies \text{out} \in \text{outs-}\mathcal{I} \text{ } \mathcal{I} \wedge (\forall \text{input} \in \text{responses-}\mathcal{I} \text{ } \mathcal{I} \text{ out}.$

$X (c \text{ input}) \vee$
 $\mathcal{I} \vdash g c \text{ input} \checkmark \vee$
 $(\exists (gpv' :: ('b, 'call, 'ret) gpv) f. c \text{ input} = gpv' \gg f \wedge \mathcal{I} \vdash g gpv' \checkmark \wedge$
 $(\forall x \in \text{results-gpv } \mathcal{I} \text{ gpv'}. X (f x)))$

shows $\mathcal{I} \vdash g \text{ gpv} \checkmark$

proof –

fix x

define $gpv' :: ('b, 'call, 'ret) gpv$ **and** $f :: 'b \Rightarrow ('a, 'call, 'ret) gpv$
where $gpv' = \text{Done } x$ **and** $f = (\lambda-. \text{ gpv})$

with * **have** $\mathcal{I} \vdash g \text{ gpv}' \checkmark$ **and** $\bigwedge x. x \in \text{results-gpv } \mathcal{I} \text{ gpv}' \implies X (f x)$ **by** *simp-all*
then have $\mathcal{I} \vdash g \text{ gpv}' \gg f \checkmark$

proof(*coinduction arbitrary: gpv' f rule: WT-gpv-coinduct*)

case [rule-format]: (*WT-gpv out c gpv'*)
from $\langle IO \text{ out } c \in -\rangle$
obtain *generat* **where** *generat*: $\text{generat} \in \text{set-spmf} (\text{the-gpv gpv}')$
and *: $IO \text{ out } c \in \text{set-spmf}$ (*if is-Pure generat*
then *the-gpv* ($f (\text{result generat})$)
else *return-spmf* (*IO (output generat)*) ($\lambda \text{input}. \text{continuation generat input} \gg f$))
by(*clarsimp*)
show ?case

proof(*cases generat*)

case (*Pure x*)
from *Pure* * **have** $IO: IO \text{ out } c \in \text{set-spmf} (\text{the-gpv } (f x))$ **by** *simp*
from *generat Pure* **have** $x \in \text{results-gpv } \mathcal{I} \text{ gpv}'$ **by** (*simp add: results-gpv.Pure*)
then have $X (f x)$ **by**(*rule WT-gpv*)
from *step[OF this IO]* **show** ?thesis **by**(*auto 4 4 intro: exI[where x=Done -])*

next

case (*IO out' c'*)
with * **have** [*simp*]: $\text{out}' = \text{out}$
and $c: c = (\lambda \text{input}. c' \text{ input} \gg f)$ **by** *simp-all*
from *IO generat* **have** $IO: IO \text{ out } c' \in \text{set-spmf} (\text{the-gpv gpv}')$ **by** *simp*
then have $\bigwedge \text{input}. \text{input} \in \text{responses-}\mathcal{I} \text{ } \mathcal{I} \text{ out} \implies \text{results-gpv } \mathcal{I} (c' \text{ input}) \subseteq \text{results-gpv } \mathcal{I} \text{ gpv}'$
by(*auto intro: results-gpv.IO*)
with *WT-gpvD[OF ⟨I ⊢ g gpv' √⟩ IO]* **show** ?thesis **unfolding** *c* **using**
WT-gpv(2) **by** *blast*

qed

qed

then show ?thesis **unfolding** *gpv'-def f-def* **by** *simp*

qed

lemma $\mathcal{I}\text{-trivial-WT-gpvD}$ [*simp*]: $\mathcal{I}\text{-trivial } \mathcal{I} \implies \mathcal{I} \vdash g \text{ gpv} \checkmark$
using *WT-gpv-full* **by**(*rule WT-gpv-mono*) (*simp-all add: I-trivial-def*)

lemma $\mathcal{I}\text{-trivial-WT-gpvI}$:

```

assumes  $\bigwedge gpv :: ('a, 'out, 'in) gpv. \mathcal{I} \vdash g gpv \vee$ 
shows  $\mathcal{I}$ -trivial  $\mathcal{I}$ 
proof
fix  $x$ 
have  $\mathcal{I} \vdash g \text{ Pause } x (\lambda\_. \text{ Fail} :: ('a, 'out, 'in) gpv) \vee \text{by}(rule \text{ assms})$ 
thus  $x \in \text{outs-}\mathcal{I}$   $\mathcal{I}$  by(simp)
qed

lemma  $WT\text{-}gpv\text{-}\mathcal{I}\text{-mono}$ :  $\llbracket \mathcal{I} \vdash g gpv \vee; \mathcal{I} \leq \mathcal{I}' \rrbracket \implies \mathcal{I}' \vdash g gpv \vee$ 
by(erule  $WT\text{-}gpv\text{-mono}$ ; rule  $\text{outs-}\mathcal{I}\text{-mono responses-}\mathcal{I}\text{-mono}$ )

lemma  $results\text{-}gpv\text{-mono}$ :
assumes  $le: \mathcal{I}' \leq \mathcal{I}$  and  $WT: \mathcal{I}' \vdash g gpv \vee$ 
shows  $results\text{-}gpv \mathcal{I} gpv \subseteq results\text{-}gpv \mathcal{I}' gpv$ 
proof(rule subsetI, goal-cases)
case (1  $x$ )
show ?case using 1  $WT$  by(induction)(auto 4 3 intro:  $results\text{-}gpv.intros$  responses- $\mathcal{I}$ -mono[OF le, THEN subsetD] intro:  $WT\text{-}gpvD$ )
qed

lemma  $WT\text{-}gpv\text{-outs-gpv}$ :
assumes  $\mathcal{I} \vdash g gpv \vee$ 
shows  $\text{outs-gpv } \mathcal{I} gpv \subseteq \text{outs-}\mathcal{I} \mathcal{I}$ 
proof
show  $x \in \text{outs-}\mathcal{I} \mathcal{I}$  if  $x \in \text{outs-gpv } \mathcal{I} gpv$  for  $x$  using that assms
by(induction)(blast intro:  $WT\text{-}gpv\text{-OutD}$   $WT\text{-}gpv\text{-ContD}$ )+
qed

lemma  $WT\text{-}gpv\text{-map-gpv}'$ :  $\mathcal{I} \vdash g \text{ map-gpv}' f g h gpv \vee$  if  $\text{map-}\mathcal{I} g h \mathcal{I} \vdash g gpv \vee$ 
using that by(coinduction arbitrary:  $gpv$ )(auto 4 4 dest:  $WT\text{-}gpvD$ )

lemma  $WT\text{-}gpv\text{-map-gpv}$ :  $\mathcal{I} \vdash g \text{ map-gpv } f g gpv \vee$  if  $\text{map-}\mathcal{I} g id \mathcal{I} \vdash g gpv \vee$ 
unfolding  $\text{map-gpv-conv-map-gpv}'$  using that by(rule  $WT\text{-}gpv\text{-map-gpv}'$ )

lemma  $results\text{-}gpv\text{-map-gpv}'$  [simp]:
 $results\text{-}gpv \mathcal{I} (\text{map-gpv}' f g h gpv) = f ` (results\text{-}gpv (\text{map-}\mathcal{I} g h \mathcal{I}) gpv)$ 
proof(intro Set.set-eqI iffI; (elim imageE; hypsubst)?)
show  $x \in f ` results\text{-}gpv (\text{map-}\mathcal{I} g h \mathcal{I}) gpv$  if  $x \in results\text{-}gpv \mathcal{I} (\text{map-gpv}' f g h gpv)$  for  $x$  using that
by(induction  $gpv \equiv \text{map-gpv}' f g h gpv$  arbitrary:  $gpv$ )(fastforce intro:  $results\text{-}gpv.intros$  rev-image-eqI)+
show  $f x \in results\text{-}gpv \mathcal{I} (\text{map-gpv}' f g h gpv)$  if  $x \in results\text{-}gpv (\text{map-}\mathcal{I} g h \mathcal{I}) gpv$  for  $x$  using that
by(induction)(fastforce intro:  $results\text{-}gpv.intros$ )+
qed

lemma  $WT\text{-}gpv\text{-parametric}'$ : includes lifting-syntax shows
bi-unique  $C \implies (\text{rel-}\mathcal{I} C R \implies \text{rel-gpv}'' A C R \implies (=))$   $WT\text{-}gpv$   $WT\text{-}gpv$ 
proof(rule rel-funI iffI)+
```

```

note [transfer-rule] = the-gpv-parametric'
show *:  $\mathcal{I} \vdash g \text{ gpv} \vee \text{if } [\text{transfer-rule}]: \text{rel-}\mathcal{I} C R \mathcal{I} \mathcal{I}' \text{ bi-unique } C$ 
    and *:  $\mathcal{I}' \vdash g \text{ gpv}' \vee \text{rel-gpv}'' A C R \text{ gpv gpv}' \text{ for } \mathcal{I} \mathcal{I}' \text{ gpv gpv}' A C R$ 
    using *
proof(coinduction arbitrary: gpv gpv')
  case (WT-gpv out c gpv gpv')
  note [transfer-rule] = WT-gpv(2)
  have rel-set (rel-generat A C (R ==> rel-gpv'' A C R)) (set-spmf (the-gpv gpv)) (set-spmf (the-gpv gpv'))
  by transfer-prover
  from rel-setD1[OF this WT-gpv(3)] obtain out' c'
  where [transfer-rule]: C out out' (R ==> rel-gpv'' A C R) c c'
  and out': IO out' c' ∈ set-spmf (the-gpv gpv')
  by(auto elim: generat.rel-cases)
  have out ∈ outs- $\mathcal{I}$   $\mathcal{I} \longleftrightarrow$  out' ∈ outs- $\mathcal{I}$   $\mathcal{I}'$  by transfer-prover
  with WT-gpvD(1)[OF WT-gpv(1) out'] have ?out by simp
  moreover have ?cont
  proof(standard; goal-cases cont)
    case (cont input)
    have rel-set R (responses- $\mathcal{I}$   $\mathcal{I}$  out) (responses- $\mathcal{I}$   $\mathcal{I}'$  out') by transfer-prover
      from rel-setD1[OF this cont] obtain input' where [transfer-rule]: R input
      input'
      and input': input' ∈ responses- $\mathcal{I}$   $\mathcal{I}'$  out' by blast
      have rel-gpv'' A C R (c input) (c' input') by transfer-prover
      with WT-gpvD(2)[OF WT-gpv(1) out' input'] show ?case by auto
    qed
    ultimately show ?case ..
  qed

  show  $\mathcal{I}' \vdash g \text{ gpv}' \vee \text{if } \text{rel-}\mathcal{I} C R \mathcal{I} \mathcal{I}' \text{ bi-unique } C \mathcal{I} \vdash g \text{ gpv} \vee \text{rel-gpv}'' A C R$ 
    gpv gpv'
    for  $\mathcal{I} \mathcal{I}' \text{ gpv gpv}'$ 
    using *[of conversep C conversep R  $\mathcal{I}' \mathcal{I}$  gpv conversep A gpv'] that
    by(simp add: rel-gpv''-conversep)
  qed

lemma WT-gpv-map-gpv-id [simp]:  $\mathcal{I} \vdash g \text{ map-gpv f id gpv} \vee \longleftrightarrow \mathcal{I} \vdash g \text{ gpv} \vee$ 
  using WT-gpv-parametric'[of BNF-Def.Grp UNIV id (=) BNF-Def.Grp UNIV
  f, folded rel-gpv-conv-rel-gpv']
  unfolding gpv.rel-Grp unfolding eq-alt[symmetric] relator-eq
  by(auto simp add: rel-fun-def Grp-def bi-unique-eq)

lemma WT-gpv-outs-gpvI:
  assumes outs-gpv  $\mathcal{I}$  gpv ⊆ outs- $\mathcal{I}$   $\mathcal{I}$ 
  shows  $\mathcal{I} \vdash g \text{ gpv} \vee$ 
  using assms by(coinduction arbitrary: gpv)(auto intro: outs-gpv.intros)

lemma WT-gpv-iff-outs-gpv:
   $\mathcal{I} \vdash g \text{ gpv} \vee \longleftrightarrow \text{outs-gpv } \mathcal{I} \text{ gpv} \subseteq \text{outs-}\mathcal{I} \mathcal{I}$ 

```

by(blast intro: WT-gpv-outs-gpvI dest: WT-gpv-outs-gpv)

4.13 Sub-gpvs

```

context begin
qualified inductive sub-gpvsp :: ('out, 'in) I  $\Rightarrow$  ('a, 'out, 'in) gpv  $\Rightarrow$  ('a, 'out, 'in) gpv  $\Rightarrow$  bool
  for I x
where
  base:
    [ IO out c  $\in$  set-spmf (the-gpv gpv); input  $\in$  responses-I I out; x = c input ]
     $\Rightarrow$  sub-gpvsp I x gpv
  | cont:
    [ IO out c  $\in$  set-spmf (the-gpv gpv); input  $\in$  responses-I I out; sub-gpvsp I x (c input) ]
     $\Rightarrow$  sub-gpvsp I x gpv

qualified lemma sub-gpvsp-base:
  [ IO out c  $\in$  set-spmf (the-gpv gpv); input  $\in$  responses-I I out ]
   $\Rightarrow$  sub-gpvsp I (c input) gpv
by(rule base) simp-all

definition sub-gpvs :: ('out, 'in) I  $\Rightarrow$  ('a, 'out, 'in) gpv  $\Rightarrow$  ('a, 'out, 'in) gpv set
where sub-gpvs I gpv  $\equiv$  {x. sub-gpvsp I x gpv}

lemma sub-gpvsp-sub-gpvs-eq [pred-set-conv]: sub-gpvsp I x gpv  $\longleftrightarrow$  x  $\in$  sub-gpvs I gpv
by(simp add: sub-gpvs-def)

context begin
local-setup <Local-Theory.map-background-naming (Name-Space.mandatory-path sub-gpvs)>

lemmas intros [intro?] = sub-gpvsp.intros[to-set]
  and base = sub-gpvsp-base[to-set]
  and cont = cont[to-set]
  and induct [consumes 1, case-names Pure IO, induct set: sub-gpvs] = sub-gpvsp.induct[to-set]
  and cases [consumes 1, case-names Pure IO, cases set: sub-gpvs] = sub-gpvsp.cases[to-set]
  and simps = sub-gpvsp.simps[to-set]
end
end

lemma WT-sub-gpvsD:
  assumes I  $\vdash g$  gpv  $\vee$  and gpv'  $\in$  sub-gpvs I gpv
  shows I  $\vdash g$  gpv'  $\vee$ 
  using assms(2,1) by(induction)(auto dest: WT-gpvD)

lemma WT-sub-gpvsI:
  [  $\wedge$  out c. IO out c  $\in$  set-spmf (the-gpv gpv)  $\Rightarrow$  out  $\in$  outs-I  $\Gamma$ ;
```

$$\begin{aligned} & \bigwedge gpv'. \, gpv' \in \text{sub-gpvs } \Gamma \, gpv \implies \Gamma \vdash g \, gpv' \checkmark \] \\ & \implies \Gamma \vdash g \, gpv \checkmark \\ \text{by} & (\text{rule } WT\text{-}gpuI) (\text{auto intro: sub-gpvs.base}) \end{aligned}$$

4.14 Losslessness

A gpv is lossless iff we are guaranteed to get a result after a finite number of interactions that respect the interface. It is colossless if the interactions may go on for ever, but there is no non-termination.

We define both notions of losslessness simultaneously by mimicking what the (co)inductive package would do internally. Thus, we get a constant which is parametrised by the choice of the fixpoint, i.e., for non-recursive gpvs, we can state and prove both versions of losslessness in one go.

context

```

fixes co :: bool and I :: ('out, 'in) I
and F :: (('a, 'out, 'in) gpv  $\Rightarrow$  bool)  $\Rightarrow$  (('a, 'out, 'in) gpv  $\Rightarrow$  bool)
and co' :: bool
defines F  $\equiv$   $\lambda$ gen-lossless-gpv gpv.  $\exists$  pa. gpv = GPV pa  $\wedge$ 
    lossless-spmf pa  $\wedge$  ( $\forall$  out c input. IO out c  $\in$  set-spmf pa  $\longrightarrow$  input  $\in$  responses-I
    I out  $\longrightarrow$  gen-lossless-gpv (c input))
and co'  $\equiv$  co — We use a copy of co such that we can do case distinctions on co'
without the simplifier rewriting the co in the local abbreviations for the constants.
begin
```

```

lemma gen-lossless-gpv-mono: mono F
unfolding F-def
apply(rule monoI le-funI le-boolI')+
apply(tactic ‹REPEAT (resolve-tac @{context} (Inductive.get-monos @{context}) 1)›)
apply(erule le-funE)
apply(erule le-boolD)
done
```

```

definition gen-lossless-gpv :: ('a, 'out, 'in) gpv  $\Rightarrow$  bool
where gen-lossless-gpv = (if co' then gfp else lfp) F
```

```

lemma gen-lossless-gpv-unfold: gen-lossless-gpv = F gen-lossless-gpv
by(simp add: gen-lossless-gpv-def gfp-unfold[OF gen-lossless-gpv-mono, symmetric]
lfp-unfold[OF gen-lossless-gpv-mono, symmetric])
```

```

lemma gen-lossless-gpv-True: co' = True  $\implies$  gen-lossless-gpv  $\equiv$  gfp F
and gen-lossless-gpv-False: co' = False  $\implies$  gen-lossless-gpv  $\equiv$  lfp F
by(simp-all add: gen-lossless-gpv-def)
```

```

lemma gen-lossless-gpv-cases [elim?, cases pred]:
assumes gen-lossless-gpv gpv
obtains (gen-lossless-gpv) p where gpv = GPV p lossless-spmf p
```

```

 $\bigwedge \text{out } c \text{ input}. \llbracket \text{IO out } c \in \text{set-spmf } p; \text{input} \in \text{responses-}\mathcal{I} \mathcal{I} \text{ out} \rrbracket \implies \text{gen-lossless-gpv}$   

 $(c \text{ input})$ 
proof -
  from assms show ?thesis
    by(rewrite in asm gen-lossless-gpv-unfold)(auto simp add: F-def intro: that)
qed

lemma gen-lossless-gpvD:
  assumes gen-lossless-gpv gpv
  shows gen-lossless-gpv-lossless-spmfD: lossless-spmf (the-gpv gpv)
  and gen-lossless-gpv-continuationD:
   $\llbracket \text{IO out } c \in \text{set-spmf (the-gpv gpv)}; \text{input} \in \text{responses-}\mathcal{I} \mathcal{I} \text{ out} \rrbracket \implies \text{gen-lossless-gpv}$   

 $(c \text{ input})$ 
  using assms by(auto elim: gen-lossless-gpv-cases)

lemma gen-lossless-gpv-intros:
   $\llbracket \text{lossless-spmf } p;$ 
   $\bigwedge \text{out } c \text{ input}. \llbracket \text{IO out } c \in \text{set-spmf } p; \text{input} \in \text{responses-}\mathcal{I} \mathcal{I} \text{ out} \rrbracket \implies$ 
   $\text{gen-lossless-gpv (c input)}$ 
   $\implies \text{gen-lossless-gpv (GPV p)}$ 
  by(rewrite gen-lossless-gpv-unfold)(simp add: F-def)

lemma gen-lossless-gpvI [intro?]:
   $\llbracket \text{lossless-spmf (the-gpv gpv)};$ 
   $\bigwedge \text{out } c \text{ input}. \llbracket \text{IO out } c \in \text{set-spmf (the-gpv gpv)}; \text{input} \in \text{responses-}\mathcal{I} \mathcal{I} \text{ out} \rrbracket$ 
   $\implies \text{gen-lossless-gpv (c input)}$ 
   $\implies \text{gen-lossless-gpv gpv}$ 
  by(cases gpv)(auto intro: gen-lossless-gpv-intros)

lemma gen-lossless-gpv-simps:
   $\text{gen-lossless-gpv gpv} \longleftrightarrow$ 
   $(\exists p. \text{gpv} = \text{GPV } p \wedge \text{lossless-spmf } p \wedge (\forall \text{out } c \text{ input}.$ 
   $\text{IO out } c \in \text{set-spmf } p \longrightarrow \text{input} \in \text{responses-}\mathcal{I} \mathcal{I} \text{ out} \longrightarrow \text{gen-lossless-gpv}$   

 $(c \text{ input})))$ 
  by(rewrite gen-lossless-gpv-unfold)(simp add: F-def)

lemma gen-lossless-gpv-Done [iff]: gen-lossless-gpv (Done x)
by(rule gen-lossless-gpvI) auto

lemma gen-lossless-gpv-Fail [iff]:  $\neg \text{gen-lossless-gpv Fail}$ 
by(auto dest: gen-lossless-gpvD)

lemma gen-lossless-gpv-Pause [simp]:
   $\text{gen-lossless-gpv (Pause out } c) \longleftrightarrow (\forall \text{input} \in \text{responses-}\mathcal{I} \mathcal{I} \text{ out}. \text{gen-lossless-gpv}$   

 $(c \text{ input}))$ 
  by(auto dest: gen-lossless-gpvD intro: gen-lossless-gpvI)

lemma gen-lossless-gpv-lift-spmf [iff]: gen-lossless-gpv (lift-spmf p)  $\longleftrightarrow$  lossless-spmf  


p


```

```

by(auto dest: gen-lossless-gpvD intro: gen-lossless-gpvI)

end

lemma gen-lossless-gpv-assert-gpv [iff]: gen-lossless-gpv co I (assert-gpv b)  $\longleftrightarrow$  b
by(cases b) simp-all

abbreviation lossless-gpv :: ('out, 'in) I  $\Rightarrow$  ('a, 'out, 'in) gpv  $\Rightarrow$  bool
where lossless-gpv  $\equiv$  gen-lossless-gpv False

abbreviation colossless-gpv :: ('out, 'in) I  $\Rightarrow$  ('a, 'out, 'in) gpv  $\Rightarrow$  bool
where colossless-gpv  $\equiv$  gen-lossless-gpv True

lemma lossless-gpv-induct [consumes 1, case-names lossless-gpv, induct pred]:
assumes *: lossless-gpv I gpv
and step:  $\bigwedge p. \llbracket \text{lossless-spmf } p; \text{IO out } c \in \text{set-spmf } p; \text{input} \in \text{responses-}I \text{ } I \text{ out} \rrbracket \implies \text{lossless-gpv}$ 
I (c input);
 $\bigwedge out c input. \llbracket \text{IO out } c \in \text{set-spmf } p; \text{input} \in \text{responses-}I \text{ } I \text{ out} \rrbracket \implies P (c$ 
input)  $\implies P (\text{GPV } p)$ 
shows P gpv
proof -
have lossless-gpv I  $\leq$  P
by(rule def-lfp-induct[OF gen-lossless-gpv-False gen-lossless-gpv-mono])(auto
intro!: le-funI step)
then show ?thesis using * by auto
qed

lemma colossless-gpv-coinduct
[consumes 1, case-names colossless-gpv, case-conclusion colossless-gpv lossless-spmf
continuation, coinduct pred]:
assumes *: X gpv
and step:  $\bigwedge gpv. X gpv \implies \text{lossless-spmf } (\text{the-gpv } gpv) \wedge (\forall out c input.$ 
 $\text{IO out } c \in \text{set-spmf } (\text{the-gpv } gpv) \longrightarrow \text{input} \in \text{responses-}I \text{ } I \text{ out} \longrightarrow X (c$ 
input)  $\vee \text{colossless-gpv } I (c \text{ input}))$ 
shows colossless-gpv I gpv
proof -
have X  $\leq$  colossless-gpv I
by(rule def-coinduct[OF gen-lossless-gpv-True gen-lossless-gpv-mono])
(auto 4 4 intro!: le-funI dest!: step intro: exI[where x=the-gpv -])
then show ?thesis using * by auto
qed

lemmas lossless-gpvI = gen-lossless-gpvI[where co=False]
and lossless-gpvD = gen-lossless-gpvD[where co=False]
and lossless-gpv-lossless-spmfD = gen-lossless-gpv-lossless-spmfD[where co=False]
and lossless-gpv-continuationD = gen-lossless-gpv-continuationD[where co=False]

```

```

lemmas colossless-gpvI = gen-lossless-gpvI[where co=True]
  and colossless-gpvD = gen-lossless-gpvD[where co=True]
  and colossless-gpv-lossless-spmfD = gen-lossless-gpv-lossless-spmfD[where co=True]
  and colossless-gpv-continuationD = gen-lossless-gpv-continuationD[where co=True]

lemma gen-lossless-bind-gpvI:
  assumes gen-lossless-gpv co I gpv ∧ x ∈ results-gpv I gpv ⇒ gen-lossless-gpv
  co I (f x)
  shows gen-lossless-gpv co I (gpv ≈ f)
proof(cases co)
  case False
  hence eq: co = False by simp
  show ?thesis using assms unfolding eq
  proof(induction)
    case (lossless-gpv p)
    { fix x
      assume Pure x ∈ set-spmf p
      hence x ∈ results-gpv I (GPV p) by simp
      hence lossless-gpv I (f x) by(rule lossless-gpv.prews) }
    with ⟨lossless-spmf p⟩ show ?case unfolding GPV-bind
      apply(intro gen-lossless-gpv-intros)
      apply(fastforce dest: lossless-gpvD split: generat.split)
      apply(clarsimp; split generat.split-asm)
      apply(auto dest: lossless-gpvD intro!: lossless-gpv)
      done
    qed
  next
  case True
  hence eq: co = True by simp
  show ?thesis using assms unfolding eq
  proof(coinduction arbitrary: gpv rule: colossless-gpv-coinduct)
    case *[rule-format]: (colossless-gpv gpv)
    from *(1) have ?lossless-spmf
      by(auto 4 3 dest: colossless-gpv-lossless-spmfD elim!: is-PureE intro: *(2)[THEN
      colossless-gpv-lossless-spmfD] results-gpv.Pure)
    moreover have ?continuation
    proof(intro strip)
      fix out c input
      assume IO: IO out c ∈ set-spmf (the-gpv (gpv ≈ f))
      and input: input ∈ responses-I I out
      from IO obtain generat where generat: generat ∈ set-spmf (the-gpv gpv)
        and IO: IO out c ∈ set-spmf (if is-Pure generat then the-gpv (f (result
        generat)))
        else return-spmf (IO (output generat) (λinput. continuation generat
        input ≈ f)))
        by(auto)
      show (exists gpv. c input = gpv ≈ f ∧ colossless-gpv I gpv ∧ (∀x. x ∈ results-gpv
      I gpv → colossless-gpv I (f x))) ∨
        colossless-gpv I (c input)
    qed
  qed
qed

```

```

proof(cases generat)
  case (Pure x)
    hence  $x \in \text{results-gpv } \mathcal{I} \text{ gpv}$  using generat by(auto intro: results-gpv.Pure)
    from *(2)[OF this] have colossless-gpv  $\mathcal{I} (c \text{ input})$ 
      using IO Pure input by(auto intro: colossless-gpv-continuationD)
      thus ?thesis ..
  next
    case **: (IO out' c')
      with input generat IO have colossless-gpv  $\mathcal{I} (f x)$  if  $x \in \text{results-gpv } \mathcal{I} (c' \text{ input})$  for x
        using that by(auto intro: * results-gpv.IO)
        then show ?thesis using IO input ** *(1) generat by(auto dest: colossless-gpv-continuationD)
    qed
    qed
    ultimately show ?case ..
  qed
qed

lemmas lossless-bind-gpvI = gen-lossless-bind-gpvI[where co=False]
and colossless-bind-gpvI = gen-lossless-bind-gpvI[where co=True]

lemma gen-lossless-bind-gpvD1:
  assumes gen-lossless-gpv co  $\mathcal{I}$  (gpv  $\gg f$ )
  shows gen-lossless-gpv co  $\mathcal{I}$  gpv
proof(cases co)
  case False
  hence eq: co = False by simp
  show ?thesis using assms unfolding eq
  proof(induction gpv'≡gpv  $\gg f$  arbitrary: gpv)
    case (lossless-gpv p)
    obtain p' where gpv: gpv = GPV p' by(cases gpv)
    from lossless-gpv.hyps gpv have lossless-spmf p' by(simp add: GPV-bind)
    then show ?case unfolding gpv
    proof(rule gen-lossless-gpv-intros)
      fix out c input
      assume IO out c ∈ set-spmf p' input ∈ responses- $\mathcal{I}$   $\mathcal{I}$  out
      hence IO out ( $\lambda$ input. c input  $\gg f$ ) ∈ set-spmf p using lossless-gpv.hyps
    gpv
      by(auto simp add: GPV-bind intro: rev-bexI)
      thus lossless-gpv  $\mathcal{I} (c \text{ input})$  using <input ∈ -> by(rule lossless-gpv.hyps)
    simp
      qed
    qed
  next
  case True
  hence eq: co = True by simp
  show ?thesis using assms unfolding eq
  by(coinduction arbitrary: gpv)(auto 4 3 intro: rev-bexI elim!: colossless-gpv-continuationD)

```

```

dest: colossless-gpv-lossless-spmfD)
qed

lemmas lossless-bind-gpvD1 = gen-lossless-bind-gpvD1[where co=False]
and colossless-bind-gpvD1 = gen-lossless-bind-gpvD1[where co=True]

lemma gen-lossless-bind-gpvD2:
assumes gen-lossless-gpv co I (gpv ≈ f)
and x ∈ results-gpv I gpv
shows gen-lossless-gpv co I (f x)
using assms(2,1)
proof(induction)
case (Pure gpv)
thus ?case
by -(rule gen-lossless-gpvI, auto 4 4 dest: gen-lossless-gpvD intro: rev-bexI)
qed(auto 4 4 dest: gen-lossless-gpvD intro: rev-bexI)

lemmas lossless-bind-gpvD2 = gen-lossless-bind-gpvD2[where co=False]
and colossless-bind-gpvD2 = gen-lossless-bind-gpvD2[where co=True]

lemma gen-lossless-bind-gpv [simp]:
gen-lossless-gpv co I (gpv ≈ f) ←→ gen-lossless-gpv co I gpv ∧ (∀ x ∈ results-gpv
I gpv. gen-lossless-gpv co I (f x))
by(blast intro: gen-lossless-bind-gpvI dest: gen-lossless-bind-gpvD1 gen-lossless-bind-gpvD2)

lemmas lossless-bind-gpv = gen-lossless-bind-gpv[where co=False]
and colossless-bind-gpv = gen-lossless-bind-gpv[where co=True]

context includes lifting-syntax begin

lemma rel-gpv"-lossless-gpvD1:
assumes rel: rel-gpv" A C R gpv gpv'
and gpv: lossless-gpv I gpv
and [transfer-rule]: rel-I C R I I'
shows lossless-gpv I' gpv'
using gpv rel
proof(induction arbitrary: gpv')
case (lossless-gpv p)
from lossless-gpv.preds obtain q where q: gpv' = GPV q
and [transfer-rule]: rel-spmf (rel-generat A C (R ==> rel-gpv" A C R)) p q
by(cases gpv') auto
show ?case
proof(rule lossless-gpvI)
have lossless-spmf p = lossless-spmf q by transfer-prover
with lossless-gpv.hyps(1) q show lossless-spmf (the-gpv gpv') by simp

fix out' c' input'
assume IO': IO out' c' ∈ set-spmf (the-gpv gpv')
and input': input' ∈ responses-I I' out'

```

```

have rel-set (rel-generat A C (R ==> rel-gpv'' A C R)) (set-spmf p) (set-spmf
q)
  by transfer-prover
with IO' q obtain out c where IO: IO out c ∈ set-spmf p
  and [transfer-rule]: C out out' (R ==> rel-gpv'' A C R) c c'
    by(auto dest!: rel-setD2 elim: generat.rel-cases)
have rel-set R (responses-Ι Ι out) (responses-Ι Ι' out') by transfer-prover
moreover
from this[THEN rel-setD2, OF input'] obtain input
  where [transfer-rule]: R input input' and input: input ∈ responses-Ι Ι out
by blast
have rel-gpv'' A C R (c input) (c' input') by transfer-prover
ultimately show lossless-gpv Ι' (c' input') using input IO by(auto intro:
lossless-gpv.IH)
qed
qed

lemma rel-gpv''-lossless-gpvD2:
  [rel-gpv'' A C R gpv gpv'; lossless-gpv Ι' gpv'; rel-Ι C R Ι Ι' ]
  ==> lossless-gpv Ι gpv
using rel-gpv''-lossless-gpvD1[of A-1-1 C-1-1 R-1-1 gpv' gpv Ι' Ι]
by(simp add: rel-gpv''-conversep prod.rel-conversep rel-fun-eq-conversep)

lemma rel-gpv-lossless-gpvD1:
  [rel-gpv A C gpv gpv'; lossless-gpv Ι gpv; rel-Ι C (=) Ι Ι' ] ==> lossless-gpv Ι'
gpv'
using rel-gpv''-lossless-gpvD1[of A C (=) gpv gpv' Ι Ι'] by(simp add: rel-gpv-conv-rel-gpv'')

lemma rel-gpv-lossless-gpvD2:
  [rel-gpv A C gpv gpv'; lossless-gpv Ι' gpv'; rel-Ι C (=) Ι Ι' ]
  ==> lossless-gpv Ι gpv
using rel-gpv-lossless-gpvD1[of A-1-1 C-1-1 gpv' gpv Ι' Ι]
by(simp add: gpv.rel-conversep prod.rel-conversep rel-fun-eq-conversep)

lemma rel-gpv''-colossless-gpvD1:
assumes rel: rel-gpv'' A C R gpv gpv'
and gpv: colossless-gpv Ι gpv
and [transfer-rule]: rel-Ι C R Ι Ι'
shows colossless-gpv Ι' gpv'
using gpv rel
proof(coinduction arbitrary: gpv gpv')
  case (colossless-gpv gpv gpv')
  note [transfer-rule] = <rel-gpv'' A C R gpv gpv'> the-gpv-parametric'
  and co = <colossless-gpv Ι gpv>
have lossless-spmf (the-gpv gpv) = lossless-spmf (the-gpv gpv') by transfer-prover
with co have ?lossless-spmf by(auto dest: colossless-gpv-lossless-spmfD)
moreover have ?continuation
proof(intro strip disjI1)
  fix out' c' input'

```

```

assume IO': IO out' c' ∈ set-spmf (the-gpv gpv')
and input': input' ∈ responses- $\mathcal{I}$   $\mathcal{I}'$  out'
have rel-set (rel-generat A C (R ==> rel-gpv'' A C R)) (set-spmf (the-gpv
gpv)) (set-spmf (the-gpv gpv'))
by transfer-prover
with IO' obtain out c where IO: IO out c ∈ set-spmf (the-gpv gpv)
and [transfer-rule]: C out out' (R ==> rel-gpv'' A C R) c c'
by (auto dest!: rel-setD2 elim: generat.rel-cases)
have rel-set R (responses- $\mathcal{I}$   $\mathcal{I}$  out) (responses- $\mathcal{I}$   $\mathcal{I}'$  out') by transfer-prover
moreover
from this[THEN rel-setD2, OF input'] obtain input
where [transfer-rule]: R input input' and input: input ∈ responses- $\mathcal{I}$   $\mathcal{I}$  out
by blast
have rel-gpv'' A C R (c input) (c' input') by transfer-prover
ultimately show ∃ gpv gpv'. c' input' = gpv' ∧ colossless-gpv  $\mathcal{I}$  gpv ∧ rel-gpv''  

A C R gpv gpv'  

using input IO co by(auto dest: colossless-gpv-continuationD)
qed
ultimately show ?case ..
qed

lemma rel-gpv''-colossless-gpvD2:
 $\llbracket \text{rel-gpv}'' A C R gpv gpv'; \text{colossless-gpv } \mathcal{I}' gpv'; \text{rel-}\mathcal{I} C R \mathcal{I} \mathcal{I}' \rrbracket$   

 $\implies \text{colossless-gpv } \mathcal{I} gpv$ 
using rel-gpv''-colossless-gpvD1[of A-1-1 C-1-1 R-1-1 gpv' gpv  $\mathcal{I}'$   $\mathcal{I}$ ]
by(simp add: rel-gpv''-conversep prod.rel-conversep rel-fun-eq-conversep)

lemma rel-gpv-colossless-gpvD1:
 $\llbracket \text{rel-gpv } A C gpv gpv'; \text{colossless-gpv } \mathcal{I} gpv; \text{rel-}\mathcal{I} C (=) \mathcal{I} \mathcal{I}' \rrbracket \implies \text{colossless-gpv}$   

 $\mathcal{I}' gpv'$ 
using rel-gpv''-colossless-gpvD1[of A C (=) gpv gpv'  $\mathcal{I}$   $\mathcal{I}'$ ] by(simp add: rel-gpv-conv-rel-gpv'')
lemma rel-gpv-colossless-gpvD2:
 $\llbracket \text{rel-gpv } A C gpv gpv'; \text{colossless-gpv } \mathcal{I}' gpv'; \text{rel-}\mathcal{I} C (=) \mathcal{I} \mathcal{I}' \rrbracket$   

 $\implies \text{colossless-gpv } \mathcal{I} gpv$ 
using rel-gpv-colossless-gpvD1[of A-1-1 C-1-1 gpv' gpv  $\mathcal{I}'$   $\mathcal{I}$ ]
by(simp add: gpv.rel-conversep prod.rel-conversep rel-fun-eq-conversep)

lemma gen-lossless-gpv-parametric':
 $((=) ==> \text{rel-}\mathcal{I} C R ==> \text{rel-gpv}'' A C R ==> (=))$ 
gen-lossless-gpv gen-lossless-gpv
proof(rule rel-funI; hypsubst)
show (rel- $\mathcal{I}$  C R ==> rel-gpv'' A C R ==> (=)) (gen-lossless-gpv b) (gen-lossless-gpv
b) for b
by (cases b)(auto intro!: rel-funI dest: rel-gpv''-colossless-gpvD1 rel-gpv''-colossless-gpvD2  

rel-gpv''-lossless-gpvD1 rel-gpv''-lossless-gpvD2)
qed

lemma gen-lossless-gpv-parametric [transfer-rule]:

```

```

((=) ==> rel- $\mathcal{I}$  C (=) ==> rel-gpv A C ==> (=))
gen-lossless-gpv gen-lossless-gpv
proof(rule rel-funI; hypsubst)
  show (rel- $\mathcal{I}$  C (=) ==> rel-gpv A C ==> (=)) (gen-lossless-gpv b) (gen-lossless-gpv
b) for b
    by(cases b)(auto intro!: rel-funI dest: rel-gpv-colossless-gpvD1 rel-gpv-colossless-gpvD2
rel-gpv-lossless-gpvD1 rel-gpv-lossless-gpvD2)
qed

end

lemma gen-lossless-gpv-map-full [simp]:
gen-lossless-gpv b  $\mathcal{I}$ -full (map-gpv f g gpv) = gen-lossless-gpv b  $\mathcal{I}$ -full gpv
(is ?lhs = ?rhs)
proof(cases b = True)
  case True
  show ?lhs = ?rhs
  proof
    show ?rhs if ?lhs using that unfolding True
    by(coinduction arbitrary: gpv)(auto 4 3 dest: colossless-gpvD simp add:
gpv.map-sel intro!: rev-image-eqI)
    show ?lhs if ?rhs using that unfolding True
    by(coinduction arbitrary: gpv)(auto 4 4 dest: colossless-gpvD simp add:
gpv.map-sel intro!: rev-image-eqI)
  qed
next
  case False
  hence False: b = False by simp
  show ?lhs = ?rhs
  proof
    show ?rhs if ?lhs using that unfolding False
    apply(induction gpv'≡map-gpv f g gpv arbitrary: gpv)
    subgoal for p gpv by(cases gpv)(rule lossless-gpvI; fastforce intro: rev-image-eqI)
      done
    show ?lhs if ?rhs using that unfolding False
      by induction(auto 4 4 intro: lossless-gpvI)
  qed
qed

lemma gen-lossless-gpv-map-id [simp]:
gen-lossless-gpv b  $\mathcal{I}$  (map-gpv f id gpv) = gen-lossless-gpv b  $\mathcal{I}$  gpv
using gen-lossless-gpv-parametric[of BNF-Def.Grp UNIV id BNF-Def.Grp UNIV
f] unfolding gpv.rel-Grp
by(simp add: rel-fun-def eq-alt[symmetric] rel- $\mathcal{I}$ -eq)(auto simp add: Grp-def)

lemma results-gpv-try-gpv [simp]:
results-gpv  $\mathcal{I}$  (TRY gpv ELSE gpv') =
results-gpv  $\mathcal{I}$  gpv ∪ (if colossless-gpv  $\mathcal{I}$  gpv then {} else results-gpv  $\mathcal{I}$  gpv')
(is ?lhs = ?rhs)

```

```

proof(intro set-eqI iffI)
  show  $x \in ?rhs$  if  $x \in ?lhs$  for  $x$  using that
    proof(induction  $gpv'' \equiv try-gpv gpv gpv'$  arbitrary:  $gpv$ )
      case Pure thus ?case
        by(auto split: if-split-asm intro: results-gpv.Pure dest: colossless-gpv-lossless-spmfD)
    next
      case (IO out c input)
      then show ?case
        apply(auto dest: colossless-gpv-lossless-spmfD split: if-split-asm)
        apply(force intro: results-gpv.IO dest: colossless-gpv-continuationD split:
if-split-asm)++
        done
      qed
    next
      fix  $x$ 
      assume  $x \in ?rhs$ 
      then consider (left)  $x \in results-gpv \mathcal{I} gpv$  | (right)  $\neg colossless-gpv \mathcal{I} gpv x \in$ 
       $results-gpv \mathcal{I} gpv'$ 
        by(auto split: if-split-asm)
        thus  $x \in ?lhs$ 
        proof cases
          case left
          thus ?thesis
            by(induction)(auto 4 4 intro: results-gpv.intros rev-image-eqI split del: if-split)
        next
          case right
          from right(1) show ?thesis
          proof(rule contrapos-np)
            assume  $x \notin ?lhs$ 
            with right(2) show colossless-gpv  $\mathcal{I} gpv$ 
            proof(coinduction arbitrary:  $gpv$ )
              case (colossless-gpv  $gpv$ )
              then have ?lossless-spmf
                apply(rewrite in asm try-gpv.code)
                apply(rule ccontr)
                apply(erule results-gpv.cases)
                apply(fastforce simp add: image-Un image-image generat.map-comp o-def)++
                done
              moreover have ?continuation using colossless-gpv
                by(auto 4 4 split del: if-split simp add: image-Un image-image generat.map-comp o-def intro: rev-image-eqI results-gpv.IO)
                ultimately show ?case ..
            qed
            qed
            qed
          qed

lemma results'-gpv-try-gpv [simp]:
  results'-gpv (TRY  $gpv$  ELSE  $gpv'$ ) =

```

```

  results'-gpv gpv  $\cup$  (if colossless-gpv  $\mathcal{I}$ -full gpv then {} else results'-gpv gpv')
by(simp add: results-gpv- $\mathcal{I}$ -full[symmetric])

lemma outs'-gpv-try-gpv [simp]:
  outs'-gpv (TRY gpv ELSE gpv') =
  outs'-gpv gpv  $\cup$  (if colossless-gpv  $\mathcal{I}$ -full gpv then {} else outs'-gpv gpv')
  (is ?lhs = ?rhs)
proof(intro set-eqI iffI)
  show x  $\in$  ?rhs if x  $\in$  ?lhs for x using that
  proof(induction gpv'' $\equiv$ try-gpv gpv gpv' arbitrary: gpv)
    case Out thus ?case
      by(auto 4 3 simp add: generat.map-comp o-def elim!: generat.set-cases(2)
      intro: outs'-gpv-Out split: if-split-asm dest: colossless-gpv-lossless-spmfD)
    next
    case (Cont generat c input)
    then show ?case
      apply(auto dest: colossless-gpv-lossless-spmfD split: if-split-asm elim!: generat.set-cases(3))
      apply(auto 4 3 dest: colossless-gpv-continuationD split: if-split-asm intro:
      outs'-gpv-Cont elim!: meta-allE meta-impE[OF - refl])+
      done
    qed
  next
  fix x
  assume x  $\in$  ?rhs
  then consider (left) x  $\in$  outs'-gpv gpv | (right)  $\neg$  colossless-gpv  $\mathcal{I}$ -full gpv x  $\in$ 
  outs'-gpv gpv'
  by(auto split: if-split-asm)
  thus x  $\in$  ?lhs
  proof cases
    case left
    thus ?thesis
      by(induction)(auto elim!: generat.set-cases(2,3) intro: outs'-gpvI intro!: rev-image-eqI
      split del: if-split simp add: image-Un image-image generat.map-comp o-def)
    next
    case right
    from right(1) show ?thesis
    proof(rule contrapos-np)
      assume x  $\notin$  ?lhs
      with right(2) show colossless-gpv  $\mathcal{I}$ -full gpv
      proof(coinduction arbitrary: gpv)
        case (colossless-gpv gpv)
        then have ?lossless-spmf
          apply(rewrite in asm try-gpv.code)
          apply(erule contrapos-np)
          apply(erule gpv.set-cases)
        apply(auto 4 3 simp add: image-Un image-image generat.map-comp o-def
        generat.set-map in-set-spmf[symmetric] bind-UNION generat.map-id[unfolded id-def]
        elim!: generat.set-cases)
      
```

```

done
moreover have ?continuation using colossless-gpv
  by(auto simp add: image-Un image-image generat.map-comp o-def split
del: if-split intro!: rev-image-eqI intro: outs'-gpv-Cont)
  ultimately show ?case ..
qed
qed
qed
qed

lemma pred-gpv-try [simp]:
  pred-gpv P Q (try-gpv gpv gpv') = (pred-gpv P Q gpv ∧ (¬ colossless-gpv I-full
gpv → pred-gpv P Q gpv'))
by(auto simp add: pred-gpv-def)

lemma lossless-WT-gpv-induct [consumes 2, case-names lossless-gpv]:
assumes lossless: lossless-gpv I gpv
and WT: I ⊢g gpv √
and step: ∀p. [
  lossless-spmf p;
  ∀out c. IO out c ∈ set-spmf p ⇒ out ∈ outs-I I;
  ∀out c input. [IO out c ∈ set-spmf p; out ∈ outs-I I ⇒ input ∈ responses-I
I out] ⇒ lossless-gpv I (c input);
  ∀out c input. [IO out c ∈ set-spmf p; out ∈ outs-I I ⇒ input ∈ responses-I
I out] ⇒ I ⊢g c input √;
  ∀out c input. [IO out c ∈ set-spmf p; out ∈ outs-I I ⇒ input ∈ responses-I
I out] ⇒ P (c input)]
  ⇒ P (GPV p)
shows P gpv
using lossless WT
apply(induction)
apply(erule step)
apply(auto elim: WT-gpvD simp add: WT-gpv-simps)
done

lemma lossless-gpv-induct-strong [consumes 1, case-names lossless-gpv]:
assumes gpv: lossless-gpv I gpv
and step:
  ∀p. [
    lossless-spmf p;
    ∀gpv. gpv ∈ sub-gpvs I (GPV p) ⇒ lossless-gpv I gpv;
    ∀gpv. gpv ∈ sub-gpvs I (GPV p) ⇒ P gpv ]
  ⇒ P (GPV p)
shows P gpv
proof –
  define gpv' where gpv' = gpv
  then have gpv' ∈ insert gpv (sub-gpvs I gpv) by simp
  with gpv have lossless-gpv I gpv' ∧ P gpv'
  proof(induction arbitrary: gpv')
    case (lossless-gpv p)

```

```

from <gpv' ∈ insert (GPV p) → show ?case
proof(rule insertE)
  assume gpv' = GPV p
  moreover have lossless-gpv I (GPV p)
    by(auto 4 3 intro: lossless-gpvI lossless-gpv.hyps)
  moreover have P (GPV p) using lossless-gpv.hyps(1)
    by(rule step)(fastforce elim: sub-gpvs.cases lossless-gpv.IH[THEN conjunct1]
lossless-gpv.IH[THEN conjunct2])+  

  ultimately show ?case by simp
  qed(fastforce elim: sub-gpvs.cases lossless-gpv.IH[THEN conjunct1] lossless-gpv.IH[THEN
conjunct2])
  qed
  thus ?thesis by(simp add: gpv'-def)
qed

lemma lossless-sub-gpvsI:
  assumes spmf: lossless-spmf (the-gpv gpv)
  and sub:  $\bigwedge$ gpv'. gpv' ∈ sub-gpvs I gpv  $\implies$  lossless-gpv I gpv'
  shows lossless-gpv I gpv
  using spmf by(rule lossless-gpvI)(rule sub[OF sub-gpvs.base])

lemma lossless-sub-gpvsD:
  assumes lossless-gpv I gpv gpv' ∈ sub-gpvs I gpv
  shows lossless-gpv I gpv'
  using assms(2,1) by(induction)(auto dest: lossless-gpvD)

lemma lossless-WT-gpv-induct-strong [consumes 2, case-names lossless-gpv]:
  assumes lossless: lossless-gpv I gpv
  and WT: I ⊢ g gpv √
  and step:  $\bigwedge$ p. [ lossless-spmf p;
     $\bigwedge$ out c. IO out c ∈ set-spmf p  $\implies$  out ∈ outs-I I;
     $\bigwedge$ gpv. gpv ∈ sub-gpvs I (GPV p)  $\implies$  lossless-gpv I gpv;
     $\bigwedge$ gpv. gpv ∈ sub-gpvs I (GPV p)  $\implies$  I ⊢ g gpv √;
     $\bigwedge$ gpv. gpv ∈ sub-gpvs I (GPV p)  $\implies$  P gpv ]
     $\implies$  P (GPV p)
  shows P gpv
  using lossless WT
  apply(induction rule: lossless-gpv-induct-strong)
  apply(erule step)
  apply(auto elim: WT-gpvD dest: WT-sub-gpvsD)
  done

lemma try-gpv-gen-lossless: — TODO: generalise to arbitrary typings ?
  gen-lossless-gpv b I-full gpv  $\implies$  (TRY gpv ELSE gpv') = gpv
  proof(coinduction arbitrary: gpv)
    case (Eq-gpv gpv)
    from Eq-gpv[THEN gen-lossless-gpv-lossless-spmfD]
    have eq: the-gpv gpv = (TRY the-gpv gpv ELSE the-gpv gpv') by(simp)
    show ?case

```

```

by(subst eq)(auto simp add: spmf-rel-map generat.rel-map[abs-def] intro!: rel-spmf-try-spmf
rel-spmf-reflI rel-generat-reflI elim!: generat.set-cases gen-lossless-gpv-continuationD[OF
Eq-gpv] simp add: Eq-gpv[THEN gen-lossless-gpv-lossless-spmfD])
qed

```

— We instantiate the parameter b such that it can be used as a conditional simp rule.

```

lemmas try-gpv-lossless [simp] = try-gpv-gen-lossless[where b=False]
and try-gpv-colossal [simp] = try-gpv-gen-lossless[where b=True]

```

```

lemma try-gpv-bind-gen-lossless: — TODO: generalise to arbitrary typings?
gen-lossless-gpv b I-full gpv  $\implies$  TRY bind-gpv gpv f ELSE gpv' = bind-gpv gpv
( $\lambda x$ . TRY f x ELSE gpv')
proof(coinduction arbitrary: gpv rule: gpv.coinduct-strong)
case (Eq-gpv gpv)
note [simp] = spmf-rel-map generat.rel-map map-spmf-bind-spmf
and [intro!] = rel-spmf-reflI rel-generat-reflI rel-funI
show ?case using gen-lossless-gpvD[OF Eq-gpv]
by(auto 4 3 simp del: bind-gpv-sel' simp add: bind-gpv.sel try-spmf-bind-spmf-lossless
split: generat.split intro!: rel-spmf-bind-reflI rel-spmf-try-spmf)
qed

```

— We instantiate the parameter b such that it can be used as a conditional simp rule.

```

lemmas try-gpv-bind-lossless = try-gpv-bind-gen-lossless[where b=False]
and try-gpv-bind-colossal = try-gpv-bind-gen-lossless[where b=True]

```

```

lemma try-gpv-cong:
 $\llbracket gpv = gpv''; \neg \text{colossal-gpv } I\text{-full } gpv'' \implies gpv' = gpv''' \rrbracket$ 
 $\implies$  try-gpv gpv gpv' = try-gpv gpv'' gpv'''
by(cases colossal-gpv I-full gpv'') simp-all

```

```

context fixes B :: 'b  $\Rightarrow$  'c set and x :: 'a begin

```

```

primcorec mk-lossless-gpv :: ('a, 'b, 'c) gpv  $\Rightarrow$  ('a, 'b, 'c) gpv where
the-gpv (mk-lossless-gpv gpv) =
map-spmf ( $\lambda$ generat. case generat of Pure x  $\Rightarrow$  Pure x
| IO out c  $\Rightarrow$  IO out ( $\lambda$ input. if input  $\in$  B out then mk-lossless-gpv (c input)
else Done x))
(the-gpv gpv)

```

```

end

```

```

lemma WT-gpv-mk-lossless-gpv:
assumes I  $\vdash$  g gpv  $\checkmark$ 
and outs: outs-I I' = outs-I I
shows I'  $\vdash$  g mk-lossless-gpv (responses-I I) x gpv  $\checkmark$ 

```

```

using assms(1)
by(coinduction arbitrary: gpv)(auto 4 3 split: generat.split-asm simp add: outs
dest: WT-gpvD)

```

4.15 Sequencing with failure handling included

```

definition catch-gpv :: ('a, 'out, 'in) gpv  $\Rightarrow$  ('a option, 'out, 'in) gpv
where catch-gpv gpv = TRY map-gpv Some id gpv ELSE Done None

```

```

lemma catch-gpv-Done [simp]: catch-gpv (Done x) = Done (Some x)
by(simp add: catch-gpv-def)

```

```

lemma catch-gpv-Fail [simp]: catch-gpv Fail = Done None
by(simp add: catch-gpv-def)

```

```

lemma catch-gpv-Pause [simp]: catch-gpv (Pause out rpv) = Pause out ( $\lambda$ input.
catch-gpv (rpv input))
by(simp add: catch-gpv-def)

```

```

lemma catch-gpv-lift-spmf [simp]: catch-gpv (lift-spmf p) = lift-spmf (spmf-of-pmf
p)
by(rule gpv.expand)(auto simp add: catch-gpv-def spmf-of-pmf-def map-lift-spmf
try-spmf-def o-def map-pmf-def bind-assoc-pmf bind-return-pmf intro!: bind-pmf-cong[OF
refl] split: option.split)

```

```

lemma catch-gpv-assert [simp]: catch-gpv (assert-gpv b) = Done (assert-option b)
by(cases b) simp-all

```

```

lemma catch-gpv-sel [simp]:
the-gpv (catch-gpv gpv) =
TRY map-spmf (map-generat Some id ( $\lambda$ rpv input. catch-gpv (rpv input)))
(the-gpv gpv)
ELSE return-spmf (Pure None)
by(simp add: catch-gpv-def gpv.map-sel spmf.map-comp o-def generat.map-comp
map-try-spmf id-def)

```

```

lemma catch-gpv-bind-gpv: catch-gpv (bind-gpv gpv f) = bind-gpv (catch-gpv gpv)
( $\lambda$ x. case x of None  $\Rightarrow$  Done None | Some x'  $\Rightarrow$  catch-gpv (f x'))
using [[show-variants]]
apply(coinduction arbitrary: gpv rule: gpv.coinduct-strong)
apply(clarify simp add: map-bind-pmf bind-gpv.sel spmf.map-comp o-def[abs-def]
map-bind-spmf generat.map-comp simp del: bind-gpvsel')
apply(subst bind-spmf-def)
apply(subst try-spmf-bind-pmf)
apply(subst (2) try-spmf-def)
apply(subst bind-spmf-pmf-assoc)
apply(simp add: bind-map-pmf)
apply(rule rel-pmf-bind-reflI)
apply(auto split!: option.split generat.split simp add: spmf-rel-map spmf.map-comp)

```

```

o-def generat.map-comp id-def[symmetric] generat.map-id rel-spmf-refI generat.rel-refI
refl rel-fun-def)
done

context includes lifting-syntax begin
lemma catch-gpv-parametric [transfer-rule]:
  (rel-gpv A C ==> rel-gpv (rel-option A) C) catch-gpv catch-gpv
  unfolding catch-gpv-def by transfer-prover

lemma catch-gpv-parametric':
  notes [transfer-rule] = try-gpv-parametric' map-gpv-parametric' Done-parametric'
  shows (rel-gpv'' A C R ==> rel-gpv'' (rel-option A) C R) catch-gpv catch-gpv
  unfolding catch-gpv-def by transfer-prover
end

lemma catch-gpv-map': catch-gpv (map-gpv' f g h gpv) = map-gpv' (map-option f) g h (catch-gpv gpv)
  by(simp add: catch-gpv-def map'-try-gpv map-gpv-conv-map-gpv' map-gpv'-comp
o-def)

lemma catch-gpv-map: catch-gpv (map-gpv f g gpv) = map-gpv (map-option f) g
  (catch-gpv gpv)
  by(simp add: map-gpv-conv-map-gpv' catch-gpv-map')

lemma colossless-gpv-catch-gpv [simp]: colossless-gpv I-full (catch-gpv gpv)
by(coinduction arbitrary: gpv) auto

lemma colossless-gpv-catch-gpv-conv-map:
  colossless-gpv I-full gpv ==> catch-gpv gpv = map-gpv Some id gpv
  apply(coinduction arbitrary: gpv)
  apply(frule colossless-gpv-lossless-spmfD)
  apply(auto simp add: spmf-rel-map gpv.map-sel generat.rel-map intro!: rel-spmf-refI
generat.rel-refl-strong rel-funI elim!: colossless-gpv-continuationD generat.set-cases)
done

lemma catch-gpv-catch-gpv [simp]: catch-gpv (catch-gpv gpv) = map-gpv Some id
  (catch-gpv gpv)
  by(simp add: colossless-gpv-catch-gpv-conv-map)

lemma case-map-resumption:
  case-resumption done pause (map-resumption f g r) =
  case-resumption (done o map-option f) (λout c. pause (g out)) (map-resumption
f g o c)) r
  by(cases r) simp-all

lemma catch-gpv-lift-resumption [simp]: catch-gpv (lift-resumption r) = lift-resumption
  (map-resumption Some id r)
  apply(coinduction arbitrary: r)
  apply(auto simp add: lift-resumption.sel case-map-resumption split: resump-

```

```

tion.split option.split)
oops

lemma results-gpv-catch-gpv:
  results-gpv I (catch-gpv gpv) = Some ` results-gpv I gpv ∪ (if colossless-gpv I
gpv then {} else {None})
  by(simp add: catch-gpv-def)

lemma Some-in-results-gpv-catch-gpv [simp]:
  Some x ∈ results-gpv I (catch-gpv gpv) ↔ x ∈ results-gpv I gpv
  by(auto simp add: results-gpv-catch-gpv)

lemma None-in-results-gpv-catch-gpv [simp]:
  None ∈ results-gpv I (catch-gpv gpv) ↔ ¬ colossless-gpv I gpv
  by(auto simp add: results-gpv-catch-gpv)

lemma results'-gpv-catch-gpv:
  results'-gpv (catch-gpv gpv) = Some ` results'-gpv gpv ∪ (if colossless-gpv I-full
gpv then {} else {None})
  by(simp add: results-gpv-I-full[symmetric] results-gpv-catch-gpv)

lemma Some-in-results'-gpv-catch-gpv [simp]:
  Some x ∈ results'-gpv (catch-gpv gpv) ↔ x ∈ results'-gpv gpv
  by(simp add: results-gpv-I-full[symmetric])

lemma None-in-results'-gpv-catch-gpv [simp]:
  None ∈ results'-gpv (catch-gpv gpv) ↔ ¬ colossless-gpv I-full gpv
  by(simp add: results-gpv-I-full[symmetric])

lemma results'-gpv-catch-gpvE:
  assumes x ∈ results'-gpv (catch-gpv gpv)
  obtains (Some) x'
  where x = Some x' x' ∈ results'-gpv gpv
    | (colossless) x = None ¬ colossless-gpv I-full gpv
  using assms by(auto simp add: results'-gpv-catch-gpv split: if-split-asm)

lemma outs'-gpv-catch-gpv [simp]: outs'-gpv (catch-gpv gpv) = outs'-gpv gpv
  by(simp add: catch-gpv-def)

lemma pred-gpv-catch-gpv [simp]: pred-gpv (pred-option P) Q (catch-gpv gpv) =
pred-gpv P Q gpv
  by(simp add: pred-gpv-def results'-gpv-catch-gpv)

abbreviation bind-gpv' :: ('a, 'call, 'ret) gpv ⇒ ('a option ⇒ ('b, 'call, 'ret) gpv)
  ⇒ ('b, 'call, 'ret) gpv
  where bind-gpv' gpv ≡ bind-gpv (catch-gpv gpv)

```

```

lemma bind-gpv'-assoc [simp]: bind-gpv' (bind-gpv' gpv f) g = bind-gpv' gpv ( $\lambda x.$ 
bind-gpv' (f x) g)
by(simp add: catch-gpv-bind-gpv bind-map-gpv o-def bind-gpv-assoc)

lemma bind-gpv'-bind-gpv: bind-gpv' (bind-gpv gpv f) g = bind-gpv' gpv (case-option
(g None) ( $\lambda y.$  bind-gpv' (f y) g))
by(clar simp simp add: catch-gpv-bind-gpv bind-gpv-assoc intro!: bind-gpv-cong[OF
refl] split: option.split)

lemma bind-gpv'-cong:

$$\llbracket \text{gpv} = \text{gpv}'; \bigwedge x. x \in \text{Some} \cdot \text{results}'\text{-gpv} \text{ gpv}' \vee (\neg \text{colossal}\text{-gpv } \mathcal{I}\text{-full gpv} \wedge x = \text{None}) \implies f x = f' x \rrbracket$$


$$\implies \text{bind-gpv}' \text{ gpv} f = \text{bind-gpv}' \text{ gpv}' f'$$

by(auto elim: results'-gpv-catch-gpvE split: if-split-asm intro!: bind-gpv-cong[OF
refl])

lemma bind-gpv'-cong2:

$$\llbracket \text{gpv} = \text{gpv}'; \bigwedge x. x \in \text{results}'\text{-gpv} \text{ gpv}' \implies f (\text{Some} x) = f' (\text{Some} x); \neg \text{colossal}\text{-gpv } \mathcal{I}\text{-full gpv} \implies f \text{ None} = f' \text{ None} \rrbracket$$


$$\implies \text{bind-gpv}' \text{ gpv} f = \text{bind-gpv}' \text{ gpv}' f'$$

by(rule bind-gpv'-cong) auto

```

4.16 Inlining

```

lemma gpv-coinduct-bind [consumes 1, case-names Eq-gpv]:
  fixes gpv gpv' :: ('a, 'call, 'ret) gpv
  assumes *: R gpv gpv'
  and step:  $\bigwedge \text{gpv gpv}' . R \text{ gpv gpv}'$ 
     $\implies \text{rel-spmf} (\text{rel-generat} (=) (=) (\text{rel-fun} (=) (\lambda \text{gpv gpv}'. R \text{ gpv gpv}' \vee \text{gpv} = \text{gpv}' \vee$ 
     $(\exists \text{gpv2} :: ('b, 'call, 'ret) gpv. \exists \text{gpv2}' :: ('c, 'call, 'ret) gpv. \exists f f'. \text{gpv} = \text{bind-gpv gpv2} f \wedge \text{gpv}' = \text{bind-gpv gpv2}' f' \wedge$ 
     $\text{rel-gpv} (\lambda x y. R (f x) (f' y)) (=) \text{gpv2 gpv2}'))))$ 
    (the-gpv gpv) (the-gpv gpv')
  shows gpv = gpv'
  proof -
    fix x y
    define gpv1 :: ('b, 'call, 'ret) gpv
      and f :: 'b  $\Rightarrow$  ('a, 'call, 'ret) gpv
      and gpv1' :: ('c, 'call, 'ret) gpv
      and f' :: 'c  $\Rightarrow$  ('a, 'call, 'ret) gpv
    where gpv1 = Done x
      and f = ( $\lambda$ - gpv)
      and gpv1' = Done y
      and f' = ( $\lambda$ - gpv')
    from * have rel-gpv ( $\lambda x y. R (f x) (f' y)) (=) \text{gpv1 gpv1}'$ 
      by(simp add: gpv1-def gpv1'-def f-def f'-def)
    then have gpv1  $\gg$  f = gpv1'  $\gg$  f'
    proof(coinduction arbitrary: gpv1 gpv1' ff' rule: gpv.coinduct-strong)

```

```

case (Eq-gpv gpv1 gpv1' f f')
from Eq-gpv[simplified gpv.rel-sel] show ?case unfolding bind-gpv.sel spmf-rel-map
  apply(rule rel-spmf-bindI)
  subgoal for generat generat'
  apply(cases generat generat' rule: generat.exhaust[case-product generat.exhaust];
clar simp simp add: o-def spmf-rel-map generat.rel-map)
  subgoal premises Pure for x y
  using step[OF ‹R (f x) (f' y)›] apply –
  apply(assumption | rule rel-spmf-mono rel-generat-mono rel-fun-mono
refl)+
  apply(fastforce intro: exI[where x=Done -])+  

  done
  subgoal by(fastforce simp add: rel-fun-def)
  done
  done
qed
thus ?thesis by(simp add: gpv1-def gpv1'-def f-def f'-def)
qed

```

Inlining one gpv into another. This may throw out arbitrarily many interactions between the two gpvs if the inlined one does not call its callee. So we define it as the coiteration of a least-fixpoint search operator.

```

context
  fixes callee :: 's ⇒ 'call ⇒ ('ret × 's, 'call', 'ret') gpv
  notes [[function-internals]]
begin

partial-function (spmf) inline1
  :: ('a, 'call, 'ret) gpv ⇒ 's
  ⇒ ('a × 's + 'call' × ('ret × 's, 'call', 'ret') rpv × ('a, 'call, 'ret) rpv) spmf
where
  inline1 gpv s =
    the-gpv gpv ≫=
    case-generat (λx. return-spmf (Inl (x, s)))
    (λout rpv. the-gpv (callee s out) ≫=
      case-generat (λ(x, y). inline1 (rpv x y)
        (λout rpv'. return-spmf (Inr (out, rpv', rpv)))))

lemma inline1-unfold:
  inline1 gpv s =
  the-gpv gpv ≫=
  case-generat (λx. return-spmf (Inl (x, s)))
  (λout rpv. the-gpv (callee s out) ≫=
    case-generat (λ(x, y). inline1 (rpv x y)
      (λout rpv'. return-spmf (Inr (out, rpv', rpv)))))

by(fact inline1.simps)

lemma inline1-fixp-induct [case-names adm bottom step]:
  assumes ccpo.admissible (fun-lub lub-spmf) (fun-ord (ord-spmf (=))) (λinline1'.

```

```

 $P (\lambda gpv s. \text{inline1}' (gpv, s)))$ 
and  $P (\lambda \cdot \cdot \cdot \text{return-pmf} \text{None})$ 
and  $\wedge \text{inline1}'. P \text{ inline1}' \implies P (\lambda gpv s. \text{the-gpv gpv} \gg case\text{-generat} (\lambda x.$ 
 $\text{return-spmf} (\text{Inl} (x, s))) (\lambda \text{out rpv. the-gpv (callee s out)} \gg case\text{-generat} (\lambda (x,$ 
 $y). \text{inline1}' (rpv x) y) (\lambda \text{out rpv'}. \text{return-spmf} (\text{Inr} (\text{out}, rpv', rpv))))))$ 
shows  $P \text{ inline1}$ 
using assms by(rule inline1.fixp-induct[unfolded curry-conv[abs-def]])
lemma inline1-fixp-induct-strong [case-names adm bottom step]:
assumes ccpo.admissible (fun-lub lub-spmf) (fun-ord (ord-spmf (=))) ( $\lambda \text{inline1}'.$ 
 $P (\lambda gpv s. \text{inline1}' (gpv, s)))$ 
and  $P (\lambda \cdot \cdot \cdot \text{return-pmf} \text{None})$ 
and  $\wedge \text{inline1}'. [\wedge gpv s. \text{ord-spmf} (=) (\text{inline1}' gpv s) (\text{inline1 gpv s}); P \text{ inline1}'$ 
 $]$ 
 $\implies P (\lambda gpv s. \text{the-gpv gpv} \gg case\text{-generat} (\lambda x. \text{return-spmf} (\text{Inl} (x, s))) (\lambda \text{out rpv. the-gpv (callee s out)} \gg case\text{-generat} (\lambda (x, y). \text{inline1}' (rpv x) y) (\lambda \text{out rpv'}. \text{return-spmf} (\text{Inr} (\text{out}, rpv', rpv))))))$ 
shows  $P \text{ inline1}$ 
using assms by(rule spmf.fixp-strong-induct-uc[where P=λf. P (curry f) and U=case-prod and C=curry, OF inline1.mono inline1-def, simplified curry-case-prod, simplified curry-conv[abs-def] fun-ord-def split-paired-All prod.case case-prod-eta, OF refl]) blast+

lemma inline1-fixp-induct-strong2 [case-names adm bottom step]:
assumes ccpo.admissible (fun-lub lub-spmf) (fun-ord (ord-spmf (=))) ( $\lambda \text{inline1}'.$ 
 $P (\lambda gpv s. \text{inline1}' (gpv, s)))$ 
and  $P (\lambda \cdot \cdot \cdot \text{return-pmf} \text{None})$ 
and  $\wedge \text{inline1}'.$ 
 $[\wedge gpv s. \text{ord-spmf} (=) (\text{inline1}' gpv s) (\text{inline1 gpv s});$ 
 $\wedge gpv s. \text{ord-spmf} (=) (\text{inline1}' gpv s) (\text{the-gpv gpv} \gg case\text{-generat} (\lambda x.$ 
 $\text{return-spmf} (\text{Inl} (x, s))) (\lambda \text{out rpv. the-gpv (callee s out)} \gg case\text{-generat} (\lambda (x,$ 
 $y). \text{inline1}' (rpv x) y) (\lambda \text{out rpv'}. \text{return-spmf} (\text{Inr} (\text{out}, rpv', rpv)))));$ 
 $P \text{ inline1}' ]$ 
 $\implies P (\lambda gpv s. \text{the-gpv gpv} \gg case\text{-generat} (\lambda x. \text{return-spmf} (\text{Inl} (x, s))) (\lambda \text{out rpv. the-gpv (callee s out)} \gg case\text{-generat} (\lambda (x, y). \text{inline1}' (rpv x) y) (\lambda \text{out rpv'}. \text{return-spmf} (\text{Inr} (\text{out}, rpv', rpv))))))$ 
shows  $P \text{ inline1}$ 
using assms
by(rule spmf.fixp-induct-strong2-uc[where P=λf. P (curry f) and U=case-prod and C=curry, OF inline1.mono inline1-def, simplified curry-case-prod, simplified curry-conv[abs-def] fun-ord-def split-paired-All prod.case case-prod-eta, OF refl]) blast+

```

Iterate *local.inline1* over all interactions. We'd like to use (\gg) before the recursive call, but primcorec does not support this. So we emulate (\gg) by effectively defining two mutually recursive functions (sum type in the argument) where the second is exactly (\gg) specialised to call *inline* in the bind.

primcorec *inline-aux*

```

:: ('a, 'call, 'ret) gpv × 's + ('ret ⇒ ('a, 'call, 'ret) gpv) × ('ret × 's, 'call', 'ret')
gpv
⇒ ('a × 's, 'call', 'ret') gpv
where
  ⋀ state. the-gpv (inline-aux state) =
  (case state of Inl (c, s) ⇒ map-spmf (λresult.
    case result of Inl (x, s) ⇒ Pure (x, s)
    | Inr (out, oracle, rpv) ⇒ IO out (λinput. inline-aux (Inr (rpv, oracle input))))
  (inline1 c s)
  | Inr (rpv, c) ⇒
    map-spmf (λresult.
      case result of Inl (Inl (x, s)) ⇒ Pure (x, s)
      | Inl (Inr (out, oracle, rpv)) ⇒ IO out (λinput. inline-aux (Inr (rpv, oracle
      input)))
      | Inr (out, c) ⇒ IO out (λinput. inline-aux (Inr (rpv, c input))))
      (bind-spmf (the-gpv c) (λgenerat. case generat of Pure (x, s') ⇒ (map-spmf Inl
      (inline1 (rpv x) s'))
      | IO out c ⇒ return-spmf (Inr (out, c)))
    ))
declare inline-aux.simps[simp del]

definition inline :: ('a, 'call, 'ret) gpv ⇒ 's ⇒ ('a × 's, 'call', 'ret') gpv
where inline c s = inline-aux (Inl (c, s))

lemma inline-aux-Inr:
  inline-aux (Inr (rpv, oracl)) = bind-gpv oracl (λ(x, s). inline (rpv x) s)
unfolding inline-def
apply(coinduction arbitrary: oracl rule: gpv.coinduct-strong)
apply(simp add: inline-aux.sel bind-gpv.sel spmf-rel-map del: bind-gpv-del')
apply(rule rel-spmf-bindI[where R=(=)])
apply(auto simp add: spmf-rel-map inline-aux.sel rel-spmf-reflI generat.rel-map
generat.rel-refl rel-fun-def split: generat.split)
done

lemma inline-sel:
  the-gpv (inline c s) =
  map-spmf (λresult. case result of Inl xs ⇒ Pure xs
  | Inr (out, oracle, rpv) ⇒ IO out (λinput. bind-gpv (oracle
  input) (λ(x, s'). inline (rpv x) s')) (inline1 c s))
by(simp add: inline-def inline-aux.sel inline-aux-Inr cong del: sum.case-cong)

lemma inline1-Fail [simp]: inline1 Fail s = return-pmf None
by(rewrite inline1.simps) simp

lemma inline-Fail [simp]: inline Fail s = Fail
by(rule gpv.expand)(simp add: inline-sel)

lemma inline1-Done [simp]: inline1 (Done x) s = return-spmf (Inl (x, s))

```

```

by(rewrite inline1.simps) simp

lemma inline-Done [simp]: inline (Done x) s = Done (x, s)
by(rule gpv.expand)(simp add: inline-sel)

lemma inline1-lift-spmf [simp]: inline1 (lift-spmf p) s = map-spmf (λx. Inl (x, s)) p
by(rewrite inline1.simps)(simp add: bind-map-spmf o-def map-spmf-conv-bind-spmf)

lemma inline-lift-spmf [simp]: inline (lift-spmf p) s = lift-spmf (map-spmf (λx. (x, s)) p)
by(rule gpv.expand)(simp add: inline-sel spmf.map-comp o-def)

lemma inline1-Pause:
  inline1 (Pause out c) s =
    the-gpv (callee s out) ≈ (λreact. case react of Pure (x, s') ⇒ inline1 (c x) s' | IO out' c' ⇒ return-spmf (Inr (out', c', c)))
by(rewrite inline1.simps) simp

lemma inline-Pause [simp]:
  inline (Pause out c) s = callee s out ≈ (λ(x, s'). inline (c x) s')
by(rule gpv.expand)(auto simp add: inline-sel inline1-Pause map-spmf-bind-spmf
bind-gpv.sel o-def[abs-def] spmf.map-comp generat.map-comp id-def generat.map-id[unfolded
id-def] simp del: bind-gpvsel' intro!: bind-spmf-cong[OF refl] split: generat.split)

lemma inline1-bind-gpv:
  fixes gpv f s
  defines [simp]: inline11 ≡ inline1 and [simp]: inline12 ≡ inline1 and [simp]:
  inline13 ≡ inline1
  shows inline11 (bind-gpv gpv f) s = bind-spmf (inline12 gpv s)
    (λres. case res of Inl (x, s') ⇒ inline13 (f x) s' | Inr (out, rpv', rpv) ⇒
      return-spmf (Inr (out, rpv', bind-rpv rpv f)))
    (is ?lhs = ?rhs)
  proof(rule spmf.leq-antisym)
    note [intro!] = ord-spmf-bind-reflI and [split] = generat.split
    show ord-spmf (=) ?lhs ?rhs unfolding inline11-def
    proof(induction arbitrary: gpv s f rule: inline1-fixp-induct)
      case adm show ?case by simp
      case bottom show ?case by simp
      case (step inline1')
        show ?case unfolding inline12-def
        apply(rewrite inline1.simps; clarsimp simp add: bind-rpv-def)
        apply(rule conjI;clarsimp)
        subgoal premises Pure for x
          apply(rewrite inline1.simps;clarsimp)
          subgoal for out c ret s' using step.IH[of Done x λ-. c ret s'] by simp
            done
          subgoal for out c ret s' using step.IH[of c ret f s'] by(simp cong del:
            sum.case-cong-weak)
    qed
  qed
qed

```

```

done
qed
show ord-spmf (=) ?rhs ?lhs unfolding inline12-def
proof(induction arbitrary: gpv s rule: inline1-fixp-induct)
  case adm show ?case by simp
  case bottom show ?case by simp
  case (step inline1')
    show ?case unfolding inline11-def
    apply(rewrite inline1.simps; clarsimp simp add: bind-rpv-def)
    apply(rule conjI;clarsimp)
    subgoal by(rewrite inline1.simps; simp)
      subgoal for out c ret s' using step.IH[of c ret s'] by(simp cong del:
sum.case-cong-weak)
      done
    qed
  qed

lemma inline-bind-gpv [simp]:
  inline(bind-gpv gpv f) s = bind-gpv (inline gpv s) ( $\lambda(x, s'). \text{inline}(f x) s'$ )
  apply(coinduction arbitrary: gpv s rule: gpv-coinduct-bind)
  apply(clarsimp simp add: map-spmf-bind-spmf o-def[abs-def] bind-gpv.sel inline-sel
bind-map-spmf inline1-bind-gpv simp del: bind-gpvsel' intro!: rel-spmf-bind-reflI
split: generat.split)
  apply(rule conjI)
  subgoal by(auto split: sum.split-asm simp add: spmf-rel-map spmf.map-comp
o-def generat.map-comp generat.map-id[unfolded id-def] spmf.map-id[unfolded id-def]
inline-sel intro!: rel-spmf-reflI generat.rel-refl fun.refl)
  by(auto split: sum.split-asm simp add: bind-gpv-assoc split-def intro!: gpv.rel-refl
exI disjI2 rel-funI)

end

lemma set-inline1-lift-spmf1: set-spmf (inline1 ( $\lambda s x. \text{lift-spmf}(p s x)$ ) gpv s)  $\subseteq$ 
range Inl
apply(induction arbitrary: gpv s rule: inline1-fixp-induct)
subgoal by(rule cont-intro ccpo-class.admissible-leI)+
apply(auto simp add: o-def bind-UNION split: generat.split-asm)+
done

lemma in-set-inline1-lift-spmf1: y  $\in$  set-spmf (inline1 ( $\lambda s x. \text{lift-spmf}(p s x)$ ) gpv s)  $\implies$   $\exists r s'. y = \text{Inl}(r, s')$ 
by(drule set-inline1-lift-spmf1[THEN subsetD]) auto

lemma inline-lift-spmf1:
  fixes p defines callee  $\equiv \lambda s c. \text{lift-spmf}(p s c)$ 
  shows inline callee gpv s = lift-spmf (map-spmf projl (inline1 callee gpv s))
  by(rule gpv.expand)(auto simp add: inline-sel spmf.map-comp callee-def intro!
map-spmf-cong[OF refl] dest: in-set-inline1-lift-spmf1)

```

```

context includes lifting-syntax begin
lemma inline1-parametric':
   $((S \implies C \implies rel-gpv''(rel-prod R S) C' R') \implies rel-gpv'' A C R \implies S \implies rel-spmf(rel-sum(rel-prod A S)(rel-prod C'(rel-prod(R' \implies rel-gpv''(rel-prod R S) C' R')))))$ 
  inline1 inline1
  (is (- \implies ?R) - -)
proof(rule rel-funI)
  note [transfer-rule] = the-gpv-parametric'
  show ?R (inline1 callee) (inline1 callee')
    if [transfer-rule]:  $(S \implies C \implies rel-gpv''(rel-prod R S) C' R') \text{ callee callee'}$ 
    for callee callee'
    unfolding inline1-def
    by(unfold rel-fun-curried case-prod-curried)(rule fixp-spmf-parametric[OF inline1.mono inline1.mono]; transfer-prover)
qed

lemma inline1-parametric [transfer-rule]:
   $((S \implies C \implies rel-gpv(rel-prod(=) S) C') \implies rel-gpv A C \implies S \implies rel-spmf(rel-sum(rel-prod A S)(rel-prod C'(rel-prod(rel-rpv(rel-prod(=) S) C'))(rel-rpv A C))))$ 
  inline1 inline1
unfolding rel-gpv-conv-rel-gpv'' by(rule inline1-parametric')

lemma inline-parametric':
  notes [transfer-rule] = inline1-parametric' the-gpv-parametric' corec-gpv-parametric'
  shows  $((S \implies C \implies rel-gpv''(rel-prod R S) C' R') \implies rel-gpv'' A C R \implies S \implies rel-gpv''(rel-prod A S) C' R')$ 
  inline inline
unfolding inline-def[abs-def] inline-aux-def

apply(rule rel-funI)+
subgoal premises [transfer-rule] by transfer-prover
done

lemma inline-parametric [transfer-rule]:
   $((S \implies C \implies rel-gpv(rel-prod(=) S) C') \implies rel-gpv A C \implies S \implies rel-gpv(rel-prod A S) C')$ 
  inline inline
unfolding rel-gpv-conv-rel-gpv'' by(rule inline-parametric')
end

Associativity rule for inline

context
  fixes callee1 :: 's1  $\Rightarrow$  'c1  $\Rightarrow$  ('r1  $\times$  's1, 'c, 'r) gpv
  and callee2 :: 's2  $\Rightarrow$  'c2  $\Rightarrow$  ('r2  $\times$  's2, 'c1, 'r1) gpv
begin

```

```

partial-function (spmf) inline2 :: ('a, 'c2, 'r2) gpv  $\Rightarrow$  's2  $\Rightarrow$  's1
 $\Rightarrow$  ('a  $\times$  ('s2  $\times$  's1) + 'c  $\times$  ('r1  $\times$  's1, 'c, 'r) rpv  $\times$  ('r2  $\times$  's2, 'c1, 'r1) rpv  $\times$ 
('a, 'c2, 'r2) rpv) spmf
where
  inline2 gpv s2 s1 =
    bind-spmf (the-gpv gpv)
    (case-generat ( $\lambda$ x. return-spmf (Inl (x, s2, s1)))
     ( $\lambda$ out rpv. bind-spmf (inline1 callee1 (callee2 s2 out) s1)
      (case-sum ( $\lambda$ ((r2, s2), s1). inline2 (rpv r2) s2 s1)
       ( $\lambda$ (x, rpv'', rpv'). return-spmf (Inr (x, rpv'', rpv', rpv))))))

lemma inline2-fixp-induct [case-names adm bottom step]:
  assumes ccpo.admissible (fun-lub lub-spmf) (fun-ord (ord-spmf (=))) ( $\lambda$ inline2.
  P ( $\lambda$ gpv s2 s1. inline2 ((gpv, s2), s1)))
  and P ( $\lambda$ -. -. . return-pmf None)
  and  $\bigwedge$  inline2'. P inline2'  $\Rightarrow$ 
    P ( $\lambda$ gpv s2 s1. bind-spmf (the-gpv gpv) ( $\lambda$ generat. case generat of
      Pure x  $\Rightarrow$  return-spmf (Inl (x, s2, s1))
      | IO out rpv  $\Rightarrow$  bind-spmf (inline1 callee1 (callee2 s2 out) s1) ( $\lambda$ lr. case lr
      of
        Inl ((r2, s2), c)  $\Rightarrow$  inline2' (rpv r2) s2 c
        | Inr (x, rpv'', rpv')  $\Rightarrow$  return-spmf (Inr (x, rpv'', rpv', rpv))))))
  shows P inline2
  using assms unfolding split-def by(rule inline2.fixp-induct[unfolded curry-conv[abs-def]
  split-def])

lemma inline1-inline-conv-inline2:
  fixes gpv' :: ('r2  $\times$  's2, 'c1, 'r1) gpv
  shows inline1 callee1 (inline callee2 gpv s2) s1 =
  map-spmf (map-sum ( $\lambda$ (x, (s2, s1)). ((x, s2), s1))
   ( $\lambda$ (x, rpv'', rpv', rpv). (x, rpv'',  $\lambda$ r1. rpv' r1  $\ggg$  ( $\lambda$ (r2, s2). inline callee2 (rpv
   r2) s2))))
  (inline2 gpv s2 s1)
  (is ?lhs = ?rhs)
  proof(rule spmf.leq-antisym)
  define inline1-1 :: ('s1  $\Rightarrow$  'c1  $\Rightarrow$  ('r1  $\times$  's1, 'c, 'r) gpv)  $\Rightarrow$  ('r2  $\times$  's2, 'c1, 'r1)
  gpv  $\Rightarrow$  's1  $\Rightarrow$  -
  where inline1-1 = inline1
  have ord-spmf (=) ?lhs ?rhs
  — We need in the inductive step that the approximation behaves well with ( $\ggg$ )
  because of inline-aux-Inr. So we have to thread it through the induction and do
  one half of the proof from inline1-bind-gpv again. We cannot inline inline1-bind-gpv
  in this proof here because the types are too specific.
  and ord-spmf (=) (inline1 callee1 (gpv'  $\ggg$  f) s1')
  (do {
  res  $\leftarrow$  inline1-1 callee1 gpv' s1';
  case res of Inl (x, s')  $\Rightarrow$  inline1 callee1 (f x) s'
  | Inr (out, rpv', rpv)  $\Rightarrow$  return-spmf (Inr (out, rpv', rpv  $\ggg$  f))
```

```

}) for gpv' and f :: - ⇒ ('a × 's2, 'c1, 'r1) gpv and s1'
proof(induction arbitrary: gpv s2 s1 gpv' f s1' rule: inline1-fixp-induct-strong2)
  case adm thus ?case
    apply(rule cont-intro)+
    subgoal for a b c d by(cases d; clarsimp)
    done

    case (step inline1')
    note step-IH = step.IH[unfolded inline1-1-def] and step-hyps = step.hyps[unfolded
    inline1-1-def]
    { case 1
      have inline1: ord-spmf (=)
        (inline1 callee2 gpv s2 ≈ (λlr. case lr of Inl as2 ⇒ return-spmf (Inl (as2,
        s1)))
         | Inr (out1, rpv', rpv) ⇒ the-gpv (callee1 s1 out1) ≈ (λgenerat. case
        generat of
          Pure (r1, s1) ⇒ inline1' (bind-gpv (rpv' r1) (λ(r2, s2). inline calle2
          (rpv r2) s2)) s1
          | IO out rpv'' ⇒ return-spmf (Inr (out, rpv'', λr1. bind-gpv (rpv' r1)
          (λ(r2, s2). inline calle2 (rpv r2) s2)))))
          (the-gpv gpv ≈ (λgenerat. case generat of Pure x ⇒ return-spmf (Inl ((x,
          s2), s1)))
          | IO out2 rpv ⇒ inline1-1 calle1 (callee2 s2 out2) s1 ≈ (λlr. case lr of
          Inl ((r2, s2), s1) ⇒
            map-spmf (map-sum (λ(x, s2, s1). ((x, s2), s1)) (λ(x, rpv'', rpv',
            rpv). (x, rpv'', λr1. bind-gpv (rpv' r1) (λ(r2, s2). inline calle2 (rpv r2) s2))))
            (inline2 (rpv r2) s2 s1)
            | Inr (out, rpv'', rpv') ⇒
              return-spmf (Inr (out, rpv'', λr1. bind-gpv (rpv' r1) (λ(r2, s2).
              inline calle2 (rpv r2) s2))))))
          proof(induction arbitrary: gpv s2 s1 rule: inline1-fixp-induct)
            case step2: (step inline1')
            note step2-IH = step2.IH[unfolded inline1-1-def]

            show ?case unfolding inline1-1-def
              apply(rewrite in ord-spmf -- □ inline1.simps)
              apply(clarsimp intro!: ord-spmf-bind-reflI split: generat.split)
              apply(rule conjI)
              subgoal by(rewrite in ord-spmf -- □ inline2.simps)(clarsimp simp add:
              map-spmf-bind-spmf o-def split: generat.split sum.split intro!: ord-spmf-bind-reflI
              spmf.leq-trans[OF step2-IH])
              subgoal by(clarsimp intro!: ord-spmf-bind-reflI step-IH[THEN spmf.leq-trans]
              split: generat.split sum.split simp add: bind-rpv-def)
                done
            qed simp-all
            show ?case
              apply(rewrite in ord-spmf -- - inline-sel)
              apply(rewrite in ord-spmf -- □ inline2.simps)
              apply(clarsimp simp add: map-spmf-bind-spmf bind-map-spmf o-def intro!:

```

```

ord-spmf-bind-reflI split: generat.split)
  apply(rule spmf.leq-trans[OF spmf.leq-trans, OF - inline1])
  apply(auto intro!: ord-spmf-bind-reflI split: sum.split generat.split simp add:
  inline1-1-def map-spmf-bind-spmf)
    done }
{ case 2
  show ?case unfolding inline1-1-def
  by(rewrite inline1.simps)(auto simp del: bind-gpvsel' simp add: bind-gpv.sel
  map-spmf-bind-spmf bind-map-spmf o-def bind-rpv-def intro!: ord-spmf-bind-reflI
  step-IH(2)[THEN spmf.leq-trans] step-hyps(2) split: generat.split sum.split) }
  qed simp-all
  thus ord-spmf (=) ?lhs ?rhs by -
    show ord-spmf (=) ?rhs ?lhs
    proof(induction arbitrary: gpv s2 s1 rule: inline2-fixp-induct)
      case adm show ?case by simp
      case bottom show ?case by simp
      case (step inline2')
        show ?case
        apply(rewrite in ord-spmf -- □ inline1.simps)
        apply(rewrite inline-sel)
        apply(rewrite in ord-spmf - □ - inline1.simps)
        apply(rewrite in ord-spmf -- □ inline1.simps)
        apply(clarsimp simp add: map-spmf-bind-spmf bind-map-spmf intro!: ord-spmf-bind-reflI
        split: generat.split)
        apply(rule conjI)
        subgoal
          applyclarsimp
          apply(rule step.IH[THEN spmf.leq-trans])
          apply(rewrite in ord-spmf - □ - inline1.simps)
          apply(rewrite inline-sel)
          apply(simp add: bind-map-spmf)
          done
        subgoal by(clarsimp intro!: ord-spmf-bind-reflI split: generat.split sum.split
        simp add: o-def inline1-bind-gpv bind-rpv-def step.IH)
          done
        qed
      qed
    lemma inline1-inline-conv-inline2':
      inline1 (λ(s2, s1) c2. map-gpv (λ((r, s2), s1). (r, s2, s1)) id (inline callee1
      (callee2 s2 c2) s1)) gpv (s2, s1) =
      map-spmf (map-sum id (λ(x, rpv'', rpv', rpv). (x, λr. bind-gpv (rpv'' r)
      (λ(r1, s1). map-gpv (λ((r2, s2), s1). (r2, s2, s1)) id (inline callee1 (rpv'
      r1) s1)), rpv)))
      (inline2 gpv s2 s1)
      (is ?lhs = ?rhs)
    proof(rule spmf.leq-antisym)
      show ord-spmf (=) ?lhs ?rhs

```

```

proof(induction arbitrary: gpv s2 s1 rule: inline1-fixp-induct)
  case (step inline1') show ?case
    by(rewrite inline2.simps)(auto simp add: map-spmf-bind-spmf o-def inline-sel
gpv.map-sel bind-map-spmf id-def[symmetric] gpv.map-id map-gpv-bind-gpv split-def
intro!: ord-spmf-bind-reflI step.IH[THEN spmf.leq-trans] split: generat.split sum.split)
  qed simp-all
  show ord-spmf (=) ?rhs ?lhs
proof(induction arbitrary: gpv s2 s1 rule: inline2-fixp-induct)
  case (step inline2')
  show ?case
    apply(rewrite in ord-spmf - - ⊐ inline1.simps)
    apply(clarsimp simp add: map-spmf-bind-spmf bind-rpv-def o-def gpv.map-sel
bind-map-spmf inline-sel map-gpv-bind-gpv id-def[symmetric] gpv.map-id split-def
split: generat.split sum.split intro!: ord-spmf-bind-reflI)
    apply(rule spmf.leq-trans[OF spmf.leq-trans, OF - step.IH])
    apply(auto simp add: split-def id-def[symmetric] intro!: ord-spmf-reflI)
    done
  qed simp-all
qed

lemma inline-assoc:
  inline callee1 (inline callee2 gpv s2) s1 =
    map-gpv (λ(r, s2, s1). ((r, s2), s1)) id (inline (λ(s2, s1) c2. map-gpv (λ((r,
s2), s1). (r, s2), s1)) id (inline callee1 (callee2 s2 c2) s1)) gpv (s2, s1))
  proof(coinduction arbitrary: s2 s1 gpv rule: gpv-coinduct-bind[where ?'b = ('r2
× 's2) × 's1 and ?'c = ('r2 × 's2) × 's1])
    case (Eq-gpv s2 s1 gpv)
    have ∃ gpv2 gpv2' (f :: ('r2 × 's2) × 's1 ⇒ -) (f' :: ('r2 × 's2) × 's1 ⇒ -).
      bind-gpv (bind-gpv (rpv'' r) (λ(r1, s1). inline callee1 (rpv' r1) s1)) (λ((r2,
s2), s1). inline callee1 (inline callee2 (rpv r2) s2) s1) = gpv2 ≈= f ∧
      bind-gpv (bind-gpv (rpv'' r) (λ(r1, s1). inline callee1 (rpv' r1) s1)) (λ((r2,
s2), s1). map-gpv (λ(r, s2, y). ((r, s2), y)) id (inline (λ(s2, s1) c2. map-gpv
(λ((r, s2), s1). (r, s2), s1)) id (inline callee1 (callee2 s2 c2) s1)) (rpv r2) (s2,
s1))) = gpv2' ≈= f' ∧
      rel-gpv (λx y. ∃ s2 s1 gpv. f x = inline callee1 (inline callee2 gpv s2) s1 ∧
        f' y = map-gpv (λ(r, s2, y). ((r, s2), y)) id (inline (λ(s2, s1) c2.
map-gpv (λ((r, s2), s1). (r, s2), s1)) id (inline callee1 (callee2 s2 c2) s1)) gpv (s2,
s1)))
    (=) gpv2 gpv2'
    for rpv'' :: ('r1 × 's1, 'c, 'r) rpv and rpv' :: ('r2 × 's2, 'c1, 'r1) rpv and rpv
:: ('a, 'c2, 'r2) rpv and r :: 'r
      by(auto intro!: exI gpv.rel-refl)
  then show ?case
    apply(subst inline-sel)
    apply(subst gpv.map-sel)
    apply(subst inline-sel)
    apply(subst inline1-inline-conv-inline2)
    apply(subst inline1-inline-conv-inline2')
    apply(unfold spmf.map-comp o-def case-sum-map-sum spmf-rel-map generat.rel-map)

```

```

apply(rule rel-spmf-refI)
  subgoal for lr by(cases lr)(auto del: disjCI intro!: rel-funI disjI2 simp add:
split-def map-gpv-conv-bind[folded id-def] bind-gpv-assoc)
  done
qed

end

lemma set-inline2-lift-spmf1: set-spmf (inline2 ( $\lambda s x.$  lift-spmf (p s x)) callee gpv
s s')  $\subseteq$  range Inl
apply(induction arbitrary: gpv s s' rule: inline2-fixp-induct)
subgoal by(rule cont-intro ccpo-class.admissible-leI) +
apply(auto simp add: o-def bind-UNION split: generat.split-asm sum.split-asm
dest!: in-set-inline1-lift-spmf1)
apply blast
done

lemma in-set-inline2-lift-spmf1: y  $\in$  set-spmf (inline2 ( $\lambda s x.$  lift-spmf (p s x)) callee gpv
s s')  $\Rightarrow \exists r s s'. y = \text{Inl } (r, s, s')$ 
by(drule set-inline2-lift-spmf1[THEN subsetD]) auto

context
  fixes consider' :: 'call  $\Rightarrow$  bool
  and consider :: 'call'  $\Rightarrow$  bool
  and callee :: 's  $\Rightarrow$  'call  $\Rightarrow$  ('ret  $\times$  's, 'call', 'ret') gpv
  notes [[function-internals]]
begin

private partial-function (spmf) inline1'
  :: ('a, 'call, 'ret) gpv  $\Rightarrow$  's
   $\Rightarrow$  ('a  $\times$  's + 'call  $\times$  'call'  $\times$  ('ret  $\times$  's, 'call', 'ret') rpv  $\times$  ('a, 'call, 'ret) rpv)
  spmf
where
  inline1' gpv s =
    the-gpv gpv  $\gg$ =
    case-generat ( $\lambda x.$  return-spmf (Inl (x, s)))
    ( $\lambda out rpv.$  the-gpv (callee s out))  $\gg$ =
    case-generat ( $\lambda (x, y).$  inline1' (rpv x) y)
    ( $\lambda out' rpv'.$  return-spmf (Inr (out, out', rpv', rpv)))))

private lemma inline1'-fixp-induct [case-names adm bottom step]:
  assumes ccpo.admissible (fun-lub lub-spmf) (fun-ord (ord-spmf (=))) ( $\lambda inline1'.$ 
P ( $\lambda gpv s.$  inline1' (gpv, s)))
  and P ( $\lambda -.$  return-spmf None)
  and  $\bigwedge$  inline1'. P inline1'  $\Rightarrow$  P ( $\lambda gpv s.$  the-gpv gpv  $\gg$ = case-generat ( $\lambda x.$ 
return-spmf (Inl (x, s))) ( $\lambda out rpv.$  the-gpv (callee s out))  $\gg$ = case-generat ( $\lambda (x,$ 
y). inline1' (rpv x) y) ( $\lambda out' rpv'.$  return-spmf (Inr (out, out', rpv', rpv))))))
  shows P inline1'
using assms by(rule inline1'.fixp-induct[unfolded curry-conv[abs-def]])

```

```

private lemma inline1-conv-inline1': inline1 callee gpv s = map-spmf (map-sum
id snd) (inline1' gpv s)
proof(induction arbitrary: gpv s rule: parallel-fixp-induct-2-2[OF partial-function-definitions-spmf
partial-function-definitions-spmf inline1.mono inline1'.mono inline1-def inline1'-def,
unfolded lub-spmf-empty, case-names adm bottom step])
  case adm show ?case by simp
  case bottom show ?case by simp
  case (step inline1 inline1')
  thus ?case by(clar simp simp add: map-spmf-bind-spmf o-def intro!: bind-spmf-cong[OF
refl] split: generat.split)
qed

context
  fixes q :: enat
  assumes q:  $\bigwedge s x. \text{consider}' x \implies \text{interaction-bound consider} (\text{callee } s x) \leq q$ 
  and ignore:  $\bigwedge s x. \neg \text{consider}' x \implies \text{interaction-bound consider} (\text{callee } s x) = 0$ 
begin

private lemma interaction-bound-inline1'-aux:
  interaction-bound consider' gpv  $\leq p$ 
   $\implies \text{set-spmf} (\text{inline1}' gpv s) \subseteq \{\text{Inr} (\text{out}', \text{out}, c', \text{rpv}) \mid \text{out}' \text{out } c' \text{ rpv.}$ 
    if consider' out'
      then ( $\forall \text{input}. (\text{if consider out then eSuc (interaction-bound consider (c' input))} \leq q) \wedge$ 
       $\forall x. \text{eSuc (interaction-bound consider' (rpv x))} \leq p$ )
      else  $\neg \text{consider out} \wedge (\forall \text{input}. \text{interaction-bound consider} (\text{c' input}) = 0) \wedge$ 
       $(\forall x. \text{interaction-bound consider' (rpv x)} \leq p)$ 
    Union range Inl
  proof(induction arbitrary: gpv s rule: inline1'-fixp-induct)
    { case adm show ?case by(rule cont-intro ccpo-class.admissible-leI)+ }
    { case bottom show ?case by simp }
    case (step inline1')
    have *: interaction-bound consider' (c input)  $\leq p$  if IO out c  $\in$  set-spmf (the-gpv
gpv) for out c input
      by(cases consider' out)(auto intro: interaction-bound-IO-consider[OF that,
THEN order-trans, THEN order-trans[OF ile-eSuc]] interaction-bound-IO-ignore[OF
that, THEN order-trans] step.prem)
    have **: if consider' out'
      then ( $\forall \text{input}. (\text{if consider out then eSuc (interaction-bound consider (c input))} \leq q) \wedge$ 
 $\forall x. \text{eSuc (interaction-bound consider' (rpv x))} \leq p$ )
      else  $\neg \text{consider out} \wedge (\forall \text{input}. \text{interaction-bound consider} (\text{c input}) = 0) \wedge$ 
       $(\forall x. \text{interaction-bound consider' (rpv x)} \leq p)$ 
      if IO out' rpv  $\in$  set-spmf (the-gpv gpv) IO out c  $\in$  set-spmf (the-gpv (callee s
out'))
        for out' rpv out c
        proof(cases consider' out')
          case True

```

```

then show ?thesis using that q
  by(auto split del: if-split intro!: interaction-bound-IO[THEN order-trans] in-
interaction-bound-IO-consider[THEN order-trans] step.preds)
next
  case False
  have  $\neg$  consider out interaction-bound consider (c input) = 0 for input
    using interaction-bound-IO[OF that(2), of consider input] ignore[OF False,
of s]
    by(auto split: if-split-asm)
  then show ?thesis using False that
    by(auto split del: if-split intro: interaction-bound-IO-ignore[THEN order-trans]
step.preds)
  qed
  show ?case
    by(auto 6 4 simp add: bind-UNION del: subsetI intro!: UN-least intro: step.IH
* ** split: generat.split split del: if-split)
  qed

lemma interaction-bound-inline1':
   $\llbracket \text{Inr}(\text{out}', \text{out}, c', \text{rpv}) \in \text{set-spmf}(\text{inline1}' \text{gpv } s); \text{interaction-bound consider}' \text{gpv} \leq p \rrbracket$ 
   $\implies \text{if consider}' \text{out}' \text{then}$ 
     $(\text{if consider out then } eSuc(\text{interaction-bound consider}(c' \text{input})) \text{ else}$ 
 $\text{interaction-bound consider}(c' \text{input})) \leq q \wedge$ 
     $eSuc(\text{interaction-bound consider}'(\text{rpv } x)) \leq p$ 
     $\text{else } \neg \text{consider out} \wedge \text{interaction-bound consider}(c' \text{input}) = 0 \wedge \text{interaction-bound consider}'(\text{rpv } x) \leq p$ 
  using interaction-bound-inline1'-aux[where gpv=gpv and p=p and s=s] by(auto
split: if-split-asm)

end

lemma interaction-bounded-by-inline1:
   $\llbracket \text{Inr}(\text{out}', \text{out}, c', \text{rpv}) \in \text{set-spmf}(\text{inline1}' \text{gpv } s);$ 
   $\text{interaction-bounded-by consider}' \text{gpv } p;$ 
   $\bigwedge s. \text{consider}' x \implies \text{interaction-bounded-by consider}(\text{callee } s x) q;$ 
   $\bigwedge s. \neg \text{consider}' x \implies \text{interaction-bounded-by consider}(\text{callee } s x) 0 \rrbracket$ 
   $\implies \text{if consider}' \text{out}' \text{then}$ 
     $(\text{if consider out then } q \neq 0 \wedge \text{interaction-bounded-by consider}(c' \text{input}) (q$ 
 $- 1) \text{ else interaction-bounded-by consider}(c' \text{input}) q) \wedge$ 
       $p \neq 0 \wedge \text{interaction-bounded-by consider}'(\text{rpv } x) (p - 1)$ 
     $\text{else } \neg \text{consider out} \wedge \text{interaction-bounded-by consider}(c' \text{input}) 0 \wedge \text{interaction-bounded-by consider}'(\text{rpv } x) p$ 
unfolding interaction-bounded-by-0 unfolding interaction-bounded-by.simps
apply(drule (1) interaction-bound-inline1'[where input=input and x=x, rotated
2], assumption, assumption)
apply(cases p q rule: co.enat.exhaust[case-product co.enat.exhaust])
apply(simp-all add: zero-enat-def[symmetric] eSuc-enat[symmetric] split: if-split-asm)
done

```

```

declare enat-0-iff [simp]

lemma interaction-bounded-by-inline [interaction-bound]:
  assumes p: interaction-bounded-by consider' gpv p
  and q:  $\bigwedge s. \text{consider}' s \implies \text{interaction-bounded-by consider} (\text{callee } s) q$ 
  and ignore:  $\bigwedge s. \neg \text{consider}' s \implies \text{interaction-bounded-by consider} (\text{callee } s)$ 
  0
  shows interaction-bounded-by consider (inline callee gpv s) ( $p * q$ )
proof
  have interaction-bounded-by consider' gpv p  $\implies$  interaction-bound consider (inline
  callee gpv s)  $\leq p * q$ 
    and interaction-bound consider (bind-gpv gpv' f)  $\leq$  interaction-bound consider
     $gpv' + (\text{SUP } x \in \text{results}' - gpv gpv'. \text{interaction-bound consider} (f x))$ 
    for gpv' and f :: 'ret × 's ⇒ ('a × 's, 'call', 'ret') gpv
  proof(induction arbitrary: gpv s p gpv' f rule: interaction-bound-fixp-induct)
    case adm show ?case by simp
    case bottom case 1 show ?case by simp
    case (step interaction-bound') case step: 1
    show ?case (is (SUP generat ∈ ?inline. ?lhs generat) ≤ ?rhs)
    proof(rule SUP-least)
      fix generat
      assume generat ∈ ?inline
      then consider (Pure) ret s' where generat = Pure (ret, s')
        and Inl (ret, s') ∈ set-spmf (inline1 callee gpv s)
        | (IO) out c rpv where generat = IO out (λinput. bind-gpv (c input) (λ(ret,
        s'). inline callee (rpv ret) s'))
        and Inr (out, c, rpv) ∈ set-spmf (inline1 callee gpv s)
      by(clarsimp simp add: inline-sel split: sum.split-asm)
      then show ?lhs generat ≤ ?rhs
    proof(cases)
      case Pure thus ?thesis by simp
    next
      case IO
        from IO(2) obtain out' where out': Inr (out', out, c, rpv) ∈ set-spmf
        (inline1' gpv s)
        by(auto simp add: inline1-conv-inline1' Inr-eq-map-sum-iff)
        show ?thesis
        proof(cases consider' out')
          case True
          with interaction-bounded-by-inline1[OF out' step.preds q ignore]
          have p: p ≠ 0 and rpv:  $\bigwedge x. \text{interaction-bounded-by consider}' (rpv x)$  ( $p - 1$ )
            and c:  $\bigwedge \text{input}. \text{if consider out then } q \neq 0 \wedge \text{interaction-bounded-by consider} (c \text{ input}) (q - 1) \text{ else interaction-bounded-by consider} (c \text{ input}) q$ 
            by auto
          have ?lhs generat ≤ (if consider out then 1 else 0) + (SUP input.
          interaction-bound' (bind-gpv (c input) (λ(ret, s'). inline callee (rpv ret) s'))))
        end
    end
  end
end

```

```

(is - ≤ - + ?sup)
using IO(1) by(auto simp add: plus-1-eSuc)
also have ?sup ≤ (SUP input. interaction-bound consider (c input) +
(SUP (ret, s') ∈ results'-gpv (c input). interaction-bound' (inline callee (rpv ret)
s'))))
unfolding split-def by(rule SUP-mono)(blast intro: step.IH)
also have ... ≤ (SUP input. interaction-bound consider (c input) + (SUP
(ret, s') ∈ results'-gpv (c input). (p - 1) * q))
using rpv by(auto intro!: SUP-mono rev-bexI add-mono step.IH)
also have ... ≤ (SUP input. interaction-bound consider (c input) + (p -
1) * q)
apply(auto simp add: SUP-constant bot-enat-def intro!: SUP-mono)
apply(metis add.right-neutral add-mono i0-lb order-refl)+
done
also have ... ≤ (SUP input :: 'ret'. (if consider out then q - 1 else q) +
(p - 1) * q)
apply(rule SUP-mono rev-bexI UNIV-I add-mono)++
using c
apply(auto simp add: interaction-bounded-by.simps)
done
also have ... = (if consider out then q - 1 else q) + (p - 1) * q
by(simp add: SUP-constant)
finally show ?thesis
apply(rule order-trans)
prefer 5
using p c
apply(cases p; cases q)
apply(auto simp add: one-enat-def algebra-simps Suc-leI)
done
next
case False
with interaction-bounded-by-inline1[OF out' step.prem q ignore]
have out: ¬ consider out and zero: ∏input. interaction-bounded-by consider
(c input) 0
and rpv: ∏x. interaction-bounded-by consider' (rpv x) p by auto
have ?lhs generat ≤ (SUP input. interaction-bound' (bind-gpv (c input)
(λ(ret, s'). inline callee (rpv ret) s'))))
using IO(1) out by auto
also have ... ≤ (SUP input. interaction-bound consider (c input) + (SUP
(ret, s') ∈ results'-gpv (c input). interaction-bound' (inline callee (rpv ret) s'))))
unfolding split-def by(rule SUP-mono)(blast intro: step.IH)
also have ... ≤ (SUP input. (SUP (ret, s') ∈ results'-gpv (c input)). p *
q))
using rpv zero by(auto intro!: SUP-mono rev-bexI add-mono step.IH
simp add: interaction-bounded-by-0)
also have ... ≤ (SUP input :: 'ret'. p * q)
by(rule SUP-mono rev-bexI)+(auto simp add: SUP-constant)
also have ... = p * q by(simp add: SUP-constant)
finally show ?thesis .

```

```

qed
qed
qed
next
  case bottom case 2 show ?case by simp
  case step case 2 show ?case using step by -(rule interaction-bound-bind-step)
qed
then show interaction-bound consider (inline callee gpv s) ≤ p * q using p by
-
qed

end

lemma interaction-bounded-by-inline-invariant:
  includes lifting-syntax
  fixes consider' :: 'call ⇒ bool
  and consider :: 'call' ⇒ bool
  and callee :: 's ⇒ 'call ⇒ ('ret × 's, 'call', 'ret') gpv
  and gpv :: ('a, 'call, 'ret) gpv
  assumes p: interaction-bounded-by consider' gpv p
  and q: ∀s x. [I s; consider' x] ⇒ interaction-bounded-by consider (callee s x)
q
  and ignore: ∀s x. [I s; ¬ consider' x] ⇒ interaction-bounded-by consider (callee s x) 0
  and I: I s
  and invariant: ∀s x y s'. [(y, s') ∈ results'-gpv (callee s x); I s] ⇒ I s'
  shows interaction-bounded-by consider (inline callee gpv s) (p * q)
proof -
  { assume ∃(Rep :: 's' ⇒ 's) Abs. type-definition Rep Abs {s. I s}
    then obtain Rep :: 's' ⇒ 's and Abs where td: type-definition Rep Abs {s. I s} by blast
    then interpret td: type-definition Rep Abs {s. I s} .
    define cr where cr x y ⟷ x = Rep y for x y
    have [transfer-rule]: bi-unique cr right-total cr
      using td cr-def[abs-def] by(rule typedef-bi-unique typedef-right-total)+
    have [transfer-domain-rule]: Domainip cr = I
      using type-definition-Domainip[OF td cr-def[abs-def]] by simp

    define callee' where callee' = (Rep ---> id ---> map-gpv (map-prod id
      Abs) id) callee
    have [transfer-rule]: (cr ==> (=) ==> rel-gpv (rel-prod (=) cr) (=)) callee
      callee'
      by(auto simp add: callee'-def rel-fun-def cr-def gpv.rel-map prod.rel-map
        td.Abs-inverse intro!: gpv.rel-refl-strong intro: td.Rep[simplified] dest: invariant)

    define s' where s' = Abs s
    have [transfer-rule]: cr s s' using I by(simp add: cr-def s'-def td.Abs-inverse)

  note p moreover

```

```

have consider' x ==> interaction-bounded-by consider (callee' s x) q for s x
  by(transfer fixing: consider consider' q)(clar simp simp add: q)
  moreover have ~ consider' x ==> interaction-bounded-by consider (callee' s
x) 0 for s x
    by(transfer fixing: consider consider')(clar simp simp add: ignore)
    ultimately have interaction-bounded-by consider (inline callee' gpv s') (p * q)

    by(rule interaction-bounded-by-inline)
    then have interaction-bounded-by consider (inline callee gpv s) (p * q) by
    transfer }
    from this[cancel-type-definition] I show ?thesis by blast
qed

context
fixes I :: ('call, 'ret) I
and I' :: ('call', 'ret') I
and callee :: 's => 'call => ('ret × 's, 'call', 'ret') gpv
assumes results: ∀s x. x ∈ outs-Ι I ==> results-gpv I' (callee s x) ⊆ responses-Ι
I x × UNIV
begin

lemma inline1-in-sub-gpvs-callee:
assumes Inr (out, callee', rpv') ∈ set-spmf (inline1 callee gpv s)
and WT: I ⊢ g gpv √
shows ∃ call∈outs-Ι I. ∃ s. ∀ x ∈ responses-Ι I' out. callee' x ∈ sub-gpvs I'
(callee s call)
proof -
  from WT
  have set-spmf (inline1 callee gpv s) ⊆ {Inr (out, callee', rpv') | out callee' rpv'.
  ∃ call∈outs-Ι I. ∃ s. ∀ x ∈ responses-Ι I' out. callee' x ∈ sub-gpvs I' (callee s
call)} ∪ range Inl
  (is ?concl (inline1 callee) gpv s)
  proof(induction arbitrary: gpv s rule: inline1-fixp-induct)
    case adm show ?case by(intro cont-intro cpo-class.admissible-leI)
    case bottom show ?case by simp
    case (step inline1')
    { fix out c
      assume IO: IO out c ∈ set-spmf (the-gpv gpv)
      from step.preds IO have out: out ∈ outs-Ι I by(rule WT-gpvD)
      { fix x s'
        assume Pure: Pure (x, s') ∈ set-spmf (the-gpv (callee s out))
        then have (x, s') ∈ results-gpv I' (callee s out) by(rule results-gpv.Pure)
        with out have x ∈ responses-Ι I out by(auto dest: results)
        with step.preds IO have I ⊢ g c x √ by(rule WT-gpvD)
        hence ?concl inline1' (c x) s' by(rule step.IH)
      } moreover {
        fix out' c'
        assume IO out' c' ∈ set-spmf (the-gpv (callee s out))
        hence ∀ x ∈ responses-Ι I' out'. c' x ∈ sub-gpvs I' (callee s out)
      }
    }
  }

```

```

    by(auto intro: sub-gpvs.base)
  then have  $\exists call \in outs\text{-}\mathcal{I} \mathcal{I}. \exists s. \forall x \in responses\text{-}\mathcal{I} \mathcal{I}' out'. c' x \in sub\text{-}gpvs \mathcal{I}'$ 
  (callee s call)
    using out by blast
  } moreover note calculation }
  then show ?case using step.prem
  by(auto del: subsetI simp add: bind-UNION intro!: UN-least split: generat.split)
  qed
  thus ?thesis using assms by fastforce
qed

lemma inline1-in-sub-gpvs:
assumes Inr (out, callee', rpv')  $\in$  set-spmf (inline1 callee gpv s)
and  $(x, s') \in$  results-gpv  $\mathcal{I}'$  (callee' input)
and input  $\in$  responses- $\mathcal{I}$   $\mathcal{I}'$  out
and  $\mathcal{I} \vdash g$  gpv  $\checkmark$ 
shows rpv'  $x \in$  sub-gpvs  $\mathcal{I}$  gpv
proof -
  from  $\langle \mathcal{I} \vdash g$  gpv  $\checkmark \rangle$ 
  have set-spmf (inline1 callee gpv s)  $\subseteq \{ Inr (out, callee', rpv') \mid out \text{ callee' } rpv' .$ 
   $\forall input \in responses\text{-}\mathcal{I} \mathcal{I}' out. \forall (x, s') \in results\text{-}gpv \mathcal{I}' (\text{callee' } input). rpv' x \in sub\text{-}gpvs \mathcal{I} gpv \}$ 
   $\cup range Inl (\mathbf{is} ?concl (inline1 callee) gpv s \mathbf{is} - \subseteq ?rhs gpv s)$ 
  proof(induction arbitrary: gpv s rule: inline1-fixp-induct)
    case adm show ?case by(intro cont-intro ccpo-class.admissible-leI)
    case bottom show ?case by simp
  next
    case (step inline1')
    { fix out c
      assume IO: IO out c  $\in$  set-spmf (the-gpv gpv)
      from step.prem IO have out: out  $\in$  outs- $\mathcal{I}$   $\mathcal{I}$  by(rule WT-gpvD)
      { fix x s'
        assume Pure: Pure  $(x, s') \in$  set-spmf (the-gpv (callee s out))
        then have  $(x, s') \in$  results-gpv  $\mathcal{I}'$  (callee s out) by(rule results-gpv.Pure)
        with out have x  $\in$  responses- $\mathcal{I}$   $\mathcal{I}$  out by(auto dest: results)
        with step.prem IO have  $\mathcal{I} \vdash g$  c x  $\checkmark$  by(rule WT-gpvD)
        hence ?concl inline1' (c x) s' by(rule step.IH)
        also have ...  $\subseteq ?rhs gpv s'$  using IO Pure
        by(fastforce intro: sub-gpvs.cont dest: WT-gpv- $OutD[$ OF step.prem $] results[$ THEN subsetD, OF - results-gpv.Pure $]$ )
        finally have set-spmf (inline1' (c x) s')  $\subseteq \dots .$ 
      } moreover {
        fix out' c' input x s'
        assume IO out' c'  $\in$  set-spmf (the-gpv (callee s out))
        and input  $\in$  responses- $\mathcal{I}$   $\mathcal{I}'$  out' and  $(x, s') \in$  results-gpv  $\mathcal{I}' (c' input)$ 
        then have c x  $\in$  sub-gpvs  $\mathcal{I}$  gpv using IO
        by(auto intro!: sub-gpvs.base dest: WT-gpv- $OutD[$ OF step.prem $] results[$ THEN subsetD, OF - results-gpv.IO $]$ )
      } moreover note calculation }
    
```

```

then show ?case
  by(auto simp add: bind-UNION intro!: UN-least split: generat.split del: subsetI)
qed
with assms show ?thesis by fastforce
qed

context
assumes WT:  $\bigwedge x s. x \in \text{outs-}\mathcal{I} \Rightarrow \mathcal{I}' \vdash g \text{ callee } s x \vee$ 
begin

lemma WT-gpv-inline1:
assumes Inr (out, rpv, rpv')  $\in$  set-spmf (inline1 callee gpv s)
and  $\mathcal{I} \vdash g \text{ gpv} \vee$ 
shows out  $\in$  outs- $\mathcal{I}$   $\mathcal{I}'$  (is ?thesis1)
and input  $\in$  responses- $\mathcal{I}$   $\mathcal{I}'$  out  $\Rightarrow \mathcal{I}' \vdash g \text{ rpv input} \vee$  (is PROP ?thesis2)
and  $\llbracket \text{input} \in \text{responses-}\mathcal{I} \mathcal{I}' \text{ out}; (x, s') \in \text{results-gpv } \mathcal{I}' (\text{rvp input}) \rrbracket \Rightarrow \mathcal{I} \vdash g \text{ rpv' } x \vee$  (is PROP ?thesis3)
proof -
  from  $\langle \mathcal{I} \vdash g \text{ gpv} \vee \rangle$ 
  have set-spmf (inline1 callee gpv s)  $\subseteq \{ \text{Inr (out, rpv, rpv')} \mid \text{out rpv rpv'. out} \in \text{outs-}\mathcal{I} \mathcal{I}' \} \cup \text{range Inl}$ 
  proof(induction arbitrary: gpv s rule: inline1-fixp-induct)
  { case adm show ?case by(intro cont-intro ccpo-class.admissible-leI) }
  { case bottom show ?case by simp }
  case (step inline1')
  { fix out c
    assume IO: IO out c  $\in$  set-spmf (the-gpv gpv)
    from step.preds IO have out: out  $\in$  outs- $\mathcal{I}$   $\mathcal{I}$  by(rule WT-gpvD)
    { fix x s'
      assume Pure: Pure (x, s')  $\in$  set-spmf (the-gpv (callee s out))
      then have (x, s')  $\in$  results-gpv  $\mathcal{I}'$  (callee s out) by(rule results-gpv.Pure)
      with out have x  $\in$  responses- $\mathcal{I}$   $\mathcal{I}$  out by(auto dest: results)
      with step.preds IO have  $\mathcal{I} \vdash g \text{ c x} \vee$  by(rule WT-gpvD)
    } moreover {
      fix out' c'
      from out have  $\mathcal{I}' \vdash g \text{ callee s out} \vee$  by(rule WT)
      moreover assume IO out' c'  $\in$  set-spmf (the-gpv (callee s out))
      ultimately have out'  $\in$  outs- $\mathcal{I}$   $\mathcal{I}'$  by(rule WT-gpvD)
    } moreover note calculation }
  then show ?case
  by(auto del: subsetI simp add: bind-UNION intro!: UN-least split: generat.split
  intro!: step.IH[THEN order-trans])
  qed
  then show ?thesis1 using assms by auto

  assume input  $\in$  responses- $\mathcal{I}$   $\mathcal{I}'$  out
  with inline1-in-sub-gpvs-callee[OF Inr -  $\in$  -]  $\langle \mathcal{I} \vdash g \text{ gpv} \vee \rangle$ 
  obtain out' s where out'  $\in$  outs- $\mathcal{I}$   $\mathcal{I}$ 
  and *: rpv input  $\in$  sub-gpvs  $\mathcal{I}'$  (callee s out') by auto

```

```

from <out' ∈ -> have I' ⊢ g callee s out' √ by(rule WT)
then show I' ⊢ g rpv input √ using * by(rule WT-sub-gpvsD)

assume (x, s') ∈ results-gpv I' (rpv input)
with <Inr - ∈ -> have rpv' x ∈ sub-gpvs I gpv
  using <input ∈ -> <I ⊢ g gpv √> by(rule inline1-in-sub-gpvs)
with <I ⊢ g gpv √> show I ⊢ g rpv' x √ by(rule WT-sub-gpvsD)
qed

lemma WT-gpv-inline:
assumes I ⊢ g gpv √
shows I' ⊢ g inline callee gpv s √
using assms
proof(coinduction arbitrary: gpv s rule: WT-gpv-coinduct-bind)
case (WT-gpv out c gpv)
from <IO out c ∈ -> obtain callee' rpv'
  where Inr: Inr (out, callee', rpv') ∈ set-spmf (inline1 callee gpv s)
  and c: c = (λinput. callee' input ≈ (λ(x, s). inline callee (rpv' x) s))
  by(clarsimp simp add: inline-sel split: sum.split-asm)
from Inr <I ⊢ g gpv √> have ?out by(rule WT-gpv-inline1)
moreover have ?cont TYPE('ret × 's) (is ∀ input∈-. - ∨ - ∨ ?case' input)
proof(rule ballI disjI2)+
fix input
assume input ∈ responses-I I' out
with Inr <I ⊢ g gpv √> have I' ⊢ g callee' input √
  and ∧x s'. (x, s') ∈ results-gpv I' (callee' input) ⇒ I ⊢ g rpv' x √
  by(blast intro: WT-gpv-inline1)+
then show ?case' input by(subst c)(auto 4 4)
qed
ultimately show ?case TYPE('ret × 's) ..
qed

end

context
fixes gpv :: ('a, 'call, 'ret) gpv
assumes gpv: lossless-gpv I gpv I ⊢ g gpv √
begin

lemma lossless-spmf-inline1:
assumes lossless: ∧s x. x ∈ outs-I I ⇒ lossless-spmf (the-gpv (callee s x))
shows lossless-spmf (inline1 callee gpv s)
using gpv
proof(induction arbitrary: s rule: lossless-WT-gpv-induct)
case (lossless-gpv p)
show ?case using <lossless-spmf p>
apply(subst inline1-unfold)
apply(auto split: generat.split intro: lossless lossless-gpv.hyps dest: results[THEN
subsetD, rotated, OF results-gpv.Pure] intro: lossless-gpv.IH)

```

```

done
qed

lemma lossless-gpv-inline1:
  assumes *: Inr (out, rpv, rpv')  $\in$  set-spmf (inline1 callee gpv s)
  and **: input  $\in$  responses- $\mathcal{I}$   $\mathcal{I}'$  out
  and lossless:  $\bigwedge s x. x \in \text{outs-}\mathcal{I} \mathcal{I} \implies \text{lossless-gpv } \mathcal{I}' (\text{callee } s x)$ 
  shows lossless-gpv  $\mathcal{I}'$  (rpv input)
proof -
  from inline1-in-sub-gpvs-callee[OF * gpv(2)] **
  obtain out' s where out'  $\in$  outs- $\mathcal{I}$   $\mathcal{I}$  and ***: rpv input  $\in$  sub-gpvs  $\mathcal{I}'$  (callee s out') by blast
  from ⟨out'  $\in$  -⟩ have lossless-gpv  $\mathcal{I}'$  (callee s out') by(rule lossless)
  thus ?thesis using *** by(rule lossless-sub-gpvsD)
qed

lemma lossless-results-inline1:
  assumes Inr (out, rpv, rpv')  $\in$  set-spmf (inline1 callee gpv s)
  and (x, s')  $\in$  results-gpv  $\mathcal{I}'$  (rpv input)
  and input  $\in$  responses- $\mathcal{I}$   $\mathcal{I}'$  out
  shows lossless-gpv  $\mathcal{I}$  (rpv' x)
proof -
  from assms gpv(2) have rpv' x  $\in$  sub-gpvs  $\mathcal{I}$  gpv by(rule inline1-in-sub-gpvs)
  with gpv(1) show lossless-gpv  $\mathcal{I}$  (rpv' x) by(rule lossless-sub-gpvsD)
qed

end

lemmas lossless-inline1[rotated 2] = lossless-spmf-inline1 lossless-gpv-inline1 lossless-results-inline1

lemma lossless-inline[rotated]:
  fixes gpv :: ('a, 'call, 'ret) gpv
  assumes gpv: lossless-gpv  $\mathcal{I}$  gpv  $\mathcal{I} \vdash g$  gpv  $\checkmark$ 
  and lossless:  $\bigwedge s x. x \in \text{outs-}\mathcal{I} \mathcal{I} \implies \text{lossless-gpv } \mathcal{I}' (\text{callee } s x)$ 
  shows lossless-gpv  $\mathcal{I}'$  (inline callee gpv s)
using gpv
proof(induction arbitrary: s rule: lossless-WT-gpv-induct-strong)
  case (lossless-gpv p)
    have lp: lossless-gpv  $\mathcal{I}$  (GPV p) by(rule lossless-sub-gpvsI)(auto intro: lossless-gpv.hyps)
    moreover have wp:  $\mathcal{I} \vdash g$  GPV p  $\checkmark$  by(rule WT-sub-gpvsI)(auto intro: lossless-gpv.hyps)
    ultimately have lossless-spmf (the-gpv (inline callee (GPV p) s))
    by(auto simp add: inline-sel intro: lossless-spmf-inline1 lossless-gpv-lossless-spmfD[OF lossless])
  moreover {
    fix out c input
    assume IO: IO out c  $\in$  set-spmf (the-gpv (inline callee (GPV p) s))

```

```

and  $\text{input} \in \text{responses-}\mathcal{I}$   $\mathcal{I}'$  out
from IO obtain callee' rpv
  where Inr: Inr (out, callee', rpv)  $\in$  set-spmf (inline1 callee (GPV p) s)
    and c:  $c = (\lambda \text{input}. \text{callee}' \text{input} \gg= (\lambda(x, y). \text{inline callee} (rpv x) y))$ 
    by (clarsimp simp add: inline-sel split: sum.split-asm)
  from Inr ⟨input ∈ -> lossless lp wp have lossless-gpv  $\mathcal{I}'$  (callee' input) by(rule
lossless-inline1)
  moreover {
    fix x s'
    assume (x, s') ∈ results-gpv  $\mathcal{I}'$  (callee' input)
    with Inr have rpv x ∈ sub-gpvs  $\mathcal{I}$  (GPV p) using ⟨input ∈ -> wp by(rule
inline1-in-sub-gpvs)
      hence lossless-gpv  $\mathcal{I}'$  (inline callee (rpv x) s') by(rule lossless-gpv.IH)
    } ultimately have lossless-gpv  $\mathcal{I}'$  (c input) unfolding c byclarsimp
    } ultimately show ?case by(rule lossless-gpvI)
qed

end

definition id-oracle :: 's ⇒ 'call ⇒ ('ret × 's, 'call, 'ret) gpv
where id-oracle s x = Pause x (λx. Done (x, s))

lemma inline1-id-oracle:
  inline1 id-oracle gpv s =
    map-spmf (λgenerat. case generat of Pure x ⇒ Inl (x, s) | IO out c ⇒ Inr (out,
λx. Done (x, s), c)) (the-gpv gpv)
  by(subst inline1.simps)(auto simp add: id-oracle-def map-spmf-conv-bind-spmf intro!: bind-spmf-cong split: generat.split)

lemma inline-id-oracle [simp]: inline id-oracle gpv s = map-gpv (λx. (x, s)) id gpv
by(coinduction arbitrary: gpv s)(auto 4 3 simp add: inline-sel inline1-id-oracle
spmf-rel-map gpv.map sel o-def generat.rel-map intro!: rel-spmf-reflI rel-funI split:
generat.split)

locale raw-converter-invariant =
  fixes  $\mathcal{I}$  :: ('call, 'ret)  $\mathcal{I}$ 
  and  $\mathcal{I}'$  :: ('call', 'ret')  $\mathcal{I}$ 
  and callee :: 's ⇒ 'call ⇒ ('ret × 's, 'call', 'ret) gpv
  and I :: 's ⇒ bool
  assumes results-callee:  $\bigwedge s x. [\![ x \in \text{outs-}\mathcal{I} \mathcal{I}; I s ]\!] \Rightarrow \text{results-gpv} \mathcal{I}' (\text{callee } s x)$ 
 $\subseteq \text{responses-}\mathcal{I} \mathcal{I} x \times \{s. I s\}$ 
  and WT-callee:  $\bigwedge x s. [\![ x \in \text{outs-}\mathcal{I} \mathcal{I}; I s ]\!] \Rightarrow \mathcal{I}' \vdash g \text{ callee } s x \checkmark$ 
begin

context begin
private lemma aux:
  set-spmf (inline1 callee gpv s)  $\subseteq \{ \text{Inr } (out, \text{callee}', rpv') \mid \text{out callee}' rpv'.$ 
 $\exists \text{call} \in \text{outs-}\mathcal{I} \mathcal{I}. \exists s. I s \wedge (\forall x \in \text{responses-}\mathcal{I} \mathcal{I}' \text{ out. callee}' x \in \text{sub-gpvs} \mathcal{I}'$ 
 $(\text{callee } s \text{ call}))\} \cup$ 

```

```

{Inl (x, s') | x s'. x ∈ results-gpv I gpv ∧ I s'}
(is ?concl (inline1 callee) gpv s is - ⊆ ?rhs1 ∪ ?rhs2 gpv)
if I ⊢g gpv √ I s
using that
proof(induction arbitrary: gpv s rule: inline1-fixp-induct)
case adm show ?case by simp
case bottom show ?case by simp
case (step inline1')
{ fix out c
assume IO: IO out c ∈ set-spmf (the-gpv gpv)
from step.prems(1) IO have out: out ∈ outs-I I by(rule WT-gpvD)
{ fix x s'
assume Pure: Pure (x, s') ∈ set-spmf (the-gpv (callee s out))
then have (x, s') ∈ results-gpv I' (callee s out) by(rule results-gpv.Pure)
with out step.prems(2) have x ∈ responses-I I out I s' by(auto dest:
results-callee)
from step.prems(1) IO this(1) have I ⊢g c x √ by(rule WT-gpvD)
hence ?concl inline1' (c x) s' using <I s'> by(rule step.IH)
also have ... ⊆ ?rhs1 ∪ ?rhs2 gpv using <x ∈ -> IO by(auto intro: re-
sults-gpv.intros)
also note calculation
} moreover {
fix out' c'
assume IO out' c' ∈ set-spmf (the-gpv (callee s out))
hence ∀x∈responses-I I' out'. c' x ∈ sub-gpvs I' (callee s out)
by(auto intro: sub-gpvs.base)
then have ∃call∈outs-I I. ∃s. I s ∧ (∀x∈responses-I I' out'. c' x ∈ sub-gpvs
I' (callee s call))
using out step.prems(2) by blast
} moreover note calculation }
then show ?case using step.prems
by(auto 4 3 del: subsetI simp add: bind-UNION intro!: UN-least split: gen-
erat.split intro: results-gpv.intros)
qed

lemma inline1-in-sub-gpvs-callee:
assumes Inr (out, callee', rpv') ∈ set-spmf (inline1 callee gpv s)
and WT: I ⊢g gpv √
and s: I s
shows ∃call∈outs-I I. ∃s. I s ∧ (∀x ∈ responses-I I' out. callee' x ∈ sub-gpvs
I' (callee s call))
using aux[OF WT s] assms(1) by fastforce

lemma inline1-Inl-results-gpv:
assumes Inl (x, s') ∈ set-spmf (inline1 callee gpv s)
and WT: I ⊢g gpv √
and s: I s
shows x ∈ results-gpv I gpv ∧ I s'
using aux[OF WT s] assms(1) by fastforce

```

end

lemma *inline1-in-sub-gpvs*:

assumes $\text{Inr}(\text{out}, \text{callee}', \text{rpv}') \in \text{set-spmf}(\text{inline1 callee gpv } s)$
and $(x, s') \in \text{results-gpv } \mathcal{I}'(\text{callee}' \text{ input})$
and $\text{input} \in \text{responses-}\mathcal{I} \mathcal{I}' \text{ out}$
and $\mathcal{I} \vdash g \text{ gpv } \checkmark$
and $I s$
shows $\text{rpv}' x \in \text{sub-gpvs } \mathcal{I} \text{ gpv } \wedge I s'$

proof –

from $\langle \mathcal{I} \vdash g \text{ gpv } \checkmark \rangle \langle I s \rangle$
have $\text{set-spmf}(\text{inline1 callee gpv } s) \subseteq \{\text{Inr}(\text{out}, \text{callee}', \text{rpv}') \mid \text{out callee}' \text{ rpv}'\}$
 $\forall \text{input} \in \text{responses-}\mathcal{I} \mathcal{I}' \text{ out}. \forall (x, s') \in \text{results-gpv } \mathcal{I}'(\text{callee}' \text{ input}). I s' \wedge \text{rpv}'$
 $x \in \text{sub-gpvs } \mathcal{I} \text{ gpv}\}$
 $\cup \{\text{Inl}(x, s') \mid x s'. I s'\}$ (is ?*concl* (*inline1 callee*) *gpv s* is - \subseteq ?*rhs* *gpv s*)

proof(induction arbitrary: *gpv s rule: inline1-fixp-induct*)

case *adm* show ?*case by*(intro cont-intro ccpo-class.admissible-leI)
case bottom show ?*case by* simp
case (step *inline1*)
{ fix *out c*
assume *IO*: *IO out c* $\in \text{set-spmf}(\text{the-gpv gpv})$
from step.prems(1) *IO* have *out*: *out* $\in \text{outs-}\mathcal{I} \mathcal{I}$ by(rule WT-gpvD)
{ fix *x s'*
assume *Pure*: *Pure (x, s')* $\in \text{set-spmf}(\text{the-gpv (callee s out)})$
then have *(x, s')* $\in \text{results-gpv } \mathcal{I}'(\text{callee s out})$ by(rule results-gpv.Pure)
with *out* step.prems(2) have *x* $\in \text{responses-}\mathcal{I} \mathcal{I}$ *out I s'* by(auto dest:
results-callee)
from step.prems(1) *IO this(1)* have $\mathcal{I} \vdash g c x \checkmark$ by(rule WT-gpvD)
hence ?*concl inline1' (c x) s'* using ⟨*I s'*⟩ by(rule step.IH)
also have ... \subseteq ?*rhs gpv s'* using *IO Pure* ⟨*I s*⟩
by(fastforce intro: sub-gpvs.cont dest: WT-gpv-*OutD*[OF step.prems(1)]
results-callee[THEN subsetD, OF -- results-gpv.Pure])
finally have $\text{set-spmf}(\text{inline1}'(c x) s') \subseteq \dots$.
} moreover {
fix *out' c' input x s'*
assume *IO out' c'* $\in \text{set-spmf}(\text{the-gpv (callee s out)})$
and *input* $\in \text{responses-}\mathcal{I} \mathcal{I}' \text{ out'}$ and $(x, s') \in \text{results-gpv } \mathcal{I}'(c' \text{ input})$
then have *c x* $\in \text{sub-gpvs } \mathcal{I} \text{ gpv } I s' using *IO* ⟨*I s*⟩
by(auto intro!: sub-gpvs.base dest: WT-gpv-*OutD*[OF step.prems(1)] re-
sults-callee[THEN subsetD, OF -- results-gpv.IO])
} moreover note calculation }
then show ?*case using* step.prems(2)
by(auto simp add: bind-UNION intro!: UN-least split: generat.split del:
subsetI)
qed
with assms show ?*thesis* by fastforce
qed$

lemma *WT-gpv-inline1*:

```

assumes Inr (out, rpv, rpv') ∈ set-spmf (inline1 callee gpv s)
  and I ⊢ g gpv √
  and I s
shows out ∈ outs-Ι Ι' (is ?thesis1)
  and input ∈ responses-Ι Ι' out ⇒ Ι' ⊢ g rpv input √ (is PROP ?thesis2)
  and [ input ∈ responses-Ι Ι' out; (x, s') ∈ results-gpv Ι' (rpv input) ] ⇒ Ι
    ⊢ g rpv' x √ ∧ I s' (is PROP ?thesis3)
proof -
  from ⟨Ι ⊢ g gpv √⟩ ⟨I s⟩
  have set-spmf (inline1 callee gpv s) ⊆ {Inr (out, rpv, rpv') | out rpv rpv'. out ∈
    outs-Ι Ι'} ∪ {Inl (x, s') | x s'. I s'}
  proof(induction arbitrary: gpv s rule: inline1-fixp-induct)
    { case adm show ?case by(intro cont-intro cpo-class.admissible-leI) }
    { case bottom show ?case by simp }
    case (step inline1')
      { fix out c
        assume IO: IO out c ∈ set-spmf (the-gpv gpv)
        from step.prems(1) IO have out: out ∈ outs-Ι Ι by(rule WT-gpvD)
        { fix x s'
          assume Pure: Pure (x, s') ∈ set-spmf (the-gpv (callee s out))
          then have *: (x, s') ∈ results-gpv Ι' (callee s out) by(rule results-gpv.Pure)
          with out step.prems(2) have x ∈ responses-Ι Ι out I s' by(auto dest:
            results-callee)
          from step.prems(1) IO this(1) have Ι ⊢ g c x √ by(rule WT-gpvD)
          note this ⟨I s'⟩
        } moreover {
          fix out' c'
          from out step.prems(2) have Ι' ⊢ g callee s out √ by(rule WT-callee)
          moreover assume IO out' c' ∈ set-spmf (the-gpv (callee s out))
          ultimately have out' ∈ outs-Ι Ι' by(rule WT-gpvD)
        } moreover note calculation }
        then show ?case using step.prems(2)
        by(auto del: subsetI simp add: bind-UNION intro!: UN-least split: generat.split
          intro!: step.IH[THEN order-trans])
      qed
      then show ?thesis1 using assms by auto
    }
    assume input ∈ responses-Ι Ι' out
    with inline1-in-sub-gpvs-callee[OF Inr - ∈ -> ⟨Ι ⊢ g gpv √⟩ ⟨I s⟩]
    obtain out' s where out' ∈ outs-Ι Ι
      and *: rpv input ∈ sub-gpvs Ι' (callee s out') and I s by blast
    from ⟨out' ∈ -> ⟨I s⟩ have Ι' ⊢ g callee s out' √ by(rule WT-callee)
    then show Ι' ⊢ g rpv input √ using * by(rule WT-sub-gpvsD)

    assume (x, s') ∈ results-gpv Ι' (rpv input)
    with ⟨Inr - ∈ -> have rpv' x ∈ sub-gpvs Ι gpv ∧ I s'
      using ⟨input ∈ -> ⟨Ι ⊢ g gpv √⟩ assms(3) ⟨I s⟩ by-(rule inline1-in-sub-gpvs)
      with ⟨Ι ⊢ g gpv √⟩ show Ι ⊢ g rpv' x √ ∧ I s' by(blast intro: WT-sub-gpvsD)
    qed
  
```

```

lemma WT-gpv-inline-invar:
  assumes I ⊢ g gpv √
    and I s
  shows I' ⊢ g inline callee gpv s √
  using assms
proof(coinduction arbitrary: gpv s rule: WT-gpv-coinduct-bind)
  case (WT-gpv out c gpv)
  from <IO out c ∈ -> obtain callee' rpv'
    where Inr: Inr (out, callee', rpv') ∈ set-spmf (inline1 callee gpv s)
      and c = (λinput. callee' input ≈ (λ(x, s). inline callee (rpv' x) s))
    by(clarsimp simp add: inline-sel split: sum.split-asm)
  from Inr <I ⊢ g gpv √, I s> have ?out by(rule WT-gpv-inline1)
  moreover have ?cont TYPE('ret × 's) (is ∀ input∈-. - ∨ - ∨ ?case' input)
  proof(rule ballI disjI2)+
    fix input
    assume input ∈ responses-Ι I' out
    with Inr <I ⊢ g gpv √, I s> have I' ⊢ g callee' input √
      and ∀x s'. (x, s') ∈ results-gpv I' (callee' input) ⇒ I ⊢ g rpv' x √ ∧ I s'
    by(blast dest: WT-gpv-inline1)+
    then show ?case' input by(subst c)(auto 4 5)
  qed
  ultimately show ?case TYPE('ret × 's) ..
qed

end

lemma WT-gpv-inline':
  assumes ∀s x. x ∈ outs-Ι I ⇒ results-gpv I' (callee s x) ⊆ responses-Ι I x × UNIV
    and ∀x s. x ∈ outs-Ι I ⇒ I' ⊢ g callee s x √
    and I ⊢ g gpv √
  shows I' ⊢ g inline callee gpv s √
proof -
  interpret raw-converter-invariant Ι I' callee λ-. True
  using assms by(unfold-locales)auto
  show ?thesis by(rule WT-gpv-inline-invar)(use assms in auto)
qed

lemma results-gpv-sub-gpvs: gpv' ∈ sub-gpvs Ι gpv ⇒ results-gpv Ι gpv' ⊆ results-gpv Ι gpv
  by(induction rule: sub-gpvs.induct)(auto intro: results-gpv.IO)

lemma in-results-gpv-sub-gpvs: [ x ∈ results-gpv Ι gpv'; gpv' ∈ sub-gpvs Ι gpv ] ⇒ x ∈ results-gpv Ι gpv
  using results-gpv-sub-gpvs[of gpv' Ι gpv] by blast

context raw-converter-invariant begin
lemma results-gpv-inline-aux:

```

```

assumes (x, s') ∈ results-gpv I' (inline-aux callee y)
shows [[ y = Inl (gpv, s); I ⊢ g gpv √; I s ]] ⇒ x ∈ results-gpv I gpv ∧ I s'
  and [[ y = Inr (rpv, callee'); ∀(z, s') ∈ results-gpv I' callee'. I ⊢ g rpv z √ ∧ I
s' ]]
  ⇒ ∃(z, s'') ∈ results-gpv I' callee'. x ∈ results-gpv I (rpv z) ∧ I s'' ∧ I s'
using assms
proof(induction gvp'≡inline-aux callee y arbitrary: y gpv s rpv callee')
  case Pure case 1
  with Pure show ?case
    by(auto simp add: inline-aux.sel split: sum.split-asm dest: inline1-Inl-results-gpv)
  next
    case Pure case 2
    with Pure show ?case
      by(clarsimp simp add: inline-aux.sel split: sum.split-asm)
      (fastforce split: generat.split-asm dest: inline1-Inl-results-gpv intro: results-gpv.Pure) +
  next
    case (IO out c input) case 1
    with IO(1) obtain rpv rpv' where inline1: Inr (out, rpv, rpv') ∈ set-spmf
      (inline1 callee gpv s)
      and c: c = (λinput. inline-aux callee (Inr (rpv', rpv input)))
      by(auto simp add: inline-aux.sel split: sum.split-asm)
      from inline1[THEN inline1-in-sub-gpvs, OF - <input ∈ responses-I I' out> - <I
s>] ⊢ g gpv √
      have ∀(z, s') ∈ results-gpv I' (rpv input). I ⊢ g rpv' z √ ∧ I s'
      by(auto intro: WT-sub-gpvsD)
      from IO(5)[unfolded c, OF refl refl this] obtain input' s"
        where input': (input', s") ∈ results-gpv I' (rpv input)
        and x: x ∈ results-gpv I (rpv' input') and s": I s" I s'
        by auto
      from inline1[THEN inline1-in-sub-gpvs, OF input' <input ∈ responses-I I' out>
<I ⊢ g gpv √ > <I s>] s" x
        show ?case by(auto intro: in-results-gpv-sub-gpvs)
    next
    case (IO out c input) case 2
    from IO(1) 2(1) consider (Pure) input' s" rpv' rpv"
      where Pure (input', s") ∈ set-spmf (the-gpv callee') Inr (out, rpv', rpv'') ∈
set-spmf (inline1 callee (rpv input') s")
      c = (λinput. inline-aux callee (Inr (rpv'', rpv' input)))
      | (Cont) rpv' where IO out rpv' ∈ set-spmf (the-gpv callee') c = (λinput.
      inline-aux callee (Inr (rpv, rpv' input)))
      by(auto simp add: inline-aux.sel split: sum.split-asm; rename-tac generat;
      case-tac generat; clarsimp)
      then show ?case
    proof cases
      case Pure
        have res: (input', s") ∈ results-gpv I' callee' using Pure(1) by(rule re-
sults-gpv.Pure)
        with 2 have WT: I ⊢ g rpv input' √ I s" by auto
        have ∀(z, s') ∈ results-gpv I' (rpv' input). I ⊢ g rpv'' z √ ∧ I s'

```

```

using inline1-in-sub-gpvs[OF Pure(2) - <input ∈ → WT] WT by(auto intro:
WT-sub-gpvsD)
from IO(5)[unfolded Pure(3), OF refl refl this] obtain z s'''
where z: (z, s''') ∈ results-gpv  $\mathcal{I}'$  (rpv' input)
and x: x ∈ results-gpv  $\mathcal{I}$  (rpv'' z) and s': I s''' I s' by auto
have x ∈ results-gpv  $\mathcal{I}$  (rpv input') using x inline1-in-sub-gpvs[OF Pure(2) z
<input ∈ → WT]
by(auto intro: in-results-gpv-sub-gpvs)
then show ?thesis using res WT s' by auto
next
case Cont
have  $\forall (z, s') \in \text{results-gpv } \mathcal{I}' (\text{rpv}' \text{ input}). \mathcal{I} \vdash g \text{ rpv } z \vee \wedge I s'$ 
using Cont 2 <input ∈ responses- $\mathcal{I}$   $\mathcal{I}'$  out> by(auto intro: results-gpv.IO)
from IO(5)[unfolded Cont, OF refl refl this] obtain z s''
where (z, s'') ∈ results-gpv  $\mathcal{I}'$  (rpv' input) x ∈ results-gpv  $\mathcal{I}$  (rpv z) I s'' I s'
by auto
then show ?thesis using Cont(1) <input ∈ → by(auto intro: results-gpv.IO)
qed
qed

lemma results-gpv-inline:
 $\llbracket (x, s') \in \text{results-gpv } \mathcal{I}' (\text{inline callee gpv } s); \mathcal{I} \vdash g \text{ gpv } \vee; I s \rrbracket \implies x \in \text{results-gpv }$ 
 $\mathcal{I}$  gpv  $\wedge I s'$ 
unfolding inline-def by(rule results-gpv-inline-aux(1)[OF - refl])

end

lemma inline-map-gpv:
inline callee (map-gpv f g gpv) s = map-gpv (apfst f) id (inline ( $\lambda s. \text{callee } s (g$ 
x)) gpv s)
unfolding apfst-def
by(rule inline-parametric
[where S=BNF-Def.Grp UNIV id and C=BNF-Def.Grp UNIV g and
C'=BNF-Def.Grp UNIV id and A=BNF-Def.Grp UNIV f,
THEN rel-fund, THEN rel-fund, THEN rel-fund,
unfolded gpv.rel-Grp prod.rel-Grp, simplified, folded eq-alt, unfolded Grp-def,
simplified])
(auto simp add: rel-fun-def relator-eq)

```

4.17 Running GPVs

```

type-synonym ('call, 'ret, 's) callee = 's ⇒ 'call ⇒ ('ret × 's) spmf

context fixes callee :: ('call, 'ret, 's) callee notes [[function-internals]] begin

partial-function (spmf) exec-gpv :: ('a, 'call, 'ret) gpv ⇒ 's ⇒ ('a × 's) spmf
where
exec-gpv c s =
the-gpv c ≈

```

```

case-generat ( $\lambda x. \text{return-spmf} (x, s)$ )
( $\lambda \text{out } c. \text{callee } s \text{ out} \gg= (\lambda (x, y). \text{exec-gpv} (c x) y))$ 

abbreviation run-gpv :: ('a, 'call, 'ret) gpv  $\Rightarrow$  's  $\Rightarrow$  'a spmf
where run-gpv gpv s  $\equiv$  map-spmf fst (exec-gpv gpv s)

lemma exec-gpv-fixp-induct [case-names adm bottom step]:
assumes ccpo.admissible (fun-lub lub-spmf) (fun-ord (ord-spmf (=))) ( $\lambda f. P (\lambda c$ 
s. f (c, s)))
and P ( $\lambda - -. \text{return-pmf} \text{None}$ )
and  $\wedge \text{exec-gpv}. P \text{ exec-gpv} \implies$ 
P ( $\lambda c s. \text{the-gpv} c \gg= \text{case-generat} (\lambda x. \text{return-spmf} (x, s)) (\lambda \text{out } c. \text{callee } s$ 
out  $\gg= (\lambda (x, y). \text{exec-gpv} (c x) y))$ )
shows P exec-gpv
using assms(1)
by(rule exec-gpv.fixp-induct[unfolded curry-conv[abs-def]])(simp-all add: assms(2-))

lemma exec-gpv-fixp-induct-strong [case-names adm bottom step]:
assumes ccpo.admissible (fun-lub lub-spmf) (fun-ord (ord-spmf (=))) ( $\lambda f. P (\lambda c$ 
s. f (c, s)))
and P ( $\lambda - -. \text{return-pmf} \text{None}$ )
and  $\wedge \text{exec-gpv}'. [\wedge c s. \text{ord-spmf} (=) (\text{exec-gpv}' c s) (\text{exec-gpv} c s); P \text{ exec-gpv}'$ 
]
 $\implies P (\lambda c s. \text{the-gpv} c \gg= \text{case-generat} (\lambda x. \text{return-spmf} (x, s)) (\lambda \text{out } c. \text{callee } s$ 
out  $\gg= (\lambda (x, y). \text{exec-gpv}' (c x) y))$ )
shows P exec-gpv
using assms
by(rule spmf.fixp-strong-induct-uc[where P= $\lambda f. P (\text{curry } f)$  and U=case-prod
and C=curry, OF exec-gpv.mono exec-gpv-def, simplified curry-case-prod, sim-
plified curry-conv[abs-def] fun-ord-def split-paired-All prod.case case-prod-eta, OF
refl]) blast

lemma exec-gpv-fixp-induct-strong2 [case-names adm bottom step]:
assumes ccpo.admissible (fun-lub lub-spmf) (fun-ord (ord-spmf (=))) ( $\lambda f. P (\lambda c$ 
s. f (c, s)))
and P ( $\lambda - -. \text{return-pmf} \text{None}$ )
and  $\wedge \text{exec-gpv}'.$ 
 $[\wedge c s. \text{ord-spmf} (=) (\text{exec-gpv}' c s) (\text{exec-gpv} c s);$ 
 $\wedge c s. \text{ord-spmf} (=) (\text{exec-gpv}' c s) (\text{the-gpv} c \gg= \text{case-generat} (\lambda x. \text{return-spmf}$ 
(x, s)) ( $\lambda \text{out } c. \text{callee } s \text{ out} \gg= (\lambda (x, y). \text{exec-gpv}' (c x) y));$ 
P exec-gpv']
 $\implies P (\lambda c s. \text{the-gpv} c \gg= \text{case-generat} (\lambda x. \text{return-spmf} (x, s)) (\lambda \text{out } c. \text{callee } s$ 
out  $\gg= (\lambda (x, y). \text{exec-gpv}' (c x) y))$ )
shows P exec-gpv
using assms
by(rule spmf.fixp-induct-strong2-uc[where P= $\lambda f. P (\text{curry } f)$  and U=case-prod
and C=curry, OF exec-gpv.mono exec-gpv-def, simplified curry-case-prod, sim-
plified curry-conv[abs-def] fun-ord-def split-paired-All prod.case case-prod-eta, OF
refl]) blast+

```

```

end

lemma exec-gpv-conv-inline1:
  exec-gpv callee gpv s = map-spmf projl (inline1 ( $\lambda s c.$  lift-spmf (callee s c) :: (-, unit, unit) gpv) gpv s)
by(induction arbitrary: gpv s rule: parallel-fixp-induct-2-2[OF partial-function-definitions-spmf partial-function-definitions-spmf exec-gpv.mono inline1.mono exec-gpv-def inline1-def, unfolded lub-spmf-empty, case-names adm bottom step])
  (auto simp add: map-spmf-bind-spmf o-def spmf.map-comp bind-map-spmf split-def intro!: bind-spmf-cong[OF refl] split: generat.split)

lemma exec-gpv-simps:
  exec-gpv callee gpv s =
    the-gpv gpv  $\geqslant$ 
    case-generat ( $\lambda x.$  return-spmf (x, s))
    ( $\lambda out rpv.$  callee s out  $\geqslant$  ( $\lambda (x, y).$  exec-gpv callee (rpv x) y))
by(fact exec-gpv.simps)

lemma exec-gpv-lift-spmf [simp]:
  exec-gpv callee (lift-spmf p) s = bind-spmf p ( $\lambda x.$  return-spmf (x, s))
by(simp add: exec-gpv-conv-inline1 spmf.map-comp o-def map-spmf-conv-bind-spmf)

lemma exec-gpv-Done [simp]: exec-gpv callee (Done x) s = return-spmf (x, s)
by(simp add: exec-gpv-conv-inline1)

lemma exec-gpv-Fail [simp]: exec-gpv callee Fail s = return-spmf None
by(simp add: exec-gpv-conv-inline1)

lemma if-distrib-exec-gpv [if-distribs]:
  exec-gpv callee (if b then x else y) s = (if b then exec-gpv callee x s else exec-gpv callee y s)
by simp

lemmas exec-gpv-fixp-parallel-induct [case-names adm bottom step] =
  parallel-fixp-induct-2-2[OF partial-function-definitions-spmf partial-function-definitions-spmf exec-gpv.mono exec-gpv.mono exec-gpv-def exec-gpv-def, unfolded lub-spmf-empty]

context includes lifting-syntax begin

lemma exec-gpv-parametric':
  ((S ==> CALL ==> rel-spmf (rel-prod R S)) ==> rel-gpv'' A CALL R
  ==> S ==> rel-spmf (rel-prod A S))
  exec-gpv exec-gpv
  apply(rule rel-funI)+
  apply(unfold spmf-rel-map exec-gpv-conv-inline1)
  apply(rule rel-spmf-mono-strong)
  apply(erule inline1-parametric'[THEN rel-funD, THEN rel-funD, THEN rel-funD, rotated])

```

```

prefer 3
apply(drule in-set-inline1-lift-spmf1) +
  apply fastforce
  subgoal by simp
  subgoal premises [transfer-rule]
    supply lift-spmf-parametric'[transfer-rule] by transfer-prover
done

lemma exec-gpv-parametric [transfer-rule]:
  ((S ==> CALL ==> rel-spmf (rel-prod ((=) :: 'ret => -) S)) ==> rel-gpv
  A CALL ==> S ==> rel-spmf (rel-prod A S))
  exec-gpv exec-gpv
  unfolding rel-gpv-conv-rel-gpv'' by(rule exec-gpv-parametric')
end

lemma exec-gpv-bind: exec-gpv callee (c ≈ f) s = exec-gpv callee c s ≈ (λ(x,
s') ⇒ exec-gpv callee (f x) s')
by(auto simp add: exec-gpv-conv-inline1 inline1-bind-gpv map-spmf-bind-spmf o-def
bind-map-spmf intro!: bind-spmf-cong[OF refl] dest: in-set-inline1-lift-spmf1)

lemma exec-gpv-map-gpv-id:
  exec-gpv oracle (map-gpv f id gpv) σ = map-spmf (apfst f) (exec-gpv oracle gpv
σ)
  proof(rule sym)
    define gpv' where gpv' = map-gpv f id gpv
    have [transfer-rule]: rel-gpv (λx y. y = f x) (=) gpv gpv'
      unfolding gpv'-def by(simp add: gpv.rel-map gpv.rel-refl)
    have rel-spmf (rel-prod (λx y. y = f x) (=)) (exec-gpv oracle gpv σ) (exec-gpv
oracle gpv' σ)
      by transfer-prover
    thus map-spmf (apfst f) (exec-gpv oracle gpv σ) = exec-gpv oracle (map-gpv f id
gpv) σ
      unfolding spmf-rel-eq[symmetric] gpv'-def spmf-rel-map by(rule rel-spmf-mono)
clarify
qed

lemma exec-gpv-Pause [simp]:
  exec-gpv callee (Pause out f) s = callee s out ≈ (λ(x, s'). exec-gpv callee (f x)
s')
  by(simp add: inline1-Pause map-spmf-bind-spmf bind-map-spmf o-def exec-gpv-conv-inline1
split-def)

lemma exec-gpv-bind-lift-spmf:
  exec-gpv callee (bind-gpv (lift-spmf p) f) s = bind-spmf p (λx. exec-gpv callee (f x)
s)
  by(simp add: exec-gpv-bind)

lemma exec-gpv-bind-option [simp]:

```

```

exec-gpv oracle (monad.bind-option Fail x f) s = monad.bind-option (return-pmf
None) x (λa. exec-gpv oracle (f a) s)
by(cases x) simp-all

```

lemma pred-spmf-exec-gpv:

— We don't get an equivalence here because states are threaded through in exec-gpv.

```

[] pred-gpv A C gpv; pred-fun S (pred-fun C (pred-spmf (pred-prod (λ-. True) S)))
callee; S s ]
    ==> pred-spmf (pred-prod A S) (exec-gpv callee gpv s)
using exec-gpv-parametric[of eq-onp S eq-onp C eq-onp A, folded eq-onp-True]
apply(unfold prod.rel-eq-onp option.rel-eq-onp pmf.rel-eq-onp gpv.rel-eq-onp)
apply(drule rel-funD[where x=callee and y=callee])
subgoal
apply(rule rel-fun-mono[where X=eq-onp S])
apply(rule rel-fun-eq-onpI)
apply(unfold eq-onp-same-args)
apply assumption
apply simp
apply(erule rel-fun-eq-onpI)
done
apply(auto dest!: rel-funD simp add: eq-onp-def)
done

```

lemma exec-gpv-inline:

```

fixes callee :: ('c, 'r, 's) callee
and gpv :: 's' ⇒ 'c' ⇒ ('r' × 's', 'c, 'r) gpv
shows exec-gpv callee (inline gpv c' s') s =
    map-spmf (λ(x, s', s). ((x, s'), s)) (exec-gpv (λ(s', s) y. map-spmf (λ((x, s'),
s). (x, s', s)) (exec-gpv callee (gpv s' y) s)) c' (s', s))
(is ?lhs = ?rhs)
proof -
have ?lhs = map-spmf projl (map-spmf (map-sum (λ(x, s2, y). ((x, s2), y))
(λ(x, rpv'' :: ('r × 's, unit, unit) rpv, rpv', rpv). (x, rpv'', λr1. bind-gpv
(rpv' r1) (λ(r2, y). inline gpv (rpv r2) y)))))
(inline2 (λs c. lift-spmf (callee s c)) gpv c' s' s))
unfolding exec-gpv-conv-inline1 by(simp add: inline1-inline-conv-inline2)
also have ... = map-spmf (λ(x, s', s). ((x, s'), s)) (map-spmf projl (map-spmf
(map-sum id
(λ(x, rpv'' :: ('r × 's, unit, unit) rpv, rpv', rpv). (x, λr. bind-gpv (rpv''
r) (λ(r1, s1). map-gpv (λ((r2, s2), s1). (r2, s2, s1)) id (inline (λs c. lift-spmf
(callee s c)) (rpv' r1) s1)), rpv))))
(inline2 (λs c. lift-spmf (callee s c)) gpv c' s' s)))
unfolding spmf.map-comp by(rule map-spmf-cong[OF refl])(auto dest!: in-set-inline2-lift-spmf1)
also have ... = ?rhs unfolding exec-gpv-conv-inline1
by(subst inline1-inline-conv-inline2[symmetric])(simp add: spmf.map-comp
split-def inline-lift-spmf1 map-lift-spmf)
finally show ?thesis .
qed

```

```

lemma ord-spmf-exec-gpv:
  assumes callee:  $\bigwedge s x. \text{ord-spmf} (=) (\text{callee1 } s x) (\text{callee2 } s x)$ 
  shows ord-spmf (=) (exec-gpv callee1 gpv s) (exec-gpv callee2 gpv s)
  proof(induction arbitrary: gpv s rule: exec-gpv-fixp-parallel-induct)
    case adm show ?case by simp
    case bottom show ?case by simp
  next
    case (step exec-gpv1 exec-gpv2)
    show ?case using step.prem
      by(clar simp intro!: ord-spmf-bind-reflI ord-spmf-bindI[OF assms] step.IH split!:
        generat.split)
  qed

context fixes callee :: ('call, 'ret, 's) callee notes [[function-internals]] begin

partial-function (spmf) execp-resumption :: ('a, 'call, 'ret) resumption  $\Rightarrow$  's  $\Rightarrow$  ('a  $\times$  's) spmf
where
  execp-resumption r s = (case r of resumption.Done x  $\Rightarrow$  return-pmf (map-option
  ( $\lambda a. (a, s)$ ) x)
    | resumption.Pause out c  $\Rightarrow$  bind-spmf (callee s out) ( $\lambda (input, s'). execp-resumption (c input) s'$ ))

simps-of-case execp-resumption-simps [simp]: execp-resumption.simps

lemma execp-resumption-ABORT [simp]: execp-resumption ABORT s = return-pmf
  None
  by(simp add: ABORT-def)

lemma execp-resumption-DONE [simp]: execp-resumption (DONE x) s = return-spmf
  (x, s)
  by(simp add: DONE-def)

lemma exec-gpv-lift-resumption: exec-gpv callee (lift-resumption r) s = execp-resumption
  r s
  proof(induction arbitrary: r s rule: parallel-fixp-induct-2-2[OF partial-function-definitions-spmf
partial-function-definitions-spmf exec-gpv.mono execp-resumption.mono exec-gpv-def
execp-resumption-def, case-names adm bot step])
    case adm show ?case by(simp)
    case bot thus ?case by simp
    case (step exec-gpv' execp-resumption')
    show ?case
      by(auto split: resumption.split option.split simp add: lift-resumption.sel intro:
        bind-spmf-cong step)
  qed

lemma mcont2mcont-execp-resumption [THEN spmf.mcont2mcont, cont-intro, simp]:
  shows mcont-execp-resumption:

```

```

mcont resumption-lub resumption-ord lub-spmf (ord-spmf (=)) ( $\lambda r.$  execp-resumption
 $r s)$ 
proof –
  have mcont (prod-lub resumption-lub the-Sup) (rel-prod resumption-ord (=))
  lub-spmf (ord-spmf (=)) (case-prod execp-resumption)
  proof(rule ccpo.fixp-preserves-mcont2[OF ccpo-spmf execp-resumption.mono execp-resumption-def])
    fix execp-resumption' :: ('b, 'call, 'ret) resumption  $\Rightarrow$  's  $\Rightarrow$  ('b  $\times$  's) spmf
    assume *: mcont (prod-lub resumption-lub the-Sup) (rel-prod resumption-ord (=))
    lub-spmf (ord-spmf (=)) ( $\lambda(r, s).$  execp-resumption'  $r s)$ 
    have [THEN spmf.mcont2mcont, cont-intro, simp]: mcont resumption-lub resumption-ord lub-spmf (ord-spmf (=)) ( $\lambda r.$  execp-resumption'  $r s)$ 
      for s using * by simp
    have mcont resumption-lub resumption-ord lub-spmf (ord-spmf (=))
      ( $\lambda r.$  case r of resumption.Done x  $\Rightarrow$  return-spmf (map-option ( $\lambda a.$  (a, s)) x)
      | resumption.Pause out c  $\Rightarrow$  bind-spmf (callee s out) ( $\lambda$ (input, s'). execp-resumption' (c input) s'))
      for s by(rule mcont-case-resumption)(auto simp add: ccpo-spmf_intro!: mcont-bind-spmf)
      thus mcont (prod-lub resumption-lub the-Sup) (rel-prod resumption-ord (=))
      lub-spmf (ord-spmf (=))
        ( $\lambda(r, s).$  case r of resumption.Done x  $\Rightarrow$  return-spmf (map-option ( $\lambda a.$  (a, s)) x)
        | resumption.Pause out c  $\Rightarrow$  bind-spmf (callee s out) ( $\lambda$ (input, s'). execp-resumption' (c input) s'))
        by simp
      qed
      thus ?thesis by auto
    qed

lemma execp-resumption-bind [simp]:
  execp-resumption (r  $\gg$  f) s = execp-resumption r s  $\gg$  ( $\lambda(x, s').$  execp-resumption (f x) s')
  by(simp add: exec-gpv-lift-resumption[symmetric] lift-resumption-bind exec-gpv-bind)

lemma pred-spmf-execp-resumption:
   $\bigwedge A.$   $\llbracket$  pred-resumption A C r; pred-fun S (pred-fun C (pred-spmf (pred-prod ( $\lambda$ -.
  True) S))) callee; S s  $\rrbracket$ 
   $\implies$  pred-spmf (pred-prod A S) (execp-resumption r s)
  unfolding exec-gpv-lift-resumption[symmetric]
  by(rule pred-spmf-exec-gpv) simp-all

end

inductive WT-callee :: ('call, 'ret) I  $\Rightarrow$  ('call  $\Rightarrow$  ('ret  $\times$  's) spmf)  $\Rightarrow$  bool ( $\Diamond$ (-)
 $\vdash c / (-) \vee [100, 0] 99$ )
  for I callee
where
  WT-callee:

```

```

 $\llbracket \bigwedge call \ ret \ s. \llbracket call \in \text{outs-}\mathcal{I} \ \mathcal{I}; (ret, s) \in \text{set-spmf} \ (\text{callee } call) \ \rrbracket \implies ret \in \text{responses-}\mathcal{I} \ \mathcal{I} \ call \rrbracket$ 
 $\implies \mathcal{I} \vdash c \ \text{callee} \checkmark$ 

lemmas WT-calleeI = WT-callee
hide-fact WT-callee

lemma WT-calleed:  $\llbracket \mathcal{I} \vdash c \ \text{callee} \checkmark; (ret, s) \in \text{set-spmf} \ (\text{callee out}); out \in \text{outs-}\mathcal{I} \ \mathcal{I} \rrbracket \implies ret \in \text{responses-}\mathcal{I} \ \mathcal{I} \ out$ 
by(rule WT-callee.cases)

lemma WT-callee-full [intro!, simp]:  $\mathcal{I}\text{-full} \vdash c \ \text{callee} \checkmark$ 
by(rule WT-calleeI) simp

lemma WT-callee-parametric [transfer-rule]:
  includes lifting-syntax
  assumes [transfer-rule]: bi-unique R
  shows (rel- $\mathcal{I}$   $C \ R \implies (C \implies \text{rel-spmf} \ (\text{rel-prod} \ R \ S)) \implies (=)$ )
WT-callee WT-callee
proof –
  have *: WT-callee =  $(\lambda \mathcal{I} \ \text{callee}. \ \forall call \in \text{outs-}\mathcal{I} \ \mathcal{I}. \ \forall (ret, s) \in \text{set-spmf} \ (\text{callee } call). \ ret \in \text{responses-}\mathcal{I} \ \mathcal{I} \ call)$ 
    unfolding WT-callee.simps by blast
    show ?thesis unfolding * by transfer-prover
qed

locale callee-invariant-on-base =
  fixes callee :: 's  $\Rightarrow$  'a  $\Rightarrow$  ('b  $\times$  's) spmf
  and I :: 's  $\Rightarrow$  bool
  and I :: ('a, 'b) I

locale callee-invariant-on = callee-invariant-on-base callee I I
  for callee :: 's  $\Rightarrow$  'a  $\Rightarrow$  ('b  $\times$  's) spmf
  and I :: 's  $\Rightarrow$  bool
  and I :: ('a, 'b) I
  +
  assumes callee-invariant:  $\bigwedge s \ x \ y \ s'. \llbracket (y, s') \in \text{set-spmf} \ (\text{callee } s \ x); I \ s; x \in \text{outs-}\mathcal{I} \ \mathcal{I} \rrbracket \implies I \ s'$ 
  and WT-callee:  $\bigwedge s. \ I \ s \implies \mathcal{I} \vdash c \ \text{callee} \ s \checkmark$ 
begin

lemma callee-invariant':  $\llbracket (y, s') \in \text{set-spmf} \ (\text{callee } s \ x); I \ s; x \in \text{outs-}\mathcal{I} \ \mathcal{I} \rrbracket \implies I \ s' \wedge y \in \text{responses-}\mathcal{I} \ \mathcal{I} \ x$ 
by(auto dest: WT-calleed[OF WT-callee] callee-invariant)

lemma exec-gpv-invariant':
   $\llbracket I \ s; \mathcal{I} \vdash g \ gpv \checkmark \rrbracket \implies \text{set-spmf} \ (\text{exec-gpv } \text{callee } gpv \ s) \subseteq \{(x, s'). I \ s'\}$ 
proof(induction arbitrary: gpv s rule: exec-gpv-fixp-induct)
  case adm show ?case by(intro cont-intro ccpo-class.admissible-leI)

```

```

case bottom show ?case by simp
case step show ?case using step.prems
  by(auto simp add: bind-UNION intro!: UN-least step.IH del: subsetI split: generat.split dest!: callee-invariant' elim: WT-gpvD)
qed

lemma exec-gpv-invariant:
   $\llbracket (x, s') \in \text{set-spmf}(\text{exec-gpv} \text{ callee } \text{gpv } s); I s; \mathcal{I} \vdash g \text{ gpv} \vee \rrbracket \implies I s'$ 
  by(drule exec-gpv-invariant') blast+

lemma interaction-bounded-by-exec-gpv-count':
  fixes count
  assumes bound: interaction-bounded-by consider gpv n
  and count:  $\bigwedge s x y s'. \llbracket (y, s') \in \text{set-spmf}(\text{callee } s \text{ } x); I s; \text{consider } x; x \in \text{outs-}\mathcal{I} \rrbracket \implies \text{count } s' \leq \text{eSuc}(\text{count } s)$ 
  and ignore:  $\bigwedge s x y s'. \llbracket (y, s') \in \text{set-spmf}(\text{callee } s \text{ } x); I s; \neg \text{consider } x; x \in \text{outs-}\mathcal{I} \rrbracket \implies \text{count } s' \leq \text{count } s$ 
  and WT:  $\mathcal{I} \vdash g \text{ gpv} \vee$ 
  and I: I s
  shows set-spmf(exec-gpv callee gpv s)  $\subseteq \{(x, s'). \text{count } s' \leq n + \text{count } s\}$ 
  using bound I WT
  proof(induction arbitrary: gpv s n rule: exec-gpv-fixp-induct)
    case adm show ?case by(intro cont-intro ccpo-class.admissible-leI)
    case bottom show ?case by simp
    case (step exec-gpv')
      have set-spmf(exec-gpv' (c input) s')  $\subseteq \{(x, s''). \text{count } s'' \leq n + \text{count } s\}$ 
      if out: IO out c  $\in$  set-spmf(the-gpv gpv)
      and input: (input, s')  $\in$  set-spmf(callee s out)
      and X: out  $\in$  outs- $\mathcal{I}$ 
      for out c input s'
      proof(cases consider out)
        case True
        with step.prems out have n > 0
          and bound': interaction-bounded-by consider (c input) (n - 1)
          by(auto dest: interaction-bounded-by-contD)
        note bound'
        moreover from input ⟨I s⟩ X have I s' by(rule callee-invariant)
        moreover have  $\mathcal{I} \vdash g \text{ } c \text{ } \text{input} \vee$  using step.prems(3) out WT-calleeD[OF
        WT-callee input]
          by(rule WT-gpvD)(rule step.prems X) +
        ultimately have set-spmf(exec-gpv' (c input) s')  $\subseteq \{(x, s''). \text{count } s'' \leq n -$ 
        1 + count s' }
          by(rule step.IH)
        also have ...  $\subseteq \{(x, s''). \text{count } s'' \leq n + \text{count } s\}$  using ⟨n > 0⟩ count[OF
        input ⟨I s⟩ True X]
          by(cases n rule: co.enat.exhaust)(auto, metis add-left-mono-trans eSuc-plus
        iadd-Suc-right)
        finally show ?thesis .
      next

```

```

case False
from step.prems out this have bound': interaction-bounded-by consider (c input)
n
  by(auto dest: interaction-bounded-by-contD-ignore)
  from input ⟨I s⟩ X have I s' by(rule callee-invariant)
  note bound'
  moreover from input ⟨I s⟩ X have I s' by(rule callee-invariant)
  moreover have I ⊢ g c input √ using step.prems(3) out WT-calleeD[OF
WT-callee input]
    by(rule WT-gpvD)(rule step.prems X) +
    ultimately have set-spmf (exec-gpv' (c input) s') ⊆ {(x, s''). count s'' ≤ n +
count s'}
      by(rule step.IH)
      also have ... ⊆ {(x, s''). count s'' ≤ n + count s}
      using ignore[OF input ⟨I s⟩ False X] by(auto elim: order-trans)
      finally show ?thesis .
qed
then show ?case using step.prems(3)
  by(auto 4 3 simp add: bind-UNION del: subsetI intro!: UN-least split: generat.split dest: WT-gpvD)
qed

lemma interaction-bounded-by-exec-gpv-count:
  fixes count
  assumes bound: interaction-bounded-by consider gpv n
  and xs': (x, s') ∈ set-spmf (exec-gpv callee gpv s)
  and count: ⋀s x y s'. [(y, s') ∈ set-spmf (callee s x); I s; consider x; x ∈ outs- $\mathcal{I}$ ] ⇒ count s' ≤ eSuc (count s)
  and ignore: ⋀s x y s'. [(y, s') ∈ set-spmf (callee s x); I s; ¬ consider x; x ∈ outs- $\mathcal{I}$ ] ⇒ count s' ≤ count s
  and WT:  $\mathcal{I} \vdash g$  gpv √
  and I: I s
  shows count s' ≤ n + count s
using bound count ignore WT I
by(rule interaction-bounded-by-exec-gpv-count'[THEN subsetD, OF - - - - - xs',
unfolded mem-Collect-eq prod.case])

lemma interaction-bounded-by'-exec-gpv-count:
  fixes count
  assumes bound: interaction-bounded-by' consider gpv n
  and xs': (x, s') ∈ set-spmf (exec-gpv callee gpv s)
  and count: ⋀s x y s'. [(y, s') ∈ set-spmf (callee s x); I s; consider x; x ∈ outs- $\mathcal{I}$ ] ⇒ count s' ≤ Suc (count s)
  and ignore: ⋀s x y s'. [(y, s') ∈ set-spmf (callee s x); I s; ¬ consider x; x ∈ outs- $\mathcal{I}$ ] ⇒ count s' ≤ count s
  and outs:  $\mathcal{I} \vdash g$  gpv √
  and I: I s
  shows count s' ≤ n + count s
using interaction-bounded-by-exec-gpv-count[OF bound xs', of count] count ignore

```

```

 $\text{outs } I$ 
by(simp add: eSuc-enat)

lemma pred-spmf-calleeI:  $\llbracket I s; x \in \text{outs-}\mathcal{I} \mathcal{I} \rrbracket \implies \text{pred-spmf} (\text{pred-prod} (\lambda\text{-} . \text{True}) I) (\text{callee } s \ x)$ 
by(auto simp add: pred-spmf-def dest: callee-invariant)

lemma lossless-exec-gpv:
  assumes gpv: lossless-gpv  $\mathcal{I}$  gpv
  and callee:  $\bigwedge s \text{ out}. \llbracket \text{out} \in \text{outs-}\mathcal{I} \mathcal{I}; I s \rrbracket \implies \text{lossless-spmf} (\text{callee } s \text{ out})$ 
  and WT-gpv:  $\mathcal{I} \vdash g \text{ gpv} \vee$ 
  and I: I s
  shows lossless-spmf (exec-gpv callee gpv s)
using gpv WT-gpv I
proof(induction arbitrary: s rule: lossless-WT-gpv-induct)
  case (lossless-gpv gpv)
  show ?case using lossless-gpv.hyps lossless-gpv.prem
    by(subst exec-gpv.simps)(fastforce split: generat.split simp add: callee intro!: lossless-gpv.IH intro: WT-calleeD[OF WT-callee] elim!: callee-invariant)
qed

lemma in-set-spmf-exec-gpv-into-results-gpv:
  assumes *:  $(x, s') \in \text{set-spmf} (\text{exec-gpv} \text{ callee } \text{gpv} \ s)$ 
  and WT-gpv :  $\mathcal{I} \vdash g \text{ gpv} \vee$ 
  and I: I s
  shows  $x \in \text{results-gpv} \mathcal{I} \text{ gpv}$ 
proof –
  have set-spmf (exec-gpv callee gpv s)  $\subseteq \text{results-gpv} \mathcal{I} \text{ gpv} \times \text{UNIV}$ 
  using WT-gpv I
proof(induction arbitrary: gpv s rule: exec-gpv-fixp-induct)
  { case adm show ?case by(intro cont-intro cpo-class.admissible-leI) }
  { case bottom show ?case by simp }
  case (step exec-gpv')
  { fix out c ret s'
    assume IO: IO out c  $\in \text{set-spmf} (\text{the-gpv gpv})$ 
    and ret:  $(\text{ret}, s') \in \text{set-spmf} (\text{callee } s \text{ out})$ 
    from step.prem(1) IO have out  $\in \text{outs-}\mathcal{I} \mathcal{I}$  by(rule WT-gpvD)
    with WT-callee[OF <I s>] ret have ret  $\in \text{responses-}\mathcal{I} \mathcal{I}$  out by(rule WT-calleeD)
      with step.prem(1) IO have  $\mathcal{I} \vdash g \text{ c ret} \vee$  by(rule WT-gpvD)
    moreover from ret <I s> <out  $\in \text{outs-}\mathcal{I} \mathcal{I}$ > have I s' by(rule callee-invariant)
      ultimately have set-spmf (exec-gpv' (c ret) s')  $\subseteq \text{results-gpv} \mathcal{I} (c \text{ ret}) \times \text{UNIV}$ 
      by(rule step.IH)
    also have ...  $\subseteq \text{results-gpv} \mathcal{I} \text{ gpv} \times \text{UNIV}$  using IO <ret  $\in \text{--}$ 
      by(auto intro: results-gpv.IO)
    finally have set-spmf (exec-gpv' (c ret) s')  $\subseteq \text{results-gpv} \mathcal{I} \text{ gpv} \times \text{UNIV}$  . }
  then show ?case using step.prem
    by(auto simp add: bind-UNION_intro!: UN-least del: subsetI_split: generat.split
      intro: results-gpv.Pure)

```

```

qed
thus  $x \in \text{results-gpv } \mathcal{I} \text{ gpv}$  using * by blast+
qed

end

lemma callee-invariant-on-alt-def:
  callee-invariant-on =  $(\lambda \text{callee } I \mathcal{I}. \text{callee } I \mathcal{I})$ .
   $(\forall s \in \text{Collect } I. \forall x \in \text{outs-}\mathcal{I} \mathcal{I}. \forall (y, s') \in \text{set-spmf} (\text{callee } s x). I s' \wedge$ 
   $(\forall s \in \text{Collect } I. \mathcal{I} \vdash c \text{ callee } s \vee))$ 
unfolding callee-invariant-on-def by blast

lemma callee-invariant-on-parametric [transfer-rule]: includes lifting-syntax
assumes [transfer-rule]: bi-unique R bi-total S
shows  $((S \implies C \implies \text{rel-spmf} (\text{rel-prod } R S)) \implies (S \implies (=)) \implies \text{rel-}\mathcal{I} C R \implies (=))$ 
  callee-invariant-on callee-invariant-on
unfolding callee-invariant-on-alt-def by transfer-prover

lemma callee-invariant-on-cong:
   $\llbracket I = I'; \text{outs-}\mathcal{I} \mathcal{I} = \text{outs-}\mathcal{I} \mathcal{I}';$ 
   $\wedge s x. \llbracket I' s; x \in \text{outs-}\mathcal{I} \mathcal{I}' \rrbracket \implies \text{set-spmf} (\text{callee } s x) \subseteq \text{responses-}\mathcal{I} \mathcal{I} x \times \text{Collect } I' \longleftrightarrow \text{set-spmf} (\text{callee}' s x) \subseteq \text{responses-}\mathcal{I} \mathcal{I}' x \times \text{Collect } I' \rrbracket$ 
   $\implies \text{callee-invariant-on callee } I \mathcal{I} = \text{callee-invariant-on callee}' I' \mathcal{I}'$ 
unfolding callee-invariant-on-def WT-callee.simps
by safe((erule meta-allE)+, (erule (1) meta-impE)+, force)+

abbreviation callee-invariant ::  $('s \Rightarrow 'a \Rightarrow ('b \times 's) \text{ spmf}) \Rightarrow ('s \Rightarrow \text{bool}) \Rightarrow \text{bool}$ 
where callee-invariant callee I  $\equiv$  callee-invariant-on callee I  $\mathcal{I}$ -full

interpretation oi-True: callee-invariant-on callee λ-. True  $\mathcal{I}$ -full for callee
by unfold-locales (simp-all)

lemma callee-invariant-on-return-spmf [simp]:
  callee-invariant-on  $(\lambda s x. \text{return-spmf} (f s x)) I \mathcal{I} \longleftrightarrow (\forall s. \forall x \in \text{outs-}\mathcal{I} \mathcal{I}. I s \rightarrow I (\text{snd } (f s x)) \wedge \text{fst } (f s x) \in \text{responses-}\mathcal{I} \mathcal{I} x)$ 
  by(auto simp add: callee-invariant-on-def split-pairs WT-callee.simps)

lemma callee-invariant-return-spmf [simp]:
  callee-invariant  $(\lambda s x. \text{return-spmf} (f s x)) I \longleftrightarrow (\forall s x. I s \rightarrow I (\text{snd } (f s x)))$ 
  by(auto simp add: callee-invariant-on-def split-pairs)

lemma callee-invariant-restrict-relp:
  includes lifting-syntax
  assumes  $(S \implies C \implies \text{rel-spmf} (\text{rel-prod } R S))$  callee1 callee2
  and callee-invariant callee1 I1
  and callee-invariant callee2 I2
  shows  $((S \upharpoonright I1 \otimes I2) \implies C \implies \text{rel-spmf} (\text{rel-prod } R (S \upharpoonright I1 \otimes I2)))$ 
  callee1 callee2

```

```

proof -
  interpret ci1: callee-invariant-on callee1 I1  $\mathcal{I}$ -full by fact
  interpret ci2: callee-invariant-on callee2 I2  $\mathcal{I}$ -full by fact
  show ?thesis using assms(1)
    by(intro rel-funI)(auto simp add: restrict-rel-prod2 intro!: rel-spmf-restrict-relpI
    intro: ci1.pred-spmf-calleeI ci2.pred-spmf-calleeI dest: rel-funD rel-setD1 rel-setD2)
  qed

lemma callee-invariant-on-True [simp]: callee-invariant-on callee ( $\lambda\_. \text{True}$ )  $\mathcal{I} \longleftrightarrow$ 
  ( $\forall s. \mathcal{I} \vdash c \text{ callee } s \checkmark$ )
  by(simp add: callee-invariant-on-def)

lemma lossless-exec-gpv:
   $\llbracket \text{lossless-gpv } \mathcal{I} \text{ gpv}; \bigwedge s. \text{out} \in \text{outs-}\mathcal{I} \Rightarrow \text{lossless-spmf} (\text{callee } s \text{ out});$ 
   $\mathcal{I} \vdash g \text{ gpv } \checkmark; \bigwedge s. \mathcal{I} \vdash c \text{ callee } s \checkmark \rrbracket$ 
   $\implies \text{lossless-spmf} (\text{exec-gpv } \text{callee } \text{gpv } s)$ 
  by(rule callee-invariant-on.lossless-exec-gpv; simp)

lemma in-set-spmf-exec-gpv-into-results'-gpv:
  assumes *:  $(x, s') \in \text{set-spmf} (\text{exec-gpv } \text{callee } \text{gpv } s)$ 
  shows  $x \in \text{results}'\text{-gpv gpv}$ 
  using oi-True.in-set-spmf-exec-gpv-into-results-gpv[OF *] by(simp add: results-gpv- $\mathcal{I}$ -full)

context fixes  $\mathcal{I} :: ('out, 'in) \mathcal{I}$  begin

primcorec restrict-gpv :: ('a, 'out, 'in) gpv  $\Rightarrow$  ('a, 'out, 'in) gpv
where
  restrict-gpv gpv = GPV (
    map-pmf (case-option None (case-generat (Some  $\circ$  Pure)
      ( $\lambda \text{out } c. \text{if } \text{out} \in \text{outs-}\mathcal{I} \text{ then Some } (\text{IO out } (\lambda \text{input}. \text{if } \text{input} \in \text{responses-}\mathcal{I}$ 
       $\mathcal{I} \text{ out then restrict-gpv } (c \text{ input}) \text{ else Fail})$ 
       $\text{else None}))$ 
    (the-gpv gpv)))
  
```

lemma restrict-gpv-Done [simp]: restrict-gpv (Done x) = Done x
by(rule gpv.expand)(simp)

lemma restrict-gpv-Fail [simp]: restrict-gpv Fail = Fail
by(rule gpv.expand)(simp)

lemma restrict-gpv-Pause [simp]: restrict-gpv (Pause out c) = (if out \in outs- \mathcal{I} then Pause out ($\lambda \text{input}. \text{if } \text{input} \in \text{responses-}\mathcal{I}$ \mathcal{I} out then restrict-gpv (c input) else Fail) else Fail)
by(rule gpv.expand)(simp)

lemma restrict-gpv-bind [simp]: restrict-gpv (bind-gpv gpv f) = bind-gpv (restrict-gpv gpv) ($\lambda x. \text{restrict-gpv } (f x)$)
apply(coinduction arbitrary: gpv rule: gpv.coinduct-strong)

```

apply(auto 4 3 simp del: bind-gpv-sel' simp add: bind-gpv.sel bind-spmf-def pmf.rel-map
bind-map-pmf rel-fun-def intro!: rel-pmf-bind-reflI rel-pmf-reflI split!: option.split
generat.split split: if-split-asm)
done

lemma WT-restrict-gpv [simp]:  $\mathcal{I} \vdash g \text{ restrict-gpv } gpv \checkmark$ 
apply(coinduction arbitrary: gpv)
apply(clarsimp split: option.split-asm)
apply(split generat.split-asm; auto split: if-split-asm)
done

lemma exec-gpv-restrict-gpv:
assumes  $\mathcal{I} \vdash g \text{ gpv } \checkmark$  and WT-callee:  $\bigwedge s. \mathcal{I} \vdash c \text{ callee } s \checkmark$ 
shows exec-gpv callee (restrict-gpv gpv)  $s = \text{exec-gpv callee gpv } s$ 
using assms(1)
proof(induction arbitrary: gpv  $s$  rule: exec-gpv-fixp-induct)
case adm show ?case by simp
case bottom show ?case by simp
case (step exec-gpv') show ?case
by(auto 4 3 simp add: bind-spmf-def bind-map-pmf in-set-spmf[symmetric]
WT-gpv-OutD[OF step.prems] WT-calleeD[OF WT-callee] intro!: bind-pmf-cong[OF refl]
step.IH split!: option.split generat.split intro: WT-gpv-ContD[OF step.prems])
qed

lemma in-outs'-restrict-gpvD:  $x \in \text{outs}'\text{-gpv} (\text{restrict-gpv gpv}) \implies x \in \text{outs-}\mathcal{I} \mathcal{I}$ 
apply(induction gpv' $\equiv$ restrict-gpv gpv arbitrary: gpv rule: outs'-gpv-induct)
apply(clarsimp split: option.split-asm; split generat.split-asm;clarsimp split: if-split-asm)+
done

lemma outs'-restrict-gpv:  $\text{outs}'\text{-gpv} (\text{restrict-gpv gpv}) \subseteq \text{outs-}\mathcal{I} \mathcal{I}$  by(blast intro:
in-outs'-restrict-gpvD)

lemma lossless-restrict-gpvI:  $\llbracket \text{lossless-gpv } \mathcal{I} \text{ gpv}; \mathcal{I} \vdash g \text{ gpv } \checkmark \rrbracket \implies \text{lossless-gpv}$ 
I (restrict-gpv gpv)
apply(induction rule: lossless-gpv-induct)
apply(rule lossless-gpvI)
subgoal by(clarsimp simp add: lossless-map-pmf lossless-iff-set-pmf-None in-set-spmf[symmetric]
WT-gpv-OutD split: option.split-asm generat.split-asm if-split-asm)
subgoal by(clarsimp split: option.split-asm; split generat.split-asm; force simp
add: fun-eq-iff in-set-spmf[symmetric] split: if-split-asm intro: WT-gpv-ContD)
done

lemma lossless-restrict-gpvD:  $\llbracket \text{lossless-gpv } \mathcal{I} (\text{restrict-gpv gpv}); \mathcal{I} \vdash g \text{ gpv } \checkmark \rrbracket \implies$ 
lossless-gpv I gpv
proof(induction gpv' $\equiv$ restrict-gpv gpv arbitrary: gpv rule: lossless-gpv-induct)
case (lossless-gpv p)
from lossless-gpv.hyps(4) have p:  $p = \text{the-gpv} (\text{restrict-gpv gpv})$  by(cases re-
strict-gpv gpv) simp
show ?case

```

```

proof(rule lossless-gpvI)
  from lossless-gpv.hyps(1) show lossless-spmf (the-gpv gpv)
    by(auto simp add: p lossless-iff-set-pmf-None intro: rev-image-eqI)

    fix out c input
    assume IO: IO out c ∈ set-spmf (the-gpv gpv) and input: input ∈ responses- $\mathcal{I}$ 
 $\mathcal{I}$  out
    from lossless-gpv.prems(1) IO have out: out ∈ outs- $\mathcal{I}$   $\mathcal{I}$  by(rule WT-gpv-OutD)
      hence IO out ( $\lambda$ input. if input ∈ responses- $\mathcal{I}$   $\mathcal{I}$  out then restrict-gpv (c input)
      else Fail) ∈ set-spmf p using IO
        by(auto simp add: p in-set-spmf intro: rev-bezl)
        from lossless-gpv.hyps(3)[OF this input, of c input] WT-gpvD[OF lossless-gpv.prems
IO] input
        show lossless-gpv  $\mathcal{I}$  (c input) by simp
      qed
    qed

lemma colossless-restrict-gpvD:
   $\llbracket \text{colossless-gpv } \mathcal{I} (\text{restrict-gpv gpv}); \mathcal{I} \vdash g \text{ gpv } \checkmark \rrbracket \implies \text{colossless-gpv } \mathcal{I} \text{ gpv}$ 
proof(coinduction arbitrary: gpv)
  case (colossless-gpv gpv)
    have ?lossless-spmf using colossless-gpv(1)[THEN colossless-gpv-lossless-spmfD]
      by(auto simp add: lossless-iff-set-pmf-None intro: rev-image-eqI)
    moreover have ?continuation
    proof(intro strip disjI1)
      fix out c input
      assume IO: IO out c ∈ set-spmf (the-gpv gpv) and input: input ∈ responses- $\mathcal{I}$ 
 $\mathcal{I}$  out
      from colossless-gpv(2) IO have out: out ∈ outs- $\mathcal{I}$   $\mathcal{I}$  by(rule WT-gpv-OutD)
        hence IO out ( $\lambda$ input. if input ∈ responses- $\mathcal{I}$   $\mathcal{I}$  out then restrict-gpv (c input)
        else Fail) ∈ set-spmf (the-gpv (restrict-gpv gpv))
          using IO by(auto simp add: in-set-spmf intro: rev-bezl)
          from colossless-gpv-continuationD[OF colossless-gpv(1) this input] input WT-gpv-ContD[OF
colossless-gpv(2) IO input]
          show  $\exists$  gpv. c input = gpv  $\wedge$  colossless-gpv  $\mathcal{I}$  (restrict-gpv gpv)  $\wedge$   $\mathcal{I} \vdash g \text{ gpv } \checkmark$ 
        by simp
      qed
      ultimately show ?case ..
    qed

lemma colossless-restrict-gpvI:
   $\llbracket \text{colossless-gpv } \mathcal{I} \text{ gpv}; \mathcal{I} \vdash g \text{ gpv } \checkmark \rrbracket \implies \text{colossless-gpv } \mathcal{I} (\text{restrict-gpv gpv})$ 
proof(coinduction arbitrary: gpv)
  case (colossless-gpv gpv)
    have ?lossless-spmf using colossless-gpv(1)[THEN colossless-gpv-lossless-spmfD]
      by(auto simp add: lossless-iff-set-pmf-None in-set-spmf[symmetric] split: op-
tion.split-asm generat.split-asm if-split-asm dest: WT-gpv-OutD[OF colossless-gpv(2)])
    moreover have ?continuation
    proof(intro strip disjI1)

```

```

fix out c input
assume IO: IO out c ∈ set-spmf (the-gpv (restrict-gpv gpv)) and input: input
∈ responses- $\mathcal{I}$   $\mathcal{I}$  out
then obtain c' where out: out ∈ outs- $\mathcal{I}$   $\mathcal{I}$ 
and c: c = ( $\lambda$ input. if input ∈ responses- $\mathcal{I}$   $\mathcal{I}$  out then restrict-gpv (c' input)
else Fail)
and IO': IO out c' ∈ set-spmf (the-gpv gpv)
by(clarsimp split: option.split-asm; split generat.split-asm;clarsimp simp add:
in-set-spmf split: if-split-asm)
with input WT-gpv-ContD[OF colossless-gpv(2) IO' input] colossless-gpv-continuationD[OF
colossless-gpv(1) IO' input]
show  $\exists$ gpv. c input = restrict-gpv gpv  $\wedge$  colossless-gpv  $\mathcal{I}$  gpv  $\wedge$   $\mathcal{I} \vdash g$  gpv  $\checkmark$ 
by(auto)
qed
ultimately show ?case ..
qed

lemma gen-colossless-restrict-gpv [simp]:
 $\mathcal{I} \vdash g$  gpv  $\checkmark \implies$  gen-lossless-gpv b  $\mathcal{I}$  (restrict-gpv gpv)  $\longleftrightarrow$  gen-lossless-gpv b  $\mathcal{I}$ 
gpv
by(cases b)(auto intro: lossless-restrict-gpvI lossless-restrict-gpvD colossless-restrict-gpvI
colossless-restrict-gpvD)

lemma interaction-bound-restrict-gpv:
interaction-bound consider (restrict-gpv gpv)  $\leq$  interaction-bound consider gpv
proof(induction arbitrary: gpv rule: interaction-bound-fixp-induct)
case adm show ?case by simp
case bottom show ?case by simp
case (step interaction-bound')
show ?case using step.hyps(1)[of Fail]
by(fastforce simp add: SUP-UNION set-spmf-def bind-UNION intro: SUP-mono
rev-bexI step.IH split: option.split generat.split)
qed

lemma interaction-bounded-by-restrict-gpvI [interaction-bound, simp]:
interaction-bounded-by consider gpv n  $\implies$  interaction-bounded-by consider (restrict-gpv
gpv) n
using interaction-bound-restrict-gpv[of consider gpv] by(simp add: interaction-bounded-by.simps)

end

lemma restrict-gpv-parametric':
includes lifting-syntax
notes [transfer-rule] = the-gpv-parametric' Fail-parametric' corec-gpv-parametric'
assumes [transfer-rule]: bi-unique C bi-unique R
shows (rel- $\mathcal{I}$  C R ==> rel-gpv'' A C R ==> rel-gpv'' A C R) restrict-gpv
restrict-gpv
unfolding restrict-gpv-def by transfer-prover

```

```

lemma restrict-gpv-parametric [transfer-rule]: includes lifting-syntax shows
  bi-unique C  $\implies$  (rel- $\mathcal{I}$  C (=)  $\implies$  rel-gpv A C  $\implies$  rel-gpv A C) restrict-gpv
  restrict-gpv
  using restrict-gpv-parametric'[of C (=) A]
  by(simp add: bi-unique-eq rel-gpv-conv-rel-gpv'')

```

```

lemma map-restrict-gpv: map-gpv f id (restrict-gpv  $\mathcal{I}$  gpv) = restrict-gpv  $\mathcal{I}$  (map-gpv
f id gpv)
  for gpv :: ('a, 'out, 'ret) gpv
  using restrict-gpv-parametric[of BNF-Def.Grp UNIV (id :: 'out  $\Rightarrow$  'out) BNF-Def.Grp
UNIV f, where ?'c='ret]
  unfolding gpv.rel-Grp by(simp add: eq-alt[symmetric] rel- $\mathcal{I}$ -eq rel-fun-def bi-unique-eq)(simp
add: Grp-def)

```

```

lemma (in callee-invariant-on) exec-gpv-restrict-gpv-invariant:
  assumes  $\mathcal{I} \vdash g$  gpv  $\wedge$  and I s
  shows exec-gpv callee (restrict-gpv  $\mathcal{I}$  gpv) s = exec-gpv callee gpv s
  using assms
  proof(induction arbitrary: gpv s rule: exec-gpv-fixp-induct)
    case adm show ?case by simp
    case bottom show ?case by simp
    case (step exec-gpv') show ?case using step.prem(2)
      by(auto 4 3 simp add: bind-spmf-def bind-map-pmf in-set-spmf[symmetric]
WT-gpv- $\text{OutD}$ [OF step.prem(1)] WT-calleeD[OF WT-callee[OF step.prem(2)]]
intro!: bind-pmf-cong[OF refl] step.IH split!: option.split generat.split intro: WT-gpv- $\text{ContD}$ [OF
step.prem(1)] callee-invariant)
    qed

```

```

lemma in-results-gpv-restrict-gpvD:
  assumes x  $\in$  results-gpv  $\mathcal{I}$  (restrict-gpv  $\mathcal{I}'$  gpv)
  shows x  $\in$  results-gpv  $\mathcal{I}$  gpv
  using assms
  apply(induction gpv'  $\equiv$  restrict-gpv  $\mathcal{I}'$  gpv arbitrary: gpv)
  apply(clar simp split: option.split-asm simp add: in-set-spmf[symmetric])
  subgoal for ... y by(cases y)(auto intro: results-gpv.intros split: if-split-asm)
  apply(clar simp split: option.split-asm simp add: in-set-spmf[symmetric])
  subgoal for ... y by(cases y)(auto intro: results-gpv.intros split: if-split-asm)
  done

```

```

lemma results-gpv-restrict-gpv:
  results-gpv  $\mathcal{I}$  (restrict-gpv  $\mathcal{I}'$  gpv)  $\subseteq$  results-gpv  $\mathcal{I}$  gpv
  by(blast intro: in-results-gpv-restrict-gpvD)

```

```

lemma in-results'-gpv-restrict-gpvD:
  x  $\in$  results'-gpv (restrict-gpv  $\mathcal{I}'$  gpv)  $\implies$  x  $\in$  results'-gpv gpv
  by(rule in-results-gpv-restrict-gpvD[where  $\mathcal{I} = \mathcal{I}$ -full, unfolded results-gpv- $\mathcal{I}$ -full])

```

```

primcorec enforce- $\mathcal{I}$ -gpv :: ('out, 'in)  $\mathcal{I}$   $\Rightarrow$  ('a, 'out, 'in) gpv  $\Rightarrow$  ('a, 'out, 'in) gpv
where

```

```

enforce- $\mathcal{I}$ -gpv  $\mathcal{I}$  gpv = GPV
  (map-spmf (map-generat id id (( $\circ$ ) (enforce- $\mathcal{I}$ -gpv  $\mathcal{I}$ )))
   (map-spmf ( $\lambda$ generat. case generat of Pure x  $\Rightarrow$  Pure x | IO out rpv  $\Rightarrow$  IO out
              ( $\lambda$ input. if input  $\in$  responses- $\mathcal{I}$   $\mathcal{I}$  out then rpv input else Fail))
   (enforce-spmf (pred-generat  $\top$  ( $\lambda$ x. x  $\in$  outs- $\mathcal{I}$   $\mathcal{I}$ )  $\top$ ) (the-gpv gpv)))))

lemma enforce- $\mathcal{I}$ -gpv-Done [simp]: enforce- $\mathcal{I}$ -gpv  $\mathcal{I}$  (Done x) = Done x
  by(rule gpv.expand) simp

lemma enforce- $\mathcal{I}$ -gpv-Fail [simp]: enforce- $\mathcal{I}$ -gpv  $\mathcal{I}$  Fail = Fail
  by(rule gpv.expand) simp

lemma enforce- $\mathcal{I}$ -gpv-Pause [simp]:
  enforce- $\mathcal{I}$ -gpv  $\mathcal{I}$  (Pause out rpv) =
    (if out  $\in$  outs- $\mathcal{I}$   $\mathcal{I}$  then Pause out ( $\lambda$ input. if input  $\in$  responses- $\mathcal{I}$   $\mathcal{I}$  out then
      enforce- $\mathcal{I}$ -gpv  $\mathcal{I}$  (rpv input) else Fail) else Fail)
  by(rule gpv.expand)(simp add: fun-eq-iff)

lemma enforce- $\mathcal{I}$ -gpv-lift-spmf [simp]: enforce- $\mathcal{I}$ -gpv  $\mathcal{I}$  (lift-spmf p) = lift-spmf p
  by(rule gpv.expand)(simp add: enforce-map-spmf.spmf.map-comp o-def)

lemma enforce- $\mathcal{I}$ -gpv-bind-gpv [simp]:
  enforce- $\mathcal{I}$ -gpv  $\mathcal{I}$  (bind-gpv gpv f) = bind-gpv (enforce- $\mathcal{I}$ -gpv  $\mathcal{I}$  gpv) (enforce- $\mathcal{I}$ -gpv
 $\mathcal{I} \circ f$ )
  by(coinduction arbitrary: gpv rule: gpv.coinduct-strong)
  (auto 4 3 simp add: bind-gpv.sel spmf-rel-map bind-map-spmf o-def pred-generat-def
  elim!: generat.set-cases intro!: generat.rel-refl-strong rel-spmf-bind-reflI rel-spmf-reflI
  rel-funI split!: if-splits generat.split-asm)

lemma enforce- $\mathcal{I}$ -gpv-parametric':
  includes lifting-syntax
  notes [transfer-rule] = corec-gpv-parametric' the-gpv-parametric' Fail-parametric'
  assumes [transfer-rule]: bi-unique C bi-unique R
  shows (rel- $\mathcal{I}$  C R  $\implies$  rel-gpv'' A C R  $\implies$  rel-gpv'' A C R) enforce- $\mathcal{I}$ -gpv
  enforce- $\mathcal{I}$ -gpv
  unfolding enforce- $\mathcal{I}$ -gpv-def top-fun-def by(transfer-prover)

lemma enforce- $\mathcal{I}$ -gpv-parametric [transfer-rule]: includes lifting-syntax shows
  bi-unique C  $\implies$  (rel- $\mathcal{I}$  C (=)  $\implies$  rel-gpv A C  $\implies$  rel-gpv A C) enforce- $\mathcal{I}$ -gpv
  enforce- $\mathcal{I}$ -gpv enforce- $\mathcal{I}$ -gpv
  unfolding rel-gpv-conv-rel-gpv'' by(rule enforce- $\mathcal{I}$ -gpv-parametric'[OF - bi-unique-eq])

lemma WT-enforce- $\mathcal{I}$ -gpv [simp]:  $\mathcal{I} \vdash g$  enforce- $\mathcal{I}$ -gpv  $\mathcal{I}$  gpv  $\checkmark$ 
  by(coinduction arbitrary: gpv)(auto split: generat.split-asm)

context fixes  $\mathcal{I}$  :: ('out, 'in)  $\mathcal{I}$  begin

inductive finite-gpv :: ('a, 'out, 'in) gpv  $\Rightarrow$  bool
  where

```

```

finite-gpvI:
(  $\bigwedge$  out c input. [ IO out c  $\in$  set-spmf (the-gpv gpv); input  $\in$  responses- $\mathcal{I}$   $\mathcal{I}$  out ] ]
 $\implies$  finite-gpv (c input)  $\implies$  finite-gpv gpv

lemmas finite-gpv-induct[consumes 1, case-names finite-gpv, induct pred] = finite-gpv.induct

lemma finite-gpvD: [ finite-gpv gpv; IO out c  $\in$  set-spmf (the-gpv gpv); input  $\in$  responses- $\mathcal{I}$   $\mathcal{I}$  out ]  $\implies$  finite-gpv (c input)
by(auto elim: finite-gpv.cases)

lemma finite-gpv-Fail [simp]: finite-gpv Fail
by(auto intro: finite-gpvI)

lemma finite-gpv-Done [simp]: finite-gpv (Done x)
by(auto intro: finite-gpvI)

lemma finite-gpv-Pause [simp]: finite-gpv (Pause x c)  $\longleftrightarrow$  ( $\forall$  input  $\in$  responses- $\mathcal{I}$ 
 $\mathcal{I}$  x. finite-gpv (c input))
by(auto dest: finite-gpvD intro: finite-gpvI)

lemma finite-gpv-lift-spmf [simp]: finite-gpv (lift-spmf p)
by(auto intro: finite-gpvI)

lemma finite-gpv-bind [simp]:
finite-gpv (gpv  $\gg$  f)  $\longleftrightarrow$  finite-gpv gpv  $\wedge$  ( $\forall$  x $\in$ results-gpv  $\mathcal{I}$  gpv. finite-gpv (f
x))
(is ?lhs = ?rhs)
proof(intro iffI conjI ballI; (elim conjE)?)
show finite-gpv gpv if ?lhs using that
proof(induction gpv'≡gpv  $\gg$  f arbitrary: gpv)
case finite-gpv
show ?case
proof(rule finite-gpvI)
fix out c input
assume IO: IO out c  $\in$  set-spmf (the-gpv gpv)
and input: input  $\in$  responses- $\mathcal{I}$   $\mathcal{I}$  out
have IO out ( $\lambda$ input. c input  $\gg$  f)  $\in$  set-spmf (the-gpv (gpv  $\gg$  f))
using IO by(auto intro: rev-bexI)
thus finite-gpv (c input) using input by(rule finite-gpv.hyps) simp
qed
qed
show finite-gpv (f x) if x  $\in$  results-gpv  $\mathcal{I}$  gpv ?lhs for x using that
proof(induction)
case (Pure gpv)
show ?case
proof
fix out c input
assume IO out c  $\in$  set-spmf (the-gpv (f x)) input  $\in$  responses- $\mathcal{I}$   $\mathcal{I}$  out

```

```

    with Pure have IO out c ∈ set-spmf (the-gpv (gpv ≈ f)) by(auto intro:
rev-bexI)
      with Pure.preds show finite-gpv (c input) by(rule finite-gpvD) fact
qed
next
  case (IO out c gpv input)
    with IO.hyps have IO out (λinput. c input ≈ f) ∈ set-spmf (the-gpv (gpv
≈ f))
      by(auto intro: rev-bexI)
      with IO.preds have finite-gpv (c input ≈ f) using IO.hyps(2) by(rule
finite-gpvD)
        thus ?case by(rule IO.IH)
qed
show ?lhs if finite-gpv gpv ∀ x∈results-gpv I gpv. finite-gpv (f x) using that
proof induction
  case (finite-gpv gpv)
  show ?case
  proof(rule finite-gpvI)
    fix out c input
    assume IO: IO out c ∈ set-spmf (the-gpv (gpv ≈ f)) and input: input ∈
responses-I I out
    then obtain generat where generat: generat ∈ set-spmf (the-gpv gpv)
      and IO: IO out c ∈ set-spmf (if is-Pure generat then the-gpv (f (result
generat)) else
          return-spmf (IO (output generat) (λinput. continuation generat
input ≈ f)))
        by(auto)
    show finite-gpv (c input)
    proof(cases generat)
      case (Pure x)
      with generat IO have x ∈ results-gpv I gpv IO out c ∈ set-spmf (the-gpv
(f x))
        by(auto intro: results-gpv.Pure)
        thus ?thesis using finite-gpv.preds input by(auto dest: finite-gpvD)
    next
      case *: (IO out' c')
      with IO generat finite-gpv.preds input show ?thesis
        by(auto 4 4 intro: finite-gpv.IH results-gpv.IO)
    qed
  qed
  qed
qed
end

context includes lifting-syntax begin

lemma finite-gpv-rel''D1:
  assumes rel-gpv'' A C R gpv gpv' and finite-gpv I gpv and I: rel-I C R I I'

```

```

shows finite-gpv I' gpv'
using assms(2,1)
proof(induction arbitrary: gpv')
  case (finite-gpv gpv)
    note finite-gpv.psms[transfer-rule]
    show ?case
    proof(rule finite-gpvI)
      fix out' c' input'
      assume IO: IO out' c' ∈ set-spmf (the-gpv gpv') and input': input' ∈ responses- $\mathcal{I}$  I' out'
      have rel-set (rel-generat A C (R ==> (rel-gpv'' A C R))) (set-spmf (the-gpv gpv)) (set-spmf (the-gpv gpv''))
        supply the-gpv-parametric'[transfer-rule] by transfer-prover
        with IO input' responses- $\mathcal{I}$ -parametric[THEN rel-funD, OF I] obtain out c input
          where IO out c ∈ set-spmf (the-gpv gpv) input ∈ responses- $\mathcal{I}$  I out rel-gpv'' A C R (c input) (c' input')
            by(auto 4 3 dest!: rel-setD2 elim!: generat.rel-cases dest: rel-funD)
            then show finite-gpv I' (c' input') by(rule finite-gpv.IH)
        qed
      qed
    lemma finite-gpv-relD1: [| rel-gpv A C gpv gpv'; finite-gpv I gpv; rel- $\mathcal{I}$  C (=) I I |] ==> finite-gpv I gpv'
      using finite-gpv-rel''D1[of A C (=) gpv gpv' I I] by(simp add: rel-gpv-conv-rel-gpv'')
    lemma finite-gpv-rel''D2: [| rel-gpv'' A C R gpv gpv'; finite-gpv I gpv'; rel- $\mathcal{I}$  C R I' I |] ==> finite-gpv I' gpv
      using finite-gpv-rel''D1[of A-1 C-1 R-1 gpv' gpv I I] by(simp add: rel-gpv''-conversep)
    lemma finite-gpv-relD2: [| rel-gpv A C gpv gpv'; finite-gpv I gpv'; rel- $\mathcal{I}$  C (=) I I |] ==> finite-gpv I gpv
      using finite-gpv-rel''D2[of A C (=) gpv gpv' I I] by(simp add: rel-gpv-conv-rel-gpv'')
    lemma finite-gpv-parametric': (rel- $\mathcal{I}$  C R ==> rel-gpv'' A C R ==> (=)) finite-gpv finite-gpv
      by(blast dest: finite-gpv-rel''D2 finite-gpv-rel''D1)
    lemma finite-gpv-parametric [transfer-rule]: (rel- $\mathcal{I}$  C (=) ==> rel-gpv A C ==> (=)) finite-gpv finite-gpv
      using finite-gpv-parametric'[of C (=) A] by(simp add: rel-gpv-conv-rel-gpv'')
  end
  lemma finite-gpv-map [simp]: finite-gpv I (map-gpv f id gpv) = finite-gpv I gpv
    using finite-gpv-parametric[of BNF-Def.Grp UNIV id BNF-Def.Grp UNIV f]
    unfolding gpv.rel-Grp by(auto simp add: rel-fun-def BNF-Def.Grp-def eq-commute rel- $\mathcal{I}$ -eq)

```

```

lemma finite-gpv-assert [simp]: finite-gpv  $\mathcal{I}$  (assert-gpv b)
by(cases b) simp-all

lemma finite-gpv-try [simp]:
finite-gpv  $\mathcal{I}$  (TRY gpv ELSE gpv')  $\longleftrightarrow$  finite-gpv  $\mathcal{I}$  gpv  $\wedge$  (colossal-gpv  $\mathcal{I}$  gpv
 $\vee$  finite-gpv  $\mathcal{I}$  gpv')
(is ?lhs = -)
proof(intro iffI conjI; (elim conjE disjE)?)
show 1: finite-gpv  $\mathcal{I}$  gpv if ?lhs using that
proof(induction gpv''≡TRY gpv ELSE gpv' arbitrary: gpv)
case (finite-gpv gpv)
show ?case
proof(rule finite-gpvI)
fix out c input
assume IO: IO out c ∈ set-spmf (the-gpv gpv) and input: input ∈ responses- $\mathcal{I}$ 
 $\mathcal{I}$  out
from IO have IO out (λinput. TRY c input ELSE gpv') ∈ set-spmf (the-gpv
 $(TRY gpv ELSE gpv'))$ 
by(auto simp add: image-image generat.map-comp o-def intro: rev-image-eqI)
thus finite-gpv  $\mathcal{I}$  (c input) using input by(rule finite-gpv.hyps) simp
qed
qed
have finite-gpv  $\mathcal{I}$  gpv' if ?lhs ⊢ colossal-gpv  $\mathcal{I}$  gpv using that
proof(induction gpv''≡TRY gpv ELSE gpv' arbitrary: gpv)
case (finite-gpv gpv)
show ?case
proof(cases lossless-spmf (the-gpv gpv))
case True
have ∃ out c input. IO out c ∈ set-spmf (the-gpv gpv) ∧ input ∈ responses- $\mathcal{I}$ 
 $\mathcal{I}$  out  $\wedge$  ⊢ colossal-gpv  $\mathcal{I}$  (c input)
using finite-gpv.psms by(rule contrapos-np)(auto intro: colossal-gpvI simp
add: True)
then obtain out c input where IO: IO out c ∈ set-spmf (the-gpv gpv)
and co': ⊢ colossal-gpv  $\mathcal{I}$  (c input)
and input: input ∈ responses- $\mathcal{I}$   $\mathcal{I}$  out by blast
from IO have IO out (λinput. TRY c input ELSE gpv') ∈ set-spmf (the-gpv
 $(TRY gpv ELSE gpv'))$ 
by(auto simp add: image-image generat.map-comp o-def intro: rev-image-eqI)
with co' show ?thesis using input by(blast intro: finite-gpv.hyps(2))
next
case False
show ?thesis
proof(rule finite-gpvI)
fix out c input
assume IO: IO out c ∈ set-spmf (the-gpv gpv') and input: input ∈ responses- $\mathcal{I}$ 
 $\mathcal{I}$  out
from IO False have IO out c ∈ set-spmf (the-gpv (TRY gpv ELSE gpv'))
by(auto intro: rev-image-eqI)

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```

    then show finite-gpv I (c input) using input by(rule finite-gpv.hyps)
qed
qed
qed
then show colossless-gpv I gpv ∨ finite-gpv I gpv' if ?lhs using that by blast

show ?lhs if finite-gpv I gpv finite-gpv I gpv' using that(1)
proof induction
  case (finite-gpv gpv)
  show ?case
  proof
    fix out c input
    assume IO: IO out c ∈ set-spmf (the-gpv (TRY gpv ELSE gpv'))
    and input: input ∈ responses-I I out
    then consider (gpv) c' where IO out c' ∈ set-spmf (the-gpv gpv) c = (λinput.
      TRY c' input ELSE gpv')
      | (gpv') IO out c ∈ set-spmf (the-gpv gpv') by(auto split: if-split-asm)
    then show finite-gpv I (c input) using input
      by cases(auto intro: finite-gpv.IH finite-gpvD[OF that(2)])
  qed
qed
show ?lhs if finite-gpv I gpv colossless-gpv I gpv using that
proof induction
  case (finite-gpv gpv)
  show ?case
    by(rule finite-gpvI)(use finite-gpv.prem in ⟨fastforce split: if-split-asm dest:
      colossless-gpvD intro: finite-gpv.IH⟩)
  qed
qed

lemma lossless-gpv-conv-finite:
  lossless-gpv I gpv ↔ finite-gpv I gpv ∧ colossless-gpv I gpv
  (is ?loss ↔ ?fin ∧ ?co)
proof(intro iffI conjI; (elim conjE) ?)
  show ?fin if ?loss using that by induction(auto intro: finite-gpvI)
  show ?co if ?loss using that by induction(auto intro: colossless-gpvI)
  show ?loss if ?fin ?co using that
  proof induction
    case (finite-gpv gpv)
    from finite-gpv.prem finite-gpv.IH show ?case
      by cases(auto intro: lossless-gpvI)
  qed
qed

lemma colossless-gpv-try [simp]:
  colossless-gpv I (TRY gpv ELSE gpv') ↔ colossless-gpv I gpv ∨ colossless-gpv
  I gpv'
  (is ?lhs ↔ ?gpv ∨ ?gpv')
proof(intro iffI disjCI; (elim disjE) ?)

```

```

show ?gpv if ?lhs ⊢ ?gpv' using that(1)
proof(coinduction arbitrary: gpv)
  case (colossal-gpv gpv)
  have ?lossless-spmf
  proof(rule ccontr)
    assume loss: ⊢ ?lossless-spmf
    with colossal-gpv-lossless-spmfD[OF colossal-gpv(1)]
    have gpv': lossless-spmf (the-gpv gpv') by auto
    have ∃ out c input. IO out c ∈ set-spmf (the-gpv gpv') ∧ input ∈ responses-Ι
      Ι out ∧ ⊢ colossal-gpv Ι (c input)
      using that(2) by(rule contrapos-np)(auto intro: colossal-gpvI gpv')
    then obtain out c input
      where IO: IO out c ∈ set-spmf (the-gpv gpv')
      and co': ⊢ colossal-gpv Ι (c input)
      and input: input ∈ responses-Ι Ι out by blast
    from IO loss have IO out c ∈ set-spmf (the-gpv (TRY gpv ELSE gpv'))
      by(auto intro: rev-image-eqI)
    with colossal-gpv(1) have colossal-gpv Ι (c input) using input
      by(rule colossal-gpv-continuationD)
    with co' show False by contradiction
  qed
  moreover have ?continuation
  proof(intro strip disjI1; simp)
    fix out c input
    assume IO: IO out c ∈ set-spmf (the-gpv gpv) and input: input ∈ responses-Ι
      Ι out
    hence IO out (λinput. TRY c input ELSE gpv') ∈ set-spmf (the-gpv (TRY
      gpv ELSE gpv'))
      by(auto intro: rev-image-eqI)
    with colossal-gpv show colossal-gpv Ι (TRY c input ELSE gpv')
      by(rule colossal-gpv-continuationD)(simp add: input)
  qed
  ultimately show ?case ..
qed
show ?lhs if ?gpv'
proof(coinduction arbitrary: gpv)
  case colossal-gpv
  show ?case using colossal-gpvD[OF that] by(auto 4 3)
qed
show ?lhs if ?gpv using that
proof(coinduction arbitrary: gpv)
  case colossal-gpv
  show ?case using colossal-gpvD[OF colossal-gpv] by(auto 4 3)
qed
qed

lemma lossless-gpv-try [simp]:
  lossless-gpv Ι (TRY gpv ELSE gpv') ←→
  finite-gpv Ι gpv ∧ (lossless-gpv Ι gpv ∨ lossless-gpv Ι gpv')

```

```

by(auto simp add: lossless-gpv-conv-finite)

lemma interaction-any-bounded-by-imp-finite:
  assumes interaction-any-bounded-by gpv (enat n)
  shows finite-gpv  $\mathcal{I}$ -full gpv
using assms
proof(induction n arbitrary: gpv)
  case 0
  then show ?case by(auto intro: finite-gpv.intros dest: interaction-bounded-by-contD
simp add: zero-enat-def[symmetric])
next
  case (Suc n)
  from Suc.preds show ?case unfolding eSuc-enat[symmetric]
    by(auto 4 4 intro: finite-gpv.intros Suc.IH dest: interaction-bounded-by-contD)
qed

lemma finite-restrict-gpvI [simp]: finite-gpv  $\mathcal{I}'$  gpv  $\Rightarrow$  finite-gpv  $\mathcal{I}'$  (restrict-gpv
 $\mathcal{I}$  gpv)
by(induction rule: finite-gpv-induct)(rule finite-gpvI; clarsimp split: option.split-asm;
split generat.split-asm;clarsimp split: if-split-asm simp add: in-set-spmf)

lemma interaction-bounded-by-exec-gpv-bad-count:
  fixes count and bad and n :: enat and k :: real
  assumes bound: interaction-bounded-by consider gpv n
  and good:  $\neg$  bad s
  and count:  $\bigwedge s x y s'. \llbracket (y, s') \in \text{set-spmf}(\text{callee } s x); \text{consider } x; x \in \text{outs-}\mathcal{I} \mathcal{I} \rrbracket \Rightarrow \text{count } s' \leq \text{Suc}(\text{count } s)$ 
  and ignore:  $\bigwedge s x y s'. \llbracket (y, s') \in \text{set-spmf}(\text{callee } s x); \neg \text{consider } x; x \in \text{outs-}\mathcal{I} \mathcal{I} \rrbracket \Rightarrow \text{count } s' \leq \text{count } s$ 
  and bad:  $\bigwedge s' x. \llbracket \neg \text{bad } s'; \text{count } s' < n + \text{count } s; \text{consider } x; x \in \text{outs-}\mathcal{I} \mathcal{I} \rrbracket \Rightarrow \text{spmf}(\text{map-spmf}(\text{bad } \circ \text{snd})(\text{callee } s' x)) \text{ True} \leq k$ 
  and consider:  $\bigwedge s x y s'. \llbracket (y, s') \in \text{set-spmf}(\text{callee } s x); \neg \text{bad } s; \text{bad } s'; x \in \text{outs-}\mathcal{I} \mathcal{I} \rrbracket \Rightarrow \text{consider } x$ 
  and k-nonneg:  $k \geq 0$ 
  and WT-gpv:  $\mathcal{I} \vdash g \text{ gpv} \checkmark$ 
  and WT-callee:  $\bigwedge s. \mathcal{I} \vdash c \text{ callee } s \checkmark$ 
  shows spmf (map-spmf (bad  $\circ$  snd) (exec-gpv callee gpv s)) True  $\leq$  ennreal k *
n
using bound good bad WT-gpv
proof(induction arbitrary: gpv s n rule: exec-gpv-fixp-induct)
  case adm show ?case by(rule cont-intro ccpo-class.admissible-leI)++
  case bottom show ?case using k-nonneg by(simp add: zero-ereal-def[symmetric])
next
  case (step exec-gpv')
  let ?M = restrict-space (measure-spmf (the-gpv gpv)) {IO out c | out c. True}
  have ennreal (spmf (map-spmf (bad  $\circ$  snd) (bind-spmf (the-gpv gpv) (case-generat
( $\lambda x. \text{return-spmf}(x, s)$ ) ( $\lambda out c. \text{bind-spmf}(\text{callee } s out)(\lambda(x, y). \text{exec-gpv}'(c x)
y)))))) True) =
ennreal (spmf (bind-spmf (the-gpv gpv) ( $\lambda$ generat. case generat of Pure x =>$ 
```

```

return-spmf (bad s) |
  IO out rpv  $\Rightarrow$  bind-spmf (callee s out) ( $\lambda(x, s'). map\text{-}spmf (bad \circ snd)$ 
  ( $exec\text{-}gpv' (rpv x) s')$ )) True)
  (is - = ennreal (spmf (bind-spmf - (case-generat - ?io)) -))
  by(simp add: map-spmf-bind-spmf o-def generat.case-distrib[where h=map-spmf
  -] split-def cong del: generat.case-cong-weak)
  also have ... =  $\int^+ generat. \int^+ (x, s'). spmf (map\text{-}spmf (bad \circ snd) (exec\text{-}gpv'$ 
  (continuation generat x) s')) True  $\partial measure\text{-}spmf (callee s (output generat)) \partial ?M$ 
  using step.prems(2) by(auto simp add: ennreal-spmf-bind nn-integral-restrict-space
  intro!: nn-integral-cong split: generat.split)
  also have ...  $\leq \int^+ generat. \int^+ (x, s'). (if bad s' then 1 else ennreal k * (if$ 
  consider (output generat) then n - 1 else n)) \mathcal{D} measure-spmf (callee s (output
  generat)) \mathcal{D} ?M
  proof(clarsimp intro!: nn-integral-mono-AE simp add: AE-restrict-space-iff split
  del: if-split cong del: if-cong)
    show ennreal (spmf (map-spmf (bad \circ snd) (exec-gpv' (rpv ret) s'))) True)
       $\leq (if bad s' then 1 else ennreal k * ennreal\text{-}of\text{-}enat (if consider out then n$ 
      - 1 else n))
    if IO: IO out rpv  $\in$  set-spmf (the-gpv gpv)
    and call: (ret, s')  $\in$  set-spmf (callee s out)
    for out rpv ret s'
    proof(cases bad s')
      case True
      then show ?thesis by(simp add: pmf-le-1)
    next
      case False
      let ?n' = if consider out then n - 1 else n
      have out: out  $\in$  outs- $\mathcal{I}$   $\mathcal{I}$  using IO step.prems(4) by(simp add: WT-gpv-OutD)
      have bound': interaction-bounded-by consider (rpv ret) ?n'
        using interaction-bounded-by-contD[OF step.prems(1) IO]
        interaction-bounded-by-contD-ignore[OF step.prems(1) IO] by(auto)
      have ret  $\in$  responses- $\mathcal{I}$   $\mathcal{I}$  out using WT-callee call out by(rule WT-calleeD)
      with step.prems(4) IO have WT':  $\mathcal{I} \vdash g rpv ret \vee$  by(rule WT-gpv-ContD)
      have bad': spmf (map-pmf (map-option (bad \circ snd)) (callee s'' x)) True  $\leq k$ 
        if  $\neg bad s''$  and count': count s'' < ?n' + count s' and consider x and x  $\in$ 
        outs- $\mathcal{I}$   $\mathcal{I}$ 
        for s'' x using  $\neg bad s''$  - consider x  $\langle x \in outs\text{-}\mathcal{I} \mathcal{I} \rangle$ 
        proof(rule step.prems)
          show count s'' < n + count s
          proof(cases consider out)
            case True
              with count[OF call True out] count' interaction-bounded-by-contD[OF
              step.prems(1) IO, of undefined]
              show ?thesis by(cases n)(auto simp add: one-enat-def)
            next
            case False
              with ignore[OF call - out] count' show ?thesis by(cases n)auto
          qed
        qed
      qed
    qed
  qed

```

```

from step.IH[OF bound' False this] False WT' show ?thesis by(auto simp
add: o-def)
qed
qed
also have ... = ∫+ generat. ∫+ b. indicator {True} b + ennreal k * (if consider
(output generat) then n - 1 else n) * indicator {False} b ∂measure-spmf (map-spmf
(bad ∘ snd) (callee s (output generat))) ∂?M
(is - = ∫+ generat. ∫+ -. - ∂?O' generat ∂-)
by(auto intro!: nn-integral-cong)
also have ... = ∫+ generat. (∫+ b. indicator {True} b ∂?O' generat) + ennreal
k * (if consider (output generat) then n - 1 else n) * ∫+ b. indicator {False} b
∂?O' generat ∂?M
by(subst nn-integral-add)(simp-all add: k-nonneg nn-integral-cmult o-def)
also have ... = ∫+ generat. ennreal (spmf (map-spmf (bad ∘ snd) (callee s
(output generat))) True) + ennreal k * (if consider (output generat) then n - 1
else n) * spmf (map-spmf (bad ∘ snd) (callee s (output generat))) False ∂?M
by(simp del: nn-integral-map-spmf add: emeasure-spmf-single ereal-of-enat-mult)
also have ... ≤ ∫+ generat. ennreal k * n ∂?M
proof(intro nn-integral-mono-AE, clar simp intro!: nn-integral-mono-AE simp
add: AE-restrict-space-iff not-is-Pure-conv split del: if-split)
fix out c
assume IO: IO out c ∈ set-spmf (the-gpv gpv)
with step.prems(4) have out: out ∈ outs-Ι Ι by(rule WT-gpv-OutD)
show spmf (map-spmf (bad ∘ snd) (callee s out)) True +
ennreal k * (if consider out then n - 1 else n) * spmf (map-spmf (bad ∘
snd) (callee s out)) False
≤ ennreal k * n
proof(cases consider out)
case True
with IO have n > 0 using interaction-bounded-by-contD[OF step.prems(1)]
by(blast dest: interaction-bounded-by-contD)
have spmf (map-spmf (bad ∘ snd) (callee s out)) True ≤ k (is ?o True ≤ -)
using ↵ bad s True <n > 0 out by(intro step.prems)(simp)
hence ennreal (?o True) ≤ k using k-nonneg by(simp del: o-apply)
hence ?o True + ennreal k * (n - 1) * ?o False ≤ ennreal k + ennreal k *
(n - 1) * ennreal 1
by(rule add-mono)(rule mult-left-mono, simp-all add: pmf-le-1 k-nonneg)
also have ... ≤ ennreal k * n using <n > 0
by(cases n)(auto simp add: zero-enat-def ennreal-top-mult gr0-conv-Suc
eSuc-enat[symmetric] field-simps)
finally show ?thesis using True by(simp del: o-apply add: ereal-of-enat-mult)
next
case False
hence spmf (map-spmf (bad ∘ snd) (callee s out)) True = 0 using ↵ bad
s out
unfolding spmf-eq-0-set-spmf by(auto dest: consider)
with False k-nonneg pmf-le-1[of map-spmf (bad ∘ snd) (callee s out) Some
False]
show ?thesis by(simp add: mult-left-mono[THEN order-trans, where ?b1=1])

```

```

qed
qed
also have ... ≤ ennreal k * n
  by(simp add: k-nonneg emeasure-restrict-space measure-spmf.emeasure-eq-measure
space-restrict-space measure-spmf.subprob-measure-le-1 mult-left-mono[THEN or-
der-trans, where ?b1=1])
finally show ?case by(simp del: o-apply)
qed

context callee-invariant-on begin

lemma interaction-bounded-by-exec-gpv-bad-count:
  includes lifting-syntax
  fixes count and bad and n :: enat
  assumes bound: interaction-bounded-by consider gpv n
  and I: I s
  and good: ¬ bad s
  and count: ∀s x y s'. [(y, s') ∈ set-spmf (callee s x); I s; consider x; x ∈ outs-ℳ
ℳ] ⇒ count s' ≤ Suc (count s)
  and ignore: ∀s x y s'. [(y, s') ∈ set-spmf (callee s x); I s; ¬ consider x; x ∈
outs-ℳ ℳ] ⇒ count s' ≤ count s
  and bad: ∀s' x. [(I s'; ¬ bad s'; count s' < n + count s; consider x; x ∈ outs-ℳ
ℳ] ⇒ spmf (map-spmf (bad ∘ snd) (callee s' x)) True ≤ k
  and consider: ∀s x y s'. [(y, s') ∈ set-spmf (callee s x); I s; ¬ bad s; bad s'; x
∈ outs-ℳ ℳ] ⇒ consider x
  and k-nonneg: k ≥ 0
  and WT-gpv: ℳ ⊢ g gpv √
  shows spmf (map-spmf (bad ∘ snd) (exec-gpv callee gpv s)) True ≤ ennreal k *
n
proof -
  { assume ∃(Rep :: 's' ⇒ 's) Abs. type-definition Rep Abs {s. I s}
    then obtain Rep :: 's' ⇒ 's' and Abs where td: type-definition Rep Abs {s. I
s} by blast
    then interpret td: type-definition Rep Abs {s. I s} .
    define cr where cr ≡ λx y. x = Rep y
    have [transfer-rule]: bi-unique cr right-total cr using td cr-def by(rule type-
def-bi-unique typedef-right-total)+
    have [transfer-domain-rule]: Domainp cr = I using type-definition-Domainp[OF
td cr-def] by simp
  let ?C = eq-onp (λx. x ∈ outs-ℳ ℳ)
    define callee' where callee' ≡ (Rep --> id --> map-spmf (map-prod id
Abs)) callee
    have [transfer-rule]: (cr ==> ?C ==> rel-spmf (rel-prod (=) cr)) callee
callee'
      by(auto simp add: callee'-def rel-fun-def cr-def spmf-rel-map prod.rel-map
td.Abs-inverse eq-onp-def intro: rel-spmf-reflI intro: td.Rep[simplified] dest: callee-invariant)
    define s' where s' ≡ Abs s

```

```

have [transfer-rule]: cr s s' using I by(simp add: cr-def s'-def td.Abs-inverse)
define bad' where bad'  $\equiv$  (Rep  $\dashrightarrow$  id) bad
have [transfer-rule]: (cr  $\Longrightarrow$  (=)) bad bad' by(simp add: rel-fun-def bad'-def cr-def)
define count' where count'  $\equiv$  (Rep  $\dashrightarrow$  id) count
have [transfer-rule]: (cr  $\Longrightarrow$  (=)) count count' by(simp add: rel-fun-def count'-def cr-def)
have [transfer-rule]: (?C  $\Longrightarrow$  (=)) consider consider by(simp add: eq-onp-def rel-fun-def)
have [transfer-rule]: rel-I ?C (=) I I
by(rule rel-II)(auto simp add: rel-set-eq set-relator-eq-onp eq-onp-same-args dest: eq-onp-to-eq)
note [transfer-rule] = bi-unique-eq-onp bi-unique-eq

define gpv' where gpv'  $\equiv$  restrict-gpv I gpv
have [transfer-rule]: rel-gpv (=) ?C gpv' gpv'
by(fold eq-onp-top-eq-eq)(auto simp add: gpv.rel-eq-onp eq-onp-same-args pred-gpv-def gpv'-def dest: in-outs'-restrict-gpvD)
have interaction-bounded-by consider gpv' n using bound by(simp add: gpv'-def)
moreover have  $\neg$  bad' s' using good by transfer
moreover have [rule-format, rotated]:
 $\bigwedge s y s'. \forall x \in \text{outs-}I. (y, s') \in \text{set-spmf}(\text{callee}' s x) \longrightarrow \text{consider } x \longrightarrow$ 
count' s'  $\leq$  Suc (count' s)
by(transfer fixing: consider)(blast intro: count)
moreover have [rule-format, rotated]:
 $\bigwedge s y s'. \forall x \in \text{outs-}I. (y, s') \in \text{set-spmf}(\text{callee}' s x) \longrightarrow \neg \text{consider } x \longrightarrow$ 
count' s'  $\leq$  count' s
by(transfer fixing: consider)(blast intro: ignore)
moreover have [rule-format, rotated]:
 $\bigwedge s''. \forall x \in \text{outs-}I. \neg \text{bad'} s'' \longrightarrow \text{count' s''} < n + \text{count' s'} \longrightarrow \text{consider } x$ 
 $\longrightarrow \text{spmf}(\text{map-spmf}(\text{bad'} \circ \text{snd})(\text{callee}' s'' x)) \text{True} \leq k$ 
by(transfer fixing: consider k n)(blast intro: bad)
moreover have [rule-format, rotated]:
 $\bigwedge s y s'. \forall x \in \text{outs-}I. (y, s') \in \text{set-spmf}(\text{callee}' s x) \longrightarrow \neg \text{bad'} s \longrightarrow \text{bad'}$ 
s'  $\longrightarrow$  consider x
by(transfer fixing: consider)(blast intro: consider)
moreover note k-nonneg
moreover have I ⊢ g gpv' √ by(simp add: gpv'-def)
moreover have  $\bigwedge s. I \vdash c \text{ callee}' s \sqrt \text{ by transfer(rule WT-callee)}$ 
ultimately have **: spmf (map-spmf (bad' ∘ snd) (exec-gpv callee' gpv' s')) True ≤ ennreal k * n
by(rule interaction-bounded-by-exec-gpv-bad-count)
have [transfer-rule]: ((=)  $\Longrightarrow$  ?C  $\Longrightarrow$  rel-spmf (rel-prod (=) (=)) callee callee
by(simp add: rel-fun-def eq-onp-def prod.rel-eq)
have spmf (map-spmf (bad ∘ snd) (exec-gpv callee gpv' s)) True ≤ ennreal k * n using **

```

```

by(transfer)
also have exec-gpv callee gpv' s = exec-gpv callee gpv s
  unfolding gpv'-def using WT-gpv I by(rule exec-gpv-restrict-gpv-invariant)
  finally have ?thesis . }
from this[cancel-type-definition] I show ?thesis by blast
qed

lemma interaction-bounded-by'-exec-gpv-bad-count:
fixes count and bad and n :: nat
assumes bound: interaction-bounded-by' consider gpv n
and I: I s
and good: ¬ bad s
and count:  $\bigwedge s x y s'. \llbracket (y, s') \in \text{set-spmf}(\text{callee } s \ x); I \ s; \text{consider } x; x \in \text{outs-}\mathcal{I}$   

 $\mathcal{I} \rrbracket \implies \text{count } s' \leq \text{Suc}(\text{count } s)$ 
and ignore:  $\bigwedge s x y s'. \llbracket (y, s') \in \text{set-spmf}(\text{callee } s \ x); I \ s; \neg \text{consider } x; x \in \text{outs-}\mathcal{I}$   

 $\mathcal{I} \rrbracket \implies \text{count } s' \leq \text{count } s$ 
and bad:  $\bigwedge s' x. \llbracket I \ s'; \neg \text{bad } s'; \text{count } s' < n + \text{count } s; \text{consider } x; x \in \text{outs-}\mathcal{I}$   

 $\mathcal{I} \rrbracket \implies \text{spmf}(\text{map-spmf}(\text{bad } \circ \text{snd})(\text{callee } s' \ x)) \text{True} \leq k$ 
and consider:  $\bigwedge s x y s'. \llbracket (y, s') \in \text{set-spmf}(\text{callee } s \ x); I \ s; \neg \text{bad } s; \text{bad } s'; x \in \text{outs-}\mathcal{I}$   

 $\mathcal{I} \rrbracket \implies \text{consider } x$ 
and k-nonneg:  $k \geq 0$ 
and WT-gpv:  $\mathcal{I} \vdash g \text{ gpv } \checkmark$ 
shows spmf(map-spmf(bad ∘ snd)(exec-gpv callee gpv s)) True ≤ k * n
apply(subst ennreal-le-iff[symmetric], simp-all add: k-nonneg ennreal-mult ennreal-real-conv-ennreal-of-enat  

del: ennreal-of-enat-enat ennreal-le-iff)
apply(rule interaction-bounded-by-exec-gpv-bad-count[OF bound I - count ignore  

bad consider k-nonneg WT-gpv, OF good])
apply simp-all
done

lemma interaction-bounded-by-exec-gpv-bad:
assumes interaction-any-bounded-by gpv n
and I s ¬ bad s
and bad:  $\bigwedge s x. \llbracket I \ s; \neg \text{bad } s; x \in \text{outs-}\mathcal{I}$   

 $\mathcal{I} \rrbracket \implies \text{spmf}(\text{map-spmf}(\text{bad } \circ \text{snd})(\text{callee } s \ x)) \text{True} \leq k$ 
and k-nonneg:  $0 \leq k$ 
and WT-gpv:  $\mathcal{I} \vdash g \text{ gpv } \checkmark$ 
shows spmf(map-spmf(bad ∘ snd)(exec-gpv callee gpv s)) True ≤ k * n
using interaction-bounded-by-exec-gpv-bad-count[where bad=bad, OF assms(1) assms(2-3),  

where ?count = λ-. 0, OF - - bad - k-nonneg] k-nonneg WT-gpv
by(simp add: ennreal-real-conv-ennreal-of-enat[symmetric] ennreal-mult[symmetric]  

del: ennreal-of-enat-enat)

end
end

```

5 Oracle combinators

```

theory Computational-Model imports
  Generative-Probabilistic-Value
begin

type-synonym security = nat
type-synonym advantage = security ⇒ real

type-synonym ('σ, 'call, 'ret) oracle' = 'σ ⇒ 'call ⇒ ('ret × 'σ) spmf
type-synonym ('σ, 'call, 'ret) oracle = security ⇒ ('σ, 'call, 'ret) oracle' × 'σ

print-translation — pretty printing for ('σ, 'call, 'ret) oracle
let
  fun tr' [Const (@{type-syntax nat}, -),
    Const (@{type-syntax prod}, -) $ (Const (@{type-syntax fun}, -) $ s1 $ (Const (@{type-syntax fun}, -) $ call $ (Const (@{type-syntax pmf}, -) $ (Const (@{type-syntax option}, -) $ (Const (@{type-syntax prod}, -) $ ret $ s2)))))) $ s3] =
    if s1 = s2 andalso s1 = s3 then Syntax.const @{type-syntax oracle} $ s1 $ call $ ret
    else raise Match;
  in [(@{type-syntax fun}, K tr')]
```

end

›

typ ('σ, 'call, 'ret) oracle

5.1 Shared state

context includes $\mathcal{I}.\text{lifting}$ and lifting-syntax begin

lift-definition plus- \mathcal{I} :: ('out, 'ret) \mathcal{I} ⇒ ('out', 'ret') \mathcal{I} ⇒ ('out + 'out', 'ret + 'ret') \mathcal{I} (**infix** $\langle\oplus_{\mathcal{I}}\rangle$ 500)
is $\lambda resp1\ resp2. \lambda out. case\ out\ of\ Inl\ out' \Rightarrow Inl\ `resp1\ out' | Inr\ out' \Rightarrow Inr\ `resp2\ out'$.

lemma plus- \mathcal{I} -sel [*simp*]:
shows outs-plus- \mathcal{I} : outs- \mathcal{I} (plus- \mathcal{I} $\mathcal{I}l\ \mathcal{I}r$) = outs- \mathcal{I} $\mathcal{I}l <+>$ outs- \mathcal{I} $\mathcal{I}r$
and responses-plus- \mathcal{I} -Inl: responses- \mathcal{I} (plus- \mathcal{I} $\mathcal{I}l\ \mathcal{I}r$) (Inl x) = Inl `responses- \mathcal{I} $\mathcal{I}l\ x$
and responses-plus- \mathcal{I} -Inr: responses- \mathcal{I} (plus- \mathcal{I} $\mathcal{I}l\ \mathcal{I}r$) (Inr y) = Inr `responses- \mathcal{I} $\mathcal{I}r\ y$
by (transfer; auto split: sum.split-asm; fail)+

lemma vimage-Inl-Plus [*simp*]: Inl $-` (A <+> B) = A$
and vimage-Inr-Plus [*simp*]: Inr $-` (A <+> B) = B$
by auto

```

lemma vimage-Inl-image-Inr: Inl -` Inr ` A = {}
  and vimage-Inr-image-Inl: Inr -` Inl ` A = {}
by auto

lemma plus- $\mathcal{I}$ -parametric [transfer-rule]:
  (rel- $\mathcal{I}$  C R ==> rel- $\mathcal{I}$  C' R' ==> rel- $\mathcal{I}$  (rel-sum C C') (rel-sum R R')) plus- $\mathcal{I}$ 
plus- $\mathcal{I}$ 
apply(rule rel-funI rel- $\mathcal{I}$ I)+
subgoal premises [transfer-rule] by(simp; rule conjI; transfer-prover)
apply(erule rel-sum.cases; clarsimp simp add: inj-vimage-image-eq vimage-Inl-image-Inr
empty-transfer vimage-Inr-image-Inl)
subgoal premises [transfer-rule] by transfer-prover
subgoal premises [transfer-rule] by transfer-prover
done

lifting-update  $\mathcal{I}$ .lifting
lifting-forget  $\mathcal{I}$ .lifting

lemma  $\mathcal{I}$ -trivial-plus- $\mathcal{I}$  [simp]:  $\mathcal{I}$ -trivial ( $\mathcal{I}_1 \oplus_{\mathcal{I}} \mathcal{I}_2$ )  $\longleftrightarrow$   $\mathcal{I}$ -trivial  $\mathcal{I}_1 \wedge \mathcal{I}$ -trivial
 $\mathcal{I}_2$ 
by(auto simp add:  $\mathcal{I}$ -trivial-def)

end

lemma map- $\mathcal{I}$ -plus- $\mathcal{I}$  [simp]:
  map- $\mathcal{I}$  (map-sum f1 f2) (map-sum g1 g2) ( $\mathcal{I}_1 \oplus_{\mathcal{I}} \mathcal{I}_2$ ) = map- $\mathcal{I}$  f1 g1  $\mathcal{I}_1 \oplus_{\mathcal{I}}$ 
map- $\mathcal{I}$  f2 g2  $\mathcal{I}_2$ 
proof(rule  $\mathcal{I}$ -eqI[OF Set.set-eqI], goal-cases)
  case (1 x)
  then show ?case by(cases x) auto
qed (auto simp add: image-image)

lemma le-plus- $\mathcal{I}$ -iff [simp]:
   $\mathcal{I}_1 \oplus_{\mathcal{I}} \mathcal{I}_2 \leq \mathcal{I}_1' \oplus_{\mathcal{I}} \mathcal{I}_2' \longleftrightarrow \mathcal{I}_1 \leq \mathcal{I}_1' \wedge \mathcal{I}_2 \leq \mathcal{I}_2'$ 
by(auto 4 4 simp add: le- $\mathcal{I}$ -def dest: bspec[where x=Inl -] bspec[where x=Inr -])

lemma  $\mathcal{I}$ -full-le-plus- $\mathcal{I}$ :  $\mathcal{I}$ -full  $\leq$  plus- $\mathcal{I}$   $\mathcal{I}_1 \mathcal{I}_2$  if  $\mathcal{I}$ -full  $\leq \mathcal{I}_1$   $\mathcal{I}$ -full  $\leq \mathcal{I}_2$ 
using that by(auto simp add: le- $\mathcal{I}$ -def top-unique)

lemma plus- $\mathcal{I}$ -mono: plus- $\mathcal{I}$   $\mathcal{I}_1 \mathcal{I}_2 \leq$  plus- $\mathcal{I}$   $\mathcal{I}_1' \mathcal{I}_2'$  if  $\mathcal{I}_1 \leq \mathcal{I}_1' \mathcal{I}_2 \leq \mathcal{I}_2'$ 
using that by(fastforce simp add: le- $\mathcal{I}$ -def)

context
  fixes left :: ('s, 'a, 'b) oracle'
  and right :: ('s, 'c, 'd) oracle'
  and s :: 's
begin

```

```

primrec plus-oracle :: 'a + 'c  $\Rightarrow$  (('b + 'd)  $\times$  's) spmf
where
  plus-oracle (Inl a) = map-spmf (apfst Inl) (left s a)
  | plus-oracle (Inr b) = map-spmf (apfst Inr) (right s b)

lemma lossless-plus-oracleI [intro, simp]:
   $\llbracket \bigwedge a. x = \text{Inl } a \implies \text{lossless-spmf}(\text{left } s a);$ 
   $\bigwedge b. x = \text{Inr } b \implies \text{lossless-spmf}(\text{right } s b) \rrbracket$ 
   $\implies \text{lossless-spmf}(\text{plus-oracle } x)$ 
by(cases x) simp-all

lemma plus-oracle-split:
  P (plus-oracle lr)  $\longleftrightarrow$ 
  ( $\forall x. lr = \text{Inl } x \longrightarrow P(\text{map-spmf}(\text{apfst Inl})(\text{left } s x))) \wedge$ 
  ( $\forall y. lr = \text{Inr } y \longrightarrow P(\text{map-spmf}(\text{apfst Inr})(\text{right } s y)))$ 
by(cases lr) auto

lemma plus-oracle-split-asm:
  P (plus-oracle lr)  $\longleftrightarrow$ 
   $\neg((\exists x. lr = \text{Inl } x \wedge \neg P(\text{map-spmf}(\text{apfst Inl})(\text{left } s x))) \vee$ 
   $(\exists y. lr = \text{Inr } y \wedge \neg P(\text{map-spmf}(\text{apfst Inr})(\text{right } s y)))$ 
by(cases lr) auto

end

notation plus-oracle (infix  $\langle \oplus_O \rangle$  500)

context
  fixes left :: ('s, 'a, 'b) oracle'
  and right :: ('s, 'c, 'd) oracle'
begin

lemma WT-plus-oracleI [intro!]:
   $\llbracket \mathcal{I}l \vdash c \text{ left } s \checkmark; \mathcal{I}r \vdash c \text{ right } s \checkmark \rrbracket \implies \mathcal{I}l \oplus_{\mathcal{I}} \mathcal{I}r \vdash c (\text{left } \oplus_O \text{ right}) s \checkmark$ 
by(rule WT-calleeI)(auto elim!: WT-calleeD simp add: inj-image-mem-iff)

lemma WT-plus-oracleD1:
  assumes  $\mathcal{I}l \oplus_{\mathcal{I}} \mathcal{I}r \vdash c (\text{left } \oplus_O \text{ right}) s \checkmark$  (is ? $\mathcal{I}$   $\vdash c$  ?callee s  $\checkmark$ )
  shows  $\mathcal{I}l \vdash c \text{ left } s \checkmark$ 
proof(rule WT-calleeI)
  fix call ret s'
  assume call  $\in$  outs- $\mathcal{I}$   $\mathcal{I}l$  (ret, s')  $\in$  set-spmf (left s call)
  hence (Inl ret, s')  $\in$  set-spmf (?callee s (Inl call)) Inl call  $\in$  outs- $\mathcal{I}$  ( $\mathcal{I}l \oplus_{\mathcal{I}} \mathcal{I}r$ )
  by(auto intro: rev-image-eqI)
  hence Inl ret  $\in$  responses- $\mathcal{I}$  ? $\mathcal{I}$  (Inl call) by(rule WT-calleeD[OF assms])
  then show ret  $\in$  responses- $\mathcal{I}$   $\mathcal{I}l$  call by(simp add: inj-image-mem-iff)
qed

```

```

lemma WT-plus-oracleD2:
  assumes  $\mathcal{I}l \oplus_{\mathcal{I}} \mathcal{I}r \vdash c (\text{left} \oplus_O \text{right}) s \vee (\text{is } ?\mathcal{I} \vdash c ?\text{callee } s \vee)$ 
  shows  $\mathcal{I}r \vdash c \text{ right } s \vee$ 
proof(rule WT-calleeI)
  fix call ret s'
  assume call  $\in \text{outs-}\mathcal{I} \mathcal{I}r (\text{ret}, s') \in \text{set-spmf} (\text{right } s \text{ call})$ 
  hence  $(\text{Inr } \text{ret}, s') \in \text{set-spmf} (? \text{callee } s (\text{Inr } \text{call})) \text{ Inr } \text{call} \in \text{outs-}\mathcal{I} (\mathcal{I}l \oplus_{\mathcal{I}} \mathcal{I}r)$ 
    by(auto intro: rev-image-eqI)
  hence  $\text{Inr } \text{ret} \in \text{responses-}\mathcal{I} ?\mathcal{I} (\text{Inr } \text{call})$  by(rule WT-calleeD[OF assms])
  then show ret  $\in \text{responses-}\mathcal{I} \mathcal{I}r \text{ call}$  by(simp add: inj-image-mem-iff)
qed

lemma WT-plus-oracle-iff [simp]:  $\mathcal{I}l \oplus_{\mathcal{I}} \mathcal{I}r \vdash c (\text{left} \oplus_O \text{right}) s \vee \longleftrightarrow \mathcal{I}l \vdash c \text{ left } s \vee \wedge \mathcal{I}r \vdash c \text{ right } s \vee$ 
by(blast dest: WT-plus-oracleD1 WT-plus-oracleD2)

lemma callee-invariant-on-plus-oracle [simp]:
  callee-invariant-on ( $\text{left} \oplus_O \text{right}$ ) I  $(\mathcal{I}l \oplus_{\mathcal{I}} \mathcal{I}r) \longleftrightarrow$ 
  callee-invariant-on left I  $\mathcal{I}l \wedge$  callee-invariant-on right I  $\mathcal{I}r$ 
  (is ?lhs  $\longleftrightarrow$  ?rhs)
proof(intro iffI conjI)
  assume ?lhs
  then interpret plus: callee-invariant-on left  $\oplus_O$  right I  $\mathcal{I}l \oplus_{\mathcal{I}} \mathcal{I}r$ .
  show callee-invariant-on left I  $\mathcal{I}l$ 
proof
  fix s x y s'
  assume  $(y, s') \in \text{set-spmf} (\text{left } s \text{ } x) \text{ and } I \text{ s and } x \in \text{outs-}\mathcal{I} \mathcal{I}l$ 
  then have  $(\text{Inl } y, s') \in \text{set-spmf} ((\text{left} \oplus_O \text{right}) s (\text{Inl } x))$ 
    by(auto intro: rev-image-eqI)
  then show I s' using ⟨I s⟩ by(rule plus.callee-invariant)(simp add: ⟨x ∈ outs- $\mathcal{I}$   $\mathcal{I}l$ ⟩)
next
  show  $\mathcal{I}l \vdash c \text{ left } s \vee$  if I s for s using plus.WT-callee[OF that] by simp
qed
  show callee-invariant-on right I  $\mathcal{I}r$ 
proof
  fix s x y s'
  assume  $(y, s') \in \text{set-spmf} (\text{right } s \text{ } x) \text{ and } I \text{ s and } x \in \text{outs-}\mathcal{I} \mathcal{I}r$ 
  then have  $(\text{Inr } y, s') \in \text{set-spmf} ((\text{left} \oplus_O \text{right}) s (\text{Inr } x))$ 
    by(auto intro: rev-image-eqI)
  then show I s' using ⟨I s⟩ by(rule plus.callee-invariant)(simp add: ⟨x ∈ outs- $\mathcal{I}$   $\mathcal{I}r$ ⟩)
next
  show  $\mathcal{I}r \vdash c \text{ right } s \vee$  if I s for s using plus.WT-callee[OF that] by simp
qed
next
  assume ?rhs
  interpret left: callee-invariant-on left I  $\mathcal{I}l$  using ⟨?rhs⟩ by simp
  interpret right: callee-invariant-on right I  $\mathcal{I}r$  using ⟨?rhs⟩ by simp

```

```

show ?lhs
proof
  fix s x y s'
  assume (y, s') ∈ set-spmf ((left ⊕O right) s x) and I s and x ∈ outs- $\mathcal{I}$  ( $\mathcal{I}l$  ⊕ $\mathcal{I}$   $\mathcal{I}r$ )
  then have (projl y, s') ∈ set-spmf (left s (projl x))  $\wedge$  projl x ∈ outs- $\mathcal{I}$   $\mathcal{I}l$   $\vee$ 
    (projr y, s') ∈ set-spmf (right s (projr x))  $\wedge$  projr x ∈ outs- $\mathcal{I}$   $\mathcal{I}r$ 
    by (cases x) auto
  then show I s' using ⟨I s⟩
    by (auto dest: left.callee-invariant right.callee-invariant)
next
  show  $\mathcal{I}l \oplus_{\mathcal{I}} \mathcal{I}r \vdash c (\text{left } \oplus_O \text{right}) s \checkmark$  if I s for s
    using left.WT-callee[OF that] right.WT-callee[OF that] by simp
qed
qed

lemma callee-invariant-plus-oracle [simp]:
  callee-invariant (left ⊕O right) I  $\longleftrightarrow$ 
  callee-invariant left I  $\wedge$  callee-invariant right I
  (is ?lhs  $\longleftrightarrow$  ?rhs)
proof –
  have ?lhs  $\longleftrightarrow$  callee-invariant-on (left ⊕O right) I ( $\mathcal{I}$ -full ⊕ $\mathcal{I}$   $\mathcal{I}$ -full)
    by(rule callee-invariant-on-cong)(auto split: plus-oracle-split-asm)
  also have ...  $\longleftrightarrow$  ?rhs by(rule callee-invariant-on-plus-oracle)
  finally show ?thesis .
qed

lemma plus-oracle-parametric [transfer-rule]:
includes lifting-syntax shows
  ((S ==> A ==> rel-spmf (rel-prod B S))
   ==> (S ==> C ==> rel-spmf (rel-prod D S))
   ==> S ==> rel-sum A C ==> rel-spmf (rel-prod (rel-sum B D) S))
  plus-oracle plus-oracle
unfolding plus-oracle-def[abs-def] by transfer-prover

lemma rel-spmf-plus-oracle:
   $\llbracket \bigwedge q1' q2'. \llbracket q1 = Inl q1'; q2 = Inl q2' \rrbracket \implies rel-spmf (rel-prod B S) (left1 s1 q1') (left2 s2 q2'); \bigwedge q1' q2'. \llbracket q1 = Inr q1'; q2 = Inr q2' \rrbracket \implies rel-spmf (rel-prod D S) (right1 s1 q1') (right2 s2 q2'); S s1 s2; rel-sum A C q1 q2 \rrbracket \implies rel-spmf (rel-prod (rel-sum B D) S) ((left1 \oplus_O right1) s1 q1) ((left2 \oplus_O right2) s2 q2)$ 
  apply(erule rel-sum.cases; clarsimp)
  apply(erule meta-allE)+
  apply(erule meta-impE, rule refl)+
  subgoal premises [transfer-rule] by transfer-prover
  apply(erule meta-allE)+
  apply(erule meta-impE, rule refl)+

```

```
subgoal premises [transfer-rule] by transfer-prover
done
```

```
end
```

5.2 Shared state with aborts

```
context
```

```
fixes left :: ('s, 'a, 'b option) oracle'
and right :: ('s, 'c, 'd option) oracle'
and s :: 's
```

```
begin
```

```
primrec plus-oracle-stop :: 'a + 'c ⇒ (('b + 'd) option × 's) spmf
```

```
where
```

```
plus-oracle-stop (Inl a) = map-spmf (apfst (map-option Inl)) (left s a)
| plus-oracle-stop (Inr b) = map-spmf (apfst (map-option Inr)) (right s b)
```

```
lemma lossless-plus-oracle-stopI [intro, simp]:
```

```
〔 A a. x = Inl a ⇒ lossless-spmf (left s a);
  A b. x = Inr b ⇒ lossless-spmf (right s b) 〕
  ⇒ lossless-spmf (plus-oracle-stop x)
```

```
by(cases x) simp-all
```

```
lemma plus-oracle-stop-split:
```

```
P (plus-oracle-stop lr) ↔
(∀ x. lr = Inl x → P (map-spmf (apfst (map-option Inl)) (left s x))) ∧
(∀ y. lr = Inr y → P (map-spmf (apfst (map-option Inr)) (right s y)))
by(cases lr) auto
```

```
lemma plus-oracle-stop-split-asm:
```

```
P (plus-oracle-stop lr) ↔
¬ ((∃ x. lr = Inl x ∧ ¬ P (map-spmf (apfst (map-option Inl)) (left s x))) ∨
  (∃ y. lr = Inr y ∧ ¬ P (map-spmf (apfst (map-option Inr)) (right s y))))
by(cases lr) auto
```

```
end
```

```
notation plus-oracle-stop (infix ⟨ ⊕_O^S ⟩ 500)
```

5.3 Disjoint state

```
context
```

```
fixes left :: ('s1, 'a, 'b) oracle'
and right :: ('s2, 'c, 'd) oracle'
```

```
begin
```

```
fun parallel-oracle :: ('s1 × 's2, 'a + 'c, 'b + 'd) oracle'
```

```
where
```

```

parallel-oracle (s1, s2) (Inl a) = map-spmf (map-prod Inl (λs1'. (s1', s2))) (left
s1 a)
| parallel-oracle (s1, s2) (Inr b) = map-spmf (map-prod Inr (Pair s1)) (right s2
b)

```

lemma parallel-oracle-def:

```

parallel-oracle = (λ(s1, s2). case-sum (λa. map-spmf (map-prod Inl (λs1'. (s1',
s2))) (left s1 a)) (λb. map-spmf (map-prod Inr (Pair s1)) (right s2 b)))
by(auto intro!: ext split: sum.split)

```

lemma lossless-parallel-oracle [simp]:

```

lossless-spmf (parallel-oracle s12 xy) ←→
(∀x. xy = Inl x → lossless-spmf (left (fst s12) x)) ∧
(∀y. xy = Inr y → lossless-spmf (right (snd s12) y))
by(cases s12; cases xy) simp-all

```

lemma parallel-oracle-split:

```

P (parallel-oracle s1s2 lr) ←→
(∀s1 s2 x. s1s2 = (s1, s2) → lr = Inl x → P (map-spmf (map-prod Inl (λs1'.
(s1', s2))) (left s1 x))) ∧
(∀s1 s2 y. s1s2 = (s1, s2) → lr = Inr y → P (map-spmf (map-prod Inr (Pair
s1)) (right s2 y)))
by(cases s1s2; cases lr) auto

```

lemma parallel-oracle-split-asm:

```

P (parallel-oracle s1s2 lr) ←→
¬ ((∃s1 s2 x. s1s2 = (s1, s2) ∧ lr = Inl x ∧ ¬ P (map-spmf (map-prod Inl
(λs1'. (s1', s2))) (left s1 x))) ∨
(∃s1 s2 y. s1s2 = (s1, s2) ∧ lr = Inr y ∧ ¬ P (map-spmf (map-prod Inr
(Pair s1)) (right s2 y))))
by(cases s1s2; cases lr) auto

```

lemma WT-parallel-oracle [intro!, simp]:

```

[ Il ⊢ c left sl √; Ir ⊢ c right sr √ ] ⇒ plus-Ι Il Ir ⊢ c parallel-oracle (sl, sr)
√
by(rule WT-calleeI)(auto elim!: WT-calleeD simp add: inj-image-mem-iff)

```

lemma callee-invariant-parallel-oracleI [simp, intro]:

```

assumes callee-invariant-on left Il Il callee-invariant-on right Ir Ir
shows callee-invariant-on parallel-oracle (pred-prod Il Ir) (Il ⊕Ι Ir)

```

proof

```

interpret left: callee-invariant-on left Il Il by fact

```

```

interpret right: callee-invariant-on right Ir Ir by fact

```

```

show pred-prod Il Ir s12'

```

```

if (y, s12') ∈ set-spmf (parallel-oracle s12 x) and pred-prod Il Ir s12 and x ∈
outs-Ι (Il ⊕Ι Ir)

```

```

for s12 x y s12' using that

```

```

by(cases s12; cases s12; cases x)(auto dest: left.callee-invariant right.callee-invariant)

```

```

show  $\mathcal{I}l \oplus_{\mathcal{I}} \mathcal{I}r \vdash c \text{ local.parallel-oracle } s \vee \text{if pred-prod } Il Ir s \text{ for } s \text{ using that}$ 
  by(cases s)(simp add: left.WT-callee right.WT-callee)
qed

end

lemma parallel-oracle-parametric:
  includes lifting-syntax shows
     $((S1 \implies CALL1 \implies rel-spmf (rel-prod (=) S1)) \implies (S2 \implies CALL2 \implies rel-spmf (rel-prod (=) S2)) \implies rel-prod S1 S2 \implies rel-sum CALL1 CALL2 \implies rel-spmf (rel-prod (=) (rel-prod S1 S2)))$ 
    parallel-oracle parallel-oracle
  unfolding parallel-oracle-def[abs-def] by (fold relator-eq) transfer-prover

```

5.4 Indexed oracles

```

definition family-oracle :: ('i  $\Rightarrow$  ('s, 'a, 'b) oracle')  $\Rightarrow$  ('i  $\Rightarrow$  's, 'i  $\times$  'a, 'b) oracle'
where family-oracle f s =  $(\lambda(i, x). map-spmf (\lambda(y, s'). (y, s(i := s')))) (f i (s i) x)$ 

```

```

lemma family-oracle-apply [simp]:
  family-oracle f s (i, x) = map-spmf (apsnd (fun-upd s i)) (f i (s i) x)
  by(simp add: family-oracle-def apsnd-def map-prod-def)

```

```

lemma lossless-family-oracle:
  lossless-spmf (family-oracle f s ix)  $\longleftrightarrow$  lossless-spmf (f (fst ix) (s (fst ix)) (snd ix))
  by(simp add: family-oracle-def split-beta)

```

5.5 State extension

```

definition extend-state-oracle :: ('call, 'ret, 's) callee  $\Rightarrow$  ('call, 'ret, 's'  $\times$  's) callee
  ( $\langle \dagger \rangle$  [1000] 1000)
where extend-state-oracle callee =  $(\lambda(s', s) x. map-spmf (\lambda(y, s). (y, (s', s))) (callee s x))$ 

```

```

lemma extend-state-oracle-simps [simp]:
  extend-state-oracle callee (s', s) x = map-spmf (λ(y, s). (y, (s', s))) (callee s x)
  by(simp add: extend-state-oracle-def)

```

```

context includes lifting-syntax begin
lemma extend-state-oracle-parametric [transfer-rule]:
   $((S \implies C \implies rel-spmf (rel-prod R S)) \implies rel-prod S' S \implies C \implies rel-spmf (rel-prod R (rel-prod S' S)))$ 
  extend-state-oracle extend-state-oracle
  unfolding extend-state-oracle-def[abs-def] by transfer-prover

```

```

lemma extend-state-oracle-transfer:

```

```

((S ==> C ==> rel-spmf (rel-prod R S))
 ==> rel-prod2 S ==> C ==> rel-spmf (rel-prod R (rel-prod2 S)))
 (λoracle. oracle) extend-state-oracle
 unfolding extend-state-oracle-def[abs-def]
 apply(rule rel-funI) +
 apply clar simp
 apply(drule (1) rel-funD) +
 apply(auto simp add: spmf-rel-map split-def dest: rel-funD intro: rel-spmf-mono)
 done
 end

lemma callee-invariant-extend-state-oracle-const [simp]:
  callee-invariant †oracle (λ(s', s). I s')
 by unfold-locales auto

lemma callee-invariant-extend-state-oracle-const':
  callee-invariant †oracle (λs. I (fst s))
 by unfold-locales auto

definition lift-stop-oracle :: ('call, 'ret, 's) callee ⇒ ('call, 'ret option, 's) callee
 where lift-stop-oracle oracle s x = map-spmf (apfst Some) (oracle s x)

lemma lift-stop-oracle-apply [simp]: lift-stop-oracle oracle s x = map-spmf (apfst
 Some) (oracle s x)
 by(fact lift-stop-oracle-def)

context includes lifting-syntax begin

lemma lift-stop-oracle-transfer:
 ((S ==> C ==> rel-spmf (rel-prod R S)) ==> (S ==> C ==>
 rel-spmf (rel-prod (pcr-Some R) S)))
 (λx. x) lift-stop-oracle
 unfolding lift-stop-oracle-def
 apply(rule rel-funI) +
 apply(drule (1) rel-funD) +
 apply(simp add: spmf-rel-map apfst-def prod.rel-map)
 done

end

definition extend-state-oracle2 :: ('call, 'ret, 's) callee ⇒ ('call, 'ret, 's × 's')
 callee (‐†› [1000] 1000)
 where extend-state-oracle2 callee = (λ(s, s') x. map-spmf (λ(y, s). (y, (s, s'))))
 (callee s x))

lemma extend-state-oracle2-simps [simp]:
 extend-state-oracle2 callee (s, s') x = map-spmf (λ(y, s). (y, (s, s'))) (callee s x)
 by(simp add: extend-state-oracle2-def)

```

```

lemma extend-state-oracle2-parametric [transfer-rule]: includes lifting-syntax shows
   $((S \implies C \implies \text{rel-spmf}(\text{rel-prod } R S)) \implies \text{rel-prod } S S' \implies C)$ 
   $\implies \text{rel-spmf}(\text{rel-prod } R (\text{rel-prod } S S'))$ 
  extend-state-oracle2 extend-state-oracle2
  unfolding extend-state-oracle2-def[abs-def] by transfer-prover

lemma callee-invariant-extend-state-oracle2-const [simp]:
  callee-invariant oracle $\dagger$   $(\lambda(s, s'). I s')$ 
  by unfold-locales auto

lemma callee-invariant-extend-state-oracle2-const':
  callee-invariant oracle $\dagger$   $(\lambda s. I (\text{snd } s))$ 
  by unfold-locales auto

lemma extend-state-oracle2-plus-oracle:
  extend-state-oracle2 (plus-oracle oracle1 oracle2) = plus-oracle (extend-state-oracle2 oracle1) (extend-state-oracle2 oracle2)
  proof((rule ext)+; goal-cases)
    case  $(1 s q)$ 
    then show ?case by (cases s; cases q) (simp-all add: apfst-def spmf.map-comp o-def split-def)
  qed

lemma parallel-oracle-conv-plus-oracle:
  parallel-oracle oracle1 oracle2 = plus-oracle (oracle1 $\dagger$ ) ( $\dagger$ oracle2)
  proof((rule ext)+; goal-cases)
    case  $(1 s q)$ 
    then show ?case by (cases s; cases q) (auto simp add: spmf.map-comp apfst-def o-def split-def map-prod-def)
  qed

lemma map-sum-parallel-oracle: includes lifting-syntax shows
   $(id \dashrightarrow \text{map-sum } f g \dashrightarrow \text{map-spmf}(\text{map-prod}(\text{map-sum } h k) id))$  (parallel-oracle oracle1 oracle2)
   $= \text{parallel-oracle}((id \dashrightarrow f \dashrightarrow \text{map-spmf}(\text{map-prod } h id)) \text{ oracle1}) ((id \dashrightarrow g \dashrightarrow \text{map-spmf}(\text{map-prod } k id)) \text{ oracle2})$ 
  proof((rule ext)+; goal-cases)
    case  $(1 s q)$ 
    then show ?case by (cases s; cases q) (simp-all add: spmf.map-comp o-def apfst-def prod.map-comp)
  qed

lemma map-sum-plus-oracle: includes lifting-syntax shows
   $(id \dashrightarrow \text{map-sum } f g \dashrightarrow \text{map-spmf}(\text{map-prod}(\text{map-sum } h k) id))$  (plus-oracle oracle1 oracle2)
   $= \text{plus-oracle}((id \dashrightarrow f \dashrightarrow \text{map-spmf}(\text{map-prod } h id)) \text{ oracle1}) ((id \dashrightarrow g \dashrightarrow \text{map-spmf}(\text{map-prod } k id)) \text{ oracle2})$ 
  proof((rule ext)+; goal-cases)
    case  $(1 s q)$ 

```

```

then show ?case by (cases q) (simp-all add: spmf.map-comp o-def apfst-def prod.map-comp)
qed

lemma map-rsuml-plus-oracle: includes lifting-syntax shows
  (id ---> rsuml ---> (map-spmf (map-prod lsumr id)) (oracle1 ⊕O (oracle2 ⊕O oracle3)) = ((oracle1 ⊕O oracle2) ⊕O oracle3))
proof((rule ext)+; goal-cases)
  case (1 s q)
  then show ?case
  proof(cases q)
    case (Inl ql)
    then show ?thesis by(cases ql)(simp-all add: spmf.map-comp o-def apfst-def prod.map-comp)
  qed (simp add: spmf.map-comp o-def apfst-def prod.map-comp id-def)
  qed

lemma map-lsumr-plus-oracle: includes lifting-syntax shows
  (id ---> lsumr ---> (map-spmf (map-prod rsuml id)) ((oracle1 ⊕O oracle2) ⊕O oracle3) = (oracle1 ⊕O (oracle2 ⊕O oracle3)))
proof((rule ext)+; goal-cases)
  case (1 s q)
  then show ?case
  proof(cases q)
    case (Inr qr)
    then show ?thesis by(cases qr)(simp-all add: spmf.map-comp o-def apfst-def prod.map-comp)
  qed (simp add: spmf.map-comp o-def apfst-def prod.map-comp id-def)
  qed

context includes lifting-syntax begin

definition lift-state-oracle
  :: (('s ⇒ 'a ⇒ (('b × 't) × 's) spmf) ⇒ ('s' ⇒ 'a ⇒ (('b × 't) × 's') spmf))
  ⇒ ('t × 's ⇒ 'a ⇒ ('b × 't × 's) spmf) ⇒ ('t × 's' ⇒ 'a ⇒ ('b × 't × 's') spmf) where
    lift-state-oracle F oracle =
      (λ(t, s') a. map-spmf rprod1 (F ((Pair t ---> id ---> map-spmf lprod1)
    oracle) s' a))

lemma lift-state-oracle-simps [simp]:
  lift-state-oracle F oracle (t, s') a = map-spmf rprod1 (F ((Pair t ---> id ---> map-spmf lprod1)
  oracle) s' a)
  by(simp add: lift-state-oracle-def)

lemma lift-state-oracle-parametric [transfer-rule]: includes lifting-syntax shows
  (((S ===> A ===> rel-spmf (rel-prod (rel-prod B T) S)) ===> S' ===>

```

```

A ===> rel-spmf (rel-prod (rel-prod B T) S')
====> (rel-prod T S ===> A ===> rel-spmf (rel-prod B (rel-prod T S)))
====> rel-prod T S' ===> A ===> rel-spmf (rel-prod B (rel-prod T S'))
lift-state-oracle lift-state-oracle
unfoldings lift-state-oracle-def map-fun-def o-def by transfer-prover

lemma lift-state-oracle-extend-state-oracle:
  includes lifting-syntax
  assumes  $\bigwedge B$ . Transfer.Rel (( $=$ ) ==> ( $=$ ) ==> rel-spmf (rel-prod B ( $=$ )))
==> ( $=$ ) ==> ( $=$ ) ==> rel-spmf (rel-prod B ( $=$ )) G F

  shows lift-state-oracle F (extend-state-oracle oracle) = extend-state-oracle (G
oracle)
  unfolding lift-state-oracle-def extend-state-oracle-def
  apply(clarsimp simp add: fun-eq-iff map-fun-def o-def spmf.map-comp split-def
rprod1-def)
  subgoal for t s a
    apply(rule sym)
    apply(fold spmf-rel-eq)
    apply(simp add: spmf-rel-map)
    apply(rule rel-spmf-mono)
    apply(rule assms[unfolded Rel-def, where  $B=\lambda x (y, z)$ .  $x = y \wedge z = t$ , THEN
rel-funD, THEN rel-funD, THEN rel-funD])
      apply(auto simp add: rel-fun-def spmf-rel-map intro!: rel-spmf-reflI)
    done
  done

lemma lift-state-oracle-compose:
  lift-state-oracle F (lift-state-oracle G oracle) = lift-state-oracle (F  $\circ$  G) oracle
  by(simp add: lift-state-oracle-def map-fun-def o-def split-def spmf.map-comp)

lemma lift-state-oracle-id [simp]: lift-state-oracle id = id
  by(simp add: fun-eq-iff spmf.map-comp o-def)

lemma rprod1-extend-state-oracle: includes lifting-syntax shows
  (rprod1 --> id --> map-spmf (map-prod id lprod1)) (extend-state-oracle
(extend-state-oracle oracle)) =
  extend-state-oracle oracle
  by(simp add: fun-eq-iff spmf.map-comp o-def split-def)

end

```

6 Combining GPVs

6.1 Shared state without interrupts

```

context
  fixes left :: ' $s \Rightarrow x_1 \Rightarrow (y_1 \times s, call, ret)$  gpv
  and right :: ' $s \Rightarrow x_2 \Rightarrow (y_2 \times s, call, ret)$  gpv

```

```

begin

primrec plus-intercept :: 's ⇒ 'x1 + 'x2 ⇒ (('y1 + 'y2) × 's, 'call, 'ret) gpv
where
  plus-intercept s (Inl x) = map-gpv (apfst Inl) id (left s x)
  | plus-intercept s (Inr x) = map-gpv (apfst Inr) id (right s x)

end

lemma plus-intercept-parametric [transfer-rule]:
  includes lifting-syntax shows
    ((S ==> X1 ==> rel-gpv (rel-prod Y1 S) C)
     ==> (S ==> X2 ==> rel-gpv (rel-prod Y2 S) C)
     ==> S ==> rel-sum X1 X2 ==> rel-gpv (rel-prod (rel-sum Y1 Y2) S)
     C)
    plus-intercept plus-intercept
  unfolding plus-intercept-def[abs-def] by transfer-prover

lemma interaction-bounded-by-plus-intercept [interaction-bound]:
  fixes left right
  shows [ [  $\bigwedge x'. x = \text{Inl } x' \Rightarrow \text{interaction-bounded-by } P (\text{left } s x') (n x')$ ;
     $\bigwedge y. x = \text{Inr } y \Rightarrow \text{interaction-bounded-by } P (\text{right } s y) (m y)$  ] ]
    ==> interaction-bounded-by P (plus-intercept left right s x) (case x of Inl x ⇒ n
    x | Inr y ⇒ m y)
  by(simp split!: sum.split add: interaction-bounded-by-map-gpv-id)

```

6.2 Shared state with interrupts

```

context
  fixes left right
  and right :: 's ⇒ 'x2 ⇒ ('y2 option × 's, 'call, 'ret) gpv
begin

primrec plus-intercept-stop :: 's ⇒ 'x1 + 'x2 ⇒ (('y1 + 'y2) option × 's, 'call,
'ret) gpv
where
  plus-intercept-stop s (Inl x) = map-gpv (apfst (map-option Inl)) id (left s x)
  | plus-intercept-stop s (Inr x) = map-gpv (apfst (map-option Inr)) id (right s x)

end

lemma plus-intercept-stop-parametric [transfer-rule]:
  includes lifting-syntax shows
    ((S ==> X1 ==> rel-gpv (rel-prod (rel-option Y1) S) C)
     ==> (S ==> X2 ==> rel-gpv (rel-prod (rel-option Y2) S) C)
     ==> S ==> rel-sum X1 X2 ==> rel-gpv (rel-prod (rel-option (rel-sum Y1
     Y2)) S) C)
    plus-intercept-stop plus-intercept-stop
  unfolding plus-intercept-stop-def by transfer-prover

```

6.3 One-sided shifts

```

primcorec (transfer) left-gpv :: ('a, 'out, 'in) gpv  $\Rightarrow$  ('a, 'out + 'out', 'in + 'in')
gpv where
  the-gpv (left-gpv gpv) =
    map-spmf (map-generat id Inl ( $\lambda rpv$  input. case input of Inl input'  $\Rightarrow$  left-gpv (rpv input')  $| - \Rightarrow$  Fail)) (the-gpv gpv)
abbreviation left-rpv :: ('a, 'out, 'in) rpv  $\Rightarrow$  ('a, 'out + 'out', 'in + 'in') rpv
where
  left-rpv rpv  $\equiv$   $\lambda$ input. case input of Inl input'  $\Rightarrow$  left-gpv (rpv input')  $| - \Rightarrow$  Fail
primcorec (transfer) right-gpv :: ('a, 'out, 'in) gpv  $\Rightarrow$  ('a, 'out' + 'out, 'in' + 'in')
gpv where
  the-gpv (right-gpv gpv) =
    map-spmf (map-generat id Inr ( $\lambda rpv$  input. case input of Inr input'  $\Rightarrow$  right-gpv (rpv input')  $| - \Rightarrow$  Fail)) (the-gpv gpv)
abbreviation right-rpv :: ('a, 'out, 'in) rpv  $\Rightarrow$  ('a, 'out' + 'out, 'in' + 'in') rpv
where
  right-rpv rpv  $\equiv$   $\lambda$ input. case input of Inr input'  $\Rightarrow$  right-gpv (rpv input')  $| - \Rightarrow$  Fail

context
  includes lifting-syntax
  notes [transfer-rule] = corec-gpv-parametric' Fail-parametric' the-gpv-parametric'
begin

lemmas left-gpv-parametric = left-gpv.transfer

lemma left-gpv-parametric':
  (rel-gpv'' A C R ==> rel-gpv'' A (rel-sum C C') (rel-sum R R')) left-gpv left-gpv
  unfolding left-gpv-def by transfer-prover

lemmas right-gpv-parametric = right-gpv.transfer

lemma right-gpv-parametric':
  (rel-gpv'' A C' R' ==> rel-gpv'' A (rel-sum C C') (rel-sum R R')) right-gpv
  right-gpv
  unfolding right-gpv-def by transfer-prover

end

lemma left-gpv-Done [simp]: left-gpv (Done x) = Done x
  by(rule gpv.expand) simp

lemma right-gpv-Done [simp]: right-gpv (Done x) = Done x
  by(rule gpv.expand) simp

lemma left-gpv-Pause [simp]:

```

```

left-gpv (Pause x rpv) = Pause (Inl x) ( $\lambda$ input. case input of Inl input'  $\Rightarrow$  left-gpv
(rv input') | -  $\Rightarrow$  Fail)
by(rule gpv.expand) simp

lemma right-gpv-Pause [simp]:
right-gpv (Pause x rpv) = Pause (Inr x) ( $\lambda$ input. case input of Inr input'  $\Rightarrow$ 
right-gpv (rv input') | -  $\Rightarrow$  Fail)
by(rule gpv.expand) simp

lemma left-gpv-map: left-gpv (map-gpv f g gpv) = map-gpv f (map-sum g h)
(left-gpv gpv)
using left-gpv.transfer[of BNF-Def.Grp UNIV f BNF-Def.Grp UNIV g BNF-Def.Grp
UNIV h]
unfolding sum.rel-Grp gpv.rel-Grp
by(auto simp add: rel-fun-def Grp-def)

lemma right-gpv-map: right-gpv (map-gpv f g gpv) = map-gpv f (map-sum h g)
(right-gpv gpv)
using right-gpv.transfer[of BNF-Def.Grp UNIV f BNF-Def.Grp UNIV g BNF-Def.Grp
UNIV h]
unfolding sum.rel-Grp gpv.rel-Grp
by(auto simp add: rel-fun-def Grp-def)

lemma results'-gpv-left-gpv [simp]:
results'-gpv (left-gpv gpv :: ('a, 'out + 'out', 'in + 'in') gpv) = results'-gpv gpv
(is ?lhs = ?rhs)
proof(rule Set.set-eqI iffI)+
show x ∈ ?rhs if x ∈ ?lhs for x using that
by(induction gpv'≡left-gpv gpv :: ('a, 'out + 'out', 'in + 'in') gpv arbitrary:
gpv)
(fastforce simp add: elim!: generat.set-cases intro: results'-gpvI split: sum.splits)+
show x ∈ ?lhs if x ∈ ?rhs for x using that
by(induction)
(auto 4 3 elim!: generat.set-cases intro: results'-gpv-Pure rev-image-eqI results'-gpv-Cont[where input=Inl -])
qed

lemma results'-gpv-right-gpv [simp]:
results'-gpv (right-gpv gpv :: ('a, 'out' + 'out', 'in' + 'in') gpv) = results'-gpv gpv
(is ?lhs = ?rhs)
proof(rule Set.set-eqI iffI)+
show x ∈ ?rhs if x ∈ ?lhs for x using that
by(induction gpv'≡right-gpv gpv :: ('a, 'out' + 'out', 'in' + 'in') gpv arbitrary:
gpv)
(fastforce simp add: elim!: generat.set-cases intro: results'-gpvI split: sum.splits)+
show x ∈ ?lhs if x ∈ ?rhs for x using that
by(induction)
(auto 4 3 elim!: generat.set-cases intro: results'-gpv-Pure rev-image-eqI results'-gpv-Cont[where input=Inr -])

```

qed

```
lemma left-gpv-Inl-transfer: rel-gpv'' (=) (λl r. l = Inl r) (λl r. l = Inl r) (left-gpv
gpv) gpv
  by(coinduction arbitrary: gpv)
    (auto simp add: spmf-rel-map generat.rel-map del: rel-funI intro!: rel-spmf-reflI
generat.rel-refl-strong rel-funI)

lemma right-gpv-Inr-transfer: rel-gpv'' (=) (λl r. l = Inr r) (λl r. l = Inr r)
(right-gpv gpv) gpv
  by(coinduction arbitrary: gpv)
    (auto simp add: spmf-rel-map generat.rel-map del: rel-funI intro!: rel-spmf-reflI
generat.rel-refl-strong rel-funI)

lemma exec-gpv-plus-oracle-left: exec-gpv (plus-oracle oracle1 oracle2) (left-gpv
gpv) s = exec-gpv oracle1 gpv s
  unfolding spmf-rel-eq[symmetric] prod.rel-eq[symmetric]
  by(rule exec-gpv-parametric'[where A=(=) and S=(=) and CALL=λl r. l =
Inl r and R=λl r. l = Inl r, THEN rel-funD, THEN rel-funD, THEN rel-funD])
    (auto intro!: rel-funI simp add: spmf-rel-map apfst-def map-prod-def rel-prod-conv
intro: rel-spmf-reflI left-gpv-Inl-transfer)

lemma exec-gpv-plus-oracle-right: exec-gpv (plus-oracle oracle1 oracle2) (right-gpv
gpv) s = exec-gpv oracle2 gpv s
  unfolding spmf-rel-eq[symmetric] prod.rel-eq[symmetric]
  by(rule exec-gpv-parametric'[where A=(=) and S=(=) and CALL=λl r. l =
Inr r and R=λl r. l = Inr r, THEN rel-funD, THEN rel-funD, THEN rel-funD])
    (auto intro!: rel-funI simp add: spmf-rel-map apfst-def map-prod-def rel-prod-conv
intro: rel-spmf-reflI right-gpv-Inr-transfer)

lemma left-gpv-bind-gpv: left-gpv (bind-gpv gpv f) = bind-gpv (left-gpv gpv) (left-gpv
○ f)
  by(coinduction arbitrary:gpv f rule: gpv.coinduct-strong)
    (auto 4 4 simp add: bind-map-spmf spmf-rel-map intro!: rel-spmf-reflI rel-spmf-bindI[of
(=)] generat.rel-refl rel-funI split: sum.splits)

lemma inline1-left-gpv:
  inline1 (λs q. left-gpv (callee s q)) gpv s =
  map-spmf (map-sum id (map-prod Inl (map-prod left-rpv id))) (inline1 callee
gpv s)
  proof(induction arbitrary: gpv s rule: parallel-fixp-induct-2-2[OF partial-function-definitions-spmf
partial-function-definitions-spmf inline1.mono inline1.mono inline1-def inline1-def,
unfolded lub-spmf-empty, case-names adm bottom step])
    case adm show ?case by simp
    case bottom show ?case by simp
    case (step inline1' inline1'')
    then show ?case
    by(auto simp add: map-spmf-bind-spmf o-def bind-map-spmf intro!: ext bind-spmf-cong
split: generat.split)
```

qed

```
lemma left-gpv-inline: left-gpv (inline callee gpv s) = inline ( $\lambda s q.$  left-gpv (callee s q)) gpv s
  by(coinduction arbitrary: callee gpv s rule: gpv-coinduct-bind)
    (fastforce simp add: inline-sel spmf-rel-map inline1-left-gpv left-gpv-bind-gpv o-def split-def intro!: rel-spmf-reflI split: sum.split intro!: rel-funI gpv.rel-refl-strong)

lemma right-gpv-bind-gpv: right-gpv (bind-gpv gpv f) = bind-gpv (right-gpv gpv) (right-gpv  $\circ$  f)
  by(coinduction arbitrary: gpv f rule: gpv.coinduct-strong)
    (auto 4 4 simp add: bind-map-spmf spmf-rel-map intro!: rel-spmf-reflI rel-spmf-bindI[of (=)] generat.rel-refl rel-funI split: sum.splits)

lemma inline1-right-gpv:
  inline1 ( $\lambda s q.$  right-gpv (callee s q)) gpv s =
    map-spmf (map-sum id (map-prod Inr (map-prod right-rpv id))) (inline1 callee gpv s)
  proof(induction arbitrary: gpv s rule: parallel-fixp-induct-2-2[OF partial-function-definitions-spmf partial-function-definitions-spmf inline1.mono inline1.mono inline1-def inline1-def, unfolded lub-spmf-empty, case-names adm bottom step])
    case adm show ?case by simp
    case bottom show ?case by simp
    case (step inline1' inline1'')
      then show ?case
        by(auto simp add: map-spmf-bind-spmf o-def bind-map-spmf intro!: ext bind-spmf-cong split: generat.split)
  qed

lemma right-gpv-inline: right-gpv (inline callee gpv s) = inline ( $\lambda s q.$  right-gpv (callee s q)) gpv s
  by(coinduction arbitrary: callee gpv s rule: gpv-coinduct-bind)
    (fastforce simp add: inline-sel spmf-rel-map inline1-right-gpv right-gpv-bind-gpv o-def split-def intro!: rel-spmf-reflI split: sum.split intro!: rel-funI gpv.rel-refl-strong)

lemma WT-gpv-left-gpv:  $\mathcal{I}1 \vdash g$  gpv  $\checkmark \implies \mathcal{I}1 \oplus_{\mathcal{I}} \mathcal{I}2 \vdash g$  left-gpv gpv  $\checkmark$ 
  by(coinduction arbitrary: gpv)(auto 4 4 dest: WT-gpvD)

lemma WT-gpv-right-gpv:  $\mathcal{I}2 \vdash g$  gpv  $\checkmark \implies \mathcal{I}1 \oplus_{\mathcal{I}} \mathcal{I}2 \vdash g$  right-gpv gpv  $\checkmark$ 
  by(coinduction arbitrary: gpv)(auto 4 4 dest: WT-gpvD)

lemma results-gpv-left-gpv [simp]: results-gpv ( $\mathcal{I}1 \oplus_{\mathcal{I}} \mathcal{I}2$ ) (left-gpv gpv) = results-gpv  $\mathcal{I}1$  gpv
  (is ?lhs = ?rhs)
  proof(rule Set.set-eqI iffI)+
    show  $x \in ?rhs$  if  $x \in ?lhs$  for  $x$  using that
      by(induction gpv'≡left-gpv gpv :: ('a, 'b + 'c, 'd + 'e) gpv arbitrary: gpv rule: results-gpv.induct)
        (fastforce intro: results-gpv.intros)+
```

```

show  $x \in ?lhs$  if  $x \in ?rhs$  for  $x$  using that
  by(induction)(fastforce intro: results-gpv.intros)+
qed

lemma results-gpv-right-gpv [simp]: results-gpv ( $\mathcal{I}1 \oplus_{\mathcal{I}} \mathcal{I}2$ ) (right-gpv gpv) = re-
sults-gpv  $\mathcal{I}2$  gpv
  (is  $?lhs = ?rhs$ )
proof(rule Set.set-eqI iffI)+
  show  $x \in ?rhs$  if  $x \in ?lhs$  for  $x$  using that
    by(induction gpv'≡right-gpv gpv :: ('a, 'b + 'c, 'd + 'e) gpv arbitrary: gpv rule:
results-gpv.induct)
      (fastforce intro: results-gpv.intros)+
  show  $x \in ?lhs$  if  $x \in ?rhs$  for  $x$  using that
    by(induction)(fastforce intro: results-gpv.intros)+
qed

lemma left-gpv-Fail [simp]: left-gpv Fail = Fail
  by(rule gpv.expand) auto

lemma right-gpv-Fail [simp]: right-gpv Fail = Fail
  by(rule gpv.expand) auto

lemma rsuml-lsumr-left-gpv-left-gpv:map-gpv' id rsuml lsumr (left-gpv (left-gpv
gpv)) = left-gpv gpv
  by(coinduction arbitrary: gpv)
    (auto 4 3 simp add: spmf-rel-map generat.rel-map intro!: rel-spmf-reflI rel-generat-reflI
rel-funI split!: sum.split elim!: lsumr.elims intro: exI[where  $x=Fail$ ])
```

lemma *rsuml-lsumr-left-gpv-right-gpv: map-gpv'* *id rsuml lsumr* (*left-gpv (right-gpv*
gpv)) = *right-gpv (left-gpv gpv)*
by(*coinduction arbitrary: gpv*)
 (*auto 4 3 simp add: spmf-rel-map generat.rel-map intro!: rel-spmf-reflI rel-generat-reflI*
*rel-funI split!: sum.split elim!: lsumr.elims intro: exI[**where** $x=Fail$])*

lemma *rsuml-lsumr-right-gpv: map-gpv'* *id rsuml lsumr* (*right-gpv gpv*) = *right-gpv*
(*right-gpv gpv*)
 by(*coinduction arbitrary: gpv*)
 (*auto 4 3 simp add: spmf-rel-map generat.rel-map intro!: rel-spmf-reflI rel-generat-reflI*
*rel-funI split!: sum.split elim!: lsumr.elims intro: exI[**where** $x=Fail$])*

lemma *map-gpv'-map-gpv-swap*:
 map-gpv' f g h (map-gpv f' id gpv) = *map-gpv (f ∘ f') id (map-gpv' id g h gpv)*
by(*simp add: map-gpv-conv-map-gpv' map-gpv'-comp*)

lemma *lsumr-rsuml-left-gpv: map-gpv'* *id lsumr rsuml* (*left-gpv gpv*) = *left-gpv*
(*left-gpv gpv*)
 by(*coinduction arbitrary: gpv*)
 (*auto 4 3 simp add: spmf-rel-map generat.rel-map intro!: rel-spmf-reflI rel-generat-reflI*
*rel-funI split!: sum.split intro: exI[**where** $x=Fail$])*

```

lemma lsumr-rsuml-right-gpv-left-gpv:
  map-gpv' id lsumr rsuml (right-gpv (left-gpv gpv)) = left-gpv (right-gpv gpv)
  by(coinduction arbitrary: gpv)
    (auto 4 3 simp add: spmf-rel-map generat.rel-map intro!: rel-spmf-reflI rel-generat-reflI
    rel-funI split!: sum.split intro: exI[where x=Fail])

lemma lsumr-rsuml-right-gpv-right-gpv:
  map-gpv' id lsumr rsuml (right-gpv (right-gpv gpv)) = right-gpv gpv
  by(coinduction arbitrary: gpv)
    (auto 4 3 simp add: spmf-rel-map generat.rel-map intro!: rel-spmf-reflI rel-generat-reflI
    rel-funI split!: sum.split elim!: rsuml.elims intro: exI[where x=Fail])

lemma in-set-spmf-extend-state-oracle [simp]:
  x ∈ set-spmf (extend-state-oracle oracle s y) ↔
  fst (snd x) = fst s ∧ (fst x, snd (snd x)) ∈ set-spmf (oracle (snd s) y)
  by(auto 4 4 simp add: extend-state-oracle-def split-beta intro: rev-image-eqI prod.expand)

lemma extend-state-oracle-plus-oracle:
  extend-state-oracle (plus-oracle oracle1 oracle2) = plus-oracle (extend-state-oracle
  oracle1) (extend-state-oracle oracle2)
  proof ((rule ext)+; goal-cases)
    case (1 s q)
      then show ?case by (cases s; cases q) (simp-all add: apfst-def spmf.map-comp
      o-def split-def)
    qed

definition stateless-callee :: ('a ⇒ ('b, 'out, 'in) gpv) ⇒ ('s ⇒ 'a ⇒ ('b × 's, 'out,
  'in) gpv) where
  stateless-callee callee s = map-gpv (λb. (b, s)) id ∘ callee

lemma stateless-callee-parametric':
  includes lifting-syntax notes [transfer-rule] = map-gpv-parametric' shows
    ((A ==> rel-gpv'' B C R) ==> S ==> A ==> (rel-gpv'' (rel-prod B
    S) C R))
    stateless-callee stateless-callee
    unfolding stateless-callee-def by transfer-prover

lemma id-oracle-alt-def: id-oracle = stateless-callee (λx. Pause x Done)
  by(simp add: id-oracle-def fun-eq-iff stateless-callee-def)

context
  fixes left :: 's1 ⇒ 'x1 ⇒ ('y1 × 's1, 'call1, 'ret1) gpv
  and right :: 's2 ⇒ 'x2 ⇒ ('y2 × 's2, 'call2, 'ret2) gpv
  begin

  fun parallel-intercept :: 's1 × 's2 ⇒ 'x1 + 'x2 ⇒ (('y1 + 'y2) × ('s1 × 's2),

```

```

'call1 + 'call2, 'ret1 + 'ret2) gpv
  where
    parallel-intercept (s1, s2) (Inl a) = left-gpv (map-gpv (map-prod Inl (λs1'. (s1',
      s2))) id (left s1 a))
    | parallel-intercept (s1, s2) (Inr b) = right-gpv (map-gpv (map-prod Inr (Pair
      s1)) id (right s2 b))

  end
end

```

6.4 Expectation transformer semantics

theory GPV-Expectation imports

Computational-Model

begin

lemma le-enn2realI: $\llbracket \text{ennreal } x \leq y; y = \top \implies x \leq 0 \rrbracket \implies x \leq \text{enn2real } y$
by(cases y) simp-all

lemma enn2real-leD: $\llbracket \text{enn2real } x < y; x \neq \top \rrbracket \implies x < \text{ennreal } y$
by(cases x)(simp-all add: ennreal-lessI)

lemma ennreal-mult-le-self2I: $\llbracket y > 0 \implies x \leq 1 \rrbracket \implies x * y \leq y$ **for** x y :: ennreal
apply(cases x; cases y)
apply(auto simp add: top-unique ennreal-top-mult ennreal-mult[symmetric] intro:
ccontr)
using mult-left-le-one-le **by** force

lemma ennreal-leI: $x \leq \text{enn2real } y \implies \text{ennreal } x \leq y$
by(cases y) simp-all

lemma enn2real-INF: $\llbracket A \neq \{\}; \forall x \in A. f x < \top \rrbracket \implies \text{enn2real } (\text{INF } x \in A. f x)$
 $= (\text{INF } x \in A. \text{enn2real } (f x))$
apply(rule antisym)
apply(rule cINF-greatest)
apply simp
apply(rule enn2real-mono)
apply(erule INF-lower)
apply simp
apply(rule le-enn2realI)
apply simp-all
apply(rule INF-greatest)
apply(rule ennreal-leI)
apply(rule cINF-lower)
apply(rule bdd-belowI[where m=0])
apply auto
done

```

lemma monotone-times-ennreal1: monotone ( $\leq$ ) ( $\leq$ ) ( $\lambda x. x * y :: ennreal$ )
by(auto intro!: monotoneI mult-right-mono)

lemma monotone-times-ennreal2: monotone ( $\leq$ ) ( $\leq$ ) ( $\lambda x. y * x :: ennreal$ )
by(auto intro!: monotoneI mult-left-mono)

lemma mono2mono-times-ennreal[THEN lfp.mono2mono2, cont-intro, simp]:
  shows monotone-times-ennreal: monotone (rel-prod ( $\leq$ ) ( $\leq$ )) ( $\leq$ ) ( $\lambda(x, y). x * y :: ennreal$ )
  by(simp add: monotone-times-ennreal1 monotone-times-ennreal2)

lemma mcont-times-ennreal1: mcont Sup ( $\leq$ ) Sup ( $\leq$ ) ( $\lambda y. x * y :: ennreal$ )
by(auto intro!: mcontI contI simp add: SUP-mult-left-ennreal[symmetric])

lemma mcont-times-ennreal2: mcont Sup ( $\leq$ ) Sup ( $\leq$ ) ( $\lambda y. y * x :: ennreal$ )
by(subst mult.commute)(rule mcont-times-ennreal1)

lemma mcont2mcont-times-ennreal [cont-intro, simp]:
  [[ mcont lub ord Sup ( $\leq$ ) ( $\lambda x. f x$ );
    mcont lub ord Sup ( $\leq$ ) ( $\lambda x. g x$ ) ]]
   $\implies$  mcont lub ord Sup ( $\leq$ ) ( $\lambda x. f x * g x :: ennreal$ )
by(best intro: ccpo.mcont2mcont'[OF complete-lattice ccpo] mcont-times-ennreal1
mcont-times-ennreal2 ccpo.mcont-const[OF complete-lattice ccpo])

lemma ereal-INF-cmult:  $0 < c \implies (\inf_{i \in I} c * f i) = \text{ereal } c * (\inf_{i \in I} f i)$ 
using ereal-Inf-cmult[where P= $\lambda x. \exists i \in I. x = f i$ , of c]
by(rule box-equals)(auto intro!: arg-cong[where f=Inf] arg-cong2[where f=(*)])

lemma ereal-INF-multc:  $0 < c \implies (\inf_{i \in I} f i * c) = (\inf_{i \in I} f i) * \text{ereal } c$ 
using ereal-INF-cmult[of c f I] by(simp add: mult.commute)

lemma INF-mult-left-ennreal:
  assumes I = {}  $\implies c \neq 0$ 
  and [[ c = T;  $\exists i \in I. f i > 0$  ]]  $\implies \exists p > 0. \forall i \in I. f i \geq p$ 
  shows c * (INF i:I. f i) = (INF i:I. c * f i :: ennreal)
proof -
  consider (empty) I = {} | (top) c = T | (zero) c = 0 | (normal) I  $\neq \{ \}$  c  $\neq \pm\infty$ 
  c  $\neq 0$  by auto
  then show ?thesis
  proof cases
    case empty then show ?thesis by(simp add: ennreal-mult-top assms(1))
    next
      case top
      show ?thesis
      proof(cases  $\exists i \in I. f i > 0$ )
        case True
        with assms(2) top obtain p where p > 0 and p:  $\bigwedge i. i \in I \implies f i \geq p$  by
        auto
        then have *:  $\bigwedge i. i \in I \implies f i > 0$  by(auto intro: less-le-trans)
      qed
    qed
  qed

```

```

note ‹ $0 < p$ › also from  $p$  have  $p \leq (\text{INF } i \in I. f i)$  by(rule INF-greatest)
finally show ?thesis using top by(auto simp add: ennreal-top-mult dest: *)
next
  case False
  hence  $f i = 0$  if  $i \in I$  for  $i$  using that by auto
  thus ?thesis using top by(simp add: INF-constant ennreal-mult-top)
qed
next
  case zero
  then show ?thesis using assms(1) by(auto simp add: INF-constant)
next
  case normal
  then show ?thesis including ennreal.lifting
    apply transfer
    subgoal for  $I c f$  by(cases c)(simp-all add: top-ereal-def ereal-INF-cmult)
      done
qed
qed

```

lemma pmf-map-spmf-None: $\text{pmf}(\text{map-spmf } f p) \text{ None} = \text{pmf } p \text{ None}$
 by(simp add: pmf-None-eq-weight-spmf)

lemma nn-integral-try-spmf:
 $\text{nn-integral}(\text{measure-spmf}(\text{try-spmf } p q)) f = \text{nn-integral}(\text{measure-spmf } p) f +$
 $\text{nn-integral}(\text{measure-spmf } q) f * \text{pmf } p \text{ None}$
 by(simp add: nn-integral-measure-spmf spmf-try-spmf distrib-right nn-integral-add
 ennreal-mult mult.assoc nn-integral-cmult)
 (simp add: mult.commute)

lemma INF-UNION: $(\text{INF } z \in \bigcup x \in A. B x. f z) = (\text{INF } x \in A. \text{INF } z \in B x. f z)$
 for $f :: - \Rightarrow 'b::\text{complete-lattice}$
 by(auto intro!: antisym INF-greatest intro: INF-lower2)

definition nn-integral-spmf :: 'a spmf \Rightarrow ('a \Rightarrow ennreal) \Rightarrow ennreal **where**
 $\text{nn-integral-spmf } p = \text{nn-integral}(\text{measure-spmf } p)$

lemma nn-integral-spmf-parametric [transfer-rule]:
 includes lifting-syntax
 shows (rel-spmf $A \implies (A \implies (=)) \implies (=)$) nn-integral-spmf nn-integral-spmf
 unfolding nn-integral-spmf-def
 proof(rule rel-funI)+
 fix $p q$ and $f g :: - \Rightarrow \text{ennreal}$
 assume pq: rel-spmf $A p q$ and fg: $(A \implies (=)) f g$
 from pq obtain pq where pq [rule-format]: $\forall (x, y) \in \text{set-spmf } pq. A x y$
 and p: $p = \text{map-spmf fst } pq$ and q: $q = \text{map-spmf snd } pq$
 by(cases rule: rel-spmfE) auto
 show nn-integral (measure-spmf p) f = nn-integral (measure-spmf q) g
 by(simp add: p q)(auto simp add: nn-integral-measure-spmf spmf-eq-0-set-spmf)

```

dest!: pq rel-funD[OF fg] intro: ennreal-mult-left-cong intro!: nn-integral-cong
qed

lemma weight-spmf-mcont2mcont [THEN lfp.mcont2mcont, cont-intro]:
  shows weight-spmf-mcont: mcont (lub-spmf) (ord-spmf (=)) Sup (≤) (λp. ennreal
  (weight-spmf p))
  apply(simp add: mcont-def cont-def weight-spmf-def measure-spmf.emeasure-eq-measure[symmetric]
  emeasure-lub-spmf)
  apply(rule call-mono[THEN lfp.mono2mono])
  apply(unfold fun-ord-def)
  apply(rule monotone-emeasure-spmf[unfolded le-fun-def])
done

lemma mono2mono-nn-integral-spmf [THEN lfp.mono2mono, cont-intro]:
  shows monotone-nn-integral-spmf: monotone (ord-spmf (=)) (≤) (λp. integralN
  (measure-spmf p) f)
  by(rule monotoneI)(auto simp add: nn-integral-measure-spmf intro!: nn-integral-mono
  mult-right-mono dest: monotone-spmf[THEN monotoneD])

lemma cont-nn-integral-spmf:
  cont lub-spmf (ord-spmf (=)) Sup (≤) (λp :: 'a spmf. nn-integral (measure-spmf
  p) f)
proof
  fix Y :: 'a spmf set
  assume Y: Complete-Partial-Order.chain (ord-spmf (=)) Y Y ≠ {}
  let ?M = count-space (set-spmf (lub-spmf Y))
  have nn-integral (measure-spmf (lub-spmf Y)) f = ∫+ x. ennreal (spmf (lub-spmf
  Y) x) * f x ∂?M
    by(simp add: nn-integral-measure-spmf')
  also have ... = ∫+ x. (SUP p∈Y. ennreal (spmf p x) * f x) ∂?M
    by(simp add: spmf-lub-spmf Y ennreal-SUP[OF SUP-spmf-neq-top] SUP-mult-right-ennreal)
  also have ... = (SUP p∈Y. ∫+ x. ennreal (spmf p x) * f x ∂?M)
  proof(rule nn-integral-monotone-convergence-SUP-countable)
    show Complete-Partial-Order.chain (≤) ((λi x. ennreal (spmf i x) * f x) ` Y)
      using Y(1) by(rule chain-imageI)(auto simp add: le-fun-def intro!: mult-right-mono
      dest: monotone-spmf[THEN monotoneD])
    qed(simp-all add: Y(2))
    also have ... = (SUP p∈Y. nn-integral (measure-spmf p) f)
      by(auto simp add: nn-integral-measure-spmf Y nn-integral-count-space-indicator
      set-lub-spmf spmf-eq-0-set-spmf split: split-indicator intro!: SUP-cong nn-integral-cong)
      finally show nn-integral (measure-spmf (lub-spmf Y)) f = (SUP p∈Y. nn-integral
      (measure-spmf p) f) .
  qed

lemma mcont2mcont-nn-integral-spmf [THEN lfp.mcont2mcont, cont-intro]:
  shows mcont-nn-integral-spmf:
  mcont lub-spmf (ord-spmf (=)) Sup (≤) (λp :: 'a spmf. nn-integral (measure-spmf
  p) f)
  by(rule mcontI)(simp-all add: cont-nn-integral-spmf)

```

```

lemma nn-integral-mono2mono:
  assumes  $\bigwedge x. x \in \text{space } M \implies \text{monotone ord } (\leq) (\lambda f. F f x)$ 
  shows  $\text{monotone ord } (\leq) (\lambda f. \text{nn-integral } M (F f))$ 
  by(rule monotoneI nn-integral-mono monotoneD[OF assms])+

lemma nn-integral-mono-lfp [partial-function-mono]:
  — Partial_Function.mono_tac does not like conditional assumptions (more
precisely the case splitter)
   $(\bigwedge x. \text{lfp.mono-body } (\lambda f. F f x)) \implies \text{lfp.mono-body } (\lambda f. \text{nn-integral } M (F f))$ 
  by(rule nn-integral-mono2mono)

lemma INF-mono-lfp [partial-function-mono]:
   $(\bigwedge x. \text{lfp.mono-body } (\lambda f. F f x)) \implies \text{lfp.mono-body } (\lambda f. \text{INF } x \in M. F f x)$ 
  by(rule monotoneI)(blast dest: monotoneD intro: INF-mono)

lemmas parallel-fxpx-induct-1-2 = parallel-fxpx-induct-uc[
  of - - - -  $\lambda x. x - \lambda x. x$  case-prod - curry,
  where  $P = \lambda f g. P f$  (curry  $g$ ),
  unfolded case-prod-curry curry-case-prod curry-K,
  OF - - - - refl refl]
  for  $P$ 

lemma monotone-ennreal-add1: monotone  $(\leq) (\leq) (\lambda x. x + y :: \text{ennreal})$ 
by(auto intro!: monotoneI)

lemma monotone-ennreal-add2: monotone  $(\leq) (\leq) (\lambda y. x + y :: \text{ennreal})$ 
by(auto intro!: monotoneI)

lemma mono2mono-ennreal-add[THEN lfp.mono2mono2, cont-intro, simp]:
  shows monotone-eadd: monotone (rel-prod  $(\leq) (\leq) (\lambda(x, y). x + y :: \text{ennreal})$ )
  by(simp add: monotone-ennreal-add1 monotone-ennreal-add2)

lemma ennreal-add-partial-function-mono [partial-function-mono]:
   $\llbracket \text{monotone } (\text{fun-ord } (\leq)) (\leq) f; \text{monotone } (\text{fun-ord } (\leq)) (\leq) g \rrbracket$ 
   $\implies \text{monotone } (\text{fun-ord } (\leq)) (\leq) (\lambda x. f x + g x :: \text{ennreal})$ 
  by(rule mono2mono-ennreal-add)

context
  fixes fail :: ennreal
  and  $\mathcal{I} :: ('out, 'ret) \mathcal{I}$ 
  and  $f :: 'a \Rightarrow \text{ennreal}$ 
  notes [[function-internals]]
begin

partial-function (lfp-strong) expectation-gpv :: ('a, 'out, 'ret) gpv  $\Rightarrow$  ennreal where
  expectation-gpv gpv =

```

```


$$(\int^+ \text{generat.} (\text{case generat of Pure } x \Rightarrow f x \\
| IO \text{ out } c \Rightarrow \text{INF } r \in \text{responses-}\mathcal{I} \mathcal{I} \text{ out. expectation-gpv } (c r)) \\
\partial \text{measure-spmf } (\text{the-gpv gpv})) \\
+ \text{fail * pmf } (\text{the-gpv gpv}) \text{ None}$$


lemma expectation-gpv-fixp-induct [case-names adm bottom step]:
assumes lfp.admissible P
and P ( $\lambda$ - 0)
and  $\wedge \text{expectation-gpv}' \llbracket \wedge_{\text{gpv.}} \text{expectation-gpv}' \text{ gpv} \leq \text{expectation-gpv gpv}; P$ 
expectation-gpv' \rrbracket \implies
P ( $\lambda \text{gpv.}$  ( $\int^+ \text{generat.}$  ( $\text{case generat of Pure } x \Rightarrow f x$  | IO out c  $\Rightarrow \text{INF}$ 
r  $\in \text{responses-}\mathcal{I} \mathcal{I}$  out. expectation-gpv' (c r))  $\partial \text{measure-spmf } (\text{the-gpv gpv})$ ) + fail
* pmf (the-gpv gpv) None)
shows P expectation-gpv
by(rule expectation-gpv.fixp-induct)(simp-all add: bot-ennreal-def assms fun-ord-def)

lemma expectation-gpv-Done [simp]: expectation-gpv (Done x) = f x
by(subst expectation-gpv.simps)(simp add: measure-spmf-return-spmf nn-integral-return)

lemma expectation-gpv-Fail [simp]: expectation-gpv Fail = fail
by(subst expectation-gpv.simps) simp

lemma expectation-gpv-lift-spmf [simp]:
expectation-gpv (lift-spmf p) = ( $\int^+ x. f x \partial \text{measure-spmf } p$ ) + fail * pmf p None
by(subst expectation-gpv.simps)(auto simp add: o-def pmf-map vimage-def measure-pmf-single)

lemma expectation-gpv-Pause [simp]:
expectation-gpv (Pause out c) = ( $\text{INF } r \in \text{responses-}\mathcal{I} \mathcal{I}$  out. expectation-gpv (c r))
by(subst expectation-gpv.simps)(simp add: measure-spmf-return-spmf nn-integral-return)

end

context begin
private definition weight-spmf' p = weight-spmf p
lemmas weight-spmf'-parametric = weight-spmf-parametric[folded weight-spmf'-def]
lemma expectation-gpv-parametric':
includes lifting-syntax
notes weight-spmf'-parametric[transfer-rule]
shows ((=) ==> rel- $\mathcal{I}$  C R ==> (A ==> (=)) ==> rel-gpv'' A C R
==> (=)) expectation-gpv expectation-gpv
unfolding expectation-gpv-def
apply(rule rel-funI)
apply(rule rel-funI)
apply(rule rel-funI)
apply(rule fixp-lfp-parametric-eq[OF expectation-gpv.mono expectation-gpv.mono])
apply(fold nn-integral-spmf-def Set.is-empty-def pmf-None-eq-weight-spmf[symmetric])
apply(simp only: weight-spmf'-def[symmetric])
subgoal premises [transfer-rule] supply the-gpv-parametric'[transfer-rule] by

```

```

transfer-prover
done
end

lemma expectation-gpv-parametric [transfer-rule]:
  includes lifting-syntax
  shows ((=) ==> rel- $\mathcal{I}$  C (=) ==> (A ==> (=)) ==> rel-gpv A C
  ==> (=)) expectation-gpv expectation-gpv
  using expectation-gpv-parametric'[of C (=) A] by(simp add: rel-gpv-conv-rel-gpv'')
lemma expectation-gpv-cong:
  fixes fail fail'
  assumes fail: fail = fail'
  and  $\mathcal{I}$ :  $\mathcal{I} = \mathcal{I}'$ 
  and gpv: gpv = gpv'
  and f:  $\bigwedge x. x \in \text{results-gpv } \mathcal{I}' \text{ gpv}' \implies f x = g x$ 
  shows expectation-gpv fail  $\mathcal{I}$  f gpv = expectation-gpv fail'  $\mathcal{I}'$  g gpv'
  using f unfolding  $\mathcal{I}$ [symmetric] gpv[symmetric] fail[symmetric]
proof(induction arbitrary: gpv rule: parallel-fixp-induct-1-1[OF complete-lattice-partial-function-definitions
complete-lattice-partial-function-definitions expectation-gpv.mono expectation-gpv.mono
expectation-gpv-def expectation-gpv-def, case-names adm bottom step])
  case adm show ?case by simp
  case bottom show ?case by simp
  case (step expectation-gpv' expectation-gpv'') show ?case
    by(rule arg-cong2[where f=(+)] nn-integral-cong-AE)+(clar simp simp add:
step.preds results-gpv.intros split!: generat.split intro!: INF-cong[OF refl] step.IH)+
qed

lemma expectation-gpv-cong-fail:
  colossless-gpv  $\mathcal{I}$  gpv ==> expectation-gpv fail  $\mathcal{I}$  f gpv = expectation-gpv fail'  $\mathcal{I}$  f
gpv for fail
proof(induction arbitrary: gpv rule: parallel-fixp-induct-1-1[OF complete-lattice-partial-function-definitions
complete-lattice-partial-function-definitions expectation-gpv.mono expectation-gpv.mono
expectation-gpv-def expectation-gpv-def, case-names adm bottom step])
  case adm show ?case by simp
  case bottom show ?case by simp
  case (step expectation-gpv' expectation-gpv'') from colossless-gpv-lossless-spmfD[OF step.preds] show ?case
    by(auto simp add: lossless-iff-pmf-None intro!: nn-integral-cong-AE INF-cong
step.IH intro: colossless-gpv-continuationD[OF step.preds] split: generat.split)
qed

lemma expectation-gpv-mono:
  fixes fail fail'
  assumes fail: fail ≤ fail'
  and fg: f ≤ g
  shows expectation-gpv fail  $\mathcal{I}$  f gpv ≤ expectation-gpv fail'  $\mathcal{I}$  g gpv
proof(induction arbitrary: gpv rule: parallel-fixp-induct-1-1[OF complete-lattice-partial-function-definitions
complete-lattice-partial-function-definitions expectation-gpv.mono expectation-gpv.mono

```

```

expectation-gpv-def expectation-gpv-def, case-names adm bottom step])
  case adm show ?case by simp
  case bottom show ?case by simp
  case (step expectation-gpv' expectation-gpv'')
    show ?case
      by(intro add-mono mult-right-mono fail nn-integral-mono-AE)
        (auto split: generat.split simp add: fg[THEN le-funD] INF-mono rev-bexI
         step.IH)
    qed

lemma expectation-gpv-mono-strong:
  fixes fail fail'
  assumes fail:  $\neg$  colossless-gpv  $\mathcal{I}$  gpv  $\implies$  fail  $\leq$  fail'
  and fg:  $\bigwedge x. x \in \text{results-gpv } \mathcal{I} \text{ gpv} \implies f x \leq g x$ 
  shows expectation-gpv fail  $\mathcal{I}$  f gpv  $\leq$  expectation-gpv fail'  $\mathcal{I}$  g gpv
  proof -
    let ?fail = if colossless-gpv  $\mathcal{I}$  gpv then fail' else fail
    and ?f =  $\lambda x. \text{if } x \in \text{results-gpv } \mathcal{I} \text{ gpv} \text{ then } f x \text{ else } g x$ 
    have expectation-gpv fail  $\mathcal{I}$  f gpv = expectation-gpv ?fail  $\mathcal{I}$  f gpv by(simp cong:
      expectation-gpv-cong-fail)
    also have ... = expectation-gpv ?fail  $\mathcal{I}$  ?f gpv by(rule expectation-gpv-cong;
      simp)
    also have ...  $\leq$  expectation-gpv fail'  $\mathcal{I}$  g gpv using assms by(simp add: expectation-gpv-mono le-fun-def)
    finally show ?thesis .
  qed

lemma expectation-gpv-bind [simp]:
  fixes  $\mathcal{I}$  f g fail
  defines expectation-gpv1  $\equiv$  expectation-gpv fail  $\mathcal{I}$  f
  and expectation-gpv2  $\equiv$  expectation-gpv fail  $\mathcal{I}$  (expectation-gpv fail  $\mathcal{I}$  f  $\circ$  g)
  shows expectation-gpv1 (bind-gpv gpv g) = expectation-gpv2 gpv (is ?lhs = ?rhs)
  proof(rule antisym)
    note [simp] = case-map-generat o-def
    and [cong del] = generat.case-cong-weak
    show ?lhs  $\leq$  ?rhs unfolding expectation-gpv1-def
    proof(induction arbitrary: gpv rule: expectation-gpv-fixp-induct)
      case adm show ?case by simp
      case bottom show ?case by simp
      case (step expectation-gpv')
        show ?case unfolding expectation-gpv2-def
          apply(rewrite bind-gpv.sel)
          apply(simp add: map-spmf-bind-spmf measure-spmf-bind)
          apply(rewrite nn-integral-bind[where B=measure-spmf -])
            apply(simp-all add: space-subprob-algebra)
            apply(rewrite expectation-gpv.simps)
          apply(simp add: pmf-bind-spmf-None distrib-left nn-integral-eq-integral[symmetric]
            measure-spmf.integrable-const-bound[where B=1] pmf-le-1 nn-integral-cmult[symmetric]
            nn-integral-add[symmetric]))
    qed
  qed

```

```

apply(rule disjI2)
apply(rule nn-integral-mono)
apply(clarsimp split!: generat.split)
apply(rewrite expectation-gpv.simps)
apply simp
apply(rule disjI2)
apply(rule nn-integral-mono)
apply(clarsimp split: generat.split)
apply(rule INF-mono)
apply(erule rev-bexI)
apply(rule step.hyps)
apply(clarsimp simp add: measure-spmf-return-spmf nn-integral-return)
apply(rule INF-mono)
apply(erule rev-bexI)
apply(rule step.IH[unfolded expectation-gpv2-def o-def])
done
qed
show ?rhs ≤ ?lhs unfolding expectation-gpv2-def
proof(induction arbitrary: gpv rule: expectation-gpv-fixp-induct)
case adm show ?case by simp
case bottom show ?case by simp
case (step expectation-gpv')
show ?case unfolding expectation-gpv1-def
apply(rewrite in - ≤ ▷ expectation-gpv.simps)
apply(rewrite bind-gpv.sel)
apply(simp add: measure-spmf-bind)
apply(rewrite nn-integral-bind[where B=measure-spmf -])
apply(simp-all add: space-subprob-algebra)
apply(simp add: pmf-bind-spmf-None distrib-left nn-integral-eq-integral[symmetric]
measure-spmf.integrable-const-bound[where B=1] pmf-le-1 nn-integral-cmult[symmetric]
nn-integral-add[symmetric])
apply(rule disjI2)
apply(rule nn-integral-mono)
apply(clarsimp split!: generat.split)
apply(rewrite expectation-gpv.simps)
apply(simp cong del: if-weak-cong add: generat.map-comp id-def[symmetric]
generat.map-id)
apply(simp add: measure-spmf-return-spmf nn-integral-return)
apply(rule INF-mono)
apply(erule rev-bexI)
apply(rule step.IH[unfolded expectation-gpv1-def])
done
qed
qed

lemma expectation-gpv-try-gpv [simp]:
fixes fail I f gpv'
defines expectation-gpv1 ≡ expectation-gpv fail I f
and expectation-gpv2 ≡ expectation-gpv (expectation-gpv fail I f gpv') I f

```

```

shows expectation-gpv1 (try-gpv gpv gpv') = expectation-gpv2 gpv
proof(rule antisym)
  show expectation-gpv1 (try-gpv gpv gpv') ≤ expectation-gpv2 gpv unfolding expectation-gpv1-def
    proof(induction arbitrary: gpv rule: expectation-gpv-fixp-induct)
      case adm show ?case by simp
      case bottom show ?case by simp
      case step [unfolded expectation-gpv2-def]: (step expectation-gpv')
        show ?case unfolding expectation-gpv2-def
          apply(rewrite expectation-gpv.simps)
          apply(rewrite in - ≤ - + ▷ expectation-gpv.simps)
          apply(simp add: pmf-map-spmf-None nn-integral-try-spmf o-def generat.map-comp
            case-map-generat distrib-right cong del: generat.case-cong-weak)
          apply(simp add: mult-ac add.assoc ennreal-mult)
          apply(intro disjI2 add-mono mult-left-mono nn-integral-mono; clarsimp split:
            generat.split intro!: INF-mono step elim!: rev-bexI)
          done
        qed
        show expectation-gpv2 gpv ≤ expectation-gpv1 (try-gpv gpv gpv') unfolding expectation-gpv2-def
          proof(induction arbitrary: gpv rule: expectation-gpv-fixp-induct)
            case adm show ?case by simp
            case bottom show ?case by simp
            case step [unfolded expectation-gpv1-def]: (step expectation-gpv')
              show ?case unfolding expectation-gpv1-def
                apply(rewrite in - ≤ ▷ expectation-gpv.simps)
                apply(rewrite in ▷ ≤ - expectation-gpv.simps)
                apply(simp add: pmf-map-spmf-None nn-integral-try-spmf o-def generat.map-comp
                  case-map-generat distrib-left ennreal-mult mult-ac id-def[symmetric] generat.map-id
                  cong del: generat.case-cong-weak)
                apply(rule disjI2 nn-integral-mono)+
                apply(clarsimp split: generat.split intro!: INF-mono step(2) elim!: rev-bexI)
              done
            qed
          qed
        lemma expectation-gpv-restrict-gpv:
          I ⊢ g gpv √ ⇒ expectation-gpv fail I f (restrict-gpv I gpv) = expectation-gpv
          fail I f gpv for fail
      proof(induction arbitrary: gpv rule: expectation-gpv-fixp-induct)
        case adm show ?case by simp
        case bottom show ?case by simp
        case (step expectation-gpv'')
          show ?case
            apply(simp add: pmf-map vimage-def)
            apply(rule arg-cong2[where f=(+)])
          subgoal by(clarsimp simp add: measure-spmf-def nn-integral-distr nn-integral-restrict-space
            step.IH WT-gpv-ContD[OF step.prem] AE-measure-pmf-iff in-set-spmf[symmetric]
            WT-gpv-OutD[OF step.prem] split!: option.split generat.split intro!: nn-integral-cong-AE

```

```

INF-cong[OF refl])
  apply(simp add: measure-pmf-single[symmetric])
  apply(rule arg-cong[where  $f = \lambda x. - * ennreal x$ ])
  apply(rule measure-pmf.finite-measure-eq-AE)
  apply(auto simp add: AE-measure-pmf-iff in-set-spmf[symmetric] intro: WT-gpv-OutD[OF step.preds] split: option.split-asm generat.split-asm if-split-asm)
    done
qed

lemma expectation-gpv-const-le:  $\mathcal{I} \vdash g \text{ gpv } \checkmark \implies \text{expectation-gpv fail } \mathcal{I} (\lambda \_. c) \text{ gpv}$ 
   $\leq \max c \text{ fail for fail}$ 
proof(induction arbitrary: gpv rule: expectation-gpv-fixp-induct)
  case adm show ?case by simp
  case bottom show ?case by simp
  case (step expectation-gpv')
    have integralN (measure-spmf (the-gpv gpv)) (case-generat ( $\lambda x. c$ ) ( $\lambda out. c$ . INF
r∈responses- $\mathcal{I}$   $\mathcal{I}$  out. expectation-gpv' (c r)))  $\leq$  integralN (measure-spmf (the-gpv
gpv)) ( $\lambda \_. \max c \text{ fail}$ )
      using step.preds
      by(intro nn-integral-mono-AE)(auto 4 4 split: generat.split intro: INF-lower2
step.IH WT-gpv-ContD[OF step.preds] dest!: WT-gpv-OutD simp add: in-outs- $\mathcal{I}$ -iff-responses- $\mathcal{I}$ )
      also have ... + fail * pmf (the-gpv gpv) None  $\leq$  ... + max c fail * pmf (the-gpv
gpv) None
        by(intro add-left-mono mult-right-mono) simp-all
        also have ...  $\leq \max c \text{ fail}$ 
          by(simp add: measure-spmf.emeasure-eq-measure pmf-None-eq-weight-spmf en-
nreal-minus[symmetric])
            (metis (no-types, opaque-lifting) add-diff-eq-iff-ennreal distrib-left ennreal-le-1
le-max-iff-disj max.cobounded2 mult.commute mult.left-neutral weight-spmf-le-1)
            finally show ?case by(simp add: add-mono)
qed

lemma expectation-gpv-no-results:
   $\llbracket \text{results-gpv } \mathcal{I} \text{ gpv} = \{\}; \mathcal{I} \vdash g \text{ gpv } \checkmark \rrbracket \implies \text{expectation-gpv } 0 \text{ } \mathcal{I} f \text{ gpv} = 0$ 
proof(induction arbitrary: gpv rule: expectation-gpv-fixp-induct)
  case adm show ?case by simp
  case bottom show ?case by simp
  case (step expectation-gpv')
    have results-gpv  $\mathcal{I}$  (c x) = {} if IO out c ∈ set-spmf (the-gpv gpv) x ∈ responses- $\mathcal{I}$ 
 $\mathcal{I}$  out
      for out c x using that step.preds(1) by(auto intro: results-gpv.IO)
      then show ?case using step.preds
        by(auto 4 4 intro!: nn-integral-zero' split: generat.split intro: results-gpv.Pure
cong: INF-cong simp add: step.IH WT-gpv-ContD INF-constant in-outs- $\mathcal{I}$ -iff-responses- $\mathcal{I}$ 
dest: WT-gpv-OutD)
    qed

lemma expectation-gpv-cmult:
  fixes fail

```

```

assumes  $0 < c$  and  $c \neq \top$ 
shows  $c * \text{expectation-gpv} \text{ fail } \mathcal{I} f \text{ gpv} = \text{expectation-gpv} (c * \text{fail}) \mathcal{I} (\lambda x. c * f x) \text{ gpv}$ 
proof(induction arbitrary: gpv rule: parallel-fixp-induct-1-1[OF complete-lattice-partial-function-definitions complete-lattice-partial-function-definitions expectation-gpv.mono expectation-gpv.mono expectation-gpv-def expectation-gpv-def, case-names adm bottom step])
  case adm show ?case by simp
  case bottom show ?case by(simp add: bot-ennreal-def)
  case (step expectation-gpv' expectation-gpv'')
    show ?case using assms
    apply(simp add: distrib-left mult-ac nn-integral-cmult[symmetric] generat.case-distrib[where h=(* -)])
      apply(subst INF-mult-left-ennreal, simp-all add: step.IH)
      done
qed

lemma expectation-gpv-le-exec-gpv:
assumes callee:  $\bigwedge s x. x \in \text{outs-}\mathcal{I} \Rightarrow \text{lossless-spmf} (\text{callee } s x)$ 
and WT-gpv:  $\mathcal{I} \vdash g \text{ gpv} \checkmark$ 
and WT-callee:  $\bigwedge s. \mathcal{I} \vdash c \text{ callee } s \checkmark$ 
shows expectation-gpv 0  $\mathcal{I} f \text{ gpv} \leq \int^+ (x, s). f x \partial\text{measure-spmf} (\text{exec-gpv} \text{ callee } g \text{ gpv } s)$ 
using WT-gpv
proof(induction arbitrary: gpv s rule: parallel-fixp-induct-1-2[OF complete-lattice-partial-function-definitions partial-function-definitions-spmf expectation-gpv.mono exec-gpv.mono expectation-gpv-def exec-gpv-def, case-names adm bottom step])
  case adm show ?case by simp
  case bottom show ?case by(simp add: bot-ennreal-def)
  case (step expectation-gpv'' exec-gpv')
    have *:  $(\text{INF } r \in \text{responses-}\mathcal{I} \mathcal{I} \text{ out}. \text{expectation-gpv}'' (c r)) \leq \int^+ (x, s). f x \partial\text{measure-spmf} (\text{bind-spmf} (\text{callee } s \text{ out}) (\lambda(r, s'). \text{exec-gpv}' (c r) s'))$  (is ?lhs ≤ ?rhs)
      if IO out c ∈ set-spmf (the-gpv gpv) for out c
      proof -
        from step.preds that have out: out ∈ outs- $\mathcal{I}$  I by(rule WT-gpvD)
        have ?lhs =  $\int^+ . . \partial\text{measure-spmf} (\text{callee } s \text{ out})$  using callee[OF out, THEN lossless-weight-spmfD]
          by(simp add: measure-spmf.emeasure-eq-measure)
          also have ... ≤  $\int^+ (r, s'). \text{expectation-gpv}'' (c r) \partial\text{measure-spmf} (\text{callee } s \text{ out})$ 
            by(rule nn-integral-mono-AE)(auto intro: WT-calleeD[OF WT-callee - out] INF-lower)
            also have ... ≤  $\int^+ (r, s'). \int^+ (x, -). f x \partial\text{measure-spmf} (\text{exec-gpv}' (c r) s')$ 
              by(rule nn-integral-mono-AE)(auto intro!: step.IH intro: WT-gpv-ContD[OF step.preds that] WT-calleeD[OF WT-callee - out])
              also have ... = ?rhs by(simp add: measure-spmf-bind split-def nn-integral-bind[where B=measure-spmf -] o-def space-subprob-algebra)
              finally show ?thesis .
      qed
    qed
  qed
qed

```

```

qed
show ?case
by(simp add: measure-spmf-bind nn-integral-bind[where B=measure-spmf -]
space-subprob-algebra)
(simp split!: generat.split add: measure-spmf-return-spmf nn-integral-return *
nn-integral-mono-AE)
qed

definition weight-gpv :: ('out, 'ret) I ⇒ ('a, 'out, 'ret) gpv ⇒ real
where weight-gpv I gpv = enn2real (expectation-gpv 0 I (λ_. 1) gpv)

lemma weight-gpv-Done [simp]: weight-gpv I (Done x) = 1
by(simp add: weight-gpv-def)

lemma weight-gpv-Fail [simp]: weight-gpv I Fail = 0
by(simp add: weight-gpv-def)

lemma weight-gpv-lift-spmf [simp]: weight-gpv I (lift-spmf p) = weight-spmf p
by(simp add: weight-gpv-def measure-spmf.emeasure-eq-measure)

lemma weight-gpv-Pause [simp]:
(∀r. r ∈ responses-I I out ⇒ I ⊢ g c r √)
⇒ weight-gpv I (Pause out c) = (if out ∈ outs-I I then INF r∈responses-I I
out. weight-gpv I (c r) else 0)
apply(clarsimp simp add: weight-gpv-def in-outs-I-iff-responses-I)
apply(erule enn2real-INF)
apply(clarsimp simp add: expectation-gpv-const-le[THEN le-less-trans])
done

lemma weight-gpv-nonneg: 0 ≤ weight-gpv I gpv
by(simp add: weight-gpv-def)

lemma weight-gpv-le-1: I ⊢ g gpv √ ⇒ weight-gpv I gpv ≤ 1
using expectation-gpv-const-le[of I gpv 0 1] by(simp add: weight-gpv-def enn2real-leI
max-def)

theorem weight-exec-gpv:
assumes callee: ∀s x. x ∈ outs-I I ⇒ lossless-spmf (callee s x)
and WT-gpv: I ⊢ g gpv √
and WT-callee: ∀s. I ⊢ c callee s √
shows weight-gpv I gpv ≤ weight-spmf (exec-gpv callee gpv s)
proof -
have expectation-gpv 0 I (λ_. 1) gpv ≤ ∫+ (x, s). 1 ⋅ measure-spmf (exec-gpv
callee gpv s)
using assms by(rule expectation-gpv-le-exec-gpv)
also have ... = weight-spmf (exec-gpv callee gpv s)
by(simp add: split-def measure-spmf.emeasure-eq-measure)
finally show ?thesis by(simp add: weight-gpv-def enn2real-leI)
qed

```

```

lemma (in callee-invariant-on) weight-exec-gpv:
  assumes callee:  $\bigwedge s x. \llbracket x \in \text{outs-}\mathcal{I} \mid I s \rrbracket \implies \text{lossless-spmf}(\text{callee } s \ x)$ 
  and WT-gpv:  $\mathcal{I} \vdash g \text{ gpv} \vee$ 
  and I:  $I \ s$ 
  shows weight-gpv  $\mathcal{I} \text{ gpv} \leq \text{weight-spmf}(\text{exec-gpv} \ \text{callee} \ \text{gpv} \ s)$ 
  including lifting-syntax
  proof -
    { assume  $\exists (\text{Rep} :: 's' \Rightarrow 's) \text{ Abs. type-definition Rep Abs } \{s. I s\}$ 
      then obtain Rep ::  $'s' \Rightarrow 's$  and Abs where td: type-definition Rep Abs  $\{s. I s\}$ 
    } by blast
    then interpret td: type-definition Rep Abs  $\{s. I s\}$  .
    define cr where cr  $\equiv \lambda x y. x = \text{Rep } y$ 
    have [transfer-rule]: bi-unique cr right-total cr using td cr-def by(rule type-def-bi-unique typedef-right-total)+
    have [transfer-domain-rule]: Domainp cr = I using type-definition-Domainp[OF td cr-def] by simp

    let ?C = eq-onp  $(\lambda x. x \in \text{outs-}\mathcal{I} \mid \mathcal{I})$ 

    define callee' where callee'  $\equiv (\text{Rep} \dashrightarrow id \dashrightarrow \text{map-spmf}(\text{map-prod} \ id \ \text{Abs})) \text{ callee}$ 
    have [transfer-rule]:  $(cr \implies ?C \implies \text{rel-spmf}(\text{rel-prod} (=) \ cr)) \text{ callee}'$ 
      by(auto simp add: callee'-def rel-fun-def cr-def spmf-rel-map prod.rel-map
        td.Abs-inverse eq-onp-def intro!: rel-spmf-reflI intro: td.Rep[simplified] dest: callee-invariant)
    define s' where s'  $\equiv \text{Abs } s$ 
    have [transfer-rule]: cr s s' using I by(simp add: cr-def s'-def td.Abs-inverse)

    have [transfer-rule]: rel- $\mathcal{I} \ ?C (=) \ \mathcal{I} \ \mathcal{I}$ 
      by(rule rel- $\mathcal{I} \mathcal{I}$ )(auto simp add: rel-set-eq set-relator-eq-onp eq-onp-same-args
        dest: eq-onp-to-eq)
    note [transfer-rule] = bi-unique-eq-onp bi-unique-eq

    define gpv' where gpv'  $\equiv \text{restrict-gpv} \ \mathcal{I} \ \text{gpv}$ 
    have [transfer-rule]: rel-gpv (=) ?C gpv' gpv'
      by(fold eq-onp-top-eq-eq)(auto simp add: gpv.rel-eq-onp eq-onp-same-args
        pred-gpv-def gpv'-def dest: in-outs'-restrict-gpvD)

    define weight-spmf' ::  $('c \times 's') \text{ spmf} \Rightarrow \text{real}$  where weight-spmf'  $\equiv \text{weight-spmf}$ 
    define weight-spmf'' ::  $('c \times 's) \text{ spmf} \Rightarrow \text{real}$  where weight-spmf''  $\equiv \text{weight-spmf}$ 
      have [transfer-rule]:  $(\text{rel-spmf} \ (\text{rel-prod} (=) \ cr) \implies (=)) \text{ weight-spmf}''$ 
        weight-spmf'
        by(simp add: weight-spmf'-def weight-spmf''-def weight-spmf-parametric)

    have [rule-format]:  $\bigwedge s. \forall x \in \text{outs-}\mathcal{I} \mid \mathcal{I}. \text{lossless-spmf}(\text{callee}' \ s \ x)$ 
      by(transfer)(blast intro: callee)
    moreover have  $\mathcal{I} \vdash g \text{ gpv}' \vee$  by(simp add: gpv'-def)
    moreover have  $\bigwedge s. \mathcal{I} \vdash c \text{ callee}' \ s \vee$  by transfer(rule WT-callee)
  
```

```

ultimately have **: weight-gpv  $\mathcal{I}$   $gpv' \leq weight-spmf'$  (exec-gpv callee'  $gpv'$   $s'$ )
  unfolding weight-spmf'-def by(rule weight-exec-gpv)
  have [transfer-rule]:  $((=) ==> ?C ==> rel-spmf (rel-prod (=) (=)))$  callee
    callee
    by(simp add: rel-fun-def eq-onp-def prod.rel-eq)
    have weight-gpv  $\mathcal{I}$   $gpv' \leq weight-spmf''$  (exec-gpv callee  $gpv' s$ ) using ** by
      transfer
    also have exec-gpv callee  $gpv' s = exec-gpv callee gpv s$ 
    unfolding  $gpv'$ -def using WT-gpv I by(rule exec-gpv-restrict-gpv-invariant)
    also have weight-gpv  $\mathcal{I}$   $gpv' = weight-gpv \mathcal{I} gpv$  using WT-gpv
      by(simp add:  $gpv'$ -def expectation-gpv-restrict-gpv weight-gpv-def)
    finally have ?thesis by(simp add: weight-spmf''-def) }
  from this[cancel-type-definition] I show ?thesis by blast
qed

```

6.5 Probabilistic termination

```

definition pgen-lossless-gpv :: ennreal  $\Rightarrow$  ('c, 'r)  $\mathcal{I} \Rightarrow$  ('a, 'c, 'r) gpv  $\Rightarrow$  bool
where pgen-lossless-gpv fail  $\mathcal{I}$  gpv = (expectation-gpv fail  $\mathcal{I}$  ( $\lambda$ - 1) gpv = 1) for
  fail

```

```

abbreviation plossless-gpv :: ('c, 'r)  $\mathcal{I} \Rightarrow$  ('a, 'c, 'r) gpv  $\Rightarrow$  bool
where plossless-gpv  $\equiv$  pgen-lossless-gpv 0

```

```

abbreviation pfinite-gpv :: ('c, 'r)  $\mathcal{I} \Rightarrow$  ('a, 'c, 'r) gpv  $\Rightarrow$  bool
where pfinite-gpv  $\equiv$  pgen-lossless-gpv 1

```

```

lemma pgen-lossless-gpvI [intro?]: expectation-gpv fail  $\mathcal{I}$  ( $\lambda$ - 1) gpv = 1  $\Longrightarrow$ 
  pgen-lossless-gpv fail  $\mathcal{I}$  gpv for fail
  by(simp add: pgen-lossless-gpv-def)

```

```

lemma pgen-lossless-gpvD: pgen-lossless-gpv fail  $\mathcal{I}$  gpv  $\Longrightarrow$  expectation-gpv fail  $\mathcal{I}$ 
  ( $\lambda$ - 1) gpv = 1 for fail
  by(simp add: pgen-lossless-gpv-def)

```

```

lemma lossless-imp-plossless-gpv:
  assumes lossless-gpv  $\mathcal{I}$  gpv  $\mathcal{I} \vdash g$  gpv  $\checkmark$ 
  shows plossless-gpv  $\mathcal{I}$  gpv
proof
  show expectation-gpv 0  $\mathcal{I}$  ( $\lambda$ - 1) gpv = 1 using assms
  proof(induction rule: lossless-WT-gpv-induct)
    case (lossless-gpv p)
      have expectation-gpv 0  $\mathcal{I}$  ( $\lambda$ - 1) (GPV p) = nn-integral (measure-spmf p)
      (case-generat ( $\lambda$ - 1) ( $\lambda$ out c. INF r $\in$ responses- $\mathcal{I}$   $\mathcal{I}$  out. 1))
        by(subst expectation-gpv.simps)(clarify simp: generat.split cong: INF-cong
          simp add: lossless-gpv.IH intro!: nn-integral-cong-AE)
      also have ... = nn-integral (measure-spmf p) ( $\lambda$ - 1)
      by(intro nn-integral-cong-AE)(auto split: generat.split dest!: lossless-gpv.hyps(2))

```

```

simp add: in-outs- $\mathcal{I}$ -iff-responses- $\mathcal{I}$ )
  finally show ?case by(simp add: measure-spmf.emeasure-eq-measure loss-
less-weight-spmfD lossless-gpv.hyps(1))
    qed
qed

lemma finite-imp-pfinite-gpv:
  assumes finite-gpv  $\mathcal{I}$  gpv  $\mathcal{I} \vdash g$  gpv √
  shows pfinite-gpv  $\mathcal{I}$  gpv
proof
  show expectation-gpv 1  $\mathcal{I}$  ( $\lambda$ - 1) gpv = 1 using assms
  proof(induction rule: finite-gpv-induct)
    case (finite-gpv gpv)
    then have expectation-gpv 1  $\mathcal{I}$  ( $\lambda$ - 1) gpv = nn-integral (measure-spmf (the-gpv
gpv)) (case-generat ( $\lambda$ - 1) ( $\lambda$ out c. INF r∈responses- $\mathcal{I}$   $\mathcal{I}$  out. 1)) + pmf (the-gpv
gpv) None
      by(subst expectation-gpv.simps)(clarsimp intro!: nn-integral-cong-AE INF-cong[OF
refl] split!: generat.split simp add: WT-gpv-ContD)
      also have ... = nn-integral (measure-spmf (the-gpv gpv)) ( $\lambda$ - 1) + pmf
(the-gpv gpv) None
        by(intro arg-cong2[where f=(+)] nn-integral-cong-AE)
        (auto split: generat.split dest!: WT-gpv-OutD[OF finite-gpv.prems] simp add:
in-outs- $\mathcal{I}$ -iff-responses- $\mathcal{I}$ )
      finally show ?case
        by(simp add: measure-spmf.emeasure-eq-measure ennreal-plus[symmetric] del:
ennreal-plus)
          (simp add: pmf-None-eq-weight-spmf)
    qed
  qed

lemma plossless-gpv-lossless-spmfD:
  assumes lossless: plossless-gpv  $\mathcal{I}$  gpv
  and WT:  $\mathcal{I} \vdash g$  gpv √
  shows lossless-spmf (the-gpv gpv)
proof –
  have 1 = expectation-gpv 0  $\mathcal{I}$  ( $\lambda$ - 1) gpv
    using lossless by(auto dest: pgen-lossless-gpvD simp add: weight-gpv-def)
    also have ... =  $\int^+$  generat. (case generat of Pure x ⇒ 1 | IO out c ⇒ INF
r∈responses- $\mathcal{I}$   $\mathcal{I}$  out. expectation-gpv 0  $\mathcal{I}$  ( $\lambda$ - 1) (c r)) ∂measure-spmf (the-gpv
gpv)
      by(subst expectation-gpv.simps)(auto)
    also have ... ≤  $\int^+$  generat. (case generat of Pure x ⇒ 1 | IO out c ⇒ 1)
      ∂measure-spmf (the-gpv gpv)
      apply(rule nn-integral-mono-AE)
      apply(clarsimp split: generat.split)
      apply(frule WT-gpv-OutD[OF WT])
      using expectation-gpv-const-le[of  $\mathcal{I}$  - 0 1]
      apply(auto simp add: in-outs- $\mathcal{I}$ -iff-responses- $\mathcal{I}$  max-def intro: INF-lower2 WT-gpv-ContD[OF
WT] dest: WT-gpv-OutD[OF WT])

```

```

done
also have ... = weight-spmf (the-gpv gpv)
  by(auto simp add: weight-spmf-eq-nn-integral-spmf nn-integral-measure-spmf
intro!: nn-integral-cong split: generat.split)
  finally show ?thesis using weight-spmf-le-1[of the-gpv gpv] by(simp add: loss-
less-spmf-def)
qed

lemma
  shows plossless-gpv-ContD:
     $\llbracket \text{plossless-gpv } \mathcal{I} \text{ gpv}; IO \text{ out } c \in \text{set-spmf (the-gpv gpv)}; input \in \text{responses-}\mathcal{I} \text{ } \mathcal{I} \text{ out}; \mathcal{I} \vdash g \text{ gpv } \checkmark \rrbracket$ 
     $\implies \text{plossless-gpv } \mathcal{I} (c \text{ input})$ 
  and pfinit-gpv-ContD:
     $\llbracket \text{pfinit-gpv } \mathcal{I} \text{ gpv}; IO \text{ out } c \in \text{set-spmf (the-gpv gpv)}; input \in \text{responses-}\mathcal{I} \text{ } \mathcal{I} \text{ out}; \mathcal{I} \vdash g \text{ gpv } \checkmark \rrbracket$ 
     $\implies \text{pfinit-gpv } \mathcal{I} (c \text{ input})$ 
proof(rule-tac [|] pgen-lossless-gpvI, rule-tac [|] antisym[rotated], rule-tac ccontr,
rule-tac [3] ccontr)
  assume IO: IO out c ∈ set-spmf (the-gpv gpv)
  and input: input ∈ responses- $\mathcal{I}$   $\mathcal{I}$  out
  and WT:  $\mathcal{I} \vdash g \text{ gpv } \checkmark$ 
  from WT IO input have WT':  $\mathcal{I} \vdash g \text{ c input } \checkmark$  by(rule WT-gpv-ContD)
  from expectation-gpv-const-le[OF this, of 0 1] expectation-gpv-const-le[OF this,
of 1 1]
  show expectation-gpv 0  $\mathcal{I} (\lambda\_. 1) (c \text{ input}) \leq 1$ 
  and expectation-gpv 1  $\mathcal{I} (\lambda\_. 1) (c \text{ input}) \leq 1$  by(simp-all add: max-def)

  have less: expectation-gpv fail  $\mathcal{I} (\lambda\_. 1) \text{ gpv} < \text{weight-spmf (the-gpv gpv)} + \text{fail}$ 
* pmf (the-gpv gpv) None
  if fail: fail ≤ 1 and *: ¬ 1 ≤ expectation-gpv fail  $\mathcal{I} (\lambda\_. 1) (c \text{ input})$  for fail
:: ennreal
proof -
  have expectation-gpv fail  $\mathcal{I} (\lambda\_. 1) \text{ gpv} = (\int^+ \text{generat. (case generat of Pure } x$ 
 $\Rightarrow 1 \mid IO \text{ out } c \Rightarrow \text{INF } r \in \text{responses-}\mathcal{I} \text{ } \mathcal{I} \text{ out. expectation-gpv fail } \mathcal{I} (\lambda\_. 1) (c \text{ r}))$ 
* spmf (the-gpv gpv) generat * indicator (UNIV - {IO out c}) generat + (INF
r ∈ responses- $\mathcal{I}$   $\mathcal{I}$  out. expectation-gpv fail  $\mathcal{I} (\lambda\_. 1) (c \text{ r})$ ) * spmf (the-gpv gpv) (IO
out c) * indicator {IO out c} generat ∂count-space UNIV) + fail * pmf (the-gpv
gpv) None
  by(subst expectation-gpv.simps)(auto simp add: nn-integral-measure-spmf
mult.commute intro!: nn-integral-cong split: split-indicator generat.split)
  also have ... = ( $\int^+ \text{generat. (case generat of Pure } x \Rightarrow 1 \mid IO \text{ out } c \Rightarrow \text{INF }$ 
 $r \in \text{responses-}\mathcal{I} \text{ } \mathcal{I} \text{ out. expectation-gpv fail } \mathcal{I} (\lambda\_. 1) (c \text{ r})) * \text{spmf (the-gpv gpv)}$ 
generat * indicator (UNIV - {IO out c}) generat ∂count-space UNIV) +
  (INF r ∈ responses- $\mathcal{I}$   $\mathcal{I}$  out. expectation-gpv fail  $\mathcal{I} (\lambda\_. 1) (c \text{ r})$ ) * spmf (the-gpv
gpv) (IO out c) + fail * pmf (the-gpv gpv) None (is - = ?rest + ?cr + -)
  by(subst nn-integral-add) simp-all
  also from calculation expectation-gpv-const-le[OF WT, of fail 1] fail have fin:
?rest ≠ ∞

```

```

by(auto simp add: top-add top-unique max-def split: if-split-asm)
have ?cr ≤ expectation-gpv fail I (λ-. 1) (c input) * spmf (the-gpv gpv) (IO
out c)
  by(rule mult-right-mono INF-lower[OF input])+ simp
  also have ?rest + ... < ?rest + 1 * ennreal (spmf (the-gpv gpv) (IO out c))
    unfolding ennreal-add-left-cancel-less using * IO
  by(intro conjI fin ennreal-mult-strict-right-mono)(simp-all add: not-le weight-gpv-def
in-set-spmf-iff-spmf)
  also have ?rest ≤ ∫+ generat. spmf (the-gpv gpv) generat * indicator (UNIV
- {IO out c}) generat ∂count-space UNIV
    apply(rule nn-integral-mono)
    apply(clar simp split: generat.split split-indicator)
    apply(rule ennreal-mult-le-self2I)
    apply simp
    subgoal premises prems for out' c'
      apply(subgoal-tac IO out' c' ∈ set-spmf (the-gpv gpv))
      apply(frule WT-gpv-ContD[OF WT])
      apply(simp add: in-outs-I-iff-responses-I)
      apply safe
      apply(erule noteE)
      apply(rule INF-lower2, assumption)
      apply(rule expectation-gpv-const-le[THEN order-trans])
      apply(erule (1) WT-gpv-ContD[OF WT])
      apply(simp add: fail)
      using prems by(simp add: in-set-spmf-iff-spmf)
    done
  also have ... + 1 * ennreal (spmf (the-gpv gpv) (IO out c)) =
    (∫+ generat. spmf (the-gpv gpv) generat * indicator (UNIV - {IO out c})
generat + ennreal (spmf (the-gpv gpv) (IO out c)) * indicator {IO out c} generat
∂count-space UNIV)
    by(subst nn-integral-add)(simp-all)
  also have ... = ∫+ generat. spmf (the-gpv gpv) generat ∂count-space UNIV
    by(auto intro!: nn-integral-cong split: split-indicator)
  also have ... = weight-spmf (the-gpv gpv) by(simp add: nn-integral-spmf
measure-spmf.emeasure-eq-measure space-measure-spmf)
  finally show ?thesis using fail
    by(fastforce simp add: top-unique add-mono ennreal-plus[symmetric] en-
nreal-mult-eq-top-iff)
  qed

show False if *: ¬ 1 ≤ expectation-gpv 0 I (λ-. 1) (c input) and lossless:
plossless-gpv I gpv
  using less[OF - *] plossless-gpv-lossless-spmfD[OF lossless WT] lossless[THEN
pgen-lossless-gpvD]
  by(simp add: lossless-spmf-def)

show False if *: ¬ 1 ≤ expectation-gpv 1 I (λ-. 1) (c input) and finite: pfinite-gpv
I gpv
  using less[OF - *] finite[THEN pgen-lossless-gpvD] by(simp add: ennreal-plus[symmetric])

```

```

 $\text{del: ennreal-plus}) (\text{simp add: pmf-None-eq-weight-spmf})$ 
qed

lemma plossless-iff-colossless-pfinite:
assumes  $WT: \mathcal{I} \vdash g \text{ gpv} \vee$ 
shows plossless-gpv  $\mathcal{I}$  gpv  $\longleftrightarrow$  colossless-gpv  $\mathcal{I}$  gpv  $\wedge$  pfinite-gpv  $\mathcal{I}$  gpv
proof(intro iffI conjI; (elim conjE) ?)
assume *: plossless-gpv  $\mathcal{I}$  gpv
show colossless-gpv  $\mathcal{I}$  gpv using * WT
proof(coinduction arbitrary: gpv)
case (colossless-gpv gpv)
have ?lossless-spmf using colossless-gpv by(rule plossless-gpv-lossless-spmfD)
moreover have ?continuation using colossless-gpv
by(auto intro: plossless-gpv-ContD WT-gpv-ContD)
ultimately show ?case ..
qed

show pfinite-gpv  $\mathcal{I}$  gpv unfolding pgen-lossless-gpv-def
proof(rule antisym)
from expectation-gpv-const-le[OF WT, of 1 1] show expectation-gpv 1  $\mathcal{I}$  ( $\lambda$ -. 1) gpv  $\leq$  1 by simp
have 1 = expectation-gpv 0  $\mathcal{I}$  ( $\lambda$ -. 1) gpv using * by(simp add: pgen-lossless-gpv-def)
also have ...  $\leq$  expectation-gpv 1  $\mathcal{I}$  ( $\lambda$ -. 1) gpv by(rule expectation-gpv-mono)
simp-all
finally show 1  $\leq$  ... .
qed
next
show plossless-gpv  $\mathcal{I}$  gpv if colossless-gpv  $\mathcal{I}$  gpv and pfinite-gpv  $\mathcal{I}$  gpv using
that
by(simp add: pgen-lossless-gpv-def cong: expectation-gpv-cong-fail)
qed

lemma pgen-lossless-gpv-Done [simp]: pgen-lossless-gpv fail  $\mathcal{I}$  (Done x) for fail
by(simp add: pgen-lossless-gpv-def)

lemma pgen-lossless-gpv-Fail [simp]: pgen-lossless-gpv fail  $\mathcal{I}$  Fail  $\longleftrightarrow$  fail = 1 for
fail
by(simp add: pgen-lossless-gpv-def)

lemma pgen-lossless-gpv-PauseI [simp, intro!]:
[| out ∈ outs- $\mathcal{I}$   $\mathcal{I}$ ;  $\bigwedge r. r \in \text{responses-}\mathcal{I} \mathcal{I}$  out  $\implies$  pgen-lossless-gpv fail  $\mathcal{I}$  (c r) |]
 $\implies$  pgen-lossless-gpv fail  $\mathcal{I}$  (Pause out c) for fail
by(simp add: pgen-lossless-gpv-def weight-gpv-def in-outs- $\mathcal{I}$ -iff-responses- $\mathcal{I}$ )

lemma pgen-lossless-gpv-bindI [simp, intro!]:
[| pgen-lossless-gpv fail  $\mathcal{I}$  gpv;  $\bigwedge x. x \in \text{results-gpv} \mathcal{I}$  gpv  $\implies$  pgen-lossless-gpv fail  $\mathcal{I}$  (f x) |]
 $\implies$  pgen-lossless-gpv fail  $\mathcal{I}$  (bind-gpv gpv f) for fail
by(simp add: pgen-lossless-gpv-def weight-gpv-def o-def cong: expectation-gpv-cong)

```

```

lemma pgen-lossless-gpv-lift-spmf [simp]:
  pgen-lossless-gpv fail  $\mathcal{I}$  (lift-spmf  $p$ )  $\longleftrightarrow$  lossless-spmf  $p \vee \text{fail} = 1$  for fail
apply(cases fail)
subgoal
  by(simp add: pgen-lossless-gpv-def lossless-spmf-def measure-spmf.emmeasure-eq-measure
pmf-None-eq-weight-spmf ennreal-minus ennreal-mult[symmetric] weight-spmf-le-1
ennreal-plus[symmetric] del: ennreal-plus)
  (metis add-diff-cancel-left' diff-add-cancel eq-iff-diff-eq-0 mult-cancel-right1)
subgoal by(simp add: pgen-lossless-gpv-def measure-spmf.emmeasure-eq-measure en-
nreal-top-mult lossless-spmf-def add-top weight-spmf-conv-pmf-None)
done

lemma expectation-gpv-top-pfinite:
assumes pfinite-gpv  $\mathcal{I}$  gpv
shows expectation-gpv  $\top \mathcal{I} (\lambda\_. \top)$  gpv =  $\top$ 
proof(rule ccontr)
assume *:  $\neg ?\text{thesis}$ 
have  $1 = \text{expectation-gpv } 1 \mathcal{I} (\lambda\_. 1)$  gpv using assms by(simp add: pgen-lossless-gpv-def)
also have ...  $\leq \text{expectation-gpv } \top \mathcal{I} (\lambda\_. \top)$  gpv by(rule expectation-gpv-mono)(simp-all
add: le-fun-def)
also have ... = 0 using expectation-gpv-cmult[of 2  $\top \mathcal{I} \lambda\_. \top$  gpv] *
  by(simp add: ennreal-mult-top) (metis ennreal-mult-cancel-left mult.commute
mult-numeral-1-right not-gr-zero numeral-eq-one-iff semiring-norm(85) zero-neq-numeral)
finally show False by simp
qed

lemma pfinite-INF-le-expectation-gpv:
fixes fail  $\mathcal{I}$  gpv  $f$ 
defines  $c \equiv \min(\text{INF } x \in \text{results-gpv } \mathcal{I} \text{ gpv}. f x)$  fail
assumes fin: pfinite-gpv  $\mathcal{I}$  gpv
shows  $c \leq \text{expectation-gpv fail } \mathcal{I} f \text{ gpv}$  (is ?lhs  $\leq$  ?rhs)
proof(cases  $c > 0$ )
case True
  have  $c = c * \text{expectation-gpv } 1 \mathcal{I} (\lambda\_. 1)$  gpv using assms by(simp add:
pgen-lossless-gpv-def)
  also have ... = expectation-gpv  $c \mathcal{I} (\lambda\_. c)$  gpv using fin True
  by(cases  $c = \top$ )(simp-all add: expectation-gpv-top-pfinite ennreal-top-mult ex-
pectation-gpv-cmult, simp add: pgen-lossless-gpv-def)
  also have ...  $\leq$  ?rhs by(rule expectation-gpv-mono-strong)(auto simp add: c-def
min-def intro: INF-lower2)
  finally show ?thesis .
qed simp

lemma plossless-INF-le-expectation-gpv:
fixes fail
assumes plossless-gpv  $\mathcal{I}$  gpv and  $\mathcal{I} \vdash g \text{ gpv} \checkmark$ 
shows  $(\text{INF } x \in \text{results-gpv } \mathcal{I} \text{ gpv}. f x) \leq \text{expectation-gpv fail } \mathcal{I} f \text{ gpv}$  (is ?lhs  $\leq$ 
?rhs)

```

```

proof -
  from assms have fin: pfinite-gpv I gpv and co: colossless-gpv I gpv
    by(simp-all add: plossless-iff-colossless-pfinite)
  have ?lhs ≤ min ?lhs ⊤ by(simp add: min-def)
  also have ... ≤ expectation-gpv ⊤ I f gpv using fin by(rule pfinite-INF-le-expectation-gpv)
  also have ... = ?rhs using co by(simp add: expectation-gpv-cong-fail)
  finally show ?thesis .
qed

```

```

lemma expectation-gpv-le-inline:
  fixes I'
  defines expectation-gpv2 ≡ expectation-gpv 0 I'
  assumes callee:  $\bigwedge s x. x \in \text{outs-}I \Rightarrow \text{plossless-gpv } I'(\text{callee } s x)$ 
  and callee':  $\bigwedge s x. x \in \text{outs-}I \Rightarrow \text{results-gpv } I'(\text{callee } s x) \subseteq \text{responses-}I$ 
   $x \times \text{UNIV}$ 
  and WT-gpv:  $I \vdash g \text{ gpv } \checkmark$ 
  and WT-callee:  $\bigwedge s x. x \in \text{outs-}I \Rightarrow I' \vdash g \text{ callee } s x \checkmark$ 
  shows expectation-gpv 0 I f gpv ≤ expectation-gpv2 ( $\lambda(x, s). f x$ ) (inline callee gpv s)
    using WT-gpv
  proof(induction arbitrary: gpv s rule: expectation-gpv-fixp-induct)
    case adm show ?case by simp
    case bottom show ?case by simp
    case (step expectation-gpv')
      { fix out c
        assume IO: IO out c ∈ set-spmf (the-gpv gpv)
        with step.preds have out: out ∈ outs-I I by(rule WT-gpv-OutD)
        have (INF r∈responses-I I out. expectation-gpv' (c r)) = ∫+ generat. (INF r∈responses-I I out. expectation-gpv' (c r)) ∂measure-spmf (the-gpv (callee s out))
          using WT-callee[OF out, of s] callee[OF out, of s]
          by(clarsimp simp add: measure-spmf.emeasure-eq-measure plossless-iff-colossless-pfinite
          colossless-gpv-lossless-spmfD lossless-weight-spmfD)
          also have ... ≤ ∫+ generat. (case generat of Pure (x, s') ⇒
            ∫+ xx. (case xx of Inl (x, -) ⇒ f x
              | Inr (out', callee', rpv) ⇒ INF r'∈responses-I I' out'. expectation-gpv
              0 I' (λ(r, s'). expectation-gpv 0 I' (λ(x, s). f x) (inline callee (rpv r) s')) (callee' r'))
              ∂measure-spmf (inline1 callee (c x) s')
              | IO out' rpv ⇒ INF r'∈responses-I I' out'. expectation-gpv 0 I' (λ(r', s').
              expectation-gpv 0 I' (λ(x, s). f x) (inline callee (c r') s')) (rpv r'))
              ∂measure-spmf (the-gpv (callee s out)))
          proof(rule nn-integral-mono-AE; simp split!: generat.split)
            fix x s'
            assume Pure: Pure (x, s') ∈ set-spmf (the-gpv (callee s out))
            hence (x, s') ∈ results-gpv I' (callee s out) by(rule results-gpv.Pure)
            with callee'[OF out, of s] have x: x ∈ responses-I I out by blast
            hence (INF r∈responses-I I out. expectation-gpv' (c r)) ≤ expectation-gpv'
            (c x) by(rule INF-lower)
      }
    }
  }

```

```

also have ...  $\leq$  expectation-gpv2 ( $\lambda(x, s). f x$ ) (inline callee (c x) s')
by(rule step.IH)(rule WT-gpv-ContD[ $\text{OF step.prem}(1)$  IO x] step.prem|assumption)+
also have ... =  $\int^+ xx.$  (case xx of Inl (x, -)  $\Rightarrow$  f x
| Inr (out', callee', rpv)  $\Rightarrow$  INF  $r' \in \text{responses-}\mathcal{I}$   $\mathcal{I}'$  out'. expectation-gpv
0  $\mathcal{I}'$  ( $\lambda(r, s').$  expectation-gpv 0  $\mathcal{I}'$  ( $\lambda(x, s). f x$ ) (inline callee (rpv r) s')) (callee'
r'))
unfold  $\partial\text{measure-spmf}$  (inline1 callee (c x) s')
unfolding expectation-gpv2-def
by(subst expectation-gpv.simps)(auto simp add: inline-sel split-def o-def
intro!: nn-integral-cong split: generat.split sum.split)
finally show (INF  $r \in \text{responses-}\mathcal{I}$   $\mathcal{I}$  out. expectation-gpv' (c r))  $\leq \dots .$ 
next
fix out' rpv
assume IO': IO out' rpv  $\in$  set-spmf (the-gpv (callee s out))
have (INF  $r \in \text{responses-}\mathcal{I}$   $\mathcal{I}$  out. expectation-gpv' (c r))  $\leq$  (INF (r, s')  $\in$  ( $\bigcup r' \in \text{responses-}\mathcal{I}$ 
 $\mathcal{I}'$  out'). results-gpv  $\mathcal{I}'$  (rpv r')). expectation-gpv' (c r))
using IO' callee'[ $\text{OF out, of } s$ ] by(intro INF-mono)(auto intro: results-gpv.IO)
also have ... = (INF  $r' \in \text{responses-}\mathcal{I}$   $\mathcal{I}'$  out'. INF (r, s')  $\in$  results-gpv  $\mathcal{I}'$  (rpv
r')). expectation-gpv' (c r))
by(simp add: INF-UNION)
also have ...  $\leq$  (INF  $r' \in \text{responses-}\mathcal{I}$   $\mathcal{I}'$  out'. expectation-gpv 0  $\mathcal{I}'$  ( $\lambda(r', s').$ 
expectation-gpv 0  $\mathcal{I}'$  ( $\lambda(x, s). f x$ ) (inline callee (c r') s')) (rpv r'))
proof(rule INF-mono, rule bexI)
fix r'
assume r': r'  $\in$  responses- $\mathcal{I}$   $\mathcal{I}'$  out'
have (INF (r, s')  $\in$  results-gpv  $\mathcal{I}'$  (rpv r')). expectation-gpv' (c r))  $\leq$  (INF (r,
s')  $\in$  results-gpv  $\mathcal{I}'$  (rpv r')). expectation-gpv2 ( $\lambda(x, s). f x$ ) (inline callee (c r) s'))
using IO IO' step.prem out callee'[ $\text{OF out, of } s$ ] r'
by(auto intro!: INF-mono rev-bexI step.IH dest: WT-gpv-ContD intro:
results-gpv.IO)
also have ...  $\leq$  expectation-gpv 0  $\mathcal{I}'$  ( $\lambda(r', s').$  expectation-gpv 0  $\mathcal{I}'$  ( $\lambda(x,$ 
s). f x) (inline callee (c r') s')) (rpv r')
unfold expectation-gpv2-def using plossless-gpv-ContD[ $\text{OF callee, OF}$ 
out IO' r'] WT-callee[ $\text{OF out, of } s$ ] IO' r'
by(intro plossless-INF-le-expectation-gpv)(auto intro: WT-gpv-ContD)
finally show (INF (r, s')  $\in$  results-gpv  $\mathcal{I}'$  (rpv r')). expectation-gpv' (c r))  $\leq$ 
...
qed
finally show (INF  $r \in \text{responses-}\mathcal{I}$   $\mathcal{I}$  out. expectation-gpv' (c r))  $\leq \dots .$ 
qed
also note calculation }
then show ?case unfolding expectation-gpv2-def
apply(rewrite expectation-gpv.simps)
apply(rewrite inline-sel)
apply(simp add: o-def pmf-map-spmf-None)
apply(rewrite sum.case-distrib[where h=case-generat - -])
apply(simp cong del: sum.case-cong-weak)
apply(simp add: split-beta o-def cong del: sum.case-cong-weak)
apply(rewrite inline1.simps)

```

```

apply(rewrite measure-spmf-bind)
apply(rewrite nn-integral-bind[where B=measure-spmf -])
  apply simp
  apply(simp add: space-subprob-algebra)
  apply(rule nn-integral-mono-AE)
  apply(clarsimp split!: generat.split)
    apply(simp add: measure-spmf-return-spmf nn-integral-return)
    apply(rewrite measure-spmf-bind)
    apply(simp add: nn-integral-bind[where B=measure-spmf -] space-subprob-algebra)
    apply(subst generat.case-distrib[where h=measure-spmf])
    apply(subst generat.case-distrib[where h=λx. nn-integral x -])
    apply(simp add: measure-spmf-return-spmf nn-integral-return split-def)
  done
qed

lemma plossless-inline:
  assumes lossless: plossless-gpv I gpv
  and WT: I ⊢ g gpv √
  and callee: ∀s x. x ∈ outs-I I ⇒ plossless-gpv I' (callee s x)
  and callee': ∀s x. x ∈ outs-I I ⇒ results-gpv I' (callee s x) ⊆ responses-I I
  x × UNIV
  and WT-callee: ∀s x. x ∈ outs-I I ⇒ I' ⊢ g callee s x √
  shows plossless-gpv I' (inline callee gpv s)
unfolding pgen-lossless-gpv-def
proof(rule antisym)
  have WT': I' ⊢ g inline callee gpv s √ using callee' WT-callee WT by(rule
  WT-gpv-inline)
    from expectation-gpv-const-le[OF WT', of 0 1]
    show expectation-gpv 0 I' (λ-. 1) (inline callee gpv s) ≤ 1 by(simp add: max-def)

  have 1 = expectation-gpv 0 I (λ-. 1) gpv using lossless by(simp add: pgen-lossless-gpv-def)
  also have ... ≤ expectation-gpv 0 I' (λ-. 1) (inline callee gpv s)
    by(rule expectation-gpv-le-inline[unfolded split-def]; rule callee callee' WT WT-callee)
  finally show 1 ≤ ... .
qed

lemma plossless-exec-gpv:
  assumes lossless: plossless-gpv I gpv
  and WT: I ⊢ g gpv √
  and callee: ∀s x. x ∈ outs-I I ⇒ lossless-spmf (callee s x)
  and callee': ∀s x. x ∈ outs-I I ⇒ set-spmf (callee s x) ⊆ responses-I I x ×
  UNIV
  shows lossless-spmf (exec-gpv callee gpv s)
proof -
  have plossless-gpv I-full (inline (λs x. lift-spmf (callee s x)) gpv s)
    using lossless WT by(rule plossless-inline)(simp-all add: callee callee')
    from this[THEN plossless-gpv-lossless-spmfD] show ?thesis
      unfolding exec-gpv-conv-inline1 by(simp add: inline-sel)
qed

```

```

lemma expectation-gpv- $\mathcal{I}$ -mono:
  defines expectation-gpv'  $\equiv$  expectation-gpv
  assumes le:  $\mathcal{I} \leq \mathcal{I}'$ 
    and WT:  $\mathcal{I} \vdash g \text{ gpv} \vee$ 
  shows expectation-gpv fail  $\mathcal{I} f \text{ gpv} \leq$  expectation-gpv' fail  $\mathcal{I}' f \text{ gpv}$ 
  using WT
  proof(induction arbitrary: gpv rule: expectation-gpv-fixp-induct)
    case adm show ?case by simp
    case bottom show ?case by simp
    case step [unfolded expectation-gpv'-def]: (step expectation-gpv')
      show ?case unfolding expectation-gpv'-def
        by(subst expectation-gpv.simps)
        (clar simp intro!: add-mono nn-integral-mono-AE INF-mono split: generat.split
         , auto intro!: bexI step add-mono nn-integral-mono-AE INF-mono split: generat.split dest: WT-gpvD[OF step.preds] intro!: step dest: responses- $\mathcal{I}$ -mono[OF le])
    qed

lemma pgen-lossless-gpv-mono:
  assumes *: pgen-lossless-gpv fail  $\mathcal{I}$  gpv
  and le:  $\mathcal{I} \leq \mathcal{I}'$ 
  and WT:  $\mathcal{I} \vdash g \text{ gpv} \vee$ 
  and fail: fail  $\leq 1$ 
  shows pgen-lossless-gpv fail  $\mathcal{I}' \text{ gpv}$ 
  unfolding pgen-lossless-gpv-def
  proof(rule antisym)
    from WT le have  $\mathcal{I}' \vdash g \text{ gpv} \vee$  by(rule WT-gpv- $\mathcal{I}$ -mono)
    from expectation-gpv-const-le[OF this, of fail 1] fail
    show expectation-gpv fail  $\mathcal{I}' (\lambda\_. 1) \text{ gpv} \leq 1$  by(simp add: max-def split: if-split-asm)
    from expectation-gpv- $\mathcal{I}$ -mono[OF le WT, of fail  $\lambda\_. 1$ ] *
    show expectation-gpv fail  $\mathcal{I}' (\lambda\_. 1) \text{ gpv} \geq 1$  by(simp add: pgen-lossless-gpv-def)
  qed

lemma plossless-gpv-mono:
   $\llbracket \text{plossless-gpv } \mathcal{I} \text{ gpv}; \mathcal{I} \leq \mathcal{I}'; \mathcal{I} \vdash g \text{ gpv} \vee \rrbracket \implies \text{plossless-gpv } \mathcal{I}' \text{ gpv}$ 
  by(erule pgen-lossless-gpv-mono; simp)

lemma pfinit-gpv-mono:
   $\llbracket \text{pfinit-gpv } \mathcal{I} \text{ gpv}; \mathcal{I} \leq \mathcal{I}'; \mathcal{I} \vdash g \text{ gpv} \vee \rrbracket \implies \text{pfinit-gpv } \mathcal{I}' \text{ gpv}$ 
  by(erule pgen-lossless-gpv-mono; simp)

lemma pgen-lossless-gpv-parametric': includes lifting-syntax shows
   $((=) \implies \text{rel-}\mathcal{I} C R \implies \text{rel-gpv'' } A C R \implies (=)) \text{ pgen-lossless-gpv}$ 
  pgen-lossless-gpv
  unfolding pgen-lossless-gpv-def supply expectation-gpv-parametric'[transfer-rule]
  by transfer-prover

lemma pgen-lossless-gpv-parametric: includes lifting-syntax shows

```

```

((=) ===> rel- $\mathcal{I}$  C (=) ===> rel-gpv A C ===> (=)) pgen-lossless-gpv
pgen-lossless-gpv
using pgen-lossless-gpv-parametric'[of C (=) A] by(simp add: rel-gpv-conv-rel-gpv'')
lemma pgen-lossless-gpv-map-gpv-id [simp]:
  pgen-lossless-gpv fail  $\mathcal{I}$  (map-gpv f id gpv) = pgen-lossless-gpv fail  $\mathcal{I}$  gpv
  using pgen-lossless-gpv-parametric[of BNF-Def.Grp UNIV id BNF-Def.Grp UNIV
f]
  unfolding gpv.rel-Grp
  by(auto simp add: eq-alt[symmetric] rel- $\mathcal{I}$ -eq rel-fun-def Grp-iff)

context raw-converter-invariant begin

lemma expectation-gpv-le-inline:
  defines expectation-gpv2 ≡ expectation-gpv 0  $\mathcal{I}'$ 
  assumes callee:  $\bigwedge s. \llbracket x \in \text{outs-}\mathcal{I} \mathcal{I}; I s \rrbracket \implies \text{plossless-gpv } \mathcal{I}' (\text{callee } s x)$ 
  and WT-gpv:  $\mathcal{I} \vdash g \text{ gpv } \checkmark$ 
  and I: I s
  shows expectation-gpv 0  $\mathcal{I}$  f gpv  $\leq$  expectation-gpv2 ( $\lambda(x, s). f x$ ) (inline callee
gpv s)
  using WT-gpv I
proof(induction arbitrary: gpv s rule: expectation-gpv-fixp-induct)
  case adm show ?case by simp
  case bottom show ?case by simp
  case (step expectation-gpv')
  { fix out c
    assume IO: IO out c ∈ set-spmf (the-gpv gpv)
    with step.preds (1) have out: out ∈ outs- $\mathcal{I}$   $\mathcal{I}$  by(rule WT-gpv-OutD)
    have (INF r∈responses- $\mathcal{I}$   $\mathcal{I}$  out. expectation-gpv' (c r)) =  $\int^+$  generat. (INF
r∈responses- $\mathcal{I}$   $\mathcal{I}$  out. expectation-gpv' (c r)) ∂measure-spmf (the-gpv (callee s out))
    using WT-callee[OF out, of s] callee[OF out, of s] ⟨I s⟩
    by(clarsimp simp add: measure-spmf.emeasure-eq-measure plossless-iff-colossless-pfinite
colossless-gpv-lossless-spmfD lossless-weight-spmfD)
    also have ...  $\leq$   $\int^+$  generat. (case generat of Pure (x, s') ⇒
       $\int^+ xx.$  (case xx of Inl (x, -) ⇒ f x
      | Inr (out', callee', rpv) ⇒ INF r'∈responses- $\mathcal{I}$   $\mathcal{I}'$  out'. expectation-gpv
0  $\mathcal{I}'$  (λ(r, s'). expectation-gpv 0  $\mathcal{I}'$  (λ(x, s). f x) (inline callee (rpv r) s')) (callee'
r'))
      ∂measure-spmf (inline1 callee (c x) s')
      | IO out' rpv ⇒ INF r'∈responses- $\mathcal{I}$   $\mathcal{I}'$  out'. expectation-gpv 0  $\mathcal{I}'$  (λ(r', s').
expectation-gpv 0  $\mathcal{I}'$  (λ(x, s). f x) (inline callee (c r') s')) (rpv r'))
      ∂measure-spmf (the-gpv (callee s out)))
    proof(rule nn-integral-mono-AE; simp split!: generat.split)
      fix x s'
      assume Pure: Pure (x, s') ∈ set-spmf (the-gpv (callee s out))
      hence (x, s') ∈ results-gpv  $\mathcal{I}'$  (callee s out) by(rule results-gpv.Pure)
      with results-callee[OF out, of s] ⟨I s⟩ have x: x ∈ responses- $\mathcal{I}$   $\mathcal{I}$  out and I
s' by blast+
      from x have (INF r∈responses- $\mathcal{I}$   $\mathcal{I}$  out. expectation-gpv' (c r))  $\leq$  expecta-

```

```

tion-gpv' (c x) by(rule INF-lower)
  also have ... ≤ expectation-gpv2 (λ(x, s). f x) (inline callee (c x) s')
    by(rule step.IH)(rule WT-gpv-ContD[OF step.prem(1) IO x] step.prem(1) I
s' | assumption) +
  also have ... = ∫+ xx. (case xx of Inl (x, -) ⇒ f x
  | Inr (out', callee', rpv) ⇒ INF r' ∈ responses-Ι Ι' out'. expectation-gpv
0 Ι' (λ(r, s'). expectation-gpv 0 Ι' (λ(x, s). f x) (inline callee (rpv r) s')) (callee'
r')))
  ∂measure-spmf (inline1 callee (c x) s')
  unfolding expectation-gpv2-def
  by(subst expectation-gpv.simps)(auto simp add: inline-sel split-def o-def
intro!: nn-integral-cong split: generat.split sum.split)
  finally show (INF r ∈ responses-Ι Ι out. expectation-gpv' (c r)) ≤ ... .
next
  fix out' rpv
  assume IO': IO out' rpv ∈ set-spmf (the-gpv (callee s out))
  have (INF r ∈ responses-Ι Ι out. expectation-gpv' (c r)) ≤ (INF (r, s') ∈ (UNION r' ∈ responses-Ι
Ι' out'. results-gpv Ι' (rpv r')). expectation-gpv' (c r))
    using IO' resultscallee[OF out, of s] ⟨I s⟩ by(intro INF-mono)(auto intro:
results-gpv.IO)
  also have ... = (INF r' ∈ responses-Ι Ι' out'. INF (r, s') ∈ results-gpv Ι' (rpv
r')). expectation-gpv' (c r)
    by(simp add: INF-UNION)
  also have ... ≤ (INF r' ∈ responses-Ι Ι' out'. expectation-gpv 0 Ι' (λ(r', s').
expectation-gpv 0 Ι' (λ(x, s). f x) (inline callee (c r') s')) (rpv r'))
    proof(rule INF-mono, rule bexI)
      fix r'
      assume r': r' ∈ responses-Ι Ι' out'
      have (INF (r, s') ∈ results-gpv Ι' (rpv r')). expectation-gpv' (c r)) ≤ (INF (r,
s') ∈ results-gpv Ι' (rpv r')). expectation-gpv2 (λ(x, s). f x) (inline callee (c r) s'))
        using IO IO' step.prem(1) resultscallee[OF out, of s] r'
        by(auto intro!: INF-mono rev-bexI step.IH dest: WT-gpv-ContD intro:
results-gpv.IO)
      also have ... ≤ expectation-gpv 0 Ι' (λ(r', s'). expectation-gpv 0 Ι' (λ(x,
s). f x) (inline callee (c r') s')) (rpv r'))
        unfolding expectation-gpv2-def using plossless-gpv-ContD[OF callee, OF
out ⟨I s⟩ IO' r'] WT-callee[OF out ⟨I s⟩] IO' r'
        by(intro plossless-INF-le-expectation-gpv)(auto intro: WT-gpv-ContD)
      finally show (INF (r, s') ∈ results-gpv Ι' (rpv r')). expectation-gpv' (c r)) ≤
... .
    qed
    finally show (INF r ∈ responses-Ι Ι out. expectation-gpv' (c r)) ≤ ... .
  qed
  also note calculation }
then show ?case unfolding expectation-gpv2-def
  apply(rewrite expectation-gpv.simps)
  apply(rewrite inline-sel)
  apply(simp add: o-def pmf-map-spmf-None)
  apply(rewrite sum.case-distrib[where h=case-generat - -])

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apply(simp cong del: sum.case-cong-weak)
apply(simp add: split-beta o-def cong del: sum.case-cong-weak)
apply(rewrite inline1.simps)
apply(rewrite measure-spmf-bind)
apply(rewrite nn-integral-bind[where B=measure-spmf -])
  apply simp
apply(simp add: space-subprob-algebra)
apply(rule nn-integral-mono-AE)
apply(clarsimp split!: generat.split)
  apply(simp add: measure-spmf-return-spmf nn-integral-return)
  apply(rewrite measure-spmf-bind)
apply(simp add: nn-integral-bind[where B=measure-spmf -] space-subprob-algebra)
  apply(subst generat.case-distrib[where h=measure-spmf])
  apply(subst generat.case-distrib[where h=λx. nn-integral x -])
  apply(simp add: measure-spmf-return-spmf nn-integral-return split-def)
done
qed

lemma plossless-inline:
assumes lossless: plossless-gpv I gpv
  and WT: I ⊢ g gpv √
  and callee: ⋀s x. [I s; x ∈ outs-I I] ⟹ plossless-gpv I' (callee s x)
  and I: I s
shows plossless-gpv I' (inline callee gpv s)
unfolding pgen-lossless-gpv-def
proof(rule antisym)
have WT': I' ⊢ g inline callee gpv s √ using WT I by(rule WT-gpv-inline-invar)
from expectation-gpv-const-le[OF WT', of 0 1]
show expectation-gpv 0 I' (λ-. 1) (inline callee gpv s) ≤ 1 by(simp add: max-def)

have 1 = expectation-gpv 0 I (λ-. 1) gpv using lossless by(simp add: pgen-lossless-gpv-def)
also have ... ≤ expectation-gpv 0 I' (λ-. 1) (inline callee gpv s)
  by(rule expectation-gpv-le-inline[unfolded split-def]; rule callee I WT)
finally show 1 ≤ ... .
qed

end

lemma expectation-left-gpv [simp]:
expectation-gpv fail (I ⊕_I I') f (left-gpv gpv) = expectation-gpv fail I f gpv
proof(induction arbitrary: gpv rule: parallel-fixp-induct-1-1[OF complete-lattice-partial-function-definitions
complete-lattice-partial-function-definitions expectation-gpv.mono expectation-gpv.mono
expectation-gpv-def expectation-gpv-def, case-names adm bottom step])
case adm show ?case by simp
case bottom show ?case by simp
case (step expectation-gpv' expectation-gpv'')
show ?case
by (auto simp add: pmf-map-spmf-None o-def case-map-generat image-comp
split: generat.split intro!: nn-integral-cong-AE INF-cong step.IH)

```

qed

lemma *expectation-right-gpv* [simp]:

expectation-gpv fail ($\mathcal{I} \oplus_{\mathcal{I}} \mathcal{I}'$) *f* (*right-gpv gpv*) = *expectation-gpv fail* \mathcal{I}' *f gpv*

proof(induction arbitrary: *gpv* rule: parallel-fixp-induct-1-1 [OF complete-lattice-partial-function-definitions complete-lattice-partial-function-definitions expectation-gpv.mono expectation-gpv.mono expectation-gpv-def expectation-gpv-def, case-names adm bottom step])

case *adm* **show** ?*case* **by** simp

case *bottom* **show** ?*case* **by** simp

case (*step expectation-gpv' expectation-gpv''*)

show ?*case*

by (auto simp add: pmf-map-spmf-None o-def case-map-generat image-comp)

split: generat.split intro!: nn-integral-cong-AE INF-cong step.IH)

qed

lemma *pgen-lossless-left-gpv* [simp]: *pgen-lossless-gpv fail* ($\mathcal{I} \oplus_{\mathcal{I}} \mathcal{I}'$) (*left-gpv gpv*)

= *pgen-lossless-gpv fail* \mathcal{I} *gpv*

by(simp add: *pgen-lossless-gpv-def*)

lemma *pgen-lossless-right-gpv* [simp]: *pgen-lossless-gpv fail* ($\mathcal{I} \oplus_{\mathcal{I}} \mathcal{I}'$) (*right-gpv gpv*) = *pgen-lossless-gpv fail* \mathcal{I}' *gpv*

by(simp add: *pgen-lossless-gpv-def*)

lemma (in raw-converter-invariant) *expectation-gpv-le-inline-invariant*:

defines *expectation-gpv2* ≡ *expectation-gpv 0* \mathcal{I}'

assumes *callee*: $\bigwedge s x. [\![x \in \text{outs-}\mathcal{I} \mathcal{I}; I s]\!] \implies \text{plossless-gpv } \mathcal{I}' (\text{callee } s x)$

and *WT-gpv*: $\mathcal{I} \vdash g \text{ gpv} \checkmark$

and *I*: $I : I s$

shows *expectation-gpv 0* $\mathcal{I} f \text{ gpv} \leq \text{expectation-gpv2 } (\lambda(x, s). f x)$ (inline callee *gpv s*)

using *WT-gpv I*

proof(induction arbitrary: *gpv s* rule: *expectation-gpv-fixp-induct*)

case *adm* **show** ?*case* **by** simp

case *bottom* **show** ?*case* **by** simp

case (*step expectation-gpv'*)

{ **fix** *out*

assume *IO*: *IO out c ∈ set-spmf (the-gpv gpv)*

with *step.prems(1)* **have** *out*: *out ∈ outs- \mathcal{I} \mathcal{I}* **by**(rule *WT-gpv-OutD*)

have ($\text{INF } r \in \text{responses-}\mathcal{I} \mathcal{I} \text{ out}. \text{expectation-gpv}'(c r)) = \int^+ \text{generat. } (\text{INF } r \in \text{responses-}\mathcal{I} \mathcal{I} \text{ out}. \text{expectation-gpv}'(c r)) \partial \text{measure-spmf } (\text{the-gpv } (\text{callee } s \text{ out}))$

using *WT-callee[OF out, of s] callee[OF out, of s]* *step.prems(2)*

by(clarify simp add: measure-spmf.emeasure-eq-measure plossless-iff-colossless-pfinite colossless-gpv-lossless-spmfD lossless-weight-spmfD)

also have ... $\leq \int^+ \text{generat. } (\text{case generat of Pure } (x, s') \Rightarrow$

$\int^+ xx. (\text{case } xx \text{ of Inl } (x, -) \Rightarrow f x$

$| \text{Inr } (out', callee', rpv) \Rightarrow \text{INF } r' \in \text{responses-}\mathcal{I} \mathcal{I}' \text{ out'}. \text{expectation-gpv}$

$0 \mathcal{I}' (\lambda(r, s'). \text{expectation-gpv } 0 \mathcal{I}' (\lambda(x, s). f x) (\text{inline callee } (rpv r) s')) (\text{callee}' r')$

$\partial \text{measure-spmf } (\text{inline1 callee } (c x) s')$

```

|  $IO \text{ out}' \text{ rpv} \Rightarrow INF \text{ r}' \in \text{responses-}\mathcal{I} \mathcal{I}' \text{ out}'. \text{ expectation-gpv } 0 \mathcal{I}' (\lambda(r', s').$   

 $\text{ expectation-gpv } 0 \mathcal{I}' (\lambda(x, s). f x) (\text{ inline callee } (c r') s')) (\text{ rpv r}')$   

 $\partial\text{measure-spmf } (\text{ the-gpv } (\text{ callee } s \text{ out}))$   

proof(rule nn-integral-mono-AE; simp split!: generat.split)  

  fix x s'  

  assume Pure:  $Pure (x, s') \in \text{set-spmf } (\text{ the-gpv } (\text{ callee } s \text{ out}))$   

  hence  $(x, s') \in \text{ results-gpv } \mathcal{I}' (\text{ callee } s \text{ out})$  by(rule results-gpv.Pure)  

  with resultscallee[ $OF \text{ out step.prem}(2)$ ] have x:  $x \in \text{ responses-}\mathcal{I} \mathcal{I}' \text{ out}$  and  

  s':  $I s'$  by blast+  

    from this(1) have  $(INF \text{ r} \in \text{ responses-}\mathcal{I} \mathcal{I}' \text{ out}. \text{ expectation-gpv}' (c r)) \leq$   

 $\text{ expectation-gpv}' (c x)$  by(rule INF-lower)  

    also have ...  $\leq \text{ expectation-gpv2 } (\lambda(x, s). f x) (\text{ inline callee } (c x) s')$   

      by(rule step.IH)(rule WT-gpv-ContD[ $OF \text{ step.prem}(1) IO x$ ] step.prem  

  s'|assumption)+  

    also have ...  $= \int^+ xx. (\text{ case } xx \text{ of } Inl (x, -) \Rightarrow f x$   

      |  $Inr (\text{ out}', \text{ callee}', \text{ rpv}) \Rightarrow INF \text{ r}' \in \text{ responses-}\mathcal{I} \mathcal{I}' \text{ out}'. \text{ expectation-gpv }$   

 $0 \mathcal{I}' (\lambda(r, s'). \text{ expectation-gpv } 0 \mathcal{I}' (\lambda(x, s). f x) (\text{ inline callee } (\text{ rpv r}) s')) (\text{ callee}'$   

 $r'))$   

 $\partial\text{measure-spmf } (\text{ inline1 callee } (c x) s')$   

unfolding expectation-gpv2-def  

  by(subst expectation-gpv.simps)(auto simp add: inline-sel split-def o-def  

  intro!: nn-integral-cong split: generat.split sum.split)  

finally show  $(INF \text{ r} \in \text{ responses-}\mathcal{I} \mathcal{I}' \text{ out}. \text{ expectation-gpv}' (c r)) \leq \dots .$   

next  

  fix out' rpv  

  assume  $IO': IO \text{ out}' \text{ rpv} \in \text{ set-spmf } (\text{ the-gpv } (\text{ callee } s \text{ out}))$   

  have  $(INF \text{ r} \in \text{ responses-}\mathcal{I} \mathcal{I}' \text{ out}. \text{ expectation-gpv}' (c r)) \leq (INF (r, s') \in (\bigcup r' \in \text{ responses-}\mathcal{I}$   

 $\mathcal{I}' \text{ out}'. \text{ results-gpv } \mathcal{I}' (\text{ rpv r}')). \text{ expectation-gpv}' (c r))$   

    using  $IO' \text{ resultscallee}[OF \text{ out step.prem}(2)]$  by(intro INF-mono)(auto  

  intro: results-gpv.IO)  

    also have ...  $= (INF \text{ r}' \in \text{ responses-}\mathcal{I} \mathcal{I}' \text{ out}'. INF (r, s') \in \text{ results-gpv } \mathcal{I}' (\text{ rpv r})).$   

 $\text{ expectation-gpv}' (c r))$   

    by(simp add: INF-UNION)  

    also have ...  $\leq (INF \text{ r}' \in \text{ responses-}\mathcal{I} \mathcal{I}' \text{ out}'. \text{ expectation-gpv } 0 \mathcal{I}' (\lambda(r', s').$   

 $\text{ expectation-gpv } 0 \mathcal{I}' (\lambda(x, s). f x) (\text{ inline callee } (c r') s')) (\text{ rpv r}'))$   

proof(rule INF-mono, rule bexI)  

  fix r'  

  assume  $r': r' \in \text{ responses-}\mathcal{I} \mathcal{I}' \text{ out}'$   

  have  $(INF (r, s') \in \text{ results-gpv } \mathcal{I}' (\text{ rpv r}')). \text{ expectation-gpv}' (c r)) \leq (INF (r,$   

 $s') \in \text{ results-gpv } \mathcal{I}' (\text{ rpv r}')). \text{ expectation-gpv2 } (\lambda(x, s). f x) (\text{ inline callee } (c r) s'))$   

    using  $IO IO' \text{ step.prem out resultscallee}[OF \text{ out, of } s] r'$   

    by(auto intro!: INF-mono rev-bexI step.IH dest: WT-gpv-ContD intro:  

  results-gpv.IO)  

    also have ...  $\leq \text{ expectation-gpv } 0 \mathcal{I}' (\lambda(r', s')). \text{ expectation-gpv } 0 \mathcal{I}' (\lambda(x,$   

 $s). f x) (\text{ inline callee } (c r') s')) (\text{ rpv r}')$   

    unfolding expectation-gpv2-def using plossless-gpv-ContD[ $OF \text{ callee}, OF$   

  out step.prem(2)  $IO' r'$ ] WT-callee[ $OF \text{ out step.prem}(2)] IO' r'$   

    by(intro plossless-INF-le-expectation-gpv)(auto intro: WT-gpv-ContD)  

finally show  $(INF (r, s') \in \text{ results-gpv } \mathcal{I}' (\text{ rpv r}')). \text{ expectation-gpv}' (c r)) \leq$ 
```

```

... .
qed
finally show (INF r∈responses- $\mathcal{I}$   $\mathcal{I}$  out. expectation-gpv' (c r)) ≤ ... .
qed
also note calculation }
then show ?case unfolding expectation-gpv2-def
apply(rewrite expectation-gpv.simps)
apply(rewrite inline-sel)
apply(simp add: o-def pmf-map-spmf-None)
apply(rewrite sum.case-distrib[where h=case-generat - -])
apply(simp cong del: sum.case-cong-weak)
apply(simp add: split-beta o-def cong del: sum.case-cong-weak)
apply(rewrite inline1.simps)
apply(rewrite measure-spmf-bind)
apply(rewrite nn-integral-bind[where B=measure-spmf -])
  apply simp
  apply(simp add: space-subprob-algebra)
  apply(rule nn-integral-mono-AE)
  apply(clarsimp split!: generat.split)
    apply(simp add: measure-spmf-return-spmf nn-integral-return)
    apply(rewrite measure-spmf-bind)
    apply(simp add: nn-integral-bind[where B=measure-spmf -] space-subprob-algebra)
    apply(subst generat.case-distrib[where h=measure-spmf])
    apply(subst generat.case-distrib[where h=λx. nn-integral x -])
    apply(simp add: measure-spmf-return-spmf nn-integral-return split-def)
  done
qed

lemma (in raw-converter-invariant) plossless-inline-invariant:
assumes lossless: plossless-gpv  $\mathcal{I}$  gpv
  and WT:  $\mathcal{I} \vdash g$  gpv √
  and callee:  $\bigwedge s. [\![ x \in \text{outs-}\mathcal{I} \mathcal{I}; I s ]\!] \implies$  plossless-gpv  $\mathcal{I}'$  (callee s x)
  and I: I s
shows plossless-gpv  $\mathcal{I}'$  (inline callee gpv s)
unfolding pgen-lossless-gpv-def
proof(rule antisym)
have WT':  $\mathcal{I}' \vdash g$  inline callee gpv s √ using WT I by(rule WT-gpv-inline-invar)
from expectation-gpv-const-le[OF WT', of 0 1]
show expectation-gpv 0  $\mathcal{I}'$  (λ-. 1) (inline callee gpv s) ≤ 1 by(simp add: max-def)

have 1 = expectation-gpv 0  $\mathcal{I}$  (λ-. 1) gpv using lossless by(simp add: pgen-lossless-gpv-def)
also have ... ≤ expectation-gpv 0  $\mathcal{I}'$  (λ-. 1) (inline callee gpv s)
  by(rule expectation-gpv-le-inline[unfolded split-def]; rule callee WT WT-callee
I)
  finally show 1 ≤ ... .
qed

context callee-invariant-on begin

```

```

lemma raw-converter-invariant: raw-converter-invariant  $\mathcal{I} \mathcal{I}' (\lambda s x. lift-spmf (callee s x)) I$ 
  by(unfold-locales)(auto dest: callee-invariant WT-callee WT-calleeD)

lemma (in callee-invariant-on) plossless-exec-gpv:
  assumes lossless: plossless-gpv  $\mathcal{I}$  gpv
  and WT:  $\mathcal{I} \vdash g$  gpv ✓
  and callee:  $\bigwedge s x. [x \in outs-\mathcal{I} \mathcal{I}; I s] \implies$  lossless-spmf (callee s x)
  and I: I s
  shows lossless-spmf (exec-gpv callee gpv s)
proof -
  interpret raw-converter-invariant  $\mathcal{I} \mathcal{I}' \lambda s x. lift-spmf (callee s x)$  I for  $\mathcal{I}'$ 
  by(rule raw-converter-invariant)
  have plossless-gpv  $\mathcal{I}$ -full (inline ( $\lambda s x. lift-spmf (callee s x)$ ) gpv s)
  using lossless WT by(rule plossless-inline)(simp-all add: callee I)
  from this[THEN plossless-gpv-lossless-spmfD] show ?thesis
  unfolding exec-gpv-conv-inline1 by(simp add: inline-sel)
qed

end

lemma expectation-gpv-mk-lossless-gpv:
  fixes  $\mathcal{I}$  y
  defines rhs  $\equiv$  expectation-gpv 0  $\mathcal{I}$  ( $\lambda -. y$ )
  assumes WT:  $\mathcal{I}' \vdash g$  gpv ✓
  and outs: outs- $\mathcal{I}$   $\mathcal{I} =$  outs- $\mathcal{I}$   $\mathcal{I}'$ 
  shows expectation-gpv 0  $\mathcal{I}' (\lambda -. y)$  gpv  $\leq$  rhs (mk-lossless-gpv (responses- $\mathcal{I}$   $\mathcal{I}'$ ) x gpv)
  using WT
proof(induction arbitrary: gpv rule: expectation-gpv-fixp-induct)
  case adm show ?case by simp
  case bottom show ?case by simp
  case step [unfolded rhs-def]: (step expectation-gpv')
  show ?case using step.preds outs unfolding rhs-def
  apply(subst expectation-gpv.simps)
  apply(clarify intro!: nn-integral-mono-AE INF-mono split!: generat.split if-split)
  subgoal
    by(frule (1) WT-gpv-ContD)(auto simp add: in-outs- $\mathcal{I}$ -iff-responses- $\mathcal{I}$  intro!
  bexI step.IH[unfolded rhs-def] dest: WT-gpv-ContD)
    apply(frule (1) WT-gpv-ContD; clarify simp add: in-outs- $\mathcal{I}$ -iff-responses- $\mathcal{I}$ 
  ex-in-conv[symmetric])
    subgoal for out c input input'
      using step.hyps[of c input'] expectation-gpv-const-le[of  $\mathcal{I}' c$  input' 0 y]
      by- (drule (2) WT-gpv-ContD, fastforce intro: rev-bexI simp add: max-def)
    done
qed

lemma plossless-gpv-mk-lossless-gpv:
  assumes plossless-gpv  $\mathcal{I}$  gpv

```

```

and  $\mathcal{I} \vdash g \text{ gpv} \checkmark$ 
and  $\text{outs-}\mathcal{I} \mathcal{I} = \text{outs-}\mathcal{I} \mathcal{I}'$ 
shows  $\text{plossless-gpv } \mathcal{I}' (\text{mk-lossless-gpv } (\text{responses-}\mathcal{I} \mathcal{I}) x \text{ gpv})$ 
using  $\text{assms expectation-gpv-mk-lossless-gpv[OF assms(2), of } \mathcal{I}' 1 x]$ 
unfolding  $\text{pgen-lossless-gpv-def}$ 
by  $-(\text{rule antisym[OF expectation-gpv-const-le[THEN order-trans]]}; \text{simp add: } \text{WT-gpv-mk-lossless-gpv})$ 

lemma (in callee-invariant-on)  $\text{exec-gpv-mk-lossless-gpv}:$ 
assumes  $\mathcal{I} \vdash g \text{ gpv} \checkmark$ 
and  $I s$ 
shows  $\text{exec-gpv } \text{callee } (\text{mk-lossless-gpv } (\text{responses-}\mathcal{I} \mathcal{I}) x \text{ gpv}) s = \text{exec-gpv } \text{callee }$ 
 $\text{gpv } s$ 
using  $\text{assms}$ 
proof(induction arbitrary: gpv s rule: exec-gpv-fixp-induct)
case  $\text{adm}$  show  $?case$  by  $\text{simp}$ 
case  $\text{bottom}$  show  $?case$  by  $\text{simp}$ 
case  $(\text{step exec-gpv}')$ 
show  $?case$  using  $\text{step.prem } \text{WT-gpv-}\text{OutD[OF step.prem(1)]}$ 
by  $(\text{clarsimp simp add: bind-map-spmf intro!: bind-spmf-cong[OF refl] split!}: \text{generat.split if-split})$ 
(force intro!: step.IH dest: WT-callee[THEN WT-calleeD] WT-gpv-}\text{OutD}
callee-invariant WT-gpv-}\text{ContD} +
qed

lemma  $\text{expectation-gpv-map-gpv}' [\text{simp}]:$ 
 $\text{expectation-gpv fail } \mathcal{I} f (\text{map-gpv}' g h k \text{ gpv}) =$ 
 $\text{expectation-gpv fail } (\text{map-}\mathcal{I} h k \mathcal{I}) (f \circ g) \text{ gpv}$ 
proof(induction arbitrary: gpv rule: parallel-fixp-induct-1-1[OF complete-lattice-partial-function-definitions
complete-lattice-partial-function-definitions expectation-gpv.mono expectation-gpv.mono
expectation-gpv-def expectation-gpv-def, case-names adm bottom step])
case  $\text{adm}$  show  $?case$  by  $\text{simp}$ 
case  $\text{bottom}$  show  $?case$  by  $\text{simp}$ 
case  $(\text{step exp1 exp2})$ 
have  $\text{pmf } (\text{the-gpv } (\text{map-gpv}' g h k \text{ gpv})) \text{ None} = \text{pmf } (\text{the-gpv } \text{gpv}) \text{ None}$ 
by  $(\text{simp add: pmf-map-spmf-None})$ 
then show  $?case$ 
by  $\text{simp}$ 
(auto simp add: nn-integral-measure-spmf step.IH image-comp
split: generat.split intro!: nn-integral-cong)
qed

lemma  $\text{plossless-gpv-map-gpv}' [\text{simp}]:$ 
 $\text{pgen-lossless-gpv } b \mathcal{I} (\text{map-gpv}' f g h \text{ gpv}) \longleftrightarrow \text{pgen-lossless-gpv } b (\text{map-}\mathcal{I} g h \mathcal{I})$ 
 $\text{gpv}$ 
unfolding  $\text{pgen-lossless-gpv-def}$  by  $(\text{simp add: o-def})$ 

end

```

```
theory GPV-Bisim imports
```

```
  GPV-Expectation
```

```
begin
```

6.6 Bisimulation for oracles

Bisimulation is a consequence of parametricity

```
lemma exec-gpv-oracle-bisim':
  assumes *: X s1 s2
  and bisim: ⋀s1 s2 x. X s1 s2 ⟹ rel-spmf (λ(a, s1') (b, s2')). a = b ∧ X s1' s2' (oracle1 s1 x) (oracle2 s2 x)
  shows rel-spmf (λ(a, s1') (b, s2'). a = b ∧ X s1' s2') (exec-gpv oracle1 gpv s1) (exec-gpv oracle2 gpv s2)
  by(rule exec-gpv-parametric[of X (=) (=), unfolded gpv.rel-eq rel-prod-conv, THEN
  rel-funD, THEN rel-funD, THEN rel-funD, OF rel-funI refl, OF rel-funI *])(simp
  add: bisim)

lemma exec-gpv-oracle-bisim:
  assumes *: X s1 s2
  and bisim: ⋀s1 s2 x. X s1 s2 ⟹ rel-spmf (λ(a, s1') (b, s2'). a = b ∧ X s1' s2') (oracle1 s1 x) (oracle2 s2 x)
  and R: ⋀x s1' s2'. ⌒ X s1' s2'; (x, s1') ∈ set-spmf (exec-gpv oracle1 gpv s1); (x, s2') ∈ set-spmf (exec-gpv oracle2 gpv s2) ⟹ R (x, s1') (x, s2')
  shows rel-spmf R (exec-gpv oracle1 gpv s1) (exec-gpv oracle2 gpv s2)
  apply(spmf-rel-mono-strong)
  apply(rule exec-gpv-oracle-bisim'[OF * bisim])
  apply(auto dest: R)
  done

lemma run-gpv-oracle-bisim:
  assumes X s1 s2
  and ⋀s1 s2 x. X s1 s2 ⟹ rel-spmf (λ(a, s1') (b, s2'). a = b ∧ X s1' s2') (oracle1 s1 x) (oracle2 s2 x)
  shows run-gpv oracle1 gpv s1 = run-gpv oracle2 gpv s2
  using exec-gpv-oracle-bisim'[OF assms]
  by(fold spmf-rel-eq)(fastforce simp add: spmf-rel-map intro: rel-spmf-mono)

context
  fixes joint-oracle :: ('s1 × 's2) ⇒ 'a ⇒ (('b × 's1) × ('b × 's2)) spmf
  and oracle1 :: 's1 ⇒ 'a ⇒ ('b × 's1) spmf
  and bad1 :: 's1 ⇒ bool
  and oracle2 :: 's2 ⇒ 'a ⇒ ('b × 's2) spmf
  and bad2 :: 's2 ⇒ bool
begin

partial-function (spmf) exec-until-bad :: ('x, 'a, 'b) gpv ⇒ 's1 ⇒ 's2 ⇒ (('x × 's1) × ('x × 's2)) spmf
```

```

where
  exec-until-bad gpv s1 s2 =
    (if bad1 s1 ∨ bad2 s2 then pair-spmf (exec-gpv oracle1 gpv s1) (exec-gpv oracle2
    gpv s2)
     else bind-spmf (the-gpv gpv) (λgenerat.
      case generat of Pure x ⇒ return-spmf ((x, s1), (x, s2))
      | IO out f ⇒ bind-spmf (joint-oracle (s1, s2) out) (λ((x, s1'), (y, s2')).
       if bad1 s1' ∨ bad2 s2' then pair-spmf (exec-gpv oracle1 (f x) s1') (exec-gpv
       oracle2 (f y) s2')
       else exec-until-bad (f x) s1' s2')))

lemma exec-until-bad-fixp-induct [case-names adm bottom step]:
  assumes ccpo.admissible (fun-lub lub-spmf) (fun-ord (ord-spmf (=))) (λf. P
  (λgpv s1 s2. f ((gpv, s1), s2)))
  and P (λ- - -. return-pmf None)
  and ⋀exec-until-bad'. P exec-until-bad' ==>
  P (λgpv s1 s2. if bad1 s1 ∨ bad2 s2 then pair-spmf (exec-gpv oracle1 gpv s1)
  (exec-gpv oracle2 gpv s2)
   else bind-spmf (the-gpv gpv) (λgenerat.
    case generat of Pure x ⇒ return-spmf ((x, s1), (x, s2))
    | IO out f ⇒ bind-spmf (joint-oracle (s1, s2) out) (λ((x, s1'), (y, s2')).
     if bad1 s1' ∨ bad2 s2' then pair-spmf (exec-gpv oracle1 (f x) s1') (exec-gpv
     oracle2 (f y) s2')
     else exec-until-bad' (f x) s1' s2')))
  shows P exec-until-bad
  using assms by(rule exec-until-bad.fixp-induct[unfolded curry-conv[abs-def]])
end

lemma exec-gpv-oracle-bisim-bad-plossless:
  fixes s1 :: 's1 and s2 :: 's2 and X :: 's1 ⇒ 's2 ⇒ bool
  and oracle1 :: 's1 ⇒ 'a ⇒ ('b × 's1) spmf
  and oracle2 :: 's2 ⇒ 'a ⇒ ('b × 's2) spmf
  assumes *: if bad2 s2 then X-bad s1 s2 else X s1 s2
  and bad: bad1 s1 = bad2 s2
  and bisim: ⋀s1 s2 x. [ X s1 s2; x ∈ outs-Ι Ι ] ==> rel-spmf (λ(a, s1') (b, s2')).
  bad1 s1' = bad2 s2' ∧ (if bad2 s2' then X-bad s1' s2' else a = b ∧ X s1' s2')
  (oracle1 s1 x) (oracle2 s2 x)
  and bad-sticky1: ⋀s2. bad2 s2 ==> callee-invariant-on oracle1 (λs1. bad1 s1 ∧
  X-bad s1 s2) Ι
  and bad-sticky2: ⋀s1. bad1 s1 ==> callee-invariant-on oracle2 (λs2. bad2 s2 ∧
  X-bad s1 s2) Ι
  and lossless1: ⋀s1 x. [ bad1 s1; x ∈ outs-Ι Ι ] ==> lossless-spmf (oracle1 s1 x)
  and lossless2: ⋀s2 x. [ bad2 s2; x ∈ outs-Ι Ι ] ==> lossless-spmf (oracle2 s2 x)
  and lossless: plossless-gpv Ι gpv
  and WT-oracle1: ⋀s1. Ι ⊢ c oracle1 s1 ✓
  and WT-oracle2: ⋀s2. Ι ⊢ c oracle2 s2 ✓
  and WT-gpv: Ι ⊢ g gpv ✓
  shows rel-spmf (λ(a, s1') (b, s2')). bad1 s1' = bad2 s2' ∧ (if bad2 s2' then X-bad

```

```

 $s1' s2' \text{ else } a = b \wedge X s1' s2') \text{ (exec-gpv oracle1 gpv s1) (exec-gpv oracle2 gpv s2)}$ 
 $\text{(is rel-spmf ?R ?p ?q)}$ 
proof -
 $\text{let ?R}' = \lambda(a, s1') (b, s2'). \text{bad1 } s1' = \text{bad2 } s2' \wedge (\text{if bad2 } s2' \text{ then } X\text{-bad } s1'$ 
 $s2' \text{ else } a = b \wedge X s1' s2')$ 
from bisim have  $\forall s1 s2. \forall x \in \text{outs-}\mathcal{I} \mathcal{I}. X s1 s2 \longrightarrow \text{rel-spmf ?R}' (\text{oracle1 } s1$ 
 $x) (\text{oracle2 } s2 x)$  by blast
then obtain joint-oracle
 $\text{where oracle1 [symmetric]: } \bigwedge s1 s2 x. \llbracket X s1 s2; x \in \text{outs-}\mathcal{I} \mathcal{I} \rrbracket \implies \text{map-spmf}$ 
 $\text{fst} (\text{joint-oracle } s1 s2 x) = \text{oracle1 } s1 x$ 
 $\text{and oracle2 [symmetric]: } \bigwedge s1 s2 x. \llbracket X s1 s2; x \in \text{outs-}\mathcal{I} \mathcal{I} \rrbracket \implies \text{map-spmf}$ 
 $\text{snd} (\text{joint-oracle } s1 s2 x) = \text{oracle2 } s2 x$ 
 $\text{and 3 [rotated 2]: } \bigwedge s1 s2 x y y' s1' s2'. \llbracket X s1 s2; x \in \text{outs-}\mathcal{I} \mathcal{I}; ((y, s1'), (y',$ 
 $s2')) \in \text{set-spmf} (\text{joint-oracle } s1 s2 x) \rrbracket$ 
 $\implies \text{bad1 } s1' = \text{bad2 } s2' \wedge (\text{if bad2 } s2' \text{ then } X\text{-bad } s1' s2' \text{ else } y = y' \wedge X s1'$ 
 $s2')$ 
apply atomize-elim
apply(unfold rel-spmf-simps all-conj-distrib[symmetric] all-simps(6) imp-conjR[symmetric])
apply(subst choice-iff[symmetric] ex-simps(6))+  

apply fastforce
done
let ?joint-oracle =  $\lambda(s1, s2). \text{joint-oracle } s1 s2$ 
let ?pq = exec-until-bad ?joint-oracle oracle1 bad1 oracle2 bad2 gpv s1 s2

have setD:  $\bigwedge s1 s2 x y y' s1' s2'. \llbracket X s1 s2; x \in \text{outs-}\mathcal{I} \mathcal{I}; ((y, s1'), (y', s2')) \in$ 
 $\text{set-spmf} (\text{joint-oracle } s1 s2 x) \rrbracket$ 
 $\implies (y, s1') \in \text{set-spmf} (\text{oracle1 } s1 x) \wedge (y', s2') \in \text{set-spmf} (\text{oracle2 } s2 x)$ 
unfolding oracle1 oracle2 by(auto intro: rev-image-eqI)
show ?thesis
proof
show map-spmf fst ?pq = exec-gpv oracle1 gpv s1
proof(rule spmf.leq-antisym)
show ord-spmf (=) (map-spmf fst ?pq) (exec-gpv oracle1 gpv s1) using * bad
WT-gpv lossless
proof(induction arbitrary: s1 s2 gpv rule: exec-until-bad-fixp-induct)
case adm show ?case by simp
case bottom show ?case by simp
case (step exec-until-bad')
show ?case
proof(cases bad2 s2)
case True
then have weight-spmf (exec-gpv oracle2 gpv s2) = 1
using callee-invariant-on.weight-exec-gpv[OF bad-sticky2 lossless2, of s1
gpv s2]
step.preds weight-spmf-le-1[of exec-gpv oracle2 gpv s2]
by(simp add: pgen-lossless-gpv-def weight-gpv-def)
then show ?thesis using True by simp
next

```

```

case False
hence  $\neg \text{bad1 } s1$  using step.prems(2) by simp
moreover {
  fix out c r1 s1' r2 s2'
  assume IO: IO out c  $\in$  set-spmf (the-gpv gpv)
  and joint:  $((r1, s1'), (r2, s2')) \in$  set-spmf (joint-oracle s1 s2 out)
  from step.prems(3) IO have out: out  $\in$  outs- $\mathcal{I}$   $\mathcal{I}$  by(rule WT-gpvD)
  from setD[OF - out joint] step.prems(1) False
  have 1:  $(r1, s1') \in$  set-spmf (oracle1 s1 out)
  and 2:  $(r2, s2') \in$  set-spmf (oracle2 s2 out) by simp-all
  hence r1: r1  $\in$  responses- $\mathcal{I}$   $\mathcal{I}$  out and r2: r2  $\in$  responses- $\mathcal{I}$   $\mathcal{I}$  out
    using WT-oracle1 WT-oracle2 out by(blast dest: WT-calleeD)+
  have *: plossless-gpv  $\mathcal{I}$  (c r2) using step.prems(4) IO r2 step.prems(3)
    by(rule plossless-gpv-ContD)
  then have bad2 s2'  $\Longrightarrow$  weight-spmf (exec-gpv oracle2 (c r2) s2') = 1
    and  $\neg \text{bad2 } s2' \Longrightarrow$  ord-spmf (=) (map-spmf fst (exec-until-bad' (c r2)
  s1' s2')) (exec-gpv oracle1 (c r2) s1')
    using callee-invariant-on.weight-exec-gpv[OF bad-sticky2 lossless2, of
  s1' c r2 s2']
    weight-spmf-le-1[of exec-gpv oracle2 (c r2) s2'] WT-gpv-ContD[OF
  step.prems(3) IO r2]
    3[OF joint - out] step.prems(1) False
    by(simp-all add: pgen-lossless-gpv-def weight-gpv-def step.IH) }
  ultimately show ?thesis using False step.prems(1)
  by(rewrite in ord-spmf - -  $\square$  exec-gpv.simps)
  (fastforce simp add: split-def bind-map-spmf map-spmf-bind-spmf oracle1
  WT-gpv-OutD[OF step.prems(3)] intro!: ord-spmf-bind-reflI split!: generat.split dest:
  3)
  qed
  qed
  show ord-spmf (=) (exec-gpv oracle1 gpv s1) (map-spmf fst ?pq) using * bad
  WT-gpv lossless
  proof(induction arbitrary: gpv s1 s2 rule: exec-gpv-fixp-induct-strong)
    case adm show ?case by simp
    case bottom show ?case by simp
    case (step exec-gpv')
    then show ?case
    proof(cases bad2 s2)
      case True
      then have weight-spmf (exec-gpv oracle2 gpv s2) = 1
        using callee-invariant-on.weight-exec-gpv[OF bad-sticky2 lossless2, of s1
  gpv s2]
        step.prems weight-spmf-le-1[of exec-gpv oracle2 gpv s2]
        by(simp add: pgen-lossless-gpv-def weight-gpv-def)
      then show ?thesis using True
        by(rewrite exec-until-bad.simps; rewrite exec-gpv.simps)
        (clar simp intro!: ord-spmf-bind-reflI split!: generat.split simp add:
  step.hyps)
    next

```

```

case False
hence  $\neg bad1 s1$  using step.prems(2) by simp
moreover {
  fix out c r1 s1' r2 s2'
  assume IO: IO out c  $\in$  set-spmf (the-gpv gpv)
  and joint:  $((r1, s1'), (r2, s2')) \in$  set-spmf (joint-oracle s1 s2 out)
  from step.prems(3) IO have out: out  $\in$  outs- $\mathcal{I}$   $\mathcal{I}$  by(rule WT-gpvD)
  from setD[OF - out joint] step.prems(1) False
  have 1:  $(r1, s1') \in$  set-spmf (oracle1 s1 out)
  and 2:  $(r2, s2') \in$  set-spmf (oracle2 s2 out) by simp-all
  hence r1: r1  $\in$  responses- $\mathcal{I}$   $\mathcal{I}$  out and r2: r2  $\in$  responses- $\mathcal{I}$   $\mathcal{I}$  out
  using WT-oracle1 WT-oracle2 out by(blast dest: WT-calleeD)+
  have *: plossless-gpv  $\mathcal{I}$  (c r2) using step.prems(4) IO r2 step.prems(3)
  by(rule plossless-gpv-ContD)
  then have bad2 s2'  $\Longrightarrow$  weight-spmf (exec-gpv oracle2 (c r2) s2') = 1
  and  $\neg$  bad2 s2'  $\Longrightarrow$  ord-spmf (=) (exec-gpv' (c r2) s1') (map-spmf
  fst (exec-until-bad ( $\lambda(x, y).$  joint-oracle x y) oracle1 bad1 oracle2 bad2 (c r2) s1'
  s2'))
  using callee-invariant-on.weight-exec-gpv[OF bad-sticky2 lossless2, of
  s1' c r2 s2']
  weight-spmf-le-1[of exec-gpv oracle2 (c r2) s2'] WT-gpv-ContD[OF
  step.prems(3) IO r2]
  3[OF joint - out] step.prems(1) False
  by(simp-all add: pgen-lossless-gpv-def weight-gpv-def step.IH) }
  ultimately show ?thesis using False step.prems(1)
  by(rewrite exec-until-bad.simps)
  (fastforce simp add: map-spmf-bind-spmf WT-gpv-OutD[OF step.prems(3)]
  oracle1 bind-map-spmf step.hyps intro!: ord-spmf-bind-reflI split!: generat.split dest:
  3)
  qed
  qed
  qed

show map-spmf snd ?pq = exec-gpv oracle2 gpv s2
proof(rule spmf.leq-antisym)
  show ord-spmf (=) (map-spmf snd ?pq) (exec-gpv oracle2 gpv s2) using *
  bad WT-gpv lossless
  proof(induction arbitrary: s1 s2 gpv rule: exec-until-bad-fixp-induct)
    case adm show ?case by simp
    case bottom show ?case by simp
    case (step exec-until-bad')
    show ?case
    proof(cases bad2 s2)
      case True
      then have weight-spmf (exec-gpv oracle1 gpv s1) = 1
      using callee-invariant-on.weight-exec-gpv[OF bad-sticky1 lossless1, of s2
      gpv s1]
      step.prems weight-spmf-le-1[of exec-gpv oracle1 gpv s1]
      by(simp add: pgen-lossless-gpv-def weight-gpv-def)

```

```

then show ?thesis using True by simp
next
  case False
  hence  $\neg bad1 s1$  using step.prems(2) by simp
  moreover {
    fix out c r1 s1' r2 s2'
    assume IO: IO out c  $\in$  set-spmf (the-gpv gpv)
    and joint:  $((r1, s1'), (r2, s2')) \in$  set-spmf (joint-oracle s1 s2 out)
    from step.prems(3) IO have out: out  $\in$  outs- $\mathcal{I}$   $\mathcal{I}$  by(rule WT-gpvD)
    from setD[OF - out joint] step.prems(1) False
    have 1:  $(r1, s1') \in$  set-spmf (oracle1 s1 out)
    and 2:  $(r2, s2') \in$  set-spmf (oracle2 s2 out) by simp-all
    hence r1: r1  $\in$  responses- $\mathcal{I}$   $\mathcal{I}$  out and r2: r2  $\in$  responses- $\mathcal{I}$   $\mathcal{I}$  out
        using WT-oracle1 WT-oracle2 out by(blast dest: WT-calleeD)+
    have *: plossless-gpv  $\mathcal{I}$  (c r1) using step.prems(4) IO r1 step.prems(3)
        by(rule plossless-gpv-ContD)
    then have bad2 s2'  $\Longrightarrow$  weight-spmf (exec-gpv oracle1 (c r1) s1') = 1
        and  $\neg bad2 s2' \Longrightarrow$  ord-spmf (=) (map-spmf snd (exec-until-bad' (c
r2) s1' s2')) (exec-gpv oracle2 (c r2) s2')
        using callee-invariant-on.weight-exec-gpv[OF bad-sticky1 lossless1, of
s2' c r1 s1']
        weight-spmf-le-1[of exec-gpv oracle1 (c r1) s1'] WT-gpv-ContD[OF
step.prems(3) IO r1]
        3[OF joint - out] step.prems(1) False
        by(simp-all add: pgen-lossless-gpv-def weight-gpv-def step.IH) }
    ultimately show ?thesis using False step.prems(1)
        by(rewrite in ord-spmf - -  $\square$  exec-gpv.simps)
        (fastforce simp add: split-def bind-map-spmf map-spmf-bind-spmf oracle2
WT-gpv-OutD[OF step.prems(3)] intro!: ord-spmf-bind-reflI split!: generat.split dest:
3)
  qed
  qed
  show ord-spmf (=) (exec-gpv oracle2 gpv s2) (map-spmf snd ?pq) using *
bad WT-gpv lossless
  proof(induction arbitrary: gpv s1 s2 rule: exec-gpv-fixp-induct-strong)
    case adm show ?case by simp
    case bottom show ?case by simp
    case (step exec-gpv')
    then show ?case
    proof(cases bad2 s2)
      case True
      then have weight-spmf (exec-gpv oracle1 gpv s1) = 1
      using callee-invariant-on.weight-exec-gpv[OF bad-sticky1 lossless1, of s2
gpv s1]
        step.prems weight-spmf-le-1[of exec-gpv oracle1 gpv s1]
        by(simp add: pgen-lossless-gpv-def weight-gpv-def)
    then show ?thesis using True
        by(rewrite exec-until-bad.simps; subst (2) exec-gpv.simps)
        (clarify intro!: ord-spmf-bind-reflI split!: generat.split simp add:

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```

step.hyps)
next
  case False
  hence  $\neg \text{bad1 } s1$  using step.prems(2) by simp
  moreover {
    fix out c r1 s1' r2 s2'
    assume IO:  $IO \text{ out } c \in \text{set-spmf}(\text{the-gpv gpv})$ 
    and joint:  $((r1, s1'), (r2, s2')) \in \text{set-spmf}(\text{joint-oracle } s1 s2 \text{ out})$ 
    from step.prems(3) IO have out:  $out \in \text{outs-}\mathcal{I} \mathcal{I}$  by(rule WT-gpvD)
    from setD[OF - out joint] step.prems(1) False
    have 1:  $(r1, s1') \in \text{set-spmf}(\text{oracle1 } s1 \text{ out})$ 
    and 2:  $(r2, s2') \in \text{set-spmf}(\text{oracle2 } s2 \text{ out})$  by simp-all
    hence r1:  $r1 \in \text{responses-}\mathcal{I} \mathcal{I}$  out and r2:  $r2 \in \text{responses-}\mathcal{I} \mathcal{I}$  out
      using WT-oracle1 WT-oracle2 out by(blast dest: WT-calleeD)+
    have *: plossless-gpv  $\mathcal{I}(c r1)$  using step.prems(4) IO r1 step.prems(3)
      by(rule plossless-gpv-ContD)
    then have bad2 s2'  $\implies \text{weight-spmf}(\text{exec-gpv oracle1 } (c r1) s1') = 1$ 
      and  $\neg \text{bad2 } s2' \implies \text{ord-spmf}(=)(\text{exec-gpv}'(c r2) s2')$  (map-spmf
      snd (exec-until-bad ( $\lambda(x, y). \text{joint-oracle } x y$ ) oracle1 bad1 oracle2 bad2 (c r2) s1'
      s2'))
      using callee-invariant-on.weight-exec-gpv[OF bad-sticky1 lossless1, of
      s2' c r1 s1']
        weight-spmf-le-1[of exec-gpv oracle1 (c r1) s1'] WT-gpv-ContD[OF
      step.prems(3) IO r1]
        3[OF joint - out] step.prems(1) False
        by(simp-all add: pgen-lossless-gpv-def step.IH weight-gpv-def) }
    ultimately show ?thesis using False step.prems(1)
      by(rewrite exec-until-bad.simps)
      (fastforce simp add: map-spmf-bind-spmf WT-gpv-OutD[OF step.prems(3)]
      oracle2 bind-map-spmf step.hyps intro!: ord-spmf-bind-reflI split!: generat.split dest:
      3)
  qed
  qed
  qed

have set-spmf ?pq  $\subseteq \{(as1, bs2). ?R' as1 bs2\}$  using * bad WT-gpv
proof(induction arbitrary: gpv s1 s2 rule: exec-until-bad-fixp-induct)
  case adm show ?case by(intro cont-intro ccpo-class.admissible-leI)
  case bottom show ?case by simp
  case step
    have switch: set-spmf (exec-gpv oracle1 (c r1) s1')  $\times$  set-spmf (exec-gpv
    oracle2 (c r2) s2')
       $\subseteq \{(a, s1'), b, s2'). \text{bad1 } s1' = \text{bad2 } s2' \wedge (\text{if bad2 } s2' \text{ then } X \text{-bad } s1'$ 
       $s2' \text{ else } a = b \wedge X \text{ s1' s2'})\}$ 
    if  $\neg \text{bad1 } s1 \mathcal{I} \vdash g \text{ gpv} \vee \neg \text{bad2 } s2$  and X:  $X \text{ s1 s2}$  and out:  $IO \text{ out } c \in$ 
    set-spmf (the-gpv gpv)
      and joint:  $((r1, s1'), (r2, s2')) \in \text{set-spmf}(\text{joint-oracle } s1 s2 \text{ out})$ 
      and bad2:  $\text{bad2 } s2'$ 
      for out c r1 s1' r2 s2'

```

```

proof(clarify; rule conjI)
  from step.prems(3) out have outs: out ∈ outs- $\mathcal{I}$   $\mathcal{I}$  by(rule WT-gpv- $OutD$ )
    from bad2 3[ $OF$  joint  $X$  this] have bad1: bad1  $s1' \wedge X\text{-bad } s1' s2'$  by
      simp-all

    have  $s1': (r1, s1') \in set\text{-}spmf$  (oracle1  $s1$  out) and  $s2': (r2, s2') \in set\text{-}spmf$ 
      (oracle2  $s2$  out)
      using setD[ $OF$   $X$  outs joint] by simp-all
    have resp:  $r1 \in responses\text{-}\mathcal{I}$   $\mathcal{I}$  out using WT-oracle1  $s1'$  outs by(rule
      WT-calleeD)
      with step.prems(3) out have WT1:  $\mathcal{I} \vdash g c r1 \vee$  by(rule WT-gpv- $ContD$ )
        have resp:  $r2 \in responses\text{-}\mathcal{I}$   $\mathcal{I}$  out using WT-oracle2  $s2'$  outs by(rule
          WT-calleeD)
        with step.prems(3) out have WT2:  $\mathcal{I} \vdash g c r2 \vee$  by(rule WT-gpv- $ContD$ )

      fix  $r1' s1'' r2' s2''$ 
      assume  $s1'': (r1', s1'') \in set\text{-}spmf$  (exec-gpv oracle1 ( $c r1$ )  $s1'$ )
        and  $s2'': (r2', s2'') \in set\text{-}spmf$  (exec-gpv oracle2 ( $c r2$ )  $s2'$ )
      have *:  $bad1 s1'' \wedge X\text{-bad } s1'' s2''$  using bad2  $s1''$  bad1 WT1
        by(rule callee-invariant-on.exec-gpv-invariant[ $OF$  bad-sticky1])
      have bad2  $s2'' \wedge X\text{-bad } s1'' s2''$  using -  $s2''$  - WT2
        by(rule callee-invariant-on.exec-gpv-invariant[ $OF$  bad-sticky2])(simp-all
          add: bad2 *)
        then show  $bad1 s1'' = bad2 s2''$  if  $bad2 s2''$  then  $X\text{-bad } s1'' s2''$  else  $r1'$ 
        =  $r2' \wedge X s1'' s2''$ 
        using * by(simp-all)
      qed
      show ?case using step.prems
      apply(clarsimp simp add: bind-UNION step.IH 3 WT-gpv- $OutD$  WT-gpv- $ContD$ 
        del: subsetI intro!: UN-least split: generat.split if-split-asm)
      subgoal by(auto 4 3 dest: callee-invariant-on.exec-gpv-invariant[ $OF$  bad-sticky1,
        rotated] callee-invariant-on.exec-gpv-invariant[ $OF$  bad-sticky2, rotated] 3)
        apply(intro strip conjI)
        subgoal by(drule (6) switch) auto
        subgoal by(auto 4 3 intro!: step.IH[THEN order.trans] del: subsetI dest:
          3 setD[rotated 2] simp add: WT-gpv- $OutD$  WT-gpv- $ContD$  intro: WT-gpv- $ContD$ 
          intro!: WT-calleeD[ $OF$  WT-oracle1])
        done
      qed
      then show  $\bigwedge x y. (x, y) \in set\text{-}spmf$  ?pq  $\implies$  ?R  $x y$  by auto
      qed
    qed

lemma exec-gpv-oracle-bisim-bad':
  fixes  $s1 :: 's1$  and  $s2 :: 's2$  and  $X :: 's1 \Rightarrow 's2 \Rightarrow bool$ 
  and oracle1 ::  $'s1 \Rightarrow 'a \Rightarrow ('b \times 's1)$  spmf
  and oracle2 ::  $'s2 \Rightarrow 'a \Rightarrow ('b \times 's2)$  spmf
  assumes *: if  $bad2 s2$  then  $X\text{-bad } s1 s2$  else  $X s1 s2$ 
  and bad:  $bad1 s1 = bad2 s2$ 

```

and *bisim*: $\bigwedge s1 s2 x. \llbracket X s1 s2; x \in \text{outs-}\mathcal{I} \mathcal{I} \rrbracket \implies \text{rel-spmf}(\lambda(a, s1')(b, s2'))$
 $\text{bad1 } s1' = \text{bad2 } s2' \wedge (\text{if bad2 } s2' \text{ then } X\text{-bad } s1' s2' \text{ else } a = b \wedge X s1' s2')$
 $(\text{oracle1 } s1 x) (\text{oracle2 } s2 x)$
and *bad-sticky1*: $\bigwedge s2. \text{bad2 } s2 \implies \text{callee-invariant-on oracle1 } (\lambda s1. \text{bad1 } s1 \wedge X\text{-bad } s1 s2) \mathcal{I}$
and *bad-sticky2*: $\bigwedge s1. \text{bad1 } s1 \implies \text{callee-invariant-on oracle2 } (\lambda s2. \text{bad2 } s2 \wedge X\text{-bad } s1 s2) \mathcal{I}$
and *lossless1*: $\bigwedge s1 x. \llbracket \text{bad1 } s1; x \in \text{outs-}\mathcal{I} \mathcal{I} \rrbracket \implies \text{lossless-spmf}(\text{oracle1 } s1 x)$
and *lossless2*: $\bigwedge s2 x. \llbracket \text{bad2 } s2; x \in \text{outs-}\mathcal{I} \mathcal{I} \rrbracket \implies \text{lossless-spmf}(\text{oracle2 } s2 x)$
and *lossless*: *lossless-gpv* \mathcal{I} *gpv*
and *WT-oracle1*: $\bigwedge s1. \mathcal{I} \vdash c \text{ oracle1 } s1 \checkmark$
and *WT-oracle2*: $\bigwedge s2. \mathcal{I} \vdash c \text{ oracle2 } s2 \checkmark$
and *WT-gpv*: $\mathcal{I} \vdash g \text{ gpv} \checkmark$
shows *rel-spmf* $(\lambda(a, s1')(b, s2')). \text{bad1 } s1' = \text{bad2 } s2' \wedge (\text{if bad2 } s2' \text{ then } X\text{-bad } s1' s2' \text{ else } a = b \wedge X s1' s2')$ (*exec-gpv oracle1 gpv s1*) (*exec-gpv oracle2 gpv s2*)
using *assms(1–7)* *lossless-imp-plossless-gpv* [*OF lossless WT-gpv*] *assms(9–)*
by (*rule exec-gpv-oracle-bisim-bad-plossless*)

lemma *exec-gpv-oracle-bisim-bad-invariant*:
fixes $s1 :: 's1$ **and** $s2 :: 's2$ **and** $X :: 's1 \Rightarrow 's2 \Rightarrow \text{bool}$ **and** $I1 :: 's1 \Rightarrow \text{bool}$
and $I2 :: 's2 \Rightarrow \text{bool}$
and *oracle1* :: $'s1 \Rightarrow 'a \Rightarrow ('b \times 's1) \text{ spmf}$
and *oracle2* :: $'s2 \Rightarrow 'a \Rightarrow ('b \times 's2) \text{ spmf}$
assumes $*: \text{if bad2 } s2 \text{ then } X\text{-bad } s1 s2 \text{ else } X s1 s2$
and *bad*: $\text{bad1 } s1 = \text{bad2 } s2$
and *bisim*: $\bigwedge s1 s2 x. \llbracket X s1 s2; x \in \text{outs-}\mathcal{I} \mathcal{I}; I1 s1; I2 s2 \rrbracket \implies \text{rel-spmf}(\lambda(a, s1')(b, s2'))$
 $\text{bad1 } s1' = \text{bad2 } s2' \wedge (\text{if bad2 } s2' \text{ then } X\text{-bad } s1' s2' \text{ else } a = b \wedge X s1' s2')$ (*oracle1 s1 x*) (*oracle2 s2 x*)
and *bad-sticky1*: $\bigwedge s2. \llbracket \text{bad2 } s2; I2 s2 \rrbracket \implies \text{callee-invariant-on oracle1 } (\lambda s1. \text{bad1 } s1 \wedge X\text{-bad } s1 s2) \mathcal{I}$
and *bad-sticky2*: $\bigwedge s1. \llbracket \text{bad1 } s1; I1 s1 \rrbracket \implies \text{callee-invariant-on oracle2 } (\lambda s2. \text{bad2 } s2 \wedge X\text{-bad } s1 s2) \mathcal{I}$
and *lossless1*: $\bigwedge s1 x. \llbracket \text{bad1 } s1; I1 s1; x \in \text{outs-}\mathcal{I} \mathcal{I} \rrbracket \implies \text{lossless-spmf}(\text{oracle1 } s1 x)$
and *lossless2*: $\bigwedge s2 x. \llbracket \text{bad2 } s2; I2 s2; x \in \text{outs-}\mathcal{I} \mathcal{I} \rrbracket \implies \text{lossless-spmf}(\text{oracle2 } s2 x)$
and *lossless*: *lossless-gpv* \mathcal{I} *gpv*
and *WT-gpv*: $\mathcal{I} \vdash g \text{ gpv} \checkmark$
and *I1*: *callee-invariant-on oracle1 I1* \mathcal{I}
and *I2*: *callee-invariant-on oracle2 I2* \mathcal{I}
and *s1*: *I1 s1*
and *s2*: *I2 s2*
shows *rel-spmf* $(\lambda(a, s1')(b, s2')). \text{bad1 } s1' = \text{bad2 } s2' \wedge (\text{if bad2 } s2' \text{ then } X\text{-bad } s1' s2' \text{ else } a = b \wedge X s1' s2')$ (*exec-gpv oracle1 gpv s1*) (*exec-gpv oracle2 gpv s2*)
including *lifting-syntax*
proof –
interpret *I1*: *callee-invariant-on oracle1 I1* \mathcal{I} **by** (*fact I1*)

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interpret I2: callee-invariant-on oracle2 I2  $\mathcal{I}$  by(fact I2)
from s1 have nonempty1: {s. I1 s} ≠ {} by auto
{ assume ∃(Rep1 :: 's1' ⇒ 's1) Abs1. type-definition Rep1 Abs1 {s. I1 s}
  and ∃(Rep2 :: 's2' ⇒ 's2) Abs2. type-definition Rep2 Abs2 {s. I2 s}
  then obtain Rep1 :: 's1' ⇒ 's1' and Abs1 and Rep2 :: 's2' ⇒ 's2' and Abs2
    where td1: type-definition Rep1 Abs1 {s. I1 s} and td2: type-definition Rep2
          Abs2 {s. I2 s}
    by blast
interpret td1: type-definition Rep1 Abs1 {s. I1 s} by(rule td1)
interpret td2: type-definition Rep2 Abs2 {s. I2 s} by(rule td2)
define cr1 where cr1 ≡ λx y. x = Rep1 y
  have [transfer-rule]: bi-unique cr1 right-total cr1 using td1 cr1-def by(rule
  typedef-bi-unique typedef-right-total)+
  have [transfer-domain-rule]: Domainp cr1 = I1 using type-definition-Domainp[OF
  td1 cr1-def] by simp
define cr2 where cr2 ≡ λx y. x = Rep2 y
  have [transfer-rule]: bi-unique cr2 right-total cr2 using td2 cr2-def by(rule
  typedef-bi-unique typedef-right-total)+
  have [transfer-domain-rule]: Domainp cr2 = I2 using type-definition-Domainp[OF
  td2 cr2-def] by simp

let ?C = eq-onp (λout. out ∈ outs- $\mathcal{I}$   $\mathcal{I}$ )

define oracle1' where oracle1' ≡ (Rep1 ---> id ---> map-spmf (map-prod
id Abs1)) oracle1
  have [transfer-rule]: (cr1 ===> ?C ===> rel-spmf (rel-prod (=) cr1)) oracle1'
    by(auto simp add: oracle1'-def rel-fun-def cr1-def spmf-rel-map prod.rel-map
td1.Abs-inverse eq-onp-def intro!: rel-spmf-reflI intro: td1.Rep[simplified] dest: I1.callee-invariant)
define oracle2' where oracle2' ≡ (Rep2 ---> id ---> map-spmf (map-prod
id Abs2)) oracle2
  have [transfer-rule]: (cr2 ===> ?C ===> rel-spmf (rel-prod (=) cr2)) oracle2'
    by(auto simp add: oracle2'-def rel-fun-def cr2-def spmf-rel-map prod.rel-map
td2.Abs-inverse eq-onp-def intro!: rel-spmf-reflI intro: td2.Rep[simplified] dest: I2.callee-invariant)

define s1' where s1' ≡ Abs1 s1
have [transfer-rule]: cr1 s1 s1' using s1 by(simp add: cr1-def s1'-def td1.Abs-inverse)
define s2' where s2' ≡ Abs2 s2
have [transfer-rule]: cr2 s2 s2' using s2 by(simp add: cr2-def s2'-def td2.Abs-inverse)

define bad1' where bad1' ≡ (Rep1 ---> id) bad1
  have [transfer-rule]: (cr1 ===> (=)) bad1 bad1' by(simp add: rel-fun-def
bad1'-def cr1-def)
define bad2' where bad2' ≡ (Rep2 ---> id) bad2
  have [transfer-rule]: (cr2 ===> (=)) bad2 bad2' by(simp add: rel-fun-def
bad2'-def cr2-def)

define X' where X' ≡ (Rep1 ---> Rep2 ---> id) X

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have [transfer-rule]: ( $cr1 ==> cr2 ==> (=)) X X'$  by(simp add: rel-fun-def
 $X' \text{-def } cr1\text{-def } cr2\text{-def}$ )
  define  $X\text{-bad}'$  where  $X\text{-bad}' \equiv (Rep1 \dashrightarrow Rep2 \dashrightarrow id) X\text{-bad}$ 
  have [transfer-rule]: ( $cr1 ==> cr2 ==> (=)) X\text{-bad } X\text{-bad}'$  by(simp add:
  rel-fun-def  $X\text{-bad}'\text{-def } cr1\text{-def } cr2\text{-def}$ )

  define  $gpv'$  where  $gpv' \equiv \text{restrict-}gpv \mathcal{I} gpv$ 
  have [transfer-rule]:  $\text{rel-}gpv (=) ?C gpv' gpv'$ 
    by(fold eq-onp-top-eq-eq)(auto simp add: gpv.rel-eq-onp eq-onp-same-args
  pred-gpv-def gpv'-def dest: in-outs'-restrict-gpvD)

  have if  $bad2' s2'$  then  $X\text{-bad}' s1' s2'$  else  $X' s1' s2'$  using * by transfer
  moreover have  $bad1' s1' \longleftrightarrow bad2' s2'$  using bad by transfer
  moreover have  $x: ?C x x$  if  $x \in \text{outs-}\mathcal{I} \mathcal{I}$  for  $x$  using that by(simp add:
  eq-onp-def)
    have rel-spmf  $(\lambda(a, s1') (b, s2'). (bad1' s1' \longleftrightarrow bad2' s2') \wedge (\text{if } bad2' s2' \text{ then } X\text{-bad}' s1' s2' \text{ else } a = b \wedge X' s1' s2'))$  (oracle1' s1 x) (oracle2' s2 x)
      if  $X' s1 s2$  and  $x \in \text{outs-}\mathcal{I} \mathcal{I}$  for  $s1 s2 x$  using that(1) supply that(2)[THEN
      x, transfer-rule]
        by(transfer)(rule bisim[OF - that(2)])
      moreover have [transfer-rule]:  $\text{rel-}\mathcal{I} ?C (=) \mathcal{I} \mathcal{I}$  by(rule rel-II)(auto simp
      add: set-relator-eq-onp eq-onp-same-args rel-set-eq dest: eq-onp-to-eq)
      have callee-invariant-on oracle1'  $(\lambda s1. bad1' s1 \wedge X\text{-bad}' s1 s2) \mathcal{I}$  if  $bad2' s2$ 
      for  $s2$ 
        using that unfolding callee-invariant-on-alt-def apply(transfer)
        using bad-sticky1[unfolded callee-invariant-on-alt-def] by blast
        moreover have callee-invariant-on oracle2'  $(\lambda s2. bad2' s2 \wedge X\text{-bad}' s1 s2) \mathcal{I}$ 
        if  $bad1' s1$  for  $s1$ 
          using that unfolding callee-invariant-on-alt-def apply(transfer)
          using bad-sticky2[unfolded callee-invariant-on-alt-def] by blast
          moreover have lossless-spmf (oracle1' s1 x) if  $bad1' s1 \in \text{outs-}\mathcal{I} \mathcal{I}$  for  $s1 x$ 
            using that supply that(2)[THEN x, transfer-rule] by transfer(rule lossless1)
          moreover have lossless-spmf (oracle2' s2 x) if  $bad2' s2 \in \text{outs-}\mathcal{I} \mathcal{I}$  for  $s2 x$ 
            using that supply that(2)[THEN x, transfer-rule] by transfer(rule lossless2)
          moreover have lossless-gpv  $\mathcal{I} gpv'$  using WT-gpv lossless by(simp add:
  gpv'-def lossless-restrict-gpvI)
          moreover have  $\mathcal{I} \vdash c \text{ oracle1}' s1 \vee \text{for } s1 \text{ using } I1.\text{WT-callee}$  by transfer
          moreover have  $\mathcal{I} \vdash c \text{ oracle2}' s2 \vee \text{for } s2 \text{ using } I2.\text{WT-callee}$  by transfer
          moreover have  $\mathcal{I} \vdash g gpv' \vee$  by(simp add: gpv'-def)
          ultimately have **: rel-spmf  $(\lambda(a, s1') (b, s2'). bad1' s1' = bad2' s2' \wedge (\text{if } bad2' s2' \text{ then } X\text{-bad}' s1' s2' \text{ else } a = b \wedge X' s1' s2'))$  (exec-gpv oracle1' gpv' s1')
          (exec-gpv oracle2' gpv' s2')
            by(rule exec-gpv-oracle-bisim-bad')
          have [transfer-rule]:  $((=) ==> ?C ==> \text{rel-spmf } (\text{rel-prod } (=) (=)))$ 
          oracle2 oracle2
             $((=) ==> ?C ==> \text{rel-spmf } (\text{rel-prod } (=) (=)))$  oracle1 oracle1
            by(simp-all add: rel-fun-def eq-onp-def prod.rel-eq)
          note [transfer-rule] = bi-unique-eq-onp bi-unique-eq
          from ** have rel-spmf  $(\lambda(a, s1') (b, s2'). bad1' s1' = bad2' s2' \wedge (\text{if } bad2' s2' \text{ then } X\text{-bad}' s1' s2' \text{ else } a = b \wedge X' s1' s2'))$  (exec-gpv oracle1' gpv' s1')
          (exec-gpv oracle2' gpv' s2')
            by(rule exec-gpv-oracle-bisim-bad')
```

then $X\text{-bad } s1' s2' \text{ else } a = b \wedge X s1' s2')$ ($\text{exec-gpv oracle1 gpv}' s1$) ($\text{exec-gpv oracle2 gpv}' s2$)
by(transfer)
also have $\text{exec-gpv oracle1 gpv}' s1 = \text{exec-gpv oracle1 gpv} s1$
unfolding $\text{gpv}'\text{-def using } \text{WT-gpv } s1$ **by**(rule I1.exec-gpv-restrict-gpv-invariant)
also have $\text{exec-gpv oracle2 gpv}' s2 = \text{exec-gpv oracle2 gpv} s2$
unfolding $\text{gpv}'\text{-def using } \text{WT-gpv } s2$ **by**(rule I2.exec-gpv-restrict-gpv-invariant)
finally have $?thesis . \}$
from this[cancel-type-definition, OF nonempty1, cancel-type-definition] $s2$ **show**
 $?thesis$ **by** blast
qed

lemma exec-gpv-oracle-bisim-bad:
assumes $*: \text{if bad2 } s2 \text{ then } X\text{-bad } s1 s2 \text{ else } X s1 s2$
and $\text{bad}: \text{bad1 } s1 = \text{bad2 } s2$
and $\text{bisim}: \bigwedge s1 s2 x. X s1 s2 \implies \text{rel-spmf } (\lambda(a, s1') (b, s2'). \text{bad1 } s1' = \text{bad2 } s2' \wedge (\text{if bad2 } s2' \text{ then } X\text{-bad } s1' s2' \text{ else } a = b \wedge X s1' s2')) (\text{oracle1 } s1 x) (\text{oracle2 } s2 x)$
and $\text{bad-sticky1}: \bigwedge s2. \text{bad2 } s2 \implies \text{callee-invariant-on oracle1 } (\lambda s1. \text{bad1 } s1 \wedge X\text{-bad } s1 s2) \mathcal{I}$
and $\text{bad-sticky2}: \bigwedge s1. \text{bad1 } s1 \implies \text{callee-invariant-on oracle2 } (\lambda s2. \text{bad2 } s2 \wedge X\text{-bad } s1 s2) \mathcal{I}$
and $\text{lossless1}: \bigwedge s1 x. \text{bad1 } s1 \implies \text{lossless-spmf } (\text{oracle1 } s1 x)$
and $\text{lossless2}: \bigwedge s2 x. \text{bad2 } s2 \implies \text{lossless-spmf } (\text{oracle2 } s2 x)$
and $\text{lossless}: \text{lossless-gpv } \mathcal{I} \text{ gpv}$
and $\text{WT-oracle1}: \bigwedge s1. \mathcal{I} \vdash c \text{ oracle1 } s1 \checkmark$
and $\text{WT-oracle2}: \bigwedge s2. \mathcal{I} \vdash c \text{ oracle2 } s2 \checkmark$
and $\text{WT-gpv}: \mathcal{I} \vdash g \text{ gpv} \checkmark$
and $R: \bigwedge a s1 b s2. [\text{bad1 } s1 = \text{bad2 } s2; \neg \text{bad2 } s2 \implies a = b \wedge X s1 s2; \text{bad2 } s2 \implies X\text{-bad } s1 s2] \implies R (a, s1) (b, s2)$
shows $\text{rel-spmf } R (\text{exec-gpv oracle1 gpv } s1) (\text{exec-gpv oracle2 gpv } s2)$
using exec-gpv-oracle-bisim-bad[$\text{OF } * \text{ bad bisim bad-sticky1 bad-sticky2 lossless1 lossless2 lossless WT-oracle1 WT-oracle2 WT-gpv}$]
by(rule rel-spmf-mono)(auto intro: R)

lemma exec-gpv-oracle-bisim-bad-full:
assumes $X s1 s2$
and $\text{bad1 } s1 = \text{bad2 } s2$
and $\bigwedge s1 s2 x. X s1 s2 \implies \text{rel-spmf } (\lambda(a, s1') (b, s2'). \text{bad1 } s1' = \text{bad2 } s2' \wedge (\neg \text{bad2 } s2' \rightarrow a = b \wedge X s1' s2')) (\text{oracle1 } s1 x) (\text{oracle2 } s2 x)$
and $\text{callee-invariant oracle1 bad1}$
and $\text{callee-invariant oracle2 bad2}$
and $\bigwedge s1 x. \text{bad1 } s1 \implies \text{lossless-spmf } (\text{oracle1 } s1 x)$
and $\bigwedge s2 x. \text{bad2 } s2 \implies \text{lossless-spmf } (\text{oracle2 } s2 x)$
and $\text{lossless-gpv } \mathcal{I}\text{-full gpv}$
and $R: \bigwedge a s1 b s2. [\text{bad1 } s1 = \text{bad2 } s2; \neg \text{bad2 } s2 \implies a = b \wedge X s1 s2] \implies R (a, s1) (b, s2)$
shows $\text{rel-spmf } R (\text{exec-gpv oracle1 gpv } s1) (\text{exec-gpv oracle2 gpv } s2)$
using assms

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by(intro exec-gpv-oracle-bisim-bad[of bad2 s2 λ- -. True s1 X bad1 oracle1 oracle2
I-full gpv R])(auto intro: rel-spmf-mono)

lemma max-enn2ereal: max (enn2ereal x) (enn2ereal y) = enn2ereal (max x y)
including ennreal.lifting unfolding max-def by transfer simp

lemma identical-until-bad:
assumes bad-eq: map-spmf bad p = map-spmf bad q
and not-bad: measure (measure-spmf (map-spmf (λx. (f x, bad x)) p)) (A × {False}) = measure (measure-spmf (map-spmf (λx. (f x, bad x)) q)) (A × {False})
shows |measure (measure-spmf (map-spmf f p)) A - measure (measure-spmf (map-spmf f q)) A| ≤ spmf (map-spmf bad p) True
proof -
have |enn2ereal (measure (measure-spmf (map-spmff p)) A) - enn2ereal (measure (measure-spmf (map-spmff q)) A)| =
|enn2ereal (ʃ+ x. indicator A (f x) ∂measure-spmf p) - enn2ereal (ʃ+ x. indicator A (f x) ∂measure-spmf q)|
unfolding measure-spmf.emeasure-eq-measure[symmetric]
by(simp add: nn-integral-indicator[symmetric] indicator-vimage[abs-def] o-def)
also have ... =
|enn2ereal (ʃ+ x. indicator (A × {False}) (f x, bad x) + indicator (A × {True}) (f x, bad x) ∂measure-spmf p) -
enn2ereal (ʃ+ x. indicator (A × {False}) (f x, bad x) + indicator (A × {True}) (f x, bad x) ∂measure-spmf q)|
by(intro arg-cong[where f=abs] arg-cong2[where f=(-)] arg-cong[where f=enn2ereal] nn-integral-cong)(simp-all split: split-indicator)
also have ... =
|enn2ereal (emeasure (measure-spmf (map-spmf (λx. (f x, bad x)) p)) (A × {False}) + (ʃ+ x. indicator (A × {True}) (f x, bad x) ∂measure-spmf p)) -
enn2ereal (emeasure (measure-spmf (map-spmf (λx. (f x, bad x)) q)) (A × {False}) + (ʃ+ x. indicator (A × {True}) (f x, bad x) ∂measure-spmf q))|
by(subst (1 2) nn-integral-add)(simp-all add: indicator-vimage[abs-def] o-def nn-integral-indicator[symmetric])
also have ... = |enn2ereal (ʃ+ x. indicator (A × {True}) (f x, bad x) ∂measure-spmf p) - enn2ereal (ʃ+ x. indicator (A × {True}) (f x, bad x) ∂measure-spmf q)|
(is - = |?x - ?y|)
by(simp add: measure-spmf.emeasure-eq-measure not-bad plus-ennreal.rep-eq
ereal-diff-add-eq-diff-diff-swap ereal-diff-add-assoc2 ereal-add-uminus-conv-diff)
also have ... ≤ max ?x ?y
proof(rule ereal-abs-leI)
have ?x - ?y ≤ ?x - 0 by(rule ereal-minus-mono)(simp-all)
also have ... ≤ max ?x ?y by simp
finally show ?x - ?y ≤ ... .

have - (?x - ?y) = ?y - ?x
by(rule ereal-minus-diff-eq)(simp-all add: measure-spmf.nn-integral-indicator-neq-top)
also have ... ≤ ?y - 0 by(rule ereal-minus-mono)(simp-all)
also have ... ≤ max ?x ?y by simp
finally show - (?x - ?y) ≤ ... .

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qed
also have ... ≤ enn2ereal (max (ʃ+ x. indicator {True} (bad x) ∂measure-spmf
p) (ʃ+ x. indicator {True} (bad x) ∂measure-spmf q))
  unfolding max-enn2ereal less-eq-ennreal.rep-eq[symmetric]
  by(intro max.mono nn-integral-mono)(simp-all split: split-indicator)
also have ... = enn2ereal (spmf (map-spmf bad p) True)
using arg-cong2[where f=spmf, OF bad-eq refl, of True, THEN arg-cong[where
f=ennreal]]
  unfolding ennreal-spmf-map-conv-nn-integral indicator-vimage[abs-def] by simp
finally show ?thesis by simp
qed

lemma (in callee-invariant-on) exec-gpv-bind-materialize:
fixes f :: 's ⇒ 'r spmf
and g :: 'x × 's ⇒ 'r ⇒ 'y spmf
and s :: 's
defines exec-gpv2 ≡ exec-gpv
assumes cond: ⋀s x y s'. [(y, s') ∈ set-spmf (callee s x); I s] ⇒ f s = f s'
and I: I = I-full
shows bind-spmf (exec-gpv callee gpv s) (λas. bind-spmf (f (snd as)) (g as)) =
exec-gpv2 (λ(r, s) x. bind-spmf (callee s x) (λ(y, s'). if I s' ∧ r = None then
map-spmf (λr. (y, (Some r, s'))) (f s') else return-spmf (y, (r, s')))) gpv (None,
s)
  ≈ (λ(a, r, s). case r of None ⇒ bind-spmf (f s) (g (a, s)) | Some r' ⇒ g (a,
s) r')
  (is ?lhs = ?rhs is - = bind-spmf (exec-gpv2 ?callee2 - -) -)
proof -
define exec-gpv1 :: ('a, 'b, 's option × 's) callee ⇒ ('x, 'a, 'b) gpv ⇒ -
  where [simp]: exec-gpv1 = exec-gpv
let ?X = λs (ss, s'). s = s'
let ?callee = λ(ss, s) x. map-spmf (λ(y, s'). (y, if I s' ∧ ss = None then Some
s' else ss, s')) (callee s x)
let ?track = exec-gpv1 ?callee gpv (None, s)
have rel-spmf (rel-prod (=) ?X) (exec-gpv callee gpv s) ?track unfolding exec-gpv1-def
  by(rule exec-gpv-oracle-bisim[where X=?X])(auto simp add: spmf-rel-map intro!: rel-spmf-reflI)
hence exec-gpv callee gpv s = map-spmf (λ(a, ss, s). (a, s)) ?track
  by(auto simp add: spmf-rel-eq[symmetric] spmf-rel-map elim: rel-spmf-mono)
hence ?lhs = bind-spmf ?track (λ(a, s'', s'). bind-spmf (f s') (g (a, s'))))
  by(simp add: bind-map-spmf o-def split-def)
also let ?inv = λ(ss, s). case ss of None ⇒ True | Some s' ⇒ f s = f s' ∧ I s'
  ∧ I s
interpret inv: callee-invariant-on ?callee ?inv I
  by unfold-locales(auto 4 4 split: option.split if-split-asm dest: cond callee-invariant
simp add: I)
have bind-spmf ?track (λ(a, s'', s'). bind-spmf (f s') (g (a, s'))) =
bind-spmf ?track (λ(a, ss', s'). bind-spmf (f (case ss' of None ⇒ s' | Some s'' ⇒
s'')) (g (a, s'))))
  (is - = ?rhs')

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by(rule bind-spmf-cong[OF refl])(auto dest!: inv.exec-gpv-invariant split: option.split-asm simp add:  $\mathcal{I}$ )
  also
    have track-Some: exec-gpv ?callee gpv (Some ss, s) = map-spmf ( $\lambda(a, s)$ . (a, Some ss, s)) (exec-gpv callee gpv s)
      for s ss :: 's and gpv :: ('x, 'a, 'b) gpv
      proof -
        let  $?X = \lambda(ss', s). s = s' \wedge ss' = \text{Some } ss$ 
        have rel-spmf (rel-prod (=) ?X) (exec-gpv ?callee gpv (Some ss, s)) (exec-gpv callee gpv s)
          by(rule exec-gpv-oracle-bisim[where  $X = ?X$ ])(auto simp add: spmf-rel-map intro!: rel-spmf-reflI)
          thus ?thesis by(auto simp add: spmf-rel-eq[symmetric] spmf-rel-map elim: rel-spmf-mono)
        qed
        have sample-Some: exec-gpv ?callee2 gpv (Some r, s) = map-spmf ( $\lambda(a, s)$ . (a, Some r, s)) (exec-gpv callee gpv s)
          for s :: 's and r :: 'r and gpv :: ('x, 'a, 'b) gpv
          proof -
            let  $?X = \lambda(r', s). s' = s \wedge r' = \text{Some } r$ 
            have rel-spmf (rel-prod (=) ?X) (exec-gpv ?callee2 gpv (Some r, s)) (exec-gpv callee gpv s)
              by(rule exec-gpv-oracle-bisim[where  $X = ?X$ ])(auto simp add: spmf-rel-map map-spmf-conv-bind-spmf[symmetric] split-def intro!: rel-spmf-reflI)
              then show ?thesis by(auto simp add: spmf-rel-eq[symmetric] spmf-rel-map elim: rel-spmf-mono)
            qed
            have  $?rhs' = ?rhs$ 
            — Actually, parallel fixpoint induction should be used here, but then we cannot use the facts track-Some and sample-Some because fixpoint induction replaces exec-gpv with approximations. So we do two separate fixpoint inductions instead and jump from the approximation to the fixpoint when the state has been found.
            proof(rule spmf.leq-antisym)
              show ord-spmf (=) ?rhs' ?rhs unfolding exec-gpv1-def
              proof(induction arbitrary: gpv s rule: exec-gpv-fixp-induct-strong)
                case adm show ?case by simp
                case bottom show ?case by simp
                case (step exec-gpv')
                show ?case unfolding exec-gpv2-def
                  apply(rewrite in ord-spmf - - \square exec-gpv.simps)
                  apply(clarsimp split: generat.split simp add: bind-map-spmf intro!: ord-spmf-bind-reflI split del: if-split)
                  subgoal for out rpv ret s'
                    apply(cases I s')
                    subgoal
                      apply simp
                      apply(rule spmf.leq-trans)
                      apply(rule ord-spmf-bindI[OF step.hyps])
                      apply hyps subst

```

```

apply(rule spmf.leq-refl)
apply(simp add: track-Some sample-Some bind-map-spmf o-def)
apply(subst bind-commute-spmf)
apply(simp add: split-def)
done
subgoal
  apply simp
  apply(rule step.IH[THEN spmf.leq-trans])
  apply(simp add: split-def exec-gpv2-def)
  done
done
done
qed
show ord-spmf (=) ?rhs ?rhs' unfolding exec-gpv2-def
proof(induction arbitrary: gpv s rule: exec-gpv-fixp-induct-strong)
  case adm show ?case by simp
  case bottom show ?case by simp
  case (step exec-gpv')
    show ?case unfolding exec-gpv1-def
      apply(rewrite in ord-spmf -- □ exec-gpv.simps)
      apply(clarsimp split: generat.split simp add: bind-map-spmf intro!: ord-spmf-bind-refI
      split del: if-split)
        subgoal for out rpv ret s'
          apply(cases I s')
          subgoal
            apply(simp add: bind-map-spmf o-def)
            apply(rule spmf.leq-trans)
            apply(rule ord-spmf-bind-refI)
            apply(rule ord-spmf-bindI)
            apply(rule step.hyps)
            apply(hypsubst)
            apply(rule spmf.leq-refl)
            apply(simp add: track-Some sample-Some bind-map-spmf o-def)
            apply(subst bind-commute-spmf)
            apply(simp add: split-def)
            done
          subgoal
            apply simp
            apply(rule step.IH[THEN spmf.leq-trans])
            apply(simp add: split-def exec-gpv2-def)
            done
          done
        done
      done
    qed
  qed
  finally show ?thesis .
qed

```

primcorec *gpv-stop* :: ('a, 'c, 'r) gpv \Rightarrow ('a option, 'c, 'r option) gpv

where

```
the-gpv (gpv-stop gpv) =  
  map-spmf (map-generat Some id ( $\lambda rpv\ input.\ case\ input\ of\ None \Rightarrow Done\ None$   
| Some input'  $\Rightarrow$  gpv-stop (rpv input')))  
  (the-gpv gpv)
```

lemma *gpv-stop-Done* [simp]: $gpv\text{-stop} (Done\ x) = Done\ (Some\ x)$
by(rule *gpv.expand*) simp

lemma *gpv-stop-Fail* [simp]: $gpv\text{-stop} Fail = Fail$
by(rule *gpv.expand*) simp

lemma *gpv-stop-Pause* [simp]: $gpv\text{-stop} (Pause\ out\ rpv) = Pause\ out\ (\lambda input.\ case\ input\ of\ None \Rightarrow Done\ None | Some\ input' \Rightarrow gpv\text{-stop} (rpv\ input'))$
by(rule *gpv.expand*) simp

lemma *gpv-stop-lift-spmf* [simp]: $gpv\text{-stop} (lift\text{-}spmf\ p) = lift\text{-}spmf\ (map\text{-}spmf\ Some\ p)$
by(rule *gpv.expand*)(simp add: *spmf.map-comp o-def*)

lemma *gpv-stop-bind* [simp]:
 $gpv\text{-stop} (bind\text{-}gpv\ gpv\ f) = bind\text{-}gpv\ (gpv\text{-stop}\ gpv)\ (\lambda x.\ case\ x\ of\ None \Rightarrow Done\ None | Some\ x' \Rightarrow gpv\text{-stop}\ (f\ x'))$
apply(coinduction arbitrary: *gpv* rule: *gpv.coinduct-strong*)
apply(auto 4 3 simp add: *spmf-rel-map map-spmf-bind-spmf o-def bind-map-spmf bind-gpv.sel generat.rel-map simp del: bind-gpvsel' intro!: rel-spmf-bind-reflI generat.rel-refl-strong rel-spmf-reflI rel-funI split!: generat.split option.split)
done*

context includes *lifting-syntax* **begin**

lemma *gpv-stop-parametric'*:
notes [transfer-rule] = *the-gpv-parametric' the-gpv-parametric' Done-parametric' corec-gpv-parametric'*
shows (*rel-gpv'' A C R* ==> *rel-gpv'' (rel-option A) C (rel-option R)*) *gpv-stop gpv-stop*
unfolding *gpv-stop-def* **by** transfer-prover

lemma *gpv-stop-parametric* [transfer-rule]:
shows (*rel-gpv A C* ==> *rel-gpv (rel-option A) C*) *gpv-stop gpv-stop*
unfolding *gpv-stop-def* **by** transfer-prover

lemma *gpv-stop-transfer*:
(*rel-gpv'' A B C* ==> *rel-gpv'' (pqr-Some A) B (pqr-Some C)*) ($\lambda x.\ x$) *gpv-stop*
apply(rule *rel-funI*)
subgoal for *gpv gpv'*
apply(coinduction arbitrary: *gpv gpv'*)
apply(drule *rel-gpv''D*)
apply(auto simp add: *spmf-rel-map generat.rel-map rel-fun-def elim!: pqr-SomeE*

```

generat.rel-mono-strong rel-spmf-mono)
  done
done

end

lemma gpv-stop-map' [simp]:
  gpv-stop (map-gpv' f g h gpv) = map-gpv' (map-option f) g (map-option h)
  (gpv-stop gpv)
apply(coinduction arbitrary: gpv rule: gpv.coinduct-strong)
apply(auto 4 3 simp add: spmf-rel-map generat.rel-map intro!: rel-spmf-reflI generat.rel-refl-strong split!: option.split)
done

lemma interaction-bound-gpv-stop [simp]:
  interaction-bound consider (gpv-stop gpv) = interaction-bound consider gpv
proof(induction arbitrary: gpv rule: parallel-fixp-induct-strong-1-1[OF complete-lattice-partial-function-definitions complete-lattice-partial-function-definitions interaction-bound.mono interaction-bound.mono interaction-bound-def interaction-bound-def, case-names adm bottom step])
  case adm show ?case by simp
  case bottom show ?case by simp
next
  case (step interaction-bound' interaction-bound'')
    have (SUP x. interaction-bound' (case x of None => Done None | Some input =>
      gpv-stop (c input))) =
      (SUP input. interaction-bound'' (c input)) (is ?lhs = ?rhs is (SUP x. ?f x))
    = -
      if IO out c ∈ set-spmf (the-gpv gpv) for out c
  proof -
    have ?lhs = sup (interaction-bound' (Done None)) (LJ x. ?f (Some x))
    by (simp add: UNIV-option-conv image-comp)
    also have interaction-bound' (Done None) = 0 using step.hyps(1)[of Done None] by simp
    also have (LJ x. ?f (Some x)) = ?rhs by (simp add: step.IH)
    finally show ?thesis by (simp add: bot-enat-def [symmetric])
  qed
  then show ?case
  by (auto simp add: case-map-generat o-def image-comp cong del: generat.case-cong-weak if-weak-cong intro!: SUP-cong split: generat.split)
qed

abbreviation exec-gpv-stop :: ('s => 'c => ('r option × 's) spmf) => ('a, 'c, 'r)
gpv => 's => ('a option × 's) spmf
where exec-gpv-stop callee gpv ≡ exec-gpv callee (gpv-stop gpv)

abbreviation inline-stop :: ('s => 'c => ('r option × 's, 'c', 'r') gpv) => ('a, 'c, 'r)
gpv => 's => ('a option × 's, 'c', 'r') gpv
where inline-stop callee gpv ≡ inline callee (gpv-stop gpv)

```

```

context
  fixes joint-oracle :: 's1  $\Rightarrow$  's2  $\Rightarrow$  'c  $\Rightarrow$  (('r option  $\times$  's1) option  $\times$  ('r option  $\times$  's2) option) pmf
    and callee1 :: 's1  $\Rightarrow$  'c  $\Rightarrow$  ('r option  $\times$  's1) spmf
    notes [[function-internals]]
  begin

    partial-function (spmf) exec-until-stop :: ('a option, 'c, 'r) gpv  $\Rightarrow$  's1  $\Rightarrow$  's2  $\Rightarrow$ 
      bool  $\Rightarrow$  ('a option  $\times$  's1  $\times$  's2) spmf
    where
      exec-until-stop gpv s1 s2 b =
        (if b then
          bind-spmf (the-gpv gpv) ( $\lambda$  generat. case generat of
            Pure x  $\Rightarrow$  return-spmf (x, s1, s2)
            | IO out rpv  $\Rightarrow$  bind-pmf (joint-oracle s1 s2 out) ( $\lambda$ (a, b).
              case a of None  $\Rightarrow$  return-pmf None
              | Some (r1, s1')  $\Rightarrow$  (case b of None  $\Rightarrow$  undefined | Some (r2, s2')  $\Rightarrow$ 
                (case (r1, r2) of (None, None)  $\Rightarrow$  exec-until-stop (Done None) s1' s2'
                True
                | (Some r1', Some r2')  $\Rightarrow$  exec-until-stop (rpv r1') s1' s2' True
                | (None, Some r2')  $\Rightarrow$  exec-until-stop (Done None) s1' s2' True
                | (Some r1', None)  $\Rightarrow$  exec-until-stop (rpv r1') s1' s2' False)))
          else
            bind-spmf (the-gpv gpv) ( $\lambda$  generat. case generat of
              Pure x  $\Rightarrow$  return-spmf (None, s1, s2)
              | IO out rpv  $\Rightarrow$  bind-spmf (callee1 s1 out) ( $\lambda$ (r1, s1').
                case r1 of None  $\Rightarrow$  exec-until-stop (Done None) s1' s2' False
                | Some r1'  $\Rightarrow$  exec-until-stop (rpv r1') s1' s2' False)))
        )
      )
    end

    lemma ord-spmf-exec-gpv-stop:
      fixes callee1 :: ('c, 'r option, 's) callee
      and callee2 :: ('c, 'r option, 's) callee
      and S :: 's  $\Rightarrow$  's  $\Rightarrow$  bool
      and gpv :: ('a, 'c, 'r) gpv
      assumes bisim:
         $\bigwedge s1 s2 x. \llbracket S s1 s2; \neg stop s2 \rrbracket \implies$ 
        ord-spmf ( $\lambda(r1, s1')(r2, s2')$ . le-option r2 r1  $\wedge$  S s1' s2'  $\wedge$  (r2 = None  $\wedge$  r1  $\neq$  None  $\longleftrightarrow$  stop s2'))  $\implies$ 
        (callee1 s1 x) (callee2 s2 x)
      and init: S s1 s2
      and go:  $\neg$  stop s2
      and sticking:  $\bigwedge s1 s2 x y s1'. \llbracket (y, s1') \in set-spmf (callee1 s1 x); S s1 s2; stop s2 \rrbracket \implies S s1' s2$ 
      shows ord-spmf (rel-prod (ord-option  $\top$ ) $^{-1-1}$  S) (exec-gpv-stop callee1 gpv s1) (exec-gpv-stop callee2 gpv s2)
    proof -
      let ?R =  $\lambda(r1, s1')(r2, s2')$ . le-option r2 r1  $\wedge$  S s1' s2'  $\wedge$  (r2 = None  $\wedge$  r1  $\neq$ 

```

```

None  $\longleftrightarrow$  stop s2')
 $\text{obtain } joint :: 's \Rightarrow 's \Rightarrow 'c \Rightarrow (('r \text{ option} \times 's) \text{ option} \times ('r \text{ option} \times 's) \text{ option})$ 
 $\text{pmf}$ 
 $\text{where } j1: \text{map-pmf fst } (joint s1 s2 x) = \text{callee1 } s1 x$ 
 $\text{and } j2: \text{map-pmf snd } (joint s1 s2 x) = \text{callee2 } s2 x$ 
 $\text{and rel [rule-format, rotated -1]: } \forall (a, b) \in \text{set-pmf } (joint s1 s2 x). \text{ ord-option}$ 
?R a b
 $\text{if } S s1 s2 \dashv \text{stop } s2 \text{ for } x s1 s2 \text{ using bisim}$ 
 $\text{apply atomize-elim}$ 
 $\text{apply(subst (asm) rel-pmf.simps)}$ 
 $\text{apply(unfold rel-spmf-simps all-conj-distrib[symmetric] all-simps(6) imp-conjR[symmetric])}$ 
 $\text{apply(subst all-comm)}$ 
 $\text{apply(subst (2) all-comm)}$ 
 $\text{apply(subst choice-iff[symmetric] ex-simps(6)) +}$ 
 $\text{apply fastforce}$ 
 $\text{done}$ 
 $\text{note [simp del] = top-apply conversep-iff id-apply}$ 
 $\text{have } \dashv \text{stop } s2 \implies \text{rel-spmf (rel-prod (ord-option } \top)^{-1-1} S) (\text{exec-gpv-stop}$ 
 $\text{callee1 gpv s1}) (\text{map-spmf } (\lambda(x, s1, s2). (x, s2)) (\text{exec-until-stop joint callee1}$ 
 $(\text{map-gpv Some id gpv) s1 s2 True}))$ 
 $\text{and rel-spmf (rel-prod (ord-option } \top)^{-1-1} S) (\text{exec-gpv callee1 (Done None ::$ 
 $('a \text{ option}, 'c, 'r \text{ option) gpv) s1}) (\text{map-spmf } (\lambda(x, s1, s2). (x, s2)) (\text{exec-until-stop}$ 
 $\text{joint callee1 (Done None :: ('a \text{ option}, 'c, 'r) gpv) s1 s2 b))$ 
 $\text{and stop s2} \implies \text{rel-spmf (rel-prod (ord-option } \top)^{-1-1} S) (\text{exec-gpv-stop callee1}$ 
 $(\text{gpv s1}) (\text{map-spmf } (\lambda(x, s1, y). (x, y)) (\text{exec-until-stop joint callee1 (map-gpv)$ 
 $(\text{Some id gpv) s1 s2 False}))$ 
 $\text{for b using init}$ 
 $\text{proof(induction arbitrary: gpv s1 s2 b rule: parallel-fixp-induct-2-4[OF partial-function-definitions-spmf partial-function-definitions-spmf exec-gpv.mono exec-until-stop.mono exec-gpv-def exec-until-stop-def, unfolded lub-spmf-empty, case-names adm bottom step])}$ 
 $\text{case adm show ?case by simp}$ 
 $\{ \text{case bottom case 1 show ?case by simp } \}$ 
 $\{ \text{case bottom case 2 show ?case by simp } \}$ 
 $\{ \text{case bottom case 3 show ?case by simp } \}$ 
 $\text{next}$ 
 $\text{case (step exec-gpv' exec-until-stop') case step: 1}$ 
 $\text{show ?case using step.prem}$ 
 $\text{apply(rewrite gpv-stop.sel)}$ 
 $\text{apply(simp add: map-spmf-bind-spmf bind-map-spmf gpv.map-sel)}$ 
 $\text{apply(rule rel-spmf-bind-reflI)}$ 
 $\text{apply(clarsimp split!: generat.split)}$ 
 $\text{apply(rewrite j1[symmetric], assumption+)}$ 
 $\text{apply(rewrite bind-spmf-def)}$ 
 $\text{apply(auto 4 3 split!: option.split dest: rel intro: step.IH intro!: rel-pmf-bind-reflI}$ 
 $\text{simp add: map-bind-pmf bind-map-pmf)}$ 
 $\text{done}$ 
 $\text{next}$ 
 $\text{case step case 2}$ 

```

```

then show ?case by(simp add: conversep-iff)
next
  case (step exec-gpv' exec-until-stop') case step: 3
    show ?case using step.prems
      apply(simp add: map-spmf-bind-spmf bind-map-spmf gpv.mapsel)
      apply(rule rel-spmf-bind-reflI)
      apply(clarsimp simp add: map-spmf-bind-spmf split!: generat.split)
      apply(rule rel-spmf-bind-reflI)
      apply clarimp
      apply(drule (2) sticking)
      apply(auto split!: option.split intro: step.IH)
      done
    qed
    note this(1)[OF go]
    also
      have  $\neg stop s2 \implies ord\text{-}spmf (=) (map\text{-}spmf (\lambda(x, s1, s2). (x, s2)) (exec-until-stop joint callee1 (map\text{-}gpv Some id gpv) s1 s2 True)) (exec\text{-}gpv\text{-}stop callee2 gpv s2)$ 
      and  $ord\text{-}spmf (=) (map\text{-}spmf (\lambda(x, s1, y). (x, y)) (exec-until-stop joint callee1 (Done None :: ('a option, 'c, 'r) gpv) s1 s2 b)) (return\text{-}spmf (None, s2))$ 
      and  $stop s2 \implies ord\text{-}spmf (=) (map\text{-}spmf (\lambda(x, s1, s2). (x, s2)) (exec-until-stop joint callee1 (map\text{-}gpv Some id gpv) s1 s2 False)) (return\text{-}spmf (None, s2))$ 
      for b using init
      proof(induction arbitrary: gpv s1 s2 b rule: exec-until-stop.fixp-induct[case-names adm bottom step])
        case adm show ?case by simp
          { case bottom case 1 show ?case by simp }
          { case bottom case 2 show ?case by simp }
          { case bottom case 3 show ?case by simp }
      next
        case (step exec-until-stop') case step: 1
        show ?case using step.prems
          using [[show-variants]]
          apply(rewrite exec-gpv.simps)
          apply(simp add: map-spmf-bind-spmf bind-map-spmf gpv.mapsel)
          apply(rule ord-spmf-bind-reflI)
          apply(clarsimp split!: generat.split simp add: map-bind-pmf bind-spmf-def)
          apply(rewrite j2[symmetric], assumption+)
          apply(auto 4 3 split!: option.split dest: rel intro: step.IH intro!: rel-pmf-bind-reflI
            simp add: bind-map-pmf)
          done
      next
        case step case 2 thus ?case by simp
      next
        case (step exec-until-stop') case 3
        thus ?case
          apply(simp add: map-spmf-bind-spmf o-def)
          apply(rule ord-spmf-bind-spmfI1)
          apply(clarsimp split!: generat.split simp add: map-spmf-bind-spmf o-def
            gpv.mapsel)

```

```

apply(rule ord-spmf-bind-spmfI1)
apply clar simp
apply(drule (2) sticking)
apply(clarsimp split!: option.split simp add: step.IH)
done
qed
note this(1)[OF go]
finally show ?thesis by(rule pmf.rel-mono-strong)(auto elim!: option.rel-cases)
qed

```

```

end
theory GPV-Applicative imports
  Generative-Probabilistic-Value
  SPMF-Applicative
begin

```

6.7 Applicative instance for $(-, 'out, 'in)$ gpv

```

definition ap-gpv :: ('a ⇒ 'b, 'out, 'in) gpv ⇒ ('a, 'out, 'in) gpv ⇒ ('b, 'out, 'in) gpv
where ap-gpv f x = bind-gpv f (λf'. bind-gpv x (λx'. Done (f' x')))
```

```
adhoc-overloading Applicative.ap ≡ ap-gpv
```

```

abbreviation (input) pure-gpv :: 'a ⇒ ('a, 'out, 'in) gpv
where pure-gpv ≡ Done
```

```
context includes applicative-syntax begin
```

```

lemma ap-gpv-id: pure-gpv (λx. x) ◊ x = x
by(simp add: ap-gpv-def)
```

```

lemma ap-gpv-comp: pure-gpv (○) ◊ u ◊ v ◊ w = u ◊ (v ◊ w)
by(simp add: ap-gpv-def bind-gpv-assoc)
```

```

lemma ap-gpv-homo: pure-gpv f ◊ pure-gpv x = pure-gpv (f x)
by(simp add: ap-gpv-def)
```

```

lemma ap-gpv-interchange: u ◊ pure-gpv x = pure-gpv (λf. f x) ◊ u
by(simp add: ap-gpv-def)
```

```

applicative gpv
for
  pure: pure-gpv
  ap: ap-gpv
by(rule ap-gpv-id ap-gpv-comp[unfolded o-def[abs-def]] ap-gpv-homo ap-gpv-interchange)+
```

```

lemma map-conv-ap-gpv: map-gpv f (λx. x) gpv = pure-gpv f ◊ gpv
by(simp add: ap-gpv-def map-gpv-conv-bind)
```

```

lemma exec-gpv-ap:
  exec-gpv callee (f ◊ x) σ =
    exec-gpv callee f σ ≈ (λ(f', σ'). pure-spmf (λ(x', σ''). (f' x', σ'')) ◊ exec-gpv
  callee x σ')
by(simp add: ap-gpv-def exec-gpv-bind ap-spmf-conv-bind split-def)

lemma exec-gpv-ap-pure [simp]:
  exec-gpv callee (pure-gpv f ◊ x) σ = pure-spmf (apfst f) ◊ exec-gpv callee x σ
by(simp add: exec-gpv-ap apfst-def map-prod-def)

end

end

```

7 Cyclic groups

```

theory Cyclic-Group imports
  HOL-Algebra.Coset
begin

record 'a cyclic-group = 'a monoid +
  generator :: 'a (⟨g1⟩)

locale cyclic-group = group G
  for G :: ('a, 'b) cyclic-group-scheme (structure)
  +
  assumes generator-closed [intro, simp]: generator G ∈ carrier G
  and generator: carrier G ⊆ range (λn :: nat. generator G [⊤]_G n)
begin

lemma generatorE [elim?]:
  assumes x ∈ carrier G
  obtains n :: nat where x = generator G [⊤] n
  using generator assms by auto

lemma inj-on-generator: inj-on (([⊤]) g) {..<order G}
proof(rule inj-onI)
  fix n m
  assume n ∈ {..<order G} m ∈ {..<order G}
  hence n: n < order G and m: m < order G by simp-all
  moreover
  assume g [⊤] n = g [⊤] m
  ultimately show n = m
  proof(induction n m rule: linorder-wlog)
    case sym thus ?case by simp
  next
    case (le n m)
    let ?d = m - n

```

```

have g [] (int m - int n) = g [] int m ⊗ inv (g [] int n)
  by(simp add: int-pow-diff)
also have g [] int m = g [] int n by(simp add: le.preds int-pow-int)
also have ... ⊗ inv (g [] (int n)) = 1 by simp
finally have g [] ?d = 1
  using le.preds(3) pow-eq-div2 by force
{ assume n < m
  have carrier G ⊆ (λn. g [] n) ‘ {.. < ?d}
  proof
    fix x
    assume x ∈ carrier G
    then obtain k :: nat where x = g [] k ..
    also have ... = (g [] ?d) [] (k div ?d) ⊗ g [] (k mod ?d)
      by(simp add: nat-pow-pow nat-pow-mult div-mult-mod-eq)
    also have ... = g [] (k mod ?d)
      using g [] ?d = 1 by simp
    finally show x ∈ (λn. g [] n) ‘ {.. < ?d} using n < m by auto
  qed
  hence order G ≤ card ((λn. g [] n) ‘ {.. < ?d})
    by(simp add: order-def card-mono)
  also have ... ≤ card {.. < ?d} by(rule card-image-le) simp
  also have ... < order G using m < order G by simp
  finally have False by simp }
  with n ≤ m show n = m by(auto simp add: order.order-iff-strict)
qed
qed

lemma finite-carrier: finite (carrier G)
proof -
  from generator obtain n :: nat where g [] n = inv g
    by(metis generatorE generator-closed inv-closed)
  then have g1: g [] (Suc n) = 1
    by auto
  have mod: g [] m = g [] (m mod Suc n) for m
  proof -
    obtain k where m mod Suc n + Suc n * k = m
      using mod-mult-div-eq by blast
    then have g [] m = g [] (m mod Suc n + Suc n * k) by simp
    also have ... = g [] (m mod Suc n)
    unfolding nat-pow-mult[symmetric, OF generator-closed] nat-pow-pow[symmetric,
      OF generator-closed] g1
      by simp
    finally show ?thesis .
  qed
  have g [] x ∈ ([ ]) g ‘ {.. < Suc n} for x :: nat by (subst mod) auto
  then have range (([ ]) g :: nat ⇒ -) ⊆ (([ ]) g) ‘ {.. < Suc n} by auto
  then have finite (range (([ ]) g :: nat ⇒ -)) by(rule finite-surj[rotated]) simp
  with generator show ?thesis by(rule finite-subset)
qed

```

```

lemma carrier-conv-generator: carrier G = ( $\lambda n. \mathbf{g} [\top] n$ ) ` {.. $<$ order G}
proof -
  have ( $\lambda n. \mathbf{g} [\top] n$ ) ` {.. $<$ order G}  $\subseteq$  carrier G by auto
  moreover have card (( $\lambda n. \mathbf{g} [\top] n$ ) ` {.. $<$ order G})  $\geq$  order G
    using inj-on-generator by(simp add: card-image)
  ultimately show ?thesis using finite-carrier
  unfolding order-def by(rule card-seteq[symmetric, rotated])
qed

lemma bij-betw-generator-carrier:
  bij-betw ( $\lambda n :: \text{nat}. \mathbf{g} [\top] n$ ) {.. $<$ order G} (carrier G)
  by (simp add: carrier-conv-generator inj-on-generator inj-on-imp-bij-betw)

lemma order-gt-0: order G  $> 0$ 
  using order-gt-0-iff-finite by(simp add: finite-carrier)

end

lemma (in monoid) order-in-range-Suc: order G  $\in$  range Suc  $\longleftrightarrow$  finite (carrier G)
  by(cases order G)(auto simp add: order-def carrier-not-empty intro: card-ge-0-finite)

end

theory Cyclic-Group-SPMF imports
  Cyclic-Group
  HOL-Probability.SPMF
begin

definition sample-uniform :: nat  $\Rightarrow$  nat spmf
where sample-uniform n = spmf-of-set {.. $n$ }

lemma spmf-sample-uniform: spmf (sample-uniform n) x = indicator {.. $n$ } x / n
  by(simp add: sample-uniform-def spmf-of-set)

lemma weight-sample-uniform: weight-spmf (sample-uniform n) = indicator (range Suc) n
  by(auto simp add: sample-uniform-def weight-spmf-of-set split: split-indicator elim: lessE)

lemma weight-sample-uniform-0 [simp]: weight-spmf (sample-uniform 0) = 0
  by(auto simp add: weight-sample-uniform indicator-def)

lemma weight-sample-uniform-gt-0 [simp]:  $0 < n \implies$  weight-spmf (sample-uniform n) = 1
  by(auto simp add: weight-sample-uniform indicator-def gr0-conv-Suc)

```

```

lemma lossless-sample-uniform [simp]: lossless-spmf (sample-uniform n)  $\longleftrightarrow$   $0 < n$ 
by(auto simp add: lossless-spmf-def intro: ccontr)

lemma set-spmf-sample-uniform [simp]:  $0 < n \implies$  set-spmf (sample-uniform n)
=  $\{.. < n\}$ 
by(simp add: sample-uniform-def)

lemma (in cyclic-group) sample-uniform-one-time-pad:
assumes [simp]:  $c \in \text{carrier } G$ 
shows
map-spmf ( $\lambda x. g[\cdot] x \otimes c$ ) (sample-uniform (order G)) =
map-spmf ( $\lambda x. g[\cdot] x$ ) (sample-uniform (order G))
(is ?lhs = ?rhs)
proof(cases finite (carrier G))
case False
thus ?thesis by(simp add: order-def sample-uniform-def)
next
case True
have ?lhs = map-spmf ( $\lambda x. x \otimes c$ ) ?rhs
by(simp add: pmf.map-comp o-def option.map-comp)
also have rhs: ?rhs = spmf-of-set (carrier G)
using True by(simp add: carrier-conv-generator inj-on-generator sample-uniform-def)
also have map-spmf ( $\lambda x. x \otimes c$ ) ... = spmf-of-set (( $\lambda x. x \otimes c$ ) ` carrier G)
by(simp add: inj-on-multc)
also have ( $\lambda x. x \otimes c$ ) ` carrier G = carrier G
using True by(rule endo-inj-surj)(auto simp add: inj-on-multc)
finally show ?thesis using rhs by simp
qed

end
theory CryptHOL imports
  GPV-Bisim
  GPV-Applicative
  Computational-Model
  Negligible
  Cyclic-Group-SPMF
  List-Bits
  Environment-Functor
begin

end

```

References

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