

# An Operational Semantics and Type Safety Proof for Multiple Inheritance in C++ (CoreC++)

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September 13, 2023

## Abstract

We present an operational semantics and type safety proof for multiple inheritance in C++. The semantics models the behavior of method calls, field accesses, and two forms of casts. For explanations see [1].

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## 1 Auxiliary Definitions

```

theory Auxiliary
imports Complex-Main HOL-Library.While-Combinator
begin

declare
  option.splits[split]

```

*Let-def*[simp]  
*subset-insertI2* [simp]  
*Cons-eq-map-conv* [iff]

**lemma** *nat-add-max-le*[simp]:  
 $((n::nat) + \max i j \leq m) = (n + i \leq m \wedge n + j \leq m)$   
 <proof>

**lemma** *Suc-add-max-le*[simp]:  
 $(\text{Suc}(n + \max i j) \leq m) = (\text{Suc}(n + i) \leq m \wedge \text{Suc}(n + j) \leq m)$   
 <proof>

**notation** *Some* (([-]))

**lemma** *butlast-tail*:  
 $\text{butlast } (Xs@[X, Y]) = Xs@[X]$   
 <proof>

**lemma** *butlast-noteq*:  $Cs \neq [] \implies \text{butlast } Cs \neq Cs$   
 <proof>

**lemma** *app-hd-tl*:  $[Cs \neq []; Cs = Cs' @ \text{tl } Cs] \implies Cs' = [\text{hd } Cs]$   
 <proof>

**lemma** *only-one-append*:  $[C' \notin \text{set } Cs; C' \notin \text{set } Cs'; Ds @ C' \# Ds' = Cs @ C' \# Cs']$   
 $\implies Cs = Ds \wedge Cs' = Ds'$   
 <proof>

**definition** *pick* :: 'a set  $\Rightarrow$  'a **where**  
 $\text{pick } A \equiv \text{SOME } x. x \in A$

**lemma** *pick-is-element*:  $x \in A \implies \text{pick } A \in A$   
 <proof>

**definition** *set2list* :: 'a set  $\Rightarrow$  'a list **where**  
 $\text{set2list } A \equiv \text{fst } (\text{while } (\lambda(Es, S). S \neq \{\})$   
 $\quad (\lambda(Es, S). \text{let } x = \text{pick } S \text{ in } (x \# Es, S - \{x\}))$   
 $\quad ([], A) )$

**lemma** *card-pick*: $\llbracket$ finite  $A$ ;  $A \neq \{\}$  $\rrbracket \implies \text{Suc}(\text{card}(A - \{\text{pick}(A)\})) = \text{card } A$   
 $\langle$ proof $\rangle$

**lemma** *set2list-prop*: $\llbracket$ finite  $A$ ;  $A \neq \{\}$  $\rrbracket \implies$   
 $\exists xs. \text{while } (\lambda(Es,S). S \neq \{\})$   
 $(\lambda(Es,S). \text{let } x = \text{pick } S \text{ in } (x \# Es, S - \{x\}))$   
 $([], A) = (xs, \{\}) \wedge (\text{set } xs \cup \{\} = A)$

$\langle$ proof $\rangle$

**lemma** *set2list-correct*: $\llbracket$ finite  $A$ ;  $A \neq \{\}$ ;  $\text{set2list } A = xs$  $\rrbracket \implies \text{set } xs = A$   
 $\langle$ proof $\rangle$

## 1.1 *distinct-fst*

**definition** *distinct-fst* ::  $('a \times 'b)$  list  $\Rightarrow$  bool **where**  
*distinct-fst*  $\equiv$  *distinct*  $\circ$  *map fst*

**lemma** *distinct-fst-Nil* [*simp*]:  
*distinct-fst* []

$\langle$ proof $\rangle$

**lemma** *distinct-fst-Cons* [*simp*]:  
*distinct-fst*  $((k,x) \# kxs) = (\text{distinct-fst } kxs \wedge (\forall y. (k,y) \notin \text{set } kxs))$

$\langle$ proof $\rangle$

**lemma** *map-of-SomeI*:  
 $\llbracket \text{distinct-fst } kxs; (k,x) \in \text{set } kxs \rrbracket \implies \text{map-of } kxs \ k = \text{Some } x$   
 $\langle$ proof $\rangle$

## 1.2 Using *list-all2* for relations

**definition** *fun-of* ::  $('a \times 'b)$  set  $\Rightarrow 'a \Rightarrow 'b \Rightarrow$  bool **where**  
*fun-of*  $S \equiv \lambda x y. (x,y) \in S$

Convenience lemmas

**declare** *fun-of-def* [*simp*]

**lemma** *rel-list-all2-Cons* [*iff*]:  
*list-all2*  $(\text{fun-of } S) (x \# xs) (y \# ys) =$   
 $((x,y) \in S \wedge \text{list-all2 } (\text{fun-of } S) \ xs \ ys)$   
 $\langle$ proof $\rangle$

**lemma** *rel-list-all2-Cons1*:

$list\text{-}all2\ (fun\text{-}of\ S)\ (x\#xs)\ ys =$   
 $(\exists z\ zs.\ ys = z\#zs \wedge (x,z) \in S \wedge list\text{-}all2\ (fun\text{-}of\ S)\ xs\ zs)$   
*<proof>*

**lemma** *rel-list-all2-Cons2*:

$list\text{-}all2\ (fun\text{-}of\ S)\ xs\ (y\#ys) =$   
 $(\exists z\ zs.\ xs = z\#zs \wedge (z,y) \in S \wedge list\text{-}all2\ (fun\text{-}of\ S)\ zs\ ys)$   
*<proof>*

**lemma** *rel-list-all2-refl*:

$(\bigwedge x.\ (x,x) \in S) \implies list\text{-}all2\ (fun\text{-}of\ S)\ xs\ xs$   
*<proof>*

**lemma** *rel-list-all2-antisym*:

$\llbracket (\bigwedge x\ y.\ \llbracket (x,y) \in S; (y,x) \in T \rrbracket \implies x = y);$   
 $list\text{-}all2\ (fun\text{-}of\ S)\ xs\ ys; list\text{-}all2\ (fun\text{-}of\ T)\ ys\ xs \rrbracket \implies xs = ys$   
*<proof>*

**lemma** *rel-list-all2-trans*:

$\llbracket \bigwedge a\ b\ c.\ \llbracket (a,b) \in R; (b,c) \in S \rrbracket \implies (a,c) \in T;$   
 $list\text{-}all2\ (fun\text{-}of\ R)\ as\ bs; list\text{-}all2\ (fun\text{-}of\ S)\ bs\ cs \rrbracket$   
 $\implies list\text{-}all2\ (fun\text{-}of\ T)\ as\ cs$   
*<proof>*

**lemma** *rel-list-all2-update-cong*:

$\llbracket i < size\ xs; list\text{-}all2\ (fun\text{-}of\ S)\ xs\ ys; (x,y) \in S \rrbracket$   
 $\implies list\text{-}all2\ (fun\text{-}of\ S)\ (xs[i:=x])\ (ys[i:=y])$   
*<proof>*

**lemma** *rel-list-all2-nthD*:

$\llbracket list\text{-}all2\ (fun\text{-}of\ S)\ xs\ ys; p < size\ xs \rrbracket \implies (xs!p, ys!p) \in S$   
*<proof>*

**lemma** *rel-list-all2I*:

$\llbracket length\ a = length\ b; \bigwedge n.\ n < length\ a \implies (a!n, b!n) \in S \rrbracket \implies list\text{-}all2\ (fun\text{-}of\ S)\ a\ b$   
*<proof>*

**declare** *fun-of-def* [*simp del*]

**end**

## 2 CoreC++ types

**theory** *Type* **imports** *Auxiliary* **begin**

**type-synonym** *cname* = *string* — class names

**type-synonym**  $mname = string$  — method name  
**type-synonym**  $vname = string$  — names for local/field variables

**definition**  $this :: vname$  **where**  
 $this \equiv "this"$

— types

**datatype**  $ty$   
 $= Void$  — type of statements  
 $| Boolean$   
 $| Integer$   
 $| NT$  — null type  
 $| Class\ cname$  — class type

**datatype**  $base$  — superclass  
 $= Repeats\ cname$  — repeated (nonvirtual) inheritance  
 $| Shares\ cname$  — shared (virtual) inheritance

**primrec**  $getbase :: base \Rightarrow cname$  **where**  
 $getbase\ (Repeats\ C) = C$   
 $| getbase\ (Shares\ C) = C$

**primrec**  $isRepBase :: base \Rightarrow bool$  **where**  
 $isRepBase\ (Repeats\ C) = True$   
 $| isRepBase\ (Shares\ C) = False$

**primrec**  $isShBase :: base \Rightarrow bool$  **where**  
 $isShBase\ (Repeats\ C) = False$   
 $| isShBase\ (Shares\ C) = True$

**definition**  $is-refT :: ty \Rightarrow bool$  **where**  
 $is-refT\ T \equiv T = NT \vee (\exists C. T = Class\ C)$

**lemma**  $[iff]: is-refT\ NT$   
 $\langle proof \rangle$

**lemma**  $[iff]: is-refT\ (Class\ C)$   
 $\langle proof \rangle$

**lemma**  $refTE:$   
 $\llbracket is-refT\ T; T = NT \implies Q; \bigwedge C. T = Class\ C \implies Q \rrbracket \implies Q$   
 $\langle proof \rangle$

**lemma**  $not-refTE:$   
 $\llbracket \neg is-refT\ T; T = Void \vee T = Boolean \vee T = Integer \implies Q \rrbracket \implies Q$   
 $\langle proof \rangle$

**type-synonym**  
 $env = vname \rightarrow ty$



**end**

### 3 CoreC++ values

**theory** *Value* **imports** *Type* **begin**

**type-synonym** *addr* = *nat*

**type-synonym** *path* = *cname list* — Path-component in subobjects

**type-synonym** *reference* = *addr*  $\times$  *path*

**datatype** *val*

  = *Unit* — dummy result value of void expressions

  | *Null* — null reference

  | *Bool bool* — Boolean value

  | *Intg int* — integer value

  | *Ref reference* — Address on the heap and subobject-path

**primrec** *the-Intg* :: *val*  $\Rightarrow$  *int* **where**

*the-Intg* (*Intg i*) = *i*

**primrec** *the-addr* :: *val*  $\Rightarrow$  *addr* **where**

*the-addr* (*Ref r*) = *fst r*

**primrec** *the-path* :: *val*  $\Rightarrow$  *path* **where**

*the-path* (*Ref r*) = *snd r*

**primrec** *default-val* :: *ty*  $\Rightarrow$  *val* — default value for all types **where**

*default-val Void* = *Unit*

| *default-val Boolean* = *Bool False*

| *default-val Integer* = *Intg 0*

| *default-val NT* = *Null*

| *default-val (Class C)* = *Null*

**lemma** *default-val-no-Ref*: *default-val T = Ref(a,Cs)  $\Longrightarrow$  False*

*<proof>*

**primrec** *typeof* :: *val*  $\Rightarrow$  *ty option* **where**

*typeof Unit* = *Some Void*

| *typeof Null* = *Some NT*

| *typeof (Bool b)* = *Some Boolean*

| *typeof (Intg i)* = *Some Integer*

| *typeof (Ref r)* = *None*

**lemma** [*simp*]: (*typeof v = Some Boolean*) = ( $\exists b. v = Bool b$ )

*<proof>*

**lemma** [*simp*]: (*typeof v = Some Integer*) = ( $\exists i. v = Intg i$ )

⟨proof⟩

**lemma** [simp]: (typeof v = Some NT) = (v = Null)  
⟨proof⟩

**lemma** [simp]: (typeof v = Some Void) = (v = Unit)  
⟨proof⟩

**end**

## 4 Expressions

**theory** Expr imports Value begin

### 4.1 The expressions

**datatype** bop = Eq | Add — names of binary operations

**datatype** expr  
= new cname — class instance creation  
| Cast cname expr — dynamic type cast  
| StatCast cname expr — static type cast  
((|-)- [80,81] 80)  
| Val val — value  
| BinOp expr bop expr (- «-» - [80,0,81] 80)  
— binary operation  
| Var vname — local variable  
| LAss vname expr (-:=- [70,70] 70)  
— local assignment  
| FAcc expr vname path (--{-} [10,90,99] 90)  
— field access  
| FAss expr vname path expr (--{-} := - [10,70,99,70] 70)  
— field assignment  
| Call expr cname option mname expr list  
— method call  
| Block vname ty expr ('{-:-; -})  
| Seq expr expr (-; / - [61,60] 60)  
| Cond expr expr expr (if '(-) -/ else - [80,79,79] 70)  
| While expr expr (while '(-) - [80,79] 70)  
| throw expr

**abbreviation** (input)

DynCall :: expr ⇒ mname ⇒ expr list ⇒ expr (--'(-) [90,99,0] 90) **where**  
e•M(es) == Call e None M es

**abbreviation** (input)

StaticCall :: expr ⇒ cname ⇒ mname ⇒ expr list ⇒ expr  
(--'(-::')-'(-) [90,99,99,0] 90) **where**  
e•(C::)M(es) == Call e (Some C) M es

The semantics of binary operators:

**fun** *binop* :: *bop* × *val* × *val* ⇒ *val option* **where**  
   *binop*(*Eq*, *v*<sub>1</sub>, *v*<sub>2</sub>) = *Some*(*Bool* (*v*<sub>1</sub> = *v*<sub>2</sub>))  
 | *binop*(*Add*, *Intg* *i*<sub>1</sub>, *Intg* *i*<sub>2</sub>) = *Some*(*Intg*(*i*<sub>1</sub>+*i*<sub>2</sub>))  
 | *binop*(*bop*, *v*<sub>1</sub>, *v*<sub>2</sub>) = *None*

**lemma** [*simp*]:

(*binop*(*Add*, *v*<sub>1</sub>, *v*<sub>2</sub>) = *Some* *v*) = (∃ *i*<sub>1</sub> *i*<sub>2</sub>. *v*<sub>1</sub> = *Intg* *i*<sub>1</sub> ∧ *v*<sub>2</sub> = *Intg* *i*<sub>2</sub> ∧ *v* = *Intg*(*i*<sub>1</sub>+*i*<sub>2</sub>))  
 ⟨*proof*⟩

**lemma** *binop-not-ref*[*simp*]:

*binop*(*bop*, *v*<sub>1</sub>, *v*<sub>2</sub>) = *Some* (*Ref* *r*) ⇒ *False*  
 ⟨*proof*⟩

## 4.2 Free Variables

**primrec**

*fv* :: *expr* ⇒ *vname set*  
**and** *fvs* :: *expr list* ⇒ *vname set* **where**  
*fv*(*new* *C*) = {}  
 | *fv*(*Cast* *C* *e*) = *fv* *e*  
 | *fv*(⟦*C*⟧*e*) = *fv* *e*  
 | *fv*(*Val* *v*) = {}  
 | *fv*(*e*<sub>1</sub> «*bop*» *e*<sub>2</sub>) = *fv* *e*<sub>1</sub> ∪ *fv* *e*<sub>2</sub>  
 | *fv*(*Var* *V*) = {*V*}  
 | *fv*(*V* := *e*) = {*V*} ∪ *fv* *e*  
 | *fv*(*e* · *F*{*Cs*}) = *fv* *e*  
 | *fv*(*e*<sub>1</sub> · *F*{*Cs*};=*e*<sub>2</sub>) = *fv* *e*<sub>1</sub> ∪ *fv* *e*<sub>2</sub>  
 | *fv*(*Call* *e* *Copt* *M* *es*) = *fv* *e* ∪ *fvs* *es*  
 | *fv*({*V*:*T*; *e*}) = *fv* *e* − {*V*}  
 | *fv*(*e*<sub>1</sub>; *e*<sub>2</sub>) = *fv* *e*<sub>1</sub> ∪ *fv* *e*<sub>2</sub>  
 | *fv*(*if* (*b*) *e*<sub>1</sub> *else* *e*<sub>2</sub>) = *fv* *b* ∪ *fv* *e*<sub>1</sub> ∪ *fv* *e*<sub>2</sub>  
 | *fv*(*while* (*b*) *e*) = *fv* *b* ∪ *fv* *e*  
 | *fv*(*throw* *e*) = *fv* *e*

| *fvs*([]) = {}

| *fvs*(*e*#*es*) = *fv* *e* ∪ *fvs* *es*

**lemma** [*simp*]: *fvs*(*es*<sub>1</sub> @ *es*<sub>2</sub>) = *fvs* *es*<sub>1</sub> ∪ *fvs* *es*<sub>2</sub>

⟨*proof*⟩

**lemma** [*simp*]: *fvs*(*map* *Val* *vs*) = {}

⟨*proof*⟩

**end**

## 5 Class Declarations and Programs

**theory** *Decl* **imports** *Expr* **begin**

**type-synonym**

*fdecl* = *vname* × *ty* — field declaration

**type-synonym**

*method* = *ty list* × *ty* × (*vname list* × *expr*) — arg. types, return type, params, body

**type-synonym**

*mdecl* = *mname* × *method* — method declaration

**type-synonym**

*class* = *base list* × *fdecl list* × *mdecl list* — class = superclasses, fields, methods

**type-synonym**

*cdecl* = *cname* × *class* — classa declaration

**type-synonym**

*prog* = *cdecl list* — program

**translations**

(*type*) *fdecl* <= (*type*) *vname* × *ty*

(*type*) *mdecl* <= (*type*) *mname* × *ty list* × *ty* × (*vname list* × *expr*)

(*type*) *class* <= (*type*) *cname* × *fdecl list* × *mdecl list*

(*type*) *cdecl* <= (*type*) *cname* × *class*

(*type*) *prog* <= (*type*) *cdecl list*

**definition** *class* :: *prog* ⇒ *cname* → *class* **where**

*class* ≡ *map-of*

**definition** *is-class* :: *prog* ⇒ *cname* ⇒ *bool* **where**

*is-class* *P C* ≡ *class P C* ≠ *None*

**definition** *baseClasses* :: *base list* ⇒ *cname set* **where**

*baseClasses Bs* ≡ *set ((map getbase) Bs)*

**definition** *RepBases* :: *base list* ⇒ *cname set* **where**

*RepBases Bs* ≡ *set ((map getbase) (filter isRepBase Bs))*

**definition** *SharedBases* :: *base list* ⇒ *cname set* **where**

*SharedBases Bs* ≡ *set ((map getbase) (filter isShBase Bs))*

**lemma** *not-getbase-repeats*:

$D \notin \text{set } (\text{map } \text{getbase } xs) \implies \text{Repeats } D \notin \text{set } xs$   
 ⟨*proof*⟩

**lemma** *not-getbase-shares*:

$D \notin \text{set } (\text{map } \text{getbase } xs) \implies \text{Shares } D \notin \text{set } xs$   
<proof>

**lemma** *RepBaseclass-isBaseclass*:  
[[class P C = Some(Bs,fs,ms); Repeats D ∈ set Bs]]  
⇒ D ∈ baseClasses Bs  
<proof>

**lemma** *ShBaseclass-isBaseclass*:  
[[class P C = Some(Bs,fs,ms); Shares D ∈ set Bs]]  
⇒ D ∈ baseClasses Bs  
<proof>

**lemma** *base-repeats-or-shares*:  
[[B ∈ set Bs; D = getbase B]]  
⇒ Repeats D ∈ set Bs ∨ Shares D ∈ set Bs  
<proof>

**lemma** *baseClasses-repeats-or-shares*:  
D ∈ baseClasses Bs ⇒ Repeats D ∈ set Bs ∨ Shares D ∈ set Bs  
<proof>

**lemma** *finite-is-class*: finite {C. is-class P C}  
<proof>

**lemma** *finite-baseClasses*:  
class P C = Some(Bs,fs,ms) ⇒ finite (baseClasses Bs)  
<proof>

**definition** *is-type* :: prog ⇒ ty ⇒ bool **where**  
is-type P T ≡  
(case T of Void ⇒ True | Boolean ⇒ True | Integer ⇒ True | NT ⇒ True  
| Class C ⇒ is-class P C)

**lemma** *is-type-simps* [simp]:  
is-type P Void ∧ is-type P Boolean ∧ is-type P Integer ∧  
is-type P NT ∧ is-type P (Class C) = is-class P C  
<proof>

**abbreviation**  
types P == Collect (CONST is-type P)

**lemma** *typeof-lit-is-type*:  
*typeof v = Some T  $\implies$  is-type P T*  
*<proof>*

**end**

## 6 The subclass relation

**theory** *ClassRel* **imports** *Decl* **begin**

— direct repeated subclass

**inductive-set**

*subclsR* :: *prog*  $\Rightarrow$  (*cname*  $\times$  *cname*) *set*  
**and** *subclsR'* :: *prog*  $\Rightarrow$  [*cname*, *cname*]  $\Rightarrow$  *bool* (-  $\vdash$  -  $\prec_R$  - [71,71,71] 70)  
**for** *P* :: *prog*

**where**

*P*  $\vdash$  *C*  $\prec_R$  *D*  $\equiv$  (*C,D*)  $\in$  *subclsR P*  
| *subclsRI*: [[*class P C = Some (Bs,rest)*; *Repeats(D)  $\in$  set Bs*]]  $\implies$  *P*  $\vdash$  *C*  $\prec_R$  *D*

— direct shared subclass

**inductive-set**

*subclsS* :: *prog*  $\Rightarrow$  (*cname*  $\times$  *cname*) *set*  
**and** *subclsS'* :: *prog*  $\Rightarrow$  [*cname*, *cname*]  $\Rightarrow$  *bool* (-  $\vdash$  -  $\prec_S$  - [71,71,71] 70)  
**for** *P* :: *prog*

**where**

*P*  $\vdash$  *C*  $\prec_S$  *D*  $\equiv$  (*C,D*)  $\in$  *subclsS P*  
| *subclsSI*: [[*class P C = Some (Bs,rest)*; *Shares(D)  $\in$  set Bs*]]  $\implies$  *P*  $\vdash$  *C*  $\prec_S$  *D*

— direct subclass

**inductive-set**

*subcls1* :: *prog*  $\Rightarrow$  (*cname*  $\times$  *cname*) *set*  
**and** *subcls1'* :: *prog*  $\Rightarrow$  [*cname*, *cname*]  $\Rightarrow$  *bool* (-  $\vdash$  -  $\prec^1$  - [71,71,71] 70)  
**for** *P* :: *prog*

**where**

*P*  $\vdash$  *C*  $\prec^1$  *D*  $\equiv$  (*C,D*)  $\in$  *subcls1 P*  
| *subcls1I*: [[*class P C = Some (Bs,rest)*; *D  $\in$  baseClasses Bs*]]  $\implies$  *P*  $\vdash$  *C*  $\prec^1$  *D*

**abbreviation**

*subcls* :: *prog*  $\Rightarrow$  [*cname*, *cname*]  $\Rightarrow$  *bool* (-  $\vdash$  -  $\preceq^*$  - [71,71,71] 70) **where**  
*P*  $\vdash$  *C*  $\preceq^*$  *D*  $\equiv$  (*C,D*)  $\in$  (*subcls1 P*)<sup>\*</sup>

**lemma** *subclsRD*:

*P*  $\vdash$  *C*  $\prec_R$  *D*  $\implies$   $\exists$  *fs ms Bs*. (*class P C = Some (Bs,fs,ms)*)  $\wedge$  (*Repeats(D)  $\in$  set Bs*)  
*<proof>*

**lemma** *subclsSD*:

$P \vdash C \prec_S D \implies \exists fs\ ms\ Bs. (class\ P\ C = Some\ (Bs,fs,ms)) \wedge (Shares(D) \in set\ Bs)$   
*<proof>*

**lemma** *subcls1D*:

$P \vdash C \prec^1 D \implies \exists fs\ ms\ Bs. (class\ P\ C = Some\ (Bs,fs,ms)) \wedge (D \in baseClasses\ Bs)$   
*<proof>*

**lemma** *subclsR-subcls1*:

$P \vdash C \prec_R D \implies P \vdash C \prec^1 D$   
*<proof>*

**lemma** *subclsS-subcls1*:

$P \vdash C \prec_S D \implies P \vdash C \prec^1 D$   
*<proof>*

**lemma** *subcls1-subclsR-or-subclsS*:

$P \vdash C \prec^1 D \implies P \vdash C \prec_R D \vee P \vdash C \prec_S D$   
*<proof>*

**lemma** *finite-subcls1*: *finite* (*subcls1* *P*)

*<proof>*

**lemma** *finite-subclsR*: *finite* (*subclsR* *P*)

*<proof>*

**lemma** *finite-subclsS*: *finite* (*subclsS* *P*)

*<proof>*

**lemma** *subcls1-class*:

$P \vdash C \prec^1 D \implies is-class\ P\ C$   
*<proof>*

**lemma** *subcls-is-class*:

$\llbracket P \vdash D \preceq^* C; is-class\ P\ C \rrbracket \implies is-class\ P\ D$   
*<proof>*

**end**

## 7 Definition of Subobjects

**theory** *SubObj*  
**imports** *ClassRel*  
**begin**

## 7.1 General definitions

**type-synonym**

$subobj = cname \times path$

**definition**  $mdc :: subobj \Rightarrow cname$  **where**

$mdc S = fst S$

**definition**  $ldc :: subobj \Rightarrow cname$  **where**

$ldc S = last (snd S)$

**lemma**  $mdc\text{-}tuple$  [*simp*]:  $mdc (C, Cs) = C$

$\langle proof \rangle$

**lemma**  $ldc\text{-}tuple$  [*simp*]:  $ldc (C, Cs) = last Cs$

$\langle proof \rangle$

## 7.2 Subobjects according to Rossie-Friedman

**fun**  $is\text{-}subobj :: prog \Rightarrow subobj \Rightarrow bool$  — legal subobject to class hierarchie **where**

$is\text{-}subobj P (C, []) \longleftrightarrow False$

|  $is\text{-}subobj P (C, [D]) \longleftrightarrow (is\text{-}class P C \wedge C = D)$

$\vee (\exists X. P \vdash C \preceq^* X \wedge P \vdash X \prec_S D)$

|  $is\text{-}subobj P (C, D \# E \# Xs) = (let Ys = butlast (D \# E \# Xs);$

$Y = last (D \# E \# Xs);$

$X = last Ys$

$in is\text{-}subobj P (C, Ys) \wedge P \vdash X \prec_R Y)$

**lemma**  $subobj\text{-}aux\text{-}rev$ :

**assumes**  $1: is\text{-}subobj P ((C, C' \# rev Cs @ [C']))$

**shows**  $is\text{-}subobj P ((C, C' \# rev Cs))$

$\langle proof \rangle$

**lemma**  $subobj\text{-}aux$ :

**assumes**  $1: is\text{-}subobj P ((C, C' \# Cs @ [C']))$

**shows**  $is\text{-}subobj P ((C, C' \# Cs))$

$\langle proof \rangle$

**lemma**  $isSubobj\text{-}isClass$ :

**assumes**  $1: is\text{-}subobj P (R)$

**shows**  $is\text{-}class P (mdc R)$

$\langle proof \rangle$



**lemma** *isSubobjs-subclsR-rev*:  
**assumes**  $1: is-subobj\ P\ ((C, Cs@[D, D']@(rev\ Cs')))$   
**shows**  $P \vdash D \prec_R D'$   
 $\langle proof \rangle$

**lemma** *isSubobjs-subclsR*:  
**assumes**  $1: is-subobj\ P\ ((C, Cs@[D, D']@Cs'))$   
**shows**  $P \vdash D \prec_R D'$   
 $\langle proof \rangle$

**lemma** *mdc-leq-ldc-aux*:  
**assumes**  $1: is-subobj\ P\ ((C, C'\#rev\ Cs'))$   
**shows**  $P \vdash C \preceq^* last\ (C'\#rev\ Cs')$   
 $\langle proof \rangle$

**lemma** *mdc-leq-ldc*:  
**assumes**  $1: is-subobj\ P\ (R)$   
**shows**  $P \vdash mdc\ R \preceq^* ldc\ R$   
 $\langle proof \rangle$

Next three lemmas show subobject property as presented in literature

**lemma** *class-isSubobj*:  
 $is-class\ P\ C \implies is-subobj\ P\ ((C, [C]))$   
 $\langle proof \rangle$

**lemma** *repSubobj-isSubobj*:  
**assumes**  $1: is-subobj\ P\ ((C, Xs@[X]))$  **and**  $2: P \vdash X \prec_R Y$   
**shows**  $is-subobj\ P\ ((C, Xs@[X, Y]))$   
 $\langle proof \rangle$

**lemma** *shSubobj-isSubobj*:  
**assumes**  $1: is-subobj\ P\ ((C, Xs@[X]))$  **and**  $2: P \vdash X \prec_S Y$   
**shows**  $is-subobj\ P\ ((C, [Y]))$

$\langle proof \rangle$

Auxiliary lemmas

**lemma** *build-rec-isSubobj-rev*:

**assumes**  $1: is-subobj\ P\ ((D, D\#rev\ Cs))$  **and**  $2: P \vdash C \prec_R D$

**shows**  $is-subobj\ P\ ((C, C\#D\#rev\ Cs))$

$\langle proof \rangle$

**lemma** *build-rec-isSubobj*:

**assumes**  $1: is-subobj\ P\ ((D, D\#Cs))$  **and**  $2: P \vdash C \prec_R D$

**shows**  $is-subobj\ P\ ((C, C\#D\#Cs))$

$\langle proof \rangle$

**lemma** *isSubobj-isSubobj-isSubobj-rev*:

**assumes**  $1: is-subobj\ P\ ((C, [D]))$  **and**  $2: is-subobj\ P\ ((D, D\#(rev\ Cs)))$

**shows**  $is-subobj\ P\ ((C, D\#(rev\ Cs)))$

$\langle proof \rangle$

**lemma** *isSubobj-isSubobj-isSubobj*:

**assumes**  $1: is-subobj\ P\ ((C, [D]))$  **and**  $2: is-subobj\ P\ ((D, D\#Cs))$

**shows**  $is-subobj\ P\ ((C, D\#Cs))$

$\langle proof \rangle$

### 7.3 Subobject handling and lemmas

Subobjects consisting of repeated inheritance relations only:

**inductive**  $Subobjs_R :: prog \Rightarrow cname \Rightarrow path \Rightarrow bool$  **for**  $P :: prog$

**where**

$SubobjsR-Base: is-class\ P\ C \Longrightarrow Subobjs_R\ P\ C\ [C]$

$| SubobjsR-Rep: [P \vdash C \prec_R D; Subobjs_R\ P\ D\ Cs] \Longrightarrow Subobjs_R\ P\ C\ (C \# Cs)$

All subobjects:

**inductive**  $Subobjs :: prog \Rightarrow cname \Rightarrow path \Rightarrow bool$  **for**  $P :: prog$

**where**

$Subobjs-Rep: Subobjs_R\ P\ C\ Cs \Longrightarrow Subobjs\ P\ C\ Cs$

$| Subobjs-Sh: [P \vdash C \preceq^* C'; P \vdash C' \prec_S D; Subobjs_R\ P\ D\ Cs]$

$\Longrightarrow Subobjs\ P\ C\ Cs$

**lemma**  $Subobjs-Base: is-class\ P\ C \Longrightarrow Subobjs\ P\ C\ [C]$

$\langle proof \rangle$

**lemma** *SubobjsR-nonempty*:  $Subobjs_R P C Cs \implies Cs \neq []$   
 $\langle proof \rangle$

**lemma** *Subobjs-nonempty*:  $Subobjs P C Cs \implies Cs \neq []$   
 $\langle proof \rangle$

**lemma** *hd-SubobjsR*:  
 $Subobjs_R P C Cs \implies \exists Cs'. Cs = C \# Cs'$   
 $\langle proof \rangle$

**lemma** *SubobjsR-subclassRep*:  
 $Subobjs_R P C Cs \implies (C, last Cs) \in (subclsR P)^*$

$\langle proof \rangle$

**lemma** *SubobjsR-subclass*:  $Subobjs_R P C Cs \implies P \vdash C \preceq^* last Cs$

$\langle proof \rangle$

**lemma** *Subobjs-subclass*:  $Subobjs P C Cs \implies P \vdash C \preceq^* last Cs$

$\langle proof \rangle$

**lemma** *Subobjs-notSubobjsR*:  
 $\llbracket Subobjs P C Cs; \neg Subobjs_R P C Cs \rrbracket$   
 $\implies \exists C' D. P \vdash C \preceq^* C' \wedge P \vdash C' \prec_S D \wedge Subobjs_R P D Cs$   
 $\langle proof \rangle$

**lemma** *assumes subo:SubobjsR P (hd (Cs@ C'#Cs')) (Cs@ C'#Cs')*  
**shows** *SubobjsR-Subobjs:Subobjs P C' (C'#Cs')*  
 $\langle proof \rangle$

**lemma** *Subobjs-Subobjs:Subobjs P C (Cs@ C'#Cs') \implies Subobjs P C' (C'#Cs')*

$\langle proof \rangle$

**lemma** *SubobjsR-isClass*:  
**assumes** *subo:Subobjs<sub>R</sub> P C Cs*  
**shows** *is-class P C*

*<proof>*

**lemma** *Subobjs-isClass*:  
**assumes** *subo:Subobjs P C Cs*  
**shows** *is-class P C*

*<proof>*

**lemma** *Subobjs-subclsR*:  
**assumes** *subo:Subobjs P C (Cs@[D,D']@Cs')*  
**shows**  $P \vdash D \prec_R D'$

*<proof>*

**lemma** **assumes** *subo:Subobjs<sub>R</sub> P (hd Cs) (Cs@[D])* **and** *notempty:Cs ≠ []*  
**shows** *butlast-Subobjs-Rep:Subobjs<sub>R</sub> P (hd Cs) Cs*  
*<proof>*

**lemma** **assumes** *subo:Subobjs P C (Cs@[D])* **and** *notempty:Cs ≠ []*  
**shows** *butlast-Subobjs:Subobjs P C Cs*

*<proof>*

**lemma** **assumes** *subo:Subobjs P C (Cs@(rev Cs'))* **and** *notempty:Cs ≠ []*  
**shows** *rev-appendSubobj:Subobjs P C Cs*  
*<proof>*

**lemma** *appendSubobj*:  
**assumes** *subo:Subobjs P C (Cs@Cs')* **and** *notempty:Cs ≠ []*  
**shows** *Subobjs P C Cs*

*<proof>*

**lemma** *SubobjsR-isSubobj*:  
 $Subobjs_R P C Cs \implies is-subobj P ((C, Cs))$   
 ⟨proof⟩

**lemma** *leq-SubobjsR-isSubobj*:  
 $\llbracket P \vdash C \preceq^* C'; P \vdash C' \prec_S D; Subobjs_R P D Cs \rrbracket$   
 $\implies is-subobj P ((C, Cs))$   
 ⟨proof⟩

**lemma** *Subobjs-isSubobj*:  
 $Subobjs P C Cs \implies is-subobj P ((C, Cs))$   
 ⟨proof⟩

## 7.4 Paths

### 7.5 Appending paths

Avoided name clash by calling one path Path.

**definition** *path-via* ::  $prog \Rightarrow cname \Rightarrow cname \Rightarrow path \Rightarrow bool$  ( $- \vdash Path - to - via$   
 $- [51,51,51,51] 50$ ) **where**  
 $P \vdash Path C to D via Cs \equiv Subobjs P C Cs \wedge last Cs = D$

**definition** *path-unique* ::  $prog \Rightarrow cname \Rightarrow cname \Rightarrow bool$  ( $- \vdash Path - to - unique$   
 $[51,51,51] 50$ ) **where**  
 $P \vdash Path C to D unique \equiv \exists! Cs. Subobjs P C Cs \wedge last Cs = D$

**definition** *appendPath* ::  $path \Rightarrow path \Rightarrow path$  (**infixr**  $@_p$  65) **where**  
 $Cs @_p Cs' \equiv if (last Cs = hd Cs') then Cs @ (tl Cs') else Cs'$

**lemma** *appendPath-last*:  $Cs \neq [] \implies last Cs = last (Cs' @_p Cs)$   
 ⟨proof⟩

### inductive

*casts-to* ::  $prog \Rightarrow ty \Rightarrow val \Rightarrow val \Rightarrow bool$   
 ( $- \vdash - casts - to - [51,51,51,51] 50$ )  
**for**  $P :: prog$   
**where**

*casts-prim*:  $\forall C. T \neq Class C \implies P \vdash T casts v to v$

| *casts-null*:  $P \vdash Class C casts Null to Null$

| *casts-ref*:  $\llbracket P \vdash \text{Path last } Cs \text{ to } C \text{ via } Cs'; Ds = Cs@_p Cs' \rrbracket$   
 $\implies P \vdash \text{Class } C \text{ casts } \text{Ref}(a, Cs) \text{ to } \text{Ref}(a, Ds)$

**inductive**

*Casts-to* :: *prog*  $\Rightarrow$  *ty list*  $\Rightarrow$  *val list*  $\Rightarrow$  *val list*  $\Rightarrow$  *bool*  
 $(- \vdash - \text{Casts - to - } [51,51,51,51] 50)$

**for** *P* :: *prog*

**where**

*Casts-Nil*:  $P \vdash [] \text{Casts } [] \text{ to } []$

| *Casts-Cons*:  $\llbracket P \vdash T \text{ casts } v \text{ to } v'; P \vdash Ts \text{Casts } vs \text{ to } vs' \rrbracket$   
 $\implies P \vdash (T\#Ts) \text{Casts } (v\#vs) \text{ to } (v'\#vs')$

**lemma** *length-Casts-vs*:

$P \vdash Ts \text{Casts } vs \text{ to } vs' \implies \text{length } Ts = \text{length } vs$   
 $\langle \text{proof} \rangle$

**lemma** *length-Casts-vs'*:

$P \vdash Ts \text{Casts } vs \text{ to } vs' \implies \text{length } Ts = \text{length } vs'$   
 $\langle \text{proof} \rangle$

## 7.6 The relation on paths

**inductive-set**

*leq-path1* :: *prog*  $\Rightarrow$  *cname*  $\Rightarrow$  (*path*  $\times$  *path*) *set*

**and** *leq-path1'* :: *prog*  $\Rightarrow$  *cname*  $\Rightarrow$  [*path*, *path*]  $\Rightarrow$  *bool* ( $-, - \vdash - \sqsubseteq^1 - [71,71,71]$   
70)

**for** *P* :: *prog* **and** *C* :: *cname*

**where**

$P, C \vdash Cs \sqsubseteq^1 Ds \equiv (Cs, Ds) \in \text{leq-path1 } P \ C$

| *leq-pathRep*:  $\llbracket \text{Subobjs } P \ C \ Cs; \text{Subobjs } P \ C \ Ds; Cs = \text{butlast } Ds \rrbracket$   
 $\implies P, C \vdash Cs \sqsubseteq^1 Ds$

| *leq-pathSh*:  $\llbracket \text{Subobjs } P \ C \ Cs; P \vdash \text{last } Cs \prec_S D \rrbracket$   
 $\implies P, C \vdash Cs \sqsubseteq^1 [D]$

**abbreviation**

*leq-path* :: *prog*  $\Rightarrow$  *cname*  $\Rightarrow$  [*path*, *path*]  $\Rightarrow$  *bool* ( $-, - \vdash - \sqsubseteq - [71,71,71]$  70)

**where**

$P, C \vdash Cs \sqsubseteq Ds \equiv (Cs, Ds) \in (\text{leq-path1 } P \ C)^*$

**lemma** *leq-path-rep*:

$\llbracket \text{Subobjs } P \ C \ (Cs@[C']); \text{Subobjs } P \ C \ (Cs@[C',C'']) \rrbracket$   
 $\implies P, C \vdash (Cs@[C']) \sqsubseteq^1 (Cs@[C',C''])$

*<proof>*

**lemma** *leq-path-sh*:

$\llbracket \text{Subobjs } P \ C \ (Cs@[C']); P \vdash C' \prec_S C'' \rrbracket$   
 $\implies P, C \vdash (Cs@[C']) \sqsubset^1 [C'']$

*<proof>*

## 7.7 Member lookups

**definition** *FieldDecls* :: *prog*  $\Rightarrow$  *cname*  $\Rightarrow$  *vname*  $\Rightarrow$  (*path*  $\times$  *ty*) *set* **where**

*FieldDecls* *P C F*  $\equiv$   
 $\{(Cs, T). \text{Subobjs } P \ C \ Cs \wedge (\exists Bs \ fs \ ms. \text{class } P \ (\text{last } Cs) = \text{Some}(Bs, fs, ms)$   
 $\wedge \text{map-of } fs \ F = \text{Some } T)\}$

**definition** *LeastFieldDecl* :: *prog*  $\Rightarrow$  *cname*  $\Rightarrow$  *vname*  $\Rightarrow$  *ty*  $\Rightarrow$  *path*  $\Rightarrow$  *bool*

( $- \vdash -$  *has least*  $-$  *via*  $-$   $[51, 0, 0, 0, 51]$  50) **where**

*P*  $\vdash$  *C* *has least* *F:T* *via* *Cs*  $\equiv$   
 $(Cs, T) \in \text{FieldDecls } P \ C \ F \wedge$   
 $(\forall (Cs', T') \in \text{FieldDecls } P \ C \ F. P, C \vdash Cs' \sqsubseteq Cs')$

**definition** *MethodDefs* :: *prog*  $\Rightarrow$  *cname*  $\Rightarrow$  *mname*  $\Rightarrow$  (*path*  $\times$  *method*)*set* **where**

*MethodDefs* *P C M*  $\equiv$   
 $\{(Cs, mthd). \text{Subobjs } P \ C \ Cs \wedge (\exists Bs \ fs \ ms. \text{class } P \ (\text{last } Cs) = \text{Some}(Bs, fs, ms)$   
 $\wedge \text{map-of } ms \ M = \text{Some } mthd)\}$

— needed for well formed criterion

**definition** *HasMethodDef* :: *prog*  $\Rightarrow$  *cname*  $\Rightarrow$  *mname*  $\Rightarrow$  *method*  $\Rightarrow$  *path*  $\Rightarrow$  *bool*

( $- \vdash -$  *has*  $- = -$  *via*  $-$   $[51, 0, 0, 0, 51]$  50) **where**

*P*  $\vdash$  *C* *has* *M = mthd* *via* *Cs*  $\equiv (Cs, mthd) \in \text{MethodDefs } P \ C \ M$

**definition** *LeastMethodDef* :: *prog*  $\Rightarrow$  *cname*  $\Rightarrow$  *mname*  $\Rightarrow$  *method*  $\Rightarrow$  *path*  $\Rightarrow$  *bool*

( $- \vdash -$  *has least*  $- = -$  *via*  $-$   $[51, 0, 0, 0, 51]$  50) **where**

*P*  $\vdash$  *C* *has least* *M = mthd* *via* *Cs*  $\equiv$   
 $(Cs, mthd) \in \text{MethodDefs } P \ C \ M \wedge$   
 $(\forall (Cs', mthd') \in \text{MethodDefs } P \ C \ M. P, C \vdash Cs' \sqsubseteq Cs' \implies Cs' = Cs)$

**definition** *MinimalMethodDefs* :: *prog*  $\Rightarrow$  *cname*  $\Rightarrow$  *mname*  $\Rightarrow$  (*path*  $\times$  *method*)*set* **where**

*MinimalMethodDefs* *P C M*  $\equiv$   
 $\{(Cs, mthd). (Cs, mthd) \in \text{MethodDefs } P \ C \ M \wedge$   
 $(\forall (Cs', mthd') \in \text{MethodDefs } P \ C \ M. P, C \vdash Cs' \sqsubseteq Cs \implies Cs' = Cs)\}$

**definition** *OverriderMethodDefs* :: *prog*  $\Rightarrow$  *subobj*  $\Rightarrow$  *mname*  $\Rightarrow$  (*path*  $\times$  *method*)*set* **where**

*OverriderMethodDefs* *P R M*  $\equiv$   
 $\{(Cs, mthd). \exists Cs' \ mthd'. P \vdash (\text{ldc } R) \text{ has least } M = mthd' \text{ via } Cs' \wedge$   
 $(Cs, mthd) \in \text{MinimalMethodDefs } P \ (\text{mdc } R) \ M \wedge$   
 $P, \text{mdc } R \vdash Cs \sqsubseteq (\text{snd } R) @_P Cs'\}$

**definition** *FinalOverriderMethodDef* :: prog  $\Rightarrow$  subobj  $\Rightarrow$  mname  $\Rightarrow$  method  $\Rightarrow$  path  $\Rightarrow$  bool

(-  $\vdash$  - has overrider - = - via - [51,0,0,0,51] 50) **where**  
 $P \vdash R$  has overrider  $M = \text{mthd}$  via  $Cs \equiv$   
 $(Cs, \text{mthd}) \in \text{OverriderMethodDefs } P \ R \ M \wedge$   
 $\text{card}(\text{OverriderMethodDefs } P \ R \ M) = 1$

**inductive**

*SelectMethodDef* :: prog  $\Rightarrow$  cname  $\Rightarrow$  path  $\Rightarrow$  mname  $\Rightarrow$  method  $\Rightarrow$  path  $\Rightarrow$  bool  
(-  $\vdash$  '(-,-) selects - = - via - [51,0,0,0,0,51] 50)

**for**  $P :: \text{prog}$

**where**

*dyn-unique:*

$P \vdash C$  has least  $M = \text{mthd}$  via  $Cs' \implies P \vdash (C, Cs)$  selects  $M = \text{mthd}$  via  $Cs'$

| *dyn-ambiguous:*

$\llbracket \forall \text{mthd } Cs'. \neg P \vdash C \text{ has least } M = \text{mthd} \text{ via } Cs' ;$   
 $P \vdash (C, Cs) \text{ has overrider } M = \text{mthd} \text{ via } Cs \rrbracket$   
 $\implies P \vdash (C, Cs) \text{ selects } M = \text{mthd} \text{ via } Cs'$

**lemma** *sees-fields-fun:*

$(Cs, T) \in \text{FieldDecls } P \ C \ F \implies (Cs, T') \in \text{FieldDecls } P \ C \ F \implies T = T'$   
 $\langle \text{proof} \rangle$

**lemma** *sees-field-fun:*

$\llbracket P \vdash C \text{ has least } F:T \text{ via } Cs ; P \vdash C \text{ has least } F:T' \text{ via } Cs \rrbracket$   
 $\implies T = T'$   
 $\langle \text{proof} \rangle$

**lemma** *has-least-method-has-method:*

$P \vdash C \text{ has least } M = \text{mthd} \text{ via } Cs \implies P \vdash C \text{ has } M = \text{mthd} \text{ via } Cs$   
 $\langle \text{proof} \rangle$

**lemma** *visible-methods-exist:*

$(Cs, \text{mthd}) \in \text{MethodDefs } P \ C \ M \implies$   
 $(\exists Bs \ fs \ ms. \text{class } P \ (\text{last } Cs) = \text{Some}(Bs, fs, ms) \wedge \text{map-of } ms \ M = \text{Some } \text{mthd})$   
 $\langle \text{proof} \rangle$

**lemma** *sees-methods-fun:*

$(Cs, \text{mthd}) \in \text{MethodDefs } P \ C \ M \implies (Cs, \text{mthd}') \in \text{MethodDefs } P \ C \ M \implies \text{mthd} = \text{mthd}'$



*<proof>*

**lemma** *sees-method-fun*:

$\llbracket P \vdash C \text{ has least } M = \text{mthd via } Cs; P \vdash C \text{ has least } M = \text{mthd}' \text{ via } Cs \rrbracket$   
 $\implies \text{mthd} = \text{mthd}'$

*<proof>*

**lemma** *overrider-method-fun*:

**assumes** *overrider*:  $P \vdash (C, Cs) \text{ has overrider } M = \text{mthd via } Cs'$   
**and** *overrider'*:  $P \vdash (C, Cs) \text{ has overrider } M = \text{mthd}' \text{ via } Cs''$   
**shows**  $\text{mthd} = \text{mthd}' \wedge Cs' = Cs''$

*<proof>*

**end**

## 8 Objects and the Heap

**theory** *Objects* **imports** *SubObj* **begin**

### 8.1 Objects

**type-synonym**

*subo* =  $(\text{path} \times (\text{vname} \rightarrow \text{val}))$  — subobjects realized on the heap

**type-synonym**

*obj* = *cname*  $\times$  *subo set* — mdc and subobject

**definition** *init-class-fieldmap* ::  $\text{prog} \Rightarrow \text{cname} \Rightarrow (\text{vname} \rightarrow \text{val})$  **where**

*init-class-fieldmap* *P C*  $\equiv$   
*map-of* (*map*  $(\lambda(F, T). (F, \text{default-val } T))$ ) (*fst*(*snd*(*the*(*class* *P C*)))) )

**inductive**

*init-obj* ::  $\text{prog} \Rightarrow \text{cname} \Rightarrow (\text{path} \times (\text{vname} \rightarrow \text{val})) \Rightarrow \text{bool}$

**for** *P* :: *prog* **and** *C* :: *cname*

**where**

*Subobjs P C Cs*  $\implies \text{init-obj } P C (Cs, \text{init-class-fieldmap } P (\text{last } Cs))$

**lemma** *init-obj-nonempty*:  $\text{init-obj } P C (Cs, fs) \implies Cs \neq []$

*<proof>*

**lemma** *init-obj-no-Ref*:

$\llbracket \text{init-obj } P C (Cs, fs); fs F = \text{Some}(\text{Ref}(a', Cs')) \rrbracket \implies \text{False}$

*<proof>*

**lemma** *SubobjsSet-init-objSet*:

$\{Cs. \text{Subobjs } P C Cs\} = \{Cs. \exists vmap. \text{init-obj } P C (Cs, vmap)\}$

*<proof>*

**definition** *obj-ty* :: *obj*  $\Rightarrow$  *ty* **where**  
*obj-ty obj*  $\equiv$  *Class (fst obj)*

— a new, blank object with default values in all fields:

**definition** *blank* :: *prog*  $\Rightarrow$  *cname*  $\Rightarrow$  *obj* **where**  
*blank P C*  $\equiv$  (*C*, *Collect (init-obj P C)*)

**lemma** [*simp*]: *obj-ty (C,S)* = *Class C*  
*<proof>*

## 8.2 Heap

**type-synonym** *heap* = *addr*  $\rightarrow$  *obj*

**abbreviation**

*cname-of* :: *heap*  $\Rightarrow$  *addr*  $\Rightarrow$  *cname* **where**  
*cname-of hp a* == *fst (the (hp a))*

**definition** *new-Addr* :: *heap*  $\Rightarrow$  *addr option* **where**  
*new-Addr h*  $\equiv$  *if*  $\exists$  *a*. *h a* = *None* *then Some(SOME a. h a = None)* *else None*

**lemma** *new-Addr-SomeD*:  
*new-Addr h* = *Some a*  $\implies$  *h a* = *None*  
*<proof>*

**end**

## 9 Exceptions

**theory** *Exceptions* **imports** *Objects* **begin**

### 9.1 Exceptions

**definition** *NullPointer* :: *cname* **where**  
*NullPointer*  $\equiv$  "*NullPointer*"

**definition** *ClassCast* :: *cname* **where**  
*ClassCast*  $\equiv$  "*ClassCast*"

**definition** *OutOfMemory* :: *cname* **where**  
*OutOfMemory*  $\equiv$  "*OutOfMemory*"

**definition** *sys-xcpts* :: *cname set* **where**

$sys\_xcpts \equiv \{NullPointer, ClassCast, OutOfMemory\}$

**definition**  $addr\text{-}of\text{-}sys\text{-}xcpt :: cname \Rightarrow addr$  **where**  
 $addr\text{-}of\text{-}sys\text{-}xcpt\ s \equiv$  if  $s = NullPointer$  then 0 else  
if  $s = ClassCast$  then 1 else  
if  $s = OutOfMemory$  then 2 else undefined

**definition**  $start\text{-}heap :: prog \Rightarrow heap$  **where**  
 $start\text{-}heap\ P \equiv Map.empty$  ( $addr\text{-}of\text{-}sys\text{-}xcpt\ NullPointer \mapsto blank\ P\ NullPointer,$   
 $addr\text{-}of\text{-}sys\text{-}xcpt\ ClassCast \mapsto blank\ P\ ClassCast,$   
 $addr\text{-}of\text{-}sys\text{-}xcpt\ OutOfMemory \mapsto blank\ P\ OutOfMemory)$

**definition**  $preallocated :: heap \Rightarrow bool$  **where**  
 $preallocated\ h \equiv \forall C \in sys\_xcpts. \exists S. h\ (addr\text{-}of\text{-}sys\text{-}xcpt\ C) = Some\ (C,S)$

## 9.2 System exceptions

**lemma**  $[simp]$ :  
 $NullPointer \in sys\_xcpts \wedge OutOfMemory \in sys\_xcpts \wedge ClassCast \in sys\_xcpts$   
 $\langle proof \rangle$

**lemma**  $sys\_xcpts\text{-}cases$   $[consumes\ 1, cases\ set]$ :  
 $\llbracket C \in sys\_xcpts; P\ NullPointer; P\ OutOfMemory; P\ ClassCast \rrbracket \Longrightarrow P\ C$   
 $\langle proof \rangle$

## 9.3 preallocated

**lemma**  $preallocated\text{-}dom$   $[simp]$ :  
 $\llbracket preallocated\ h; C \in sys\_xcpts \rrbracket \Longrightarrow addr\text{-}of\text{-}sys\text{-}xcpt\ C \in dom\ h$   
 $\langle proof \rangle$

**lemma**  $preallocatedD$ :  
 $\llbracket preallocated\ h; C \in sys\_xcpts \rrbracket \Longrightarrow \exists S. h\ (addr\text{-}of\text{-}sys\text{-}xcpt\ C) = Some\ (C,S)$   
 $\langle proof \rangle$

**lemma**  $preallocatedE$   $[elim?]$ :  
 $\llbracket preallocated\ h; C \in sys\_xcpts; \bigwedge S. h\ (addr\text{-}of\text{-}sys\text{-}xcpt\ C) = Some(C,S) \rrbracket \Longrightarrow$   
 $P\ h\ C$   
 $\Longrightarrow P\ h\ C$   
 $\langle proof \rangle$

**lemma**  $cname\text{-}of\text{-}xcpt$   $[simp]$ :  
 $\llbracket preallocated\ h; C \in sys\_xcpts \rrbracket \Longrightarrow cname\text{-}of\ h\ (addr\text{-}of\text{-}sys\text{-}xcpt\ C) = C$   
 $\langle proof \rangle$

**lemma** *preallocated-start*:  
*preallocated (start-heap P)*  
 ⟨*proof*⟩

#### 9.4 *start-heap*

**lemma** *start-Subobj*:  
 $\llbracket \text{start-heap } P \ a = \text{Some}(C, S); (Cs, fs) \in S \rrbracket \implies \text{Subobjs } P \ C \ Cs$   
 ⟨*proof*⟩

**lemma** *start-SuboSet*:  
 $\llbracket \text{start-heap } P \ a = \text{Some}(C, S); \text{Subobjs } P \ C \ Cs \rrbracket \implies \exists fs. (Cs, fs) \in S$   
 ⟨*proof*⟩

**lemma** *start-init-obj*:  $\text{start-heap } P \ a = \text{Some}(C, S) \implies S = \text{Collect } (\text{init-obj } P \ C)$   
 ⟨*proof*⟩

**lemma** *start-subobj*:  
 $\llbracket \text{start-heap } P \ a = \text{Some}(C, S); \exists fs. (Cs, fs) \in S \rrbracket \implies \text{Subobjs } P \ C \ Cs$   
 ⟨*proof*⟩

**end**

## 10 Syntax

**theory** *Syntax* **imports** *Exceptions* **begin**

Syntactic sugar

**abbreviation** (*input*)  
 $\text{InitBlock} :: \text{vname} \Rightarrow \text{ty} \Rightarrow \text{expr} \Rightarrow \text{expr} \Rightarrow \text{expr} \ ((1' \{-:- := -;/ -\}) \text{ where}$   
 $\text{InitBlock } V \ T \ e1 \ e2 == \{ V:T; V := e1;; e2 \}$

**abbreviation** *unit* **where**  $\text{unit} == \text{Val } \text{Unit}$   
**abbreviation** *null* **where**  $\text{null} == \text{Val } \text{Null}$   
**abbreviation** *ref*  $r == \text{Val}(\text{Ref } r)$   
**abbreviation** *true*  $== \text{Val}(\text{Bool } \text{True})$   
**abbreviation** *false*  $== \text{Val}(\text{Bool } \text{False})$

**abbreviation**  
 $\text{Throw} :: \text{reference} \Rightarrow \text{expr} \text{ where}$   
 $\text{Throw } r == \text{throw}(\text{ref } r)$

**abbreviation** (*input*)  
 $\text{THROW} :: \text{cname} \Rightarrow \text{expr} \text{ where}$   
 $\text{THROW } xc == \text{Throw}(\text{addr-of-sys-xcpt } xc, [xc])$

**end**

## 11 Program State

**theory** *State* **imports** *Exceptions* **begin**

**type-synonym**

*locals* = *vname*  $\rightarrow$  *val* — local vars, incl. params and “this”

**type-synonym**

*state* = *heap*  $\times$  *locals*

**definition** *hp* :: *state*  $\Rightarrow$  *heap* **where**

*hp*  $\equiv$  *fst*

**definition** *lcl* :: *state*  $\Rightarrow$  *locals* **where**

*lcl*  $\equiv$  *snd*

**declare** *hp-def*[*simp*] *lcl-def*[*simp*]

**end**

## 12 Big Step Semantics

**theory** *BigStep*

**imports** *Syntax* *State*

**begin**

### 12.1 The rules

**inductive**

*eval* :: *prog*  $\Rightarrow$  *env*  $\Rightarrow$  *expr*  $\Rightarrow$  *state*  $\Rightarrow$  *expr*  $\Rightarrow$  *state*  $\Rightarrow$  *bool*

( $\cdot$ ,  $\cdot$   $\vdash$  (( $1\langle\cdot, \cdot\rangle$ )  $\Rightarrow$  / ( $1\langle\cdot, \cdot\rangle$ ))) [51,0,0,0,0] 81)

**and** *evals* :: *prog*  $\Rightarrow$  *env*  $\Rightarrow$  *expr list*  $\Rightarrow$  *state*  $\Rightarrow$  *expr list*  $\Rightarrow$  *state*  $\Rightarrow$  *bool*

( $\cdot$ ,  $\cdot$   $\vdash$  (( $1\langle\cdot, \cdot\rangle$ ) [ $\Rightarrow$ ] / ( $1\langle\cdot, \cdot\rangle$ ))) [51,0,0,0,0] 81)

**for** *P* :: *prog*

**where**

| *New*:

$\llbracket$  *new-Addr* *h* = *Some a*; *h'* = *h*( $a \mapsto$  (*C*, *Collect* (*init-obj P C*)))  $\rrbracket$

$\Longrightarrow$  *P, E*  $\vdash$   $\langle$  *new C*, (*h*, *l*)  $\rangle \Rightarrow$   $\langle$  *ref* (*a*, [*C*]), (*h'*, *l*)  $\rangle$

| *NewFail*:

*new-Addr* *h* = *None*  $\Longrightarrow$

*P, E*  $\vdash$   $\langle$  *new C*, (*h*, *l*)  $\rangle \Rightarrow$   $\langle$  *THROW OutOfMemory*, (*h*, *l*)  $\rangle$

| *StaticUpCast*:

$\llbracket$  *P, E*  $\vdash$   $\langle$  *e*, *s*<sub>0</sub>  $\rangle \Rightarrow$   $\langle$  *ref* (*a*, *Cs*), *s*<sub>1</sub>  $\rangle$ ; *P*  $\vdash$  *Path last Cs to C via Cs'*; *Ds* = *Cs*@<sub>*p*</sub>*Cs'*

$\rrbracket$

$\Longrightarrow$  *P, E*  $\vdash$   $\langle$  (*C*) *e*, *s*<sub>0</sub>  $\rangle \Rightarrow$   $\langle$  *ref* (*a*, *Ds*), *s*<sub>1</sub>  $\rangle$

| *StaticDownCast*:

$$\begin{aligned}
& P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{ref } (a, Cs@[C]@Cs'), s_1 \rangle \\
& \implies P, E \vdash \langle \langle C \rangle e, s_0 \rangle \Rightarrow \langle \text{ref } (a, Cs@[C]), s_1 \rangle
\end{aligned}$$

| *StaticCastNull:*

$$\begin{aligned}
& P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{null}, s_1 \rangle \implies \\
& P, E \vdash \langle \langle C \rangle e, s_0 \rangle \Rightarrow \langle \text{null}, s_1 \rangle
\end{aligned}$$

| *StaticCastFail:*

$$\begin{aligned}
& \llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{ref } (a, Cs), s_1 \rangle; \neg P \vdash (\text{last } Cs) \preceq^* C; C \notin \text{set } Cs \rrbracket \\
& \implies P, E \vdash \langle \langle C \rangle e, s_0 \rangle \Rightarrow \langle \text{THROW ClassCast}, s_1 \rangle
\end{aligned}$$

| *StaticCastThrow:*

$$\begin{aligned}
& P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle \implies \\
& P, E \vdash \langle \langle C \rangle e, s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle
\end{aligned}$$

| *StaticUpDynCast:*

$$\begin{aligned}
& \llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{ref } (a, Cs), s_1 \rangle; P \vdash \text{Path last } Cs \text{ to } C \text{ unique}; \\
& \quad P \vdash \text{Path last } Cs \text{ to } C \text{ via } Cs'; Ds = Cs@_p Cs' \rrbracket \\
& \implies P, E \vdash \langle \text{Cast } C \ e, s_0 \rangle \Rightarrow \langle \text{ref } (a, Ds), s_1 \rangle
\end{aligned}$$

| *StaticDownDynCast:*

$$\begin{aligned}
& P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{ref } (a, Cs@[C]@Cs'), s_1 \rangle \\
& \implies P, E \vdash \langle \text{Cast } C \ e, s_0 \rangle \Rightarrow \langle \text{ref } (a, Cs@[C]), s_1 \rangle
\end{aligned}$$

| *DynCast:*

$$\begin{aligned}
& \llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{ref } (a, Cs), (h, l) \rangle; h \ a = \text{Some}(D, S); \\
& \quad P \vdash \text{Path } D \text{ to } C \text{ via } Cs'; P \vdash \text{Path } D \text{ to } C \text{ unique} \rrbracket \\
& \implies P, E \vdash \langle \text{Cast } C \ e, s_0 \rangle \Rightarrow \langle \text{ref } (a, Cs'), (h, l) \rangle
\end{aligned}$$

| *DynCastNull:*

$$\begin{aligned}
& P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{null}, s_1 \rangle \implies \\
& P, E \vdash \langle \text{Cast } C \ e, s_0 \rangle \Rightarrow \langle \text{null}, s_1 \rangle
\end{aligned}$$

| *DynCastFail:*

$$\begin{aligned}
& \llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{ref } (a, Cs), (h, l) \rangle; h \ a = \text{Some}(D, S); \neg P \vdash \text{Path } D \text{ to } C \text{ unique}; \\
& \quad \neg P \vdash \text{Path last } Cs \text{ to } C \text{ unique}; C \notin \text{set } Cs \rrbracket \\
& \implies P, E \vdash \langle \text{Cast } C \ e, s_0 \rangle \Rightarrow \langle \text{null}, (h, l) \rangle
\end{aligned}$$

| *DynCastThrow:*

$$\begin{aligned}
& P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle \implies \\
& P, E \vdash \langle \text{Cast } C \ e, s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle
\end{aligned}$$

| *Val:*

$$P, E \vdash \langle \text{Val } v, s \rangle \Rightarrow \langle \text{Val } v, s \rangle$$

| *BinOp:*

$$\begin{aligned}
& \llbracket P, E \vdash \langle e_1, s_0 \rangle \Rightarrow \langle \text{Val } v_1, s_1 \rangle; P, E \vdash \langle e_2, s_1 \rangle \Rightarrow \langle \text{Val } v_2, s_2 \rangle; \\
& \quad \text{binop}(bop, v_1, v_2) = \text{Some } v \rrbracket \\
& \implies P, E \vdash \langle e_1 \ \langle\langle bop \rangle\rangle \ e_2, s_0 \rangle \Rightarrow \langle \text{Val } v, s_2 \rangle
\end{aligned}$$

| *BinOpThrow1*:  
 $P, E \vdash \langle e_1, s_0 \rangle \Rightarrow \langle \text{throw } e, s_1 \rangle \Longrightarrow$   
 $P, E \vdash \langle e_1 \text{ «bop» } e_2, s_0 \rangle \Rightarrow \langle \text{throw } e, s_1 \rangle$

| *BinOpThrow2*:  
 $\llbracket P, E \vdash \langle e_1, s_0 \rangle \Rightarrow \langle \text{Val } v_1, s_1 \rangle; P, E \vdash \langle e_2, s_1 \rangle \Rightarrow \langle \text{throw } e, s_2 \rangle \rrbracket$   
 $\Longrightarrow P, E \vdash \langle e_1 \text{ «bop» } e_2, s_0 \rangle \Rightarrow \langle \text{throw } e, s_2 \rangle$

| *Var*:  
 $l V = \text{Some } v \Longrightarrow$   
 $P, E \vdash \langle \text{Var } V, (h, l) \rangle \Rightarrow \langle \text{Val } v, (h, l) \rangle$

| *LAss*:  
 $\llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{Val } v, (h, l) \rangle; E V = \text{Some } T;$   
 $P \vdash T \text{ casts } v \text{ to } v'; l' = l(V \mapsto v') \rrbracket$   
 $\Longrightarrow P, E \vdash \langle V := e, s_0 \rangle \Rightarrow \langle \text{Val } v', (h, l') \rangle$

| *LAssThrow*:  
 $P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle \Longrightarrow$   
 $P, E \vdash \langle V := e, s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle$

| *FAcc*:  
 $\llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{ref } (a, Cs'), (h, l) \rangle; h a = \text{Some}(D, S);$   
 $Ds = Cs' @_p Cs; (Ds, fs) \in S; fs F = \text{Some } v \rrbracket$   
 $\Longrightarrow P, E \vdash \langle e \cdot F \{Cs\}, s_0 \rangle \Rightarrow \langle \text{Val } v, (h, l) \rangle$

| *FAccNull*:  
 $P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{null}, s_1 \rangle \Longrightarrow$   
 $P, E \vdash \langle e \cdot F \{Cs\}, s_0 \rangle \Rightarrow \langle \text{THROW NullPointer}, s_1 \rangle$

| *FAccThrow*:  
 $P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle \Longrightarrow$   
 $P, E \vdash \langle e \cdot F \{Cs\}, s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle$

| *FAss*:  
 $\llbracket P, E \vdash \langle e_1, s_0 \rangle \Rightarrow \langle \text{ref } (a, Cs'), s_1 \rangle; P, E \vdash \langle e_2, s_1 \rangle \Rightarrow \langle \text{Val } v, (h_2, l_2) \rangle;$   
 $h_2 a = \text{Some}(D, S); P \vdash (\text{last } Cs') \text{ has least } F:T \text{ via } Cs; P \vdash T \text{ casts } v \text{ to } v';$   
 $Ds = Cs' @_p Cs; (Ds, fs) \in S; fs' = fs(F \mapsto v');$   
 $S' = S - \{(Ds, fs)\} \cup \{(Ds, fs')\}; h_2' = h_2(a \mapsto (D, S')) \rrbracket$   
 $\Longrightarrow P, E \vdash \langle e_1 \cdot F \{Cs\} := e_2, s_0 \rangle \Rightarrow \langle \text{Val } v', (h_2', l_2) \rangle$

| *FAssNull*:  
 $\llbracket P, E \vdash \langle e_1, s_0 \rangle \Rightarrow \langle \text{null}, s_1 \rangle; P, E \vdash \langle e_2, s_1 \rangle \Rightarrow \langle \text{Val } v, s_2 \rangle \rrbracket \Longrightarrow$   
 $P, E \vdash \langle e_1 \cdot F \{Cs\} := e_2, s_0 \rangle \Rightarrow \langle \text{THROW NullPointer}, s_2 \rangle$

| *FAssThrow1*:  
 $P, E \vdash \langle e_1, s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle \Longrightarrow$   
 $P, E \vdash \langle e_1 \cdot F \{Cs\} := e_2, s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle$

| *FAssThrow2*:  

$$\llbracket P, E \vdash \langle e_1, s_0 \rangle \Rightarrow \langle \text{Val } v, s_1 \rangle; P, E \vdash \langle e_2, s_1 \rangle \Rightarrow \langle \text{throw } e', s_2 \rangle \rrbracket$$

$$\Longrightarrow P, E \vdash \langle e_1 \cdot F\{Cs\} := e_2, s_0 \rangle \Rightarrow \langle \text{throw } e', s_2 \rangle$$

| *CallObjThrow*:  

$$P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle \Longrightarrow$$

$$P, E \vdash \langle \text{Call } e \text{ Copt } M \text{ } es, s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle$$

| *CallParamsThrow*:  

$$\llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{Val } v, s_1 \rangle; P, E \vdash \langle es, s_1 \rangle [\Rightarrow] \langle \text{map Val } vs \text{ @ throw } ex \text{ \# } es', s_2 \rangle \rrbracket$$

$$\Longrightarrow P, E \vdash \langle \text{Call } e \text{ Copt } M \text{ } es, s_0 \rangle \Rightarrow \langle \text{throw } ex, s_2 \rangle$$

| *Call*:  

$$\llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{ref } (a, Cs), s_1 \rangle; P, E \vdash \langle ps, s_1 \rangle [\Rightarrow] \langle \text{map Val } vs, (h_2, l_2) \rangle;$$

$$h_2 \ a = \text{Some}(C, S); P \vdash \text{last } Cs \text{ has least } M = (Ts', T', pns', \text{body}') \text{ via } Ds;$$

$$P \vdash (C, Cs @_p Ds) \text{ selects } M = (Ts, T, pns, \text{body}) \text{ via } Cs'; \text{length } vs = \text{length } pns;$$

$$P \vdash Ts \text{ Casts } vs \text{ to } vs'; l_2' = [\text{this} \mapsto \text{Ref } (a, Cs'), pns[\mapsto] vs'];$$

$$\text{new-body} = (\text{case } T' \text{ of Class } D \Rightarrow (D) \text{body} \quad | \text{ - } \Rightarrow \text{body});$$

$$P, E(\text{this} \mapsto \text{Class}(\text{last } Cs'), pns[\mapsto] Ts) \vdash \langle \text{new-body}, (h_2, l_2') \rangle \Rightarrow \langle e', (h_3, l_3) \rangle \rrbracket$$

$$\Longrightarrow P, E \vdash \langle e \cdot M(ps), s_0 \rangle \Rightarrow \langle e', (h_3, l_2) \rangle$$

| *StaticCall*:  

$$\llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{ref } (a, Cs), s_1 \rangle; P, E \vdash \langle ps, s_1 \rangle [\Rightarrow] \langle \text{map Val } vs, (h_2, l_2) \rangle;$$

$$P \vdash \text{Path } (\text{last } Cs) \text{ to } C \text{ unique}; P \vdash \text{Path } (\text{last } Cs) \text{ to } C \text{ via } Cs'';$$

$$P \vdash C \text{ has least } M = (Ts, T, pns, \text{body}) \text{ via } Cs'; Ds = (Cs @_p Cs'') @_p Cs';$$

$$\text{length } vs = \text{length } pns; P \vdash Ts \text{ Casts } vs \text{ to } vs';$$

$$l_2' = [\text{this} \mapsto \text{Ref } (a, Ds), pns[\mapsto] vs'];$$

$$P, E(\text{this} \mapsto \text{Class}(\text{last } Ds), pns[\mapsto] Ts) \vdash \langle \text{body}, (h_2, l_2') \rangle \Rightarrow \langle e', (h_3, l_3) \rangle \rrbracket$$

$$\Longrightarrow P, E \vdash \langle e \cdot (C ::) M(ps), s_0 \rangle \Rightarrow \langle e', (h_3, l_2) \rangle$$

| *CallNull*:  

$$\llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{null}, s_1 \rangle; P, E \vdash \langle es, s_1 \rangle [\Rightarrow] \langle \text{map Val } vs, s_2 \rangle \rrbracket$$

$$\Longrightarrow P, E \vdash \langle \text{Call } e \text{ Copt } M \text{ } es, s_0 \rangle \Rightarrow \langle \text{THROW } \text{NullPointer}, s_2 \rangle$$

| *Block*:  

$$\llbracket P, E(V \mapsto T) \vdash \langle e_0, (h_0, l_0(V := \text{None})) \rangle \Rightarrow \langle e_1, (h_1, l_1) \rangle \rrbracket \Longrightarrow$$

$$P, E \vdash \langle \{V : T; e_0\}, (h_0, l_0) \rangle \Rightarrow \langle e_1, (h_1, l_1(V := l_0 \ V)) \rangle$$

| *Seq*:  

$$\llbracket P, E \vdash \langle e_0, s_0 \rangle \Rightarrow \langle \text{Val } v, s_1 \rangle; P, E \vdash \langle e_1, s_1 \rangle \Rightarrow \langle e_2, s_2 \rangle \rrbracket$$

$$\Longrightarrow P, E \vdash \langle e_0;; e_1, s_0 \rangle \Rightarrow \langle e_2, s_2 \rangle$$

| *SeqThrow*:  

$$P, E \vdash \langle e_0, s_0 \rangle \Rightarrow \langle \text{throw } e, s_1 \rangle \Longrightarrow$$

$$P, E \vdash \langle e_0;; e_1, s_0 \rangle \Rightarrow \langle \text{throw } e, s_1 \rangle$$



| *CondT*:  

$$\begin{aligned} & \llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle true, s_1 \rangle; P, E \vdash \langle e_1, s_1 \rangle \Rightarrow \langle e', s_2 \rangle \rrbracket \\ & \Longrightarrow P, E \vdash \langle if (e) e_1 else e_2, s_0 \rangle \Rightarrow \langle e', s_2 \rangle \end{aligned}$$

| *CondF*:  

$$\begin{aligned} & \llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle false, s_1 \rangle; P, E \vdash \langle e_2, s_1 \rangle \Rightarrow \langle e', s_2 \rangle \rrbracket \\ & \Longrightarrow P, E \vdash \langle if (e) e_1 else e_2, s_0 \rangle \Rightarrow \langle e', s_2 \rangle \end{aligned}$$

| *CondThrow*:  

$$\begin{aligned} & P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle throw e', s_1 \rangle \Longrightarrow \\ & P, E \vdash \langle if (e) e_1 else e_2, s_0 \rangle \Rightarrow \langle throw e', s_1 \rangle \end{aligned}$$

| *WhileF*:  

$$\begin{aligned} & P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle false, s_1 \rangle \Longrightarrow \\ & P, E \vdash \langle while (e) c, s_0 \rangle \Rightarrow \langle unit, s_1 \rangle \end{aligned}$$

| *WhileT*:  

$$\begin{aligned} & \llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle true, s_1 \rangle; P, E \vdash \langle c, s_1 \rangle \Rightarrow \langle Val v_1, s_2 \rangle; \\ & \quad P, E \vdash \langle while (e) c, s_2 \rangle \Rightarrow \langle e_3, s_3 \rangle \rrbracket \\ & \Longrightarrow P, E \vdash \langle while (e) c, s_0 \rangle \Rightarrow \langle e_3, s_3 \rangle \end{aligned}$$

| *WhileCondThrow*:  

$$\begin{aligned} & P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle throw e', s_1 \rangle \Longrightarrow \\ & P, E \vdash \langle while (e) c, s_0 \rangle \Rightarrow \langle throw e', s_1 \rangle \end{aligned}$$

| *WhileBodyThrow*:  

$$\begin{aligned} & \llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle true, s_1 \rangle; P, E \vdash \langle c, s_1 \rangle \Rightarrow \langle throw e', s_2 \rangle \rrbracket \\ & \Longrightarrow P, E \vdash \langle while (e) c, s_0 \rangle \Rightarrow \langle throw e', s_2 \rangle \end{aligned}$$

| *Throw*:  

$$\begin{aligned} & P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle ref r, s_1 \rangle \Longrightarrow \\ & P, E \vdash \langle throw e, s_0 \rangle \Rightarrow \langle Throw r, s_1 \rangle \end{aligned}$$

| *ThrowNull*:  

$$\begin{aligned} & P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle null, s_1 \rangle \Longrightarrow \\ & P, E \vdash \langle throw e, s_0 \rangle \Rightarrow \langle THROW NullPointer, s_1 \rangle \end{aligned}$$

| *ThrowThrow*:  

$$\begin{aligned} & P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle throw e', s_1 \rangle \Longrightarrow \\ & P, E \vdash \langle throw e, s_0 \rangle \Rightarrow \langle throw e', s_1 \rangle \end{aligned}$$

| *Nil*:  

$$P, E \vdash \langle [], s \rangle [\Rightarrow] \langle [], s \rangle$$

| *Cons*:  

$$\begin{aligned} & \llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle Val v, s_1 \rangle; P, E \vdash \langle es, s_1 \rangle [\Rightarrow] \langle es', s_2 \rangle \rrbracket \\ & \Longrightarrow P, E \vdash \langle e \# es, s_0 \rangle [\Rightarrow] \langle Val v \# es', s_2 \rangle \end{aligned}$$

| *ConsThrow*:

$$P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle \Longrightarrow$$

$$P, E \vdash \langle e \# es, s_0 \rangle [\Rightarrow] \langle \text{throw } e' \# es, s_1 \rangle$$

**lemmas** *eval-vals-induct* = *eval-vals.induct* [*split-format* (*complete*)]  
**and** *eval-vals-inducts* = *eval-vals.inducts* [*split-format* (*complete*)]

**inductive-cases** *eval-cases* [*cases set*]:

$$P, E \vdash \langle \text{new } C, s \rangle \Rightarrow \langle e', s' \rangle$$

$$P, E \vdash \langle \text{Cast } C \ e, s \rangle \Rightarrow \langle e', s' \rangle$$

$$P, E \vdash \langle \langle C \rangle e, s \rangle \Rightarrow \langle e', s' \rangle$$

$$P, E \vdash \langle \text{Val } v, s \rangle \Rightarrow \langle e', s' \rangle$$

$$P, E \vdash \langle e_1 \ \langle\langle \text{bop} \rangle\rangle \ e_2, s \rangle \Rightarrow \langle e', s' \rangle$$

$$P, E \vdash \langle \text{Var } V, s \rangle \Rightarrow \langle e', s' \rangle$$

$$P, E \vdash \langle V := e, s \rangle \Rightarrow \langle e', s' \rangle$$

$$P, E \vdash \langle e \cdot F \{Cs\}, s \rangle \Rightarrow \langle e', s' \rangle$$

$$P, E \vdash \langle e_1 \cdot F \{Cs\} := e_2, s \rangle \Rightarrow \langle e', s' \rangle$$

$$P, E \vdash \langle e \cdot M(es), s \rangle \Rightarrow \langle e', s' \rangle$$

$$P, E \vdash \langle e \cdot (C ::) M(es), s \rangle \Rightarrow \langle e', s' \rangle$$

$$P, E \vdash \langle \{ V : T ; e_1 \}, s \rangle \Rightarrow \langle e', s' \rangle$$

$$P, E \vdash \langle e_1 ;; e_2, s \rangle \Rightarrow \langle e', s' \rangle$$

$$P, E \vdash \langle \text{if } (e) \ e_1 \ \text{else } e_2, s \rangle \Rightarrow \langle e', s' \rangle$$

$$P, E \vdash \langle \text{while } (b) \ c, s \rangle \Rightarrow \langle e', s' \rangle$$

$$P, E \vdash \langle \text{throw } e, s \rangle \Rightarrow \langle e', s' \rangle$$

**inductive-cases** *evals-cases* [*cases set*]:

$$P, E \vdash \langle [], s \rangle [\Rightarrow] \langle e', s' \rangle$$

$$P, E \vdash \langle e \# es, s \rangle [\Rightarrow] \langle e', s' \rangle$$

## 12.2 Final expressions

**definition** *final* :: *expr*  $\Rightarrow$  *bool* **where**

$$\text{final } e \equiv (\exists v. e = \text{Val } v) \vee (\exists r. e = \text{Throw } r)$$

**definition** *finals*:: *expr list*  $\Rightarrow$  *bool* **where**

$$\text{finals } es \equiv (\exists vs. es = \text{map Val } vs) \vee (\exists vs \ r \ es'. es = \text{map Val } vs \ @ \ \text{Throw } r \ \# \ es')$$

**lemma** [*simp*]: *final*(*Val* *v*)

$\langle \text{proof} \rangle$

**lemma** [*simp*]: *final*(*throw* *e*) =  $(\exists r. e = \text{ref } r)$

$\langle \text{proof} \rangle$

**lemma** *finalE*:  $\llbracket \text{final } e; \bigwedge v. e = \text{Val } v \Longrightarrow Q; \bigwedge r. e = \text{Throw } r \Longrightarrow Q \rrbracket \Longrightarrow Q$

$\langle \text{proof} \rangle$

**lemma** [*iff*]: *finals* []

$\langle \text{proof} \rangle$

**lemma** [iff]:  $\text{finals } (\text{Val } v \# es) = \text{finals } es$

$\langle \text{proof} \rangle$

**lemma** *finals-app-map*[iff]:  $\text{finals } (\text{map Val } vs @ es) = \text{finals } es$

$\langle \text{proof} \rangle$

**lemma** [iff]:  $\text{finals } (\text{map Val } vs)$

$\langle \text{proof} \rangle$

**lemma** [iff]:  $\text{finals } (\text{throw } e \# es) = (\exists r. e = \text{ref } r)$

$\langle \text{proof} \rangle$

**lemma** *not-finals-ConsI*:  $\neg \text{final } e \implies \neg \text{finals}(e \# es)$

$\langle \text{proof} \rangle$

**lemma** *eval-final*:  $P, E \vdash \langle e, s \rangle \Rightarrow \langle e', s' \rangle \implies \text{final } e'$

**and** *evals-final*:  $P, E \vdash \langle es, s \rangle [\Rightarrow] \langle es', s' \rangle \implies \text{finals } es'$

$\langle \text{proof} \rangle$

**lemma** *eval-lcl-incr*:  $P, E \vdash \langle e, (h_0, l_0) \rangle \Rightarrow \langle e', (h_1, l_1) \rangle \implies \text{dom } l_0 \subseteq \text{dom } l_1$

**and** *evals-lcl-incr*:  $P, E \vdash \langle es, (h_0, l_0) \rangle [\Rightarrow] \langle es', (h_1, l_1) \rangle \implies \text{dom } l_0 \subseteq \text{dom } l_1$

$\langle \text{proof} \rangle$

Only used later, in the small to big translation, but is already a good sanity check:

**lemma** *eval-finalId*:  $\text{final } e \implies P, E \vdash \langle e, s \rangle \Rightarrow \langle e, s \rangle$

$\langle \text{proof} \rangle$

**lemma** *eval-finalsId*:

**assumes** *finals*:  $\text{finals } es$  **shows**  $P, E \vdash \langle es, s \rangle [\Rightarrow] \langle es, s \rangle$

$\langle \text{proof} \rangle$

**lemma**

*eval-preserves-obj*:  $P, E \vdash \langle e, (h, l) \rangle \Rightarrow \langle e', (h', l') \rangle \implies (\bigwedge S. h \ a = \text{Some}(D, S))$

$\implies \exists S'. h' \ a = \text{Some}(D, S')$

**and** *evals-preserves-obj*:  $P, E \vdash \langle es, (h, l) \rangle [\Rightarrow] \langle es', (h', l') \rangle$

$\implies (\bigwedge S. h \ a = \text{Some}(D, S) \implies \exists S'. h' \ a = \text{Some}(D, S'))$

$\langle \text{proof} \rangle$

end

## 13 Small Step Semantics

theory *SmallStep* imports *Syntax State* begin

### 13.1 Some pre-definitions

fun *blocks* :: *vname list*  $\times$  *ty list*  $\times$  *val list*  $\times$  *expr*  $\Rightarrow$  *expr*

where

*blocks-Cons*:  $\text{blocks}(V \# Vs, T \# Ts, v \# vs, e) = \{V:T := \text{Val } v; \text{blocks}(Vs, Ts, vs, e)\}$   
|  
*blocks-Nil*:  $\text{blocks}([], [], [], e) = e$

lemma *blocks-old-induct*:

fixes *P* :: *vname list*  $\Rightarrow$  *ty list*  $\Rightarrow$  *val list*  $\Rightarrow$  *expr*  $\Rightarrow$  *bool*

shows

$\llbracket \bigwedge aj \ ak \ al. P \ [] \ [] \ (aj \ \# \ ak) \ al; \bigwedge ad \ ae \ a \ b. P \ [] \ (ad \ \# \ ae) \ a \ b;$   
 $\bigwedge V \ Vs \ a \ b. P \ (V \ \# \ Vs) \ [] \ a \ b; \bigwedge V \ Vs \ T \ Ts \ aw. P \ (V \ \# \ Vs) \ (T \ \# \ Ts) \ [] \ aw;$   
 $\bigwedge V \ Vs \ T \ Ts \ v \ vs \ e. P \ Vs \ Ts \ vs \ e \Longrightarrow P \ (V \ \# \ Vs) \ (T \ \# \ Ts) \ (v \ \# \ vs) \ e; \bigwedge e. P$   
 $\ [] \ [] \ [] \ e \rrbracket$   
 $\Longrightarrow P \ u \ v \ w \ x$   
*<proof>*

lemma [*simp*]:

$\llbracket \text{size } vs = \text{size } Vs; \text{size } Ts = \text{size } Vs \rrbracket \Longrightarrow \text{fv}(\text{blocks}(Vs, Ts, vs, e)) = \text{fv } e - \text{set } Vs$   
*<proof>*

definition *assigned* :: *vname*  $\Rightarrow$  *expr*  $\Rightarrow$  *bool* where

*assigned* *V e*  $\equiv \exists v \ e'. e = (V := \text{Val } v; e')$

### 13.2 The rules

inductive-set

*red* :: *prog*  $\Rightarrow$  (*env*  $\times$  (*expr*  $\times$  *state*)  $\times$  (*expr*  $\times$  *state*)) *set*

and *reds* :: *prog*  $\Rightarrow$  (*env*  $\times$  (*expr list*  $\times$  *state*)  $\times$  (*expr list*  $\times$  *state*)) *set*

and *red'* :: *prog*  $\Rightarrow$  *env*  $\Rightarrow$  *expr*  $\Rightarrow$  *state*  $\Rightarrow$  *expr*  $\Rightarrow$  *state*  $\Rightarrow$  *bool*

$(-, - \vdash ((1 \langle -, / - \rangle) \rightarrow / (1 \langle -, / - \rangle))) [51, 0, 0, 0, 0] \ 81$

and *reds'* :: *prog*  $\Rightarrow$  *env*  $\Rightarrow$  *expr list*  $\Rightarrow$  *state*  $\Rightarrow$  *expr list*  $\Rightarrow$  *state*  $\Rightarrow$  *bool*

$(-, - \vdash ((1 \langle -, / - \rangle) [\rightarrow] / (1 \langle -, / - \rangle))) [51, 0, 0, 0, 0] \ 81$

for *P* :: *prog*

where

$P, E \vdash \langle e, s \rangle \rightarrow \langle e', s' \rangle \equiv (E, (e, s), e', s') \in \text{red } P$   
|  $P, E \vdash \langle es, s \rangle [\rightarrow] \langle es', s' \rangle \equiv (E, (es, s), es', s') \in \text{reds } P$

| *RedNew*:  
 $\llbracket \text{new-Addr } h = \text{Some } a; h' = h(a \mapsto (C, \text{Collect } (\text{init-obj } P \ C))) \rrbracket$   
 $\implies P, E \vdash \langle \text{new } C, (h, l) \rangle \rightarrow \langle \text{ref } (a, [C]), (h', l) \rangle$

| *RedNewFail*:  
 $\text{new-Addr } h = \text{None} \implies$   
 $P, E \vdash \langle \text{new } C, (h, l) \rangle \rightarrow \langle \text{THROW OutOfMemory}, (h, l) \rangle$

| *StaticCastRed*:  
 $P, E \vdash \langle e, s \rangle \rightarrow \langle e', s' \rangle \implies$   
 $P, E \vdash \langle ([C])e, s \rangle \rightarrow \langle ([C])e', s' \rangle$

| *RedStaticCastNull*:  
 $P, E \vdash \langle ([C])\text{null}, s \rangle \rightarrow \langle \text{null}, s \rangle$

| *RedStaticUpCast*:  
 $\llbracket P \vdash \text{Path last } Cs \text{ to } C \text{ via } Cs'; Ds = Cs@_p Cs' \rrbracket$   
 $\implies P, E \vdash \langle ([C])(\text{ref } (a, Cs)), s \rangle \rightarrow \langle \text{ref } (a, Ds), s \rangle$

| *RedStaticDownCast*:  
 $P, E \vdash \langle ([C])(\text{ref } (a, Cs@[C]@Cs')), s \rangle \rightarrow \langle \text{ref } (a, Cs@[C]), s \rangle$

| *RedStaticCastFail*:  
 $\llbracket C \notin \text{set } Cs; \neg P \vdash (\text{last } Cs) \preceq^* C \rrbracket$   
 $\implies P, E \vdash \langle ([C])(\text{ref } (a, Cs)), s \rangle \rightarrow \langle \text{THROW ClassCast}, s \rangle$

| *DynCastRed*:  
 $P, E \vdash \langle e, s \rangle \rightarrow \langle e', s' \rangle \implies$   
 $P, E \vdash \langle \text{Cast } C \ e, s \rangle \rightarrow \langle \text{Cast } C \ e', s' \rangle$

| *RedDynCastNull*:  
 $P, E \vdash \langle \text{Cast } C \ \text{null}, s \rangle \rightarrow \langle \text{null}, s \rangle$

| *RedStaticUpDynCast*:  
 $\llbracket P \vdash \text{Path last } Cs \text{ to } C \text{ unique}; P \vdash \text{Path last } Cs \text{ to } C \text{ via } Cs'; Ds = Cs@_p Cs' \rrbracket$   
 $\implies P, E \vdash \langle \text{Cast } C \ (\text{ref } (a, Cs)), s \rangle \rightarrow \langle \text{ref } (a, Ds), s \rangle$

| *RedStaticDownDynCast*:  
 $P, E \vdash \langle \text{Cast } C \ (\text{ref } (a, Cs@[C]@Cs')), s \rangle \rightarrow \langle \text{ref } (a, Cs@[C]), s \rangle$

| *RedDynCast*:  
 $\llbracket hp \ s \ a = \text{Some}(D, S); P \vdash \text{Path } D \text{ to } C \text{ via } Cs';$   
 $\quad P \vdash \text{Path } D \text{ to } C \text{ unique} \rrbracket$   
 $\implies P, E \vdash \langle \text{Cast } C \ (\text{ref } (a, Cs)), s \rangle \rightarrow \langle \text{ref } (a, Cs'), s \rangle$

| *RedDynCastFail*:  
 $\llbracket hp \ s \ a = \text{Some}(D, S); \neg P \vdash \text{Path } D \text{ to } C \text{ unique};$   
 $\quad \neg P \vdash \text{Path last } Cs \text{ to } C \text{ unique}; C \notin \text{set } Cs \rrbracket$   
 $\implies P, E \vdash \langle \text{Cast } C \ (\text{ref } (a, Cs)), s \rangle \rightarrow \langle \text{null}, s \rangle$

| *BinOpRed1*:  
 $P, E \vdash \langle e, s \rangle \rightarrow \langle e', s' \rangle \implies$   
 $P, E \vdash \langle e \text{ «bop» } e_2, s \rangle \rightarrow \langle e' \text{ «bop» } e_2, s' \rangle$

| *BinOpRed2*:  
 $P, E \vdash \langle e, s \rangle \rightarrow \langle e', s' \rangle \implies$   
 $P, E \vdash \langle (\text{Val } v_1) \text{ «bop» } e, s \rangle \rightarrow \langle (\text{Val } v_1) \text{ «bop» } e', s' \rangle$

| *RedBinOp*:  
 $\text{binop}(bop, v_1, v_2) = \text{Some } v \implies$   
 $P, E \vdash \langle (\text{Val } v_1) \text{ «bop» } (\text{Val } v_2), s \rangle \rightarrow \langle \text{Val } v, s \rangle$

| *RedVar*:  
 $\text{lcl } s \ V = \text{Some } v \implies$   
 $P, E \vdash \langle \text{Var } V, s \rangle \rightarrow \langle \text{Val } v, s \rangle$

| *LAssRed*:  
 $P, E \vdash \langle e, s \rangle \rightarrow \langle e', s' \rangle \implies$   
 $P, E \vdash \langle V := e, s \rangle \rightarrow \langle V := e', s' \rangle$

| *RedLAss*:  
 $\llbracket E \ V = \text{Some } T; P \vdash T \text{ casts } v \text{ to } v' \rrbracket \implies$   
 $P, E \vdash \langle V := (\text{Val } v), (h, l) \rangle \rightarrow \langle \text{Val } v', (h, l(V \mapsto v')) \rangle$

| *FAccRed*:  
 $P, E \vdash \langle e, s \rangle \rightarrow \langle e', s' \rangle \implies$   
 $P, E \vdash \langle e \cdot F\{Cs\}, s \rangle \rightarrow \langle e' \cdot F\{Cs\}, s' \rangle$

| *RedFAcc*:  
 $\llbracket hp \ s \ a = \text{Some}(D, S); Ds = Cs' @_p Cs; (Ds, fs) \in S; fs \ F = \text{Some } v \rrbracket$   
 $\implies P, E \vdash \langle (\text{ref } (a, Cs')) \cdot F\{Cs\}, s \rangle \rightarrow \langle \text{Val } v, s \rangle$

| *RedFAccNull*:  
 $P, E \vdash \langle \text{null} \cdot F\{Cs\}, s \rangle \rightarrow \langle \text{THROW } \text{NullPointer}, s \rangle$

| *FAssRed1*:  
 $P, E \vdash \langle e, s \rangle \rightarrow \langle e', s' \rangle \implies$   
 $P, E \vdash \langle e \cdot F\{Cs\} := e_2, s \rangle \rightarrow \langle e' \cdot F\{Cs\} := e_2, s' \rangle$

| *FAssRed2*:  
 $P, E \vdash \langle e, s \rangle \rightarrow \langle e', s' \rangle \implies$   
 $P, E \vdash \langle \text{Val } v \cdot F\{Cs\} := e, s \rangle \rightarrow \langle \text{Val } v \cdot F\{Cs\} := e', s' \rangle$

| *RedFAss*:  
 $\llbracket h \ a = \text{Some}(D, S); P \vdash (\text{last } Cs') \text{ has least } F:T \text{ via } Cs;$   
 $P \vdash T \text{ casts } v \text{ to } v'; Ds = Cs' @_p Cs; (Ds, fs) \in S \rrbracket \implies$   
 $P, E \vdash \langle (\text{ref } (a, Cs')) \cdot F\{Cs\} := (\text{Val } v), (h, l) \rangle \rightarrow \langle \text{Val } v', (h(a \mapsto (D, \text{insert } (Ds, fs(F \mapsto v'))$   
 $(S - \{(Ds, fs)\}))), l) \rangle$

| *RedFAssNull*:  
 $P, E \vdash \langle \text{null} \cdot F\{Cs\} := \text{Val } v, s \rangle \rightarrow \langle \text{THROW } \text{NullPointer}, s \rangle$

| *CallObj*:  
 $P, E \vdash \langle e, s \rangle \rightarrow \langle e', s' \rangle \implies$   
 $P, E \vdash \langle \text{Call } e \text{ Copt } M \text{ } es, s \rangle \rightarrow \langle \text{Call } e' \text{ Copt } M \text{ } es, s' \rangle$

| *CallParams*:  
 $P, E \vdash \langle es, s \rangle [\dashv] \langle es', s' \rangle \implies$   
 $P, E \vdash \langle \text{Call } (\text{Val } v) \text{ Copt } M \text{ } es, s \rangle \rightarrow \langle \text{Call } (\text{Val } v) \text{ Copt } M \text{ } es', s' \rangle$

| *RedCall*:  
 $\llbracket \text{hp } s \text{ } a = \text{Some}(C, S); P \vdash \text{last } Cs \text{ has least } M = (Ts', T', pns', \text{body}') \text{ via } Ds;$   
 $P \vdash (C, Cs @_p Ds) \text{ selects } M = (Ts, T, pns, \text{body}) \text{ via } Cs';$   
 $\text{size } vs = \text{size } pns; \text{size } Ts = \text{size } pns;$   
 $bs = \text{blocks}(\text{this} \# pns, \text{Class}(\text{last } Cs') \# Ts, \text{Ref}(a, Cs') \# vs, \text{body});$   
 $\text{new-body} = (\text{case } T' \text{ of Class } D \Rightarrow \langle D \rangle bs \mid - \Rightarrow bs) \rrbracket$   
 $\implies P, E \vdash \langle (\text{ref } (a, Cs)) \cdot M(\text{map } \text{Val } vs), s \rangle \rightarrow \langle \text{new-body}, s \rangle$

| *RedStaticCall*:  
 $\llbracket P \vdash \text{Path } (\text{last } Cs) \text{ to } C \text{ unique}; P \vdash \text{Path } (\text{last } Cs) \text{ to } C \text{ via } Cs'';$   
 $P \vdash C \text{ has least } M = (Ts, T, pns, \text{body}) \text{ via } Cs'; Ds = (Cs @_p Cs'') @_p Cs';$   
 $\text{size } vs = \text{size } pns; \text{size } Ts = \text{size } pns \rrbracket$   
 $\implies P, E \vdash \langle (\text{ref } (a, Cs)) \cdot (C ::) M(\text{map } \text{Val } vs), s \rangle \rightarrow$   
 $\langle \text{blocks}(\text{this} \# pns, \text{Class}(\text{last } Ds) \# Ts, \text{Ref}(a, Ds) \# vs, \text{body}), s \rangle$

| *RedCallNull*:  
 $P, E \vdash \langle \text{Call } \text{null} \text{ Copt } M \text{ } (\text{map } \text{Val } vs), s \rangle \rightarrow \langle \text{THROW } \text{NullPointer}, s \rangle$

| *BlockRedNone*:  
 $\llbracket P, E(V \mapsto T) \vdash \langle e, (h, l(V := \text{None})) \rangle \rightarrow \langle e', (h', l') \rangle; l' V = \text{None}; \neg \text{assigned}$   
 $V e \rrbracket$   
 $\implies P, E \vdash \langle \{V:T; e\}, (h, l) \rangle \rightarrow \langle \{V:T; e'\}, (h', l'(V := l V)) \rangle$

| *BlockRedSome*:  
 $\llbracket P, E(V \mapsto T) \vdash \langle e, (h, l(V := \text{None})) \rangle \rightarrow \langle e', (h', l') \rangle; l' V = \text{Some } v;$   
 $\neg \text{assigned } V e \rrbracket$   
 $\implies P, E \vdash \langle \{V:T; e\}, (h, l) \rangle \rightarrow \langle \{V:T := \text{Val } v; e'\}, (h', l'(V := l V)) \rangle$

| *InitBlockRed*:  
 $\llbracket P, E(V \mapsto T) \vdash \langle e, (h, l(V \mapsto v')) \rangle \rightarrow \langle e', (h', l') \rangle; l' V = \text{Some } v'';$   
 $P \vdash T \text{ casts } v \text{ to } v' \rrbracket$   
 $\implies P, E \vdash \langle \{V:T := \text{Val } v; e\}, (h, l) \rangle \rightarrow \langle \{V:T := \text{Val } v''; e'\}, (h', l'(V := l V)) \rangle$

| *RedBlock*:  
 $P, E \vdash \langle \{V:T; \text{Val } u\}, s \rangle \rightarrow \langle \text{Val } u, s \rangle$

| *RedInitBlock*:  
 $P \vdash T \text{ casts } v \text{ to } v' \implies P, E \vdash \langle \{V:T := \text{Val } v; \text{Val } u\}, s \rangle \rightarrow \langle \text{Val } u, s \rangle$

| *SeqRed*:  
 $P, E \vdash \langle e, s \rangle \rightarrow \langle e', s' \rangle \implies$   
 $P, E \vdash \langle e; e_2, s \rangle \rightarrow \langle e'; e_2, s' \rangle$

| *RedSeq*:  
 $P, E \vdash \langle (\text{Val } v); e_2, s \rangle \rightarrow \langle e_2, s \rangle$

| *CondRed*:  
 $P, E \vdash \langle e, s \rangle \rightarrow \langle e', s' \rangle \implies$   
 $P, E \vdash \langle \text{if } (e) e_1 \text{ else } e_2, s \rangle \rightarrow \langle \text{if } (e') e_1 \text{ else } e_2, s' \rangle$

| *RedCondT*:  
 $P, E \vdash \langle \text{if } (\text{true}) e_1 \text{ else } e_2, s \rangle \rightarrow \langle e_1, s \rangle$

| *RedCondF*:  
 $P, E \vdash \langle \text{if } (\text{false}) e_1 \text{ else } e_2, s \rangle \rightarrow \langle e_2, s \rangle$

| *RedWhile*:  
 $P, E \vdash \langle \text{while}(b) c, s \rangle \rightarrow \langle \text{if}(b) (c; \text{while}(b) c) \text{ else unit}, s \rangle$

| *ThrowRed*:  
 $P, E \vdash \langle e, s \rangle \rightarrow \langle e', s' \rangle \implies$   
 $P, E \vdash \langle \text{throw } e, s \rangle \rightarrow \langle \text{throw } e', s' \rangle$

| *RedThrowNull*:  
 $P, E \vdash \langle \text{throw null}, s \rangle \rightarrow \langle \text{THROW NullPointer}, s \rangle$

| *ListRed1*:  
 $P, E \vdash \langle e, s \rangle \rightarrow \langle e', s' \rangle \implies$   
 $P, E \vdash \langle e \# es, s \rangle [\rightarrow] \langle e' \# es, s' \rangle$

| *ListRed2*:  
 $P, E \vdash \langle es, s \rangle [\rightarrow] \langle es', s' \rangle \implies$   
 $P, E \vdash \langle \text{Val } v \# es, s \rangle [\rightarrow] \langle \text{Val } v \# es', s' \rangle$

— Exception propagation

| *DynCastThrow*:  $P, E \vdash \langle \text{Cast } C (\text{Throw } r), s \rangle \rightarrow \langle \text{Throw } r, s \rangle$   
| *StaticCastThrow*:  $P, E \vdash \langle \langle \downarrow C \rangle (\text{Throw } r), s \rangle \rightarrow \langle \text{Throw } r, s \rangle$   
| *BinOpThrow1*:  $P, E \vdash \langle (\text{Throw } r) \ll \text{bop} \gg e_2, s \rangle \rightarrow \langle \text{Throw } r, s \rangle$   
| *BinOpThrow2*:  $P, E \vdash \langle (\text{Val } v_1) \ll \text{bop} \gg (\text{Throw } r), s \rangle \rightarrow \langle \text{Throw } r, s \rangle$   
| *LAssThrow*:  $P, E \vdash \langle V := (\text{Throw } r), s \rangle \rightarrow \langle \text{Throw } r, s \rangle$   
| *FAccThrow*:  $P, E \vdash \langle (\text{Throw } r) \cdot F \{Cs\}, s \rangle \rightarrow \langle \text{Throw } r, s \rangle$   
| *FAssThrow1*:  $P, E \vdash \langle (\text{Throw } r) \cdot F \{Cs\} := e_2, s \rangle \rightarrow \langle \text{Throw } r, s \rangle$   
| *FAssThrow2*:  $P, E \vdash \langle \text{Val } v \cdot F \{Cs\} := (\text{Throw } r), s \rangle \rightarrow \langle \text{Throw } r, s \rangle$   
| *CallThrowObj*:  $P, E \vdash \langle \text{Call } (\text{Throw } r) \text{ Copt } M \text{ es}, s \rangle \rightarrow \langle \text{Throw } r, s \rangle$



| *CallThrowParams*:  $\llbracket es = \text{map Val vs } @ \text{ Throw } r \# es' \rrbracket$   
 $\implies P, E \vdash \langle \text{Call (Val } v) \text{ Copt } M \text{ es, } s \rangle \rightarrow \langle \text{Throw } r, s \rangle$   
 | *BlockThrow*:  $P, E \vdash \langle \{V:T; \text{Throw } r\}, s \rangle \rightarrow \langle \text{Throw } r, s \rangle$   
 | *InitBlockThrow*:  $P \vdash T \text{ casts } v \text{ to } v'$   
 $\implies P, E \vdash \langle \{V:T := \text{Val } v; \text{Throw } r\}, s \rangle \rightarrow \langle \text{Throw } r, s \rangle$   
 | *SeqThrow*:  $P, E \vdash \langle (\text{Throw } r);; e_2, s \rangle \rightarrow \langle \text{Throw } r, s \rangle$   
 | *CondThrow*:  $P, E \vdash \langle \text{if } (\text{Throw } r) \text{ } e_1 \text{ else } e_2, s \rangle \rightarrow \langle \text{Throw } r, s \rangle$   
 | *ThrowThrow*:  $P, E \vdash \langle \text{throw}(\text{Throw } r), s \rangle \rightarrow \langle \text{Throw } r, s \rangle$

**lemmas** *red-reds-induct* = *red-reds.induct* [*split-format (complete)*]  
**and** *red-reds-inducts* = *red-reds.inducts* [*split-format (complete)*]

**inductive-cases** [*elim!*]:

$P, E \vdash \langle V:=e, s \rangle \rightarrow \langle e', s' \rangle$   
 $P, E \vdash \langle e1;;e2, s \rangle \rightarrow \langle e', s' \rangle$

**declare** *Cons-eq-map-conv* [*iff*]

**lemma**  $P, E \vdash \langle e, s \rangle \rightarrow \langle e', s' \rangle \implies \text{True}$

**and** *reds-length*:  $P, E \vdash \langle es, s \rangle [\rightarrow] \langle es', s' \rangle \implies \text{length } es = \text{length } es'$   
 $\langle \text{proof} \rangle$

### 13.3 The reflexive transitive closure

**definition** *Red* :: *prog*  $\Rightarrow$  *env*  $\Rightarrow$   $((\text{expr} \times \text{state}) \times (\text{expr} \times \text{state})) \text{ set}$   
**where** *Red*  $P E = \{((e, s), e', s'). P, E \vdash \langle e, s \rangle \rightarrow \langle e', s' \rangle\}$

**definition** *Reds* :: *prog*  $\Rightarrow$  *env*  $\Rightarrow$   $((\text{expr list} \times \text{state}) \times (\text{expr list} \times \text{state})) \text{ set}$   
**where** *Reds*  $P E = \{((es, s), es', s'). P, E \vdash \langle es, s \rangle [\rightarrow] \langle es', s' \rangle\}$

**lemma**[*simp*]:  $((e, s), e', s') \in \text{Red } P E = P, E \vdash \langle e, s \rangle \rightarrow \langle e', s' \rangle$   
 $\langle \text{proof} \rangle$

**lemma**[*simp*]:  $((es, s), es', s') \in \text{Reds } P E = P, E \vdash \langle es, s \rangle [\rightarrow] \langle es', s' \rangle$   
 $\langle \text{proof} \rangle$

**abbreviation**

*Step* :: *prog*  $\Rightarrow$  *env*  $\Rightarrow$  *expr*  $\Rightarrow$  *state*  $\Rightarrow$  *expr*  $\Rightarrow$  *state*  $\Rightarrow$  *bool*  
 $(-, - \vdash ((1 \langle -, / - \rangle) \rightarrow^* / (1 \langle -, / - \rangle))) [51, 0, 0, 0, 0] \text{ 81}$  **where**  
 $P, E \vdash \langle e, s \rangle \rightarrow^* \langle e', s' \rangle \equiv ((e, s), e', s') \in (\text{Red } P E)^*$

**abbreviation**

*Steps* :: *prog*  $\Rightarrow$  *env*  $\Rightarrow$  *expr list*  $\Rightarrow$  *state*  $\Rightarrow$  *expr list*  $\Rightarrow$  *state*  $\Rightarrow$  *bool*  
 $(-, - \vdash ((1 \langle -, / - \rangle) [\rightarrow]^* / (1 \langle -, / - \rangle))) [51, 0, 0, 0, 0] \text{ 81}$  **where**  
 $P, E \vdash \langle es, s \rangle [\rightarrow]^* \langle es', s' \rangle \equiv ((es, s), es', s') \in (\text{Reds } P E)^*$

**lemma** *converse-rtrancl-induct-red*[consumes 1]:

**assumes**  $P, E \vdash \langle e, (h, l) \rangle \rightarrow^* \langle e', (h', l') \rangle$

**and**  $\bigwedge e h l. R e h l e h l$

**and**  $\bigwedge e_0 h_0 l_0 e_1 h_1 l_1 e' h' l'.$

$\llbracket P, E \vdash \langle e_0, (h_0, l_0) \rangle \rightarrow \langle e_1, (h_1, l_1) \rangle; R e_1 h_1 l_1 e' h' l' \rrbracket \implies R e_0 h_0 l_0 e' h' l'$

**shows**  $R e h l e' h' l'$

*<proof>*

**lemma** *steps-length*:  $P, E \vdash \langle es, s \rangle [\rightarrow]^* \langle es', s' \rangle \implies \text{length } es = \text{length } es'$

*<proof>*

### 13.4 Some easy lemmas

**lemma** [*iff*]:  $\neg P, E \vdash \langle [], s \rangle [\rightarrow] \langle es', s' \rangle$

*<proof>*

**lemma** [*iff*]:  $\neg P, E \vdash \langle \text{Val } v, s \rangle \rightarrow \langle e', s' \rangle$

*<proof>*

**lemma** [*iff*]:  $\neg P, E \vdash \langle \text{Throw } r, s \rangle \rightarrow \langle e', s' \rangle$

*<proof>*

**lemma** *red-lcl-incr*:  $P, E \vdash \langle e, (h_0, l_0) \rangle \rightarrow \langle e', (h_1, l_1) \rangle \implies \text{dom } l_0 \subseteq \text{dom } l_1$

**and**  $P, E \vdash \langle es, (h_0, l_0) \rangle [\rightarrow] \langle es', (h_1, l_1) \rangle \implies \text{dom } l_0 \subseteq \text{dom } l_1$

*<proof>*

**lemma** *red-lcl-add*:  $P, E \vdash \langle e, (h, l) \rangle \rightarrow \langle e', (h', l') \rangle \implies (\bigwedge l_0. P, E \vdash \langle e, (h, l_0++l) \rangle \rightarrow \langle e', (h', l_0++l') \rangle)$

**and**  $P, E \vdash \langle es, (h, l) \rangle [\rightarrow] \langle es', (h', l') \rangle \implies (\bigwedge l_0. P, E \vdash \langle es, (h, l_0++l) \rangle [\rightarrow] \langle es', (h', l_0++l') \rangle)$

*<proof>*

**lemma** *Red-lcl-add*:

**assumes**  $P, E \vdash \langle e, (h, l) \rangle \rightarrow^* \langle e', (h', l') \rangle$  **shows**  $P, E \vdash \langle e, (h, l_0++l) \rangle \rightarrow^* \langle e', (h', l_0++l') \rangle$

*<proof>*

**lemma**

*red-preserves-obj*:  $\llbracket P, E \vdash \langle e, (h, l) \rangle \rightarrow \langle e', (h', l') \rangle; h a = \text{Some}(D, S) \rrbracket$

$\implies \exists S'. h' a = \text{Some}(D, S')$   
**and** *reds-preserves-obj*:  $\llbracket P, E \vdash \langle es, (h, l) \rangle \mapsto \langle es', (h', l') \rangle; h a = \text{Some}(D, S) \rrbracket$   
 $\implies \exists S'. h' a = \text{Some}(D, S')$   
 <proof>  
**end**

## 14 System Classes

**theory** *SystemClasses* **imports** *Exceptions* **begin**

This theory provides definitions for the system exceptions.

**definition** *NullPointerC* :: *cdecl* **where**  
*NullPointerC*  $\equiv$  (*NullPointer*, ([], [], []))

**definition** *ClassCastC* :: *cdecl* **where**  
*ClassCastC*  $\equiv$  (*ClassCast*, ([], [], []))

**definition** *OutOfMemoryC* :: *cdecl* **where**  
*OutOfMemoryC*  $\equiv$  (*OutOfMemory*, ([], [], []))

**definition** *SystemClasses* :: *cdecl list* **where**  
*SystemClasses*  $\equiv$  [*NullPointerC*, *ClassCastC*, *OutOfMemoryC*]

**end**

## 15 The subtype relation

**theory** *TypeRel* **imports** *SubObj* **begin**

**inductive**

*widen* :: *prog*  $\Rightarrow$  *ty*  $\Rightarrow$  *ty*  $\Rightarrow$  *bool* (-  $\vdash$  -  $\leq$  - [71, 71, 71] 70)

**for** *P* :: *prog*

**where**

*widen-refl*[*iff*]:  $P \vdash T \leq T$

| *widen-subcls*:  $P \vdash \text{Path } C \text{ to } D \text{ unique} \implies P \vdash \text{Class } C \leq \text{Class } D$

| *widen-null*[*iff*]:  $P \vdash NT \leq \text{Class } C$

**abbreviation**

*widens* :: *prog*  $\Rightarrow$  *ty list*  $\Rightarrow$  *ty list*  $\Rightarrow$  *bool*

(-  $\vdash$  - [ $\leq$ ] - [71, 71, 71] 70) **where**

*widens* *P* *Ts* *Ts'*  $\equiv$  *list-all2* (*widen* *P*) *Ts* *Ts'*

**inductive-simps** [*iff*]:

$P \vdash T \leq \text{Void}$

$P \vdash T \leq \text{Boolean}$

$P \vdash T \leq \text{Integer}$

$P \vdash \text{Void} \leq T$   
 $P \vdash \text{Boolean} \leq T$   
 $P \vdash \text{Integer} \leq T$   
 $P \vdash T \leq NT$

**lemmas** *widens-refl* [iff] = *list-all2-refl* [of widen P, OF widen-refl] **for** P  
**lemmas** *widens-Cons* [iff] = *list-all2-Cons1* [of widen P] **for** P

**end**

## 16 Well-typedness of CoreC++ expressions

**theory** *WellType* **imports** *Syntax TypeRel* **begin**

### 16.1 The rules

**inductive**

$WT :: [\text{prog}, \text{env}, \text{expr} \quad , \text{ty} \quad ] \Rightarrow \text{bool}$   
 $(-, - \vdash - :: - \quad [51, 51, 51] 50)$   
**and**  $WTs :: [\text{prog}, \text{env}, \text{expr list}, \text{ty list}] \Rightarrow \text{bool}$   
 $(-, - \vdash - [::] - [51, 51, 51] 50)$   
**for**  $P :: \text{prog}$

**where**

$WTNew:$   
 $\text{is-class } P \ C \Longrightarrow$   
 $P, E \vdash \text{new } C :: \text{Class } C$

$| \text{WTDynCast}:$   
 $\llbracket P, E \vdash e :: \text{Class } D; \text{is-class } P \ C;$   
 $\quad P \vdash \text{Path } D \text{ to } C \text{ unique} \vee (\forall Cs. \neg P \vdash \text{Path } D \text{ to } C \text{ via } Cs) \rrbracket$   
 $\Longrightarrow P, E \vdash \text{Cast } C \ e :: \text{Class } C$

$| \text{WTStaticCast}:$   
 $\llbracket P, E \vdash e :: \text{Class } D; \text{is-class } P \ C;$   
 $\quad P \vdash \text{Path } D \text{ to } C \text{ unique} \vee$   
 $\quad (P \vdash C \preceq^* D \wedge (\forall Cs. P \vdash \text{Path } C \text{ to } D \text{ via } Cs \longrightarrow \text{Subobjs}_R \ P \ C \ Cs)) \rrbracket$   
 $\Longrightarrow P, E \vdash \langle C \rangle e :: \text{Class } C$

$| \text{WTVal}:$   
 $\text{typeof } v = \text{Some } T \Longrightarrow$   
 $P, E \vdash \text{Val } v :: T$

$| \text{WTVar}:$   
 $E \ V = \text{Some } T \Longrightarrow$   
 $P, E \vdash \text{Var } V :: T$

$| \text{WTBinOp}:$   
 $\llbracket P, E \vdash e_1 :: T_1; P, E \vdash e_2 :: T_2;$

$\text{case } \text{bop of } Eq \Rightarrow T_1 = T_2 \wedge T = \text{Boolean}$   
 $\quad | \text{Add} \Rightarrow T_1 = \text{Integer} \wedge T_2 = \text{Integer} \wedge T = \text{Integer} ]$   
 $\Rightarrow P, E \vdash e_1 \llbracket \text{bop} \rrbracket e_2 :: T$

$| \text{WTLAss:}$   
 $\llbracket E \vdash V = \text{Some } T; P, E \vdash e :: T'; P \vdash T' \leq T \rrbracket$   
 $\Rightarrow P, E \vdash V := e :: T$

$| \text{WTFAcc:}$   
 $\llbracket P, E \vdash e :: \text{Class } C; P \vdash C \text{ has least } F:T \text{ via } Cs \rrbracket$   
 $\Rightarrow P, E \vdash e \cdot F\{Cs\} :: T$

$| \text{WTFAss:}$   
 $\llbracket P, E \vdash e_1 :: \text{Class } C; P \vdash C \text{ has least } F:T \text{ via } Cs;$   
 $\quad P, E \vdash e_2 :: T'; P \vdash T' \leq T \rrbracket$   
 $\Rightarrow P, E \vdash e_1 \cdot F\{Cs\} := e_2 :: T$

$| \text{WTStaticCall:}$   
 $\llbracket P, E \vdash e :: \text{Class } C'; P \vdash \text{Path } C' \text{ to } C \text{ unique};$   
 $\quad P \vdash C \text{ has least } M = (Ts, T, m) \text{ via } Cs; P, E \vdash es [::] Ts'; P \vdash Ts' [\leq] Ts \rrbracket$   
 $\Rightarrow P, E \vdash e \cdot (C::)M(es) :: T$

$| \text{WTCall:}$   
 $\llbracket P, E \vdash e :: \text{Class } C; P \vdash C \text{ has least } M = (Ts, T, m) \text{ via } Cs;$   
 $\quad P, E \vdash es [::] Ts'; P \vdash Ts' [\leq] Ts \rrbracket$   
 $\Rightarrow P, E \vdash e \cdot M(es) :: T$

$| \text{WTBlock:}$   
 $\llbracket \text{is-type } P \ T; P, E(V \mapsto T) \vdash e :: T' \rrbracket$   
 $\Rightarrow P, E \vdash \{V:T; e\} :: T'$

$| \text{WTSeq:}$   
 $\llbracket P, E \vdash e_1 :: T_1; P, E \vdash e_2 :: T_2 \rrbracket$   
 $\Rightarrow P, E \vdash e_1 ; e_2 :: T_2$

$| \text{WTCond:}$   
 $\llbracket P, E \vdash e :: \text{Boolean}; P, E \vdash e_1 :: T; P, E \vdash e_2 :: T \rrbracket$   
 $\Rightarrow P, E \vdash \text{if } (e) \ e_1 \ \text{else } e_2 :: T$

$| \text{WTWhile:}$   
 $\llbracket P, E \vdash e :: \text{Boolean}; P, E \vdash c :: T \rrbracket$   
 $\Rightarrow P, E \vdash \text{while } (e) \ c :: \text{Void}$

$| \text{WTThrow:}$   
 $P, E \vdash e :: \text{Class } C \Rightarrow$   
 $P, E \vdash \text{throw } e :: \text{Void}$

— well-typed expression lists

| *WTNil*:  
 $P, E \vdash [] [::] []$

| *WTCons*:  
 $[ P, E \vdash e :: T; P, E \vdash es [::] Ts ]$   
 $\implies P, E \vdash e \# es [::] T \# Ts$

**declare** *WT-WTs.intros*[intro!] *WTNil*[*iff*]

**lemmas** *WT-WTs.induct* = *WT-WTs.induct* [*split-format* (*complete*)]  
**and** *WT-WTs.inducts* = *WT-WTs.inducts* [*split-format* (*complete*)]

## 16.2 Easy consequences

**lemma** [*iff*]:  $(P, E \vdash [] [::] Ts) = (Ts = [])$

*<proof>*

**lemma** [*iff*]:  $(P, E \vdash e \# es [::] T \# Ts) = (P, E \vdash e :: T \wedge P, E \vdash es [::] Ts)$

*<proof>*

**lemma** [*iff*]:  $(P, E \vdash (e \# es) [::] Ts) =$   
 $(\exists U Us. Ts = U \# Us \wedge P, E \vdash e :: U \wedge P, E \vdash es [::] Us)$

*<proof>*

**lemma** [*iff*]:  $\bigwedge Ts. (P, E \vdash es_1 @ es_2 [::] Ts) =$   
 $(\exists Ts_1 Ts_2. Ts = Ts_1 @ Ts_2 \wedge P, E \vdash es_1 [::] Ts_1 \wedge P, E \vdash es_2 [::] Ts_2)$

*<proof>*

**lemma** [*iff*]:  $P, E \vdash \text{Val } v :: T = (\text{typeof } v = \text{Some } T)$

*<proof>*

**lemma** [*iff*]:  $P, E \vdash \text{Var } V :: T = (E V = \text{Some } T)$

*<proof>*

**lemma** [*iff*]:  $P, E \vdash e_1 ; e_2 :: T_2 = (\exists T_1. P, E \vdash e_1 :: T_1 \wedge P, E \vdash e_2 :: T_2)$

*<proof>*

**lemma** [iff]:  $(P, E \vdash \{V:T; e\} :: T') = (is\text{-type } P \ T \wedge P, E(V \mapsto T) \vdash e :: T')$

*<proof>*

**inductive-cases** *WT-elim-cases*[elim!]:

$P, E \vdash new \ C :: T$   
 $P, E \vdash Cast \ C \ e :: T$   
 $P, E \vdash \langle C \rangle e :: T$   
 $P, E \vdash e_1 \ll bop \gg e_2 :: T$   
 $P, E \vdash V := e :: T$   
 $P, E \vdash e.F\{Cs\} :: T$   
 $P, E \vdash e.F\{Cs\} := v :: T$   
 $P, E \vdash e.M(ps) :: T$   
 $P, E \vdash e.(C::)M(ps) :: T$   
 $P, E \vdash if \ (e) \ e_1 \ else \ e_2 :: T$   
 $P, E \vdash while \ (e) \ c :: T$   
 $P, E \vdash throw \ e :: T$

**lemma** *wt-env-mono*:

$P, E \vdash e :: T \implies (\bigwedge E'. E \subseteq_m E' \implies P, E' \vdash e :: T)$  **and**  
 $P, E \vdash es \ [::] \ Ts \implies (\bigwedge E'. E \subseteq_m E' \implies P, E' \vdash es \ [::] \ Ts)$

*<proof>*

**lemma** *WT-fv*:  $P, E \vdash e :: T \implies fv \ e \subseteq dom \ E$   
**and**  $P, E \vdash es \ [::] \ Ts \implies fvs \ es \subseteq dom \ E$

*<proof>*

**end**

## 17 Generic Well-formedness of programs

**theory** *WellForm*

**imports** *SystemClasses TypeRel WellType*

**begin**

This theory defines global well-formedness conditions for programs but does not look inside method bodies. Well-typing of expressions is defined else-

where (in theory *WellType*).

CoreC++ allows covariant return types

**type-synonym**  $wf\text{-}mdecl\text{-}test = prog \Rightarrow cname \Rightarrow mdecl \Rightarrow bool$

**definition**  $wf\text{-}fdecl :: prog \Rightarrow fdecl \Rightarrow bool$  **where**

$wf\text{-}fdecl P \equiv \lambda(F, T). is\text{-}type P T$

**definition**  $wf\text{-}mdecl :: wf\text{-}mdecl\text{-}test \Rightarrow wf\text{-}mdecl\text{-}test$  **where**

$wf\text{-}mdecl wf\text{-}md P C \equiv \lambda(M, Ts, T, mb).$

$(\forall T \in set Ts. is\text{-}type P T) \wedge is\text{-}type P T \wedge T \neq NT \wedge wf\text{-}md P C (M, Ts, T, mb)$

**definition**  $wf\text{-}cdecl :: wf\text{-}mdecl\text{-}test \Rightarrow prog \Rightarrow cdecl \Rightarrow bool$  **where**

$wf\text{-}cdecl wf\text{-}md P \equiv \lambda(C, (Bs, fs, ms)).$

$(\forall M mthd Cs. P \vdash C \text{ has } M = mthd \text{ via } Cs \longrightarrow$

$(\exists mthd' Cs'. P \vdash (C, Cs) \text{ has overrider } M = mthd' \text{ via } Cs')) \wedge$

$(\forall f \in set fs. wf\text{-}fdecl P f) \wedge distinct\text{-}fst fs \wedge$

$(\forall m \in set ms. wf\text{-}mdecl wf\text{-}md P C m) \wedge distinct\text{-}fst ms \wedge$

$(\forall D \in baseClasses Bs.$

$is\text{-}class P D \wedge \neg P \vdash D \preceq^* C \wedge$

$(\forall (M, Ts, T, m) \in set ms.$

$\forall Ts' T' m' Cs. P \vdash D \text{ has } M = (Ts', T', m') \text{ via } Cs \longrightarrow$

$Ts' = Ts \wedge P \vdash T \leq T'))$

**definition**  $wf\text{-}syscls :: prog \Rightarrow bool$  **where**

$wf\text{-}syscls P \equiv sys\text{-}xcpts \subseteq set(map fst P)$

**definition**  $wf\text{-}prog :: wf\text{-}mdecl\text{-}test \Rightarrow prog \Rightarrow bool$  **where**

$wf\text{-}prog wf\text{-}md P \equiv wf\text{-}syscls P \wedge distinct\text{-}fst P \wedge$

$(\forall c \in set P. wf\text{-}cdecl wf\text{-}md P c)$

## 17.1 Well-formedness lemmas

**lemma** *class-wf*:

$\llbracket class P C = Some c; wf\text{-}prog wf\text{-}md P \rrbracket \Longrightarrow wf\text{-}cdecl wf\text{-}md P (C, c)$

*<proof>*

**lemma** *is-class-xcpt*:

$\llbracket C \in sys\text{-}xcpts; wf\text{-}prog wf\text{-}md P \rrbracket \Longrightarrow is\text{-}class P C$

*<proof>*

**lemma** *is-type-pTs*:

**assumes**  $wf\text{-}prog wf\text{-}md P$  **and**  $(C, S, fs, ms) \in set P$  **and**  $(M, Ts, T, m) \in set ms$

**shows**  $set Ts \subseteq types P$



*<proof>*

## 17.2 Well-formedness subclass lemmas

**lemma** *subcls1-wfD*:

$$\llbracket P \vdash C \prec^1 D; \text{wf-prog wf-md } P \rrbracket \implies D \neq C \wedge (D,C) \notin (\text{subcls1 } P)^+$$

*<proof>*

**lemma** *wf-cdecl-supD*:

$$\llbracket \text{wf-cdecl wf-md } P (C, Bs, r); D \in \text{baseClasses } Bs \rrbracket \implies \text{is-class } P D$$

*<proof>*

**lemma** *subcls-asy*:

$$\llbracket \text{wf-prog wf-md } P; (C,D) \in (\text{subcls1 } P)^+ \rrbracket \implies (D,C) \notin (\text{subcls1 } P)^+$$

*<proof>*

**lemma** *subcls-irrefl*:

$$\llbracket \text{wf-prog wf-md } P; (C,D) \in (\text{subcls1 } P)^+ \rrbracket \implies C \neq D$$

*<proof>*

**lemma** *subcls-asy2*:

$$\llbracket (C,D) \in (\text{subcls1 } P)^*; \text{wf-prog wf-md } P; (D,C) \in (\text{subcls1 } P)^* \rrbracket \implies C = D$$

*<proof>*

**lemma** *acyclic-subcls1*:

$$\text{wf-prog wf-md } P \implies \text{acyclic } (\text{subcls1 } P)$$

*<proof>*

**lemma** *wf-subcls1*:

$$\text{wf-prog wf-md } P \implies \text{wf } ((\text{subcls1 } P)^{-1})$$

*<proof>*

**lemma** *subcls-induct*:

$\llbracket wf\text{-prog } wf\text{-md } P; \bigwedge C. \forall D. (C,D) \in (subcls1\ P)^+ \longrightarrow Q\ D \implies Q\ C \rrbracket \implies Q\ C$

(is ?A  $\implies$  PROP ?P  $\implies$  -)

*<proof>*

### 17.3 Well-formedness leq\_path lemmas

**lemma** *last-leq-path*:

**assumes**  $leq:P, C \vdash Cs \sqsubseteq^1 Ds$  **and**  $wf:wf\text{-prog } wf\text{-md } P$   
**shows**  $P \vdash last\ Cs \prec^1 last\ Ds$

*<proof>*

**lemma** *last-leq-paths*:

**assumes**  $leq:(Cs,Ds) \in (leq\text{-path1 } P\ C)^+$  **and**  $wf:wf\text{-prog } wf\text{-md } P$   
**shows**  $(last\ Cs, last\ Ds) \in (subcls1\ P)^+$

*<proof>*

**lemma** *leq-path1-wfD*:

$\llbracket P, C \vdash Cs \sqsubseteq^1 Cs'; wf\text{-prog } wf\text{-md } P \rrbracket \implies Cs \neq Cs' \wedge (Cs', Cs) \notin (leq\text{-path1 } P\ C)^+$

*<proof>*

**lemma** *leq-path-asym*:

$\llbracket (Cs, Cs') \in (leq\text{-path1 } P\ C)^+; wf\text{-prog } wf\text{-md } P \rrbracket \implies (Cs', Cs) \notin (leq\text{-path1 } P\ C)^+$

*<proof>*

**lemma** *leq-path-asym2*:  $\llbracket P, C \vdash Cs \sqsubseteq Cs'; P, C \vdash Cs' \sqsubseteq Cs; wf\text{-prog } wf\text{-md } P \rrbracket \implies Cs = Cs'$

*<proof>*

**lemma** *leq-path-Subobjs*:

$\llbracket P, C \vdash [C] \sqsubseteq Cs; \text{is-class } P \ C; \text{wf-prog wf-md } P \rrbracket \implies \text{Subobjs } P \ C \ Cs$   
*<proof>*

## 17.4 Lemmas concerning Subobjs

**lemma** *Subobj-last-isClass*:  $\llbracket \text{wf-prog wf-md } P; \text{Subobjs } P \ C \ Cs \rrbracket \implies \text{is-class } P \ (\text{last } Cs)$

*<proof>*

**lemma** *converse-SubobjsR-Rep*:

$\llbracket \text{Subobjs}_R \ P \ C \ Cs; P \vdash \text{last } Cs \prec_R C'; \text{wf-prog wf-md } P \rrbracket$   
 $\implies \text{Subobjs}_R \ P \ C \ (Cs@[C'])$

*<proof>*

**lemma** *converse-Subobjs-Rep*:

$\llbracket \text{Subobjs } P \ C \ Cs; P \vdash \text{last } Cs \prec_R C'; \text{wf-prog wf-md } P \rrbracket$   
 $\implies \text{Subobjs } P \ C \ (Cs@[C'])$

*<proof>*

**lemma** *isSubobj-Subobjs-rev*:

**assumes** *subo:is-subobj*  $P \ ((C, C' \#_{\text{rev}} Cs'))$  **and** *wf:wf-prog wf-md*  $P$   
**shows**  $\text{Subobjs } P \ C \ (C' \#_{\text{rev}} Cs')$

*<proof>*

**lemma** *isSubobj-Subobjs*:

**assumes** *subo:is-subobj*  $P \ ((C, Cs))$  **and** *wf:wf-prog wf-md*  $P$   
**shows**  $\text{Subobjs } P \ C \ Cs$

*<proof>*

**lemma** *isSubobj-eq-Subobjs*:

$\text{wf-prog wf-md } P \implies \text{is-subobj } P \ ((C, Cs)) = (\text{Subobjs } P \ C \ Cs)$   
*<proof>*

**lemma** *subo-trans-subcls*:

**assumes** *subo:Subobjs*  $P$   $C$  ( $Cs@$   $C'\#rev$   $Cs'$ )  
**shows**  $\forall C'' \in set\ Cs'. (C', C'') \in (subcls1\ P)^+$

*<proof>*

**lemma** *unique1*:

**assumes** *subo:Subobjs*  $P$   $C$  ( $Cs@$   $C'\#Cs'$ ) **and** *wf:wf-prog* *wf-md*  $P$   
**shows**  $C' \notin set\ Cs'$

*<proof>*

**lemma** *subo-subcls-trans*:

**assumes** *subo:Subobjs*  $P$   $C$  ( $Cs@$   $C'\#Cs'$ )  
**shows**  $\forall C'' \in set\ Cs. (C'', C') \in (subcls1\ P)^+$

*<proof>*

**lemma** *unique2*:

**assumes** *subo:Subobjs*  $P$   $C$  ( $Cs@$   $C'\#Cs'$ ) **and** *wf:wf-prog* *wf-md*  $P$   
**shows**  $C' \notin set\ Cs$

*<proof>*

**lemma** *mdc-hd-path*:

**assumes** *subo:Subobjs*  $P$   $C$   $Cs$  **and** *set:C*  $\in set\ Cs$  **and** *wf:wf-prog* *wf-md*  $P$   
**shows**  $C = hd\ Cs$

*<proof>*

**lemma** *mdc-eq-last*:

**assumes** *subo:Subobjs*  $P$   $C$   $Cs$  **and** *last:last*  $Cs = C$  **and** *wf:wf-prog* *wf-md*  $P$   
**shows**  $Cs = [C]$

*<proof>*

**lemma** *assumes*  $leq:P \vdash C \preceq^* D$  **and**  $wf:wf\text{-prog } wf\text{-md } P$   
**shows**  $subcls\text{-leq}\text{-path}:\exists Cs. P, C \vdash [C] \sqsubseteq Cs@[D]$

*<proof>*

**lemma** *assumes*  $subo:Subobjs P C (rev Cs)$  **and**  $wf:wf\text{-prog } wf\text{-md } P$   
**shows**  $subobjs\text{-rel}\text{-rev}:P, C \vdash [C] \sqsubseteq (rev Cs)$

*<proof>*

**lemma** *subobjs-rel:*  
**assumes**  $subo:Subobjs P C Cs$  **and**  $wf:wf\text{-prog } wf\text{-md } P$   
**shows**  $P, C \vdash [C] \sqsubseteq Cs$

*<proof>*

**lemma** *assumes*  $wf:wf\text{-prog } wf\text{-md } P$   
**shows**  $leq\text{-path}\text{-last}:[P, C \vdash Cs \sqsubseteq Cs'; last Cs = last Cs'] \implies Cs = Cs'$

*<proof>*

## 17.5 Well-formedness and appendPath

**lemma** *appendPath1:*  
 $\llbracket Subobjs P C Cs; Subobjs P (last Cs) Ds; last Cs \neq hd Ds \rrbracket$   
 $\implies Subobjs P C Ds$

*<proof>*

**lemma** *appendPath2-rev:*  
**assumes**  $subo1:Subobjs P C Cs$  **and**  $subo2:Subobjs P (last Cs) (last Cs\#\text{rev } Ds)$   
**and**  $wf:wf\text{-prog } wf\text{-md } P$   
**shows**  $Subobjs P C (Cs@(tl (last Cs\#\text{rev } Ds)))$   
*<proof>*

**lemma** *appendPath2:*  
**assumes**  $subo1:Subobjs P C Cs$  **and**  $subo2:Subobjs P (last Cs) Ds$

**and**  $eq:last\ Cs = hd\ Ds$  **and**  $wf:wf\text{-}prog\ wf\text{-}md\ P$   
**shows**  $Subobjs\ P\ C\ (Cs@tl\ Ds)$

$\langle proof \rangle$

**lemma** *Subobjs-appendPath*:  
[[ $Subobjs\ P\ C\ Cs$ ;  $Subobjs\ P\ (last\ Cs)\ Ds$ ;  $wf\text{-}prog\ wf\text{-}md\ P$ ]]  
 $\implies Subobjs\ P\ C\ (Cs@_p\ Ds)$   
 $\langle proof \rangle$

## 17.6 Path and program size

**lemma** *assumes*  $subo:Subobjs\ P\ C\ Cs$  **and**  $wf:wf\text{-}prog\ wf\text{-}md\ P$   
**shows**  $path\text{-}contains\text{-}classes:\forall\ C' \in\ set\ Cs.\ is\text{-}class\ P\ C'$   
 $\langle proof \rangle$

**lemma** *path-subset-classes*: [[ $Subobjs\ P\ C\ Cs$ ;  $wf\text{-}prog\ wf\text{-}md\ P$ ]]  
 $\implies set\ Cs \subseteq \{C.\ is\text{-}class\ P\ C\}$   
 $\langle proof \rangle$

**lemma** *assumes*  $subo:Subobjs\ P\ C\ (rev\ Cs)$  **and**  $wf:wf\text{-}prog\ wf\text{-}md\ P$   
**shows**  $rev\text{-}path\text{-}distinct\text{-}classes:distinct\ Cs$   
 $\langle proof \rangle$

**lemma** *assumes*  $subo:Subobjs\ P\ C\ Cs$  **and**  $wf:wf\text{-}prog\ wf\text{-}md\ P$   
**shows**  $path\text{-}distinct\text{-}classes:distinct\ Cs$   
 $\langle proof \rangle$

**lemma** *assumes*  $wf:wf\text{-}prog\ wf\text{-}md\ P$   
**shows**  $prog\text{-}length:length\ P = card\ \{C.\ is\text{-}class\ P\ C\}$   
 $\langle proof \rangle$

**lemma** *assumes*  $subo:Subobjs\ P\ C\ Cs$  **and**  $wf:wf\text{-}prog\ wf\text{-}md\ P$   
**shows**  $path\text{-}length:length\ Cs \leq length\ P$   
 $\langle proof \rangle$

**lemma** *empty-path-empty-set*: $\{Cs. \text{Subobjs } P \ C \ Cs \wedge \text{length } Cs \leq 0\} = \{\}$   
 ⟨proof⟩

**lemma** *split-set-path-length*: $\{Cs. \text{Subobjs } P \ C \ Cs \wedge \text{length } Cs \leq \text{Suc}(n)\} =$   
 $\{Cs. \text{Subobjs } P \ C \ Cs \wedge \text{length } Cs \leq n\} \cup \{Cs. \text{Subobjs } P \ C \ Cs \wedge \text{length } Cs =$   
 $\text{Suc}(n)\}$   
 ⟨proof⟩

**lemma** *empty-list-set*: $\{xs. \text{set } xs \subseteq F \wedge xs = []\} = \{[]\}$   
 ⟨proof⟩

**lemma** *suc-n-union-of-union*: $\{xs. \text{set } xs \subseteq F \wedge \text{length } xs = \text{Suc } n\} = (\text{UN } x:F.$   
 $\text{UN } xs : \{xs. \text{set } xs \subseteq F \wedge \text{length } xs = n\}. \{x\#xs\})$   
 ⟨proof⟩

**lemma** *max-length-finite-set*: $\text{finite } F \implies \text{finite}\{xs. \text{set } xs \subseteq F \wedge \text{length } xs = n\}$   
 ⟨proof⟩

**lemma** *path-length-n-finite-set*:  
 $\text{wf-prog wf-md } P \implies \text{finite}\{Cs. \text{Subobjs } P \ C \ Cs \wedge \text{length } Cs = n\}$   
 ⟨proof⟩

**lemma** *path-finite-leq*:  
 $\text{wf-prog wf-md } P \implies \text{finite}\{Cs. \text{Subobjs } P \ C \ Cs \wedge \text{length } Cs \leq \text{length } P\}$   
 ⟨proof⟩

**lemma** *path-finite*: $\text{wf-prog wf-md } P \implies \text{finite}\{Cs. \text{Subobjs } P \ C \ Cs\}$   
 ⟨proof⟩

## 17.7 Well-formedness and Path

**lemma** *path-via-reverse*:  
 assumes *path-via*: $P \vdash \text{Path } C \text{ to } D \text{ via } Cs$  and *wf*: $\text{wf-prog wf-md } P$   
 shows  $\forall Cs'. P \vdash \text{Path } D \text{ to } C \text{ via } Cs' \longrightarrow Cs = [C] \wedge Cs' = [C] \wedge C = D$   
 ⟨proof⟩

**lemma** *path-hd-appendPath*:  
 assumes *path*: $P, C \vdash Cs \sqsubseteq Cs' @_p Cs$  and *last*: $\text{last } Cs' = \text{hd } Cs$   
 and *notemptyCs*: $Cs \neq []$  and *notemptyCs'*: $Cs' \neq []$  and *wf*: $\text{wf-prog wf-md } P$   
 shows  $Cs' = [\text{hd } Cs]$

⟨proof⟩

**lemma** *path-via-C*:  $\llbracket P \vdash \text{Path } C \text{ to } C \text{ via } Cs; \text{wf-prog wf-md } P \rrbracket \implies Cs = [C]$   
 ⟨proof⟩

**lemma** *assumes*  $wf:wf\text{-prog } wf\text{-md } P$   
**and**  $path\text{-via}:P \vdash Path \text{ last } Cs \text{ to } C \text{ via } Cs'$   
**and**  $path\text{-via}':P \vdash Path \text{ last } Cs \text{ to } C \text{ via } Cs''$   
**and**  $appendPath:Cs = Cs@_p Cs'$   
**shows**  $appendPath\text{-path}\text{-via}:Cs = Cs@_p Cs''$

$\langle proof \rangle$

**lemma** *subo-no-path*:  
**assumes**  $subo:Subobjs P C' (Cs @ C \# Cs')$  **and**  $wf:wf\text{-prog } wf\text{-md } P$   
**and**  $notempty:Cs' \neq []$   
**shows**  $\neg P \vdash Path \text{ last } Cs' \text{ to } C \text{ via } Ds$

$\langle proof \rangle$

**lemma** *leq-implies-path*:  
**assumes**  $leq:P \vdash C \preceq^* D$  **and**  $class:is\text{-class } P C$   
**and**  $wf:wf\text{-prog } wf\text{-md } P$   
**shows**  $\exists Cs. P \vdash Path C \text{ to } D \text{ via } Cs$

$\langle proof \rangle$

**lemma** *least-method-implies-path-unique*:  
**assumes**  $least:P \vdash C \text{ has least } M = (Ts, T, m) \text{ via } Cs$  **and**  $wf:wf\text{-prog } wf\text{-md } P$   
**shows**  $P \vdash Path C \text{ to } (last Cs) \text{ unique}$

$\langle proof \rangle$

**lemma** *least-field-implies-path-unique*:  
**assumes**  $least:P \vdash C \text{ has least } F:T \text{ via } Cs$  **and**  $wf:wf\text{-prog } wf\text{-md } P$   
**shows**  $P \vdash Path C \text{ to } (hd Cs) \text{ unique}$

$\langle proof \rangle$

**lemma** *least-field-implies-path-via-hd*:  
 $\llbracket P \vdash C \text{ has least } F:T \text{ via } Cs; wf\text{-prog } wf\text{-md } P \rrbracket$   
 $\implies P \vdash Path C \text{ to } (hd Cs) \text{ via } [hd Cs]$



$\langle proof \rangle$

**lemma** *path-C-to-C-unique*:  
 $\llbracket wf\text{-prog } wf\text{-md } P; is\text{-class } P \ C \rrbracket \implies P \vdash Path \ C \text{ to } C \text{ unique}$

$\langle proof \rangle$

**lemma** *leqR-SubobjsR*: $\llbracket (C,D) \in (subclsR \ P)^*; is\text{-class } P \ C; wf\text{-prog } wf\text{-md } P \rrbracket$   
 $\implies \exists Cs. SubobjsR \ P \ C \ (Cs@[D])$

$\langle proof \rangle$

**lemma** *assumes path-unique*: $P \vdash Path \ C \text{ to } D \text{ unique}$  **and**  $leq:P \vdash C \preceq^* C'$   
**and**  $leqR:(C',D) \in (subclsR \ P)^*$  **and**  $wf:wf\text{-prog } wf\text{-md } P$   
**shows**  $P \vdash Path \ C \text{ to } C' \text{ unique}$

$\langle proof \rangle$

## 17.8 Well-formedness and member lookup

**lemma** *has-path-has*:  
 $\llbracket P \vdash Path \ D \text{ to } C \text{ via } Ds; P \vdash C \text{ has } M = (Ts,T,m) \text{ via } Cs; wf\text{-prog } wf\text{-md } P \rrbracket$   
 $\implies P \vdash D \text{ has } M = (Ts,T,m) \text{ via } Ds@_p \ Cs$   
 $\langle proof \rangle$

**lemma** *has-least-wf-mdecl*:  
 $\llbracket wf\text{-prog } wf\text{-md } P; P \vdash C \text{ has least } M = m \text{ via } Cs \rrbracket$   
 $\implies wf\text{-mdecl } wf\text{-md } P \ (last \ Cs) \ (M,m)$   
 $\langle proof \rangle$

**lemma** *has-overrider-wf-mdecl*:  
 $\llbracket wf\text{-prog } wf\text{-md } P; P \vdash (C,Cs) \text{ has overrider } M = m \text{ via } Cs' \rrbracket$   
 $\implies wf\text{-mdecl } wf\text{-md } P \ (last \ Cs') \ (M,m)$   
 $\langle proof \rangle$

**lemma** *select-method-wf-mdecl*:  
 $\llbracket wf\text{-prog } wf\text{-md } P; P \vdash (C,Cs) \text{ selects } M = m \text{ via } Cs' \rrbracket$   
 $\implies wf\text{-mdecl } wf\text{-md } P \ (last \ Cs') \ (M,m)$   
 $\langle proof \rangle$

**lemma** *wf-sees-method-fun*:

$\llbracket P \vdash C \text{ has least } M = \text{mthd} \text{ via } Cs; P \vdash C \text{ has least } M = \text{mthd}' \text{ via } Cs';$   
 $\text{wf-prog wf-md } P \rrbracket$   
 $\implies \text{mthd} = \text{mthd}' \wedge Cs = Cs'$

$\langle \text{proof} \rangle$

**lemma** *wf-select-method-fun*:

**assumes**  $\text{wf:wf-prog wf-md } P$   
**shows**  $\llbracket P \vdash (C, Cs) \text{ selects } M = \text{mthd} \text{ via } Cs'; P \vdash (C, Cs) \text{ selects } M = \text{mthd}'$   
 $\text{via } Cs'' \rrbracket$   
 $\implies \text{mthd} = \text{mthd}' \wedge Cs' = Cs''$   
 $\langle \text{proof} \rangle$

**lemma** *least-field-is-type*:

**assumes**  $\text{field:}P \vdash C \text{ has least } F:T \text{ via } Cs$  **and**  $\text{wf:wf-prog wf-md } P$   
**shows**  $\text{is-type } P T$

$\langle \text{proof} \rangle$

**lemma** *least-method-is-type*:

**assumes**  $\text{method:}P \vdash C \text{ has least } M = (Ts, T, m) \text{ via } Cs$  **and**  $\text{wf:wf-prog wf-md } P$   
**shows**  $\text{is-type } P T$

$\langle \text{proof} \rangle$

**lemma** *least-overrider-is-type*:

**assumes**  $\text{method:}P \vdash (C, Cs) \text{ has overrider } M = (Ts, T, m) \text{ via } Cs'$   
**and**  $\text{wf:wf-prog wf-md } P$   
**shows**  $\text{is-type } P T$

$\langle \text{proof} \rangle$

**lemma** *select-method-is-type*:

$\llbracket P \vdash (C, Cs) \text{ selects } M = (Ts, T, m) \text{ via } Cs'; \text{wf-prog wf-md } P \rrbracket \implies \text{is-type } P T$   
 $\langle \text{proof} \rangle$

**lemma** *base-subtype*:

$\llbracket wf\text{-}cdecl\ wf\text{-}md\ P\ (C, Bs, fs, ms); C' \in baseClasses\ Bs;$   
 $P \vdash C' \text{ has } M = (Ts', T', m') \text{ via } Cs@_p[D]; (M, Ts, T, m) \in set\ ms \rrbracket$   
 $\implies Ts' = Ts \wedge P \vdash T \leq T'$

$\langle proof \rangle$

**lemma** *subclsPlus-subtype*:

**assumes**  $classD: class\ P\ D = Some(Bs', fs', ms')$   
**and**  $mapMs': map\text{-}of\ ms'\ M = Some(Ts', T', m')$   
**and**  $leg: (C, D) \in (subcls1\ P)^+$  **and**  $wf: wf\text{-}prog\ wf\text{-}md\ P$   
**shows**  $\forall Bs\ fs\ ms\ Ts\ T\ m. class\ P\ C = Some(Bs, fs, ms) \wedge map\text{-}of\ ms\ M =$   
 $Some(Ts, T, m)$   
 $\longrightarrow Ts' = Ts \wedge P \vdash T \leq T'$

$\langle proof \rangle$

**lemma** *leg-method-subtypes*:

**assumes**  $leg: P \vdash D \preceq^* C$  **and**  $least: P \vdash D \text{ has } least\ M = (Ts', T', m') \text{ via } Ds$   
**and**  $wf: wf\text{-}prog\ wf\text{-}md\ P$   
**shows**  $\forall Ts\ T\ m\ Cs. P \vdash C \text{ has } M = (Ts, T, m) \text{ via } Cs \longrightarrow$   
 $Ts = Ts' \wedge P \vdash T' \leq T$

$\langle proof \rangle$

**lemma** *leg-methods-subtypes*:

**assumes**  $leg: P \vdash D \preceq^* C$  **and**  $least: (Ds, (Ts', T', m')) \in MinimalMethodDefs\ P$   
 $D\ M$   
**and**  $wf: wf\text{-}prog\ wf\text{-}md\ P$   
**shows**  $\forall Ts\ T\ m\ Cs\ Cs'. P \vdash Path\ D\ \text{to}\ C\ \text{via}\ Cs' \wedge P, D \vdash Ds \sqsubseteq Cs'@_p Cs \wedge Cs$   
 $\neq [] \wedge$   
 $P \vdash C \text{ has } M = (Ts, T, m) \text{ via } Cs$   
 $\longrightarrow Ts = Ts' \wedge P \vdash T' \leq T$

$\langle proof \rangle$

**lemma** *select-least-methods-subtypes*:

**assumes**  $select\text{-}method: P \vdash (C, Cs@_p Ds) \text{ selects } M = (Ts, T, pns, body) \text{ via } Cs'$   
**and**  $least\text{-}method: P \vdash last\ Cs \text{ has } least\ M = (Ts', T', pns', body') \text{ via } Ds$   
**and**  $path: P \vdash Path\ C\ \text{to}\ (last\ Cs) \text{ via } Cs$   
**and**  $wf: wf\text{-}prog\ wf\text{-}md\ P$   
**shows**  $Ts' = Ts \wedge P \vdash T \leq T'$

$\langle proof \rangle$

**lemma** *wf-syscls*:

$set\ SystemClasses \subseteq set\ P \implies wf\text{-}syscls\ P$   
*<proof>*

## 17.9 Well formedness and widen

**lemma** *Class-widen*:  $\llbracket P \vdash Class\ C \leq T; wf\text{-}prog\ wf\text{-}md\ P; is\text{-}class\ P\ C \rrbracket$   
 $\implies \exists D. T = Class\ D \wedge P \vdash Path\ C\ to\ D\ unique$

*<proof>*

**lemma** *Class-widen-Class [iff]*:  $\llbracket wf\text{-}prog\ wf\text{-}md\ P; is\text{-}class\ P\ C \rrbracket \implies$   
 $(P \vdash Class\ C \leq Class\ D) = (P \vdash Path\ C\ to\ D\ unique)$

*<proof>*

**lemma** *widen-Class*:  $\llbracket wf\text{-}prog\ wf\text{-}md\ P; is\text{-}class\ P\ C \rrbracket \implies$   
 $(P \vdash T \leq Class\ C) =$   
 $(T = NT \vee (\exists D. T = Class\ D \wedge P \vdash Path\ D\ to\ C\ unique))$

*<proof>*

## 17.10 Well formedness and well typing

**lemma** *assumes wf:wf-prog wf-md P*

**shows** *WT-determ*:  $P, E \vdash e :: T \implies (\bigwedge T'. P, E \vdash e :: T' \implies T = T')$

**and** *WTs-determ*:  $P, E \vdash es [::] Ts \implies (\bigwedge Ts'. P, E \vdash es [::] Ts' \implies Ts = Ts')$

*<proof>*

**end**

## 18 Weak well-formedness of CoreC++ programs

**theory** *WWellForm* **imports** *WellForm Expr* **begin**

**definition** *wwf-mdecl* ::  $prog \Rightarrow cname \Rightarrow mdecl \Rightarrow bool$  **where**

$wwf\text{-}mdecl\ P\ C \equiv \lambda(M, Ts, T, (pns, body)).$

$length\ Ts = length\ pns \wedge distinct\ pns \wedge this \notin set\ pns \wedge fv\ body \subseteq \{this\} \cup set\ pns$

**lemma** *wwf-mdecl[simp]*:

$wwf\text{-}mdecl\ P\ C\ (M, Ts, T, pns, body) =$

$(length\ Ts = length\ pns \wedge distinct\ pns \wedge this \notin set\ pns \wedge fv\ body \subseteq \{this\} \cup set\ pns)$   
 $\langle proof \rangle$

**abbreviation**

$wf-prog :: prog \Rightarrow bool$  **where**  
 $wf-prog == wf-prog\ wf-mdecl$

**end**

## 19 Equivalence of Big Step and Small Step Semantics

**theory** *Equivalence* **imports** *BigStep SmallStep WWellForm* **begin**

### 19.1 Some casts-lemmas

**lemma** *assumes*  $wf:wf-prog\ wf-md\ P$

**shows** *casts-casts*:

$P \vdash T\ casts\ v\ to\ v' \Longrightarrow P \vdash T\ casts\ v'\ to\ v'$

$\langle proof \rangle$

**lemma** *casts-casts-eq*:

$\llbracket P \vdash T\ casts\ v\ to\ v'; P \vdash T\ casts\ v'\ to\ v'; wf-prog\ wf-md\ P \rrbracket \Longrightarrow v = v'$

$\langle proof \rangle$

**lemma** *assumes*  $wf:wf-prog\ wf-md\ P$

**shows** *None-lcl-casts-values*:

$P, E \vdash \langle e, (h, l) \rangle \rightarrow \langle e', (h', l') \rangle \Longrightarrow$

$(\bigwedge V. \llbracket l\ V = None; E\ V = Some\ T; l'\ V = Some\ v' \rrbracket$

$\Longrightarrow P \vdash T\ casts\ v'\ to\ v')$

**and**  $P, E \vdash \langle es, (h, l) \rangle [\rightarrow] \langle es', (h', l') \rangle \Longrightarrow$

$(\bigwedge V. \llbracket l\ V = None; E\ V = Some\ T; l'\ V = Some\ v' \rrbracket$

$\Longrightarrow P \vdash T\ casts\ v'\ to\ v')$

$\langle proof \rangle$

**lemma** *assumes*  $wf:wf-prog\ wf-md\ P$

**shows** *Some-lcl-casts-values*:

$$\begin{aligned}
& P, E \vdash \langle e, (h, l) \rangle \rightarrow \langle e', (h', l') \rangle \implies \\
& (\bigwedge V. \llbracket l \ V = \text{Some } v; E \ V = \text{Some } T; \\
& \quad P \vdash T \text{ casts } v'' \text{ to } v; l' \ V = \text{Some } v \rrbracket \\
& \implies P \vdash T \text{ casts } v' \text{ to } v') \\
\text{and } & P, E \vdash \langle es, (h, l) \rangle [\rightarrow] \langle es', (h', l') \rangle \implies \\
& (\bigwedge V. \llbracket l \ V = \text{Some } v; E \ V = \text{Some } T; \\
& \quad P \vdash T \text{ casts } v'' \text{ to } v; l' \ V = \text{Some } v \rrbracket \\
& \implies P \vdash T \text{ casts } v' \text{ to } v')
\end{aligned}$$

$\langle \text{proof} \rangle$

## 19.2 Small steps simulate big step

### 19.3 Cast

**lemma** *StaticCastReds*:

$$P, E \vdash \langle e, s \rangle \rightarrow^* \langle e', s' \rangle \implies P, E \vdash \langle \langle C \rangle e, s \rangle \rightarrow^* \langle \langle C \rangle e', s' \rangle$$

$\langle \text{proof} \rangle$

**lemma** *StaticCastRedsNull*:

$$P, E \vdash \langle e, s \rangle \rightarrow^* \langle \text{null}, s' \rangle \implies P, E \vdash \langle \langle C \rangle e, s \rangle \rightarrow^* \langle \text{null}, s' \rangle$$

$\langle \text{proof} \rangle$

**lemma** *StaticUpCastReds*:

$$\begin{aligned}
& \llbracket P, E \vdash \langle e, s \rangle \rightarrow^* \langle \text{ref}(a, Cs), s' \rangle; P \vdash \text{Path last } Cs \text{ to } C \text{ via } Cs'; Ds = Cs @_p Cs' \rrbracket \\
& \implies P, E \vdash \langle \langle C \rangle e, s \rangle \rightarrow^* \langle \text{ref}(a, Ds), s' \rangle
\end{aligned}$$

$\langle \text{proof} \rangle$

**lemma** *StaticDownCastReds*:

$$\begin{aligned}
& P, E \vdash \langle e, s \rangle \rightarrow^* \langle \text{ref}(a, Cs @ [C] @ Cs'), s' \rangle \\
& \implies P, E \vdash \langle \langle C \rangle e, s \rangle \rightarrow^* \langle \text{ref}(a, Cs @ [C]), s' \rangle
\end{aligned}$$

$\langle \text{proof} \rangle$

**lemma** *StaticCastRedsFail*:

$$\begin{aligned}
& \llbracket P, E \vdash \langle e, s \rangle \rightarrow^* \langle \text{ref}(a, Cs), s' \rangle; C \notin \text{set } Cs; \neg P \vdash (\text{last } Cs) \preceq^* C \rrbracket \\
& \implies P, E \vdash \langle \langle C \rangle e, s \rangle \rightarrow^* \langle \text{THROW ClassCast}, s' \rangle
\end{aligned}$$

$\langle \text{proof} \rangle$

**lemma** *StaticCastRedsThrow*:

$$\llbracket P, E \vdash \langle e, s \rangle \rightarrow^* \langle \text{Throw } r, s' \rangle \rrbracket \implies P, E \vdash \langle \langle C \rangle e, s \rangle \rightarrow^* \langle \text{Throw } r, s' \rangle$$

$\langle proof \rangle$

**lemma** *DynCastReds*:

$$P, E \vdash \langle e, s \rangle \rightarrow^* \langle e', s' \rangle \implies P, E \vdash \langle Cast\ C\ e, s \rangle \rightarrow^* \langle Cast\ C\ e', s' \rangle$$

$\langle proof \rangle$

**lemma** *DynCastRedsNull*:

$$P, E \vdash \langle e, s \rangle \rightarrow^* \langle null, s' \rangle \implies P, E \vdash \langle Cast\ C\ e, s \rangle \rightarrow^* \langle null, s' \rangle$$

$\langle proof \rangle$

**lemma** *DynCastRedsRef*:

$$\begin{aligned} & \llbracket P, E \vdash \langle e, s \rangle \rightarrow^* \langle ref(a, Cs), s' \rangle; hp\ s'\ a = Some\ (D, S); P \vdash Path\ D\ to\ C\ via\ Cs'; \\ & \quad P \vdash Path\ D\ to\ C\ unique \rrbracket \\ \implies & P, E \vdash \langle Cast\ C\ e, s \rangle \rightarrow^* \langle ref(a, Cs'), s' \rangle \end{aligned}$$

$\langle proof \rangle$

**lemma** *StaticUpDynCastReds*:

$$\begin{aligned} & \llbracket P, E \vdash \langle e, s \rangle \rightarrow^* \langle ref(a, Cs), s' \rangle; P \vdash Path\ last\ Cs\ to\ C\ unique; \\ & \quad P \vdash Path\ last\ Cs\ to\ C\ via\ Cs'; Ds = Cs@_p\ Cs' \rrbracket \\ \implies & P, E \vdash \langle Cast\ C\ e, s \rangle \rightarrow^* \langle ref(a, Ds), s' \rangle \end{aligned}$$

$\langle proof \rangle$

**lemma** *StaticDownDynCastReds*:

$$\begin{aligned} & P, E \vdash \langle e, s \rangle \rightarrow^* \langle ref(a, Cs@[C]@Cs'), s' \rangle \\ \implies & P, E \vdash \langle Cast\ C\ e, s \rangle \rightarrow^* \langle ref(a, Cs@[C]), s' \rangle \end{aligned}$$

$\langle proof \rangle$

**lemma** *DynCastRedsFail*:

$$\begin{aligned} & \llbracket P, E \vdash \langle e, s \rangle \rightarrow^* \langle ref(a, Cs), s' \rangle; hp\ s'\ a = Some\ (D, S); \neg P \vdash Path\ D\ to\ C \\ & \quad unique; \\ & \quad \neg P \vdash Path\ last\ Cs\ to\ C\ unique; C \notin set\ Cs \rrbracket \\ \implies & P, E \vdash \langle Cast\ C\ e, s \rangle \rightarrow^* \langle null, s' \rangle \end{aligned}$$

$\langle proof \rangle$

**lemma** *DynCastRedsThrow*:

$$\llbracket P, E \vdash \langle e, s \rangle \rightarrow^* \langle \text{Throw } r, s^\wedge \rangle \rrbracket \implies P, E \vdash \langle \text{Cast } C \ e, s \rangle \rightarrow^* \langle \text{Throw } r, s^\wedge \rangle$$

$\langle \text{proof} \rangle$

## 19.4 LAss

**lemma** *LAssReds*:

$$P, E \vdash \langle e, s \rangle \rightarrow^* \langle e', s^\wedge \rangle \implies P, E \vdash \langle V := e, s \rangle \rightarrow^* \langle V := e', s^\wedge \rangle$$

$\langle \text{proof} \rangle$

**lemma** *LAssRedsVal*:

$$\llbracket P, E \vdash \langle e, s \rangle \rightarrow^* \langle \text{Val } v, (h', l') \rangle; E \ V = \text{Some } T; P \vdash T \text{ casts } v \text{ to } v' \rrbracket \\ \implies P, E \vdash \langle V := e, s \rangle \rightarrow^* \langle \text{Val } v', (h', l'(V \mapsto v')) \rangle$$

$\langle \text{proof} \rangle$

**lemma** *LAssRedsThrow*:

$$\llbracket P, E \vdash \langle e, s \rangle \rightarrow^* \langle \text{Throw } r, s^\wedge \rangle \rrbracket \implies P, E \vdash \langle V := e, s \rangle \rightarrow^* \langle \text{Throw } r, s^\wedge \rangle$$

$\langle \text{proof} \rangle$

## 19.5 BinOp

**lemma** *BinOp1Reds*:

$$P, E \vdash \langle e, s \rangle \rightarrow^* \langle e', s^\wedge \rangle \implies P, E \vdash \langle e \ \langle \text{bop} \rangle \ e_2, s \rangle \rightarrow^* \langle e' \ \langle \text{bop} \rangle \ e_2, s^\wedge \rangle$$

$\langle \text{proof} \rangle$

**lemma** *BinOp2Reds*:

$$P, E \vdash \langle e, s \rangle \rightarrow^* \langle e', s^\wedge \rangle \implies P, E \vdash \langle (\text{Val } v) \ \langle \text{bop} \rangle \ e, s \rangle \rightarrow^* \langle (\text{Val } v) \ \langle \text{bop} \rangle \ e', s^\wedge \rangle$$

$\langle \text{proof} \rangle$

**lemma** *BinOpRedsVal*:

$$\llbracket P, E \vdash \langle e_1, s_0 \rangle \rightarrow^* \langle \text{Val } v_1, s_1 \rangle; P, E \vdash \langle e_2, s_1 \rangle \rightarrow^* \langle \text{Val } v_2, s_2 \rangle; \\ \text{binop}(\text{bop}, v_1, v_2) = \text{Some } v \rrbracket \\ \implies P, E \vdash \langle e_1 \ \langle \text{bop} \rangle \ e_2, s_0 \rangle \rightarrow^* \langle \text{Val } v, s_2 \rangle$$

$\langle \text{proof} \rangle$

**lemma** *BinOpRedsThrow1*:

$$P, E \vdash \langle e, s \rangle \rightarrow^* \langle \text{Throw } r, s^\wedge \rangle \implies P, E \vdash \langle e \ \langle \text{bop} \rangle \ e_2, s \rangle \rightarrow^* \langle \text{Throw } r, s^\wedge \rangle$$

$\langle \text{proof} \rangle$



**lemma** *BinOpRedsThrow2*:

$$\begin{aligned} & \llbracket P, E \vdash \langle e_1, s_0 \rangle \rightarrow^* \langle \text{Val } v_1, s_1 \rangle; P, E \vdash \langle e_2, s_1 \rangle \rightarrow^* \langle \text{Throw } r, s_2 \rangle \rrbracket \\ & \implies P, E \vdash \langle e_1 \text{ «bop» } e_2, s_0 \rangle \rightarrow^* \langle \text{Throw } r, s_2 \rangle \end{aligned}$$

*<proof>*

## 19.6 FAcc

**lemma** *FAccReds*:

$$P, E \vdash \langle e, s \rangle \rightarrow^* \langle e', s' \rangle \implies P, E \vdash \langle e \cdot F\{Cs\}, s \rangle \rightarrow^* \langle e' \cdot F\{Cs\}, s' \rangle$$

*<proof>*

**lemma** *FAccRedsVal*:

$$\begin{aligned} & \llbracket P, E \vdash \langle e, s \rangle \rightarrow^* \langle \text{ref}(a, Cs'), s' \rangle; \text{hp } s' a = \text{Some}(D, S); \\ & \quad Ds = Cs' @_p Cs; (Ds, fs) \in S; fs F = \text{Some } v \rrbracket \\ & \implies P, E \vdash \langle e \cdot F\{Cs\}, s \rangle \rightarrow^* \langle \text{Val } v, s' \rangle \end{aligned}$$

*<proof>*

**lemma** *FAccRedsNull*:

$$P, E \vdash \langle e, s \rangle \rightarrow^* \langle \text{null}, s' \rangle \implies P, E \vdash \langle e \cdot F\{Cs\}, s \rangle \rightarrow^* \langle \text{THROW NullPointer}, s' \rangle$$

*<proof>*

**lemma** *FAccRedsThrow*:

$$P, E \vdash \langle e, s \rangle \rightarrow^* \langle \text{Throw } r, s' \rangle \implies P, E \vdash \langle e \cdot F\{Cs\}, s \rangle \rightarrow^* \langle \text{Throw } r, s' \rangle$$

*<proof>*

## 19.7 FAss

**lemma** *FAssReds1*:

$$P, E \vdash \langle e, s \rangle \rightarrow^* \langle e', s' \rangle \implies P, E \vdash \langle e \cdot F\{Cs\} := e_2, s \rangle \rightarrow^* \langle e' \cdot F\{Cs\} := e_2, s' \rangle$$

*<proof>*

**lemma** *FAssReds2*:

$$P, E \vdash \langle e, s \rangle \rightarrow^* \langle e', s' \rangle \implies P, E \vdash \langle \text{Val } v \cdot F\{Cs\} := e, s \rangle \rightarrow^* \langle \text{Val } v \cdot F\{Cs\} := e', s' \rangle$$

*<proof>*

**lemma** *FAssRedsVal*:

$$\begin{aligned} & \llbracket P, E \vdash \langle e_1, s_0 \rangle \rightarrow^* \langle \text{ref}(a, Cs'), s_1 \rangle; P, E \vdash \langle e_2, s_1 \rangle \rightarrow^* \langle \text{Val } v, (h_2, l_2) \rangle; \\ & \quad h_2 \ a = \text{Some}(D, S); P \vdash (\text{last } Cs') \text{ has least } F:T \text{ via } Cs; P \vdash T \text{ casts } v \text{ to } v'; \\ & \quad Ds = Cs' @_p Cs; (Ds, fs) \in S \rrbracket \implies \\ & P, E \vdash \langle e_1 \cdot F\{Cs\} := e_2, s_0 \rangle \rightarrow^* \\ & \quad \langle \text{Val } v', (h_2(a \mapsto (D, \text{insert } (Ds, fs(F \mapsto v')) (S - \{(Ds, fs)\}))), l_2) \rangle \end{aligned}$$

$\langle \text{proof} \rangle$

**lemma** *FAssRedsNull*:

$$\begin{aligned} & \llbracket P, E \vdash \langle e_1, s_0 \rangle \rightarrow^* \langle \text{null}, s_1 \rangle; P, E \vdash \langle e_2, s_1 \rangle \rightarrow^* \langle \text{Val } v, s_2 \rangle \rrbracket \implies \\ & P, E \vdash \langle e_1 \cdot F\{Cs\} := e_2, s_0 \rangle \rightarrow^* \langle \text{THROW NullPointer}, s_2 \rangle \end{aligned}$$

$\langle \text{proof} \rangle$

**lemma** *FAssRedsThrow1*:

$$P, E \vdash \langle e, s \rangle \rightarrow^* \langle \text{Throw } r, s' \rangle \implies P, E \vdash \langle e \cdot F\{Cs\} := e_2, s \rangle \rightarrow^* \langle \text{Throw } r, s' \rangle$$

$\langle \text{proof} \rangle$

**lemma** *FAssRedsThrow2*:

$$\begin{aligned} & \llbracket P, E \vdash \langle e_1, s_0 \rangle \rightarrow^* \langle \text{Val } v, s_1 \rangle; P, E \vdash \langle e_2, s_1 \rangle \rightarrow^* \langle \text{Throw } r, s_2 \rangle \rrbracket \\ & \implies P, E \vdash \langle e_1 \cdot F\{Cs\} := e_2, s_0 \rangle \rightarrow^* \langle \text{Throw } r, s_2 \rangle \end{aligned}$$

$\langle \text{proof} \rangle$

## 19.8 ;;

**lemma** *SeqReds*:

$$P, E \vdash \langle e, s \rangle \rightarrow^* \langle e', s' \rangle \implies P, E \vdash \langle e;; e_2, s \rangle \rightarrow^* \langle e';; e_2, s' \rangle$$

$\langle \text{proof} \rangle$

**lemma** *SeqRedsThrow*:

$$P, E \vdash \langle e, s \rangle \rightarrow^* \langle \text{Throw } r, s' \rangle \implies P, E \vdash \langle e;; e_2, s \rangle \rightarrow^* \langle \text{Throw } r, s' \rangle$$

$\langle \text{proof} \rangle$

**lemma** *SeqReds2*:

$$\llbracket P, E \vdash \langle e_1, s_0 \rangle \rightarrow^* \langle \text{Val } v_1, s_1 \rangle; P, E \vdash \langle e_2, s_1 \rangle \rightarrow^* \langle e_2', s_2 \rangle \rrbracket \implies P, E \vdash \langle e_1;; e_2, s_0 \rangle \rightarrow^* \langle e_2', s_2 \rangle$$

$\langle \text{proof} \rangle$

## 19.9 If

**lemma** *CondReds*:

$$P, E \vdash \langle e, s \rangle \rightarrow^* \langle e', s' \rangle \implies P, E \vdash \langle \text{if } (e) \ e_1 \ \text{else } e_2, s \rangle \rightarrow^* \langle \text{if } (e') \ e_1 \ \text{else } e_2, s' \rangle$$

*<proof>*

**lemma** *CondRedsThrow*:

$$P, E \vdash \langle e, s \rangle \rightarrow^* \langle \text{Throw } r, s' \rangle \implies P, E \vdash \langle \text{if } (e) \ e_1 \ \text{else } e_2, s \rangle \rightarrow^* \langle \text{Throw } r, s' \rangle$$

*<proof>*

**lemma** *CondReds2T*:

$$\llbracket P, E \vdash \langle e, s_0 \rangle \rightarrow^* \langle \text{true}, s_1 \rangle; P, E \vdash \langle e_1, s_1 \rangle \rightarrow^* \langle e', s_2 \rangle \rrbracket \implies P, E \vdash \langle \text{if } (e) \ e_1 \ \text{else } e_2, s_0 \rangle \rightarrow^* \langle e', s_2 \rangle$$

*<proof>*

**lemma** *CondReds2F*:

$$\llbracket P, E \vdash \langle e, s_0 \rangle \rightarrow^* \langle \text{false}, s_1 \rangle; P, E \vdash \langle e_2, s_1 \rangle \rightarrow^* \langle e', s_2 \rangle \rrbracket \implies P, E \vdash \langle \text{if } (e) \ e_1 \ \text{else } e_2, s_0 \rangle \rightarrow^* \langle e', s_2 \rangle$$

*<proof>*

## 19.10 While

**lemma** *WhileFReds*:

$$P, E \vdash \langle b, s \rangle \rightarrow^* \langle \text{false}, s' \rangle \implies P, E \vdash \langle \text{while } (b) \ c, s \rangle \rightarrow^* \langle \text{unit}, s' \rangle$$

*<proof>*

**lemma** *WhileRedsThrow*:

$$P, E \vdash \langle b, s \rangle \rightarrow^* \langle \text{Throw } r, s' \rangle \implies P, E \vdash \langle \text{while } (b) \ c, s \rangle \rightarrow^* \langle \text{Throw } r, s' \rangle$$

*<proof>*

**lemma** *WhileTReds*:

$$\llbracket P, E \vdash \langle b, s_0 \rangle \rightarrow^* \langle \text{true}, s_1 \rangle; P, E \vdash \langle c, s_1 \rangle \rightarrow^* \langle \text{Val } v_1, s_2 \rangle; P, E \vdash \langle \text{while } (b) \ c, s_2 \rangle \rightarrow^* \langle e, s_3 \rangle \rrbracket \\ \implies P, E \vdash \langle \text{while } (b) \ c, s_0 \rangle \rightarrow^* \langle e, s_3 \rangle$$

*<proof>*

**lemma** *WhileTRedsThrow*:

$$\begin{aligned} & \llbracket P, E \vdash \langle b, s_0 \rangle \rightarrow^* \langle true, s_1 \rangle; P, E \vdash \langle c, s_1 \rangle \rightarrow^* \langle Throw\ r, s_2 \rangle \rrbracket \\ & \implies P, E \vdash \langle while\ (b)\ c, s_0 \rangle \rightarrow^* \langle Throw\ r, s_2 \rangle \end{aligned}$$

$\langle proof \rangle$

## 19.11 Throw

**lemma** *ThrowReds*:

$$P, E \vdash \langle e, s \rangle \rightarrow^* \langle e', s' \rangle \implies P, E \vdash \langle throw\ e, s \rangle \rightarrow^* \langle throw\ e', s' \rangle$$

$\langle proof \rangle$

**lemma** *ThrowRedsNull*:

$$P, E \vdash \langle e, s \rangle \rightarrow^* \langle null, s' \rangle \implies P, E \vdash \langle throw\ e, s \rangle \rightarrow^* \langle THROW\ NullPointer, s' \rangle$$

$\langle proof \rangle$

**lemma** *ThrowRedsThrow*:

$$P, E \vdash \langle e, s \rangle \rightarrow^* \langle Throw\ r, s' \rangle \implies P, E \vdash \langle throw\ e, s \rangle \rightarrow^* \langle Throw\ r, s' \rangle$$

$\langle proof \rangle$

## 19.12 InitBlock

**lemma** *assumes*  $wf:wf\text{-prog}\ wf\text{-md}\ P$

**shows** *InitBlockReds-aux*:

$$\begin{aligned} & P, E(V \mapsto T) \vdash \langle e, s \rangle \rightarrow^* \langle e', s' \rangle \implies \\ & \quad \forall h\ l\ h'\ l'\ v\ v'.\ s = (h, l(V \mapsto v')) \longrightarrow \\ & \quad \quad P \vdash T\ \text{casts}\ v\ \text{to}\ v' \longrightarrow s' = (h', l') \longrightarrow \\ & \quad \quad (\exists v''\ w.\ P, E \vdash \langle \{V:T := Val\ v; e\}, (h, l) \rangle \rightarrow^* \\ & \quad \quad \quad \langle \{V:T := Val\ v''; e'\}, (h', l'(V := (l\ V))) \rangle) \wedge \\ & \quad \quad P \vdash T\ \text{casts}\ v''\ \text{to}\ w) \end{aligned}$$

$\langle proof \rangle$

**lemma** *InitBlockReds*:

$$\begin{aligned} & \llbracket P, E(V \mapsto T) \vdash \langle e, (h, l(V \mapsto v')) \rangle \rightarrow^* \langle e', (h', l') \rangle; \\ & \quad P \vdash T\ \text{casts}\ v\ \text{to}\ v';\ wf\text{-prog}\ wf\text{-md}\ P \rrbracket \implies \\ & \quad \exists v''\ w.\ P, E \vdash \langle \{V:T := Val\ v; e\}, (h, l) \rangle \rightarrow^* \\ & \quad \quad \langle \{V:T := Val\ v''; e'\}, (h', l'(V := (l\ V))) \rangle) \wedge \\ & \quad \quad P \vdash T\ \text{casts}\ v''\ \text{to}\ w \end{aligned}$$

$\langle proof \rangle$

**lemma** *InitBlockRedsFinal*:

$$\begin{aligned} & \text{assumes}\ reds: P, E(V \mapsto T) \vdash \langle e, (h, l(V \mapsto v')) \rangle \rightarrow^* \langle e', (h', l') \rangle \\ & \text{and}\ final: final\ e' \text{ and}\ casts: P \vdash T\ \text{casts}\ v\ \text{to}\ v' \\ & \text{and}\ wf: wf\text{-prog}\ wf\text{-md}\ P \end{aligned}$$

**shows**  $P, E \vdash \langle \{ V:T := Val v; e \}, (h, l) \rangle \rightarrow^* \langle e', (h', l'(V := l V)) \rangle$   
 $\langle proof \rangle$

### 19.13 Block

**lemma** *BlockRedsFinal*:

**assumes** *reds*:  $P, E(V \mapsto T) \vdash \langle e_0, s_0 \rangle \rightarrow^* \langle e_2, (h_2, l_2) \rangle$  **and** *fin*: *final*  $e_2$

**and** *wf*: *wf-prog* *wf-md*  $P$

**shows**  $\bigwedge h_0 l_0. s_0 = (h_0, l_0(V := None)) \implies P, E \vdash \langle \{ V:T; e_0 \}, (h_0, l_0) \rangle \rightarrow^* \langle e_2, (h_2, l_2(V := l_0 V)) \rangle$

$\langle proof \rangle$

### 19.14 List

**lemma** *ListReds1*:

$P, E \vdash \langle e, s \rangle \rightarrow^* \langle e', s' \rangle \implies P, E \vdash \langle e \# es, s \rangle [\rightarrow]^* \langle e' \# es, s' \rangle$

$\langle proof \rangle$

**lemma** *ListReds2*:

$P, E \vdash \langle es, s \rangle [\rightarrow]^* \langle es', s' \rangle \implies P, E \vdash \langle Val v \# es, s \rangle [\rightarrow]^* \langle Val v \# es', s' \rangle$

$\langle proof \rangle$

**lemma** *ListRedsVal*:

$\llbracket P, E \vdash \langle e, s_0 \rangle \rightarrow^* \langle Val v, s_1 \rangle; P, E \vdash \langle es, s_1 \rangle [\rightarrow]^* \langle es', s_2 \rangle \rrbracket$   
 $\implies P, E \vdash \langle e \# es, s_0 \rangle [\rightarrow]^* \langle Val v \# es', s_2 \rangle$

$\langle proof \rangle$

### 19.15 Call

First a few lemmas on what happens to free variables during redction.

**lemma** **assumes** *wf*: *wf-prog*  $P$

**shows** *Red-fv*:  $P, E \vdash \langle e, (h, l) \rangle \rightarrow \langle e', (h', l') \rangle \implies fv e' \subseteq fv e$

**and**  $P, E \vdash \langle es, (h, l) \rangle [\rightarrow] \langle es', (h', l') \rangle \implies fvs es' \subseteq fvs es$

$\langle proof \rangle$

**lemma** *Red-dom-lcl*:

$P, E \vdash \langle e, (h, l) \rangle \rightarrow \langle e', (h', l') \rangle \implies dom l' \subseteq dom l \cup fv e$  **and**

$P, E \vdash \langle es, (h, l) \rangle [\rightarrow] \langle es', (h', l') \rangle \implies dom l' \subseteq dom l \cup fvs es$

$\langle proof \rangle$

**lemma** *Reds-dom-lcl*:

$\llbracket \text{wf-prog } P; P, E \vdash \langle e, (h, l) \rangle \rightarrow^* \langle e', (h', l') \rangle \rrbracket \implies \text{dom } l' \subseteq \text{dom } l \cup \text{fv } e$

*<proof>*

Now a few lemmas on the behaviour of blocks during reduction.

**lemma** *override-on-upd-lemma*:

$(\text{override-on } f (g(a \mapsto b)) A)(a := g a) = \text{override-on } f g (\text{insert } a A)$

*<proof>*

**declare** *fun-upd-apply*[simp del] *map-upds-twist*[simp del]

**lemma** *assumes wf:wf-prog wf-md P*

**shows** *blocksReds*:

$\bigwedge l_0 E vs'. \llbracket \text{length } Vs = \text{length } Ts; \text{length } vs = \text{length } Ts;$   
 $\text{distinct } Vs; \forall \mathcal{A} \notin \mathcal{S} \mathcal{V} / Ts / \mathcal{A} \notin \mathcal{S} \mathcal{V} / \mathcal{A} / P \vdash Ts \text{ Casts } vs \text{ to } vs';$   
 $P, E (Vs \mapsto Ts) \vdash \langle e, (h_0, l_0 (Vs \mapsto vs')) \rangle \rightarrow^* \langle e', (h_1, l_1) \rangle \rrbracket$   
 $\implies \exists vs''. P, E \vdash \langle \text{blocks}(Vs, Ts, vs, e), (h_0, l_0) \rangle \rightarrow^*$   
 $\langle \text{blocks}(Vs, Ts, vs'', e'), (h_1, \text{override-on } l_1 l_0 (\text{set } Vs)) \rangle \wedge$   
 $(\exists ws. P \vdash Ts \text{ Casts } vs'' \text{ to } ws) \wedge \text{length } vs = \text{length } vs''$

*<proof>*

**lemma** *assumes wf:wf-prog wf-md P*

**shows** *blocksFinal*:

$\bigwedge E l vs'. \llbracket \text{length } Vs = \text{length } Ts; \text{length } vs = \text{length } Ts;$   
 $\forall \mathcal{A} \notin \mathcal{S} \mathcal{V} / Ts / \mathcal{A} \notin \mathcal{S} \mathcal{V} / \mathcal{A} / \text{final } e; P \vdash Ts \text{ Casts } vs \text{ to } vs' \rrbracket \implies$   
 $P, E \vdash \langle \text{blocks}(Vs, Ts, vs, e), (h, l) \rangle \rightarrow^* \langle e, (h, l) \rangle$

*<proof>*

**lemma** *assumes wfmd:wf-prog wf-md P*

**and** *wf*:  $\text{length } Vs = \text{length } Ts \text{ length } vs = \text{length } Ts \text{ distinct } Vs$

**and** *casts*:  $P \vdash Ts \text{ Casts } vs \text{ to } vs'$

**and** *reds*:  $P, E (Vs \mapsto Ts) \vdash \langle e, (h_0, l_0 (Vs \mapsto vs')) \rangle \rightarrow^* \langle e', (h_1, l_1) \rangle$

**and** *fin*:  $\text{final } e'$  **and** *l2*:  $l_2 = \text{override-on } l_1 l_0 (\text{set } Vs)$

**shows** *blocksRedsFinal*:  $P, E \vdash \langle \text{blocks}(Vs, Ts, vs, e), (h_0, l_0) \rangle \rightarrow^* \langle e', (h_1, l_2) \rangle$

*<proof>*

An now the actual method call reduction lemmas.

**lemma** *CallRedsObj*:

$$\begin{aligned} P, E \vdash \langle e, s \rangle \rightarrow^* \langle e', s' \rangle &\implies \\ P, E \vdash \langle \text{Call } e \text{ Copt } M \text{ es}, s \rangle \rightarrow^* \langle \text{Call } e' \text{ Copt } M \text{ es}, s' \rangle & \end{aligned}$$

*<proof>*

**lemma** *CallRedsParams*:

$$\begin{aligned} P, E \vdash \langle es, s \rangle \rightarrow^* \langle es', s' \rangle &\implies \\ P, E \vdash \langle \text{Call } (\text{Val } v) \text{ Copt } M \text{ es}, s \rangle \rightarrow^* \langle \text{Call } (\text{Val } v) \text{ Copt } M \text{ es}', s' \rangle & \end{aligned}$$

*<proof>*

**lemma** *cast-lcl*:

$$\begin{aligned} P, E \vdash \langle \llbracket C \rrbracket (\text{Val } v), (h, l) \rangle \rightarrow \langle \text{Val } v', (h, l) \rangle &\implies \\ P, E \vdash \langle \llbracket C \rrbracket (\text{Val } v), (h, l') \rangle \rightarrow \langle \text{Val } v', (h, l') \rangle & \end{aligned}$$

*<proof>*

**lemma** *cast-env*:

$$\begin{aligned} P, E \vdash \langle \llbracket C \rrbracket (\text{Val } v), (h, l) \rangle \rightarrow \langle \text{Val } v', (h, l) \rangle &\implies \\ P, E' \vdash \langle \llbracket C \rrbracket (\text{Val } v), (h, l) \rangle \rightarrow \langle \text{Val } v', (h, l) \rangle & \end{aligned}$$

*<proof>*

**lemma** *Cast-step-Cast-or-fin*:

$$P, E \vdash \langle \llbracket C \rrbracket e, s \rangle \rightarrow^* \langle e', s' \rangle \implies \text{final } e' \vee (\exists e''. e' = \llbracket C \rrbracket e'')$$

*<proof>*

**lemma** *Cast-red*:  $P, E \vdash \langle e, s \rangle \rightarrow^* \langle e', s' \rangle \implies$

$$\left( \bigwedge e_1. \llbracket e = \llbracket C \rrbracket e_0; e' = \llbracket C \rrbracket e_1 \rrbracket \implies P, E \vdash \langle e_0, s \rangle \rightarrow^* \langle e_1, s' \rangle \right)$$

*<proof>*

**lemma** *Cast-final*:  $\llbracket P, E \vdash \langle \llbracket C \rrbracket e, s \rangle \rightarrow^* \langle e', s' \rangle; \text{final } e' \rrbracket \implies$

$$\exists e'' s''. P, E \vdash \langle e, s \rangle \rightarrow^* \langle e'', s'' \rangle \wedge P, E \vdash \langle \llbracket C \rrbracket e'', s'' \rangle \rightarrow \langle e', s' \rangle \wedge \text{final } e''$$

*<proof>*

**lemma** *Cast-final-eq*:

$$\text{assumes } \text{red}: P, E \vdash \langle \llbracket C \rrbracket e, (h, l) \rangle \rightarrow \langle e', (h, l) \rangle$$

**and**  $final:final\ e\ \mathbf{and}\ final':final\ e'$   
**shows**  $P, E' \vdash \langle \langle C \rangle e, (h, l') \rangle \rightarrow \langle e', (h, l') \rangle$

$\langle proof \rangle$

**lemma** *CallRedsFinal*:

**assumes**  $wwf: wwf\text{-prog}\ P$

**and**  $P, E \vdash \langle e, s_0 \rangle \rightarrow^* \langle ref(a, Cs), s_1 \rangle$

$P, E \vdash \langle es, s_1 \rangle [\rightarrow]^* \langle map\ Val\ vs, (h_2, l_2) \rangle$

**and**  $hp: h_2\ a = Some(C, S)$

**and**  $method: P \vdash last\ Cs\ has\ least\ M = (Ts', T', pns', body')$  *via*  $Ds$

**and**  $select: P \vdash (C, Cs@_p\ Ds)\ selects\ M = (Ts, T, pns, body)$  *via*  $Cs'$

**and**  $size: size\ vs = size\ pns$

**and**  $casts: P \vdash Ts\ Casts\ vs\ to\ vs'$

**and**  $l_2': l_2' = [this \mapsto Ref(a, Cs'), pns[\mapsto]vs']$

**and**  $body\text{-case}: new\text{-body} = (case\ T'\ of\ Class\ D \Rightarrow \langle D \rangle body \mid - \Rightarrow body)$

**and**  $body: P, E(this \mapsto Class\ (last\ Cs'), pns[\mapsto]Ts) \vdash \langle new\text{-body}, (h_2, l_2') \rangle \rightarrow^* \langle ef, (h_3, l_3) \rangle$

**and**  $final:final\ ef$

**shows**  $P, E \vdash \langle e \cdot M(es), s_0 \rangle \rightarrow^* \langle ef, (h_3, l_2) \rangle$

$\langle proof \rangle$

**lemma** *StaticCallRedsFinal*:

**assumes**  $wwf: wwf\text{-prog}\ P$

**and**  $P, E \vdash \langle e, s_0 \rangle \rightarrow^* \langle ref(a, Cs), s_1 \rangle$

$P, E \vdash \langle es, s_1 \rangle [\rightarrow]^* \langle map\ Val\ vs, (h_2, l_2) \rangle$

**and**  $path\text{-unique}: P \vdash Path\ (last\ Cs)\ to\ C\ unique$

**and**  $path\text{-via}: P \vdash Path\ (last\ Cs)\ to\ C\ via\ Cs''$

**and**  $Ds: Ds = (Cs@_p\ Cs'')@_p\ Cs'$

**and**  $least: P \vdash C\ has\ least\ M = (Ts, T, pns, body)$  *via*  $Cs'$

**and**  $size: size\ vs = size\ pns$

**and**  $casts: P \vdash Ts\ Casts\ vs\ to\ vs'$

**and**  $l_2': l_2' = [this \mapsto Ref(a, Ds), pns[\mapsto]vs']$

**and**  $body: P, E(this \mapsto Class\ (last\ Ds), pns[\mapsto]Ts) \vdash \langle body, (h_2, l_2') \rangle \rightarrow^* \langle ef, (h_3, l_3) \rangle$

**and**  $final:final\ ef$

**shows**  $P, E \vdash \langle e \cdot (C::)M(es), s_0 \rangle \rightarrow^* \langle ef, (h_3, l_2) \rangle$

$\langle proof \rangle$

**lemma** *CallRedsThrowParams*:

$\llbracket P, E \vdash \langle e, s_0 \rangle \rightarrow^* \langle Val\ v, s_1 \rangle;$

$P, E \vdash \langle es, s_1 \rangle [\rightarrow]^* \langle map\ Val\ vs_1\ @\ Throw\ ex\ \# \ es_2, s_2 \rangle \rrbracket$

$\implies P, E \vdash \langle Call\ e\ Copt\ M\ es, s_0 \rangle \rightarrow^* \langle Throw\ ex, s_2 \rangle$

$\langle proof \rangle$



**lemma** *CallRedsThrowObj*:

$$P, E \vdash \langle e, s_0 \rangle \rightarrow^* \langle \text{Throw } ex, s_1 \rangle \implies P, E \vdash \langle \text{Call } e \text{ Copt } M \text{ } es, s_0 \rangle \rightarrow^* \langle \text{Throw } ex, s_1 \rangle$$

*<proof>*

**lemma** *CallRedsNull*:

$$\llbracket P, E \vdash \langle e, s_0 \rangle \rightarrow^* \langle \text{null}, s_1 \rangle; P, E \vdash \langle es, s_1 \rangle [\rightarrow]^* \langle \text{map Val } vs, s_2 \rangle \rrbracket \\ \implies P, E \vdash \langle \text{Call } e \text{ Copt } M \text{ } es, s_0 \rangle \rightarrow^* \langle \text{THROW NullPointer}, s_2 \rangle$$

*<proof>*

## 19.16 The main Theorem

**lemma** *assumes wwf*: *wwf-prog P*

**shows** *big-by-small*:  $P, E \vdash \langle e, s \rangle \Rightarrow \langle e', s' \rangle \implies P, E \vdash \langle e, s \rangle \rightarrow^* \langle e', s' \rangle$

**and** *bigs-by-small*s:  $P, E \vdash \langle es, s \rangle [\Rightarrow] \langle es', s' \rangle \implies P, E \vdash \langle es, s \rangle [\rightarrow]^* \langle es', s' \rangle$

*<proof>*

## 19.17 Big steps simulates small step

The big step equivalent of *RedWhile*:

**lemma** *unfold-while*:

$$P, E \vdash \langle \text{while}(b) \ c, s \rangle \Rightarrow \langle e', s' \rangle = P, E \vdash \langle \text{if}(b) \ (c; \text{while}(b) \ c) \ \text{else} \ (\text{unit}), s \rangle \Rightarrow \langle e', s' \rangle$$

*<proof>*

**lemma** *blocksEval*:

$$\bigwedge Ts \ vs \ l \ l' \ E. \llbracket \text{size } ps = \text{size } Ts; \text{size } ps = \text{size } vs; \\ P, E \vdash \langle \text{blocks}(ps, Ts, vs, e), (h, l) \rangle \Rightarrow \langle e', (h', l') \rangle \rrbracket \\ \implies \exists l'' \ vs'. P, E(ps [\mapsto] Ts) \vdash \langle e, (h, l(ps[\mapsto] vs')) \rangle \Rightarrow \langle e', (h', l'') \rangle \wedge \\ P \vdash Ts \ \text{Casts } vs \ \text{to } vs' \wedge \text{length } vs' = \text{length } vs$$

*<proof>*

**lemma** *CastblocksEval*:

$$\bigwedge Ts \ vs \ l \ l' \ E. \llbracket \text{size } ps = \text{size } Ts; \text{size } ps = \text{size } vs; \\ P, E \vdash \langle (\text{C}')(\text{blocks}(ps, Ts, vs, e)), (h, l) \rangle \Rightarrow \langle e', (h', l') \rangle \rrbracket$$

$$\implies \exists l'' vs'. P, E(ps [\mapsto] Ts) \vdash \langle \langle C' \rangle e, (h, l(ps[\mapsto]vs')) \rangle \Rightarrow \langle e', (h', l'') \rangle \wedge \\ P \vdash Ts \text{ Casts } vs \text{ to } vs' \wedge \text{length } vs' = \text{length } vs$$

*<proof>*

**lemma**

**assumes** *wf: wwf-prog P*

**shows** *eval-restrict-lcl:*

$P, E \vdash \langle e, (h, l) \rangle \Rightarrow \langle e', (h', l') \rangle \implies (\bigwedge W. \text{fv } e \subseteq W \implies P, E \vdash \langle e, (h, l | W) \rangle \Rightarrow \langle e', (h', l' | W) \rangle)$

**and**  $P, E \vdash \langle es, (h, l) \rangle [\Rightarrow] \langle es', (h', l') \rangle \implies (\bigwedge W. \text{fvs } es \subseteq W \implies P, E \vdash \langle es, (h, l | W) \rangle [\Rightarrow] \langle es', (h', l' | W) \rangle)$

*<proof>*

**lemma** *eval-notfree-unchanged:*

**assumes** *wf: wwf-prog P*

**shows**  $P, E \vdash \langle e, (h, l) \rangle \Rightarrow \langle e', (h', l') \rangle \implies (\bigwedge V. V \notin \text{fv } e \implies l' V = l V)$

**and**  $P, E \vdash \langle es, (h, l) \rangle [\Rightarrow] \langle es', (h', l') \rangle \implies (\bigwedge V. V \notin \text{fvs } es \implies l' V = l V)$

*<proof>*

**lemma** *eval-closed-lcl-unchanged:*

**assumes** *wf: wwf-prog P*

**and** *eval: P, E \vdash \langle e, (h, l) \rangle \Rightarrow \langle e', (h', l') \rangle*

**and** *fv: fv e = \{\}*

**shows**  $l' = l$

*<proof>*

**declare** *split-paired-All [simp del] split-paired-Ex [simp del]*

*<ML>*

**lemma** *list-eval-Throw:*

**assumes** *eval-e: P, E \vdash \langle throw x, s \rangle \Rightarrow \langle e', s' \rangle*

**shows**  $P, E \vdash \langle \text{map Val } vs @ \text{throw } x \# es', s \rangle [\Rightarrow] \langle \text{map Val } vs @ e' \# es', s' \rangle$

*<proof>*

The key lemma:

**lemma**

**assumes** *wf*: *wf-prog P*

**shows** *extend-1-eval*:

$P, E \vdash \langle e, s \rangle \rightarrow \langle e'', s'' \rangle \implies (\bigwedge s' e'. P, E \vdash \langle e'', s' \rangle \Rightarrow \langle e', s' \rangle \implies P, E \vdash \langle e, s \rangle \Rightarrow \langle e', s' \rangle)$

**and** *extend-1-evals*:

$P, E \vdash \langle es, t \rangle [\rightarrow] \langle es'', t'' \rangle \implies (\bigwedge t' es'. P, E \vdash \langle es'', t' \rangle [\Rightarrow] \langle es', t' \rangle \implies P, E \vdash \langle es, t \rangle [\Rightarrow] \langle es', t' \rangle)$

*<proof>*

**declare** *split-paired-All* [*simp*] *split-paired-Ex* [*simp*]

*<ML>*

Its extension to  $\rightarrow*$ :

**lemma** *extend-eval*:

**assumes** *wf*: *wf-prog P*

**and** *reds*:  $P, E \vdash \langle e, s \rangle \rightarrow* \langle e'', s'' \rangle$  **and** *eval-rest*:  $P, E \vdash \langle e'', s'' \rangle \Rightarrow \langle e', s' \rangle$

**shows**  $P, E \vdash \langle e, s \rangle \Rightarrow \langle e', s' \rangle$

*<proof>*

**lemma** *extend-evals*:

**assumes** *wf*: *wf-prog P*

**and** *reds*:  $P, E \vdash \langle es, s \rangle [\rightarrow]* \langle es'', s'' \rangle$  **and** *eval-rest*:  $P, E \vdash \langle es'', s'' \rangle [\Rightarrow] \langle es', s' \rangle$

**shows**  $P, E \vdash \langle es, s \rangle [\Rightarrow] \langle es', s' \rangle$

*<proof>*

Finally, small step semantics can be simulated by big step semantics:

**theorem**

**assumes** *wf*: *wf-prog P*

**shows** *small-by-big*:  $\llbracket P, E \vdash \langle e, s \rangle \rightarrow* \langle e', s' \rangle; \text{final } e \rrbracket \implies P, E \vdash \langle e, s \rangle \Rightarrow \langle e', s' \rangle$

**and**  $\llbracket P, E \vdash \langle es, s \rangle [\rightarrow]* \langle es', s' \rangle; \text{finals } es \rrbracket \implies P, E \vdash \langle es, s \rangle [\Rightarrow] \langle es', s' \rangle$

*<proof>*

## 19.18 Equivalence

And now, the crowning achievement:

**corollary** *big-iff-small*:

$wf\text{-prog } P \implies$

$P, E \vdash \langle e, s \rangle \Rightarrow \langle e', s' \rangle = (P, E \vdash \langle e, s \rangle \rightarrow^* \langle e', s' \rangle \wedge \text{final } e')$   
 $\langle \text{proof} \rangle$

end

## 20 Definite assignment

theory DefAss  
 imports BigStep  
 begin

### 20.1 Hypersets

**type-synonym** *hyperset* = *vname set option*

**definition** *hyperUn* :: *hyperset*  $\Rightarrow$  *hyperset*  $\Rightarrow$  *hyperset* (**infixl**  $\sqcup$  65) **where**  
 $A \sqcup B \equiv \text{case } A \text{ of } \text{None} \Rightarrow \text{None}$   
 $| \lfloor A \rfloor \Rightarrow (\text{case } B \text{ of } \text{None} \Rightarrow \text{None} | \lfloor B \rfloor \Rightarrow \lfloor A \cup B \rfloor)$

**definition** *hyperInt* :: *hyperset*  $\Rightarrow$  *hyperset*  $\Rightarrow$  *hyperset* (**infixl**  $\sqcap$  70) **where**  
 $A \sqcap B \equiv \text{case } A \text{ of } \text{None} \Rightarrow B$   
 $| \lfloor A \rfloor \Rightarrow (\text{case } B \text{ of } \text{None} \Rightarrow \lfloor A \rfloor | \lfloor B \rfloor \Rightarrow \lfloor A \cap B \rfloor)$

**definition** *hyperDiff1* :: *hyperset*  $\Rightarrow$  *vname*  $\Rightarrow$  *hyperset* (**infixl**  $\ominus$  65) **where**  
 $A \ominus a \equiv \text{case } A \text{ of } \text{None} \Rightarrow \text{None} | \lfloor A \rfloor \Rightarrow \lfloor A - \{a\} \rfloor$

**definition** *hyper-isin* :: *vname*  $\Rightarrow$  *hyperset*  $\Rightarrow$  *bool* (**infix**  $\in\in$  50) **where**  
 $a \in\in A \equiv \text{case } A \text{ of } \text{None} \Rightarrow \text{True} | \lfloor A \rfloor \Rightarrow a \in A$

**definition** *hyper-subset* :: *hyperset*  $\Rightarrow$  *hyperset*  $\Rightarrow$  *bool* (**infix**  $\sqsubseteq$  50) **where**  
 $A \sqsubseteq B \equiv \text{case } B \text{ of } \text{None} \Rightarrow \text{True}$   
 $| \lfloor B \rfloor \Rightarrow (\text{case } A \text{ of } \text{None} \Rightarrow \text{False} | \lfloor A \rfloor \Rightarrow A \subseteq B)$

**lemmas** *hyperset-defs* =  
*hyperUn-def hyperInt-def hyperDiff1-def hyper-isin-def hyper-subset-def*

**lemma** [*simp*]:  $\lfloor \{\} \rfloor \sqcup A = A \wedge A \sqcup \lfloor \{\} \rfloor = A$   
 $\langle \text{proof} \rangle$

**lemma** [*simp*]:  $\lfloor A \rfloor \sqcup \lfloor B \rfloor = \lfloor A \cup B \rfloor \wedge \lfloor A \rfloor \ominus a = \lfloor A - \{a\} \rfloor$   
 $\langle \text{proof} \rangle$

**lemma** [*simp*]:  $\text{None} \sqcup A = \text{None} \wedge A \sqcup \text{None} = \text{None}$   
 $\langle \text{proof} \rangle$

**lemma** [*simp*]:  $a \in\in \text{None} \wedge \text{None} \ominus a = \text{None}$   
 $\langle \text{proof} \rangle$

**lemma** *hyperUn-assoc*:  $(A \sqcup B) \sqcup C = A \sqcup (B \sqcup C)$   
 ⟨proof⟩

**lemma** *hyper-insert-comm*:  $A \sqcup [\{a\}] = [\{a\}] \sqcup A \wedge A \sqcup ([\{a\}] \sqcup B) = [\{a\}] \sqcup (A \sqcup B)$   
 ⟨proof⟩

## 20.2 Definite assignment

**primrec**  $\mathcal{A} :: \text{expr} \Rightarrow \text{hyperset}$  and  $\mathcal{A}s :: \text{expr list} \Rightarrow \text{hyperset}$  **where**

$\mathcal{A} (\text{new } C) = [\{\}] \mid$   
 $\mathcal{A} (\text{Cast } C \ e) = \mathcal{A} \ e \mid$   
 $\mathcal{A} (\!(C)\!)e = \mathcal{A} \ e \mid$   
 $\mathcal{A} (\text{Val } v) = [\{\}] \mid$   
 $\mathcal{A} (e_1 \ll\text{bop}\gg e_2) = \mathcal{A} \ e_1 \sqcup \mathcal{A} \ e_2 \mid$   
 $\mathcal{A} (\text{Var } V) = [\{\}] \mid$   
 $\mathcal{A} (\text{LAss } V \ e) = [\{V\}] \sqcup \mathcal{A} \ e \mid$   
 $\mathcal{A} (e \cdot F\{Cs\}) = \mathcal{A} \ e \mid$   
 $\mathcal{A} (e_1 \cdot F\{Cs\} := e_2) = \mathcal{A} \ e_1 \sqcup \mathcal{A} \ e_2 \mid$   
 $\mathcal{A} (\text{Call } e \ \text{Copt } M \ es) = \mathcal{A} \ e \sqcup \mathcal{A}s \ es \mid$   
 $\mathcal{A} (\{V:T; e\}) = \mathcal{A} \ e \ominus V \mid$   
 $\mathcal{A} (e_1 ;; e_2) = \mathcal{A} \ e_1 \sqcup \mathcal{A} \ e_2 \mid$   
 $\mathcal{A} (\text{if } (e) \ e_1 \ \text{else } e_2) = \mathcal{A} \ e \sqcup (\mathcal{A} \ e_1 \sqcap \mathcal{A} \ e_2) \mid$   
 $\mathcal{A} (\text{while } (b) \ e) = \mathcal{A} \ b \mid$   
 $\mathcal{A} (\text{throw } e) = \text{None} \mid$

$\mathcal{A}s (\[]) = [\{\}] \mid$   
 $\mathcal{A}s (e \# es) = \mathcal{A} \ e \sqcup \mathcal{A}s \ es$

**primrec**  $\mathcal{D} :: \text{expr} \Rightarrow \text{hyperset} \Rightarrow \text{bool}$  and  $\mathcal{D}s :: \text{expr list} \Rightarrow \text{hyperset} \Rightarrow \text{bool}$   
**where**

$\mathcal{D} (\text{new } C) \ A = \text{True} \mid$   
 $\mathcal{D} (\text{Cast } C \ e) \ A = \mathcal{D} \ e \ A \mid$   
 $\mathcal{D} (\!(C)\!)e \ A = \mathcal{D} \ e \ A \mid$   
 $\mathcal{D} (\text{Val } v) \ A = \text{True} \mid$   
 $\mathcal{D} (e_1 \ll\text{bop}\gg e_2) \ A = (\mathcal{D} \ e_1 \ A \wedge \mathcal{D} \ e_2 \ (A \sqcup \mathcal{A} \ e_1)) \mid$   
 $\mathcal{D} (\text{Var } V) \ A = (V \in\in A) \mid$   
 $\mathcal{D} (\text{LAss } V \ e) \ A = \mathcal{D} \ e \ A \mid$   
 $\mathcal{D} (e \cdot F\{Cs\}) \ A = \mathcal{D} \ e \ A \mid$   
 $\mathcal{D} (e_1 \cdot F\{Cs\} := e_2) \ A = (\mathcal{D} \ e_1 \ A \wedge \mathcal{D} \ e_2 \ (A \sqcup \mathcal{A} \ e_1)) \mid$   
 $\mathcal{D} (\text{Call } e \ \text{Copt } M \ es) \ A = (\mathcal{D} \ e \ A \wedge \mathcal{D}s \ es \ (A \sqcup \mathcal{A} \ e)) \mid$   
 $\mathcal{D} (\{V:T; e\}) \ A = \mathcal{D} \ e \ (A \ominus V) \mid$   
 $\mathcal{D} (e_1 ;; e_2) \ A = (\mathcal{D} \ e_1 \ A \wedge \mathcal{D} \ e_2 \ (A \sqcup \mathcal{A} \ e_1)) \mid$   
 $\mathcal{D} (\text{if } (e) \ e_1 \ \text{else } e_2) \ A =$   
 $\quad (\mathcal{D} \ e \ A \wedge \mathcal{D} \ e_1 \ (A \sqcup \mathcal{A} \ e) \wedge \mathcal{D} \ e_2 \ (A \sqcup \mathcal{A} \ e)) \mid$   
 $\mathcal{D} (\text{while } (e) \ c) \ A = (\mathcal{D} \ e \ A \wedge \mathcal{D} \ c \ (A \sqcup \mathcal{A} \ e)) \mid$   
 $\mathcal{D} (\text{throw } e) \ A = \mathcal{D} \ e \ A \mid$

$\mathcal{D}s (\[]) \ A = \text{True} \mid$

$\mathcal{D}s (e\#es) A = (\mathcal{D} e A \wedge \mathcal{D}s es (A \sqcup \mathcal{A} e))$

**lemma** *As-map-Val[simp]*:  $\mathcal{A}s (\text{map Val } vs) = \{\{\}\}$   
 $\langle \text{proof} \rangle$

**lemma** *D-append[iff]*:  $\bigwedge A. \mathcal{D}s (es @ es') A = (\mathcal{D}s es A \wedge \mathcal{D}s es' (A \sqcup \mathcal{A}s es))$   
 $\langle \text{proof} \rangle$

**lemma** *A-fv*:  $\bigwedge A. \mathcal{A} e = \lfloor A \rfloor \implies A \subseteq \text{fv } e$   
**and**  $\bigwedge A. \mathcal{A}s es = \lfloor A \rfloor \implies A \subseteq \text{fvs } es$

$\langle \text{proof} \rangle$

**lemma** *sqUn-lem*:  $A \sqsubseteq A' \implies A \sqcup B \sqsubseteq A' \sqcup B$   
 $\langle \text{proof} \rangle$

**lemma** *diff-lem*:  $A \sqsubseteq A' \implies A \ominus b \sqsubseteq A' \ominus b$   
 $\langle \text{proof} \rangle$

**lemma** *D-mono*:  $\bigwedge A A'. A \sqsubseteq A' \implies \mathcal{D} e A \implies \mathcal{D} (e::\text{expr}) A'$   
**and** *Ds-mono*:  $\bigwedge A A'. A \sqsubseteq A' \implies \mathcal{D}s es A \implies \mathcal{D}s (es::\text{expr list}) A'$

$\langle \text{proof} \rangle$

**lemma** *D-mono'*:  $\mathcal{D} e A \implies A \sqsubseteq A' \implies \mathcal{D} e A'$   
**and** *Ds-mono'*:  $\mathcal{D}s es A \implies A \sqsubseteq A' \implies \mathcal{D}s es A'$   
 $\langle \text{proof} \rangle$

end

## 21 Runtime Well-typedness

**theory** *WellTypeRT* **imports** *WellType* **begin**

### 21.1 Run time types

**primrec** *typeof-h* :: *prog*  $\Rightarrow$  *heap*  $\Rightarrow$  *val*  $\Rightarrow$  *ty option* ( $- \vdash \text{typeof-}$ ) **where**  
 $P \vdash \text{typeof}_h \text{ Unit} = \text{Some Void}$   
 $| P \vdash \text{typeof}_h \text{ Null} = \text{Some NT}$   
 $| P \vdash \text{typeof}_h (\text{Bool } b) = \text{Some Boolean}$   
 $| P \vdash \text{typeof}_h (\text{Intg } i) = \text{Some Integer}$   
 $| P \vdash \text{typeof}_h (\text{Ref } r) = (\text{case } h \text{ (the-addr (Ref } r)) \text{ of None } \Rightarrow \text{None}$   
 $| \text{Some}(C,S) \Rightarrow (\text{if Subobjs } P \ C \text{ (the-path(Ref } r)) \text{ then$

$$\text{Some}(\text{Class}(\text{last}(\text{the-path}(\text{Ref } r)))) \\ \text{else None}))$$

**lemma** *type-eq-type*:  $\text{typeof } v = \text{Some } T \implies P \vdash \text{typeof}_h v = \text{Some } T$   
 $\langle \text{proof} \rangle$

**lemma** *typeof-Void* [*simp*]:  $P \vdash \text{typeof}_h v = \text{Some } \text{Void} \implies v = \text{Unit}$   
 $\langle \text{proof} \rangle$

**lemma** *typeof-NT* [*simp*]:  $P \vdash \text{typeof}_h v = \text{Some } \text{NT} \implies v = \text{Null}$   
 $\langle \text{proof} \rangle$

**lemma** *typeof-Boolean* [*simp*]:  $P \vdash \text{typeof}_h v = \text{Some } \text{Boolean} \implies \exists b. v = \text{Bool } b$   
 $\langle \text{proof} \rangle$

**lemma** *typeof-Integer* [*simp*]:  $P \vdash \text{typeof}_h v = \text{Some } \text{Integer} \implies \exists i. v = \text{Intg } i$   
 $\langle \text{proof} \rangle$

**lemma** *typeof-Class-Subo*:

$$P \vdash \text{typeof}_h v = \text{Some } (\text{Class } C) \implies \\ \exists a \text{ Cs } D \text{ S}. v = \text{Ref}(a, \text{Cs}) \wedge h a = \text{Some}(D, \text{S}) \wedge \text{Subobjs } P \text{ D } \text{Cs} \wedge \text{last } \text{Cs} = C$$

$\langle \text{proof} \rangle$

## 21.2 The rules

**inductive**

$$\text{WTrt} :: [\text{prog}, \text{env}, \text{heap}, \text{expr}, \quad \text{ty} \quad ] \Rightarrow \text{bool}$$

$$(-, -, - \vdash - : - \quad [51, 51, 51] 50)$$

**and**  $\text{WTrts} :: [\text{prog}, \text{env}, \text{heap}, \text{expr } \text{list}, \text{ty } \text{list}] \Rightarrow \text{bool}$   
 $(-, -, - \vdash - [:] - [51, 51, 51] 50)$

**for**  $P :: \text{prog}$

**where**

*WTrtNew*:

$$\text{is-class } P \text{ C} \implies$$

$$P, E, h \vdash \text{new } C : \text{Class } C$$

| *WTrtDynCast*:

$$\llbracket P, E, h \vdash e : T; \text{is-refT } T; \text{is-class } P \text{ C} \rrbracket \\ \implies P, E, h \vdash \text{Cast } C \text{ e} : \text{Class } C$$

| *WTrtStaticCast*:

$$\llbracket P, E, h \vdash e : T; \text{is-refT } T; \text{is-class } P \text{ C} \rrbracket \\ \implies P, E, h \vdash \langle C \rangle e : \text{Class } C$$

| *WTrtVal*:

$$P \vdash \text{typeof}_h v = \text{Some } T \implies \\ P, E, h \vdash \text{Val } v : T$$

| *WTrtVar*:  
 $E V = \text{Some } T \implies$   
 $P, E, h \vdash \text{Var } V : T$

| *WTrtBinOp*:  
 $\llbracket P, E, h \vdash e_1 : T_1; P, E, h \vdash e_2 : T_2;$   
*case bop of Eq*  $\implies T = \text{Boolean}$   
| *Add*  $\implies T_1 = \text{Integer} \wedge T_2 = \text{Integer} \wedge T = \text{Integer} \rrbracket$   
 $\implies P, E, h \vdash e_1 \llbracket \text{bop} \rrbracket e_2 : T$

| *WTrtLAss*:  
 $\llbracket E V = \text{Some } T; P, E, h \vdash e : T'; P \vdash T' \leq T \rrbracket$   
 $\implies P, E, h \vdash V := e : T$

| *WTrtFAcc*:  
 $\llbracket P, E, h \vdash e : \text{Class } C; Cs \neq []; P \vdash C \text{ has least } F:T \text{ via } Cs \rrbracket$   
 $\implies P, E, h \vdash e \cdot F\{Cs\} : T$

| *WTrtFAccNT*:  
 $P, E, h \vdash e : NT \implies P, E, h \vdash e \cdot F\{Cs\} : T$

| *WTrtFAss*:  
 $\llbracket P, E, h \vdash e_1 : \text{Class } C; Cs \neq [];$   
 $P \vdash C \text{ has least } F:T \text{ via } Cs; P, E, h \vdash e_2 : T'; P \vdash T' \leq T \rrbracket$   
 $\implies P, E, h \vdash e_1 \cdot F\{Cs\} := e_2 : T$

| *WTrtFAssNT*:  
 $\llbracket P, E, h \vdash e_1 : NT; P, E, h \vdash e_2 : T'; P \vdash T' \leq T \rrbracket$   
 $\implies P, E, h \vdash e_1 \cdot F\{Cs\} := e_2 : T$

| *WTrtCall*:  
 $\llbracket P, E, h \vdash e : \text{Class } C; P \vdash C \text{ has least } M = (Ts, T, m) \text{ via } Cs;$   
 $P, E, h \vdash es [:] Ts'; P \vdash Ts' [\leq] Ts \rrbracket$   
 $\implies P, E, h \vdash e \cdot M(es) : T$

| *WTrtStaticCall*:  
 $\llbracket P, E, h \vdash e : \text{Class } C'; P \vdash \text{Path } C' \text{ to } C \text{ unique};$   
 $P \vdash C \text{ has least } M = (Ts, T, m) \text{ via } Cs;$   
 $P, E, h \vdash es [:] Ts'; P \vdash Ts' [\leq] Ts \rrbracket$   
 $\implies P, E, h \vdash e \cdot (C::)M(es) : T$

| *WTrtCallNT*:  
 $\llbracket P, E, h \vdash e : NT; P, E, h \vdash es [:] Ts \rrbracket \implies P, E, h \vdash \text{Call } e \text{ Copt } M \text{ es} : T$

| *WTrtBlock*:  
 $\llbracket P, E(V \mapsto T), h \vdash e : T'; \text{is-type } P T \rrbracket \implies$   
 $P, E, h \vdash \{V:T; e\} : T'$



| *WTrtSeq*:  
 $\llbracket P, E, h \vdash e_1 : T_1; P, E, h \vdash e_2 : T_2 \rrbracket \Longrightarrow P, E, h \vdash e_1;;e_2 : T_2$

| *WTrtCond*:  
 $\llbracket P, E, h \vdash e : \text{Boolean}; P, E, h \vdash e_1 : T; P, E, h \vdash e_2 : T \rrbracket$   
 $\Longrightarrow P, E, h \vdash \text{if } (e) e_1 \text{ else } e_2 : T$

| *WTrtWhile*:  
 $\llbracket P, E, h \vdash e : \text{Boolean}; P, E, h \vdash c : T \rrbracket$   
 $\Longrightarrow P, E, h \vdash \text{while}(e) c : \text{Void}$

| *WTrtThrow*:  
 $\llbracket P, E, h \vdash e : T'; \text{is-ref } T \ T' \rrbracket$   
 $\Longrightarrow P, E, h \vdash \text{throw } e : T$

| *WTrtNil*:  
 $P, E, h \vdash \llbracket \cdot \rrbracket$

| *WTrtCons*:  
 $\llbracket P, E, h \vdash e : T; P, E, h \vdash es \llbracket \cdot \rrbracket Ts \rrbracket \Longrightarrow P, E, h \vdash e\#es \llbracket \cdot \rrbracket T\#Ts$

**declare**

*WTrt-WTrts.intros*[*intro!*]  
*WTrtNil*[*iff*]

**declare**

*WTrtFAcc*[*rule del*] *WTrtFAccNT*[*rule del*]  
*WTrtFAss*[*rule del*] *WTrtFAssNT*[*rule del*]  
*WTrtCall*[*rule del*] *WTrtCallNT*[*rule del*]

**lemmas** *WTrt-induct* = *WTrt-WTrts.induct* [*split-format (complete)*]  
**and** *WTrt-inducts* = *WTrt-WTrts.inducts* [*split-format (complete)*]

### 21.3 Easy consequences

**inductive-simps** [*iff*]:

$P, E, h \vdash \llbracket \cdot \rrbracket Ts$   
 $P, E, h \vdash e\#es \llbracket \cdot \rrbracket T\#Ts$   
 $P, E, h \vdash (e\#es) \llbracket \cdot \rrbracket Ts$   
 $P, E, h \vdash \text{Val } v : T$   
 $P, E, h \vdash \text{Var } V : T$   
 $P, E, h \vdash e_1;;e_2 : T_2$   
 $P, E, h \vdash \{V:T; e\} : T'$

**lemma** [*simp*]:  $\forall Ts. (P, E, h \vdash es_1 @ es_2 \llbracket \cdot \rrbracket Ts) =$

$(\exists Ts_1 Ts_2. Ts = Ts_1 @ Ts_2 \wedge P, E, h \vdash es_1 [:] Ts_1 \& P, E, h \vdash es_2 [:] Ts_2)$

$\langle proof \rangle$

**inductive-cases** *WTrt-elim-cases*[*elim!*]:

$P, E, h \vdash new\ C : T$   
 $P, E, h \vdash Cast\ C\ e : T$   
 $P, E, h \vdash \langle C \rangle e : T$   
 $P, E, h \vdash e_1 \ll bop \gg e_2 : T$   
 $P, E, h \vdash V := e : T$   
 $P, E, h \vdash e \cdot F\{Cs\} : T$   
 $P, E, h \vdash e \cdot F\{Cs\} := v : T$   
 $P, E, h \vdash e \cdot M(es) : T$   
 $P, E, h \vdash e \cdot (C ::) M(es) : T$   
 $P, E, h \vdash if\ (e)\ e_1\ else\ e_2 : T$   
 $P, E, h \vdash while(e)\ c : T$   
 $P, E, h \vdash throw\ e : T$

## 21.4 Some interesting lemmas

**lemma** *WTrts-Val*[*simp*]:

$\bigwedge Ts. (P, E, h \vdash map\ Val\ vs\ [:] Ts) = (map\ (\lambda v. (P \vdash typeof_h)\ v)\ vs = map\ Some\ Ts)$

$\langle proof \rangle$

**lemma** *WTrts-same-length*:  $\bigwedge Ts. P, E, h \vdash es\ [:] Ts \implies length\ es = length\ Ts$

$\langle proof \rangle$

**lemma** *WTrt-env-mono*:

$P, E, h \vdash e : T \implies (\bigwedge E'. E \subseteq_m E' \implies P, E', h \vdash e : T)$  **and**  
 $P, E, h \vdash es\ [:] Ts \implies (\bigwedge E'. E \subseteq_m E' \implies P, E', h \vdash es\ [:] Ts)$

$\langle proof \rangle$

**lemma** *WT-implies-WTrt*:  $P, E \vdash e :: T \implies P, E, h \vdash e : T$

**and** *WTs-implies-WTrts*:  $P, E \vdash es\ [::] Ts \implies P, E, h \vdash es\ [:] Ts$

$\langle proof \rangle$

**end**

## 22 Conformance Relations for Proofs

**theory** *Conform*  
**imports** *Exceptions WellTypeRT*  
**begin**

**primrec** *conf* :: *prog*  $\Rightarrow$  *heap*  $\Rightarrow$  *val*  $\Rightarrow$  *ty*  $\Rightarrow$  *bool* ( $-, - \vdash - : \leq -$  [51,51,51,51] 50) **where**

$P, h \vdash v : \leq \text{Void} = (P \vdash \text{typeof}_h v = \text{Some Void})$   
 $| P, h \vdash v : \leq \text{Boolean} = (P \vdash \text{typeof}_h v = \text{Some Boolean})$   
 $| P, h \vdash v : \leq \text{Integer} = (P \vdash \text{typeof}_h v = \text{Some Integer})$   
 $| P, h \vdash v : \leq \text{NT} = (P \vdash \text{typeof}_h v = \text{Some NT})$   
 $| P, h \vdash v : \leq (\text{Class } C) = (P \vdash \text{typeof}_h v = \text{Some}(\text{Class } C) \vee P \vdash \text{typeof}_h v = \text{Some NT})$

**definition** *fconf* :: *prog*  $\Rightarrow$  *heap*  $\Rightarrow$  (*a*  $\rightarrow$  *val*)  $\Rightarrow$  (*a*  $\rightarrow$  *ty*)  $\Rightarrow$  *bool* ( $-, - \vdash - '(\leq)'$  - [51,51,51,51] 50) **where**

$P, h \vdash v_m (\leq) T_m \equiv$   
 $\forall FD T. T_m FD = \text{Some } T \longrightarrow (\exists v. v_m FD = \text{Some } v \wedge P, h \vdash v : \leq T)$

**definition** *oconf* :: *prog*  $\Rightarrow$  *heap*  $\Rightarrow$  *obj*  $\Rightarrow$  *bool* ( $-, - \vdash - \surd$  [51,51,51] 50) **where**

$P, h \vdash \text{obj } \surd \equiv \text{let } (C, S) = \text{obj in}$   
 $(\forall Cs. \text{Subobjs } P C Cs \longrightarrow (\exists !fs'. (Cs, fs') \in S)) \wedge$   
 $(\forall Cs fs'. (Cs, fs') \in S \longrightarrow \text{Subobjs } P C Cs \wedge$   
 $(\exists fs Bs ms. \text{class } P (\text{last } Cs) = \text{Some } (Bs, fs, ms) \wedge$   
 $P, h \vdash fs' (\leq) \text{map-of } fs))$

**definition** *hconf* :: *prog*  $\Rightarrow$  *heap*  $\Rightarrow$  *bool* ( $- \vdash - \surd$  [51,51] 50) **where**

$P \vdash h \surd \equiv$   
 $(\forall a \text{ obj}. h a = \text{Some obj} \longrightarrow P, h \vdash \text{obj } \surd) \wedge \text{preallocated } h$

**definition** *lconf* :: *prog*  $\Rightarrow$  *heap*  $\Rightarrow$  (*a*  $\rightarrow$  *val*)  $\Rightarrow$  (*a*  $\rightarrow$  *ty*)  $\Rightarrow$  *bool* ( $-, - \vdash - '(\leq)'$ )<sub>w</sub> - [51,51,51,51] 50) **where**

$P, h \vdash v_m (\leq)_w T_m \equiv$   
 $\forall V v. v_m V = \text{Some } v \longrightarrow (\exists T. T_m V = \text{Some } T \wedge P, h \vdash v : \leq T)$

**abbreviation**

*confs* :: *prog*  $\Rightarrow$  *heap*  $\Rightarrow$  *val list*  $\Rightarrow$  *ty list*  $\Rightarrow$  *bool*  
 $(-, - \vdash - [:\leq] -$  [51,51,51,51] 50) **where**  
 $P, h \vdash \text{vs } [:\leq] Ts \equiv \text{list-all2 } (\text{conf } P h) \text{ vs } Ts$

### 22.1 Value conformance $:\leq$

**lemma** *conf-Null* [*simp*]:  $P, h \vdash \text{Null} : \leq T = P \vdash \text{NT} \leq T$   
 $\langle \text{proof} \rangle$

**lemma** *typeof-conf* [*simp*]:  $P \vdash \text{typeof}_h v = \text{Some } T \Longrightarrow P, h \vdash v : \leq T$   
 $\langle \text{proof} \rangle$

**lemma** *typeof-lit-conf[simp]*:  $\text{typeof } v = \text{Some } T \implies P, h \vdash v : \leq T$   
 ⟨proof⟩

**lemma** *defval-conf[simp]*:  $\text{is-type } P \ T \implies P, h \vdash \text{default-val } T : \leq T$   
 ⟨proof⟩

**lemma** *typeof-notclass-heap*:  
 $\forall C. T \neq \text{Class } C \implies (P \vdash \text{typeof}_h v = \text{Some } T) = (P \vdash \text{typeof}_{h'} v = \text{Some } T)$   
 ⟨proof⟩

**lemma** *assumes*  $h: h \ a = \text{Some}(C, S)$   
**shows** *conf-upd-obj*:  $(P, h(a \mapsto (C, S'))) \vdash v : \leq T) = (P, h \vdash v : \leq T)$   
 ⟨proof⟩

**lemma** *conf-NT [iff]*:  $P, h \vdash v : \leq NT = (v = \text{Null})$   
 ⟨proof⟩

## 22.2 Value list conformance $[:\leq]$

**lemma** *confs-rev*:  $P, h \vdash \text{rev } s [:\leq] t = (P, h \vdash s [:\leq] \text{rev } t)$   
 ⟨proof⟩

**lemma** *confs-Cons2*:  $P, h \vdash xs [:\leq] y \# ys = (\exists z zs. xs = z \# zs \wedge P, h \vdash z : \leq y \wedge P, h \vdash zs [:\leq] ys)$   
 ⟨proof⟩

## 22.3 Field conformance $(:\leq)$

**lemma** *fconf-init-fields*:  
 $\text{class } P \ C = \text{Some}(Bs, fs, ms) \implies P, h \vdash \text{init-class-fieldmap } P \ C (:\leq) \text{ map-of } fs$   
 ⟨proof⟩

## 22.4 Heap conformance

**lemma** *hconfD*:  $\llbracket P \vdash h \ \checkmark; h \ a = \text{Some } \text{obj} \rrbracket \implies P, h \vdash \text{obj } \checkmark$   
 ⟨proof⟩

**lemma** *hconf-Subobjs*:  
 $\llbracket h \ a = \text{Some}(C, S); (Cs, fs) \in S; P \vdash h \ \checkmark \rrbracket \implies \text{Subobjs } P \ C \ Cs$

*<proof>*

## 22.5 Local variable conformance

**lemma** *lconf-upd*:

$$\llbracket P, h \vdash l (\leq)_w E; P, h \vdash v \leq T; E V = \text{Some } T \rrbracket \implies P, h \vdash l(V \mapsto v) (\leq)_w E$$

*<proof>*

**lemma** *lconf-empty[iff]*:  $P, h \vdash \text{Map.empty} (\leq)_w E$

*<proof>*

**lemma** *lconf-upd2*:  $\llbracket P, h \vdash l (\leq)_w E; P, h \vdash v \leq T \rrbracket \implies P, h \vdash l(V \mapsto v) (\leq)_w E(V \mapsto T)$

*<proof>*

## 22.6 Environment conformance

**definition** *envconf* ::  $\text{prog} \Rightarrow \text{env} \Rightarrow \text{bool}$  ( $- \vdash - \surd [51, 51] 50$ ) **where**  
 $P \vdash E \surd \equiv \forall V T. E V = \text{Some } T \longrightarrow \text{is-type } P T$

## 22.7 Type conformance

**primrec**

$$\text{type-conf} :: \text{prog} \Rightarrow \text{env} \Rightarrow \text{heap} \Rightarrow \text{expr} \Rightarrow \text{ty} \Rightarrow \text{bool} \\ (-, -, \vdash - :_{NT} - [51, 51, 51] 50)$$

**where**

$$\begin{aligned} \text{type-conf-Void}: & P, E, h \vdash e :_{NT} \text{Void} \longleftrightarrow (P, E, h \vdash e : \text{Void}) \\ | \text{type-conf-Boolean}: & P, E, h \vdash e :_{NT} \text{Boolean} \longleftrightarrow (P, E, h \vdash e : \text{Boolean}) \\ | \text{type-conf-Integer}: & P, E, h \vdash e :_{NT} \text{Integer} \longleftrightarrow (P, E, h \vdash e : \text{Integer}) \\ | \text{type-conf-NT}: & P, E, h \vdash e :_{NT} \text{NT} \longleftrightarrow (P, E, h \vdash e : \text{NT}) \\ | \text{type-conf-Class}: & P, E, h \vdash e :_{NT} \text{Class } C \longleftrightarrow \\ & (P, E, h \vdash e : \text{Class } C \vee P, E, h \vdash e : \text{NT}) \end{aligned}$$

**fun**

$$\text{types-conf} :: \text{prog} \Rightarrow \text{env} \Rightarrow \text{heap} \Rightarrow \text{expr list} \Rightarrow \text{ty list} \Rightarrow \text{bool} \\ (-, -, \vdash - [:]_{NT} - [51, 51, 51] 50)$$

**where**

$$\begin{aligned} P, E, h \vdash [] [:]_{NT} [] & \longleftrightarrow \text{True} \\ | P, E, h \vdash (e \# es) [:]_{NT} (T \# Ts) & \longleftrightarrow \\ & (P, E, h \vdash e :_{NT} T \wedge P, E, h \vdash es [:]_{NT} Ts) \\ | P, E, h \vdash es [:]_{NT} Ts & \longleftrightarrow \text{False} \end{aligned}$$

**lemma** *wt-same-type-typeconf*:

$$P, E, h \vdash e : T \implies P, E, h \vdash e :_{NT} T$$

*<proof>*

**lemma** *wts-same-types-typesconf*:

$P, E, h \vdash es \text{ [:] } Ts \implies \text{types-conf } P \ E \ h \ es \ Ts$   
 ⟨proof⟩

**lemma** *types-conf-smaller-types*:

$\bigwedge es \ Ts. \llbracket \text{length } es = \text{length } Ts'; \text{types-conf } P \ E \ h \ es \ Ts'; P \vdash Ts' \llbracket \leq \rrbracket Ts \rrbracket$   
 $\implies \exists Ts''. P, E, h \vdash es \text{ [:] } Ts'' \wedge P \vdash Ts'' \llbracket \leq \rrbracket Ts$

⟨proof⟩

end

## 23 Progress of Small Step Semantics

**theory** *Progress* **imports** *Equivalence DefAss Conform* **begin**

### 23.1 Some pre-definitions

**lemma** *final-refE*:

$\llbracket P, E, h \vdash e : \text{Class } C; \text{final } e;$   
 $\bigwedge r. e = \text{ref } r \implies Q;$   
 $\bigwedge r. e = \text{Throw } r \implies Q \rrbracket \implies Q$   
 ⟨proof⟩

**lemma** *finalRefE*:

$\llbracket P, E, h \vdash e : T; \text{is-refT } T; \text{final } e;$   
 $e = \text{null} \implies Q;$   
 $\bigwedge r. e = \text{ref } r \implies Q;$   
 $\bigwedge r. e = \text{Throw } r \implies Q \rrbracket \implies Q$

⟨proof⟩

**lemma** *subE*:

$\llbracket P \vdash T \leq T'; \text{is-type } P \ T'; \text{wf-prog wf-md } P;$   
 $\llbracket T = T'; \forall C. T \neq \text{Class } C \rrbracket \implies Q;$   
 $\bigwedge C \ D. \llbracket T = \text{Class } C; T' = \text{Class } D; P \vdash \text{Path } C \text{ to } D \text{ unique} \rrbracket \implies Q;$   
 $\bigwedge C. \llbracket T = \text{NT}; T' = \text{Class } C \rrbracket \implies Q \rrbracket \implies Q$

⟨proof⟩

**lemma** **assumes** *wf:wf-prog wf-md P*

**and** *typeof: P \vdash \text{typeof}\_h v = \text{Some } T'*

**and** *type:is-type P T*

**shows** *sub-casts*:  $P \vdash T' \leq T \implies \exists v'. P \vdash T \text{ casts } v \text{ to } v'$

*<proof>*

Derivation of new induction scheme for well typing:

**inductive**

$WTrt' :: [\text{prog}, \text{env}, \text{heap}, \text{expr}, \quad \text{ty} \quad ] \Rightarrow \text{bool}$   
 $(-, -, - \vdash - :'' - [51, 51, 51] 50)$

**and**  $WTrts' :: [\text{prog}, \text{env}, \text{heap}, \text{expr list}, \text{ty list}] \Rightarrow \text{bool}$   
 $(-, -, - \vdash - :'' - [51, 51, 51] 50)$

**for**  $P :: \text{prog}$

**where**

$\text{is-class } P \ C \implies P, E, h \vdash \text{new } C :' \text{Class } C$   
 $| \llbracket \text{is-class } P \ C; P, E, h \vdash e :' T; \text{is-refT } T \rrbracket$   
 $\implies P, E, h \vdash \text{Cast } C \ e :' \text{Class } C$   
 $| \llbracket \text{is-class } P \ C; P, E, h \vdash e :' T; \text{is-refT } T \rrbracket$   
 $\implies P, E, h \vdash \langle C \rangle e :' \text{Class } C$   
 $| P \vdash \text{typeof}_h v = \text{Some } T \implies P, E, h \vdash \text{Val } v :' T$   
 $| E \ V = \text{Some } T \implies P, E, h \vdash \text{Var } V :' T$   
 $| \llbracket P, E, h \vdash e_1 :' T_1; P, E, h \vdash e_2 :' T_2;$   
 $\quad \text{case bop of Eq} \implies T = \text{Boolean}$   
 $\quad | \text{Add} \implies T_1 = \text{Integer} \wedge T_2 = \text{Integer} \wedge T = \text{Integer} \rrbracket$   
 $\implies P, E, h \vdash e_1 \text{ «bop» } e_2 :' T$   
 $| \llbracket P, E, h \vdash \text{Var } V :' T; P, E, h \vdash e :' T' \text{ \textit{not used}}; P \vdash T' \leq T \rrbracket$   
 $\implies P, E, h \vdash V := e :' T$   
 $| \llbracket P, E, h \vdash e :' \text{Class } C; Cs \neq []; P \vdash C \text{ has least } F : T \text{ via } Cs \rrbracket$   
 $\implies P, E, h \vdash e \cdot F \{Cs\} :' T$   
 $| P, E, h \vdash e :' NT \implies P, E, h \vdash e \cdot F \{Cs\} :' T$   
 $| \llbracket P, E, h \vdash e_1 :' \text{Class } C; Cs \neq []; P \vdash C \text{ has least } F : T \text{ via } Cs;$   
 $\quad P, E, h \vdash e_2 :' T'; P \vdash T' \leq T \rrbracket$   
 $\implies P, E, h \vdash e_1 \cdot F \{Cs\} := e_2 :' T$   
 $| \llbracket P, E, h \vdash e_1 :' NT; P, E, h \vdash e_2 :' T'; P \vdash T' \leq T \rrbracket$   
 $\implies P, E, h \vdash e_1 \cdot F \{Cs\} := e_2 :' T$   
 $| \llbracket P, E, h \vdash e :' \text{Class } C; P \vdash C \text{ has least } M = (Ts, T, m) \text{ via } Cs;$   
 $\quad P, E, h \vdash es :' Ts'; P \vdash Ts' [\leq] Ts \rrbracket$   
 $\implies P, E, h \vdash e \cdot M(es) :' T$   
 $| \llbracket P, E, h \vdash e :' \text{Class } C'; P \vdash \text{Path } C' \text{ to } C \text{ unique};$   
 $\quad P \vdash C \text{ has least } M = (Ts, T, m) \text{ via } Cs;$   
 $\quad P, E, h \vdash es :' Ts'; P \vdash Ts' [\leq] Ts \rrbracket$   
 $\implies P, E, h \vdash e \cdot (C ::) M(es) :' T$   
 $| \llbracket P, E, h \vdash e :' NT; P, E, h \vdash es :' Ts \rrbracket \implies P, E, h \vdash \text{Call } e \ \text{Copt } M \ es :' T$   
 $| \llbracket P \vdash \text{typeof}_h v = \text{Some } T'; P, E(V \mapsto T), h \vdash e_2 :' T_2; P \vdash T' \leq T; \text{is-type } P \ T$   
 $\rrbracket$   
 $\implies P, E, h \vdash \{V : T := \text{Val } v; e_2\} :' T_2$   
 $| \llbracket P, E(V \mapsto T), h \vdash e :' T'; \neg \text{assigned } V \ e; \text{is-type } P \ T \rrbracket$   
 $\implies P, E, h \vdash \{V : T; e\} :' T'$   
 $| \llbracket P, E, h \vdash e_1 :' T_1; P, E, h \vdash e_2 :' T_2 \rrbracket \implies P, E, h \vdash e_1 ; e_2 :' T_2$   
 $| \llbracket P, E, h \vdash e :' \text{Boolean}; P, E, h \vdash e_1 :' T; P, E, h \vdash e_2 :' T \rrbracket$   
 $\implies P, E, h \vdash \text{if } (e) \ e_1 \ \text{else } e_2 :' T$

$\mid \llbracket P, E, h \vdash e : ' Boolean; P, E, h \vdash c : ' T \rrbracket$   
 $\implies P, E, h \vdash \text{while}(e) c : ' Void$   
 $\mid \llbracket P, E, h \vdash e : ' T'; \text{is-refT } T \rrbracket \implies P, E, h \vdash \text{throw } e : ' T$

$\mid P, E, h \vdash \llbracket \cdot \rrbracket$   
 $\mid \llbracket P, E, h \vdash e : ' T; P, E, h \vdash es \llbracket \cdot \rrbracket Ts \rrbracket \implies P, E, h \vdash e \# es \llbracket \cdot \rrbracket T \# Ts$

**lemmas**  $WTrt'\text{-induct} = WTrt'\text{-WTrts}'\text{.induct}$   $[split\text{-format } (complete)]$   
**and**  $WTrt'\text{-inducts} = WTrt'\text{-WTrts}'\text{.inducts}$   $[split\text{-format } (complete)]$

**inductive-cases**  $WTrt'\text{-elim-cases}$  $[elim!]$ :  
 $P, E, h \vdash V := e : ' T$

... and some easy consequences:

**lemma**  $[iff]$ :  $P, E, h \vdash e_1;; e_2 : ' T_2 = (\exists T_1. P, E, h \vdash e_1 : ' T_1 \wedge P, E, h \vdash e_2 : ' T_2)$

$\langle proof \rangle$

**lemma**  $[iff]$ :  $P, E, h \vdash \text{Val } v : ' T = (P \vdash \text{typeof}_h v = \text{Some } T)$

$\langle proof \rangle$

**lemma**  $[iff]$ :  $P, E, h \vdash \text{Var } V : ' T = (E V = \text{Some } T)$

$\langle proof \rangle$

**lemma**  $wt\text{-wt}'$ :  $P, E, h \vdash e : T \implies P, E, h \vdash e : ' T$   
**and**  $wts\text{-wts}'$ :  $P, E, h \vdash es \llbracket \cdot \rrbracket Ts \implies P, E, h \vdash es \llbracket \cdot \rrbracket Ts$

$\langle proof \rangle$

**lemma**  $wt'\text{-wt}$ :  $P, E, h \vdash e : ' T \implies P, E, h \vdash e : T$   
**and**  $wts'\text{-wts}$ :  $P, E, h \vdash es \llbracket \cdot \rrbracket Ts \implies P, E, h \vdash es \llbracket \cdot \rrbracket Ts$

$\langle proof \rangle$

**corollary**  $wt'\text{-iff-wt}$ :  $(P, E, h \vdash e : ' T) = (P, E, h \vdash e : T)$   
 $\langle proof \rangle$



**corollary** *wts'-iff-wts*:  $(P, E, h \vdash es \text{[:] } Ts) = (P, E, h \vdash es \text{[:]} Ts)$   
 ⟨proof⟩

**lemmas** *WTrt-inducts2* = *WTrt'-inducts* [*unfolded wt'-iff-wt wts'-iff-wts*,  
*case-names WTrtNew WTrtDynCast WTrtStaticCast WTrtVal WTrtVar WTrt-*  
*BinOp*  
*WTrtLAss WTrtFAcc WTrtFAccNT WTrtFAss WTrtFAssNT WTrtCall WTrt-*  
*StaticCall WTrtCallNT*  
*WTrtInitBlock WTrtBlock WTrtSeq WTrtCond WTrtWhile WTrtThrow*  
*WTrtNil WTrtCons, consumes 1*]

## 23.2 The theorem *progress*

**lemma** *mdc-leq-dyn-type*:

$P, E, h \vdash e : T \implies$

$\forall C a Cs D S. T = \text{Class } C \wedge e = \text{ref}(a, Cs) \wedge h a = \text{Some}(D, S) \longrightarrow P \vdash D \preceq^* C$

**and**  $P, E, h \vdash es \text{[:] } Ts \implies$

$\forall T Ts' e es' C a Cs D S. Ts = T \# Ts' \wedge es = e \# es' \wedge$   
 $T = \text{Class } C \wedge e = \text{ref}(a, Cs) \wedge h a = \text{Some}(D, S)$   
 $\longrightarrow P \vdash D \preceq^* C$

⟨proof⟩

**lemma** *appendPath-append-last*:

**assumes** *notempty*:  $Ds \neq []$

**shows**  $(Cs @_p Ds) @_p [\text{last } Ds] = (Cs @_p Ds)$

⟨proof⟩

**theorem** **assumes** *wf*: *wwf-prog*  $P$

**shows** *progress*:  $P, E, h \vdash e : T \implies$

$(\bigwedge l. \llbracket P \vdash h \checkmark; P \vdash E \checkmark; \mathcal{D} e \text{ [dom } l]; \neg \text{final } e \rrbracket \implies \exists e' s'. P, E \vdash \langle e, (h, l) \rangle \rightarrow \langle e', s' \rangle)$

**and**  $P, E, h \vdash es \text{[:] } Ts \implies$

$(\bigwedge l. \llbracket P \vdash h \checkmark; P \vdash E \checkmark; \mathcal{D} s es \text{ [dom } l]; \neg \text{finals } es \rrbracket \implies \exists es' s'. P, E \vdash \langle es, (h, l) \rangle \rightarrow \langle es', s' \rangle)$

⟨proof⟩

**end**

## 24 Heap Extension

```
theory HeapExtension
imports Progress
begin
```

### 24.1 The Heap Extension

```
definition hext :: heap  $\Rightarrow$  heap  $\Rightarrow$  bool (-  $\leq$  - [51,51] 50) where
  h  $\leq$  h'  $\equiv \forall a C S. h a = \text{Some}(C,S) \longrightarrow (\exists S'. h' a = \text{Some}(C,S'))$ 
```

```
lemma hextI:  $\forall a C S. h a = \text{Some}(C,S) \longrightarrow (\exists S'. h' a = \text{Some}(C,S')) \Longrightarrow h \leq h'$ 
```

*<proof>*

```
lemma hext-objD:  $\llbracket h \leq h'; h a = \text{Some}(C,S) \rrbracket \Longrightarrow \exists S'. h' a = \text{Some}(C,S')$ 
```

*<proof>*

```
lemma hext-refl [iff]: h  $\leq$  h
```

*<proof>*

```
lemma hext-new [simp]: h a = None  $\Longrightarrow h \leq h(a \mapsto x)$ 
```

*<proof>*

```
lemma hext-trans:  $\llbracket h \leq h'; h' \leq h'' \rrbracket \Longrightarrow h \leq h''$ 
```

*<proof>*

```
lemma hext-upd-obj: h a = Some (C,S)  $\Longrightarrow h \leq h(a \mapsto (C,S'))$ 
```

*<proof>*

### 24.2 $\leq$ and preallocated

```
lemma preallocated-hext:
   $\llbracket \text{preallocated } h; h \leq h' \rrbracket \Longrightarrow \text{preallocated } h'$ 
<proof>
```

```
lemmas preallocated-upd-obj = preallocated-hext [OF - hext-upd-obj]
```

```
lemmas preallocated-new = preallocated-hext [OF - hext-new]
```

### 24.3 $\sqsubseteq$ in Small- and BigStep

**lemma** *red-hext-incr*:  $P, E \vdash \langle e, (h, l) \rangle \rightarrow \langle e', (h', l') \rangle \implies h \sqsubseteq h'$   
**and** *reds-hext-incr*:  $P, E \vdash \langle es, (h, l) \rangle [\rightarrow] \langle es', (h', l') \rangle \implies h \sqsubseteq h'$

*<proof>*

**lemma** *step-hext-incr*:  $P, E \vdash \langle e, s \rangle \rightarrow^* \langle e', s' \rangle \implies hp\ s \sqsubseteq hp\ s'$

*<proof>*

**lemma** *steps-hext-incr*:  $P, E \vdash \langle es, s \rangle [\rightarrow]^* \langle es', s' \rangle \implies hp\ s \sqsubseteq hp\ s'$

*<proof>*

**lemma** *eval-hext*:  $P, E \vdash \langle e, (h, l) \rangle \Rightarrow \langle e', (h', l') \rangle \implies h \sqsubseteq h'$   
**and** *evals-hext*:  $P, E \vdash \langle es, (h, l) \rangle [\Rightarrow] \langle es', (h', l') \rangle \implies h \sqsubseteq h'$

*<proof>*

### 24.4 $\sqsubseteq$ and conformance

**lemma** *conf-hext*:  $h \sqsubseteq h' \implies P, h \vdash v : \leq T \implies P, h' \vdash v : \leq T$   
*<proof>*

**lemma** *confs-hext*:  $P, h \vdash vs [:\leq] Ts \implies h \sqsubseteq h' \implies P, h' \vdash vs [:\leq] Ts$   
*<proof>*

**lemma** *fconf-hext*:  $\llbracket P, h \vdash fs (:\leq) E; h \sqsubseteq h' \rrbracket \implies P, h' \vdash fs (:\leq) E$

*<proof>*

**lemmas** *fconf-upd-obj* = *fconf-hext* [*OF* - *hext-upd-obj*]

**lemmas** *fconf-new* = *fconf-hext* [*OF* - *hext-new*]

**lemma** *oconf-hext*:  $P, h \vdash obj\ \checkmark \implies h \sqsubseteq h' \implies P, h' \vdash obj\ \checkmark$

*<proof>*

**lemmas** *oconf-new* = *oconf-hext* [*OF* - *hext-new*]

**lemmas** *oconf-upd-obj* = *oconf-hext* [*OF* - *hext-upd-obj*]

**lemma** *hconf-new*:  $\llbracket P \vdash h \checkmark; h a = \text{None}; P, h \vdash \text{obj} \checkmark \rrbracket \implies P \vdash h(a \mapsto \text{obj}) \checkmark$   
 $\langle \text{proof} \rangle$

**lemma**  $\llbracket P \vdash h \checkmark; h' = h(a \mapsto (C, \text{Collect}(\text{init-obj } P C))); h a = \text{None}; \text{wf-prog}$   
 $\text{wf-md } P \rrbracket$   
 $\implies P \vdash h' \checkmark$   
 $\langle \text{proof} \rangle$

**lemma** *hconf-upd-obj*:  
 $\llbracket P \vdash h \checkmark; h a = \text{Some}(C, S); P, h \vdash (C, S') \checkmark \rrbracket \implies P \vdash h(a \mapsto (C, S')) \checkmark$   
 $\langle \text{proof} \rangle$

**lemma** *lconf-heat*:  $\llbracket P, h \vdash l (: \leq)_w E; h \leq h' \rrbracket \implies P, h' \vdash l (: \leq)_w E$   
 $\langle \text{proof} \rangle$

## 24.5 $\leq$ in the runtime type system

**lemma** *heat-typeof-mono*:  $\llbracket h \leq h'; P \vdash \text{typeof}_h v = \text{Some } T \rrbracket \implies P \vdash \text{typeof}_{h'} v = \text{Some } T$

$\langle \text{proof} \rangle$

**lemma** *WTrt-heat-mono*:  $P, E, h \vdash e : T \implies (\bigwedge h'. h \leq h' \implies P, E, h' \vdash e : T)$   
**and** *WTrts-heat-mono*:  $P, E, h \vdash \text{es } [:] Ts \implies (\bigwedge h'. h \leq h' \implies P, E, h' \vdash \text{es } [:] Ts)$

$\langle \text{proof} \rangle$

end

## 25 Well-formedness Constraints

**theory** *CWellForm* **imports** *WellForm WWellForm WellTypeRT DefAss* **begin**

**definition** *wf-C-mdecl* ::  $\text{prog} \Rightarrow \text{cname} \Rightarrow \text{mdecl} \Rightarrow \text{bool}$  **where**  
 $\text{wf-C-mdecl } P C \equiv \lambda(M, Ts, T, (pns, \text{body})).$   
 $\text{length } Ts = \text{length } pns \wedge$   
 $\text{distinct } pns \wedge$   
 $\text{this} \notin \text{set } pns \wedge$

$P, [this \mapsto \text{Class } C, pns[\mapsto] Ts] \vdash \text{body} :: T \wedge$   
 $\mathcal{D} \text{ body } [\{this\} \cup \text{set } pns]$

**lemma** *wf-C-mdecl[simp]*:  
 $wf\text{-}C\text{-mdecl } P \ C \ (M, Ts, T, pns, \text{body}) \equiv$   
 $(\text{length } Ts = \text{length } pns \wedge$   
 $\text{distinct } pns \wedge$   
 $this \notin \text{set } pns \wedge$   
 $P, [this \mapsto \text{Class } C, pns[\mapsto] Ts] \vdash \text{body} :: T \wedge$   
 $\mathcal{D} \text{ body } [\{this\} \cup \text{set } pns])$   
 $\langle \text{proof} \rangle$

### abbreviation

$wf\text{-}C\text{-prog} :: \text{prog} \Rightarrow \text{bool}$  **where**  
 $wf\text{-}C\text{-prog} == wf\text{-prog } wf\text{-}C\text{-mdecl}$

**lemma** *wf-C-prog-wf-C-mdecl*:  
 $\llbracket wf\text{-}C\text{-prog } P; (C, Bs, fs, ms) \in \text{set } P; m \in \text{set } ms \rrbracket$   
 $\Longrightarrow wf\text{-}C\text{-mdecl } P \ C \ m$

$\langle \text{proof} \rangle$

**lemma** *wf-mdecl-wwf-mdecl*:  $wf\text{-}C\text{-mdecl } P \ C \ Md \Longrightarrow wwf\text{-mdecl } P \ C \ Md$   
 $\langle \text{proof} \rangle$

**lemma** *wf-prog-wwf-prog*:  $wf\text{-}C\text{-prog } P \Longrightarrow wwf\text{-prog } P$

$\langle \text{proof} \rangle$

end

## 26 Type Safety Proof

**theory** *TypeSafe*  
**imports** *HeapExtension CWellForm*  
**begin**

### 26.1 Basic preservation lemmas

**lemma** *assumes*  $wf:wwf\text{-prog } P$  **and**  $\text{casts}:P \vdash T \text{ casts } v \text{ to } v'$   
**and**  $\text{typeof}:P \vdash \text{typeof}_h v = \text{Some } T'$  **and**  $\text{leq}:P \vdash T' \leq T$   
**shows**  $\text{casts-conf}:P, h \vdash v' \leq T$

$\langle proof \rangle$

**theorem assumes**  $wf:wuf-prog P$

**shows**  $red-preserves-hconf$ :

$P, E \vdash \langle e, (h, l) \rangle \rightarrow \langle e', (h', l') \rangle \implies (\bigwedge T. \llbracket P, E, h \vdash e : T; P \vdash h \checkmark \rrbracket \implies P \vdash h' \checkmark)$

**and**  $reds-preserves-hconf$ :

$P, E \vdash \langle es, (h, l) \rangle [\rightarrow] \langle es', (h', l') \rangle \implies (\bigwedge Ts. \llbracket P, E, h \vdash es [:] Ts; P \vdash h \checkmark \rrbracket \implies P \vdash h' \checkmark)$

$\langle proof \rangle$

**theorem assumes**  $wf:wuf-prog P$

**shows**  $red-preserves-lconf$ :

$P, E \vdash \langle e, (h, l) \rangle \rightarrow \langle e', (h', l') \rangle \implies$   
 $(\bigwedge T. \llbracket P, E, h \vdash e : T; P, h \vdash l (: \leq)_w E; P \vdash E \checkmark \rrbracket \implies P, h' \vdash l' (: \leq)_w E)$

**and**  $reds-preserves-lconf$ :

$P, E \vdash \langle es, (h, l) \rangle [\rightarrow] \langle es', (h', l') \rangle \implies$   
 $(\bigwedge Ts. \llbracket P, E, h \vdash es [:] Ts; P, h \vdash l (: \leq)_w E; P \vdash E \checkmark \rrbracket \implies P, h' \vdash l' (: \leq)_w E)$

$\langle proof \rangle$

Preservation of definite assignment more complex and requires a few lemmas first.

**lemma**  $[iff]$ :  $\bigwedge A. \llbracket length Vs = length Ts; length vs = length Ts \rrbracket \implies$   
 $\mathcal{D} (blocks (Vs, Ts, vs, e)) A = \mathcal{D} e (A \sqcup [set Vs])$

$\langle proof \rangle$

**lemma**  $red-lA-incr$ :  $P, E \vdash \langle e, (h, l) \rangle \rightarrow \langle e', (h', l') \rangle \implies [dom l] \sqcup \mathcal{A} e \sqsubseteq [dom l'] \sqcup \mathcal{A} e'$

**and**  $reds-lA-incr$ :  $P, E \vdash \langle es, (h, l) \rangle [\rightarrow] \langle es', (h', l') \rangle \implies [dom l] \sqcup \mathcal{A} s es \sqsubseteq [dom l'] \sqcup \mathcal{A} s es'$

$\langle proof \rangle$

Now preservation of definite assignment.

**lemma assumes**  $wf: wf-C-prog P$

**shows**  $red-preserves-defass$ :

$P, E \vdash \langle e, (h, l) \rangle \rightarrow \langle e', (h', l') \rangle \implies \mathcal{D} e [dom l] \implies \mathcal{D} e' [dom l']$

**and**  $P, E \vdash \langle es, (h, l) \rangle [\rightarrow] \langle es', (h', l') \rangle \implies \mathcal{D} s es [dom l] \implies \mathcal{D} s es' [dom l']$

$\langle proof \rangle$

Combining conformance of heap and local variables:

**definition**  $sconf :: prog \Rightarrow env \Rightarrow state \Rightarrow bool$   $(-, - \vdash - \surd$  [51,51,51]50) **where**  
 $P, E \vdash s \surd \equiv let (h, l) = s \text{ in } P \vdash h \surd \wedge P, h \vdash l (\leq)_w E \wedge P \vdash E \surd$

**lemma** *red-preserves-sconf*:

$\llbracket P, E \vdash \langle e, s \rangle \rightarrow \langle e', s' \rangle; P, E, hp \ s \vdash e : T; P, E \vdash s \surd; wwf\text{-}prog \ P \rrbracket$   
 $\implies P, E \vdash s' \surd$

$\langle proof \rangle$

**lemma** *reds-preserves-sconf*:

$\llbracket P, E \vdash \langle es, s \rangle [\rightarrow] \langle es', s' \rangle; P, E, hp \ s \vdash es \ [:] \ Ts; P, E \vdash s \surd; wwf\text{-}prog \ P \rrbracket$   
 $\implies P, E \vdash s' \surd$

$\langle proof \rangle$

## 26.2 Subject reduction

**lemma** *wt-blocks*:

$\bigwedge E. \llbracket length \ Vs = length \ Ts; length \ vs = length \ Ts;$   
 $\forall T' \in set \ Ts. is\text{-}type \ P \ T' \rrbracket \implies$   
 $(P, E, h \vdash blocks(Vs, Ts, vs, e) : T) =$   
 $(P, E(Vs[\mapsto]Ts), h \vdash e : T \wedge$   
 $(\exists Ts'. map (P \vdash typeof_h) \ vs = map \ Some \ Ts' \wedge P \vdash Ts' [\leq] \ Ts))$

$\langle proof \rangle$

**theorem** *assumes wf: wf-C-prog P*

**shows** *subject-reduction2*:  $P, E \vdash \langle e, (h, l) \rangle \rightarrow \langle e', (h', l') \rangle \implies$

$(\bigwedge T. \llbracket P, E \vdash (h, l) \surd; P, E, h \vdash e : T \rrbracket \implies P, E, h' \vdash e' :_{NT} T)$

**and** *subjects-reduction2*:  $P, E \vdash \langle es, (h, l) \rangle [\rightarrow] \langle es', (h', l') \rangle \implies$

$(\bigwedge Ts. \llbracket P, E \vdash (h, l) \surd; P, E, h \vdash es \ [:] \ Ts \rrbracket \implies types\text{-}conf \ P \ E \ h' \ es' \ Ts)$

$\langle proof \rangle$

**corollary** *subject-reduction*:

$\llbracket wf\text{-}C\text{-}prog \ P; P, E \vdash \langle e, s \rangle \rightarrow \langle e', s' \rangle; P, E \vdash s \surd; P, E, hp \ s \vdash e : T \rrbracket$   
 $\implies P, E, (hp \ s') \vdash e' :_{NT} T$

$\langle proof \rangle$

**corollary** *subjects-reduction*:

$\llbracket wf\text{-}C\text{-}prog \ P; P, E \vdash \langle es, s \rangle [\rightarrow] \langle es', s' \rangle; P, E \vdash s \surd; P, E, hp \ s \vdash es \ [:] \ Ts \rrbracket$   
 $\implies types\text{-}conf \ P \ E \ (hp \ s') \ es' \ Ts$

*<proof>*

### 26.3 Lifting to $\rightarrow^*$

Now all these preservation lemmas are first lifted to the transitive closure  
...

**lemma** *step-preserves-sconf*:

**assumes** *wf*: *wf-C-prog P* **and** *step*:  $P, E \vdash \langle e, s \rangle \rightarrow^* \langle e', s' \rangle$   
**shows**  $\bigwedge T. \llbracket P, E, hp \ s \vdash e : T; P, E \vdash s \checkmark \rrbracket \Longrightarrow P, E \vdash s' \checkmark$

*<proof>*

**lemma** *steps-preserves-sconf*:

**assumes** *wf*: *wf-C-prog P* **and** *step*:  $P, E \vdash \langle es, s \rangle [\rightarrow]^* \langle es', s' \rangle$   
**shows**  $\bigwedge Ts. \llbracket P, E, hp \ s \vdash es \ [:] \ Ts; P, E \vdash s \checkmark \rrbracket \Longrightarrow P, E \vdash s' \checkmark$

*<proof>*

**lemma** *step-preserves-defass*:

**assumes** *wf*: *wf-C-prog P* **and** *step*:  $P, E \vdash \langle e, s \rangle \rightarrow^* \langle e', s' \rangle$   
**shows**  $\mathcal{D} \ e \ [dom(lcl \ s)] \Longrightarrow \mathcal{D} \ e' \ [dom(lcl \ s')]$

*<proof>*

**lemma** *step-preserves-type*:

**assumes** *wf*: *wf-C-prog P* **and** *step*:  $P, E \vdash \langle e, s \rangle \rightarrow^* \langle e', s' \rangle$   
**shows**  $\bigwedge T. \llbracket P, E \vdash s \checkmark; P, E, hp \ s \vdash e : T \rrbracket$   
 $\Longrightarrow P, E, (hp \ s') \vdash e' :_{NT} T$

*<proof>*

predicate to show the same lemma for lists

**fun**

*conformable* :: *ty list*  $\Rightarrow$  *ty list*  $\Rightarrow$  *bool*

**where**

*conformable* [] []  $\longleftrightarrow$  *True*  
| *conformable* ( $T'' \# Ts''$ ) ( $T' \# Ts'$ )  $\longleftrightarrow$  ( $T'' = T'$   
 $\vee (\exists C. T'' = NT \ \wedge \ T' = Class \ C) \wedge conformable \ Ts'' \ Ts'$ )  
| *conformable* - -  $\longleftrightarrow$  *False*

**lemma** *types-conf-conf-types-conf*:

$\llbracket types-conf \ P \ E \ h \ es \ Ts; conformable \ Ts \ Ts' \rrbracket \Longrightarrow types-conf \ P \ E \ h \ es \ Ts'$

*<proof>*

**lemma** *types-conf-Wtrt-conf*:



$types\text{-}conf\ P\ E\ h\ es\ Ts \implies \exists Ts'. P, E, h \vdash es\ [:] Ts' \wedge conformable\ Ts'\ Ts$   
 ⟨proof⟩

**lemma** *steps-preserves-types*:

**assumes**  $wf$ :  $wf\text{-}C\text{-}prog\ P$  **and**  $steps$ :  $P, E \vdash \langle es, s \rangle \rightarrow^* \langle es', s' \rangle$

**shows**  $\bigwedge Ts. \llbracket P, E \vdash s\ \checkmark; P, E, hp\ s \vdash es\ [:] Ts \rrbracket$

$\implies types\text{-}conf\ P\ E\ (hp\ s')\ es'\ Ts$

⟨proof⟩

## 26.4 Lifting to $\Rightarrow$

... and now to the big step semantics, just for fun.

**lemma** *eval-preserves-sconf*:

$\llbracket wf\text{-}C\text{-}prog\ P; P, E \vdash \langle e, s \rangle \Rightarrow \langle e', s' \rangle; P, E \vdash e::T; P, E \vdash s\ \checkmark \rrbracket \implies P, E \vdash s'\ \checkmark$

⟨proof⟩

**lemma** *evals-preserves-sconf*:

$\llbracket wf\text{-}C\text{-}prog\ P; P, E \vdash \langle es, s \rangle \Rightarrow \langle es', s' \rangle; P, E \vdash es\ [::] Ts; P, E \vdash s\ \checkmark \rrbracket$

$\implies P, E \vdash s'\ \checkmark$

⟨proof⟩

**lemma** *eval-preserves-type*: **assumes**  $wf$ :  $wf\text{-}C\text{-}prog\ P$

**shows**  $\llbracket P, E \vdash \langle e, s \rangle \Rightarrow \langle e', s' \rangle; P, E \vdash s\ \checkmark; P, E \vdash e::T \rrbracket$

$\implies P, E, (hp\ s') \vdash e' :_{NT} T$

⟨proof⟩

**lemma** *evals-preserves-types*: **assumes**  $wf$ :  $wf\text{-}C\text{-}prog\ P$

**shows**  $\llbracket P, E \vdash \langle es, s \rangle \Rightarrow \langle es', s' \rangle; P, E \vdash s\ \checkmark; P, E \vdash es\ [::] Ts \rrbracket$

$\implies types\text{-}conf\ P\ E\ (hp\ s')\ es'\ Ts$

⟨proof⟩

## 26.5 The final polish

The above preservation lemmas are now combined and packed nicely.

**definition**  $wf\text{-}config :: prog \Rightarrow env \Rightarrow state \Rightarrow expr \Rightarrow ty \Rightarrow bool$  ( $\neg, \cdot, \vdash, - :: - \checkmark$   
 $[51, 0, 0, 0, 0] 50$ ) **where**

$P, E, s \vdash e : T\ \checkmark \equiv P, E \vdash s\ \checkmark \wedge P, E, hp\ s \vdash e : T$

**theorem** *Subject-reduction*: **assumes**  $wf$ :  $wf\text{-}C\text{-}prog\ P$

**shows**  $P, E \vdash \langle e, s \rangle \rightarrow \langle e', s' \rangle \implies P, E, s \vdash e : T\ \checkmark$

$\implies P, E, (hp\ s') \vdash e' :_{NT} T$

$\langle proof \rangle$

**theorem** *Subject-reductions:*

**assumes**  $wf: wf\text{-}C\text{-prog } P$  **and**  $reds: P, E \vdash \langle e, s \rangle \rightarrow^* \langle e', s' \rangle$

**shows**  $\bigwedge T. P, E, s \vdash e : T \checkmark \implies P, E, (hp\ s') \vdash e' :_{NT} T$

$\langle proof \rangle$

**corollary** *Progress:* **assumes**  $wf: wf\text{-}C\text{-prog } P$

**shows**  $\llbracket P, E, s \vdash e : T \checkmark; \mathcal{D} e \ [dom(lcl\ s)]; \neg final\ e \rrbracket \implies \exists e' s'. P, E \vdash \langle e, s \rangle \rightarrow \langle e', s' \rangle$

$\langle proof \rangle$

**corollary** *TypeSafety:*

**fixes**  $s\ s' :: state$

**assumes**  $wf: wf\text{-}C\text{-prog } P$  **and**  $sconf: P, E \vdash s \checkmark$  **and**  $wte: P, E \vdash e :: T$

**and**  $D: \mathcal{D} e \ [dom(lcl\ s)]$  **and**  $step: P, E \vdash \langle e, s \rangle \rightarrow^* \langle e', s' \rangle$

**and**  $nored: \neg(\exists e'' s''. P, E \vdash \langle e', s' \rangle \rightarrow \langle e'', s'' \rangle)$

**shows**  $(\exists v. e' = Val\ v \wedge P, hp\ s' \vdash v : \leq T) \vee$

$(\exists r. e' = Throw\ r \wedge the\text{-}addr\ (Ref\ r) \in dom(hp\ s'))$

$\langle proof \rangle$

**end**

## 27 Determinism Proof

**theory** *Determinism*

**imports** *TypeSafe*

**begin**

### 27.1 Some lemmas

**lemma** *maps-nth:*

$\llbracket (E(xs\ [\mapsto] ys))\ x = Some\ y; length\ xs = length\ ys; distinct\ xs \rrbracket$

$\implies \forall i. x = xs!i \wedge i < length\ xs \longrightarrow y = ys!i$

$\langle proof \rangle$

**lemma** *nth-maps:*  $\llbracket length\ pns = length\ Ts; distinct\ pns; i < length\ Ts \rrbracket$

$\implies (E(pns\ [\mapsto] Ts))\ (pns!i) = Some\ (Ts!i)$

$\langle proof \rangle$

**lemma** *casts-casts-eq-result*:

**fixes**  $s :: \text{state}$

**assumes**  $\text{casts}: P \vdash T \text{ casts } v \text{ to } v'$  **and**  $\text{casts}': P \vdash T \text{ casts } v \text{ to } w'$

**and**  $\text{type}: \text{is-type } P \ T$  **and**  $\text{wte}: P, E \vdash e :: T'$  **and**  $\text{leq}: P \vdash T' \leq T$

**and**  $\text{eval}: P, E \vdash \langle e, s \rangle \Rightarrow \langle \text{Val } v, (h, l) \rangle$  **and**  $\text{sconf}: P, E \vdash s \checkmark$

**and**  $\text{wf}: \text{wf-C-prog } P$

**shows**  $v' = w'$

$\langle \text{proof} \rangle$

**lemma** *Casts-Casts-eq-result*:

**assumes**  $\text{wf}: \text{wf-C-prog } P$

**shows**  $\llbracket P \vdash Ts \text{ Casts } vs \text{ to } vs'; P \vdash Ts \text{ Casts } vs \text{ to } ws'; \forall T \in \text{set } Ts. \text{is-type } P \ T;$

$P, E \vdash es \llbracket :: \rrbracket Ts'; P \vdash Ts' \llbracket \leq \rrbracket Ts; P, E \vdash \langle es, s \rangle \llbracket \Rightarrow \rrbracket \langle \text{map Val } vs, (h, l) \rangle;$

$P, E \vdash s \checkmark \rrbracket$

$\Rightarrow vs' = ws'$

$\langle \text{proof} \rangle$

**lemma** *Casts-conf*: **assumes**  $\text{wf}: \text{wf-C-prog } P$

**shows**  $P \vdash Ts \text{ Casts } vs \text{ to } vs' \Rightarrow$

$(\bigwedge es \ s \ Ts'. \llbracket P, E \vdash es \llbracket :: \rrbracket Ts'; P, E \vdash \langle es, s \rangle \llbracket \Rightarrow \rrbracket \langle \text{map Val } vs, (h, l) \rangle; P, E \vdash s \checkmark;$

$P \vdash Ts' \llbracket \leq \rrbracket Ts \rrbracket \Rightarrow$

$\forall i < \text{length } Ts. P, h \vdash vs!i \llbracket \leq \rrbracket Ts!i)$

$\langle \text{proof} \rangle$

**lemma** *map-Val-throw-False*:  $\text{map Val } vs = \text{map Val } ws \ @ \ \text{throw } ex \ \# \ es \Rightarrow \text{False}$

$\langle \text{proof} \rangle$

**lemma** *map-Val-throw-eq*:  $\text{map Val } vs \ @ \ \text{throw } ex \ \# \ es = \text{map Val } ws \ @ \ \text{throw } ex' \ \# \ es'$

$\Rightarrow vs = ws \wedge ex = ex' \wedge es = es'$

$\langle \text{proof} \rangle$

## 27.2 The proof

**lemma** *deterministic-big-step*:

**assumes**  $\text{wf}: \text{wf-C-prog } P$

**shows**  $P, E \vdash \langle e, s \rangle \Rightarrow \langle e_1, s_1 \rangle \Rightarrow$

$(\bigwedge e_2 \ s_2 \ T. \llbracket P, E \vdash \langle e, s \rangle \Rightarrow \langle e_2, s_2 \rangle; P, E \vdash e :: T; P, E \vdash s \checkmark \rrbracket$

$\Rightarrow e_1 = e_2 \wedge s_1 = s_2)$

**and**  $P, E \vdash \langle es, s \rangle \llbracket \Rightarrow \rrbracket \langle es_1, s_1 \rangle \Rightarrow$

$(\bigwedge es_2 \ s_2 \ Ts. \llbracket P, E \vdash \langle es, s \rangle \llbracket \Rightarrow \rrbracket \langle es_2, s_2 \rangle; P, E \vdash es \llbracket :: \rrbracket Ts; P, E \vdash s \checkmark \rrbracket$

$\Rightarrow es_1 = es_2 \wedge s_1 = s_2)$

$\langle \text{proof} \rangle$

end

## 28 Program annotation

theory *Annotate* imports *WellType* begin

**abbreviation (output)**

$unanFAcc :: \text{expr} \Rightarrow \text{vname} \Rightarrow \text{expr} \Rightarrow \text{expr} \ ((\dots) [10,10] 90)$  **where**  
 $unanFAcc\ e\ F == FAcc\ e\ F\ []$

**abbreviation (output)**

$unanFAss :: \text{expr} \Rightarrow \text{vname} \Rightarrow \text{expr} \Rightarrow \text{expr} \ ((\dots := -) [10,0,90] 90)$  **where**  
 $unanFAss\ e\ F\ e' == FAss\ e\ F\ []\ e'$

**inductive**

$Anno :: [\text{prog}, \text{env}, \text{expr} \quad , \text{expr}] \Rightarrow \text{bool}$   
 $(-, - \vdash - \rightsquigarrow - [51,0,0,51]50)$

**and**  $Annos :: [\text{prog}, \text{env}, \text{expr list}, \text{expr list}] \Rightarrow \text{bool}$   
 $(-, - \vdash - [\rightsquigarrow] - [51,0,0,51]50)$

**for**  $P :: \text{prog}$

**where**

$AnnoNew: \text{is-class } P\ C \Longrightarrow P, E \vdash \text{new } C \rightsquigarrow \text{new } C$   
|  $AnnoCast: P, E \vdash e \rightsquigarrow e' \Longrightarrow P, E \vdash \text{Cast } C\ e \rightsquigarrow \text{Cast } C\ e'$   
|  $AnnoStatCast: P, E \vdash e \rightsquigarrow e' \Longrightarrow P, E \vdash \text{StatCast } C\ e \rightsquigarrow \text{StatCast } C\ e'$   
|  $AnnoVal: P, E \vdash \text{Val } v \rightsquigarrow \text{Val } v$   
|  $AnnoVarVar: E\ V = [T] \Longrightarrow P, E \vdash \text{Var } V \rightsquigarrow \text{Var } V$   
|  $AnnoVarField: \llbracket E\ V = \text{None}; E\ \text{this} = [\text{Class } C]; P \vdash C\ \text{has least } V:T\ \text{via } Cs$   
 $\rrbracket$   
 $\Longrightarrow P, E \vdash \text{Var } V \rightsquigarrow \text{Var } \text{this} \cdot V\{Cs\}$   
|  $AnnoBinOp:$   
 $\llbracket P, E \vdash e1 \rightsquigarrow e1'; P, E \vdash e2 \rightsquigarrow e2' \rrbracket$   
 $\Longrightarrow P, E \vdash e1 \llbracket \text{bop} \rrbracket e2 \rightsquigarrow e1' \llbracket \text{bop} \rrbracket e2'$   
|  $AnnoLAss:$   
 $P, E \vdash e \rightsquigarrow e' \Longrightarrow P, E \vdash V := e \rightsquigarrow V := e'$   
|  $AnnoFAcc:$   
 $\llbracket P, E \vdash e \rightsquigarrow e'; P, E \vdash e' :: \text{Class } C; P \vdash C\ \text{has least } F:T\ \text{via } Cs \rrbracket$   
 $\Longrightarrow P, E \vdash e \cdot F\{\llbracket \rrbracket\} \rightsquigarrow e' \cdot F\{Cs\}$   
|  $AnnoFAss: \llbracket P, E \vdash e1 \rightsquigarrow e1'; P, E \vdash e2 \rightsquigarrow e2';$   
 $P, E \vdash e1' :: \text{Class } C; P \vdash C\ \text{has least } F:T\ \text{via } Cs \rrbracket$   
 $\Longrightarrow P, E \vdash e1 \cdot F\{\llbracket \rrbracket\} := e2 \rightsquigarrow e1' \cdot F\{Cs\} := e2'$   
|  $AnnoCall:$   
 $\llbracket P, E \vdash e \rightsquigarrow e'; P, E \vdash \text{es } [\rightsquigarrow] \text{es}' \rrbracket$   
 $\Longrightarrow P, E \vdash \text{Call } e\ \text{Copt } M\ \text{es} \rightsquigarrow \text{Call } e'\ \text{Copt } M\ \text{es}'$   
|  $AnnoBlock:$   
 $P, E(V \mapsto T) \vdash e \rightsquigarrow e' \Longrightarrow P, E \vdash \{V:T; e\} \rightsquigarrow \{V:T; e'\}$   
|  $AnnoComp: \llbracket P, E \vdash e1 \rightsquigarrow e1'; P, E \vdash e2 \rightsquigarrow e2' \rrbracket$

$$\begin{aligned} & \implies P, E \vdash e1;;e2 \rightsquigarrow e1';;e2' \\ | \text{AnnoCond: } & \llbracket P, E \vdash e \rightsquigarrow e'; P, E \vdash e1 \rightsquigarrow e1'; P, E \vdash e2 \rightsquigarrow e2' \rrbracket \\ & \implies P, E \vdash \text{if } (e) \text{ } e1 \text{ else } e2 \rightsquigarrow \text{if } (e') \text{ } e1' \text{ else } e2' \\ | \text{AnnoLoop: } & \llbracket P, E \vdash e \rightsquigarrow e'; P, E \vdash c \rightsquigarrow c' \rrbracket \\ & \implies P, E \vdash \text{while } (e) \text{ } c \rightsquigarrow \text{while } (e') \text{ } c' \\ | \text{AnnoThrow: } & P, E \vdash e \rightsquigarrow e' \implies P, E \vdash \text{throw } e \rightsquigarrow \text{throw } e' \\ \\ | \text{AnnoNil: } & P, E \vdash [] \rightsquigarrow [] \\ | \text{AnnoCons: } & \llbracket P, E \vdash e \rightsquigarrow e'; P, E \vdash es \rightsquigarrow es' \rrbracket \\ & \implies P, E \vdash e\#es \rightsquigarrow e'\#es' \end{aligned}$$

end

## 29 Code generation for Semantics and Type System

```
theory Execute
imports BigStep WellType
      HOL-Library.AList-Mapping
      HOL-Library.Code-Target-Numeral
begin
```

### 29.1 General redefinitions

```
inductive app :: 'a list  $\Rightarrow$  'a list  $\Rightarrow$  'a list  $\Rightarrow$  bool
where
  app [] ys ys
| app xs ys zs  $\implies$  app (x # xs) ys (x # zs)
```

```
theorem app-eq1:  $\bigwedge$ ys zs. zs = xs @ ys  $\implies$  app xs ys zs
<proof>
```

```
theorem app-eq2: app xs ys zs  $\implies$  zs = xs @ ys
<proof>
```

```
theorem app-eq: app xs ys zs = (zs = xs @ ys)
<proof>
```

#### code-pred

```
(modes:
  i  $\Rightarrow$  i  $\Rightarrow$  i  $\Rightarrow$  bool, i  $\Rightarrow$  i  $\Rightarrow$  o  $\Rightarrow$  bool, i  $\Rightarrow$  o  $\Rightarrow$  i  $\Rightarrow$  bool,
  o  $\Rightarrow$  i  $\Rightarrow$  i  $\Rightarrow$  bool, o  $\Rightarrow$  o  $\Rightarrow$  i  $\Rightarrow$  bool as reverse-app)
app
<proof>
```

```
declare rtranclp-rtrancl-eq[code del]
```

```
lemmas [code-pred-intro] = rtranclp.rtrancl-refl converse-rtranclp-into-rtranclp
```

**code-pred**

(modes:  
 $(i \Rightarrow o \Rightarrow \text{bool}) \Rightarrow i \Rightarrow i \Rightarrow \text{bool}$ ,  
 $(i \Rightarrow o \Rightarrow \text{bool}) \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ )  
 rtranclp  
 <proof>

**definition** *Set-project* :: ('a × 'b) set => 'a => 'b set  
**where** *Set-project* A a = {b. (a, b) ∈ A}

**lemma** *Set-project-set* [code]:

*Set-project* (set xs) a = set (List.map-filter (λ(a', b). if a = a' then Some b else None) xs)  
 <proof>

Redefine map Val vs

**inductive** *map-val* :: expr list ⇒ val list ⇒ bool

**where**

*Nil*: map-val [] []  
 | *Cons*: map-val xs ys ⇒ map-val (Val y # xs) (y # ys)

**code-pred**

(modes:  $i \Rightarrow i \Rightarrow \text{bool}$ ,  $i \Rightarrow o \Rightarrow \text{bool}$ )  
 map-val  
 <proof>

**inductive** *map-val2* :: expr list ⇒ val list ⇒ expr list ⇒ bool

**where**

*Nil*: map-val2 [] [] []  
 | *Cons*: map-val2 xs ys zs ⇒ map-val2 (Val y # xs) (y # ys) zs  
 | *Throw*: map-val2 (throw e # xs) [] (throw e # xs)

**code-pred**

(modes:  $i \Rightarrow i \Rightarrow i \Rightarrow \text{bool}$ ,  $i \Rightarrow o \Rightarrow o \Rightarrow \text{bool}$ )  
 map-val2  
 <proof>

**theorem** *map-val-conv*: (xs = map Val ys) = map-val xs ys<proof>

**theorem** *map-val2-conv*:

(xs = map Val ys @ throw e # zs) = map-val2 xs ys (throw e # zs)<proof>

## 29.2 Code generation

**lemma** *subclsRp-code* [code-pred-intro]:

[[ class P C = [(Bs, rest)]; Predicate-Compile.contains (set Bs) (Repeats D) ]]  
 ⇒ subclsRp P C D  
 <proof>

**code-pred**

(modes:  $i \Rightarrow i \Rightarrow i \Rightarrow \text{bool}$ ,  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ )  
*subclsRp*  
 <proof>

**lemma** *subclsR-code* [*code-pred-inline*]:  
 $P \vdash C \prec_R D \iff \text{subclsRp } P \ C \ D$   
 <proof>

**lemma** *subclsSp-code* [*code-pred-intro*]:  
 $\llbracket \text{class } P \ C = \llbracket (Bs, \text{rest}) \rrbracket; \text{Predicate-Compile.contains (set } Bs) \ (Shares \ D) \rrbracket \implies$   
 $\text{subclsSp } P \ C \ D$   
 <proof>

**code-pred**  
 (modes:  $i \Rightarrow i \Rightarrow i \Rightarrow \text{bool}$ ,  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ )  
*subclsSp*  
 <proof>

**declare** *SubobjsR-Base* [*code-pred-intro*]  
**lemma** *SubobjsR-Rep-code* [*code-pred-intro*]:  
 $\llbracket \text{subclsRp } P \ C \ D; \text{Subobjs}_R \ P \ D \ Cs \rrbracket \implies \text{Subobjs}_R \ P \ C \ (C \ \# \ Cs)$   
 <proof>

**code-pred**  
 (modes:  $i \Rightarrow i \Rightarrow i \Rightarrow \text{bool}$ ,  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ )  
*Subobjs<sub>R</sub>*  
 <proof>

**lemma** *subcls1p-code* [*code-pred-intro*]:  
 $\llbracket \text{class } P \ C = \text{Some } (Bs, \text{rest}); \text{Predicate-Compile.contains (baseClasses } Bs) \ D \rrbracket$   
 $\implies \text{subcls1p } P \ C \ D$   
 <proof>

**code-pred** (modes:  $i \Rightarrow i \Rightarrow i \Rightarrow \text{bool}$ ,  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ )  
*subcls1p*  
 <proof>

**declare** *Subobjs-Rep* [*code-pred-intro*]  
**lemma** *Subobjs-Sh-code* [*code-pred-intro*]:  
 $\llbracket (\text{subcls1p } P) \hat{**} \ C \ C'; \text{subclsSp } P \ C' \ D; \text{Subobjs}_R \ P \ D \ Cs \rrbracket$   
 $\implies \text{Subobjs } P \ C \ Cs$   
 <proof>

**code-pred**  
 (modes:  $i \Rightarrow i \Rightarrow i \Rightarrow \text{bool}$ ,  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ )  
*Subobjs*  
 <proof>

**definition** *widen-unique* ::  $\text{prog} \Rightarrow \text{cname} \Rightarrow \text{cname} \Rightarrow \text{path} \Rightarrow \text{bool}$

**where** *widen-unique*  $P C D Cs \longleftrightarrow (\forall Cs'. \text{Subobjs } P C Cs' \longrightarrow \text{last } Cs' = D \longrightarrow Cs = Cs')$

**code-pred** [*inductify*, *skip-proof*] *widen-unique*  $\langle \text{proof} \rangle$

**lemma** *widen-subcls'*:

$\llbracket \text{Subobjs } P C Cs'; \text{last } Cs' = D; \text{widen-unique } P C D Cs' \rrbracket$   
 $\implies P \vdash \text{Class } C \leq \text{Class } D$   
 $\langle \text{proof} \rangle$

**declare**

*widen-refl* [*code-pred-intro*]  
*widen-subcls'* [*code-pred-intro* *widen-subcls*]  
*widen-null* [*code-pred-intro*]

**code-pred**

(*modes*:  $i \Rightarrow i \Rightarrow i \Rightarrow \text{bool}$ )  
*widen*  
 $\langle \text{proof} \rangle$

**code-pred**

(*modes*:  $i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow \text{bool}, i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}, i \Rightarrow i \Rightarrow o \Rightarrow i \Rightarrow \text{bool},$   
 $i \Rightarrow i \Rightarrow o \Rightarrow o \Rightarrow \text{bool}$ )  
*leq-path1p*  
 $\langle \text{proof} \rangle$

**lemma** *leq-path-unfold*:  $P, C \vdash Cs \sqsubseteq Ds \longleftrightarrow (\text{leq-path1p } P C)^{\hat{**}} Cs Ds$   
 $\langle \text{proof} \rangle$

**code-pred**

(*modes*:  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}, i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow \text{bool}$ )  
[*inductify*, *skip-proof*]  
*path-via*  
 $\langle \text{proof} \rangle$

**lemma** *path-unique-eq* [*code-pred-def*]:  $P \vdash \text{Path } C \text{ to } D \text{ unique} \longleftrightarrow$

$(\exists Cs. \text{Subobjs } P C Cs \wedge \text{last } Cs = D \wedge (\forall Cs'. \text{Subobjs } P C Cs' \longrightarrow \text{last } Cs' = D \longrightarrow Cs = Cs'))$   
 $\langle \text{proof} \rangle$

**code-pred**

(*modes*:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}, i \Rightarrow i \Rightarrow i \Rightarrow \text{bool}$ )  
[*inductify*, *skip-proof*]  
*path-unique*  $\langle \text{proof} \rangle$

Redefine MethodDefs and FieldDecls

**definition** *MethodDefs'* ::  $\text{prog} \Rightarrow \text{cname} \Rightarrow \text{mname} \Rightarrow \text{path} \Rightarrow \text{method} \Rightarrow \text{bool}$   
**where**



$MethodDefs' P C M Cs mthd \equiv (Cs, mthd) \in MethodDefs P C M$

**lemma** [code-pred-intro]:

$Subobjs P C Cs \implies class P (last Cs) = [(Bs, fs, ms)] \implies map-of ms M = [mthd]$   
 $\implies$   
 $MethodDefs' P C M Cs mthd$   
 ⟨proof⟩

**code-pred**

(modes:  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow o \Rightarrow bool, i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow bool$ )  
 $MethodDefs'$   
 ⟨proof⟩

**definition**  $FieldDecls' :: prog \Rightarrow cname \Rightarrow vname \Rightarrow path \Rightarrow ty \Rightarrow bool$  **where**  
 $FieldDecls' P C F Cs T \equiv (Cs, T) \in FieldDecls P C F$

**lemma** [code-pred-intro]:

$Subobjs P C Cs \implies class P (last Cs) = [(Bs, fs, ms)] \implies map-of fs F = [T]$   
 $\implies$   
 $FieldDecls' P C F Cs T$   
 ⟨proof⟩

**code-pred**

(modes:  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow o \Rightarrow bool, i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow bool$ )  
 $FieldDecls'$   
 ⟨proof⟩

**definition**  $MinimalMethodDefs' :: prog \Rightarrow cname \Rightarrow mname \Rightarrow path \Rightarrow method$   
 $\Rightarrow bool$  **where**

$MinimalMethodDefs' P C M Cs mthd \equiv (Cs, mthd) \in MinimalMethodDefs P C M$

**definition**  $MinimalMethodDefs-unique :: prog \Rightarrow cname \Rightarrow mname \Rightarrow path \Rightarrow bool$   
**where**

$MinimalMethodDefs-unique P C M Cs \longleftrightarrow$   
 $(\forall Cs' mthd. MethodDefs' P C M Cs' mthd \longrightarrow (leq-path1p P C) \hat{**} Cs' Cs \longrightarrow Cs' = Cs)$

**code-pred** [inductify, skip-proof]  $MinimalMethodDefs-unique$  ⟨proof⟩

**lemma** [code-pred-intro]:

$MethodDefs' P C M Cs mthd \implies MinimalMethodDefs-unique P C M Cs \implies$   
 $MinimalMethodDefs' P C M Cs mthd$   
 ⟨proof⟩

**code-pred**

(modes:  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow o \Rightarrow \text{bool}$ )  
 MinimalMethodDefs'  
 ⟨proof⟩

**definition** LeastMethodDef-unique :: prog  $\Rightarrow$  cname  $\Rightarrow$  mname  $\Rightarrow$  path  $\Rightarrow$  bool  
 where

LeastMethodDef-unique P C M Cs  $\longleftrightarrow$   
 $(\forall Cs' \text{ mthd}'. \text{MethodDefs}' P C M Cs' \text{ mthd}' \longrightarrow (\text{leq-path1p } P C)^{\wedge**} Cs Cs')$

**code-pred** [inductify, skip-proof] LeastMethodDef-unique ⟨proof⟩

**lemma** LeastMethodDef-unfold:

$P \vdash C$  has least  $M = \text{mthd}$  via  $Cs \longleftrightarrow$   
 $\text{MethodDefs}' P C M Cs \text{ mthd} \wedge \text{LeastMethodDef-unique } P C M Cs$   
 ⟨proof⟩

**lemma** LeastMethodDef-intro [code-pred-intro]:

$\llbracket \text{MethodDefs}' P C M Cs \text{ mthd}; \text{LeastMethodDef-unique } P C M Cs \rrbracket$   
 $\implies P \vdash C$  has least  $M = \text{mthd}$  via  $Cs$   
 ⟨proof⟩

**code-pred** (modes:  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow o \Rightarrow \text{bool}$ )

LeastMethodDef  
 ⟨proof⟩

**definition** OverriderMethodDefs' :: prog  $\Rightarrow$  subobj  $\Rightarrow$  mname  $\Rightarrow$  path  $\Rightarrow$  method  
 $\Rightarrow$  bool where

OverriderMethodDefs' P R M Cs mthd  $\equiv (Cs, \text{mthd}) \in \text{OverriderMethodDefs } P R M$

**lemma** Overrider1 [code-pred-intro]:

$P \vdash (\text{ldc } R)$  has least  $M = \text{mthd}'$  via  $Cs' \implies$   
 $\text{MinimalMethodDefs}' P (\text{mdc } R) M Cs \text{ mthd} \implies$   
 $\text{last } (\text{snd } R) = \text{hd } Cs' \implies (\text{leq-path1p } P (\text{mdc } R))^{\wedge**} Cs (\text{snd } R @ \text{tl } Cs') \implies$   
 $\text{OverriderMethodDefs}' P R M Cs \text{ mthd}$   
 ⟨proof⟩

**lemma** Overrider2 [code-pred-intro]:

$P \vdash (\text{ldc } R)$  has least  $M = \text{mthd}'$  via  $Cs' \implies$   
 $\text{MinimalMethodDefs}' P (\text{mdc } R) M Cs \text{ mthd} \implies$   
 $\text{last } (\text{snd } R) \neq \text{hd } Cs' \implies (\text{leq-path1p } P (\text{mdc } R))^{\wedge**} Cs Cs' \implies$   
 $\text{OverriderMethodDefs}' P R M Cs \text{ mthd}$   
 ⟨proof⟩

**code-pred**

(modes:  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow o \Rightarrow \text{bool}$ ,  $i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ,  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow i \Rightarrow \text{bool}$ ,  $i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow \text{bool}$ )  
*OverrideMethodDefs'*  
 <proof>

**definition** *WTDynCast-ex* :: prog  $\Rightarrow$  cname  $\Rightarrow$  cname  $\Rightarrow$  bool  
**where** *WTDynCast-ex* P D C  $\longleftrightarrow$  ( $\exists$  Cs. P  $\vdash$  Path D to C via Cs)

**code-pred** [*inductify*, *skip-proof*] *WTDynCast-ex* <proof>

**lemma** *WTDynCast-new*:  
 [[P,E  $\vdash$  e :: Class D; is-class P C;  
 P  $\vdash$  Path D to C unique  $\vee$   $\neg$  *WTDynCast-ex* P D C]]  
 $\implies$  P,E  $\vdash$  Cast C e :: Class C  
 <proof>

**definition** *WTStaticCast-sub* :: prog  $\Rightarrow$  cname  $\Rightarrow$  cname  $\Rightarrow$  bool  
**where** *WTStaticCast-sub* P C D  $\longleftrightarrow$   
 P  $\vdash$  Path D to C unique  $\vee$   
 ((subcls1p P)  $\hat{\sim}$ \*\* C D  $\wedge$  ( $\forall$  Cs. P  $\vdash$  Path C to D via Cs  $\longrightarrow$  Subobjs<sub>R</sub> P C Cs))

**code-pred** [*inductify*, *skip-proof*] *WTStaticCast-sub* <proof>

**lemma** *WTStaticCast-new*:  
 [[P,E  $\vdash$  e :: Class D; is-class P C; *WTStaticCast-sub* P C D ]]  
 $\implies$  P,E  $\vdash$  (!C)e :: Class C  
 <proof>

**lemma** *WTBinOp1*: [[ P,E  $\vdash$  e<sub>1</sub> :: T; P,E  $\vdash$  e<sub>2</sub> :: T ]]  
 $\implies$  P,E  $\vdash$  e<sub>1</sub> «Eq» e<sub>2</sub> :: Boolean  
 <proof>

**lemma** *WTBinOp2*: [[ P,E  $\vdash$  e<sub>1</sub> :: Integer; P,E  $\vdash$  e<sub>2</sub> :: Integer ]]  
 $\implies$  P,E  $\vdash$  e<sub>1</sub> «Add» e<sub>2</sub> :: Integer  
 <proof>

**lemma** *LeastFieldDecl-unfold* [*code-pred-def*]:  
 P  $\vdash$  C has least F:T via Cs  $\longleftrightarrow$   
 FieldDecls' P C F Cs T  $\wedge$  ( $\forall$  Cs' T'. FieldDecls' P C F Cs' T'  $\longrightarrow$  (leq-path1p  
 P C)  $\hat{\sim}$ \*\* Cs Cs')  
 <proof>

**code-pred** [*inductify*, *skip-proof*] *LeastFieldDecl* <proof>

**lemmas** [*code-pred-intro*] = WT-WTs.WTNew  
**declare**

$WTDynCast\text{-}new[\textit{code-pred-intro } WTDynCast\text{-}new]$   
 $WTStaticCast\text{-}new[\textit{code-pred-intro } WTStaticCast\text{-}new]$   
**lemmas**  $[\textit{code-pred-intro}] = WT\text{-}WTs.WTVal\ WT\text{-}WTs.WTVar$   
**declare**  
 $WTBinOp1[\textit{code-pred-intro } WTBinOp1]$   
 $WTBinOp2[\textit{code-pred-intro } WTBinOp2]$   
**lemmas**  $[\textit{code-pred-intro}] =$   
 $WT\text{-}WTs.WTLAss\ WT\text{-}WTs.WTFAcc\ WT\text{-}WTs.WTFAss\ WT\text{-}WTs.WTCall\ WT\text{-}$   
 $StaticCall$   
 $WT\text{-}WTs.WTBlock\ WT\text{-}WTs.WTSeq\ WT\text{-}WTs.WTCond\ WT\text{-}WTs.WTWhile\ WT\text{-}WTs.WTThrow$   
**lemmas**  $[\textit{code-pred-intro}] = WT\text{-}WTs.WTNil\ WT\text{-}WTs.WTCons$

### code-pred

$(\textit{modes}: WT: i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow \textit{bool}, i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow \textit{bool})$   
**and**  $WTs: i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow \textit{bool}, i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow \textit{bool})$   
 $WT$   
 $\langle \textit{proof} \rangle$

### lemma casts-to-code $[\textit{code-pred-intro}]$ :

$(\textit{case } T \textit{ of Class } C \Rightarrow \textit{False} \mid - \Rightarrow \textit{True}) \Longrightarrow P \vdash T \textit{ casts } v \textit{ to } v$   
 $P \vdash \textit{Class } C \textit{ casts } \textit{Null} \textit{ to } \textit{Null}$   
 $[[\textit{Subobjs } P \textit{ (last } Cs) \textit{ } Cs'; \textit{last } Cs' = C;$   
 $\textit{last } Cs = \textit{hd } Cs'; Cs @ \textit{tl } Cs' = Ds]]$   
 $\Longrightarrow P \vdash \textit{Class } C \textit{ casts } \textit{Ref}(a, Cs) \textit{ to } \textit{Ref}(a, Ds)$   
 $[[\textit{Subobjs } P \textit{ (last } Cs) \textit{ } Cs'; \textit{last } Cs' = C; \textit{last } Cs \neq \textit{hd } Cs']]$   
 $\Longrightarrow P \vdash \textit{Class } C \textit{ casts } \textit{Ref}(a, Cs) \textit{ to } \textit{Ref}(a, Cs')$   
 $\langle \textit{proof} \rangle$

**code-pred**  $(\textit{modes}: i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow \textit{bool}, i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow \textit{bool})$   
 $\textit{casts-to}$   
 $\langle \textit{proof} \rangle$

### code-pred

$(\textit{modes}: i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow \textit{bool}, i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow \textit{bool})$   
 $\textit{Casts-to}$   
 $\langle \textit{proof} \rangle$

**lemma**  $\textit{card-eq-1-iff-ex1}: x \in A \Longrightarrow \textit{card } A = 1 \iff A = \{x\}$   
 $\langle \textit{proof} \rangle$

### lemma FinalOverriderMethodDef-unfold $[\textit{code-pred-def}]$ :

$P \vdash R \textit{ has overrider } M = \textit{mthd via } Cs \iff$   
 $\textit{OverriderMethodDefs}' P R M Cs \textit{ mthd} \wedge$   
 $(\forall Cs' \textit{ mthd}'. \textit{OverriderMethodDefs}' P R M Cs' \textit{ mthd}' \longrightarrow Cs = Cs' \wedge \textit{mthd} =$   
 $\textit{mthd}')$   
 $\langle \textit{proof} \rangle$

### code-pred

(modes:  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow o \Rightarrow \text{bool}$ )  
 [inductify, skip-proof]  
 FinalOverrideMethodDef  
 <proof>

**code-pred**

(modes:  $i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow o \Rightarrow \text{bool}$ ,  $i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow$   
 $\text{bool}$ )  
 [inductify]  
 SelectMethodDef  
 <proof>

Isomorphic subo with mapping instead of a map

**type-synonym**  $\text{subo}' = (\text{path} \times (\text{vname}, \text{val}) \text{ mapping})$

**type-synonym**  $\text{obj}' = \text{cname} \times \text{subo}' \text{ set}$

**lift-definition**  $\text{init-class-fieldmap}' :: \text{prog} \Rightarrow \text{cname} \Rightarrow (\text{vname}, \text{val}) \text{ mapping}$  **is**  
 $\text{init-class-fieldmap}$  <proof>

**lemma**  $\text{init-class-fieldmap}'\text{-code}$  [code]:

$\text{init-class-fieldmap}' P C =$   
 $\text{Mapping} (\text{map} (\lambda(F,T).(F, \text{default-val } T)) (\text{fst}(\text{snd}(\text{the}(\text{class } P C)))) )$   
 <proof>

**lift-definition**  $\text{init-obj}' :: \text{prog} \Rightarrow \text{cname} \Rightarrow \text{subo}' \Rightarrow \text{bool}$  **is**  $\text{init-obj}$  <proof>

**lemma**  $\text{init-obj}'\text{-intros}$  [code-pred-intro]:

$\text{Subobjs } P C Cs \Longrightarrow \text{init-obj}' P C (Cs, \text{init-class-fieldmap}' P (\text{last } Cs))$   
 <proof>

**code-pred**

(modes:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$  as  $\text{init-obj-pred}$ )  
 $\text{init-obj}'$   
 <proof>

**lemma**  $\text{init-obj-pred-conv}$ :  $\text{set-of-pred} (\text{init-obj-pred } P C) = \text{Collect} (\text{init-obj}' P$   
 $C)$

<proof>

**lift-definition**  $\text{blank}' :: \text{prog} \Rightarrow \text{cname} \Rightarrow \text{obj}'$  **is**  $\text{blank}$  <proof>

**lemma**  $\text{blank}'\text{-code}$  [code]:

$\text{blank}' P C = (C, \text{set-of-pred} (\text{init-obj-pred } P C))$   
 <proof>

**type-synonym**  $\text{heap}' = \text{addr} \rightarrow \text{obj}'$

**abbreviation**

$cname\text{-of}' :: heap' \Rightarrow addr \Rightarrow cname$  **where**  
 $\wedge hp. cname\text{-of}' hp a == fst (the (hp a))$

**lift-definition**  $new\text{-Addr}' :: heap' \Rightarrow addr\ option$  **is**  $new\text{-Addr}$   $\langle proof \rangle$

**lift-definition**  $start\text{-heap}' :: prog \Rightarrow heap'$  **is**  $start\text{-heap}$   $\langle proof \rangle$

**lemma**  $start\text{-heap}'\text{-code}$  [code]:

$start\text{-heap}' P = Map.empty (addr\text{-of}\text{-sys}\text{-xcpt} NullPointer \mapsto blank' P Null\text{-}Pointer,$

$addr\text{-of}\text{-sys}\text{-xcpt} ClassCast \mapsto blank' P ClassCast,$

$addr\text{-of}\text{-sys}\text{-xcpt} OutOfMemory \mapsto blank' P OutOfMemory)$

$\langle proof \rangle$

**type-synonym**

$state' = heap' \times locals$

**lift-definition**  $hp' :: state' \Rightarrow heap'$  **is**  $hp$   $\langle proof \rangle$

**lemma**  $hp'\text{-code}$  [code]:  $hp' = fst$

$\langle proof \rangle$

**lift-definition**  $lcl' :: state' \Rightarrow locals$  **is**  $lcl$   $\langle proof \rangle$

**lemma**  $lcl'\text{-code}$  [code]:  $lcl' = snd$

$\langle proof \rangle$

**lift-definition**  $eval' :: prog \Rightarrow env \Rightarrow expr \Rightarrow state' \Rightarrow expr \Rightarrow state' \Rightarrow bool$

$(-, - \vdash ((1 \langle -, / - \rangle) \Rightarrow'' / (1 \langle -, / - \rangle))) [51, 0, 0, 0, 0] 81)$

**is**  $eval$   $\langle proof \rangle$

**lift-definition**  $evals' :: prog \Rightarrow env \Rightarrow expr\ list \Rightarrow state' \Rightarrow expr\ list \Rightarrow state' \Rightarrow bool$

$(-, - \vdash ((1 \langle -, / - \rangle) [\Rightarrow'' / (1 \langle -, / - \rangle)]) [51, 0, 0, 0, 0] 81)$

**is**  $evals$   $\langle proof \rangle$

**lemma**  $New'$ :

$\llbracket new\text{-Addr}' h = Some\ a; h' = h(a \mapsto (blank' P C)) \rrbracket$

$\implies P, E \vdash \langle new\ C, (h, l) \rangle \Rightarrow' \langle ref\ (a, [C]), (h', l) \rangle$

$\langle proof \rangle$

**lemma**  $NewFail'$ :

$new\text{-Addr}' h = None \implies$

$P, E \vdash \langle new\ C, (h, l) \rangle \Rightarrow' \langle THROW\ OutOfMemory, (h, l) \rangle$

$\langle proof \rangle$

**lemma**  $StaticUpCast'$ :

$\llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle ref\ (a, Cs), s_1 \rangle; P \vdash Path\ last\ Cs\ to\ C\ via\ Cs'; Ds = Cs @_p Cs' \rrbracket$

$\implies P, E \vdash \langle \langle C \rangle e, s_0 \rangle \Rightarrow' \langle \text{ref } (a, Ds), s_1 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *StaticDownCast'-new*:

$\llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{ref } (a, Ds), s_1 \rangle; \text{app } Cs [C] Ds'; \text{app } Ds' Cs' Ds \rrbracket$   
 $\implies P, E \vdash \langle \langle C \rangle e, s_0 \rangle \Rightarrow' \langle \text{ref } (a, Cs@[C]), s_1 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *StaticCastNull'*:

$P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{null}, s_1 \rangle \implies$   
 $P, E \vdash \langle \langle C \rangle e, s_0 \rangle \Rightarrow' \langle \text{null}, s_1 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *StaticCastFail'-new*:

$\llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{ref } (a, Cs), s_1 \rangle; \neg (\text{subcls1p } P) \hat{\ast} \ast (\text{last } Cs) C; C \notin \text{set } Cs \rrbracket$   
 $\implies P, E \vdash \langle \langle C \rangle e, s_0 \rangle \Rightarrow' \langle \text{THROW ClassCast}, s_1 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *StaticCastThrow'*:

$P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{throw } e', s_1 \rangle \implies$   
 $P, E \vdash \langle \langle C \rangle e, s_0 \rangle \Rightarrow' \langle \text{throw } e', s_1 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *StaticUpDynCast'*:

$\llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{ref } (a, Cs), s_1 \rangle; P \vdash \text{Path last } Cs \text{ to } C \text{ unique};$   
 $P \vdash \text{Path last } Cs \text{ to } C \text{ via } Cs'; Ds = Cs@_p Cs' \rrbracket$   
 $\implies P, E \vdash \langle \text{Cast } C e, s_0 \rangle \Rightarrow' \langle \text{ref } (a, Ds), s_1 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *StaticDownDynCast'-new*:

$\llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{ref } (a, Ds), s_1 \rangle; \text{app } Cs [C] Ds'; \text{app } Ds' Cs' Ds \rrbracket$   
 $\implies P, E \vdash \langle \text{Cast } C e, s_0 \rangle \Rightarrow' \langle \text{ref } (a, Cs@[C]), s_1 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *DynCast'*:

$\llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{ref } (a, Cs), (h, l) \rangle; h a = \text{Some}(D, S);$   
 $P \vdash \text{Path } D \text{ to } C \text{ via } Cs'; P \vdash \text{Path } D \text{ to } C \text{ unique} \rrbracket$   
 $\implies P, E \vdash \langle \text{Cast } C e, s_0 \rangle \Rightarrow' \langle \text{ref } (a, Cs'), (h, l) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *DynCastNull'*:

$P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{null}, s_1 \rangle \implies$   
 $P, E \vdash \langle \text{Cast } C e, s_0 \rangle \Rightarrow' \langle \text{null}, s_1 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *DynCastFail'*:

$\llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{ref } (a, Cs), (h, l) \rangle; h a = \text{Some}(D, S); \neg P \vdash \text{Path } D \text{ to } C \text{ unique};$   
 $\neg P \vdash \text{Path last } Cs \text{ to } C \text{ unique}; C \notin \text{set } Cs \rrbracket$   
 $\implies P, E \vdash \langle \text{Cast } C e, s_0 \rangle \Rightarrow' \langle \text{null}, (h, l) \rangle$

$\langle proof \rangle$

**lemma** *DynCastThrow'*:

$P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle throw\ e', s_1 \rangle \Longrightarrow$   
 $P, E \vdash \langle Cast\ C\ e, s_0 \rangle \Rightarrow' \langle throw\ e', s_1 \rangle$   
 $\langle proof \rangle$

**lemma** *Val'*:

$P, E \vdash \langle Val\ v, s \rangle \Rightarrow' \langle Val\ v, s \rangle$   
 $\langle proof \rangle$

**lemma** *BinOp'*:

$\llbracket P, E \vdash \langle e_1, s_0 \rangle \Rightarrow' \langle Val\ v_1, s_1 \rangle; P, E \vdash \langle e_2, s_1 \rangle \Rightarrow' \langle Val\ v_2, s_2 \rangle;$   
 $binop(bop, v_1, v_2) = Some\ v \rrbracket$   
 $\Longrightarrow P, E \vdash \langle e_1\ \langle\langle bop \rangle\rangle\ e_2, s_0 \rangle \Rightarrow' \langle Val\ v, s_2 \rangle$   
 $\langle proof \rangle$

**lemma** *BinOpThrow1'*:

$P, E \vdash \langle e_1, s_0 \rangle \Rightarrow' \langle throw\ e, s_1 \rangle \Longrightarrow$   
 $P, E \vdash \langle e_1\ \langle\langle bop \rangle\rangle\ e_2, s_0 \rangle \Rightarrow' \langle throw\ e, s_1 \rangle$   
 $\langle proof \rangle$

**lemma** *BinOpThrow2'*:

$\llbracket P, E \vdash \langle e_1, s_0 \rangle \Rightarrow' \langle Val\ v_1, s_1 \rangle; P, E \vdash \langle e_2, s_1 \rangle \Rightarrow' \langle throw\ e, s_2 \rangle \rrbracket$   
 $\Longrightarrow P, E \vdash \langle e_1\ \langle\langle bop \rangle\rangle\ e_2, s_0 \rangle \Rightarrow' \langle throw\ e, s_2 \rangle$   
 $\langle proof \rangle$

**lemma** *Var'*:

$l\ V = Some\ v \Longrightarrow$   
 $P, E \vdash \langle Var\ V, (h, l) \rangle \Rightarrow' \langle Val\ v, (h, l) \rangle$   
 $\langle proof \rangle$

**lemma** *LAss'*:

$\llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle Val\ v, (h, l) \rangle; E\ V = Some\ T;$   
 $P \vdash T\ casts\ v\ to\ v'; l' = l(V \mapsto v') \rrbracket$   
 $\Longrightarrow P, E \vdash \langle V := e, s_0 \rangle \Rightarrow' \langle Val\ v', (h, l') \rangle$   
 $\langle proof \rangle$

**lemma** *LAssThrow'*:

$P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle throw\ e', s_1 \rangle \Longrightarrow$   
 $P, E \vdash \langle V := e, s_0 \rangle \Rightarrow' \langle throw\ e', s_1 \rangle$   
 $\langle proof \rangle$

**lemma** *FAcc'-new*:

$\llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle ref\ (a, Cs'), (h, l) \rangle; h\ a = Some(D, S);$   
 $Ds = Cs' @_p Cs; Predicate-Compile.contains\ (Set-project\ S\ Ds)\ fs; Mapping.lookup$   
 $fs\ F = Some\ v \rrbracket$   
 $\Longrightarrow P, E \vdash \langle e \cdot F\ \{Cs\}, s_0 \rangle \Rightarrow' \langle Val\ v, (h, l) \rangle$   
 $\langle proof \rangle$



**lemma** *FAccNull'*:

$$\begin{aligned} & P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{null}, s_1 \rangle \Longrightarrow \\ & P, E \vdash \langle e \cdot F\{Cs\}, s_0 \rangle \Rightarrow' \langle \text{THROW NullPointer}, s_1 \rangle \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *FAccThrow'*:

$$\begin{aligned} & P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{throw } e', s_1 \rangle \Longrightarrow \\ & P, E \vdash \langle e \cdot F\{Cs\}, s_0 \rangle \Rightarrow' \langle \text{throw } e', s_1 \rangle \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *FAss'-new*:

$$\begin{aligned} & \llbracket P, E \vdash \langle e_1, s_0 \rangle \Rightarrow' \langle \text{ref } (a, Cs'), s_1 \rangle; P, E \vdash \langle e_2, s_1 \rangle \Rightarrow' \langle \text{Val } v, (h_2, l_2) \rangle; \\ & \quad h_2 a = \text{Some}(D, S); P \vdash (\text{last } Cs') \text{ has least } F:T \text{ via } Cs; P \vdash T \text{ casts } v \text{ to } v'; \\ & \quad Ds = Cs' @_p Cs; \text{ Predicate-Compile.contains } (\text{Set-project } S \ Ds) \ fs; fs' = \text{Map-} \\ & \quad \text{ping.update } F \ v' \ fs; \\ & \quad S' = S - \{(Ds, fs)\} \cup \{(Ds, fs')\}; h_2' = h_2(a \mapsto (D, S')) \rrbracket \\ & \Longrightarrow P, E \vdash \langle e_1 \cdot F\{Cs\} := e_2, s_0 \rangle \Rightarrow' \langle \text{Val } v', (h_2', l_2) \rangle \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *FAssNull'*:

$$\begin{aligned} & \llbracket P, E \vdash \langle e_1, s_0 \rangle \Rightarrow' \langle \text{null}, s_1 \rangle; P, E \vdash \langle e_2, s_1 \rangle \Rightarrow' \langle \text{Val } v, s_2 \rangle \rrbracket \Longrightarrow \\ & P, E \vdash \langle e_1 \cdot F\{Cs\} := e_2, s_0 \rangle \Rightarrow' \langle \text{THROW NullPointer}, s_2 \rangle \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *FAssThrow1'*:

$$\begin{aligned} & P, E \vdash \langle e_1, s_0 \rangle \Rightarrow' \langle \text{throw } e', s_1 \rangle \Longrightarrow \\ & P, E \vdash \langle e_1 \cdot F\{Cs\} := e_2, s_0 \rangle \Rightarrow' \langle \text{throw } e', s_1 \rangle \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *FAssThrow2'*:

$$\begin{aligned} & \llbracket P, E \vdash \langle e_1, s_0 \rangle \Rightarrow' \langle \text{Val } v, s_1 \rangle; P, E \vdash \langle e_2, s_1 \rangle \Rightarrow' \langle \text{throw } e', s_2 \rangle \rrbracket \\ & \Longrightarrow P, E \vdash \langle e_1 \cdot F\{Cs\} := e_2, s_0 \rangle \Rightarrow' \langle \text{throw } e', s_2 \rangle \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *CallObjThrow'*:

$$\begin{aligned} & P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{throw } e', s_1 \rangle \Longrightarrow \\ & P, E \vdash \langle \text{Call } e \ \text{Copt } M \ es, s_0 \rangle \Rightarrow' \langle \text{throw } e', s_1 \rangle \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *CallParamsThrow'-new*:

$$\begin{aligned} & \llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{Val } v, s_1 \rangle; P, E \vdash \langle es, s_1 \rangle [\Rightarrow'] \langle evs, s_2 \rangle; \\ & \quad \text{map-val2 } evs \ vs \ (\text{throw } ex \ \# \ es') \rrbracket \\ & \Longrightarrow P, E \vdash \langle \text{Call } e \ \text{Copt } M \ es, s_0 \rangle \Rightarrow' \langle \text{throw } ex, s_2 \rangle \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *Call'-new*:

$$\begin{aligned} & \llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{ref } (a, Cs), s_1 \rangle; P, E \vdash \langle ps, s_1 \rangle [\Rightarrow'] \langle evs, (h_2, l_2) \rangle; \\ & \quad \text{map-val } evs \ vs; \rrbracket \end{aligned}$$

$h_2 a = \text{Some}(C, S)$ ;  $P \vdash$  last  $Cs$  has least  $M = (Ts', T', pns', \text{body}')$  via  $Ds$ ;  
 $P \vdash (C, Cs@_p Ds)$  selects  $M = (Ts, T, pns, \text{body})$  via  $Cs'$ ;  $\text{length } vs = \text{length } pns$ ;

$P \vdash Ts$  Casts  $vs$  to  $vs'$ ;  $l_2' = [\text{this} \mapsto \text{Ref } (a, Cs'), pns[\mapsto] vs']$ ;  
 $\text{new-body} = (\text{case } T' \text{ of Class } D \Rightarrow \langle D \rangle \text{body} \mid - \Rightarrow \text{body})$ ;  
 $P, E(\text{this} \mapsto \text{Class}(\text{last } Cs'), pns[\mapsto] Ts) \vdash \langle \text{new-body}, (h_2, l_2') \rangle \Rightarrow' \langle e', (h_3, l_3) \rangle$  ]  
 $\Rightarrow P, E \vdash \langle e \cdot M(ps), s_0 \rangle \Rightarrow' \langle e', (h_3, l_2) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *StaticCall'-new*:

$\llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{ref } (a, Cs), s_1 \rangle$ ;  $P, E \vdash \langle ps, s_1 \rangle [\Rightarrow'] \langle \text{evs}, (h_2, l_2) \rangle$ ;  
 $\text{map-val evs } vs$ ;  
 $P \vdash$  Path (last  $Cs$ ) to  $C$  unique;  $P \vdash$  Path (last  $Cs$ ) to  $C$  via  $Cs''$ ;  
 $P \vdash C$  has least  $M = (Ts, T, pns, \text{body})$  via  $Cs'$ ;  $Ds = (Cs@_p Cs'')@_p Cs'$ ;  
 $\text{length } vs = \text{length } pns$ ;  $P \vdash Ts$  Casts  $vs$  to  $vs'$ ;  
 $l_2' = [\text{this} \mapsto \text{Ref } (a, Ds), pns[\mapsto] vs']$ ;  
 $P, E(\text{this} \mapsto \text{Class}(\text{last } Ds), pns[\mapsto] Ts) \vdash \langle \text{body}, (h_2, l_2') \rangle \Rightarrow' \langle e', (h_3, l_3) \rangle$  ]  
 $\Rightarrow P, E \vdash \langle e \cdot (C::)M(ps), s_0 \rangle \Rightarrow' \langle e', (h_3, l_2) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *CallNull'-new*:

$\llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{null}, s_1 \rangle$ ;  $P, E \vdash \langle es, s_1 \rangle [\Rightarrow'] \langle \text{evs}, s_2 \rangle$ ;  $\text{map-val evs } vs$  ]  
 $\Rightarrow P, E \vdash \langle \text{Call } e \text{ Copt } M \text{ es}, s_0 \rangle \Rightarrow' \langle \text{THROW NullPointer}, s_2 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *Block'*:

$\llbracket P, E(V \mapsto T) \vdash \langle e_0, (h_0, l_0(V := \text{None})) \rangle \Rightarrow' \langle e_1, (h_1, l_1) \rangle$  ]  $\Rightarrow$   
 $P, E \vdash \langle \{V: T; e_0\}, (h_0, l_0) \rangle \Rightarrow' \langle e_1, (h_1, l_1(V := l_0 V)) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *Seq'*:

$\llbracket P, E \vdash \langle e_0, s_0 \rangle \Rightarrow' \langle \text{Val } v, s_1 \rangle$ ;  $P, E \vdash \langle e_1, s_1 \rangle \Rightarrow' \langle e_2, s_2 \rangle$  ]  
 $\Rightarrow P, E \vdash \langle e_0;; e_1, s_0 \rangle \Rightarrow' \langle e_2, s_2 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *SeqThrow'*:

$P, E \vdash \langle e_0, s_0 \rangle \Rightarrow' \langle \text{throw } e, s_1 \rangle \Rightarrow$   
 $P, E \vdash \langle e_0;; e_1, s_0 \rangle \Rightarrow' \langle \text{throw } e, s_1 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *CondT'*:

$\llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{true}, s_1 \rangle$ ;  $P, E \vdash \langle e_1, s_1 \rangle \Rightarrow' \langle e', s_2 \rangle$  ]  
 $\Rightarrow P, E \vdash \langle \text{if } (e) \text{ } e_1 \text{ else } e_2, s_0 \rangle \Rightarrow' \langle e', s_2 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *CondF'*:

$\llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{false}, s_1 \rangle$ ;  $P, E \vdash \langle e_2, s_1 \rangle \Rightarrow' \langle e', s_2 \rangle$  ]  
 $\Rightarrow P, E \vdash \langle \text{if } (e) \text{ } e_1 \text{ else } e_2, s_0 \rangle \Rightarrow' \langle e', s_2 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *CondThrow'*:

$$\begin{aligned} P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{throw } e', s_1 \rangle &\Longrightarrow \\ P, E \vdash \langle \text{if } (e) \ e_1 \ \text{else } e_2, s_0 \rangle \Rightarrow' \langle \text{throw } e', s_1 \rangle & \\ \langle \text{proof} \rangle & \end{aligned}$$

**lemma** *WhileF'*:

$$\begin{aligned} P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{false}, s_1 \rangle &\Longrightarrow \\ P, E \vdash \langle \text{while } (e) \ c, s_0 \rangle \Rightarrow' \langle \text{unit}, s_1 \rangle & \\ \langle \text{proof} \rangle & \end{aligned}$$

**lemma** *WhileT'*:

$$\begin{aligned} \llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{true}, s_1 \rangle; P, E \vdash \langle c, s_1 \rangle \Rightarrow' \langle \text{Val } v_1, s_2 \rangle; \\ P, E \vdash \langle \text{while } (e) \ c, s_2 \rangle \Rightarrow' \langle e_3, s_3 \rangle \rrbracket \\ \Longrightarrow P, E \vdash \langle \text{while } (e) \ c, s_0 \rangle \Rightarrow' \langle e_3, s_3 \rangle & \\ \langle \text{proof} \rangle & \end{aligned}$$

**lemma** *WhileCondThrow'*:

$$\begin{aligned} P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{throw } e', s_1 \rangle &\Longrightarrow \\ P, E \vdash \langle \text{while } (e) \ c, s_0 \rangle \Rightarrow' \langle \text{throw } e', s_1 \rangle & \\ \langle \text{proof} \rangle & \end{aligned}$$

**lemma** *WhileBodyThrow'*:

$$\begin{aligned} \llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{true}, s_1 \rangle; P, E \vdash \langle c, s_1 \rangle \Rightarrow' \langle \text{throw } e', s_2 \rangle \rrbracket \\ \Longrightarrow P, E \vdash \langle \text{while } (e) \ c, s_0 \rangle \Rightarrow' \langle \text{throw } e', s_2 \rangle & \\ \langle \text{proof} \rangle & \end{aligned}$$

**lemma** *Throw'*:

$$\begin{aligned} P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{ref } r, s_1 \rangle &\Longrightarrow \\ P, E \vdash \langle \text{throw } e, s_0 \rangle \Rightarrow' \langle \text{Throw } r, s_1 \rangle & \\ \langle \text{proof} \rangle & \end{aligned}$$

**lemma** *ThrowNull'*:

$$\begin{aligned} P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{null}, s_1 \rangle &\Longrightarrow \\ P, E \vdash \langle \text{throw } e, s_0 \rangle \Rightarrow' \langle \text{THROW NullPointer}, s_1 \rangle & \\ \langle \text{proof} \rangle & \end{aligned}$$

**lemma** *ThrowThrow'*:

$$\begin{aligned} P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{throw } e', s_1 \rangle &\Longrightarrow \\ P, E \vdash \langle \text{throw } e, s_0 \rangle \Rightarrow' \langle \text{throw } e', s_1 \rangle & \\ \langle \text{proof} \rangle & \end{aligned}$$

**lemma** *Nil'*:

$$\begin{aligned} P, E \vdash \langle [], s \rangle [\Rightarrow'] \langle [], s \rangle & \\ \langle \text{proof} \rangle & \end{aligned}$$

**lemma** *Cons'*:

$$\begin{aligned} \llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{Val } v, s_1 \rangle; P, E \vdash \langle es, s_1 \rangle [\Rightarrow'] \langle es', s_2 \rangle \rrbracket \\ \Longrightarrow P, E \vdash \langle e \# es, s_0 \rangle [\Rightarrow'] \langle \text{Val } v \# es', s_2 \rangle & \end{aligned}$$

*<proof>*

**lemma** *ConsThrow'*:

$P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{throw } e', s_1 \rangle \Longrightarrow$   
 $P, E \vdash \langle e \# es, s_0 \rangle [\Rightarrow'] \langle \text{throw } e' \# es, s_1 \rangle$

*<proof>*

Axiomatic heap address model refinement

**partial-function** (*option*) *lowest* :: (*nat*  $\Rightarrow$  *bool*)  $\Rightarrow$  *nat*  $\Rightarrow$  *nat option*

**where**

[*code*]: *lowest* *P* *n* = (*if P n then Some n else lowest P (Suc n)*)

**axiomatization**

**where**

*new-Addr'-code* [*code*]: *new-Addr' h* = *lowest (Option.is-none  $\circ$  h)* 0  
— admissible: a tightening of the specification of *new-Addr'*

**lemma** *eval'-cases*

[*consumes 1*,

*case-names New NewFail StaticUpCast StaticDownCast StaticCastNull StaticCastFail*

*StaticCastThrow StaticUpDynCast StaticDownDynCast DynCast DynCastNull DynCastFail*

*DynCastThrow Val BinOp BinOpThrow1 BinOpThrow2 Var LAss LAssThrow FAcc FAccNull FAccThrow*

*FAss FAssNull FAssThrow1 FAssThrow2 CallObjThrow CallParamsThrow CallStaticCall CallNull*

*Block Seq SeqThrow CondT CondF CondThrow WhileF WhileT WhileCondThrow WhileBodyThrow*

*Throw ThrowNull ThrowThrow*]:

**assumes**  $P, x \vdash \langle y, z \rangle \Rightarrow' \langle u, v \rangle$

**and**  $\bigwedge h a h' C E l. x = E \Longrightarrow y = \text{new } C \Longrightarrow z = (h, l) \Longrightarrow u = \text{ref } (a, [C])$   
 $\Longrightarrow$

$v = (h', l) \Longrightarrow \text{new-Addr}' h = [a] \Longrightarrow h' = h(a \mapsto \text{blank}' P C) \Longrightarrow \text{thesis}$

**and**  $\bigwedge h E C l. x = E \Longrightarrow y = \text{new } C \Longrightarrow z = (h, l) \Longrightarrow$

$u = \text{Throw } (\text{addr-of-sys-xcpt } \text{OutOfMemory}, [\text{OutOfMemory}]) \Longrightarrow$

$v = (h, l) \Longrightarrow \text{new-Addr}' h = \text{None} \Longrightarrow \text{thesis}$

**and**  $\bigwedge E e s_0 a Cs s_1 C Cs' Ds. x = E \Longrightarrow y = \langle C \rangle e \Longrightarrow z = s_0 \Longrightarrow$

$u = \text{ref } (a, Ds) \Longrightarrow v = s_1 \Longrightarrow P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{ref } (a, Cs), s_1 \rangle \Longrightarrow$

$P \vdash \text{Path last } Cs \text{ to } C \text{ via } Cs' \Longrightarrow Ds = Cs @_p Cs' \Longrightarrow \text{thesis}$

**and**  $\bigwedge E e s_0 a Cs C Cs' s_1. x = E \Longrightarrow y = \langle C \rangle e \Longrightarrow z = s_0 \Longrightarrow u = \text{ref } (a, Cs @ [C]) \Longrightarrow$

$v = s_1 \Longrightarrow P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{ref } (a, Cs @ [C] @ Cs'), s_1 \rangle \Longrightarrow \text{thesis}$

**and**  $\bigwedge E e s_0 s_1 C. x = E \Longrightarrow y = \langle C \rangle e \Longrightarrow z = s_0 \Longrightarrow u = \text{null} \Longrightarrow v = s_1$   
 $\Longrightarrow$

$P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{null}, s_1 \rangle \Longrightarrow \text{thesis}$

**and**  $\bigwedge E e s_0 a Cs s_1 C. x = E \Longrightarrow y = \langle C \rangle e \Longrightarrow z = s_0 \Longrightarrow$

$u = \text{Throw } (\text{addr-of-sys-xcpt } \text{ClassCast}, [\text{ClassCast}]) \Longrightarrow v = s_1 \Longrightarrow$

$P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{ref } (a, Cs), s_1 \rangle \Longrightarrow (\text{last } Cs, C) \notin (\text{subcls1 } P)^* \Longrightarrow C \notin \text{set}$

$Cs \implies thesis$   
**and**  $\bigwedge E e s_0 e' s_1 C. x = E \implies y = \langle C \rangle e \implies z = s_0 \implies u = throw e' \implies v = s_1 \implies$   
 $P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle throw e', s_1 \rangle \implies thesis$   
**and**  $\bigwedge E e s_0 a Cs s_1 C Cs' Ds. x = E \implies y = Cast C e \implies z = s_0 \implies u = ref(a, Ds) \implies$   
 $v = s_1 \implies P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle ref(a, Cs), s_1 \rangle \implies P \vdash Path\ last\ Cs\ to\ C\ unique \implies$   
 $P \vdash Path\ last\ Cs\ to\ C\ via\ Cs' \implies Ds = Cs @_p Cs' \implies thesis$   
**and**  $\bigwedge E e s_0 a Cs C Cs' s_1. x = E \implies y = Cast C e \implies z = s_0 \implies$   
 $u = ref(a, Cs @ [C]) \implies v = s_1 \implies P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle ref(a, Cs @ [C] @ Cs'), s_1 \rangle \implies thesis$   
**and**  $\bigwedge E e s_0 a Cs h l D S C Cs'. x = E \implies y = Cast C e \implies z = s_0 \implies$   
 $u = ref(a, Cs') \implies v = (h, l) \implies P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle ref(a, Cs), (h, l) \rangle \implies$   
 $h a = \lfloor (D, S) \rfloor \implies P \vdash Path\ D\ to\ C\ via\ Cs' \implies P \vdash Path\ D\ to\ C\ unique \implies thesis$   
**and**  $\bigwedge E e s_0 s_1 C. x = E \implies y = Cast C e \implies z = s_0 \implies u = null \implies v = s_1 \implies$   
 $P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle null, s_1 \rangle \implies thesis$   
**and**  $\bigwedge E e s_0 a Cs h l D S C. x = E \implies y = Cast C e \implies z = s_0 \implies u = null \implies$   
 $v = (h, l) \implies P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle ref(a, Cs), (h, l) \rangle \implies h a = \lfloor (D, S) \rfloor \implies$   
 $\neg P \vdash Path\ D\ to\ C\ unique \implies \neg P \vdash Path\ last\ Cs\ to\ C\ unique \implies C \notin set\ Cs \implies thesis$   
**and**  $\bigwedge E e s_0 e' s_1 C. x = E \implies y = Cast C e \implies z = s_0 \implies u = throw e' \implies v = s_1 \implies$   
 $\implies P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle throw e', s_1 \rangle \implies thesis$   
**and**  $\bigwedge E va s. x = E \implies y = Val va \implies z = s \implies u = Val va \implies v = s \implies thesis$   
**and**  $\bigwedge E e_1 s_0 v_1 s_1 e_2 v_2 s_2 bop va. x = E \implies y = e_1 \ll bop \gg e_2 \implies z = s_0 \implies$   
 $u = Val va \implies v = s_2 \implies P, E \vdash \langle e_1, s_0 \rangle \Rightarrow' \langle Val v_1, s_1 \rangle \implies$   
 $P, E \vdash \langle e_2, s_1 \rangle \Rightarrow' \langle Val v_2, s_2 \rangle \implies binop(bop, v_1, v_2) = \lfloor va \rfloor \implies thesis$   
**and**  $\bigwedge E e_1 s_0 e s_1 bop e_2. x = E \implies y = e_1 \ll bop \gg e_2 \implies z = s_0 \implies u = throw e \implies v = s_1 \implies$   
 $P, E \vdash \langle e_1, s_0 \rangle \Rightarrow' \langle throw e, s_1 \rangle \implies thesis$   
**and**  $\bigwedge E e_1 s_0 v_1 s_1 e_2 e s_2 bop. x = E \implies y = e_1 \ll bop \gg e_2 \implies z = s_0 \implies u = throw e \implies$   
 $v = s_2 \implies P, E \vdash \langle e_1, s_0 \rangle \Rightarrow' \langle Val v_1, s_1 \rangle \implies P, E \vdash \langle e_2, s_1 \rangle \Rightarrow' \langle throw e, s_2 \rangle \implies thesis$   
**and**  $\bigwedge l V va E h. x = E \implies y = Var V \implies z = (h, l) \implies u = Val va \implies v = (h, l) \implies$   
 $l V = \lfloor va \rfloor \implies thesis$   
**and**  $\bigwedge E e s_0 va h l V T v' l'. x = E \implies y = V := e \implies z = s_0 \implies u = Val v' \implies$   
 $v = (h, l') \implies P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle Val va, (h, l) \rangle \implies$   
 $E V = \lfloor T \rfloor \implies P \vdash T\ casts\ va\ to\ v' \implies l' = l(V \mapsto v') \implies thesis$   
**and**  $\bigwedge E e s_0 e' s_1 V. x = E \implies y = V := e \implies z = s_0 \implies u = throw e' \implies v = s_1 \implies$   
 $P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle throw e', s_1 \rangle \implies thesis$

**and**  $\bigwedge E e s_0 a Cs' h l D S Ds Cs fs F va. x = E \implies y = e \cdot F\{Cs\} \implies z = s_0$   
 $\implies$   
 $u = \text{Val } va \implies v = (h, l) \implies P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{ref } (a, Cs'), (h, l) \rangle \implies$   
 $h a = \lfloor (D, S) \rfloor \implies Ds = Cs' @_p Cs \implies (Ds, fs) \in S \implies \text{Mapping.lookup } fs$   
 $F = \lfloor va \rfloor \implies \text{thesis}$   
**and**  $\bigwedge E e s_0 s_1 F Cs. x = E \implies y = e \cdot F\{Cs\} \implies z = s_0 \implies$   
 $u = \text{Throw } (\text{addr-of-sys-xcpt } \text{NullPointer}, [\text{NullPointer}]) \implies$   
 $v = s_1 \implies P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{null}, s_1 \rangle \implies \text{thesis}$   
**and**  $\bigwedge E e s_0 e' s_1 F Cs. x = E \implies y = e \cdot F\{Cs\} \implies z = s_0 \implies u = \text{throw } e'$   
 $\implies v = s_1 \implies$   
 $P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{throw } e', s_1 \rangle \implies \text{thesis}$   
**and**  $\bigwedge E e_1 s_0 a Cs' s_1 e_2 va h_2 l_2 D S F T Cs v' Ds fs fs' S' h_2'.$   
 $x = E \implies y = e_1 \cdot F\{Cs\} := e_2 \implies z = s_0 \implies u = \text{Val } v' \implies v = (h_2', l_2)$   
 $\implies$   
 $P, E \vdash \langle e_1, s_0 \rangle \Rightarrow' \langle \text{ref } (a, Cs'), s_1 \rangle \implies P, E \vdash \langle e_2, s_1 \rangle \Rightarrow' \langle \text{Val } va, (h_2, l_2) \rangle \implies$   
 $h_2 a = \lfloor (D, S) \rfloor \implies P \vdash \text{last } Cs' \text{ has least } F:T \text{ via } Cs \implies$   
 $P \vdash T \text{ casts } va \text{ to } v' \implies Ds = Cs' @_p Cs \implies (Ds, fs) \in S \implies fs' =$   
 $\text{Mapping.update } F v' fs \implies$   
 $S' = S - \{(Ds, fs)\} \cup \{(Ds, fs')\} \implies h_2' = h_2(a \mapsto (D, S')) \implies \text{thesis}$   
**and**  $\bigwedge E e_1 s_0 s_1 e_2 va s_2 F Cs. x = E \implies y = e_1 \cdot F\{Cs\} := e_2 \implies z = s_0 \implies$   
 $u = \text{Throw } (\text{addr-of-sys-xcpt } \text{NullPointer}, [\text{NullPointer}]) \implies$   
 $v = s_2 \implies P, E \vdash \langle e_1, s_0 \rangle \Rightarrow' \langle \text{null}, s_1 \rangle \implies P, E \vdash \langle e_2, s_1 \rangle \Rightarrow' \langle \text{Val } va, s_2 \rangle \implies$   
 $\text{thesis}$   
**and**  $\bigwedge E e_1 s_0 e' s_1 F Cs e_2. x = E \implies y = e_1 \cdot F\{Cs\} := e_2 \implies$   
 $z = s_0 \implies u = \text{throw } e' \implies v = s_1 \implies P, E \vdash \langle e_1, s_0 \rangle \Rightarrow' \langle \text{throw } e', s_1 \rangle \implies$   
 $\text{thesis}$   
**and**  $\bigwedge E e_1 s_0 va s_1 e_2 e' s_2 F Cs. x = E \implies y = e_1 \cdot F\{Cs\} := e_2 \implies z = s_0$   
 $\implies$   
 $u = \text{throw } e' \implies v = s_2 \implies P, E \vdash \langle e_1, s_0 \rangle \Rightarrow' \langle \text{Val } va, s_1 \rangle \implies P, E \vdash \langle e_2, s_1 \rangle$   
 $\Rightarrow' \langle \text{throw } e', s_2 \rangle \implies$   
 $\text{thesis}$   
**and**  $\bigwedge E e s_0 e' s_1 \text{Copt } M es. x = E \implies y = \text{Call } e \text{Copt } M es \implies$   
 $z = s_0 \implies u = \text{throw } e' \implies v = s_1 \implies P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{throw } e', s_1 \rangle \implies$   
 $\text{thesis}$   
**and**  $\bigwedge E e s_0 va s_1 es vs ex es' s_2 \text{Copt } M. x = E \implies y = \text{Call } e \text{Copt } M es \implies$   
 $z = s_0 \implies u = \text{throw } ex \implies v = s_2 \implies P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{Val } va, s_1 \rangle \implies$   
 $P, E \vdash \langle es, s_1 \rangle [\Rightarrow'] \langle \text{map } \text{Val } vs @ \text{throw } ex \# es', s_2 \rangle \implies \text{thesis}$   
**and**  $\bigwedge E e s_0 a Cs s_1 ps vs h_2 l_2 C S M Ts' T' pns' \text{body}' Ds Ts T pns \text{body } Cs'$   
 $vs' l_2' \text{new-body } e'$   
 $h_3 l_3. x = E \implies y = \text{Call } e \text{None } M ps \implies z = s_0 \implies u = e' \implies v = (h_3,$   
 $l_2) \implies$   
 $P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{ref } (a, Cs), s_1 \rangle \implies P, E \vdash \langle ps, s_1 \rangle [\Rightarrow'] \langle \text{map } \text{Val } vs, (h_2, l_2) \rangle$   
 $\implies$   
 $h_2 a = \lfloor (C, S) \rfloor \implies P \vdash \text{last } Cs \text{ has least } M = (Ts', T', pns', \text{body}') \text{ via } Ds$   
 $\implies$   
 $P \vdash (C, Cs @_p Ds) \text{ selects } M = (Ts, T, pns, \text{body}) \text{ via } Cs' \implies \text{length } vs =$   
 $\text{length } pns \implies$   
 $P \vdash Ts \text{ Casts } vs \text{ to } vs' \implies l_2' = [\text{this} \mapsto \text{Ref } (a, Cs'), pns \mapsto vs'] \implies$   
 $\text{new-body} = (\text{case } T' \text{ of Class } D \Rightarrow \lfloor D \rfloor \text{body} \mid - \Rightarrow \text{body}) \implies$

$P, E(\text{this} \mapsto \text{Class } (\text{last } Cs'), \text{pns } [\mapsto] Ts) \vdash \langle \text{new-body}, (h_2, l_2') \rangle \Rightarrow' \langle e', (h_3, l_3) \rangle$   
 $\Rightarrow$   
*thesis*  
**and**  $\bigwedge E e s_0 a Cs s_1 ps vs h_2 l_2 C Cs'' M Ts T pns \text{body } Cs' Ds vs' l_2' e' h_3 l_3.$   
 $x = E \Rightarrow y = \text{Call } e \lfloor C \rfloor M ps \Rightarrow z = s_0 \Rightarrow u = e' \Rightarrow v = (h_3, l_2) \Rightarrow$   
 $P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{ref } (a, Cs), s_1 \rangle \Rightarrow P, E \vdash \langle ps, s_1 \rangle [\Rightarrow'] \langle \text{map Val } vs, (h_2, l_2) \rangle$   
 $\Rightarrow$   
 $P \vdash \text{Path last } Cs \text{ to } C \text{ unique} \Rightarrow P \vdash \text{Path last } Cs \text{ to } C \text{ via } Cs'' \Rightarrow$   
 $P \vdash C \text{ has least } M = (Ts, T, pns, \text{body}) \text{ via } Cs' \Rightarrow Ds = (Cs @_p Cs'') @_p Cs'$   
 $\Rightarrow$   
 $\text{length } vs = \text{length } pns \Rightarrow P \vdash Ts \text{ Casts } vs \text{ to } vs' \Rightarrow$   
 $l_2' = [\text{this} \mapsto \text{Ref } (a, Ds), \text{pns } [\mapsto] vs'] \Rightarrow$   
 $P, E(\text{this} \mapsto \text{Class } (\text{last } Ds), \text{pns } [\mapsto] Ts) \vdash \langle \text{body}, (h_2, l_2') \rangle \Rightarrow' \langle e', (h_3, l_3) \rangle \Rightarrow$   
*thesis*  
**and**  $\bigwedge E e s_0 s_1 es vs s_2 \text{Copt } M. x = E \Rightarrow y = \text{Call } e \text{Copt } M es \Rightarrow z = s_0$   
 $\Rightarrow$   
 $u = \text{Throw } (\text{addr-of-sys-xcpt } \text{NullPointer}, [\text{NullPointer}]) \Rightarrow$   
 $v = s_2 \Rightarrow P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{null}, s_1 \rangle \Rightarrow P, E \vdash \langle es, s_1 \rangle [\Rightarrow'] \langle \text{map Val } vs, s_2 \rangle$   
 $\Rightarrow$  *thesis*  
**and**  $\bigwedge E V T e_0 h_0 l_0 e_1 h_1 l_1.$   
 $x = E \Rightarrow y = \{V:T; e_0\} \Rightarrow z = (h_0, l_0) \Rightarrow u = e_1 \Rightarrow$   
 $v = (h_1, l_1(V := l_0 V)) \Rightarrow P, E(V \mapsto T) \vdash \langle e_0, (h_0, l_0(V := \text{None})) \rangle \Rightarrow'$   
 $\langle e_1, (h_1, l_1) \rangle \Rightarrow$  *thesis*  
**and**  $\bigwedge E e_0 s_0 va s_1 e_1 e_2 s_2. x = E \Rightarrow y = e_0;; e_1 \Rightarrow z = s_0 \Rightarrow u = e_2 \Rightarrow$   
 $v = s_2 \Rightarrow P, E \vdash \langle e_0, s_0 \rangle \Rightarrow' \langle \text{Val } va, s_1 \rangle \Rightarrow P, E \vdash \langle e_1, s_1 \rangle \Rightarrow' \langle e_2, s_2 \rangle \Rightarrow$   
*thesis*  
**and**  $\bigwedge E e_0 s_0 e s_1 e_1. x = E \Rightarrow y = e_0;; e_1 \Rightarrow z = s_0 \Rightarrow u = \text{throw } e \Rightarrow$   
 $v = s_1 \Rightarrow$   
 $P, E \vdash \langle e_0, s_0 \rangle \Rightarrow' \langle \text{throw } e, s_1 \rangle \Rightarrow$  *thesis*  
**and**  $\bigwedge E e s_0 s_1 e_1 e' s_2 e_2. x = E \Rightarrow y = \text{if } (e) e_1 \text{ else } e_2 \Rightarrow z = s_0 \Rightarrow u$   
 $= e' \Rightarrow$   
 $v = s_2 \Rightarrow P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{true}, s_1 \rangle \Rightarrow P, E \vdash \langle e_1, s_1 \rangle \Rightarrow' \langle e', s_2 \rangle \Rightarrow$  *thesis*  
**and**  $\bigwedge E e s_0 s_1 e_2 e' s_2 e_1. x = E \Rightarrow y = \text{if } (e) e_1 \text{ else } e_2 \Rightarrow z = s_0 \Rightarrow$   
 $u = e' \Rightarrow v = s_2 \Rightarrow P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{false}, s_1 \rangle \Rightarrow P, E \vdash \langle e_2, s_1 \rangle \Rightarrow' \langle e', s_2 \rangle$   
 $\Rightarrow$  *thesis*  
**and**  $\bigwedge E e s_0 e' s_1 e_1 e_2. x = E \Rightarrow y = \text{if } (e) e_1 \text{ else } e_2 \Rightarrow$   
 $z = s_0 \Rightarrow u = \text{throw } e' \Rightarrow v = s_1 \Rightarrow P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{throw } e', s_1 \rangle \Rightarrow$   
*thesis*  
**and**  $\bigwedge E e s_0 s_1 c. x = E \Rightarrow y = \text{while } (e) c \Rightarrow z = s_0 \Rightarrow u = \text{unit} \Rightarrow v$   
 $= s_1 \Rightarrow$   
 $P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{false}, s_1 \rangle \Rightarrow$  *thesis*  
**and**  $\bigwedge E e s_0 s_1 c v_1 s_2 e_3 s_3. x = E \Rightarrow y = \text{while } (e) c \Rightarrow z = s_0 \Rightarrow u =$   
 $e_3 \Rightarrow$   
 $v = s_3 \Rightarrow P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{true}, s_1 \rangle \Rightarrow P, E \vdash \langle c, s_1 \rangle \Rightarrow' \langle \text{Val } v_1, s_2 \rangle \Rightarrow$   
 $P, E \vdash \langle \text{while } (e) c, s_2 \rangle \Rightarrow' \langle e_3, s_3 \rangle \Rightarrow$  *thesis*  
**and**  $\bigwedge E e s_0 e' s_1 c. x = E \Rightarrow y = \text{while } (e) c \Rightarrow z = s_0 \Rightarrow u = \text{throw } e'$   
 $\Rightarrow v = s_1 \Rightarrow$   
 $P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{throw } e', s_1 \rangle \Rightarrow$  *thesis*  
**and**  $\bigwedge E e s_0 s_1 c e' s_2. x = E \Rightarrow y = \text{while } (e) c \Rightarrow z = s_0 \Rightarrow u = \text{throw}$

$e' \Longrightarrow$   
 $v = s_2 \Longrightarrow P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{true}, s_1 \rangle \Longrightarrow P, E \vdash \langle c, s_1 \rangle \Rightarrow' \langle \text{throw } e', s_2 \rangle \Longrightarrow$   
*thesis*  
**and**  $\bigwedge E e s_0 r s_1. x = E \Longrightarrow y = \text{throw } e \Longrightarrow$   
 $z = s_0 \Longrightarrow u = \text{Throw } r \Longrightarrow v = s_1 \Longrightarrow P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{ref } r, s_1 \rangle \Longrightarrow$  *thesis*  
**and**  $\bigwedge E e s_0 s_1. x = E \Longrightarrow y = \text{throw } e \Longrightarrow z = s_0 \Longrightarrow$   
 $u = \text{Throw } (\text{addr-of-sys-xcpt } \text{NullPointerException}, [\text{NullPointerException}]) \Longrightarrow$   
 $v = s_1 \Longrightarrow P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{null}, s_1 \rangle \Longrightarrow$  *thesis*  
**and**  $\bigwedge E e s_0 e' s_1. x = E \Longrightarrow y = \text{throw } e \Longrightarrow$   
 $z = s_0 \Longrightarrow u = \text{throw } e' \Longrightarrow v = s_1 \Longrightarrow P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{throw } e', s_1 \rangle \Longrightarrow$   
*thesis*  
**shows** *thesis*  
 $\langle \text{proof} \rangle$

**lemmas**  $[\text{code-pred-intro}] = \text{New}' \text{NewFail}' \text{StaticUpCast}'$   
**declare**  $\text{StaticDownCast}'\text{-new}[\text{code-pred-intro } \text{StaticDownCast}']$   
**lemmas**  $[\text{code-pred-intro}] = \text{StaticCastNull}'$   
**declare**  $\text{StaticCastFail}'\text{-new}[\text{code-pred-intro } \text{StaticCastFail}']$   
**lemmas**  $[\text{code-pred-intro}] = \text{StaticCastThrow}' \text{StaticUpDynCast}'$   
**declare**  
 $\text{StaticDownDynCast}'\text{-new}[\text{code-pred-intro } \text{StaticDownDynCast}']$   
 $\text{DynCast}'[\text{code-pred-intro } \text{DynCast}']$   
**lemmas**  $[\text{code-pred-intro}] = \text{DynCastNull}'$   
**declare**  $\text{DynCastFail}'[\text{code-pred-intro } \text{DynCastFail}']$   
**lemmas**  $[\text{code-pred-intro}] = \text{DynCastThrow}' \text{Val}' \text{BinOp}' \text{BinOpThrow1}'$   
**declare**  $\text{BinOpThrow2}'[\text{code-pred-intro } \text{BinOpThrow2}']$   
**lemmas**  $[\text{code-pred-intro}] = \text{Var}' \text{LAss}' \text{LAssThrow}'$   
**declare**  $\text{FAcc}'\text{-new}[\text{code-pred-intro } \text{FAcc}']$   
**lemmas**  $[\text{code-pred-intro}] = \text{FAccNull}' \text{FAccThrow}'$   
**declare**  $\text{FAss}'\text{-new}[\text{code-pred-intro } \text{FAss}']$   
**lemmas**  $[\text{code-pred-intro}] = \text{FAssNull}' \text{FAssThrow1}'$   
**declare**  $\text{FAssThrow2}'[\text{code-pred-intro } \text{FAssThrow2}']$   
**lemmas**  $[\text{code-pred-intro}] = \text{CallObjThrow}'$   
**declare**  
 $\text{CallParamsThrow}'\text{-new}[\text{code-pred-intro } \text{CallParamsThrow}']$   
 $\text{Call}'\text{-new}[\text{code-pred-intro } \text{Call}']$   
 $\text{StaticCall}'\text{-new}[\text{code-pred-intro } \text{StaticCall}']$   
 $\text{CallNull}'\text{-new}[\text{code-pred-intro } \text{CallNull}']$   
**lemmas**  $[\text{code-pred-intro}] = \text{Block}' \text{Seq}'$   
**declare**  $\text{SeqThrow}'[\text{code-pred-intro } \text{SeqThrow}']$   
**lemmas**  $[\text{code-pred-intro}] = \text{CondT}'$   
**declare**  
 $\text{CondF}'[\text{code-pred-intro } \text{CondF}']$   
 $\text{CondThrow}'[\text{code-pred-intro } \text{CondThrow}']$   
**lemmas**  $[\text{code-pred-intro}] = \text{WhileF}' \text{WhileT}'$   
**declare**  
 $\text{WhileCondThrow}'[\text{code-pred-intro } \text{WhileCondThrow}']$   
 $\text{WhileBodyThrow}'[\text{code-pred-intro } \text{WhileBodyThrow}']$   
**lemmas**  $[\text{code-pred-intro}] = \text{Throw}'$



```

declare ThrowNull'[code-pred-intro ThrowNull']
lemmas [code-pred-intro] = ThrowThrow'
lemmas [code-pred-intro] = Nil' Cons' ConsThrow'

```

### code-pred

```

(modes: eval': i ⇒ i ⇒ i ⇒ i ⇒ o ⇒ o ⇒ bool as big-step
 and evals': i ⇒ i ⇒ i ⇒ i ⇒ o ⇒ o ⇒ bool as big-steps)
eval'
⟨proof⟩

```

## 29.3 Examples

```

declare [[values-timeout = 180]]

```

```

values [expected { Val (Intg 5) }]
  {fst (e', s') | e' s'.
   [], Map.empty ⊢ ⟨{"V''":Integer; "V''" := Val(Intg 5)}; Var "V''", (Map.empty, Map.empty)⟩
  ⇒' ⟨e', s'⟩}

```

```

values [expected { Val (Intg 11) }]
  {fst (e', s') | e' s'.
   [], Map.empty ⊢ ⟨(Val(Intg 5)) «Add» (Val(Intg 6)), (Map.empty, Map.empty)⟩
  ⇒' ⟨e', s'⟩}

```

```

values [expected { Val (Intg 83) }]
  {fst (e', s') | e' s'.
   [], ["V'' ↦ Integer] ⊢ ⟨(Var "V''") «Add» (Val(Intg 6)),
                        (Map.empty, ["V'' ↦ Intg 77])⟩ ⇒' ⟨e', s'⟩}

```

```

values [expected { Some (Intg 6) }]
  {lcl' (snd (e', s')) "V''" | e' s'.
   [], ["V'' ↦ Integer] ⊢ ⟨"V''" := Val(Intg 6), (Map.empty, Map.empty)⟩ ⇒' ⟨e', s'⟩}

```

```

values [expected { Some (Intg 12) }]
  {lcl' (snd (e', s')) "mult" | e' s'.
   [], ["V'' ↦ Integer, "a'' ↦ Integer, "b'' ↦ Integer, "mult'' ↦ Integer]
   ⊢ ⟨(("a'' := Val(Intg 3)); ("b'' := Val(Intg 4)); ("mult'' := Val(Intg 0));
      ("V'' := Val(Intg 1));
      while (Var "V''" «Eq» Val(Intg 1)) (("mult'' := Var "mult''" «Add» Var "b'');
      ("a'' := Var "a''" «Add» Val(Intg (- 1)));
      ("V'' := (if (Var "a''" «Eq» Val(Intg 0)) Val(Intg 0) else Val(Intg 1))))),
      (Map.empty, Map.empty)⟩ ⇒' ⟨e', s'⟩}

```

```

values [expected { Val (Intg 30) }]
  {fst (e', s') | e' s'.
   [], ["a'' ↦ Integer, "b'' ↦ Integer, "c'' ↦ Integer, "cond'' ↦ Boolean]
   ⊢ ⟨("a'' := Val(Intg 17); "b'' := Val(Intg 13);
      "c'' := Val(Intg 42); "cond'' := true;
      if (Var "cond'') (Var "a''" «Add» Var "b'') else (Var "a''" «Add» Var "c'')),
      (Map.empty, Map.empty)⟩ ⇒' ⟨e', s'⟩}

```

$(Map.empty, Map.empty) \Rightarrow' \langle e', s' \rangle$

progOverrider examples

**definition**

```
classBottom :: cdecl where
classBottom = ("Bottom", [Repeats "Left", Repeats "Right"],
              [("x", Integer)], [])
```

**definition**

```
classLeft :: cdecl where
classLeft = ("Left", [Repeats "Top"], [], (["f", [Class "Top", Integer], Integer,
["V", "W"], Var this · "x" [{"Left", "Top"}] «Add» Val (Intg 5)]))
```

**definition**

```
classRight :: cdecl where
classRight = ("Right", [Shares "Right2"], [],
              (["f", [Class "Top", Integer], Integer, ["V", "W"], Var this · "x" [{"Right2", "Top"}]
«Add» Val (Intg 7)], ("g", [], Class "Left", [], new "Left"))
```

**definition**

```
classRight2 :: cdecl where
classRight2 = ("Right2", [Repeats "Top"], [],
              (["f", [Class "Top", Integer], Integer, ["V", "W"], Var this · "x" [{"Right2", "Top"}]
«Add» Val (Intg 9)], ("g", [], Class "Top", [], new "Top"))
```

**definition**

```
classTop :: cdecl where
classTop = ("Top", [], [("x", Integer)], [])
```

**definition**

```
progOverrider :: cdecl list where
progOverrider = [classBottom, classLeft, classRight, classRight2, classTop]
```

**values** [expected { Val(Ref(0, ["Bottom", "Left"]))}] — dynCastSide

```
{fst (e', s') | e' s'.
 progOverrider, ["V" ↦ Class "Right"] ⊢
 ⟨"V" := new "Bottom" ;; Cast "Left" (Var "V"), (Map.empty, Map.empty)⟩
⇒' ⟨e', s'⟩
```

**values** [expected { Val(Ref(0, ["Right"]))}] — dynCastViaSh

```
{fst (e', s') | e' s'.
 progOverrider, ["V" ↦ Class "Right2"] ⊢
 ⟨"V" := new "Right" ;; Cast "Right" (Var "V"), (Map.empty, Map.empty)⟩
⇒' ⟨e', s'⟩
```

**values** [expected { Val (Intg 42)}] — block

```
{fst (e', s') | e' s'.
 progOverrider, ["V" ↦ Integer]
 ⊢ ⟨"V" := Val(Intg 42) ;; {"V": Class "Left"; "V" := new "Bottom"} ;; Var
```

"V",  
 (Map.empty, Map.empty)) ⇒' ⟨e', s'⟩

**values** [expected { Val (Intg 8) }] — staticCall  
 {fst (e', s') | e' s'.  
 progOverride, ["V" ↦ Class "Right", "W" ↦ Class "Bottom"]  
 ⊢ ⟨"V" := new "Bottom" ;; "W" := new "Bottom" ;;  
 ((Cast "Left" (Var "W"))."x" [{"Left", "Top"}] := Val(Intg 3));;  
 (Var "W".("Left::)"f"([Var "V", Val(Intg 2)])), (Map.empty, Map.empty))  
 ⇒' ⟨e', s'⟩

**values** [expected { Val (Intg 12) }] — call  
 {fst (e', s') | e' s'.  
 progOverride, ["V" ↦ Class "Right2", "W" ↦ Class "Left"]  
 ⊢ ⟨"V" := new "Right" ;; "W" := new "Left" ;;  
 (Var "V"."f"([Var "W", Val(Intg 42)])) «Add» (Var "W"."f"([Var "V", Val(Intg  
 13)])),  
 (Map.empty, Map.empty)) ⇒' ⟨e', s'⟩

**values** [expected { Val(Intg 13) }] — callOverride  
 {fst (e', s') | e' s'.  
 progOverride, ["V" ↦ Class "Right2", "W" ↦ Class "Left"]  
 ⊢ ⟨"V" := new "Bottom";; (Var "V" · "x" [{"Right2", "Top"}] := Val(Intg  
 6));;  
 "W" := new "Left" ;; Var "V"."f"([Var "W", Val(Intg 42)]),  
 (Map.empty, Map.empty)) ⇒' ⟨e', s'⟩

**values** [expected { Val(Ref(1, ["Left", "Top"])) }] — callClass  
 {fst (e', s') | e' s'.  
 progOverride, ["V" ↦ Class "Right2"]  
 ⊢ ⟨"V" := new "Right" ;; Var "V"."g"([], (Map.empty, Map.empty)) ⇒' ⟨e',  
 s'⟩

**values** [expected { Val(Intg 42) }] — fieldAss  
 {fst (e', s') | e' s'.  
 progOverride, ["V" ↦ Class "Right2"]  
 ⊢ ⟨"V" := new "Right" ;;  
 (Var "V"."x" [{"Right2", "Top"}] := (Val(Intg 42))) ;;  
 (Var "V"."x" [{"Right2", "Top"}]), (Map.empty, Map.empty)) ⇒' ⟨e', s'⟩

typing rules

**values** [expected { Class "Bottom" }] — typeNew  
 {T. progOverride, Map.empty ⊢ new "Bottom" :: T}

**values** [expected { Class "Left" }] — typeDynCast  
 {T. progOverride, Map.empty ⊢ Cast "Left" (new "Bottom") :: T}

**values** [expected { Class "Left" }] — typeStaticCast  
 {T. progOverride, Map.empty ⊢ (!"Left") (new "Bottom") :: T}

**values** [expected {Integer}] — typeVal  
 { $T$ . [], Map.empty ⊢ Val(Intg 17) ::  $T$ }

**values** [expected {Integer}] — typeVar  
 { $T$ . [], ["V" ↦ Integer] ⊢ Var "V" ::  $T$ }

**values** [expected {Boolean}] — typeBinOp  
 { $T$ . [], Map.empty ⊢ (Val(Intg 5)) «Eq» (Val(Intg 6)) ::  $T$ }

**values** [expected {Class "Top"}] — typeLAss  
 { $T$ . progOverride, ["V" ↦ Class "Top"] ⊢ "V" := (new "Left") ::  $T$ }

**values** [expected {Integer}] — typeFAcc  
 { $T$ . progOverride, Map.empty ⊢ (new "Right")."x"{"Right2", "Top"} ::  $T$ }

**values** [expected {Integer}] — typeFAss  
 { $T$ . progOverride, Map.empty ⊢ (new "Right")."x"{"Right2", "Top"} ::  $T$ }

**values** [expected {Integer}] — typeStaticCall  
 { $T$ . progOverride, ["V" ↦ Class "Left"]  
 ⊢ "V" := new "Left" ;; Var "V".("Left::")"f"([new "Top", Val(Intg 13)])  
 ::  $T$ }

**values** [expected {Class "Top"}] — typeCall  
 { $T$ . progOverride, ["V" ↦ Class "Right2"]  
 ⊢ "V" := new "Right" ;; Var "V"."g"([]) ::  $T$ }

**values** [expected {Class "Top"}] — typeBlock  
 { $T$ . progOverride, Map.empty ⊢ {"V":Class "Top"; "V" := new "Left"} ::  $T$ }

**values** [expected {Integer}] — typeCond  
 { $T$ . [], Map.empty ⊢ if (true) Val(Intg 6) else Val(Intg 9) ::  $T$ }

**values** [expected {Void}] — typeWhile  
 { $T$ . [], Map.empty ⊢ while (false) Val(Intg 17) ::  $T$ }

**values** [expected {Void}] — typeThrow  
 { $T$ . progOverride, Map.empty ⊢ throw (new "Bottom") ::  $T$ }

**values** [expected {Integer}] — typeBig  
 { $T$ . progOverride, ["V" ↦ Class "Right2", "W" ↦ Class "Left"]  
 ⊢ "V" := new "Right" ;; "W" := new "Left" ;;  
 (Var "V"."f"([Var "W", Val(Intg 7)])) «Add» (Var "W"."f"([Var "V",  
 Val(Intg 13)]))  
 ::  $T$ }

progDiamond examples

**definition**

```

classDiamondBottom :: cdecl where
classDiamondBottom = ("Bottom", [Repeats "Left", Repeats "Right"], [("x", Integer)],
  [("g", [], Integer, [], Var this · "x" {"Bottom"} «Add» Val (Intg 5)])

```

**definition**

```

classDiamondLeft :: cdecl where
classDiamondLeft = ("Left", [Repeats "TopRep", Shares "TopSh"], [], [])

```

**definition**

```

classDiamondRight :: cdecl where
classDiamondRight = ("Right", [Repeats "TopRep", Shares "TopSh"], [],
  [("f", [Integer], Boolean, ["i"], Var "i" «Eq» Val (Intg 7)])

```

**definition**

```

classDiamondTopRep :: cdecl where
classDiamondTopRep = ("TopRep", [], [("x", Integer)],
  [("g", [], Integer, [], Var this · "x" {"TopRep"} «Add» Val (Intg 10)])

```

**definition**

```

classDiamondTopSh :: cdecl where
classDiamondTopSh = ("TopSh", [], [],
  [("f", [Integer], Boolean, ["i"], Var "i" «Eq» Val (Intg 3)])

```

**definition**

```

progDiamond :: cdecl list where
progDiamond = [classDiamondBottom, classDiamondLeft, classDiamondRight,
classDiamondTopRep, classDiamondTopSh]

```

**values** [expected { Val(Ref(0,["Bottom","Left"]))}] — cast1  
 {fst (e', s') | e' s'.  
 progDiamond, ["V" ↦ Class "Left"] ⊢ ⟨"V" := new "Bottom",  
 (Map.empty, Map.empty)⟩ ⇒' ⟨e', s'⟩}

**values** [expected { Val(Ref(0,["TopSh"]))}] — cast2  
 {fst (e', s') | e' s'.  
 progDiamond, ["V" ↦ Class "TopSh"] ⊢ ⟨"V" := new "Bottom",  
 (Map.empty, Map.empty)⟩ ⇒' ⟨e', s'⟩}

**values** [expected {}] — typeCast3 not typeable  
 {T. progDiamond, ["V" ↦ Class "TopRep"] ⊢ "V" := new "Bottom" :: T}

**values** [expected {  
 Val(Ref(0,["Bottom", "Left", "TopRep"])),  
 Val(Ref(0,["Bottom", "Right", "TopRep"])),  
 }] — cast3  
 {fst (e', s') | e' s'.  
 progDiamond, ["V" ↦ Class "TopRep"] ⊢ ⟨"V" := new "Bottom",  
 (Map.empty, Map.empty)⟩ ⇒' ⟨e', s'⟩}

**values** [expected { Val(Intg 17)}] — fieldAss  
 {fst (e', s') | e' s'.  
 progDiamond,["V"↦Class "Bottom"]  
 ⊢ ⟨"V" := new "Bottom" ;;  
 ((Var "V")."x"["Bottom"] := (Val(Intg 17))) ;;  
 ((Var "V")."x"["Bottom"],(Map.empty,Map.empty)) ⇒' ⟨e',s'⟩

**values** [expected { Val Null}] — dynCastNull  
 {fst (e', s') | e' s'.  
 progDiamond,Map.empty ⊢ ⟨Cast "Right" null,(Map.empty,Map.empty)) ⇒'  
 ⟨e',s'⟩

**values** [expected { Val (Ref(0, ["Right"]))}] — dynCastViaSh  
 {fst (e', s') | e' s'.  
 progDiamond,["V"↦Class "TopSh"]  
 ⊢ ⟨"V" := new "Right" ;; Cast "Right" (Var "V"),(Map.empty,Map.empty))  
 ⇒' ⟨e',s'⟩

**values** [expected { Val Null}] — dynCastFail  
 {fst (e', s') | e' s'.  
 progDiamond,["V"↦Class "TopRep"]  
 ⊢ ⟨"V" := new "Right" ;; Cast "Bottom" (Var "V"),(Map.empty,Map.empty))  
 ⇒' ⟨e',s'⟩

**values** [expected { Val (Ref(0, ["Bottom", "Left"]))}] — dynCastSide  
 {fst (e', s') | e' s'.  
 progDiamond,["V"↦Class "Right"]  
 ⊢ ⟨"V" := new "Bottom" ;; Cast "Left" (Var "V"),(Map.empty,Map.empty))  
 ⇒' ⟨e',s'⟩

failing g++ example

**definition**

classD :: cdecl where  
 classD = ("D", [Shares "A", Shares "B", Repeats "C"],[],[])

**definition**

classC :: cdecl where  
 classC = ("C", [Shares "A", Shares "B"],[],  
 [("f",[],Integer,[],Val(Intg 42))])

**definition**

classB :: cdecl where  
 classB = ("B", [],[],  
 [("f",[],Integer,[],Val(Intg 17))])

**definition**

classA :: cdecl where  
 classA = ("A", [],[],  
 [("f",[],Integer,[],Val(Intg 13))])

**definition**

*ProgFailing* :: *cdecl list* **where**  
*ProgFailing* = [*classA*,*classB*,*classC*,*classD*]

**values** [*expected* {*Val* (*Intg 42*)}] — *callFailGplusplus*  
{*fst* (*e'*, *s'*) | *e'* *s'*.

*ProgFailing*,*Map.empty*  
⊢ {{"*V''*":*Class* "*D''*"; "*V''*" := *new* "*D''*";; *Var* "*V''*".*f''*("")},  
(*Map.empty*,*Map.empty*) ⇒' {*e'*, *s'*}

**end**

**theory** *CoreC++*

**imports** *Determinism Annotate Execute*

**begin**

**end**

## References

- [1] Daniel Wasserrab, Tobias Nipkow, Gregor Snelting, and Frank Tip. An operational semantics and type safety proof for multiple inheritance in C++. In *OOPSLA '06: Proceedings of the 21st annual ACM SIGPLAN conference on Object-oriented programming languages, systems, and applications*, pages 345–362. ACM Press, 2006.