

# An Operational Semantics and Type Safety Proof for Multiple Inheritance in C++ (CoreC++)

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## Abstract

We present an operational semantics and type safety proof for multiple inheritance in C++. The semantics models the behavior of method calls, field accesses, and two forms of casts. For explanations see [1].

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## 1 Auxiliary Definitions

```

theory Auxiliary
imports Complex-Main HOL-Library.While-Combinator
begin

declare
option.splits[split]

```

*Let-def*[simp]  
*subset-insertI2* [simp]  
*Cons-eq-map-conv* [iff]

**lemma** *nat-add-max-le*[simp]:  
 $((n::nat) + max\ i\ j \leq m) = (n + i \leq m \wedge n + j \leq m)$   
*(proof)*

**lemma** *Suc-add-max-le*[simp]:  
 $(Suc(n + max\ i\ j) \leq m) = (Suc(n + i) \leq m \wedge Suc(n + j) \leq m)$   
*(proof)*

**notation** *Some*  $(\langle[\cdot]\rangle)$

**lemma** *butlast-tail*:  
 $butlast\ (Xs@[X, Y]) = Xs@[X]$   
*(proof)*

**lemma** *butlast-noteq*:  
 $Cs \neq [] \implies butlast\ Cs \neq Cs$   
*(proof)*

**lemma** *app-hd-tl*:  
 $\llbracket Cs \neq [] ; Cs = Cs' @ tl\ Cs \rrbracket \implies Cs' = [hd\ Cs]$   
*(proof)*

**lemma** *only-one-append*:  
 $\llbracket C' \notin set\ Cs ; C' \notin set\ Cs' ; Ds@ C' \# Ds' = Cs@ C' \# Cs \rrbracket$   
 $\implies Cs = Ds \wedge Cs' = Ds'$   
*(proof)*

**definition** *pick* ::  $'a\ set \Rightarrow 'a$  **where**  
 $pick\ A \equiv SOME\ x. x \in A$

**lemma** *pick-is-element*:  
 $x \in A \implies pick\ A \in A$   
*(proof)*

**definition** *set2list* ::  $'a\ set \Rightarrow 'a\ list$  **where**  
 $set2list\ A \equiv fst\ (\text{while } (\lambda(Es, S). S \neq \{\})$   
 $\quad (\lambda(Es, S). let\ x = pick\ S\ in\ (x \# Es, S - \{x\}))$   
 $\quad ([] , A))$

**lemma** *card-pick*: $\llbracket \text{finite } A; A \neq \{\} \rrbracket \implies \text{Suc}(\text{card}(A - \{\text{pick}(A)\})) = \text{card } A$   
*(proof)*

**lemma** *set2list-prop*: $\llbracket \text{finite } A; A \neq \{\} \rrbracket \implies$   
 $\exists xs. \text{while } (\lambda(Es, S). S \neq \{} \cdot$   
 $\quad (\lambda(Es, S). \text{let } x = \text{pick } S \text{ in } (x \# Es, S - \{x\}))$   
 $\quad ([] , A) = (xs, \{\}) \wedge (\text{set } xs \cup \{} = A)$

*(proof)*

**lemma** *set2list-correct*: $\llbracket \text{finite } A; A \neq \{\}; \text{set2list } A = xs \rrbracket \implies \text{set } xs = A$   
*(proof)*

## 1.1 distinct-fst

**definition** *distinct-fst* ::  $('a \times 'b) \text{ list} \Rightarrow \text{bool}$  **where**  
 $\text{distinct-fst} \equiv \text{distinct} \circ \text{map fst}$

**lemma** *distinct-fst-Nil* [*simp*]:  
 $\text{distinct-fst } []$

*(proof)*

**lemma** *distinct-fst-Cons* [*simp*]:  
 $\text{distinct-fst } ((k, x) \# kxs) = (\text{distinct-fst } kxs \wedge (\forall y. (k, y) \notin \text{set } kxs))$

*(proof)*

**lemma** *map-of-SomeI*:

$\llbracket \text{distinct-fst } kxs; (k, x) \in \text{set } kxs \rrbracket \implies \text{map-of } kxs k = \text{Some } x$   
*(proof)*

## 1.2 Using *list-all2* for relations

**definition** *fun-of* ::  $('a \times 'b) \text{ set} \Rightarrow 'a \Rightarrow 'b \Rightarrow \text{bool}$  **where**  
 $\text{fun-of } S \equiv \lambda x y. (x, y) \in S$

Convenience lemmas

**declare** *fun-of-def* [*simp*]

**lemma** *rel-list-all2-Cons* [*iff*]:  
 $\text{list-all2 } (\text{fun-of } S) (x \# xs) (y \# ys) =$   
 $((x, y) \in S \wedge \text{list-all2 } (\text{fun-of } S) xs ys)$   
*(proof)*

```

lemma rel-list-all2-Cons1:
  list-all2 (fun-of S) (x#xs) ys =
  ( $\exists z \text{ zs. } ys = z\#zs \wedge (x,z) \in S \wedge \text{list-all2} (\text{fun-of } S) xs \text{ zs}$ )
   $\langle proof \rangle$ 

lemma rel-list-all2-Cons2:
  list-all2 (fun-of S) xs (y#ys) =
  ( $\exists z \text{ zs. } xs = z\#zs \wedge (z,y) \in S \wedge \text{list-all2} (\text{fun-of } S) \text{ zs } ys$ )
   $\langle proof \rangle$ 

lemma rel-list-all2-refl:
  ( $\bigwedge x. (x,x) \in S \implies \text{list-all2} (\text{fun-of } S) xs \text{ xs}$ )
   $\langle proof \rangle$ 

lemma rel-list-all2-antisym:
   $\llbracket (\bigwedge x y. \llbracket (x,y) \in S; (y,x) \in T \rrbracket \implies x = y);$ 
   $\text{list-all2} (\text{fun-of } S) xs \text{ ys}; \text{list-all2} (\text{fun-of } T) ys \text{ xs} \rrbracket \implies xs = ys$ 
   $\langle proof \rangle$ 

lemma rel-list-all2-trans:
   $\llbracket \bigwedge a b c. \llbracket (a,b) \in R; (b,c) \in S \rrbracket \implies (a,c) \in T;$ 
   $\text{list-all2} (\text{fun-of } R) as \text{ bs}; \text{list-all2} (\text{fun-of } S) bs \text{ cs} \rrbracket$ 
   $\implies \text{list-all2} (\text{fun-of } T) as \text{ cs}$ 
   $\langle proof \rangle$ 

lemma rel-list-all2-update-cong:
   $\llbracket i < \text{size } xs; \text{list-all2} (\text{fun-of } S) xs \text{ ys}; (x,y) \in S \rrbracket$ 
   $\implies \text{list-all2} (\text{fun-of } S) (xs[i:=x]) (ys[i:=y])$ 
   $\langle proof \rangle$ 

lemma rel-list-all2-nthD:
   $\llbracket \text{list-all2} (\text{fun-of } S) xs \text{ ys}; p < \text{size } xs \rrbracket \implies (xs[p],ys[p]) \in S$ 
   $\langle proof \rangle$ 

lemma rel-list-all2I:
   $\llbracket \text{length } a = \text{length } b; \bigwedge n. n < \text{length } a \implies (a!n,b!n) \in S \rrbracket \implies \text{list-all2} (\text{fun-of } S) a \text{ b}$ 
   $\langle proof \rangle$ 

declare fun-of-def [simp del]

end

```

## 2 CoreC++ types

```
theory Type imports Auxiliary begin
```

```
type-synonym cname = string — class names
```

**type-synonym** *mname* = *string* — method name  
**type-synonym** *vname* = *string* — names for local/field variables

**definition** *this* :: *vname* **where**  
*this* ≡ "this"

— types

**datatype** *ty*  
= *Void* — type of statements  
| *Boolean*  
| *Integer*  
| *NT* — null type  
| *Class cname* — class type

**datatype** *base* — superclass  
= *Repeats cname* — repeated (nonvirtual) inheritance  
| *Shares cname* — shared (virtual) inheritance

**primrec** *getbase* :: *base* ⇒ *cname* **where**  
*getbase* (*Repeats C*) = *C*  
| *getbase* (*Shares C*) = *C*

**primrec** *isRepBase* :: *base* ⇒ *bool* **where**  
*isRepBase* (*Repeats C*) = *True*  
| *isRepBase* (*Shares C*) = *False*

**primrec** *isShBase* :: *base* ⇒ *bool* **where**  
*isShBase* (*Repeats C*) = *False*  
| *isShBase* (*Shares C*) = *True*

**definition** *is-refT* :: *ty* ⇒ *bool* **where**  
*is-refT T* ≡ *T* = *NT* ∨ ( $\exists C. T = \text{Class } C$ )

**lemma** [iff]: *is-refT NT*  
⟨*proof*⟩

**lemma** [iff]: *is-refT(Class C)*  
⟨*proof*⟩

**lemma** *refTE*:  
 $\llbracket \text{is-refT } T; T = \text{NT} \implies Q; \bigwedge C. T = \text{Class } C \implies Q \rrbracket \implies Q$   
⟨*proof*⟩

**lemma** *not-refTE*:  
 $\llbracket \neg \text{is-refT } T; T = \text{Void} \vee T = \text{Boolean} \vee T = \text{Integer} \implies Q \rrbracket \implies Q$   
⟨*proof*⟩

**type-synonym**  
*env* = *vname* → *ty*

```
end
```

### 3 CoreC++ values

```
theory Value imports Type begin
```

```
type-synonym addr = nat
```

```
type-synonym path = cname list — Path-component in subobjects
```

```
type-synonym reference = addr × path
```

```
datatype val
```

```
= Unit — dummy result value of void expressions
```

```
| Null — null reference
```

```
| Bool bool — Boolean value
```

```
| Intg int — integer value
```

```
| Ref reference — Address on the heap and subobject-path
```

```
primrec the-Intg :: val ⇒ int where
```

```
the-Intg (Intg i) = i
```

```
primrec the-addr :: val ⇒ addr where
```

```
the-addr (Ref r) = fst r
```

```
primrec the-path :: val ⇒ path where
```

```
the-path (Ref r) = snd r
```

```
primrec default-val :: ty ⇒ val — default value for all types where
```

```
default-val Void = Unit
```

```
| default-val Boolean = Bool False
```

```
| default-val Integer = Intg 0
```

```
| default-val NT = Null
```

```
| default-val (Class C) = Null
```

```
lemma default-val-no-Ref:default-val T = Ref(a,Cs) ==> False
```

```
{proof}
```

```
primrec typeof :: val ⇒ ty option where
```

```
typeof Unit = Some Void
```

```
| typeof Null = Some NT
```

```
| typeof (Bool b) = Some Boolean
```

```
| typeof (Intg i) = Some Integer
```

```
| typeof (Ref r) = None
```

```
lemma [simp]: (typeof v = Some Boolean) = (exists b. v = Bool b)
```

```
{proof}
```

```
lemma [simp]: (typeof v = Some Integer) = (exists i. v = Intg i)
```

```

⟨proof⟩

lemma [simp]: (typeof v = Some NT) = (v = Null)
⟨proof⟩

lemma [simp]: (typeof v = Some Void) = (v = Unit)
⟨proof⟩

end

```

## 4 Expressions

**theory** Expr imports Value begin

### 4.1 The expressions

**datatype** bop = Eq | Add — names of binary operations

**datatype** expr  
= new cname — class instance creation  
| Cast cname expr — dynamic type cast  
| StatCast cname expr — static type cast  
  ( $\langle \emptyset \rangle \rightarrow [80,81] 80$ )  
| Val val — value  
| BinOp expr bop expr — ( $\langle \cdot \cdot \cdot \cdot \rightarrow [80,0,81] 80$ )  
  — binary operation  
| Var vname — local variable  
| LAss vname expr — ( $\langle \cdot \cdot \cdot \rightarrow [70,70] 70$ )  
  — local assignment  
| FAcc expr vname path — ( $\langle \cdot \cdot \cdot \{ \} \rightarrow [10,90,99] 90$ )  
  — field access  
| FAAss expr vname path expr — ( $\langle \cdot \cdot \cdot \{ \} := \rightarrow [10,70,99,70] 70$ )  
  — field assignment  
| Call expr cname option mname expr list  
  — method call  
| Block vname ty expr — ( $\langle \{ \cdot \cdot \cdot ; \cdot \} \rangle$ )  
| Seq expr expr — ( $\langle \cdot \cdot \cdot ; / \rightarrow [61,60] 60$ )  
| Cond expr expr expr — ( $\langle \text{if } '(-) \text{ -/ else } \rightarrow [80,79,79] 70 \rangle$ )  
| While expr expr — ( $\langle \text{while } '(-) \rightarrow [80,79] 70 \rangle$ )  
| throw expr

**abbreviation** (input)

DynCall :: expr  $\Rightarrow$  mname  $\Rightarrow$  expr list  $\Rightarrow$  expr ( $\langle \cdot \cdot \cdot '(-) \rightarrow [90,99,0] 90 \rangle$ ) **where**  
e.M(es) == Call e None M es

**abbreviation** (input)

StaticCall :: expr  $\Rightarrow$  cname  $\Rightarrow$  mname  $\Rightarrow$  expr list  $\Rightarrow$  expr  
( $\langle \cdot \cdot \cdot '(-) \cdot \cdot \cdot '(-) \rightarrow [90,99,99,0] 90 \rangle$ ) **where**  
e.(C::)M(es) == Call e (Some C) M es

The semantics of binary operators:

```

fun binop :: bop × val × val ⇒ val option where
  binop(Eq,v1,v2) = Some(Bool (v1 = v2))
  | binop(Add,Intg i1,Intg i2) = Some(Intg(i1+i2))
  | binop(bop,v1,v2) = None

lemma [simp]:
  (binop(Add,v1,v2) = Some v) = (∃ i1 i2. v1 = Intg i1 ∧ v2 = Intg i2 ∧ v =
  Intg(i1+i2))
  ⟨proof⟩

lemma binop-not-ref[simp]:
  binop(bop,v1,v2) = Some (Ref r) ⇒ False
  ⟨proof⟩

```

## 4.2 Free Variables

```

primrec
  fv :: expr ⇒ vname set
  and fvs :: expr list ⇒ vname set where
    fv(new C) = {}
    | fv(Cast C e) = fv e
    | fv((C)e) = fv e
    | fv(Val v) = {}
    | fv(e1 «bop» e2) = fv e1 ∪ fv e2
    | fv(Var V) = {V}
    | fv(V := e) = {V} ∪ fv e
    | fv(e•F{Cs}) = fv e
    | fv(e1•F{Cs}:=e2) = fv e1 ∪ fv e2
    | fv(Call e Copt M es) = fv e ∪ fvs es
    | fv({V:T; e}) = fv e - {V}
    | fv(e1;e2) = fv e1 ∪ fv e2
    | fv(if (b) e1 else e2) = fv b ∪ fv e1 ∪ fv e2
    | fv(while (b) e) = fv b ∪ fv e
    | fv(throw e) = fv e

    | fvs([]) = {}
    | fvs(e#es) = fv e ∪ fvs es

lemma [simp]: fvs(es1 @ es2) = fvs es1 ∪ fvs es2
  ⟨proof⟩

lemma [simp]: fvs(map Val vs) = {}
  ⟨proof⟩

end

```

## 5 Class Declarations and Programs

**theory** *Decl imports Expr begin*

**type-synonym**

*fdecl* = *vname* × *ty* — field declaration

**type-synonym**

*method* = *ty list* × *ty* × (*vname list* × *expr*) — arg. types, return type, params, body

**type-synonym**

*mdecl* = *mname* × *method* — method declaration

**type-synonym**

*class* = *base list* × *fdecl list* × *mdecl list* — class = superclasses, fields, methods

**type-synonym**

*cdecl* = *cname* × *class* — classa declaration

**type-synonym**

*prog* = *cdecl list* — program

**translations**

(*type*) *fdecl* <= (*type*) *vname* × *ty*

(*type*) *mdecl* <= (*type*) *mname* × *ty list* × *ty* × (*vname list* × *expr*)

(*type*) *class* <= (*type*) *cname* × *fdecl list* × *mdecl list*

(*type*) *cdecl* <= (*type*) *cname* × *class*

(*type*) *prog* <= (*type*) *cdecl list*

**definition** *class* :: *prog* ⇒ *cname* → *class* **where**  
*class* ≡ *map-of*

**definition** *is-class* :: *prog* ⇒ *cname* ⇒ *bool* **where**  
*is-class P C* ≡ *class P C ≠ None*

**definition** *baseClasses* :: *base list* ⇒ *cname set* **where**  
*baseClasses Bs* ≡ *set ((map getbase) Bs)*

**definition** *RepBases* :: *base list* ⇒ *cname set* **where**  
*RepBases Bs* ≡ *set ((map getbase) (filter isRepBase Bs))*

**definition** *SharedBases* :: *base list* ⇒ *cname set* **where**  
*SharedBases Bs* ≡ *set ((map getbase) (filter isShBase Bs))*

**lemma** *not-getbase-repeats*:

*D* ∉ *set (map getbase xs)* ⇒ *Repeats D* ∉ *set xs*  
*{proof}*

**lemma** *not-getbase-shares*:

$D \notin \text{set}(\text{map } \text{getbase } xs) \implies \text{Shares } D \notin \text{set } xs$   
 $\langle \text{proof} \rangle$

**lemma** *RepBaseclass-isBaseclass*:  
 $\llbracket \text{class } P \ C = \text{Some}(Bs, fs, ms); \text{Repeats } D \in \text{set } Bs \rrbracket$   
 $\implies D \in \text{baseClasses } Bs$   
 $\langle \text{proof} \rangle$

**lemma** *ShBaseclass-isBaseclass*:  
 $\llbracket \text{class } P \ C = \text{Some}(Bs, fs, ms); \text{Shares } D \in \text{set } Bs \rrbracket$   
 $\implies D \in \text{baseClasses } Bs$   
 $\langle \text{proof} \rangle$

**lemma** *base-repeats-or-shares*:  
 $\llbracket B \in \text{set } Bs; D = \text{getbase } B \rrbracket$   
 $\implies \text{Repeats } D \in \text{set } Bs \vee \text{Shares } D \in \text{set } Bs$   
 $\langle \text{proof} \rangle$

**lemma** *baseClasses-repeats-or-shares*:  
 $D \in \text{baseClasses } Bs \implies \text{Repeats } D \in \text{set } Bs \vee \text{Shares } D \in \text{set } Bs$   
 $\langle \text{proof} \rangle$

**lemma** *finite-is-class*:  $\text{finite } \{C. \text{is-class } P \ C\}$   
 $\langle \text{proof} \rangle$

**lemma** *finite-baseClasses*:  
 $\text{class } P \ C = \text{Some}(Bs, fs, ms) \implies \text{finite } (\text{baseClasses } Bs)$   
 $\langle \text{proof} \rangle$

**definition** *is-type* ::  $\text{prog} \Rightarrow \text{ty} \Rightarrow \text{bool}$  **where**  
 $\text{is-type } P \ T \equiv$   
 $(\text{case } T \text{ of } \text{Void} \Rightarrow \text{True} \mid \text{Boolean} \Rightarrow \text{True} \mid \text{Integer} \Rightarrow \text{True} \mid NT \Rightarrow \text{True}$   
 $\mid \text{Class } C \Rightarrow \text{is-class } P \ C)$

**lemma** *is-type-simps* [*simp*]:  
 $\text{is-type } P \ \text{Void} \wedge \text{is-type } P \ \text{Boolean} \wedge \text{is-type } P \ \text{Integer} \wedge$   
 $\text{is-type } P \ NT \wedge \text{is-type } P \ (\text{Class } C) = \text{is-class } P \ C$   
 $\langle \text{proof} \rangle$

**abbreviation**  
 $\text{types } P == \text{Collect } (\text{CONST } \text{is-type } P)$

```

lemma typeof-lit-is-type:
  typeof v = Some T  $\implies$  is-type P T
   $\langle proof \rangle$ 

```

```
end
```

## 6 The subclass relation

```
theory ClassRel imports Decl begin
```

— direct repeated subclass

**inductive-set**

```
subclsR :: prog  $\Rightarrow$  (cname  $\times$  cname) set
```

```
and subclsR' :: prog  $\Rightarrow$  [cname, cname]  $\Rightarrow$  bool ( $\cdot \vdash \cdot \prec_R \cdot \rightarrow [71, 71, 71]$  70)
```

```
for P :: prog
```

**where**

```
P  $\vdash C \prec_R D \equiv (C, D) \in \text{subclsR } P$ 
```

```
| subclsRI:  $\llbracket \text{class } P \ C = \text{Some } (\text{Bs}, \text{rest}); \text{Repeats}(D) \in \text{set } \text{Bs} \rrbracket \implies P \vdash C \prec_R D$ 
```

— direct shared subclass

**inductive-set**

```
subclsS :: prog  $\Rightarrow$  (cname  $\times$  cname) set
```

```
and subclsS' :: prog  $\Rightarrow$  [cname, cname]  $\Rightarrow$  bool ( $\cdot \vdash \cdot \prec_S \cdot \rightarrow [71, 71, 71]$  70)
```

```
for P :: prog
```

**where**

```
P  $\vdash C \prec_S D \equiv (C, D) \in \text{subclsS } P$ 
```

```
| subclsSI:  $\llbracket \text{class } P \ C = \text{Some } (\text{Bs}, \text{rest}); \text{Shares}(D) \in \text{set } \text{Bs} \rrbracket \implies P \vdash C \prec_S D$ 
```

— direct subclass

**inductive-set**

```
subcls1 :: prog  $\Rightarrow$  (cname  $\times$  cname) set
```

```
and subcls1' :: prog  $\Rightarrow$  [cname, cname]  $\Rightarrow$  bool ( $\cdot \vdash \cdot \prec^1 \cdot \rightarrow [71, 71, 71]$  70)
```

```
for P :: prog
```

**where**

```
P  $\vdash C \prec^1 D \equiv (C, D) \in \text{subcls1 } P$ 
```

```
| subcls1I:  $\llbracket \text{class } P \ C = \text{Some } (\text{Bs}, \text{rest}); D \in \text{baseClasses } \text{Bs} \rrbracket \implies P \vdash C \prec^1 D$ 
```

**abbreviation**

```
subcls :: prog  $\Rightarrow$  [cname, cname]  $\Rightarrow$  bool ( $\cdot \vdash \cdot \preceq^* \cdot \rightarrow [71, 71, 71]$  70) where
```

```
P  $\vdash C \preceq^* D \equiv (C, D) \in (\text{subcls1 } P)^*$ 
```

**lemma** *subclsRD*:

```
P  $\vdash C \prec_R D \implies \exists fs \ ms \ \text{Bs}. \ (\text{class } P \ C = \text{Some } (\text{Bs}, fs, ms)) \wedge (\text{Repeats}(D) \in \text{set } \text{Bs})$ 
```

$\langle proof \rangle$

**lemma** *subclsSD*:  
 $P \vdash C \prec_S D \implies \exists fs\ ms\ Bs.\ (\text{class } P\ C = \text{Some } (Bs, fs, ms)) \wedge (\text{Shares}(D) \in \text{set } Bs)$   
 $\langle proof \rangle$

**lemma** *subcls1D*:  
 $P \vdash C \prec^1 D \implies \exists fs\ ms\ Bs.\ (\text{class } P\ C = \text{Some } (Bs, fs, ms)) \wedge (D \in \text{baseClasses } Bs)$   
 $\langle proof \rangle$

**lemma** *subclsR-subcls1*:  
 $P \vdash C \prec_R D \implies P \vdash C \prec^1 D$   
 $\langle proof \rangle$

**lemma** *subclsS-subcls1*:  
 $P \vdash C \prec_S D \implies P \vdash C \prec^1 D$   
 $\langle proof \rangle$

**lemma** *subcls1-subclsR-or-subclsS*:  
 $P \vdash C \prec^1 D \implies P \vdash C \prec_R D \vee P \vdash C \prec_S D$   
 $\langle proof \rangle$

**lemma** *finite-subcls1*: *finite* (*subcls1* *P*)  
 $\langle proof \rangle$

**lemma** *finite-subclsR*: *finite* (*subclsR* *P*)  
 $\langle proof \rangle$

**lemma** *finite-subclsS*: *finite* (*subclsS* *P*)  
 $\langle proof \rangle$

**lemma** *subcls1-class*:  
 $P \vdash C \prec^1 D \implies \text{is-class } P\ C$   
 $\langle proof \rangle$

**lemma** *subcls-is-class*:  
 $\llbracket P \vdash D \preceq^* C; \text{is-class } P\ C \rrbracket \implies \text{is-class } P\ D$   
 $\langle proof \rangle$

**end**

## 7 Definition of Subobjects

```
theory SubObj
imports ClassRel
begin
```

## 7.1 General definitions

**type-synonym**

$$\text{subobj} = \text{cname} \times \text{path}$$

**definition**  $\text{mdc} :: \text{subobj} \Rightarrow \text{cname}$  **where**  
 $\text{mdc } S = \text{fst } S$

**definition**  $\text{ldc} :: \text{subobj} \Rightarrow \text{cname}$  **where**  
 $\text{ldc } S = \text{last } (\text{snd } S)$

**lemma**  $\text{mdc-tuple}$  [simp]:  $\text{mdc } (C, Cs) = C$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{ldc-tuple}$  [simp]:  $\text{ldc } (C, Cs) = \text{last } Cs$   
 $\langle \text{proof} \rangle$

## 7.2 Subobjects according to Rossie-Friedman

**fun**  $\text{is-subobj} :: \text{prog} \Rightarrow \text{subobj} \Rightarrow \text{bool}$  — legal subobject to class hierarchie **where**  
 $\text{is-subobj } P (C, []) \longleftrightarrow \text{False}$   
 $| \text{is-subobj } P (C, [D]) \longleftrightarrow (\text{is-class } P C \wedge C = D)$   
 $\quad \vee (\exists X. P \vdash C \preceq^* X \wedge P \vdash X \prec_S D)$   
 $| \text{is-subobj } P (C, D \# E \# Xs) = (\text{let } Ys = \text{butlast } (D \# E \# Xs);$   
 $\quad Y = \text{last } (D \# E \# Xs);$   
 $\quad X = \text{last } Ys$   
 $\quad \text{in } \text{is-subobj } P (C, Ys) \wedge P \vdash X \prec_R Y)$

**lemma**  $\text{subobj-aux-rev}:$   
**assumes**  $1:\text{is-subobj } P ((C, C' \# \text{rev } Cs @ [C']))$   
**shows**  $\text{is-subobj } P ((C, C' \# \text{rev } Cs))$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{subobj-aux}:$   
**assumes**  $1:\text{is-subobj } P ((C, C' \# Cs @ [C']))$   
**shows**  $\text{is-subobj } P ((C, C' \# Cs))$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{isSubobj-isClass}:$   
**assumes**  $1:\text{is-subobj } P (R)$   
**shows**  $\text{is-class } P (\text{mdc } R)$

$\langle \text{proof} \rangle$

```

lemma isSubobjs-subclsR-rev:
assumes 1:is-subobj P ((C,Cs@[D,D']@(rev Cs')))
shows P ⊢ D ≺R D'
{proof}

```

```

lemma isSubobjs-subclsR:
assumes 1:is-subobj P ((C,Cs@[D,D']@Cs'))
shows P ⊢ D ≺R D'
{proof}

```

```

lemma mdc-leq-ldc-aux:
assumes 1:is-subobj P ((C,C'#rev Cs'))
shows P ⊢ C ⊑* last (C'#rev Cs')
{proof}

```

```

lemma mdc-leq-ldc:
assumes 1:is-subobj P (R)
shows P ⊢ mdc R ⊑* ldc R
{proof}

```

Next three lemmas show subobject property as presented in literature

```

lemma class-isSubobj:
is-class P C  $\implies$  is-subobj P ((C,[C]))
{proof}

```

```

lemma repSubobj-isSubobj:
assumes 1:is-subobj P ((C,Xs@[X])) and 2:P ⊢ X ≺R Y
shows is-subobj P ((C,Xs@[X,Y]))
{proof}

```

```

lemma shSubobj-isSubobj:
assumes 1: is-subobj P ((C,Xs@[X])) and 2:P ⊢ X ≺S Y
shows is-subobj P ((C,[Y]))

```

$\langle proof \rangle$

Auxiliary lemmas

**lemma** *build-rec-isSubobj-rev*:  
**assumes** 1:*is-subobj P ((D,D#rev Cs))* **and** 2:*P ⊢ C ≺<sub>R</sub> D*  
**shows** *is-subobj P ((C,C#D#rev Cs))*  
 $\langle proof \rangle$

**lemma** *build-rec-isSubobj*:  
**assumes** 1:*is-subobj P ((D,D#Cs))* **and** 2:*P ⊢ C ≺<sub>R</sub> D*  
**shows** *is-subobj P ((C,C#D#Cs))*

$\langle proof \rangle$

**lemma** *isSubobj-isSubobj-isSubobj-rev*:  
**assumes** 1:*is-subobj P ((C,[D]))* **and** 2:*is-subobj P ((D,D#(rev Cs)))*  
**shows** *is-subobj P ((C,D#(rev Cs)))*  
 $\langle proof \rangle$

**lemma** *isSubobj-isSubobj-isSubobj*:  
**assumes** 1:*is-subobj P ((C,[D]))* **and** 2:*is-subobj P ((D,D#Cs))*  
**shows** *is-subobj P ((C,D#Cs))*

$\langle proof \rangle$

### 7.3 Subobject handling and lemmas

Subobjects consisting of repeated inheritance relations only:

**inductive** *Subobjs<sub>R</sub>* :: *prog*  $\Rightarrow$  *cname*  $\Rightarrow$  *path*  $\Rightarrow$  *bool* **for** *P :: prog*  
**where**  
  *SubobjsR-Base*: *is-class P C*  $\implies$  *Subobjs<sub>R</sub> P C [C]*  
  | *SubobjsR-Rep*:  $\llbracket P \vdash C \prec_R D; Subobjs_R P D Cs \rrbracket \implies Subobjs_R P C (C \# Cs)$

All subobjects:

**inductive** *Subobjs* :: *prog*  $\Rightarrow$  *cname*  $\Rightarrow$  *path*  $\Rightarrow$  *bool* **for** *P :: prog*  
**where**  
  *Subobjs-Rep*: *Subobjs<sub>R</sub> P C Cs*  $\implies$  *Subobjs P C Cs*  
  | *Subobjs-Sh*:  $\llbracket P \vdash C \preceq^* C'; P \vdash C' \prec_S D; Subobjs_R P D Cs \rrbracket \implies Subobjs P C Cs$

**lemma** *Subobjs-Base:is-class P C*  $\implies$  *Subobjs P C [C]*

$\langle proof \rangle$

**lemma** *SubobjsR-nonempty*:  $Subobjs_R P C Cs \implies Cs \neq []$   
 $\langle proof \rangle$

**lemma** *Subobjs-nonempty*:  $Subobjs P C Cs \implies Cs \neq []$   
 $\langle proof \rangle$

**lemma** *hd-SubobjsR*:  
 $Subobjs_R P C Cs \implies \exists Cs'. Cs = C \# Cs'$   
 $\langle proof \rangle$

**lemma** *SubobjsR-subclassRep*:  
 $Subobjs_R P C Cs \implies (C, \text{last } Cs) \in (\text{subclsR } P)^*$

$\langle proof \rangle$

**lemma** *SubobjsR-subclass*:  $Subobjs_R P C Cs \implies P \vdash C \preceq^* \text{last } Cs$

$\langle proof \rangle$

**lemma** *Subobjs-subclass*:  $Subobjs P C Cs \implies P \vdash C \preceq^* \text{last } Cs$

$\langle proof \rangle$

**lemma** *Subobjs-notSubobjsR*:  
 $\llbracket Subobjs P C Cs; \neg Subobjs_R P C Cs \rrbracket$   
 $\implies \exists C' D. P \vdash C \preceq^* C' \wedge P \vdash C' \prec_S D \wedge Subobjs_R P D Cs$   
 $\langle proof \rangle$

**lemma assumes** *subo:SubobjsR P (hd (Cs@ C' # Cs')) (Cs@ C' # Cs')*  
**shows** *SubobjsR-Subobjs:Subobjs P C' (C' # Cs')*  
 $\langle proof \rangle$

**lemma** *Subobjs-Subobjs:Subobjs P C (Cs@ C' # Cs')  $\implies$  Subobjs P C' (C' # Cs')*

$\langle proof \rangle$

```
lemma SubobjsR-isClass:  
assumes subo:SubobjsR P C Cs  
shows is-class P C
```

*(proof)*

```
lemma Subobjs-isClass:  
assumes subo:Subobjs P C Cs  
shows is-class P C
```

*(proof)*

```
lemma Subobjs-subclsR:  
assumes subo:Subobjs P C (Cs@[D,D']@Cs')  
shows P ⊢ D ≺R D'
```

*(proof)*

```
lemma assumes subo:SubobjsR P (hd Cs) (Cs@[D]) and notempty:Cs ≠ []  
shows butlast-Subobjs-Rep:SubobjsR P (hd Cs) Cs
```

*(proof)*

```
lemma assumes subo:Subobjs P C (Cs@[D]) and notempty:Cs ≠ []  
shows butlast-Subobjs:Subobjs P C Cs
```

*(proof)*

```
lemma assumes subo:Subobjs P C (Cs@(rev Cs')) and notempty:Cs ≠ []  
shows rev-appendSubobj:Subobjs P C Cs
```

*(proof)*

```
lemma appendSubobj:  
assumes subo:Subobjs P C (Cs@Cs') and notempty:Cs ≠ []  
shows Subobjs P C Cs
```

*(proof)*

**lemma** *SubobjsR-isSubobj*:  
 $\text{Subobjs}_R P C Cs \implies \text{is-subobj } P ((C, Cs))$   
*(proof)*

**lemma** *leq-SubobjsR-isSubobj*:  
 $\llbracket P \vdash C \preceq^* C'; P \vdash C' \prec_S D; \text{Subobjs}_R P D Cs \rrbracket \implies \text{is-subobj } P ((C, Cs))$   
*(proof)*

**lemma** *Subobjs-isSubobj*:  
 $\text{Subobjs } P C Cs \implies \text{is-subobj } P ((C, Cs))$   
*(proof)*

## 7.4 Paths

### 7.5 Appending paths

Avoided name clash by calling one path Path.

**definition** *path-via* :: *prog*  $\Rightarrow$  *cname*  $\Rightarrow$  *cname*  $\Rightarrow$  *path*  $\Rightarrow$  *bool* ( $\langle\cdot\rangle \vdash \text{Path} - \text{to} - \text{via} - \rangle [51, 51, 51, 51] 50$ ) **where**  
 $P \vdash \text{Path } C \text{ to } D \text{ via } Cs \equiv \text{Subobjs } P C Cs \wedge \text{last } Cs = D$

**definition** *path-unique* :: *prog*  $\Rightarrow$  *cname*  $\Rightarrow$  *cname*  $\Rightarrow$  *bool* ( $\langle\cdot\rangle \vdash \text{Path} - \text{to} - \text{unique} - \rangle [51, 51, 51] 50$ ) **where**  
 $P \vdash \text{Path } C \text{ to } D \text{ unique} \equiv \exists!Cs. \text{Subobjs } P C Cs \wedge \text{last } Cs = D$

**definition** *appendPath* :: *path*  $\Rightarrow$  *path*  $\Rightarrow$  *path* (**infixr**  $\langle @_p \rangle 65$ ) **where**  
 $Cs @_p Cs' \equiv \text{if } (\text{last } Cs = \text{hd } Cs') \text{ then } Cs @_ (tl Cs') \text{ else } Cs'$

**lemma** *appendPath-last*:  $Cs \neq [] \implies \text{last } Cs = \text{last } (Cs @_p Cs')$   
*(proof)*

**inductive**  
*casts-to* :: *prog*  $\Rightarrow$  *ty*  $\Rightarrow$  *val*  $\Rightarrow$  *val*  $\Rightarrow$  *bool*  
 $(\langle\cdot\rangle \vdash \text{- casts} - \text{to} - \rangle [51, 51, 51, 51] 50)$   
**for** *P* :: *prog*  
**where**

*casts-prim*:  $\forall C. T \neq \text{Class } C \implies P \vdash T \text{ casts } v \text{ to } v$

| *casts-null*:  $P \vdash \text{Class } C \text{ casts Null to Null}$

| *casts-ref*:  $\llbracket P \vdash \text{Path last } Cs \text{ to } C \text{ via } Cs'; Ds = Cs @_p Cs' \rrbracket$   
 $\implies P \vdash \text{Class } C \text{ casts Ref}(a, Cs) \text{ to Ref}(a, Ds)$

**inductive**

*Casts-to* ::  $\text{prog} \Rightarrow \text{ty list} \Rightarrow \text{val list} \Rightarrow \text{val list} \Rightarrow \text{bool}$

$(\langle \cdot \vdash \cdot \text{ - } \text{Casts} \text{ - to } \cdot \rightarrow [51, 51, 51, 51] \cdot 50)$

**for**  $P :: \text{prog}$

**where**

*Casts-Nil*:  $P \vdash [] \text{ Casts } [] \text{ to } []$

| *Casts-Cons*:  $\llbracket P \vdash T \text{ casts } v \text{ to } v'; P \vdash Ts \text{ Casts } vs \text{ to } vs' \rrbracket$   
 $\implies P \vdash (T \# Ts) \text{ Casts } (v \# vs) \text{ to } (v' \# vs')$

**lemma** *length-Casts-vs*:

$P \vdash Ts \text{ Casts } vs \text{ to } vs' \implies \text{length } Ts = \text{length } vs$

$\langle \text{proof} \rangle$

**lemma** *length-Casts-vs'*:

$P \vdash Ts \text{ Casts } vs \text{ to } vs' \implies \text{length } Ts = \text{length } vs'$

$\langle \text{proof} \rangle$

## 7.6 The relation on paths

**inductive-set**

*leq-path1* ::  $\text{prog} \Rightarrow \text{cname} \Rightarrow (\text{path} \times \text{path}) \text{ set}$

**and** *leq-path1'* ::  $\text{prog} \Rightarrow \text{cname} \Rightarrow [\text{path}, \text{path}] \Rightarrow \text{bool} (\langle \cdot, \cdot \vdash \cdot \sqsubseteq^1 \rightarrow [71, 71, 71] \cdot 70)$

**for**  $P :: \text{prog}$  **and**  $C :: \text{cname}$

**where**

$P, C \vdash Cs \sqsubseteq^1 Ds \equiv (Cs, Ds) \in \text{leq-path1 } P \ C$

| *leq-pathRep*:  $\llbracket \text{Subobjs } P \ C \ Cs; \text{Subobjs } P \ C \ Ds; Cs = \text{butlast } Ds \rrbracket$

$\implies P, C \vdash Cs \sqsubseteq^1 Ds$

| *leq-pathSh*:  $\llbracket \text{Subobjs } P \ C \ Cs; P \vdash \text{last } Cs \prec_S D \rrbracket$

$\implies P, C \vdash Cs \sqsubseteq^1 [D]$

**abbreviation**

*leq-path* ::  $\text{prog} \Rightarrow \text{cname} \Rightarrow [\text{path}, \text{path}] \Rightarrow \text{bool} (\langle \cdot, \cdot \vdash \cdot \sqsubseteq \rightarrow [71, 71, 71] \cdot 70)$

**where**

$P, C \vdash Cs \sqsubseteq Ds \equiv (Cs, Ds) \in (\text{leq-path1 } P \ C)^*$

**lemma** *leq-path-rep*:

$\llbracket \text{Subobjs } P \ C \ (Cs @ [C']); \text{Subobjs } P \ C \ (Cs @ [C', C']) \rrbracket$

$\implies P, C \vdash (Cs @ [C']) \sqsubseteq^1 (Cs @ [C', C'])$

$\langle proof \rangle$

**lemma** *leg-path-sh*:

$$\begin{aligned} & \llbracket Subobjs P C (Cs @ [C']) ; P \vdash C' \prec_S C'' \rrbracket \\ \implies & P, C \vdash (Cs @ [C']) \sqsubset^1 [C''] \end{aligned}$$

$\langle proof \rangle$

## 7.7 Member lookups

**definition** *FieldDecls* ::  $prog \Rightarrow cname \Rightarrow vname \Rightarrow (path \times ty) set$  **where**

$$\begin{aligned} FieldDecls P C F \equiv & \\ \{(Cs, T). Subobjs P C Cs \wedge (\exists Bs fs ms. class P (last Cs) = Some(Bs, fs, ms) \\ \wedge map-of fs F = Some T)\} \end{aligned}$$

**definition** *LeastFieldDecl* ::  $prog \Rightarrow cname \Rightarrow vname \Rightarrow ty \Rightarrow path \Rightarrow bool$

$$\begin{aligned} (\langle - \vdash - has least - \rangle \rightarrow [51, 0, 0, 0, 51] 50) \text{ where} \\ P \vdash C \text{ has least } F : T \text{ via } Cs \equiv \\ (Cs, T) \in FieldDecls P C F \wedge \\ (\forall (Cs', T') \in FieldDecls P C F. P, C \vdash Cs \sqsubseteq Cs') \end{aligned}$$

**definition** *MethodDefs* ::  $prog \Rightarrow cname \Rightarrow mname \Rightarrow (path \times method) set$  **where**

$$\begin{aligned} MethodDefs P C M \equiv & \\ \{(Cs, mthd). Subobjs P C Cs \wedge (\exists Bs fs ms. class P (last Cs) = Some(Bs, fs, ms) \\ \wedge map-of ms M = Some mthd)\} \end{aligned}$$

— needed for well formed criterion

**definition** *HasMethodDef* ::  $prog \Rightarrow cname \Rightarrow mname \Rightarrow method \Rightarrow path \Rightarrow bool$

$$\begin{aligned} (\langle - \vdash - has - = - \rangle \rightarrow [51, 0, 0, 0, 51] 50) \text{ where} \\ P \vdash C \text{ has } M = mthd \text{ via } Cs \equiv (Cs, mthd) \in MethodDefs P C M \end{aligned}$$

**definition** *LeastMethodDef* ::  $prog \Rightarrow cname \Rightarrow mname \Rightarrow method \Rightarrow path \Rightarrow bool$

$$\begin{aligned} (\langle - \vdash - has least - = - \rangle \rightarrow [51, 0, 0, 0, 51] 50) \text{ where} \\ P \vdash C \text{ has least } M = mthd \text{ via } Cs \equiv \\ (Cs, mthd) \in MethodDefs P C M \wedge \\ (\forall (Cs', mthd') \in MethodDefs P C M. P, C \vdash Cs \sqsubseteq Cs') \end{aligned}$$

**definition** *MinimalMethodDefs* ::  $prog \Rightarrow cname \Rightarrow mname \Rightarrow (path \times method) set$  **where**

$$\begin{aligned} MinimalMethodDefs P C M \equiv & \\ \{(Cs, mthd). (Cs, mthd) \in MethodDefs P C M \wedge \\ (\forall (Cs', mthd') \in MethodDefs P C M. P, C \vdash Cs' \sqsubseteq Cs \longrightarrow Cs' = Cs)\} \end{aligned}$$

**definition** *OverriderMethodDefs* ::  $prog \Rightarrow subobj \Rightarrow mname \Rightarrow (path \times method) set$  **where**

$$\begin{aligned} OverriderMethodDefs P R M \equiv & \\ \{(Cs, mthd). \exists Cs' mthd'. P \vdash (ldc R) has least M = mthd' \text{ via } Cs' \wedge \\ (Cs, mthd) \in MinimalMethodDefs P (mdc R) M \wedge \\ P, mdc R \vdash Cs \sqsubseteq (snd R) @_p Cs'\} \end{aligned}$$

**definition** *FinalOverriderMethodDef* ::  $prog \Rightarrow subobj \Rightarrow mname \Rightarrow method \Rightarrow path \Rightarrow bool$   
 $(\langle - \vdash - has\ overrider\ - = - via\ - \rangle [51,0,0,0,51] 50)$  **where**  
 $P \vdash R has\ overrider\ M = mthd\ via\ Cs \equiv$   
 $(Cs, mthd) \in OverriderMethodDefs\ P\ R\ M \wedge$   
 $card(OverriderMethodDefs\ P\ R\ M) = 1$

**inductive**

*SelectMethodDef* ::  $prog \Rightarrow cname \Rightarrow path \Rightarrow mname \Rightarrow method \Rightarrow path \Rightarrow bool$   
 $(\langle - \vdash '(-,-)' selects\ - = - via\ - \rangle [51,0,0,0,0,51] 50)$   
**for**  $P :: prog$   
**where**

*dyn-unique*:

$P \vdash C has\ least\ M = mthd\ via\ Cs' \implies P \vdash (C, Cs) selects\ M = mthd\ via\ Cs'$

| *dyn-ambiguous*:

$\boxed{\forall mthd\ Cs'. \neg P \vdash C has\ least\ M = mthd\ via\ Cs';}$   
 $\boxed{P \vdash (C, Cs) has\ overrider\ M = mthd\ via\ Cs'}$   
 $\implies P \vdash (C, Cs) selects\ M = mthd\ via\ Cs'$

**lemma** *sees-fields-fun*:

$(Cs, T) \in FieldDecls\ P\ C\ F \implies (Cs, T') \in FieldDecls\ P\ C\ F \implies T = T'$   
 $\langle proof \rangle$

**lemma** *sees-field-fun*:

$\boxed{[P \vdash C has\ least\ F:T\ via\ Cs; P \vdash C has\ least\ F:T'\ via\ Cs]}$   
 $\implies T = T'$   
 $\langle proof \rangle$

**lemma** *has-least-method-has-method*:

$P \vdash C has\ least\ M = mthd\ via\ Cs \implies P \vdash C has\ M = mthd\ via\ Cs$   
 $\langle proof \rangle$

**lemma** *visible-methods-exist*:

$(Cs, mthd) \in MethodDefs\ P\ C\ M \implies$   
 $(\exists Bs\ fs\ ms.\ class\ P\ (last\ Cs) = Some(Bs, fs, ms) \wedge map-of\ ms\ M = Some\ mthd)$   
 $\langle proof \rangle$

**lemma** *sees-methods-fun*:

$(Cs, mthd) \in MethodDefs\ P\ C\ M \implies (Cs, mthd') \in MethodDefs\ P\ C\ M \implies mthd = mthd'$

$\langle proof \rangle$

**lemma** sees-method-fun:  
 $\llbracket P \vdash C \text{ has least } M = \text{mthd} \text{ via } Cs; P \vdash C \text{ has least } M = \text{mthd}' \text{ via } Cs \rrbracket$   
 $\implies \text{mthd} = \text{mthd}'$   
 $\langle proof \rangle$

**lemma** overrider-method-fun:  
**assumes** overrider: $P \vdash (C,Cs)$  has overrider  $M = \text{mthd}$  via  $Cs'$   
**and** overrider': $P \vdash (C,Cs)$  has overrider  $M = \text{mthd}'$  via  $Cs''$   
**shows**  $\text{mthd} = \text{mthd}' \wedge Cs' = Cs''$   
 $\langle proof \rangle$

**end**

## 8 Objects and the Heap

**theory** Objects **imports** SubObj **begin**

### 8.1 Objects

**type-synonym**

$subo = (\text{path} \times (\text{vname} \rightarrow \text{val}))$  — subobjects realized on the heap

**type-synonym**

$obj = cname \times subo \text{ set}$  — mdc and subobject

**definition** init-class-fieldmap ::  $prog \Rightarrow cname \Rightarrow (\text{vname} \rightarrow \text{val})$  **where**  
 $init\text{-}class\text{-}fieldmap P C \equiv$   
 $map\text{-}of (map (\lambda(F,T).(F,\text{default-val } T)) (fst(snd(the(class P C))))))$

**inductive**

$init\text{-}obj :: prog \Rightarrow cname \Rightarrow (\text{path} \times (\text{vname} \rightarrow \text{val})) \Rightarrow \text{bool}$

**for**  $P :: prog$  **and**  $C :: cname$

**where**

$Subobjs P C Cs \implies init\text{-}obj P C (Cs, init\text{-}class\text{-}fieldmap P (last Cs))$

**lemma** init-obj-nonempty:  $init\text{-}obj P C (Cs,fs) \implies Cs \neq []$   
 $\langle proof \rangle$

**lemma** init-obj-no-Ref:

$\llbracket init\text{-}obj P C (Cs,fs); fs F = \text{Some}(\text{Ref}(a',Cs')) \rrbracket \implies \text{False}$   
 $\langle proof \rangle$

**lemma** SubobjsSet-init-objSet:

$\{Cs. Subobjs P C Cs\} = \{Cs. \exists vmap. init\text{-}obj P C (Cs,vmap)\}$

$\langle proof \rangle$

```
definition obj-ty :: obj ⇒ ty where
  obj-ty obj ≡ Class (fst obj)
```

— a new, blank object with default values in all fields:  
**definition** blank :: prog ⇒ cname ⇒ obj **where**

```
blank P C ≡ (C, Collect (init-obj P C))
```

**lemma** [simp]: obj-ty (C,S) = Class C  
 $\langle proof \rangle$

## 8.2 Heap

**type-synonym** heap = addr → obj

**abbreviation**

```
cname-of :: heap ⇒ addr ⇒ cname where
cname-of hp a == fst (the (hp a))
```

**definition** new-Addr :: heap ⇒ addr option **where**  
new-Addr h ≡ if  $\exists a. h a = \text{None}$  then Some(SOME a. h a = None) else None

**lemma** new-Addr-SomeD:

```
new-Addr h = Some a ⇒ h a = None
⟨proof⟩
```

end

## 9 Exceptions

**theory** Exceptions imports Objects **begin**

### 9.1 Exceptions

**definition** NullPointer :: cname **where**  
NullPointer ≡ "NullPointer"

**definition** ClassCast :: cname **where**  
ClassCast ≡ "ClassCast"

**definition** OutOfMemory :: cname **where**  
OutOfMemory ≡ "OutOfMemory"

**definition** sys-xcpts :: cname set **where**

```

 $sys\text{-}xcpts \equiv \{NullPointer, ClassCast, OutOfMemory\}$ 

definition  $addr\text{-}of\text{-}sys\text{-}xcpt :: cname \Rightarrow addr$  where
   $addr\text{-}of\text{-}sys\text{-}xcpt s \equiv \begin{cases} if\ s = NullPointer\ then\ 0\ else \\ \quad if\ s = ClassCast\ then\ 1\ else \\ \quad if\ s = OutOfMemory\ then\ 2\ else\ undefined \end{cases}$ 

definition  $start\text{-}heap :: prog \Rightarrow heap$  where
   $start\text{-}heap P \equiv Map.empty\ (addr\text{-}of\text{-}sys\text{-}xcpt NullPointer \mapsto blank\ P\ NullPointer,$ 
     $\quad addr\text{-}of\text{-}sys\text{-}xcpt ClassCast \mapsto blank\ P\ ClassCast,$ 
     $\quad addr\text{-}of\text{-}sys\text{-}xcpt OutOfMemory \mapsto blank\ P\ OutOfMemory)$ 

definition  $preallocated :: heap \Rightarrow bool$  where
   $preallocated h \equiv \forall C \in sys\text{-}xcpts.\ \exists S.\ h\ (addr\text{-}of\text{-}sys\text{-}xcpt\ C) = Some\ (C,S)$ 

```

## 9.2 System exceptions

**lemma** [*simp*]:  
 $NullPointer \in sys\text{-}xcpts \wedge OutOfMemory \in sys\text{-}xcpts \wedge ClassCast \in sys\text{-}xcpts$   
 $\langle proof \rangle$

**lemma**  $sys\text{-}xcpts\text{-}cases$  [*consumes 1, cases set*]:  
 $\llbracket C \in sys\text{-}xcpts; P\ NullPointer; P\ OutOfMemory; P\ ClassCast \rrbracket \implies P\ C$   
 $\langle proof \rangle$

## 9.3 preallocated

**lemma**  $preallocated\text{-}dom$  [*simp*]:  
 $\llbracket preallocated\ h; C \in sys\text{-}xcpts \rrbracket \implies addr\text{-}of\text{-}sys\text{-}xcpt\ C \in dom\ h$   
 $\langle proof \rangle$

**lemma**  $preallocatedD$ :  
 $\llbracket preallocated\ h; C \in sys\text{-}xcpts \rrbracket \implies \exists S.\ h\ (addr\text{-}of\text{-}sys\text{-}xcpt\ C) = Some\ (C,S)$   
 $\langle proof \rangle$

**lemma**  $preallocatedE$  [*elim?*]:  
 $\llbracket preallocated\ h; C \in sys\text{-}xcpts; \bigwedge S.\ h\ (addr\text{-}of\text{-}sys\text{-}xcpt\ C) = Some(C,S) \implies$ 
 $P\ h\ C \rrbracket$ 
 $\implies P\ h\ C$   
 $\langle proof \rangle$

**lemma**  $cname\text{-}of\text{-}xcp$  [*simp*]:  
 $\llbracket preallocated\ h; C \in sys\text{-}xcpts \rrbracket \implies cname\text{-}of\ h\ (addr\text{-}of\text{-}sys\text{-}xcpt\ C) = C$   
 $\langle proof \rangle$

```

lemma preallocated-start:
  preallocated (start-heap P)
  ⟨proof⟩

9.4 start-heap

lemma start-Subobj:
  [start-heap P a = Some(C, S); (Cs,fs) ∈ S] ⇒ Subobjs P C Cs
  ⟨proof⟩

lemma start-SuboSet:
  [start-heap P a = Some(C, S); Subobjs P C Cs] ⇒ ∃fs. (Cs,fs) ∈ S
  ⟨proof⟩

lemma start-init-obj: start-heap P a = Some(C,S) ⇒ S = Collect (init-obj P C)
  ⟨proof⟩

lemma start-subobj:
  [start-heap P a = Some(C, S); ∃fs. (Cs, fs) ∈ S] ⇒ Subobjs P C Cs
  ⟨proof⟩

end

```

## 10 Syntax

```

theory Syntax imports Exceptions begin

  Syntactic sugar

  abbreviation (input)
    InitBlock :: vname ⇒ ty ⇒ expr ⇒ expr ⇒ expr ((1'{:- := -;/ -})) where
    InitBlock V T e1 e2 == {V:T; V := e1;; e2}

  abbreviation unit where unit == Val Unit
  abbreviation null where null == Val Null
  abbreviation ref r == Val(Ref r)
  abbreviation true == Val(Bool True)
  abbreviation false == Val(Bool False)

  abbreviation
    Throw :: reference ⇒ expr where
    Throw r == throw(ref r)

  abbreviation (input)
    THROW :: cname ⇒ expr where
    THROW xc == Throw(addr-of-sys-xcpt xc,[xc])

end

```

## 11 Program State

```

theory State imports Exceptions begin

type-synonym
locals = vname → val      — local vars, incl. params and “this”
type-synonym
state = heap × locals

definition hp :: state ⇒ heap where
hp ≡ fst

definition lcl :: state ⇒ locals where
lcl ≡ snd

declare hp-def[simp] lcl-def[simp]

end

```

## 12 Big Step Semantics

```

theory BigStep
imports Syntax State
begin

```

### 12.1 The rules

**inductive**

```

eval :: prog ⇒ env ⇒ expr ⇒ state ⇒ expr ⇒ state ⇒ bool
      ((-, - ⊢ ((1(-, /-)) ⇒ / (1(-, /-)))) [51, 0, 0, 0, 0] 81)
and evals :: prog ⇒ env ⇒ expr list ⇒ state ⇒ expr list ⇒ state ⇒ bool
      ((-, - ⊢ ((1(-, /-)) [⇒] / (1(-, /-)))) [51, 0, 0, 0, 0] 81)
for P :: prog
where

```

*New:*

$$[\![ \text{new-Addr } h = \text{Some } a; h' = h(a \rightarrow (C, \text{Collect } (\text{init-obj } P C))) ]\!] \implies P, E \vdash \langle \text{new } C, (h, l) \rangle \Rightarrow \langle \text{ref } (a, [C]), (h', l) \rangle$$

| *NewFail:*

$$\text{new-Addr } h = \text{None} \implies P, E \vdash \langle \text{new } C, (h, l) \rangle \Rightarrow \langle \text{THROW OutOfMemory}, (h, l) \rangle$$

| *StaticUpCast:*

$$[\![ P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{ref } (a, Cs), s_1 \rangle; P \vdash \text{Path last } Cs \text{ to } C \text{ via } Cs'; Ds = Cs @_p Cs' ]\!] \implies P, E \vdash \langle (C) e, s_0 \rangle \Rightarrow \langle \text{ref } (a, Ds), s_1 \rangle$$

| *StaticDownCast:*

$$\begin{aligned}
& P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{ref } (a, Cs @ [C] @ Cs'), s_1 \rangle \\
& \implies P, E \vdash \langle (\| C \|) e, s_0 \rangle \Rightarrow \langle \text{ref } (a, Cs @ [C]), s_1 \rangle
\end{aligned}$$

| *StaticCastNull*:

$$\begin{aligned}
& P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{null}, s_1 \rangle \implies \\
& P, E \vdash \langle (\| C \|) e, s_0 \rangle \Rightarrow \langle \text{null}, s_1 \rangle
\end{aligned}$$

| *StaticCastFail*:

$$\begin{aligned}
& [\![ P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{ref } (a, Cs), s_1 \rangle; \neg P \vdash (\text{last } Cs) \preceq^* C; C \notin \text{set } Cs ]\!] \\
& \implies P, E \vdash \langle (\| C \|) e, s_0 \rangle \Rightarrow \langle \text{THROW ClassCast}, s_1 \rangle
\end{aligned}$$

| *StaticCastThrow*:

$$\begin{aligned}
& P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle \implies \\
& P, E \vdash \langle (\| C \|) e, s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle
\end{aligned}$$

| *StaticUpDynCast*:

$$\begin{aligned}
& [\![ P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{ref } (a, Cs), s_1 \rangle; P \vdash \text{Path last } Cs \text{ to } C \text{ unique}; \\
& \quad P \vdash \text{Path last } Cs \text{ to } C \text{ via } Cs'; Ds = Cs @_p Cs' ]\!] \\
& \implies P, E \vdash \langle \text{Cast } C e, s_0 \rangle \Rightarrow \langle \text{ref } (a, Ds), s_1 \rangle
\end{aligned}$$

| *StaticDownDynCast*:

$$\begin{aligned}
& P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{ref } (a, Cs @ [C] @ Cs'), s_1 \rangle \\
& \implies P, E \vdash \langle \text{Cast } C e, s_0 \rangle \Rightarrow \langle \text{ref } (a, Cs @ [C]), s_1 \rangle
\end{aligned}$$

| *DynCast*:

$$\begin{aligned}
& [\![ P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{ref } (a, Cs), (h, l) \rangle; h a = \text{Some}(D, S); \\
& \quad P \vdash \text{Path } D \text{ to } C \text{ via } Cs'; P \vdash \text{Path } D \text{ to } C \text{ unique } ]\!] \\
& \implies P, E \vdash \langle \text{Cast } C e, s_0 \rangle \Rightarrow \langle \text{ref } (a, Cs'), (h, l) \rangle
\end{aligned}$$

| *DynCastNull*:

$$\begin{aligned}
& P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{null}, s_1 \rangle \implies \\
& P, E \vdash \langle \text{Cast } C e, s_0 \rangle \Rightarrow \langle \text{null}, s_1 \rangle
\end{aligned}$$

| *DynCastFail*:

$$\begin{aligned}
& [\![ P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{ref } (a, Cs), (h, l) \rangle; h a = \text{Some}(D, S); \neg P \vdash \text{Path } D \text{ to } C \text{ unique}; \\
& \quad \neg P \vdash \text{Path last } Cs \text{ to } C \text{ unique}; C \notin \text{set } Cs ]\!] \\
& \implies P, E \vdash \langle \text{Cast } C e, s_0 \rangle \Rightarrow \langle \text{null}, (h, l) \rangle
\end{aligned}$$

| *DynCastThrow*:

$$\begin{aligned}
& P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle \implies \\
& P, E \vdash \langle \text{Cast } C e, s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle
\end{aligned}$$

| *Val*:

$$P, E \vdash \langle \text{Val } v, s \rangle \Rightarrow \langle \text{Val } v, s \rangle$$

| *BinOp*:

$$\begin{aligned}
& [\![ P, E \vdash \langle e_1, s_0 \rangle \Rightarrow \langle \text{Val } v_1, s_1 \rangle; P, E \vdash \langle e_2, s_1 \rangle \Rightarrow \langle \text{Val } v_2, s_2 \rangle; \\
& \quad \text{binop}(bop, v_1, v_2) = \text{Some } v ]\!] \\
& \implies P, E \vdash \langle e_1 \ll bop \gg e_2, s_0 \rangle \Rightarrow \langle \text{Val } v, s_2 \rangle
\end{aligned}$$

- | *BinOpThrow1*:
 
$$P, E \vdash \langle e_1, s_0 \rangle \Rightarrow \langle \text{throw } e, s_1 \rangle \implies$$

$$P, E \vdash \langle e_1 \ll \text{bop} \gg e_2, s_0 \rangle \Rightarrow \langle \text{throw } e, s_1 \rangle$$
- | *BinOpThrow2*:
 
$$\llbracket P, E \vdash \langle e_1, s_0 \rangle \Rightarrow \langle \text{Val } v_1, s_1 \rangle; P, E \vdash \langle e_2, s_1 \rangle \Rightarrow \langle \text{throw } e, s_2 \rangle \rrbracket \implies$$

$$P, E \vdash \langle e_1 \ll \text{bop} \gg e_2, s_0 \rangle \Rightarrow \langle \text{throw } e, s_2 \rangle$$
- | *Var*:
 
$$l \ V = \text{Some } v \implies$$

$$P, E \vdash \langle \text{Var } V, (h, l) \rangle \Rightarrow \langle \text{Val } v, (h, l) \rangle$$
- | *LAss*:
 
$$\llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{Val } v, (h, l) \rangle; E \ V = \text{Some } T;$$

$$P \vdash T \text{ casts } v \text{ to } v'; l' = l(V \mapsto v') \rrbracket \implies$$

$$P, E \vdash \langle V := e, s_0 \rangle \Rightarrow \langle \text{Val } v', (h, l') \rangle$$
- | *LAssThrow*:
 
$$P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle \implies$$

$$P, E \vdash \langle V := e, s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle$$
- | *FAcc*:
 
$$\llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{ref } (a, Cs'), (h, l) \rangle; h \ a = \text{Some}(D, S);$$

$$Ds = Cs' @_p Cs; (Ds, fs) \in S; fs \ F = \text{Some } v \rrbracket \implies$$

$$P, E \vdash \langle e \cdot F\{Cs\}, s_0 \rangle \Rightarrow \langle \text{Val } v, (h, l) \rangle$$
- | *FAccNull*:
 
$$P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{null}, s_1 \rangle \implies$$

$$P, E \vdash \langle e \cdot F\{Cs\}, s_0 \rangle \Rightarrow \langle \text{THROW NullPointer}, s_1 \rangle$$
- | *FAccThrow*:
 
$$P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle \implies$$

$$P, E \vdash \langle e \cdot F\{Cs\}, s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle$$
- | *FAss*:
 
$$\llbracket P, E \vdash \langle e_1, s_0 \rangle \Rightarrow \langle \text{ref } (a, Cs'), s_1 \rangle; P, E \vdash \langle e_2, s_1 \rangle \Rightarrow \langle \text{Val } v, (h_2, l_2) \rangle;$$

$$h_2 \ a = \text{Some}(D, S); P \vdash (\text{last } Cs') \text{ has least } F: T \text{ via } Cs; P \vdash T \text{ casts } v \text{ to } v';$$

$$Ds = Cs' @_p Cs; (Ds, fs) \in S; fs' = fs(F \mapsto v');$$

$$S' = S - \{(Ds, fs)\} \cup \{(Ds, fs')\}; h_2' = h_2(a \mapsto (D, S')) \rrbracket \implies$$

$$P, E \vdash \langle e_1 \cdot F\{Cs\} := e_2, s_0 \rangle \Rightarrow \langle \text{Val } v', (h_2', l_2) \rangle$$
- | *FAssNull*:
 
$$\llbracket P, E \vdash \langle e_1, s_0 \rangle \Rightarrow \langle \text{null}, s_1 \rangle; P, E \vdash \langle e_2, s_1 \rangle \Rightarrow \langle \text{Val } v, s_2 \rangle \rrbracket \implies$$

$$P, E \vdash \langle e_1 \cdot F\{Cs\} := e_2, s_0 \rangle \Rightarrow \langle \text{THROW NullPointer}, s_2 \rangle$$
- | *FAssThrow1*:
 
$$P, E \vdash \langle e_1, s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle \implies$$

$$P, E \vdash \langle e_1 \cdot F\{Cs\} := e_2, s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle$$

| *FAssThrow2*:

$$\begin{aligned} & \llbracket P, E \vdash \langle e_1, s_0 \rangle \Rightarrow \langle \text{Val } v, s_1 \rangle; P, E \vdash \langle e_2, s_1 \rangle \Rightarrow \langle \text{throw } e', s_2 \rangle \rrbracket \\ & \implies P, E \vdash \langle e_1 \cdot F\{Cs\} := e_2, s_0 \rangle \Rightarrow \langle \text{throw } e', s_2 \rangle \end{aligned}$$

| *CallObjThrow*:

$$\begin{aligned} & P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle \implies \\ & P, E \vdash \langle \text{Call } e \text{ Copt } M \text{ es}, s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle \end{aligned}$$

| *CallParamsThrow*:

$$\begin{aligned} & \llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{Val } v, s_1 \rangle; P, E \vdash \langle es, s_1 \rangle [\Rightarrow] \langle \text{map Val vs @ throw ex \# es'}, s_2 \rangle \rrbracket \\ & \implies P, E \vdash \langle \text{Call } e \text{ Copt } M \text{ es}, s_0 \rangle \Rightarrow \langle \text{throw ex}, s_2 \rangle \end{aligned}$$

| *Call*:

$$\begin{aligned} & \llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{ref } (a, Cs), s_1 \rangle; P, E \vdash \langle ps, s_1 \rangle [\Rightarrow] \langle \text{map Val vs}, (h_2, l_2) \rangle; \\ & h_2 \text{ a = Some}(C, S); P \vdash \text{last Cs has least } M = (Ts', T', pns', \text{body}') \text{ via Ds}; \\ & P \vdash (C, Cs @_p Ds) \text{ selects } M = (Ts, T, pns, \text{body}) \text{ via Cs'}; \text{length vs = length pns}; \\ & P \vdash Ts \text{ Casts vs to vs'}; l_2' = [\text{this} \rightarrow \text{Ref } (a, Cs'), pns[\rightarrow] vs']; \\ & \text{new-body} = (\text{case } T' \text{ of Class } D \Rightarrow (D) \text{ body} \mid - \Rightarrow \text{body}); \\ & P, E(\text{this} \rightarrow \text{Class}(\text{last Cs}'), pns[\rightarrow] Ts) \vdash \langle \text{new-body}, (h_2, l_2') \rangle \Rightarrow \langle e', (h_3, l_3) \rangle \rrbracket \\ & \implies P, E \vdash \langle e \cdot M(ps), s_0 \rangle \Rightarrow \langle e', (h_3, l_2) \rangle \end{aligned}$$

| *StaticCall*:

$$\begin{aligned} & \llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{ref } (a, Cs), s_1 \rangle; P, E \vdash \langle ps, s_1 \rangle [\Rightarrow] \langle \text{map Val vs}, (h_2, l_2) \rangle; \\ & P \vdash \text{Path (last Cs) to C unique}; P \vdash \text{Path (last Cs) to C via Cs''}; \\ & P \vdash C \text{ has least } M = (Ts, T, pns, \text{body}) \text{ via Cs'}; Ds = (Cs @_p Cs '') @_p Cs'; \\ & \text{length vs = length pns}; P \vdash Ts \text{ Casts vs to vs'}; \\ & l_2' = [\text{this} \rightarrow \text{Ref } (a, Ds), pns[\rightarrow] vs']; \\ & P, E(\text{this} \rightarrow \text{Class}(\text{last Ds}), pns[\rightarrow] Ts) \vdash \langle \text{body}, (h_2, l_2') \rangle \Rightarrow \langle e', (h_3, l_3) \rangle \rrbracket \\ & \implies P, E \vdash \langle e \cdot (C::) M(ps), s_0 \rangle \Rightarrow \langle e', (h_3, l_2) \rangle \end{aligned}$$

| *CallNull*:

$$\begin{aligned} & \llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{null}, s_1 \rangle; P, E \vdash \langle es, s_1 \rangle [\Rightarrow] \langle \text{map Val vs}, s_2 \rangle \rrbracket \\ & \implies P, E \vdash \langle \text{Call } e \text{ Copt } M \text{ es}, s_0 \rangle \Rightarrow \langle \text{THROW NullPointer}, s_2 \rangle \end{aligned}$$

| *Block*:

$$\begin{aligned} & \llbracket P, E(V \mapsto T) \vdash \langle e_0, (h_0, l_0(V:=None)) \rangle \Rightarrow \langle e_1, (h_1, l_1) \rangle \rrbracket \implies \\ & P, E \vdash \langle \{V:T; e_0\}, (h_0, l_0) \rangle \Rightarrow \langle e_1, (h_1, l_1(V:=l_0 \ V)) \rangle \end{aligned}$$

| *Seq*:

$$\begin{aligned} & \llbracket P, E \vdash \langle e_0, s_0 \rangle \Rightarrow \langle \text{Val } v, s_1 \rangle; P, E \vdash \langle e_1, s_1 \rangle \Rightarrow \langle e_2, s_2 \rangle \rrbracket \\ & \implies P, E \vdash \langle e_0;; e_1, s_0 \rangle \Rightarrow \langle e_2, s_2 \rangle \end{aligned}$$

| *SeqThrow*:

$$\begin{aligned} & P, E \vdash \langle e_0, s_0 \rangle \Rightarrow \langle \text{throw } e, s_1 \rangle \implies \\ & P, E \vdash \langle e_0;; e_1, s_0 \rangle \Rightarrow \langle \text{throw } e, s_1 \rangle \end{aligned}$$

- | *CondT*:
 
$$\begin{aligned} & \llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{true}, s_1 \rangle; P, E \vdash \langle e_1, s_1 \rangle \Rightarrow \langle e', s_2 \rangle \rrbracket \\ & \implies P, E \vdash \langle \text{if } (e) e_1 \text{ else } e_2, s_0 \rangle \Rightarrow \langle e', s_2 \rangle \end{aligned}$$
- | *CondF*:
 
$$\begin{aligned} & \llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{false}, s_1 \rangle; P, E \vdash \langle e_2, s_1 \rangle \Rightarrow \langle e', s_2 \rangle \rrbracket \\ & \implies P, E \vdash \langle \text{if } (e) e_1 \text{ else } e_2, s_0 \rangle \Rightarrow \langle e', s_2 \rangle \end{aligned}$$
- | *CondThrow*:
 
$$\begin{aligned} & P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle \implies \\ & P, E \vdash \langle \text{if } (e) e_1 \text{ else } e_2, s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle \end{aligned}$$
- | *WhileF*:
 
$$\begin{aligned} & P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{false}, s_1 \rangle \implies \\ & P, E \vdash \langle \text{while } (e) c, s_0 \rangle \Rightarrow \langle \text{unit}, s_1 \rangle \end{aligned}$$
- | *WhileT*:
 
$$\begin{aligned} & \llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{true}, s_1 \rangle; P, E \vdash \langle c, s_1 \rangle \Rightarrow \langle \text{Val } v_1, s_2 \rangle; \\ & \quad P, E \vdash \langle \text{while } (e) c, s_2 \rangle \Rightarrow \langle e_3, s_3 \rangle \rrbracket \\ & \implies P, E \vdash \langle \text{while } (e) c, s_0 \rangle \Rightarrow \langle e_3, s_3 \rangle \end{aligned}$$
- | *WhileCondThrow*:
 
$$\begin{aligned} & P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle \implies \\ & P, E \vdash \langle \text{while } (e) c, s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle \end{aligned}$$
- | *WhileBodyThrow*:
 
$$\begin{aligned} & \llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{true}, s_1 \rangle; P, E \vdash \langle c, s_1 \rangle \Rightarrow \langle \text{throw } e', s_2 \rangle \rrbracket \\ & \implies P, E \vdash \langle \text{while } (e) c, s_0 \rangle \Rightarrow \langle \text{throw } e', s_2 \rangle \end{aligned}$$
- | *Throw*:
 
$$\begin{aligned} & P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{ref } r, s_1 \rangle \implies \\ & P, E \vdash \langle \text{throw } e, s_0 \rangle \Rightarrow \langle \text{Throw } r, s_1 \rangle \end{aligned}$$
- | *ThrowNull*:
 
$$\begin{aligned} & P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{null}, s_1 \rangle \implies \\ & P, E \vdash \langle \text{throw } e, s_0 \rangle \Rightarrow \langle \text{THROW NullPointer}, s_1 \rangle \end{aligned}$$
- | *ThrowThrow*:
 
$$\begin{aligned} & P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle \implies \\ & P, E \vdash \langle \text{throw } e, s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle \end{aligned}$$
- | *Nil*:
 
$$P, E \vdash \langle [], s \rangle \Rightarrow \langle [], s \rangle$$
- | *Cons*:
 
$$\begin{aligned} & \llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{Val } v, s_1 \rangle; P, E \vdash \langle es, s_1 \rangle \Rightarrow \langle es', s_2 \rangle \rrbracket \\ & \implies P, E \vdash \langle e \# es, s_0 \rangle \Rightarrow \langle \text{Val } v \# es', s_2 \rangle \end{aligned}$$
- | *ConsThrow*:

$$\begin{aligned} P, E \vdash \langle e, s_0 \rangle \Rightarrow \langle \text{throw } e', s_1 \rangle &\implies \\ P, E \vdash \langle e \# es, s_0 \rangle &[\Rightarrow] \langle \text{throw } e' \# es, s_1 \rangle \end{aligned}$$

**lemmas eval-evals-induct = eval-evals.induct [split-format (complete)]  
and eval-evals-inducts = eval-evals.inducts [split-format (complete)]**

**inductive-cases eval-cases [cases set]:**

$$\begin{aligned} P, E \vdash \langle \text{new } C, s \rangle &\Rightarrow \langle e', s' \rangle \\ P, E \vdash \langle \text{Cast } C e, s \rangle &\Rightarrow \langle e', s' \rangle \\ P, E \vdash \langle (\|C\|)e, s \rangle &\Rightarrow \langle e', s' \rangle \\ P, E \vdash \langle \text{Val } v, s \rangle &\Rightarrow \langle e', s' \rangle \\ P, E \vdash \langle e_1 \llbracket \text{bop} \rrbracket e_2, s \rangle &\Rightarrow \langle e', s' \rangle \\ P, E \vdash \langle \text{Var } V, s \rangle &\Rightarrow \langle e', s' \rangle \\ P, E \vdash \langle V := e, s \rangle &\Rightarrow \langle e', s' \rangle \\ P, E \vdash \langle e \cdot F\{Cs\}, s \rangle &\Rightarrow \langle e', s' \rangle \\ P, E \vdash \langle e_1 \cdot F\{Cs\} := e_2, s \rangle &\Rightarrow \langle e', s' \rangle \\ P, E \vdash \langle e \cdot M(es), s \rangle &\Rightarrow \langle e', s' \rangle \\ P, E \vdash \langle e \cdot (C::)M(es), s \rangle &\Rightarrow \langle e', s' \rangle \\ P, E \vdash \langle \{V:T;e_1\}, s \rangle &\Rightarrow \langle e', s' \rangle \\ P, E \vdash \langle e_1;;e_2, s \rangle &\Rightarrow \langle e', s' \rangle \\ P, E \vdash \langle \text{if } (e) e_1 \text{ else } e_2, s \rangle &\Rightarrow \langle e', s' \rangle \\ P, E \vdash \langle \text{while } (b) c, s \rangle &\Rightarrow \langle e', s' \rangle \\ P, E \vdash \langle \text{throw } e, s \rangle &\Rightarrow \langle e', s' \rangle \end{aligned}$$

**inductive-cases evals-cases [cases set]:**

$$\begin{aligned} P, E \vdash \langle [], s \rangle &[\Rightarrow] \langle e', s' \rangle \\ P, E \vdash \langle e \# es, s \rangle &[\Rightarrow] \langle e', s' \rangle \end{aligned}$$

## 12.2 Final expressions

**definition final :: expr  $\Rightarrow$  bool where**  
 $\text{final } e \equiv (\exists v. e = \text{Val } v) \vee (\exists r. e = \text{Throw } r)$

**definition finals:: expr list  $\Rightarrow$  bool where**  
 $\text{finals } es \equiv (\exists vs. es = \text{map Val } vs) \vee (\exists vs r es'. es = \text{map Val } vs @ \text{Throw } r \# es')$

**lemma [simp]:**  $\text{final}(\text{Val } v)$   
 $\langle \text{proof} \rangle$

**lemma [simp]:**  $\text{final}(\text{throw } e) = (\exists r. e = \text{ref } r)$   
 $\langle \text{proof} \rangle$

**lemma finalE:**  $\llbracket \text{final } e; \wedge v. e = \text{Val } v \Rightarrow Q; \wedge r. e = \text{Throw } r \Rightarrow Q \rrbracket \Rightarrow Q$   
 $\langle \text{proof} \rangle$

**lemma [iff]:**  $\text{finals } []$   
 $\langle \text{proof} \rangle$

**lemma** [iff]:  $\text{finals}(\text{Val } v \# es) = \text{finals}(es)$

$\langle proof \rangle$

**lemma**  $\text{finals-app-map}$ [iff]:  $\text{finals}(\text{map Val } vs @ es) = \text{finals}(es)$   
 $\langle proof \rangle$

**lemma** [iff]:  $\text{finals}(\text{map Val } vs)$

$\langle proof \rangle$

**lemma** [iff]:  $\text{finals}(\text{throw } e \# es) = (\exists r. e = \text{ref } r)$

$\langle proof \rangle$

**lemma**  $\text{not-finals-ConsI}$ :  $\neg \text{final } e \implies \neg \text{finals}(e \# es)$

$\langle proof \rangle$

**lemma**  $\text{eval-final}$ :  $P, E \vdash \langle e, s \rangle \Rightarrow \langle e', s' \rangle \implies \text{final } e'$   
**and**  $\text{evals-final}$ :  $P, E \vdash \langle es, s \rangle \Rightarrow \langle es', s' \rangle \implies \text{finals } es'$   
 $\langle proof \rangle$

**lemma**  $\text{eval-lcl-incr}$ :  $P, E \vdash \langle e, (h_0, l_0) \rangle \Rightarrow \langle e', (h_1, l_1) \rangle \implies \text{dom } l_0 \subseteq \text{dom } l_1$   
**and**  $\text{evals-lcl-incr}$ :  $P, E \vdash \langle es, (h_0, l_0) \rangle \Rightarrow \langle es', (h_1, l_1) \rangle \implies \text{dom } l_0 \subseteq \text{dom } l_1$   
 $\langle proof \rangle$

Only used later, in the small to big translation, but is already a good sanity check:

**lemma**  $\text{eval-finalId}$ :  $\text{final } e \implies P, E \vdash \langle e, s \rangle \Rightarrow \langle e, s \rangle$   
 $\langle proof \rangle$

**lemma**  $\text{eval-finalsId}$ :

**assumes**  $\text{finals } es$  **shows**  $P, E \vdash \langle es, s \rangle \Rightarrow \langle es, s \rangle$

$\langle proof \rangle$

**lemma**

$\text{eval-preserves-obj}$ :  $P, E \vdash \langle e, (h, l) \rangle \Rightarrow \langle e', (h', l') \rangle \implies (\bigwedge S. h a = \text{Some}(D, S) \implies \exists S'. h' a = \text{Some}(D, S'))$   
**and**  $\text{evals-preserves-obj}$ :  $P, E \vdash \langle es, (h, l) \rangle \Rightarrow \langle es', (h', l') \rangle \implies (\bigwedge S. h a = \text{Some}(D, S) \implies \exists S'. h' a = \text{Some}(D, S'))$   
 $\langle proof \rangle$

end

## 13 Small Step Semantics

**theory** *SmallStep* **imports** *Syntax State* **begin**

### 13.1 Some pre-definitions

```
fun blocks :: vname list × ty list × val list × expr ⇒ expr
where
  blocks-Cons:blocks( V# Vs, T# Ts, v#vs, e) = { V:T := Val v; blocks( Vs,Ts,vs,e) }
  | blocks-Nil: blocks([],[],[],e) = e
```

**lemma** *blocks-old-induct*:

```
fixes P :: vname list ⇒ ty list ⇒ val list ⇒ expr ⇒ bool
shows
  [ ] aj ak al. P [] [] (aj # ak) al; ∧ ad ae a b. P [] (ad # ae) a b;
  ∧ V Vs a b. P (V # Vs) [] a b; ∧ V Vs T Ts aw. P (V # Vs) (T # Ts) [] aw;
  ∧ V Vs T Ts v vs e. P Vs Ts vs e ⇒ P (V # Vs) (T # Ts) (v # vs) e; ∧ e. P
  [] [] [] e []
  ⇒ P u v w x
  ⟨proof⟩
```

**lemma** [*simp*]:

```
[ ] size vs = size Vs; size Ts = size Vs ] ⇒ fv(blocks( Vs,Ts,vs,e)) = fv e - set Vs
```

⟨proof⟩

```
definition assigned :: vname ⇒ expr ⇒ bool where
  assigned V e ≡ ∃ v e'. e = (V:= Val v;; e')
```

### 13.2 The rules

**inductive-set**

```
red :: prog ⇒ (env × (expr × state) × (expr × state)) set
and reds :: prog ⇒ (env × (expr list × state) × (expr list × state)) set
and red' :: prog ⇒ env ⇒ expr ⇒ state ⇒ expr ⇒ state ⇒ bool
  ((-, - ⊢ ((1 -, /-) →/ (1 -, /-))) [51,0,0,0,0] 81)
and reds' :: prog ⇒ env ⇒ expr list ⇒ state ⇒ expr list ⇒ state ⇒ bool
  ((-, - ⊢ ((1 -, /-) [→]/ (1 -, /-))) [51,0,0,0,0] 81)
for P :: prog
where
```

```
P,E ⊢ ⟨e,s⟩ → ⟨e',s'⟩ ≡ (E,(e,s), e',s') ∈ red P
| P,E ⊢ ⟨es,s⟩ [→] ⟨es',s'⟩ ≡ (E,(es,s), es',s') ∈ reds P
```

- | *RedNew:*  
 $\llbracket \text{new-Addr } h = \text{Some } a; h' = h(a \rightarrow (C, \text{Collect } (\text{init-obj } P C))) \rrbracket$   
 $\implies P, E \vdash \langle \text{new } C, (h, l) \rangle \rightarrow \langle \text{ref } (a, [C]), (h', l) \rangle$
- | *RedNewFail:*  
 $\text{new-Addr } h = \text{None} \implies$   
 $P, E \vdash \langle \text{new } C, (h, l) \rangle \rightarrow \langle \text{THROW OutOfMemory}, (h, l) \rangle$
- | *StaticCastRed:*  
 $P, E \vdash \langle e, s \rangle \rightarrow \langle e', s' \rangle \implies$   
 $P, E \vdash \langle (\text{C}\backslash)e, s \rangle \rightarrow \langle (\text{C}\backslash)e', s' \rangle$
- | *RedStaticCastNull:*  
 $P, E \vdash \langle (\text{C}\backslash)\text{null}, s \rangle \rightarrow \langle \text{null}, s \rangle$
- | *RedStaticUpCast:*  
 $\llbracket P \vdash \text{Path last } Cs \text{ to } C \text{ via } Cs'; Ds = Cs @_p Cs' \rrbracket$   
 $\implies P, E \vdash \langle (\text{C}\backslash)(\text{ref } (a, Cs)), s \rangle \rightarrow \langle \text{ref } (a, Ds), s \rangle$
- | *RedStaticDownCast:*  
 $P, E \vdash \langle (\text{C}\backslash)(\text{ref } (a, Cs @ [C] @ Cs')), s \rangle \rightarrow \langle \text{ref } (a, Cs @ [C]), s \rangle$
- | *RedStaticCastFail:*  
 $\llbracket C \notin \text{set } Cs; \neg P \vdash (\text{last } Cs) \preceq^* C \rrbracket$   
 $\implies P, E \vdash \langle (\text{C}\backslash)(\text{ref } (a, Cs)), s \rangle \rightarrow \langle \text{THROW ClassCast}, s \rangle$
- | *DynCastRed:*  
 $P, E \vdash \langle e, s \rangle \rightarrow \langle e', s' \rangle \implies$   
 $P, E \vdash \langle \text{Cast } C e, s \rangle \rightarrow \langle \text{Cast } C e', s' \rangle$
- | *RedDynCastNull:*  
 $P, E \vdash \langle \text{Cast } C \text{ null}, s \rangle \rightarrow \langle \text{null}, s \rangle$
- | *RedStaticUpDynCast:*  
 $\llbracket P \vdash \text{Path last } Cs \text{ to } C \text{ unique}; P \vdash \text{Path last } Cs \text{ to } C \text{ via } Cs'; Ds = Cs @_p Cs' \rrbracket$   
 $\implies P, E \vdash \langle \text{Cast } C(\text{ref } (a, Cs)), s \rangle \rightarrow \langle \text{ref } (a, Ds), s \rangle$
- | *RedStaticDownDynCast:*  
 $P, E \vdash \langle \text{Cast } C (\text{ref } (a, Cs @ [C] @ Cs')), s \rangle \rightarrow \langle \text{ref } (a, Cs @ [C]), s \rangle$
- | *RedDynCast:*  
 $\llbracket hp s a = \text{Some}(D, S); P \vdash \text{Path } D \text{ to } C \text{ via } Cs';$   
 $P \vdash \text{Path } D \text{ to } C \text{ unique} \rrbracket$   
 $\implies P, E \vdash \langle \text{Cast } C (\text{ref } (a, Cs)), s \rangle \rightarrow \langle \text{ref } (a, Cs'), s \rangle$
- | *RedDynCastFail:*  
 $\llbracket hp s a = \text{Some}(D, S); \neg P \vdash \text{Path } D \text{ to } C \text{ unique};$   
 $\neg P \vdash \text{Path last } Cs \text{ to } C \text{ unique}; C \notin \text{set } Cs \rrbracket$   
 $\implies P, E \vdash \langle \text{Cast } C (\text{ref } (a, Cs)), s \rangle \rightarrow \langle \text{null}, s \rangle$

- | *BinOpRed1*:  
 $P, E \vdash \langle e, s \rangle \rightarrow \langle e', s' \rangle \implies P, E \vdash \langle e \text{ ``bop'' } e_2, s \rangle \rightarrow \langle e' \text{ ``bop'' } e_2, s' \rangle$
- | *BinOpRed2*:  
 $P, E \vdash \langle e, s \rangle \rightarrow \langle e', s' \rangle \implies P, E \vdash \langle (\text{Val } v_1) \text{ ``bop'' } e, s \rangle \rightarrow \langle (\text{Val } v_1) \text{ ``bop'' } e', s' \rangle$
- | *RedBinOp*:  
 $\text{binop}(bop, v_1, v_2) = \text{Some } v \implies P, E \vdash \langle (\text{Val } v_1) \text{ ``bop'' } (\text{Val } v_2), s \rangle \rightarrow \langle \text{Val } v, s \rangle$
- | *RedVar*:  
 $\text{lcl } s \text{ } V = \text{Some } v \implies P, E \vdash \langle \text{Var } V, s \rangle \rightarrow \langle \text{Val } v, s \rangle$
- | *LAssRed*:  
 $P, E \vdash \langle e, s \rangle \rightarrow \langle e', s' \rangle \implies P, E \vdash \langle V := e, s \rangle \rightarrow \langle V := e', s' \rangle$
- | *RedLAss*:  
 $\llbracket E \text{ } V = \text{Some } T; P \vdash T \text{ casts } v \text{ to } v' \rrbracket \implies P, E \vdash \langle V := (\text{Val } v), (h, l) \rangle \rightarrow \langle \text{Val } v', (h, l(V \mapsto v')) \rangle$
- | *FAccRed*:  
 $P, E \vdash \langle e, s \rangle \rightarrow \langle e', s' \rangle \implies P, E \vdash \langle e \cdot F\{Cs\}, s \rangle \rightarrow \langle e' \cdot F\{Cs\}, s' \rangle$
- | *RedFAcc*:  
 $\llbracket hp \text{ } s \text{ } a = \text{Some}(D, S); Ds = Cs' @_p Cs; (Ds, fs) \in S; fs \text{ } F = \text{Some } v \rrbracket \implies P, E \vdash \langle (\text{ref } (a, Cs')) \cdot F\{Cs\}, s \rangle \rightarrow \langle \text{Val } v, s \rangle$
- | *RedFAccNull*:  
 $P, E \vdash \langle \text{null} \cdot F\{Cs\}, s \rangle \rightarrow \langle \text{THROW NullPointer}, s \rangle$
- | *FAssRed1*:  
 $P, E \vdash \langle e, s \rangle \rightarrow \langle e', s' \rangle \implies P, E \vdash \langle e \cdot F\{Cs\} := e_2, s \rangle \rightarrow \langle e' \cdot F\{Cs\} := e_2, s' \rangle$
- | *FAssRed2*:  
 $P, E \vdash \langle e, s \rangle \rightarrow \langle e', s' \rangle \implies P, E \vdash \langle \text{Val } v \cdot F\{Cs\} := e, s \rangle \rightarrow \langle \text{Val } v \cdot F\{Cs\} := e', s' \rangle$
- | *RedFAss*:  
 $\llbracket h \text{ } a = \text{Some}(D, S); P \vdash (\text{last } Cs') \text{ has least } F : T \text{ via } Cs; P \vdash T \text{ casts } v \text{ to } v'; Ds = Cs' @_p Cs; (Ds, fs) \in S \rrbracket \implies P, E \vdash \langle (\text{ref } (a, Cs')) \cdot F\{Cs\} := (\text{Val } v), (h, l) \rangle \rightarrow \langle \text{Val } v', (h(a \mapsto (D, \text{insert } (Ds, fs(F \mapsto v'))(S - \{(Ds, fs)\}))), l) \rangle$

- | *RedFAssNull*:  
 $P, E \vdash \langle \text{null} \cdot F\{Cs\} := \text{Val } v, s \rangle \rightarrow \langle \text{THROW NullPointer}, s \rangle$
- | *CallObj*:  
 $P, E \vdash \langle e, s \rangle \rightarrow \langle e', s' \rangle \implies P, E \vdash \langle \text{Call } e \text{ Copt } M \text{ es}, s \rangle \rightarrow \langle \text{Call } e' \text{ Copt } M \text{ es}, s' \rangle$
- | *CallParams*:  
 $P, E \vdash \langle es, s \rangle \xrightarrow{[\rightarrow]} \langle es', s' \rangle \implies P, E \vdash \langle \text{Call } (\text{Val } v) \text{ Copt } M \text{ es}, s \rangle \rightarrow \langle \text{Call } (\text{Val } v) \text{ Copt } M \text{ es}', s' \rangle$
- | *RedCall*:  
 $\llbracket \begin{aligned} &hp \ s \ a = \text{Some}(C, S); P \vdash \text{last } Cs \text{ has least } M = (Ts', T', pns', \text{body}') \text{ via } Ds; \\ &P \vdash (C, Cs @_p Ds) \text{ selects } M = (Ts, T, pns, \text{body}) \text{ via } Cs'; \\ &\text{size } vs = \text{size } pns; \text{size } Ts = \text{size } pns; \\ &bs = \text{blocks}(\text{this}\#pns, \text{Class}(last \ Cs') \# Ts, \text{Ref}(a, Cs') \# vs, \text{body}); \\ &\text{new-body} = (\text{case } T' \text{ of Class } D \Rightarrow (\|D\|bs \mid - \Rightarrow bs)) \end{aligned} \rrbracket \implies P, E \vdash \langle (\text{ref } (a, Cs)) \cdot M(\text{map Val } vs), s \rangle \rightarrow \langle \text{new-body}, s \rangle$
- | *RedStaticCall*:  
 $\llbracket \begin{aligned} &P \vdash \text{Path (last } Cs \text{) to } C \text{ unique}; P \vdash \text{Path (last } Cs \text{) to } C \text{ via } Cs''; \\ &P \vdash C \text{ has least } M = (Ts, T, pns, \text{body}) \text{ via } Cs'; Ds = (Cs @_p Cs'') @_p Cs'; \\ &\text{size } vs = \text{size } pns; \text{size } Ts = \text{size } pns \end{aligned} \rrbracket \implies P, E \vdash \langle (\text{ref } (a, Cs)) \cdot (C:) M(\text{map Val } vs), s \rangle \rightarrow \langle \text{blocks}(\text{this}\#pns, \text{Class}(last } Ds \text{) \# Ts, \text{Ref}(a, Ds) \# vs, \text{body}), s \rangle$
- | *RedCallNull*:  
 $P, E \vdash \langle \text{Call null Copt } M \text{ (map Val } vs), s \rangle \rightarrow \langle \text{THROW NullPointer}, s \rangle$
- | *BlockRedNone*:  
 $\llbracket \begin{aligned} &P, E(V \mapsto T) \vdash \langle e, (h, l(V := \text{None})) \rangle \rightarrow \langle e', (h', l') \rangle; l' \ V = \text{None}; \neg \text{assigned } V \ e \end{aligned} \rrbracket \implies P, E \vdash \langle \{V:T; e\}, (h, l) \rangle \rightarrow \langle \{V:T; e'\}, (h', l'(V := l \ V)) \rangle$
- | *BlockRedSome*:  
 $\llbracket \begin{aligned} &P, E(V \mapsto T) \vdash \langle e, (h, l(V := \text{None})) \rangle \rightarrow \langle e', (h', l') \rangle; l' \ V = \text{Some } v; \\ &\neg \text{assigned } V \ e \end{aligned} \rrbracket \implies P, E \vdash \langle \{V:T; e\}, (h, l) \rangle \rightarrow \langle \{V:T := \text{Val } v; e\}, (h', l'(V := l \ V)) \rangle$
- | *InitBlockRed*:  
 $\llbracket \begin{aligned} &P, E(V \mapsto T) \vdash \langle e, (h, l(V \mapsto v')) \rangle \rightarrow \langle e', (h', l') \rangle; l' \ V = \text{Some } v''; \\ &P \vdash T \text{ casts } v \text{ to } v' \end{aligned} \rrbracket \implies P, E \vdash \langle \{V:T := \text{Val } v; e\}, (h, l) \rangle \rightarrow \langle \{V:T := \text{Val } v''; e\}, (h', l'(V := l \ V)) \rangle$
- | *RedBlock*:  
 $P, E \vdash \langle \{V:T; \text{Val } u\}, s \rangle \rightarrow \langle \text{Val } u, s \rangle$

- | *RedInitBlock*:  
 $P \vdash T \text{ casts } v \text{ to } v' \implies P, E \vdash \langle \{V:T := Val\ v; Val\ u\}, s \rangle \rightarrow \langle Val\ u, s \rangle$
  - | *SqRed*:  
 $P, E \vdash \langle e, s \rangle \rightarrow \langle e', s' \rangle \implies$   
 $P, E \vdash \langle e; e_2, s \rangle \rightarrow \langle e'; e_2, s' \rangle$
  - | *RedSeq*:  
 $P, E \vdash \langle (Val\ v);; e_2, s \rangle \rightarrow \langle e_2, s \rangle$
  - | *CondRed*:  
 $P, E \vdash \langle e, s \rangle \rightarrow \langle e', s' \rangle \implies$   
 $P, E \vdash \langle if\ (e) e_1 \text{ else } e_2, s \rangle \rightarrow \langle if\ (e') e_1 \text{ else } e_2, s' \rangle$
  - | *RedCondT*:  
 $P, E \vdash \langle if\ (true) e_1 \text{ else } e_2, s \rangle \rightarrow \langle e_1, s \rangle$
  - | *RedCondF*:  
 $P, E \vdash \langle if\ (false) e_1 \text{ else } e_2, s \rangle \rightarrow \langle e_2, s \rangle$
  - | *RedWhile*:  
 $P, E \vdash \langle \text{while}(b)\ c, s \rangle \rightarrow \langle \text{if}(b)\ (c;; \text{while}(b)\ c) \text{ else unit}, s \rangle$
  - | *ThrowRed*:  
 $P, E \vdash \langle e, s \rangle \rightarrow \langle e', s' \rangle \implies$   
 $P, E \vdash \langle \text{throw } e, s \rangle \rightarrow \langle \text{throw } e', s' \rangle$
  - | *RedThrowNull*:  
 $P, E \vdash \langle \text{throw null}, s \rangle \rightarrow \langle \text{THROW NullPointer}, s \rangle$
  - | *ListRed1*:  
 $P, E \vdash \langle e, s \rangle \rightarrow \langle e', s' \rangle \implies$   
 $P, E \vdash \langle e \# es, s \rangle [ \rightarrow ] \langle e' \# es, s' \rangle$
  - | *ListRed2*:  
 $P, E \vdash \langle es, s \rangle [ \rightarrow ] \langle es', s' \rangle \implies$   
 $P, E \vdash \langle Val\ v \ # es, s \rangle [ \rightarrow ] \langle Val\ v \ # es', s' \rangle$
- Exception propagation
- | *DynCastThrow*:  $P, E \vdash \langle \text{Cast } C\ (\text{Throw } r), s \rangle \rightarrow \langle \text{Throw } r, s \rangle$
  - | *StaticCastThrow*:  $P, E \vdash \langle (\| C \|)(\text{Throw } r), s \rangle \rightarrow \langle \text{Throw } r, s \rangle$
  - | *BinOpThrow1*:  $P, E \vdash \langle (\text{Throw } r) \llcorner \text{bop} \gg e_2, s \rangle \rightarrow \langle \text{Throw } r, s \rangle$
  - | *BinOpThrow2*:  $P, E \vdash \langle (\text{Val } v_1) \llcorner \text{bop} \gg (\text{Throw } r), s \rangle \rightarrow \langle \text{Throw } r, s \rangle$
  - | *LAssThrow*:  $P, E \vdash \langle V := (\text{Throw } r), s \rangle \rightarrow \langle \text{Throw } r, s \rangle$
  - | *FAccThrow*:  $P, E \vdash \langle (\text{Throw } r) \cdot F\{Cs\}, s \rangle \rightarrow \langle \text{Throw } r, s \rangle$
  - | *FAssThrow1*:  $P, E \vdash \langle (\text{Throw } r) \cdot F\{Cs\} := e_2, s \rangle \rightarrow \langle \text{Throw } r, s \rangle$
  - | *FAssThrow2*:  $P, E \vdash \langle \text{Val } v \cdot F\{Cs\} := (\text{Throw } r), s \rangle \rightarrow \langle \text{Throw } r, s \rangle$
  - | *CallThrowObj*:  $P, E \vdash \langle \text{Call } (\text{Throw } r) \text{ Copt } M\ es, s \rangle \rightarrow \langle \text{Throw } r, s \rangle$

```

| CallThrowParams:  $\llbracket es = \text{map } Val \text{ vs } @ \text{Throw } r \# es' \rrbracket$ 
 $\implies P, E \vdash \langle \text{Call } (\text{Val } v) \text{ Copt } M \text{ es}, s \rangle \rightarrow \langle \text{Throw } r, s \rangle$ 
| BlockThrow:  $P, E \vdash \langle \{V:T; \text{Throw } r\}, s \rangle \rightarrow \langle \text{Throw } r, s \rangle$ 
| InitBlockThrow:  $P \vdash T \text{ casts } v \text{ to } v'$ 
 $\implies P, E \vdash \langle \{V:T := \text{Val } v; \text{Throw } r\}, s \rangle \rightarrow \langle \text{Throw } r, s \rangle$ 
| SeqThrow:  $P, E \vdash \langle (\text{Throw } r);; e_2, s \rangle \rightarrow \langle \text{Throw } r, s \rangle$ 
| CondThrow:  $P, E \vdash \langle \text{if } (\text{Throw } r) e_1 \text{ else } e_2, s \rangle \rightarrow \langle \text{Throw } r, s \rangle$ 
| ThrowThrow:  $P, E \vdash \langle \text{throw}(\text{Throw } r), s \rangle \rightarrow \langle \text{Throw } r, s \rangle$ 

```

**lemmas** *red-reds-induct* = *red-reds.induct* [split-format (complete)]  
**and** *red-reds-inducts* = *red-reds.inducts* [split-format (complete)]

**inductive-cases** [*elim!*]:

$P, E \vdash \langle V := e, s \rangle \rightarrow \langle e', s' \rangle$   
 $P, E \vdash \langle e_1;; e_2, s \rangle \rightarrow \langle e', s' \rangle$

**declare** *Cons-eq-map-conv* [iff]

**lemma**  $P, E \vdash \langle e, s \rangle \rightarrow \langle e', s' \rangle \implies \text{True}$   
**and** *reds-length*:  $P, E \vdash \langle es, s \rangle \rightarrow \langle es', s' \rangle \implies \text{length } es = \text{length } es'$   
*(proof)*

### 13.3 The reflexive transitive closure

**definition** *Red* :: *prog*  $\Rightarrow$  *env*  $\Rightarrow$   $((\text{expr} \times \text{state}) \times (\text{expr} \times \text{state}))$  set  
**where**  $\text{Red } P E = \{((e, s), e', s'). P, E \vdash \langle e, s \rangle \rightarrow \langle e', s' \rangle\}$

**definition** *Reds* :: *prog*  $\Rightarrow$  *env*  $\Rightarrow$   $((\text{expr list} \times \text{state}) \times (\text{expr list} \times \text{state}))$  set  
**where**  $\text{Reds } P E = \{((es, s), es', s'). P, E \vdash \langle es, s \rangle \rightarrow \langle es', s' \rangle\}$

**lemma**[simp]:  $((e, s), e', s') \in \text{Red } P E = P, E \vdash \langle e, s \rangle \rightarrow \langle e', s' \rangle$   
*(proof)*

**lemma**[simp]:  $((es, s), es', s') \in \text{Reds } P E = P, E \vdash \langle es, s \rangle \rightarrow \langle es', s' \rangle$   
*(proof)*

#### abbreviation

*Step* :: *prog*  $\Rightarrow$  *env*  $\Rightarrow$  *expr*  $\Rightarrow$  *state*  $\Rightarrow$  *expr*  $\Rightarrow$  *state*  $\Rightarrow$  *bool*  
 $(\langle \cdot, \cdot \vdash ((1 \langle \cdot, / \cdot \rangle) \rightarrow \cdot) / (1 \langle \cdot, / \cdot \rangle)) \rightarrow [51, 0, 0, 0, 0] 81)$  **where**  
 $P, E \vdash \langle e, s \rangle \rightarrow \langle e', s' \rangle \equiv ((e, s), e', s') \in (\text{Red } P E)^*$

#### abbreviation

*Steps* :: *prog*  $\Rightarrow$  *env*  $\Rightarrow$  *expr list*  $\Rightarrow$  *state*  $\Rightarrow$  *expr list*  $\Rightarrow$  *state*  $\Rightarrow$  *bool*  
 $(\langle \cdot, \cdot \vdash ((1 \langle \cdot, / \cdot \rangle) \rightarrow \cdot) / (1 \langle \cdot, / \cdot \rangle)) \rightarrow [51, 0, 0, 0, 0] 81)$  **where**  
 $P, E \vdash \langle es, s \rangle \rightarrow \langle es', s' \rangle \equiv ((es, s), es', s') \in (\text{Reds } P E)^*$

**lemma** *converse-rtrancl-induct-red[consumes 1]*:  
**assumes**  $P, E \vdash \langle e, (h, l) \rangle \rightarrow^* \langle e', (h', l') \rangle$   
**and**  $\bigwedge e h l. R e h l \vdash e h l$   
**and**  $\bigwedge e_0 h_0 l_0 e_1 h_1 l_1 e' h' l'.$   
 $\llbracket P, E \vdash \langle e_0, (h_0, l_0) \rangle \rightarrow \langle e_1, (h_1, l_1) \rangle; R e_1 h_1 l_1 e' h' l' \rrbracket \implies R e_0 h_0 l_0 e' h' l'$   
**shows**  $R e h l e' h' l'$

$\langle proof \rangle$

**lemma** *steps-length*:  $P, E \vdash \langle es, s \rangle \rightarrow^* \langle es', s' \rangle \implies \text{length } es = \text{length } es'$   
 $\langle proof \rangle$

### 13.4 Some easy lemmas

**lemma** [iff]:  $\neg P, E \vdash \langle [], s \rangle \rightarrow \langle es', s' \rangle$   
 $\langle proof \rangle$

**lemma** [iff]:  $\neg P, E \vdash \langle \text{Val } v, s \rangle \rightarrow \langle e', s' \rangle$   
 $\langle proof \rangle$

**lemma** [iff]:  $\neg P, E \vdash \langle \text{Throw } r, s \rangle \rightarrow \langle e', s' \rangle$   
 $\langle proof \rangle$

**lemma** *red-lcl-incr*:  $P, E \vdash \langle e, (h_0, l_0) \rangle \rightarrow \langle e', (h_1, l_1) \rangle \implies \text{dom } l_0 \subseteq \text{dom } l_1$   
**and**  $P, E \vdash \langle es, (h_0, l_0) \rangle \rightarrow \langle es', (h_1, l_1) \rangle \implies \text{dom } l_0 \subseteq \text{dom } l_1$   
 $\langle proof \rangle$

**lemma** *red-lcl-add*:  $P, E \vdash \langle e, (h, l) \rangle \rightarrow \langle e', (h', l') \rangle \implies (\bigwedge l_0. P, E \vdash \langle e, (h, l_0 + + l) \rangle \rightarrow \langle e', (h', l_0 + + l') \rangle)$   
**and**  $P, E \vdash \langle es, (h, l) \rangle \rightarrow \langle es', (h', l') \rangle \implies (\bigwedge l_0. P, E \vdash \langle es, (h, l_0 + + l) \rangle \rightarrow \langle es', (h', l_0 + + l') \rangle)$

$\langle proof \rangle$

**lemma** *Red-lcl-add*:  
**assumes**  $P, E \vdash \langle e, (h, l) \rangle \rightarrow^* \langle e', (h', l') \rangle$  **shows**  $P, E \vdash \langle e, (h, l_0 + + l) \rangle \rightarrow^* \langle e', (h', l_0 + + l') \rangle$   
 $\langle proof \rangle$

**lemma**  
*red-preserves-obj*:  $\llbracket P, E \vdash \langle e, (h, l) \rangle \rightarrow \langle e', (h', l') \rangle; h a = \text{Some}(D, S) \rrbracket$

```

 $\implies \exists S'. h' a = Some(D,S')$ 
and reds-preserves-obj:  $\llbracket P, E \vdash \langle es, (h, l) \rangle \rightarrow \langle es', (h', l') \rangle; h a = Some(D, S) \rrbracket$ 
 $\implies \exists S'. h' a = Some(D, S')$ 
(proof)

```

**end**

## 14 System Classes

```
theory SystemClasses imports Exceptions begin
```

This theory provides definitions for the system exceptions.

```
definition NullPointerC :: cdecl where  

  NullPointerC ≡ (NullPointer, ([][], [], []))
```

```
definition ClassCastC :: cdecl where  

  ClassCastC ≡ (ClassCast, ([][], [], []))
```

```
definition OutOfMemoryC :: cdecl where  

  OutOfMemoryC ≡ (OutOfMemory, ([][], [], []))
```

```
definition SystemClasses :: cdecl list where  

  SystemClasses ≡ [NullPointerC, ClassCastC, OutOfMemoryC]
```

**end**

## 15 The subtype relation

```
theory TypeRel imports SubObj begin
```

**inductive**

widen :: prog ⇒ ty ⇒ ty ⇒ bool ( $\cdot \vdash \cdot \leq \cdot$  [71, 71, 71] 70)

**for** P :: prog

**where**

widen-refl[iff]:  $P \vdash T \leq T$

| widen-subcls:  $P \vdash \text{Path } C \text{ to } D \text{ unique} \implies P \vdash \text{Class } C \leq \text{Class } D$

| widen-null[iff]:  $P \vdash NT \leq \text{Class } C$

**abbreviation**

widens :: prog ⇒ ty list ⇒ ty list ⇒ bool

( $\cdot \vdash \cdot \leq \cdot$  [71, 71, 71] 70) **where**

widens P Ts Ts' ≡ list-all2 (widen P) Ts Ts'

**inductive-simps** [iff]:

$P \vdash T \leq \text{Void}$

$P \vdash T \leq \text{Boolean}$

$P \vdash T \leq \text{Integer}$

```

 $P \vdash \text{Void} \leq T$ 
 $P \vdash \text{Boolean} \leq T$ 
 $P \vdash \text{Integer} \leq T$ 
 $P \vdash T \leq NT$ 

lemmas widens-refl [iff] = list-all2-refl [of widen P, OF widen-refl] for P
lemmas widens-Cons [iff] = list-all2-Cons1 [of widen P] for P

end

```

## 16 Well-typedness of CoreC++ expressions

```
theory WellType imports Syntax TypeRel begin
```

### 16.1 The rules

**inductive**

```

WT :: [prog,env,expr ,ty ]  $\Rightarrow$  bool
      ( $\langle\langle$ , $\langle$  -  $\langle$  ::  $\rightarrow$  [51,51,51]50)
and WTs :: [prog,env,expr list,ty list]  $\Rightarrow$  bool
      ( $\langle\langle$ , $\langle$  - [:]  $\rightarrow$  [51,51,51]50)
for P :: prog
where

```

```

WTNew:
is-class P C  $\implies$ 
P,E  $\vdash$  new C :: Class C

```

```

| WTDynCast:
  [P,E  $\vdash$  e :: Class D; is-class P C;
   P  $\vdash$  Path D to C unique  $\vee$  ( $\forall$  Cs.  $\neg$  P  $\vdash$  Path D to C via Cs)]
   $\implies$  P,E  $\vdash$  Cast C e :: Class C

```

```

| WTStaticCast:
  [P,E  $\vdash$  e :: Class D; is-class P C;
   P  $\vdash$  Path D to C unique  $\vee$ 
   (P  $\vdash$  C  $\preceq^*$  D  $\wedge$  ( $\forall$  Cs. P  $\vdash$  Path C to D via Cs  $\longrightarrow$  SubobjsR P C Cs)) ]
   $\implies$  P,E  $\vdash$  (C)e :: Class C

```

```

| WTVals:
  typeof v = Some T  $\implies$ 
  P,E  $\vdash$  Val v :: T

```

```

| WTVars:
  E V = Some T  $\implies$ 
  P,E  $\vdash$  Var V :: T

```

```

| WTBinOp:
  [P,E  $\vdash$  e1 :: T1; P,E  $\vdash$  e2 :: T2;

```

$$\begin{aligned}
& \text{case } bop \text{ of } Eq \Rightarrow T_1 = T_2 \wedge T = \text{Boolean} \\
& \quad | \text{Add} \Rightarrow T_1 = \text{Integer} \wedge T_2 = \text{Integer} \wedge T = \text{Integer} ] \\
& \implies P, E \vdash e_1 \llbracket bop \rrbracket e_2 :: T
\end{aligned}$$

|  $WTЛАss$ :  
 $\llbracket E \ V = \text{Some } T; \ P, E \vdash e :: T'; \ P \vdash T' \leq T \rrbracket$   
 $\implies P, E \vdash V := e :: T$

|  $WTFAcc$ :  
 $\llbracket P, E \vdash e :: \text{Class } C; \ P \vdash C \text{ has least } F:T \text{ via } Cs \rrbracket$   
 $\implies P, E \vdash e \cdot F\{Cs\} :: T$

|  $WTFAss$ :  
 $\llbracket P, E \vdash e_1 :: \text{Class } C; \ P \vdash C \text{ has least } F:T \text{ via } Cs;$   
 $P, E \vdash e_2 :: T'; \ P \vdash T' \leq T \rrbracket$   
 $\implies P, E \vdash e_1 \cdot F\{Cs\} := e_2 :: T$

|  $WTStaticCall$ :  
 $\llbracket P, E \vdash e :: \text{Class } C'; \ P \vdash \text{Path } C' \text{ to } C \text{ unique};$   
 $P \vdash C \text{ has least } M = (Ts, T, m) \text{ via } Cs; \ P, E \vdash es [::] Ts'; \ P \vdash Ts' [\leq] Ts \rrbracket$   
 $\implies P, E \vdash e \cdot (C::)M(es) :: T$

|  $WTCall$ :  
 $\llbracket P, E \vdash e :: \text{Class } C; \ P \vdash C \text{ has least } M = (Ts, T, m) \text{ via } Cs;$   
 $P, E \vdash es [::] Ts'; \ P \vdash Ts' [\leq] Ts \rrbracket$   
 $\implies P, E \vdash e \cdot M(es) :: T$

|  $WTBlock$ :  
 $\llbracket \text{is-type } P \ T; \ P, E(V \mapsto T) \vdash e :: T' \rrbracket$   
 $\implies P, E \vdash \{V:T; e\} :: T'$

|  $WTSeq$ :  
 $\llbracket P, E \vdash e_1 :: T_1; \ P, E \vdash e_2 :: T_2 \rrbracket$   
 $\implies P, E \vdash e_1;; e_2 :: T_2$

|  $WTCond$ :  
 $\llbracket P, E \vdash e :: \text{Boolean}; \ P, E \vdash e_1 :: T; \ P, E \vdash e_2 :: T \rrbracket$   
 $\implies P, E \vdash \text{if } (e) \ e_1 \text{ else } e_2 :: T$

|  $WTWhile$ :  
 $\llbracket P, E \vdash e :: \text{Boolean}; \ P, E \vdash c :: T \rrbracket$   
 $\implies P, E \vdash \text{while } (e) \ c :: \text{Void}$

|  $WTThrow$ :  
 $P, E \vdash e :: \text{Class } C \implies$   
 $P, E \vdash \text{throw } e :: \text{Void}$

— well-typed expression lists

```

|  $WTNil:$   

 $P,E \vdash [] :: []$ 

|  $WTCons:$   

 $\llbracket P,E \vdash e :: T; P,E \vdash es :: Ts \rrbracket$   

 $\implies P,E \vdash e\#es :: T\#Ts$ 

declare  $WT\text{-}WTS.intros[intro!]$   $WTNil[iff]$ 

lemmas  $WT\text{-}WTS.induct = WT\text{-}WTS.induct$  [split-format (complete)]  

and  $WT\text{-}WTS.inducts = WT\text{-}WTS.inducts$  [split-format (complete)]

```

## 16.2 Easy consequences

**lemma** [iff]:  $(P,E \vdash [] :: Ts) = (Ts = [])$

$\langle proof \rangle$

**lemma** [iff]:  $(P,E \vdash e\#es :: T\#Ts) = (P,E \vdash e :: T \wedge P,E \vdash es :: Ts)$

$\langle proof \rangle$

**lemma** [iff]:  $(P,E \vdash (e\#es) :: Ts) =$   
 $(\exists U Us. Ts = U\#Us \wedge P,E \vdash e :: U \wedge P,E \vdash es :: Us)$

$\langle proof \rangle$

**lemma** [iff]:  $\bigwedge Ts. (P,E \vdash es_1 @ es_2 :: Ts) =$   
 $(\exists Ts_1 Ts_2. Ts = Ts_1 @ Ts_2 \wedge P,E \vdash es_1 :: Ts_1 \wedge P,E \vdash es_2 :: Ts_2)$

$\langle proof \rangle$

**lemma** [iff]:  $P,E \vdash Val v :: T = (\text{typeof } v = \text{Some } T)$

$\langle proof \rangle$

**lemma** [iff]:  $P,E \vdash Var V :: T = (E V = \text{Some } T)$

$\langle proof \rangle$

**lemma** [iff]:  $P,E \vdash e_1;;e_2 :: T_2 = (\exists T_1. P,E \vdash e_1::T_1 \wedge P,E \vdash e_2::T_2)$

$\langle proof \rangle$

**lemma** [iff]:  $(P,E \vdash \{ V:T; e \} :: T') = (\text{is-type } P \ T \wedge P,E(V \mapsto T) \vdash e :: T')$

$\langle proof \rangle$

**inductive-cases**  $WT\text{-elim-cases}[\text{elim!}]$ :

- $P,E \vdash \text{new } C :: T$
- $P,E \vdash \text{Cast } C e :: T$
- $P,E \vdash (C)e :: T$
- $P,E \vdash e_1 \llbracket \text{bop} \rrbracket e_2 :: T$
- $P,E \vdash V := e :: T$
- $P,E \vdash e \cdot F\{Cs\} :: T$
- $P,E \vdash e \cdot F\{Cs\} := v :: T$
- $P,E \vdash e \cdot M(ps) :: T$
- $P,E \vdash e \cdot (C::)M(ps) :: T$
- $P,E \vdash \text{if } (e) e_1 \text{ else } e_2 :: T$
- $P,E \vdash \text{while } (e) c :: T$
- $P,E \vdash \text{throw } e :: T$

**lemma**  $wt\text{-env-mono}$ :

- $P,E \vdash e :: T \implies (\bigwedge E'. E \subseteq_m E' \implies P,E' \vdash e :: T)$  **and**
- $P,E \vdash es :: Ts \implies (\bigwedge E'. E \subseteq_m E' \implies P,E' \vdash es :: Ts)$

$\langle proof \rangle$

**lemma**  $WT\text{-fv}$ :  $P,E \vdash e :: T \implies \text{fv } e \subseteq \text{dom } E$   
**and**  $P,E \vdash es :: Ts \implies \text{fvs } es \subseteq \text{dom } E$

$\langle proof \rangle$

**end**

## 17 Generic Well-formedness of programs

```
theory WellForm
imports SystemClasses TypeRel WellType
begin
```

This theory defines global well-formedness conditions for programs but does not look inside method bodies. Well-typing of expressions is defined else-

where (in theory *WellType*).

CoreC++ allows covariant return types

**type-synonym**  $wf\text{-}mdecl\text{-}test = prog \Rightarrow cname \Rightarrow mdecl \Rightarrow bool$

**definition**  $wf\text{-}fdecl :: prog \Rightarrow fdecl \Rightarrow bool$  **where**  
 $wf\text{-}fdecl P \equiv \lambda(F,T). is\text{-}type P T$

**definition**  $wf\text{-}mdecl :: wf\text{-}mdecl\text{-}test \Rightarrow wf\text{-}mdecl\text{-}test$  **where**  
 $wf\text{-}mdecl wf\text{-}md P C \equiv \lambda(M,Ts,T,mb).$   
 $(\forall T \in set Ts. is\text{-}type P T) \wedge is\text{-}type P T \wedge T \neq NT \wedge wf\text{-}md P C (M,Ts,T,mb)$

**definition**  $wf\text{-}cdecl :: wf\text{-}mdecl\text{-}test \Rightarrow prog \Rightarrow cdecl \Rightarrow bool$  **where**  
 $wf\text{-}cdecl wf\text{-}md P \equiv \lambda(C,(Bs,fs,ms)).$   
 $(\forall M mthd Cs. P \vdash C has M = mthd via Cs \longrightarrow$   
 $(\exists mthd' Cs'. P \vdash (C,Cs) has overrider M = mthd' via Cs')) \wedge$   
 $(\forall f \in set fs. wf\text{-}fdecl P f) \wedge distinct\text{-}fst fs \wedge$   
 $(\forall m \in set ms. wf\text{-}mdecl wf\text{-}md P C m) \wedge distinct\text{-}fst ms \wedge$   
 $(\forall D \in baseClasses Bs.$   
 $is\text{-}class P D \wedge \neg P \vdash D \preceq^* C \wedge$   
 $(\forall (M,Ts,T,m) \in set ms.$   
 $\forall Ts' T' m' Cs. P \vdash D has M = (Ts',T',m') via Cs \longrightarrow$   
 $Ts' = Ts \wedge P \vdash T \leq T')$

**definition**  $wf\text{-}syscls :: prog \Rightarrow bool$  **where**  
 $wf\text{-}syscls P \equiv sys\text{-}xcpts \subseteq set(map fst P)$

**definition**  $wf\text{-}prog :: wf\text{-}mdecl\text{-}test \Rightarrow prog \Rightarrow bool$  **where**  
 $wf\text{-}prog wf\text{-}md P \equiv wf\text{-}syscls P \wedge distinct\text{-}fst P \wedge$   
 $(\forall c \in set P. wf\text{-}cdecl wf\text{-}md P c)$

## 17.1 Well-formedness lemmas

**lemma**  $class\text{-}wf$ :

$\llbracket class P C = Some c; wf\text{-}prog wf\text{-}md P \rrbracket \implies wf\text{-}cdecl wf\text{-}md P (C,c)$

$\langle proof \rangle$

**lemma**  $is\text{-}class\text{-}xcpt$ :

$\llbracket C \in sys\text{-}xcpts; wf\text{-}prog wf\text{-}md P \rrbracket \implies is\text{-}class P C$

$\langle proof \rangle$

**lemma**  $is\text{-}type\text{-}pTs$ :

**assumes**  $wf\text{-}prog wf\text{-}md P$  **and**  $(C,S,fs,ms) \in set P$  **and**  $(M,Ts,T,m) \in set ms$   
**shows**  $set Ts \subseteq types P$

$\langle proof \rangle$

## 17.2 Well-formedness subclass lemmas

**lemma** *subcls1-wfD*:

$$[\![ P \vdash C \prec^1 D; wf\text{-}prog wf\text{-}md P ]!] \implies D \neq C \wedge (D, C) \notin (subcls1 P)^+$$

$\langle proof \rangle$

**lemma** *wf-cdecl-supD*:

$$[\![ wf\text{-}cdecl wf\text{-}md P (C, Bs, r); D \in baseClasses Bs ]!] \implies is\text{-}class P D$$

$\langle proof \rangle$

**lemma** *subcls-asym*:

$$[\![ wf\text{-}prog wf\text{-}md P; (C, D) \in (subcls1 P)^+ ]!] \implies (D, C) \notin (subcls1 P)^+$$

$\langle proof \rangle$

**lemma** *subcls-irrefl*:

$$[\![ wf\text{-}prog wf\text{-}md P; (C, D) \in (subcls1 P)^+ ]!] \implies C \neq D$$

$\langle proof \rangle$

**lemma** *subcls-asym2*:

$$[\![ (C, D) \in (subcls1 P)^*; wf\text{-}prog wf\text{-}md P; (D, C) \in (subcls1 P)^* ]!] \implies C = D$$

$\langle proof \rangle$

**lemma** *acyclic-subcls1*:

$$wf\text{-}prog wf\text{-}md P \implies acyclic (subcls1 P)$$

$\langle proof \rangle$

**lemma** *wf-subcls1*:

$$wf\text{-}prog wf\text{-}md P \implies wf ((subcls1 P)^{-1})$$

$\langle proof \rangle$

**lemma** *subcls-induct*:

$\llbracket \text{wf-prog wf-md } P; \bigwedge C. \forall D. (C,D) \in (\text{subcls1 } P)^+ \longrightarrow Q D \implies Q C \rrbracket \implies Q C$

(**is** ?A  $\implies$  PROP ?P  $\implies$  -)

*(proof)*

### 17.3 Well-formedness leq\_path lemmas

**lemma** *last-leq-path*:

**assumes**  $\text{leq}:P,C \vdash Cs \sqsubset^1 Ds$  **and**  $\text{wf:wf-prog wf-md } P$   
**shows**  $P \vdash \text{last } Cs \prec^1 \text{last } Ds$

*(proof)*

**lemma** *last-leq-paths*:

**assumes**  $\text{leq}:(Cs,Ds) \in (\text{leq-path1 } P C)^+$  **and**  $\text{wf:wf-prog wf-md } P$   
**shows**  $(\text{last } Cs, \text{last } Ds) \in (\text{subcls1 } P)^+$

*(proof)*

**lemma** *leq-path1-wfD*:

$\llbracket P,C \vdash Cs \sqsubset^1 Cs'; \text{wf-prog wf-md } P \rrbracket \implies Cs \neq Cs' \wedge (Cs',Cs) \notin (\text{leq-path1 } P C)^+$

*(proof)*

**lemma** *leq-path-asym*:

$\llbracket (Cs,Cs') \in (\text{leq-path1 } P C)^+; \text{wf-prog wf-md } P \rrbracket \implies (Cs',Cs) \notin (\text{leq-path1 } P C)^+$

*(proof)*

**lemma** *leq-path-asym2*:  $\llbracket P,C \vdash Cs \sqsubseteq Cs'; P,C \vdash Cs' \sqsubseteq Cs; \text{wf-prog wf-md } P \rrbracket \implies Cs = Cs'$

*(proof)*

**lemma** *leq-path-Subobjs*:  
 $\llbracket P, C \vdash [C] \sqsubseteq Cs; \text{is-class } P C; \text{wf-prog wf-md } P \rrbracket \implies \text{Subobjs } P C Cs$   
 $\langle proof \rangle$

#### 17.4 Lemmas concerning Subobjs

**lemma** *Subobj-last-isClass*:  
 $\llbracket \text{Subobjs } P C Cs; \text{wf-prog wf-md } P; \text{Subobjs } P C Cs \rrbracket \implies \text{is-class } P (\text{last } Cs)$

$\langle proof \rangle$

**lemma** *converse-SubobjsR-Rep*:  
 $\llbracket \text{Subobjs}_R P C Cs; P \vdash \text{last } Cs \prec_R C'; \text{wf-prog wf-md } P \rrbracket$   
 $\implies \text{Subobjs}_R P C (Cs @ [C'])$

$\langle proof \rangle$

**lemma** *converse-Subobjs-Rep*:  
 $\llbracket \text{Subobjs } P C Cs; P \vdash \text{last } Cs \prec_R C'; \text{wf-prog wf-md } P \rrbracket$   
 $\implies \text{Subobjs } P C (Cs @ [C'])$   
 $\langle proof \rangle$

**lemma** *isSubobj-Subobjs-rev*:  
**assumes** *subo:is-subobj P ((C,C' # rev Cs'))* **and** *wf:wf-prog wf-md P*  
**shows** *Subobjs P C (C' # rev Cs')*  
 $\langle proof \rangle$

**lemma** *isSubobj-Subobjs*:  
**assumes** *subo:is-subobj P ((C,Cs))* **and** *wf:wf-prog wf-md P*  
**shows** *Subobjs P C Cs*

$\langle proof \rangle$

**lemma** *isSubobj-eq-Subobjs*:  
*wf-prog wf-md P*  $\implies$  *is-subobj P ((C,Cs)) = (Subobjs P C Cs)*  
 $\langle proof \rangle$

**lemma** *subo-trans-subcls*:  
**assumes** *subo:Subobjs P C (Cs@ C'#rev Cs')*  
**shows**  $\forall C'' \in \text{set } Cs'. (C', C'') \in (\text{subcls1 } P)^+$

*(proof)*

**lemma** *unique1*:  
**assumes** *subo:Subobjs P C (Cs@ C'#Cs')* **and** *wf:wf-prog wf-md P*  
**shows**  $C' \notin \text{set } Cs'$

*(proof)*

**lemma** *subo-subcls-trans*:  
**assumes** *subo:Subobjs P C (Cs@ C'#Cs')*  
**shows**  $\forall C'' \in \text{set } Cs. (C'', C') \in (\text{subcls1 } P)^+$

*(proof)*

**lemma** *unique2*:  
**assumes** *subo:Subobjs P C (Cs@ C'#Cs')* **and** *wf:wf-prog wf-md P*  
**shows**  $C' \notin \text{set } Cs$

*(proof)*

**lemma** *mdc-hd-path*:  
**assumes** *subo:Subobjs P C Cs and set:C \in \text{set } Cs and wf:wf-prog wf-md P*  
**shows**  $C = \text{hd } Cs$

*(proof)*

**lemma** *mdc-eq-last*:  
**assumes** *subo:Subobjs P C Cs and last:last Cs = C and wf:wf-prog wf-md P*  
**shows**  $Cs = [C]$

*(proof)*

**lemma assumes**  $leq:P \vdash C \preceq^* D$  **and**  $wf:wf\text{-}prog wf\text{-}md P$   
**shows**  $subcls\text{-}leq\text{-}path:\exists Cs. P, C \vdash [C] \sqsubseteq Cs@[D]$

$\langle proof \rangle$

**lemma assumes**  $subo:Subobjs P C (rev Cs)$  **and**  $wf:wf\text{-}prog wf\text{-}md P$   
**shows**  $subobjs\text{-}rel\text{-}rev:P, C \vdash [C] \sqsubseteq (rev Cs)$   
 $\langle proof \rangle$

**lemma**  $subobjs\text{-}rel:$   
**assumes**  $subo:Subobjs P C Cs$  **and**  $wf:wf\text{-}prog wf\text{-}md P$   
**shows**  $P, C \vdash [C] \sqsubseteq Cs$

$\langle proof \rangle$

**lemma assumes**  $wf:wf\text{-}prog wf\text{-}md P$   
**shows**  $leq\text{-}path\text{-}last:\llbracket P, C \vdash Cs \sqsubseteq Cs'; last Cs = last Cs' \rrbracket \implies Cs = Cs'$

$\langle proof \rangle$

## 17.5 Well-formedness and appendPath

**lemma**  $appendPath1:$   
 $\llbracket Subobjs P C Cs; Subobjs P (last Cs) Ds; last Cs \neq hd Ds \rrbracket$   
 $\implies Subobjs P C Ds$

$\langle proof \rangle$

**lemma**  $appendPath2\text{-}rev:$   
**assumes**  $subo1:Subobjs P C Cs$  **and**  $subo2:Subobjs P (last Cs) (last Cs\#rev Ds)$   
**and**  $wf:wf\text{-}prog wf\text{-}md P$   
**shows**  $Subobjs P C (Cs@(tl (last Cs\#rev Ds)))$   
 $\langle proof \rangle$

**lemma**  $appendPath2:$   
**assumes**  $subo1:Subobjs P C Cs$  **and**  $subo2:Subobjs P (last Cs) Ds$

**and**  $\text{eq:last } Cs = \text{hd } Ds$  **and**  $\text{wf:wf-prog wf-md } P$   
**shows**  $\text{Subobjs } P C (Cs @ (\text{tl } Ds))$

$\langle proof \rangle$

**lemma**  $\text{Subobjs-appendPath}:$   
 $\llbracket \text{Subobjs } P C Cs; \text{Subobjs } P (\text{last } Cs) Ds; \text{wf-prog wf-md } P \rrbracket$   
 $\implies \text{Subobjs } P C (Cs @_p Ds)$   
 $\langle proof \rangle$

## 17.6 Path and program size

**lemma assumes**  $\text{subo:Subobjs } P C Cs$  **and**  $\text{wf:wf-prog wf-md } P$   
**shows**  $\text{path-contains-classes:} \forall C' \in \text{set } Cs. \text{is-class } P C'$   
 $\langle proof \rangle$

**lemma**  $\text{path-subset-classes:} \llbracket \text{Subobjs } P C Cs; \text{wf-prog wf-md } P \rrbracket$   
 $\implies \text{set } Cs \subseteq \{C. \text{is-class } P C\}$   
 $\langle proof \rangle$

**lemma assumes**  $\text{subo:Subobjs } P C (\text{rev } Cs)$  **and**  $\text{wf:wf-prog wf-md } P$   
**shows**  $\text{rev-path-distinct-classes:distinct } Cs$   
 $\langle proof \rangle$

**lemma assumes**  $\text{subo:Subobjs } P C Cs$  **and**  $\text{wf:wf-prog wf-md } P$   
**shows**  $\text{path-distinct-classes:distinct } Cs$

$\langle proof \rangle$

**lemma assumes**  $\text{wf:wf-prog wf-md } P$   
**shows**  $\text{prog-length:length } P = \text{card } \{C. \text{is-class } P C\}$

$\langle proof \rangle$

**lemma assumes**  $\text{subo:Subobjs } P C Cs$  **and**  $\text{wf:wf-prog wf-md } P$   
**shows**  $\text{path-length:length } Cs \leq \text{length } P$

$\langle proof \rangle$

**lemma** *empty-path-empty-set*: $\{Cs. \text{Subobjs } P C Cs \wedge \text{length } Cs \leq 0\} = \{\}$   
*(proof)*

**lemma** *split-set-path-length*: $\{Cs. \text{Subobjs } P C Cs \wedge \text{length } Cs \leq \text{Suc}(n)\} = \{Cs. \text{Subobjs } P C Cs \wedge \text{length } Cs \leq n\} \cup \{Cs. \text{Subobjs } P C Cs \wedge \text{length } Cs = \text{Suc}(n)\}$   
*(proof)*

**lemma** *empty-list-set*: $\{xs. \text{set } xs \subseteq F \wedge xs = []\} = \{[]\}$   
*(proof)*

**lemma** *suc-n-union-of-union*: $\{xs. \text{set } xs \subseteq F \wedge \text{length } xs = \text{Suc } n\} = (\text{UN } x:F. \text{UN } xs : \{xs. \text{set } xs \leq F \wedge \text{length } xs = n\}. \{x\#xs\})$   
*(proof)*

**lemma** *max-length-finite-set*: $\text{finite } F \implies \text{finite}\{xs. \text{set } xs \leq F \wedge \text{length } xs = n\}$   
*(proof)*

**lemma** *path-length-n-finite-set*:  
*wf-prog wf-md P*  $\implies \text{finite}\{Cs. \text{Subobjs } P C Cs \wedge \text{length } Cs = n\}$   
*(proof)*

**lemma** *path-finite-leq*:  
*wf-prog wf-md P*  $\implies \text{finite}\{Cs. \text{Subobjs } P C Cs \wedge \text{length } Cs \leq \text{length } P\}$   
*(proof)*

**lemma** *path-finite:wf-prog wf-md P*  $\implies \text{finite}\{Cs. \text{Subobjs } P C Cs\}$   
*(proof)*

## 17.7 Well-formedness and Path

**lemma** *path-via-reverse*:  
**assumes** *path-via:P ⊢ Path C to D via Cs and wf:wf-prog wf-md P*  
**shows**  $\forall Cs'. P \vdash \text{Path } D \text{ to } C \text{ via } Cs' \longrightarrow Cs = [C] \wedge Cs' = [C] \wedge C = D$   
*(proof)*

**lemma** *path-hd-appendPath*:  
**assumes** *path:P, C ⊢ Cs ⊑\_p Cs' and last:last Cs' = hd Cs*  
**and** *notemptyCs:Cs ≠ [] and notemptyCs':Cs' ≠ [] and wf:wf-prog wf-md P*  
**shows**  $Cs' = [\text{hd } Cs]$

*(proof)*

**lemma** *path-via-C*:  $\llbracket P \vdash \text{Path } C \text{ to } C \text{ via } Cs; \text{wf-prog wf-md } P \rrbracket \implies Cs = [C]$   
*(proof)*

```

lemma assumes wf:wf-prog wf-md P
  and path-via:P ⊢ Path last Cs to C via Cs'
  and path-via':P ⊢ Path last Cs to C via Cs''
  and appendPath:Cs = Cs@_p Cs'
shows appendPath-path-via:Cs = Cs@_p Cs''

```

*(proof)*

```

lemma subo-no-path:
  assumes subo:Subobjs P C' (Cs @ C#Cs') and wf:wf-prog wf-md P
  and notempty:Cs' ≠ []
shows ⊢ P ⊢ Path last Cs' to C via Ds

```

*(proof)*

```

lemma leq-implies-path:
  assumes leq:P ⊢ C ⊣* D and class: is-class P C
  and wf:wf-prog wf-md P
shows ∃ Cs. P ⊢ Path C to D via Cs

```

*(proof)*

```

lemma least-method-implies-path-unique:
  assumes least:P ⊢ C has least M = (Ts,T,m) via Cs and wf:wf-prog wf-md P
shows P ⊢ Path C to (last Cs) unique

```

*(proof)*

```

lemma least-field-implies-path-unique:
  assumes least:P ⊢ C has least F:T via Cs and wf:wf-prog wf-md P
shows P ⊢ Path C to (hd Cs) unique

```

*(proof)*

```

lemma least-field-implies-path-via-hd:
  [P ⊢ C has least F:T via Cs; wf-prog wf-md P]
  ⇒ P ⊢ Path C to (hd Cs) via [hd Cs]

```

$\langle proof \rangle$

**lemma** *path-C-to-C-unique*:  
 $\llbracket wf\text{-}prog\ wf\text{-}md\ P; is\text{-}class\ P\ C \rrbracket \implies P \vdash Path\ C\ to\ C\ unique$

$\langle proof \rangle$

**lemma** *leqR-SubobjsR*: $\llbracket (C,D) \in (subclsR\ P)^*; is\text{-}class\ P\ C; wf\text{-}prog\ wf\text{-}md\ P \rrbracket \implies \exists\ Cs.\ Subobjs_R\ P\ C\ (Cs@[D])$

$\langle proof \rangle$

**lemma assumes** *path-unique*: $P \vdash Path\ C\ to\ D\ unique$  **and** *leq*: $P \vdash C \preceq^* C'$   
**and** *leqR*: $(C',D) \in (subclsR\ P)^*$  **and** *wf*: $wf\text{-}prog\ wf\text{-}md\ P$   
**shows**  $P \vdash Path\ C\ to\ C'\ unique$

$\langle proof \rangle$

## 17.8 Well-formedness and member lookup

**lemma** *has-path-has*:

$\llbracket P \vdash Path\ D\ to\ C\ via\ Ds; P \vdash C\ has\ M = (Ts,T,m)\ via\ Cs; wf\text{-}prog\ wf\text{-}md\ P \rrbracket \implies P \vdash D\ has\ M = (Ts,T,m)\ via\ Ds@_p Cs$

$\langle proof \rangle$

**lemma** *has-least-wf-mdecl*:

$\llbracket wf\text{-}prog\ wf\text{-}md\ P; P \vdash C\ has\ least\ M = m\ via\ Cs \rrbracket \implies wf\text{-}mdecl\ wf\text{-}md\ P\ (last\ Cs)\ (M,m)$

$\langle proof \rangle$

**lemma** *has-overrider-wf-mdecl*:

$\llbracket wf\text{-}prog\ wf\text{-}md\ P; P \vdash (C,Cs)\ has\ overrider\ M = m\ via\ Cs' \rrbracket \implies wf\text{-}mdecl\ wf\text{-}md\ P\ (last\ Cs')\ (M,m)$

$\langle proof \rangle$

**lemma** *select-method-wf-mdecl*:

$\llbracket wf\text{-}prog\ wf\text{-}md\ P; P \vdash (C,Cs)\ selects\ M = m\ via\ Cs' \rrbracket \implies wf\text{-}mdecl\ wf\text{-}md\ P\ (last\ Cs')\ (M,m)$

$\langle proof \rangle$

**lemma** *wf-sees-method-fun*:  
 $\llbracket P \vdash C \text{ has least } M = \text{mthd} \text{ via } Cs; P \vdash C \text{ has least } M = \text{mthd}' \text{ via } Cs';$   
 $\text{wf-prog wf-md } P \rrbracket$   
 $\implies \text{mthd} = \text{mthd}' \wedge Cs = Cs'$

*(proof)*

**lemma** *wf-select-method-fun*:  
**assumes** *wf:wf-prog wf-md P*  
**shows**  $\llbracket P \vdash (C, Cs) \text{ selects } M = \text{mthd} \text{ via } Cs'; P \vdash (C, Cs) \text{ selects } M = \text{mthd}'$   
 $\text{via } Cs' \rrbracket$   
 $\implies \text{mthd} = \text{mthd}' \wedge Cs' = Cs''$   
*(proof)*

**lemma** *least-field-is-type*:  
**assumes** *field:P*  $\vdash C \text{ has least } F:T \text{ via } Cs$  **and** *wf:wf-prog wf-md P*  
**shows** *is-type P T*

*(proof)*

**lemma** *least-method-is-type*:  
**assumes** *method:P*  $\vdash C \text{ has least } M = (Ts, T, m) \text{ via } Cs$  **and** *wf:wf-prog wf-md P*  
**shows** *is-type P T*

*(proof)*

**lemma** *least-overrider-is-type*:  
**assumes** *method:P*  $\vdash (C, Cs) \text{ has overrider } M = (Ts, T, m) \text{ via } Cs'$   
**and** *wf:wf-prog wf-md P*  
**shows** *is-type P T*

*(proof)*

**lemma** *select-method-is-type*:  
 $\llbracket P \vdash (C, Cs) \text{ selects } M = (Ts, T, m) \text{ via } Cs'; \text{wf-prog wf-md } P \rrbracket \implies \text{is-type } P \text{ T}$   
*(proof)*

**lemma** *base-subtype*:

[[wf-cdecl wf-md P (C,Bs,fs,ms); C' ∈ baseClasses Bs;  
 $P \vdash C' \text{ has } M = (Ts', T', m') \text{ via } Cs @_p [D]; (M, Ts, T, m) \in \text{set } ms]$   
 $\implies Ts' = Ts \wedge P \vdash T \leq T'$

*(proof)*

**lemma** *subclsPlus-subtype*:

assumes classD: class P D = Some(Bs', fs', ms')  
and mapMs': map-of ms' M = Some(Ts', T', m')  
and leq:(C,D) ∈ (subcls1 P)<sup>+</sup> and wf:wf-prog wf-md P  
shows ∀ Bs fs ms Ts T m. class P C = Some(Bs, fs, ms) ∧ map-of ms M = Some(Ts, T, m)  
 $\longrightarrow Ts' = Ts \wedge P \vdash T \leq T'$

*(proof)*

**lemma** *leq-method-subtypes*:

assumes leq:P ⊢ D ⊑\* C and least:P ⊢ D has least M = (Ts', T', m') via Ds  
and wf:wf-prog wf-md P  
shows ∀ Ts T m Cs. P ⊢ C has M = (Ts, T, m) via Cs →  
 $Ts = Ts' \wedge P \vdash T' \leq T$

*(proof)*

**lemma** *leq-methods-subtypes*:

assumes leq:P ⊢ D ⊑\* C and least:(Ds, (Ts', T', m')) ∈ MinimalMethodDefs P  
D M  
and wf:wf-prog wf-md P  
shows ∀ Ts T m Cs Cs'. P ⊢ Path D to C via Cs' ∧ P, D ⊢ Ds ⊑ Cs' @\_p Cs ∧ Cs  
≠ [] ∧  
 $P \vdash C \text{ has } M = (Ts, T, m) \text{ via } Cs$   
 $\longrightarrow Ts = Ts' \wedge P \vdash T' \leq T$

*(proof)*

**lemma** *select-least-methods-subtypes*:

assumes select-method:P ⊢ (C, Cs @\_p Ds) selects M = (Ts, T, pns, body) via Cs'  
and least-method:P ⊢ last Cs has least M = (Ts', T', pns', body') via Ds  
and path:P ⊢ Path C to (last Cs) via Cs  
and wf:wf-prog wf-md P  
shows Ts' = Ts ∧ P ⊢ T ≤ T'

*(proof)*

**lemma** *wf-syscls*:  
 $\text{set SystemClasses} \subseteq \text{set } P \implies \text{wf-syscls } P$   
 $\langle \text{proof} \rangle$

## 17.9 Well formedness and widen

**lemma** *Class-widen*:  $\llbracket P \vdash \text{Class } C \leq T; \text{wf-prog wf-md } P; \text{is-class } P \ C \rrbracket \implies \exists D. \ T = \text{Class } D \wedge P \vdash \text{Path } C \text{ to } D \text{ unique}$

$\langle \text{proof} \rangle$

**lemma** *Class-widen-Class [iff]*:  $\llbracket \text{wf-prog wf-md } P; \text{is-class } P \ C \rrbracket \implies (P \vdash \text{Class } C \leq \text{Class } D) = (P \vdash \text{Path } C \text{ to } D \text{ unique})$

$\langle \text{proof} \rangle$

**lemma** *widen-Class*:  $\llbracket \text{wf-prog wf-md } P; \text{is-class } P \ C \rrbracket \implies (P \vdash T \leq \text{Class } C) = (T = NT \vee (\exists D. \ T = \text{Class } D \wedge P \vdash \text{Path } D \text{ to } C \text{ unique}))$

$\langle \text{proof} \rangle$

## 17.10 Well formedness and well typing

**lemma assumes** *wf:wf-prog wf-md P*  
**shows** *WT-determ*:  $P, E \vdash e :: T \implies (\bigwedge T'. P, E \vdash e :: T' \implies T = T')$   
**and** *WTs-determ*:  $P, E \vdash es :: Ts \implies (\bigwedge Ts'. P, E \vdash es :: Ts' \implies Ts = Ts')$

$\langle \text{proof} \rangle$

**end**

## 18 Weak well-formedness of CoreC++ programs

**theory** *WWellForm imports WellForm Expr begin*

**definition** *wwf-mdecl* :: *prog*  $\Rightarrow$  *cname*  $\Rightarrow$  *mdecl*  $\Rightarrow$  *bool* **where**  
 $\text{wwf-mdecl } P \ C \equiv \lambda(M, Ts, T, (pns, body)).$   
 $\text{length } Ts = \text{length } pns \wedge \text{distinct } pns \wedge \text{this} \notin \text{set } pns \wedge \text{fv } body \subseteq \{\text{this}\} \cup \text{set } pns$

**lemma** *wwf-mdecl[simp]*:  
 $\text{wwf-mdecl } P \ C \ (M, Ts, T, pns, body) =$

$(length Ts = length pns \wedge distinct pns \wedge this \notin set pns \wedge fv body \subseteq \{this\} \cup set pns)$   
 $\langle proof \rangle$

#### abbreviation

$wwf\text{-}prog :: prog \Rightarrow bool$  **where**  
 $wwf\text{-}prog == wf\text{-}prog wwf\text{-}mdecl$

**end**

## 19 Equivalence of Big Step and Small Step Semantics

**theory** *Equivalence imports BigStep SmallStep WWellForm begin*

### 19.1 Some casts-lemmas

**lemma assumes** *wf:wf-prog wf-md P*  
**shows** *casts-casts*:  
 $P \vdash T \text{ casts } v \text{ to } v' \implies P \vdash T \text{ casts } v' \text{ to } v'$

$\langle proof \rangle$

**lemma** *casts-casts-eq*:  
 $\llbracket P \vdash T \text{ casts } v \text{ to } v; P \vdash T \text{ casts } v \text{ to } v'; wf\text{-}prog wf\text{-}md P \rrbracket \implies v = v'$

$\langle proof \rangle$

**lemma assumes** *wf:wf-prog wf-md P*  
**shows** *None-lcl-casts-values*:  
 $P, E \vdash \langle e, (h, l) \rangle \rightarrow \langle e', (h', l') \rangle \implies$   
 $(\bigwedge V. \llbracket l \mid V = None; E \mid V = Some T; l' \mid V = Some v' \rrbracket \implies P \vdash T \text{ casts } v' \text{ to } v')$   
**and**  $P, E \vdash \langle es, (h, l) \rangle \rightarrow \langle es', (h', l') \rangle \implies$   
 $(\bigwedge V. \llbracket l \mid V = None; E \mid V = Some T; l' \mid V = Some v' \rrbracket \implies P \vdash T \text{ casts } v' \text{ to } v')$

$\langle proof \rangle$

**lemma assumes** *wf:wf-prog wf-md P*  
**shows** *Some-lcl-casts-values*:

$$\begin{aligned}
P, E \vdash \langle e, (h, l) \rangle \rightarrow \langle e', (h', l') \rangle &\implies \\
(\bigwedge V. \llbracket l \text{ } V = \text{Some } v; E \text{ } V = \text{Some } T; \\
&\quad P \vdash T \text{ casts } v'' \text{ to } v; l' \text{ } V = \text{Some } v' \rrbracket \\
&\implies P \vdash T \text{ casts } v' \text{ to } v') \\
\text{and } P, E \vdash \langle es, (h, l) \rangle [\rightarrow] \langle es', (h', l') \rangle &\implies \\
(\bigwedge V. \llbracket l \text{ } V = \text{Some } v; E \text{ } V = \text{Some } T; \\
&\quad P \vdash T \text{ casts } v'' \text{ to } v; l' \text{ } V = \text{Some } v' \rrbracket \\
&\implies P \vdash T \text{ casts } v' \text{ to } v')
\end{aligned}$$

$\langle proof \rangle$

## 19.2 Small steps simulate big step

### 19.3 Cast

**lemma** *StaticCastReds*:

$$P, E \vdash \langle e, s \rangle \rightarrow^* \langle e', s' \rangle \implies P, E \vdash \langle (\| C \|) e, s \rangle \rightarrow^* \langle (\| C \|) e', s' \rangle$$

$\langle proof \rangle$

**lemma** *StaticCastRedsNull*:

$$P, E \vdash \langle e, s \rangle \rightarrow^* \langle \text{null}, s' \rangle \implies P, E \vdash \langle (\| C \|) e, s \rangle \rightarrow^* \langle \text{null}, s' \rangle$$

$\langle proof \rangle$

**lemma** *StaticUpCastReds*:

$$\begin{aligned}
\llbracket P, E \vdash \langle e, s \rangle \rightarrow^* \langle \text{ref}(a, Cs), s' \rangle; P \vdash \text{Path last } Cs \text{ to } C \text{ via } Cs'; Ds = Cs @_p Cs' \rrbracket \\
\implies P, E \vdash \langle (\| C \|) e, s \rangle \rightarrow^* \langle \text{ref}(a, Ds), s' \rangle
\end{aligned}$$

$\langle proof \rangle$

**lemma** *StaticDownCastReds*:

$$\begin{aligned}
P, E \vdash \langle e, s \rangle \rightarrow^* \langle \text{ref}(a, Cs @ [C] @ Cs'), s' \rangle \\
\implies P, E \vdash \langle (\| C \|) e, s \rangle \rightarrow^* \langle \text{ref}(a, Cs @ [C]), s' \rangle
\end{aligned}$$

$\langle proof \rangle$

**lemma** *StaticCastRedsFail*:

$$\begin{aligned}
\llbracket P, E \vdash \langle e, s \rangle \rightarrow^* \langle \text{ref}(a, Cs), s' \rangle; C \notin \text{set } Cs; \neg P \vdash (\text{last } Cs) \preceq^* C \rrbracket \\
\implies P, E \vdash \langle (\| C \|) e, s \rangle \rightarrow^* \langle \text{THROW ClassCast}, s' \rangle
\end{aligned}$$

$\langle proof \rangle$

**lemma** *StaticCastRedsThrow*:

$$\llbracket P, E \vdash \langle e, s \rangle \rightarrow^* \langle \text{Throw } r, s' \rangle \rrbracket \implies P, E \vdash \langle (\| C \|) e, s \rangle \rightarrow^* \langle \text{Throw } r, s' \rangle$$

$\langle proof \rangle$

**lemma** *DynCastReds*:

$$P, E \vdash \langle e, s \rangle \rightarrow^* \langle e', s' \rangle \implies P, E \vdash \langle \text{Cast } C e, s \rangle \rightarrow^* \langle \text{Cast } C e', s' \rangle$$

$\langle proof \rangle$

**lemma** *DynCastRedsNull*:

$$P, E \vdash \langle e, s \rangle \rightarrow^* \langle \text{null}, s' \rangle \implies P, E \vdash \langle \text{Cast } C e, s \rangle \rightarrow^* \langle \text{null}, s' \rangle$$

$\langle proof \rangle$

**lemma** *DynCastRedsRef*:

$$\begin{aligned} & [\![ P, E \vdash \langle e, s \rangle \rightarrow^* \langle \text{ref}(a, Cs), s' \rangle; \text{hp } s' a = \text{Some } (D, S); P \vdash \text{Path } D \text{ to } C \text{ via } Cs'; \\ & \quad P \vdash \text{Path } D \text{ to } C \text{ unique }] \\ & \implies P, E \vdash \langle \text{Cast } C e, s \rangle \rightarrow^* \langle \text{ref}(a, Cs'), s' \rangle \end{aligned}$$

$\langle proof \rangle$

**lemma** *StaticUpDynCastReds*:

$$\begin{aligned} & [\![ P, E \vdash \langle e, s \rangle \rightarrow^* \langle \text{ref}(a, Cs), s' \rangle; P \vdash \text{Path last } Cs \text{ to } C \text{ unique}; \\ & \quad P \vdash \text{Path last } Cs \text{ to } C \text{ via } Cs'; Ds = Cs @_p Cs'] \\ & \implies P, E \vdash \langle \text{Cast } C e, s \rangle \rightarrow^* \langle \text{ref}(a, Ds), s' \rangle \end{aligned}$$

$\langle proof \rangle$

**lemma** *StaticDownDynCastReds*:

$$\begin{aligned} & P, E \vdash \langle e, s \rangle \rightarrow^* \langle \text{ref}(a, Cs @ [C] @ Cs'), s' \rangle \\ & \implies P, E \vdash \langle \text{Cast } C e, s \rangle \rightarrow^* \langle \text{ref}(a, Cs @ [C]), s' \rangle \end{aligned}$$

$\langle proof \rangle$

**lemma** *DynCastRedsFail*:

$$\begin{aligned} & [\![ P, E \vdash \langle e, s \rangle \rightarrow^* \langle \text{ref}(a, Cs), s' \rangle; \text{hp } s' a = \text{Some } (D, S); \neg P \vdash \text{Path } D \text{ to } C \\ & \quad \text{unique}; \\ & \quad \neg P \vdash \text{Path last } Cs \text{ to } C \text{ unique}; C \notin \text{set } Cs ] \\ & \implies P, E \vdash \langle \text{Cast } C e, s \rangle \rightarrow^* \langle \text{null}, s' \rangle \end{aligned}$$

$\langle proof \rangle$

**lemma** *DynCastRedsThrow*:

$\llbracket P, E \vdash \langle e, s \rangle \rightarrow^* \langle \text{Throw } r, s' \rangle \rrbracket \implies P, E \vdash \langle \text{Cast } C e, s \rangle \rightarrow^* \langle \text{Throw } r, s' \rangle$

$\langle \text{proof} \rangle$

## 19.4 LAss

**lemma** *LAssReds*:

$P, E \vdash \langle e, s \rangle \rightarrow^* \langle e', s' \rangle \implies P, E \vdash \langle V := e, s \rangle \rightarrow^* \langle V := e', s' \rangle$

$\langle \text{proof} \rangle$

**lemma** *LAssRedsVal*:

$\llbracket P, E \vdash \langle e, s \rangle \rightarrow^* \langle \text{Val } v, (h', l') \rangle; E \ V = \text{Some } T; P \vdash T \text{ casts } v \text{ to } v' \rrbracket \implies P, E \vdash \langle V := e, s \rangle \rightarrow^* \langle \text{Val } v', (h', l'(V \mapsto v')) \rangle$

$\langle \text{proof} \rangle$

**lemma** *LAssRedsThrow*:

$\llbracket P, E \vdash \langle e, s \rangle \rightarrow^* \langle \text{Throw } r, s' \rangle \rrbracket \implies P, E \vdash \langle V := e, s \rangle \rightarrow^* \langle \text{Throw } r, s' \rangle$

$\langle \text{proof} \rangle$

## 19.5 BinOp

**lemma** *BinOp1Reds*:

$P, E \vdash \langle e, s \rangle \rightarrow^* \langle e', s' \rangle \implies P, E \vdash \langle e \ \llcorner \text{bop} \ \rangle e_2, s \rangle \rightarrow^* \langle e' \ \llcorner \text{bop} \ \rangle e_2, s' \rangle$

$\langle \text{proof} \rangle$

**lemma** *BinOp2Reds*:

$P, E \vdash \langle e, s \rangle \rightarrow^* \langle e', s' \rangle \implies P, E \vdash \langle (\text{Val } v) \ \llcorner \text{bop} \ \rangle e, s \rangle \rightarrow^* \langle (\text{Val } v) \ \llcorner \text{bop} \ \rangle e', s' \rangle$

$\langle \text{proof} \rangle$

**lemma** *BinOpRedsVal*:

$\llbracket P, E \vdash \langle e_1, s_0 \rangle \rightarrow^* \langle \text{Val } v_1, s_1 \rangle; P, E \vdash \langle e_2, s_1 \rangle \rightarrow^* \langle \text{Val } v_2, s_2 \rangle; \text{binop}(bop, v_1, v_2) = \text{Some } v \rrbracket \implies P, E \vdash \langle e_1 \ \llcorner \text{bop} \ \rangle e_2, s_0 \rangle \rightarrow^* \langle \text{Val } v, s_2 \rangle$

$\langle \text{proof} \rangle$

**lemma** *BinOpRedsThrow1*:

$P, E \vdash \langle e, s \rangle \rightarrow^* \langle \text{Throw } r, s' \rangle \implies P, E \vdash \langle e \ \llcorner \text{bop} \ \rangle e_2, s \rangle \rightarrow^* \langle \text{Throw } r, s' \rangle$

$\langle \text{proof} \rangle$

**lemma** *BinOpRedsThrow2*:

$$\begin{aligned} & \llbracket P, E \vdash \langle e_1, s_0 \rangle \rightarrow^* \langle \text{Val } v_1, s_1 \rangle; P, E \vdash \langle e_2, s_1 \rangle \rightarrow^* \langle \text{Throw } r, s_2 \rangle \rrbracket \\ & \implies P, E \vdash \langle e_1 \text{ ``bop'' } e_2, s_0 \rangle \rightarrow^* \langle \text{Throw } r, s_2 \rangle \end{aligned}$$

*(proof)*

## 19.6 FAcc

**lemma** *FAccReds*:

$$P, E \vdash \langle e, s \rangle \rightarrow^* \langle e', s' \rangle \implies P, E \vdash \langle e \cdot F\{Cs\}, s \rangle \rightarrow^* \langle e' \cdot F\{Cs\}, s' \rangle$$

*(proof)*

**lemma** *FAccRedsVal*:

$$\begin{aligned} & \llbracket P, E \vdash \langle e, s \rangle \rightarrow^* \langle \text{ref}(a, Cs'), s' \rangle; \text{hp } s' a = \text{Some}(D, S); \\ & Ds = Cs' @_p Cs; (Ds, fs) \in S; fs F = \text{Some } v \rrbracket \\ & \implies P, E \vdash \langle e \cdot F\{Cs\}, s \rangle \rightarrow^* \langle \text{Val } v, s' \rangle \end{aligned}$$

*(proof)*

**lemma** *FAccRedsNull*:

$$P, E \vdash \langle e, s \rangle \rightarrow^* \langle \text{null}, s' \rangle \implies P, E \vdash \langle e \cdot F\{Cs\}, s \rangle \rightarrow^* \langle \text{THROW NullPointer}, s' \rangle$$

*(proof)*

**lemma** *FAccRedsThrow*:

$$P, E \vdash \langle e, s \rangle \rightarrow^* \langle \text{Throw } r, s' \rangle \implies P, E \vdash \langle e \cdot F\{Cs\}, s \rangle \rightarrow^* \langle \text{Throw } r, s' \rangle$$

*(proof)*

## 19.7 FAss

**lemma** *FAssReds1*:

$$P, E \vdash \langle e, s \rangle \rightarrow^* \langle e', s' \rangle \implies P, E \vdash \langle e \cdot F\{Cs\} := e_2, s \rangle \rightarrow^* \langle e' \cdot F\{Cs\} := e_2, s' \rangle$$

*(proof)*

**lemma** *FAssReds2*:

$$P, E \vdash \langle e, s \rangle \rightarrow^* \langle e', s' \rangle \implies P, E \vdash \langle \text{Val } v \cdot F\{Cs\} := e, s \rangle \rightarrow^* \langle \text{Val } v \cdot F\{Cs\} := e', s' \rangle$$

*(proof)*

**lemma** *FAssRedsVal*:

$$\begin{aligned} & \llbracket P, E \vdash \langle e_1, s_0 \rangle \rightarrow^* \langle \text{ref}(a, Cs'), s_1 \rangle; P, E \vdash \langle e_2, s_1 \rangle \rightarrow^* \langle \text{Val } v, (h_2, l_2) \rangle; \\ & h_2 \ a = \text{Some}(D, S); P \vdash (\text{last } Cs') \text{ has least } F:T \text{ via } Cs; P \vdash T \text{ casts } v \text{ to } v'; \\ & Ds = Cs' @_p Cs; (Ds, fs) \in S \rrbracket \implies \\ & P, E \vdash \langle e_1 \cdot F\{Cs\} := e_2, s_0 \rangle \rightarrow^* \\ & \quad \langle \text{Val } v', (h_2(a \mapsto (D, \text{insert } (Ds, fs(F \mapsto v')) (S - \{(Ds, fs)\}))), l_2) \rangle \end{aligned}$$

*(proof)*

**lemma** *FAssRedsNull*:

$$\begin{aligned} & \llbracket P, E \vdash \langle e_1, s_0 \rangle \rightarrow^* \langle \text{null}, s_1 \rangle; P, E \vdash \langle e_2, s_1 \rangle \rightarrow^* \langle \text{Val } v, s_2 \rangle \rrbracket \implies \\ & P, E \vdash \langle e_1 \cdot F\{Cs\} := e_2, s_0 \rangle \rightarrow^* \langle \text{THROW NullPointer}, s_2 \rangle \end{aligned}$$

*(proof)*

**lemma** *FAssRedsThrow1*:

$$P, E \vdash \langle e, s \rangle \rightarrow^* \langle \text{Throw } r, s \rangle \implies P, E \vdash \langle e \cdot F\{Cs\} := e_2, s \rangle \rightarrow^* \langle \text{Throw } r, s' \rangle$$

*(proof)*

**lemma** *FAssRedsThrow2*:

$$\begin{aligned} & \llbracket P, E \vdash \langle e_1, s_0 \rangle \rightarrow^* \langle \text{Val } v, s_1 \rangle; P, E \vdash \langle e_2, s_1 \rangle \rightarrow^* \langle \text{Throw } r, s_2 \rangle \rrbracket \\ & \implies P, E \vdash \langle e_1 \cdot F\{Cs\} := e_2, s_0 \rangle \rightarrow^* \langle \text{Throw } r, s_2 \rangle \end{aligned}$$

*(proof)*

**19.8 ;;**

**lemma** *SqReds*:

$$P, E \vdash \langle e, s \rangle \rightarrow^* \langle e', s' \rangle \implies P, E \vdash \langle e;; e_2, s \rangle \rightarrow^* \langle e';; e_2, s' \rangle$$

*(proof)*

**lemma** *SqRedsThrow*:

$$P, E \vdash \langle e, s \rangle \rightarrow^* \langle \text{Throw } r, s \rangle \implies P, E \vdash \langle e;; e_2, s \rangle \rightarrow^* \langle \text{Throw } r, s' \rangle$$

*(proof)*

**lemma** *SqReds2*:

$$\begin{aligned} & \llbracket P, E \vdash \langle e_1, s_0 \rangle \rightarrow^* \langle \text{Val } v_1, s_1 \rangle; P, E \vdash \langle e_2, s_1 \rangle \rightarrow^* \langle e_2', s_2 \rangle \rrbracket \implies P, E \vdash \langle e_1;; e_2, \\ & s_0 \rangle \rightarrow^* \langle e_2', s_2 \rangle \end{aligned}$$

*(proof)*

## 19.9 If

**lemma** *CondReds*:

$$P,E \vdash \langle e,s \rangle \rightarrow^* \langle e',s' \rangle \implies P,E \vdash \langle \text{if } (e) \ e_1 \ \text{else } e_2, s \rangle \rightarrow^* \langle \text{if } (e') \ e_1 \ \text{else } e_2, s' \rangle$$

*(proof)*

**lemma** *CondRedsThrow*:

$$P,E \vdash \langle e,s \rangle \rightarrow^* \langle \text{Throw } r,s \rangle \implies P,E \vdash \langle \text{if } (e) \ e_1 \ \text{else } e_2, s \rangle \rightarrow^* \langle \text{Throw } r,s' \rangle$$

*(proof)*

**lemma** *CondReds2T*:

$$[\![ P,E \vdash \langle e,s_0 \rangle \rightarrow^* \langle \text{true},s_1 \rangle; P,E \vdash \langle e_1, s_1 \rangle \rightarrow^* \langle e',s_2 \rangle ]!] \implies P,E \vdash \langle \text{if } (e) \ e_1 \ \text{else } e_2, s_0 \rangle \rightarrow^* \langle e',s_2 \rangle$$

*(proof)*

**lemma** *CondReds2F*:

$$[\![ P,E \vdash \langle e,s_0 \rangle \rightarrow^* \langle \text{false},s_1 \rangle; P,E \vdash \langle e_2, s_1 \rangle \rightarrow^* \langle e',s_2 \rangle ]!] \implies P,E \vdash \langle \text{if } (e) \ e_1 \ \text{else } e_2, s_0 \rangle \rightarrow^* \langle e',s_2 \rangle$$

*(proof)*

## 19.10 While

**lemma** *WhileFReds*:

$$P,E \vdash \langle b,s \rangle \rightarrow^* \langle \text{false},s \rangle \implies P,E \vdash \langle \text{while } (b) \ c, s \rangle \rightarrow^* \langle \text{unit},s \rangle$$

*(proof)*

**lemma** *WhileRedsThrow*:

$$P,E \vdash \langle b,s \rangle \rightarrow^* \langle \text{Throw } r,s \rangle \implies P,E \vdash \langle \text{while } (b) \ c, s \rangle \rightarrow^* \langle \text{Throw } r,s' \rangle$$

*(proof)*

**lemma** *WhileTReds*:

$$\begin{aligned} & [\![ P,E \vdash \langle b,s_0 \rangle \rightarrow^* \langle \text{true},s_1 \rangle; P,E \vdash \langle c,s_1 \rangle \rightarrow^* \langle \text{Val } v_1, s_2 \rangle; P,E \vdash \langle \text{while } (b) \ c, s_2 \rangle \\ & \rightarrow^* \langle e,s_3 \rangle ]!] \\ & \implies P,E \vdash \langle \text{while } (b) \ c, s_0 \rangle \rightarrow^* \langle e,s_3 \rangle \end{aligned}$$

*(proof)*

**lemma** *WhileTRedsThrow*:

$$\begin{aligned} & \llbracket P, E \vdash \langle b, s_0 \rangle \rightarrow^* \langle \text{true}, s_1 \rangle; P, E \vdash \langle c, s_1 \rangle \rightarrow^* \langle \text{Throw } r, s_2 \rangle \rrbracket \\ & \implies P, E \vdash \langle \text{while } (b) \ c, s_0 \rangle \rightarrow^* \langle \text{Throw } r, s_2 \rangle \end{aligned}$$

$\langle \text{proof} \rangle$

### 19.11 Throw

**lemma** *ThrowReds*:

$$P, E \vdash \langle e, s \rangle \rightarrow^* \langle e', s' \rangle \implies P, E \vdash \langle \text{throw } e, s \rangle \rightarrow^* \langle \text{throw } e', s' \rangle$$

$\langle \text{proof} \rangle$

**lemma** *ThrowRedsNull*:

$$P, E \vdash \langle e, s \rangle \rightarrow^* \langle \text{null}, s' \rangle \implies P, E \vdash \langle \text{throw } e, s \rangle \rightarrow^* \langle \text{THROW NullPointer}, s' \rangle$$

$\langle \text{proof} \rangle$

**lemma** *ThrowRedsThrow*:

$$P, E \vdash \langle e, s \rangle \rightarrow^* \langle \text{Throw } r, s \rangle \implies P, E \vdash \langle \text{throw } e, s \rangle \rightarrow^* \langle \text{Throw } r, s \rangle$$

$\langle \text{proof} \rangle$

### 19.12 InitBlock

**lemma assumes** *wf:wf-prog wf-md P*

**shows** *InitBlockReds-aux*:

$$\begin{aligned} P, E(V \mapsto T) \vdash \langle e, s \rangle \rightarrow^* \langle e', s' \rangle & \implies \\ \forall h \ l \ h' \ l' \ v \ v'. \ s = (h, l(V \mapsto v')) & \longrightarrow \\ P \vdash T \text{ casts } v \text{ to } v' & \longrightarrow s' = (h', l') \longrightarrow \\ (\exists v'' w. \ P, E \vdash \langle \{V:T := \text{Val } v; e\}, (h, l) \rangle \rightarrow^* & \\ \langle \{V:T := \text{Val } v''; e'\}, (h', l'(V := (l \ V))) \rangle \wedge & \\ P \vdash T \text{ casts } v'' \text{ to } w) & \end{aligned}$$

$\langle \text{proof} \rangle$

**lemma** *InitBlockReds*:

$$\begin{aligned} & \llbracket P, E(V \mapsto T) \vdash \langle e, (h, l(V \mapsto v')) \rangle \rightarrow^* \langle e', (h', l') \rangle; \\ & P \vdash T \text{ casts } v \text{ to } v'; \text{ wf-prog wf-md } P \rrbracket \implies \\ & \exists v'' w. \ P, E \vdash \langle \{V:T := \text{Val } v; e\}, (h, l) \rangle \rightarrow^* \\ & \quad \langle \{V:T := \text{Val } v''; e'\}, (h', l'(V := (l \ V))) \rangle \wedge \\ & P \vdash T \text{ casts } v'' \text{ to } w \end{aligned}$$

$\langle \text{proof} \rangle$

**lemma** *InitBlockRedsFinal*:

**assumes** *reds:P, E(V \mapsto T) \vdash \langle e, (h, l(V \mapsto v')) \rangle \rightarrow^\* \langle e', (h', l') \rangle*  
**and** *final:final e'* **and** *casts:P \vdash T \text{ casts } v \text{ to } v'*  
**and** *wf:wf-prog wf-md P*

**shows**  $P, E \vdash \langle \{V:T := Val v; e\}, (h, l) \rangle \rightarrow^* \langle e', (h', l'(V := l V)) \rangle$   
 $\langle proof \rangle$

### 19.13 Block

**lemma** *BlockRedsFinal*:  
**assumes**  $reds: P, E(V \mapsto T) \vdash \langle e_0, s_0 \rangle \rightarrow^* \langle e_2, (h_2, l_2) \rangle$  **and**  $fin: final e_2$   
**and**  $wf: wf\text{-prog } wf\text{-md } P$   
**shows**  $\bigwedge h_0 l_0. s_0 = (h_0, l_0(V := None)) \implies P, E \vdash \langle \{V:T; e_0\}, (h_0, l_0) \rangle \rightarrow^* \langle e_2, (h_2, l_2(V := l_0)) \rangle$   
 $\langle proof \rangle$

### 19.14 List

**lemma** *ListReds1*:  
 $P, E \vdash \langle e, s \rangle \rightarrow^* \langle e', s' \rangle \implies P, E \vdash \langle e \# es, s \rangle \xrightarrow{*} \langle e' \# es, s' \rangle$   
 $\langle proof \rangle$

**lemma** *ListReds2*:  
 $P, E \vdash \langle es, s \rangle \xrightarrow{*} \langle es', s' \rangle \implies P, E \vdash \langle Val v \# es, s \rangle \xrightarrow{*} \langle Val v \# es', s' \rangle$   
 $\langle proof \rangle$

**lemma** *ListRedsVal*:  
 $\llbracket P, E \vdash \langle e, s_0 \rangle \rightarrow^* \langle Val v, s_1 \rangle; P, E \vdash \langle es, s_1 \rangle \xrightarrow{*} \langle es', s_2 \rangle \rrbracket$   
 $\implies P, E \vdash \langle e \# es, s_0 \rangle \xrightarrow{*} \langle Val v \# es', s_2 \rangle$

$\langle proof \rangle$

### 19.15 Call

First a few lemmas on what happens to free variables during redction.

**lemma assumes**  $wf: wwf\text{-prog } P$   
**shows**  $Red\text{-fv}: P, E \vdash \langle e, (h, l) \rangle \rightarrow \langle e', (h', l') \rangle \implies fv e' \subseteq fv e$   
**and**  $P, E \vdash \langle es, (h, l) \rangle \xrightarrow{*} \langle es', (h', l') \rangle \implies fvs es' \subseteq fvs es$   
 $\langle proof \rangle$

**lemma** *Red-dom-lcl*:  
 $P, E \vdash \langle e, (h, l) \rangle \rightarrow \langle e', (h', l') \rangle \implies dom l' \subseteq dom l \cup fv e$  **and**  
 $P, E \vdash \langle es, (h, l) \rangle \xrightarrow{*} \langle es', (h', l') \rangle \implies dom l' \subseteq dom l \cup fvs es$

$\langle proof \rangle$

**lemma** *Reds-dom-lcl*:

$$[\![\textit{wwf-prog } P; P, E \vdash \langle e, (h, l) \rangle \rightarrow^* \langle e', (h', l') \rangle]\!] \implies \textit{dom } l' \subseteq \textit{dom } l \cup \textit{fv } e$$

*⟨proof⟩*

Now a few lemmas on the behaviour of blocks during reduction.

**lemma** *override-on-upd-lemma*:

$$(\text{override-on } f \ (g(a \rightarrow b)) \ A)(a := g \ a) = \text{override-on } f \ g \ (\text{insert } a \ A)$$

$\langle proof \rangle$

**declare fun-upd-apply[*simp del*] map-upds-twist[*simp del*]**

**lemma assumes** *wf:wf-prog wf-md P*

shows *blocksReds*:

$\bigwedge l_0 E \; vs'. \; \llbracket \; length \; Vs = length \; Ts; \; length \; vs = length \; Ts; \;$

*distinct Vs;  $\forall T \in \text{set} / Ts.$  *is-type*/P/T; P  $\vdash Ts$  Casts vs to vs'*

$$P, E(Vs \rightarrow Ts) \vdash \langle e, (h_0, l_0(Vs \rightarrow vs')) \rangle \rightarrow$$

$$\exists vs''. P, E \vdash \langle \text{blocks}(Vs, Ts, vs, e), (h_0, l_0) \rangle \rightarrow *$$

$\langle blocks(Vs, Ts, vs'', e'), (h_1, override-on\ l_1\ l_0\ (set\ Vs)) \rangle$

$$(\exists ws. P \vdash Ts \text{ Casts } vs'' \text{ to } ws) \wedge \text{length } vs = \text{length } vs''$$

*⟨proof⟩*

**lemma assumes**  $wf:wf\text{-}prog$   $wf\text{-}md$   $P$

**shows** *blocksFinal*:

$$\bigwedge E l vs'. \llbracket \text{length } Vs = \text{length } Ts; \text{length } vs = \text{length } Ts; \text{length } vs' = \text{length } Ts' \rrbracket$$

$\forall T \in \text{set} [Ts, \text{is-type } P / T, \text{final } e; P \vdash Ts \text{ Casts } vs \text{ to } vs'] \Rightarrow$

$$P, E \vdash \langle \text{blocks}(Vs, Ts, vs, e), (h, l) \rangle \rightarrow^* \langle e, (h, l) \rangle$$

$\langle proof \rangle$

**lemma assumes** *wfmd:wf-prog wf-md P*

and  $wf: length\ Vs = length\ Ts$   $length\ vs = length\ Ts$   $distinct\ Vs$

and  $\text{casts}:P \vdash Ts \text{ Casts } vs \text{ to } vs'$

and *reds*:  $P, E(Vs \rightarrow Ts) \vdash \langle e,$

and *fin*: final  $e'$  and *l2*:  $l_2 = \text{override-on } l_1 \ l_0$  (set  $Vs$ )

shows  $\text{blocksRedsFinal}: P, E \vdash \langle \text{blocks}(Vs, Ts, vs, e), (h_0, l_0) \rangle$

SHOW  $\text{tension}(x_1, x_2) = \text{tension}(15, 15, 0), (10, 10)) \rightarrow (0, (1, 1))$

\textit{Project /}

An now the actual method call reduction lemmas.

**lemma** *CallRedsObj*:

$$P, E \vdash \langle e, s \rangle \rightarrow^* \langle e', s' \rangle \implies P, E \vdash \langle \text{Call } e \text{ Copt } M es, s \rangle \rightarrow^* \langle \text{Call } e' \text{ Copt } M es, s' \rangle$$

$\langle proof \rangle$

**lemma** *CallRedsParams*:

$$P, E \vdash \langle es, s \rangle \xrightarrow{[\rightarrow]*} \langle es', s' \rangle \implies P, E \vdash \langle \text{Call } (\text{Val } v) \text{ Copt } M es, s \rangle \rightarrow^* \langle \text{Call } (\text{Val } v) \text{ Copt } M es', s' \rangle$$

$\langle proof \rangle$

**lemma** *cast-lcl*:

$$P, E \vdash \langle (\llbracket C \rrbracket (\text{Val } v), (h, l)) \rangle \rightarrow \langle \text{Val } v', (h, l) \rangle \implies P, E \vdash \langle (\llbracket C \rrbracket (\text{Val } v), (h, l')) \rangle \rightarrow \langle \text{Val } v', (h, l') \rangle$$

$\langle proof \rangle$

**lemma** *cast-env*:

$$P, E \vdash \langle (\llbracket C \rrbracket (\text{Val } v), (h, l)) \rangle \rightarrow \langle \text{Val } v', (h, l) \rangle \implies P, E' \vdash \langle (\llbracket C \rrbracket (\text{Val } v), (h, l)) \rangle \rightarrow \langle \text{Val } v', (h, l) \rangle$$

$\langle proof \rangle$

**lemma** *Cast-step-Cast-or-fin*:

$$P, E \vdash \langle (\llbracket C \rrbracket e, s) \rangle \rightarrow^* \langle e', s' \rangle \implies \text{final } e' \vee (\exists e''. e' = (\llbracket C \rrbracket e''))$$

$$\langle proof \rangle$$

**lemma** *Cast-red*:  $P, E \vdash \langle e, s \rangle \rightarrow^* \langle e', s' \rangle \implies$

$$(\bigwedge e_1. \llbracket e = (\llbracket C \rrbracket e_0; e' = (\llbracket C \rrbracket e_1) \rrbracket \implies P, E \vdash \langle e_0, s \rangle \rightarrow^* \langle e_1, s' \rangle)$$

$\langle proof \rangle$

**lemma** *Cast-final*:  $\llbracket P, E \vdash \langle (\llbracket C \rrbracket e, s) \rangle \rightarrow^* \langle e', s' \rangle; \text{final } e \rrbracket \implies$

$$\exists e'' s''. P, E \vdash \langle e, s \rangle \rightarrow^* \langle e'', s'' \rangle \wedge P, E \vdash \langle (\llbracket C \rrbracket e'', s'') \rangle \rightarrow \langle e', s' \rangle \wedge \text{final } e''$$

$\langle proof \rangle$

**lemma** *Cast-final-eq*:

$$\text{assumes red}: P, E \vdash \langle (\llbracket C \rrbracket e, (h, l)) \rangle \rightarrow \langle e', (h, l) \rangle$$

**and**  $\text{final:final } e$  **and**  $\text{final':final } e'$   
**shows**  $P, E' \vdash \langle (\|C\|e, (h, l')) \rightarrow \langle e', (h, l') \rangle \rangle$

$\langle proof \rangle$

**lemma**  $\text{CallRedsFinal}:$   
**assumes**  $\text{wwf: wwf-prog } P$   
**and**  $P, E \vdash \langle e, s_0 \rangle \rightarrow^* \langle \text{ref}(a, Cs), s_1 \rangle$   
 $P, E \vdash \langle es, s_1 \rangle [\rightarrow]^* \langle \text{map Val vs}, (h_2, l_2) \rangle$   
**and**  $\text{hp: } h_2 \ a = \text{Some}(C, S)$   
**and**  $\text{method: } P \vdash \text{last } Cs \text{ has least } M = (Ts', T', pns', \text{body}') \text{ via } Ds$   
**and**  $\text{select: } P \vdash (C, Cs @_p Ds) \text{ selects } M = (Ts, T, pns, \text{body}) \text{ via } Cs'$   
**and**  $\text{size: } \text{size vs} = \text{size pns}$   
**and**  $\text{casts: } P \vdash Ts \text{ Casts vs to vs'}$   
**and**  $l_2': l_2' = [\text{this} \mapsto \text{Ref}(a, Cs'), pns[\rightarrow] vs']$   
**and**  $\text{body-case:new-body} = (\text{case } T' \text{ of Class } D \Rightarrow (\|D\| \text{body} \mid - \Rightarrow \text{body}))$   
**and**  $\text{body: } P, E(\text{this} \mapsto \text{Class}(\text{last } Cs'), pns[\rightarrow] Ts) \vdash \langle \text{new-body}, (h_2, l_2') \rangle \rightarrow^* \langle ef, (h_3, l_3) \rangle$   
**and**  $\text{final:final } ef$   
**shows**  $P, E \vdash \langle e \cdot M(es), s_0 \rangle \rightarrow^* \langle ef, (h_3, l_2) \rangle$   
 $\langle proof \rangle$

**lemma**  $\text{StaticCallRedsFinal}:$   
**assumes**  $\text{wwf: wwf-prog } P$   
**and**  $P, E \vdash \langle e, s_0 \rangle \rightarrow^* \langle \text{ref}(a, Cs), s_1 \rangle$   
 $P, E \vdash \langle es, s_1 \rangle [\rightarrow]^* \langle \text{map Val vs}, (h_2, l_2) \rangle$   
**and**  $\text{path-unique: } P \vdash \text{Path}(\text{last } Cs) \text{ to } C \text{ unique}$   
**and**  $\text{path-via: } P \vdash \text{Path}(\text{last } Cs) \text{ to } C \text{ via } Cs''$   
**and**  $Ds: Ds = (Cs @_p Cs'') @_p Cs'$   
**and**  $\text{least: } P \vdash C \text{ has least } M = (Ts, T, pns, \text{body}) \text{ via } Cs'$   
**and**  $\text{size: } \text{size vs} = \text{size pns}$   
**and**  $\text{casts: } P \vdash Ts \text{ Casts vs to vs'}$   
**and**  $l_2': l_2' = [\text{this} \mapsto \text{Ref}(a, Ds), pns[\rightarrow] vs']$   
**and**  $\text{body: } P, E(\text{this} \mapsto \text{Class}(\text{last } Ds), pns[\rightarrow] Ts) \vdash \langle \text{body}, (h_2, l_2') \rangle \rightarrow^* \langle ef, (h_3, l_3) \rangle$   
**and**  $\text{final:final } ef$   
**shows**  $P, E \vdash \langle e \cdot (C::)M(es), s_0 \rangle \rightarrow^* \langle ef, (h_3, l_2) \rangle$   
 $\langle proof \rangle$

**lemma**  $\text{CallRedsThrowParams}:$   
 $\llbracket P, E \vdash \langle e, s_0 \rangle \rightarrow^* \langle \text{Val } v, s_1 \rangle;$   
 $P, E \vdash \langle es, s_1 \rangle [\rightarrow]^* \langle \text{map Val vs}_1 @_ \text{Throw ex} \# es_2, s_2 \rangle \rrbracket$   
 $\implies P, E \vdash \langle \text{Call } e \text{ Copt } M \text{ es}, s_0 \rangle \rightarrow^* \langle \text{Throw ex}, s_2 \rangle$

$\langle proof \rangle$

**lemma** *CallRedsThrowObj*:

$$P,E \vdash \langle e,s_0 \rangle \rightarrow^* \langle \text{Throw } ex,s_1 \rangle \implies P,E \vdash \langle \text{Call } e \text{ Copt } M es,s_0 \rangle \rightarrow^* \langle \text{Throw } ex,s_1 \rangle$$

$\langle proof \rangle$

**lemma** *CallRedsNull*:

$$\begin{aligned} & \llbracket P,E \vdash \langle e,s_0 \rangle \rightarrow^* \langle \text{null},s_1 \rangle; P,E \vdash \langle es,s_1 \rangle [\rightarrow]^* \langle \text{map Val } vs,s_2 \rangle \rrbracket \\ & \implies P,E \vdash \langle \text{Call } e \text{ Copt } M es,s_0 \rangle \rightarrow^* \langle \text{THROW NullPointer},s_2 \rangle \end{aligned}$$

$\langle proof \rangle$

## 19.16 The main Theorem

**lemma assumes** *wwf: wwf-prog P*

**shows** *big-by-small:*  $P,E \vdash \langle e,s \rangle \Rightarrow \langle e',s' \rangle \implies P,E \vdash \langle e,s \rangle \rightarrow^* \langle e',s' \rangle$   
**and** *bigs-by-smalls:*  $P,E \vdash \langle es,s \rangle \Rightarrow \langle es',s' \rangle \implies P,E \vdash \langle es,s \rangle [\rightarrow]^* \langle es',s' \rangle$

$\langle proof \rangle$

## 19.17 Big steps simulates small step

The big step equivalent of *RedWhile*:

**lemma** *unfold-while*:

$$P,E \vdash \langle \text{while}(b) c,s \rangle \Rightarrow \langle e',s' \rangle = P,E \vdash \langle \text{if}(b) (c;\text{while}(b) c) \text{ else (unit)},s \rangle \Rightarrow \langle e',s' \rangle$$

$\langle proof \rangle$

**lemma** *blocksEval*:

$$\begin{aligned} & \bigwedge Ts \text{ vs } l \text{ } l' \text{ E. } \llbracket \text{size } ps = \text{size } Ts; \text{size } ps = \text{size } vs; \\ & \quad P,E \vdash \langle \text{blocks}(ps,Ts,vs,e),(h,l) \rangle \Rightarrow \langle e',(h',l') \rangle \rrbracket \\ & \implies \exists l'' \text{ vs'. } P,E \vdash \langle e,(h,l(ps[\mapsto] vs')) \rangle \Rightarrow \langle e',(h',l'') \rangle \wedge \\ & \quad P \vdash Ts \text{ Casts vs to vs'} \wedge \text{length vs'} = \text{length vs} \end{aligned}$$

$\langle proof \rangle$

**lemma** *CastblocksEval*:

$$\bigwedge Ts \text{ vs } l \text{ } l' \text{ E. } \llbracket \text{size } ps = \text{size } Ts; \text{size } ps = \text{size } vs; \\ P,E \vdash \langle \langle C' \rangle \langle \text{blocks}(ps,Ts,vs,e),(h,l) \rangle \Rightarrow \langle e',(h',l') \rangle \rrbracket$$

$$\implies \exists l'' vs'. P, E(ps[\mapsto] Ts) \vdash \langle (C') e, (h, l(ps[\mapsto] vs')) \rangle \Rightarrow \langle e', (h', l'') \rangle \wedge \\ P \vdash Ts \text{ Casts } vs \text{ to } vs' \wedge \text{length } vs' = \text{length } vs$$

$\langle proof \rangle$

**lemma**

**assumes**  $wf: wwf\text{-prog } P$

**shows**  $eval\text{-restrict-lcl}:$

$$P, E \vdash \langle e, (h, l) \rangle \Rightarrow \langle e', (h', l') \rangle \implies (\bigwedge W. fv e \subseteq W \implies P, E \vdash \langle e, (h, l|`W) \rangle \Rightarrow \langle e', (h', l|`W) \rangle) \\ \text{and } P, E \vdash \langle es, (h, l) \rangle [\Rightarrow] \langle es', (h', l') \rangle \implies (\bigwedge W. fvs es \subseteq W \implies P, E \vdash \langle es, (h, l|`W) \rangle \\ [\Rightarrow] \langle es', (h', l|`W) \rangle)$$

$\langle proof \rangle$

**lemma**  $eval\text{-notfree-unchanged}:$

**assumes**  $wf: wwf\text{-prog } P$

**shows**  $P, E \vdash \langle e, (h, l) \rangle \Rightarrow \langle e', (h', l') \rangle \implies (\bigwedge V. V \notin fv e \implies l' V = l V)$

**and**  $P, E \vdash \langle es, (h, l) \rangle [\Rightarrow] \langle es', (h', l') \rangle \implies (\bigwedge V. V \notin fvs es \implies l' V = l V)$

$\langle proof \rangle$

**lemma**  $eval\text{-closed-lcl-unchanged}:$

**assumes**  $wf: wwf\text{-prog } P$

**and**  $eval: P, E \vdash \langle e, (h, l) \rangle \Rightarrow \langle e', (h', l') \rangle$

**and**  $fv: fv e = \{\}$

**shows**  $l' = l$

$\langle proof \rangle$

**declare**  $split\text{-paired-All} [simp del]$   $split\text{-paired-Ex} [simp del]$

$\langle ML \rangle$

**lemma**  $list\text{-eval-Throw}:$

**assumes**  $eval\text{-e}: P, E \vdash \langle \text{throw } x, s \rangle \Rightarrow \langle e', s' \rangle$

**shows**  $P, E \vdash \langle \text{map Val } vs @ \text{throw } x \# es', s \rangle [\Rightarrow] \langle \text{map Val } vs @ e' \# es', s' \rangle$

$\langle proof \rangle$

The key lemma:

**lemma**

**assumes** *wf*: *wwf-prog P*

**shows** *extend-1-eval*:

$P, E \vdash \langle e, s \rangle \rightarrow \langle e'', s'' \rangle \implies (\bigwedge s' e'. P, E \vdash \langle e'', s'' \rangle \Rightarrow \langle e', s' \rangle) \implies P, E \vdash \langle e, s \rangle \Rightarrow \langle e', s' \rangle$

**and** *extend-1-evals*:

$P, E \vdash \langle es, t \rangle [\rightarrow] \langle es'', t'' \rangle \implies (\bigwedge t' es'. P, E \vdash \langle es'', t'' \rangle [\Rightarrow] \langle es', t' \rangle) \implies P, E \vdash \langle es, t \rangle [\Rightarrow] \langle es', t' \rangle$

$\langle proof \rangle$

**declare** *split-paired-All* [*simp*] *split-paired-Ex* [*simp*]  
 $\langle ML \rangle$

Its extension to  $\rightarrow^*$ :

**lemma** *extend-eval*:

**assumes** *wf*: *wwf-prog P*

**and** *reds*:  $P, E \vdash \langle e, s \rangle \rightarrow^* \langle e'', s'' \rangle$  **and** *eval-rest*:  $P, E \vdash \langle e'', s'' \rangle \Rightarrow \langle e', s' \rangle$

**shows**  $P, E \vdash \langle e, s \rangle \Rightarrow \langle e', s' \rangle$

$\langle proof \rangle$

**lemma** *extend-evals*:

**assumes** *wf*: *wwf-prog P*

**and** *reds*:  $P, E \vdash \langle es, s \rangle [\rightarrow]^* \langle es'', s'' \rangle$  **and** *eval-rest*:  $P, E \vdash \langle es'', s'' \rangle [\Rightarrow] \langle es', s' \rangle$

**shows**  $P, E \vdash \langle es, s \rangle [\Rightarrow] \langle es', s' \rangle$

$\langle proof \rangle$

Finally, small step semantics can be simulated by big step semantics:

**theorem**

**assumes** *wf*: *wwf-prog P*

**shows** *small-by-big*:  $\llbracket P, E \vdash \langle e, s \rangle \rightarrow^* \langle e', s' \rangle; \text{final } e \rrbracket \implies P, E \vdash \langle e, s \rangle \Rightarrow \langle e', s' \rangle$

**and**  $\llbracket P, E \vdash \langle es, s \rangle [\rightarrow]^* \langle es', s' \rangle; \text{finals } es \rrbracket \implies P, E \vdash \langle es, s \rangle [\Rightarrow] \langle es', s' \rangle$

$\langle proof \rangle$

## 19.18 Equivalence

And now, the crowning achievement:

**corollary** *big-iff-small*:

*wwf-prog P*  $\implies$

$$P, E \vdash \langle e, s \rangle \Rightarrow \langle e', s' \rangle = (P, E \vdash \langle e, s \rangle \rightarrow^* \langle e', s' \rangle \wedge \text{final } e')$$

$\langle proof \rangle$

**end**

## 20 Definite assignment

```
theory DefAss
imports BigStep
begin
```

### 20.1 Hypersets

**type-synonym**  $\text{hyperset} = \text{vname set option}$

**definition**  $\text{hyperUn} :: \text{hyperset} \Rightarrow \text{hyperset} \Rightarrow \text{hyperset}$  (**infixl**  $\sqcup$  65) **where**  
 $A \sqcup B \equiv \text{case } A \text{ of } \text{None} \Rightarrow \text{None}$   
 $| [A] \Rightarrow (\text{case } B \text{ of } \text{None} \Rightarrow \text{None} | [B] \Rightarrow [A \cup B])$

**definition**  $\text{hyperInt} :: \text{hyperset} \Rightarrow \text{hyperset} \Rightarrow \text{hyperset}$  (**infixl**  $\sqcap$  70) **where**  
 $A \sqcap B \equiv \text{case } A \text{ of } \text{None} \Rightarrow B$   
 $| [A] \Rightarrow (\text{case } B \text{ of } \text{None} \Rightarrow [A] | [B] \Rightarrow [A \cap B])$

**definition**  $\text{hyperDiff1} :: \text{hyperset} \Rightarrow \text{vname} \Rightarrow \text{hyperset}$  (**infixl**  $\ominus$  65) **where**  
 $A \ominus a \equiv \text{case } A \text{ of } \text{None} \Rightarrow \text{None} | [A] \Rightarrow [A - \{a\}]$

**definition**  $\text{hyper-isin} :: \text{vname} \Rightarrow \text{hyperset} \Rightarrow \text{bool}$  (**infix**  $\in$  50) **where**  
 $a \in A \equiv \text{case } A \text{ of } \text{None} \Rightarrow \text{True} | [A] \Rightarrow a \in A$

**definition**  $\text{hyper-subset} :: \text{hyperset} \Rightarrow \text{hyperset} \Rightarrow \text{bool}$  (**infix**  $\sqsubseteq$  50) **where**  
 $A \sqsubseteq B \equiv \text{case } B \text{ of } \text{None} \Rightarrow \text{True}$   
 $| [B] \Rightarrow (\text{case } A \text{ of } \text{None} \Rightarrow \text{False} | [A] \Rightarrow A \subseteq B)$

**lemmas**  $\text{hyperset-defs} =$   
 $\text{hyperUn-def } \text{hyperInt-def } \text{hyperDiff1-def } \text{hyper-isin-def } \text{hyper-subset-def}$

**lemma** [*simp*]:  $[\{\}] \sqcup A = A \wedge A \sqcup [\{\}] = A$   
 $\langle proof \rangle$

**lemma** [*simp*]:  $[A] \sqcup [B] = [A \cup B] \wedge [A] \ominus a = [A - \{a\}]$   
 $\langle proof \rangle$

**lemma** [*simp*]:  $\text{None} \sqcup A = \text{None} \wedge A \sqcup \text{None} = \text{None}$   
 $\langle proof \rangle$

**lemma** [*simp*]:  $a \in \text{None} \wedge \text{None} \ominus a = \text{None}$   
 $\langle proof \rangle$

**lemma** *hyperUn-assoc*:  $(A \sqcup B) \sqcup C = A \sqcup (B \sqcup C)$   
*⟨proof⟩*

**lemma** *hyper-insert-comm*:  $A \sqcup [\{a\}] = [\{a\}] \sqcup A \wedge A \sqcup ([\{a\}] \sqcup B) = [\{a\}] \sqcup (A \sqcup B)$   
*⟨proof⟩*

## 20.2 Definite assignment

**primrec**  $\mathcal{A} :: expr \Rightarrow hyperset$  **and**  $\mathcal{As} :: expr list \Rightarrow hyperset$  **where**

$$\begin{aligned} \mathcal{A}(\text{new } C) &= [\{\}] \mid \\ \mathcal{A}(\text{Cast } C e) &= \mathcal{A} e \mid \\ \mathcal{A}(\text{if } C \text{ } e) &= \mathcal{A} e \mid \\ \mathcal{A}(\text{Val } v) &= [\{\}] \mid \\ \mathcal{A}(e_1 \text{ ``bop'' } e_2) &= \mathcal{A} e_1 \sqcup \mathcal{A} e_2 \mid \\ \mathcal{A}(\text{Var } V) &= [\{\}] \mid \\ \mathcal{A}(\text{LAss } V e) &= [\{V\}] \sqcup \mathcal{A} e \mid \\ \mathcal{A}(e \cdot F\{Cs\}) &= \mathcal{A} e \mid \\ \mathcal{A}(e_1 \cdot F\{Cs\} := e_2) &= \mathcal{A} e_1 \sqcup \mathcal{A} e_2 \mid \\ \mathcal{A}(\text{Call } e \text{ Copt } M es) &= \mathcal{A} e \sqcup \mathcal{As} es \mid \\ \mathcal{A}(\{V:T; e\}) &= \mathcal{A} e \ominus V \mid \\ \mathcal{A}(e_1;; e_2) &= \mathcal{A} e_1 \sqcup \mathcal{A} e_2 \mid \\ \mathcal{A}(\text{if } (e) e_1 \text{ else } e_2) &= \mathcal{A} e \sqcup (\mathcal{A} e_1 \sqcap \mathcal{A} e_2) \mid \\ \mathcal{A}(\text{while } (b) e) &= \mathcal{A} b \mid \\ \mathcal{A}(\text{throw } e) &= \text{None} \mid \\ \\ \mathcal{As}([]) &= [\{\}] \mid \\ \mathcal{As}(e \# es) &= \mathcal{A} e \sqcup \mathcal{As} es \end{aligned}$$

**primrec**  $\mathcal{D} :: expr \Rightarrow hyperset \Rightarrow \text{bool}$  **and**  $\mathcal{Ds} :: expr list \Rightarrow hyperset \Rightarrow \text{bool}$   
**where**

$$\begin{aligned} \mathcal{D}(\text{new } C) A &= \text{True} \mid \\ \mathcal{D}(\text{Cast } C e) A &= \mathcal{D} e A \mid \\ \mathcal{D}(\text{if } C \text{ } e) A &= \mathcal{D} e A \mid \\ \mathcal{D}(\text{Val } v) A &= \text{True} \mid \\ \mathcal{D}(e_1 \text{ ``bop'' } e_2) A &= (\mathcal{D} e_1 A \wedge \mathcal{D} e_2 (A \sqcup \mathcal{A} e_1)) \mid \\ \mathcal{D}(\text{Var } V) A &= (V \in \in A) \mid \\ \mathcal{D}(\text{LAss } V e) A &= \mathcal{D} e A \mid \\ \mathcal{D}(e \cdot F\{Cs\}) A &= \mathcal{D} e A \mid \\ \mathcal{D}(e_1 \cdot F\{Cs\} := e_2) A &= (\mathcal{D} e_1 A \wedge \mathcal{D} e_2 (A \sqcup \mathcal{A} e_1)) \mid \\ \mathcal{D}(\text{Call } e \text{ Copt } M es) A &= (\mathcal{D} e A \wedge \mathcal{Ds} es (A \sqcup \mathcal{A} e)) \mid \\ \mathcal{D}(\{V:T; e\}) A &= \mathcal{D} e (A \ominus V) \mid \\ \mathcal{D}(e_1;; e_2) A &= (\mathcal{D} e_1 A \wedge \mathcal{D} e_2 (A \sqcup \mathcal{A} e_1)) \mid \\ \mathcal{D}(\text{if } (e) e_1 \text{ else } e_2) A &= \\ &(\mathcal{D} e A \wedge \mathcal{D} e_1 (A \sqcup \mathcal{A} e) \wedge \mathcal{D} e_2 (A \sqcup \mathcal{A} e)) \mid \\ \mathcal{D}(\text{while } (e) c) A &= (\mathcal{D} e A \wedge \mathcal{D} c (A \sqcup \mathcal{A} e)) \mid \\ \mathcal{D}(\text{throw } e) A &= \mathcal{D} e A \mid \\ \\ \mathcal{Ds}([]) A &= \text{True} \mid \end{aligned}$$

$\mathcal{D}s (e \# es) A = (\mathcal{D} e A \wedge \mathcal{D}s es (A \sqcup \mathcal{A} e))$

**lemma** *As-map-Val[simp]*:  $\mathcal{A}s (map Val vs) = [\{\}]$   
 $\langle proof \rangle$

**lemma** *D-append[iff]*:  $\bigwedge A. \mathcal{D}s (es @ es') A = (\mathcal{D}s es A \wedge \mathcal{D}s es' (A \sqcup \mathcal{A}s es))$   
 $\langle proof \rangle$

**lemma** *A-fv*:  $\bigwedge A. \mathcal{A} e = [A] \implies A \subseteq fv e$   
**and**  $\bigwedge A. \mathcal{A}s es = [A] \implies A \subseteq fvs es$

$\langle proof \rangle$

**lemma** *sqUn-lem*:  $A \sqsubseteq A' \implies A \sqcup B \sqsubseteq A' \sqcup B$   
 $\langle proof \rangle$

**lemma** *diff-lem*:  $A \sqsubseteq A' \implies A \ominus b \sqsubseteq A' \ominus b$   
 $\langle proof \rangle$

**lemma** *D-mono*:  $\bigwedge A A'. A \sqsubseteq A' \implies \mathcal{D} e A \implies \mathcal{D} (e::expr) A'$   
**and** *Ds-mono*:  $\bigwedge A A'. A \sqsubseteq A' \implies \mathcal{D}s es A \implies \mathcal{D}s (es::expr list) A'$

$\langle proof \rangle$

**lemma** *D-mono'*:  $\mathcal{D} e A \implies A \sqsubseteq A' \implies \mathcal{D} e A'$   
**and** *Ds-mono'*:  $\mathcal{D}s es A \implies A \sqsubseteq A' \implies \mathcal{D}s es A'$   
 $\langle proof \rangle$

**end**

## 21 Runtime Well-typedness

**theory** *WellTypeRT* **imports** *WellType* **begin**

### 21.1 Run time types

```
primrec typeof-h :: prog ⇒ heap ⇒ val ⇒ ty option (‐‐ ⊢ typeof‐‐) where
  P ⊢ typeof-h Unit      = Some Void
  | P ⊢ typeof-h Null     = Some NT
  | P ⊢ typeof-h (Bool b) = Some Boolean
  | P ⊢ typeof-h (Intg i) = Some Integer
  | P ⊢ typeof-h (Ref r)  = (case h (the-addr (Ref r)) of None ⇒ None
                                | Some(C,S) ⇒ (if Subobjs P C (the-path(Ref r)) then
```

$$\begin{aligned} & \text{Some}(\text{Class}(\text{last}(\text{the-path}(\text{Ref } r)))) \\ & \quad \text{else } \text{None}) \end{aligned}$$

**lemma** *typeof-eq-type*:  $\text{typeof } v = \text{Some } T \implies P \vdash \text{typeof}_h v = \text{Some } T$   
*(proof)*

**lemma** *typeof-Void* [simp]:  $P \vdash \text{typeof}_h v = \text{Some } \text{Void} \implies v = \text{Unit}$   
*(proof)*

**lemma** *typeof-NT* [simp]:  $P \vdash \text{typeof}_h v = \text{Some } NT \implies v = \text{Null}$   
*(proof)*

**lemma** *typeof-Boolean* [simp]:  $P \vdash \text{typeof}_h v = \text{Some } \text{Boolean} \implies \exists b. v = \text{Bool } b$   
*(proof)*

**lemma** *typeof-Integer* [simp]:  $P \vdash \text{typeof}_h v = \text{Some } \text{Integer} \implies \exists i. v = \text{Intg } i$   
*(proof)*

**lemma** *typeof-Class-Subo*:  
 $P \vdash \text{typeof}_h v = \text{Some } (\text{Class } C) \implies$   
 $\exists a Cs D S. v = \text{Ref}(a,Cs) \wedge h a = \text{Some}(D,S) \wedge \text{Subobjs } P D Cs \wedge \text{last } Cs = C$   
*(proof)*

## 21.2 The rules

**inductive**

$WTrt :: [\text{prog}, \text{env}, \text{heap}, \text{expr}, \text{ty}] \Rightarrow \text{bool}$   
 $(\langle \cdot, \cdot, \cdot \rangle \vdash \cdot : \rightarrow [51, 51, 51] 50)$

**and**  $WTrts :: [\text{prog}, \text{env}, \text{heap}, \text{expr list}, \text{ty list}] \Rightarrow \text{bool}$   
 $(\langle \cdot, \cdot, \cdot \rangle \vdash \cdot [:] \rightarrow [51, 51, 51] 50)$

**for**  $P :: \text{prog}$

**where**

$WTrtNew:$   
 $\text{is-class } P C \implies$   
 $P, E, h \vdash \text{new } C : \text{Class } C$

|  $WTrtDynCast:$   
 $\llbracket P, E, h \vdash e : T; \text{is-refT } T; \text{is-class } P C \rrbracket$   
 $\implies P, E, h \vdash \text{Cast } C e : \text{Class } C$

|  $WTrtStaticCast:$   
 $\llbracket P, E, h \vdash e : T; \text{is-refT } T; \text{is-class } P C \rrbracket$   
 $\implies P, E, h \vdash \langle C \rangle e : \text{Class } C$

|  $WTrtVal:$   
 $P \vdash \text{typeof}_h v = \text{Some } T \implies$   
 $P, E, h \vdash \text{Val } v : T$

|  $WTrtVar$ :  
 $E V = Some\ T \implies P,E,h \vdash Var\ V : T$

|  $WTrtBinOp$ :  
 $\llbracket P,E,h \vdash e_1 : T_1; P,E,h \vdash e_2 : T_2;$   
 $case\ bop\ of\ Eq \Rightarrow T = Boolean$   
 $| Add \Rightarrow T_1 = Integer \wedge T_2 = Integer \wedge T = Integer \rrbracket$   
 $\implies P,E,h \vdash e_1 \llbracket bop \rrbracket e_2 : T$

|  $WTrtLAss$ :  
 $\llbracket E V = Some\ T; P,E,h \vdash e : T'; P \vdash T' \leq T \rrbracket$   
 $\implies P,E,h \vdash V := e : T$

|  $WTrtFAcc$ :  
 $\llbracket P,E,h \vdash e : Class\ C; Cs \neq [] ; P \vdash C\ has\ least\ F:T\ via\ Cs \rrbracket$   
 $\implies P,E,h \vdash e \cdot F\{Cs\} : T$

|  $WTrtFAccNT$ :  
 $P,E,h \vdash e : NT \implies P,E,h \vdash e \cdot F\{Cs\} : T$

|  $WTrtFAss$ :  
 $\llbracket P,E,h \vdash e_1 : Class\ C; Cs \neq [] ;$   
 $P \vdash C\ has\ least\ F:T\ via\ Cs; P,E,h \vdash e_2 : T'; P \vdash T' \leq T \rrbracket$   
 $\implies P,E,h \vdash e_1 \cdot F\{Cs\} := e_2 : T$

|  $WTrtFAssNT$ :  
 $\llbracket P,E,h \vdash e_1 : NT; P,E,h \vdash e_2 : T'; P \vdash T' \leq T \rrbracket$   
 $\implies P,E,h \vdash e_1 \cdot F\{Cs\} := e_2 : T$

|  $WTrtCall$ :  
 $\llbracket P,E,h \vdash e : Class\ C; P \vdash C\ has\ least\ M = (Ts,T,m)\ via\ Cs;$   
 $P,E,h \vdash es[:] Ts'; P \vdash Ts'[\leq] Ts \rrbracket$   
 $\implies P,E,h \vdash e \cdot M(es) : T$

|  $WTrtStaticCall$ :  
 $\llbracket P,E,h \vdash e : Class\ C'; P \vdash Path\ C'\ to\ C\ unique;$   
 $P \vdash C\ has\ least\ M = (Ts,T,m)\ via\ Cs;$   
 $P,E,h \vdash es[:] Ts'; P \vdash Ts'[\leq] Ts \rrbracket$   
 $\implies P,E,h \vdash e \cdot (C::)M(es) : T$

|  $WTrtCallNT$ :  
 $\llbracket P,E,h \vdash e : NT; P,E,h \vdash es[:] Ts \rrbracket \implies P,E,h \vdash Call\ e\ Copt\ M\ es : T$

|  $WTrtBlock$ :  
 $\llbracket P,E(V \mapsto T),h \vdash e : T'; is-type\ P\ T \rrbracket \implies$   
 $P,E,h \vdash \{V:T; e\} : T'$

```

|  $WTrtSeq$ :
   $\llbracket P, E, h \vdash e_1 : T_1; \quad P, E, h \vdash e_2 : T_2 \rrbracket \implies P, E, h \vdash e_1; e_2 : T_2$ 

|  $WTrtCond$ :
   $\llbracket P, E, h \vdash e : Boolean; \quad P, E, h \vdash e_1 : T; \quad P, E, h \vdash e_2 : T \rrbracket \implies P, E, h \vdash \text{if } (e) \ e_1 \ \text{else } e_2 : T$ 

|  $WTrtWhile$ :
   $\llbracket P, E, h \vdash e : Boolean; \quad P, E, h \vdash c : T \rrbracket \implies P, E, h \vdash \text{while}(e) \ c : \text{Void}$ 

|  $WTrtThrow$ :
   $\llbracket P, E, h \vdash e : T'; \quad \text{is-ref}T \ T' \rrbracket \implies P, E, h \vdash \text{throw } e : T$ 

|  $WTrtNil$ :
   $P, E, h \vdash [] [:] []$ 

|  $WTrtCons$ :
   $\llbracket P, E, h \vdash e : T; \quad P, E, h \vdash es [:] Ts \rrbracket \implies P, E, h \vdash e \# es [:] T \# Ts$ 

```

```

declare
   $WTrt$ - $WTrts.intros[intro!]$ 
   $WTrtNil[iff]$ 
declare
   $WTrtFAcc[rule del]$   $WTrtFAccNT[rule del]$ 
   $WTrtFAss[rule del]$   $WTrtFAssNT[rule del]$ 
   $WTrtCall[rule del]$   $WTrtCallNT[rule del]$ 

lemmas  $WTrt\text{-induct} = WTrt$ - $WTrts.induct$  [split-format (complete)]
and  $WTrt\text{-inducts} = WTrt$ - $WTrts.inducts$  [split-format (complete)]

```

### 21.3 Easy consequences

**inductive-simps [iff]:**

```

 $P, E, h \vdash [] [:] Ts$ 
 $P, E, h \vdash e \# es [:] T \# Ts$ 
 $P, E, h \vdash (e \# es) [:] Ts$ 
 $P, E, h \vdash \text{Val } v : T$ 
 $P, E, h \vdash \text{Var } V : T$ 
 $P, E, h \vdash e_1; e_2 : T_2$ 
 $P, E, h \vdash \{V; T; e\} : T'$ 

```

**lemma [simp]:**  $\forall Ts. (P, E, h \vdash es_1 @ es_2 [:] Ts) =$

$$(\exists Ts_1 \ Ts_2. \ Ts = Ts_1 @ Ts_2 \wedge P,E,h \vdash es_1 [:] Ts_1 \ \& \ P,E,h \vdash es_2 [:] Ts_2)$$

$\langle proof \rangle$

**inductive-cases**  $WTrt\text{-elim-cases}[elim!]$ :

$$\begin{aligned} &P,E,h \vdash new \ C : T \\ &P,E,h \vdash Cast \ C \ e : T \\ &P,E,h \vdash (\lambda C) e : T \\ &P,E,h \vdash e_1 \ «bop» \ e_2 : T \\ &P,E,h \vdash V := e : T \\ &P,E,h \vdash e \cdot F\{Cs\} : T \\ &P,E,h \vdash e \cdot F\{Cs\} := v : T \\ &P,E,h \vdash e \cdot M(es) : T \\ &P,E,h \vdash e \cdot (C::)M(es) : T \\ &P,E,h \vdash if \ (e) \ e_1 \ else \ e_2 : T \\ &P,E,h \vdash while(e) \ c : T \\ &P,E,h \vdash throw \ e : T \end{aligned}$$

## 21.4 Some interesting lemmas

**lemma**  $WTrts\text{-Val}[simp]$ :

$$\bigwedge Ts. (P,E,h \vdash map \ Val \ vs [:] Ts) = (map \ (\lambda v. (P \vdash typeof_h \ v) \ vs) = map \ Some \ Ts)$$

$\langle proof \rangle$

**lemma**  $WTrts\text{-same-length}$ :  $\bigwedge Ts. P,E,h \vdash es [:] Ts \implies \text{length } es = \text{length } Ts$

$\langle proof \rangle$

**lemma**  $WTrt\text{-env-mono}$ :

$$\begin{aligned} &P,E,h \vdash e : T \implies (\bigwedge E'. E \subseteq_m E' \implies P,E',h \vdash e : T) \text{ and} \\ &P,E,h \vdash es [:] Ts \implies (\bigwedge E'. E \subseteq_m E' \implies P,E',h \vdash es [:] Ts) \end{aligned}$$

$\langle proof \rangle$

**lemma**  $WT\text{-implies-}WTrt$ :  $P,E \vdash e :: T \implies P,E,h \vdash e : T$

**and**  $WTs\text{-implies-}WTrts$ :  $P,E \vdash es [::] Ts \implies P,E,h \vdash es [:] Ts$

$\langle proof \rangle$

**end**

## 22 Conformance Relations for Proofs

```

theory Conform
imports Exceptions WellTypeRT
begin

primrec conf :: prog ⇒ heap ⇒ val ⇒ ty ⇒ bool ((-, - ⊢ - : ≤ → [51, 51, 51, 51] 50) where
  P, h ⊢ v : ≤ Void = (P ⊢ typeofh v = Some Void)
  | P, h ⊢ v : ≤ Boolean = (P ⊢ typeofh v = Some Boolean)
  | P, h ⊢ v : ≤ Integer = (P ⊢ typeofh v = Some Integer)
  | P, h ⊢ v : ≤ NT = (P ⊢ typeofh v = Some NT)
  | P, h ⊢ v : ≤ (Class C) = (P ⊢ typeofh v = Some(Class C)) ∨ P ⊢ typeofh v = Some NT)

definition fconf :: prog ⇒ heap ⇒ ('a → val) ⇒ ('a → ty) ⇒ bool ((-, - ⊢ - '(: ≤') → [51, 51, 51, 51] 50) where
  P, h ⊢ vm (: ≤) Tm ≡
    ∀ FD T. Tm FD = Some T → (∃ v. vm FD = Some v ∧ P, h ⊢ v : ≤ T)

definition oconf :: prog ⇒ heap ⇒ obj ⇒ bool ((-, - ⊢ - √ [51, 51, 51] 50) where
  P, h ⊢ obj √ ≡ let (C, S) = obj in
    (∀ Cs. Subobjs P C Cs → (∃! fs'. (Cs, fs') ∈ S)) ∧
    (∀ Cs fs'. (Cs, fs') ∈ S → Subobjs P C Cs ∧
      (∃ fs Bs ms. class P (last Cs) = Some (Bs, fs, ms)) ∧
      P, h ⊢ fs' (: ≤) map-of fs))

definition hconf :: prog ⇒ heap ⇒ bool ((-, - ⊢ - √ [51, 51] 50) where
  P ⊢ h √ ≡
    (∀ a obj. h a = Some obj → P, h ⊢ obj √) ∧ preallocated h

definition lconf :: prog ⇒ heap ⇒ ('a → val) ⇒ ('a → ty) ⇒ bool ((-, - ⊢ - '(: ≤') → [51, 51, 51, 51] 50) where
  P, h ⊢ vm (: ≤)w Tm ≡
    ∀ V v. vm V = Some v → (∃ T. Tm V = Some T ∧ P, h ⊢ v : ≤ T)

```

### abbreviation

```

confs :: prog ⇒ heap ⇒ val list ⇒ ty list ⇒ bool
  ((-, - ⊢ - [: ≤] → [51, 51, 51, 51] 50) where
  P, h ⊢ vs [: ≤] Ts ≡ list-all2 (conf P h) vs Ts

```

### 22.1 Value conformance :≤

**lemma** *conf-Null* [*simp*]: P, h ⊢ Null :≤ T = P ⊢ NT ≤ T  
*{proof}*

**lemma** *typeof-conf* [*simp*]: P ⊢ typeof<sub>h</sub> v = Some T ⇒ P, h ⊢ v :≤ T  
*{proof}*

**lemma** *typeof-lit-conf*[simp]:  $\text{typeof } v = \text{Some } T \implies P,h \vdash v : \leq T$   
 $\langle \text{proof} \rangle$

**lemma** *defval-conf*[simp]: *is-type*  $P \ T \implies P,h \vdash \text{default-val } T : \leq T$   
 $\langle \text{proof} \rangle$

**lemma** *typeof-notclass-heap*:  
 $\forall C. \ T \neq \text{Class } C \implies (P \vdash \text{typeof}_h v = \text{Some } T) = (P \vdash \text{typeof}_{h'} v = \text{Some } T)$   
 $\langle \text{proof} \rangle$

**lemma assumes**  $h:h \ a = \text{Some}(C,S)$   
**shows** *conf-upd-obj*:  $(P,h(a \mapsto (C,S')) \vdash v : \leq T) = (P,h \vdash v : \leq T)$   
 $\langle \text{proof} \rangle$

**lemma** *conf-NT* [iff]:  $P,h \vdash v : \leq NT = (v = \text{Null})$   
 $\langle \text{proof} \rangle$

## 22.2 Value list conformance $[\leq]$

**lemma** *confs-rev*:  $P,h \vdash \text{rev } s : \leq t = (P,h \vdash s : \leq \text{rev } t)$   
 $\langle \text{proof} \rangle$

**lemma** *confs-Cons2*:  $P,h \vdash xs : \leq y \# ys = (\exists z zs. \ xs = z \# zs \wedge P,h \vdash z : \leq y \wedge P,h \vdash zs : \leq ys)$   
 $\langle \text{proof} \rangle$

## 22.3 Field conformance $(\leq)$

**lemma** *fconf-init-fields*:  
 $\text{class } P \ C = \text{Some}(Bs,fs,ms) \implies P,h \vdash \text{init-class-fieldmap } P \ C \ (\leq) \ \text{map-of } fs$   
 $\langle \text{proof} \rangle$

## 22.4 Heap conformance

**lemma** *hconfD*:  $\llbracket P \vdash h \checkmark; h \ a = \text{Some } obj \rrbracket \implies P,h \vdash obj \checkmark$   
 $\langle \text{proof} \rangle$

**lemma** *hconf-Subobjs*:  
 $\llbracket h \ a = \text{Some}(C,S); (Cs, fs) \in S; P \vdash h \checkmark \rrbracket \implies \text{Subobjs } P \ C \ Cs$

$\langle proof \rangle$

## 22.5 Local variable conformance

**lemma** *lconf-upd*:

$$[\![ P,h \vdash l (: \leq)_w E; P,h \vdash v : \leq T; E V = \text{Some } T ]\!] \implies P,h \vdash l(V \mapsto v) (: \leq)_w E$$

$\langle proof \rangle$

**lemma** *lconf-empty*[iff]:  $P,h \vdash \text{Map.empty} (: \leq)_w E$   
 $\langle proof \rangle$

**lemma** *lconf-upd2*:  $[\![ P,h \vdash l (: \leq)_w E; P,h \vdash v : \leq T ]\!] \implies P,h \vdash l(V \mapsto v) (: \leq)_w E(V \mapsto T)$

$\langle proof \rangle$

## 22.6 Environment conformance

**definition** *envconf* ::  $prog \Rightarrow env \Rightarrow \text{bool}$  ( $\langle \cdot \vdash \cdot \rangle [51,51] 50$ ) **where**  
 $P \vdash E \checkmark \equiv \forall V T. E V = \text{Some } T \longrightarrow \text{is-type } P T$

## 22.7 Type conformance

**primrec**

$$\begin{aligned} \text{type-conf} :: prog \Rightarrow env \Rightarrow heap \Rightarrow expr \Rightarrow ty \Rightarrow \text{bool} \\ (\langle \cdot \vdash \cdot \rangle : NT \rightarrow [51,51,51] 50) \end{aligned}$$

**where**

$$\begin{aligned} \text{type-conf-Void: } & P,E,h \vdash e :_{NT} \text{Void} \longleftrightarrow (P,E,h \vdash e : \text{Void}) \\ | \text{type-conf-Boolean: } & P,E,h \vdash e :_{NT} \text{Boolean} \longleftrightarrow (P,E,h \vdash e : \text{Boolean}) \\ | \text{type-conf-Integer: } & P,E,h \vdash e :_{NT} \text{Integer} \longleftrightarrow (P,E,h \vdash e : \text{Integer}) \\ | \text{type-conf-NT: } & P,E,h \vdash e :_{NT} NT \longleftrightarrow (P,E,h \vdash e : NT) \\ | \text{type-conf-Class: } & P,E,h \vdash e :_{NT} \text{Class } C \longleftrightarrow \\ & (P,E,h \vdash e : \text{Class } C \vee P,E,h \vdash e : NT) \end{aligned}$$

**fun**

$$\begin{aligned} \text{types-conf} :: prog \Rightarrow env \Rightarrow heap \Rightarrow expr \text{ list} \Rightarrow ty \text{ list} \Rightarrow \text{bool} \\ (\langle \cdot \vdash \cdot \rangle : [] NT \rightarrow [51,51,51] 50) \end{aligned}$$

**where**

$$\begin{aligned} P,E,h \vdash [] : [] NT [] \longleftrightarrow \text{True} \\ | P,E,h \vdash (e \# es) : [] NT (T \# Ts) \longleftrightarrow \\ & (P,E,h \vdash e :_{NT} T \wedge P,E,h \vdash es : [] NT Ts) \\ | P,E,h \vdash es : [] NT Ts \longleftrightarrow \text{False} \end{aligned}$$

**lemma** *wt-same-type-typeconf*:

$$P,E,h \vdash e : T \implies P,E,h \vdash e :_{NT} T$$

$\langle proof \rangle$

**lemma** *wts-same-types-typesconf*:

$P, E, h \vdash es [:] Ts \implies \text{types-conf } P E h es Ts$   
 $\langle proof \rangle$

**lemma** *types-conf-smaller-types*:  
 $\bigwedge es Ts. [\![\text{length } es = \text{length } Ts'; \text{types-conf } P E h es Ts'; P \vdash Ts' \leq Ts]\!] \implies \exists Ts''. P, E, h \vdash es [:] Ts'' \wedge P \vdash Ts'' \leq Ts$

$\langle proof \rangle$

**end**

## 23 Progress of Small Step Semantics

**theory** *Progress imports Equivalence DefAss Conform begin*

### 23.1 Some pre-definitions

**lemma** *final-refE*:  
 $\big[ \![ P, E, h \vdash e : \text{Class } C; \text{final } e; \big( \bigwedge r. e = \text{ref } r \implies Q; \bigwedge r. e = \text{Throw } r \implies Q \big) \big] \!] \implies Q$   
 $\langle proof \rangle$

**lemma** *finalRefE*:  
 $\big[ \![ P, E, h \vdash e : T; \text{is-refT } T; \text{final } e; e = \text{null} \implies Q; \big( \bigwedge r. e = \text{ref } r \implies Q; \bigwedge r. e = \text{Throw } r \implies Q \big) \big] \!] \implies Q$

$\langle proof \rangle$

**lemma** *subE*:  
 $\big[ \![ P \vdash T \leq T'; \text{is-type } P T'; \text{wf-prog wf-md } P; \big( \big[ \![ T = T'; \forall C. T \neq \text{Class } C ] \!] \implies Q; \bigwedge C D. \big[ \![ T = \text{Class } C; T' = \text{Class } D; P \vdash \text{Path } C \text{ to } D \text{ unique} ] \!] \implies Q; \bigwedge C. \big[ \![ T = NT; T' = \text{Class } C ] \!] \implies Q \big) \big] \!] \implies Q$

$\langle proof \rangle$

**lemma assumes** *wf:wf-prog wf-md P*  
**and** *typeof: P ⊢ typeof<sub>h</sub> v = Some T'*  
**and** *type:is-type P T*

**shows** *sub-casts*:  $P \vdash T' \leq T \implies \exists v'. P \vdash T \text{ casts } v \text{ to } v'$

$\langle proof \rangle$

Derivation of new induction scheme for well typing:

**inductive**

$WTrt' :: [prog, env, heap, expr, ty] \Rightarrow \text{bool}$   
 $(\langle \cdot, \cdot, \cdot \vdash \cdot : \cdot \rangle \rightarrow [51, 51, 51] 50)$

**and**  $WTrts' :: [prog, env, heap, expr list, ty list] \Rightarrow \text{bool}$   
 $(\langle \cdot, \cdot, \cdot \vdash \cdot : \cdot \rangle \rightarrow [51, 51, 51] 50)$

**for**  $P :: \text{prog}$

**where**

$\text{is-class } P C \implies P, E, h \vdash \text{new } C :' \text{Class } C$   
 $| \llbracket \text{is-class } P C; P, E, h \vdash e :' T; \text{is-refT } T \rrbracket$

$\implies P, E, h \vdash \text{Cast } C e :' \text{Class } C$

$| \llbracket \text{is-class } P C; P, E, h \vdash e :' T; \text{is-refT } T \rrbracket$   
 $\implies P, E, h \vdash (\text{C})e :' \text{Class } C$

$| P \vdash \text{typeof}_h v = \text{Some } T \implies P, E, h \vdash \text{Val } v :' T$

$| E V = \text{Some } T \implies P, E, h \vdash \text{Var } V :' T$

$| \llbracket P, E, h \vdash e_1 :' T_1; P, E, h \vdash e_2 :' T_2;$   
 $\text{case bop of Eq} \Rightarrow T = \text{Boolean}$

$| \text{Add} \Rightarrow T_1 = \text{Integer} \wedge T_2 = \text{Integer} \wedge T = \text{Integer} \rrbracket$

$\implies P, E, h \vdash e_1 \llcorner \text{bop} \lrcorner e_2 :' T$

$| \llbracket P, E, h \vdash e :' T; P, E, h \vdash e :' T' \not\sim \text{Type}; P \vdash T' \leq T \rrbracket$   
 $\implies P, E, h \vdash V := e :' T$

$| \llbracket P, E, h \vdash e :' \text{Class } C; Cs \neq []; P \vdash C \text{ has least } F:T \text{ via } Cs \rrbracket$   
 $\implies P, E, h \vdash e.F\{Cs\} :' T$

$| P, E, h \vdash e :' NT \implies P, E, h \vdash e.F\{Cs\} :' T$

$| \llbracket P, E, h \vdash e_1 :' \text{Class } C; Cs \neq []; P \vdash C \text{ has least } F:T \text{ via } Cs;$   
 $P, E, h \vdash e_2 :' T'; P \vdash T' \leq T \rrbracket$

$\implies P, E, h \vdash e_1.F\{Cs\} := e_2 :' T$

$| \llbracket P, E, h \vdash e_1 :' NT; P, E, h \vdash e_2 :' T'; P \vdash T' \leq T \rrbracket$   
 $\implies P, E, h \vdash e_1.F\{Cs\} := e_2 :' T$

$| \llbracket P, E, h \vdash e :' \text{Class } C; P \vdash C \text{ has least } M = (Ts, T, m) \text{ via } Cs;$   
 $P, E, h \vdash es[:] Ts'; P \vdash Ts' [\leq] Ts \rrbracket$

$\implies P, E, h \vdash e.M(es) :' T$

$| \llbracket P, E, h \vdash e :' \text{Class } C'; P \vdash \text{Path } C' \text{ to } C \text{ unique};$

$P \vdash C \text{ has least } M = (Ts, T, m) \text{ via } Cs;$

$P, E, h \vdash es[:] Ts'; P \vdash Ts' [\leq] Ts \rrbracket$

$\implies P, E, h \vdash e.(C::)M(es) :' T$

$| \llbracket P, E, h \vdash e :' NT; P, E, h \vdash es[:] Ts \rrbracket \implies P, E, h \vdash \text{Call } e \text{ Copt } M es :' T$

$| \llbracket P \vdash \text{typeof}_h v = \text{Some } T'; P, E(V \mapsto T), h \vdash e_2 :' T_2; P \vdash T' \leq T; \text{is-type } P T$   
 $\rrbracket$

$\implies P, E, h \vdash \{V:T := \text{Val } v; e_2\} :' T_2$

$| \llbracket P, E(V \mapsto T), h \vdash e :' T'; \neg \text{assigned } V e; \text{is-type } P T \rrbracket$   
 $\implies P, E, h \vdash \{V:T; e\} :' T'$

$| \llbracket P, E, h \vdash e_1 :' T_1; P, E, h \vdash e_2 :' T_2 \rrbracket \implies P, E, h \vdash e_1;; e_2 :' T_2$

$| \llbracket P, E, h \vdash e :' \text{Boolean}; P, E, h \vdash e_1 :' T; P, E, h \vdash e_2 :' T \rrbracket$   
 $\implies P, E, h \vdash \text{if } (e) e_1 \text{ else } e_2 :' T$

$$\begin{aligned}
& | \llbracket P, E, h \vdash e :' Boolean; \quad P, E, h \vdash c :' T \rrbracket \\
& \qquad \implies P, E, h \vdash \text{while}(e) c :' \text{Void} \\
& | \llbracket P, E, h \vdash e :' T'; \text{is-refT } T' \rrbracket \implies P, E, h \vdash \text{throw } e :' T \\
& | P, E, h \vdash [] [:] [] \\
& | \llbracket P, E, h \vdash e :' T; \quad P, E, h \vdash es [:] Ts \rrbracket \implies P, E, h \vdash e \# es [:] T \# Ts
\end{aligned}$$

**lemmas**  $WTrt'\text{-induct} = WTrt'\text{-}WTrts'.\text{induct}$  [split-format (complete)]  
**and**  $WTrt'\text{-inducts} = WTrt'\text{-}WTrts'.\text{inducts}$  [split-format (complete)]

**inductive-cases**  $WTrt'\text{-elim-cases}[elim!]:$

$P, E, h \vdash V := e :' T$

... and some easy consequences:

**lemma** [iff]:  $P, E, h \vdash e_1;; e_2 :' T_2 = (\exists T_1. \quad P, E, h \vdash e_1 :' T_1 \wedge P, E, h \vdash e_2 :' T_2)$

$\langle proof \rangle$

**lemma** [iff]:  $P, E, h \vdash \text{Val } v :' T = (P \vdash \text{typeof}_h v = \text{Some } T)$

$\langle proof \rangle$

**lemma** [iff]:  $P, E, h \vdash \text{Var } V :' T = (E V = \text{Some } T)$

$\langle proof \rangle$

**lemma**  $wt\text{-}wt': P, E, h \vdash e : T \implies P, E, h \vdash e :' T$   
**and**  $wts\text{-}wts': P, E, h \vdash es [:] Ts \implies P, E, h \vdash es [:] Ts$

$\langle proof \rangle$

**lemma**  $wt'\text{-}wt: P, E, h \vdash e :' T \implies P, E, h \vdash e : T$   
**and**  $wts'\text{-}wts: P, E, h \vdash es [:] Ts \implies P, E, h \vdash es [:] Ts$

$\langle proof \rangle$

**corollary**  $wt'\text{-iff-}wt: (P, E, h \vdash e :' T) = (P, E, h \vdash e : T)$   
 $\langle proof \rangle$

**corollary** *wts'-iff-wts:*  $(P, E, h \vdash es [:] Ts) = (P, E, h \vdash es [:] Ts)$   
 $\langle proof \rangle$

**lemmas** *WTrt-inducts2* = *WTrt'-inducts* [*unfolded wt'-iff-wt wts'-iff-wts, case-names WTrtNew WTrtDynCast WTrtStaticCast WTrtVal WTrtVar WTrtBinOp WTrtLAss WTrtFAcc WTrtFAccNT WTrtFAss WTrtFAssNT WTrtCall WTrtStaticCall WTrtCallNT WTrtInitBlock WTrtBlock WTrtSeq WTrtCond WTrtWhile WTrtThrow WTrtNil WTrtCons, consumes 1*]

## 23.2 The theorem progress

**lemma** *mdc-leq-dyn-type:*

$P, E, h \vdash e : T \implies$   
 $\forall C a Cs D S. T = \text{Class } C \wedge e = \text{ref}(a, Cs) \wedge h a = \text{Some}(D, S) \longrightarrow P \vdash D \preceq^* C$   
**and**  $P, E, h \vdash es [:] Ts \implies$   
 $\forall T Ts' e es' C a Cs D S. Ts = T \# Ts' \wedge es = e \# es' \wedge$   
 $T = \text{Class } C \wedge e = \text{ref}(a, Cs) \wedge h a = \text{Some}(D, S)$   
 $\longrightarrow P \vdash D \preceq^* C$

$\langle proof \rangle$

**lemma** *appendPath-append-last:*

**assumes** *notempty:Ds*  $\neq []$   
**shows**  $(Cs @_p Ds) @_p [\text{last } Ds] = (Cs @_p Ds)$

$\langle proof \rangle$

**theorem assumes** *wf: wwf-prog P*

**shows** *progress: P, E, h ⊢ e : T*  $\implies$   
 $(\bigwedge l. [\![ P \vdash h \vee; P \vdash E \vee; \mathcal{D} e \lfloor \text{dom } l \rfloor; \neg \text{final } e ]\!] \implies \exists e' s'. P, E \vdash \langle e, (h, l) \rangle \rightarrow \langle e', s' \rangle)$   
**and**  $P, E, h \vdash es [:] Ts \implies$   
 $(\bigwedge l. [\![ P \vdash h \vee; P \vdash E \vee; \mathcal{D} s es \lfloor \text{dom } l \rfloor; \neg \text{finals } es ]\!] \implies \exists es' s'. P, E \vdash \langle es, (h, l) \rangle \rightarrow \langle es', s' \rangle)$   
 $\langle proof \rangle$

**end**

## 24 Heap Extension

```
theory HeapExtension
imports Progress
begin
```

### 24.1 The Heap Extension

```
definition hext :: heap ⇒ heap ⇒ bool (⊣ ⊢ [51,51] 50) where
   $h \trianglelefteq h' \equiv \forall a C S. h a = \text{Some}(C,S) \longrightarrow (\exists S'. h' a = \text{Some}(C,S'))$ 
```

```
lemma hextI:  $\forall a C S. h a = \text{Some}(C,S) \longrightarrow (\exists S'. h' a = \text{Some}(C,S')) \implies h \trianglelefteq h'$ 
```

*(proof)*

```
lemma hext-objD:  $\llbracket h \trianglelefteq h'; h a = \text{Some}(C,S) \rrbracket \implies \exists S'. h' a = \text{Some}(C,S')$ 
```

*(proof)*

```
lemma hext-refl [iff]:  $h \trianglelefteq h$ 
```

*(proof)*

```
lemma hext-new [simp]:  $h a = \text{None} \implies h \trianglelefteq h(a \mapsto x)$ 
```

*(proof)*

```
lemma hext-trans:  $\llbracket h \trianglelefteq h'; h' \trianglelefteq h'' \rrbracket \implies h \trianglelefteq h''$ 
```

*(proof)*

```
lemma hext-upd-obj:  $h a = \text{Some}(C,S) \implies h \trianglelefteq h(a \mapsto (C,S'))$ 
```

*(proof)*

### 24.2 $\trianglelefteq$ and preallocated

```
lemma preallocated-hext:
   $\llbracket \text{preallocated } h; h \trianglelefteq h' \rrbracket \implies \text{preallocated } h'$ 
(proof)
```

```
lemmas preallocated-upd-obj = preallocated-hext [OF - hext-upd-obj]
lemmas preallocated-new = preallocated-hext [OF - hext-new]
```

### 24.3 $\sqsubseteq$ in Small- and BigStep

**lemma** *red-hext-incr*:  $P, E \vdash \langle e, (h, l) \rangle \rightarrow \langle e', (h', l') \rangle \implies h \sqsubseteq h'$   
**and** *reds-hext-incr*:  $P, E \vdash \langle es, (h, l) \rangle \rightarrow \langle es', (h', l') \rangle \implies h \sqsubseteq h'$

$\langle proof \rangle$

**lemma** *step-hext-incr*:  $P, E \vdash \langle e, s \rangle \rightarrow^* \langle e', s' \rangle \implies hp\ s \sqsubseteq hp\ s'$

$\langle proof \rangle$

**lemma** *steps-hext-incr*:  $P, E \vdash \langle es, s \rangle \rightarrow^* \langle es', s' \rangle \implies hp\ s \sqsubseteq hp\ s'$

$\langle proof \rangle$

**lemma** *eval-hext*:  $P, E \vdash \langle e, (h, l) \rangle \Rightarrow \langle e', (h', l') \rangle \implies h \sqsubseteq h'$   
**and** *evals-hext*:  $P, E \vdash \langle es, (h, l) \rangle \Rightarrow \langle es', (h', l') \rangle \implies h \sqsubseteq h'$

$\langle proof \rangle$

### 24.4 $\sqsubseteq$ and conformance

**lemma** *conf-hext*:  $h \sqsubseteq h' \implies P, h \vdash v : \leq T \implies P, h' \vdash v : \leq T$   
 $\langle proof \rangle$

**lemma** *confs-hext*:  $P, h \vdash vs [:\leq] Ts \implies h \sqsubseteq h' \implies P, h' \vdash vs [:\leq] Ts$   
 $\langle proof \rangle$

**lemma** *fconf-hext*:  $\llbracket P, h \vdash fs (: \leq) E; h \sqsubseteq h' \rrbracket \implies P, h' \vdash fs (: \leq) E$

$\langle proof \rangle$

**lemmas** *fconf-upd-obj* = *fconf-hext* [*OF - hext-upd-obj*]  
**lemmas** *fconf-new* = *fconf-hext* [*OF - hext-new*]

**lemma** *oconf-hext*:  $P, h \vdash obj \checkmark \implies h \sqsubseteq h' \implies P, h' \vdash obj \checkmark$

$\langle proof \rangle$

**lemmas** *oconf-new* = *oconf-hext* [*OF - hext-new*]  
**lemmas** *oconf-upd-obj* = *oconf-hext* [*OF - hext-upd-obj*]

**lemma** *hconf-new*:  $\llbracket P \vdash h \vee; h a = \text{None}; P, h \vdash obj \vee \rrbracket \implies P \vdash h(a \mapsto obj) \vee$   
 $\langle proof \rangle$

**lemma**  $\llbracket P \vdash h \vee; h' = h(a \mapsto (C, \text{Collect}(\text{init-obj } P C))); h a = \text{None}; \text{wf-prog}$   
 $\text{wf-md } P \rrbracket$   
 $\implies P \vdash h' \vee$   
 $\langle proof \rangle$

**lemma** *hconf-upd-obj*:  
 $\llbracket P \vdash h \vee; h a = \text{Some}(C, S); P, h \vdash (C, S') \vee \rrbracket \implies P \vdash h(a \mapsto (C, S')) \vee$   
 $\langle proof \rangle$

**lemma** *lconf-hext*:  $\llbracket P, h \vdash l (\leq_w E; h \trianglelefteq h') \rrbracket \implies P, h' \vdash l (\leq_w E$   
 $\langle proof \rangle$

## 24.5 $\trianglelefteq$ in the runtime type system

**lemma** *hext-typeof-mono*:  $\llbracket h \trianglelefteq h'; P \vdash \text{typeof}_h v = \text{Some } T \rrbracket \implies P \vdash \text{typeof}_{h'} v = \text{Some } T$

$\langle proof \rangle$

**lemma** *WTrt-hext-mono*:  $P, E, h \vdash e : T \implies (\bigwedge h'. h \trianglelefteq h' \implies P, E, h' \vdash e : T)$   
**and** *WTrts-hext-mono*:  $P, E, h \vdash es[:] Ts \implies (\bigwedge h'. h \trianglelefteq h' \implies P, E, h' \vdash es[:] Ts)$

$\langle proof \rangle$

end

## 25 Well-formedness Constraints

**theory** *CWellForm* imports *WellForm* *WWellForm* *WellTypeRT* *DefAss* **begin**

**definition** *wf-C-mdecl* :: *prog*  $\Rightarrow$  *cname*  $\Rightarrow$  *mdecl*  $\Rightarrow$  *bool* **where**  
 $\text{wf-C-mdecl } P C \equiv \lambda(M, Ts, T, (pns, body)).$   
 $\text{length } Ts = \text{length } pns \wedge$   
 $\text{distinct } pns \wedge$   
 $this \notin \text{set } pns \wedge$

$P, [this \rightarrow Class\ C, pns[\mapsto] Ts] \vdash body :: T \wedge$   
 $\mathcal{D}\ body\ [\{this\} \cup set\ pns]$

**lemma**  $wf\text{-}C\text{-}mdecl[simp]$ :  
 $wf\text{-}C\text{-}mdecl\ P\ C\ (M, Ts, T, pns, body) \equiv$   
 $(length\ Ts = length\ pns \wedge$   
 $distinct\ pns \wedge$   
 $this \notin set\ pns \wedge$   
 $P, [this \rightarrow Class\ C, pns[\mapsto] Ts] \vdash body :: T \wedge$   
 $\mathcal{D}\ body\ [\{this\} \cup set\ pns])$   
 $\langle proof \rangle$

#### abbreviation

$wf\text{-}C\text{-}prog :: prog \Rightarrow bool$  **where**  
 $wf\text{-}C\text{-}prog == wf\text{-}prog\ wf\text{-}C\text{-}mdecl$

**lemma**  $wf\text{-}C\text{-}prog\text{-}wf\text{-}C\text{-}mdecl$ :  
 $\llbracket wf\text{-}C\text{-}prog\ P; (C, Bs, fs, ms) \in set\ P; m \in set\ ms \rrbracket$   
 $\implies wf\text{-}C\text{-}mdecl\ P\ C\ m$

$\langle proof \rangle$

**lemma**  $wf\text{-}mdecl\text{-}wwf\text{-}mdecl$ :  $wf\text{-}C\text{-}mdecl\ P\ C\ Md \implies wwf\text{-}mdecl\ P\ C\ Md$   
 $\langle proof \rangle$

**lemma**  $wf\text{-}prog\text{-}wwf\text{-}prog$ :  $wf\text{-}C\text{-}prog\ P \implies wwf\text{-}prog\ P$

$\langle proof \rangle$

end

## 26 Type Safety Proof

**theory** *TypeSafe*  
**imports** *HeapExtension* *CWellForm*  
**begin**

### 26.1 Basic preservation lemmas

**lemma assumes**  $wf\text{:}wwf\text{-}prog\ P$  **and**  $casts\text{:}P \vdash T\ casts\ v\ to\ v'$   
**and**  $typeof\text{:}P \vdash typeof_h\ v = Some\ T'$  **and**  $leq\text{:}P \vdash T' \leq T$   
**shows**  $casts\text{-}conf\text{:}P, h \vdash v' : \leq T$

$\langle proof \rangle$

**theorem assumes**  $wf:wwf\text{-}prog P$   
**shows**  $red\text{-}preserves\text{-}hconf$ :

$P,E \vdash \langle e,(h,l) \rangle \rightarrow \langle e',(h',l') \rangle \implies (\bigwedge T. \llbracket P,E,h \vdash e : T; P \vdash h \vee \rrbracket \implies P \vdash h' \vee)$

**and**  $reds\text{-}preserves\text{-}hconf$ :

$P,E \vdash \langle es,(h,l) \rangle [\rightarrow] \langle es',(h',l') \rangle \implies (\bigwedge Ts. \llbracket P,E,h \vdash es[:] Ts; P \vdash h \vee \rrbracket \implies P \vdash h' \vee)$

$\langle proof \rangle$

**theorem assumes**  $wf:wwf\text{-}prog P$   
**shows**  $red\text{-}preserves\text{-}lconf$ :

$P,E \vdash \langle e,(h,l) \rangle \rightarrow \langle e',(h',l') \rangle \implies$

$(\bigwedge T. \llbracket P,E,h \vdash e : T; P,h \vdash l \leq_w E; P \vdash E \vee \rrbracket \implies P,h' \vdash l' \leq_w E)$

**and**  $reds\text{-}preserves\text{-}lconf$ :

$P,E \vdash \langle es,(h,l) \rangle [\rightarrow] \langle es',(h',l') \rangle \implies$

$(\bigwedge Ts. \llbracket P,E,h \vdash es[:] Ts; P,h \vdash l \leq_w E; P \vdash E \vee \rrbracket \implies P,h' \vdash l' \leq_w E)$

$\langle proof \rangle$

Preservation of definite assignment more complex and requires a few lemmas first.

**lemma [iff]:**  $\bigwedge A. \llbracket length Vs = length Ts; length vs = length Ts \rrbracket \implies \mathcal{D}(\text{blocks } (Vs,Ts,vs,e)) A = \mathcal{D}e(A \sqcup \lfloor \text{set } Vs \rfloor)$

$\langle proof \rangle$

**lemma red-lA-incr:**  $P,E \vdash \langle e,(h,l) \rangle \rightarrow \langle e',(h',l') \rangle \implies \lfloor \text{dom } l \rfloor \sqcup \mathcal{A}e \sqsubseteq \lfloor \text{dom } l' \rfloor \sqcup \mathcal{A}e'$

**and**  $reds\text{-}lA\text{-}incr:$   $P,E \vdash \langle es,(h,l) \rangle [\rightarrow] \langle es',(h',l') \rangle \implies \lfloor \text{dom } l \rfloor \sqcup \mathcal{As}es \sqsubseteq \lfloor \text{dom } l' \rfloor \sqcup \mathcal{As}es'$

$\langle proof \rangle$

Now preservation of definite assignment.

**lemma assumes**  $wf:wf\text{-}C\text{-}prog P$

**shows**  $red\text{-}preserves\text{-}defass$ :

$P,E \vdash \langle e,(h,l) \rangle \rightarrow \langle e',(h',l') \rangle \implies \mathcal{D}e \lfloor \text{dom } l \rfloor \implies \mathcal{D}e' \lfloor \text{dom } l' \rfloor$

**and**  $P,E \vdash \langle es,(h,l) \rangle [\rightarrow] \langle es',(h',l') \rangle \implies \mathcal{Ds}es \lfloor \text{dom } l \rfloor \implies \mathcal{Ds}es' \lfloor \text{dom } l' \rfloor$

$\langle proof \rangle$

Combining conformance of heap and local variables:

**definition**  $sconf :: prog \Rightarrow env \Rightarrow state \Rightarrow bool (\langle \cdot, \cdot \rangle \vdash \cdot \sqrt{} [51, 51, 51] 50)$  **where**  
 $P, E \vdash s \sqrt{} \equiv let (h, l) = s in P \vdash h \sqrt{} \wedge P, h \vdash l (\leq_w E \wedge P \vdash E \sqrt{})$

**lemma**  $red\text{-preserves-}sconf$ :

$\llbracket P, E \vdash \langle e, s \rangle \rightarrow \langle e', s' \rangle; P, E, hp s \vdash e : T; P, E \vdash s \sqrt{}; wwf\text{-prog } P \rrbracket \implies P, E \vdash s' \sqrt{}$

$\langle proof \rangle$

**lemma**  $reds\text{-preserves-}sconf$ :

$\llbracket P, E \vdash \langle es, s \rangle [\rightarrow] \langle es', s' \rangle; P, E, hp s \vdash es [: Ts; P, E \vdash s \sqrt{}; wwf\text{-prog } P \rrbracket \implies P, E \vdash s' \sqrt{}$

$\langle proof \rangle$

## 26.2 Subject reduction

**lemma**  $wt\text{-blocks}$ :

$\begin{aligned} \wedge E. \llbracket length Vs = length Ts; length vs = length Ts; \\ \forall T' \in set Ts. is-type P T' \rrbracket \implies \\ (P, E, h \vdash blocks(Vs, Ts, vs, e) : T) = \\ (P, E(Vs[\rightarrow] Ts), h \vdash e : T \wedge \\ (\exists Ts'. map (P \vdash typeof_h) vs = map Some Ts' \wedge P \vdash Ts' [\leq] Ts)) \end{aligned}$

$\langle proof \rangle$

**theorem assumes**  $wf: wf\text{-C-prog } P$

**shows**  $subject\text{-reduction2}: P, E \vdash \langle e, (h, l) \rangle \rightarrow \langle e', (h', l') \rangle \implies$   
 $(\wedge T. \llbracket P, E \vdash (h, l) \sqrt{}; P, E, h \vdash e : T \rrbracket \implies P, E, h' \vdash e' :_{NT} T)$   
**and**  $subjects\text{-reduction2}: P, E \vdash \langle es, (h, l) \rangle [\rightarrow] \langle es', (h', l') \rangle \implies$   
 $(\wedge Ts. \llbracket P, E \vdash (h, l) \sqrt{}; P, E, h \vdash es [: Ts \rrbracket \implies types\text{-}conf P E h' es' Ts)$

$\langle proof \rangle$

**corollary**  $subject\text{-reduction}$ :

$\llbracket wf\text{-C-prog } P; P, E \vdash \langle e, s \rangle \rightarrow \langle e', s' \rangle; P, E \vdash s \sqrt{}; P, E, hp s \vdash e : T \rrbracket \implies P, E, (hp s') \vdash e' :_{NT} T$

$\langle proof \rangle$

**corollary**  $subjects\text{-reduction}$ :

$\llbracket wf\text{-C-prog } P; P, E \vdash \langle es, s \rangle [\rightarrow] \langle es', s' \rangle; P, E \vdash s \sqrt{}; P, E, hp s \vdash es [: Ts \rrbracket \implies types\text{-}conf P E (hp s') es' Ts$

$\langle proof \rangle$

### 26.3 Lifting to $\rightarrow^*$

Now all these preservation lemmas are first lifted to the transitive closure  
 $\dots$

**lemma** *step-preserves-sconf*:

**assumes** *wf*: *wf-C-prog P* **and** *step*:  $P, E \vdash \langle e, s \rangle \rightarrow^* \langle e', s' \rangle$   
**shows**  $\bigwedge T. \llbracket P, E, hp \ s \vdash e : T; P, E \vdash s \checkmark \rrbracket \implies P, E \vdash s' \checkmark$

$\langle proof \rangle$

**lemma** *steps-preserves-sconf*:

**assumes** *wf*: *wf-C-prog P* **and** *step*:  $P, E \vdash \langle es, s \rangle [\rightarrow]^* \langle es', s' \rangle$   
**shows**  $\bigwedge Ts. \llbracket P, E, hp \ s \vdash es [:] Ts; P, E \vdash s \checkmark \rrbracket \implies P, E \vdash s' \checkmark$

$\langle proof \rangle$

**lemma** *step-preserves-defass*:

**assumes** *wf*: *wf-C-prog P* **and** *step*:  $P, E \vdash \langle e, s \rangle \rightarrow^* \langle e', s' \rangle$   
**shows**  $\mathcal{D} e \lfloor \text{dom}(\text{lcl } s) \rfloor \implies \mathcal{D} e' \lfloor \text{dom}(\text{lcl } s') \rfloor$

$\langle proof \rangle$

**lemma** *step-preserves-type*:

**assumes** *wf*: *wf-C-prog P* **and** *step*:  $P, E \vdash \langle e, s \rangle \rightarrow^* \langle e', s' \rangle$   
**shows**  $\bigwedge T. \llbracket P, E \vdash s \checkmark; P, E, hp \ s \vdash e : T \rrbracket \implies P, E, (hp \ s') \vdash e' :_{NT} T$

$\langle proof \rangle$

predicate to show the same lemma for lists

**fun**

*conformable* :: *ty list*  $\Rightarrow$  *ty list*  $\Rightarrow$  *bool*

**where**

*conformable* [] []  $\longleftrightarrow$  *True*  
| *conformable* ( $T'' \# Ts''$ ) ( $T' \# Ts'$ )  $\longleftrightarrow$  ( $T'' = T'$   
 $\vee (\exists C. T'' = NT \wedge T' = \text{Class } C)$ )  $\wedge$  *conformable*  $Ts'' Ts'$   
| *conformable* - -  $\longleftrightarrow$  *False*

**lemma** *types-conf-conf-types-conf*:

$\llbracket \text{types-conf } P \ E \ h \ es \ Ts; \text{conformable } Ts \ Ts' \rrbracket \implies \text{types-conf } P \ E \ h \ es \ Ts'$   
 $\langle proof \rangle$

**lemma** *types-conf-Wtrt-conf*:

*types-conf*  $P E h es Ts \implies \exists Ts'. P, E, h \vdash es [::] Ts' \wedge conformable Ts' Ts$   
 $\langle proof \rangle$

**lemma** *steps-preserves-types*:  
**assumes**  $wf: wf\text{-}C\text{-}prog P$  **and**  $steps: P, E \vdash \langle es, s \rangle [\rightarrow]^* \langle es', s' \rangle$   
**shows**  $\bigwedge Ts. \llbracket P, E \vdash s \checkmark; P, E, hp s \vdash es [::] Ts \rrbracket$   
 $\implies types\text{-}conf P E (hp s') es' Ts$

$\langle proof \rangle$

## 26.4 Lifting to $\Rightarrow$

... and now to the big step semantics, just for fun.

**lemma** *eval-preserves-sconf*:  
 $\llbracket wf\text{-}C\text{-}prog P; P, E \vdash \langle e, s \rangle \Rightarrow \langle e', s' \rangle; P, E \vdash e :: T; P, E \vdash s \checkmark \rrbracket \implies P, E \vdash s' \checkmark$   
 $\langle proof \rangle$

**lemma** *evals-preserves-sconf*:  
 $\llbracket wf\text{-}C\text{-}prog P; P, E \vdash \langle es, s \rangle \Rightarrow \langle es', s' \rangle; P, E \vdash es [::] Ts; P, E \vdash s \checkmark \rrbracket$   
 $\implies P, E \vdash s' \checkmark$   
 $\langle proof \rangle$

**lemma** *eval-preserves-type*: **assumes**  $wf: wf\text{-}C\text{-}prog P$   
**shows**  $\llbracket P, E \vdash \langle e, s \rangle \Rightarrow \langle e', s' \rangle; P, E \vdash s \checkmark; P, E \vdash e :: T \rrbracket$   
 $\implies P, E, (hp s') \vdash e' :_{NT} T$

$\langle proof \rangle$

**lemma** *evals-preserves-types*: **assumes**  $wf: wf\text{-}C\text{-}prog P$   
**shows**  $\llbracket P, E \vdash \langle es, s \rangle \Rightarrow \langle es', s' \rangle; P, E \vdash s \checkmark; P, E \vdash es [::] Ts \rrbracket$   
 $\implies types\text{-}conf P E (hp s') es' Ts$   
 $\langle proof \rangle$

## 26.5 The final polish

The above preservation lemmas are now combined and packed nicely.

**definition**  $wf\text{-}config :: prog \Rightarrow env \Rightarrow state \Rightarrow expr \Rightarrow ty \Rightarrow bool (\langle -, -, - \vdash - : - \checkmark \rangle [51, 0, 0, 0, 0] 50)$  **where**  
 $P, E, s \vdash e : T \checkmark \equiv P, E \vdash s \checkmark \wedge P, E, hp s \vdash e : T$

**theorem** *Subject-reduction*: **assumes**  $wf: wf\text{-}C\text{-}prog P$   
**shows**  $P, E \vdash \langle e, s \rangle \rightarrow \langle e', s' \rangle \implies P, E, s \vdash e : T \checkmark$   
 $\implies P, E, (hp s') \vdash e' :_{NT} T$

$\langle proof \rangle$

**theorem** *Subject-reductions:*

**assumes**  $wf: wf\text{-}C\text{-}prog P$  **and**  $reds: P,E \vdash \langle e,s \rangle \rightarrow^* \langle e',s' \rangle$   
**shows**  $\bigwedge T. P,E,s \vdash e : T \vee \implies P,E,(hp\ s') \vdash e' :_{NT} T$

$\langle proof \rangle$

**corollary** *Progress:* **assumes**  $wf: wf\text{-}C\text{-}prog P$

**shows**  $\llbracket P,E,s \vdash e : T \vee; \mathcal{D}\ e \lfloor \text{dom}(\text{lcl } s) \rfloor; \neg \text{final } e \rrbracket \implies \exists e' s'. P,E \vdash \langle e,s \rangle \rightarrow \langle e',s' \rangle$

$\langle proof \rangle$

**corollary** *TypeSafety:*

**fixes**  $s\ s' :: state$   
**assumes**  $wf:wf\text{-}C\text{-}prog P$  **and**  $sconf:P,E \vdash s \vee$  **and**  $wte:P,E \vdash e :: T$   
**and**  $D:\mathcal{D}\ e \lfloor \text{dom}(\text{lcl } s) \rfloor$  **and**  $step:P,E \vdash \langle e,s \rangle \rightarrow^* \langle e',s' \rangle$   
**and**  $nored:\neg(\exists e'' s''. P,E \vdash \langle e',s' \rangle \rightarrow \langle e'',s'' \rangle)$   
**shows**  $(\exists v. e' = \text{Val } v \wedge P,hp\ s' \vdash v : \leq T) \vee$   
 $(\exists r. e' = \text{Throw } r \wedge \text{the-addr } (\text{Ref } r) \in \text{dom}(hp\ s'))$

$\langle proof \rangle$

end

## 27 Determinism Proof

**theory** *Determinism*  
**imports** *TypeSafe*  
**begin**

### 27.1 Some lemmas

**lemma** *maps-nth:*

$\llbracket (E(xs \mapsto ys)) x = \text{Some } y; \text{length } xs = \text{length } ys; \text{distinct } xs \rrbracket$   
 $\implies \forall i. x = xs!i \wedge i < \text{length } xs \longrightarrow y = ys!i$

$\langle proof \rangle$

**lemma** *nth-maps:*  $\llbracket \text{length } pns = \text{length } Ts; \text{distinct } pns; i < \text{length } Ts \rrbracket$   
 $\implies (E(pns \mapsto Ts))(pns!i) = \text{Some } (Ts!i)$

```

lemma casts-casts-eq-result:
  fixes s :: state
  assumes casts:P ⊢ T casts v to v' and casts':P ⊢ T casts v to w'
  and type:is-type P T and wte:P,E ⊢ e :: T' and leq:P ⊢ T' ≤ T
  and eval:P,E ⊢ ⟨e,s⟩ ⇒ ⟨Val v,(h,l)⟩ and sconf:P,E ⊢ s √
  and wf:wf-C-prog P
  shows v' = w'
  ⟨proof⟩

lemma Casts-Casts-eq-result:
  assumes wf:wf-C-prog P
  shows [P ⊢ Ts Casts vs to vs'; P ⊢ Ts Casts vs to ws'; ∀ T ∈ set Ts. is-type P T;
    P,E ⊢ es [:] Ts'; P ⊢ Ts' [≤] Ts; P,E ⊢ ⟨es,s⟩ [⇒] ⟨map Val vs,(h,l)⟩;
    P,E ⊢ s √]
  ⇒ vs' = ws'
  ⟨proof⟩

```

```

lemma Casts-conf: assumes wf: wf-C-prog P
  shows P ⊢ Ts Casts vs to vs' ⇒
  (⟨es s Ts'. [P,E ⊢ es [:] Ts'; P,E ⊢ ⟨es,s⟩ [⇒] ⟨map Val vs,(h,l)⟩; P,E ⊢ s √;
    P ⊢ Ts' [≤] Ts] ⇒
  ∀ i < length Ts. P,h ⊢ vs!i :≤ Ts!i)
  ⟨proof⟩

```

```

lemma map-Val-throw-False:map Val vs = map Val ws @ throw ex # es ⇒ False
  ⟨proof⟩

```

```

lemma map-Val-throw-eq:map Val vs @ throw ex # es = map Val ws @ throw ex'
# es'
  ⇒ vs = ws ∧ ex = ex' ∧ es = es'
  ⟨proof⟩

```

## 27.2 The proof

```

lemma deterministic-big-step:
  assumes wf:wf-C-prog P
  shows P,E ⊢ ⟨e,s⟩ ⇒ ⟨e1,s1⟩ ⇒
  (⟨e2 s2 T. [P,E ⊢ ⟨e,s⟩ ⇒ ⟨e2,s2⟩; P,E ⊢ e :: T; P,E ⊢ s √]
  ⇒ e1 = e2 ∧ s1 = s2) and
  P,E ⊢ ⟨es,s⟩ [⇒] ⟨es1,s1⟩ ⇒
  (⟨es2 s2 Ts. [P,E ⊢ ⟨es,s⟩ [⇒] ⟨es2,s2⟩; P,E ⊢ es [:] Ts; P,E ⊢ s √]
  ⇒ es1 = es2 ∧ s1 = s2)
  ⟨proof⟩

```

end

## 28 Program annotation

**theory** *Annotate imports WellType begin*

**abbreviation (output)**

*unanFAcc :: expr  $\Rightarrow$  vname  $\Rightarrow$  expr ( $\langle(\cdot\cdot)\rangle [10,10] 90$ ) where  
 $unanFAcc e F == FAcc e F []$*

**abbreviation (output)**

*unanFAss :: expr  $\Rightarrow$  vname  $\Rightarrow$  expr  $\Rightarrow$  expr ( $\langle(\cdot\cdot := -)\rangle [10,0,90] 90$ ) where  
 $unanFAss e F e' == FAss e F [] e'$*

**inductive**

*Anno :: [prog, env, expr , expr]  $\Rightarrow$  bool  
 $(\langle\cdot\cdot \vdash - \rightsquigarrow - \rangle [51,0,0,51] 50)$   
**and** Annos :: [prog, env, expr list, expr list]  $\Rightarrow$  bool  
 $(\langle\cdot\cdot \vdash - [\rightsquigarrow] \rangle [51,0,0,51] 50)$   
**for** *P* :: prog  
**where***

*AnnoNew: is-class *P C*  $\implies P, E \vdash new C \rightsquigarrow new C$   
| AnnoCast: *P, E*  $\vdash e \rightsquigarrow e'$   $\implies P, E \vdash Cast C e \rightsquigarrow Cast C e'$   
| AnnoStatCast: *P, E*  $\vdash e \rightsquigarrow e'$   $\implies P, E \vdash StatCast C e \rightsquigarrow StatCast C e'$   
| AnnoVal: *P, E*  $\vdash Val v \rightsquigarrow Val v$   
| AnnoVarVar: *E V* =  $[T]$   $\implies P, E \vdash Var V \rightsquigarrow Var V$   
| AnnoVarField:  $\llbracket E V = None; E this = [Class C]; P \vdash C \text{ has least } V:T \text{ via } Cs \rrbracket$   
 $\implies P, E \vdash Var V \rightsquigarrow Var this \cdot V\{Cs\}$   
| AnnoBinOp:  
 $\llbracket P, E \vdash e1 \rightsquigarrow e1'; P, E \vdash e2 \rightsquigarrow e2' \rrbracket$   
 $\implies P, E \vdash e1 \llcorner bop \urcorner e2 \rightsquigarrow e1' \llcorner bop \urcorner e2'$   
| AnnoLAss:  
 $P, E \vdash e \rightsquigarrow e' \implies P, E \vdash V := e \rightsquigarrow V := e'$   
| AnnoFAcc:  
 $\llbracket P, E \vdash e \rightsquigarrow e'; P, E \vdash e' :: Class C; P \vdash C \text{ has least } F:T \text{ via } Cs \rrbracket$   
 $\implies P, E \vdash e \cdot F\{\} \rightsquigarrow e' \cdot F\{Cs\}$   
| AnnoFAss:  $\llbracket P, E \vdash e1 \rightsquigarrow e1'; P, E \vdash e2 \rightsquigarrow e2';$   
 $P, E \vdash e1' :: Class C; P \vdash C \text{ has least } F:T \text{ via } Cs \rrbracket$   
 $\implies P, E \vdash e1 \cdot F\{\} := e2 \rightsquigarrow e1' \cdot F\{Cs\} := e2'$   
| AnnoCall:  
 $\llbracket P, E \vdash e \rightsquigarrow e'; P, E \vdash es [\rightsquigarrow] es' \rrbracket$   
 $\implies P, E \vdash Call e Copt M es \rightsquigarrow Call e' Copt M es'$   
| AnnoBlock:  
 $P, E(V \mapsto T) \vdash e \rightsquigarrow e' \implies P, E \vdash \{V:T; e\} \rightsquigarrow \{V:T; e'\}$   
| AnnoComp:  $\llbracket P, E \vdash e1 \rightsquigarrow e1'; P, E \vdash e2 \rightsquigarrow e2' \rrbracket$*

```

 $\implies P,E \vdash e1;;e2 \rightsquigarrow e1';;e2'$ 
| AnnoCond:  $\llbracket P,E \vdash e \rightsquigarrow e'; P,E \vdash e1 \rightsquigarrow e1'; P,E \vdash e2 \rightsquigarrow e2' \rrbracket$ 
 $\implies P,E \vdash \text{if } (e) \ e1 \ \text{else} \ e2 \rightsquigarrow \text{if } (e') \ e1' \ \text{else} \ e2'$ 
| AnnoLoop:  $\llbracket P,E \vdash e \rightsquigarrow e'; P,E \vdash c \rightsquigarrow c' \rrbracket$ 
 $\implies P,E \vdash \text{while } (e) \ c \rightsquigarrow \text{while } (e') \ c'$ 
| AnnoThrow:  $P,E \vdash e \rightsquigarrow e' \implies P,E \vdash \text{throw } e \rightsquigarrow \text{throw } e'$ 

| AnnoNil:  $P,E \vdash [] \rightsquigarrow []$ 
| AnnoCons:  $\llbracket P,E \vdash e \rightsquigarrow e'; P,E \vdash es \rightsquigarrow es' \rrbracket$ 
 $\implies P,E \vdash e \# es \rightsquigarrow e' \# es'$ 

```

end

## 29 Code generation for Semantics and Type System

```

theory Execute
imports BigStep WellType
HOL-Library.AList-Mapping
HOL-Library.Code-Target-Numeral
begin

```

### 29.1 General redefinitions

```

inductive app :: 'a list  $\Rightarrow$  'a list  $\Rightarrow$  'a list  $\Rightarrow$  bool
where
  app [] ys ys
  | app xs ys zs  $\implies$  app (x # xs) ys (x # zs)

```

```

theorem app-eq1:  $\bigwedge ys\ zs. \ zs = xs @ ys \implies \text{app } xs\ ys\ zs$ 
  ⟨proof⟩

```

```

theorem app-eq2: app xs ys zs  $\implies$  zs = xs @ ys
  ⟨proof⟩

```

```

theorem app-eq: app xs ys zs = (zs = xs @ ys)
  ⟨proof⟩

```

```

code-pred
  (modes:
    i  $\Rightarrow$  i  $\Rightarrow$  i  $\Rightarrow$  bool, i  $\Rightarrow$  i  $\Rightarrow$  o  $\Rightarrow$  bool, i  $\Rightarrow$  o  $\Rightarrow$  i  $\Rightarrow$  bool,
    o  $\Rightarrow$  i  $\Rightarrow$  i  $\Rightarrow$  bool, o  $\Rightarrow$  o  $\Rightarrow$  i  $\Rightarrow$  bool as reverse-app)
  app
  ⟨proof⟩

```

```

declare rtranclp-rtrancl-eq[code del]

```

```

lemmas [code-pred-intro] = rtranclp.rtrancl-refl converse-rtranclp-into-rtranclp

```

```

code-pred
  (modes:
   ( $i \Rightarrow o \Rightarrow \text{bool}$ )  $\Rightarrow i \Rightarrow i \Rightarrow \text{bool}$ ,
   ( $i \Rightarrow o \Rightarrow \text{bool}$ )  $\Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ )
  rtranclp
  {proof}

definition Set-project :: ('a  $\times$  'b) set  $\Rightarrow$  'a  $\Rightarrow$  'b set
where Set-project A a = {b. (a, b)  $\in$  A}

lemma Set-project-set [code]:
  Set-project (set xs) a = set (List.map-filter ( $\lambda(a', b)$ . if  $a = a'$  then Some b else None) xs)
  {proof}

  Redefine map Val vs

inductive map-val :: expr list  $\Rightarrow$  val list  $\Rightarrow$  bool
where
  Nil: map-val []
  | Cons: map-val xs ys  $\Longrightarrow$  map-val (Val y # xs) (y # ys)

code-pred
  (modes:  $i \Rightarrow i \Rightarrow \text{bool}$ ,  $i \Rightarrow o \Rightarrow \text{bool}$ )
  map-val
  {proof}

inductive map-val2 :: expr list  $\Rightarrow$  val list  $\Rightarrow$  expr list  $\Rightarrow$  bool
where
  Nil: map-val2 []
  | Cons: map-val2 xs ys zs  $\Longrightarrow$  map-val2 (Val y # xs) (y # ys) zs
  | Throw: map-val2 (throw e # xs) [] (throw e # xs)

code-pred
  (modes:  $i \Rightarrow i \Rightarrow \text{bool}$ ,  $i \Rightarrow o \Rightarrow o \Rightarrow \text{bool}$ )
  map-val2
  {proof}

theorem map-val-conv: (xs = map Val ys) = map-val xs ys{proof}
theorem map-val2-conv:
  (xs = map Val ys @ throw e # zs) = map-val2 xs ys (throw e # zs){proof}

```

## 29.2 Code generation

```

lemma subclsRp-code [code-pred-intro]:
  [[ class P C = [(Bs, rest)]; Predicate-Compile.contains (set Bs) (Repeats D) ]]
   $\Longrightarrow$  subclsRp P C D
  {proof}

code-pred

```

```

(modes:  $i \Rightarrow i \Rightarrow i \Rightarrow \text{bool}$ ,  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ )
 $\text{subclsRp}$ 
⟨proof⟩

lemma  $\text{subclsRp-code}$  [code-pred-inline]:
 $P \vdash C \prec_R D \longleftrightarrow \text{subclsRp } P \text{ } C \text{ } D$ 
⟨proof⟩

lemma  $\text{subclsSp-code}$  [code-pred-intro]:
 $\llbracket \text{class } P \text{ } C = \lfloor (\text{Bs}, \text{rest}) \rfloor; \text{Predicate-Compile.contains}(\text{set } \text{Bs}) (\text{Shares } D) \rrbracket \implies$ 
 $\text{subclsSp } P \text{ } C \text{ } D$ 
⟨proof⟩

code-pred
(modes:  $i \Rightarrow i \Rightarrow i \Rightarrow \text{bool}$ ,  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ )
 $\text{subclsSp}$ 
⟨proof⟩

declare  $\text{SubobjsR-Base}$  [code-pred-intro]
lemma  $\text{SubobjsR-Rep-code}$  [code-pred-intro]:
 $\llbracket \text{subclsRp } P \text{ } C \text{ } D; \text{Subobjs}_R \text{ } P \text{ } D \text{ } Cs \rrbracket \implies \text{Subobjs}_R \text{ } P \text{ } C \text{ } (C \# Cs)$ 
⟨proof⟩

code-pred
(modes:  $i \Rightarrow i \Rightarrow i \Rightarrow \text{bool}$ ,  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ )
 $\text{Subobjs}_R$ 
⟨proof⟩

lemma  $\text{subcls1p-code}$  [code-pred-intro]:
 $\llbracket \text{class } P \text{ } C = \text{Some}(\text{Bs}, \text{rest}); \text{Predicate-Compile.contains}(\text{baseClasses } \text{Bs}) \text{ } D \rrbracket \implies$ 
 $\text{subcls1p } P \text{ } C \text{ } D$ 
⟨proof⟩

code-pred (modes:  $i \Rightarrow i \Rightarrow i \Rightarrow \text{bool}$ ,  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ )
 $\text{subcls1p}$ 
⟨proof⟩

declare  $\text{Subobjs-Rep}$  [code-pred-intro]
lemma  $\text{Subobjs-Sh-code}$  [code-pred-intro]:
 $\llbracket (\text{subcls1p } P) \widehat{\cdot} \text{** } C \text{ } C'; \text{subclsSp } P \text{ } C' \text{ } D; \text{Subobjs}_R \text{ } P \text{ } D \text{ } Cs \rrbracket \implies$ 
 $\text{Subobjs } P \text{ } C \text{ } Cs$ 
⟨proof⟩

code-pred
(modes:  $i \Rightarrow i \Rightarrow i \Rightarrow \text{bool}$ ,  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ )
 $\text{Subobjs}$ 
⟨proof⟩

definition widen-unique :: prog ⇒ cname ⇒ cname ⇒ path ⇒ bool

```

**where** widen-unique  $P C D Cs \longleftrightarrow (\forall Cs'. Subobjs P C Cs' \rightarrow last Cs' = D \rightarrow Cs = Cs')$

**code-pred** [*inductify, skip-proof*] widen-unique  $\langle proof \rangle$

**lemma** widen-subcls':

$\llbracket Subobjs P C Cs'; last Cs' = D; widen-unique P C D Cs' \rrbracket$   
 $\implies P \vdash Class C \leq Class D$   
 $\langle proof \rangle$

**declare**

widen-refl [code-pred-intro]  
widen-subcls' [code-pred-intro widen-subcls]  
widen-null [code-pred-intro]

**code-pred**

(modes:  $i \Rightarrow i \Rightarrow i \Rightarrow bool$ )  
widen  
 $\langle proof \rangle$

**code-pred**

(modes:  $i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow bool, i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow bool, i \Rightarrow i \Rightarrow o \Rightarrow i \Rightarrow bool,$   
 $i \Rightarrow i \Rightarrow o \Rightarrow o \Rightarrow bool$ )  
leq-path1p  
 $\langle proof \rangle$

**lemma** leq-path-unfold:  $P, C \vdash Cs \sqsubseteq Ds \longleftrightarrow (leq-path1p P C)^{\hat{**}} Cs Ds$   
 $\langle proof \rangle$

**code-pred**

(modes:  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow bool, i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow bool$ )  
[inductify,skip-proof]  
path-via  
 $\langle proof \rangle$

**lemma** path-unique-eq [code-pred-def]:  $P \vdash Path C to D unique \longleftrightarrow$

$(\exists Cs. Subobjs P C Cs \wedge last Cs = D \wedge (\forall Cs'. Subobjs P C Cs' \rightarrow last Cs' = D \rightarrow Cs = Cs'))$   
 $\langle proof \rangle$

**code-pred**

(modes:  $i \Rightarrow i \Rightarrow o \Rightarrow bool, i \Rightarrow i \Rightarrow i \Rightarrow bool$ )  
[inductify, skip-proof]  
path-unique  $\langle proof \rangle$

Redefine MethodDefs and FieldDecls

**definition** MethodDefs' ::  $prog \Rightarrow cname \Rightarrow mname \Rightarrow path \Rightarrow method \Rightarrow bool$   
**where**

$\text{MethodDefs}' P C M Cs \text{ mthd} \equiv (Cs, \text{mthd}) \in \text{MethodDefs } P C M$

**lemma** [code-pred-intro]:

$$\begin{aligned} \text{Subobjs } P C Cs &\implies \text{class } P (\text{last } Cs) = \lfloor (Bs, fs, ms) \rfloor \implies \text{map-of } ms M = \lfloor \text{mthd} \rfloor \\ &\implies \text{MethodDefs}' P C M Cs \text{ mthd} \end{aligned}$$

$\langle \text{proof} \rangle$

**code-pred**

(modes:  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow o \Rightarrow \text{bool}$ ,  $i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow \text{bool}$ )

$\text{MethodDefs}'$

$\langle \text{proof} \rangle$

**definition**  $\text{FieldDecls}' :: \text{prog} \Rightarrow \text{cname} \Rightarrow \text{vname} \Rightarrow \text{path} \Rightarrow \text{ty} \Rightarrow \text{bool}$  **where**  
 $\text{FieldDecls}' P C F Cs T \equiv (Cs, T) \in \text{FieldDecls } P C F$

**lemma** [code-pred-intro]:

$$\begin{aligned} \text{Subobjs } P C Cs &\implies \text{class } P (\text{last } Cs) = \lfloor (Bs, fs, ms) \rfloor \implies \text{map-of } fs F = \lfloor T \rfloor \\ &\implies \text{FieldDecls}' P C F Cs T \end{aligned}$$

$\langle \text{proof} \rangle$

**code-pred**

(modes:  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow o \Rightarrow \text{bool}$ ,  $i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow \text{bool}$ )

$\text{FieldDecls}'$

$\langle \text{proof} \rangle$

**definition**  $\text{MinimalMethodDefs}' :: \text{prog} \Rightarrow \text{cname} \Rightarrow \text{mname} \Rightarrow \text{path} \Rightarrow \text{method} \Rightarrow \text{bool}$  **where**  
 $\text{MinimalMethodDefs}' P C M Cs \text{ mthd} \equiv (Cs, \text{mthd}) \in \text{MinimalMethodDefs } P C M$

**definition**  $\text{MinimalMethodDefs-unique} :: \text{prog} \Rightarrow \text{cname} \Rightarrow \text{mname} \Rightarrow \text{path} \Rightarrow \text{bool}$  **where**

$\text{MinimalMethodDefs-unique } P C M Cs \longleftrightarrow$

$$(\forall Cs' \text{ mthd}. \text{MethodDefs}' P C M Cs' \text{ mthd} \longrightarrow (\text{leg-path1p } P C)^{\wedge \ast\ast} Cs' Cs \longrightarrow Cs' = Cs)$$

**code-pred** [inductify, skip-proof]  $\text{MinimalMethodDefs-unique} \langle \text{proof} \rangle$

**lemma** [code-pred-intro]:

$$\begin{aligned} \text{MethodDefs}' P C M Cs \text{ mthd} &\implies \text{MinimalMethodDefs-unique } P C M Cs \implies \\ &\text{MinimalMethodDefs}' P C M Cs \text{ mthd} \end{aligned}$$

$\langle \text{proof} \rangle$

**code-pred**

(modes:  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow o \Rightarrow \text{bool}$ )

$\text{MinimalMethodDefs}'$

$\langle \text{proof} \rangle$

**definition**  $\text{LeastMethodDef-unique} :: \text{prog} \Rightarrow \text{cname} \Rightarrow \text{mname} \Rightarrow \text{path} \Rightarrow \text{bool}$

**where**

$\text{LeastMethodDef-unique } P \ C \ M \ Cs \longleftrightarrow$

$(\forall Cs' \ mthd'. \ \text{MethodDefs}' P \ C \ M \ Cs' \ mthd' \longrightarrow (\text{leq-path1p } P \ C) \hat{\wedge}^{**} Cs \ Cs')$

**code-pred** [*inductify, skip-proof*]  $\text{LeastMethodDef-unique} \langle \text{proof} \rangle$

**lemma**  $\text{LeastMethodDef-unfold}:$

$P \vdash C \text{ has least } M = mthd \text{ via } Cs \longleftrightarrow$

$\text{MethodDefs}' P \ C \ M \ Cs \ mthd \wedge \text{LeastMethodDef-unique } P \ C \ M \ Cs$

$\langle \text{proof} \rangle$

**lemma**  $\text{LeastMethodDef-intro}$  [*code-pred-intro*]:

$\llbracket \text{MethodDefs}' P \ C \ M \ Cs \ mthd; \text{LeastMethodDef-unique } P \ C \ M \ Cs \rrbracket$

$\implies P \vdash C \text{ has least } M = mthd \text{ via } Cs$

$\langle \text{proof} \rangle$

**code-pred** (modes:  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow o \Rightarrow \text{bool}$ )

$\text{LeastMethodDef}$

$\langle \text{proof} \rangle$

**definition**  $\text{OverriderMethodDefs}' :: \text{prog} \Rightarrow \text{subobj} \Rightarrow \text{mname} \Rightarrow \text{path} \Rightarrow \text{method}$

$\Rightarrow \text{bool}$  **where**

$\text{OverriderMethodDefs}' P \ R \ M \ Cs \ mthd \equiv (Cs, mthd) \in \text{OverriderMethodDefs } P \ R \ M$

**lemma**  $\text{Overrider1}$  [*code-pred-intro*]:

$P \vdash (\text{ldc } R) \text{ has least } M = mthd' \text{ via } Cs' \implies$

$\text{MinimalMethodDefs}' P \ (\text{mdc } R) \ M \ Cs \ mthd \implies$

$\text{last } (\text{snd } R) = \text{hd } Cs' \implies (\text{leq-path1p } P \ (\text{mdc } R)) \hat{\wedge}^{**} Cs \ (\text{snd } R @ \text{tl } Cs') \implies$

$\text{OverriderMethodDefs}' P \ R \ M \ Cs \ mthd$

$\langle \text{proof} \rangle$

**lemma**  $\text{Overrider2}$  [*code-pred-intro*]:

$P \vdash (\text{ldc } R) \text{ has least } M = mthd' \text{ via } Cs' \implies$

$\text{MinimalMethodDefs}' P \ (\text{mdc } R) \ M \ Cs \ mthd \implies$

$\text{last } (\text{snd } R) \neq \text{hd } Cs' \implies (\text{leq-path1p } P \ (\text{mdc } R)) \hat{\wedge}^{**} Cs \ Cs' \implies$

$\text{OverriderMethodDefs}' P \ R \ M \ Cs \ mthd$

$\langle \text{proof} \rangle$

**code-pred**

(modes:  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow o \Rightarrow \text{bool}$ ,  $i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ,  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ,  $i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow \text{bool}$ ,  $i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow \text{bool}$ )  
*OverriderMethodDefs'*  
 $\langle proof \rangle$

**definition**  $WTDynCast\text{-}ex :: \text{prog} \Rightarrow \text{cname} \Rightarrow \text{cname} \Rightarrow \text{bool}$   
**where**  $WTDynCast\text{-}ex P D C \longleftrightarrow (\exists Cs. P \vdash \text{Path } D \text{ to } C \text{ via } Cs)$

**code-pred** [*inductify, skip-proof*]  $WTDynCast\text{-}ex \langle proof \rangle$

**lemma**  $WTDynCast\text{-new}:$   
 $\llbracket P, E \vdash e :: \text{Class } D; \text{is-class } P C;$   
 $P \vdash \text{Path } D \text{ to } C \text{ unique} \vee \neg WTDynCast\text{-}ex P D C \rrbracket$   
 $\implies P, E \vdash \text{Cast } C e :: \text{Class } C$   
 $\langle proof \rangle$

**definition**  $WTStaticCast\text{-sub} :: \text{prog} \Rightarrow \text{cname} \Rightarrow \text{cname} \Rightarrow \text{bool}$   
**where**  $WTStaticCast\text{-sub} P C D \longleftrightarrow$   
 $P \vdash \text{Path } D \text{ to } C \text{ unique} \vee$   
 $((\text{subcls1p } P) \wedge^* C D \wedge (\forall Cs. P \vdash \text{Path } C \text{ to } D \text{ via } Cs \longrightarrow \text{Subobjs}_R P C Cs))$

**code-pred** [*inductify, skip-proof*]  $WTStaticCast\text{-sub} \langle proof \rangle$

**lemma**  $WTStaticCast\text{-new}:$   
 $\llbracket P, E \vdash e :: \text{Class } D; \text{is-class } P C; WTStaticCast\text{-sub } P C D \rrbracket$   
 $\implies P, E \vdash (\text{C})e :: \text{Class } C$   
 $\langle proof \rangle$

**lemma**  $WTBinOp1: \llbracket P, E \vdash e_1 :: T; P, E \vdash e_2 :: T \rrbracket$   
 $\implies P, E \vdash e_1 \llbracket \text{Eq} \rrbracket e_2 :: \text{Boolean}$   
 $\langle proof \rangle$

**lemma**  $WTBinOp2: \llbracket P, E \vdash e_1 :: \text{Integer}; P, E \vdash e_2 :: \text{Integer} \rrbracket$   
 $\implies P, E \vdash e_1 \llbracket \text{Add} \rrbracket e_2 :: \text{Integer}$   
 $\langle proof \rangle$

**lemma**  $LeastFieldDecl\text{-unfold}$  [code-pred-def]:  
 $P \vdash C \text{ has least } F:T \text{ via } Cs \longleftrightarrow$   
 $\text{FieldDecls}' P C F Cs T \wedge (\forall Cs' T'. \text{FieldDecls}' P C F Cs' T' \longrightarrow (\text{leq-path1p}$   
 $P C) \wedge^* Cs Cs')$   
 $\langle proof \rangle$

**code-pred** [*inductify, skip-proof*]  $LeastFieldDecl \langle proof \rangle$

**lemmas** [code-pred-intro] =  $WT\text{-}WTs.WTNew$   
**declare**

```

 $WTDynCast\text{-}new[\text{code-pred-intro } WTDynCast\text{-}new]$ 
 $WTStaticCast\text{-}new[\text{code-pred-intro } WTStaticCast\text{-}new]$ 
lemmas [code-pred-intro] =  $WT\text{-}WTs.WTVal$   $WT\text{-}WTs.WTVar$ 
declare
   $WTBinOp1[\text{code-pred-intro } WTBinOp1]$ 
   $WTBinOp2 [\text{code-pred-intro } WTBinOp2]$ 
lemmas [code-pred-intro] =
   $WT\text{-}WTs.WTLAss$   $WT\text{-}WTs.WTFAcc$   $WT\text{-}WTs.WTFAss$   $WT\text{-}WTs.WTCall$   $WT\text{-}StaticCall$ 
   $WT\text{-}WTs.WTBlock$   $WT\text{-}WTs.WTSeq$   $WT\text{-}WTs.WTCond$   $WT\text{-}WTs.WTWhile$   $WT\text{-}WTs.WTThrow$ 
lemmas [code-pred-intro] =  $WT\text{-}WTs.WTNil$   $WT\text{-}WTs.WTCCons$ 

code-pred
  (modes:  $WT: i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow \text{bool}$ ,  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ 
   and  $WTs: i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow \text{bool}$ ,  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ )
   $WT$ 
  ⟨proof⟩

lemma casts-to-code [code-pred-intro]:
  (case T of Class C ⇒  $\text{False}$  | - ⇒  $\text{True}$ ) ⇒  $P \vdash T \text{ casts } v \text{ to } v$ 
   $P \vdash \text{Class } C \text{ casts Null to Null}$ 
   $\llbracket \text{Subobjs } P (\text{last } Cs) Cs'; \text{last } Cs' = C;$ 
   $\text{last } Cs = \text{hd } Cs'; Cs @ tl Cs' = Ds \rrbracket$ 
  ⇒  $P \vdash \text{Class } C \text{ casts Ref}(a,Cs) \text{ to Ref}(a,Ds)$ 
   $\llbracket \text{Subobjs } P (\text{last } Cs) Cs'; \text{last } Cs' = C; \text{last } Cs \neq \text{hd } Cs \rrbracket$ 
  ⇒  $P \vdash \text{Class } C \text{ casts Ref}(a,Cs) \text{ to Ref}(a,Cs')$ 
  ⟨proof⟩

code-pred (modes:  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ,  $i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow \text{bool}$ )
  casts-to
  ⟨proof⟩

code-pred
  (modes:  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$ ,  $i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow \text{bool}$ )
  Casts-to
  ⟨proof⟩

lemma card-eq-1-iff-ex1:  $x \in A \implies \text{card } A = 1 \longleftrightarrow A = \{x\}$ 
  ⟨proof⟩

lemma FinalOverriderMethodDef-unfold [code-pred-def]:
   $P \vdash R \text{ has overrider } M = \text{mthd via } Cs \longleftrightarrow$ 
   $\text{OverriderMethodDefs}' P R M Cs \text{ mthd} \wedge$ 
   $(\forall Cs' \text{ mthd}'. \text{OverriderMethodDefs}' P R M Cs' \text{ mthd}' \longrightarrow Cs = Cs' \wedge \text{mthd} = \text{mthd}')$ 
  ⟨proof⟩

code-pred

```

```

(modes:  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow o \Rightarrow \text{bool}$ )
[inductify, skip-proof]
FinalOverriderMethodDef
⟨proof⟩

code-pred
(modes:  $i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow o \Rightarrow \text{bool}$ ,  $i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow i \Rightarrow \text{bool}$ )
[inductify]
SelectMethodDef
⟨proof⟩

Isomorphic subo with mapping instead of a map

type-synonym  $\text{subo}' = (\text{path} \times (\text{vname}, \text{val}) \text{ mapping})$ 
type-synonym  $\text{obj}' = \text{cname} \times \text{subo}' \text{ set}$ 

lift-definition  $\text{init-class-fieldmap}' :: \text{prog} \Rightarrow \text{cname} \Rightarrow (\text{vname}, \text{val}) \text{ mapping}$  is
 $\text{init-class-fieldmap}$  ⟨proof⟩

lemma  $\text{init-class-fieldmap}'\text{-code}$  [code]:
 $\text{init-class-fieldmap}' P C =$ 
 $\text{Mapping} (\text{map} (\lambda(F,T).(F,\text{default-val } T)) (\text{fst}(\text{snd}(\text{the}(\text{class } P C)))))$  )
⟨proof⟩

lift-definition  $\text{init-obj}' :: \text{prog} \Rightarrow \text{cname} \Rightarrow \text{subo}' \Rightarrow \text{bool}$  is  $\text{init-obj}$  ⟨proof⟩

lemma  $\text{init-obj}'\text{-intros}$  [code-pred-intro]:
 $\text{Subobjs } P C Cs \implies \text{init-obj}' P C (Cs, \text{init-class-fieldmap}' P (\text{last } Cs))$ 
⟨proof⟩

code-pred
(modes:  $i \Rightarrow i \Rightarrow o \Rightarrow \text{bool}$  as init-obj-pred)
 $\text{init-obj}'$ 
⟨proof⟩

```

```

lemma  $\text{init-obj-pred-conv}$ :  $\text{set-of-pred} (\text{init-obj-pred } P C) = \text{Collect} (\text{init-obj}' P C)$ 
⟨proof⟩

```

```
lift-definition  $\text{blank}' :: \text{prog} \Rightarrow \text{cname} \Rightarrow \text{obj}'$  is  $\text{blank}$  ⟨proof⟩
```

```
lemma  $\text{blank}'\text{-code}$  [code]:
 $\text{blank}' P C = (C, \text{set-of-pred} (\text{init-obj-pred } P C))$ 
⟨proof⟩
```

```
type-synonym  $\text{heap}' = \text{addr} \multimap \text{obj}'$ 
```

**abbreviation**

$cname\text{-}of' :: heap' \Rightarrow addr \Rightarrow cname$  **where**  
 $\bigwedge hp. \; cname\text{-}of' hp a == fst (the (hp a))$

**lift-definition**  $new\text{-}Addr' :: heap' \Rightarrow addr$  option **is**  $new\text{-}Addr \langle proof \rangle$

**lift-definition**  $start\text{-}heap' :: prog \Rightarrow heap'$  **is**  $start\text{-}heap \langle proof \rangle$

**lemma**  $start\text{-}heap'\text{-}code [code]$ :  
 $start\text{-}heap' P = Map.empty (addr\text{-}of\text{-}sys\text{-}xcpt NullPointer \mapsto blank' P NullPointer,$   
 $addr\text{-}of\text{-}sys\text{-}xcpt ClassCast \mapsto blank' P ClassCast,$   
 $addr\text{-}of\text{-}sys\text{-}xcpt OutOfMemory \mapsto blank' P OutOfMemory)$   
 $\langle proof \rangle$

**type-synonym**  
 $state' = heap' \times locals$

**lift-definition**  $hp' :: state' \Rightarrow heap'$  **is**  $hp \langle proof \rangle$

**lemma**  $hp'\text{-}code [code]$ :  $hp' = fst \langle proof \rangle$

**lift-definition**  $lcl' :: state' \Rightarrow locals$  **is**  $lcl \langle proof \rangle$

**lemma**  $lcl\text{-}code [code]$ :  $lcl' = snd \langle proof \rangle$

**lift-definition**  $eval' :: prog \Rightarrow env \Rightarrow expr \Rightarrow state' \Rightarrow expr \Rightarrow state' \Rightarrow bool$   
 $(\langle \cdot, \cdot \vdash ((1 \langle \cdot, \cdot \rangle) \Rightarrow' / (1 \langle \cdot, \cdot \rangle)) \rangle [51, 0, 0, 0, 0] 81)$   
**is**  $eval \langle proof \rangle$

**lift-definition**  $evals' :: prog \Rightarrow env \Rightarrow expr \text{ list} \Rightarrow state' \Rightarrow expr \text{ list} \Rightarrow state' \Rightarrow bool$   
 $(\langle \cdot, \cdot \vdash ((1 \langle \cdot, \cdot \rangle) [\Rightarrow']) / (1 \langle \cdot, \cdot \rangle)) \rangle [51, 0, 0, 0, 0] 81)$   
**is**  $evals \langle proof \rangle$

**lemma**  $New'$ :  
 $\llbracket new\text{-}Addr' h = Some a; h' = h(a \mapsto (blank' P C)) \rrbracket$   
 $\implies P, E \vdash \langle new C, (h, l) \rangle \Rightarrow' \langle ref (a, [C]), (h', l) \rangle$   
 $\langle proof \rangle$

**lemma**  $NewFail'$ :  
 $new\text{-}Addr' h = None \implies$   
 $P, E \vdash \langle new C, (h, l) \rangle \Rightarrow' \langle \text{THROW OutOfMemory}, (h, l) \rangle$   
 $\langle proof \rangle$

**lemma**  $StaticUpCast'$ :  
 $\llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle ref (a, Cs), s_1 \rangle; P \vdash \text{Path last } Cs \text{ to } C \text{ via } Cs'; Ds = Cs @_p Cs' \rrbracket$

$\implies P, E \vdash \langle (C)e, s_0 \rangle \Rightarrow' \langle \text{ref } (a, Ds), s_1 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *StaticDownCast'-new:*

$\llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{ref } (a, Ds), s_1 \rangle; \text{app } Cs [C] Ds'; \text{app } Ds' Cs' Ds \rrbracket$   
 $\implies P, E \vdash \langle (C)e, s_0 \rangle \Rightarrow' \langle \text{ref } (a, Cs@[C]), s_1 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *StaticCastNull':*

$P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{null}, s_1 \rangle \implies$   
 $P, E \vdash \langle (C)e, s_0 \rangle \Rightarrow' \langle \text{null}, s_1 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *StaticCastFail'-new:*

$\llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{ref } (a, Cs), s_1 \rangle; \neg (\text{subcls1p } P) \wedge \text{last } Cs \in C; C \notin \text{set } Cs \rrbracket$   
 $\implies P, E \vdash \langle (C)e, s_0 \rangle \Rightarrow' \langle \text{THROW ClassCast}, s_1 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *StaticCastThrow':*

$P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{throw } e', s_1 \rangle \implies$   
 $P, E \vdash \langle (C)e, s_0 \rangle \Rightarrow' \langle \text{throw } e', s_1 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *StaticUpDynCast':*

$\llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{ref } (a, Cs), s_1 \rangle; P \vdash \text{Path last } Cs \text{ to } C \text{ unique};$   
 $P \vdash \text{Path last } Cs \text{ to } C \text{ via } Cs'; Ds = Cs@_p Cs' \rrbracket$   
 $\implies P, E \vdash \langle \text{Cast } C e, s_0 \rangle \Rightarrow' \langle \text{ref } (a, Ds), s_1 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *StaticDownDynCast'-new:*

$\llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{ref } (a, Ds), s_1 \rangle; \text{app } Cs [C] Ds'; \text{app } Ds' Cs' Ds \rrbracket$   
 $\implies P, E \vdash \langle \text{Cast } C e, s_0 \rangle \Rightarrow' \langle \text{ref } (a, Cs@[C]), s_1 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *DynCast':*

$\llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{ref } (a, Cs), (h, l) \rangle; h a = \text{Some}(D, S);$   
 $P \vdash \text{Path } D \text{ to } C \text{ via } Cs'; P \vdash \text{Path } D \text{ to } C \text{ unique } \rrbracket$   
 $\implies P, E \vdash \langle \text{Cast } C e, s_0 \rangle \Rightarrow' \langle \text{ref } (a, Cs'), (h, l) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *DynCastNull':*

$P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{null}, s_1 \rangle \implies$   
 $P, E \vdash \langle \text{Cast } C e, s_0 \rangle \Rightarrow' \langle \text{null}, s_1 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *DynCastFail':*

$\llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{ref } (a, Cs), (h, l) \rangle; h a = \text{Some}(D, S); \neg P \vdash \text{Path } D \text{ to } C \text{ unique};$   
 $\neg P \vdash \text{Path last } Cs \text{ to } C \text{ unique}; C \notin \text{set } Cs \rrbracket$   
 $\implies P, E \vdash \langle \text{Cast } C e, s_0 \rangle \Rightarrow' \langle \text{null}, (h, l) \rangle$

$\langle proof \rangle$

**lemma**  $DynCastThrow'$ :

$$\begin{aligned} P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{throw } e', s_1 \rangle &\implies \\ P, E \vdash \langle \text{Cast } C e, s_0 \rangle \Rightarrow' \langle \text{throw } e', s_1 \rangle & \\ \langle proof \rangle & \end{aligned}$$

**lemma**  $Val'$ :

$$\begin{aligned} P, E \vdash \langle Val v, s \rangle \Rightarrow' \langle Val v, s \rangle & \\ \langle proof \rangle & \end{aligned}$$

**lemma**  $BinOp'$ :

$$\begin{aligned} \llbracket P, E \vdash \langle e_1, s_0 \rangle \Rightarrow' \langle Val v_1, s_1 \rangle; P, E \vdash \langle e_2, s_1 \rangle \Rightarrow' \langle Val v_2, s_2 \rangle; \\ binop(bop, v_1, v_2) = Some v \rrbracket \\ \implies P, E \vdash \langle e_1 \llbracket bop \rrbracket e_2, s_0 \rangle \Rightarrow' \langle Val v, s_2 \rangle & \\ \langle proof \rangle & \end{aligned}$$

**lemma**  $BinOpThrow1'$ :

$$\begin{aligned} P, E \vdash \langle e_1, s_0 \rangle \Rightarrow' \langle \text{throw } e, s_1 \rangle &\implies \\ P, E \vdash \langle e_1 \llbracket bop \rrbracket e_2, s_0 \rangle \Rightarrow' \langle \text{throw } e, s_1 \rangle & \\ \langle proof \rangle & \end{aligned}$$

**lemma**  $BinOpThrow2'$ :

$$\begin{aligned} \llbracket P, E \vdash \langle e_1, s_0 \rangle \Rightarrow' \langle Val v_1, s_1 \rangle; P, E \vdash \langle e_2, s_1 \rangle \Rightarrow' \langle \text{throw } e, s_2 \rangle \rrbracket \\ \implies P, E \vdash \langle e_1 \llbracket bop \rrbracket e_2, s_0 \rangle \Rightarrow' \langle \text{throw } e, s_2 \rangle & \\ \langle proof \rangle & \end{aligned}$$

**lemma**  $Var'$ :

$$\begin{aligned} l V = Some v &\implies \\ P, E \vdash \langle Var V, (h, l) \rangle \Rightarrow' \langle Val v, (h, l) \rangle & \\ \langle proof \rangle & \end{aligned}$$

**lemma**  $LAss'$ :

$$\begin{aligned} \llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle Val v, (h, l) \rangle; E V = Some T; \\ P \vdash T \text{ casts } v \text{ to } v'; l' = l(V \mapsto v') \rrbracket \\ \implies P, E \vdash \langle V := e, s_0 \rangle \Rightarrow' \langle Val v', (h, l') \rangle & \\ \langle proof \rangle & \end{aligned}$$

**lemma**  $LAssThrow'$ :

$$\begin{aligned} P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{throw } e', s_1 \rangle &\implies \\ P, E \vdash \langle V := e, s_0 \rangle \Rightarrow' \langle \text{throw } e', s_1 \rangle & \\ \langle proof \rangle & \end{aligned}$$

**lemma**  $FAcc'$ -new:

$$\begin{aligned} \llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{ref } (a, Cs'), (h, l) \rangle; h a = Some(D, S); \\ Ds = Cs' @_p Cs; \text{Predicate-Compile.contains } (\text{Set-project } S Ds) fs; \text{Mapping.lookup } \\ fs F = Some v \rrbracket \\ \implies P, E \vdash \langle e \cdot F\{Cs\}, s_0 \rangle \Rightarrow' \langle Val v, (h, l) \rangle & \\ \langle proof \rangle & \end{aligned}$$

**lemma** *FAccNull'*:

$$\begin{aligned} P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{null}, s_1 \rangle &\implies \\ P, E \vdash \langle e \cdot F\{Cs\}, s_0 \rangle \Rightarrow' \langle \text{THROW NullPointer}, s_1 \rangle & \\ \langle \text{proof} \rangle & \end{aligned}$$

**lemma** *FAccThrow'*:

$$\begin{aligned} P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{throw } e', s_1 \rangle &\implies \\ P, E \vdash \langle e \cdot F\{Cs\}, s_0 \rangle \Rightarrow' \langle \text{throw } e', s_1 \rangle & \\ \langle \text{proof} \rangle & \end{aligned}$$

**lemma** *FAss'-new*:

$$\begin{aligned} \llbracket P, E \vdash \langle e_1, s_0 \rangle \Rightarrow' \langle \text{ref } (a, Cs'), s_1 \rangle; P, E \vdash \langle e_2, s_1 \rangle \Rightarrow' \langle \text{Val } v, (h_2, l_2) \rangle; \\ h_2 \ a = \text{Some}(D, S); P \vdash (\text{last } Cs') \text{ has least } F: T \text{ via } Cs; P \vdash T \text{ casts } v \text{ to } v'; \\ Ds = Cs' @_p Cs; \text{ Predicate-Compile.contains } (\text{Set-project } S \ Ds) \ fs; fs' = \text{Mapping.update } F \ v' \ fs; \\ S' = S - \{(Ds, fs)\} \cup \{(Ds, fs')\}; h_2' = h_2(a \mapsto (D, S')) \rrbracket \\ \implies P, E \vdash \langle e_1 \cdot F\{Cs\} := e_2, s_0 \rangle \Rightarrow' \langle \text{Val } v', (h_2', l_2) \rangle \\ \langle \text{proof} \rangle & \end{aligned}$$

**lemma** *FAssNull'*:

$$\begin{aligned} \llbracket P, E \vdash \langle e_1, s_0 \rangle \Rightarrow' \langle \text{null}, s_1 \rangle; P, E \vdash \langle e_2, s_1 \rangle \Rightarrow' \langle \text{Val } v, s_2 \rangle \rrbracket \implies \\ P, E \vdash \langle e_1 \cdot F\{Cs\} := e_2, s_0 \rangle \Rightarrow' \langle \text{THROW NullPointer}, s_2 \rangle \\ \langle \text{proof} \rangle & \end{aligned}$$

**lemma** *FAssThrow1'*:

$$\begin{aligned} P, E \vdash \langle e_1, s_0 \rangle \Rightarrow' \langle \text{throw } e', s_1 \rangle &\implies \\ P, E \vdash \langle e_1 \cdot F\{Cs\} := e_2, s_0 \rangle \Rightarrow' \langle \text{throw } e', s_1 \rangle & \\ \langle \text{proof} \rangle & \end{aligned}$$

**lemma** *FAssThrow2'*:

$$\begin{aligned} \llbracket P, E \vdash \langle e_1, s_0 \rangle \Rightarrow' \langle \text{Val } v, s_1 \rangle; P, E \vdash \langle e_2, s_1 \rangle \Rightarrow' \langle \text{throw } e', s_2 \rangle \rrbracket \\ \implies P, E \vdash \langle e_1 \cdot F\{Cs\} := e_2, s_0 \rangle \Rightarrow' \langle \text{throw } e', s_2 \rangle \\ \langle \text{proof} \rangle & \end{aligned}$$

**lemma** *CallObjThrow'*:

$$\begin{aligned} P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{throw } e', s_1 \rangle &\implies \\ P, E \vdash \langle \text{Call } e \text{ Copt } M \ es, s_0 \rangle \Rightarrow' \langle \text{throw } e', s_1 \rangle & \\ \langle \text{proof} \rangle & \end{aligned}$$

**lemma** *CallParamsThrow'-new*:

$$\begin{aligned} \llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{Val } v, s_1 \rangle; P, E \vdash \langle es, s_1 \rangle [\Rightarrow] \langle evs, s_2 \rangle; \\ \text{map-val2 } evs \ vs \ (\text{throw } ex \ # \ es') \rrbracket \\ \implies P, E \vdash \langle \text{Call } e \text{ Copt } M \ es, s_0 \rangle \Rightarrow' \langle \text{throw } ex, s_2 \rangle \\ \langle \text{proof} \rangle & \end{aligned}$$

**lemma** *Call'-new*:

$$\begin{aligned} \llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{ref } (a, Cs), s_1 \rangle; P, E \vdash \langle ps, s_1 \rangle [\Rightarrow] \langle evs, (h_2, l_2) \rangle; \\ \text{map-val } evs \ vs; & \end{aligned}$$

$h_2 a = \text{Some}(C,S); P \vdash \text{last } Cs \text{ has least } M = (Ts', T', pns', \text{body}') \text{ via } Ds;$   
 $P \vdash (C, Cs @_p Ds) \text{ selects } M = (Ts, T, pns, \text{body}) \text{ via } Cs'; \text{length } vs = \text{length } pns;$

$P \vdash Ts \text{ Casts } vs \text{ to } vs'; l_2' = [\text{this} \rightarrow \text{Ref } (a, Cs'), pns[\rightarrow] vs'];$   
 $\text{new-body} = (\text{case } T' \text{ of Class } D \Rightarrow (D) \text{body} \mid - \Rightarrow \text{body});$   
 $P, E(\text{this} \rightarrow \text{Class}(\text{last } Cs'), pns[\rightarrow] Ts) \vdash \langle \text{new-body}, (h_2, l_2') \rangle \Rightarrow' \langle e', (h_3, l_3) \rangle \llbracket$   
 $\Rightarrow P, E \vdash \langle e \cdot M(ps), s_0 \rangle \Rightarrow' \langle e', (h_3, l_2) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *StaticCall'-new*:

$\llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{ref } (a, Cs), s_1 \rangle; P, E \vdash \langle ps, s_1 \rangle \Rightarrow' \langle evs, (h_2, l_2) \rangle; \text{map-val } evs \text{ vs};$   
 $P \vdash \text{Path}(\text{last } Cs) \text{ to } C \text{ unique}; P \vdash \text{Path}(\text{last } Cs) \text{ to } C \text{ via } Cs'';$   
 $P \vdash C \text{ has least } M = (Ts, T, pns, \text{body}) \text{ via } Cs'; Ds = (Cs @_p Cs'') @_p Cs';$   
 $\text{length } vs = \text{length } pns; P \vdash Ts \text{ Casts } vs \text{ to } vs';$   
 $l_2' = [\text{this} \rightarrow \text{Ref } (a, Ds), pns[\rightarrow] vs'];$   
 $P, E(\text{this} \rightarrow \text{Class}(\text{last } Ds), pns[\rightarrow] Ts) \vdash \langle \text{body}, (h_2, l_2') \rangle \Rightarrow' \langle e', (h_3, l_3) \rangle \llbracket$   
 $\Rightarrow P, E \vdash \langle e \cdot (C::)M(ps), s_0 \rangle \Rightarrow' \langle e', (h_3, l_2) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *CallNull'-new*:

$\llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{null}, s_1 \rangle; P, E \vdash \langle es, s_1 \rangle \Rightarrow' \langle evs, s_2 \rangle; \text{map-val } evs \text{ vs} \llbracket$   
 $\Rightarrow P, E \vdash \langle \text{Call } e \text{ Copt } M \text{ es}, s_0 \rangle \Rightarrow' \langle \text{THROW NullPointer}, s_2 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *Block'*:

$\llbracket P, E(V \mapsto T) \vdash \langle e_0, (h_0, l_0(V:=\text{None})) \rangle \Rightarrow' \langle e_1, (h_1, l_1) \rangle \llbracket$   
 $P, E \vdash \langle \{V:T; e_0\}, (h_0, l_0) \rangle \Rightarrow' \langle e_1, (h_1, l_1(V:=l_0 \ V)) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *Seq'*:

$\llbracket P, E \vdash \langle e_0, s_0 \rangle \Rightarrow' \langle \text{Val } v, s_1 \rangle; P, E \vdash \langle e_1, s_1 \rangle \Rightarrow' \langle e_2, s_2 \rangle \llbracket$   
 $\Rightarrow P, E \vdash \langle e_0;; e_1, s_0 \rangle \Rightarrow' \langle e_2, s_2 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *SeqThrow'*:

$P, E \vdash \langle e_0, s_0 \rangle \Rightarrow' \langle \text{throw } e, s_1 \rangle \Rightarrow$   
 $P, E \vdash \langle e_0;; e_1, s_0 \rangle \Rightarrow' \langle \text{throw } e, s_1 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *CondT'*:

$\llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{true}, s_1 \rangle; P, E \vdash \langle e_1, s_1 \rangle \Rightarrow' \langle e', s_2 \rangle \llbracket$   
 $\Rightarrow P, E \vdash \langle \text{if } (e) e_1 \text{ else } e_2, s_0 \rangle \Rightarrow' \langle e', s_2 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *CondF'*:

$\llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{false}, s_1 \rangle; P, E \vdash \langle e_2, s_1 \rangle \Rightarrow' \langle e', s_2 \rangle \llbracket$   
 $\Rightarrow P, E \vdash \langle \text{if } (e) e_1 \text{ else } e_2, s_0 \rangle \Rightarrow' \langle e', s_2 \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *CondThrow'*:

$$\begin{aligned} P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{throw } e', s_1 \rangle &\implies \\ P, E \vdash \langle \text{if } (e) \ e_1 \ \text{else } e_2, s_0 \rangle \Rightarrow' \langle \text{throw } e', s_1 \rangle &\\ \langle \text{proof} \rangle \end{aligned}$$

**lemma** *WhileF'*:

$$\begin{aligned} P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{false}, s_1 \rangle &\implies \\ P, E \vdash \langle \text{while } (e) \ c, s_0 \rangle \Rightarrow' \langle \text{unit}, s_1 \rangle &\\ \langle \text{proof} \rangle \end{aligned}$$

**lemma** *WhileT'*:

$$\begin{aligned} \llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{true}, s_1 \rangle; P, E \vdash \langle c, s_1 \rangle \Rightarrow' \langle \text{Val } v_1, s_2 \rangle; \\ P, E \vdash \langle \text{while } (e) \ c, s_2 \rangle \Rightarrow' \langle e_3, s_3 \rangle \rrbracket \\ \implies P, E \vdash \langle \text{while } (e) \ c, s_0 \rangle \Rightarrow' \langle e_3, s_3 \rangle \\ \langle \text{proof} \rangle \end{aligned}$$

**lemma** *WhileCondThrow'*:

$$\begin{aligned} P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{throw } e', s_1 \rangle &\implies \\ P, E \vdash \langle \text{while } (e) \ c, s_0 \rangle \Rightarrow' \langle \text{throw } e', s_1 \rangle &\\ \langle \text{proof} \rangle \end{aligned}$$

**lemma** *WhileBodyThrow'*:

$$\begin{aligned} \llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{true}, s_1 \rangle; P, E \vdash \langle c, s_1 \rangle \Rightarrow' \langle \text{throw } e', s_2 \rangle \rrbracket \\ \implies P, E \vdash \langle \text{while } (e) \ c, s_0 \rangle \Rightarrow' \langle \text{throw } e', s_2 \rangle \\ \langle \text{proof} \rangle \end{aligned}$$

**lemma** *Throw'*:

$$\begin{aligned} P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{ref } r, s_1 \rangle &\implies \\ P, E \vdash \langle \text{throw } e, s_0 \rangle \Rightarrow' \langle \text{Throw } r, s_1 \rangle &\\ \langle \text{proof} \rangle \end{aligned}$$

**lemma** *ThrowNull'*:

$$\begin{aligned} P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{null}, s_1 \rangle &\implies \\ P, E \vdash \langle \text{throw } e, s_0 \rangle \Rightarrow' \langle \text{THROW NullPointer}, s_1 \rangle &\\ \langle \text{proof} \rangle \end{aligned}$$

**lemma** *ThrowThrow'*:

$$\begin{aligned} P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{throw } e', s_1 \rangle &\implies \\ P, E \vdash \langle \text{throw } e, s_0 \rangle \Rightarrow' \langle \text{throw } e', s_1 \rangle &\\ \langle \text{proof} \rangle \end{aligned}$$

**lemma** *Nil'*:

$$\begin{aligned} P, E \vdash \langle [], s \rangle \Rightarrow' \langle [], s \rangle &\\ \langle \text{proof} \rangle \end{aligned}$$

**lemma** *Cons'*:

$$\begin{aligned} \llbracket P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{Val } v, s_1 \rangle; P, E \vdash \langle es, s_1 \rangle \Rightarrow' \langle es', s_2 \rangle \rrbracket \\ \implies P, E \vdash \langle e \# es, s_0 \rangle \Rightarrow' \langle \text{Val } v \ # es', s_2 \rangle \end{aligned}$$

$\langle proof \rangle$

**lemma** *ConsThrow'*:

$$\begin{aligned} P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{throw } e', s_1 \rangle &\implies \\ P, E \vdash \langle e \# es, s_0 \rangle [\Rightarrow] \langle \text{throw } e' \# es, s_1 \rangle & \\ \langle proof \rangle \end{aligned}$$

Axiomatic heap address model refinement

**partial-function** (*option*) *lowest* :: (*nat*  $\Rightarrow$  *bool*)  $\Rightarrow$  *nat*  $\Rightarrow$  *nat option*

**where**

$$[\text{code}]: \text{lowest } P n = (\text{if } P n \text{ then } \text{Some } n \text{ else } \text{lowest } P (\text{Suc } n))$$

**axiomatization**

**where**

$$\text{new-Addr}'\text{-code} [\text{code}]: \text{new-Addr}' h = \text{lowest} (\text{Option.is-none } \circ h) 0$$

— admissible: a tightening of the specification of *new-Addr'*

**lemma** *eval'-cases*

[consumes 1,

case-names *New NewFail StaticUpCast StaticDownCast StaticCastNull Static-CastFail*

*StaticCastThrow StaticUpDynCast StaticDownDynCast DynCast DynCastNull DynCastFail*

*DynCastThrow Val BinOp BinOpThrow1 BinOpThrow2 Var LAss LAssThrow FAcc FAccNull FAccThrow*

*FAss FAssNull FAssThrow1 FAssThrow2 CallObjThrow CallParamsThrow Call StaticCall CallNull*

*Block Seq SeqThrow CondT CondF CondThrow WhileF WhileT WhileCondThrow WhileBodyThrow*

*Throw ThrowNull ThrowThrow*]:

**assumes**  $P, x \vdash \langle y, z \rangle \Rightarrow' \langle u, v \rangle$

**and**  $\bigwedge h a h' C E l. x = E \implies y = \text{new } C \implies z = (h, l) \implies u = \text{ref } (a, [C])$

$\implies$

$v = (h', l) \implies \text{new-Addr}' h = \lfloor a \rfloor \implies h' = h(a \mapsto \text{blank}' P C) \implies \text{thesis}$

**and**  $\bigwedge h E C l. x = E \implies y = \text{new } C \implies z = (h, l) \implies$

$u = \text{Throw} (\text{addr-of-sys-xcpt OutOfMemory}, [\text{OutOfMemory}]) \implies$

$v = (h, l) \implies \text{new-Addr}' h = \text{None} \implies \text{thesis}$

**and**  $\bigwedge E e s_0 a Cs s_1 C Cs' Ds. x = E \implies y = \langle C \rangle e \implies z = s_0 \implies$

$u = \text{ref } (a, Ds) \implies v = s_1 \implies P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{ref } (a, Cs), s_1 \rangle \implies$

$P \vdash \text{Path last } Cs \text{ to } C \text{ via } Cs' \implies Ds = Cs @_p Cs' \implies \text{thesis}$

**and**  $\bigwedge E e s_0 a Cs C Cs' s_1. x = E \implies y = \langle C \rangle e \implies z = s_0 \implies u = \text{ref } (a, Cs @ [C]) \implies$

$v = s_1 \implies P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{ref } (a, Cs @ [C] @_ Cs'), s_1 \rangle \implies \text{thesis}$

**and**  $\bigwedge E e s_0 s_1 C. x = E \implies y = \langle C \rangle e \implies z = s_0 \implies u = \text{null} \implies v = s_1$

$\implies$

$P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{null}, s_1 \rangle \implies \text{thesis}$

**and**  $\bigwedge E e s_0 a Cs s_1 C. x = E \implies y = \langle C \rangle e \implies z = s_0 \implies$

$u = \text{Throw} (\text{addr-of-sys-xcpt ClassCast}, [\text{ClassCast}]) \implies v = s_1 \implies$

$P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{ref } (a, Cs), s_1 \rangle \implies (\text{last } Cs, C) \notin (\text{subcls1 } P)^* \implies C \notin \text{set}$

$Cs \implies thesis$   
**and**  $\bigwedge E e s_0 e' s_1 C. x = E \implies y = (\|C\|)e \implies z = s_0 \implies u = throw e' \implies v = s_1 \implies$   
 $P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle throw e', s_1 \rangle \implies thesis$   
**and**  $\bigwedge E e s_0 a Cs s_1 C Cs' Ds. x = E \implies y = Cast C e \implies z = s_0 \implies u = ref(a, Ds) \implies$   
 $v = s_1 \implies P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle ref(a, Cs), s_1 \rangle \implies P \vdash Path\ last\ Cs\ to\ C\ unique \implies$   
 $P \vdash Path\ last\ Cs\ to\ C\ via\ Cs' \implies Ds = Cs @_p Cs' \implies thesis$   
**and**  $\bigwedge E e s_0 a Cs C Cs' s_1. x = E \implies y = Cast C e \implies z = s_0 \implies$   
 $u = ref(a, Cs @_ [C]) \implies v = s_1 \implies P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle ref(a, Cs @_ [C]), s_1 \rangle \implies thesis$   
**and**  $\bigwedge E e s_0 a Cs h l D S C Cs'. x = E \implies y = Cast C e \implies z = s_0 \implies$   
 $u = ref(a, Cs') \implies v = (h, l) \implies P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle ref(a, Cs), (h, l) \rangle \implies$   
 $h a = \lfloor (D, S) \rfloor \implies P \vdash Path\ D\ to\ C\ via\ Cs' \implies P \vdash Path\ D\ to\ C\ unique \implies thesis$   
**and**  $\bigwedge E e s_0 s_1 C. x = E \implies y = Cast C e \implies z = s_0 \implies u = null \implies v = s_1 \implies$   
 $P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle null, s_1 \rangle \implies thesis$   
**and**  $\bigwedge E e s_0 a Cs h l D S C. x = E \implies y = Cast C e \implies z = s_0 \implies u = null \implies$   
 $v = (h, l) \implies P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle ref(a, Cs), (h, l) \rangle \implies h a = \lfloor (D, S) \rfloor \implies$   
 $\neg P \vdash Path\ D\ to\ C\ unique \implies \neg P \vdash Path\ last\ Cs\ to\ C\ unique \implies C \notin set\ Cs \implies thesis$   
**and**  $\bigwedge E e s_0 e' s_1 C. x = E \implies y = Cast C e \implies z = s_0 \implies u = throw e' \implies v = s_1 \implies$   
 $P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle throw e', s_1 \rangle \implies thesis$   
**and**  $\bigwedge E va s. x = E \implies y = Val va \implies z = s \implies u = Val va \implies v = s \implies thesis$   
**and**  $\bigwedge E e_1 s_0 v_1 s_1 e_2 v_2 s_2 bop va. x = E \implies y = e_1 \llbracket bop \rrbracket e_2 \implies z = s_0 \implies$   
 $u = Val va \implies v = s_2 \implies P, E \vdash \langle e_1, s_0 \rangle \Rightarrow' \langle Val v_1, s_1 \rangle \implies$   
 $P, E \vdash \langle e_2, s_1 \rangle \Rightarrow' \langle Val v_2, s_2 \rangle \implies binop(bop, v_1, v_2) = \lfloor va \rfloor \implies thesis$   
**and**  $\bigwedge E e_1 s_0 e s_1 bop e_2. x = E \implies y = e_1 \llbracket bop \rrbracket e_2 \implies z = s_0 \implies u = throw e \implies v = s_1 \implies$   
 $P, E \vdash \langle e_1, s_0 \rangle \Rightarrow' \langle throw e, s_1 \rangle \implies thesis$   
**and**  $\bigwedge E e_1 s_0 v_1 s_1 e_2 e s_2 bop. x = E \implies y = e_1 \llbracket bop \rrbracket e_2 \implies z = s_0 \implies u = throw e \implies$   
 $v = s_2 \implies P, E \vdash \langle e_1, s_0 \rangle \Rightarrow' \langle Val v_1, s_1 \rangle \implies P, E \vdash \langle e_2, s_1 \rangle \Rightarrow' \langle throw e, s_2 \rangle \implies thesis$   
**and**  $\bigwedge l V va E h. x = E \implies y = Var V \implies z = (h, l) \implies u = Val va \implies v = (h, l) \implies$   
 $l V = \lfloor va \rfloor \implies thesis$   
**and**  $\bigwedge E e s_0 va h l V T v' l'. x = E \implies y = V := e \implies z = s_0 \implies u = Val v' \implies$   
 $v = (h, l') \implies P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle Val va, (h, l') \rangle \implies$   
 $E V = \lfloor T \rfloor \implies P \vdash T \ casts\ va\ to\ v' \implies l' = l(V \mapsto v') \implies thesis$   
**and**  $\bigwedge E e s_0 e' s_1 V. x = E \implies y = V := e \implies z = s_0 \implies u = throw e' \implies v = s_1 \implies$   
 $P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle throw e', s_1 \rangle \implies thesis$

**and**  $\bigwedge E e s_0 a Cs' h l D S Ds Cs fs F va. x = E \implies y = e \cdot F\{Cs\} \implies z = s_0$   
 $\implies$   
 $u = Val va \implies v = (h, l) \implies P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle ref(a, Cs'), (h, l) \rangle \implies$   
 $h a = \lfloor (D, S) \rfloor \implies Ds = Cs' @_p Cs \implies (Ds, fs) \in S \implies Mapping.lookup fs$   
 $F = \lfloor va \rfloor \implies thesis$

**and**  $\bigwedge E e s_0 s_1 F Cs. x = E \implies y = e \cdot F\{Cs\} \implies z = s_0 \implies$   
 $u = Throw(addr-of-sys-xcpt NullPointer, [NullPointer]) \implies$   
 $v = s_1 \implies P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle null, s_1 \rangle \implies thesis$

**and**  $\bigwedge E e s_0 e' s_1 F Cs. x = E \implies y = e \cdot F\{Cs\} \implies z = s_0 \implies u = throw e'$   
 $\implies v = s_1 \implies$   
 $P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle throw e', s_1 \rangle \implies thesis$

**and**  $\bigwedge E e_1 s_0 a Cs' s_1 e_2 va h_2 l_2 D S F T Cs v' Ds fs fs' S' h_2'.$   
 $x = E \implies y = e_1 \cdot F\{Cs\} := e_2 \implies z = s_0 \implies u = Val v' \implies v = (h_2', l_2)$   
 $\implies$   
 $P, E \vdash \langle e_1, s_0 \rangle \Rightarrow' \langle ref(a, Cs'), s_1 \rangle \implies P, E \vdash \langle e_2, s_1 \rangle \Rightarrow' \langle Val va, (h_2, l_2) \rangle \implies$   
 $h_2 a = \lfloor (D, S) \rfloor \implies P \vdash last Cs' has least F:T via Cs \implies$   
 $P \vdash T casts va to v' \implies Ds = Cs' @_p Cs \implies (Ds, fs) \in S \implies fs' =$   
 $Mapping.update F v' fs \implies$   
 $S' = S - \{(Ds, fs)\} \cup \{(Ds, fs')\} \implies h_2' = h_2(a \mapsto (D, S')) \implies thesis$

**and**  $\bigwedge E e_1 s_0 s_1 e_2 va s_2 F Cs. x = E \implies y = e_1 \cdot F\{Cs\} := e_2 \implies z = s_0 \implies$   
 $u = Throw(addr-of-sys-xcpt NullPointer, [NullPointer]) \implies$   
 $v = s_2 \implies P, E \vdash \langle e_1, s_0 \rangle \Rightarrow' \langle null, s_1 \rangle \implies P, E \vdash \langle e_2, s_1 \rangle \Rightarrow' \langle Val va, s_2 \rangle \implies$   
 $thesis$

**and**  $\bigwedge E e_1 s_0 e' s_1 F Cs e_2. x = E \implies y = e_1 \cdot F\{Cs\} := e_2 \implies$   
 $z = s_0 \implies u = throw e' \implies v = s_1 \implies P, E \vdash \langle e_1, s_0 \rangle \Rightarrow' \langle throw e', s_1 \rangle \implies$   
 $thesis$

**and**  $\bigwedge E e_1 s_0 va s_1 e_2 e' s_2 F Cs. x = E \implies y = e_1 \cdot F\{Cs\} := e_2 \implies z = s_0$   
 $\implies$   
 $u = throw e' \implies v = s_2 \implies P, E \vdash \langle e_1, s_0 \rangle \Rightarrow' \langle Val va, s_1 \rangle \implies P, E \vdash \langle e_2, s_1 \rangle$   
 $\Rightarrow' \langle throw e', s_2 \rangle \implies$   
 $thesis$

**and**  $\bigwedge E e s_0 e' s_1 Copt M es. x = E \implies y = Call e Copt M es \implies$   
 $z = s_0 \implies u = throw e' \implies v = s_1 \implies P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle throw e', s_1 \rangle \implies$   
 $thesis$

**and**  $\bigwedge E e s_0 va s_1 es vs ex es' s_2 Copt M. x = E \implies y = Call e Copt M es \implies$   
 $z = s_0 \implies u = throw ex \implies v = s_2 \implies P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle Val va, s_1 \rangle \implies$   
 $P, E \vdash \langle es, s_1 \rangle [\Rightarrow'] \langle map Val vs @_ throw ex \# es', s_2 \rangle \implies thesis$

**and**  $\bigwedge E e s_0 a Cs s_1 ps vs h_2 l_2 C S M Ts' T' pns' body' Ds Ts T pns body Cs'$   
 $vs' l_2' new-body e'$   
 $h_3 l_3. x = E \implies y = Call e None M ps \implies z = s_0 \implies u = e' \implies v = (h_3,$   
 $l_2) \implies$   
 $P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle ref(a, Cs), s_1 \rangle \implies P, E \vdash \langle ps, s_1 \rangle [\Rightarrow'] \langle map Val vs, (h_2, l_2) \rangle$   
 $\implies$   
 $h_2 a = \lfloor (C, S) \rfloor \implies P \vdash last Cs has least M = (Ts', T', pns', body') via Ds$   
 $\implies$   
 $P \vdash (C, Cs @_p Ds) selects M = (Ts, T, pns, body) via Cs' \implies length vs =$   
 $length pns \implies$   
 $P \vdash Ts Casts vs to vs' \implies l_2' = [this \mapsto Ref(a, Cs'), pns \mapsto] vs' \implies$   
 $new-body = (case T' of Class D \Rightarrow (\|D\|body | - \Rightarrow body)) \implies$

$$\begin{aligned}
& P, E(this \mapsto \text{Class } (\text{last } Cs'), pns \xrightarrow{\cdot} Ts) \vdash \langle \text{new-body}, (h_2, l_2') \rangle \Rightarrow' \langle e', (h_3, l_3) \rangle \\
\implies & \text{thesis} \\
& \mathbf{and} \quad \bigwedge E e s_0 a Cs s_1 ps vs h_2 l_2 C Cs'' M Ts T pns body Cs' Ds vs' l_2' e' h_3 l_3. \\
& x = E \implies y = \text{Call } e [C] M ps \implies z = s_0 \implies u = e' \implies v = (h_3, l_2) \implies \\
& P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{ref } (a, Cs), s_1 \rangle \implies P, E \vdash \langle ps, s_1 \rangle \xrightarrow{\cdot} \langle \text{map Val } vs, (h_2, l_2) \rangle \\
\implies & P \vdash \text{Path last } Cs \text{ to } C \text{ unique} \implies P \vdash \text{Path last } Cs \text{ to } C \text{ via } Cs'' \implies \\
& P \vdash C \text{ has least } M = (Ts, T, pns, \text{body}) \text{ via } Cs' \implies Ds = (Cs @_p Cs'') @_p Cs' \\
\implies & \text{length } vs = \text{length } pns \implies P \vdash Ts \text{ Casts } vs \text{ to } vs' \implies \\
& l_2' = [\text{this} \mapsto \text{Ref } (a, Ds), pns \xrightarrow{\cdot} vs'] \implies \\
& P, E(this \mapsto \text{Class } (\text{last } Ds), pns \xrightarrow{\cdot} Ts) \vdash \langle \text{body}, (h_2, l_2') \rangle \Rightarrow' \langle e', (h_3, l_3) \rangle \implies \\
& \text{thesis} \\
& \mathbf{and} \quad \bigwedge E e s_0 s_1 es vs s_2 Copt M. x = E \implies y = \text{Call } e \text{ Copt } M es \implies z = s_0 \\
\implies & u = \text{Throw } (\text{addr-of-sys-xcpt NullPointer}, [\text{NullPointer}]) \implies \\
& v = s_2 \implies P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{null}, s_1 \rangle \implies P, E \vdash \langle es, s_1 \rangle \xrightarrow{\cdot} \langle \text{map Val } vs, s_2 \rangle \\
\implies & \text{thesis} \\
& \mathbf{and} \quad \bigwedge E V T e_0 h_0 l_0 e_1 h_1 l_1. \\
& x = E \implies y = \{V:T; e_0\} \implies z = (h_0, l_0) \implies u = e_1 \implies \\
& v = (h_1, l_1(V := l_0 V)) \implies P, E(V \mapsto T) \vdash \langle e_0, (h_0, l_0(V := \text{None})) \rangle \Rightarrow' \\
& \langle e_1, (h_1, l_1) \rangle \implies \text{thesis} \\
& \mathbf{and} \quad \bigwedge E e_0 s_0 va s_1 e_1 e_2 s_2. x = E \implies y = e_0;; e_1 \implies z = s_0 \implies u = e_2 \implies \\
& v = s_2 \implies P, E \vdash \langle e_0, s_0 \rangle \Rightarrow' \langle \text{Val } va, s_1 \rangle \implies P, E \vdash \langle e_1, s_1 \rangle \Rightarrow' \langle e_2, s_2 \rangle \implies \\
& \text{thesis} \\
& \mathbf{and} \quad \bigwedge E e_0 s_0 e s_1 e_1. x = E \implies y = e_0;; e_1 \implies z = s_0 \implies u = \text{throw } e \implies \\
& v = s_1 \implies \\
& P, E \vdash \langle e_0, s_0 \rangle \Rightarrow' \langle \text{throw } e, s_1 \rangle \implies \text{thesis} \\
& \mathbf{and} \quad \bigwedge E e s_0 s_1 e_1 e' s_2 e_2. x = E \implies y = \text{if } (e) e_1 \text{ else } e_2 \implies z = s_0 \implies u = e' \implies \\
& v = s_2 \implies P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{true}, s_1 \rangle \implies P, E \vdash \langle e_1, s_1 \rangle \Rightarrow' \langle e', s_2 \rangle \implies \text{thesis} \\
& \mathbf{and} \quad \bigwedge E e s_0 s_1 e_2 e' s_2 e_1. x = E \implies y = \text{if } (e) e_1 \text{ else } e_2 \implies z = s_0 \implies \\
& u = e' \implies v = s_2 \implies P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{false}, s_1 \rangle \implies P, E \vdash \langle e_2, s_1 \rangle \Rightarrow' \langle e', s_2 \rangle \\
\implies & \text{thesis} \\
& \mathbf{and} \quad \bigwedge E e s_0 e' s_1 e_1 e_2. x = E \implies y = \text{if } (e) e_1 \text{ else } e_2 \implies \\
& z = s_0 \implies u = \text{throw } e' \implies v = s_1 \implies P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{throw } e', s_1 \rangle \implies \\
& \text{thesis} \\
& \mathbf{and} \quad \bigwedge E e s_0 s_1 c. x = E \implies y = \text{while } (e) c \implies z = s_0 \implies u = \text{unit} \implies v = s_1 \implies \\
& P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{false}, s_1 \rangle \implies \text{thesis} \\
& \mathbf{and} \quad \bigwedge E e s_0 s_1 c v_1 s_2 e_3 s_3. x = E \implies y = \text{while } (e) c \implies z = s_0 \implies u = e_3 \implies \\
& v = s_3 \implies P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{true}, s_1 \rangle \implies P, E \vdash \langle c, s_1 \rangle \Rightarrow' \langle \text{Val } v_1, s_2 \rangle \implies \\
& P, E \vdash \langle \text{while } (e) c, s_2 \rangle \Rightarrow' \langle e_3, s_3 \rangle \implies \text{thesis} \\
& \mathbf{and} \quad \bigwedge E e s_0 e' s_1 c. x = E \implies y = \text{while } (e) c \implies z = s_0 \implies u = \text{throw } e' \\
\implies & v = s_1 \implies \\
& P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{throw } e', s_1 \rangle \implies \text{thesis} \\
& \mathbf{and} \quad \bigwedge E e s_0 s_1 c e' s_2. x = E \implies y = \text{while } (e) c \implies z = s_0 \implies u = \text{throw }
\end{aligned}$$

$e' \Rightarrow$   
 $v = s_2 \Rightarrow P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{true}, s_1 \rangle \Rightarrow P, E \vdash \langle c, s_1 \rangle \Rightarrow' \langle \text{throw } e', s_2 \rangle \Rightarrow$   
*thesis*  
**and**  $\bigwedge E e s_0 r s_1. x = E \Rightarrow y = \text{throw } e \Rightarrow$   
 $z = s_0 \Rightarrow u = \text{Throw } r \Rightarrow v = s_1 \Rightarrow P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{ref } r, s_1 \rangle \Rightarrow$   
*thesis*  
**and**  $\bigwedge E e s_0 s_1. x = E \Rightarrow y = \text{throw } e \Rightarrow z = s_0 \Rightarrow$   
 $u = \text{Throw}(\text{addr-of-sys-xcpt NullPointer}, [\text{NullPointer}]) \Rightarrow$   
 $v = s_1 \Rightarrow P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{null}, s_1 \rangle \Rightarrow$   
*thesis*  
**and**  $\bigwedge E e s_0 e' s_1. x = E \Rightarrow y = \text{throw } e \Rightarrow$   
 $z = s_0 \Rightarrow u = \text{throw } e' \Rightarrow v = s_1 \Rightarrow P, E \vdash \langle e, s_0 \rangle \Rightarrow' \langle \text{throw } e', s_1 \rangle \Rightarrow$   
*thesis*  
**shows** *thesis*  
*(proof)*

```

lemmas [code-pred-intro] = New' NewFail' StaticUpCast'
declare StaticDownCast'-new[code-pred-intro StaticDownCast']
lemmas [code-pred-intro] = StaticCastNull'
declare StaticCastFail'-new[code-pred-intro StaticCastFail']
lemmas [code-pred-intro] = StaticCastThrow' StaticUpDynCast'
declare
  StaticDownDynCast'-new[code-pred-intro StaticDownDynCast']
  DynCast'[code-pred-intro DynCast]
lemmas [code-pred-intro] = DynCastNull'
declare DynCastFail'[code-pred-intro DynCastFail']
lemmas [code-pred-intro] = DynCastThrow' Val' BinOp' BinOpThrow1'
declare BinOpThrow2'[code-pred-intro BinOpThrow2']
lemmas [code-pred-intro] = Var' LAss' LAssThrow'
declare FAcc'-new[code-pred-intro FAcc']
lemmas [code-pred-intro] = FAccNull' FAccThrow'
declare FAss'-new[code-pred-intro FAss']
lemmas [code-pred-intro] = FAssNull' FAssThrow1'
declare FAssThrow2'[code-pred-intro FAssThrow2']
lemmas [code-pred-intro] = CallObjThrow'
declare
  CallParamsThrow'-new[code-pred-intro CallParamsThrow']
  Call'-new[code-pred-intro Call]
  StaticCall'-new[code-pred-intro StaticCall']
  CallNull'-new[code-pred-intro CallNull']
lemmas [code-pred-intro] = Block' Seq'
declare SeqThrow'[code-pred-intro SeqThrow']
lemmas [code-pred-intro] = CondT'
declare
  CondF'[code-pred-intro CondF']
  CondThrow'[code-pred-intro CondThrow']
lemmas [code-pred-intro] = WhileF' WhileT'
declare
  WhileCondThrow'[code-pred-intro WhileCondThrow']
  WhileBodyThrow'[code-pred-intro WhileBodyThrow']
lemmas [code-pred-intro] = Throw'

```

```

declare ThrowNull'[code-pred-intro ThrowNull']
lemmas [code-pred-intro] = ThrowThrow'
lemmas [code-pred-intro] = Nil' Cons' ConsThrow'

code-pred
  (modes: eval':  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow o \Rightarrow \text{bool}$  as big-step
   and evals':  $i \Rightarrow i \Rightarrow i \Rightarrow o \Rightarrow o \Rightarrow \text{bool}$  as big-steps)
   eval'
  ⟨proof⟩

```

### 29.3 Examples

```
declare [[values-timeout = 180]]
```

```

values [expected {Val (Intg 5)}]
  {fst (e', s') | e' s'}.
  [],Map.empty ⊢ ⟨{"V":Integer; "V":= Val(Intg 5);; Var "V"},(Map.empty,Map.empty)⟩
  ⇒' ⟨e', s'⟩}

values [expected {Val (Intg 11)}]
  {fst (e', s') | e' s'}.
  [],Map.empty ⊢ ⟨(Val(Intg 5)) «Add» (Val(Intg 6)),(Map.empty,Map.empty)⟩
  ⇒' ⟨e', s'⟩}

values [expected {Val (Intg 83)}]
  {fst (e', s') | e' s'}.
  [],["V"→Integer] ⊢ ⟨(Var "V") «Add» (Val(Intg 6)),
  (Map.empty,["V"→Intg 77])⟩ ⇒' ⟨e', s'⟩}

values [expected {Some (Intg 6)}]
  {lcl' (snd (e', s')) "V" | e' s'}.
  [],["V"→Integer] ⊢ ⟨"V":= Val(Intg 6),(Map.empty,Map.empty)⟩ ⇒' ⟨e', s'⟩}

values [expected {Some (Intg 12)}]
  {lcl' (snd (e', s')) "mult" | e' s'}.
  [],["V"→Integer,"a"→Integer,"b"→Integer,"mult"→Integer]
  ⊢ ⟨("a":= Val(Intg 3));;("b":= Val(Intg 4));;("mult":= Val(Intg 0));;
  ("V":= Val(Intg 1));;
  while (Var "V" «Eq» Val(Intg 1))((("mult":= Var "mult" «Add» Var "b");;
  ("a":= Var "a" «Add» Val(Intg (- 1)));;
  ("V":= (if(Var "a" «Eq» Val(Intg 0)) Val(Intg 0) else Val(Intg 1))),,
  (Map.empty,Map.empty))⟩ ⇒' ⟨e', s'⟩}

values [expected {Val (Intg 30)}]
  {fst (e', s') | e' s'}.
  [],["a"→Integer, "b"→Integer, "c"→ Integer, "cond"→Boolean]
  ⊢ ⟨"a":= Val(Intg 17);; "b":= Val(Intg 13);;
  "c":= Val(Intg 42);; "cond":= true;;
  if (Var "cond") (Var "a" «Add» Var "b") else (Var "a" «Add» Var "c"),
  (Map.empty,Map.empty))⟩ ⇒' ⟨e', s'⟩}

```

$(Map.empty, Map.empty) \Rightarrow' \langle e', s' \rangle\}$

progOverrider examples

**definition**

```
classBottom :: cdecl where
classBottom = ("Bottom", [Repeats "Left", Repeats "Right"],
[("x", Integer)], [])
```

**definition**

```
classLeft :: cdecl where
classLeft = ("Left", [Repeats "Top"], [], [("f", [Class "Top", Integer], Integer,
["V", "W"], Var this · "x" {[["Left", "Top"]]} «Add» Val (Intg 5))])
```

**definition**

```
classRight :: cdecl where
classRight = ("Right", [Shares "Right2"], [],
[("f", [Class "Top", Integer], Integer, ["V", "W"], Var this · "x" {[["Right2", "Top"]]} «Add» Val (Intg 7)), ("g", [], Class "Left", [], new "Left")])
```

**definition**

```
classRight2 :: cdecl where
classRight2 = ("Right2", [Repeats "Top"], [],
[("f", [Class "Top", Integer], Integer, ["V", "W"], Var this · "x" {[["Right2", "Top"]]} «Add» Val (Intg 9)), ("g", [], Class "Top", [], new "Top")])
```

**definition**

```
classTop :: cdecl where
classTop = ("Top", [], [("x", Integer)], [])
```

**definition**

```
progOverrider :: cdecl list where
progOverrider = [classBottom, classLeft, classRight, classRight2, classTop]
```

**values** [*expected* { $Val(Ref(0, ["Bottom", "Left"]))$ } — dynCastSide  
 $\{fst(e', s') \mid e' s'\}$ .  
 $progOverrider, ["V" \mapsto Class "Right"] \vdash$   
 $("V" := new "Bottom" ;; Cast "Left" (Var "V"), (Map.empty, Map.empty))$   
 $\Rightarrow' \langle e', s' \rangle\}$

**values** [*expected* { $Val(Ref(0, ["Right"]))$ } — dynCastViaSh  
 $\{fst(e', s') \mid e' s'\}$ .  
 $progOverrider, ["V" \mapsto Class "Right2"] \vdash$   
 $("V" := new "Right" ;; Cast "Right" (Var "V"), (Map.empty, Map.empty))$   
 $\Rightarrow' \langle e', s' \rangle\}$

**values** [*expected* { $Val(Intg 42)$ } — block  
 $\{fst(e', s') \mid e' s'\}$ .  
 $progOverrider, ["V" \mapsto Integer] \vdash$   
 $\langle "V" := Val(Intg 42) ;; \{"V": Class "Left"; "V" := new "Bottom"\} ;; Var$

$"V",$   
 $\{(Map.empty, Map.empty)\} \Rightarrow' \langle e', s' \rangle\}$

**values** [*expected* { Val (Intg 8) }] — staticCall  
 $\{fst(e', s') \mid e' s'\}$   
 $progOverrider,["V" \mapsto Class "Right", "W" \mapsto Class "Bottom"]$   
 $\vdash \langle "V" := new "Bottom";; "W" := new "Bottom";;$   
 $((Cast "Left" (Var "W")) \cdot "x"\{["Left", "Top"]\} := Val(Intg 3));;$   
 $(Var "W" \cdot ("Left"::) "f"([Var "V", Val(Intg 2)])), (Map.empty, Map.empty)$   
 $\Rightarrow' \langle e', s' \rangle\}$

**values** [*expected* { Val (Intg 12) }] — call  
 $\{fst(e', s') \mid e' s'\}$   
 $progOverrider,["V" \mapsto Class "Right2", "W" \mapsto Class "Left"]$   
 $\vdash \langle "V" := new "Right";; "W" := new "Left";;$   
 $(Var "V" \cdot "f"([Var "W", Val(Intg 42)])) \llcorner Add \gg (Var "W" \cdot "f"([Var "V", Val(Intg 13)])),$   
 $(Map.empty, Map.empty) \Rightarrow' \langle e', s' \rangle\}$

**values** [*expected* { Val(Intg 13) }] — callOverrider  
 $\{fst(e', s') \mid e' s'\}$   
 $progOverrider,["V" \mapsto Class "Right2", "W" \mapsto Class "Left"]$   
 $\vdash \langle "V" := new "Bottom";; (Var "V" \cdot "x"\{["Right2", "Top"]\} := Val(Intg 6));;$   
 $"W" := new "Left";; Var "V" \cdot "f"([Var "W", Val(Intg 42)]),$   
 $(Map.empty, Map.empty) \Rightarrow' \langle e', s' \rangle\}$

**values** [*expected* { Val(Ref(1, ["Left", "Top"])) }] — callClass  
 $\{fst(e', s') \mid e' s'\}$   
 $progOverrider,["V" \mapsto Class "Right2"]$   
 $\vdash \langle "V" := new "Right";; Var "V" \cdot "g"([]), (Map.empty, Map.empty) \rangle \Rightarrow' \langle e', s' \rangle\}$

**values** [*expected* { Val(Intg 42) }] — fieldAss  
 $\{fst(e', s') \mid e' s'\}$   
 $progOverrider,["V" \mapsto Class "Right2"]$   
 $\vdash \langle "V" := new "Right";;$   
 $(Var "V" \cdot "x"\{["Right2", "Top"]\} := (Val(Intg 42)));;$   
 $(Var "V" \cdot "x"\{["Right2", "Top"]\}), (Map.empty, Map.empty) \Rightarrow' \langle e', s' \rangle\}$

typing rules

**values** [*expected* { Class "Bottom" }] — typeNew  
 $\{T. progOverrider, Map.empty \vdash new "Bottom" :: T\}$

**values** [*expected* { Class "Left" }] — typeDynCast  
 $\{T. progOverrider, Map.empty \vdash Cast "Left" (new "Bottom") :: T\}$

**values** [*expected* { Class "Left" }] — typeStaticCast  
 $\{T. progOverrider, Map.empty \vdash ("Left") (new "Bottom") :: T\}$

**values** [*expected {Integer}*] — typeVal  
 $\{T. []\text{, } Map.empty \vdash Val(Intg\ 17) :: T\}$

**values** [*expected {Integer}*] — typeVar  
 $\{T. []\text{, } ["V" \mapsto Integer] \vdash Var\ "V" :: T\}$

**values** [*expected {Boolean}*] — typeBinOp  
 $\{T. []\text{, } Map.empty \vdash (Val(Intg\ 5)) \llcorner Eq \llcorner (Val(Intg\ 6)) :: T\}$

**values** [*expected {Class "Top"}*] — typeLAss  
 $\{T. progOverrider, ["V" \mapsto Class\ "Top"] \vdash "V" := (new\ "Left") :: T\}$

**values** [*expected {Integer}*] — typeFAcc  
 $\{T. progOverrider, Map.empty \vdash (new\ "Right") \cdot "x" \{["Right2", "Top"]\} :: T\}$

**values** [*expected {Integer}*] — typeFAss  
 $\{T. progOverrider, Map.empty \vdash (new\ "Right") \cdot "x" \{["Right2", "Top"]\} :: T\}$

**values** [*expected {Integer}*] — typeStaticCall  
 $\{T. progOverrider, ["V" \mapsto Class\ "Left"] \vdash "V" := new\ "Left";; Var\ "V" \cdot ("Left" :: )f([new\ "Top", Val(Intg\ 13)]) :: T\}$

**values** [*expected {Class "Top"}*] — typeCall  
 $\{T. progOverrider, ["V" \mapsto Class\ "Right2"] \vdash "V" := new\ "Right";; Var\ "V" \cdot "g"(\) :: T\}$

**values** [*expected {Class "Top"}*] — typeBlock  
 $\{T. progOverrider, Map.empty \vdash \{"V": Class\ "Top"; "V" := new\ "Left"\} :: T\}$

**values** [*expected {Integer}*] — typeCond  
 $\{T. []\text{, } Map.empty \vdash if\ (true)\ Val(Intg\ 6)\ else\ Val(Intg\ 9) :: T\}$

**values** [*expected {Void}*] — typeWhile  
 $\{T. []\text{, } Map.empty \vdash while\ (false)\ Val(Intg\ 17) :: T\}$

**values** [*expected {Void}*] — typeThrow  
 $\{T. progOverrider, Map.empty \vdash throw\ (new\ "Bottom") :: T\}$

**values** [*expected {Integer}*] — typeBig  
 $\{T. progOverrider, ["V" \mapsto Class\ "Right2", "W" \mapsto Class\ "Left"] \vdash "V" := new\ "Right";; "W" := new\ "Left";; (Var\ "V" \cdot "f"([Var\ "W", Val(Intg\ 7)])) \llcorner Add \llcorner (Var\ "W" \cdot "f"([Var\ "V", Val(Intg\ 13)])) :: T\}$

progDiamond examples

### definition

```

classDiamondBottom :: cdecl where
classDiamondBottom = ("Bottom", [Repeats "Left", Repeats "Right"],[("x",Integer)],
[("g", [],Integer, []], Var this · "x" {[["Bottom"]]} «Add» Val (Intg 5))])

definition
classDiamondLeft :: cdecl where
classDiamondLeft = ("Left", [Repeats "TopRep",Shares "TopSh"],[],[])

definition
classDiamondRight :: cdecl where
classDiamondRight = ("Right", [Repeats "TopRep",Shares "TopSh"],[],
[("f", [Integer], Boolean,[ "i"], Var "i" «Eq» Val (Intg 7))])

definition
classDiamondTopRep :: cdecl where
classDiamondTopRep = ("TopRep", [], [(x, Integer)],
[("g", [],Integer, []], Var this · "x" {[["TopRep"]]} «Add» Val (Intg 10))])

definition
classDiamondTopSh :: cdecl where
classDiamondTopSh = ("TopSh", [], [],
[("f", [Integer], Boolean,[ "i"], Var "i" «Eq» Val (Intg 3))])

definition
progDiamond :: cdecl list where
progDiamond = [classDiamondBottom, classDiamondLeft, classDiamondRight,
classDiamondTopRep, classDiamondTopSh]

values [expected {Val(Ref(0,[["Bottom","Left"]))}] — cast1
{fst (e', s') | e' s'}.
progDiamond,["V"→Class "Left"] ⊢ ⟨"V" := new "Bottom",
(Map.empty,Map.empty)⟩ ⇒' ⟨e', s'⟩}

values [expected {Val(Ref(0,[["TopSh"]))}] — cast2
{fst (e', s') | e' s'}.
progDiamond,["V"→Class "TopSh"] ⊢ ⟨"V" := new "Bottom",
(Map.empty,Map.empty)⟩ ⇒' ⟨e', s'⟩}

values [expected {}] — typeCast3 not typeable
{T. progDiamond,["V"→Class "TopRep"] ⊢ "V" := new "Bottom" :: T}

values [expected {
Val(Ref(0,[["Bottom", "Left", "TopRep'])),
Val(Ref(0,[["Bottom", "Right", "TopRep'])))
}] — cast3
{fst (e', s') | e' s'}.
progDiamond,["V"→Class "TopRep"] ⊢ ⟨"V" := new "Bottom",
(Map.empty,Map.empty)⟩ ⇒' ⟨e', s'⟩}

```

```

values [expected {Val(Intg 17)}] — fieldAss
  {fst (e', s') | e' s'.
    progDiamond,["V" $\mapsto$ Class "Bottom"]
     $\vdash \langle "V" := new "Bottom" ;;$ 
     $((Var "V") \cdot "x"\{["Bottom"]\} := (Val(Intg 17))) ;;$ 
     $((Var "V") \cdot "x"\{["Bottom"]\}), (Map.empty, Map.empty) \Rightarrow' \langle e', s' \rangle$ }

values [expected {Val Null}] — dynCastNull
  {fst (e', s') | e' s'.
    progDiamond,Map.empty  $\vdash \langle Cast "Right" null, (Map.empty, Map.empty) \rangle \Rightarrow'$ 
     $\langle e', s' \rangle$ }

values [expected {Val (Ref(0, ["Right"]))}] — dynCastViaSh
  {fst (e', s') | e' s'.
    progDiamond,["V" $\mapsto$ Class "TopSh"]
     $\vdash \langle "V" := new "Right" ;; Cast "Right" (Var "V"), (Map.empty, Map.empty) \rangle \Rightarrow'$ 
     $\langle e', s' \rangle$ }

values [expected {Val Null}] — dynCastFail
  {fst (e', s') | e' s'.
    progDiamond,["V" $\mapsto$ Class "TopRep"]
     $\vdash \langle "V" := new "Right" ;; Cast "Bottom" (Var "V"), (Map.empty, Map.empty) \rangle \Rightarrow'$ 
     $\langle e', s' \rangle$ }

values [expected {Val (Ref(0, ["Bottom", "Left"]))}] — dynCastSide
  {fst (e', s') | e' s'.
    progDiamond,["V" $\mapsto$ Class "Right"]
     $\vdash \langle "V" := new "Bottom" ;; Cast "Left" (Var "V"), (Map.empty, Map.empty) \rangle \Rightarrow'$ 
     $\langle e', s' \rangle$ }

  failing g++ example

definition
classD :: cdecl where
classD = ("D", [Shares A, Shares B, Repeats C],[],[])

definition
classC :: cdecl where
classC = ("C", [Shares A, Shares B],[],[]
  [("f",[],Integer,[],Val(Intg 42))])

definition
classB :: cdecl where
classB = ("B", [],[],[]
  [("f",[],Integer,[],Val(Intg 17))])

definition
classA :: cdecl where
classA = ("A", [],[],[]
  [("f",[],Integer,[],Val(Intg 13))])

```

```

definition
ProgFailing :: cdecl list where
ProgFailing = [classA, classB, classC, classD]

values [expected { Val (Intg 42)}] — callFailGplusplus
{fst (e', s') | e' s'.
ProgFailing, Map.empty
 $\vdash \langle \{ "V": Class "D"; "V" := new "D"; Var "V".f([]) \}, (Map.empty, Map.empty) \rangle \Rightarrow' \langle e', s' \rangle \}$ 

end
theory CoreC++
imports Determinism Annotate Execute
begin

end

```

## References

- [1] Daniel Wasserrab, Tobias Nipkow, Gregor Snelting, and Frank Tip. An operational semantics and type safety proof for multiple inheritance in C++. In *OOPSLA '06: Proceedings of the 21st annual ACM SIGPLAN conference on Object-oriented programming languages, systems, and applications*, pages 345–362. ACM Press, 2006.