

# The Cook-Levin theorem

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### Abstract

The Cook-Levin theorem states that deciding the satisfiability of Boolean formulas in conjunctive normal form is  $\mathcal{NP}$ -complete. This entry formalizes a proof of this theorem based on the textbook *Computational Complexity: A Modern Approach* by Arora and Barak. It contains definitions of deterministic multi-tape Turing machines, the complexity classes  $\mathcal{P}$  and  $\mathcal{NP}$ , polynomial-time many-one reduction, and the decision problem **SAT**. For the  $\mathcal{NP}$ -hardness of **SAT**, the proof first shows that every polynomial-time computation can be performed by a two-tape oblivious Turing machine. An  $\mathcal{NP}$  problem can then be reduced to **SAT** by a polynomial-time Turing machine that encodes computations of the problem's oblivious two-tape verifier Turing machine as formulas in conjunctive normal form.

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# Chapter 1

## Introduction

The Cook-Levin theorem states that the problem **SAT** of deciding the satisfiability of Boolean formulas in conjunctive normal form is  $\mathcal{NP}$ -complete [4, 10]. This article formalizes a proof of this theorem based on the textbook *Computational Complexity: A Modern Approach* by Arora and Barak [2].

### 1.1 Outline

We start out in Chapter 2 with a definition of multi-tape Turing machines (TMs) slightly modified from Arora and Barak’s definition. The remainder of the chapter is devoted to constructing ever more complex machines for arithmetic on binary numbers, evaluating polynomials, and performing basic operations on lists of numbers and even lists of lists of numbers.

Specifying Turing machines and proving their correctness and running time is laborious at the best of times. We slightly alleviate the seemingly inevitable tedium of this by defining elementary reusable Turing machines and introducing ways of composing them sequentially as well as in if-then-else branches and while loops. Together with the representation of natural numbers and lists, we thus get something faintly resembling a structured programming language of sorts.

In Chapter 3 we introduce some basic concepts of complexity theory, such as  $\mathcal{P}$ ,  $\mathcal{NP}$ , and polynomial-time many-one reduction. Following Arora and Barak the complexity class  $\mathcal{NP}$  is defined via verifier Turing machines rather than nondeterministic machines, and so the deterministic TMs introduced in the previous chapter suffice for all definitions. To flesh out the chapter a little we formalize obvious proofs of  $\mathcal{P} \subseteq \mathcal{NP}$  and the transitivity of the reducibility relation, although neither result is needed for proving the Cook-Levin theorem.

Chapter 4 introduces the problem **SAT** as a language over bit strings. Boolean formulas in conjunctive normal form (CNF) are represented as lists of clauses, each consisting of a list of literals encoded in binary numbers. The list of lists of numbers “data type” defined in Chapter 2 will come in handy at this point.

The proof of the Cook-Levin theorem has two parts: Showing that **SAT** is in  $\mathcal{NP}$  and showing that **SAT** is  $\mathcal{NP}$ -hard, that is, that every language in  $\mathcal{NP}$  can be reduced to **SAT** in polynomial time. The first part, also proved in Chapter 4, is fairly easy: For a satisfiable CNF formula, a satisfying assignment can be given in roughly the size of the formula, because only the variables in the formula need be assigned a truth value. Moreover whether an assignment satisfies a CNF formula can be verified easily.

The hard part is showing the  $\mathcal{NP}$ -hardness of **SAT**. The first step (Chapter 5) is to show that every polynomial-time computation on a multi-tape TM can be performed in polynomial time on a two-tape *oblivious* TM. Oblivious means that the sequence of positions of the Turing machine’s tape heads depends only on the *length* of the input. Thus any language in  $\mathcal{NP}$  has a polynomial-time two-tape oblivious verifier TM. In Chapter 6 the proof goes on to show how the computations of such a machine can be mapped to CNF formulas such that a CNF formula is satisfiable if and only if the underlying computation was for a string in the language **SAT** paired with a certificate. Finally in Chapter 7 and Chapter 8 we construct a Turing machine that carries out the reduction in polynomial time.

## 1.2 Related work

The Cook-Levin theorem has been formalized before. Gamboa and Cowles [8] present a formalization in ACL2 [3]. They formalize  $\mathcal{NP}$  and reducibility in terms of Turing machines, but analyze the running time of the reduction from  $\mathcal{NP}$ -languages to SAT in a different, somewhat ad-hoc, model of computation that they call “the major weakness” of their formalization.

Employing Coq [13], Gähler and Kunze [7] define  $\mathcal{NP}$  and reducibility in the computational model “call-by-value  $\lambda$ -calculus L” introduced by Forster and Smolka [6]. They show the  $\mathcal{NP}$ -completeness of SAT in this framework. Turing machines appear in an intermediate problem in the chain of reductions from  $\mathcal{NP}$  languages to SAT, but are not used to show the polynomiality of the reduction. Nevertheless, this is likely the first formalization of the Cook-Levin theorem where both the complexity theoretic concepts and the proof of the polynomiality of the reduction use the same model of computation.

With regards to Isabelle, Xu et al. [15] provide a formalization of single-tape Turing machines with a fixed binary alphabet in the computability theory setting and construct a universal TM. While I was putting the finishing touches on this article, Dalvit and Thiemann [5] published a formalization of (deterministic and nondeterministic) multi-tape and single-tape Turing machines and showed how to simulate the former on the latter with quadratic slowdown. Moreover, Thiemann and Schmidinger [14] prove the  $\mathcal{NP}$ -completeness of the Multiset Ordering problem, without, however, proving the polynomial-time computability of the reduction.

This article uses Turing machines as model of computation for both the complexity theoretic concepts and the running time analysis of the reduction. It is thus most similar to Gähler and Kunze’s work, but has a more elementary, if not brute-force, flavor to it.

## 1.3 The core concepts

The proof of the Cook-Levin theorem awaits us in Section 8.4 on the very last page of this article. The way there is filled with definitions of Turing machines, correctness proofs for Turing machines, and running time-bound proofs for Turing machines, all of which can easily drown out the more relevant concepts. For instance, for verifying that the theorem on the last page really is the Cook-Levin theorem, only a small fraction of this article is relevant, namely the definitions of  $\mathcal{NP}$ -completeness and of SAT. Recursively breaking down these definitions yields:

- $\mathcal{NP}$ -completeness: Section 3.1
  - languages: Section 3.1
  - $\mathcal{NP}$ -hard: Section 3.1
    - \*  $\mathcal{NP}$ : Section 3.1
      - Turing machines: Section 2.1.1
      - computing a function: Section 2.1.2
      - pairing strings: Section 2.1.3
      - Big-Oh, polynomial: Section 2.1.4
    - \* polynomial-time many-one reduction: Section 3.1
- SAT: Section 4.1.3
  - literal, clause, CNF formula, assignment, satisfiability: Section 4.1.1
  - representing CNF formulas as strings: Section 4.1.3
    - \* string: Section 2.1.1
    - \* CNF formula: Section 4.1.1
    - \* mapping between symbols and strings: Section 2.1.2
    - \* mapping between binary and quaternary alphabets: Section 2.10.1
    - \* lists of lists of natural numbers: Section 2.9.1
      - binary representation of natural numbers: Section 2.7.1
      - lists of natural numbers: Section 2.8.1

In other words the Sections 2.1, 2.7.1, 2.8.1, 2.9.1, 2.10.1, 3.1, 4.1.1, and 4.1.3 cover all definitions for formalizing the statement “SAT is  $\mathcal{NP}$ -complete”.

# Chapter 2

## Turing machines

This chapter introduces Turing machines as a model of computing functions within a running-time bound. Despite being quite intuitive, Turing machines are notoriously tedious to work with. And so most of the rest of the chapter is devoted to making this a little easier by providing means of combining TMs and a library of reusable TMs for common tasks.

The basic idea (Sections 2.1 and 2.2) is to treat Turing machines as a kind of GOTO programming language. A state of a TM corresponds to a line of code executing a rather complex command that, depending on the symbols read, can write symbols, move tape heads, and jump to another state (that is, line of code). States are identified by line numbers. This makes it easy to execute TMs in sequence by concatenating two TM “programs”. On top of the GOTO implicit in all commands, we then define IF and WHILE in the traditional way (Section 2.3). This makes TMs more composable.

The interpretation of states as line numbers deprives TMs of the ability to memorize values “in states”, for example, the carry bit during a binary addition. In Section 2.5 we recover some of this flexibility.

Being able to combine TMs is helpful, but we also need TMs to combine. This takes up most of the remainder of the chapter. We start with simple operations, such as moving a tape head to the next blank symbol or copying symbols between tapes (Section 2.4). Extending our programming language analogy for more complex TMs, we identify tapes with variables, so that a tape contains a value of a specific type, such as a number or a list of numbers. In the remaining Sections 2.7 to 2.12 we define these “data types” and devise TMs for operations over them.

It would be an exaggeration to say all this makes working with Turing machines easy or fun. But at least it makes TMs somewhat more feasible to use for complexity theory, as witnessed by the subsequent chapters.

### 2.1 Basic definitions

```
theory Basics
imports Main
begin
```

While Turing machines are fairly simple, there are still a few parts to define, especially if one allows multiple tapes and an arbitrary alphabet: states, tapes (read-only or read-write), cells, tape heads, head movements, symbols, and configurations. Beyond these are more semantic aspects like executing one or many steps of a Turing machine, its running time, and what it means for a TM to “compute a function”. Our approach at formalizing all this must look rather crude compared to Dalvit and Thiemann’s [5], but still it does get the job done.

For lack of a better place, this section also introduces a minimal version of Big-Oh, polynomials, and a pairing function for strings.

#### 2.1.1 Multi-tape Turing machines

Arora and Barak [2, p. 11] define multi-tape Turing machines with these features:

- There are  $k \geq 2$  infinite one-directional tapes, and each has one head.



- The first tape is the input tape and read-only; the other  $k - 1$  tapes can be written to.
- The tape alphabet is a finite set  $\Gamma$  containing at least the blank symbol  $\square$ , the start symbol  $\triangleright$ , and the symbols  $\mathbf{0}$  and  $\mathbf{1}$ .
- There is a finite set  $Q$  of states with start state and halting state  $q_{start}, q_{halt} \in Q$ .
- The behavior is described by a transition function  $\delta: Q \times \Gamma^k \rightarrow Q \times \Gamma^{k-1} \times \{L, S, R\}^k$ . If the TM is in a state  $q$  and the symbols  $g_1, \dots, g_k$  are under the  $k$  tape heads and  $\delta(q, (g_1, \dots, g_k)) = (q', (g'_1, \dots, g'_k), (d_1, \dots, d_k))$ , then the TM writes  $g'_1, \dots, g'_k$  to the writable tapes, moves the tape heads in the direction (Left, Stay, or Right) indicated by the  $d_1, \dots, d_k$  and switches to state  $q'$ .

## Syntax

An obvious data type for the direction a tape head can move:

**datatype** *direction* = *Left* | *Stay* | *Right*

We simplify the definition a bit in that we identify both symbols and states with natural numbers:

- We set  $\Gamma = \{0, 1, \dots, G - 1\}$  for some  $G \geq 4$  and represent the symbols  $\square$ ,  $\triangleright$ ,  $\mathbf{0}$ , and  $\mathbf{1}$  by the numbers 0, 1, 2, and 3, respectively. We represent an alphabet  $\Gamma$  by its size  $G$ .
- We let the set of states be of the form  $\{0, 1, \dots, Q\}$  for some  $Q \in \mathbb{N}$  and set the start state  $q_{start} = 0$  and halting state  $q_{halt} = Q$ .

The last item presents a fundamental difference to the textbook definition, because it requires that Turing machines with  $q_{start} = q_{halt}$  have exactly one state, whereas the textbook definition allows them arbitrarily many states. However, if  $q_{start} = q_{halt}$  then the TM starts in the halting state and thus does not actually do anything. But then it does not matter if there are other states besides that one start/halting state. Our simplified definition therefore does not restrict the expressive power of TMs. It does, however, simplify composing them.

The type *nat* is used for symbols and for states.

**type-synonym** *state* = *nat*

**type-synonym** *symbol* = *nat*

It is confusing to have the numbers 2 and 3 represent the symbols  $\mathbf{0}$  and  $\mathbf{1}$ . The next abbreviations try to hide this somewhat. The glyphs for symbols number 4 and 5 are chosen arbitrarily. While we will encounter Turing machines with huge alphabets, only the following symbols will be used literally:

**abbreviation** (*input*) *blank-symbol* :: *nat* ( $\langle \square \rangle$ ) **where**  $\square \equiv 0$

**abbreviation** (*input*) *start-symbol* :: *nat* ( $\langle \triangleright \rangle$ ) **where**  $\triangleright \equiv 1$

**abbreviation** (*input*) *zero-symbol* :: *nat* ( $\langle \mathbf{0} \rangle$ ) **where**  $\mathbf{0} \equiv 2$

**abbreviation** (*input*) *one-symbol* :: *nat* ( $\langle \mathbf{1} \rangle$ ) **where**  $\mathbf{1} \equiv 3$

**abbreviation** (*input*) *bar-symbol* :: *nat* ( $\langle | \rangle$ ) **where**  $| \equiv 4$

**abbreviation** (*input*) *sharp-symbol* :: *nat* ( $\langle \# \rangle$ ) **where**  $\# \equiv 5$

**unbundle** *no abs-syntax*

Tapes are infinite in one direction, so each cell can be addressed by a natural number. Likewise the position of a tape head is a natural number. The contents of a tape are represented by a mapping from cell numbers to symbols. A *tape* is a pair of tape contents and head position:

**type-synonym** *tape* = (*nat*  $\Rightarrow$  *symbol*)  $\times$  *nat*

Our formalization of Turing machines begins with a data type representing a more general concept, which we call *machine*, and later adds a predicate to define which machines are *Turing* machines. In this generalization the number  $k$  of tapes is arbitrary, although machines with zero tapes are of little interest. Also, all tapes are writable and the alphabet is not limited, that is,  $\Gamma = \mathbb{N}$ . The transition function becomes  $\delta: \{0, \dots, Q\} \times \mathbb{N}^k \rightarrow \{0, \dots, Q\} \times \mathbb{N}^k \times \{L, S, R\}^k$  or, saving us one occurrence of  $k$ ,  $\delta: \{0, \dots, Q\} \times \mathbb{N}^k \rightarrow \{0, \dots, Q\} \times (\mathbb{N} \times \{L, S, R\})^k$ .

The transition function  $\delta$  has a fixed behavior in the state  $q_{halt} = Q$  (namely making the machine do nothing). Hence  $\delta$  needs to be specified only for the  $Q$  states  $0, \dots, Q - 1$  and thus can be given as a sequence  $\delta_0, \dots, \delta_{Q-1}$  where each  $\delta_q$  is a function

$$\delta_q: \mathbb{N}^k \rightarrow \{0, \dots, Q\} \times (\mathbb{N} \times \{L, S, R\})^k. \quad (2.1)$$

Going one step further we allow the machine to jump to any state in  $\mathbb{N}$ , and we will treat any state  $q \geq Q$  as a halting state. The  $\delta_q$  are then

$$\delta_q: \mathbb{N}^k \rightarrow \mathbb{N} \times (\mathbb{N} \times \{L, S, R\})^k. \quad (2.2)$$

Finally we allow inputs and outputs of arbitrary length, turning the  $\delta_q$  into

$$\delta_q: \mathbb{N}^* \rightarrow \mathbb{N} \times (\mathbb{N} \times \{L, S, R\})^*.$$

Such a  $\delta_q$  will be called a *command*, and the elements of  $\mathbb{N} \times \{L, S, R\}$  will be called *actions*. An action consists of writing a symbol to a tape at the current tape head position and then moving the tape head.

**type-synonym** *action* = *symbol*  $\times$  *direction*

A command maps the list of symbols read from the tapes to a follow-up state and a list of actions. It represents the machine's behavior in one state.

**type-synonym** *command* = *symbol list*  $\Rightarrow$  *state*  $\times$  *action list*

Machines are then simply lists of commands. The  $q$ -th element of the list represents the machine's behavior in state  $q$ . The halting state of a machine  $M$  is *length*  $M$ , but there is obviously no such element in the list.

**type-synonym** *machine* = *command list*

Commands in this general form are too amorphous. We call a command *well-formed* for  $k$  tapes and the state space  $Q$  if on reading  $k$  symbols it performs  $k$  actions and jumps to a state in  $\{0, \dots, Q\}$ . A well-formed command corresponds to (2.1).

**definition** *wf-command* :: *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  *command*  $\Rightarrow$  *bool* **where**

$$\text{wf-command } k \ Q \ \text{cmd} \equiv \forall \text{gs. length gs} = k \longrightarrow \text{length (snd (cmd gs))} = k \wedge \text{fst (cmd gs)} \leq Q$$

A well-formed command is a *Turing command* for  $k$  tapes and alphabet  $G$  if it writes only symbols from  $G$  when reading symbols from  $G$  and does not write to tape 0; that is, it writes to tape 0 the symbol it read from tape 0.

**definition** *turing-command* :: *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  *command*  $\Rightarrow$  *bool* **where**

$$\begin{aligned} \text{turing-command } k \ Q \ G \ \text{cmd} \equiv & \\ & \text{wf-command } k \ Q \ \text{cmd} \wedge \\ & (\forall \text{gs. length gs} = k \longrightarrow \\ & ((\forall i < k. \text{gs} ! i < G) \longrightarrow (\forall i < k. \text{fst (snd (cmd gs)) ! i} < G)) \wedge \\ & (k > 0 \longrightarrow \text{fst (snd (cmd gs)) ! 0} = \text{gs} ! 0)) \end{aligned}$$

A *Turing machine* is a machine with at least two tapes and four symbols and only Turing commands.

**definition** *turing-machine* :: *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  *machine*  $\Rightarrow$  *bool* **where**

$$\text{turing-machine } k \ G \ M \equiv k \geq 2 \wedge G \geq 4 \wedge (\forall \text{cmd} \in \text{set } M. \text{turing-command } k \ (\text{length } M) \ G \ \text{cmd})$$

## Semantics

Next we define the semantics of machines. The state and the list of tapes make up the *configuration* of a machine. The semantics are given as functions mapping configurations to follow-up configurations.

**type-synonym** *config* = *state*  $\times$  *tape list*

We start with the semantics of a single command. An action affects a tape in the following way. For the head movements we imagine the tapes having cell 0 at the left and the cell indices growing rightward.

**fun** *act* :: *action*  $\Rightarrow$  *tape*  $\Rightarrow$  *tape* **where**

$$\begin{aligned} \text{act } (w, m) \ \text{tp} = & \\ & ((\text{fst } \text{tp})(\text{snd } \text{tp} := w), \\ & \text{case } m \ \text{of } \text{Left} \Rightarrow \text{snd } \text{tp} - 1 \mid \text{Stay} \Rightarrow \text{snd } \text{tp} \mid \text{Right} \Rightarrow \text{snd } \text{tp} + 1) \end{aligned}$$

Reading symbols from one tape, from all tapes, and from configurations:

**abbreviation** *tape-read* :: *tape*  $\Rightarrow$  *symbol* ( $\langle | \cdot | \rangle$ ) **where**  
 $| \cdot | \equiv \text{fst } tp \text{ (snd } tp)$

**definition** *read* :: *tape list*  $\Rightarrow$  *symbol list* **where**  
 $\text{read } tps \equiv \text{map } \text{tape-read } tps$

**abbreviation** *config-read* :: *config*  $\Rightarrow$  *symbol list* **where**  
 $\text{config-read } cfg \equiv \text{read } (\text{snd } cfg)$

The semantics of a command:

**definition** *sem* :: *command*  $\Rightarrow$  *config*  $\Rightarrow$  *config* **where**  
 $\text{sem } cmd \text{ } cfg \equiv$   
 $\text{let } (\text{newstate}, \text{actions}) = cmd \text{ (config-read } cfg)$   
 $\text{in } (\text{newstate}, \text{map } (\lambda(a, tp). \text{act } a \text{ } tp) \text{ (zip actions (snd } cfg)))$

The semantics of one step of a machine consist in the semantics of the command corresponding to the state the machine is in. The following definition ensures that the configuration does not change when it is in a halting state.

**definition** *exe* :: *machine*  $\Rightarrow$  *config*  $\Rightarrow$  *config* **where**  
 $\text{exe } M \text{ } cfg \equiv \text{if } \text{fst } cfg < \text{length } M \text{ then } \text{sem } (M ! (\text{fst } cfg)) \text{ } cfg \text{ else } cfg$

Executing a machine *M* for multiple steps:

**fun** *execute* :: *machine*  $\Rightarrow$  *config*  $\Rightarrow$  *nat*  $\Rightarrow$  *config* **where**  
 $\text{execute } M \text{ } cfg \ 0 = cfg \ |$   
 $\text{execute } M \text{ } cfg \ (\text{Suc } t) = \text{exe } M \text{ (execute } M \text{ } cfg \ t)$

We have defined the semantics for arbitrary machines, but most lemmas we are going to prove about *exe*, *execute*, etc. will require the commands to be somewhat well-behaved, more precisely to map lists of *k* symbols to lists of *k* actions, as shown in (2.2). We will call such commands *proper*.

**abbreviation** *proper-command* :: *nat*  $\Rightarrow$  *command*  $\Rightarrow$  *bool* **where**  
 $\text{proper-command } k \text{ } cmd \equiv \forall gs. \text{length } gs = k \longrightarrow \text{length } (\text{snd } (cmd \text{ } gs)) = \text{length } gs$

Being proper is a weaker condition than being well-formed. Since *exe* treats the state *Q* and the states *q* > *Q* the same, we do not need the *Q*-closure property of well-formedness for most lemmas about semantics.

Next we introduce a number of abbreviations for components of a machine and aspects of its behavior. In general, symbols between bars  $| \cdot |$  represent operations on tapes, inside angle brackets  $\langle \cdot \rangle$  operations on configurations, between colons  $::$  operations on lists of tapes, and inside brackets  $[ \cdot ]$  operations on state/action-list pairs. As for the symbol inside the delimiters, a dot ( $\cdot$ ) refers to a tape symbol, a colon ( $:$ ) to the entire tape contents, and a hash ( $\#$ ) to a head position; an equals sign ( $=$ ) means some component of the left-hand side is changed. An exclamation mark (!) accesses an element in a list on the left-hand side term.

**abbreviation** *config-length* :: *config*  $\Rightarrow$  *nat* ( $\langle || - || \rangle$ ) **where**  
 $\text{config-length } cfg \equiv \text{length } (\text{snd } cfg)$

**abbreviation** *tape-move-right* :: *tape*  $\Rightarrow$  *nat*  $\Rightarrow$  *tape* (**infixl**  $\langle | + | \rangle$  60) **where**  
 $tp \ | + | \ n \equiv (\text{fst } tp, \text{snd } tp + n)$

**abbreviation** *tape-move-left* :: *tape*  $\Rightarrow$  *nat*  $\Rightarrow$  *tape* (**infixl**  $\langle | - | \rangle$  60) **where**  
 $tp \ | - | \ n \equiv (\text{fst } tp, \text{snd } tp - n)$

**abbreviation** *tape-move-to* :: *tape*  $\Rightarrow$  *nat*  $\Rightarrow$  *tape* (**infixl**  $\langle | \# = | \rangle$  60) **where**  
 $tp \ | \# = | \ n \equiv (\text{fst } tp, n)$

**abbreviation** *tape-write* :: *tape*  $\Rightarrow$  *symbol*  $\Rightarrow$  *tape* (**infixl**  $\langle | := | \rangle$  60) **where**  
 $tp \ | := | \ h \equiv ((\text{fst } tp) \text{ (snd } tp := h), \text{snd } tp)$

**abbreviation** *config-tape-by-no* :: *config*  $\Rightarrow$  *nat*  $\Rightarrow$  *tape* (**infix**  $\langle \langle ! \rangle \rangle$  90) **where**

$cfg \langle ! \rangle j \equiv snd\ cfg \ ! \ j$

**abbreviation**  $config\text{-}contents\text{-}by\text{-}no :: config \Rightarrow nat \Rightarrow (nat \Rightarrow symbol) \text{ (infix } \langle < : \rangle \text{ } 100) \text{ where}$   
 $cfg \langle < : \rangle j \equiv fst\ (cfg \langle ! \rangle j)$

**abbreviation**  $config\text{-}pos\text{-}by\text{-}no :: config \Rightarrow nat \Rightarrow nat \text{ (infix } \langle < \# \rangle \text{ } 100) \text{ where}$   
 $cfg \langle \# \rangle j \equiv snd\ (cfg \langle ! \rangle j)$

**abbreviation**  $config\text{-}symbol\text{-}read :: config \Rightarrow nat \Rightarrow symbol \text{ (infix } \langle < . \rangle \text{ } 100) \text{ where}$   
 $cfg \langle . \rangle j \equiv (cfg \langle < : \rangle j) (cfg \langle \# \rangle j)$

**abbreviation**  $config\text{-}update\text{-}state :: config \Rightarrow nat \Rightarrow config \text{ (infix } \langle < + = \rangle \text{ } 90) \text{ where}$   
 $cfg \langle + = \rangle q \equiv (fst\ cfg + q, snd\ cfg)$

**abbreviation**  $tapes\text{-}contents\text{-}by\text{-}no :: tape\ list \Rightarrow nat \Rightarrow (nat \Rightarrow symbol) \text{ (infix } \langle :: \rangle \text{ } 100) \text{ where}$   
 $tps :: j \equiv fst\ (tps \ ! \ j)$

**abbreviation**  $tapes\text{-}pos\text{-}by\text{-}no :: tape\ list \Rightarrow nat \Rightarrow nat \text{ (infix } \langle : \# : \rangle \text{ } 100) \text{ where}$   
 $tps : \# : j \equiv snd\ (tps \ ! \ j)$

**abbreviation**  $tapes\text{-}symbol\text{-}read :: tape\ list \Rightarrow nat \Rightarrow symbol \text{ (infix } \langle :: \rangle \text{ } 100) \text{ where}$   
 $tps :: j \equiv (tps :: j) (tps : \# : j)$

**abbreviation**  $jump\text{-}by\text{-}no :: state \times action\ list \Rightarrow state \text{ (} \langle [ * ] \text{ } \rightarrow [ 90 ] \text{) where}$   
 $[ * ]\ sas \equiv fst\ sas$

**abbreviation**  $actions\text{-}of\text{-}cmd :: state \times action\ list \Rightarrow action\ list \text{ (} \langle [ ! ] \text{ } \rightarrow [ 100 ] \text{ } 100 \text{) where}$   
 $[ ! ]\ sas \equiv snd\ sas$

**abbreviation**  $action\text{-}by\text{-}no :: state \times action\ list \Rightarrow nat \Rightarrow action \text{ (infix } \langle [ ! ] \rangle \text{ } 90) \text{ where}$   
 $sas [ ! ] j \equiv snd\ sas \ ! \ j$

**abbreviation**  $write\text{-}by\text{-}no :: state \times action\ list \Rightarrow nat \Rightarrow symbol \text{ (infix } \langle [ . ] \rangle \text{ } 90) \text{ where}$   
 $sas [ . ] j \equiv fst\ (sas [ ! ] j)$

**abbreviation**  $direction\text{-}by\text{-}no :: state \times action\ list \Rightarrow nat \Rightarrow direction \text{ (infix } \langle [ \sim ] \rangle \text{ } 100) \text{ where}$   
 $sas [ \sim ] j \equiv snd\ (sas [ ! ] j)$

Symbol sequences consisting of symbols from an alphabet  $G$ :

**abbreviation**  $symbols\text{-}lt :: nat \Rightarrow symbol\ list \Rightarrow bool \text{ where}$   
 $symbols\text{-}lt\ G\ rs \equiv \forall i < length\ rs. rs \ ! \ i < G$

We will frequently have to show that commands are proper or Turing commands.

**lemma**  $turing\text{-}commandI$  [intro]:

**assumes**  $\bigwedge gs. length\ gs = k \Longrightarrow length\ ([ ! ]\ cmd\ gs) = length\ gs$   
**and**  $\bigwedge gs. length\ gs = k \Longrightarrow (\bigwedge i. i < length\ gs \Longrightarrow gs \ ! \ i < G) \Longrightarrow (\bigwedge j. j < length\ gs \Longrightarrow cmd\ gs [ . ] j < G)$   
**and**  $\bigwedge gs. length\ gs = k \Longrightarrow k > 0 \Longrightarrow cmd\ gs [ . ] 0 = gs \ ! \ 0$   
**and**  $\bigwedge gs. length\ gs = k \Longrightarrow [ * ]\ (cmd\ gs) \leq Q$   
**shows**  $turing\text{-}command\ k\ Q\ G\ cmd$   
**using**  $assms\ turing\text{-}command\text{-}def\ wf\text{-}command\text{-}def$  **by**  $simp$

**lemma**  $turing\text{-}commandD$ :

**assumes**  $turing\text{-}command\ k\ Q\ G\ cmd$  **and**  $length\ gs = k$   
**shows**  $length\ ([ ! ]\ cmd\ gs) = length\ gs$   
**and**  $(\bigwedge i. i < length\ gs \Longrightarrow gs \ ! \ i < G) \Longrightarrow (\bigwedge j. j < length\ gs \Longrightarrow cmd\ gs [ . ] j < G)$   
**and**  $k > 0 \Longrightarrow cmd\ gs [ . ] 0 = gs \ ! \ 0$   
**and**  $\bigwedge gs. length\ gs = k \Longrightarrow [ * ]\ (cmd\ gs) \leq Q$   
**using**  $assms\ turing\text{-}command\text{-}def\ wf\text{-}command\text{-}def$  **by**  $simp\text{-}all$

**lemma**  $turing\text{-}command\text{-}mono$ :

**assumes**  $turing\text{-}command\ k\ Q\ G\ cmd$  **and**  $Q \leq Q'$   
**shows**  $turing\text{-}command\ k\ Q'\ G\ cmd$   
**using**  $turing\text{-}command\text{-}def\ wf\text{-}command\text{-}def\ assms$  **by**  $auto$

**lemma** *proper-command-length*:  
**assumes** *proper-command*  $k$  *cmd* **and**  $\text{length } gs = k$   
**shows**  $\text{length } ([!!] \text{ cmd } gs) = \text{length } gs$   
**using** *assms* **by** *simp*

**abbreviation** *proper-machine*  $:: \text{nat} \Rightarrow \text{machine} \Rightarrow \text{bool}$  **where**  
*proper-machine*  $k$   $M \equiv \forall i < \text{length } M. \text{proper-command } k (M ! i)$

**lemma** *prop-list-append*:  
**assumes**  $\forall i < \text{length } M1. P (M1 ! i)$   
**and**  $\forall i < \text{length } M2. P (M2 ! i)$   
**shows**  $\forall i < \text{length } (M1 @ M2). P ((M1 @ M2) ! i)$   
**using** *assms* **by** (*simp add: nth-append*)

The empty Turing machine  $[]$  is the one Turing machine where the start state is the halting state, that is,  $q_{start} = q_{halt} = Q = 0$ . It is a Turing machine for every  $k \geq 2$  and  $G \geq 4$ :

**lemma** *Nil-tm*:  $G \geq 4 \Longrightarrow k \geq 2 \Longrightarrow \text{turing-machine } k$   $G$   $[]$   
**using** *turing-machine-def* **by** *simp*

**lemma** *turing-machineI* [*intro*]:  
**assumes**  $k \geq 2$   
**and**  $G \geq 4$   
**and**  $\bigwedge i. i < \text{length } M \Longrightarrow \text{turing-command } k (\text{length } M) G (M ! i)$   
**shows** *turing-machine*  $k$   $G$   $M$   
**unfolding** *turing-machine-def* **using** *assms* **by** (*metis in-set-conv-nth*)

**lemma** *turing-machineD*:  
**assumes** *turing-machine*  $k$   $G$   $M$   
**shows**  $k \geq 2$   
**and**  $G \geq 4$   
**and**  $\bigwedge i. i < \text{length } M \Longrightarrow \text{turing-command } k (\text{length } M) G (M ! i)$   
**using** *turing-machine-def* *assms* **by** *simp-all*

A few lemmas about *act*, *read*, and *sem*:

**lemma** *act*:  $\text{act } a \text{ tp} =$   
 $((\text{fst } \text{tp})(\text{snd } \text{tp} := \text{fst } a),$   
 $\text{case } \text{snd } a \text{ of } \text{Left} \Rightarrow \text{snd } \text{tp} - 1 \mid \text{Stay} \Rightarrow \text{snd } \text{tp} \mid \text{Right} \Rightarrow \text{snd } \text{tp} + 1)$   
**by** (*metis act.simps prod.collapse*)

**lemma** *act-Stay*:  $j < \text{length } \text{tps} \Longrightarrow \text{act } (\text{read } \text{tps} ! j, \text{Stay}) (\text{tps} ! j) = \text{tps} ! j$   
**by** (*simp add: read-def*)

**lemma** *act-Right*:  $j < \text{length } \text{tps} \Longrightarrow \text{act } (\text{read } \text{tps} ! j, \text{Right}) (\text{tps} ! j) = \text{tps} ! j \mid + \mid 1$   
**by** (*simp add: read-def*)

**lemma** *act-Left*:  $j < \text{length } \text{tps} \Longrightarrow \text{act } (\text{read } \text{tps} ! j, \text{Left}) (\text{tps} ! j) = \text{tps} ! j \mid - \mid 1$   
**by** (*simp add: read-def*)

**lemma** *act-Stay'*:  $\text{act } (h, \text{Stay}) (\text{tps} ! j) = \text{tps} ! j \mid := \mid h$   
**by** *simp*

**lemma** *act-Right'*:  $\text{act } (h, \text{Right}) (\text{tps} ! j) = \text{tps} ! j \mid := \mid h \mid + \mid 1$   
**by** *simp*

**lemma** *act-Left'*:  $\text{act } (h, \text{Left}) (\text{tps} ! j) = \text{tps} ! j \mid := \mid h \mid - \mid 1$   
**by** *simp*

**lemma** *act-pos-le-Suc*:  $\text{snd } (\text{act } a (\text{tps} ! j)) \leq \text{Suc } (\text{snd } (\text{tps} ! j))$   
**proof** –  
**obtain**  $w$   $m$  **where**  $a = (w, m)$   
**by** *fastforce*

**then show**  $\text{snd } (\text{act } a \text{ (tps ! j)}) \leq \text{Suc } (\text{snd } (\text{tps ! j}))$   
**using** *act-Left' act-Stay' act-Right'* **by** (*cases m*) *simp-all*  
**qed**

**lemma** *act-changes-at-most-pos*:  
**assumes**  $i \neq \text{snd } tp$   
**shows**  $\text{fst } (\text{act } (h, mv) \text{ tp}) i = \text{fst } tp \ i$   
**by** (*simp add: assms*)

**lemma** *act-changes-at-most-pos'*:  
**assumes**  $i \neq \text{snd } tp$   
**shows**  $\text{fst } (\text{act } a \text{ tp}) i = \text{fst } tp \ i$   
**by** (*simp add: assms act*)

**lemma** *read-length*:  $\text{length } (\text{read } tps) = \text{length } tps$   
**using** *read-def* **by** *simp*

**lemma** *tapes-at-read*:  $j < \text{length } tps \implies (q, tps) <.> j = \text{read } tps \ ! \ j$   
**unfolding** *read-def* **by** *simp*

**lemma** *tapes-at-read'*:  $j < \text{length } tps \implies tps \ ! \ j = \text{read } tps \ ! \ j$   
**unfolding** *read-def* **by** *simp*

**lemma** *read-abbrev*:  $j < \|\text{cfg}\| \implies \text{read } (\text{snd } \text{cfg}) \ ! \ j = \text{cfg } <.> \ j$   
**unfolding** *read-def* **by** *simp*

**lemma** *sem*:  
 $\text{sem } \text{cmd } \text{cfg} =$   
 $(\text{let } rs = \text{read } (\text{snd } \text{cfg})$   
 $\text{in } (\text{fst } (\text{cmd } rs), \text{map } (\lambda(a, tp). \text{act } a \text{ tp}) (\text{zip } (\text{snd } (\text{cmd } rs)) (\text{snd } \text{cfg}))))$   
**using** *sem-def read-def* **by** (*metis (no-types, lifting) case-prod-beta*)

**lemma** *sem'*:  
 $\text{sem } \text{cmd } \text{cfg} =$   
 $(\text{fst } (\text{cmd } (\text{read } (\text{snd } \text{cfg}))), \text{map } (\lambda(a, tp). \text{act } a \text{ tp}) (\text{zip } (\text{snd } (\text{cmd } (\text{read } (\text{snd } \text{cfg})))) (\text{snd } \text{cfg})))$   
**using** *sem-def read-def* **by** (*metis (no-types, lifting) case-prod-beta*)

**lemma** *sem''*:  
 $\text{sem } \text{cmd } (q, tps) =$   
 $(\text{fst } (\text{cmd } (\text{read } tps)), \text{map } (\lambda(a, tp). \text{act } a \text{ tp}) (\text{zip } (\text{snd } (\text{cmd } (\text{read } tps))) tps))$   
**using** *sem'* **by** *simp*

**lemma** *sem-num-tapes-raw*:  $\text{proper-command } k \ \text{cmd} \implies k = \|\text{cfg}\| \implies k = \|\text{sem } \text{cmd } \text{cfg}\|$   
**using** *sem-def read-length* **by** (*simp add: case-prod-beta*)

**lemma** *sem-num-tapes2*:  $\text{turing-command } k \ Q \ G \ \text{cmd} \implies k = \|\text{cfg}\| \implies k = \|\text{sem } \text{cmd } \text{cfg}\|$   
**using** *sem-num-tapes-raw turing-commandD(1)* **by** *simp*

**corollary** *sem-num-tapes2'*:  $\text{turing-command } \|\text{cfg}\| \ Q \ G \ \text{cmd} \implies \|\text{cfg}\| = \|\text{sem } \text{cmd } \text{cfg}\|$   
**using** *sem-num-tapes2* **by** *simp*

**corollary** *sem-num-tapes3*:  $\text{turing-command } \|\text{cfg}\| \ Q \ G \ \text{cmd} \implies \|\text{cfg}\| = \|\text{sem } \text{cmd } \text{cfg}\|$   
**by** (*simp add: turing-commandD(1) sem-num-tapes-raw*)

**lemma** *sem-fst*:  
**assumes**  $\text{cfg}' = \text{sem } \text{cmd } \text{cfg}$  **and**  $rs = \text{read } (\text{snd } \text{cfg})$   
**shows**  $\text{fst } \text{cfg}' = \text{fst } (\text{cmd } rs)$   
**using** *sem* **by** (*metis (no-types, lifting) assms(1) assms(2) fstI*)

**lemma** *sem-snd*:  
**assumes** *proper-command*  $k \ \text{cmd}$   
**and**  $\|\text{cfg}\| = k$   
**and**  $rs = \text{read } (\text{snd } \text{cfg})$

**and**  $j < k$   
**shows**  $\text{sem cmd cfg} \langle ! \rangle j = \text{act (snd (cmd rs) ! j) (snd cfg ! j)}$   
**using** *assms sem' read-length* **by** *simp*

**lemma** *snd-semI*:

**assumes** *proper-command k cmd*  
**and**  $\text{length tps} = k$   
**and**  $\text{length tps}' = k$   
**and**  $\bigwedge j. j < k \implies \text{act (cmd (read tps) [!] j) (tps ! j) = tps' ! j}$   
**shows**  $\text{snd (sem cmd (q, tps))} = \text{snd (q', tps')}$   
**using** *assms sem-snd[OF assms(1)] sem-num-tapes-raw* **by** (*metis nth-equalityI snd-conv*)

**lemma** *sem-snd-tm*:

**assumes** *turing-machine k G M*  
**and**  $\text{length tps} = k$   
**and**  $rs = \text{read tps}$   
**and**  $j < k$   
**and**  $q < \text{length } M$   
**shows**  $\text{sem (M ! q) (q, tps)} \langle ! \rangle j = \text{act (snd ((M ! q) rs) ! j) (tps ! j)}$   
**using** *assms sem-snd turing-machine-def turing-commandD(1)* **by** (*metis nth-mem snd-conv*)

**lemma** *semI*:

**assumes** *proper-command k cmd*  
**and**  $\text{length tps} = k$   
**and**  $\text{length tps}' = k$   
**and**  $\text{fst (cmd (read tps))} = q'$   
**and**  $\bigwedge j. j < k \implies \text{act (cmd (read tps) [!] j) (tps ! j) = tps' ! j}$   
**shows**  $\text{sem cmd (q, tps)} = (q', tps')$   
**using** *snd-semI[OF assms(1,2,3)] assms(4,5) sem-fst* **by** (*metis prod.exhaust-sel snd-conv*)

Commands ignore the state element of the configuration they are applied to.

**lemma** *sem-state-indep*:

**assumes**  $\text{snd cfg1} = \text{snd cfg2}$   
**shows**  $\text{sem cmd cfg1} = \text{sem cmd cfg2}$   
**using** *sem-def assms* **by** *simp*

A few lemmas about *exe* and *execute*:

**lemma** *exe-lt-length*:  $\text{fst cfg} < \text{length } M \implies \text{exe } M \text{ cfg} = \text{sem (M ! (fst cfg)) cfg}$   
**using** *exe-def* **by** *simp*

**lemma** *exe-ge-length*:  $\text{fst cfg} \geq \text{length } M \implies \text{exe } M \text{ cfg} = \text{cfg}$   
**using** *exe-def* **by** *simp*

**lemma** *exe-num-tapes*:

**assumes** *turing-machine k G M* **and**  $k = \|\text{cfg}\|$   
**shows**  $k = \|\text{exe } M \text{ cfg}\|$   
**using** *assms sem-num-tapes2 turing-machine-def exe-def* **by** (*metis nth-mem*)

**lemma** *exe-num-tapes-proper*:

**assumes** *proper-machine k M* **and**  $k = \|\text{cfg}\|$   
**shows**  $k = \|\text{exe } M \text{ cfg}\|$   
**using** *assms sem-num-tapes-raw turing-machine-def exe-def* **by** *metis*

**lemma** *execute-num-tapes-proper*:

**assumes** *proper-machine k M* **and**  $k = \|\text{cfg}\|$   
**shows**  $k = \|\text{execute } M \text{ cfg } t\|$   
**using** *exe-num-tapes-proper assms* **by** (*induction t*) *simp-all*

**lemma** *execute-num-tapes*:

**assumes** *turing-machine k G M* **and**  $k = \|\text{cfg}\|$   
**shows**  $k = \|\text{execute } M \text{ cfg } t\|$   
**using** *exe-num-tapes assms* **by** (*induction t*) *simp-all*

**lemma** *execute-after-halting*:  
**assumes**  $\text{fst } (\text{execute } M \text{ cfg0 } t) = \text{length } M$   
**shows**  $\text{execute } M \text{ cfg0 } (t + n) = \text{execute } M \text{ cfg0 } t$   
**by** (*induction*  $n$ ) (*simp-all add: assms exe-def*)

**lemma** *execute-after-halting'*:  
**assumes**  $\text{fst } (\text{execute } M \text{ cfg0 } t) \geq \text{length } M$   
**shows**  $\text{execute } M \text{ cfg0 } (t + n) = \text{execute } M \text{ cfg0 } t$   
**by** (*induction*  $n$ ) (*simp-all add: assms exe-ge-length*)

**corollary** *execute-after-halting-ge*:  
**assumes**  $\text{fst } (\text{execute } M \text{ cfg0 } t) = \text{length } M$  **and**  $t \leq t'$   
**shows**  $\text{execute } M \text{ cfg0 } t' = \text{execute } M \text{ cfg0 } t$   
**using** *execute-after-halting assms le-Suc-ex* **by** *blast*

**corollary** *execute-after-halting-ge'*:  
**assumes**  $\text{fst } (\text{execute } M \text{ cfg0 } t) \geq \text{length } M$  **and**  $t \leq t'$   
**shows**  $\text{execute } M \text{ cfg0 } t' = \text{execute } M \text{ cfg0 } t$   
**using** *execute-after-halting' assms le-Suc-ex* **by** *blast*

**lemma** *execute-additive*:  
**assumes**  $\text{execute } M \text{ cfg1 } t1 = \text{cfg2}$  **and**  $\text{execute } M \text{ cfg2 } t2 = \text{cfg3}$   
**shows**  $\text{execute } M \text{ cfg1 } (t1 + t2) = \text{cfg3}$   
**using** *assms* **by** (*induction*  $t2$  *arbitrary: cfg3*) *simp-all*

**lemma** *turing-machine-execute-states*:  
**assumes** *turing-machine*  $k \ G \ M$  **and**  $\text{fst } \text{cfg} \leq \text{length } M$  **and**  $\|\text{cfg}\| = k$   
**shows**  $\text{fst } (\text{execute } M \text{ cfg } t) \leq \text{length } M$

**proof** (*induction*  $t$ )

**case**  $0$

**then show** *?case*

**by** (*simp add: assms(2)*)

**next**

**case** (*Suc*  $t$ )

**then show** *?case*

**using** *turing-command-def assms(1,3) exe-def execute.simps(2) execute-num-tapes sem-fst*  
*turing-machine-def wf-command-def read-length*

**by** (*smt (verit, best) nth-mem*)

**qed**

While running times are important, usually upper bounds for them suffice. The next predicate expresses that a machine *transits* from one configuration to another one in at most a certain number of steps.

**definition** *transits*  $:: \text{machine} \Rightarrow \text{config} \Rightarrow \text{nat} \Rightarrow \text{config} \Rightarrow \text{bool}$  **where**  
 $\text{transits } M \text{ cfg1 } t \text{ cfg2} \equiv \exists t' \leq t. \text{execute } M \text{ cfg1 } t' = \text{cfg2}$

**lemma** *transits-monotone*:  
**assumes**  $t \leq t'$  **and**  $\text{transits } M \text{ cfg1 } t \text{ cfg2}$   
**shows**  $\text{transits } M \text{ cfg1 } t' \text{ cfg2}$   
**using** *assms dual-order.trans transits-def* **by** *auto*

**lemma** *transits-additive*:  
**assumes**  $\text{transits } M \text{ cfg1 } t1 \text{ cfg2}$  **and**  $\text{transits } M \text{ cfg2 } t2 \text{ cfg3}$   
**shows**  $\text{transits } M \text{ cfg1 } (t1 + t2) \text{ cfg3}$

**proof**–

**from** *assms(1)* **obtain**  $t1'$  **where**  $1: t1' \leq t1$  *execute*  $M \text{ cfg1 } t1' = \text{cfg2}$

**using** *transits-def* **by** *auto*

**from** *assms(2)* **obtain**  $t2'$  **where**  $2: t2' \leq t2$  *execute*  $M \text{ cfg2 } t2' = \text{cfg3}$

**using** *transits-def* **by** *auto*

**then have**  $\text{execute } M \text{ cfg1 } (t1' + t2') = \text{cfg3}$

**using** *execute-additive 1* **by** *simp*

**moreover have**  $t1' + t2' \leq t1 + t2$

**using**  $1(1)$   $2(1)$  **by** *simp*

**ultimately show** *?thesis*



**using** *transits-def* 1(2) 2(2) **by** *auto*  
**qed**

**lemma** *transitsI*:  
**assumes** *execute* *M* *cfg1* *t'* = *cfg2* **and**  $t' \leq t$   
**shows** *transits* *M* *cfg1* *t* *cfg2*  
**unfolding** *transits-def* **using** *assms* **by** *auto*

**lemma** *execute-imp-transits*:  
**assumes** *execute* *M* *cfg1* *t* = *cfg2*  
**shows** *transits* *M* *cfg1* *t* *cfg2*  
**unfolding** *transits-def* **using** *assms* **by** *auto*

In the vast majority of cases we are only interested in transitions from the start state to the halting state. One way to look at it is the machine *transforms* a list of tapes to another list of tapes within a certain number of steps.

**definition** *transforms* :: *machine*  $\Rightarrow$  *tape list*  $\Rightarrow$  *nat*  $\Rightarrow$  *tape list*  $\Rightarrow$  *bool* **where**  
*transforms* *M* *tps* *t* *tps'*  $\equiv$  *transits* *M* (0, *tps*) *t* (*length* *M*, *tps'*)

The previous predicate will be the standard way in which we express the behavior of a (Turing) machine. Consider, for example, the empty machine:

**lemma** *transforms-Nil*: *transforms* [] *tps* 0 *tps*  
**using** *transforms-def* *transits-def* **by** *simp*

**lemma** *transforms-monotone*:  
**assumes** *transforms* *M* *tps* *t* *tps'* **and**  $t \leq t'$   
**shows** *transforms* *M* *tps* *t'* *tps'*  
**using** *assms* *transforms-def* *transits-monotone* **by** *simp*

Most often the tapes will have a start symbol in the first cell followed by a finite sequence of symbols.

**definition** *contents* :: *symbol list*  $\Rightarrow$  (*nat*  $\Rightarrow$  *symbol*) ( $\langle$ [ $\_$ ] $\rangle$ ) **where**  
 $[xs]$  *i*  $\equiv$  *if*  $i = 0$  *then*  $\triangleright$  *else* *if*  $i \leq \text{length } xs$  *then*  $xs ! (i - 1)$  *else*  $\square$

**lemma** *contents-at-0* [*simp*]:  $[zs]$  0 =  $\triangleright$   
**using** *contents-def* **by** *simp*

**lemma** *contents-inbounds* [*simp*]:  $i > 0 \implies i \leq \text{length } zs \implies [zs]$  *i* =  $zs ! (i - 1)$   
**using** *contents-def* **by** *simp*

**lemma** *contents-outofbounds* [*simp*]:  $i > \text{length } zs \implies [zs]$  *i* =  $\square$   
**using** *contents-def* **by** *simp*

When Turing machines are used to compute functions, they are started in a specific configuration where all tapes have the format just defined and the first tape contains the input. This is called the *start configuration* [2, p. 13].

**definition** *start-config* :: *nat*  $\Rightarrow$  *symbol list*  $\Rightarrow$  *config* **where**  
*start-config* *k* *xs*  $\equiv$  (0, ([*xs*], 0) # *replicate* (*k* - 1) ([[]], 0))

**lemma** *start-config-length*:  $k > 0 \implies \|\text{start-config } k \text{ } xs\| = k$   
**using** *start-config-def* *contents-def* **by** *simp*

**lemma** *start-config1*:  
**assumes** *cfg* = *start-config* *k* *xs* **and**  $0 < j$  **and**  $j < k$  **and**  $i > 0$   
**shows** (*cfg*  $\langle$ :> *j*) *i* =  $\square$   
**using** *start-config-def* *contents-def* *assms* **by** *simp*

**lemma** *start-config2*:  
**assumes** *cfg* = *start-config* *k* *xs* **and**  $j < k$   
**shows** (*cfg*  $\langle$ :> *j*) 0 =  $\triangleright$   
**using** *start-config-def* *contents-def* *assms* **by** (*cases* 0 = *j*) *simp-all*

**lemma** *start-config3*:

**assumes**  $cfg = start\text{-}config\ k\ xs$  **and**  $i > 0$  **and**  $i \leq length\ xs$   
**shows**  $(cfg <:> 0)\ i = xs\ !\ (i - 1)$   
**using**  $start\text{-}config\text{-}def\ contents\text{-}def\ assms$  **by**  $simp$

**lemma**  $start\text{-}config4$ :  
**assumes**  $0 < j$  **and**  $j < k$   
**shows**  $snd\ (start\text{-}config\ k\ xs)\ !\ j = (\lambda i. \text{if } i = 0 \text{ then } \triangleright \text{ else } \square, 0)$   
**using**  $start\text{-}config\text{-}def\ contents\text{-}def\ assms$  **by**  $auto$

**lemma**  $start\text{-}config\text{-}pos$ :  $j < k \implies start\text{-}config\ k\ zs\ <\#\>\ j = 0$   
**using**  $start\text{-}config\text{-}def$  **by**  $(simp\ add:\ nth\text{-}Cons')$

We call a symbol *proper* if it is neither the blank symbol nor the start symbol.

**abbreviation**  $proper\text{-}symbols :: symbol\ list \Rightarrow bool$  **where**  
 $proper\text{-}symbols\ xs \equiv \forall i < length\ xs. xs\ !\ i > Suc\ 0$

**lemma**  $proper\text{-}symbols\text{-}append$ :  
**assumes**  $proper\text{-}symbols\ xs$  **and**  $proper\text{-}symbols\ ys$   
**shows**  $proper\text{-}symbols\ (xs\ @\ ys)$   
**using**  $assms\ prop\text{-}list\text{-}append$  **by**  $(simp\ add:\ nth\text{-}append)$

**lemma**  $proper\text{-}symbols\text{-}ne0$ :  $proper\text{-}symbols\ xs \implies \forall i < length\ xs. xs\ !\ i \neq \square$   
**by**  $auto$

**lemma**  $proper\text{-}symbols\text{-}ne1$ :  $proper\text{-}symbols\ xs \implies \forall i < length\ xs. xs\ !\ i \neq \triangleright$   
**by**  $auto$

We call the symbols **0** and **1** *bit symbols*.

**abbreviation**  $bit\text{-}symbols :: nat\ list \Rightarrow bool$  **where**  
 $bit\text{-}symbols\ xs \equiv \forall i < length\ xs. xs\ !\ i = \mathbf{0} \vee xs\ !\ i = \mathbf{1}$

**lemma**  $bit\text{-}symbols\text{-}append$ :  
**assumes**  $bit\text{-}symbols\ xs$  **and**  $bit\text{-}symbols\ ys$   
**shows**  $bit\text{-}symbols\ (xs\ @\ ys)$   
**using**  $assms\ prop\text{-}list\text{-}append$  **by**  $(simp\ add:\ nth\text{-}append)$

## Basic facts about Turing machines

A Turing machine with alphabet  $G$  started on a symbol sequence over  $G$  will only ever have symbols from  $G$  on any of its tapes.

**lemma**  $tape\text{-}alphabet$ :  
**assumes**  $turing\text{-}machine\ k\ G\ M$  **and**  $symbols\text{-}lt\ G\ zs$  **and**  $j < k$   
**shows**  $((execute\ M\ (start\text{-}config\ k\ zs)\ t)\ <:>\ j)\ i < G$   
**using**  $assms(3)$   
**proof**  $(induction\ t\ arbitrary:\ i\ j)$   
**case**  $0$   
**have**  $G \geq 2$   
**using**  $turing\text{-}machine\text{-}def\ assms(1)$  **by**  $simp$   
**then show**  $?case$   
**using**  $start\text{-}config\text{-}def\ contents\text{-}def\ 0\ assms(2)\ start\text{-}config1\ start\text{-}config2$   
**by**  $(smt\ (verit)\ One\text{-}nat\text{-}def\ Suc\text{-}1\ Suc\text{-}lessD\ Suc\text{-}pred\ execute.\text{simps}(1)\ fst\text{-}conv\ lessI\ nat\text{-}less\text{-}le\ neq0\text{-}conv\ nth\text{-}Cons\text{-}0\ snd\text{-}conv)$   
**next**  
**case**  $(Suc\ t)$   
**let**  $?cfg = execute\ M\ (start\text{-}config\ k\ zs)\ t$   
**have**  $*$ :  $execute\ M\ (start\text{-}config\ k\ zs)\ (Suc\ t) = exe\ M\ ?cfg$   
**by**  $simp$   
**show**  $?case$   
**proof**  $(cases\ fst\ ?cfg \geq length\ M)$   
**case**  $True$   
**then have**  $execute\ M\ (start\text{-}config\ k\ zs)\ (Suc\ t) = ?cfg$   
**using**  $*\ exe\text{-}def$  **by**  $simp$

```

then show ?thesis
  using Suc by simp
next
  case False
  then have **: execute M (start-config k zs) (Suc t) = sem (M ! (fst ?cfg)) ?cfg
    using * exe-def by simp
  let ?rs = config-read ?cfg
  let ?cmd = M ! (fst ?cfg)
  let ?sas = ?cmd ?rs
  let ?cfg' = sem ?cmd ?cfg
  have  $\forall j < \text{length } ?rs. ?rs ! j < G$ 
    using Suc assms(1) execute-num-tapes start-config-length read-abbrev read-length by auto
  moreover have len: length ?rs = k
    using assms(1) assms(3) execute-num-tapes start-config-def read-length by auto
  moreover have 2: turing-command k (length M) G ?cmd
    using assms(1) turing-machine-def False leI by simp
  ultimately have sas:  $\forall j < \text{length } ?rs. ?sas [.] j < G$ 
    using turing-command-def by simp
  have ?cfg' <!> j = act (?sas [!] j) (?cfg <!> j)
    using Suc.prem5 2 len read-length sem-snd turing-commandD(1) by metis
  then have ?cfg' <> j = (?cfg <> j)(?cfg <#> j := ?sas [.] j)
    using act by simp
  then have (?cfg' <> j) i < G
    by (simp add: len Suc sas)
  then show ?thesis
    using ** by simp
qed
qed

```

**corollary** *read-alphabet*:

```

assumes turing-machine k G M and symbols-lt G zs
shows  $\forall i < k. \text{config-read } (\text{execute } M \text{ (start-config } k \text{ zs) } t) ! i < G$ 
using assms tape-alphabet execute-num-tapes start-config-length read-abbrev
by simp

```

**corollary** *read-alphabet'*:

```

assumes turing-machine k G M and symbols-lt G zs
shows symbols-lt G (config-read (execute M (start-config k zs) t))
using read-alphabet assms execute-num-tapes start-config-length read-length turing-machine-def
by (metis neq0-conv not-numeral-le-zero)

```

**corollary** *read-alphabet-set*:

```

assumes turing-machine k G M and symbols-lt G zs
shows  $\forall h \in \text{set } (\text{config-read } (\text{execute } M \text{ (start-config } k \text{ zs) } t)). h < G$ 
using read-alphabet'[OF assms] by (metis in-set-conv-nth)

```

The contents of the input tape never change.

**lemma** *input-tape-constant*:

```

assumes turing-machine k G M and k = ||cfg||
shows execute M cfg t <> 0 = execute M cfg 0 <> 0
proof (induction t)
  case 0
  then show ?case
    by simp
next
  case (Suc t)
  let ?cfg = execute M cfg t
  have 1: execute M cfg (Suc t) = exe M ?cfg
    by simp
  have 2: length (read (snd ?cfg)) = k
    using execute-num-tapes assms read-length by simp
  have k: k > 0
    using assms(1) turing-machine-def by simp

```

```

show ?case
proof (cases fst ?cfg < length M)
  case True
  then have 3: turing-command k (length M) G (M ! fst ?cfg)
    using turing-machine-def assms(1) by simp
  then have (M ! fst ?cfg) (read (snd ?cfg)) [.] 0 = read (snd ?cfg) ! 0
    using turing-command-def 2 k by auto
  then have 4: (M ! fst ?cfg) (read (snd ?cfg)) [.] 0 = ?cfg <.> 0
    using 2 k read-abbrev read-length by auto
  have execute M cfg (Suc t) <.> 0 = sem (M ! fst ?cfg) ?cfg <.> 0
    using True exe-def by simp
  also have ... = fst (act (((M ! fst ?cfg) (read (snd ?cfg))) [!] 0) (?cfg <.!> 0))
    using sem-snd 2 3 k read-length turing-commandD(1) by metis
  also have ... = (?cfg <.> 0) ((?cfg <#> 0):=(((M ! fst ?cfg) (read (snd ?cfg))) [.] 0))
    using act by simp
  also have ... = (?cfg <.> 0) ((?cfg <#> 0):=?cfg <.> 0)
    using 4 by simp
  also have ... = ?cfg <.> 0
    by simp
  finally have execute M cfg (Suc t) <.> 0 = ?cfg <.> 0 .
  then show ?thesis
    using Suc by simp
next
case False
then have execute M cfg (Suc t) = ?cfg
  using exe-def by simp
then show ?thesis
  using Suc by simp
qed
qed

```

A head position cannot be greater than the number of steps the machine has been running.

**lemma** *head-pos-le-time*:

```

assumes turing-machine k G M and j < k
shows execute M (start-config k zs) t <#> j ≤ t
proof (induction t)
  case 0
  have 0 < k
    using assms(1) turing-machine-def by simp
  then have execute M (start-config k zs) 0 <#> j = 0
    using start-config-def assms(2) start-config-pos by simp
  then show ?case
    by simp
next
case (Suc t)
have *: execute M (start-config k zs) (Suc t) = exe M (execute M (start-config k zs) t)
  (is - = exe M ?cfg)
  by simp
show ?case
proof (cases fst ?cfg = length M)
  case True
  then have execute M (start-config k zs) (Suc t) = ?cfg
    using * exe-def by simp
  then show ?thesis
    using Suc by simp
next
case False
then have less: fst ?cfg < length M
  using assms(1) turing-machine-def
  by (simp add: start-config-def le-neq-implies-less turing-machine-execute-states)
then have exe M ?cfg = sem (M ! (fst ?cfg)) ?cfg
  using exe-def by simp
moreover have proper-command k (M ! (fst ?cfg))

```

```

    using assms(1) turing-commandD(1) less turing-machine-def nth-mem by blast
  ultimately have exe M ?cfg <!> j = act (snd ((M ! (fst ?cfg)) (config-read ?cfg)) ! j) (?cfg <!> j)
    using assms(1,2) execute-num-tapes start-config-length sem-snd by auto
  then have exe M ?cfg <#> j ≤ Suc (?cfg <#> j)
    using act-pos-le-Suc assms(1,2) execute-num-tapes start-config-length by auto
  then show ?thesis
    using * Suc.IH by simp
qed
qed

```

**lemma** *head-pos-le-halting-time*:

```

  assumes turing-machine k G M
    and fst (execute M (start-config k zs) T) = length M
    and j < k
  shows execute M (start-config k zs) t <#> j ≤ T
  using assms execute-after-halting-ge[OF assms(2)] head-pos-le-time[OF assms(1,3)]
  by (metis nat-le-linear order-trans)

```

A tape cannot contain non-blank symbols at a position larger than the number of steps the Turing machine has been running, except on the input tape.

**lemma** *blank-after-time*:

```

  assumes i > t and j < k and 0 < j and turing-machine k G M
  shows (execute M (start-config k zs) t <:> j) i = □
  using assms(1)

```

**proof** (*induction t*)

```

  case 0
  have execute M (start-config k zs) 0 = start-config k zs
    by simp
  then show ?case
    using start-config1 assms turing-machine-def by simp

```

**next**

```

  case (Suc t)
  have k ≥ 2
    using assms(2,3) by simp
  let ?icfg = start-config k zs
  have *: execute M ?icfg (Suc t) = exe M (execute M ?icfg t)
    by simp
  show ?case
  proof (cases fst (execute M ?icfg t) ≥ length M)
    case True
    then have execute M ?icfg (Suc t) = execute M ?icfg t
      using * exe-def by simp
    then show ?thesis
      using Suc by simp

```

**next**

```

  case False
  then have execute M ?icfg (Suc t) <:> j = sem (M ! (fst (execute M ?icfg t))) (execute M ?icfg t) <:> j
    (is - = sem ?cmd ?cfg <:> j)
    using exe-lt-length * by simp
  also have ... = fst (map (λ(a, tp). act a tp) (zip (snd (?cmd (read (snd ?cfg)))) (snd ?cfg)) ! j)
    using sem' by simp
  also have ... = fst (act (snd (?cmd (read (snd ?cfg)) ! j) (snd ?cfg ! j))
    (is - = fst (act ?h (snd ?cfg ! j)))
  proof -
    have ||?cfg|| = k
      using assms(2) execute-num-tapes[OF assms(4)] start-config-length turing-machine-def
      by simp
    moreover have length (snd (?cmd (read (snd ?cfg)))) = k
      using assms(4) execute-num-tapes[OF assms(4)] start-config-length turing-machine-def
      read-length False turing-command-def wf-command-def
      by simp
    ultimately show ?thesis
      using assms by simp

```

```

qed
finally have execute M ?icfg (Suc t) <:> j = fst (act ?h (snd ?cfg ! j)) .
moreover have  $i \neq ?cfg \langle \# \rangle j$ 
  using head-pos-le-time[OF assms(4,2)] Suc Suc-lessD leD by blast
ultimately have (execute M ?icfg (Suc t) <:> j)  $i = fst (?cfg \langle ! \rangle j)$  i
  using act-changes-at-most-pos by (metis prod.collapse)
then show ?thesis
  using Suc Suc-lessD by presburger
qed
qed

```

## 2.1.2 Computing a function

Turing machines are supposed to compute functions. The functions in question map bit strings to bit strings. We model such strings as lists of Booleans and denote the bits by **O** and **I**.

**type-synonym** *string = bool list*

**notation** *False* ( $\langle \mathbf{O} \rangle$ ) **and** *True* ( $\langle \mathbf{I} \rangle$ )

This keeps the more abstract level of computable functions separate from the level of concrete implementations as Turing machines, which can use an arbitrary alphabet. We use the term “string” only for bit strings, on which functions operate, and the terms “symbol sequence” or “symbols” for the things written on the tapes of Turing machines. We translate between the two levels in a straightforward way:

**abbreviation** *string-to-symbols* :: *string*  $\Rightarrow$  *symbol list* **where**  
*string-to-symbols*  $x \equiv \text{map } (\lambda b. \text{if } b \text{ then } \mathbf{1} \text{ else } \mathbf{0}) x$

**abbreviation** *symbols-to-string* :: *symbol list*  $\Rightarrow$  *string* **where**  
*symbols-to-string*  $zs \equiv \text{map } (\lambda z. z = \mathbf{1}) zs$

**proposition**

*string-to-symbols*  $[\mathbf{O}, \mathbf{I}] = [\mathbf{0}, \mathbf{1}]$   
*symbols-to-string*  $[\mathbf{0}, \mathbf{1}] = [\mathbf{O}, \mathbf{I}]$   
**by** *simp-all*

**lemma** *bit-symbols-to-symbols*:

**assumes** *bit-symbols*  $zs$   
**shows** *string-to-symbols* (*symbols-to-string*  $zs$ ) =  $zs$   
**using** *assms* **by** (*intro nth-equalityI*) *auto*

**lemma** *symbols-to-string-to-symbols*: *symbols-to-string* (*string-to-symbols*  $x$ ) =  $x$   
**by** (*intro nth-equalityI*) *simp-all*

**lemma** *proper-symbols-to-symbols*: *proper-symbols* (*string-to-symbols*  $zs$ )  
**by** *simp*

**abbreviation** *string-to-contents* :: *string*  $\Rightarrow$  (*nat*  $\Rightarrow$  *symbol*) **where**

*string-to-contents*  $x \equiv$   
 $\lambda i. \text{if } i = 0 \text{ then } \triangleright \text{ else if } i \leq \text{length } x \text{ then } (\text{if } x ! (i - 1) \text{ then } \mathbf{1} \text{ else } \mathbf{0}) \text{ else } \square$

**lemma** *contents-string-to-contents*: *string-to-contents*  $xs = \lfloor \text{string-to-symbols } xs \rfloor$   
**using** *contents-def* **by** *auto*

**lemma** *bit-symbols-to-contents*:

**assumes** *bit-symbols*  $ns$   
**shows**  $\lfloor ns \rfloor = \text{string-to-contents} (\text{symbols-to-string } ns)$   
**using** *assms bit-symbols-to-symbols contents-string-to-contents* **by** *simp*

Definition 1.3 in the textbook [2] says that for a Turing machine  $M$  to compute a function  $f: \{\mathbf{O}, \mathbf{I}\}^* \rightarrow \{\mathbf{O}, \mathbf{I}\}^*$  on input  $x$ , “it halts with  $f(x)$  written on its output tape.” My initial interpretation of this phrase, and the one formalized below, was that the output is written *after* the start symbol  $\triangleright$  in the same fashion as the input is given on the input tape. However after inspecting the Turing machine in Example 1.1, I now believe the more likely meaning is that the output *overwrites* the start symbol, although Example 1.1

precedes Definition 1.3 and might not be subject to it.

One advantage of the interpretation with start symbol intact is that the output tape can then be used unchanged as the input of another Turing machine, a property we exploit in Section 2.6. Otherwise one would have to find the start cell of the output tape and either copy the contents to another tape with start symbol or shift the string to the right and restore the start symbol. One way to find the start cell is to move the tape head left while “marking” the cells until one reaches an already marked cell, which can only happen when the head is in the start cell, where “moving left” does not actually move the head. This process will take time linear in the length of the output and thus will not change the asymptotic running time of the machine. Therefore the choice of interpretation is purely one of convenience.

**definition** *halts* :: *machine*  $\Rightarrow$  *config*  $\Rightarrow$  *bool* **where**  
*halts* *M* *cfg*  $\equiv \exists t. \text{fst} (\text{execute } M \text{ } \text{cfg } t) = \text{length } M$

**lemma** *halts-impl-le-length*:  
**assumes** *halts* *M* *cfg*  
**shows**  $\text{fst} (\text{execute } M \text{ } \text{cfg } t) \leq \text{length } M$   
**using** *assms* *execute-after-halting-ge'* *halts-def* **by** (*metis linear*)

**definition** *running-time* :: *machine*  $\Rightarrow$  *config*  $\Rightarrow$  *nat* **where**  
*running-time* *M* *cfg*  $\equiv \text{LEAST } t. \text{fst} (\text{execute } M \text{ } \text{cfg } t) = \text{length } M$

**lemma** *running-timeD*:  
**assumes** *running-time* *M* *cfg* = *t* **and** *halts* *M* *cfg*  
**shows**  $\text{fst} (\text{execute } M \text{ } \text{cfg } t) = \text{length } M$   
**and**  $\bigwedge t'. t' < t \implies \text{fst} (\text{execute } M \text{ } \text{cfg } t') \neq \text{length } M$   
**using** *assms* *running-time-def* *halts-def*  
*not-less-Least*[*of* -  $\lambda t. \text{fst} (\text{execute } M \text{ } \text{cfg } t) = \text{length } M$ ]  
*LeastI*[*of*  $\lambda t. \text{fst} (\text{execute } M \text{ } \text{cfg } t) = \text{length } M$ ]  
**by** *auto*

**definition** *halting-config* :: *machine*  $\Rightarrow$  *config*  $\Rightarrow$  *config* **where**  
*halting-config* *M* *cfg*  $\equiv \text{execute } M \text{ } \text{cfg} (\text{running-time } M \text{ } \text{cfg})$

**abbreviation** *start-config-string* :: *nat*  $\Rightarrow$  *string*  $\Rightarrow$  *config* **where**  
*start-config-string* *k* *x*  $\equiv \text{start-config } k (\text{string-to-symbols } x)$

Another, inconsequential, difference to the textbook definition is that we designate the second tape, rather than the last tape, as the output tape. This means that the indices for the input and output tape are fixed at 0 and 1, respectively, regardless of the total number of tapes. Next is our definition of a *k*-tape Turing machine *M* computing a function *f* in *T*-time:

**definition** *computes-in-time* :: *nat*  $\Rightarrow$  *machine*  $\Rightarrow$  (*string*  $\Rightarrow$  *string*)  $\Rightarrow$  (*nat*  $\Rightarrow$  *nat*)  $\Rightarrow$  *bool* **where**  
*computes-in-time* *k* *M* *f* *T*  $\equiv \forall x.$   
*halts* *M* (*start-config-string* *k* *x*)  $\wedge$   
*running-time* *M* (*start-config-string* *k* *x*)  $\leq T (\text{length } x) \wedge$   
*halting-config* *M* (*start-config-string* *k* *x*)  $<:> 1 = \text{string-to-contents } (f x)$

**lemma** *computes-in-time-mono*:  
**assumes** *computes-in-time* *k* *M* *f* *T* **and**  $\bigwedge n. T n \leq T' n$   
**shows** *computes-in-time* *k* *M* *f* *T'*  
**using** *assms* *computes-in-time-def* *halts-def* *running-time-def* *halting-config-def* *execute-after-halting-ge*  
**by** (*meson dual-order.trans*)

The definition of *computes-in-time* can be expressed with *transforms* as well, which will be more convenient for us.

**lemma** *halting-config-execute*:  
**assumes**  $\text{fst} (\text{execute } M \text{ } \text{cfg } t) = \text{length } M$   
**shows** *halting-config* *M* *cfg* = *execute* *M* *cfg* *t*  
**proof**–  
**have**  $1: t \geq \text{running-time } M \text{ } \text{cfg}$   
**using** *assms* *running-time-def* **by** (*simp add: Least-le*)  
**then have**  $\text{fst} (\text{halting-config } M \text{ } \text{cfg}) = \text{length } M$

```

    using assms LeastI[of  $\lambda t. \text{fst} (\text{execute } M \text{ cfg } t) = \text{length } M \ t]$ 
    by (simp add: halting-config-def running-time-def)
  then show ?thesis
    using execute-after-halting-ge 1 halting-config-def bymetis
qed

```

```

lemma transforms-halting-config:
  assumes transforms  $M \ tps \ t \ tps'$ 
  shows halting-config  $M \ (0, \ tps) = (\text{length } M, \ tps')$ 
  using assms transforms-def halting-config-def halting-config-execute transits-def
  by (metis fst-eqD)

```

```

lemma computes-in-time-execute:
  assumes computes-in-time  $k \ M \ f \ T$ 
  shows execute  $M \ (\text{start-config-string } k \ x) \ (T \ (\text{length } x)) \ <:> \ 1 = \text{string-to-contents} \ (f \ x)$ 
proof -
  let ?t = running-time  $M \ (\text{start-config-string } k \ x)$ 
  let ?cfg = start-config-string  $k \ x$ 
  have execute  $M \ ?cfg \ ?t = \text{halting-config } M \ ?cfg$ 
    using halting-config-def by simp
  then have  $\text{fst} (\text{execute } M \ ?cfg \ ?t) = \text{length } M$ 
    using assms computes-in-time-def running-timeD(1) by blast
  moreover have  $?t \leq T \ (\text{length } x)$ 
    using computes-in-time-def assms by simp
  ultimately have execute  $M \ ?cfg \ ?t = \text{execute } M \ ?cfg \ (T \ (\text{length } x))$ 
    using execute-after-halting-ge by presburger
  moreover have execute  $M \ ?cfg \ ?t \ <:> \ 1 = \text{string-to-contents} \ (f \ x)$ 
    using computes-in-time-def halting-config-execute assms halting-config-def by simp
  ultimately show ?thesis
    by simp
qed

```

```

lemma transforms-running-time:
  assumes transforms  $M \ tps \ t \ tps'$ 
  shows running-time  $M \ (0, \ tps) \leq t$ 
  using running-time-def transforms-def transits-def
  by (smt (verit) Least-le[of - t] assms execute-after-halting-ge fst-conv)

```

This is the alternative characterization of *computes-in-time*:

```

lemma computes-in-time-alt:
  computes-in-time  $k \ M \ f \ T =$ 
  ( $\forall x. \exists tps.$ 
     $tps \ :: \ 1 = \text{string-to-contents} \ (f \ x) \wedge$ 
     $\text{transforms } M \ (\text{snd} \ (\text{start-config-string } k \ x)) \ (T \ (\text{length } x)) \ tps$ )
  (is ?lhs = ?rhs)
proof
  show ?lhs  $\implies$  ?rhs
  proof
    fix  $x \ :: \ \text{string}$ 
    let ?cfg = start-config-string  $k \ x$ 
    assume computes-in-time  $k \ M \ f \ T$ 
    then have
      1: halts  $M \ ?cfg$  and
      2: running-time  $M \ ?cfg \leq T \ (\text{length } x)$  and
      3: halting-config  $M \ ?cfg \ <:> \ 1 = \text{string-to-contents} \ (f \ x)$ 
    using computes-in-time-def by simp-all
    define  $cfg$  where  $cfg = \text{halting-config } M \ ?cfg$ 
    then have transits  $M \ ?cfg \ (T \ (\text{length } x)) \ cfg$ 
      using 2 halting-config-def transits-def by auto
    then have transforms  $M \ (\text{snd} \ ?cfg) \ (T \ (\text{length } x)) \ (\text{snd} \ cfg)$ 
      using transits-def transforms-def start-config-def
      by (metis (no-types, lifting) 1 cfg-def halting-config-def prod.collapse running-timeD(1) snd-conv)
    moreover have  $\text{snd} \ cfg \ :: \ 1 = \text{string-to-contents} \ (f \ x)$ 

```



```

    using cfg-def 3 by simp
    ultimately show  $\exists tps. tps ::: 1 = \text{string-to-contents } (f x) \wedge$ 
      transforms  $M$  (snd (start-config-string  $k x$ )) ( $T$  (length  $x$ ))  $tps$ 
    by auto
  qed
  show  $?rhs \implies ?lhs$ 
    unfolding computes-in-time-def
  proof
    assume  $rhs: ?rhs$ 
    fix  $x :: \text{string}$ 
    let  $?cfg = \text{start-config-string } k x$ 
    obtain  $tps$  where  $tps$ :
       $tps ::: 1 = \text{string-to-contents } (f x)$ 
      transforms  $M$  (snd  $?cfg$ ) ( $T$  (length  $x$ ))  $tps$ 
    using  $rhs$  by auto
    then have transits  $M ?cfg$  ( $T$  (length  $x$ )) (length  $M$ ,  $tps$ )
      using transforms-def start-config-def by simp
    then have  $1: \text{halts } M ?cfg$ 
      using halts-def transits-def by (metis fst-eqD)
    moreover have  $2: \text{running-time } M ?cfg \leq T$  (length  $x$ )
      using  $tps(2)$  transforms-running-time start-config-def by simp
    moreover have  $3: \text{halting-config } M ?cfg <:> 1 = \text{string-to-contents } (f x)$ 
  proof -
    have halting-config  $M ?cfg = (\text{length } M, tps)$ 
      using transforms-halting-config[OF tps(2)] start-config-def by simp
    then show thesis
      using  $tps(1)$  by simp
  qed
    ultimately show  $\text{halts } M ?cfg \wedge \text{running-time } M ?cfg \leq T$  (length  $x$ )  $\wedge \text{halting-config } M ?cfg <:> 1 =$ 
      string-to-contents ( $f x$ )
    by simp
  qed
  qed

```

```

lemma computes-in-timeD:
  fixes  $x$ 
  assumes computes-in-time  $k M f T$ 
  shows  $\exists tps. tps ::: 1 = \text{string-to-contents } (f x) \wedge$ 
    transforms  $M$  (snd (start-config  $k$  (string-to-symbols  $x$ ))) ( $T$  (length  $x$ ))  $tps$ 
  using assms computes-in-time-alt by simp

```

```

lemma computes-in-timeI [intro]:
  assumes  $\bigwedge x. \exists tps. tps ::: 1 = \text{string-to-contents } (f x) \wedge$ 
    transforms  $M$  (snd (start-config  $k$  (string-to-symbols  $x$ ))) ( $T$  (length  $x$ ))  $tps$ 
  shows computes-in-time  $k M f T$ 
  using assms computes-in-time-alt by simp

```

As an example, the function mapping every string to the empty string is computable within any time bound by the empty Turing machine.

```

lemma computes-Nil-empty:
  assumes  $k \geq 2$ 
  shows computes-in-time  $k [] (\lambda x. []) T$ 
  proof
    fix  $x :: \text{string}$ 
    let  $?tps = \text{snd } (\text{start-config-string } k x)$ 
    let  $?f = \lambda x. []$ 
    have  $?tps ::: 1 = \text{string-to-contents } (?f x)$ 
      using start-config4 assms by auto
    moreover have transforms  $[] ?tps$  ( $T$  (length  $x$ ))  $?tps$ 
      using transforms-Nil transforms-monotone by blast
    ultimately show  $\exists tps. tps ::: 1 = \text{string-to-contents } (?f x) \wedge \text{transforms } [] ?tps$  ( $T$  (length  $x$ ))  $tps$ 
      by auto
  qed
  qed

```

### 2.1.3 Pairing strings

In order to define the computability of functions with two arguments, we need a way to encode a pair of strings as one string. The idea is to write the two strings with a separator, for example,  $\mathbf{0100}\#\mathbf{1110}$  and then encode every symbol  $\mathbf{0}, \mathbf{1}, \#$  by two bits from  $\{\mathbf{0}, \mathbf{1}\}$ . We slightly deviate from Arora and Barak's encoding [2, p. 2] and map  $\mathbf{0}$  to  $\mathbf{00}$ ,  $\mathbf{1}$  to  $\mathbf{01}$ , and  $\#$  to  $\mathbf{11}$ , the idea being that the first bit signals whether the second bit is to be taken literally or as a special character. Our example turns into  $\mathbf{000100001101010100}$ .

**abbreviation**  $\text{bitenc} :: \text{string} \Rightarrow \text{string}$  **where**  
 $\text{bitenc } x \equiv \text{concat } (\text{map } (\lambda h. [\mathbf{0}, h]) x)$

**definition**  $\text{string-pair} :: \text{string} \Rightarrow \text{string} \Rightarrow \text{string}$  ( $\langle\langle -, - \rangle\rangle$ ) **where**  
 $\langle x, y \rangle \equiv \text{bitenc } x @ [\mathbf{1}, \mathbf{1}] @ \text{bitenc } y$

Our example:

**proposition**  $\langle\langle [\mathbf{0}, \mathbf{1}, \mathbf{0}, \mathbf{0}], [\mathbf{1}, \mathbf{1}, \mathbf{1}, \mathbf{0}] \rangle\rangle = [\mathbf{0}, \mathbf{0}, \mathbf{0}, \mathbf{1}, \mathbf{0}, \mathbf{0}, \mathbf{0}, \mathbf{0}, \mathbf{1}, \mathbf{1}, \mathbf{0}, \mathbf{1}, \mathbf{0}, \mathbf{1}, \mathbf{0}, \mathbf{1}, \mathbf{0}, \mathbf{0}]$   
**using**  $\text{string-pair-def}$  **by**  $\text{simp}$

**lemma**  $\text{length-string-pair}: \text{length } \langle x, y \rangle = 2 * \text{length } x + 2 * \text{length } y + 2$

**proof** –

**have**  $\text{length } (\text{concat } (\text{map } (\lambda h. [\mathbf{0}, h]) z)) = 2 * \text{length } z$  **for**  $z$   
**by** ( $\text{induction } z$ )  $\text{simp-all}$   
**then show**  $?thesis$   
**using**  $\text{string-pair-def}$  **by**  $\text{simp}$

**qed**

**lemma**  $\text{length-bitenc}: \text{length } (\text{bitenc } z) = 2 * \text{length } z$   
**by** ( $\text{induction } z$ )  $\text{simp-all}$

**lemma**  $\text{bitenc-nth}$ :

**assumes**  $i < \text{length } zs$   
**shows**  $\text{bitenc } zs ! (2 * i) = \mathbf{0}$   
**and**  $\text{bitenc } zs ! (2 * i + 1) = zs ! i$

**proof** –

**let**  $?f = \lambda h. [\mathbf{0}, h]$   
**let**  $?xs = \text{concat } (\text{map } ?f zs)$

**have**  $\text{eqtake}: \text{bitenc } (\text{take } i zs) = \text{take } (2 * i) (\text{bitenc } zs)$   
**if**  $i \leq \text{length } zs$  **for**  $i$   $zs$

**proof** –

**have**  $\text{take } (2 * i) (\text{bitenc } zs) = \text{take } (2 * i) (\text{bitenc } (\text{take } i zs @ \text{drop } i zs))$   
**by**  $\text{simp}$   
**then have**  $\text{take } (2 * i) (\text{bitenc } zs) = \text{take } (2 * i) (\text{bitenc } (\text{take } i zs) @ (\text{bitenc } (\text{drop } i zs)))$   
**by** ( $\text{metis concat-append map-append}$ )  
**then show**  $?thesis$   
**using**  $\text{length-bitenc}$  **that** **by**  $\text{simp}$

**qed**

**have**  $\text{eqdrop}: \text{bitenc } (\text{drop } i zs) = \text{drop } (2 * i) (\text{bitenc } zs)$   
**if**  $i < \text{length } zs$  **for**  $i$

**proof** –

**have**  $\text{drop } (2 * i) (\text{bitenc } zs) = \text{drop } (2 * i) (\text{bitenc } (\text{take } i zs @ \text{drop } i zs))$   
**by**  $\text{simp}$   
**then have**  $\text{drop } (2 * i) (\text{bitenc } zs) = \text{drop } (2 * i) (\text{bitenc } (\text{take } i zs) @ \text{bitenc } (\text{drop } i zs))$   
**by** ( $\text{metis concat-append map-append}$ )  
**then show**  $?thesis$   
**using**  $\text{length-bitenc}$  **that** **by**  $\text{simp}$

**qed**

**have**  $\text{take2}: \text{take } 2 (\text{drop } (2 * i) (\text{bitenc } zs)) = ?f (zs ! i)$  **if**  $i < \text{length } zs$  **for**  $i$

**proof** –

**have**  $1: 1 \leq \text{length } (\text{drop } i zs)$

```

    using that by simp
  have take 2 (drop (2*i) (bitenc zs)) = take 2 (bitenc (drop i zs))
    using that eqdrop by simp
  also have ... = bitenc (take 1 (drop i zs))
    using 1 eqtake by simp
  also have ... = bitenc [zs ! i]
    using that by (metis Cons-nth-drop-Suc One-nat-def take0 take-Suc-Cons)
  also have ... = ?f (zs ! i)
    by simp
  finally show ?thesis .
qed

```

```

show bitenc zs ! (2 * i) = 0
proof -
  have bitenc zs ! (2 * i) = drop (2 * i) (bitenc zs) ! 0
    using assms drop0 length-bitenc by simp
  also have ... = take 2 (drop (2 * i) (bitenc zs)) ! 0
    using eqdrop by simp
  also have ... = ?f (zs ! i) ! 0
    using assms take2 by simp
  also have ... = 0
    by simp
  finally show ?thesis .
qed

```

```

show bitenc zs ! (2*i + 1) = zs ! i
proof -
  have bitenc zs ! (2*i+1) = drop (2 * i) (bitenc zs) ! 1
    using assms length-bitenc by simp
  also have ... = take 2 (drop (2*i) (bitenc zs)) ! 1
    using eqdrop by simp
  also have ... = ?f (zs ! i) ! 1
    using assms(1) take2 by simp
  also have ... = zs ! i
    by simp
  finally show ?thesis .
qed
qed

```

```

lemma string-pair-first-nth:
  assumes i < length x
  shows ⟨x, y⟩ ! (2 * i) = 0
    and ⟨x, y⟩ ! (2 * i + 1) = x ! i
proof -
  have ⟨x, y⟩ ! (2*i) = concat (map (λh. [0, h]) x) ! (2*i)
    using string-pair-def length-bitenc by (simp add: assms nth-append)
  then show ⟨x, y⟩ ! (2 * i) = 0
    using bitenc-nth(1) assms by simp
  have 2 * i + 1 < 2 * length x
    using assms by simp
  then have ⟨x, y⟩ ! (2*i+1) = concat (map (λh. [0, h]) x) ! (2*i+1)
    using string-pair-def length-bitenc[of x] assms nth-append
    by force
  then show ⟨x, y⟩ ! (2 * i + 1) = x ! i
    using bitenc-nth(2) assms by simp
qed

```

```

lemma string-pair-sep-nth:
  shows ⟨x, y⟩ ! (2 * length x) = 1
    and ⟨x, y⟩ ! (2 * length x + 1) = 1
  using string-pair-def length-bitenc
  by (metis append-Cons nth-append-length) (simp add: length-bitenc nth-append string-pair-def)

```

**lemma** *string-pair-second-nth*:  
**assumes**  $i < \text{length } y$   
**shows**  $\langle x, y \rangle ! (2 * \text{length } x + 2 + 2 * i) = \mathbf{0}$   
**and**  $\langle x, y \rangle ! (2 * \text{length } x + 2 + 2 * i + 1) = y ! i$   
**proof** –  
**have**  $\langle x, y \rangle ! (2 * \text{length } x + 2 + 2 * i) = \text{concat } (\text{map } (\lambda h. [\mathbf{0}, h]) y) ! (2 * i)$   
**using** *string-pair-def length-bitenc* **by** (*simp add: assms nth-append*)  
**then show**  $\langle x, y \rangle ! (2 * \text{length } x + 2 + 2 * i) = \mathbf{0}$   
**using** *bitenc-nth(1) assms* **by** *simp*  
**have**  $2 * i + 1 < 2 * \text{length } y$   
**using** *assms* **by** *simp*  
**then have**  $\langle x, y \rangle ! (2 * \text{length } x + 2 + 2 * i + 1) = \text{concat } (\text{map } (\lambda h. [\mathbf{0}, h]) y) ! (2 * i + 1)$   
**using** *string-pair-def length-bitenc[of x] assms nth-append*  
**by** *force*  
**then show**  $\langle x, y \rangle ! (2 * \text{length } x + 2 + 2 * i + 1) = y ! i$   
**using** *bitenc-nth(2) assms* **by** *simp*  
**qed**

**lemma** *string-pair-inj*:  
**assumes**  $\langle x1, y1 \rangle = \langle x2, y2 \rangle$   
**shows**  $x1 = x2 \wedge y1 = y2$   
**proof**  
**show**  $x1 = x2$   
**proof** (*rule ccontr*)  
**assume** *neg*:  $x1 \neq x2$   
**consider**  $\text{length } x1 = \text{length } x2 \mid \text{length } x1 < \text{length } x2 \mid \text{length } x1 > \text{length } x2$   
**by** *linarith*  
**then show** *False*  
**proof** (*cases*)  
**case 1**  
**then obtain**  $i$  **where**  $i: i < \text{length } x1$   $x1 ! i \neq x2 ! i$   
**using** *neg list-eq-iff-nth-eq* **by** *blast*  
**then have**  $\langle x1, y1 \rangle ! (2 * i + 1) = x1 ! i$  **and**  $\langle x2, y2 \rangle ! (2 * i + 1) = x2 ! i$   
**using** *1 string-pair-first-nth* **by** *simp-all*  
**then show** *False*  
**using** *assms i(2)* **by** *simp*  
**next**  
**case 2**  
**let**  $?i = \text{length } x1$   
**have**  $\langle x1, y1 \rangle ! (2 * ?i) = \mathbf{I}$   
**using** *string-pair-sep-nth* **by** *simp*  
**moreover have**  $\langle x2, y2 \rangle ! (2 * ?i) = \mathbf{0}$   
**using** *string-pair-first-nth 2* **by** *simp*  
**ultimately show** *False*  
**using** *assms* **by** *simp*  
**next**  
**case 3**  
**let**  $?i = \text{length } x2$   
**have**  $\langle x2, y2 \rangle ! (2 * ?i) = \mathbf{I}$   
**using** *string-pair-sep-nth* **by** *simp*  
**moreover have**  $\langle x1, y1 \rangle ! (2 * ?i) = \mathbf{0}$   
**using** *string-pair-first-nth 3* **by** *simp*  
**ultimately show** *False*  
**using** *assms* **by** *simp*  
**qed**  
**qed**  
**then have** *len-x-eq*:  $\text{length } x1 = \text{length } x2$   
**by** *simp*  
**then have** *len-y-eq*:  $\text{length } y1 = \text{length } y2$   
**using** *assms length-string-pair*  
**by** (*smt (verit) Suc-1 Suc-mult-cancel1 add-left-imp-eq add-right-cancel*)  
**show**  $y1 = y2$   
**proof** (*rule ccontr*)

```

assume neq:  $y1 \neq y2$ 
then obtain i where  $i < \text{length } y1$   $y1 ! i \neq y2 ! i$ 
  using list-eq-iff-nth-eq len-y-eq by blast
then have  $\langle x1, y1 \rangle ! (2 * \text{length } x1 + 2 + 2 * i + 1) = y1 ! i$  and
   $\langle x2, y2 \rangle ! (2 * \text{length } x2 + 2 + 2 * i + 1) = y2 ! i$ 
  using string-pair-second-nth len-y-eq by simp-all
then show False
  using assms i(2) len-x-eq by simp
qed
qed

```

Turing machines have to deal with pairs of symbol sequences rather than strings.

**abbreviation** *pair* :: *string*  $\Rightarrow$  *string*  $\Rightarrow$  *symbol list* ( $\langle \langle -; - \rangle \rangle$ ) **where**  
 $\langle x; y \rangle \equiv \text{string-to-symbols } \langle x, y \rangle$

**lemma** *symbols-lt-pair*: *symbols-lt* 4  $\langle x; y \rangle$   
**by** *simp*

**lemma** *length-pair*: *length*  $\langle x; y \rangle = 2 * \text{length } x + 2 * \text{length } y + 2$   
**by** (*simp add: length-string-pair*)

**lemma** *pair-inj*:  
**assumes**  $\langle x1; y1 \rangle = \langle x2; y2 \rangle$   
**shows**  $x1 = x2 \wedge y1 = y2$   
**using** *string-pair-inj assms symbols-to-string-to-symbols* **by** *metis*

## 2.1.4 Big-Oh and polynomials

The Big-Oh notation is standard [2, Definition 0.2]. It can be defined with  $c$  ranging over real or natural numbers. We choose natural numbers for simplicity.

**definition** *big-oh* :: (*nat*  $\Rightarrow$  *nat*)  $\Rightarrow$  (*nat*  $\Rightarrow$  *nat*)  $\Rightarrow$  *bool* **where**  
 $\text{big-oh } g \ f \equiv \exists c \ m. \forall n > m. g \ n \leq c * f \ n$

Some examples:

**proposition** *big-oh* ( $\lambda n. n$ ) ( $\lambda n. n$ )  
**using** *big-oh-def* **by** *auto*

**proposition** *big-oh* ( $\lambda n. n$ ) ( $\lambda n. n * n$ )  
**using** *big-oh-def* **by** *auto*

**proposition** *big-oh* ( $\lambda n. 42 * n$ ) ( $\lambda n. n * n$ )  
**proof**–  
**have**  $\forall n > 0 :: \text{nat}. 42 * n \leq 42 * n * n$   
**by** *simp*  
**then have**  $\exists (c :: \text{nat}) > 0. \forall n > 0. 42 * n \leq c * n * n$   
**using** *zero-less-numeral* **by** *blast*  
**then show** *?thesis*  
**using** *big-oh-def* **by** *auto*  
**qed**

**proposition**  $\neg \text{big-oh } (\lambda n. n * n) (\lambda n. n)$  (**is**  $\neg \text{big-oh } ?g \ ?f$ )  
**proof**  
**assume** *big-oh* ( $\lambda n. n * n$ ) ( $\lambda n. n$ )  
**then obtain** *c m* **where**  $\forall n > m. ?g \ n \leq c * ?f \ n$   
**using** *big-oh-def* **by** *auto*  
**then have**  $1: \forall n > m. n * n \leq c * n$   
**by** *auto*  
**define** *nn* **where**  $nn = \max (m + 1) (c + 1)$   
**then have**  $2: nn > m$   
**by** *simp*  
**then have**  $nn * nn > c * nn$   
**by** (*simp add: nn-def max-def*)

```

with 1 2 show False
using not-le by blast
qed

```

Some lemmas helping with polynomial upper bounds.

```

lemma pow-mono:
  fixes n d1 d2 :: nat
  assumes d1 ≤ d2 and n > 0
  shows n ^ d1 ≤ n ^ d2
  using assms by (simp add: Suc-leI power-increasing)

```

```

lemma pow-mono':
  fixes n d1 d2 :: nat
  assumes d1 ≤ d2 and 0 < d1
  shows n ^ d1 ≤ n ^ d2
  using assms by (metis dual-order.eq-iff less-le-trans neq0-conv pow-mono power-eq-0-iff)

```

```

lemma linear-le-pow:
  fixes n d1 :: nat
  assumes 0 < d1
  shows n ≤ n ^ d1
  using assms by (metis One-nat-def gr-implies-not0 le-less-linear less-Suc0 self-le-power)

```

The next definition formalizes the phrase “polynomially bounded” and the term “polynomial” in “polynomial running-time”. This is often written “ $f(n) = n^{O(1)}$ ” (for example, Arora and Barak [2, Example 0.3]).

```

definition big-oh-poly :: (nat ⇒ nat) ⇒ bool where
  big-oh-poly f ≡ ∃ d. big-oh f (λn. n ^ d)

```

```

lemma big-oh-poly: big-oh-poly f ↔ (∃ d c n0. ∀ n > n0. f n ≤ c * n ^ d)
  using big-oh-def big-oh-poly-def by auto

```

```

lemma big-oh-polyI:
  assumes ∧n. n > n0 ⇒ f n ≤ c * n ^ d
  shows big-oh-poly f
  using assms big-oh-poly by auto

```

```

lemma big-oh-poly-const: big-oh-poly (λn. c)
proof -
  let ?c = max 1 c
  have (λn. c) n ≤ ?c * n ^ 1 if n > 0 for n
  proof -
    have c ≤ n * ?c
    by (metis (no-types) le-square max.cobounded2 mult.assoc mult-le-mono nat-mult-le-cancel-disj that)
    then show ?thesis
    by (simp add: mult.commute)
  qed
  then show ?thesis
  using big-oh-polyI[of 0 - ?c] by simp
qed

```

```

lemma big-oh-poly-poly: big-oh-poly (λn. n ^ d)
  using big-oh-polyI[of 0 - 1 d] by simp

```

```

lemma big-oh-poly-id: big-oh-poly (λn. n)
  using big-oh-poly-poly[of 1] by simp

```

```

lemma big-oh-poly-le:
  assumes big-oh-poly f and ∧n. g n ≤ f n
  shows big-oh-poly g
  using assms big-oh-polyI by (metis big-oh-poly le-trans)

```

```

lemma big-oh-poly-sum:

```

**assumes** *big-oh-poly f1 and big-oh-poly f2*  
**shows** *big-oh-poly ( $\lambda n. f1\ n + f2\ n$ )*  
**proof**–  
**obtain**  $d1\ c1\ m1$  **where**  $1: \forall n > m1. f1\ n \leq c1 * n \wedge d1$   
**using** *big-oh-poly assms(1) by blast*  
**obtain**  $d2\ c2\ m2$  **where**  $2: \forall n > m2. f2\ n \leq c2 * n \wedge d2$   
**using** *big-oh-poly assms(2) by blast*  
**let**  $?f3 = \lambda n. f1\ n + f2\ n$   
**let**  $?c3 = \max\ c1\ c2$   
**let**  $?m3 = \max\ m1\ m2$   
**let**  $?d3 = \max\ d1\ d2$   
**have**  $\forall n > ?m3. f1\ n \leq ?c3 * n \wedge d1$   
**using**  $1$  **by** (*simp add: max.coboundedI1 nat-mult-max-left*)  
**moreover** **have**  $\forall n > ?m3. n \wedge d1 \leq n \wedge ?d3$   
**using** *pow-mono by simp*  
**ultimately** **have**  $*$ :  $\forall n > ?m3. f1\ n \leq ?c3 * n \wedge ?d3$   
**using** *order-subst1 by fastforce*  
**have**  $\forall n > ?m3. f2\ n \leq ?c3 * n \wedge d2$   
**using**  $2$  **by** (*simp add: max.coboundedI2 nat-mult-max-left*)  
**moreover** **have**  $\forall n > ?m3. n \wedge d2 \leq n \wedge ?d3$   
**using** *pow-mono by simp*  
**ultimately** **have**  $\forall n > ?m3. f2\ n \leq ?c3 * n \wedge ?d3$   
**using** *order-subst1 by fastforce*  
**then** **have**  $\forall n > ?m3. f1\ n + f2\ n \leq ?c3 * n \wedge ?d3 + ?c3 * n \wedge ?d3$   
**using**  $*$  **by** *fastforce*  
**then** **have**  $\forall n > ?m3. f1\ n + f2\ n \leq 2 * ?c3 * n \wedge ?d3$   
**by** *auto*  
**then** **have**  $\exists d\ c\ m. \forall n > m. ?f3\ n \leq c * n \wedge d$   
**by** *blast*  
**then** **show** *?thesis*  
**using** *big-oh-poly by simp*  
**qed**

**lemma** *big-oh-poly-prod*:  
**assumes** *big-oh-poly f1 and big-oh-poly f2*  
**shows** *big-oh-poly ( $\lambda n. f1\ n * f2\ n$ )*  
**proof**–  
**obtain**  $d1\ c1\ m1$  **where**  $1: \forall n > m1. f1\ n \leq c1 * n \wedge d1$   
**using** *big-oh-poly assms(1) by blast*  
**obtain**  $d2\ c2\ m2$  **where**  $2: \forall n > m2. f2\ n \leq c2 * n \wedge d2$   
**using** *big-oh-poly assms(2) by blast*  
**let**  $?f3 = \lambda n. f1\ n * f2\ n$   
**let**  $?c3 = \max\ c1\ c2$   
**let**  $?m3 = \max\ m1\ m2$   
**have**  $\forall n > ?m3. f1\ n \leq ?c3 * n \wedge d1$   
**using**  $1$  **by** (*simp add: max.coboundedI1 nat-mult-max-left*)  
**moreover** **have**  $\forall n > ?m3. n \wedge d1 \leq n \wedge d1$   
**using** *pow-mono by simp*  
**ultimately** **have**  $*$ :  $\forall n > ?m3. f1\ n \leq ?c3 * n \wedge d1$   
**using** *order-subst1 by fastforce*  
**have**  $\forall n > ?m3. f2\ n \leq ?c3 * n \wedge d2$   
**using**  $2$  **by** (*simp add: max.coboundedI2 nat-mult-max-left*)  
**moreover** **have**  $\forall n > ?m3. n \wedge d2 \leq n \wedge d2$   
**using** *pow-mono by simp*  
**ultimately** **have**  $\forall n > ?m3. f2\ n \leq ?c3 * n \wedge d2$   
**using** *order-subst1 by fastforce*  
**then** **have**  $\forall n > ?m3. f1\ n * f2\ n \leq ?c3 * n \wedge d1 * ?c3 * n \wedge d2$   
**using**  $*$  *mult-le-mono by (metis mult.assoc)*  
**then** **have**  $\forall n > ?m3. f1\ n * f2\ n \leq ?c3 * ?c3 * n \wedge d1 * n \wedge d2$   
**by** (*simp add: semiring-normalization-rules(16)*)  
**then** **have**  $\forall n > ?m3. f1\ n * f2\ n \leq ?c3 * ?c3 * n \wedge (d1 + d2)$   
**by** (*simp add: mult.assoc power-add*)  
**then** **have**  $\exists d\ c\ m. \forall n > m. ?f3\ n \leq c * n \wedge d$

by *blast*  
then show *?thesis*  
using *big-oh-poly* by *simp*  
qed

lemma *big-oh-poly-offset*:  
assumes *big-oh-poly f*  
shows  $\exists b c d. d > 0 \wedge (\forall n. f n \leq b + c * n ^ d)$   
proof –  
obtain *d c m* where *dcm*:  $\forall n > m. f n \leq c * n ^ d$   
using *assms big-oh-poly* by *auto*  
have \*:  $f n \leq c * n ^ d$  if  $n > m$  for *n*  
proof –  
have  $n > 0$   
using *that* by *simp*  
then have  $n ^ d \leq n ^ {Suc d}$   
by *simp*  
then have  $c * n ^ d \leq c * n ^ {Suc d}$   
by *simp*  
then show  $f n \leq c * n ^ {Suc d}$   
using *dcm order-trans that* by *blast*  
qed  
define *b* :: *nat* where  $b = \text{Max } \{f n \mid n. n \leq m\}$   
then have  $y \leq b$  if  $y \in \{f n \mid n. n \leq m\}$  for *y*  
using *that* by *simp*  
then have  $f n \leq b$  if  $n \leq m$  for *n*  
using *that* by *auto*  
then have  $f n \leq b + c * n ^ {Suc d}$  for *n*  
using \* by (*meson trans-le-add1 trans-le-add2 verit-comp-simplify1*(3))  
then show *?thesis*  
using \* *dcm(1)* by *blast*  
qed

lemma *big-oh-poly-composition*:  
assumes *big-oh-poly f1* and *big-oh-poly f2*  
shows *big-oh-poly (f2 o f1)*  
proof –  
obtain *d1 c1 m1* where *1*:  $\forall n > m1. f1 n \leq c1 * n ^ {d1}$   
using *big-oh-poly assms(1)* by *blast*  
obtain *d2 c2 b* where *2*:  $\forall n. f2 n \leq b + c2 * n ^ {d2}$   
using *big-oh-poly-offset assms(2)* by *blast*  
define *c* where  $c = c2 * c1 ^ {d2}$   
have *3*:  $\forall n > m1. f1 n \leq c1 * n ^ {d1}$   
using *1* by *simp*  
have  $\forall n > m1. f2 n \leq b + c2 * n ^ {d2}$   
using *2* by *simp*  
{ fix *n*  
assume  $n > m1$   
then have *4*:  $(f1 n) ^ {d2} \leq (c1 * n ^ {d1}) ^ {d2}$   
using *3* by (*simp add: power-mono*)  
have  $f2 (f1 n) \leq b + c2 * (f1 n) ^ {d2}$   
using *2* by *simp*  
also have  $\dots \leq b + c2 * (c1 * n ^ {d1}) ^ {d2}$   
using *4* by *simp*  
also have  $\dots = b + c2 * c1 ^ {d2} * n ^ {(d1 * d2)}$   
by (*simp add: power-mult power-mult-distrib*)  
also have  $\dots = b + c * n ^ {(d1 * d2)}$   
using *c-def* by *simp*  
also have  $\dots \leq b * n ^ {(d1 * d2)} + c * n ^ {(d1 * d2)}$   
using  $\langle n > m1 \rangle$  by *simp*  
also have  $\dots \leq (b + c) * n ^ {(d1 * d2)}$   
by (*simp add: comm-semiring-class.distrib*)  
finally have  $f2 (f1 n) \leq (b + c) * n ^ {(d1 * d2)}$  .



```

}
then show ?thesis
  using big-oh-polyI[of m1 - b + c d1 * d2] by simp
qed

```

```

lemma big-oh-poly-pow:
  fixes f :: nat ⇒ nat and d :: nat
  assumes big-oh-poly f
  shows big-oh-poly (λn. f n ^ d)
proof -
  let ?g = λn. n ^ d
  have big-oh-poly ?g
    using big-oh-poly-poly by simp
  moreover have (λn. f n ^ d) = ?g ∘ f
    by auto
  ultimately show ?thesis
    using assms big-oh-poly-composition by simp
qed

```

The textbook does not give an explicit definition of polynomials. It treats them as functions between natural numbers. So assuming the coefficients are natural numbers too, seems natural. We justify this choice when defining  $\mathcal{NP}$  in Section 3.1.

```

definition polynomial :: (nat ⇒ nat) ⇒ bool where
  polynomial f ≡ ∃ cs. ∀ n. f n = (∑ i←[0..<length cs]. cs ! i * n ^ i)

```

```

lemma const-polynomial: polynomial (λ-. c)
proof -
  let ?cs = [c]
  have ∀ n. (λ-. c) n = (∑ i←[0..<length ?cs]. ?cs ! i * n ^ i)
    by simp
  then show ?thesis
    using polynomial-def by blast
qed

```

```

lemma polynomial-id: polynomial id
proof -
  let ?cs = [0, 1::nat]
  have ∀ n::nat. id n = (∑ i←[0..<length ?cs]. ?cs ! i * n ^ i)
    by simp
  then show ?thesis
    using polynomial-def by blast
qed

```

```

lemma big-oh-poly-polynomial:
  fixes f :: nat ⇒ nat
  assumes polynomial f
  shows big-oh-poly f
proof -
  have big-oh-poly (λn. (∑ i←[0..<length cs]. cs ! i * n ^ i)) for cs
  proof (induction length cs arbitrary: cs)
    case 0
    then show ?case
      using big-oh-poly-const by simp
  next
    case (Suc len)
    let ?cs = butlast cs
    have len: length ?cs = len
      using Suc by simp
    {
      fix n :: nat
      have (∑ i←[0..<length cs]. cs ! i * n ^ i) = (∑ i←[0..<Suc len]. cs ! i * n ^ i)
        using Suc by simp
    }
  qed

```

```

also have ... = (∑ i←[0..<len]. cs ! i * n ^ i) + cs ! len * n ^ len
using Suc(2)
by (metis (mono-tags, lifting) Nat.add-0-right list.simps(8) list.simps(9) map-append
sum-list.Cons sum-list.Nil sum-list-append upt-Suc zero-le)
also have ... = (∑ i←[0..<len]. ?cs ! i * n ^ i) + cs ! len * n ^ len
using Suc(2) len by (metis (no-types, lifting) atLeastLessThan-iff map-eq-conv nth-butlast set-upt)
finally have (∑ i←[0..<length cs]. cs ! i * n ^ i) = (∑ i←[0..<len]. ?cs ! i * n ^ i) + cs ! len * n ^ len .
}
then have (λn. ∑ i←[0..<length cs]. cs ! i * n ^ i) = (λn. (∑ i←[0..<len]. ?cs ! i * n ^ i) + cs ! len * n ^
len)
by simp
moreover have big-oh-poly (λn. cs ! len * n ^ len)
using big-oh-poly-poly big-oh-poly-prod big-oh-poly-const by simp
moreover have big-oh-poly (λn. (∑ i←[0..<len]. ?cs ! i * n ^ i))
using Suc len by blast
ultimately show big-oh-poly (λn. ∑ i←[0..<length cs]. cs ! i * n ^ i)
using big-oh-poly-sum by simp
qed
moreover obtain cs where f = (λn. (∑ i←[0..<length cs]. cs ! i * n ^ i))
using assms polynomial-def by blast
ultimately show ?thesis
by simp
qed

```

## 2.2 Increasing the alphabet or the number of tapes

For technical reasons it is sometimes necessary to add tapes to a machine or to formally enlarge its alphabet such that it matches another machine's tape number or alphabet size without changing the behavior of the machine. The primary use of this is when composing machines with unequal alphabets or tape numbers (see Section 2.6).

### 2.2.1 Enlarging the alphabet

A Turing machine over alphabet  $G$  is not necessarily a Turing machine over a larger alphabet  $G' > G$  because reading a symbol in  $\{G, \dots, G' - 1\}$  the TM may write a symbol  $\geq G'$ . This is easy to remedy by modifying the TM to do nothing when it reads a symbol  $\geq G$ . It then formally satisfies the alphabet restriction property of Turing commands. This is rather crude, because the new TM loops infinitely on encountering a “forbidden” symbol, but it is good enough for our purposes.

The next function performs this transformation on a TM  $M$  over alphabet  $G$ . The resulting machine is a Turing machine for every alphabet size  $G' \geq G$ .

**definition** *enlarged* :: nat ⇒ machine ⇒ machine **where**

*enlarged*  $G$   $M \equiv \text{map } (\lambda \text{cmd } rs. \text{if symbols-lt } G \text{ } rs \text{ then cmd } rs \text{ else } (0, \text{map } (\lambda r. (r, \text{Stay})) rs)) M$

**lemma** *length-enlarged*: length (enlarged  $G$   $M$ ) = length  $M$

**using** enlarged-def **by** simp

**lemma** *enlarged-nth*:

**assumes** symbols-lt  $G$   $gs$  **and**  $i < \text{length } M$

**shows**  $(M ! i) gs = (\text{enlarged } G M ! i) gs$

**using** assms enlarged-def **by** simp

**lemma** *enlarged-write*:

**assumes** length  $gs = k$  **and**  $i < \text{length } M$  **and** turing-machine  $k$   $G$   $M$

**shows** length (snd (( $M ! i$ )  $gs$ )) = length (snd ((enlarged  $G$   $M ! i$ )  $gs$ ))

**proof** (cases symbols-lt  $G$   $gs$ )

**case** True

**then show** ?thesis

**using** assms enlarged-def **by** simp

**next**

**case** False

**then have** (*enlarged G M ! i*) *gs* = (0, map ( $\lambda r. (r, \text{Stay})$ ) *gs*)  
**using** *assms enlarged-def* **by** *auto*  
**then show** *?thesis*  
**using** *assms turing-commandD(1) turing-machine-def* **by** (*metis length-map nth-mem snd-conv*)  
**qed**

**lemma** *turing-machine-enlarged*:

**assumes** *turing-machine k G M* **and**  $G' \geq G$   
**shows** *turing-machine k G' (enlarged G M)*

**proof**

**let**  $?M = \text{enlarged } G \ M$   
**show**  $2 \leq k$  **and**  $4 \leq G'$   
**using** *assms turing-machine-def* **by** *simp-all*  
**show** *turing-command k (length ?M) G' (?M ! i)*  
**if** *i*:  $i < \text{length } ?M$  **for** *i*

**proof**

**have** *len*:  $\text{length } ?M = \text{length } M$   
**using** *enlarged-def* **by** *simp*  
**then have** 1: *turing-command k (length M) G (M ! i)*  
**using** *assms(1) that turing-machine-def* **by** *simp*  
**show**  $\bigwedge gs. \text{length } gs = k \implies \text{length } ([!!] (?M ! i) gs) = \text{length } gs$   
**using** *enlarged-write that 1 len assms(1)* **by** (*metis turing-commandD(1)*)  
**show**  $(?M ! i) gs [.] j < G'$   
**if**  $\text{length } gs = k$  ( $\bigwedge i. i < \text{length } gs \implies gs ! i < G'$ )  $j < \text{length } gs$   
**for** *gs j*

**proof** (*cases symbols-lt G gs*)

**case** *True*  
**then have**  $(?M ! i) gs = (M ! i) gs$   
**using** *enlarged-def i* **by** *simp*  
**moreover have**  $(M ! i) gs [.] j < G$   
**using** 1 *turing-commandD(2) that(1,3)* *True* **by** *simp*  
**ultimately show** *?thesis*  
**using** *assms(2)* **by** *simp*

**next**

**case** *False*  
**then have**  $(?M ! i) gs = (0, \text{map } (\lambda r. (r, \text{Stay})) gs)$   
**using** *enlarged-def i* **by** *auto*  
**then show** *?thesis*  
**using** *that* **by** *simp*

**qed**

**show**  $(?M ! i) gs [.] 0 = gs ! 0$  **if**  $\text{length } gs = k$  **and**  $k > 0$  **for** *gs*

**proof** (*cases symbols-lt G gs*)

**case** *True*  
**then show** *?thesis*  
**using** *enlarged-def i 1 turing-command-def that* **by** *simp*

**next**

**case** *False*  
**then have**  $(?M ! i) gs = (0, \text{map } (\lambda r. (r, \text{Stay})) gs)$   
**using** *that enlarged-def i* **by** *auto*  
**then show** *?thesis*  
**using** *assms(1) turing-machine-def that* **by** *simp*

**qed**

**show**  $[*] ((?M ! i) gs) \leq \text{length } ?M$  **if**  $\text{length } gs = k$  **for** *gs*

**proof** (*cases symbols-lt G gs*)

**case** *True*  
**then show** *?thesis*  
**using** *enlarged-def i that assms(1) turing-machine-def 1 turing-commandD(4) enlarged-nth len*  
**by** (*metis (no-types, lifting)*)

**next**

**case** *False*  
**then show** *?thesis*  
**using** *that enlarged-def i* **by** *auto*

**qed**

qed  
qed

The enlarged machine has the same behavior as the original machine when started on symbols over the original alphabet  $G$ .

**lemma** *execute-enlarged*:

**assumes** *turing-machine*  $k G M$  **and** *symbols-lt*  $G zs$   
**shows**  $execute (enlarged G M) (start-config k zs) t = execute M (start-config k zs) t$   
**proof** (*induction*  $t$ )  
  **case**  $0$   
  **then show**  $?case$   
  **by** *simp*  
**next**  
  **case** (*Suc*  $t$ )  
  **let**  $?M = enlarged G M$   
  **have**  $execute ?M (start-config k zs) (Suc t) = exe ?M (execute ?M (start-config k zs) t)$   
  **by** *simp*  
  **also have**  $\dots = exe ?M (execute M (start-config k zs) t)$   
  (**is**  $\dots = exe ?M ?cfg$ )  
  **using** *Suc* **by** *simp*  
  **also have**  $\dots = execute M (start-config k zs) (Suc t)$   
  **proof** (*cases*  $fst ?cfg < length M$ )  
  **case** *True*  
  **then have**  $exe ?M ?cfg = sem (?M ! (fst ?cfg)) ?cfg$   
  (**is**  $\dots = sem ?cmd ?cfg$ )  
  **using** *exe-lt-length length-enlarged* **by** *simp*  
  **then have**  $exe ?M ?cfg =$   
  ( $fst (?cmd (config-read ?cfg)),$   
   $map (\lambda(a, tp). act a tp) (zip (snd (?cmd (config-read ?cfg))) (snd ?cfg)))$ )  
  **using** *sem'* **by** *simp*  
  **moreover have** *symbols-lt*  $G (config-read ?cfg)$   
  **using** *read-alphabet' assms* **by** *auto*  
  **ultimately have**  $exe ?M ?cfg =$   
  ( $fst ((M ! (fst ?cfg)) (config-read ?cfg)),$   
   $map (\lambda(a, tp). act a tp) (zip (snd ((M ! (fst ?cfg)) (config-read ?cfg))) (snd ?cfg)))$ )  
  **using** *True enlarged-nth* **by** *auto*  
  **then have**  $exe ?M ?cfg = exe M ?cfg$   
  **using** *sem'* **by** (*simp add: True exe-lt-length*)  
  **then show**  $?thesis$   
  **using** *Suc* **by** *simp*  
**next**  
  **case** *False*  
  **then show**  $?thesis$   
  **using** *Suc enlarged-def exe-def* **by** *auto*  
**qed**  
**finally show**  $?case$  .  
**qed**

**lemma** *transforms-enlarged*:

**assumes** *turing-machine*  $k G M$   
**and** *symbols-lt*  $G zs$   
**and** *transforms*  $M (snd (start-config k zs)) t tps1$   
**shows** *transforms*  $(enlarged G M) (snd (start-config k zs)) t tps1$   
**proof** –  
  **let**  $?tps = snd (start-config k zs)$   
  **have**  $\exists t' \leq t. execute M (start-config k zs) t' = (length M, tps1)$   
  **using** *assms(3) transforms-def transits-def start-config-def* **by** *simp*  
  **then have**  $\exists t' \leq t. execute (enlarged G M) (start-config k zs) t' = (length M, tps1)$   
  **using** *assms(1,2) transforms-def transits-def execute-enlarged* **by** *auto*  
  **moreover have**  $length M = length (enlarged G M)$   
  **using** *enlarged-def* **by** *simp*  
  **ultimately show**  $?thesis$   
  **using** *start-config-def transforms-def transitsI* **by** *auto*

qed

## 2.2.2 Increasing the number of tapes

We can add tapes to a Turing machine in such a way that on the additional tapes the machine does nothing. While the new tapes could go anywhere, we only consider appending them at the end or inserting them at the beginning.

### Appending tapes at the end

The next function turns a  $k$ -tape Turing machine into a  $k'$ -tape Turing machine (for  $k' \geq k$ ) by appending  $k' - k$  tapes at the end.

**definition** *append-tapes* ::  $\text{nat} \Rightarrow \text{nat} \Rightarrow \text{machine} \Rightarrow \text{machine}$  **where**

*append-tapes*  $k$   $k'$   $M \equiv$   
 $\text{map } (\lambda \text{cmd } rs. (\text{fst } (\text{cmd } (\text{take } k \text{ rs})), \text{snd } (\text{cmd } (\text{take } k \text{ rs})) @ (\text{map } (\lambda i. (\text{rs } ! i, \text{Stay})) [k..<k']))) M$

**lemma** *length-append-tapes*:  $\text{length } (\text{append-tapes } k \text{ } k' M) = \text{length } M$   
**unfolding** *append-tapes-def* **by** *simp*

**lemma** *append-tapes-nth*:

**assumes**  $i < \text{length } M$  **and**  $\text{length } gs = k'$

**shows**  $(\text{append-tapes } k \text{ } k' M ! i) \text{ } gs =$

$(\text{fst } ((M ! i) (\text{take } k \text{ } gs)), \text{snd } ((M ! i) (\text{take } k \text{ } gs)) @ (\text{map } (\lambda j. (gs ! j, \text{Stay})) [k..<k']))$

**unfolding** *append-tapes-def* **using** *assms(1)* **by** *simp*

**lemma** *append-tapes-tm*:

**assumes** *turing-machine*  $k$   $G$   $M$  **and**  $k' \geq k$

**shows** *turing-machine*  $k'$   $G$   $(\text{append-tapes } k \text{ } k' M)$

**proof**

**let**  $?M = \text{append-tapes } k \text{ } k' M$

**show**  $2 \leq k'$

**using** *assms turing-machine-def* **by** *simp*

**show**  $4 \leq G$

**using** *assms(1) turing-machine-def* **by** *simp*

**show** *turing-command*  $k'$   $(\text{length } ?M)$   $G$   $(?M ! i)$  **if**  $i < \text{length } ?M$  **for**  $i$

**proof**

**have**  $i < \text{length } M$

**using** *that* **by** *(simp add: append-tapes-def)*

**then have** *turing-command*: *turing-command*  $k$   $(\text{length } M)$   $G$   $(M ! i)$

**using** *assms(1) that turing-machine-def* **by** *simp*

**have** *ith*: *append-tapes*  $k \text{ } k' M ! i =$

$(\lambda rs. (\text{fst } ((M ! i) (\text{take } k \text{ } rs)), \text{snd } ((M ! i) (\text{take } k \text{ } rs)) @ (\text{map } (\lambda j. (\text{rs } ! j, \text{Stay})) [k..<k'])))$

**unfolding** *append-tapes-def* **using**  $\langle i < \text{length } M \rangle$  **by** *simp*

**show**  $\bigwedge gs. \text{length } gs = k' \implies \text{length } ([!!] (\text{append-tapes } k \text{ } k' M ! i) gs) = \text{length } gs$

**using** *assms(2) ith turing-command turing-commandD* **by** *simp*

**show**  $(\text{append-tapes } k \text{ } k' M ! i) \text{ } gs [.] j < G$

**if**  $\text{length } gs = k' \wedge i < \text{length } gs \implies gs ! i < G \wedge j < \text{length } gs$

**for**  $j \text{ } gs$

**proof** *(cases*  $j < k$ *)*

**case** *True*

**let**  $?gs = \text{take } k \text{ } gs$

**have** *len*:  $\text{length } ?gs = k$

**using** *that(1) assms(2)* **by** *simp*

**have**  $\bigwedge i. i < \text{length } ?gs \implies ?gs ! i < G$

**using** *that(2)* **by** *simp*

**then have**  $\forall i' < \text{length } ?gs. (M ! i) \text{ } ?gs [.] i' < G$

**using** *turing-commandD(2)[OF turing-command len]* **by** *simp*

**then show** *?thesis*

**using** *ith that turing-commandD(1)[OF turing-command len]* **by** *(simp add: nth-append)*

**next**

**case** *False*

**then have**  $j \geq k$

```

    by simp
  have *: length (snd ((M ! i) (take k gs))) = k
    using turing-commandD(1)[OF turing-command] assms(2) that(1) by auto
  have (append-tapes k k' M ! i) gs [] j =
    fst ((snd ((M ! i) (take k gs)) @ (map (λj. (gs ! j, Stay)) [k..<k'])) ! j)
    using ith by simp
  also have ... = fst ((map (λj. (gs ! j, Stay)) [k..<k'] ! (j - k))
  using * that ⟨j ≥ k⟩ by (simp add: False nth-append)
  also have ... = fst (gs ! j, Stay)
    by (metis False ⟨k ≤ j⟩ add-diff-inverse-nat diff-less-mono length-upt nth-map nth-upt that(1,3))
  also have ... = gs ! j
    by simp
  also have ... < G
    using that(2,3) by simp
  finally show ?thesis
    by simp
qed
show (append-tapes k k' M ! i) gs [] 0 = gs ! 0 if length gs = k' for gs
proof -
  have k > 0
    using assms(1) turing-machine-def by simp
  then have 1: (M ! i) rs [] 0 = rs ! 0 if length rs = k for rs
    using turing-commandD(3)[OF turing-command that] that by simp
  have len: length (take k gs) = k
    by (simp add: assms(2) min-absorb2 that(1))
  then have *: length (snd ((M ! i) (take k gs))) = k
    using turing-commandD(1)[OF turing-command] by auto
  have (append-tapes k k' M ! i) gs [] 0 =
    fst ((snd ((M ! i) (take k gs)) @ (map (λj. (gs ! j, Stay)) [k..<k'])) ! 0)
    using ith by simp
  also have ... = fst (snd ((M ! i) (take k gs)) ! 0)
    using * by (simp add: nth-append ⟨0 < k⟩)
  finally show ?thesis
    using 1 len ⟨0 < k⟩ by simp
qed
show [*] ((append-tapes k k' M ! i) gs) ≤ length (append-tapes k k' M) if length gs = k' for gs
proof -
  have length (take k gs) = k
    using assms(2) that by simp
  then have 1: fst ((M ! i) (take k gs)) ≤ length M
    using turing-commandD[OF turing-command] ⟨i < length M⟩ assms(1) turing-machine-def by blast
  moreover have fst ((append-tapes k k' M ! i) gs) = fst ((M ! i) (take k gs))
    using ith by simp
  ultimately show fst ((append-tapes k k' M ! i) gs) ≤ length (append-tapes k k' M)
    using length-append-tapes by metis
qed
qed
qed

```

**lemma** *execute-append-tapes*:

**assumes** *turing-machine*  $k G M$  **and**  $k' \geq k$  **and**  $\text{length } tps = k'$

**shows** *execute* (append-tapes k k' M) (q, tps) t =

(fst (execute M (q, take k tps) t), snd (execute M (q, take k tps) t) @ drop k tps)

**proof** (*induction* t)

**case** 0

**then show** ?case

by *simp*

**next**

**case** (Suc t)

**let** ?M = *append-tapes* k k' M

**let** ?cfg = *execute* M (q, take k tps) t

**let** ?cfg' = *execute* M (q, take k tps) (Suc t)

**have** *execute* ?M (q, tps) (Suc t) = *exe* ?M (*execute* ?M (q, tps) t)

```

by simp
also have ... = exe ?M (fst ?cfg, snd ?cfg @ drop k tps)
  using Suc by simp
also have ... = (fst ?cfg', snd ?cfg' @ drop k tps)
proof (cases fst ?cfg < length ?M)
case True
have sem (?M ! (fst ?cfg)) (fst ?cfg, snd ?cfg @ drop k tps) = (fst ?cfg', snd ?cfg' @ drop k tps)
proof (rule semI)
have turing-machine k' G (append-tapes k k' M)
  using append-tapes-tm[OF assms(1,2)] by simp
then show 1: proper-command k' (append-tapes k k' M ! fst (execute M (q, take k tps) t))
  using True turing-machine-def turing-commandD by (metis nth-mem)
show 2: length (snd ?cfg @ drop k tps) = k'
  using assms execute-num-tapes by fastforce
show length (snd ?cfg' @ drop k tps) = k'
  by (metis (no-types, lifting) append-take-drop-id assms execute-num-tapes
    length-append length-take min-absorb2 snd-conv)
show fst ((?M ! fst ?cfg) (read (snd ?cfg @ drop k tps))) = fst ?cfg'
proof -
have less': fst ?cfg < length M
  using True by (simp add: length-append-tapes)
let ?tps = snd ?cfg @ drop k tps
have length (snd ?cfg) = k
  using assms execute-num-tapes by fastforce
then have take2: take k ?tps = snd ?cfg
  by simp
let ?rs = read ?tps
have len: length ?rs = k'
  using 2 read-length by simp
have take2': take k ?rs = read (snd ?cfg)
  using read-def take2 by (metis (mono-tags, lifting) take-map)
have fst ((?M ! fst ?cfg) ?rs) =
  fst (fst ((M ! fst ?cfg) (take k ?rs)), snd ((M ! fst ?cfg) (take k ?rs)) @ (map (λj. (?rs ! j, Stay))
[k..

```

```

    using append-tapes-nth[OF less' len] by simp
  also have ... = act
    ((fst ((M ! fst ?cfg) (read (snd ?cfg))), snd ((M ! fst ?cfg) (read (snd ?cfg))) @ (map (λj. (?rs ! j,
Stay)) [k..<k'])) [!] j)
    (?tps ! j)
    using take2' by simp
  also have ... = act
    ((snd ((M ! fst ?cfg) (read (snd ?cfg))) @ (map (λj. (?rs ! j, Stay)) [k..<k'])) ! j)
    (?tps ! j)
    by simp
  also have ... = (snd ?cfg' @ drop k tps) ! j
  proof (cases j < k)
  case True
  then have tps: ?tps ! j = snd ?cfg ! j
    by (simp add: len2 nth-append)
  have (snd ?cfg' @ drop k tps) ! j = (snd (exe M ?cfg) @ drop k tps) ! j
    by simp
  also have ... = snd (exe M ?cfg) ! j
    using assms(1) True by (metis exe-num-tapes len2 nth-append)
  also have ... = snd (sem (M ! fst ?cfg) ?cfg) ! j
    by (simp add: exe-lt-length less')
  also have ... = act (snd ((M ! fst ?cfg) (read (snd ?cfg))) ! j) (?tps ! j)
  proof -
  have proper-command k (M ! (fst ?cfg))
    using turing-commandD(1) turing-machine-def assms(1) less' nth-mem by blast
  then show ?thesis
    using sem-snd True tps len2 by simp
  qed
  finally show ?thesis
    using len2' True by (simp add: nth-append)
next
case False
then have tps: ?tps ! j = tps ! j
  using len2 by (metis (no-types, lifting) 2 append-take-drop-id assms(3) length-take nth-append take2)
from False have gt2: j ≥ k
  by simp
have len': length (snd ?cfg') = k
  using assms(1) exe-num-tapes len2 by auto
have rs: ?rs ! j = read tps ! j
  using tps by (metis (no-types, lifting) 2 assms(3) that nth-map read-def)
have act ((snd ((M ! fst ?cfg) (read (snd ?cfg))) @ (map (λj. (?rs ! j, Stay)) [k..<k'])) ! j) (?tps ! j) =
  act ((map (λj. (?rs ! j, Stay)) [k..<k']) ! (j - k)) (?tps ! j)
  using False len2 len2' by (simp add: nth-append)
also have ... = act (?rs ! j, Stay) (?tps ! j)
by (metis (no-types, lifting) False add-diff-inverse-nat diff-less-mono gt2 that length-upt nth-map nth-upt)
also have ... = act (?rs ! j, Stay) (tps ! j)
  using tps by simp
also have ... = act (read tps ! j, Stay) (tps ! j)
  using rs by simp
also have ... = tps ! j
  using act-Stay assms(3) that by simp
also have ... = (snd (exe M ?cfg) @ drop k tps) ! j
  using len'
  by (metis (no-types, lifting) 2 False append-take-drop-id assms(3) execute.simps(2) len2 length-take
nth-append take2)
also have ... = (snd ?cfg' @ drop k tps) ! j
  by simp
finally show ?thesis
  by simp
qed
finally show act ((?M ! fst ?cfg) ?rs [!] j) (?tps ! j) = (snd ?cfg' @ drop k tps) ! j .
qed
qed

```



```

then show ?thesis
  using exe-def True by simp
next
  case False
  then show ?thesis
    using assms by (simp add: exe-ge-length length-append-tapes)
qed
finally show execute ?M (q, tps) (Suc t) = (fst ?cfg', snd ?cfg' @ drop k tps) .
qed

```

```

lemma execute-append-tapes':
  assumes turing-machine k G M and length tps = k
  shows execute (append-tapes k (k + length tps') M) (q, tps @ tps') t =
    (fst (execute M (q, tps) t), snd (execute M (q, tps) t) @ tps')
  using assms execute-append-tapes by simp

```

```

lemma transforms-append-tapes:
  assumes turing-machine k G M
  and length tps0 = k
  and transforms M tps0 t tps1
  shows transforms (append-tapes k (k + length tps') M) (tps0 @ tps') t (tps1 @ tps')
  (is transforms ?M - -)

```

```

proof -
  have execute M (0, tps0) t = (length M, tps1)
  using assms(3) transforms-def transits-def by (metis (no-types, opaque-lifting) execute-after-halting-ge fst-conv)
  then have execute ?M (0, tps0 @ tps') t = (length M, tps1 @ tps')
  using assms(1,2) execute-append-tapes' by simp
  moreover have length M = length ?M
  by (simp add: length-append-tapes)
  ultimately show ?thesis
  by (simp add: execute-imp-transits transforms-def)
qed

```

## Inserting tapes at the beginning

The next function turns a  $k$ -tape Turing machine into a  $(k+d)$ -tape Turing machine by inserting  $d$  tapes at the beginning.

```

definition prepend-tapes :: nat  $\Rightarrow$  machine  $\Rightarrow$  machine where
  prepend-tapes d M  $\equiv$ 
    map ( $\lambda$ cmd rs. (fst (cmd (drop d rs)), map ( $\lambda$ h. (h, Stay)) (take d rs) @ snd (cmd (drop d rs)))) M

```

```

lemma prepend-tapes-at:
  assumes i < length M
  shows (prepend-tapes d M ! i) gs =
    (fst ((M ! i) (drop d gs)), map ( $\lambda$ h. (h, Stay)) (take d gs) @ snd ((M ! i) (drop d gs)))
  using assms prepend-tapes-def by simp

```

```

lemma prepend-tapes-tm:
  assumes turing-machine k G M
  shows turing-machine (d + k) G (prepend-tapes d M)

```

```

proof
  show 2  $\leq$  d + k
  using assms turing-machine-def by simp
  show 4  $\leq$  G
  using assms turing-machine-def by simp
  let ?M = prepend-tapes d M
  show turing-command (d + k) (length ?M) G (?M ! i) if i < length ?M for i
  proof
    have len: i < length M
    using that prepend-tapes-def by simp
    then have *: (?M ! i) gs = (fst ((M ! i) (drop d gs)), map ( $\lambda$ h. (h, Stay)) (take d gs) @ snd ((M ! i) (drop d gs)))
    if length gs = d + k for gs
  qed

```

```

    using prepend-tapes-def that by simp
  have tc: turing-command k (length M) G (M ! i)
    using that turing-machine-def len assms by simp
  show length (snd ((?M ! i) gs)) = length gs if length gs = d + k for gs
    using * that turing-commandD[OF tc] by simp
  show (?M ! i) gs [.] j < G
    if length gs = d + k (∧ i. i < length gs ⇒ gs ! i < G) j < length gs
    for gs j
  proof (cases j < d)
  case True
  have (?M ! i) gs [.] j = fst ((map (λh. (h, Stay)) (take d gs) @ snd ((M ! i) (drop d gs))) ! j)
    using * that(1) by simp
  also have ... = fst (map (λh. (h, Stay)) (take d gs) ! j)
    using True that(1) by (simp add: nth-append)
  also have ... = gs ! j
    by (simp add: True that(3))
  finally have (?M ! i) gs [.] j = gs ! j .
  then show ?thesis
    using that(2,3) by simp
  next
  case False
  have (?M ! i) gs [.] j = fst ((map (λh. (h, Stay)) (take d gs) @ snd ((M ! i) (drop d gs))) ! j)
    using * that(1) by simp
  also have ... = fst (snd ((M ! i) (drop d gs)) ! (j - d))
    using False that(1)
  by (metis (no-types, lifting) add-diff-cancel-left' append-take-drop-id
    diff-add-inverse2 length-append length-drop length-map nth-append)
  also have ... < G
    using False that turing-commandD[OF tc] by simp
  finally show ?thesis
    by simp
  qed
  show (?M ! i) gs [.] 0 = gs ! 0 if length gs = d + k and d + k > 0 for gs
  proof (cases d = 0)
  case True
  then have (?M ! i) gs [.] 0 = fst (snd ((M ! i) gs) ! 0)
    using * that(1) by simp
  then show ?thesis
    using True that turing-commandD[OF tc] by simp
  next
  case False
  then have (?M ! i) gs [.] 0 = fst ((map (λh. (h, Stay)) (take d gs)) ! 0)
    using * that(1) by (simp add: nth-append)
  also have ... = fst ((map (λh. (h, Stay)) gs) ! 0)
    using False by (metis gr-zeroI nth-take take-map)
  also have ... = gs ! 0
    using False that by simp
  finally show ?thesis
    by simp
  qed
  show [*] ((?M ! i) gs) ≤ length ?M if length gs = d + k for gs
  proof -
  have fst ((?M ! i) gs) = fst ((M ! i) (drop d gs))
    using that * by simp
  moreover have length (drop d gs) = k
    using that by simp
  ultimately have fst ((?M ! i) gs) ≤ length M
    using turing-commandD(4)[OF tc] by fastforce
  then show fst ((?M ! i) gs) ≤ length ?M
    using prepend-tapes-def by simp
  qed
  qed
  qed
  qed

```

**definition** *shift-cfg* :: *tape list*  $\Rightarrow$  *config*  $\Rightarrow$  *config* **where**  
*shift-cfg tps cfg*  $\equiv$  (*fst cfg*, *tps* @ *snd cfg*)

**lemma** *execute-prepend-tapes*:

**assumes** *turing-machine* *k G M* **and** *length tps* = *d* **and**  $\|cfg0\| = k$

**shows** *execute* (*prepend-tapes d M*) (*shift-cfg tps cfg0*) *t* = *shift-cfg tps* (*execute M cfg0 t*)

**proof** (*induction t*)

**case** *0*

**show** *?case*

**by** *simp*

**next**

**case** (*Suc t*)

**let** *?M* = *prepend-tapes d M*

**let** *?scfg* = *shift-cfg tps cfg0*

**let** *?scfg'* = *execute ?M ?scfg t*

**let** *?cfg'* = *execute M cfg0 t*

**have** *fst: fst ?cfg' = fst ?scfg'*

**using** *shift-cfg-def Suc.IH* **by** *simp*

**have** *len: ||?cfg'|| = k*

**using** *assms(1,3) execute-num-tapes read-length* **by** *auto*

**have** *len-s: ||?scfg'|| = d + k*

**using** *prepend-tapes-tm[OF assms(1)] shift-cfg-def assms(2,3) execute-num-tapes read-length*

**by** (*metis length-append snd-conv*)

**let** *?srs* = *read (snd ?scfg')*

**let** *?rs* = *read (snd ?cfg')*

**have** *len-rs: length ?rs = k*

**using** *assms(1,3) execute-num-tapes read-length* **by** *auto*

**moreover** **have** *len-srs: length ?srs = k + d*

**using** *prepend-tapes-tm[OF assms(1)] shift-cfg-def assms(2,3)*

**by** (*metis add commute execute-num-tapes length-append read-length snd-conv*)

**ultimately** **have** *srs-rs: drop d ?srs = ?rs*

**using** *Suc shift-cfg-def read-def* **by** *simp*

**have** *\**: *execute ?M ?scfg (Suc t) = exe ?M ?scfg'*

**by** *simp*

**show** *?case*

**proof** (*cases fst ?scfg'  $\geq$  length ?M*)

**case** *True*

**then** **show** *?thesis*

**using** *\* Suc exe-ge-length shift-cfg-def prepend-tapes-def* **by** *auto*

**next**

**case** *running: False*

**then** **have** *scmd: ?M ! (fst ?scfg') =*

$(\lambda gs. (fst ((M ! (fst ?scfg')) (drop d gs)), map (\lambda h. (h, Stay)) (take d gs) @ snd ((M ! (fst ?scfg')) (drop d gs))))$

**(is** *?scmd = -*)

**using** *prepend-tapes-at prepend-tapes-def* **by** *auto*

**then** **have** *cmd: ?M ! (fst ?scfg') =*

$(\lambda gs. (fst ((M ! (fst ?scfg')) (drop d gs)), map (\lambda h. (h, Stay)) (take d gs) @ snd ((M ! (fst ?scfg')) (drop d gs))))$

**using** *fst* **by** *simp*

**let** *?cmd* = *M ! (fst ?scfg')*

**have** *execute ?M ?scfg (Suc t) = sem (?M ! (fst ?scfg')) ?scfg'*

**using** *running \* exe-lt-length* **by** *simp*

**then** **have** *lhs: execute ?M ?scfg (Suc t) =*

$(fst (?scmd ?srs), map (\lambda(a, tp). act a tp) (zip (snd (?scmd ?srs)) (snd ?scfg')))$

**(is** *- = ?lhs*)

**using** *sem'* **by** *simp*

**have** *shift-cfg tps (execute M cfg0 (Suc t)) = shift-cfg tps (exe M ?scfg')*

**by** *simp*

**also** **have** *...* = *shift-cfg tps (sem (M ! (fst ?scfg')) ?scfg')*

```

using exe-lt-length running fst prepend-tapes-def by auto
also have ... = shift-cfg tps
  (fst (?cmd ?rs), map (λ(a, tp). act a tp) (zip (snd (?cmd ?rs)) (snd ?cfg')))
using sem' by simp
also have ... = (fst (?cmd ?rs), tps @ map (λ(a, tp). act a tp) (zip (snd (?cmd ?rs)) (snd ?cfg')))
using shift-cfg-def by simp
finally have rhs: shift-cfg tps (execute M cfg0 (Suc t)) =
  (fst (?cmd ?rs), tps @ map (λ(a, tp). act a tp) (zip (snd (?cmd ?rs)) (snd ?cfg')))
(is - = ?rhs) .

have ?lhs = ?rhs
proof standard+
show fst (?scmd ?srs) = fst (?cmd ?rs)
  using srs-rs cmd by simp
show map (λ(a, tp). act a tp) (zip (snd (?scmd ?srs)) (snd ?scfg')) =
  tps @ map (λ(a, tp). act a tp) (zip (snd (?cmd ?rs)) (snd ?cfg'))
  (is ?l = ?r)
proof (rule nth-equalityI)
have lenl: length ?l = d + k
  using lhs execute-num-tapes assms prepend-tapes-tm len-s
  by (smt (verit) length-append shift-cfg-def snd-conv)
moreover have length ?r = d + k
  using rhs execute-num-tapes assms shift-cfg-def
  by (metis (mono-tags, lifting) length-append snd-conv)
ultimately show length ?l = length ?r
  by simp
show ?l ! j = ?r ! j if j < length ?l for j
proof (cases j < d)
case True
let ?at = zip (snd (?scmd ?srs)) (snd ?scfg') ! j
have ?l ! j = act (fst ?at) (snd ?at)
  using that by simp
moreover have fst ?at = snd (?scmd ?srs) ! j
  using that by simp
moreover have snd ?at = snd ?scfg' ! j
  using that by simp
ultimately have ?l ! j = act (snd (?scmd ?srs) ! j) (snd ?scfg' ! j)
  by simp
moreover have snd ?scfg' ! j = tps ! j
  using shift-cfg-def assms(2) by (metis (no-types, lifting) Suc.IH True nth-append snd-conv)
moreover have snd (?scmd ?srs) ! j = (?srs ! j, Stay)
proof -
have snd (?scmd ?srs) = map (λh. (h, Stay)) (take d ?srs) @ snd ((M ! (fst ?scfg')) (drop d ?srs))
  using scmd by simp
then have snd (?scmd ?srs) ! j = map (λh. (h, Stay)) (take d ?srs) ! j
  using len-srs lenl True that
  by (smt (verit) add.commute length-map length-take min-less-iff-conj nth-append)
then show ?thesis
  using len-srs True by simp
qed
moreover have ?r ! j = tps ! j
  using True assms(2) by (simp add: nth-append)
ultimately show ?thesis
  using len-s that lenl by (metis act-Stay)
next
case False
have jle: j < d + k
  using lenl that by simp
have jle': j - d < k
  using lenl that False by simp

let ?at = zip (snd (?scmd ?srs)) (snd ?scfg') ! j
have ?l ! j = act (fst ?at) (snd ?at)

```

```

    using that by simp
  moreover have fst ?at = snd (?scmd ?srs) ! j
    using that by simp
  moreover have snd ?at = snd ?scfg' ! j
    using that by simp
  ultimately have ?l ! j = act (snd (?scmd ?srs) ! j) (snd ?scfg' ! j)
    by simp
  moreover have snd ?scfg' ! j = snd ?cfg' ! (j - d)
    using shift-cfg-def assms(2) Suc False jle by (metis nth-append snd-conv)
  moreover have snd (?scmd ?srs) ! j = snd (?cmd ?rs) ! (j - d)
  proof -
    have snd (?scmd ?srs) = map (λh. (h, Stay)) (take d ?srs) @ snd ((M ! (fst ?cfg')) (drop d ?srs))
      using cmd by simp
    then have snd (?scmd ?srs) ! j = snd ((M ! (fst ?cfg')) (drop d ?srs)) ! (j - d)
      using len-srs lenl False that len-rs
      by (smt (verit) Nat.add-diff-assoc add.right-neutral add-diff-cancel-left' append-take-drop-id
        le-add1 length-append length-map nth-append srs-rs)
    then have snd (?scmd ?srs) ! j = snd (?cmd ?rs) ! (j - d)
      using srs-rs by simp
    then show ?thesis
      by simp
  qed
  moreover have ?r ! j = act (snd (?cmd ?rs) ! (j - d)) (snd ?cfg' ! (j - d))
  proof -
    have fst (execute M cfg0 t) < length M
      using running fst prepend-tapes-def by simp
    then have len1: length (snd (?cmd ?rs)) = k
      using assms(1) len-rs turing-machine-def[of k G M] turing-commandD(1) by fastforce
    have ?r ! j = map (λ(a, tp). act a tp) (zip (snd (?cmd ?rs)) (snd ?cfg')) ! (j - d)
      using assms(2) False by (simp add: nth-append)
    also have ... = act (snd (?cmd ?rs) ! (j - d)) (snd ?cfg' ! (j - d))
      using len1 len jle' by simp
    finally show ?thesis
      by simp
  qed
  ultimately show ?thesis
    by simp
  qed
  qed
  then show ?thesis
    using lhs rhs by simp
  qed
  qed
  lemma transforms-prepend-tapes:
    assumes turing-machine k G M
      and length tps = d
      and length tps0 = k
      and transforms M tps0 t tps1
    shows transforms (prepend-tapes d M) (tps @ tps0) t (tps @ tps1)
  proof -
    have ∃ t' ≤ t. execute M (0, tps0) t' = (length M, tps1)
      using assms(4) transforms-def transits-def by simp
    then have ∃ t' ≤ t. execute (prepend-tapes d M) (shift-cfg tps (0, tps0)) t' = shift-cfg tps (length M, tps1)
      using assms transforms-def transits-def execute-prepend-tapes shift-cfg-def by auto
    moreover have length M = length (prepend-tapes d M)
      using prepend-tapes-def by simp
    ultimately show ?thesis
      using shift-cfg-def transforms-def transitsI by auto
  qed
end

```

## 2.3 Combining Turing machines

**theory** *Combinations*

**imports** *Basics HOL-Eisbach.Eisbach*

**begin**

This section describes how we can combine Turing machines in the way of traditional control structures found in structured programming, namely sequences, branching, and iterating. This allows us to build complex Turing machines from simpler ones and analyze their behavior and running time. Thanks to some syntactic sugar the result may even look like a programming language, but it is really more like a “construction kit” than a “true” programming language with small and big step semantics or Hoare rules. Instead we will merely have some lemmas for proving *transforms* statements for the combined machines. The remaining sections of this chapter will provide us with concrete Turing machines to combine.

### 2.3.1 Relocated machines

If we use a Turing machine  $M$  as part of another TM and there are  $q$  commands before  $M$ , then  $M$ 's target states will be off by  $q$ . This can be fixed by adding  $q$  to all target states of all commands in  $M$ , an operation we call *relocation*.

**definition** *relocate-cmd* ::  $nat \Rightarrow command \Rightarrow command$  **where**  
*relocate-cmd*  $q$   $cmd$   $rs \equiv (fst (cmd rs) + q, snd (cmd rs))$

**lemma** *relocate-cmd-head*:  $relocate-cmd\ q\ cmd\ rs\ [\sim]\ j = cmd\ rs\ [\sim]\ j$   
**using** *relocate-cmd-def* **by** *simp*

**lemma** *sem-relocate-cmd*:  $sem (relocate-cmd\ q\ cmd)\ cfg = (sem\ cmd\ cfg) <+==> q$

**proof**–

**let**  $?cmd' = relocate-cmd\ q\ cmd$   
**let**  $?rs = read (snd\ cfg)$   
**have**  $?cmd'\ ?rs = (fst (cmd\ ?rs) + q, snd (cmd\ ?rs))$   
**by** (*simp add: relocate-cmd-def*)  
**then show**  $?thesis$   
**using** *sem* **by** (*metis (no-types, lifting) fst-conv snd-conv*)

**qed**

**definition** *relocate* ::  $nat \Rightarrow machine \Rightarrow machine$  **where**  
*relocate*  $q\ M \equiv map (relocate-cmd\ q)\ M$

**lemma** *relocate*:

**assumes**  $M' = relocate\ q\ M$  **and**  $i < length\ M$   
**shows**  $(M' ! i)\ r = (fst ((M ! i)\ r) + q, snd ((M ! i)\ r))$   
**using** *assms relocate-def relocate-cmd-def* **by** *simp*

**lemma** *sem-relocate*:

**assumes**  $M' = relocate\ q\ M$  **and**  $i < length\ M$   
**shows**  $sem (M' ! i)\ cfg = sem (M ! i)\ cfg <+==> q$   
**using** *assms relocate-def sem-relocate-cmd* **by** *simp*

**lemma** *turing-command-relocate-cmd*:

**assumes** *turing-command*  $k\ Q\ G\ cmd$   
**shows** *turing-command*  $k (Q + q)\ G (relocate-cmd\ q\ cmd)$   
**using** *assms relocate-cmd-def turing-commandD* **by** *auto*

**lemma** *turing-command-relocate*:

**assumes**  $M' = relocate\ q\ M$  **and** *turing-machine*  $k\ G\ M$  **and**  $i < length\ M$   
**shows** *turing-command*  $k (length\ M + q)\ G (M' ! i)$

**proof**

**fix**  $gs :: symbol\ list$   
**assume**  $gs: length\ gs = k$   
**have**  $tc: turing-command\ k (length\ M)\ G (M ! i)$   
**using** *turing-machine-def assms(2,3)* **by** *simp*  
**show**  $length ([!!] (M' ! i)\ gs) = length\ gs$

```

    using gs turing-commandD(1)[OF tc] assms(1,3) relocate by simp
  show (M' ! i) gs [.] 0 = gs ! 0 if k > 0
    using gs turing-commandD(3)[OF tc] assms(1,3) relocate that by simp
  show [*] ((M' ! i) gs) ≤ length M + q
    using gs turing-commandD(4)[OF tc] assms(1,3) relocate by simp
  show (∧i. i < length gs ⇒ gs ! i < G) ⇒
    j < length gs ⇒ (M' ! i) gs [.] j < G for j
    using gs turing-commandD(2)[OF tc] assms(1,3) relocate by simp
qed

```

```

lemma length-relocate: length (relocate q M) = length M
  by (simp add: relocate-def)

```

```

lemma relocate-jump-targets:
  assumes turing-machine k G M
  and M' = relocate q M
  and i < length M
  and length rs = k
  shows fst ((M' ! i) rs) ≤ length M + q
  using turing-machine-def relocate-def assms relocate
  by (metis turing-commandD(4) diff-add-inverse2 fst-conv le-diff-conv nth-mem)

```

```

lemma relocate-zero: relocate 0 M = M
  unfolding relocate-def relocate-cmd-def by simp

```

### 2.3.2 Sequences

To execute two Turing machines sequentially we concatenate the two machines, relocating the second one by the length of the first one. In this way the halting state of the first machine becomes the start state of the second machine.

**definition** *turing-machine-sequential* :: machine ⇒ machine ⇒ machine (**infixl** <;> 55) **where**  
*M1* ;; *M2* ≡ *M1* @ (relocate (length *M1*) *M2*)

If the number of tapes and the alphabet size match, the concatenation of two Turing machines is again a Turing machine.

```

lemma turing-machine-sequential-turing-machine [intro, simp]:
  assumes turing-machine k G M1 and turing-machine k G M2
  shows turing-machine k G (M1 ;; M2) (is turing-machine k G ?M)
proof
  show 1: k ≥ 2
    using assms(1) turing-machine-def by simp
  show 2: G ≥ 4
    using assms(1) turing-machine-def by simp
  have len: length ?M = length M1 + length M2
    using relocate-def turing-machine-sequential-def by simp
  show 3: turing-command k (length ?M) G (?M ! i) if i < length ?M for i
  proof (cases i < length M1)
    case True
    then show ?thesis
      using turing-machineD[OF assms(1)] turing-machine-sequential-def len turing-command-mono
      by (metis (no-types, lifting) le-add1 nth-append)
  next
    case False
    then have i - (length M1) < length M2 (is ?i < length M2)
      using False that len by simp
    then have turing-command k (length ?M) G ((relocate (length M1) M2) ! ?i)
      using assms(2) turing-command-relocate len by (simp add: add commute)
    moreover have ?M ! i = (relocate (length M1) M2) ! ?i
      using False by (simp add: nth-append turing-machine-sequential-def)
    ultimately show ?thesis
      by simp
  qed

```

qed

**lemma** *turing-machine-sequential-empty*: *turing-machine-sequential* []  $M = M$   
**unfolding** *turing-machine-sequential-def* **using** *relocate-zero* **by** *simp*

**lemma** *turing-machine-sequential-nth*:

**assumes**  $M = M1 ;; M2$  **and**  $p < \text{length } M2$

**shows**  $M ! (p + \text{length } M1) = \text{relocate-cmd } (\text{length } M1) (M2 ! p)$

**proof**–

**let**  $?r = \text{relocate } (\text{length } M1) M2$

**have**  $M = M1 @ ?r$

**using** *assms(1)* *turing-machine-sequential-def* **by** *simp*

**then have**  $M ! (p + \text{length } M1) = ?r ! p$

**by** (*simp add: nth-append*)

**then show** *?thesis*

**using** *assms(2)* *relocate-def* **by** *simp*

qed

**lemma** *turing-machine-sequential-nth'*:

**assumes**  $M = M1 ;; M2$  **and**  $\text{length } M1 \leq q$  **and**  $q < \text{length } M$

**shows**  $M ! q = \text{relocate-cmd } (\text{length } M1) (M2 ! (q - \text{length } M1))$

**using** *assms* *turing-machine-sequential-nth* *length-relocate* *turing-machine-sequential-def*

**by** (*metis (no-types, lifting) add.assoc diff-add length-append less-add-eq-less*)

**lemma** *length-turing-machine-sequential*:

$\text{length } (\text{turing-machine-sequential } M1 M2) = \text{length } M1 + \text{length } M2$

**using** *turing-machine-sequential-def* *relocate-def* **by** *simp*

**lemma** *exe-relocate*:

$\text{exe } (M1 ;; M2) (\text{cfg } \langle + \Rightarrow \rangle \text{length } M1) = (\text{exe } M2 \text{ cfg}) \langle + \Rightarrow \rangle \text{length } M1$

**using** *sem-relocate-cmd* *sem-state-indep* *exe-def* *turing-machine-sequential-nth* *length-turing-machine-sequential*  
**by** (*smt (verit, ccfv-SIG) add commute diff-add-inverse2 fst-conv le-add2 less-diff-conv2 snd-conv*)

**lemma** *execute-pre-append*:

**assumes** *halts*  $M1 \text{ cfg}$  **and**  $\text{fst } \text{cfg} = 0$  **and**  $t \leq \text{running-time } M1 \text{ cfg}$

**shows**  $\text{execute } ((M0 ;; M1) @ M2) (\text{cfg } \langle + \Rightarrow \rangle \text{length } M0) t = (\text{execute } M1 \text{ cfg } t) \langle + \Rightarrow \rangle \text{length } M0$

**using** *assms(3)*

**proof** (*induction*  $t$ )

**case**  $0$

**then show** *?case*

**by** *simp*

**next**

**case** (*Suc*  $t$ )

**let**  $?l0 = \text{length } M0$

**let**  $?M = (M0 ;; M1) @ M2$

**let**  $?cfg-t = \text{execute } ?M (\text{cfg } \langle + \Rightarrow \rangle ?l0) t$

**let**  $?cfg1-t = \text{execute } M1 \text{ cfg } t$

**let**  $?cmd1 = M1 ! (\text{fst } ?cfg1-t)$

**let**  $?cmd = ?M ! (\text{fst } ?cfg-t)$

**have**  $*$ :  $?cfg1-t \langle + \Rightarrow \rangle ?l0 = ?cfg-t$

**using** *Suc* **by** *simp*

**then have**  $\text{fst } (?cfg1-t \langle + \Rightarrow \rangle ?l0) = \text{fst } ?cfg-t$

**by** *simp*

**then have**  $2$ :  $\text{fst } ?cfg1-t + ?l0 = \text{fst } ?cfg-t$

**by** *simp*

**from**  $*$  **have** *sndeq*:  $\text{snd } ?cfg1-t = \text{snd } ?cfg-t$

**by** (*metis snd-conv*)

**have**  $\text{fst } (\text{execute } M1 \text{ cfg } t) \leq \text{length } M1$

**using** *halts-impl-le-length* *assms(1)* *halts-def* **by** *blast*

**moreover have**  $\text{fst } ?cfg1-t \neq \text{length } M1$

**using** *Suc.prems* *running-time-def* *wellorder-Least-lemma(2)* **by** *fastforce*

**ultimately have**  $1$ :  $\text{fst } ?cfg1-t < \text{length } M1$

**by** *simp*



```

with 2 have relocate-cmd ?l0 ?cmd1 = (M0 ;; M1) ! (fst ?cfg1-t + ?l0)
  by (metis turing-machine-sequential-nth)
then have relocate-cmd ?l0 ?cmd1 = ?M ! (fst ?cfg1-t + ?l0)
  by (simp add: 1 nth-append length-turing-machine-sequential)
then have 3: relocate-cmd ?l0 ?cmd1 = ?cmd
  using 2 by simp
with 1 have execute M1 cfg (Suc t) = sem ?cmd1 ?cfg1-t
  by (simp add: exe-def)
then have (execute M1 cfg (Suc t)) <+==> ?l0 = (sem ?cmd1 ?cfg1-t) <+==> ?l0
  by simp
then have (execute M1 cfg (Suc t)) <+==> ?l0 = (sem (relocate-cmd ?l0 ?cmd1) ?cfg1-t)
  using sem-relocate-cmd by simp
then have rhs: (execute M1 cfg (Suc t)) <+==> ?l0 = sem ?cmd ?cfg1-t
  using 3 by simp
have execute ?M (cfg <+==> ?l0) (Suc t) = exe ?M ?cfg-t
  by simp
moreover have fst ?cfg-t < length ?M
  using 1 2 by (simp add: length-turing-machine-sequential)
ultimately have lhs: execute ?M (cfg <+==> ?l0) (Suc t) = sem ?cmd ?cfg-t
  by (simp add: exe-lt-length)
have sem ?cmd ?cfg-t = sem ?cmd ?cfg1-t
  using sem-state-indep sndeq by fastforce
with lhs rhs show ?case
  by simp
qed

```

**lemma** *transits-pre-append'*:

```

assumes transforms M1 tps t tps'
shows transits ((M0 ;; M1) @ M2) (length M0, tps) t (length M0 + length M1, tps')

```

**proof**–

```

let ?rt = running-time M1 (0, tps)
let ?M = (M0 ;; M1) @ M2
have ?rt ≤ t
  using assms transforms-running-time by simp
have fst (execute M1 (0, tps) t) = length M1
  using assms(1) execute-after-halting-ge halting-config-def transforms-halting-config transforms-running-time
  by (metis (no-types, opaque-lifting) fst-conv)
then have *: halts M1 (0, tps)
  using halts-def by auto
have transits M1 (0, tps) t (length M1, tps')
  using assms(1) transits-def by (simp add: transforms-def)
then have execute M1 (0, tps) ?rt = (length M1, tps')
  using assms(1) halting-config-def transforms-halting-config by auto
moreover have execute ?M (length M0, tps) ?rt = (execute M1 (0, tps) ?rt) <+==> length M0
  using execute-pre-append * by auto
ultimately have execute ?M (length M0, tps) ?rt = (length M1, tps') <+==> length M0
  by simp
then have execute ?M (length M0, tps) ?rt = (length M0 + length M1, tps')
  by simp
then show ?thesis
  using ⟨?rt ≤ t⟩ transits-def by blast
qed

```

**corollary** *transits-prepend*:

```

assumes transforms M1 tps t tps'
shows transits (M0 ;; M1) (length M0, tps) t (length M0 + length M1, tps')
using transits-pre-append' assms by (metis append-Nil2)

```

**corollary** *transits-append*:

```

assumes transforms M1 tps t tps'
shows transits (M1 @ M2) (0, tps) t (length M1, tps')
using transits-pre-append' turing-machine-sequential-empty assms
by (metis gen-length-def length-code list.size(3))

```

**corollary** *execute-append*:

**assumes**  $\text{fst } \text{cfg} = 0$  **and**  $\text{halts } M1 \text{ cfg}$  **and**  $t \leq \text{running-time } M1 \text{ cfg}$   
**shows**  $\text{execute } (M1 @ M2) \text{ cfg } t = \text{execute } M1 \text{ cfg } t$   
**using** *assms execute-pre-append turing-machine-sequential-empty*  
**by** (*metis add.right-neutral list.size(3) prod.collapse*)

**lemma** *execute-sequential*:

**assumes**  $\text{execute } M1 \text{ cfg1 } t1 = \text{cfg1}'$   
**and**  $\text{fst } \text{cfg1} = 0$   
**and**  $t1 = \text{running-time } M1 \text{ cfg1}$   
**and**  $\text{execute } M2 \text{ cfg2 } t2 = \text{cfg2}'$   
**and**  $\text{cfg1}' = \text{cfg2} <+==> \text{length } M1$   
**and**  $\text{halts } M1 \text{ cfg1}$   
**shows**  $\text{execute } (M1 ;; M2) \text{ cfg1 } (t1 + t2) = \text{cfg2}' <+==> \text{length } M1$

**proof**–

**let**  $?M = M1 ;; M2$   
**have** 1:  $\text{execute } ?M \text{ cfg1 } t1 = \text{cfg1}'$   
**using** *execute-append assms turing-machine-sequential-def* **by** *simp*  
**then** **have** 2:  $\text{execute } ?M \text{ cfg1 } (t1 + t2) = \text{execute } ?M \text{ cfg1}' t2$   
**using** *execute-additive* **by** *simp*  
**have**  $\text{execute } M2 \text{ cfg2 } t2 = \text{cfg2}' \implies \text{execute } ?M \text{ cfg1}' t2 = \text{cfg2}' <+==> \text{length } M1$  **for**  $t2$   
**proof** (*induction t2 arbitrary: cfg2'*)  
**case** 0  
**then** **show**  $?case$   
**using** 1 *assms(5)* **by** *simp*  
**next**  
**case** (*Suc t2*)  
**let**  $?cfg = \text{execute } M2 \text{ cfg2 } t2$   
**have**  $\text{execute } ?M \text{ cfg1}' (\text{Suc } t2) = \text{exe } ?M (\text{execute } ?M \text{ cfg1}' t2)$   
**by** *simp*  
**then** **have**  $\text{execute } ?M \text{ cfg1}' (\text{Suc } t2) = \text{exe } ?M (?cfg <+==> \text{length } M1)$   
**using** *Suc* **by** *simp*  
**moreover** **have**  $\text{execute } M2 \text{ cfg2 } (\text{Suc } t2) = \text{exe } M2 ?cfg$   
**by** *simp*  
**ultimately** **show**  $?case$   
**using** *exe-relocate Suc.premis* **by** *metis*  
**qed**  
**then** **show**  $?thesis$   
**using** *assms(4)* 2 **by** *simp*

**qed**

The semantics and running time of the  $;;$  operator:

**lemma** *transforms-turing-machine-sequential*:

**assumes** *transforms*  $M1 \text{ tps1 } t1 \text{ tps2}$  **and** *transforms*  $M2 \text{ tps2 } t2 \text{ tps3}$   
**shows** *transforms*  $(M1 ;; M2) \text{ tps1 } (t1 + t2) \text{ tps3}$

**proof**–

**let**  $?M = M1 ;; M2$   
**let**  $?cfg1 = (0, \text{tps1})$   
**let**  $?cfg1' = (\text{length } M1, \text{tps2})$   
**let**  $?t1 = \text{running-time } M1 ?cfg1$   
**let**  $?cfg2 = (0, \text{tps2})$   
**let**  $?cfg2' = (\text{length } M2, \text{tps3})$   
**let**  $?t2 = \text{running-time } M2 ?cfg2$   
**have**  $\text{fst } (\text{execute } M1 ?cfg1 ?t1) = \text{length } M1$   
**using** *assms(1) halting-config-def transforms-halting-config* **by** (*metis fst-conv*)  
**then** **have** \*:  $\text{halts } M1 ?cfg1$   
**using** *halts-def* **by** *auto*  
**have**  $\text{execute } M1 ?cfg1 ?t1 = ?cfg1'$   
**using** *assms(1) halting-config-def transforms-halting-config* **by** *auto*  
**moreover** **have**  $\text{fst } ?cfg1 = 0$   
**by** *simp*  
**moreover** **have**  $\text{execute } M2 ?cfg2 ?t2 = ?cfg2'$

```

    using assms(2) halting-config-def transforms-halting-config by auto
  moreover have  $?cfg1' = ?cfg2 <+==> \text{length } M1$ 
    by simp
  ultimately have  $\text{execute } ?M ?cfg1 (?t1 + ?t2) = ?cfg2' <+==> \text{length } M1$ 
    using execute-sequential * by blast
  then have  $\text{execute } ?M ?cfg1 (?t1 + ?t2) = (\text{length } ?M, tps3)$ 
    by (simp add: length-turing-machine-sequential)
  then have  $\text{transits } ?M ?cfg1 (?t1 + ?t2) (\text{length } ?M, tps3)$ 
    using transits-def by blast
  moreover have  $?t1 + ?t2 \leq t1 + t2$ 
    using add-le-mono assms(1,2) transforms-running-time by blast
  ultimately have  $\text{transits } ?M ?cfg1 (t1 + t2) (\text{length } ?M, tps3)$ 
    using transits-monotone by simp
  then show thesis
    using transforms-def by simp
qed

```

**corollary** *transforms-tm-sequentialI*:

```

  assumes transforms  $M1$   $tps1$   $t1$   $tps2$  and transforms  $M2$   $tps2$   $t2$   $tps3$  and  $t12 = t1 + t2$ 
  shows transforms  $(M1 ;; M2)$   $tps1$   $t12$   $tps3$ 
  using assms transforms-turing-machine-sequential by simp

```

### 2.3.3 Branches

A branching Turing machine consists of a condition and two Turing machines, one for each of the branches. The condition can be any predicate over the list of symbols read from the tapes. The branching TM thus needs to perform conditional jumps, for which we will have the following command:

**definition** *cmd-jump* ::  $(\text{symbol list} \Rightarrow \text{bool}) \Rightarrow \text{state} \Rightarrow \text{state} \Rightarrow \text{command}$  **where**  
*cmd-jump* *cond*  $q1$   $q2$   $rs \equiv (\text{if } \text{cond } rs \text{ then } q1 \text{ else } q2, \text{map } (\lambda r. (r, \text{Stay})) rs)$

**lemma** *turing-command-jump-1*:

```

  assumes  $q1 \leq q2$  and  $k > 0$ 
  shows turing-command  $k$   $q2$   $G$  (cmd-jump cond  $q1$   $q2$ )
  using assms cmd-jump-def turing-commandI by simp

```

**lemma** *turing-command-jump-2*:

```

  assumes  $q2 \leq q1$  and  $k > 0$ 
  shows turing-command  $k$   $q1$   $G$  (cmd-jump cond  $q1$   $q2$ )
  using assms cmd-jump-def turing-commandI by simp

```

**lemma** *sem-jump-snd*:  $\text{snd} (\text{sem} (\text{cmd-jump } \text{cond } q1 \ q2) \ \text{cfg}) = \text{snd } \text{cfg}$

**proof**–

```

  let  $?k = \|\text{cfg}\|$ 
  let  $?cmd = \text{cmd-jump } \text{cond } q1 \ q2$ 
  let  $?cfg' = \text{sem } ?cmd \ \text{cfg}$ 
  let  $?rs = \text{read } (\text{snd } \text{cfg})$ 
  have 1: proper-command  $?k$   $?cmd$ 
    using cmd-jump-def by simp
  then have len:  $\|\text{?cfg}'\| = \|\text{cfg}\|$ 
    using sem-num-tapes-raw by simp
  have  $\text{snd } ?cfg' ! i = \text{act} (\text{snd } (?cmd ?rs) ! i) (\text{snd } \text{cfg} ! i)$  if  $i < \|\text{cfg}\|$  for  $i$ 
    using sem-snd 1 that by simp
  moreover have  $\text{snd } (?cmd ?rs) ! i = (?rs ! i, \text{Stay})$  if  $i < \|\text{cfg}\|$  for  $i$ 
    using cmd-jump-def by (simp add: read-length that)
  ultimately have  $\text{snd } ?cfg' ! i = \text{act} (?rs ! i, \text{Stay}) (\text{snd } \text{cfg} ! i)$  if  $i < \|\text{cfg}\|$  for  $i$ 
    using that by simp
  then have  $\text{snd } ?cfg' ! i = \text{snd } \text{cfg} ! i$  if  $i < \|\text{cfg}\|$  for  $i$ 
    using that act-Stay by simp
  then show  $\text{snd } ?cfg' = \text{snd } \text{cfg}$ 
    using len nth-equalityI by force

```

qed

**lemma** *sem-jump-fst1*:

**assumes**  $cond$  (read (snd cfg))  
**shows**  $fst$  (sem (cmd-jump cond q1 q2) cfg) = q1  
**using** cmd-jump-def sem assms **by** simp

**lemma** sem-jump-fst2:

**assumes**  $\neg cond$  (read (snd cfg))  
**shows**  $fst$  (sem (cmd-jump cond q1 q2) cfg) = q2  
**using** cmd-jump-def sem assms **by** simp

**lemma** sem-jump:

sem (cmd-jump cond q1 q2) cfg = (if cond (config-read cfg) then q1 else q2, snd cfg)  
**using** sem-def sem-jump-snd cmd-jump-def **by** simp

**lemma** transits-jump:

transits [cmd-jump cond q1 q2] (0, tps) 1 (if cond (read tps) then q1 else q2, tps)  
**using** transits-def sem-jump exe-def **by** auto

**definition** turing-machine-branch :: (symbol list  $\Rightarrow$  bool)  $\Rightarrow$  machine  $\Rightarrow$  machine  $\Rightarrow$  machine  
( $\langle$ IF - THEN - ELSE - ENDIF $\rangle$  60)

**where**

IF cond THEN M1 ELSE M2 ENDIF  $\equiv$   
[cmd-jump cond 1 (length M1 + 2)] @  
(relocate 1 M1) @  
[cmd-jump ( $\lambda$ -. True) (length M1 + length M2 + 2) 0] @  
(relocate (length M1 + 2) M2)

**lemma** turing-machine-branch-len:

length (IF cond THEN M1 ELSE M2 ENDIF) = length M1 + length M2 + 2  
**unfolding** turing-machine-branch-def **by** (simp add: relocate-def)

If the Turing machines for both branches have the same number of tapes and the same alphabet size, the branching machine is a Turing machine, too.

**lemma** turing-machine-branch-turing-machine:

**assumes** turing-machine k G M1 **and** turing-machine k G M2  
**shows** turing-machine k G (IF cond THEN M1 ELSE M2 ENDIF)  
(is turing-machine - - ?M)

**proof**

**show**  $k \geq 2$   
**using** assms(2) turing-machine-def **by** simp  
**show**  $G \geq 4$   
**using** assms(2) turing-machine-def **by** simp  
**let** ?C1 = [cmd-jump cond 1 (length M1 + 2)]  
**let** ?C2 = relocate 1 M1  
**let** ?C3 = [cmd-jump ( $\lambda$ -. True) (length M1 + length M2 + 2) 0]  
**let** ?C4 = relocate (length M1 + 2) M2  
**have** parts: ?M = ?C1 @ ?C2 @ ?C3 @ ?C4  
**using** turing-machine-branch-def **by** simp  
**have** len: length ?M = length M1 + length M2 + 2  
**using** turing-machine-branch-len **by** simp  
**have**  $k > 0$   
**using**  $\langle k \geq 2 \rangle$  **by** simp  
**show** turing-command k (length ?M) G (?M ! i) **if**  $i < \text{length } ?M$  **for**  $i$   
**proof** -  
**consider**  
 $i < \text{length } ?C1$   
 $|\text{length } ?C1 \leq i \wedge i < \text{length } (?C1 @ ?C2)$   
 $|\text{length } (?C1 @ ?C2) \leq i \wedge i < \text{length } (?C1 @ ?C2 @ ?C3)$   
 $|\text{length } (?C1 @ ?C2 @ ?C3) \leq i \wedge i < \text{length } ?M$   
**using**  $\langle i < \text{length } ?M \rangle$  **by** linarith  
**then show** ?thesis  
**proof** (cases)  
**case** 1  
**then have** turing-command k (length M1 + 2) G (?C1 ! i)

```

    using turing-command-jump-1 ⟨k > 0⟩ by simp
  then have turing-command k (length ?M) G (?C1 ! i)
    using turing-command-mono len by simp
  then show ?thesis
    using parts 1 by simp
next
case 2
then have i - length ?C1 < length ?C2
  by auto
then have turing-command k (length M1 + 1) G (?C2 ! (i - length ?C1))
  using turing-command-relocate assms length-relocate by metis
then have turing-command k (length ?M) G (?C2 ! (i - length ?C1))
  using turing-command-mono len by simp
then have turing-command k (length ?M) G ((?C1 @ ?C2) ! i)
  using 2 by simp
then show ?thesis
  using parts 2 by (metis (no-types, lifting) append.assoc nth-append)
next
case 3
then have turing-command k (length ?M) G (?C3 ! (i - length (?C1 @ ?C2)))
  using turing-command-jump-2 len ⟨k > 0⟩ by simp
then have turing-command k (length ?M) G ((?C1 @ ?C2 @ ?C3) ! i)
  using 3 by (metis (no-types, lifting) append.assoc diff-is-0-eq' less-numeral-extra(3) nth-append zero-less-diff)
then show ?thesis
  using parts 3 by (smt (verit, best) append.assoc nth-append)
next
case 4
then have i - length (?C1 @ ?C2 @ ?C3) < length ?C4
  by (simp add: len less-diff-conv2 length-relocate)
then have turing-command k (length ?M) G (?C4 ! (i - length (?C1 @ ?C2 @ ?C3)))
  using turing-command-relocate assms by (smt (verit, cfv-SIG) add.assoc add commute len length-relocate)
then show ?thesis
  using parts 4 by (metis (no-types, lifting) append.assoc le-simps(3) not-less-eq-eq nth-append)
qed
qed
qed

```

If the condition is true, the branching TM executes  $M_1$  and requires two extra steps: one for evaluating the condition and one for the unconditional jump to the halting state.

**lemma** *transforms-branch-true*:

```

  assumes transforms M1 tps t tps' and cond (read tps)
  shows transforms (IF cond THEN M1 ELSE M2 ENDIF) tps (t + 2) tps'
  (is transforms ?M - -)

```

**proof**–

```

let ?C1 = [cmd-jump cond 1 (length M1 + 2)]
let ?C2 = relocate 1 M1
let ?C3 = [cmd-jump (λ-. True) (length M1 + length M2 + 2) 0]
let ?C4 = relocate (length M1 + 2) M2
let ?C34 = ?C3 @ ?C4
have parts: ?M = ?C1 @ ?C2 @ ?C3 @ ?C4
  using turing-machine-branch-def by simp
then have ?M = ?C1 @ ?C2 @ ?C34
  by simp
moreover have ?C1 @ ?C2 = ?C1 ;; M1
  using turing-machine-sequential-def by simp
ultimately have parts2: ?M = (?C1 ;; M1) @ ?C34
  by simp
have execute ?M (0, tps) 1 = exe ?M (0, tps)
  by simp
also have ... = sem (?M ! 0) (0, tps)
  using exe-def by (simp add: turing-machine-branch-len)
also have ... = sem (?C1 ! 0) (0, tps)
  by (simp add: parts)

```

```

also have ... = sem (cmd-jump cond 1 (length M1 + 2)) (0, tps)
  by simp
also have ... = (1, tps)
  using sem-jump by (simp add: assms(2))
finally have execute ?M (0, tps) 1 = (1, tps) .
then have phase1: transits ?M (0, tps) 1 (1, tps)
  using transits-def by auto
have length ?C1 = 1
  by simp
moreover have transits ((?C1 ;; M1) @ ?C34) (length ?C1, tps) t (length ?C1 + length M1, tps')
  using transits-pre-append' assms by blast
ultimately have transits ?M (1, tps) t (1 + length M1, tps')
  using parts2 by simp
with phase1 have transits ?M (0, tps) (t + 1) (1 + length M1, tps')
  using transits-additive by fastforce
then have phase2: transits ?M (0, tps) (t + 1) (length (?C1 @ ?C2), tps')
  by (simp add: relocate-def)
let ?cfg = (length (?C1 @ ?C2), tps')
have *: ?M ! (length (?C1 @ ?C2)) = ?C3 ! 0
  using parts by simp
then have execute ?M ?cfg 1 = exe ?M ?cfg
  by simp
also have ... = sem (cmd-jump ( $\lambda$ -. True) (length M1 + length M2 + 2) 0) ?cfg
  using exe-def relocate-def turing-machine-branch-len * by (simp add: Suc-le-lessD)
also have ... = (length M1 + length M2 + 2, snd ?cfg)
  using sem-jump by simp
also have ... = (length ?M, tps')
  by (simp add: turing-machine-branch-len)
finally have execute ?M ?cfg 1 = (length ?M, tps') .
then have transits ?M ?cfg 1 (length ?M, tps')
  using transits-def by auto
with phase2 have transits ?M (0, tps) (t + 2) (length ?M, tps')
  using transits-additive by fastforce
then show ?thesis
  by (simp add: transforms-def)
qed

```

If the condition is false, the branching TM executes  $M_2$  and requires one extra step to evaluate the condition.

**lemma** *transforms-branch-false:*

```

assumes transforms M2 tps t tps' and  $\neg$  cond (read tps)
shows transforms (IF cond THEN M1 ELSE M2 ENDIF) tps (t + 1) tps'
  (is transforms ?M - -)

```

**proof**–

```

let ?C1 = [cmd-jump cond 1 (length M1 + 2)]
let ?C2 = relocate 1 M1
let ?C3 = [cmd-jump ( $\lambda$ -. True) (length M1 + length M2 + 2) 0]
let ?C4 = relocate (length M1 + 2) M2
let ?C123 = ?C1 @ ?C2 @ ?C3
have parts: ?M = ?C1 @ ?C2 @ ?C3 @ ?C4
  using turing-machine-branch-def by simp
moreover have len123: length ?C123 = length M1 + 2
  by (simp add: length-relocate)
ultimately have seq: ?M = ?C123 ;; M2
  by (simp add: turing-machine-sequential-def)
have execute ?M (0, tps) 1 = exe ?M (0, tps)
  by simp
also have ... = sem (?M ! 0) (0, tps)
  using exe-def by (simp add: turing-machine-branch-len)
also have ... = sem (cmd-jump cond 1 (length M1 + 2)) (0, tps)
  by (simp add: parts)
also have ... = (length M1 + 2, tps)
  using assms(2) sem-jump by simp

```

```

also have ... = (length ?C123, tps)
  using len123 by simp
finally have execute ?M (0, tps) 1 = (length ?C123, tps) .
then have phase1: transits ?M (0, tps) 1 (length ?C123, tps)
  using transits-def by blast
have ?M ! (length ?C123) = ?C4 ! 0
  by (simp add: nth-append parts length-relocate)
have transits (?C123 ;; M2) (length ?C123, tps) t (length ?C123 + length M2, tps')
  using transits-prepend assms by blast
then have transits ?M (length ?C123, tps) t (length ?M, tps')
  by (simp add: seq length-turing-machine-sequential)
with phase1 have transits ?M (0, tps) (t + 1) (length ?M, tps')
  using transits-additive by fastforce
then show ?thesis
  using transforms-def by simp
qed

```

The behavior and running time of the branching Turing machine:

```

lemma transforms-branch-full:
  assumes c  $\implies$  transforms M1 tps tT tpsT
    and  $\neg$  c  $\implies$  transforms M2 tps tF tpsF
    and c  $\implies$  tT + 2  $\leq$  t
    and  $\neg$  c  $\implies$  tF + 1  $\leq$  t
    and c = cond (read tps)
    and tps' = (if c then tpsT else tpsF)
  shows transforms (IF cond THEN M1 ELSE M2 ENDIF) tps t tps'
proof -
  have transforms (IF cond THEN M1 ELSE M2 ENDIF)
    tps
    (if c then tT + 2 else tF + 1)
    (if c then tpsT else tpsF)
  using assms(1,2,5) transforms-branch-true transforms-branch-false by simp
  moreover have (if c then tT + 2 else tF + 1)  $\leq$  t
  using assms(3,4) by simp
  ultimately show ?thesis
  using transforms-monotone assms(6) by presburger
qed

```

```

corollary transforms-branchI:
  assumes cond (read tps)  $\implies$  transforms M1 tps tT tpsT
    and  $\neg$  cond (read tps)  $\implies$  transforms M2 tps tF tpsF
    and cond (read tps)  $\implies$  tT + 2  $\leq$  t
    and  $\neg$  cond (read tps)  $\implies$  tF + 1  $\leq$  t
    and cond (read tps)  $\implies$  tps' = tpsT
    and  $\neg$  cond (read tps)  $\implies$  tps' = tpsF
  shows transforms (IF cond THEN M1 ELSE M2 ENDIF) tps t tps'
  by (rule transforms-branch-full) (use assms in auto)

```

### 2.3.4 Loops

The loops are while loops consisting of a head and a body. The body is a Turing machine that is executed in every iteration as long as the condition in the head of the loop evaluates to true. The condition is of the same form as in branching TMs, namely a predicate over the symbols read from the tapes. Sometimes this is not expressive enough, and so we allow a Turing machine as part of the loop head that is run prior to evaluating the condition. In most cases, however, this TM is empty.

**definition** turing-machine-loop :: machine  $\Rightarrow$  (symbol list  $\Rightarrow$  bool)  $\Rightarrow$  machine  $\Rightarrow$  machine  
 ( $\langle$  WHILE - ; - DO - DONE  $\rangle$  60)

**where**

```

  WHILE M1 ; cond DO M2 DONE  $\equiv$ 
    M1 @
    [cmd-jump cond (length M1 + 1) (length M1 + length M2 + 2)] @
    (relocate (length M1 + 1) M2) @

```

[*cmd-jump* ( $\lambda\cdot$ . True) 0 0]

Intuitively the Turing machine *WHILE M1 ; cond DO M2 DONE* first executes *M1* and then checks the condition *cond*. If it is true, it executes *M2* and jumps back to the start state; otherwise it jumps to the halting state.

**lemma** *turing-machine-loop-len*:

*length* (*WHILE M1 ; cond DO M2 DONE*) = *length M1* + *length M2* + 2

**unfolding** *turing-machine-loop-def* **by** (*simp add: relocate-def*)

If both Turing machines have the same number of tapes and alphabet size, then so does the looping Turing machine.

**lemma** *turing-machine-loop-turing-machine*:

**assumes** *turing-machine k G M1* **and** *turing-machine k G M2*

**shows** *turing-machine k G (WHILE M1 ; cond DO M2 DONE)*

(**is** *turing-machine - - ?M*)

**proof**

**show** 1:  $k \geq 2$

**using** *assms(1) turing-machine-def* **by** *simp*

**show** 2:  $G \geq 4$

**using** *assms(1) turing-machine-def* **by** *simp*

**let**  $?C1 = M1$

**let**  $?C2 = [\text{cmd-jump } \text{cond } (\text{length } M1 + 1) (\text{length } M1 + \text{length } M2 + 2)]$

**let**  $?C3 = \text{relocate } (\text{length } M1 + 1) M2$

**let**  $?C4 = [\text{cmd-jump } (\lambda\cdot$ . True) 0 0]

**let**  $?C34 = ?C3 @ ?C4$

**have** *parts*:  $?M = ?C1 @ ?C2 @ ?C3 @ ?C4$

**using** *turing-machine-loop-def* **by** *simp*

**have** *len*: *length ?M* = *length M1* + *length M2* + 2

**using** *turing-machine-loop-len* **by** *simp*

**have**  $k > 0$

**using**  $\langle k \geq 2 \rangle$  **by** *simp*

**show** *turing-command k (length ?M) G (?M ! i)* **if**  $i < \text{length } ?M$  **for**  $i$

**proof** –

**consider**

$i < \text{length } ?C1$

|  $\text{length } ?C1 \leq i \wedge i < \text{length } (?C1 @ ?C2)$

|  $\text{length } (?C1 @ ?C2) \leq i \wedge i < \text{length } (?C1 @ ?C2 @ ?C3)$

|  $\text{length } (?C1 @ ?C2 @ ?C3) \leq i \wedge i < \text{length } ?M$

**using**  $\langle i < \text{length } ?M \rangle$  **by** *linarith*

**then show** *?thesis*

**proof** (*cases*)

**case** 1

**then have** *turing-command k (length M1) G (?C1 ! i)*

**using** *turing-machineD(3) assms* **by** *simp*

**then have** *turing-command k (length ?M) G (?C1 ! i)*

**using** *turing-command-mono len* **by** *simp*

**then show** *?thesis*

**using** *parts 1* **by** (*simp add: nth-append*)

**next**

**case** 2

**then have** *turing-command k (length M1 + length M2 + 2) G (?C2 ! (i - length ?C1))*

**using** *turing-command-jump-1*  $\langle 0 < k \rangle$  **by** *simp*

**then have** *turing-command k (length ?M) G (?C2 ! (i - length ?C1))*

**using** *len* **by** *simp*

**then have** *turing-command k (length ?M) G ((?C1 @ ?C2) ! i)*

**using** 2 *le-add-diff-inverse* **by** (*simp add: nth-append*)

**then show** *?thesis*

**using** 2 *parts* **by** (*simp add: nth-append*)

**next**

**case** 3

**then have** *turing-command k (length M2 + (length M1 + 1)) G (?C3 ! (i - length (?C1 @ ?C2)))*

**using** *turing-command-relocate length-relocate assms(2)*



```

    by (smt (verit, best) add.commute add.left-commute add.less-cancel-left le-add-diff-inverse length-append)
  then have turing-command k (length ?M) G (?C3 ! (i - length (?C1 @ ?C2)))
    using turing-command-mono len by simp
  then have turing-command k (length ?M) G ((?C1 @ ?C2 @ ?C3) ! i)
    using 3 by (simp add: nth-append)
  then show ?thesis
    using parts 3 by (smt (verit) append.assoc nth-append)
next
case 4
then have turing-command k 0 G (?C4 ! (i - length (?C1 @ ?C2 @ ?C3)))
  using turing-command-jump-1 <0 < k> len length-relocate by simp
then have turing-command k (length ?M) G (?C4 ! (i - length (?C1 @ ?C2 @ ?C3)))
  using turing-command-mono by blast
then show ?thesis
  using parts 4 by (metis (no-types, lifting) append.assoc nth-append verit-comp-simplify1 (3))
qed
qed
qed

```

**lemma** *transits-turing-machine-loop-cond-false:*

**assumes** *transforms M1 tps t1 tps' and*  $\neg \text{cond} (\text{read } tps')$

**shows** *transits*

(WHILE M1 ; cond DO M2 DONE)  
(0, tps)  
(t1 + 1)  
(length M1 + length M2 + 2, tps')

(is *transits* ?M - -)

**proof**-

**let** ?C1 = M1

**let** ?C2 = [cmd-jump cond (length M1 + 1) (length M1 + length M2 + 2)]

**let** ?C3 = relocate (length M1 + 1) M2

**let** ?C4 = [cmd-jump ( $\lambda\cdot$ . True) 0 0]

**let** ?C34 = ?C3 @ ?C4

**have** parts: ?M = ?C1 @ ?C2 @ ?C3 @ ?C4

**using** *turing-machine-loop-def* **by** simp

**then have** ?M = ?C1 @ (?C2 @ ?C3 @ ?C4)

**by** simp

**then have** *transits* ?M (0, tps) t1 (length ?C1, tps')

**using** *assms transits-append* **by** simp

**moreover have** *transits* ?M (length M1, tps') 1 (length M1 + length M2 + 2, tps')

**proof**-

**have** \*: ?M ! (length ?C1) = cmd-jump cond (length M1 + 1) (length M1 + length M2 + 2)

**using** *turing-machine-loop-def* **by** simp

**have** *execute* ?M (length ?C1, tps') 1 = *exe* ?M (length ?C1, tps')

**by** simp

**also have** ... = *sem* (?M ! (length ?C1)) (length ?C1, tps')

**by** (*simp add: exe-lt-length turing-machine-loop-len*)

**also have** ... = *sem* (cmd-jump cond (length M1 + 1) (length M1 + length M2 + 2)) (length ?C1, tps')

**using** \* **by** simp

**also have** ... = (length M1 + length M2 + 2, tps')

**using** *sem-jump assms(2)* **by** simp

**finally have** *execute* ?M (length ?C1, tps') 1 = (length M1 + length M2 + 2, tps') .

**then show** ?thesis

**using** *transits-def* **by** auto

**qed**

**ultimately show** *transits* ?M (0, tps) (t1 + 1) (length M1 + length M2 + 2, tps')

**using** *transits-additive* **by** blast

**qed**

**lemma** *transits-turing-machine-loop-cond-true:*

**assumes** *transforms M1 tps t1 tps'*

**and** *transforms M2 tps' t2 tps''*

**and** *cond (read tps')*

```

shows transits
  (WHILE M1 ; cond DO M2 DONE)
  (0, tps)
  (t1 + t2 + 2)
  (0, tps'')
(is transits ?M - - -)
proof-
let ?C1 = M1
let ?C2 = [cmd-jump cond (length M1 + 1) (length M1 + length M2 + 2)]
let ?C3 = relocate (length M1 + 1) M2
let ?C4 = [cmd-jump (λ-. True) 0 0]
let ?C34 = ?C3 @ ?C4
have parts: ?M = ?C1 @ ?C2 @ ?C3 @ ?C4
  using turing-machine-loop-def by simp
then have ?M = ?C1 @ (?C2 @ ?C3 @ ?C4)
  by simp
then have transits ?M (0, tps) t1 (length ?C1, tps')
  using assms(1,3) transits-append by simp
moreover have transits ?M (length ?C1, tps') 1 (length ?C1 + 1, tps')
proof-
  have *: ?M ! (length ?C1) = cmd-jump cond (length M1 + 1) (length M1 + length M2 + 2)
    using turing-machine-loop-def by simp
  have execute ?M (length ?C1, tps') 1 = exe ?M (length ?C1, tps')
    by simp
  also have ... = sem (?M ! (length ?C1)) (length ?C1, tps')
    by (simp add: exe-lt-length turing-machine-loop-len)
  also have ... = sem (cmd-jump cond (length M1 + 1) (length M1 + length M2 + 2)) (length ?C1, tps')
    using * by simp
  also have ... = (length M1 + 1, tps')
    using sem-jump assms(3) by simp
  finally have execute ?M (length ?C1, tps') 1 = (length M1 + 1, tps') .
  then show ?thesis
    using transits-def by auto
qed
ultimately have transits ?M (0, tps) (t1 + 1) (length M1 + 1, tps')
  using transits-additive by blast
moreover have transits ?M (length M1 + 1, tps') t2 (length M1 + length M2 + 1, tps'')
proof-
  have ?M = ((?C1 @ ?C2) ;; M2) @ ?C4
    by (simp add: parts turing-machine-sequential-def)
  moreover have length (?C1 @ ?C2) = length M1 + 1
    by simp
  ultimately have transits ?M (length M1 + 1, tps') t2 (length M1 + 1 + length M2, tps'')
    using assms transits-pre-append' by metis
  then show ?thesis
    by simp
qed
ultimately have transits ?M (0, tps) (t1 + t2 + 1) (length M1 + length M2 + 1, tps'')
  using transits-additive by fastforce
moreover have transits ?M (length M1 + length M2 + 1, tps'') 1 (0, tps'')
proof-
  have *: ?M ! (length M1 + length M2 + 1) = cmd-jump (λ-. True) 0 0
    by (simp add: nth-append parts length-relocate)
  have execute ?M (length M1 + length M2 + 1, tps'') 1 = exe ?M (length M1 + length M2 + 1, tps'')
    by simp
  also have ... = sem (?M ! (length M1 + length M2 + 1)) (length M1 + length M2 + 1, tps'')
    by (simp add: exe-lt-length turing-machine-loop-len)
  also have ... = sem (cmd-jump (λ-. True) 0 0) (length M1 + length M2 + 1, tps'')
    using * by simp
  also have ... = (0, tps'')
    using sem-jump by simp
  finally have execute ?M (length M1 + length M2 + 1, tps'') 1 = (0, tps'') .
  then show ?thesis

```

```

    using transits-def by auto
  qed
  ultimately show transits ?M (0, tps) (t1 + t2 + 2) (0, tps'')
    using transits-additive by fastforce
  qed

```

In this article we will only encounter while loops that are in fact for loops, that is, where the number of iterations is known beforehand. Moreover, using the same time bound for every iteration will lead to a good enough upper bound for the entire loop.

The *transforms* rule for a loop with  $m$  iterations where the running time of both TMs is bounded by a constant:

```

lemma transforms-loop-for:
  fixes m t1 t2 :: nat
    and M1 M2 :: machine
    and tps :: nat  $\Rightarrow$  tape list
    and tps' :: nat  $\Rightarrow$  tape list
  assumes  $\bigwedge i. i \leq m \implies \text{transforms } M1 (tps\ i) t1 (tps'\ i)$ 
  assumes  $\bigwedge i. i < m \implies \text{transforms } M2 (tps'\ i) t2 (tps\ (Suc\ i))$ 
    and  $\bigwedge i. i < m \implies \text{cond } (\text{read } (tps'\ i))$ 
    and  $\neg \text{cond } (\text{read } (tps'\ m))$ 
  assumes  $t1 \geq m * (t1 + t2 + 2) + t1 + 1$ 
  shows transforms
    (WHILE M1 ; cond DO M2 DONE)
    (tps 0)
    t1
    (tps' m)

```

**proof** –

```

define M where M = WHILE M1 ; cond DO M2 DONE
define t where t = t1 + t2 + 2
have transits M (0, tps 0) (i * t) (0, tps i) if  $i \leq m$  for i
  using that
proof (induction i)
  case 0
  then show ?case
    using transits-def by simp
  next
  case (Suc i)
  then have  $i < m$ 
    by simp
  then have transits M (0, tps i) t (0, tps (Suc i))
    using M-def t-def assms transits-turing-machine-loop-cond-true by (metis le-eq-less-or-eq)
  moreover have transits M (0, tps 0) (i * t) (0, tps i)
    using Suc by simp
  ultimately have transits M (0, tps 0) (i * t + t) (0, tps (Suc i))
    using transits-additive by simp
  then show ?case
    by (metis add commute mult-Suc)
  qed
then have transits M (0, tps 0) (m * t) (0, tps m)
  by simp
moreover have transits M (0, tps m) (t1 + 1) (length M1 + length M2 + 2, tps' m)
  using assms(1,4) transits-turing-machine-loop-cond-false M-def by simp
ultimately have transits M (0, tps 0) (m * t + t1 + 1) (length M1 + length M2 + 2, tps' m)
  using transits-additive by fastforce
then show ?thesis
  using transforms-def turing-machine-loop-len M-def assms(5) t-def transits-monotone
  by auto
qed

```

The rule becomes even simpler in the common case in which the Turing machine in the loop head is empty.

```

lemma transforms-loop-simple:
  fixes m t :: nat

```

```

and  $M :: machine$ 
and  $tps :: nat \Rightarrow tape\ list$ 
assumes  $\bigwedge i. i < m \implies transforms\ M\ (tps\ i)\ t\ (tps\ (Suc\ i))$ 
and  $\bigwedge i. i < m \implies cond\ (read\ (tps\ i))$ 
and  $\neg cond\ (read\ (tps\ m))$ 
assumes  $ttt \geq m * (t + 2) + 1$ 
shows  $transforms$ 
  ( $WHILE\ [] ; cond\ DO\ M\ DONE$ )
  ( $tps\ 0$ )
   $ttt$ 
  ( $tps\ m$ )
using  $transforms-loop-for$  [where  $?tps'=tps$  and  $?cond=cond$  and  $?t1.0=0$ ,  $OF - assms(1) - assms(3)$ ]
   $transforms-Nil\ assms(2,4)$ 
by  $simp$ 

```

### 2.3.5 A proof method

Statements about the behavior and running time of Turing machines, expressed via the predicate *transforms*, are the most common ones we are going to prove. The following proof method applies introduction rules for this predicate. These rules are either the ones we proved for the control structures (sequence, branching, loop) or the ones describing the semantics of concrete Turing machines. The rules of the second kind are collected in the attribute *transforms-intros*.

Applying such a rule usually leaves three kinds of goals: some simple ones requiring only instantiation of schematic variables; one for the equality of two tape lists; and one for the time bound. For the last two goals the proof method offers parameters *tps* and *time*, respectively.

I have to admit that most of the details of the proof method were determined by trial and error.

```

named-theorems  $transforms-intros$ 
declare  $transforms-Nil$  [ $transforms-intros$ ]

method  $tform$  uses  $tps\ time$  declares  $transforms-intros =$ 
  (
    (( $rule\ transforms-tm-sequentialI$ )+
     |  $rule\ transforms-branchI$ 
     |  $rule\ transforms-loop-simple$ 
     |  $rule\ transforms-loop-for$ 
     |  $rule\ transforms-intros$ )
    ; ( $rule\ transforms-intros$ )?
    ; ( $simp\ only;;\ fail$ )?
    ; (( $use\ tps\ in\ simp; fail$ ) | ( $use\ time\ in\ simp; fail$ ))?
    ; ( $match\ conclusion\ in\ left = right\ for\ left\ right :: tape\ list$ 
        $\Rightarrow \langle fastforce\ simp\ add: tps\ list-update-swap; fail \rangle$ )?
    ; ( $match\ conclusion\ in\ left = right\ for\ left\ right :: nat$ 
        $\Rightarrow \langle use\ time\ in\ simp; fail \rangle$ ?)?
  )

```

These lemmas are sometimes helpful for proving the equality of tape lists:

```

lemma  $list-update-swap-less: i' < i \implies ys[i := x, i' := x'] = ys[i' := x', i := x]$ 
by ( $simp\ add: list-update-swap$ )

```

```

lemma  $nth-list-update-neq': j \neq i \implies xs[i := x] ! j = xs ! j$ 
by  $simp$ 

```

**end**

## 2.4 Elementary Turing machines

```

theory  $Elementary$ 
  imports  $Combinations$ 
begin

```

In this section we devise some simple yet useful Turing machines. We have already fully analyzed the empty TM, where start and halting state coincide, in the lemmas *computes-Nil-empty*, *Nil-tm*, and *transforms-Nil*. The next more complex TMs are those with exactly one command. They represent TMs with two states: the halting state and the start state where the action happens. This action might last for one step only, or the TM may stay in this state for longer; for example, it can move a tape head rightward to the next blank symbol. We will also start using the `;;` operator to combine some of the one-command TMs.

Most Turing machines we are going to construct throughout this section and indeed the entire article are really families of Turing machines that usually are parameterized by tape indices.

**type-synonym** *tapeidx* = *nat*

Throughout this article, names of commands are prefixed with *cmd-* and names of Turing machines with *tm-*. Usually for a TM named *tm-foo* there is a lemma *tm-foo-tm* stating that it really is a Turing machine and a lemma *transforms-tm-fooI* describing its semantics and running time. The lemma usually receives a *transforms-intros* attribute for use with our proof method.

If *tm-foo* comprises more than two TMs we will typically analyze the semantics and running time in a locale named *turing-machine-foo*. The first example of this is *tm-equals* in Section 2.4.10.

When it comes to running times, we will have almost no scruples simplifying upper bounds to have the form  $a + b \cdot n^c$  for some constants  $a, b, c$ , even if this means, for example, bounding  $n \log n$  by  $n^2$ .

## 2.4.1 Clean tapes

Most of our Turing machines will not change the start symbol in the first cell of a tape nor will they write the start symbol anywhere else. The only exceptions are machines that simulate arbitrary other machines. We call tapes that have the start symbol only in the first cell *clean tapes*.

**definition** *clean-tape* :: *tape*  $\Rightarrow$  *bool* **where**  
*clean-tape* *tp*  $\equiv \forall i. \text{fst } tp \ i = \triangleright \longleftrightarrow i = 0$

**lemma** *clean-tapeI*:  
**assumes**  $\bigwedge i. \text{fst } tp \ i = \triangleright \longleftrightarrow i = 0$   
**shows** *clean-tape* *tp*  
**unfolding** *clean-tape-def* **using** *assms* **by** *simp*

**lemma** *clean-tapeI'*:  
**assumes** *fst* *tp*  $0 = \triangleright$  **and**  $\bigwedge i. i > 0 \implies \text{fst } tp \ i \neq \triangleright$   
**shows** *clean-tape* *tp*  
**unfolding** *clean-tape-def* **using** *assms* **by** *auto*

A clean configuration is one with only clean tapes.

**definition** *clean-config* :: *config*  $\Rightarrow$  *bool* **where**  
*clean-config* *cfg*  $\equiv (\forall j < ||\text{cfg}||. \forall i. (\text{cfg} \langle : \rangle j) \ i = \triangleright \longleftrightarrow i = 0)$

**lemma** *clean-config-tapes*: *clean-config* *cfg* =  $(\forall tp \in \text{set } (\text{snd } \text{cfg}). \text{clean-tape } tp)$   
**using** *clean-config-def* *clean-tape-def* **by** (*metis in-set-conv-nth*)

**lemma** *clean-configI*:  
**assumes**  $\bigwedge j \ i. j < \text{length } tps \implies \text{fst } (tps \ ! \ j) \ i = \triangleright \longleftrightarrow i = 0$   
**shows** *clean-config* (*q*, *tps*)  
**unfolding** *clean-config-def* **using** *assms* **by** *simp*

**lemma** *clean-configI'*:  
**assumes**  $\bigwedge tp \ i. tp \in \text{set } tps \implies \text{fst } tp \ i = \triangleright \longleftrightarrow i = 0$   
**shows** *clean-config* (*q*, *tps*)  
**using** *clean-configI* *assms* **by** *simp*

**lemma** *clean-configI''*:  
**assumes**  $\bigwedge tp. tp \in \text{set } tps \implies (\text{fst } tp \ 0 = \triangleright \wedge (\forall i > 0. \text{fst } tp \ i \neq \triangleright))$   
**shows** *clean-config* (*q*, *tps*)  
**using** *clean-configI'* *assms* **by** *blast*

**lemma** *clean-configE*:  
**assumes** *clean-config* (*q*, *tps*)  
**shows**  $\bigwedge j. j < \text{length } tps \implies \text{fst } (tps ! j) \ i = \triangleright \iff i = 0$   
**using** *clean-config-def* *assms* **by** *simp*

**lemma** *clean-configE'*:  
**assumes** *clean-config* (*q*, *tps*)  
**shows**  $\bigwedge tp \in \text{set } tps \implies \text{fst } tp \ i = \triangleright \iff i = 0$   
**using** *clean-configE* *assms* **by** (*metis in-set-conv-nth*)

**lemma** *clean-contents-proper* [*simp*]: *proper-symbols* *zs*  $\implies \text{clean-tape } (\lfloor zs \rfloor, q)$   
**using** *clean-tape-def* *contents-def* *proper-symbols-ne1* **by** *simp*

**lemma** *contents-clean-tape'*: *proper-symbols* *zs*  $\implies \text{fst } tp = \lfloor zs \rfloor \implies \text{clean-tape } tp$   
**using** *contents-def* *clean-tape-def* **by** (*simp add: nat-neq-iff*)

Some more lemmas about *contents*:

**lemma** *contents-append-blanks*:  $\lfloor ys @ \text{replicate } m \ \square \rfloor = \lfloor ys \rfloor$

**proof**

**fix** *i*

**consider**

*i* = 0

|  $0 < i \wedge i \leq \text{length } ys$

|  $\text{length } ys < i \wedge i \leq \text{length } ys + m$

|  $\text{length } ys + m < i$

**by** *linarith*

**then show**  $\lfloor ys @ \text{replicate } m \ \square \rfloor \ i = \lfloor ys \rfloor \ i$

**proof** (*cases*)

**case** 1

**then show** *?thesis*

**by** *simp*

**next**

**case** 2

**then show** *?thesis*

**using** *contents-inbounds*

**by** (*metis* (*no-types*, *opaque-lifting*) *Suc-diff-1* *Suc-le-eq* *le-add1* *le-trans* *length-append* *nth-append*)

**next**

**case** 3

**then show** *?thesis*

**by** (*smt* (*verit*) *Suc-diff-Suc* *add-diff-inverse-nat* *contents-def* *diff-Suc-1* *diff-commute* *leD* *less-one* *less-or-eq-imp-le* *nat-add-left-cancel-le* *not-less-eq* *nth-append* *nth-replicate*)

**next**

**case** 4

**then show** *?thesis*

**by** *simp*

**qed**

**qed**

**lemma** *contents-append-update*:

**assumes**  $\text{length } ys = m$

**shows**  $\lfloor ys @ [v] @ zs \rfloor (\text{Suc } m := w) = \lfloor ys @ [w] @ zs \rfloor$

**proof**

**fix** *i*

**consider**

*i* = 0

|  $0 < i \wedge i < \text{Suc } m$

|  $i = \text{Suc } m$

|  $i > \text{Suc } m \wedge i \leq \text{Suc } m + \text{length } zs$

|  $i > \text{Suc } m + \text{length } zs$

**by** *linarith*

**then show**  $\lfloor ys @ [v] @ zs \rfloor (\text{Suc } m := w) \ i = \lfloor ys @ [w] @ zs \rfloor \ i$

(**is** *?l* = *?r*)

```

proof (cases)
  case 1
  then show ?thesis
  by simp
next
  case 2
  then have ?l = (ys @ [v] @ zs) ! (i - 1)
  using assms contents-inbounds by simp
  then have *: ?l = ys ! (i - 1)
  using 2 assms by (metis Suc-diff-1 Suc-le-lessD less-Suc-eq-le nth-append)
  have ?r = (ys @ [w] @ zs) ! (i - 1)
  using 2 assms contents-inbounds by simp
  then have ?r = ys ! (i - 1)
  using 2 assms by (metis Suc-diff-1 Suc-le-lessD less-Suc-eq-le nth-append)
  then show ?thesis
  using * by simp
next
  case 3
  then show ?thesis
  using assms by auto
next
  case 4
  then have ?l = (ys @ [v] @ zs) ! (i - 1)
  using assms contents-inbounds by simp
  then have ?l = ((ys @ [v]) @ zs) ! (i - 1)
  by simp
  then have *: ?l = zs ! (i - 1 - Suc m)
  using 4 assms by (metis diff-Suc-1 length-append-singleton less-imp-Suc-add not-add-less1 nth-append)
  then have ?r = (ys @ [w] @ zs) ! (i - 1)
  using 4 assms contents-inbounds by simp
  then have ?r = ((ys @ [w]) @ zs) ! (i - 1)
  by simp
  then have ?r = zs ! (i - 1 - Suc m)
  using 4 assms by (metis diff-Suc-1 length-append-singleton less-imp-Suc-add not-add-less1 nth-append)
  then show ?thesis
  using * by simp
next
  case 5
  then show ?thesis
  using assms by simp
qed
qed

```

**lemma** contents-snoc:  $[ys](\text{Suc}(\text{length } ys) := w) = [ys @ [w]]$

```

proof
  fix i
  consider  $i = 0 \mid 0 < i \wedge i \leq \text{length } ys \mid i = \text{Suc}(\text{length } ys) \mid i > \text{Suc}(\text{length } ys)$ 
  by linarith
  then show  $([ys](\text{Suc}(\text{length } ys) := w)) i = [ys @ [w]] i$ 
  proof (cases)
    case 1
    then show ?thesis
    by simp
  next
    case 2
    then show ?thesis
    by (smt (verit, ccfv-SIG) contents-def diff-Suc-1 ex-least-nat-less fun-upd-apply leD le-Suc-eq
      length-append-singleton less-imp-Suc-add nth-append)
  next
    case 3
    then show ?thesis
    by simp
  next

```

```

    case 4
    then show ?thesis
    by simp
qed

```

**definition** *config-update-pos* :: *config*  $\Rightarrow$  *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  *config* **where**  
*config-update-pos* *cfg* *j* *p*  $\equiv$  (*fst* *cfg*, (*snd* *cfg*)[*j*:=(*cfg* <:> *j*, *p*)])

**lemma** *config-update-pos-0*: *config-update-pos* *cfg* *j* (*cfg* <#> *j*) = *cfg*  
**using** *config-update-pos-def* **by** *simp*

**definition** *config-update-fwd* :: *config*  $\Rightarrow$  *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  *config* **where**  
*config-update-fwd* *cfg* *j* *d*  $\equiv$  (*fst* *cfg*, (*snd* *cfg*)[*j*:=(*cfg* <:> *j*, *cfg* <#> *j* + *d*)])

**lemma** *config-update-fwd-0*: *config-update-fwd* *cfg* *j* 0 = *cfg*  
**using** *config-update-fwd-def* **by** *simp*

**lemma** *config-update-fwd-additive*:  
*config-update-fwd* (*config-update-fwd* *cfg* *j* *d1*) *j* *d2* = (*config-update-fwd* *cfg* *j* (*d1* + *d2*))  
**using** *config-update-fwd-def*  
**by** (*smt* (*verit*) *add.commute* *add.left-commute* *fst-conv* *le-less-linear* *list-update-beyond* *list-update-overwrite* *nth-list-update-eq* *sndI*)

## 2.4.2 Moving tape heads

Among the most simple things a Turing machine can do is moving one of its tape heads.

### Moving left

The next command makes a TM move its head on tape *j* one cell to the left unless, of course, it is in the leftmost cell already.

**definition** *cmd-left* :: *tapeidx*  $\Rightarrow$  *command* **where**  
*cmd-left* *j*  $\equiv$   $\lambda$ *rs*. (1, *map* ( $\lambda$ *i*. (*rs* ! *i*, if *i* = *j* then *Left* else *Stay*)) [0..*length* *rs*])

**lemma** *turing-command-left*: *turing-command* *k* 1 *G* (*cmd-left* *j*)  
**by** (*auto* *simp* *add*: *cmd-left-def*)

**lemma** *cmd-left'*: [\*] (*cmd-left* *j* *rs*) = 1  
**using** *cmd-left-def* **by** *simp*

**lemma** *cmd-left''*: *j* < *length* *rs*  $\implies$  (*cmd-left* *j* *rs*) [!] *j* = (*rs* ! *j*, *Left*)  
**using** *cmd-left-def* **by** *simp*

**lemma** *cmd-left'''*: *i* < *length* *rs*  $\implies$  *i*  $\neq$  *j*  $\implies$  (*cmd-left* *j* *rs*) [!] *i* = (*rs* ! *i*, *Stay*)  
**using** *cmd-left-def* **by** *simp*

**lemma** *tape-list-eq*:  
**assumes** *length* *tps'* = *length* *tps*  
**and**  $\bigwedge$ *i*. *i* < *length* *tps*  $\implies$  *i*  $\neq$  *j*  $\implies$  *tps'* ! *i* = *tps* ! *i*  
**and** *tps'* ! *j* = *x*  
**shows** *tps'* = *tps*[*j* := *x*]  
**using** *assms* **by** (*smt* (*verit*) *length-list-update* *list-update-beyond* *not-le* *nth-equalityI* *nth-list-update*)

**lemma** *sem-cmd-left*:  
**assumes** *j* < *length* *tps*  
**shows** *sem* (*cmd-left* *j*) (0, *tps*) = (1, *tps*[*j*:=(*fst* (*tps* ! *j*), *snd* (*tps* ! *j*) - 1)])  
**proof**  
**show** *fst* (*sem* (*cmd-left* *j*) (0, *tps*)) = *fst* (1, *tps*[*j* := (*fst* (*tps* ! *j*), *snd* (*tps* ! *j*) - 1)])  
**using** *cmd-left'* *sem-fst* **by** *simp*  
**have** *snd* (*sem* (*cmd-left* *j*) (0, *tps*)) = *tps*[*j* := (*fst* (*tps* ! *j*), *snd* (*tps* ! *j*) - 1)]  
**proof** (*rule* *tape-list-eq*)



```

show ||sem (cmd-left j) (0, tps)|| = length tps
  using turing-command-left sem-num-tapes2' by (metis snd-eqD)
show sem (cmd-left j) (0, tps) <|> i = tps ! i if i < length tps and i ≠ j for i
proof -
  let ?rs = read tps
  have length ?rs = length tps
    using read-length by simp
  moreover have sem (cmd-left j) (0, tps) <|> i = act (cmd-left j ?rs [!] i) (tps ! i)
    by (simp add: cmd-left-def sem-snd that(1))
  ultimately show ?thesis
    using that act-Stay cmd-left'' by simp
qed
show sem (cmd-left j) (0, tps) <|> j = (fst (tps ! j), snd (tps ! j) - 1)
  using assms act-Left cmd-left-def read-length sem-snd by simp
qed
then show snd (sem (cmd-left j) (0, tps)) = snd (1, tps[j := (fst (tps ! j), snd (tps ! j) - 1)])
  by simp
qed

```

**definition** *tm-left* :: *tapeidx* ⇒ *machine* **where**  
*tm-left* j ≡ [cmd-left j]

**lemma** *tm-left-tm*:  $k \geq 2 \implies G \geq 4 \implies$  *turing-machine* k G (*tm-left* j)  
**unfolding** *tm-left-def* **using** *turing-command-left* **by** *auto*

**lemma** *exe-tm-left*:  
**assumes**  $j < \text{length } tps$   
**shows** *exe* (*tm-left* j) (0, tps) = (1, tps[j := tps ! j |-| 1])  
**unfolding** *tm-left-def* **using** *sem-cmd-left* *assms* **by** (*simp add: exe-lt-length*)

**lemma** *execute-tm-left*:  
**assumes**  $j < \text{length } tps$   
**shows** *execute* (*tm-left* j) (0, tps) (Suc 0) = (1, tps[j := tps ! j |-| 1])  
**using** *assms exe-tm-left* **by** *simp*

**lemma** *transits-tm-left*:  
**assumes**  $j < \text{length } tps$   
**shows** *transits* (*tm-left* j) (0, tps) (Suc 0) (1, tps[j := tps ! j |-| 1])  
**using** *execute-tm-left* *assms* *transitsI* **by** *blast*

**lemma** *transforms-tm-left*:  
**assumes**  $j < \text{length } tps$   
**shows** *transforms* (*tm-left* j) tps (Suc 0) (tps[j := tps ! j |-| 1])  
**using** *transits-tm-left* *assms* **by** (*simp add: tm-left-def transforms-def*)

**lemma** *transforms-tm-leftI* [*transforms-intros*]:  
**assumes**  $j < \text{length } tps$   
**and**  $t = 1$   
**and**  $tps' = tps[j := tps ! j |-| 1]$   
**shows** *transforms* (*tm-left* j) tps t tps'  
**using** *transits-tm-left* *assms* **by** (*simp add: tm-left-def transforms-def*)

## Moving right

The next command makes the head on tape *j* move one cell to the right.

**definition** *cmd-right* :: *tapeidx* ⇒ *command* **where**  
*cmd-right* j ≡ λrs. (1, map (λi. (rs ! i, if i = j then Right else Stay)) [0..*length* rs])

**lemma** *turing-command-right*: *turing-command* k 1 G (*cmd-right* j)  
**by** (*auto simp add: cmd-right-def*)

**lemma** *cmd-right'*: [\*] (*cmd-right* j rs) = 1  
**using** *cmd-right-def* **by** *simp*

**lemma** *cmd-right''*:  $j < \text{length } rs \implies (\text{cmd-right } j \text{ } rs) [!] j = (rs ! j, \text{Right})$   
**using** *cmd-right-def* **by** *simp*

**lemma** *cmd-right'''*:  $i < \text{length } rs \implies i \neq j \implies (\text{cmd-right } j \text{ } rs) [!] i = (rs ! i, \text{Stay})$   
**using** *cmd-right-def* **by** *simp*

**lemma** *sem-cmd-right*:

**assumes**  $j < \text{length } tps$

**shows**  $\text{sem } (\text{cmd-right } j) (0, tps) = (1, tps[j := (\text{fst } (tps ! j), \text{snd } (tps ! j) + 1)])$

**proof**

**show**  $\text{fst } (\text{sem } (\text{cmd-right } j) (0, tps)) = \text{fst } (1, tps[j := (\text{fst } (tps ! j), \text{snd } (tps ! j) + 1)])$   
**using** *cmd-right' sem-fst* **by** *simp*

**have**  $\text{snd } (\text{sem } (\text{cmd-right } j) (0, tps)) = tps[j := (\text{fst } (tps ! j), \text{snd } (tps ! j) + 1)]$

**proof** (*rule* *tape-list-eq*)

**show**  $\|\text{sem } (\text{cmd-right } j) (0, tps)\| = \text{length } tps$

**using** *sem-num-tapes3 turing-command-right* **by** (*metis* *snd-conv*)

**show**  $\text{sem } (\text{cmd-right } j) (0, tps) <!\> i = tps ! i$  **if**  $i < \text{length } tps$  **and**  $i \neq j$  **for**  $i$

**proof** -

**let**  $?rs = \text{read } tps$

**have**  $\text{length } ?rs = \text{length } tps$

**using** *read-length* **by** *simp*

**moreover have**  $\text{sem } (\text{cmd-right } j) (0, tps) <!\> i = \text{act } (\text{cmd-right } j \text{ } ?rs [!] i) (tps ! i)$   
**by** (*simp* *add: cmd-right-def sem-snd that(1)*)

**ultimately show**  $?thesis$

**using** *that act-Stay cmd-right'''* **by** *simp*

**qed**

**show**  $\text{sem } (\text{cmd-right } j) (0, tps) <!\> j = (\text{fst } (tps ! j), \text{snd } (tps ! j) + 1)$

**using** *assms act-Right cmd-right-def read-length sem-snd* **by** *simp*

**qed**

**then show**  $\text{snd } (\text{sem } (\text{cmd-right } j) (0, tps)) = \text{snd } (1, tps[j := (\text{fst } (tps ! j), \text{snd } (tps ! j) + 1)])$   
**by** *simp*

**qed**

**definition** *tm-right* :: *tapeidx*  $\Rightarrow$  *machine* **where**

$\text{tm-right } j \equiv [\text{cmd-right } j]$

**lemma** *tm-right-tm*:  $k \geq 2 \implies G \geq 4 \implies \text{turing-machine } k \text{ } G (\text{tm-right } j)$

**unfolding** *tm-right-def* **using** *turing-command-right cmd-right'* **by** *auto*

**lemma** *exe-tm-right*:

**assumes**  $j < \text{length } tps$

**shows**  $\text{exe } (\text{tm-right } j) (0, tps) = (1, tps[j := (\text{fst } (tps ! j), \text{snd } (tps ! j) + 1)])$

**unfolding** *tm-right-def* **using** *sem-cmd-right assms* **by** (*simp* *add: exe-lt-length*)

**lemma** *execute-tm-right*:

**assumes**  $j < \text{length } tps$

**shows**  $\text{execute } (\text{tm-right } j) (0, tps) (\text{Suc } 0) = (1, tps[j := (\text{fst } (tps ! j), \text{snd } (tps ! j) + 1)])$

**using** *assms exe-tm-right* **by** *simp*

**lemma** *transits-tm-right*:

**assumes**  $j < \text{length } tps$

**shows**  $\text{transits } (\text{tm-right } j) (0, tps) (\text{Suc } 0) (1, tps[j := (\text{fst } (tps ! j), \text{snd } (tps ! j) + 1)])$

**using** *execute-tm-right assms transitsI* **by** *blast*

**lemma** *transforms-tm-right*:

**assumes**  $j < \text{length } tps$

**shows**  $\text{transforms } (\text{tm-right } j) \text{ } tps (\text{Suc } 0) (tps[j := tps ! j | + | 1])$

**using** *transits-tm-right assms* **by** (*simp* *add: tm-right-def transforms-def*)

**lemma** *transforms-tm-rightI* [*transforms-intros*]:

**assumes**  $j < \text{length } tps$

**and**  $t = \text{Suc } 0$

**and**  $tps' = tps[j := tps ! j |+| 1]$   
**shows** *transforms (tm-right j) tps t tps'*  
**using** *transits-tm-right assms* **by** (*simp add: tm-right-def transforms-def*)

### Moving right on several tapes

The next command makes the heads on all tapes from a set  $J$  of tapes move one cell to the right.

**definition** *cmd-right-many* :: *tapeidx set*  $\Rightarrow$  *command* **where**  
*cmd-right-many*  $J \equiv \lambda rs. (1, \text{map } (\lambda i. (rs ! i, \text{if } i \in J \text{ then Right else Stay})) [0..<\text{length } rs])$

**lemma** *turing-command-right-many*: *turing-command*  $k$   $1$   $G$  (*cmd-right-many*  $J$ )  
**by** (*auto simp add: cmd-right-many-def*)

**lemma** *sem-cmd-right-many*:

*sem (cmd-right-many J) (0, tps) = (1, map ( $\lambda j. \text{if } j \in J \text{ then } tps ! j |+| 1 \text{ else } tps ! j$ ) [0..<\text{length } tps])*

**proof**

**show** *fst (sem (cmd-right-many J) (0, tps)) =*  
*fst (1, map ( $\lambda j. \text{if } j \in J \text{ then } tps ! j |+| 1 \text{ else } tps ! j$ ) [0..<\text{length } tps])*

**using** *cmd-right-many-def sem-fst* **by** *simp*

**have** *snd (sem (cmd-right-many J) (0, tps)) =*  
*map ( $\lambda j. \text{if } j \in J \text{ then } tps ! j |+| 1 \text{ else } tps ! j$ ) [0..<\text{length } tps]*  
*(is ?lhs = ?rhs)*

**proof** (*rule nth-equalityI*)

**show** *length ?lhs = length ?rhs*

**using** *turing-command-right-many sem-num-tapes2'*

**by** (*metis (no-types, lifting) diff-zero length-map length-upt snd-conv*)

**then have** *len: length ?lhs = length tps*

**by** *simp*

**show** *?lhs ! j = ?rhs ! j if  $j < \text{length } ?lhs$  for  $j$*

**proof** (*cases  $j \in J$* )

**case** *True*

**let** *?rs = read tps*

**have** *length ?rs = length tps*

**using** *read-length* **by** *simp*

**moreover have** *sem (cmd-right-many J) (0, tps) <|>  $j = \text{act (cmd-right-many J ?rs [|] j) (tps ! j)}$*

**using** *cmd-right-many-def sem-snd that True len* **by** *auto*

**moreover have** *?rhs ! j = tps ! j |+| 1*

**using** *that len True* **by** *simp*

**ultimately show** *?thesis*

**using** *that act-Right cmd-right-many-def True len* **by** *simp*

**next**

**case** *False*

**let** *?rs = read tps*

**have** *length ?rs = length tps*

**using** *read-length* **by** *simp*

**moreover have** *sem (cmd-right-many J) (0, tps) <|>  $j = \text{act (cmd-right-many J ?rs [|] j) (tps ! j)}$*

**using** *cmd-right-many-def sem-snd that False len* **by** *auto*

**moreover have** *?rhs ! j = tps ! j*

**using** *that len False* **by** *simp*

**ultimately show** *?thesis*

**using** *that act-Stay cmd-right-many-def False len* **by** *simp*

**qed**

**qed**

**then show** *snd (sem (cmd-right-many J) (0, tps)) =*

*snd (1, map ( $\lambda j. \text{if } j \in J \text{ then } tps ! j |+| 1 \text{ else } tps ! j$ ) [0..<\text{length } tps])*

**by** *simp*

**qed**

**definition** *tm-right-many* :: *tapeidx set*  $\Rightarrow$  *machine* **where**

*tm-right-many*  $J \equiv [\text{cmd-right-many } J]$

**lemma** *tm-right-many-tm*:  $k \geq 2 \implies G \geq 4 \implies \text{turing-machine } k$   $G$  (*tm-right-many*  $J$ )

**unfolding** *tm-right-many-def* **using** *turing-command-right-many* **by** *auto*

**lemma** *transforms-tm-right-manyI* [*transforms-intros*]:  
**assumes**  $t = \text{Suc } 0$   
**and**  $\text{tps}' = \text{map } (\lambda j. \text{if } j \in J \text{ then } \text{tps} ! j \text{ |+| } 1 \text{ else } \text{tps} ! j) [0..<\text{length } \text{tps}]$   
**shows** *transforms* (*tm-right-many J*) *tps t tps'*  
**proof** –  
**have** *exe* (*tm-right-many J*) ( $0, \text{tps}$ ) =  $(1, \text{map } (\lambda j. \text{if } j \in J \text{ then } \text{tps} ! j \text{ |+| } 1 \text{ else } \text{tps} ! j) [0..<\text{length } \text{tps}])$   
**unfolding** *tm-right-many-def* **using** *sem-cmd-right-many* **by** (*simp add: exe-lt-length*)  
**then have** *execute* (*tm-right-many J*) ( $0, \text{tps}$ ) (*Suc 0*) =  $(1, \text{map } (\lambda j. \text{if } j \in J \text{ then } \text{tps} ! j \text{ |+| } 1 \text{ else } \text{tps} ! j) [0..<\text{length } \text{tps}])$   
**by** *simp*  
**then have** *transits* (*tm-right-many J*) ( $0, \text{tps}$ ) (*Suc 0*)  $(1, \text{map } (\lambda j. \text{if } j \in J \text{ then } \text{tps} ! j \text{ |+| } 1 \text{ else } \text{tps} ! j) [0..<\text{length } \text{tps}])$   
**using** *transitsI* **by** *blast*  
**then have** *transforms* (*tm-right-many J*) *tps* (*Suc 0*)  $(\text{map } (\lambda j. \text{if } j \in J \text{ then } \text{tps} ! j \text{ |+| } 1 \text{ else } \text{tps} ! j) [0..<\text{length } \text{tps}])$   
**by** (*simp add: tm-right-many-def transforms-def*)  
**then show** *?thesis*  
**using** *assms* **by** (*simp add: tm-right-many-def transforms-def*)  
**qed**

### 2.4.3 Copying and translating tape contents

The Turing machines in this section scan a tape  $j_1$  and copy the symbols to another tape  $j_2$ . Scanning can be performed in either direction, and “copying” may include mapping the symbols.

#### Copying and translating from one tape to another

The next predicate is true iff. on the given tape the next symbol from the set  $H$  of symbols is exactly  $n$  cells to the right from the current head position. Thus, a command that moves the tape head right until it finds a symbol from  $H$  takes  $n$  steps and moves the head  $n$  cells right.

**definition** *rneigh* :: *tape*  $\Rightarrow$  *symbol set*  $\Rightarrow$  *nat*  $\Rightarrow$  *bool* **where**  
 $\text{rneigh } tp \ H \ n \equiv \text{fst } tp \ (\text{snd } tp + n) \in H \wedge (\forall n' < n. \text{fst } tp \ (\text{snd } tp + n') \notin H)$

**lemma** *rneighI*:  
**assumes**  $\text{fst } tp \ (\text{snd } tp + n) \in H$  **and**  $\bigwedge n'. n' < n \implies \text{fst } tp \ (\text{snd } tp + n') \notin H$   
**shows** *rneigh* *tp H n*  
**using** *assms rneigh-def* **by** *simp*

The analogous predicate for moving to the left:

**definition** *lneigh* :: *tape*  $\Rightarrow$  *symbol set*  $\Rightarrow$  *nat*  $\Rightarrow$  *bool* **where**  
 $\text{lneigh } tp \ H \ n \equiv \text{fst } tp \ (\text{snd } tp - n) \in H \wedge (\forall n' < n. \text{fst } tp \ (\text{snd } tp - n') \notin H)$

**lemma** *lneighI*:  
**assumes**  $\text{fst } tp \ (\text{snd } tp - n) \in H$  **and**  $\bigwedge n'. n' < n \implies \text{fst } tp \ (\text{snd } tp - n') \notin H$   
**shows** *lneigh* *tp H n*  
**using** *assms lneigh-def* **by** *simp*

The next command scans tape  $j_1$  rightward until it reaches a symbol from the set  $H$ . While doing so it copies the symbols, after applying a mapping  $f$ , to tape  $j_2$ .

**definition** *cmd-trans-until* :: *tapeidx*  $\Rightarrow$  *tapeidx*  $\Rightarrow$  *symbol set*  $\Rightarrow$  (*symbol*  $\Rightarrow$  *symbol*)  $\Rightarrow$  *command* **where**  
 $\text{cmd-trans-until } j1 \ j2 \ H \ f \equiv \lambda rs. \text{if } rs ! j1 \in H \text{ then } (1, \text{map } (\lambda r. (r, \text{Stay})) \ rs) \text{ else } (0, \text{map } (\lambda i. (\text{if } i = j2 \text{ then } f \ (rs ! j1) \text{ else } rs ! i, \text{if } i = j1 \vee i = j2 \text{ then } \text{Right} \text{ else } \text{Stay})) [0..<\text{length } rs])$

The analogous command for moving to the left:

**definition** *cmd-ltrans-until* :: *tapeidx*  $\Rightarrow$  *tapeidx*  $\Rightarrow$  *symbol set*  $\Rightarrow$  (*symbol*  $\Rightarrow$  *symbol*)  $\Rightarrow$  *command* **where**  
 $\text{cmd-ltrans-until } j1 \ j2 \ H \ f \equiv \lambda rs. \text{if } rs ! j1 \in H \text{ then } (1, \text{map } (\lambda r. (r, \text{Stay})) \ rs)$

else (0, map ( $\lambda i$ . (if  $i = j2$  then  $f (rs ! j1)$  else  $rs ! i$ , if  $i = j1 \vee i = j2$  then Left else Stay)) [0.. $\text{length } rs$ ])

**lemma** *proper-cmd-trans-until*: proper-command  $k$  (cmd-trans-until  $j1$   $j2$   $H$   $f$ )  
**using** cmd-trans-until-def **by** simp

**lemma** *proper-cmd-ltrans-until*: proper-command  $k$  (cmd-ltrans-until  $j1$   $j2$   $H$   $f$ )  
**using** cmd-ltrans-until-def **by** simp

**lemma** *sem-cmd-trans-until-1*:  
**assumes**  $j1 < k$  **and**  $\text{length } tps = k$  **and**  $(0, tps) <.> j1 \in H$   
**shows**  $\text{sem } (\text{cmd-trans-until } j1 \ j2 \ H \ f) (0, tps) = (1, tps)$   
**using** cmd-trans-until-def tapes-at-read read-length assms act-Stay  
**by** (intro semI[OF proper-cmd-trans-until]) auto

**lemma** *sem-cmd-ltrans-until-1*:  
**assumes**  $j1 < k$  **and**  $\text{length } tps = k$  **and**  $(0, tps) <.> j1 \in H$   
**shows**  $\text{sem } (\text{cmd-ltrans-until } j1 \ j2 \ H \ f) (0, tps) = (1, tps)$   
**using** cmd-ltrans-until-def tapes-at-read read-length assms act-Stay  
**by** (intro semI[OF proper-cmd-ltrans-until]) auto

**lemma** *sem-cmd-trans-until-2*:  
**assumes**  $j1 < k$  **and**  $\text{length } tps = k$  **and**  $(0, tps) <.> j1 \notin H$   
**shows**  $\text{sem } (\text{cmd-trans-until } j1 \ j2 \ H \ f) (0, tps) =$   
 $(0, tps[j1 := tps ! j1 \ |-\ 1, j2 := tps ! j2 \ |:=\ (f (tps .. j1)) \ |-\ 1])$   
**using** cmd-trans-until-def tapes-at-read read-length assms act-Stay act-Right  
**by** (intro semI[OF proper-cmd-trans-until]) auto

**lemma** *sem-cmd-ltrans-until-2*:  
**assumes**  $j1 < k$  **and**  $\text{length } tps = k$  **and**  $(0, tps) <.> j1 \notin H$   
**shows**  $\text{sem } (\text{cmd-ltrans-until } j1 \ j2 \ H \ f) (0, tps) =$   
 $(0, tps[j1 := tps ! j1 \ |-\ 1, j2 := tps ! j2 \ |:=\ (f (tps .. j1)) \ |-\ 1])$   
**using** cmd-ltrans-until-def tapes-at-read read-length assms act-Stay act-Left  
**by** (intro semI[OF proper-cmd-ltrans-until]) auto

**definition** *tm-trans-until* ::  $\text{tapeidx} \Rightarrow \text{tapeidx} \Rightarrow \text{symbol set} \Rightarrow (\text{symbol} \Rightarrow \text{symbol}) \Rightarrow \text{machine}$  **where**  
 $\text{tm-trans-until } j1 \ j2 \ H \ f \equiv [\text{cmd-trans-until } j1 \ j2 \ H \ f]$

**definition** *tm-ltrans-until* ::  $\text{tapeidx} \Rightarrow \text{tapeidx} \Rightarrow \text{symbol set} \Rightarrow (\text{symbol} \Rightarrow \text{symbol}) \Rightarrow \text{machine}$  **where**  
 $\text{tm-ltrans-until } j1 \ j2 \ H \ f \equiv [\text{cmd-ltrans-until } j1 \ j2 \ H \ f]$

**lemma** *tm-trans-until-tm*:  
**assumes**  $0 < j2$  **and**  $j1 < k$  **and**  $j2 < k$  **and**  $\forall h < G. f \ h < G$  **and**  $k \geq 2$  **and**  $G \geq 4$   
**shows**  $\text{turing-machine } k \ G (\text{tm-trans-until } j1 \ j2 \ H \ f)$   
**unfolding** tm-trans-until-def cmd-trans-until-def **using** assms turing-machine-def **by** auto

**lemma** *tm-ltrans-until-tm*:  
**assumes**  $0 < j2$  **and**  $j1 < k$  **and**  $j2 < k$  **and**  $\forall h < G. f \ h < G$  **and**  $k \geq 2$  **and**  $G \geq 4$   
**shows**  $\text{turing-machine } k \ G (\text{tm-ltrans-until } j1 \ j2 \ H \ f)$   
**unfolding** tm-ltrans-until-def cmd-ltrans-until-def **using** assms turing-machine-def **by** auto

**lemma** *exe-tm-trans-until-1*:  
**assumes**  $j1 < k$  **and**  $\text{length } tps = k$  **and**  $(0, tps) <.> j1 \in H$   
**shows**  $\text{exe } (\text{tm-trans-until } j1 \ j2 \ H \ f) (0, tps) = (1, tps)$   
**unfolding** tm-trans-until-def **using** sem-cmd-trans-until-1 assms exe-lt-length **by** simp

**lemma** *exe-tm-ltrans-until-1*:  
**assumes**  $j1 < k$  **and**  $\text{length } tps = k$  **and**  $(0, tps) <.> j1 \in H$   
**shows**  $\text{exe } (\text{tm-ltrans-until } j1 \ j2 \ H \ f) (0, tps) = (1, tps)$   
**unfolding** tm-ltrans-until-def **using** sem-cmd-ltrans-until-1 assms exe-lt-length **by** simp

**lemma** *exe-tm-trans-until-2*:  
**assumes**  $j1 < k$  **and**  $\text{length } tps = k$  **and**  $(0, tps) <.> j1 \notin H$   
**shows**  $\text{exe } (\text{tm-trans-until } j1 \ j2 \ H \ f) (0, tps) =$

$(0, tps[j1 := tps ! j1 \mid + 1, j2 := tps ! j2 \mid :=] (f (tps :: j1)) \mid + 1])$   
**unfolding** *tm-trans-until-def* **using** *sem-cmd-trans-until-2* *assms exe-lt-length* **by** *simp*

**lemma** *exe-tm-ltrans-until-2*:

**assumes**  $j1 < k$  **and**  $length\ tps = k$  **and**  $(0, tps) <.> j1 \notin H$   
**shows**  $exe\ (tm-ltrans-until\ j1\ j2\ H\ f)\ (0, tps) =$   
 $(0, tps[j1 := tps ! j1 \mid - 1, j2 := tps ! j2 \mid :=] (f (tps :: j1)) \mid - 1])$   
**unfolding** *tm-ltrans-until-def* **using** *sem-cmd-ltrans-until-2* *assms exe-lt-length* **by** *simp*

Let  $tp_1$  and  $tp_2$  be two tapes with head positions  $i_1$  and  $i_2$ , respectively. The next function describes the result of overwriting the symbols at positions  $i_2, \dots, i_2 + n - 1$  on tape  $tp_2$  by the symbols at positions  $i_1, \dots, i_1 + n - 1$  on tape  $tp_1$  after applying a symbol map  $f$ .

**definition** *transplant* ::  $tape \Rightarrow tape \Rightarrow (symbol \Rightarrow symbol) \Rightarrow nat \Rightarrow tape$  **where**

*transplant*  $tp1\ tp2\ f\ n \equiv$   
 $(\lambda i. \text{if } snd\ tp2 \leq i \wedge i < snd\ tp2 + n \text{ then } f\ (fst\ tp1\ (snd\ tp1 + i - snd\ tp2)) \text{ else } fst\ tp2\ i,$   
 $snd\ tp2 + n)$

The analogous function for moving to the left while copying:

**definition** *ltransplant* ::  $tape \Rightarrow tape \Rightarrow (symbol \Rightarrow symbol) \Rightarrow nat \Rightarrow tape$  **where**

*ltransplant*  $tp1\ tp2\ f\ n \equiv$   
 $(\lambda i. \text{if } snd\ tp2 - n < i \wedge i \leq snd\ tp2 \text{ then } f\ (fst\ tp1\ (snd\ tp1 + i - snd\ tp2)) \text{ else } fst\ tp2\ i,$   
 $snd\ tp2 - n)$

**lemma** *transplant-0*:  $transplant\ tp1\ tp2\ f\ 0 = tp2$

**unfolding** *transplant-def* **by** *standard auto*

**lemma** *ltransplant-0*:  $ltransplant\ tp1\ tp2\ f\ 0 = tp2$

**unfolding** *ltransplant-def* **by** *standard auto*

**lemma** *transplant-upd*:  $transplant\ tp1\ tp2\ f\ n \mid :=] (f\ (\mid \cdot \mid (tp1 \mid + 1\ n))) \mid + 1 = transplant\ tp1\ tp2\ f\ (Suc\ n)$

**unfolding** *transplant-def* **by** *auto*

**lemma** *ltransplant-upd*:

**assumes**  $n < snd\ tp2$

**shows**  $ltransplant\ tp1\ tp2\ f\ n \mid :=] (f\ (\mid \cdot \mid (tp1 \mid - 1\ n))) \mid - 1 = ltransplant\ tp1\ tp2\ f\ (Suc\ n)$

**unfolding** *ltransplant-def* **using** *assms* **by** *fastforce*

**lemma** *tapes-ltransplant-upd*:

**assumes**  $t < tps\ :\#\ j2$  **and**  $t < tps\ :\#\ j1$  **and**  $j1 < k$  **and**  $j2 < k$  **and**  $length\ tps = k$

**and**  $tps' = tps[j1 := tps ! j1 \mid - 1, j2 := ltransplant\ (tps ! j1)\ (tps ! j2)\ f\ t]$

**shows**  $tps'[j1 := tps' ! j1 \mid - 1, j2 := tps' ! j2 \mid :=] (f\ (tps' :: j1)) \mid - 1 =$

$tps[j1 := tps ! j1 \mid - 1, j2 := ltransplant\ (tps ! j1)\ (tps ! j2)\ f\ (Suc\ t)]$

**(is ?lhs = ?rhs)**

**proof** (*rule nth-equality1*)

**show**  $1: length\ ?lhs = length\ ?rhs$

**using** *assms* **by** *simp*

**have**  $len: length\ ?lhs = k$

**using** *assms* **by** *simp*

**show**  $?lhs ! j = ?rhs ! j$  **if**  $j < length\ ?lhs$  **for**  $j$

**proof** –

**have**  $j < k$

**using** *len that* **by** *simp*

**show** *?thesis*

**proof** (*cases*  $j \neq j1 \wedge j \neq j2$ )

**case** *True*

**then show** *?thesis*

**using** *assms* **by** *simp*

**next**

**case**  $j1j2: False$

**show** *?thesis*

**proof** (*cases*  $j1 = j2$ )

**case** *True*

**then have**  $j: j = j1\ j = j2$

```

    using j1j2 by simp-all
  have tps' ! j1 = ltransplant (tps ! j1) (tps ! j2) f t
    using j assms that by simp
  then have *: snd (tps' ! j1) = snd (tps ! j1) - t
    using j ltransplant-def by simp
  then have fst (tps' ! j1) =
    (λi. if snd (tps ! j2) - t < i ∧ i ≤ snd (tps ! j2) then f (fst (tps ! j1) (snd (tps ! j1) + i - snd (tps !
j2))) else fst (tps ! j2) i)
    using j ltransplant-def assms by auto
  then have fst (tps' ! j1) =
    (λi. if snd (tps ! j1) - t < i ∧ i ≤ snd (tps ! j1) then f (fst (tps ! j1) (snd (tps ! j1) + i - snd (tps !
j1))) else fst (tps ! j1) i)
    using j by auto
  then have fst (tps' ! j1) (snd (tps ! j1) - t) = fst (tps ! j1) (snd (tps ! j1) - t)
    by simp
  then have tps' :: j1 = fst (tps ! j1) (snd (tps ! j1) - t)
    using * by simp
  then have ?lhs ! j = (ltransplant (tps ! j1) (tps ! j2) f t) |:=| (f (| (tps ! j1 |-| t))) |-| 1
    using assms(6) j that by simp
  then have ?lhs ! j = (ltransplant (tps ! j1) (tps ! j2) f (Suc t))
    using ltransplant-upd assms(1) by simp
  moreover have ?rhs ! j = ltransplant (tps ! j1) (tps ! j2) f (Suc t)
    using assms(6) that j by simp
  ultimately show ?thesis
    by simp
next
case j1-neq-j2: False
then show ?thesis
proof (cases j = j1)
case True
  then have ?lhs ! j = tps' ! j1 |-| 1
    using assms j1-neq-j2 by simp
  then have ?lhs ! j = (tps ! j1 |-| t) |-| 1
    using assms j1-neq-j2 by simp
  moreover have ?rhs ! j = tps ! j1 |-| Suc t
    using True assms j1-neq-j2 by simp
  ultimately show ?thesis
    by simp
next
case False
  then have j: j = j2
    using j1j2 by simp
  then have ?lhs ! j = tps' ! j2 |:=| (f (tps' :: j1)) |-| 1
    using assms by simp
  then have ?lhs ! j = (ltransplant (tps ! j1) (tps ! j2) f t) |:=| (f (tps' :: j1)) |-| 1
    using assms by simp
  then have ?lhs ! j = (ltransplant (tps ! j1) (tps ! j2) f (Suc t))
    using ltransplant-def assms ltransplant-upd by (smt (verit) j1-neq-j2 nth-list-update-eq nth-list-update-neq)
  moreover have ?rhs ! j = ltransplant (tps ! j1) (tps ! j2) f (Suc t)
    using assms(6) that j by simp
  ultimately show ?thesis
    by simp
qed
qed
qed
qed

```

**lemma** *execute-tm-trans-until-less*:

**assumes**  $j1 < k$  **and**  $j2 < k$  **and**  $\text{length } tps = k$  **and**  $\text{rneigh } (tps ! j1) H n$  **and**  $t \leq n$   
**shows**  $\text{execute } (tm\text{-trans-until } j1\ j2\ H\ f) (0, tps) t =$   
 $(0, tps[j1 := tps ! j1 | + | t, j2 := \text{transplant } (tps ! j1) (tps ! j2) f t])$   
**using** *assms(5)*

```

proof (induction t)
  case 0
  then show ?case
    using transplant-0 by simp
next
  case (Suc t)
  then have  $t < n$  by simp
  let ?M = tm-trans-until j1 j2 H f
  have execute ?M (0, tps) (Suc t) = exe ?M (execute ?M (0, tps) t)
    by simp
  also have ... = exe ?M (0, tps[j1 := tps ! j1 |+| t, j2 := transplant (tps ! j1) (tps ! j2) f t])
    (is - = exe ?M (0, ?tps))
  using Suc by simp
  also have ... = (0, ?tps[j1 := ?tps ! j1 |+| 1, j2 := ?tps ! j2 |:=| (f (?tps :: j1)) |+| 1])
  proof (rule exe-tm-trans-until-2[where ?k=k])
    show  $j1 < k$ 
      using assms(1) .
    show length (tps[j1 := tps ! j1 |+| t, j2 := transplant (tps ! j1) (tps ! j2) f t]) = k
      using assms by simp
    show (0, tps[j1 := tps ! j1 |+| t, j2 := transplant (tps ! j1) (tps ! j2) f t]) <.>  $j1 \notin H$ 
      using assms transplant-def rneigh-def ‹ $t < n$ ›
      by (smt (verit) fst-conv length-list-update less-not-refl2 nth-list-update-eq nth-list-update-neq snd-conv)
  qed
finally show ?case
  using assms transplant-upd
  by auto
  (smt (verit) add commute fst-conv transplant-def transplant-upd less-not-refl2 list-update-overwrite list-update-swap
    nth-list-update-eq nth-list-update-neq plus-1-eq-Suc snd-conv)
qed

```

**lemma** execute-tm-ltrans-until-less:

```

assumes  $j1 < k$  and  $j2 < k$  and length tps = k
  and lneigh (tps ! j1) H n
  and  $t \leq n$ 
  and  $n \leq \text{tps} \text{ :\#} : j1$ 
  and  $n \leq \text{tps} \text{ :\#} : j2$ 
shows execute (tm-ltrans-until j1 j2 H f) (0, tps) t =
  (0, tps[j1 := tps ! j1 |-| t, j2 := ltransplant (tps ! j1) (tps ! j2) f t])
using assms(5)
proof (induction t)
  case 0
  then show ?case
    using ltransplant-0 by simp
next
  case (Suc t)
  then have  $t < n$ 
    by simp
  have 1:  $t < \text{tps} \text{ :\#} : j2$ 
    using assms(7) Suc by simp
  have 2:  $t < \text{tps} \text{ :\#} : j1$ 
    using assms(6) Suc by simp
  let ?M = tm-ltrans-until j1 j2 H f
  define tps' where tps' = tps[j1 := tps ! j1 |-| t, j2 := ltransplant (tps ! j1) (tps ! j2) f t]
  have execute ?M (0, tps) (Suc t) = exe ?M (execute ?M (0, tps) t)
    by simp
  also have ... = exe ?M (0, tps')
    using Suc tps'-def by simp
  also have ... = (0, tps'[j1 := tps' ! j1 |-| 1, j2 := tps' ! j2 |:=| (f (tps' :: j1)) |-| 1])
  proof (rule exe-tm-ltrans-until-2[where ?k=k])
    show  $j1 < k$ 
      using assms(1) .
    show length tps' = k
      using assms tps'-def by simp

```



```

  show (0, tps') <.> j1 ∉ H
  using assms ltransplant-def tps'-def lneigh-def ‹t < n›
  by (smt (verit) fst-conv length-list-update less-not-refl2 nth-list-update-eq nth-list-update-neq snd-conv)
qed
finally show ?case
  using tapes-ltransplant-upd[OF 1 2 assms(1,2,3) tps'-def] by simp
qed

```

**lemma** *execute-tm-trans-until*:

```

  assumes j1 < k and j2 < k and length tps = k and rneigh (tps ! j1) H n
  shows execute (tm-trans-until j1 j2 H f) (0, tps) (Suc n) =
    (1, tps[j1 := tps ! j1 |+| n, j2 := transplant (tps ! j1) (tps ! j2) f n])
  proof -
    let ?M = tm-trans-until j1 j2 H f
    have execute ?M (0, tps) (Suc n) = exe ?M (execute ?M (0, tps) n)
      by simp
    also have ... = exe ?M (0, tps[j1 := tps ! j1 |+| n, j2 := transplant (tps ! j1) (tps ! j2) f n])
      using execute-tm-trans-until-less[where ?t=n] assms by simp
    also have ... = (1, tps[j1 := tps ! j1 |+| n, j2 := transplant (tps ! j1) (tps ! j2) f n])
      (is - = (1, ?tps))
    proof -
      have length ?tps = k
        using assms(3) by simp
      moreover have (0, ?tps) <.> j1 ∈ H
        using rneigh-def transplant-def assms
        by (smt (verit) fst-conv length-list-update less-not-refl2 nth-list-update-eq nth-list-update-neq snd-conv)
      ultimately show ?thesis
        using exe-tm-trans-until-1 assms by simp
    qed
  finally show ?thesis by simp
qed

```

**lemma** *execute-tm-ltrans-until*:

```

  assumes j1 < k and j2 < k and length tps = k
  and lneigh (tps ! j1) H n
  and n ≤ tps :#: j1
  and n ≤ tps :#: j2
  shows execute (tm-ltrans-until j1 j2 H f) (0, tps) (Suc n) =
    (1, tps[j1 := tps ! j1 |-| n, j2 := ltransplant (tps ! j1) (tps ! j2) f n])
  proof -
    let ?M = tm-ltrans-until j1 j2 H f
    have execute ?M (0, tps) (Suc n) = exe ?M (execute ?M (0, tps) n)
      by simp
    also have ... = exe ?M (0, tps[j1 := tps ! j1 |-| n, j2 := ltransplant (tps ! j1) (tps ! j2) f n])
      using execute-tm-ltrans-until-less[where ?t=n] assms by simp
    also have ... = (1, tps[j1 := tps ! j1 |-| n, j2 := ltransplant (tps ! j1) (tps ! j2) f n])
      (is - = (1, ?tps))
    proof -
      have length ?tps = k
        using assms(3) by simp
      moreover have (0, ?tps) <.> j1 ∈ H
        using lneigh-def ltransplant-def assms
        by (smt (verit, ccfw-threshold) fst-conv length-list-update less-not-refl nth-list-update-eq nth-list-update-neq
            snd-conv)
      ultimately show ?thesis
        using exe-tm-ltrans-until-1 assms by simp
    qed
  finally show ?thesis by simp
qed

```

**lemma** *transits-tm-trans-until*:

```

  assumes j1 < k and j2 < k and length tps = k and rneigh (tps ! j1) H n
  shows transits (tm-trans-until j1 j2 H f)

```

$(0, tps)$   
 $(Suc\ n)$   
 $(1, tps[j1 := tps ! j1 \mid+] \ n, j2 := transplant\ (tps ! j1)\ (tps ! j2)\ f\ n)$   
**using** *execute-tm-trans-until*[*OF assms*] *transitsI*[*of - - Suc n - Suc n*] **by** *blast*

**lemma** *transits-tm-ltrans-until*:

**assumes**  $j1 < k$  **and**  $j2 < k$  **and**  $length\ tps = k$   
**and**  $lneigh\ (tps ! j1)\ H\ n$   
**and**  $n \leq tps\ :\#\ : j1$   
**and**  $n \leq tps\ :\#\ : j2$   
**shows** *transits*  $(tm-ltrans-until\ j1\ j2\ H\ f)$   
 $(0, tps)$   
 $(Suc\ n)$   
 $(1, tps[j1 := tps ! j1 \mid-] \ n, j2 := ltransplant\ (tps ! j1)\ (tps ! j2)\ f\ n)$   
**using** *execute-tm-ltrans-until*[*OF assms*] *transitsI*[*of - - Suc n - Suc n*] **by** *blast*

**lemma** *transforms-tm-trans-until*:

**assumes**  $j1 < k$  **and**  $j2 < k$  **and**  $length\ tps = k$  **and**  $rneigh\ (tps ! j1)\ H\ n$   
**shows** *transforms*  $(tm-trans-until\ j1\ j2\ H\ f)$   
 $tps$   
 $(Suc\ n)$   
 $(tps[j1 := tps ! j1 \mid+] \ n, j2 := transplant\ (tps ! j1)\ (tps ! j2)\ f\ n)$   
**using** *tm-trans-until-def* *transforms-def* *transits-tm-trans-until*[*OF assms*] **by** *simp*

**lemma** *transforms-tm-ltrans-until*:

**assumes**  $j1 < k$  **and**  $j2 < k$  **and**  $length\ tps = k$   
**and**  $lneigh\ (tps ! j1)\ H\ n$   
**and**  $n \leq tps\ :\#\ : j1$   
**and**  $n \leq tps\ :\#\ : j2$   
**shows** *transforms*  $(tm-ltrans-until\ j1\ j2\ H\ f)$   
 $tps$   
 $(Suc\ n)$   
 $(tps[j1 := tps ! j1 \mid-] \ n, j2 := ltransplant\ (tps ! j1)\ (tps ! j2)\ f\ n)$   
**using** *tm-ltrans-until-def* *transforms-def* *transits-tm-ltrans-until*[*OF assms*] **by** *simp*

**corollary** *transforms-tm-trans-untilI* [*transforms-intros*]:

**assumes**  $j1 < k$  **and**  $j2 < k$  **and**  $length\ tps = k$   
**and**  $rneigh\ (tps ! j1)\ H\ n$   
**and**  $t = Suc\ n$   
**and**  $tps' = tps[j1 := tps ! j1 \mid+] \ n, j2 := transplant\ (tps ! j1)\ (tps ! j2)\ f\ n$   
**shows** *transforms*  $(tm-trans-until\ j1\ j2\ H\ f)\ tps\ t\ tps'$   
**using** *transforms-tm-trans-until*[*OF assms(1-4)*] *assms(5,6)* **by** *simp*

**corollary** *transforms-tm-ltrans-untilI* [*transforms-intros*]:

**assumes**  $j1 < k$  **and**  $j2 < k$  **and**  $length\ tps = k$   
**and**  $lneigh\ (tps ! j1)\ H\ n$   
**and**  $n \leq tps\ :\#\ : j1$   
**and**  $n \leq tps\ :\#\ : j2$   
**and**  $t = Suc\ n$   
**and**  $tps' = tps[j1 := tps ! j1 \mid-] \ n, j2 := ltransplant\ (tps ! j1)\ (tps ! j2)\ f\ n$   
**shows** *transforms*  $(tm-ltrans-until\ j1\ j2\ H\ f)\ tps\ t\ tps'$   
**using** *transforms-tm-ltrans-until*[*OF assms(1-6)*] *assms(7,8)* **by** *simp*

## Copying one tape to another

If we set the symbol map  $f$  in *tm-trans-until* to the identity function, we get a Turing machine that simply makes a copy.

**definition** *tm-cp-until* :: *tapeidx*  $\Rightarrow$  *tapeidx*  $\Rightarrow$  *symbol set*  $\Rightarrow$  *machine* **where**  
 $tm-cp-until\ j1\ j2\ H \equiv tm-trans-until\ j1\ j2\ H\ id$

**lemma** *id-symbol*:  $\forall h < G.$   $(id :: symbol \Rightarrow symbol)\ h < G$   
**by** *simp*

**lemma** *tm-cp-until-tm*:

**assumes**  $0 < j2$  **and**  $j1 < k$  **and**  $j2 < k$  **and**  $G \geq 4$

**shows** *turing-machine*  $k$   $G$  (*tm-cp-until*  $j1$   $j2$   $H$ )

**unfolding** *tm-cp-until-def* **using** *tm-trans-until-tm* *id-symbol* *assms* *turing-machine-def* **by** *simp*

**abbreviation** *implant* :: *tape*  $\Rightarrow$  *tape*  $\Rightarrow$  *nat*  $\Rightarrow$  *tape* **where**

*implant*  $tp1$   $tp2$   $n \equiv$  *transplant*  $tp1$   $tp2$  *id*  $n$

**lemma** *implant*: *implant*  $tp1$   $tp2$   $n =$

( $\lambda i.$  if  $snd\ tp2 \leq i \wedge i < snd\ tp2 + n$  then  $fst\ tp1\ (snd\ tp1 + i - snd\ tp2)$  else  $fst\ tp2\ i,$   
 $snd\ tp2 + n$ )

**using** *transplant-def* **by** *auto*

**lemma** *implantI*:

**assumes**  $tp' =$

( $\lambda i.$  if  $snd\ tp2 \leq i \wedge i < snd\ tp2 + n$  then  $fst\ tp1\ (snd\ tp1 + i - snd\ tp2)$  else  $fst\ tp2\ i,$   
 $snd\ tp2 + n$ )

**shows** *implant*  $tp1$   $tp2$   $n = tp'$

**using** *implant* *assms* **by** *simp*

**lemma** *fst-snd-pair*:  $fst\ t = a \implies snd\ t = b \implies t = (a, b)$

**by** *auto*

**lemma** *implantI'*:

**assumes**  $fst\ tp' =$

( $\lambda i.$  if  $snd\ tp2 \leq i \wedge i < snd\ tp2 + n$  then  $fst\ tp1\ (snd\ tp1 + i - snd\ tp2)$  else  $fst\ tp2\ i$ )  
**and**  $snd\ tp' = snd\ tp2 + n$

**shows** *implant*  $tp1$   $tp2$   $n = tp'$

**using** *implantI* *fst-snd-pair*[*OF* *assms*] **by** *simp*

**lemma** *implantI''*:

**assumes**  $\bigwedge i. snd\ tp2 \leq i \wedge i < snd\ tp2 + n \implies fst\ tp'\ i = fst\ tp1\ (snd\ tp1 + i - snd\ tp2)$

**and**  $\bigwedge i. i < snd\ tp2 \implies fst\ tp'\ i = fst\ tp2\ i$

**and**  $\bigwedge i. snd\ tp2 + n \leq i \implies fst\ tp'\ i = fst\ tp2\ i$

**assumes**  $snd\ tp' = snd\ tp2 + n$

**shows** *implant*  $tp1$   $tp2$   $n = tp'$

**using** *assms* *implantI'* **by** (*meson* *linorder-le-less-linear*)

**lemma** *implantI'''*:

**assumes**  $\bigwedge i. i2 \leq i \wedge i < i2 + n \implies ys\ i = ys1\ (i1 + i - i2)$

**and**  $\bigwedge i. i < i2 \implies ys\ i = ys2\ i$

**and**  $\bigwedge i. i2 + n \leq i \implies ys\ i = ys2\ i$

**assumes**  $i = i2 + n$

**shows** *implant*  $(ys1, i1)$   $(ys2, i2)$   $n = (ys, i)$

**using** *assms* *implantI''* **by** *auto*

**lemma** *implant-self*: *implant*  $tp$   $tp$   $n = tp\ |+|\ n$

**unfolding** *transplant-def* **by** *auto*

**lemma** *transforms-tm-cp-untilI* [*transforms-intros*]:

**assumes**  $j1 < k$  **and**  $j2 < k$  **and**  $length\ tps = k$

**and**  $rneigh\ (tps\ !\ j1)\ H\ n$

**and**  $t = Suc\ n$

**and**  $tps' = tps[j1 := tps\ !\ j1\ |+|\ n, j2 := implant\ (tps\ !\ j1)\ (tps\ !\ j2)\ n]$

**shows** *transforms* (*tm-cp-until*  $j1$   $j2$   $H$ )  $tps$   $t$   $tps'$

**unfolding** *tm-cp-until-def* **using** *transforms-tm-trans-untilI*[*OF* *assms*(1-6)] **by** *simp*

**lemma** *implant-contents*:

**assumes**  $i > 0$  **and**  $n + (i - 1) \leq length\ xs$

**shows** *implant*  $([xs], i)$   $([ys], Suc\ (length\ ys))\ n =$

$([ys\ @\ (take\ n\ (drop\ (i - 1)\ xs))], Suc\ (length\ ys) + n)$

(**is** *?lhs* = *?rhs*)

**proof** –

```

have lhs: ?lhs =
  (λj. if Suc (length ys) ≤ j ∧ j < Suc (length ys) + n then [xs] (i + j - Suc (length ys)) else [ys] j,
  Suc (length ys) + n)
  using implant by auto
let ?zs = ys @ (take n (drop (i - 1) xs))
have lenzs: length ?zs = length ys + n
  using assms by simp
have fst-rhs: fst ?rhs = (λj. if j = 0 then 1 else if j ≤ length ys + n then ?zs ! (j - 1) else 0)
  using assms by auto

have (λj. if Suc (length ys) ≤ j ∧ j < Suc (length ys) + n then [xs] (i + j - Suc (length ys)) else [ys] j) =
  (λj. if j = 0 then 1 else if j ≤ length ys + n then ?zs ! (j - 1) else 0)
  (is ?l = ?r)
proof
  fix j
  consider
    j = 0
  | j > 0 ∧ j ≤ length ys
  | j > length ys ∧ j ≤ length ys + n
  | j > length ys + n
  by linarith
  then show ?l j = ?r j
  proof (cases)
    case 1
    then show ?thesis
    by simp
  next
    case 2
    then show ?thesis
    using assms contents-def by (smt (verit) Suc-diff-1 less-trans-Suc not-add-less1 not-le not-less-eq-eq
nth-append)
  next
    case 3
    then have ?r j = ?zs ! (j - 1)
    by simp
    also have ... = take n (drop (i - 1) xs) ! (j - 1 - length ys)
    using 3 lenzs
    by (metis add.right-neutral diff-is-0-eq le-add-diff-inverse not-add-less2 not-le not-less-eq nth-append
plus-1-eq-Suc)
    also have ... = take n (drop (i - 1) xs) ! (j - Suc (length ys))
    by simp
    also have ... = xs ! (i - 1 + j - Suc (length ys))
    using 3 assms by auto
    also have ... = [xs] (i + j - Suc (length ys))
    using assms contents-def 3 by auto
    also have ... = ?l j
    using 3 by simp
    finally have ?r j = ?l j .
    then show ?thesis
    by simp
  next
    case 4
    then show ?thesis
    by simp
  qed
qed
then show ?thesis
  using lhs fst-rhs by simp
qed

```

## Moving to the next specific symbol

Copying a tape to itself means just moving to the right.

**definition** *tm-right-until* :: *tapeidx*  $\Rightarrow$  *symbol set*  $\Rightarrow$  *machine* **where**  
*tm-right-until* *j H*  $\equiv$  *tm-cp-until* *j j H*

Copying a tape to itself does not change the tape. So this is a Turing machine even for the input tape  $j = 0$ , unlike *tm-cp-until* where the target tape cannot, in general, be the input tape.

**lemma** *tm-right-until-tm*:  
**assumes**  $j < k$  **and**  $k \geq 2$  **and**  $G \geq 4$   
**shows** *turing-machine*  $k G$  (*tm-right-until* *j H*)  
**unfolding** *tm-right-until-def* *tm-cp-until-def* *tm-trans-until-def* *cmd-trans-until-def*  
**using** *assms turing-machine-def*  
**by** *auto*

**lemma** *transforms-tm-right-untilI* [*transforms-intros*]:  
**assumes**  $j < \text{length } tps$   
**and** *rneigh* (*tps ! j*) *H n*  
**and**  $t = \text{Suc } n$   
**and**  $tps' = (tps[j := tps ! j | + | n])$   
**shows** *transforms* (*tm-right-until* *j H*) *tps t tps'*  
**using** *transforms-tm-cp-untilI* *assms implant-self* *tm-right-until-def*  
**by** (*metis list-update-id nth-list-update-eq*)

### Translating to a constant symbol

Another way to specialize *tm-trans-until* and *tm-ltrans-until* is to have a constant function *f*.

**definition** *tm-const-until* :: *tapeidx*  $\Rightarrow$  *tapeidx*  $\Rightarrow$  *symbol set*  $\Rightarrow$  *symbol*  $\Rightarrow$  *machine* **where**  
*tm-const-until* *j1 j2 H h*  $\equiv$  *tm-trans-until* *j1 j2 H* ( $\lambda\cdot$ . *h*)

**lemma** *tm-const-until-tm*:  
**assumes**  $0 < j2$  **and**  $j1 < k$  **and**  $j2 < k$  **and**  $h < G$  **and**  $k \geq 2$  **and**  $G \geq 4$   
**shows** *turing-machine*  $k G$  (*tm-const-until* *j1 j2 H h*)  
**unfolding** *tm-const-until-def* **using** *tm-trans-until-tm* *assms turing-machine-def* **by** *metis*

Continuing with our fantasy names ending in *-plant*, we name the operation *constplant*.

**abbreviation** *constplant* :: *tape*  $\Rightarrow$  *symbol*  $\Rightarrow$  *nat*  $\Rightarrow$  *tape* **where**  
*constplant* *tp2 h n*  $\equiv$  *transplant* ( $\lambda\cdot$ .  $0, 0$ ) *tp2* ( $\lambda\cdot$ . *h*) *n*

**lemma** *constplant-transplant*: *constplant* *tp2 h n* = *transplant* *tp1 tp2* ( $\lambda\cdot$ . *h*) *n*  
**using** *transplant-def* **by** *simp*

**lemma** *constplant*: *constplant* *tp2 h n* =  
 $(\lambda i$ . if  $\text{snd } tp2 \leq i \wedge i < \text{snd } tp2 + n$  then *h* else  $\text{fst } tp2$  *i*,  
 $\text{snd } tp2 + n)$   
**using** *transplant-def* **by** *simp*

**lemma** *transforms-tm-const-untilI* [*transforms-intros*]:  
**assumes**  $j1 < k$  **and**  $j2 < k$  **and**  $\text{length } tps = k$   
**and** *rneigh* (*tps ! j1*) *H n*  
**and**  $t = \text{Suc } n$   
**and**  $tps' = tps[j1 := tps ! j1 | + | n, j2 := \text{constplant } (tps ! j2) h n]$   
**shows** *transforms* (*tm-const-until* *j1 j2 H h*) *tps t tps'*  
**unfolding** *tm-const-until-def* **using** *transforms-tm-trans-untilI* *assms constplant-transplant* **by** *metis*

**definition** *tm-lconst-until* :: *tapeidx*  $\Rightarrow$  *tapeidx*  $\Rightarrow$  *symbol set*  $\Rightarrow$  *symbol*  $\Rightarrow$  *machine* **where**  
*tm-lconst-until* *j1 j2 H h*  $\equiv$  *tm-ltrans-until* *j1 j2 H* ( $\lambda\cdot$ . *h*)

**lemma** *tm-lconst-until-tm*:  
**assumes**  $0 < j2$  **and**  $j1 < k$  **and**  $j2 < k$  **and**  $h < G$  **and**  $k \geq 2$  **and**  $G \geq 4$   
**shows** *turing-machine*  $k G$  (*tm-lconst-until* *j1 j2 H h*)  
**unfolding** *tm-lconst-until-def* **using** *tm-ltrans-until-tm* *assms turing-machine-def* **by** *metis*

**abbreviation** *lconstplant* :: *tape*  $\Rightarrow$  *symbol*  $\Rightarrow$  *nat*  $\Rightarrow$  *tape* **where**  
*lconstplant* *tp2 h n*  $\equiv$  *ltransplant* ( $\lambda\cdot$ .  $0, 0$ ) *tp2* ( $\lambda\cdot$ . *h*) *n*

**lemma** *lconstplant-ltransplant*:  $lconstplant\ tp2\ h\ n = ltransplant\ tp1\ tp2\ (\lambda\cdot\ h)\ n$   
**using** *ltransplant-def* **by** *simp*

**lemma** *lconstplant*:  $lconstplant\ tp2\ h\ n =$   
 $(\lambda i.\ if\ snd\ tp2 - n < i \wedge i \leq\ snd\ tp2\ then\ h\ else\ fst\ tp2\ i,$   
 $snd\ tp2 - n)$   
**using** *ltransplant-def* **by** *simp*

**lemma** *transforms-tm-lconst-untilI* [*transforms-intros*]:  
**assumes**  $0 < j2$  **and**  $j1 < k$  **and**  $j2 < k$  **and**  $length\ tps = k$   
**and**  $lneigh\ (tps\ !\ j1)\ H\ n$   
**and**  $n \leq\ tps\ :\#\ j1$   
**and**  $n \leq\ tps\ :\#\ j2$   
**and**  $t = Suc\ n$   
**and**  $tps' = tps[j1 := tps\ !\ j1\ |-\ | n, j2 := lconstplant\ (tps\ !\ j2)\ h\ n]$   
**shows** *transforms* (*tm-lconst-until*  $j1\ j2\ H\ h$ )  $tps\ t\ tps'$   
**unfolding** *tm-lconst-until-def* **using** *transforms-tm-ltrans-untilI* *assms* *lconstplant-ltransplant* **by** *metis*

## 2.4.4 Writing single symbols

The next command writes a fixed symbol  $h$  to tape  $j$ . It does not move a tape head.

**definition** *cmd-write* ::  $tapeidx \Rightarrow symbol \Rightarrow command$  **where**  
 $cmd-write\ j\ h\ rs \equiv (1, map\ (\lambda i.\ (if\ i = j\ then\ h\ else\ rs\ !\ i, Stay))\ [0..<length\ rs])$

**lemma** *sem-cmd-write*:  $sem\ (cmd-write\ j\ h)\ (0, tps) = (1, tps[j := tps\ !\ j\ |:=\ | h])$   
**using** *cmd-write-def* *read-length* *act-Stay* **by** (*intro* *semI*) *auto*

**definition** *tm-write* ::  $tapeidx \Rightarrow symbol \Rightarrow machine$  **where**  
 $tm-write\ j\ h \equiv [cmd-write\ j\ h]$

**lemma** *tm-write-tm*:  
**assumes**  $0 < j$  **and**  $j < k$  **and**  $h < G$  **and**  $G \geq 4$   
**shows** *turing-machine*  $k\ G\ (tm-write\ j\ h)$   
**unfolding** *tm-write-def* *cmd-write-def* **using** *assms* *turing-machine-def* **by** *auto*

**lemma** *transforms-tm-writeI* [*transforms-intros*]:  
**assumes**  $tps' = tps[j := tps\ !\ j\ |:=\ | h]$   
**shows** *transforms* (*tm-write*  $j\ h$ )  $tps\ 1\ tps'$   
**unfolding** *tm-write-def*  
**using** *sem-cmd-write* *exe-lt-length* *assms* *tm-write-def* *transits-def* *transforms-def*  
**by** *auto*

The next command writes the symbol to tape  $j_2$  that results from applying a function  $f$  to the symbol read from tape  $j_1$ . It does not move any tape heads.

**definition** *cmd-trans2* ::  $tapeidx \Rightarrow tapeidx \Rightarrow (symbol \Rightarrow symbol) \Rightarrow command$  **where**  
 $cmd-trans2\ j1\ j2\ f\ rs \equiv (1, map\ (\lambda i.\ (if\ i = j2\ then\ f\ (rs\ !\ j1)\ else\ rs\ !\ i, Stay))\ [0..<length\ rs])$

**lemma** *sem-cmd-trans2*:  
**assumes**  $j1 < length\ tps$   
**shows**  $sem\ (cmd-trans2\ j1\ j2\ f)\ (0, tps) = (1, tps[j2 := tps\ !\ j2\ |:=\ | (f\ (tps\ ::\ j1))])$   
**using** *cmd-trans2-def* *tapes-at-read* *assms* *read-length* *act-Stay* **by** (*intro* *semI*) *auto*

**definition** *tm-trans2* ::  $tapeidx \Rightarrow tapeidx \Rightarrow (symbol \Rightarrow symbol) \Rightarrow machine$  **where**  
 $tm-trans2\ j1\ j2\ f \equiv [cmd-trans2\ j1\ j2\ f]$

**lemma** *tm-trans2-tm*:  
**assumes**  $j1 < k$  **and**  $0 < j2$  **and**  $j2 < k$  **and**  $\forall h < G.\ f\ h < G$  **and**  $k \geq 2$  **and**  $G \geq 4$   
**shows** *turing-machine*  $k\ G\ (tm-trans2\ j1\ j2\ f)$   
**unfolding** *tm-trans2-def* *cmd-trans2-def* **using** *assms* *turing-machine-def* **by** *auto*

**lemma** *exe-tm-trans2*:  
**assumes**  $j1 < length\ tps$

**shows**  $exe (tm\text{-}trans2\ j1\ j2\ f) (0, tps) = (1, tps[j2 := tps ! j2 |:=| (f (tps :: j1))])$   
**unfolding**  $tm\text{-}trans2\text{-}def$  **using**  $sem\text{-}cmd\text{-}trans2$   $assms\ exe\text{-}lt\text{-}length$  **by**  $simp$

**lemma**  $execute\text{-}tm\text{-}trans2$ :  
**assumes**  $j1 < length\ tps$   
**shows**  $execute (tm\text{-}trans2\ j1\ j2\ f) (0, tps) 1 = (1, tps[j2 := tps ! j2 |:=| (f (tps :: j1))])$   
**using**  $assms\ exe\text{-}tm\text{-}trans2$  **by**  $simp$

**lemma**  $transits\text{-}tm\text{-}trans2$ :  
**assumes**  $j1 < length\ tps$   
**shows**  $transits (tm\text{-}trans2\ j1\ j2\ f) (0, tps) 1 (1, tps[j2 := tps ! j2 |:=| (f (tps :: j1))])$   
**using**  $assms\ execute\text{-}tm\text{-}trans2\ transits\text{-}def$  **by**  $auto$

**lemma**  $transforms\text{-}tm\text{-}trans2$ :  
**assumes**  $j1 < length\ tps$   
**shows**  $transforms (tm\text{-}trans2\ j1\ j2\ f) tps 1 (tps[j2 := tps ! j2 |:=| (f (tps :: j1))])$   
**using**  $tm\text{-}trans2\text{-}def\ assms\ transits\text{-}tm\text{-}trans2\ transforms\text{-}def$  **by**  $simp$

**lemma**  $transforms\text{-}tm\text{-}trans2I$  [ $transforms\text{-}intros$ ]:  
**assumes**  $j1 < length\ tps$  **and**  $tps' = tps[j2 := tps ! j2 |:=| (f (tps :: j1))]$   
**shows**  $transforms (tm\text{-}trans2\ j1\ j2\ f) tps 1 tps'$   
**using**  $assms\ transforms\text{-}tm\text{-}trans2$  **by**  $simp$

Equating the two tapes in  $tm\text{-}trans2$ , we can map a symbol in-place.

**definition**  $tm\text{-}trans :: tapeidx \Rightarrow (symbol \Rightarrow symbol) \Rightarrow machine$  **where**  
 $tm\text{-}trans\ j\ f \equiv tm\text{-}trans2\ j\ j\ f$

**lemma**  $tm\text{-}trans\text{-}tm$ :  
**assumes**  $0 < j$  **and**  $j < k$  **and**  $\forall h < G. f\ h < G$  **and**  $G \geq 4$   
**shows**  $turing\text{-}machine\ k\ G (tm\text{-}trans\ j\ f)$   
**unfolding**  $tm\text{-}trans\text{-}def$  **using**  $tm\text{-}trans2\text{-}tm\ assms$  **by**  $simp$

**lemma**  $transforms\text{-}tm\text{-}transI$  [ $transforms\text{-}intros$ ]:  
**assumes**  $j < length\ tps$  **and**  $tps' = tps[j := tps ! j |:=| (f (tps :: j))]$   
**shows**  $transforms (tm\text{-}trans\ j\ f) tps 1 tps'$   
**using**  $assms\ transforms\text{-}tm\text{-}trans2\ tm\text{-}trans\text{-}def$  **by**  $simp$

The next command is like the previous one, except it also moves the tape head to the right.

**definition**  $cmd\text{-}rtrans :: tapeidx \Rightarrow (symbol \Rightarrow symbol) \Rightarrow command$  **where**  
 $cmd\text{-}rtrans\ j\ f\ rs \equiv (1, map (\lambda i. (if\ i = j\ then\ f\ (rs\ !\ i)\ else\ rs\ !\ i, if\ i = j\ then\ Right\ else\ Stay)) [0..<length\ rs])$

**lemma**  $sem\text{-}cmd\text{-}rtrans$ :  
**assumes**  $j < length\ tps$   
**shows**  $sem (cmd\text{-}rtrans\ j\ f) (0, tps) = (1, tps[j := tps ! j |:=| (f (tps :: j)) |+\ 1])$   
**using**  $cmd\text{-}rtrans\text{-}def\ tapes\text{-}at\text{-}read\ read\text{-}length\ assms\ act\text{-}Stay\ act\text{-}Right$   
**by**  $(intro\ semI)\ auto$

**definition**  $tm\text{-}rtrans :: tapeidx \Rightarrow (symbol \Rightarrow symbol) \Rightarrow machine$  **where**  
 $tm\text{-}rtrans\ j\ f \equiv [cmd\text{-}rtrans\ j\ f]$

**lemma**  $tm\text{-}rtrans\text{-}tm$ :  
**assumes**  $0 < j$  **and**  $j < k$  **and**  $\forall h < G. f\ h < G$  **and**  $k \geq 2$  **and**  $G \geq 4$   
**shows**  $turing\text{-}machine\ k\ G (tm\text{-}rtrans\ j\ f)$   
**unfolding**  $tm\text{-}rtrans\text{-}def\ cmd\text{-}rtrans\text{-}def$  **using**  $assms\ turing\text{-}machine\text{-}def$  **by**  $auto$

**lemma**  $exe\text{-}tm\text{-}rtrans$ :  
**assumes**  $j < length\ tps$   
**shows**  $exe (tm\text{-}rtrans\ j\ f) (0, tps) = (1, tps[j := tps ! j |:=| (f (tps :: j)) |+\ 1])$   
**unfolding**  $tm\text{-}rtrans\text{-}def$  **using**  $sem\text{-}cmd\text{-}rtrans\ assms\ exe\text{-}lt\text{-}length$  **by**  $simp$

**lemma**  $execute\text{-}tm\text{-}rtrans$ :  
**assumes**  $j < length\ tps$

**shows**  $execute (tm-rtrans j f) (0, tps) 1 = (1, tps[j := tps ! j] := | (f (tps :: j)) |+| 1)$   
**using** *assms exe-tm-rtrans* **by** *simp*

**lemma** *transits-tm-rtrans*:

**assumes**  $j < length\ tps$

**shows**  $transits (tm-rtrans j f) (0, tps) 1 (1, tps[j := tps ! j] := | (f (tps :: j)) |+| 1)$

**using** *assms execute-tm-rtrans transits-def* **by** *auto*

**lemma** *transforms-tm-rtrans*:

**assumes**  $j < length\ tps$

**shows**  $transforms (tm-rtrans j f) tps 1 (tps[j := tps ! j] := | (f (tps :: j)) |+| 1)$

**using** *assms transits-tm-rtrans transforms-def* **by** (*metis One-nat-def length-Cons list.size(3) tm-rtrans-def*)

**lemma** *transforms-tm-rtransI* [*transforms-intros*]:

**assumes**  $j < length\ tps$  **and**  $tps' = tps[j := tps ! j] := | (f (tps :: j)) |+| 1$

**shows**  $transforms (tm-rtrans j f) tps 1 tps'$

**using** *assms transforms-tm-rtrans* **by** *simp*

The next command writes a fixed symbol  $h$  to all tapes in the set  $J$ .

**definition** *cmd-write-many* :: *tapeidx set*  $\Rightarrow$  *symbol*  $\Rightarrow$  *command* **where**

*cmd-write-many*  $J\ h\ rs \equiv (1, map (\lambda i. (if\ i \in J\ then\ h\ else\ rs\ !\ i, Stay)) [0..<length\ rs])$

**lemma** *proper-cmd-write-many*: *proper-command*  $k$  (*cmd-write-many*  $J\ h$ )

**unfolding** *cmd-write-many-def* **by** *auto*

**lemma** *sem-cmd-write-many*:

**shows**  $sem (cmd-write-many\ J\ h) (0, tps) =$

$(1, map (\lambda j. if\ j \in J\ then\ tps\ !\ j := | h\ else\ tps\ !\ j) [0..<length\ tps])$

**using** *cmd-write-many-def read-length act-Stay*

**by** (*intro semI[OF proper-cmd-write-many]*) *auto*

**definition** *tm-write-many* :: *tapeidx set*  $\Rightarrow$  *symbol*  $\Rightarrow$  *machine* **where**

*tm-write-many*  $J\ h \equiv [cmd-write-many\ J\ h]$

**lemma** *tm-write-many-tm*:

**assumes**  $0 \notin J$  **and**  $\forall j \in J. j < k$  **and**  $h < G$  **and**  $k \geq 2$  **and**  $G \geq 4$

**shows** *turing-machine*  $k\ G$  (*tm-write-many*  $J\ h$ )

**unfolding** *tm-write-many-def cmd-write-many-def* **using** *assms turing-machine-def* **by** *auto*

**lemma** *exe-tm-write-many*: *exe* (*tm-write-many*  $J\ h$ )  $(0, tps) =$

$(1, map (\lambda j. if\ j \in J\ then\ tps\ !\ j := | h\ else\ tps\ !\ j) [0..<length\ tps])$

**unfolding** *tm-write-many-def* **using** *sem-cmd-write-many exe-lt-length* **by** *simp*

**lemma** *execute-tm-write-many*: *execute* (*tm-write-many*  $J\ h$ )  $(0, tps) 1 =$

$(1, map (\lambda j. if\ j \in J\ then\ tps\ !\ j := | h\ else\ tps\ !\ j) [0..<length\ tps])$

**using** *exe-tm-write-many* **by** *simp*

**lemma** *transforms-tm-write-many*:

$transforms (tm-write-many\ J\ h) tps 1 (map (\lambda j. if\ j \in J\ then\ tps\ !\ j := | h\ else\ tps\ !\ j) [0..<length\ tps])$

**using** *execute-tm-write-many transits-def transforms-def tm-write-many-def* **by** *auto*

**lemma** *transforms-tm-write-manyI* [*transforms-intros*]:

**assumes**  $\forall j \in J. j < k$

**and**  $length\ tps = k$

**and**  $length\ tps' = k$

**and**  $\bigwedge j. j \in J \implies tps' ! j = tps ! j := | h$

**and**  $\bigwedge j. j < k \implies j \notin J \implies tps' ! j = tps ! j$

**shows**  $transforms (tm-write-many\ J\ h) tps 1 tps'$

**proof** –

**have**  $tps' = map (\lambda j. if\ j \in J\ then\ tps\ !\ j := | h\ else\ tps\ !\ j) [0..<length\ tps]$

**using** *assms* **by** (*intro nth-equalityI*) *simp-all*

**then show** *?thesis*

**using** *assms transforms-tm-write-many* **by** *auto*



qed

## 2.4.5 Writing a symbol multiple times

In this section we devise a Turing machine that writes the symbol sequence  $h^m$  with a hard-coded symbol  $h$  and number  $m$  to a tape. The resulting tape is defined by the next operation:

**definition** *overwrite* :: *tape*  $\Rightarrow$  *symbol*  $\Rightarrow$  *nat*  $\Rightarrow$  *tape* **where**  
*overwrite* *tp* *h* *m*  $\equiv$  ( $\lambda i$ . if *snd* *tp*  $\leq$  *i*  $\wedge$  *i*  $<$  *snd* *tp* + *m* then *h* else *fst* *tp* *i*, *snd* *tp* + *m*)

**lemma** *overwrite-0*: *overwrite* *tp* *h* 0 = *tp*

**proof** –

**have** *snd* (*overwrite* *tp* *h* 0) = *snd* *tp*  
**unfolding** *overwrite-def* **by** *simp*  
**moreover** **have** *fst* (*overwrite* *tp* *h* 0) = *fst* *tp*  
**unfolding** *overwrite-def* **by** *auto*  
**ultimately show** *?thesis*  
**using** *prod-eqI* **by** *blast*

qed

**lemma** *overwrite-upd*: (*overwrite* *tp* *h* *t*)  $|:=|$  *h*  $|+|$  1 = *overwrite* *tp* *h* (*Suc* *t*)  
**using** *overwrite-def* **by** *auto*

**lemma** *overwrite-upd'*:

**assumes** *j*  $<$  *length* *tps* **and** *tps'* = *tps*[*j* := *overwrite* (*tps* ! *j*) *h* *t*]  
**shows** (*tps*[*j* := *overwrite* (*tps* ! *j*) *h* *t*])[*j* := *tps'* ! *j*  $|:=|$  *h*  $|+|$  1] =  
*tps*[*j* := *overwrite* (*tps* ! *j*) *h* (*Suc* *t*)]  
**using** *assms* *overwrite-upd* **by** *simp*

The next command writes the symbol  $h$  to the tape  $j$  and moves the tape head to the right.

**definition** *cmd-char* :: *tapeidx*  $\Rightarrow$  *symbol*  $\Rightarrow$  *command* **where**  
*cmd-char* *j* *z* = *cmd-rtrans* *j* ( $\lambda$ -. *z*)

**lemma** *turing-command-char*:

**assumes** 0  $<$  *j* **and** *j*  $<$  *k* **and** *h*  $<$  *G*  
**shows** *turing-command* *k* 1 *G* (*cmd-char* *j* *h*)  
**unfolding** *cmd-char-def* *cmd-rtrans-def* **using** *assms* **by** *auto*

**definition** *tm-char* :: *tapeidx*  $\Rightarrow$  *symbol*  $\Rightarrow$  *machine* **where**  
*tm-char* *j* *z*  $\equiv$  [*cmd-char* *j* *z*]

**lemma** *tm-char-tm*:

**assumes** 0  $<$  *j* **and** *j*  $<$  *k* **and** *G*  $\geq$  4 **and** *z*  $<$  *G*  
**shows** *turing-machine* *k* *G* (*tm-char* *j* *z*)  
**using** *assms* *turing-command-char* *tm-char-def* **by** *auto*

**lemma** *transforms-tm-charI* [*transforms-intros*]:

**assumes** *j*  $<$  *length* *tps* **and** *tps'* = *tps*[*j* := *tps* ! *j*  $|:=|$  *z*  $|+|$  1]  
**shows** *transforms* (*tm-char* *j* *z*) *tps* 1 *tps'*  
**using** *assms* *transforms-tm-rtransI* *tm-char-def* *cmd-char-def* *tm-rtrans-def* **by** *metis*

**lemma** *sem-cmd-char*:

**assumes** *j*  $<$  *length* *tps*  
**shows** *sem* (*cmd-char* *j* *h*) (0, *tps*) = (1, *tps*[*j* := *tps* ! *j*  $|:=|$  *h*  $|+|$  1])  
**using** *cmd-char-def* *cmd-rtrans-def* *tapes-at-read* *read-length* *assms* *act-Right*  
**by** (*intro* *semI*) *auto*

The next TM is a sequence of  $m$  *cmd-char* commands properly relocated. It writes a sequence of  $m$  times the symbol  $h$  to tape  $j$ .

**definition** *tm-write-repeat* :: *tapeidx*  $\Rightarrow$  *symbol*  $\Rightarrow$  *nat*  $\Rightarrow$  *machine* **where**  
*tm-write-repeat* *j* *h* *m*  $\equiv$  *map* ( $\lambda i$ . *relocate-cmd* *i* (*cmd-char* *j* *h*)) [0..*m*]

**lemma** *tm-write-repeat-tm*:

**assumes**  $0 < j$  **and**  $j < k$  **and**  $h < G$  **and**  $k \geq 2$  **and**  $G \geq 4$   
**shows** *turing-machine*  $k$   $G$  (*tm-write-repeat*  $j$   $h$   $m$ )  
**proof**  
**let**  $?M = \text{tm-write-repeat } j \ h \ m$   
**show**  $2 \leq k$  **and**  $4 \leq G$   
**using** *assms*(4,5) .  
**show** *turing-command*  $k$  (*length*  $?M$ )  $G$  ( $?M ! i$ ) **if**  $i < \text{length } ?M$  **for**  $i$   
**proof** –  
**have**  $?M ! i = \text{relocate-cmd } i$  (*cmd-char*  $j$   $h$ )  
**using** *that* *tm-write-repeat-def* **by** *simp*  
**then have** *turing-command*  $k$  ( $1 + i$ )  $G$  ( $?M ! i$ )  
**using** *assms* *turing-command-char* *turing-command-relocate-cmd* **by** *metis*  
**then show** *?thesis*  
**using** *turing-command-mono* *that* **by** *simp*  
**qed**  
**qed**

**lemma** *exe-tm-write-repeat*:  
**assumes**  $j < \text{length } tps$  **and**  $q < m$   
**shows** *exe* (*tm-write-repeat*  $j$   $h$   $m$ ) ( $q$ ,  $tps$ ) = (*Suc*  $q$ ,  $tps[j := tps ! j | := h | + 1]$ )  
**using** *sem-cmd-char* *assms* *sem* *sem-relocate-cmd* *tm-write-repeat-def* **by** (*auto* *simp* *add*: *exe-lt-length*)

**lemma** *execute-tm-write-repeat*:  
**assumes**  $j < \text{length } tps$  **and**  $t \leq m$   
**shows** *execute* (*tm-write-repeat*  $j$   $h$   $m$ ) ( $0$ ,  $tps$ )  $t = (t$ ,  $tps[j := \text{overwrite } (tps ! j) \ h \ t]$ )  
**using** *assms*(2)  
**proof** (*induction*  $t$ )  
**case**  $0$   
**then show** *?case* **using** *overwrite-0* **by** *simp*  
**next**  
**case** (*Suc*  $t$ )  
**then have**  $t < m$  **by** *simp*  
**have** *execute* (*tm-write-repeat*  $j$   $h$   $m$ ) ( $0$ ,  $tps$ ) (*Suc*  $t$ ) = *exe* (*tm-write-repeat*  $j$   $h$   $m$ ) (*execute* (*tm-write-repeat*  $j$   $h$   $m$ ) ( $0$ ,  $tps$ )  $t$ )  
**by** *simp*  
**also have** ... = *exe* (*tm-write-repeat*  $j$   $h$   $m$ ) ( $t$ ,  $tps[j := \text{overwrite } (tps ! j) \ h \ t]$ )  
**using** *Suc* **by** *simp*  
**also have** ... = (*Suc*  $t$ ,  $tps[j := \text{overwrite } (tps ! j) \ h$  (*Suc*  $t$ )]  
**using**  $t < m$  *exe-tm-write-repeat* *assms* *overwrite-upd'* **by** *simp*  
**finally show** *?case* .  
**qed**

**lemma** *transforms-tm-write-repeatI* [*transforms-intros*]:  
**assumes**  $j < \text{length } tps$  **and**  $tps' = tps[j := \text{overwrite } (tps ! j) \ h \ m]$   
**shows** *transforms* (*tm-write-repeat*  $j$   $h$   $m$ )  $tps$   $m$   $tps'$   
**using** *assms* *execute-tm-write-repeat* *transits-def* *transforms-def* *tm-write-repeat-def* **by** *auto*

## 2.4.6 Moving to the start of the tape

The next command moves the head on tape  $j$  to the left until it reaches a symbol from the set  $H$ :

**definition** *cmd-left-until* :: *symbol set*  $\Rightarrow$  *tapeidx*  $\Rightarrow$  *command* **where**  
*cmd-left-until*  $H$   $j$   $rs \equiv$   
*if*  $rs ! j \in H$   
*then* ( $1$ , *map* ( $\lambda r. (r, \text{Stay})$ )  $rs$ )  
*else* ( $0$ , *map* ( $\lambda i. (rs ! i, \text{if } i = j \text{ then Left else Stay})$ ) [ $0..<\text{length } rs$ ])

**lemma** *sem-cmd-left-until-1*:  
**assumes**  $j < k$  **and**  $\text{length } tps = k$  **and**  $(0, tps) <.> j \in H$   
**shows** *sem* (*cmd-left-until*  $H$   $j$ ) ( $0$ ,  $tps$ ) = ( $1$ ,  $tps$ )  
**using** *cmd-left-until-def* *tapes-at-read* *read-length* *assms* *act-Stay*  
**by** (*intro* *semI*) *auto*

**lemma** *sem-cmd-left-until-2*:

**assumes**  $j < k$  **and**  $\text{length } tps = k$  **and**  $(0, tps) \langle . \rangle j \notin H$   
**shows**  $\text{sem } (\text{cmd-left-until } H \ j) \ (0, tps) = (0, tps[j := tps ! j \mid - \ 1])$   
**using**  $\text{cmd-left-until-def tapes-at-read read-length assms act-Stay act-Left}$   
**by**  $(\text{intro semI}) \ \text{auto}$

**definition**  $\text{tm-left-until} :: \text{symbol set} \Rightarrow \text{tapeidx} \Rightarrow \text{machine where}$   
 $\text{tm-left-until } H \ j \equiv [\text{cmd-left-until } H \ j]$

**lemma**  $\text{tm-left-until-tm}$ :

**assumes**  $k \geq 2$  **and**  $G \geq 4$   
**shows**  $\text{turing-machine } k \ G \ (\text{tm-left-until } H \ j)$   
**unfolding**  $\text{tm-left-until-def cmd-left-until-def}$  **using**  $\text{assms turing-machine-def}$  **by**  $\text{auto}$

A *begin tape* for a set of symbols has one of these symbols only in cell zero. It generalizes the concept of clean tapes, where the set of symbols is  $\{\triangleright\}$ .

**definition**  $\text{begin-tape} :: \text{symbol set} \Rightarrow \text{tape} \Rightarrow \text{bool where}$   
 $\text{begin-tape } H \ tp \equiv \forall i. \text{fst } tp \ i \in H \longleftrightarrow i = 0$

**lemma**  $\text{begin-tapeI}$ :

**assumes**  $\text{fst } tp \ 0 \in H$  **and**  $\bigwedge i. i > 0 \implies \text{fst } tp \ i \notin H$   
**shows**  $\text{begin-tape } H \ tp$   
**unfolding**  $\text{begin-tape-def}$  **using**  $\text{assms}$  **by**  $\text{auto}$

**lemma**  $\text{exe-tm-left-until-1}$ :

**assumes**  $j < \text{length } tps$  **and**  $(0, tps) \langle . \rangle j \in H$   
**shows**  $\text{exe } (\text{tm-left-until } H \ j) \ (0, tps) = (1, tps)$   
**using**  $\text{tm-left-until-def assms exe-lt-length sem-cmd-left-until-1}$  **by**  $\text{auto}$

**lemma**  $\text{exe-tm-left-until-2}$ :

**assumes**  $j < \text{length } tps$  **and**  $(0, tps) \langle . \rangle j \notin H$   
**shows**  $\text{exe } (\text{tm-left-until } H \ j) \ (0, tps) = (0, tps[j := tps ! j \mid - \ 1])$   
**using**  $\text{tm-left-until-def assms exe-lt-length sem-cmd-left-until-2}$  **by**  $\text{auto}$

We do not show the semantics of  $\text{tm-left-until}$  for the general case, but only for when applied to begin tapes.

**lemma**  $\text{execute-tm-left-until-less}$ :

**assumes**  $j < \text{length } tps$  **and**  $\text{begin-tape } H \ (tps ! j)$  **and**  $t \leq tps \text{ :}\#\text{: } j$   
**shows**  $\text{execute } (\text{tm-left-until } H \ j) \ (0, tps) \ t = (0, tps[j := tps ! j \mid - \ t])$   
**using**  $\text{assms}(\mathcal{P})$   
**proof**  $(\text{induction } t)$   
**case**  $0$   
**then show**  $?case$  **by**  $\text{simp}$   
**next**  
**case**  $(\text{Suc } t)$   
**then have**  $\text{fst } (tps ! j) \ (\text{snd } (tps ! j) - t) \notin H$   
**using**  $\text{assms begin-tape-def}$  **by**  $\text{simp}$   
**then have**  $\text{neg-0: } \text{fst } (tps ! j \mid - \ t) \ (\text{snd } (tps ! j \mid - \ t)) \notin H$   
**by**  $\text{simp}$   
**have**  $\text{execute } (\text{tm-left-until } H \ j) \ (0, tps) \ (\text{Suc } t) = \text{exe } (\text{tm-left-until } H \ j) \ (\text{execute } (\text{tm-left-until } H \ j) \ (0, tps) \ t)$   
**by**  $\text{simp}$   
**also have**  $\dots = \text{exe } (\text{tm-left-until } H \ j) \ (0, tps[j := tps ! j \mid - \ t])$   
**using**  $\text{Suc}$  **by**  $\text{simp}$   
**also have**  $\dots = (0, tps[j := tps ! j \mid - \ (\text{Suc } t)])$   
**using**  $\text{neg-0 exe-tm-left-until-2 assms}$  **by**  $\text{simp}$   
**finally show**  $?case$  **by**  $\text{simp}$   
**qed**

**lemma**  $\text{execute-tm-left-until}$ :

**assumes**  $j < \text{length } tps$  **and**  $\text{begin-tape } H \ (tps ! j)$   
**shows**  $\text{execute } (\text{tm-left-until } H \ j) \ (0, tps) \ (\text{Suc } (tps \text{ :}\#\text{: } j)) = (1, tps[j := tps ! j \mid \#\text{=} \ 0])$   
**using**  $\text{assms begin-tape-def exe-tm-left-until-1 execute-tm-left-until-less}$  **by**  $\text{simp}$

**lemma**  $\text{transits-tm-left-until}$ :

**assumes**  $j < \text{length } tps$  **and**  $\text{begin-tape } H (tps ! j)$   
**shows**  $\text{transits } (tm\text{-left-until } H j) (0, tps) (Suc (tps \# : j)) (1, tps[j := tps ! j | \# = | 0])$   
**using**  $\text{execute-imp-transits}[OF \text{execute-tm-left-until}[OF \text{assms}]]$  **by**  $\text{simp}$

**lemma**  $\text{transforms-tm-left-until}$ :

**assumes**  $j < \text{length } tps$  **and**  $\text{begin-tape } H (tps ! j)$   
**shows**  $\text{transforms } (tm\text{-left-until } H j) tps (Suc (tps \# : j)) (tps[j := tps ! j | \# = | 0])$   
**using**  $\text{tm-left-until-def transforms-def transits-tm-left-until}[OF \text{assms}]$  **by**  $\text{simp}$

The most common case is  $H = \{\triangleright\}$ , which means the Turing machine moves the tape head left to the closest start symbol. On clean tapes it moves the tape head to the leftmost cell of the tape.

**definition**  $\text{tm-start} :: \text{tapeidx} \Rightarrow \text{machine}$  **where**

$\text{tm-start} \equiv \text{tm-left-until } \{1\}$

**lemma**  $\text{tm-start-tm}$ :

**assumes**  $k \geq 2$  **and**  $G \geq 4$   
**shows**  $\text{turing-machine } k G (\text{tm-start } j)$   
**unfolding**  $\text{tm-start-def}$  **using**  $\text{assms tm-left-until-tm}$  **by**  $\text{simp}$

**lemma**  $\text{transforms-tm-start}$ :

**assumes**  $j < \text{length } tps$  **and**  $\text{clean-tape } (tps ! j)$   
**shows**  $\text{transforms } (tm\text{-start } j) tps (Suc (tps \# : j)) (tps[j := tps ! j | \# = | 0])$   
**using**  $\text{tm-start-def assms transforms-tm-left-until begin-tape-def clean-tape-def}$  **by**  $(\text{metis insertCI singletonD})$

**lemma**  $\text{transforms-tm-startI}$  [ $\text{transforms-intros}$ ]:

**assumes**  $j < \text{length } tps$  **and**  $\text{clean-tape } (tps ! j)$   
**and**  $t = Suc (tps \# : j)$   
**and**  $tps' = tps[j := tps ! j | \# = | 0]$   
**shows**  $\text{transforms } (tm\text{-start } j) tps t tps'$   
**using**  $\text{transforms-tm-start assms}$  **by**  $\text{simp}$

The next Turing machine is the first instance in which we use the  $;;$  operator with concrete Turing machines. It is also the first time we use the proof method  $tform$  for  $\text{transforms}$ . The TM performs a “carriage return” on a clean tape, that is, it moves to the first non-start symbol.

**definition**  $\text{tm-cr} :: \text{tapeidx} \Rightarrow \text{machine}$  **where**

$\text{tm-cr } j \equiv \text{tm-start } j ;; \text{tm-right } j$

**lemma**  $\text{tm-cr-tm}$ :  $k \geq 2 \implies G \geq 4 \implies \text{turing-machine } k G (\text{tm-cr } j)$

**using**  $\text{turing-machine-sequential-turing-machine}$  **by**  $(\text{simp add: tm-cr-def tm-right-tm tm-start-tm})$

**lemma**  $\text{transforms-tm-crI}$  [ $\text{transforms-intros}$ ]:

**assumes**  $j < \text{length } tps$   
**and**  $\text{clean-tape } (tps ! j)$   
**and**  $t = tps \# : j + 2$   
**and**  $tps' = tps[j := tps ! j | \# = | 1]$   
**shows**  $\text{transforms } (tm\text{-cr } j) tps t tps'$   
**unfolding**  $\text{tm-cr-def}$  **by**  $(tform tps: \text{assms})$

## 2.4.7 Erasing a tape

The next Turing machine overwrites all but the start symbol with blanks. It first performs a carriage return and then writes blanks until it reaches a blank. This only works as intended if there are no gaps, that is, blanks between non-blank symbols.

**definition**  $\text{tm-erase} :: \text{tapeidx} \Rightarrow \text{machine}$  **where**

$\text{tm-erase } j \equiv \text{tm-cr } j ;; \text{tm-const-until } j j \{\square\} \square$

**lemma**  $\text{tm-erase-tm}$ :  $G \geq 4 \implies 0 < j \implies j < k \implies \text{turing-machine } k G (\text{tm-erase } j)$

**unfolding**  $\text{tm-erase-def}$  **using**  $\text{tm-cr-tm tm-const-until-tm}$  **by**  $\text{simp}$

**lemma**  $\text{transforms-tm-eraseI}$  [ $\text{transforms-intros}$ ]:

**assumes**  $j < \text{length } tps$   
**and**  $\text{proper-symbols } zs$

```

    and tps :: j = [zs]
    and t = tps :#: j + length zs + 3
    and tps' = tps[j := ([[]], Suc (length zs))]
  shows transforms (tm-erase j) tps t tps'
  unfolding tm-erase-def
proof (tform tps: assms time: assms(4))
  show clean-tape (tps ! j)
    using assms contents-clean-tape' by simp
  show rneigh (tps[j := tps ! j |#|= 1] ! j) {[]} (length zs)
    using assms contents-clean-tape' by (intro rneighI) auto
  show tps' = tps
    [j := tps ! j |#|= 1, j := tps[j := tps ! j |#|= 1] ! j |+| length zs,
     j := constplant (tps[j := tps ! j |#|= 1] ! j) [] (length zs)]
  proof -
    have ([[]], Suc (length zs)) = constplant ([zs], Suc 0) [] (length zs)
      using transplant-def contents-def by auto
    then show ?thesis
      using assms by simp
  qed
qed

```

The next TM returns to the leftmost blank symbol after erasing the tape.

**definition** *tm-erase-cr* :: *tapeidx*  $\Rightarrow$  *machine* **where**  
*tm-erase-cr* j  $\equiv$  *tm-erase* j ;; *tm-cr* j

**lemma** *tm-erase-cr-tm*:  
 assumes  $G \geq 4$  and  $0 < j$  and  $j < k$   
 shows *turing-machine* k G (*tm-erase-cr* j)  
 using *tm-erase-cr-def* *tm-cr-tm* *tm-erase-tm* *assms* **by** *simp*

**lemma** *transforms-tm-erase-crI* [*transforms-intros*]:  
 assumes  $j < \text{length } tps$   
 and *proper-symbols* zs  
 and  $tps :: j = [zs]$   
 and  $t = tps :#: j + 2 * \text{length } zs + 6$   
 and  $tps' = tps[j := ([[]], 1)]$   
 shows *transforms* (*tm-erase-cr* j) tps t tps'  
 unfolding *tm-erase-cr-def*  
 by (*tform* tps: *assms* time: *assms*(4))

## 2.4.8 Writing a symbol sequence

The Turing machine in this section writes a hard-coded symbol sequence to a tape. It is like *tm-write-repeat* except with an arbitrary symbol sequence.

**fun** *tm-print* :: *tapeidx*  $\Rightarrow$  *symbol list*  $\Rightarrow$  *machine* **where**  
*tm-print* j [] = [] |  
*tm-print* j (z # zs) = *tm-char* j z ;; *tm-print* j zs

**lemma** *tm-print-tm*:  
 assumes  $0 < j$  and  $j < k$  and  $G \geq 4$  and  $\forall i < \text{length } zs. zs ! i < G$   
 shows *turing-machine* k G (*tm-print* j zs)  
 using *assms*(4)  
**proof** (*induction* zs)  
 case *Nil*  
 then show ?case  
 using *assms* **by** *auto*  
**next**  
 case (*Cons* z zs)  
 then have *turing-machine* k G (*tm-char* j z)  
 using *assms* *tm-char-tm* **by** *auto*  
 then show ?case  
 using *assms* *Cons* **by** *fastforce*  
**qed**

The result of writing the symbols  $zs$  to a tape  $tp$ :

**definition** *inscribe* :: *tape*  $\Rightarrow$  *symbol list*  $\Rightarrow$  *tape* **where**

*inscribe*  $tp$   $zs \equiv$   
 $(\lambda i. \text{if } \text{snd } tp \leq i \wedge i < \text{snd } tp + \text{length } zs \text{ then } zs ! (i - \text{snd } tp) \text{ else } \text{fst } tp \ i,$   
 $\text{snd } tp + \text{length } zs)$

**lemma** *inscribe-Nil*: *inscribe*  $tp$   $[] = tp$

**proof** –

**have**  $(\lambda i. \text{if } \text{snd } tp \leq i \wedge i < \text{snd } tp \text{ then } [] ! (i - \text{snd } tp) \text{ else } \text{fst } tp \ i) = \text{fst } tp$

**by** *auto*

**then show** *?thesis*

**unfolding** *inscribe-def* **by** *simp*

**qed**

**lemma** *inscribe-Cons*: *inscribe*  $((\text{fst } tp)(\text{snd } tp := z), \text{Suc } (\text{snd } tp)) \ zs = \text{inscribe } tp \ (z \# zs)$

**using** *inscribe-def* **by** *auto*

**lemma** *inscribe-contents*: *inscribe*  $(\lfloor ys \rfloor, \text{Suc } (\text{length } ys)) \ zs = (\lfloor ys @ zs \rfloor, \text{Suc } (\text{length } ys + \text{length } zs))$

(*is ?lhs = ?rhs*)

**proof**

**show** *snd ?lhs = snd ?rhs*

**using** *inscribe-def contents-def* **by** *simp*

**show** *fst ?lhs = fst ?rhs*

**proof**

**fix**  $i :: \text{nat}$

**consider**

$i = 0$

|  $0 < i \wedge i < \text{Suc } (\text{length } ys)$

|  $\text{Suc } (\text{length } ys) \leq i \wedge i < \text{Suc } (\text{length } ys + \text{length } zs)$

|  $\text{Suc } (\text{length } ys + \text{length } zs) \leq i$

**by** *linarith*

**then show** *fst ?lhs*  $i = \text{fst } ?rhs \ i$

**proof** (*cases*)

**case** 1

**then show** *?thesis*

**using** *inscribe-def contents-def* **by** *simp*

**next**

**case** 2

**then have** *fst ?lhs*  $i = \lfloor ys \rfloor \ i$

**using** *inscribe-def* **by** *simp*

**then have** *lhs*: *fst ?lhs*  $i = ys ! (i - 1)$

**using** 2 *contents-def* **by** *simp*

**have** *fst ?rhs*  $i = (ys @ zs) ! (i - 1)$

**using** 2 *contents-def* **by** *simp*

**then have** *fst ?rhs*  $i = ys ! (i - 1)$

**using** 2 **by** (*metis Suc-diff-1 not-less-eq nth-append*)

**then show** *?thesis*

**using** *lhs* **by** *simp*

**next**

**case** 3

**then show** *?thesis*

**using** *contents-def inscribe-def*

**by** (*smt (verit, del-insts) One-nat-def add.commute diff-Suc-eq-diff-pred fst-conv length-append less-Suc0 less-Suc-eq-le less-diff-conv2 nat.simps(3) not-less-eq nth-append plus-1-eq-Suc snd-conv*)

**next**

**case** 4

**then show** *?thesis*

**using** *contents-def inscribe-def*

**by** *simp*

**qed**

**qed**

**qed**

**lemma** *inscribe-contents-Nil*:  $\text{inscribe} (\llbracket \square \rrbracket, \text{Suc } 0) \text{ } zs = (\llbracket zs \rrbracket, \text{Suc} (\text{length } zs))$   
**using** *inscribe-def contents-def* **by** *auto*

**lemma** *transforms-tm-print*:

**assumes**  $j < \text{length } tps$

**shows**  $\text{transforms} (\text{tm-print } j \text{ } zs) \text{ } tps (\text{length } zs) (tps[j := \text{inscribe} (tps ! j) \text{ } zs])$

**using** *assms*

**proof** (*induction zs arbitrary: tps*)

**case** *Nil*

**then show** *?case*

**using** *inscribe-Nil transforms-Nil* **by** *simp*

**next**

**case** (*Cons z zs*)

**have**  $\text{transforms} (\text{tm-char } j \text{ } z ;; \text{tm-print } j \text{ } zs) \text{ } tps (\text{length } (z \# zs)) (tps[j := \text{inscribe} (tps ! j) (z \# zs)])$

**proof** (*tform tps: Cons*)

**let**  $?tps = tps[j := tps ! j | := | z | + | 1 ]$

**have**  $\text{transforms} (\text{tm-print } j \text{ } zs) \text{ } ?tps (\text{length } zs) (?tps[j := \text{inscribe} (?tps ! j) \text{ } zs])$

**using** *Cons* **by** (*metis length-list-update*)

**moreover have**  $(?tps[j := \text{inscribe} (?tps ! j) \text{ } zs]) = (tps[j := \text{inscribe} (tps ! j) (z \# zs)])$

**using** *inscribe-Cons Cons.prem* **by** *simp*

**ultimately show**  $\text{transforms} (\text{tm-print } j \text{ } zs) \text{ } ?tps (\text{length } zs) (tps[j := \text{inscribe} (tps ! j) (z \# zs)])$

**by** *simp*

**qed**

**then show**  $\text{transforms} (\text{tm-print } j (z \# zs)) \text{ } tps (\text{length } (z \# zs)) (tps[j := \text{inscribe} (tps ! j) (z \# zs)])$

**by** *simp*

**qed**

**lemma** *transforms-tm-printI* [*transforms-intros*]:

**assumes**  $j < \text{length } tps$  **and**  $tps' = (tps[j := \text{inscribe} (tps ! j) \text{ } zs])$

**shows**  $\text{transforms} (\text{tm-print } j \text{ } zs) \text{ } tps (\text{length } zs) \text{ } tps'$

**using** *assms transforms-tm-print* **by** *simp*

## 2.4.9 Setting the tape contents to a symbol sequence

The following Turing machine erases the tape, then prints a hard-coded symbol sequence, and then performs a carriage return. It thus sets the tape contents to the symbol sequence.

**definition** *tm-set* :: *tapeidx*  $\Rightarrow$  *symbol list*  $\Rightarrow$  *machine* **where**

$\text{tm-set } j \text{ } zs \equiv \text{tm-erase-cr } j ;; \text{tm-print } j \text{ } zs ;; \text{tm-cr } j$

**lemma** *tm-set-tm*:

**assumes**  $0 < j$  **and**  $j < k$  **and**  $G \geq 4$  **and**  $\forall i < \text{length } zs. zs ! i < G$

**shows** *turing-machine*  $k \text{ } G (\text{tm-set } j \text{ } zs)$

**unfolding** *tm-set-def* **using** *assms tm-print-tm tm-erase-cr-tm tm-cr-tm* **by** *simp*

**lemma** *transforms-tm-setI* [*transforms-intros*]:

**assumes**  $j < \text{length } tps$

**and** *clean-tape*  $(tps ! j)$

**and** *proper-symbols* *ys*

**and** *proper-symbols* *zs*

**and**  $tps \text{ } :: \text{ } j = \llbracket ys \rrbracket$

**and**  $t = 8 + tps \text{ } \# j + 2 * \text{length } ys + \text{Suc} (2 * \text{length } zs)$

**and**  $tps' = tps[j := (\llbracket zs \rrbracket, 1)]$

**shows**  $\text{transforms} (\text{tm-set } j \text{ } zs) \text{ } tps \text{ } t \text{ } tps'$

**unfolding** *tm-set-def*

**proof** (*tform tps: assms(1-5)*)

**show** *clean-tape*

$(tps[j := (\llbracket \square \rrbracket, 1)],$

$j := \text{inscribe} (tps[j := (\llbracket \square \rrbracket, 1)] ! j) \text{ } zs) ! j$

**using** *assms inscribe-contents-Nil clean-contents-proper[OF assms(4)]* **by** *simp*

**show**  $tps' = tps$

$[j := (\llbracket \square \rrbracket, 1), j := \text{inscribe} (tps[j := (\llbracket \square \rrbracket, 1)] ! j) \text{ } zs,$

$j := tps[j := (\llbracket \square \rrbracket, 1), j := \text{inscribe} (tps[j := (\llbracket \square \rrbracket, 1)] ! j) \text{ } zs] ! j \text{ } \# = | 1 ]$

**using** *assms inscribe-def clean-tape-def assms contents-def inscribe-contents-Nil* **by** *simp*

```

show  $t = tps \# : j + 2 * \text{length } ys + 6 + \text{length } zs +$ 
  ( $tps[j] := ([\square], 1)$ ,
    $j := \text{inscribe } (tps[j] := ([\square], 1)) ! j \text{ } zs \# : j + 2$ )
using assms inscribe-def by simp
qed

```

## 2.4.10 Comparing two tapes

The next Turing machine compares the contents of two tapes  $j_1$  and  $j_2$  and writes to tape  $j_3$  either a  $\mathbf{1}$  or a  $\square$  depending on whether the tapes are equal or not. The next command does all the work. It scans both tapes left to right and halts if it encounters a blank on both tapes, which means the tapes are equal, or two different symbols, which means the tapes are unequal. It only works for contents without blanks.

```

definition cmd-cmp :: tapeidx  $\Rightarrow$  tapeidx  $\Rightarrow$  tapeidx  $\Rightarrow$  command where
  cmd-cmp  $j_1$   $j_2$   $j_3$   $rs \equiv$ 
    if  $rs ! j_1 \neq rs ! j_2$ 
    then  $(1, \text{map } (\lambda i. (\text{if } i = j_3 \text{ then } \square \text{ else } rs ! i, \text{Stay})) [0..<\text{length } rs])$ 
    else if  $rs ! j_1 = \square \vee rs ! j_2 = \square$ 
    then  $(1, \text{map } (\lambda i. (\text{if } i = j_3 \text{ then } \mathbf{1} \text{ else } rs ! i, \text{Stay})) [0..<\text{length } rs])$ 
    else  $(0, \text{map } (\lambda i. (rs ! i, \text{if } i = j_1 \vee i = j_2 \text{ then } \text{Right} \text{ else } \text{Stay})) [0..<\text{length } rs])$ 

```

```

lemma sem-cmd-cmp1:
assumes  $\text{length } tps = k$ 
  and  $j_1 < k$  and  $j_2 < k$  and  $j_3 < k$ 
  and  $tps \# : j_1 \neq tps \# : j_2$ 
shows  $\text{sem } (\text{cmd-cmp } j_1 \ j_2 \ j_3) (0, tps) = (1, tps[j_3] := tps ! j_3 \ |:=| \ \square)$ 
unfolding cmd-cmp-def using assms tapes-at-read' act-Stay read-length by (intro semI) auto

```

```

lemma sem-cmd-cmp2:
assumes  $\text{length } tps = k$ 
  and  $j_1 < k$  and  $j_2 < k$  and  $j_3 < k$ 
  and  $tps \# : j_1 = tps \# : j_2$  and  $tps \# : j_1 = \square \vee tps \# : j_2 = \square$ 
shows  $\text{sem } (\text{cmd-cmp } j_1 \ j_2 \ j_3) (0, tps) = (1, tps[j_3] := tps ! j_3 \ |:=| \ \mathbf{1})$ 
unfolding cmd-cmp-def using assms tapes-at-read' act-Stay read-length by (intro semI) auto

```

```

lemma sem-cmd-cmp3:
assumes  $\text{length } tps = k$ 
  and  $j_2 \neq j_3$  and  $j_1 \neq j_3$  and  $j_1 < k$  and  $j_2 < k$  and  $j_3 < k$ 
  and  $tps \# : j_1 = tps \# : j_2$  and  $tps \# : j_1 \neq \square \wedge tps \# : j_2 \neq \square$ 
shows  $\text{sem } (\text{cmd-cmp } j_1 \ j_2 \ j_3) (0, tps) = (0, tps[j_1] := tps ! j_1 \ |+\ 1, j_2 := tps ! j_2 \ |+\ 1)$ 
proof (rule semI)
  show proper-command  $k$  (cmd-cmp  $j_1$   $j_2$   $j_3$ )
    using cmd-cmp-def by simp
  show  $\text{length } tps = k$ 
    using assms(1) .
  show  $\text{length } (tps[j_1] := tps ! j_1 \ |+\ 1, j_2 := tps ! j_2 \ |+\ 1) = k$ 
    using assms(1) by simp
  show  $\text{fst } ((\text{cmd-cmp } j_1 \ j_2 \ j_3) (\text{read } tps)) = 0$ 
    unfolding cmd-cmp-def using assms tapes-at-read' by simp
  show  $\text{act } (\text{cmd-cmp } j_1 \ j_2 \ j_3 (\text{read } tps) [! \ j]) (tps ! j) = tps[j_1] := tps ! j_1 \ |+\ 1, j_2 := tps ! j_2 \ |+\ 1 ! j$ 
    if  $j < k$  for  $j$ 
    unfolding cmd-cmp-def
    using assms tapes-at-read' that act-Stay act-Right read-length
    by (cases  $j_1 = j_2$ ) simp-all
qed

```

```

definition tm-cmp :: tapeidx  $\Rightarrow$  tapeidx  $\Rightarrow$  tapeidx  $\Rightarrow$  machine where
  tm-cmp  $j_1$   $j_2$   $j_3 \equiv [\text{cmd-cmp } j_1 \ j_2 \ j_3]$ 

```

```

lemma tm-cmp-tm:
assumes  $k \geq 2$  and  $j_3 > 0$  and  $G \geq 4$ 
shows turing-machine  $k$   $G$  (tm-cmp  $j_1$   $j_2$   $j_3$ )
unfolding tm-cmp-def cmd-cmp-def using assms turing-machine-def by auto

```



**lemma** *exe-cmd-cmp1*:

**assumes**  $\text{length } tps = k$   
**and**  $j1 < k$  **and**  $j2 < k$  **and**  $j3 < k$   
**and**  $tps \text{ :: } j1 \neq tps \text{ :: } j2$   
**shows**  $\text{exe } (tm\text{-cmp } j1 \ j2 \ j3) \ (0, \ tps) = (1, \ tps[j3 := tps ! j3 \ |:=| \ \square])$   
**using** *tm-cmp-def* *assms* *exe-lt-length* *sem-cmd-cmp1* **by** *simp*

**lemma** *exe-cmd-cmp2*:

**assumes**  $\text{length } tps = k$   
**and**  $j1 < k$  **and**  $j2 < k$  **and**  $j3 < k$   
**and**  $tps \text{ :: } j1 = tps \text{ :: } j2$  **and**  $tps \text{ :: } j1 = \square \vee tps \text{ :: } j2 = \square$   
**shows**  $\text{exe } (tm\text{-cmp } j1 \ j2 \ j3) \ (0, \ tps) = (1, \ tps[j3 := tps ! j3 \ |:=| \ \mathbf{1}])$   
**using** *tm-cmp-def* *assms* *exe-lt-length* *sem-cmd-cmp2* **by** *simp*

**lemma** *exe-cmd-cmp3*:

**assumes**  $\text{length } tps = k$   
**and**  $j2 \neq j3$  **and**  $j1 \neq j3$  **and**  $j1 < k$  **and**  $j2 < k$  **and**  $j3 < k$   
**and**  $tps \text{ :: } j1 = tps \text{ :: } j2$  **and**  $tps \text{ :: } j1 \neq \square \wedge tps \text{ :: } j2 \neq \square$   
**shows**  $\text{exe } (tm\text{-cmp } j1 \ j2 \ j3) \ (0, \ tps) = (0, \ tps[j1 := tps ! j1 \ |+\ 1, \ j2 := tps ! j2 \ |+\ 1])$   
**using** *tm-cmp-def* *assms* *exe-lt-length* *sem-cmd-cmp3* **by** *simp*

**lemma** *execute-tm-cmp-eq*:

**fixes**  $tps \text{ :: tape list}$   
**assumes**  $\text{length } tps = k$   
**and**  $j2 \neq j3$  **and**  $j1 \neq j3$  **and**  $j1 < k$  **and**  $j2 < k$  **and**  $j3 < k$   
**and** *proper-symbols*  $xs$   
**and**  $tps ! j1 = (\lfloor xs \rfloor, \ 1)$   
**and**  $tps ! j2 = (\lfloor xs \rfloor, \ 1)$   
**shows**  $\text{execute } (tm\text{-cmp } j1 \ j2 \ j3) \ (0, \ tps) \ (Suc \ (\text{length } xs)) =$   
 $(1, \ tps[j1 := tps ! j1 \ |+\ \text{length } xs, \ j2 := tps ! j2 \ |+\ \text{length } xs, \ j3 := tps ! j3 \ |:=| \ \mathbf{1}])$

**proof** –

**have**  $\text{execute } (tm\text{-cmp } j1 \ j2 \ j3) \ (0, \ tps) \ t = (0, \ tps[j1 := tps ! j1 \ |+\ t, \ j2 := tps ! j2 \ |+\ t])$   
**if**  $t < \text{length } xs$  **for**  $t$   
**using** *that*

**proof** (*induction*  $t$ )

**case**  $0$

**then show** *?case*

**by** *simp*

**next**

**case** ( $Suc \ t$ )

**then have**  $t\text{-less: } t < \text{length } xs$

**by** *simp*

**have**  $\text{execute } (tm\text{-cmp } j1 \ j2 \ j3) \ (0, \ tps) \ (Suc \ t) = \text{exe } (tm\text{-cmp } j1 \ j2 \ j3) \ (\text{execute } (tm\text{-cmp } j1 \ j2 \ j3) \ (0, \ tps)$

$t)$

**by** *simp*

**also have**  $\dots = \text{exe } (tm\text{-cmp } j1 \ j2 \ j3) \ (0, \ tps[j1 := tps ! j1 \ |+\ t, \ j2 := tps ! j2 \ |+\ t])$

(*is*  $\text{exe} - (0, \ ?tps)$ )

**using** *Suc* **by** *simp*

**also have**  $\dots = (0, \ ?tps[j1 := ?tps ! j1 \ |+\ 1, \ j2 := ?tps ! j2 \ |+\ 1])$

**proof** –

**have**  $1: \ ?tps \text{ :: } j1 = xs ! t$

**using** *assms(1,2,4,8)*  $t\text{-less}$  *Suc.premis contents-inbounds*

**by** (*metis* (*no-types*, *lifting*) *diff-Suc-1* *fst-conv* *length-list-update* *nth-list-update-eq* *nth-list-update-neq* *plus-1-eq-Suc* *snd-conv* *zero-less-Suc*)

**moreover have**  $2: \ ?tps \text{ :: } j2 = xs ! t$

**using**  $t\text{-less}$  *assms(1,5,9)* **by** *simp*

**ultimately have**  $?tps \text{ :: } j1 = ?tps \text{ :: } j2$

**by** *simp*

**moreover have**  $?tps \text{ :: } j1 \neq 0 \wedge ?tps \text{ :: } j2 \neq 0$

**using**  $1 \ 2$  *assms(7)*  $t\text{-less}$  **by** *auto*

**moreover have**  $\text{length } ?tps = k$

**using** *assms(1)* **by** *simp*

**ultimately show** *?thesis*

```

    using assms exe-cmd-cmp3 by blast
  qed
  also have ... = (0, tps[j1 := tps ! j1 |+| Suc t, j2 := tps ! j2 |+| Suc t])
    using assms
    by (smt (verit) Suc-eq-plus1 add.commute fst-conv list-update-overwrite list-update-swap
      nth-list-update-eq nth-list-update-neq snd-conv)
  finally show ?case
    by simp
  qed
  then have execute (tm-cmp j1 j2 j3) (0, tps) (length xs) =
    (0, tps[j1 := tps ! j1 |+| length xs, j2 := tps ! j2 |+| length xs])
    by simp
  then have execute (tm-cmp j1 j2 j3) (0, tps) (Suc (length xs)) =
    exe (tm-cmp j1 j2 j3) (0, tps[j1 := tps ! j1 |+| length xs, j2 := tps ! j2 |+| length xs])
    (is - = exe - (0, ?tps))
    by simp
  also have ... = (1, ?tps[j3 := ?tps ! j3 |:=| 1])
  proof -
    have 1: ?tps :: j1 = 0
      using assms(1,4,8) contents-outofbounds
      by (metis fst-conv length-list-update lessI nth-list-update-eq nth-list-update-neq plus-1-eq-Suc snd-conv)
    moreover have 2: ?tps :: j2 = 0
      using assms(1,5,9) by simp
    ultimately have ?tps :: j1 = ?tps :: j2 ?tps :: j1 =  $\square$   $\vee$  ?tps :: j2 =  $\square$ 
      by simp-all
    moreover have length ?tps = k
      using assms(1) by simp
    ultimately show ?thesis
      using assms exe-cmd-cmp2 by blast
  qed
  also have ... = (1, tps[j1 := tps ! j1 |+| length xs, j2 := tps ! j2 |+| length xs, j3 := tps ! j3 |:=| 1])
    using assms by simp
  finally show ?thesis .
  qed

```

**lemma** *ex-contents-neq*:

```

  assumes proper-symbols xs and proper-symbols ys and  $xs \neq ys$ 
  shows  $\exists m. m \leq \text{Suc} (\min (\text{length } xs) (\text{length } ys)) \wedge [xs] m \neq [ys] m$ 
  proof -
    consider length xs < length ys | length xs = length ys | length xs > length ys
    by linarith
    then show ?thesis
    proof (cases)
      case 1
      let ?m = length xs
      have [xs] (Suc ?m) =  $\square$ 
        by simp
      moreover have [ys] (Suc ?m)  $\neq$   $\square$ 
        using 1 assms(2) by (simp add: proper-symbols-ne0)
      ultimately show ?thesis
        using 1 by auto
    next
      case 2
      then have  $\exists i < \text{length } xs. xs ! i \neq ys ! i$ 
        using assms by (meson list-eq-iff-nth-eq)
      then show ?thesis
        using contents-def 2 by auto
    next
      case 3
      let ?m = length ys
      have [ys] (Suc ?m) =  $\square$ 
        by simp
      moreover have [xs] (Suc ?m)  $\neq$   $\square$ 

```

```

    using 3 assms(1) by (simp add: proper-symbols-ne0)
    ultimately show ?thesis
    using 3 by auto
qed
qed

lemma execute-tm-cmp-neq:
  fixes tps :: tape list
  assumes length tps = k
    and j1 ≠ j2 and j2 ≠ j3 and j1 ≠ j3 and j1 < k and j2 < k and j3 < k
    and proper-symbols xs
    and proper-symbols ys
    and xs ≠ ys
    and tps ! j1 = ([xs], 1)
    and tps ! j2 = ([ys], 1)
    and m = (LEAST m. m ≤ Suc (min (length xs) (length ys)) ∧ [xs] m ≠ [ys] m)
  shows execute (tm-cmp j1 j2 j3) (0, tps) m =
    (1, tps[j1 := tps ! j1 |+| (m - 1), j2 := tps ! j2 |+| (m - 1), j3 := tps ! j3 |:=| □])
proof -
  have neq: [xs] m ≠ [ys] m
    using ex-contents-neq[OF assms(8-10)] assms(13) by (metis (mono-tags, lifting) LeastI-ex)
  have eq: [xs] i = [ys] i if i < m for i
    using ex-contents-neq[OF assms(8-10)] assms(13) not-less-Least that by (smt (verit) Least-le le-trans
less-imp-le-nat)
  have m > 0
    using neq contents-def gr0I by metis

  have execute (tm-cmp j1 j2 j3) (0, tps) t = (0, tps[j1 := tps ! j1 |+| t, j2 := tps ! j2 |+| t])
    if t < m for t
    using that
  proof (induction t)
    case 0
    then show ?case
      by simp
    next
    case (Suc t)
    have execute (tm-cmp j1 j2 j3) (0, tps) (Suc t) = exe (tm-cmp j1 j2 j3) (execute (tm-cmp j1 j2 j3) (0, tps)
t)
      by simp
    also have ... = exe (tm-cmp j1 j2 j3) (0, tps[j1 := tps ! j1 |+| t, j2 := tps ! j2 |+| t])
      (is - = exe - (0, ?tps))
    using Suc by simp
    also have ... = (0, ?tps[j1 := ?tps ! j1 |+| 1, j2 := ?tps ! j2 |+| 1])
  proof -
    have 1: ?tps :: j1 = [xs] (Suc t)
      using assms(1,2,5,11) by simp
    moreover have 2: ?tps :: j2 = [ys] (Suc t)
      using assms(1,6,12) by simp
    ultimately have ?tps :: j1 = ?tps :: j2
      using Suc eq by simp
    moreover from this have ?tps :: j1 ≠ □ ∧ ?tps :: j2 ≠ □
      using 1 2 assms neq Suc.prem contents-def
    by (smt (verit) Suc-leI Suc-le-lessD Suc-lessD diff-Suc-1 le-trans less-nat-zero-code zero-less-Suc)
    moreover have length ?tps = k
      using assms(1) by simp
    ultimately show ?thesis
      using assms exe-cmd-cmp3 by blast
  qed
  also have ... = (0, tps[j1 := tps ! j1 |+| Suc t, j2 := tps ! j2 |+| Suc t])
    using assms
    by (smt (verit) Suc-eq-plus1 add.commute fst-conv list-update-overwrite list-update-swap
nth-list-update-eq nth-list-update-neq snd-conv)
  finally show ?case

```

by *simp*  
 qed  
 then have *execute* (*tm-cmp* *j1 j2 j3*) (*0, tps*) (*m - 1*) =  
   (*0, tps[j1 := tps ! j1 |+| (m - 1), j2 := tps ! j2 |+| (m - 1)]*)  
 using  $\langle m > 0 \rangle$  by *simp*  
 then have *execute* (*tm-cmp* *j1 j2 j3*) (*0, tps*) *m* =  
   *exe* (*tm-cmp* *j1 j2 j3*) (*0, tps[j1 := tps ! j1 |+| (m - 1), j2 := tps ! j2 |+| (m - 1)]*)  
 using  $\langle m > 0 \rangle$  by (*metis contents-at-0 diff-Suc-1 execute.elims neq*)  
 then show *execute* (*tm-cmp* *j1 j2 j3*) (*0, tps*) *m* =  
   (*1, tps[j1 := tps ! j1 |+| (m - 1), j2 := tps ! j2 |+| (m - 1), j3 := tps ! j3 |:=|  $\square$ ]*)  
 using *exe-cmd-cmp1* *assms*  $\langle 0 < m \rangle$   
 by (*smt* (*verit*) *One-nat-def Suc-diff-Suc diff-zero fst-conv length-list-update neq nth-list-update-eq*  
   *nth-list-update-neq plus-1-eq-Suc snd-conv*)  
 qed

lemma *transforms-tm-cmpI* [*transforms-intros*]:

fixes *tps* :: *tape list*  
 assumes *length* *tps* = *k*  
 and  $j1 \neq j2$  and  $j2 \neq j3$  and  $j1 \neq j3$  and  $j1 < k$  and  $j2 < k$  and  $j3 < k$   
 and *proper-symbols* *xs*  
 and *proper-symbols* *ys*  
 and  $tps ! j1 = (\lfloor xs \rfloor, 1)$   
 and  $tps ! j2 = (\lfloor ys \rfloor, 1)$   
 and  $t = \text{Suc} (\min (\text{length } xs) (\text{length } ys))$   
 and  $b = (\text{if } xs = ys \text{ then } \mathbf{1} \text{ else } \square)$   
 and  $m =$   
   (*if*  $xs = ys$   
   *then*  $\text{Suc} (\text{length } xs)$   
   *else* (*LEAST*  $m. m \leq \text{Suc} (\min (\text{length } xs) (\text{length } ys)) \wedge \lfloor xs \rfloor m \neq \lfloor ys \rfloor m$ )  
 and  $tps' = tps[j1 := (\lfloor xs \rfloor, m), j2 := (\lfloor ys \rfloor, m), j3 := tps ! j3 |:=| b]$   
 shows *transforms* (*tm-cmp* *j1 j2 j3*) *tps* *t*  $tps'$   
 proof (*cases*  $xs = ys$ )  
 case *True*  
 then have  $m: m = \text{Suc} (\text{length } xs)$   
   using *assms*(14) by *simp*  
 have *execute* (*tm-cmp* *j1 j2 j3*) (*0, tps*) ( $\text{Suc} (\text{length } xs)$ ) =  
   (*1, tps[j1 := tps ! j1 |+| length xs, j2 := tps ! j2 |+| length xs, j3 := tps ! j3 |:=|  $\mathbf{1}$ ]*)  
   using *execute-tm-cmp-eq* *assms* *True* by *blast*  
 then have *execute* (*tm-cmp* *j1 j2 j3*) (*0, tps*) *m* =  
   (*1, tps[j1 := tps ! j1 |+| (m - 1), j2 := tps ! j2 |+| (m - 1), j3 := tps ! j3 |:=| b]*)  
   using  $m$  *assms*(13) *True* *diff-Suc-1* by *simp*  
 moreover have  $m \leq t$   
   using *True* *assms*(12)  $m$  by *simp*  
 ultimately show *?thesis*  
   using *transitsI tm-cmp-def transforms-def* *assms* *True*  
   by (*metis* (*no-types, lifting*) *One-nat-def add commute diff-Suc-1 fst-conv list.size(3) list.size(4) plus-1-eq-Suc*  
   *snd-conv*)  
 next  
 case *False*  
 then have  $m: m = (\text{LEAST } m. m \leq \text{Suc} (\min (\text{length } xs) (\text{length } ys)) \wedge \lfloor xs \rfloor m \neq \lfloor ys \rfloor m)$   
   using *assms*(14) by *simp*  
 then have *execute* (*tm-cmp* *j1 j2 j3*) (*0, tps*) *m* =  
   (*1, tps[j1 := tps ! j1 |+| (m - 1), j2 := tps ! j2 |+| (m - 1), j3 := tps ! j3 |:=|  $\square$ ]*)  
   using *False* *assms* *execute-tm-cmp-neq* by *blast*  
 then have *execute* (*tm-cmp* *j1 j2 j3*) (*0, tps*) *m* =  
   (*1, tps[j1 := tps ! j1 |+| (m - 1), j2 := tps ! j2 |+| (m - 1), j3 := tps ! j3 |:=| b]*)  
   using *False* by (*simp* *add: assms*(13))  
 moreover have  $m \leq t$   
   using *ex-contents-neq*[*OF* *assms*(8,9)] *False* *assms*(12)  $m$  by (*metis* (*mono-tags, lifting*) *LeastI*)  
 ultimately show *?thesis*  
   using *transitsI tm-cmp-def transforms-def* *assms* *False*  
   by (*metis* (*no-types, lifting*) *One-nat-def Suc-eq-plus1 Suc-pred add commute execute.simps(1)*  
   *fst-eqD list.size(3) list.size(4) not-gr0 numeral-One snd-conv zero-neq-numeral*)

qed

The next Turing machine extends *tm-cmp* by a carriage return on tapes  $j_1$  and  $j_2$ , ensuring that the next command finds the tape heads in a well-specified position. This makes the TM easier to reuse.

**definition** *tm-equals* :: *tapeidx*  $\Rightarrow$  *tapeidx*  $\Rightarrow$  *tapeidx*  $\Rightarrow$  *machine* **where**  
*tm-equals*  $j_1 j_2 j_3 \equiv$  *tm-cmp*  $j_1 j_2 j_3$  ;; *tm-cr*  $j_1$  ;; *tm-cr*  $j_2$

**lemma** *tm-equals-tm*:

**assumes**  $k \geq 2$  **and**  $j_3 > 0$  **and**  $G \geq 4$

**shows** *turing-machine*  $k G$  (*tm-equals*  $j_1 j_2 j_3$ )

**unfolding** *tm-equals-def* **using** *tm-cmp-tm* *tm-cr-tm* *assms* **by** *simp*

We analyze the behavior of *tm-equals* inside a locale. This is how we will typically proceed for Turing machines that are composed of more than two TMs. The locale is parameterized by the TM's parameters, which in the present case means the three tape indices  $j_1$ ,  $j_2$ , and  $j_3$ . Inside the locale the TM is decomposed such that proofs of *transforms* only involve two TMs combined by one of the three control structures (sequence, branch, loop). In the current example we have three TMs named *tm1*, *tm2*, *tm3*, where *tm3* is just *tm-equals*. Furthermore there will be lemmas *tm1*, *tm2*, *tm3* describing, in terms of *transforms*, the behavior of the respective TMs. For this we define three tape lists *tps1*, *tps2*, *tps3*.

This naming scheme creates many name clashes for things that only have a single use. That is the reason for the encapsulation in a locale.

Afterwards this locale is interpreted, just once in lemma *transforms-tm-equalsI*, to prove the semantics and running time of *tm-equals*.

**locale** *turing-machine-equals* =

**fixes**  $j_1 j_2 j_3$  :: *tapeidx*

**begin**

**definition** *tm1*  $\equiv$  *tm-cmp*  $j_1 j_2 j_3$

**definition** *tm2*  $\equiv$  *tm1* ;; *tm-cr*  $j_1$

**definition** *tm3*  $\equiv$  *tm2* ;; *tm-cr*  $j_2$

**lemma** *tm3-eq-tm-equals*: *tm3* = *tm-equals*  $j_1 j_2 j_3$

**unfolding** *tm3-def* *tm2-def* *tm1-def* *tm-equals-def* **by** *simp*

**context**

**fixes** *tps0* :: *tape list* **and**  $k t b$  :: *nat* **and** *xs ys* :: *symbol list*

**assumes**  $jk$  [*simp*]:  $\text{length } tps0 = k$   $j_1 \neq j_2$   $j_2 \neq j_3$   $j_1 \neq j_3$   $j_1 < k$   $j_2 < k$   $j_3 < k$

**and** *proper*: *proper-symbols* *xs* *proper-symbols* *ys*

**and**  $t$ :  $t = \text{Suc } (\text{min } (\text{length } xs) (\text{length } ys))$

**and**  $b$ :  $b = (\text{if } xs = ys \text{ then } 3 \text{ else } 0)$

**assumes** *tps0*:

$tps0 ! j_1 = (\lfloor xs \rfloor, 1)$

$tps0 ! j_2 = (\lfloor ys \rfloor, 1)$

**begin**

**definition** *m*  $\equiv$

$(\text{if } xs = ys$

$\text{then } \text{Suc } (\text{length } xs)$

$\text{else } (\text{LEAST } m. m \leq \text{Suc } (\text{min } (\text{length } xs) (\text{length } ys)) \wedge \lfloor xs \rfloor m \neq \lfloor ys \rfloor m))$

**lemma** *m-gr-0*:  $m > 0$

**proof** –

**have**  $\lfloor xs \rfloor m \neq \lfloor ys \rfloor m$  **if**  $xs \neq ys$

**using** *ex-contents-neq* *LeastI-ex* *m-def* *proper* **that** **by** (*metis* (*mono-tags*, *lifting*))

**then show**  $m > 0$

**using** *m-def* **by** (*metis* *contents-at-0* *gr0I* *less-Suc-eq-0-disj*)

qed

**lemma** *m-le-t*:  $m \leq t$

**proof** (*cases*  $xs = ys$ )

**case** *True*

```

then show ?thesis
  using t m-def by simp
next
case False
then have m < Suc (min (length xs) (length ys))
  using ex-contents-neq False proper m-def by (metis (mono-tags, lifting) LeastI-ex)
then show ?thesis
  using t by simp
qed

```

**definition**  $tps1 \equiv tps0[j1 := (\lfloor xs \rfloor, m), j2 := (\lfloor ys \rfloor, m), j3 := tps0 ! j3 |:=| b]$

**lemma**  $tm1$  [transforms-intros]: transforms  $tm1$   $tps0$   $t$   $tps1$

```

unfolding tm1-def
proof (tform tps: tps0 tps1-def m-def b time: t)
  show proper-symbols xs proper-symbols ys
    using proper by simp-all
qed

```

**definition**  $tps2 \equiv tps0[j1 := (\lfloor xs \rfloor, 1), j2 := (\lfloor ys \rfloor, m), j3 := tps0 ! j3 |:=| b]$

**lemma**  $tm2$ :

```

assumes ttt = t + m + 2
shows transforms tm2 tps0 ttt tps2
unfolding tm2-def
proof (tform tps: tps1-def)
  show clean-tape (tps1 ! j1)
    using tps1-def clean-contents-proper jk proper(1)
    by (metis nth-list-update-eq nth-list-update-neq)
  show ttt = t + (tps1 :#: j1 + 2)
    using tps1-def tps0 jk assms
    by (metis (no-types, lifting) ab-semigroup-add-class.add-ac(1) nth-list-update-eq nth-list-update-neq snd-conv)
  show tps2 = tps1[j1 := tps1 ! j1 |#|= 1]
    unfolding tps2-def tps1-def by (simp add: list-update-swap[of j1])
qed

```

**lemma**  $tm2'$  [transforms-intros]: transforms  $tm2$   $tps0$   $(2 * t + 2)$   $tps2$

using  $m\text{-le-}t$   $tm2$  transforms-monotone by simp

**definition**  $tps3 \equiv tps0[j1 := (\lfloor xs \rfloor, 1), j2 := (\lfloor ys \rfloor, 1), j3 := tps0 ! j3 |:=| b]$

**lemma**  $tm3$ :

```

assumes ttt = 2 * t + m + 4
shows transforms tm3 tps0 ttt tps3
unfolding tm3-def
proof (tform tps: tps2-def tps3-def)
  have *: tps2 ! j2 = (\lfloor ys \rfloor, m)
    using tps2-def by (simp add: nth-list-update-neq)
  then show clean-tape (tps2 ! j2)
    using clean-contents-proper proper(2) by simp
  show ttt = 2 * t + 2 + (tps2 :#: j2 + 2)
    using assms * by simp
  show tps3 = tps2[j2 := tps2 ! j2 |#|= 1]
    unfolding tps3-def tps2-def by (simp add: list-update-swap[of j2])
qed

```

**definition**  $tps3' \equiv tps0[j3 := tps0 ! j3 |:=| b]$

**lemma**  $tm3'$ : transforms  $tm3$   $tps0$   $(3 * \min (\text{length } xs) (\text{length } ys) + 7)$   $tps3'$

```

proof -
  have tps3' = tps3
    using tps3'-def tps3-def tps0 jk by (metis list-update-id)
  then show ?thesis

```

using *m-le-t tm3 transforms-monotone t* by *simp*  
qed

end

end

lemma *transforms-tm-equalsI* [*transforms-intros*]:

fixes *j1 j2 j3* :: *tapeidx*

fixes *tps tps'* :: *tape list* and *k* :: *nat* and *xs ys* :: *symbol list* and *b* :: *symbol*

assumes *length tps = k j1 ≠ j2 j2 ≠ j3 j1 ≠ j3 j1 < k j2 < k j3 < k*

and *proper-symbols xs proper-symbols ys*

and *b = (if xs = ys then 1 else □)*

assumes

*tps ! j1 = (⌊xs⌋, 1)*

*tps ! j2 = (⌊ys⌋, 1)*

assumes *ttt = 3 \* min (length xs) (length ys) + 7*

assumes *tps' = tps*

*[j3 := tps ! j3 |:=| b]*

shows *transforms (tm-equals j1 j2 j3) tps ttt tps'*

proof –

interpret *loc: turing-machine-equals j1 j2 j3* .

show *?thesis*

using *assms loc.tm3' loc.tm3-eq-tm-equals loc.tps3'-def* by *simp*

qed

## 2.4.11 Computing the identity function

In order to compute the identity function, a Turing machine can just copy the input tape to the output tape:

definition *tm-id* :: *machine* where

*tm-id ≡ tm-cp-until 0 1 {□}*

lemma *tm-id-tm*:

assumes *1 < k* and *G ≥ 4*

shows *turing-machine k G tm-id*

unfolding *tm-id-def* using *assms tm-cp-until-tm* by *simp*

lemma *transforms-tm-idI*:

fixes *zs* :: *symbol list* and *k* :: *nat* and *tps* :: *tape list*

assumes *1 < k*

and *proper-symbols zs*

and *tps = snd (start-config k zs)*

and *tps' = tps[0 := (⌊zs⌋, (Suc (length zs))), 1 := (⌊zs⌋, (Suc (length zs)))]*

shows *transforms tm-id tps (Suc (Suc (length zs))) tps'*

proof –

let *?n = Suc (length zs)*

define *tps2* where

*tps2 = tps[0 := tps ! 0 |+| (Suc (length zs)), 1 := implant (tps ! 0) (tps ! 1) (Suc (length zs))]*

have *1: rneigh (tps ! 0) {□} ?n*

proof (rule *rneighI*)

show *(tps ::: 0) (tps :#: 0 + Suc (length zs)) ∈ {□}*

using *start-config2 start-config3 assms* by (*simp add: start-config-def*)

show *∧ n'. n' < Suc (length zs) ⇒ (tps ::: 0) (tps :#: 0 + n') ∉ {□}*

using *start-config2 start-config3 start-config-pos assms*

by (*metis One-nat-def Suc-lessD add-cancel-right-left diff-Suc-1 less-Suc-eq-0-disj less-Suc-eq-le not-one-less-zero singletonD*)

qed

have *2: length tps = k*

using *assms(1,3)* by (*simp add: start-config-length*)

have *\*\*:* *transforms tm-id tps (Suc ?n) tps2*

unfolding *tm-id-def* using *transforms-tm-cp-untilI[OF - assms(1) 2 1 - tps2-def]* *assms(1)* by *simp*

```

have 0: tps ! 0 = ([zs], 0)
  using assms start-config-def contents-def by auto
moreover have tps ! 1 = ([[]], 0)
  using assms start-config-def contents-def by auto
moreover have implant ([zs], 0) ([[]], 0) ?n = ([zs], ?n)
  by (rule implantI'') simp-all
ultimately have implant (tps ! 0) (tps ! 1) ?n = ([zs], ?n)
  by simp
then have tps2 = tps[0 := tps ! 0 |+| ?n, 1 := ([zs], ?n)]
  using tps2-def by simp
then have tps2 = tps[0 := ([zs], ?n), 1 := ([zs], ?n)]
  using 0 by simp
then have tps2 = tps'
  using assms(4) by simp
then show ?thesis
  using ** by simp
qed

```

The identity function is computable with a time bound of  $n + 2$ .

**lemma** *computes-id: computes-in-time 2 tm-id id* ( $\lambda n. \text{Suc} (\text{Suc } n)$ )

**proof**

```

fix x :: string
let ?zs = string-to-symbols x
let ?start = snd (start-config 2 ?zs)
let ?T =  $\lambda n. \text{Suc} (\text{Suc } n)$ 
let ?tps = ?start[0 := ([?zs], (Suc (length ?zs))), 1 := ([?zs], (Suc (length ?zs)))]
have proper-symbols ?zs
  by simp
then have transforms tm-id ?start (Suc (Suc (length ?zs))) ?tps
  using transforms-tm-idI One-nat-def less-2-cases-iff by blast
then have transforms tm-id ?start (?T (length x)) ?tps
  by simp
moreover have ?tps ::: 1 = string-to-contents (id x)
  by (auto simp add: start-config-length)
ultimately show  $\exists tps. tps ::: 1 = \text{string-to-contents} (\text{id } x) \wedge \text{transforms tm-id } ?start (?T (\text{length } x)) tps$ 
  by auto
qed

```

**end**

## 2.5 Memorizing in states

**theory** *Memorizing*

**imports** *Elementary*

**begin**

Some Turing machines are best described by allowing them to memorize values in their states. For example, a TM that adds two binary numbers could memorize the carry bit in states. In the textbook definition of TMs, with arbitrary state space, this can be represented by a state space of the form  $Q \times \{0, 1\}$ , where 0 and 1 represent the memorized values. In our simplified definition of TMs, where the state space is an interval of natural numbers, this does not work. However, there is a workaround. Since we can have arbitrarily many tapes, we can make the TM store this value on an additional tape. Such a memorization tape could be used to write/read a symbol representing the memorized value. The tape head would never move on such a tape. The behavior of the TM can then depend on the memorized value.

By adding several such tapes we can even have more than one value stored simultaneously as well. However, this method increases the number of tapes, and one part of the proof of the Cook-Levin theorem is showing that every TM can be simulated on a two-tape TM (see Chapter 5.3). How to remove such memorization tapes again without changing the behavior of the TM is the subject of this section.

The straightforward idea is to multiply the states by the number of possible values. So if the original TM has  $Q$  non-halting states and memorizes  $G$  different values, the new TM has  $Q \cdot G$  non-halting states. It



would be natural to map a pair  $(q, g)$  of state and memorized value to  $q \cdot G + g$  or to  $g \cdot Q + q$ . However, there is a small technical problem.

The memorization tape is initialized, like all tapes in a start configuration, with the head on the leftmost cell, which contains the start symbol. Thus the initially memorized value is the number 1 representing  $\triangleright$ . The new TM must start in the start state, which we have fixed at 0. Thus the state-value pair  $(0, 1)$  must be mapped to 0, which neither of the two natural mappings does. Our workaround is to use the mapping  $(q, g) \mapsto ((g - 1) \bmod G) \cdot Q + q$ .

The following function maps a Turing machine  $M$  that memorizes one value from  $\{0, \dots, G - 1\}$  on its last tape to a TM that has one tape less, has  $G$  times the number of non-halting states, and behaves just like  $M$ . The name “cartesian” for this function is just a funny term I made up.

**definition** *cartesian* :: *machine*  $\Rightarrow$  *nat*  $\Rightarrow$  *machine* **where**

```

cartesian M G  $\equiv$ 
  concat
    (map ( $\lambda h$ . map ( $\lambda q$  rs.
      let (q', as) = (M ! q) (rs @ [(h + 1) mod G])
      in (if q' = length M then G * length M else (fst (last as) + G - 1) mod G * length M + q',
        butlast as))
      [0.. $\text{length } M$ ])
    [0.. $G$ ])

```

**lemma** *length-concat-const*:

```

assumes  $\bigwedge h$ . length (f h) = c
shows length (concat (map f [0.. $G$ ])) = G * c
using assms by (induction G; simp)

```

**lemma** *length-cartesian*: *length* (*cartesian* M G) = G \* *length* M

**using** *cartesian-def* **by** (*simp* *add*: *length-concat-const*)

**lemma** *concat-nth*:

```

assumes  $\bigwedge h$ . length (f h) = c
  and xs = concat (map f [0.. $G$ ])
  and h < G
  and q < c
shows xs ! (h * c + q) = f h ! q
using assms(2,3)
proof (induction G arbitrary: xs)
  case 0
  then show ?case
    by simp
next
  case (Suc G)
  then have 1: xs = concat (map f [0.. $G$ ]) @ f G (is xs = ?ys @ f G)
    by simp
  show ?case
  proof (cases h < G)
    case True
    then have h * c  $\leq$  (G - 1) * c
      by auto
    then have h * c  $\leq$  G * c - c
      using True by (simp add: diff-mult-distrib)
    then have h * c + q  $\leq$  G * c - c + q
      using assms(4) by simp
    then have h * c + q < G * c
      using assms(4) True  $\langle$ h * c  $\leq$  (G - 1) * c $\rangle$  mult-eq-if by force
    then have h * c + q < length ?ys
      using length-concat-const assms by metis
    then have xs ! (h * c + q) = ?ys ! (h * c + q)
      using 1 by (simp add: nth-append)
    then show ?thesis
      using Suc True by simp
  next
  case False

```

```

then show ?thesis
using 1 Suc.prem(2) assms(1)
by (metis add-diff-cancel-left' length-concat-const less-SucE not-add-less1 nth-append)
qed
qed

```

**lemma cartesian-at:**

```

assumes  $M' = \text{cartesian } M \ b$  and  $h < b$  and  $q < \text{length } M$ 
shows  $(M' ! (h * \text{length } M + q)) \ rs =$ 
  (let  $(q', as) = (M ! q) (rs @ [(h + 1) \text{ mod } b])$ 
   in (if  $q' = \text{length } M$  then  $b * \text{length } M$  else  $(\text{fst } (\text{last } as) + b - 1) \text{ mod } b * \text{length } M + q'$ ,
    butlast as))
proof -
define  $f$  where  $f =$ 
  ( $\lambda h. \text{map } (\lambda q. \lambda rs. \text{let } (q', as) = (M ! q) (rs @ [(h + 1) \text{ mod } b])$ 
   in (if  $q' = \text{length } M$  then  $b * \text{length } M$  else  $(\text{fst } (\text{last } as) + b - 1) \text{ mod } b * \text{length } M + q'$ ,
    butlast as))
    $[0..<\text{length } M]$ )
then have  $\text{length } (f \ h) = \text{length } M$  for  $h$ 
by simp
moreover have  $M' = \text{concat } (\text{map } f \ [0..<b])$ 
using assms(1) cartesian-def f-def by simp
ultimately have  $M' ! (h * \text{length } M + q) = f \ h ! q$ 
using concat-nth assms(2,3) by blast
then show ?thesis
using f-def by (simp add: assms(3))
qed

```

**lemma concat-nth-ex:**

```

assumes  $\bigwedge h. \text{length } (f \ h) = c$ 
and  $xs = \text{concat } (\text{map } f \ [0..<G])$ 
and  $j < G * c$ 
shows  $\exists i \ h. i < c \wedge h < G \wedge xs ! j = f \ h ! i$ 
using assms(2,3)
proof (induction  $G$  arbitrary:  $xs$ )
case 0
then show ?case
by simp
next
case (Suc  $G$ )
then have  $*$ :  $xs = \text{concat } (\text{map } f \ [0..<G]) @ f \ G$  (is  $xs = ?ys @ f \ G$ )
by simp
show ?case
proof (cases  $j < G * c$ )
case True
then have  $\exists i \ h. i < c \wedge h < G \wedge ?ys ! j = f \ h ! i$ 
using Suc by simp
then have  $\exists i \ h. i < c \wedge h < \text{Suc } G \wedge ?ys ! j = f \ h ! i$ 
using less-SucI by blast
then have  $\exists i \ h. i < c \wedge h < \text{Suc } G \wedge xs ! j = f \ h ! i$ 
using True * by (simp add: assms(1) length-concat-const nth-append)
then show ?thesis .
next
case False
then have  $j \geq G * c$ 
by simp
define  $h$  where  $h = G$ 
then have  $h: h < \text{Suc } G$ 
by simp
define  $i$  where  $i = j - G * c$ 
then have  $i: i < c$ 
using False Suc.prem(2) by auto

```

```

have  $xs ! j = f h ! i$ 
  using assms by (simp add: * False h-def i-def length-concat-const nth-append)
then show ?thesis
  using  $h i$  by auto
qed
qed

```

The cartesian TM has one tape less than the original TM.

```

lemma cartesian-num-tapes:
assumes turing-machine (Suc k)  $G M$ 
  and  $M' = \text{cartesian } M b$ 
  and  $\text{length } rs = k$ 
  and  $q' < \text{length } M'$ 
shows  $\text{length } (\text{snd } ((M' ! q') rs)) = k$ 
proof -
define  $q$  where  $q = q' \text{ mod } \text{length } M$ 
define  $h$  where  $h = q' \text{ div } \text{length } M$ 
then have  $h < b$   $q' = h * \text{length } M + q$ 
  using q-def assms(2) assms(4) length-cartesian less-mult-imp-div-less by auto
then have  $q < \text{length } M$ 
  using q-def assms(2,4) length-cartesian
  by (metis add-lessD1 length-0-conv length-greater-0-conv mod-less-divisor mult-0-right)

```

```

have  $(M' ! q') rs =$ 
  (let ( $q', as$ ) =  $(M ! q) (rs @ [(h + 1) \text{ mod } b])$ )
  in (if  $q' = \text{length } M$  then  $b * \text{length } M$  else (fst (last  $as$ ) +  $b - 1$ ) mod  $b * \text{length } M + q'$ ,
    butlast  $as$ )
  using cartesian-at[OF assms(2) <h < b> <q < length M>] <q' = h * length M + q> by simp
then have  $\text{snd } ((M' ! q') rs) = (\text{let } (q', as) = (M ! q) (rs @ [(h + 1) \text{ mod } b]) \text{ in } \text{butlast } as)$ 
  by (metis (no-types, lifting) case-prod-unfold snd-conv)
then have  $*$ :  $\text{snd } ((M' ! q') rs) = \text{butlast } (\text{snd } ((M ! q) (rs @ [(h + 1) \text{ mod } b])))$ 
  by (simp add: case-prod-unfold)

```

```

have  $\text{length } (rs @ [(h + 1) \text{ mod } b]) = \text{Suc } k$ 
  using assms(3) by simp
then have  $\text{length } (\text{snd } ((M ! q) (rs @ [(h + 1) \text{ mod } b]))) = \text{Suc } k$ 
  using assms(1) <q < length M> turing-commandD(1) turing-machine-def nth-mem by metis
then show ?thesis
  using  $*$  by simp
qed

```

The cartesian TM of a TM with alphabet  $G$  also has the alphabet  $G$  provided it memorizes at most  $G$  values.

```

lemma cartesian-tm:
assumes turing-machine (Suc k)  $G M$ 
  and  $M' = \text{cartesian } M b$ 
  and  $k \geq 2$ 
  and  $b \leq G$ 
  and  $b > 0$ 
shows turing-machine  $k G M'$ 
proof
show  $G \geq 4$ 
  using assms(1) turing-machine-def by simp
show  $2 \leq k$ 
  using assms(3) .

define  $f$  where  $f =$ 
  ( $\lambda h. \text{map } (\lambda i rs. \text{let } (q, as) = (M ! i) (rs @ [(h + 1) \text{ mod } b])$ 
    in (if  $q = \text{length } M$  then  $b * \text{length } M$  else (fst (last  $as$ ) +  $b - 1$ ) mod  $b * \text{length } M + q$ ,
      butlast  $as$ )
    [ $0..<\text{length } M$ ]))
then have  $1: \bigwedge h. \text{length } (f h) = \text{length } M$ 

```

```

by simp
have 2:  $M' = \text{concat} (\text{map } f [0..<b])$ 
using f-def assms(2) cartesian-def by simp

show turing-command  $k$  (length  $M'$ )  $G$  ( $M' ! j$ ) if  $j < \text{length } M'$  for  $j$ 
proof
have 3:  $j < b * \text{length } M$ 
using that by (simp add: assms(2) length-cartesian)
with 1 2 concat-nth-ex have  $\exists i h. i < \text{length } M \wedge h < b \wedge M' ! j = f h ! i$ 
by blast
then obtain  $i h$  where
 $i: i < \text{length } M$  and
 $h: h < b$  and
 $\text{cmd}: M' ! j = (\lambda rs.$ 
  let  $(q, as) = (M ! i) (rs @ [(h + 1) \text{ mod } b])$ 
  in (if  $q = \text{length } M$  then  $b * \text{length } M$  else  $(\text{fst} (\text{last } as) + b - 1) \text{ mod } b * \text{length } M + q,$ 
    butlast  $as)$ )
using f-def by auto
have  $(h + 1) \text{ mod } b < b$ 
using  $h$  by auto
then have  $\text{modb}: (h + 1) \text{ mod } b < G$ 
using assms(4) by linarith

have  $tc: \text{turing-command} (\text{Suc } k) (\text{length } M) G (M ! i)$ 
using  $i$  assms(1) turing-machine-def by simp

show  $\text{goal1}: \bigwedge gs. \text{length } gs = k \implies \text{length} ([!!] (M' ! j) gs) = \text{length } gs$ 
proof -
fix  $gs :: \text{symbol list}$ 
assume  $a: \text{length } gs = k$ 
let  $?q = \text{fst} ((M ! i) (gs @ [(h + 1) \text{ mod } b]))$ 
let  $?as = \text{snd} ((M ! i) (gs @ [(h + 1) \text{ mod } b]))$ 
have  $(M' ! j) gs =$ 
  (if  $?q = \text{length } M$  then  $b * \text{length } M$  else  $(\text{fst} (\text{last } ?as) + b - 1) \text{ mod } b * \text{length } M + ?q,$ 
    butlast  $?as)$ 
using  $\text{cmd}$  by (metis (no-types, lifting) case-prod-unfold)
then have  $[!!] (M' ! j) gs = \text{butlast } ?as$ 
by simp
moreover have  $\text{length } ?as = \text{Suc } k$ 
using  $a$  turing-commandD(1)[OF  $tc$ ] by simp
ultimately show  $\text{length} ([!!] (M' ! j) gs) = \text{length } gs$ 
by (simp add:  $a$ )
qed
show  $(M' ! j) gs [.] ja < G$ 
if  $\text{length } gs = k$  and
 $(\bigwedge i. i < \text{length } gs \implies gs ! i < G)$  and
 $ja < \text{length } gs$ 
for  $gs ja$ 
proof -
let  $?q = \text{fst} ((M ! i) (gs @ [(h + 1) \text{ mod } b]))$ 
let  $?as = \text{snd} ((M ! i) (gs @ [(h + 1) \text{ mod } b]))$ 
have  $*: (M' ! j) gs =$ 
  (if  $?q = \text{length } M$  then  $b * \text{length } M$  else  $(\text{fst} (\text{last } ?as) + b - 1) \text{ mod } b * \text{length } M + ?q,$ 
    butlast  $?as)$ 
using  $\text{cmd}$  by (metis (no-types, lifting) case-prod-unfold)
have  $\text{length} (gs @ [(h + 1) \text{ mod } b]) = \text{Suc } k$ 
using that by simp
moreover have  $(gs @ [(h + 1) \text{ mod } b]) ! i < G$  if  $i < \text{length} (gs @ [(h + 1) \text{ mod } b])$  for  $i$ 
using that by (metis  $\langle \bigwedge i. i < \text{length } gs \implies gs ! i < G \rangle \text{ modb}$ 
  length-append-singleton less-Suc-eq nth-append nth-append-length)
ultimately have  $(\forall j < \text{length} (gs @ [(h + 1) \text{ mod } b])). (M ! i) (gs @ [(h + 1) \text{ mod } b]) [.] j < G$ 
using that turing-commandD(2)[OF  $tc$ ] by simp
moreover have  $\text{butlast } ?as ! ja = ?as ! ja$ 

```

```

    by (metis * goal1 nth-butlast snd-conv that(1) that(3))
  ultimately show ?thesis
    using * that(3) by auto
qed
show (M' ! j) gs [.] 0 = gs ! 0 if length gs = k and 0 < k for gs
proof -
  let ?q = fst ((M ! i) (gs @ [(h + 1) mod b]))
  let ?as = snd ((M ! i) (gs @ [(h + 1) mod b]))
  have *: (M' ! j) gs =
    (if ?q = length M then b * length M else (fst (last ?as) + b - 1) mod b * length M + ?q,
     butlast ?as)
    using cmd by (metis (no-types, lifting) case-prod-unfold)
  have length (gs @ [(h + 1) mod b]) = Suc k
    using that by simp
  then have (M ! i) (gs @ [(h + 1) mod b]) [.] 0 = gs ! 0
    using that turing-commandD(3)[OF tc] by (simp add: nth-append)
  then show ?thesis
    using that * by (metis goal1 nth-butlast snd-conv)
qed
show [*] ((M' ! j) gs) ≤ length M' if length gs = k for gs
proof -
  let ?q = [*] ((M ! i) (gs @ [(h + 1) mod b]))
  let ?as = snd ((M ! i) (gs @ [(h + 1) mod b]))
  have *: (M' ! j) gs =
    (if ?q = length M then b * length M else (fst (last ?as) + b - 1) mod b * length M + ?q,
     butlast ?as)
    using cmd by (metis (no-types, lifting) case-prod-unfold)
  have length (gs @ [h]) = Suc k
    using that by simp
  then have ?q ≤ length M
    using assms(1) i turing-commandD(4)[OF tc] by (metis length-append-singleton)
  show ?thesis
  proof (cases ?q = length M)
    case True
    then show ?thesis
      using * by (simp add: assms(2) length-cartesian)
  next
    case False
    then have ?q < length M
      using ‹?q ≤ length M› by simp
    then have **: [*] ((M' ! j) gs) = (fst (last ?as) + b - 1) mod b * length M + ?q
      using * by simp
    have (fst (last ?as) + b - 1) mod b ≤ b - 1
      using h less-imp-Suc-add by fastforce
    have (fst (last ?as) + b - 1) mod b * length M ≤ b * length M - length M
      using h less-imp-Suc-add by fastforce
    then have (fst (last ?as) + b - 1) mod b * length M + ?q ≤ b * length M - length M + ?q
      by simp
    then have (fst (last ?as) + b - 1) mod b * length M + ?q < b * length M
      using ‹?q < length M› 3 assms(5) by auto
    then show ?thesis
      using length-cartesian ** assms(2) by simp
  qed
qed
qed
qed

```

A special case of the previous lemma is  $b = G$ :

**corollary** *cartesian-tm'*:

```

assumes turing-machine (Suc k) G M
  and M' = cartesian M G
  and k ≥ 2
shows turing-machine k G M'

```

**using** *assms cartesian-tm* **by** (*metis gr0I not-numeral-le-zero order-refl turing-machine-def*)

A cartesian TM assumes essentially the same configurations the original machine does, except that it has one tape less and the states have a greater number. We call these configurations “squished”, another fancy made-up term alluding to the removal of one tape.

**definition** *squish* ::  $\text{nat} \Rightarrow \text{nat} \Rightarrow \text{config} \Rightarrow \text{config}$  **where**

*squish*  $G$   $Q$   $\text{cfg} \equiv$   
 let  $(q, \text{tps}) = \text{cfg}$   
 in (if  $q \geq Q$  then  $G * Q$  else  $(\lfloor \cdot \rfloor (\text{last } \text{tps}) + G - 1) \bmod G * Q + q$ , *butlast*  $\text{tps}$ )

**lemma** *squish*:

*squish*  $G$   $Q$   $\text{cfg} =$   
 (if  $\text{fst } \text{cfg} \geq Q$  then  $G * Q$  else  $(\lfloor \cdot \rfloor (\text{last } (\text{snd } \text{cfg})) + G - 1) \bmod G * Q + \text{fst } \text{cfg}$ , *butlast*  $(\text{snd } \text{cfg})$ )  
**using** *squish-def* **by** (*simp add: case-prod-beta*)

**lemma** *squish-head-pos*:

**assumes**  $\|\text{cfg}\| > 2$   
**shows** *squish*  $G$   $Q$   $\text{cfg} \langle \# \rangle 0 = \text{cfg} \langle \# \rangle 0$   
**and** *squish*  $G$   $Q$   $\text{cfg} \langle \# \rangle 1 = \text{cfg} \langle \# \rangle 1$   
**using** *assms squish*  
**by** (*metis One-nat-def Suc-1 Suc-lessD length-butlast less-diff-conv nth-butlast plus-1-eq-Suc snd-conv*,  
*metis One-nat-def Suc-1 length-butlast less-diff-conv nth-butlast plus-1-eq-Suc snd-conv*)

**lemma** *mod-less*:

**fixes**  $q$   $Q$   $h$   $G :: \text{nat}$   
**assumes**  $q < Q$  **and**  $0 < G$   
**shows**  $h \bmod G * Q + q < G * Q$

**proof** –

**have**  $h \bmod G \leq G - 1$   
**using** *assms(2) less-Suc-eq-le* **by** *fastforce*  
**then have**  $h \bmod G * Q \leq (G - 1) * Q$   
**by** *simp*  
**then have**  $h \bmod G * Q \leq G * Q - Q$   
**by** (*simp add: left-diff-distrib'*)  
**then have**  $h \bmod G * Q + q \leq G * Q - Q + q$   
**by** *simp*  
**then have**  $h \bmod G * Q + q \leq G * Q - 1$   
**using** *assms* **by** *simp*  
**then show** *?thesis*  
**by** (*metis One-nat-def Suc-pred add.left-neutral add.right-neutral add-mono-thms-linordered-semiring(1)*  
*assms le-simps(2) linorder-not-less mult-pos-pos zero-le*)

**qed**

**lemma** *squish-halt-state*:

**assumes**  $G > 0$  **and**  $\text{fst } \text{cfg} \leq Q$   
**shows**  $\text{fst } (\text{squish } G \ Q \ \text{cfg}) = G * Q \iff \text{fst } \text{cfg} = Q$

**proof**

**show**  $\text{fst } \text{cfg} = Q \implies \text{fst } (\text{squish } G \ Q \ \text{cfg}) = G * Q$   
**by** (*simp add: squish*)  
**show**  $\text{fst } (\text{squish } G \ Q \ \text{cfg}) = G * Q \implies \text{fst } \text{cfg} = Q$   
**proof** (*rule ccontr*)  
**assume**  $a: \text{fst } (\text{squish } G \ Q \ \text{cfg}) = G * Q$   
**assume**  $\text{fst } \text{cfg} \neq Q$   
**then have**  $\text{fst } \text{cfg} < Q$   
**using** *assms(2)* **by** *simp*  
**then have**  $\text{fst } (\text{squish } G \ Q \ \text{cfg}) = (\lfloor \cdot \rfloor (\text{last } (\text{snd } \text{cfg})) + G - 1) \bmod G * Q + \text{fst } \text{cfg}$   
**using** *squish* **by** *simp*  
**also have**  $\dots < G * Q$   
**using** *mod-less[OF <fst cfg < Q> assms(1)]* **by** *simp*  
**finally have**  $\text{fst } (\text{squish } G \ Q \ \text{cfg}) < G * Q$ .  
**with**  $a$  **show** *False*  
**by** *simp*

qed  
qed

**lemma** *butlast-replicate*:  $\text{butlast } (\text{replicate } k \ x) = \text{replicate } (k - \text{Suc } 0) \ x$   
**by** (*intro nth-equalityI*) (*simp-all add: nth-butlast*)

**lemma** *squish-start-config*:  $G \geq 4 \implies k \geq 2 \implies \text{squish } G \ Q \ (\text{start-config } (\text{Suc } k) \ zs) = \text{start-config } k \ zs$   
**using** *squish-def start-config-def* **by** (*simp add: butlast-replicate*)

The cartesian Turing machine only works properly if the original TM never moves its head on the last tape. We call a tape of a TM  $M$  *immobile* if  $M$  never moves the head on the tape.

**definition** *immobile* ::  $\text{machine} \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow \text{bool}$  **where**  
*immobile*  $M \ j \ k \equiv \forall q \ rs. \ q < \text{length } M \longrightarrow \text{length } rs = k \longrightarrow (M \ ! \ q) \ rs \ [\sim] \ j = \text{Stay}$

**lemma** *immobileI* [*intro*]:  
**assumes**  $\bigwedge q \ rs. \ q < \text{length } M \implies \text{length } rs = k \implies (M \ ! \ q) \ rs \ [\sim] \ j = \text{Stay}$   
**shows** *immobile*  $M \ j \ k$   
**using** *immobile-def assms* **by** *simp*

If the head never moves on tape  $k$ , the head will stay in position 0.

**lemma** *immobile-head-pos-proper*:  
**assumes** *proper-machine*  $(\text{Suc } k) \ M$   
**and** *immobile*  $M \ k \ (\text{Suc } k)$   
**and**  $\|\text{cfg}\| = \text{Suc } k$   
**shows**  $\text{execute } M \ \text{cfg} \ t \ <\#\> \ k = \text{cfg} \ <\#\> \ k$   
**proof** (*induction t*)  
**case**  $0$   
**then show** *?case*  
**by** *simp*  
**next**  
**case**  $(\text{Suc } t)$   
**have**  $\text{execute } M \ \text{cfg} \ (\text{Suc } t) = \text{exe } M \ (\text{execute } M \ \text{cfg} \ t)$   
**(is**  $- = \text{exe } M \ ?\text{cfg}$ )  
**by** *simp*  
**show** *?case*  
**proof** (*cases fst ?cfg  $\geq$  length M*)  
**case** *True*  
**then have**  $\text{exe } M \ ?\text{cfg} = ?\text{cfg}$   
**using** *exe-ge-length* **by** *simp*  
**then show** *?thesis*  
**by** (*simp add: Suc.IH*)  
**next**  
**case** *False*  
**let**  $?\text{cmd} = M \ ! \ (\text{fst } ?\text{cfg})$   
**let**  $?\text{rs} = \text{config-read } ?\text{cfg}$   
**have**  $\text{exe } M \ ?\text{cfg} = \text{sem } ?\text{cmd} \ ?\text{cfg}$   
**using** *False exe-def* **by** *simp*  
**moreover have** *proper-command*  $(\text{Suc } k) \ (M \ ! \ (\text{fst } ?\text{cfg}))$   
**using** *assms(1) False* **by** *simp*  
**ultimately have**  $\text{exe } M \ ?\text{cfg} \ <!\> \ k = \text{act } (\text{snd } (? \ \text{cmd} \ ? \ \text{rs}) \ ! \ k) \ (? \ \text{cfg} \ <!\> \ k)$   
**using** *assms execute-num-tapes-proper lessI sem-snd* **by** *presburger*  
**then show** *?thesis*  
**using** *False Suc act assms execute-num-tapes-proper immobile-def read-length* **by** *simp*  
**qed**  
**qed**

**lemma** *immobile-head-pos*:  
**assumes** *turing-machine*  $(\text{Suc } k) \ G \ M$   
**and** *immobile*  $M \ k \ (\text{Suc } k)$   
**and**  $\|\text{cfg}\| = \text{Suc } k$   
**shows**  $\text{execute } M \ \text{cfg} \ t \ <\#\> \ k = \text{cfg} \ <\#\> \ k$   
**proof** (*induction t*)  
**case**  $0$

```

then show ?case
  by simp
next
case (Suc t)
have execute M cfg (Suc t) = exe M (execute M cfg t)
  (is - = exe M ?cfg)
  by simp
show ?case
proof (cases fst ?cfg ≥ length M)
  case True
  then have exe M ?cfg = ?cfg
    using exe-ge-length by simp
  then show ?thesis
    by (simp add: Suc.IH)
next
  case False
  let ?cmd = M ! (fst ?cfg)
  let ?rs = config-read ?cfg
  have exe M ?cfg = sem ?cmd ?cfg
    using False exe-def by simp
  moreover have proper-command (Suc k) (M ! (fst ?cfg))
    using assms(1) False by (metis turing-commandD(1) linorder-not-le turing-machineD(3))
  ultimately have exe M ?cfg <|> k = act (snd (?cmd ?rs) ! k) (?cfg <|> k)
    using assms execute-num-tapes lessI sem-snd by presburger
  then show ?thesis
    using False Suc act assms execute-num-tapes immobile-def read-length by simp
qed
qed

```

Sequentially combining two Turing machines with an immobile tape yields a Turing machine with the same immobile tape.

**lemma** *immobile-sequential*:

```

assumes turing-machine k G M1
  and turing-machine k G M2
  and immobile M1 j k
  and immobile M2 j k
shows immobile (M1 ;; M2) j k
proof
let ?M = M1 ;; M2
fix q :: nat and rs :: symbol list
assume q: q < length ?M and rs: length rs = k
show (?M ! q) rs [~] j = Stay
proof (cases q < length M1)
  case True
  then have ?M ! q = M1 ! q
    by (simp add: nth-append turing-machine-sequential-def)
  then show ?thesis
    using assms(3) immobile-def by (simp add: True rs)
next
  case False
  then have ?M ! q = relocate-cmd (length M1) (M2 ! (q - length M1))
    using q turing-machine-sequential-nth' by simp
  then show ?thesis
    using relocate-cmd-head False assms(4) q rs length-turing-machine-sequential immobile-def
    by simp
qed
qed

```

A loop also keeps a tape immobile.

**lemma** *immobile-loop*:

```

assumes turing-machine k G M1
  and turing-machine k G M2
  and immobile M1 j k

```



```

    and immobile M2 j k
    and j < k
shows immobile (WHILE M1 ; cond DO M2 DONE) j k
proof
let ?loop = WHILE M1 ; cond DO M2 DONE
have ?loop =
  M1 @
  [cmd-jump cond (length M1 + 1) (length M1 + length M2 + 2)] @
  (relocate (length M1 + 1) M2) @
  [cmd-jump (λ-. True) 0 0]
  (is - = M1 @ [?a] @ ?bs @ [?c])
  using turing-machine-loop-def by simp
then have loop: ?loop = (M1 @ [?a]) @ (?bs @ [?c])
  by simp
fix q :: nat
assume q: q < length ?loop
fix rs :: symbol list
assume rs: length rs = k
consider
  q < length M1
  | q = length M1
  | length M1 < q ∧ q ≤ length M1 + length M2
  | length M1 + length M2 < q
  by linarith
then show (?loop ! q) rs [~] j = Stay
proof (cases)
case 1
then have ?loop ! q = M1 ! q
  by (simp add: nth-append turing-machine-loop-def)
then show ?thesis
  using assms(3) 1 rs immobile-def by simp
next
case 2
then have ?loop ! q = cmd-jump cond (length M1 + 1) (length M1 + length M2 + 2)
  by (simp add: nth-append turing-machine-loop-def)
then show ?thesis
  using rs cmd-jump-def assms(5) by simp
next
case 3
then have ?loop ! q = (?bs @ [?c]) ! (q - (length M1 + 1))
  using nth-append[of M1 @ [?a] ?bs @ [?c]] loop by simp
moreover have q - (length M1 + 1) < length ?bs
  using 3 length-relocate by auto
ultimately have ?loop ! q = ?bs ! (q - (length M1 + 1))
  by (simp add: nth-append)
then show ?thesis
  using assms(4,5) relocate-cmd-head 3 relocate rs immobile-def by auto
next
case 4
then have q = length M1 + length M2 + 1
  using q turing-machine-loop-len by simp
then have ?loop ! q = ?c
  using turing-machine-loop-def
  by (metis (no-types, lifting) One-nat-def Suc-eq-plus1 append-assoc length-append list.size(3)
    list.size(4) nth-append-length plus-nat.simps(2) length-relocate)
then show ?thesis
  using rs cmd-jump-def assms(5) by simp
qed
qed

```

An immobile tape stays immobile when further tapes are appended. We only need this for the special case of two-tape Turing machines.

**lemma** *immobile-append-tapes*:

```

assumes  $j < k$  and  $j > 1$  and  $k \geq 2$  and turing-machine 2 G M
shows immobile (append-tapes 2 k M) j k
proof
  let  $?M = \text{append-tapes } 2 \ k \ M$ 
  fix  $q :: \text{nat}$ 
  assume  $q < \text{length } ?M$ 
  fix  $rs :: \text{symbol list}$ 
  assume  $rs: \text{length } rs = k$ 
  have  $q < \text{length } M$ 
    using assms q by (metis length-append-tapes)
  show  $(?M ! q) \ rs \ [\sim] \ j = \text{Stay}$ 
  proof -
    have  $(?M ! q) \ rs =$ 
       $(\text{fst } ((M ! q) (\text{take } 2 \ rs)), \ \text{snd } ((M ! q) (\text{take } 2 \ rs))) \ @ \ (\text{map } (\lambda j. (rs ! j, \text{Stay})) \ [2..<k])$ 
    using append-tapes-nth by (simp add: append-tapes-nth  $\langle q < \text{length } M \rangle \ rs$ )
    then have  $(?M ! q) \ rs \ [\sim] \ j =$ 
       $\text{snd } ((\text{snd } ((M ! q) (\text{take } 2 \ rs))) \ @ \ (\text{map } (\lambda j. (rs ! j, \text{Stay})) \ [2..<k])) \ ! \ j$ 
    using assms by simp
    also have  $\dots = \text{snd } ((\text{map } (\lambda j. (rs ! j, \text{Stay})) \ [2..<k]) \ ! \ (j - 2))$ 
    proof -
      have  $\text{length } (\text{take } 2 \ rs) = 2$ 
        using rs assms(3) by simp
      then have  $\text{length } ([!!] \ (M ! q) \ (\text{take } 2 \ rs)) = 2$ 
        using assms rs by (metis turing-commandD(1)  $\langle q < \text{length } M \rangle \ \text{nth-mem turing-machine-def}$ )
      then show ?thesis
        using nth-append[of snd ((M ! q) (take 2 rs)) map (lambda j. (rs ! j, Stay)) [2..<k] j] assms by simp
    qed
  finally show ?thesis
    using assms(1,2) by simp
  qed
qed

```

For the elementary Turing machines we introduced in Section 2.4 all tapes are immobile but the ones given as parameters.

**lemma** *immobile-tm-trans-until:*

```

assumes  $j \neq j1$  and  $j \neq j2$  and  $j < k$ 
shows immobile (tm-trans-until j1 j2 H f) j k
using assms tm-trans-until-def cmd-trans-until-def by auto

```

**lemma** *immobile-tm-ltrans-until:*

```

assumes  $j \neq j1$  and  $j \neq j2$  and  $j < k$ 
shows immobile (tm-ltrans-until j1 j2 H f) j k
using assms tm-ltrans-until-def cmd-ltrans-until-def by auto

```

**lemma** *immobile-tm-left-until:*

```

assumes  $j \neq j'$  and  $j < k$ 
shows immobile (tm-left-until H j') j k
using assms tm-left-until-def cmd-left-until-def by auto

```

**lemma** *immobile-tm-start:*

```

assumes  $j \neq j'$  and  $j < k$ 
shows immobile (tm-start j') j k
using tm-start-def immobile-tm-left-until[OF assms] by metis

```

**lemma** *immobile-tm-write:*

```

assumes  $j < k$ 
shows immobile (tm-write j' h) j k
using assms tm-write-def cmd-write-def by auto

```

**lemma** *immobile-tm-write-many:*

```

assumes  $j < k$ 
shows immobile (tm-write-many J h) j k
using assms tm-write-many-def cmd-write-many-def by auto

```

**lemma** *immobile-tm-right*:  
**assumes**  $j \neq j'$  **and**  $j < k$   
**shows** *immobile* (tm-right  $j'$ )  $j$   $k$   
**using** *assms tm-right-def cmd-right-def* **by** *auto*

**lemma** *immobile-tm-rtrans*:  
**assumes**  $j \neq j'$  **and**  $j < k$   
**shows** *immobile* (tm-rtrans  $j'$   $f$ )  $j$   $k$   
**using** *assms tm-rtrans-def cmd-rtrans-def* **by** *auto*

**lemma** *immobile-tm-left*:  
**assumes**  $j \neq j'$  **and**  $j < k$   
**shows** *immobile* (tm-left  $j'$ )  $j$   $k$   
**using** *assms tm-left-def cmd-left-def* **by** *auto*

**lemma** *mod-inc-dec*:  $(h::nat) < G \implies ((h + G - 1) \bmod G + 1) \bmod G = h$   
**using** *mod-Suc-eq* **by** *auto*

**lemma** *last-length*:  $\text{length } xs = \text{Suc } k \implies \text{last } xs = xs ! k$   
**by** (*metis diff-Suc-1 last-conv-nth length-0-conv nat.simps(3)*)

The tapes used for memorizing the values have blank symbols in every cell but possibly for the leftmost cell. In keeping with funny names, we call such tapes *onesie* tapes.

**definition** *onesie* :: *symbol*  $\Rightarrow$  *tape* ( $\langle [-] \rangle$ ) **where**  
 $[h] \equiv (\lambda x. \text{if } x = 0 \text{ then } h \text{ else } \square, 0)$

**lemma** *onesie-1*:  $[▷] = ([\square], 0)$   
**unfolding** *onesie-def contents-def* **by** *auto*

**lemma** *onesie-read* [*simp*]:  $| \cdot | [h] = h$   
**using** *onesie-def* **by** *simp*

**lemma** *onesie-write*:  $[x] | := | y = [y]$   
**using** *onesie-def* **by** *auto*

**lemma** *act-onesie*:  $\text{act } (h, \text{Stay}) [x] = [h]$   
**using** *onesie-def* **by** *auto*

We now consider the semantics of cartesian Turing machines. Roughly speaking, a cartesian TM assumes the squished configurations of the original TM. A crucial assumption here is that the original TM only ever memorizes a symbol from a certain range of symbols, with one relaxation: when switching to the halting state, any symbol may be written to the memorization tape. The reason is that there is only one halting state even for the cartesian TM, and thus the halting state is not subject to the mapping of states implemented by the *cartesian* operation.

In the following lemma,  $[▷]$  is the memorization tape. It has the start symbol because in the start configuration all tapes have the start symbol in the leftmost cell.

**lemma** *cartesian-execute*:  
**assumes** *turing-machine* (Suc  $k$ )  $G$   $M$   
**and** *immobile*  $M$   $k$  (Suc  $k$ )  
**and**  $k \geq 2$   
**and**  $b > 0$   
**and**  $\text{length } tps = k$   
**and**  $\bigwedge t. \text{execute } M (0, tps @ [[▷]]) t <.> k < b \vee \text{fst } (\text{execute } M (0, tps @ [[▷]]) t) = \text{length } M$   
**shows**  $\text{execute } (\text{cartesian } M b) (0, tps) t =$   
 $\text{squish } b (\text{length } M) (\text{execute } M (0, tps @ [[▷]]) t)$   
**proof** (*induction t*)  
**case**  $0$   
**then show** *?case*  
**using** *squish* **by** *simp*  
**next**  
**case** (Suc  $t$ )

```

let ?M' = cartesian M b
have len: length ?M' = b * length M
  using length-cartesian by simp
let ?cfg = execute M (0, tps @ [|>]) t
have 1: ?cfg <#> k = 0
  using assms(1,2,5) immobile-head-pos onesie-def by auto
have 3: ||?cfg|| = Suc k
  using assms(1,5) execute-num-tapes by auto
let ?Q = length M
let ?squish = squish b ?Q
let ?scfg = ?squish ?cfg
obtain q tps where qtps: ?cfg = (q, tps)
  by fastforce
have length tps = Suc k
  using 3 qtps by simp
then have last: last tps = tps ! k
  using assms(4) by (metis diff-Suc-1 last-conv-nth length-greater-0-conv zero-less-Suc)

have exe ?M' ?scfg = ?squish (exe M ?cfg)
proof (cases q ≥ length M)
case True
  then have t1: exe M ?cfg = ?cfg
    using exe-def qtps by auto
  have ?scfg = (b * length M, butlast tps)
    using True squish qtps by simp
  then have exe ?M' ?scfg = ?scfg
    using exe-def len by simp
  with t1 show ?thesis
    by simp
next
case False
  then have scfg: ?scfg = ((|·| (last tps) + b - 1) mod b * length M + q, butlast tps)
    (is - = (?q, -))
    using squish qtps by simp
  moreover have q-less: ?q < length ?M'
    using mod-less False length-cartesian assms(4) by simp
  ultimately have 9: exe ?M' ?scfg = sem (?M' ! ?q) ?scfg
    using exe-def by simp
  let ?cmd' = ?M' ! ?q
  let ?h = (|·| (last tps) + b - 1) mod b
  have q < length M
    using False by simp
  then have 4: |·| (last tps) < b
    using assms last qtps False by (metis fst-conv less-not-refl3 snd-conv)
  have h-less: ?h < b
    using 4 by simp
  then have ?cmd' ≡ λrs.
    (let (q', as) = (M ! q) (rs @ [|·| (last tps)])
     in (if q' = length M then b * length M else (fst (last as) + b - 1) mod b * length M + q',
        butlast as))
    using cartesian-at[OF h-less <q < length M>] by presburger
  then have cmd': ?cmd' ≡ λrs.
    (let (q', as) = (M ! q) (rs @ [|·| (last tps)])
     in (if q' = length M then b * length M else (fst (last as) + b - 1) mod b * length M + q',
        butlast as))
    using mod-inc-dec[OF 4] by simp
  let ?rs' = config-read ?scfg
  have 10: sem ?cmd' ?scfg =
    (let (newstate, as) = ?cmd' ?rs'
     in (newstate, map (λ(a, tp). act a tp) (zip as (butlast tps))))
    using scfg by (simp add: sem-def)
  let ?newstate' = fst (?cmd' ?rs')
  let ?as' = snd (?cmd' ?rs')

```

```

have 11: sem ?cmd' ?scfg =
  (?newstate', map (λ(a, tp). act a tp) (zip ?as' (butlast tps)))
  using 10 by (simp add: case-prod-beta)
with 9 have lhs: exe ?M' ?scfg =
  (?newstate', map (λ(a, tp). act a tp) (zip ?as' (butlast tps)))
  by simp

let ?cmd = M ! q
let ?rs = config-read ?cfg
let ?newstate = fst (?cmd ?rs)
let ?as = snd (?cmd ?rs)
have ?squish (exe M ?cfg) = ?squish (sem ?cmd ?cfg)
  using qtps False exe-def by simp
also have ... = ?squish (?newstate, map (λ(a, tp). act a tp) (zip ?as (snd ?cfg)))
  by (metis sem)
also have ... = ?squish (?newstate, map (λ(a, tp). act a tp) (zip ?as tps))
  (is - = ?squish (-, ?tpsSuc))
  using qtps by simp
also have ... =
  (if ?newstate ≥ ?Q then b * ?Q else (|.| (last ?tpsSuc) + b - 1) mod b * ?Q + ?newstate,
  butlast ?tpsSuc)
  using squish by simp
finally have rhs: ?squish (exe M ?cfg) =
  (if ?newstate ≥ ?Q then b * ?Q else (|.| (last ?tpsSuc) + b - 1) mod b * ?Q + ?newstate,
  butlast ?tpsSuc) .

have read (butlast tps) @ [|.| (last tps)] = read tps
  using ⟨length tps = Suc k⟩ read-def
by (metis (no-types, lifting) last-map length-0-conv map-butlast map-is-Nil-conv old.nat.distinct(1) snoc-eq-iff-butlast)
then have rs'-read: ?rs' @ [|.| (last tps)] = read tps
  using scfg by simp

have fst: fst (?cmd' ?rs') =
  (if ?newstate ≥ ?Q then b * ?Q else (|.| (last ?tpsSuc) + b - 1) mod b * ?Q + ?newstate)
proof -
have fst (?cmd' ?rs') ≡ fst (
  (let (q', as) = (M ! q) (?rs' @ [|.| (last tps)]))
  in (if q' = length M then b * length M else (fst (last as) + b - 1) mod b * length M + q',
  butlast as))
  using cmd' by simp
then have fst (?cmd' ?rs') =
  (if fst ((M ! q) (?rs' @ [|.| (last tps)])) = length M
  then b * length M
  else (fst (last (snd ((M ! q) (?rs' @ [|.| (last tps)])))) + b - 1) mod b * length M +
  fst ((M ! q) (?rs' @ [|.| (last tps)])))
  by (auto simp add: Let-def split-beta)
then have lhs: fst (?cmd' ?rs') =
  (if fst ((M ! q) (read tps)) = length M
  then b * ?Q
  else (fst (last (snd ((M ! q) (read tps)))) + b - 1) mod b * ?Q + fst ((M ! q) (read tps)))
  using rs'-read by simp

have *: |.| (last (map (λ(a, tp). act a tp) (zip ?as tps))) = fst (last (snd ((M ! q) (read tps))))
proof -
have length ?as = Suc k
  using 3 ⟨q < length M⟩ assms(1) read-length turing-machine-def
  by (metis turing-commandD(1) nth-mem)
then have length (map (λ(a, tp). act a tp) (zip ?as tps)) = Suc k
  using ⟨length tps = Suc k⟩ by simp
then have last (map (λ(a, tp). act a tp) (zip ?as tps)) =
  (map (λ(a, tp). act a tp) (zip ?as tps)) ! k
  using last-length by blast
moreover have proper-command (Suc k) ?cmd

```

**using**  $\langle q < \text{length } M \rangle$  *assms(1) turing-machine-def turing-commandD(1) nth-mem* **by** *blast*  
**ultimately have**  $1: \text{last} (\text{map } (\lambda(a, tp). \text{act } a \text{ } tp) (\text{zip } ?as \text{ } tps)) = \text{act } (?as ! k) (tps ! k)$   
**using**  $\exists \langle q < \text{length } M \rangle$  *assms(1) qtps sem' sem-snd-tm* **by** *auto*

**have**  $\text{snd } (?as ! k) = \text{Stay}$   
**using** *assms(2)  $\langle \text{length } tps = \text{Suc } k \rangle \exists \langle q < \text{length } M \rangle$  read-length immobile-def*  
**by** *simp*  
**then have**  $\text{act } (?as ! k) (tps ! k) = (tps ! k) |:=| (\text{fst } (?as ! k))$   
**by** (*metis act-Stay' prod.collapse*)  
**then have**  $|\cdot| (\text{act } (?as ! k) (tps ! k)) = \text{fst } (?as ! k)$   
**by** *simp*  
**with 1 have 2:**  $|\cdot| (\text{last} (\text{map } (\lambda(a, tp). \text{act } a \text{ } tp) (\text{zip } ?as \text{ } tps))) = \text{fst } (?as ! k)$   
**by** *simp*

**have**  $\text{fst} (\text{last} (\text{snd} ((M ! q) (\text{read } tps)))) = \text{fst} (\text{last } ?as)$   
**using** *qtps by simp*  
**then have**  $\text{fst} (\text{last} (\text{snd} ((M ! q) (\text{read } tps)))) = \text{fst } (?as ! k)$   
**using**  $\langle \text{length } ?as = \text{Suc } k \rangle$  **by** (*simp add: last-length*)  
**with 2 show** *?thesis*  
**by** *simp*

**qed**

**have** (*if*  $\text{fst} ((M ! q) ?rs) \geq ?Q$   
*then*  $b * ?Q$   
*else*  $(|\cdot| (\text{last } ?tps\text{Suc}) + b - 1) \bmod b * ?Q + \text{fst} ((M ! q) ?rs) =$   
 $(\text{if } \text{fst} ((M ! q) (\text{read } tps)) \geq ?Q$   
*then*  $b * ?Q$   
*else*  $(|\cdot| (\text{last } ?tps\text{Suc}) + b - 1) \bmod b * ?Q + \text{fst} ((M ! q) (\text{read } tps)))$ )  
**using** *qtps by simp*

**also have** ... =

$(\text{if } \text{fst} ((M ! q) (\text{read } tps)) = ?Q$   
*then*  $b * ?Q$   
*else*  $(|\cdot| (\text{last } ?tps\text{Suc}) + b - 1) \bmod b * ?Q + \text{fst} ((M ! q) (\text{read } tps)))$ )  
**using** *assms(1) turing-machine-def*

**by** (*metis (mono-tags, lifting)  $\exists$  turing-commandD(4)  $\langle q < \text{length } M \rangle$  le-antisym nth-mem prod.sel(2) qtps read-length*)

**also have** ... =

$(\text{if } \text{fst} ((M ! q) (\text{read } tps)) = \text{length } M$   
*then*  $b * ?Q$   
*else*  $(\text{fst} (\text{last} (\text{snd} ((M ! q) (\text{read } tps)))) + b - 1) \bmod b * ?Q + \text{fst} ((M ! q) (\text{read } tps)))$ )  
**using** *\* by simp*

**finally show** *?thesis*

**using** *lhs by simp*

**qed**

**have**  $\text{snd}: \text{map } (\lambda(a, tp). \text{act } a \text{ } tp) (\text{zip } ?as' (\text{butlast } tps)) =$   
 $\text{butlast} (\text{map } (\lambda(a, tp). \text{act } a \text{ } tp) (\text{zip } ?as \text{ } tps))$

**proof** (*rule nth-equalityI*)

**let**  $?lhs = \text{map } (\lambda(a, tp). \text{act } a \text{ } tp) (\text{zip } ?as' (\text{butlast } tps))$

**let**  $?rhs = \text{butlast} (\text{map } (\lambda(a, tp). \text{act } a \text{ } tp) (\text{zip } ?as \text{ } tps))$

**have**  $\|?scfg\| = k$

**using**  $\langle \text{length } tps = \text{Suc } k \rangle$  *scfg by simp*

**then have**  $\text{length } ?rs' = k$

**by** (*simp add: read-length*)

**then have**  $\text{length } ?as' = k$

**using** *cartesian-num-tapes q-less assms(1,3) by simp*

**moreover have**  $\text{length} (\text{butlast } tps) = k$

**using**  $\langle \text{length } tps = \text{Suc } k \rangle$  **by** *simp*

**ultimately have**  $\text{length } ?lhs = k$

**by** *simp*

**have**  $\text{length } ?as = \text{Suc } k$

**using**  $\langle \text{length } tps = \text{Suc } k \rangle \langle q < \text{length } M \rangle$  *assms(1) qtps read-length turing-machine-def*

```

  by (metis 3 turing-commandD(1) nth-mem)
then have length ?rhs = k
  using ⟨length tps = Suc k⟩ by simp
then show length ?lhs = length ?rhs
  using ⟨length ?lhs = k⟩ by simp

show ?lhs ! j = ?rhs ! j if j < length ?lhs for j
proof –
  have j < k
  using that ⟨length ?lhs = k⟩ by auto

  have length (butlast tps) = k
  using ⟨length (butlast tps) = k⟩ by blast
then have lhs: ?lhs ! j = act (?as' ! j) (tps ! j)
  using ⟨j < k⟩ ⟨length ?as' = k⟩ by (simp add: nth-butlast)

have rhs: ?rhs ! j = act (?as ! j) (tps ! j)
  using ⟨j < k⟩ ⟨length ?as = Suc k⟩ ⟨length tps = Suc k⟩ by (simp add: nth-butlast)

have ?as' ! j = snd (
  (let (q', as) = (M ! q) (?rs' @ [ |. | (last tps)])
  in (if q' = length M then b * length M else (fst (last as) + b - 1) mod b * length M + q',
  butlast as))) ! j
  using cmd' by simp
also have ... = snd (
  ((if fst ((M ! q) (?rs' @ [ |. | (last tps)])) = length M
  then b * length M
  else (fst (last (snd ((M ! q) (?rs' @ [ |. | (last tps)])))) + b - 1) mod b * length M + fst ((M ! q)
  (?rs' @ [ |. | (last tps)])),
  butlast (snd ((M ! q) (?rs' @ [ |. | (last tps)])))))) ! j
  by (metis (no-types, lifting) case-prod-unfold)
also have ... = butlast (snd ((M ! q) (?rs' @ [ |. | (last tps)]))) ! j
  by simp
finally have ?as' ! j = butlast (snd ((M ! q) (?rs' @ [ |. | (last tps)]))) ! j .
then have as'-j: ?as' ! j = butlast (snd ((M ! q) (read tps))) ! j
  using rs'-read by simp

have ?as ! j = snd ((M ! q) (read tps)) ! j
  using qtps by simp
moreover have ?as ! j = (butlast ?as) ! j
  using ⟨length ?as = Suc k⟩ ⟨j < k⟩ by (simp add: nth-butlast)
ultimately have ?as ! j = butlast (snd ((M ! q) (read tps))) ! j
  using qtps by simp
then have ?as ! j = ?as' ! j
  using as'-j by simp
then show ?thesis
  using lhs rhs by simp
qed
qed
then show ?thesis
  using fst lhs rhs by simp
qed
then show ?case
  by (simp add: Suc.IH)
qed

```

One assumption of the previous lemma is that the memorization tape can only contain a symbol from a certain range (except in the halting state). One way to achieve this is for the Turing machine to only ever write a symbol from that range to the memorization tape (or switch to the halting state). Formally:

**definition** *bounded-write* :: machine ⇒ nat ⇒ nat ⇒ bool **where**

*bounded-write* M k b ≡

$\forall q \text{ rs. } q < \text{length } M \longrightarrow \text{length } rs = \text{Suc } k \longrightarrow (M ! q) \text{ rs } [.] k < b \vee \text{fst } ((M ! q) \text{ rs}) = \text{length } M$

The advantage of *bounded-write* is that it is a relatively easy to prove property of a Turing machine. With

*bounded-write* the previous lemma, *cartesian-execute*, turns into the following one, where the assumption  $b > 0$  becomes  $b > 1$  because initially the memorization tape has the start symbol, represented by the number 1.

**lemma** *cartesian-execute-onesie*:

**assumes** *turing-machine* (Suc k) G M

**and** *immobile* M k (Suc k)

**and**  $k \geq 2$

**and**  $b > 1$

**and** *length* tps = k

**and** *bounded-write* M k b

**shows** *execute* (cartesian M b) (0, tps) t = *squish* b (length M) (*execute* M (0, tps @ [[▷]]) t)

**proof** –

**have** *execute* M (0, tps @ [[▷]]) t <.>  $k < b \vee \text{fst} (\text{execute } M (0, \text{tps} @ [[▷]]) t) = \text{length } M$

**for** t

**proof** (*induction* t)

**case** 0

**then show** ?case

**using** *assms* **by** *auto*

**next**

**case** (Suc t)

**let** ?tps = tps @ [[▷]]

**have** \*: *execute* M (0, ?tps) (Suc t) = *exe* M (*execute* M (0, ?tps) t)

(**is** - = *exe* M ?cfg)

**by** *simp*

**show** ?case

**proof** (*cases* *fst* ?cfg  $\geq$  length M)

**case** True

**then show** ?thesis

**using** \* *Suc exe-ge-length* **by** *presburger*

**next**

**case** False

**let** ?rs = *config-read* ?cfg

**let** ?q = *fst* ?cfg

**let** ?tps = *snd* ?cfg

**have** *len*: length ?tps = Suc k

**using** *assms*(1,5) *execute-num-tapes* **by** *simp*

**have** *pos*: ?tps :# k = 0

**using** *assms* *immobile-head-pos onesie-def* **by** *auto*

**have** *lenrs*: length ?rs = Suc k

**using** *len read-length* **by** *simp*

**have** \*\*: *exe* M ?cfg = *sem* (M ! ?q) ?cfg

**using** *False exe-lt-length* **by** *simp*

**have** \*\*\*: *sem* (M ! ?q) ?cfg <|> k = *act* ((M ! ?q) ?rs [|] k) (?tps ! k)

**proof** –

**have** *proper-command* (Suc k) (M ! ?q)

**by** (*metis* *False turing-commandD*(1) *assms*(1) *le-less-linear nth-mem turing-machine-def*)

**then show** ?thesis

**using** *len sem-snd* **by** *blast*

**qed**

**have** (M ! ?q) ?rs [~] k = *Stay*

**using** *assms*(2) *lenrs False immobile-def* **by** *simp*

**then have** *act*: *act* ((M ! ?q) ?rs [|] k) (?tps ! k) = (?tps ! k) |:=| ((M ! ?q) ?rs [.] k)

**using** *act-Stay'* **by** (*metis* *prod.collapse*)

**show** ?thesis

**proof** (*cases* (M ! ?q) ?rs [.] k < b)

**case** True

**then have** *sem* (M ! ?q) ?cfg <.>  $k < b$

**using** *pos* \*\*\* *act* **by** *simp*

**then show** ?thesis

**using** \* \*\* **by** *simp*

**next**



```

    case halt: False
  then have fst ((M ! ?q) ?rs) = length M
    using assms(6) bounded-write-def lenrs False le-less-linear by blast
  then show ?thesis
    using * ** sem-fst by simp
qed
qed
qed
then show ?thesis
  using cartesian-execute[OF assms(1-3) - assms(5)] assms(4) by simp
qed

```

In the following lemma, the term  $\lceil c \rceil$  reflects the fact that in the halting state the memorized symbol can be anything.

**lemma** *cartesian-transforms-onesie*:

```

assumes turing-machine (Suc k) G M
  and immobile M k (Suc k)
  and k ≥ 2
  and b > 1
  and bounded-write M k b
  and length tps = k
  and transforms M (tps @ [[▷]]) t (tps' @ [[c]])
shows transforms (cartesian M b) tps t tps'
proof -
  have execute M (0, tps @ [[▷]]) t = (length M, tps' @ [[c]])
    using transforms-def transits-def by (metis (no-types, lifting) assms(7) execute-after-halting-ge fst-conv)
  then have execute (cartesian M b) (0, tps) t = squish b (length M) (length M, tps' @ [[c]])
    using assms cartesian-execute-onesie by simp
  moreover from this have fst (execute (cartesian M b) (0, tps) t) = b * length M
    using squish-halt-state[of b - length M] One-nat-def assms(4) by simp
  ultimately have execute (cartesian M b) (0, tps) t = (b * length M, tps')
    using squish by simp
  then show ?thesis
    using transforms-def transits-def length-cartesian by auto
qed

```

A Turing machine with alphabet  $G$ , when started on a symbol sequence over  $G$ , is guaranteed to only write symbols from  $G$  to any of its tapes, including any memorization tapes. Therefore the last assumption of lemma *cartesian-execute* is satisfied. So in the case of the start configuration we do not need any extra assumptions such as *bounded-write*. This is formalized in the next lemma. The downside is that it can only be applied to “finished” TMs but not to reusable TMs, because these do not usually start in the start state.

**lemma** *cartesian-execute-start-config*:

```

assumes turing-machine (Suc k) G M
  and immobile M k (Suc k)
  and k ≥ 2
  and ∀ i < length zs. zs ! i < G
shows execute (cartesian M G) (start-config k zs) t =
  squish G (length M) (execute M (start-config (Suc k) zs) t)
proof -
  let ?tps = snd (start-config k zs)
  have snd (start-config (Suc k) zs) =
    (λi. if i = 0 then 1 else if i ≤ length zs then zs ! (i - 1) else 0, 0) #
    replicate k (λi. if i = 0 then 1 else 0, 0)
    using start-config-def by auto
  also have ... = (λi. if i = 0 then 1 else if i ≤ length zs then zs ! (i - 1) else 0, 0) #
    replicate (k - 1) (λi. if i = 0 then 1 else 0, 0) @ [(λi. if i = 0 then 1 else 0, 0)]
    using assms(3)
  by (metis (no-types, lifting) One-nat-def Suc-1 Suc-le-D Suc-pred less-Suc-eq-0-disj replicate-Suc replicate-append-same)
  also have ... = snd (start-config k zs) @ [(λi. if i = 0 then 1 else 0, 0)]
    using start-config-def by auto
  finally have snd (start-config (Suc k) zs) = snd (start-config k zs) @ [[▷]]
    using onesie-def by auto

```

```

then have *: start-config (Suc k) zs = (0, ?tps @ [[▷]])
  using start-config-def by simp
then have execute M (0, ?tps @ [[▷]]) t <.> k < G for t
  using assms(1,4) by (metis lessI tape-alphabet)
moreover have G ≥ 2
  using assms(1) turing-machine-def by simp
moreover have length ?tps = k
  using start-config-length assms(3) by simp
ultimately have execute (cartesian M G) (0, ?tps) t =
  squish G (length M) (execute M (0, ?tps @ [[▷]]) t)
  using cartesian-execute[OF assms(1-3)] by simp
moreover have start-config k zs = (0, ?tps)
  using start-config-def by simp
ultimately show ?thesis
  using * by simp
qed

```

So far we have only considered single memorization tapes. But of course we can have more than one by iterating the *cartesian* function. Applying this functions once removes the final memorization tape, but leaves others intact, that is, it maintains immobile tapes:

**lemma** *cartesian-immobile*:

```

assumes turing-machine (Suc k) G M
  and j < k
  and immobile M j (Suc k)
  and M' = cartesian M G
shows immobile M' j k
proof standard+
  fix q :: nat and rs :: symbol list
  assume q: q < length M' and rs: length rs = k
  have q < G * length M
    using assms(1,4) q length-cartesian by simp
  then have G > 0
    using gr0I by fastforce
  have length M > 0
    using ⟨q < G * length M⟩ by auto
  define h where h = q div length M
  moreover define i where i = q mod length M
  then have i < length M
    using ⟨0 < length M⟩ mod-less-divisor by simp
  have h < G
    using i-def h-def ⟨q < G * length M⟩ less-mult-imp-div-less by blast
  have q = h * length M + i
    using h-def i-def by simp
  then have M' ! q = (λrs.
    (let (q', as) = (M ! i) (rs @ [(h + 1) mod G])
      in (if q' = length M then G * length M else (fst (last as) + G - 1) mod G * length M + q',
        butlast as)))
    using assms(1,4) ⟨h < G⟩ ⟨i < length M⟩ cartesian-at by auto
  then have (M' ! q) rs =
    (let (q', as) = (M ! i) (rs @ [(h + 1) mod G])
      in (if q' = length M then G * length M else (fst (last as) + G - 1) mod G * length M + q',
        butlast as)) for rs
    by simp
  then have (M' ! q) rs =
    (let qas = (M ! i) (rs @ [(h + 1) mod G])
      in (if fst qas = length M then G * length M else (fst (last (snd qas)) + G - 1) mod G * length M + fst
        qas,
        butlast (snd qas))) for rs
    by (metis (no-types, lifting) old.prod.case prod.collapse)
  then have (M' ! q) rs =
    (if (fst ((M ! i) (rs @ [(h + 1) mod G]))) = length M
      then G * length M
      else (fst (last (snd ((M ! i) (rs @ [(h + 1) mod G])))) + G - 1) mod G * length M + fst ((M ! i) (rs @

```

```

[(h + 1) mod G]),
  butlast (snd ((M ! i) (rs @ [(h + 1) mod G]))) for rs
  by metis
then have 1: snd ((M' ! q) rs) = butlast (snd ((M ! i) (rs @ [(h + 1) mod G]))) for rs
  by simp

have len: length (rs @ [(h + 1) mod G]) = Suc k
  by (simp add: rs)
then have 2: (M ! i) (rs @ [(h + 1) mod G]) [~] j = Stay
  using immobile-def assms(3) len <i < length M> by blast
have length (snd ((M ! i) (rs @ [(h + 1) mod G]))) = Suc k
  using len assms(1) turing-machine-def by (metis turing-commandD(1) <i < length M> turing-machineD(3))
then have butlast (snd ((M ! i) (rs @ [(h + 1) mod G]))) ! j =
  snd ((M ! i) (rs @ [(h + 1) mod G])) ! j
  using assms(2) by (simp add: nth-butlast)
then have snd ((M' ! q) rs) ! j = snd ((M ! i) (rs @ [(h + 1) mod G])) ! j
  using 1 by simp
then show (M' ! q) rs [~] j = Stay
  using 2 by simp
qed

```

With the next function, *icartesian*, we can strip several memorization tapes off.

```

fun icartesian :: nat ⇒ machine ⇒ nat ⇒ machine where
  icartesian 0 M G = M |
  icartesian (Suc k) M G = icartesian k (cartesian M G) G

```

Applying *icartesian* maintains the property of being a Turing machine. We show that only for the special case that all tapes but the input and output tapes are memorization tapes. In this case, we end up with a two-tape machine.

**lemma** *icartesian-tm*:

```

assumes turing-machine (k + 2) G M
  and  $\bigwedge j. j < k \implies \text{immobile } M (j + 2) (k + 2)$ 
shows turing-machine 2 G (icartesian k M G)
  using assms(1,2)
proof (induction k arbitrary: M)
  case 0
  then show ?case
  by (metis add.left-neutral icartesian.simps(1))
next
  case (Suc k)
  let ?M = cartesian M G
  have turing-machine (Suc (k + 2)) G M
  using Suc by simp
  moreover have k + 2 ≥ 2
  by simp
  ultimately have turing-machine (k + 2) G ?M
  using <turing-machine (Suc (k + 2)) G M> cartesian-tm' by blast
  moreover have  $\bigwedge j. j < k \implies \text{immobile } ?M (j + 2) (k + 2)$ 
  using cartesian-immobile Suc by simp
  ultimately have turing-machine 2 G (icartesian k ?M G)
  using Suc by simp
  then show turing-machine 2 G (icartesian (Suc k) M G)
  by simp
qed

```

At this point we ought to prove something about the semantics of *icartesian*. However, we will only need one specific result, which we can only express at the end of Section 5.1 after we have introduced oblivious Turing machines.

**end**

## 2.6 Composing functions

```
theory Composing
imports Elementary
begin
```

For a Turing machine  $M_1$  computing  $f_1$  in time  $T_1$  and a TM  $M_2$  computing  $f_2$  in time  $T_2$  there is a TM  $M$  computing  $f_2 \circ f_1$  in time  $O(T_1(n) + \max_{m \leq T_1(n)} T_2(m))$ . If  $T_1$  is monotone the time bound is  $O(T_1 + T_2 \circ T_1)$ ; if  $T_1$  and  $T_2$  are polynomially bounded the running-time of  $M$  is polynomially bounded, too.

The Turing machines  $M_1$  and  $M_2$  can have both a different alphabet and number of tapes, so generally they cannot be composed by the `;` operator. To get around this we enlarge the alphabet and prepend and append tapes, so  $M$  has as many tapes as  $M_1$  and  $M_2$  combined. The following function returns the combined Turing machine  $M$ .

```
definition compose-machines k1 G1 M1 k2 G2 M2  $\equiv$ 
  enlarged G1 (append-tapes k1 (k1 + k2) M1) ;;
  tm-start 1 ;;
  tm-cp-until 1 k1 { $\square$ } ;;
  tm-erase-cr 1 ;;
  tm-start k1 ;;
  prepend-tapes k1 (enlarged G2 M2) ;;
  tm-cr (k1 + 1) ;;
  tm-cp-until (k1 + 1) 1 { $\square$ }
```

```
locale compose =
  fixes k1 k2 G1 G2 :: nat
  and M1 M2 :: machine
  and T1 T2 :: nat  $\Rightarrow$  nat
  and f1 f2 :: string  $\Rightarrow$  string
  assumes tm-M1: turing-machine k1 G1 M1
  and tm-M2: turing-machine k2 G2 M2
  and computes1: computes-in-time k1 M1 f1 T1
  and computes2: computes-in-time k2 M2 f2 T2
begin
```

```
definition tm1  $\equiv$  enlarged G1 (append-tapes k1 (k1 + k2) M1)
definition tm2  $\equiv$  tm1 ;; tm-start 1
definition tm3  $\equiv$  tm2 ;; tm-cp-until 1 k1 { $\square$ }
definition tm4  $\equiv$  tm3 ;; tm-erase-cr 1
definition tm5  $\equiv$  tm4 ;; tm-start k1
definition tm56  $\equiv$  prepend-tapes k1 (enlarged G2 M2)
definition tm6  $\equiv$  tm5 ;; tm56
definition tm7  $\equiv$  tm6 ;; tm-cr (k1 + 1)
definition tm8  $\equiv$  tm7 ;; tm-cp-until (k1 + 1) 1 { $\square$ }
```

```
definition G :: nat where
  G  $\equiv$  max G1 G2
```

```
lemma G1: G1  $\leq$  G and G2: G2  $\leq$  G
using G-def by simp-all
```

```
lemma k-ge: k1  $\geq$  2 k2  $\geq$  2
using tm-M1 tm-M2 turing-machine-def by simp-all
```

```
lemma tm1-tm: turing-machine (k1 + k2) G tm1
unfolding tm1-def using turing-machine-enlarged append-tapes-tm tm-M1 G1 by simp
```

```
lemma tm2-tm: turing-machine (k1 + k2) G tm2
unfolding tm2-def using tm1-tm tm-start-tm turing-machine-def by blast
```

```
lemma tm3-tm: turing-machine (k1 + k2) G tm3
unfolding tm3-def
```

```

using tm2-tm tm-cp-until-tm turing-machine-def k-ge turing-machine-sequential-turing-machine
by (metis add-leD1 less-add-same-cancel1 less-le-trans less-numeral-extra(1) nat-1-add-1)

lemma tm4-tm: turing-machine (k1 + k2) G tm4
unfolding tm4-def
using tm3-tm tm-erase-cr-tm turing-machine-def turing-machine-sequential-turing-machine
by (metis Suc-1 Suc-le-lessD tm-erase-cr-tm zero-less-one)

lemma tm5-tm: turing-machine (k1 + k2) G tm5
unfolding tm5-def
using tm4-tm tm-start-tm turing-machine-def turing-machine-sequential-turing-machine
by auto

lemma tm6-tm: turing-machine (k1 + k2) G tm6
unfolding tm6-def
using tm5-tm tm56-def turing-machine-enlarged prepend-tapes-tm tm-M2 G2
by simp

lemma tm7-tm: turing-machine (k1 + k2) G tm7
unfolding tm7-def using tm6-tm tm-cr-tm turing-machine-def by blast

lemma tm8-tm: turing-machine (k1 + k2) G tm8
unfolding tm8-def
using tm7-tm tm-cp-until-tm turing-machine-def turing-machine-sequential-turing-machine k-ge(2)
by (metis add.commute add-less-cancel-right add-strict-increasing nat-1-add-1
  verit-comp-simplify1(3) zero-less-one)

context
fixes x :: string
begin

definition zs ≡ string-to-symbols x

lemma bit-symbols-zs: bit-symbols zs
using zs-def by simp

abbreviation n ≡ length x

lemma length-zs [simp]: length zs = n
using zs-def by simp

definition tps0 ≡ snd (start-config (k1 + k2) zs)

definition tps1a :: tape list where
  tps1a ≡ SOME tps. tps ::: 1 = string-to-contents (f1 x) ∧
  transforms M1 (snd (start-config k1 (string-to-symbols x))) (T1 n) tps

lemma tps1a-aux:
  tps1a ::: 1 = string-to-contents (f1 x)
  transforms M1 (snd (start-config k1 (string-to-symbols x))) (T1 n) tps1a
using tps1a-def someI-ex[OF computes-in-timeD[OF computes1, of x]]
by simp-all

lemma tps1a:
  tps1a ::: 1 = string-to-contents (f1 x)
  transforms M1 (snd (start-config k1 zs)) (T1 n) tps1a
using tps1a-aux zs-def by simp-all

lemma length-tps1a [simp]: length tps1a = k1
using tps1a(2) tm-M1 start-config-length execute-num-tapes transforms-def transits-def turing-machine-def
by (smt (verit, del-insts) Suc-1 add-pos-pos less-le-trans less-numeral-extra(1) plus-1-eq-Suc snd-conv)

definition tps1b :: tape list where

```

$tps1b \equiv replicate\ k2\ ([\square],\ 0)$

**definition**  $tps1 :: tape\ list\ where$

$tps1 \equiv tps1a\ @\ tps1b$

**lemma**  $tps1-at-1: tps1\ !\ 1 = tps1a\ !\ 1$

**using**  $tps1-def\ length-tps1a\ k-ge$  **by**  $(metis\ Suc-1\ Suc-le-lessD\ nth-append)$

**lemma**  $tps1-at-1': tps1\ ::\ 1 = string-to-contents\ (f1\ x)$

**using**  $tps1-at-1\ tps1a$  **by**  $simp$

**lemma**  $tps1-pos-le: tps1\ :#\ 1 \leq T1\ n$

**proof**  $-$

**have**  $execute\ M1\ (start-config\ k1\ zs)\ (T1\ n) = (length\ M1,\ tps1a)$

**using**  $transforms-def\ transits-def\ tps1a(2)$

**by**  $(metis\ (no-types,\ lifting)\ execute-after-halting-ge\ fst-conv\ start-config-def\ snd-conv)$

**moreover** **have**  $execute\ M1\ (start-config\ k1\ zs)\ (T1\ n) <\#\>\ 1 \leq T1\ n$

**using**  $head-pos-le-time[OF\ tm-M1,\ of\ 1]\ k-ge$  **by**  $fastforce$

**ultimately** **show**  $?thesis$

**using**  $tps1-at-1$  **by**  $simp$

**qed**

**lemma**  $length-f1-x: length\ (f1\ x) \leq T1\ n$

**proof**  $-$

**have**  $execute\ M1\ (start-config\ k1\ zs)\ (T1\ n) = (length\ M1,\ tps1a)$

**using**  $transforms-def\ transits-def\ tps1a(2)$

**by**  $(metis\ (no-types,\ lifting)\ execute-after-halting-ge\ fst-conv\ start-config-def\ snd-conv)$

**moreover** **have**  $(execute\ M1\ (start-config\ k1\ zs)\ (T1\ n) <:\>\ 1) i = \square$  **if**  $i > T1\ n$  **for**  $i$

**using**  $blank-after-time[OF\ that\ -\ tm-M1]\ k-ge(1)$  **by**  $simp$

**ultimately** **have**  $(tps1a\ ::\ 1) i = \square$  **if**  $i > T1\ n$  **for**  $i$

**using**  $that$  **by**  $simp$

**then** **have**  $(string-to-contents\ (f1\ x)) i = \square$  **if**  $i > T1\ n$  **for**  $i$

**using**  $that\ tps1a(1)$  **by**  $simp$

**then** **have**  $length\ (string-to-symbols\ (f1\ x)) \leq T1\ n$

**by**  $(metis\ length-map\ order-refl\ verit-comp-simplify1(3)\ zero-neq-numeral\ zero-neq-one)$

**then** **show**  $?thesis$

**by**  $simp$

**qed**

**lemma**  $start-config-append:$

$start-config\ (k1 + k2)\ zs = (0,\ snd\ (start-config\ k1\ zs)\ @\ tps1b)$

**proof**

**have**  $k1 > 0$

**using**  $tm-M1\ turing-machine-def$  **by**  $simp$

**show**  $fst\ (start-config\ (k1 + k2)\ zs) = fst\ (0,\ snd\ (start-config\ k1\ zs)\ @\ tps1b)$

**using**  $start-config-def$  **by**  $simp$

**show**  $snd\ (start-config\ (k1 + k2)\ zs) = snd\ (0,\ snd\ (start-config\ k1\ zs)\ @\ tps1b)$

$(is\ ?l = ?r)$

**proof**  $(rule\ nth-equality1)$

**have**  $len: ||start-config\ k1\ zs|| = k1$

**using**  $start-config-length$  **by**  $(simp\ add: \langle 0 < k1 \rangle)$

**show**  $length\ ?l = length\ ?r$

**using**  $start-config-length\ tps1b-def\ tm-M1\ turing-machine-def$  **by**  $simp$

**show**  $?l\ !\ j = ?r\ !\ j$  **if**  $j < length\ ?l$  **for**  $j$

**proof**  $(cases\ j < k1)$

**case**  $True$

**show**  $?thesis$

**proof**  $(cases\ j = 0)$

**case**  $True$

**then** **show**  $?thesis$

**using**  $start-config-def\ \langle k1 > 0 \rangle$  **by**  $simp$

**next**

**case**  $False$

```

then have 1: ?l ! j = (λi. if i = 0 then ▷ else □, 0)
  using start-config-def ⟨k1 > 0⟩ True by auto
have ?r ! j = snd (start-config k1 zs) ! j
  using True len by (simp add: nth-append)
then have ?r ! j = (λi. if i = 0 then ▷ else □, 0)
  using start-config₄ ⟨k1 > 0⟩ False True by simp
then show ?thesis
  using 1 by simp
qed
next
case False
then have j: j < k1 + k2 k1 ≤ j
  using that ⟨0 < k1⟩ add-gr-0 start-config-length by simp-all
then have ?r ! j = ([[]], 0)
  using tps1b-def by (simp add: False len nth-append)
moreover have ?l ! j = (λi. if i = 0 then ▷ else □, 0)
  using start-config₄ ⟨k1 > 0⟩ j by simp
ultimately show ?thesis
  by auto
qed
qed
qed

lemma tm1 [transforms-intros]: transforms tm1 tps0 (T1 n) tps1
proof –
  let ?M = append-tapes k1 (k1 + length tps1b) M1
  have len: ||start-config k1 zs|| = k1
    using start-config-length[of k1 zs] tm-M1 turing-machine-def by simp
  have transforms ?M (snd (start-config k1 zs) @ tps1b) (T1 n) (tps1a @ tps1b)
    using transforms-append-tapes[OF tm-M1 len tps1a(2), of tps1b] .
  moreover have tps0 = snd (start-config k1 zs) @ tps1b
  unfolding tps0-def using start-config-append by simp
  ultimately have *: transforms ?M tps0 (T1 n) tps1
    using tps1-def by simp

  have symbols-lt G1 zs
    using bit-symbols-zs tm-M1 turing-machine-def by auto
  moreover have turing-machine (k1 + k2) G1 ?M
    using append-tapes-tm[OF tm-M1, of k1 + k2] by (simp add: tps1b-def)
  ultimately have transforms (enlarged G1 ?M) tps0 (T1 n) tps1
    using transforms-enlarged * tps0-def by simp
  then show ?thesis
    using tm1-def tps1b-def by simp
qed

lemma clean-string-to-contents: clean-tape (string-to-contents xs, i)
  using clean-tape-def by simp

definition tps2 :: tape list where
  tps2 ≡ tps1 [1 := tps1 ! 1 |#|= 0]

lemma length-tps2 [simp]: length tps2 = k1 + k2
  using tps2-def tps1-def by (simp add: tps1b-def)

lemma tm2:
  assumes t = Suc (T1 n + tps1 :# : Suc 0)
  shows transforms tm2 tps0 t tps2
  unfolding tm2-def
proof (tform tps: assms tps2-def)
  show 1 < length tps1
    using tm-M1 turing-machine-def tps1-def by simp
  show clean-tape (tps1 ! 1)
    using tps1a(1) tps1-at-1 clean-tape-def by simp

```

qed

corollary *tm2'* [*transforms-intros*]:

assumes  $t = \text{Suc } (2 * T1 \ n)$   
shows *transforms tm2 tps0 t tps2*  
using *assms tm2 tps1-pos-le transforms-monotone by simp*

definition *tps3* :: *tape list* where

$tps3 \equiv tps2 \ [1 := tps2 \ ! \ 1 \ |\#|= \ (\text{Suc } (\text{length } (f1 \ x))), \ k1 := tps2 \ ! \ 1 \ |\#|= \ (\text{Suc } (\text{length } (f1 \ x)))]$

lemma *tm3*:

assumes  $t = \text{Suc } (\text{Suc } (2 * T1 \ n + \text{Suc } (\text{length } (f1 \ x))))$   
shows *transforms tm3 tps0 t tps3*  
unfolding *tm3-def*

proof (*tform tps: k-ge*)

have  $\text{Suc } 0 < k1 + k2 \ 0 < k2$

using *k-ge by simp-all*

then have  $*$ :  $tps2 \ ! \ 1 = tps1 \ ! \ 1 \ |\#|= \ 0$

using *tps2-def by (simp add: tps1-def tps1b-def)*

let  $?i = \text{Suc } (\text{length } (f1 \ x))$

show *rneigh (tps2 ! 1) {0} ?i*

using  $*$  *tps1-at-1 tps1a by (intro rneighI) auto*

show  $tps3 = tps2$

$[1 := tps2 \ ! \ 1 \ |+\ | \ \text{Suc } (\text{length } (f1 \ x)),$

$k1 := \text{implant } (tps2 \ ! \ 1) \ (tps2 \ ! \ k1) \ (\text{Suc } (\text{length } (f1 \ x)))]$

proof -

have  $tps2 \ ! \ 1 \ |\#|= \ (\text{Suc } (\text{length } (f1 \ x))) = tps2 \ ! \ 1 \ |\#|= \ \text{Suc } (tps2 \ :\#\ : \ 1 + \text{length } (f1 \ x))$

by (*metis \* One-nat-def add-Suc plus-1-eq-Suc snd-conv*)

moreover have  $tps2 \ ! \ 1 \ |\#|= \ ?i = \text{implant } (tps2 \ ! \ 1) \ (tps2 \ ! \ k1) \ ?i$

proof

have  $1$ :  $tps2 \ ! \ 1 = (\text{string-to-contents } (f1 \ x), \ 0)$

using *tps1-at-1' \* by simp*

have  $tps1 \ ! \ k1 = ([\ ] , \ 0)$

using *tps1-def tps1b-def by (simp add: <0 < k2> nth-append)*

then have  $2$ :  $tps2 \ ! \ k1 = ([\ ] , \ 0)$

using *tps2-def k-ge by simp*

then show *snd (tps2 ! 1 |#|= ?i) = snd (implant (tps2 ! 1) (tps2 ! k1) ?i)*

using *implant by simp*

have *fst (implant (tps2 ! 1) (tps2 ! k1) ?i) i = fst (tps2 ! 1 |#|= ?i) i for i*

using  $1 \ 2$  *implant by simp*

then show *fst (tps2 ! 1 |#|= ?i) = fst (implant (tps2 ! 1) (tps2 ! k1) ?i)*

by *auto*

qed

ultimately show *?thesis*

using *tps3-def by simp*

qed

show  $t = \text{Suc } (2 * T1 \ n) + \text{Suc } (\text{Suc } (\text{length } (f1 \ x)))$

using *assms by simp*

qed

definition *tps3'*  $\equiv tps1a$

$[1 := (\text{string-to-contents } (f1 \ x), \ \text{Suc } (\text{length } (f1 \ x)))] \ @$

$((\text{string-to-contents } (f1 \ x), \ \text{Suc } (\text{length } (f1 \ x))) \ #$

$\text{replicate } (k2 - 1) \ ([\ ] , \ 0))$

lemma *tps3'*:  $tps3 = tps3'$

proof (*rule nth-equalityI*)

have  $\text{length } tps3 = k1 + k2$

using *tps3-def by simp*

moreover have  $\text{length } tps3' = k1 + k2$

using *k-ge(2) tps3'-def by simp*

ultimately show  $\text{length } tps3 = \text{length } tps3'$

by *simp*



```

show tps3 ! j = tps3' ! j if j < length tps3 for j
proof (cases j < k1)
  case True
  then have rhs: tps3' ! j = (tps1a [1 := (string-to-contents (f1 x), Suc (length (f1 x)))] ! j
    by (simp add: tps3'-def nth-append)
  show ?thesis
  proof (cases j = 1)
    case True
    then have tps3 ! j = tps2 ! 1 |#=#| (Suc (length (f1 x)))
      using tps3-def Suc-1 Suc-n-not-le-n ⟨length tps3 = k1 + k2⟩ k-ge(1)
        length-tps2 nth-list-update-eq nth-list-update-neq that
      by auto
    then have tps3 ! j = tps1 ! 1 |#=#| (Suc (length (f1 x)))
      using tps2-def True ⟨length tps3 = k1 + k2⟩ length-tps2 that by auto
    then have tps3 ! j = (string-to-contents (f1 x), Suc (length (f1 x)))
      using tps1-at-1 tps1a(1) by simp
    then show ?thesis
      using rhs True k-ge(1) by auto
  next
  case False
  then have tps3 ! j = tps2 ! j
    using tps3-def True by simp
  then have tps3 ! j = tps1 ! j
    using tps2-def False by simp
  then have tps3 ! j = tps1a ! j
    using length-tps1a tps1-def False True by (simp add: nth-append)
  moreover have tps3' ! j = tps1a ! j
    using False rhs by simp
  ultimately show ?thesis
    by simp
qed
next
case j-ge: False
show ?thesis
proof (cases j = k1)
  case True
  then have tps3 ! j = tps2 ! 1 |#=#| (Suc (length (f1 x)))
    using tps3-def that by simp
  then have tps3 ! j = tps1 ! 1 |#=#| (Suc (length (f1 x)))
    using tps2-def ⟨length tps3 = k1 + k2⟩ length-tps2 Suc-1 Suc-le-lessD tm1-tm turing-machine-def
    by simp
  then have tps3 ! j = (string-to-contents (f1 x), Suc (length (f1 x)))
    using tps1-at-1 tps1a(1) by simp
  moreover have tps3' ! j = (string-to-contents (f1 x), Suc (length (f1 x)))
    using True tps3'-def
    by (metis (no-types, lifting) length-list-update length-tps1a nth-append-length)
  ultimately show ?thesis
    by simp
next
case False
then have j > k1
  using j-ge by simp
then have tps3' ! j = ((string-to-contents (f1 x), Suc (length (f1 x))) #
  replicate (k2 - 1) ([[]], 0)) ! (j - k1)
  by (simp add: tps3'-def nth-append)
moreover have j - k1 < k2
  by (metis ⟨k1 < j⟩ ⟨length tps3 = k1 + k2⟩ add.commute less-diff-conv2 less-imp-le that)
ultimately have *: tps3' ! j = ([[]], 0)
  by (metis (no-types, lifting) Suc-leI ⟨k1 < j⟩ add-leD1 le-add-diff-inverse2 less-diff-conv2
    nth-Cons-pos nth-replicate plus-1-eq-Suc zero-less-diff)
have tps3 ! j = tps2 ! j
  using tps3-def ⟨k1 < j⟩ k-ge(1) by simp
then have tps3 ! j = tps1 ! j

```

```

    using tps2-def ⟨k1 < j⟩ k-ge(1) by simp
  then have tps3 ! j = tps1b ! (j - k1)
    using tps1-def by (simp add: j-ge nth-append)
  then have tps3 ! j = (⟦⟦⟦, 0)
    using tps1b-def by (simp add: ⟨j - k1 < k2⟩)
  then show ?thesis
    using * by simp
qed
qed
qed

lemma tm3' [transforms-intros]:
  assumes t = Suc (Suc (Suc (3 * T1 n)))
  shows transforms tm3 tps0 t tps3'
proof -
  have transforms tm3 tps0 (Suc (Suc (2 * T1 n + Suc (length (f1 x))))) tps3
    using tm3 by simp
  moreover have t ≥ Suc (Suc (2 * T1 n + Suc (length (f1 x))))
    using assms length-f1-x by simp
  ultimately show ?thesis
    using tps3' transforms-monotone by auto
qed

definition tps4 ≡
  tps1a [1 := (⟦⟦⟦, 1)] @
  ((string-to-contents (f1 x), Suc (length (f1 x))) #
  replicate (k2 - 1) (⟦⟦⟦, 0))

lemma tm4:
  assumes t = 9 + (3 * T1 n + (Suc (3 * length (string-to-symbols (f1 x)))))
  shows transforms tm4 tps0 t tps4
  unfolding tm4-def
proof (tform)
  show 1 < length tps3'
    using tps3'-def using tm1-tm turing-machine-def by auto
  let ?zs = string-to-symbols (f1 x)
  show proper-symbols ?zs
    by simp
  show tps4 = tps3'[1 := (⟦⟦⟦, 1)]
    using tps4-def tps3'-def k-ge(1) length-tps1a by (simp add: list-update-append1)
  show tps3' ::: 1 = [string-to-symbols (f1 x)]
proof -
  have tps3' ! 1 = (string-to-contents (f1 x), Suc (length (f1 x)))
    using tps3'-def k-ge(1) length-tps1a by (simp add: nth-append)
  then show ?thesis
    by auto
qed
  have tps3' :# 1 = Suc (length (f1 x))
    using tps3'-def k-ge(1) length-tps1a by (simp add: nth-append)
  then show t = Suc (Suc (Suc (3 * T1 n))) +
    (tps3' :# 1 + 2 * length (string-to-symbols (f1 x)) + 6)
    using assms by simp
qed

lemma tm4' [transforms-intros]:
  assumes t = 10 + (6 * T1 n)
  shows transforms tm4 tps0 t tps4
proof (rule transforms-monotone[OF tm4], simp)
  show 10 + (3 * T1 n + 3 * length (f1 x)) ≤ t
    using length-f1-x assms by simp
qed

definition tps5 ≡

```

```

tps1a [1 := ([[]], 1)] @
((string-to-contents (f1 x), 0) #
 replicate (k2 - 1) ([[]], 0))

```

**lemma** *tm5*:

**assumes**  $t = 11 + (6 * T1\ n + tps4\ :\#:\ k1)$

**shows** *transforms tm5 tps0 t tps5*

**unfolding** *tm5-def*

**proof** (*tform time: assms*)

**show**  $k1 < \text{length } tps4$

**using** *tps4-def length-tps1a* **by** *simp*

**show**  $tps5 = tps4[k1 := tps4 ! k1 | \# = | 0]$

**using** *tps4-def tps5-def length-tps1a*

**by** (*metis (no-types, lifting) fst-conv length-list-update list-update-length nth-append-length*)

**show** *clean-tape (tps4 ! k1)*

**using** *tps4-def length-tps1a clean-tape-def*

**by** (*smt (verit) Suc-eq-plus1 add commute add-cancel-right-right*

*fst-conv length-list-update nat.distinct(1) nat-1-add-1 nth-append-length numeral-3-eq-3*)

**qed**

**abbreviation**  $ys \equiv \text{string-to-symbols } (f1\ x)$

**abbreviation**  $m \equiv \text{length } (f1\ x)$

**definition** *tps5'*  $\equiv$

*tps1a [1 := ([[]], 1)] @*

*snd (start-config k2 ys)*

**lemma** *tps5'*:  $tps5 = tps5'$

**using** *tps5-def tps5'-def start-config-def* **by** *auto*

**lemma** *tm5'* [*transforms-intros*]:

**assumes**  $t = 12 + 7 * T1\ n$

**shows** *transforms tm5 tps0 t tps5'*

**proof** –

**have**  $tps4\ : \#:\ k1 = \text{Suc } (\text{length } (f1\ x))$

**using** *tps4-def*

**by** (*metis (no-types, lifting) length-list-update length-tps1a nth-append-length snd-conv*)

**then have**  $tps4\ : \#:\ k1 \leq \text{Suc } (T1\ n)$

**using** *length-f1-x* **by** *simp*

**then have**  $t \geq 11 + (6 * T1\ n + tps4\ : \#:\ k1)$

**using** *assms* **by** *simp*

**then show** *?thesis*

**using** *tm5 transforms-monotone tps5'* **by** *simp*

**qed**

**definition** *tps6b* :: *tape list* **where**

$tps6b \equiv \text{SOME } tps.\ tps\ ::\ 1 = \text{string-to-contents } (f2\ (f1\ x)) \wedge$

$\text{transforms } M2\ (\text{snd } (\text{start-config } k2\ ys))\ (T2\ m)\ tps$

**lemma** *tps6b*:

$tps6b\ ::\ 1 = \text{string-to-contents } (f2\ (f1\ x))$

$\text{transforms } M2\ (\text{snd } (\text{start-config } k2\ ys))\ (T2\ m)\ tps6b$

**using** *tps6b-def some1-ex[OF computes-in-timeD[OF computes2, of f1 x]]*

**by** *simp-all*

**lemma** *tps6b-pos-le*:  $tps6b\ : \#:\ 1 \leq T2\ m$

**proof** –

**have**  $\text{execute } M2\ (\text{start-config } k2\ ys)\ (T2\ m) = (\text{length } M2,\ tps6b)$

**using** *transforms-def transits-def tps6b(2)*

**by** (*metis (no-types, lifting) execute-after-halting-ge fst-conv start-config-def snd-conv*)

**moreover have**  $\text{execute } M2\ (\text{start-config } k2\ ys)\ (T2\ m) <\#> 1 \leq T2\ m$

**using** *head-pos-le-time[OF tm-M2, of 1] k-ge* **by** *simp*

**ultimately show** *?thesis*  
**by** *simp*  
**qed**

**lemma** *length-tps6b*:  $\text{length } tps6b = k2$   
**using** *tm-M2 execute-num-tapes k-ge(2) tps5' tps5'-def tps5-def tps6b(2) transforms-def transits-def*  
**by** (*smt (verit, ccfv-threshold) One-nat-def Suc-diff-Suc length-Cons length-replicate less-le-trans minus-nat.diff-0 numeral-2-eq-2 prod.sel(2) same-append-eq zero-less-Suc*)

**lemma** *length-f2-f1-x*:  $\text{length } (f2 (f1 x)) \leq T2 m$

**proof** –

**have** *execute M2 (start-config k2 ys) (T2 m) = (length M2, tps6b)*  
**using** *transforms-def transits-def tps6b(2)*  
**by** (*metis (no-types, lifting) execute-after-halting-ge fst-conv start-config-def snd-conv*)  
**moreover have** (*execute M2 (start-config k2 ys) (T2 m) <:> 1*)  $i = 0$  **if**  $i > T2 m$  **for**  $i$   
**using** *blank-after-time[OF that - - tm-M2] k-ge(2)* **by** *simp*  
**ultimately have** (*tps6b :: 1*)  $i = \square$  **if**  $i > T2 m$  **for**  $i$   
**using that** **by** *simp*  
**then have** (*string-to-contents (f2 (f1 x))*)  $i = \square$  **if**  $i > T2 m$  **for**  $i$   
**using that** *tps6b(1)* **by** *simp*  
**then have**  $\text{length } (\text{string-to-symbols } (f2 (f1 x))) \leq T2 m$   
**by** (*metis length-map order-refl verit-comp-simplify1(3) zero-neq-numeral zero-neq-one*)  
**then show** *?thesis*  
**by** *simp*

**qed**

**lemma** *enlarged-M2*:  $\text{transforms } (\text{enlarged } G2 M2) (\text{snd } (\text{start-config } k2 ys)) (T2 m) tps6b$

**proof** –

**have** *symbols-lt G2 (string-to-symbols (f1 x))*  
**using** *tm-M2 turing-machine-def* **by** *simp*  
**then show** *?thesis*  
**using** *transforms-enlarged[OF tm-M2 - tps6b(2)]* **by** *simp*

**qed**

**lemma** *enlarged-M2-tm*:  $\text{turing-machine } k2 G (\text{enlarged } G2 M2)$

**using** *turing-machine-enlarged tm-M2 G2* **by** *simp*

**definition** *tps6*  $\equiv tps1a[1 := ([\square], 1)] @ tps6b$

**lemma** *tm56 [transforms-intros]*:  $\text{transforms } tm56 tps5' (T2 m) tps6$

**using** *transforms-prepend-tapes[OF enlarged-M2-tm - - enlarged-M2, of tps1a [1 := ([\square], 1)] k1] tps5'-def tps6-def tm56-def start-config-length k-ge(2)*  
**by** *auto*

**lemma** *tps6-at-Suc-k1*:  $tps6 :: (k1 + 1) = \text{string-to-contents } (f2 (f1 x))$

**and** *tps6-pos-le*:  $tps6 :\# : (k1 + 1) \leq T2 m$

**proof** –

**have**  $tps6 ! (k1 + 1) = tps6b ! 1$   
**using** *tps6-def length-tps1a length-tps6b* **by** (*simp add: nth-append*)  
**then show**  
 $tps6 :: (k1 + 1) = \text{string-to-contents } (f2 (f1 x))$   
 $tps6 :\# : (k1 + 1) \leq T2 m$   
**using** *tps6b(1) tps6b-pos-le* **by** *simp-all*

**qed**

**lemma** *tm6 [transforms-intros]*:

**assumes**  $t = 12 + 7 * T1 n + T2 m$

**shows**  $\text{transforms } tm6 tps0 t tps6$

**unfolding** *tm6-def* **by** (*tform tps: assms*)

**definition** *tps7*  $\equiv$

*tps1a[1 := ([\square], 1)] @*

*tps6b[1 := (string-to-contents (f2 (f1 x)), 1)]*

**lemma** *tps7-at-Suc-k1*:  $tps7 ! (k1 + 1) = (string-to-contents (f2 (f1 x)), 1)$   
**using** *tps7-def k-ge(2) length-tps1a length-tps6b*  
**by** (*metis (no-types, lifting) One-nat-def Suc-le-lessD add commute diff-add-inverse length-list-update not-add-less2 nth-append nth-list-update-eq numeral-2-eq-2*)

**lemma** *tm7*:  
**assumes**  $t = 14 + (7 * T1 n + (T2 m + tps6 :#: Suc k1))$   
**shows** *transforms tm7 tps0 t tps7*  
**unfolding** *tm7-def*  
**proof** (*tform time: assms*)  
**show**  $k1 + 1 < length tps6$   
**using** *tps6-def k-ge(2) length-tps1a length-tps6b* **by** *simp*  
**show** *clean-tape (tps6 ! (k1 + 1))*  
**using** *tps6-at-Suc-k1 clean-tape-def* **by** *simp*  
**show**  $tps7 = tps6[k1 + 1 := tps6 ! (k1 + 1) |#=# | 1]$   
**proof** –  
**have**  $tps6 ! (k1 + 1) |#=# | 1 = (string-to-contents (f2 (f1 x)), 1)$   
**using** *tps6-at-Suc-k1* **by** *simp*  
**then show** *?thesis*  
**using** *tps6-def tps7-def length-tps1a length-tps6b k-ge tps7-at-Suc-k1*  
**by** (*metis (no-types, lifting) add commute diff-add-inverse length-list-update list-update-append not-add-less2 plus-1-eq-Suc*)  
**qed**  
**qed**

**corollary** *tm7'* [*transforms-intros*]:  
**assumes**  $t = 14 + 7 * T1 n + 2 * T2 m$   
**shows** *transforms tm7 tps0 t tps7*  
**proof** (*rule transforms-monotone[OF tm7], simp*)  
**show**  $14 + (7 * T1 n + (T2 (length (f1 x)) + tps6 :#: Suc k1)) \leq t$   
**using** *assms tps6-pos-le* **by** *simp*  
**qed**

**definition** *tps8*  $\equiv$   
 $tps1a[1 := (string-to-contents (f2 (f1 x)), Suc (length (f2 (f1 x))))] @$   
 $tps6b[1 := (string-to-contents (f2 (f1 x)), Suc (length (f2 (f1 x))))]$

**lemma** *tps8-at-1*:  $tps8 :: 1 = string-to-contents (f2 (f1 x))$   
**using** *tps8-def length-tps1a k-ge(1)*  
**by** (*metis (no-types, lifting) One-nat-def Suc-le-lessD length-list-update nth-append nth-list-update-eq numeral-2-eq-2 prod.sel(1)*)

**lemma** *tm8*:  
**assumes**  $t = 15 + 7 * T1 n + 2 * T2 m + length (f2 (f1 x))$   
**shows** *transforms tm8 tps0 t tps8*  
**unfolding** *tm8-def*  
**proof** (*tform tps: assms*)  
**show**  $k1 + 1 < length tps7$   
**using** *tps7-def length-tps1a length-tps6b k-ge(2)* **by** *simp*  
**show**  $1 < length tps7$   
**using** *tps7-def length-tps6b k-ge(2)* **by** *simp*  
**let**  $?n = length (f2 (f1 x))$   
**show** *rneath (tps7 ! (k1 + 1)) {□} ?n*  
**proof** (*rule rneathI*)  
**show**  $(tps7 :: (k1 + 1)) (tps7 :#: (k1 + 1) + ?n) \in \{\square\}$   
**using** *tps7-at-Suc-k1* **by** *simp*  
**show**  $\bigwedge n'. n' < ?n \implies (tps7 :: (k1 + 1)) (tps7 :#: (k1 + 1) + n') \notin \{\square\}$   
**using** *tps7-at-Suc-k1* **by** *simp*  
**qed**  
**show**  $tps8 = tps7$   
 $[k1 + 1 := tps7 ! (k1 + 1) |+| ?n,$   
 $1 := implant (tps7 ! (k1 + 1)) (tps7 ! 1) ?n]$

```

(is tps8 = ?tps)
proof (rule nth-equality1)
  show length tps8 = length ?tps
  using tps8-def tps7-def by simp
  have len: length tps8 = k1 + k2
  using tps8-def length-tps6b by simp
  show tps8 ! j = ?tps ! j if j < length tps8 for j
  proof (cases j < k1)
    case j-less: True
    then have lhs: tps8 ! j = tps1a[1 := (string-to-contents (f2 (f1 x)), Suc (length (f2 (f1 x))))] ! j
      using tps8-def length-tps1a length-tps6b k-ge by (simp add: nth-append)
    show ?thesis
    proof (cases j = 1)
      case True
      then have 1: ?tps ! j = implant (tps7 ! (k1 + 1)) (tps7 ! 1) ?n
        using ‹1 < length tps7› by simp
      have 2: tps8 ! j = (string-to-contents (f2 (f1 x)), Suc (length (f2 (f1 x))))
        using lhs True j-less by simp
      have 3: tps7 ! 1 = ([[]], 1)
        using tps7-def length-tps1a
        by (metis (no-types, lifting) True j-less length-list-update nth-append nth-list-update-eq)
      have implant (string-to-contents (f2 (f1 x)), 1) ([[]], 1) ?n =
        (string-to-contents (f2 (f1 x)), Suc ?n)
        using implant contents-def by auto
      then show ?thesis
        using 1 2 3 tps7-at-Suc-k1 by simp
    next
    case False
    then have ?tps ! j = tps7 ! j
      by (metis One-nat-def Suc-eq-plus1 add commute j-less not-add-less2 nth-list-update-neq)
    then have ?tps ! j = tps1a ! j
      using False j-less tps7-def length-tps1a
      by (metis (no-types, lifting) length-list-update nth-append nth-list-update-neq)
    moreover have tps8 ! j = tps1a ! j
      using False j-less tps8-def lhs by simp
    ultimately show ?thesis
      by simp
  qed
next
case j-ge: False
then have lhs: tps8 ! j = tps6b[1 := (string-to-contents (f2 (f1 x)), Suc (length (f2 (f1 x))))] ! (j - k1)
  using tps8-def length-tps1a length-tps6b k-ge by (simp add: nth-append)
show ?thesis
proof (cases j = Suc k1)
  case True
  then have tps8 ! j = (string-to-contents (f2 (f1 x)), Suc (length (f2 (f1 x))))
    using lhs len that length-tps6b by simp
  moreover have ?tps ! j = tps7 ! Suc k1 |+| ?n
    using True ‹k1 + 1 < length tps7› k-ge(1) by simp
  ultimately show ?thesis
    using tps7-at-Suc-k1 True by simp
  next
  case False
  then have tps8 ! j = tps6b ! (j - k1)
    using lhs by simp
  moreover have ?tps ! j = tps7 ! j
    using False j-ge that k-ge(1) by simp
  ultimately show ?thesis
    using tps7-def j-ge False length-tps1a
    by (metis (no-types, lifting) add commute add-diff-inverse-nat length-list-update
      nth-append nth-list-update-neq plus-1-eq-Suc)
  qed
qed

```

qed  
qed

lemma *tm8'*:

assumes  $t = 15 + 7 * T1\ n + 3 * T2\ m$   
shows *transforms tm8 tps0 t tps8*  
proof (rule *transforms-monotone*[OF *tm8*], *simp*)  
show  $15 + 7 * T1\ n + 2 * T2\ m + \text{length}\ (f2\ (f1\ x)) \leq t$   
using *length-f2-f1-x assms* by *simp*  
qed

lemma *tm8'-mono*:

assumes *mono T2*  
and  $t = 15 + 7 * T1\ n + 3 * T2\ (T1\ n)$   
shows *transforms tm8 tps0 t tps8*  
proof (rule *transforms-monotone*[OF *tm8'*], *simp*)  
have  $T2\ (T1\ n) \geq T2\ m$   
using *assms(1) length-f1-x monoE* by *auto*  
then show  $15 + 7 * T1\ n + 3 * T2\ m \leq t$   
using *assms(2)* by *simp*  
qed

end

lemma *computes-composed-mono*:

assumes *mono T2* and  $T = (\lambda n. 15 + 7 * T1\ n + 3 * T2\ (T1\ n))$   
shows *computes-in-time (k1 + k2) tm8 (f2 o f1) T*  
proof  
fix *x*  
have *tps8 x :: 1 = string-to-contents (f2 (f1 x))*  
using *tps8-at-1* by *simp*  
moreover have *transforms tm8 (snd (start-config (k1 + k2) (string-to-symbols x))) (T (length x)) (tps8 x)*  
using *tm8'-mono assms tps0-def zs-def* by *simp*  
ultimately show  $\exists\ tps.$   
 $tps :: 1 = \text{string-to-contents}\ ((f2\ \circ\ f1)\ x) \wedge$   
 $\text{transforms}\ tm8\ (\text{snd}\ (\text{start-config}\ (k1 + k2)\ (\text{string-to-symbols}\ x)))\ (T\ (\text{length}\ x))\ tps$   
by *force*  
qed

end

lemma *computes-composed-poly*:

assumes *tm-M1: turing-machine k1 G1 M1*  
and *tm-M2: turing-machine k2 G2 M2*  
and *computes1: computes-in-time k1 M1 f1 T1*  
and *computes2: computes-in-time k2 M2 f2 T2*  
assumes *big-oh-poly T1* and *big-oh-poly T2*  
shows  $\exists\ T\ k\ G\ M. \text{big-oh-poly}\ T \wedge \text{turing-machine}\ k\ G\ M \wedge \text{computes-in-time}\ k\ M\ (f2\ \circ\ f1)\ T$   
proof –  
obtain  $d1 :: \text{nat}$  where *big-oh T1*  $(\lambda n. n \wedge d1)$   
using *assms(5) big-oh-poly-def* by *auto*  
obtain  $b\ c\ d2 :: \text{nat}$  where *cm: d2 > 0  $\forall n. T2\ n \leq b + c * n \wedge d2$*   
using *big-oh-poly-offset*[OF *assms(6)*] by *auto*  
let  $?U = \lambda n. b + c * n \wedge d2$   
have  $U: T2\ n \leq ?U\ n$  for  $n$   
using *cm* by *simp*  
have *mono ?U*  
by *standard (simp add: cm(1))*  
have *computesU: computes-in-time k2 M2 f2 ?U*  
using *computes-in-time-mono*[OF *computes2 U*] .  
interpret *compo: compose k1 k2 G1 G2 M1 M2 T1 ?U f1 f2*  
using *assms computesU compose.intro* by *simp*  
let  $?M = \text{compo.tm8}$

```

let ?T = (λn. 15 + 7 * T1 n + 3 * (b + c * T1 n ^ d2))
have computes-in-time (k1 + k2) ?M (f2 ∘ f1) ?T
  using compo.computes-composed-mono[OF ‹mono ?U›, of ?T] by simp
moreover have big-oh-poly ?T
proof -
  have big-oh-poly (λn. n ^ d2)
    using big-oh-poly-poly by simp
  moreover have (λn. T1 n ^ d2) = (λn. n ^ d2) ∘ T1
    by auto
  ultimately have big-oh-poly (λn. T1 n ^ d2)
    using big-oh-poly-composition[OF assms(5)] by auto
  then have big-oh-poly (λn. 3 * (b + c * T1 n ^ d2))
    using big-oh-poly-const big-oh-poly-prod big-oh-poly-sum by simp
  then show ?thesis
    using assms(5) big-oh-poly-const big-oh-poly-prod big-oh-poly-sum by simp
qed
moreover have turing-machine (k1 + k2) compo.G ?M
  using compo.tm8-tm .
ultimately show ?thesis
  by auto
qed
end

```

## 2.7 Arithmetic

```

theory Arithmetic
  imports Memorizing
begin

```

In this section we define a representation of natural numbers and some reusable Turing machines for elementary arithmetical operations. All Turing machines implementing the operations assume that the tape heads on the tapes containing the operands and the result(s) contain one natural number each. In programming language terms we could say that such a tape corresponds to a variable of type *nat*. Furthermore, initially the tape heads are on cell number 1, that is, one to the right of the start symbol. The Turing machines will halt with the tape heads in that position as well. In that way operations can be concatenated seamlessly.

### 2.7.1 Binary numbers

We represent binary numbers as sequences of the symbols **0** and **1**. Slightly unusually the least significant bit will be on the left. While every sequence over these symbols represents a natural number, the representation is not unique due to leading (or rather, trailing) zeros. The *canonical* representation is unique and has no trailing zeros, not even for the number zero, which is thus represented by the empty symbol sequence. As a side effect empty tapes can be thought of as being initialized with zero.

Naturally the binary digits 0 and 1 are represented by the symbols **0** and **1**, respectively. For example, the decimal number 14, conventionally written  $1100_2$  in binary, is represented by the symbol sequence **0011**. The next two functions map between symbols and binary digits:

```

abbreviation (input) tosym :: nat ⇒ symbol where
  tosym z ≡ z + 2

```

```

abbreviation todigit :: symbol ⇒ nat where
  todigit z ≡ if z = 1 then 1 else 0

```

The numerical value of a symbol sequence:

```

definition num :: symbol list ⇒ nat where
  num xs ≡ ∑ i←[0..length xs]. todigit (xs ! i) * 2 ^ i

```

The *i*-th digit of a symbol sequence, where digits out of bounds are considered trailing zeros:

```

definition digit :: symbol list ⇒ nat ⇒ nat where

```



$digit\ xs\ i \equiv if\ i < length\ xs\ then\ xs\ !\ i\ else\ 0$

Some properties of  $num$ :

**lemma**  $num-ge-pow$ :

**assumes**  $i < length\ xs$  **and**  $xs\ !\ i = 1$

**shows**  $num\ xs \geq 2^i$

**proof** –

**let**  $?ys = map\ (\lambda i. todigit\ (xs\ !\ i) * 2^i)\ [0..<length\ xs]$

**have**  $?ys\ !\ i = 2^i$

**using**  $assms$  **by**  $simp$

**moreover** **have**  $i < length\ ?ys$

**using**  $assms(1)$  **by**  $simp$

**ultimately** **show**  $num\ xs \geq 2^i$

**unfolding**  $num-def$  **using**  $elem-le-sum-list$  **by**  $(metis\ (no-types,\ lifting))$

**qed**

**lemma**  $num-trailing-zero$ :

**assumes**  $todigit\ z = 0$

**shows**  $num\ xs = num\ (xs\ @\ [z])$

**proof** –

**let**  $?xs = xs\ @\ [z]$

**let**  $?ys = map\ (\lambda i. todigit\ (?xs\ !\ i) * 2^i)\ [0..<length\ ?xs]$

**have**  $*: ?ys = map\ (\lambda i. todigit\ (xs\ !\ i) * 2^i)\ [0..<length\ xs]\ @\ [0]$

**using**  $assms$  **by**  $(simp\ add:\ nth-append)$

**have**  $num\ ?xs = sum-list\ ?ys$

**using**  $num-def$  **by**  $simp$

**then** **have**  $num\ ?xs = sum-list\ (map\ (\lambda i. todigit\ (xs\ !\ i) * 2^i)\ [0..<length\ xs]\ @\ [0])$

**using**  $*$  **by**  $metis$

**then** **have**  $num\ ?xs = sum-list\ (map\ (\lambda i. todigit\ (xs\ !\ i) * 2^i)\ [0..<length\ xs])$

**by**  $simp$

**then** **show**  $?thesis$

**using**  $num-def$  **by**  $simp$

**qed**

**lemma**  $num-Cons$ :  $num\ (x\ \#\ xs) = todigit\ x + 2 * num\ xs$

**proof** –

**have**  $[0..<length\ (x\ \#\ xs)] = [0..<1]\ @\ [1..<length\ (x\ \#\ xs)]$

**by**  $(metis\ length-Cons\ less-imp-le-nat\ plus-1-eq-Suc\ upt-add-eq-append\ zero-less-one)$

**then** **have**  $1: (map\ (\lambda i. todigit\ ((x\ \#\ xs)\ !\ i) * 2^i)\ [0..<length\ (x\ \#\ xs)]) =$

$(map\ (\lambda i. todigit\ ((x\ \#\ xs)\ !\ i) * 2^i)\ [0..<1])\ @$

$(map\ (\lambda i. todigit\ ((x\ \#\ xs)\ !\ i) * 2^i)\ [1..<length\ (x\ \#\ xs)])$

**by**  $simp$

**have**  $map\ (\lambda i. f\ i)\ [1..<Suc\ m] = map\ (\lambda i. f\ (Suc\ i))\ [0..<m]$  **for**  $f :: nat \Rightarrow nat$  **and**  $m$

**proof**  $(rule\ nth-equality1)$

**show**  $length\ (map\ f\ [1..<Suc\ m]) = length\ (map\ (\lambda i. f\ (Suc\ i))\ [0..<m])$

**by**  $simp$

**then** **show**  $\bigwedge i. i < length\ (map\ f\ [1..<Suc\ m]) \implies$

$map\ f\ [1..<Suc\ m]\ !\ i = map\ (\lambda i. f\ (Suc\ i))\ [0..<m]\ !\ i$

**by**  $(metis\ add.left-neutral\ length-map\ length-upt\ nth-map-upt\ plus-1-eq-Suc)$

**qed**

**then** **have**  $2: (\sum\ i\leftarrow[1..<Suc\ m]. f\ i) = (\sum\ i\leftarrow[0..<m]. f\ (Suc\ i))$

**for**  $f :: nat \Rightarrow nat$  **and**  $m$

**by**  $simp$

**have**  $num\ (x\ \#\ xs) = (\sum\ i\leftarrow[0..<length\ (x\ \#\ xs)]. todigit\ ((x\ \#\ xs)\ !\ i) * 2^i)$

**using**  $num-def$  **by**  $simp$

**also** **have**  $\dots = (\sum\ i\leftarrow[0..<1]. (todigit\ ((x\ \#\ xs)\ !\ i) * 2^i)) +$

$(\sum\ i\leftarrow[1..<length\ (x\ \#\ xs)]. todigit\ ((x\ \#\ xs)\ !\ i) * 2^i)$

**using**  $1$  **by**  $simp$

**also** **have**  $\dots = todigit\ x + (\sum\ i\leftarrow[1..<length\ (x\ \#\ xs)]. todigit\ ((x\ \#\ xs)\ !\ i) * 2^i)$

**by**  $simp$

**also** **have**  $\dots = todigit\ x + (\sum\ i\leftarrow[0..<length\ (x\ \#\ xs) - 1]. todigit\ ((x\ \#\ xs)\ !\ (Suc\ i)) * 2^{(Suc\ i)})$

```

    using 2 by simp
  also have ... = todigit x + (∑ i←[0..<length xs]. todigit (xs ! i) * 2 ^ (Suc i))
    by simp
  also have ... = todigit x + (∑ i←[0..<length xs]. todigit (xs ! i) * (2 * 2 ^ i))
    by simp
  also have ... = todigit x + (∑ i←[0..<length xs]. (todigit (xs ! i) * 2 * 2 ^ i))
    by (simp add: mult.assoc)
  also have ... = todigit x + (∑ i←[0..<length xs]. (2 * (todigit (xs ! i) * 2 ^ i)))
    by (metis (mono-tags, opaque-lifting) ab-semigroup-mult-class.mult-ac(1) mult.commute)
  also have ... = todigit x + 2 * (∑ i←[0..<length xs]. (todigit (xs ! i) * 2 ^ i))
    using sum-list-const-mult by fastforce
  also have ... = todigit x + 2 * num xs
    using num-def by simp
  finally show ?thesis .
qed

```

**lemma num-append:**  $\text{num } (xs @ ys) = \text{num } xs + 2^{\text{length } xs} * \text{num } ys$

**proof** (induction length xs arbitrary: xs)

case 0

then show ?case

using num-def by simp

next

case (Suc n)

then have xs:  $xs = \text{hd } xs \# \text{tl } xs$

by (metis hd-Cons-tl list.size(3) nat.simps(3))

then have  $xs @ ys = \text{hd } xs \# (\text{tl } xs @ ys)$

by simp

then have  $\text{num } (xs @ ys) = \text{todigit } (\text{hd } xs) + 2 * \text{num } (\text{tl } xs @ ys)$

using num-Cons by presburger

also have ... =  $\text{todigit } (\text{hd } xs) + 2 * (\text{num } (\text{tl } xs) + 2^{\text{length } (\text{tl } xs)} * \text{num } ys)$

using Suc by simp

also have ... =  $\text{todigit } (\text{hd } xs) + 2 * \text{num } (\text{tl } xs) + 2^{\text{Suc } (\text{length } (\text{tl } xs))} * \text{num } ys$

by simp

also have ... =  $\text{num } xs + 2^{\text{Suc } (\text{length } (\text{tl } xs))} * \text{num } ys$

using num-Cons xs by metis

also have ... =  $\text{num } xs + 2^{\text{length } xs} * \text{num } ys$

using xs by (metis length-Cons)

finally show ?case .

qed

**lemma num-drop:**  $\text{num } (\text{drop } t \text{ zs}) = \text{todigit } (\text{digit } \text{zs } t) + 2 * \text{num } (\text{drop } (\text{Suc } t) \text{ zs})$

**proof** (cases  $t < \text{length } \text{zs}$ )

case True

then have  $\text{drop } t \text{ zs} = \text{zs} ! t \# \text{drop } (\text{Suc } t) \text{ zs}$

by (simp add: Cons-nth-drop-Suc)

then have  $\text{num } (\text{drop } t \text{ zs}) = \text{todigit } (\text{zs} ! t) + 2 * \text{num } (\text{drop } (\text{Suc } t) \text{ zs})$

using num-Cons by simp

then show ?thesis

using digit-def True by simp

next

case False

then show ?thesis

using digit-def num-def by simp

qed

**lemma num-take-Suc:**  $\text{num } (\text{take } (\text{Suc } t) \text{ zs}) = \text{num } (\text{take } t \text{ zs}) + 2^t * \text{todigit } (\text{digit } \text{zs } t)$

**proof** (cases  $t < \text{length } \text{zs}$ )

case True

let ?zs =  $\text{take } (\text{Suc } t) \text{ zs}$

have 1:  $?zs ! i = \text{zs} ! i$  if  $i < \text{Suc } t$  for  $i$

using that by simp

have 2:  $\text{take } t \text{ zs} ! i = \text{zs} ! i$  if  $i < t$  for  $i$

using that by simp

```

have num ?zs = (∑ i←[0.. $\text{length } ?zs$ ]. todigit (?zs ! i) * 2 ^ i)
  using num-def by simp
also have ... = (∑ i←[0.. $\text{Suc } t$ ]. todigit (?zs ! i) * 2 ^ i)
  by (simp add: Suc-leI True min-absorb2)
also have ... = (∑ i←[0.. $\text{Suc } t$ ]. todigit (zs ! i) * 2 ^ i)
  using 1 by (smt (verit, best) atLeastLessThan-iff map-eq-conv set-upt)
also have ... = (∑ i←[0.. $t$ ]. todigit (zs ! i) * 2 ^ i) + todigit (zs ! t) * 2 ^ t
  by simp
also have ... = (∑ i←[0.. $t$ ]. todigit (take t zs ! i) * 2 ^ i) + todigit (zs ! t) * 2 ^ t
  using 2 by (metis (no-types, lifting) atLeastLessThan-iff map-eq-conv set-upt)
also have ... = num (take t zs) + todigit (zs ! t) * 2 ^ t
  using num-def True by simp
also have ... = num (take t zs) + todigit (digit zs t) * 2 ^ t
  using digit-def True by simp
finally show ?thesis
  by simp
next
case False
then show ?thesis
  using digit-def by simp
qed

```

A symbol sequence is a canonical representation of a natural number if the sequence contains only the symbols **0** and **1** and is either empty or ends in **1**.

**definition** *canonical* :: *symbol list*  $\Rightarrow$  *bool* **where**  
*canonical xs*  $\equiv$  *bit-symbols xs*  $\wedge$  (*xs* = []  $\vee$  *last xs* = **1**)

**lemma** *canonical-Cons*:  
**assumes** *canonical xs* **and** *xs*  $\neq$  [] **and** *x* = **0**  $\vee$  *x* = **1**  
**shows** *canonical (x # xs)*  
**using** *assms canonical-def less-Suc-eq-0-disj* **by** *auto*

**lemma** *canonical-Cons-3*: *canonical xs*  $\implies$  *canonical (1 # xs)*  
**using** *canonical-def less-Suc-eq-0-disj* **by** *auto*

**lemma** *canonical-tl*: *canonical (x # xs)*  $\implies$  *canonical xs*  
**using** *canonical-def* **by** *fastforce*

**lemma** *prepend-2-even*: *x* = **0**  $\implies$  *even (num (x # xs))*  
**using** *num-Cons* **by** *simp*

**lemma** *prepend-3-odd*: *x* = **1**  $\implies$  *odd (num (x # xs))*  
**using** *num-Cons* **by** *simp*

Every number has exactly one canonical representation.

**lemma** *canonical-ex1*:  
**fixes** *n* :: *nat*  
**shows**  $\exists!$ *xs*. *num xs* = *n*  $\wedge$  *canonical xs*  
**proof** (*induction n* *rule: nat-less-induct*)  
**case** *IH*: (1 *n*)  
**show** ?*case*  
**proof** (*cases n* = 0)  
**case** *True*  
**have** *num []* = 0  
**using** *num-def* **by** *simp*  
**moreover** **have** *canonical xs*  $\implies$  *num xs* = 0  $\implies$  *xs* = [] **for** *xs*  
**proof** (*rule ccontr*)  
**fix** *xs*  
**assume** *canonical xs* *num xs* = 0 *xs*  $\neq$  []  
**then** **have** *length xs* > 0 *last xs* = **1**  
**using** *canonical-def* **by** *simp-all*  
**then** **have** *xs ! (length xs - 1)* = **1**  
**by** (*metis Suc-diff-1 last-length*)

```

then have num xs ≥ 2 ^ (length xs - 1)
  using num-ge-pow by (meson ‹0 < length xs› diff-less zero-less-one)
then have num xs > 0
  by (meson dual-order.strict-trans1 le0 le-less-trans less-exp)
then show False
  using ‹num xs = 0› by auto
qed
ultimately show ?thesis
  using IH True canonical-def by (metis less-nat-zero-code list.size(3))
next
case False
then have gt: n > 0
  by simp
define m where m = n div 2
define r where r = n mod 2
have n: n = 2 * m + r
  using m-def r-def by simp
have m < n
  using gt m-def by simp
then obtain xs where num xs = m canonical xs
  using IH by auto
then have num (tosym r # xs) = n
  (is num ?xs = n)
  using num-Cons n add.commute r-def by simp
have canonical ?xs
proof (cases r = 0)
  case True
  then have m > 0
  using gt n by simp
  then have xs ≠ []
  using ‹num xs = m› num-def by auto
  then show ?thesis
  using canonical-Cons[of xs] ‹canonical xs› r-def True by simp
next
case False
then show ?thesis
  using ‹canonical xs› canonical-Cons-3 r-def
  by (metis One-nat-def not-mod-2-eq-1-eq-0 numeral-3-eq-3 one-add-one plus-1-eq-Suc)
qed
moreover have xs1 = xs2 if canonical xs1 num xs1 = n canonical xs2 num xs2 = n for xs1 xs2
proof -
  have xs1 ≠ []
  using gt that(2) num-def by auto
  then obtain x1 ys1 where 1: xs1 = x1 # ys1
  by (meson neq-Nil-conv)
  then have x1: x1 = 0 ∨ x1 = 1
  using canonical-def that(1) by auto
  have xs2 ≠ []
  using gt that(4) num-def by auto
  then obtain x2 ys2 where 2: xs2 = x2 # ys2
  by (meson neq-Nil-conv)
  then have x2: x2 = 0 ∨ x2 = 1
  using canonical-def that(3) by auto
  have x1 = x2
  using prepend-2-even prepend-3-odd that 1 2 x1 x2 by metis
  moreover have n = todigit x1 + 2 * num ys1
  using that(2) num-Cons 1 by simp
  moreover have n = todigit x2 + 2 * num ys2
  using that(4) num-Cons 2 by simp
  ultimately have num ys1 = num ys2
  by simp
  moreover have num ys1 < n
  using that(2) num-Cons 1 gt by simp

```

```

moreover have num ys2 < n
  using that(4) num-Cons 2 gt by simp
ultimately have ys1 = ys2
  using IH 1 2 that(1,3) by (metis canonical-tl)
then show xs1 = xs2
  using ⟨x1 = x2⟩ 1 2 by simp
qed
ultimately show ?thesis
  using ⟨num (tosym r # xs) = n⟩ by auto
qed

```

The canonical representation of a natural number as symbol sequence:

**definition** *canrepr* :: nat ⇒ symbol list **where**  
*canrepr* n ≡ THE xs. num xs = n ∧ canonical xs

**lemma** *canrepr-inj*: inj *canrepr*  
**using** *canrepr-def canonical-ex1* **by** (smt (verit, del-Insts) inj-def the-equality)

**lemma** *canonical-canrepr*: canonical (*canrepr* n)  
**using** theI'[OF *canonical-ex1*] *canrepr-def* **by simp**

**lemma** *canrepr*: num (*canrepr* n) = n  
**using** theI'[OF *canonical-ex1*] *canrepr-def* **by simp**

**lemma** *bit-symbols-canrepr*: bit-symbols (*canrepr* n)  
**using** *canonical-canrepr canonical-def* **by simp**

**lemma** *proper-symbols-canrepr*: proper-symbols (*canrepr* n)  
**using** *bit-symbols-canrepr* **by fastforce**

**lemma** *canreprI*: num xs = n ⇒ canonical xs ⇒ *canrepr* n = xs  
**using** *canrepr canonical-canrepr canonical-ex1* **by blast**

**lemma** *canrepr-0*: *canrepr* 0 = []  
**using** num-def canonical-def **by** (intro *canreprI*) simp-all

**lemma** *canrepr-1*: *canrepr* 1 = [1]  
**using** num-def canonical-def **by** (intro *canreprI*) simp-all

The length of the canonical representation of a number *n*:

**abbreviation** *nlength* :: nat ⇒ nat **where**  
*nlength* n ≡ length (*canrepr* n)

**lemma** *nlength-0*: *nlength* n = 0 ⇔ n = 0  
**by** (metis *canrepr canrepr-0 length-0-conv*)

**corollary** *nlength-0-simp* [*simp*]: *nlength* 0 = 0  
**using** *nlength-0* **by simp**

**lemma** *num-replicate2-eq-pow*: num (replicate j 0 @ [1]) = 2<sup>j</sup>

**proof** (induction j)  
 case 0  
**then show** ?case  
**using** num-def **by simp**  
 next  
 case (Suc j)  
**then show** ?case  
**using** num-Cons **by simp**  
**qed**

**lemma** *num-replicate3-eq-pow-minus-1*: num (replicate j 1) = 2<sup>j</sup> - 1  
**proof** (induction j)

```

case 0
then show ?case
  using num-def by simp
next
case (Suc j)
then have num (replicate (Suc j) 1) = num (1 # replicate j 1)
  by simp
also have ... = 1 + 2 * (2 ^ j - 1)
  using Suc num-Cons by simp
also have ... = 1 + 2 * 2 ^ j - 2
  by (metis Nat.add-diff-assoc diff-mult-distrib2 mult-2 mult-le-mono2 nat-1-add-1 one-le-numeral one-le-power)
also have ... = 2 ^ Suc j - 1
  by simp
finally show ?case .
qed

```

```

lemma nlength-pow2: nlength (2 ^ j) = Suc j
proof -
define xs :: nat list where xs = replicate j 2 @ [3]
then have length xs = Suc j
  by simp
moreover have num xs = 2 ^ j
  using num-replicate2-eq-pow xs-def by simp
moreover have canonical xs
  using xs-def bit-symbols-append canonical-def by simp
ultimately show ?thesis
  using canrepr1 by blast
qed

```

```

corollary nlength-1-simp [simp]: nlength 1 = 1
  using nlength-pow2[of 0] by simp

```

```

corollary nlength-2: nlength 2 = 2
  using nlength-pow2[of 1] by simp

```

```

lemma nlength-pow-minus-1: nlength (2 ^ j - 1) = j
proof -
define xs :: nat list where xs = replicate j 1
then have length xs = j
  by simp
moreover have num xs = 2 ^ j - 1
  using num-replicate3-eq-pow-minus-1 xs-def by simp
moreover have canonical xs
proof -
  have bit-symbols xs
    using xs-def by simp
  moreover have last xs = 3 ∨ xs = []
    by (cases j = 0) (simp-all add: xs-def)
  ultimately show ?thesis
    using canonical-def by auto
qed
ultimately show ?thesis
  using canrepr1 by metis
qed

```

```

corollary nlength-3: nlength 3 = 2
  using nlength-pow-minus-1[of 2] by simp

```

When handling natural numbers, Turing machines will usually have tape contents of the following form:

```

abbreviation ncontents :: nat ⇒ (nat ⇒ symbol) (⟨[-]N⟩) where
  [n]N ≡ [canrepr n]

```

```

lemma ncontents-0: [0]N = [⟨⟩]

```

by (simp add: canrepr-0)

**lemma** *clean-tape-ncontents*:  $\text{clean-tape } ([x]_N, i)$   
**using** *bit-symbols-canrepr clean-contents-proper* **by** *fastforce*

**lemma** *ncontents-1-blank-iff-zero*:  $[n]_N \ 1 = \square \longleftrightarrow n = 0$   
**using** *bit-symbols-canrepr contents-def nlength-0*  
**by** (*metis contents-outofbounds diff-is-0-eq' leI length-0-conv length-greater-0-conv less-one zero-neq-numeral*)

Every bit symbol sequence can be turned into a canonical representation of some number by stripping trailing zeros. The length of the prefix without trailing zeros is given by the next function:

**definition** *canlen* :: *symbol list*  $\Rightarrow$  *nat* **where**  
*canlen* *zs*  $\equiv$  *LEAST* *m*.  $\forall i < \text{length } zs. i \geq m \longrightarrow zs ! i = 0$

**lemma** *canlen-at-ge*:  $\forall i < \text{length } zs. i \geq \text{canlen } zs \longrightarrow zs ! i = 0$

**proof** –

**let**  $?P = \lambda m. \forall i < \text{length } zs. i \geq m \longrightarrow zs ! i = 0$

**have**  $?P (\text{length } zs)$

**by** *simp*

**then show** *?thesis*

**unfolding** *canlen-def* **using** *LeastI[of ?P length zs]* **by** *fast*

**qed**

**lemma** *canlen-eqI*:

**assumes**  $\forall i < \text{length } zs. i \geq m \longrightarrow zs ! i = 0$

**and**  $\bigwedge y. \forall i < \text{length } zs. i \geq y \longrightarrow zs ! i = 0 \implies m \leq y$

**shows**  $\text{canlen } zs = m$

**unfolding** *canlen-def* **using** *assms Least-equality[of - m, OF - assms(2)]* **by** *presburger*

**lemma** *canlen-le-length*:  $\text{canlen } zs \leq \text{length } zs$

**proof** –

**let**  $?P = \lambda m. \forall i < \text{length } zs. i \geq m \longrightarrow zs ! i = 0$

**have**  $?P (\text{length } zs)$

**by** *simp*

**then show** *?thesis*

**unfolding** *canlen-def* **using** *Least-le[of - length zs]* **by** *simp*

**qed**

**lemma** *canlen-le*:

**assumes**  $\forall i < \text{length } zs. i \geq m \longrightarrow zs ! i = 0$

**shows**  $m \geq \text{canlen } zs$

**unfolding** *canlen-def* **using** *Least-le[of - m] assms* **by** *simp*

**lemma** *canlen-one*:

**assumes** *bit-symbols* *zs* **and**  $\text{canlen } zs > 0$

**shows**  $zs ! (\text{canlen } zs - 1) = 1$

**proof** (*rule ccontr*)

**assume**  $zs ! (\text{canlen } zs - 1) \neq 1$

**then have**  $zs ! (\text{canlen } zs - 1) = 0$

**using** *assms canlen-le-length*

**by** (*metis One-nat-def Suc-pred lessI less-le-trans*)

**then have**  $\forall i < \text{length } zs. i \geq \text{canlen } zs - 1 \longrightarrow zs ! i = 2$

**using** *canlen-at-ge assms(2)* **by** (*metis One-nat-def Suc-leI Suc-pred le-eq-less-or-eq*)

**then have**  $\text{canlen } zs - 1 \geq \text{canlen } zs$

**using** *canlen-le* **by** *auto*

**then show** *False*

**using** *assms(2)* **by** *simp*

**qed**

**lemma** *canonical-take-canlen*:

**assumes** *bit-symbols* *zs*

**shows** *canonical* (*take* (*canlen* *zs*) *zs*)

**proof** (*cases*  $\text{canlen } zs = 0$ )

```

case True
then show ?thesis
  using canonical-def by simp
next
case False
then show ?thesis
  using canonical-def assms canlen-le-length canlen-one
  by (smt (verit, ccfv-SIG) One-nat-def Suc-pred append-take-drop-id diff-less last-length
      length-take less-le-trans min-absorb2 neq0-conv nth-append zero-less-one)
qed

lemma num-take-canlen-eq: num (take (canlen zs) zs) = num zs
proof (induction length zs - canlen zs arbitrary: zs)
case 0
then show ?case
  by simp
next
case (Suc x)
let ?m = canlen zs
have *:  $\forall i < \text{length } zs. i \geq ?m \longrightarrow zs ! i = 0$ 
  using canlen-at-ge by auto
have canlen zs < length zs
  using Suc by simp
then have zs ! (length zs - 1) = 0
  using Suc canlen-at-ge canlen-le-length
  by (metis One-nat-def Suc-pred diff-less le-Suc-eq less-nat-zero-code nat-neq-iff zero-less-one)
then have todigit (zs ! (length zs - 1)) = 0
  by simp
moreover have ys: zs = take (length zs - 1) zs @ [zs ! (length zs - 1)]
  (is zs = ?ys @ -)
  by (metis Suc-diff-1 ‹canlen zs < length zs› append-butlast-last-id butlast-conv-take
      gr-implies-not0 last-length length-0-conv length-greater-0-conv)
ultimately have num ?ys = num zs
  using num-trailing-zero by metis
have canlen-ys: canlen ?ys = canlen zs
proof (rule canlen-eq1)
show  $\forall i < \text{length } ?ys. \text{canlen } zs \leq i \longrightarrow ?ys ! i = 0$ 
  by (simp add: canlen-at-ge)
show  $\bigwedge y. \forall i < \text{length } ?ys. y \leq i \longrightarrow ?ys ! i = 0 \implies \text{canlen } zs \leq y$ 
  using * Suc.hyps(2) canlen-le
  by (smt (verit, del-insts) One-nat-def Suc-pred append-take-drop-id diff-le-self length-take
      length-upt less-Suc-eq less-nat-zero-code list.size(3) min-absorb2 nth-append upt.simps(2) zero-less-Suc)
qed
then have length ?ys - canlen ?ys = x
  using ys Suc.hyps(2) by (metis butlast-snoc diff-Suc-1 diff-commute length-butlast)
then have num (take (canlen ?ys) ?ys) = num ?ys
  using Suc by blast
then have num (take (canlen zs) ?ys) = num ?ys
  using canlen-ys by simp
then have num (take (canlen zs) zs) = num ?ys
  by (metis ‹canlen zs < length zs› butlast-snoc take-butlast ys)
then show ?case
  using ‹num ?ys = num zs› by presburger
qed

lemma canrepr-take-canlen:
  assumes num zs = n and bit-symbols zs
  shows canrepr n = take (canlen zs) zs
  using assms canrepr canonical-canrepr canonical-ex1 canonical-take-canlen num-take-canlen-eq
  by blast

lemma length-canrepr-canlen:
  assumes num zs = n and bit-symbols zs

```



shows  $nlength\ n = canlen\ zs$   
 using *canrepr-take-canlen* *assms* *canlen-le-length* **by** (*metis* *length-take* *min-absorb2*)

**lemma** *nlength-ge-pow*:

**assumes**  $nlength\ n = Suc\ j$

**shows**  $n \geq 2^j$

**proof** –

**let**  $?xs = canrepr\ n$

**have**  $?xs ! (length\ ?xs - 1) = 1$

**using** *canonical-def* *assms* *canonical-canrepr*

**by** (*metis* *Suc-neg-Zero* *diff-Suc-1* *last-length* *length-0-conv*)

**moreover have**  $(\sum i \leftarrow [0..<length\ ?xs].\ todigit\ (?xs ! i) * 2^i) \geq$   
 $todigit\ (?xs ! (length\ ?xs - 1)) * 2^{(length\ ?xs - 1)}$

**using** *assms* **by** *simp*

**ultimately have**  $num\ ?xs \geq 2^{(length\ ?xs - 1)}$

**using** *num-def* **by** *simp*

**moreover have**  $num\ ?xs = n$

**using** *canrepr* **by** *simp*

**ultimately show** *?thesis*

**using** *assms* **by** *simp*

**qed**

**lemma** *nlength-less-pow*:  $n < 2^{(nlength\ n)}$

**proof** (*induction* *nlength* *n* *arbitrary*: *n*)

**case** *0*

**then show** *?case*

**by** (*metis* *canrepr* *canrepr-0* *length-0-conv* *nat-zero-less-power-iff*)

**next**

**case** (*Suc* *j*)

**let**  $?xs = canrepr\ n$

**have** *lenxs*:  $length\ ?xs = Suc\ j$

**using** *Suc* **by** *simp*

**have** *hd*:  $?xs = hd\ ?xs \# tl\ ?xs$

**using** *Suc* **by** (*metis* *hd-Cons-tl* *list.size(3)* *nat.simps(3)*)

**have** *len*:  $length\ (tl\ ?xs) = j$

**using** *Suc* **by** *simp*

**have** *can*: *canonical*  $(tl\ ?xs)$

**using** *hd* *canonical-canrepr* *canonical-tl* **by** *metis*

**define**  $n'$  **where**  $n' = num\ (tl\ ?xs)$

**then have**  $nlength\ n' = j$

**using** *len* *can* *canreprI* **by** *simp*

**then have**  $n'-less$ :  $n' < 2^j$

**using** *Suc* **by** *auto*

**have**  $num\ ?xs = todigit\ (hd\ ?xs) + 2 * num\ (tl\ ?xs)$

**by** (*metis* *hd* *num-Cons*)

**then have**  $n = todigit\ (hd\ ?xs) + 2 * num\ (tl\ ?xs)$

**using** *canrepr* **by** *simp*

**also have**  $\dots \leq 1 + 2 * num\ (tl\ ?xs)$

**by** *simp*

**also have**  $\dots = 1 + 2 * n'$

**using**  $n'$ -*def* **by** *simp*

**also have**  $\dots \leq 1 + 2 * (2^j - 1)$

**using**  $n'$ -*less* **by** *simp*

**also have**  $\dots = 2^{(Suc\ j)} - 1$

**by** (*metis* (*no-types*, *lifting*) *add-Suc-right* *le-add-diff-inverse* *mult-2* *one-le-numeral* *one-le-power* *plus-1-eq-Suc* *sum.op-ivl-Suc* *sum-power2* *zero-order(3)*)

**also have**  $\dots < 2^{(Suc\ j)}$

**by** *simp*

**also have**  $\dots = 2^{(nlength\ n)}$

**using** *lenxs* **by** *simp*

**finally show** *?case* .

**qed**

**lemma** *pow-nlength*:  
**assumes**  $2^j \leq n$  **and**  $n < 2^{(Suc\ j)}$   
**shows**  $nlength\ n = Suc\ j$   
**proof** (rule *ccontr*)  
**assume**  $nlength\ n \neq Suc\ j$   
**then have**  $nlength\ n < Suc\ j \vee nlength\ n > Suc\ j$   
**by** *auto*  
**then show** *False*  
**proof**  
**assume**  $nlength\ n < Suc\ j$   
**then have**  $nlength\ n \leq j$   
**by** *simp*  
**moreover have**  $n < 2^{(nlength\ n)}$   
**using** *nlength-less-pow* **by** *simp*  
**ultimately have**  $n < 2^j$   
**by** (*metis le-less-trans nat-power-less-imp-less not-less numeral-2-eq-2 zero-less-Suc*)  
**then show** *False*  
**using** *assms(1)* **by** *simp*  
**next**  
**assume**  $nlength\ n > Suc\ j$   
**then have**  $n \geq 2^{(nlength\ n - 1)}$   
**using** *nlength-ge-pow* **by** *simp*  
**moreover have**  $nlength\ n - 1 \geq Suc\ j$   
**using**  $*$  **by** *simp*  
**ultimately have**  $n \geq 2^{(Suc\ j)}$   
**by** (*metis One-nat-def le-less-trans less-2-cases-iff linorder-not-less power-less-imp-less-exp*)  
**then show** *False*  
**using** *assms(2)* **by** *simp*  
**qed**  
**qed**

**lemma** *nlength-le-n*:  $nlength\ n \leq n$   
**proof** (*cases n = 0*)  
**case** *True*  
**then show** *?thesis*  
**using** *canrepr-0* **by** *simp*  
**next**  
**case** *False*  
**then have**  $nlength\ n > 0$   
**using** *nlength-0* **by** *simp*  
**moreover from this have**  $n \geq 2^{(nlength\ n - 1)}$   
**using** *nlength-0 nlength-ge-pow* **by** *auto*  
**ultimately show** *?thesis*  
**using** *nlength-ge-pow* **by** (*metis Suc-diff-1 Suc-leI dual-order.trans less-exp*)  
**qed**

**lemma** *nlength-Suc-le*:  $nlength\ n \leq nlength\ (Suc\ n)$   
**proof** (*cases n = 0*)  
**case** *True*  
**then show** *?thesis*  
**by** (*simp add: canrepr-0*)  
**next**  
**case** *False*  
**then obtain**  $j$  **where**  $nlength\ n = Suc\ j$   
**by** (*metis canrepr canrepr-0 gr0-implies-Suc length-greater-0-conv*)  
**then have**  $n \geq 2^j$   
**using** *nlength-ge-pow* **by** *simp*  
**show** *?thesis*  
**proof** (*cases Suc\ n \geq 2^{(Suc\ j)}*)  
**case** *True*  
**have**  $n < 2^{(Suc\ j)}$   
**using**  $j$  *nlength-less-pow* **by** *metis*  
**then have**  $Suc\ n < 2^{(Suc\ (Suc\ j))}$

```

    by simp
  then have  $nlength (Suc n) = Suc (Suc j)$ 
    using True pow-nlength by simp
  then show ?thesis
    using j by simp
next
case False
then have  $Suc n < 2 ^ (Suc j)$ 
  by simp
then have  $nlength (Suc n) = Suc j$ 
  using  $\langle n \geq 2 ^ j \rangle$  pow-nlength by simp
then show ?thesis
  using j by simp
qed
qed

```

```

lemma nlength-mono:
  assumes  $n1 \leq n2$ 
  shows  $nlength n1 \leq nlength n2$ 
proof -
  have  $nlength n \leq nlength (n + d)$  for  $n d$ 
  proof (induction d)
    case 0
    then show ?case
      by simp
  next
    case (Suc d)
    then show ?case
      using nlength-Suc-le by (metis nat-arith.suc1 order-trans)
  qed
  then show ?thesis
    using assms by (metis le-add-diff-inverse)
qed

```

```

lemma nlength-even-le:  $n > 0 \implies nlength (2 * n) = Suc (nlength n)$ 
proof -
  assume  $n > 0$ 
  then have  $nlength n > 0$ 
    by (metis canrepr canrepr-0 length-greater-0-conv less-numeral-extra(3))
  then have  $n \geq 2 ^ (nlength n - 1)$ 
    using Suc-diff-1 nlength-ge-pow by simp
  then have  $2 * n \geq 2 ^ (nlength n)$ 
    by (metis Suc-diff-1  $\langle 0 < nlength n \rangle$  mult-le-mono2 power-Suc)
  moreover have  $2 * n < 2 ^ (Suc (nlength n))$ 
    using nlength-less-pow by simp
  ultimately show ?thesis
    using pow-nlength by simp
qed

```

```

lemma nlength-prod:  $nlength (n1 * n2) \leq nlength n1 + nlength n2$ 
proof -
  let ?j1 = nlength n1 and ?j2 = nlength n2
  have  $n1 < 2 ^ ?j1$   $n2 < 2 ^ ?j2$ 
    using nlength-less-pow by simp-all
  then have  $n1 * n2 < 2 ^ ?j1 * 2 ^ ?j2$ 
    by (simp add: mult-strict-mono)
  then have  $n1 * n2 < 2 ^ (?j1 + ?j2)$ 
    by (simp add: power-add)
  then have  $n1 * n2 \leq 2 ^ (?j1 + ?j2) - 1$ 
    by simp
  then have  $nlength (n1 * n2) \leq nlength (2 ^ (?j1 + ?j2) - 1)$ 
    using nlength-mono by simp
  then show  $nlength (n1 * n2) \leq ?j1 + ?j2$ 

```

using *nlength-pow-minus-1* by *simp*  
 qed

In the following lemma *Suc* is needed because  $n^0 = 1$ .

**lemma** *nlength-pow*:  $nlength (n \wedge d) \leq Suc (d * nlength n)$   
**proof** (*induction d*)  
 case 0  
 then show ?case  
 by (*metis less-or-eq-imp-le mult-not-zero nat-power-eq-Suc-0-iff nlength-pow2*)  
 next  
 case (*Suc d*)  
 have  $nlength (n \wedge Suc d) = nlength (n \wedge d * n)$   
 by (*simp add: mult.commute*)  
 then have  $nlength (n \wedge Suc d) \leq nlength (n \wedge d) + nlength n$   
 using *nlength-prod* by *simp*  
 then show ?case  
 using *Suc* by *simp*  
 qed

**lemma** *nlength-sum*:  $nlength (n1 + n2) \leq Suc (max (nlength n1) (nlength n2))$   
**proof** –  
 let ?m =  $max n1 n2$   
 have  $n1 + n2 \leq 2 * ?m$   
 by *simp*  
 then have  $nlength (n1 + n2) \leq nlength (2 * ?m)$   
 using *nlength-mono* by *simp*  
 moreover have  $nlength ?m = max (nlength n1) (nlength n2)$   
 using *nlength-mono* by (*metis max.absorb1 max.cobounded2 max-def*)  
 ultimately show ?thesis  
 using *nlength-even-le*  
 by (*metis canrepr-0 le-SucI le-zero-eq list.size(3) max-nat.neutr-eq-iff not-gr-zero zero-eq-add-iff-both-eq-0*)  
 qed

**lemma** *nlength-Suc*:  $nlength (Suc n) \leq Suc (nlength n)$   
 using *nlength-sum nlength-1-simp*  
 by (*metis One-nat-def Suc-leI add-Suc diff-Suc-1 length-greater-0-conv max.absorb-iff2 max.commute max-def nlength-0 plus-1-eq-Suc*)

**lemma** *nlength-less-n*:  $n \geq 3 \implies nlength n < n$   
**proof** (*induction n rule: nat-induct-at-least*)  
 case base  
 then show ?case  
 by (*simp add: nlength-3*)  
 next  
 case (*Suc n*)  
 then show ?case  
 using *nlength-Suc* by (*metis Suc-le-eq le-neq-implies-less nlength-le-n not-less-eq*)  
 qed

## Comparing two numbers

In order to compare two numbers in canonical representation, we can use the Turing machine *tm-equals*, which works for arbitrary proper symbol sequences.

**lemma** *min-nlength*:  $min (nlength n1) (nlength n2) = nlength (min n1 n2)$   
 by (*metis min-absorb2 min-def nat-le-linear nlength-mono*)

**lemma** *max-nlength*:  $max (nlength n1) (nlength n2) = nlength (max n1 n2)$   
 using *nlength-mono* by (*metis max.absorb1 max.cobounded2 max-def*)

**lemma** *contents-blank-0*:  $[\square] = []$   
 using *contents-def* by *auto*

**definition** *tm-equalsn* :: *tapeidx*  $\Rightarrow$  *tapeidx*  $\Rightarrow$  *tapeidx*  $\Rightarrow$  *machine* **where**  
*tm-equalsn*  $\equiv$  *tm-equals*

**lemma** *tm-equalsn-tm*:

**assumes**  $k \geq 2$  **and**  $G \geq 4$  **and**  $0 < j3$   
**shows** *turing-machine*  $k$   $G$  (*tm-equalsn*  $j1$   $j2$   $j3$ )  
**unfolding** *tm-equalsn-def* **using** *assms tm-equals-tm* **by** *simp*

**lemma** *transforms-tm-equalsnI* [*transforms-intros*]:

**fixes**  $j1$   $j2$   $j3$  :: *tapeidx*  
**fixes**  $tps$   $tps'$  :: *tape list* **and**  $k$   $b$   $n1$   $n2$  :: *nat*  
**assumes**  $\text{length } tps = k$   $j1 \neq j2$   $j2 \neq j3$   $j1 \neq j3$   $j1 < k$   $j2 < k$   $j3 < k$   
**and**  $b \leq 1$

**assumes**

$tps ! j1 = (\lfloor n1 \rfloor_N, 1)$

$tps ! j2 = (\lfloor n2 \rfloor_N, 1)$

$tps ! j3 = (\lfloor b \rfloor_N, 1)$

**assumes**  $ttt = (3 * \text{nlength } (\text{min } n1 \ n2) + 7)$

**assumes**  $tps' = tps$

$[j3 := (\lfloor \text{if } n1 = n2 \text{ then } 1 \text{ else } 0 \rfloor_N, 1)]$

**shows** *transforms* (*tm-equalsn*  $j1$   $j2$   $j3$ )  $tps$   $ttt$   $tps'$

**unfolding** *tm-equalsn-def*

**proof** (*tform tps: assms*)

**show** *proper-symbols* (*canrepr*  $n1$ )

**using** *proper-symbols-canrepr* **by** *simp*

**show** *proper-symbols* (*canrepr*  $n2$ )

**using** *proper-symbols-canrepr* **by** *simp*

**show**  $ttt = 3 * \text{min } (\text{nlength } n1) (\text{nlength } n2) + 7$

**using** *assms(12) min-nlength* **by** *simp*

**let**  $?v = \text{if } \text{canrepr } n1 = \text{canrepr } n2 \text{ then } 3::\text{nat} \text{ else } 0::\text{nat}$

**have**  $b = 0 \vee b = 1$

**using** *assms(8)* **by** *auto*

**then have**  $\lfloor b \rfloor_N = \lfloor [] \rfloor \vee \lfloor b \rfloor_N = \lfloor [1] \rfloor$

**using** *canrepr-0 canrepr-1* **by** *auto*

**then have**  $tps ! j3 = (\lfloor [] \rfloor, 1) \vee tps ! j3 = (\lfloor [1] \rfloor, 1)$

**using** *assms(11)* **by** *simp*

**then have**  $v: tps ! j3 \mid := \mid ?v = (\lfloor [?v] \rfloor, 1)$

**using** *contents-def* **by** *auto*

**show**  $tps' = tps[j3 := tps ! j3 \mid := \mid ?v]$

**proof** (*cases*  $n1 = n2$ )

**case** *True*

**then show** *?thesis*

**using** *canrepr-1 v assms(13)* **by** *auto*

**next**

**case** *False*

**then have**  $?v = 0$

**by** (*metis canrepr*)

**then show** *?thesis*

**using** *canrepr-0 v assms(13) contents-blank-0* **by** *auto*

**qed**

**qed**

## Copying a number between tapes

The next Turing machine overwrites the contents of tape  $j_2$  with the contents of tape  $j_1$  and performs a carriage return on both tapes.

**definition** *tm-copyn* :: *tapeidx*  $\Rightarrow$  *tapeidx*  $\Rightarrow$  *machine* **where**

*tm-copyn*  $j1$   $j2 \equiv$

*tm-erase-cr*  $j2$  ;;

*tm-cp-until*  $j1$   $j2$   $\{\square\}$  ;;

*tm-cr*  $j1$  ;;

*tm-cr*  $j2$

**lemma** *tm-copyn-tm*:  
**assumes**  $k \geq 2$  **and**  $G \geq 4$  **and**  $j1 < k$   $j2 < k$   $j1 \neq j2$   $0 < j2$   
**shows** *turing-machine*  $k$   $G$  (*tm-copyn*  $j1$   $j2$ )  
**unfolding** *tm-copyn-def* **using** *assms tm-cp-until-tm tm-cr-tm tm-erase-cr-tm* **by** *simp*

**locale** *turing-machine-move* =  
**fixes**  $j1$   $j2$  :: *tapeidx*  
**begin**

**definition**  $tm1 \equiv tm\text{-erase-cr } j2$   
**definition**  $tm2 \equiv tm1$  ;; *tm-cp-until*  $j1$   $j2$   $\{\square\}$   
**definition**  $tm3 \equiv tm2$  ;; *tm-cr*  $j1$   
**definition**  $tm4 \equiv tm3$  ;; *tm-cr*  $j2$

**lemma** *tm4-eq-tm-copyn*:  $tm4 = tm\text{-copyn } j1$   $j2$   
**unfolding** *tm4-def tm3-def tm2-def tm1-def tm-copyn-def* **by** *simp*

**context**  
**fixes**  $x$   $y$  :: *nat* **and**  $tps0$  :: *tape list*  
**assumes** *j-less* [*simp*]:  $j1 < \text{length } tps0$   $j2 < \text{length } tps0$   
**assumes**  $j$  [*simp*]:  $j1 \neq j2$   
**and** *tps-j1* [*simp*]:  $tps0 ! j1 = (\lfloor x \rfloor_N, 1)$   
**and** *tps-j2* [*simp*]:  $tps0 ! j2 = (\lfloor y \rfloor_N, 1)$   
**begin**

**definition**  $tps1 \equiv tps0$   
 $[j2 := (\lfloor \square \rfloor, 1)]$

**lemma**  $tm1$  [*transforms-intros*]:  
**assumes**  $t = 7 + 2 * \text{nlength } y$   
**shows** *transforms*  $tm1$   $tps0$   $t$   $tps1$   
**unfolding** *tm1-def*  
**proof** (*tform tps: tps1-def time: assms*)  
**show** *proper-symbols* (*canrepr*  $y$ )  
**using** *proper-symbols-canrepr* **by** *simp*  
**qed**

**definition**  $tps2 \equiv tps0$   
 $[j1 := (\lfloor x \rfloor_N, \text{Suc } (\text{nlength } x)),$   
 $j2 := (\lfloor x \rfloor_N, \text{Suc } (\text{nlength } x))]$

**lemma**  $tm2$  [*transforms-intros*]:  
**assumes**  $t = 8 + (2 * \text{nlength } y + \text{nlength } x)$   
**shows** *transforms*  $tm2$   $tps0$   $t$   $tps2$   
**unfolding** *tm2-def*  
**proof** (*tform tps: tps1-def time: assms*)  
**show** *rneigh* ( $tps1 ! j1$ )  $\{\square\}$  ( $\text{nlength } x$ )  
**proof** (*rule rneighI*)  
**show** ( $tps1$  ::  $j1$ ) ( $tps1$  :#  $j1 + \text{nlength } x$ )  $\in \{\square\}$   
**using** *tps1-def canrepr-0 contents-outofbounds j(1) nlength-0-simp tps-j1*  
**by** (*metis fst-eqD lessI nth-list-update-neq plus-1-eq-Suc singleton-iff snd-eqD*)  
**show**  $\bigwedge n'. n' < \text{nlength } x \implies (tps1$  ::  $j1$ ) ( $tps1$  :#  $j1 + n'$ )  $\notin \{\square\}$   
**using** *tps1-def tps-j1 j j-less contents-inbounds proper-symbols-canrepr*  
**by** (*metis Suc-leI add-diff-cancel-left' fst-eqD not-add-less2 nth-list-update-neq plus-1-eq-Suc singletonD snd-eqD zero-less-Suc*)  
**qed**

**have**  $(\lfloor x \rfloor_N, \text{Suc } (\text{nlength } x)) = tps0[j2 := (\lfloor \square \rfloor, 1)] ! j1$   $|+|$   $\text{nlength } x$   
**using** *tps-j1 tps-j2* **by** (*metis fst-eqD j(1) j-less(2) nth-list-update plus-1-eq-Suc snd-eqD*)  
**moreover** **have**  $(\lfloor x \rfloor_N, \text{Suc } (\text{nlength } x)) =$   
 $\text{implant } (tps0[j2 := (\lfloor \square \rfloor, 1)] ! j1) (tps0[j2 := (\lfloor \square \rfloor, 1)] ! j2)$  ( $\text{nlength } x$ )  
**using** *tps-j1 tps-j2 j j-less implant-contents nlength-0-simp*  
**by** (*metis add.right-neutral append.simps(1) canrepr-0 diff-Suc-1 drop0 le-eq-less-or-eq*)

*nth-list-update-eq nth-list-update-neq plus-1-eq-Suc take-all zero-less-one*)

**ultimately show**  $tps2 = tps1$   
 $[j1 := tps1 ! j1 \mid+ \mid nlength\ x,$   
 $j2 := implant\ (tps1 ! j1)\ (tps1 ! j2)\ (nlength\ x)]$   
**unfolding**  $tps2\text{-def}\ tps1\text{-def}$  **by** (*simp add: list-update-swap*[of  $j1$ ])  
**qed**

**definition**  $tps3 \equiv tps0[j2 := (\lfloor x \rfloor_N, Suc\ (nlength\ x))]$

**lemma**  $tm3$  [*transforms-intros*]:  
**assumes**  $t = 11 + (2 * nlength\ y + 2 * nlength\ x)$   
**shows** *transforms*  $tm3\ tps0\ t\ tps3$   
**unfolding**  $tm3\text{-def}$   
**proof** (*tform tps: tps2-def*)  
**have**  $tps2\ :\#:\ j1 = Suc\ (nlength\ x)$   
**using**  $assms\ tps2\text{-def}$  **by** (*metis*  $j(1)\ j\text{-less}(1)\ nth\text{-list-update-eq}\ nth\text{-list-update-neq}\ snd\text{-conv}$ )  
**then show**  $t = 8 + (2 * nlength\ y + nlength\ x) + (tps2\ :\#:\ j1 + 2)$   
**using**  $assms$  **by** *simp*  
**show** *clean-tape* ( $tps2 ! j1$ )  
**using**  $tps2\text{-def}$  **by** (*simp add: clean-tape-ncontents nth-list-update-neq'*)  
**have**  $tps2 ! j1 \mid\#=\mid\ 1 = (\lfloor x \rfloor_N, 1)$   
**using**  $tps2\text{-def}$  **by** (*simp add: nth-list-update-neq'*)  
**then show**  $tps3 = tps2[j1 := tps2 ! j1 \mid\#=\mid\ 1]$   
**using**  $tps3\text{-def}\ tps2\text{-def}$  **by** (*metis*  $j(1)\ list\text{-update-id}\ list\text{-update-overwrite}\ list\text{-update-swap}\ tps\text{-j1}$ )  
**qed**

**definition**  $tps4 \equiv tps0[j2 := (\lfloor x \rfloor_N, 1)]$

**lemma**  $tm4$ :  
**assumes**  $t = 14 + (3 * nlength\ x + 2 * nlength\ y)$   
**shows** *transforms*  $tm4\ tps0\ t\ tps4$   
**unfolding**  $tm4\text{-def}$   
**proof** (*tform tps: tps3-def time: tps3-def assms*)  
**show** *clean-tape* ( $tps3 ! j2$ )  
**using**  $tps3\text{-def}\ clean\text{-tape-ncontents}$  **by** *simp*  
**have**  $tps3 ! j2 \mid\#=\mid\ 1 = (\lfloor x \rfloor_N, 1)$   
**using**  $tps3\text{-def}$  **by** (*simp add: nth-list-update-neq'*)  
**then show**  $tps4 = tps3[j2 := tps3 ! j2 \mid\#=\mid\ 1]$   
**using**  $tps4\text{-def}\ tps3\text{-def}$  **by** (*metis list-update-overwrite tps-j1*)  
**qed**

**lemma**  $tm4'$ :  
**assumes**  $t = 14 + 3 * (nlength\ x + nlength\ y)$   
**shows** *transforms*  $tm4\ tps0\ t\ tps4$   
**using**  $tm4\ transforms\text{-monotone}\ assms$  **by** *simp*

**end**

**end**

**lemma** *transforms-tm-copynI* [*transforms-intros*]:  
**fixes**  $j1\ j2 :: tapeidx$   
**fixes**  $tps\ tps' :: tape\ list$  **and**  $k\ x\ y :: nat$   
**assumes**  $j1 \neq j2\ j1 < length\ tps\ j2 < length\ tps$   
**assumes**  
 $tps ! j1 = (\lfloor x \rfloor_N, 1)$   
 $tps ! j2 = (\lfloor y \rfloor_N, 1)$   
**assumes**  $t = 14 + 3 * (nlength\ x + nlength\ y)$   
**assumes**  $tps' = tps$   
 $[j2 := (\lfloor x \rfloor_N, 1)]$   
**shows** *transforms* ( $tm\text{-copyn}\ j1\ j2$ )  $tps\ t\ tps'$   
**proof** –  
**interpret** *loc: turing-machine-move*  $j1\ j2$  .

```

show ?thesis
using assms loc.tm4' loc.tps4-def loc.tm4-eq-tm-copy by simp
qed

```

## Setting the tape contents to a number

The Turing machine in this section writes a hard-coded number to a tape.

```

definition tm-setn :: tapeidx  $\Rightarrow$  nat  $\Rightarrow$  machine where
  tm-setn j n  $\equiv$  tm-set j (canrepr n)

```

```

lemma tm-setn-tm:
  assumes  $k \geq 2$  and  $G \geq 4$  and  $j < k$  and  $0 < j$ 
  shows turing-machine k G (tm-setn j n)
proof -
  have symbols-lt G (canrepr n)
    using assms(2) bit-symbols-canrepr by fastforce
  then show ?thesis
    unfolding tm-setn-def using tm-set-tm assms by simp
qed

```

```

lemma transforms-tm-setnI [transforms-intros]:
  fixes j :: tapeidx
  fixes tps tps' :: tape list and x k n :: nat
  assumes  $j < \text{length } tps$ 
  assumes  $tps ! j = (\lfloor x \rfloor_N, 1)$ 
  assumes  $t = 10 + 2 * \text{nlength } x + 2 * \text{nlength } n$ 
  assumes  $tps' = tps[j := (\lfloor n \rfloor_N, 1)]$ 
  shows transforms (tm-setn j n) tps t tps'
  unfolding tm-setn-def
  using transforms-tm-setI[OF assms(1), of canrepr x canrepr n t tps'] assms
    canonical-canrepr canonical-def contents-clean-tape'
  by (simp add: eval-nat-numeral(3) numeral-Bit0 proper-symbols-canrepr)

```

## 2.7.2 Incrementing

In this section we devise a Turing machine that increments a number. The next function describes how the symbol sequence of the incremented number looks like. Basically one has to flip all **1** symbols starting at the least significant digit until one reaches a **0**, which is then replaced by a **1**. If there is no **0**, a **1** is appended. Here we exploit that the most significant digit is to the right.

```

definition nincr :: symbol list  $\Rightarrow$  symbol list where
  nincr zs  $\equiv$ 
    if  $\exists i < \text{length } zs. zs ! i = \mathbf{0}$ 
    then replicate (LEAST i.  $i < \text{length } zs \wedge zs ! i = \mathbf{0}$ )  $\mathbf{0} @ [\mathbf{1}] @ \text{drop } (\text{Suc } (\text{LEAST } i. i < \text{length } zs \wedge zs ! i = \mathbf{0})) zs$ 
    else replicate (length zs)  $\mathbf{0} @ [\mathbf{1}]$ 

```

```

lemma canonical-nincr:
  assumes canonical zs
  shows canonical (nincr zs)
proof -
  have 1: bit-symbols zs
    using canonical-def assms by simp
  let ?j = LEAST i.  $i < \text{length } zs \wedge zs ! i = \mathbf{0}$ 
  have bit-symbols (nincr zs)
proof (cases  $\exists i < \text{length } zs. zs ! i = \mathbf{0}$ )
  case True
  then have nincr zs = replicate ?j  $\mathbf{0} @ [\mathbf{1}] @ \text{drop } (\text{Suc } ?j) zs$ 
    using nincr-def by simp
  moreover have bit-symbols (replicate ?j  $\mathbf{0}$ )
    by simp
  moreover have bit-symbols [1]
    by simp

```



```

moreover have bit-symbols (drop (Suc ?j) zs)
  using 1 by simp
ultimately show ?thesis
  using bit-symbols-append by presburger
next
  case False
  then show ?thesis
    using nincr-def bit-symbols-append by auto
qed
moreover have last (nincr zs) = 1
proof (cases  $\exists i < \text{length } zs. zs ! i = 0$ )
  case True
  then show ?thesis
    using nincr-def assms canonical-def by auto
next
  case False
  then show ?thesis
    using nincr-def by auto
qed
ultimately show ?thesis
  using canonical-def by simp
qed

lemma nincr:
  assumes bit-symbols zs
  shows num (nincr zs) = Suc (num zs)
proof (cases  $\exists i < \text{length } zs. zs ! i = 0$ )
  case True
  define j where j = (LEAST i. i < length zs  $\wedge$  zs ! i = 0)
  then have 1: j < length zs  $\wedge$  zs ! j = 0
    using LeastI-ex[OF True] by simp
  have 2: zs ! i = 1 if i < j for i
    using that True j-def assms 1 less-trans not-less-Least by blast

  define xs :: symbol list where xs = replicate j 1 @ [0]
  define ys :: symbol list where ys = drop (Suc j) zs
  have zs = xs @ ys
proof -
  have xs = take (Suc j) zs
    using xs-def 1 2
  by (smt (verit, best) le-eq-less-or-eq length-replicate length-take min-absorb2 nth-equalityI
    nth-replicate nth-take take-Suc-conv-app-nth)
  then show ?thesis
    using ys-def by simp
qed

have nincr zs = replicate j 0 @ [1] @ drop (Suc j) zs
  using nincr-def True j-def by simp
then have num (nincr zs) = num (replicate j 0 @ [1] @ ys)
  using ys-def by simp
also have ... = num (replicate j 0 @ [1]) +  $2^{\text{Suc } j} * \text{num } ys$ 
  using num-append by (metis append-assoc length-append-singleton length-replicate)
also have ... = Suc (num xs) +  $2^{\text{Suc } j} * \text{num } ys$ 
proof -
  have num (replicate j 0 @ [1]) =  $2^j$ 
    using num-replicate2-eq-pow by simp
  also have ... = Suc ( $2^j - 1$ )
    by simp
  also have ... = Suc (num (replicate j 1))
    using num-replicate3-eq-pow-minus-1 by simp
  also have ... = Suc (num (replicate j 1 @ [0]))
    using num-trailing-zero by simp
  finally have num (replicate j 0 @ [1]) = Suc (num xs)

```

```

    using xs-def by simp
  then show ?thesis
    by simp
qed
also have ... = Suc (num xs + 2 ^ Suc j * num ys)
  by simp
also have ... = Suc (num zs)
  using ⟨zs = xs @ ys⟩ num-append xs-def by (metis length-append-singleton length-replicate)
finally show ?thesis .
next
case False
then have  $\forall i < \text{length } zs. zs ! i = \mathbf{1}$ 
  using assms by simp
then have zs: zs = replicate (length zs) 1
  by (simp add: nth-equalityI)
then have num-zs: num zs = 2 ^ length zs - 1
  by (metis num-replicate3-eq-pow-minus-1)
have nincr zs = replicate (length zs) 0 @ [1]
  using nincr-def False by auto
then have num (nincr zs) = 2 ^ length zs
  by (simp add: num-replicate2-eq-pow)
then show ?thesis
  using num-zs by simp
qed

lemma nincr-canrepr: nincr (canrepr n) = canrepr (Suc n)
  using canrepr canonical-canrepr canreprI bit-symbols-canrepr canonical-nincr nincr
  by metis

```

The next Turing machine performs the incrementing. Starting from the left of the symbol sequence on tape  $j$ , it writes the symbol **0** until it reaches a blank or the symbol **1**. Then it writes a **1** and returns the tape head to the beginning.

```

definition tm-incr :: tapeidx  $\Rightarrow$  machine where
  tm-incr j  $\equiv$  tm-const-until j j { $\square$ , 0} 0 ;; tm-write j 1 ;; tm-cr j

```

```

lemma tm-incr-tm:
  assumes  $G \geq 4$  and  $k \geq 2$  and  $j < k$  and  $j > 0$ 
  shows turing-machine k G (tm-incr j)
  unfolding tm-incr-def using assms tm-const-until-tm tm-write-tm tm-cr-tm by simp

```

```

locale turing-machine-incr =
  fixes j :: tapeidx
begin

```

```

definition tm1  $\equiv$  tm-const-until j j { $\square$ , 0} 0
definition tm2  $\equiv$  tm1 ;; tm-write j 1
definition tm3  $\equiv$  tm2 ;; tm-cr j

```

```

lemma tm3-eq-tm-incr: tm3 = tm-incr j
  unfolding tm3-def tm2-def tm1-def tm-incr-def by simp

```

```

context
  fixes x k :: nat and tps :: tape list
  assumes jk [simp]:  $j < k$  length tps = k
  and tps0 [simp]:  $tps ! j = (\lfloor x \rfloor_N, 1)$ 
begin

```

```

lemma tm1 [transforms-intros]:
  assumes i0 = (LEAST i. i  $\leq$  nlength x  $\wedge$   $\lfloor x \rfloor_N$  (Suc i)  $\in$  { $\square$ , 0})
  and tps' = tps[j := constplant (tps ! j) 0 i0]
  shows transforms tm1 tps (Suc i0) tps'
  unfolding tm1-def
proof (tform tps: assms(2))

```

```

let ?P = λi. i ≤ nlength x ∧ [x]N (Suc i) ∈ {□, 0}
have 2: i0 ≤ nlength x ∧ [x]N (Suc i0) ∈ {□, 0}
  using LeastI[of ?P nlength x] jk(1) assms(1) by simp
have 3: ¬ ?P i if i < i0 for i
  using not-less-Least[of i ?P] jk(1) assms(1) that by simp
show rneigh (tps ! j) {□, 0} i0
proof (rule rneighI)
  show (tps ::: j) (tps :#: j + i0) ∈ {□, 0}
    using tps0 2 jk(1) assms(1) by simp
  show ∧n'. n' < i0 ⇒ (tps ::: j) (tps :#: j + n') ∉ {□, 0}
    using tps0 2 3 jk(1) assms(1) by simp
qed
qed

```

lemma *tm2* [transforms-intros]:

```

assumes i0 = (LEAST i. i ≤ nlength x ∧ [x]N (Suc i) ∈ {□, 0})
  and ttt = Suc (Suc i0)
  and tps' = tps[j := ([Suc x]N, Suc i0)]
shows transforms tm2 tps ttt tps'
unfolding tm2-def
proof (tform tps: assms(1,3) time: assms(1,2))
let ?P = λi. i ≤ nlength x ∧ [x]N (Suc i) ∈ {□, 0}
have 1: ?P (nlength x)
  by simp
have 2: i0 ≤ nlength x ∧ [x]N (Suc i0) ∈ {□, 0}
  using LeastI[of ?P nlength x] assms(1) by simp
have 3: ¬ ?P i if i < i0 for i
  using not-less-Least[of i ?P] assms(1) that by simp
let ?i = LEAST i. i ≤ nlength x ∧ [x]N (Suc i) ∈ {□, 0}
show tps' = tps
[j := constplant (tps ! j) 2 ?i,
 j := tps[j := constplant (tps ! j) 0 ?i] ! j |:=| 1]
(is tps' = ?rhs)
proof -
have ?rhs = tps [j := constplant ([x]N, Suc 0) 0 i0 |:=| 1]
  using jk assms(1) by simp
moreover have ([Suc x]N, Suc i0) = constplant ([x]N, Suc 0) 2 i0 |:=| 1
  (is ?l = ?r)
proof -
have snd ?l = snd ?r
  by (simp add: transplant-def)
moreover have [Suc x]N = fst ?r
proof -
let ?zs = canrepr x
have l: [Suc x]N = [nincr ?zs]
  by (simp add: nincr-canrepr)
have r: fst ?r = (λi. if Suc 0 ≤ i ∧ i < Suc i0 then 0 else [x]N i)(Suc i0 := 1)
  using constplant by auto
show ?thesis
proof (cases ∃ i < length ?zs. ?zs ! i = 0)
case True
let ?Q = λi. i < length ?zs ∧ ?zs ! i = 0
have Q1: ?Q (Least ?Q)
  using True by (metis (mono-tags, lifting) LeastI-ex)
have Q2: ¬ ?Q i if i < Least ?Q for i
  using True not-less-Least that by blast
have Least ?P = Least ?Q
proof (rule Least-equality)
show Least ?Q ≤ nlength x ∧ [x]N (Suc (Least ?Q)) ∈ {□, 0}
proof
show Least ?Q ≤ nlength x
  using True by (metis (mono-tags, lifting) LeastI-ex less-imp-le)
show [x]N (Suc (Least ?Q)) ∈ {□, 0}

```

```

    using True by (simp add: Q1 Suc-leI)
  qed
  then show  $\bigwedge y. y \leq \text{nlength } x \wedge \lfloor x \rfloor_N (\text{Suc } y) \in \{\square, \mathbf{0}\} \implies (\text{Least } ?Q) \leq y$ 
    using True Q1 Q2 bit-symbols-canrepr contents-def
    by (metis (no-types, lifting) Least-le antisym-conv2 diff-Suc-1 insert-iff
        le-less-Suc-eq less-nat-zero-code nat-le-linear proper-symbols-canrepr singletonD)
  qed
  then have  $i0: i0 = \text{Least } ?Q$ 
    using assms(1) by simp
  then have  $\text{nincr-zs}: \text{nincr } ?zs = \text{replicate } i0 \mathbf{0} @ [\mathbf{1}] @ \text{drop } (\text{Suc } i0) ?zs$ 
    using  $\text{nincr-def}$  True by simp
  show ?thesis
  proof
    fix  $i$ 
    consider
       $i = 0$ 
    |  $\text{Suc } 0 \leq i \wedge i < \text{Suc } i0$ 
    |  $i = \text{Suc } i0$ 
    |  $i > \text{Suc } i0 \wedge i \leq \text{length } ?zs$ 
    |  $i > \text{Suc } i0 \wedge i > \text{length } ?zs$ 
    by linarith
    then have  $\lfloor \text{replicate } i0 \mathbf{0} @ [\mathbf{1}] @ \text{drop } (\text{Suc } i0) ?zs \rfloor i =$ 
       $((\lambda i. \text{if } \text{Suc } 0 \leq i \wedge i < \text{Suc } i0 \text{ then } \mathbf{0} \text{ else } \lfloor x \rfloor_N i)(\text{Suc } i0 := \mathbf{1})) i$ 
       $(\text{is } ?A \ i = ?B \ i)$ 
    proof (cases)
      case 1
      then show ?thesis
        by (simp add: transplant-def)
      next
      case 2
      then have  $i - 1 < i0$ 
        by auto
      then have  $(\text{replicate } i0 \mathbf{0} @ [\mathbf{1}] @ \text{drop } (\text{Suc } i0) ?zs) ! (i - 1) = \mathbf{0}$ 
        by (metis length-rotate nth-append nth-rotate)
      then have  $?A \ i = \mathbf{0}$ 
        using contents-def  $i0 \ 2 \ Q1 \ \text{nincr-canrepr} \ \text{nincr-zs}$ 
        by (metis Suc-le-lessD le-trans less-Suc-eq-le less-imp-le-nat less-numeral-extra(3) nlength-Suc-le)
      moreover have  $?B \ i = \mathbf{0}$ 
        using  $i0 \ 2$  by simp
      ultimately show ?thesis
        by simp
      next
      case 3
      then show ?thesis
        using  $i0 \ Q1 \ \text{canrepr-0} \ \text{contents-inbounds} \ \text{nincr-canrepr} \ \text{nincr-zs} \ \text{nlength-0-simp} \ \text{nlength-Suc}$ 
        nlength-Suc-le
        by (metis (no-types, lifting) contents-append-update fun-upd-apply length-rotate)
      next
      case 4
      then have  $?A \ i = (\text{replicate } i0 \mathbf{0} @ [\mathbf{1}] @ \text{drop } (\text{Suc } i0) ?zs) ! (i - 1)$ 
        by auto
      then have  $?A \ i = ((\text{replicate } i0 \mathbf{0} @ [\mathbf{1}] @ \text{drop } (\text{Suc } i0) ?zs) ! (i - 1))$ 
        by simp
      moreover have  $\text{length } (\text{replicate } i0 \mathbf{0} @ [\mathbf{1}]) = \text{Suc } i0$ 
        by simp
      moreover have  $i - 1 < \text{length } ?zs$ 
        using 4 by auto
      moreover have  $i - 1 \geq \text{Suc } i0$ 
        using 4 by auto
      ultimately have  $?A \ i = ?zs ! (i - 1)$ 
        using  $i0 \ Q1$ 
        by (metis (no-types, lifting) Suc-leI append-take-drop-id length-take min-absorb2 not-le nth-append)
      moreover have  $?B \ i = \lfloor x \rfloor_N i$ 

```

```

    using 4 by simp
    ultimately show ?thesis
    using i0 4 contents-def by simp
next
  case 5
  then show ?thesis
  by auto
qed
then show  $[Suc\ x]_N\ i = fst\ (constplant\ ([x]_N,\ Suc\ 0)\ \mathbf{0}\ i0\ |:=|\ \mathbf{1})\ i$ 
  using nincr-zs l r by simp
qed
next
case False
then have nincr-zs:  $nincr\ ?zs = replicate\ (length\ ?zs)\ \mathbf{0}\ @\ [\mathbf{1}]$ 
  using nincr-def by auto
have Least ?P = length ?zs
proof (rule Least-equality)
  show  $nlength\ x \leq nlength\ x \wedge [x]_N\ (Suc\ (nlength\ x)) \in \{\square,\ \mathbf{0}\}$ 
  by simp
  show  $\bigwedge y.\ y \leq nlength\ x \wedge [x]_N\ (Suc\ y) \in \{\square,\ \mathbf{0}\} \implies nlength\ x \leq y$ 
  using False contents-def bit-symbols-canrepr
  by (metis diff-Suc-1 insert-iff le-neq-implies-less nat.simps(3) not-less-eq-eq numeral-3-eq-3 singletonD)
qed
then have i0:  $i0 = length\ ?zs$ 
  using assms(1) by simp
show ?thesis
proof
  fix i
  consider  $i = 0 \mid Suc\ 0 \leq i \wedge i < Suc\ (length\ ?zs) \mid i = Suc\ (length\ ?zs) \mid i > Suc\ (length\ ?zs)$ 
  by linarith
  then have  $[replicate\ (length\ ?zs)\ \mathbf{0}\ @\ [\mathbf{1}]]\ i =$ 
     $((\lambda i.\ if\ Suc\ 0 \leq i \wedge i < Suc\ i0\ then\ \mathbf{0}\ else\ [x]_N\ i)(Suc\ i0\ :=\ \mathbf{1}))\ i$ 
    (is ?A i = ?B i)
  proof (cases)
    case 1
    then show ?thesis
    by (simp add: transplant-def)
  next
    case 2
    then have ?A i = 0
      by (metis One-nat-def Suc-le-lessD add commute contents-def diff-Suc-1 length-Cons length-append
        length-replicate less-Suc-eq-0-disj less-imp-le-nat less-numeral-extra(3) list.size(3) nth-append
        nth-replicate plus-1-eq-Suc)
    moreover have ?B i = 0
      using i0 2 by simp
    ultimately show ?thesis
    by simp
  next
    case 3
    then show ?thesis
    using i0 canrepr-0 contents-inbounds nincr-canrepr nincr-zs nlength-0-simp nlength-Suc
    by (metis One-nat-def add commute diff-Suc-1 fun-upd-apply length-Cons length-append
      length-replicate nth-append-length plus-1-eq-Suc zero-less-Suc)
  next
    case 4
    then show ?thesis
    using i0 by simp
  qed
  then show  $[Suc\ x]_N\ i = fst\ (constplant\ ([x]_N,\ Suc\ 0)\ \mathbf{0}\ i0\ |:=|\ \mathbf{1})\ i$ 
  using nincr-zs l r by simp
qed
qed
qed

```

```

    ultimately show ?thesis
      by simp
  qed
  ultimately show ?thesis
    using assms(3) by simp
  qed
  qed
  qed

lemma tm3:
  assumes i0 = (LEAST i. i ≤ nlength x ∧ [x]N (Suc i) ∈ {□, 0})
    and ttt = 5 + 2 * i0
    and tps' = tps[j := ([Suc x]N, Suc 0)]
  shows transforms tm3 tps ttt tps'
  unfolding tm3-def
  proof (tform tps: assms(1,3) time: assms(1,2))
    let ?tps = tps[j := ([Suc x]N, Suc (LEAST i. i ≤ nlength x ∧ [x]N (Suc i) ∈ {□, 0})]
    show clean-tape (?tps ! j)
      using clean-tape-ncontents by (simp add: assms(1,3))
  qed

```

```

lemma tm3':
  assumes ttt = 5 + 2 * nlength x
    and tps' = tps[j := ([Suc x]N, Suc 0)]
  shows transforms tm3 tps ttt tps'
  proof -
    let ?P = λi. i ≤ nlength x ∧ [x]N (Suc i) ∈ {□, 0}
    define i0 where i0 = Least ?P
    have i0 ≤ nlength x ∧ [x]N (Suc i0) ∈ {□, 0}
      using LeastI[of ?P nlength x] i0-def by simp
    then have 5 + 2 * i0 ≤ 5 + 2 * nlength x
      by simp
    moreover have transforms tm3 tps (5 + 2 * i0) tps'
      using assms tm3 i0-def by simp
    ultimately show ?thesis
      using transforms-monotone assms(1) by simp
  qed

```

end

end

```

lemma transforms-tm-incrI [transforms-intros]:
  assumes j < k
    and length tps = k
    and tps ! j = ([x]N, 1)
    and ttt = 5 + 2 * nlength x
    and tps' = tps[j := ([Suc x]N, 1)]
  shows transforms (tm-incr j) tps ttt tps'
  proof -
    interpret loc: turing-machine-incr j .
    show ?thesis
      using assms loc.tm3' loc.tm3-eq-tm-incr by simp
  qed

```

### Incrementing multiple times

Adding a constant by iteratively incrementing is not exactly efficient, but it still only takes constant time and thus does not endanger any time bounds.

```

fun tm-plus-const :: nat ⇒ tapeidx ⇒ machine where
  tm-plus-const 0 j = [] |
  tm-plus-const (Suc c) j = tm-plus-const c j ;; tm-incr j

```

```

lemma tm-plus-const-tm:

```

assumes  $k \geq 2$  and  $G \geq 4$  and  $0 < j$  and  $j < k$   
 shows *turing-machine*  $k$   $G$  (*tm-plus-const*  $c$   $j$ )  
 using *assms Nil-tm tm-incr-tm* by (*induction c*) *simp-all*

**lemma** *transforms-tm-plus-constI* [*transforms-intros*]:  
 fixes  $c :: \text{nat}$   
 assumes  $j < k$   
 and  $j > 0$   
 and  $\text{length } tps = k$   
 and  $tps ! j = (\lfloor x \rfloor_N, 1)$   
 and  $t = c * (5 + 2 * \text{nlength } (x + c))$   
 and  $tps' = tps[j := (\lfloor x + c \rfloor_N, 1)]$   
 shows *transforms* (*tm-plus-const*  $c$   $j$ )  $tps$   $t$   $tps'$   
 using *assms(5,6,4)*  
**proof** (*induction c arbitrary: t tps'*)  
 case 0  
 then show ?case  
 using *transforms-Nil assms*  
 by (*metis add-cancel-left-right list-update-id mult-eq-0-iff tm-plus-const.simps(1)*)  
 next  
 case (*Suc c*)  
 define  $tpsA$  where  $tpsA = tps[j := (\lfloor x + c \rfloor_N, 1)]$   
 let  $?t = c * (5 + 2 * \text{nlength } (x + c)) + (5 + 2 * \text{nlength } (x + c))$   
 have *transforms* (*tm-plus-const*  $c$   $j$  ;; *tm-incr j*)  $tps$   $?t$   $tps'$   
**proof** (*tform tps: assms*)  
 show *transforms* (*tm-plus-const*  $c$   $j$ )  $tps$  ( $c * (5 + 2 * \text{nlength } (x + c))$ )  $tpsA$   
 using *tpsA-def assms Suc* by *simp*  
 show  $j < \text{length } tpsA$   
 using *tpsA-def assms(1,3)* by *simp*  
 show  $tpsA ! j = (\lfloor x + c \rfloor_N, 1)$   
 using *tpsA-def assms(1,3)* by *simp*  
 show  $tps' = tpsA[j := (\lfloor Suc (x + c) \rfloor_N, 1)]$   
 using *tpsA-def assms Suc* by (*metis add-Suc-right list-update-overwrite*)  
 qed  
 moreover have  $?t \leq t$   
**proof** –  
 have  $?t = Suc\ c * (5 + 2 * \text{nlength } (x + c))$   
 by *simp*  
 also have  $\dots \leq Suc\ c * (5 + 2 * \text{nlength } (x + Suc\ c))$   
 using *nlength-mono Suc-mult-le-cancel1* by *auto*  
 finally show  $?t \leq t$   
 using *Suc* by *simp*  
 qed  
 ultimately have *transforms* (*tm-plus-const*  $c$   $j$  ;; *tm-incr j*)  $tps$   $t$   $tps'$   
 using *transforms-monotone* by *simp*  
 then show ?case  
 by *simp*  
 qed

### 2.7.3 Decrementing

Decrementing a number is almost like incrementing but with the symbols **0** and **1** swapped. One difference is that in order to get a canonical symbol sequence, a trailing zero must be removed, whereas incrementing cannot result in a trailing zero. Another difference is that decrementing the number zero yields zero.

The next function returns the leftmost symbol **1**, that is, the one that needs to be flipped.

**definition** *first1* :: *symbol list*  $\Rightarrow$  *nat* where  
 $first1\ zs \equiv LEAST\ i.\ i < \text{length } zs \wedge zs ! i = \mathbf{1}$

**lemma** *canonical-ex-3*:  
 assumes *canonical*  $zs$  and  $zs \neq []$   
 shows  $\exists i < \text{length } zs.\ zs ! i = \mathbf{1}$   
 using *assms canonical-def* by (*metis One-nat-def Suc-pred last-conv-nth length-greater-0-conv lessI*)

**lemma canonical-first1:**  
**assumes** *canonical zs* **and**  $zs \neq []$   
**shows**  $first1\ zs < length\ zs \wedge zs ! first1\ zs = 1$   
**using** *assms canonical-ex-3* **by** (*metis (mono-tags, lifting) LeastI first1-def*)

**lemma canonical-first1-less:**  
**assumes** *canonical zs* **and**  $zs \neq []$   
**shows**  $\forall i < first1\ zs. zs ! i = 0$   
**proof** –  
**have**  $\forall i < first1\ zs. zs ! i \neq 1$   
**using** *assms first1-def canonical-first1 not-less-Least* **by** *fastforce*  
**then show** *?thesis*  
**using** *assms canonical-def* **by** (*meson canonical-first1 less-trans*)  
**qed**

The next function describes how the canonical representation of the decremented symbol sequence looks like. It has special cases for the empty sequence and for sequences whose only **1** is the most significant digit.

**definition ndecr** :: *symbol list*  $\Rightarrow$  *symbol list* **where**  
 $ndecr\ zs \equiv$   
*if*  $zs = []$  *then*  $[]$   
*else if*  $first1\ zs = length\ zs - 1$   
*then*  $replicate\ (first1\ zs)\ 1$   
*else*  $replicate\ (first1\ zs)\ 1 @ [0] @ drop\ (Suc\ (first1\ zs))\ zs$

**lemma canonical-ndecr:**  
**assumes** *canonical zs*  
**shows** *canonical (ndecr zs)*  
**proof** –  
**let**  $?i = first1\ zs$   
**consider**  
 $zs = []$   
 $| zs \neq [] \wedge first1\ zs = length\ zs - 1$   
 $| zs \neq [] \wedge first1\ zs < length\ zs - 1$   
**using** *canonical-first1 assms* **by** *fastforce*  
**then show** *?thesis*  
**proof** (*cases*)  
**case 1**  
**then show** *?thesis*  
**using** *ndecr-def canonical-def* **by** *simp*  
**next**  
**case 2**  
**then show** *?thesis*  
**using** *canonical-def ndecr-def not-less-eq* **by** *fastforce*  
**next**  
**case 3**  
**then have**  $Suc\ (first1\ zs) < length\ zs$   
**by** *auto*  
**then have**  $last\ (drop\ (Suc\ (first1\ zs))\ zs) = 1$   
**using** *assms canonical-def 3* **by** *simp*  
**moreover have** *bit-symbols (replicate (first1 zs) 1 @ [0] @ drop (Suc (first1 zs)) zs)*  
**proof** –  
**have** *bit-symbols (replicate (first1 zs) 1)*  
**by** *simp*  
**moreover have** *bit-symbols [0]*  
**by** *simp*  
**moreover have** *bit-symbols (drop (Suc (first1 zs)) zs)*  
**using** *assms canonical-def* **by** *simp*  
**ultimately show** *?thesis*  
**using** *bit-symbols-append* **by** *presburger*  
**qed**  
**ultimately show** *?thesis*



```

    using canonical-def ndecr-def 3 by auto
qed
qed

lemma ndecr:
  assumes canonical zs
  shows num (ndecr zs) = num zs - 1
proof -
  let ?i = first1 zs
  consider zs = [] | zs ≠ [] ∧ first1 zs = length zs - 1 | zs ≠ [] ∧ first1 zs < length zs - 1
  using canonical-first1 assms by fastforce
  then show ?thesis
  proof (cases)
    case 1
    then show ?thesis
    using ndecr-def canrepr-0 canrepr by (metis zero-diff)
  next
    case 2
    then have less: zs ! i = 0 if i < first1 zs for i
    using that assms canonical-first1-less by simp
    have at: zs ! (first1 zs) = 1
    using 2 canonical-first1 assms by blast
    have zs = replicate (first1 zs) 0 @ [1] (is zs = ?zs)
    proof (rule nth-equalityI)
      show len: length zs = length ?zs
      using 2 by simp
      show zs ! i = ?zs ! i if i < length zs for i
      proof (cases i < first1 zs)
        case True
        then show ?thesis
        by (simp add: less nth-append)
      next
        case False
        then show ?thesis
        using len that at
        by (metis Suc-leI leD length-append-singleton length-replicate linorder-neqE-nat nth-append-length)
      qed
    qed
    moreover from this have ndecr zs = replicate (first1 zs) 3
    using ndecr-def 2 by simp
    ultimately show ?thesis
    using num-replicate2-eq-pow num-replicate3-eq-pow-minus-1 by metis
  next
    case 3
    then have less: zs ! i = 0 if i < ?i for i
    using that assms canonical-first1-less by simp
    have at: zs ! ?i = 1
    using 3 canonical-first1 assms by simp
    have zs: zs = replicate ?i 0 @ [1] @ drop (Suc ?i) zs (is zs = ?zs)
    proof (rule nth-equalityI)
      show len: length zs = length ?zs
      using 3 by auto
      show zs ! i = ?zs ! i if i < length zs for i
      proof -
        consider i < ?i | i = ?i | i > ?i
        by linarith
        then show ?thesis
        proof (cases)
          case 1
          then show ?thesis
          using less by (metis length-replicate nth-append nth-replicate)
        next
          case 2

```

```

    then show ?thesis
      using at by (metis append-Cons length-replicate nth-append-length)
next
case 3
have ?zs = (replicate ?i 0 @ [1]) @ drop (Suc ?i) zs
  by simp
then have ?zs ! i = drop (Suc ?i) zs ! (i - Suc ?i)
  using 3 by (simp add: nth-append)
then have ?zs ! i = zs ! i
  using 3 that by simp
then show ?thesis
  by simp
qed
qed
qed
then have ndecr zs = replicate ?i 1 @ [0] @ drop (Suc ?i) zs
  using ndecr-def 3 by simp
then have Suc (num (ndecr zs)) = Suc (num ((replicate ?i 1 @ [0]) @ drop (Suc ?i) zs))
  (is - = Suc (num (?xs @ ?ys)))
  by simp
also have ... = Suc (num ?xs + 2 ^ length ?xs * num ?ys)
  using num-append by blast
also have ... = Suc (num ?xs + 2 ^ Suc ?i * num ?ys)
  by simp
also have ... = Suc (2 ^ ?i - 1 + 2 ^ Suc ?i * num ?ys)
  using num-replicate3-eq-pow-minus-1 num-trailing-zero[of 2 replicate ?i 1] by simp
also have ... = 2 ^ ?i + 2 ^ Suc ?i * num ?ys
  by simp
also have ... = num (replicate ?i 0 @ [1]) + 2 ^ Suc ?i * num ?ys
  using num-replicate2-eq-pow by simp
also have ... = num ((replicate ?i 0 @ [1]) @ ?ys)
  using num-append by (metis length-append-singleton length-replicate)
also have ... = num (replicate ?i 0 @ [1] @ ?ys)
  by simp
also have ... = num zs
  using zs by simp
finally have Suc (num (ndecr zs)) = num zs .
then show ?thesis
  by simp
qed
qed

```

The next Turing machine implements the function *ndecr*. It does nothing on the empty input, which represents zero. On other inputs it writes symbols **1** going right until it reaches a **1** symbol, which is guaranteed to happen for non-empty canonical representations. It then overwrites this **1** with **0**. If there is a blank symbol to the right of this **0**, the **0** is removed again.

**definition** *tm-decr* :: *tapeidx*  $\Rightarrow$  *machine* **where**

```

tm-decr j  $\equiv$ 
  IF  $\lambda rs. rs ! j = \square$  THEN
    []
  ELSE
    tm-const-until j j {1} 1 ;;
    tm-rtrans j ( $\lambda \cdot$ . 0) ;;
    IF  $\lambda rs. rs ! j = \square$  THEN
      tm-left j ;;
      tm-write j  $\square$ 
    ELSE
      []
  ENDIF ;;
  tm-cr j
ENDIF

```

**lemma** *tm-decr-tm*:

```

assumes  $G \geq 4$  and  $k \geq 2$  and  $j < k$  and  $0 < j$ 
shows turing-machine  $k$   $G$  (tm-decr  $j$ )
unfolding tm-decr-def
using assms tm-cr-tm tm-const-until-tm tm-rtrans-tm tm-left-tm tm-write-tm
turing-machine-branch-turing-machine Nil-tm
by simp

locale turing-machine-decr =
  fixes  $j :: \text{tapeid}$ 
begin

definition  $tm1 \equiv tm\text{-const-until } j \ j \ \{1\} \ 1$ 
definition  $tm2 \equiv tm1 \ ;\ ;\ tm\text{-rtrans } j \ (\lambda\cdot \mathbf{0})$ 
definition  $tm23 \equiv tm\text{-left } j$ 
definition  $tm24 \equiv tm23 \ ;\ ;\ tm\text{-write } j \ \square$ 
definition  $tm25 \equiv \text{IF } \lambda rs. rs \ ! \ j = \square \ \text{THEN } tm24 \ \text{ELSE } [] \ \text{ENDIF}$ 
definition  $tm5 \equiv tm2 \ ;\ ;\ tm25$ 
definition  $tm6 \equiv tm5 \ ;\ ;\ tm\text{-cr } j$ 
definition  $tm7 \equiv \text{IF } \lambda rs. rs \ ! \ j = \square \ \text{THEN } [] \ \text{ELSE } tm6 \ \text{ENDIF}$ 

lemma tm7-eq-tm-decr:  $tm7 = tm\text{-decr } j$ 
  unfolding tm1-def tm2-def tm23-def tm24-def tm25-def tm5-def tm6-def tm7-def tm-decr-def
  by simp

context
  fixes  $tps0 :: \text{tape list}$  and  $xs :: \text{symbol list}$  and  $k :: \text{nat}$ 
  assumes  $jk: \text{length } tps0 = k \ j < k$ 
  and can: canonical  $xs$ 
  and  $tps0: tps0 \ ! \ j = (\lfloor xs \rfloor, 1)$ 
begin

lemma bs: bit-symbols  $xs$ 
  using can canonical-def by simp

context
  assumes read-tps0:  $\text{read } tps0 \ ! \ j = \square$ 
begin

lemma xs-Nil:  $xs = []$ 
  using  $tps0 \ jk$  tapes-at-read' read-tps0 bs contents-inbounds
  by (metis can canreprI canrepr-0 fst-conv ncontents-1-blank-iff-zero snd-conv)

lemma transforms-NilI:
  assumes  $ttt = 0$ 
  and  $tps' = tps0[j := (\lfloor ndecr \ xs \rfloor, 1)]$ 
  shows transforms  $[] \ tps0 \ ttt \ tps'$ 
  using transforms-Nil xs-Nil ndecr-def tps0 assms by (metis Basics.transforms-Nil list-update-id)

end

context
  assumes read-tps0':  $\text{read } tps0 \ ! \ j \neq \square$ 
begin

lemma xs:  $xs \neq []$ 
  using  $tps0 \ jk$  tapes-at-read' read-tps0' bs contents-inbounds
  by (metis canrepr-0 fst-conv ncontents-1-blank-iff-zero snd-conv)

lemma first1:  $\text{first1 } xs < \text{length } xs \ xs \ ! \ \text{first1 } xs = \mathbf{1} \ \forall i < \text{first1 } xs. \ xs \ ! \ i = \mathbf{0}$ 
  using canonical-first1[OF can xs] canonical-first1-less[OF can xs] by simp-all

definition  $tps1 \equiv tps0$ 
   $[j := (\lfloor \text{replicate } (\text{first1 } xs) \ \mathbf{1} \ @ \ [1] \ @ \ (\text{drop } (\text{Suc } (\text{first1 } xs)) \ xs)], \text{Suc } (\text{first1 } xs))]$ 

```

```

lemma tm1 [transforms-intros]:
  assumes ttt = Suc (first1 xs)
  shows transforms tm1 tps0 ttt tps1
  unfolding tm1-def
proof (tform tps: tps1-def jk time: assms)
  show rneigh (tps0 ! j) {1} (first1 xs)
  proof (rule rneighI)
    show (tps0 ::: j) (tps0 :#: j + first1 xs) ∈ {1}
      using first1(1,2) tps0 jk by (simp add: Suc-leI)
    show  $\bigwedge n'. n' < \text{first1 } xs \implies (tps0 ::: j) (tps0 :#: j + n') \notin \{1\}$ 
      using first1(3) tps0 jk by (simp add: contents-def)
  qed
  show tps1 = tps0
    [j := tps0 ! j | + | first1 xs,
     j := constplant (tps0 ! j) 1 (first1 xs)]
  proof -
    have tps1 ! j = constplant (tps0 ! j) 3 (first1 xs)
      (is - = ?rhs)
    proof -
      have fst ?rhs = ( $\lambda i. \text{if } 1 \leq i \wedge i < 1 + \text{first1 } xs \text{ then } 1 \text{ else } [xs] i$ )
        using tps0 jk constplant by auto
      also have ... = [replicate (first1 xs) 1 @ [1] @ drop (Suc (first1 xs)) xs]
    proof
      fix i
      consider
        i = 0
        |  $i \geq 1 \wedge i < 1 + \text{first1 } xs$ 
        |  $i = 1 + \text{first1 } xs$ 
        |  $1 + \text{first1 } xs < i \wedge i \leq \text{length } xs$ 
        |  $i > \text{length } xs$ 
      by linarith
      then show (if  $1 \leq i \wedge i < 1 + \text{first1 } xs$  then 1 else [xs] i) =
        [replicate (first1 xs) 1 @ [1] @ drop (Suc (first1 xs)) xs] i
        (is ?l = ?r)
    proof (cases)
      case 1
      then show ?thesis
        by simp
    next
      case 2
      then show ?thesis
        by (smt (verit) One-nat-def Suc-diff-Suc add-diff-inverse-nat contents-inbounds first1(1) length-append
            length-drop length-rotate less-imp-le-nat less-le-trans list.size(3) list.size(4) not-le not-less-eq
            nth-append nth-rotate plus-1-eq-Suc)
    next
      case 3
      then show ?thesis
        using first1
        by (smt (verit) One-nat-def Suc-diff-Suc Suc-leI add-diff-inverse-nat append-Cons contents-inbounds
            diff-Suc-1 length-append length-drop length-rotate less-SucI less-Suc-eq-0-disj list.size(3)
            list.size(4) not-less-eq nth-append-length)
    next
      case 4
      then have ?r = (replicate (first1 xs) 1 @ [1] @ drop (Suc (first1 xs)) xs) ! (i - 1)
        by auto
      also have ... = ((replicate (first1 xs) 1 @ [1]) @ drop (Suc (first1 xs)) xs) ! (i - 1)
        by simp
      also have ... = (drop (Suc (first1 xs)) xs) ! (i - 1 - Suc (first1 xs))
        using 4
        by (metis Suc-leI add-diff-inverse-nat gr-implies-not0 leD length-append-singleton
            length-rotate less-one nth-append plus-1-eq-Suc)
      also have ... = xs ! (i - 1)
    end
  end
end

```

```

      using 4 by (metis Suc-leI add-diff-inverse-nat first1(1) gr-implies-not0 leD less-one nth-drop
plus-1-eq-Suc)
    also have ... = [xs] i
      using 4 by simp
    also have ... = ?l
      using 4 by simp
    finally have ?r = ?l .
    then show ?thesis
      by simp
  next
  case 5
  then show ?thesis
    using first1(1) by simp
qed
qed
also have ... = tps1 ::: j
  using tps1-def jk by simp
finally have fst ?rhs = fst (tps1 ! j) .
then show ?thesis
  using tps1-def jk constplant tps0 by simp
qed
then show ?thesis
  using tps1-def tps0 jk by simp
qed
qed

```

**definition**  $tps2 \equiv tps0$

$[j := (\lfloor \text{replicate } (first1\ xs) \ 1 \ @ \ [0] \ @ \ \text{drop } (Suc\ (first1\ xs))\ xs \rfloor, Suc\ (Suc\ (first1\ xs)))]$

**lemma**  $tm2$  [transforms-intros]:

assumes  $tts = first1\ xs + 2$

shows  $transforms\ tm2\ tps0\ tts\ tps2$

unfolding  $tm2\text{-def}$

**proof** (tform tps: tps2-def tps1-def jk time: assms)

show  $tps2 = tps1[j := tps1 ! j | := | 0 | + | 1]$

using  $tps1\text{-def}\ tps2\text{-def}\ jk\ contents\text{-append}\text{-update}$  by simp

qed

**definition**  $tps5 \equiv tps0$

$[j := (\lfloor \text{ndecr } xs \rfloor, \text{if read } tps2 ! j = \square \text{ then } Suc\ (first1\ xs) \ \text{else } Suc\ (Suc\ (first1\ xs)))]$

**context**

assumes  $read\text{-tps2}: read\ tps2 ! j = \square$

**begin**

**lemma**  $proper\text{-contents}\text{-outofbounds}$ :

assumes  $proper\text{-symbols}\ zs$  and  $\lfloor zs \rfloor i = \square$

shows  $i > length\ zs$

using  $contents\text{-def}\ proper\text{-symbols}\text{-ne0}\ assms$

by (metis  $Suc\text{-diff}\text{-1}\ bot\text{-nat}\text{-0}\ .\ not\text{-eq}\text{-extremum}\ linorder\text{-le}\text{-less}\text{-linear}\ not\text{-less}\text{-eq}\ zero\text{-neq}\text{-one}$ )

**lemma**  $first1\text{-eq}: first1\ xs = length\ xs - 1$

**proof** -

have  $tps2 ! j = (\lfloor \text{replicate } (first1\ xs) \ 1 \ @ \ [0] \ @ \ \text{drop } (Suc\ (first1\ xs))\ xs \rfloor, Suc\ (Suc\ (first1\ xs)))$

(is - =  $(\lfloor ?zs \rfloor, ?i)$ )

using  $tps2\text{-def}\ jk$  by simp

have  $proper\text{-symbols}\ xs$

using  $can\ bs$  by fastforce

then have \*:  $proper\text{-symbols}\ ?zs$

using  $proper\text{-symbols}\text{-append}[of\ [0]\ \text{drop } (Suc\ (first1\ xs))\ xs]\ proper\text{-symbols}\text{-append}$

by simp

have  $read\ tps2 ! j = \lfloor ?zs \rfloor ?i$

using  $tps2\text{-def}\ jk\ tapes\text{-at}\text{-read}'[of\ j\ tps2]$  by simp

**then have**  $[?zs] ?i = \square$   
**using** *read-tps2* **by** *simp*  
**then have**  $?i > \text{length } ?zs$   
**using** *\* proper-contents-outofbounds* **by** *blast*  
**moreover have**  $\text{length } ?zs = \text{length } xs$   
**using** *first1* **by** *simp*  
**ultimately have**  $\text{Suc } (\text{first1 } xs) \geq \text{length } xs$   
**by** *simp*  
**moreover have**  $\text{length } xs > 0$   
**using** *xs* **by** *simp*  
**ultimately have**  $\text{first1 } xs \geq \text{length } xs - 1$   
**by** *simp*  
**then show** *?thesis*  
**using** *first1(1)* **by** *simp*  
**qed**

**lemma** *drop-xs-Nil*:  $\text{drop } (\text{Suc } (\text{first1 } xs)) \text{ } xs = []$   
**using** *first1-eq xs* **by** *simp*

**lemma** *tps2-eq*:  $\text{tps2} = \text{tps0}[j := (\text{replicate } (\text{first1 } xs) \text{ } \mathbf{1} \text{ } @ \text{ } [0]), \text{Suc } (\text{Suc } (\text{first1 } xs)))]$   
**using** *tps2-def drop-xs-Nil jk* **by** *simp*

**definition** *tps23*  $\equiv \text{tps0}$   
 $[j := (\text{replicate } (\text{first1 } xs) \text{ } \mathbf{1} \text{ } @ \text{ } [0]), \text{Suc } (\text{first1 } xs)]$

**lemma** *tm23* [*transforms-intros*]:  
**assumes**  $\text{tnt} = 1$   
**shows** *transforms tm23 tps2 tnt tps23*  
**unfolding** *tm23-def*  
**proof** (*tform tps: tps2-def tps23-def jk time: assms*)  
**show**  $\text{tps23} = \text{tps2}[j := \text{tps2} ! j \text{ } |- \text{ } 1]$   
**using** *tps23-def tps2-eq jk* **by** *simp*  
**qed**

**definition** *tps24*  $\equiv \text{tps0}$   
 $[j := (\text{replicate } (\text{first1 } xs) \text{ } \mathbf{1}), \text{Suc } (\text{first1 } xs)]$

**lemma** *tm24*:  
**assumes**  $\text{tnt} = 2$   
**shows** *transforms tm24 tps2 tnt tps24*  
**unfolding** *tm24-def*  
**proof** (*tform tps: tps23-def tps24-def time: assms*)  
**show**  $\text{tps24} = \text{tps23}[j := \text{tps23} ! j \text{ } |:= \text{ } \square]$   
**proof** –  
**have**  $\text{tps23} ! j \text{ } |:= \text{ } \square = (\text{replicate } (\text{first1 } xs) \text{ } \mathbf{1} \text{ } @ \text{ } [0]), \text{Suc } (\text{first1 } xs) \text{ } |:= \text{ } \square$   
**using** *tps23-def jk* **by** *simp*  
**then have**  $\text{tps23} ! j \text{ } |:= \text{ } \square = (\text{replicate } (\text{first1 } xs) \text{ } \mathbf{1} \text{ } @ \text{ } [\square]), \text{Suc } (\text{first1 } xs)$   
**using** *contents-append-update* **by** *auto*  
**then have**  $\text{tps23} ! j \text{ } |:= \text{ } \square = (\text{replicate } (\text{first1 } xs) \text{ } \mathbf{1}), \text{Suc } (\text{first1 } xs)$   
**using** *contents-append-blanks* **by** (*metis replicate-0 replicate-Suc*)  
**moreover have**  $\text{tps24} ! j = (\text{replicate } (\text{first1 } xs) \text{ } \mathbf{1}), \text{Suc } (\text{first1 } xs)$   
**using** *tps24-def jk* **by** *simp*  
**ultimately show** *?thesis*  
**using** *tps23-def tps24-def* **by** *auto*  
**qed**  
**qed**

**corollary** *tm24'* [*transforms-intros*]:  
**assumes**  $\text{tnt} = 2$  **and**  $\text{tps}' = \text{tps0}[j := (\text{ndecr } xs), \text{Suc } (\text{first1 } xs)]$   
**shows** *transforms tm24 tps2 tnt tps'*  
**proof** –  
**have**  $\text{tps24} = \text{tps0}[j := (\text{ndecr } xs), \text{Suc } (\text{first1 } xs)]$   
**using** *tps24-def jk ndecr-def first1-eq xs* **by** *simp*

```

then show ?thesis
  using assms tm24 by simp
qed

end

context
  assumes read-tps2': read tps2 ! j ≠ □
begin

lemma first1-neq: first1 xs ≠ length xs - 1
proof (rule ccontr)
  assume eq: ¬ first1 xs ≠ length xs - 1

  have tps2 ! j = (⟦replicate (first1 xs) 1 @ [0] @ drop (Suc (first1 xs)) xs⟧, Suc (Suc (first1 xs)))
    (is - = (⟦?zs⟧, ?i))
  using tps2-def jk by simp
  have length ?zs = length xs
  using first1 by simp
  then have Suc (Suc (first1 xs)) = Suc (length ?zs)
  using xs eq by simp
  then have *: ⟦?zs⟧ ?i = 0
  using contents-outofbounds by simp

  have read tps2 ! j = ⟦?zs⟧ ?i
  using tps2-def jk tapes-at-read'[of j tps2] by simp
  then have ⟦?zs⟧ ?i ≠ □
  using read-tps2' by simp
  then show False
  using * by simp
qed

lemma tps2: tps2 = tps0[j := (⟦ndecr xs⟧, Suc (Suc (first1 xs)))]
  using tps2-def ndecr-def first1-neq xs by simp

end

lemma tm25 [transforms-intros]:
  assumes ttt = (if read tps2 ! j = □ then 4 else 1)
  shows transforms tm25 tps2 ttt tps5
  unfolding tm25-def by (tform tps: tps2 tps5-def time: assms)

lemma tm5 [transforms-intros]:
  assumes ttt = first1 xs + 2 + (if read tps2 ! j = □ then 4 else 1)
  shows transforms tm5 tps0 ttt tps5
  unfolding tm5-def by (tform time: assms)

definition tps6 ≡ tps0
  [j := (⟦ndecr xs⟧, 1)]

lemma tm6:
  assumes ttt = first1 xs + 2 + (if read tps2 ! j = □ then 4 else 1) + (tps5 :#: j + 2)
  shows transforms tm6 tps0 ttt tps6
  unfolding tm6-def
proof (tform tps: tps5-def tps6-def jk time: assms)
  show clean-tape (tps5 ! j)
  proof -
    have tps5 ::: j = ⟦ndecr xs⟧
    using tps5-def jk by simp
    moreover have bit-symbols (ndecr xs)
    using canonical-ndecr can canonical-def by simp
    ultimately show ?thesis
    using One-nat-def Suc-1 Suc-le-lessD clean-contents-proper
  end
end

```

```

    by (metis contents-clean-tape' lessI one-less-numeral-iff semiring-norm(77))
  qed
qed

lemma tm6' [transforms-intros]:
  assumes ttt = 2 * first1 xs + 9
  shows transforms tm6 tps0 ttt tps6
proof -
  let ?ttt = first1 xs + 2 + (if read tps2 ! j =  $\square$  then 4 else 1) + (tps5 :#: j + 2)
  have tps5 :#: j = (if read tps2 ! j =  $\square$  then Suc (first1 xs) else Suc (Suc (first1 xs)))
    using tps5-def jk by simp
  then have ?ttt  $\leq$  ttt
    using assms by simp
  then show ?thesis
    using tm6 transforms-monotone assms by simp
qed

end

definition tps7  $\equiv$  tps0[j := ( $\lfloor$ ndecr xs $\rfloor$ , 1)]

lemma tm7:
  assumes ttt = 8 + 2 * length xs
  shows transforms tm7 tps0 ttt tps7
  unfolding tm7-def
proof (tform tps: tps6-def tps7-def time: assms)
  show tps7 = tps0 if read tps0 ! j =  $\square$ 
    using that ndecr-def tps0 tps7-def xs-Nil jk by (simp add: list-update-same-conv)
  show 2 * first1 xs + 9 + 1  $\leq$  ttt if read tps0 ! j  $\neq$   $\square$ 
  proof -
    have length xs > 0
      using that xs by simp
    then show ?thesis
      using first1(1) that assms by simp
  qed
qed

end

end

lemma transforms-tm-decrI [transforms-intros]:
  fixes tps tps' :: tape list and n :: nat and k ttt :: nat
  assumes j < k length tps = k
  assumes tps ! j = ( $\lfloor$ n $\rfloor$ N, 1)
  assumes ttt = 8 + 2 * nlength n
  assumes tps' = tps[j := ( $\lfloor$ n - 1 $\rfloor$ N, 1)]
  shows transforms (tm-decr j) tps ttt tps'
proof -
  let ?xs = canrepr n
  have can: canonical ?xs
    using canonical-canrepr by simp
  have tps0: tps ! j = ( $\lfloor$ ?xs $\rfloor$ , 1)
    using assms by simp
  have tps': tps' = tps[j := ( $\lfloor$ ndecr ?xs $\rfloor$ , 1)]
    using ndecr assms(5) by (metis canrepr canreprI can canonical-ndecr)
  interpret loc: turing-machine-decr j .
  have transforms loc.tm7 tps ttt tps'
    using loc.tm7 loc.tps7-def by (metis assms(1,2,4) can tps' tps0)
  then show ?thesis
    using loc.tm7-eq-tm-decr by simp
qed

```



## 2.7.4 Addition

In this section we construct a Turing machine that adds two numbers in canonical representation each given on a separate tape and overwrites the second number with the sum. The TM implements the common algorithm with carry starting from the least significant digit.

Given two symbol sequences  $xs$  and  $ys$  representing numbers, the next function computes the carry bit that occurs in the  $i$ -th position. For the least significant position, 0, there is no carry (that is, it is 0); for position  $i + 1$  the carry is the sum of the bits of  $xs$  and  $ys$  in position  $i$  and the carry for position  $i$ . The function gives the carry as symbol **0** or **1**, except for position 0, where it is the start symbol  $\triangleright$ . The start symbol represents the same bit as the symbol **0** as defined by *todigit*. The reason for this special treatment is that the TM will store the carry on a memorization tape (see Section 2.5), which initially contains the start symbol.

```
fun carry :: symbol list  $\Rightarrow$  symbol list  $\Rightarrow$  nat  $\Rightarrow$  symbol where
  carry xs ys 0 = 1 |
  carry xs ys (Suc i) = tosym ((todigit (digit xs i) + todigit (digit ys i) + todigit (carry xs ys i)) div 2)
```

The next function specifies the  $i$ -th digit of the sum.

```
definition sumdigit :: symbol list  $\Rightarrow$  symbol list  $\Rightarrow$  nat  $\Rightarrow$  symbol where
  sumdigit xs ys i  $\equiv$  tosym ((todigit (digit xs i) + todigit (digit ys i) + todigit (carry xs ys i)) mod 2)
```

```
lemma carry-sumdigit: todigit (sumdigit xs ys i) + 2 * (todigit (carry xs ys (Suc i))) =
  todigit (carry xs ys i) + todigit (digit xs i) + todigit (digit ys i)
using sumdigit-def by simp
```

```
lemma carry-sumdigit-eq-sum:
```

```
  num xs + num ys =
  num (map (sumdigit xs ys) [0..<t]) + 2 ^ t * todigit (carry xs ys t) + 2 ^ t * num (drop t xs) + 2 ^ t * num
(drop t ys)
```

```
proof (induction t)
```

```
  case 0
```

```
  then show ?case
```

```
    using num-def by simp
```

```
next
```

```
  case (Suc t)
```

```
  let ?z = sumdigit xs ys
```

```
  let ?c = carry xs ys
```

```
  let ?zzz = map ?z [0..<Suc t]
```

```
  have num (take (Suc t) ?zzz) = num (take t ?zzz) + 2 ^ t * todigit (digit ?zzz t)
```

```
    using num-take-Suc by blast
```

```
  moreover have take (Suc t) ?zzz = map (sumdigit xs ys) [0..<Suc t]
```

```
    by simp
```

```
  moreover have take t ?zzz = map (sumdigit xs ys) [0..<t]
```

```
    by simp
```

```
  ultimately have 1: num (map ?z [0..<Suc t]) = num (map ?z [0..<t]) + 2 ^ t * todigit (digit ?zzz t)
```

```
    by simp
```

```
  have 2: digit ?zzz t = sumdigit xs ys t
```

```
    using digit-def
```

```
    by (metis One-nat-def add-Suc diff-add-inverse length-map length-upt lessI nth-map-upt plus-1-eq-Suc)
```

```
  have todigit (?z t) + 2 * (todigit (carry xs ys (Suc t))) =
```

```
    todigit (carry xs ys t) + todigit (digit xs t) + todigit (digit ys t)
```

```
    using carry-sumdigit .
```

```
  then have 2 ^ t * (todigit (?z t) + 2 * (todigit (?c (Suc t)))) =
```

```
    2 ^ t * (todigit (?c t) + todigit (digit xs t) + todigit (digit ys t))
```

```
    by simp
```

```
  then have 2 ^ t * todigit (?z t) + 2 ^ t * 2 * todigit (?c (Suc t)) =
```

```
    2 ^ t * todigit (?c t) + 2 ^ t * todigit (digit xs t) + 2 ^ t * todigit (digit ys t)
```

```
    using add-mult-distrib2 by simp
```

```
  then have num (map ?z [0..<t]) + 2 ^ t * (todigit (?z t)) + 2 ^ Suc t * (todigit (?c (Suc t))) =
```

```
    num (map ?z [0..<t]) + 2 ^ t * (todigit (?c t)) + 2 ^ t * (todigit (digit xs t)) + 2 ^ t * (todigit (digit ys t))
```

```
    by simp
```

```

then have num (map ?z [0..<Suc t]) + 2 ^ Suc t * (todigit (?c (Suc t))) =
  num (map ?z [0..<t]) + 2 ^ t * todigit (?c t) + 2 ^ t * todigit (digit xs t) + 2 ^ t * todigit (digit ys t)
using 1 2 by simp
then have num (map ?z [0..<Suc t]) + 2 ^ Suc t * (todigit (?c (Suc t))) +
  2 ^ Suc t * num (drop (Suc t) xs) + 2 ^ Suc t * num (drop (Suc t) ys) =
  num (map ?z [0..<t]) + 2 ^ t * todigit (?c t) + 2 ^ t * todigit (digit xs t) + 2 ^ t * todigit (digit ys t) +
  2 ^ Suc t * num (drop (Suc t) xs) + 2 ^ Suc t * num (drop (Suc t) ys)
by simp
also have ... = num (map ?z [0..<t]) + 2 ^ t * (todigit (?c t)) +
  2 ^ t * (todigit (digit xs t) + 2 * num (drop (Suc t) xs)) + 2 ^ t * (todigit (digit ys t) + 2 * num (drop
(Suc t) ys))
by (simp add: add-mult-distrib2)
also have ... = num (map ?z [0..<t]) + 2 ^ t * (todigit (?c t)) +
  2 ^ t * num (drop t xs) + 2 ^ t * num (drop t ys)
using num-drop by metis
also have ... = num xs + num ys
using Suc by simp
finally show ?case
by simp
qed

```

```

lemma carry-le:
  assumes symbols-lt 4 xs and symbols-lt 4 ys
  shows carry xs ys t ≤ 1
proof (induction t)
  case 0
  then show ?case
  by simp
next
  case (Suc t)
  then have todigit (carry xs ys t) ≤ 1
  by simp
  moreover have todigit (digit xs t) ≤ 1
  using assms(1) digit-def by auto
  moreover have todigit (digit ys t) ≤ 1
  using assms(2) digit-def by auto
  ultimately show ?case
  by simp
qed

```

```

lemma num-sumdigit-eq-sum:
  assumes length xs ≤ n
  and length ys ≤ n
  and symbols-lt 4 xs
  and symbols-lt 4 ys
  shows num xs + num ys = num (map (sumdigit xs ys) [0..<Suc n])
proof -
  have num xs + num ys =
    num (map (sumdigit xs ys) [0..<Suc n]) + 2 ^ Suc n * todigit (carry xs ys (Suc n)) +
    2 ^ Suc n * num (drop (Suc n) xs) + 2 ^ Suc n * num (drop (Suc n) ys)
  using carry-sumdigit-eq-sum by blast
  also have ... = num (map (sumdigit xs ys) [0..<Suc n]) + 2 ^ Suc n * todigit (carry xs ys (Suc n))
  using assms(1,2) by (simp add: num-def)
  also have ... = num (map (sumdigit xs ys) [0..<Suc n])
proof -
  have digit xs n = 0
  using assms(1) digit-def by simp
  moreover have digit ys n = 0
  using assms(2) digit-def by simp
  ultimately have (digit xs n + digit ys n + todigit (carry xs ys n)) div 2 = 0
  using carry-le[OF assms(3,4), of n] by simp
  then show ?thesis
  by auto

```

```

qed
finally show ?thesis .
qed

```

```

lemma num-sumdigit-eq-sum':
  assumes symbols-lt 4 xs and symbols-lt 4 ys
  shows num xs + num ys = num (map (sumdigit xs ys) [0..

```

```

lemma num-sumdigit-eq-sum'':
  assumes bit-symbols xs and bit-symbols ys
  shows num xs + num ys = num (map (sumdigit xs ys) [0..

```

```

lemma sumdigit-bit-symbols: bit-symbols (map (sumdigit xs ys) [0..

```

The core of the addition Turing machine is the following command. It scans the symbols on tape  $j_1$  and  $j_2$  in lockstep until it reaches blanks on both tapes. In every step it adds the symbols on both tapes and the symbol on the last tape, which is a memorization tape storing the carry bit. The sum of these three bits modulo 2 is written to tape  $j_2$  and the new carry to the memorization tape.

```

definition cmd-plus :: tapeidx  $\Rightarrow$  tapeidx  $\Rightarrow$  command where
  cmd-plus j1 j2 rs  $\equiv$ 
    (if rs ! j1 =  $\square$   $\wedge$  rs ! j2 =  $\square$  then 1 else 0,
     map ( $\lambda$ j.
       if j = j1 then (rs ! j, Right)
       else if j = j2 then (tosym ((todigit (rs ! j1) + todigit (rs ! j2) + todigit (last rs)) mod 2), Right)
       else if j = length rs - 1 then (tosym ((todigit (rs ! j1) + todigit (rs ! j2) + todigit (last rs)) div 2), Stay)
       else (rs ! j, Stay)) [0..

```

```

lemma sem-cmd-plus:
  assumes j1  $\neq$  j2
    and j1 < k - 1
    and j2 < k - 1
    and j2 > 0
    and length tps = k
    and bit-symbols xs
    and bit-symbols ys
    and tps ! j1 = ( $\lfloor$ xs $\rfloor$ , Suc t)
    and tps ! j2 = ( $\lfloor$ map (sumdigit xs ys) [0..\rfloor, Suc t)
    and last tps =  $\lceil$ carry xs ys t $\rceil$ 
    and rs = read tps
    and tps' = tps
    [j1 := tps!j1 |+| 1,
     j2 := tps!j2 |:=| sumdigit xs ys t |+| 1,
     length tps - 1 :=  $\lceil$ carry xs ys (Suc t) $\rceil$ ]
  shows sem (cmd-plus j1 j2) (0, tps) = (if t < max (length xs) (length ys) then 0 else 1, tps')
proof
  have k  $\geq$  2
    using assms(3,4) by simp
  have rs1: rs ! j1 = digit xs t
    using assms(2,5,8,11) digit-def read-def contents-def by simp
  let ?zs = map (sumdigit xs ys) [0..

```

```

then have ?zs ! t = ys ! t
  by (simp add: nth-append)
then show ?thesis
  using assms(3,5,9,11) digit-def read-def contents-def by simp
next
case False
then have length ?zs = t
  by simp
then have [?zs] (Suc t) = □
  using False contents-def by simp
then show ?thesis
  using digit-def read-def contents-def False assms(3,5,9,11) by simp
qed
have rs3: last rs = carry xs ys t
  using ⟨k ≥ 2⟩ assms onesie-read onesie-def read-def read-length tapes-at-read'
  by (metis (no-types, lifting) diff-less last-conv-nth length-greater-0-conv less-one list.size(3) not-numeral-le-zero)
have *: tosym ((todigit (rs ! j1) + todigit (rs ! j2) + todigit (last rs)) mod 2) = sumdigit xs ys t
  using rs1 rs2 rs3 sumdigit-def by simp

have ¬ (digit xs t = 0 ∧ digit ys t = 0) if t < max (length xs) (length ys)
  using assms(6,7) digit-def that by auto
then have 4: ¬ (rs ! j1 = 0 ∧ rs ! j2 = 0) if t < max (length xs) (length ys)
  using rs1 rs2 that by simp
then have fst1: fst (sem (cmd-plus j1 j2) (0, tps)) = fst (0, tps') if t < max (length xs) (length ys)
  using that cmd-plus-def assms(11) by (smt (verit, ccfv-threshold) fst-conv prod.sel(2) sem)

have digit xs t = 0 ∧ digit ys t = 0 if t ≥ max (length xs) (length ys)
  using that digit-def by simp
then have 5: rs ! j1 = □ ∧ rs ! j2 = □ if t ≥ max (length xs) (length ys)
  using rs1 rs2 that by simp
then have fst (sem (cmd-plus j1 j2) (0, tps)) = fst (1, tps') if t ≥ max (length xs) (length ys)
  using that cmd-plus-def assms(11) by (smt (verit, ccfv-threshold) fst-conv prod.sel(2) sem)
then show fst (sem (cmd-plus j1 j2) (0, tps)) = fst (if t < max (length xs) (length ys) then 0 else 1, tps')
  using fst1 by (simp add: not-less)

show snd (sem (cmd-plus j1 j2) (0, tps)) = snd (if t < max (length xs) (length ys) then 0 else 1, tps')
proof (rule snd-semI)
  show proper-command k (cmd-plus j1 j2)
    using cmd-plus-def by simp
  show length tps = k
    using assms(5) .
  show length tps' = k
    using assms(5,12) by simp
  have len: length (read tps) = k
    by (simp add: assms read-length)
  show act (cmd-plus j1 j2 (read tps) [!] j) (tps ! j) = tps' ! j
    if j < k for j
  proof -
    have j: j < length tps
      using len that assms(5) by simp
    consider
      j = j1
    | j ≠ j1 ∧ j = j2
    | j ≠ j1 ∧ j ≠ j2 ∧ j = length rs - 1
    | j ≠ j1 ∧ j ≠ j2 ∧ j ≠ length rs - 1
    by auto
    then show ?thesis
  proof (cases)
    case 1
    then have cmd-plus j1 j2 (read tps) [!] j = (read tps ! j, Right)
      using that len cmd-plus-def by simp
    then have act (cmd-plus j1 j2 (read tps) [!] j) (tps ! j) = tps ! j |+| 1
      using act-Right[OF j] by simp
  end
  end
end

```

```

moreover have  $tps' ! j = tps ! j |+| 1$ 
  using  $assms(1,2,5,12)$  that 1 by simp
ultimately show  $?thesis$ 
  by simp
next
case 2
then have  $cmd-plus\ j1\ j2\ (read\ tps)\ [!]\ j =$ 
   $(tosym\ ((todigit\ (rs\ !\ j1)\ +\ todigit\ (rs\ !\ j2)\ +\ todigit\ (last\ rs))\ mod\ 2),\ Right)$ 
  using  $that\ len\ cmd-plus-def\ assms(11)$  by simp
then have  $cmd-plus\ j1\ j2\ (read\ tps)\ [!]\ j = (sumdigit\ xs\ ys\ t,\ Right)$ 
  using  $*$  by simp
moreover have  $tps' ! j2 = tps ! j2 |:=| sumdigit\ xs\ ys\ t |+| 1$ 
  using  $assms(3,5,12)$  by simp
ultimately show  $?thesis$ 
  using  $act-Right'\ 2$  by simp
next
case 3
then have  $cmd-plus\ j1\ j2\ (read\ tps)\ [!]\ j =$ 
   $(tosym\ ((todigit\ (rs\ !\ j1)\ +\ todigit\ (rs\ !\ j2)\ +\ todigit\ (last\ rs))\ div\ 2),\ Stay)$ 
  using  $that\ len\ cmd-plus-def\ assms(11)$  by simp
then have  $cmd-plus\ j1\ j2\ (read\ tps)\ [!]\ j = (carry\ xs\ ys\ (Suc\ t),\ Stay)$ 
  using  $rs1\ rs2\ rs3$  by simp
moreover have  $tps' ! (length\ tps - 1) = \lceil carry\ xs\ ys\ (Suc\ t) \rceil$ 
  using  $3\ assms(5,11,12)\ len\ that$  by simp
ultimately show  $?thesis$ 
  using  $3\ act-onesie\ assms(3,5,10,11)\ len$ 
  by  $(metis\ add-diff-inverse-nat\ last-length\ less-nat-zero-code\ nat-diff-split-asm\ plus-1-eq-Suc)$ 
next
case 4
then have  $cmd-plus\ j1\ j2\ (read\ tps)\ [!]\ j = (read\ tps\ !\ j,\ Stay)$ 
  using  $that\ len\ cmd-plus-def\ assms(11)$  by simp
then have  $act\ (cmd-plus\ j1\ j2\ (read\ tps)\ [!]\ j)\ (tps\ !\ j) = tps\ !\ j$ 
  using  $act-Stay[OF\ j]$  by simp
moreover have  $tps' ! j = tps ! j$ 
  using  $that\ 4\ len\ assms(5,11,12)$  by simp
ultimately show  $?thesis$ 
  by simp
qed
qed
qed
qed

```

**lemma** *contents-map-append-drop*:

$\lfloor map\ f\ [0..<t] \rfloor @\ drop\ t\ zs \ (Suc\ t := f\ t) = \lfloor map\ f\ [0..<Suc\ t] \rfloor @\ drop\ (Suc\ t)\ zs$

**proof**  $(cases\ t < length\ zs)$

**case**  $lt: True$

**then have**  $t-lt: t < length\ (map\ f\ [0..<t] @\ drop\ t\ zs)$

**by simp**

**show**  $?thesis$

**proof**

**fix**  $x$

**consider**

$x = 0$

$| x > 0 \wedge x < Suc\ t$

$| x = Suc\ t$

$| x > Suc\ t \wedge x \leq length\ zs$

$| x > Suc\ t \wedge x > length\ zs$

**by** *linarith*

**then show**  $(\lfloor map\ f\ [0..<t] \rfloor @\ drop\ t\ zs) \ (Suc\ t := f\ t)\ x =$

$\lfloor map\ f\ [0..<Suc\ t] \rfloor @\ drop\ (Suc\ t)\ zs\ x$

**(is**  $?lhs\ x = ?rhs\ x)$

**proof**  $(cases)$

**case**  $1$

```

then show ?thesis
  using contents-def by simp
next
  case 2
  then have ?lhs  $x = (\text{map } f [0..<t] \text{ @ } \text{drop } t \text{ } zs) ! (x - 1)$ 
    using contents-def by simp
  moreover have  $x - 1 < t$ 
    using 2 by auto
  ultimately have left: ?lhs  $x = f (x - 1)$ 
    by (metis add.left-neutral diff-zero length-map length-upt nth-append nth-map-upt)
  have ?rhs  $x = (\text{map } f [0..<\text{Suc } t] \text{ @ } \text{drop } (\text{Suc } t) \text{ } zs) ! (x - 1)$ 
    using 2 contents-def by simp
  moreover have  $x - 1 < \text{Suc } t$ 
    using 2 by auto
  ultimately have ?rhs  $x = f (x - 1)$ 
    by (metis diff-add-inverse diff-zero length-map length-upt nth-append nth-map-upt)
  then show ?thesis
    using left by simp
next
  case 3
  then show ?thesis
    using contents-def lt
      by (smt (verit, ccfv-threshold) One-nat-def Suc-leI add-Suc append-take-drop-id diff-Suc-1 diff-zero
        fun-upd-same
        length-append length-map length-take length-upt lessI min-absorb2 nat.simps(3) nth-append nth-map-upt
        plus-1-eq-Suc)
next
  case 4
  then have ?lhs  $x = \lfloor \text{map } f [0..<t] \text{ @ } \text{drop } t \text{ } zs \rfloor x$ 
    using contents-def by simp
  then have ?lhs  $x = (\text{map } f [0..<t] \text{ @ } \text{drop } t \text{ } zs) ! (x - 1)$ 
    using 4 contents-def by simp
  then have left: ?lhs  $x = \text{drop } t \text{ } zs ! (x - 1 - t)$ 
    using 4
      by (metis Suc-lessE diff-Suc-1 length-map length-upt less-Suc-eq-le less-or-eq-imp-le minus-nat.diff-0
        not-less-eq nth-append)
  have  $x \leq \text{length } (\text{map } f [0..<\text{Suc } t] \text{ @ } \text{drop } (\text{Suc } t) \text{ } zs)$ 
    using 4 lt by auto
  moreover have  $x > 0$ 
    using 4 by simp
  ultimately have ?rhs  $x = (\text{map } f [0..<\text{Suc } t] \text{ @ } \text{drop } (\text{Suc } t) \text{ } zs) ! (x - 1)$ 
    using 4 contents-inbounds by simp
  moreover have  $x - 1 \geq \text{Suc } t$ 
    using 4 by auto
  ultimately have ?rhs  $x = \text{drop } (\text{Suc } t) \text{ } zs ! (x - 1 - \text{Suc } t)$ 
    by (metis diff-zero leD length-map length-upt nth-append)
  then show ?thesis
    using left 4 by (metis Cons-nth-drop-Suc Suc-diff-Suc diff-Suc-eq-diff-pred lt nth-Cons-Suc)
next
  case 5
  then show ?thesis
    using lt contents-def by auto
qed
qed
next
  case False
  moreover have  $\lfloor \text{map } f [0..<t] \rfloor (\text{Suc } t := f t) = \lfloor \text{map } f [0..<\text{Suc } t] \rfloor$ 
proof
  fix x
  show  $(\lfloor \text{map } f [0..<t] \rfloor (\text{Suc } t := f t)) x = \lfloor \text{map } f [0..<\text{Suc } t] \rfloor x$ 
proof (cases  $x < \text{Suc } t$ )
  case True
  then show ?thesis

```

```

using contents-def
by (smt (verit, del-insts) diff-Suc-1 diff-zero fun-upd-apply length-map length-upt less-Suc-eq-0-disj
less-Suc-eq-le less-imp-le-nat nat-neq-iff nth-map-upt)
next
case ge: False
show ?thesis
proof (cases x = Suc t)
case True
then show ?thesis
using contents-def
by (metis One-nat-def add-Suc diff-Suc-1 diff-zero fun-upd-same ge le-eq-less-or-eq length-map
length-upt lessI less-Suc-eq-0-disj nth-map-upt plus-1-eq-Suc)
next
case False
then have x > Suc t
using ge by simp
then show ?thesis
using contents-def by simp
qed
qed
qed
ultimately show ?thesis
by simp
qed

```

**corollary** *sem-cmd-plus'*:

```

assumes j1 ≠ j2
and j1 < k - 1
and j2 < k - 1
and j2 > 0
and length tps = k
and bit-symbols xs
and bit-symbols ys
and tps ! j1 = ([xs], Suc t)
and tps ! j2 = ([map (sumdigit xs ys) [0..and last tps = [carry xs ys t]
and tps' = tps
  j1 := ([xs], Suc (Suc t)),
  j2 := ([map (sumdigit xs ys) [0..shows sem (cmd-plus j1 j2) (0, tps) = (if Suc t ≤ max (length xs) (length ys) then 0 else 1, tps')
proof -
have tps ! j1 |+| 1 = ([xs], Suc (Suc t))
using assms(8) by simp
moreover have tps ! j2 |:=| sumdigit xs ys t |+| 1 =
  ([map (sumdigit xs ys) [0..using contents-map-append-drop assms(9) by simp
ultimately show ?thesis
using sem-cmd-plus[OF assms(1-10)] assms(11) by auto
qed

```

The next Turing machine comprises just the command *cmd-plus*. It overwrites tape  $j_2$  with the sum of the numbers on tape  $j_1$  and  $j_2$ . The carry bit is maintained on the last tape.

**definition** *tm-plus* :: *tapeidx* ⇒ *tapeidx* ⇒ *machine* **where**  
*tm-plus* j1 j2 ≡ [cmd-plus j1 j2]

**lemma** *tm-plus-tm*:

```

assumes j2 > 0 and k ≥ 2 and G ≥ 4
shows turing-machine k G (tm-plus j1 j2)
unfolding tm-plus-def using assms(1-3) cmd-plus-def turing-machine-def by auto

```

**lemma** *tm-plus-immobile*:

```

fixes k :: nat

```

```

assumes  $j1 < k$  and  $j2 < k$ 
shows immobile (tm-plus  $j1$   $j2$ )  $k$  (Suc  $k$ )
proof -
let ?M = tm-plus  $j1$   $j2$ 
{ fix  $q :: nat$  and  $rs :: symbol\ list$ 
  assume  $q: q < length\ ?M$ 
  assume  $rs: length\ rs = Suc\ k$ 
  then have  $len: length\ rs - 1 = k$ 
    by simp
  have  $neq: k \neq j1\ k \neq j2$ 
    using assms by simp-all
  have ?M !  $q = cmd-plus\ j1\ j2$ 
    using tm-plus-def  $q$  by simp
  moreover have (cmd-plus  $j1\ j2$ )  $rs$  [!]  $k =$ 
    (tosym ((todigit (rs !  $j1$ ) + todigit (rs !  $j2$ ) + todigit (last rs)) div 2), Stay)
    using cmd-plus-def  $rs$   $len$   $neq$  by fastforce
  ultimately have (cmd-plus  $j1\ j2$ )  $rs$  [~]  $k = Stay$ 
    by simp
}
then show ?thesis
  by (simp add: immobile-def tm-plus-def)
qed

```

**lemma** *execute-tm-plus*:

```

assumes  $j1 \neq j2$ 
  and  $j1 < k - 1$ 
  and  $j2 < k - 1$ 
  and  $j2 > 0$ 
  and  $length\ tps = k$ 
  and bit-symbols  $xs$ 
  and bit-symbols  $ys$ 
  and  $t \leq Suc\ (max\ (length\ xs)\ (length\ ys))$ 
  and  $tps ! j1 = (\lfloor xs \rfloor, 1)$ 
  and  $tps ! j2 = (\lfloor ys \rfloor, 1)$ 
  and  $last\ tps = \lceil \triangleright \rceil$ 
shows execute (tm-plus  $j1\ j2$ ) (0, tps)  $t =$ 
  (if  $t \leq max\ (length\ xs)\ (length\ ys)$  then 0 else 1, tps
  [j1 := ( $\lfloor xs \rfloor$ , Suc  $t$ ),
  j2 := ( $\lfloor map\ (sumdigit\ xs\ ys)\ [0..<t] @ drop\ t\ ys \rfloor$ , Suc  $t$ ),
  length tps - 1 :=  $\lceil carry\ xs\ ys\ t \rceil$ ])
using assms(8)
proof (induction  $t$ )
case 0
have  $carry\ xs\ ys\ 0 = 1$ 
  by simp
moreover have  $map\ (sumdigit\ xs\ ys)\ [0..<0] @ drop\ 0\ ys = ys$ 
  by simp
ultimately have  $tps = tps$ 
  [j1 := ( $\lfloor xs \rfloor$ , Suc 0),
  j2 := ( $\lfloor map\ (sumdigit\ xs\ ys)\ [0..<0] @ drop\ 0\ ys \rfloor$ , Suc 0),
  length tps - 1 :=  $\lceil carry\ xs\ ys\ 0 \rceil$ ]
using assms
by (metis One-nat-def add-diff-inverse-nat last-length less-nat-zero-code
  list-update-id nat-diff-split-asm plus-1-eq-Suc)
then show ?case
  by simp
next
case (Suc  $t$ )
let ?M = tm-plus  $j1\ j2$ 
have execute ?M (0, tps) (Suc  $t$ ) = exe ?M (execute ?M (0, tps)  $t$ )
  (is - = exe ?M ?cfg)
  by simp
also have ... = sem (cmd-plus  $j1\ j2$ ) ?cfg

```



**using** *Suc tm-plus-def exe-lt-length* **by** *simp*  
**also have** ... = (if *Suc t* ≤ max (length *xs*) (length *ys*) then 0 else 1, *tps*  
    [*j1* := (⌊*xs*⌋, *Suc (Suc t)*),  
    *j2* := (⌊map (sumdigit *xs ys*) [0..*Suc t*] @ drop (*Suc t*) *ys*⌋, *Suc (Suc t)*),  
    length *tps* - 1 := ⌈carry *xs ys (Suc t)*⌉])  
**proof** -  
    **let** *?tps* = *tps*  
        [*j1* := (⌊*xs*⌋, *Suc t*),  
        *j2* := (⌊map (sumdigit *xs ys*) [0..*t*] @ drop *t ys*⌋, *Suc t*),  
        length *tps* - 1 := ⌈carry *xs ys t*⌉]  
    **let** *?tps'* = *?tps*  
        [*j1* := (⌊*xs*⌋, *Suc (Suc t)*),  
        *j2* := (⌊map (sumdigit *xs ys*) [0..*Suc t*] @ drop (*Suc t*) *ys*⌋, *Suc (Suc t)*),  
        length *tps* - 1 := ⌈carry *xs ys (Suc t)*⌉]  
    **have** *cfg*: *?cfg* = (0, *?tps*)  
    **using** *Suc* **by** *simp*  
    **have** *tps-k*: length *?tps* = *k*  
    **using** *assms(2,3,5)* **by** *simp*  
    **have** *tps-j1*: *?tps ! j1* = (⌊*xs*⌋, *Suc t*)  
    **using** *assms(1-3,5)* **by** *simp*  
    **have** *tps-j2*: *?tps ! j2* = (⌊map (sumdigit *xs ys*) [0..*t*] @ drop *t ys*⌋, *Suc t*)  
    **using** *assms(1-3,5)* **by** *simp*  
    **have** *tps-last*: last *?tps* = ⌈carry *xs ys t*⌉  
    **using** *assms*  
    **by** (*metis One-nat-def carry.simps(1) diff-Suc-1 last-list-update length-list-update list-update-nonempty prod.sel(2) tps-j1*)  
    **then have** *sem (cmd-plus j1 j2) (0, ?tps)* = (if *Suc t* ≤ max (length *xs*) (length *ys*) then 0 else 1, *?tps'*)  
    **using** *sem-cmd-plus* [OF *assms(1-4) tps-k assms(6,7) tps-j1 tps-j2 tps-last*] *assms(1-3)*  
    **by** (*smt (verit, best) Suc.premS Suc-lessD assms(5) tps-k*)  
    **then have** *sem (cmd-plus j1 j2) ?cfg* = (if *Suc t* ≤ max (length *xs*) (length *ys*) then 0 else 1, *?tps'*)  
    **using** *cfg* **by** *simp*  
    **moreover have** *?tps'* = *tps*  
        [*j1* := (⌊*xs*⌋, *Suc (Suc t)*),  
        *j2* := (⌊map (sumdigit *xs ys*) [0..*Suc t*] @ drop (*Suc t*) *ys*⌋, *Suc (Suc t)*),  
        length *tps* - 1 := ⌈carry *xs ys (Suc t)*⌉]  
    **using** *assms* **by** (*smt (verit) list-update-overwrite list-update-swap*)  
    **ultimately show** *?thesis*  
    **by** *simp*  
**qed**  
**finally show** *?case*  
    **by** *simp*  
**qed**

**lemma** *tm-plus-bounded-write*:  
    **assumes** *j1* < *k* - 1  
    **shows** *bounded-write (tm-plus j1 j2) (k - 1) 4*  
    **using** *assms cmd-plus-def tm-plus-def bounded-write-def* **by** *simp*

**lemma** *carry-max-length*:  
    **assumes** *bit-symbols xs* **and** *bit-symbols ys*  
    **shows** *carry xs ys (Suc (max (length xs) (length ys))) = 0*  
**proof** -  
    **let** *?t* = max (length *xs*) (length *ys*)  
    **have** *carry xs ys (Suc ?t)* = *tosym ((todigit (digit *xs* ?t) + todigit (digit *ys* ?t) + todigit (carry *xs ys* ?t)) div 2)*  
    **by** *simp*  
    **then have** *carry xs ys (Suc ?t)* = *tosym (todigit (carry *xs ys* ?t) div 2)*  
    **using** *digit-def* **by** *simp*  
    **moreover have** *carry xs ys ?t* ≤ 1  
    **using** *carry-le assms* **by** *fastforce*  
    **ultimately show** *?thesis*  
    **by** *simp*  
**qed**

**corollary** *execute-tm-plus-halt*:

```

assumes  $j1 \neq j2$ 
  and  $j1 < k - 1$ 
  and  $j2 < k - 1$ 
  and  $j2 > 0$ 
  and  $\text{length } tps = k$ 
  and bit-symbols  $xs$ 
  and bit-symbols  $ys$ 
  and  $t = \text{Suc } (\text{max } (\text{length } xs) (\text{length } ys))$ 
  and  $tps ! j1 = (\lfloor xs \rfloor, 1)$ 
  and  $tps ! j2 = (\lfloor ys \rfloor, 1)$ 
  and  $\text{last } tps = \lceil \triangleright \rceil$ 
shows  $\text{execute } (tm\text{-plus } j1\ j2) (0, tps) t =$ 
  ( $1, tps$ 
    [ $j1 := (\lfloor xs \rfloor, \text{Suc } t)$ ,
     [ $j2 := (\lfloor \text{map } (\text{sumdigit } xs\ ys) [0..<t] \rfloor, \text{Suc } t)$ ,
     [ $\text{length } tps - 1 := \lceil \mathbf{0} \rceil$ ]])

```

**proof** –

```

have  $\text{execute } (tm\text{-plus } j1\ j2) (0, tps) t =$ 
  ( $1, tps$ 
    [ $j1 := (\lfloor xs \rfloor, \text{Suc } t)$ ,
     [ $j2 := (\lfloor \text{map } (\text{sumdigit } xs\ ys) [0..<t] @ \text{drop } t\ ys \rfloor, \text{Suc } t)$ ,
     [ $\text{length } tps - 1 := \lceil \text{carry } xs\ ys\ t \rceil$ ]])
  using  $\text{assms}(8)$  execute-tm-plus[ $OF$   $\text{assms}(1-7) - \text{assms}(9-11)$ ] Suc-leI Suc-n-not-le-n lessI
  by presburger
then have  $\text{execute } (tm\text{-plus } j1\ j2) (0, tps) t =$ 
  ( $1, tps$ 
    [ $j1 := (\lfloor xs \rfloor, \text{Suc } t)$ ,
     [ $j2 := (\lfloor \text{map } (\text{sumdigit } xs\ ys) [0..<t] \rfloor, \text{Suc } t)$ ,
     [ $\text{length } tps - 1 := \lceil \text{carry } xs\ ys\ t \rceil$ ]])
  using  $\text{assms}(8)$  by simp
then show  $\text{execute } (tm\text{-plus } j1\ j2) (0, tps) t =$ 
  ( $1, tps$ 
    [ $j1 := (\lfloor xs \rfloor, \text{Suc } t)$ ,
     [ $j2 := (\lfloor \text{map } (\text{sumdigit } xs\ ys) [0..<t] \rfloor, \text{Suc } t)$ ,
     [ $\text{length } tps - 1 := \lceil \mathbf{0} \rceil$ ]])
  using  $\text{assms}(8)$  carry-max-length[ $OF$   $\text{assms}(6,7)$ ] by metis

```

**qed**

**lemma** *transforms-tm-plusI*:

```

assumes  $j1 \neq j2$ 
  and  $j1 < k - 1$ 
  and  $j2 < k - 1$ 
  and  $j2 > 0$ 
  and  $\text{length } tps = k$ 
  and bit-symbols  $xs$ 
  and bit-symbols  $ys$ 
  and  $t = \text{Suc } (\text{max } (\text{length } xs) (\text{length } ys))$ 
  and  $tps ! j1 = (\lfloor xs \rfloor, 1)$ 
  and  $tps ! j2 = (\lfloor ys \rfloor, 1)$ 
  and  $\text{last } tps = \lceil \triangleright \rceil$ 
  and  $tps' = tps$ 
  [ $j1 := (\lfloor xs \rfloor, \text{Suc } t)$ ,
   [ $j2 := (\lfloor \text{map } (\text{sumdigit } xs\ ys) [0..<t] \rfloor, \text{Suc } t)$ ,
   [ $\text{length } tps - 1 := \lceil \mathbf{0} \rceil$ ]]
shows  $\text{transforms } (tm\text{-plus } j1\ j2) tps\ t\ tps'$ 
using  $\text{assms}$  execute-tm-plus-halt[ $OF$   $\text{assms}(1-11)$ ] tm-plus-def transforms-def transits-def
by auto

```

The next Turing machine removes the memorization tape from *tm-plus*.

**definition**  $tm\text{-plus}' :: \text{tapeidx} \Rightarrow \text{tapeidx} \Rightarrow \text{machine}$  **where**  
 $tm\text{-plus}'\ j1\ j2 \equiv \text{cartesian } (tm\text{-plus } j1\ j2)\ 4$

**lemma** *tm-plus'-tm*:

**assumes**  $j2 > 0$  **and**  $k \geq 2$  **and**  $G \geq 4$   
**shows** *turing-machine*  $k$   $G$  (*tm-plus'*  $j1$   $j2$ )  
**unfolding** *tm-plus'-def* **using** *assms cartesian-tm tm-plus-tm* **by** *simp*

**lemma** *transforms-tm-plus'I* [*transforms-intros*]:

**fixes**  $k$   $t :: \text{nat}$  **and**  $j1$   $j2 :: \text{tapeidx}$  **and**  $tps$   $tps' :: \text{tape list}$  **and**  $xs$   $zs :: \text{symbol list}$   
**assumes**  $j1 \neq j2$   
**and**  $j1 < k$   
**and**  $j2 < k$   
**and**  $j2 > 0$   
**and**  $\text{length } tps = k$   
**and** *bit-symbols*  $xs$   
**and** *bit-symbols*  $ys$   
**and**  $t = \text{Suc } (\text{max } (\text{length } xs) (\text{length } ys))$   
**and**  $tps ! j1 = (\lfloor xs \rfloor, 1)$   
**and**  $tps ! j2 = (\lfloor ys \rfloor, 1)$   
**and**  $tps' = tps$   
 $[j1 := (\lfloor xs \rfloor, \text{Suc } t),$   
 $j2 := (\lfloor \text{map } (\text{sumdigit } xs \text{ } ys) [0..<t] \rfloor, \text{Suc } t)]$   
**shows** *transforms* (*tm-plus'*  $j1$   $j2$ )  $tps$   $t$   $tps'$

**proof** –

**let**  $?tps = tps @ [\triangleright]$   
**let**  $?tps' = ?tps$   
 $[j1 := (\lfloor xs \rfloor, \text{Suc } t),$   
 $j2 := (\lfloor \text{map } (\text{sumdigit } xs \text{ } ys) [0..<t] \rfloor, \text{Suc } t),$   
 $\text{length } ?tps - 1 := \lceil 0 \rceil]$   
**let**  $?M = \text{tm-plus } j1 \ j2$   
  
**have** 1:  $\text{length } ?tps = \text{Suc } k$   
**using** *assms(5)* **by** *simp*  
**have** 2:  $?tps ! j1 = (\lfloor xs \rfloor, 1)$   
**by** (*simp add: assms(9) assms(2) assms(5) nth-append*)  
**have** 3:  $?tps ! j2 = (\lfloor ys \rfloor, 1)$   
**by** (*simp add: assms(10) assms(3) assms(5) nth-append*)  
**have** 4:  $\text{last } ?tps = \triangleright$   
**by** *simp*  
**have** 5:  $k \geq 2$   
**using** *assms(3,4)* **by** *simp*  
**have** *transforms* (*tm-plus*  $j1$   $j2$ )  $?tps$   $t$   $?tps'$   
**using** *transforms-tm-plusI*[*OF assms(1) - - assms(4) 1 assms(6,7,8) 2 3 4, of ?tps'*] *assms(2,3)*  
**by** *simp*  
**moreover** **have**  $?tps' = tps' @ [\lceil 0 \rceil]$   
**using** *assms* **by** (*simp add: list-update-append*)  
**ultimately** **have** *transforms* (*tm-plus*  $j1$   $j2$ ) ( $tps @ [\triangleright]$ )  $t$  ( $tps' @ [\lceil 0 \rceil]$ )  
**by** *simp*  
**moreover** **have** *turing-machine* ( $\text{Suc } k$ ) 4  $?M$   
**using** *tm-plus-tm assms* **by** *simp*  
**moreover** **have** *immobile*  $?M$   $k$  ( $\text{Suc } k$ )  
**using** *tm-plus-immobile assms* **by** *simp*  
**moreover** **have** *bounded-write* (*tm-plus*  $j1$   $j2$ )  $k$  4  
**using** *tm-plus-bounded-write*[*of j1 Suc k*] *assms(2)* **by** *simp*  
**ultimately** **have** *transforms* (*cartesian* (*tm-plus*  $j1$   $j2$ ) 4)  $tps$   $t$   $tps'$   
**using** *cartesian-transforms-onesie*[**where**  $?M=?M$  **and**  $?b=4$ ] *assms(5)* 5  
**by** *simp*  
**then show** *thesis*  
**using** *tm-plus'-def* **by** *simp*  
**qed**

The next Turing machine is the one we actually use to add two numbers. After computing the sum by running *tm-plus'*, it removes trailing zeros and performs a carriage return on the tapes  $j_1$  and  $j_2$ .

**definition** *tm-add* :: *tapeidx*  $\Rightarrow$  *tapeidx*  $\Rightarrow$  *machine* **where**

```

tm-add j1 j2 ≡
  tm-plus' j1 j2 ;;
  tm-lconst-until j2 j2 {h. h ≠ 0 ∧ h ≠ □} □ ;;
  tm-cr j1 ;;
  tm-cr j2

```

**lemma** *tm-add-tm*:

```

assumes j2 > 0 and k ≥ 2 and G ≥ 4 and j2 < k
shows turing-machine k G (tm-add j1 j2)
unfolding tm-add-def using tm-plus'-tm tm-lconst-until-tm tm-cr-tm assms by simp

```

**locale** *turing-machine-add* =

```

fixes j1 j2 :: tapeidx

```

**begin**

**definition** *tm1* ≡ *tm-plus' j1 j2*

**definition** *tm2* ≡ *tm1* ;; *tm-lconst-until j2 j2 {h. h ≠ 0 ∧ h ≠ □} □*

**definition** *tm3* ≡ *tm2* ;; *tm-cr j1*

**definition** *tm4* ≡ *tm3* ;; *tm-cr j2*

**lemma** *tm4-eq-tm-add*: *tm4 = tm-add j1 j2*

```

using tm4-def tm3-def tm2-def tm1-def tm-add-def by simp

```

**context**

```

fixes x y k :: nat and tps0 :: tape list

```

```

assumes jk: j1 ≠ j2 j1 < k j2 < k j2 > 0 k = length tps0

```

```

assumes tps0:

```

```

  tps0 ! j1 = (⌊canrepr x⌋, 1)

```

```

  tps0 ! j2 = (⌊canrepr y⌋, 1)

```

**begin**

**abbreviation** *xs* ≡ *canrepr x*

**abbreviation** *ys* ≡ *canrepr y*

**lemma** *xs: bit-symbols xs*

```

using bit-symbols-canrepr by simp

```

**lemma** *ys: bit-symbols ys*

```

using bit-symbols-canrepr by simp

```

**abbreviation** *n* ≡ *Suc (max (length xs) (length ys))*

**abbreviation** *m* ≡ *length (canrepr (num xs + num ys))*

**definition** *tps1* ≡ *tps0*

```

[j1 := (⌊xs⌋, Suc n),

```

```

j2 := (⌊map (sumdigit xs ys) [0..n], Suc n)]

```

**lemma** *tm1 [transforms-intros]*:

```

assumes ttt = n

```

```

shows transforms tm1 tps0 ttt tps1

```

```

unfolding tm1-def

```

**proof** (*tform tps: jk xs ys tps0 time: assms*)

```

show tps1 = tps0

```

```

[j1 := (⌊xs⌋, Suc ttt),

```

```

j2 := (⌊map (sumdigit xs ys) [0..ttt], Suc ttt)]

```

```

using tps1-def assms by simp

```

**qed**

**definition** *tps2* ≡ *tps0*

```

[j1 := (⌊xs⌋, Suc n),

```

```

j2 := (⌊canrepr (num xs + num ys)⌋, m)]

```

**lemma contents-canlen:**  
**assumes** *bit-symbols zs*  
**shows**  $\lfloor zs \rfloor \in \{h. h \neq \mathbf{0} \wedge \square < h\}$   
**using** *assms contents-def canlen-le-length canlen-one* **by auto**

**lemma tm2 [transforms-intros]:**  
**assumes**  $ttn = n + \text{Suc} (\text{Suc } n - \text{canlen} (\text{map} (\text{sumdigit } xs \text{ } ys) [0..<n]))$   
**shows** *transforms tm2 tps0 ttn tps2*  
**unfolding** *tm2-def*  
**proof** (*tform tps: tps1-def jk xs ys tps0*)  
**let**  $?zs = \text{map} (\text{sumdigit } xs \text{ } ys) [0..<n]$   
**have** *bit-symbols ?zs*  
**using** *sumdigit-bit-symbols* **by blast**  
**let**  $?ln = \text{Suc } n - \text{canlen } ?zs$   
**have**  $\text{lneigh} (\lfloor ?zs \rfloor, \text{Suc } n) \{h. h \neq \mathbf{0} \wedge \square < h\} ?ln$   
**proof** (*rule lneighI*)  
**have**  $\lfloor ?zs \rfloor \in \{h. h \neq \mathbf{0} \wedge \square < h\}$   
**using** *contents-canlen[OF <bit-symbols ?zs>]* **by simp**  
**moreover** **have**  $\text{Suc } n - ?ln = \text{canlen } ?zs$   
**by** (*metis One-nat-def diff-Suc-1 diff-Suc-Suc diff-diff-cancel le-imp-less-Suc length-map length-upt less-imp-le-nat canlen-le-length*)  
**ultimately** **have**  $\lfloor ?zs \rfloor \in \{h. h \neq \mathbf{0} \wedge \square < h\}$   
**by simp**  
**then show**  $\text{fst} (\lfloor ?zs \rfloor, \text{Suc } n) (\text{snd} (\lfloor ?zs \rfloor, \text{Suc } n) - ?ln) \in \{h. h \neq \mathbf{0} \wedge \square < h\}$   
**by simp**

**have**  $\lfloor ?zs \rfloor (\text{Suc } n - n') \in \{\square, \mathbf{0}\}$  **if**  $n' < ?ln$  **for**  $n'$   
**proof** (*cases Suc n - n' ≤ n*)  
**case True**  
**moreover** **have**  $1: \text{Suc } n - n' > 0$   
**using** *that* **by simp**  
**ultimately** **have**  $\lfloor ?zs \rfloor (\text{Suc } n - n') = ?zs ! (\text{Suc } n - n' - 1)$   
**using** *contents-def* **by simp**  
**moreover** **have**  $\text{Suc } n - n' - 1 < \text{length } ?zs$   
**using** *that True* **by simp**  
**moreover** **have**  $\text{Suc } n - n' - 1 \geq \text{canlen } ?zs$   
**using** *that* **by simp**  
**ultimately** **show** *?thesis*  
**using** *canlen-at-ge[of ?zs]* **by simp**  
**next**  
**case False**  
**then show** *?thesis*  
**by simp**  
**qed**  
**then** **have**  $\lfloor ?zs \rfloor (\text{Suc } n - n') \notin \{h. h \neq \mathbf{0} \wedge \square < h\}$  **if**  $n' < ?ln$  **for**  $n'$   
**using** *that* **by fastforce**  
**then show**  $\text{fst} (\lfloor ?zs \rfloor, \text{Suc } n) (\text{snd} (\lfloor ?zs \rfloor, \text{Suc } n) - n') \notin \{h. h \neq \mathbf{0} \wedge \square < h\}$   
**if**  $n' < ?ln$  **for**  $n'$   
**using** *that* **by simp**  
**qed**  
**then show**  $\text{lneigh} (tps1 ! j2) \{h. h \neq \mathbf{0} \wedge h \neq \square\} ?ln$   
**using** *assms tps1-def jk* **by simp**  
**show**  $\text{Suc } n - \text{canlen} (\text{map} (\text{sumdigit } xs \text{ } ys) [0..<n]) \leq tps1 \text{ :}\#\text{: } j2$   
 $\text{Suc } n - \text{canlen} (\text{map} (\text{sumdigit } xs \text{ } ys) [0..<n]) \leq tps1 \text{ :}\#\text{: } j2$   
**using** *assms tps1-def jk* **by simp-all**

**have**  $\text{num-zs: num } ?zs = \text{num } xs + \text{num } ys$   
**using** *assms num-sumdigit-eq-sum'' xs ys* **by simp**  
**then** **have**  $\text{canrepr: canrepr} (\text{num } xs + \text{num } ys) = \text{take} (\text{canlen } ?zs) ?zs$   
**using** *canrepr-take-canlen <bit-symbols ?zs>* **by blast**  
**have**  $\text{len-canrepr: length} (\text{canrepr} (\text{num } xs + \text{num } ys)) = \text{canlen } ?zs$   
**using** *num-zs length-canrepr-canlen sumdigit-bit-symbols* **by blast**

```

have lconstplant ([?zs], Suc n) □ ?ln =
  ([canrepr (num xs + num ys)], m)
  (is lconstplant ?tp □ ?ln = -)
proof -
have (if Suc n - ?ln < i ∧ i ≤ Suc n then □ else [?zs] i) =
  [take (canlen ?zs) ?zs] i
  (is ?lhs = ?rhs)
for i
proof -
consider
  i = 0
  | i > 0 ∧ i ≤ canlen ?zs
  | i > canlen ?zs ∧ i ≤ Suc n
  | i > canlen ?zs ∧ i > Suc n
by linarith
then show ?thesis
proof (cases)
case 1
then show ?thesis
  by simp
next
case 2
then have i ≤ Suc n - ?ln
  using canlen-le-length
  by (metis diff-diff-cancel diff-zero le-imp-less-Suc length-map length-upt less-imp-le-nat)
then have lhs: ?lhs = [?zs] i
  by simp
have take (canlen ?zs) ?zs ! (i - 1) = ?zs ! (i - 1)
  using 2 by (metis Suc-diff-1 Suc-less-eq le-imp-less-Suc nth-take)
then have ?rhs = [?zs] i
  using 2 contents-inbounds len-canrepr local.canrepr not-le canlen-le-length
  by (metis add-diff-inverse-nat add-leE)
then show ?thesis
  using lhs by simp
next
case 3
then have Suc n - ?ln < i ∧ i ≤ Suc n
  by (metis diff-diff-cancel less-imp-le-nat less-le-trans)
then have ?lhs = 0
  by simp
moreover have ?rhs = 0
  using 3 contents-outofbounds len-canrepr canrepr by metis
ultimately show ?thesis
  by simp
next
case 4
then have ?lhs = 0
  by simp
moreover have ?rhs = 0
  using 4 contents-outofbounds len-canrepr canrepr by metis
ultimately show ?thesis
  by simp
qed
qed
then have (λi. if Suc n - ?ln < i ∧ i ≤ Suc n then □ else [?zs] i) =
  [canrepr (num xs + num ys)]
  using canrepr by simp
moreover have fst ?tp = [?zs]
  by simp
ultimately have (λi. if Suc n - ?ln < i ∧ i ≤ Suc n then 0 else fst ?tp i) =
  [canrepr (num xs + num ys)] by metis
moreover have Suc n - ?ln = m

```

```

using len-canrepr
by (metis add-diff-inverse-nat diff-add-inverse2 diff-is-0-eq diff-zero le-imp-less-Suc length-map
length-upt less-imp-le-nat less-numeral-extra(3) canlen-le-length zero-less-diff)
ultimately show ?thesis
using lconstplant[of ?tp 0 ?ln] by simp
qed
then show tps2 = tps1
[j2 := tps1 ! j2 |-] ?ln,
j2 := lconstplant (tps1 ! j2) 0 ?ln]
using tps2-def tps1-def jk by simp

show ttt = n + Suc ?ln
using assms by simp
qed

```

```

definition tps3 ≡ tps0
[j1 := (xs, 1),
j2 := (canrepr (num xs + num ys), m)]

```

```

lemma tm3 [transforms-intros]:
assumes ttt = n + Suc (Suc n - canlen (map (sumdigit xs ys) [0..<n])) + Suc n + 2
shows transforms tm3 tps0 ttt tps3
unfolding tm3-def
proof (tform tps: tps2-def jk xs ys tps0 time: assms tps2-def jk)
show clean-tape (tps2 ! j1)
using tps2-def jk xs
by (metis clean-tape-ncontents nth-list-update-eq nth-list-update-neq)
show tps3 = tps2[j1 := tps2 ! j1 |#|= 1]
using tps3-def tps2-def jk by (simp add: list-update-swap)
qed

```

```

definition tps4 ≡ tps0
[j1 := (xs, 1),
j2 := (canrepr (num xs + num ys), 1)]

```

```

lemma tm4:
assumes ttt = n + Suc (Suc n - canlen (map (sumdigit xs ys) [0..<n])) + Suc n + 2 + m + 2
shows transforms tm4 tps0 ttt tps4
unfolding tm4-def
proof (tform tps: tps3-def jk xs ys tps0 time: assms tps3-def jk)
show clean-tape (tps3 ! j2)
using tps3-def tps2-def jk tps0(1) by (metis clean-tape-ncontents list-update-id nth-list-update-eq)
show tps4 = tps3[j2 := tps3 ! j2 |#|= 1]
using tps4-def tps3-def jk by simp
qed

```

```

lemma tm4':
assumes ttt = 3 * max (length xs) (length ys) + 10
shows transforms tm4 tps0 ttt tps4
proof -
let ?zs = map (sumdigit xs ys) [0..<n]
have num ?zs = num xs + num ys
using num-sumdigit-eq-sum'' xs ys by simp
then have 1: length (canrepr (num xs + num ys)) = canlen ?zs
using length-canrepr-canlen sumdigit-bit-symbols by blast
moreover have length ?zs = n
by simp
ultimately have m ≤ n
by (metis canlen-le-length)

```

```

have n + Suc (Suc n - canlen ?zs) + Suc n + 2 + m + 2 =
n + Suc (Suc n - m) + Suc n + 2 + m + 2
using 1 by simp

```

```

also have ... =  $n + \text{Suc} (\text{Suc } n - m) + \text{Suc } n + 4 + m$ 
  by simp
also have ... =  $n + \text{Suc} (\text{Suc } n) - m + \text{Suc } n + 4 + m$ 
  using  $\langle m \leq n \rangle$  by simp
also have ... =  $n + \text{Suc} (\text{Suc } n) + \text{Suc } n + 4$ 
  using  $\langle m \leq n \rangle$  by simp
also have ... =  $3 * n + 7$ 
  by simp
also have ... = ttt
  using assms by simp
finally have  $n + \text{Suc} (\text{Suc } n - \text{canlen } ?zs) + \text{Suc } n + 2 + m + 2 = \text{ttt}$  .
then show ?thesis
  using tm4 by simp
qed

```

```

definition tps4'  $\equiv$  tps0
  [j2 := ( $\lfloor x + y \rfloor_N, 1$ )]

```

```

lemma tm4'':

```

```

  assumes ttt =  $3 * \max (\text{nlength } x) (\text{nlength } y) + 10$ 
  shows transforms tm4 tps0 ttt tps4'

```

```

proof –

```

```

  have canrepr ( $\text{num } xs + \text{num } ys$ ) = canrepr ( $x + y$ )
  by (simp add: canrepr)

```

```

  then show ?thesis

```

```

    using assms tps0(1) tps4'-def tps4-def tm4' by (metis list-update-id)

```

```

qed

```

```

end

```

```

end

```

```

lemma transforms-tm-addI [transforms-intros]:

```

```

  fixes j1 j2 :: tapeidx

```

```

  fixes x y k ttt :: nat and tps tps' :: tape list

```

```

  assumes  $j1 \neq j2$   $j1 < k$   $j2 < k$   $j2 > 0$   $k = \text{length } tps$ 

```

```

  assumes

```

```

    tps ! j1 = ( $\lfloor \text{canrepr } x \rfloor, 1$ )

```

```

    tps ! j2 = ( $\lfloor \text{canrepr } y \rfloor, 1$ )

```

```

  assumes ttt =  $3 * \max (\text{nlength } x) (\text{nlength } y) + 10$ 

```

```

  assumes tps' = tps

```

```

    [j2 := ( $\lfloor x + y \rfloor_N, 1$ )]

```

```

  shows transforms (tm-add j1 j2) tps ttt tps'

```

```

proof –

```

```

  interpret loc: turing-machine-add j1 j2 .

```

```

  show ?thesis

```

```

    using loc.tm4-eq-tm-add loc.tps4'-def loc.tm4'' assms by simp

```

```

qed

```

## 2.7.5 Multiplication

In this section we construct a Turing machine that multiplies two numbers, each on its own tape, and writes the result to another tape. It employs the common algorithm for multiplication, which for binary numbers requires only doubling a number and adding two numbers. For the latter we already have a TM; for the former we are going to construct one.

### The common algorithm

For two numbers given as symbol sequences *xs* and *ys*, the common algorithm maintains an intermediate result, initialized with 0, and scans *xs* starting from the most significant digit. In each step the intermediate result is multiplied by two, and if the current digit of *xs* is 1, the value of *ys* is added to the intermediate result.



```

fun prod :: symbol list  $\Rightarrow$  symbol list  $\Rightarrow$  nat  $\Rightarrow$  nat where
  prod xs ys 0 = 0 |
  prod xs ys (Suc i) = 2 * prod xs ys i + (if xs ! (length xs - 1 - i) = 3 then num ys else 0)

```

After  $i$  steps of the algorithm, the intermediate result is the product of  $ys$  and the  $i$  most significant bits of  $xs$ .

```

lemma prod:
  assumes  $i \leq \text{length } xs$ 
  shows  $\text{prod } xs \text{ } ys \text{ } i = \text{num } (\text{drop } (\text{length } xs - i) \text{ } xs) * \text{num } ys$ 
  using assms
proof (induction i)
  case 0
  then show ?case
    using num-def by simp
next
  case (Suc i)
  then have  $i < \text{length } xs$ 
    by simp
  then have  $\text{drop } (\text{length } xs - \text{Suc } i) \text{ } xs = (xs ! (\text{length } xs - 1 - i)) \# \text{drop } (\text{length } xs - i) \text{ } xs$ 
    by (metis Cons-nth-drop-Suc Suc-diff-Suc diff-Suc-eq-diff-pred
      diff-Suc-less gr-implies-not0 length-greater-0-conv list.size(3))
  then show ?case
    using num-Cons Suc by simp
qed

```

After  $\text{length } xs$  steps, the intermediate result is the final result:

```

corollary prod-eq-prod:  $\text{prod } xs \text{ } ys \text{ } (\text{length } xs) = \text{num } xs * \text{num } ys$ 
  using prod by simp

```

```

definition prod' :: nat  $\Rightarrow$  nat  $\Rightarrow$  nat  $\Rightarrow$  nat where
  prod' x y i  $\equiv$  prod (canrepr x) (canrepr y) i

```

```

lemma prod':  $\text{prod}' x y (\text{nlength } x) = x * y$ 
  using prod-eq-prod prod'-def by (simp add: canrepr)

```

## Multiplying by two

Since we represent numbers with the least significant bit at the left, a multiplication by two is a right shift with a **0** inserted as the least significant digit. The next command implements the right shift. It scans the tape  $j$  and memorizes the current symbol on the last tape. It only writes the symbols **0** and **1**.

```

definition cmd-double :: tapeidx  $\Rightarrow$  command where
  cmd-double j rs  $\equiv$ 
    (if rs ! j =  $\square$  then 1 else 0,
     map ( $\lambda i.$ 
       if i = j then
         if last rs =  $\triangleright \wedge$  rs ! j =  $\square$  then (rs ! i, Right)
         else (tosym (todigit (last rs)), Right)
       else if i = length rs - 1 then (tosym (todigit (rs ! j)), Stay)
       else (rs ! i, Stay)) [0.. $\text{length } rs$ ])

```

```

lemma turing-command-double:
  assumes  $k \geq 2$  and  $G \geq 4$  and  $j > 0$  and  $j < k - 1$ 
  shows turing-command k 1 G (cmd-double j)
proof
  show  $\bigwedge gs. \text{length } gs = k \implies \text{length } ([!!] \text{cmd-double } j \text{ } gs) = \text{length } gs$ 
    using cmd-double-def by simp
  show  $\bigwedge gs. \text{length } gs = k \implies 0 < k \implies \text{cmd-double } j \text{ } gs \text{ } [.] \text{ } 0 = gs ! 0$ 
    using assms cmd-double-def by simp
  show  $\text{cmd-double } j \text{ } gs \text{ } [.] \text{ } j' < G$ 
    if  $\text{length } gs = k \wedge i. i < \text{length } gs \implies gs ! i < G \wedge j' < \text{length } gs$ 
    for  $j' \text{ } gs$ 
proof -

```

```

consider  $j' = j \mid j' = k - 1 \mid j' \neq j \wedge j' \neq k - 1$ 
  by auto
then show ?thesis
proof (cases)
  case 1
  then have cmd-double j gs [!]  $j' =$ 
    (if last gs =  $\triangleright \wedge gs ! j = \square$  then (gs ! j, Right)
     else (tosym (todigit (last gs)), Right))
  using cmd-double-def assms(1,4) that(1) by simp
  then have cmd-double j gs [.]  $j' =$ 
    (if last gs =  $\triangleright \wedge gs ! j = \square$  then gs ! j else tosym (todigit (last gs)))
  by simp
  then show ?thesis
  using that assms by simp
next
  case 2
  then have cmd-double j gs [!]  $j' = (tosym (todigit (gs ! j)), Stay)$ 
  using cmd-double-def assms(1,4) that(1) by simp
  then show ?thesis
  using assms by simp
next
  case 3
  then show ?thesis
  using cmd-double-def assms that by simp
qed
qed
show  $\bigwedge gs. \text{length } gs = k \implies [*] (\text{cmd-double } j \text{ } gs) \leq 1$ 
  using assms cmd-double-def by simp
qed

lemma sem-cmd-double-0:
  assumes  $j < k$ 
  and bit-symbols xs
  and  $i \leq \text{length } xs$ 
  and  $i > 0$ 
  and  $\text{length } tps = \text{Suc } k$ 
  and  $tps ! j = (\lfloor xs \rfloor, i)$ 
  and  $tps ! k = \lceil z \rceil$ 
  and  $tps' = tps [j := tps ! j |:=| tosym (todigit z) | + | 1, k := \lceil xs ! (i - 1) \rceil]$ 
shows  $\text{sem } (\text{cmd-double } j) (0, tps) = (0, tps')$ 
proof (rule semI)
  show proper-command (Suc k) (cmd-double j)
  using cmd-double-def by simp
show  $\text{length } tps = \text{Suc } k$ 
  using assms(5) .
show  $\text{length } tps' = \text{Suc } k$ 
  using assms(5,8) by simp
show  $\text{fst } (\text{cmd-double } j (\text{read } tps)) = 0$ 
  using assms contents-def cmd-double-def tapes-at-read'[of j tps]
  by (smt (verit, del-insts) One-nat-def Suc-le-lessD Suc-le-mono Suc-pred fst-conv
    less-imp-le-nat snd-conv zero-neq-numeral)
show act (cmd-double j (read tps) [!] j') (tps ! j') = tps' ! j'
  if  $j' < \text{Suc } k$  for  $j'$ 
proof –
  define rs where rs = read tps
  then have rsj: rs ! j = xs ! (i - 1)
  using assms tapes-at-read' contents-inbounds
  by (metis fst-conv le-imp-less-Suc less-imp-le-nat snd-conv)
  then have rs23: rs ! j = 0  $\vee$  rs ! j = 1
  using assms by simp
  have lenrs: length rs = Suc k
  by (simp add: rs-def assms(5) read-length)
  consider  $j' = j \mid j' = k \mid j' \neq j \wedge j' \neq k$ 

```

```

by auto
then show ?thesis
proof (cases)
  case 1
  then have  $j' < \text{length } rs$ 
    using lenrs that by simp
  then have cmd-double j rs [!]  $j' =$ 
    (if last rs =  $\triangleright \wedge rs ! j = \square$  then (rs ! j, Right)
     else (tosym (todigit (last rs)), Right))
    using cmd-double-def that 1 by simp
  then have cmd-double j rs [!]  $j' = (\text{tosym } (\text{todigit } (\text{last } rs)), \text{Right})$ 
    using rs23 lenrs assms by auto
  moreover have last rs = z
    using lenrs assms(5,7) rs-def onesie-read[of z] tapes-at-read'[of - tps]
    by (metis diff-Suc-1 last-conv-nth length-0-conv lessI old.nat.distinct(2))
  ultimately show ?thesis
    using act-Right' rs-def 1 assms(1,5,8) by simp
next
  case 2
  then have  $j' = \text{length } rs - 1 \ j' \neq j \ j' < \text{length } rs$ 
    using lenrs that assms(1) by simp-all
  then have (cmd-double j rs) [!]  $j' = (\text{tosym } (\text{todigit } (rs ! j)), \text{Stay})$ 
    using cmd-double-def by simp
  then have (cmd-double j rs) [!]  $j' = (xs ! (i - 1), \text{Stay})$ 
    using rsj rs23 by auto
  then show ?thesis
    using act-onesie rs-def 2 assms that by simp
next
  case 3
  then have  $j' \neq \text{length } rs - 1 \ j' \neq j \ j' < \text{length } rs$ 
    using lenrs that by simp-all
  then have (cmd-double j rs) [!]  $j' = (rs ! j', \text{Stay})$ 
    using cmd-double-def by simp
  then show ?thesis
    using act-Stay rs-def assms that 3 by simp
qed
qed
qed

```

lemma sem-cmd-double-1:

```

assumes j < k
and bit-symbols xs
and i > length xs
and length tps = Suc k
and tps ! j = ( $\lfloor xs \rfloor$ , i)
and tps ! k =  $\lceil z \rceil$ 
and tps' = tps
[ $j := tps ! j \ |:=|$  (if z =  $\triangleright$  then  $\square$  else tosym (todigit z))  $|+|$  1,
  $k := \lceil 0 \rceil$ ]
shows sem (cmd-double j) (0, tps) = (1, tps')
proof (rule semI)
  show proper-command (Suc k) (cmd-double j)
    using cmd-double-def by simp
  show length tps = Suc k
    using assms(4) .
  show length tps' = Suc k
    using assms(4,7) by simp
  show fst (cmd-double j (read tps)) = 1
    using assms contents-def cmd-double-def tapes-at-read'[of j tps] by simp
  have j < length tps
    using assms by simp
  show act (cmd-double j (read tps) [!] j') (tps ! j') = tps' ! j'
    if j' < Suc k for j'

```

```

proof –
  define rs where rs = read tps
  then have rsj: rs ! j =  $\square$ 
    using tapes-at-read'[OF  $\langle j < \text{length } tps \rangle$ ] assms(1,3,4,5) by simp
  have lenrs: length rs = Suc k
    by (simp add: rs-def assms(4) read-length)
  consider  $j' = j \mid j' = k \mid j' \neq j \wedge j' \neq k$ 
    by auto
  then show ?thesis
  proof (cases)
    case 1
      then have  $j' < \text{length } rs$ 
        using lenrs that by simp
      then have cmd-double j rs [!]  $j' =$ 
        (if last rs =  $\triangleright \wedge rs ! j = \square$  then (rs ! j, Right)
        else (tosym (todigit (last rs)), Right))
        using cmd-double-def that 1 by simp
      moreover have last rs = z
        using assms onesie-read rs-def tapes-at-read'
        by (metis diff-Suc-1 last-conv-nth length-0-conv lenrs lessI nat.simps(3))
      ultimately have cmd-double j rs [!]  $j' =$ 
        (if z =  $\triangleright$  then ( $\square$ , Right) else (tosym (todigit z), Right))
        using rsj 1 by simp
      then show ?thesis
        using act-Right' rs-def 1 assms(1,4,7) by simp
    next
      case 2
        then have  $j' = \text{length } rs - 1 \ j' \neq j \ j' < \text{length } rs$ 
          using lenrs that assms(1) by simp-all
        then have (cmd-double j rs) [!]  $j' =$  (tosym (todigit (rs ! j)), Stay)
          using cmd-double-def by simp
        then have (cmd-double j rs) [!]  $j' =$  (2, Stay)
          using rsj by auto
        then show ?thesis
          using act-onesie rs-def 2 assms that by simp
      next
        case 3
          then have  $j' \neq \text{length } rs - 1 \ j' \neq j \ j' < \text{length } rs$ 
            using lenrs that by simp-all
          then have (cmd-double j rs) [!]  $j' =$  (rs ! j', Stay)
            using cmd-double-def by simp
          then show ?thesis
            using act-Stay rs-def assms that 3 by simp
    qed
  qed
qed

```

The next Turing machine consists just of the command *cmd-double*.

**definition** *tm-double* :: *tapeidx*  $\Rightarrow$  *machine* **where**  
*tm-double j*  $\equiv$  [*cmd-double j*]

**lemma** *tm-double-tm*:  
**assumes**  $k \geq 2$  **and**  $G \geq 4$  **and**  $j > 0$  **and**  $j < k - 1$   
**shows** *turing-machine* *k G* (*tm-double j*)  
**using** *assms tm-double-def turing-command-double* **by** *auto*

**lemma** *execute-tm-double-0*:  
**assumes**  $j < k$   
**and** *bit-symbols* *xs*  
**and** *length xs* > 0  
**and** *length tps* = *Suc k*  
**and** *tps ! j* = ( $\lfloor xs \rfloor$ , 1)  
**and** *tps ! k* =  $\lfloor \triangleright \rfloor$

```

    and t ≥ 1
    and t ≤ length xs
  shows execute (tm-double j) (0, tps) t =
    (0, tps [j := ([0 # take (t - 1) xs @ drop t xs], Suc t), k := [xs ! (t - 1)])]
  using assms(7,8)
proof (induction t rule: nat-induct-at-least)
  case base
  have execute (tm-double j) (0, tps) 1 = exe (tm-double j) (execute (tm-double j) (0, tps) 0)
    by simp
  also have ... = sem (cmd-double j) (execute (tm-double j) (0, tps) 0)
    using tm-double-def exe-lt-length by simp
  also have ... = sem (cmd-double j) (0, tps)
    by simp
  also have ... = (0, tps [j := tps ! j |:=| tosym (todigit 1) |+| 1, k := [xs ! (1 - 1)])]
    using assms(7,8) sem-cmd-double-0[OF assms(1-2) - - assms(4,5,6)] by simp
  also have ... = (0, tps [j := ([0 # take (1 - 1) xs @ drop 1 xs], Suc 1), k := [xs ! (1 - 1)])]
  proof -
    have tps ! j |:=| tosym (todigit 1) |+| 1 = ([xs], 1) |:=| tosym (todigit 1) |+| 1
      using assms(5) by simp
    also have ... = ([xs](1 := tosym (todigit 1)), Suc 1)
      by simp
    also have ... = ([xs](1 := 0), Suc 1)
      by auto
    also have ... = ([0 # drop 1 xs], Suc 1)
  proof -
    have [0 # drop 1 xs] = [xs](1 := 0)
  proof
    fix i :: nat
    consider i = 0 | i = 1 | i > 1 ∧ i ≤ length xs | i > length xs
    by linarith
    then show [0 # drop 1 xs] i = ([xs](1 := 0)) i
  proof (cases)
    case 1
    then show ?thesis
      by simp
    next
    case 2
    then show ?thesis
      by simp
    next
    case 3
    then have [0 # drop 1 xs] i = (0 # drop 1 xs) ! (i - 1)
      using assms(3) by simp
    also have ... = (drop 1 xs) ! (i - 2)
      using 3 by (metis Suc-1 diff-Suc-eq-diff-pred nth-Cons-pos zero-less-diff)
    also have ... = xs ! (Suc (i - 2))
      using 3 assms(5) by simp
    also have ... = xs ! (i - 1)
      using 3 by (metis Suc-1 Suc-diff-Suc)
    also have ... = [xs] i
      using 3 by simp
    also have ... = ([xs](1 := 0)) i
      using 3 by simp
    finally show ?thesis .
  next
    case 4
    then show ?thesis
      by simp
  qed
  qed
  then show ?thesis
    by simp
  qed

```

```

also have ... = ([0 # take (1 - 1) xs @ drop 1 xs], Suc 1)
  by simp
finally show ?thesis
  by auto
qed
finally show ?case .
next
case (Suc t)
let ?xs = 0 # take (t - 1) xs @ drop t xs
let ?z = xs ! (t - 1)
let ?tps = tps
  [j := ([?xs], Suc t),
   k := [?z]]
have lenxs: length ?xs = length xs
  using Suc by simp
have 0: ?xs ! t = xs ! t
proof -
  have t > 0
  using Suc by simp
  then have length (0 # take (t - 1) xs) = t
  using Suc by simp
  moreover have length (drop t xs) > 0
  using Suc by simp
  moreover have drop t xs ! 0 = xs ! t
  using Suc by simp
  ultimately have ((0 # take (t - 1) xs) @ drop t xs) ! t = xs ! t
  by (metis diff-self-eq-0 less-not-refl3 nth-append)
  then show ?thesis
  by simp
qed
have 1: bit-symbols ?xs
proof -
  have bit-symbols (take (t - 1) xs)
  using assms(2) by simp
  moreover have bit-symbols (drop t xs)
  using assms(2) by simp
  moreover have bit-symbols [0]
  by simp
  ultimately have bit-symbols ([0] @ take (t - 1) xs @ drop t xs)
  using bit-symbols-append by presburger
  then show ?thesis
  by simp
qed
have 2: Suc t ≤ length ?xs
  using Suc by simp
have 3: Suc t > 0
  using Suc by simp
have 4: length ?tps = Suc k
  using assms by simp
have 5: ?tps ! j = ([?xs], Suc t)
  by (simp add: Suc-lessD assms(1,4) nat-neq-iff)
have 6: ?tps ! k = [?z]
  by (simp add: assms(4))
have execute (tm-double j) (0, tps) (Suc t) = exe (tm-double j) (execute (tm-double j) (0, tps) t)
  by simp
also have ... = sem (cmd-double j) (execute (tm-double j) (0, tps) t)
  using tm-double-def exe-lt-length Suc by simp
also have ... = sem (cmd-double j) (0, ?tps)
  using Suc by simp
also have ... = (0, ?tps [j := ?tps ! j |:=| tosym (todigit ?z) |+| 1, k := [?xs ! (Suc t - 1)])]
  using sem-cmd-double-0[OF assms(1) 1 2 3 4 5 6] by simp
also have ... = (0, ?tps [j := ?tps ! j |:=| tosym (todigit ?z) |+| 1, k := [xs ! (Suc t - 1)])]
  using 0 by simp

```

```

also have ... = (0, tps [j := ?tps ! j |:=| tosym (todigit ?z) |+| 1, k := [xs ! (Suc t - 1)]])
  using assms by (smt (verit) list-update-overwrite list-update-swap)
also have ... = (0, tps [j := ([?xs], Suc t) |:=| tosym (todigit ?z) |+| 1, k := [xs ! (Suc t - 1)]])
  using 5 by simp
also have ... = (0, tps
  [j := ([?xs](Suc t := tosym (todigit ?z)), Suc (Suc t)),
  k := [xs ! (Suc t - 1)]])
  by simp
also have ... = (0, tps
  [j := ([2 # take (Suc t - 1) xs @ drop (Suc t) xs], Suc (Suc t)),
  k := [xs ! (Suc t - 1)]])
proof -
have [?xs](Suc t := tosym (todigit ?z)) = [0 # take (Suc t - 1) xs @ drop (Suc t) xs]
proof
  fix i :: nat
  consider i = 0 | i > 0 ∧ i < Suc t | i = Suc t | i > Suc t ∧ i ≤ length xs | i > length xs
  by linarith
  then show ([?xs](Suc t := tosym (todigit ?z))) i = [0 # take (Suc t - 1) xs @ drop (Suc t) xs] i
  proof (cases)
    case 1
    then show ?thesis
    by simp
  next
    case 2
    then have lhs: ([?xs](Suc t := tosym (todigit ?z))) i = ?xs ! (i - 1)
    using lenxs Suc by simp
    have [0 # take (Suc t - 1) xs @ drop (Suc t) xs] i =
      (0 # take (Suc t - 1) xs @ drop (Suc t) xs) ! (i - 1)
    using Suc 2 by auto
    then have [0 # take (Suc t - 1) xs @ drop (Suc t) xs] i =
      ((0 # take (Suc t - 1) xs) @ drop (Suc t) xs) ! (i - 1)
    by simp
    moreover have length (0 # take (Suc t - 1) xs) = Suc t
    using Suc.prems by simp
    ultimately have [0 # take (Suc t - 1) xs @ drop (Suc t) xs] i =
      (0 # take (Suc t - 1) xs) ! (i - 1)
    using 2 by (metis Suc-diff-1 Suc-lessD nth-append)
    also have ... = (0 # take t xs) ! (i - 1)
    by simp
    also have ... = (0 # take (t - 1) xs @ [xs ! (t - 1)]) ! (i - 1)
    using Suc by (metis Suc-diff-le Suc-le-lessD Suc-lessD diff-Suc-1 take-Suc-conv-app-nth)
    also have ... = ((0 # take (t - 1) xs) @ [xs ! (t - 1)]) ! (i - 1)
    by simp
    also have ... = (0 # take (t - 1) xs) ! (i - 1)
    using 2 Suc
    by (metis One-nat-def Suc-leD Suc-le-eq Suc-pred length-Cons length-take less-Suc-eq-le
      min-absorb2 nth-append)
    also have ... = ((0 # take (t - 1) xs) @ drop t xs) ! (i - 1)
    using 2 Suc
    by (metis Suc-diff-1 Suc-diff-le Suc-leD Suc-lessD diff-Suc-1 length-Cons length-take
      less-Suc-eq min-absorb2 nth-append)
    also have ... = ?xs ! (i - 1)
    by simp
    finally have [0 # take (Suc t - 1) xs @ drop (Suc t) xs] i = ?xs ! (i - 1) .
  then show ?thesis
    using lhs by simp
  next
    case 3
    moreover have ?z = 0 ∨ ?z = 1
    using bit-symbols ?xs Suc assms(2) by (metis Suc-diff-le Suc-leD Suc-le-lessD diff-Suc-1)
    ultimately have lhs: ([?xs](Suc t := tosym (todigit ?z))) i = ?z
    by auto
    have [0 # take (Suc t - 1) xs @ drop (Suc t) xs] i =

```

```

    [(0 # take t xs) @ drop (Suc t) xs] (Suc t)
  using 3 by simp
  also have ... = ((0 # take t xs) @ drop (Suc t) xs) ! t
  using 3 Suc by simp
  also have ... = (0 # take t xs) ! t
  using Suc by (metis Suc-leD length-Cons length-take lessI min-absorb2 nth-append)
  also have ... = xs ! (t - 1)
  using Suc by simp
  finally have [0 # take (Suc t - 1) xs @ drop (Suc t) xs] i = ?z .
  then show ?thesis
    using lhs by simp
next
case 4
then have ([?xs](Suc t := tosym (todigit ?z))) i = [?xs] i
  by simp
  also have ... = ?xs ! (i - 1)
  using 4 by auto
  also have ... = ((0 # take (t - 1) xs) @ drop t xs) ! (i - 1)
  by simp
  also have ... = drop t xs ! (i - 1 - t)
  using 4 Suc
  by (smt (verit, ccfv-threshold) Cons-eq-appendI Suc-diff-1 Suc-leD
    add-diff-cancel-right' bot-nat-0.extremum-uniqueI diff-diff-cancel
    length-append length-drop lenxs not-le not-less-eq nth-append)
  also have ... = xs ! (i - 1)
  using 4 Suc by simp
  finally have lhs: ([?xs](Suc t := tosym (todigit ?z))) i = xs ! (i - 1) .
  have [0 # take (Suc t - 1) xs @ drop (Suc t) xs] i =
    (0 # take (Suc t - 1) xs @ drop (Suc t) xs) ! (i - 1)
  using 4 by auto
  also have ... = ((0 # take t xs) @ drop (Suc t) xs) ! (i - 1)
  by simp
  also have ... = (drop (Suc t) xs) ! (i - 1 - Suc t)
  using Suc 4
  by (smt (verit) Suc-diff-1 Suc-leD Suc-leI bot-nat-0.extremum-uniqueI length-Cons length-take
    min-absorb2 not-le nth-append)
  also have ... = xs ! (i - 1)
  using Suc 4 Suc-lessE by fastforce
  finally have [0 # take (Suc t - 1) xs @ drop (Suc t) xs] i = xs ! (i - 1) .
  then show ?thesis
    using lhs by simp
next
case 5
then have ([?xs](Suc t := tosym (todigit ?z))) i = [?xs] i
  using Suc by simp
  then have lhs: ([?xs](Suc t := tosym (todigit ?z))) i = □
  using 5 contents-outofbounds lenxs by simp
  have length (0 # take (Suc t - 1) xs @ drop (Suc t) xs) = length xs
  using Suc by simp
  then have [0 # take (Suc t - 1) xs @ drop (Suc t) xs] i = □
  using 5 contents-outofbounds by simp
  then show ?thesis
    using lhs by simp
qed
qed
then show ?thesis
  by simp
qed
finally show ?case .
qed

```

lemma *execute-tm-double-1*:  
 assumes  $j < k$



```

and bit-symbols xs
and length xs > 0
and length tps = Suc k
and tps ! j = ([xs], 1)
and tps ! k = [▷]
shows execute (tm-double j) (0, tps) (Suc (length xs)) =
  (1, tps [ j := ([0 # xs], length xs + 2), k := [0]])
proof -
  let ?z = xs ! (length xs - 1)
  let ?xs = 0 # take (length xs - 1) xs
  have ?z ≠ ▷
    using assms(2,3) by (metis One-nat-def Suc-1 diff-less less-Suc-eq not-less-eq numeral-3-eq-3)
  have z23: ?z = 0 ∨ ?z = 1
    using assms(2,3) by (meson diff-less zero-less-one)
  have lenxs: length ?xs = length xs
    using assms(3) by (metis Suc-diff-1 diff-le-self length-Cons length-take min-absorb2)
  have 0: bit-symbols ?xs
    using assms(2) bit-symbols-append[of [0] take (length xs - 1) xs] by simp

have execute (tm-double j) (0, tps) (length xs) =
  (0, tps
    [ j := ([0 # take (length xs - 1) xs @ drop (length xs) xs], Suc (length xs)),
    k := [?z]])
    using execute-tm-double-0[OF assms(1-6), where ?t=length xs] assms(3) by simp
then have *: execute (tm-double j) (0, tps) (length xs) =
  (0, tps [ j := ([?xs], Suc (length ?xs)), k := [?z]])
  (is - = (0, ?tps))
  using lenxs by simp

let ?i = Suc (length ?xs)
have 1: ?i > length ?xs
  by simp
have 2: length ?tps = Suc k
  using assms(4) by simp
have 3: ?tps ! j = ([?xs], ?i)
  using assms(1,4) by simp
have 4: ?tps ! k = [?z]
  using assms(4) by simp

have execute (tm-double j) (0, tps) (Suc (length xs)) = exe (tm-double j) (0, ?tps)
  using * by simp
also have ... = sem (cmd-double j) (0, ?tps)
  using tm-double-def exe-lt-length by simp
also have ... = (1, ?tps
  [ j := ?tps ! j |:=| (if ?z = ▷ then □ else tosym (todigit ?z)) | + | 1,
  k := [0]])
  using sem-cmd-double-1[OF assms(1) 0 1 2 3 4] by simp
also have ... = (1, ?tps
  [ j := ?tps ! j |:=| (tosym (todigit ?z)) | + | 1,
  k := [0]])
  using ⟨?z ≠ 1⟩ by simp
also have ... = (1, ?tps
  [ j := ([?xs], Suc (length ?xs)) |:=| (tosym (todigit ?z)) | + | 1,
  k := [0]])
  using 3 by simp
also have ... = (1, ?tps
  [ j := ([?xs], Suc (length ?xs)) |:=| ?z | + | 1,
  k := [0]])
  using z23 One-nat-def Suc-1 add-2-eq-Suc' numeral-3-eq-3 by presburger
also have ... = (1, tps
  [ j := ([?xs], Suc (length ?xs)) |:=| ?z | + | 1,
  k := [0]])
  by (smt (verit) list-update-overwrite list-update-swap)

```

```

also have ... = (1, tps
  [j := ([?xs](Suc (length ?xs) := ?z), length ?xs + 2),
   k := [0]])
by simp
also have ... = (1, tps
  [j := ([?xs](Suc (length ?xs) := ?z), length xs + 2),
   k := [0]])
using lenxs by simp
also have ... = (1, tps [j := ([0 # xs], length xs + 2), k := [0]])
proof -
  have [?xs](Suc (length ?xs) := ?z) = [0 # xs]
  proof
    fix i
    consider i = 0 | i > 0 ∧ i ≤ length xs | i = Suc (length xs) | i > Suc (length xs)
    by linarith
    then show ([?xs](Suc (length ?xs) := ?z)) i = [0 # xs] i
    proof (cases)
      case 1
      then show ?thesis
      by simp
    next
      case 2
      then have ([?xs](Suc (length ?xs) := ?z)) i = [?xs] i
      using lenxs by simp
      also have ... = ?xs ! (i - 1)
      using 2 by auto
      also have ... = (0 # xs) ! (i - 1)
      using lenxs 2 assms(3) by (metis Suc-diff-1 Suc-le-lessD nth-take take-Suc-Cons)
      also have ... = [0 # xs] i
      using 2 by simp
      finally show ?thesis .
    next
      case 3
      then have lhs: ([?xs](Suc (length ?xs) := ?z)) i = ?z
      using lenxs by simp
      have [0 # xs] i = (0 # xs) ! (i - 1)
      using 3 lenxs by simp
      also have ... = xs ! (i - 2)
      using 3 assms(3) by simp
      also have ... = ?z
      using 3 by simp
      finally have [0 # xs] i = ?z .
      then show ?thesis
      using lhs by simp
    next
      case 4
      then show ?thesis
      using 4 lenxs by simp
    qed
  qed
  then show ?thesis
  by simp
qed
finally show ?thesis .
qed

```

**lemma** *execute-tm-double-Nil*:

```

assumes j < k
  and length tps = Suc k
  and tps ! j = ([[]], 1)
  and tps ! k = [▷]
shows execute (tm-double j) (0, tps) (Suc 0) =
  (1, tps [j := ([[]], 2), k := [0]])

```

**proof** –

```
have execute (tm-double j) (0, tps) (Suc 0) = exe (tm-double j) (execute (tm-double j) (0, tps) 0)
  by simp
also have ... = exe (tm-double j) (0, tps)
  by simp
also have ... = sem (cmd-double j) (0, tps)
  using tm-double-def exe-lt-length by simp
also have ... = (1, tps
  [j := tps ! j |:=| (if (1::nat) = 1 then 0 else tosym (todigit 1)) |+| 1,
  k := [0]])
  using sem-cmd-double-1[OF assms(1) - - assms(2-4)] by simp
also have ... = (1, tps [j := tps ! j |:=| □ |+| 1, k := [0]])
  by simp
also have ... = (1, tps [j := ([□], 1) |:=| □ |+| 1, k := [0]])
  using assms(3) by simp
also have ... = (1, tps [j := ([□])(1 := □), 2), k := [0]])
  by (metis fst-eqD one-add-one snd-eqD)
also have ... = (1, tps [j := ([□], 2), k := [0]])
  by (metis contents-outofbounds fun-upd-idem-iff list.size(3) zero-less-one)
finally show ?thesis .
```

**qed**

**lemma** *execute-tm-double*:

```
assumes j < k
  and length tps = Suc k
  and tps ! j = ([canrepr n], 1)
  and tps ! k = [▷]
shows execute (tm-double j) (0, tps) (Suc (length (canrepr n))) =
  (1, tps [j := ([canrepr (2 * n)], length (canrepr n) + 2), k := [0]])
proof (cases n = 0)
case True
  then have canrepr n = []
    using canrepr-0 by simp
  then show ?thesis
    using execute-tm-double-Nil[OF assms(1-2) - assms(4)] assms(3) True
    by (metis add-2-eq-Suc' list.size(3) mult-0-right numeral-2-eq-2)
```

**next**

```
case False
let ?xs = canrepr n
have num (0 # ?xs) = 2 * num ?xs
  using num-Cons by simp
then have num (0 # ?xs) = 2 * n
  using canrepr by simp
moreover have canonical (0 # ?xs)
proof –
  have ?xs ≠ []
    using False canrepr canrepr-0 by metis
  then show ?thesis
    using canonical-Cons canonical-canrepr by simp
qed
ultimately have canrepr (2 * n) = 0 # ?xs
  using canreprI by blast
then show ?thesis
  using execute-tm-double-1[OF assms(1) - - assms(2) - assms(4)] assms(3) False canrepr canrepr-0 bit-symbols-canrepr
  by (metis length-greater-0-conv)
```

**qed**

**lemma** *execute-tm-double-app*:

```
assumes j < k
  and length tps = k
  and tps ! j = ([canrepr n], 1)
shows execute (tm-double j) (0, tps @ [[▷]]) (Suc (length (canrepr n))) =
  (1, tps [j := ([canrepr (2 * n)], length (canrepr n) + 2)] @ [[0]])
```

**proof** –  
**let**  $?tps = tps @ [[\triangleright]]$   
**have**  $length\ ?tps = Suc\ k$   
**using**  $assms(2)$  **by**  $simp$   
**moreover** **have**  $?tps ! j = (\lfloor canrepr\ n \rfloor, 1)$   
**using**  $assms(1,2,3)$  **by**  $(simp\ add: nth-append)$   
**moreover** **have**  $?tps ! k = \lceil \triangleright \rceil$   
**using**  $assms(2)$  **by**  $(simp\ add: nth-append)$   
**moreover** **have**  $tps [j := (\lfloor canrepr\ (2 * n) \rfloor, length\ (canrepr\ n) + 2)] @ [[\mathbf{0}]] =$   
 $?tps [j := (\lfloor canrepr\ (2 * n) \rfloor, length\ (canrepr\ n) + 2), k := \lceil \mathbf{0} \rceil]$   
**using**  $assms$  **by**  $(metis\ length-list-update\ list-update-append1\ list-update-length)$   
**ultimately** **show**  $?thesis$   
**using**  $assms\ execute-tm-double[OF\ assms(1),\ where\ ?tps=tps\ @\ [[\triangleright]]]$   
**by**  $simp$   
**qed**

**lemma**  $transforms-tm-double$ :  
**assumes**  $j < k$   
**and**  $length\ tps = k$   
**and**  $tps ! j = (\lfloor canrepr\ n \rfloor, 1)$   
**shows**  $transforms\ (tm-double\ j)$   
 $(tps @ [[\triangleright]])$   
 $(Suc\ (length\ (canrepr\ n)))$   
 $(tps [j := (\lfloor canrepr\ (2 * n) \rfloor, length\ (canrepr\ n) + 2)] @ [[\mathbf{0}]])$   
**using**  $assms\ transforms-def\ transits-def\ tm-double-def\ execute-tm-double-app$  **by**  $auto$

**lemma**  $tm-double-immobile$ :  
**fixes**  $k :: nat$   
**assumes**  $j > 0$  **and**  $j < k$   
**shows**  $immobile\ (tm-double\ j)\ k\ (Suc\ k)$

**proof** –  
**let**  $?M = tm-double\ j$   
**{** **fix**  $q :: nat$  **and**  $rs :: symbol\ list$   
**assume**  $q: q < length\ ?M$   
**assume**  $rs: length\ rs = Suc\ k$   
**then** **have**  $len: length\ rs - 1 = k$   
**by**  $simp$   
**have**  $neq: k \neq j$   
**using**  $assms(2)$  **by**  $simp$   
**have**  $?M ! q = cmd-double\ j$   
**using**  $tm-double-def\ q$  **by**  $simp$   
**moreover** **have**  $(cmd-double\ j)\ rs [!] k = (tosym\ (todigit\ (rs ! j)), Stay)$   
**using**  $cmd-double-def\ rs\ len\ neq$  **by**  $fastforce$   
**ultimately** **have**  $(cmd-double\ j)\ rs [\sim] k = Stay$   
**by**  $simp$   
**}**  
**then** **show**  $?thesis$   
**by**  $(simp\ add: immobile-def\ tm-double-def)$   
**qed**

**lemma**  $tm-double-bounded-write$ :  
**assumes**  $j < k - 1$   
**shows**  $bounded-write\ (tm-double\ j)\ (k - 1)\ 4$   
**using**  $assms\ cmd-double-def\ tm-double-def\ bounded-write-def$  **by**  $simp$

The next Turing machine removes the memorization tape.

**definition**  $tm-double' :: nat \Rightarrow machine$  **where**  
 $tm-double'\ j \equiv cartesian\ (tm-double\ j)\ 4$

**lemma**  $tm-double'-tm$ :  
**assumes**  $j > 0$  **and**  $k \geq 2$  **and**  $G \geq 4$  **and**  $j < k$   
**shows**  $turing-machine\ k\ G\ (tm-double'\ j)$   
**unfolding**  $tm-double'-def$  **using**  $assms\ cartesian-tm\ tm-double-tm$  **by**  $simp$

```

lemma transforms-tm-double'I [transforms-intros]:
  assumes  $j > 0$  and  $j < k$ 
    and  $\text{length } tps = k$ 
    and  $tps ! j = (\lfloor \text{canrepr } n \rfloor, 1)$ 
    and  $t = (\text{Suc } (\text{length } (\text{canrepr } n)))$ 
    and  $tps' = tps [j := (\lfloor \text{canrepr } (2 * n) \rfloor, \text{length } (\text{canrepr } n) + 2)]$ 
  shows transforms (tm-double' j)  $tps$   $t$   $tps'$ 
  unfolding tm-double'-def
proof (rule cartesian-transforms-onesie)
  show turing-machine (Suc k) 4 (tm-double j)
    using assms(1,2) tm-double-tm by simp
  show  $\text{length } tps = k$   $2 \leq k$  ( $1::\text{nat}$ )  $< 4$ 
    using assms by simp-all
  show bounded-write (tm-double j)  $k$  4
    by (metis assms(2) diff-Suc-1 tm-double-bounded-write)
  show immobile (tm-double j)  $k$  (Suc k)
    by (simp add: assms(1,2) tm-double-immobile)
  show transforms (tm-double j) ( $tps @ \llbracket \triangleright \rrbracket$ )  $t$  ( $tps' @ \llbracket \mathbf{0} \rrbracket$ )
    using assms transforms-tm-double by simp
qed

```

The next Turing machine is the one we actually use to double a number. It runs *tm-double'* and performs a carriage return.

**definition** *tm-times2* :: *tapeidx*  $\Rightarrow$  *machine* **where**  
*tm-times2 j*  $\equiv$  *tm-double' j* ;; *tm-cr j*

```

lemma tm-times2-tm:
  assumes  $k \geq 2$  and  $j > 0$  and  $j < k$  and  $G \geq 4$ 
  shows turing-machine  $k$   $G$  (tm-times2 j)
  using assms by (simp add: assms(1) tm-cr-tm tm-double'-tm tm-times2-def)

```

```

lemma transforms-tm-times2I [transforms-intros]:
  assumes  $j > 0$  and  $j < k$ 
    and  $\text{length } tps = k$ 
    and  $tps ! j = (\lfloor n \rfloor_N, 1)$ 
    and  $t = 5 + 2 * \text{nlength } n$ 
    and  $tps' = tps [j := (\lfloor 2 * n \rfloor_N, 1)]$ 
  shows transforms (tm-times2 j)  $tps$   $t$   $tps'$ 
  unfolding tm-times2-def
proof (tform tps: assms)
  show clean-tape ( $tps[j := (\lfloor 2 * n \rfloor_N, \text{nlength } n + 2)] ! j$ )
    using clean-tape-ncontents assms by simp
  show  $t = \text{Suc } (\text{nlength } n) + (tps[j := (\lfloor 2 * n \rfloor_N, \text{nlength } n + 2)] : \# : j + 2)$ 
    using assms by simp
qed

```

## Multiplying arbitrary numbers

Before we can multiply arbitrary numbers we need just a few more lemmas.

```

lemma num-drop-le-nu:  $\text{num } (\text{drop } j \text{ } xs) \leq \text{num } xs$ 
proof (cases j  $\leq$   $\text{length } xs$ )
  case True
  let  $?ys = \text{drop } j \text{ } xs$ 
  have map-shift-upt:  $\text{map } (\lambda i. f (j + i)) [0..<l] = \text{map } f [j..<j + l]$ 
    for  $f :: \text{nat} \Rightarrow \text{nat}$  and  $j$   $l$ 
    by (rule nth-equalityI) simp-all

  have  $\text{num } ?ys = (\sum i \leftarrow [0..<\text{length } ?ys]. \text{todigit } (?ys ! i) * 2^i)$ 
    using num-def by simp
  also have  $\dots = (\sum i \leftarrow [0..<\text{length } ?ys]. \text{todigit } (xs ! (j + i)) * 2^i)$ 
    by (simp add: True)

```

```

also have ...  $\leq 2^j * (\sum_{i \leftarrow [0..<length\ ?ys]}. todigit\ (xs\ !\ (j + i)) * 2^i)$ 
  by simp
also have ...  $= (\sum_{i \leftarrow [0..<length\ ?ys]}. 2^j * todigit\ (xs\ !\ (j + i)) * 2^i)$ 
  by (simp add: mult.assoc sum-list-const-mult)
also have ...  $= (\sum_{i \leftarrow [0..<length\ ?ys]}. todigit\ (xs\ !\ (j + i)) * 2^{(j + i)})$ 
  by (simp add: ab-semigroup-mult-class.mult-ac(1) mult.commute power-add)
also have ...  $= (\sum_{i \leftarrow [j..<j + length\ ?ys]}. todigit\ (xs\ !\ i) * 2^i)$ 
  using map-shift-upt[of  $\lambda i. todigit\ (xs\ !\ i) * 2^i$  length  $?ys$ ] by simp
also have ...  $\leq (\sum_{i \leftarrow [0..<j]}. todigit\ (xs\ !\ i) * 2^i) +$ 
   $(\sum_{i \leftarrow [j..<j + length\ ?ys]}. todigit\ (xs\ !\ i) * 2^i)$ 
  by simp
also have ...  $= (\sum_{i \leftarrow [0..<j + length\ ?ys]}. todigit\ (xs\ !\ i) * 2^i)$ 
  by (metis (no-types, lifting) le-add2 le-add-same-cancel2 map-append sum-list.append upt-add-eq-append)
also have ...  $= (\sum_{i \leftarrow [0..<length\ xs]}. todigit\ (xs\ !\ i) * 2^i)$ 
  by (simp add: True)
also have ...  $= num\ xs$ 
  using num-def by simp
finally show ?thesis .
next
  case False
  then show ?thesis
    using canrepr canrepr-0 by (metis drop-all nat-le-linear zero-le)
qed

```

**lemma** *nlength-prod-le-prod*:  
**assumes**  $i \leq length\ xs$   
**shows**  $nlength\ (prod\ xs\ ys\ i) \leq nlength\ (num\ xs * num\ ys)$   
**using** *prod[OF assms] num-drop-le-nu mult-le-mono1 nlength-mono* **by** *simp*

**corollary** *nlength-prod'-le-prod*:  
**assumes**  $i \leq nlength\ x$   
**shows**  $nlength\ (prod'\ x\ y\ i) \leq nlength\ (x * y)$   
**using** *assms prod'-def nlength-prod-le-prod* **by** (*metis prod' prod-eq-prod*)

**lemma** *two-times-prod*:  
**assumes**  $i < length\ xs$   
**shows**  $2 * prod\ xs\ ys\ i \leq num\ xs * num\ ys$   
**proof** –  
**have**  $2 * prod\ xs\ ys\ i \leq prod\ xs\ ys\ (Suc\ i)$   
**by** *simp*  
**also have** ...  $= num\ (drop\ (length\ xs - Suc\ i)\ xs) * num\ ys$   
**using** *prod*[of  $Suc\ i\ xs$ ] *assms* **by** *simp*  
**also have** ...  $\leq num\ xs * num\ ys$   
**using** *num-drop-le-nu* **by** *simp*  
**finally show** *?thesis* .  
**qed**

**corollary** *two-times-prod'*:  
**assumes**  $i < nlength\ x$   
**shows**  $2 * prod'\ x\ y\ i \leq x * y$   
**using** *assms two-times-prod prod'-def* **by** (*metis prod' prod-eq-prod*)

The next Turing machine multiplies the numbers on tapes  $j_1$  and  $j_2$  and writes the result to tape  $j_3$ . It iterates over the binary digits on  $j_1$  starting from the most significant digit. In each iteration it doubles the intermediate result on  $j_3$ . If the current digit is **1**, the number on  $j_2$  is added to  $j_3$ .

**definition** *tm-mult* :: *tapeidx*  $\Rightarrow$  *tapeidx*  $\Rightarrow$  *tapeidx*  $\Rightarrow$  *machine* **where**  
*tm-mult*  $j_1\ j_2\ j_3 \equiv$   
*tm-right-until*  $j_1\ \{\square\}$  ;;  
*tm-left*  $j_1$  ;;  
*WHILE*  $\square$  ;  $\lambda rs. rs\ !\ j_1 \neq \triangleright DO$   
*tm-times2*  $j_3$  ;;  
*IF*  $\lambda rs. rs\ !\ j_1 = \mathbf{1} THEN$   
*tm-add*  $j_2\ j_3$

```

    ELSE
    []
    ENDIF ;;
    tm-left j1
    DONE ;;
    tm-right j1

```

**lemma** *tm-mult-tm*:

```

assumes j1 ≠ j2 j2 ≠ j3 j3 ≠ j1 and j3 > 0
assumes k ≥ 2
  and G ≥ 4
  and j1 < k j2 < k j3 < k
shows turing-machine k G (tm-mult j1 j2 j3)
unfolding tm-mult-def
using assms tm-left-tm tm-right-tm Nil-tm tm-add-tm tm-times2-tm tm-right-until-tm
  turing-machine-branch-turing-machine turing-machine-loop-turing-machine
by simp

```

**locale** *turing-machine-mult* =

```

  fixes j1 j2 j3 :: tapeidx

```

**begin**

```

definition tm1 ≡ tm-right-until j1 {□}
definition tm2 ≡ tm1 ;; tm-left j1
definition tmIf ≡ IF λrs. rs ! j1 = 1 THEN tm-add j2 j3 ELSE [] ENDIF
definition tmBody1 ≡ tm-times2 j3 ;; tmIf
definition tmBody ≡ tmBody1 ;; tm-left j1
definition tmWhile ≡ WHILE [] ; λrs. rs ! j1 ≠ ▷ DO tmBody DONE
definition tm3 ≡ tm2 ;; tmWhile
definition tm4 ≡ tm3 ;; tm-right j1

```

**lemma** *tm4-eq-tm-mult*:  $tm4 = tm-mult\ j1\ j2\ j3$

```

  using tm1-def tm2-def tm3-def tm4-def tm-mult-def tmIf-def tmBody-def tmBody1-def tmWhile-def
  by simp

```

**context**

```

  fixes x y k :: nat and tps0 :: tape list
  assumes jk: j1 ≠ j2 j2 ≠ j3 j3 ≠ j1 j3 > 0 j1 < k j2 < k j3 < k length tps0 = k
  assumes tps0:
    tps0 ! j1 = (⌊x⌋N, 1)
    tps0 ! j2 = (⌊y⌋N, 1)
    tps0 ! j3 = (⌊0⌋N, 1)

```

**begin**

```

definition tps1 ≡ tps0 [j1 := (⌊x⌋N, Suc (nlength x))]

```

**lemma** *tm1 [transforms-intros]*:

```

  assumes t = Suc (nlength x)
  shows transforms tm1 tps0 t tps1
  unfolding tm1-def

```

**proof** (*tform tps: assms tps0 tps1-def jk*)

```

  show rneigh (tps0 ! j1) {□} (nlength x)

```

**proof** (*rule rneighI*)

```

  show (tps0 ::: j1) (tps0 :# j1 + nlength x) ∈ {□}

```

```

  by (simp add: tps0)

```

```

  show ∧ n'. n' < nlength x ⇒ (tps0 ::: j1) (tps0 :# j1 + n') ∉ {□}

```

```

  using tps0 bit-symbols-canrepr contents-def by fastforce

```

**qed**

**qed**

```

definition tps2 ≡ tps0 [j1 := (⌊x⌋N, nlength x)]

```

**lemma** *tm2 [transforms-intros]*:

**assumes**  $t = \text{Suc} (\text{Suc} (\text{nlength } x))$  **and**  $\text{tps}' = \text{tps}2$   
**shows** *transforms*  $\text{tm}2 \text{ tps}0 t \text{ tps}'$   
**unfolding**  $\text{tm}2\text{-def}$  **by** (*tform tps: assms tps1-def tps2-def jk*)

**definition**  $\text{tpsL } t \equiv \text{tps}0$

$[j1 := (\lfloor x \rfloor_N, \text{nlength } x - t),$   
 $j3 := (\lfloor \text{prod}' x y t \rfloor_N, 1)]$

**definition**  $\text{tpsL1 } t \equiv \text{tps}0$

$[j1 := (\lfloor x \rfloor_N, \text{nlength } x - t),$   
 $j3 := (\lfloor 2 * \text{prod}' x y t \rfloor_N, 1)]$

**definition**  $\text{tpsL2 } t \equiv \text{tps}0$

$[j1 := (\lfloor x \rfloor_N, \text{nlength } x - t),$   
 $j3 := (\lfloor \text{prod}' x y (\text{Suc } t) \rfloor_N, 1)]$

**definition**  $\text{tpsL3 } t \equiv \text{tps}0$

$[j1 := (\lfloor x \rfloor_N, \text{nlength } x - t - 1),$   
 $j3 := (\lfloor \text{prod}' x y (\text{Suc } t) \rfloor_N, 1)]$

**lemma**  $\text{tmIf}$  [*transforms-intros*]:

**assumes**  $t < \text{nlength } x$  **and**  $\text{t}tt = 12 + 3 * \text{nlength } (x * y)$

**shows** *transforms*  $\text{tmIf} (\text{tpsL1 } t) \text{ t}tt (\text{tpsL2 } t)$

**unfolding**  $\text{tmIf-def}$

**proof** (*tform tps: assms tpsL1-def tps0 jk*)

**have**  $\text{nlength } y \leq \text{nlength } (x * y) \wedge \text{nlength } (2 * \text{prod}' x y t) \leq \text{nlength } (x * y)$

**proof**

**have**  $x > 0$

**using**  $\text{assms}(1)$  *gr-implies-not-zero nlength-0* **by** *auto*

**then have**  $y \leq x * y$

**by** *simp*

**then show**  $\text{nlength } y \leq \text{nlength } (x * y)$

**using** *nlength-mono* **by** *simp*

**show**  $\text{nlength } (2 * \text{prod}' x y t) \leq \text{nlength } (x * y)$

**using**  $\text{assms}(1)$  **by** (*simp add: nlength-mono two-times-prod'*)

**qed**

**then show**  $3 * \max (\text{nlength } y) (\text{nlength } (2 * \text{Arithmetic.prod}' x y t)) + 10 + 2 \leq \text{t}tt$

**using**  $\text{assms}(2)$  **by** *simp*

**let**  $?xs = \text{canrepr } x$  **and**  $?ys = \text{canrepr } y$

**let**  $?r = \text{read } (\text{tpsL1 } t) ! j1$

**have**  $?r = (\lfloor x \rfloor_N) (\text{nlength } x - t)$

**using**  $\text{tpsL1-def jk tapes-at-read}'$

**by** (*metis fst-conv length-list-update list-update-swap nth-list-update-eq snd-conv*)

**then have**  $r: ?r = \text{canrepr } x ! (\text{nlength } x - 1 - t)$

**using**  $\text{assms contents-def}$  **by** *simp*

**have**  $\text{prod}' x y (\text{Suc } t) = 2 * \text{prod}' x y t + (\text{if } ?xs ! (\text{length } ?xs - 1 - t) = \mathbf{1} \text{ then num } ?ys \text{ else } 0)$

**using**  $\text{prod}'\text{-def}$  **by** *simp*

**also have**  $\dots = 2 * \text{prod}' x y t + (\text{if } ?r = \mathbf{1} \text{ then num } ?ys \text{ else } 0)$

**using**  $r$  **by** *simp*

**also have**  $\dots = 2 * \text{prod}' x y t + (\text{if } ?r = \mathbf{1} \text{ then } y \text{ else } 0)$

**using**  $\text{canrepr}$  **by** *simp*

**finally have**  $\text{prod}' x y (\text{Suc } t) = 2 * \text{prod}' x y t + (\text{if } ?r = \mathbf{1} \text{ then } y \text{ else } 0)$ .

**then show**  $\text{read } (\text{tpsL1 } t) ! j1 \neq \mathbf{1} \implies \text{tpsL2 } t = \text{tpsL1 } t$

**and**  $\text{read } (\text{tpsL1 } t) ! j1 = \mathbf{1} \implies$

$\text{tpsL2 } t = (\text{tpsL1 } t) [j3 := (\lfloor y + 2 * \text{Arithmetic.prod}' x y t \rfloor_N, 1)]$

**by** (*simp-all add: add commute tpsL1-def tpsL2-def*)

**qed**

**lemma**  $\text{tmBody1}$  [*transforms-intros*]:

**assumes**  $t < \text{nlength } x$

**and**  $\text{t}tt = 17 + 2 * \text{nlength } (\text{Arithmetic.prod}' x y t) + 3 * \text{nlength } (x * y)$

**shows** *transforms*  $\text{tmBody1} (\text{tpsL } t) \text{ t}tt (\text{tpsL2 } t)$

**unfolding**  $\text{tmBody1-def}$  **by** (*tform tps: jk tpsL-def tpsL1-def assms(1) time: assms(2)*)



lemma *tmBody*:

assumes  $t < \text{nlength } x$   
and  $\text{tnt} = 6 + 2 * \text{nlength } (\text{prod}' x y t) + (12 + 3 * \text{nlength } (x * y))$   
shows *transforms tmBody* (tpsL t) tnt (tpsL (Suc t))  
unfolding *tmBody-def* by (tform tps: jk tpsL-def tpsL2-def assms(1) time: assms(2))

lemma *tmBody'* [transforms-intros]:

assumes  $t < \text{nlength } x$  and  $\text{tnt} = 18 + 5 * \text{nlength } (x * y)$   
shows *transforms tmBody* (tpsL t) tnt (tpsL (Suc t))

proof –

have  $6 + 2 * \text{nlength } (\text{prod}' x y t) + (12 + 3 * \text{nlength } (x * y)) \leq 18 + 5 * \text{nlength } (x * y)$   
using *assms nlength-prod'-le-prod* by *simp*  
then show ?thesis  
using *tmBody assms transforms-monotone* by *blast*

qed

lemma *read-contents*:

fixes *tps* :: *tape list* and *j* :: *tapeidx* and *zs* :: *symbol list*  
assumes  $\text{tps} ! j = (\lfloor \text{zs} \rfloor, i)$  and  $i > 0$  and  $i \leq \text{length } \text{tps}$  and  $j < \text{length } \text{tps}$   
shows *read tps* !  $j = \text{zs} ! (i - 1)$   
using *assms tapes-at-read'* by *fastforce*

lemma *tmWhile* [transforms-intros]:

assumes  $\text{tnt} = 1 + 25 * (\text{nlength } x + \text{nlength } y) * (\text{nlength } x + \text{nlength } y)$   
shows *transforms tmWhile* (tpsL 0) tnt (tpsL (nlength x))  
unfolding *tmWhile-def*

proof (tform)

show *read (tpsL i) ! j1*  $\neq \triangleright$  if  $i < \text{nlength } x$  for *i*

proof –

have  $(\text{tpsL } i) ! j1 = (\lfloor x \rfloor_N, \text{nlength } x - i)$   
using *tpsL-def jk* by *simp*  
moreover have  $*$ :  $\text{nlength } x - i > 0$   $\text{nlength } x - i \leq \text{length } (\text{canrepr } x)$   
using *that* by *simp-all*  
moreover have  $\text{length } (\text{tpsL } i) = k$   
using *tpsL-def jk* by *simp*  
ultimately have *read (tpsL i) ! j1*  $= \text{canrepr } x ! (\text{nlength } x - i - 1)$   
using *jk read-contents* by *simp*  
then show ?thesis  
using  $*$  *bit-symbols-canrepr*  
by (*metis One-nat-def Suc-le-lessD Suc-pred less-numeral-extra(4) proper-symbols-canrepr*)

qed

show  $\neg \text{read } (\text{tpsL } (\text{nlength } x)) ! j1 \neq \triangleright$

proof –

have  $(\text{tpsL } (\text{nlength } x)) ! j1 = (\lfloor x \rfloor_N, \text{nlength } x - \text{nlength } x)$   
using *tpsL-def jk* by *simp*  
then have  $(\text{tpsL } (\text{nlength } x)) ! j1 = (\lfloor x \rfloor_N, 0)$   
by *simp*  
then have *read (tpsL (nlength x)) ! j1*  $= \triangleright$   
using *tapes-at-read' tpsL-def contents-at-0 jk* by (*metis fst-conv length-list-update snd-conv*)  
then show ?thesis  
by *simp*

qed

show  $\text{nlength } x * (18 + 5 * \text{nlength } (x * y) + 2) + 1 \leq \text{tnt}$

proof (cases  $x = 0$ )

case *True*

then show ?thesis

using *assms* by *simp*

next

case *False*

have  $\text{nlength } x * (18 + 5 * \text{nlength } (x * y) + 2) + 1 = \text{nlength } x * (20 + 5 * \text{nlength } (x * y)) + 1$

by *simp*

also have  $\dots \leq \text{nlength } x * (20 + 5 * (\text{nlength } x + \text{nlength } y)) + 1$

```

    using nlength-prod by (meson add-mono le-refl mult-le-mono)
  also have ... ≤ nlength x * (20 * (nlength x + nlength y) + 5 * (nlength x + nlength y)) + 1
  proof -
    have 1 ≤ nlength x + nlength y
      using False nlength-0 by (simp add: Suc-leI)
    then show ?thesis
      by simp
  qed
  also have ... ≤ nlength x * (25 * (nlength x + nlength y)) + 1
    by simp
  also have ... ≤ (nlength x + nlength y) * (25 * (nlength x + nlength y)) + 1
    by simp
  finally show ?thesis
    using assms by linarith
  qed
  qed

```

lemma *tm3*:

```

  assumes ttt = Suc (Suc (nlength x)) +
    Suc ((25 * nlength x + 25 * nlength y) * (nlength x + nlength y))
  shows transforms tm3 tps0 ttt (tpsL (nlength x))
  unfolding tm3-def
  proof (tform time: assms)
    show tpsL 0 = tps2
  proof -
    have prod' x y 0 = 0
      using prod'-def by simp
    then show ?thesis
      using tpsL-def tps2-def jk tps0 by (metis diff-zero list-update-id list-update-swap)
  qed
  qed

```

definition *tps3* ≡ *tps0*

```

[j1 := ([x]N, 0),
j3 := ([x * y]N, 1)]

```

lemma *tm3'* [*transforms-intros*]:

```

  assumes ttt = 3 + 26 * (nlength x + nlength y) * (nlength x + nlength y)
  shows transforms tm3 tps0 ttt tps3
  proof -
    have Suc (Suc (nlength x)) + Suc ((25 * nlength x + 25 * nlength y) * (nlength x + nlength y)) ≤
      Suc (Suc (nlength x + nlength y)) + Suc ((25 * nlength x + 25 * nlength y) * (nlength x + nlength y))
    by simp
    also have ... ≤ 2 + (nlength x + nlength y) * (nlength x + nlength y) + 1 +
      25 * (nlength x + nlength y) * (nlength x + nlength y)
    by (simp add: le-square)
    also have ... = 3 + 26 * (nlength x + nlength y) * (nlength x + nlength y)
    by linarith
    finally have Suc (Suc (nlength x)) + Suc ((25 * nlength x + 25 * nlength y) * (nlength x + nlength y)) ≤
      3 + 26 * (nlength x + nlength y) * (nlength x + nlength y) .
    moreover have tps3 = tpsL (nlength x)
      using tps3-def tpsL-def by (simp add: prod')
    ultimately show ?thesis
      using tm3 assms transforms-monotone by simp
  qed
  qed

```

definition *tps4* ≡ *tps0*

```

[j3 := ([x * y]N, 1)]

```

lemma *tm4*:

```

  assumes ttt = 4 + 26 * (nlength x + nlength y) * (nlength x + nlength y)
  shows transforms tm4 tps0 ttt tps4
  unfolding tm4-def

```

```

proof (tform tps: tps3-def jk time: assms)
  show tps4 = tps3[j1 := tps3 ! j1 | + | 1]
  using tps4-def tps3-def jk tps0
  by (metis One-nat-def add.right-neutral add-Suc-right fst-conv list-update-id list-update-overwrite
    list-update-swap nth-list-update-eq nth-list-update-neq snd-conv)
qed

end

end

```

```

lemma transforms-tm-mult [transforms-intros]:
  fixes j1 j2 j3 :: tapeidx and x y k ttt :: nat and tps tps' :: tape list
  assumes j1 ≠ j2 j2 ≠ j3 j3 ≠ j1 j3 > 0
  assumes length tps = k
    and j1 < k j2 < k j3 < k
    and tps ! j1 = (⌊x⌋N, 1)
    and tps ! j2 = (⌊y⌋N, 1)
    and tps ! j3 = (⌊0⌋N, 1)
    and ttt = 4 + 26 * (nlength x + nlength y) * (nlength x + nlength y)
    and tps' = tps [j3 := (⌊x * y⌋N, 1)]
  shows transforms (tm-mult j1 j2 j3) tps ttt tps'
proof –
  interpret loc: turing-machine-mult j1 j2 j3 .
  show ?thesis
  using assms loc.tps4-def loc.tm4 loc.tm4-eq-tm-mult by metis
qed

```

## 2.7.6 Powers

In this section we construct for every  $d \in \mathbb{N}$  a Turing machine that computes  $n^d$ . The following TMs expect a number  $n$  on tape  $j_1$  and output  $n^d$  on tape  $j_3$ . Another tape,  $j_2$ , is used as scratch space to hold intermediate values. The TMs initialize tape  $j_3$  with 1 and then multiply this value by  $n$  for  $d$  times using the TM *tm-mult*.

```

fun tm-pow :: nat ⇒ tapeidx ⇒ tapeidx ⇒ tapeidx ⇒ machine where
  tm-pow 0 j1 j2 j3 = tm-setn j3 1 |
  tm-pow (Suc d) j1 j2 j3 =
    tm-pow d j1 j2 j3 ;; (tm-copyn j3 j2 ;; tm-setn j3 0 ;; tm-mult j1 j2 j3 ;; tm-setn j2 0)

```

```

lemma tm-pow-tm:
  assumes j1 ≠ j2 j2 ≠ j3 j3 ≠ j1
    and 0 < j2 0 < j3 0 < j1
  assumes j1 < k j2 < k j3 < k
    and k ≥ 2
    and G ≥ 4
  shows turing-machine k G (tm-pow d j1 j2 j3)
  using assms tm-copyn-tm tm-setn-tm tm-mult-tm by (induction d) simp-all

```

```

locale turing-machine-pow =
  fixes j1 j2 j3 :: tapeidx
begin

```

```

definition tm1 ≡ tm-copyn j3 j2 ;; tm-setn j3 0
definition tm2 ≡ tm1 ;; tm-mult j1 j2 j3
definition tm3 ≡ tm2 ;; tm-setn j2 0

```

```

fun tm4 :: nat ⇒ machine where
  tm4 0 = tm-setn j3 1 |
  tm4 (Suc d) = tm4 d ;; tm3

```

```

lemma tm4-eq-tm-pow: tm4 d = tm-pow d j1 j2 j3
  using tm3-def tm2-def tm1-def by (induction d) simp-all

```

**context**

**fixes**  $x y k :: \text{nat}$  **and**  $\text{tps0} :: \text{tape list}$   
**assumes**  $\text{jk}: k = \text{length tps0 } j1 < k \ j2 < k \ j3 < k$   
 $j1 \neq j2 \ j2 \neq j3 \ j3 \neq j1$   
 $0 < j2 \ 0 < j3 \ 0 < j1$   
**assumes**  $\text{tps0}$ :  
 $\text{tps0} ! j1 = (\lfloor x \rfloor_N, 1)$   
 $\text{tps0} ! j2 = (\lfloor 0 \rfloor_N, 1)$   
 $\text{tps0} ! j3 = (\lfloor y \rfloor_N, 1)$

**begin**

**definition**  $\text{tps1} \equiv \text{tps0}$

$[j2 := (\lfloor y \rfloor_N, 1), j3 := (\lfloor 0 \rfloor_N, 1)]$

**lemma**  $\text{tm1}$  [*transforms-intros*]:

**assumes**  $\text{tnt} = 24 + 5 * \text{nlength } y$

**shows** *transforms tm1 tps0 tnt tps1*

**unfolding**  $\text{tm1-def}$

**proof** (*tform tps: assms jk tps0 tps1-def*)

**show**  $\text{tnt} = 14 + 3 * (\text{nlength } y + \text{nlength } 0) + (10 + 2 * \text{nlength } y + 2 * \text{nlength } 0)$

**using** *assms* **by** *simp*

**qed**

**definition**  $\text{tps2} \equiv \text{tps0}$

$[j2 := (\lfloor y \rfloor_N, 1),$

$j3 := (\lfloor x * y \rfloor_N, 1)]$

**lemma**  $\text{tm2}$  [*transforms-intros*]:

**assumes**  $\text{tnt} = 28 + 5 * \text{nlength } y + (26 * \text{nlength } x + 26 * \text{nlength } y) * (\text{nlength } x + \text{nlength } y)$

**shows** *transforms tm2 tps0 tnt tps2*

**unfolding**  $\text{tm2-def}$

**proof** (*tform tps: jk tps1-def time: assms*)

**show**  $\text{tps1} ! j1 = (\lfloor x \rfloor_N, 1)$

**using**  $\text{jk tps0 tps1-def}$  **by** *simp*

**show**  $\text{tps2} = \text{tps1}[j3 := (\lfloor x * y \rfloor_N, 1)]$

**using**  $\text{tps2-def tps1-def}$  **by** *simp*

**qed**

**definition**  $\text{tps3} \equiv \text{tps0}$

$[j3 := (\lfloor x * y \rfloor_N, 1)]$

**lemma**  $\text{tm3}$ :

**assumes**  $\text{tnt} = 38 + 7 * \text{nlength } y + (26 * \text{nlength } x + 26 * \text{nlength } y) * (\text{nlength } x + \text{nlength } y)$

**shows** *transforms tm3 tps0 tnt tps3*

**unfolding**  $\text{tm3-def}$

**proof** (*tform tps: jk tps2-def time: assms*)

**show**  $\text{tps3} = \text{tps2}[j2 := (\lfloor 0 \rfloor_N, 1)]$

**using**  $\text{tps3-def tps2-def jk}$  **by** (*metis list-update-id list-update-overwrite list-update-swap tps0(2)*)

**qed**

**lemma**  $\text{tm3}'$ :

**assumes**  $\text{tnt} = 38 + 33 * (\text{nlength } x + \text{nlength } y) ^ 2$

**shows** *transforms tm3 tps0 tnt tps3*

**proof** –

**have**  $38 + 7 * \text{nlength } y + (26 * \text{nlength } x + 26 * \text{nlength } y) * (\text{nlength } x + \text{nlength } y) =$

$38 + 7 * \text{nlength } y + 26 * (\text{nlength } x + \text{nlength } y) * (\text{nlength } x + \text{nlength } y)$

**by** *simp*

**also have**  $\dots \leq 38 + 33 * (\text{nlength } x + \text{nlength } y) * (\text{nlength } x + \text{nlength } y)$

**proof** –

**have**  $\text{nlength } y \leq (\text{nlength } x + \text{nlength } y) * (\text{nlength } x + \text{nlength } y)$

**by** (*meson le-add2 le-square le-trans*)

**then show** *?thesis*

**by** *linarith*

```

qed
also have ... = 38 + 33 * (nlength x + nlength y) ^ 2
  by algebra
finally have 38 + 7 * nlength y + (26 * nlength x + 26 * nlength y) * (nlength x + nlength y) ≤ ttt
  using assms(1) by simp
then show ?thesis
  using tm3 transforms-monotone assms by meson
qed

```

end

lemma tm3'' [transforms-intros]:

```

fixes x d k :: nat and tps0 :: tape list
assumes k = length tps0
  and j1 < k j2 < k j3 < k
assumes j-neq [simp]: j1 ≠ j2 j2 ≠ j3 j3 ≠ j1
  and j-gt [simp]: 0 < j2 0 < j3 0 < j1
  and tps0 ! j1 = (⌊x⌋N, 1)
  and tps0 ! j2 = (⌊0⌋N, 1)
  and tps0 ! j3 = (⌊x ^ d⌋N, 1)
  and ttt = 71 + 99 * (Suc d) ^ 2 * (nlength x) ^ 2
  and tps' = tps0 [j3 := (⌊x ^ Suc d⌋N, 1)]
shows transforms tm3 tps0 ttt tps'
proof -
  let ?l = nlength x
  have transforms tm3 tps0 (38 + 33 * (nlength x + nlength (x ^ d)) ^ 2) tps'
    using tm3' assms tps3-def by simp
  moreover have 38 + 33 * (nlength x + nlength (x ^ d)) ^ 2 ≤ 71 + 99 * (Suc d) ^ 2 * ?l ^ 2
  proof -
    have 38 + 33 * (nlength x + nlength (x ^ d)) ^ 2 ≤ 38 + 33 * (Suc (Suc d * ?l)) ^ 2
      using nlength-pow by simp
    also have ... = 38 + 33 * ((Suc d * ?l) ^ 2 + 2 * (Suc d * ?l) * 1 + 1 ^ 2)
      by (metis Suc-eq-plus1 add-Suc one-power2 power2-sum)
    also have ... = 38 + 33 * ((Suc d * ?l) ^ 2 + 2 * (Suc d * ?l) + 1)
      by simp
    also have ... ≤ 38 + 33 * ((Suc d * ?l) ^ 2 + 2 * (Suc d * ?l) ^ 2 + 1)
  proof -
    have (Suc d * ?l) ≤ (Suc d * ?l) ^ 2
      by (simp add: le-square power2-eq-square)
    then show ?thesis
      by simp
  qed
  qed
  also have ... ≤ 38 + 33 * (3 * (Suc d * ?l) ^ 2 + 1)
    by simp
  also have ... = 38 + 33 * (3 * (Suc d) ^ 2 * ?l ^ 2 + 1)
    by algebra
  also have ... = 71 + 99 * (Suc d) ^ 2 * ?l ^ 2
    by simp
  finally show ?thesis .
qed
ultimately show ?thesis
  using transforms-monotone assms(14) by blast
qed

```

context

```

fixes x k :: nat and tps0 :: tape list
assumes jk: j1 < k j2 < k j3 < k j1 ≠ j2 j2 ≠ j3 j3 ≠ j1 0 < j2 0 < j3 0 < j1 k = length tps0
assumes tps0:
  tps0 ! j1 = (⌊x⌋N, 1)
  tps0 ! j2 = (⌊0⌋N, 1)
  tps0 ! j3 = (⌊0⌋N, 1)
begin

```

```

lemma tm4:
  fixes d :: nat
  assumes tps' = tps0 [j3 := ( $\lfloor x \wedge d \rfloor_N, 1$ )]
    and ttt =  $12 + 71 * d + 99 * d \wedge 3 * (\text{nlength } x) \wedge 2$ 
  shows transforms (tm4 d) tps0 ttt tps'
  using assms
proof (induction d arbitrary: tps' ttt)
  case 0
  have tm4 0 = tm-setn j3 1
    by simp
  let ?tps = tps0 [j3 := ( $\lfloor 1 \rfloor_N, 1$ )]
  let ?t =  $10 + 2 * \text{nlength } 1$ 
  have transforms (tm-setn j3 1) tps0 ?t ?tps
    using transforms-tm-setnI[of j3 tps0 0 ?t 1 ?tps] jk tps0 by simp
  then have transforms (tm-setn j3 1) tps0 ?t tps'
    using 0 by simp
  then show ?case
    using 0 nlength-1-simp by simp
next
  case (Suc d)
  note Suc.IH [transforms-intros]

  let ?l = nlength x
  have tm4 (Suc d) = tm4 d ;; tm3
    by simp
  define t where
    t =  $12 + 71 * d + 99 * d \wedge 3 * (\text{nlength } x)^2 + (71 + 99 * (\text{Suc } d)^2 * (\text{nlength } x)^2)$ 
  have transforms (tm4 d ;; tm3) tps0 t tps'
    by (tform tps: jk tps0 Suc.prem1 time: t-def)
  moreover have  $t \leq 12 + 71 * \text{Suc } d + 99 * \text{Suc } d \wedge 3 * ?l^2$ 
  proof -
    have  $t = 12 + d * 71 + 99 * d \wedge 3 * ?l^2 + (71 + 99 * (\text{Suc } d)^2 * ?l^2)$ 
      using t-def by simp
    also have  $\dots = 12 + \text{Suc } d * 71 + 99 * d \wedge 3 * ?l^2 + 99 * (\text{Suc } d)^2 * ?l^2$ 
      by simp
    also have  $\dots = 12 + \text{Suc } d * 71 + 99 * ?l^2 * (d \wedge 3 + (\text{Suc } d)^2)$ 
      by algebra
    also have  $\dots \leq 12 + \text{Suc } d * 71 + 99 * ?l^2 * \text{Suc } d \wedge 3$ 
  proof -
    have  $\text{Suc } d \wedge 3 = \text{Suc } d * \text{Suc } d \wedge 2$ 
      by algebra
    also have  $\dots = \text{Suc } d * (d \wedge 2 + 2 * d + 1)$ 
      by (metis (no-types, lifting) Suc-1 add.commute add-Suc mult-2 one-power2 plus-1-eq-Suc power2-sum)
    also have  $\dots = (d + 1) * (d \wedge 2 + 2 * d + 1)$ 
      by simp
    also have  $\dots = d \wedge 3 + 2 * d \wedge 2 + d + d \wedge 2 + 2 * d + 1$ 
      by algebra
    also have  $\dots = d \wedge 3 + (d + 1) \wedge 2 + 2 * d \wedge 2 + d$ 
      by algebra
    also have  $\dots \geq d \wedge 3 + (d + 1) \wedge 2$ 
      by simp
    finally have  $\text{Suc } d \wedge 3 \geq d \wedge 3 + \text{Suc } d \wedge 2$ 
      by simp
    then show ?thesis
      by simp
  qed
  also have  $\dots = 12 + 71 * \text{Suc } d + 99 * \text{Suc } d \wedge 3 * ?l^2$ 
    by simp
  finally show ?thesis .
qed
ultimately show ?case
  using transforms-monotone Suc by simp
qed

```

end

end

**lemma** *transforms-tm-pow* [*transforms-intros*]:

**fixes**  $d :: \text{nat}$

**assumes**  $j1 \neq j2 \ j2 \neq j3 \ j3 \neq j1 \ 0 < j2 \ 0 < j3 \ 0 < j1 \ j1 < k \ j2 < k \ j3 < k \ k = \text{length } tps$

**assumes**

$tps ! j1 = (\lfloor x \rfloor_N, 1)$

$tps ! j2 = (\lfloor 0 \rfloor_N, 1)$

$tps ! j3 = (\lfloor 0 \rfloor_N, 1)$

**assumes**  $ttt = 12 + 71 * d + 99 * d^3 * (\text{nlength } x)^2$

**assumes**  $tps' = tps [j3 := (\lfloor x^d \rfloor_N, 1)]$

**shows** *transforms* (*tm-pow*  $d \ j1 \ j2 \ j3$ )  $tps \ ttt \ tps'$

**proof** –

**interpret** *loc*: *turing-machine-pow*  $j1 \ j2 \ j3$  .

**show** *?thesis*

**using** *assms loc.tm4-eq-tm-pow loc.tm4* **by** *metis*

qed

## 2.7.7 Monomials

A monomial is a power multiplied by a constant coefficient. The following Turing machines have parameters  $c$  and  $d$  and expect a number  $x$  on tape  $j$ . They output  $c \cdot x^d$  on tape  $j + 3$ . The tapes  $j + 1$  and  $j + 2$  are scratch space for use by *tm-pow* and *tm-mult*.

**definition** *tm-monomial*  $:: \text{nat} \Rightarrow \text{nat} \Rightarrow \text{tapeidx} \Rightarrow \text{machine}$  **where**

*tm-monomial*  $c \ d \ j \equiv$

*tm-pow*  $d \ j \ (j + 1) \ (j + 2) \ ;;$

*tm-setn*  $(j + 1) \ c \ ;;$

*tm-mult*  $(j + 1) \ (j + 2) \ (j + 3) \ ;;$

*tm-setn*  $(j + 1) \ 0 \ ;;$

*tm-setn*  $(j + 2) \ 0$

**lemma** *tm-monomial-tm*:

**assumes**  $k \geq 2$  **and**  $G \geq 4$  **and**  $j + 3 < k$  **and**  $0 < j$

**shows** *turing-machine*  $k \ G \ (\text{tm-monomial } c \ d \ j)$

**unfolding** *tm-monomial-def*

**using** *assms tm-setn-tm tm-mult-tm tm-pow-tm turing-machine-sequential-turing-machine*

**by** *simp*

**locale** *turing-machine-monomial* =

**fixes**  $c \ d :: \text{nat}$  **and**  $j :: \text{tapeidx}$

**begin**

**definition**  $tm1 \equiv \text{tm-pow } d \ j \ (j + 1) \ (j + 2)$

**definition**  $tm2 \equiv tm1 \ ;; \text{tm-setn } (j + 1) \ c$

**definition**  $tm3 \equiv tm2 \ ;; \text{tm-mult } (j + 1) \ (j + 2) \ (j + 3)$

**definition**  $tm4 \equiv tm3 \ ;; \text{tm-setn } (j + 1) \ 0$

**definition**  $tm5 \equiv tm4 \ ;; \text{tm-setn } (j + 2) \ 0$

**lemma** *tm5-eq-tm-monomial*:  $tm5 = \text{tm-monomial } c \ d \ j$

**unfolding** *tm1-def tm2-def tm3-def tm4-def tm5-def tm-monomial-def* **by** *simp*

**context**

**fixes**  $x \ k :: \text{nat}$  **and**  $tps0 :: \text{tape list}$

**assumes**  $jk: k = \text{length } tps0 \ j + 3 < k \ 0 < j$

**assumes**  $tps0$ :

$tps0 ! j = (\lfloor x \rfloor_N, 1)$

$tps0 ! (j + 1) = (\lfloor 0 \rfloor_N, 1)$

$tps0 ! (j + 2) = (\lfloor 0 \rfloor_N, 1)$

$tps0 ! (j + 3) = (\lfloor 0 \rfloor_N, 1)$

**begin**

**definition**  $tps1 \equiv tps0 [(j + 2) := (\lfloor x \wedge d \rfloor_N, 1)]$

**lemma**  $tm1$  [transforms-intros]:

**assumes**  $ttt = 12 + 71 * d + 99 * d \wedge 3 * (nlength\ x) \wedge 2$

**shows** *transforms*  $tm1\ tps0\ ttt\ tps1$

**unfolding**  $tm1-def$  **by** (*tform*  $tps$ : *assms*  $tps0\ jk\ tps1-def$ )

**definition**  $tps2 \equiv tps0$

$[j + 2 := (\lfloor x \wedge d \rfloor_N, 1),$

$j + 1 := (\lfloor c \rfloor_N, 1)]$

**lemma**  $tm2$  [transforms-intros]:

**assumes**  $ttt = 22 + 71 * d + 99 * d \wedge 3 * (nlength\ x)^2 + 2 * nlength\ c$

**shows** *transforms*  $tm2\ tps0\ ttt\ tps2$

**unfolding**  $tm2-def$

**proof** (*tform*  $tps$ : *assms*  $tps0\ jk\ tps2-def\ tps1-def$ )

**show**  $ttt = 12 + 71 * d + 99 * d \wedge 3 * (nlength\ x)^2 + (10 + 2 * nlength\ 0 + 2 * nlength\ c)$

**using** *assms*(1) **by** *simp*

**qed**

**definition**  $tps3 \equiv tps0$

$[j + 2 := (\lfloor x \wedge d \rfloor_N, 1),$

$j + 1 := (\lfloor c \rfloor_N, 1),$

$j + 3 := (\lfloor c * x \wedge d \rfloor_N, 1)]$

**lemma**  $tm3$  [transforms-intros]:

**assumes**  $ttt = 26 + 71 * d + 99 * d \wedge 3 * (nlength\ x)^2 + 2 * nlength\ c +$   
 $26 * (nlength\ c + nlength\ (x \wedge d)) \wedge 2$

**shows** *transforms*  $tm3\ tps0\ ttt\ tps3$

**unfolding**  $tm3-def$

**proof** (*tform*  $tps$ :  $tps2-def\ tps3-def\ tps0\ jk$ )

**show**  $ttt = 22 + 71 * d + 99 * d \wedge 3 * (nlength\ x)^2 + 2 * nlength\ c +$

$(4 + 26 * (nlength\ c + nlength\ (x \wedge d)) * (nlength\ c + nlength\ (x \wedge d)))$

**using** *assms* **by** *algebra*

**qed**

**definition**  $tps4 \equiv tps0$

$[j + 2 := (\lfloor x \wedge d \rfloor_N, 1),$

$j + 3 := (\lfloor c * x \wedge d \rfloor_N, 1)]$

**lemma**  $tm4$  [transforms-intros]:

**assumes**  $ttt = 36 + 71 * d + 99 * d \wedge 3 * (nlength\ x)^2 + 4 * nlength\ c +$   
 $26 * (nlength\ c + nlength\ (x \wedge d))^2$

**shows** *transforms*  $tm4\ tps0\ ttt\ tps4$

**unfolding**  $tm4-def$

**proof** (*tform*  $tps$ :  $tps4-def\ tps3-def\ tps0\ jk$  *time*: *assms*)

**show**  $tps4 = tps3[j + 1 := (\lfloor 0 \rfloor_N, 1)]$

**unfolding**  $tps4-def\ tps3-def$

**using**  $jk\ tps0(2)$  *list-update-id*[of  $tps0\ Suc\ j$ ] **by** (*simp* *add*: *list-update-swap*)

**qed**

**definition**  $tps5 \equiv tps0$

$[j + 3 := (\lfloor c * x \wedge d \rfloor_N, 1)]$

**lemma**  $tm5$ :

**assumes**  $ttt = 46 + 71 * d + 99 * d \wedge 3 * (nlength\ x)^2 + 4 * nlength\ c +$   
 $26 * (nlength\ c + nlength\ (x \wedge d))^2 +$

$(2 * nlength\ (x \wedge d))$

**shows** *transforms*  $tm5\ tps0\ ttt\ tps5$

**unfolding**  $tm5-def$

**proof** (*tform*  $tps$ :  $tps5-def\ tps4-def\ jk$  *time*: *assms*)

**show**  $tps5 = tps4[j + 2 := (\lfloor 0 \rfloor_N, 1)]$



```

    unfolding tps5-def tps4-def
    using jk tps0 list-update-id[of tps0 Suc (Suc j)]
    by (simp add: list-update-swap)
qed

lemma tm5':
  assumes ttt = 46 + 71 * d + 99 * d ^ 3 * (nlength x)^2 + 32 * (nlength c + nlength (x ^ d))^2
  shows transforms tm5 tps0 ttt tps5
proof -
  let ?t = 46 + 71 * d + 99 * d ^ 3 * (nlength x)^2 + 4 * nlength c +
    26 * (nlength c + nlength (x ^ d))^2 + (2 * nlength (x ^ d))
  have ?t ≤ 46 + 71 * d + 99 * d ^ 3 * (nlength x)^2 + 4 * nlength c +
    28 * (nlength c + nlength (x ^ d))^2
  proof -
    have 2 * nlength (x ^ d) ≤ 2 * (nlength c + nlength (x ^ d))^2
      by (meson add-leE eq-imp-le mult-le-mono2 power2-nat-le-imp-le)
    then show ?thesis
      by simp
  qed
  also have ... ≤ 46 + 71 * d + 99 * d ^ 3 * (nlength x)^2 + 32 * (nlength c + nlength (x ^ d))^2
  proof -
    have 4 * nlength c ≤ 4 * (nlength c + nlength (x ^ d))^2
      by (simp add: power2-nat-le-eq-le power2-nat-le-imp-le)
    then show ?thesis
      by simp
  qed
  also have ... = ttt
    using assms(1) by simp
  finally have ?t ≤ ttt .
  then show ?thesis
    using assms transforms-monotone tm5 by blast
qed

end

end

```

```

lemma transforms-tm-monomialI [transforms-intros]:
  fixes ttt x k :: nat and tps tps' :: tape list and j :: tapeidx
  assumes j > 0 and j + 3 < k and k = length tps
  assumes
    tps ! j = ([x]N, 1)
    tps ! (j + 1) = ([0]N, 1)
    tps ! (j + 2) = ([0]N, 1)
    tps ! (j + 3) = ([0]N, 1)
  assumes ttt = 46 + 71 * d + 99 * d ^ 3 * (nlength x)^2 + 32 * (nlength c + nlength (x ^ d))^2
  assumes tps' = tps[j + 3 := ([c * x ^ d]N, 1)]
  shows transforms (tm-monomial c d j) tps ttt tps'
proof -
  interpret loc: turing-machine-monomial c d j .
  show ?thesis
    using loc.tm5-eq-tm-monomial loc.tm5' loc.tps5-def assms by simp
qed

```

## 2.7.8 Polynomials

A polynomial is a sum of monomials. In this section we construct for every polynomial function  $p$  a Turing machine that on input  $x \in \mathbb{N}$  outputs  $p(x)$ .

According to our definition of polynomials (see Section 2.1.4), we can represent each polynomial by a list of coefficients. The value of such a polynomial with coefficient list  $cs$  on input  $x$  is given by the next function. In the following definition, the coefficients of the polynomial are in reverse order, which simplifies the Turing machine later.

**definition** *polyvalue* :: nat list ⇒ nat ⇒ nat **where**

*polyvalue cs x* ≡ (∑ i←[0..<length cs]. rev cs ! i \* x ^ i)

**lemma** *polyvalue-Nil*: *polyvalue [] x = 0*

**using** *polyvalue-def* **by** *simp*

**lemma** *sum-upt-snoc*: (∑ i←[0..<length (zs @ [z])]. (zs @ [z]) ! i \* x ^ i) =  
(∑ i←[0..<length zs]. zs ! i \* x ^ i) + z \* x ^ (length zs)

**by** *simp* (*smt* (*verit*, *ccfv-SIG*) *length-map less-diff-conv map-equality-iff map-nth nth-append nth-upt zero-less-diff*)

**lemma** *polyvalue-Cons*: *polyvalue (c # cs) x = c \* x ^ (length cs) + polyvalue cs x*

**proof** –

**have** *polyvalue (c # cs) x* = (∑ i←[0..<Suc (length cs)]. (rev cs @ [c]) ! i \* x ^ i)

**using** *polyvalue-def* **by** *simp*

**also have** ... = (∑ i←[0..<length (rev cs @ [c])]. (rev cs @ [c]) ! i \* x ^ i)

**by** *simp*

**also have** ... = (∑ i←[0..<length (rev cs)]. (rev cs) ! i \* x ^ i) + c \* x ^ (length (rev cs))

**using** *sum-upt-snoc* **by** *blast*

**also have** ... = (∑ i←[0..<length cs]. (rev cs) ! i \* x ^ i) + c \* x ^ (length cs)

**by** *simp*

**finally show** *?thesis*

**using** *polyvalue-def* **by** *simp*

**qed**

**lemma** *polyvalue-Cons-ge*: *polyvalue (c # cs) x ≥ polyvalue cs x*

**using** *polyvalue-Cons* **by** *simp*

**lemma** *polyvalue-Cons-ge2*: *polyvalue (c # cs) x ≥ c \* x ^ (length cs)*

**using** *polyvalue-Cons* **by** *simp*

**lemma** *sum-list-const*: (∑ ←ns. c) = c \* length ns

**using** *sum-list-triv*[of c ns] **by** *simp*

**lemma** *polyvalue-le*: *polyvalue cs x ≤ Max (set cs) \* length cs \* Suc x ^ length cs*

**proof** –

**define** *cmax* **where** *cmax = Max (set (rev cs))*

**have** *polyvalue cs x* = (∑ i←[0..<length cs]. rev cs ! i \* x ^ i)

**using** *polyvalue-def* **by** *simp*

**also have** ... ≤ (∑ i←[0..<length cs]. *cmax* \* x ^ i)

**proof** –

**have** *rev cs ! i ≤ cmax* **if** *i < length cs* **for** *i*

**using** *that* **by** (*metis List.finite-set Max-ge length-rev nth-mem*)

**then show** *?thesis*

**by** (*metis* (*no-types*, *lifting*) *atLeastLessThan-iff mult-le-mono1 set-upt sum-list-mono*)

**qed**

**also have** ... = *cmax* \* (∑ i←[0..<length cs]. x ^ i)

**using** *sum-list-const-mult* **by** *blast*

**also have** ... ≤ *cmax* \* (∑ i←[0..<length cs]. *Suc x* ^ i)

**by** (*simp add: power-mono sum-list-mono*)

**also have** ... ≤ *cmax* \* (∑ i←[0..<length cs]. *Suc x* ^ length cs)

**proof** –

**have** *Suc x* ^ i ≤ *Suc x* ^ length cs **if** *i < length cs* **for** *i*

**using** *that* **by** (*simp add: dual-order.strict-implies-order pow-mono*)

**then show** *?thesis*

**by** (*metis atLeastLessThan-iff mult-le-mono2 set-upt sum-list-mono*)

**qed**

**also have** ... = *cmax* \* length cs \* *Suc x* ^ length cs

**using** *sum-list-const*[of - [0..<length cs]] **by** *simp*

**finally have** *polyvalue cs x ≤ cmax \* length cs \* Suc x ^ length cs* .

**moreover have** *cmax = Max (set cs)*

**using** *cmax-def* **by** *simp*

**ultimately show** *?thesis*

**by** *simp*

qed

**lemma** *nlength-polyvalue*:

$nlength (polyvalue\ cs\ x) \leq nlength (Max (set\ cs)) + nlength (length\ cs) + Suc (length\ cs * nlength (Suc\ x))$

**proof** –

**have**  $nlength (polyvalue\ cs\ x) \leq nlength (Max (set\ cs) * length\ cs * Suc\ x \wedge length\ cs)$

**using** *polyvalue-le nlength-mono* **by** *simp*

**also have**  $\dots \leq nlength (Max (set\ cs) * length\ cs) + nlength (Suc\ x \wedge length\ cs)$

**using** *nlength-prod* **by** *simp*

**also have**  $\dots \leq nlength (Max (set\ cs)) + nlength (length\ cs) + Suc (length\ cs * nlength (Suc\ x))$

**by** (*meson add-mono nlength-pow nlength-prod*)

**finally show** *?thesis* .

qed

The following Turing machines compute polynomials given as lists of coefficients. If the polynomial is given by coefficients *cs*, the TM *tm-polycoef cs j* expect a number *n* on tape *j* and writes  $p(n)$  to tape  $j + 4$ . The tapes  $j + 1$ ,  $j + 2$ , and  $j + 3$  are auxiliary tapes for use by *tm-monomial*.

**fun** *tm-polycoef* :: *nat list*  $\Rightarrow$  *tapeidx*  $\Rightarrow$  *machine* **where**

*tm-polycoef* [] *j* = [] |

*tm-polycoef* (*c* # *cs*) *j* =

*tm-polycoef cs j* ;;

(*tm-monomial c (length cs) j* ;;

*tm-add (j + 3) (j + 4)* ;;

*tm-setn (j + 3) 0*)

**lemma** *tm-polycoef-tm*:

**assumes**  $k \geq 2$  **and**  $G \geq 4$  **and**  $j + 4 < k$  **and**  $0 < j$

**shows** *turing-machine k G (tm-polycoef cs j)*

**proof** (*induction cs*)

**case** *Nil*

**then show** *?case*

**by** (*simp add: assms(1) assms(2) turing-machine-def*)

**next**

**case** (*Cons c cs*)

**moreover have**

*turing-machine k G (tm-monomial c (length cs) j ;; tm-add (j + 3) (j + 4) ;; tm-setn (j + 3) 0)*

**using** *tm-monomial-tm tm-add-tm tm-setn-tm assms*

**by** *simp*

**ultimately show** *?case*

**by** *simp*

qed

**locale** *turing-machine-polycoef* =

**fixes** *j* :: *tapeidx*

**begin**

**definition** *tm1 c cs*  $\equiv$  *tm-monomial c (length cs) j*

**definition** *tm2 c cs*  $\equiv$  *tm1 c cs* ;; *tm-add (j + 3) (j + 4)*

**definition** *tm3 c cs*  $\equiv$  *tm2 c cs* ;; *tm-setn (j + 3) 0*

**fun** *tm4* :: *nat list*  $\Rightarrow$  *machine* **where**

*tm4* [] = [] |

*tm4* (*c* # *cs*) = *tm4 cs* ;; *tm3 c cs*

**lemma** *tm4-eq-tm-polycoef*: *tm4 zs* = *tm-polycoef zs j*

**proof** (*induction zs*)

**case** *Nil*

**then show** *?case*

**by** *simp*

**next**

**case** (*Cons z zs*)

**then show** *?case*

**by** (*simp add: tm1-def tm2-def tm3-def*)

qed

context

fixes  $x\ y\ k :: \text{nat}$  and  $tps0 :: \text{tape list}$   
fixes  $c :: \text{nat}$  and  $cs :: \text{nat list}$   
assumes  $jk: 0 < j\ j + 4 < k\ k = \text{length } tps0$   
assumes  $tps0$ :  
 $tps0 ! j = ([x]_N, 1)$   
 $tps0 ! (j + 1) = ([0]_N, 1)$   
 $tps0 ! (j + 2) = ([0]_N, 1)$   
 $tps0 ! (j + 3) = ([0]_N, 1)$   
 $tps0 ! (j + 4) = ([y]_N, 1)$

begin

abbreviation  $d \equiv \text{length } cs$

definition  $tps1 \equiv tps0$

$[j + 3 := ([c * x \wedge (\text{length } cs)]_N, 1)]$

lemma  $tm1$  [transforms-intros]:

assumes  $ttt = 46 + 71 * d + 99 * d \wedge 3 * (\text{nlength } x)^2 +$   
 $32 * (\text{nlength } c + \text{nlength } (x \wedge d))^2$   
shows  $\text{transforms } (tm1\ c\ cs)\ tps0\ ttt\ tps1$   
unfolding  $tm1\text{-def}$  by (tform tps: assms jk tps0 tps1-def)

definition  $tps2 = tps0$

$[j + 3 := ([c * x \wedge (\text{length } cs)]_N, 1),$   
 $j + 4 := ([c * x \wedge (\text{length } cs) + y]_N, 1)]$

lemma  $tm2$  [transforms-intros]:

assumes  $ttt = 46 + 71 * d + 99 * d \wedge 3 * (\text{nlength } x)^2 +$   
 $32 * (\text{nlength } c + \text{nlength } (x \wedge d))^2 +$   
 $(3 * \max (\text{nlength } (c * x \wedge d)) (\text{nlength } y) + 10)$   
shows  $\text{transforms } (tm2\ c\ cs)\ tps0\ ttt\ tps2$   
unfolding  $tm2\text{-def}$  by (tform tps: tps1-def tps2-def jk tps0 time: assms)

definition  $tps3 \equiv tps0$

$[j + 4 := ([c * x \wedge d + y]_N, 1)]$

lemma  $tm3$ :

assumes  $ttt = 66 + 71 * d + 99 * d \wedge 3 * (\text{nlength } x)^2 +$   
 $32 * (\text{nlength } c + \text{nlength } (x \wedge d))^2 +$   
 $3 * \max (\text{nlength } (c * x \wedge d)) (\text{nlength } y) +$   
 $2 * \text{nlength } (c * x \wedge d)$   
shows  $\text{transforms } (tm3\ c\ cs)\ tps0\ ttt\ tps3$   
unfolding  $tm3\text{-def}$

proof (tform tps: tps2-def tps3-def jk tps0 time: assms)

show  $tps3 = tps2[j + 3 := ([0]_N, 1)]$

using  $tps3\text{-def } tps2\text{-def } jk\ tps0$

by (smt (verit) One-nat-def add-2-eq-Suc add-left-cancel lessI less-numeral-extra(4) list-update-id  
list-update-overwrite list-update-swap numeral-3-eq-3 numeral-Bit0 plus-1-eq-Suc)

qed

definition  $tps3' \equiv tps0$

$[j + 4 := ([c * x \wedge \text{length } cs + y]_N, 1)]$

lemma  $tm3'$ :

assumes  $ttt = 66 + 71 * d + 99 * d \wedge 3 * (\text{nlength } x)^2 +$   
 $32 * (\text{nlength } c + \text{nlength } (x \wedge d))^2 +$   
 $5 * \max (\text{nlength } (c * x \wedge d)) (\text{nlength } y)$   
shows  $\text{transforms } (tm3'\ c\ cs)\ tps0\ ttt\ tps3'$

proof –

have  $66 + 71 * d + 99 * d \wedge 3 * (\text{nlength } x)^2 +$

```

    32 * (nlength c + nlength (x ^ d))^2 +
    3 * max (nlength (c * x ^ d)) (nlength y) +
    2 * nlength (c * x ^ d) ≤
    66 + 71 * d + 99 * d ^ 3 * (nlength x)^2 +
    32 * (nlength c + nlength (x ^ d))^2 +
    3 * max (nlength (c * x ^ d)) (nlength y) +
    2 * max (nlength (c * x ^ d)) (nlength y)
  by simp
also have ... = 66 + 71 * d + 99 * d ^ 3 * (nlength x)^2 +
    32 * (nlength c + nlength (x ^ d))^2 +
    5 * max (nlength (c * x ^ d)) (nlength y)
  by simp
finally have 66 + 71 * d + 99 * d ^ 3 * (nlength x)^2 +
    32 * (nlength c + nlength (x ^ d))^2 +
    3 * max (nlength (c * x ^ d)) (nlength y) +
    2 * nlength (c * x ^ d) ≤ ttt
  using assms(1) by simp
moreover have tps3' = tps3
  using tps3'-def tps3-def by simp
ultimately show ?thesis
  using tm3 transforms-monotone by simp
qed

```

end

lemma tm3'' [transforms-intros]:

```

  fixes c :: nat and cs :: nat list
  fixes x k :: nat and tps0 tps' :: tape list
  assumes k = length tps0 and j + 4 < k and 0 < j
  assumes
    tps0 ! j = ([x]N, 1)
    tps0 ! (j + 1) = ([0]N, 1)
    tps0 ! (j + 2) = ([0]N, 1)
    tps0 ! (j + 3) = ([0]N, 1)
    tps0 ! (j + 4) = ([polyvalue cs x]N, 1)
  assumes ttt = 66 +
    71 * (length cs) +
    99 * (length cs) ^ 3 * (nlength x)^2 +
    32 * (nlength c + nlength (x ^ (length cs)))^2 +
    5 * max (nlength (c * x ^ (length cs))) (nlength (polyvalue cs x))
  assumes tps' = tps0
    [j + 4 := ([polyvalue (c # cs) x]N, 1)]
  shows transforms (tm3 c cs) tps0 ttt tps'
  using assms tm3'[where ?y=polyvalue cs x] tps3'-def polyvalue-Cons by simp

```

lemma pow-le-pow-Suc:

```

  fixes a b :: nat
  shows a ^ b ≤ Suc a ^ Suc b
proof -
  have a ^ b ≤ Suc a ^ b
  by (simp add: power-mono)
then show ?thesis
  by simp
qed

```

lemma tm4:

```

  fixes x k :: nat and tps0 :: tape list
  fixes cs :: nat list
  assumes k = length tps0 and j + 4 < k and 0 < j
  assumes
    tps0 ! j = ([x]N, 1)
    tps0 ! (j + 1) = ([0]N, 1)
    tps0 ! (j + 2) = ([0]N, 1)

```

```

    tps0 ! (j + 3) = ([0]N, 1)
    tps0 ! (j + 4) = ([0]N, 1)
assumes ttt: ttt = length cs *
    (66 +
    71 * (length cs) +
    99 * (length cs) ^ 3 * (nlength x)2 +
    32 * (Max (set (map nlength cs)) + nlength (Suc x ^ length cs))2 +
    5 * nlength (polyvalue cs x))
shows transforms (tm4 cs) tps0 ttt (tps0[j + 4 := ([polyvalue cs x]N, 1)])
using ttt
proof (induction cs arbitrary: ttt)
  case Nil
  then show ?case
    using polyvalue-Nil transforms-Nil assms by (metis list.size(3) list-update-id mult-is-0 tm4.simps(1))
next
  case (Cons c cs)
  note Cons.IH [transforms-intros]

  have tm4def: tm4 (c # cs) = tm4 cs ;; tm3 c cs
    by simp

  let ?t1 = d cs *
    (66 + 71 * d cs + 99 * d cs ^ 3 * (nlength x)2 +
    32 * (Max (nlength ' set cs) + nlength (Suc x ^ d cs))2 +
    5 * nlength (polyvalue cs x))
  let ?t2 = 66 + 71 * d cs + 99 * d cs ^ 3 * (nlength x)2 +
    32 * (nlength c + nlength (x ^ d cs))2 +
    5 * max (nlength (c * x ^ d cs)) (nlength (polyvalue cs x))
  define t where t = ?t1 + ?t2
  have tm4: transforms (tm4 (c # cs)) tps0 t (tps0[j + 4 := ([polyvalue (c # cs) x]N, 1)])
    unfolding tm4def by (tform tps: assms t-def)

  have ?t1 ≤ d cs *
    (66 + 71 * d (c#cs) + 99 * d cs ^ 3 * (nlength x)2 +
    32 * (Max (nlength ' set cs) + nlength (Suc x ^ d cs))2 +
    5 * nlength (polyvalue cs x))
    by simp
  also have ... ≤ d cs *
    (66 + 71 * d (c#cs) + 99 * d (c#cs) ^ 3 * (nlength x)2 +
    32 * (Max (nlength ' set cs) + nlength (Suc x ^ d cs))2 +
    5 * nlength (polyvalue cs x))
    by simp
  also have ... ≤ d cs *
    (66 + 71 * d (c#cs) + 99 * d (c#cs) ^ 3 * (nlength x)2 +
    32 * (Max (nlength ' set (c#cs)) + nlength (Suc x ^ d cs))2 +
    5 * nlength (polyvalue cs x))
    by simp
  also have ... ≤ d cs *
    (66 + 71 * d (c#cs) + 99 * d (c#cs) ^ 3 * (nlength x)2 +
    32 * (Max (nlength ' set (c#cs)) + nlength (Suc x ^ d (c#cs)))2 +
    5 * nlength (polyvalue cs x))
    using nlength-mono by simp
  also have ... ≤ d cs *
    (66 + 71 * d (c#cs) + 99 * d (c#cs) ^ 3 * (nlength x)2 +
    32 * (Max (nlength ' set (c#cs)) + nlength (Suc x ^ d (c#cs)))2 +
    5 * nlength (polyvalue (c#cs) x))
    using nlength-mono polyvalue-Cons-ge by simp
  finally have t1: ?t1 ≤ d cs *
    (66 + 71 * d (c#cs) + 99 * d (c#cs) ^ 3 * (nlength x)2 +
    32 * (Max (nlength ' set (c#cs)) + nlength (Suc x ^ d (c#cs)))2 +
    5 * nlength (polyvalue (c#cs) x))
    (is ?t1 ≤ d cs * ?t3) .

```

```

have ?t2 ≤
  66 + 71 * d (c # cs) + 99 * d cs ^ 3 * (nlength x)^2 +
  32 * (nlength c + nlength (x ^ d cs))^2 +
  5 * max (nlength (c * x ^ d cs)) (nlength (polyvalue cs x))
by simp
also have ... ≤ 66 + 71 * d (c # cs) + 99 * d (c # cs) ^ 3 * (nlength x)^2 +
  32 * (nlength c + nlength (x ^ d cs))^2 +
  5 * max (nlength (c * x ^ d cs)) (nlength (polyvalue cs x))
by simp
also have ... ≤ 66 + 71 * d (c # cs) + 99 * d (c # cs) ^ 3 * (nlength x)^2 +
  32 * (Max (set (map nlength (c # cs))) + nlength (x ^ d cs))^2 +
  5 * max (nlength (c * x ^ d cs)) (nlength (polyvalue cs x))
by simp
also have ... ≤ 66 + 71 * d (c # cs) + 99 * d (c # cs) ^ 3 * (nlength x)^2 +
  32 * (Max (set (map nlength (c # cs))) + nlength (Suc x ^ d (c#cs)))^2 +
  5 * max (nlength (c * x ^ d cs)) (nlength (polyvalue cs x))
using nlength-mono pow-le-pow-Suc by simp
also have ... ≤ 66 + 71 * d (c # cs) + 99 * d (c # cs) ^ 3 * (nlength x)^2 +
  32 * (Max (set (map nlength (c # cs))) + nlength (Suc x ^ d (c#cs)))^2 +
  5 * max (nlength (c * x ^ d cs)) (nlength (polyvalue (c#cs) x))
proof -
  have nlength (polyvalue cs x) ≤ nlength (polyvalue (c#cs) x)
    using polyvalue-Cons by (simp add: nlength-mono)
  then show ?thesis
    by simp
qed
also have ... ≤ 66 + 71 * d (c # cs) + 99 * d (c # cs) ^ 3 * (nlength x)^2 +
  32 * (Max (set (map nlength (c # cs))) + nlength (Suc x ^ d (c#cs)))^2 +
  5 * max (nlength (polyvalue (c#cs) x)) (nlength (polyvalue (c#cs) x))
using nlength-mono polyvalue-Cons-ge2 by simp
also have ... ≤ 66 + 71 * d (c # cs) + 99 * d (c # cs) ^ 3 * (nlength x)^2 +
  32 * (Max (set (map nlength (c # cs))) + nlength (Suc x ^ d (c#cs)))^2 +
  5 * nlength (polyvalue (c#cs) x)
by simp
finally have t2: ?t2 ≤ ?t3
by simp

have t ≤ d cs * ?t3 + ?t3
  using t1 t2 t-def add-le-mono by blast
then have t ≤ d (c#cs) * ?t3
by simp
moreover have ttt = d (c#cs) * ?t3
  using Cons by simp
ultimately have t ≤ ttt
by simp
then show ?case
  using tm4 transforms-monotone by simp
qed

end

```

The time bound in the previous lemma for *tm-polycoef* is a bit unwieldy. It depends not only on the length of the input  $x$  but also on the list of coefficients of the polynomial  $p$  and on the value  $p(x)$ . Next we bound this time bound by a simpler expression of the form  $d + d \cdot |x|^2$  where  $d$  depends only on the polynomial. This is accomplished by the next three lemmas.

**lemma** *tm-polycoef-time-1*:  $\exists d. \forall x. \text{nlength (polyvalue cs x)} \leq d + d * \text{nlength x}$

**proof** -

{ **fix**  $x$

**have**  $\text{nlength (polyvalue cs x)} \leq \text{nlength (Max (set cs))} + \text{nlength (length cs)} + \text{Suc (length cs * nlength (Suc x))}$

**using nlength-polyvalue by simp**

**also have**  $\dots = \text{nlength (Max (set cs))} + \text{nlength (length cs)} + 1 + \text{length cs * nlength (Suc x)}$

(**is** - = ?a + length cs \* nlength (Suc x))

```

    by simp
  also have ... ≤ ?a + length cs * (Suc (nlength x))
    using nlength-Suc by (meson add-mono-thms-linordered-semiring(2) mult-le-mono2)
  also have ... = ?a + length cs + length cs * nlength x
    (is - = ?b + length cs * nlength x)
    by simp
  also have ... ≤ ?b + ?b * nlength x
    by (meson add-left-mono le-add2 mult-le-mono1)
  finally have nlength (polyvalue cs x) ≤ ?b + ?b * nlength x .
}
then show ?thesis
  by blast
qed

```

**lemma** *tm-polycoef-time-2*:  $\exists d. \forall x. (\text{Max} (\text{set} (\text{map} \text{nlength} \text{cs})) + \text{nlength} (\text{Suc } x \wedge \text{length} \text{cs}))^2 \leq d + d * \text{nlength } x \wedge 2$

**proof** –

```

{ fix x
  have (Max (set (map nlength cs)) + nlength (Suc x ^ length cs))^2 ≤
    (Max (set (map nlength cs)) + Suc (nlength (Suc x) * length cs))^2
    using nlength-pow by (simp add: mult.commute)
  also have ... = (Suc (Max (set (map nlength cs))) + nlength (Suc x) * length cs)^2
    (is - = (?a + ?b)^2)
    by simp
  also have ... = ?a ^ 2 + 2 * ?a * ?b + ?b ^ 2
    by algebra
  also have ... ≤ ?a ^ 2 + 2 * ?a * ?b ^ 2 + ?b ^ 2
    by (meson add-le-mono dual-order.eq-iff mult-le-mono2 power2-nat-le-imp-le)
  also have ... ≤ ?a ^ 2 + (2 * ?a + 1) * ?b ^ 2
    by simp
  also have ... = ?a ^ 2 + (2 * ?a + 1) * (length cs) ^ 2 * nlength (Suc x) ^ 2
    by algebra
  also have ... ≤ ?a ^ 2 + (2 * ?a + 1) * (length cs) ^ 2 * Suc (nlength x) ^ 2
    using nlength-Suc by simp
  also have ... = ?a ^ 2 + (2 * ?a + 1) * (length cs) ^ 2 * (nlength x ^ 2 + 2 * nlength x + 1)
    by (smt (verit) Suc-eq-plus1 add.assoc mult-2 nat-1-add-1 one-power2 plus-1-eq-Suc power2-sum)
  also have ... ≤ ?a ^ 2 + (2 * ?a + 1) * (length cs) ^ 2 * (nlength x ^ 2 + 2 * nlength x ^ 2 + 1)
}

```

**proof** –

```

  have nlength x ^ 2 + 2 * nlength x + 1 ≤ nlength x ^ 2 + 2 * nlength x ^ 2 + 1
    by (metis add-le-mono1 add-mono-thms-linordered-semiring(2) le-square mult.commute
      mult-le-mono1 numerals(1) power-add-numeral power-one-right semiring-norm(2))

```

**then show** ?thesis

by simp

**qed**

```

also have ... = ?a ^ 2 + (2 * ?a + 1) * (length cs) ^ 2 * (3 * nlength x ^ 2 + 1)

```

by simp

```

also have ... = ?a ^ 2 + (2 * ?a + 1) * (length cs) ^ 2 + (2 * ?a + 1) * (length cs) ^ 2 * 3 * nlength x ^ 2
  (is - = - + ?c * nlength x ^ 2)

```

by simp

```

also have ... ≤ ?a ^ 2 + ?c + ?c * nlength x ^ 2

```

(is - ≤ ?d + ?c \* nlength x ^ 2)

by simp

```

also have ... ≤ ?d + ?d * nlength x ^ 2

```

by simp

```

finally have (Max (set (map nlength cs)) + nlength (Suc x ^ length cs))^2 ≤ ?d + ?d * nlength x ^ 2 .
}

```

**then show** ?thesis

by auto

**qed**

**lemma** *tm-polycoef-time-3*:

$\exists d. \forall x. \text{length } \text{cs} *$

(66 +



```

71 * length cs +
99 * length cs ^ 3 * (nlength x)^2 +
32 * (Max (set (map nlength cs)) + nlength (Suc x ^ length cs))^2 +
5 * nlength (polyvalue cs x) ≤ d + d * nlength x ^ 2
proof -
obtain d1 where d1: ∀ x. nlength (polyvalue cs x) ≤ d1 + d1 * nlength x
using tm-polycoef-time-1 by auto
obtain d2 where d2: ∀ x. (Max (set (map nlength cs)) + nlength (Suc x ^ length cs))^2 ≤ d2 + d2 * nlength
x ^ 2
using tm-polycoef-time-2 by auto
{ fix x
let ?lhs = length cs *
(66 +
71 * length cs +
99 * length cs ^ 3 * (nlength x)^2 +
32 * (Max (set (map nlength cs)) + nlength (Suc x ^ length cs))^2 +
5 * nlength (polyvalue cs x))
let ?n = nlength x
have ?lhs ≤ length cs *
(66 + 71 * length cs + 99 * length cs ^ 3 * ?n ^ 2 +
32 * (d2 + d2 * ?n ^ 2) + 5 * (d1 + d1 * ?n))
using d1 d2 add-le-mono mult-le-mono2 nat-add-left-cancel-le by presburger
also have ... ≤ length cs *
(66 + 71 * length cs + 99 * length cs ^ 3 * ?n ^ 2 +
32 * (d2 + d2 * ?n ^ 2) + 5 * (d1 + d1 * ?n ^ 2))
by (simp add: le-square power2-eq-square)
also have ... = length cs *
(66 + 71 * length cs + 99 * length cs ^ 3 * ?n ^ 2 +
32 * d2 + 32 * d2 * ?n ^ 2 + 5 * d1 + 5 * d1 * ?n ^ 2)
by simp
also have ... = length cs *
(66 + 71 * length cs + 32 * d2 + 5 * d1 +
(99 * length cs ^ 3 + 32 * d2 + 5 * d1) * ?n ^ 2)
by algebra
also have ... = length cs * (66 + 71 * length cs + 32 * d2 + 5 * d1) +
length cs * (99 * length cs ^ 3 + 32 * d2 + 5 * d1) * ?n ^ 2
(is - = ?a + ?b * ?n ^ 2)
by algebra
also have ... ≤ max ?a ?b + max ?a ?b * ?n ^ 2
by (simp add: add-mono-thms-linordered-semiring(1))
finally have ?lhs ≤ max ?a ?b + max ?a ?b * ?n ^ 2 .
}
then show ?thesis
by auto
qed

```

According to our definition of *polynomial* (see Section 2.1.4) every polynomial has a list of coefficients. Therefore the next definition is well-defined for polynomials  $p$ .

**definition** *coefficients* :: (nat ⇒ nat) ⇒ nat list **where**  
*coefficients* p ≡ SOME cs. ∀ n. p n = (∑ i←[0..

The  $d$  in our upper bound of the form  $d + d \cdot |x|^2$  for the running time of *tm-polycoef* depends on the polynomial. It is given by the next function:

**definition** *d-polynomial* :: (nat ⇒ nat) ⇒ nat **where**  
*d-polynomial* p ≡  
(let cs = rev (coefficients p)  
in SOME d. ∀ x. length cs \*  
(66 +  
71 \* length cs +  
99 \* length cs ^ 3 \* (nlength x)^2 +  
32 \* (Max (set (map nlength cs)) + nlength (Suc x ^ length cs))^2 +  
5 \* nlength (polyvalue cs x) ≤ d + d \* nlength x ^ 2)

The Turing machine *tm-polycoef* has the coefficients of a polynomial as parameter. Next we devise a similar Turing machine that has the polynomial, as a function  $\mathbb{N} \rightarrow \mathbb{N}$ , as parameter.

**definition** *tm-polynomial* :: (nat  $\Rightarrow$  nat)  $\Rightarrow$  tapeidx  $\Rightarrow$  machine **where**  
*tm-polynomial* p j  $\equiv$  *tm-polycoef* (rev (coefficients p)) j

**lemma** *tm-polynomial-tm*:  
**assumes**  $k \geq 2$  **and**  $G \geq 4$  **and**  $0 < j$  **and**  $j + 4 < k$   
**shows** *turing-machine* k G (*tm-polynomial* p j)  
**using** *assms tm-polynomial-def tm-polycoef-tm* **by** *simp*

**lemma** *transforms-tm-polynomialI* [*transforms-intros*]:  
**fixes** p :: nat  $\Rightarrow$  nat **and** j :: tapeidx  
**fixes** k x :: nat **and** tps tps' :: tape list  
**assumes**  $0 < j$  **and**  $k = \text{length } tps$  **and**  $j + 4 < k$   
**and** *polynomial* p  
**assumes**  
 tps ! j = ( $\lfloor x \rfloor_N$ , 1)  
 tps ! (j + 1) = ( $\lfloor 0 \rfloor_N$ , 1)  
 tps ! (j + 2) = ( $\lfloor 0 \rfloor_N$ , 1)  
 tps ! (j + 3) = ( $\lfloor 0 \rfloor_N$ , 1)  
 tps ! (j + 4) = ( $\lfloor 0 \rfloor_N$ , 1)  
**assumes**  $ttt = d\text{-polynomial } p + d\text{-polynomial } p * \text{nlength } x \wedge 2$   
**assumes** tps' = tps  
 [j + 4 := ( $\lfloor p \ x \rfloor_N$ , 1)]  
**shows** *transforms* (*tm-polynomial* p j) tps ttt tps'

**proof** –  
**let** ?P =  $\lambda x. \forall n. p \ n = (\sum i \leftarrow [0..<\text{length } x]. x \ ! \ i * n \wedge i)$   
**define** cs **where** cs = (*SOME* x. ?P x)  
**moreover** **have** ex:  $\exists cs. ?P \ cs$   
**using** *assms(4) polynomial-def* **by** *simp*  
**ultimately** **have** ?P cs  
**using** *someI-ex[of ?P]* **by** *blast*  
**then** **have** 1: *polyvalue* (rev cs) x = p x  
**using** *polyvalue-def* **by** *simp*

**let** ?cs = rev cs  
**have** *d-polynomial* p = (*SOME* d.  $\forall x. \text{length } ?cs * (66 + 71 * \text{length } ?cs + 99 * \text{length } ?cs \wedge 3 * (\text{nlength } x)^2 + 32 * (\text{Max} (\text{set} (\text{map } \text{nlength } ?cs)) + \text{nlength } (\text{Suc } x \wedge \text{length } ?cs))^2 + 5 * \text{nlength } (\text{polyvalue } ?cs \ x)) \leq d + d * \text{nlength } x \wedge 2$ )  
**using** *cs-def coefficients-def d-polynomial-def* **by** *simp*  
**then** **have** \*:  $\forall x. \text{length } ?cs * (66 + 71 * \text{length } ?cs + 99 * \text{length } ?cs \wedge 3 * (\text{nlength } x)^2 + 32 * (\text{Max} (\text{set} (\text{map } \text{nlength } ?cs)) + \text{nlength } (\text{Suc } x \wedge \text{length } ?cs))^2 + 5 * \text{nlength } (\text{polyvalue } ?cs \ x)) \leq (d\text{-polynomial } p) + (d\text{-polynomial } p) * \text{nlength } x \wedge 2$   
**using** *tm-polycoef-time-3 someI-ex[OF tm-polycoef-time-3]* **by** *presburger*

**let** ?ttt = *length* ?cs \* (66 + 71 \* *length* ?cs + 99 \* *length* ?cs  $\wedge$  3 \* (*nlength* x)<sup>2</sup> + 32 \* (*Max* (*set* (*map* *nlength* ?cs)) + *nlength* (*Suc* x  $\wedge$  *length* ?cs))<sup>2</sup> + 5 \* *nlength* (*polyvalue* ?cs x))

**interpret** loc: *turing-machine-polycoef* j .

**have** *transforms* (loc.tm4 ?cs) tps ?ttt (tps[j + 4 := ( $\lfloor \text{polyvalue } ?cs \ x \rfloor_N$ , 1)])  
**using** loc.tm4 *assms* \* **by** *blast*  
**then** **have** *transforms* (loc.tm4 ?cs) tps ?ttt (tps[j + 4 := ( $\lfloor p \ x \rfloor_N$ , 1)])

```

using 1 by simp
then have transforms (loc.tm4 ?cs) tps ?ttt tps'
  using assms(11) by simp
moreover have loc.tm4 ?cs = tm-polynomial p j
  using tm-polynomial-def loc.tm4-eq-tm-polycoef coefficients-def cs-def by simp
ultimately have transforms (tm-polynomial p j) tps ?ttt tps'
  by simp
then show transforms (tm-polynomial p j) tps ttt tps'
  using * assms(10) transforms-monotone by simp
qed

```

## 2.7.9 Division by two

In order to divide a number by two, a Turing machine can shift all symbols on the tape containing the number to the left, of course without overwriting the start symbol.

The next command implements the left shift. It scans the tape  $j$  from right to left and memorizes the current symbol on the last tape. It works very similar to *cmd-double* only in the opposite direction. Upon reaching the start symbol, it moves the head one cell to the right.

**definition** *cmd-halve* :: *tapeidx*  $\Rightarrow$  *command* **where**

```

cmd-halve j rs  $\equiv$ 
  (if rs ! j = 1 then 1 else 0,
   (map ( $\lambda$ i.
     if i = j then
       if rs ! j =  $\triangleright$  then (rs ! i, Right)
       else if last rs =  $\triangleright$  then ( $\square$ , Left)
       else (tosym (todigit (last rs)), Left)
     else if i = length rs - 1 then (tosym (todigit (rs ! j)), Stay)
     else (rs ! i, Stay)) [0.. $\text{length}$  rs]))

```

**lemma** *turing-command-halve*:

**assumes**  $G \geq 4$  **and**  $0 < j$  **and**  $j < k$

**shows** *turing-command* (Suc k) 1 G (*cmd-halve* j)

**proof**

**show**  $\bigwedge$ gs.  $\text{length}$  gs = Suc k  $\implies$   $\text{length}$  ([!!] *cmd-halve* j gs) =  $\text{length}$  gs

**using** *cmd-halve-def* **by** simp

**moreover have**  $0 \neq \text{Suc } k - 1$

**using** *assms* **by** simp

**ultimately show**  $\bigwedge$ gs.  $\text{length}$  gs = Suc k  $\implies$   $0 < \text{Suc } k \implies$  *cmd-halve* j gs [.] 0 = gs ! 0

**using** *assms* *cmd-halve-def* **by** (smt (verit) *One-nat-def ab-semigroup-add-class.add-ac(1) diff-Suc-1 length-map neg0-conv nth-map nth-upt plus-1-eq-Suc prod.sel(1) prod.sel(2)*)

**show** *cmd-halve* j gs [.]  $j' < G$

**if**  $\text{length}$  gs = Suc k ( $\bigwedge$ i.  $i < \text{length}$  gs  $\implies$  gs ! i < G)  $j' < \text{length}$  gs

**for** gs  $j'$

**proof** -

**have** *cmd-halve* j gs [!]  $j' =$

(if  $j' = j$  then

if gs ! j =  $\triangleright$  then (gs !  $j'$ , Right)

else if last gs =  $\triangleright$  then ( $\square$ , Left)

else (tosym (todigit (last gs)), Left)

else if  $j' = \text{length}$  gs - 1 then (tosym (todigit (gs ! j)), Stay)

else (gs !  $j'$ , Stay))

**using** *cmd-halve-def* *that(3)* **by** simp

**moreover consider**  $j' = j \mid j' = k \mid j' \neq j \wedge j' \neq k$

**by** auto

**ultimately show** ?thesis

**using** *that* *assms* **by** (cases) *simp-all*

**qed**

**show**  $\bigwedge$ gs.  $\text{length}$  gs = Suc k  $\implies$  [\*] (*cmd-halve* j gs)  $\leq 1$

**using** *cmd-halve-def* **by** simp

**qed**

**lemma** *sem-cmd-halve-2*:

```

assumes  $j < k$ 
  and bit-symbols  $xs$ 
  and  $length\ tps = Suc\ k$ 
  and  $i \leq length\ xs$ 
  and  $i > 0$ 
  and  $z = 0 \vee z = 1$ 
  and  $tps ! j = (\lfloor xs \rfloor, i)$ 
  and  $tps ! k = \lceil z \rceil$ 
  and  $tps' = tps[j := tps ! j \mid := z \mid - 1, k := \lceil xs ! (i - 1) \rceil]$ 
shows  $sem\ (cmd\ halve\ j)\ (0, tps) = (0, tps')$ 
proof (rule semI)
  show proper-command  $(Suc\ k)\ (cmd\ halve\ j)$ 
    using cmd-halve-def by simp
  show  $length\ tps = Suc\ k\ length\ tps' = Suc\ k$ 
    using assms(3,9) by simp-all
  define  $rs$  where  $rs = read\ tps$ 
  then have  $lenrs: length\ rs = Suc\ k$ 
    using assms(3) read-length by simp
  have  $rsj: rs ! j = xs ! (i - 1)$ 
    using rs-def assms tapes-at-read' contents-inbounds
    by (metis fst-conv le-imp-less-Suc less-imp-le-nat snd-conv)
  then have  $rsj': rs ! j > 1$ 
    using assms Suc-1 Suc-diff-1 Suc-le-lessD by (metis eval-nat-numeral(3) less-Suc-eq)
  then show  $fst\ (cmd\ halve\ j\ (read\ tps)) = 0$ 
    using cmd-halve-def rs-def by simp
  have  $lastrs: last\ rs = z$ 
    using assms rs-def onesie-read tapes-at-read'
    by (metis diff-Suc-1 last-conv-nth length-0-conv lenrs lessI nat.simps(3))
  show  $act\ (cmd\ halve\ j\ (read\ tps)\ [!]\ j')\ (tps ! j') = tps' ! j'$  if  $j' < Suc\ k$  for  $j'$ 
  proof -
    have  $j' < length\ rs$ 
      using that lenrs by simp
    then have  $*$ :  $cmd\ halve\ j\ rs\ [!]\ j' =$ 
      (if  $j' = j$  then
        if  $rs ! j = \triangleright$  then  $(rs ! j', Right)$ 
        else if  $last\ rs = \triangleright$  then  $(\square, Left)$ 
        else  $(tosym\ (todigit\ (last\ rs)), Left)$ 
        else if  $j' = length\ rs - 1$  then  $(tosym\ (todigit\ (rs ! j)), Stay)$ 
        else  $(rs ! j', Stay)$ )
      using cmd-halve-def by simp
    consider  $j' = j \mid j' = k \mid j' \neq j \wedge j' \neq k$ 
      by auto
    then show ?thesis
  proof (cases)
    case 1
      then have  $cmd\ halve\ j\ (read\ tps)\ [!]\ j' = (tosym\ (todigit\ (last\ rs)), Left)$ 
        using rs-def rsj' lastrs * assms(6) by auto
      then have  $cmd\ halve\ j\ (read\ tps)\ [!]\ j' = (z, Left)$ 
        using lastrs assms(6) by auto
      moreover have  $tps' ! j' = tps ! j \mid := z \mid - 1$ 
        using 1 assms(1,3,9) by simp
      ultimately show ?thesis
        using act-Left' 1 that rs-def by metis
    next
      case 2
      then have  $cmd\ halve\ j\ (read\ tps)\ [!]\ j' = (tosym\ (todigit\ (rs ! j)), Stay)$ 
        using rs-def * lenrs assms(1) by simp
      moreover have  $tps' ! j' = \lceil xs ! (i - 1) \rceil$ 
        using assms 2 by simp
      moreover have  $tps ! j' = \lceil z \rceil$ 
        using assms 2 by simp
      moreover have  $tosym\ (todigit\ (rs ! j)) = xs ! (i - 1)$ 
      proof -

```

```

have xs ! (i - 1) = 0 ∨ xs ! (i - 1) = 1
  using rsj rs-def assms by simp
then show ?thesis
  using One-nat-def add-2-eq-Suc' numeral-3-eq-3 rsj by presburger
qed
ultimately show ?thesis
  using act-onesie by simp
next
case 3
then show ?thesis
  using * act-Stay that assms lenrs rs-def by simp
qed
qed
qed

```

**lemma** *sem-cmd-halve-1*:

```

assumes j < k
  and bit-symbols xs
  and length tps = Suc k
  and 0 < length xs
  and tps ! j = (⌊xs⌋, length xs)
  and tps ! k = ⌈▷⌋
  and tps' = tps[j := tps ! j |:=| □ | - | 1, k := ⌈xs ! (length xs - 1)⌋]
shows sem (cmd-halve j) (0, tps) = (0, tps')
proof (rule semI)
show proper-command (Suc k) (cmd-halve j)
  using cmd-halve-def by simp
show length tps = Suc k length tps' = Suc k
  using assms(3,7) by simp-all
define rs where rs = read tps
then have lenrs: length rs = Suc k
  using assms(3) read-length by simp
have rsj: rs ! j = xs ! (length xs - 1)
  using rs-def assms tapes-at-read' contents-inbounds
  by (metis One-nat-def fst-conv le-eq-less-or-eq le-imp-less-Suc snd-conv)
then have rsj': rs ! j > 1
  using assms(2,4) by (metis One-nat-def Suc-1 diff-less lessI less-add-Suc2 numeral-3-eq-3 plus-1-eq-Suc)
then show fst (cmd-halve j (read tps)) = □
  using cmd-halve-def rs-def by simp
have lastrs: last rs = ▷
  using assms rs-def onesie-read tapes-at-read'
  by (metis diff-Suc-1 last-conv-nth length-0-conv lenrs lessI nat.simps(3))
show act (cmd-halve j (read tps) [!] j') (tps ! j') = tps' ! j' if j' < Suc k for j'
proof -
have j' < length rs
  using that lenrs by simp
then have *: cmd-halve j rs [!] j' =
  (if j' = j then
    if rs ! j = ▷ then (rs ! j', Right)
    else if last rs = ▷ then (□, Left)
    else (tosym (todigit (last rs)), Left)
  else if j' = length rs - 1 then (tosym (todigit (rs ! j)), Stay)
  else (rs ! j', Stay))
  using cmd-halve-def by simp
consider j' = j | j' = k | j' ≠ j ∧ j' ≠ k
  by auto
then show ?thesis
proof (cases)
case 1
then have cmd-halve j (read tps) [!] j' = (□, Left)
  using rs-def rsj' lastrs * by simp
then show ?thesis
  using act-Left' 1 that rs-def assms(1,3,7) by simp

```

```

next
  case 2
  then have cmd-halve j (read tps) [!] j' = (tosym (todigit (rs ! j)), Stay)
    using rs-def * lenrs assms(1) by simp
  moreover have tps' ! j' = [xs ! (length xs - 1)]
    using assms 2 by simp
  moreover have tps ! j' = [▷]
    using assms 2 by simp
  ultimately show ?thesis
    using act-onesie assms 2 that rs-def rsj
    by (smt (verit) One-nat-def Suc-1 add-2-eq-Suc' diff-less numeral-3-eq-3 zero-less-one)
next
  case 3
  then show ?thesis
    using * act-Stay that assms lenrs rs-def by simp
qed
qed
qed

lemma sem-cmd-halve-0:
  assumes j < k
    and length tps = Suc k
    and tps ! j = ([xs], 0)
    and tps ! k = [z]
    and tps' = tps[j := tps ! j |+| 1, k := [0]]
  shows sem (cmd-halve j) (0, tps) = (1, tps')
proof (rule semI)
  show proper-command (Suc k) (cmd-halve j)
    using cmd-halve-def by simp
  show length tps = Suc k length tps' = Suc k
    using assms(2,5) by simp-all
  show fst (cmd-halve j (read tps)) = 1
    using cmd-halve-def assms contents-at-0 tapes-at-read'
    by (smt (verit) fst-conv le-eq-less-or-eq not-less not-less-eq snd-conv)
  show act (cmd-halve j (read tps) [!] j') (tps ! j') = tps' ! j' if j' < Suc k for j'
proof -
  define gs where gs = read tps
  then have length gs = Suc k
    using assms by (simp add: read-length)
  then have j' < length gs
    using that by simp
  then have *: cmd-halve j gs [!] j' =
    (if j' = j then
      if gs ! j = ▷ then (gs ! j', Right)
      else if last gs = ▷ then (□, Left)
      else (tosym (todigit (last gs)), Left)
    else if j' = length gs - 1 then (tosym (todigit (gs ! j)), Stay)
    else (gs ! j', Stay))
    using cmd-halve-def by simp
  have gs!: gs ! j = ▷
    using gs-def assms(1,2,3) by (metis contents-at-0 fstI less-Suc-eq sndI tapes-at-read')
  consider j' = j | j' = k | j' ≠ j ∧ j' ≠ k
    by auto
  then show ?thesis
proof (cases)
  case 1
  then have cmd-halve j (read tps) [!] j' = (gs ! j', Right)
    using gs-def gs! by simp
  then show ?thesis
    using act-Right assms 1 that gs-def by (metis length-list-update lessI nat-neq-iff nth-list-update)
next
  case 2
  then have cmd-halve j (read tps) [!] j' = (tosym (todigit (gs ! j)), Stay)

```

```

    using gs-def *  $\langle \text{length } gs = \text{Suc } k \rangle$  assms(1) by simp
  moreover have  $tps' ! j' = [0]$ 
    using assms 2 by simp
  moreover have  $tps ! j' = [z]$ 
    using assms 2 by simp
  ultimately show ?thesis
    using act-onesie assms 2 that gs-def gs-j
    by (smt (verit, best) One-nat-def Suc-1 add-2-eq-Suc' less-Suc-eq-0-disj less-numeral-extra(3) nat.inject
numeral-3-eq-3)
  next
    case 3
    then show ?thesis
      using * act-Stay that assms(2,5)  $\langle \text{length } gs = \text{Suc } k \rangle$  gs-def by simp
    qed
  qed
  qed

```

**definition** *tm-halve* :: *tapeidx*  $\Rightarrow$  *machine* **where**  
*tm-halve j*  $\equiv$  [*cmd-halve j*]

**lemma** *tm-halve-tm*:  
**assumes**  $k \geq 2$  **and**  $G \geq 4$  **and**  $0 < j$  **and**  $j < k$   
**shows** *turing-machine* (*Suc k*) *G* (*tm-halve j*)  
**using** *tm-halve-def* *turing-command-halve* *assms* **by** *auto*

**lemma** *exe-cmd-halve-0*:  
**assumes**  $j < k$   
**and**  $\text{length } tps = \text{Suc } k$   
**and**  $tps ! j = (\lfloor xs \rfloor, 0)$   
**and**  $tps ! k = \lceil z \rceil$   
**and**  $tps' = tps[j := tps ! j \mid + 1, k := [0]]$   
**shows** *exe* (*tm-halve j*) (*0, tps*) = (*1, tps'*)  
**using** *assms* *sem-cmd-halve-0* *tm-halve-def* *exe-lt-length* **by** *simp*

**lemma** *execute-cmd-halve-0*:  
**assumes**  $j < k$   
**and**  $\text{length } tps = \text{Suc } k$   
**and**  $tps ! j = (\lfloor [] \rfloor, 0)$   
**and**  $tps ! k = \lceil \triangleright \rceil$   
**and**  $tps' = tps[j := tps ! j \mid + 1, k := [0]]$   
**shows** *execute* (*tm-halve j*) (*0, tps*) *1* = (*1, tps'*)  
**using** *tm-halve-def* *exe-lt-length* *sem-cmd-halve-0* *assms* **by** *simp*

**definition** *shift* :: *tape*  $\Rightarrow$  *nat*  $\Rightarrow$  *tape* **where**  
*shift tp y*  $\equiv$  ( $\lambda x. \text{if } x \leq y \text{ then } (fst \ tp) \ x \text{ else } (fst \ tp) \ (\text{Suc } x), y$ )

**lemma** *shift-update*:  $y > 0 \implies \text{shift } tp \ y \ \mid := \mid (fst \ tp) \ (\text{Suc } y) \ \mid - \mid 1 = \text{shift } tp \ (y - 1)$   
**unfolding** *shift-def* **by** *fastforce*

**lemma** *shift-contents-0*:  
**assumes**  $\text{length } xs > 0$   
**shows** *shift* ( $\lfloor xs \rfloor$ ,  $\text{length } xs$ ) *0* = ( $\lfloor tl \ xs \rfloor$ , *0*)  
**proof** –  
**have** *shift* ( $\lfloor xs \rfloor$ ,  $\text{length } xs$ ) *0* = ( $\lfloor \text{drop } 1 \ xs \rfloor$ , *0*)  
**using** *shift-def* *contents-def* **by** *fastforce*  
**then show** *?thesis*  
**by** (*simp add: drop-Suc*)  
**qed**

**lemma** *proper-bit-symbols*: *bit-symbols* *ws*  $\implies$  *proper-symbols* *ws*  
**by** *auto*

**lemma** *bit-symbols-shift*:

assumes  $t < \text{length } ws$  and *bit-symbols*  $ws$   
shows  $|\cdot| (\text{shift } (\lfloor ws \rfloor, \text{length } ws) (\text{length } ws - t)) \neq 1$   
using *assms shift-def contents-def nat-neq-iff proper-bit-symbols* by *simp*

**lemma** *exe-cmd-halve-1*:

assumes  $j < k$   
and  $\text{length } tps = \text{Suc } k$   
and *bit-symbols*  $xs$   
and  $\text{length } xs > 0$   
and  $tps ! j = (\lfloor xs \rfloor, \text{length } xs)$   
and  $tps ! k = \lceil \triangleright \rceil$   
and  $tps' = tps[j := tps ! j | :=] \square \lfloor - \rfloor 1, k := \lceil xs ! (\text{length } xs - 1) \rceil$   
shows  $\text{exe } (tm\text{-halve } j) (0, tps) = (0, tps')$   
using *tm-halve-def exe-lt-length sem-cmd-halve-1 assms* by *simp*

**lemma** *shift-contents-eq-take-drop*:

assumes  $\text{length } xs > 0$   
and  $ys = \text{take } i \text{ } xs @ \text{drop } (\text{Suc } i) \text{ } xs$   
and  $i > 0$   
and  $i < \text{length } xs$   
shows  $\text{shift } (\lfloor xs \rfloor, \text{length } xs) i = (\lfloor ys \rfloor, i)$

**proof** –

have  $\text{shift } (\lfloor xs \rfloor, \text{length } xs) i = (\lambda x. \text{if } x \leq i \text{ then } \lfloor xs \rfloor \ x \text{ else } \lfloor xs \rfloor \ (\text{Suc } x), i)$   
using *shift-def* by *auto*  
moreover have  $(\lambda x. \text{if } x \leq i \text{ then } \lfloor xs \rfloor \ x \text{ else } \lfloor xs \rfloor \ (\text{Suc } x)) = \lfloor \text{take } i \text{ } xs @ \text{drop } (\text{Suc } i) \text{ } xs \rfloor$   
(is  $?l = ?r$ )

**proof**

fix  $x$

consider  $x = 0 \mid 0 < x \wedge x \leq i \mid i < x \wedge x \leq \text{length } xs - 1 \mid \text{length } xs - 1 < x$

by *linarith*

then show  $?l \ x = ?r \ x$

**proof** (*cases*)

case 1

then show *?thesis*

using *assms contents-def* by *simp*

next

case 2

then have  $?l \ x = \lfloor xs \rfloor \ x$

by *simp*

then have *lhs*:  $?l \ x = xs ! (x - 1)$

using *assms 2* by *simp*

have  $?r \ x = (\text{take } i \text{ } xs @ \text{drop } (\text{Suc } i) \text{ } xs) ! (x - 1)$

using *assms 2* by *auto*

then have  $?r \ x = xs ! (x - 1)$

using *assms(4) 2*

by (*metis diff-less le-eq-less-or-eq length-take less-trans min-absorb2 nth-append nth-take zero-less-one*)

then show *?thesis*

using *lhs* by *simp*

next

case 3

then have  $?l \ x = \lfloor xs \rfloor \ (\text{Suc } x)$

by *simp*

then have *lhs*:  $?l \ x = xs ! x$

using *3 assms* by *auto*

have  $?r \ x = (\text{take } i \text{ } xs @ \text{drop } (\text{Suc } i) \text{ } xs) ! (x - 1)$

using *assms 3* by *auto*

then have  $?r \ x = \text{drop } (\text{Suc } i) \text{ } xs ! (x - 1 - i)$

using *assms(3,4) 3*

by (*smt (verit) Suc-diff-1 dual-order.strict-trans length-take less-Suc-eq min-absorb2 nat-less-le nth-append*)

then have  $?r \ x = xs ! x$

using *assms 3* by *simp*

then show *?thesis*

using *lhs* by *simp*



```

next
  case 4
  then show ?thesis
    using contents-def by auto
  qed
qed
ultimately show ?thesis
  using assms(2) by simp
qed

```

```

lemma exe-cmd-halve-2:
  assumes j < k
    and bit-symbols xs
    and length tps = Suc k
    and i ≤ length xs
    and i > 0
    and z = 0 ∨ z = 1
    and tps ! j = (⌊xs⌋, i)
    and tps ! k = ⌈z⌉
    and tps' = tps[j := tps ! j |:=| z |−| 1, k := ⌈xs ! (i − 1)⌉]
  shows exe (tm-halve j) (0, tps) = (0, tps')
  using tm-halve-def exe-lt-length sem-cmd-halve-2 assms by simp

```

```

lemma shift-contents-length-minus-1:
  assumes length xs > 0
  shows shift (⌊xs⌋, length xs) (length xs − 1) = (⌊xs⌋, length xs) |:=| □ |−| 1
  using contents-def shift-def assms by fastforce

```

```

lemma execute-tm-halve-1-less:
  assumes j < k
    and length tps = Suc k
    and bit-symbols xs
    and length xs > 0
    and tps ! j = (⌊xs⌋, length xs)
    and tps ! k = ⌈▷⌉
    and t ≥ 1
    and t ≤ length xs
  shows execute (tm-halve j) (0, tps) t = (0, tps
    [j := shift (tps ! j) (length xs − t),
     k := ⌈xs ! (length xs − t)⌉])
  using assms(7,8)
proof (induction t rule: nat-induct-at-least)
  case base
  have execute (tm-halve j) (0, tps) 1 = exe (tm-halve j) (0, tps)
    by simp
  also have ... = (0, tps[j := tps ! j |:=| □ |−| 1, k := ⌈xs ! (length xs − 1)⌉])
    using assms exe-cmd-halve-1 by simp
  also have ... = (0, tps[j := shift (tps ! j) (length xs − 1), k := ⌈xs ! (length xs − 1)⌉])
    using shift-contents-length-minus-1 assms(4,5) by simp
  finally show ?case .
next
  case (Suc t)
  then have t < length xs
    by simp
  let ?ys = take (length xs − t) xs @ drop (Suc (length xs − t)) xs
  have execute (tm-halve j) (0, tps) (Suc t) = exe (tm-halve j) (execute (tm-halve j) (0, tps) t)
    by simp
  also have ... = exe (tm-halve j) (0, tps
    [j := shift (tps ! j) (length xs − t),
     k := ⌈xs ! (length xs − t)⌉])
    using Suc by simp
  also have ... = exe (tm-halve j) (0, tps
    [j := shift (⌊xs⌋, length xs) (length xs − t),

```

```

    k := [xs ! (length xs - t)])
  using assms(5) by simp
also have ... = exe (tm-halve j) (0, tps
  [j := ([?ys], length xs - t),
  k := [xs ! (length xs - t)])]
  (is - = exe - (0, ?tps))
  using shift-contents-eq-take-drop Suc assms by simp
also have ... = (0, ?tps
  [j := ?tps ! j |:=| (xs ! (length xs - t)) |-| 1,
  k := [?ys ! (length xs - t - 1)])]
proof -
  let ?i = length xs - t
  let ?z = xs ! ?i
  have 1: bit-symbols ?ys
    using assms(3) by (intro bit-symbols-append) simp-all
  have 2: length ?tps = Suc k
    using assms(2) by simp
  have 3: ?i ≤ length ?ys
    using Suc assms by simp
  have 4: ?i > 0
    using Suc assms by simp
  have 5: ?z = 2 ∨ ?z = 3
    using assms(3,4) Suc by simp
  have 6: ?tps ! j = ([?ys], ?i)
    using assms(1,2) by simp
  have 7: ?tps ! k = [?z]
    using assms(2) by simp
  then show ?thesis
    using exe-cmd-halve-2[OF assms(1) 1 2 3 4 5 6 7] by simp
qed
also have ... = (0, tps
  [j := ?tps ! j |:=| (xs ! (length xs - t)) |-| 1,
  k := [?ys ! (length xs - t - 1)])]
  using assms by (smt (verit) list-update-overwrite list-update-swap)
also have ... = (0, tps
  [j := ([?ys], length xs - t) |:=| (xs ! (length xs - t)) |-| 1,
  k := [?ys ! (length xs - t - 1)])]
  using assms(1,2) by simp
also have ... = (0, tps
  [j := shift ([xs], length xs) (length xs - Suc t),
  k := [xs ! (length xs - (Suc t))]])]
proof -
  have ([?ys], length xs - t) |:=| xs ! (length xs - t) |-| 1 =
    shift ([xs], length xs) (length xs - t) |:=| (xs ! (length xs - t)) |-| 1
  using shift-contents-eq-take-drop One-nat-def Suc Suc-le-lessD <t < length xs> assms(4) diff-less zero-less-diff
  by presburger
  also have ... = shift ([xs], length xs) (length xs - Suc t)
    using shift-update[of length xs - t ([xs], length xs)] assms Suc by simp
  finally have ([?ys], length xs - t) |:=| xs ! (length xs - t) |-| 1 =
    shift ([xs], length xs) (length xs - Suc t) .
  moreover have ?ys ! (length xs - t - 1) = xs ! (length xs - Suc t)
    using Suc assms <t < length xs>
  by (metis (no-types, lifting) diff-Suc-eq-diff-pred diff-Suc-less diff-commute diff-less
    length-take min-less-iff-conj nth-append nth-take zero-less-diff zero-less-one)
  ultimately show ?thesis
    by simp
qed
also have ... = (0, tps
  [j := shift (tps ! j) (length xs - (Suc t)),
  k := [xs ! (length xs - (Suc t))]])]
  using assms(5) by simp
  finally show ?case .
qed

```

**lemma** *execute-tm-halve-1*:

**assumes**  $j < k$   
**and**  $\text{length } tps = \text{Suc } k$   
**and** *bit-symbols*  $xs$   
**and**  $\text{length } xs > 0$   
**and**  $tps ! j = (\lfloor xs \rfloor, \text{length } xs)$   
**and**  $tps ! k = \lceil \triangleright \rceil$   
**and**  $tps' = tps[j := (\lfloor tl \ xs \rfloor, 1), k := \lceil \mathbf{0} \rceil]$   
**shows**  $\text{execute } (tm\text{-halve } j) (0, tps) (\text{Suc } (\text{length } xs)) = (1, tps')$

**proof** –

**have**  $\text{execute } (tm\text{-halve } j) (0, tps) (\text{length } xs) = (0, tps[j := \text{shift } (tps ! j) 0, k := \lceil xs ! 0 \rceil])$   
**using** *execute-tm-halve-1-less*[*OF* *assms(1-6)*], **where**  $?t = \text{length } xs$  *assms(4)* **by** *simp*  
**also have**  $\dots = (0, tps[j := \text{shift } (\lfloor xs \rfloor, \text{length } xs) 0, k := \lceil xs ! 0 \rceil])$   
**using** *assms(5)* **by** *simp*  
**also have**  $\dots = (0, tps[j := (\lfloor tl \ xs \rfloor, 0), k := \lceil xs ! 0 \rceil])$   
**using** *shift-contents-0* *assms(4)* **by** *simp*  
**finally have**  $\text{execute } (tm\text{-halve } j) (0, tps) (\text{length } xs) = (0, tps[j := (\lfloor tl \ xs \rfloor, 0), k := \lceil xs ! 0 \rceil])$ .  
**then have**  $\text{execute } (tm\text{-halve } j) (0, tps) (\text{Suc } (\text{length } xs)) =$   
 $\text{exe } (tm\text{-halve } j) (0, tps[j := (\lfloor tl \ xs \rfloor, 0), k := \lceil xs ! 0 \rceil])$   
 $(\text{is } - = \text{exe } - (0, ?tps))$   
**by** *simp*  
**also have**  $\dots = (1, ?tps[j := (\lfloor tl \ xs \rfloor, 0) \mid + 1, k := \lceil \mathbf{0} \rceil])$   
**using** *assms(1,2)* *exe-cmd-halve-0* **by** *simp*  
**also have**  $\dots = (1, tps[j := (\lfloor tl \ xs \rfloor, 0) \mid + 1, k := \lceil \mathbf{0} \rceil])$   
**using** *assms(1,2)* **by** (*metis* (*no-types*, *opaque-lifting*) *list-update-overwrite list-update-swap*)  
**also have**  $\dots = (1, tps[j := (\lfloor tl \ xs \rfloor, 1), k := \lceil \mathbf{0} \rceil])$   
**by** *simp*  
**finally show** *?thesis*  
**using** *assms(7)* **by** *simp*

**qed**

**lemma** *execute-tm-halve*:

**assumes**  $j < k$   
**and**  $\text{length } tps = \text{Suc } k$   
**and** *bit-symbols*  $xs$   
**and**  $tps ! j = (\lfloor xs \rfloor, \text{length } xs)$   
**and**  $tps ! k = \lceil \triangleright \rceil$   
**and**  $tps' = tps[j := (\lfloor tl \ xs \rfloor, 1), k := \lceil \mathbf{0} \rceil]$   
**shows**  $\text{execute } (tm\text{-halve } j) (0, tps) (\text{Suc } (\text{length } xs)) = (1, tps')$   
**using** *execute-cmd-halve-0* *execute-tm-halve-1* *assms* **by** (*cases*  $\text{length } xs = 0$ ) *simp-all*

**lemma** *transforms-tm-halve*:

**assumes**  $j < k$   
**and**  $\text{length } tps = \text{Suc } k$   
**and** *bit-symbols*  $xs$   
**and**  $tps ! j = (\lfloor xs \rfloor, \text{length } xs)$   
**and**  $tps ! k = \lceil \triangleright \rceil$   
**and**  $tps' = tps[j := (\lfloor tl \ xs \rfloor, 1), k := \lceil \mathbf{0} \rceil]$   
**shows**  $\text{transforms } (tm\text{-halve } j) tps (\text{Suc } (\text{length } xs)) tps'$   
**using** *execute-tm-halve* *assms* *tm-halve-def* *transforms-def* *transits-def* **by** *auto*

**lemma** *transforms-tm-halve2*:

**assumes**  $j < k$   
**and**  $\text{length } tps = k$   
**and** *bit-symbols*  $xs$   
**and**  $tps ! j = (\lfloor xs \rfloor, \text{length } xs)$   
**and**  $tps' = tps[j := (\lfloor tl \ xs \rfloor, 1)]$   
**shows**  $\text{transforms } (tm\text{-halve } j) (tps @ \lceil \lceil \triangleright \rceil \rceil) (\text{Suc } (\text{length } xs)) (tps' @ \lceil \lceil \mathbf{0} \rceil \rceil)$

**proof** –

**let**  $?tps = tps @ \lceil \lceil \triangleright \rceil \rceil$   
**let**  $?tps' = tps' @ \lceil \lceil \mathbf{0} \rceil \rceil$   
**have**  $?tps ! j = (\lfloor xs \rfloor, \text{length } xs)$   $?tps ! k = \lceil \triangleright \rceil$

```

    using assms by (simp-all add: nth-append)
  moreover have "?tps' ! j = ([tl xs], 1) ?tps' ! k = [0]"
    using assms by (simp-all add: nth-append)
  moreover have length ?tps = Suc k
    using assms(2) by simp
  ultimately show ?thesis
    using assms transforms-tm-halve[OF assms(1), where ?tps=?tps and ?tps'=?tps' and ?xs=xs]
    by (metis length-list-update list-update-append1 list-update-length)
qed

```

The next Turing machine removes the memorization tape from *tm-halve*.

**definition** *tm-halve'* :: *tapeidx*  $\Rightarrow$  *machine* **where**  
*tm-halve' j*  $\equiv$  *cartesian* (*tm-halve j*) 4

**lemma** *bounded-write-tm-halve*:

```

  assumes j < k
  shows bounded-write (tm-halve j) k 4
  unfolding bounded-write-def
proof standard+
  fix q :: nat and rs :: symbol list
  assume q: q < length (tm-halve j) and lenrs: length rs = Suc k
  have k < length rs
    using lenrs by simp
  then have cmd-halve j rs [!] k =
    (if k = j then
      if rs ! j =  $\triangleright$  then (rs ! k, Right)
      else if last rs =  $\triangleright$  then ( $\square$ , Left)
      else (tosym (todigit (last rs)), Left)
      else if k = length rs - 1 then (tosym (todigit (rs ! j)), Stay)
      else (rs ! k, Stay))
    using cmd-halve-def by simp
  then have cmd-halve j rs [!] k = (tosym (todigit (rs ! j)), Stay)
    using assms lenrs by simp
  then have cmd-halve j rs [.] k = tosym (todigit (rs ! j))
    by simp
  moreover have (tm-halve j ! q) rs [.] k = cmd-halve j rs [.] k
    using tm-halve-def q by simp
  ultimately show (tm-halve j ! q) rs [.] k < 4
    by simp
qed

```

**lemma** *immobile-tm-halve*:

```

  assumes j < k
  shows immobile (tm-halve j) k (Suc k)
proof standard+
  fix q :: nat and rs :: symbol list
  assume q: q < length (tm-halve j) and lenrs: length rs = Suc k
  have k < length rs
    using lenrs by simp
  then have cmd-halve j rs [!] k =
    (if k = j then
      if rs ! j =  $\triangleright$  then (rs ! k, Right)
      else if last rs =  $\triangleright$  then ( $\square$ , Left)
      else (tosym (todigit (last rs)), Left)
      else if k = length rs - 1 then (tosym (todigit (rs ! j)), Stay)
      else (rs ! k, Stay))
    using cmd-halve-def by simp
  then have cmd-halve j rs [!] k = (tosym (todigit (rs ! j)), Stay)
    using assms lenrs by simp
  then have cmd-halve j rs [~] k = Stay
    by simp
  moreover have (tm-halve j ! q) rs [~] k = cmd-halve j rs [~] k
    using tm-halve-def q by simp

```

**ultimately show**  $(tm\text{-}halve\ j\ !\ q)\ rs\ [\sim]\ k = Stay$   
**by** *simp*  
**qed**

**lemma** *tm-halve'-tm*:  
**assumes**  $G \geq 4$  **and**  $0 < j$  **and**  $j < k$   
**shows** *turing-machine*  $k\ G\ (tm\text{-}halve'\ j)$   
**using** *tm-halve'-def tm-halve-tm assms cartesian-tm* **by** *simp*

**lemma** *transforms-tm-halve'* [*transforms-intros*]:  
**assumes**  $j > 0$  **and**  $j < k$   
**and** *length*  $tps = k$   
**and** *bit-symbols*  $xs$   
**and**  $tps\ !\ j = ([xs],\ length\ xs)$   
**and**  $tps'\ j := ([tl\ xs],\ 1)$   
**shows** *transforms*  $(tm\text{-}halve'\ j)\ tps\ (Suc\ (length\ xs))\ tps'$   
**unfolding** *tm-halve'-def*  
**proof** (*rule cartesian-transforms-onesie[OF tm-halve-tm immobile-tm-halve - - bounded-write-tm-halve assms(3)*,  
**where**  $?G=4$ ];  
*(simp add: assms)?*  
**show**  $2 \leq k$  **and**  $2 \leq k$   
**using** *assms(1,2)* **by** *simp-all*  
**show** *transforms*  $(tm\text{-}halve\ j)\ (tps\ @\ [[Suc\ 0]])\ (Suc\ (length\ xs))$   
 $(tps[j := ([tl\ xs],\ Suc\ 0)]\ @\ [[0]])$   
**using** *transforms-tm-halve2 assms* **by** *simp*  
**qed**

**lemma** *num-tl-div-2*:  $num\ (tl\ xs) = num\ xs\ div\ 2$   
**proof** (*cases*  $xs = []$ )  
**case** *True*  
**then show** *?thesis*  
**by** (*simp add: num-def*)  
**next**  
**case** *False*  
**then have**  $*: xs = hd\ xs\ \#\ tl\ xs$   
**by** *simp*  
**then have**  $num\ xs = todigit\ (hd\ xs) + 2 * num\ (tl\ xs)$   
**using** *num-Cons* **by** *metis*  
**then show** *?thesis*  
**by** *simp*  
**qed**

**lemma** *canrepr-div-2*:  $canrepr\ (n\ div\ 2) = tl\ (canrepr\ n)$   
**using** *canreprI canrepr canonical-canrepr num-tl-div-2 canonical-tl*  
**by** (*metis hd-Cons-tl list.sel(2)*)

**corollary** *nlength-times2*:  $nlength\ (2 * n) \leq Suc\ (nlength\ n)$   
**using** *canrepr-div-2[of 2 \* n]* **by** *simp*

**corollary** *nlength-times2plus1*:  $nlength\ (2 * n + 1) \leq Suc\ (nlength\ n)$   
**using** *canrepr-div-2[of 2 \* n + 1]* **by** *simp*

The next Turing machine is the one we actually use to divide a number by two. First it moves to the end of the symbol sequence representing the number, then it applies *tm-halve'*.

**definition** *tm-div2* :: *tapeidx*  $\Rightarrow$  *machine* **where**  
 $tm\text{-}div2\ j \equiv tm\text{-}right\text{-until}\ j\ \{\square\}\ ;\ ;\ tm\text{-}left\ j\ ;\ ;\ tm\text{-}halve'\ j$

**lemma** *tm-div2-tm*:  
**assumes**  $G \geq 4$  **and**  $0 < j$  **and**  $j < k$   
**shows** *turing-machine*  $k\ G\ (tm\text{-}div2\ j)$   
**unfolding** *tm-div2-def* **using** *tm-right-until-tm tm-left-tm tm-halve'-tm assms* **by** *simp*

**locale** *turing-machine-div2* =

```

fixes j :: tapeidx
begin

definition tm1 ≡ tm-right-until j {□}
definition tm2 ≡ tm1 ;; tm-left j
definition tm3 ≡ tm2 ;; tm-halve' j

lemma tm3-eq-tm-div2: tm3 = tm-div2 j
  unfolding tm3-def tm2-def tm1-def tm-div2-def by simp

context
  fixes tps0 :: tape list and k n :: nat
  assumes jk: 0 < j j < k length tps0 = k
  and tps0: tps0 ! j = (⌊n⌋N, 1)
begin

definition tps1 ≡ tps0
  [j := (⌊n⌋N, Suc (nlength n))]

lemma tm1 [transforms-intros]:
  assumes ttt = Suc (nlength n)
  shows transforms tm1 tps0 ttt tps1
  unfolding tm1-def
proof (tform tps: tps1-def jk tps0 time: assms)
  have rneigh (⌊n⌋N, Suc 0) {□} (nlength n)
  proof (intro rneighI)
    show fst (⌊n⌋N, Suc 0) (snd (⌊n⌋N, Suc 0) + nlength n) ∈ {□}
    using contents-def by simp
    show ∧n'. n' < nlength n ⇒ fst (⌊n⌋N, Suc 0) (snd (⌊n⌋N, Suc 0) + n') ∉ {□}
    using bit-symbols-canrepr contents-def contents-outofbounds proper-symbols-canrepr
    by (metis One-nat-def Suc-leI add-diff-cancel-left' fst-eqD less-Suc-eq-0-disj less-nat-zero-code
      plus-1-eq-Suc singletonD snd-conv)
  qed
  then show rneigh (tps0 ! j) {□} (nlength n)
  using tps0 by simp
qed

definition tps2 ≡ tps0
  [j := (⌊n⌋N, nlength n)]

lemma tm2 [transforms-intros]:
  assumes ttt = 2 + nlength n
  shows transforms tm2 tps0 ttt tps2
  unfolding tm2-def by (tform tps: tps1-def tps2-def jk assms)

definition tps3 ≡ tps0
  [j := (⌊n div 2⌋N, 1)]

lemma tm3:
  assumes ttt = 2 * nlength n + 3
  shows transforms tm3 tps0 ttt tps3
  unfolding tm3-def
proof (tform tps: tps3-def tps2-def tps0 jk time: assms)
  show bit-symbols (canrepr n)
  using bit-symbols-canrepr .
  show tps3 = tps2[j := (⌊tl (canrepr n)⌋, 1)]
  using tps3-def tps2-def jk tps0 canrepr-div-2 by simp
qed

end

end

```

**lemma** *transforms-tm-div2I* [*transforms-intros*]:  
**fixes**  $tps\ tps' :: \text{tape list}$  **and**  $t\ t\ k\ n :: \text{nat}$  **and**  $j :: \text{tapeidx}$   
**assumes**  $0 < j\ j < k$   
**and**  $\text{length } tps = k$   
**and**  $tps ! j = (\lfloor n \rfloor_N, 1)$   
**assumes**  $t\ t = 2 * \text{nlength } n + 3$   
**assumes**  $tps' = tps[j := (\lfloor n \text{ div } 2 \rfloor_N, 1)]$   
**shows** *transforms (tm-div2 j) tps t tps'*  
**proof** –  
**interpret** *loc: turing-machine-div2 j* .  
**show** *?thesis*  
**using** *loc.tm3-eq-tm-div2 loc.tm3 loc.tps3-def assms* **by** *simp*  
**qed**

## 2.7.10 Modulo two

In this section we construct a Turing machine that writes to tape  $j_2$  the symbol **1** or  $\square$  depending on whether the number on tape  $j_1$  is odd or even. If initially tape  $j_2$  contained at most one symbol, it will contain the numbers 1 or 0.

**lemma** *canrepr-odd: odd n  $\implies$  canrepr n ! 0 = 1*  
**proof** –  
**assume** *odd n*  
**then have**  $0 < n$   
**by** *presburger*  
**then have** *len: length (canrepr n) > 0*  
**using** *nlength-0* **by** *simp*  
**then have**  $\text{canrepr } n ! 0 = \mathbf{0} \vee \text{canrepr } n ! 0 = \mathbf{1}$   
**using** *bit-symbols-canrepr* **by** *fastforce*  
**then show**  $\text{canrepr } n ! 0 = \mathbf{1}$   
**using** *prepend-2-even len canrepr <odd n> <0 < n>*  
**by** (*metis gr0-implies-Suc length-Suc-conv nth-Cons-0*)  
**qed**

**lemma** *canrepr-even: even n  $\implies$  0 < n  $\implies$  canrepr n ! 0 = 0*  
**proof** –  
**assume** *even n 0 < n*  
**then have** *len: length (canrepr n) > 0*  
**using** *nlength-0* **by** *simp*  
**then have**  $\text{canrepr } n ! 0 = \mathbf{0} \vee \text{canrepr } n ! 0 = \mathbf{1}$   
**using** *bit-symbols-canrepr* **by** *fastforce*  
**then show**  $\text{canrepr } n ! 0 = \mathbf{0}$   
**using** *prepend-3-odd len canrepr <even n> <0 < n>*  
**by** (*metis gr0-implies-Suc length-Suc-conv nth-Cons-0*)  
**qed**

**definition** *tm-mod2 j1 j2*  $\equiv$  *tm-trans2 j1 j2* ( $\lambda z.$  *if z = 1 then 1 else*  $\square$ )

**lemma** *tm-mod2-tm*:  
**assumes**  $k \geq 2$  **and**  $G \geq 4$  **and**  $0 < j_2$  **and**  $j_1 < k$  **and**  $j_2 < k$   
**shows** *turing-machine k G (tm-mod2 j1 j2)*  
**unfolding** *tm-mod2-def* **using** *assms tm-trans2-tm* **by** *simp*

**lemma** *transforms-tm-mod2I* [*transforms-intros*]:  
**assumes**  $j_1 < \text{length } tps$  **and**  $0 < j_2$  **and**  $j_2 < \text{length } tps$   
**and**  $b \leq 1$   
**assumes**  $tps ! j_1 = (\lfloor n \rfloor_N, 1)$   
**and**  $tps ! j_2 = (\lfloor b \rfloor_N, 1)$   
**assumes**  $tps' = tps[j_2 := (\lfloor n \text{ mod } 2 \rfloor_N, 1)]$   
**shows** *transforms (tm-mod2 j1 j2) tps 1 tps'*  
**proof** –  
**let**  $?f = \lambda z :: \text{symbol. if } z = \mathbf{1} \text{ then } \mathbf{1} \text{ else } \square$   
**let**  $?tps = tps[j_2 := tps ! j_2 | := | (?f (tps :: j_1))]$   
**have**  $*$ : *transforms (tm-mod2 j1 j2) tps 1 ?tps*

```

using transforms-tm-trans2I assms tm-mod2-def by metis

have tps :: j1 = 1 if odd n
  using that canrepr-odd assms(5) contents-def
  by (metis One-nat-def diff-Suc-1 fst-conv gr-implies-not0 ncontents-1-blank-iff-zero odd-pos snd-conv)
moreover have tps :: j1 = 0 if even n and n > 0
  using that canrepr-even assms(5) contents-def
  by (metis One-nat-def diff-Suc-1 fst-conv gr-implies-not0 ncontents-1-blank-iff-zero snd-conv)
moreover have tps :: j1 = 0 if n = 0
  using that canrepr-even assms(5) contents-def
  by simp
ultimately have tps :: j1 = 1  $\longleftrightarrow$  odd n
  by linarith
then have f: ?f (tps :: j1) = 1  $\longleftrightarrow$  odd n
  by simp

have tps-j2: tps ! j2 |:=| (?f (tps :: j1)) = (([b]N)(1 := (?f (tps :: j1))), 1)
  using assms by simp

have tps ! j2 |:=| (?f (tps :: j1)) = ([n mod 2]N, 1)
proof (cases even n)
  case True
  then have tps ! j2 |:=| (?f (tps :: j1)) = (([b]N)(1 := 0), 1)
    using f tps-j2 by auto
  also have ... = ([[]], 1)
  proof (cases b = 0)
    case True
    then have [b]N = [[]]
      using canrepr-0 by simp
    then show ?thesis
      by auto
  next
  case False
  then have [b]N = [[1]]
    using canrepr-1 assms(4) by (metis One-nat-def bot-nat-0.extremum-uniqueI le-Suc-eq)
  then show ?thesis
    by (metis One-nat-def append.simps(1) append-Nil2 contents-append-update contents-blank-0 list.size(3))
  qed
  also have ... = ([0]N, 1)
    using canrepr-0 by simp
  finally show ?thesis
    using True by auto
next
  case False
  then have tps ! j2 |:=| (?f (tps :: j1)) = (([b]N)(1 := 1), 1)
    using f tps-j2 by auto
  also have ... = ([[1]], 1)
  proof (cases b = 0)
    case True
    then have [b]N = [[]]
      using canrepr-0 by simp
    then show ?thesis
      by (metis One-nat-def append.simps(1) contents-snoc list.size(3))
  next
  case False
  then have [b]N = [[1]]
    using canrepr-1 assms(4) by (metis One-nat-def bot-nat-0.extremum-uniqueI le-Suc-eq)
  then show ?thesis
    by auto
  qed
  also have ... = ([1]N, 1)
    using canrepr-1 by simp
  also have ... = ([n mod 2]N, 1)

```



```

    using False by (simp add: mod2-eq-if)
  finally show ?thesis
    by auto
qed
then show ?thesis
  using * assms(7) by auto
qed

```

### 2.7.11 Boolean operations

In order to support Boolean operations, we represent the value True by the number 1 and False by 0.

**abbreviation**  $bcontents :: bool \Rightarrow (nat \Rightarrow symbol) (\langle \_ \rangle_B)$  **where**  
 $\lfloor b \rfloor_B \equiv \lfloor \text{if } b \text{ then } 1 \text{ else } 0 \rfloor_N$

A tape containing a number contains the number 0 iff. there is a blank in cell number 1.

**lemma** *read-ncontents-eq-0*:  
**assumes**  $tps ! j = (\lfloor n \rfloor_N, 1)$  **and**  $j < \text{length } tps$   
**shows**  $(\text{read } tps) ! j = \square \iff n = 0$   
**using** *assms tapes-at-read*[*of j tps*] *ncontents-1-blank-iff-zero* **by** (*metis prod.sel(1) prod.sel(2)*)

**And**

The next Turing machine, when given two numbers  $a, b \in \{0, 1\}$  on tapes  $j_1$  and  $j_2$ , writes to tape  $j_1$  the number 1 if  $a = b = 1$ ; otherwise it writes the number 0. In other words, it overwrites tape  $j_1$  with the logical AND of the two tapes.

**definition** *tm-and*  $:: \text{tapeidx} \Rightarrow \text{tapeidx} \Rightarrow \text{machine}$  **where**  
 $tm\text{-and } j_1 j_2 \equiv \text{IF } \lambda rs. rs ! j_1 = \mathbf{1} \wedge rs ! j_2 = \square \text{ THEN } tm\text{-write } j_1 \square \text{ ELSE } [] \text{ ENDIF}$

**lemma** *tm-and-tm*:  
**assumes**  $k \geq 2$  **and**  $G \geq 4$  **and**  $0 < j_1$  **and**  $j_1 < k$   
**shows** *turing-machine*  $k G (tm\text{-and } j_1 j_2)$   
**using** *tm-and-def tm-write-tm Nil-tm assms turing-machine-branch-turing-machine* **by** *simp*

**locale** *turing-machine-and* =  
**fixes**  $j_1 j_2 :: \text{tapeidx}$   
**begin**

**context**  
**fixes**  $tps0 :: \text{tape list}$  **and**  $k :: nat$  **and**  $a b :: nat$   
**assumes**  $ab: a < 2 \ b < 2$   
**assumes**  $jk: j_1 < k \ j_2 < k \ j_1 \neq j_2 \ 0 < j_1 \ \text{length } tps0 = k$   
**assumes**  $tps0$ :  
 $tps0 ! j_1 = (\lfloor a \rfloor_N, 1)$   
 $tps0 ! j_2 = (\lfloor b \rfloor_N, 1)$   
**begin**

**definition**  $tps1 \equiv tps0$   
 $\lfloor j_1 \rfloor := (\lfloor a = 1 \wedge b = 1 \rfloor_B, 1)$

**lemma** *tm: transforms*  $(tm\text{-and } j_1 j_2) tps0 \ 3 \ tps1$   
**unfolding** *tm-and-def*

**proof** (*tform*)  
**have**  $\text{read } tps0 ! j_1 = \lfloor \text{canrepr } a \rfloor \ 1$   
**using**  $jk \ tps0 \ \text{tapes-at-read}$ [*of j1 tps0*] **by** *simp*  
**then have**  $1: \text{read } tps0 ! j_1 = \mathbf{1} \iff a = 1$   
**using**  $ab \ \text{canrepr-odd contents-def ncontents-1-blank-iff-zero}$   
**by** (*metis (mono-tags, lifting) One-nat-def diff-Suc-1 less-2-cases-iff odd-one*)  
**have**  $\text{read } tps0 ! j_2 = \lfloor \text{canrepr } b \rfloor \ 1$   
**using**  $jk \ tps0 \ \text{tapes-at-read}$ [*of j2 tps0*] **by** *simp*  
**then have**  $2: \text{read } tps0 ! j_2 = \mathbf{1} \iff b = 1$   
**using**  $ab \ \text{canrepr-odd contents-def ncontents-1-blank-iff-zero}$   
**by** (*metis (mono-tags, lifting) One-nat-def diff-Suc-1 less-2-cases-iff odd-one*)

```

show tps1 = tps0 if ¬ (read tps0 ! j1 = 1 ∧ read tps0 ! j2 = □)
proof -
  have a = (if a = 1 ∧ b = 1 then 1 else 0)
    using that 1 2 ab jk by (metis One-nat-def less-2-cases-iff read-ncontents-eq-0 tps0(2))
  then have tps0 ! j1 = (⌊a = 1 ∧ b = 1⌋B, 1)
    using tps0 by simp
  then show ?thesis
    unfolding tps1-def using list-update-id[of tps0 j1] by simp
qed
show tps1 = tps0[j1 := tps0 ! j1 |:=| □] if read tps0 ! j1 = 1 ∧ read tps0 ! j2 = □
proof -
  have (if a = 1 ∧ b = 1 then 1 else 0) = 0
    using that 1 2 by simp
  moreover have tps0 ! j1 |:=| □ = (⌊0⌋N, 1)
  proof (cases a = 0)
    case True
    then show ?thesis
      using tps0 jk by auto
  next
    case False
    then have a = 1
      using ab by simp
    then have ⌊a⌋N = ⌊1⌋
      using canrepr-1 by simp
    moreover have (⌊1⌋, 1) |:=| □ = (⌊□⌋, 1)
      using contents-def by auto
    ultimately have (⌊a⌋N, 1) |:=| □ = (⌊0⌋N, 1)
      using ncontents-0 by presburger
    then show ?thesis
      using tps0 jk by simp
  qed
  ultimately have tps0 ! j1 |:=| □ = (⌊a = 1 ∧ b = 1⌋B, 1)
    by (smt (verit, best))
  then show ?thesis
    unfolding tps1-def by auto
qed
qed
end
end

lemma transforms-tm-andI [transforms-intros]:
  fixes j1 j2 :: tapeidx
  fixes tps :: tape list and k :: nat and a b :: nat
  assumes a < 2 b < 2
  assumes length tps = k
  assumes j1 < k j2 < k j1 ≠ j2 0 < j1
  assumes
    tps ! j1 = (⌊a⌋N, 1)
    tps ! j2 = (⌊b⌋N, 1)
  assumes tps' = tps
    [j1 := (⌊a = 1 ∧ b = 1⌋B, 1)]
  shows transforms (tm-and j1 j2) tps 3 tps'
proof -
  interpret loc: turing-machine-and j1 j2 .
  show ?thesis
    using assms loc.tps1-def loc.tm by simp
qed

```

## Not

The next Turing machine turns the number 1 into 0 and vice versa.

**definition** *tm-not* :: *tapeidx*  $\Rightarrow$  *machine* **where**

*tm-not*  $j \equiv \text{IF } \lambda rs. rs ! j = \square \text{ THEN } \text{tm-write } j \ \mathbf{1} \ \text{ELSE } \text{tm-write } j \ \square \ \text{ENDIF}$

**lemma** *tm-not-tm*:

**assumes**  $k \geq 2$  **and**  $G \geq 4$  **and**  $0 < j$  **and**  $j < k$

**shows** *turing-machine*  $k \ G \ (\text{tm-not } j)$

**using** *tm-not-def* *tm-write-tm* *assms* *turing-machine-branch-turing-machine* **by** *simp*

**locale** *turing-machine-not* =

**fixes**  $j :: \text{tapeidx}$

**begin**

**context**

**fixes**  $\text{tps0} :: \text{tape list}$  **and**  $k :: \text{nat}$  **and**  $a :: \text{nat}$

**assumes**  $a < 2$

**assumes**  $jk: j < k$  *length*  $\text{tps0} = k$

**assumes**  $\text{tps0}: \text{tps0} ! j = (\lfloor a \rfloor_N, 1)$

**begin**

**definition** *tps1*  $\equiv \text{tps0}$

$[j := (\lfloor a \neq 1 \rfloor_B, 1)]$

**lemma** *tm: transforms (tm-not j) tps0 3 tps1*

**unfolding** *tm-not-def*

**proof** (*tform*)

**have**  $*$ : *read*  $\text{tps0} ! j = \square \iff a = 0$

**using** *read-ncontents-eq-0*  $jk$   $\text{tps0}$  **by** *simp*

**show**  $\text{tps1} = \text{tps0}[j := \text{tps0} ! j \mid := \mathbf{1}]$  **if** *read*  $\text{tps0} ! j = \square$

**proof** –

**have**  $a = 0$

**using**  $a$  *that*  $*$  **by** *simp*

**then have**  $(\lfloor \text{if } a = 1 \text{ then } 0 \text{ else } 1 \rfloor_N, 1) = (\lfloor 1 \rfloor_N, 1)$

**by** *simp*

**moreover have**  $\text{tps0} ! j \mid := \mathbf{1} = (\lfloor 1 \rfloor_N, 1)$

**using** *tps0 canrepr-0 canrepr-1*  $\langle a = 0 \rangle$  *contents-snoc*

**by** (*metis One-nat-def append.simps(1) fst-conv list.size(3) snd-conv*)

**ultimately have**  $\text{tps0}[j := \text{tps0} ! j \mid := \mathbf{1}] = \text{tps0}[j := (\lfloor a \neq 1 \rfloor_B, 1)]$

**by** *auto*

**then show** *?thesis*

**using** *tps1-def* **by** *simp*

**qed**

**show**  $\text{tps1} = \text{tps0}[j := \text{tps0} ! j \mid := \square]$  **if** *read*  $\text{tps0} ! j \neq \square$

**proof** –

**have**  $a = 1$

**using**  $a$  *that*  $*$  **by** *simp*

**then have**  $(\lfloor \text{if } a = 1 \text{ then } 0 \text{ else } 1 \rfloor_N, 1) = (\lfloor 0 \rfloor_N, 1)$

**by** *simp*

**moreover have**  $\text{tps0} ! j \mid := \square = (\lfloor 0 \rfloor_N, 1)$

**using** *tps0 canrepr-0 canrepr-1*  $\langle a = 1 \rangle$  *contents-snoc*

**by** (*metis Suc-1 append-self-conv2 contents-blank-0 fst-eqD fun-upd-upd nat.inject nlength-0-simp numeral-2-eq-2 snd-eqD*)

**ultimately have**  $\text{tps0}[j := \text{tps0} ! j \mid := \square] = \text{tps0}[j := (\lfloor a \neq 1 \rfloor_B, 1)]$

**by** *auto*

**then show** *?thesis*

**using** *tps1-def* **by** *simp*

**qed**

**qed**

**end**

end

**lemma** *transforms-tm-notI* [*transforms-intros*]:  
**fixes**  $j :: \text{tapeid}$   
**fixes**  $\text{tps } \text{tps}' :: \text{tape list}$  **and**  $k :: \text{nat}$  **and**  $a :: \text{nat}$   
**assumes**  $j < k$   $\text{length } \text{tps} = k$   
**and**  $a < 2$   
**assumes**  $\text{tps} ! j = (\lfloor a \rfloor_N, 1)$   
**assumes**  $\text{tps}' = \text{tps}$   
 $[j := (\lfloor a \neq 1 \rfloor_B, 1)]$   
**shows** *transforms* (*tm-not*  $j$ )  $\text{tps}$   $\exists$   $\text{tps}'$   
**proof** –  
**interpret** *loc*: *turing-machine-not*  $j$  .  
**show** *?thesis*  
**using** *assms loc.tps1-def loc.tm* **by** *simp*  
**qed**

end

## 2.8 Lists of numbers

**theory** *Lists-Lists*  
**imports** *Arithmetic*  
**begin**

In the previous section we defined a representation for numbers over the binary alphabet  $\{0,1\}$ . In this section we first introduce a representation of lists of numbers as symbol sequences over the alphabet  $\{0,1,|\}$ . Then we define Turing machines for some operations over such lists.

As with the arithmetic operations, Turing machines implementing the operations on lists usually expect the tape heads of the operands to be in position 1 and guarantee to place the tape heads of the result in position 1. The exception are Turing machines for iterating over lists; such TMs move the tape head to the next list element.

A tape containing such representations corresponds to a variable of type *nat list*. A tape in the start configuration corresponds to the empty list of numbers.

### 2.8.1 Representation as symbol sequence

The obvious idea for representing a list of numbers is to write them one after another separated by a fresh symbol, such as  $|$ . However since we represent the number 0 by the empty symbol sequence, this would result in both the empty list and the list containing only the number 0 to be represented by the same symbol sequence, namely the empty one. To prevent this we use the symbol  $|$  not as a separator but as a terminator; that is, we append it to every number. Consequently the empty symbol sequence represents the empty list, whereas the list  $[0]$  is represented by the symbol sequence  $|$ . As another example, the list  $[14, 0, 0, 7]$  is represented by **0111|||111|**. As a side effect of using terminators instead of separators, the representation of the concatenation of lists is just the concatenation of the representations of the individual lists. Moreover the length of the representation is simply the sum of the individual representation lengths. The number of  $|$  symbols equals the number of elements in the list.

This is how lists of numbers are represented as symbol sequences:

**definition** *numlist*  $:: \text{nat list} \Rightarrow \text{symbol list}$  **where**  
 $\text{numlist } ns \equiv \text{concat } (\text{map } (\lambda n. \text{canrepr } n @ [|]) ns)$

**lemma** *numlist-Nil*:  $\text{numlist } [] = []$   
**using** *numlist-def* **by** *simp*

**proposition** *numlist*  $[0] = [|]$   
**using** *numlist-def* **by** (*simp add: canrepr-0*)

**lemma** *numlist-234*:  $\text{set } (\text{numlist } ns) \subseteq \{0, 1, |\}$   
**proof** (*induction ns*)

```

case Nil
then show ?case
  using numlist-def by simp
next
case (Cons n ns)
have numlist (n # ns) = canrepr n @ [] @ concat (map (λn. canrepr n @ []) ns)
  using numlist-def by simp
then have numlist (n # ns) = canrepr n @ [] @ numlist ns
  using numlist-def by simp
moreover have set ([] @ numlist ns) ⊆ {0, 1, |}
  using Cons by simp
moreover have set (canrepr n) ⊆ {0, 1, |}
  using bit-symbols-canrepr by (metis in-set-conv-nth insertCI subsetI)
ultimately show ?case
  by simp
qed

lemma symbols-lt-numlist: symbols-lt 5 (numlist ns)
  using numlist-234
  by (metis empty-iff insert-iff nth-mem numeral-less-iff semiring-norm(68) semiring-norm(76) semiring-norm(79)
    semiring-norm(80) subset-code(1) verit-comp-simplify1(2))

lemma bit-symbols-prefix-eq:
  assumes (x @ []) @ xs = (y @ []) @ ys and bit-symbols x and bit-symbols y
  shows x = y
proof -
  have *: x @ [] @ xs = y @ [] @ ys
    (is ?lhs = ?rhs)
  using assms(1) by simp
  show x = y
proof (cases length x ≤ length y)
  case True
  then have ?lhs ! i = ?rhs ! i if i < length x for i
    using that * by simp
  then have eq: x ! i = y ! i if i < length x for i
    using that True by (metis Suc-le-eq le-trans nth-append)
  have ?lhs ! (length x) = |
    by (metis Cons-eq-appendI nth-append-length)
  then have ?rhs ! (length x) = |
    using * by metis
  then have length y ≤ length x
    using assms(3)
    by (metis linorder-le-less-linear nth-append numeral-eq-iff semiring-norm(85) semiring-norm(87) semiring-norm(89))
  then have length y = length x
    using True by simp
  then show ?thesis
    using eq by (simp add: list-eq-iff-nth-eq)
next
  case False
  then have ?lhs ! i = ?rhs ! i if i < length y for i
    using that * by simp
  have ?rhs ! (length y) = |
    by (metis Cons-eq-appendI nth-append-length)
  then have ?lhs ! (length y) = |
    using * by metis
  then have x ! (length y) = |
    using False by (simp add: nth-append)
  then have False
    using assms(2) False
    by (metis linorder-le-less-linear numeral-eq-iff semiring-norm(85) semiring-norm(87) semiring-norm(89))
  then show ?thesis
    by simp

```

qed  
qed

**lemma** *numlist-inj*:  $\text{numlist } ns1 = \text{numlist } ns2 \implies ns1 = ns2$

**proof** (*induction ns1 arbitrary: ns2*)

case *Nil*

then show ?case

using *numlist-def* by *simp*

next

case (*Cons n ns1*)

have 1:  $\text{numlist } (n \# ns1) = (\text{canrepr } n @ []) @ \text{numlist } ns1$

using *numlist-def* by *simp*

then have  $\text{numlist } ns2 = (\text{canrepr } n @ []) @ \text{numlist } ns1$

using *Cons* by *simp*

then have  $ns2 \neq []$

using *numlist-Nil* by *auto*

then have 2:  $ns2 = \text{hd } ns2 \# \text{tl } ns2$

using  $\langle ns2 \neq [] \rangle$  by *simp*

then have 3:  $\text{numlist } ns2 = (\text{canrepr } (\text{hd } ns2) @ []) @ \text{numlist } (\text{tl } ns2)$

using *numlist-def* by (*metis concat.simps(2) list.simps(9)*)

have 4:  $\text{hd } ns2 = n$

using *bit-symbols-prefix-eq 1 3* by (*metis Cons.premis canrepr bit-symbols-canrepr*)

then have  $\text{numlist } ns2 = (\text{canrepr } n @ []) @ \text{numlist } (\text{tl } ns2)$

using 3 by *simp*

then have  $\text{numlist } ns1 = \text{numlist } (\text{tl } ns2)$

using 1 by (*simp add: Cons.premis*)

then have  $ns1 = \text{tl } ns2$

using *Cons* by *simp*

then show ?case

using 2 4 by *simp*

qed

**corollary** *proper-symbols-numlist*:  $\text{proper-symbols } (\text{numlist } ns)$

using *numlist-234 nth-mem* by *fastforce*

The next property would not hold if we used separators between elements instead of terminators after elements.

**lemma** *numlist-append*:  $\text{numlist } (xs @ ys) = \text{numlist } xs @ \text{numlist } ys$

using *numlist-def* by *simp*

Like *nlength* for numbers, we have *nllength* for the length of the representation of a list of numbers.

**definition** *nllength* ::  $\text{nat list} \Rightarrow \text{nat}$  **where**

$nllength \ ns \equiv \text{length } (\text{numlist } ns)$

**lemma** *nllength*:  $nllength \ ns = (\sum n \leftarrow ns. \text{Suc } (nlength \ n))$

using *nllength-def numlist-def* by (*induction ns*) *simp-all*

**lemma** *nllength-Nil* [*simp*]:  $nllength \ [] = 0$

using *nllength-def numlist-def* by *simp*

**lemma** *length-le-nllength*:  $\text{length } ns \leq nllength \ ns$

using *nllength sum-list-mono*[*of ns*  $\lambda-. 1 \ \lambda n. \text{Suc } (nlength \ n)$ ] *sum-list-const*[*of 1 ns*]

by *simp*

**lemma** *nllength-le-len-mult-max*:

**fixes**  $N :: \text{nat}$  **and**  $ns :: \text{nat list}$

**assumes**  $\forall n \in \text{set } ns. n \leq N$

**shows**  $nllength \ ns \leq \text{Suc } (nlength \ N) * \text{length } ns$

**proof** –

have  $nllength \ ns = (\sum n \leftarrow ns. \text{Suc } (nlength \ n))$

using *nllength* by *simp*

moreover have  $\text{Suc } (nlength \ n) \leq \text{Suc } (nlength \ N)$  **if**  $n \in \text{set } ns$  **for**  $n$

using *nlength-mono* that *assms* **by** *simp*  
**ultimately have**  $nlength\ ns \leq (\sum n \leftarrow ns.\ Suc\ (nlength\ N))$   
**by** (*simp add: sum-list-mono*)  
**then show**  $nlength\ ns \leq Suc\ (nlength\ N) * length\ ns$   
 using *sum-list-const* **by** *metis*  
**qed**

**lemma** *nlength-upt-le-len-mult-max*:  
 fixes  $a\ b :: nat$   
**shows**  $nlength\ [a..<b] \leq Suc\ (nlength\ b) * (b - a)$   
 using *nlength-le-len-mult-max*[of  $[a..<b]\ b$ ] **by** *simp*

**lemma** *nlength-le-len-mult-Max*:  $nlength\ ns \leq Suc\ (nlength\ (Max\ (set\ ns))) * length\ ns$   
 using *nlength-le-len-mult-max* **by** *simp*

**lemma** *member-le-nlength*:  $n \in set\ ns \implies nlength\ n \leq nlength\ ns$   
 using *nlength* **by** (*induction ns*) *auto*

**lemma** *member-le-nlength-1*:  $n \in set\ ns \implies nlength\ n \leq nlength\ ns - 1$   
 using *nlength* **by** (*induction ns*) *auto*

**lemma** *nlength-gr-0*:  $ns \neq [] \implies 0 < nlength\ ns$   
 using *nlength-def numlist-def* **by** *simp*

**lemma** *nlength-min-le-nlength*:  $n \in set\ ns \implies m \in set\ ns \implies nlength\ (min\ n\ m) \leq nlength\ ns$   
 using *member-le-nlength* **by** (*simp add: min-def*)

**lemma** *take-drop-numlist*:  
 assumes  $i < length\ ns$   
**shows**  $take\ (Suc\ (nlength\ (ns\ !\ i)))\ (drop\ (nlength\ (take\ i\ ns))\ (numlist\ ns)) = canrepr\ (ns\ !\ i)\ @\ []$   
**proof** –  
 have  $numlist\ ns = numlist\ (take\ i\ ns)\ @\ numlist\ (drop\ i\ ns)$   
 using *numlist-append* **by** (*metis append-take-drop-id*)  
**moreover have**  $numlist\ (drop\ i\ ns) = numlist\ [ns\ !\ i]\ @\ numlist\ (drop\ (Suc\ i)\ ns)$   
 using *assms numlist-append* **by** (*metis Cons-nth-drop-Suc append-Cons self-append-conv2*)  
**ultimately have**  $numlist\ ns = numlist\ (take\ i\ ns)\ @\ numlist\ [ns\ !\ i]\ @\ numlist\ (drop\ (Suc\ i)\ ns)$   
**by** *simp*  
**then have**  $drop\ (nlength\ (take\ i\ ns))\ (numlist\ ns) = numlist\ [ns\ !\ i]\ @\ numlist\ (drop\ (Suc\ i)\ ns)$   
**by** (*simp add: nlength-def*)  
**then have**  $drop\ (nlength\ (take\ i\ ns))\ (numlist\ ns) = canrepr\ (ns\ !\ i)\ @\ []\ @\ numlist\ (drop\ (Suc\ i)\ ns)$   
 using *numlist-def* **by** *simp*  
**then show** *?thesis*  
**by** *simp*  
**qed**

**corollary** *take-drop-numlist'*:  
 assumes  $i < length\ ns$   
**shows**  $take\ (nlength\ (ns\ !\ i))\ (drop\ (nlength\ (take\ i\ ns))\ (numlist\ ns)) = canrepr\ (ns\ !\ i)$   
 using *take-drop-numlist*[OF *assms*] **by** (*metis append-assoc append-eq-conv-conj append-take-drop-id*)

**corollary** *numlist-take-at-term*:  
 assumes  $i < length\ ns$   
**shows**  $numlist\ ns\ !\ (nlength\ (take\ i\ ns) + nlength\ (ns\ !\ i)) = |$   
 using *assms take-drop-numlist nlength-def numlist-append*  
**by** (*smt (verit) append-eq-conv-conj append-take-drop-id lessI nth-append-length nth-append-length-plus nth-take*)

**lemma** *numlist-take-at*:  
 assumes  $i < length\ ns$  **and**  $j < nlength\ (ns\ !\ i)$   
**shows**  $numlist\ ns\ !\ (nlength\ (take\ i\ ns) + j) = canrepr\ (ns\ !\ i)\ !\ j$   
**proof** –  
 have  $ns = take\ i\ ns\ @\ [ns\ !\ i]\ @\ drop\ (Suc\ i)\ ns$   
 using *assms* **by** (*metis Cons-eq-appendI append-self-conv2 id-take-nth-drop*)  
**then have**  $numlist\ ns = (numlist\ (take\ i\ ns)\ @\ numlist\ [ns\ !\ i])\ @\ numlist\ (drop\ (Suc\ i)\ ns)$

**using** *numlist-append* **by** (*metis append-assoc*)  
**moreover have**  $nlength\ (take\ i\ ns) + j < nlength\ (take\ i\ ns) + nlength\ [ns\ !\ i]$   
**using** *assms(2) nlength* **by** *simp*  
**ultimately have**  $numlist\ ns\ !\ (nlength\ (take\ i\ ns) + j) =$   
 $(numlist\ (take\ i\ ns)\ @\ numlist\ [ns\ !\ i])\ !\ (nlength\ (take\ i\ ns) + j)$   
**by** (*metis length-append nlength-def nth-append*)  
**also have**  $\dots = numlist\ [ns\ !\ i]\ !\ j$   
**by** (*simp add: nlength-def*)  
**also have**  $\dots = (canrepr\ (ns\ !\ i)\ @\ [])\ !\ j$   
**using** *numlist-def* **by** *simp*  
**also have**  $\dots = canrepr\ (ns\ !\ i)\ !\ j$   
**using** *assms(2)* **by** (*simp add: nth-append*)  
**finally show** *?thesis* .  
**qed**

**lemma** *nlength-take-Suc*:  
**assumes**  $i < length\ ns$   
**shows**  $nlength\ (take\ i\ ns) + Suc\ (nlength\ (ns\ !\ i)) = nlength\ (take\ (Suc\ i)\ ns)$   
**proof** –  
**have**  $ns = take\ i\ ns\ @\ [ns\ !\ i]\ @\ drop\ (Suc\ i)\ ns$   
**using** *assms* **by** (*metis Cons-eq-appendI append-self-conv2 id-take-nth-drop*)  
**then have**  $numlist\ ns = numlist\ (take\ i\ ns)\ @\ numlist\ [ns\ !\ i]\ @\ numlist\ (drop\ (Suc\ i)\ ns)$   
**using** *numlist-append* **by** *metis*  
**then have**  $nlength\ ns = nlength\ (take\ i\ ns) + nlength\ [ns\ !\ i] + nlength\ (drop\ (Suc\ i)\ ns)$   
**by** (*simp add: nlength-def*)  
**moreover have**  $nlength\ ns = nlength\ (take\ (Suc\ i)\ ns) + nlength\ (drop\ (Suc\ i)\ ns)$   
**using** *numlist-append* **by** (*metis append-take-drop-id length-append nlength-def*)  
**ultimately have**  $nlength\ (take\ (Suc\ i)\ ns) = nlength\ (take\ i\ ns) + nlength\ [ns\ !\ i]$   
**by** *simp*  
**then show** *?thesis*  
**using** *nlength* **by** *simp*  
**qed**

**lemma** *numlist-take-Suc-at-term*:  
**assumes**  $i < length\ ns$   
**shows**  $numlist\ ns\ !\ (nlength\ (take\ (Suc\ i)\ ns) - 1) = |$   
**proof** –  
**have**  $nlength\ (take\ (Suc\ i)\ ns) - 1 = nlength\ (take\ i\ ns) + nlength\ (ns\ !\ i)$   
**using** *nlength-take-Suc assms* **by** (*metis add-Suc-right diff-Suc-1*)  
**then show** *?thesis*  
**using** *numlist-take-at-term assms* **by** *simp*  
**qed**

**lemma** *nlength-take*:  
**assumes**  $i < length\ ns$   
**shows**  $nlength\ (take\ i\ ns) + nlength\ (ns\ !\ i) < nlength\ ns$   
**proof** –  
**have**  $ns = take\ i\ ns\ @\ [ns\ !\ i]\ @\ drop\ (Suc\ i)\ ns$   
**using** *assms* **by** (*metis Cons-eq-appendI append-self-conv2 id-take-nth-drop*)  
**then have**  $numlist\ ns = numlist\ (take\ i\ ns)\ @\ numlist\ [ns\ !\ i]\ @\ numlist\ (drop\ (Suc\ i)\ ns)$   
**using** *numlist-append* **by** *metis*  
**then have**  $nlength\ ns = nlength\ (take\ i\ ns) + nlength\ [ns\ !\ i] + nlength\ (drop\ (Suc\ i)\ ns)$   
**by** (*simp add: nlength-def*)  
**then have**  $nlength\ ns \geq nlength\ (take\ i\ ns) + nlength\ [ns\ !\ i]$   
**by** *simp*  
**then have**  $nlength\ ns \geq nlength\ (take\ i\ ns) + Suc\ (nlength\ (ns\ !\ i))$   
**using** *nlength* **by** *simp*  
**then show** *?thesis*  
**by** *simp*  
**qed**

The contents of a tape starting with the start symbol  $\triangleright$  followed by the symbol sequence representing a list of numbers:



**definition**  $nlcontents :: nat\ list \Rightarrow (nat \Rightarrow symbol) (\langle \_ \rangle_{NL})$  **where**  
 $\lfloor ns \rfloor_{NL} \equiv \lfloor numlist\ ns \rfloor$

**lemma**  $clean-tape-nlcontents$ :  $clean-tape (\lfloor ns \rfloor_{NL}, i)$   
**by** ( $simp\ add$ :  $nlcontents-def\ proper-symbols-numlist$ )

**lemma**  $nlcontents-Nil$ :  $\lfloor [] \rfloor_{NL} = \lfloor [] \rfloor$   
**using**  $nlcontents-def$  **by** ( $simp\ add$ :  $numlist-Nil$ )

**lemma**  $nlcontents-rneigh-4$ :  
**assumes**  $i < length\ ns$   
**shows**  $rneigh (\lfloor ns \rfloor_{NL}, Suc\ (nlength\ (take\ i\ ns))) \{\}\ (nlength\ (ns\ !\ i))$   
**proof** ( $rule\ rneighI$ )  
**let**  $?tp = (\lfloor ns \rfloor_{NL}, Suc\ (nlength\ (take\ i\ ns)))$   
**show**  $fst\ ?tp\ (snd\ ?tp + nlength\ (ns\ !\ i)) \in \{\}$   
**proof** –  
**have**  $snd\ ?tp + nlength\ (ns\ !\ i) \leq nlength\ ns$   
**using**  $nlength-take\ assms$  **by** ( $simp\ add$ :  $Suc-leI$ )  
**then** **have**  $fst\ ?tp\ (snd\ ?tp + nlength\ (ns\ !\ i)) = numlist\ ns\ !\ (nlength\ (take\ i\ ns) + nlength\ (ns\ !\ i))$   
**using**  $nlcontents-def\ contents-inbounds\ nlength-def$  **by**  $simp$   
**then** **show**  $?thesis$   
**using**  $numlist-take-at-term\ assms$  **by**  $simp$   
**qed**  
**show**  $fst\ ?tp\ (snd\ ?tp + j) \notin \{\}$  **if**  $j < nlength\ (ns\ !\ i)$  **for**  $j$   
**proof** –  
**have**  $snd\ ?tp + nlength\ (ns\ !\ i) \leq nlength\ ns$   
**using**  $nlength-take\ assms$  **by** ( $simp\ add$ :  $Suc-leI$ )  
**then** **have**  $snd\ ?tp + j \leq nlength\ ns$   
**using**  $that$  **by**  $simp$   
**then** **have**  $fst\ ?tp\ (snd\ ?tp + j) = numlist\ ns\ !\ (nlength\ (take\ i\ ns) + j)$   
**using**  $nlcontents-def\ contents-inbounds\ nlength-def$  **by**  $simp$   
**then** **have**  $fst\ ?tp\ (snd\ ?tp + j) = canrepr\ (ns\ !\ i)\ !\ j$   
**using**  $assms\ that\ numlist-take-at$  **by**  $simp$   
**then** **show**  $?thesis$   
**using**  $bit-symbols-canrepr\ that$  **by**  $fastforce$   
**qed**  
**qed**

**lemma**  $nlcontents-rneigh-04$ :  
**assumes**  $i < length\ ns$   
**shows**  $rneigh (\lfloor ns \rfloor_{NL}, Suc\ (nlength\ (take\ i\ ns))) \{\square, |\} (nlength\ (ns\ !\ i))$   
**proof** ( $rule\ rneighI$ )  
**let**  $?tp = (\lfloor ns \rfloor_{NL}, Suc\ (nlength\ (take\ i\ ns)))$   
**show**  $fst\ ?tp\ (snd\ ?tp + nlength\ (ns\ !\ i)) \in \{\square, |\}$   
**proof** –  
**have**  $snd\ ?tp + nlength\ (ns\ !\ i) \leq nlength\ ns$   
**using**  $nlength-take\ assms$  **by** ( $simp\ add$ :  $Suc-leI$ )  
**then** **have**  $fst\ ?tp\ (snd\ ?tp + nlength\ (ns\ !\ i)) = numlist\ ns\ !\ (nlength\ (take\ i\ ns) + nlength\ (ns\ !\ i))$   
**using**  $nlcontents-def\ contents-inbounds\ nlength-def$  **by**  $simp$   
**then** **show**  $?thesis$   
**using**  $numlist-take-at-term\ assms$  **by**  $simp$   
**qed**  
**show**  $fst\ ?tp\ (snd\ ?tp + j) \notin \{\square, |\}$  **if**  $j < nlength\ (ns\ !\ i)$  **for**  $j$   
**proof** –  
**have**  $snd\ ?tp + nlength\ (ns\ !\ i) \leq nlength\ ns$   
**using**  $nlength-take\ assms$  **by** ( $simp\ add$ :  $Suc-leI$ )  
**then** **have**  $snd\ ?tp + j \leq nlength\ ns$   
**using**  $that$  **by**  $simp$   
**then** **have**  $fst\ ?tp\ (snd\ ?tp + j) = numlist\ ns\ !\ (nlength\ (take\ i\ ns) + j)$   
**using**  $nlcontents-def\ contents-inbounds\ nlength-def$  **by**  $simp$   
**then** **have**  $fst\ ?tp\ (snd\ ?tp + j) = canrepr\ (ns\ !\ i)\ !\ j$   
**using**  $assms\ that\ numlist-take-at$  **by**  $simp$   
**then** **show**  $?thesis$

```

    using bit-symbols-canrepr that by fastforce
qed
qed

```

A tape storing a list of numbers, with the tape head in the first blank cell after the symbols:

**abbreviation** *ntape* :: *nat list*  $\Rightarrow$  *tape* **where**  
*ntape ns*  $\equiv$  ( $\lfloor ns \rfloor_{NL}$ , *Suc* (*nlength ns*))

A tape storing a list of numbers, with the tape head on the first symbol representing the *i*-th number, unless the *i*-th number is zero, in which case the tape head is on the terminator symbol of this zero. If *i* is out of bounds of the list, the tape head is at the first blank after the list.

**abbreviation** *ntape'* :: *nat list*  $\Rightarrow$  *nat*  $\Rightarrow$  *tape* **where**  
*ntape' ns i*  $\equiv$  ( $\lfloor ns \rfloor_{NL}$ , *Suc* (*nlength* (*take i ns*)))

**lemma** *ntape'-tape-read*:  $|\cdot|$  (*ntape' ns i*) =  $\square$   $\longleftrightarrow$   $i \geq \text{length } ns$

**proof** –

**have**  $|\cdot|$  (*ntape' ns i*) =  $\square$  **if**  $i \geq \text{length } ns$  **for** *i*

**proof** –

**have** *ntape' ns i*  $\equiv$  ( $\lfloor ns \rfloor_{NL}$ , *Suc* (*nlength ns*))

**using** *that by simp*

**then show** *?thesis*

**using** *nlcontents-def contents-outofbounds nlength-def*

**by** (*metis Suc-eq-plus1 add.left-neutral fst-conv less-Suc0 less-add-eq-less snd-conv*)

**qed**

**moreover have**  $|\cdot|$  (*ntape' ns i*)  $\neq \square$  **if**  $i < \text{length } ns$  **for** *i*

**using** *that nlcontents-def contents-inbounds nlength-def nlength-take proper-symbols-numlist*

**by** (*metis Suc-leI add-Suc-right diff-Suc-1 fst-conv less-add-same-cancel1 less-le-trans not-add-less2 plus-1-eq-Suc snd-conv zero-less-Suc*)

**ultimately show** *?thesis*

**by** (*meson le-less-linear*)

**qed**

## 2.8.2 Moving to the next element

The next Turing machine provides a means to iterate over a list of numbers. If the TM starts in a configuration where the tape  $j_1$  contains a list of numbers and the tape head is on the first symbol of the *i*-th element of this list, then after the TM has finished, the *i*-th element will be written on tape  $j_2$  and the tape head on  $j_1$  will have advanced by one list element. If *i* is the last element of the list, the tape head on  $j_1$  will be on a blank symbol. One can execute this TM in a loop until the tape head reaches a blank. The TM is generic over a parameter *z* representing the terminator symbol, so it can be used for other kinds of lists, too (see Section 2.9).

**definition** *tm-nextract* :: *symbol*  $\Rightarrow$  *tapeidx*  $\Rightarrow$  *tapeidx*  $\Rightarrow$  *machine* **where**

```

tm-nextract z j1 j2  $\equiv$ 
  tm-erase-cr j2 ;;
  tm-cp-until j1 j2 {z} ;;
  tm-cr j2 ;;
  tm-right j1

```

**lemma** *tm-nextract-tm*:

**assumes**  $G \geq 4$  **and**  $G > z$  **and**  $0 < j_2$  **and**  $j_2 < k$  **and**  $j_1 < k$  **and**  $k \geq 2$

**shows** *turing-machine* *k G* (*tm-nextract z j1 j2*)

**unfolding** *tm-nextract-def*

**using** *assms tm-erase-cr-tm tm-cp-until-tm tm-cr-tm tm-right-tm*

**by** *simp*

The next locale is for the case  $z = |\cdot|$ .

**locale** *turing-machine-nextract-4* =

**fixes** *j1 j2* :: *tapeidx*

**begin**

```

definition tm1 ≡ tm-erase-cr j2
definition tm2 ≡ tm1 ;; tm-cp-until j1 j2 {}
definition tm3 ≡ tm2 ;; tm-cr j2
definition tm4 ≡ tm3 ;; tm-right j1

lemma tm4-eq-tm-nextract: tm4 = tm-nextract | j1 j2
  unfolding tm1-def tm2-def tm3-def tm4-def tm-nextract-def by simp

context
  fixes tps0 :: tape list and k idx dummy :: nat and ns :: nat list
  assumes jk: j1 < k j2 < k 0 < j1 0 < j2 j1 ≠ j2 length tps0 = k
  and idx: idx < length ns
  and tps0:
    tps0 ! j1 = nltape' ns idx
    tps0 ! j2 = ([dummy]N, 1)
begin

definition tps1 ≡ tps0[j2 := ([0]N, 1)]

lemma tm1 [transforms-intros]:
  assumes ttt = 7 + 2 * nlength dummy
  shows transforms tm1 tps0 ttt tps1
  unfolding tm1-def
proof (tform tps: jk idx tps0 tps1-def assms)
  show proper-symbols (canrepr dummy)
  using proper-symbols-canrepr by simp
  show tps1 = tps0[j2 := ([ $\square$ ], 1)]
  using ncontents-0 tps1-def by simp
qed

definition tps2 ≡ tps0
  [j1 := ([ns]NL, nlength (take (Suc idx) ns)),
  j2 := ([ns ! idx]N, Suc (nlength (ns ! idx)))]

lemma tm2 [transforms-intros]:
  assumes ttt = 7 + 2 * nlength dummy + Suc (nlength (ns ! idx))
  shows transforms tm2 tps0 ttt tps2
  unfolding tm2-def
proof (tform tps: jk idx tps0 tps2-def tps1-def)
  show rneigh (tps1 ! j1) {} (nlength (ns ! idx))
  using tps1-def tps0 jk by (simp add: idx nlcontents-rneigh-4)
  show tps2 = tps1
  [j1 := tps1 ! j1 |+| nlength (ns ! idx),
  j2 := implant (tps1 ! j1) (tps1 ! j2) (nlength (ns ! idx))]
  (is ?l = ?r)
proof (rule nth-equalityI)
  show len: length ?l = length ?r
  using tps1-def tps2-def by simp
  show ?l ! i = ?r ! i if i < length ?l for i
  proof -
  consider i = j1 | i = j2 | i ≠ j1 ∧ i ≠ j2
  by auto
  then show ?thesis
  proof (cases)
  case 1
  then show ?thesis
  using tps0 that tps1-def tps2-def jk nlength-take-Suc[OF idx] idx by simp
  next
  case 2
  then have lhs: ?l ! i = ([ns ! idx]N, Suc (nlength (ns ! idx)))
  using tps2-def jk by simp
  let ?i = Suc (nlength (take idx ns))

```

```

have i1: ?i > 0
  by simp
have nlength (ns ! idx) + (?i - 1) ≤ nlength ns
  using idx by (simp add: add.commute less-or-eq-imp-le nlength-take)
then have i2: nlength (ns ! idx) + (?i - 1) ≤ length (numlist ns)
  using nlength-def by simp
have ?r ! i = implant (tps1 ! j1) (tps1 ! j2) (nlength (ns ! idx))
  using 2 tps1-def jk by simp
also have ... = implant ([ns]NL, ?i) ([0]N, 1) (nlength (ns ! idx))
  using tps1-def jk tps0 by simp
also have ... =
  ([[] @ (take (nlength (ns ! idx)) (drop (?i - 1) (numlist ns)))]],
  Suc (length []) + nlength (ns ! idx))
  using implant-contents[OF i1 i2] by (metis One-nat-def list.size(3) ncontents-0 nlcontents-def)
finally have ?r ! i =
  ([[] @ (take (nlength (ns ! idx)) (drop (?i - 1) (numlist ns)))]],
  Suc (length []) + nlength (ns ! idx)) .
then have ?r ! i = ([take (nlength (ns ! idx)) (drop (nlength (take idx ns)) (numlist ns))], Suc (nlength
(ns ! idx)))
  by simp
then have ?r ! i = ([canrepr (ns ! idx)], Suc (nlength (ns ! idx)))
  using take-drop-numlist'[OF idx] by simp
then show ?thesis
  using lhs by simp
next
case 3
then show ?thesis
  using that tps1-def tps2-def jk by simp
qed
qed
qed
show ttt = 7 + 2 * nlength dummy + Suc (nlength (ns ! idx))
  using assms(1) .
qed

```

```

definition tps3 ≡ tps0
  [j1 := ([ns]NL, nlength (take (Suc idx) ns)),
  j2 := ([ns ! idx]N, 1)]

```

```

lemma tm3 [transforms-intros]:
  assumes ttt = 11 + 2 * nlength dummy + 2 * (nlength (ns ! idx))
  shows transforms tm3 tps0 ttt tps3
  unfolding tm3-def
proof (tform tps: jk idx tps0 tps2-def tps3-def time: assms tps2-def jk)
  show clean-tape (tps2 ! j2)
    using tps2-def jk clean-tape-ncontents by simp
qed

```

```

definition tps4 ≡ tps0
  [j1 := nltape' ns (Suc idx),
  j2 := ([ns ! idx]N, 1)]

```

```

lemma tm4:
  assumes ttt = 12 + 2 * nlength dummy + 2 * (nlength (ns ! idx))
  shows transforms tm4 tps0 ttt tps4
  unfolding tm4-def
proof (tform tps: jk idx tps0 tps3-def tps4-def time: assms)
  show tps4 = tps3[j1 := tps3 ! j1 |+| 1]
    using tps3-def tps4-def jk tps0
    by (metis Suc-eq-plus1 fst-conv list-update-overwrite list-update-swap nth-list-update-eq nth-list-update-neq
snd-conv)
qed

```

end

end

**lemma** *transforms-tm-nextract-4I* [*transforms-intros*]:  
 **fixes**  $j1\ j2 :: \text{tapeidx}$   
 **fixes**  $tps\ tps' :: \text{tape list}$  **and**  $k\ idx\ dummy :: \text{nat}$  **and**  $ns :: \text{nat list}$   
 **assumes**  $j1 < k\ j2 < k\ 0 < j1\ 0 < j2\ j1 \neq j2\ \text{length } tps = k$   
 **and**  $idx < \text{length } ns$   
 **assumes**  
  $tps ! j1 = \text{nltape}'\ ns\ idx$   
  $tps ! j2 = (\lfloor dummy \rfloor_N, 1)$   
 **assumes**  $ttt = 12 + 2 * \text{nlength } dummy + 2 * (\text{nlength } (ns ! idx))$   
 **assumes**  $tps' = tps$   
  $[j1 := \text{nltape}'\ ns\ (\text{Suc } idx),$   
  $j2 := (\lfloor ns ! idx \rfloor_N, 1)]$   
 **shows** *transforms* (*tm-nextract* |  $j1\ j2$ )  $tps\ ttt\ tps'$   
**proof** –  
 **interpret** *loc*: *turing-machine-nextract-4*  $j1\ j2$  .  
 **show** ?thesis  
 **using** *assms loc.tm4 loc.tps4-def loc.tm4-eq-tm-nextract* **by** *simp*  
**qed**

### 2.8.3 Appending an element

The next Turing machine appends the number on tape  $j_2$  to the list of numbers on tape  $j_1$ .

**definition** *tm-append* :: *tapeidx*  $\Rightarrow$  *tapeidx*  $\Rightarrow$  *machine* **where**

*tm-append*  $j1\ j2 \equiv$   
 *tm-right-until*  $j1\ \{\square\} ;;$   
 *tm-cp-until*  $j2\ j1\ \{\square\} ;;$   
 *tm-cr*  $j2 ;;$   
 *tm-char*  $j1\ |$

**lemma** *tm-append-tm*:

**assumes**  $0 < j1$  **and**  $G \geq 5$  **and**  $j1 < k$  **and**  $j2 < k$   
**shows** *turing-machine*  $k\ G$  (*tm-append*  $j1\ j2$ )  
**unfolding** *tm-append-def*  
**using** *assms tm-right-until-tm tm-cp-until-tm tm-right-tm tm-char-tm tm-cr-tm*  
**by** *simp*

**locale** *turing-machine-append* =

**fixes**  $j1\ j2 :: \text{tapeidx}$

**begin**

**definition**  $tm1 \equiv \text{tm-right-until } j1\ \{\square\}$

**definition**  $tm2 \equiv tm1 ;; \text{tm-cp-until } j2\ j1\ \{\square\}$

**definition**  $tm3 \equiv tm2 ;; \text{tm-cr } j2$

**definition**  $tm4 \equiv tm3 ;; \text{tm-char } j1\ |$

**lemma** *tm4-eq-tm-append*:  $tm4 = \text{tm-append } j1\ j2$

**unfolding** *tm4-def tm3-def tm2-def tm1-def tm-append-def* **by** *simp*

**context**

**fixes**  $tps0 :: \text{tape list}$  **and**  $k\ i1\ n :: \text{nat}$  **and**  $ns :: \text{nat list}$   
 **assumes**  $jk: \text{length } tps0 = k\ j1 < k\ j2 < k\ j1 \neq j2\ 0 < j1$   
 **and**  $i1: i1 \leq \text{Suc } (\text{nlength } ns)$   
 **and**  $tps0:$   
  $tps0 ! j1 = (\lfloor ns \rfloor_{NL}, i1)$   
  $tps0 ! j2 = (\lfloor n \rfloor_N, 1)$

**begin**

**lemma**  $k: k \geq 2$

**using**  $jk$  **by** *simp*

```

lemma tm1 [transforms-intros]:
  assumes ttt = Suc (Suc (nlength ns) - i1)
    and tps' = tps0[j1 := nltape ns]
  shows transforms tm1 tps0 ttt tps'
  unfolding tm1-def
proof (tform tps: jk k)
  let ?l = Suc (nlength ns) - i1
  show rneigh (tps0 ! j1) {0} ?l
  proof (rule rneighI)
    show (tps0 ::: j1) (tps0 :#: j1 + ?l) ∈ {0}
      using tps0 jk nlcontents-def nlength-def by simp
    show (tps0 ::: j1) (tps0 :#: j1 + i) ∉ {0} if i < Suc (nlength ns) - i1 for i
    proof -
      have i1 + i < Suc (nlength ns)
        using that i1 by simp
      then show ?thesis
        using proper-symbols-numlist nlength-def tps0 nlcontents-def contents-def
        by (metis One-nat-def Suc-le-eq diff-Suc-1 fst-eqD less-Suc-eq-0-disj less-nat-zero-code singletonD snd-eqD)
    qed
  qed
  show ttt = Suc (Suc (nlength ns) - i1)
    using assms(1) .
  show tps' = tps0[j1 := tps0 ! j1 |+] Suc (nlength ns) - i1]
    using assms(2) tps0 i1 by simp
qed

```

```

lemma tm2 [transforms-intros]:
  assumes ttt = 3 + nlength ns - i1 + nlength n
    and tps' = tps0
    [j1 := (⌊numlist ns @ canrepr n⌋, Suc (nlength ns) + nlength n),
     j2 := (⌊n⌋N, Suc (nlength n))]
  shows transforms tm2 tps0 ttt tps'
  unfolding tm2-def
proof (tform tps: jk tps0)
  let ?tps = tps0[j1 := nltape ns]
  have j1: ?tps ! j1 = nltape ns
    by (simp add: jk)
  have j2: ?tps ! j2 = (⌊n⌋N, 1)
    using tps0 jk by simp
  show rneigh (tps0[j1 := nltape ns] ! j2) {0} (nlength n)
  proof (rule rneighI)
    show (?tps ::: j2) (?tps :#: j2 + nlength n) ∈ {0}
      using j2 contents-outofbounds by simp
    show ∧i. i < nlength n ⇒ (?tps ::: j2) (?tps :#: j2 + i) ∉ {0}
      using j2 tps0 bit-symbols-canrepr by fastforce
    qed
  show tps' = tps0
    [j1 := nltape ns,
     j2 := ?tps ! j2 |+] nlength n,
    j1 := implant (?tps ! j2) (?tps ! j1) (nlength n)]
    (is - = ?rhs)
  proof -
    have implant (?tps ! j2) (?tps ! j1) (nlength n) = implant (⌊n⌋N, 1) (nltape ns) (nlength n)
      using j1 j2 by simp
    also have ... = (⌊numlist ns @ (take (nlength n) (drop (1 - 1) (canrepr n)))⌋, Suc (length (numlist ns)) +
nlength n)
      using implant-contents nlcontents-def nlength-def by simp
    also have ... = (⌊numlist ns @ canrepr n⌋, Suc (length (numlist ns)) + nlength n)
      by simp
    also have ... = (⌊numlist ns @ canrepr n⌋, Suc (nlength ns) + nlength n)
      using nlength-def by simp
    also have ... = tps' ! j1

```

```

    by (metis assms(2) jk(1,2,4) nth-list-update-eq nth-list-update-neq)
  finally have implant (?tps ! j2) (?tps ! j1) (nlength n) = tps' ! j1 .
  then have tps' ! j1 = implant (?tps ! j2) (?tps ! j1) (nlength n)
    by simp
  then have tps' ! j1 = ?rhs ! j1
    by (simp add: jk)
  moreover have tps' ! j2 = ?rhs ! j2
    using assms(2) jk j2 by simp
  moreover have tps' ! j = ?rhs ! j if j < length tps' j ≠ j1 j ≠ j2 for j
    using that assms(2) by simp
  moreover have length tps' = length ?rhs
    using assms(2) by simp
  ultimately show ?thesis
    using nth-equalityI by blast
qed
show ttt = Suc (Suc (nlength ns) - i1) + Suc (nlength n)
  using assms(1) j1 tps0 i1 by simp
qed

definition tps3 ≡ tps0
  [j1 := (⟦numlist ns @ canrepr n⟧, Suc (nlength ns) + nlength n)]

lemma tm3 [transforms-intros]:
  assumes ttt = 6 + nlength ns - i1 + 2 * nlength n
  shows transforms tm3 tps0 ttt tps3
  unfolding tm3-def
proof (tform tps: jk k)
  let ?tp1 = (⟦numlist ns @ canrepr n⟧, Suc (nlength ns) + nlength n)
  let ?tp2 = (⟦n⟧N, Suc (nlength n))
  show clean-tape (tps0 [j1 := ?tp1, j2 := ?tp2] ! j2)
    by (simp add: clean-tape-ncontents jk)
  show tps3 = tps0[j1 := ?tp1, j2 := ?tp2, j2 := tps0 [j1 := ?tp1, j2 := ?tp2] ! j2 |#|= 1]
    using tps3-def tps0 jk
    by (metis (no-types, lifting) add-Suc fst-conv length-list-update list-update-id list-update-overwrite
      list-update-swap nth-list-update-eq)
  show ttt =
    3 + nlength ns - i1 + nlength n + (tps0[j1 := ?tp1, j2 := ?tp2] :#: j2 + 2)
proof -
  have tps0[j1 := ?tp1, j2 := ?tp2] :#: j2 = Suc (nlength n)
    by (simp add: jk)
  then show ?thesis
    using jk tps0 i1 assms(1) by simp
qed
qed

definition tps4 = tps0
  [j1 := (⟦numlist (ns @ [n])⟧, Suc (nlength (ns @ [n])))]

lemma tm4:
  assumes ttt = 7 + nlength ns - i1 + 2 * nlength n
  shows transforms tm4 tps0 ttt tps4
  unfolding tm4-def
proof (tform tps: jk tps0 tps3-def)
  show ttt = 6 + nlength ns - i1 + 2 * nlength n + 1
    using i1 assms(1) by simp
  show tps4 = tps3[j1 := tps3 ! j1 |:=| | |+| 1]
    (is tps4 = ?tps)
  proof -
    have ?tps = tps0[j1 := (⟦numlist ns @ canrepr n⟧, Suc (nlength ns + nlength n)) |:=| | |+| 1]
      using tps3-def by (simp add: jk)
    moreover have (⟦numlist ns @ canrepr n⟧, Suc (nlength ns + nlength n)) |:=| | |+| 1 =
      (⟦numlist (ns @ [n])⟧, Suc (nlength (ns @ [n]))))
      (is ?lhs = ?rhs)
  qed

```

```

proof –
  have ?lhs = (⟦numlist ns @ canrepr n⟧(Suc (nlength ns + nlength n) := |), Suc (Suc (nlength ns + nlength
n)))
    by simp
  also have ... = (⟦numlist ns @ canrepr n⟧(Suc (nlength ns + nlength n) := |), Suc (nlength (ns @ [n])))
    using nlength-def numlist-def by simp
  also have ... = (⟦numlist ns @ canrepr n⟧ @ [||], Suc (nlength (ns @ [n])))
    using contents-snoc by (metis length-append nlength-def)
  also have ... = (⟦numlist ns @ canrepr n @ [||], Suc (nlength (ns @ [n])))
    by simp
  also have ... = (⟦numlist (ns @ [n])⟧, Suc (nlength (ns @ [n])))
    using numlist-def by simp
  finally show ?lhs = ?rhs .
qed
ultimately show ?thesis
  using tps4-def by auto
qed
qed
end
end

```

```

lemma tm-append [transforms-intros]:
  fixes j1 j2 :: tapeidx
  fixes tps :: tape list and k i1 n :: nat and ns :: nat list
  assumes 0 < j1
  assumes length tps = k j1 < k j2 < k j1 ≠ j2 i1 ≤ Suc (nlength ns)
    and tps ! j1 = (⟦ns⟧NL, i1)
    and tps ! j2 = (⟦n⟧N, 1)
  assumes ttt = 7 + nlength ns - i1 + 2 * nlength n
    and tps' = tps
    [j1 := nltape (ns @ [n])]
  shows transforms (tm-append j1 j2) tps ttt tps'
proof –
  interpret loc: turing-machine-append j1 j2 .
  show ?thesis
    using loc.tm4 loc.tm4-eq-tm-append loc.tps4-def assms nlcontents-def by simp
qed

```

## 2.8.4 Computing the length

The next Turing machine counts the number of terminator symbols  $z$  on tape  $j_1$  and stores the result on tape  $j_2$ . Thus, if  $j_1$  contains a list of numbers, tape  $j_2$  will contain the length of the list.

```

definition tm-count :: tapeidx ⇒ tapeidx ⇒ symbol ⇒ machine where
  tm-count j1 j2 z ≡
    WHILE tm-right-until j1 {□, z} ; λrs. rs ! j1 ≠ □ DO
      tm-incr j2 ;;
      tm-right j1
    DONE ;;
    tm-cr j1

```

```

lemma tm-count-tm:
  assumes 0 < j2 and j1 < k and j2 < k and j1 ≠ j2 and G ≥ 4
  shows turing-machine k G (tm-count j1 j2 z)
  unfolding tm-count-def
  using turing-machine-loop-turing-machine tm-right-until-tm tm-incr-tm tm-cr-tm tm-right-tm assms
  by simp

```

```

locale turing-machine-count =
  fixes j1 j2 :: tapeidx
begin

```



**definition**  $tmC \equiv tm\text{-right-until } j1 \{ \square, | \}$   
**definition**  $tmB1 \equiv tm\text{-incr } j2$   
**definition**  $tmB2 \equiv tmB1 ;; tm\text{-right } j1$   
**definition**  $tmL \equiv WHILE \ tmC ; \lambda rs. rs ! j1 \neq \square \ DO \ tmB2 \ DONE$   
**definition**  $tm2 \equiv tmL ;; tm\text{-cr } j1$

**lemma**  $tm2\text{-eq-tm-count}: tm2 = tm\text{-count } j1 \ j2 \ |$   
**unfolding**  $tmB1\text{-def } tmB2\text{-def } tmC\text{-def } tmL\text{-def } tm2\text{-def } tm\text{-count-def}$   
**by**  $simp$

**context**

**fixes**  $tps0 :: \text{tape list}$  **and**  $k :: \text{nat}$  **and**  $ns :: \text{nat list}$   
**assumes**  $jk: j1 < k \ j2 < k \ 0 < j2 \ j1 \neq j2 \ \text{length } tps0 = k$   
**and**  $tps0:$   
 $tps0 ! j1 = ([ns]_{NL}, 1)$   
 $tps0 ! j2 = ([0]_N, 1)$

**begin**

**definition**  $tpsL \ t \equiv tps0$   
 $[j1 := ([ns]_{NL}, Suc \ (\text{nlength } (take \ t \ ns))),$   
 $j2 := ([t]_N, 1)]$

**definition**  $tpsC \ t \equiv tps0$   
 $[j1 := ([ns]_{NL}, \text{if } t < \text{length } ns \ \text{then } \text{nlength } (take \ (Suc \ t) \ ns) \ \text{else } Suc \ (\text{nlength } ns)),$   
 $j2 := ([t]_N, 1)]$

**lemma**  $tmC:$

**assumes**  $t \leq \text{length } ns$   
**and**  $ttt = Suc \ (\text{if } t = \text{length } ns \ \text{then } 0 \ \text{else } \text{nlength } (ns ! t))$   
**shows**  $\text{transforms } tmC \ (tpsL \ t) \ ttt \ (tpsC \ t)$   
**unfolding**  $tmC\text{-def}$

**proof**  $(tform \ tps: \ jk \ tpsL\text{-def } tpsC\text{-def})$

**let**  $?n = \text{if } t = \text{length } ns \ \text{then } 0 \ \text{else } \text{nlength } (ns ! t)$   
**have**  $*$ :  $tpsL \ t ! j1 = ([ns]_{NL}, Suc \ (\text{nlength } (take \ t \ ns)))$   
**using**  $tpsL\text{-def } jk$  **by**  $simp$   
**show**  $rneigh \ (tpsL \ t ! j1) \{ \square, | \} \ ?n$   
**proof**  $(cases \ t = \text{length } ns)$

**case**  $True$   
**then** **have**  $tpsL \ t ! j1 = ([ns]_{NL}, Suc \ (\text{nlength } ns)) \ (\text{is } - = ?tp)$   
**using**  $*$  **by**  $simp$   
**moreover** **from**  $this$  **have**  $fst \ ?tp \ (snd \ ?tp) \in \{ \square, | \}$   
**by**  $(simp \ add: \ nlcontents\text{-def } nlength\text{-def})$   
**moreover** **have**  $?n = 0$   
**using**  $True$  **by**  $simp$   
**ultimately** **show**  $?thesis$   
**using**  $rneighI$  **by**  $simp$

**next**

**case**  $False$   
**then** **have**  $tpsL \ t ! j1 = ([ns]_{NL}, Suc \ (\text{nlength } (take \ t \ ns)))$   
**using**  $*$  **by**  $simp$   
**moreover** **have**  $?n = \text{nlength } (ns ! t)$   
**using**  $False$  **by**  $simp$   
**ultimately** **show**  $?thesis$   
**using**  $nlcontents\text{-rneigh-04}$  **by**  $(simp \ add: \ False \ \text{assms}(1) \ le\text{-neq}\text{-implies}\text{-less})$

**qed**

**show**  $tpsC \ t = (tpsL \ t) [j1 := tpsL \ t ! j1 \ | + | \ (\text{if } t = \text{length } ns \ \text{then } 0 \ \text{else } \text{nlength } (ns ! t))]$   
 $(\text{is } ?l = ?r)$

**proof**  $(rule \ nth\text{-equalityI})$

**show**  $\text{length } ?l = \text{length } ?r$   
**using**  $tpsC\text{-def } tpsL\text{-def}$  **by**  $simp$   
**show**  $?l ! i = ?r ! i$  **if**  $i < \text{length } ?l$  **for**  $i$   
**proof**  $-$   
**consider**  $i = j1 \ | \ i = j2 \ | \ i \neq j1 \ \wedge \ i \neq j2$

```

    by auto
  then show ?thesis
  proof (cases)
    case 1
    show ?thesis
    proof (cases t = length ns)
      case True
      then show ?thesis
        using 1 by (simp add: jk(2,4) tpsC-def tpsL-def)
    next
      case False
      then have t < length ns
        using assms(1) by simp
      then show ?thesis
        using 1 nlength-take-Suc jk tpsC-def tpsL-def by simp
    qed
  next
  case 2
  then show ?thesis
    by (simp add: jk(2,4,5) tpsC-def tpsL-def)
  next
  case 3
  then show ?thesis
    by (simp add: jk(2,4) tpsC-def tpsL-def)
  qed
  qed
  qed
  show ttt = Suc (if t = length ns then 0 else nlength (ns ! t))
    using assms(2) .
  qed

```

```

lemma tmC' [transforms-intros]:
  assumes t < length ns
  shows transforms tmC (tpsL t) (Suc (nlength ns)) (tpsC t)
  proof -
    have Suc (if t = length ns then 0 else nlength (ns ! t)) ≤ Suc (if t = length ns then 0 else nlength ns)
      using assms member-le-nlength by simp
    then have Suc (if t = length ns then 0 else nlength (ns ! t)) ≤ Suc (nlength ns)
      by auto
    then show ?thesis
      using tmC transforms-monotone assms by metis
  qed

```

```

definition tpsB1 t ≡ tps0
  [j1 := ([ns]NL, nlength (take (Suc t) ns)),
  j2 := ([Suc t]N, 1)]

```

```

lemma tmB1 [transforms-intros]:
  assumes t < length ns and ttt = 5 + 2 * nlength t
  shows transforms tmB1 (tpsC t) ttt (tpsB1 t)
  unfolding tmB1-def by (tform tps: jk tpsC-def tpsB1-def assms)

```

```

definition tpsB2 t ≡ tps0
  [j1 := ([ns]NL, Suc (nlength (take (Suc t) ns))),
  j2 := ([Suc t]N, 1)]

```

```

lemma tmB2:
  assumes t < length ns and ttt = 6 + 2 * nlength t
  shows transforms tmB2 (tpsC t) ttt (tpsB2 t)
  unfolding tmB2-def by (tform tps: jk tpsB1-def tpsB2-def assms)

```

```

lemma tpsB2-eq-tpsL: tpsB2 t = tpsL (Suc t)
  using tpsB2-def tpsL-def by simp

```

**lemma** *tmB2'* [*transforms-intros*]:  
**assumes**  $t < \text{length } ns$   
**shows** *transforms tmB2* (*tpsC*  $t$ ) ( $6 + 2 * \text{nlength } ns$ ) (*tpsL* (*Suc*  $t$ ))  
**proof** –  
**have**  $\text{nlength } t \leq \text{nlength } ns$   
**using** *assms(1) length-le-nlength nlength-le-n* **by** (*meson le-trans less-or-eq-imp-le*)  
**then have**  $6 + 2 * \text{nlength } t \leq 6 + 2 * \text{nlength } ns$   
**by** *simp*  
**then show** *?thesis*  
**using** *assms tmB2 transforms-monotone tpsB2-eq-tpsL* **by** *metis*  
**qed**

**lemma** *tmL* [*transforms-intros*]:  
**assumes**  $\text{tnt} = 13 * \text{nlength } ns^2 + 2$   
**shows** *transforms tmL* (*tpsL*  $0$ ) *tnt* (*tpsC* ( $\text{length } ns$ ))  
**unfolding** *tmL-def*  
**proof** (*tform*)  
**show** *read (tpsC t) ! j1 ≠ □ if t < length ns for t*  
**proof** –  
**have** *tpsC t ! j1 = ([ns]<sub>NL</sub>, if t < length ns then nlength (take (Suc t) ns) else Suc (nlength ns))*  
**using** *tpsC-def jk* **by** *simp*  
**then have**  $*$ : *tpsC t ! j1 = ([ns]<sub>NL</sub>, nlength (take (Suc t) ns)) (is - = ?tp)*  
**using** *that* **by** *simp*  
**have** *fst ?tp (snd ?tp) = [ns]<sub>NL</sub> (nlength (take (Suc t) ns))*  
**by** *simp*  
**also have**  $\dots = \text{[numlist } ns \text{]} (\text{nlength (take (Suc t) ns)})$   
**using** *nlcontents-def* **by** *simp*  
**also have**  $\dots = \text{numlist } ns ! (\text{nlength (take (Suc t) ns) - 1})$   
**using** *nlength that contents-inbounds nlength-def nlength-take nlength-take-Suc*  
**by** (*metis Suc-leI add-Suc-right less-nat-zero-code not-less-eq*)  
**also have**  $\dots = 4$   
**using** *numlist-take-Suc-at-term[OF that]* **by** *simp*  
**finally have** *fst ?tp (snd ?tp) = | .*  
**then have** *fst ?tp (snd ?tp) ≠ □*  
**by** *simp*  
**then show** *?thesis*  
**using**  $*$  *jk(1,5) length-list-update tapes-at-read' tpsC-def* **by** *metis*  
**qed**

**show**  $\neg \text{read (tpsC (length ns)) ! j1} \neq \square$   
**proof** –  
**have** *tpsC (length ns) ! j1 = ([ns]<sub>NL</sub>, Suc (nlength ns)) (is - = ?tp)*  
**using** *tpsC-def jk* **by** *simp*  
**moreover have** *fst ?tp (snd ?tp) = 0*  
**by** (*simp add: nlcontents-def nlength-def*)  
**ultimately have** *read (tpsC (length ns)) ! j1 = □*  
**using** *jk(1,5) length-list-update tapes-at-read' tpsC-def* **by** *metis*  
**then show** *?thesis*  
**by** *simp*  
**qed**

**show**  $\text{length } ns * (\text{Suc (nlength } ns) + (6 + 2 * \text{nlength } ns) + 2) + \text{Suc (nlength } ns) + 1 \leq \text{tnt}$   
**proof** –  
**have**  $\text{length } ns * (\text{Suc (nlength } ns) + (6 + 2 * \text{nlength } ns) + 2) + \text{Suc (nlength } ns) + 1 =$   
 $\text{length } ns * (9 + 3 * \text{nlength } ns) + \text{nlength } ns + 2$   
**by** *simp*  
**also have**  $\dots \leq \text{nlength } ns * (9 + 3 * \text{nlength } ns) + \text{nlength } ns + 2$   
**by** (*simp add: length-le-nlength*)  
**also have**  $\dots = \text{nlength } ns * (10 + 3 * \text{nlength } ns) + 2$   
**by** *algebra*  
**also have**  $\dots = 10 * \text{nlength } ns + 3 * \text{nlength } ns^2 + 2$   
**by** *algebra*  
**also have**  $\dots \leq 10 * \text{nlength } ns^2 + 3 * \text{nlength } ns^2 + 2$   
**by** (*meson add-mono-thms-linordered-semiring(1) le-eq-less-or-eq mult-le-mono2 power2-nat-le-imp-le*)

```

    also have ... = 13 * nlength ns ^ 2 + 2
      by simp
    finally show ?thesis
      using assms by simp
  qed
qed

definition tps2 ≡ tps0
  [j2 := ([length ns]N, 1)]

lemma tm2:
  assumes ttt = 13 * nlength ns ^ 2 + 5 + nlength ns
  shows transforms tm2 (tpsL 0) ttt tps2
  unfolding tm2-def
proof (tform tps: jk tpsC-def tps2-def)
  have *: tpsC (length ns) ! j1 = ([ns]NL, Suc (nlength ns))
    using jk tpsC-def by simp
  then show clean-tape (tpsC (length ns) ! j1)
    by (simp add: clean-tape-nlcontents)
  show tps2 = (tpsC (length ns))[j1 := tpsC (length ns) ! j1 |#|= 1]
    using jk tps0(1) tps2-def tpsC-def * by (metis fstI list-update-id list-update-overwrite list-update-swap)
  show ttt = 13 * (nlength ns)2 + 2 + (tpsC (length ns) :# : j1 + 2)
    using assms * by simp
qed

lemma tpsL-eq-tps0: tpsL 0 = tps0
  using tpsL-def tps0 by (metis One-nat-def list-update-id nlength-Nil take0)

lemma tm2':
  assumes ttt = 14 * nlength ns ^ 2 + 5
  shows transforms tm2 tps0 ttt tps2
proof -
  have nlength ns ≤ nlength ns ^ 2
    using power2-nat-le-imp-le by simp
  then have 13 * nlength ns ^ 2 + 5 + nlength ns ≤ ttt
    using assms by simp
  then show ?thesis
    using assms tm2 transforms-monotone tpsL-eq-tps0 by simp
qed

end

end

lemma transforms-tm-count-4I [transforms-intros]:
  fixes j1 j2 :: tapeidx
  fixes tps tps' :: tape list and k :: nat and ns :: nat list
  assumes j1 < k j2 < k 0 < j2 j1 ≠ j2 length tps = k
  assumes
    tps ! j1 = ([ns]NL, 1)
    tps ! j2 = ([0]N, 1)
  assumes ttt = 14 * nlength ns ^ 2 + 5
  assumes tps' = tps[j2 := ([length ns]N, 1)]
  shows transforms (tm-count j1 j2 |) tps ttt tps'
proof -
  interpret loc: turing-machine-count j1 j2 .
  show ?thesis
    using loc.tm2-eq-tm-count loc.tm2' loc.tps2-def assms by simp
qed

```

## 2.8.5 Extracting the $n$ -th element

The next Turing machine expects a list on tape  $j_1$  and an index  $i$  on  $j_2$  and writes the  $i$ -th element of the list to  $j_2$ , overwriting  $i$ . The TM does not terminate for out-of-bounds access, which of course we will never attempt. Again the parameter  $z$  is a generic terminator symbol.

**definition**  $tm\text{-}nth\text{-inplace} :: \text{tapeidx} \Rightarrow \text{tapeidx} \Rightarrow \text{symbol} \Rightarrow \text{machine}$  **where**

```

tm-nth-inplace j1 j2 z  $\equiv$ 
  WHILE [] ;  $\lambda rs. rs ! j2 \neq \square$  DO
    tm-decr j2 ;;
    tm-right-until j1 {z} ;;
    tm-right j1
  DONE ;;
  tm-cp-until j1 j2 {z} ;;
  tm-cr j2 ;;
  tm-cr j1

```

**lemma**  $tm\text{-}nth\text{-inplace}\text{-tm}$ :

```

assumes  $k \geq 2$  and  $G \geq 4$  and  $0 < j2$  and  $j1 < k$  and  $j2 < k$ 
shows  $turing\text{-machine } k \ G \ (tm\text{-}nth\text{-inplace } j1 \ j2 \ |)$ 
unfolding  $tm\text{-}nth\text{-inplace}\text{-def}$ 
using  $assms \ tm\text{-}decr\text{-tm} \ tm\text{-}right\text{-until}\text{-tm} \ tm\text{-}right\text{-tm} \ tm\text{-}cp\text{-until}\text{-tm} \ tm\text{-}cr\text{-tm} \ Nil\text{-tm}$ 
by ( $simp \ add: \ assms(1) \ turing\text{-machine}\text{-loop}\text{-turing}\text{-machine}$ )

```

**locale**  $turing\text{-machine}\text{-nth}\text{-inplace} =$

**fixes**  $j1 \ j2 :: \text{tapeidx}$

**begin**

**definition**  $tmL1 \equiv tm\text{-}decr \ j2$

**definition**  $tmL2 \equiv tmL1 \ ; \ ; \ tm\text{-}right\text{-until} \ j1 \ \{\}$

**definition**  $tmL3 \equiv tmL2 \ ; \ ; \ tm\text{-}right \ j1$

**definition**  $tmL \equiv WHILE \ [] \ ; \ \lambda rs. \ rs \ ! \ j2 \neq \square \ DO \ tmL3 \ DONE$

**definition**  $tm2 \equiv tmL \ ; \ ; \ tm\text{-}cp\text{-until} \ j1 \ j2 \ \{\}$

**definition**  $tm3 \equiv tm2 \ ; \ ; \ tm\text{-}cr \ j2$

**definition**  $tm4 \equiv tm3 \ ; \ ; \ tm\text{-}cr \ j1$

**lemma**  $tm4\text{-eq}\text{-tm}\text{-nth}\text{-inplace}$ :  $tm4 = tm\text{-}nth\text{-inplace} \ j1 \ j2 \ |$

```

unfolding  $tmL1\text{-def} \ tmL2\text{-def} \ tmL3\text{-def} \ tmL\text{-def} \ tm2\text{-def} \ tm3\text{-def} \ tm4\text{-def} \ tm\text{-}nth\text{-inplace}\text{-def}$ 
by  $simp$ 

```

**context**

**fixes**  $tps0 :: \text{tape list}$  **and**  $k \ idx :: \text{nat}$  **and**  $ns :: \text{nat list}$

**assumes**  $jk: \ j1 < k \ j2 < k \ 0 < j2 \ j1 \neq j2 \ \text{length } tps0 = k$

**and**  $idx: \ idx < \text{length } ns$

**and**  $tps0$ :

$tps0 \ ! \ j1 = ([ns]_{NL}, 1)$

$tps0 \ ! \ j2 = ([idx]_N, 1)$

**begin**

**definition**  $tpsL \ t \equiv tps0$

$[j1 := ([ns]_{NL}, Suc \ (nlength \ (take \ t \ ns))),$

$j2 := ([idx - t]_N, 1)]$

**definition**  $tpsL1 \ t \equiv tps0$

$[j1 := ([ns]_{NL}, Suc \ (nlength \ (take \ t \ ns))),$

$j2 := ([idx - t - 1]_N, 1)]$

**lemma**  $tmL1$  [ $transforms\text{-intros}$ ]:

**assumes**  $ttt = 8 + 2 * nlength \ (idx - t)$

**shows**  $transforms \ tmL1 \ (tpsL \ t) \ ttt \ (tpsL1 \ t)$

**unfolding**  $tmL1\text{-def}$  **by** ( $tform \ tps: \ tpsL\text{-def} \ tpsL1\text{-def} \ jk \ time: \ assms$ )

**definition**  $tpsL2 \ t \equiv tps0$

$[j1 := ([ns]_{NL}, nlength \ (take \ (Suc \ t) \ ns)),$

$j2 := (\lfloor idx - t - 1 \rfloor_N, 1)$

**lemma** *tmL2*:

**assumes**  $t < \text{length } ns$  **and**  $ttt = 8 + 2 * \text{nlength } (idx - t) + \text{Suc } (\text{nlength } (ns ! t))$

**shows** *transforms tmL2* (*tpsL*  $t$ )  $ttt$  (*tpsL2*  $t$ )

**unfolding** *tmL2-def*

**proof** (*tform tps: jk tpsL1-def tpsL2-def time: assms(2)*)

**let**  $?l = \text{nlength } (ns ! t)$

**show** *rneigh* (*tpsL1*  $t ! j1$ )  $\{\}$   $?l$

**proof** –

**have** *tpsL1*  $t ! j1 = (\lfloor ns \rfloor_{NL}, \text{Suc } (\text{nlength } (\text{take } t \text{ } ns)))$

**using** *tpsL1-def jk* **by** (*simp only: nth-list-update-eq nth-list-update-neq*)

**then show** *?thesis*

**using** *assms(1) nlcontents-rneigh-4* **by** *simp*

**qed**

**show** *tpsL2*  $t = (\text{tpsL1 } t)[j1 := \text{tpsL1 } t ! j1 \mid + \mid \text{nlength } (ns ! t)]$

(**is**  $?l = ?r$ )

**proof** (*rule nth-equalityI*)

**show** *len: length ?l = length ?r*

**using** *tpsL1-def tpsL2-def jk* **by** *simp*

**show**  $?l ! i = ?r ! i$  **if**  $i < \text{length } ?l$  **for**  $i$

**proof** –

**consider**  $i = j1 \mid i = j2 \mid i \neq j1 \wedge i \neq j2$

**by** *auto*

**then show** *?thesis*

**proof** (*cases*)

**case** *1*

**then show** *?thesis*

**using** *that tpsL1-def tpsL2-def jk nlength-take-Suc[OF assms(1)]* **by** *simp*

**next**

**case** *2*

**then show** *?thesis*

**using** *that tpsL1-def tpsL2-def jk*

**by** (*simp only: nth-list-update-eq nth-list-update-neq' length-list-update*)

**next**

**case** *3*

**then show** *?thesis*

**using** *that tpsL1-def tpsL2-def jk*

**by** (*simp only: nth-list-update-eq jk nth-list-update-neq' length-list-update*)

**qed**

**qed**

**qed**

**qed**

**lemma** *tmL2'* [*transforms-intros*]:

**assumes**  $t < \text{length } ns$  **and**  $ttt = 9 + 2 * \text{nlength } idx + \text{nlength } (\text{Max } (\text{set } ns))$

**shows** *transforms tmL2* (*tpsL*  $t$ )  $ttt$  (*tpsL2*  $t$ )

**proof** –

**let**  $?ttt = 8 + 2 * \text{nlength } (idx - t) + \text{Suc } (\text{nlength } (ns ! t))$

**have** *transforms tmL2* (*tpsL*  $t$ )  $?ttt$  (*tpsL2*  $t$ )

**using** *tmL2 assms* **by** *simp*

**moreover have**  $ttt \geq ?ttt$

**proof** –

**have**  $\text{nlength } (idx - t) \leq \text{nlength } idx$

**using** *nlength-mono* **by** *simp*

**moreover have**  $\text{nlength } (ns ! t) \leq \text{nlength } (\text{Max } (\text{set } ns))$

**using** *nlength-mono assms* **by** *simp*

**ultimately show** *?thesis*

**using** *assms(2)* **by** *simp*

**qed**

**ultimately show** *?thesis*

**using** *transforms-monotone* **by** *simp*

**qed**

**definition**  $tpsL3\ t \equiv tps0$   
 $[j1 := (\lfloor ns \rfloor_{NL}, Suc\ (nlength\ (take\ (Suc\ t)\ ns))),$   
 $j2 := (\lfloor idx - t - 1 \rfloor_N, 1)]$

**lemma**  $tmL3$ :

**assumes**  $t < length\ ns$  **and**  $t = 10 + 2 * nlength\ idx + nlength\ (Max\ (set\ ns))$

**shows** *transforms*  $tmL3\ (tpsL\ t)\ ttt\ (tpsL3\ t)$

**unfolding**  $tmL3-def$

**proof** (*tform*  $tps$ :  $jk\ tpsL2-def\ tpsL3-def\ assms(1)\ time: assms(2)$ )

**show**  $tpsL3\ t = (tpsL2\ t)[j1 := tpsL2\ t ! j1\ |+\ 1]$

**using**  $tpsL3-def\ tpsL2-def\ jk\ tps0$

**by** (*metis*  $Groups.add-ac(2)\ fst-conv\ list-update-overwrite\ list-update-swap\ nth-list-update\ nth-list-update-neq\ plus-1-eq-Suc\ snd-conv$ )

**qed**

**lemma**  $tpsL3-eq-tpsL$ :  $tpsL3\ t = tpsL\ (Suc\ t)$

**using**  $tpsL3-def\ tpsL-def$  **by** *simp*

**lemma**  $tmL$ :

**assumes**  $t = idx * (12 + 2 * nlength\ idx + nlength\ (Max\ (set\ ns))) + 1$

**shows** *transforms*  $tmL\ (tpsL\ 0)\ ttt\ (tpsL\ idx)$

**unfolding**  $tmL-def$

**proof** (*tform*)

**let**  $?t = 10 + 2 * nlength\ idx + nlength\ (Max\ (set\ ns))$

**show**  $\wedge t. t < idx \implies$  *transforms*  $tmL3\ (tpsL\ t)\ ?t\ (tpsL\ (Suc\ t))$

**using**  $tmL3\ tpsL3-eq-tpsL\ idx$  **by** *simp*

**show**  $read\ (tpsL\ t)\ !\ j2 \neq \square$  **if**  $t < idx$  **for**  $t$

**proof** –

**have**  $tpsL\ t ! j2 = (\lfloor idx - t \rfloor_N, 1)$

**using**  $tpsL-def\ jk$  **by** *simp*

**then** **have**  $read\ (tpsL\ t)\ !\ j2 = \lfloor idx - t \rfloor_N\ 1$

**using**  $tapes-at-read'\ jk\ tpsL-def$  **by** (*metis*  $fst-conv\ length-list-update\ snd-conv$ )

**moreover** **have**  $idx - t > 0$

**using**  $that$  **by** *simp*

**ultimately** **show**  $read\ (tpsL\ t)\ !\ j2 \neq \square$

**using**  $ncontents-1-blank-iff-zero$  **by** *simp*

**qed**

**show**  $\neg read\ (tpsL\ idx)\ !\ j2 \neq \square$

**proof** –

**have**  $tpsL\ idx ! j2 = (\lfloor idx - idx \rfloor_N, 1)$

**using**  $tpsL-def\ jk$  **by** *simp*

**then** **have**  $read\ (tpsL\ idx)\ !\ j2 = \lfloor idx - idx \rfloor_N\ 1$

**using**  $tapes-at-read'\ jk\ tpsL-def$  **by** (*metis*  $fst-conv\ length-list-update\ snd-conv$ )

**then** **show**  $?thesis$

**using**  $ncontents-1-blank-iff-zero$  **by** *simp*

**qed**

**show**  $idx * (10 + 2 * nlength\ idx + nlength\ (Max\ (set\ ns)) + 2) + 1 \leq ttt$

**using**  $assms$  **by** *simp*

**qed**

**definition**  $tps1 \equiv tps0$

$[j1 := (\lfloor ns \rfloor_{NL}, Suc\ (nlength\ (take\ idx\ ns))),$

$j2 := (\lfloor 0 \rfloor_N, 1)]$

**lemma**  $tps1-eq-tpsL$ :  $tps1 = tpsL\ idx$

**using**  $tps1-def\ tpsL-def$  **by** *simp*

**lemma**  $tps0-eq-tpsL$ :  $tps0 = tpsL\ 0$

**using**  $tps0\ tpsL-def\ nlength-Nil$  **by** (*metis*  $One-nat-def\ list-update-id\ minus-nat.diff-0\ take0$ )

**lemma**  $tmL'$  [*transforms-intros*]:

**assumes**  $t = idx * (12 + 2 * nlength\ idx + nlength\ (Max\ (set\ ns))) + 1$

**shows** *transforms tmL tps0 ttt tps1*  
**using** *tmL asms tps0-eq-tpsL tps1-eq-tpsL* **by** *simp*

**definition** *tps2*  $\equiv$  *tps0*

*j1* := ( $\lfloor ns \rfloor_{NL}$ , *nlength* (*take* (*Suc* *idx*) *ns*)),  
*j2* := ( $\lfloor ns ! idx \rfloor_N$ , *Suc* (*nlength* (*ns ! idx*)))

**lemma** *tm2* [*transforms-intros*]:

**assumes** *ttt* = *idx* \* (*12* + *2* \* *nlength* *idx* + *nlength* (*Max* (*set* *ns*))) + *2* + *nlength* (*ns ! idx*)

**shows** *transforms tm2 tps0 ttt tps2*

**unfolding** *tm2-def*

**proof** (*tform tps: jk tps2-def tps1-def time: asms*)

**have** *tps1 ! j1* = ( $\lfloor ns \rfloor_{NL}$ , *Suc* (*nlength* (*take* *idx* *ns*)))

**using** *tps1-def tps0 jk* **by** *simp*

**then show** *rneigh* (*tps1 ! j1*) {} (*nlength* (*ns ! idx*))

**by** (*simp add: idx nlcontents-rneigh-4*)

**show** *tps2* = *tps1*

*j1* := *tps1 ! j1* |+| *nlength* (*ns ! idx*),

*j2* := *implant* (*tps1 ! j1*) (*tps1 ! j2*) (*nlength* (*ns ! idx*))

(**is** *?l* = *?r*)

**proof** (*rule nth-equality1*)

**show** *len: length ?l* = *length ?r*

**using** *tps1-def tps2-def* **by** *simp*

**show** *?l ! i* = *?r ! i* **if** *i < length ?l* **for** *i*

**proof** –

**consider** *i = j1* | *i = j2* | *i ≠ j1* ∧ *i ≠ j2*

**by** *auto*

**then show** *?thesis*

**proof** (*cases*)

**case** *1*

**then show** *?thesis*

**using** *that tps1-def tps2-def jk nlength-take-Suc idx* **by** *simp*

**next**

**case** *2*

**then have** *lhs: ?l ! i* = ( $\lfloor ns ! idx \rfloor_N$ , *Suc* (*nlength* (*ns ! idx*)))

**using** *tps2-def jk* **by** *simp*

**let** *?i* = *Suc* (*nlength* (*take* *idx* *ns*))

**have** *i1: ?i > 0*

**by** *simp*

**have** *nlength* (*ns ! idx*) + (*?i* – *1*) ≤ *nlength* *ns*

**using** *idx* **by** (*simp add: add.commute less-or-eq-imp-le nlength-take*)

**then have** *i2: nlength* (*ns ! idx*) + (*?i* – *1*) ≤ *length* (*numlist* *ns*)

**using** *nlength-def* **by** *simp*

**have** *?r ! i* = *implant* (*tps1 ! j1*) (*tps1 ! j2*) (*nlength* (*ns ! idx*))

**using** *2 tps1-def jk* **by** *simp*

**also have** ... = *implant* ( $\lfloor ns \rfloor_{NL}$ , *?i*) ( $\lfloor 0 \rfloor_N$ , *1*) (*nlength* (*ns ! idx*))

**proof** –

**have** *tps1 ! j1* = ( $\lfloor ns \rfloor_{NL}$ , *Suc* (*nlength* (*take* *idx* *ns*)))

**using** *tps1-def jk* **by** *simp*

**moreover have** *tps1 ! j2* = ( $\lfloor 0 \rfloor_N$ , *1*)

**using** *tps1-def jk* **by** *simp*

**ultimately show** *?thesis*

**by** *simp*

**qed**

**also have** ... = ( $\lfloor [] \rfloor @$  (*take* (*nlength* (*ns ! idx*)) (*drop* (*?i* – *1*) (*numlist* *ns*))), *Suc* (*length*  $\lfloor [] \rfloor$ ) + *nlength* (*ns ! idx*))

**using** *implant-contents[OF i1 i2]* **by** (*metis One-nat-def list.size(3) ncontents-0 nlcontents-def*)

**finally have** *?r ! i* = ( $\lfloor [] \rfloor @$  (*take* (*nlength* (*ns ! idx*)) (*drop* (*?i* – *1*) (*numlist* *ns*))), *Suc* (*length*  $\lfloor [] \rfloor$ ) + *nlength* (*ns ! idx*)) .

**then have** *?r ! i* = ( $\lfloor take$  (*nlength* (*ns ! idx*)) (*drop* (*nlength* (*take* *idx* *ns*)) (*numlist* *ns*))), *Suc* (*nlength* (*ns ! idx*))

**by** *simp*

**then have** *?r ! i* = ( $\lfloor canrepr$  (*ns ! idx*), *Suc* (*nlength* (*ns ! idx*)))



```

    using take-drop-numlist'[OF idx] by simp
  then show ?thesis
    using lhs by simp
next
  case 3
  then show ?thesis
    using that tps1-def tps2-def jk by simp
qed
qed
qed
qed

```

**definition**  $tps3 \equiv tps0$

```

[j1 := ([ns]NL, nlength (take (Suc idx) ns)),
j2 := ([ns ! idx]N, 1)]

```

**lemma**  $tm3$  [transforms-intros]:

```

assumes ttt = idx * (12 + 2 * nlength idx + nlength (Max (set ns))) + 5 + 2 * nlength (ns ! idx)
shows transforms tm3 tps0 ttt tps3
unfolding tm3-def
by (tform tps: clean-tape-ncontents jk tps2-def tps3-def time: assms tps2-def jk)

```

**definition**  $tps4 \equiv tps0$

```

[j2 := ([ns ! idx]N, 1)]

```

**lemma**  $tm4$ :

```

assumes ttt = idx * (12 + 2 * nlength idx + nlength (Max (set ns))) + 7 + 2 * nlength (ns ! idx) + nlength
(take (Suc idx) ns)

```

```

shows transforms tm4 tps0 ttt tps4

```

```

unfolding tm4-def

```

**proof** (tform tps: clean-tape-nlcontents jk tps3-def tps4-def time: assms jk tps3-def)

```

show tps4 = tps3[j1 := tps3 ! j1 |#|= 1]

```

```

using tps4-def tps3-def jk tps0(1) list-update-id[of tps0 j1] by (simp add: list-update-swap)

```

qed

**lemma**  $tm4'$ :

```

assumes ttt = 18 * nlength ns ^ 2 + 12

```

```

shows transforms tm4 tps0 ttt tps4

```

**proof** –

```

let ?ttt = idx * (12 + 2 * nlength idx + nlength (Max (set ns))) + 7 + 2 * nlength (ns ! idx) + nlength
(take (Suc idx) ns)

```

```

have 1: idx ≤ nlength ns

```

```

using idx length-le-nlength by (meson le-trans less-or-eq-imp-le)

```

```

then have 2: nlength idx ≤ nlength ns

```

```

using nlength-mono nlength-le-n by (meson dual-order.trans)

```

```

have ns ≠ []

```

```

using idx by auto

```

```

then have 3: nlength (Max (set ns)) ≤ nlength ns

```

```

using member-le-nlength by simp

```

```

have 4: nlength (ns ! idx) ≤ nlength ns

```

```

using idx member-le-nlength by simp

```

```

have 5: nlength (take (Suc idx) ns) ≤ nlength ns

```

```

by (metis Suc-le-eq add-Suc-right idx nlength-take nlength-take-Suc)

```

```

have ?ttt ≤ idx * (12 + 2 * nlength ns + nlength ns) + 7 + 2 * nlength ns + nlength ns

```

```

using 2 3 4 5 by (meson add-le-mono le-eq-less-or-eq mult-le-mono2)

```

```

also have ... = idx * (12 + 3 * nlength ns) + 7 + 3 * nlength ns

```

```

by simp

```

```

also have ... ≤ idx * (12 + 3 * nlength ns) + (12 + 3 * nlength ns)

```

```

by simp

```

```

also have ... = Suc idx * (12 + 3 * nlength ns)

```

```

by simp

```

```

also have ...  $\leq$  Suc (nlength ns) * (12 + 3 * nlength ns)
  using 1 by simp
also have ... = nlength ns * (12 + 3 * nlength ns) + (12 + 3 * nlength ns)
  by simp
also have ... = 12 * nlength ns + 3 * nlength ns  $^2$  + 12 + 3 * nlength ns
  by algebra
also have ... = 15 * nlength ns + 3 * nlength ns  $^2$  + 12
  by simp
also have ...  $\leq$  18 * nlength ns  $^2$  + 12
  by (simp add: power2-eq-square)
finally have ?t11  $\leq$  18 * nlength ns  $^2$  + 12 .
then show ?thesis
  using tm4 transforms-monotone assms by simp
qed

end

end

```

```

lemma transforms-tm-nth-inplace-4I [transforms-intros]:
  fixes j1 j2 :: tapeidx
  fixes tps tps' :: tape list and k idx :: nat and ns :: nat list
  assumes j1 < k j2 < k 0 < j2 j1  $\neq$  j2 length tps = k
    and idx < length ns
  assumes
    tps ! j1 = ([ns]NL, 1)
    tps ! j2 = ([idx]N, 1)
  assumes t11 = 18 * nlength ns  $^2$  + 12
  assumes tps' = tps
    [j2 := ([ns ! idx]N, 1)]
  shows transforms (tm-nth-inplace j1 j2 |) tps t11 tps'
proof –
  interpret loc: turing-machine-nth-inplace j1 j2 .
  show ?thesis
  using assms loc.tm4-eq-tm-nth-inplace loc.tm4' loc.tps4-def by simp
qed

```

The next Turing machine expects a list on tape  $j_1$  and an index  $i$  on tape  $j_2$ . It writes the  $i$ -th element of the list to tape  $j_3$ . Like the previous TM, it will not terminate on out-of-bounds access, and  $z$  is a parameter for the symbol that terminates the list elements.

```

definition tm-nth :: tapeidx  $\Rightarrow$  tapeidx  $\Rightarrow$  tapeidx  $\Rightarrow$  symbol  $\Rightarrow$  machine where
  tm-nth j1 j2 j3 z  $\equiv$ 
    tm-copyn j2 j3 ;;
    tm-nth-inplace j1 j3 z

```

```

lemma tm-nth-tm:
  assumes k  $\geq$  2 and G  $\geq$  4 and 0 < j2 0 < j1 j1 < k j2 < k 0 < j3 j3 < k j2  $\neq$  j3
  shows turing-machine k G (tm-nth j1 j2 j3 |)
  unfolding tm-nth-def using tm-copyn-tm tm-nth-inplace-tm assms by simp

```

```

lemma transforms-tm-nth-4I [transforms-intros]:
  fixes j1 j2 j3 :: tapeidx
  fixes tps tps' :: tape list and k idx :: nat and ns :: nat list
  assumes j1 < k j2 < k j3 < k 0 < j1 0 < j2 0 < j3 j1  $\neq$  j2 j2  $\neq$  j3 j1  $\neq$  j3
    and length tps = k
    and idx < length ns
  assumes
    tps ! j1 = ([ns]NL, 1)
    tps ! j2 = ([idx]N, 1)
    tps ! j3 = ([0]N, 1)
  assumes t11 = 21 * nlength ns  $^2$  + 26
  assumes tps' = tps
    [j3 := ([ns ! idx]N, 1)]

```

```

shows transforms (tm-nth j1 j2 j3 |) tps ttt tps'
proof -
let ?ttt = 14 + 3 * (nlength idx + nlength 0) + (18 * (nlength ns)2 + 12)
have transforms (tm-nth j1 j2 j3 |) tps ?ttt tps'
  unfolding tm-nth-def
proof (tform tps: assms(1-11))
show tps ! j2 = ([idx]N, 1)
  using assms by simp
show tps ! j3 = ([0]N, 1)
  using assms by simp
show tps[j3 := ([idx]N, 1)] ! j1 = ([ns]NL, 1)
  using assms by simp
then show tps' = tps
  [j3 := ([idx]N, 1),
   j3 := ([ns ! idx]N, 1)]
  using assms by (metis One-nat-def list-update-overwrite)
qed
moreover have ?ttt ≤ ttt
proof -
have nlength idx ≤ idx
  using nlength-le-n by simp
then have nlength idx ≤ length ns
  using assms(11) by simp
then have nlength idx ≤ nlength ns
  using length-le-nlength by (meson order.trans)
then have nlength idx ≤ nlength ns ^ 2
  by (meson le-refl order-trans power2-nat-le-imp-le)
moreover have ?ttt = 3 * nlength idx + 18 * (nlength ns)2 + 26
  by simp
ultimately show ?thesis
  using assms(15) by simp
qed
ultimately show ?thesis
  using transforms-monotone by simp
qed

```

## 2.8.6 Finding the previous position of an element

The Turing machine in this section implements a slightly peculiar functionality, which we will start using only in Section 6. Given a list of numbers and an index  $i$  it determines the greatest index less than  $i$  where the list contains the same element as in position  $i$ . If no such element exists, it returns  $i$ . Formally:

**definition** *previous* :: nat list ⇒ nat ⇒ nat **where**

```

previous ns idx ≡
  if ∃ i < idx. ns ! i = ns ! idx
  then GREATEST i. i < idx ∧ ns ! i = ns ! idx
  else idx

```

**lemma** *previous-less*:

```

assumes ∃ i < idx. ns ! i = ns ! idx
shows previous ns idx < idx ∧ ns ! (previous ns idx) = ns ! idx

```

**proof** –

```

let ?P = λi. i < idx ∧ ns ! i = ns ! idx
have previous ns idx = (GREATEST i. ?P i)
  using assms previous-def by simp
moreover have ∀ y. ?P y → y ≤ idx
  by simp
ultimately show ?thesis
  using GreatestI-ex-nat[OF assms, of idx] by simp

```

**qed**

**lemma** *previous-eq*: previous ns idx = idx ↔ ¬ (∃ i < idx. ns ! i = ns ! idx)

```

using previous-def nat-less-le previous-less by simp

```

**lemma** *previous-le*: *previous ns idx ≤ idx*  
**using** *previous-eq previous-less* **by** (*metis less-or-eq-imp-le*)

The following Turing machine expects the list on tape  $j_1$  and the index on tape  $j_2$ . It outputs the result on tape  $j_2 + 5$ . The tapes  $j_2 + 1, \dots, j_2 + 4$  are scratch space.

**definition** *tm-prev* :: *tapeidx ⇒ tapeidx ⇒ machine* **where**  
*tm-prev j1 j2* ≡  
*tm-copyn j2 (j2 + 5) ;;*  
*tm-nth j1 j2 (j2 + 1) | ;;*  
**WHILE** *tm-equalsn (j2 + 2) j2 (j2 + 4) ; λrs. rs ! (j2 + 4) = □ DO*  
*tm-nth j1 (j2 + 2) (j2 + 3) | ;;*  
*tm-equalsn (j2 + 1) (j2 + 3) (j2 + 4) ;;*  
*tm-setn (j2 + 3) 0 ;;*  
**IF** *λrs. rs ! (j2 + 4) ≠ □ THEN*  
*tm-setn (j2 + 4) 0 ;;*  
*tm-copyn (j2 + 2) (j2 + 5)*  
**ELSE**  
 []  
**ENDIF** ;;  
*tm-incr (j2 + 2)*  
**DONE** ;;  
*tm-erase-cr (j2 + 1) ;;*  
*tm-erase-cr (j2 + 2) ;;*  
*tm-erase-cr (j2 + 4)*

**lemma** *tm-prev-tm*:  
**assumes**  $k ≥ j_2 + 6$  **and**  $G ≥ 4$  **and**  $j_1 < j_2$  **and**  $0 < j_1$   
**shows** *turing-machine k G (tm-prev j1 j2)*  
**unfolding** *tm-prev-def*  
**using** *assms tm-copyn-tm tm-nth-tm tm-equalsn-tm tm-setn-tm tm-incr-tm Nil-tm tm-erase-cr-tm*  
*turing-machine-loop-turing-machine turing-machine-branch-turing-machine*  
**by** *simp*

**locale** *turing-machine-prev* =  
**fixes**  $j_1 j_2 :: \text{tapeidx}$   
**begin**

**definition** *tm1* ≡ *tm-copyn j2 (j2 + 5)*  
**definition** *tm2* ≡ *tm1 ;;* *tm-nth j1 j2 (j2 + 1) |*  
**definition** *tmC* ≡ *tm-equalsn (j2 + 2) j2 (j2 + 4)*  
**definition** *tmB1* ≡ *tm-nth j1 (j2 + 2) (j2 + 3) |*  
**definition** *tmB2* ≡ *tmB1 ;;* *tm-equalsn (j2 + 1) (j2 + 3) (j2 + 4)*  
**definition** *tmB3* ≡ *tmB2 ;;* *tm-setn (j2 + 3) 0*  
**definition** *tmI1* ≡ *tm-setn (j2 + 4) 0*  
**definition** *tmI2* ≡ *tmI1 ;;* *tm-copyn (j2 + 2) (j2 + 5)*  
**definition** *tmI* ≡ **IF** *λrs. rs ! (j2 + 4) ≠ □ THEN tmI2 ELSE [] ENDIF  
**definition** *tmB4* ≡ *tmB3 ;;* *tmI*  
**definition** *tmB5* ≡ *tmB4 ;;* *tm-incr (j2 + 2)*  
**definition** *tmL* ≡ **WHILE** *tmC ; λrs. rs ! (j2 + 4) = □ DO tmB5 DONE  
**definition** *tm3* ≡ *tm2 ;;* *tmL*  
**definition** *tm4* ≡ *tm3 ;;* *tm-erase-cr (j2 + 1)*  
**definition** *tm5* ≡ *tm4 ;;* *tm-erase-cr (j2 + 2)*  
**definition** *tm6* ≡ *tm5 ;;* *tm-erase-cr (j2 + 4)***

**lemma** *tm6-eq-tm-prev*:  $tm6 = tm-prev j_1 j_2$   
**unfolding** *tm-prev-def tm3-def tmL-def tmB5-def tmB4-def tmI-def tmI2-def tmI1-def tmB3-def*  
*tmB2-def tmB1-def tmC-def tm2-def tm1-def tm4-def tm5-def tm6-def*  
**by** *simp*

**context**  
**fixes**  $tps0 :: \text{tape list}$  **and**  $k \text{ idx} :: \text{nat}$  **and**  $ns :: \text{nat list}$   
**assumes**  $j_k: 0 < j_1 j_1 < j_2 j_2 + 6 ≤ k \text{ length } tps0 = k$   
**and**  $idx: idx < \text{length } ns$

```

and tps0:
  tps0 ! j1 = ([ns]NL, 1)
  tps0 ! j2 = ([idx]N, 1)
  tps0 ! (j2 + 1) = ([0]N, 1)
  tps0 ! (j2 + 2) = ([0]N, 1)
  tps0 ! (j2 + 3) = ([0]N, 1)
  tps0 ! (j2 + 4) = ([0]N, 1)
  tps0 ! (j2 + 5) = ([0]N, 1)
begin

definition tps1 ≡ tps0
  [j2 + 5 := ([idx]N, 1)]

lemma tm1 [transforms-intros]:
  assumes ttt = 14 + 3 * nlength idx
  shows transforms tm1 tps0 ttt tps1
  unfolding tm1-def by (tform tps: jk idx tps0 tps1-def assms nlength-0)

definition tps2 ≡ tps0
  [j2 + 1 := ([ns ! idx]N, 1),
   j2 + 5 := ([idx]N, 1)]

lemma tm2:
  assumes ttt = 14 + 3 * nlength idx + (21 * (nlength ns)2 + 26)
  shows transforms tm2 tps0 ttt tps2
  unfolding tm2-def by (tform tps: jk idx tps0 tps1-def tps2-def time: assms)

definition rv :: nat ⇒ nat where
  rv t ≡ if ∃ i < t. ns ! i = ns ! idx then GREATEST i. i < t ∧ ns ! i = ns ! idx else idx

lemma rv-0: rv 0 = idx
  using rv-def by simp

lemma rv-le: rv t ≤ max t idx
proof (cases ∃ i < t. ns ! i = ns ! idx)
  case True
  let ?Q = λi. i < t ∧ ns ! i = ns ! idx
  have rv t: rv t = Greatest ?Q
    using True rv-def by simp
  moreover have ?Q (Greatest ?Q)
  proof (rule GreatestI-ex-nat)
    show ∃ k < t. ns ! k = ns ! idx
    using True by simp
    show ∧y. y < t ∧ ns ! y = ns ! idx ⇒ y ≤ t
    by simp
  qed
  ultimately have ?Q (rv t)
    by simp
  then have rv t < t
    by simp
  then show ?thesis
    by simp
next
  case False
  then show ?thesis
    using rv-def by auto
qed

lemma rv-change:
  assumes t < length ns and idx < length ns and ns ! t = ns ! idx
  shows rv (Suc t) = t
proof –
  let ?P = λi. i < Suc t ∧ ns ! i = ns ! idx

```

```

have rv (Suc t) = Greatest ?P
  using assms(3) rv-def by auto
moreover have Greatest ?P = t
proof (rule Greatest-equality)
  show t < Suc t ∧ ns ! t = ns ! idx
    using assms(3) by simp
  show ∧y. y < Suc t ∧ ns ! y = ns ! idx ⇒ y ≤ t
    using assms by simp
qed
ultimately show ?thesis
  by simp
qed

lemma rv-keep:
  assumes t < length ns and idx < length ns and ns ! t ≠ ns ! idx
  shows rv (Suc t) = rv t
proof (cases ∃ i < Suc t. ns ! i = ns ! idx)
case True
let ?Q = λi. i < t ∧ ns ! i = ns ! idx
have ex: ∃ i < t. ns ! i = ns ! idx
  using True assms(3) less-antisym by blast
then have rvt: rv t = Greatest ?Q
  using rv-def by simp
moreover have ?Q (Greatest ?Q)
proof (rule GreatestI-ex-nat)
  show ∃ k < t. ns ! k = ns ! idx
    using ex .
  show ∧y. y < t ∧ ns ! y = ns ! idx ⇒ y ≤ t
    by simp
qed
ultimately have ?Q (rv t)
  by simp

let ?P = λi. i < Suc t ∧ ns ! i = ns ! idx
have rv (Suc t) = Greatest ?P
  using True rv-def by simp
moreover have Greatest ?P = rv t
proof (rule Greatest-equality)
  show rv t < Suc t ∧ ns ! rv t = ns ! idx
    using ‹?Q (rv t)› by simp
  show y ≤ rv t if y < Suc t ∧ ns ! y = ns ! idx for y
  proof -
    have ?Q y
      using True assms(3) less-antisym that by blast
    moreover have ∀y. ?Q y → y ≤ t
      by simp
    ultimately have y ≤ Greatest ?Q
      using Greatest-le-nat[of ?Q] by blast
    then show ?thesis
      using rvt by simp
  qed
qed
ultimately show ?thesis
  by simp
next
case False
then show ?thesis
  using rv-def by auto
qed

```

```

definition tpsL :: nat ⇒ tape list where
  tpsL t ≡ tps0
  [j2 + 1 := ([ns ! idx]N, 1),

```

$j2 + 2 := ([t]_N, 1)$ ,  
 $j2 + 5 := ([rv\ t]_N, 1)$

**lemma** *tpsL-eq-tps2*:  $tpsL\ 0 = tps2$   
**using** *tpsL-def tps2-def tps0 jk rv-0*  
**by** (*metis add-eq-self-zero add-left-imp-eq gr-implies-not0 less-numeral-extra(1)*  
*list-update-id list-update-swap one-add-one*)

**definition** *tpsC* ::  $nat \Rightarrow tape\ list$  **where**

$tpsC\ t \equiv tps0$   
 $[j2 + 1 := ([ns\ !\ idx]_N, 1)$ ,  
 $j2 + 2 := ([t]_N, 1)$ ,  
 $j2 + 4 := ([t = idx]_B, 1)$ ,  
 $j2 + 5 := ([rv\ t]_N, 1)]$

**lemma** *tmC*:  
**assumes**  $ttt = 3 * nlength\ (min\ t\ idx) + 7$   
**shows** *transforms tmC (tpsL t) ttt (tpsC t)*  
**unfolding** *tmC-def* **by** (*tform tps: jk idx tps0 tpsL-def tpsC-def time: assms*)

**lemma** *tmC'* [*transforms-intros*]:  
**assumes**  $ttt = 3 * nlength\ ns^2 + 7$  **and**  $t \leq idx$   
**shows** *transforms tmC (tpsL t) ttt (tpsC t)*  
**proof** –  
**have**  $nlength\ (min\ t\ idx) \leq nlength\ ns$   
**using** *idx assms(2)* **by** (*metis le-trans length-le-nlength less-or-eq-imp-le min-absorb1 nlength-le-n*)  
**then have**  $nlength\ (min\ t\ idx) \leq nlength\ ns^2$   
**by** (*metis le-square min.absorb2 min.coboundedI1 power2-eq-square*)  
**then have**  $3 * nlength\ (min\ t\ idx) + 7 \leq 3 * nlength\ ns^2 + 7$   
**by** *simp*  
**then show** *?thesis*  
**using** *tmC assms(1) transforms-monotone* **by** *blast*  
**qed**

**lemma** *condition-tpsC*:  $(read\ (tpsC\ t))\ !\ (j2 + 4) \neq \square \iff t = idx$   
**using** *tpsC-def read-ncontents-eq-0 jk* **by** *simp*

**definition** *tpsB1*  $t \equiv tps0$   
 $[j2 + 1 := ([ns\ !\ idx]_N, 1)$ ,  
 $j2 + 2 := ([t]_N, 1)$ ,  
 $j2 + 3 := ([ns\ !\ t]_N, 1)$ ,  
 $j2 + 4 := ([t = idx]_B, 1)$ ,  
 $j2 + 5 := ([rv\ t]_N, 1)]$

**lemma** *tmB1* [*transforms-intros*]:  
**assumes**  $ttt = 21 * (nlength\ ns)^2 + 26$  **and**  $t < idx$   
**shows** *transforms tmB1 (tpsC t) ttt (tpsB1 t)*  
**unfolding** *tmB1-def* **by** (*tform tps: jk idx tps0 tpsC-def tpsB1-def time: assms idx*)

**definition** *tpsB2*  $t \equiv tps0$   
 $[j2 + 1 := ([ns\ !\ idx]_N, 1)$ ,  
 $j2 + 2 := ([t]_N, 1)$ ,  
 $j2 + 3 := ([ns\ !\ t]_N, 1)$ ,  
 $j2 + 4 := ([ns\ !\ idx = ns\ !\ t]_B, 1)$ ,  
 $j2 + 5 := ([rv\ t]_N, 1)]$

**lemma** *tmB2*:  
**assumes**  $ttt = 21 * (nlength\ ns)^2 + 26 + (3 * nlength\ (min\ (ns\ !\ idx)\ (ns\ !\ t)) + 7)$   
**and**  $t < idx$   
**shows** *transforms tmB2 (tpsC t) ttt (tpsB2 t)*  
**unfolding** *tmB2-def*  
**proof** (*tform tps: tpsB1-def jk assms(2) time: assms(1)*)  
**show**  $tpsB2\ t = (tpsB1\ t)[j2 + 4 := ([ns\ !\ idx = ns\ !\ t]_B, 1)]$

**unfolding** *tpsB2-def tpsB1-def* **by** (*simp add: list-update-swap[of j2 + 4]*)  
**qed**

**lemma** *tmB2'* [*transforms-intros*]:

**assumes**  $t_{tt} = 24 * (nlength\ ns)^2 + 33$  **and**  $t < idx$

**shows** *transforms tmB2 (tpsC t) ttt (tpsB2 t)*

**proof** –

**let**  $?t_{tt} = 21 * (nlength\ ns)^2 + 26 + (3 * nlength\ (min\ (ns\ !\ idx)\ (ns\ !\ t)) + 7)$

**have**  $?t_{tt} = 21 * (nlength\ ns)^2 + 33 + 3 * nlength\ (min\ (ns\ !\ idx)\ (ns\ !\ t))$

**by** *simp*

**also have**  $\dots \leq 21 * (nlength\ ns)^2 + 33 + 3 * nlength\ ns$

**using** *nlength-min-le-nlength idx assms(2)* **by** *simp*

**also have**  $\dots \leq 21 * (nlength\ ns)^2 + 33 + 3 * nlength\ ns \wedge 2$

**by** (*simp add: power2-eq-square*)

**also have**  $\dots = 24 * (nlength\ ns)^2 + 33$

**by** *simp*

**finally have**  $?t_{tt} \leq 24 * (nlength\ ns)^2 + 33$  .

**then show** *?thesis*

**using** *assms tmB2 transforms-monotone* **by** *blast*

**qed**

**definition** *tpsB3 t*  $\equiv$  *tps0*

$[j2 + 1 := ([ns\ !\ idx]_N, 1),$

$j2 + 2 := ([t]_N, 1),$

$j2 + 4 := ([ns\ !\ idx = ns\ !\ t]_B, 1),$

$j2 + 5 := ([rv\ t]_N, 1)]$

**lemma** *condition-tpsB3*: (*read (tpsB3 t) ! (j2 + 4)  $\neq$   $\square$   $\longleftrightarrow$  ns ! idx = ns ! t*

**using** *tpsB3-def read-ncontents-eq-0 jk* **by** *simp*

**lemma** *tmB3* [*transforms-intros*]:

**assumes**  $t_{tt} = 24 * (nlength\ ns)^2 + 33 + (10 + 2 * nlength\ (ns\ !\ t))$  **and**  $t < idx$

**shows** *transforms tmB3 (tpsC t) ttt (tpsB3 t)*

**unfolding** *tmB3-def*

**proof** (*tform tps: assms(2) tpsB2-def jk time: assms(1)*)

**show** *tpsB3 t = (tpsB2 t)[j2 + 3 := ([0]\_N, 1)]*

(*is ?l = ?r*)

**proof** (*rule nth-equality1*)

**show** *length ?l = length ?r*

**using** *tpsB2-def tpsB3-def tps0* **by** *simp*

**show**  $?l\ !\ j = ?r\ !\ j$  **if**  $j < length\ ?l$  **for**  $j$

**proof** –

**consider**  $j = j1 \mid j = j2 \mid j = j2 + 1 \mid j = j2 + 2 \mid j = j2 + 3 \mid j = j2 + 4 \mid j = j2 + 5$

$\mid j \neq j1 \wedge j \neq j2 \wedge j \neq j2 + 1 \wedge j \neq j2 + 2 \wedge j \neq j2 + 3 \wedge j \neq j2 + 4 \wedge j \neq j2 + 5$

**by** *auto*

**then show** *?thesis*

**using** *tpsB2-def tpsB3-def tps0 jk*

**by** (*cases, simp-all only: nth-list-update-eq nth-list-update-neq length-list-update, metis nth-list-update-neq*)

**qed**

**qed**

**qed**

**definition** *tpsI0 t*  $\equiv$  *tps0*

$[j2 + 1 := ([ns\ !\ idx]_N, 1),$

$j2 + 2 := ([t]_N, 1),$

$j2 + 4 := ([1]_N, 1),$

$j2 + 5 := ([rv\ t]_N, 1)]$

**definition** *tpsI1 t*  $\equiv$  *tps0*

$[j2 + 1 := ([ns\ !\ idx]_N, 1),$

$j2 + 2 := ([t]_N, 1),$

$j2 + 5 := ([rv\ t]_N, 1)]$



**lemma** *tmI1* [*transforms-intros*]:  
**assumes**  $t < idx$  **and**  $ns ! idx = ns ! t$   
**shows** *transforms tmI1 (tpsB3 t) l2 (tpsI1 t)*  
**unfolding** *tmI1-def*  
**proof** (*tform tps: tpsB3-def jk assms(2) time: assms nlength-1-simp*)  
**show**  $tpsI1\ t = (tpsB3\ t)[j2 + 4 := ([0]_N, 1)]$   
**(is**  $?l = ?r$ **)**  
**proof** (*rule nth-equalityI*)  
**show**  $length\ ?l = length\ ?r$   
**using** *tpsB3-def tpsI1-def tps0 jk by simp*  
**show**  $?l ! j = ?r ! j$  **if**  $j < length\ ?l$  **for**  $j$   
**proof** –  
**consider**  $j = j1 \mid j = j2 \mid j = j2 + 1 \mid j = j2 + 2 \mid j = j2 + 3 \mid j = j2 + 4 \mid j = j2 + 5$   
 $\mid j \neq j1 \wedge j \neq j2 \wedge j \neq j2 + 1 \wedge j \neq j2 + 2 \wedge j \neq j2 + 3 \wedge j \neq j2 + 4 \wedge j \neq j2 + 5$   
**by auto**  
**then show** *?thesis*  
**using** *tpsB3-def tpsI1-def tps0 jk*  
**by** (*cases, simp-all only: nth-list-update-eq nth-list-update-neq length-list-update, metis nth-list-update-neq*)  
**qed**  
**qed**  
**qed**

**definition** *tpsI2*  $t \equiv tps0$   
 $[j2 + 1 := ([ns ! idx]_N, 1),$   
 $j2 + 2 := ([t]_N, 1),$   
 $j2 + 5 := ([t]_N, 1)]$

**lemma** *tmI2* [*transforms-intros*]:  
**assumes**  $ttt = 26 + 3 * nlength\ t + 3 * nlength\ (rv\ t)$   
**and**  $t < idx$   
**and**  $ns ! idx = ns ! t$   
**shows** *transforms tmI2 (tpsB3 t) ttt (tpsI2 t)*  
**unfolding** *tmI2-def*  
**proof** (*tform tps: assms(2,3) tpsI1-def jk time: assms(1)*)  
**show**  $tpsI2\ t = (tpsI1\ t)[j2 + 5 := ([t]_N, 1)]$   
**(is**  $?l = ?r$ **)**  
**proof** (*rule nth-equalityI*)  
**show**  $length\ ?l = length\ ?r$   
**using** *tpsI1-def tpsI2-def tps0 by simp*  
**show**  $?l ! j = ?r ! j$  **if**  $j < length\ ?l$  **for**  $j$   
**proof** –  
**consider**  $j = j1 \mid j = j2 \mid j = j2 + 1 \mid j = j2 + 2 \mid j = j2 + 3 \mid j = j2 + 4 \mid j = j2 + 5$   
 $\mid j \neq j1 \wedge j \neq j2 \wedge j \neq j2 + 1 \wedge j \neq j2 + 2 \wedge j \neq j2 + 3 \wedge j \neq j2 + 4 \wedge j \neq j2 + 5$   
**by auto**  
**then show** *?thesis*  
**using** *tpsI1-def tpsI2-def tps0 jk assms(2,3)*  
**by** (*cases, simp-all only: One-nat-def nth-list-update-eq nth-list-update-neq length-list-update list-update-overwrite*)  
**qed**  
**qed**  
**qed**

**definition** *tpsI*  $t \equiv tps0$   
 $[j2 + 1 := ([ns ! idx]_N, 1),$   
 $j2 + 2 := ([t]_N, 1),$   
 $j2 + 5 := ([rv\ (Suc\ t)]_N, 1)]$

**lemma** *tmI* [*transforms-intros*]:  
**assumes**  $ttt = 28 + 6 * nlength\ ns$  **and**  $t < idx$   
**shows** *transforms tmI (tpsB3 t) ttt (tpsI t)*  
**unfolding** *tmI-def*  
**proof** (*tform tps: assms*)  
**let**  $?tT = 26 + 3 * nlength\ t + 3 * nlength\ (rv\ t)$   
**have**  $*$ :  $(ns ! idx = ns ! t) = (read\ (tpsB3\ t) ! (j2 + 4)) \neq \square$

```

    using condition-tpsB3 by simp
  then show read (tpsB3 t) ! (j2 + 4) ≠ □ ⇒ ns ! idx = ns ! t
    by simp
  have ns ! idx = ns ! t ⇒ rv (Suc t) = t
    using rv-change idx assms(2) by simp
  then show read (tpsB3 t) ! (j2 + 4) ≠ □ ⇒ tpsI t = tpsI2 t
    using tpsI-def tpsI2-def * by simp

  have ns ! idx ≠ ns ! t ⇒ rv (Suc t) = rv t
    using rv-keep idx assms(2) by simp
  then have ns ! idx ≠ ns ! t ⇒ tpsI t = tpsB3 t
    using tpsI-def tpsB3-def tps0 jk
    by (smt (verit, ccfv-SIG) add-left-imp-eq list-update-id list-update-swap numeral-eq-iff
      one-eq-numeral-iff semiring-norm(83) semiring-norm(87))
  then show ¬ read (tpsB3 t) ! (j2 + 4) ≠ □ ⇒ tpsI t = tpsB3 t
    using * by simp
  show 26 + 3 * nlength t + 3 * nlength (rv t) + 2 ≤ ttt
  proof -
    have 26 + 3 * nlength t + 3 * nlength (rv t) + 2 = 28 + 3 * nlength t + 3 * nlength (rv t)
      by simp
    also have ... ≤ 28 + 3 * nlength idx + 3 * nlength (rv t)
      using assms(2) nlength-mono by simp
    also have ... ≤ 28 + 3 * nlength idx + 3 * nlength idx
    proof -
      have rv t ≤ idx
        using assms(2) rv-le by (metis less-or-eq-imp-le max-absorb2)
      then show ?thesis
        using nlength-mono by simp
    qed
    also have ... = 28 + 6 * nlength idx
      by simp
    also have ... ≤ 28 + 6 * nlength ns
    proof -
      have nlength idx ≤ nlength (length ns)
        using idx nlength-mono by simp
      then have nlength idx ≤ length ns
        using nlength-le-n by (meson le-trans)
      then have nlength idx ≤ nlength ns
        using length-le-nlength le-trans by blast
      then show ?thesis
        by simp
    qed
    finally show ?thesis
      using assms(1) by simp
  qed
qed

```

lemma *tmB4*:

```

  assumes ttt = 71 + 24 * (nlength ns)2 + 2 * nlength (ns ! t) + 6 * nlength ns
    and t < idx
  shows transforms tmB4 (tpsC t) ttt (tpsI t)
  unfolding tmB4-def by (tform tps: assms(2) jk time: assms(1))

```

lemma *tmB4'* [transforms-intros]:

```

  assumes ttt = 71 + 32 * (nlength ns)2 and t < idx
  shows transforms tmB4 (tpsC t) ttt (tpsI t)
  proof -
    let ?ttt = 71 + 24 * (nlength ns)2 + 2 * nlength (ns ! t) + 6 * nlength ns
    have ?ttt ≤ 71 + 24 * (nlength ns)2 + 2 * nlength (ns ! t) + 6 * nlength ns ^ 2
      by (metis add-mono-thms-linordered-semiring(2) le-square mult.commute mult-le-mono1 power2-eq-square)
    also have ... = 71 + 30 * (nlength ns)2 + 2 * nlength (ns ! t)
      by simp
    also have ... ≤ 71 + 30 * (nlength ns)2 + 2 * nlength ns
  
```

using *member-le-nlength* *assms(2)* *idx* **by** *simp*  
 also have ...  $\leq 71 + 30 * (nlength\ ns)^2 + 2 * nlength\ ns \wedge 2$   
 by (*simp add: power2-eq-square*)  
 also have ...  $= 71 + 32 * (nlength\ ns)^2$   
 by *simp*  
 finally have  $?t \leq 71 + 32 * (nlength\ ns)^2$  .  
 then show *?thesis*  
 using *assms tmB4 transforms-monotone* **by** *blast*  
 qed

**definition** *tpsB5*  $t \equiv tps0$   
 $[j2 + 1 := (\lfloor ns \rfloor ! idx)_N, 1),$   
 $j2 + 2 := (\lfloor Suc\ t \rfloor_N, 1),$   
 $j2 + 5 := (\lfloor rv\ (Suc\ t) \rfloor_N, 1)]$

**lemma** *tmB5*:  
 assumes  $t \leq 76 + 32 * (nlength\ ns)^2 + 2 * nlength\ t$  **and**  $t < idx$   
 shows *transforms tmB5 (tpsC t) t (tpsB5 t)*  
 unfolding *tmB5-def*  
**proof** (*tform tps: assms(2) tpsI-def jk time: assms(1)*)  
 show  $tpsB5\ t = (tpsI\ t)[j2 + 2 := (\lfloor Suc\ t \rfloor_N, 1)]$   
 using *tpsB5-def tpsI-def*  
 by (*smt (verit) add-left-imp-eq list-update-overwrite list-update-swap numeral-eq-iff semiring-norm(89)*)  
 qed

**lemma** *tmB5'* [*transforms-intros*]:  
 assumes  $t \leq 76 + 34 * (nlength\ ns)^2$  **and**  $t < idx$   
 shows *transforms tmB5 (tpsC t) t (tpsL (Suc t))*  
**proof** –  
 have  $76 + 32 * (nlength\ ns)^2 + 2 * nlength\ t \leq 76 + 32 * (nlength\ ns)^2 + 2 * nlength\ ns$   
 using *assms(2) idx length-le-nlength nlength-le-n*  
 by (*meson add-mono-thms-linordered-semiring(2) le-trans less-or-eq-imp-le mult-le-mono2*)  
 also have ...  $\leq 76 + 32 * (nlength\ ns)^2 + 2 * nlength\ ns \wedge 2$   
 by (*simp add: power2-eq-square*)  
 also have ...  $\leq 76 + 34 * (nlength\ ns)^2$   
 by *simp*  
 finally have  $76 + 32 * (nlength\ ns)^2 + 2 * nlength\ t \leq 76 + 34 * (nlength\ ns)^2$  .  
 moreover have  $tpsL\ (Suc\ t) = tpsB5\ t$   
 using *tpsL-def tpsB5-def* **by** *simp*  
 ultimately show *?thesis*  
 using *assms tmB5 transforms-monotone* **by** *fastforce*  
 qed

**lemma** *tmL* [*transforms-intros*]:  
 assumes  $t \leq 125 * nlength\ ns \wedge 3 + 8$  **and**  $iidx = idx$   
 shows *transforms tmL (tpsL 0) t (tpsC iidx)*  
 unfolding *tmL-def*  
**proof** (*tform tps: assms*)  
 let  $?tC = 3 * nlength\ ns \wedge 2 + 7$   
 let  $?tB5 = 76 + 34 * (nlength\ ns)^2$   
 show  $\wedge t. t < iidx \implies read\ (tpsC\ t)\ !\ (j2 + 4) = \square$   
 using *condition-tpsC assms(2)* **by** *fast*  
 show  $read\ (tpsC\ iidx)\ !\ (j2 + 4) \neq \square$   
 using *condition-tpsC assms(2)* **by** *simp*  
 have  $iidx * (?tC + ?tB5 + 2) + ?tC + 1 = iidx * (37 * (nlength\ ns)^2 + 85) + 3 * (nlength\ ns)^2 + 8$   
 by *simp*  
 also have ...  $\leq length\ ns * (37 * (nlength\ ns)^2 + 85) + 3 * (nlength\ ns)^2 + 8$   
 using *assms(2) idx* **by** *simp*  
 also have ...  $\leq nlength\ ns * (37 * (nlength\ ns)^2 + 85) + 3 * (nlength\ ns)^2 + 8$   
 using *length-le-nlength* **by** *simp*  
 also have ...  $= 37 * nlength\ ns \wedge 3 + 85 * nlength\ ns + 3 * (nlength\ ns)^2 + 8$   
 by *algebra*  
 also have ...  $\leq 37 * nlength\ ns \wedge 3 + 85 * nlength\ ns + 3 * nlength\ ns \wedge 3 + 8$

**proof** –  
**have**  $nlength\ ns \wedge 2 \leq nlength\ ns \wedge 3$   
**by** (*metis Suc-eq-plus1 add.commute eq-refl le-add2 neq0-conv numeral-3-eq-3 numerals(2)*)  
*pow-mono power-eq-0-iff zero-less-Suc*)  
**then show** *?thesis*  
**by** *simp*  
**qed**  
**also have**  $\dots \leq 37 * nlength\ ns \wedge 3 + 85 * nlength\ ns \wedge 3 + 3 * nlength\ ns \wedge 3 + 8$   
**by** (*simp add: power3-eq-cube*)  
**also have**  $\dots = 125 * nlength\ ns \wedge 3 + 8$   
**by** *simp*  
**finally have**  $idx * (?tC + ?tB5 + 2) + ?tC + 1 \leq 125 * nlength\ ns \wedge 3 + 8$ .  
**then show**  $idx * (?tC + ?tB5 + 2) + ?tC + 1 \leq ttt$   
**using** *assms(1)* **by** *simp*  
**qed**

**lemma** *tm2'* [*transforms-intros*]:  
**assumes**  $ttt = 14 + 3 * nlength\ idx + (21 * (nlength\ ns)^2 + 26)$   
**shows** *transforms tm2 tps0 ttt (tpsL 0)*  
**using** *tm2 assms tpsL-eq-tps2* **by** *simp*

**lemma** *tm3* [*transforms-intros*]:  
**assumes**  $ttt = 40 + (3 * nlength\ idx + 21 * (nlength\ ns)^2) + (125 * nlength\ ns \wedge 3 + 8)$   
**shows** *transforms tm3 tps0 ttt (tpsC idx)*  
**unfolding** *tm3-def* **by** (*tform tps: assms jk*)

**lemma** *tpsC-idx*:  
 $tpsC\ idx = tps0$   
 $[j2 + 1 := ([ns\ !\ idx]_N, 1),$   
 $j2 + 2 := ([idx]_N, 1),$   
 $j2 + 4 := ([1]_N, 1),$   
 $j2 + 5 := ([if\ \exists\ i < idx. ns\ !\ i = ns\ !\ idx\ then\ GREATEST\ i.\ i < idx \wedge ns\ !\ i = ns\ !\ idx\ else\ idx]_N, 1)]$   
**using** *tpsC-def rv-def* **by** *simp*

**definition** *tps4* :: *tape list where*  
 $tps4 \equiv tps0$   
 $[j2 + 1 := ([[]], 1),$   
 $j2 + 2 := ([idx]_N, 1),$   
 $j2 + 4 := ([1]_N, 1),$   
 $j2 + 5 := ([if\ \exists\ i < idx. ns\ !\ i = ns\ !\ idx\ then\ GREATEST\ i.\ i < idx \wedge ns\ !\ i = ns\ !\ idx\ else\ idx]_N, 1)]$

**lemma** *tm4* [*transforms-intros*]:  
**assumes**  $ttt = 55 + 3 * nlength\ idx + 21 * (nlength\ ns)^2 + 125 * nlength\ ns \wedge 3 + 2 * nlength\ (ns\ !\ idx)$   
**shows** *transforms tm4 tps0 ttt tps4*  
**unfolding** *tm4-def*  
**proof** (*tform tps: assms tpsC-def tps4-def jk*)  
**show** *proper-symbols (canrepr (ns\ !\ idx))*  
**using** *proper-symbols-canrepr* **by** *simp*  
**show**  $tps4 = (tpsC\ idx)[j2 + 1 := ([[]], 1)]$   
**using** *tpsC-idx tps4-def* **by** (*simp add: list-update-swap[of Suc j2]*)  
**qed**

**definition** *tps5* :: *tape list where*  
 $tps5 \equiv tps0$   
 $[j2 + 1 := ([[]], 1),$   
 $j2 + 2 := ([[]], 1),$   
 $j2 + 4 := ([1]_N, 1),$   
 $j2 + 5 := ([if\ \exists\ i < idx. ns\ !\ i = ns\ !\ idx\ then\ GREATEST\ i.\ i < idx \wedge ns\ !\ i = ns\ !\ idx\ else\ idx]_N, 1)]$

**lemma** *tm5* [*transforms-intros*]:  
**assumes**  $ttt = 62 + 5 * nlength\ idx + 21 * (nlength\ ns)^2 + 125 * nlength\ ns \wedge 3 + 2 * nlength\ (ns\ !\ idx)$   
**shows** *transforms tm5 tps0 ttt tps5*  
**unfolding** *tm5-def*

**proof** (tform tps: tps4-def tps5-def jk time: assms tps4-def jk)  
 show proper-symbols (canrepr idx)  
 using proper-symbols-canrepr by simp  
**qed**

**definition** tps6 :: tape list where

tps6  $\equiv$  tps0  
 [j2 + 1 := ([[]], 1),  
 j2 + 2 := ([[]], 1),  
 j2 + 4 := ([[]], 1),  
 j2 + 5 := ([if  $\exists i < idx. ns ! i = ns ! idx$  then GREATEST  $i. i < idx \wedge ns ! i = ns ! idx$  else idx]<sub>N</sub>, 1)]

**lemma** tm6:

assumes ttt = 71 + 5 \* nlength idx + 21 \* (nlength ns)<sup>2</sup> + 125 \* nlength ns ^ 3 + 2 \* nlength (ns ! idx)  
 shows transforms tm6 tps0 ttt tps6  
 unfolding tm6-def

**proof** (tform tps: tps5-def tps6-def jk time: assms tps5-def jk nlength-1-simp)

show proper-symbols (canrepr (Suc 0))  
 using proper-symbols-canrepr by simp

**qed**

**definition** tps6' :: tape list where

tps6'  $\equiv$  tps0  
 [j2 + 5 := ([if  $\exists i < idx. ns ! i = ns ! idx$  then GREATEST  $i. i < idx \wedge ns ! i = ns ! idx$  else idx]<sub>N</sub>, 1)]

**lemma** tps6'-eq-tps6: tps6' = tps6

using tps6'-def tps6-def tps0 jk canrepr-0 by (metis (no-types, lifting) list-update-id)

**lemma** tm6':

assumes ttt = 71 + 153 \* nlength ns ^ 3  
 shows transforms tm6 tps0 ttt tps6'

**proof** –

let ?ttt = 71 + 5 \* nlength idx + 21 \* (nlength ns)<sup>2</sup> + 125 \* nlength ns ^ 3 + 2 \* nlength (ns ! idx)  
 have ?ttt  $\leq$  71 + 5 \* nlength idx + 21 \* (nlength ns)<sup>2</sup> + 125 \* nlength ns ^ 3 + 2 \* nlength (ns ! idx)  
 using pow-mono[of 2 3 nlength ns] by fastforce

also have ... = 71 + 5 \* nlength idx + 146 \* nlength ns ^ 3 + 2 \* nlength (ns ! idx)  
 by simp

also have ...  $\leq$  71 + 5 \* nlength ns + 146 \* nlength ns ^ 3 + 2 \* nlength (ns ! idx)

**proof** –

have nlength idx  $\leq$  nlength ns

using idx by (meson le-trans length-le-nlength nlength-le-n order.strict-implies-order)

then show ?thesis

by simp

**qed**

also have ...  $\leq$  71 + 5 \* nlength ns ^ 3 + 146 \* nlength ns ^ 3 + 2 \* nlength (ns ! idx)  
 using linear-le-pow by simp

also have ... = 71 + 151 \* nlength ns ^ 3 + 2 \* nlength (ns ! idx)  
 by simp

also have ...  $\leq$  71 + 151 \* nlength ns ^ 3 + 2 \* nlength ns

using idx member-le-nlength by simp

also have ...  $\leq$  71 + 151 \* nlength ns ^ 3 + 2 \* nlength ns ^ 3

using linear-le-pow by simp

also have ... = 71 + 153 \* nlength ns ^ 3

by simp

finally have ?ttt  $\leq$  ttt

using assms by simp

then have transforms tm6 tps0 ttt tps6

using tm6 transforms-monotone by simp

then show ?thesis

using tps6'-eq-tps6 by simp

**qed**

**end**

end

**lemma** *transforms-tm-prevI* [*transforms-intros*]:

**fixes**  $j1\ j2 :: \text{tapeidx}$   
**fixes**  $tps\ tps' :: \text{tape list}$  **and**  $k\ idx :: \text{nat}$  **and**  $ns :: \text{nat list}$   
**assumes**  $0 < j1\ j1 < j2\ j2 + 6 \leq k\ \text{length } tps = k$   
**and**  $idx < \text{length } ns$

**assumes**

$tps ! j1 = ([ns]_{NL}, 1)$   
 $tps ! j2 = ([idx]_N, 1)$   
 $tps ! (j2 + 1) = ([0]_N, 1)$   
 $tps ! (j2 + 2) = ([0]_N, 1)$   
 $tps ! (j2 + 3) = ([0]_N, 1)$   
 $tps ! (j2 + 4) = ([0]_N, 1)$   
 $tps ! (j2 + 5) = ([0]_N, 1)$

**assumes**  $ttt = 71 + 153 * \text{nlength } ns \wedge 3$

**assumes**  $tps' = tps$

$[j2 + 5 := ([previous\ ns\ idx]_N, 1)]$

**shows** *transforms* (*tm-prev*  $j1\ j2$ )  $tps\ ttt\ tps'$

**proof** –

**interpret** *loc*: *turing-machine-prev*  $j1\ j2$  .

**show** *?thesis*

**using** *assms loc.tm6-eq-tm-prev loc.tm6' loc.tps6'-def previous-def* **by** *simp*

**qed**

## 2.8.7 Checking containment in a list

A Turing machine that checks whether a number given on tape  $j_2$  is contained in the list of numbers on tape  $j_1$ . If so, it writes a 1 to tape  $j_3$ , and otherwise leaves tape  $j_3$  unchanged. So tape  $j_3$  should be initialized with 0.

**definition** *tm-contains* :: *tapeidx*  $\Rightarrow$  *tapeidx*  $\Rightarrow$  *tapeidx*  $\Rightarrow$  *machine* **where**

*tm-contains*  $j1\ j2\ j3 \equiv$   
**WHILE**  $\square$  ;  $\lambda rs. rs ! j1 \neq \square$  **DO**  
 $tm\text{-nextact} \mid j1\ (j3 + 1) ;;$   
 $tm\text{-equalsn } j2\ (j3 + 1)\ (j3 + 2) ;;$   
**IF**  $\lambda rs. rs ! (j3 + 2) \neq \square$  **THEN**  
 $tm\text{-setn } j3\ 1$   
**ELSE**  
 $\square$   
**ENDIF** ;;  
 $tm\text{-setn } (j3 + 1)\ 0 ;;$   
 $tm\text{-setn } (j3 + 2)\ 0$   
**DONE** ;;  
 $tm\text{-cr } j1$

**lemma** *tm-contains-tm*:

**assumes**  $0 < j1\ j1 \neq j2\ j3 + 2 < k\ j1 < j3\ j2 < j3$  **and**  $k \geq 2$  **and**  $G \geq 5$

**shows** *turing-machine*  $k\ G$  (*tm-contains*  $j1\ j2\ j3$ )

**unfolding** *tm-contains-def*

**using** *tm-nextact-tm tm-equalsn-tm Nil-tm tm-setn-tm tm-cr-tm assms*

*turing-machine-branch-turing-machine turing-machine-loop-turing-machine*

**by** *simp*

**locale** *turing-machine-contains* =

**fixes**  $j1\ j2\ j3 :: \text{tapeidx}$

**begin**

**definition**  $tmL1 \equiv tm\text{-nextact} \mid j1\ (j3 + 1)$

**definition**  $tmL2 \equiv tmL1 ;; tm\text{-equalsn } j2\ (j3 + 1)\ (j3 + 2)$

**definition**  $tmI \equiv \text{IF } \lambda rs. rs ! (j3 + 2) \neq \square \text{ THEN } tm\text{-setn } j3\ 1 \text{ ELSE } \square \text{ ENDIF}$

**definition**  $tmL3 \equiv tmL2 ;; tmI$

**definition**  $tmL4 \equiv tmL3 ;; tm\text{-setn } (j3 + 1)\ 0$

**definition**  $tmL5 \equiv tmL4 \ ; \ ; \ tm\text{-setn } (j3 + 2) \ 0$   
**definition**  $tmL \equiv WHILE \ [] \ ; \ \lambda rs. rs \ ! \ j1 \neq \square \ DO \ tmL5 \ DONE$   
**definition**  $tm2 \equiv tmL \ ; \ ; \ tm\text{-cr } j1$

**lemma**  $tm2\text{-eq-}tm\text{-contains}$ :  $tm2 = tm\text{-contains } j1 \ j2 \ j3$   
**unfolding**  $tm2\text{-def } tmL\text{-def } tmL5\text{-def } tmL4\text{-def } tmL3\text{-def } tmI\text{-def } tmL2\text{-def } tmL1\text{-def } tm\text{-contains-def}$   
**by**  $simp$

**context**

**fixes**  $tps0 :: \text{tape list}$  **and**  $k :: \text{nat}$  **and**  $ns :: \text{nat list}$  **and**  $needle :: \text{nat}$   
**assumes**  $jk$ :  $0 < j1 \ j1 \neq j2 \ j3 + 2 < k \ j1 < j3 \ j2 < j3 \ \text{length } tps0 = k$   
**and**  $tps0$ :  
 $tps0 \ ! \ j1 = nltape' \ ns \ 0$   
 $tps0 \ ! \ j2 = ([needle]_N, 1)$   
 $tps0 \ ! \ j3 = ([0]_N, 1)$   
 $tps0 \ ! \ (j3 + 1) = ([0]_N, 1)$   
 $tps0 \ ! \ (j3 + 2) = ([0]_N, 1)$

**begin**

**definition**  $tpsL :: \text{nat} \Rightarrow \text{tape list}$  **where**

$tpsL \ t \equiv tps0$   
 $[j1 := nltape' \ ns \ t,$   
 $j3 := ([\exists i < t. ns \ ! \ i = needle]_B, 1)]$

**lemma**  $tpsL0$ :  $tpsL \ 0 = tps0$

**unfolding**  $tpsL\text{-def}$  **using**  $tps0$  **by** ( $smt \ (verit) \ list\text{-update-id} \ not\text{-less-zero}$ )

**definition**  $tpsL1 :: \text{nat} \Rightarrow \text{tape list}$  **where**

$tpsL1 \ t \equiv tps0$   
 $[j1 := nltape' \ ns \ (Suc \ t),$   
 $j3 := ([\exists i < t. ns \ ! \ i = needle]_B, 1),$   
 $j3 + 1 := ([ns \ ! \ t]_N, 1)]$

**lemma**  $tmL1$  [ $transforms\text{-intros}$ ]:

**assumes**  $ttt = 12 + 2 * nlength \ (ns \ ! \ t)$  **and**  $t < \text{length } ns$

**shows**  $transforms \ tmL1 \ (tpsL \ t) \ ttt \ (tpsL1 \ t)$

**unfolding**  $tmL1\text{-def}$

**proof** ( $tform \ tps$ :  $assms(2) \ tpsL\text{-def } tpsL1\text{-def } jk \ tps0$ )

**show**  $ttt = 12 + 2 * nlength \ 0 + 2 * nlength \ (ns \ ! \ t)$

**using**  $assms(1)$  **by**  $simp$

**show**  $tpsL1 \ t = (tpsL \ t)$

$[j1 := nltape' \ ns \ (Suc \ t),$

$j3 + 1 := ([ns \ ! \ t]_N, 1)]$

**unfolding**  $tpsL1\text{-def } tpsL\text{-def}$  **using**  $jk$  **by** ( $simp \ add$ :  $list\text{-update-swap}$ )

**qed**

**definition**  $tpsL2 :: \text{nat} \Rightarrow \text{tape list}$  **where**

$tpsL2 \ t \equiv tps0$   
 $[j1 := nltape' \ ns \ (Suc \ t),$   
 $j3 := ([\exists i < t. ns \ ! \ i = needle]_B, 1),$   
 $j3 + 1 := ([ns \ ! \ t]_N, 1),$   
 $j3 + 2 := ([needle = ns \ ! \ t]_B, 1)]$

**lemma**  $tmL2$  [ $transforms\text{-intros}$ ]:

**assumes**  $ttt = 12 + 2 * nlength \ (ns \ ! \ t) + (3 * nlength \ (\min \ needle \ (ns \ ! \ t)) + 7)$

**and**  $t < \text{length } ns$

**shows**  $transforms \ tmL2 \ (tpsL \ t) \ ttt \ (tpsL2 \ t)$

**unfolding**  $tmL2\text{-def}$  **by** ( $tform \ tps$ :  $assms \ tps0 \ tpsL1\text{-def } tpsL2\text{-def } jk$ )

**definition**  $tpsI :: \text{nat} \Rightarrow \text{tape list}$  **where**

$tpsI \ t \equiv tps0$   
 $[j1 := nltape' \ ns \ (Suc \ t),$   
 $j3 := ([\exists i < Suc \ t. ns \ ! \ i = needle]_B, 1),$

$j\beta + 1 := (\lfloor ns ! t \rfloor_N, 1),$   
 $j\beta + 2 := (\lfloor needle = ns ! t \rfloor_B, 1)]$

**lemma** *tmI* [*transforms-intros*]:

**assumes**  $t\tau = 16$  **and**  $t < \text{length } ns$   
**shows** *transforms tmI* (*tpsL2*  $t$ )  $t\tau$  (*tpsI*  $t$ )  
**unfolding** *tmI-def*

**proof** (*tform tps: tpsL2-def jk*)

**show**  $10 + 2 * \text{nlength} (\text{if } \exists i < t. ns ! i = \text{needle} \text{ then } 1 \text{ else } 0) + 2 * \text{nlength } 1 + 2 \leq t\tau$   
**using** *assms(1) nlength-1-simp nlength-0* **by** *simp*  
**show**  $0 + 1 \leq t\tau$   
**using** *assms(1)* **by** *simp*

**have** *tpsL2*  $t ! (j\beta + 2) = (\lfloor needle = ns ! t \rfloor_B, 1)$   
**using** *tpsL2-def jk* **by** *simp*

**then have**  $*$ : (*read* (*tpsL2*  $t$ ) !  $(j\beta + 2) = \square$ ) = ( $needle \neq ns ! t$ )  
**using** *jk read-ncontents-eq-0* [*of tpsL2 t j\beta + 2*] *tpsL2-def* **by** *simp*

**show** *tpsI*  $t = (\text{tpsL2 } t)[j\beta := (\lfloor 1 \rfloor_N, 1)]$  **if** (*read* (*tpsL2*  $t$ ) !  $(j\beta + 2) \neq \square$ )

**proof** –

**have**  $needle = ns ! t$   
**using**  $*$  **that** **by** *simp*  
**then have**  $\exists i < \text{Suc } t. ns ! i = \text{needle}$   
**using** *assms(2)* **by** *auto*  
**then show** *?thesis*  
**unfolding** *tpsI-def tpsL2-def* **using** *jk* **by** (*simp add: list-update-swap*)

**qed**

**show** *tpsI*  $t = \text{tpsL2 } t$  **if**  $\neg \text{read} (\text{tpsL2 } t) ! (j\beta + 2) \neq \square$

**proof** –

**have**  $needle \neq ns ! t$   
**using**  $*$  **that** **by** *simp*  
**then have**  $(\exists i < \text{Suc } t. ns ! i = \text{needle}) = (\exists i < t. ns ! i = \text{needle})$   
**using** *assms(2) less-Suc-eq* **by** *auto*  
**then show** *?thesis*  
**unfolding** *tpsI-def tpsL2-def* **by** *simp*

**qed**

**qed**

**lemma** *tmL3* [*transforms-intros*]:

**assumes**  $t\tau = 28 + 2 * \text{nlength} (ns ! t) + (3 * \text{nlength} (\text{min } \text{needle} (ns ! t)) + 7)$   
**and**  $t < \text{length } ns$   
**shows** *transforms tmL3* (*tpsL*  $t$ )  $t\tau$  (*tpsI*  $t$ )  
**unfolding** *tmL3-def* **by** (*tform tps: assms*)

**definition** *tpsL4* ::  $\text{nat} \Rightarrow \text{tape list}$  **where**

*tpsL4*  $t \equiv \text{tps0}$   
 $[j1 := \text{nltape}' ns (\text{Suc } t),$   
 $j\beta := (\lfloor \exists i < \text{Suc } t. ns ! i = \text{needle} \rfloor_B, 1),$   
 $j\beta + 1 := (\lfloor 0 \rfloor_N, 1),$   
 $j\beta + 2 := (\lfloor needle = ns ! t \rfloor_B, 1)]$

**lemma** *tmL4* [*transforms-intros*]:

**assumes**  $t\tau = 38 + 4 * \text{nlength} (ns ! t) + (3 * \text{nlength} (\text{min } \text{needle} (ns ! t)) + 7)$   
**and**  $t < \text{length } ns$   
**shows** *transforms tmL4* (*tpsL*  $t$ )  $t\tau$  (*tpsL4*  $t$ )  
**unfolding** *tmL4-def*

**proof** (*tform tps: assms tpsI-def jk*)

**show** *tpsL4*  $t = (\text{tpsI } t)[j\beta + 1 := (\lfloor 0 \rfloor_N, 1)]$   
**unfolding** *tpsL4-def tpsI-def* **by** (*simp add: list-update-swap*)

**qed**

**definition** *tpsL5* ::  $\text{nat} \Rightarrow \text{tape list}$  **where**

*tpsL5*  $t \equiv \text{tps0}$   
 $[j1 := \text{nltape}' ns (\text{Suc } t),$



$j3 := (\lfloor \exists i < \text{Suc } t. \text{ns} ! i = \text{needle} \rfloor_B, 1),$   
 $j3 + 1 := (\lfloor 0 \rfloor_N, 1),$   
 $j3 + 2 := (\lfloor 0 \rfloor_N, 1)$

**lemma** *tmL5*:

**assumes**  $ttt = 48 + 4 * \text{nlength} (\text{ns} ! t) + (3 * \text{nlength} (\text{min needle} (\text{ns} ! t)) + 7) + 2 * \text{nlength} (\text{if needle} = \text{ns} ! t \text{ then } 1 \text{ else } 0)$

**and**  $t < \text{length ns}$

**shows** *transforms tmL5 (tpsL t) ttt (tpsL5 t)*

**unfolding** *tmL5-def*

**proof** (*tform tps: assms tpsL4-def jk*)

**show**  $\text{tpsL5 } t = (\text{tpsL4 } t)[j3 + 2 := (\lfloor 0 \rfloor_N, 1)]$

**unfolding** *tpsL5-def tpsL4-def* **by** (*simp add: list-update-swap*)

**qed**

**definition** *tpsL5'* ::  $\text{nat} \Rightarrow \text{tape list}$  **where**

$\text{tpsL5}' t \equiv \text{tps0}$

$[j1 := \text{nltape}' \text{ns} (\text{Suc } t),$

$j3 := (\lfloor \exists i < \text{Suc } t. \text{ns} ! i = \text{needle} \rfloor_B, 1)]$

**lemma** *tpsL5'*:  $\text{tpsL5}' t = \text{tpsL5 } t$

**using** *tpsL5'-def tpsL5-def tps0 jk*

**by** (*smt (verit, del-insts) One-nat-def less-Suc-eq less-add-same-cancel1 list-update-swap not-less-eq tape-list-eq zero-less-numeral*)

**lemma** *tmL5'*:

**assumes**  $ttt = 57 + 4 * \text{nlength} (\text{ns} ! t) + 3 * \text{nlength} (\text{min needle} (\text{ns} ! t))$

**and**  $t < \text{length ns}$

**shows** *transforms tmL5 (tpsL t) ttt (tpsL5' t)*

**proof** –

**have**  $\text{nlength} (\text{if needle} = \text{ns} ! t \text{ then } 1 \text{ else } 0) \leq 1$

**using** *nlength-1-simp* **by** *simp*

**then have**  $48 + 4 * \text{nlength} (\text{ns} ! t) + (3 * \text{nlength} (\text{min needle} (\text{ns} ! t)) + 7) + 2 * \text{nlength} (\text{if needle} = \text{ns} ! t \text{ then } 1 \text{ else } 0) \leq ttt$

**using** *assms(1)* **by** *simp*

**then show** *?thesis*

**using** *tpsL5' tmL5 transforms-monotone assms(2)* **by** *fastforce*

**qed**

**lemma** *tmL5''* [*transforms-intros*]:

**assumes**  $ttt = 57 + 7 * \text{nlength ns}$  **and**  $t < \text{length ns}$

**shows** *transforms tmL5 (tpsL t) ttt (tpsL (Suc t))*

**proof** –

**have**  $\text{nlength} (\text{ns} ! t) \leq \text{nlength ns}$

**using** *assms(2)* **by** (*simp add: member-le-nlength*)

**moreover from this have**  $\text{nlength} (\text{min needle} (\text{ns} ! t)) \leq \text{nlength ns}$

**using** *nlength-mono* **by** (*metis dual-order.trans min-def*)

**ultimately have**  $ttt \geq 57 + 4 * \text{nlength} (\text{ns} ! t) + 3 * \text{nlength} (\text{min needle} (\text{ns} ! t))$

**using** *assms(1)* **by** *simp*

**moreover have**  $\text{tpsL5}' t = \text{tpsL} (\text{Suc } t)$

**using** *tpsL5'-def tpsL-def* **by** *simp*

**ultimately show** *?thesis*

**using** *tmL5' assms(2) transforms-monotone* **by** *fastforce*

**qed**

**lemma** *tmL* [*transforms-intros*]:

**assumes**  $ttt = \text{length ns} * (59 + 7 * \text{nlength ns}) + 1$

**shows** *transforms tmL (tpsL 0) ttt (tpsL (length ns))*

**unfolding** *tmL-def*

**proof** (*tform*)

**let**  $?t = 57 + 7 * \text{nlength ns}$

**show**  $\text{length ns} * (57 + 7 * \text{nlength ns} + 2) + 1 \leq ttt$

**using** *assms* **by** *simp*

**have** \*:  $tpsL\ t !\ j1 = nltape'\ ns\ t$  **for**  $t$   
**using**  $tpsL-def\ jk$  **by**  $simp$   
**moreover** **have**  $read\ (tpsL\ t) !\ j1 = tpsL\ t ::\ j1$  **for**  $t$   
**using**  $tapes-at-read'[of\ j1\ tpsL\ t]\ tpsL-def\ jk$  **by**  $simp$   
**ultimately** **have**  $read\ (tpsL\ t) !\ j1 = |\cdot| (nltape'\ ns\ t)$  **for**  $t$   
**by**  $simp$   
**then** **have**  $read\ (tpsL\ t) !\ j1 = \square \iff (t \geq length\ ns)$  **for**  $t$   
**using**  $nltape'-tape-read$  **by**  $simp$   
**then** **show**  
 $\bigwedge i. i < length\ ns \implies read\ (tpsL\ i) !\ j1 \neq \square$   
 $\neg read\ (tpsL\ (length\ ns)) !\ j1 \neq \square$   
**using** \* **by**  $simp-all$   
**qed**

**definition**  $tps2 :: tape\ list$  **where**

$tps2 \equiv tps0$   
 $[j1 := nltape'\ ns\ 0,$   
 $j3 := (\exists i < length\ ns. ns !\ i = needle)]_B, 1]$

**lemma**  $tm2$ :

**assumes**  $ttt = length\ ns * (59 + 7 * nlength\ ns) + nlength\ ns + 4$   
**shows**  $transforms\ tm2\ (tpsL\ 0)\ ttt\ tps2$   
**unfolding**  $tm2-def$   
**proof** ( $tform\ tps: tpsL-def\ jk$ )  
**show**  $clean-tape\ (tpsL\ (length\ ns)) !\ j1$   
**using**  $tpsL-def\ jk\ clean-tape-nlcontents$  **by**  $simp$   
**have**  $tpsL\ (length\ ns) !\ j1\ |\#|= 1 = nltape'\ ns\ 0$   
**using**  $tpsL-def\ jk$  **by**  $simp$   
**then** **have**  $(tpsL\ (length\ ns))[j1 := tpsL\ (length\ ns) !\ j1\ |\#|= 1] = (tpsL\ (length\ ns))[j1 := nltape'\ ns\ 0]$   
**by**  $simp$   
**then** **show**  $tps2 = (tpsL\ (length\ ns))[j1 := tpsL\ (length\ ns) !\ j1\ |\#|= 1]$   
**unfolding**  $tps2-def\ tpsL-def$  **using**  $jk$  **by** ( $simp\ add: list-update-swap$ )  
**have**  $tpsL\ (length\ ns) :\#:\ j1 = Suc\ (nlength\ ns)$   
**using**  $tpsL-def\ jk$  **by**  $simp$   
**then** **show**  $ttt = length\ ns * (59 + 7 * nlength\ ns) + 1 +$   
 $(tpsL\ (length\ ns) :\#:\ j1 + 2)$   
**using**  $assms$  **by**  $simp$   
**qed**

**definition**  $tps2' :: tape\ list$  **where**

$tps2' \equiv tps0$   
 $[j3 := (\text{needle} \in set\ ns)]_B, 1]$

**lemma**  $tm2'$ :

**assumes**  $ttt = 67 * nlength\ ns^2 + 4$   
**shows**  $transforms\ tm2\ tps0\ ttt\ tps2'$   
**proof** –  
**let**  $?t = length\ ns * (59 + 7 * nlength\ ns) + nlength\ ns + 4$   
**have**  $?t \leq nlength\ ns * (59 + 7 * nlength\ ns) + nlength\ ns + 4$   
**by** ( $simp\ add: length-le-nlength$ )  
**also** **have**  $\dots = 60 * nlength\ ns + 7 * nlength\ ns^2 + 4$   
**by**  $algebra$   
**also** **have**  $\dots \leq 60 * nlength\ ns^2 + 7 * nlength\ ns^2 + 4$   
**using**  $linear-le-pow$  **by**  $simp$   
**also** **have**  $\dots = 67 * nlength\ ns^2 + 4$   
**by**  $simp$   
**finally** **have**  $?t \leq 67 * nlength\ ns^2 + 4$  .  
**moreover** **have**  $tps2' = tps2$   
**unfolding**  $tps2-def\ tps2'-def$  **using**  $tps0(1)$  **by** ( $smt\ (verit)\ in-set-conv-nth\ list-update-id$ )  
**ultimately** **show**  $?thesis$   
**using**  $tm2\ assms\ transforms-monotone\ tpsL0$  **by**  $simp$   
**qed**

end

end

**lemma** *transforms-tm-containsI* [*transforms-intros*]:

**fixes**  $j1\ j2\ j3 :: \text{tapeidx}$

**fixes**  $tps\ tps' :: \text{tape list}$  **and**  $t\ k\ needle :: \text{nat}$  **and**  $ns :: \text{nat list}$

**assumes**  $0 < j1\ j1 \neq j2\ j3 + 2 < k\ j1 < j3\ j2 < j3\ \text{length } tps = k$

**assumes**

$tps ! j1 = \text{nltape}'\ ns\ 0$

$tps ! j2 = (\lfloor \text{needle} \rfloor_N, 1)$

$tps ! j3 = (\lfloor 0 \rfloor_N, 1)$

$tps ! (j3 + 1) = (\lfloor 0 \rfloor_N, 1)$

$tps ! (j3 + 2) = (\lfloor 0 \rfloor_N, 1)$

**assumes**  $t = 67 * \text{nlength } ns \wedge 2 + 4$

**assumes**  $tps' = tps$

$[j3 := (\lfloor \text{needle} \in \text{set } ns \rfloor_B, 1)]$

**shows** *transforms* (*tm-contains*  $j1\ j2\ j3$ )  $tps\ t\ tps'$

**proof** –

**interpret** *loc*: *turing-machine-contains*  $j1\ j2\ j3$  .

**show** *?thesis*

**using** *assms loc.tm2-eq-tm-contains loc.tps2'-def loc.tm2'* **by** *simp*

**qed**

## 2.8.8 Creating lists of consecutive numbers

The next TM accepts a number *start* on tape  $j_1$  and a number *delta* on tape  $j_2$ . It outputs the list  $[start, \dots, start + delta - 1]$  on tape  $j_3$ .

**definition** *tm-range* :: *tapeidx*  $\Rightarrow$  *tapeidx*  $\Rightarrow$  *tapeidx*  $\Rightarrow$  *machine* **where**

*tm-range*  $j1\ j2\ j3 \equiv$

*tm-copy*  $j1\ (j3 + 2) ;;$

*tm-copy*  $j2\ (j3 + 1) ;;$

*WHILE*  $[] ; \lambda rs. rs ! (j3 + 1) \neq \square$  *DO*

*tm-append*  $j3\ (j3 + 2) ;;$

*tm-incr*  $(j3 + 2) ;;$

*tm-decr*  $(j3 + 1)$

*DONE* ;;

*tm-setn*  $(j3 + 2)\ 0 ;;$

*tm-cr*  $j3$

**lemma** *tm-range-tm*:

**assumes**  $k \geq j3 + 3$  **and**  $G \geq 5$  **and**  $j1 \neq j2$  **and**  $0 < j1$  **and**  $0 < j2$  **and**  $j1 < j3$  **and**  $j2 < j3$

**shows** *turing-machine*  $k\ G$  (*tm-range*  $j1\ j2\ j3$ )

**unfolding** *tm-range-def*

**using** *assms tm-copyn-tm tm-decr-tm tm-append-tm tm-setn-tm tm-incr-tm Nil-tm tm-cr-tm*

*turing-machine-loop-turing-machine*

**by** *simp*

**locale** *turing-machine-range* =

**fixes**  $j1\ j2\ j3 :: \text{tapeidx}$

**begin**

**definition**  $tm1 \equiv \text{tm-copy } j1\ (j3 + 2)$

**definition**  $tm2 \equiv tm1 ;; \text{tm-copy } j2\ (j3 + 1)$

**definition**  $tmB1 \equiv \text{tm-append } j3\ (j3 + 2)$

**definition**  $tmB2 \equiv tmB1 ;; \text{tm-incr } (j3 + 2)$

**definition**  $tmB3 \equiv tmB2 ;; \text{tm-decr } (j3 + 1)$

**definition**  $tmL \equiv \text{WHILE } [] ; \lambda rs. rs ! (j3 + 1) \neq \square$  *DO*  $tmB3$  *DONE*

**definition**  $tm3 \equiv tm2 ;; tmL$

**definition**  $tm4 \equiv tm3 ;; \text{tm-setn } (j3 + 2)\ 0$

**definition**  $tm5 \equiv tm4 ;; \text{tm-cr } j3$

**lemma** *tm5-eq-tm-range*:  $tm5 = \text{tm-range } j1\ j2\ j3$

**unfolding** *tm-range-def tm5-def tm4-def tm3-def tmL-def tmB3-def tmB2-def tmB1-def tm2-def tm1-def*  
**by** *simp*

**context**

**fixes** *tps0* :: *tape list* **and** *k start delta* :: *nat*  
**assumes** *jk*:  $k \geq j3 + 3$   $j1 \neq j2$   $0 < j1$   $0 < j2$   $0 < j3$   $j1 < j3$   $j2 < j3$   $\text{length } tps0 = k$   
**and** *tps0*:  
 $tps0 ! j1 = ([start]_N, 1)$   
 $tps0 ! j2 = ([delta]_N, 1)$   
 $tps0 ! j3 = ([[]]_{NL}, 1)$   
 $tps0 ! (j3 + 1) = ([0]_N, 1)$   
 $tps0 ! (j3 + 2) = ([0]_N, 1)$

**begin**

**definition** *tps1*  $\equiv tps0$

$[j3 + 2 := ([start]_N, 1)]$

**lemma** *tm1* [*transforms-intros*]:

**assumes** *ttt* =  $14 + 3 * \text{nlength } start$

**shows** *transforms tm1 tps0 ttt tps1*

**unfolding** *tm1-def*

**by** (*tform tps: nlength-0 assms tps0 tps1-def jk*)

**definition** *tps2*  $\equiv tps0$

$[j3 + 2 := ([start]_N, 1),$

$j3 + 1 := ([delta]_N, 1)]$

**lemma** *tm2* [*transforms-intros*]:

**assumes** *ttt* =  $28 + 3 * \text{nlength } start + 3 * \text{nlength } delta$

**shows** *transforms tm2 tps0 ttt tps2*

**unfolding** *tm2-def*

**proof** (*tform tps: jk tps0 tps1-def tps2-def*)

**show** *ttt* =  $14 + 3 * \text{nlength } start + (14 + 3 * (\text{nlength } delta + \text{nlength } 0))$

**using** *assms* **by** *simp*

**qed**

**definition** *tpsL t*  $\equiv tps0$

$[j3 + 2 := ([start + t]_N, 1),$

$j3 + 1 := ([delta - t]_N, 1),$

$j3 := \text{nltape } [start..<start + t]]$

**lemma** *tpsL-eq-tps2*: *tpsL 0* = *tps2*

**using** *tpsL-def tps2-def tps0 jk*

**by** (*metis (mono-tags, lifting) One-nat-def Suc-n-not-le-n add-cancel-left-right eq-imp-le list-update-id list-update-swap minus-nat.diff-0 nlength-Nil not-numeral-le-zero upt-conv-Nil*)

**definition** *tpsB1 t*  $\equiv tps0$

$[j3 + 2 := ([start + t]_N, 1),$

$j3 + 1 := ([delta - t]_N, 1),$

$j3 := \text{nltape } ([start..<start + t] @ [start + t])]$

**lemma** *tmB1* [*transforms-intros*]:

**assumes** *ttt* =  $6 + 2 * \text{nlength } (start + t)$

**shows** *transforms tmB1 (tpsL t) ttt (tpsB1 t)*

**unfolding** *tmB1-def*

**proof** (*tform tps: tpsL-def tpsB1-def jk*)

**show** *ttt* =  $7 + \text{nlength } [start..<start + t] - \text{Suc } (\text{nlength } [start..<start + t]) + 2 * \text{nlength } (start + t)$

**using** *assms* **by** *simp*

**qed**

**definition** *tpsB2 t*  $\equiv tps0$

$[j3 + 2 := ([Suc (start + t)]_N, 1),$

$j3 + 1 := ([delta - t]_N, 1),$

$j\beta := \text{nltape} ([\text{start}..<\text{start} + t] @ [\text{start} + t])$

**lemma** *tmB2* [*transforms-intros*]:  
**assumes**  $ttt = 11 + 4 * \text{nlength} (\text{start} + t)$   
**shows** *transforms tmB2 (tpsL t) ttt (tpsB2 t)*  
**unfolding** *tmB2-def* **by** (*tform tps: tpsL-def tpsB1-def tpsB2-def jk time: assms*)

**definition** *tpsB3*  $t \equiv tps0$   
 $[j\beta + 2 := (\lfloor \text{Suc} (\text{start} + t) \rfloor_N, 1),$   
 $j\beta + 1 := (\lfloor \text{delta} - t - 1 \rfloor_N, 1),$   
 $j\beta := \text{nltape} ([\text{start}..<\text{start} + t] @ [\text{start} + t])$

**lemma** *tmB3*:  
**assumes**  $ttt = 19 + 4 * \text{nlength} (\text{start} + t) + 2 * \text{nlength} (\text{delta} - t)$   
**shows** *transforms tmB3 (tpsL t) ttt (tpsB3 t)*  
**unfolding** *tmB3-def* **by** (*tform tps: tpsL-def tpsB2-def tpsB3-def jk time: assms*)

**lemma** *tpsB3*:  $tpsB3 t \equiv tpsL (\text{Suc } t)$   
**using** *tpsB3-def tpsL-def* **by** *simp*

**lemma** *tmB3'* [*transforms-intros*]:  
**assumes**  $ttt = 19 + 6 * \text{nlength} (\text{start} + \text{delta})$  **and**  $t < \text{delta}$   
**shows** *transforms tmB3 (tpsL t) ttt (tpsL (Suc t))*  
**proof** –  
**have**  $19 + 4 * \text{nlength} (\text{start} + t) + 2 * \text{nlength} (\text{delta} - t) \leq 19 + 4 * \text{nlength} (\text{start} + t) + 2 * \text{nlength} \text{delta}$   
**using** *nlength-mono* **by** *simp*  
**also have**  $\dots \leq 19 + 4 * \text{nlength} (\text{start} + \text{delta}) + 2 * \text{nlength} \text{delta}$   
**using** *assms(2) nlength-mono* **by** *simp*  
**also have**  $\dots \leq 19 + 4 * \text{nlength} (\text{start} + \text{delta}) + 2 * \text{nlength} (\text{start} + \text{delta})$   
**using** *nlength-mono* **by** *simp*  
**also have**  $\dots = 19 + 6 * \text{nlength} (\text{start} + \text{delta})$   
**by** *simp*  
**finally have**  $19 + 4 * \text{nlength} (\text{start} + t) + 2 * \text{nlength} (\text{delta} - t) \leq 19 + 6 * \text{nlength} (\text{start} + \text{delta})$ .  
**then show** *?thesis*  
**using** *tpsB3 tmB3 transforms-monotone assms(1)* **by** *metis*  
**qed**

**lemma** *tmL*:  
**assumes**  $ttt = \text{delta} * (21 + 6 * \text{nlength} (\text{start} + \text{delta})) + 1$   
**shows** *transforms tmL (tpsL 0) ttt (tpsL delta)*  
**unfolding** *tmL-def*  
**proof** (*tform*)  
**have**  $\text{read} (tpsL t) ! (j\beta + 1) \neq \square \iff t < \text{delta}$  **for**  $t$   
**using** *tpsL-def read-ncontents-eq-0 jk* **by** *auto*  
**then show**  $\bigwedge t. t < \text{delta} \implies \text{read} (tpsL t) ! (j\beta + 1) \neq \square$  **and**  $\neg \text{read} (tpsL \text{delta}) ! (j\beta + 1) \neq \square$   
**by** *simp-all*  
**show**  $\text{delta} * (19 + 6 * \text{nlength} (\text{start} + \text{delta}) + 2) + 1 \leq ttt$   
**using** *assms(1)* **by** *simp*  
**qed**

**lemma** *tmL'* [*transforms-intros*]:  
**assumes**  $ttt = \text{delta} * (21 + 6 * \text{nlength} (\text{start} + \text{delta})) + 1$   
**shows** *transforms tmL tps2 ttt (tpsL delta)*  
**using** *tmL assms tpsL-eq-tps2* **by** *simp*

**definition** *tps3*  $\equiv tps0$   
 $[j\beta + 2 := (\lfloor \text{start} + \text{delta} \rfloor_N, 1),$   
 $j\beta := \text{nltape} [\text{start}..<\text{start} + \text{delta}]$

**lemma** *tpsL-eq-tps3*:  $tpsL \text{delta} = tps3$   
**using** *tps3-def tps0 jk tpsL-def*  
**by** (*smt (verit) One-nat-def add-left-imp-eq cancel-comm-monoid-add-class.diff-cancel list-update-id*)

*list-update-swap n-not-Suc-n numeral-2-eq-2)*

**lemma** *tm3*:

**assumes**  $ttt = 29 + 3 * nlength\ start + 3 * nlength\ delta + delta * (21 + 6 * nlength\ (start + delta))$   
**shows** *transforms tm3 tps0 ttt (tpsL delta)*  
**unfolding** *tm3-def* **by** (*tform time: assms*)

**lemma** *tm3'* [*transforms-intros*]:

**assumes**  $ttt = 29 + 3 * nlength\ start + 3 * nlength\ delta + delta * (21 + 6 * nlength\ (start + delta))$   
**shows** *transforms tm3 tps0 ttt tps3*  
**using** *assms tm3 tpsL-eq-tps3* **by** *simp*

**definition** *tps4*  $\equiv$  *tps0*

$[j3 := nltape\ [start..<start + delta]]$

**lemma** *tm4*:

**assumes**  $ttt = 39 + 3 * nlength\ start + 3 * nlength\ delta + delta * (21 + 6 * nlength\ (start + delta)) + 2 * nlength\ (start + delta)$   
**shows** *transforms tm4 tps0 ttt tps4*  
**unfolding** *tm4-def*

**proof** (*tform tps: tps3-def tps4-def jk time: assms*)

**show**  $tps4 = tps3[j3 + 2 := ([0]_N, 1)]$

**using** *tps4-def tps3-def tps0 jk*

**by** (*metis (mono-tags, lifting) Suc-neq-Zero add-cancel-right-right list-update-id list-update-overwrite list-update-swap numeral-2-eq-2*)

**qed**

**lemma** *tm4'* [*transforms-intros*]:

**assumes**  $ttt = Suc\ delta * (39 + 8 * nlength\ (start + delta))$

**shows** *transforms tm4 tps0 ttt tps4*

**proof** –

**let**  $?ttt = 39 + 3 * nlength\ start + 3 * nlength\ delta + delta * (21 + 6 * nlength\ (start + delta)) + 2 * nlength\ (start + delta)$

**have**  $?ttt \leq 39 + 3 * nlength\ (start + delta) + 3 * nlength\ (start + delta) + delta * (21 + 6 * nlength\ (start + delta)) + 2 * nlength\ (start + delta)$

**using** *nlength-mono* **by** (*meson add-le-mono add-le-mono1 le-add2 nat-add-left-cancel-le nat-le-iff-add nat-mult-le-cancel-disj*)

**also have**  $\dots = 39 + 8 * nlength\ (start + delta) + delta * (21 + 6 * nlength\ (start + delta))$

**by** *simp*

**also have**  $\dots \leq 39 + 8 * nlength\ (start + delta) + delta * (39 + 8 * nlength\ (start + delta))$

**by** *simp*

**also have**  $\dots = Suc\ delta * (39 + 8 * nlength\ (start + delta))$

**by** *simp*

**finally have**  $?ttt \leq Suc\ delta * (39 + 8 * nlength\ (start + delta))$  .

**then show** *?thesis*

**using** *assms tm4 transforms-monotone tps4-def* **by** *simp*

**qed**

**definition** *tps5*  $\equiv$  *tps0*

$[j3 := ([start..<start + delta])_{NL}, 1]$

**lemma** *tm5*:

**assumes**  $ttt = Suc\ delta * (39 + 8 * nlength\ (start + delta)) + Suc\ (Suc\ (Suc\ (nlength\ [start..<start + delta])))$

**shows** *transforms tm5 tps0 ttt tps5*

**unfolding** *tm5-def*

**proof** (*tform tps: tps4-def tps5-def jk time: assms tps4-def jk*)

**show** *clean-tape (tps4 ! j3)*

**using** *tps4-def jk clean-tape-nlcontents* **by** *simp*

**qed**

**lemma** *tm5'*:

**assumes**  $ttt = Suc\ delta * (43 + 9 * nlength\ (start + delta))$

**shows** *transforms tm5 tps0 ttt tps5*

**proof** –

```

let ?t1 = Suc delta * (39 + 8 * nlength (start + delta)) + Suc (Suc (Suc (nlength [start..<start + delta])))
have nlength [start..<start + delta] ≤ Suc (nlength (start + delta)) * delta
  using nlength-le-len-mult-max[of [start..<start + delta] start + delta] by simp
then have ?t1 ≤ Suc delta * (39 + 8 * nlength (start + delta)) + 3 + Suc (nlength (start + delta)) * delta
  by simp
also have ... ≤ 3 + Suc delta * (39 + 8 * nlength (start + delta)) + Suc delta * Suc (nlength (start + delta))
  by simp
also have ... = 3 + Suc delta * (39 + 8 * nlength (start + delta)) + Suc (nlength (start + delta))
  by algebra
also have ... = 3 + Suc delta * (40 + 9 * nlength (start + delta))
  by simp
also have ... ≤ Suc delta * (43 + 9 * nlength (start + delta))
  by simp
finally have ?t1 ≤ Suc delta * (43 + 9 * nlength (start + delta)) .
then show ?thesis
  using tm5 assms transforms-monotone by simp
qed

```

**end**

**end**

**lemma** *transforms-tm-rangeI* [*transforms-intros*]:

```

fixes j1 j2 j3 :: tapeidx
fixes tps tps' :: tape list and k start delta :: nat
assumes k ≥ j3 + 3 j1 ≠ j2 0 < j1 0 < j2 j1 < j3 j2 < j3 length tps = k
assumes
  tps ! j1 = ([start]N, 1)
  tps ! j2 = ([delta]N, 1)
  tps ! j3 = ([ ]NL, 1)
  tps ! (j3 + 1) = ([0]N, 1)
  tps ! (j3 + 2) = ([0]N, 1)
assumes t1 = Suc delta * (43 + 9 * nlength (start + delta))
assumes tps' = tps
  [j3 := ([start..<start + delta])NL, 1]
shows transforms (tm-range j1 j2 j3) tps t1 tps'

```

**proof** –

```

interpret loc: turing-machine-range j1 j2 j3 .
show ?thesis
  using assms loc.tm5-eq-tm-range loc.tm5' loc.tps5-def by simp
qed

```

## 2.8.9 Creating singleton lists

The next Turing machine appends the symbol | to the symbols on tape  $j$ . Thus it turns a number into a singleton list containing this number.

**definition** *tm-to-list* :: *tapeidx* ⇒ *machine* **where**

```

tm-to-list j ≡
  tm-right-until j {□} ;;
  tm-write j | ;;
  tm-cr j

```

**lemma** *tm-to-list-tm*:

```

assumes 0 < j and j < k and G ≥ 5
shows turing-machine k G (tm-to-list j)
unfolding tm-to-list-def using tm-right-until-tm tm-write-tm tm-cr-tm assms by simp

```

**locale** *turing-machine-to-list* =

```

fixes j :: tapeidx

```

**begin**

**definition** *tm1* ≡ *tm-right-until* j {□}

**definition**  $tm2 \equiv tm1 \ ;\ ;\ tm\text{-write } j \ |$

**definition**  $tm3 \equiv tm2 \ ;\ ;\ tm\text{-cr } j$

**lemma**  $tm3\text{-eq-tm-to-list}$ :  $tm3 = tm\text{-to-list } j$

**using**  $tm3\text{-def } tm2\text{-def } tm1\text{-def } tm\text{-to-list-def}$  **by**  $simp$

**context**

**fixes**  $tps0 \ ::\ tape\ list$  **and**  $k\ n \ ::\ nat$

**assumes**  $jk$ :  $0 < j\ j < k$   $length\ tps0 = k$

**and**  $tps0$ :  $tps0 \ !\ j = (\lfloor n \rfloor_N, 1)$

**begin**

**definition**  $tps1 \equiv tps0[j := (\lfloor n \rfloor_N, Suc\ (nlength\ n))]$

**lemma**  $tm1$  [ $transforms\text{-intros}$ ]:

**assumes**  $ttt = Suc\ (nlength\ n)$

**shows**  $transforms\ tm1\ tps0\ ttt\ tps1$

**unfolding**  $tm1\text{-def}$

**proof** ( $tform\ tps$ :  $assms\ tps1\text{-def } tps0\ jk$ )

**show**  $rneigh\ (tps0 \ !\ j)\ \{0\}\ (nlength\ n)$

**proof** ( $rule\ rneighI$ )

**show**  $(tps0 \ ::\ j)\ (tps0 \ :\#:\ j + nlength\ n) \in \{0\}$

**using**  $tps0\ jk$  **by**  $simp$

**show**  $\bigwedge n'.\ n' < nlength\ n \implies (tps0 \ ::\ j)\ (tps0 \ :\#:\ j + n') \notin \{0\}$

**using**  $assms\ tps0\ jk\ bit\text{-symbols-canrepr}\ contents\text{-def}$  **by**  $fastforce$

**qed**

**qed**

**definition**  $tps2 \equiv tps0[j := (\lfloor \lfloor n \rfloor \rfloor_{NL}, Suc\ (nlength\ n))]$

**lemma**  $tm2$  [ $transforms\text{-intros}$ ]:

**assumes**  $ttt = Suc\ (Suc\ (nlength\ n))$

**shows**  $transforms\ tm2\ tps0\ ttt\ tps2$

**unfolding**  $tm2\text{-def}$

**proof** ( $tform\ tps$ :  $assms\ tps1\text{-def } tps0\ jk$ )

**have**  $numlist\ [n] = canrepr\ n \ @\ []$

**using**  $numlist\text{-def}$  **by**  $simp$

**then show**  $tps2 = tps1[j := tps1 \ !\ j \ |:=\ |]$

**using**  $assms\ tps1\text{-def } tps2\text{-def } tps0\ jk\ numlist\text{-def } nlcontents\text{-def } contents\text{-snoc}$

**by**  $simp$

**qed**

**definition**  $tps3 \equiv tps0[j := (\lfloor \lfloor n \rfloor \rfloor_{NL}, 1)]$

**lemma**  $tm3$ :

**assumes**  $ttt = 5 + 2 * nlength\ n$

**shows**  $transforms\ tm3\ tps0\ ttt\ tps3$

**unfolding**  $tm3\text{-def}$

**proof** ( $tform\ tps$ :  $tps2\text{-def } tps0\ jk$   $time$ :  $assms\ tps2\text{-def } jk$ )

**show**  $clean\text{-tape}\ (tps2 \ !\ j)$

**using**  $tps2\text{-def } jk\ clean\text{-tape-nlcontents}$  **by**  $simp$

**show**  $tps3 = tps2[j := tps2 \ !\ j \ |#\ =\ | \ 1]$

**using**  $tps3\text{-def } tps2\text{-def } jk$  **by**  $simp$

**qed**

**end**

**end**

**lemma**  $transforms\text{-tm-to-listI}$  [ $transforms\text{-intros}$ ]:

**fixes**  $j \ ::\ tapeidx$

**fixes**  $tps\ tps' \ ::\ tape\ list$  **and**  $ttt\ k\ n \ ::\ nat$

**assumes**  $0 < j\ j < k$   $length\ tps = k$



```

assumes tps ! j = ( $\lfloor n \rfloor_N$ , 1)
assumes ttt = 5 + 2 * nlength n
assumes tps' = tps[j := ( $\lfloor n \rfloor_{NL}$ , 1)]
shows transforms (tm-to-list j) tps ttt tps'
proof –
  interpret loc: turing-machine-to-list j .
  show ?thesis
    using assms loc.tm3-eq-tm-to-list loc.tm3 loc.tps3-def by simp
qed

```

## 2.8.10 Extending with a list

The next Turing machine extends the list on tape  $j_1$  with the list on tape  $j_2$ . We assume that the tape head on  $j_1$  is already at the end of the list.

```

definition tm-extend :: tapeidx  $\Rightarrow$  tapeidx  $\Rightarrow$  machine where
  tm-extend j1 j2  $\equiv$  tm-cp-until j2 j1 { $\square$ } ;; tm-cr j2

```

**lemma** tm-extend-tm:

```

assumes 0 < j1 and G  $\geq$  4 and j1 < k and j2 < k
shows turing-machine k G (tm-extend j1 j2)
unfolding tm-extend-def using assms tm-cp-until-tm tm-cr-tm by simp

```

```

locale turing-machine-extend =
  fixes j1 j2 :: tapeidx
begin

```

```

definition tm1  $\equiv$  tm-cp-until j2 j1 { $\square$ }
definition tm2  $\equiv$  tm1 ;; tm-cr j2

```

```

lemma tm2-eq-tm-extend: tm2 = tm-extend j1 j2
unfolding tm2-def tm2-def tm1-def tm-extend-def by simp

```

**context**

```

fixes tps0 :: tape list and k :: nat and ns1 ns2 :: nat list
assumes jk: 0 < j1 j1 < k j2 < k j1  $\neq$  j2 length tps0 = k
assumes tps0:
  tps0 ! j1 = nltape ns1
  tps0 ! j2 = ( $\lfloor ns2 \rfloor_{NL}$ , 1)

```

**begin**

```

definition tps1  $\equiv$  tps0
  [j1 := nltape (ns1 @ ns2),
   j2 := nltape ns2]

```

**lemma** tm1 [transforms-intros]:

```

assumes ttt = Suc (nlength ns2)
shows transforms tm1 tps0 ttt tps1
unfolding tm1-def

```

**proof** (tform tps: tps1-def tps0 jk)

```

let ?n = nlength ns2
show rneigh (tps0 ! j2) {0} ?n

```

**proof** (rule rneighI)

```

show (tps0 ::: j2) (tps0 :# j2 + nlength ns2)  $\in$  {0}

```

```

using tps0 nlcontents-def nlength-def jk by simp

```

```

show  $\bigwedge i. i < nlength ns2 \implies$  (tps0 ::: j2) (tps0 :# j2 + i)  $\notin$  {0}

```

```

using tps0 jk contents-def nlcontents-def nlength-def proper-symbols-numlist
by fastforce

```

**qed**

```

show ttt = Suc (nlength ns2)

```

```

using assms .

```

```

show tps1 = tps0

```

```

[j2 := tps0 ! j2 |+| nlength ns2,

```

```

j1 := implant (tps0 ! j2) (tps0 ! j1) (nlength ns2)]

```

```

proof –
  have implant ( $\lfloor ns2 \rfloor_{NL}, 1$ ) (nltape ns1) (nlength ns2) = nltape (ns1 @ ns2)
  using nlcontents-def nlength-def implant-contents by (simp add: numlist-append)
  moreover have tps1 ! j2 = tps0 ! j2 |+| nlength ns2
  using tps0 jk tps1-def by simp
  ultimately show ?thesis
  using tps0 jk tps1-def by (metis fst-conv list-update-swap plus-1-eq-Suc snd-conv)
qed

```

```

definition tps2  $\equiv$  tps0[j1 := nltape (ns1 @ ns2)]

```

```

lemma tm2:

```

```

  assumes ttt = 4 + 2 * nlength ns2
  shows transforms tm2 tps0 ttt tps2
  unfolding tm2-def
proof (tform tps: tps0 tps2-def tps1-def jk)
  show clean-tape (tps1 ! j2)
  using tps1-def jk clean-tape-nlcontents by simp
  show ttt = Suc (nlength ns2) + (tps1 :#: j2 + 2)
  using assms tps1-def jk by simp
  show tps2 = tps1[j2 := tps1 ! j2 |#|=| 1]
  using tps1-def jk tps2-def tps0(2)
  by (metis fst-conv length-list-update list-update-id list-update-overwrite nth-list-update)
qed

```

```

end

```

```

end

```

```

lemma transforms-tm-extendI [transforms-intros]:

```

```

  fixes j1 j2 :: tapeidx
  fixes tps tps' :: tape list and k :: nat and ns1 ns2 :: nat list
  assumes 0 < j1 j1 < k j2 < k j1  $\neq$  j2 length tps = k
  assumes
    tps ! j1 = nltape ns1
    tps ! j2 = ( $\lfloor ns2 \rfloor_{NL}, 1$ )
  assumes ttt = 4 + 2 * nlength ns2
  assumes tps' = tps[j1 := nltape (ns1 @ ns2)]
  shows transforms (tm-extend j1 j2) tps ttt tps'
proof –
  interpret loc: turing-machine-extend j1 j2 .
  show ?thesis
  using loc.tm2-eq-tm-extend loc.tm2 loc.tps2-def assms by simp
qed

```

An enhanced version of the previous Turing machine, the next one erases the list on tape  $j_2$  after appending it to tape  $j_1$ .

```

definition tm-extend-erase :: tapeidx  $\Rightarrow$  tapeidx  $\Rightarrow$  machine where
  tm-extend-erase j1 j2  $\equiv$  tm-extend j1 j2 ;; tm-erase-cr j2

```

```

lemma tm-extend-erase-tm:

```

```

  assumes 0 < j1 and 0 < j2 and G  $\geq$  4 and j1 < k and j2 < k
  shows turing-machine k G (tm-extend-erase j1 j2)
  unfolding tm-extend-erase-def using assms tm-extend-tm tm-erase-cr-tm by simp

```

```

lemma transforms-tm-extend-eraseI [transforms-intros]:

```

```

  fixes j1 j2 :: tapeidx
  fixes tps tps' :: tape list and k :: nat and ns1 ns2 :: nat list
  assumes 0 < j1 j1 < k j2 < k j1  $\neq$  j2 0 < j2 length tps = k
  assumes
    tps ! j1 = nltape ns1
    tps ! j2 = ( $\lfloor ns2 \rfloor_{NL}, 1$ )

```

```

assumes  $ttt = 11 + 4 * nlength\ ns2$ 
assumes  $tps' = tps$ 
   $[j1 := nltape\ (ns1\ @\ ns2),$ 
     $j2 := ([[]],\ 1)]$ 
shows  $transforms\ (tm\ extend\ erase\ j1\ j2)\ tps\ ttt\ tps'$ 
unfolding  $tm\ extend\ erase\ def$ 
proof  $(tform\ tps:\ assms)$ 
  let  $?zs = numlist\ ns2$ 
  show  $tps[j1 := nltape\ (ns1\ @\ ns2)] :: j2 = [?zs]$ 
    using  $assms$  by  $(simp\ add:\ nlcontents\ def)$ 
  show  $proper\ symbols\ ?zs$ 
    using  $proper\ symbols\ numlist$  by  $simp$ 
  show  $ttt = 4 + 2 * nlength\ ns2 +$ 
     $(tps[j1 := nltape\ (ns1\ @\ ns2)] :#\ j2 + 2 * length\ (numlist\ ns2) + 6)$ 
    using  $assms\ nlength\ def$  by  $simp$ 
qed

```

## 2.9 Lists of lists of numbers

In this section we introduce a representation for lists of lists of numbers as symbol sequences over the quaternary alphabet  $\mathbf{01}\#$  and devise Turing machines for the few operations on such lists that we need. A tape containing such representations corresponds to a variable of type *nat list list*. A tape in the start configuration corresponds to the empty list of lists of numbers.

Many proofs in this section are copied from the previous section with minor modifications, mostly replacing the symbol  $|$  with  $\#$ .

### 2.9.1 Representation as symbol sequence

We apply the same principle as for representing lists of numbers. To represent a list of lists of numbers, every element, which is now a list of numbers, is terminated by the symbol  $\#$ . In this way the empty symbol sequence represents the empty list of lists of numbers. The list  $[[]]$  containing only an empty list is represented by  $\#$  and the list  $[[0]]$  containing only a list containing only a 0 is represented by  $|\#$ . As another example, the list  $[[14, 0, 0, 7], [], [0], [25]]$  is represented by  $\mathbf{0111}||\mathbf{111}\#|\#|\mathbf{10011}\#$ . The number of  $\#$  symbols equals the number of elements in the list.

**definition**  $numlistlist :: nat\ list\ list \Rightarrow symbol\ list$  **where**  
 $numlistlist\ nss \equiv concat\ (map\ (\lambda ns.\ numlist\ ns\ @\ [\#])\ nss)$

**lemma**  $numlistlist\ Nil:$   $numlistlist\ [] = []$   
**using**  $numlistlist\ def$  **by**  $simp$

**proposition**  $numlistlist\ [[]] = [\#]$   
**using**  $numlistlist\ def$  **by**  $(simp\ add:\ numlist\ Nil)$

**lemma**  $proper\ symbols\ numlistlist:$   $proper\ symbols\ (numlistlist\ nss)$

**proof**  $(induction\ nss)$

**case**  $Nil$

**then show**  $?case$

**using**  $numlistlist\ def$  **by**  $simp$

**next**

**case**  $(Cons\ ns\ nss)$

**have**  $numlistlist\ (ns\ \# \ nss) = numlist\ ns\ @\ [\#] \ @\ concat\ (map\ (\lambda ns.\ numlist\ ns\ @\ [\#])\ nss)$

**using**  $numlistlist\ def$  **by**  $simp$

**then have**  $numlistlist\ (ns\ \# \ nss) = numlist\ ns\ @\ [\#] \ @\ numlistlist\ nss$

**using**  $numlistlist\ def$  **by**  $simp$

**moreover have**  $proper\ symbols\ (numlist\ ns)$

**using**  $proper\ symbols\ numlist$  **by**  $simp$

**moreover have**  $proper\ symbols\ [\#]$

**by**  $simp$

**ultimately show**  $?case$

using *proper-symbols-append Cons* by *presburger*  
qed

lemma *symbols-lt-append*:  
**fixes** *xs ys* :: *symbol list* **and** *z* :: *symbol*  
**assumes** *symbols-lt z xs* **and** *symbols-lt z ys*  
**shows** *symbols-lt z (xs @ ys)*  
**using** *assms prop-list-append* **by** (*simp add: nth-append*)

lemma *symbols-lt-numlistlist*:  
**assumes**  $H \geq 6$   
**shows** *symbols-lt H (numlistlist nss)*  
**proof** (*induction nss*)  
**case** *Nil*  
**then show** *?case*  
**using** *numlistlist-def* **by** *simp*  
**next**  
**case** (*Cons ns nss*)  
**have** *numlistlist (ns # nss) = numlist ns @ [#] @ concat (map ( $\lambda ns.$  numlist ns @ [#]) nss)*  
**using** *numlistlist-def* **by** *simp*  
**then have** *numlistlist (ns # nss) = numlist ns @ [#] @ numlistlist nss*  
**using** *numlistlist-def* **by** *simp*  
**moreover have** *symbols-lt H (numlist ns)*  
**using** *assms numlist-234 nth-mem* **by** *fastforce*  
**moreover have** *symbols-lt H [#]*  
**using** *assms* **by** *simp*  
**ultimately show** *?case*  
**using** *symbols-lt-append[ $of - H$ ]* *Cons* **by** *presburger*  
qed

lemma *symbols-lt-prefix-eq*:  
**assumes**  $(x @ [#]) @ xs = (y @ [#]) @ ys$  **and** *symbols-lt 5 x* **and** *symbols-lt 5 y*  
**shows**  $x = y$   
**proof** –  
**have**  $*: x @ [#] @ xs = y @ [#] @ ys$   
(is *?lhs = ?rhs*)  
**using** *assms(1)* **by** *simp*  
**show**  $x = y$   
**proof** (*cases length x  $\leq$  length y*)  
**case** *True*  
**then have**  $?lhs ! i = ?rhs ! i$  **if**  $i < \text{length } x$  **for**  $i$   
**using** *that \** **by** *simp*  
**then have**  $eq: x ! i = y ! i$  **if**  $i < \text{length } x$  **for**  $i$   
**using** *that True* **by** (*metis Suc-le-eq le-trans nth-append*)  
**have**  $?lhs ! (\text{length } x) = \#$   
**by** (*metis Cons-eq-appendI nth-append-length*)  
**then have**  $?rhs ! (\text{length } x) = \#$   
**using**  $*$  **by** *metis*  
**moreover have**  $y ! i \neq \#$  **if**  $i < \text{length } y$  **for**  $i$   
**using** *that assms(3)* **by** *auto*  
**ultimately have**  $\text{length } y \leq \text{length } x$   
**by** (*metis linorder-le-less-linear nth-append*)  
**then have**  $\text{length } y = \text{length } x$   
**using** *True* **by** *simp*  
**then show** *?thesis*  
**using** *eq* **by** (*simp add: list-eq-iff-nth-eq*)  
**next**  
**case** *False*  
**then have**  $?lhs ! i = ?rhs ! i$  **if**  $i < \text{length } y$  **for**  $i$   
**using** *that \** **by** *simp*  
**have**  $?rhs ! (\text{length } y) = \#$   
**by** (*metis Cons-eq-appendI nth-append-length*)  
**then have**  $?lhs ! (\text{length } y) = \#$

```

    using * by metis
  then have x ! (length y) = #
    using False by (simp add: nth-append)
  then have False
    using assms(2) False
    by (simp add: order-less-le)
  then show ?thesis
    by simp
qed
qed

lemma numlistlist-inj: numlistlist ns1 = numlistlist ns2  $\implies$  ns1 = ns2
proof (induction ns1 arbitrary: ns2)
  case Nil
  then show ?case
    using numlistlist-def by simp
next
  case (Cons n ns1)
  have 1: numlistlist (n # ns1) = (numlist n @ [#]) @ numlistlist ns1
    using numlistlist-def by simp
  then have numlistlist ns2 = (numlist n @ [#]) @ numlistlist ns1
    using Cons by simp
  then have ns2  $\neq$  []
    using numlistlist-Nil by auto
  then have 2: ns2 = hd ns2 # tl ns2
    using <ns2  $\neq$  []> by simp
  then have 3: numlistlist ns2 = (numlist (hd ns2) @ [#]) @ numlistlist (tl ns2)
    using numlistlist-def by (metis concat.simps(2) list.simps(9))

  have 4: hd ns2 = n
    using symbols-lt-prefix-eq 1 3 symbols-lt-numlist numlist-inj Cons by metis
  then have numlistlist ns2 = (numlist n @ [#]) @ numlistlist (tl ns2)
    using 3 by simp
  then have numlistlist ns1 = numlistlist (tl ns2)
    using 1 by (simp add: Cons.prem1)
  then have ns1 = tl ns2
    using Cons by simp
  then show ?case
    using 2 4 by simp
qed

lemma numlistlist-append: numlistlist (xs @ ys) = numlistlist xs @ numlistlist ys
  using numlistlist-def by simp

Similar to  $[-]_N$  and  $[-]_{NL}$ , the tape contents for a list of list of numbers:
definition nllcontents :: nat list list  $\implies$  (nat  $\implies$  symbol) ( $\langle [-]_{NLL} \rangle$ ) where
   $[nss]_{NLL} \equiv [numlistlist nss]$ 

lemma clean-tape-nllcontents: clean-tape ( $[ns]_{NLL}, i$ )
  by (simp add: nllcontents-def proper-symbols-numlistlist)

lemma nllcontents-Nil:  $[[]]_{NLL} = []$ 
  using nllcontents-def by (simp add: numlistlist-Nil)

Similar to nlength and nllength, the length of the representation of a list of lists of numbers:
definition nlllength :: nat list list  $\implies$  nat where
  nlllength nss  $\equiv$  length (numlistlist nss)

lemma nlllength: nlllength nss = ( $\sum ns \leftarrow nss.$  Suc (nlength ns))
  using nlllength-def numlistlist-def nllength-def by (induction nss) simp-all

lemma nlllength-Nil [simp]: nlllength [] = 0
  using nlllength-def numlistlist-def by simp

```

**lemma** *nllength-Cons*:  $nllength (ns \# nss) = Suc (nllength ns) + nllength nss$   
**by** (*simp add: nllength*)

**lemma** *length-le-nllength*:  $length nss \leq nllength nss$   
**using** *nllength sum-list-mono*[of *nss*  $\lambda-. 1 \lambda ns. Suc (nllength ns)$ ] *sum-list-const*[of *1 nss*]  
**by** *simp*

**lemma** *member-le-nllength-1*:  $ns \in set nss \implies nllength ns \leq nllength nss - 1$   
**using** *nllength* **by** (*induction nss*) *auto*

**lemma** *nllength-take*:  
**assumes**  $i < length nss$   
**shows**  $nllength (take i nss) + nllength (nss ! i) < nllength nss$   
**proof** –  
**have**  $nss = take i nss @ [nss ! i] @ drop (Suc i) nss$   
**using** *assms* **by** (*metis Cons-eq-appendI append-self-conv2 id-take-nth-drop*)  
**then have**  $numlistlist nss = numlistlist (take i nss) @ numlistlist [nss ! i] @ numlistlist (drop (Suc i) nss)$   
**using** *numlistlist-append* **by** *metis*  
**then have**  $nllength nss = nllength (take i nss) + nllength [nss ! i] + nllength (drop (Suc i) nss)$   
**by** (*simp add: nllength-def*)  
**then have**  $nllength nss \geq nllength (take i nss) + nllength [nss ! i]$   
**by** *simp*  
**then have**  $nllength nss \geq nllength (take i nss) + Suc (nllength (nss ! i))$   
**using** *nllength* **by** *simp*  
**then show** *?thesis*  
**by** *simp*  
**qed**

**lemma** *take-drop-numlistlist*:  
**assumes**  $i < length ns$   
**shows**  $take (Suc (nllength (ns ! i))) (drop (nllength (take i ns)) (numlistlist ns)) = numlist (ns ! i) @ [\#]$   
**proof** –  
**have**  $numlistlist ns = numlistlist (take i ns) @ numlistlist (drop i ns)$   
**using** *numlistlist-append* **by** (*metis append-take-drop-id*)  
**moreover have**  $numlistlist (drop i ns) = numlistlist [ns ! i] @ numlistlist (drop (Suc i) ns)$   
**using** *assms* *numlistlist-append* **by** (*metis Cons-nth-drop-Suc append-Cons self-append-conv2*)  
**ultimately have**  $numlistlist ns = numlistlist (take i ns) @ numlistlist [ns ! i] @ numlistlist (drop (Suc i) ns)$   
**by** *simp*  
**then have**  $drop (nllength (take i ns)) (numlistlist ns) = numlistlist [ns ! i] @ numlistlist (drop (Suc i) ns)$   
**by** (*simp add: nllength-def*)  
**then have**  $drop (nllength (take i ns)) (numlistlist ns) = numlist (ns ! i) @ [\#] @ numlistlist (drop (Suc i) ns)$   
**using** *numlistlist-def* **by** *simp*  
**then show** *?thesis*  
**by** (*simp add: nllength-def*)  
**qed**

**corollary** *take-drop-numlistlist'*:  
**assumes**  $i < length ns$   
**shows**  $take (nllength (ns ! i)) (drop (nllength (take i ns)) (numlistlist ns)) = numlist (ns ! i)$   
**using** *take-drop-numlistlist*[*OF assms*] *nllength-def* **by** (*metis append-assoc append-eq-conv-conj append-take-drop-id*)

**corollary** *numlistlist-take-at-term*:  
**assumes**  $i < length ns$   
**shows**  $numlistlist ns ! (nllength (take i ns) + nllength (ns ! i)) = \#$   
**using** *assms* *take-drop-numlistlist* *nllength-def* *numlistlist-append*  
**by** (*smt (verit) append-eq-conv-conj append-take-drop-id lessI nllength-def nth-append-length nth-append-length-plus nth-take*)

**lemma** *nllength-take-Suc*:  
**assumes**  $i < length ns$   
**shows**  $nllength (take i ns) + Suc (nllength (ns ! i)) = nllength (take (Suc i) ns)$   
**proof** –

**have**  $ns = take\ i\ ns\ @\ [ns\ !\ i]\ @\ drop\ (Suc\ i)\ ns$   
**using** *assms* **by** (*metis Cons-eq-appendI append-self-conv2 id-take-nth-drop*)  
**then have**  $numlistlist\ ns = numlistlist\ (take\ i\ ns)\ @\ numlistlist\ [ns\ !\ i]\ @\ numlistlist\ (drop\ (Suc\ i)\ ns)$   
**using** *numlistlist-append* **by** *metis*  
**then have**  $nllength\ ns = nllength\ (take\ i\ ns) + nllength\ [ns\ !\ i] + nllength\ (drop\ (Suc\ i)\ ns)$   
**by** (*simp add: nllength-def*)  
**moreover have**  $nllength\ ns = nllength\ (take\ (Suc\ i)\ ns) + nllength\ (drop\ (Suc\ i)\ ns)$   
**using** *numlistlist-append* **by** (*metis append-take-drop-id length-append nllength-def*)  
**ultimately have**  $nllength\ (take\ (Suc\ i)\ ns) = nllength\ (take\ i\ ns) + nllength\ [ns\ !\ i]$   
**by** *simp*  
**then show** *?thesis*  
**using** *nllength* **by** *simp*  
**qed**

**lemma** *numlistlist-take-at*:

**assumes**  $i < length\ ns$  **and**  $j < nllength\ (ns\ !\ i)$   
**shows**  $numlistlist\ ns\ !\ (nllength\ (take\ i\ ns) + j) = numlist\ (ns\ !\ i)\ !\ j$   
**proof** –  
**have**  $ns = take\ i\ ns\ @\ [ns\ !\ i]\ @\ drop\ (Suc\ i)\ ns$   
**using** *assms* **by** (*metis Cons-eq-appendI append-self-conv2 id-take-nth-drop*)  
**then have**  $numlistlist\ ns = (numlistlist\ (take\ i\ ns)\ @\ numlistlist\ [ns\ !\ i])\ @\ numlistlist\ (drop\ (Suc\ i)\ ns)$   
**using** *numlistlist-append* **by** (*metis append-assoc*)  
**moreover have**  $nllength\ (take\ i\ ns) + j < nllength\ (take\ i\ ns) + nllength\ [ns\ !\ i]$   
**using** *assms(2)* **nllength** **by** *simp*  
**ultimately have**  $numlistlist\ ns\ !\ (nllength\ (take\ i\ ns) + j) =$   
 $(numlistlist\ (take\ i\ ns)\ @\ numlistlist\ [ns\ !\ i])\ !\ (nllength\ (take\ i\ ns) + j)$   
**by** (*metis length-append nllength-def nth-append*)  
**also have**  $\dots = numlistlist\ [ns\ !\ i]\ !\ j$   
**by** (*simp add: nllength-def*)  
**also have**  $\dots = (numlist\ (ns\ !\ i)\ @\ [\#])\ !\ j$   
**using** *numlistlist-def* **by** *simp*  
**also have**  $\dots = numlist\ (ns\ !\ i)\ !\ j$   
**using** *assms(2)* **by** (*metis nllength-def nth-append*)  
**finally show** *?thesis* .  
**qed**

**lemma** *nllcontents-rneigh-5*:

**assumes**  $i < length\ ns$   
**shows**  $rneigh\ (\lfloor ns \rfloor_{NLL}, Suc\ (nllength\ (take\ i\ ns)))\ \{\#\}\ (nllength\ (ns\ !\ i))$   
**proof** (*rule rneighI*)  
**let**  $?tp = (\lfloor ns \rfloor_{NLL}, Suc\ (nllength\ (take\ i\ ns)))$   
**show**  $fst\ ?tp\ (snd\ ?tp + nllength\ (ns\ !\ i)) \in \{\#\}$   
**proof** –  
**have**  $snd\ ?tp + nllength\ (ns\ !\ i) \leq nllength\ ns$   
**using** *nllength-take assms* **by** (*simp add: Suc-leI*)  
**then have**  $fst\ ?tp\ (snd\ ?tp + nllength\ (ns\ !\ i)) =$   
 $numlistlist\ ns\ !\ (nllength\ (take\ i\ ns) + nllength\ (ns\ !\ i))$   
**using** *nllcontents-def contents-inbounds nllength-def* **by** *simp*  
**then show** *?thesis*  
**using** *numlistlist-take-at-term assms* **by** *simp*  
**qed**  
**show**  $fst\ ?tp\ (snd\ ?tp + j) \notin \{\#\}$  **if**  $j < nllength\ (ns\ !\ i)$  **for**  $j$   
**proof** –  
**have**  $snd\ ?tp + nllength\ (ns\ !\ i) \leq nllength\ ns$   
**using** *nllength-take assms* **by** (*simp add: Suc-leI*)  
**then have**  $snd\ ?tp + j \leq nllength\ ns$   
**using** *that* **by** *simp*  
**then have**  $fst\ ?tp\ (snd\ ?tp + j) = numlistlist\ ns\ !\ (nllength\ (take\ i\ ns) + j)$   
**using** *nllcontents-def contents-inbounds nllength-def* **by** *simp*  
**then have**  $fst\ ?tp\ (snd\ ?tp + j) = numlist\ (ns\ !\ i)\ !\ j$   
**using** *assms that numlistlist-take-at* **by** *simp*  
**moreover have**  $numlist\ (ns\ !\ i)\ !\ j \neq \#\$   
**using** *numlist-234 that nllength-def nth-mem* **by** *fastforce*

```

ultimately show ?thesis
  by simp
qed

```

A tape containing a list of lists of numbers, with the tape head after all the symbols:

```

abbreviation nlltape :: nat list list  $\Rightarrow$  tape where
  nlltape ns  $\equiv$  ( $\lfloor$ ns $\rfloor_{NLL}$ , Suc (nlllength ns))

```

Like before but with the tape head or at the beginning of the  $i$ -th list element:

```

abbreviation nlltape' :: nat list list  $\Rightarrow$  nat  $\Rightarrow$  tape where
  nlltape' ns i  $\equiv$  ( $\lfloor$ ns $\rfloor_{NLL}$ , Suc (nlllength (take i ns)))

```

```

lemma nlltape'-0: nlltape' ns 0 = ( $\lfloor$ ns $\rfloor_{NLL}$ , 1)
  using nlllength by simp

```

```

lemma nlltape'-tape-read:  $|\cdot|$  (nlltape' nss i) = 0  $\longleftrightarrow$   $i \geq$  length nss

```

```

proof -
  have  $|\cdot|$  (nlltape' nss i) = 0 if  $i \geq$  length nss for  $i$ 
  proof -
    have nlltape' nss i  $\equiv$  ( $\lfloor$ nss $\rfloor_{NLL}$ , Suc (nlllength nss))
      using that by simp
    then show ?thesis
      using nllcontents-def contents-outofbounds nlllength-def
      by (metis Suc-eq-plus1 add.left-neutral fst-conv less-Suc0 less-add-eq-less snd-conv)
  qed
  moreover have  $|\cdot|$  (nlltape' nss i)  $\neq$  0 if  $i <$  length nss for  $i$ 
    using that nllcontents-def contents-inbounds nlllength-def nlllength-take proper-symbols-numlistlist
    by (metis Suc-leI add-Suc-right diff-Suc-1 fst-conv less-add-same-cancel1 less-le-trans not-add-less2
        plus-1-eq-Suc snd-conv zero-less-Suc)
  ultimately show ?thesis
    by (meson le-less-linear)
qed

```

## 2.9.2 Appending an element

The next Turing machine is very similar to *tm-append*, just with terminator symbol  $\#$  instead of  $|\cdot|$ . It appends a list of numbers given on tape  $j_2$  to the list of lists of numbers on tape  $j_1$ .

```

definition tm-appendl :: tapeidx  $\Rightarrow$  tapeidx  $\Rightarrow$  machine where

```

```

  tm-appendl j1 j2  $\equiv$ 
    tm-right-until j1 { $\square$ } ;;
    tm-cp-until j2 j1 { $\square$ } ;;
    tm-cr j2 ;;
    tm-char j1  $\#$ 

```

```

lemma tm-appendl-tm:

```

```

  assumes  $0 < j1$  and  $G \geq 6$  and  $j1 < k$  and  $j2 < k$ 
  shows turing-machine k G (tm-appendl j1 j2)

```

```

  unfolding tm-appendl-def using assms tm-right-until-tm tm-cp-until-tm tm-char-tm tm-cr-tm by simp

```

```

locale turing-machine-appendl =

```

```

  fixes j1 j2 :: tapeidx

```

```

begin

```

```

definition tm1  $\equiv$  tm-right-until j1 { $\square$ }

```

```

definition tm2  $\equiv$  tm1 ;; tm-cp-until j2 j1 { $\square$ }

```

```

definition tm3  $\equiv$  tm2 ;; tm-cr j2

```

```

definition tm4  $\equiv$  tm3 ;; tm-char j1  $\#$ 

```

```

lemma tm4-eq-tm-append: tm4 = tm-appendl j1 j2

```

```

  unfolding tm4-def tm3-def tm2-def tm1-def tm-appendl-def by simp

```



**context**

**fixes**  $tps0$  :: *tape list* **and**  $k$   $i1$  :: *nat* **and**  $ns$  :: *nat list* **and**  $nss$  :: *nat list list*  
**assumes**  $jk$ :  $length\ tps0 = k$   $j1 < k$   $j2 < k$   $j1 \neq j2$   $0 < j1$   
**and**  $i1$ :  $i1 \leq Suc\ (nllength\ nss)$   
**assumes**  $tps0$ :  
   $tps0 ! j1 = (\lfloor nss \rfloor_{NLL}, i1)$   
   $tps0 ! j2 = (\lfloor ns \rfloor_{NL}, 1)$

**begin**

**definition**  $tps1 \equiv tps0[j1 := nlltape\ nss]$

**lemma**  $tm1$  [*transforms-intros*]:

**assumes**  $t1t = Suc\ (Suc\ (nllength\ nss) - i1)$

**shows** *transforms*  $tm1\ tps0\ t1t\ tps1$

**unfolding**  $tm1-def$

**proof** (*tform*  $tps$ :  $jk$ )

**let**  $?l = Suc\ (nllength\ nss) - i1$

**show**  $rneigh\ (tps0 ! j1)\ \{0\}\ ?l$

**proof** (*rule*  $rneighI$ )

**show**  $(tps0 ::: j1)\ (tps0 :\#:\ j1 + ?l) \in \{0\}$

**using**  $tps0\ jk\ nllcontents-def\ nlllength-def$  **by** *simp*

**show**  $(tps0 ::: j1)\ (tps0 :\#:\ j1 + i) \notin \{0\}$  **if**  $i < Suc\ (nllength\ nss) - i1$  **for**  $i$

**proof** -

**have**  $i1 + i < Suc\ (nllength\ nss)$

**using** *that*  $i1$  **by** *simp*

**then show**  $?thesis$

**using** *proper-symbols-numlistlist\ nlllength-def\ tps0\ nllcontents-def\ contents-def*

**by** (*metis*  $One-nat-def\ Suc-leI\ diff-Suc-1\ fst-conv\ less-Suc-eq-0-disj\ less-nat-zero-code\ singletonD\ snd-conv$ )

**qed**

**qed**

**show**  $t1t = Suc\ (Suc\ (nllength\ nss) - i1)$

**using**  $assms(1)$  .

**show**  $tps1 = tps0[j1 := tps0 ! j1 \mid+ \mid\ Suc\ (nllength\ nss) - i1]$

**using**  $tps1-def\ tps0\ i1$  **by** *simp*

**qed**

**definition**  $tps2 \equiv tps0$

$[j1 := (\lfloor numlistlist\ nss\ @\ numlist\ ns \rfloor, Suc\ (nllength\ nss) + nllength\ ns),$

$j2 := (\lfloor ns \rfloor_{NL}, Suc\ (nllength\ ns))]$

**lemma**  $tm2$  [*transforms-intros*]:

**assumes**  $t1t = 3 + nllength\ nss - i1 + nllength\ ns$

**shows** *transforms*  $tm2\ tps0\ t1t\ tps2$

**unfolding**  $tm2-def$

**proof** (*tform*  $tps$ :  $jk\ tps1-def$ )

**have**  $j1$ :  $tps1 ! j1 = nlltape\ nss$

**using**  $tps1-def$  **by** (*simp*  $add$ :  $jk$ )

**have**  $j2$ :  $tps1 ! j2 = (\lfloor ns \rfloor_{NL}, 1)$

**using**  $tps1-def\ tps0\ jk$  **by** *simp*

**show**  $rneigh\ (tps1 ! j2)\ \{0\}\ (nllength\ ns)$

**proof** (*rule*  $rneighI$ )

**show**  $(tps1 ::: j2)\ (tps1 :\#:\ j2 + nllength\ ns) \in \{0\}$

**using**  $j2$  **by** (*simp*  $add$ :  $nlcontents-def\ nlllength-def$ )

**show**  $\bigwedge i. i < nllength\ ns \implies (tps1 ::: j2)\ (tps1 :\#:\ j2 + i) \notin \{0\}$

**using**  $j2\ tps0\ contents-def\ nlcontents-def\ nlllength-def\ proper-symbols-numlist$  **by** *fastforce*

**qed**

**show**  $tps2 = tps1$

$[j2 := tps1 ! j2 \mid+ \mid\ nllength\ ns,$

$j1 := implant\ (tps1 ! j2)\ (tps1 ! j1)\ (nllength\ ns)]$

(*is* - =  $?rhs$ )

**proof** -

**have**  $implant\ (tps1 ! j2)\ (tps1 ! j1)\ (nllength\ ns) = implant\ (\lfloor ns \rfloor_{NL}, 1)\ (nlltape\ nss)\ (nllength\ ns)$

**using**  $j1\ j2$  **by** *simp*

**also have** ... =  
 ([*numlistlist* *nss* @ (*take* (*nlength* *ns*) (*drop* (*1 - 1*) (*numlist* *ns*)))]),  
*Suc* (*length* (*numlistlist* *nss*)) + *nlength* *ns*)  
**using** *implant-contents nlcontents-def nlength-def nllcontents-def nlllength-def* **by** *simp*  
**also have** ... = ([*numlistlist* *nss* @ *numlist* *ns*], *Suc* (*length* (*numlistlist* *nss*)) + *nlength* *ns*)  
**by** (*simp add: nlength-def*)  
**also have** ... = ([*numlistlist* *nss* @ *numlist* *ns*], *Suc* (*nlllength* *nss*) + *nlength* *ns*)  
**using** *nlllength-def* **by** *simp*  
**also have** ... = *tps2* ! *j1*  
**using** *jk tps2-def* **by** (*metis nth-list-update-eq nth-list-update-neq*)  
**finally have** *implant* (*tps1* ! *j2*) (*tps1* ! *j1*) (*nlength* *ns*) = *tps2* ! *j1* .  
**then have** *tps2* ! *j1* = *implant* (*tps1* ! *j2*) (*tps1* ! *j1*) (*nlength* *ns*)  
**by** *simp*  
**then have** *tps2* ! *j1* = ?*rhs* ! *j1*  
**using** *tps1-def jk* **by** (*metis length-list-update nth-list-update-eq*)  
**moreover have** *tps2* ! *j2* = ?*rhs* ! *j2*  
**using** *tps2-def tps1-def jk j2* **by** *simp*  
**moreover have** *tps2* ! *j* = ?*rhs* ! *j* **if** *j* < *length* *tps2* *j* ≠ *j1* *j* ≠ *j2* **for** *j*  
**using** *that tps2-def tps1-def* **by** *simp*  
**moreover have** *length* *tps2* = *length* ?*rhs*  
**using** *tps1-def tps2-def* **by** *simp*  
**ultimately show** ?*thesis*  
**using** *nth-equalityI* **by** *blast*  
**qed**  
**show** *ttt* = *Suc* (*Suc* (*nlllength* *nss*) - *i1*) + *Suc* (*nlength* *ns*)  
**using** *assms(1) j1 tps0 i1* **by** *simp*  
**qed**

**definition** *tps3* ≡ *tps0*

[*j1* := ([*numlistlist* *nss* @ *numlist* *ns*], *Suc* (*nlllength* *nss*) + *nlength* *ns*)]

**lemma** *tm3* [*transforms-intros*]:

**assumes** *ttt* = 6 + *nlllength* *nss* - *i1* + 2 \* *nlength* *ns*

**shows** *transforms tm3 tps0 ttt tps3*

**unfolding** *tm3-def*

**proof** (*tform tps: jk i1 tps2-def*)

**let** ?*tp1* = ([*numlistlist* *nss* @ *numlist* *ns*], *Suc* (*nlllength* *nss* + *nlength* *ns*))

**let** ?*tp2* = ([*ns*]<sub>*NL*</sub>, *Suc* (*nlength* *ns*))

**show** *clean-tape* (*tps2* ! *j2*)

**using** *tps2-def jk* **by** (*simp add: clean-tape-nlcontents*)

**show** *tps3* = *tps2*[*j2* := *tps2* ! *j2* |#|= 1]

**using** *tps3-def tps2-def jk tps0(2)*

**by** (*metis fst-eqD length-list-update list-update-overwrite list-update-same-conv nth-list-update*)

**show** *ttt* = 3 + *nlllength* *nss* - *i1* + *nlength* *ns* + (*tps2* :# : *j2* + 2)

**using** *assms tps2-def jk tps0 i1* **by** *simp*

**qed**

**definition** *tps4* ≡ *tps0*

[*j1* := ([*numlistlist* (*nss* @ [*ns*]), *Suc* (*nlllength* (*nss* @ [*ns*])))]

**lemma** *tm4*:

**assumes** *ttt* = 7 + *nlllength* *nss* - *i1* + 2 \* *nlength* *ns*

**shows** *transforms tm4 tps0 ttt tps4*

**unfolding** *tm4-def*

**proof** (*tform tps: jk tps3-def time: jk i1 assms*)

**show** *tps4* = *tps3*[*j1* := *tps3* ! *j1* |:=| # |+| 1]

(**is** *tps4* = ?*tps*)

**proof** -

**have** *tps3* ! *j1* = ([*numlistlist* *nss* @ *numlist* *ns*], *Suc* (*nlllength* *nss*) + *nlength* *ns*)

**using** *tps3-def jk* **by** *simp*

**then have** ?*tps* = *tps0*[*j1* := ([*numlistlist* *nss* @ *numlist* *ns*], *Suc* (*nlllength* *nss* + *nlength* *ns*)) |:=| # |+| 1]

**using** *tps3-def* **by** *simp*

**moreover have** ([*numlistlist* *nss* @ *numlist* *ns*], *Suc* (*nlllength* *nss* + *nlength* *ns*)) |:=| # |+| 1 =

```

    ([numlistlist (nss @ [ns]), Suc (nllength (nss @ [ns]))])
  (is ?lhs = ?rhs)
proof -
  have ?lhs =
    ([numlistlist nss @ numlist ns](Suc (nllength nss + nllength ns) := #),
     Suc (Suc (nllength nss + nllength ns)))
  by simp
  also have ... =
    ([numlistlist nss @ numlist ns](Suc (nllength nss + nllength ns) := #),
     Suc (nllength (nss @ [ns])))
  using nllength-def numlistlist-def nllength-def numlist-def by simp
  also have ... = ([numlistlist nss @ numlist ns] @ [#], Suc (nllength (nss @ [ns])))
  using contents-snoc nllength-def nllength-def by (metis length-append)
  also have ... = ([numlistlist nss @ numlist ns @ [#], Suc (nllength (nss @ [ns])))
  by simp
  also have ... = ([numlistlist (nss @ [ns]), Suc (nllength (nss @ [ns]))])
  using numlistlist-def by simp
  finally show ?lhs = ?rhs .
qed
ultimately show ?thesis
  using tps4-def by auto
qed
end
end

```

**lemma** *transforms-tm-appendII* [*transforms-intros*]:

```

fixes j1 j2 :: tapeidx
fixes tps tps' :: tape list and ttt k i1 :: nat and ns :: nat list and nss :: nat list list
assumes  $0 < j1$ 
assumes  $\text{length } tps = k$   $j1 < k$   $j2 < k$   $j1 \neq j2$ 
  and  $i1 \leq \text{Suc } (nllength \ nss)$ 
assumes
  tps ! j1 = (nssNLL, i1)
  tps ! j2 = (nsNL, 1)
assumes  $ttt = 7 + nllength \ nss - i1 + 2 * nllength \ ns$ 
assumes tps' = tps
  [j1 := nlltape (nss @ [ns])]
shows transforms (tm-appendI j1 j2) tps ttt tps'
proof -
  interpret loc: turing-machine-appendI j1 j2 .
  show ?thesis
    using loc.tps4-def loc.tm4 loc.tm4-eq-tm-append assms nllcontents-def by simp
qed

```

### 2.9.3 Extending with a list

The next Turing machine extends a list of lists of numbers with another list of lists of numbers. It is in fact the same TM as for extending a list of numbers because in both cases extending means simply copying the contents from one tape to another. We introduce a new name for this TM and express the semantics in terms of lists of lists of numbers. The proof is almost the same except with *nllength* replaced by *nlllength* and so on.

**definition** *tm-extendI* :: *tapeidx*  $\Rightarrow$  *tapeidx*  $\Rightarrow$  *machine* **where**  
*tm-extendI*  $\equiv$  *tm-extend*

**lemma** *tm-extendI-tm*:

```

assumes  $0 < j1$  and  $G \geq 4$  and  $j1 < k$  and  $j2 < k$ 
shows turing-machine k G (tm-extendI j1 j2)
unfolding tm-extendI-def using assms tm-extend-tm by simp

```

**locale** *turing-machine-extendI* =

```

fixes  $j1\ j2 :: \text{tapeid}x$ 
begin

definition  $tm1 \equiv tm\text{-}cp\text{-}until\ j2\ j1\ \{\square\}$ 
definition  $tm2 \equiv tm1\ ;\ ;\ tm\text{-}cr\ j2$ 

lemma  $tm2\text{-}eq\text{-}tm\text{-}extendl$ :  $tm2 = tm\text{-}extendl\ j1\ j2$ 
  unfolding  $tm2\text{-}def\ tm2\text{-}def\ tm1\text{-}def\ tm\text{-}extendl\text{-}def\ tm\text{-}extend\text{-}def$  by  $simp$ 

context
  fixes  $tps0 :: \text{tape list}$  and  $k :: \text{nat}$  and  $nss1\ nss2 :: \text{nat list list}$ 
  assumes  $jk$ :  $0 < j1\ j1 < k\ j2 < k\ j1 \neq j2\ \text{length}\ tps0 = k$ 
  assumes  $tps0$ :
     $tps0\ !\ j1 = nlltape\ nss1$ 
     $tps0\ !\ j2 = ([nss2]_{NLL}, 1)$ 
begin

definition  $tps1 \equiv tps0$ 
   $[j1 := nlltape\ (nss1\ @\ nss2),$ 
   $j2 := nlltape\ nss2]$ 

lemma  $tm1$  [transforms-intros]:
  assumes  $ttt = Suc\ (nlllength\ nss2)$ 
  shows  $transforms\ tm1\ tps0\ ttt\ tps1$ 
  unfolding  $tm1\text{-}def$ 
proof (tform tps: tps1-def tps0 jk time: assms)
  let  $?n = nlllength\ nss2$ 
  show  $rneigh\ (tps0\ !\ j2)\ \{\square\}\ ?n$ 
  proof (rule rneighI)
    show  $(tps0\ :::\ j2)\ (tps0\ :\#:\ j2 + nlllength\ nss2) \in \{\square\}$ 
    using  $tps0\ nllcontents\text{-}def\ nlllength\text{-}def\ jk$  by  $simp$ 
    show  $\bigwedge i. i < nlllength\ nss2 \implies (tps0\ :::\ j2)\ (tps0\ :\#:\ j2 + i) \notin \{\square\}$ 
    using  $tps0\ jk\ contents\text{-}def\ nllcontents\text{-}def\ nlllength\text{-}def\ proper\text{-}symbols\text{-}numlistlist$ 
    by  $fastforce$ 
  qed
  show  $tps1 = tps0$ 
   $[j2 := tps0\ !\ j2\ |+\ |nlllength\ nss2,$ 
   $j1 := implant\ (tps0\ !\ j2)\ (tps0\ !\ j1)\ (nlllength\ nss2)]$ 
  proof –
    have  $implant\ ([nss2]_{NLL}, 1)\ (nlltape\ nss1)\ (nlllength\ nss2) = nlltape\ (nss1\ @\ nss2)$ 
    using  $nllcontents\text{-}def\ nlllength\text{-}def\ implant\text{-}contents$  by (simp add: numlistlist-append)
    moreover have  $tps1\ !\ j2 = tps0\ !\ j2\ |+\ |nlllength\ nss2$ 
    using  $tps0\ jk\ tps1\text{-}def$  by  $simp$ 
    ultimately show  $?thesis$ 
    using  $tps0\ jk\ tps1\text{-}def$  by (metis fst-conv list-update-swap plus-1-eq-Suc snd-conv)
  qed
qed

definition  $tps2 \equiv tps0[j1 := nlltape\ (nss1\ @\ nss2)]$ 

lemma  $tm2$ :
  assumes  $ttt = 4 + 2 * nlllength\ nss2$ 
  shows  $transforms\ tm2\ tps0\ ttt\ tps2$ 
  unfolding  $tm2\text{-}def$ 
proof (tform tps: tps1-def tps2-def jk time: assms tps1-def jk)
  show  $clean\text{-}tape\ (tps1\ !\ j2)$ 
  using  $tps1\text{-}def\ jk\ clean\text{-}tape\text{-}nllcontents$  by  $simp$ 
  show  $tps2 = tps1[j2 := tps1\ !\ j2\ |#\ =\ 1]$ 
  using  $tps1\text{-}def\ jk\ tps2\text{-}def\ tps0(2)$ 
  by (metis fst-conv length-list-update list-update-id list-update-overwrite nth-list-update)
qed

end

```

end

```
lemma transforms-tm-extendlI [transforms-intros]:  
  fixes j1 j2 :: tapeidx  
  fixes tps tps' :: tape list and k :: nat and nss1 nss2 :: nat list list  
  assumes  $0 < j1$   $j1 < k$   $j2 < k$   $j1 \neq j2$  length tps = k  
  assumes  
    tps ! j1 = nlltape nss1  
    tps ! j2 = ([nss2]NLL, 1)  
  assumes  $tts = 4 + 2 * nlllength\ nss2$   
  assumes tps' = tps[j1 := nlltape (nss1 @ nss2)]  
  shows transforms (tm-extendl j1 j2) tps tts tps'  
proof –  
  interpret loc: turing-machine-extendl j1 j2 .  
  show ?thesis  
  using loc.tm2-eq-tm-extendl loc.tm2 loc.tps2-def assms by simp  
qed
```

The next Turing machine erases the appended list.

```
definition tm-extendl-erase :: tapeidx  $\Rightarrow$  tapeidx  $\Rightarrow$  machine where  
  tm-extendl-erase j1 j2  $\equiv$  tm-extendl j1 j2 ;; tm-erase-cr j2
```

```
lemma tm-extendl-erase-tm:  
  assumes  $0 < j1$  and  $0 < j2$  and  $G \geq 4$  and  $j1 < k$  and  $j2 < k$   
  shows turing-machine k G (tm-extendl-erase j1 j2)  
  unfolding tm-extendl-erase-def using assms tm-extendl-tm tm-erase-cr-tm by simp
```

```
lemma transforms-tm-extendl-eraseI [transforms-intros]:  
  fixes tps tps' :: tape list and j1 j2 :: tapeidx and tts k :: nat and nss1 nss2 :: nat list list  
  assumes  $0 < j1$   $j1 < k$   $j2 < k$   $j1 \neq j2$   $0 < j2$  length tps = k  
  assumes  
    tps ! j1 = nlltape nss1  
    tps ! j2 = ([nss2]NLL, 1)  
  assumes  $tts = 11 + 4 * nlllength\ nss2$   
  assumes tps' = tps  
    [j1 := nlltape (nss1 @ nss2),  
     j2 := ([[]], 1)]  
  shows transforms (tm-extendl-erase j1 j2) tps tts tps'  
  unfolding tm-extendl-erase-def  
proof (tform tps: assms)  
  let ?zs = numlistlist nss2  
  show tps[j1 := nlltape (nss1 @ nss2)] :: j2 = [?zs]  
    using assms by (simp add: nllcontents-def)  
  show proper-symbols ?zs  
    using proper-symbols-numlistlist by simp  
  show  $tts = 4 + 2 * nlllength\ nss2 +$   
    (tps[j1 := nlltape (nss1 @ nss2)] :# j2 + 2 * length (numlistlist nss2) + 6)  
    using assms nlllength-def by simp  
qed
```

## 2.9.4 Moving to the next element

Iterating over a list of lists of numbers works with the same Turing machine, *tm-nextract*, as for lists of numbers. We just have to set the parameter *z* to the terminator symbol  $\ddagger$ . For the proof we can also just copy from the previous section, replacing the symbol  $|$  by  $\ddagger$  and *nllength* by *nlllength*, etc.

```
locale turing-machine-nextract-5 =  
  fixes j1 j2 :: tapeidx  
begin
```

```
definition tm1  $\equiv$  tm-erase-cr j2
```

**definition**  $tm2 \equiv tm1$  ;;  $tm\text{-cp-until } j1 \ j2 \ \{\#\}$

**definition**  $tm3 \equiv tm2$  ;;  $tm\text{-cr } j2$

**definition**  $tm4 \equiv tm3$  ;;  $tm\text{-right } j1$

**lemma**  $tm4\text{-eq-}tm\text{-nextract}$ :  $tm4 = tm\text{-nextract } \# \ j1 \ j2$

**unfolding**  $tm1\text{-def } tm2\text{-def } tm3\text{-def } tm4\text{-def } tm\text{-nextract-def}$  **by**  $simp$

**context**

**fixes**  $tps0$  :: *tape list* **and**  $k \ idx$  :: *nat* **and**  $nss$  :: *nat list list* **and**  $dummy$  :: *nat list*

**assumes**  $jk$ :  $j1 < k \ j2 < k \ 0 < j1 \ 0 < j2 \ j1 \neq j2 \ length \ tps0 = k$

**and**  $idx$ :  $idx < length \ nss$

**and**  $tps0$ :

$tps0 \ ! \ j1 = nlltape' \ nss \ idx$

$tps0 \ ! \ j2 = ([dummy]_{NL}, 1)$

**begin**

**definition**  $tps1 \equiv tps0[j2 := ([\ ]_{NL}, 1)]$

**lemma**  $tm1$  [*transforms-intros*]:

**assumes**  $ttt = 7 + 2 * nllength \ dummy$

**shows**  $transforms \ tm1 \ tps0 \ ttt \ tps1$

**unfolding**  $tm1\text{-def}$

**proof** (*tform tps: jk idx tps0 tps1-def assms*)

**show**  $proper\text{-symbols} \ (numlist \ dummy)$

**using**  $proper\text{-symbols-numlist}$  **by**  $simp$

**show**  $tps1 = tps0[j2 := ([\ ]_{NL}, 1)]$

**using**  $tps1\text{-def}$  **by** ( $simp \ add: \ nlcontents\text{-def} \ numlist\text{-Nil}$ )

**show**  $tps0 \ ::: \ j2 = [numlist \ dummy]$

**using**  $tps0$  **by** ( $simp \ add: \ nlcontents\text{-def}$ )

**show**  $ttt = tps0 \ :\#\ : j2 + 2 * length \ (numlist \ dummy) + 6$

**using**  $tps0 \ assms \ nllength\text{-def}$  **by**  $simp$

**qed**

**definition**  $tps2 \equiv tps0$

$[j1 := ([nss]_{NLL}, nllength \ (take \ (Suc \ idx) \ nss)),$

$j2 := ([nss \ ! \ idx]_{NL}, Suc \ (nllength \ (nss \ ! \ idx)))]$

**lemma**  $tm2$  [*transforms-intros*]:

**assumes**  $ttt = 7 + 2 * nllength \ dummy + Suc \ (nllength \ (nss \ ! \ idx))$

**shows**  $transforms \ tm2 \ tps0 \ ttt \ tps2$

**unfolding**  $tm2\text{-def}$

**proof** (*tform tps: jk idx tps0 tps2-def tps1-def time: assms*)

**show**  $rneigh \ (tps1 \ ! \ j1) \ \{\#\} \ (nllength \ (nss \ ! \ idx))$

**using**  $tps1\text{-def } tps0 \ jk$  **by** ( $simp \ add: \ idx \ nllcontents\text{-rneigh-5}$ )

**show**  $tps2 = tps1$

$[j1 := tps1 \ ! \ j1 \ |+\ | \ nllength \ (nss \ ! \ idx),$

$j2 := implant \ (tps1 \ ! \ j1) \ (tps1 \ ! \ j2) \ (nllength \ (nss \ ! \ idx))]$

(**is**  $?l = ?r$ )

**proof** (*rule nth-equality1*)

**show**  $len: \ length \ ?l = length \ ?r$

**using**  $tps1\text{-def } tps2\text{-def } jk$  **by**  $simp$

**show**  $?l \ ! \ i = ?r \ ! \ i$  **if**  $i < length \ ?l$  **for**  $i$

**proof** –

**consider**  $i = j1 \ | \ i = j2 \ | \ i \neq j1 \ \wedge \ i \neq j2$

**by**  $auto$

**then show**  $?thesis$

**proof** (*cases*)

**case** 1

**then show**  $?thesis$

**using**  $tps0$  *that*  $tps1\text{-def } tps2\text{-def } jk \ nllength\text{-take-Suc}[OF \ idx] \ idx$  **by**  $simp$

**next**

**case** 2

**then have**  $lhs: \ ?l \ ! \ i = ([nss \ ! \ idx]_{NL}, Suc \ (nllength \ (nss \ ! \ idx)))$

```

    using tps2-def jk by simp
  let ?i = Suc (nllength (take idx nss))
  have i1: ?i > 0
    by simp
  have nllength (nss ! idx) + (?i - 1) ≤ nllength nss
    using idx nllength-take by (metis add.commute diff-Suc-1 less-or-eq-imp-le)
  then have i2: nllength (nss ! idx) + (?i - 1) ≤ length (numlistlist nss)
    using nllength-def by simp
  have ?r ! i = implant (tps1 ! j1) (tps1 ! j2) (nllength (nss ! idx))
    using 2 tps1-def jk by simp
  also have ... = implant (⟦nss⟧NLL, ?i) (⟦⟦⟧NL, 1) (nllength (nss ! idx))
    using tps1-def jk tps0 by simp
  also have ... =
    (⟦⟦ @ (take (nllength (nss ! idx)) (drop (?i - 1) (numlistlist nss)))⟦],
     Suc (length ⟦⟦) + nllength (nss ! idx))
    using implant-contents[OF i1 i2] nllcontents-def nlcontents-def numlist-Nil by (metis One-nat-def
list.size(3))
  finally have ?r ! i =
    (⟦⟦ @ (take (nllength (nss ! idx)) (drop (?i - 1) (numlistlist nss)))⟦],
     Suc (length ⟦⟦) + nllength (nss ! idx)) .
  then have ?r ! i =
    (⟦take (nllength (nss ! idx)) (drop (nllength (take idx nss)) (numlistlist nss))⟦],
     Suc (nllength (nss ! idx)))
    by simp
  then have ?r ! i = (⟦numlist (nss ! idx)⟦], Suc (nllength (nss ! idx)))
    using take-drop-numlistlist'[OF idx] by simp
  then show ?thesis
    using lhs nlcontents-def by simp
next
case 3
then show ?thesis
  using that tps1-def tps2-def jk by simp
qed
qed
qed
qed

definition tps3 ≡ tps0
[j1 := (⟦nss⟧NLL, nllength (take (Suc idx) nss)),
 j2 := (⟦nss ! idx⟧NL, 1)]

lemma tm3 [transforms-intros]:
  assumes ttt = 11 + 2 * nllength dummy + 2 * (nllength (nss ! idx))
  shows transforms tm3 tps0 ttt tps3
  unfolding tm3-def
proof (tform tps: jk idx tps0 tps2-def tps3-def assms)
  show clean-tape (tps2 ! j2)
    using tps2-def jk clean-tape-nlcontents by simp
qed

definition tps4 ≡ tps0
[j1 := nlltape' nss (Suc idx),
 j2 := (⟦nss ! idx⟧NL, 1)]

lemma tm4:
  assumes ttt = 12 + 2 * nllength dummy + 2 * (nllength (nss ! idx))
  shows transforms tm4 tps0 ttt tps4
  unfolding tm4-def by (tform tps: jk idx tps0 tps3-def tps4-def assms)

end

end

```

```

lemma transforms-tm-nextract-5I [transforms-intros]:
  fixes j1 j2 :: tapeidx
  fixes tps tps' :: tape list and k idx :: nat and nss :: nat list list and dummy :: nat list
  assumes j1 < k j2 < k 0 < j1 0 < j2 j1 ≠ j2 length tps = k
    and idx < length nss
  assumes
    tps ! j1 = nlltape' nss idx
    tps ! j2 = ([dummy]NL, 1)
  assumes ttt = 12 + 2 * nlength dummy + 2 * (nlength (nss ! idx))
  assumes tps' = tps
    [j1 := nlltape' nss (Suc idx),
     j2 := ([nss ! idx]NL, 1)]
  shows transforms (tm-nextract # j1 j2) tps ttt tps'
proof -
  interpret loc: turing-machine-nextract-5 j1 j2 .
  show ?thesis
    using assms loc.tm4 loc.tps4-def loc.tm4-eq-tm-nextract by simp
qed

end

```

## 2.10 Mapping between a binary and a quaternary alphabet

```

theory Two-Four-Symbols
  imports Arithmetic
begin

```

Functions are defined over bit strings. For Turing machines these bits are represented by the symbols **0** and **1**. Sometimes we want a TM to receive a pair of bit strings or output a list of numbers. Or we might want the TM to interpret the input as a list of lists of numbers. All these objects can naturally be represented over a four-symbol (quaternary) alphabet, as we have seen for pairs in Section 2.1.3 and for the lists in Sections 2.8 and 2.9.

To accommodate the aforementioned use cases, we define a straightforward mapping between the binary alphabet **01** and the quaternary alphabet **01|#** and devise Turing machines to encode and decode symbol sequences.

### 2.10.1 Encoding and decoding

The encoding maps:

```

0  ↦ 00
1  ↦ 01
|   ↦ 10
#   ↦ 11

```

For example, the list  $[6, 0, 1]$  is represented by the symbols **011|#|1**, which is encoded as **00010110100110**. Pairing this sequence with the symbol sequence **0110** yields **00010110100110#0110**, which is encoded as **00000001000101000100000101001100010100**.

```

definition binencode :: symbol list ⇒ symbol list where
  binencode ys ≡ concat (map (λy. [tosym ((y - 2) div 2), tosym ((y - 2) mod 2)]) ys)

```

```

lemma length-binencode [simp]: length (binencode ys) = 2 * length ys
  using binencode-def by (induction ys) simp-all

```

```

lemma binencode-snoc:
  binencode (zs @ [0]) = binencode zs @ [0, 0]
  binencode (zs @ [1]) = binencode zs @ [0, 1]
  binencode (zs @ [|]) = binencode zs @ [1, 0]
  binencode (zs @ [#]) = binencode zs @ [1, 1]
  using binencode-def by simp-all

```



```

lemma binencode-at-even:
  assumes  $i < \text{length } ys$ 
  shows  $\text{binencode } ys ! (2 * i) = 2 + (ys ! i - 2) \text{ div } 2$ 
  using assms
proof (induction ys arbitrary: i)
  case Nil
  then show ?case
    by simp
next
  case (Cons y ys)
  have *:  $\text{binencode } (y \# ys) = [2 + (y - 2) \text{ div } 2, 2 + (y - 2) \text{ mod } 2] @ \text{binencode } ys$ 
    using binencode-def by simp
  show ?case
  proof (cases  $i = 0$ )
    case True
    then show ?thesis
      using * by simp
  next
    case False
    then have  $\text{binencode } (y \# ys) ! (2 * i) = \text{binencode } ys ! (2 * i - 2)$ 
      using *
      by (metis One-nat-def length-Cons less-one list.size(3) mult-2 nat-mult-less-cancel-disj
        nth-append numerals(2) plus-1-eq-Suc)
    also have  $\dots = \text{binencode } ys ! (2 * (i - 1))$ 
      using False by (simp add: right-diff-distrib')
    also have  $\dots = 2 + (ys ! (i - 1) - 2) \text{ div } 2$ 
      using False Cons by simp
    also have  $\dots = 2 + ((y \# ys) ! i - 2) \text{ div } 2$ 
      using False by simp
    finally show ?thesis .
  qed
qed

lemma binencode-at-odd:
  assumes  $i < \text{length } ys$ 
  shows  $\text{binencode } ys ! (2 * i + 1) = 2 + (ys ! i - 2) \text{ mod } 2$ 
  using assms
proof (induction ys arbitrary: i)
  case Nil
  then show ?case
    by simp
next
  case (Cons y ys)
  have *:  $\text{binencode } (y \# ys) = [2 + (y - 2) \text{ div } 2, 2 + (y - 2) \text{ mod } 2] @ \text{binencode } ys$ 
    using binencode-def by simp
  show ?case
  proof (cases  $i = 0$ )
    case True
    then show ?thesis
      using * by simp
  next
    case False
    then have  $\text{binencode } (y \# ys) ! (2 * i + 1) = \text{binencode } ys ! (2 * i + 1 - 2)$ 
      using * by simp
    also have  $\dots = \text{binencode } ys ! (2 * (i - 1) + 1)$ 
      using False
      by (metis Nat.add-diff-assoc2 One-nat-def Suc-leI diff-mult-distrib2 mult-2 mult-le-mono2 nat-1-add-1
        neq0-conv)
    also have  $\dots = 2 + (ys ! (i - 1) - 2) \text{ mod } 2$ 
      using False Cons by simp
    also have  $\dots = 2 + ((y \# ys) ! i - 2) \text{ mod } 2$ 
      using False by simp
    finally show ?thesis .
  qed

```

qed  
qed

While *binencode* is defined for arbitrary symbol sequences, we only consider sequences over **01**# to be binencodable.

**abbreviation** *binencodable* :: *symbol list*  $\Rightarrow$  *bool* **where**  
*binencodable* *ys*  $\equiv \forall i < \text{length } ys. 2 \leq ys ! i \wedge ys ! i < 6$

**lemma** *binencodable-append*:  
**assumes** *binencodable* *xs* **and** *binencodable* *ys*  
**shows** *binencodable* (*xs* @ *ys*)  
**using** *assms prop-list-append* **by** (*simp add: nth-append*)

**lemma** *bit-symbols-binencode*:  
**assumes** *binencodable* *ys*  
**shows** *bit-symbols* (*binencode* *ys*)

**proof** –

**have**  $2 \leq \text{binencode } ys ! i \wedge \text{binencode } ys ! i \leq 3$  **if**  $i < \text{length } (\text{binencode } ys)$  **for** *i*

**proof** (*cases even i*)

**case** *True*

**then have** *len: i div 2 < length ys*

**using** *length-binencode that* **by** *simp*

**moreover have**  $2 * (i \text{ div } 2) = i$

**using** *True* **by** *simp*

**ultimately have** *binencode* *ys* !  $i = 2 + (ys ! (i \text{ div } 2) - 2) \text{ div } 2$

**using** *binencode-at-even*[*of i div 2 ys*] **by** *simp*

**moreover have**  $2 \leq ys ! (i \text{ div } 2) \wedge ys ! (i \text{ div } 2) < 6$

**using** *len assms* **by** *simp*

**ultimately show** *?thesis*

**by** *auto*

**next**

**case** *False*

**then have** *len: i div 2 < length ys*

**using** *length-binencode that* **by** *simp*

**moreover have**  $2 * (i \text{ div } 2) + 1 = i$

**using** *False* **by** *simp*

**ultimately have** *binencode* *ys* !  $i = 2 + (ys ! (i \text{ div } 2) - 2) \text{ mod } 2$

**using** *binencode-at-odd*[*of i div 2 ys*] **by** *simp*

**moreover have**  $2 \leq ys ! (i \text{ div } 2) \wedge ys ! (i \text{ div } 2) < 6$

**using** *len assms* **by** *simp*

**ultimately show** *?thesis*

**by** *simp*

qed

**then show** *?thesis*

**by** *fastforce*

qed

An encoded symbol sequence is of even length. When decoding a symbol sequence of odd length, we ignore the last symbol. For example, **011100** and **0111001** are both decoded to **1#0**.

The bit symbol sequence [*a*, *b*] is decoded to this symbol:

**abbreviation** *decsym* :: *symbol*  $\Rightarrow$  *symbol*  $\Rightarrow$  *symbol* **where**  
*decsym* *a* *b*  $\equiv \text{tosym } (2 * \text{todigit } a + \text{todigit } b)$

**definition** *bindecode* :: *symbol list*  $\Rightarrow$  *symbol list* **where**  
*bindecode* *zs*  $\equiv \text{map } (\lambda i. \text{decsym } (zs ! (2 * i)) (zs ! (\text{Suc } (2 * i)))) [0..<\text{length } zs \text{ div } 2]$

**lemma** *length-bindecode* [*simp*]:  $\text{length } (\text{bindecode } zs) = \text{length } zs \text{ div } 2$   
**using** *bindecode-def* **by** *simp*

**lemma** *bindecode-at*:  
**assumes**  $i < \text{length } zs \text{ div } 2$   
**shows** *bindecode* *zs* !  $i = \text{decsym } (zs ! (2 * i)) (zs ! (\text{Suc } (2 * i)))$   
**using** *assms bindecode-def* **by** *simp*

**lemma** *proper-bindecode: proper-symbols (bindecode zs)*  
**using** *bindecode-at by simp*

**lemma** *bindecode2345:*  
**assumes** *bit-symbols zs*  
**shows**  $\forall i < \text{length } (\text{bindecode } zs). \text{bindecode } zs ! i \in \{2..<6\}$   
**using** *assms bindecode-at by simp*

**lemma** *bindecode-odd:*  
**assumes**  $\text{length } zs = 2 * n + 1$   
**shows**  $\text{bindecode } zs = \text{bindecode } (\text{take } (2 * n) \text{ } zs)$

**proof** –

**have** *1: take (2 \* n) zs ! (2 \* i) = zs ! (2 \* i) if i < n for i*  
**using** *assms that by simp*  
**have** *2: take (2 \* n) zs ! (Suc (2 \* i)) = zs ! (Suc (2 \* i)) if i < n for i*  
**using** *assms that by simp*  
**have**  $\text{bindecode } (\text{take } (2 * n) \text{ } zs) =$   
 $\text{map } (\lambda i. \text{decsym } ((\text{take } (2 * n) \text{ } zs) ! (2 * i)) ((\text{take } (2 * n) \text{ } zs) ! (\text{Suc } (2 * i)))) [0..<\text{length } (\text{take } (2 * n)$   
 $\text{ } zs) \text{ div } 2]$   
**using** *bindecode-def by simp*  
**also have**  $\dots = \text{map } (\lambda i. \text{decsym } ((\text{take } (2 * n) \text{ } zs) ! (2 * i)) ((\text{take } (2 * n) \text{ } zs) ! (\text{Suc } (2 * i)))) [0..<n]$   
**using** *assms by (simp add: min-absorb2)*  
**also have**  $\dots = \text{map } (\lambda i. \text{decsym } (zs ! (2 * i)) (zs ! (\text{Suc } (2 * i)))) [0..<n]$   
**by** *simp*  
**also have**  $\dots = \text{map } (\lambda i. \text{decsym } (zs ! (2 * i)) (zs ! (\text{Suc } (2 * i)))) [0..<\text{length } zs \text{ div } 2]$   
**using** *assms by simp*  
**also have**  $\dots = \text{bindecode } zs$   
**using** *bindecode-def by simp*  
**finally show** *?thesis*  
**by** *simp*

**qed**

**lemma** *bindecode-append:*  
**assumes** *even (length ys) and even (length zs)*  
**shows**  $\text{bindecode } (ys @ zs) = \text{bindecode } ys @ \text{bindecode } zs$   
*(is ?lhs = ?rhs)*

**proof** (*rule nth-equalityI*)

**show** *1: length ?lhs = length ?rhs*

**using** *assms by simp*

**show** *?lhs ! i = ?rhs ! i if i < length ?lhs for i*

**proof** (*cases i < length ys div 2*)

**case** *True*

**have** *?lhs ! i = decsym ((ys @ zs) ! (2 \* i)) ((ys @ zs) ! (Suc (2 \* i)))*

**using** *that bindecode-at length-bindecode by simp*

**also have**  $\dots = \text{decsym } (ys ! (2 * i)) ((ys @ zs) ! (\text{Suc } (2 * i)))$

**using** *True assms(1)*

**by** (*metis Suc-1 dvd-mult-div-cancel nat-mult-less-cancel-disj nth-append zero-less-Suc*)

**also have**  $\dots = \text{decsym } (ys ! (2 * i)) (ys ! (\text{Suc } (2 * i)))$

**using** *True assms(1)*

**by** (*metis Suc-1 Suc-lessI Suc-mult-less-cancel1 dvd-mult-div-cancel dvd-triv-left even-Suc nth-append*)

**also have**  $\dots = \text{bindecode } ys ! i$

**using** *True bindecode-at by simp*

**also have**  $\dots = ?rhs ! i$

**by** (*simp add: True nth-append*)

**finally show** *?thesis .*

**next**

**case** *False*

**let** *?l = length ys*

**have** *l: length (bindecode ys) = ?l div 2*

**by** *simp*

**have** *?lhs ! i = decsym ((ys @ zs) ! (2 \* i)) ((ys @ zs) ! (Suc (2 \* i)))*

**using** *that bindecode-at length-bindecode by simp*

**also have** ... = *decsym* (zs ! (2 \* i - ?l)) ((ys @ zs) ! (Suc (2 \* i)))  
**using** *False assms*  
**by** (*metis dvd-mult-div-cancel nat-mult-less-cancel-disj nth-append*)  
**also have** ... = *decsym* (zs ! (2 \* i - ?l)) (zs ! (Suc (2 \* i) - ?l))  
**using** *False assms*  
**by** (*metis (no-types, lifting) Suc-eq-plus1 add-lessD1 dvd-mult-div-cancel nat-mult-less-cancel-disj nth-append*)  
**also have** ... = *bindecode* zs ! (i - ?l div 2)  
**using** *False bindecode-at that assms 1*  
**by** *simp* (*metis Nat.add-diff-assoc add-mono-thms-linordered-semiring(1) dvd-mult-div-cancel le-less-linear mult-2 plus-1-eq-Suc right-diff-distrib'*)  
**also have** ... = (*bindecode* ys @ *bindecode* zs) ! i  
**using** *l False by (simp add: nth-append)*  
**finally show** ?thesis .  
**qed**  
**qed**

**lemma** *bindecode-take-snoc*:  
**assumes** *i < length zs div 2*  
**shows** *bindecode* (take (2 \* i) zs) @ [*decsym* (zs ! (2\*i)) (zs ! (Suc (2\*i)))] = *bindecode* (take (2 \* Suc i) zs)  
**proof** –  
**let** ?ys = take (2 \* i) zs  
**let** ?zs = take 2 (drop (2 \* i) zs)  
**have** ?zs ! 0 = zs ! (2 \* i)  
**using** *assms by simp*  
**moreover have** ?zs ! 1 = zs ! (Suc (2 \* i))  
**using** *assms by simp*  
**moreover have** length ?zs = 2  
**using** *assms by simp*  
**ultimately have** ?zs = [zs ! (2 \* i), zs ! (Suc (2 \* i))]  
**by** (*metis (no-types, lifting) Cons-nth-drop-Suc One-nat-def Suc-1 diff-Suc-1 drop0 length-0-conv length-drop lessI list.inject zero-less-Suc*)  
**moreover have** take (2 \* i + 2) zs = ?ys @ ?zs  
**using** *assms take-add by blast*  
**ultimately have** take (2 \* Suc i) zs = ?ys @ [zs ! (2 \* i), zs ! (Suc (2 \* i))]  
**by** *simp*  
**moreover have** even (length ?ys)  
**proof** –  
**have** length ?ys = 2 \* i  
**using** *assms by simp*  
**then show** ?thesis  
**by** *simp*  
**qed**  
**ultimately have** *bindecode* (take (2 \* Suc i) zs) = *bindecode* ?ys @ *bindecode* [zs ! (2 \* i), zs ! (Suc (2 \* i))]  
**using** *bindecode-append by simp*  
**then show** ?thesis  
**using** *bindecode-def by simp*  
**qed**

**lemma** *bindecode-encode*:  
**assumes** *binencodable ys*  
**shows** *bindecode* (*binencode* ys) = ys  
**proof** (*rule nth-equalityI*)  
**show** 1: length (*bindecode* (*binencode* ys)) = length ys  
**using** *binencode-def bindecode-def by fastforce*  
**show** *bindecode* (*binencode* ys) ! i = ys ! i **if** *i < length* (*bindecode* (*binencode* ys)) **for** *i*  
**proof** –  
**have** *len: i < length* ys  
**using** *that 1 by simp*  
**let** ?zs = *binencode* ys  
**have** *i < length* ?zs *div 2*  
**using** *len by simp*  
**then have** *bindecode* ?zs ! i = *decsym* (?zs ! (2 \* i)) (?zs ! (Suc (2 \* i)))  
**using** *bindecode-def by simp*

```

also have ... = decsym (2 + (ys ! i - 2) div 2) (2 + (ys ! i - 2) mod 2)
using binencode-at-even[OF len] binencode-at-odd[OF len] by simp
also have ... = ys ! i
proof -
  have ys ! i = 2 ∨ ys ! i = 3 ∨ ys ! i = 4 ∨ ys ! i = 5
  using assms len
  by (smt (verit) Suc-1 add-Suc-shift add-cancel-right-left eval-nat-numeral(3)
    less-Suc-eq numeral-3-eq-3 numeral-Bit0 verit-comp-simplify1(3))
  then show ?thesis
  by auto
qed
finally show bindecode ?zs ! i = ys ! i .
qed
qed

```

```

lemma binencode-decode:
  assumes bit-symbols zs and even (length zs)
  shows binencode (bindecode zs) = zs
proof (rule nth-equalityI)
  let ?ys = bindecode zs
  show 1: length (binencode ?ys) = length zs
  using binencode-def bindecode-def assms(2) by fastforce
  show binencode ?ys ! i = zs ! i if i < length (binencode ?ys) for i
  proof -
    have ilen: i < length zs
    using 1 that by simp
    show ?thesis
    proof (cases even i)
      case True
        let ?j = i div 2
        have jlen: ?j < length zs div 2
        using ilen by (simp add: assms(2) less-mult-imp-div-less)
        then have ysj: ?ys ! ?j = decsym (zs ! (2 * ?j)) (zs ! (Suc (2 * ?j)))
        using bindecode-def by simp
        have binencode ?ys ! i = binencode ?ys ! (2 * ?j)
        by (simp add: True)
        also have ... = 2 + (?ys ! ?j - 2) div 2
        using binencode-at-even jlen by simp
        also have ... = zs ! (2 * ?j)
        using ysj True assms(1) ilen by auto
        also have ... = zs ! i
        using True by simp
        finally show binencode ?ys ! i = zs ! i .
      case False
        let ?j = i div 2
        have jlen: ?j < length zs div 2
        using ilen by (simp add: assms(2) less-mult-imp-div-less)
        then have ysj: ?ys ! ?j = decsym (zs ! (2 * ?j)) (zs ! (Suc (2 * ?j)))
        using bindecode-def by simp
        have binencode ?ys ! i = binencode ?ys ! (2 * ?j + 1)
        by (simp add: False)
        also have ... = 2 + (?ys ! ?j - 2) mod 2
        using binencode-at-odd jlen by simp
        also have ... = zs ! (2 * ?j + 1)
        using ysj False assms(1) ilen by auto
        also have ... = zs ! i
        using False by simp
        finally show binencode ?ys ! i = zs ! i .
    qed
  qed
qed

```

**lemma** *binencode-inj*:  
**assumes** *binencodable xs and binencodable ys and binencode xs = binencode ys*  
**shows**  $xs = ys$   
**using** *assms bindecode-encode by metis*

## 2.10.2 Turing machines for encoding and decoding

The next Turing machine implements *binencode* for *binencodable* symbol sequences. It expects a symbol sequence *zs* on tape  $j_1$  and writes *binencode zs* to tape  $j_2$ .

**definition** *tm-binencode* :: *tapeidx*  $\Rightarrow$  *tapeidx*  $\Rightarrow$  *machine* **where**  
*tm-binencode j1 j2*  $\equiv$   
**WHILE** [] ;  $\lambda rs. rs ! j1 \neq \square$  **DO**  
  **IF**  $\lambda rs. rs ! j1 = \mathbf{0}$  **THEN**  
    *tm-print j2 [0, 0]*  
  **ELSE**  
    **IF**  $\lambda rs. rs ! j1 = \mathbf{1}$  **THEN**  
      *tm-print j2 [0, 1]*  
    **ELSE**  
      **IF**  $\lambda rs. rs ! j1 = |$  **THEN**  
        *tm-print j2 [1, 0]*  
      **ELSE**  
        *tm-print j2 [1, 1]*  
      **ENDIF**  
    **ENDIF**  
  **ENDIF** ;;  
  *tm-right j1*  
**DONE**

**lemma** *tm-binencode-tm*:  
**assumes**  $k \geq 2$  **and**  $G \geq 4$  **and**  $j1 < k$  **and**  $j2 < k$  **and**  $0 < j2$   
**shows** *turing-machine k G (tm-binencode j1 j2)*

**proof** –

**have** \*: *symbols-lt G [0, 0] symbols-lt G [0, 1] symbols-lt G [1, 0] symbols-lt G [1, 1]*  
  **using** *assms(2)* **by** (*simp-all add: nth-Cons'*)  
**then have** *turing-machine k G (tm-print j2 [0, 0])*  
  **using** *tm-print-tm[OF assms(5,4,2)] assms* **by** *blast*  
**moreover have** *turing-machine k G (tm-print j2 [0, 1])*  
  **using** \* *tm-print-tm[OF assms(5,4,2)] assms* **by** *blast*  
**moreover have** *turing-machine k G (tm-print j2 [1, 0])*  
  **using** \* *tm-print-tm[OF assms(5,4,2)] assms* **by** *blast*  
**moreover have** *turing-machine k G (tm-print j2 [1, 1])*  
  **using** \* *tm-print-tm[OF assms(5,4,2)] assms* **by** *blast*  
**ultimately show** *?thesis*  
  **unfolding** *tm-binencode-def*  
  **using** *turing-machine-loop-turing-machine Nil-tm turing-machine-branch-turing-machine tm-right-tm assms*  
  **by** *simp*

**qed**

**locale** *turing-machine-binencode* =  
  **fixes**  $j1 j2 :: \text{tapeidx}$   
**begin**

**definition** *tm1*  $\equiv$  *IF*  $\lambda rs. rs ! j1 = |$  **THEN** *tm-print j2 [1, 0]* **ELSE** *tm-print j2 [1, 1]* **ENDIF**  
**definition** *tm2*  $\equiv$  *IF*  $\lambda rs. rs ! j1 = \mathbf{1}$  **THEN** *tm-print j2 [0, 1]* **ELSE** *tm1* **ENDIF**  
**definition** *tm3*  $\equiv$  *IF*  $\lambda rs. rs ! j1 = \mathbf{0}$  **THEN** *tm-print j2 [0, 0]* **ELSE** *tm2* **ENDIF**  
**definition** *tm4*  $\equiv$  *tm3* ;; *tm-right j1*  
**definition** *tm5*  $\equiv$  **WHILE** [] ;  $\lambda rs. rs ! j1 \neq \square$  **DO** *tm4* **DONE**

**lemma** *tm5-eq-tm-binencode*:  $tm5 = tm-binencode j1 j2$   
**using** *tm5-def tm4-def tm3-def tm2-def tm1-def tm-binencode-def* **by** *simp*

**context**  
  **fixes**  $k :: \text{nat}$  **and**  $tps0 :: \text{tape list}$  **and**  $zs :: \text{symbol list}$

**assumes**  $jk$ :  $k = \text{length } tps0 \ j1 \neq j2 \ 0 < j2 \ j1 < k \ j2 < k$   
**assumes**  $zs$ : *binencodable*  $zs$   
**assumes**  $tps0$ :  
 $tps0 ! j1 = (\lfloor zs \rfloor, 1)$   
 $tps0 ! j2 = (\lfloor [] \rfloor, 1)$

**begin**

**definition**  $tpsL :: nat \Rightarrow \text{tape list}$  **where**  
 $tpsL \ t \equiv tps0$   
 $[j1] := (\lfloor zs \rfloor, \text{Suc } t),$   
 $j2 := (\lfloor \text{binencode } (\text{take } t \text{ } zs) \rfloor, \text{Suc } (2 * t))$

**lemma**  $tpsL-0$ :  $tpsL \ 0 = tps0$   
**unfolding**  $tpsL\text{-def}$  **using**  $tps0 \ jk$   
**by** (*metis One-nat-def length-0-conv length-binencode list-update-id mult-0-right take0*)

**definition**  $tpsL1 :: nat \Rightarrow \text{tape list}$  **where**  
 $tpsL1 \ t \equiv tps0$   
 $[j1] := (\lfloor zs \rfloor, \text{Suc } t),$   
 $j2 := (\lfloor \text{binencode } (\text{take } (\text{Suc } t) \text{ } zs) \rfloor, \text{Suc } (2 * t) + 2)$

**lemma**  $\text{read-tpsL}$ :  
**assumes**  $t < \text{length } zs$   
**shows**  $\text{read } (tpsL \ t) ! j1 = zs ! t$   
**proof** –  
**have**  $tpsL \ t ! j1 = (\lfloor zs \rfloor, \text{Suc } t)$   
**using**  $tpsL\text{-def } jk$  **by** *simp*  
**moreover** **have**  $j1 < \text{length } (tpsL \ t)$   
**using**  $tpsL\text{-def } jk$  **by** *simp*  
**ultimately** **show**  $\text{read } (tpsL \ t) ! j1 = zs ! t$   
**using**  $\text{assms tapes-at-read}'$   
**by** (*metis Suc-leI contents-inbounds diff-Suc-1 fst-conv snd-conv zero-less-Suc*)  
**qed**

**lemma**  $tm1$  [*transforms-intros*]:  
**assumes**  $t < \text{length } zs$  **and**  $zs ! t = | \vee zs ! t = \#$   
**shows**  $\text{transforms } tm1 \ (tpsL \ t) \ 4 \ (tpsL1 \ t)$   
**unfolding**  $tm1\text{-def}$   
**proof** (*tform tps: jk tpsL-def*)  
**have**  $1$ :  $tpsL \ t ! j2 = (\lfloor \text{binencode } (\text{take } t \text{ } zs) \rfloor, \text{Suc } (2 * t))$   
**using**  $tpsL\text{-def } jk$  **by** *simp*  
**have**  $2$ :  $\text{length } (\text{binencode } (\text{take } t \text{ } zs)) = 2 * t$   
**using**  $\text{assms}(1)$  **by** *simp*  
**have**  $\text{inscribe } (tpsL \ t ! j2) \ [1, 0] = (\lfloor \text{binencode } (\text{take } t \text{ } zs) \ @ \ [1, 0] \rfloor, \text{Suc } (2 * t) + 2)$   
**using**  $\text{inscribe-contents } 1 \ 2$   
**by** (*metis (no-types, lifting) One-nat-def Suc-1 Suc-eq-plus1 add-Suc-shift list.size(3) list.size(4)*)  
**moreover** **have**  $\text{binencode } (\text{take } t \text{ } zs) \ @ \ [1, 0] = \text{binencode } (\text{take } (\text{Suc } t) \text{ } zs)$  **if**  $zs ! t = |$   
**using**  $\text{that } \text{assms}(1) \ \text{binencode-snoc}$  **by** (*metis take-Suc-conv-app-nth*)  
**ultimately** **have**  $5$ :  $\text{inscribe } (tpsL \ t ! j2) \ [1, 0] = (\lfloor \text{binencode } (\text{take } (\text{Suc } t) \text{ } zs) \rfloor, \text{Suc } (2 * t) + 2)$   
**if**  $zs ! t = |$   
**using**  $\text{that}$  **by** *simp*  
**have**  $\text{inscribe } (tpsL \ t ! j2) \ [1, 1] = (\lfloor \text{binencode } (\text{take } t \text{ } zs) \ @ \ [1, 1] \rfloor, \text{Suc } (2 * t) + 2)$   
**using**  $\text{inscribe-contents } 1 \ 2$   
**by** (*metis (no-types, lifting) One-nat-def Suc-1 Suc-eq-plus1 add-Suc-shift list.size(3) list.size(4)*)  
**moreover** **have**  $\text{binencode } (\text{take } t \text{ } zs) \ @ \ [1, 1] = \text{binencode } (\text{take } (\text{Suc } t) \text{ } zs)$  **if**  $zs ! t = \#$   
**using**  $\text{that } \text{assms}(1) \ \text{binencode-snoc}$  **by** (*metis take-Suc-conv-app-nth*)  
**ultimately** **have**  $6$ :  $\text{inscribe } (tpsL \ t ! j2) \ [1, 1] = (\lfloor \text{binencode } (\text{take } (\text{Suc } t) \text{ } zs) \rfloor, \text{Suc } (2 * t) + 2)$   
**if**  $zs ! t = \#$   
**using**  $\text{that}$  **by** *simp*  
**have**  $7$ :  $tpsL1 \ t = (tpsL \ t)[j2 := (\lfloor \text{binencode } (\text{take } (\text{Suc } t) \text{ } zs) \rfloor, \text{Suc } (2 * t) + 2)]$   
**unfolding**  $tpsL1\text{-def } tpsL\text{-def}$  **by** (*simp only: list-update-overwrite*)  
**then** **have**  $8$ :  $tpsL1 \ t = (tpsL \ t)[j2 := \text{inscribe } (tpsL \ t ! j2) \ [1, 0]]$  **if**  $zs ! t = |$   
**using**  $\text{that } 5$  **by** *simp*

**have** 9:  $\text{tpsL1 } t = (\text{tpsL } t)[j2 := \text{inscribe } (\text{tpsL } t ! j2)] [1, 1]$  **if**  $zs ! t = \#$   
**using** that 6 7 **by** *simp*  
**show**  $\text{read } (\text{tpsL } t) ! j1 = | \implies$   
 $\text{tpsL1 } t = (\text{tpsL } t)[j2 := \text{inscribe } (\text{tpsL } t ! j2)] [1, 0]$   
**using**  $\text{read-tpsL}[OF \text{ assms}(1)]$  8 **by** *simp*  
**show**  $\text{read } (\text{tpsL } t) ! j1 \neq | \implies$   
 $\text{tpsL1 } t = (\text{tpsL } t)[j2 := \text{inscribe } (\text{tpsL } t ! j2)] [1, 1]$   
**using**  $\text{read-tpsL}[OF \text{ assms}(1)]$  9 *assms*(2) **by** *simp*  
**qed**

**lemma** *tm2* [*transforms-intros*]:

**assumes**  $t < \text{length } zs$  **and**  $zs ! t = | \vee zs ! t = \# \vee zs ! t = 1$   
**shows** *transforms tm2* ( $\text{tpsL } t$ ) 5 ( $\text{tpsL1 } t$ )  
**unfolding** *tm2-def*  
**proof** (*tform tps: tpsL-def jk assms(1)*)  
**have** 1:  $\text{tpsL } t ! j2 = (\text{binencode } (\text{take } t \text{ } zs)), \text{Suc } (2 * t)$   
**using** *tpsL-def jk* **by** *simp*  
**have** 2:  $\text{length } (\text{binencode } (\text{take } t \text{ } zs)) = 2 * t$   
**using** *assms(1)* **by** *simp*  
**show**  $\text{read } (\text{tpsL } t) ! j1 \neq 1 \implies zs ! t = | \vee zs ! t = \#$   
**using**  $\text{read-tpsL}[OF \text{ assms}(1)]$  *assms(2)* **by** *simp*  
**show**  $\text{tpsL1 } t = (\text{tpsL } t)[j2 := \text{inscribe } (\text{tpsL } t ! j2)] [0, 1]$  **if**  $\text{read } (\text{tpsL } t) ! j1 = 1$   
**proof** –  
**have** \*:  $zs ! t = 1$   
**using**  $\text{read-tpsL}[OF \text{ assms}(1)]$  *that* **by** *simp*  
**have**  $\text{inscribe } (\text{tpsL } t ! j2) [0, 1] = (\text{binencode } (\text{take } t \text{ } zs) @ [2, 1]), \text{Suc } (2 * t) + 2$   
**using** *inscribe-contents 1 2*  
**by** (*metis (no-types, lifting) One-nat-def Suc-1 Suc-eq-plus1 add-Suc-shift list.size(3) list.size(4)*)  
**moreover** **have**  $\text{binencode } (\text{take } t \text{ } zs) @ [0, 1] = \text{binencode } (\text{take } (\text{Suc } t) \text{ } zs)$   
**using** \* *assms(1)* *binencode-snoc* **by** (*metis take-Suc-conv-app-nth*)  
**ultimately** **have**  $\text{inscribe } (\text{tpsL } t ! j2) [0, 1] = (\text{binencode } (\text{take } (\text{Suc } t) \text{ } zs)), \text{Suc } (2 * t) + 2$   
**by** *simp*  
**moreover** **have**  $\text{tpsL1 } t = (\text{tpsL } t)[j2 := (\text{binencode } (\text{take } (\text{Suc } t) \text{ } zs)), \text{Suc } (2 * t) + 2]$   
**unfolding** *tpsL1-def tpsL-def* **by** (*simp only: list-update-overwrite*)  
**ultimately** **show**  $\text{tpsL1 } t = (\text{tpsL } t)[j2 := \text{inscribe } (\text{tpsL } t ! j2)] [0, 1]$   
**using** *that* **by** *simp*  
**qed**  
**qed**

**lemma** *tm3* [*transforms-intros*]:

**assumes**  $t < \text{length } zs$   
**shows** *transforms tm3* ( $\text{tpsL } t$ ) 6 ( $\text{tpsL1 } t$ )  
**unfolding** *tm3-def*  
**proof** (*tform tps: jk assms tpsL-def*)  
**have** 1:  $\text{tpsL } t ! j2 = (\text{binencode } (\text{take } t \text{ } zs)), \text{Suc } (2 * t)$   
**using** *tpsL-def jk* **by** *simp*  
**have** 2:  $\text{length } (\text{binencode } (\text{take } t \text{ } zs)) = 2 * t$   
**using** *assms* **by** *simp*  
**show**  $\text{read } (\text{tpsL } t) ! j1 \neq 0 \implies zs ! t = | \vee zs ! t = \# \vee zs ! t = 1$   
**using** *assms* *zs read-tpsL* **by** *auto*  
**show**  $\text{tpsL1 } t = (\text{tpsL } t)[j2 := \text{inscribe } (\text{tpsL } t ! j2)] [0, 0]$  **if**  $\text{read } (\text{tpsL } t) ! j1 = 0$   
**proof** –  
**have** \*:  $zs ! t = 0$   
**using**  $\text{read-tpsL}[OF \text{ assms}]$  *that* **by** *simp*  
**have**  $\text{inscribe } (\text{tpsL } t ! j2) [0, 0] = (\text{binencode } (\text{take } t \text{ } zs) @ [0, 0]), \text{Suc } (2 * t) + 2$   
**using** *inscribe-contents 1 2*  
**by** (*metis (no-types, lifting) One-nat-def Suc-1 Suc-eq-plus1 add-Suc-shift list.size(3) list.size(4)*)  
**moreover** **have**  $\text{binencode } (\text{take } t \text{ } zs) @ [0, 0] = \text{binencode } (\text{take } (\text{Suc } t) \text{ } zs)$   
**using** \* *assms* *binencode-snoc* **by** (*metis take-Suc-conv-app-nth*)  
**ultimately** **have**  $\text{inscribe } (\text{tpsL } t ! j2) [0, 0] = (\text{binencode } (\text{take } (\text{Suc } t) \text{ } zs)), \text{Suc } (2 * t) + 2$   
**by** *simp*  
**moreover** **have**  $\text{tpsL1 } t = (\text{tpsL } t)[j2 := (\text{binencode } (\text{take } (\text{Suc } t) \text{ } zs)), \text{Suc } (2 * t) + 2]$   
**unfolding** *tpsL1-def tpsL-def* **by** (*simp only: list-update-overwrite*)



ultimately show  $tpsL1\ t = (tpsL\ t)[j2 := inscribe\ (tpsL\ t\ !\ j2)\ [0, 0]]$   
 using *that by simp*  
 qed  
 qed

lemma *tm4* [*transforms-intros*]:

assumes  $t < length\ zs$   
 shows *transforms tm4*  $(tpsL\ t)\ 7\ (tpsL\ (Suc\ t))$   
 unfolding *tm4-def*

proof (*tform tps: assms tpsL1-def jk*)

have \*:  $tpsL1\ t\ !\ j1 = ([zs], Suc\ t)$

using *tpsL1-def jk by simp*

show  $tpsL\ (Suc\ t) = (tpsL1\ t)[j1 := tpsL1\ t\ !\ j1\ |+\ 1]$

using *tpsL-def tpsL1-def using jk \* by (auto simp add: list-update-swap[of - j1])*

qed

lemma *tm5*:

assumes  $ttt = 9 * length\ zs + 1$

shows *transforms tm5*  $(tpsL\ 0)\ ttt\ (tpsL\ (length\ zs))$

unfolding *tm5-def*

proof (*tform*)

show  $\bigwedge t. t < length\ zs \implies read\ (tpsL\ t)\ !\ j1 \neq \square$

using *read-tpsL zs by auto*

show  $\neg read\ (tpsL\ (length\ zs))\ !\ j1 \neq \square$

proof -

have  $tpsL\ (length\ zs)\ !\ j1 = ([zs], Suc\ (length\ zs))$

using *tpsL-def jk by simp*

moreover have  $j1 < length\ (tpsL\ (length\ zs))$

using *tpsL-def jk by simp*

ultimately have  $read\ (tpsL\ (length\ zs))\ !\ j1 = tape-read\ ([zs], Suc\ (length\ zs))$

using *tapes-at-read' by fastforce*

also have  $\dots = \square$

using *contents-outofbounds by simp*

finally show *?thesis*

by *simp*

qed

show  $length\ zs * (7 + 2) + 1 \leq ttt$

using *assms by simp*

qed

lemma *tpsL*:  $tpsL\ (length\ zs) = tps0$

$[j1 := ([zs], Suc\ (length\ zs)),$

$j2 := ([binencode\ zs], Suc\ (2 * (length\ zs)))]$

unfolding *tpsL-def using tps0 jk by simp*

lemma *tm5'*:

assumes  $ttt = 9 * length\ zs + 1$

shows *transforms tm5 tps0*  $ttt\ (tpsL\ (length\ zs))$

using *assms tm5 tpsL-0 by simp*

end

end

lemma *transforms-tm-binencodeI* [*transforms-intros*]:

fixes  $j1\ j2 :: tapeidx$

fixes  $tps\ tps' :: tape\ list$  and  $ttt\ k :: nat$  and  $zs :: symbol\ list$

assumes  $k = length\ tps$   $j1 \neq j2$   $0 < j2$   $j1 < k$   $j2 < k$

and *binencodable zs*

assumes

$tps\ !\ j1 = ([zs], 1)$

$tps\ !\ j2 = ([[]], 1)$

assumes  $ttt = 9 * length\ zs + 1$

```

assumes  $tps' \equiv tps$ 
 $[j1 := (\lfloor zs \rfloor, Suc (\text{length } zs)),$ 
 $j2 := (\lfloor \text{binencode } zs \rfloor, Suc (2 * (\text{length } zs)))]$ 
shows  $\text{transforms } (tm\text{-binencode } j1 j2) tps \text{ ttt } tps'$ 
proof –
interpret  $loc: \text{turing-machine-binencode } j1 j2 .$ 
show  $?thesis$ 
using  $assms \text{ loc.tm5' loc.tm5-eq-tm-binencode loc.tpsL}$  by  $\text{simp}$ 
qed

```

The next command reads chunks of two symbols over **01** from one tape and writes to another tape the corresponding symbol over **01**#. The first symbol of each chunk is memorized on the last tape. If the end of the input (that is, a blank symbol) is encountered, the command stops without writing another symbol.

```

definition  $\text{cmd-bindec} :: \text{tapeidx} \Rightarrow \text{tapeidx} \Rightarrow \text{command}$  where
 $\text{cmd-bindec } j1 j2 rs \equiv$ 
   $\text{if } rs ! j1 = 0 \text{ then } (1, \text{map } (\lambda z. (z, \text{Stay})) rs)$ 
   $\text{else } (0,$ 
     $\text{map } (\lambda i.$ 
       $\text{if } \text{last } rs = \triangleright$ 
         $\text{then if } i = j1 \text{ then } (rs ! i, \text{Right})$ 
           $\text{else if } i = j2 \text{ then } (rs ! i, \text{Stay})$ 
           $\text{else if } i = \text{length } rs - 1 \text{ then } (\text{tosym } (\text{todigit } (rs ! j1)), \text{Stay})$ 
           $\text{else } (rs ! i, \text{Stay})$ 
         $\text{else if } i = j1 \text{ then } (rs ! i, \text{Right})$ 
           $\text{else if } i = j2 \text{ then } (\text{decsym } (\text{last } rs) (rs ! j1), \text{Right})$ 
           $\text{else if } i = \text{length } rs - 1 \text{ then } (1, \text{Stay})$ 
           $\text{else } (rs ! i, \text{Stay}))$ 
     $[0..<\text{length } rs]$ 

```

The Turing machine performing the decoding:

```

definition  $\text{tm-bindec} :: \text{tapeidx} \Rightarrow \text{tapeidx} \Rightarrow \text{machine}$  where
 $\text{tm-bindec } j1 j2 = [\text{cmd-bindec } j1 j2]$ 

```

**context**

```

fixes  $j1 j2 :: \text{tapeidx}$  and  $k :: \text{nat}$ 
assumes  $j\text{-less}: j1 < k \ j2 < k$ 
and  $j\text{-gt}: 0 < j2$ 
begin

```

**lemma**  $\text{turing-command-bindec}:$

```

assumes  $G \geq 6$ 
shows  $\text{turing-command } (Suc k) 1 G (\text{cmd-bindec } j1 j2)$ 
proof
show  $\bigwedge gs. \text{length } gs = Suc k \implies \text{length } ([!!] \text{cmd-bindec } j1 j2 gs) = \text{length } gs$ 
using  $\text{cmd-bindec-def}$  by  $\text{simp}$ 
show  $\text{cmd-bindec } j1 j2 gs [.] j < G$ 
if  $\text{length } gs = Suc k \bigwedge i. i < \text{length } gs \implies gs ! i < G \ j < \text{length } gs$ 
for  $gs \ j$ 
proof  $(\text{cases } gs ! j1 = \square)$ 
case  $\text{True}$ 
then show  $?thesis$ 
using  $\text{that cmd-bindec-def}$  by  $\text{simp}$ 
next
case  $\text{else}: \text{False}$ 
show  $?thesis$ 
proof  $(\text{cases } \text{last } gs = \triangleright)$ 
case  $\text{True}$ 
then have  $\text{snd } (\text{cmd-bindec } j1 j2 gs) = \text{map } (\lambda i.$ 
   $\text{if } i = j1 \text{ then } (gs ! i, \text{Right})$ 
   $\text{else if } i = j2 \text{ then } (gs ! i, \text{Stay})$ 
   $\text{else if } i = \text{length } gs - 1 \text{ then } (\text{todigit } (gs ! j1) + 2, \text{Stay})$ 
   $\text{else } (gs ! i, \text{Stay})) [0..<\text{length } gs]$ 

```

```

    using cmd-bindec-def else by simp
  then have cmd-bindec j1 j2 gs [!] j =
    (if j = j1 then (gs ! j, Right)
     else if j = j2 then (gs ! j, Stay)
     else if j = length gs - 1 then (todigit (gs ! j1) + 2, Stay)
     else (gs ! j, Stay))
  using that(3) by simp
  then have cmd-bindec j1 j2 gs [.] j =
    (if j = j1 then gs ! j
     else if j = j2 then gs ! j
     else if j = length gs - 1 then todigit (gs ! j1) + 2
     else gs ! j)
  by simp
  then show ?thesis
  using that assms by simp
next
case False
then have snd (cmd-bindec j1 j2 gs) = map (λi.
  if i = j1 then (gs ! i, Right)
  else if i = j2 then (2 * todigit (last gs) + todigit (gs ! j1) + 2, Right)
  else if i = length gs - 1 then (1, Stay)
  else (gs ! i, Stay)) [0..<length gs]
  using cmd-bindec-def else by simp
  then have cmd-bindec j1 j2 gs [!] j =
    (if j = j1 then (gs ! j, Right)
     else if j = j2 then (2 * todigit (last gs) + todigit (gs ! j1) + 2, Right)
     else if j = length gs - 1 then (1, Stay)
     else (gs ! j, Stay))
  using that(3) by simp
  then have cmd-bindec j1 j2 gs [.] j =
    (if j = j1 then gs ! j
     else if j = j2 then 2 * todigit (last gs) + todigit (gs ! j1) + 2
     else if j = length gs - 1 then 1
     else gs ! j)
  by simp
  moreover have last gs < G
  using that assms
  by (metis add-lessD1 diff-less last-conv-nth length-greater-0-conv less-numeral-extra(1)
    less-numeral-extra(4) list.size(3) plus-1-eq-Suc)
  ultimately show ?thesis
  using that assms by simp
qed
qed
show cmd-bindec j1 j2 gs [.] 0 = gs ! 0 if length gs = Suc k 0 < Suc k for gs
proof (cases last gs = 1)
case True
moreover have 0 < length gs 0 ≠ length gs - 1
  using that j-less by simp-all
ultimately show ?thesis
  using cmd-bindec-def by simp
next
case False
moreover have 0 < length gs 0 ≠ j2 0 ≠ length gs - 1
  using that j-gt j-less by simp-all
ultimately show ?thesis
  using cmd-bindec-def by simp
qed
show ∧gs. length gs = Suc k ⇒ [*] (cmd-bindec j1 j2 gs) ≤ 1
  using cmd-bindec-def by simp
qed

```

**lemma** *tm-bindec-tm*:  $G \geq 6 \implies \text{turing-machine } (Suc\ k)\ G\ (\text{tm-bindec } j1\ j2)$   
**unfolding** *tm-bindec-def* **using** *j-less(2) j-gt turing-command-bindec cmd-bindec-def* **by** *auto*

```

context
  fixes tps :: tape list and zs :: symbol list
  assumes j1-neq:  $j1 \neq j2$ 
    and lentps:  $Suc\ k = length\ tps$ 
    and bs: bit-symbols zs
begin

lemma sem-cmd-bindec-gt:
  assumes tps ! j1 = ( $\lfloor zs \rfloor$ , i)
    and  $i > length\ zs$ 
  shows  $sem\ (cmd-bindec\ j1\ j2)\ (0, tps) = (1, tps)$ 
proof (rule semI)
  show proper-command ( $Suc\ k$ ) (cmd-bindec j1 j2)
    using cmd-bindec-def by simp
  show  $length\ tps = Suc\ k$ 
    using lentps by simp
  show  $length\ tps = Suc\ k$ 
    using lentps by simp
  have read tps ! j1 =  $\square$ 
    using assms by (metis contents-outofbounds fst-conv j-less(1) lentps less-Suc-eq snd-conv tapes-at-read')
  moreover from this show  $fst\ (cmd-bindec\ j1\ j2\ (read\ tps)) = 1$ 
    by (simp add: cmd-bindec-def)
  ultimately show  $\bigwedge j. j < Suc\ k \implies act\ (cmd-bindec\ j1\ j2\ (read\ tps)\ [!]\ j)\ (tps\ !\ j) = tps\ !\ j$ 
    using assms cmd-bindec-def act-Stay lentps read-length by simp
qed

```

```

lemma sem-cmd-bindec-1:
  assumes tps ! k =  $\lceil \triangleright \rceil$ 
    and tps ! j1 = ( $\lfloor zs \rfloor$ , i)
    and  $i > 0$ 
    and  $i \leq length\ zs$ 
    and  $tps' = tps\ [j1 := tps\ !\ j1\ |+\ 1, k := \lceil todigit\ (tps\ ::\ j1) + 2 \rceil]$ 
  shows  $sem\ (cmd-bindec\ j1\ j2)\ (0, tps) = (0, tps')$ 
proof (rule semI)
  show proper-command ( $Suc\ k$ ) (cmd-bindec j1 j2)
    using cmd-bindec-def by simp
  show  $length\ tps = Suc\ k$ 
    using lentps by simp
  show  $length\ tps' = Suc\ k$ 
    using lentps assms(5) by simp
  have read: read tps ! j1  $\neq \square$ 
    using assms(2,3,4) bs j-less(1) tapes-at-read'[of j1 tps] contents-inbounds[OF assms(3,4)] lentps
      proper-symbols-ne0[OF proper-bit-symbols[OF bs]]
    by (metis One-nat-def Suc-less-eq Suc-pred fst-conv le-imp-less-Suc less-SucI snd-eqD)
  then show  $fst\ (cmd-bindec\ j1\ j2\ (read\ tps)) = 0$ 
    using cmd-bindec-def by simp
  show  $act\ (cmd-bindec\ j1\ j2\ (read\ tps)\ [!]\ j)\ (tps\ !\ j) = tps'\ !\ j$ 
    if  $j < Suc\ k$  for j
  proof –
  let ?rs = read tps
  have last ?rs = 1
    using assms(1) lentps onesie-read read-length tapes-at-read'
      by (metis (mono-tags, lifting) last-length lessI)
  then have  $*$ :  $snd\ (cmd-bindec\ j1\ j2\ ?rs) = map\ (\lambda i.$ 
     $\begin{aligned} & \text{if } i = j1 \text{ then } (?rs\ !\ i, Right) \\ & \text{else if } i = j2 \text{ then } (?rs\ !\ i, Stay) \\ & \text{else if } i = length\ ?rs - 1 \text{ then } (if\ ?rs\ !\ j1 = 1 \text{ then } 1 \text{ else } 0, Stay) \\ & \text{else } (?rs\ !\ i, Stay) \end{aligned}$ 
     $)\ [0..<length\ ?rs]$ 
    using read cmd-bindec-def by simp
  have  $length\ ?rs = Suc\ k$ 
    by (simp add: lentps read-length)
  then have  $len: j < length\ ?rs$ 

```

```

using that by simp
have k: k = length ?rs - 1
  by (simp add: ⟨length ?rs = Suc k⟩)
consider j = j1 | j ≠ j1 ∧ j = j2 | j ≠ j1 ∧ j ≠ j2 ∧ j = k | j ≠ j1 ∧ j ≠ j2 ∧ j ≠ k
  by auto
then show ?thesis
proof (cases)
case 1
  then have cmd-bindec j1 j2 ?rs [!] j = (?rs ! j1, Right)
    using * len by simp
  then show ?thesis
    using act-Right 1 assms(5) j-less(1) lentps by simp
next
case 2
  then have cmd-bindec j1 j2 ?rs [!] j = (?rs ! j2, Stay)
    using * len by simp
  then show ?thesis
    using 2 act-Stay assms(5) j-less(2) lentps by simp
next
case 3
  then have cmd-bindec j1 j2 ?rs [!] j = (todigit (?rs ! j1) + 2, Stay)
    using k * len by simp
  then show ?thesis
    using 3 assms(1,5) act-onesie j-less(1) lentps tapes-at-read'
    by (metis length-list-update less-Suc-eq nth-list-update)
next
case 4
  then have cmd-bindec j1 j2 ?rs [!] j = (?rs ! j, Stay)
    using k * len that by simp
  then show ?thesis
    using 4 act-Stay assms(5) lentps that by simp
qed
qed
qed

```

lemma sem-cmd-bindec-23:

```

assumes tps ! k = [s]
  and s = 0 ∨ s = 1
  and tps ! j1 = ([zs], i)
  and i > 0
  and i ≤ length zs
  and tps' = tps
  [j1 := tps ! j1 | + | 1,
   j2 := tps ! j2 | := | decsym s (tps :: j1) | + | 1,
   k := [▷]]
shows sem (cmd-bindec j1 j2) (0, tps) = (0, tps')
proof (rule semI)
show proper-command (Suc k) (cmd-bindec j1 j2)
  using cmd-bindec-def by simp
show length tps = Suc k
  using lentps by simp
show length tps' = Suc k
  using lentps assms(6) by simp
have read: read tps ! j1 ≠ □
  using assms(3-5) bs tapes-at-read'[of j1 tps] contents-inbounds[OF assms(4,5)] lentps
  by (metis One-nat-def Suc-less-eq Suc-pred fst-conv j-less(1) le-imp-less-Suc
    less-imp-le-nat not-one-less-zero proper-bit-symbols snd-conv)
show fst (cmd-bindec j1 j2 (read tps)) = 0
  using read cmd-bindec-def by simp
show act (cmd-bindec j1 j2 (read tps) [!] j) (tps ! j) = tps' ! j
  if j < Suc k for j
proof -
let ?rs = read tps

```

```

have last ?rs ≠ 1
  using assms(1,2) lentps onesie-read read-length tapes-at-read'
  by (metis Suc-1 diff-Suc-1 last-conv-nth lessI list.size(3) n-not-Suc-n numeral-One
      numeral-eq-iff old.nat.distinct(1) semiring-norm(86))
then have *: snd (cmd-bindec j1 j2 ?rs) = map (λi.
  if i = j1 then (?rs ! i, Right)
  else if i = j2 then (2 * todigit (last ?rs) + todigit (?rs ! j1) + 2, Right)
  else if i = length ?rs - 1 then (1, Stay)
  else (?rs ! i, Stay)) [0..<length ?rs]
  using read cmd-bindec-def by simp
have lenrs: length ?rs = Suc k
  by (simp add: lentps read-length)
then have len: j < length ?rs
  using that by simp
have k: k = length ?rs - 1
  by (simp add: lenrs)
consider j = j1 | j ≠ j1 ∧ j = j2 | j ≠ j1 ∧ j ≠ j2 ∧ j = k | j ≠ j1 ∧ j ≠ j2 ∧ j ≠ k
  by auto
then show ?thesis
proof (cases)
  case 1
  then show ?thesis
    using * len act-Right assms(6) j-less(1) j1-neq lentps by simp
next
  case 2
  then have cmd-bindec j1 j2 ?rs [!] j = (2 * todigit (last ?rs) + todigit (?rs ! j1) + 2, Right)
    using * len by simp
  moreover have last ?rs = s
    using assms(1,2) lenrs k onesie-read tapes-at-read'
    by (metis last-conv-nth length-0-conv lentps lessI old.nat.distinct(1))
  moreover have ?rs ! j1 = tps :: j1
    using j-less(1) lentps tapes-at-read' by simp
  ultimately show ?thesis
    using 2 assms(6) act-Right' j-less lentps by simp
next
  case 3
  then show ?thesis
    using * len k act-onesie assms(1,6) lentps by simp
next
  case 4
  then have cmd-bindec j1 j2 ?rs [!] j = (?rs ! j, Stay)
    using k * len by simp
  then show ?thesis
    using 4 act-Stay assms(6) lentps that by simp
qed
qed
qed
end

```

```

lemma transits-tm-bindec:
  fixes tps :: tape list and zs :: symbol list
  assumes j1-neq: j1 ≠ j2
    and j1j2: 0 < j2 j1 < k j2 < k
    and lentps: Suc k = length tps
    and bs: bit-symbols zs
  assumes tps ! k = [▷]
    and tps ! j1 = ([zs], 2 * i + 1)
    and tps ! j2 = ([bindecode (take (2 * i) zs)], Suc i)
    and i < length zs div 2
    and tps' = tps
    [j1 := ([zs], 2 * (Suc i) + 1),
     j2 := ([bindecode (take (2 * Suc i) zs)], Suc (Suc i))]

```

```

shows transits (tm-bindec j1 j2) (0, tps) 2 (0, tps')
proof -
define tps1 where tps1 = tps
  [j1 := (⌊zs⌋, 2 * i + 2),
   k := ⌈todigit (tps :: j1) + 2⌉]
let ?i = 2 * i + 1
let ?M = tm-bindec j1 j2
have ilen: ?i < length zs
  using assms(10) by simp
have exe ?M (0, tps) = sem (cmd-bindec j1 j2) (0, tps)
  using tm-bindec-def exe-lt-length by simp
also have ... =
  (if ?i ≤ length zs then 0 else 1,
   tps[j1 := tps ! j1 |+| 1, k := ⌈todigit (tps :: j1) + 2⌉])
  using ilen bs assms(7,8) sem-cmd-bindec-1 j1-neq lentps by simp
also have ... = (0, tps[j1 := tps ! j1 |+| 1, k := ⌈todigit (tps :: j1) + 2⌉])
  using ilen by simp
also have ... = (0, tps1)
  using tps1-def assms by simp
finally have step1: exe ?M (0, tps) = (0, tps1) .

let ?s = tps1 :: k
have tps :: j1 = zs ! (2 * i)
  using assms(8) ilen by simp
then have ?s = todigit (zs ! (2 * i)) + 2
  using tps1-def lentps by simp
then have ?s = zs ! (2 * i)
  using ilen bs by (smt (verit) One-nat-def Suc-1 add-2-eq-Suc' add-lessD1 numeral-3-eq-3)
moreover have tps1 :: j1 = zs ! ?i
  using tps1-def ilen lentps j1j2 by simp
ultimately have *: decsym ?s (tps1 :: j1) = decsym (zs ! (2*i)) (zs ! (Suc (2*i)))
  by simp

have exe ?M (0, tps1) = sem (cmd-bindec j1 j2) (0, tps1)
  using tm-bindec-def exe-lt-length by simp
also have ... =
  (if Suc ?i ≤ length zs then 0 else 1,
   tps1[j1 := tps1 ! j1 |+| 1, j2 := tps1 ! j2 |:=| 2 * todigit ?s + todigit (tps1 :: j1) + 2 |+| 1, k := ⌈▷⌉])
proof -
have 1: tps1 ! k = ⌈?s⌉
  using tps1-def lentps by simp
have 2: ?s = 2 ∨ ?s = 3
  using tps1-def lentps by simp
have 3: tps1 ! j1 = (⌊zs⌋, Suc ?i)
  using tps1-def lentps Suc-1 add-Suc-right j-less(1) less-Suc-eq nat-neq-iff nth-list-update-eq nth-list-update-neq
  by simp
have 4: Suc ?i > 0
  by simp
have 5: Suc k = length tps1
  by (simp add: lentps tps1-def)
show ?thesis
  using ilen sem-cmd-bindec-23[of tps1 zs ?s Suc ?i, OF j1-neq 5 bs 1 2 3 4] by simp
qed
also have ... =
  (0, tps1[j1 := tps1 ! j1 |+| 1, j2 := tps1 ! j2 |:=| decsym ?s (tps1 :: j1) |+| 1, k := ⌈▷⌉])
  using assms(10) length-binencode by simp
also have ... =
  (0, tps1[j1 := tps1 ! j1 |+| 1, j2 := tps1 ! j2 |:=| decsym (zs ! (2*i)) (zs ! (Suc (2*i))) |+| 1, k := ⌈▷⌉])
  (is - = (0, ?tps))
  using * by simp
also have ... = (0, tps')
proof -
have len': length tps' = Suc k

```

```

    using assms lentps by simp
  have len1: length tps1 = Suc k
    using assms lentps tps1-def by simp
  have 1: ?tps ! k = tps' ! k
    using assms(7,11) by (simp add: j-less(1) j-less(2) len1 nat-neq-iff)
  have 2: ?tps ! j1 = tps' ! j1
    using assms(11) j1-neq j-less(1) lentps tps1-def by simp
  have ?tps ! j2 = tps1 ! j2 |:=| decsym (zs ! (2*i)) (zs ! (Suc (2*i))) |+| 1
    by (simp add: j-less(2) len1 less-Suc-eq nat-neq-iff)
  also have ... = tps ! j2 |:=| decsym (zs ! (2*i)) (zs ! (Suc (2*i))) |+| 1
    using tps1-def j1-neq j-less(2) len1 by force
  also have ... = ([bindecode (take (2 * i) zs)], Suc i) |:=| decsym (zs ! (2*i)) (zs ! (Suc (2*i))) |+| 1
    using assms(9) j1-neq j-less(2) len1 tps1-def by simp
  also have ... = ([bindecode (take (2 * i) zs)](Suc i := decsym (zs ! (2*i)) (zs ! (Suc (2*i))))), Suc (Suc i))
    by simp
  also have ... = ([bindecode (take (2 * i) zs) @ [decsym (zs ! (2*i)) (zs ! (Suc (2*i)))]]), Suc (Suc i))
    using contents-snoc[of bindecode (take (2 * i) zs)] ilen length-bindecode
  proof -
    have length (bindecode (take (2 * i) zs)) = i
      using ilen length-bindecode by simp
    then show ?thesis
      using contents-snoc[of bindecode (take (2 * i) zs)] by simp
  qed
  also have ... = ([bindecode (take (2 * Suc i) zs)], Suc (Suc i))
    using bindecode-take-snoc ilen by simp
  also have *: ... = tps' ! j2
    by (metis assms(11) j-less(2) length-list-update lentps less-Suc-eq nth-list-update-eq)
  finally have ?tps ! j2 = tps' ! j2 .
  with 1 2 assms(11) * show ?thesis
    unfolding tps1-def
    by (smt (verit) j-less(1) j-less(2) lentps less-Suc-eq list-update-id list-update-overwrite list-update-swap
    nat-neq-iff nth-list-update-eq nth-list-update-neq)
  qed
  finally have exe ?M (0, tps1) = (0, tps') .
  then have execute ?M (0, tps) 2 = (0, tps')
    using step1 by (simp add: numeral-2-eq-2)
  then show transits (tm-bindec j1 j2) (0, tps) 2 (0, tps')
    using execute-imp-transits by blast
  qed

context
  fixes tps :: tape list and zs :: symbol list
  assumes j1-neq: j1 ≠ j2
    and j1j2: 0 < j2 j1 < k j2 < k
    and lentps: Suc k = length tps
    and bs: bit-symbols zs
begin

lemma transits-tm-bindec':
  assumes tps ! k = [▷]
    and tps ! j1 = ([zs], 1)
    and tps ! j2 = ([[]], 1)
    and i ≤ length zs div 2
    and tps' = tps
    [j1 := ([zs], 2 * i + 1),
     j2 := ([bindecode (take (2 * i) zs)], Suc i)]
  shows transits (tm-bindec j1 j2) (0, tps) (2 * i) (0, tps')
  using assms(4,5)
proof (induction i arbitrary: tps')
  case 0
  then show ?case
    using assms(2,3) by (metis One-nat-def add.commute div-mult-self1-is-m execute.simps(1)
    le-numeral-extra(3) length-bindecode length-greater-0-conv list.size(3) list-update-id

```



```

    mult-0-right plus-1-eq-Suc take0 transits-def zero-less-numeral)
next
case (Suc i)
define tpsi where tpsi = tps
  [j1 := (⌊zs⌋, 2 * i + 1),
   j2 := (⌊bindecode (take (2*i) zs)⌋, Suc i)]
then have transits (tm-bindec j1 j2) (0, tps) (2 * i) (0, tpsi)
  using Suc by simp
moreover have transits (tm-bindec j1 j2) (0, tpsi) 2 (0, tps')
proof -
  have 1: tpsi ! k = ⌈▷⌋
    using tpsi-def by (simp add: assms(1) j-less(1) j-less(2) less-not-refl3)
  have 2: tpsi ! j1 = (⌊zs⌋, 2 * i + 1)
    using tpsi-def by (metis j1-neq j-less(1) lentps less-Suc-eq nth-list-update-eq nth-list-update-neq)
  have 3: tpsi ! j2 = (⌊bindecode (take (2 * i) zs)⌋, Suc i)
    using tpsi-def by (metis j-less(2) length-list-update lentps less-Suc-eq nth-list-update-eq)
  have 4: i < length zs div 2
    using Suc by simp
  have 5: tps' = tpsi
    [j1 := (⌊zs⌋, 2 * (Suc i) + 1),
     j2 := (⌊bindecode (take (2 * Suc i) zs)⌋, Suc (Suc i))]
    using Suc tpsi-def by (metis (no-types, opaque-lifting) list-update-overwrite list-update-swap)
  have 6: Suc k = length tpsi
    using tpsi-def lentps by simp
  show ?thesis
    using transits-tm-bindec[OF j1-neq j1j2 6 bs 1 2 3 4 5] .
qed
ultimately show transits (tm-bindec j1 j2) (0, tps) (2 * (Suc i)) (0, tps')
  using transits-additive by fastforce
qed

```

corollary *transits-tm-bindec''*:

```

assumes tps ! k = ⌈▷⌋
  and tps ! j1 = (⌊zs⌋, 1)
  and tps ! j2 = (⌊[]⌋, 1)
  and l = length zs div 2
  and tps' = tps
  [j1 := (⌊zs⌋, 2 * l + 1),
   j2 := (⌊bindecode (take (2 * l) zs)⌋, Suc l)]
shows transits (tm-bindec j1 j2) (0, tps) (2 * l) (0, tps')
  using assms transits-tm-bindec' by simp

```

In case the input is of odd length, that is, malformed:

lemma *transforms-tm-bindec-odd*:

```

assumes tps ! k = ⌈▷⌋
  and tps ! j1 = (⌊zs⌋, 1)
  and tps ! j2 = (⌊[]⌋, 1)
  and tps' = tps
  [j1 := (⌊zs⌋, 2 * l + 2),
   j2 := (⌊bindecode zs⌋, Suc l),
   k := ⌈todigit (last zs) + 2⌋]
  and l = length zs div 2
  and Suc (2 * l) = length zs
shows transforms (tm-bindec j1 j2) tps (2 * l + 2) tps'
proof -
  let ?ys = bindecode (take (2 * l) zs)
  let ?i = 2 * l + 1
  let ?M = tm-bindec j1 j2
  have ys: ?ys = bindecode zs
    using bindecode-odd assms(6) by (metis Suc-eq-plus1)
  have zs ≠ []
    using assms(6) by auto
  define tps1 where tps1 = tps

```

```

    [j1 := (⌊zs⌋, 2 * l + 1),
     j2 := (⌊?ys⌋, Suc l)]
define tps2 where tps2 = tps
    [j1 := (⌊zs⌋, 2 * l + 2),
     j2 := (⌊bindecode zs⌋, Suc l),
     k := ⌈todigit (tps1 :: j1) + 2⌋]
have transits ?M (0, tps) (2 * l) (0, tps1)
  using tps1-def assms transits-tm-bindec'' by simp
moreover have execute ?M (0, tps1) 1 = (0, tps2)
proof -
  have execute ?M (0, tps1) 1 = exe ?M (0, tps1)
    by simp
  also have ... = sem (cmd-bindec j1 j2) (0, tps1)
    using exe-lt-length tm-bindec-def by simp
  also have ... = (0, tps1[j1 := tps1 ! j1 |+| 1, k := ⌈todigit (tps1 :: j1) + 2⌋])
    (is - = (0, ?tps))
  proof -
    have tps1 ! j1 = (⌊zs⌋, ?i)
      using lentps tps1-def j1-neq j-less by simp
    moreover have ?i > 0
      by simp
    moreover have tps1 ! k = tps ! k
      using tps1-def by (simp add: j-less(1) j-less(2) nat-neq-iff)
    moreover have ?i ≤ length zs
      by (simp add: assms(6))
    ultimately have sem (cmd-bindec j1 j2) (0, tps1) = (0, ?tps)
      using sem-cmd-bindec-1 assms(1,4) bit-symbols-binencode bs j1-neq lentps tps1-def
      by (metis length-list-update)
    then show ?thesis
      by simp
  qed
also have ... = (0, tps2)
proof -
  have tps2 ! j1 = ?tps ! j1
    using tps1-def tps2-def j1-neq j-less(1) lentps by simp
  moreover have tps2 ! j2 = ?tps ! j2
    using tps1-def tps2-def j1-neq j-less(2) lentps ys by simp
  ultimately have tps2 = ?tps
    using tps2-def tps1-def j-less(1) lentps
    by (smt (verit) list-update-id list-update-overwrite list-update-swap)
  then show ?thesis
    by simp
  qed
finally show ?thesis .
qed
ultimately have transits ?M (0, tps) (2 * l + 1) (0, tps2)
  using execute-imp-transits transits-additive by blast
moreover have execute ?M (0, tps2) 1 = (1, tps')
proof -
  have execute ?M (0, tps2) 1 = exe ?M (0, tps2)
    by simp
  also have ... = sem (cmd-bindec j1 j2) (0, tps2)
    using exe-lt-length tm-bindec-def by simp
  also have ... = (1, tps2)
proof -
  have 2 * l + 2 > length zs
    using assms(5,6) by simp
  moreover have tps2 ! j1 = (⌊zs⌋, 2 * l + 2)
    using tps2-def j1-neq j-less(1) lentps by simp
  ultimately show ?thesis
    using sem-cmd-bindec-gt[of tps2 zs 2 * l + 2]
    by (metis bs j1-neq length-list-update lentps tps2-def)
  qed

```

```

moreover have tps2 = tps'
proof -
  have tps1 :: j1 = last zs
    using tps1-def assms ⟨zs ≠ []⟩ contents-inbounds
    by (metis Suc-leI add.commute fst-conv j1-neq j-less(1) last-conv-nth lentps less-Suc-eq
      nth-list-update-eq nth-list-update-neq plus-1-eq-Suc snd-conv zero-less-Suc)
  then show ?thesis
    using tps2-def assms(4) by simp
qed
ultimately show ?thesis
  by simp
qed
ultimately have transits ?M (0, tps) (2 * l + 2) (1, tps')
  using execute-imp-transits transits-additive by (smt (verit) ab-semigroup-add-class.add-ac(1) nat-1-add-1)
then show transforms (tm-bindec j1 j2) tps (2 * l + 2) tps'
  using transforms-def tm-bindec-def by simp
qed

```

In case the input is of even length, that is, properly encoded:

```

lemma transforms-tm-bindec-even:
assumes tps ! k = [▷]
  and tps ! j1 = ([zs], 1)
  and tps ! j2 = ([[]], 1)
  and tps' = tps
  [j1 := ([zs], 2 * l + 1),
   j2 := ([bindecode zs], Suc l)]
  and l = length zs div 2
  and 2 * l = length zs
shows transforms (tm-bindec j1 j2) tps (2 * l + 1) tps'
proof -
  let ?ys = bindecode (take (2 * l) zs)
  let ?i = 2 * l + 1
  let ?M = tm-bindec j1 j2
  have ys: ?ys = bindecode zs
    using assms(6) by simp
  have transits ?M (0, tps) (2 * l) (0, tps')
    using assms transits-tm-bindec'' by simp
  moreover have execute ?M (0, tps') 1 = (1, tps')
proof -
  have execute ?M (0, tps') 1 = exe ?M (0, tps')
    using assms(4) by simp
  also have ... = sem (cmd-bindec j1 j2) (0, tps')
    using exe-lt-length tm-bindec-def by simp
  also have ... = (1, tps')
proof -
  have tps' ! j1 = ([zs], ?i)
    using lentps assms(4) j1-neq j-less by simp
  moreover have ?i > length zs
    using assms(6) by simp
  moreover have tps' ! k = tps ! k
    using assms(4) by (simp add: j-less(1) j-less(2) nat-neq-iff)
  ultimately have sem (cmd-bindec j1 j2) (0, tps') = (1, tps')
    using sem-cmd-bindec-gt assms(1,4) bit-symbols-binencode bs j1-neq lentps assms(4)
    by simp
  then show ?thesis
    by simp
qed
finally show ?thesis .
qed
ultimately have transits ?M (0, tps) (2 * l + 1) (1, tps')
  using execute-imp-transits transits-additive by blast
then show transforms (tm-bindec j1 j2) tps (2 * l + 1) tps'
  using tm-bindec-def transforms-def by simp

```

qed

lemma *transforms-tm-bindec*:

```
assumes tps ! k = [▷]
  and tps ! j1 = (⟦zs⟧, 1)
  and tps ! j2 = (⟦⟦⟦⟧, 1)
  and tps' = tps
  [j1 := (⟦zs⟧, Suc (length zs)),
   j2 := (⟦bindec zs⟧, Suc (length zs div 2)),
   k := [if even (length zs) then 1 else (todigit (last zs) + 2)]]
shows transforms (tm-bindec j1 j2) tps (Suc (length zs)) tps'
proof (cases even (length zs))
  case True
  then show ?thesis
    using transforms-tm-bindec-even[OF assms(1-3)] assms(1,4) j-less(1) j-less(2)
    by (smt (verit) Suc-eq-plus1 dvd-mult-div-cancel list-update-id list-update-swap nat-neq-iff)
  next
  case False
  then show ?thesis
    using assms(4) transforms-tm-bindec-odd[OF assms(1-3)] by simp
qed
```

end

end

Next we eliminate the memorization tape from *tm-bindec*.

lemma *transforms-cartesian-bindec*:

```
assumes G ≥ (6 :: nat)
assumes j1 ≠ j2
  and j1j2: 0 < j2 j1 < k j2 < k
  and k = length tps
  and bit-symbols zs
assumes tps ! j1 = (⟦zs⟧, 1)
  and tps ! j2 = (⟦⟦⟦⟧, 1)
assumes t = Suc (length zs)
  and tps' = tps
  [j1 := (⟦zs⟧, Suc (length zs)),
   j2 := (⟦bindec zs⟧, Suc (length zs div 2))]
shows transforms (cartesian (tm-bindec j1 j2) 4) tps t tps'
proof (rule cartesian-transforms-onesie)
  show turing-machine (Suc k) G (tm-bindec j1 j2)
    using tm-bindec-tm assms(1) j1j2 by simp
  show immobile (tm-bindec j1 j2) k (Suc k)
  proof (standard+)
    fix q :: nat and rs :: symbol list
    assume q < length (tm-bindec j1 j2) length rs = Suc k
    then have *: tm-bindec j1 j2 ! q = cmd-bindec j1 j2
      using tm-bindec-def by simp
    moreover have cmd-bindec j1 j2 rs [~] k = Stay
      using cmd-bindec-def ⟨length rs = Suc k⟩ j1j2
      by (smt (verit, best) add-diff-inverse-nat diff-zero length-upt lessI less-nat-zero-code
          nat-neq-iff nth-map nth-upt prod.sel(2))
    ultimately show (tm-bindec j1 j2 ! q) rs [~] k = Stay
      using * by simp
  qed
  show 2 ≤ k
    using j1j2 by linarith
  show (1::nat) < 4
    by simp
  show length tps = k
    using assms(3,6) by simp
  show bounded-write (tm-bindec j1 j2) k 4
```

```

proof –
{ fix  $q :: \text{nat}$  and  $rs :: \text{symbol list}$ 
  assume  $q : q < \text{length } (\text{tm-bindec } j1 \ j2)$  and  $rs : \text{length } rs = \text{Suc } k$ 
  then have  $\text{tm-bindec } j1 \ j2 \ ! \ q = \text{cmd-bindec } j1 \ j2$ 
    using  $\text{tm-bindec-def}$  by  $\text{simp}$ 
  have  $\text{cmd-bindec } j1 \ j2 \ rs \ [\ ] \ (\text{length } rs - 1) < 4 \vee \text{fst } (\text{cmd-bindec } j1 \ j2 \ rs) = 1$ 
  proof ( $\text{cases } rs \ ! \ j1 = 0$ )
    case  $\text{True}$ 
      then show  $?thesis$ 
        using  $\text{cmd-bindec-def}$  by  $\text{simp}$ 
    next
      case  $\text{else: False}$ 
      show  $?thesis$ 
      proof ( $\text{cases last } rs = 1$ )
        case  $\text{True}$ 
          then have  $\text{snd } (\text{cmd-bindec } j1 \ j2 \ rs) = \text{map } (\lambda i.$ 
             $\text{if } i = j1 \ \text{then } (rs \ ! \ i, \ \text{Right})$ 
             $\text{else if } i = j2 \ \text{then } (rs \ ! \ i, \ \text{Stay})$ 
             $\text{else if } i = \text{length } rs - 1 \ \text{then } (\text{todigit } (rs \ ! \ j1) + 2, \ \text{Stay})$ 
             $\text{else } (rs \ ! \ i, \ \text{Stay})) \ [0..<\text{length } rs]$ 
          using  $\text{else cmd-bindec-def}$  by  $\text{simp}$ 
          then have  $\text{snd } (\text{cmd-bindec } j1 \ j2 \ rs) \ ! \ k = (\text{todigit } (rs \ ! \ j1) + 2, \ \text{Stay})$ 
            using  $rs \ j1j2$ 
            by ( $\text{smt } (\text{verit}) \ \text{add.left-neutral diff-Suc-1 diff-zero length-upt lessI nat-neq-iff nth-map nth-upt}$ )
          then show  $?thesis$ 
            using  $rs$  by  $\text{simp}$ 
        next
          case  $\text{False}$ 
          then have  $\text{snd } (\text{cmd-bindec } j1 \ j2 \ rs) = \text{map } (\lambda i.$ 
             $\text{if } i = j1 \ \text{then } (rs \ ! \ i, \ \text{Right})$ 
             $\text{else if } i = j2 \ \text{then } (2 * \text{todigit } (\text{last } rs) + \text{todigit } (rs \ ! \ j1) + 2, \ \text{Right})$ 
             $\text{else if } i = \text{length } rs - 1 \ \text{then } (1, \ \text{Stay})$ 
             $\text{else } (rs \ ! \ i, \ \text{Stay})) \ [0..<\text{length } rs]$ 
          using  $\text{else cmd-bindec-def}$  by  $\text{simp}$ 
          then have  $\text{snd } (\text{cmd-bindec } j1 \ j2 \ rs) \ ! \ k = (1, \ \text{Stay})$ 
            using  $rs \ j1j2$ 
            by ( $\text{smt } (\text{verit}) \ \text{add.left-neutral diff-Suc-1 diff-zero length-upt lessI nat-neq-iff nth-map nth-upt}$ )
          then show  $?thesis$ 
            using  $rs$  by  $\text{simp}$ 
        qed
      qed
    }
  then show  $?thesis$ 
    using  $\text{bounded-write-def tm-bindec-def}$  by  $\text{simp}$ 
qed
let  $?c = \text{if even } (\text{length } zs) \ \text{then } \triangleright \ \text{else } (\text{todigit } (\text{last } zs) + 2)$ 
show  $\text{transforms } (\text{tm-bindec } j1 \ j2) \ (tps \ @ \ [\triangleright]) \ t \ (tps' \ @ \ [\ ?c])$ 
  ( $\text{is transforms - ?tps } t \ ?tps'$ )
proof –
  have  $?tps \ ! \ k = [\triangleright]$ 
    by ( $\text{simp add: assms}(6)$ )
  moreover have  $?tps \ ! \ j1 = ([zs], \ 1)$ 
    by ( $\text{metis assms}(6) \ \text{assms}(8) \ j1j2(2) \ \text{nth-append}$ )
  moreover have  $?tps \ ! \ j2 = ([\ ], \ 1)$ 
    by ( $\text{metis assms}(6) \ \text{assms}(9) \ j1j2(3) \ \text{nth-append}$ )
  moreover have  $?tps' = ?tps$ 
     $j1 := ([zs], \ \text{Suc } (\text{length } zs)),$ 
     $j2 := ([\ \text{bindecode } zs], \ \text{Suc } (\text{length } zs \ \text{div } 2)),$ 
     $k := [\ ?c]$ 
    by ( $\text{metis } (\text{no-types, lifting}) \ \text{assms}(6,11) \ j1j2(2,3) \ \text{length-list-update list-update-append1 list-update-length}$ )
  ultimately show  $?thesis$ 
    using  $\text{transforms-tm-bindec}[of \ j1 \ k \ j2 \ ?tps \ zs \ ?tps'] \ \text{assms}$  by  $\text{simp}$ 
qed

```

qed

The next Turing machine decodes a bit symbol sequence given on tape  $j_1$  into a quaternary symbol sequence output to tape  $j_2$ . It executes the previous TM followed by carriage returns on the tapes  $j_1$  and  $j_2$ .

**definition** *tm-bindecode* :: *tapeidx*  $\Rightarrow$  *tapeidx*  $\Rightarrow$  *machine* **where**  
*tm-bindecode*  $j_1$   $j_2 \equiv$  *cartesian* (*tm-bindec*  $j_1$   $j_2$ ) 4 ;; *tm-cr*  $j_1$  ;; *tm-cr*  $j_2$

**lemma** *tm-bindecode-tm*:

**fixes**  $j_1$   $j_2$  :: *tapeidx* **and**  $G$   $k$  :: *nat*  
**assumes**  $G \geq 6$  **and**  $j_1 < k$  **and**  $j_2 < k$  **and**  $0 < j_2$  **and**  $j_1 \neq j_2$   
**shows** *turing-machine*  $k$   $G$  (*tm-bindecode*  $j_1$   $j_2$ )  
**using** *assms* *tm-bindec-tm* *tm-bindecode-def* *cartesian-tm* *tm-cr-tm* **by** *simp*

**locale** *turing-machine-bindecode* =

**fixes**  $j_1$   $j_2$  :: *tapeidx*

**begin**

**definition** *tm1*  $\equiv$  *cartesian* (*tm-bindec*  $j_1$   $j_2$ ) 4

**definition** *tm2*  $\equiv$  *tm1* ;; *tm-cr*  $j_1$

**definition** *tm3*  $\equiv$  *tm2* ;; *tm-cr*  $j_2$

**lemma** *tm3-eq-tm-bindecode*: *tm3* = *tm-bindecode*  $j_1$   $j_2$   
**using** *tm1-def* *tm2-def* *tm3-def* *tm-bindecode-def* **by** *simp*

**context**

**fixes** *tps0* :: *tape list* **and** *zs* :: *symbol list* **and**  $k$  :: *nat*  
**assumes** *jk*:  $j_1 < k$   $j_2 < k$   $0 < j_2$   $j_1 \neq j_2$   $k = \text{length } tps0$   
**assumes** *zs*: *bit-symbols* *zs*  
**assumes** *tps0*:  
 $tps0 ! j_1 = (\lfloor zs \rfloor, 1)$   
 $tps0 ! j_2 = (\lfloor \square \rfloor, 1)$

**begin**

**definition** *tps1*  $\equiv$  *tps0*

$j_1 := (\lfloor zs \rfloor, \text{Suc } (\text{length } zs))$ ,  
 $j_2 := (\lfloor \text{bindecode } zs \rfloor, \text{Suc } (\text{length } zs \text{ div } 2))$

**lemma** *tm1* [*transforms-intros*]:

**assumes**  $t = \text{Suc } (\text{length } zs)$   
**shows** *transforms* *tm1* *tps0*  $t$  *tps1*  
**unfolding** *tm1-def*  
**using** *transforms-cartesian-bindec* *assms* *jk* *tps0* *zs* *tps1-def* **by** *blast*

**definition** *tps2*  $\equiv$  *tps0*

$j_2 := (\lfloor \text{bindecode } zs \rfloor, \text{Suc } (\text{length } zs \text{ div } 2))$

**lemma** *tm2* [*transforms-intros*]:

**assumes**  $t = 2 * \text{length } zs + 4$   
**shows** *transforms* *tm2* *tps0*  $t$  *tps2*  
**unfolding** *tm2-def*

**proof** (*tform* *tps*: *assms*)

**show**  $j_1 < \text{length } tps1$

**using** *jk* *tps1-def* **by** *simp*

**show** *clean-tape* (*tps1* !  $j_1$ )

**using** *jk* *zs* *clean-contents-proper* *tps1-def* **by** *fastforce*

**show**  $tps2 = tps1[j_1 := tps1 ! j_1 \mid \# = \mid 1]$

**using** *tps0* *jk* *tps2-def* *tps1-def*

**by** (*metis* (*no-types*, *lifting*) *fst-conv* *list-update-id* *list-update-overwrite* *list-update-swap* *nth-list-update-eq* *nth-list-update-neq*)

**show**  $t = \text{Suc } (\text{length } zs) + (tps1 \mid \# : j_1 + 2)$

**using** *assms*(1) *jk* *tps1-def* **by** *simp*

qed

```

definition tps3  $\equiv$  tps0
  [j2 := ( $\lfloor$ bindecode zs $\rfloor$ , 1)]

lemma tm3:
  assumes t = 2 * length zs + 7 + length zs div 2
  shows transforms tm3 tps0 t tps3
  unfolding tm3-def
proof (tform tps: jk tps2-def tps3-def assms)
  show clean-tape (tps2 ! j2)
    using jk bindecode-at tps2-def by simp
qed

lemma tm3':
  assumes t = 7 + 3 * length zs
  shows transforms tm3 tps0 t tps3
proof –
  have 7 + 3 * length zs  $\geq$  2 * length zs + 7 + length zs div 2
    by simp
  then show ?thesis
    using transforms-monotone tm3 assms tps3-def by simp
qed

end

end

lemma transforms-tm-bindecodeI [transforms-intros]:
  fixes j1 j2 :: tapeidx
  fixes tps :: tape list and zs :: symbol list and k ttt :: nat
  assumes j1 < k and j2 < k and 0 < j2 and j1  $\neq$  j2 and k = length tps
  and bit-symbols zs
  assumes
    tps ! j1 = ( $\lfloor$ zs $\rfloor$ , 1)
    tps ! j2 = ( $\lfloor$  $\lfloor$  $\rfloor$  $\rfloor$ , 1)
  assumes ttt = 7 + 3 * length zs
  assumes tps' = tps
  [j2 := ( $\lfloor$ bindecode zs $\rfloor$ , 1)]
  shows transforms (tm-bindecode j1 j2) tps ttt tps'
proof –
  interpret loc: turing-machine-bindecode j1 j2 .
  show ?thesis
    using loc.tm3-eq-tm-bindecode loc.tm3' loc.tps3-def assms by simp
qed

end

```

## 2.11 Symbol sequence operations

```

theory Symbol-Ops
  imports Two-Four-Symbols
begin

```

While previous sections have focused on “formatted” symbol sequences for numbers and lists, in this section we devise some Turing machines dealing with “unstructured” arbitrary symbol sequences. The only “structure” that is often imposed is that of not containing a blank symbol because when reading a symbol sequence, say from the input tape, a blank would signal the end of the symbol sequence.

### 2.11.1 Checking for being over an alphabet

In this section we devise a Turing machine that checks if a proper symbol sequence is over a given alphabet represented by an upper bound symbol  $z$ .

**abbreviation** *proper-symbols-lt* :: *symbol*  $\Rightarrow$  *symbol list*  $\Rightarrow$  *bool* **where**  
*proper-symbols-lt* *z* *zs*  $\equiv$  *proper-symbols* *zs*  $\wedge$  *symbols-lt* *z* *zs*

The next Turing machine checks if the symbol sequence (up until the first blank) on tape  $j_1$  contains only symbols from  $\{2, \dots, z-1\}$ , where  $z$  is a parameter of the TM, and writes to tape  $j_2$  the number 1 or 0, representing True or False, respectively. It assumes that  $j_2$  initially contains at most one symbol.

**definition** *tm-proper-symbols-lt* :: *tapeidx*  $\Rightarrow$  *tapeidx*  $\Rightarrow$  *symbol*  $\Rightarrow$  *machine* **where**

```

tm-proper-symbols-lt j1 j2 z  $\equiv$ 
  tm-write j2 1 ;;
  WHILE [] ;  $\lambda$ rs. rs ! j1  $\neq$  [] DO
    IF  $\lambda$ rs. rs ! j1 < 2  $\vee$  rs ! j1  $\geq$  z THEN
      tm-write j2 []
    ELSE
      []
    ENDIF ;;
  tm-right j1
  DONE ;;
  tm-cr j1

```

**lemma** *tm-proper-symbols-lt-tm*:

```

assumes 0 < j2 j1 < k j2 < k and G  $\geq$  4
shows turing-machine k G (tm-proper-symbols-lt j1 j2 z)
using assms tm-write-tm tm-right-tm tm-cr-tm Nil-tm tm-proper-symbols-lt-def
  turing-machine-loop-turing-machine turing-machine-branch-turing-machine
by simp

```

**locale** *turing-machine-proper-symbols-lt* =

fixes  $j_1 j_2 :: \text{tapeidx}$  and  $z :: \text{symbol}$

**begin**

**definition**  $tm1 \equiv tm\text{-write } j_2 \ 1$

**definition**  $tm2 \equiv IF \lambda rs. rs ! j_1 < 2 \vee rs ! j_1 \geq z THEN tm\text{-write } j_2 \ [] \ ELSE [] \ ENDIF$

**definition**  $tm3 \equiv tm2 ;; tm\text{-right } j_1$

**definition**  $tm4 \equiv WHILE [] ; \lambda rs. rs ! j_1 \neq [] DO tm3 \ DONE$

**definition**  $tm5 \equiv tm1 ;; tm4$

**definition**  $tm6 \equiv tm5 ;; tm\text{-cr } j_1$

**lemma** *tm6-eq-tm-proper-symbols-lt*:  $tm6 = tm\text{-proper-symbols-lt } j_1 \ j_2 \ z$

```

unfolding tm6-def tm5-def tm4-def tm3-def tm2-def tm1-def tm-proper-symbols-lt-def
by simp

```

**context**

fixes  $zs :: \text{symbol list}$  and  $tps0 :: \text{tape list}$  and  $k :: \text{nat}$

assumes  $jk: k = \text{length } tps0 \ j_1 \neq j_2 \ j_1 < k \ j_2 < k$

and  $zs: \text{proper-symbols } zs$

and  $tps0$ :

$tps0 ! j_1 = ([zs], 1)$

$tps0 ! j_2 = ([[]], 1)$

**begin**

**definition**  $tps1 \ t \equiv tps0$

$j_1 := ([zs], \text{Suc } t),$

$j_2 := (\text{if } \text{proper-symbols-lt } z \ (\text{take } t \ zs) \ \text{then } [[1]] \ \text{else } [[]], 1)$

**lemma** *tm1 [transforms-intros]*: *transforms*  $tm1 \ tps0 \ 1 \ (tps1 \ 0)$

**unfolding** *tm1-def*

**proof** (*tform*  $tps: \ jk \ tps0$ )

**have** (*if* *proper-symbols-lt*  $z \ (\text{take } 0 \ zs) \ \text{then } [[1]] \ \text{else } [[]], 1) = ([[1]], 1)$

**by** *simp*

**moreover** **have**  $tps0 ! j_2 \ |:=| \ 1 = ([[1]], 1)$

**using**  $tps0(2) \ \text{contents-def}$  **by** *auto*

**moreover** **have**  $tps0[j_1] := ([zs], \text{Suc } 0) = tps0$

**using**  $tps0(1)$  **by** (*metis* *One-nat-def list-update-id*)



ultimately show  $tps1\ 0 = tps0[j2 := tps0 ! j2 \mid=] \mathbf{1}$   
 unfolding  $tps1\text{-def}$  by *auto*  
 qed

**definition**  $tps2\ t \equiv tps0$

$j1 := (\lfloor zs \rfloor, Suc\ t),$

$j2 := (if\ proper\text{-symbols}\text{-lt}\ z\ (take\ (Suc\ t)\ zs)\ then\ \llbracket \mathbf{1} \rrbracket\ else\ \llbracket \square \rrbracket, 1)$

**lemma**  $tm2$  [*transforms-intros*]:

assumes  $t < length\ zs$

shows *transforms*  $tm2\ (tps1\ t)\ 3\ (tps2\ t)$

unfolding  $tm2\text{-def}$

**proof** (*tform*  $tps: jk\ tps1\text{-def}$ )

have  $tps1\ t ! j1 = (\lfloor zs \rfloor, Suc\ t)$

using  $tps1\text{-def}\ jk$  by *simp*

moreover have  $read\ (tps1\ t) ! j1 = tps1\ t :: j1$

using *tapes-at-read'*  $jk\ tps1\text{-def}$  by (*metis* (*no-types*, *lifting*) *length-list-update*)

ultimately have  $*: read\ (tps1\ t) ! j1 = zs ! t$

using *contents-inbounds* *assms*(1) by *simp*

have  $j2: tps1\ t ! j2 = (if\ proper\text{-symbols}\text{-lt}\ z\ (take\ t\ zs)\ then\ \llbracket \mathbf{1} \rrbracket\ else\ \llbracket \square \rrbracket, 1)$

using  $tps1\text{-def}\ jk$  by *simp*

show  $tps2\ t = (tps1\ t)[j2 := tps1\ t ! j2 \mid=] \square$  if  $read\ (tps1\ t) ! j1 < 2 \vee z \leq read\ (tps1\ t) ! j1$

**proof** –

have  $c3: (\llbracket \mathbf{1} \rrbracket, 1) \mid= \square = (\llbracket \square \rrbracket, 1)$

using *contents-def* by *auto*

have  $(if\ proper\text{-symbols}\text{-lt}\ z\ (take\ t\ zs)\ then\ \llbracket \mathbf{1} \rrbracket\ else\ \llbracket \square \rrbracket, 1) \mid= \square =$

$(if\ proper\text{-symbols}\text{-lt}\ z\ (take\ (Suc\ t)\ zs)\ then\ \llbracket \mathbf{1} \rrbracket\ else\ \llbracket \square \rrbracket, 1)$

**proof** (*cases* *proper-symbols-lt*  $z\ (take\ t\ zs)$ )

case *True*

have  $zs ! t < 2 \vee z \leq zs ! t$

using *that*  $*$  by *simp*

then have  $\neg\ proper\text{-symbols}\text{-lt}\ z\ (take\ (Suc\ t)\ zs)$

using *assms*(1) by *auto*

then show *?thesis*

using  $c3$  by *auto*

next

case *False*

then have  $\neg\ proper\text{-symbols}\text{-lt}\ z\ (take\ (Suc\ t)\ zs)$

by *auto*

then show *?thesis*

using  $c3\ False$  by *auto*

qed

then have  $tps1\ t ! j2 \mid= \square = (if\ proper\text{-symbols}\text{-lt}\ z\ (take\ (Suc\ t)\ zs)\ then\ \llbracket \mathbf{1} \rrbracket\ else\ \llbracket \square \rrbracket, 1)$

using  $j2$  by *simp*

then show  $tps2\ t = (tps1\ t)[j2 := tps1\ t ! j2 \mid=] \square$

unfolding  $tps2\text{-def}\ tps1\text{-def}$  using  $c3\ jk(1,4)$  by *simp*

qed

show  $tps2\ t = tps1\ t$  if  $\neg\ (read\ (tps1\ t) ! j1 < 2 \vee z \leq read\ (tps1\ t) ! j1)$

**proof** –

have  $1: zs ! t \geq 2 \wedge z > zs ! t$

using *that*  $*$  by *simp*

show  $tps2\ t = tps1\ t$

**proof** (*cases* *proper-symbols-lt*  $z\ (take\ t\ zs)$ )

case *True*

have *proper-symbols-lt*  $z\ (take\ (Suc\ t)\ zs)$

using *True* 1 *assms*(1)  $zs$  by (*metis* *length-take* *less-antisym* *min-less-iff-conj* *nth-take*)

then show *?thesis*

using  $tps1\text{-def}\ tps2\text{-def}\ jk$  by *simp*

next

case *False*

then have  $\neg\ proper\text{-symbols}\text{-lt}\ z\ (take\ (Suc\ t)\ zs)$

by *auto*

then show *?thesis*

```

    using tps1-def tps2-def jk False by auto
  qed
qed
qed

lemma tm3 [transforms-intros]:
  assumes t < length zs
  shows transforms tm3 (tps1 t) 4 (tps1 (Suc t))
  unfolding tm3-def
proof (tform tps: assms jk tps2-def)
  have tps2 t ! j1 |+| 1 = ([zs], Suc (Suc t))
    using tps2-def jk by simp
  then show tps1 (Suc t) = (tps2 t)[j1 := tps2 t ! j1 |+| 1]
    unfolding tps1-def tps2-def
    by (metis (no-types, lifting) jk(2) list-update-overwrite list-update-swap)
qed

```

```

lemma tm4 [transforms-intros]:
  assumes ttt = 1 + 6 * length zs
  shows transforms tm4 (tps1 0) ttt (tps1 (length zs))
  unfolding tm4-def
proof (tform time: assms)
  show read (tps1 t) ! j1 ≠ □ if t < length zs for t
  proof -
    have tps1 t ! j1 = ([zs], Suc t)
      using tps1-def jk by simp
    moreover have read (tps1 t) ! j1 = tps1 t :: j1
      using tapes-at-read' jk tps1-def by (metis (no-types, lifting) length-list-update)
    ultimately have read (tps1 t) ! j1 = zs ! t
      using contents-inbounds that by simp
    then show ?thesis
      using zs that by auto
  qed
  show ¬ read (tps1 (length zs)) ! j1 ≠ □
  proof -
    have tps1 (length zs) ! j1 = ([zs], Suc (length zs))
      using tps1-def jk by simp
    moreover have read (tps1 (length zs)) ! j1 = tps1 (length zs) :: j1
      using tapes-at-read' jk tps1-def by (metis (no-types, lifting) length-list-update)
    ultimately show ?thesis
      by simp
  qed
qed

```

```

lemma tm5 [transforms-intros]:
  assumes ttt = 2 + 6 * length zs
  shows transforms tm5 tps0 ttt (tps1 (length zs))
  unfolding tm5-def
  by (tform time: assms)

```

```

definition tps5 ≡ tps0
  [j1 := ([zs], 1),
   j2 := (if proper-symbols-lt z zs then [[1]] else [], 1)]

```

```

definition tps5' ≡ tps0
  [j2 := (if proper-symbols-lt z zs then [[1]] else [], 1)]

```

```

lemma tm6:
  assumes ttt = 5 + 7 * length zs
  shows transforms tm6 tps0 ttt tps5'
  unfolding tm6-def
proof (tform time: assms tps1-def jk)
  have *: tps1 (length zs) ! j1 = ([zs], Suc (length zs))

```

```

    using tps1-def jk by simp
  show clean-tape (tps1 (length zs) ! j1)
    using * zs by simp
  have tps5 = (tps1 (length zs))[j1 := ([zs], Suc (length zs)) |#|= 1]
    unfolding tps5-def tps1-def by (simp add: list-update-swap[OF jk(2)])
  then have tps5 = (tps1 (length zs))[j1 := tps1 (length zs) ! j1 |#|= 1]
    using * by simp
  moreover have tps5' = tps5
    using tps5'-def tps5-def tps0 jk by (metis list-update-id)
  ultimately show tps5' = (tps1 (length zs))[j1 := tps1 (length zs) ! j1 |#|= 1]
    by simp
qed

```

```

definition tps6 ≡ tps0
  [j2 := ([proper-symbols-lt z zs]B, 1)]

```

```

lemma tm6':
  assumes ttt = 5 + 7 * length zs
  shows transforms tm6 tps0 ttt tps6
proof -
  have tps6 = tps5'
    using tps6-def tps5'-def canrepr-0 canrepr-1 by auto
  then show ?thesis
    using tm6 assms by simp
qed

```

end

end

```

lemma transforms-tm-proper-symbols-ltI [transforms-intros]:
  fixes j1 j2 :: tapeidx and z :: symbol
  fixes zs :: symbol list and tps tps' :: tape list and k :: nat
  assumes k = length tps j1 ≠ j2 j1 < k j2 < k
    and proper-symbols zs
  assumes
    tps ! j1 = ([zs], 1)
    tps ! j2 = ([[]], 1)
  assumes ttt = 5 + 7 * length zs
  assumes tps' = tps
    [j2 := ([proper-symbols-lt z zs]B, 1)]
  shows transforms (tm-proper-symbols-lt j1 j2 z) tps ttt tps'
proof -
  interpret loc: turing-machine-proper-symbols-lt j1 j2 .
  show ?thesis
    using assms loc.tm6-eq-tm-proper-symbols-lt loc.tps6-def loc.tm6' by simp
qed

```

## 2.11.2 The length of the input

The Turing machine in this section reads the input tape until the first blank and increments a counter on tape  $j$  for every symbol read. In the end it performs a carriage return on the input tape, and tape  $j$  contains the length of the input as binary number. For this to work, tape  $j$  must initially be empty.

```

lemma proper-tape-read:
  assumes proper-symbols zs
  shows |.| ([zs], i) = □ ↔ i > length zs
proof -
  have |.| ([zs], i) = □ if i > length zs for i
    using that contents-outofbounds by simp
  moreover have |.| ([zs], i) ≠ □ if i ≤ length zs for i
    using that contents-inbounds assms contents-def proper-symbols-ne0 by simp
  ultimately show ?thesis
    by (meson le-less-linear)

```

qed

**definition** *tm-length-input* :: *tapeidx*  $\Rightarrow$  *machine* **where**

```
tm-length-input j  $\equiv$ 
  WHILE [] ;  $\lambda$ rs. rs ! 0  $\neq$  [] DO
    tm-incr j ;;
    tm-right 0
  DONE ;;
  tm-cr 0
```

**lemma** *tm-length-input-tm*:

```
assumes  $G \geq 4$  and  $0 < j$  and  $j < k$ 
shows turing-machine k G (tm-length-input j)
using tm-length-input-def tm-incr-tm assms Nil-tm tm-right-tm tm-cr-tm
by (simp add: turing-machine-loop-turing-machine)
```

**locale** *turing-machine-length-input* =

```
fixes j :: tapeidx
```

**begin**

**definition** *tmL1*  $\equiv$  *tm-incr* *j*

**definition** *tmL2*  $\equiv$  *tmL1* ;; *tm-right* 0

**definition** *tm1*  $\equiv$  WHILE [] ;  $\lambda$ rs. rs ! 0  $\neq$  [] DO *tmL2* DONE

**definition** *tm2*  $\equiv$  *tm1* ;; *tm-cr* 0

**lemma** *tm2-eq-tm-length-input*: *tm2* = *tm-length-input* *j*

```
unfolding tm2-def tm1-def tmL2-def tmL1-def tm-length-input-def by simp
```

**context**

```
fixes tps0 :: tape list and k :: nat and zs :: symbol list
```

```
assumes jk:  $0 < j < k$  length tps0 = k
```

```
and zs: proper-symbols zs
```

```
and tps0:
```

```
  tps0 ! 0 = ([zs], 1)
```

```
  tps0 ! j = ([0]N, 1)
```

**begin**

**definition** *tpsL* :: *nat*  $\Rightarrow$  *tape list* **where**

```
tpsL t  $\equiv$  tps0[0 := ([zs], 1 + t), j := ([t]N, 1)]
```

**lemma** *tpsL-eq-tps0*: *tpsL* 0 = *tps0*

```
using tpsL-def tps0 jk by (metis One-nat-def list-update-id plus-1-eq-Suc)
```

**definition** *tpsL1* :: *nat*  $\Rightarrow$  *tape list* **where**

```
tpsL1 t  $\equiv$  tps0[0 := ([zs], 1 + t), j := ([Suc t]N, 1)]
```

**definition** *tpsL2* :: *nat*  $\Rightarrow$  *tape list* **where**

```
tpsL2 t  $\equiv$  tps0[0 := ([zs], 1 + Suc t), j := ([Suc t]N, 1)]
```

**lemma** *tmL1* [*transforms-intros*]:

```
assumes  $t < \text{length } zs$  and  $ttt = 5 + 2 * nlength\ t$ 
```

```
shows transforms tmL1 (tpsL t) ttt (tpsL1 t)
```

```
unfolding tmL1-def
```

```
by (tform tps: assms(1) tpsL-def tpsL1-def tps0 jk time: assms(2))
```

**lemma** *tmL2*:

```
assumes  $t < \text{length } zs$  and  $ttt = 6 + 2 * nlength\ t$ 
```

```
shows transforms tmL2 (tpsL t) ttt (tpsL (Suc t))
```

```
unfolding tmL2-def
```

**proof** (*tform* *tps*: *assms*(1) *tpsL-def* *tpsL1-def* *tps0 jk* *time*: *assms*(2))

```
have tpsL1 t ! 0 = ([zs], 1 + t)
```

```
using tpsL2-def tpsL1-def jk tps0 by simp
```

```
then have tpsL2 t = (tpsL1 t)[0 := tpsL1 t ! 0 |#|= Suc (tpsL1 t :# 0)]
```

```

    using tpsL2-def tpsL1-def jk tps0
    by (smt (verit) fstI list-update-overwrite list-update-swap nat-neq-iff plus-1-eq-Suc prod.sel(2))
  then show tpsL (Suc t) = (tpsL1 t)[0 := tpsL1 t ! 0 |+| 1]
    using tpsL2-def tpsL-def tpsL1-def jk tps0 by simp
qed

```

```

lemma tmL2':
  assumes t < length zs and ttt = 6 + 2 * nlength (length zs)
  shows transforms tmL2 (tpsL t) ttt (tpsL (Suc t))
proof -
  have 6 + 2 * nlength t ≤ 6 + 2 * nlength (length zs)
    using assms(1) nlength-mono by simp
  then show ?thesis
    using assms tmL2 transforms-monotone by blast
qed

```

```

lemma tm1:
  assumes ttt = length zs * (8 + 2 * nlength (length zs)) + 1
  shows transforms tm1 (tpsL 0) ttt (tpsL (length zs))
  unfolding tm1-def
proof (tform)
  let ?t = 6 + 2 * nlength (length zs)
  show  $\bigwedge t. t < \text{length } zs \implies \text{transforms } tmL2 (tpsL t) ?t (tpsL (Suc t))$ 
    using tmL2' by simp
  have *:  $tpsL t ! 0 = (\lfloor zs \rfloor, Suc t)$  for t
    using tpsL-def jk by simp
  then show  $\bigwedge t. t < \text{length } zs \implies \text{read } (tpsL t) ! 0 \neq \square$ 
    using proper-tape-read[OF zs] tpsL-def jk tapes-at-read'
    by (metis length-list-update less-Suc-eq-0-disj not-less-eq)
  show  $\neg \text{read } (tpsL (length zs)) ! 0 \neq \square$ 
    using proper-tape-read[OF zs] tpsL-def jk tapes-at-read' *
    by (metis length-list-update less-Suc-eq-0-disj less-imp-Suc-add nat-neq-iff not-less-less-Suc-eq)
  show length zs * (6 + 2 * nlength (length zs) + 2) + 1 ≤ ttt
    using assms by simp
qed

```

```

lemma tmL' [transforms-intros]:
  assumes ttt = 10 * length zs ^ 2 + 1
  shows transforms tm1 (tpsL 0) ttt (tpsL (length zs))
proof -
  let ?ttt = length zs * (8 + 2 * nlength (length zs)) + 1
  have ?ttt ≤ length zs * (8 + 2 * length zs) + 1
    using nlength-le-n by simp
  also have ... ≤ 8 * length zs + 2 * length zs ^ 2 + 1
    by (simp add: add-mult-distrib2 power2-eq-square)
  also have ... ≤ 10 * length zs ^ 2 + 1
    using linear-le-pow by simp
  finally have ?ttt ≤ 10 * length zs ^ 2 + 1 .
  then show ?thesis
    using tm1 assms transforms-monotone by simp
qed

```

```

definition tps2 :: tape list where
  tps2 ≡ tps0[0 := ( $\lfloor zs \rfloor$ , 1), j := ( $\lfloor \text{length } zs \rfloor_N$ , 1)]

```

```

lemma tm2:
  assumes ttt = 10 * length zs ^ 2 + length zs + 4
  shows transforms tm2 (tpsL 0) ttt tps2
  unfolding tm2-def
proof (tform time: assms tpsL-def jk tps: tpsL-def tpsL1-def tps0 jk)
  show clean-tape (tpsL (length zs) ! 0)
    using tpsL-def jk clean-contents-proper[OF zs] by simp
  have tpsL (length zs) ! 0 = ( $\lfloor zs \rfloor$ , Suc (length zs))

```

```

    using tpsL-def jk by simp
  then show tps2 = (tpsL (length zs))[0 := tpsL (length zs) ! 0 |#|= 1]
    using tps2-def tpsL-def jk by (simp add: list-update-swap-less)
qed

```

**definition** *tps2'* :: *tape list* **where**  
*tps2'*  $\equiv$  *tps0*[*j* := ( $\lfloor$ length *zs* $\rfloor_N$ , 1)]

```

lemma tm2':
  assumes ttt = 11 * length zs ^ 2 + 4
  shows transforms tm2 tps0 ttt tps2'
proof -
  have 10 * length zs ^ 2 + length zs + 4  $\leq$  ttt
    using assms linear-le-pow[of 2 length zs] by simp
  moreover have tps2 = tps2'
    using tps2-def tps2'-def jk tps0 by (metis list-update-id)
  ultimately show ?thesis
    using transforms-monotone tm2 tpsL-eq-tps0 by simp
qed

```

end

end

```

lemma transforms-tm-length-inputI [transforms-intros]:
  fixes j :: tapeidx
  fixes tps tps' :: tape list and k :: nat and zs :: symbol list
  assumes 0 < j j < k length tps = k
    and proper-symbols zs
  assumes
    tps ! 0 = ( $\lfloor$ zs $\rfloor$ , 1)
    tps ! j = ( $\lfloor$ 0 $\rfloor_N$ , 1)
  assumes ttt = 11 * length zs ^ 2 + 4
  assumes tps' = tps
    [j := ( $\lfloor$ length zs $\rfloor_N$ , 1)]
  shows transforms (tm-length-input j) tps ttt tps'
proof -
  interpret loc: turing-machine-length-input j .
  show ?thesis
    using loc.tm2' loc.tps2'-def loc.tm2-eq-tm-length-input assms by simp
qed

```

### 2.11.3 Whether the length is even

The next Turing machines reads the symbols on tape  $j_1$  until the first blank and alternates between numbers 0 and 1 on tape  $j_2$ . Then tape  $j_2$  contains the parity of the length of the symbol sequence on tape  $j_1$ . Finally, the TM flips the output once more, so that tape  $j_2$  contains a Boolean indicating whether the length was even or not. We assume tape  $j_2$  is initially empty, that is, represents the number 0.

**definition** *tm-even-length* :: *tapeidx*  $\Rightarrow$  *tapeidx*  $\Rightarrow$  *machine* **where**  
*tm-even-length*  $j_1$   $j_2$   $\equiv$   
 WHILE [] ;  $\lambda$ rs. rs !  $j_1$   $\neq$   $\square$  DO  
 tm-not  $j_2$  ;;  
 tm-right  $j_1$   
 DONE ;;  
 tm-not  $j_2$  ;;  
 tm-cr  $j_1$

```

lemma tm-even-length-tm:
  assumes k  $\geq$  2 and G  $\geq$  4 and j1 < k 0 < j2 j2 < k
  shows turing-machine k G (tm-even-length j1 j2)
  using tm-even-length-def tm-right-tm tm-not-tm Nil-tm assms tm-cr-tm turing-machine-loop-turing-machine
  by simp

```

```

locale turing-machine-even-length =
  fixes j1 j2 :: tapeidx
begin

definition tmB  $\equiv$  tm-not j2 ;; tm-right j1
definition tmL  $\equiv$  WHILE [] ;  $\lambda$ rs. rs ! j1  $\neq$   $\square$  DO tmB DONE
definition tm2  $\equiv$  tmL ;; tm-not j2
definition tm3  $\equiv$  tm2 ;; tm-cr j1

lemma tm3-eq-tm-even-length: tm3 = tm-even-length j1 j2
  unfolding tm3-def tm2-def tmL-def tmB-def tm-even-length-def by simp

context
  fixes tps0 :: tape list and k :: nat and zs :: symbol list
  assumes zs: proper-symbols zs
  assumes jk: j1 < k j2 < k j1  $\neq$  j2 length tps0 = k
  assumes tps0:
    tps0 ! j1 = ( $\lfloor$ zs $\rfloor$ , 1)
    tps0 ! j2 = ( $\lfloor$ 0 $\rfloor_N$ , 1)
begin

definition tpsL :: nat  $\Rightarrow$  tape list where
  tpsL t  $\equiv$  tps0
  [j1 := ( $\lfloor$ zs $\rfloor$ , Suc t),
   j2 := ( $\lfloor$ odd t $\rfloor_B$ , 1)]

lemma tpsL0: tpsL 0 = tps0
  unfolding tpsL-def using tps0 jk by (metis (mono-tags, opaque-lifting) One-nat-def even-zero list-update-id)

lemma tmL2 [transforms-intros]: transforms tmB (tpsL t) 4 (tpsL (Suc t))
  unfolding tmB-def
proof (tform tps: tpsL-def jk)
  have (tpsL t)
    [j2 := ( $\lfloor$ (if odd t then 1 else 0 :: nat)  $\neq$  1 $\rfloor_B$ , 1),
     j1 := (tpsL t)[j2 := ( $\lfloor$ (if odd t then 1 else 0 :: nat)  $\neq$  1 $\rfloor_B$ , 1)] ! j1 |+| 1] =
    (tpsL t)
    [j2 := ( $\lfloor$ odd (Suc t) $\rfloor_B$ , 1),
     j1 := (tpsL t) ! j1 |+| 1]
  using jk by simp
  also have ... = (tpsL t)
    [j2 := ( $\lfloor$ odd (Suc t) $\rfloor_B$ , 1),
     j1 := ( $\lfloor$ zs $\rfloor$ , Suc (Suc t))]
  using tpsL-def jk by simp
  also have ... = (tpsL t)
    [j1 := ( $\lfloor$ zs $\rfloor$ , Suc (Suc t)),
     j2 := ( $\lfloor$ odd (Suc t) $\rfloor_B$ , 1)]
  using jk by (simp add: list-update-swap)
  also have ... = tps0
    [j1 := ( $\lfloor$ zs $\rfloor$ , Suc (Suc t)),
     j2 := ( $\lfloor$ odd (Suc t) $\rfloor_B$ , 1)]
  using jk tpsL-def by (simp add: list-update-swap)
  also have ... = tpsL (Suc t)
  using tpsL-def by simp
  finally show tpsL (Suc t) = (tpsL t)
    [j2 := ( $\lfloor$ (if odd t then 1 else 0 :: nat)  $\neq$  1 $\rfloor_B$ , 1),
     j1 := (tpsL t)[j2 := ( $\lfloor$ (if odd t then 1 else 0 :: nat)  $\neq$  1 $\rfloor_B$ , 1)] ! j1 |+| 1]
  by simp
qed

lemma tmL:
  assumes ttt = 6 * length zs + 1
  shows transforms tmL (tpsL 0) ttt (tpsL (length zs))
  unfolding tmL-def

```

**proof** (*tform time: assms*)  
**have**  $\text{read } (tpsL\ t) ! j1 = tpsL\ t :: j1$  **for**  $t$   
**using** *tpsL-def tapes-at-read' jk*  
**by** (*metis (no-types, lifting) length-list-update*)  
**then have**  $\text{read } (tpsL\ t) ! j1 = \lfloor zs \rfloor (Suc\ t)$  **for**  $t$   
**using** *tpsL-def jk by simp*  
**then show**  $\bigwedge t. t < \text{length } zs \implies \text{read } (tpsL\ t) ! j1 \neq \square$  **and**  $\neg \text{read } (tpsL\ (\text{length } zs)) ! j1 \neq \square$   
**using**  $zs$  **by** *auto*

**qed**

**lemma** *tmL'* [*transforms-intros*]:  
**assumes**  $ttt = 6 * \text{length } zs + 1$   
**shows** *transforms tmL tps0 ttt (tpsL (length zs))*  
**using** *assms tmL tpsL0 by simp*

**definition** *tps2* :: *tape list* **where**

$tps2 \equiv tps0$   
 $[j1 := (\lfloor zs \rfloor, Suc\ (\text{length } zs)),$   
 $j2 := (\lfloor \text{even } (\text{length } zs) \rfloor_B, 1)]$

**lemma** *tm2* [*transforms-intros*]:  
**assumes**  $ttt = 6 * \text{length } zs + 4$   
**shows** *transforms tm2 tps0 ttt tps2*  
**unfolding** *tm2-def*

**proof** (*tform tps: tpsL-def jk time: assms*)  
**show**  $tps2 = (tpsL\ (\text{length } zs))[j2 := (\lfloor \text{if odd } (\text{length } zs) \text{ then } 1 \text{ else } 0 :: nat) \neq 1 \rfloor_B, 1)]$   
**unfolding** *tps2-def tpsL-def by (simp add: list-update-swap)*

**qed**

**definition** *tps3* :: *tape list* **where**

$tps3 \equiv tps0$   
 $[j1 := (\lfloor zs \rfloor, 1),$   
 $j2 := (\lfloor \text{even } (\text{length } zs) \rfloor_B, 1)]$

**lemma** *tm3*:  
**assumes**  $ttt = 7 * \text{length } zs + 7$   
**shows** *transforms tm3 tps0 ttt tps3*  
**unfolding** *tm3-def*

**proof** (*tform tps: tps2-def jk time: assms*)  
**show** *clean-tape (tps2 ! j1)*  
**using** *tps2-def jk zs clean-contents-proper by simp*  
**have**  $tps2 ! j1 \mid \# = 1 = (\lfloor zs \rfloor, 1)$   
**using** *tps2-def jk by simp*  
**then show**  $tps3 = tps2[j1 := tps2 ! j1 \mid \# = 1]$   
**unfolding** *tps3-def tps2-def using jk by (simp add: list-update-swap)*  
**show**  $ttt = 6 * \text{length } zs + 4 + (tps2 : \# : j1 + 2)$   
**using** *assms tps2-def jk by simp*

**qed**

**definition** *tps3'* :: *tape list* **where**

$tps3' \equiv tps0$   
 $[j2 := (\lfloor \text{even } (\text{length } zs) \rfloor_B, 1)]$

**lemma** *tps3'*:  $tps3' = tps3$   
**using** *tps3'-def tps3-def tps0 by (metis list-update-id)*

**lemma** *tm3'*:  
**assumes**  $ttt = 7 * \text{length } zs + 7$   
**shows** *transforms tm3 tps0 ttt tps3'*  
**using** *tps3' tm3 assms by simp*

**end**



end

```

lemma transforms-tm-even-lengthI [transforms-intros]:
  fixes j1 j2 :: tapeidx
  fixes tps tps' :: tape list and k :: nat and zs :: symbol list
  assumes j1 < k j2 < k j1 ≠ j2
    and proper-symbols zs
    and length tps = k
  assumes
    tps ! j1 = (⌊zs⌋, 1)
    tps ! j2 = (⌊0⌋N, 1)
  assumes tps' = tps
    [j2 := (⌊even (length zs)⌋B, 1)]
  assumes ttt = 7 * length zs + 7
  shows transforms (tm-even-length j1 j2) tps ttt tps'
proof –
  interpret loc: turing-machine-even-length j1 j2 .
  show ?thesis
    using assms loc.tps3'-def loc.tm3' loc.tm3-eq-tm-even-length by simp
qed

```

### 2.11.4 Checking for ends-with or empty

The next Turing machine implements a slightly idiosyncratic operation that we use in the next section for checking if a symbol sequence represents a list of numbers. The operation consists in checking if the symbol sequence on tape  $j_1$  either is empty or ends with the symbol  $z$ , which is another parameter of the TM. If the condition is met, the number 1 is written to tape  $j_2$ , otherwise the number 0.

```

definition tm-empty-or-endswith :: tapeidx ⇒ tapeidx ⇒ symbol ⇒ machine where
  tm-empty-or-endswith j1 j2 z ≡
    tm-right-until j1 {□} ;;
    tm-left j1 ;;
    IF  $\lambda rs. rs ! j1 \in \{\triangleright, z\}$  THEN
      tm-setn j2 1
    ELSE
       $\square$ 
    ENDIF ;;
    tm-cr j1

```

```

lemma tm-empty-or-endswith-tm:
  assumes k ≥ 2 and G ≥ 4 and 0 < j2 and j1 < k and j2 < k
  shows turing-machine k G (tm-empty-or-endswith j1 j2 z)
  using assms Nil-tm tm-right-until-tm tm-left-tm tm-setn-tm tm-cr-tm
    turing-machine-branch-turing-machine tm-empty-or-endswith-def
  by simp

```

```

locale turing-machine-empty-or-endswith =
  fixes j1 j2 :: tapeidx and z :: symbol
begin

```

```

definition tm1 ≡ tm-right-until j1 {□}
definition tm2 ≡ tm1 ;; tm-left j1
definition tmI ≡ IF  $\lambda rs. rs ! j1 \in \{\triangleright, z\}$  THEN tm-setn j2 1 ELSE  $\square$  ENDIF
definition tm3 ≡ tm2 ;; tmI
definition tm4 ≡ tm3 ;; tm-cr j1

```

```

lemma tm4-eq-tm-empty-or-endswith: tm4 = tm-empty-or-endswith j1 j2 z
  unfolding tm4-def tm3-def tmI-def tm2-def tm1-def tm-empty-or-endswith-def
  by simp

```

```

context
  fixes tps0 :: tape list and k :: nat and zs :: symbol list
  assumes jk: j1 ≠ j2 j1 < k j2 < k length tps0 = k
    and zs: proper-symbols zs

```

```

and tps0:
  tps0 ! j1 = ([zs], 1)
  tps0 ! j2 = ([0]N, 1)
begin

```

**definition** tps1 :: tape list where

```

tps1 ≡ tps0
[j1 := ([zs], Suc (length zs))]

```

**lemma** tm1 [transforms-intros]:

```

assumes ttt = Suc (length zs)
shows transforms tm1 tps0 ttt tps1
unfolding tm1-def

```

**proof** (tform time: assms tps: tps0 tps1-def jk)

```

show rneigh (tps0 ! j1) {0} (length zs)

```

**proof** (rule rneighI)

```

show (tps0 ::: j1) (tps0 :# j1 + length zs) ∈ {0}

```

**using** tps0 **by** simp

```

show ∧ n'. n' < length zs ⇒ (tps0 ::: j1) (tps0 :# j1 + n') ∉ {0}

```

**using** zs tps0 **by** auto

**qed**

**qed**

**definition** tps2 :: tape list where

```

tps2 ≡ tps0
[j1 := ([zs], length zs)]

```

**lemma** tm2 [transforms-intros]:

```

assumes ttt = 2 + length zs
shows transforms tm2 tps0 ttt tps2
unfolding tm2-def

```

**by** (tform time: assms tps: tps1-def tps2-def jk)

**definition** tps3 :: tape list where

```

tps3 ≡ tps0
[j1 := ([zs], length zs),
 j2 := ([zs = [] ∨ last zs = z]B, 1)]

```

**lemma** tmI [transforms-intros]: transforms tmI tps2 14 tps3

**unfolding** tmI-def

**proof** (tform tps: tps0 tps2-def jk)

```

have *: read tps2 ! j1 = [zs] (length zs)

```

**using** tps2-def jk tapes-at-read'[of j1 tps2] **by** simp

```

show tps3 = tps2[j2 := ([1]N, 1)] if read tps2 ! j1 ∈ {▷, z}

```

**proof** –

```

have zs = [] ∨ last zs = z

```

**using** that \* contents-inbounds zs

**by** (metis diff-less dual-order.refl insert-iff last-conv-nth length-greater-0-conv proper-symbols-ne1 singletonD

zero-less-one)

```

then have (if zs = [] ∨ last zs = z then 1 else 0) = 1

```

**by** simp

**then show** ?thesis

**using** tps2-def tps3-def jk **by** (smt (verit, best))

**qed**

```

show tps3 = tps2 if read tps2 ! j1 ∉ {▷, z}

```

**proof** –

```

have ¬ (zs = [] ∨ last zs = z)

```

**using** that \* contents-inbounds zs

**by** (metis contents-at-0 dual-order.refl insertCI last-conv-nth length-greater-0-conv list.size(3))

```

then have (if zs = [] ∨ last zs = z then 1 else 0) = 0

```

**by** simp

**then show** ?thesis

```

    using tps2-def tps3-def jk tps0 by (smt (verit, best) list-update-id nth-list-update-neq)
  qed
  show  $10 + 2 * nlength\ 0 + 2 * nlength\ 1 + 2 \leq 14$ 
    using nlength-1-simp by simp
  qed

```

```

lemma tm3 [transforms-intros]:
  assumes ttt = 16 + length zs
  shows transforms tm3 tps0 ttt tps3
  unfolding tm3-def by (tform tps: assms)

```

```

definition tps4 :: tape list where
  tps4  $\equiv$  tps0
  [j2 := ( $\lfloor$ zs = []  $\vee$  last zs = z $\rfloor$ B, 1)]

```

```

lemma tm4:
  assumes ttt = 18 + 2 * length zs
  shows transforms tm4 tps0 ttt tps4
  unfolding tm4-def

```

```

proof (tform time: assms tps3-def jk tps: tps3-def jk zs)
  have tps3 ! j1 |#|= 1 = ( $\lfloor$ zs $\rfloor$ , 1)
    using tps3-def jk zs by simp
  then show tps4 = tps3[j1 := tps3 ! j1 |#|= 1]
    using tps4-def tps3-def jk tps0(1) by (metis list-update-id list-update-overwrite list-update-swap)
  qed

```

end

end

```

lemma transforms-tm-empty-or-endswithI [transforms-intros]:
  fixes j1 j2 :: tapeid $x$  and z :: symbol
  fixes tps tps' :: tape list and k :: nat and zs :: symbol list
  assumes j1  $\neq$  j2 j1 < k j2 < k
    and length tps = k
    and proper-symbols zs
  assumes
    tps ! j1 = ( $\lfloor$ zs $\rfloor$ , 1)
    tps ! j2 = ( $\lfloor$ 0 $\rfloor$ N, 1)
  assumes ttt = 18 + 2 * length zs
  assumes tps' = tps
  [j2 := ( $\lfloor$ zs = []  $\vee$  last zs = z $\rfloor$ B, 1)]
  shows transforms (tm-empty-or-endswith j1 j2 z) tps ttt tps'
proof -
  interpret loc: turing-machine-empty-or-endswith j1 j2 z .
  show ?thesis
    using assms loc.tps4-def loc.tm4 loc.tm4-eq-tm-empty-or-endswith by simp
  qed

```

## 2.11.5 Stripping trailing symbols

Stripping the symbol  $z$  from the end of a symbol sequence  $zs$  means:

```

definition rstrip :: symbol  $\Rightarrow$  symbol list  $\Rightarrow$  symbol list where
  rstrip z zs  $\equiv$  take (LEAST  $i$ .  $i \leq$  length zs  $\wedge$  set (drop  $i$  zs)  $\subseteq$  {z}) zs

```

```

lemma length-rstrip: length (rstrip z zs) = (LEAST  $i$ .  $i \leq$  length zs  $\wedge$  set (drop  $i$  zs)  $\subseteq$  {z})
  using rstrip-def wellorder-Least-lemma[where ?P= $\lambda i$ .  $i \leq$  length zs  $\wedge$  set (drop  $i$  zs)  $\subseteq$  {z}] by simp

```

```

lemma length-rstrip-le: length (rstrip z zs)  $\leq$  length zs
  using rstrip-def by simp

```

```

lemma rstrip-stripped:
  assumes  $i \geq$  length (rstrip z zs) and  $i <$  length zs

```

**shows**  $zs ! i = z$   
**proof** –  
**let**  $?P = \lambda i. i \leq \text{length } zs \wedge \text{set } (\text{drop } i \text{ } zs) \subseteq \{z\}$   
**have**  $?P (\text{length } zs)$   
**by** *simp*  
**then have**  $?P i$   
**using** *assms length-rstrip LeastI[where ?P=?P] Least-le[where ?P=?P]*  
**by** (*metis (mono-tags, lifting) dual-order.trans order-less-imp-le set-drop-subset-set-drop*)  
**then have**  $\text{set } (\text{drop } i \text{ } zs) \subseteq \{z\}$   
**by** *simp*  
**then show** *?thesis*  
**using** *assms(2) by (metis Cons-nth-drop-Suc drop-eq-Nil2 leD list.set(2) set-empty singleton-insert-inj-eq subset-singletonD)*  
**qed**

**lemma** *rstrip-replicate*:  $\text{rstrip } z (\text{replicate } n \text{ } z) = []$   
**using** *rstrip-def*  
**by** (*metis (no-types, lifting) Least-eq-0 empty-replicate set-drop-subset set-replicate take-eq-Nil zero-le*)

**lemma** *rstrip-not-ex*:  
**assumes**  $\neg (\exists i < \text{length } zs. zs ! i \neq z)$   
**shows**  $\text{rstrip } z \text{ } zs = []$   
**using** *assms rstrip-def by (metis in-set-conv-nth replicate-eqI rstrip-replicate)*

**lemma**  
**assumes**  $\exists i < \text{length } zs. zs ! i \neq z$   
**shows** *rstrip-ex-length*:  $\text{length } (\text{rstrip } z \text{ } zs) > 0$   
**and** *rstrip-ex-last*:  $\text{last } (\text{rstrip } z \text{ } zs) \neq z$   
**proof** –  
**let**  $?P = \lambda i. i \leq \text{length } zs \wedge \text{set } (\text{drop } i \text{ } zs) \subseteq \{z\}$   
**obtain**  $i$  **where**  $i < \text{length } zs \wedge zs ! i \neq z$   
**using** *assms by auto*  
**then have**  $\neg \text{set } (\text{drop } i \text{ } zs) \subseteq \{z\}$   
**by** (*metis Cons-nth-drop-Suc drop-eq-Nil2 leD list.set(2) set-empty singleton-insert-inj-eq' subset-singletonD*)  
**then have**  $\neg \text{set } (\text{drop } 0 \text{ } zs) \subseteq \{z\}$   
**by** (*metis drop.simps(1) drop-0 set-drop-subset set-empty subset-singletonD*)  
**then show** *len*:  $\text{length } (\text{rstrip } z \text{ } zs) > 0$   
**using** *length-rstrip by (metis (no-types, lifting) LeastI bot.extremum drop-all dual-order.refl grOI list.set(1))*  
**let**  $?j = \text{length } (\text{rstrip } z \text{ } zs) - 1$   
**have**  $?j < \text{length } (\text{rstrip } z \text{ } zs)$   
**using** *len by simp*  
**then have**  $?j < \text{Least } ?P$   
**using** *length-rstrip by simp*  
**have**  $?P (\text{length } (\text{rstrip } z \text{ } zs))$   
**using** *LeastI-ex[of ?P] length-rstrip by fastforce*  
**show**  $\text{last } (\text{rstrip } z \text{ } zs) \neq z$   
**proof** (*rule ccontr*)  
**assume**  $\neg \text{last } (\text{rstrip } z \text{ } zs) \neq z$   
**then have**  $\text{last } (\text{rstrip } z \text{ } zs) = z$   
**by** *simp*  
**then have**  $\text{rstrip } z \text{ } zs ! ?j = z$   
**using** *len by (simp add: last-conv-nth)*  
**then have**  $?j < \text{length } (\text{rstrip } z \text{ } zs)$   
**using** *len length-rstrip rstrip-def by auto*  
**have**  $?P ?j$   
**proof** –  
**have**  $?j \leq \text{length } zs$   
**using**  $?j < \text{length } (\text{rstrip } z \text{ } zs)$  **by** (*meson le-eq-less-or-eq order-less-le-trans*)  
**moreover have**  $\text{set } (\text{drop } ?j \text{ } zs) \subseteq \{z\}$   
**using**  $?j < \text{length } (\text{rstrip } z \text{ } zs)$   
**by** (*metis Cons-nth-drop-Suc One-nat-def Suc-pred insert-subset len list.simps(15) order-less-le-trans set-eq-subset*)  
**ultimately show** *?thesis*

```

    by simp
  qed
  then show False
    using 4 Least-le[of ?P] by fastforce
  qed
qed

```

A Turing machine stripping the non-blank, non-start symbol  $z$  from a proper symbol sequence works in the obvious way. First it moves to the end of the symbol sequence, that is, to the first blank. Then it moves left to the first non- $z$  symbol thereby overwriting every symbol with a blank. Finally it performs a “carriage return”.

**definition**  $tm\text{-}rstrip :: symbol \Rightarrow tapeidx \Rightarrow machine$  **where**

```

tm-rstrip z j  $\equiv$ 
  tm-right-until j { $\square$ } ;;
  tm-left j ;;
  tm-lconst-until j j (UNIV - {z})  $\square$  ;;
  tm-cr j

```

**lemma**  $tm\text{-}rstrip\text{-}tm$ :

```

assumes  $k \geq 2$  and  $G \geq 4$  and  $0 < j$  and  $j < k$ 
shows turing-machine k G (tm-rstrip z j)
using assms tm-right-until-tm tm-left-tm tm-lconst-until-tm tm-cr-tm tm-rstrip-def
by simp

```

```

locale turing-machine-rstrip =
  fixes z :: symbol and j :: tapeidx
begin

```

```

definition tm1  $\equiv$  tm-right-until j { $\square$ }
definition tm2  $\equiv$  tm1 ;; tm-left j
definition tm3  $\equiv$  tm2 ;; tm-lconst-until j j (UNIV - {z})  $\square$ 
definition tm4  $\equiv$  tm3 ;; tm-cr j

```

```

lemma tm4-eq-tm-rstrip:  $tm4 = tm\text{-}rstrip\ z\ j$ 
  unfolding tm4-def tm3-def tm2-def tm1-def tm-rstrip-def by simp

```

**context**

```

fixes tps0 :: tape list and zs :: symbol list and k :: nat
assumes z:  $z > 1$ 
assumes zs: proper-symbols zs
assumes jk:  $0 < j < k$  length tps0 = k
assumes tps0:  $tps0 ! j = (\lfloor zs \rfloor, 1)$ 

```

**begin**

```

definition tps1  $\equiv$  tps0
  [j := ( $\lfloor zs \rfloor$ , Suc (length zs))]

```

```

lemma tm1 [transforms-intros]:
  assumes ttt = Suc (length zs)
  shows transforms tm1 tps0 ttt tps1
  unfolding tm1-def

```

**proof** (tform tps: tps0 tps1-def jk time: assms)

```

have *:  $tps0 ! j = (\lfloor zs \rfloor, 1)$ 
  using tps0 jk by simp
show rneigh (tps0 ! j) { $\square$ } (length zs)
  using * zs by (intro rneighI) auto

```

**qed**

```

definition tps2  $\equiv$  tps0
  [j := ( $\lfloor zs \rfloor$ , length zs)]

```

```

lemma tm2 [transforms-intros]:
  assumes ttt = length zs + 2

```

shows transforms tm2 tps0 ttt tps2  
 unfolding tm2-def  
 by (tform tps: tps1-def tps2-def jk time: assms)

**definition** tps3  $\equiv$  tps0

[j := ( $\lfloor$ rstrip z zs $\rfloor$ , length (rstrip z zs))]

**lemma** tm3 [transforms-intros]:

assumes ttt = length zs + 2 + Suc (length zs - length (rstrip z zs))

shows transforms tm3 tps0 ttt tps3

unfolding tm3-def

**proof** (tform tps: tps2-def tps3-def jk time: assms jk tps2-def)

let ?n = length zs - length (rstrip z zs)

have \*: tps2 ! j = ( $\lfloor$ zs $\rfloor$ , length zs)

using tps2-def jk by simp

show lneigh (tps2 ! j) (UNIV - {z}) ?n

**proof** (cases  $\exists i < \text{length } zs. zs ! i \neq z$ )

case True

then have 1: length (rstrip z zs) > 0

using rstrip-ex-length by simp

show ?thesis

**proof** (rule lneighI)

show (tps2 ::: j) (tps2 :# j - ?n)  $\in$  UNIV - {z}

using \* 1 contents-inbounds True length-rstrip length-rstrip-le rstrip-def rstrip-ex-last

by (smt (verit, best) DiffI One-nat-def UNIV-I diff-diff-cancel diff-less fst-conv last-conv-nth  
 le-eq-less-or-eq length-greater-0-conv less-Suc-eq-le nth-take singletonD snd-conv)

have  $\bigwedge n'. n' < ?n \implies (tps2 ::: j) (tps2 :# j - n') = z$

using \* rstrip-stripped by simp

then show  $\bigwedge n'. n' < ?n \implies (tps2 ::: j) (tps2 :# j - n') \notin \text{UNIV} - \{z\}$

by simp

qed

next

case False

then have 1: rstrip z zs = []

using rstrip-not-ex by simp

show ?thesis

**proof** (rule lneighI)

show (tps2 ::: j) (tps2 :# j - ?n)  $\in$  UNIV - {z}

using \* 1 z by simp

show  $\bigwedge n'. n' < ?n \implies (tps2 ::: j) (tps2 :# j - n') \notin \text{UNIV} - \{z\}$

using \* rstrip-stripped by simp

qed

qed

have lconstplant ( $\lfloor$ zs $\rfloor$ , length zs)  $\square$  ?n = ( $\lfloor$ rstrip z zs $\rfloor$ , length (rstrip z zs))

(is ?lhs = -)

**proof** -

have ?lhs = ( $\lambda i. \text{if } \text{length } zs - ?n < i \wedge i \leq \text{length } zs \text{ then } \square \text{ else } \lfloor zs \rfloor i, \text{length } zs - ?n$ )

using lconstplant[of ( $\lfloor$ zs $\rfloor$ , length zs) 0 ?n] by auto

moreover have ( $\lambda i. \text{if } \text{length } zs - ?n < i \wedge i \leq \text{length } zs \text{ then } \square \text{ else } \lfloor zs \rfloor i$ ) =  $\lfloor$ rstrip z zs $\rfloor$

**proof**

fix i

consider length zs - ?n < i  $\wedge$  i  $\leq$  length zs | i > length zs | i  $\leq$  length (rstrip z zs)

by linarith

then show (if length zs - ?n < i  $\wedge$  i  $\leq$  length zs then  $\square$  else  $\lfloor zs \rfloor i$ ) =  $\lfloor$ rstrip z zs $\rfloor i$

**proof** (cases)

case 1

then show ?thesis

by auto

next

case 2

then show ?thesis

by (metis contents-outofbounds diff-diff-cancel length-rstrip-le less-imp-diff-less)

```

next
  case 3
  then show ?thesis
    using contents-def length-rstrip length-rstrip-le rstrip-def by auto
  qed
  qed
  moreover have length zs - ?n = length (rstrip z zs)
    using diff-diff-cancel length-rstrip-le by simp
  ultimately show ?thesis
    by simp
  qed
  then have lconstplant (tps2 ! j)  $\square$  ?n = ( $\lfloor$ rstrip z zs $\rfloor$ , length (rstrip z zs))
    using tps2-def jk by simp
  then show tps3 = tps2
    [j := tps2 ! j | -] ?n,
    j := lconstplant (tps2 ! j)  $\square$  ?n]
  unfolding tps3-def tps2-def by simp
qed

definition tps4  $\equiv$  tps0
[j := ( $\lfloor$ rstrip z zs $\rfloor$ , 1)]

lemma tm4:
  assumes ttt = length zs + 2 + Suc (length zs - length (rstrip z zs)) + length (rstrip z zs) + 2
  shows transforms tm4 tps0 ttt tps4
  unfolding tm4-def
proof (tform tps: tps3-def tps4-def jk time: assms tps3-def jk)
  show clean-tape (tps3 ! j)
    using tps3-def jk zs rstrip-def by simp
qed

lemma tm4':
  assumes ttt = 3 * length zs + 5
  shows transforms tm4 tps0 ttt tps4
proof -
  let ?ttt = length zs + 2 + Suc (length zs - length (rstrip z zs)) + length (rstrip z zs) + 2
  have ?ttt = length zs + 5 + (length zs - length (rstrip z zs)) + length (rstrip z zs)
    by simp
  also have ...  $\leq$  length zs + 5 + length zs + length (rstrip z zs)
    by simp
  also have ...  $\leq$  length zs + 5 + length zs + length zs
    using length-rstrip-le by simp
  also have ... = 3 * length zs + 5
    by simp
  finally have ?ttt  $\leq$  3 * length zs + 5 .
  then show ?thesis
    using assms transforms-monotone tm4 by simp
qed

end

end

lemma transforms-tm-rstripI [transforms-intros]:
  fixes z :: symbol and j :: tapeidx
  fixes tps tps' :: tape list and zs :: symbol list and k :: nat
  assumes z > 1 and 0 < j < k
    and proper-symbols zs
    and length tps = k
  assumes tps ! j = ( $\lfloor$ zs $\rfloor$ , 1)
  assumes ttt = 3 * length zs + 5
  assumes tps' = tps[j := ( $\lfloor$ rstrip z zs $\rfloor$ , 1)]
  shows transforms (tm-rstrip z j) tps ttt tps'

```

```

proof –
  interpret loc: turing-machine-rstrip z j .
  show ?thesis
    using assms loc.tm4' loc.tps4-def loc.tm4-eq-tm-rstrip by simp
qed

```

### 2.11.6 Writing arbitrary length sequences of the same symbol

The next Turing machine accepts a number  $n$  on tape  $j_1$  and writes the symbol sequence  $z^n$  to tape  $j_2$ . The symbol  $z$  is a parameter of the TM. The TM decrements the number on tape  $j_1$  until it reaches zero.

**definition** *tm-write-replicate* :: *symbol*  $\Rightarrow$  *tapeidx*  $\Rightarrow$  *tapeidx*  $\Rightarrow$  *machine* **where**

```

tm-write-replicate z j1 j2  $\equiv$ 
  WHILE [] ;  $\lambda$ rs. rs ! j1  $\neq$  [] DO
    tm-char j2 z ;;
    tm-decr j1
  DONE ;;
  tm-cr j2

```

**lemma** *tm-write-replicate-tm*:

```

assumes  $0 < j_1$  and  $0 < j_2$  and  $j_1 < k$  and  $j_2 < k$  and  $j_1 \neq j_2$  and  $G \geq 4$  and  $z < G$ 
shows turing-machine k G (tm-write-replicate z j1 j2)
unfolding tm-write-replicate-def
using turing-machine-loop-turing-machine Nil-tm tm-char-tm tm-decr-tm tm-cr-tm assms
by simp

```

```

locale turing-machine-write-replicate =
  fixes j1 j2 :: tapeidx and z :: symbol
begin

```

```

definition tm1  $\equiv$  tm-char j2 z
definition tm2  $\equiv$  tm1 ;; tm-decr j1
definition tmL  $\equiv$  WHILE [] ;  $\lambda$ rs. rs ! j1  $\neq$  [] DO tm2 DONE
definition tm3  $\equiv$  tmL ;; tm-cr j2

```

```

lemma tm3-eq-tm-write-replicate: tm3 = tm-write-replicate z j1 j2
using tm3-def tm2-def tm1-def tm-write-replicate-def tmL-def by simp

```

**context**

```

fixes tps0 :: tape list and k n :: nat
assumes jk: length tps0 = k  $0 < j_1$   $0 < j_2$   $j_1 \neq j_2$   $j_1 < k$   $j_2 < k$ 
and z:  $1 < z$ 
assumes tps0:
  tps0 ! j1 = ( $\lfloor n \rfloor_N$ , 1)
  tps0 ! j2 = ([[]], 1)

```

**begin**

**definition** *tpsL* :: *nat*  $\Rightarrow$  *tape list* **where**

```

tpsL t  $\equiv$  tps0
  [j1 := ( $\lfloor n - t \rfloor_N$ , 1),
   j2 := ( $\lfloor \text{replicate } t \ z \rfloor$ , Suc t)]

```

```

lemma tpsL0: tpsL 0 = tps0
using tpsL-def tps0 jk by (metis One-nat-def diff-zero list-update-id replicate-empty)

```

**definition** *tpsL1* :: *nat*  $\Rightarrow$  *tape list* **where**

```

tpsL1 t  $\equiv$  tps0
  [j1 := ( $\lfloor n - t \rfloor_N$ , 1),
   j2 := ( $\lfloor \text{replicate } (\text{Suc } t) \ z \rfloor$ , Suc (Suc t))]

```

**lemma** *tmL1* [*transforms-intros*]: *transforms* *tm1* (*tpsL t*) 1 (*tpsL1 t*)

**unfolding** *tm1-def*

**proof** (*tform tps: tpsL-def tpsL1-def tps0 jk*)

**have** *tpsL t* :# : *j2* = *Suc t*



using *tpsL1-def jk* by (*metis length-list-update nth-list-update-eq snd-conv tpsL-def*)  
 moreover have *tpsL t* :: *j2* = [*replicate t z*]  
 using *tpsL1-def jk* by (*metis fst-conv length-list-update nth-list-update-eq tpsL-def*)  
 moreover have [*replicate t z*](*Suc t := z*) = [*replicate (Suc t) z*]  
 using *contents-snoc* by (*metis length-rotate replicate-Suc replicate-append-same*)  
 ultimately show *tpsL1 t* = (*tpsL t*)[*j2 := tpsL t ! j2 |:=| z |+| 1*]  
 unfolding *tpsL1-def tpsL-def* by *simp*  
 qed

lemma *tmL2*:

assumes  $ttt = 9 + 2 * nlength (n - t)$   
 shows *transforms tm2 (tpsL t) ttt (tpsL (Suc t))*  
 unfolding *tm2-def*  
 proof (tform *tps: assms tpsL-def tpsL1-def tps0 jk*)  
 show *tpsL (Suc t)* = (*tpsL1 t*)[*j1 := (|n - t - 1|<sub>N</sub>, 1)*]  
 unfolding *tpsL-def tpsL1-def* using *jk* by (*simp add: list-update-swap*)  
 qed

lemma *tmL2'* [*transforms-intros*]:

assumes  $ttt = 9 + 2 * nlength n$   
 shows *transforms tm2 (tpsL t) ttt (tpsL (Suc t))*  
 proof –  
 have  $9 + 2 * nlength (n - t) \leq 9 + 2 * nlength n$   
 using *nlength-mono[of n - t n]* by *simp*  
 then show *?thesis*  
 using *assms tmL2 transforms-monotone* by *blast*  
 qed

lemma *tmL* [*transforms-intros*]:

assumes  $ttt = n * (11 + 2 * nlength n) + 1$   
 shows *transforms tmL (tpsL 0) ttt (tpsL n)*  
 unfolding *tmL-def*  
 proof (tform)  
 let  $?t = 9 + 2 * nlength n$   
 show  $\bigwedge i. i < n \implies read (tpsL i) ! j1 \neq \square$   
 using *jk tpsL-def read-ncontents-eq-0* by *simp*  
 show  $\neg read (tpsL n) ! j1 \neq \square$   
 using *jk tpsL-def read-ncontents-eq-0* by *simp*  
 show  $n * (9 + 2 * nlength n + 2) + 1 \leq ttt$   
 using *assms* by *simp*  
 qed

definition *tps3* :: *tape list* where

$tps3 \equiv tps0$   
 $[j1 := (|0|<sub>N</sub>, 1),$   
 $j2 := (|replicate n z|, 1)]$

lemma *tm3*:

assumes  $ttt = n * (12 + 2 * nlength n) + 4$   
 shows *transforms tm3 (tpsL 0) ttt tps3*  
 unfolding *tm3-def*  
 proof (tform *tps: z tpsL-def tps3-def tps0 jk*)  
 have  $ttt = Suc (n * (11 + 2 * nlength n)) + Suc (Suc (Suc n))$   
 proof –  
 have  $Suc (n * (11 + 2 * nlength n)) + Suc (Suc (Suc n)) = n * (11 + 2 * nlength n) + 4 + n$   
 by *simp*  
 also have  $\dots = n * (12 + 2 * nlength n) + 4$   
 by *algebra*  
 finally have  $Suc (n * (11 + 2 * nlength n)) + Suc (Suc (Suc n)) = ttt$   
 using *assms* by *simp*  
 then show *?thesis*  
 by *simp*  
 qed

```

then show  $ttt = n * (11 + 2 * nlength\ n) + 1 + (tpsL\ n\ :\#: j2 + 2)$ 
using  $tpsL-def\ jk$  by  $simp$ 
qed

```

```

lemma  $tm3'$ :
assumes  $ttt = n * (12 + 2 * nlength\ n) + 4$ 
shows  $transforms\ tm3\ tps0\ ttt\ tps3$ 
using  $tm3\ tpsL0\ assms$  by  $simp$ 

```

**end**

**end**

```

lemma  $transforms-tm-write-replicateI$  [ $transforms-intros$ ]:
fixes  $j1\ j2 :: tapeidx$ 
fixes  $tps\ tps' :: tape\ list$  and  $ttt\ k\ n :: nat$ 
assumes  $length\ tps = k\ 0 < j1\ 0 < j2\ j1 \neq j2\ j1 < k\ j2 < k$  and  $1 < z$ 
assumes
   $tps\ !\ j1 = (\lfloor n \rfloor_N, 1)$ 
   $tps\ !\ j2 = (\lfloor [] \rfloor, 1)$ 
assumes  $ttt = n * (12 + 2 * nlength\ n) + 4$ 
assumes  $tps' = tps$ 
   $[j1 := (\lfloor 0 \rfloor_N, 1),$ 
   $j2 := (\lfloor replicate\ n\ z \rfloor, 1)]$ 
shows  $transforms\ (tm-write-replicate\ z\ j1\ j2)\ tps\ ttt\ tps'$ 
proof –
interpret  $loc: turing-machine-write-replicate\ j1\ j2$  .
show  $?thesis$ 
using  $assms\ loc.tm3'\ loc.tps3-def\ loc.tm3-eq-tm-write-replicate$  by  $simp$ 
qed

```

## 2.11.7 Extracting the elements of a pair

In Section 2.1.3 we defined a pairing function for strings. For example,  $\langle \mathbf{II}, \mathbf{OO} \rangle$  is first mapped to  $\mathbf{II}\#\mathbf{OO}$  and ultimately represented as  $\mathbf{OIOIIIOOOO}$ . A Turing machine that is to compute a function for the argument  $\langle \mathbf{II}, \mathbf{OO} \rangle$  would receive as input the symbols  $\mathbf{0101110000}$ . Typically the TM would then extract the two components  $\mathbf{11}$  and  $\mathbf{00}$ . In this section we devise TMs to do just that.

As it happens, applying the quaternary alphabet decoding function  $bindecode$  (see Section 2.10) to such a symbol sequence gets us halfway to extracting the elements of the pair. For example, decoding  $\mathbf{0101110000}$  yields  $\mathbf{11}\#\mathbf{00}$ , and now the TM only has to locate the  $\#$ .

A Turing machine cannot rely on being given a well-formed pair. After decoding, the symbol sequence might have more or fewer than one  $\#$  symbol or even  $|$  symbols. The following functions  $first$  and  $second$  are designed to extract the first and second element of a symbol sequence representing a pair, and for other symbol sequences at least allow for an efficient implementation. Implementations will come further down in this section.

**definition**  $first :: symbol\ list \Rightarrow symbol\ list$  **where**

$first\ ys \equiv take\ (if\ \exists i < length\ ys.\ ys\ !\ i \in \{|\, \#\}\ then\ LEAST\ i.\ i < length\ ys \wedge ys\ !\ i \in \{|\, \#\}\ else\ length\ ys)\ ys$

**definition**  $second :: symbol\ list \Rightarrow symbol\ list$  **where**

$second\ zs \equiv drop\ (Suc\ (length\ (first\ zs)))\ zs$

**lemma**  $firstD$ :

**assumes**  $\exists i < length\ ys.\ ys\ !\ i \in \{|\, \#\}$  **and**  $m = (LEAST\ i.\ i < length\ ys \wedge ys\ !\ i \in \{|\, \#\})$   
**shows**  $m < length\ ys$  **and**  $ys\ !\ m \in \{|\, \#\}$  **and**  $\forall i < m.\ ys\ !\ i \notin \{|\, \#\}$   
**using**  $LeastI-ex[OF\ assms(1)]\ assms(2)$  **by**  $simp-all$  (use  $less-trans\ not-less-Least$  **in**  $blast$ )

**lemma**  $firstI$ :

**assumes**  $m < length\ ys$  **and**  $ys\ !\ m \in \{|\, \#\}$  **and**  $\forall i < m.\ ys\ !\ i \notin \{|\, \#\}$   
**shows**  $(LEAST\ i.\ i < length\ ys \wedge ys\ !\ i \in \{|\, \#\}) = m$   
**using**  $assms$  **by** ( $metis$  ( $mono-tags$ ,  $lifting$ )  $LeastI\ less-linear\ not-less-Least$ )

**lemma**  $length-first-ex$ :

**assumes**  $\exists i < \text{length } ys. ys ! i \in \{!, \#\}$  **and**  $m = (\text{LEAST } i. i < \text{length } ys \wedge ys ! i \in \{!, \#\})$   
**shows**  $\text{length } (\text{first } ys) = m$   
**proof** –  
**have**  $m < \text{length } ys$   
**using** *assms firstD(1)* **by** *presburger*  
**moreover** **have**  $\text{first } ys = \text{take } m \text{ } ys$   
**using** *assms first-def* **by** *simp*  
**ultimately show** *?thesis*  
**by** *simp*  
**qed**

**lemma** *first-notex*:  
**assumes**  $\neg (\exists i < \text{length } ys. ys ! i \in \{!, \#\})$   
**shows**  $\text{first } ys = ys$   
**using** *assms first-def* **by** *auto*

**lemma** *length-first*:  $\text{length } (\text{first } ys) \leq \text{length } ys$   
**using** *length-first-ex first-notex first-def* **by** *simp*

**lemma** *length-second-first*:  $\text{length } (\text{second } zs) = \text{length } zs - \text{Suc } (\text{length } (\text{first } zs))$   
**using** *second-def* **by** *simp*

**lemma** *length-second*:  $\text{length } (\text{second } zs) \leq \text{length } zs$   
**using** *second-def* **by** *simp*

Our next goal is to show that *first* and *second* really extract the first and second element of a pair.

**lemma** *bindecode-bitenc*:  
**fixes**  $x :: \text{string}$   
**shows**  $\text{bindecode } (\text{string-to-symbols } (\text{bitenc } x)) = \text{string-to-symbols } x$   
**proof** (*induction x*)  
**case** *Nil*  
**then show** *?case*  
**using** *less-2-cases-iff* **by** *force*  
**next**  
**case** (*Cons a x*)  
**have**  $\text{bitenc } (a \# x) = \text{bitenc } [a] @ \text{bitenc } x$   
**by** *simp*  
**then have**  $\text{string-to-symbols } (\text{bitenc } (a \# x)) = \text{string-to-symbols } (\text{bitenc } [a] @ \text{bitenc } x)$   
**by** *simp*  
**then have**  $\text{string-to-symbols } (\text{bitenc } (a \# x)) = \text{string-to-symbols } (\text{bitenc } [a]) @ \text{string-to-symbols } (\text{bitenc } x)$   
**by** *simp*  
**then have**  $\text{bindecode } (\text{string-to-symbols } (\text{bitenc } (a \# x))) =$   
 $\text{bindecode } (\text{string-to-symbols } (\text{bitenc } [a]) @ \text{string-to-symbols } (\text{bitenc } x))$   
**by** *simp*  
**also have**  $\dots = \text{bindecode } (\text{string-to-symbols } (\text{bitenc } [a])) @ \text{bindecode } (\text{string-to-symbols } (\text{bitenc } x))$   
**using** *bindecode-append length-bitenc* **by** (*metis (no-types, lifting) dvd-triv-left length-map*)  
**also have**  $\dots = \text{bindecode } (\text{string-to-symbols } (\text{bitenc } [a])) @ \text{string-to-symbols } x$   
**using** *Cons* **by** *simp*  
**also have**  $\dots = \text{string-to-symbols } [a] @ \text{string-to-symbols } x$   
**using** *bindecode-def* **by** *simp*  
**also have**  $\dots = \text{string-to-symbols } ([a] @ x)$   
**by** *simp*  
**also have**  $\dots = \text{string-to-symbols } (a \# x)$   
**by** *simp*  
**finally show** *?case* .  
**qed**

**lemma** *bindecode-string-pair*:  
**fixes**  $x \ u :: \text{string}$   
**shows**  $\text{bindecode } \langle x; u \rangle = \text{string-to-symbols } x @ [\#] @ \text{string-to-symbols } u$   
**proof** –  
**have**  $\text{bindecode } \langle x; u \rangle = \text{bindecode } (\text{string-to-symbols } (\text{bitenc } x @ [\text{True}, \text{True}] @ \text{bitenc } u))$   
**using** *string-pair-def* **by** *simp*

```

also have ... = bindecode
  (string-to-symbols (bitenc x) @
   string-to-symbols [I, I] @
   string-to-symbols (bitenc u))
by simp
also have ... = bindecode (string-to-symbols (bitenc x) @
  bindecode (string-to-symbols [I, I] @
  bindecode (string-to-symbols (bitenc u)))
proof -
  have even (length (string-to-symbols [True, True]))
    by simp
  moreover have even (length (string-to-symbols (bitenc y))) for y
    by (simp add: length-bitenc)
  ultimately show ?thesis
    using bindecode-append length-bindecode length-bitenc
    by (smt (verit) add-mult-distrib2 add-self-div-2 dvd-triv-left length-append length-map mult-2)
qed
also have ... = string-to-symbols x @ bindecode (string-to-symbols [I, I] @ string-to-symbols u
  using bindecode-bitenc by simp
also have ... = string-to-symbols x @ [#] @ string-to-symbols u
  using bindecode-def by simp
finally show ?thesis .
qed

```

**lemma** first-pair:

```

fixes ys :: symbol list and x u :: string
assumes ys = bindecode ⟨x; u⟩
shows first ys = string-to-symbols x
proof -
  have ys: ys = string-to-symbols x @ [#] @ string-to-symbols u
    using bindecode-string-pair assms by simp
  have bs: bit-symbols (string-to-symbols x)
    by simp
  have ys ! (length (string-to-symbols x)) = #
    using ys by (metis append-Cons nth-append-length)
  then have ex: ys ! (length (string-to-symbols x)) ∈ {!, #}
    by simp
  have (LEAST i. i < length ys ∧ ys ! i ∈ {!, #}) = length (string-to-symbols x)
    using ex ys bs by (intro firstI) (simp-all add: nth-append)
  moreover have length (string-to-symbols x) < length ys
    using ys by simp
  ultimately have first ys = take (length (string-to-symbols x)) ys
    using ex first-def by auto
  then show first ys = string-to-symbols x
    using ys by simp
qed

```

**lemma** second-pair:

```

fixes ys :: symbol list and x u :: string
assumes ys = bindecode ⟨x; u⟩
shows second ys = string-to-symbols u
proof -
  have ys: ys = string-to-symbols x @ [#] @ string-to-symbols u
    using bindecode-string-pair assms by simp
  let ?m = length (string-to-symbols x)
  have length (first ys) = ?m
    using assms first-pair by presburger
  moreover have drop (Suc ?m) ys = string-to-symbols u
    using ys by simp
  ultimately have drop (Suc (length (first ys))) ys = string-to-symbols u
    by simp
  then show ?thesis
    using second-def by simp

```

qed

## A Turing machine for extracting the first element

Unlike most other Turing machines, the one in this section is not meant to be reusable, but rather to compute a function, namely the function *first*. For this reason there are no tape index parameters. Instead, the encoded pair is expected on the input tape, and the output is written to the output tape.

```
lemma bit-symbols-first:
  assumes ys = bindecode (string-to-symbols x)
  shows bit-symbols (first ys)
proof (cases  $\exists i < \text{length } ys. ys ! i \in \{ |, \# \}$ )
  case True
  define m where m = (LEAST i. i < length ys  $\wedge$  ys ! i  $\in \{ |, \# \}$ )
  then have m: m < length ys ys ! m  $\in \{ |, \# \} \forall i < m. ys ! i \notin \{ |, \# \}$ 
  using firstD[OF True] by blast+
  have len: length (first ys) = m
  using length-first-ex[OF True] m-def by simp
  have bit-symbols (string-to-symbols x)
  by simp
  then have  $\forall i < \text{length } ys. ys ! i \in \{ 2..<6 \}$ 
  using assms bindecode2345 by simp
  then have  $\forall i < m. ys ! i \in \{ 2..<6 \}$ 
  using m(1) by simp
  then have  $\forall i < m. ys ! i \in \{ 2..<4 \}$ 
  using m(3) by fastforce
  then show ?thesis
  using first-def len by auto
next
  case False
  then have 1:  $\forall i < \text{length } ys. ys ! i \notin \{ |, \# \}$ 
  by simp
  have bit-symbols (string-to-symbols x)
  by simp
  then have  $\forall i < \text{length } ys. ys ! i \in \{ 2..<6 \}$ 
  using assms bindecode2345 by simp
  then have  $\forall i < \text{length } ys. ys ! i \in \{ 2..<4 \}$ 
  using 1 by fastforce
  then show ?thesis
  using False first-notex by auto
qed
```

**definition** *tm-first* :: machine where

```
tm-first  $\equiv$ 
  tm-right-many {0, 1, 2} ;;
  tm-bindecode 0 2 ;;
  tm-cp-until 2 1 { $\square$ , |, #}
```

**lemma** *tm-first-tm*:  $G \geq 6 \implies k \geq 3 \implies \text{turing-machine } k \ G \ \text{tm-first}$   
**unfolding** *tm-first-def*  
**using** *tm-cp-until-tm tm-start-tm tm-bindecode-tm tm-right-many-tm*  
**by** *simp*

**locale** *turing-machine-fst-pair* =

```
fixes k :: nat
assumes k: k  $\geq$  3
```

**begin**

**definition** *tm1*  $\equiv$  *tm-right-many* {0, 1, 2}

**definition** *tm2*  $\equiv$  *tm1* ;; *tm-bindecode* 0 2

**definition** *tm3*  $\equiv$  *tm2* ;; *tm-cp-until* 2 1 { $\square$ , |, #}

**lemma** *tm3-eq-tm-first*: *tm3* = *tm-first*

```

using tm1-def tm2-def tm3-def tm-first-def by simp

context
  fixes xs :: symbol list
  assumes bs: bit-symbols xs
begin

definition tps0 ≡ snd (start-config k xs)

lemma lentps [simp]: length tps0 = k
  using tps0-def start-config-length k by simp

lemma tps0-0: tps0 ! 0 = ([xs], 0)
  using tps0-def start-config-def contents-def by auto

lemma tps0-gt-0: j > 0 ⟹ j < k ⟹ tps0 ! j = ([[]], 0)
  using tps0-def start-config-def contents-def by auto

definition tps1 ≡ tps0
  [0 := ([xs], 1),
   1 := ([[]], 1),
   2 := ([[]], 1)]

lemma tm1 [transforms-intros]: transforms tm1 tps0 1 tps1
  unfolding tm1-def
proof (tform)
  show tps1 = map (λj. if j ∈ {0, 1, 2} then tps0 ! j |+| 1 else tps0 ! j) [0..

```

```

then have m: m = length (first ?ys)
  using length-first-ex ex5 by simp
show ?thesis
proof (rule rneighI)
  have ?ys ! m ∈ {[], #}
    using firstD m-def ex5 by blast
  then show (tps2 ::: 2) (tps2 :#: 2 + length (first ?ys)) ∈ {□, |, #}
    using m tps2 contents-def by simp
  show (tps2 ::: 2) (tps2 :#: 2 + i) ∉ {□, |, #} if i < length (first ?ys) for i
  proof -
    have m < length ?ys
      using ex5 firstD(1) length-first-ex m by blast
    then have length (first ?ys) < length ?ys
      using m by simp
    then have i < length ?ys
      using that by simp
    then have ?ys ! i ≠ 0
      using proper-bindecode by fastforce
    moreover have ?ys ! i ∉ {[], #}
      using ex5 firstD(3) length-first-ex that by blast
    ultimately show ?thesis
      using Suc-neq-Zero ⟨i < length (bindecode xs)⟩ tps2 by simp
  qed
qed
qed
next
case notex5: False
then have ys: ?ys = first ?ys
  using first-notex by simp
show ?thesis
proof (rule rneighI)
  show (tps2 ::: 2) (tps2 :#: 2 + length (first ?ys)) ∈ {□, |, #}
    using ys tps2 by simp
  show (tps2 ::: 2) (tps2 :#: 2 + i) ∉ {□, |, #} if i < length (first ?ys) for i
    using notex5 that ys proper-bindecode contents-inbounds
    by (metis Suc-leI add-gr-0 diff-Suc-1 fst-conv gr-implies-not0 insert-iff
      plus-1-eq-Suc snd-conv tps2 zero-less-one)
  qed
qed
show tps3 = tps2[2 := tps2 ! 2 |+| length (first ?ys), 1 := implant (tps2 ! 2) (tps2 ! 1) (length (first ?ys))]
  (is - = ?tps)
proof -
  have 0: tps3 ! 0 = ?tps ! 0
    using tps2-def tps3-def by simp
  have 1: tps3 ! 2 = ?tps ! 2
    using tps2-def tps3-def k by simp
  have lentps2: length tps2 > 2
    using k tps2-def by simp
  have implant (tps2 ! 2) (tps2 ! 1) (length (first ?ys)) =
    (|first ?ys|, Suc (length (first ?ys)))
  proof -
    have len: length (first ?ys) ≤ length ?ys
      using first-def by simp
    have tps2 ! 1 = (|□|, 1)
      using tps2-def lentps2 by simp
    then have implant (tps2 ! 2) (tps2 ! 1) (length (first ?ys)) =
      implant (|?ys|, 1) (|□|, 1) (length (first ?ys))
      using tps2 by simp
    also have ... = (|take (length (first ?ys)) ?ys|, Suc (length (first ?ys)))
      using implant-contents[of 1 length (first ?ys) ?ys []] len by simp
    also have ... = (|first ?ys|, Suc (length (first ?ys)))
      using first-def using first-notex length-first-ex by presburger
    finally show ?thesis .
  qed
qed

```

```

moreover have length tps2 > 2
  using k tps2-def by simp
ultimately show ?thesis
  using 0 1 tps2-def tps3-def tps0-def lentps k tps2
  by (smt (verit) length-list-update list-update-overwrite list-update-swap nth-list-update)
qed
qed

```

```

lemma tm3':
  assumes ttt = 9 + 4 * length xs
  shows transforms tm3 tps0 ttt tps3
proof -
  let ?t = 8 + 3 * length xs + Suc (length (first (bindecode xs)))
  have ?t ≤ 8 + 3 * length xs + Suc (length (bindecode xs))
    using length-first by (meson Suc-le-mono add-le-mono order-refl)
  also have ... ≤ 8 + 3 * length xs + Suc (length xs)
    using length-bindecode by simp
  also have ... = 9 + 3 * length xs + length xs
    by simp
  also have ... = 9 + 4 * length xs
    by simp
  finally have ?t ≤ ttt
    using assms(1) by simp
  moreover have transforms tm3 tps0 ?t tps3
    using tm3 by simp
  ultimately show ?thesis
    using transforms-monotone by simp
qed

```

**end**

```

lemma tm3-computes:
  computes-in-time k tm3 (λx. symbols-to-string (first (bindecode (string-to-symbols x)))) (λn. 9 + 4 * n)
proof -
  define f where f = (λx. symbols-to-string (first (bindecode (string-to-symbols x))))
  define T :: nat ⇒ nat where T = (λn. 9 + 4 * n)
  have computes-in-time k tm3 f T
proof
  fix x :: string
  let ?xs = string-to-symbols x
  have bs: bit-symbols ?xs
    by simp
  define tps3 where tps3 = tps3 ?xs
  have trans: transforms tm3 (tps0 ?xs) (9 + 4 * length ?xs) tps3
    using bs tm3' tps-def by blast
  have tps3 ?xs ::: 1 = ⌊first (bindecode ?xs)⌋
    using bs tps3-def k by simp
  moreover have bit-symbols (first (bindecode ?xs))
    using bit-symbols-first by simp
  ultimately have tps3 ?xs ::: 1 = string-to-contents (symbols-to-string (first (bindecode ?xs)))
    using bit-symbols-to-symbols contents-string-to-contents by simp
  then have *: tps ::: 1 = string-to-contents (f x)
    using tps-def f-def by auto
  then have transforms tm3 (snd (start-config k (string-to-symbols x))) (T (length x)) tps
    using trans T-def tps0-def by simp
  then show ∃ tps. tps ::: 1 = string-to-contents (f x) ∧
    transforms tm3 (snd (start-config k (string-to-symbols x))) (T (length x)) tps
    using * by auto
qed
  then show ?thesis
    using f-def T-def by simp
qed

```



end

**lemma** *tm-first-computes*:  
  **assumes**  $k \geq 3$   
  **shows** *computes-in-time*  
     $k$   
    *tm-first*  
     $(\lambda x. \text{symbols-to-string } (\text{first } (\text{bindecode } (\text{string-to-symbols } x))))$   
     $(\lambda n. 9 + 4 * n)$   
**proof** –  
  **interpret** *loc*: *turing-machine-fst-pair*  $k$   
  **using** *turing-machine-fst-pair.intro* *assms* **by** *simp*  
  **show** *?thesis*  
  **using** *loc.tm3-eq-tm-first* *loc.tm3-computes* **by** *simp*  
**qed**

## A Turing machine for splitting pairs

The next Turing machine expects a proper symbol sequence *zs* on tape  $j_1$  and outputs *first zs* and *second zs* on tapes  $j_2$  and  $j_3$ , respectively.

**definition** *tm-unpair* :: *tapeidx*  $\Rightarrow$  *tapeidx*  $\Rightarrow$  *tapeidx*  $\Rightarrow$  *machine* **where**  
  *tm-unpair*  $j_1$   $j_2$   $j_3 \equiv$   
    *tm-cp-until*  $j_1$   $j_2$   $\{\square, |, \#\}$  ;;  
    *tm-right*  $j_1$  ;;  
    *tm-cp-until*  $j_1$   $j_3$   $\{\square\}$  ;;  
    *tm-cr*  $j_1$  ;;  
    *tm-cr*  $j_2$  ;;  
    *tm-cr*  $j_3$

**lemma** *tm-unpair-tm*:  
  **assumes**  $k \geq 2$  **and**  $G \geq 4$  **and**  $0 < j_2$  **and**  $0 < j_3$  **and**  $j_1 < k$   $j_2 < k$   $j_3 < k$   
  **shows** *turing-machine*  $k$   $G$  (*tm-unpair*  $j_1$   $j_2$   $j_3$ )  
  **using** *tm-cp-until-tm* *tm-right-tm* *tm-cr-tm* *assms* *tm-unpair-def* **by** *simp*

**locale** *turing-machine-unpair* =  
  **fixes**  $j_1$   $j_2$   $j_3$  :: *tapeidx*  
**begin**

**definition** *tm1*  $\equiv$  *tm-cp-until*  $j_1$   $j_2$   $\{\square, |, \#\}$   
**definition** *tm2*  $\equiv$  *tm1* ;; *tm-right*  $j_1$   
**definition** *tm3*  $\equiv$  *tm2* ;; *tm-cp-until*  $j_1$   $j_3$   $\{\square\}$   
**definition** *tm4*  $\equiv$  *tm3* ;; *tm-cr*  $j_1$   
**definition** *tm5*  $\equiv$  *tm4* ;; *tm-cr*  $j_2$   
**definition** *tm6*  $\equiv$  *tm5* ;; *tm-cr*  $j_3$

**lemma** *tm6-eq-tm-unpair*: *tm6* = *tm-unpair*  $j_1$   $j_2$   $j_3$   
  **unfolding** *tm6-def* *tm5-def* *tm4-def* *tm3-def* *tm2-def* *tm1-def* *tm-unpair-def* **by** *simp*

**context**  
  **fixes** *tps0* :: *tape list* **and**  $k$  :: *nat* **and** *zs* :: *symbol list*  
  **assumes**  $j_1 < k$   $j_2 < k$   $j_3 < k$   $j_1 \neq j_2$   $j_1 \neq j_3$   $j_2 \neq j_3$   $j_1 < k$   $j_2 < k$   $j_3 < k$  *length tps0* =  $k$   
  **and** *zs*: *proper-symbols* *zs*  
  **and** *tps0*:  
    *tps0* !  $j_1$  =  $(\lfloor \text{zs} \rfloor, 1)$   
    *tps0* !  $j_2$  =  $(\lfloor \square \rfloor, 1)$   
    *tps0* !  $j_3$  =  $(\lfloor \square \rfloor, 1)$

**begin**

**definition** *tps1*  $\equiv$  *tps0*  
   $[j_1 := (\lfloor \text{zs} \rfloor, \text{Suc } (\text{length } (\text{first } \text{zs}))),$   
   $j_2 := (\lfloor \text{first } \text{zs} \rfloor, \text{Suc } (\text{length } (\text{first } \text{zs})))]$

**lemma** *tm1* [*transforms-intros*]:

```

assumes  $ttt = \text{Suc } (\text{length } (\text{first } zs))$ 
shows  $\text{transforms } tm1 \ tps0 \ ttt \ tps1$ 
unfolding  $tm1\text{-def}$ 
proof ( $tform \ tps: \text{assms } tps0 \ tps1\text{-def } jk$ )
  let  $?n = \text{length } (\text{first } zs)$ 
  have  $*$ :  $tps0 ! j1 = (\lfloor zs \rfloor, 1)$ 
    using  $tps0 \ jk$  by  $simp$ 
  show  $rneigh \ (tps0 ! j1) \ \{\square, |, \#\}$  ( $\text{length } (\text{first } zs)$ )
  proof ( $\text{cases } \exists i < \text{length } zs. \ zs ! i \in \{ |, \#\}$ )
    case  $ex5: \text{True}$ 
      define  $m$  where  $m = (\text{LEAST } i. \ i < \text{length } zs \wedge \ zs ! i \in \{ |, \#\})$ 
      then have  $m$ :  $m = \text{length } (\text{first } zs)$ 
        using  $\text{length-first-ex } ex5$  by  $simp$ 
      show  $?thesis$ 
      proof ( $\text{rule } rneighI$ )
        have  $zs ! m \in \{ |, \#\}$ 
          using  $firstD \ m\text{-def } ex5$  by  $blast$ 
        then show  $(tps0 ::: j1) \ (tps0 :\# : j1 + \text{length } (\text{first } zs)) \in \{\square, |, \#\}$ 
          using  $m * \text{contents-def}$  by  $simp$ 
        show  $(tps0 ::: j1) \ (tps0 :\# : j1 + i) \notin \{\square, |, \#\}$  if  $i < \text{length } (\text{first } zs)$  for  $i$ 
        proof  $-$ 
          have  $m < \text{length } zs$ 
            using  $ex5 \ firstD(1) \ \text{length-first-ex } m$  by  $blast$ 
          then have  $\text{length } (\text{first } zs) < \text{length } zs$ 
            using  $m$  by  $simp$ 
          then have  $i < \text{length } zs$ 
            using  $that$  by  $simp$ 
          then have  $zs ! i \neq \square$ 
            using  $zs$  by  $fastforce$ 
          moreover have  $zs ! i \notin \{ |, \#\}$ 
            using  $ex5 \ firstD(3) \ \text{length-first-ex } that$  by  $blast$ 
          ultimately show  $?thesis$ 
            using  $\text{Suc-neq-Zero } \langle i < \text{length } zs \rangle *$  by  $simp$ 
        qed
      qed
    next
      case  $notex5: \text{False}$ 
      then have  $ys$ :  $zs = \text{first } zs$ 
        using  $first\text{-notex}$  by  $simp$ 
      show  $?thesis$ 
      proof ( $\text{rule } rneighI$ )
        show  $(tps0 ::: j1) \ (tps0 :\# : j1 + \text{length } (\text{first } zs)) \in \{\square, |, \#\}$ 
          using  $ys *$  by  $simp$ 
        show  $(tps0 ::: j1) \ (tps0 :\# : j1 + i) \notin \{\square, |, \#\}$  if  $i < \text{length } (\text{first } zs)$  for  $i$ 
          using  $notex5 \ that \ ys \ \text{proper-bindecode } \text{contents-inbounds} * \ zs$  by  $auto$ 
        qed
      qed
  have  $1$ :  $\text{implant } (tps0 ! j1) \ (tps0 ! j2) \ ?n = (\lfloor \text{first } zs \rfloor, \text{Suc } ?n)$ 
    proof  $-$ 
      have  $\text{implant } (tps0 ! j1) \ (tps0 ! j2) \ ?n =$ 
         $(\lfloor \lfloor \ \ @ \ \text{take } (\text{length } (\text{first } zs)) \ (\text{drop } (1 - 1) \ zs) \rfloor,$ 
         $\text{Suc } (\text{length } \lfloor \ \ @ \ \text{take } (\text{length } (\text{first } zs)) \ (\text{drop } (1 - 1) \ zs)) \rfloor)$ 
        using  $\text{implant-contents}[of \ 1 \ \text{length } (\text{first } zs) \ zs \ \lfloor \ \ @ \ \text{take } (\text{length } (\text{first } zs)) \ (\text{drop } (1 - 1) \ zs) \rfloor] \ tps0(1,2)$ 
        by ( $\text{metis } (\text{mono-tags}, \text{lifting}) \ \text{add.right-neutral } \text{diff-Suc-1} \ \text{le-eq-less-or-eq}$ 
         $\text{firstD}(1) \ \text{first-notex} \ \text{length-first-ex} \ \text{less-one} \ \text{list.size}(3) \ \text{plus-1-eq-Suc}$ )
      then have  $\text{implant } (tps0 ! j1) \ (tps0 ! j2) \ ?n = (\lfloor \text{take } ?n \ zs \rfloor, \text{Suc } ?n)$ 
        by  $simp$ 
      then show  $\text{implant } (tps0 ! j1) \ (tps0 ! j2) \ ?n = (\lfloor \text{first } zs \rfloor, \text{Suc } ?n)$ 
        using  $first\text{-def } \text{length-first-ex}$  by  $auto$ 
      qed
  have  $2$ :  $tps0 ! j1 \ |+\ | \ ?n = (\lfloor zs \rfloor, \text{Suc } ?n)$ 
    using  $tps0 \ jk$  by  $simp$ 

```

**show**  $tps1 = tps0$   
 $[j1 := tps0 ! j1 \mid + \mid ?n,$   
 $j2 := \text{implant } (tps0 ! j1) (tps0 ! j2) ?n]$   
**unfolding**  $tps1\text{-def}$  **using**  $jk$  1 2 **by**  $\text{simp}$   
**qed**

**definition**  $tps2 \equiv tps0$   
 $[j1 := (\lfloor zs \rfloor, \text{length } (first\ zs) + 2),$   
 $j2 := (\lfloor first\ zs \rfloor, \text{Suc } (\text{length } (first\ zs)))]$

**lemma**  $tm2$  [*transforms-intros*]:  
**assumes**  $ttt = \text{length } (first\ zs) + 2$   
**shows**  $\text{transforms } tm2\ tps0\ ttt\ tps2$   
**unfolding**  $tm2\text{-def}$   
**proof** (*tform tps: tps1-def jk tps2-def time: assms*)  
**have**  $tps1 ! j1 \mid + \mid 1 = (\lfloor zs \rfloor, \text{length } (first\ zs) + 2)$   
**using**  $tps1\text{-def } jk$  **by**  $\text{simp}$   
**then show**  $tps2 = tps1 [j1 := tps1 ! j1 \mid + \mid 1]$   
**unfolding**  $tps2\text{-def } tps1\text{-def}$  **using**  $jk$  **by** (*simp add: list-update-swap*)  
**qed**

**definition**  $tps3 \equiv tps0$   
 $[j1 := (\lfloor zs \rfloor, \text{length } (first\ zs) + 2 + (\text{length } zs - \text{Suc } (\text{length } (first\ zs))))],$   
 $j2 := (\lfloor first\ zs \rfloor, \text{Suc } (\text{length } (first\ zs))),$   
 $j3 := (\lfloor second\ zs \rfloor, \text{Suc } (\text{length } (second\ zs)))]$

**lemma**  $tm3$  [*transforms-intros*]:  
**assumes**  $ttt = \text{length } (first\ zs) + 2 + \text{Suc } (\text{length } zs - \text{Suc } (\text{length } (first\ zs)))$   
**shows**  $\text{transforms } tm3\ tps0\ ttt\ tps3$   
**unfolding**  $tm3\text{-def}$   
**proof** (*tform tps: assms tps2-def tps3-def jk*)  
**let**  $?ll = \text{length } (first\ zs)$   
**let**  $?n = \text{length } zs - \text{Suc } ?ll$   
**have**  $at\text{-}j1: tps2 ! j1 = (\lfloor zs \rfloor, \text{length } (first\ zs) + 2)$   
**using**  $tps2\text{-def } jk$  **by**  $\text{simp}$   
**show**  $\text{rneigh } (tps2 ! j1) \{0\} ?n$   
**proof** (*rule rneighI*)  
**show**  $(tps2 ::: j1) (tps2 :\# : j1 + (\text{length } zs - \text{Suc } ?ll)) \in \{0\}$   
**using**  $at\text{-}j1$  **by**  $\text{simp}$   
**show**  $(tps2 ::: j1) (tps2 :\# : j1 + m) \notin \{0\}$  **if**  $m < \text{length } zs - \text{Suc } ?ll$  **for**  $m$   
**proof** -  
**have**  $*$ :  $(tps2 ::: j1) (tps2 :\# : j1 + m) = \lfloor zs \rfloor (\text{?ll} + 2 + m)$   
**using**  $at\text{-}j1$  **by**  $\text{simp}$   
**have**  $\text{Suc } ?ll < \text{length } zs$   
**using**  $that$  **by**  $\text{simp}$   
**then have**  $?ll + 2 + m \leq \text{Suc } (\text{length } zs)$   
**using**  $that$  **by**  $\text{simp}$   
**then have**  $\lfloor zs \rfloor (\text{?ll} + 2 + m) = zs ! (\text{?ll} + 1 + m)$   
**using**  $that$  **by**  $\text{simp}$   
**then have**  $\lfloor zs \rfloor (\text{?ll} + 2 + m) > 0$   
**using**  $zs$  **that** **by** (*metis add.commute grOI less-diff-conv not-add-less2 plus-1-eq-Suc*)  
**then show**  $?thesis$   
**using**  $*$  **by**  $\text{simp}$   
**qed**  
**qed**

**have** 1:  $\text{implant } (tps2 ! j1) (tps2 ! j3) ?n = (\lfloor second\ zs \rfloor, \text{Suc } (\text{length } (second\ zs)))$   
**proof** (*cases Suc ?ll  $\leq$  length zs*)  
**case**  $True$   
**have**  $\text{implant } (tps2 ! j1) (tps2 ! j3) ?n = \text{implant } (\lfloor zs \rfloor, ?ll + 2) (\lfloor \square \rfloor, 1) ?n$   
**using**  $tps2\text{-def } jk$  **by** (*metis at-j1 nth-list-update-neq' tps0(3)*)  
**also have**  $\dots = (\lfloor take\ ?n (drop (\text{Suc } ?ll) zs) \rfloor, \text{Suc } ?n)$   
**using**  $True$  *implant-contents*

```

    by (metis (no-types, lifting) One-nat-def add commute add-2-eq-Suc' append.simps(1) diff-Suc-1
        dual-order.refl le-add-diff-inverse2 list.size(3) plus-1-eq-Suc zero-less-Suc)
  also have ... = ([take (length (second zs)) (drop (Suc ?ll) zs)], Suc (length (second zs)))
    using length-second-first by simp
  also have ... = ([second zs], Suc (length (second zs)))
    using second-def by simp
  finally show ?thesis .
next
case False
then have ?n = 0
  by simp
then have implant (tps2 ! j1) (tps2 ! j3) ?n = implant ([zs], ?ll + 2) ([[]], 1) 0
  using tps2-def jk by (metis at-j1 nth-list-update-neq' tps0(3))
then have implant (tps2 ! j1) (tps2 ! j3) ?n = ([[]], 1)
  using transplant-0 by simp
moreover have second zs = []
  using False second-def by simp
ultimately show ?thesis
  by simp
qed

show tps3 = tps2
  [j1 := tps2 ! j1 |+| ?n,
   j3 := implant (tps2 ! j1) (tps2 ! j3) ?n]
  using tps3-def tps2-def using 1 jk at-j1 by (simp add: list-update-swap[of j1])
qed

definition tps4 ≡ tps0
  [j1 := ([zs], 1),
   j2 := ([first zs], Suc (length (first zs))),
   j3 := ([second zs], Suc (length (second zs)))]

lemma tm4:
  assumes ttt = 2 * length (first zs) + 7 + 2 * (length zs - Suc (length (first zs)))
  shows transforms tm4 tps0 ttt tps4
  unfolding tm4-def
proof (tform tps: assms tps3-def tps4-def jk zs)
  have tps3 ! j1 |#|= 1 = ([zs], 1)
    using tps3-def jk by simp
  then show tps4 = tps3[j1 := tps3 ! j1 |#|= 1]
    unfolding tps4-def tps3-def using jk by (simp add: list-update-swap)
qed

lemma tm4' [transforms-intros]:
  assumes ttt = 4 * length zs + 7
  shows transforms tm4 tps0 ttt tps4
proof -
  have 2 * length (first zs) + 7 + 2 * (length zs - Suc (length (first zs))) ≤ 2 * length (first zs) + 7 + 2 *
  length zs
    by simp
  also have ... ≤ 2 * length zs + 7 + 2 * length zs
    using length-first by simp
  also have ... = ttt
    using assms by simp
  finally have 2 * length (first zs) + 7 + 2 * (length zs - Suc (length (first zs))) ≤ ttt .
  then show ?thesis
    using assms tm4 transforms-monotone by simp
qed

definition tps5 ≡ tps0
  [j1 := ([zs], 1),
   j2 := ([first zs], 1),
   j3 := ([second zs], Suc (length (second zs)))]

```

```

lemma tm5 [transforms-intros]:
  assumes ttt = 4 * length zs + 9 + Suc (length (first zs))
  shows transforms tm5 tps0 ttt tps5
  unfolding tm5-def
proof (tform tps: assms tps4-def tps5-def jk)
  show clean-tape (tps4 ! j2)
    using zs first-def tps4-def jk by simp
  have tps4 ! j2 |#|= 1 = ([first zs], 1)
    using tps4-def jk by simp
  then show tps5 = tps4[j2 := tps4 ! j2 |#|= 1]
    unfolding tps5-def tps4-def using jk by (simp add: list-update-swap)
qed

definition tps6 ≡ tps0
  [j1 := ([zs], 1),
   j2 := ([first zs], 1),
   j3 := ([second zs], 1)]

lemma tm6:
  assumes ttt = 4 * length zs + 11 + Suc (length (first zs)) + Suc (length (second zs))
  shows transforms tm6 tps0 ttt tps6
  unfolding tm6-def
proof (tform tps: assms tps5-def tps6-def jk)
  show clean-tape (tps5 ! j3)
    using zs second-def tps5-def jk by simp
qed

definition tps6' ≡ tps0
  [j2 := ([first zs], 1),
   j3 := ([second zs], 1)]

lemma tps6': tps6' = tps6
  using tps6-def tps6'-def list-update-id tps0(1) by metis

lemma tm6':
  assumes ttt = 6 * length zs + 13
  shows transforms tm6 tps0 ttt tps6'
proof -
  have 4 * length zs + 11 + Suc (length (first zs)) + Suc (length (second zs)) ≤
    4 * length zs + 13 + length zs + length (second zs)
    using length-first by simp
  also have ... ≤ 6 * length zs + 13
    using length-second by simp
  finally have 4 * length zs + 11 + Suc (length (first zs)) + Suc (length (second zs)) ≤ ttt
    using assms by simp
  then show ?thesis
    using tm6 tps6' transforms-monotone by simp
qed

end

end

lemma transforms-tm-unpairI [transforms-intros]:
  fixes j1 j2 j3 :: tapeidx
  fixes tps tps' :: tape list and k :: nat and zs :: symbol list
  assumes 0 < j2 0 < j3 j1 ≠ j2 j1 ≠ j3 j2 ≠ j3 j1 < k j2 < k j3 < k
    and length tps = k
    and proper-symbols zs
  assumes
    tps ! j1 = ([zs], 1)
    tps ! j2 = ([[]], 1)

```

```

    tps ! j3 = ([[]], 1)
  assumes ttt = 6 * length zs + 13
  assumes tps' = tps
    [j2 := ([first zs], 1),
     j3 := ([second zs], 1)]
  shows transforms (tm-unpair j1 j2 j3) tps ttt tps'
proof -
  interpret loc: turing-machine-unpair j1 j2 j3 .
  show ?thesis
    using assms loc.tps6'-def loc.tm6' loc.tm6-eq-tm-unpair by metis
qed

end

```

## 2.12 Well-formedness of lists

```

theory Wellformed
  imports Symbol-Ops Lists-Lists
begin

```

In the representations introduced in Section 2.8 and Section 2.9, not every symbol sequence over  $\mathbf{01}$  represents a list of numbers, and not every symbol sequence over  $\mathbf{01}\#$  represents a list of lists of numbers. In this section we prove criteria for symbol sequences to represent such lists and devise Turing machines to check these criteria efficiently.

### 2.12.1 A criterion for well-formed lists

From the definition of *numlist* it is easy to see that a symbol sequence representing a list of numbers is either empty or not, and that in the latter case it ends with a  $|$  symbol. Moreover it can only contain the symbols  $\mathbf{01}$  and cannot contain the symbol sequence  $\mathbf{0}$  because canonical number representations cannot end in  $\mathbf{0}$ . That these properties are not only necessary but also sufficient for the symbol sequence to represent a list of numbers is shown in this section.

A symbol sequence is well-formed if it represents a list of numbers.

```

definition numlist-wf :: symbol list  $\Rightarrow$  bool where
  numlist-wf zs  $\equiv$   $\exists$  ns. numlist ns = zs

```

```

lemma numlist-wf-append:
  assumes numlist-wf xs and numlist-wf ys
  shows numlist-wf (xs @ ys)
proof -
  obtain ms ns where numlist ms = xs and numlist ns = ys
  using assms numlist-wf-def by auto
  then have numlist (ms @ ns) = xs @ ys
  using numlist-append by simp
  then show ?thesis
  using numlist-wf-def by auto
qed

```

```

lemma numlist-wf-canonical:
  assumes canonical xs
  shows numlist-wf (xs @ [])
proof -
  obtain n where canrepr n = xs
  using assms canrepr1 by blast
  then have numlist [n] = xs @ []
  using numlist-def by simp
  then show ?thesis
  using numlist-wf-def by auto
qed

```

Well-formed symbol sequences can be unambiguously decoded to lists of numbers.

**definition** *zs-numlist* :: *symbol list*  $\Rightarrow$  *nat list* **where**  
*zs-numlist* *zs*  $\equiv$  *THE ns. numlist ns = zs*

**lemma** *zs-numlist-ex1*:  
**assumes** *numlist-wf zs*  
**shows**  $\exists! ns. numlist ns = zs$   
**using** *assms numlist-wf-def numlist-inj* **by** *blast*

**lemma** *numlist-zs-numlist*:  
**assumes** *numlist-wf zs*  
**shows** *numlist (zs-numlist zs) = zs*  
**using** *assms zs-numlist-def zs-numlist-ex1* **by** (*smt (verit, del-insts) the-equality*)

Count the number of occurrences of an element in a list:

**fun** *count* :: *nat list*  $\Rightarrow$  *nat*  $\Rightarrow$  *nat* **where**  
*count* [] *z* = 0 |  
*count* (*x # xs*) *z* = (*if x = z then 1 else 0*) + *count xs z*

**lemma** *count-append*: *count (xs @ ys) z = count xs z + count ys z*  
**by** (*induction xs*) *simp-all*

**lemma** *count-0*: *count xs z = 0*  $\longleftrightarrow$  ( $\forall x \in \text{set } xs. x \neq z$ )

**proof**  
**show** *count xs z = 0*  $\implies$   $\forall x \in \text{set } xs. x \neq z$   
**by** (*induction xs*) *auto*  
**show**  $\forall x \in \text{set } xs. x \neq z \implies count xs z = 0$   
**by** (*induction xs*) *auto*  
**qed**

**lemma** *count-gr-0-take*:  
**assumes** *count xs z > 0*  
**shows**  $\exists j.$   
*j < length xs*  $\wedge$   
*xs ! j = z*  $\wedge$   
 $(\forall i < j. xs ! i \neq z) \wedge$   
*count (take (Suc j) xs) z = 1*  $\wedge$   
*count (drop (Suc j) xs) z = count xs z - 1*

**proof** –  
**let** *?P* =  $\lambda i. i < \text{length } xs \wedge xs ! i = z$   
**have** *ex*:  $\exists i. ?P i$   
**using** *assms(1) count-0* **by** (*metis bot-nat-0.not-eq-extremum in-set-conv-nth*)  
**define** *j* **where** *j* = *Least ?P*  
**have** *1*: *j < length xs*  
**using** *j-def ex* **by** (*metis (mono-tags, lifting) LeastI*)  
**moreover** **have** *2*: *xs ! j = z*  
**using** *j-def ex* **by** (*metis (mono-tags, lifting) LeastI*)  
**moreover** **have** *3*:  $\forall i < j. xs ! i \neq z$   
**using** *j-def ex 1 not-less-Least order-less-trans* **by** *blast*  
**moreover** **have** *4*: *count (take (Suc j) xs) z = 1*  
**proof** –  
**have**  $\forall x \in \text{set } (take j xs). x \neq z$   
**using** *3 1* **by** (*metis in-set-conv-nth length-take less-imp-le-nat min-absorb2 nth-take*)  
**then** **have** *count (take j xs) z = 0*  
**using** *count-0* **by** *simp*  
**moreover** **have** *count [xs ! j] z = 1*  
**using** *2* **by** *simp*  
**moreover** **have** *take (Suc j) xs = take j xs @ [xs ! j]*  
**using** *1 take-Suc-conv-app-nth* **by** *auto*  
**ultimately** **show** *count (take (Suc j) xs) z = 1*  
**using** *count-append* **by** *simp*  
**qed**  
**moreover** **have** *count (drop (Suc j) xs) z = count xs z - 1*  
**proof** –

```

have xs = take (Suc j) xs @ drop (Suc j) xs
  using 1 by simp
then show ?thesis
  using count-append 4 by (metis add-diff-cancel-left)
qed
ultimately show ?thesis
  by auto
qed

```

**definition** *has2* :: *symbol list*  $\Rightarrow$  *symbol*  $\Rightarrow$  *symbol*  $\Rightarrow$  *bool* **where**  
*has2* *xs* *y* *z*  $\equiv \exists i < \text{length } xs - 1. xs ! i = y \wedge xs ! (\text{Suc } i) = z$

**lemma** *not-has2-take*:  
**assumes**  $\neg has2\ xs\ y\ z$   
**shows**  $\neg has2\ (\text{take } m\ xs)\ y\ z$   
**proof** (rule *ccontr*)  
 let ?ys = take m xs  
**assume**  $\neg \neg has2\ ?ys\ y\ z$   
**then have** *has2* ?ys y z  
 by simp  
**then have** *has2* xs y z  
 using *has2-def* by fastforce  
**then show** *False*  
 using *assms* by simp  
**qed**

**lemma** *not-has2-drop*:  
**assumes**  $\neg has2\ xs\ y\ z$   
**shows**  $\neg has2\ (\text{drop } m\ xs)\ y\ z$   
**proof** (rule *ccontr*)  
 let ?ys = drop m xs  
**assume**  $\neg \neg has2\ ?ys\ y\ z$   
**then have** *has2* ?ys y z  
 by simp  
**then have** *has2* xs y z  
 using *has2-def* by fastforce  
**then show** *False*  
 using *assms* by simp  
**qed**

**lemma** *numlist-wf-has2*:  
**assumes** *proper-symbols* *xs* *symbols-lt* 5 *xs*  $\neg has2\ xs\ 0 \mid xs \neq [] \longrightarrow \text{last } xs = |$   
**shows** *numlist-wf* *xs*  
**using** *assms*  
**proof** (induction count *xs* | arbitrary: *xs*)  
 case 0  
 then have *xs* = []  
 using *count-0* by simp  
 then show ?case  
 using *numlist-wf-def* *numlist-Nil* by blast  
**next**  
 case (Suc *n*)  
 then obtain *j* :: nat **where** *j*:  
*j* < length *xs*  
*xs* ! *j* = |  
 $\forall i < j. xs ! i \neq |$   
 count (take (Suc *j*) *xs*) | = 1  
 count (drop (Suc *j*) *xs*) | = count *xs* | - 1  
 by (metis *count-gr-0-take* *zero-less-Suc*)  
 then have *xs*  $\neq []$   
 by auto  
 then have *last* *xs* = |  
 using *Suc.prem*s by simp



```

let ?ys = drop (Suc j) xs
have count ?ys | = n
  using j(5) Suc by simp
moreover have proper-symbols ?ys
  using Suc.prem1 by simp
moreover have symbols-lt 5 ?ys
  using Suc.prem1 by simp
moreover have  $\neg$  has2 ?ys 0 |
  using not-has2-drop Suc.prem1(3) by simp
moreover have ?ys  $\neq$  []  $\longrightarrow$  last ?ys = |
  using j by (simp add:  $\langle$ last xs =  $\rangle$ )
ultimately have wf-ys: numlist-wf ?ys
  using Suc by simp

let ?zs = take j xs
have canonical ?zs
proof -
  have ?zs ! i  $\geq$  0 if i < length ?zs for i
    using that Suc.prem1(1) j by (metis One-nat-def Suc-1 Suc-leI length-take min-less-iff-conj nth-take)
  moreover have ?zs ! i  $\leq$  1 if i < length ?zs for i
  proof -
    have ?zs ! i < |
      using that Suc.prem1(1,2) j
      by (metis eval-nat-numeral(3) length-take less-Suc-eq-le min-less-iff-conj nat-less-le nth-take)
    then show ?thesis
      by simp
  qed
  ultimately have bit-symbols ?zs
    by fastforce
  moreover have ?zs = []  $\vee$  last ?zs = 1
  proof (cases ?zs = [])
    case True
    then show ?thesis
      by simp
  next
    case False
    then have last ?zs = ?zs ! (j - 1)
      by (metis add-diff-inverse-nat j(1) last-length length-take less-imp-le-nat less-one
        min-absorb2 plus-1-eq-Suc take-eq-Nil)
    then have last: last ?zs = xs ! (j - 1)
      using False by simp
    have xs ! (j - 1)  $\neq$  |
      using j(3) False by simp
    moreover have xs ! (j - 1) < #
      using Suc.prem1(2) j(1) by simp
    moreover have xs ! (j - 1)  $\geq$  0
      using Suc.prem1(1) j(1) by (metis One-nat-def Suc-1 Suc-leI less-imp-diff-less)
    moreover have xs ! (j - 1)  $\neq$  0
    proof (rule ccontr)
      assume  $\neg$  xs ! (j - 1)  $\neq$  0
      then have xs ! (j - 1) = 0
        by simp
      moreover have xs ! j = |
        using j by simp
      ultimately have has2 xs 0 |
        using has2-def j False
        by (metis (no-types, lifting) Nat.lessE add-diff-cancel-left' less-Suc-eq-0-disj not-less-eq plus-1-eq-Suc
          take-eq-Nil)
      then show False
        using Suc.prem1(3) by simp
    qed
    ultimately have xs ! (j - 1) = 1

```

```

    by simp
  then have last ?zs = 1
    using last by simp
  then show ?thesis
    by simp
qed
ultimately show canonical ?zs
  using canonical-def by simp
qed

let ?ts = take (Suc j) xs
have ?ts = ?zs @ []
  using j by (metis take-Suc-conv-app-nth)
then have numlist-wf ?ts
  using numlist-wf-canonical ‹canonical ?zs› by simp
moreover have xs = ?ts @ ?ys
  by simp
ultimately show numlist-wf xs
  using wf-ys numlist-wf-append by fastforce
qed

lemma last-numlist-4: numlist ns ≠ [] ⇒ last (numlist ns) = |
proof (induction ns)
  case Nil
  then show ?case
    using numlist-def by simp
next
  case (Cons n ns)
  then show ?case
    using numlist-def by (cases numlist ns = []) simp-all
qed

lemma numlist-not-has2:
  assumes  $i < \text{length } (\text{numlist } ns) - 1$  and  $\text{numlist } ns ! i = 0$ 
  shows  $\text{numlist } ns ! (\text{Suc } i) \neq |$ 
  using assms
proof (induction ns arbitrary: i)
  case Nil
  then show ?case
    by (simp add: numlist-Nil)
next
  case (Cons n ns)
  show  $\text{numlist } (n \# ns) ! (\text{Suc } i) \neq |$ 
  proof (cases  $i < \text{length } (\text{numlist } [n])$ )
    case True
    have  $\text{numlist } (n \# ns) ! i = (\text{numlist } [n] @ \text{numlist } ns) ! i$ 
      using numlist-def by simp
    then have  $\text{numlist } (n \# ns) ! i = \text{numlist } [n] ! i$ 
      using True by (simp add: nth-append)
    then have  $\text{numlist } (n \# ns) ! i = (\text{canrepr } n @ []) ! i$ 
      using numlist-def by simp
    moreover have  $\text{numlist } (n \# ns) ! i = 0$ 
      using Cons by simp
    ultimately have  $(\text{canrepr } n @ []) ! i = 0$ 
      by simp
    moreover have  $(\text{canrepr } n @ []) ! (\text{length } (\text{canrepr } n @ []) - 1) = |$ 
      by simp
    ultimately have  $i \neq \text{length } (\text{canrepr } n @ []) - 1$ 
      by auto
    then have *:  $i \neq \text{length } (\text{numlist } [n]) - 1$ 
      using numlist-def by simp
  have 3:  $\text{canrepr } n ! j = \text{numlist } (n \# ns) ! j$  if  $j < \text{nlength } n$  for  $j$ 

```

```

proof -
  have j: j < length (numlist [n])
    using that numlist-def by simp
  have numlist (n # ns) ! j = (numlist [n] @ numlist ns) ! j
    using numlist-def by simp
  then have numlist (n # ns) ! j = numlist [n] ! j
    using j by (simp add: nth-append)
  then have numlist (n # ns) ! j = (canrepr n @ []) ! j
    using numlist-def by simp
  then show ?thesis
    by (simp add: nth-append that)
qed

have neq0: n ≠ 0
proof -
  have length (numlist [0]) = 1
    using numlist-def by simp
  then show ?thesis
    using * True by (metis diff-self-eq-0 less-one)
qed
then have i < length (numlist [n]) - 1
  using * True by simp
then have i < length (canrepr n @ []) - 1
  using numlist-def by simp
then have i < length (canrepr n)
  by simp
then have canrepr n ! i = 0
  by (metis ‹(canrepr n @ []) ! i = 0› nth-append)
moreover have last (canrepr n) ≠ 0
  using canonical-canrepr canonical-def
  by (metis neq0 length-0-conv n-not-Suc-n nlength-0 numeral-2-eq-2 numeral-3-eq-3)
ultimately have i ≠ nlength n - 1
  by (metis ‹i < nlength n› last-conv-nth less-zeroE list.size(3))
then have i < nlength n - 1
  using ‹i < nlength n› by linarith
then have Suc i < nlength n
  by simp
then have canrepr n ! Suc i ≤ 1
  using bit-symbols-canrepr by fastforce
moreover have canrepr n ! Suc i = numlist (n # ns) ! Suc i
  using 3 ‹Suc i < nlength n› by blast
ultimately show ?thesis
  by simp
next
case False
let ?i = i - length (numlist [n])
have numlist (n # ns) ! i = (numlist [n] @ numlist ns) ! i
  using numlist-def by simp
then have numlist (n # ns) ! i = numlist ns ! ?i
  using False by (simp add: nth-append)
then have numlist ns ! ?i = 0
  using Cons by simp
moreover have ?i < length (numlist ns) - 1
proof -
  have length (numlist (n # ns)) = length (numlist [n]) + length (numlist ns)
    using numlist-def by simp
  then show ?thesis
    using False Cons by simp
qed
ultimately have numlist ns ! Suc ?i ≠ |
  using Cons by simp
moreover have numlist (n # ns) ! Suc i = numlist ns ! Suc ?i
  using False numlist-append

```

```

    by (smt (verit, del-insts) Suc-diff-Suc Suc-lessD append-Cons append-Nil diff-Suc-Suc not-less-eq nth-append)
  ultimately show ?thesis
    by simp
qed

```

```

lemma numlist-wf-has2':
  assumes numlist-wf xs
  shows proper-symbols-lt 5 xs  $\wedge$   $\neg$  has2 xs 0 |  $\wedge$  (xs  $\neq$  []  $\longrightarrow$  last xs = |)
proof -
  obtain ns where ns: numlist ns = xs
  using numlist-wf-def assms by auto
  have proper-symbols xs
  using proper-symbols-numlist ns by auto
  moreover have symbols-lt 5 xs
  using ns numlist-234
  by (smt (verit, best) One-nat-def Suc-1 eval-nat-numeral(3) in-mono insertE less-Suc-eq-le
    linorder-le-less-linear nle-le not-less0 nth-mem numeral-less-iff semiring-norm(76)
    semiring-norm(89) semiring-norm(90) singletonD)
  moreover have  $\neg$  has2 xs 0 |
  using numlist-not-has2 ns has2-def by auto
  moreover have xs  $\neq$  []  $\longrightarrow$  last xs = |
  using last-numlist-4 ns by auto
  ultimately show ?thesis
    by simp
qed

```

```

lemma numlist-wf-iff:
  numlist-wf xs  $\iff$  proper-symbols-lt 5 xs  $\wedge$   $\neg$  has2 xs 0 |  $\wedge$  (xs  $\neq$  []  $\longrightarrow$  last xs = |)
  using numlist-wf-has2 numlist-wf-has2' by auto

```

## 2.12.2 A criterion for well-formed lists of lists

The criterion for lists of lists of numbers is similar to the one for lists of numbers. A non-empty symbol sequence must end in  $\#$ . All symbols must be from  $01\#$  and the sequences  $0|$ ,  $0\#$ , and  $1\#$  are forbidden. A symbol sequence is well-formed if it represents a list of lists of numbers.

**definition** *numlistlist-wf* :: *symbol list*  $\Rightarrow$  *bool* **where**  
*numlistlist-wf* zs  $\equiv$   $\exists$  nss. *numlistlist* nss = zs

```

lemma numlistlist-wf-append:
  assumes numlistlist-wf xs and numlistlist-wf ys
  shows numlistlist-wf (xs @ ys)
proof -
  obtain ms ns where numlistlist ms = xs and numlistlist ns = ys
  using assms numlistlist-wf-def by auto
  then have numlistlist (ms @ ns) = xs @ ys
  using numlistlist-append by simp
  then show ?thesis
  using numlistlist-wf-def by auto
qed

```

```

lemma numlistlist-wf-numlist-wf:
  assumes numlist-wf xs
  shows numlistlist-wf (xs @ [#])
proof -
  obtain ns where numlist ns = xs
  using assms numlist-wf-def by auto
  then have numlistlist [ns] = xs @ [#]
  using numlistlist-def by simp
  then show ?thesis
  using numlistlist-wf-def by auto
qed

```

```

lemma numlistlist-wf-has2:
  assumes proper-symbols xs symbols-lt 6 xs xs ≠ [] ⟶ last xs = #
    and ¬ has2 xs 0 |
    and ¬ has2 xs 0 #
    and ¬ has2 xs 1 #
  shows numlistlist-wf xs
  using assms
proof (induction count xs # arbitrary: xs)
  case 0
  then have xs = []
    using count-0 by simp
  then show ?case
    using numlistlist-wf-def numlistlist-Nil by auto
next
  case (Suc n)
  then obtain j :: nat where j:
    j < length xs
    xs ! j = #
    ∀ i < j. xs ! i ≠ #
    count (take (Suc j) xs) # = 1
    count (drop (Suc j) xs) # = count xs # - 1
    by (metis count-gr-0-take zero-less-Suc)
  then have xs ≠ []
    by auto
  then have last xs = #
    using Suc.prem1 by simp
  let ?ys = drop (Suc j) xs
  have count ?ys # = n
    using j(5) Suc by simp
  moreover have proper-symbols ?ys
    using Suc.prem2(1) by simp
  moreover have symbols-lt 6 ?ys
    using Suc.prem2(2) by simp
  moreover have ?ys ≠ [] ⟶ last ?ys = #
    using j by (simp add: ⟨last xs = #⟩)
  moreover have ¬ has2 ?ys 0 |
    using not-has2-drop Suc.prem4(4) by simp
  moreover have ¬ has2 ?ys 0 #
    using not-has2-drop Suc.prem5(5) by simp
  moreover have ¬ has2 ?ys 1 #
    using not-has2-drop Suc.prem6(6) by simp
  ultimately have wf-ys: numlistlist-wf ?ys
    using Suc by simp

  let ?zs = take j xs
  have len: length ?zs = j
    using j(1) by simp
  have numlist-wf ?zs
  proof -
    have proper-symbols ?zs
      using Suc.prem1(1) by simp
    moreover have symbols-lt 5 ?zs
    proof standard+
      fix i :: nat
      assume i < length ?zs
      then have i < j
        using j by simp
      then have ?zs ! i < 6
        using Suc.prem2(2) j by simp
      moreover have ?zs ! i ≠ #
        using ⟨i < j⟩ j by simp
      ultimately show ?zs ! i < #
        by simp
    end
  end
end

```

```

qed
moreover have  $\neg$  has2 ?zs 0 |
  using not-has2-take Suc.prem(4) by simp
moreover have ?zs  $\neq$  []  $\longrightarrow$  last ?zs = |
proof
  assume neq-Nil: ?zs  $\neq$  []
  then have j > 0
    by simp
  moreover have xs ! j = #
    using j by simp
  ultimately have xs ! Suc (j - 1) = #
    by simp
  moreover have j - 1 < length xs - 1
    by (simp add: Suc-leI <0 < j> diff-less-mono j(1))
  ultimately have xs ! (j - 1)  $\neq$  0 xs ! (j - 1)  $\neq$  1
    using Suc.prem(4) by auto
  then have ?zs ! (j - 1)  $\neq$  0 ?zs ! (j - 1)  $\neq$  1
    by (simp-all add: <0 < j>)
  moreover have ?zs ! (j - 1) < #
    using <symbols-lt 5 ?zs> <0 < j> j(1) len
    by simp
  moreover have ?zs ! (j - 1)  $\geq$  0
    using <proper-symbols ?zs> len <0 < j> by (metis One-nat-def Suc-1 Suc-leI diff-less zero-less-one)
  ultimately have ?zs ! (j - 1) = |
    by simp
  then show last ?zs = |
    using len by (metis last-conv-nth neq-Nil)
qed
ultimately show numlist-wf ?zs
  using numlist-wf-iff by simp
qed

let ?ts = take (Suc j) xs
have ?ts = ?zs @ [#]
  using j by (metis take-Suc-conv-app-nth)
then have numlistlist-wf ?ts
  using numlistlist-wf-numlist-wf <numlist-wf ?zs> by simp
moreover have xs = ?ts @ ?ys
  by simp
ultimately show numlistlist-wf xs
  using wf-ys numlistlist-wf-append by fastforce
qed

lemma numlistlist-not-has2:
  assumes i < length (numlistlist nss) - 1 and numlistlist nss ! i = 0
  shows numlistlist nss ! (Suc i)  $\neq$  |
  using assms
proof (induction nss arbitrary: i)
  case Nil
  then show ?case
    by (simp add: numlistlist-Nil)
next
  case (Cons ns nss)
  show numlistlist (ns # nss) ! (Suc i)  $\neq$  |
  proof (cases i < length (numlistlist [ns]))
    case True
    have numlistlist (ns # nss) ! i = (numlistlist [ns] @ numlistlist nss) ! i
      using numlistlist-def by simp
    then have numlistlist (ns # nss) ! i = numlistlist [ns] ! i
      using True by (simp add: nth-append)
    then have numlistlist (ns # nss) ! i = (numlist ns @ [#]) ! i
      using numlistlist-def by simp
    moreover have numlistlist (ns # nss) ! i = 0

```

```

    using Cons by simp
  ultimately have (numlist ns @ [#]) ! i = 0
    by simp
  moreover have (numlist ns @ [#]) ! (length (numlist ns @ [#]) - 1) = #
    by simp
  ultimately have i ≠ length (numlist ns @ [#]) - 1
    by auto
  then have *: i ≠ length (numlistlist [ns]) - 1
    using numlistlist-def by simp
  then have **: i < length (numlistlist [ns]) - 1
    using True by simp
  then have ***: i < length (numlist ns)
    using numlistlist-def by simp
  then have ns ≠ []
    using numlist-Nil by auto
  then have last (numlist ns) = |
    by (metis last-numlist-4 numlist-Nil numlist-inj)

have 3: numlist ns ! j = numlistlist (ns # nss) ! j if j < length (numlist ns) for j
proof -
  have j: j < length (numlistlist [ns])
    using that numlistlist-def by simp
  have numlistlist (ns # nss) ! j = (numlistlist [ns] @ numlistlist nss) ! j
    using numlistlist-def by simp
  then have numlistlist (ns # nss) ! j = numlistlist [ns] ! j
    using j by (simp add: nth-append)
  then have numlistlist (ns # nss) ! j = (numlist ns @ [#]) ! j
    using numlistlist-def by simp
  then show ?thesis
    by (simp add: nth-append that)
qed
have 4: numlistlist (ns # nss) ! (length (numlist ns)) = #
  by (simp add: numlistlist-def)

show ?thesis
proof (cases i = length (numlist ns) - 1)
  case True
  then show ?thesis
    using 3 4 *** by (metis Suc-le-D Suc-le-eq diff-Suc-1 eval-nat-numeral(3) n-not-Suc-n)
next
  case False
  then have i < length (numlist ns) - 1
    using *** by simp
  then show ?thesis
    using numlist-not-has2 *** 3 ⟨ns ≠ []⟩
    by (metis Cons.prem(2) Suc-diff-1 length-greater-0-conv not-less-eq numlist-Nil numlist-inj)
qed
next
  case False
  then have i ≥ length (numlistlist [ns])
    by simp
  let ?i = i - length (numlistlist [ns])
  have numlistlist (ns # nss) ! i = (numlistlist [ns] @ numlistlist nss) ! i
    using numlistlist-def by simp
  then have numlistlist (ns # nss) ! i = numlistlist nss ! ?i
    using False by (simp add: nth-append)
  then have numlistlist nss ! ?i = 0
    using Cons by simp
  moreover have ?i < length (numlistlist nss) - 1
  proof -
    have length (numlistlist (ns # nss)) = length (numlistlist [ns]) + length (numlistlist nss)
      using numlistlist-def by simp
    then show ?thesis

```

```

    using False Cons by simp
  qed
  ultimately have numlistlist nss ! Suc ?i ≠ |
    using Cons by simp
  moreover have numlistlist (ns # nss) ! Suc i = numlistlist nss ! Suc ?i
    using False numlistlist-append
  by (smt (verit, del-insts) Suc-diff-Suc Suc-lessD append-Cons append-Nil diff-Suc-Suc not-less-eq nth-append)
  ultimately show ?thesis
    by simp
  qed
qed

```

lemma numlistlist-not-has2':

assumes  $i < \text{length } (\text{numlistlist } nss) - 1$  and  $\text{numlistlist } nss ! i = 0 \vee \text{numlistlist } nss ! i = 1$   
 shows  $\text{numlistlist } nss ! (\text{Suc } i) \neq \#$

using assms

proof (induction nss arbitrary: i)

case Nil

then show ?case

by (simp add: numlistlist-Nil)

next

case (Cons ns nss)

show  $\text{numlistlist } (ns \# nss) ! (\text{Suc } i) \neq \#$

proof (cases  $i < \text{length } (\text{numlistlist } [ns])$ )

case True

have  $\text{numlistlist } (ns \# nss) ! i = (\text{numlistlist } [ns] @ \text{numlistlist } nss) ! i$

using numlistlist-def by simp

then have  $\text{numlistlist } (ns \# nss) ! i = \text{numlistlist } [ns] ! i$

using True by (simp add: nth-append)

then have  $\text{numlistlist } (ns \# nss) ! i = (\text{numlist } ns @ [\#]) ! i$

using numlistlist-def by simp

moreover have  $\text{numlistlist } (ns \# nss) ! i = 0 \vee \text{numlistlist } (ns \# nss) ! i = 1$

using Cons by simp

ultimately have  $(\text{numlist } ns @ [\#]) ! i = 0 \vee (\text{numlist } ns @ [\#]) ! i = 1$

by simp

moreover have  $(\text{numlist } ns @ [\#]) ! (\text{length } (\text{numlist } ns @ [\#]) - 1) = \#$

by simp

ultimately have  $i \neq \text{length } (\text{numlist } ns @ [\#]) - 1$

by auto

then have  $i \neq \text{length } (\text{numlistlist } [ns]) - 1$

using numlistlist-def by simp

then have  $i < \text{length } (\text{numlistlist } [ns]) - 1$

using True by simp

then have  $*: i < \text{length } (\text{numlist } ns)$

using numlistlist-def by simp

then have  $ns \neq []$

using numlist-Nil by auto

then have  $\text{last } (\text{numlist } ns) = |$

by (metis last-numlist-4 numlist-Nil numlist-inj)

have  $** : \text{numlist } ns ! j = \text{numlistlist } (ns \# nss) ! j$  if  $j < \text{length } (\text{numlist } ns)$  for  $j$

proof -

have  $j : j < \text{length } (\text{numlistlist } [ns])$

using that numlistlist-def by simp

have  $\text{numlistlist } (ns \# nss) ! j = (\text{numlistlist } [ns] @ \text{numlistlist } nss) ! j$

using numlistlist-def by simp

then have  $\text{numlistlist } (ns \# nss) ! j = \text{numlistlist } [ns] ! j$

using j by (simp add: nth-append)

then have  $\text{numlistlist } (ns \# nss) ! j = (\text{numlist } ns @ [\#]) ! j$

using numlistlist-def by simp

then show ?thesis

by (simp add: nth-append that)

qed



```

show ?thesis
proof (cases i = length (numlist ns) - 1)
  case True
  then show ?thesis
    using ⟨last (numlist ns) = |⟩ ⟨ns ≠ []⟩ Cons.prem2 * ** numlist-Nil numlist-inj
    by (metis last-conv-nth num.simps(8) numeral-eq-iff semiring-norm(83) verit-eq-simplify(8))
  next
  case False
  then have i < length (numlist ns) - 1
    using * by simp
  then show ?thesis
    using * ** symbols-lt-numlist numlist-not-has2 by (metis Suc-lessI diff-Suc-1 less-irrefl-nat)
qed
next
case False
then have i ≥ length (numlistlist [ns])
  by simp
let ?i = i - length (numlistlist [ns])
have numlistlist (ns # nss) ! i = (numlistlist [ns] @ numlistlist nss) ! i
  using numlistlist-def by simp
then have numlistlist (ns # nss) ! i = numlistlist nss ! ?i
  using False by (simp add: nth-append)
then have numlistlist nss ! ?i = 0 ∨ numlistlist nss ! ?i = 1
  using Cons by simp
moreover have ?i < length (numlistlist nss) - 1
proof -
  have length (numlistlist (ns # nss)) = length (numlistlist [ns]) + length (numlistlist nss)
    using numlistlist-def by simp
  then show ?thesis
    using False Cons by simp
qed
ultimately have numlistlist nss ! Suc ?i ≠ #
  using Cons by simp
moreover have numlistlist (ns # nss) ! Suc i = numlistlist nss ! Suc ?i
  using False numlistlist-append
  by (smt (verit, del-insts) Suc-diff-Suc Suc-lessD append-Cons append-Nil diff-Suc-Suc not-less-eq nth-append)
ultimately show ?thesis
  by simp
qed
qed

lemma last-numlistlist-5: numlistlist nss ≠ [] ⟹ last (numlistlist nss) = #
  using numlistlist-def by (induction nss) simp-all

lemma numlistlist-wf-has2':
  assumes numlistlist-wf xs
  shows proper-symbols-lt 6 xs ∧ (xs ≠ [] ⟶ last xs = #) ∧ ¬ has2 xs 0 | ∧ ¬ has2 xs 0 # ∧ ¬ has2 xs 1 #
proof -
  obtain nss where nss: numlistlist nss = xs
  using numlistlist-wf-def assms by auto
  have proper-symbols xs
  using nss proper-symbols-numlistlist by auto
  moreover have symbols-lt 6 xs
  using nss symbols-lt-numlistlist by auto
  moreover have xs ≠ [] ⟶ last xs = #
  using nss last-numlistlist-5 by auto
  moreover have ¬ has2 xs 0 | and ¬ has2 xs 0 # and ¬ has2 xs 1 #
  using numlistlist-not-has2 numlistlist-not-has2' has2-def nss by auto
  ultimately show ?thesis
  by simp
qed

```

**lemma** *numlistlist-wf-iff*:

```
numlistlist-wf xs  $\longleftrightarrow$ 
  proper-symbols-lt 6 xs  $\wedge$  (xs  $\neq$  []  $\longrightarrow$  last xs = #)  $\wedge$   $\neg$  has2 xs 0 |  $\wedge$   $\neg$  has2 xs 0 #  $\wedge$   $\neg$  has2 xs 1 #
using numlistlist-wf-has2 numlistlist-wf-has2' by blast
```

### 2.12.3 A Turing machine to check for subsequences of length two

In order to implement the well-formedness criteria we need to be able to check a symbol sequence for subsequences of length two. The next Turing machine has symbol parameters  $y$  and  $z$  and checks whether the sequence  $[y, z]$  exists on tape  $j_1$ . It writes to tape  $j_2$  the number 0 or 1 if the sequence is present or not, respectively.

**definition** *tm-not-has2* :: *symbol*  $\Rightarrow$  *symbol*  $\Rightarrow$  *tapeidx*  $\Rightarrow$  *tapeidx*  $\Rightarrow$  *machine* **where**

```
tm-not-has2 y z j1 j2  $\equiv$ 
  tm-set j2 [0, 0] ;;
  WHILE [] ;  $\lambda$ rs. rs ! j1  $\neq$  [] DO
    IF  $\lambda$ rs. rs ! j2 = 1  $\wedge$  rs ! j1 = z THEN
      tm-right j2 ;;
      tm-write j2 1 ;;
      tm-left j2
    ELSE
      []
    ENDIF ;;
  tm-trans2 j1 j2 ( $\lambda$ h. if h = y then 1 else 0) ;;
  tm-right j1
  DONE ;;
  tm-right j2 ;;
  IF  $\lambda$ rs. rs ! j2 = 1 THEN
    tm-set j2 (canrepr 1)
  ELSE
    tm-set j2 (canrepr 0)
  ENDIF ;;
  tm-cr j1 ;;
  tm-not j2
```

**lemma** *tm-not-has2-tm*:

**assumes**  $k \geq 2$  **and**  $G \geq 4$  **and**  $0 < j2$  **and**  $j1 < k$  **and**  $j2 < k$   
**shows** *turing-machine*  $k$   $G$  (*tm-not-has2*  $y$   $z$   $j1$   $j2$ )

**proof** –

```
have symbols-lt  $G$  [0, 0]
using assms(2) by (simp add: nth-Cons')
moreover have symbols-lt  $G$  (canrepr 0)
using assms(2) by simp
moreover have symbols-lt  $G$  (canrepr 1)
using assms(2) canrepr-1 by simp
ultimately show ?thesis
unfolding tm-not-has2-def
using assms tm-right-tm tm-write-tm tm-left-tm tm-cr-tm Nil-tm tm-trans2-tm tm-set-tm
  turing-machine-loop-turing-machine turing-machine-branch-turing-machine tm-not-tm
by simp
```

**qed**

**locale** *turing-machine-has2* =

**fixes**  $y$   $z$  :: *symbol* **and**  $j1$   $j2$  :: *tapeidx*

**begin**

**context**

```
fixes tps0 :: tape list and xs :: symbol list and k :: nat
assumes xs: proper-symbols xs
assumes yz:  $y > 1$   $z > 1$ 
assumes jk:  $j1 < k$   $j2 < k$   $j1 \neq j2$   $0 < j2$  length tps0 = k
assumes tps0:
  tps0 ! j1 = ([xs], 1)
  tps0 ! j2 = ([[]], 1)
```

**begin**

**definition**  $tm1 \equiv tm\text{-set } j2 \ [0, 0]$

**definition**  $tmT1 \equiv tm\text{-right } j2$

**definition**  $tmT2 \equiv tmT1 \ ; \ ; \ tm\text{-write } j2 \ 1$

**definition**  $tmT3 \equiv tmT2 \ ; \ ; \ tm\text{-left } j2$

**definition**  $tmL1 \equiv IF \ \lambda rs. \ rs \ ! \ j2 = 1 \wedge rs \ ! \ j1 = z \ THEN \ tmT3 \ ELSE \ [] \ ENDIF$

**definition**  $tmL2 \equiv tmL1 \ ; \ ; \ tm\text{-trans2 } j1 \ j2 \ (\lambda h. \ \text{if } h = y \ \text{then } 1 \ \text{else } 0)$

**definition**  $tmL3 \equiv tmL2 \ ; \ ; \ tm\text{-right } j1$

**definition**  $tmL \equiv WHILE \ [] \ ; \ \lambda rs. \ rs \ ! \ j1 \neq \square \ DO \ tmL3 \ DONE$

**definition**  $tm2 \equiv tm1 \ ; \ ; \ tmL$

**definition**  $tm3 \equiv tm2 \ ; \ ; \ tm\text{-right } j2$

**definition**  $tm34 \equiv IF \ \lambda rs. \ rs \ ! \ j2 = 1 \ THEN \ tm\text{-set } j2 \ (\text{canrepr } 1) \ ELSE \ tm\text{-set } j2 \ (\text{canrepr } 0) \ ENDIF$

**definition**  $tm4 \equiv tm3 \ ; \ ; \ tm34$

**definition**  $tm5 \equiv tm4 \ ; \ ; \ tm\text{-cr } j1$

**definition**  $tm6 \equiv tm5 \ ; \ ; \ tm\text{-not } j2$

**lemma**  $tm6\text{-eq-}tm\text{-not-has2}$ :  $tm6 = tm\text{-not-has2 } y \ z \ j1 \ j2$

**unfolding**  $tm6\text{-def } tm5\text{-def } tm4\text{-def } tm34\text{-def } tm3\text{-def } tm2\text{-def } tmL\text{-def } tmL3\text{-def } tmL2\text{-def } tmL1\text{-def } tmT3\text{-def } tmT2\text{-def } tmT1\text{-def } tm1\text{-def } tm\text{-not-has2}\text{-def}$   
**by**  $simp$

**definition**  $tps1 :: \text{tape list where}$

$tps1 \equiv tps0$

$j1 := (\lfloor xs \rfloor, 1),$

$j2 := (\lfloor [0, 0] \rfloor, 1)]$

**lemma**  $tm1$ :  $\text{transforms } tm1 \ tps0 \ 14 \ tps1$

**unfolding**  $tm1\text{-def}$

**proof**  $(tform \ tps: \ tps0 \ tps1\text{-def } jk)$

**show**  $\forall i < \text{length } [0, 0]. \ Suc \ 0 < [0, 0] \ ! \ i$

**by**  $(simp \ \text{add: } nth\text{-Cons}')$

**show**  $tps1 = tps0[j2 := (\lfloor [0, 0] \rfloor, 1)]$

**using**  $tps1\text{-def } tps0 \ jk$  **by**  $(metis \ \text{list-update-id})$

**qed**

**abbreviation**  $has\text{-at} :: \text{nat} \Rightarrow \text{bool where}$

$has\text{-at } i \equiv xs \ ! \ i = y \wedge xs \ ! \ Suc \ i = z$

**definition**  $tpsL :: \text{nat} \Rightarrow \text{tape list where}$

$tpsL \ t \equiv tps0$

$j1 := (\lfloor xs \rfloor, Suc \ t),$

$j2 := (\lfloor [if \ \lfloor xs \rfloor \ t = y \ \text{then } 1 \ \text{else } 0, \ \text{if } \exists i < t - 1. \ \text{has-at } i \ \text{then } 1 \ \text{else } 0] \rfloor, 1)]$

**lemma**  $tpsL\text{-eq-}tps1$ :  $tpsL \ 0 = tps1$

**unfolding**  $tps1\text{-def } tpsL\text{-def}$  **using**  $yz \ jk$  **by**  $simp$

**lemma**  $tm1'$  [ $\text{transforms-intros}$ ]:  $\text{transforms } tm1 \ tps0 \ 14 \ (tpsL \ 0)$

**using**  $tm1 \ tpsL\text{-eq-}tps1$  **by**  $simp$

**definition**  $tpsT1 :: \text{nat} \Rightarrow \text{tape list where}$

$tpsT1 \ t \equiv tps0$

$j1 := (\lfloor xs \rfloor, Suc \ t),$

$j2 := (\lfloor [if \ \lfloor xs \rfloor \ t = y \ \text{then } 1 \ \text{else } 0, \ \text{if } \exists i < t - 1. \ \text{has-at } i \ \text{then } 1 \ \text{else } 0] \rfloor, 2)]$

**definition**  $tpsT2 :: \text{nat} \Rightarrow \text{tape list where}$

$tpsT2 \ t \equiv tps0$

$j1 := (\lfloor xs \rfloor, Suc \ t),$

$j2 := (\lfloor [if \ \lfloor xs \rfloor \ t = y \ \text{then } 1 \ \text{else } 0, \ \text{if } \exists i < t. \ \text{has-at } i \ \text{then } 1 \ \text{else } 0] \rfloor, 2)]$

**definition**  $tpsT3 :: nat \Rightarrow tape\ list\ where$

$tpsT3\ t \equiv tps0$   
 $[j1 := (\lfloor xs \rfloor, Suc\ t),$   
 $j2 := (\lfloor [if\ \lfloor xs \rfloor\ t = y\ then\ 1\ else\ 0, if\ \exists i < t. has-at\ i\ then\ 1\ else\ 0] \rfloor, 1)]$

**lemma**  $contents-1-update: (\lfloor [a, b] \rfloor, 1) \models v = (\lfloor [v, b] \rfloor, 1)$  **for**  $a\ b\ v :: symbol$   
**using**  $contents-def$  **by**  $auto$

**lemma**  $contents-2-update: (\lfloor [a, b] \rfloor, 2) \models v = (\lfloor [a, v] \rfloor, 2)$  **for**  $a\ b\ v :: symbol$   
**using**  $contents-def$  **by**  $auto$

**context**

**fixes**  $t :: nat$   
**assumes**  $then-branch: \lfloor xs \rfloor\ t = y\ xs !\ t = z$   
**begin**

**lemma**  $tmT1$  [ $transforms-intros$ ]:  $transforms\ tmT1\ (tpsL\ t)\ 1\ (tpsT1\ t)$   
**unfolding**  $tmT1-def$

**proof** ( $tform\ tps: tpsL-def\ tpsT1-def\ jk$ )

**have**  $tpsL\ t !\ j2\ |+|\ 1 = (\lfloor [if\ \lfloor xs \rfloor\ t = y\ then\ 1\ else\ 0, if\ \exists i < t - 1. has-at\ i\ then\ 1\ else\ 0] \rfloor, 2)$   
**using**  $jk\ tpsL-def$  **by**  $simp$

**moreover** **have**  $tpsT1\ t = (tpsL\ t)[j2 := (\lfloor [if\ \lfloor xs \rfloor\ t = y\ then\ 1\ else\ 0, if\ \exists i < t - 1. has-at\ i\ then\ 1\ else\ 0] \rfloor, 2)]$

**unfolding**  $tpsT1-def\ tpsL-def$  **by**  $simp$

**ultimately** **show**  $tpsT1\ t = (tpsL\ t)[j2 := tpsL\ t !\ j2\ |+|\ 1]$

**by**  $presburger$

**qed**

**lemma**  $tmT2$  [ $transforms-intros$ ]:  $transforms\ tmT2\ (tpsL\ t)\ 2\ (tpsT2\ t)$   
**unfolding**  $tmT2-def$

**proof** ( $tform\ tps: tpsT1-def\ tpsT2-def\ jk$ )

**have**  $1: tpsT1\ t !\ j2 = (\lfloor [if\ \lfloor xs \rfloor\ t = y\ then\ 1\ else\ 0, if\ \exists i < t - 1. has-at\ i\ then\ 1\ else\ 0] \rfloor, 2)$   
**using**  $tpsT1-def\ jk$  **by**  $simp$

**have**  $2: tpsT1\ t !\ j2 \models 1 = (\lfloor [if\ \lfloor xs \rfloor\ t = y\ then\ 1\ else\ 0, 1] \rfloor, 2)$

**using**  $tpsT1-def\ jk\ contents-2-update$  **by**  $simp$

**have**  $3: tpsT2\ t !\ j2 = (\lfloor [if\ \lfloor xs \rfloor\ t = y\ then\ 1\ else\ 0, if\ \exists i < t. has-at\ i\ then\ 1\ else\ 0] \rfloor, 2)$

**using**  $tpsT2-def\ jk$  **by**  $simp$

**have**  $\exists i < t. has-at\ i$

**proof**  $-$

**have**  $t > 0$

**using**  $then-branch(1)\ yz(1)$  **by** ( $metis\ contents-at-0\ gr0I\ less-numeral-extra(4)$ )

**then** **have**  $y = xs !\ (t - 1)$

**using**  $then-branch(1)$  **by** ( $metis\ contents-def\ nat-neq-iff\ not-one-less-zero\ yz(1)$ )

**moreover** **have**  $z = xs !\ t$

**using**  $then-branch(2)$  **by**  $simp$

**ultimately** **have**  $has-at\ (t - 1)$

**using**  $\langle 0 < t \rangle$  **by**  $simp$

**then** **show**  $\exists i < t. has-at\ i$

**using**  $\langle 0 < t \rangle$  **by** ( $metis\ Suc-pred'\ lessI$ )

**qed**

**then** **have** ( $if\ \exists i < t. has-at\ i\ then\ 1\ else\ 0$ ) =  $1$

**by**  $simp$

**then** **have**  $tpsT1\ t !\ j2 \models 1 = (\lfloor [if\ \lfloor xs \rfloor\ t = y\ then\ 1\ else\ 0, if\ \exists i < t. has-at\ i\ then\ 1\ else\ 0] \rfloor, 2)$

**using**  $2\ 3$  **by** ( $smt\ (verit, ccfv-threshold)$ )

**then** **show**  $tpsT2\ t = (tpsT1\ t)[j2 := tpsT1\ t !\ j2 \models 1]$

**unfolding**  $tpsT2-def\ tpsT1-def$  **using**  $jk$  **by**  $simp$

**qed**

**lemma**  $tmT3$  [ $transforms-intros$ ]:  $transforms\ tmT3\ (tpsL\ t)\ 3\ (tpsT3\ t)$   
**unfolding**  $tmT3-def$  **by** ( $tform\ tps: tpsT2-def\ tpsT3-def\ jk$ )

**end**

**lemma** *tmL1* [*transforms-intros*]:  
**assumes**  $t \leq 5$  **and**  $t < \text{length } xs$   
**shows** *transforms tmL1* (*tpsL*  $t$ )  $t$  (*tpsT3*  $t$ )  
**unfolding** *tmL1-def*  
**proof** (*tform tps: assms(1) tpsL-def tpsT3-def jk*)  
**have**  $\text{read } (tpsL\ t) ! j1 = tpsL\ t :: j1$   
**using** *jk tpsL-def tapes-at-read'* [*of j1 tpsL t*] **by** *simp*  
**then have**  $1: \text{read } (tpsL\ t) ! j1 = xs ! t$   
**using** *jk tpsL-def assms(2)* **by** *simp*  
**then show**  $\text{read } (tpsL\ t) ! j2 = 1 \wedge \text{read } (tpsL\ t) ! j1 = z \implies xs ! t = z$   
**by** *simp*  
**have**  $\text{read } (tpsL\ t) ! j2 = tpsL\ t :: j2$   
**using** *jk tpsL-def tapes-at-read'* [*of j2 tpsL t*] **by** *simp*  
**then have**  $2: \text{read } (tpsL\ t) ! j2 = (\text{if } [xs]\ t = y \text{ then } 1 \text{ else } 0)$   
**using** *jk tpsL-def* **by** *simp*  
**then show**  $\text{read } (tpsL\ t) ! j2 = 1 \wedge \text{read } (tpsL\ t) ! j1 = z \implies [xs]\ t = y$   
**by** *presburger*  
**show**  $tpsT3\ t = tpsL\ t$  **if**  $\neg (\text{read } (tpsL\ t) ! j2 = 1 \wedge \text{read } (tpsL\ t) ! j1 = z)$   
**proof** –  
**have**  $(\exists i < t. \text{has-at } i) = (\exists i < t - 1. \text{has-at } i)$   
**proof** (*cases t = 0*)  
**case** *True*  
**then show** *?thesis*  
**by** *simp*  
**next**  
**case** *False*  
**have**  $\neg ((\text{if } [xs]\ t = y \text{ then } 0 :: \text{symbol else } 1) = 0 \wedge xs ! t = z)$   
**using**  $1\ 2$  **that** **by** *simp*  
**then have**  $\neg ([xs]\ t = y \wedge xs ! t = z)$   
**by** *auto*  
**then have**  $\neg (\text{has-at } (t - 1))$   
**using** *False Suc-pred' assms(2) contents-inbounds less-imp-le-nat* **by** *simp*  
**moreover have**  $(\exists i < t. \text{has-at } i) = (\exists i < t - \text{Suc } 0. \text{has-at } i) \vee \text{has-at } (t - 1)$   
**using** *False* **by** (*metis One-nat-def add-diff-inverse-nat less-Suc-eq less-one plus-1-eq-Suc*)  
**ultimately show** *?thesis*  
**by** *auto*  
**qed**  
**then have**  $\text{eq}: (\text{if } \exists i < t - 1. \text{has-at } i \text{ then } 1 \text{ else } 0) = (\text{if } \exists i < t. \text{has-at } i \text{ then } 1 \text{ else } 0)$   
**by** *simp*  
**show** *?thesis*  
**unfolding** *tpsT3-def tpsL-def* **by** (*simp only: eq*)  
**qed**  
**qed**

**definition** *tpsL2* ::  $\text{nat} \Rightarrow \text{tape list}$  **where**

$tpsL2\ t \equiv tps0$   
 $[j1 := ([xs], \text{Suc } t),$   
 $j2 := ((\text{if } [xs]\ (\text{Suc } t) = y \text{ then } 1 \text{ else } 0, \text{if } \exists i < t. \text{has-at } i \text{ then } 1 \text{ else } 0)], 1)]$

**lemma** *tmL2* [*transforms-intros*]:

**assumes**  $t \leq 6$  **and**  $t < \text{length } xs$

**shows** *transforms tmL2* (*tpsL*  $t$ )  $t$  (*tpsL2*  $t$ )

**unfolding** *tmL2-def*

**proof** (*tform tps: assms tpsL-def tpsT3-def jk*)

**have**  $tpsT3\ t ! j2 = ((\text{if } [xs]\ t = y \text{ then } 1 \text{ else } 0, \text{if } \exists i < t. \text{has-at } i \text{ then } 1 \text{ else } 0)], 1)$

**using** *jk tpsT3-def* **by** *simp*

**then have**  $tpsT3\ t ! j2 \text{ := } (\text{if } tpsT3\ t :: j1 = y \text{ then } 1 \text{ else } 0) =$

$((\text{if } tpsT3\ t :: j1 = y \text{ then } 1 \text{ else } 0, \text{if } \exists i < t. \text{has-at } i \text{ then } 1 \text{ else } 0)], 1)$

**using** *contents-1-update* **by** *simp*

**moreover have**  $tpsT3\ t :: j1 = [xs]\ (\text{Suc } t)$

**using** *assms(2) tpsT3-def jk* **by** *simp*

**ultimately have**  $tpsT3\ t ! j2 \text{ := } (\text{if } tpsT3\ t :: j1 = y \text{ then } 1 \text{ else } 0) =$

$(\llbracket \text{if } [xs] \text{ (Suc } t) = y \text{ then } \mathbf{1} \text{ else } \mathbf{0}, \text{ if } \exists i < t. \text{ has-at } i \text{ then } \mathbf{1} \text{ else } \mathbf{0} \rrbracket, 1)$   
 by *auto*  
**moreover have**  $\text{tpsL2 } t = (\text{tpsT3 } t)[j2 := (\llbracket \text{if } [xs] \text{ (Suc } t) = y \text{ then } \mathbf{1} \text{ else } \mathbf{0}, \text{ if } \exists i < t. \text{ has-at } i \text{ then } \mathbf{1} \text{ else } \mathbf{0} \rrbracket, 1)]$   
**using** *tpsL2-def tpsT3-def* **by** *simp*  
**ultimately show**  $\text{tpsL2 } t = (\text{tpsT3 } t)[j2 := \text{tpsT3 } t ! j2 \mid := \mid (\text{if } \text{tpsT3 } t :: j1 = y \text{ then } \mathbf{1} \text{ else } \mathbf{0})]$   
**by** *presburger*  
**qed**

**lemma** *tmL3* [*transforms-intros*]:  
**assumes**  $\text{tnt} = 7$  **and**  $t < \text{length } xs$   
**shows** *transforms tmL3 (tpsL t) tnt (tpsL (Suc t))*  
**unfolding** *tmL3-def*  
**proof** (*tform tps: assms tpsL-def tpsL2-def jk*)  
**have**  $\text{tpsL2 } t ! j1 = ([xs], \text{Suc } t)$   
**using** *tpsL2-def jk* **by** *simp*  
**then show**  $\text{tpsL } (\text{Suc } t) = (\text{tpsL2 } t)[j1 := \text{tpsL2 } t ! j1 \mid + \mid 1]$   
**using** *tpsL2-def tpsL-def jk* **by** (*simp add: list-update-swap*)  
**qed**

**lemma** *tmL* [*transforms-intros*]:  
**assumes**  $\text{tnt} = 9 * \text{length } xs + 1$   
**shows** *transforms tmL (tpsL 0) tnt (tpsL (length xs))*  
**unfolding** *tmL-def*  
**proof** (*tform time: assms*)  
**have**  $\text{read } (\text{tpsL } t) ! j1 = \text{tpsL } t :: j1$  **for**  $t$   
**using** *tpsL-def tapes-at-read' jk*  
**by** (*metis (no-types, lifting) length-list-update*)  
**then have**  $\text{read } (\text{tpsL } t) ! j1 = [xs] \text{ (Suc } t)$  **for**  $t$   
**using** *tpsL-def jk* **by** *simp*  
**then show**  $\wedge t. t < \text{length } xs \implies \text{read } (\text{tpsL } t) ! j1 \neq \square$  **and**  $\neg \text{read } (\text{tpsL } (\text{length } xs)) ! j1 \neq \square$   
**using**  $xs$  **by** *auto*  
**qed**

**lemma** *tm2* [*transforms-intros*]:  
**assumes**  $\text{tnt} = 9 * \text{length } xs + 15$   
**shows** *transforms tm2 tps0 tnt (tpsL (length xs))*  
**unfolding** *tm2-def* **by** (*tform tps: assms tpsL-def jk*)

**definition** *tps3* :: *tape list* **where**

$\text{tps3} \equiv \text{tps0}$   
 $[j1 := ([xs], \text{Suc } (\text{length } xs)),$   
 $j2 := (\llbracket \text{if } [xs] \text{ (length } xs) = y \text{ then } \mathbf{1} \text{ else } \mathbf{0}, \text{ if } \exists i < \text{length } xs - 1. \text{ has-at } i \text{ then } \mathbf{1} \text{ else } \mathbf{0} \rrbracket, 2)]$

**lemma** *tm3* [*transforms-intros*]:  
**assumes**  $\text{tnt} = 9 * \text{length } xs + 16$   
**shows** *transforms tm3 tps0 tnt tps3*  
**unfolding** *tm3-def*  
**proof** (*tform tps: assms tpsL-def jk*)  
**show**  $\text{tps3} = (\text{tpsL } (\text{length } xs))[j2 := \text{tpsL } (\text{length } xs) ! j2 \mid + \mid 1]$   
**unfolding** *tps3-def tpsL-def*  
**using** *jk*  
**by** (*metis (no-types, lifting) One-nat-def Suc-1 add.right-neutral add-Suc-right fst-conv length-list-update list-update-overwrite nth-list-update-eq snd-conv*)  
**qed**

**definition** *tps4* :: *tape list* **where**

$\text{tps4} \equiv \text{tps0}$   
 $[j1 := ([xs], \text{Suc } (\text{length } xs)),$   
 $j2 := (\llbracket \exists i < \text{length } xs - \text{Suc } 0. \text{ has-at } i \rrbracket_B, 1)]$

**lemma** *tm34* [*transforms-intros*]:  
**assumes**  $\text{tnt} = 19$

```

shows transforms tm34 tps3 ttt tps4
unfolding tm34-def
proof (tform tps: assms tps4-def tps3-def jk)
let ?pair = [if [xs] (length xs) = y then 1 else 0, if  $\exists i < \text{length } xs - \text{Suc } 0. \text{has-at } i$  then 1 else 0]
show 1: proper-symbols ?pair and proper-symbols ?pair
  by (simp-all add: nth-Cons')
show proper-symbols (canrepr 1)
  using proper-symbols-canrepr by simp

have read tps3 ! j2 = (if  $\exists i < \text{length } xs - \text{Suc } 0. \text{has-at } i$  then 1 else 0)
  using jk tps3-def tapes-at-read'[of j2 tps3] by simp
then have *: read tps3 ! j2 = 1  $\longleftrightarrow$  ( $\exists i < \text{length } xs - \text{Suc } 0. \text{has-at } i$ )
  by simp

show clean-tape (tps3 ! j2) clean-tape (tps3 ! j2)
  using jk tps3-def clean-contents-proper[OF 1] by simp-all
show tps4 = tps3[j2 := ([1]N, 1)] if read tps3 ! j2 = 1
proof -
  have  $\exists i < \text{length } xs - \text{Suc } 0. \text{has-at } i$ 
    using that * by simp
  then have ( $\exists i < \text{length } xs - \text{Suc } 0. \text{has-at } i$ )B, 1 = ([1]N, 1)
    by simp
  then have tps4 = tps0
    [j1 := ([xs], Suc (length xs)),
     j2 := ([1]N, 1)]
    using tps4-def by simp
  then show ?thesis
    using tps3-def by simp
qed
show tps4 = tps3[j2 := ([0]N, 1)] if read tps3 ! j2  $\neq$  1
proof -
  have  $\neg$  ( $\exists i < \text{length } xs - \text{Suc } 0. \text{has-at } i$ )
    using that * by simp
  then have ( $\exists i < \text{length } xs - \text{Suc } 0. \text{has-at } i$ )B, 1 = ([0]N, 1)
    by auto
  then have tps4 = tps0
    [j1 := ([xs], Suc (length xs)),
     j2 := ([0]N, 1)]
    using tps4-def by simp
  then show ?thesis
    using tps3-def by simp
qed

have tps3 :# j2 = 2
  using jk tps3-def by simp
then show 8 + tps3 :# j2 + 2 * length ?pair + Suc (2 * nlength 1) + 2  $\leq$  ttt
  and 8 + tps3 :# j2 + 2 * length ?pair + Suc (2 * nlength 0) + 1  $\leq$  ttt
  using assms nlength-1-simp by simp-all
qed

```

```

lemma tm4:
  assumes ttt = 9 * length xs + 35
  shows transforms tm4 tps0 ttt tps4
  unfolding tm4-def by (tform tps: assms)

```

```

definition tps4' :: tape list where
  tps4'  $\equiv$  tps0
  [j1 := ([xs], Suc (length xs)),
   j2 := ([has2 xs y z]B, 1)]

```

```

lemma tps4': tps4 = tps4'
  using has2-def tps4-def tps4'-def by simp

```

**lemma** *tm4'* [*transforms-intros*]:  
**assumes**  $ttt = 9 * \text{length } xs + 35$   
**shows** *transforms tm4 tps0 ttt tps4'*  
**using** *assms tm4 tps4'* **by** *simp*

**definition** *tps5* :: *tape list* **where**

$tps5 \equiv tps0$   
 $[j1 := (\lfloor xs \rfloor, 1),$   
 $j2 := (\lfloor \text{has2 } xs \ y \ z \rfloor_B, 1)]$

**lemma** *tm5*:

**assumes**  $ttt = 10 * \text{length } xs + 38$   
**shows** *transforms tm5 tps0 ttt tps5*  
**unfolding** *tm5-def*

**proof** (*tform tps: assms tps4'-def jk*)

**show** *clean-tape (tps4' ! j1)*

**using** *tps4'-def jk xs* **by** *simp*

**have**  $tps4' ! j1 \mid\# = \mid 1 = (\lfloor xs \rfloor, 1)$

**using** *tps4'-def jk* **by** *simp*

**then show**  $tps5 = tps4' [j1 := tps4' ! j1 \mid\# = \mid 1]$

**using** *tps5-def tps4'-def jk* **by** (*simp add: list-update-swap*)

**qed**

**definition** *tps5'* :: *tape list* **where**

$tps5' \equiv tps0$   
 $[j2 := (\lfloor \text{has2 } xs \ y \ z \rfloor_B, 1)]$

**lemma** *tm5'* [*transforms-intros*]:

**assumes**  $ttt = 10 * \text{length } xs + 38$   
**shows** *transforms tm5 tps0 ttt tps5'*

**proof** –

**have**  $tps5 = tps5'$

**using** *tps5-def tps5'-def jk tps0(1)* **by** (*metis list-update-id*)

**then show** *?thesis*

**using** *assms tm5* **by** *simp*

**qed**

**definition** *tps6* :: *tape list* **where**

$tps6 \equiv tps0$   
 $[j2 := (\lfloor \neg \text{has2 } xs \ y \ z \rfloor_B, 1)]$

**lemma** *tm6*:

**assumes**  $ttt = 10 * \text{length } xs + 41$   
**shows** *transforms tm6 tps0 ttt tps6*  
**unfolding** *tm6-def*

**proof** (*tform tps: assms tps5'-def jk*)

**have**  $tps5' [j2 := (\lfloor (\text{if } \text{has2 } xs \ y \ z \text{ then } 1::\text{nat} \text{ else } 0) \neq 1 \rfloor_B, 1)] =$   
 $tps5' [j2 := (\lfloor \neg \text{has2 } xs \ y \ z \rfloor_B, 1)]$

**by** *simp*

**also have**  $\dots = tps0 [j2 := (\lfloor \neg \text{has2 } xs \ y \ z \rfloor_B, 1)]$

**using** *tps5'-def* **by** *simp*

**also have**  $\dots = tps6$

**using** *tps6-def* **by** *simp*

**finally show**  $tps6 = tps5'$

$[j2 := (\lfloor (\text{if } \text{has2 } xs \ y \ z \text{ then } 1::\text{nat} \text{ else } 0) \neq 1 \rfloor_B, 1)]$

**by** *simp*

**qed**

**end**

**end**

**lemma** *transforms-tm-not-has2I* [*transforms-intros*]:



```

fixes  $y z :: \text{symbol}$  and  $j1 j2 :: \text{tapeidx}$ 
fixes  $tps tps' :: \text{tape list}$  and  $xs :: \text{symbol list}$  and  $ttt k :: \text{nat}$ 
assumes  $j1 < k$   $j2 < k$   $j1 \neq j2$   $0 < j2$   $\text{length } tps = k$   $y > 1$   $z > 1$ 
and proper-symbols  $xs$ 
assumes
   $tps ! j1 = (\lfloor xs \rfloor, 1)$ 
   $tps ! j2 = (\lfloor [] \rfloor, 1)$ 
assumes  $ttt = 10 * \text{length } xs + 41$ 
assumes  $tps' = tps$ 
   $[j2 := (\lfloor \neg \text{has2 } xs \ y \ z \rfloor_B, 1)]$ 
shows transforms (tm-not-has2  $y \ z \ j1 \ j2$ )  $tps \ ttt \ tps'$ 
proof –
  interpret loc: turing-machine-has2  $y \ z \ j1 \ j2$  .
  show ?thesis
  using loc.tps6-def loc.tm6 loc.tm6-eq-tm-not-has2 assms by metis
qed

```

## 2.12.4 Checking well-formedness for lists

The next Turing machine checks all conditions from the criterion in lemma *numlist-wf-iff* one after another for the symbols on tape  $j_1$  and writes to tape  $j_2$  either the number 1 or 0 depending on whether all conditions were met. It assumes tape  $j_2$  is initialized with 0.

**definition** *tm-numlist-wf* :: *tapeidx*  $\Rightarrow$  *tapeidx*  $\Rightarrow$  *machine* **where**

```

tm-numlist-wf  $j1 \ j2 \equiv$ 
  tm-proper-symbols-lt  $j1 \ j2 \ 5$  ;;
  tm-not-has2  $\mathbf{0} \mid j1 \ (j2 + 1)$  ;;
  tm-and  $j2 \ (j2 + 1)$  ;;
  tm-setn  $(j2 + 1) \ 0$  ;;
  tm-empty-or-endswith  $j1 \ (j2 + 1) \mid$  ;;
  tm-and  $j2 \ (j2 + 1)$  ;;
  tm-setn  $(j2 + 1) \ 0$ 

```

**lemma** *tm-numlist-wf-tm*:

**assumes**  $k \geq 2$  **and**  $G \geq 5$  **and**  $0 < j2$   $0 < j1$  **and**  $j1 < k$   $j2 + 1 < k$

**shows** *turing-machine*  $k \ G$  (*tm-numlist-wf*  $j1 \ j2$ )

**using** *tm-numlist-wf-def tm-proper-symbols-lt-tm tm-not-has2-tm tm-and-tm tm-setn-tm tm-empty-or-endswith-tm assms*

**by** *simp*

**locale** *turing-machine-numlist-wf* =

**fixes**  $j1 \ j2 :: \text{tapeidx}$

**begin**

**definition**  $tm1 \equiv \text{tm-proper-symbols-lt } j1 \ j2 \ 5$

**definition**  $tm2 \equiv tm1$  ;; *tm-not-has2*  $\mathbf{0} \mid j1 \ (j2 + 1)$

**definition**  $tm3 \equiv tm2$  ;; *tm-and*  $j2 \ (j2 + 1)$

**definition**  $tm4 \equiv tm3$  ;; *tm-setn*  $(j2 + 1) \ 0$

**definition**  $tm5 \equiv tm4$  ;; *tm-empty-or-endswith*  $j1 \ (j2 + 1) \mid$

**definition**  $tm6 \equiv tm5$  ;; *tm-and*  $j2 \ (j2 + 1)$

**definition**  $tm7 \equiv tm6$  ;; *tm-setn*  $(j2 + 1) \ 0$

**lemma** *tm7-eq-tm-numlist-wf*:  $tm7 = \text{tm-numlist-wf } j1 \ j2$

**unfolding** *tm7-def tm6-def tm5-def tm4-def tm3-def tm2-def tm1-def tm-numlist-wf-def*

**by** *simp*

**context**

**fixes**  $tps0 :: \text{tape list}$  **and**  $zs :: \text{symbol list}$  **and**  $k :: \text{nat}$

**assumes**  $zs$ : *proper-symbols*  $zs$

**assumes**  $jk$ :  $0 < j1$   $j1 < k$   $j2 + 1 < k$   $j1 \neq j2$   $0 < j2$   $j1 \neq j2 + 1$   $\text{length } tps0 = k$

**assumes**  $tps0$ :

$tps0 ! j1 = (\lfloor zs \rfloor, 1)$

$tps0 ! j2 = (\lfloor [] \rfloor, 1)$

$tps0 ! (j2 + 1) = (\lfloor [] \rfloor, 1)$

**begin**

**definition**  $tps1 \equiv tps0$

$[j2 := (\lfloor \text{proper-symbols-}lt\ 5\ zs \rfloor_B, 1)]$

**lemma**  $tm1$  [*transforms-intros*]:

**assumes**  $ttt = 5 + 7 * \text{length}\ zs$

**shows** *transforms*  $tm1\ tps0\ ttt\ tps1$

**unfolding**  $tm1\text{-}def$

**by** (*tform*  $tps: zs\ tps0\ assms\ tps1\text{-}def\ jk$ )

**definition**  $tps2 \equiv tps0$

$[j2 := (\lfloor \text{proper-symbols-}lt\ 5\ zs \rfloor_B, 1),$

$j2 + 1 := (\lfloor \text{if has2}\ zs\ \mathbf{0} \mid \text{then } 0\ \text{else } 1 \rfloor_N, 1)]$

**lemma**  $tm2$  [*transforms-intros*]:

**assumes**  $ttt = 46 + 17 * \text{length}\ zs$

**shows** *transforms*  $tm2\ tps0\ ttt\ tps2$

**unfolding**  $tm2\text{-}def$

**by** (*tform*  $tps: zs\ tps0\ assms\ tps1\text{-}def\ tps2\text{-}def\ jk$ )

**definition**  $tps3 \equiv tps0$

$[j2 := (\lfloor \text{proper-symbols-}lt\ 5\ zs \wedge \neg \text{has2}\ zs\ \mathbf{0} \rfloor_B, 1),$

$j2 + 1 := (\lfloor \text{if has2}\ zs\ \mathbf{0} \mid \text{then } 0\ \text{else } 1 \rfloor_N, 1)]$

**lemma**  $tm3$  [*transforms-intros*]:

**assumes**  $ttt = 46 + 17 * \text{length}\ zs + 3$

**shows** *transforms*  $tm3\ tps0\ ttt\ tps3$

**unfolding**  $tm3\text{-}def$  **by** (*tform*  $tps: assms\ tps2\text{-}def\ tps3\text{-}def\ jk$ )

**definition**  $tps4 \equiv tps0$

$[j2 := (\lfloor \text{proper-symbols-}lt\ 5\ zs \wedge \neg \text{has2}\ zs\ \mathbf{0} \rfloor_B, 1),$

$j2 + 1 := (\lfloor 0 \rfloor_N, 1)]$

**lemma**  $tm4$ :

**assumes**  $ttt = 46 + 17 * \text{length}\ zs + 13 + 2 * \text{nlength}\ (\text{if has2}\ zs\ \mathbf{0} \mid \text{then } 0\ \text{else } 1)$

**shows** *transforms*  $tm4\ tps0\ ttt\ tps4$

**unfolding**  $tm4\text{-}def$  **by** (*tform*  $tps: assms\ tps3\text{-}def\ tps4\text{-}def\ jk$ )

**lemma**  $tm4'$  [*transforms-intros*]:

**assumes**  $ttt = 46 + 17 * \text{length}\ zs + 15$

**shows** *transforms*  $tm4'\ tps0\ ttt\ tps4$

**using** *assms*  $\text{nlength-}0\ \text{nlength-}1\text{-}simp\ tm4\ \text{transforms-monotone}$  **by** *simp*

**definition**  $tps5 \equiv tps0$

$[j2 := (\lfloor \text{proper-symbols-}lt\ 5\ zs \wedge \neg \text{has2}\ zs\ \mathbf{0} \rfloor_B, 1),$

$j2 + 1 := (\lfloor zs = [] \vee \text{last}\ zs = [] \rfloor_B, 1)]$

**lemma**  $tm5$  [*transforms-intros*]:

**assumes**  $ttt = 79 + 19 * \text{length}\ zs$

**shows** *transforms*  $tm5\ tps0\ ttt\ tps5$

**unfolding**  $tm5\text{-}def$  **by** (*tform*  $tps: tps4\text{-}def\ tps5\text{-}def\ jk\ zs\ tps0\ assms$ )

**definition**  $tps6 \equiv tps0$

$[j2 := (\lfloor \text{proper-symbols-}lt\ 5\ zs \wedge \neg \text{has2}\ zs\ \mathbf{0} \mid \wedge (zs = [] \vee \text{last}\ zs = []) \rfloor_B, 1),$

$j2 + 1 := (\lfloor zs = [] \vee \text{last}\ zs = [] \rfloor_B, 1)]$

**lemma**  $tm6$  [*transforms-intros*]:

**assumes**  $ttt = 82 + 19 * \text{length}\ zs$

**shows** *transforms*  $tm6\ tps0\ ttt\ tps6$

**unfolding**  $tm6\text{-}def$  **by** (*tform*  $tps: tps5\text{-}def\ tps6\text{-}def\ jk\ \text{time:}\ assms$ )

**definition**  $tps7 \equiv tps0$

$[j2 := (\lfloor \text{proper-symbols-} \text{lt } 5 \text{ } zs \wedge \neg \text{has2 } zs \mathbf{0} \mid \wedge (zs = [] \vee \text{last } zs = |) \rfloor_B, 1),$   
 $j2 + 1 := (\lfloor 0 \rfloor_N, 1)]$

**lemma** *tm7*:

**assumes**  $ttt = 92 + 19 * \text{length } zs + 2 * \text{nlength}$  (if  $zs = [] \vee \text{last } zs = |$  then 1 else 0)  
**shows** *transforms tm7 tps0 ttt tps7*  
**unfolding** *tm7-def* **by** (*tform tps: assms tps6-def tps7-def jk*)

**definition**  $tps7' \equiv tps0$

$[j2 := (\lfloor \text{numlist-wf } zs \rfloor_B, 1),$   
 $j2 + 1 := (\lfloor 0 \rfloor_N, 1)]$

**lemma** *tm7'*:

**assumes**  $ttt = 94 + 19 * \text{length } zs$   
**shows** *transforms tm7 tps0 ttt tps7'*

**proof** –

**have**  $ttt \geq 92 + 19 * \text{length } zs + 2 * \text{nlength}$  (if  $zs = [] \vee \text{last } zs = |$  then 1 else 0)  
**using** *assms nlength-1-simp* **by** *auto*  
**moreover** **have**  $tps7' = tps7$   
**using** *tps7'-def tps7-def numlist-wf-iff* **by** *auto*  
**ultimately show** *?thesis*  
**using** *transforms-monotone tm7* **by** *simp*

**qed**

**definition**  $tps7'' \equiv tps0$

$[j2 := (\lfloor \text{numlist-wf } zs \rfloor_B, 1)]$

**lemma** *tm7''* [*transforms-intros*]:

**assumes**  $ttt = 94 + 19 * \text{length } zs$   
**shows** *transforms tm7 tps0 ttt tps7''*

**proof** –

**have**  $tps7'' = tps7'$   
**unfolding** *tps7''-def tps7'-def* **using** *tps0 jk canrepr-0*  
**by** (*metis add-gr-0 less-add-same-cancel1 list-update-id list-update-swap-less zero-less-two*)  
**then show** *?thesis*  
**using** *tm7' assms* **by** *simp*

**qed**

**end**

**end**

**lemma** *transforms-tm-numlist-wfI* [*transforms-intros*]:

**fixes**  $j1 \ j2 :: \text{tapeid}x$   
**fixes**  $tps \ tps' :: \text{tape list}$  **and**  $zs :: \text{symbol list}$  **and**  $ttt \ k :: \text{nat}$   
**assumes**  $0 < j1 \ j1 < k \ j2 + 1 < k \ j1 \neq j2 \ 0 < j2 \ j1 \neq j2 + 1$  *length tps = k*  
**and** *proper-symbols zs*

**assumes**

$tps ! j1 = (\lfloor zs \rfloor, 1)$   
 $tps ! j2 = (\lfloor [] \rfloor, 1)$   
 $tps ! (j2 + 1) = (\lfloor [] \rfloor, 1)$

**assumes**  $ttt = 94 + 19 * \text{length } zs$

**assumes**  $tps' = tps$

$[j2 := (\lfloor \text{numlist-wf } zs \rfloor_B, 1)]$

**shows** *transforms (tm-numlist-wf j1 j2) tps ttt tps'*

**proof** –

**interpret** *loc: turing-machine-numlist-wf j1 j2* .

**show** *?thesis*

**using** *assms loc.tps7''-def loc.tm7'' loc.tm7-eq-tm-numlist-wf* **by** *simp*

**qed**

## 2.12.5 Checking well-formedness for lists of lists

The next Turing machine checks all conditions from the criterion in lemma *numlistlist-wf-iff* one after another for the symbols on tape  $j_1$  and writes to tape  $j_2$  either the number 1 or 0 depending on whether all conditions were met. It assumes tape  $j_2$  is initialized with 0.

**definition** *tm-numlistlist-wf* :: *tapeidx*  $\Rightarrow$  *tapeidx*  $\Rightarrow$  *machine* **where**

```

tm-numlistlist-wf j1 j2  $\equiv$ 
  tm-proper-symbols-lt j1 j2 6 ;;
  tm-not-has2 0 | j1 (j2 + 1) ;;
  tm-and j2 (j2 + 1) ;;
  tm-setn (j2 + 1) 0 ;;
  tm-empty-or-endswith j1 (j2 + 1) # ;;
  tm-and j2 (j2 + 1) ;;
  tm-setn (j2 + 1) 0 ;;
  tm-not-has2 0 # j1 (j2 + 1) ;;
  tm-and j2 (j2 + 1) ;;
  tm-setn (j2 + 1) 0 ;;
  tm-not-has2 1 # j1 (j2 + 1) ;;
  tm-and j2 (j2 + 1) ;;
  tm-setn (j2 + 1) 0

```

**lemma** *tm-numlistlist-wf-tm*:

**assumes**  $k \geq 2$  **and**  $G \geq 6$  **and**  $0 < j_2 < j_1$  **and**  $j_1 < k$   $j_2 + 1 < k$

**shows** *turing-machine*  $k$   $G$  (*tm-numlistlist-wf*  $j_1$   $j_2$ )

**using** *tm-numlistlist-wf-def* *tm-proper-symbols-lt-tm* *tm-not-has2-tm* *tm-and-tm* *tm-setn-tm* *tm-empty-or-endswith-tm* *assms*

**by** *simp*

**locale** *turing-machine-numlistlist-wf* =

**fixes**  $j_1$   $j_2$  :: *tapeidx*

**begin**

**definition** *tm1*  $\equiv$  *tm-proper-symbols-lt*  $j_1$   $j_2$  6

**definition** *tm2*  $\equiv$  *tm1* ;; *tm-not-has2* 0 |  $j_1$  ( $j_2 + 1$ )

**definition** *tm3*  $\equiv$  *tm2* ;; *tm-and*  $j_2$  ( $j_2 + 1$ )

**definition** *tm4*  $\equiv$  *tm3* ;; *tm-setn* ( $j_2 + 1$ ) 0

**definition** *tm5*  $\equiv$  *tm4* ;; *tm-empty-or-endswith*  $j_1$  ( $j_2 + 1$ ) #

**definition** *tm6*  $\equiv$  *tm5* ;; *tm-and*  $j_2$  ( $j_2 + 1$ )

**definition** *tm7*  $\equiv$  *tm6* ;; *tm-setn* ( $j_2 + 1$ ) 0

**definition** *tm8*  $\equiv$  *tm7* ;; *tm-not-has2* 0 #  $j_1$  ( $j_2 + 1$ )

**definition** *tm9*  $\equiv$  *tm8* ;; *tm-and*  $j_2$  ( $j_2 + 1$ )

**definition** *tm10*  $\equiv$  *tm9* ;; *tm-setn* ( $j_2 + 1$ ) 0

**definition** *tm11*  $\equiv$  *tm10* ;; *tm-not-has2* 1 #  $j_1$  ( $j_2 + 1$ )

**definition** *tm12*  $\equiv$  *tm11* ;; *tm-and*  $j_2$  ( $j_2 + 1$ )

**definition** *tm13*  $\equiv$  *tm12* ;; *tm-setn* ( $j_2 + 1$ ) 0

**lemma** *tm13-eq-tm-numlistlist-wf*: *tm13* = *tm-numlistlist-wf*  $j_1$   $j_2$

**unfolding** *tm13-def* *tm12-def* *tm11-def* *tm10-def* *tm9-def* *tm8-def* *tm7-def* *tm6-def* *tm5-def* *tm4-def* *tm3-def* *tm2-def* *tm1-def* *tm-numlistlist-wf-def*

**by** *simp*

**context**

**fixes** *tps0* :: *tape list* **and** *zs* :: *symbol list* **and**  $k$  :: *nat*

**assumes** *zs*: *proper-symbols* *zs*

**assumes** *jk*:  $0 < j_1$   $j_1 < k$   $j_2 + 1 < k$   $j_1 \neq j_2$   $0 < j_2$   $j_1 \neq j_2 + 1$  *length* *tps0* =  $k$

**assumes** *tps0*:

$tps0 ! j_1 = (\lfloor zs \rfloor, 1)$

$tps0 ! j_2 = (\lfloor \square \rfloor, 1)$

$tps0 ! (j_2 + 1) = (\lfloor \square \rfloor, 1)$

**begin**

**definition** *tps1*  $\equiv$  *tps0*

$[j_2 := (\lfloor \text{proper-symbols-lt } 6 \text{ } zs \rfloor_B, 1)]$

**lemma** *tm1* [*transforms-intros*]:  
**assumes**  $ttt = 5 + 7 * \text{length } zs$   
**shows** *transforms tm1 tps0 ttt tps1*  
**unfolding** *tm1-def* **by** (*tform tps: tps0 tps1-def zs jk time: assms*)

**definition** *tps2*  $\equiv tps0$   
 $[j2 := (\lfloor \text{proper-symbols-lt } 6 \text{ } zs \rfloor_B, 1),$   
 $j2 + 1 := (\lfloor \text{if has2 } zs \text{ } \mathbf{0} \mid \text{then } 0 \text{ else } 1 \rfloor_N, 1)]$

**lemma** *tm2* [*transforms-intros*]:  
**assumes**  $ttt = 46 + 17 * \text{length } zs$   
**shows** *transforms tm2 tps0 ttt tps2*  
**unfolding** *tm2-def* **by** (*tform tps: zs tps0 assms tps1-def tps2-def jk*)

**definition** *tps3*  $\equiv tps0$   
 $[j2 := (\lfloor \text{proper-symbols-lt } 6 \text{ } zs \wedge \neg \text{has2 } zs \text{ } \mathbf{0} \rfloor_B, 1),$   
 $j2 + 1 := (\lfloor \text{if has2 } zs \text{ } \mathbf{0} \mid \text{then } 0 \text{ else } 1 \rfloor_N, 1)]$

**lemma** *tm3* [*transforms-intros*]:  
**assumes**  $ttt = 46 + 17 * \text{length } zs + 3$   
**shows** *transforms tm3 tps0 ttt tps3*  
**unfolding** *tm3-def* **by** (*tform tps: tps2-def tps3-def jk time: assms*)

**definition** *tps4*  $\equiv tps0$   
 $[j2 := (\lfloor \text{proper-symbols-lt } 6 \text{ } zs \wedge \neg \text{has2 } zs \text{ } \mathbf{0} \rfloor_B, 1),$   
 $j2 + 1 := (\lfloor 0 \rfloor_N, 1)]$

**lemma** *tm4*:  
**assumes**  $ttt = 46 + 17 * \text{length } zs + 13 + 2 * \text{nlength (if has2 } zs \text{ } \mathbf{0} \mid \text{then } 0 \text{ else } 1)$   
**shows** *transforms tm4 tps0 ttt tps4*  
**unfolding** *tm4-def* **by** (*tform tps: tps3-def assms tps4-def jk*)

**lemma** *tm4'* [*transforms-intros*]:  
**assumes**  $ttt = 46 + 17 * \text{length } zs + 15$   
**shows** *transforms tm4 tps0 ttt tps4*  
**using** *assms nlength-0 nlength-1-simp tm4 transforms-monotone* **by** *simp*

**definition** *tps5*  $\equiv tps0$   
 $[j2 := (\lfloor \text{proper-symbols-lt } 6 \text{ } zs \wedge \neg \text{has2 } zs \text{ } \mathbf{0} \rfloor_B, 1),$   
 $j2 + 1 := (\lfloor zs = [] \vee \text{last } zs = \# \rfloor_B, 1)]$

**lemma** *tm5* [*transforms-intros*]:  
**assumes**  $ttt = 79 + 19 * \text{length } zs$   
**shows** *transforms tm5 tps0 ttt tps5*  
**unfolding** *tm5-def* **by** (*tform tps: tps0 tps4-def tps5-def jk zs time: assms*)

**definition** *tps6*  $\equiv tps0$   
 $[j2 := (\lfloor \text{proper-symbols-lt } 6 \text{ } zs \wedge \neg \text{has2 } zs \text{ } \mathbf{0} \mid \wedge (zs = [] \vee \text{last } zs = \#) \rfloor_B, 1),$   
 $j2 + 1 := (\lfloor zs = [] \vee \text{last } zs = \# \rfloor_B, 1)]$

**lemma** *tm6* [*transforms-intros*]:  
**assumes**  $ttt = 82 + 19 * \text{length } zs$   
**shows** *transforms tm6 tps0 ttt tps6*  
**unfolding** *tm6-def* **by** (*tform tps: tps5-def tps6-def jk time: assms*)

**definition** *tps7*  $\equiv tps0$   
 $[j2 := (\lfloor \text{proper-symbols-lt } 6 \text{ } zs \wedge \neg \text{has2 } zs \text{ } \mathbf{0} \mid \wedge (zs = [] \vee \text{last } zs = \#) \rfloor_B, 1),$   
 $j2 + 1 := (\lfloor 0 \rfloor_N, 1)]$

**lemma** *tm7*:  
**assumes**  $ttt = 92 + 19 * \text{length } zs + 2 * \text{nlength (if } zs = [] \vee \text{last } zs = \# \text{ then } 1 \text{ else } 0)$   
**shows** *transforms tm7 tps0 ttt tps7*

**unfolding** *tm7-def* by (tform tps: assms tps6-def tps7-def jk)

**lemma** *tm7'* [transforms-intros]:  
assumes  $ttt = 94 + 19 * \text{length } zs$   
shows *transforms tm7 tps0 ttt tps7*  
using *transforms-monotone tm7 nlength-1-simp assms* by *simp*

**definition** *tps8*  $\equiv tps0$   
 $[j2 := (\lfloor \text{proper-symbols-lt } 6 \text{ } zs \wedge \neg \text{has2 } zs \mathbf{0} \mid \wedge (zs = [] \vee \text{last } zs = \#) \rfloor_B, 1),$   
 $j2 + 1 := (\lfloor \text{if has2 } zs \mathbf{0} \# \text{ then } 0 \text{ else } 1 \rfloor_N, 1)]$

**lemma** *tm8* [transforms-intros]:  
assumes  $ttt = 135 + 29 * \text{length } zs$   
shows *transforms tm8 tps0 ttt tps8*  
**unfolding** *tm8-def* by (tform tps: canrepr-0 zs tps0 assms tps7-def tps8-def jk)

**definition** *tps9*  $\equiv tps0$   
 $[j2 := (\lfloor \text{proper-symbols-lt } 6 \text{ } zs \wedge \neg \text{has2 } zs \mathbf{0} \mid \wedge (zs = [] \vee \text{last } zs = \#) \wedge \neg \text{has2 } zs \mathbf{0} \# \rfloor_B, 1),$   
 $j2 + 1 := (\lfloor \text{if has2 } zs \mathbf{0} \# \text{ then } 0 \text{ else } 1 \rfloor_N, 1)]$

**lemma** *tm9* [transforms-intros]:  
assumes  $ttt = 138 + 29 * \text{length } zs$   
shows *transforms tm9 tps0 ttt tps9*  
**unfolding** *tm9-def* by (tform tps: tps8-def tps9-def jk time: assms)

**definition** *tps10*  $\equiv tps0$   
 $[j2 := (\lfloor \text{proper-symbols-lt } 6 \text{ } zs \wedge \neg \text{has2 } zs \mathbf{0} \mid \wedge (zs = [] \vee \text{last } zs = \#) \wedge \neg \text{has2 } zs \mathbf{0} \# \rfloor_B, 1),$   
 $j2 + 1 := (\lfloor 0 \rfloor_N, 1)]$

**lemma** *tm10*:  
assumes  $ttt = 148 + 29 * \text{length } zs + 2 * \text{nlength } (\text{if has2 } zs \mathbf{0} \# \text{ then } 0 \text{ else } 1)$   
shows *transforms tm10 tps0 ttt tps10*  
**unfolding** *tm10-def* by (tform tps: assms tps9-def tps10-def jk)

**lemma** *tm10'* [transforms-intros]:  
assumes  $ttt = 150 + 29 * \text{length } zs$   
shows *transforms tm10 tps0 ttt tps10*  
using *transforms-monotone tm10 nlength-1-simp assms* by *simp*

**definition** *tps11*  $\equiv tps0$   
 $[j2 := (\lfloor \text{proper-symbols-lt } 6 \text{ } zs \wedge \neg \text{has2 } zs \mathbf{0} \mid \wedge (zs = [] \vee \text{last } zs = \#) \wedge \neg \text{has2 } zs \mathbf{0} \# \rfloor_B, 1),$   
 $j2 + 1 := (\lfloor \text{if has2 } zs \mathbf{1} \# \text{ then } 0 \text{ else } 1 \rfloor_N, 1)]$

**lemma** *tm11* [transforms-intros]:  
assumes  $ttt = 191 + 39 * \text{length } zs$   
shows *transforms tm11 tps0 ttt tps11*  
**unfolding** *tm11-def* by (tform tps: canrepr-0 zs tps0 assms tps10-def tps11-def jk)

**definition** *tps12*  $\equiv tps0$   
 $[j2 := (\lfloor \text{proper-symbols-lt } 6 \text{ } zs \wedge \neg \text{has2 } zs \mathbf{0} \mid \wedge (zs = [] \vee \text{last } zs = \#) \wedge \neg \text{has2 } zs \mathbf{0} \# \wedge \neg \text{has2 } zs \mathbf{1} \# \rfloor_B, 1),$   
 $j2 + 1 := (\lfloor \text{if has2 } zs \mathbf{1} \# \text{ then } 0 \text{ else } 1 \rfloor_N, 1)]$

**lemma** *tm12* [transforms-intros]:  
assumes  $ttt = 194 + 39 * \text{length } zs$   
shows *transforms tm12 tps0 ttt tps12*  
**unfolding** *tm12-def* by (tform tps: assms tps11-def tps12-def jk)

**definition** *tps13*  $\equiv tps0$   
 $[j2 := (\lfloor \text{proper-symbols-lt } 6 \text{ } zs \wedge \neg \text{has2 } zs \mathbf{0} \mid \wedge (zs = [] \vee \text{last } zs = \#) \wedge \neg \text{has2 } zs \mathbf{0} \# \wedge \neg \text{has2 } zs \mathbf{1} \# \rfloor_B, 1),$   
 $j2 + 1 := (\lfloor 0 \rfloor_N, 1)]$

**lemma** *tm13*:  
assumes  $ttt = 204 + 39 * \text{length } zs + 2 * \text{nlength } (\text{if has2 } zs \mathbf{1} \# \text{ then } 0 \text{ else } 1)$

shows transforms  $tm13$   $tps0$   $t$   $tps13$   
 unfolding  $tm13$ -def by (tform  $tps$ : assms  $tps12$ -def  $tps13$ -def  $jk$ )

lemma  $tm13'$ :  
 assumes  $t$  =  $206 + 39 * \text{length } zs$   
 shows transforms  $tm13$   $tps0$   $t$   $tps13$   
 using transforms-monotone  $tm13$   $nlength-1$ -simp assms by simp

definition  $tps13' \equiv tps0$   
 $[j2 := (\lfloor \text{proper-symbols-}lt\ 6\ zs \wedge \neg \text{has2 } zs\ 0 \mid \wedge (zs = [] \vee \text{last } zs = \#) \wedge \neg \text{has2 } zs\ 0 \# \wedge \neg \text{has2 } zs\ 1 \# \rfloor_B, 1)]$

lemma  $tm13''$ :  
 assumes  $t$  =  $206 + 39 * \text{length } zs$   
 shows transforms  $tm13$   $tps0$   $t$   $tps13'$   
 proof –  
 have  $tps13' = tps13$   
 unfolding  $tps13'$ -def  $tps13$ -def  
 using  $tps0(3)$   $jk$   $\text{canrepr-0 list-update-id}$ [of  $tps0$   $Suc\ j2$ ]  
 by (simp add:  $\text{list-update-swap}$ )  
 then show ?thesis  
 using  $tm13'$  assms by simp  
 qed

definition  $tps13'' \equiv tps0$   
 $[j2 := (\lfloor \text{numlistlist-wf } zs \rfloor_B, 1)]$

lemma  $tm13'''$ :  
 assumes  $t$  =  $206 + 39 * \text{length } zs$   
 shows transforms  $tm13$   $tps0$   $t$   $tps13''$   
 proof –  
 have  $tps13'' = tps13'$   
 using  $\text{numlistlist-wf-iff } tps13''$ -def  $tps13'$ -def by auto  
 then show ?thesis  
 using assms  $tm13''$   $\text{numlistlist-wf-iff}$  by simp  
 qed

end

end

lemma  $\text{transforms-tm-numlistlist-wfI}$  [ $\text{transforms-intros}$ ]:  
 fixes  $j1\ j2 :: \text{tapeid}$   
 fixes  $tps\ tps' :: \text{tape list}$  and  $zs :: \text{symbol list}$  and  $t\ k :: \text{nat}$   
 assumes  $0 < j1\ j1 < k\ j2 + 1 < k\ j1 \neq j2\ 0 < j2\ j1 \neq j2 + 1\ \text{length } tps = k$   
 and  $\text{proper-symbols } zs$   
 assumes  
 $tps ! j1 = (\lfloor zs \rfloor, 1)$   
 $tps ! j2 = (\lfloor [] \rfloor, 1)$   
 $tps ! (j2 + 1) = (\lfloor [] \rfloor, 1)$   
 assumes  $t$  =  $206 + 39 * \text{length } zs$   
 assumes  $tps' = tps$   
 $[j2 := (\lfloor \text{numlistlist-wf } zs \rfloor_B, 1)]$   
 shows transforms ( $\text{tm-numlistlist-wf } j1\ j2$ )  $tps$   $t$   $tps'$   
 proof –  
 interpret  $\text{loc}$ :  $\text{turing-machine-numlistlist-wf } j1\ j2$  .  
 show ?thesis  
 using assms  $\text{loc.}tps13''$ -def  $\text{loc.}tm13'''$   $\text{loc.}tm13$ -eq- $\text{tm-numlistlist-wf}$  by simp  
 qed

end

# Chapter 3

## Time complexity

```
theory NP
imports Elementary Composing Symbol-Ops
begin
```

In order to formulate the Cook-Levin theorem we need to formalize SAT and  $\mathcal{NP}$ -completeness. This chapter is devoted to the latter and hence introduces the complexity class  $\mathcal{NP}$  and the concept of polynomial-time many-one reduction. Moreover, although not required for the Cook-Levin theorem, it introduces the class  $\mathcal{P}$  and contains a proof of  $\mathcal{P} \subseteq \mathcal{NP}$  (see Section 3.3). The chapter concludes with some easy results about  $\mathcal{P}$ ,  $\mathcal{NP}$  and reducibility in Section 3.4.

### 3.1 The time complexity classes DTIME, $\mathcal{P}$ , and $\mathcal{NP}$

Arora and Barak [2, Definitions 1.12, 1.13] define  $\text{DTIME}(T(n))$  as the set of all languages that can be decided in time  $c \cdot T(n)$  for some  $c > 0$  and  $\mathcal{P} = \bigcup_{c \geq 1} \text{DTIME}(n^c)$ . However since  $0^c = 0$  for  $c \geq 1$ , this means that for a language  $L$  to be in  $\mathcal{P}$ , the Turing machine deciding  $L$  must check the empty string in zero steps. For a Turing machine to halt in zero steps, it must start in the halting state, which limits its usefulness. Because of this technical issue we define  $\text{DTIME}(T(n))$  as the set of all languages that can be decided with a running time in  $O(T(n))$ , which seems a common enough alternative [11, 12, 1].

Languages are sets of strings, and deciding a language means computing its characteristic function.

```
type-synonym language = string set
```

```
definition characteristic :: language  $\Rightarrow$  (string  $\Rightarrow$  string) where
  characteristic L  $\equiv$  ( $\lambda x$ . [x  $\in$  L])
```

```
definition DTIME :: (nat  $\Rightarrow$  nat)  $\Rightarrow$  language set where
  DTIME T  $\equiv$  {L.  $\exists k G M T'$ .
    turing-machine k G M  $\wedge$ 
    big-oh T' T  $\wedge$ 
    computes-in-time k M (characteristic L) T'}
```

```
definition complexity-class-P :: language set ( $\langle \mathcal{P} \rangle$ ) where
  P  $\equiv$   $\bigcup_{c \in \{1..\}}$ . DTIME ( $\lambda n$ . n  $\wedge$  c)
```

A language  $L$  is in  $\mathcal{NP}$  if there is a polynomial  $p$  and a polynomial-time Turing machine, called the *verifier*, such that for all strings  $x \in \{\mathbf{0}, \mathbf{1}\}^*$ ,

$$x \in L \iff \exists u \in \{\mathbf{0}, \mathbf{1}\}^{p(|x|)} : M(\langle x, u \rangle) = \mathbf{I}.$$

The string  $u$  does not seem to have a name in general, but in case the verifier outputs  $\mathbf{I}$  on input  $\langle x, u \rangle$  it is called a *certificate* for  $x$  [2, Definition 2.1].

```
definition complexity-class-NP :: language set ( $\langle \mathcal{NP} \rangle$ ) where
  NP  $\equiv$  {L.  $\exists k G M p T$  verify.
    turing-machine k G M  $\wedge$ 
    polynomial p  $\wedge$ 
```



*big-oh-poly*  $T \wedge$   
*computes-in-time*  $k M$  *fverify*  $T \wedge$   
 $(\forall x. x \in L \longleftrightarrow (\exists u. \text{length } u = p(\text{length } x) \wedge \text{fverify } \langle x, u \rangle = [\mathbb{I}])))$

The definition of  $\mathcal{NP}$  is the one place where we need an actual polynomial function, namely  $p$ , rather than a function that is merely bounded by a polynomial. This raises the question as to the definition of a polynomial function. Arora and Barak [2] do not seem to give a definition in the context of  $\mathcal{NP}$  but explicitly state that polynomial functions are mappings  $\mathbb{N} \rightarrow \mathbb{N}$ . Presumably they also have the form  $f(n) = \sum_{i=0}^d a_i \cdot n^i$ , as polynomials do. We have filled in the gap in this definition in Section 2.1.4 by letting the coefficients  $a_i$  be natural numbers.

Regardless of whether this is the meaning intended by Arora and Barak, the choice is justified because with it the verifier-based definition of  $\mathcal{NP}$  is equivalent to the original definition via nondeterministic Turing machines (NTMs). In the usual equivalence proof (for example, Arora and Barak [2, Theorem 2.6]) a verifier TM and an NTM are constructed.

For the one direction, if a language is decided by a polynomial-time NTM then a verifier TM can be constructed that simulates the NTM on input  $x$  by using the bits in the string  $u$  for the nondeterministic choices. The strings  $u$  have the length  $p(|x|)$ . So for this construction to work, there must be a polynomial  $p$  that bounds the running time of the NTM. Clearly, a polynomial function with natural coefficients exists with that property.

For the other direction, assume a language has a verifier TM where  $p$  is a polynomial function with natural coefficients. An NTM deciding this language receives  $x$  as input, then “guesses” a string  $u$  of length  $p(|x|)$ , and then runs the verifier on the pair  $\langle x, u \rangle$ . For this NTM to run in polynomial time,  $p$  must be computable in time polynomial in  $|x|$ . We have shown this to be the case in lemma *transforms-tm-polynomialI* in Section 2.7.8.

A language  $L_1$  is polynomial-time many-one reducible to a language  $L_2$  if there is a polynomial-time computable function  $f_{\text{reduce}}$  such that for all  $x$ ,  $x \in L_1$  iff.  $f_{\text{reduce}}(x) \in L_2$ .

**definition** *reducible* (*infix*  $\langle \leq_p \rangle$  50) **where**

$L_1 \leq_p L_2 \equiv \exists k G M T$  *freduce*.  
*turing-machine*  $k G M \wedge$   
*big-oh-poly*  $T \wedge$   
*computes-in-time*  $k M$  *freduce*  $T \wedge$   
 $(\forall x. x \in L_1 \longleftrightarrow \text{freduce } x \in L_2)$

**abbreviation** *NP-hard*  $::$  *language*  $\Rightarrow$  *bool* **where**

*NP-hard*  $L \equiv \forall L' \in \mathcal{NP}. L' \leq_p L$

**definition** *NP-complete*  $::$  *language*  $\Rightarrow$  *bool* **where**

*NP-complete*  $L \equiv L \in \mathcal{NP} \wedge \text{NP-hard } L$

Requiring  $c \geq 1$  in the definition of  $\mathcal{P}$  is not essential:

**lemma** *in-P-iff*:  $L \in \mathcal{P} \longleftrightarrow (\exists c. L \in \text{DTIME } (\lambda n. n \wedge c))$

**proof**

**assume**  $L \in \mathcal{P}$   
**then show**  $\exists c. L \in \text{DTIME } (\lambda n. n \wedge c)$   
**unfolding** *complexity-class-P-def* **using** *Suc-le-eq* **by** *auto*

**next**

**assume**  $\exists c. L \in \text{DTIME } (\lambda n. n \wedge c)$   
**then obtain**  $c$  **where**  $L \in \text{DTIME } (\lambda n. n \wedge c)$   
**by** *auto*

**then obtain**  $k G M T$  **where**  $M$ :

*turing-machine*  $k G M$   
*big-oh*  $T (\lambda n. n \wedge c)$   
*computes-in-time*  $k M$  (*characteristic*  $L$ )  $T$   
**using** *DTIME-def* **by** *auto*

**moreover have** *big-oh*  $T (\lambda n. n \wedge \text{Suc } c)$

**proof** –

**obtain**  $c0 n0 :: \text{nat}$  **where**  $c0 n0: \forall n > n0. T n \leq c0 * n \wedge c$

**using**  $M(2)$  *big-oh-def* **by** *auto*

**have**  $\forall n > n0. T n \leq c0 * n \wedge \text{Suc } c$

**proof** *standard+*

```

fix  $n$  assume  $n0 < n$ 
then have  $n \wedge c \leq n \wedge \text{Suc } c$ 
  using pow-mono by simp
then show  $T n \leq c0 * n \wedge \text{Suc } c$ 
  using  $c0n0$  by (metis  $\langle n0 < n \rangle$  add.commute le-Suc-ex mult-le-mono2 trans-le-add2)
qed
then show ?thesis
  using big-oh-def by auto
qed
ultimately have  $\exists c > 0. L \in \text{DTIME } (\lambda n. n \wedge c)$ 
  using DTIME-def by blast
then show  $L \in \mathcal{P}$ 
  unfolding complexity-class-P-def by auto
qed

```

## 3.2 Restricting verifiers to one-bit output

The verifier Turing machine in the definition of  $\mathcal{NP}$  can output any symbol sequence. In this section we restrict it to outputting only the symbol sequence  $\mathbf{1}$  or  $\mathbf{0}$ . This is equivalent to the definition because it is easy to check if a symbol sequence differs from  $\mathbf{1}$  and if so change it to  $\mathbf{0}$ , as we show below.

The advantage of this restriction is that if we can make the TM halt with the output tape head on cell number 1, the output tape symbol read in the final step equals the output of the TM. We will exploit this in Chapter 6, where we show how to reduce any language  $L \in \mathcal{NP}$  to SAT.

The next Turing machine checks if the symbol sequence on tape  $j$  differs from the one-symbol sequence  $\mathbf{1}$  and if so turns it into  $\mathbf{0}$ . It thus ensures that the tape contains only one bit symbol.

**definition** *tm-make-bit* :: *tapeidx*  $\Rightarrow$  *machine* **where**

```

tm-make-bit  $j \equiv$ 
  tm-cr  $j$  ;;
  IF  $\lambda rs. rs ! j = \mathbf{1}$  THEN
    tm-right  $j$  ;;
    IF  $\lambda rs. rs ! j = \square$  THEN
      []
    ELSE
      tm-set  $j$  [0]
    ENDIF
  ELSE
    tm-set  $j$  [0]
  ENDIF

```

**lemma** *tm-make-bit-tm*:

```

assumes  $G \geq 4$  and  $0 < j$  and  $j < k$ 
shows turing-machine  $k$   $G$  (tm-make-bit  $j$ )
unfolding tm-make-bit-def
using assms tm-right-tm tm-set-tm tm-cr-tm Nil-tm turing-machine-branch-turing-machine
by simp

```

**locale** *turing-machine-make-bit* =

```

  fixes  $j$  :: tapeidx
begin

```

**definition** *tm1*  $\equiv$  *tm-cr*  $j$

**definition** *tmT1*  $\equiv$  *tm-right*  $j$

**definition** *tmT12*  $\equiv$  **IF**  $\lambda rs. rs ! j = \square$  **THEN** [] **ELSE** *tm-set*  $j$  [0] **ENDIF**

**definition** *tmT2*  $\equiv$  *tmT1* ;; *tmT12*

**definition** *tm12*  $\equiv$  **IF**  $\lambda rs. rs ! j = \mathbf{1}$  **THEN** *tmT2* **ELSE** *tm-set*  $j$  [0] **ENDIF**

**definition** *tm2*  $\equiv$  *tm1* ;; *tm12*

**lemma** *tm2-eq-tm-make-bit*: *tm2*  $\equiv$  *tm-make-bit*  $j$

**unfolding** *tm-make-bit-def* *tm2-def* *tm12-def* *tmT2-def* *tmT12-def* *tmT1-def* *tm1-def* **by** *simp*

```

context
  fixes tps0 :: tape list and zs :: symbol list
  assumes jk:  $j < \text{length } tps0$ 
    and zs: proper-symbols zs
  assumes tps0:  $tps0 ::= j = \lfloor zs \rfloor$ 
begin

lemma clean: clean-tape (tps0 ! j)
  using zs tps0 contents-clean-tape' by simp

definition tps1  $\equiv tps0[j := (\lfloor zs \rfloor, 1)]$ 

lemma tm1 [transforms-intros]:
  assumes  $ttt = tps0 :\# : j + 2$ 
  shows transforms tm1 tps0 ttt tps1
  unfolding tm1-def by (tform tps: assms jk clean tps0 tps1-def)

definition tpsT1  $\equiv tps0[j := (\lfloor zs \rfloor, 2)]$ 

lemma tmT1 [transforms-intros]:
  assumes  $ttt = 1$ 
  shows transforms tmT1 tps1 ttt tpsT1
  unfolding tmT1-def
proof (tform tps: assms tps1-def jk)
  show  $tpsT1 = tps1[j := tps1 ! j \mid + 1]$ 
  using tps1-def tpsT1-def jk
  by (metis Suc-1 fst-conv list-update-overwrite nth-list-update-eq plus-1-eq-Suc snd-conv)
qed

definition tpsT2  $\equiv tps0$ 
  [j := if length zs  $\leq 1$  then  $(\lfloor zs \rfloor, 2)$  else  $(\lfloor \mathbf{0} \rfloor, 1)$  ]

lemma tmT12 [transforms-intros]:
  assumes  $ttt = 14 + 2 * \text{length } zs$ 
  shows transforms tmT12 tpsT1 ttt tpsT2
  unfolding tmT12-def
proof (tform tps: assms tpsT1-def tps0 jk zs)
  show  $8 + tpsT1 :\# : j + 2 * \text{length } zs + \text{Suc } (2 * \text{length } \mathbf{0}) + 1 \leq ttt$ 
  using tpsT1-def jk assms by simp
  have  $\text{read } tpsT1 ! j = \lfloor zs \rfloor 2$ 
  using tpsT1-def jk tapes-at-read' by (metis fst-conv length-list-update nth-list-update-eq snd-conv)
  moreover have  $\lfloor zs \rfloor 2 = \square \longleftrightarrow \text{length } zs \leq 1$ 
  using zs contents-def
  by (metis Suc-1 diff-Suc-1 less-imp-le-nat linorder-le-less-linear not-less-eq-eq zero-neq-numeral)
  ultimately have  $\text{read } tpsT1 ! j = \square \longleftrightarrow \text{length } zs \leq 1$ 
  by simp
  then show
     $\text{read } tpsT1 ! j \neq \square \implies tpsT2 = tpsT1[j := (\lfloor \mathbf{0} \rfloor, 1)]$ 
     $\text{read } tpsT1 ! j = \square \implies tpsT2 = tpsT1$ 
  using tpsT1-def tpsT2-def jk by simp-all
qed

lemma tmT2 [transforms-intros]:
  assumes  $ttt = 15 + 2 * \text{length } zs$ 
  shows transforms tmT2 tps1 ttt tpsT2
  unfolding tmT2-def by (tform time: assms)

definition tps2  $\equiv tps0$ 
  [j := if  $zs = \mathbf{1}$  then  $(\lfloor zs \rfloor, 2)$  else  $(\lfloor \mathbf{0} \rfloor, 1)$  ]

lemma tm12 [transforms-intros]:
  assumes  $ttt = 17 + 2 * \text{length } zs$ 
  shows transforms tm12 tps1 ttt tps2

```

```

unfolding tm12-def
proof (tform tps: assms tps0 jk zs tps1-def)
  have read tps1 ! j = [zs] 1
    using tps1-def jk tapes-at-read' by (metis fst-conv length-list-update nth-list-update-eq snd-conv)
  then have *: read tps1 ! j = 1  $\longleftrightarrow$  [zs] 1 = 1
    by simp
  show read tps1 ! j  $\neq$  1  $\implies$  tps2 = tps1[j := ([0], 1)]
    using * tps2-def tps1-def by auto
  show tps2 = tpsT2 if read tps1 ! j = 1
  proof (cases zs = [1])
    case True
      then show ?thesis
        using * tps2-def tpsT2-def by simp
    next
      case False
        then have [zs] 1 = 1
          using * that by simp
        then have length zs > 1
          using False contents-def contents-outofbounds
        by (metis One-nat-def Suc-length-conv diff-Suc-1 length-0-conv linorder-neqE-nat nth-Cons-0 zero-neq-numeral)
        then show ?thesis
          using * tps2-def tpsT2-def by auto
      qed
  show 8 + tps1 :# j + 2 * length zs + Suc (2 * length [0]) + 1  $\leq$  ttt
    using tps1-def jk assms by simp
qed

```

```

lemma tm2:
  assumes ttt = 19 + 2 * length zs + tps0 :# j
  shows transforms tm2 tps0 ttt tps2
  unfolding tm2-def by (tform tps: assms tps0 jk zs tps1-def)

```

**end**

**end**

```

lemma transforms-tm-make-bitI [transforms-intros]:
  fixes j :: tapeidx
  fixes tps tps' :: tape list and zs :: symbol list and ttt :: nat
  assumes j < length tps and proper-symbols zs
  assumes tps ::: j = [zs]
  assumes ttt = 19 + 2 * length zs + tps :# j
  assumes tps' = tps
  [j := if zs = [1] then ([zs], 2) else ([0], 1)]
  shows transforms (tm-make-bit j) tps ttt tps'
proof –
  interpret loc: turing-machine-make-bit j .
  show ?thesis
    using assms loc.tps2-def loc.tm2 loc.tm2-eq-tm-make-bit by simp
qed

```

```

lemma output-length-le-time:
  assumes turing-machine k G M
  and tps ::: 1 = [zs]
  and proper-symbols zs
  and transforms M (snd (start-config k xs)) t tps
  shows length zs  $\leq$  t
proof –
  have 1: execute M (start-config k xs) t = (length M, tps)
    using assms transforms-def transits-def
    by (metis (no-types, lifting) execute-after-halting-ge fst-conv start-config-def sndI)
  moreover have k > 1
    using assms(1) turing-machine-def by simp

```

```

ultimately have ((execute M (start-config k xs) t) <:> 1) i = □ if i > t for i
  using assms blank-after-time that by (meson zero-less-one)
then have (tps :: 1) i = □ if i > t for i
  using 1 that by simp
then have *: [zs] i = □ if i > t for i
  using assms(2) that by simp
show ?thesis
proof (rule ccontr)
  assume ¬ length zs ≤ t
  then have length zs > t
    by simp
  then have [zs] (Suc t) ≠ □
    using contents-inbounds assms(3) contents-def proper-symbols-ne0 by simp
  then show False
    using * by simp
qed
qed

```

This is the alternative definition of  $\mathcal{NP}$ , which restricts the verifier to output only strings of length one:

```

lemma NP-output-len-1:
   $\mathcal{NP} = \{L. \exists k G M p T \text{ fverify.}$ 
    turing-machine k G M ∧
    polynomial p ∧
    big-oh-poly T ∧
    computes-in-time k M fverify T ∧
     $(\forall y. \text{length (fverify } y) = 1) \wedge$ 
     $(\forall x. x \in L \iff (\exists u. \text{length } u = p (\text{length } x) \wedge \text{fverify } \langle x, u \rangle = [\mathbb{1}])))\}$ 
  (is - = ?M)
proof
  show ?M ⊆  $\mathcal{NP}$ 
    using complexity-class-NP-def by fast
  define Q where Q =  $(\lambda L k G M p T \text{ fverify.}$ 
    turing-machine k G M ∧
    polynomial p ∧
    big-oh-poly T ∧
    computes-in-time k M fverify T ∧
     $(\forall x. (x \in L) = (\exists u. \text{length } u = p (\text{length } x) \wedge \text{fverify } \langle x, u \rangle = [\mathbb{1}])))$ 
  have alt: complexity-class-NP = {L::language.  $\exists k G M p T \text{ fverify. Q L k G M p T fverify}$ }
    unfolding complexity-class-NP-def Q-def by simp
  show  $\mathcal{NP} \subseteq ?M$ 
  proof
    fix L assume L ∈  $\mathcal{NP}$ 
    then obtain k G M p T fverify where Q L k G M p T fverify
      using alt by blast
    then have ex:
      turing-machine k G M ∧
      polynomial p ∧
      big-oh-poly T ∧
      computes-in-time k M fverify T ∧
       $(\forall x. (x \in L) = (\exists u. \text{length } u = p (\text{length } x) \wedge \text{fverify } \langle x, u \rangle = [\mathbb{1}])))$ 
      using Q-def by simp

    have k ≥ 2 and G ≥ 4
      using ex turing-machine-def by simp-all

    define M' where M' = M ;; tm-make-bit 1
    define f' where f' =  $(\lambda y. \text{if fverify } y = [\mathbb{1}] \text{ then } [\mathbb{1}] \text{ else } [0])$ 
    define T' where T' =  $(\lambda n. 19 + 4 * T n)$ 

    have turing-machine k G M'
      unfolding M'-def using  $\langle 2 \leq k \rangle \langle 4 \leq G \rangle$  ex tm-make-bit-tm by simp
    moreover have polynomial p
      using ex by simp

```

**moreover have** *big-oh-poly*  $T'$   
**using**  $T'$ -def *ex big-oh-poly-const big-oh-poly-prod big-oh-poly-sum* **by** *simp*  
**moreover have** *computes-in-time*  $k M' f' T'$   
**proof**  
**fix**  $y$   
**let**  $?cfg = \text{start-config } k \text{ (string-to-symbols } y)$   
**obtain**  $tps$  **where**  
 $1: tps ::= 1 = \text{string-to-contents (fverify } y)$  **and**  
 $2: \text{transforms } M \text{ (snd } ?cfg) (T \text{ (length } y)) tps$   
**using** *ex computes-in-timeD* **by** *blast*  
**have**  $\text{len-tps: length } tps \geq 2$   
**by** (*smt (verit) 2*  $\langle 2 \leq k \rangle$  *ex execute-num-tapes start-config-length less-le-trans numeral-2-eq-2*  
*prod.sel(2) transforms-def transits-def zero-less-Suc*)  
**define**  $zs$  **where**  $zs = \text{string-to-symbols (fverify } y)$   
**then have**  $zs: tps ::= 1 = \lfloor zs \rfloor$  *proper-symbols*  $zs$   
**using**  $1$  **by** *auto*  
**have** *transforms-MI* [*transforms-intros*]:  $\text{transforms } M \text{ (snd } ?cfg) (T \text{ (length } y)) tps$   
**using**  $2$  **by** *simp*  
**define**  $tps'$  **where**  
 $tps' = tps[1 := \text{if } zs = [1] \text{ then } (\lfloor zs \rfloor, 2) \text{ else } (\lfloor [0] \rfloor, 1)]$   
  
**have**  $\text{transforms } M' \text{ (snd } ?cfg) (T \text{ (length } y) + (19 + (tps :\# : \text{Suc } 0 + 2 * \text{length } zs))) tps'$   
**unfolding**  $M'$ -def **by** (*tform*  $tps: zs \text{ len-tps } tps'$ -def)  
**moreover have**  $T \text{ (length } y) + (19 + (tps :\# : \text{Suc } 0 + 2 * \text{length } zs)) \leq T' \text{ (length } y)$   
**proof** –  
**have**  $tps :\# : \text{Suc } 0 \leq T \text{ (length } y)$   
**using**  $2$  *transforms-def transits-def start-config-def* *ex head-pos-le-time*  $\langle 2 \leq k \rangle$   
**by** (*smt (verit, ccfv-threshold) One-nat-def Suc-1 Suc-le-lessD leD linorder-le-less-linear*  
*order-less-le-trans prod.sel(2)*)  
**moreover have**  $\text{length } zs \leq T \text{ (length } y)$   
**using**  $zs$   $2$  *ex output-length-le-time* **by** *auto*  
**ultimately show** *?thesis*  
**using**  $T'$ -def  $1$   $2$  **by** *simp*  
**qed**  
**ultimately have**  $*$ :  $\text{transforms } M' \text{ (snd } ?cfg) (T' \text{ (length } y)) tps'$   
**using** *transforms-monotone* **by** *simp*  
  
**have**  $tps' ::= 1 = (\text{if } zs = [1] \text{ then } tps ::= 1 \text{ else } \lfloor [0] \rfloor)$   
**using**  $tps'$ -def  $\text{len-tps } zs(1)$  **by** *simp*  
**also have**  $\dots = (\text{if } zs = [1] \text{ then } \lfloor [1] \rfloor \text{ else } \lfloor [0] \rfloor)$   
**using**  $zs(1)$  **by** *simp*  
**also have**  $\dots = (\text{if string-to-symbols (fverify } y) = [3] \text{ then } \lfloor [3] \rfloor \text{ else } \lfloor [2] \rfloor)$   
**using**  $zs$ -def **by** *simp*  
**also have**  $\dots = (\text{if fverify } y = [1] \text{ then } \lfloor [1] \rfloor \text{ else } \lfloor [0] \rfloor)$   
**by** *auto*  
**also have**  $\dots = (\text{if fverify } y = [1] \text{ then string-to-contents } [1] \text{ else string-to-contents } [0])$   
**by** *auto*  
**also have**  $\dots = \text{string-to-contents (if fverify } y = [1] \text{ then } [1] \text{ else } [0])$   
**by** *simp*  
**also have**  $\dots = \text{string-to-contents (f' } y)$   
**using**  $f'$ -def **by** *auto*  
**finally have**  $tps' ::= 1 = \text{string-to-contents (f' } y)$  .  
  
**then show**  $\exists tps'. tps' ::= 1 = \text{string-to-contents (f' } y) \wedge$   
 $\text{transforms } M' \text{ (snd } ?cfg) (T' \text{ (length } y)) tps'$   
**using**  $*$  **by** *auto*  
**qed**  
**moreover have**  $\forall y. \text{length (f' } y) = 1$   
**using**  $f'$ -def **by** *simp*  
**moreover have**  $(\forall x. x \in L \longleftrightarrow (\exists u. \text{length } u = p \text{ (length } x) \wedge f' \langle x, u \rangle = [1]))$   
**using** *ex f'-def* **by** *auto*  
**ultimately show**  $L \in ?M$   
**by** *blast*

qed  
qed

### 3.3 $\mathcal{P}$ is a subset of $\mathcal{NP}$

Let  $L \in \mathcal{P}$  be a language and  $M$  a Turing machine that decides  $L$  in polynomial time. To show  $L \in \mathcal{NP}$  we could use a TM that extracts the first element from the input  $\langle x, u \rangle$  and runs  $M$  on  $x$ . We do not have to construct such a TM explicitly because we have shown that the extraction of the first pair element is computable in polynomial time (lemma *tm-first-computes*), and by assumption the characteristic function of  $L$  is computable in polynomial time, too. The composition of these two functions is the verifier function required by the definition of  $\mathcal{NP}$ . And by lemma *computes-composed-poly* the composition of polynomial-time functions is polynomial-time, too.

**theorem** *P-subseteq-NP*:  $\mathcal{P} \subseteq \mathcal{NP}$

**proof**

**fix**  $L :: \text{language}$

**assume**  $L \in \mathcal{P}$

**then obtain**  $c$  **where**  $c: L \in \text{DTIME } (\lambda n. n \wedge c)$

**using** *complexity-class-P-def* **by** *auto*

**then obtain**  $k G M T'$  **where**  $M$ :

*turing-machine*  $k G M$

*computes-in-time*  $k M$  (*characteristic*  $L$ )  $T'$

*big-oh*  $T' (\lambda n. n \wedge c)$

**using** *DTIME-def* **by** *auto*

**then have**  $4$ : *big-oh-poly*  $T'$

**using** *big-oh-poly-def* **by** *auto*

**define**  $f$  **where**  $f = (\lambda x. \text{symbols-to-string } (\text{first } (\text{bindecode } (\text{string-to-symbols } x))))$

**define**  $T :: \text{nat} \Rightarrow \text{nat}$  **where**  $T = (\lambda n. 9 + 4 * n)$

**have**  $1$ : *turing-machine*  $3 6$  *tm-first*

**by** (*simp add: tm-first-tm*)

**have**  $2$ : *computes-in-time*  $3$  *tm-first*  $f T$

**using** *f-def T-def tm-first-computes* **by** *simp*

**have**  $3$ : *big-oh-poly*  $T$

**proof** –

**have** *big-oh-poly*  $(\lambda n. 9)$

**using** *big-oh-poly-const* **by** *simp*

**moreover have** *big-oh-poly*  $(\lambda n. 4 * n)$

**using** *big-oh-poly-const big-oh-poly-prod big-oh-poly-poly[of 1]* **by** *simp*

**ultimately show** *?thesis*

**using** *big-oh-poly-sum T-def* **by** *simp*

qed

**define** *fverify* **where** *fverify* = *characteristic*  $L \circ f$

**then have**  $*$ :  $\exists T k G M. \text{big-oh-poly } T \wedge \text{turing-machine } k G M \wedge \text{computes-in-time } k M \text{fverify } T$

**using**  $M 1 2 3 4$  *computes-composed-poly* **by** *simp*

**then have** *fverify*: *fverify*  $\langle x, u \rangle = [x \in L]$  **for**  $x u$

**using** *f-def first-pair symbols-to-string-to-symbols fverify-def characteristic-def*

**by** *simp*

**define**  $p :: \text{nat} \Rightarrow \text{nat}$  **where**  $p = (\lambda-. 0)$

**then have** *polynomial*  $p$

**using** *const-polynomial* **by** *simp*

**moreover have**  $\forall x. x \in L \longleftrightarrow (\exists u. \text{length } u = p (\text{length } x) \wedge \text{fverify } \langle x, u \rangle = [\mathbf{I}])$

**using** *fverify p-def* **by** *simp*

**ultimately show**  $L \in \mathcal{NP}$

**using**  $*$  *complexity-class-NP-def* **by** *fast*

qed

### 3.4 More about $\mathcal{P}$ , $\mathcal{NP}$ , and reducibility

We prove some low-hanging fruits about the concepts introduced in this chapter. None of the results are needed to show the Cook-Levin theorem.

A language can be reduced to itself by the identity function. Hence reducibility is a reflexive relation.

**lemma** *reducible-refl*:  $L \leq_p L$   
**proof** –  
 let  $?M = tm-id$   
 let  $?T = \lambda n. Suc (Suc n)$   
 have *turing-machine* 2 4  $?M$   
 using *tm-id-tm* by *simp*  
 moreover have *big-oh-poly*  $?T$   
**proof** –  
 have *big-oh-poly*  $(\lambda n. n + 2)$   
 using *big-oh-poly-const big-oh-poly-id big-oh-poly-sum* by *blast*  
 then show *?thesis*  
 by *simp*  
**qed**  
 moreover have *computes-in-time* 2  $?M id ?T$   
 using *computes-id* by *simp*  
 moreover have  $\forall x. x \in L \longleftrightarrow id x \in L$   
 by *simp*  
 ultimately show  $L \leq_p L$   
 using *reducible-def* by *metis*  
**qed**

Reducibility is also transitive. If  $L_1 \leq_p L_2$  by a TM  $M_1$  and  $L_2 \leq_p L_3$  by a TM  $M_2$  we merely have to run  $M_2$  on the output of  $M_1$  to show that  $L_1 \leq_p L_3$ . Again this is merely the composition of two polynomial-time computable functions.

**lemma** *reducible-trans*:  
 assumes  $L_1 \leq_p L_2$  and  $L_2 \leq_p L_3$   
 shows  $L_1 \leq_p L_3$   
**proof** –  
 obtain  $k1 G1 M1 T1 f1$  **where** 1:  
   *turing-machine*  $k1 G1 M1 \wedge$   
   *big-oh-poly*  $T1 \wedge$   
   *computes-in-time*  $k1 M1 f1 T1 \wedge$   
    $(\forall x. x \in L_1 \longleftrightarrow f1 x \in L_2)$   
 using *assms(1) reducible-def* by *metis*  
 moreover obtain  $k2 G2 M2 T2 f2$  **where** 2:  
   *turing-machine*  $k2 G2 M2 \wedge$   
   *big-oh-poly*  $T2 \wedge$   
   *computes-in-time*  $k2 M2 f2 T2 \wedge$   
    $(\forall x. x \in L_2 \longleftrightarrow f2 x \in L_3)$   
 using *assms(2) reducible-def* by *metis*  
 ultimately obtain  $T k G M$  **where**  
   *big-oh-poly*  $T \wedge$  *turing-machine*  $k G M \wedge$  *computes-in-time*  $k M (f2 \circ f1) T$   
 using *computes-composed-poly* by *metis*  
 moreover have  $\forall x. x \in L_1 \longleftrightarrow f2 (f1 x) \in L_3$   
 using 1 2 by *simp*  
 ultimately show *?thesis*  
 using *reducible-def* by *fastforce*  
**qed**

The usual way to show that a language is  $\mathcal{NP}$ -hard is to reduce another  $\mathcal{NP}$ -hard language to it.

**lemma** *ex-reducible-imp-NP-hard*:  
 assumes *NP-hard*  $L'$  and  $L' \leq_p L$   
 shows *NP-hard*  $L$   
 using *reducible-trans assms* by *auto*

The converse is also true because reducibility is a reflexive relation.



**lemma** *NP-hard-iff-reducible*:  $NP\text{-hard } L \iff (\exists L'. NP\text{-hard } L' \wedge L' \leq_p L)$

**proof**

**show**  $NP\text{-hard } L \implies \exists L'. NP\text{-hard } L' \wedge L' \leq_p L$

**using** *reducible-refl* **by** *auto*

**show**  $\exists L'. NP\text{-hard } L' \wedge L' \leq_p L \implies NP\text{-hard } L$

**using** *ex-reducible-imp-NP-hard* **by** *blast*

**qed**

**lemma** *NP-complete-reducible*:

**assumes**  $NP\text{-complete } L' \text{ and } L \in \mathcal{NP} \text{ and } L' \leq_p L$

**shows**  $NP\text{-complete } L$

**using** *assms NP-complete-def reducible-trans* **by** *blast*

In a sense the complexity class  $\mathcal{P}$  is closed under reduction.

**lemma** *P-closed-reduction*:

**assumes**  $L \in \mathcal{P} \text{ and } L' \leq_p L$

**shows**  $L' \in \mathcal{P}$

**proof** –

**obtain**  $c$  **where**  $c: L \in DTIME (\lambda n. n \wedge c)$

**using** *assms(1) complexity-class-P-def* **by** *auto*

**then obtain**  $k G M T$  **where**  $M$ :

*turing-machine*  $k G M$

*computes-in-time*  $k M$  (*characteristic*  $L$ )  $T$

*big-oh*  $T$  ( $\lambda n. n \wedge c$ )

**using** *DTIME-def* **by** *auto*

**then have**  $T$ : *big-oh-poly*  $T$

**using** *big-oh-poly-def* **by** *auto*

**obtain**  $k' G' M' T'$  *freduce* **where**  $M'$ :

*turing-machine*  $k' G' M'$

*big-oh-poly*  $T'$

*computes-in-time*  $k' M'$  *freduce*  $T'$

$(\forall x. x \in L' \iff \text{freduce } x \in L)$

**using** *reducible-def assms(2)* **by** *auto*

**obtain**  $T2 k2 G2 M2$  **where**  $M2$ :

*big-oh-poly*  $T2$

*turing-machine*  $k2 G2 M2$

*computes-in-time*  $k2 M2$  (*characteristic*  $L \circ \text{freduce}$ )  $T2$

**using**  $M T M'$  *computes-composed-poly* **by** *metis*

**obtain**  $d$  **where**  $d$ : *big-oh*  $T2$  ( $\lambda n. n \wedge d$ )

**using** *big-oh-poly-def*  $M2(1)$  **by** *auto*

**have** *characteristic*  $L \circ \text{freduce} = \text{characteristic } L'$

**using** *characteristic-def*  $M'(4)$  **by** *auto*

**then have**  $\exists k G M T'$ . *turing-machine*  $k G M \wedge \text{big-oh } T' (\lambda n. n \wedge d) \wedge \text{computes-in-time } k M$  (*characteristic*  $L'$ )  $T'$

**using**  $M2 d$  **by** *auto*

**then have**  $L' \in DTIME (\lambda n. n \wedge d)$

**using** *DTIME-def* **by** *simp*

**then show** *?thesis*

**using** *in-P-iff* **by** *auto*

**qed**

The next lemmas are items 2 and 3 of Theorem 2.8 of the textbook [2]. Item 1 is the transitivity of the reduction, already proved in lemma *reducible-trans*.

**lemma** *P-eq-NP*:

**assumes**  $NP\text{-hard } L \text{ and } L \in \mathcal{P}$

**shows**  $\mathcal{P} = \mathcal{NP}$

**using** *assms P-closed-reduction P-subseteq-NP* **by** *auto*

**lemma** *NP-complete-imp*:

**assumes** *NP-complete L*  
**shows**  $L \in \mathcal{P} \iff \mathcal{P} = \mathcal{NP}$   
**using** *assms NP-complete-def P-eq-NP* **by** *auto*

**end**

# Chapter 4

## Satisfiability

```
theory Satisfiability
  imports Wellformed NP
begin
```

This chapter introduces the language **SAT** and shows that it is in  $\mathcal{NP}$ , which constitutes the easier part of the Cook-Levin theorem. The other part, the  $\mathcal{NP}$ -hardness of **SAT**, is what all the following chapters are concerned with.

We first introduce Boolean formulas in conjunctive normal form and the concept of satisfiability. Then we define a way to represent such formulas as bit strings, leading to the definition of the language **SAT** as a set of strings (Section 4.1).

For the proof that **SAT** is in  $\mathcal{NP}$ , we construct a Turing machine that, given a CNF formula and a string representing a variable assignment, outputs **1** iff. the assignment satisfies the formula. The TM will run in polynomial time, and there are always assignments polynomial (in fact, linear) in the length of the formula (Section 4.2).

### 4.1 The language **SAT**

**SAT** is the language of all strings representing satisfiable Boolean formulas in conjunctive normal form (CNF). This section introduces a minimal version of Boolean formulas in conjunctive normal form, including the concepts of assignments and satisfiability.

#### 4.1.1 CNF formulas and satisfiability

Arora and Barak [2, p. 44] define Boolean formulas in general as expressions over  $\wedge, \vee, \neg$ , parentheses, and variables  $v_1, v_2, \dots$  in the usual way. Boolean formulas in conjunctive normal form are defined as  $\bigwedge_i \left( \bigvee_j v_{i_j} \right)$ , where the  $v_{i_j}$  are literals. This definition does not seem to allow for empty clauses. Also whether the “empty CNF formula” exists is somewhat doubtful. Nevertheless, our formalization allows for both empty clauses and the empty CNF formula, because this enables us to represent CNF formulas as lists of clauses and clauses as lists of literals without having to somehow forbid the empty list. This seems to be a popular approach for formalizing CNF formulas in the context of **SAT** and  $\mathcal{NP}$  [7, 14].

We identify a variable  $v_i$  with its index  $i$ , which can be any natural number. A *literal* can either be positive or negative, representing a variable or negated variable, respectively.

```
datatype literal = Neg nat | Pos nat
```

```
type-synonym clause = literal list
```

```
type-synonym formula = clause list
```

An *assignment* maps all variables, given by their index, to a Boolean:

```
type-synonym assignment = nat  $\Rightarrow$  bool
```

**abbreviation** *satisfies-literal* :: *assignment*  $\Rightarrow$  *literal*  $\Rightarrow$  *bool* **where**  
*satisfies-literal*  $\alpha$   $x \equiv$  case  $x$  of *Neg*  $n \Rightarrow \neg \alpha$   $n$  | *Pos*  $n \Rightarrow \alpha$   $n$

**definition** *satisfies-clause* :: *assignment*  $\Rightarrow$  *clause*  $\Rightarrow$  *bool* **where**  
*satisfies-clause*  $\alpha$   $c \equiv \exists x \in \text{set } c. \text{ satisfies-literal } \alpha$   $x$

**definition** *satisfies* :: *assignment*  $\Rightarrow$  *formula*  $\Rightarrow$  *bool* (**infix**  $\langle \models \rangle$  60) **where**  
 $\alpha \models \varphi \equiv \forall c \in \text{set } \varphi. \text{ satisfies-clause } \alpha$   $c$

As is customary, the empty clause is satisfied by no assignment, and the empty CNF formula is satisfied by every assignment.

**proposition**  $\neg \text{ satisfies-clause } \alpha$  []  
**by** (*simp add: satisfies-clause-def*)

**proposition**  $\alpha \models []$   
**by** (*simp add: satisfies-def*)

**lemma** *satisfies-clause-take*:  
**assumes**  $i < \text{length clause}$   
**shows** *satisfies-clause*  $\alpha$  (*take* (*Suc*  $i$ ) *clause*)  $\longleftrightarrow$   
*satisfies-clause*  $\alpha$  (*take*  $i$  *clause*)  $\vee$  *satisfies-literal*  $\alpha$  (*clause* !  $i$ )  
**using** *assms satisfies-clause-def* **by** (*auto simp add: take-Suc-conv-app-nth*)

**lemma** *satisfies-take*:  
**assumes**  $i < \text{length } \varphi$   
**shows**  $\alpha \models \text{take } i \varphi \longleftrightarrow \alpha \models \text{take } i \varphi \wedge \text{ satisfies-clause } \alpha$  ( $\varphi$  !  $i$ )  
**using** *assms satisfies-def* **by** (*auto simp add: take-Suc-conv-app-nth*)

**lemma** *satisfies-append*:  
**assumes**  $\alpha \models \varphi_1 @ \varphi_2$   
**shows**  $\alpha \models \varphi_1$  **and**  $\alpha \models \varphi_2$   
**using** *assms satisfies-def* **by** *simp-all*

**lemma** *satisfies-append'*:  
**assumes**  $\alpha \models \varphi_1$  **and**  $\alpha \models \varphi_2$   
**shows**  $\alpha \models \varphi_1 @ \varphi_2$   
**using** *assms satisfies-def* **by** *auto*

**lemma** *satisfies-concat-map*:  
**assumes**  $\alpha \models \text{concat } (\text{map } f [0..<k])$  **and**  $i < k$   
**shows**  $\alpha \models f$   $i$   
**using** *assms satisfies-def* **by** *simp*

**lemma** *satisfies-concat-map'*:  
**assumes**  $\bigwedge i. i < k \implies \alpha \models f$   $i$   
**shows**  $\alpha \models \text{concat } (\text{map } f [0..<k])$   
**using** *assms satisfies-def* **by** *simp*

The main ingredient for defining SAT is the concept of *satisfiable* CNF formula:

**definition** *satisfiable* :: *formula*  $\Rightarrow$  *bool* **where**  
*satisfiable*  $\varphi \equiv \exists \alpha. \alpha \models \varphi$

The set of all variables used in a CNF formula is finite.

**definition** *variables* :: *formula*  $\Rightarrow$  *nat set* **where**  
*variables*  $\varphi \equiv \{n. \exists c \in \text{set } \varphi. \text{ Neg } n \in \text{set } c \vee \text{ Pos } n \in \text{set } c\}$

**lemma** *finite-variables*: *finite* (*variables*  $\varphi$ )

**proof** –

**define** *voc* :: *clause*  $\Rightarrow$  *nat set* **where**  
*voc*  $c = \{n. \text{ Neg } n \in \text{set } c \vee \text{ Pos } n \in \text{set } c\}$  **for**  $c$   
**let** *?vocs* = *set* (*map* *voc*  $\varphi$ )

**have** *finite* (*voc*  $c$ ) **for**  $c$

```

proof (induction c)
  case Nil
  then show ?case
    using voc-def by simp
  next
  case (Cons a c)
  have voc (a # c) = {n. Neg n ∈ set (a # c) ∨ Pos n ∈ set (a # c)}
    using voc-def by simp
  also have ... = {n. Neg n ∈ set c ∨ Neg n = a ∨ Pos n ∈ set c ∨ Pos n = a}
    by auto
  also have ... = {n. (Neg n ∈ set c ∨ Pos n ∈ set c) ∨ (Pos n = a ∨ Neg n = a)}
    by auto
  also have ... = {n. Neg n ∈ set c ∨ Pos n ∈ set c} ∪ {n. Pos n = a ∨ Neg n = a}
    by auto
  also have ... = voc c ∪ {n. Pos n = a ∨ Neg n = a}
    using voc-def by simp
  finally have voc (a # c) = voc c ∪ {n. Pos n = a ∨ Neg n = a} .
  moreover have finite {n. Pos n = a ∨ Neg n = a}
    using finite-nat-set-iff-bounded by auto
  ultimately show ?case
    using Cons by simp
qed
moreover have variables φ = ⋃ ?vocs
  using variables-def voc-def by auto
moreover have finite ?vocs
  by simp
ultimately show ?thesis
  by simp
qed

```

**lemma** variables-append: variables (φ<sub>1</sub> @ φ<sub>2</sub>) = variables φ<sub>1</sub> ∪ variables φ<sub>2</sub>  
**using** variables-def **by** auto

Arora and Barak [2, Claim 2.13] define the *size* of a CNF formula as the number of  $\wedge/\vee$  symbols. We use a slightly different definition, namely the number of literals:

**definition** fsize :: formula  $\Rightarrow$  nat **where**  
 fsize φ  $\equiv$  sum-list (map length φ)

## 4.1.2 Predicates on assignments

Every CNF formula is satisfied by a set of assignments. Conversely, for certain sets of assignments we can construct CNF formulas satisfied by exactly these assignments. This will be helpful later when we construct formulas for reducing arbitrary languages to SAT (see Section 6).

### Universality of CNF formulas

A set (represented by a predicate)  $F$  of assignments depends on the first  $\ell$  variables iff. any two assignments that agree on the first  $\ell$  variables are either both in the set or both outside of the set.

**definition** depon :: nat  $\Rightarrow$  (assignment  $\Rightarrow$  bool)  $\Rightarrow$  bool **where**  
 depon l F  $\equiv$   $\forall \alpha_1 \alpha_2. (\forall i < l. \alpha_1 i = \alpha_2 i) \longrightarrow F \alpha_1 = F \alpha_2$

Lists of all strings of the same length:

**fun** str-of-len :: nat  $\Rightarrow$  string list **where**  
 str-of-len 0 = [[]] |  
 str-of-len (Suc l) = map ((#) 0) (str-of-len l) @ map ((#) 1) (str-of-len l)

**lemma** length-str-of-len: length (str-of-len l) = 2<sup>l</sup>  
**by** (induction l) simp-all

**lemma** in-str-of-len-length: xs ∈ set (str-of-len l)  $\implies$  length xs = l  
**by** (induction l arbitrary: xs) auto

```

lemma length-in-str-of-len:  $length\ xs = l \implies xs \in set\ (str-of-len\ l)$ 
proof (induction l arbitrary: xs)
  case 0
  then show ?case
  by simp
next
  case (Suc l)
  then obtain y ys where  $xs = y \# ys$ 
  by (meson length-Suc-conv)
  then have  $length\ ys = l$ 
  using Suc by simp
  show ?case
  proof (cases y)
    case True
    then have  $xs \in set\ (map\ ((\#)\ \mathbb{I})\ (str-of-len\ l))$ 
    using  $\langle length\ ys = l \rangle$  Suc  $\langle xs = y \# ys \rangle$  by simp
    then show ?thesis
    by simp
  next
  case False
  then have  $xs \in set\ (map\ ((\#)\ \mathbb{O})\ (str-of-len\ l))$ 
  using  $\langle length\ ys = l \rangle$  Suc  $\langle xs = y \# ys \rangle$  by simp
  then show ?thesis
  by simp
qed
qed

```

A predicate  $F$  depending on the first  $\ell$  variables  $v_0, \dots, v_{\ell-1}$  can be regarded as a truth table over  $\ell$  variables. The next lemma shows that for every such truth table there exists a CNF formula with at most  $2^\ell$  clauses and  $\ell \cdot 2^\ell$  literals over the first  $\ell$  variables. This is the well-known fact that every Boolean function (over  $\ell$  variables) can be represented by a CNF formula [2, Claim 2.13].

```

lemma depon-ex-formula:
  assumes depon l F
  shows  $\exists \varphi.$ 
     $fsize\ \varphi \leq l * 2^\ell \wedge$ 
     $length\ \varphi \leq 2^\ell \wedge$ 
     $variables\ \varphi \subseteq \{..<l\} \wedge$ 
     $(\forall \alpha. F\ \alpha = \alpha \models \varphi)$ 
proof -
  define cl where  $cl = (\lambda v. map\ (\lambda i. if\ v!\ i\ then\ Neg\ i\ else\ Pos\ i)\ [0..<l])$ 
  have cl1: satisfies-clause a (cl v) if length v = l and v ≠ map a [0..<l] for v a
  proof -
    obtain i where  $i < l \wedge i \neq v!\ i$ 
    using  $\langle length\ v = l \rangle$   $\langle v \neq map\ a\ [0..<l] \rangle$ 
    by (smt (verit, best) atLeastLessThan-iff map-eq-conv map-nth set-upt)
    then have  $*$ :  $cl\ v!\ i = (if\ v!\ i\ then\ Neg\ i\ else\ Pos\ i)$ 
    using cl-def by simp
    then have case ( $cl\ v!\ i$ ) of  $Neg\ n \Rightarrow \neg a\ n \mid Pos\ n \Rightarrow a\ n$ 
    using i(2) by simp
    then show ?thesis
    using cl-def * that(1) satisfies-clause-def i(1) by fastforce
  qed
  have cl2:  $v \neq map\ a\ [0..<l]$  if  $length\ v = l$  and satisfies-clause a (cl v) for v a
  proof
    assume assm:  $v = map\ a\ [0..<l]$ 
    from that(2) have  $\exists x \in set\ (cl\ v). case\ x\ of\ Neg\ n \Rightarrow \neg a\ n \mid Pos\ n \Rightarrow a\ n$ 
    using satisfies-clause-def by simp
    then obtain i where  $i < l$  and case ( $cl\ v!\ i$ ) of  $Neg\ n \Rightarrow \neg a\ n \mid Pos\ n \Rightarrow a\ n$ 
    using cl-def by auto
    then have  $v!\ i \neq a\ i$ 
    using cl-def by fastforce
    then show False

```

```

    using i assm by simp
qed

have filter-length-nth:  $f (vs ! j)$  if  $vs = \text{filter } f \text{ sol}$  and  $j < \text{length } vs$ 
  for  $vs \text{ sol} :: 'a \text{ list}$  and  $f j$ 
  using that nth-mem by (metis length-removeAll-less less-irrefl removeAll-filter-not)

have sum-list-map:  $\text{sum-list } (\text{map } g \text{ xs}) \leq k * \text{length } xs$  if  $\bigwedge x. x \in \text{set } xs \implies g x = k$ 
  for  $xs :: 'a \text{ list}$  and  $g k$ 
  using that
proof (induction length xs arbitrary: xs)
  case 0
  then show ?case
    by simp
next
  case (Suc x)
  then obtain  $y \text{ ys}$  where  $xs = y \# ys$ 
    by (metis length-Suc-conv)
  then have  $\text{length } ys = x$ 
    using Suc by simp
  have  $y \in \text{set } xs$ 
    using  $\langle xs = y \# ys \rangle$  by simp
  have  $\text{sum-list } (\text{map } g \text{ xs}) = \text{sum-list } (\text{map } g (y \# ys))$ 
    using  $\langle xs = y \# ys \rangle$  by simp
  also have  $\dots = g y + \text{sum-list } (\text{map } g \text{ ys})$ 
    by simp
  also have  $\dots = k + \text{sum-list } (\text{map } g \text{ ys})$ 
    using Suc  $\langle y \in \text{set } xs \rangle$  by simp
  also have  $\dots \leq k + k * \text{length } ys$ 
    using Suc  $\langle \text{length } ys = x \rangle \langle xs = y \# ys \rangle$  by auto
  also have  $\dots = k * \text{length } xs$ 
    by (metis Suc.hyps(2))  $\langle \text{length } ys = x \rangle$  mult-Suc-right)
  finally show ?case
    by simp
qed

define vs where
   $vs = \text{filter } (\lambda v. F (\lambda i. \text{if } i < l \text{ then } v ! i \text{ else } \text{False}) = \text{False}) (\text{str-of-len } l)$ 
define  $\varphi$  where  $\varphi = \text{map } cl \text{ vs}$ 

have  $a \models \varphi$  if  $F a$  for  $a$ 
proof -
  define  $v$  where  $v = \text{map } a [0..<l]$ 
  then have  $(\lambda i. \text{if } i < l \text{ then } v ! i \text{ else } \text{False}) j = a j$  if  $j < l$  for  $j$ 
    by (simp add: that)
  then have  $*$ :  $F (\lambda i. \text{if } i < l \text{ then } v ! i \text{ else } \text{False})$ 
    using assms(1) depon-def that by (smt (verit, ccfv-SIG))
  have satisfies-clause  $a c$  if  $c \in \text{set } \varphi$  for  $c$ 
  proof -
    obtain  $j$  where  $j: c = \varphi ! j$   $j < \text{length } \varphi$ 
      using  $\varphi\text{-def } \langle c \in \text{set } \varphi \rangle$  by (metis in-set-conv-nth)
    then have  $c = cl (vs ! j)$ 
      using  $\varphi\text{-def}$  by simp
    have  $j < \text{length } vs$ 
      using  $\varphi\text{-def } j$  by simp
    then have  $F (\lambda i. \text{if } i < l \text{ then } (vs ! j) ! i \text{ else } \text{False}) = \text{False}$ 
      using vs-def filter-length-nth by blast
    then have  $vs ! j \neq v$ 
      using  $*$  by auto
    moreover have  $\text{length } (vs ! j) = l$ 
      using vs-def length-str-of-len  $\langle j < \text{length } vs \rangle$ 
      by (smt (verit) filter-eq-nths in-str-of-len-length notin-set-nthsI nth-mem)
    ultimately have satisfies-clause  $a (cl (vs ! j))$ 

```

```

    using v-def cl1 by simp
  then show ?thesis
    using ⟨c = cl (vs ! j)⟩ by simp
qed
then show ?thesis
  using satisfies-def by simp
qed
moreover have F α if α ⊨ φ for α
proof (rule ccontr)
  assume assm: ¬ F α
  define v where v = map α [0..

```



```

    using cl-def c by auto
qed
ultimately show ?thesis
  by auto
qed

```

### Substitutions of variables

We will sometimes consider CNF formulas over the first  $\ell$  variables and derive other CNF formulas from them by substituting these variables. Such a substitution will be represented by a list  $\sigma$  of length at least  $\ell$ , meaning that the variable  $v_i$  is replaced by  $v_{\sigma(i)}$ . We will call this operation on formulas *relabel*, and the corresponding one on literals *rename*:

```

fun rename :: nat list  $\Rightarrow$  literal  $\Rightarrow$  literal where
  rename  $\sigma$  (Neg  $i$ ) = Neg ( $\sigma ! i$ ) |
  rename  $\sigma$  (Pos  $i$ ) = Pos ( $\sigma ! i$ )

```

```

definition relabel :: nat list  $\Rightarrow$  formula  $\Rightarrow$  formula where
  relabel  $\sigma$   $\varphi$   $\equiv$  map (map (rename  $\sigma$ ))  $\varphi$ 

```

```

lemma fsize-relabel: fsize (relabel  $\sigma$   $\varphi$ ) = fsize  $\varphi$ 
using relabel-def fsize-def by (metis length-concat length-map map-concat)

```

A substitution  $\sigma$  can also be applied to an assignment and to a list of variable indices:

```

definition remap :: nat list  $\Rightarrow$  assignment  $\Rightarrow$  assignment where
  remap  $\sigma$   $\alpha$   $i$   $\equiv$  if  $i < \text{length } \sigma$  then  $\alpha$  ( $\sigma ! i$ ) else  $\alpha$   $i$ 

```

```

definition reseq :: nat list  $\Rightarrow$  nat list  $\Rightarrow$  nat list where
  reseq  $\sigma$   $vs$   $\equiv$  map (!)  $\sigma$   $vs$ 

```

```

lemma length-reseq [simp]: length (reseq  $\sigma$   $vs$ ) = length  $vs$ 
using reseq-def by simp

```

Relabeling a formula and remapping an assignment are equivalent in a sense.

```

lemma satisfies-sigma:
  assumes variables  $\varphi \subseteq \{..<\text{length } \sigma\}$ 
  shows  $\alpha \models \text{relabel } \sigma \varphi \iff \text{remap } \sigma \alpha \models \varphi$ 

```

**proof**

```

assume sat:  $\alpha \models \text{relabel } \sigma \varphi$ 
have satisfies-clause (remap  $\sigma$   $\alpha$ )  $c$  if  $c \in \text{set } \varphi$  for  $c$ 
proof -
  obtain  $i$  where  $i < \text{length } \varphi$   $\varphi ! i = c$ 
  by (meson  $\langle c \in \text{set } \varphi \rangle$  in-set-conv-nth)
  then have satisfies-clause  $\alpha$  (map (rename  $\sigma$ )  $c$ )
    (is satisfies-clause  $\alpha$  ? $c$ )
  using sat satisfies-def relabel-def by auto
  then obtain  $x$  where  $x \in \text{set } ?c$  case  $x$  of Neg  $n \Rightarrow \neg \alpha$   $n$  | Pos  $n \Rightarrow \alpha$   $n$ 
  using satisfies-clause-def by auto
  then obtain  $j$  where  $j < \text{length } ?c$  case (? $c$  !  $j$ ) of Neg  $n \Rightarrow \neg \alpha$   $n$  | Pos  $n \Rightarrow \alpha$   $n$ 
  by (metis in-set-conv-nth)
  have  $c ! j$  of Neg  $n \Rightarrow \neg$  (remap  $\sigma$   $\alpha$ )  $n$  | Pos  $n \Rightarrow$  (remap  $\sigma$   $\alpha$ )  $n$ 
proof (cases  $c ! j$ )
  case (Neg  $n$ )
  then have 1: ? $c$  !  $j$  = Neg ( $\sigma ! n$ )
    using  $j(1)$  by simp
  have  $n \in \text{variables } \varphi$ 
    using Neg  $j(1)$  nth-mem that variables-def by force
  then have  $n < \text{length } \sigma$ 
    using assms by auto
  then show ?thesis
    using Neg 1  $j(2)$  remap-def by auto
next
  case (Pos  $n$ )

```

```

then have 1: ?c ! j = Pos (σ ! n)
  using j(1) by simp
have n ∈ variables φ
  using Pos j(1) nth-mem that variables-def by force
then have n < length σ
  using assms by auto
then show ?thesis
  using Pos 1 j(2) remap-def by auto
qed
then show ?thesis
  using satisfies-clause-def j by auto
qed
then show remap σ α ⊨ φ
  using satisfies-def by simp
next
assume sat: remap σ α ⊨ φ
have satisfies-clause α c if c ∈ set (relabel σ φ) for c
proof –
  let ?phi = relabel σ φ
  let ?beta = remap σ α
obtain i where i: i < length ?phi ?phi ! i = c
  by (meson ⟨c ∈ set ?phi⟩ in-set-conv-nth)
then have satisfies-clause ?beta (φ ! i)
  (is satisfies-clause - ?c)
  using sat satisfies-def relabel-def by simp
then obtain x where x ∈ set ?c case x of Neg n ⇒ ¬ ?beta n | Pos n ⇒ ?beta n
  using satisfies-clause-def by auto
then obtain j where j: j < length ?c case (?c ! j) of Neg n ⇒ ¬ ?beta n | Pos n ⇒ ?beta n
  by (metis in-set-conv-nth)
then have ren: c ! j = rename σ (?c ! j)
  using i relabel-def by auto
have case c ! j of Neg n ⇒ ¬ α n | Pos n ⇒ α n
proof (cases ?c ! j)
  case (Neg n)
  then have *: c ! j = Neg (σ ! n)
  by (simp add: ren)
  have n ∈ variables φ
  using Neg i j variables-def that length-map mem-Collect-eq nth-mem relabel-def by force
  then have n < length σ
  using assms by auto
  moreover have ¬ (remap σ α) n
  using j(2) Neg by simp
  ultimately have ¬ α (σ ! n)
  using remap-def by simp
  then show ?thesis
  by (simp add: *)
  next
  case (Pos n)
  then have *: c ! j = Pos (σ ! n)
  by (simp add: ren)
  have n ∈ variables φ
  using Pos i j variables-def that length-map mem-Collect-eq nth-mem relabel-def by force
  then have n < length σ
  using assms by auto
  moreover have (remap σ α) n
  using j(2) Pos by simp
  ultimately have α (σ ! n)
  using remap-def by simp
  then show ?thesis
  by (simp add: *)
qed
moreover have length c = length (φ ! i)
  using relabel-def i by auto

```

```

ultimately show ?thesis
using satisfies-clause-def j by auto
qed
then show  $\alpha \models \text{relabel } \sigma \varphi$ 
by (simp add: satisfies-def)
qed

```

### 4.1.3 Representing CNF formulas as strings

By identifying negated literals with even numbers and positive literals with odd numbers, we can identify literals with natural numbers. This yields a straightforward representation of a clause as a list of numbers and of a CNF formula as a list of lists of numbers. Such a list can, in turn, be represented as a symbol sequence over a quaternary alphabet as described in Section 2.9, which ultimately can be encoded over a binary alphabet (see Section 2.10). This is essentially how we represent CNF formulas as strings.

We have to introduce a bunch of functions for mapping between these representations.

```

fun literal-n :: literal  $\Rightarrow$  nat where
  literal-n (Neg i) = 2 * i |
  literal-n (Pos i) = Suc (2 * i)

```

```

definition n-literal :: nat  $\Rightarrow$  literal where
  n-literal n  $\equiv$  if even n then Neg (n div 2) else Pos (n div 2)

```

```

lemma n-literal-n: n-literal (literal-n x) = x
using n-literal-def by (cases x) simp-all

```

```

lemma literal-n-literal: literal-n (n-literal n) = n
using n-literal-def by simp

```

```

definition clause-n :: clause  $\Rightarrow$  nat list where
  clause-n cl  $\equiv$  map literal-n cl

```

```

definition n-clause :: nat list  $\Rightarrow$  clause where
  n-clause ns  $\equiv$  map n-literal ns

```

```

lemma n-clause-n: n-clause (clause-n cl) = cl
using n-clause-def clause-n-def n-literal-n by (simp add: map-idI)

```

```

lemma clause-n-clause: clause-n (n-clause n) = n
using n-clause-def clause-n-def literal-n-literal by (simp add: map-idI)

```

```

definition formula-n :: formula  $\Rightarrow$  nat list list where
  formula-n  $\varphi \equiv$  map clause-n  $\varphi$ 

```

```

definition n-formula :: nat list list  $\Rightarrow$  formula where
  n-formula nss  $\equiv$  map n-clause nss

```

```

lemma n-formula-n: n-formula (formula-n  $\varphi$ ) =  $\varphi$ 
using n-formula-def formula-n-def n-clause-n by (simp add: map-idI)

```

```

lemma formula-n-formula: formula-n (n-formula nss) = nss
using n-formula-def formula-n-def clause-n-clause by (simp add: map-idI)

```

```

definition formula-zs :: formula  $\Rightarrow$  symbol list where
  formula-zs  $\varphi \equiv$  numlistlist (formula-n  $\varphi$ )

```

The mapping between formulas and well-formed symbol sequences for lists of lists of numbers is bijective.

```

lemma formula-n-inj: formula-n  $\varphi_1 = \text{formula-n } \varphi_2 \implies \varphi_1 = \varphi_2$ 
using n-formula-n by metis

```

```

definition zs-formula :: symbol list  $\Rightarrow$  formula where
  zs-formula zs  $\equiv$  THE  $\varphi$ . formula-zs  $\varphi = zs$ 

```

```

lemma zs-formula:
  assumes numlistlist-wf zs
  shows  $\exists! \varphi. \text{formula-zs } \varphi = \text{zs}$ 
proof -
  obtain nss where nss: numlistlist nss = zs
  using assms numlistlist-wf-def by auto
  let ?phi = n-formula nss
  have *: formula-n ?phi = nss
  using nss formula-n-formula by simp
  then have formula-zs ?phi = zs
  using nss formula-zs-def by simp
  then have  $\exists \varphi. \text{formula-zs } \varphi = \text{zs}$ 
  by auto
  moreover have  $\varphi = ?phi$  if formula-zs  $\varphi = \text{zs}$  for  $\varphi$ 
proof -
  have numlistlist (formula-n  $\varphi$ ) = zs
  using that formula-zs-def by simp
  then have nss = formula-n  $\varphi$ 
  using nss numlistlist-inj by simp
  then show ?thesis
  using formula-n-inj * by simp
qed
ultimately show ?thesis
by auto
qed

```

```

lemma zs-formula-zs: zs-formula (formula-zs  $\varphi$ ) =  $\varphi$ 
by (simp add: formula-n-inj formula-zs-def numlistlist-inj the-equality zs-formula-def)

```

```

lemma formula-zs-formula:
  assumes numlistlist-wf zs
  shows formula-zs (zs-formula zs) = zs
  using assms zs-formula zs-formula-zs by metis

```

There will of course be Turing machines that perform computations on formulas. In order to bound their running time, we need bounds for the length of the symbol representation of formulas.

```

lemma nlength-literal-n-Pos: nlength (literal-n (Pos n))  $\leq$  Suc (nlength n)
  using nlength-times2plus1 by simp

```

```

lemma nlength-literal-n-Neg: nlength (literal-n (Neg n))  $\leq$  Suc (nlength n)
  using nlength-times2 by simp

```

```

lemma nlength-formula-n:
  fixes V :: nat and  $\varphi$  :: formula
  assumes  $\bigwedge v. v \in \text{variables } \varphi \implies v \leq V$ 
  shows nlength (formula-n  $\varphi$ )  $\leq$  fsize  $\varphi$  * Suc (Suc (nlength V)) + length  $\varphi$ 
  using assms
proof (induction  $\varphi$ )
  case Nil
  then show ?case
  using formula-n-def by simp
next
  case (Cons cl  $\varphi$ )
  then have 0:  $\bigwedge v. v \in \text{variables } \varphi \implies v \leq V$ 
  using variables-def by simp
  have 1:  $n \leq V$  if Pos  $n \in \text{set } cl$  for  $n$ 
  using that variables-def by (simp add: Cons.prem1)
  have 2:  $n \leq V$  if Neg  $n \in \text{set } cl$  for  $n$ 
  using that variables-def by (simp add: Cons.prem2)
  have 3: nlength (literal-n v)  $\leq$  Suc (nlength V) if  $v \in \text{set } cl$  for  $v$ 
  proof (cases v)
    case (Neg n)

```

```

then have nlength (literal-n v) ≤ Suc (nlength n)
  using nlength-literal-n-Neg by blast
moreover have n ≤ V
  using Neg that 2 by simp
ultimately show ?thesis
  using nlength-mono by fastforce
next
case (Pos n)
then have nlength (literal-n v) ≤ Suc (nlength n)
  using nlength-literal-n-Pos by blast
moreover have n ≤ V
  using Pos that 1 by simp
ultimately show ?thesis
  using nlength-mono by fastforce
qed

have nlength (clause-n cl) = length (numlist (map literal-n cl))
  using clause-n-def nlength-def by simp
have nlength (clause-n cl) = (∑ n←(map literal-n cl). Suc (nlength n))
  using clause-n-def nlength by simp
also have ... = (∑ v←cl. Suc (nlength (literal-n v)))
proof -
  have map (λn. Suc (nlength n)) (map literal-n cl) = map (λv. Suc (nlength (literal-n v))) cl
    by simp
  then show ?thesis
    by metis
qed
also have ... ≤ (∑ v←cl. Suc (Suc (nlength V)))
  using sum-list-mono[of cl λv. Suc (nlength (literal-n v)) λv. Suc (Suc (nlength V))] 3
  by simp
also have ... = Suc (Suc (nlength V)) * length cl
  using sum-list-const by blast
finally have 4: nlength (clause-n cl) ≤ Suc (Suc (nlength V)) * length cl .

have concat (map (λns. numlist ns @ [5]) (map clause-n (cl # φ))) =
  (numlist (clause-n cl) @ [5]) @ concat (map (λns. numlist ns @ [5]) (map clause-n φ))
  by simp
then have length (concat (map (λns. numlist ns @ [5]) (map clause-n (cl # φ)))) =
  length ((numlist (clause-n cl) @ [5]) @ concat (map (λns. numlist ns @ [5]) (map clause-n φ)))
  by simp
then have nlength (formula-n (cl # φ)) =
  length ((numlist (clause-n cl) @ [5]) @ concat (map (λns. numlist ns @ [5]) (map clause-n φ)))
  using formula-n-def numlistlist-def nlength-def by simp
also have ... = length (numlist (clause-n cl) @ [5]) + length (concat (map (λns. numlist ns @ [5]) (map
clause-n φ)))
  by simp
also have ... = length (numlist (clause-n cl) @ [5]) + nlength (formula-n φ)
  using formula-n-def numlistlist-def nlength-def by simp
also have ... = Suc (nlength (clause-n cl)) + nlength (formula-n φ)
  using nlength-def by simp
also have ... ≤ Suc (Suc (Suc (nlength V)) * length cl) + nlength (formula-n φ)
  using 4 by simp
also have ... ≤ Suc (Suc (Suc (nlength V)) * length cl) + fsize φ * Suc (Suc (nlength V)) + length φ
  using Cons 0 by simp
also have ... = fsize (cl # φ) * Suc (Suc (nlength V)) + length (cl # φ)
  by (simp add: add-mult-distrib2 mult.commute fsize-def)
finally show ?case
  by simp
qed

```

Since SAT is supposed to be a set of strings rather than symbol sequences, we need to map symbol sequences representing formulas to strings:

**abbreviation** *formula-to-string* :: *formula* ⇒ *string* **where**

*formula-to-string*  $\varphi \equiv \text{symbols-to-string} (\text{binencode} (\text{numlistlist} (\text{formula-n } \varphi)))$

**lemma** *formula-to-string-inj*:

**assumes** *formula-to-string*  $\varphi_1 = \text{formula-to-string } \varphi_2$

**shows**  $\varphi_1 = \varphi_2$

**proof** –

**let**  $?xs1 = \text{binencode} (\text{numlistlist} (\text{formula-n } \varphi_1))$

**let**  $?xs2 = \text{binencode} (\text{numlistlist} (\text{formula-n } \varphi_2))$

**have**  $\text{bin1}: \text{binencodable} (\text{numlistlist} (\text{formula-n } \varphi_1))$

**by** (*simp add: Suc-le-eq numeral-2-eq-2 proper-symbols-numlistlist symbols-lt-numlistlist*)

**then have** *bit-symbols*  $?xs1$

**using** *bit-symbols-binencode* **by** *simp*

**then have**  $1: \text{string-to-symbols} (\text{symbols-to-string } ?xs1) = ?xs1$

**using** *bit-symbols-to-symbols* **by** *simp*

**have**  $\text{bin2}: \text{binencodable} (\text{numlistlist} (\text{formula-n } \varphi_2))$

**by** (*simp add: Suc-le-eq numeral-2-eq-2 proper-symbols-numlistlist symbols-lt-numlistlist*)

**then have** *bit-symbols*  $?xs2$

**using** *bit-symbols-binencode* **by** *simp*

**then have** *string-to-symbols*  $(\text{symbols-to-string } ?xs2) = ?xs2$

**using** *bit-symbols-to-symbols* **by** *simp*

**then have**  $?xs1 = ?xs2$

**using**  $1$  *assms* **by** *simp*

**then have**  $\text{numlistlist} (\text{formula-n } \varphi_1) = \text{numlistlist} (\text{formula-n } \varphi_2)$

**using** *binencode-inj bin1 bin2* **by** *simp*

**then have** *formula-n*  $\varphi_1 = \text{formula-n } \varphi_2$

**using** *numlistlist-inj* **by** *simp*

**then show** *?thesis*

**using** *formula-n-inj* **by** *simp*

**qed**

While *formula-to-string* maps every CNF formula to a string, not every string represents a CNF formula. We could just ignore such invalid strings and define **SAT** to only contain well-formed strings. But this would implicitly place these invalid strings in the complement of **SAT**. While this does not cause us any issues here, it would if we were to introduce  $\text{co-}\mathcal{NP}$  and wanted to show that  $\overline{\text{SAT}}$  is in  $\text{co-}\mathcal{NP}$ , as we would then have to deal with the invalid strings. So it feels a little like cheating to ignore the invalid strings like this.

Arora and Barak [2, p. 45 footnote 3] recommend mapping invalid strings to “some fixed formula”. A natural candidate for this fixed formula is the empty CNF, since an invalid string in a sense represents nothing, and the empty CNF formula is represented by the empty string. Since the empty CNF formula is satisfiable this implies that all invalid strings become elements of **SAT**.

We end up with the following definition of the protagonist of this article:

**definition** *SAT* :: *language* **where**

$\text{SAT} \equiv \{\text{formula-to-string } \varphi \mid \varphi. \text{satisfiable } \varphi\} \cup \{x. \neg (\exists \varphi. x = \text{formula-to-string } \varphi)\}$

## 4.2 SAT is in $\mathcal{NP}$

In order to show that **SAT** is in  $\mathcal{NP}$ , we will construct a polynomial-time Turing machine  $M$  and specify a polynomial function  $p$  such that for every  $x$ ,  $x \in \text{SAT}$  iff. there is a  $u \in \{\mathbf{0}, \mathbf{1}\}^{p(|x|)}$  such that  $M$  outputs  $\mathbf{1}$  on  $\langle x; u \rangle$ .

The idea is straightforward: Let  $\varphi$  be the formula represented by the string  $x$ . Interpret the string  $u$  as a list of variables and interpret this as the assignment that assigns True to only the variables in the list. Then check if the assignment satisfies the formula. This check is “obviously” possible in polynomial time because  $M$  simply has to iterate over all clauses and check if at least one literal per clause is true under the assignment. Checking if a literal is true is simply a matter of checking whether the literal’s variable is in the list  $u$ . If the assignment satisfies  $\varphi$ , output  $\mathbf{1}$ , otherwise the empty symbol sequence.

If  $\varphi$  is unsatisfiable then no assignment, hence no  $u$  no matter the length will make  $M$  output  $\mathbf{1}$ . On the other hand, if  $\varphi$  is satisfiable then there will be a satisfying assignment where a subset of the variables in  $\varphi$  are assigned True. Hence there will be a list of variables of at most roughly the length of  $\varphi$ . So setting the polynomial  $p$  to something like  $p(n) = n$  should suffice.

In fact, as we shall see,  $p(n) = n$  suffices. This is so because in our representation, the string  $x$ , being a list of lists, has slightly more overhead per number than the plain list  $u$  has. Therefore listing all variables in  $\varphi$  is guaranteed to need fewer symbols than  $x$  has.

There are several technical details to work out. First of all, the input to  $M$  need not be a well-formed pair. And if it is, the pair  $\langle x, u \rangle$  has to be decoded into separate components  $x$  and  $u$ . These have to be decoded again from the binary to the quaternary alphabet, which is only possible if both  $x$  and  $u$  comprise only bit symbols (**01**). Then  $M$  needs to check if the decoded  $x$  and  $u$  are valid symbol sequences for lists (of lists) of numbers. In the case of  $u$  this is particularly finicky because the definition of  $\mathcal{NP}$  requires us to provide a string  $u$  of exactly the length  $p(|x|)$  and so we have to pad  $u$  with extra symbols, which have to be stripped again before the validation can take place.

In the first subsection we describe what the verifier TM has to do in terms of symbol sequences. In the subsections after that we devise a Turing machine that implements this behavior.

### 4.2.1 Verifying SAT instances

Our verifier Turing machine for SAT will implement the following function; that is, on input  $zs$  it will output  $verify\text{-}sat\ zs$ . It performs a number of decodings and well-formedness checks and outputs either **1** or the empty symbol sequence.

**definition**  $verify\text{-}sat :: symbol\ list \Rightarrow symbol\ list$  **where**  
 $verify\text{-}sat\ zs \equiv$   
*let*  
 $ys = bindecode\ zs;$   
 $xs = bindecode\ (first\ ys);$   
 $vs = rstrip\ \# (bindecode\ (second\ ys))$   
*in*  
*if even (length (first ys))  $\wedge$  bit-symbols (first ys)  $\wedge$  numlistlist-wf xs*  
*then if bit-symbols (second ys)  $\wedge$  numlist-wf vs*  
*then if  $(\lambda v. v \in set (zs\text{-}numlist\ vs)) \models zs\text{-}formula\ xs$  then [1] else []*  
*else []*  
*else [1]*

Next we show that  $verify\text{-}sat$  behaves as is required of a verifier TM for SAT. Its polynomial running time is the subject of the next subsection.

First we consider the case that  $zs$  encodes a pair  $\langle x, u \rangle$  of strings where  $x$  does not represent a CNF formula. Such an  $x$  is in SAT, hence the verifier TM is supposed to output **1**.

**lemma**  $ex\text{-}phi\text{-}x$ :  
**assumes**  $xs = string\text{-}to\text{-}symbols\ x$   
**assumes even (length xs) and numlistlist-wf (bindecode xs)**  
**shows**  $\exists \varphi. x = formula\text{-}to\text{-}string\ \varphi$   
**proof** –  
**obtain**  $nss$  **where**  $numlistlist\ nss = bindecode\ xs$   
**using**  $assms(3)$   $numlistlist\text{-}wf\text{-}def$  **by**  $auto$   
**moreover have**  $binencode\ (bindecode\ xs) = xs$   
**using**  $assms(1,2)$   $binencode\text{-}decode$  **by**  $simp$   
**ultimately have**  $binencode\ (numlistlist\ nss) = xs$   
**by**  $simp$   
**then have**  $binencode\ (numlistlist\ (formula\text{-}n\ (n\text{-}formula\ nss))) = xs$   
**using**  $formula\text{-}n\text{-}formula$  **by**  $simp$   
**then have**  $formula\text{-}to\text{-}string\ (n\text{-}formula\ nss) = symbols\text{-}to\text{-}string\ xs$   
**by**  $simp$   
**then show**  $?thesis$   
**using**  $assms(1)$   $symbols\text{-}to\text{-}string\text{-}to\text{-}symbols$  **by**  $auto$   
**qed**

**lemma**  $verify\text{-}sat\text{-}not\text{-}wf\text{-}phi$ :  
**assumes**  $zs = \langle x; u \rangle$  **and**  $\neg (\exists \varphi. x = formula\text{-}to\text{-}string\ \varphi)$   
**shows**  $verify\text{-}sat\ zs = [1]$   
**proof** –  
**define**  $ys$  **where**  $ys = bindecode\ zs$   
**then have**  $first\text{-}ys: first\ ys = string\text{-}to\text{-}symbols\ x$

```

    using first-pair assms(1) by simp
  then have 2: bit-symbols (first ys)
    using assms(1) bit-symbols-first ys-def by presburger

  define xs where xs = bindecode (first ys)
  then have  $\neg$  (even (length (first ys))  $\wedge$  bit-symbols (first ys)  $\wedge$  numlistlist-wf xs)
    using first-ys ex-phi-x assms(2) by auto
  then show verify-sat zs = [1]
    unfolding verify-sat-def Let-def using ys-def xs-def by simp
qed

```

The next case is that  $zs$  represents a pair  $\langle x, u \rangle$  where  $x$  represents an unsatisfiable CNF formula. This  $x$  is thus not in SAT and the verifier TM must output something different from **1**, such as the empty string, regardless of  $u$ .

**lemma** *verify-sat-not-sat*:

```

  fixes  $\varphi$  :: formula
  assumes zs =  $\langle$ formula-to-string  $\varphi$ ;  $u \rangle$  and  $\neg$  satisfiable  $\varphi$ 
  shows verify-sat zs = []
  proof -
    have bs-phi: bit-symbols (binencode (formula-zs  $\varphi$ ))
      using bit-symbols-binencode formula-zs-def proper-symbols-numlistlist symbols-ll-numlistlist
      by (metis Suc-le-eq dual-order.refl numeral-2-eq-2)

    define ys where ys = bindecode zs
    then have first ys = string-to-symbols (formula-to-string  $\varphi$ )
      using first-pair assms(1) by simp
    then have first-ys: first ys = binencode (formula-zs  $\varphi$ )
      using bit-symbols-to-symbols bs-phi formula-zs-def by simp
    then have 2: bit-symbols (first ys)
      using assms(1) bit-symbols-first ys-def by presburger
    have 22: even (length (first ys))
      using first-ys by simp

    define xs where xs = bindecode (first ys)
    define vs where vs = rstrip 5 (bindecode (second ys))

    have wf-xs: numlistlist-wf xs
      using ys-def first-ys bindecode-encode formula-zs-def numlistlist-wf-def numlistlist-wf-has2'
      by (metis le-simps(3) numerals(2))

    have  $\varphi$ : zs-formula xs =  $\varphi$ 
      using xs-def first-ys 2 binencode-decode formula-to-string-inj formula-zs-def formula-zs-formula wf-xs
      by auto

    have verify-sat zs =
      (if bit-symbols (second ys)  $\wedge$  numlist-wf vs
       then if  $(\lambda v. v \in \text{set } (zs\text{-numlist } vs)) \models \varphi$  then [3] else []
       else [])
      unfolding verify-sat-def Let-def using ys-def xs-def vs-def 2 22 wf-xs  $\varphi$  by simp
    then show verify-sat zs = []
      using assms(2) satisfiable-def by simp
  qed

```

Next we consider the case in which  $zs$  represents a pair  $\langle x, u \rangle$  where  $x$  represents a satisfiable CNF formula and  $u$  a list of numbers padded at the right with  $\#$  symbols. This  $u$  thus represents a variable assignment, namely the one assigning True to exactly the variables in the list. The verifier TM is to output **1** iff. this assignment satisfies the CNF formula represented by  $x$ .

First we show that stripping away  $\#$  symbols does not damage a symbol sequence representing a list of numbers.

**lemma** *rstrip-numlist-append*:  $\text{rstrip } \# ( \text{numlist } \text{vars} @ \text{replicate } \text{pad } \# ) = \text{numlist } \text{vars}$   
 (is  $\text{rstrip } \# ?zs = ?ys$ )  
**proof** –



```

have (LEAST i. i ≤ length ?zs ∧ set (drop i ?zs) ⊆ {#}) = length ?ys
proof (rule Least-equality)
  show length ?ys ≤ length ?zs ∧ set (drop (length ?ys) ?zs) ⊆ {#}
  by auto
  show length ?ys ≤ m if m ≤ length ?zs ∧ set (drop m ?zs) ⊆ {#} for m
  proof (rule ccontr)
    assume ¬ length ?ys ≤ m
    then have m < length ?ys
      by simp
    then have ?ys ! m ∈ set (drop m ?ys)
      by (metis Cons-nth-drop-Suc list.set-intros(1))
    moreover have set (drop m ?ys) ⊆ {#}
      using that by simp
    ultimately have ?ys ! m = #
      by auto
    moreover have ?ys ! m < #
      using symbols-lt-numlist ⟨m < length ?ys⟩ by simp
    ultimately show False
      by simp
  qed
qed
then show ?thesis
  using rstrip-def by simp
qed

lemma verify-sat-wf:
  fixes φ :: formula and pad :: nat
  assumes zs = ⟨formula-to-string φ; symbols-to-string (binencode (numlist vars @ replicate pad #))⟩
  shows verify-sat zs = (if (λv. v ∈ set vars) ⊨ φ then [1] else [])
proof -
  have bs-phi: bit-symbols (binencode (formula-zs φ))
    using bit-symbols-binencode formula-zs-def proper-symbols-numlistlist symbols-lt-numlistlist
    by (metis Suc-le-eq dual-order.refl numeral-2-eq-2)

  have binencodable (numlist vars @ replicate pad #)
    using proper-symbols-numlist symbols-lt-numlist binencodable-append[of numlist vars replicate pad #]
    by fastforce
  then have bs-vars: bit-symbols (binencode (numlist vars @ replicate pad #))
    using bit-symbols-binencode by simp

  define ys where ys = bindecode zs
  then have first ys = string-to-symbols (formula-to-string φ)
    using first-pair assms(1) by simp
  then have first-ys: first ys = binencode (formula-zs φ)
    using bit-symbols-to-symbols bs-phi formula-zs-def by simp
  then have bs-first: bit-symbols (first ys)
    using assms(1) bit-symbols-first ys-def by presburger

  have even: even (length (first ys))
    using first-ys by simp

  have second-ys: second ys = binencode (numlist vars @ replicate pad #)
    using bs-vars ys-def assms(1) bit-symbols-to-symbols second-pair by simp
  then have bs-second: bit-symbols (second ys)
    using bs-vars by simp

  define xs where xs = bindecode (first ys)
  define vs where vs = rstrip # (bindecode (second ys))

  then have vs = rstrip # (numlist vars @ replicate pad #)
    using second-ys ⟨binencodable (numlist vars @ replicate pad #)⟩ bindecode-encode by simp
  then have vs: vs = numlist vars
    using rstrip-numlist-append by simp

```

```

have wf-xs: numlistlist-wf xs
  using xs-def first-ys bindecode-encode formula-zs-def numlistlist-wf-def numlistlist-wf-has2'
  by (metis le-simps(3) numerals(2))

have verify-sat zs =
  (if even (length (first ys))  $\wedge$  bit-symbols (first ys)  $\wedge$  numlistlist-wf xs
   then if bit-symbols (second ys)  $\wedge$  numlist-wf vs
        then if ( $\lambda v. v \in \text{set } (zs\text{-numlist } vs)$ )  $\models$  zs-formula xs then [1] else []
        else []
   else [3])
  unfolding verify-sat-def Let-def using bs-second ys-def xs-def vs-def by simp
then have *: verify-sat zs =
  (if bit-symbols (second ys)  $\wedge$  numlist-wf vs
   then if ( $\lambda v. v \in \text{set } (zs\text{-numlist } vs)$ )  $\models$  zs-formula xs then [1] else []
   else [])
  unfolding verify-sat-def Let-def using even bs-first wf-xs by simp

have xs = formula-zs  $\varphi$ 
  using xs-def bindecode-encode formula-zs-def first-ys proper-symbols-numlistlist symbols-lt-numlistlist
  by (simp add: Suc-leI numerals(2))
then have  $\varphi$ :  $\varphi = \text{zs-formula } xs$ 
  by (simp add: zs-formula-zs)

have vars: vars = zs-numlist vs
  using vs numlist-wf-def numlist-zs-numlist zs-numlist-ex1 by blast
then have wf-vs: numlist-wf vs
  using numlist-wf-def vs by auto

have verify-sat zs = (if ( $\lambda v. v \in \text{set } (zs\text{-numlist } vs)$ )  $\models$  zs-formula xs then [1] else [])
  using * bs-second wf-xs wf-vs by simp
then show ?thesis
  using  $\varphi$  vars by simp
qed

```

Finally we show that for every string  $x$  representing a satisfiable CNF formula there is a list of numbers representing a satisfying assignment and represented by a string of length at most  $|x|$ . That means there is always a string of exactly the length of  $x$  consisting of a satisfying assignment plus some padding symbols.

```

lemma nlength-remove1:
  assumes  $n \in \text{set } ns$ 
  shows  $nlength (n \# \text{remove1 } n \ ns) = nlength \ ns$ 
  using assms nlength sum-list-map-remove1[of  $n \ ns \ \lambda n. \text{Suc } (nlength \ n)$ ] by simp

```

```

lemma nlength-distinct-le:
  assumes distinct ns
  and  $\text{set } ns \subseteq \text{set } ms$ 
  shows  $nlength \ ns \leq nlength \ ms$ 
  using assms
proof (induction ms arbitrary: ns)
  case Nil
  then show ?case
  by simp
next
  case (Cons m ms)
  show ?case
  proof (cases  $m \in \text{set } ns$ )
  case True
  let ?ns = remove1 m ns
  have  $\text{set } ?ns \subseteq \text{set } ms$ 
  using Cons by auto
  moreover have distinct ?ns
  using Cons by simp

```

```

ultimately have *: nlength ?ns ≤ nlength ms
  using Cons by simp

have nlength ns = nlength (m # ?ns)
  using True nlength-remove1 by simp
also have ... = Suc (nlength m) + nlength ?ns
  using nlength by simp
also have ... ≤ Suc (nlength m) + nlength ms
  using * by simp
also have ... = nlength (m # ms)
  using nlength by simp
finally show ?thesis .
next
case False
then have set ns ⊆ set ms
  using Cons by auto
moreover have distinct ns
  using Cons by simp
ultimately have nlength ns ≤ nlength ms
  using Cons by simp
then show ?thesis
  using nlength by simp
qed
qed

lemma nlength-nlength-concat: nlength nss = nlength (concat nss) + length nss
  using nlength nlength by (induction nss) simp-all

fun variable :: literal ⇒ nat where
  variable (Neg i) = i |
  variable (Pos i) = i

lemma sum-list-eq: ns = ms ⇒ sum-list ns = sum-list ms
  by simp

lemma nlength-clause-le: nlength (clause-n cl) ≥ nlength (map variable cl)
proof -
  have variable x ≤ literal-n x for x
    by (cases x) simp-all
  then have *: Suc (nlength (variable x)) ≤ Suc (nlength (literal-n x)) for x
    using nlength-mono by simp

  let ?ns = map literal-n cl
  have nlength (clause-n cl) = nlength ?ns
    using clause-n-def by presburger
  also have ... = (∑ n←?ns. Suc (nlength n))
    using nlength by simp
  also have ... = (∑ x←cl. Suc (nlength (literal-n x)))
    by (smt (verit, del-insts) length-map nth-equality1 nth-map)
  also have ... ≥ (∑ x←cl. Suc (nlength (variable x)))
    using * by (simp add: sum-list-mono)
  finally have (∑ x←cl. Suc (nlength (variable x))) ≤ nlength (clause-n cl)
    by simp
  moreover have (∑ x←cl. Suc (nlength (variable x))) = nlength (map variable cl)
proof -
  have 1: map (λx. Suc (nlength (variable x))) cl = map (λn. Suc (nlength n)) (map variable cl)
    by simp
  then have (∑ x←cl. Suc (nlength (variable x))) = (∑ n←(map variable cl). Suc (nlength n))
    using sum-list-eq[OF 1] by simp
  then show ?thesis
    using nlength by simp
qed
ultimately show ?thesis

```

by simp  
qed

**lemma** *nllength-formula-ge*:  $nllength (formula-n \varphi) \geq nllength (map (map variable) \varphi)$

**proof** (*induction*  $\varphi$ )

case *Nil*

then show ?case

by simp

next

case (*Cons*  $cl \varphi$ )

have  $nllength (map (map variable) (cl \# \varphi)) =$

$nllength (map (map variable) [cl]) + nllength (map (map variable) \varphi)$

using *nllength* by simp

also have ... = *Suc* ( $nllength (map variable cl)$ ) +  $nllength (map (map variable) \varphi)$

using *nllength* by simp

also have ...  $\leq$  *Suc* ( $nllength (map variable cl)$ ) +  $nllength (formula-n \varphi)$

using *Cons* by simp

also have ...  $\leq$  *Suc* ( $nllength (clause-n cl)$ ) +  $nllength (formula-n \varphi)$

using *nllength-clause-le* by simp

also have ... =  $nllength (formula-n (cl \# \varphi))$

using *nllength* by (*simp* add: *formula-n-def*)

finally show ?case .

qed

**lemma** *variables-shorter-formula*:

fixes  $\varphi :: formula$  and  $vars :: nat list$

assumes  $set vars \subseteq variables \varphi$  and *distinct*  $vars$

shows  $nllength vars \leq nllength (formula-n \varphi)$

**proof** –

let ?nss =  $map (map variable) \varphi$

have  $nllength (concat ?nss) \leq nllength ?nss$

using *nllength-nllength-concat* by simp

then have \*:  $nllength (concat ?nss) \leq nllength (formula-n \varphi)$

using *nllength-formula-ge* by (*meson* *le-trans*)

have  $set vars \subseteq set (concat ?nss)$

**proof**

fix  $n :: nat$

assume  $n \in set vars$

then have  $n \in variables \varphi$

using *assms(1)* by auto

then obtain  $c$  where  $c: c \in set \varphi \wedge n \in set c \vee Pos n \in set c$

using *variables-def* by auto

then obtain  $x$  where  $x: x \in set c$  variable  $x = n$

by auto

then show  $n \in set (concat (map (map variable) \varphi))$

using  $c$  by auto

qed

then have  $nllength vars \leq nllength (concat ?nss)$

using *nllength-distinct-le* *assms(2)* by simp

then show ?thesis

using \* by simp

qed

**lemma** *ex-assignment-linear-length*:

assumes *satisfiable*  $\varphi$

shows  $\exists vars. (\lambda v. v \in set vars) \models \varphi \wedge nllength vars \leq nllength (formula-n \varphi)$

**proof** –

obtain  $\alpha$  where  $\alpha: \alpha \models \varphi$

using *assms* *satisfiable-def* by auto

define *poss* where  $poss = \{v. v \in variables \varphi \wedge \alpha v\}$

then have *finite* *poss*

using *finite-variables* by simp

```

let ?beta =  $\lambda v. v \in \text{poss}$ 
have sat: ?beta  $\models \varphi$ 
  unfolding satisfies-def
proof
  fix c :: clause
  assume c  $\in \text{set } \varphi$ 
  then have satisfies-clause  $\alpha c$ 
    using satisfies-def  $\alpha$  by simp
  then obtain x where x: x  $\in \text{set } c$  satisfies-literal  $\alpha x$ 
    using satisfies-clause-def by auto
  show satisfies-clause ?beta c
proof (cases x)
  case (Neg n)
  then have  $\neg \alpha n$ 
    using x(2) by simp
  then have n  $\notin \text{poss}$ 
    using poss-def by simp
  then have  $\neg ?beta n$ 
    by simp
  then have satisfies-literal ?beta x
    using Neg by simp
  then show ?thesis
    using satisfies-clause-def x(1) by auto
next
  case (Pos n)
  then have  $\alpha n$ 
    using x(2) by simp
  then have n  $\in \text{poss}$ 
    using poss-def Pos  $\langle c \in \text{set } \varphi \rangle$  variables-def x(1) by auto
  then have ?beta n
    by simp
  then have satisfies-literal ?beta x
    using Pos by simp
  then show ?thesis
    using satisfies-clause-def x(1) by auto
qed
qed

```

```

obtain vars where vars: set vars = poss distinct vars
  using  $\langle \text{finite } \text{poss} \rangle$  by (meson finite-distinct-list)
moreover from this have set vars  $\subseteq \text{variables } \varphi$ 
  using poss-def by simp
ultimately have nlength vars  $\leq \text{nlength (formula-n } \varphi)$ 
  using variables-shorter-formula by simp
moreover have  $(\lambda v. v \in \text{set } \text{vars}) \models \varphi$ 
  using vars(1) sat by simp
ultimately show ?thesis
  by auto
qed

```

**lemma** *ex-witness-linear-length*:

```

fixes  $\varphi$  :: formula
assumes satisfiable  $\varphi$ 
shows  $\exists us.$ 
  bit-symbols us  $\wedge$ 
  length us = length (formula-to-string  $\varphi$ )  $\wedge$ 
  verify-sat (formula-to-string  $\varphi$ ; symbols-to-string us) = [1]
proof -
  obtain vars where vars:
     $(\lambda v. v \in \text{set } \text{vars}) \models \varphi$ 
    nlength vars  $\leq \text{nlength (formula-n } \varphi)$ 
  using assms ex-assignment-linear-length by auto

```

```

define pad where pad = nllength (formula-n  $\varphi$ ) - nllength vars
then have nllength vars + pad = nllength (formula-n  $\varphi$ )
  using vars(2) by simp
moreover define us where us = numlist vars @ replicate pad #
ultimately have length us = nllength (formula-n  $\varphi$ )
  by (simp add: nllength-def)
then have length (binencode us) = length (formula-to-string  $\varphi$ ) (is length ?us = -)
  by (simp add: nllength-def)
moreover have verify-sat (formula-to-string  $\varphi$ ; symbols-to-string ?us) = [1]
  using us-def vars(1) assms verify-sat-wf by simp
moreover have bit-symbols ?us
proof -
  have binencodable (numlist vars)
    using proper-symbols-numlist symbols-lt-numlist
    by (metis Suc-leI lessI less-Suc-numeral numeral-2-eq-2 numeral-le-iff numeral-less-iff
      order-less-le-trans pred-numeral-simps(3) semiring-norm(74))
  moreover have binencodable (replicate pad #)
    by simp
  ultimately have binencodable us
    using us-def binencodable-append by simp
  then show ?thesis
    using bit-symbols-binencode by simp
qed
ultimately show ?thesis
  by blast
qed

```

**lemma** bit-symbols-verify-sat: bit-symbols (verify-sat zs)  
**unfolding** verify-sat-def Let-def **by** simp

## 4.2.2 A Turing machine for verifying formulas

The core of the function *verify-sat* is the expression  $(\lambda v. v \in \text{set } (zs\text{-numlist } vs)) \models zs\text{-formula } xs$ , which checks if an assignment represented by a list of variable indices satisfies a CNF formula represented by a list of lists of literals. In this section we devise a Turing machine performing this check.

Recall that the numbers 0 and 1 are represented by the empty symbol sequence and the symbol sequence **1**, respectively. The Turing machines in this section are described in terms of numbers.

We start with a Turing machine that checks a clause. The TM accepts on tape  $j_1$  a list of numbers representing an assignment  $\alpha$  and on tape  $j_2$  a list of numbers representing a clause. It outputs on tape  $j_3$  the number 1 if  $\alpha$  satisfies the clause, and otherwise 0. To do this the TM iterates over all literals in the clause and determines the underlying variable and the sign of the literal. If the literal is positive and the variable is in the list representing  $\alpha$  or if the literal is negative and the variable is not in the list, the number 1 is written to the tape  $j_3$ . Otherwise the tape remains unchanged. We assume  $j_3$  is initialized with 0, and so it will be 1 if and only if at least one literal is satisfied by  $\alpha$ .

The TM requires five auxiliary tapes  $j_3 + 1, \dots, j_3 + 5$ . Tape  $j_3 + 1$  stores the literals one at a time, and later the variable; tape  $j_3 + 2$  stores the sign of the literal; tape  $j_3 + 3$  stores whether the variable is contained in  $\alpha$ ; tapes  $j_3 + 4$  and  $j_3 + 5$  are the auxiliary tapes of *tm-contains*.

**definition** *tm-sat-clause* :: *tapeidx*  $\Rightarrow$  *tapeidx*  $\Rightarrow$  *tapeidx*  $\Rightarrow$  *machine* **where**

```

tm-sat-clause j1 j2 j3  $\equiv$ 
  WHILE  $\square$  ;  $\lambda rs. rs ! j2 \neq \square$  DO
    tm-nextract 4 j2 (j3 + 1) ;;
    tm-mod2 (j3 + 1) (j3 + 2) ;;
    tm-div2 (j3 + 1) ;;
    tm-contains j1 (j3 + 1) (j3 + 3) ;;
    IF  $\lambda rs. rs ! (j3 + 3) = \square \wedge rs ! (j3 + 2) = \square \vee rs ! (j3 + 3) \neq \square \wedge rs ! (j3 + 2) \neq \square$  THEN
      tm-setn j3 1
    ELSE
       $\square$ 
    ENDIF ;;
  tm-setn (j3 + 1) 0 ;;

```

```

tm-setn (j3 + 2) 0 ;;
tm-setn (j3 + 3) 0
DONE ;;
tm-cr j2

```

**lemma** *tm-sat-clause-tm*:

**assumes**  $k \geq 2$  **and**  $G \geq 5$  **and**  $j3 + 5 < k$   $0 < j1$   $j1 < k$   $j2 < k$   $j1 < j3$

**shows** *turing-machine*  $k$   $G$  (*tm-sat-clause*  $j1$   $j2$   $j3$ )

**using** *tm-sat-clause-def* *tm-mod2-tm* *tm-div2-tm* *tm-nextract-tm* *tm-setn-tm* *tm-contains-tm* *Nil-tm* *tm-cr-tm*

*assms* *turing-machine-loop-turing-machine* *turing-machine-branch-turing-machine*

**by** *simp*

**locale** *turing-machine-sat-clause* =

**fixes**  $j1$   $j2$   $j3$  :: *tapeidx*

**begin**

**definition**  $tmL1 \equiv tm-nextract\ 4\ j2\ (j3 + 1)$

**definition**  $tmL2 \equiv tmL1$  ;; *tm-mod2*  $(j3 + 1)$   $(j3 + 2)$

**definition**  $tmL3 \equiv tmL2$  ;; *tm-div2*  $(j3 + 1)$

**definition**  $tmL4 \equiv tmL3$  ;; *tm-contains*  $j1$   $(j3 + 1)$   $(j3 + 3)$

**definition**  $tmI \equiv IF\ \lambda rs.\ rs\ !\ (j3 + 3) = \square \wedge rs\ !\ (j3 + 2) = \square \vee rs\ !\ (j3 + 3) \neq \square \wedge rs\ !\ (j3 + 2) \neq \square$   
*THEN* *tm-setn*  $j3\ 1$  *ELSE* [] *ENDIF*

**definition**  $tmL5 \equiv tmL4$  ;;  $tmI$

**definition**  $tmL6 \equiv tmL5$  ;; *tm-setn*  $(j3 + 1)$   $0$

**definition**  $tmL7 \equiv tmL6$  ;; *tm-setn*  $(j3 + 2)$   $0$

**definition**  $tmL8 \equiv tmL7$  ;; *tm-setn*  $(j3 + 3)$   $0$

**definition**  $tmL \equiv WHILE\ [] ; \lambda rs.\ rs\ !\ j2 \neq \square\ DO\ tmL8\ DONE$

**definition**  $tm2 \equiv tmL$  ;; *tm-cr*  $j2$

**lemma** *tm2-eq-tm-sat-clause*:  $tm2 = tm-sat-clause\ j1\ j2\ j3$

**unfolding** *tm2-def* *tmL-def* *tmL8-def* *tmL7-def* *tmL6-def* *tmL5-def* *tmL4-def* *tmL3-def* *tmI-def*  
*tmL2-def* *tmL1-def* *tm-sat-clause-def*

**by** *simp*

**context**

**fixes**  $tps0$  :: *tape list* **and**  $k$  :: *nat* **and**  $vars$  :: *nat list* **and**  $clause$  :: *clause*

**assumes**  $jk$ :  $0 < j1$   $j1 \neq j2$   $j3 + 5 < k$   $j1 < j3$   $j2 < j3$   $0 < j2$  *length*  $tps0 = k$

**assumes**  $tps0$ :

$tps0\ !\ j1 = nltape'\ vars\ 0$

$tps0\ !\ j2 = nltape'\ (clause-n\ clause)\ 0$

$tps0\ !\ j3 = ([0]_N, 1)$

$tps0\ !\ (j3 + 1) = ([0]_N, 1)$

$tps0\ !\ (j3 + 2) = ([0]_N, 1)$

$tps0\ !\ (j3 + 3) = ([0]_N, 1)$

$tps0\ !\ (j3 + 4) = ([0]_N, 1)$

$tps0\ !\ (j3 + 5) = ([0]_N, 1)$

**begin**

**abbreviation** *sat-take*  $t \equiv satisfies-clause\ (\lambda v.\ v \in set\ vars)\ (take\ t\ clause)$

**definition**  $tpsL$  :: *nat*  $\Rightarrow$  *tape list* **where**

$tpsL\ t \equiv tps0$

$[j2 := nltape'\ (clause-n\ clause)\ t,$

$j3 := ([sat-take\ t]_B, 1)]$

**lemma** *tpsL0*:  $tpsL\ 0 = tps0$

**proof** –

**have**  $nltape'\ (clause-n\ clause)\ 0 = tps0\ !\ j2$

**using**  $tps0(2)$  **by** *presburger*

**moreover** **have**  $[sat-take\ 0]_B = [0]_N$

**using** *satisfies-clause-def* **by** *simp*

**ultimately** **show** *?thesis*

**using** *tpsL-def* *tps0*  $jk$  **by** (*metis* *list-update-id*)

qed

**definition**  $tpsL1 :: nat \Rightarrow tape\ list$  **where**

$tpsL1\ t \equiv tps0$   
 $[j2 := nltape'\ (clause\ -n\ clause)\ (Suc\ t),$   
 $j3 := (\lfloor sat\ -take\ t \rfloor_B, 1),$   
 $j3 + 1 := (\lfloor literal\ -n\ (clause\ !\ t) \rfloor_N, 1)]$

**lemma**  $tmL1$  [transforms-intros]:

**assumes**  $ttt = 12 + 2 * nlength\ (clause\ -n\ clause\ !\ t)$  **and**  $t < length\ (clause\ -n\ clause)$   
**shows**  $transforms\ tmL1\ (tpsL\ t)\ ttt\ (tpsL1\ t)$   
**unfolding**  $tmL1\ -def$

**proof** (tform tps: assms tps0 tpsL-def tpsL1-def jk)

**have**  $len: t < length\ clause$

**using**  $assms(2)\ clause\ -n\ -def$  **by**  $simp$

**show**  $ttt = 12 + 2 * nlength\ 0 + 2 * nlength\ (clause\ -n\ clause\ !\ t)$

**using**  $assms(1)$  **by**  $simp$

**have**  $*$ :  $j2 \neq j3$

**using**  $jk$  **by**  $simp$

**have**  $**$ :  $clause\ -n\ clause\ !\ t = literal\ -n\ (clause\ !\ t)$

**using**  $len$  **by** ( $simp\ add: clause\ -n\ -def$ )

**show**  $tpsL1\ t = (tpsL\ t)$

$[j2 := nltape'\ (clause\ -n\ clause)\ (Suc\ t),$

$j3 + 1 := (\lfloor clause\ -n\ clause\ !\ t \rfloor_N, 1)]$

**unfolding**  $tpsL\ -def\ tpsL1\ -def$  **using**  $list\ -update\ -swap[OF\ *,\ of\ tps0]$  **by** ( $simp\ add: **$ )

qed

**definition**  $tpsL2 :: nat \Rightarrow tape\ list$  **where**

$tpsL2\ t \equiv tps0$   
 $[j2 := nltape'\ (clause\ -n\ clause)\ (Suc\ t),$   
 $j3 := (\lfloor sat\ -take\ t \rfloor_B, 1),$   
 $j3 + 1 := (\lfloor literal\ -n\ (clause\ !\ t) \rfloor_N, 1),$   
 $j3 + 2 := (\lfloor literal\ -n\ (clause\ !\ t)\ mod\ 2 \rfloor_N, 1)]$

**lemma**  $tmL2$  [transforms-intros]:

**assumes**  $ttt = 12 + 2 * nlength\ (clause\ -n\ clause\ !\ t) + 1$   
**and**  $t < length\ (clause\ -n\ clause)$

**shows**  $transforms\ tmL2\ (tpsL\ t)\ ttt\ (tpsL2\ t)$

**unfolding**  $tmL2\ -def$  **by** (tform tps: assms tps0 tpsL2-def tpsL1-def jk)

**definition**  $tpsL3 :: nat \Rightarrow tape\ list$  **where**

$tpsL3\ t \equiv tps0$   
 $[j2 := nltape'\ (clause\ -n\ clause)\ (Suc\ t),$   
 $j3 := (\lfloor sat\ -take\ t \rfloor_B, 1),$   
 $j3 + 1 := (\lfloor literal\ -n\ (clause\ !\ t)\ div\ 2 \rfloor_N, 1),$   
 $j3 + 2 := (\lfloor literal\ -n\ (clause\ !\ t)\ mod\ 2 \rfloor_N, 1)]$

**lemma**  $tmL3$  [transforms-intros]:

**assumes**  $ttt = 16 + 4 * nlength\ (clause\ -n\ clause\ !\ t)$   
**and**  $t < length\ (clause\ -n\ clause)$

**shows**  $transforms\ tmL3\ (tpsL\ t)\ ttt\ (tpsL3\ t)$

**unfolding**  $tmL3\ -def$

**proof** (tform tps: assms(2) tps0 tpsL3-def tpsL2-def jk)

**have**  $len: t < length\ clause$

**using**  $assms(2)\ clause\ -n\ -def$  **by**  $simp$

**have**  $**$ :  $clause\ -n\ clause\ !\ t = literal\ -n\ (clause\ !\ t)$

**using**  $len$  **by** ( $simp\ add: clause\ -n\ -def$ )

**show**  $ttt = 12 + 2 * nlength\ (clause\ -n\ clause\ !\ t) + 1 + (2 * nlength\ (literal\ -n\ (clause\ !\ t)) + 3)$

**using**  $assms(1)\ **$  **by**  $simp$

qed

**definition**  $tpsL4 :: nat \Rightarrow tape\ list$  **where**

$tpsL4\ t \equiv tps0$



$[j2 := \text{nltape}' (\text{clause-n clause}) (\text{Suc } t),$   
 $j3 := (\lfloor \text{sat-take } t \rfloor_B, 1),$   
 $j3 + 1 := (\lfloor \text{literal-n (clause ! } t) \text{ div } 2 \rfloor_N, 1),$   
 $j3 + 2 := (\lfloor \text{literal-n (clause ! } t) \text{ mod } 2 \rfloor_N, 1),$   
 $j3 + 3 := (\lfloor \text{literal-n (clause ! } t) \text{ div } 2 \in \text{set vars} \rfloor_B, 1)]$

**lemma** *tmL4* [*transforms-intros*]:

**assumes**  $t_{tt} = 20 + 4 * \text{nlength} (\text{clause-n clause ! } t) + 67 * (\text{nlength vars})^2$   
**and**  $t < \text{length} (\text{clause-n clause})$   
**shows** *transforms tmL4* (*tpsL* *t*) *t* (*tpsL4* *t*)  
**unfolding** *tmL4-def*

**proof** (*tform tps: assms(2) tps0 tpsL4-def tpsL3-def jk time: assms(1)*)

**have**  $\text{tpsL3 } t ! (j3 + 4) = (\lfloor 0 \rfloor_N, 1)$   
**using** *tpsL3-def tps0 jk by simp*  
**then show**  $\text{tpsL3 } t ! (j3 + 3 + 1) = (\lfloor 0 \rfloor_N, 1)$   
**by** (*metis ab-semigroup-add-class.add-ac(1) numeral-plus-one semiring-norm(2) semiring-norm(8)*)  
**have**  $\text{tpsL3 } t ! (j3 + 5) = (\lfloor 0 \rfloor_N, 1)$   
**using** *tpsL3-def tps0 jk by simp*  
**then show**  $\text{tpsL3 } t ! (j3 + 3 + 2) = (\lfloor 0 \rfloor_N, 1)$   
**by** (*simp add: numeral-Bit1*)

**qed**

**definition** *tpsL5* :: *nat*  $\Rightarrow$  *tape list* **where**

$\text{tpsL5 } t \equiv \text{tps0}$   
 $[j2 := \text{nltape}' (\text{clause-n clause}) (\text{Suc } t),$   
 $j3 := (\lfloor \text{sat-take } (\text{Suc } t) \rfloor_B, 1),$   
 $j3 + 1 := (\lfloor \text{literal-n (clause ! } t) \text{ div } 2 \rfloor_N, 1),$   
 $j3 + 2 := (\lfloor \text{literal-n (clause ! } t) \text{ mod } 2 \rfloor_N, 1),$   
 $j3 + 3 := (\lfloor \text{literal-n (clause ! } t) \text{ div } 2 \in \text{set vars} \rfloor_B, 1)]$

**lemma** *tmI* [*transforms-intros*]:

**assumes**  $t_{tt} = 16$  **and**  $t < \text{length} (\text{clause-n clause})$   
**shows** *transforms tmI* (*tpsL4* *t*) *t* (*tpsL5* *t*)  
**unfolding** *tmI-def*

**proof** (*tform tps: jk tpsL4-def time: assms(1)*)

**show**  $10 + 2 * \text{nlength} (\text{if sat-take } t \text{ then } 1 \text{ else } 0) + 2 * \text{nlength } 1 + 2 \leq t_{tt}$   
**using** *assms(1) nlength-0 nlength-1-simp by simp*

**have** *len: t < length clause*  
**using** *assms(2) by (simp add: clause-n-def)*

**let**  $?l = \text{clause ! } t$

**have**  $1: \text{read } (\text{tpsL4 } t) ! (j3 + 3) = \square \longleftrightarrow \text{literal-n } ?l \text{ div } 2 \notin \text{set vars}$   
**using** *tpsL4-def jk read-ncontents-eq-0[of tpsL4 t j3 + 3] by simp*  
**have**  $2: \text{read } (\text{tpsL4 } t) ! (j3 + 2) = \square \longleftrightarrow \text{literal-n } ?l \text{ mod } 2 = 0$   
**using** *tpsL4-def jk read-ncontents-eq-0[of tpsL4 t j3 + 2] by simp*

**let**  $?a = \lambda v. v \in \text{set vars}$

**let**  $?cond = \text{read } (\text{tpsL4 } t) ! (j3 + 3) = \square \wedge \text{read } (\text{tpsL4 } t) ! (j3 + 2) = \square \vee$   
 $\text{read } (\text{tpsL4 } t) ! (j3 + 3) \neq \square \wedge \text{read } (\text{tpsL4 } t) ! (j3 + 2) \neq \square$

**have**  $*$ :  $?cond \longleftrightarrow \text{satisfies-literal } ?a ?l$

**proof** (*cases ?l*)

**case** (*Neg v*)

**then have**  $\text{literal-n } ?l \text{ div } 2 = v \text{ literal-n } ?l \text{ mod } 2 = 0$

**by** *simp-all*

**moreover from this have**  $\text{satisfies-literal } ?a ?l \longleftrightarrow v \notin \text{set vars}$

**using** *Neg by simp*

**ultimately show** *?thesis*

**using**  $1\ 2$  **by** *simp*

**next**

**case** (*Pos v*)

**then have**  $\text{literal-n } ?l \text{ div } 2 = v \text{ literal-n } ?l \text{ mod } 2 = 1$

**by** *simp-all*

**moreover from** *this* **have** *satisfies-literal ?a ?l*  $\longleftrightarrow v \in \text{set vars}$   
**using** *Pos* **by** *simp*  
**ultimately show** *?thesis*  
**using** *1 2* **by** *simp*  
**qed**

**have** *\*\**: *sat-take (Suc t)*  $\longleftrightarrow \text{sat-take } t \vee \text{satisfies-literal ?a ?l}$   
**using** *satisfies-clause-take[OF len]* **by** *simp*

**show** *tpsL5 t = (tpsL4 t)[j3 := ([1]<sub>N</sub>, 1)] **if** *?cond*  
**proof** –  
**have** *(if sat-take (Suc t) then 1::nat else 0) = 1*  
**using** *that \* \*\* by simp*  
**then show** *?thesis*  
**unfolding** *tpsL5-def tpsL4-def* **using** *that* **by** *(simp add: list-update-swap)*  
**qed**  
**show** *tpsL5 t = (tpsL4 t)* **if**  $\neg ?cond$   
**proof** –  
**have** *sat-take t = sat-take (Suc t)*  
**using** *\* \*\* that by simp*  
**then show** *?thesis*  
**unfolding** *tpsL5-def tpsL4-def* **using** *that* **by** *(simp add: list-update-swap)*  
**qed**  
**qed***

**lemma** *tmL5 [transforms-intros]*:  
**assumes** *ttt = 36 + 4 \* nlength (clause-n clause ! t) + 67 \* (nlength vars)<sup>2</sup>*  
**and** *t < length (clause-n clause)*  
**shows** *transforms tmL5 (tpsL t) ttt (tpsL5 t)*  
**unfolding** *tmL5-def* **by** *(tform tps: assms)*

**definition** *tpsL6 :: nat  $\Rightarrow$  tape list* **where**  
*tpsL6 t  $\equiv$  tps0*  
*[j2 := nltape' (clause-n clause) (Suc t),*  
*j3 := ([sat-take (Suc t)]<sub>B</sub>, 1),*  
*j3 + 1 := ([0]<sub>N</sub>, 1),*  
*j3 + 2 := ([literal-n (clause ! t) mod 2]<sub>N</sub>, 1),*  
*j3 + 3 := ([literal-n (clause ! t) div 2  $\in$  set vars]<sub>B</sub>, 1)]*

**lemma** *tmL6 [transforms-intros]*:  
**assumes** *ttt = 46 + 4 \* nlength (clause-n clause ! t) + 67 \* (nlength vars)<sup>2</sup> + 2 \* nlength (literal-n (clause ! t) div 2)*  
**and** *t < length (clause-n clause)*  
**shows** *transforms tmL6 (tpsL t) ttt (tpsL6 t)*  
**unfolding** *tmL6-def* **by** *(tform tps: assms tps0 tpsL6-def tpsL5-def jk)*

**definition** *tpsL7 :: nat  $\Rightarrow$  tape list* **where**  
*tpsL7 t  $\equiv$  tps0*  
*[j2 := nltape' (clause-n clause) (Suc t),*  
*j3 := ([sat-take (Suc t)]<sub>B</sub>, 1),*  
*j3 + 1 := ([0]<sub>N</sub>, 1),*  
*j3 + 2 := ([0]<sub>N</sub>, 1),*  
*j3 + 3 := ([literal-n (clause ! t) div 2  $\in$  set vars]<sub>B</sub>, 1)]*

**lemma** *tmL7 [transforms-intros]*:  
**assumes** *ttt = 56 + 4 \* nlength (clause-n clause ! t) + 67 \* (nlength vars)<sup>2</sup> + 2 \* nlength (literal-n (clause ! t) div 2) +*  
*2 \* nlength (literal-n (clause ! t) mod 2)*  
**and** *t < length (clause-n clause)*  
**shows** *transforms tmL7 (tpsL t) ttt (tpsL7 t)*  
**unfolding** *tmL7-def* **by** *(tform tps: assms tps0 tpsL7-def tpsL6-def jk)*

**definition** *tpsL8 :: nat  $\Rightarrow$  tape list* **where**

```

tpsL8 t ≡ tps0
[j2 := nltape' (clause-n clause) (Suc t),
 j3 := ([sat-take (Suc t)]B, 1),
 j3 + 1 := ([0]N, 1),
 j3 + 2 := ([0]N, 1),
 j3 + 3 := ([0]N, 1)]

```

**lemma** *tmL8*:

```

assumes ttt = 66 + 4 * nlength (clause-n clause ! t) + 67 * (nlength vars)2 +
  2 * nlength (literal-n (clause ! t) div 2) +
  2 * nlength (literal-n (clause ! t) mod 2) +
  2 * nlength (if literal-n (clause ! t) div 2 ∈ set vars then 1 else 0)
and t < length (clause-n clause)
shows transforms tmL8 (tpsL t) ttt (tpsL8 t)
unfolding tmL8-def by (iform tps: assms tps0 tpsL8-def tpsL7-def jk)

```

**lemma** *tmL8'*:

```

assumes ttt = 70 + 6 * nlength (clause-n clause) + 67 * (nlength vars)2
and t < length (clause-n clause)
shows transforms tmL8 (tpsL t) ttt (tpsL8 t)

```

**proof** –

```

let ?l = literal-n (clause ! t)
let ?ll = clause-n clause ! t
let ?t = 66 + 4 * nlength ?l + 67 * (nlength vars)2 +
  2 * nlength (?l div 2) + 2 * nlength (?l mod 2) + 2 * nlength (if ?l div 2 ∈ set vars then 1 else 0)
have ?t = 66 + 4 * nlength ?ll + 67 * (nlength vars)2 +
  2 * nlength (?ll div 2) + 2 * nlength (?ll mod 2) + 2 * nlength (if ?ll div 2 ∈ set vars then 1 else 0)
using assms(2) clause-n-def by simp
also have ... ≤ 66 + 4 * nlength ?ll + 67 * (nlength vars)2 +
  2 * nlength ?ll + 2 * nlength (?ll mod 2) + 2 * nlength (if ?ll div 2 ∈ set vars then 1 else 0)
using nlength-mono[of ?ll div 2 ?ll] by simp
also have ... = 66 + 6 * nlength ?ll + 67 * (nlength vars)2 +
  2 * nlength (?ll mod 2) + 2 * nlength (if ?ll div 2 ∈ set vars then 1 else 0)
by simp
also have ... ≤ 66 + 6 * nlength ?ll + 67 * (nlength vars)2 +
  2 * nlength 1 + 2 * nlength (if ?ll div 2 ∈ set vars then 1 else 0)
using nlength-mono by simp
also have ... ≤ 66 + 6 * nlength ?ll + 67 * (nlength vars)2 + 2 * nlength 1 + 2 * nlength 1
using nlength-mono by simp
also have ... = 70 + 6 * nlength ?ll + 67 * (nlength vars)2
using nlength-1-simp by simp
also have ... ≤ 70 + 6 * nlength (clause-n clause) + 67 * (nlength vars)2
using assms(2) member-le-nlength by simp
finally have ?t ≤ ttt
using assms(1) by simp
then show ?thesis
using assms tmL8 transforms-monotone by blast

```

**qed**

**definition** *tpsL8'* :: nat ⇒ tape list **where**

```

tpsL8' t ≡ tps0
[j2 := nltape' (clause-n clause) (Suc t),
 j3 := ([sat-take (Suc t)]B, 1)]

```

**lemma** *tpsL8'*: *tpsL8'* = *tpsL8*

**proof** –

```

{ fix t :: nat
  have tpsL8 t = tps0
  [j2 := nltape' (clause-n clause) (Suc t),
   j3 := ([sat-take (Suc t)]B, 1),
   j3 + 1 := ([0]N, 1),
   j3 + 2 := ([0]N, 1)]
unfolding tpsL8-def

```

```

    using tps0 list-update-id[of tps0 j3 + 3] jk
    by (simp add: list-update-swap[of - j3 + 3])
  also have ... = tps0
    [j2 := nltape' (clause-n clause) (Suc t),
     j3 := (|sat-take (Suc t)|B, 1),
     j3 + 1 := (|0|N, 1)]
    unfolding tpsL8-def
    using tps0 list-update-id[of tps0 j3 + 2] jk
    by (simp add: list-update-swap[of - Suc (Suc j3)])
  also have ... = tps0
    [j2 := nltape' (clause-n clause) (Suc t),
     j3 := (|sat-take (Suc t)|B, 1)]
    unfolding tpsL8-def
    using tps0 list-update-id[of tps0 j3 + 1] jk
    by (simp add: list-update-swap[of - Suc j3])
  also have ... = tpsL8' t
    using tpsL8'-def by simp
  finally have tpsL8 t = tpsL8' t .
}
then show ?thesis
  by auto
qed

```

```

lemma tmL8'' [transforms-intros]:
  assumes ttt = 70 + 6 * nlength (clause-n clause) + 67 * (nlength vars)2
    and t < length (clause-n clause)
  shows transforms tmL8 (tpsL t) ttt (tpsL8' t)
  using tmL8' tpsL8' assms by simp

```

```

lemma tmL [transforms-intros]:
  assumes ttt = length (clause-n clause) * (72 + 6 * nlength (clause-n clause) + 67 * (nlength vars)2) + 1
  shows transforms tmL (tpsL 0) ttt (tpsL (length (clause-n clause)))
  unfolding tmL-def

```

```

proof (tform)
  let ?t = 70 + 6 * nlength (clause-n clause) + 67 * (nlength vars)2
  have tpsL8' t = tpsL (Suc t) for t
    using tpsL8'-def tpsL-def by simp
  then show  $\bigwedge i. i < \text{length (clause-n clause)} \implies \text{transforms tmL8 (tpsL i) ?t (tpsL (Suc i))}$ 
    using tmL8'' by simp

```

```

let ?ns = clause-n clause
have *: tpsL t ! j2 = nltape' ?ns t for t
  using tpsL-def jk by simp
moreover have read (tpsL t) ! j2 = tpsL t :: j2 for t
  using tapes-at-read'[of j2 tpsL t] tpsL-def jk by simp
ultimately have read (tpsL t) ! j2 = |.| (nltape' ?ns t) for t
  by simp
then have read (tpsL t) ! j2 =  $\square \iff (t \geq \text{length ?ns})$  for t
  using nltape'-tape-read by simp
then show
   $\bigwedge i. i < \text{length ?ns} \implies \text{read (tpsL i) ! j2} \neq \square$ 
   $\neg \text{read (tpsL (length ?ns)) ! j2} \neq \square$ 
  using * by simp-all

```

```

show length ?ns * (70 + 6 * nlength ?ns + 67 * (nlength vars)2 + 2) + 1  $\leq$  ttt
  using assms by simp
qed

```

```

definition tps1 :: tape list where
  tps1  $\equiv$  tps0
  [j2 := nltape' (clause-n clause) (length (clause-n clause)),
   j3 := (|satisfies-clause ( $\lambda v. v \in \text{set vars}$ ) clause|B, 1)]

```

**lemma** *tps1*:  $tps1 = tpsL$  ( $length$  (*clause-n clause*))

**proof** –

**have**  $length$  (*clause-n clause*) =  $length$  *clause*

**by** (*simp add: clause-n-def*)

**then show** *?thesis*

**using** *tps1-def tpsL-def* **by** *simp*

**qed**

**lemma** *tm1* [*transforms-intros*]:

**assumes**  $ttt = length$  (*clause-n clause*) \* ( $72 + 6 * nlength$  (*clause-n clause*) +  $67 * (nlength$  *vars*)<sup>2</sup>) + 1

**shows** *transforms tmL tps0 ttt tps1*

**using** *tmL tpsL0 assms tps1* **by** *simp*

**definition** *tps2* :: *tape list* **where**

*tps2*  $\equiv$  *tps0*

*j2* := *nltape'* (*clause-n clause*) 0,

*j3* := ( $\lfloor$ *satisfies-clause* ( $\lambda v. v \in set$  *vars*) *clause* $\rfloor_B, 1$ )

**lemma** *tm2*:

**assumes**  $ttt = length$  (*clause-n clause*) \* ( $72 + 6 * nlength$  (*clause-n clause*) +  $67 * (nlength$  *vars*)<sup>2</sup>) +  $nlength$  (*clause-n clause*) + 4

**shows** *transforms tm2 tps0 ttt tps2*

**unfolding** *tm2-def*

**proof** (*tform tps: assms tps0 tps1-def jk*)

**have** \*:  $tps1 ! j2 = nltape'$  (*clause-n clause*) ( $length$  (*clause-n clause*))

**using** *tps1-def jk* **by** *simp*

**then show** *clean-tape (tps1 ! j2)*

**using** *clean-tape-nlcontents* **by** *simp*

**have** *neq: j3  $\neq$  j2*

**using** *jk* **by** *simp*

**have** *tps2 = tps1[j2 := nltape'* (*clause-n clause*) 0]

**unfolding** *tps2-def tps1-def* **by** (*simp add: list-update-swap[OF neq]*)

**moreover have**  $tps1 ! j2 \lfloor \# = \rfloor 1 = nltape'$  (*clause-n clause*) 0

**using** \* **by** *simp*

**ultimately show**  $tps2 = tps1[j2 := tps1 ! j2 \lfloor \# = \rfloor 1]$

**by** *simp*

**qed**

**definition** *tps2'* :: *tape list* **where**

*tps2'*  $\equiv$  *tps0*

*j3* := ( $\lfloor$ *satisfies-clause* ( $\lambda v. v \in set$  *vars*) *clause* $\rfloor_B, 1$ )

**lemma** *tm2'*:

**assumes**  $ttt = 79 * (nlength$  (*clause-n clause*))<sup>2</sup> +  $67 * (nlength$  (*clause-n clause*)) \*  $nlength$  *vars*<sup>2</sup> + 4

**shows** *transforms tm2 tps0 ttt tps2'*

**proof** –

**let** *?l* =  $nlength$  (*clause-n clause*)

**let** *?t* =  $length$  (*clause-n clause*) \* ( $72 + 6 * ?l + 67 * (nlength$  *vars*)<sup>2</sup>) + *?l* + 4

**have**  $?t \leq ?l * (72 + 6 * ?l + 67 * (nlength$  *vars*)<sup>2</sup>) + *?l* + 4

**by** (*simp add: length-le-nlength*)

**also have** ... =  $?l * (73 + 6 * ?l + 67 * (nlength$  *vars*)<sup>2</sup>) + 4

**by** *algebra*

**also have** ... =  $73 * ?l + 6 * ?l^2 + 67 * ?l * (nlength$  *vars*)<sup>2</sup> + 4

**by** *algebra*

**also have** ...  $\leq 79 * ?l^2 + 67 * ?l * (nlength$  *vars*)<sup>2</sup> + 4

**using** *linear-le-pow* **by** *simp*

**finally have**  $?t \leq ttt$

**using** *assms* **by** *simp*

**moreover have**  $tps2' = tps2$

**unfolding** *tps2'-def tps2-def* **using** *jk tps0* **by** (*metis tape-list-eq*)

**ultimately show** *?thesis*

**using** *tps2'-def tm2 assms transforms-monotone* **by** *simp*

qed

end

end

**lemma** *transforms-tm-sat-clauseI* [*transforms-intros*]:

**fixes** *j1 j2 j3* :: *tapeidx*

**fixes** *tps tps'* :: *tape list* **and** *ttt k* :: *nat* **and** *vars* :: *nat list* **and** *clause* :: *literal list*

**assumes**  $0 < j1$   $j1 \neq j2$   $j3 + 5 < k$   $j1 < j3$   $j2 < j3$   $0 < j2$  *length tps = k*

**assumes**

*tps ! j1 = nltape' vars 0*

*tps ! j2 = nltape' (clause-n clause) 0*

*tps ! j3 = ([0]<sub>N</sub>, 1)*

*tps ! (j3 + 1) = ([0]<sub>N</sub>, 1)*

*tps ! (j3 + 2) = ([0]<sub>N</sub>, 1)*

*tps ! (j3 + 3) = ([0]<sub>N</sub>, 1)*

*tps ! (j3 + 4) = ([0]<sub>N</sub>, 1)*

*tps ! (j3 + 5) = ([0]<sub>N</sub>, 1)*

**assumes** *tps' = tps*

$[j3 := (\text{[satisfies-clause } (\lambda v. v \in \text{set vars)} \text{ clause}]_B, 1)]$

**assumes**  $ttt = 79 * (\text{nlength (clause-n clause)})^2 + 67 * (\text{nlength (clause-n clause)}) * \text{nlength vars}^2 +$

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**shows** *transforms (tm-sat-clause j1 j2 j3) tps ttt tps'*

**proof** –

**interpret** *loc: turing-machine-sat-clause j1 j2 j3* .

**show** *?thesis*

**using** *assms loc.tps2'-def loc.tm2' loc.tm2-eq-tm-sat-clause* **by** *simp*

qed

The following Turing machine expects a list of lists of numbers representing a formula  $\varphi$  on tape  $j_1$  and a list of numbers representing an assignment  $\alpha$  on tape  $j_2$ . It outputs on tape  $j_3$  the number 1 if  $\alpha$  satisfies  $\varphi$ , and otherwise the number 0. To do so the TM iterates over all clauses in  $\varphi$  and uses *tm-sat-clause* on each of them. It requires seven auxiliary tapes:  $j_3 + 1$  to store the clauses one at a time,  $j_3 + 2$  to store the results of *tm-sat-clause*, whose auxiliary tapes are  $j_3 + 3, \dots, j_3 + 7$ .

**definition** *tm-sat-formula* :: *tapeidx*  $\Rightarrow$  *tapeidx*  $\Rightarrow$  *tapeidx*  $\Rightarrow$  *machine* **where**

*tm-sat-formula j1 j2 j3*  $\equiv$

*tm-setn j3 1* ;;

*WHILE* [] ;  $\lambda rs. rs ! j1 \neq \square$  *DO*

*tm-nextract*  $\# j1 (j3 + 1)$  ;;

*tm-sat-clause j2 (j3 + 1) (j3 + 2)* ;;

*IF*  $\lambda rs. rs ! (j3 + 2) = \square$  *THEN*

*tm-setn j3 0*

*ELSE*

[]

*ENDIF* ;;

*tm-erase-cr (j3 + 1)* ;;

*tm-setn (j3 + 2) 0*

*DONE*

**lemma** *tm-sat-formula-tm*:

**assumes**  $k \geq 2$  **and**  $G \geq 6$  **and**  $0 < j1$   $j1 \neq j2$   $j3 + 7 < k$   $j1 < j3$   $j2 < j3$   $0 < j2$

**shows** *turing-machine k G (tm-sat-formula j1 j2 j3)*

**using** *tm-sat-formula-def tm-sat-clause-tm tm-nextract-tm tm-setn-tm assms Nil-tm tm-erase-cr-tm*

*turing-machine-loop-turing-machine turing-machine-branch-turing-machine*

**by** *simp*

**locale** *turing-machine-sat-formula* =

**fixes** *j1 j2 j3* :: *tapeidx*

**begin**

**definition** *tm1*  $\equiv$  *tm-setn j3 1*

**definition**  $tmL1 \equiv tm\text{-nextract } \# j1 (j3 + 1)$   
**definition**  $tmL2 \equiv tmL1 ;; tm\text{-sat-clause } j2 (j3 + 1) (j3 + 2)$   
**definition**  $tmI \equiv IF \lambda rs. rs ! (j3 + 2) = \square THEN tm\text{-setn } j3 0 ELSE [] ENDIF$   
**definition**  $tmL3 \equiv tmL2 ;; tmI$   
**definition**  $tmL4 \equiv tmL3 ;; tm\text{-erase-cr } (j3 + 1)$   
**definition**  $tmL5 \equiv tmL4 ;; tm\text{-setn } (j3 + 2) 0$   
**definition**  $tmL \equiv WHILE [] ; \lambda rs. rs ! j1 \neq \square DO tmL5 DONE$

**definition**  $tm2 \equiv tm1 ;; tmL$

**lemma**  $tm2\text{-eq-}tm\text{-sat-formula}$ :  $tm2 = tm\text{-sat-formula } j1 j2 j3$   
**unfolding**  $tm2\text{-def } tm1\text{-def } tmL\text{-def } tmL5\text{-def } tmL4\text{-def } tmL3\text{-def } tmI\text{-def } tmL2\text{-def } tmL1\text{-def } tm\text{-sat-formula-def}$   
**by**  $simp$

**context**

**fixes**  $tps0 :: \text{tape list and } k :: \text{nat and vars} :: \text{nat list and } \varphi :: \text{formula}$   
**assumes**  $jk$ :  $0 < j1 j1 \neq j2 j3 + 7 < k j1 < j3 j2 < j3 0 < j2 \text{ length } tps0 = k$   
**assumes**  $tps0$ :

$tps0 ! j1 = nlltape' (\text{formula-n } \varphi) 0$   
 $tps0 ! j2 = nlltape' \text{ vars } 0$   
 $tps0 ! j3 = ([0]_N, 1)$   
 $tps0 ! (j3 + 1) = ([[]]_{NL}, 1)$   
 $tps0 ! (j3 + 2) = ([0]_N, 1)$   
 $tps0 ! (j3 + 3) = ([0]_N, 1)$   
 $tps0 ! (j3 + 4) = ([0]_N, 1)$   
 $tps0 ! (j3 + 5) = ([0]_N, 1)$   
 $tps0 ! (j3 + 6) = ([0]_N, 1)$   
 $tps0 ! (j3 + 7) = ([0]_N, 1)$

**begin**

**definition**  $tps1 \equiv tps0$   
 $[j3 := ([1]_N, 1)]$

**lemma**  $tm1$  [ $transforms\text{-intros}$ ]:

**assumes**  $ttt = 12$   
**shows**  $transforms \text{ tm1 } tps0 \text{ ttt } tps1$   
**unfolding**  $tm1\text{-def}$

**proof** ( $tform \text{ tps: } tps0 \text{ tps1-def } jk$ )  
**show**  $ttt = 10 + 2 * nlength 0 + 2 * nlength 1$   
**using**  $assms \text{ nlength-1-simp}$  **by**  $simp$

**qed**

**abbreviation**  $sat\text{-take } t \equiv (\lambda v. v \in \text{set vars}) \models \text{take } t \varphi$

**definition**  $tpsL :: \text{nat} \Rightarrow \text{tape list where}$

$tpsL \text{ } t \equiv tps0$   
 $[j1 := nlltape' (\text{formula-n } \varphi) t,$   
 $j3 := ([sat\text{-take } t]_B, 1)]$

**lemma**  $tpsL0$ :  $tpsL 0 = tps1$

**proof** –

**have**  $nlltape' (\text{formula-n } \varphi) 0 = tps1 ! j1$   
**using**  $tps0(1) \text{ tps1-def } jk$  **by**  $simp$   
**moreover** **have**  $[sat\text{-take } 0]_B = [1]_N$   
**using**  $satisfies\text{-def}$  **by**  $simp$   
**ultimately** **show**  $?thesis$   
**using**  $tpsL\text{-def } tps0 \text{ } jk \text{ tps1-def}$  **by** ( $metis \text{ list-update-id}$ )

**qed**

**definition**  $tpsL1 :: \text{nat} \Rightarrow \text{tape list where}$

$tpsL1 \text{ } t \equiv tps0$   
 $[j1 := nlltape' (\text{formula-n } \varphi) (\text{Suc } t),$   
 $j3 := ([sat\text{-take } t]_B, 1),$

$j\beta + 1 := (\lfloor \text{formula-}n \ \varphi ! t \rfloor_{NL}, 1)$

**lemma** *tmL1* [*transforms-intros*]:  
**assumes**  $t_{tt} = 12 + 2 * \text{nlength}(\text{formula-}n \ \varphi ! t)$  **and**  $t < \text{length}(\text{formula-}n \ \varphi)$   
**shows** *transforms tmL1* (*tpsL*  $t$ )  $t_{tt}$  (*tpsL1*  $t$ )  
**unfolding** *tmL1-def*  
**proof** (*tform tps: assms tps0 tpsL-def tpsL1-def jk*)  
**show**  $t_{tt} = 12 + 2 * \text{nlength} [] + 2 * \text{nlength}(\text{formula-}n \ \varphi ! t)$   
**using** *assms(1)* **by** *simp*  
**show** *tpsL1*  $t = (\text{tpsL} \ t)$   
 $[j1 := \text{nltape}'(\text{formula-}n \ \varphi) (\text{Suc} \ t),$   
 $j\beta + 1 := (\lfloor \text{formula-}n \ \varphi ! t \rfloor_{NL}, 1)]$   
**using** *tpsL1-def tpsL-def jk* **by** (*simp add: list-update-swap*)  
**qed**

**definition** *tpsL2* ::  $\text{nat} \Rightarrow \text{tape list}$  **where**  
*tpsL2*  $t \equiv \text{tps0}$   
 $[j1 := \text{nltape}'(\text{formula-}n \ \varphi) (\text{Suc} \ t),$   
 $j\beta := (\lfloor \text{sat-take} \ t \rfloor_B, 1),$   
 $j\beta + 1 := (\lfloor \text{formula-}n \ \varphi ! t \rfloor_{NL}, 1),$   
 $j\beta + 2 := (\lfloor \text{satisfies-clause} \ (\lambda v. v \in \text{set vars}) (\varphi ! t) \rfloor_B, 1)]$

**lemma** *tmL2* [*transforms-intros*]:  
**assumes**  $t_{tt} = 12 + 2 * \text{nlength}(\text{formula-}n \ \varphi ! t) +$   
 $(79 * (\text{nlength}(\text{formula-}n \ \varphi ! t))^2 +$   
 $67 * \text{nlength}(\text{formula-}n \ \varphi ! t) * (\text{nlength vars})^2 + 4)$   
**and**  $t < \text{length}(\text{formula-}n \ \varphi)$   
**shows** *transforms tmL2* (*tpsL*  $t$ )  $t_{tt}$  (*tpsL2*  $t$ )  
**unfolding** *tmL2-def*  
**proof** (*tform tps: assms tps0 tpsL-def tpsL1-def jk*)  
**let**  $?clause = \varphi ! t$   
**have**  $*$ :  $\text{formula-}n \ \varphi ! t = \text{clause-}n \ ?clause$   
**using** *assms(2) formula-n-def* **by** *simp*  
**then have**  $(\lfloor \text{formula-}n \ \varphi ! t \rfloor_{NL}, 1) = \text{nltape}'(\text{clause-}n \ ?clause) \ 0$   
**by** *simp*  
**then show** *tpsL1*  $t ! (j\beta + 1) = \text{nltape}'(\text{clause-}n \ ?clause) \ 0$   
**using** *tpsL1-def jk* **by** *simp*  
**have**  $j\beta + 2 + 1 = j\beta + 3$   
**by** *simp*  
**moreover have** *tpsL1*  $t ! (j\beta + 3) = (\lfloor 0 \rfloor_N, 1)$   
**using** *tpsL1-def tps0 jk* **by** *simp*  
**ultimately show** *tpsL1*  $t ! (j\beta + 2 + 1) = (\lfloor 0 \rfloor_N, 1)$   
**by** *metis*  
**have**  $j\beta + 2 + 2 = j\beta + 4$   
**by** *simp*  
**moreover have** *tpsL1*  $t ! (j\beta + 4) = (\lfloor 0 \rfloor_N, 1)$   
**using** *tpsL1-def tps0 jk* **by** *simp*  
**ultimately show** *tpsL1*  $t ! (j\beta + 2 + 2) = (\lfloor 0 \rfloor_N, 1)$   
**by** *metis*  
**have**  $j\beta + 2 + 3 = j\beta + 5$   
**by** *simp*  
**moreover have** *tpsL1*  $t ! (j\beta + 5) = (\lfloor 0 \rfloor_N, 1)$   
**using** *tpsL1-def tps0 jk* **by** *simp*  
**ultimately show** *tpsL1*  $t ! (j\beta + 2 + 3) = (\lfloor 0 \rfloor_N, 1)$   
**by** *metis*  
**have**  $j\beta + 2 + 4 = j\beta + 6$   
**by** *simp*  
**moreover have** *tpsL1*  $t ! (j\beta + 6) = (\lfloor 0 \rfloor_N, 1)$   
**using** *tpsL1-def tps0 jk* **by** *simp*  
**ultimately show** *tpsL1*  $t ! (j\beta + 2 + 4) = (\lfloor 0 \rfloor_N, 1)$   
**by** *metis*  
**have**  $j\beta + 2 + 5 = j\beta + 7$   
**by** *simp*



**moreover have**  $tpsL1\ t!\ (j3 + 7) = ([0]_N, 1)$   
**using**  $tpsL1-def\ tps0\ jk$  **by**  $simp$   
**ultimately show**  $tpsL1\ t!\ (j3 + 2 + 5) = ([0]_N, 1)$   
**by**  $metis$   
**show**  $tpsL2\ t = (tpsL1\ t)$   
 $[j3 + 2 := (\lfloor satisfies\_clause\ (\lambda v. v \in set\ vars)\ (\varphi!\ t) \rfloor_B, 1)]$   
**unfolding**  $tpsL2-def\ tpsL1-def$  **by**  $simp$   
**show**  $ttt = 12 + 2 * nlength\ (formula-n\ \varphi!\ t) +$   
 $(79 * (nlength\ (clause-n\ (\varphi!\ t)))^2 +$   
 $67 * nlength\ (clause-n\ (\varphi!\ t)) * (nlength\ vars)^2 + 4)$   
**using**  $assms(1)$  **\* by**  $simp$   
**qed**

**definition**  $tpsL3 :: nat \Rightarrow tape\ list$  **where**

$tpsL3\ t \equiv tps0$   
 $[j1 := nlltape'\ (formula-n\ \varphi)\ (Suc\ t),$   
 $j3 := (\lfloor sat\_take\ (Suc\ t) \rfloor_B, 1),$   
 $j3 + 1 := (\lfloor formula-n\ \varphi!\ t \rfloor_{NL}, 1),$   
 $j3 + 2 := (\lfloor satisfies\_clause\ (\lambda v. v \in set\ vars)\ (\varphi!\ t) \rfloor_B, 1)]$

**lemma**  $tmI$  [*transforms-intros*]:

**assumes**  $ttt = 16$  **and**  $t < length\ (formula-n\ \varphi)$   
**shows**  $transforms\ tmI\ (tpsL2\ t)\ ttt\ (tpsL3\ t)$   
**unfolding**  $tmI-def$   
**proof** ( $tform\ tps: assms(2)\ tps0\ tpsL2-def\ tpsL3-def\ jk\ time: assms(1)$ )  
**show**  $10 + 2 * nlength\ (if\ sat\_take\ t\ then\ 1\ else\ 0) + 2 * nlength\ 0 + 2 \leq ttt$   
**using**  $assms(1)\ nlength-1-simp$  **by**  $simp$

**let**  $?a = \lambda v. v \in set\ vars$

**let**  $?cl = \varphi!\ t$

**have**  $*$ :  $read\ (tpsL2\ t)\ !\ (j3 + 2) \neq \square \longleftrightarrow satisfies\_clause\ ?a\ ?cl$   
**using**  $tpsL2-def\ jk\ read-ncontents-eq-0[of\ tpsL2\ t\ j3 + 2]$  **by**  $force$

**have**  $len$ :  $t < length\ \varphi$

**using**  $assms(2)$  **by** ( $simp\ add: formula-n-def$ )

**have**  $**$ :  $sat\_take\ (Suc\ t) \longleftrightarrow sat\_take\ t \wedge satisfies\_clause\ ?a\ ?cl$

**using**  $satisfies-take[OF\ len]$  **by**  $simp$

**show**  $tpsL3\ t = (tpsL2\ t)[j3 := ([0]_N, 1)]$  **if**  $read\ (tpsL2\ t)\ !\ (j3 + 2) = \square$

**proof** –

**have** ( $if\ sat\_take\ (Suc\ t)\ then\ 1::nat\ else\ 0) = 0$

**using**  $that\ **$  **by**  $simp$

**then show**  $?thesis$

**unfolding**  $tpsL3-def\ tpsL2-def$  **using**  $that$  **by** ( $simp\ add: list-update-swap$ )

**qed**

**show**  $tpsL3\ t = (tpsL2\ t)$  **if**  $read\ (tpsL2\ t)\ !\ (j3 + 2) \neq \square$

**proof** –

**have**  $sat\_take\ t = sat\_take\ (Suc\ t)$

**using**  $**\ that$  **by**  $simp$

**then show**  $?thesis$

**unfolding**  $tpsL3-def\ tpsL2-def$  **using**  $that$  **by** ( $simp\ add: list-update-swap$ )

**qed**

**qed**

**lemma**  $tmL3$  [*transforms-intros*]:

**assumes**  $ttt = 32 + 2 * nlength\ (formula-n\ \varphi!\ t) +$

$79 * (nlength\ (formula-n\ \varphi!\ t))^2 +$

$67 * nlength\ (formula-n\ \varphi!\ t) * (nlength\ vars)^2$

**and**  $t < length\ (formula-n\ \varphi)$

**shows**  $transforms\ tmL3\ (tpsL\ t)\ ttt\ (tpsL3\ t)$

**unfolding**  $tmL3-def$  **by** ( $tform\ tps: assms$ )

**definition**  $tpsL4 :: nat \Rightarrow tape\ list$  **where**

```

tpsL4 t ≡ tps0
[j1 := nlltape' (formula-n φ) (Suc t),
 j3 := (|sat-take (Suc t)|B, 1),
 j3 + 1 := (|[]|NL, 1),
 j3 + 2 := (|satisfies-clause (λv. v ∈ set vars) (φ ! t)|B, 1)]

```

**lemma** *tmL4* [transforms-intros]:

```

assumes ttt = 39 + 4 * nllength (formula-n φ ! t) +
  79 * (nllength (formula-n φ ! t))2 +
  67 * nllength (formula-n φ ! t) * (nllength vars)2
and t < length (formula-n φ)
shows transforms tmL4 (tpsL t) ttt (tpsL4 t)
unfolding tmL4-def

```

**proof** (tform tps: assms(2) tps0 tpsL3-def tpsL4-def jk)

```

let ?zs = numlist (formula-n φ ! t)
have *: tpsL3 t ! (j3 + 1) = (|formula-n φ ! t|NL, 1)
using tpsL3-def jk by simp
then show tpsL3 t ::: (j3 + 1) = [|?zs|]
using nlcontents-def by simp
show proper-symbols ?zs
using proper-symbols-numlist by simp
show tpsL4 t = (tpsL3 t)[j3 + 1 := (|[]|, 1)]
unfolding tpsL4-def tpsL3-def using nlcontents-Nil by (simp add: list-update-swap)
show ttt = 32 + 2 * nllength (formula-n φ ! t) +
  79 * (nllength (formula-n φ ! t))2 +
  67 * nllength (formula-n φ ! t) * (nllength vars)2 +
  (tpsL3 t :# (j3 + 1) + 2 * length (numlist (formula-n φ ! t)) + 6)
using * assms(1) nllength-def by simp

```

**qed**

**definition** *tpsL5* :: nat ⇒ tape list **where**

```

tpsL5 t ≡ tps0
[j1 := nlltape' (formula-n φ) (Suc t),
 j3 := (|sat-take (Suc t)|B, 1),
 j3 + 1 := (|[]|NL, 1),
 j3 + 2 := (|0|N, 1)]

```

**lemma** *tmL5*:

```

assumes ttt = 49 + 4 * nllength (formula-n φ ! t) +
  79 * (nllength (formula-n φ ! t))2 +
  67 * nllength (formula-n φ ! t) * (nllength vars)2 +
  2 * nllength (if satisfies-clause (λv. v ∈ set vars) (φ ! t) then 1 else 0)
and t < length (formula-n φ)
shows transforms tmL5 (tpsL t) ttt (tpsL5 t)
unfolding tmL5-def by (tform tps: assms tps0 tpsL4-def tpsL5-def jk)

```

**definition** *tpsL5'* :: nat ⇒ tape list **where**

```

tpsL5' t ≡ tps0
[j1 := nlltape' (formula-n φ) (Suc t),
 j3 := (|sat-take (Suc t)|B, 1)]

```

**lemma** *tpsL5'*: tpsL5' = tpsL5

**proof**

```

fix t
have 5: j1 ≠ j3 + 1
using jk by simp
have 4: j3 ≠ j3 + 1
by simp
have 1: j3 ≠ j3 + 2
by simp
have 2: j3 + 1 ≠ j3 + 2
by simp
have 22: Suc j3 ≠ Suc (Suc j3)

```

```

  by simp
  have 3:  $j1 \neq j3 + 2$ 
    using  $jk$  by simp
  let ?tps1 = tps0
    [ $j1 := \text{nlltape}'(\text{formula-n } \varphi)(\text{Suc } t)$ ]
  let ?tps2 = tps0
    [ $j1 := \text{nlltape}'(\text{formula-n } \varphi)(\text{Suc } t)$ ,
      $j3 := (\lfloor \text{sat-take } (\text{Suc } t) \rfloor_B, 1)$ ]
  have tpsL5 t = tps0
    [ $j1 := \text{nlltape}'(\text{formula-n } \varphi)(\text{Suc } t)$ ,
      $j3 := (\lfloor \text{sat-take } (\text{Suc } t) \rfloor_B, 1)$ ,
      $j3 + 1 := (\lfloor \lfloor \rfloor_{NL}, 1)$ ]
  unfolding tpsL5-def
  using tps0(5)
    list-update-swap[OF 2, of ?tps2]
    list-update-swap[OF 1, of ?tps1]
    list-update-swap[OF 3, of tps0]
    list-update-id[of tps0  $j3 + 2$ ]
  by (simp only:)
  also have ... = tps0
    [ $j1 := \text{nlltape}'(\text{formula-n } \varphi)(\text{Suc } t)$ ,
      $j3 := (\lfloor \text{sat-take } (\text{Suc } t) \rfloor_B, 1)$ ]
  using tps0(4)
    list-update-swap[OF 4, of ?tps1]
    list-update-swap[OF 5, of tps0]
    list-update-id[of tps0  $j3 + 1$ ]
  by (simp only:)
  finally show tpsL5' t = tpsL5 t
    using tpsL5'-def by simp
qed

```

lemma  $tmL5'$  [transforms-intros]:

```

  assumes  $ttt = 51 + 83 * (\text{nlllength } (\text{formula-n } \varphi))^2 +$ 
     $67 * \text{nlllength } (\text{formula-n } \varphi) * (\text{nllength vars})^2$ 
  and  $t < \text{length } (\text{formula-n } \varphi)$ 
  shows transforms  $tmL5$  (tpsL t) ttt (tpsL5' t)

```

proof –

```

  let ?ttt =  $49 + 4 * \text{nllength } (\text{formula-n } \varphi ! t) +$ 
     $79 * (\text{nllength } (\text{formula-n } \varphi ! t))^2 +$ 
     $67 * \text{nllength } (\text{formula-n } \varphi ! t) * (\text{nllength vars})^2 +$ 
     $2 * \text{nlength } (\text{if satisfies-clause } (\lambda v. v \in \text{set vars}) (\varphi ! t) \text{ then } 1 \text{ else } 0)$ 

```

```

  have ?ttt  $\leq 49 + 4 * \text{nllength } (\text{formula-n } \varphi ! t) +$ 
     $79 * (\text{nllength } (\text{formula-n } \varphi ! t))^2 +$ 
     $67 * \text{nllength } (\text{formula-n } \varphi ! t) * (\text{nllength vars})^2 +$ 
     $2 * \text{nlength } 1$ 

```

by simp

```

  also have ... =  $51 + 4 * \text{nllength } (\text{formula-n } \varphi ! t) +$ 
     $79 * (\text{nllength } (\text{formula-n } \varphi ! t))^2 +$ 
     $67 * \text{nllength } (\text{formula-n } \varphi ! t) * (\text{nllength vars})^2$ 
  using nlength-1-simp by simp

```

```

  also have ...  $\leq 51 + 4 * \text{nlllength } (\text{formula-n } \varphi) +$ 
     $79 * (\text{nllength } (\text{formula-n } \varphi ! t))^2 +$ 
     $67 * \text{nllength } (\text{formula-n } \varphi ! t) * (\text{nllength vars})^2$ 
  using member-le-nlllength-1[of  $\text{formula-n } \varphi ! t$   $\text{formula-n } \varphi$ ] assms(2) by simp

```

```

  also have ...  $\leq 51 + 4 * \text{nlllength } (\text{formula-n } \varphi) +$ 
     $79 * (\text{nlllength } (\text{formula-n } \varphi))^2 +$ 
     $67 * \text{nllength } (\text{formula-n } \varphi ! t) * (\text{nllength vars})^2$ 
  using member-le-nlllength-1[of  $\text{formula-n } \varphi ! t$   $\text{formula-n } \varphi$ ] assms(2) by simp

```

```

  also have ...  $\leq 51 + 4 * \text{nlllength } (\text{formula-n } \varphi) +$ 
     $79 * (\text{nlllength } (\text{formula-n } \varphi))^2 +$ 
     $67 * \text{nlllength } (\text{formula-n } \varphi) * (\text{nllength vars})^2$ 
  using member-le-nlllength-1[of  $\text{formula-n } \varphi ! t$   $\text{formula-n } \varphi$ ] assms(2) by auto

```

```

  also have ...  $\leq 51 + 83 * (\text{nlllength } (\text{formula-n } \varphi))^2 +$ 

```

```

    67 * nllength (formula-n  $\varphi$ ) * (nllength vars)2
  using linear-le-pow by simp
  finally have ?t ≤ t
  using assms(1) by simp
  then show ?thesis
  using tpsL5' transforms-monotone[OF tmL5] assms by simp
qed

```

**lemma** *tmL* [transforms-intros]:

```

  assumes ttt = length (formula-n  $\varphi$ ) * (53 + 83 * (nllength (formula-n  $\varphi$ ))2 + 67 * nllength (formula-n  $\varphi$ )
    * (nllength vars)2) + 1

```

```

  shows transforms tmL (tpsL 0) ttt (tpsL (length (formula-n  $\varphi$ )))

```

```

  unfolding tmL-def

```

**proof** (tform)

```

  let ?t = 51 + 83 * (nllength (formula-n  $\varphi$ ))2 + 67 * nllength (formula-n  $\varphi$ ) * (nllength vars)2

```

```

  have tpsL5' t = tpsL (Suc t) for t

```

```

    using tpsL5'-def tpsL-def by simp

```

```

  then show  $\wedge t. t < \text{length (formula-n } \varphi) \implies \text{transforms tmL5 (tpsL t) ?t (tpsL (Suc t))}$ 

```

```

    using tmL5' by simp

```

```

  let ?nss = formula-n  $\varphi$ 

```

```

  have *: tpsL t ! j1 = nlltape' ?nss t for t

```

```

    using tpsL-def jk by simp

```

```

  moreover have read (tpsL t) ! j1 = tpsL t :: j1 for t

```

```

    using tapes-at-read'[of j1 tpsL t] tpsL-def jk by simp

```

```

  ultimately have read (tpsL t) ! j1 = |.| (nlltape' ?nss t) for t

```

```

    by simp

```

```

  then have read (tpsL t) ! j1 =  $\square \longleftrightarrow (t \geq \text{length } ?nss)$  for t

```

```

    using nlltape'-tape-read by simp

```

then show

```

 $\wedge i. i < \text{length } ?nss \implies \text{read (tpsL i) ! j1} \neq \square$ 

```

```

 $\neg \text{read (tpsL (length ?nss)) ! j1} \neq \square$ 

```

```

  using * by simp-all

```

```

  show length (formula-n  $\varphi$ ) * (?t + 2) + 1 ≤ ttt

```

```

  using assms by simp

```

qed

**lemma** *tm2*:

```

  assumes ttt = length (formula-n  $\varphi$ ) * (53 + 83 * (nllength (formula-n  $\varphi$ ))2 + 67 * nllength (formula-n  $\varphi$ )
    * (nllength vars)2) + 13

```

```

  shows transforms tm2 tps0 ttt (tpsL (length (formula-n  $\varphi$ )))

```

```

  unfolding tm2-def

```

**proof** (tform tps: assms tps0 tpsL4-def tpsL5-def jk tpsL0)

```

  show transforms tm1 tps0 12 (tpsL 0)

```

```

    using tm1 tpsL0 by simp

```

qed

**definition** *tps2* :: tape list where

```

  tps2  $\equiv$  tps0

```

```

  [j1 := nlltape (formula-n  $\varphi$ ),

```

```

  j3 := ( $\llbracket \lambda v. v \in \text{set vars} \rrbracket \models \varphi \rrbracket_B, 1$ )]

```

**lemma** *tps2*: *tps2* = *tpsL* (length (formula-n  $\varphi$ ))

```

  using formula-n-def tps2-def tpsL-def by simp

```

**lemma** *tm2'*:

```

  assumes ttt = length (formula-n  $\varphi$ ) * (53 + 83 * (nllength (formula-n  $\varphi$ ))2 + 67 * nllength (formula-n  $\varphi$ )
    * (nllength vars)2) + 13

```

```

  shows transforms tm2 tps0 ttt tps2

```

```

  using tm2 tps2 assms by simp

```

end

end

```

lemma transforms-tm-sat-formulaI [transforms-intros]:
  fixes j1 j2 j3 :: tapeidx
  fixes tps tps' :: tape list and ttt k :: nat and vars :: nat list and  $\varphi$  :: formula
  assumes  $0 < j1$   $j1 \neq j2$   $j3 + 7 < k$   $j1 < j3$   $j2 < j3$   $0 < j2$  length tps = k
  assumes
    tps ! j1 = nlltape' (formula-n  $\varphi$ ) 0
    tps ! j2 = nltape' vars 0
    tps ! j3 = ([0]N, 1)
    tps ! (j3 + 1) = ([[]]NL, 1)
    tps ! (j3 + 2) = ([0]N, 1)
    tps ! (j3 + 3) = ([0]N, 1)
    tps ! (j3 + 4) = ([0]N, 1)
    tps ! (j3 + 5) = ([0]N, 1)
    tps ! (j3 + 6) = ([0]N, 1)
    tps ! (j3 + 7) = ([0]N, 1)
  assumes tps' = tps
    [j1 := nlltape (formula-n  $\varphi$ ),
     j3 := ([ $\lambda v. v \in \text{set vars}$ ]  $\models \varphi$ ]B, 1)]
  assumes ttt = length (formula-n  $\varphi$ ) * (53 + 83 * (nllength (formula-n  $\varphi$ ))2 + 67 * nllength (formula-n  $\varphi$ )
  * (nllength vars)2) + 13
```

**shows** *transforms (tm-sat-formula j1 j2 j3) tps ttt tps'*

**proof** –

**interpret** *loc: turing-machine-sat-formula j1 j2 j3* .

**show** *?thesis*

**using** *assms loc.tps2-def loc.tm2' loc.tm2-eq-tm-sat-formula* **by** *metis*

qed

### 4.2.3 A Turing machine for verifying SAT instances

The previous Turing machine, *tm-sat-formula*, expects a well-formed formula and a well-formed list representing an assignment on its tapes. The TM we ultimately need, however, is not guaranteed to be given anything well-formed as input and even the well-formed inputs require decoding from the binary alphabet to the quaternary alphabet used for lists of lists of numbers. The next TM takes care of all of that and, if everything was well-formed, runs *tm-sat-formula*. If the first element of the pair input is invalid, it outputs **1**, as required by the definition of SAT.

Thus, the next Turing machine implements the function *verify-sat* and therefore is a verifier for SAT.

**definition** *tm-verify-sat* :: *machine* **where**

```

tm-verify-sat  $\equiv$ 
  tm-right-many {0..22} ;;
  tm-bindecode 0 2 ;;
  tm-unpair 2 3 4 ;;
  tm-even-length 3 5 ;;
  tm-proper-symbols-lt 3 6 4 ;;
  tm-and 6 5 ;;
  IF  $\lambda rs. rs ! 6 \neq \square$  THEN
    tm-bindecode 3 7 ;;
    tm-numlistlist-wf 7 8 ;;
    IF  $\lambda rs. rs ! 8 \neq \square$  THEN
      tm-proper-symbols-lt 4 10 4 ;;
      IF  $\lambda rs. rs ! 10 \neq \square$  THEN
        tm-bindecode 4 11 ;;
        tm-rstrip # 11 ;;
        tm-numlist-wf 11 12 ;;
        IF  $\lambda rs. rs ! 12 \neq \square$  THEN
          tm-sat-formula 7 11 14 ;;
          tm-copyn 14 1
        ELSE
           $\square$ 
        ENDIF
      ELSE
         $\square$ 
      ENDIF
    ELSE
       $\square$ 
    ENDIF
  ELSE
     $\square$ 
  ENDIF

```

```

    []
  ENDIF
ELSE
  tm-setn 1 1
ENDIF
ELSE
  tm-setn 1 1
ENDIF

```

**lemma** *tm-verify-sat-tm: turing-machine 22 6 tm-verify-sat*  
**unfolding** *tm-verify-sat-def*  
**using** *tm-copyn-tm tm-setn-tm turing-machine-branch-turing-machine tm-sat-formula-tm tm-bindecode-tm*  
*tm-rstrip-tm tm-numlist-wf-tm tm-proper-symbols-lt-tm tm-numlistlist-wf-tm Nil-tm*  
*tm-right-many-tm tm-unpair-tm tm-even-length-tm tm-and-tm*  
**by** *simp*

**locale** *turing-machine-verify-sat*  
**begin**

**definition** *tm1*  $\equiv$  *tm-right-many* {0.. $<22$ }  
**definition** *tm2*  $\equiv$  *tm1* ;; *tm-bindecode* 0 2  
**definition** *tm3*  $\equiv$  *tm2* ;; *tm-unpair* 2 3 4  
**definition** *tm4*  $\equiv$  *tm3* ;; *tm-even-length* 3 5  
**definition** *tm5*  $\equiv$  *tm4* ;; *tm-proper-symbols-lt* 3 6 4  
**definition** *tm6*  $\equiv$  *tm5* ;; *tm-and* 6 5

**definition** *tmTTT1*  $\equiv$  *tm-bindecode* 4 11  
**definition** *tmTTT2*  $\equiv$  *tmTTT1* ;; *tm-rstrip* # 11  
**definition** *tmTTT3*  $\equiv$  *tmTTT2* ;; *tm-numlist-wf* 11 12

**definition** *tmTTTT1*  $\equiv$  *tm-sat-formula* 7 11 14  
**definition** *tmTTTT2*  $\equiv$  *tmTTTT1* ;; *tm-copyn* 14 1  
**definition** *tmTTTTI*  $\equiv$  *IF*  $\lambda$ *rs. rs ! 12  $\neq$   $\square$  *THEN* *tmTTTT2* *ELSE* [] *ENDIF**

**definition** *tmTTT*  $\equiv$  *tmTTT3* ;; *tmTTTTI*  
**definition** *tmTTI*  $\equiv$  *IF*  $\lambda$ *rs. rs ! 10  $\neq$   $\square$  *THEN* *tmTTT* *ELSE* [] *ENDIF**

**definition** *tmTT1*  $\equiv$  *tm-proper-symbols-lt* 4 10 4  
**definition** *tmTT*  $\equiv$  *tmTT1* ;; *tmTTI*  
**definition** *tmTI*  $\equiv$  *IF*  $\lambda$ *rs. rs ! 8  $\neq$   $\square$  *THEN* *tmTT* *ELSE* *tm-setn* 1 1 *ENDIF**

**definition** *tmT1*  $\equiv$  *tm-bindecode* 3 7  
**definition** *tmT2*  $\equiv$  *tmT1* ;; *tm-numlistlist-wf* 7 8  
**definition** *tmT*  $\equiv$  *tmT2* ;; *tmTI*

**definition** *tmI*  $\equiv$  *IF*  $\lambda$ *rs. rs ! 6  $\neq$   $\square$  *THEN* *tmT* *ELSE* *tm-setn* 1 1 *ENDIF*  
**definition** *tm7*  $\equiv$  *tm6* ;; *tmI**

**lemma** *tm7-eq-tm-verify-sat: tm7 = tm-verify-sat*  
**unfolding** *tm-verify-sat-def tm7-def tmI-def tmT-def tmT2-def tmTI-def tmT1-def tmTT-def tmTT1-def tmTTI-def*  
*tmTTT-def tmTTT3-def tmTTTT1-def tmTTTTI-def tmTTTT2-def tmTTT3-def tmTTT2-def tmTTT1-def*  
*tm6-def tm5-def*  
*tm4-def tm3-def tm2-def tm1-def*  
**by** *simp*

**context**  
**fixes** *tps0* :: *tape list* **and** *zs* :: *symbol list*  
**assumes** *zs: bit-symbols zs*  
**assumes** *tps0: tps0 = snd (start-config 22 zs)*  
**begin**

**definition** *tps1*  $\equiv$  *map* ( $\lambda$ *tp. tp |#|= 1) tps0*

**lemma** *map-upt-length*:  $\text{map } f \text{ } xs = \text{map } (\lambda i. f (xs ! i)) [0..<\text{length } xs]$   
**by** (*smt* (*verit*, *ccfv-SIG*) *in-set-conv-nth length-map map-eq-conv map-nth nth-map*)

**lemma** *tps1*:  
 $\text{tps1} ! 0 = (\lfloor zs \rfloor, 1)$   
 $0 < j \implies j < 22 \implies \text{tps1} ! j = (\lfloor \square \rfloor, 1)$   
 $\text{length } \text{tps1} = 22$   
**using** *tps0 start-config-def tps1-def* **by** *auto*

**lemma** *tm1* [*transforms-intros*]: *transforms tm1 tps0 1 tps1*  
**unfolding** *tm1-def*  
**proof** (*tform tps: tps0 tps1-def*)  
**have**  $\text{length } \text{tps0} = 22$   
**using** *tps0 start-config-def* **by** *simp*  
**then have**  $\text{map } (\lambda j. \text{if } j \in \{0..<22\} \text{ then } \text{tps0} ! j \text{ } | + | 1 \text{ else } \text{tps0} ! j) [0..<\text{length } \text{tps0}] =$   
 $\text{map } (\lambda j. \text{tps0} ! j \text{ } | + | 1) [0..<\text{length } \text{tps0}]$   
**by** *simp*  
**also have**  $\dots = \text{map } (\lambda j. \text{tps0} ! j \text{ } | \# = | 1) [0..<\text{length } \text{tps0}]$   
**using** *tps0 <length tps0 = 22> start-config-pos* **by** *simp*  
**also have**  $\dots = \text{map } (\lambda tp. tp \text{ } | \# = | 1) \text{tps0}$   
**using** *map-upt-length[of \lambda tp. tp | \# = | 1 tps0]* **by** *simp*  
**also have**  $\dots = \text{tps1}$   
**using** *tps1-def* **by** *simp*  
**finally show**  $\text{tps1} = \text{map } (\lambda j. \text{if } j \in \{0..<22\} \text{ then } \text{tps0} ! j \text{ } | + | 1 \text{ else } \text{tps0} ! j) [0..<\text{length } \text{tps0}]$   
**by** *simp*  
**qed**

**definition** *tps2*  $\equiv \text{tps1}$   
 $[2 := (\lfloor \text{bindecode } zs \rfloor, 1)]$

**lemma** *tm2* [*transforms-intros*]:  
**assumes**  $ttt = 8 + 3 * \text{length } zs$   
**shows** *transforms tm2 tps0 ttt tps2*  
**unfolding** *tm2-def* **by** (*tform tps: assms zs tps1 tps2-def*)

**definition** *tps3*  $\equiv \text{tps1}$   
 $[2 := (\lfloor \text{bindecode } zs \rfloor, 1),$   
 $3 := (\lfloor \text{first } (\text{bindecode } zs) \rfloor, 1),$   
 $4 := (\lfloor \text{second } (\text{bindecode } zs) \rfloor, 1)]$

**lemma** *tm3* [*transforms-intros*]:  
**assumes**  $ttt = 21 + 3 * \text{length } zs + 6 * \text{length } (\text{bindecode } zs)$   
**shows** *transforms tm3 tps0 ttt tps3*  
**unfolding** *tm3-def*  
**proof** (*tform tps: assms zs tps2-def tps1 tps3-def*)  
**show** *proper-symbols (bindecode zs)*  
**using** *zs proper-bindecode* **by** *simp*  
**show**  $ttt = 8 + 3 * \text{length } zs + (6 * \text{length } (\text{bindecode } zs) + 13)$   
**using** *assms* **by** *simp*  
**qed**

**definition** *tps4*  $\equiv \text{tps1}$   
 $[2 := (\lfloor \text{bindecode } zs \rfloor, 1),$   
 $3 := (\lfloor \text{first } (\text{bindecode } zs) \rfloor, 1),$   
 $4 := (\lfloor \text{second } (\text{bindecode } zs) \rfloor, 1),$   
 $5 := (\lfloor \text{even } (\text{length } (\text{first } (\text{bindecode } zs))) \rfloor_B, 1)]$

**lemma** *tm4* [*transforms-intros*]:  
**assumes**  $ttt = 28 + 3 * \text{length } zs + 6 * \text{length } (\text{bindecode } zs) + 7 * \text{length } (\text{first } (\text{bindecode } zs))$   
**shows** *transforms tm4 tps0 ttt tps4*  
**unfolding** *tm4-def*  
**proof** (*tform tps: assms zs tps1 tps3-def tps4-def*)  
**show** *proper-symbols (first (bindecode zs))*

**using** *zs proper-bindecode first-def by simp*  
**show**  $tps3 ! 5 = (\lfloor 0 \rfloor_N, 1)$   
**using** *tps3-def canrepr-0 tps1 by simp*  
**qed**

**definition**  $tps5 \equiv tps1$   
 $[2 := (\lfloor \text{bindecode } zs \rfloor, 1),$   
 $3 := (\lfloor \text{first } (\text{bindecode } zs) \rfloor, 1),$   
 $4 := (\lfloor \text{second } (\text{bindecode } zs) \rfloor, 1),$   
 $5 := (\lfloor \text{even } (\text{length } (\text{first } (\text{bindecode } zs))) \rfloor_B, 1),$   
 $6 := (\lfloor \text{proper-symbols-lt } 4 (\text{first } (\text{bindecode } zs)) \rfloor_B, 1)]$

**lemma** *tm5 [transforms-intros]:*  
**assumes**  $ttt = 33 + 3 * \text{length } zs + 6 * \text{length } (\text{bindecode } zs) + 14 * \text{length } (\text{first } (\text{bindecode } zs))$   
**shows** *transforms tm5 tps0 ttt tps5*  
**unfolding** *tm5-def*  
**proof** (*tform tps: assms zs tps1 tps4-def tps5-def*)  
**show** *proper-symbols (first (bindecode zs))*  
**using** *zs proper-bindecode first-def by simp*  
**qed**

**abbreviation**  $ys \equiv \text{bindecode } zs$   
**abbreviation**  $xs \equiv \text{bindecode } (\text{first } ys)$   
**abbreviation**  $vs \equiv \text{rstrip } 5 (\text{bindecode } (\text{second } ys))$

**definition**  $tps6 \equiv tps1$   
 $[2 := (\lfloor ys \rfloor, 1),$   
 $3 := (\lfloor \text{first } ys \rfloor, 1),$   
 $4 := (\lfloor \text{second } ys \rfloor, 1),$   
 $5 := (\lfloor \text{even } (\text{length } (\text{first } ys)) \rfloor_B, 1),$   
 $6 := (\lfloor \text{proper-symbols-lt } 4 (\text{first } ys) \wedge \text{even } (\text{length } (\text{first } ys)) \rfloor_B, 1)]$

**lemma** *tm6 [transforms-intros]:*  
**assumes**  $ttt = 36 + 3 * \text{length } zs + 6 * \text{length } (\text{bindecode } zs) + 14 * \text{length } (\text{first } (\text{bindecode } zs))$   
**shows** *transforms tm6 tps0 ttt tps6*  
**unfolding** *tm6-def by (tform tps: assms zs tps1 tps5-def tps6-def)*

**context**  
**assumes** *bs-even: proper-symbols-lt 4 (first ys)  $\wedge$  even (length (first ys))*  
**begin**

**lemma** *bs: bit-symbols (first ys)*  
**using** *bs-even by fastforce*

**definition**  $tpsT1 \equiv tps1$   
 $[2 := (\lfloor ys \rfloor, 1),$   
 $3 := (\lfloor \text{first } ys \rfloor, 1),$   
 $4 := (\lfloor \text{second } ys \rfloor, 1),$   
 $5 := (\lfloor \text{even } (\text{length } (\text{first } ys)) \rfloor_B, 1),$   
 $6 := (\lfloor \text{proper-symbols-lt } 4 (\text{first } ys) \wedge \text{even } (\text{length } (\text{first } ys)) \rfloor_B, 1),$   
 $7 := (\lfloor \text{bindecode } (\text{first } ys) \rfloor, 1)]$

**lemma** *tmT1 [transforms-intros]:*  
**assumes**  $ttt = 7 + 3 * \text{length } (\text{first } ys)$   
**shows** *transforms tmT1 tps6 ttt tpsT1*  
**unfolding** *tmT1-def by (tform tps: assms bs tps1 tps6-def tpsT1-def)*

**definition**  $tpsT2 \equiv tps1$   
 $[2 := (\lfloor ys \rfloor, 1),$   
 $3 := (\lfloor \text{first } ys \rfloor, 1),$   
 $4 := (\lfloor \text{second } ys \rfloor, 1),$   
 $5 := (\lfloor \text{even } (\text{length } (\text{first } ys)) \rfloor_B, 1),$   
 $6 := (\lfloor \text{proper-symbols-lt } 4 (\text{first } ys) \wedge \text{even } (\text{length } (\text{first } ys)) \rfloor_B, 1),$



$7 := (\lfloor \text{bindecode } (\text{first } ys) \rfloor, 1),$   
 $8 := (\lfloor \text{numlistlist-wf } (\text{bindecode } (\text{first } ys)) \rfloor_B, 1)]$

**lemma** *tmT2* [*transforms-intros*]:

**assumes**  $t_{tt} = 213 + 3 * \text{length } (\text{first } ys) + 39 * \text{length } (\text{bindecode } (\text{first } ys))$

**shows** *transforms tmT2 tps6 ttt tpsT2*

**unfolding** *tmT2-def*

**proof** (*tform tps: assms tps1 tpsT1-def tpsT2-def*)

**show** *proper-symbols (bindecode (first ys))*

**using** *proper-bindecode by simp*

**show**  $t_{tt} = 7 + 3 * \text{length } (\text{first } ys) + (206 + 39 * \text{length } (\text{bindecode } (\text{first } ys)))$

**using** *assms by simp*

**qed**

**context**

**assumes** *first-wf: numlistlist-wf (bindecode (first ys))*

**begin**

**definition** *tpsTT1*  $\equiv$  *tps1*

$2 := (\lfloor ys \rfloor, 1),$

$3 := (\lfloor \text{first } ys \rfloor, 1),$

$4 := (\lfloor \text{second } ys \rfloor, 1),$

$5 := (\lfloor \text{even } (\text{length } (\text{first } ys)) \rfloor_B, 1),$

$6 := (\lfloor \text{proper-symbols-lt } 4 (\text{first } ys) \wedge \text{even } (\text{length } (\text{first } ys)) \rfloor_B, 1),$

$7 := (\lfloor \text{bindecode } (\text{first } ys) \rfloor, 1),$

$8 := (\lfloor \text{numlistlist-wf } (\text{bindecode } (\text{first } ys)) \rfloor_B, 1),$

$10 := (\lfloor \text{proper-symbols-lt } 4 (\text{second } ys) \rfloor_B, 1)]$

**lemma** *tmTT1* [*transforms-intros*]:

**assumes**  $t_{tt} = 5 + 7 * \text{length } (\text{second } ys)$

**shows** *transforms tmTT1 tpsT2 ttt tpsTT1*

**unfolding** *tmTT1-def*

**proof** (*tform tps: tps1 tpsT2-def tpsTT1-def assms*)

**show** *proper-symbols (second ys)*

**using** *proper-bindecode second-def zs by simp*

**qed**

**context**

**assumes** *proper-second: proper-symbols-lt 4 (second ys)*

**begin**

**definition** *tpsTTT1*  $\equiv$  *tps1*

$2 := (\lfloor ys \rfloor, 1),$

$3 := (\lfloor \text{first } ys \rfloor, 1),$

$4 := (\lfloor \text{second } ys \rfloor, 1),$

$5 := (\lfloor \text{even } (\text{length } (\text{first } ys)) \rfloor_B, 1),$

$6 := (\lfloor \text{proper-symbols-lt } 4 (\text{first } ys) \wedge \text{even } (\text{length } (\text{first } ys)) \rfloor_B, 1),$

$7 := (\lfloor xs \rfloor, 1),$

$8 := (\lfloor \text{numlistlist-wf } xs \rfloor_B, 1),$

$10 := (\lfloor \text{proper-symbols-lt } 4 (\text{second } ys) \rfloor_B, 1),$

$11 := (\lfloor \text{bindecode } (\text{second } ys) \rfloor, 1)]$

**lemma** *tmTTT1* [*transforms-intros*]:

**assumes**  $t_{tt} = 7 + 3 * \text{length } (\text{second } ys)$

**shows** *transforms tmTTT1 tpsTT1 ttt tpsTTT1*

**unfolding** *tmTTT1-def*

**proof** (*tform tps: assms tps1 tpsT2-def tpsTT1-def tpsTTT1-def*)

**show** *bit-symbols (second ys)*

**using** *proper-second by fastforce*

**qed**

**definition** *tpsTTT2*  $\equiv$  *tps1*

$2 := (\lfloor ys \rfloor, 1),$

```

3 := ([first ys], 1),
4 := ([second ys], 1),
5 := ([even (length (first ys))] ]B, 1),
6 := ([proper-symbols-lt 4 (first ys) ∧ even (length (first ys))] ]B, 1),
7 := ([xs], 1),
8 := ([numlistlist-wf xs] ]B, 1),
10 := ([proper-symbols-lt 4 (second ys)] ]B, 1),
11 := ([vs], 1)

```

**lemma** *tmTTT2* [transforms-intros]:

**assumes**  $ttt = 12 + 3 * \text{length} (\text{second } ys) + 3 * \text{length} (\text{bindecode} (\text{second } ys))$

**shows** *transforms tmTTT2 tpsTT1 ttt tpsTTT2*

**unfolding** *tmTTT2-def*

**proof** (*tform tps: assms tps1 tpsTTT1-def tpsTTT2-def*)

**show** *proper-symbols (bindecode (second ys))*

**using** *proper-bindecode by simp*

**show**  $ttt = 7 + 3 * \text{length} (\text{second } ys) + (3 * \text{length} (\text{bindecode} (\text{second } ys)) + 5)$

**using** *assms by simp*

**qed**

**definition** *tpsTTT3*  $\equiv$  *tps1*

```

[2 := ([ys], 1),
3 := ([first ys], 1),
4 := ([second ys], 1),
5 := ([even (length (first ys))] ]B, 1),
6 := ([proper-symbols-lt 4 (first ys) ∧ even (length (first ys))] ]B, 1),
7 := ([xs], 1),
8 := ([numlistlist-wf xs] ]B, 1),
10 := ([proper-symbols-lt 4 (second ys)] ]B, 1),
11 := ([vs], 1),
12 := ([numlist-wf vs] ]B, 1)

```

**lemma** *tmTTT3* [transforms-intros]:

**assumes**  $ttt = 106 + 3 * \text{length} (\text{second } ys) + 3 * \text{length} (\text{bindecode} (\text{second } ys)) + 19 * \text{length } vs$

**shows** *transforms tmTTT3 tpsTT1 ttt tpsTTT3*

**unfolding** *tmTTT3-def*

**proof** (*tform tps: assms tps1 tpsTTT2-def tpsTTT3-def*)

**show** *proper-symbols vs*

**using** *proper-bindecode rstrip-def by simp*

**qed**

**context**

**assumes** *second-wf: numlist-wf vs*

**begin**

**definition** *tpsTTTT1*  $\equiv$  *tps1*

```

[2 := ([ys], 1),
3 := ([first ys], 1),
4 := ([second ys], 1),
5 := ([even (length (first ys))] ]B, 1),
6 := ([proper-symbols-lt 4 (first ys) ∧ even (length (first ys))] ]B, 1),
7 := ([xs], 1),
8 := ([numlistlist-wf xs] ]B, 1),
10 := ([proper-symbols-lt 4 (second ys)] ]B, 1),
11 := ([vs], 1),
12 := ([numlist-wf vs] ]B, 1),
7 := nlltape (formula-n (zs-formula xs)),
14 := ([(\lambda v. v ∈ set (zs-numlist vs)) ⊨ zs-formula xs] ]B, 1)

```

**lemma** *tmTTTT1* [transforms-intros]:

**assumes**  $ttt = \text{length} (\text{formula-n} (\text{zs-formula } xs)) *$

$(53 + 83 * (\text{nllength} (\text{formula-n} (\text{zs-formula } xs)))^2 + 67 * \text{nllength} (\text{formula-n} (\text{zs-formula } xs)) * (\text{nllength} (\text{zs-numlist } vs))^2) +$

**shows transforms**  $tmTTTT1$   $tpsTTT3$   $tnt$   $tpsTTTT1$   
**unfolding**  $tmTTTT1-def$   
**proof** (*tform time: assms*)  
**show**  
 $tpsTTT3 ! 14 = ([0]_N, 1)$   
 $tpsTTT3 ! (14 + 2) = ([0]_N, 1)$   
 $tpsTTT3 ! (14 + 3) = ([0]_N, 1)$   
 $tpsTTT3 ! (14 + 4) = ([0]_N, 1)$   
 $tpsTTT3 ! (14 + 5) = ([0]_N, 1)$   
 $tpsTTT3 ! (14 + 6) = ([0]_N, 1)$   
 $tpsTTT3 ! (14 + 7) = ([0]_N, 1)$   
**unfolding**  $tpsTTT3-def$  **using**  $tps1$  **canrepr-0** **by** *auto*  
**show**  $tpsTTT3 ! (14 + 1) = ([\ ]_NL, 1)$   
**unfolding**  $tpsTTT3-def$  **using**  $tps1$  **nlcontents-Nil** **by** *simp*  
**show**  $14 + 7 < \text{length } tpsTTT3$   
**unfolding**  $tpsTTT3-def$  **using**  $tps1$  **by** *simp*  
**let**  $?phi = \text{zs-formula } xs$   
**have**  $\text{numlistlist (formula-n } ?phi) = xs$   
**using**  $\text{formula-zs-def}$   $\text{formula-zs-formula}$   $\text{first-wf}$  **by** *simp*  
**then have**  $\text{nlltape' (formula-n } ?phi) 0 = ([xs], 1)$   
**by** (*simp add: nllcontents-def*)  
**then show**  $tpsTTT3 ! 7 = \text{nlltape' (formula-n } ?phi) 0$   
**unfolding**  $tpsTTT3-def$  **using**  $tps1$  **by** *simp*  
**let**  $?vars = \text{zs-numlist } vs$   
**have**  $\text{numlist } ?vars = vs$   
**using**  $\text{numlist-zs-numlist}$   $\text{second-wf}$  **by** *simp*  
**then have**  $\text{nltape' } ?vars 0 = ([vs], 1)$   
**by** (*simp add: nlcontents-def*)  
**then show**  $tpsTTT3 ! 11 = \text{nltape' } ?vars 0$   
**unfolding**  $tpsTTT3-def$  **using**  $tps1$  **by** *simp*  
**show**  $tpsTTTT1 = tpsTTT3$   
 $[7 := \text{nlltape (formula-n (zs-formula } xs)),$   
 $14 := ([(\lambda v. v \in \text{set (zs-numlist } vs)) \models \text{zs-formula } xs]_B, 1)]$   
**unfolding**  $tpsTTTT1-def$   $tpsTTT3-def$  **by** *fast*  
**qed**

**definition**  $tpsTTTT2 \equiv tps1$

$1 := ([(\lambda v. v \in \text{set (zs-numlist } vs)) \models \text{zs-formula } xs]_B, 1),$   
 $2 := ([ys], 1),$   
 $3 := ([\text{first } ys], 1),$   
 $4 := ([\text{second } ys], 1),$   
 $5 := ([\text{even (length (first } ys))]_B, 1),$   
 $6 := ([\text{proper-symbols-lt } 4 \text{ (first } ys) \wedge \text{even (length (first } ys))]_B, 1),$   
 $7 := ([xs], 1),$   
 $8 := ([\text{numlistlist-wf } xs]_B, 1),$   
 $10 := ([\text{proper-symbols-lt } 4 \text{ (second } ys)]_B, 1),$   
 $11 := ([vs], 1),$   
 $12 := ([\text{numlist-wf } vs]_B, 1),$   
 $7 := \text{nlltape (formula-n (zs-formula } xs)),$   
 $14 := ([(\lambda v. v \in \text{set (zs-numlist } vs)) \models \text{zs-formula } xs]_B, 1)]$

**lemma**  $tmTTTT2$ :

**assumes**  $tnt = \text{length (formula-n (zs-formula } xs)) * (53 + 83 * (\text{nlllength (formula-n (zs-formula } xs)))^2 + 67 * \text{nlllength (formula-n (zs-formula } xs)) * (\text{nlllength (zs-numlist } vs)})^2) + 27 + 3 * (\text{nlength (if } (\lambda v. v \in \text{set (zs-numlist } vs)) \models \text{zs-formula } xs \text{ then } 1 \text{ else } 0))$

**shows transforms**  $tmTTTT2$   $tpsTTT3$   $tnt$   $tpsTTTT2$   
**unfolding**  $tmTTTT2-def$

**proof** (*tform*)

**show**  $14 < \text{length } tpsTTTT1$   $1 < \text{length } tpsTTTT1$   
**unfolding**  $tpsTTTT1-def$  **using**  $tps1$  **by** *simp-all*  
**show**  $tpsTTTT1 ! 1 = ([0]_N, 1)$

```

unfolding tpsTTTT1-def using tps1 canrepr-0 by auto
let ?b = if ( $\lambda v. v \in \text{set } (zs\text{-numlist } vs)$ )  $\models$  zs-formula xs then 1 else 0 :: nat
show tpsTTTT1 ! 14 = ( $\lfloor ?b \rfloor_N, 1$ )
unfolding tpsTTTT1-def using tps1 by simp
show ttt = length (formula-n (zs-formula xs)) *
  (53 + 83 * (nllength (formula-n (zs-formula xs)))2 +
  67 * nllength (formula-n (zs-formula xs)) * (nlength (zs-numlist vs))2) +
  13 + (14 + 3 *
  (nlength (if ( $\lambda v. v \in \text{set } (zs\text{-numlist } vs)$ )  $\models$  zs-formula xs then 1 else 0) + nlength 0))
using assms by simp
show tpsTTTT2 = tpsTTTT1
  [1 := ( $\lfloor (\lambda v. v \in \text{set } (zs\text{-numlist } vs)$ )  $\models$  zs-formula xs  $\rfloor_B, 1$ )]
unfolding tpsTTTT2-def tpsTTTT1-def by (simp add: list-update-swap)
qed

lemma tmTTTT2' [transforms-intros]:
assumes ttt = 203 * length zs ^ 4 + 30
shows transforms tmTTTT2 tpsTTT3 ttt tpsTTTT2
proof -
let ?phi = zs-formula xs
let ?ttt = length (formula-n ?phi) *
  (53 + 83 * (nllength (formula-n ?phi))2 + 67 * nllength (formula-n ?phi) * (nlength (zs-numlist vs))2) +
  27 + 3 * (nlength (if ( $\lambda v. v \in \text{set } (zs\text{-numlist } vs)$ )  $\models$  ?phi then 1 else 0))
have nllength (formula-n ?phi)  $\leq$  length xs
using formula-zs-def formula-zs-formula first-wf nllength-def by simp
then have 1: nllength (formula-n ?phi)  $\leq$  length zs
by (metis div-le-dividend le-trans length-bindecode length-first)
moreover have length (formula-n ?phi)  $\leq$  nllength (formula-n ?phi)
by (simp add: length-le-nllength)
ultimately have 2: length (formula-n ?phi)  $\leq$  length zs
by simp
have nlength (zs-numlist vs)  $\leq$  length vs
using second-wf numlist-zs-numlist nlength-def by simp
moreover have length vs  $\leq$  length zs
using second-def length-bindecode length-rstrip-le by (metis div-le-dividend dual-order.trans length-second)
ultimately have 3: nlength (zs-numlist vs)  $\leq$  length zs
by simp
have 4: nlength (if ( $\lambda v. v \in \text{set } (zs\text{-numlist } vs)$ )  $\models$  ?phi then 1 else 0)  $\leq$  1
using nlength-1-simp by simp

have ?ttt  $\leq$  length (formula-n ?phi) *
  (53 + 83 * (nllength (formula-n ?phi))2 + 67 * nllength (formula-n ?phi) * (nlength (zs-numlist vs))2)
+ 30
using 4 by simp
also have ...  $\leq$  length zs *
  (53 + 83 * (nllength (formula-n ?phi))2 + 67 * nllength (formula-n ?phi) * (nlength (zs-numlist vs))2)
+ 30
using 2 by simp
also have ...  $\leq$  length zs * (53 + 83 * (length zs)2 + 67 * length zs * (nlength (zs-numlist vs))2) + 30
using 1 by (simp add: add-mono)
also have ...  $\leq$  length zs * (53 + 83 * (length zs)2 + 67 * length zs * (length zs)2) + 30
using 3 by simp
also have ... = 53 * length zs + 83 * length zs ^ 3 + 67 * length zs ^ 4 + 30
by algebra
also have ...  $\leq$  53 * length zs + 83 * length zs ^ 4 + 67 * length zs ^ 4 + 30
using pow-mono' by simp
also have ...  $\leq$  53 * length zs ^ 4 + 83 * length zs ^ 4 + 67 * length zs ^ 4 + 30
using linear-le-pow by simp
also have ... = 203 * length zs ^ 4 + 30
by simp
finally have ?ttt  $\leq$  203 * length zs ^ 4 + 30 .
then show ?thesis
using assms tmTTTT2 transforms-monotone by simp

```

qed

end

**definition**  $tpsTTT \equiv (if\ numlist-wf\ vs\ then\ tpsTTTT2\ else\ tpsTTT3)$

**lemma**  $length-tpsTTT: length\ tpsTTT = 22$

**using**  $tpsTTT-def\ tpsTTTT2-def\ tpsTTT3-def\ tps1$  **by**  $(metis\ (no-types,\ lifting)\ length-list-update)$

**lemma**  $tpsTTT: tpsTTT ! 1 =$

$(\lfloor (if\ numlist-wf\ vs\ then\ (if\ (\lambda v.\ v \in set\ (zs-numlist\ vs)) \models zs-formula\ xs\ then\ 1\ else\ 0)\ else\ 0 \rfloor_N, 1)$

**proof**  $(cases\ numlist-wf\ vs)$

**case**  $True$

**then have**  $tpsTTT ! 1 = tpsTTTT2 ! 1$

**using**  $tpsTTT-def$  **by**  $simp$

**also have**  $\dots = (\lfloor (\lambda v.\ v \in set\ (zs-numlist\ vs)) \models zs-formula\ xs \rfloor_B, 1)$

**unfolding**  $tpsTTTT2-def[OF\ True]$  **using**  $tps1$  **by**  $simp$

**finally show**  $?thesis$

**using**  $True$  **by**  $simp$

**next**

**case**  $False$

**then have**  $tpsTTT ! 1 = tpsTTT3 ! 1$

**using**  $tpsTTT-def$  **by**  $simp$

**also have**  $\dots = (\lfloor 0 \rfloor_N, 1)$

**unfolding**  $tpsTTT3-def$  **using**  $tps1\ canrepr-0$  **by**  $simp$

**finally show**  $?thesis$

**using**  $False$  **by**  $simp$

qed

**lemma**  $tmTTTI$   $[transforms-intros]:$

**assumes**  $ttt = 203 * length\ zs \wedge 4 + 32$

**shows**  $transforms\ tmTTTI\ tpsTTT3\ ttt\ tpsTTT$

**unfolding**  $tmTTTI-def$

**proof**  $(tform\ time: assms)$

**have**  $*$ :  $read\ tpsTTT3 ! 12 \neq \square \iff numlist-wf\ vs$

**using**  $tpsTTT3-def\ tps1\ read-ncontents-eq-0$  **by**  $simp$

**show**  $read\ tpsTTT3 ! 12 \neq \square \implies numlist-wf\ vs$

**using**  $*$  **by**  $simp$

**show**  $read\ tpsTTT3 ! 12 \neq \square \implies tpsTTT = tpsTTTT2$

**using**  $*$   $tpsTTT-def$  **by**  $simp$

**show**  $\neg read\ tpsTTT3 ! 12 \neq \square \implies tpsTTT = tpsTTT3$

**using**  $*$   $tpsTTT-def$  **by**  $simp$

qed

**lemma**  $tmTTT:$

**assumes**  $ttt = 138 + 3 * length\ (second\ ys) + 3 * length\ (bindecode\ (second\ ys)) +$

$19 * length\ vs + 203 * length\ zs \wedge 4$

**shows**  $transforms\ tmTTT\ tpsTT1\ ttt\ tpsTTT$

**unfolding**  $tmTTT-def$  **by**  $(tform\ tps: assms)$

**lemma**  $tmTTT'$   $[transforms-intros]:$

**assumes**  $ttt = 138 + 228 * length\ zs \wedge 4$

**shows**  $transforms\ tmTTT\ tpsTT1\ ttt\ tpsTTT$

**proof**  $-$

**let**  $?ttt = 138 + 3 * length\ (second\ ys) + 3 * length\ (bindecode\ (second\ ys)) +$

$19 * length\ vs + 203 * length\ zs \wedge 4$

**have**  $length\ ys \leq length\ zs$

**by**  $simp$

**then have**  $1: length\ (second\ ys) \leq length\ zs$

**using**  $length-second\ dual-order.trans$  **by**  $blast$

**then have**  $2: length\ (bindecode\ (second\ ys)) \leq length\ zs$

**by**  $simp$

**then have**  $3: length\ vs \leq length\ zs$

```

by (meson dual-order.trans length-rstrip-le)

have ?t1 ≤ 138 + 3 * length zs + 3 * length zs + 19 * length zs + 203 * length zs ^ 4
  using 1 2 3 by simp
also have ... = 138 + 25 * length zs + 203 * length zs ^ 4
  by simp
also have ... ≤ 138 + 25 * length zs ^ 4 + 203 * length zs ^ 4
  using linear-le-pow by simp
also have ... = 138 + 228 * length zs ^ 4
  by simp
finally have ?t1 ≤ 138 + 228 * length zs ^ 4 .
then show ?thesis
  using assms tmTTT transforms-monotone by blast
qed

end

```

**definition**  $tpsTT \equiv$  (if proper-symbols-lt 4 (second ys) then  $tpsTTT$  else  $tpsTT1$ )

**lemma**  $length-tpsTT$ :  $length\ tpsTT = 22$   
**using**  $tpsTT-def\ length-tpsTTT\ tpsTT1-def\ tps1$  **by**  $simp$

**lemma**  $tpsTT$ :  $tpsTT ! 1 =$   
*(ncontents*  
*(if proper-symbols-lt 4 (second ys) ∧ numlist-wf vs*  
*then if (λv. v ∈ set (zs-numlist vs)) ⊨ zs-formula xs then 1 else 0*  
*else 0),*  
*1)*

**proof** (cases proper-symbols-lt 4 (second ys))  
**case**  $True$   
**then have**  $tpsTT ! 1 = tpsTTT ! 1$   
**using**  $tpsTT-def$  **by**  $simp$   
**then show** ?thesis  
**using**  $tpsTTT\ True$  **by**  $simp$   
**next**  
**case**  $False$   
**then have**  $tpsTT ! 1 = tpsTT1 ! 1$   
**using**  $tpsTT-def$  **by**  $auto$   
**then show** ?thesis  
**using**  $tpsTT1-def\ tps1\ canrepr-0\ False$  **by**  $auto$   
**qed**

**lemma**  $tmTTI$  [transforms-intros]:  
**assumes**  $t1 = 140 + 228 * length\ zs^4$   
**shows** transforms  $tmTTI\ tpsTT1\ t1\ tpsTT$   
**unfolding**  $tmTTI-def$   
**proof** (tform time: assms)  
**have** \*:  $read\ tpsTT1 ! 10 \neq \square \iff proper-symbols-lt\ 4\ (second\ ys)$   
**using**  $tpsTT1-def\ tps1\ read-ncontents-eq-0$  **by**  $simp$   
**show**  $read\ tpsTT1 ! 10 \neq \square \implies proper-symbols-lt\ 4\ (second\ ys)$   
**using** \* **by**  $simp$   
**let** ?t =  $138 + 228 * length\ zs^4$   
**show**  $read\ tpsTT1 ! 10 \neq \square \implies tpsTT = tpsTTT$   
**using** \*  $tpsTT-def$  **by**  $simp$   
**show**  $\neg read\ tpsTT1 ! 10 \neq \square \implies tpsTT = tpsTT1$   
**using** \*  $tpsTT-def$  **by**  $auto$   
**qed**

**lemma**  $tmTT$  [transforms-intros]:  
**assumes**  $t1 = 145 + 7 * length\ (second\ ys) + 228 * length\ zs^4$   
**shows** transforms  $tmTT\ tpsT2\ t1\ tpsTT$   
**unfolding**  $tmTT-def$  **by** (tform time: assms)

end

**definition**  $tpsTE \equiv tps1$

$[2 := (\lfloor ys \rfloor, 1),$   
 $3 := (\lfloor first\ ys \rfloor, 1),$   
 $4 := (\lfloor second\ ys \rfloor, 1),$   
 $5 := (\lfloor even\ (length\ (first\ ys)) \rfloor_B, 1),$   
 $6 := (\lfloor proper-symbols-lt\ 4\ (first\ ys) \wedge even\ (length\ (first\ ys)) \rfloor_B, 1),$   
 $7 := (\lfloor bindecode\ (first\ ys) \rfloor, 1),$   
 $8 := (\lfloor numlistlist-wf\ xs \rfloor_B, 1),$   
 $1 := (\lfloor 1 \rfloor_N, 1)]$

**definition**  $tpsT \equiv (if\ numlistlist-wf\ xs\ then\ tpsTT\ else\ tpsTE)$

**lemma**  $length-tpsT$ :  $length\ tpsT = 22$

**using**  $tpsT-def\ length-tpsTT\ tpsTE-def\ tps1$  **by**  $simp$

**lemma**  $tpsT$ :  $tpsT ! 1 =$

$(ncontents$   
 $(if\ numlistlist-wf\ xs$   
 $then\ if\ proper-symbols-lt\ 4\ (second\ ys) \wedge numlist-wf\ vs$   
 $then\ if\ (\lambda v. v \in set\ (zs-numlist\ vs)) \models zs-formula\ xs\ then\ 1\ else\ 0$   
 $else\ 0$   
 $else\ 1),$   
 $1)$

**proof** ( $cases\ numlistlist-wf\ xs$ )

**case**  $True$

**then** **have**  $tpsT ! 1 = tpsTT ! 1$

**using**  $tpsT-def$  **by**  $simp$

**then** **show**  $?thesis$

**using**  $tpsTT\ True$  **by**  $simp$

**next**

**case**  $False$

**then** **have**  $tpsT ! 1 = tpsTE ! 1$

**using**  $tpsT-def$  **by**  $auto$

**then** **show**  $?thesis$

**using**  $tpsTE-def\ tps1\ canrepr-0\ False$  **by**  $auto$

**qed**

**lemma**  $tmTI$  [ $transforms-intros$ ]:

**assumes**  $ttt = 147 + 7 * length\ (second\ ys) + 228 * length\ zs \wedge 4$

**shows**  $transforms\ tmTI\ tpsT2\ ttt\ tpsT$

**unfolding**  $tmTI-def$

**proof** ( $tform\ time: assms$ )

**have**  $*$ :  $read\ tpsT2 ! 8 \neq \square \iff numlistlist-wf\ xs$

**using**  $tpsT2-def\ tps1\ read-ncontents-eq-0$  **by**  $simp$

**show**  $read\ tpsT2 ! 8 \neq \square \implies numlistlist-wf\ xs$

**using**  $*$  **by**  $simp$

**show**  $1 < length\ tpsT2$

**using**  $tpsT2-def\ tps1$  **by**  $simp$

**show**  $tpsT2 ! 1 = (\lfloor 0 \rfloor_N, 1)$

**using**  $tpsT2-def\ tps1\ canrepr-0$  **by**  $simp$

**show**  $\neg read\ tpsT2 ! 8 \neq \square \implies tpsT = tpsT2[1 := (\lfloor 1 \rfloor_N, 1)]$

**using**  $tpsT-def * tpsT2-def\ tpsTE-def$  **by**  $presburger$

**show**  $read\ tpsT2 ! 8 \neq \square \implies tpsT = tpsTT$

**using**  $* tpsT-def$  **by**  $simp$

**show**  $10 + 2 * nlength\ 0 + 2 * nlength\ 1 + 1 \leq ttt$

**using**  $assms\ nlength-1-simp$  **by**  $simp$

**qed**

**lemma**  $tmT$  [ $transforms-intros$ ]:

**assumes**  $ttt = 360 + 3 * length\ (first\ ys) + 39 * length\ xs + 7 * length\ (second\ ys) + 228 * length\ zs \wedge 4$

**shows**  $transforms\ tmT\ tps6\ ttt\ tpsT$

**unfolding** *tmT-def* **by** (*tform time: assms*)

**end**

**definition** *tpsE*  $\equiv$  *tps1*

$[2 := ([ys], 1),$   
 $3 := ([first\ ys], 1),$   
 $4 := ([second\ ys], 1),$   
 $5 := ([even\ (length\ (first\ ys))]_B, 1),$   
 $6 := ([proper-symbols-lt\ 4\ (first\ ys) \wedge even\ (length\ (first\ ys))]_B, 1),$   
 $1 := ([1]_N, 1)]$

**definition** *tps7*  $\equiv$  (*if proper-symbols-lt 4 (first ys)  $\wedge$  even (length (first ys)) then tpsT else tpsE*)

**lemma** *length-tps7*: *length tps7 = 22*

**using** *tps7-def length-tpsT tpsE-def tps1* **by** *simp*

**lemma** *tps7*: *tps7 ! 1 =*

(*ncontents*  
(*if proper-symbols-lt 4 (first ys)  $\wedge$  even (length (first ys))  $\wedge$  numlistlist-wf xs*  
*then if proper-symbols-lt 4 (second ys)  $\wedge$  numlist-wf vs*  
*then if ( $\lambda v. v \in \text{set } (zs\text{-numlist } vs) \models zs\text{-formula } xs$ ) then 1 else 0*  
*else 0*  
*else 1*),  
*1*)

**proof** (*cases proper-symbols-lt 4 (first ys)  $\wedge$  even (length (first ys))*)

**case** *True*

**then have** *tps7 ! 1 = tpsT ! 1*

**using** *tps7-def* **by** *simp*

**then show** *?thesis*

**using** *tpsT True* **by** *simp*

**next**

**case** *False*

**then have** *tps7 ! 1 = tpsE ! 1*

**using** *tps7-def* **by** *auto*

**then show** *?thesis*

**using** *tpsE-def tps1 canrepr-0 False* **by** *auto*

**qed**

**lemma** *tps7'*: *tps7 ! 1 = ([verify-sat zs], 1)*

**proof** –

**have** *proper-symbols-lt 4 zs = bit-symbols zs* **for** *zs*

**by** *fastforce*

**then show** *?thesis*

**unfolding** *verify-sat-def Let-def* **using** *tps7 canrepr-0 canrepr-1* **by** *auto*

**qed**

**lemma** *tmI* [*transforms-intros*]:

**assumes** *t1t = 362 + 3 \* length (first ys) + 39 \* length xs + 7 \* length (second ys) + 228 \* length zs ^ 4*

**shows** *transforms tmI tps6 t1t tps7*

**unfolding** *tmI-def*

**proof** (*tform time: assms*)

**have**  $*$ : *read tps6 ! 6  $\neq$   $\square \iff$  (proper-symbols-lt 4 (first ys)  $\wedge$  even (length (first ys)))*

**using** *tps6-def tps1 read-ncontents-eq-0* **by** *simp*

**show** *read tps6 ! 6  $\neq$   $\square \implies$  (proper-symbols-lt 4 (first ys)  $\wedge$  even (length (first ys)))*

**using**  $*$  **by** *simp*

**show**  $1 < \text{length } tps6$

**using** *tps6-def tps1* **by** *simp*

**show** *tps6 ! 1 = ([0]\_N, 1)*

**using** *tps6-def tps1 canrepr-0* **by** *simp*

**show**  $\neg \text{read } tps6 ! 6 \neq \square \implies tps7 = tps6[1 := ([1]_N, 1)]$

**using** *tps7-def \* tps6-def tpsE-def* **by** *metis*

**show** *read tps6 ! 6  $\neq$   $\square \implies tps7 = tpsT$*



```

    using tps7-def * by simp
  show  $10 + 2 * nlength\ 0 + 2 * nlength\ 1 + 1 \leq ttt$ 
    using assms nlength-1-simp by simp
qed

```

lemma tm7:

```

  assumes  $ttt = 398 + 3 * length\ zs + 6 * length\ ys + 17 * length\ (first\ ys) +$ 
     $39 * length\ xs + 7 * length\ (second\ ys) + 228 * length\ zs^4$ 
  shows transforms tm7 tps0 ttt tps7
  unfolding tm7-def by (tform time: assms)

```

lemma tm7' [transforms-intros]:

```

  assumes  $ttt = 398 + 300 * length\ zs^4$ 
  shows transforms tm7 tps0 ttt tps7

```

proof –

```

  have *:  $length\ ys \leq length\ zs$ 

```

```

    by simp

```

```

  then have 1:  $length\ (second\ ys) \leq length\ zs$ 

```

```

    using length-second dual-order.trans by blast

```

```

  have 2:  $length\ (first\ ys) \leq length\ zs$ 

```

```

    using * dual-order.trans length-first by blast

```

```

  then have 3:  $length\ xs \leq length\ zs$ 

```

```

    by simp

```

```

  let ?ttt =  $398 + 3 * length\ zs + 6 * length\ ys + 17 * length\ (first\ ys) +$ 
     $39 * length\ xs + 7 * length\ (second\ ys) + 228 * length\ zs^4$ 

```

```

  have ?ttt  $\leq 398 + 9 * length\ zs + 17 * length\ zs + 39 * length\ zs + 7 * length\ zs + 228 * length\ zs^4$ 

```

```

    using * 1 2 3 by simp

```

```

  also have ... =  $398 + 72 * length\ zs + 228 * length\ zs^4$ 

```

```

    by simp

```

```

  also have ...  $\leq 398 + 72 * length\ zs^4 + 228 * length\ zs^4$ 

```

```

    using linear-le-pow by simp

```

```

  also have ... =  $398 + 300 * length\ zs^4$ 

```

```

    by simp

```

```

  finally have ?ttt  $\leq 398 + 300 * length\ zs^4$  .

```

```

  then show ?thesis

```

```

    using assms tm7 transforms-monotone by fast

```

qed

end

end

lemma transforms-tm-verify-sat:

```

  fixes  $zs :: symbol\ list$  and  $tps :: tape\ list$ 

```

```

  assumes bit-symbols zs

```

```

    and  $tps = snd\ (start-config\ 22\ zs)$ 

```

```

    and  $ttt = 398 + 300 * length\ zs^4$ 

```

```

  shows  $\exists tps'. tps' ! 1 = ([verify-sat\ zs], 1) \wedge transforms\ tm-verify-sat\ tps\ ttt\ tps'$ 

```

proof –

```

  interpret loc: turing-machine-verify-sat .

```

```

  show ?thesis

```

```

    using assms loc.tm7' loc.tps7' loc.tm7-eq-tm-verify-sat by metis

```

qed

With the Turing machine just constructed and the polynomial  $p(n) = n$  we can satisfy the definition of  $\mathcal{NP}$  and prove the main result of this chapter.

theorem SAT-in-NP:  $SAT \in \mathcal{NP}$

proof –

```

  define  $p :: nat \Rightarrow nat$  where  $p = (\lambda n. n)$ 

```

```

  define  $T :: nat \Rightarrow nat$  where  $T = (\lambda n. 398 + 300 * n^4)$ 

```

```

  define  $f :: string \Rightarrow string$  where

```

```

     $f = (\lambda x. symbols-to-string\ (verify-sat\ (string-to-symbols\ x)))$ 

```

```

  have turing-machine 22 6 tm-verify-sat

```

```

using tm-verify-sat-tm .
moreover have polynomial p
  using p-def polynomial-id by (metis eq-id-iff)
moreover have big-oh-poly T
  using T-def big-oh-poly-poly big-oh-poly-const big-oh-poly-sum big-oh-poly-prod by simp
moreover have computes-in-time 22 tm-verify-sat f T
proof
  fix x :: string
  let ?zs = string-to-symbols x
  have bs: bit-symbols ?zs
    by simp
  have bit-symbols (verify-sat ?zs)
    using bit-symbols-verify-sat by simp
  then have *: string-to-symbols (f x) = verify-sat ?zs
    unfolding f-def using bit-symbols-to-symbols by simp

  obtain tps where tps:
    tps ! 1 = (⌊verify-sat ?zs⌋, 1)
    transforms tm-verify-sat (snd (start-config 22 ?zs)) (T (length ?zs)) tps
  using bs transforms-tm-verify-sat T-def by blast
  then have tps :: 1 = string-to-contents (f x)
    using * start-config-def contents-string-to-contents by simp
  then show ∃ tps. tps :: 1 = string-to-contents (f x) ∧
    transforms tm-verify-sat (snd (start-config-string 22 x)) (T (length x)) tps
    using tps(2) by auto
qed
moreover have ∀ x. x ∈ SAT ⟷ (∃ u. length u = p (length x) ∧ f ⟨x, u⟩ = [1])
proof
  fix x :: string
  show (x ∈ SAT) = (∃ u. length u = p (length x) ∧ f ⟨x, u⟩ = [1])
  proof
    show ∃ u. length u = p (length x) ∧ f ⟨x, u⟩ = [1] if x ∈ SAT
    proof (cases ∃ φ. x = formula-to-string φ)
      case True
      then obtain φ where φ: x = formula-to-string φ satisfiable φ
        using SAT-def using ⟨x ∈ SAT⟩ by auto
      then obtain us where us:
        bit-symbols us
        length us = length (formula-to-string φ)
        verify-sat ⟨formula-to-string φ; symbols-to-string us⟩ = [1]
        using ex-witness-linear-length by blast
      let ?zs = ⟨formula-to-string φ; symbols-to-string us⟩
      define u where u = symbols-to-string us
      have length us = p (length x)
        using us(2) φ(1) p-def by simp
      then have 1: length u = p (length x)
        using u-def by simp

      have f ⟨x, u⟩ = symbols-to-string (verify-sat ⟨x; u⟩)
        using f-def by simp
      also have ... = symbols-to-string (verify-sat ?zs)
        using φ(1) u-def by simp
      also have ... = symbols-to-string [1]
        using us(3) by simp
      also have ... = [1]
        by simp
      finally have f ⟨x, u⟩ = [1] .
      then show ?thesis
        using 1 by auto
    next
    case False
    define u where u = replicate (length x) 0
    then have 1: length u = p (length x)

```

```

    using p-def by simp
  have f ⟨x, u⟩ = symbols-to-string (verify-sat ⟨x; u⟩)
    using f-def by simp
  also have ... = symbols-to-string [1]
    using verify-sat-not-wf-phi False by simp
  also have ... = [1]
    by simp
  finally have f ⟨x, u⟩ = [1] .
  then show ?thesis
    using 1 by auto
qed
show x ∈ SAT if ex: ∃ u. length u = p (length x) ∧ f ⟨x, u⟩ = [1]
proof (rule ccontr)
  assume notin: x ∉ SAT
  then obtain φ where φ: x = formula-to-string φ ∧ satisfiable φ
    using SAT-def by auto
  obtain u where u: length u = p (length x) ∧ f ⟨x, u⟩ = [1]
    using ex by auto
  have f ⟨x, u⟩ = symbols-to-string (verify-sat ⟨x; u⟩)
    using f-def by simp
  also have ... = symbols-to-string (verify-sat (formula-to-string φ; u))
    using φ(1) by simp
  also have ... = symbols-to-string []
    using verify-sat-not-sat φ(2) by simp
  also have ... = []
    by simp
  finally have f ⟨x, u⟩ = [] .
  then show False
    using u(2) by simp
qed
qed
qed
ultimately show ?thesis
  using complexity-class-NP-def by fast
qed
end

```

# Chapter 5

## Obliviousness

In order to show that **SAT** is  $\mathcal{NP}$ -hard we will eventually show how to reduce an arbitrary language  $L \in \mathcal{NP}$  to **SAT**. The proof can only use properties of  $L$  common to all languages in  $\mathcal{NP}$ . The definition of  $\mathcal{NP}$  provides us with a verifier Turing machine  $M$  for  $L$ , of which we only know that it is running in polynomial time. In addition by lemma *NP-output-len-1* we can assume that  $M$  outputs a single bit symbol. In this chapter we are going to show that we can make additional assumptions about  $M$ , namely:

1.  $M$  has only two tapes.
2.  $M$  halts on  $\langle x, u \rangle$  with the output tape head on the symbol **1** iff.  $u$  is a certificate for  $x$ .
3.  $M$  is *oblivious*, which means that on any input  $x$  the head positions of  $M$  on all its tapes depend only on the *length* of  $x$ , not on the symbols in  $x$  [2, Remark 1.7].

These additional properties will somewhat simplify the reduction of  $L$  to **SAT**, more precisely the construction of the CNF formulas (see Chapter 6).

In order to achieve this goal we will show how to simulate any polynomial-time multi-tape TM in polynomial time on a two-tape oblivious TM that halts with the output tape head on cell 1.

Given a polynomial-time  $k$ -tape TM  $M$ , the basic approach is to construct a two-tape TM that encodes the  $k$  tapes of  $M$  on its output tape in such a way that every cell encodes  $k$  symbols of  $M$  and flags for  $M$ 's tape heads. This is the same idea as used by Dalvit and Thiemann [5] and originally Hartmanis and Stearns [9] for simulating a multi-tape TM on a single-tape TM. After all our two-tape simulator can only properly use a single tape (the output/work tape). This simulator has roughly a quadratic running time overhead and so keeps the running time polynomial. However, it is not generally an oblivious TM.

To make the simulator TM oblivious, we have it initially “format” a section on the output tape that is long enough to hold everything  $M$  is going to write and whose length only depends on the input length. To simulate one step of  $M$ , the simulator then sweeps its output tape head all the way from the start of the tape to the end of the formatted space and back again, moving one cell per step. During these sweeps it executes one step of the simulation of  $M$ . Since the size of the formatted space only depends on the input length, the simulator performs the same head movements on inputs of the same length, resulting in an oblivious behavior. Moreover, it is easy to make it halt with the output tape head on cell number 1.

The formatter TM is described in Section 5.2. The simulator TM is then constructed in Section 5.3. Finally Section 5.4 states the main result of this chapter.

Before any of this, however, we have to define some basic concepts surrounding obliviousness.

### 5.1 Oblivious Turing machines

```
theory Oblivious
  imports Memorizing
begin
```

This section provides us with the tools for showing that a Turing machine is oblivious and for combining oblivious TMs into more complex oblivious TMs.

So far our analysis of Turing machines involved their semantics and running time bounds. For this we mainly used the *transforms* predicate, which relates a start configuration and a halting configuration and

an upper bound for the running time of a TM to transit from the one configuration to the other. To deal with obliviousness, we need to look more closely and inspect the sequence of tape head positions during the TM's execution, rather than only the running time.

The subsections in this section roughly correspond to Sections 2.1 to 2.5. In the first subsection we introduce a predicate *trace* analogous to *transforms* and show its behavior under sequential composition of TMs and loops (we will not need branches). The next subsection shows the head position sequences for those few elementary TMs from Section 2.4 that we need for our more complex oblivious TMs later. These constructions will also heavily use the memorization-in-states technique from Section 2.5, which we adapt to this chapter's needs in the final subsection.

### 5.1.1 Traces and head positions

In order to show that a Turing machine is oblivious we need to keep track of its head positions. Consider a machine  $M$  that transits from a configuration  $cfg1$  to a configuration  $cfg2$  in  $t$  steps. We call the sequence of head positions on the first two tapes a *trace*. If we ignore the initial head positions, the length of a trace equals  $t$ . Moreover we will only consider traces where  $M$  either does not halt or halts in the very last step. These two properties mean, for example, that we can simply concatenate a trace of a TM that halts and trace of another TM and get the trace of the sequential execution of both TMs. Similarly, analysing while loops is simplified by these two extra assumptions. The next predicate defines what it means for a list  $es$  to be a trace.

**definition** *trace* :: machine  $\Rightarrow$  config  $\Rightarrow$  (nat  $\times$  nat) list  $\Rightarrow$  config  $\Rightarrow$  bool **where**

```

trace M cfg1 es cfg2  $\equiv$ 
  execute M cfg1 (length es) = cfg2  $\wedge$ 
  ( $\forall i < \text{length } es. \text{fst } (\text{execute M cfg1 } i) < \text{length } M$ )  $\wedge$ 
  ( $\forall i < \text{length } es. \text{execute M cfg1 } (\text{Suc } i) < \# > 0 = \text{fst } (es ! i)$ )  $\wedge$ 
  ( $\forall i < \text{length } es. \text{execute M cfg1 } (\text{Suc } i) < \# > 1 = \text{snd } (es ! i)$ )

```

We will consider traces for machines with more than two tapes, too, but only for auxiliary constructions in combination with the memorizing-in-states technique. Therefore our definition is limited to start configurations with two tapes. A machine is *oblivious* if there is a function mapping the input length to the trace that takes the machine from the start configuration with that input to a halting configuration.

**definition** *oblivious* :: machine  $\Rightarrow$  bool **where**

```

oblivious M  $\equiv \exists e.
  (\forall zs. \text{bit-symbols } zs \longrightarrow (\exists tps. \text{trace M } (\text{start-config } 2 \text{ } zs) (e (\text{length } zs)) (\text{length } M, tps)))$ 
```

**lemma** *trace-Nil*: trace M cfg [] cfg  
**unfolding** *trace-def* **by** *simp*

**lemma** *traceI*:

```

assumes execute M (q1, tps1) (length es) = (q2, tps2)
and  $\bigwedge i. i < \text{length } es \implies \text{fst } (\text{execute M } (q1, tps1) i) < \text{length } M$ 
and  $\bigwedge i. i < \text{length } es \implies$ 
  execute M (q1, tps1) (Suc i) <#> 0 = fst (es ! i)  $\wedge$ 
  execute M (q1, tps1) (Suc i) <#> 1 = snd (es ! i)
shows trace M (q1, tps1) es (q2, tps2)
using trace-def assms by simp

```

**lemma** *traceI'*:

```

assumes execute M cfg1 (length es) = cfg2
and  $\bigwedge i. i < \text{length } es \implies \text{fst } (\text{execute M cfg1 } i) < \text{length } M$ 
and  $\bigwedge i. i < \text{length } es \implies$ 
  execute M cfg1 (Suc i) <#> 0 = fst (es ! i)  $\wedge$ 
  execute M cfg1 (Suc i) <#> 1 = snd (es ! i)
shows trace M cfg1 es cfg2
using trace-def assms by simp

```

**lemma** *trace-additive*:

```

assumes trace M (q1, tps1) es1 (q2, tps2) and trace M (q2, tps2) es2 (q3, tps3)
shows trace M (q1, tps1) (es1 @ es2) (q3, tps3)

```

**proof** (*rule* *traceI*)

```

let ?es = es1 @ es2
show execute M (q1, tps1) (length (es1 @ es2)) = (q3, tps3)
  using trace-def assms by (simp add: execute-additive)
show fst (execute M (q1, tps1) i) < length M if i < length ?es for i
proof (cases i < length es1)
  case True
  then show ?thesis
    using that assms(1) trace-def by simp
next
case False
have execute M (q1, tps1) (length es1 + (i - length es1)) = execute M (q2, tps2) (i - length es1)
  using execute-additive that assms(1) trace-def by blast
then have *: execute M (q1, tps1) i = execute M (q2, tps2) (i - length es1)
  using False by simp
have i - length es1 < length es2
  using that False by simp
then have fst (execute M (q2, tps2) (i - length es1)) < length M
  using assms(2) trace-def by simp
then show ?thesis
  using * by simp
qed
show execute M (q1, tps1) (Suc i) <#> 0 = fst (?es ! i) ∧
  execute M (q1, tps1) (Suc i) <#> 1 = snd (?es ! i)
  if i < length ?es for i
proof (cases i < length es1)
  case True
  then show ?thesis
    using that assms(1) trace-def by (simp add: nth-append)
next
case False
have execute M (q1, tps1) (length es1 + (Suc i - length es1)) = execute M (q2, tps2) (Suc i - length es1)
  using execute-additive that assms(1) trace-def by blast
then have *: execute M (q1, tps1) (Suc i) = execute M (q2, tps2) (Suc (i - length es1))
  using False by (simp add: Suc-diff-le)
have i - length es1 < length es2
  using that False by simp
then have execute M (q2, tps2) (Suc (i - length es1)) <#> 0 = fst (es2 ! (i - length es1))
  and execute M (q2, tps2) (Suc (i - length es1)) <#> 1 = snd (es2 ! (i - length es1))
  using assms(2) trace-def by simp-all
then show ?thesis
  using * by (simp add: False nth-append)
qed
qed

```

**lemma trace-additive':**

```

assumes trace M cfg1 es1 cfg2 and trace M cfg2 es2 cfg3
shows trace M cfg1 (es1 @ es2) cfg3
using trace-additive assms by (metis prod.collapse)

```

We mostly consider traces from the start state to the halting state, for which we introduce the next predicate.

**definition traces :: machine  $\Rightarrow$  tape list  $\Rightarrow$  (nat  $\times$  nat) list  $\Rightarrow$  tape list  $\Rightarrow$  bool** where  
traces M tps1 es tps2  $\equiv$  trace M (0, tps1) es (length M, tps2)

The relation between *traces* and *trace* is like that between *transforms* and *transits*.

**lemma tracesI [intro]:**

```

assumes execute M (0, tps1) (length es) = (length M, tps2)
and  $\bigwedge i. i < \text{length } es \implies \text{fst } (\text{execute } M (0, tps1) i) < \text{length } M$ 
and  $\bigwedge i. i < \text{length } es \implies$ 
  execute M (0, tps1) (Suc i) <#> 0 = fst (es ! i) ∧
  execute M (0, tps1) (Suc i) <#> 1 = snd (es ! i)
shows traces M tps1 es tps2
using traces-def trace-def assms by simp

```

**lemma** *traces-additive*:  
**assumes** *trace M (0, tps1) es1 (0, tps2)*  
**and** *traces M tps2 es2 tps3*  
**shows** *traces M tps1 (es1 @ es2) tps3*  
**using** *assms traces-def trace-additive by simp*

**lemma** *execute-trace-append*:  
**assumes** *trace M1 (0, tps1) es1 (length M1, tps2) (is trace - ?cfg1 - -)*  
**and** *t ≤ length es1*  
**shows** *execute (M1 @ M2) (0, tps1) t = execute M1 (0, tps1) t*  
**(is execute ?M - - = -)**  
**using** *assms(2)*  
**proof** (*induction t*)  
**case** *0*  
**then show** *?case*  
**by** *simp*  
**next**  
**case** (*Suc t*)  
**then have** *t < length es1*  
**by** *simp*  
**then have** *1: fst (execute M1 ?cfg1 t) < length M1*  
**using** *traces-def trace-def assms(1) by simp*  
**have** *2: length ?M = length M1 + length M2*  
**using** *length-turing-machine-sequential by simp*  
**have** *execute ?M ?cfg1 (Suc t) = exe ?M (execute ?M ?cfg1 t)*  
**by** *simp*  
**also have** *... = exe ?M (execute M1 ?cfg1 t) (is - = exe - ?cfg)*  
**using** *Suc by simp*  
**also have** *... = sem (?M ! (fst ?cfg)) ?cfg*  
**using** *1 2 exe-def by simp*  
**also have** *... = sem (M1 ! (fst ?cfg)) ?cfg*  
**using** *1 by (simp add: nth-append turing-machine-sequential-def)*  
**also have** *... = exe M1 (execute M1 ?cfg1 t)*  
**using** *exe-def 1 by simp*  
**also have** *... = execute M1 ?cfg1 (Suc t)*  
**by** *simp*  
**finally show** *?case .*  
**qed**

## 5.1.2 Increasing the number of tapes

This is lemma *transforms-append-tapes* adapted for *traces*.

**lemma** *traces-append-tapes*:  
**assumes** *turing-machine 2 G M and length tps1 = 2 and traces M tps1 es tps2*  
**shows** *traces (append-tapes 2 (2 + length tps') M) (tps1 @ tps') es (tps2 @ tps')*  
**proof**  
**let** *?M = append-tapes 2 (2 + length tps') M*  
**show** *execute ?M (0, tps1 @ tps') (length es) = (length ?M, tps2 @ tps')*  
**proof** –  
**have** *execute M (0, tps1) (length es) = (length M, tps2)*  
**using** *assms(3) by (simp add: trace-def traces-def)*  
**moreover have** *execute ?M (0, tps1 @ tps') (length es) =*  
*(fst (execute M (0, tps1) (length es)), snd (execute M (0, tps1) (length es)) @ tps')*  
**using** *execute-append-tapes'[OF assms(1–2)] by simp*  
**ultimately show** *?thesis*  
**by** (*simp add: length-append-tapes*)  
**qed**  
**show** *fst (execute ?M (0, tps1 @ tps') i) < length ?M if i < length es for i*  
**proof** –  
**have** *fst (execute M (0, tps1) i) < length M*  
**using** *that assms(3) trace-def traces-def by blast*  
**then show** *fst (execute ?M (0, tps1 @ tps') i) < length ?M*

```

    by (metis (no-types) assms(1,2) execute-append-tapes' fst-conv length-append-tapes)
qed
show snd (execute ?M (0, tps1 @ tps') (Suc i)) :# 0 = fst (es ! i) ∧
    snd (execute ?M (0, tps1 @ tps') (Suc i)) :# 1 = snd (es ! i)
  if i < length es for i
proof -
  have snd (execute ?M (0, tps1 @ tps') (Suc i)) = snd (execute M (0, tps1) (Suc i)) @ tps'
    using execute-append-tapes' assms by (metis snd-conv)
  moreover have ||execute M (0, tps1) (Suc i)|| = 2
    using assms(1,2) by (metis execute-num-tapes snd-conv)
  ultimately show ?thesis
    using that assms by (simp add: nth-append trace-def traces-def)
qed
qed

```

### 5.1.3 Combining Turing machines

Traces for sequentially composed Turing machines are just concatenated traces of the individual machines.

**lemma** *traces-sequential*:

```

  assumes traces M1 tps1 es1 tps2 and traces M2 tps2 es2 tps3
  shows traces (M1 ;; M2) tps1 (es1 @ es2) tps3
proof
  let ?M = M1 ;; M2
  let ?cfg1 = (0, tps1)
  let ?cfg1' = (length M1, tps2)
  let ?cfg2 = (0, tps2)
  let ?cfg2' = (length M2, tps3)
  let ?es = es1 @ es2
  have 3: execute M1 ?cfg1 (length es1) = ?cfg1'
    using assms(1) traces-def trace-def by simp
  have fst ?cfg1 = 0
    by simp
  have 4: execute M2 ?cfg2 (length es2) = ?cfg2'
    using assms(2) traces-def trace-def by auto
  have ?cfg1' = ?cfg2 <+> length M1
    by simp
  have 2: length ?M = length M1 + length M2
    using length-turing-machine-sequential by simp
  have t-le: execute ?M ?cfg1 t = execute M1 ?cfg1 t if t ≤ length es1 for t
    using that
  proof (induction t)
    case 0
    then show ?case
      by simp
  next
    case (Suc t)
    then have t < length es1
      by simp
    then have 1: fst (execute M1 ?cfg1 t) < length M1
      using traces-def trace-def assms(1) by simp
    have execute ?M ?cfg1 (Suc t) = exe ?M (execute ?M ?cfg1 t)
      by simp
    also have ... = exe ?M (execute M1 ?cfg1 t) (is - = exe - ?cfg)
      using Suc by simp
    also have ... = sem (?M ! (fst ?cfg)) ?cfg
      using 1 2 exe-def by simp
    also have ... = sem (M1 ! (fst ?cfg)) ?cfg
      using 1 by (simp add: nth-append turing-machine-sequential-def)
    also have ... = exe M1 (execute M1 ?cfg1 t)
      using exe-def 1 by simp
    also have ... = execute M1 ?cfg1 (Suc t)
      by simp
  finally show ?case .

```



```

qed
have t-ge: execute ?M ?cfg1 (length es1 + t) = execute M2 ?cfg2 t <+> length M1
  if t ≤ length es2 for t
  using that
proof (induction t)
  case 0
  then show ?case
    using t-le 3 by simp
next
case (Suc t)
have execute ?M ?cfg1 (length es1 + Suc t) = execute ?M ?cfg1 (Suc (length es1 + t))
  by simp
also have ... = exe ?M (execute ?M ?cfg1 (length es1 + t))
  by simp
also have ... = exe ?M (execute M2 ?cfg2 t <+> length M1)
  (is - = exe - (?cfg <+> -))
  using Suc by simp
also have ... = (exe M2 (execute M2 ?cfg2 t)) <+> length M1
  using exe-relocate by simp
also have ... = execute M2 ?cfg2 (Suc t) <+> length M1
  by simp
finally show ?case .
qed
show fst (execute ?M ?cfg1 i) < length ?M if i < length ?es for i
proof (cases i < length es1)
  case True
  then show ?thesis
    using t-le assms(1) traces-def trace-def 2 by auto
next
case False
then obtain i' where i = length es1 + i' i' ≤ length es2
  by (metis ⟨i < length (es1 @ es2)⟩ add-diff-inverse-nat add-le-cancel-left length-append less-or-eq-imp-le)
then show ?thesis
  using t-ge assms(2) traces-def trace-def that 2 by simp
qed
show execute ?M ?cfg1 (length ?es) = (length ?M, tps3)
  by (simp add: 2 4 t-ge)
show execute ?M ?cfg1 (Suc i) <#> 0 = fst (?es ! i) ∧
  execute ?M ?cfg1 (Suc i) <#> 1 = snd (?es ! i)
  if i < length ?es for i
proof (cases i < length es1)
  case True
  then have Suc i ≤ length es1
    by simp
  then have execute ?M ?cfg1 (Suc i) = execute M1 ?cfg1 (Suc i)
    using t-le by blast
  then show ?thesis
    using assms(1) traces-def trace-def by (simp add: True nth-append)
next
case False
have 8: i - length es1 < length es2
  using False that by simp
with False have Suc i - length es1 ≤ length es2
  by simp
then have execute ?M ?cfg1 (Suc i) = execute M2 ?cfg2 (Suc i - length es1) <+> length M1
  using t-ge False by fastforce
moreover have ?es ! i = es2 ! (i - length es1)
  by (simp add: False nth-append)
moreover have execute M2 ?cfg2 (Suc i) <#> 0 = fst (es2 ! i) ∧
  execute M2 ?cfg2 (Suc i) <#> 1 = snd (es2 ! i) if i < length es2 for i
  using that assms(2) traces-def trace-def by simp
ultimately show ?thesis
  by (metis 8 False Nat.add-diff-assoc le-less-linear plus-1-eq-Suc snd-conv)

```

qed  
qed

Next we show how to derive traces for machines created by the *WHILE* operation. If the condition is false, the trace of the loop is the trace for the machine computing the condition plus a singleton trace for the jump.

**lemma** *tm-loop-sem-false-trace*:

**assumes** *traces M1 tps0 es1 tps1*  
**and**  $\neg \text{cond (read tps1)}$

**shows** *trace*

(*WHILE M1 ; cond DO M2 DONE*)  
(0, tps0)  
(*es1 @ [(tps1 :#: 0, tps1 :#: 1)]*)  
(*length M1 + length M2 + 2, tps1*)

(**is trace** ?M - -)

**proof** (*rule traceI*)

**let** ?C1 = *M1*

**let** ?C2 = [*cmd-jump cond (length M1 + 1) (length M1 + length M2 + 2)*]

**let** ?C3 = [*relocate (length M1 + 1) M2*]

**let** ?C4 = [*cmd-jump ( $\lambda\cdot$ . True) 0 0*]

**let** ?C34 = ?C3 @ ?C4

**have** *parts*: ?M = ?C1 @ ?C2 @ ?C3 @ ?C4

**using** *turing-machine-loop-def* **by** *simp*

**then have** 1: ?M ! (*length M1*) = *cmd-jump cond (length M1 + 1) (length M1 + length M2 + 2)*

**by** *simp*

**let** ?es = *es1 @ [(tps1 :#: 0, tps1 :#: 1)]*

**show** *goal1*: *execute ?M (0, tps0) (length ?es) = (length M1 + length M2 + 2, tps1)*

**proof** -

**have** *execute ?M (0, tps0) (length es1) = execute M1 (0, tps0) (length es1)*

**using** *execute-trace-append assms* **by** (*simp add: traces-def turing-machine-loop-def*)

**then have** 2: *execute ?M (0, tps0) (length es1) = (length M1, tps1)*

**using** *assms trace-def traces-def* **by** *simp*

**have** *execute ?M (0, tps0) (length ?es) = execute ?M (0, tps0) (Suc (length es1))*

**by** *simp*

**also have** ... = *exe ?M (execute ?M (0, tps0) (length es1))*

**by** *simp*

**also have** ... = *exe ?M (length M1, tps1)*

**using** 2 **by** *simp*

**also have** ... = *(cmd-jump cond (length M1 + 1) (length M1 + length M2 + 2)) (length M1, tps1)*

**by** (*simp add: 1 exe-lt-length turing-machine-loop-len*)

**also have** ... = *(length M1 + length M2 + 2, tps1)*

**using** *assms(2) sem-jump* **by** *simp*

**finally show** ?thesis .

qed

**show** *fst (execute ?M (0, tps0) i) < length ?M* **if** *i < length ?es* **for** *i*

**proof** (*cases i < length es1*)

**case** *True*

**then have** *execute ?M (0, tps0) i = execute M1 (0, tps0) i*

**using** *execute-trace-append assms parts* **by** (*simp add: traces-def*)

**then show** ?thesis

**using** *assms(1) trace-def traces-def True turing-machine-loop-len* **by** *auto*

**next**

**case** *False*

**with that have** *i = length es1*

**by** *simp*

**then show** ?thesis

**using** *assms(1) trace-def traces-def turing-machine-loop-len*

**by** (*simp add: execute-trace-append parts*)

qed

**show** *execute ?M (0, tps0) (Suc i) <#> 0 = fst (?es ! i)  $\wedge$*

*execute ?M (0, tps0) (Suc i) <#> 1 = snd (?es ! i)*

**if** *i < length ?es* **for** *i*

**proof** (*cases i < length es1*)

```

case True
then have Suc i ≤ length es1
  by simp
then have execute ?M (0, tps0) (Suc i) = execute M1 (0, tps0) (Suc i)
  using execute-trace-append assms parts by (metis traces-def)
then show ?thesis
  using assms(1) trace-def traces-def True by (simp add: nth-append)
next
case False
with that have Suc i = length ?es
  by simp
then show ?thesis
  using goal1 by simp
qed
qed

```

**lemma** *tm-loop-sem-false-traces:*

```

assumes traces M1 tps0 es1 tps1
  and  $\neg$  cond (read tps1)
  and es = es1 @ [(tps1 :#: 0, tps1 :#: 1)]
shows traces (WHILE M1 ; cond DO M2 DONE) tps0 es tps1
using tm-loop-sem-false-trace assms traces-def turing-machine-loop-len by fastforce

```

If the loop condition evaluates to true, the trace of one iteration is the concatenation of the traces of the condition machine and the loop body machine with two additional singleton traces for the jumps.

**lemma** *tm-loop-sem-true-traces:*

```

assumes traces M1 tps0 es1 tps1
  and traces M2 tps1 es2 tps2
  and cond (read tps1)
shows trace
  (WHILE M1 ; cond DO M2 DONE)
  (0, tps0)
  (es1 @ [(tps1 :#: 0, tps1 :#: 1)] @ es2 @ [(tps2 :#: 0, tps2 :#: 1)])
  (0, tps2)
  (is trace ?M - ?es -)

```

**proof** (*rule traceI*)

```

let ?C1 = M1
let ?C2 = [cmd-jump cond (length M1 + 1) (length M1 + length M2 + 2)]
let ?C3 = relocate (length M1 + 1) M2
let ?C4 = [cmd-jump (λ-. True) 0 0]
let ?C34 = ?C3 @ ?C4
have parts: ?M = ?C1 @ ?C2 @ ?C3 @ ?C4
  using turing-machine-loop-def by simp
then have 1: ?M ! (length M1) = cmd-jump cond (length M1 + 1) (length M1 + length M2 + 2)
  by simp
from parts have parts': ?M = ((?C1 @ ?C2) @ ?C3) @ ?C4
  by simp
have len-M: length ?M = length M1 + length M2 + 2
  using turing-machine-loop-len assms by simp
have len-es: length ?es = length es1 + length es2 + 2
  by simp

```

```

have exec-1: execute ?M (0, tps0) t = execute M1 (0, tps0) t if  $t \leq \text{length } es1$  for  $t$ 
  using execute-trace-append assms by (simp add: parts that traces-def)

```

```

have exec-2: execute ?M (0, tps0) (length es1 + 1) = (length M1 + 1, tps1)

```

**proof** –

```

have execute ?M (0, tps0) (length es1) = execute M1 (0, tps0) (length es1)
  using execute-trace-append assms by (simp add: traces-def turing-machine-loop-def)
then have 2: execute ?M (0, tps0) (length es1) = (length M1, tps1)
  using assms trace-def traces-def by simp
have execute ?M (0, tps0) (length es1 + 1) = execute ?M (0, tps0) (Suc (length es1))
  by simp

```

**also have** ... = *exe* ?*M* (*execute* ?*M* (0, *tps0*) (*length es1*))  
**by** *simp*  
**also have** ... = *exe* ?*M* (*length M1*, *tps1*)  
**using** 2 **by** *simp*  
**also have** ... = *sem* (*cmd-jump cond* (*length M1* + 1) (*length M1* + *length M2* + 2)) (*length M1*, *tps1*)  
**by** (*simp add: 1 exe-ll-length turing-machine-loop-len*)  
**also have** ... = (*length M1* + 1, *tps1*)  
**using** *assms(3)* *sem-jump* **by** *simp*  
**finally show** ?*thesis* .  
**qed**

**have** *exec-3'*: *execute* ?*M* (0, *tps0*) (*length es1* + 1 + *t*) = *execute* *M2* (0, *tps1*) *t* <+==> (*length M1* + 1)  
**if** *t* ≤ *length es2* **for** *t*  
**using** *that*  
**proof** (*induction t*)  
**case** 0  
**then show** ?*case*  
**using** *exec-2* **by** *simp*  
**next**  
**case** (*Suc t*)  
**then have** 2: *fst* (*execute* *M2* (0, *tps1*) *t*) < *length M2*  
**using** *assms(2)* *trace-def traces-def* **by** *simp*  
**then have** 3: *fst* (*execute* *M2* (0, *tps1*) *t* <+==> (*length M1* + 1)) < *length M1* + *length M2* + 1  
**by** *simp*  
**have** 4: *fst* (*execute* *M2* (0, *tps1*) *t* <+==> (*length M1* + 1)) ≥ *length M1* + 1  
**by** *simp*

**have** ?*M* = (?*C1* @ ?*C2*) @ (?*C3* @ ?*C4*)  
**using** *parts* **by** *simp*  
**moreover have** *length* (?*C1* @ ?*C2*) = *length M1* + 1  
**by** *simp*  
**ultimately have** ?*M* ! *i* = (?*C3* @ ?*C4*) ! (*i* - (*length M1* + 1))  
**if** *i* ≥ *length M1* + 1 **and** *i* < *length M1* + *length M2* + 1 **for** *i*  
**using** *that* **by** (*simp add: nth-append*)  
**then have** ?*M* ! *i* = ?*C3* ! (*i* - (*length M1* + 1))  
**if** *i* ≥ *length M1* + 1 **and** *i* < *length M1* + *length M2* + 1 **for** *i*  
**using** *that* **by** (*simp add: length-relocate less-diff-conv2 nth-append*)  
**with** 3 4 **have** ?*M* ! (*fst* (*execute* *M2* (0, *tps1*) *t* <+==> (*length M1* + 1))) =  
?*C3* ! ((*fst* (*execute* *M2* (0, *tps1*) *t* <+==> (*length M1* + 1))) - (*length M1* + 1))  
**by** *simp*  
**then have** *in-C3*: ?*M* ! (*fst* (*execute* *M2* (0, *tps1*) *t* <+==> (*length M1* + 1))) =  
?*C3* ! ((*fst* (*execute* *M2* (0, *tps1*) *t*))  
**by** *simp*

**have** *execute* ?*M* (0, *tps0*) (*length es1* + 1 + *Suc t*) = *execute* ?*M* (0, *tps0*) (*Suc* (*length es1* + 1 + *t*))  
**by** *simp*  
**also have** ... = *exe* ?*M* (*execute* ?*M* (0, *tps0*) (*length es1* + 1 + *t*))  
**by** *simp*  
**also have** ... = *exe* ?*M* (*execute* *M2* (0, *tps1*) *t* <+==> (*length M1* + 1))  
(*is - = exe* ?*M* ?*cfg*)  
**using** *Suc* **by** *simp*  
**also have** ... = *sem* (?*M* ! (*fst* ?*cfg*)) ?*cfg*  
**using** *exe-def 3 len-M* **by** *simp*  
**also have** ... = *sem* (?*C3* ! (*fst* (*execute* *M2* (0, *tps1*) *t*))) (*execute* *M2* (0, *tps1*) *t*)  
**using** *in-C3 sem* **by** *simp*  
**also have** ... = *sem* (*M2* ! (*fst* (*execute* *M2* (0, *tps1*) *t*))) (*execute* *M2* (0, *tps1*) *t*) <+==> (*length M1* + 1)  
**using** *sem-relocate 2* **by** *simp*  
**also have** ... = *exe* *M2* (*execute* *M2* (0, *tps1*) *t*) <+==> (*length M1* + 1)  
**by** (*simp add: 2 exe-def*)  
**also have** ... = (*execute* *M2* (0, *tps1*) (*Suc t*)) <+==> (*length M1* + 1)  
**by** *simp*  
**finally show** ?*case* .  
**qed**

**then have** *exec-3*: *execute* ?*M* (0, *tps0*) *t* = *execute* *M2* (0, *tps1*) (*t* - (*length es1* + 1)) <+> (*length M1* + 1)

**if** *t* ≥ *length es1* + 1 **and** *t* ≤ *length es1* + *length es2* + 1 **for** *t*

**using** *that*

**by** (*smt* (*verit*) *Nat.add-diff-assoc2* *Nat.diff-diff-right* *add-diff-cancel-left'* *add-diff-cancel-right'* *le-Suc-ex* *le-add2*)

**have** *exec-4*: *execute* ?*M* (0, *tps0*) (*length es1* + *length es2* + 2) = (0, *tps2*)

**proof** -

**have** *execute* ?*M* (0, *tps0*) (*length es1* + *length es2* + 2) = *execute* ?*M* (0, *tps0*) (*Suc* (*length es1* + *length es2* + 1))

**by** *simp*

**also have** ... = *exe* ?*M* (*execute* ?*M* (0, *tps0*) (*length es1* + *length es2* + 1))

**by** *simp*

**also have** ... = *exe* ?*M* (*execute* *M2* (0, *tps1*) (*length es2*) <+> (*length M1* + 1))

(*is* - = *exe* ?*M* ?*cfg*)

**using** *exec-3'* **by** *simp*

**also have** ... = *sem* (?*M* ! (*fst* ?*cfg*)) ?*cfg*

**using** *exe-def* *assms(2)* *len-M* *trace-def* *traces-def* **by** *auto*

**also have** ... = *sem* (*cmd-jump* (λ-. *True*) 0 0) ?*cfg*

**proof** -

**have** *fst* ?*cfg* = *length M1* + *length M2* + 1

**using** *assms(2)* *len-M* *trace-def* *traces-def* **by** *simp*

**then have** ?*M* ! (*fst* ?*cfg*) = *cmd-jump* (λ-. *True*) 0 0

**by** (*metis* (*no-types*, *lifting*) *add.right-neutral* *add-Suc-right* *length-Cons*

*list.size(3)* *nth-append-length* *nth-append-length-plus* *parts plus-1-eq-Suc* *length-relocate*)

**then show** ?*thesis*

**by** *simp*

**qed**

**also have** ... = (0, *tps2*)

**using** *assms(2)* *sem-jump* *trace-def* *traces-def* **by** *auto*

**finally show** ?*thesis*

**by** *simp*

**qed**

**show** *execute* ?*M* (0, *tps0*) (*length ?es*) = (0, *tps2*)

**using** *exec-4* **by** *auto*

**show** *fst* (*execute* ?*M* (0, *tps0*) *i*) < *length* ?*M*

**if** *i* < *length ?es* **for** *i*

**proof** -

**consider**

*i* < *length es1*

| *i* = *length es1*

| *i* ≥ *length es1* + 1 **and** *i* ≤ *length es1* + *length es2* + 1

| *i* = *length es1* + *length es2* + 2

**using** <*i* < *length ?es*> **by** *fastforce*

**then show** ?*thesis*

**proof** (*cases*)

**case** 1

**then have** *fst* (*execute* ?*M* (0, *tps0*) *i*) = *fst* (*execute* *M1* (0, *tps0*) *i*)

**using** *exec-1* **by** *simp*

**moreover have** ∀ *i* < *length es1*. *fst* (*execute* *M1* (0, *tps0*) *i*) < *length M1*

**using** *assms* *trace-def* *traces-def* **by** *simp*

**ultimately have** *fst* (*execute* ?*M* (0, *tps0*) *i*) < *length M1*

**using** 1 **by** *simp*

**then show** ?*thesis*

**using** *len-M* **by** *simp*

**next**

**case** 2

**then have** *fst* (*execute* ?*M* (0, *tps0*) *i*) = *fst* (*execute* *M1* (0, *tps0*) *i*)

**using** *exec-1* **by** *simp*

**moreover have** *execute* *M1* (0, *tps0*) (*length es1*) = (*length M1*, *tps1*)

**using** *assms* *trace-def* *traces-def* **by** *simp*

```

ultimately show ?thesis
  using 2 by (simp add: len-M)
next
case 3
then have eq: execute ?M (0, tps0) i = execute M2 (0, tps1) (i - (length es1 + 1)) <+==> (length M1
+ 1)
  using exec-3 by simp
have a:  $\forall i < \text{length } es2. \text{fst } (\text{execute } M2 (0, tps1) i) < \text{length } M2$ 
  using assms(2) trace-def traces-def that by simp
have b:  $\text{fst } (\text{execute } M2 (0, tps1) (\text{length } es2)) = \text{length } M2$ 
  using assms(2) trace-def traces-def that by simp
have  $i - (\text{length } es1 + 1) \leq \text{length } es2$ 
  using 3 by simp
then have  $\text{fst } (\text{execute } M2 (0, tps1) (i - (\text{length } es1 + 1))) \leq \text{length } M2$ 
  using a b that using le-eq-less-or-eq by auto
then have  $\text{fst } (\text{execute } M2 (0, tps1) (i - (\text{length } es1 + 1))) <+==> (\text{length } M1 + 1) < \text{length } ?M$ 
  by (simp add: len-M)
then show ?thesis
  using eq by simp
next
case 4
then show ?thesis
  using exec-4 using len-es that by linarith
qed
qed
show execute ?M (0, tps0) (Suc i) <#> 0 = fst (?es ! i)  $\wedge$ 
  execute ?M (0, tps0) (Suc i) <#> 1 = snd (?es ! i)
  if  $i < \text{length } ?es$  for i
proof -
consider
   $i < \text{length } es1$ 
|  $i = \text{length } es1$ 
|  $i \geq \text{length } es1 + 1$  and  $i < \text{length } es1 + \text{length } es2 + 1$ 
|  $i = \text{length } es1 + \text{length } es2 + 1$ 
  using <i < length ?es> by fastforce
then show ?thesis
proof (cases)
case 1
then have  $\text{Suc } i \leq \text{length } es1$ 
  by simp
then have execute ?M (0, tps0) (Suc i) = execute M1 (0, tps0) (Suc i)
  using exec-1 by blast
then show ?thesis
  using assms(1) trace-def traces-def by (simp add: 1 nth-append)
next
case 2
then have execute ?M (0, tps0) (Suc i) = (length M1 + 1, tps1)
  using exec-2 by simp
then show ?thesis
  using 2 by simp
next
case 3
then have Suc-i:  $\text{Suc } i \geq \text{length } es1 + 1$   $\text{Suc } i \leq \text{length } es1 + \text{length } es2 + 1$ 
  by simp-all
then have *: execute ?M (0, tps0) (Suc i) =
  execute M2 (0, tps1) (Suc i - (length es1 + 1)) <+==> (length M1 + 1)
  using exec-3 by blast
from 3 have i:  $i - (\text{length } es1 + 1) < \text{length } es2$  (is ?j < length es2)
  by simp
then have **: execute M2 (0, tps1) (Suc ?j) <#> 0 = fst (es2 ! ?j)  $\wedge$ 
  execute M2 (0, tps1) (Suc ?j) <#> 1 = snd (es2 ! ?j)
  using assms(2) trace-def traces-def by simp
have ((es1 @ [(tps1 :#: 0, tps1 :#: 1)]) @ es2) ! i = es2 ! ?j

```

```

    using i 3 by (simp add: nth-append)
  then have es2 ! ?j = ?es ! i
    by (metis Suc-eq-plus1 append.assoc i length-append-singleton nth-append)
  then show ?thesis
    using * ** using 3(1) Suc-diff-le by fastforce
next
case 4
then have execute ?M (0, tps0) (Suc i) = (0, tps2)
  using exec-4 by simp
then show ?thesis
  by (simp add: 4 nth-append)
qed
qed
qed

```

```

lemma tm-loop-sem-true-tracesI:
  assumes traces M1 tps0 es1 tps1
    and traces M2 tps1 es2 tps2
    and cond (read tps1)
    and es = es1 @ [(tps1 :#: 0, tps1 :#: 1)] @ es2 @ [(tps2 :#: 0, tps2 :#: 1)]
  shows trace (WHILE M1 ; cond DO M2 DONE) (0, tps0) es (0, tps2)
  using assms tm-loop-sem-true-traces by blast

```

Combining traces for  $m$  iterations of a loop. Typically  $m$  will be the total number of iterations.

```

lemma tm-loop-trace-simple:
  fixes m :: nat
  and M :: machine
  and tps :: nat  $\Rightarrow$  tape list
  and es :: nat  $\Rightarrow$  (nat  $\times$  nat) list
  assumes  $\bigwedge i. i < m \implies \text{trace } M (0, tps i) (es i) (0, tps (Suc i))$ 
  shows trace M (0, tps 0) (concat (map es [0.. $m$ ])) (0, tps m)
  using assms trace-Nil trace-additive by (induction m) simp-all

```

For simple loops, where we have an upper bound for the length of traces independent of the iteration, there is a trivial upper bound for the length of the trace of  $m$  iterations. This is the only situation we will encounter.

```

lemma length-concat-le:
  assumes  $\bigwedge i. i < m \implies \text{length } (es i) \leq b$ 
  shows length (concat (map es [0.. $m$ ]))  $\leq m * b$ 
  using assms
proof (induction m)
  case 0
  then show ?case
    by simp
next
case (Suc m)
  have length (concat (map es [0.. $Suc m$ ])) = length (concat (map es [0.. $m$ ])) + length (es m)
    by simp
  also have ...  $\leq m * b + \text{length } (es m)$ 
    using Suc by simp
  also have ...  $\leq m * b + b$ 
    using Suc by simp
  also have ... = (Suc m) * b
    by simp
  finally show ?case .
qed

```

#### 5.1.4 Traces for elementary Turing machines

Just like the not necessarily oblivious Turing machines considered so far, our oblivious Turing machines will be built from elementary ones from Section 2.4. In this subsection we show the traces of all the elementary machines we will need.

**lemma** *tm-left-0-traces*:  
**assumes**  $\text{length } tps > 1$   
**shows** *traces*  
 $(tm\text{-left } 0)$   
 $tps$   
 $[(tps :\#: 0 - 1, tps :\#: 1)]$   
 $(tps[0:=fst (tps ! 0), snd (tps ! 0) - 1])]$   
**proof** –  
**from** *assms* **have**  $\text{length } tps > 0$   
**by** *auto*  
**with** *assms* **show** *?thesis*  
**using** *execute-tm-left* *assms* *tm-left-def* **by** (*intro tracesI*) *simp-all*  
**qed**

**lemma** *traces-tm-left-0I*:  
**assumes**  $\text{length } tps > 1$   
**and**  $es = [(tps :\#: 0 - 1, tps :\#: 1)]$   
**and**  $tps' = (tps[0:=fst (tps ! 0), snd (tps ! 0) - 1])]$   
**shows** *traces*  $(tm\text{-left } 0)$   $tps$   $es$   $tps'$   
**using** *tm-left-0-traces* *assms* **by** *simp*

**lemma** *tm-left-1-traces*:  
**assumes**  $\text{length } tps > 1$   
**shows** *traces*  
 $(tm\text{-left } 1)$   
 $tps$   
 $[(tps :\#: 0, tps :\#: 1 - 1)]$   
 $(tps[1:=fst (tps ! 1), snd (tps ! 1) - 1])]$   
**proof** –  
**from** *assms* **have**  $\text{length } tps > 0$   
**by** *auto*  
**with** *assms* **show** *?thesis*  
**using** *execute-tm-left* *assms* *tm-left-def* **by** (*intro tracesI*) *simp-all*  
**qed**

**lemma** *traces-tm-left-1I*:  
**assumes**  $\text{length } tps > 1$   
**and**  $es = [(tps :\#: 0, tps :\#: 1 - 1)]$   
**and**  $tps' = (tps[1:=fst (tps ! 1), snd (tps ! 1) - 1])]$   
**shows** *traces*  $(tm\text{-left } 1)$   $tps$   $es$   $tps'$   
**using** *tm-left-1-traces* *assms* **by** *simp*

**lemma** *tm-right-0-traces*:  
**assumes**  $\text{length } tps > 1$   
**shows** *traces*  
 $(tm\text{-right } 0)$   
 $tps$   
 $[(tps :\#: 0 + 1, tps :\#: 1)]$   
 $(tps[0:=fst (tps ! 0), snd (tps ! 0) + 1])]$   
**proof** –  
**from** *assms* **have**  $\text{length } tps > 0$   
**by** *auto*  
**with** *assms* **show** *?thesis*  
**using** *execute-tm-right* *assms* *tm-right-def* **by** (*intro tracesI*) *simp-all*  
**qed**

**lemma** *traces-tm-right-0I*:  
**assumes**  $\text{length } tps > 1$   
**and**  $es = [(tps :\#: 0 + 1, tps :\#: 1)]$   
**and**  $tps' = (tps[0:=fst (tps ! 0), snd (tps ! 0) + 1])]$   
**shows** *traces*  $(tm\text{-right } 0)$   $tps$   $es$   $tps'$   
**using** *tm-right-0-traces* *assms* **by** *simp*



**lemma** *tm-right-1-traces*:  
**assumes**  $\text{length } tps > 1$   
**shows** *traces*  
 $(\text{tm-right } 1)$   
 $tps$   
 $[(tps \text{ :\#}: 0, tps \text{ :\#}: 1 + 1)]$   
 $(tps[1 := (\text{fst } (tps ! 1), \text{snd } (tps ! 1) + 1)])$   
**proof** –  
**from** *assms* **have**  $\text{length } tps > 0$   
**by** *auto*  
**with** *assms* **show** *?thesis*  
**using** *execute-tm-right* *assms* *tm-right-def* **by** (*intro tracesI*) *simp-all*  
**qed**

**lemma** *tm-rtrans-1-traces*:  
**assumes**  $1 < \text{length } tps$   
**shows** *traces*  
 $(\text{tm-rtrans } 1 f)$   
 $tps$   
 $[(tps \text{ :\#}: 0, tps \text{ :\#}: 1 + 1)]$   
 $(tps[1 := tps ! 1 \text{ |:=| } f (tps \text{ :: } 1) \text{ |+| } 1])$   
**using** *execute-tm-rtrans* *assms* *tm-rtrans-def* **by** (*intro tracesI*) *simp-all*

**lemma** *traces-tm-right-1I*:  
**assumes**  $\text{length } tps > 1$   
**and**  $es = [(tps \text{ :\#}: 0, tps \text{ :\#}: 1 + 1)]$   
**and**  $tps' = (tps[1 := (\text{fst } (tps ! 1), \text{snd } (tps ! 1) + 1)])$   
**shows** *traces*  $(\text{tm-right } 1) tps es tps'$   
**using** *tm-right-1-traces* *assms* **by** *simp*

**lemma** *traces-tm-rtrans-1I*:  
**assumes**  $1 < \text{length } tps$   
**and**  $es = [(tps \text{ :\#}: 0, tps \text{ :\#}: 1 + 1)]$   
**and**  $tps' = (tps[1 := tps ! 1 \text{ |:=| } f (tps \text{ :: } 1) \text{ |+| } 1])$   
**shows** *traces*  $(\text{tm-rtrans } 1 f) tps es tps'$   
**using** *tm-rtrans-1-traces* *assms* **by** *simp*

**lemma** *tm-left-until-1-traces*:  
**assumes**  $\text{length } tps > 1$  **and** *begin-tape*  $H (tps ! 1)$   
**shows** *traces*  
 $(\text{tm-left-until } H 1)$   
 $tps$   
 $(\text{map } (\lambda i. (tps \text{ :\#}: 0, i)) (\text{rev } [0..<tps \text{ :\#}: 1]) @ [(tps \text{ :\#}: 0, 0)])$   
 $(tps[1 := tps ! 1 \text{ |\#|= } 0])$   
**proof**  
**let**  $?es = \text{map } (\lambda i. (tps \text{ :\#}: 0, i)) (\text{rev } [0..<tps \text{ :\#}: 1]) @ [(tps \text{ :\#}: 0, 0)]$   
**show**  $\text{execute } (\text{tm-left-until } H 1) (0, tps) (\text{length } ?es) = (\text{length } (\text{tm-left-until } H 1), tps[1 := tps ! 1 \text{ |\#|= } 0])$   
**using** *execute-tm-left-until* *assms* *tm-left-until-def* **by** *simp*  
**show**  $\bigwedge i. i < \text{length } ?es \implies \text{fst } (\text{execute } (\text{tm-left-until } H 1) (0, tps) i) < \text{length } (\text{tm-left-until } H 1)$   
**using** *execute-tm-left-until-less* *assms* *tm-left-until-def* **by** *simp*  
**show**  $\text{execute } (\text{tm-left-until } H 1) (0, tps) (\text{Suc } i) <\#\> 0 = \text{fst } (?es ! i) \wedge$   
 $\text{execute } (\text{tm-left-until } H 1) (0, tps) (\text{Suc } i) <\#\> 1 = \text{snd } (?es ! i)$   
**if**  $i < \text{length } ?es$  **for**  $i$   
**proof** (*cases*  $i < tps \text{ :\#}: 1$ )  
**case** *True*  
**then** **have**  $i: \text{Suc } i \leq tps \text{ :\#}: 1$   
**by** *simp*  
**then** **have**  $\text{execute } (\text{tm-left-until } H 1) (0, tps) (\text{Suc } i) = (0, tps[1 := tps ! 1 \text{ |-| } \text{Suc } i])$   
**using** *execute-tm-left-until-less* *assms* **by** *presburger*  
**moreover** **have**  $?es ! i = (tps \text{ :\#}: 0, tps \text{ :\#}: 1 - \text{Suc } i)$   
**proof** –  
**have**  $?es ! i = (\text{map } (\lambda i. (tps \text{ :\#}: 0, i)) (\text{rev } [0..<tps \text{ :\#}: 1])) ! i$   
**using** *True* **by** (*simp add: nth-append*)

```

moreover have (rev [0..tps :#: 1]) ! i = tps :#: 1 - Suc i
  using True by (simp add: rev-nth)
ultimately show ?thesis
  using True by simp
qed
ultimately show ?thesis
  using assms(1) by simp
next
case False
then have i = tps :#: 1
  using that by simp
then have execute (tm-left-until H 1) (0, tps) (Suc (tps :#: 1)) = (1, tps[1 := tps ! 1 |#|= 0])
  using execute-tm-left-until assms by simp
then have execute (tm-left-until H 1) (0, tps) (Suc i) = (1, tps[1 := tps ! 1 |#|= 0])
  using i by simp
moreover have ?es ! i = (tps :#: 0, 0)
  using i by (metis diff-zero length-map length-rev length-upt nth-append-length)
ultimately show ?thesis
  using assms(1) by simp
qed
qed

lemma traces-tm-left-until-1I:
assumes length tps > 1
  and begin-tape H (tps ! 1)
  and es = map (λi. (tps :#: 0, i)) (rev [0..tps :#: 1]) @ [(tps :#: 0, 0)]
  and tps' = tps[1 := tps ! 1 |#|= 0]
shows traces (tm-left-until H 1) tps es tps'
using tm-left-until-1-traces assms by simp

lemma tm-left-until-0-traces:
assumes length tps > 1 and begin-tape H (tps ! 0)
shows traces
  (tm-left-until H 0)
  tps
  (map (λi. (i, tps :#: 1)) (rev [0..tps :#: 0]) @ [(0, tps :#: 1)])
  (tps[0 := tps ! 0 |#|= 0])

proof
have len: length tps > 0
  using assms(1) by auto
let ?es = map (λi. (i, tps :#: 1)) (rev [0..tps :#: 0]) @ [(0, tps :#: 1)]
show execute (tm-left-until H 0) (0, tps) (length ?es) = (length (tm-left-until H 0), tps[0 := tps ! 0 |#|= 0])
  using execute-tm-left-until assms(2) tm-left-until-def len by simp
show ∧i. i < length ?es ⇒ fst (execute (tm-left-until H 0) (0, tps) i) < length (tm-left-until H 0)
  using execute-tm-left-until-less len assms(2) tm-left-until-def by simp
show execute (tm-left-until H 0) (0, tps) (Suc i) <#> 0 = fst (?es ! i) ∧
  execute (tm-left-until H 0) (0, tps) (Suc i) <#> 1 = snd (?es ! i)
  if i < length ?es for i
proof (cases i < tps :#: 0)
case True
then have i: Suc i ≤ tps :#: 0
  by simp
then have execute (tm-left-until H 0) (0, tps) (Suc i) = (0, tps[0 := tps ! 0 |-| Suc i])
  using execute-tm-left-until-less assms(2) len by blast
moreover have ?es ! i = (tps :#: 0 - Suc i, tps :#: 1)
proof -
have ?es ! i = (map (λi. (i, tps :#: 1)) (rev [0..tps :#: 0])) ! i
  using True by (simp add: nth-append)
moreover have (rev [0..tps :#: 0]) ! i = tps :#: 0 - Suc i
  using True by (simp add: rev-nth)
ultimately show ?thesis
  using True by simp
qed

```

```

ultimately show ?thesis
  using len by simp
next
case False
then have i: i = tps :#: 0
  using that by simp
then have execute (tm-left-until H 0) (0, tps) (Suc (tps :#: 0)) = (1, tps[0 := tps ! 0 |#|= 0])
  using execute-tm-left-until assms len by simp
then have execute (tm-left-until H 0) (0, tps) (Suc i) = (1, tps[0 := tps ! 0 |#|= 0])
  using i by simp
moreover have ?es ! i = (0, tps :#: 1)
  using i by (metis One-nat-def diff-Suc-1 diff-Suc-Suc length-map length-rev length-upt nth-append-length)
ultimately show ?thesis
  using len by simp
qed
qed

```

```

lemma traces-tm-left-until-0I:
  assumes length tps > 1
  and begin-tape H (tps ! 0)
  and es = map (λi. (i, tps :#: 1)) (rev [0..

```

```

lemma tm-start-0-traces:
  assumes length tps > 1 and clean-tape (tps ! 0)
  shows traces
    (tm-start 0)
    tps
    (map (λi. (i, tps :#: 1)) (rev [0..

```

```

lemma tm-start-1-traces:
  assumes length tps > 1 and clean-tape (tps ! 1)
  shows traces
    (tm-start 1)
    tps
    (map (λi. (tps :#: 0, i)) (rev [0..

```

```

lemma traces-tm-start-1I:
  assumes length tps > 1
  and clean-tape (tps ! 1)
  and es = map (λi. (tps :#: 0, i)) (rev [0..

```

```

lemma tm-cr-0-traces:
  assumes length tps > 1 and clean-tape (tps ! 0)

```

**shows traces**  
 (tm-cr 0)  
 tps  
 ((map (λi. (i, tps :#: 1)) (rev [0..<tps :#: 0]) @ [(0, tps :#: 1)]) @ [(1, tps :#: 1)])  
 (tps[0 := tps ! 0 |#|= 1])  
**unfolding** tm-cr-def  
**proof** (rule traces-sequential[where ?tps2.0=tps[0 := tps ! 0 |#|= 0]])  
**from** assms(1) **have** len: length tps > 0  
**by** auto  
**show** traces (tm-start 0) tps  
 (map (λi. (i, tps :#: 1)) (rev [0..<tps :#: 0]) @ [(0, tps :#: 1)])  
 (tps[0 := tps ! 0 |#|= 0])  
**using** assms tm-start-0-traces **by** simp  
**show** traces (tm-right 0) (tps[0 := tps ! 0 |#|= 0])  
 [(1, tps :#: 1)] (tps[0 := tps ! 0 |#|= 1])  
**using** assms tm-right-0-traces len  
**by** (smt (verit) One-nat-def add commute fst-conv length-list-update list-update-overwrite neq0-conv  
 nth-list-update-eq nth-list-update-neq plus-1-eq-Suc snd-conv zero-less-one)  
**qed**

**lemma** traces-tm-cr-0I:

**assumes** length tps > 1 **and** clean-tape (tps ! 0)  
**and** es = map (λi. (i, tps :#: 1)) (rev [0..<tps :#: 0]) @ [(0, tps :#: 1), (1, tps :#: 1)]  
**and** tps' = tps[0 := tps ! 0 |#|= 1]  
**shows** traces (tm-cr 0) tps es tps'  
**using** tm-cr-0-traces assms **by** simp

**lemma** tm-cr-1-traces:

**assumes** length tps > 1 **and** clean-tape (tps ! 1)  
**shows** traces  
 (tm-cr 1)  
 tps  
 ((map (λi. (tps :#: 0, i)) (rev [0..<tps :#: 1]) @ [(tps :#: 0, 0)]) @ [(tps :#: 0, 1)])  
 (tps[1 := tps ! 1 |#|= 1])  
**unfolding** tm-cr-def  
**proof** (rule traces-sequential[where ?tps2.0=tps[1 := tps ! 1 |#|= 0]])  
**show** traces (tm-start 1) tps  
 (map (Pair (tps :#: 0)) (rev [0..<tps :#: 1]) @ [(tps :#: 0, 0)])  
 (tps[1 := tps ! 1 |#|= 0])  
**using** assms tm-start-1-traces **by** simp  
**show** traces (tm-right 1) (tps[1 := tps ! 1 |#|= 0])  
 [(tps :#: 0, 1)] (tps[1 := tps ! 1 |#|= 1])  
**using** assms tm-right-1-traces  
**by** (smt (verit) One-nat-def add commute fst-conv length-list-update list-update-overwrite neq0-conv  
 nth-list-update-eq nth-list-update-neq plus-1-eq-Suc snd-conv zero-less-one)  
**qed**

**lemma** traces-tm-cr-1I:

**assumes** length tps > 1 **and** clean-tape (tps ! 1)  
**and** es = map (λi. (tps :#: 0, i)) (rev [0..<tps :#: 1]) @ [(tps :#: 0, 0), (tps :#: 0, 1)]  
**and** tps' = tps[1 := tps ! 1 |#|= 1]  
**shows** traces (tm-cr 1) tps es tps'  
**using** tm-cr-1-traces assms **by** simp

**lemma** heads-tm-trans-until-less:

**assumes** j1 < k j2 < k **and** length tps = k  
**and** rneigh (tps ! j1) H n  
**and** t ≤ n  
**shows** execute (tm-trans-until j1 j2 H f) (0, tps) t <#> j1 = tps :#: j1 + t  
**and** execute (tm-trans-until j1 j2 H f) (0, tps) t <#> j2 = tps :#: j2 + t  
**using** assms execute-tm-trans-until-less[OF assms]  
**by** ((metis (no-types, lifting) length-list-update nth-list-update-eq nth-list-update-neq sndI transplant-def),  
 (metis (no-types, lifting) length-list-update nth-list-update-eq sndI transplant-def))

**lemma** *heads-tm-ltrans-until-less*:  
**assumes**  $j1 < k$  **and**  $j2 < k$  **and**  $\text{length } tps = k$   
**and**  $\text{lneigh } (tps ! j1) H n$   
**and**  $t \leq n$   
**and**  $n \leq tps \text{ :\#} : j1$   
**and**  $n \leq tps \text{ :\#} : j2$   
**shows**  $\text{execute } (tm\text{-ltrans-until } j1 \ j2 \ H \ f) \ (0, \ tps) \ t <\#\> j1 = tps \text{ :\#} : j1 - t$   
**and**  $\text{execute } (tm\text{-ltrans-until } j1 \ j2 \ H \ f) \ (0, \ tps) \ t <\#\> j2 = tps \text{ :\#} : j2 - t$   
**using**  $\text{assms } \text{execute-tm-ltrans-until-less}[OF \ \text{assms}]$   
**by**  $((\text{metis } (\text{no-types}, \ \text{lifting}) \ \text{length-list-update } \text{nth-list-update-eq } \text{nth-list-update-neq } \text{sndI } \text{ltransplant-def}),$   
 $(\text{metis } (\text{no-types}, \ \text{lifting}) \ \text{length-list-update } \text{nth-list-update-eq } \text{sndI } \text{ltransplant-def}))$

**lemma** *heads-tm-trans-until-less'*:  
**assumes**  $j1 < k$  **and**  $j2 < k$  **and**  $\text{length } tps = k$   
**and**  $\text{rneigh } (tps ! j1) H n$   
**and**  $t \leq n$   
**and**  $j \neq j1$  **and**  $j \neq j2$   
**shows**  $\text{execute } (tm\text{-trans-until } j1 \ j2 \ H \ f) \ (0, \ tps) \ t <\#\> j = tps \text{ :\#} : j$   
**using**  $\text{assms } \text{execute-tm-trans-until-less}[OF \ \text{assms}(1-5)]$  **by** *simp*

**lemma** *heads-tm-ltrans-until-less'*:  
**assumes**  $j1 < k$  **and**  $j2 < k$  **and**  $\text{length } tps = k$   
**and**  $\text{lneigh } (tps ! j1) H n$   
**and**  $t \leq n$   
**and**  $n \leq tps \text{ :\#} : j1$   
**and**  $n \leq tps \text{ :\#} : j2$   
**and**  $j \neq j1$  **and**  $j \neq j2$   
**shows**  $\text{execute } (tm\text{-ltrans-until } j1 \ j2 \ H \ f) \ (0, \ tps) \ t <\#\> j = tps \text{ :\#} : j$   
**using**  $\text{assms } \text{execute-tm-ltrans-until-less}[OF \ \text{assms}(1-7)]$  **by** *simp*

**lemma** *heads-tm-trans-until*:  
**assumes**  $j1 < k$  **and**  $j2 < k$  **and**  $\text{length } tps = k$  **and**  $\text{rneigh } (tps ! j1) H n$   
**shows**  $\text{execute } (tm\text{-trans-until } j1 \ j2 \ H \ f) \ (0, \ tps) \ (\text{Suc } n) <\#\> j1 = tps \text{ :\#} : j1 + n$   
**and**  $\text{execute } (tm\text{-trans-until } j1 \ j2 \ H \ f) \ (0, \ tps) \ (\text{Suc } n) <\#\> j2 = tps \text{ :\#} : j2 + n$   
**using**  $\text{assms } \text{execute-tm-trans-until}[OF \ \text{assms}]$   
**by**  $((\text{metis } (\text{no-types}, \ \text{lifting}) \ \text{length-list-update } \text{nth-list-update-eq } \text{nth-list-update-neq } \text{snd-conv } \text{transplant-def}),$   
 $(\text{metis } (\text{no-types}, \ \text{lifting}) \ \text{length-list-update } \text{nth-list-update-eq } \text{snd-conv } \text{transplant-def}))$

**lemma** *heads-tm-ltrans-until*:  
**assumes**  $j1 < k$  **and**  $j2 < k$  **and**  $\text{length } tps = k$   
**and**  $\text{lneigh } (tps ! j1) H n$   
**and**  $n \leq tps \text{ :\#} : j1$   
**and**  $n \leq tps \text{ :\#} : j2$   
**shows**  $\text{execute } (tm\text{-ltrans-until } j1 \ j2 \ H \ f) \ (0, \ tps) \ (\text{Suc } n) <\#\> j1 = tps \text{ :\#} : j1 - n$   
**and**  $\text{execute } (tm\text{-ltrans-until } j1 \ j2 \ H \ f) \ (0, \ tps) \ (\text{Suc } n) <\#\> j2 = tps \text{ :\#} : j2 - n$   
**using**  $\text{assms } \text{execute-tm-ltrans-until}[OF \ \text{assms}]$   
**by**  $((\text{metis } (\text{no-types}, \ \text{lifting}) \ \text{length-list-update } \text{nth-list-update-eq } \text{nth-list-update-neq } \text{snd-conv } \text{ltransplant-def}),$   
 $(\text{metis } (\text{no-types}, \ \text{lifting}) \ \text{length-list-update } \text{nth-list-update-eq } \text{snd-conv } \text{ltransplant-def}))$

**lemma** *heads-tm-trans-until'*:  
**assumes**  $j1 < k$  **and**  $j2 < k$  **and**  $\text{length } tps = k$   
**and**  $\text{rneigh } (tps ! j1) H n$   
**and**  $j \neq j1$  **and**  $j \neq j2$   
**shows**  $\text{execute } (tm\text{-trans-until } j1 \ j2 \ H \ f) \ (0, \ tps) \ (\text{Suc } n) <\#\> j = tps \text{ :\#} : j$   
**using**  $\text{assms } \text{execute-tm-trans-until}[OF \ \text{assms}(1-4)]$  **by** *simp*

**lemma** *heads-tm-ltrans-until'*:  
**assumes**  $j1 < k$  **and**  $j2 < k$  **and**  $\text{length } tps = k$   
**and**  $\text{lneigh } (tps ! j1) H n$   
**and**  $n \leq tps \text{ :\#} : j1$   
**and**  $n \leq tps \text{ :\#} : j2$   
**and**  $j \neq j1$  **and**  $j \neq j2$

**shows**  $execute (tm\text{-}ltrans\text{-}until\ j1\ j2\ H\ f) (0, tps) (Suc\ n) <\#\> j = tps\ :\#\ : j$   
**using**  $assms\ execute\text{-}tm\text{-}ltrans\text{-}until[OF\ assms(1\text{-}6)]$  **by**  $simp$

**lemma**  $traces\text{-}tm\text{-}trans\text{-}until\text{-}11$ :

**assumes**  $1 < k$  **and**  $length\ tps = k$  **and**  $rneigh (tps\ !\ 1) H\ n$

**shows**  $traces (tm\text{-}trans\text{-}until\ 1\ 1\ H\ f)$

$tps$   
 $(map (\lambda i. (tps\ :\#\ : 0, tps\ :\#\ : 1 + Suc\ i)) [0..<n]) @ [(tps\ :\#\ : 0, tps\ :\#\ : 1 + n)])$   
 $(tps[1 := transplant (tps\ !\ 1) (tps\ !\ 1) f\ n])$

**proof**

**let**  $?es = map (\lambda i. (tps\ :\#\ : 0, tps\ :\#\ : 1 + Suc\ i)) [0..<n] @ [(tps\ :\#\ : 0, tps\ :\#\ : 1 + n)]$

**let**  $?tps = tps [1 := transplant (tps\ !\ 1) (tps\ !\ 1) f\ n]$

**let**  $?M = tm\text{-}trans\text{-}until\ 1\ 1\ H\ f$

**have**  $len: length\ ?es = Suc\ n$

**by**  $simp$

**show**  $execute\ ?M (0, tps) (length\ ?es) = (length\ ?M, ?tps)$

**using**  $tm\text{-}trans\text{-}until\text{-}def\ len\ execute\text{-}tm\text{-}trans\text{-}until\ assms$  **by**  $simp$

**show**  $fst (execute\ ?M (0, tps) i) < length\ ?M$  **if**  $i < length\ ?es$  **for**  $i$

**proof**  $-$

**from**  $that\ len$  **have**  $i \leq n$

**by**  $simp$

**then** **have**  $fst (execute\ ?M (0, tps) i) = 0$

**using**  $execute\text{-}tm\text{-}trans\text{-}until\text{-}less\ assms$  **by**  $simp$

**then** **show**  $?thesis$

**using**  $tm\text{-}trans\text{-}until\text{-}def$  **by**  $simp$

**qed**

**show**  $execute\ ?M (0, tps) (Suc\ i) <\#\> 0 = fst (?es\ !\ i) \wedge$

$execute\ ?M (0, tps) (Suc\ i) <\#\> 1 = snd (?es\ !\ i)$

**if**  $i < length\ ?es$  **for**  $i$

**proof**  $(cases\ i < n)$

**case**  $True$

**then** **have**  $?es\ !\ i = (tps\ :\#\ : 0, tps\ :\#\ : 1 + Suc\ i)$

**by**  $(simp\ add: nth\text{-}append)$

**moreover** **from**  $True$  **have**  $Suc\ i \leq n$

**by**  $simp$

**ultimately** **show**  $?thesis$

**using**  $heads\text{-}tm\text{-}trans\text{-}until\text{-}less'\ heads\text{-}tm\text{-}trans\text{-}until\text{-}less\ assms$

**by**  $(metis\ One\text{-}nat\text{-}def\ Suc\text{-}neq\text{-}Zero\ fst\text{-}conv\ snd\text{-}conv)$

**next**

**case**  $False$

**then** **have**  $i = n$

**using**  $that$  **by**  $simp$

**then** **have**  $?es\ !\ i = (tps\ :\#\ : 0, tps\ :\#\ : 1 + n)$

**by**  $(metis\ (no\text{-}types, lifting)\ diff\text{-}zero\ length\text{-}map\ length\text{-}upt\ nth\text{-}append\ length)$

**then** **show**  $?thesis$

**using**  $heads\text{-}tm\text{-}trans\text{-}until'\ heads\text{-}tm\text{-}trans\text{-}until\ assms\ \langle i = n \rangle$  **by**  $simp$

**qed**

**qed**

**lemma**  $traces\text{-}tm\text{-}ltrans\text{-}until\text{-}11$ :

**assumes**  $1 < k$  **and**  $length\ tps = k$  **and**  $lneigh (tps\ !\ 1) H\ n$  **and**  $n \leq tps\ :\#\ : 1$

**shows**  $traces (tm\text{-}ltrans\text{-}until\ 1\ 1\ H\ f)$

$tps$   
 $(map (\lambda i. (tps\ :\#\ : 0, tps\ :\#\ : 1 - Suc\ i)) [0..<n]) @ [(tps\ :\#\ : 0, tps\ :\#\ : 1 - n)])$   
 $(tps[1 := ltransplant (tps\ !\ 1) (tps\ !\ 1) f\ n])$

**proof**

**let**  $?es = map (\lambda i. (tps\ :\#\ : 0, tps\ :\#\ : 1 - Suc\ i)) [0..<n] @ [(tps\ :\#\ : 0, tps\ :\#\ : 1 - n)]$

**let**  $?tps = tps [1 := ltransplant (tps\ !\ 1) (tps\ !\ 1) f\ n]$

**let**  $?M = tm\text{-}ltrans\text{-}until\ 1\ 1\ H\ f$

**have**  $len: length\ ?es = Suc\ n$

**by**  $simp$

**show**  $execute\ ?M (0, tps) (length\ ?es) = (length\ ?M, ?tps)$

**using**  $tm\text{-}ltrans\text{-}until\text{-}def\ len\ execute\text{-}tm\text{-}ltrans\text{-}until\ assms$  **by**  $simp$

```

show fst (execute ?M (0, tps) i) <length ?M if i < length ?es for i
proof -
  from that len have i ≤ n
  by simp
  then have fst (execute ?M (0, tps) i) = 0
  using execute-tm-ltrans-until-less assms by simp
  then show ?thesis
  using tm-ltrans-until-def by simp
qed
show execute ?M (0, tps) (Suc i) <#> 0 = fst (?es ! i) ∧
  execute ?M (0, tps) (Suc i) <#> 1 = snd (?es ! i)
  if i < length ?es for i
proof (cases i < n)
  case True
  then have ?es ! i = (tps :# 0, tps :# 1 - Suc i)
  by (simp add: nth-append)
  moreover from True have Suc i ≤ n
  by simp
  ultimately show ?thesis
  using heads-tm-ltrans-until-less' heads-tm-ltrans-until-less assms
  by (metis One-nat-def Suc-neq-Zero fst-conv snd-conv)
next
  case False
  then have i = n
  using that by simp
  then have ?es ! i = (tps :# 0, tps :# 1 - n)
  by (metis (no-types, lifting) diff-zero length-map length-upt nth-append-length)
  then show ?thesis
  using heads-tm-ltrans-until' heads-tm-ltrans-until assms ⟨i = n⟩ by simp
qed
qed

lemma traces-tm-trans-until-01:
  assumes 0 < k and 1 < k and length tps = k and rneigh (tps ! 0) H n
  shows traces (tm-trans-until 0 1 H f)
  tps
  (map (λi. (tps :# 0 + Suc i, tps :# 1 + Suc i)) [0..<n] @ [(tps :# 0 + n, tps :# 1 + n)])
  (tps[0 := tps ! 0 |+| n, 1 := transplant (tps ! 0) (tps ! 1) f n])
proof
  let ?es = map (λi. (tps :# 0 + Suc i, tps :# 1 + Suc i)) [0..<n] @ [(tps :# 0 + n, tps :# 1 + n)]
  let ?tps = tps [0 := tps ! 0 |+| n, 1 := transplant (tps ! 0) (tps ! 1) f n]
  let ?M = tm-trans-until 0 1 H f
  have len: length ?es = Suc n
  by simp
  show execute ?M (0, tps) (length ?es) = (length ?M, ?tps)
  using tm-trans-until-def len execute-tm-trans-until[of 0 k 1] assms by simp
  show fst (execute ?M (0, tps) i) <length ?M if i < length ?es for i
  proof -
    from that len have i ≤ n
    by simp
    then have fst (execute ?M (0, tps) i) = 0
    using execute-tm-trans-until-less[of 0 k 1] assms by simp
    then show ?thesis
    using tm-trans-until-def by simp
  qed
  show execute ?M (0, tps) (Suc i) <#> 0 = fst (?es ! i) ∧
    execute ?M (0, tps) (Suc i) <#> 1 = snd (?es ! i)
    if i < length ?es for i
  proof (cases i < n)
    case True
    then have ?es ! i = (tps :# 0 + Suc i, tps :# 1 + Suc i)
    by (simp add: nth-append)
    moreover from True have Suc i ≤ n
  
```

```

    by simp
  ultimately show ?thesis
    using heads-tm-trans-until-less[of 0 k 1 tps H n Suc i f] assms by simp
next
  case False
  then have i = n
    using that by simp
  then have ?es ! i = (tps :#: 0 + n, tps :#: 1 + n)
    by (metis (no-types, lifting) diff-zero length-map length-upt nth-append-length)
  then show ?thesis
    using heads-tm-trans-until[of 0 k 1 tps H n f] assms ⟨i = n⟩ by simp
qed
qed

```

**lemma** *traces-tm-trans-until-01I*:

```

assumes 1 < length tps
and rneigh (tps ! 0) H n
and es = map (λi. (tps :#: 0 + Suc i, tps :#: 1 + Suc i)) [0..<n] @ [(tps :#: 0 + n, tps :#: 1 + n)]
and tps' = tps[0 := tps ! 0 |+| n, 1 := transplant (tps ! 0) (tps ! 1) f n]
shows traces (tm-trans-until 0 1 H f) tps es tps'
using assms traces-tm-trans-until-01 by simp

```

**lemma** *traces-tm-trans-until-11I*:

```

assumes 1 < length tps
and rneigh (tps ! 1) H n
and es = map (λi. (tps :#: 0, tps :#: 1 + Suc i)) [0..<n] @ [(tps :#: 0, tps :#: 1 + n)]
and tps' = tps[1 := transplant (tps ! 1) (tps ! 1) f n]
shows traces (tm-trans-until 1 1 H f) tps es tps'
using assms traces-tm-trans-until-11 by simp

```

**lemma** *traces-tm-ltrans-until-11I*:

```

assumes 1 < length tps and ∀ h < G. f h < G
and lneigh (tps ! 1) H n
and n ≤ tps :#: 1
and es = map (λi. (tps :#: 0, tps :#: 1 - Suc i)) [0..<n] @ [(tps :#: 0, tps :#: 1 - n)]
and tps' = tps[1 := ltransplant (tps ! 1) (tps ! 1) f n]
shows traces (tm-ltrans-until 1 1 H f) tps es tps'
using assms traces-tm-ltrans-until-11 by simp

```

**lemma** *traces-tm-const-until-01I*:

```

assumes 1 < length tps
and rneigh (tps ! 0) H n
and es = map (λi. (tps :#: 0 + Suc i, tps :#: 1 + Suc i)) [0..<n] @ [(tps :#: 0 + n, tps :#: 1 + n)]
and tps' = tps[0 := tps ! 0 |+| n, 1 := constplant (tps ! 1) h n]
shows traces (tm-const-until 0 1 H h) tps es tps'
using assms traces-tm-trans-until-01 tm-const-until-def constplant-transplant[of - - tps ! 0] by simp

```

**lemma** *traces-tm-const-until-11I*:

```

assumes 1 < length tps and h < G
and rneigh (tps ! 1) H n
and es = map (λi. (tps :#: 0, tps :#: 1 + Suc i)) [0..<n] @ [(tps :#: 0, tps :#: 1 + n)]
and tps' = tps[1 := constplant (tps ! 1) h n]
shows traces (tm-const-until 1 1 H h) tps es tps'
using assms traces-tm-trans-until-11 tm-const-until-def constplant-transplant[of - - tps ! 1] by simp

```

**lemma** *traces-tm-cp-until-01I*:

```

assumes 1 < length tps
and rneigh (tps ! 0) H n
and es = map (λi. (tps :#: 0 + Suc i, tps :#: 1 + Suc i)) [0..<n] @ [(tps :#: 0 + n, tps :#: 1 + n)]
and tps' = tps[0 := tps ! 0 |+| n, 1 := implant (tps ! 0) (tps ! 1) n]
shows traces (tm-cp-until 0 1 H) tps es tps'
using assms traces-tm-trans-until-01 tm-cp-until-def by simp

```



**lemma** *traces-tm-cp-until-1I*:  
**assumes**  $1 < \text{length } tps$   
**and**  $\text{rneigh } (tps ! 1) H n$   
**and**  $es = \text{map } (\lambda i. (tps :\# : 0, tps :\# : 1 + \text{Suc } i)) [0..<n] @ [(tps :\# : 0, tps :\# : 1 + n)]$   
**and**  $tps' = tps[1 := \text{implant } (tps ! 1) (tps ! 1) n]$   
**shows**  $\text{traces } (tm\text{-cp-until } 1\ 1\ H) tps\ es\ tps'$   
**using** *assms traces-tm-trans-until-11 tm-cp-until-def* **by** *simp*

**lemma** *traces-tm-right-until-1I*:  
**assumes**  $1 < \text{length } tps$   
**and**  $\text{rneigh } (tps ! 1) H n$   
**and**  $es = \text{map } (\lambda i. (tps :\# : 0, tps :\# : 1 + \text{Suc } i)) [0..<n] @ [(tps :\# : 0, tps :\# : 1 + n)]$   
**and**  $tps' = tps[1 := (tps ! 1) | + | n]$   
**shows**  $\text{traces } (tm\text{-right-until } 1\ H) tps\ es\ tps'$   
**using** *assms traces-tm-cp-until-11I tm-right-until-def implant-self* **by** *simp*

**lemma** *execute-tm-write*:  
**shows**  $\text{execute } (tm\text{-write } j\ h) (0, tps) 1 = (1, tps[j := tps ! j | := | h])$   
**using** *sem-cmd-write exe-lt-length tm-write-def* **by** *simp*

**lemma** *traces-tm-writeI*:  
**assumes**  $j > 0$  **and**  $j < \text{length } tps$   
**and**  $es = [(tps :\# : 0, tps :\# : 1)]$   
**and**  $tps' = tps[j := tps ! j | := | h]$   
**shows**  $\text{traces } (tm\text{-write } j\ h) tps\ es\ tps'$   
**using** *assms execute-tm-write tm-write-def* **by** (*intro tracesI*) (*auto simp add: nth-list-update*)

**corollary** *traces-tm-write-1I*:  
**assumes**  $1 < \text{length } tps$   
**and**  $es = [(tps :\# : 0, tps :\# : 1)]$   
**and**  $tps' = tps[1 := tps ! 1 | := | h]$   
**shows**  $\text{traces } (tm\text{-write } 1\ h) tps\ es\ tps'$   
**using** *assms traces-tm-writeI* **by** *simp*

**corollary** *traces-tm-write-ge2I*:  
**assumes**  $j \geq 2$   
**and**  $j < \text{length } tps$   
**and**  $es = [(tps :\# : 0, tps :\# : 1)]$   
**and**  $tps' = tps[j := tps ! j | := | h]$   
**shows**  $\text{traces } (tm\text{-write } j\ h) tps\ es\ tps'$   
**using** *assms traces-tm-writeI* **by** *simp*

**lemma** *execute-tm-write-manyI*:  
**assumes**  $0 \notin J$  **and**  $\forall j \in J. j < k$  **and**  $k \geq 2$  **and**  $\text{length } tps = k$   
**and**  $\text{length } tps' = k$   
**and**  $\bigwedge j. j \in J \implies tps' ! j = tps ! j | := | h$   
**and**  $\bigwedge j. j < k \implies j \notin J \implies tps' ! j = tps ! j$   
**shows**  $\text{execute } (tm\text{-write-many } J\ h) (0, tps) 1 = (1, tps')$   
**proof** –  
**have**  $tps' = \text{map } (\lambda j. \text{if } j \in J \text{ then } tps ! j | := | h \text{ else } tps ! j) [0..<\text{length } tps]$  (*is - = ?rhs*)  
**using** *assms* **by** (*intro nth-equalityI*) *simp-all*  
**then show** *?thesis*  
**using** *assms execute-tm-write-many* **by** *simp*  
**qed**

**lemma** *traces-tm-write-manyI*:  
**assumes**  $0 \notin J$  **and**  $\forall j \in J. j < k$  **and**  $k \geq 2$  **and**  $\text{length } tps = k$   
**and**  $\text{length } tps' = k$   
**and**  $\bigwedge j. j \in J \implies tps' ! j = tps ! j | := | h$   
**and**  $\bigwedge j. j < k \implies j \notin J \implies tps' ! j = tps ! j$   
**and**  $es = [(tps :\# : 0, tps :\# : 1)]$   
**shows**  $\text{traces } (tm\text{-write-many } J\ h) tps\ es\ tps'$   
**proof**

```

show execute (tm-write-many J h) (0, tps) (length es) = (length (tm-write-many J h), tps')
using execute-tm-write-manyI[OF assms(1-7)] tm-write-many-def assms(8) by simp
show  $\bigwedge i. i < \text{length } es \implies$ 
  fst (execute (tm-write-many J h) (0, tps) i) < length (tm-write-many J h)
using assms(8) tm-write-many-def by simp
show  $\bigwedge i. i < \text{length } es \implies$ 
  snd (execute (tm-write-many J h) (0, tps) (Suc i)) :# 0 = fst (es ! i)  $\wedge$ 
  snd (execute (tm-write-many J h) (0, tps) (Suc i)) :# 1 = snd (es ! i)
using execute-tm-write-manyI[OF assms(1-7)] tm-write-many-def assms(3,6,7,8)
by (metis One-nat-def Suc-1 Suc-lessD fst-conv length-Cons less-Suc0
  less-eq-Suc-le list.size(3) nth-Cons-0 snd-conv)
qed

```

**lemma** traces-tm-write-repeat-1I:

```

assumes 1 < length tps
and es = map ( $\lambda i. (tps :# 0, tps :# 1 + \text{Suc } i)$ ) [0.. $m$ ]
and tps' = tps[1 := overwrite (tps ! 1) h m]
shows traces (tm-write-repeat 1 h m) tps es tps'
proof
let ?M = tm-write-repeat 1 h m
have length es = m
using assms(2) by simp
moreover have length ?M = m
using tm-write-repeat-def by simp
ultimately show execute ?M (0, tps) (length es) = (length ?M, tps')
using assms by (simp add: execute-tm-write-repeat)
show  $\bigwedge i. i < \text{length } es \implies \text{fst } (\text{execute } ?M (0, tps) i) < \text{length } ?M$ 
using assms execute-tm-write-repeat tm-write-repeat-def by simp
show execute ?M (0, tps) (Suc i) <#> 0 = fst (es ! i)  $\wedge$ 
  execute ?M (0, tps) (Suc i) <#> 1 = snd (es ! i)
if i < length es for i
proof -
have Suc i  $\leq m$ 
using assms (length es = m) that by linarith
then have execute ?M (0, tps) (Suc i) = (Suc i, tps[1 := overwrite (tps ! 1) h (Suc i)])
using that execute-tm-write-repeat assms by blast
then show ?thesis
using overwrite-def assms(1,2) that by simp
qed
qed

```

### 5.1.5 Memorizing in states

We need some results for the traces of “cartesian” machines used for the memorizing-in-states technique introduced in Section 2.5.

**lemma** cartesian-trace:

```

assumes turing-machine (Suc k) G M
and immobile M k (Suc k)
and M' = cartesian M G
and k  $\geq 2$ 
and  $\forall i < \text{length } zs. zs ! i < G$ 
and trace M (start-config (Suc k) zs) es cfg
shows trace M' (start-config k zs) es (squish G (length M) cfg)
proof (rule traceI')
show execute M' (start-config k zs) (length es) = squish G (length M) cfg
using assms cartesian-execute-start-config trace-def by auto
have len: length M' = G * length M
by (simp add: assms(3) length-cartesian)
have G > 0
using assms(1) turing-machine-sequential-def by (simp add: turing-machine-def)
show fst (execute M' (start-config k zs) i) < length M'
if i < length es for i
proof (rule ccontr)

```

```

assume  $\neg \text{fst} (\text{execute } M' (\text{start-config } k \text{ } zs) i) < \text{length } M'$ 
then have  $\text{fst} (\text{execute } M' (\text{start-config } k \text{ } zs) i) \geq \text{length } M'$ 
  by simp
then have  $\text{fst} (\text{execute } M' (\text{start-config } k \text{ } zs) i) = \text{length } M'$ 
  using assms(1,3) cartesian-tm'
  by (metis (no-types, lifting) Suc-1 Suc-le-D assms(4) start-config-def start-config-length
    le-add2 le-add-same-cancel2 le-antisym less-Suc-eq-0-disj prod.sel(1) turing-machine-execute-states)
then have  $\text{fst} (\text{squish } G (\text{length } M) (\text{execute } M (\text{start-config } (Suc \ k) \text{ } zs) i)) = G * \text{length } M$ 
  using assms cartesian-execute-start-config len by simp
moreover have  $\text{fst} (\text{execute } M (\text{start-config } (Suc \ k) \text{ } zs) i) \leq \text{length } M$ 
  using assms(1) assms(6) that trace-def by auto
ultimately have  $\text{fst} (\text{execute } M (\text{start-config } (Suc \ k) \text{ } zs) i) = \text{length } M$ 
  using squish-halt-state <0 < G> by simp
then show False
  using that assms(6) trace-def by auto
qed
show  $\text{execute } M' (\text{start-config } k \text{ } zs) (Suc \ i) <\#\#> 0 = \text{fst} (es \ ! \ i) \wedge$ 
   $\text{execute } M' (\text{start-config } k \text{ } zs) (Suc \ i) <\#\#> 1 = \text{snd} (es \ ! \ i)$ 
  if  $i < \text{length } es$  for  $i$ 
proof (rule ccontr)
  assume  $a: \neg (\text{snd} (\text{execute } M' (\text{start-config } k \text{ } zs) (Suc \ i)) :\#\#: 0 = \text{fst} (es \ ! \ i) \wedge$ 
   $\text{snd} (\text{execute } M' (\text{start-config } k \text{ } zs) (Suc \ i)) :\#\#: 1 = \text{snd} (es \ ! \ i))$ 
  have  $*$ :  $\text{execute } M' (\text{start-config } k \text{ } zs) (Suc \ i) =$ 
   $\text{squish } G (\text{length } M) (\text{execute } M (\text{start-config } (Suc \ k) \text{ } zs) (Suc \ i))$ 
  using assms cartesian-execute-start-config by blast
then have  $\text{execute } M' (\text{start-config } k \text{ } zs) (Suc \ i) <\#\#> 0 =$ 
   $\text{squish } G (\text{length } M) (\text{execute } M (\text{start-config } (Suc \ k) \text{ } zs) (Suc \ i)) <\#\#> 0$ 
  by simp
also have  $\dots = \text{execute } M (\text{start-config } (Suc \ k) \text{ } zs) (Suc \ i) <\#\#> 0$ 
  using squish-head-pos assms execute-num-tapes start-config-length le-imp-less-Suc zero-less-Suc
  by presburger
also have  $\dots = \text{fst} (es \ ! \ i)$ 
  using that assms trace-def by simp
finally have  $\text{fst: execute } M' (\text{start-config } k \text{ } zs) (Suc \ i) <\#\#> 0 = \text{fst} (es \ ! \ i) .$ 

from  $*$  have  $\text{execute } M' (\text{start-config } k \text{ } zs) (Suc \ i) <\#\#> 1 =$ 
   $\text{squish } G (\text{length } M) (\text{execute } M (\text{start-config } (Suc \ k) \text{ } zs) (Suc \ i)) <\#\#> 1$ 
  by simp
also have  $\dots = \text{execute } M (\text{start-config } (Suc \ k) \text{ } zs) (Suc \ i) <\#\#> 1$ 
  using squish-head-pos assms execute-num-tapes start-config-length le-imp-less-Suc zero-less-Suc
  by presburger
also have  $\dots = \text{snd} (es \ ! \ i)$ 
  using that assms trace-def by simp
finally have  $\text{execute } M' (\text{start-config } k \text{ } zs) (Suc \ i) <\#\#> 1 = \text{snd} (es \ ! \ i) .$ 
then show False
  using  $\text{fst } a$  by simp
qed
qed

lemma cartesian-traces:
assumes turing-machine  $(Suc \ k) \ G \ M$ 
and immobile  $M \ k \ (Suc \ k)$ 
and  $M' = \text{cartesian } M \ G$ 
and  $k \geq 2$ 
and  $\forall i < \text{length } zs. zs \ ! \ i < G$ 
and traces  $M (\text{snd} (\text{start-config } (Suc \ k) \text{ } zs)) \ es \ tps$ 
shows traces  $M' (\text{snd} (\text{start-config } k \text{ } zs)) \ es \ (\text{butlast } tps)$ 
proof -
have trace  $M (\text{start-config } (Suc \ k) \text{ } zs) \ es \ (\text{length } M, \ tps)$ 
  using assms(6) traces-def by (simp add: start-config-def)
then have trace  $M' (\text{start-config } k \text{ } zs) \ es \ (\text{squish } G (\text{length } M) (\text{length } M, \ tps))$ 
  using assms cartesian-trace by simp
then show ?thesis

```

```

    using squish traces-def by (simp add: assms(3) start-config-def length-cartesian)
qed

lemma traces-tapes-length:
  assumes turing-machine k G M
    and length tps = k
    and traces M tps es tps'
  shows length tps' = k
  using assms traces-def execute-num-tapes by (metis snd-conv trace-def)

lemma icartesian:
  assumes turing-machine (k + 2) G M
    and  $\bigwedge j. j < k \implies \text{immobile } M (j + 2) (k + 2)$ 
    and  $\forall i < \text{length } zs. zs ! i < G$ 
    and traces M (snd (start-config (k + 2) zs)) es tps
  shows traces (icartesian k M G) (snd (start-config 2 zs)) es (take 2 tps)
  using assms(1,2,4)
proof (induction k arbitrary: M tps)
  case 0
  let ?M = icartesian 0 M G
  have ||start-config (0 + 2) zs|| = 2
    using 0 start-config-length by simp
  then have length tps = 2
    using 0 traces-tapes-length by (metis One-nat-def Suc-1 add-2-eq-Suc')
  then have tps = take 2 tps
    by simp
  then have traces ?M (snd (start-config 2 zs)) es (take 2 tps)
    using 0 by (metis icartesian.simps(1) plus-nat.add-0)
  then show ?case
    by auto
next
  case (Suc k)
  let ?M = cartesian M G
  have turing-machine (Suc (k + 2)) G M
    using Suc by simp
  moreover have immobile M (k + 2) (Suc (k + 2))
    using Suc by simp
  moreover have k + 2  $\geq$  2
    by simp
  moreover have traces M (snd (start-config (Suc (k + 2)) zs)) es tps
    using Suc by simp
  ultimately have *: traces ?M (snd (start-config (k + 2) zs)) es (butlast tps)
    using assms(3) cartesian-traces by simp

  have turing-machine (k + 2) G ?M
    using  $\langle 2 \leq k + 2 \rangle \langle \text{turing-machine } (Suc (k + 2)) G M \rangle$  cartesian-tm' by blast
  moreover have  $\bigwedge j. j < k \implies \text{immobile } ?M (j + 2) (k + 2)$ 
    using cartesian-immobile Suc by simp
  ultimately have traces (icartesian k ?M G) (snd (start-config 2 zs)) es (take 2 (butlast tps))
    using * Suc by simp
  moreover have take 2 (butlast tps) = take 2 tps
proof -
  have length tps = Suc k + 2
    using start-config-length traces-tapes-length Suc
    by (metis (mono-tags, lifting) add-gr-0 zero-less-Suc)
  then show ?thesis
    by (simp add: take-butlast)
qed
ultimately show ?case
  by simp
qed
end

```

## 5.2 Constructing polynomials in polynomial time

```
theory Oblivious-Polynomial
imports Oblivious
begin
```

Our current goal is to simulate a polynomial time multi-tape TM on a two-tape oblivious TM in polynomial time. To help with the obliviousness we first want to mark on the simulator's output tape a space that is at least as large as the space the simulated TM uses on any of its tapes but that still is only polynomial in size. In this section we construct oblivious Turing machines for that.

An upper bound for the size this space is provided by the simulated TM's running time, which by assumption is polynomially bounded. So for our purposes any polynomially bounded function that bounds the running time will do.

In this section we devise a family of two-tape oblivious TMs that contains for every polynomial degree  $d \geq 1$  a TM that writes  $\mathbf{1}^{p(n)}$  to the output tape for some polynomial  $p$  with  $p(n) \geq n^d$ , where  $n$  is the length of the input to the TM. Together with a TM that appends a constant number  $c$  of ones we get a family of TMs, parameterized by  $c$  and  $d$ , that runs in polynomial time and writes more than  $c + n^d$  symbols  $\mathbf{1}$  to the work tape.

This meets our goal for this section because every polynomially bounded function is bounded by a function of the form  $n \mapsto c + n^d$  for some  $c, d \in \mathbb{N}$ .

The TMs in the family are built from three building block TMs. The first TM initializes its output tape with  $\mathbf{1}^n$  where  $n$  is the length of the input. The second TM multiplies the number of symbols on the output tape by (roughly) the length of the input, turning a sequence  $\mathbf{1}^m$  into (roughly)  $\mathbf{1}^{mn}$  for arbitrary  $m$ . The third TM appends  $\mathbf{1}^c$  for some constant  $c$ . By repeating the second TM we can achieve arbitrarily high polynomial degrees.

All three TMs do essentially only one thing with the input, namely copying it to the output tape while mapping all symbols to  $\mathbf{1}$ , which is an operation that depends only on the length of the input. Therefore all three TMs are oblivious, and combining them also yields an oblivious TM.

The Turing machines require one extra symbol beyond the four default symbols, but work for all alphabet sizes  $G \geq 5$ .

```
locale turing-machine-poly =
  fixes  $G :: nat$ 
  assumes  $G: G \geq 5$ 
begin
```

```
lemma  $G\text{-ge4}$  [simp]:  $G \geq 4$ 
  using  $G$  by linarith
```

```
abbreviation  $tps\text{-ones} :: symbol\ list \Rightarrow nat \Rightarrow tape\ list$  where
   $tps\text{-ones}\ zs\ m \equiv$ 
     $[(\lfloor zs \rfloor, 1),$ 
      $(\lambda i. \text{if } i = 0 \text{ then } \triangleright \text{ else if } i \leq m \text{ then } \mathbf{1} \text{ else } \square, 1)]$ 
```

### 5.2.1 Initializing the output tape

The first building block is a TM that “copies” the input to the output tape, thereby replacing every symbol by the symbol  $\mathbf{1}$ .

```
definition  $tmA :: machine$  where
   $tmA \equiv$ 
     $tm\text{-right } 0 ;; tm\text{-right } 1 ;; tm\text{-const-until } 0\ 1\ \{\square\}\ \mathbf{1} ;; tm\text{-cr } 0 ;; tm\text{-cr } 1$ 
```

```
lemma  $tmA\text{-tm}$ : turing-machine 2  $G\ tmA$ 
  unfolding  $tmA\text{-def}$  using  $tm\text{-right-tm } tm\text{-const-until-tm } tm\text{-cr-tm } G$  by simp
```

```
definition  $tm1 \equiv tm\text{-right } 0$ 
definition  $tm2 \equiv tm1 ;; tm\text{-right } 1$ 
definition  $tm3 \equiv tm2 ;; tm\text{-const-until } 0\ 1\ \{\square\}\ \mathbf{1}$ 
definition  $tm4 \equiv tm3 ;; tm\text{-cr } 0$ 
```

**definition**  $tm5 \equiv tm4$  ;; *tm-cr 1*

**lemma**  $tm5\text{-eq-}tmA$ :  $tm5 = tmA$

**unfolding**  $tmA\text{-def}$   $tm5\text{-def}$   $tm4\text{-def}$   $tm3\text{-def}$   $tm2\text{-def}$   $tm1\text{-def}$  **by** *simp*

**definition**  $tps0$  :: *symbol list*  $\Rightarrow$  *tape list* **where**

$tps0\ zs \equiv$   
[[ $\lfloor zs \rfloor$ , 0],  
( $\lambda i$ . if  $i = 0$  then  $\triangleright$  else  $\square$ , 0)]

**lemma**  $length\text{-}tps0$  [*simp*]:  $length\ (tps0\ n) = 2$

**unfolding**  $tps0\text{-def}$  **by** *simp*

**definition**  $tps1$  :: *symbol list*  $\Rightarrow$  *tape list* **where**

$tps1\ zs \equiv$   
[[ $\lfloor zs \rfloor$ , 1],  
( $\lambda i$ . if  $i = 0$  then  $\triangleright$  else  $\square$ , 0)]

**definition**  $es1$  :: (*nat*  $\times$  *nat*) *list* **where**

$es1 \equiv [(1, 0)]$

**lemma**  $tm1$ : *traces*  $tm1$  ( $tps0\ zs$ )  $es1$  ( $tps1\ zs$ )

**unfolding**  $tm1\text{-def}$

**by** (*rule traces-tm-right-0I*) (*simp-all add: tps0-def tps1-def es1-def*)

**definition**  $tps2$  :: *symbol list*  $\Rightarrow$  *tape list* **where**

$tps2\ zs \equiv$   
[[ $\lfloor zs \rfloor$ , 1],  
( $\lambda i$ . if  $i = 0$  then  $\triangleright$  else  $\square$ , 1)]

**definition**  $es2$  :: (*nat*  $\times$  *nat*) *list* **where**

$es2 \equiv es1\ @\ [(1, 1)]$

**lemma**  $length\text{-}es2$ :  $length\ es2 = 2$

**unfolding**  $es1\text{-def}$   $es2\text{-def}$  **by** *simp*

**lemma**  $tm2$ : *traces*  $tm2$  ( $tps0\ zs$ )  $es2$  ( $tps2\ zs$ )

**unfolding**  $tm2\text{-def}$   $es2\text{-def}$

**proof** (*rule traces-sequential[OF tm1]*)

**show** *traces* (*tm-right 1*) ( $tps1\ zs$ ) [(1, 1)] ( $tps2\ zs$ )

**using**  $tps1\text{-def}$   $tps2\text{-def}$  **by** (*intro traces-tm-right-1I*) *simp-all*  
**qed**

**definition**  $tps3$  :: *symbol list*  $\Rightarrow$  *tape list* **where**

$tps3\ zs \equiv$   
[[ $\lfloor zs \rfloor$ ,  $length\ zs + 1$ ],  
( $\lambda i$ . if  $i = 0$  then  $\triangleright$  else if  $i \leq length\ zs$  then **1** else  $\square$ ,  $length\ zs + 1$ )]

**definition**  $es23$  :: *nat*  $\Rightarrow$  (*nat*  $\times$  *nat*) *list* **where**

$es23\ n \equiv map\ (\lambda i. (i + 2, i + 2))\ [0..<n]\ @\ [(n + 1, n + 1)]$

**definition**  $es3$  :: *nat*  $\Rightarrow$  (*nat*  $\times$  *nat*) *list* **where**

$es3\ n \equiv es2\ @\ (es23\ n)$

**lemma**  $length\text{-}es3$ :  $length\ (es3\ n) = n + 3$

**unfolding**  $es3\text{-def}$   $es23\text{-def}$  **using**  $length\text{-}es2$  **by** *simp*

**lemma**  $tm3$ :

**assumes** *proper-symbols*  $zs$

**shows** *traces*  $tm3$  ( $tps0\ zs$ ) ( $es3\ (length\ zs)$ ) ( $tps3\ zs$ )

**unfolding**  $tm3\text{-def}$   $es3\text{-def}$

**proof** (*rule traces-sequential[OF tm2]*)

**show** *traces* (*tm-const-until 0 1*  $\{\square\}$  **1**) ( $tps2\ zs$ ) ( $es23\ (length\ zs)$ ) ( $tps3\ zs$ )

**proof** (rule traces-tm-const-until-01I)  
**show**  $1 < \text{length } (\text{tps2 } zs)$   
**using** *tps2-def* **by** *simp*  
**show**  $\text{rneigh } (\text{tps2 } zs ! 0) \{\square\} (\text{length } zs)$   
**using** *rneigh-def contents-def tps2-def assms* **by** *auto*  
**show**  $\text{es23 } (\text{length } zs) =$   
 $\text{map } (\lambda i. (\text{tps2 } zs \text{ :\#}: 0 + \text{Suc } i, \text{tps2 } zs \text{ :\#}: 1 + \text{Suc } i))$   
 $[0..<\text{length } zs] @$   
 $[(\text{tps2 } zs \text{ :\#}: 0 + \text{length } zs, \text{tps2 } zs \text{ :\#}: 1 + \text{length } zs)]$   
**unfolding** *es23-def* **using** *tps2-def* **by** *simp*  
**show**  $\text{tps3 } zs = (\text{tps2 } zs)$   
 $[0 := \text{tps2 } zs ! 0 \mid + \mid \text{length } zs,$   
 $1 := \text{constplant } (\text{tps2 } zs ! 1) \mathbf{1} (\text{length } zs)]$   
**using** *tps3-def tps2-def constplant* **by** *auto*  
**qed**  
**qed**

**definition** *tps4* :: *symbol list*  $\Rightarrow$  *tape list* **where**  
 $\text{tps4 } zs \equiv$   
 $[(\lfloor zs \rfloor, 1),$   
 $(\lambda i. \text{if } i = 0 \text{ then } \triangleright \text{ else if } i \leq \text{length } zs \text{ then } \mathbf{1} \text{ else } \square, \text{length } zs + 1)]$

**definition** *es34* :: *nat*  $\Rightarrow$  (*nat*  $\times$  *nat*) *list* **where**  
 $\text{es34 } n \equiv \text{map } (\lambda i. (i, n + 1)) (\text{rev } [0..<n + 1]) @ [(0, n + 1)] @ [(1, n + 1)]$

**definition** *es4* :: *nat*  $\Rightarrow$  (*nat*  $\times$  *nat*) *list* **where**  
 $\text{es4 } n \equiv \text{es3 } n @ \text{es34 } n$

**lemma** *length-es4*:  $\text{length } (\text{es4 } n) = 2 * n + 6$   
**unfolding** *es4-def es34-def* **using** *length-es3* **by** *simp*

**lemma** *tm4*:  
**assumes** *proper-symbols* *zs*  
**shows** *traces tm4* (*tps0* *zs*) (*es4* (*length* *zs*)) (*tps4* *zs*)  
**unfolding** *tm4-def es4-def*  
**proof** (rule *traces-sequential*[*OF tm3*])  
**show** *proper-symbols* *zs*  
**using** *assms* .  
**show** *traces* (*tm-cr* 0) (*tps3* *zs*) (*es34* (*length* *zs*)) (*tps4* *zs*)  
**proof** (rule *traces-tm-cr-0I*)  
**show**  $1 < \text{length } (\text{tps3 } zs)$   
**using** *tps3-def* **by** *simp*  
**show** *clean-tape* (*tps3* *zs* ! 0)  
**using** *assms tps3-def* **by** *simp*  
**show**  $\text{es34 } (\text{length } zs) =$   
 $\text{map } (\lambda i. (i, \text{tps3 } zs \text{ :\#}: 1)) (\text{rev } [0..<\text{tps3 } zs \text{ :\#}: 0]) @$   
 $[(0, \text{tps3 } zs \text{ :\#}: 1), (1, \text{tps3 } zs \text{ :\#}: 1)]$   
**using** *tps3-def es34-def* **by** *simp*  
**show**  $\text{tps4 } zs = (\text{tps3 } zs)[0 := \text{tps3 } zs ! 0 \mid \# = \mid 1]$   
**using** *tps3-def tps4-def* **by** *simp*  
**qed**  
**qed**

**definition** *tps5* :: *symbol list*  $\Rightarrow$  *nat*  $\Rightarrow$  *tape list* **where**  
 $\text{tps5 } zs m \equiv \text{tps-ones } zs m$

**definition** *es45* :: *nat*  $\Rightarrow$  (*nat*  $\times$  *nat*) *list* **where**  
 $\text{es45 } n \equiv \text{map } (\lambda i. (1, i)) (\text{rev } [0..<n + 1]) @ [(1, 0)] @ [(1, 1)]$

**definition** *es5* :: *nat*  $\Rightarrow$  (*nat*  $\times$  *nat*) *list* **where**  
 $\text{es5 } n \equiv \text{es4 } n @ \text{es45 } n$

**lemma** *length-es5*:  $\text{length } (\text{es5 } n) = 3 * n + 9$

**unfolding** *es5-def es45-def* **using** *length-es4* **by** *simp*

**lemma** *tm5*:

**assumes** *proper-symbols zs*

**shows** *traces tm5 (tps0 zs) (es5 (length zs)) (tps5 zs (length zs))*

**unfolding** *tm5-def es5-def*

**proof** (*rule traces-sequential[OF tm4]*)

**show** *proper-symbols zs*

**using** *assms* .

**show** *traces (tm-cr 1) (tps4 zs) (es45 (length zs)) (tps5 zs (length zs))*

**proof** (*rule traces-tm-cr-1I*)

**show**  $1 < \text{length } (tps4 \text{ } zs)$

**using** *tps4-def* **by** *simp*

**show** *clean-tape (tps4 zs ! 1)*

**using** *tps4-def clean-tape-def* **by** *simp*

**show**  $es45 \text{ } (length \text{ } zs) =$

$\text{map } (Pair \text{ } (tps4 \text{ } zs \text{ } \# : 0)) \text{ } (rev \text{ } [0..<tps4 \text{ } zs \text{ } \# : 1]) \text{ } @$

$[(tps4 \text{ } zs \text{ } \# : 0, 0), (tps4 \text{ } zs \text{ } \# : 0, 1)]$

**using** *tps4-def* **by** (*simp add: es45-def*)

**show**  $tps5 \text{ } zs \text{ } (length \text{ } zs) = (tps4 \text{ } zs)[1 := tps4 \text{ } zs \text{ } ! 1 \text{ } | \# = | 1]$

**using** *tps4-def tps5-def* **by** *simp*

**qed**

**qed**

**corollary** *tmA*:

**assumes** *proper-symbols zs*

**shows** *traces tmA (tps0 zs) (es5 (length zs)) (tps-ones zs (length zs))*

**using** *tps5-def tm5-eq-tmA tm5 assms* **by** *simp*

**lemma** *length-tps-ones [simp]*:  $\text{length } (tps\text{-ones } zs \text{ } m) = 2$

**by** *simp*

## 5.2.2 Multiplying by the input length

The next Turing machine turns a symbol sequence  $\mathbf{1}^m$  on its output tape into  $\mathbf{1}^{m+1+mn}$  where  $n$  is the length of the input.

The TM first appends to the output tape symbols a  $|$  symbol. Then it performs a loop with  $m$  iterations. In each iteration it replaces a  $\mathbf{1}$  before the  $|$  by  $\mathbf{0}$  in order to count the iterations. Then it copies (replacing each symbol by  $\mathbf{1}$ ) the input after the output tape symbols. After the loop the output tape contains  $\mathbf{0}^m | \mathbf{1}^{mn}$ . Finally the  $|$  and  $\mathbf{0}$  symbols are replaced by  $\mathbf{1}$  symbols, yielding the desired result.

**definition** *tmB* :: *machine* **where**

*tmB*  $\equiv$

*tm-right-until* 1 { $\square$ } ;;

*tm-write* 1  $|$  ;;

*tm-cr* 1 ;;

*WHILE* *tm-right-until* 1 { $\mathbf{1}, |$ } ;  $\lambda rs. rs \text{ } ! 1 = \mathbf{1} \text{ } DO$

*tm-write* 1  $\mathbf{0}$  ;;

*tm-right-until* 1 { $\mathbf{0}$ } ;;

*tm-const-until* 0 1 { $\square$ }  $\mathbf{1}$  ;;

*tm-cr* 0 ;;

*tm-cr* 1

*DONE* ;;

*tm-write* 1  $\mathbf{1}$  ;;

*tm-cr* 1 ;;

*tm-const-until* 1 1 { $\mathbf{1}$ }  $\mathbf{1}$  ;;

*tm-cr* 1

**lemma** *tmB-tm: turing-machine 2 G tmB*

**unfolding** *tmB-def*

**using** *tm-right-until-tm tm-write-tm tm-cr-tm tm-const-until-tm G*

*turing-machine-loop-turing-machine turing-machine-sequential-turing-machine*

**by** *simp*



**definition**  $tmB1 \equiv tm\text{-right-until } 1 \{\square\}$   
**definition**  $tmB2 \equiv tmB1 \;; tm\text{-write } 1 \mid$   
**definition**  $tmB3 \equiv tmB2 \;; tm\text{-cr } 1$   
**definition**  $tmK1 \equiv tm\text{-right-until } 1 \{1, \mid\}$   
**definition**  $tmL1 \equiv tm\text{-write } 1 \mathbf{0}$   
**definition**  $tmL2 \equiv tmL1 \;; tm\text{-right-until } 1 \{\square\}$   
**definition**  $tmL3 \equiv tmL2 \;; tm\text{-const-until } 0 \ 1 \{\square\} \mathbf{1}$   
**definition**  $tmL4 \equiv tmL3 \;; tm\text{-cr } 0$   
**definition**  $tmL5 \equiv tmL4 \;; tm\text{-cr } 1$   
**definition**  $tmLoop \equiv WHILE \ tmK1 \ ; \ \lambda rs. \ rs \ ! \ 1 = \mathbf{1} \ DO \ tmL5 \ DONE$   
**definition**  $tmB4 \equiv tmB3 \;; tmLoop$   
**definition**  $tmB5 \equiv tmB4 \;; tm\text{-write } 1 \ \mathbf{1}$   
**definition**  $tmB6 \equiv tmB5 \;; tm\text{-cr } 1$   
**definition**  $tmB7 \equiv tmB6 \;; tm\text{-const-until } 1 \ 1 \{1\} \ \mathbf{1}$   
**definition**  $tmB8 \equiv tmB7 \;; tm\text{-cr } 1$

**lemma**  $tmB\text{-eq-}tmB8$ :  $tmB = tmB8$

**unfolding**  $tmB\text{-def } tmB1\text{-def } tmB2\text{-def } tmB3\text{-def } tmK1\text{-def } tmL1\text{-def } tmL2\text{-def } tmL3\text{-def } tmL4\text{-def } tmL5\text{-def } tmLoop\text{-def } tmB4\text{-def } tmB5\text{-def } tmB6\text{-def } tmB7\text{-def } tmB8\text{-def}$   
**by** *simp*

**definition**  $tpsB1 :: symbol\ list \Rightarrow nat \Rightarrow tape\ list$  **where**

$tpsB1\ zs\ m \equiv$   
 $[(\lfloor zs \rfloor, 1),$   
 $(\lambda i. \text{if } i = 0 \text{ then } \triangleright \text{ else if } i \leq m \text{ then } \mathbf{1} \text{ else } \square, m + 1)]$

**definition**  $esB1 :: nat \Rightarrow nat \Rightarrow (nat \times nat)$  *list* **where**

$esB1\ n\ m \equiv map\ (\lambda i. (1, 1 + Suc\ i))\ [0..<m] \ @\ [(1, 1 + m)]$

**lemma**  $length\text{-}esB1$ :  $length\ (esB1\ n\ m) = m + 1$

**using**  $esB1\text{-def}$  **by** (*metis*  $Suc\text{-eq-plus1}$   $length\text{-append-singleton}$   $length\text{-map}$   $length\text{-upt}$   $minus\text{-nat.diff-0}$ )

**lemma**  $tmB1$ :

**assumes** *proper-symbols*  $zs$

**shows** *traces*  $tmB1\ (tps\text{-ones}\ zs\ m)\ (esB1\ (length\ zs)\ m)\ (tpsB1\ zs\ m)$

**unfolding**  $tmB1\text{-def}$

**proof** (*rule*  $traces\text{-tm-right-until-1I$ [**where**  $?n=m$ ])

**show**  $1 < length\ (tps\text{-ones}\ zs\ m)$

**by** *simp*

**show**  $rneigh\ (tps\text{-ones}\ zs\ m \ ! \ 1)\ \{0\}\ m$

**using**  $rneighI$  **by** *simp*

**show**  $esB1\ (length\ zs)\ m =$

$map\ (\lambda i. (tps\text{-ones}\ zs\ m \ :\# \ 0, tps\text{-ones}\ zs\ m \ :\# \ 1 + Suc\ i))\ [0..<m] \ @$   
 $[(tps\text{-ones}\ zs\ m \ :\# \ 0, tps\text{-ones}\ zs\ m \ :\# \ 1 + m)]$

**by** (*simp* *add*:  $esB1\text{-def}$ )

**show**  $tpsB1\ zs\ m = (tps\text{-ones}\ zs\ m)[1 := tps\text{-ones}\ zs\ m \ ! \ 1 \ |+\ m]$

**using**  $tpsB1\text{-def}$  **by** *simp*

**qed**

**definition**  $tpsB2 :: symbol\ list \Rightarrow nat \Rightarrow tape\ list$  **where**

$tpsB2\ zs\ m \equiv$   
 $[(\lfloor zs \rfloor, 1),$   
 $(\lambda i. \text{if } i = 0 \text{ then } \triangleright \text{ else if } i \leq m \text{ then } \mathbf{1} \text{ else if } i = m + 1 \text{ then } \mid \text{ else } \square, m + 1)]$

**definition**  $esB12 :: nat \Rightarrow nat \Rightarrow (nat \times nat)$  *list* **where**

$esB12\ n\ m \equiv [(1, m + 1)]$

**definition**  $esB2 :: nat \Rightarrow nat \Rightarrow (nat \times nat)$  *list* **where**

$esB2\ n\ m \equiv esB1\ n\ m \ @\ esB12\ n\ m$

**lemma**  $length\text{-}esB2$ :  $length\ (esB2\ n\ m) = m + 2$

**by** (*simp* *add*:  $esB12\text{-def}$   $esB2\text{-def}$   $length\text{-}esB1$ )

**lemma** *tmB2*:  
**assumes** *proper-symbols zs*  
**shows** *traces tmB2 (tps-ones zs m) (esB2 (length zs) m) (tpsB2 zs m)*  
**unfolding** *tmB2-def esB2-def*  
**proof** (*rule traces-sequential[OF tmB1]*)  
**show** *proper-symbols zs*  
**using** *assms .*  
**show** *traces (tm-write 1 |) (tpsB1 zs m) (esB12 (length zs) m) (tpsB2 zs m)*  
**proof** (*rule traces-tm-write-1I*)  
**show**  $1 < \text{length } (tpsB1 \text{ } zs \text{ } m)$   
**using** *tpsB1-def by simp-all*  
**show**  $esB12 \text{ } (length \text{ } zs) \text{ } m = [(tpsB1 \text{ } zs \text{ } m \text{ } \# : 0, tpsB1 \text{ } zs \text{ } m \text{ } \# : 1)]$   
**using** *tpsB1-def by (simp add: esB12-def)*  
**show**  $tpsB2 \text{ } zs \text{ } m = (tpsB1 \text{ } zs \text{ } m)[1 := tpsB1 \text{ } zs \text{ } m ! 1 \text{ } | := |]$   
**using** *tpsB2-def tpsB1-def by auto*  
**qed**  
**qed**

**definition** *tpsB3* :: *symbol list*  $\Rightarrow$  *nat*  $\Rightarrow$  *tape list* **where**  
*tpsB3 zs m*  $\equiv$   
 $[(\lfloor zs \rfloor, 1),$   
 $(\lambda i. \text{if } i = 0 \text{ then } \triangleright \text{ else if } i \leq m \text{ then } \mathbf{1} \text{ else if } i = m + 1 \text{ then } | \text{ else } 0, 1)]$

**definition** *esB23* :: *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  (*nat*  $\times$  *nat*) *list* **where**  
*esB23 n m*  $\equiv$  *map (Pair 1) (rev [0.. $m + 1$ ]) @ [(1, 0), (1, 1)]*

**definition** *esB3* :: *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  (*nat*  $\times$  *nat*) *list* **where**  
*esB3 n m*  $\equiv$  *esB2 n m @ esB23 n m*

**lemma** *length-esB3*:  $\text{length } (esB3 \text{ } n \text{ } m) = 2 * m + 5$   
**by** (*simp add: esB3-def length-esB2 esB23-def*)

**lemma** *tmB3*:  
**assumes** *proper-symbols zs*  
**shows** *traces tmB3 (tps-ones zs m) (esB3 (length zs) m) (tpsB3 zs m)*  
**unfolding** *tmB3-def esB3-def*  
**proof** (*rule traces-sequential[OF tmB2]*)  
**show** *proper-symbols zs*  
**using** *assms .*  
**show** *traces (tm-cr 1) (tpsB2 zs m) (esB23 (length zs) m) (tpsB3 zs m)*  
**proof** (*rule traces-tm-cr-1I*)  
**show**  $1 < \text{length } (tpsB2 \text{ } zs \text{ } m)$   
**using** *tpsB2-def by simp*  
**show** *clean-tape (tpsB2 zs m ! 1)*  
**using** *tpsB2-def clean-tape-def by simp*  
**show**  $esB23 \text{ } (length \text{ } zs) \text{ } m =$   
 $\text{map } (Pair \text{ } (tpsB2 \text{ } zs \text{ } m \text{ } \# : 0)) \text{ } (rev \text{ } [0.. $tpsB2 \text{ } zs \text{ } m \text{ } \# : 1$ ]) \text{ } @$   
 $[(tpsB2 \text{ } zs \text{ } m \text{ } \# : 0, 0), (tpsB2 \text{ } zs \text{ } m \text{ } \# : 0, 1)]$   
**by** (*simp add: esB23-def tpsB2-def*)  
**show**  $tpsB3 \text{ } zs \text{ } m = (tpsB2 \text{ } zs \text{ } m)[1 := tpsB2 \text{ } zs \text{ } m ! 1 \text{ } \# = | 1]$   
**using** *tpsB2-def tpsB3-def by simp*  
**qed**  
**qed**

**definition** *tpsK0* :: *symbol list*  $\Rightarrow$  *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  *tape list* **where**  
*tpsK0 zs m i*  $\equiv$   
 $[(\lfloor zs \rfloor, 1),$   
 $(\lambda x. \text{if } x = 0 \text{ then } \triangleright$   
 $\text{ else if } x \leq i \text{ then } \mathbf{0}$   
 $\text{ else if } x \leq m \text{ then } \mathbf{1}$   
 $\text{ else if } x = m + 1 \text{ then } |$   
 $\text{ else if } x \leq m + 1 + i * \text{length } zs \text{ then } \mathbf{1}$

else 0,  
1)]

**lemma** *tpsK0-eq-tpsB3*:  $tpsK0\ zs\ m\ 0 = tpsB3\ zs\ m$   
using *tpsK0-def tpsB3-def* by *auto*

**definition** *tpsK1* :: *symbol list*  $\Rightarrow$  *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  *tape list* **where**  
*tpsK1* *zs* *m* *i*  $\equiv$   
[[*zs*], 1),  
( $\lambda x$ . if  $x = 0$  then  $\triangleright$   
  else if  $x \leq i$  then **0**  
  else if  $x \leq m$  then **1**  
  else if  $x = m + 1$  then |  
  else if  $x \leq m + 1 + i * \text{length } zs$  then **1**  
  else 0,  
*i* + 1)]

**definition** *esK1* :: *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  (*nat*  $\times$  *nat*) *list* **where**  
*esK1* *n* *m* *i*  $\equiv \text{map } (\lambda i. (1, 1 + \text{Suc } i)) [0..<i] @ [(1, i + 1)]$

**lemma** *length-esK1*:  $\text{length } (esK1\ n\ m\ i) = i + 1$   
by (*simp add: esK1-def*)

**lemma** *tmK1*:  
assumes *proper-symbols* *zs* **and**  $i < m$   
shows *traces* *tmK1* (*tpsK0* *zs* *m* *i*) (*esK1* (*length* *zs*) *m* *i*) (*tpsK1* *zs* *m* *i*)  
**unfolding** *tmK1-def*  
**proof** (*rule traces-tm-right-until-1I*[**where**  $?n=i$ ])  
show  $1 < \text{length } (tpsK0\ zs\ m\ i)$   
  using *tpsK0-def* by *simp*  
show *rneigh* (*tpsK0* *zs* *m* *i* ! 1) {**1**, |} *i*  
  using *tpsK0-def rneigh-def* *assms*(2) by *simp*  
show *esK1* (*length* *zs*) *m* *i* =  
   $\text{map } (\lambda j. (tpsK0\ zs\ m\ i :\# : 0, tpsK0\ zs\ m\ i :\# : 1 + \text{Suc } j)) [0..<i] @$   
   $[(tpsK0\ zs\ m\ i :\# : 0, tpsK0\ zs\ m\ i :\# : 1 + i)]$   
  by (*simp add: esK1-def tpsK0-def*)  
show *tpsK1* *zs* *m* *i* = (*tpsK0* *zs* *m* *i*) [1 := *tpsK0* *zs* *m* *i* ! 1 | + | *i*]  
  by (*simp add: tpsK1-def tpsK0-def*)

**qed**

**definition** *tpsL1* :: *symbol list*  $\Rightarrow$  *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  *tape list* **where**  
*tpsL1* *zs* *m* *i*  $\equiv$   
[[*zs*], 1),  
( $\lambda x$ . if  $x = 0$  then  $\triangleright$   
  else if  $x \leq i + 1$  then **0**  
  else if  $x \leq m$  then **1**  
  else if  $x = m + 1$  then |  
  else if  $x \leq m + 1 + i * \text{length } zs$  then **1**  
  else 0,  
*i* + 1)]

**definition** *esL1* :: *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  (*nat*  $\times$  *nat*) *list* **where**  
*esL1* *n* *m* *i*  $\equiv [(1, i + 1)]$

**lemma** *tmL1*:  
assumes *proper-symbols* *zs*  
shows *traces* *tmL1* (*tpsK1* *zs* *m* *i*) (*esL1* (*length* *zs*) *m* *i*) (*tpsL1* *zs* *m* *i*)  
**unfolding** *tmL1-def* using *G*  
by (*intro traces-tm-write-1I*) (*auto simp add: tpsL1-def tpsK1-def esL1-def*)

**definition** *tpsL2* :: *symbol list*  $\Rightarrow$  *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  *tape list* **where**  
*tpsL2* *zs* *m* *i*  $\equiv$   
[[*zs*], 1),

( $\lambda x$ . if  $x = 0$  then  $\triangleright$   
 else if  $x \leq i + 1$  then  $\mathbf{0}$   
 else if  $x \leq m$  then  $\mathbf{1}$   
 else if  $x = m + 1$  then  $|$   
 else if  $x \leq m + 1 + i * \text{length } zs$  then  $\mathbf{1}$   
 else  $0$ ,  
 $m + 2 + i * \text{length } zs$ ])

**definition**  $esL12 :: \text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow (\text{nat} \times \text{nat}) \text{ list}$  **where**  
 $esL12 \ n \ m \ i \equiv$   
 $\text{map } (\lambda j. (1, i + 1 + \text{Suc } j)) [0..<m + 2 + i * n - (i + 1)] @$   
 $[(1, i + 1 + (m + 2 + i * n - (i + 1)))]$

**definition**  $esL2 :: \text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow (\text{nat} \times \text{nat}) \text{ list}$  **where**  
 $esL2 \ n \ m \ i \equiv esL1 \ n \ m \ i @ esL12 \ n \ m \ i$

**lemma**  $\text{length-esL2}: i < m \implies \text{length } (esL2 \ n \ m \ i) = 3 + m - i + i * n$   
**by** (*auto simp add: esL2-def esL12-def esL1-def*)

A simplified upper bound for the running time:

**corollary**  $\text{length-esL2}': i < m \implies \text{length } (esL2 \ n \ m \ i) \leq 3 + m + i * n$   
**by** (*simp add: length-esL2*)

**lemma**  $tmL2$ :

**assumes** *proper-symbols*  $zs$  **and**  $i < m$   
**shows** *traces*  $tmL2 \ (tpsK1 \ zs \ m \ i) \ (esL2 \ (\text{length } zs) \ m \ i) \ (tpsL2 \ zs \ m \ i)$   
**unfolding**  $tmL2\text{-def}$   $esL2\text{-def}$

**proof** (*rule traces-sequential[OF tmL1]*)

**show** *proper-symbols*  $zs$

**using**  $assms(1)$  .

**show** *traces* ( $tm\text{-right-until } 1 \ \{0\}$ )  $(tpsL1 \ zs \ m \ i) \ (esL12 \ (\text{length } zs) \ m \ i) \ (tpsL2 \ zs \ m \ i)$

**proof** (*rule traces-tm-right-until-1I*)

**show**  $1 < \text{length } (tpsL1 \ zs \ m \ i)$

**using**  $tpsL1\text{-def}$  **by** *simp*

**show** *rneigh*  $(tpsL1 \ zs \ m \ i \ ! \ 1) \ \{0\} \ (m + 2 + i * \text{length } zs - (i + 1))$

**using**  $rneigh\text{-def}$   $assms(2)$  **by** (*auto simp add: tpsL1-def*)

**show**  $esL12 \ (\text{length } zs) \ m \ i =$

$\text{map } (\lambda j. (tpsL1 \ zs \ m \ i \ :\# \ 0, tpsL1 \ zs \ m \ i \ :\# \ 1 + \text{Suc } j))$

$[0..<m + 2 + i * \text{length } zs - (i + 1)] @$

$[(tpsL1 \ zs \ m \ i \ :\# \ 0,$

$tpsL1 \ zs \ m \ i \ :\# \ 1 + (m + 2 + i * \text{length } zs - (i + 1))]$

**using**  $assms(2)$  **by** (*simp add: tpsL1-def esL12-def*)

**show**  $tpsL2 \ zs \ m \ i = (tpsL1 \ zs \ m \ i) [1 := tpsL1 \ zs \ m \ i \ ! \ 1 \ | + | \ m + 2 + i * \text{length } zs - (i + 1)]$

**using**  $assms(2)$  **by** (*simp add: tpsL1-def tpsL2-def*)

**qed**

**qed**

**definition**  $tpsL3 :: \text{symbol list} \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow \text{tape list}$  **where**

$tpsL3 \ zs \ m \ i \equiv$

$[(\lfloor zs \rfloor, \text{length } zs + 1),$

( $\lambda x$ . if  $x = 0$  then  $\triangleright$

else if  $x \leq i + 1$  then  $\mathbf{0}$

else if  $x \leq m$  then  $\mathbf{1}$

else if  $x = m + 1$  then  $|$

else if  $x \leq m + 1 + (i + 1) * \text{length } zs$  then  $\mathbf{1}$

else  $0$ ,

$m + 2 + (i + 1) * \text{length } zs$ ])

**definition**  $esL23 :: \text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow (\text{nat} \times \text{nat}) \text{ list}$  **where**

$esL23 \ n \ m \ i \equiv$

$\text{map } (\lambda j. (1 + \text{Suc } j, m + 2 + i * n + \text{Suc } j)) [0..<n] @ [(1 + n, m + 2 + i * n + n)]$

**definition**  $esL3 :: \text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow (\text{nat} \times \text{nat}) \text{ list}$  **where**

$esL3\ n\ m\ i \equiv esL2\ n\ m\ i \ @\ esL23\ n\ m\ i$

**lemma** *length-esL3*:  $i < m \implies \text{length}\ (esL3\ n\ m\ i) \leq 4 + m + (i + 1) * n$   
**by** (*auto simp add: esL3-def esL23-def*) (*metis group-cancel.add1 length-esL2'*)

**lemma** *tmL3*:

**assumes** *proper-symbols zs and*  $i < m$

**shows** *traces tmL3* (*tpsK1 zs m i*) (*esL3 (length zs) m i*) (*tpsL3 zs m i*)

**unfolding** *tmL3-def esL3-def*

**proof** (*rule traces-sequential[OF tmL2]*)

**show** *proper-symbols zs and*  $i < m$

**using** *assms* .

**show** *traces (tm-const-until 0 1 {□} 1)* (*tpsL2 zs m i*) (*esL23 (length zs) m i*) (*tpsL3 zs m i*)

**proof** (*rule traces-tm-const-until-011*)

**show**  $1 < \text{length}\ (tpsL2\ zs\ m\ i)$

**using** *tpsL2-def by simp*

**show** *rneigh (tpsL2 zs m i ! 0) {0} (length zs)*

**using** *assms(1) rneigh-def contents-def by (auto simp add: tpsL2-def)*

**show** *esL23 (length zs) m i =*

*map* ( $\lambda j. (tpsL2\ zs\ m\ i\ \#\#:\ 0 + \text{Suc}\ j, tpsL2\ zs\ m\ i\ \#\#:\ 1 + \text{Suc}\ j)$ ) [ $0..<\text{length}\ zs$ ] @  
 $[(tpsL2\ zs\ m\ i\ \#\#:\ 0 + \text{length}\ zs, tpsL2\ zs\ m\ i\ \#\#:\ 1 + \text{length}\ zs)]$

**using** *assms by (simp add: esL23-def tpsL2-def)*

**show** *tpsL3 zs m i = (tpsL2 zs m i)*

$[0 := tpsL2\ zs\ m\ i\ !\ 0\ |+\ | \text{length}\ zs,$

$1 := \text{constplamt}\ (tpsL2\ zs\ m\ i\ !\ 1)\ \mathbf{1}\ (\text{length}\ zs)]$

**using** *constplamt assms by (auto simp add: tpsL2-def tpsL3-def)*

**qed**

**qed**

**definition** *tpsL4* :: *symbol list*  $\Rightarrow$  *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  *tape list* **where**

*tpsL4 zs m i*  $\equiv$

$[(\llbracket zs \rrbracket, 1),$

$(\lambda x. \text{if } x = 0 \text{ then } \triangleright$

$\text{else if } x \leq i + 1 \text{ then } \mathbf{0}$

$\text{else if } x \leq m \text{ then } \mathbf{1}$

$\text{else if } x = m + 1 \text{ then } |$

$\text{else if } x \leq m + 1 + (i + 1) * \text{length}\ zs \text{ then } \mathbf{1}$

$\text{else } 0,$

$m + 2 + (i + 1) * \text{length}\ zs)]$

**definition** *esL34* :: *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  (*nat*  $\times$  *nat*) *list* **where**

*esL34 n m i*  $\equiv$

*map* ( $\lambda j. (j, m + 2 + (i + 1) * n)$ ) (*rev* [ $0..<n + 1$ ]) @  $[(0, m + 2 + (i + 1) * n), (1, m + 2 + (i + 1) * n)]$

**lemma** *length-esL34*:  $i < m \implies \text{length}\ (esL34\ n\ m\ i) = n + 3$

**unfolding** *esL34-def by simp*

**definition** *esL4* :: *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  (*nat*  $\times$  *nat*) *list* **where**

*esL4 n m i*  $\equiv esL3\ n\ m\ i \ @\ esL34\ n\ m\ i$

**lemma** *length-esL4*:  $i < m \implies \text{length}\ (esL4\ n\ m\ i) \leq 7 + m + (i + 2) * n$

**using** *length-esL3 length-esL34*

**by** (*auto simp add: esL4-def*) (*metis ab-semigroup-add-class.add-ac(1) group-cancel.add2*)

**lemma** *tmL4*:

**assumes** *proper-symbols zs and*  $i < m$

**shows** *traces tmL4* (*tpsK1 zs m i*) (*esL4 (length zs) m i*) (*tpsL4 zs m i*)

**unfolding** *tmL4-def esL4-def*

**proof** (*rule traces-sequential[OF tmL3]*)

**show** *proper-symbols zs i < m*

**using** *assms* .

**show** *traces (tm-cr 0) (tpsL3 zs m i) (esL34 (length zs) m i) (tpsL4 zs m i)*

**proof** (rule traces-tm-cr-0I)  
**show**  $1 < \text{length } (\text{tpsL3 } \text{zs } m \ i)$   
**using** *tpsL3-def* **by** *simp*  
**show** *clean-tape* (*tpsL3* *zs* *m* *i* ! 0)  
**using** *tpsL3-def* *assms*(1) **by** *simp*  
**show** *esL34* (*length* *zs*) *m* *i* =  
 $\text{map } (\lambda j. (j, \text{tpsL3 } \text{zs } m \ i \ :\# : 1)) (\text{rev } [0..<\text{tpsL3 } \text{zs } m \ i \ :\# : 0]) @$   
 $[(0, \text{tpsL3 } \text{zs } m \ i \ :\# : 1), (1, \text{tpsL3 } \text{zs } m \ i \ :\# : 1)]$   
**by** (*simp* *add*: *esL34-def* *tpsL3-def*)  
**show** *tpsL4* *zs* *m* *i* = (*tpsL3* *zs* *m* *i*)[0 := *tpsL3* *zs* *m* *i* ! 0 |#|= 1]  
**by** (*simp* *add*: *tpsL4-def* *tpsL3-def*)  
**qed**  
**qed**

**definition** *tpsL5* :: *symbol list*  $\Rightarrow$  *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  *tape list* **where**

*tpsL5* *zs* *m* *i*  $\equiv$   
 $[(\text{[zs]}, 1),$   
 $(\lambda x. \text{if } x = 0 \text{ then } \triangleright$   
 $\quad \text{else if } x \leq i + 1 \text{ then } \mathbf{0}$   
 $\quad \text{else if } x \leq m \text{ then } \mathbf{1}$   
 $\quad \text{else if } x = m + 1 \text{ then } |$   
 $\quad \text{else if } x \leq m + 1 + (i + 1) * \text{length } \text{zs} \text{ then } \mathbf{1}$   
 $\quad \text{else } 0,$   
 $1)]$

**definition** *esL45* :: *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  (*nat*  $\times$  *nat*) *list* **where**

*esL45* *n* *m* *i*  $\equiv \text{map } (\text{Pair } 1) (\text{rev } [0..<m + 2 + (i + 1) * n]) @ [(1, 0), (1, 1)]$

**definition** *esL5* :: *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  (*nat*  $\times$  *nat*) *list* **where**

*esL5* *n* *m* *i*  $\equiv \text{esL4 } n \ m \ i @ \text{esL45 } n \ m \ i$

**lemma** *length-esL5*:  $i < m \implies \text{length } (\text{esL5 } n \ m \ i) \leq 11 + 2 * m + (2 * i + 3) * n$

**proof** –

**assume** *a*:  $i < m$   
**have**  $\text{length } (\text{esL5 } n \ m \ i) = \text{length } (\text{esL4 } n \ m \ i) + \text{length } (\text{esL45 } n \ m \ i)$   
**using** *esL5-def* **by** *simp*  
**also have**  $\dots \leq 7 + m + (i + 2) * n + \text{length } (\text{esL45 } n \ m \ i)$   
**using** *length-esL4*[*OF a*] **by** *simp*  
**also have**  $\dots = 7 + m + (i + 2) * n + (m + 2 + (i + 1) * n + 2)$   
**using** *esL45-def* **by** *simp*  
**also have**  $\dots = 11 + 2 * m + (2 * i + 3) * n$   
**by** *algebra*  
**finally show** *?thesis* .

**qed**

**lemma** *tmL5*:

**assumes** *proper-symbols* *zs* **and**  $i < m$   
**shows** *traces* *tmL5* (*tpsK1* *zs* *m* *i*) (*esL5* (*length* *zs*) *m* *i*) (*tpsL5* *zs* *m* *i*)  
**unfolding** *tmL5-def* *esL5-def*

**proof** (rule *traces-sequential*[*OF tmL4*])

**show** *proper-symbols* *zs*  $i < m$   
**using** *assms* .

**show** *traces* (*tm-cr* 1) (*tpsL4* *zs* *m* *i*) (*esL45* (*length* *zs*) *m* *i*) (*tpsL5* *zs* *m* *i*)

**proof** (rule *traces-tm-cr-1I*)

**show**  $1 < \text{length } (\text{tpsL4 } \text{zs } m \ i)$   
**using** *tpsL4-def* **by** *simp*  
**show** *clean-tape* (*tpsL4* *zs* *m* *i* ! 1)  
**using** *tpsL4-def* *assms* *clean-tapeI* **by** *simp*  
**show** *esL45* (*length* *zs*) *m* *i* =

$\text{map } (\text{Pair } (\text{tpsL4 } \text{zs } m \ i \ :\# : 0)) (\text{rev } [0..<\text{tpsL4 } \text{zs } m \ i \ :\# : 1]) @$   
 $[(\text{tpsL4 } \text{zs } m \ i \ :\# : 0, 0), (\text{tpsL4 } \text{zs } m \ i \ :\# : 0, 1)]$

**by** (*simp* *add*: *esL45-def* *tpsL4-def*)

**show** *tpsL5* *zs* *m* *i* = (*tpsL4* *zs* *m* *i*)[1 := *tpsL4* *zs* *m* *i* ! 1 |#|= 1]

by (simp add: tpsL4-def tpsL5-def)  
qed  
qed

**definition** *esLoop-do* ::  $\text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow (\text{nat} \times \text{nat}) \text{ list}$  **where**  
*esLoop-do*  $n\ m\ i \equiv \text{esK1}\ n\ m\ i\ @\ [(1, i + 1)]\ @\ \text{esL5}\ n\ m\ i\ @\ [(1, 1)]$

Using  $i < m$  we get this upper bound for the running time of an iteration independent of  $i$ .

**lemma** *length-esLoop-do*:  $i < m \implies \text{length} (\text{esLoop-do}\ n\ m\ i) \leq 14 + 3 * m + (2 * m + 3) * n$   
**proof** –

assume  $i < m$   
have  $\text{length} (\text{esLoop-do}\ n\ m\ i) = \text{length} (\text{esK1}\ n\ m\ i) + \text{length} (\text{esL5}\ n\ m\ i) + 2$   
**unfolding** *esLoop-do-def* **by** *simp*  
**also have**  $\dots = i + 3 + (\text{length} (\text{esL5}\ n\ m\ i))$   
**using** *length-esK1* **by** *simp*  
**also have**  $\dots \leq i + 14 + 2 * m + (2 * i + 3) * n$   
**using** *length-esL5*[*OF*  $\langle i < m \rangle$ ] **by** (simp add: *add.assoc*)  
**also have**  $\dots \leq 14 + 3 * m + (2 * i + 3) * n$   
**using**  $\langle i < m \rangle$  **by** *simp*  
**also have**  $\dots \leq 14 + 3 * m + (2 * m + 3) * n$   
**using**  $\langle i < m \rangle$  **by** *simp*  
**finally show** *?thesis* .  
qed

**lemma** *tmLoop-do*:

**assumes** *proper-symbols*  $zs$  **and**  $i < m$   
**shows**  $\text{trace}\ \text{tmLoop}\ (0, \text{tpsK0}\ zs\ m\ i)\ (\text{esLoop-do}\ (\text{length}\ zs)\ m\ i)\ (0, \text{tpsL5}\ zs\ m\ i)$   
**unfolding** *tmLoop-def*  
**proof** (rule *tm-loop-sem-true-tracesI*[*OF* *tmK1 tmL5*])  
**show** *proper-symbols*  $zs$  *proper-symbols*  $zs\ i < m\ i < m$   
**using** *assms* **by** *simp-all*  
**show**  $\text{read}\ (\text{tpsK1}\ zs\ m\ i)\ !\ 1 = 1$   
**using** *tpsK1-def* *assms*(2) *read-def* **by** *simp*  
**show**  $\text{esLoop-do}\ (\text{length}\ zs)\ m\ i =$   
 $\text{esK1}\ (\text{length}\ zs)\ m\ i\ @$   
 $[(\text{tpsK1}\ zs\ m\ i\ :\#\ 0, \text{tpsK1}\ zs\ m\ i\ :\#\ 1)]\ @$   
 $\text{esL5}\ (\text{length}\ zs)\ m\ i\ @\ [(\text{tpsL5}\ zs\ m\ i\ :\#\ 0, \text{tpsL5}\ zs\ m\ i\ :\#\ 1)]$   
**by** (simp add: *esLoop-do-def* *esK1-def* *tpsK1-def* *esL5-def* *tpsL5-def*)  
qed

**lemma** *tpsL5-eq-tpsK0*:

**assumes** *proper-symbols*  $zs$  **and**  $i < m$   
**shows**  $\text{tpsL5}\ zs\ m\ i = \text{tpsK0}\ zs\ m\ (\text{Suc}\ i)$   
**using** *assms* *tpsL5-def* *tpsK0-def* **by** *auto*

**lemma** *tmLoop-iteration*:

**assumes** *proper-symbols*  $zs$  **and**  $i < m$   
**shows**  $\text{trace}\ \text{tmLoop}\ (0, \text{tpsK0}\ zs\ m\ i)\ (\text{esLoop-do}\ (\text{length}\ zs)\ m\ i)\ (0, \text{tpsK0}\ zs\ m\ (\text{Suc}\ i))$   
**using** *assms* *tmLoop-do* *tpsL5-eq-tpsK0* **by** *simp*

**definition** *esLoop-done* ::  $\text{nat} \Rightarrow \text{nat} \Rightarrow (\text{nat} \times \text{nat}) \text{ list}$  **where**  
*esLoop-done*  $n\ m \equiv \text{concat}\ (\text{map}\ (\text{esLoop-do}\ n\ m)\ [0..<m])$

**lemma** *tmLoop-done*:

**assumes** *proper-symbols*  $zs$   
**shows**  $\text{trace}\ \text{tmLoop}\ (0, \text{tpsK0}\ zs\ m\ 0)\ (\text{esLoop-done}\ (\text{length}\ zs)\ m)\ (0, \text{tpsK0}\ zs\ m\ m)$   
**using** *assms* *tm-loop-trace-simple* **by** (simp add: *tmLoop-iteration* *esLoop-done-def*)

**lemma** *length-esLoop-done*:  $\text{length} (\text{esLoop-done}\ n\ m) \leq m * (14 + 3 * m + (2 * m + 3) * n)$   
**using** *length-concat-le*[*OF* *length-esLoop-do*] *esLoop-done-def* **by** *simp*

**definition** *tpsK-break* ::  $\text{symbol list} \Rightarrow \text{nat} \Rightarrow \text{tape list}$  **where**  
*tpsK-break*  $zs\ m \equiv$

```

[[[zs], 1),
  (λx. if x = 0 then ▷
    else if x ≤ m then 0
    else if x = m + 1 then |
    else if x ≤ m + 1 + m * length zs then 1
    else 0,
  m + 1]]]

```

**definition** *esK-break* ::  $\text{nat} \Rightarrow \text{nat} \Rightarrow (\text{nat} \times \text{nat}) \text{ list}$  **where**  
*esK-break* n m  $\equiv \text{map } (\lambda i. (1, 1 + \text{Suc } i)) [0..<m] @ [(1, 1 + m)]$

**lemma** *length-esK-break*:  $\text{length } (\text{esK-break } n \ m) = m + 1$   
**unfolding** *esK-break-def* **by** *simp*

**lemma** *tmK1-break*:

**assumes** *proper-symbols zs*  
**shows** *traces tmK1 (tpsK0 zs m m) (esK-break (length zs) m) (tpsK-break zs m)*  
**unfolding** *tmK1-def*

**proof** (*rule traces-tm-right-until-11[where ?n=m]*)

**show**  $1 < \text{length } (\text{tpsK0 } zs \ m \ m)$   
**using** *tpsK0-def* **by** *simp*  
**show**  $\text{rneigh } (\text{tpsK0 } zs \ m \ m \ ! \ 1) \ \{1, |\} \ m$   
**using** *rneigh-def* **by** (*simp add: tpsK0-def*)  
**show**  $\text{esK-break } (\text{length } zs) \ m =$   
 $\text{map } (\lambda j. (\text{tpsK0 } zs \ m \ m \ \#\# \ 0, \text{tpsK0 } zs \ m \ m \ \#\# \ 1 + \text{Suc } j)) [0..<m] @$   
 $[(\text{tpsK0 } zs \ m \ m \ \#\# \ 0, \text{tpsK0 } zs \ m \ m \ \#\# \ 1 + m)]$   
**by** (*simp add: esK-break-def tpsK0-def*)  
**show**  $\text{tpsK-break } zs \ m = (\text{tpsK0 } zs \ m \ m)[1 := \text{tpsK0 } zs \ m \ m \ ! \ 1 \ | + \ m]$   
**by** (*auto simp add: tpsK-break-def tpsK0-def*)

**qed**

**definition** *esLoop-break* ::  $\text{nat} \Rightarrow \text{nat} \Rightarrow (\text{nat} \times \text{nat}) \text{ list}$  **where**  
*esLoop-break* n m  $\equiv \text{esK-break } n \ m @ [(1, m + 1)]$

**lemma** *length-esLoop-break*:  $\text{length } (\text{esLoop-break } n \ m) = m + 2$   
**unfolding** *esLoop-break-def* **using** *length-esK-break* **by** *simp*

**lemma** *tmLoop-break*:

**assumes** *proper-symbols zs*  
**shows** *traces tmLoop (tpsK0 zs m m) (esLoop-break (length zs) m) (tpsK-break zs m)*  
**unfolding** *tmLoop-def esLoop-break-def*

**proof** (*rule tm-loop-sem-false-traces[OF tmK1-break]*)

**show** *proper-symbols zs*  
**using** *assms* .  
**show**  $\text{read } (\text{tpsK-break } zs \ m) \ ! \ 1 \neq 1$   
**using** *tpsK-break-def read-def* **by** *simp*  
**show**  $\text{esK-break } (\text{length } zs) \ m @ [(1, m + 1)] =$   
 $\text{esK-break } (\text{length } zs) \ m @ [(\text{tpsK-break } zs \ m \ \#\# \ 0, \text{tpsK-break } zs \ m \ \#\# \ 1)]$   
**by** (*simp add: esK-break-def tpsK-break-def*)

**qed**

**definition** *esLoop* ::  $\text{nat} \Rightarrow \text{nat} \Rightarrow (\text{nat} \times \text{nat}) \text{ list}$  **where**  
*esLoop* n m  $\equiv \text{esLoop-done } n \ m @ \text{esLoop-break } n \ m$

**lemma** *length-esLoop*:  $\text{length } (\text{esLoop } n \ m) \leq m * (14 + 3 * m + (2 * m + 3) * n) + m + 2$   
**unfolding** *esLoop-def* **using** *length-esLoop-done* **by** (*simp add: length-esLoop-break*)

**lemma** *length-esLoop'*:  $\text{length } (\text{esLoop } n \ m) \leq 2 + 18 * m * m + 5 * m * m * n$

**proof** –

**have**  $\text{length } (\text{esLoop } n \ m) \leq m * (14 + 3 * m + (2 * m + 3) * n) + m + 2$   
**using** *length-esLoop* .  
**also have**  $\dots = 14 * m + 3 * m * m + (2 * m * m + 3 * m) * n + m + 2$   
**by** *algebra*



**also have**  $\dots \leq 15 * m * m + 3 * m * m + (2 * m * m + 3 * m) * n + 2$   
**by** *simp*  
**also have**  $\dots \leq 2 + 18 * m * m + 5 * m * m * n$   
**by** *simp*  
**finally show** *?thesis* .  
**qed**

**lemma** *tmLoop*:  
**assumes** *proper-symbols zs*  
**shows** *traces tmLoop (tpsK0 zs m 0) (esLoop (length zs) m) (tpsK-break zs m)*  
**unfolding** *esLoop-def* **using** *assms* **by** (*intro traces-additive[OF tmLoop-done tmLoop-break]*)

**lemma** *tmLoop'*:  
**assumes** *proper-symbols zs*  
**shows** *traces tmLoop (tpsB3 zs m) (esLoop (length zs) m) (tpsK-break zs m)*  
**using** *assms tmLoop tpsK0-eq-tpsB3* **by** *simp*

**definition** *esB4* :: *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  (*nat*  $\times$  *nat*) *list* **where**  
*esB4 n m*  $\equiv$  *esB3 n m @ esLoop n m*

**lemma** *length-esB4*: *length (esB4 n m)*  $\leq 7 + 20 * m * m + 5 * m * m * n$   
**proof** –  
**have** *length (esB4 n m)*  $\leq 2 * m + 5 + m * (14 + 3 * m + (2 * m + 3) * n) + m + 2$   
**unfolding** *esB4-def*  
**using** *length-esB3 length-esLoop*  
**by** (*smt (verit) ab-semigroup-add-class.add-ac(1) add-less-cancel-left le-eq-less-or-eq length-append*)  
**also have**  $\dots = 2 * m + 5 + (14 * m + 3 * m * m + (2 * m * m + 3 * m) * n) + m + 2$   
**by** *algebra*  
**also have**  $\dots = 7 + 17 * m + 3 * m * m + (2 * m * m + 3 * m) * n$   
**by** *simp*  
**also have**  $\dots \leq 7 + 17 * m + 3 * m * m + 5 * m * m * n$   
**by** *simp*  
**also have**  $\dots \leq 7 + 20 * m * m + 5 * m * m * n$   
**by** *simp*  
**finally show** *?thesis* .  
**qed**

**lemma** *tmB4*:  
**assumes** *proper-symbols zs*  
**shows** *traces tmB4 (tps-ones zs m) (esB4 (length zs) m) (tpsK-break zs m)*  
**unfolding** *tmB4-def esB4-def*  
**using** *assms* **by** (*intro traces-sequential[OF tmB3 tmLoop']*)

**definition** *tpsB5* :: *symbol list*  $\Rightarrow$  *nat*  $\Rightarrow$  *tape list* **where**  
*tpsB5 zs m*  $\equiv$   
 $[[[zs], 1],$   
 $(\lambda x. \text{if } x = 0 \text{ then } \triangleright$   
 $\quad \text{else if } x \leq m \text{ then } \mathbf{0}$   
 $\quad \text{else if } x \leq m + 1 + m * \text{length } zs \text{ then } \mathbf{1}$   
 $\quad \text{else } \mathbf{0},$   
 $m + 1]]$

**definition** *esB5* :: *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  (*nat*  $\times$  *nat*) *list* **where**  
*esB5 n m*  $\equiv$  *esB4 n m @ [(1, m + 1)]*

**lemma** *length-esB5*: *length (esB5 n m)*  $\leq 8 + 20 * m * m + 5 * m * m * n$   
**unfolding** *esB5-def*  
**using** *length-esB4*  
**by** (*metis Suc-le-mono length-append-singleton one-plus-numeral plus-1-eq-Suc plus-nat.simps(2) semiring-norm(2) semiring-norm(4) semiring-norm(8)*)

**lemma** *tmB5*:  
**assumes** *proper-symbols zs*

**shows traces**  $tmB5$  ( $tps$ -ones  $zs$   $m$ ) ( $esB5$  ( $length$   $zs$ )  $m$ ) ( $tpsB5$   $zs$   $m$ )  
**unfolding**  $tmB5$ -def  $esB5$ -def  
**proof** (*rule traces-sequential*[*OF*  $tmB4$ ])  
**show** *proper-symbols*  $zs$   
**using** *assms* .  
**show** *traces* (*tm-write*  $1$   $\mathbf{1}$ ) ( $tpsK$ -break  $zs$   $m$ ) [ $(1, m + 1)$ ] ( $tpsB5$   $zs$   $m$ )  
**proof** (*rule traces-tm-write-1I*)  
**show**  $1 < length$  ( $tpsK$ -break  $zs$   $m$ )  
**using**  $tpsK$ -break-def **by** *simp-all*  
**show** [ $(1, m + 1)$ ] = [ $(tpsK$ -break  $zs$   $m$  :#:  $0$ ,  $tpsK$ -break  $zs$   $m$  :#:  $1$ )]  
**using**  $tpsK$ -break-def **by** *simp*  
**show**  $tpsB5$   $zs$   $m$  = ( $tpsK$ -break  $zs$   $m$ )[ $1 := tpsK$ -break  $zs$   $m$  !  $1$  |:=|  $\mathbf{1}$ ]  
**by** (*auto simp add: tpsK-break-def tpsB5-def*)  
**qed**  
**qed**

**definition**  $tpsB6$  :: *symbol list*  $\Rightarrow$  *nat*  $\Rightarrow$  *tape list* **where**

$tpsB6$   $zs$   $m$   $\equiv$   
 $[(\lfloor zs \rfloor, 1),$   
 $(\lambda x. \text{if } x = 0 \text{ then } \triangleright$   
 $\quad \text{else if } x \leq m \text{ then } \mathbf{0}$   
 $\quad \text{else if } x \leq m + 1 + m * length\ zs \text{ then } \mathbf{1}$   
 $\quad \text{else } 0,$   
 $1)]$

**definition**  $esB56$  :: *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  (*nat*  $\times$  *nat*) *list* **where**

$esB56$   $n$   $m$   $\equiv$  *map* (*Pair*  $1$ ) (*rev* [ $0..<m + 1$ ]) @ [ $(1, 0), (1, 1)$ ]

**definition**  $esB6$  :: *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  (*nat*  $\times$  *nat*) *list* **where**

$esB6$   $n$   $m$   $\equiv$   $esB5$   $n$   $m$  @  $esB56$   $n$   $m$

**lemma** *length-esB6*:  $length$  ( $esB6$   $n$   $m$ )  $\leq 11 + 21 * m * m + 5 * m * m * n$

**proof** –

**have**  $length$  ( $esB6$   $n$   $m$ )  $\leq 8 + 20 * m * m + 5 * m * m * n + m + 3$   
**unfolding**  $esB6$ -def  $esB56$ -def **using** *length-esB5* **by** (*simp add: ab-semigroup-add-class.add-ac*( $1$ ))  
**also have** ... =  $11 + 20 * m * m + 5 * m * m * n + m$   
**by** *simp*  
**also have** ...  $\leq 11 + 21 * m * m + 5 * m * m * n$   
**by** *simp*  
**finally show** ?*thesis* .  
**qed**

**lemma**  $tmB6$ :

**assumes** *proper-symbols*  $zs$   
**shows traces**  $tmB6$  ( $tps$ -ones  $zs$   $m$ ) ( $esB6$  ( $length$   $zs$ )  $m$ ) ( $tpsB6$   $zs$   $m$ )  
**unfolding**  $tmB6$ -def  $esB6$ -def  
**proof** (*rule traces-sequential*[*OF*  $tmB5$ ])  
**show** *proper-symbols*  $zs$   
**using** *assms* .  
**show** *traces* (*tm-cr*  $1$ ) ( $tpsB5$   $zs$   $m$ ) ( $esB56$  ( $length$   $zs$ )  $m$ ) ( $tpsB6$   $zs$   $m$ )  
**proof** (*rule traces-tm-cr-1I*)  
**show**  $1 < length$  ( $tpsB5$   $zs$   $m$ )  
**using**  $tpsB5$ -def **by** *simp*  
**show** *clean-tape* ( $tpsB5$   $zs$   $m$  !  $1$ )  
**using**  $tpsB5$ -def *clean-tape-def* **by** *simp*  
**show**  $esB56$  ( $length$   $zs$ )  $m$  =  
 $map$  (*Pair* ( $tpsB5$   $zs$   $m$  :#:  $0$ )) (*rev* [ $0..<tpsB5$   $zs$   $m$  :#:  $1$ ]) @  
 $[(tpsB5$   $zs$   $m$  :#:  $0, 0), (tpsB5$   $zs$   $m$  :#:  $0, 1)]$   
**by** (*simp add: esB56-def tpsB5-def*)  
**show**  $tpsB6$   $zs$   $m$  = ( $tpsB5$   $zs$   $m$ )[ $1 := tpsB5$   $zs$   $m$  !  $1$  |#|=|  $1$ ]  
**by** (*simp add: tpsB6-def tpsB5-def*)  
**qed**  
**qed**

**definition**  $tpsB7 :: \text{symbol list} \Rightarrow \text{nat} \Rightarrow \text{tape list}$  **where**

```
tpsB7 zs m  $\equiv$ 
  [( [zs], 1),
    ( $\lambda x$ . if  $x = 0$  then  $\triangleright$ 
      else if  $x \leq m + 1 + m * \text{length } zs$  then 1
      else 0,
      m + 1)]
```

**definition**  $esB67 :: \text{nat} \Rightarrow \text{nat} \Rightarrow (\text{nat} \times \text{nat})$  **list** **where**

```
esB67 n m  $\equiv$  map ( $\lambda i$ . (1, 1 + Suc i)) [0.. $m$ ] @ [(1, 1 + m)]
```

**definition**  $esB7 :: \text{nat} \Rightarrow \text{nat} \Rightarrow (\text{nat} \times \text{nat})$  **list** **where**

```
esB7 n m  $\equiv$  esB6 n m @ esB67 n m
```

**lemma**  $\text{length-esB7}$ :  $\text{length } (esB7 \ n \ m) \leq 12 + 22 * m * m + 5 * m * m * n$

**proof** –

```
have  $\text{length } (esB7 \ n \ m) \leq 11 + 21 * m * m + 5 * m * m * n + m + 1$ 
```

```
unfolding esB7-def esB67-def
```

```
using length-esB6
```

```
by (smt (verit) add.commute add-Suc-right add-le-cancel-right length-append length-append-singleton
  length-map length-upt minus-nat.diff-0 plus-1-eq-Suc)
```

```
also have ...  $\leq 12 + 22 * m * m + 5 * m * m * n$ 
```

```
by simp
```

```
finally show ?thesis .
```

**qed**

**lemma**  $tmB7$ :

```
assumes proper-symbols zs
```

```
shows traces  $tmB7$  (tps-ones zs m) (esB7 (length zs) m) (tpsB7 zs m)
```

```
unfolding tmB7-def esB7-def
```

**proof** (rule traces-sequential[OF  $tmB6$ ])

```
show proper-symbols zs
```

```
using assms .
```

```
show traces (tm-const-until 1 1 {1} 1) (tpsB6 zs m) (esB67 (length zs) m) (tpsB7 zs m)
```

```
proof (rule traces-tm-const-until-11I)
```

```
show  $1 < \text{length } (tpsB6 \ zs \ m) \ 3 < G$ 
```

```
using tpsB6-def G G-ge4 by simp-all
```

```
show rneigh (tpsB6 zs m ! 1) {1} m
```

```
using tpsB6-def by (intro rneighI) auto
```

```
show esB67 (length zs) m =
```

```
map ( $\lambda i$ . (tpsB6 zs m :#: 0, tpsB6 zs m :#: 1 + Suc i)) [0.. $m$ ] @
```

```
[(tpsB6 zs m :#: 0, tpsB6 zs m :#: 1 + m)]
```

```
by (simp add: tpsB6-def esB67-def)
```

```
show tpsB7 zs m = (tpsB6 zs m) [1 := constplant (tpsB6 zs m ! 1) 1 m]
```

```
using constplant by (auto simp add: tpsB7-def tpsB6-def)
```

```
qed
```

**qed**

**definition**  $tpsB8 :: \text{symbol list} \Rightarrow \text{nat} \Rightarrow \text{tape list}$  **where**

```
tpsB8 zs m  $\equiv$ 
  [( [zs], 1),
    ( $\lambda x$ . if  $x = 0$  then  $\triangleright$ 
      else if  $x \leq m + 1 + m * \text{length } zs$  then 1
      else 0,
      1)]
```

**definition**  $esB78 :: \text{nat} \Rightarrow \text{nat} \Rightarrow (\text{nat} \times \text{nat})$  **list** **where**

```
esB78 n m  $\equiv$  map (Pair 1) (rev [0.. $m + 1$ ]) @ [(1, 0), (1, 1)]
```

**definition**  $esB8 :: \text{nat} \Rightarrow \text{nat} \Rightarrow (\text{nat} \times \text{nat})$  **list** **where**

```
esB8 n m  $\equiv$  esB7 n m @ esB78 n m
```

**lemma** *length-esB8*:  $\text{length } (esB8 \ n \ m) \leq 15 + 23 * m * m + 5 * m * m * n$   
**proof** –  
 have  $\text{length } (esB8 \ n \ m) \leq 12 + 22 * m * m + 5 * m * m * n + m + 3$   
 unfolding *esB8-def esB78-def* using *length-esB7* by (*simp add: ab-semigroup-add-class.add-ac(1)*)  
 also have  $\dots \leq 15 + 23 * m * m + 5 * m * m * n$   
 by *simp*  
 finally show *?thesis* .  
**qed**

**lemma** *tmB8*:  
 assumes *proper-symbols zs*  
 shows *traces tmB8 (tps-ones zs m) (esB8 (length zs) m) (tpsB8 zs m)*  
 unfolding *tmB8-def esB8-def*  
**proof** (*rule traces-sequential[OF tmB7]*)  
 show *proper-symbols zs*  
 using *assms* .  
 show *traces (tm-cr 1) (tpsB7 zs m) (esB78 (length zs) m) (tpsB8 zs m)*  
**proof** (*rule traces-tm-cr-1I*)  
 show  $1 < \text{length } (tpsB7 \ zs \ m)$   
 using *tpsB7-def* by *simp*  
 show *clean-tape (tpsB7 zs m ! 1)*  
 using *tpsB7-def clean-tape-def* by *simp*  
 show  $esB78 \ (length \ zs) \ m =$   
 $\text{map } (Pair \ (tpsB7 \ zs \ m \ :\# : \ 0)) \ (\text{rev } [0..<tpsB7 \ zs \ m \ :\# : \ 1]) \ @$   
 $[(tpsB7 \ zs \ m \ :\# : \ 0, \ 0), \ (tpsB7 \ zs \ m \ :\# : \ 0, \ 1)]$   
 by (*simp add: esB78-def tpsB7-def*)  
 show  $tpsB8 \ zs \ m = (tpsB7 \ zs \ m)[1 := tpsB7 \ zs \ m \ ! \ 1 \ | \# = | \ 1]$   
 by (*simp add: tpsB8-def tpsB7-def*)  
**qed**  
**qed**

**lemma** *tps-ones-eq-tpsB8*:  $tpsB8 \ zs \ m = tps-ones \ zs \ (1 + m * (\text{length } zs + 1))$   
 using *tpsB8-def* by *auto*

**lemma** *tmB*:  
 assumes *proper-symbols zs*  
 shows *traces tmB (tps-ones zs m) (esB8 (length zs) m) (tps-ones zs (1 + m \* (length zs + 1)))*  
 using *assms tps-ones-eq-tpsB8 tmB8 tmB-eq-tmB8* by *simp*

### 5.2.3 Appending a fixed number of symbols

The next Turing machine appends a constant number  $c$  of **1** symbols to the output tape.

**definition** *tmC* ::  $nat \Rightarrow machine$  **where**

*tmC*  $c \equiv$   
*tm-right-until 1 {□} ;;*  
*tm-write-repeat 1 1 c ;;*  
*tm-cr 1*

**lemma** *tmC-tm*: *turing-machine 2 G (tmC c)*  
 unfolding *tmC-def* using *tm-right-until-tm tm-write-repeat-tm tm-cr-tm G*  
 by *simp*

**definition** *tmC1*  $\equiv tm-right-until \ 1 \ \{\square\}$

**definition** *tmC2*  $c \equiv tmC1 \ ;; \ tm-write-repeat \ 1 \ 1 \ c$

**definition** *tmC3*  $c \equiv tmC2 \ c \ ;; \ tm-cr \ 1$

**definition** *tpsC1* ::  $symbol \ list \Rightarrow nat \Rightarrow tape \ list$  **where**

*tpsC1*  $zs \ m \equiv$   
 $[(\lfloor zs \rfloor, \ 1),$   
 $(\lambda x. \ \text{if } x = 0 \ \text{then } \triangleright$   
 $\quad \text{else if } x \leq m \ \text{then } \mathbf{1}$   
 $\quad \text{else } 0,$   
 $\ m + 1)]$

**definition**  $esC1 :: nat \Rightarrow nat \Rightarrow (nat \times nat) list$  **where**  
 $esC1\ n\ m \equiv map\ (\lambda i. (1, 1 + Suc\ i))\ [0..<m]\ @\ [(1, 1 + m)]$

**lemma**  $length-esC1$ :  $length\ (esC1\ n\ m) = m + 1$   
**unfolding**  $esC1-def$  **by**  $simp$

**lemma**  $tmC1$ :

**assumes**  $proper-symbols\ zs$

**shows**  $traces\ tmC1\ (tps5\ zs\ m)\ (esC1\ (length\ zs)\ m)\ (tpsC1\ zs\ m)$

**unfolding**  $tmC1-def$

**proof** ( $rule\ traces-tm-right-until-1I[where\ ?n=m]$ )

**show**  $1 < length\ (tps5\ zs\ m)$

**using**  $tps5-def$  **by**  $simp$

**show**  $rneigh\ (tps5\ zs\ m\ !\ 1)\ \{0\}\ m$

**using**  $rneigh-def\ tps5-def$  **by**  $simp$

**show**  $esC1\ (length\ zs)\ m =$

$map\ (\lambda i. (tps5\ zs\ m\ :\#:\ 0, tps5\ zs\ m\ :\#:\ 1 + Suc\ i))\ [0..<m]\ @\ [(tps5\ zs\ m\ :\#:\ 0, tps5\ zs\ m\ :\#:\ 1 + m)]$

**by** ( $simp\ add:\ tps5-def\ esC1-def$ )

**show**  $tpsC1\ zs\ m = (tps5\ zs\ m)[1 := tps5\ zs\ m\ !\ 1\ |+\ m]$

**by** ( $simp\ add:\ tps5-def\ tpsC1-def$ )

**qed**

**definition**  $tpsC2 :: symbol\ list \Rightarrow nat \Rightarrow nat \Rightarrow tape\ list$  **where**

$tpsC2\ zs\ m\ c \equiv$

$[(\llbracket zs \rrbracket, 1),$

$(\lambda x. if\ x = 0\ then\ \triangleright$

$else\ if\ x \leq m + c\ then\ \mathbf{1}$

$else\ 0,$

$m + 1 + c)]$

**definition**  $esC12 :: nat \Rightarrow nat \Rightarrow nat \Rightarrow (nat \times nat) list$  **where**

$esC12\ n\ m\ c \equiv map\ (\lambda i. (1, m + 1 + Suc\ i))\ [0..<c]$

**definition**  $esC2 :: nat \Rightarrow nat \Rightarrow nat \Rightarrow (nat \times nat) list$  **where**

$esC2\ n\ m\ c \equiv esC1\ n\ m\ @\ esC12\ n\ m\ c$

**lemma**  $length-esC2$ :  $length\ (esC2\ n\ m\ c) = m + 1 + c$

**unfolding**  $esC2-def$  **by** ( $simp\ add:\ length-esC1\ esC12-def$ )

**lemma**  $tmC2$ :

**assumes**  $proper-symbols\ zs$

**shows**  $traces\ (tmC2\ c)\ (tps5\ zs\ m)\ (esC2\ (length\ zs)\ m\ c)\ (tpsC2\ zs\ m\ c)$

**unfolding**  $tmC2-def\ esC2-def$

**proof** ( $rule\ traces-sequential[OF\ tmC1]$ )

**show**  $proper-symbols\ zs$

**using**  $assms$  .

**show**  $traces\ (tm-write-repeat\ 1\ \mathbf{1}\ c)\ (tpsC1\ zs\ m)\ (esC12\ (length\ zs)\ m\ c)\ (tpsC2\ zs\ m\ c)$

**proof** ( $rule\ traces-tm-write-repeat-1I$ )

**show**  $1 < length\ (tpsC1\ zs\ m)$

**using**  $tpsC1-def$  **by**  $simp$

**show**  $esC12\ (length\ zs)\ m\ c =$

$map\ (\lambda i. (tpsC1\ zs\ m\ :\#:\ 0, tpsC1\ zs\ m\ :\#:\ 1 + Suc\ i))\ [0..<c]$

**by** ( $simp\ add:\ esC12-def\ tpsC1-def$ )

**show**  $tpsC2\ zs\ m\ c = (tpsC1\ zs\ m)[1 := overwrite\ (tpsC1\ zs\ m\ !\ 1)\ \mathbf{1}\ c]$

**by** ( $auto\ simp\ add:\ tpsC2-def\ tpsC1-def\ overwrite-def$ )

**qed**

**qed**

**definition**  $tpsC3 :: symbol\ list \Rightarrow nat \Rightarrow nat \Rightarrow tape\ list$  **where**

$tpsC3\ zs\ m\ c \equiv$

$[(\llbracket zs \rrbracket, 1),$

```

( $\lambda x$ . if  $x = 0$  then  $\triangleright$ 
  else if  $x \leq m + c$  then 1
  else  $0$ ,
1)]

```

**definition**  $esC23 :: nat \Rightarrow nat \Rightarrow nat \Rightarrow (nat \times nat)$  list **where**  
 $esC23\ n\ m\ c \equiv map\ (Pair\ 1)\ (rev\ [0..<m + 1 + c])\ @\ [(1, 0), (1, 1)]$

**definition**  $esC3 :: nat \Rightarrow nat \Rightarrow nat \Rightarrow (nat \times nat)$  list **where**  
 $esC3\ n\ m\ c \equiv esC2\ n\ m\ c\ @\ esC23\ n\ m\ c$

**lemma**  $length-esC3$ :  $length\ (esC3\ n\ m\ c) = 2 * m + 2 * c + 4$   
**unfolding**  $esC3-def$  **by** ( $simp\ add$ :  $length-esC2\ esC23-def$ )

**lemma**  $tmC3$ :

**assumes**  $proper-symbols\ zs$

**shows**  $traces\ (tmC3\ c)\ (tps5\ zs\ m)\ (esC3\ (length\ zs)\ m\ c)\ (tpsC3\ zs\ m\ c)$

**unfolding**  $tmC3-def\ esC3-def$

**proof** ( $rule\ traces-sequential[OF\ tmC2]$ )

**show**  $proper-symbols\ zs$

**using**  $assms$  .

**show**  $traces\ (tm-cr\ 1)\ (tpsC2\ zs\ m\ c)\ (esC23\ (length\ zs)\ m\ c)\ (tpsC3\ zs\ m\ c)$

**proof** ( $rule\ traces-tm-cr-1I$ )

**show**  $1 < length\ (tpsC2\ zs\ m\ c)$

**using**  $tpsC2-def$  **by**  $simp$

**show**  $clean-tape\ (tpsC2\ zs\ m\ c\ !\ 1)$

**using**  $tpsC2-def\ clean-tape-def$  **by**  $simp$

**show**  $esC23\ (length\ zs)\ m\ c =$

$map\ (Pair\ (tpsC2\ zs\ m\ c\ \#\ 0))\ (rev\ [0..<tpsC2\ zs\ m\ c\ \#\ 1])\ @$   
 $[(tpsC2\ zs\ m\ c\ \#\ 0, 0), (tpsC2\ zs\ m\ c\ \#\ 0, 1)]$

**by** ( $simp\ add$ :  $tpsC2-def\ esC23-def$ )

**show**  $tpsC3\ zs\ m\ c = (tpsC2\ zs\ m\ c)[1 := tpsC2\ zs\ m\ c\ !\ 1\ |\#\ =|\ 1]$

**by** ( $simp\ add$ :  $tpsC2-def\ tpsC3-def$ )

**qed**

**qed**

**lemma**  $tpsC3-eq-tps5$ :  $tpsC3\ zs\ m\ c = tps5\ zs\ (m + c)$   
**by** ( $simp\ add$ :  $tpsC3-def\ tps5-def$ )

**lemma**  $tmC3-eq-tmC$ :  $tmC3 = tmC$   
**unfolding**  $tmC-def\ tmC3-def\ tmC2-def\ tmC1-def$  **by**  $simp$

**lemma**  $tmC$ :

**assumes**  $proper-symbols\ zs$

**shows**  $traces\ (tmC\ c)\ (tps-ones\ zs\ m)\ (esC3\ (length\ zs)\ m\ c)\ (tps-ones\ zs\ (m + c))$

**using**  $tmC3[OF\ assms]\ tmC3-eq-tmC\ tpsC3-eq-tps5\ tps5-def$  **by**  $simp$

## 5.2.4 Polynomials of higher degree

In order to construct polynomials of arbitrary degree, we repeat the TM  $tmB$ .

**fun**  $tm-degree :: nat \Rightarrow machine$  **where**

$tm-degree\ 0 = []\ |$

$tm-degree\ (Suc\ d) = tm-degree\ d\ ;;\ tmB$

**lemma**  $tm-degree-tm$ :  $turing-machine\ 2\ G\ (tm-degree\ d)$

**proof** ( $induction\ d$ )

**case**  $0$

**then show**  $?case$

**by** ( $simp\ add$ :  $turing-machine-def$ )

**next**

**case**  $(Suc\ d)$

**then show**  $?case$

**using**  $turing-machine-def\ tmB-tm$

by (*metis tm-degree.simps(2) turing-machine-sequential-turing-machine*)  
**qed**

The number of **1** symbols the TM *tm-degree d* outputs on an input of length *n*:

**fun** *m-degree* :: *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  *nat* **where**  
*m-degree* *n* 0 = *n* |  
*m-degree* *n* (*Suc* *d*) = 1 + *m-degree* *n* *d* \* (*n* + 1)

**fun** *es-degree* :: *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  (*nat* \* *nat*) *list* **where**  
*es-degree* *n* 0 = [] |  
*es-degree* *n* (*Suc* *d*) = *es-degree* *n* *d* @ *esB8* *n* (*m-degree* *n* *d*)

**lemma** *tm-degree*:

**assumes** *proper-symbols* *zs*

**shows** *traces*

(*tm-degree* *d*)  
(*tps-ones* *zs* (*length* *zs*))  
(*es-degree* (*length* *zs*) *d*)  
(*tps-ones* *zs* (*m-degree* (*length* *zs*) *d*))

**proof** (*induction* *d*)

**case** 0

**then show** ?*case*

by *fastforce*

**next**

**case** (*Suc* *d*)

**have** *traces* (*tm-degree* *d* ;; *tmB*)

(*tps-ones* *zs* (*length* *zs*))  
(*es-degree* (*length* *zs*) *d* @ *esB8* (*length* *zs*) (*m-degree* (*length* *zs*) *d*))  
(*tps-ones* *zs* (*m-degree* (*length* *zs*) (*Suc* *d*)))

**proof** (*rule* *traces-sequential[OF Suc.IH]*)

**show** *traces* *tmB* (*tps-ones* *zs* (*m-degree* (*length* *zs*) *d*))

(*esB8* (*length* *zs*) (*m-degree* (*length* *zs*) *d*))  
(*tps-ones* *zs* (*m-degree* (*length* *zs*) (*Suc* *d*)))

using *tmB[OF assms, of m-degree (length zs) d]* *m-degree.simps(2)* by *presburger*

**qed**

**then show** ?*case*

by *simp*

**qed**

A lower bound for the number of **1** symbols the TM *tm-degree d* outputs:

**lemma** *m-degree-ge-pow*: *m-degree* *n* *d*  $\geq$   $n^{\wedge}(\text{Suc } d)$

**proof** (*induction* *d*)

**case** 0

**then show** ?*case*

by *simp*

**next**

**case** (*Suc* *d*)

**have** *m-degree* *n* (*Suc* *d*) = 1 + *m-degree* *n* *d* \* (*n* + 1)

by *simp*

**then have** *m-degree* *n* (*Suc* *d*)  $\geq$  1 +  $n^{\wedge} \text{Suc } d$  \* (*n* + 1)

using *Suc* by (*simp add: add-mono-thms-linordered-semiring(1)*)

**then have** *m-degree* *n* (*Suc* *d*)  $\geq$  1 +  $n^{\wedge} \text{Suc } d$  \* *n* +  $n^{\wedge} \text{Suc } d$

by *simp*

**then have** *m-degree* *n* (*Suc* *d*)  $\geq$  1 +  $n^{\wedge}(\text{Suc } (\text{Suc } d))$  +  $n^{\wedge} \text{Suc } d$

by (*metis power-Suc2*)

**then show** ?*case*

by *simp*

**qed**

An upper bound for the number of **1** symbols the TM *tm-degree d* outputs:

**lemma** *m-degree-poly*: *big-oh-poly* ( $\lambda n. m-degree$  *n* *d*)

**proof** (*induction* *d*)

**case** 0

```

have ( $\lambda n. m\text{-degree } n \ 0$ ) = ( $\lambda n. n$ )
  by simp
then show ?case
  using big-oh-poly-poly[of 1] by simp
next
case (Suc d)
have big-oh-poly ( $\lambda n. n + 1$ )
  using big-oh-poly-sum[OF big-oh-poly-poly[of 1] big-oh-poly-const[of 1]]
  by simp
then have big-oh-poly ( $\lambda n. m\text{-degree } n \ d * (n + 1)$ )
  using big-oh-poly-prod[OF Suc] by blast
then have big-oh-poly ( $\lambda n. 1 + m\text{-degree } n \ d * (n + 1)$ )
  using big-oh-poly-sum[OF big-oh-poly-const[of 1]] by simp
then show ?case
  by simp
qed

```

**corollary** *m-degree-plus-const-poly*: *big-oh-poly* ( $\lambda n. m\text{-degree } n \ d + c$ )  
**using** *m-degree-poly big-oh-poly-sum big-oh-poly-const* **by** *simp*

**lemma** *length-es-degree*: *big-oh-poly* ( $\lambda n. \text{length } (es\text{-degree } n \ d)$ )

**proof** (*induction d*)

**case** *0*

**then show** *?case*

**using** *big-oh-poly-const* **by** *simp*

**next**

**case** (*Suc d*)

**have** *big-oh-poly* ( $\lambda n. \text{length } (esB8 \ n \ (m\text{-degree } n \ d))$ )

**proof** –

**let** *?m* =  $\lambda n. m\text{-degree } n \ d$

**have** *big-oh-poly* *?m*

**using** *m-degree-poly* **by** *simp*

**then have** *big-oh-poly* ( $\lambda n. 15 + 23 * ?m \ n * ?m \ n + 5 * ?m \ n * ?m \ n * n$ )

**using** *big-oh-poly-sum big-oh-poly-const big-oh-poly-prod big-oh-poly-poly[of 1]*

**by** *simp*

**then show** *?thesis*

**using** *length-esB8 big-oh-poly-le* **by** *simp*

**qed**

**then show** *?case*

**using** *Suc big-oh-poly-sum* **by** *simp*

**qed**

Putting together the TM *tmA*, the TM *tm-degree d* for some *d*, and the TM *tmC c* for some *c*, we get a family of TMs parameterized by *d* and *c*. These TMs construct all the polynomials we need.

**definition** *tm-poly* :: *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  *machine* **where**

*tm-poly d c*  $\equiv$  *tmA* ;; (*tm-degree d* ;; *tmC c*)

**lemma** *tm-poly-tm*: *turing-machine 2 G (tm-poly d c)*

**unfolding** *tm-poly-def* **using** *tmA-tm tm-degree-tm tmC-tm* **by** *simp*

**definition** *es-poly* :: *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  (*nat*  $\times$  *nat*) *list* **where**

*es-poly n d c*  $\equiv$  *es5 n* @ *es-degree n d* @ *esC3 n (m-degree n d) c*

On an input of length *n* the Turing machine *tm-poly d c* outputs *m-degree n d + c* symbols **1**.

**lemma** *tm-poly*:

**assumes** *proper-symbols zs*

**shows** *traces*

(*tm-poly d c*)

(*tps0 zs*)

(*es-poly (length zs) d c*)

(*tps-ones zs (m-degree (length zs) d + c)*)

**unfolding** *tm-poly-def es-poly-def*

**using** *assms traces-sequential[OF tmA] traces-sequential[OF tm-degree] tmC*



by *simp*

The Turing machines run in polynomial time because their traces have polynomial length:

**lemma** *length-es-poly: big-oh-poly* ( $\lambda n. \text{length} (es\text{-poly } n \ d \ c)$ )

**proof** –

have *big-oh-poly* ( $\lambda n. \text{length} (es5 \ n)$ )

using *length-es5 big-oh-poly-const big-oh-poly-prod big-oh-poly-sum big-oh-poly-poly*[of 1]

by *simp*

moreover have *big-oh-poly* ( $\lambda n. \text{length} (esC3 \ n \ (m\text{-degree } n \ d) \ c)$ )

**proof** –

have \*: ( $\lambda n. \text{length} (esC3 \ n \ (m\text{-degree } n \ d) \ c)$ ) = ( $\lambda n. 2 * (m\text{-degree } n \ d) + 2 * c + 4$ )

using *length-esC3* by *fast*

have *big-oh-poly* ( $\lambda n. 2 * (m\text{-degree } n \ d) + 2 * c + 4$ )

using *m-degree-poly big-oh-poly-const big-oh-poly-prod big-oh-poly-sum* by *simp*

then show *?thesis*

by (*simp add: \**)

**qed**

ultimately have *big-oh-poly* ( $\lambda n. \text{length} (es5 \ n) + \text{length} (es\text{-degree } n \ d) + \text{length} (esC3 \ n \ (m\text{-degree } n \ d) \ c)$ )

using *length-es-degree big-oh-poly-sum* by *blast*

then show *?thesis*

by (*simp add: es-poly-def add.assoc*)

**qed**

The Turing machine *tm-poly d c* outputs *m-degree n c + c* many **1** symbols on an input of length *n*. Hence for every polynomially bounded function *f* there is such a Turing machine outputting at least *f(n)* symbols **1**.

**lemma** *m-degree-plus-const*:

assumes *big-oh-poly f*

obtains *d c* where  $\forall n. f \ n \leq m\text{-degree } n \ d + c$

**proof** –

obtain *c m d* where *f*:  $\forall n > m. f \ n \leq c * n \wedge d$

using *assms big-oh-poly* by *auto*

let *?d = Suc d*

let *?k = max c m*

have  $n \wedge ?d \geq c * n \wedge d$  if  $n > ?k$  for *n*

using *that* by *simp*

moreover have  $f \ n \leq c * n \wedge d$  if  $n > ?k$  for *n*

using *f that* by *simp*

ultimately have 1:  $f \ n \leq n \wedge ?d$  if  $n > ?k$  for *n*

using *that* using *order-trans* by *blast*

define *c'* where  $c' = \text{Max } \{f \ n \mid n. n \leq ?k\}$

moreover have *finite*  $\{f \ n \mid n. n \leq ?k\}$

by *simp*

ultimately have  $c' \geq f \ n$  if  $n \leq ?k$  for *n*

using *that Max.bounded-iff* by *blast*

then have  $f \ n \leq n \wedge ?d + c'$  if  $n \leq ?k$  for *n*

by (*simp add: that trans-le-add2*)

moreover have  $f \ n \leq n \wedge ?d + c'$  if  $n > ?k$  for *n*

using *that 1* by *fastforce*

ultimately have  $f \ n \leq n \wedge ?d + c'$  for *n*

using *leI* by *blast*

then have  $f \ n \leq m\text{-degree } n \ d + c'$  for *n*

using *m-degree-ge-pow* by (*meson le-diff-conv less-le-trans not-le*)

then show *?thesis*

using *that* by *auto*

**qed**

The Turing machines are oblivious.

**lemma** *tm-poly-oblivious: oblivious* (*tm-poly d c*)

**proof** –

have *tm: turing-machine 2 G* (*tm-poly d c*)

using *tm-poly-tm* by *simp*

have *init: (0, tps0 zs) = start-config 2 zs* for *zs*

```

    using tps0-def start-config-def contents-def by auto
  {
    fix zs
    assume bit-symbols zs
    then have proper: proper-symbols zs
      by auto
    define tps where tps = tps-ones zs (m-degree (length zs) d + c)
    moreover define e where e = ( $\lambda n$ . es-poly n d c)
    ultimately have trace (tm-poly d c) (start-config 2 zs) (e (length zs)) (length (tm-poly d c), tps)
      using tm-poly init proper by (simp add: traces-def)
  }
  then show ?thesis
  using tm oblivious-def by fast
qed

end

```

**definition** *start-tapes-2* :: *symbol list*  $\Rightarrow$  *tape list* **where**  
*start-tapes-2* zs  $\equiv$   
 [( $\lfloor$ zs $\rfloor$ , 0),  
 ( $\lambda i$ . if  $i = 0$  then  $\triangleright$  else  $\square$ , 0)]

**definition** *one-tapes-2* :: *symbol list*  $\Rightarrow$  *nat*  $\Rightarrow$  *tape list* **where**  
*one-tapes-2* zs m  $\equiv$   
 [( $\lfloor$ zs $\rfloor$ , 1),  
 ( $\lfloor$ replicate m  $\mathbf{1}$  $\rfloor$ , 1)]

The main result of this chapter. For every polynomially bounded function  $g$  there is a polynomial-time two-tape oblivious Turing machine that outputs at least  $g(n)$  symbols  $\mathbf{1}$  for every input length  $n$ .

**lemma** *polystructor*:

**assumes** *big-oh-poly*  $g$  **and**  $G \geq 5$   
**shows**  $\exists M$  es  $f$ .  
 turing-machine 2  $G$   $M \wedge$   
 big-oh-poly ( $\lambda n$ . length (es n))  $\wedge$   
 big-oh-poly  $f \wedge$   
 ( $\forall n$ .  $g$  n  $\leq f$  n)  $\wedge$   
 ( $\forall$  zs. proper-symbols zs  $\longrightarrow$  traces  $M$  (start-tapes-2 zs) (es (length zs)) (one-tapes-2 zs (f (length zs))))

**proof** –

**interpret** *turing-machine-poly*  $G$   
 using *assms*(2) by (simp add: turing-machine-poly-def)  
**obtain**  $d$   $c$  **where**  $dc$ :  $\forall n$ .  $g$  n  $\leq m$ -degree n  $d + c$   
 using *assms*(1) *m-degree-plus-const* by auto  
**define**  $M$  **where**  $M = tm$ -poly  $d$   $c$   
**define** es **where** es = ( $\lambda n$ . es-poly n  $d$   $c$ )  
**define**  $f$  **where**  $f = (\lambda n$ .  $m$ -degree n  $d + c$ )  
**have** *turing-machine* 2  $G$   $M$   
 using  $M$ -def *tm-poly-tm* *assms*(2) by simp  
**moreover** **have** *big-oh-poly* ( $\lambda n$ . length (es n))  
 using *es-def* *length-es-poly* by simp  
**moreover** **have**  $\forall n$ .  $g$  n  $\leq f$  n  
 using *f-def*  $dc$  by simp  
**moreover** **have** *big-oh-poly*  $f$   
 using *f-def* *m-degree-plus-const-poly* by simp  
**moreover** **have**  
 $\forall$  zs. proper-symbols zs  $\longrightarrow$  traces  $M$  (start-tapes-2 zs) (es (length zs)) (one-tapes-2 zs (f (length zs)))  
**proof** (*standard*, *standard*)  
 fix zs  
 assume zs: proper-symbols zs  
 have traces  $M$  (tps0 zs) (es (length zs)) (tps-ones zs (f (length zs)))  
 unfolding  $M$ -def *es-def* *f-def* using *tm-poly*[*OF* zs, of  $d$   $c$ ] by simp  
 moreover **have** tps0 = start-tapes-2  
 using tps0-def start-tapes-2-def by presburger  
 ultimately **have** traces  $M$  (start-tapes-2 zs) (es (length zs)) (tps-ones zs (f (length zs)))

```

    by simp
  moreover have one-tapes-2 = tps-ones
    using one-tapes-2-def contents-def by fastforce
  ultimately show traces M (start-tapes-2 zs) (es (length zs)) (one-tapes-2 zs (f (length zs)))
    bymetis
qed
ultimately show ?thesis
  by auto
qed
end

```

### 5.3 Existence of two-tape oblivious Turing machines

```

theory Oblivious-2-Tape
  imports Oblivious-Polynomial NP
begin

```

In this section we show that for every polynomial-time multi-tape Turing machine there is a polynomial-time two-tape oblivious Turing machine that computes the same function and halts with its output tape head in cell number 1.

Consider a  $k$ -tape Turing machine  $M$  with polynomially bounded running time  $T$ . We construct a two-tape oblivious Turing machine  $S$  simulating  $M$  as follows.

Lemma *polystructor* from the previous section provides us with a polynomial-time two-tape oblivious TM and a function  $f(n) \geq T(n)$  such that the TM outputs  $\mathbf{1}^{f(n)}$  for all inputs of length  $n$ .

Executing this TM is the first thing our simulator does. The  $f(n)$  symbols  $\mathbf{1}$  mark the space  $S$  is going to use. Every cell  $i = 0, \dots, f(n) - 1$  of this space is to store a symbol that encodes a  $(2k + 2)$ -tuple consisting of:

- $k$  symbols from  $M$ 's alphabet representing the contents of all the  $i$ -th cells on the  $k$  tapes of  $M$ ;
- $k$  flags (called “head flags”) signalling which of the  $k$  tape heads of  $M$  is in cell  $i$ ;
- a flag (called “counter flag”) initialized with 0;
- a flag (called “start flag”) signalling whether  $i = 0$ .

Together the counter flags are a unary counter from 0 to  $f(n)$ . They are toggled from left to right. The start flags never change. The symbols and the head flags represent the  $k$  tapes of  $M$  at some step of the execution. By choice of  $f$  the TM  $M$  cannot use more than  $f(n)$  cells on any tape. So the space marked with  $\mathbf{1}$  symbols on the simulator's output tape suffices.

Next the simulator initializes the space of  $\mathbf{1}$  symbols with code symbols representing the start configuration of  $M$  for the input given to the simulator.

Then the main loop of the simulation performs  $f(n)$  iterations. In each iteration  $S$  performs one step of  $M$ 's computation. In order to do this it performs several left-to-right and right-to-left sweeps over all the  $f(n)$  cells in the formatted section of the output tape. A sweep will move the output tape head one cell right (respectively left) in each step. In this way the simulator's head positions at any time will only depend on  $f(n)$ , and hence on  $n$ . Thus the machine will be oblivious. Moreover  $f(n) \geq T(n)$ , and so  $M$  will be in the halting state after  $f(n)$  iterations of the simulation. Counting the iterations to  $f(n)$  is achieved via the counter flags.

Finally the simulator extracts from each code symbol the symbol corresponding to  $M$ 's output tape, thus reconstructing the output of  $M$  on the simulator's output tape. Thanks to the start flags, the simulator can easily locate the leftmost cell and put the output tape head one to the right of it, as required.

The construction heavily uses the memorization-in-states technique (see Chapter 2.5). At first the machine features  $2k + 1$  memorization tapes in addition to the input tape and output tape. The purpose of those tapes is to store  $M$ 's state and the symbols under the tape heads of  $M$  in the currently simulated step of  $M$ 's execution, as well as the  $k$  symbols to be written write and head movements to be executed by the simulator.

The next predicate expresses that a Turing machine halts within a time bound depending on the length of the input. We did not have a need for this fairly basic predicate yet, because so far we were always interested in the halting configuration, too, and so the predicate *computes-in-time* sufficed.

**definition** *time-bound* :: *machine*  $\Rightarrow$  *nat*  $\Rightarrow$  (*nat*  $\Rightarrow$  *nat*)  $\Rightarrow$  *bool* **where**  
*time-bound* *M* *k* *T*  $\equiv$   
 $\forall$  *zs*. *bit-symbols* *zs*  $\longrightarrow$  *fst* (*execute* *M* (*start-config* *k* *zs*) (*T* (*length* *zs*))) = *length* *M*

**lemma** *time-bound-ge*:  
**assumes** *time-bound* *M* *k* *T* **and**  $\forall$  *n*. *fmt* *n*  $\geq$  *T* *n*  
**shows** *time-bound* *M* *k* *fmt*  
**using** *time-bound-def* *assms* **by** (*metis* *execute-after-halting-ge*)

The time bound also bounds the position of all the tape heads.

**lemma** *head-pos-le-time-bound*:  
**assumes** *turing-machine* *k* *G* *M*  
**and** *time-bound* *M* *k* *T*  
**and** *bit-symbols* *zs*  
**and** *j* < *k*  
**shows** *execute* *M* (*start-config* *k* *zs*) *t* <#> *j*  $\leq$  *T* (*length* *zs*)  
**using** *assms* *time-bound-def*[*of* *M* *k* *T*] *execute-after-halting-ge* *head-pos-le-time*[*OF* *assms*(1,4)]  
**by** (*metis* (*no-types*, *lifting*) *nat-le-linear*)

The entire construction will take place in a locale that assumes a polynomial-time *k*-tape Turing machine *M*.

**locale** *two-tape* =  
**fixes** *M* :: *machine* **and** *k* *G* :: *nat* **and** *T* :: *nat*  $\Rightarrow$  *nat*  
**assumes** *tm-M*: *turing-machine* *k* *G* *M*  
**and** *poly-T*: *big-oh-poly* *T*  
**and** *time-bound-T*: *time-bound* *M* *k* *T*  
**begin**

**lemma** *k-ge-2*: *k*  $\geq$  2  
**using** *tm-M* *turing-machine-def* **by** *simp*

**lemma** *G-ge-4*: *G*  $\geq$  4  
**using** *tm-M* *turing-machine-def* **by** *simp*

The construction of the simulator relies on the formatted space on the output tape to be large enough to hold the input. The size of the formatted space depends on the time bound *T*, which might be less than the length of the input. To ensure that the formatted space is large enough we increase the time bound while keeping it polynomial. The new bound is *T'*:

**definition** *T'* :: *nat*  $\Rightarrow$  *nat* **where**  
*T'* *n*  $\equiv$  *T* *n* + *n*

**lemma** *poly-T'*: *big-oh-poly* *T'*  
**proof** –  
**have** *big-oh-poly* ( $\lambda$ *n*. *n*)  
**using** *big-oh-poly-poly*[*of* 1] **by** *simp*  
**then show** *thesis*  
**using** *T'-def* *big-oh-poly-sum*[*OF* *poly-T*] **by** *presburger*  
**qed**

**lemma** *time-bound-T'*: *time-bound* *M* *k* *T'*  
**using** *T'-def* *time-bound-ge*[*OF* *time-bound-T*, *of* *T'*] **by** *simp*

### 5.3.1 Encoding multiple tapes into one

The symbols on the output tape of the simulator are supposed to encode a  $(2k + 2)$ -tuple, where the first *k* elements assume one of the values in  $\{0, \dots, G - 1\}$ , whereas the other *k* + 2 are flags with two possible values only. For uniformity we define an encoding where all elements range over *G* values and that works for tuples of every length.

```

fun encode :: nat list ⇒ nat where
  encode [] = 0 |
  encode (x # xs) = x + G * encode xs

```

For every  $m \in \mathbb{N}$ , the encoding is a bijective mapping from  $\{0, \dots, G-1\}^m$  to  $\{0, \dots, G^m-1\}$ .

```

lemma encode-surj:
  assumes n < G ^ m
  shows ∃ xs. length xs = m ∧ (∀ x ∈ set xs. x < G) ∧ encode xs = n
  using assms
proof (induction m arbitrary: n)
  case 0
  then show ?case
    by simp
next
  case (Suc m)
  define q where q = n div G
  define r where r = n mod G
  have q < G ^ m
    using Suc q-def
    by (auto simp add: less-mult-imp-div-less power-commutes)
  then obtain xs' where xs': length xs' = m ∧ ∀ x ∈ set xs'. x < G encode xs' = q
    using Suc by auto
  have r < G
    using r-def G-ge-4 by simp
  have encode (r # xs') = n
    using xs'(3) q-def r-def G-ge-4 by simp
  moreover have ∀ x ∈ set (r # xs'). x < G
    using xs'(2) ⟨r < G⟩ by simp
  moreover have length (r # xs') = Suc m
    using xs'(1) by simp
  ultimately show ?case
    by blast
qed

```

```

lemma encode-inj:
  assumes ∀ x ∈ set xs. x < G
  and length xs = m
  and ∀ y ∈ set ys. y < G
  and length ys = m
  and encode xs = encode ys
  shows xs = ys
  using assms
proof (induction m arbitrary: xs ys)
  case 0
  then show ?case
    by simp
next
  case (Suc m)
  obtain x xs' y ys' where xy: xs = x # xs' ys = y # ys'
    using Suc by (metis Suc-length-conv)
  then have len: length xs' = m length ys' = m
    using Suc by simp-all
  have *: x + G * encode xs' = y + G * encode ys'
    using Suc xy by simp
  moreover have (x + G * encode xs') mod G = x
    by (simp add: Suc.prem1 xy(1))
  moreover have (y + G * encode ys') mod G = y
    by (simp add: Suc.prem3 xy(2))
  ultimately have x = y
    by simp
  with * have G * encode xs' = G * encode ys'
    by simp
  then have encode xs' = encode ys'

```

```

    using G-ge-4 by simp
  with len Suc xy have  $xs' = ys'$ 
    by simp
  then show ?case
    using  $\langle x = y \rangle xy$  by simp
qed

```

```

lemma encode-less:
  assumes  $\forall x \in \text{set } xs. x < G$ 
  shows  $\text{encode } xs < G \wedge (\text{length } xs)$ 
  using assms
proof (induction xs)
  case Nil
  then show ?case
    by simp
next
  case (Cons x xs)
  then have  $x < G$ 
    by simp
  have  $\text{encode } (x \# xs) = x + G * \text{encode } xs$ 
    by simp
  also have  $\dots \leq x + G * (G \wedge (\text{length } xs) - 1)$ 
    using Cons by simp
  also have  $\dots = x + G * G \wedge (\text{length } xs) - G$ 
    by (simp add: right-diff-distrib')
  also have  $\dots < G * G \wedge (\text{length } xs)$ 
    using  $\langle x < G \rangle$  less-imp-Suc-add by fastforce
  also have  $\dots = G \wedge (\text{Suc } (\text{length } xs))$ 
    by simp
  finally have  $\text{encode } (x \# xs) < G \wedge (\text{length } (x \# xs))$ 
    by simp
  then show ?case .
qed

```

Decoding a number into an  $m$ -tuple of numbers is then a well-defined operation.

```

definition decode :: nat  $\Rightarrow$  nat  $\Rightarrow$  nat list where
  decode m n  $\equiv$  THE xs. encode xs = n  $\wedge$  length xs = m  $\wedge$  ( $\forall x \in \text{set } xs. x < G$ )

```

```

lemma decode:
  assumes  $n < G \wedge m$ 
  shows encode-decode:  $\text{encode } (\text{decode } m n) = n$ 
    and length-decode:  $\text{length } (\text{decode } m n) = m$ 
    and decode-less-G:  $\forall x \in \text{set } (\text{decode } m n). x < G$ 
proof -
  have  $\exists xs. \text{length } xs = m \wedge (\forall x \in \text{set } xs. x < G) \wedge \text{encode } xs = n$ 
    using assms encode-surj by simp
  then have  $*$ :  $\exists ! xs. \text{encode } xs = n \wedge \text{length } xs = m \wedge (\forall x \in \text{set } xs. x < G)$ 
    using encode-inj by auto
  let  $?xs = \text{decode } m n$ 
  let  $?P = \lambda xs. \text{encode } xs = n \wedge \text{length } xs = m \wedge (\forall x \in \text{set } xs. x < G)$ 
  have  $\text{encode } ?xs = n \wedge \text{length } ?xs = m \wedge (\forall x \in \text{set } ?xs. x < G)$ 
    using  $*$  theI'[of ?P] decode-def by simp
  then show  $\text{encode } (\text{decode } m n) = n$  and  $\text{length } (\text{decode } m n) = m$  and  $\forall x \in \text{set } (\text{decode } m n). x < G$ 
    by simp-all
qed

```

```

lemma decode-encode:  $\forall x \in \text{set } xs. x < G \implies \text{decode } (\text{length } xs) (\text{encode } xs) = xs$ 
proof -
  fix  $xs :: \text{nat list}$ 
  assume  $a: \forall x \in \text{set } xs. x < G$ 
  then have  $\text{encode } xs < G \wedge (\text{length } xs)$ 
    using encode-less by simp
  show  $\text{decode } (\text{length } xs) (\text{encode } xs) = xs$ 

```

**unfolding** *decode-def*  
**proof** (*rule the-equality*)  
**show**  $encode\ xs = encode\ xs \wedge length\ xs = length\ xs \wedge (\forall x \in set\ xs. x < G)$   
**using** *a by simp*  
**show**  $\bigwedge ys. encode\ ys = encode\ xs \wedge length\ ys = length\ xs \wedge (\forall x \in set\ ys. x < G) \implies ys = xs$   
**using** *a encode-inj by simp*  
**qed**  
**qed**

The simulator will access and update components of the encoded symbol.

**definition**  $encode\ nth :: nat \Rightarrow nat \Rightarrow nat \Rightarrow nat$  **where**  
 $encode\ nth\ m\ n\ j \equiv decode\ m\ n\ !\ j$

**definition**  $encode\ upd :: nat \Rightarrow nat \Rightarrow nat \Rightarrow nat \Rightarrow nat$  **where**  
 $encode\ upd\ m\ n\ j\ x \equiv encode\ ((decode\ m\ n)\ [j:=x])$

**lemma** *encode-nth-less*:  
**assumes**  $n < G \wedge m$  **and**  $j < m$   
**shows**  $encode\ nth\ m\ n\ j < G$   
**using** *assms encode-nth-def decode-less-G length-decode by simp*

For the symbols the simulator actually uses, we fix  $m = 2k+2$  and reserve the symbols  $\triangleright$  and  $\square$ , effectively shifting the symbols by two. We call the symbols  $\{2, \dots, G^{2k+2} + 2\}$  “code symbols”.

**definition**  $enc :: symbol\ list \Rightarrow symbol$  **where**  
 $enc\ xs \equiv encode\ xs + 2$

**definition**  $dec :: symbol \Rightarrow symbol\ list$  **where**  
 $dec\ n \equiv decode\ (2 * k + 2)\ (n - 2)$

**lemma** *dec*:  
**assumes**  $n > 1$  **and**  $n < G \wedge (2 * k + 2) + 2$   
**shows** *enc-dec*:  $enc\ (dec\ n) = n$   
**and** *length-dec*:  $length\ (dec\ n) = 2 * k + 2$   
**and** *dec-less-G*:  $\forall x \in set\ (dec\ n). x < G$   
**proof** –  
**show**  $enc\ (dec\ n) = n$   
**using** *enc-def dec-def encode-decode assms by simp*  
**show**  $length\ (dec\ n) = 2 * k + 2$   
**using** *enc-def dec-def length-decode assms by simp*  
**show**  $\forall x \in set\ (dec\ n). x < G$   
**using** *enc-def dec-def decode-less-G assms*  
**by** (*metis Suc-leI less-diff-conv2 one-add-one plus-1-eq-Suc*)  
**qed**

**lemma** *dec-enc*:  $\forall x \in set\ xs. x < G \implies length\ xs = 2 * k + 2 \implies dec\ (enc\ xs) = xs$   
**unfolding** *enc-def dec-def* **using** *decode-encode by fastforce*

**definition**  $enc\ nth :: nat \Rightarrow nat \Rightarrow nat$  **where**  
 $enc\ nth\ n\ j \equiv dec\ n\ !\ j$

**lemma** *enc-nth*:  $\forall x \in set\ xs. x < G \implies length\ xs = 2 * k + 2 \implies enc\ nth\ (enc\ xs)\ j = xs\ !\ j$   
**unfolding** *enc-nth-def* **by** (*simp add: dec-enc*)

**lemma** *enc-nth-dec*:  
**assumes**  $n > 1$  **and**  $n < G \wedge (2 * k + 2) + 2$   
**shows**  $enc\ nth\ n\ j = (dec\ n)\ !\ j$   
**using** *assms enc-nth dec by metis*

**abbreviation**  $is\ code :: nat \Rightarrow bool$  **where**  
 $is\ code\ n \equiv n < G \wedge (2 * k + 2) + 2 \wedge 1 < n$

**lemma** *enc-nth-less*:  
**assumes** *is-code n* **and**  $j < 2 * k + 2$

**shows**  $enc\text{-}nth\ n\ j < G$   
**using**  $assms\ enc\text{-}nth\text{-}def$  **by** ( $metis\ dec\text{-}less\text{-}G\ in\text{-}set\text{-}conv\text{-}nth\ length\text{-}dec$ )

**lemma**  $enc\text{-}less$ :  $\forall x \in set\ xs.\ x < G \implies length\ xs = 2 * k + 2 \implies enc\ xs < G \wedge (2 * k + 2) + 2$   
**using**  $encode\text{-}less\ enc\text{-}def$  **by**  $fastforce$

**definition**  $enc\text{-}upd$  ::  $nat \Rightarrow nat \Rightarrow nat \Rightarrow nat$  **where**  
 $enc\text{-}upd\ n\ j\ x \equiv enc\ ((dec\ n)\ [j:=x])$

**lemma**  $enc\text{-}upd\text{-}is\text{-}code$ :

**assumes**  $is\text{-}code\ n$  **and**  $j < 2 * k + 2$  **and**  $x < G$   
**shows**  $is\text{-}code\ (enc\text{-}upd\ n\ j\ x)$

**proof** –

**let**  $?ys = (dec\ n)\ [j:=x]$   
**have**  $\forall h \in set\ (dec\ n).\ h < G$   
**using**  $assms(1,2)\ dec\text{-}less\text{-}G$  **by**  $auto$   
**then have**  $\forall h \in set\ ?ys.\ h < G$   
**using**  $assms(3)$   
**by** ( $metis\ in\text{-}set\text{-}conv\text{-}nth\ length\text{-}list\text{-}update\ nth\text{-}list\text{-}update\text{-}eq\ nth\text{-}list\text{-}update\text{-}neq$ )  
**moreover have**  $length\ ?ys = 2 * k + 2$   
**using**  $assms\ length\text{-}dec$  **by**  $simp$   
**ultimately have**  $enc\ ?ys < G \wedge (2 * k + 2) + 2$   
**using**  $enc\text{-}less$  **by**  $simp$   
**then show**  $?thesis$   
**using**  $enc\text{-}upd\text{-}def\ enc\text{-}def$  **by**  $simp$

**qed**

The code symbols require the simulator to have an alphabet of at least size  $G^{2k+2} + 2$ . On top of that we want to store on a memorization tape the state that  $M$  is in. So the alphabet must have at least  $length\ M + 1$  symbols. Both conditions are met by the simulator having an alphabet of size  $G'$ :

**definition**  $G'$  ::  $nat$  **where**

$G' \equiv G \wedge (2 * k + 2) + 2 + length\ M$

**lemma**  $G'\text{-}ge\text{-}6$ :  $G' \geq 6$

**proof** –

**have**  $4 \wedge 2 > (5::nat)$   
**by**  $simp$   
**then have**  $G \wedge 2 > (5::nat)$   
**using**  $G\text{-}ge\text{-}4\ less\text{-}le\text{-}trans\ power2\text{-}nat\text{-}le\text{-}eq\text{-}le$  **by**  $blast$   
**then have**  $G \wedge (2 * k + 2) > (5::nat)$   
**using**  $k\text{-}ge\text{-}2\ G\text{-}ge\text{-}4$   
**by** ( $metis\ (no\text{-}types,\ opaque\text{-}lifting)\ dec\text{-}induct\ le\text{-}add2\ order\text{-}less\text{-}le\text{-}subst1\ pow\text{-}mono\ zero\text{-}less\text{-}Suc\ zero\text{-}less\text{-}numeral$ )  
**then show**  $?thesis$   
**using**  $G'\text{-}def$  **by**  $simp$

**qed**

**corollary**  $G'\text{-}ge$ :  $G' \geq 4\ G' \geq 5$

**using**  $G'\text{-}ge\text{-}6$  **by**  $simp\text{-}all$

**lemma**  $G'\text{-}ge\text{-}G$ :  $G' \geq G$

**proof** –

**have**  $G \wedge 2 \geq G$   
**by** ( $simp\ add:\ power2\text{-}nat\text{-}le\text{-}imp\text{-}le$ )  
**then have**  $G \wedge (2 * k + 2) \geq G$   
**by**  $simp$   
**then show**  $?thesis$  **using**  $G'\text{-}def$   
**by**  $linarith$

**qed**

**corollary**  $enc\text{-}less\text{-}G'$ :  $\forall x \in set\ xs.\ x < G \implies length\ xs = 2 * k + 2 \implies enc\ xs < G'$

**using**  $enc\text{-}less\ G'\text{-}def$  **by**  $fastforce$

**lemma**  $enc\text{-}greater$ :  $enc\ xs > 1$



using *enc-def* by *simp*

### 5.3.2 Construction of the simulator Turing machine

The simulator is a sequence of three Turing machines: The “formatter”, which initializes the output tape; the loop, which simulates the TM  $M$  for polynomially many steps; and a cleanup TM, which makes the output tape look like the output tape of  $M$ . All these machines are discussed in order in the following subsections.

The simulator will start with  $2k + 1$  memorization tapes for a total of  $2k + 3$  tapes. The simulation action will take place on the output tape.

#### Initializing the simulator’s tapes

The function  $T'$  is polynomially bounded and therefore there is a polynomial-time two-tape oblivious Turing machine that outputs at least  $T'(n)$  symbols **1** on an input of length  $n$ , as we have proven in the previous section (lemma *polystructor*). We now obtain such a Turing machine together with its running time bound and trace. This TM will be one of our blocks for building the simulator TM. We will call it the “formatter”.

**definition** *fmt-es-pM* ::  $(nat \Rightarrow nat) \times (nat \Rightarrow (nat \times nat) list) \times machine$  **where**

*fmt-es-pM*  $\equiv$  *SOME tec*.  
*turing-machine* 2  $G' (snd (snd tec)) \wedge$   
*big-oh-poly*  $(\lambda n. length ((fst (snd tec)) n)) \wedge$   
*big-oh-poly*  $(fst tec) \wedge$   
 $(\forall n. T' n \leq (fst tec) n) \wedge$   
 $(\forall zs. proper\text{-}symbols\ zs \longrightarrow traces (snd (snd tec)) (start\text{-}tapes\text{-}2\ zs) ((fst (snd tec)) (length\ zs)) (one\text{-}tapes\text{-}2\ zs ((fst tec) (length\ zs))))$

**lemma** *polystructor'*:

**fixes**  $GG :: nat$  **and**  $g :: nat \Rightarrow nat$

**assumes** *big-oh-poly*  $g$  **and**  $GG \geq 5$

**shows**  $\exists f\text{-es-}M$ .

*turing-machine* 2  $GG (snd (snd f\text{-es-}M)) \wedge$   
*big-oh-poly*  $(\lambda n. length ((fst (snd f\text{-es-}M)) n)) \wedge$   
*big-oh-poly*  $(fst f\text{-es-}M) \wedge$   
 $(\forall n. g\ n \leq (fst f\text{-es-}M) n) \wedge$   
 $(\forall zs. proper\text{-}symbols\ zs \longrightarrow traces (snd (snd f\text{-es-}M)) (start\text{-}tapes\text{-}2\ zs) ((fst (snd f\text{-es-}M)) (length\ zs)) (one\text{-}tapes\text{-}2\ zs ((fst f\text{-es-}M) (length\ zs))))$   
**using** *polystructor*[*OF assms*] **by** *auto*

**lemma** *fmt-es-pM*: *turing-machine* 2  $G' (snd (snd f\text{-es-}pM)) \wedge$

*big-oh-poly*  $(\lambda n. length ((fst (snd f\text{-es-}pM)) n)) \wedge$   
*big-oh-poly*  $(fst f\text{-es-}pM) \wedge$   
 $(\forall n. T' n \leq (fst f\text{-es-}pM) n) \wedge$   
 $(\forall zs. proper\text{-}symbols\ zs \longrightarrow traces (snd (snd f\text{-es-}pM)) (start\text{-}tapes\text{-}2\ zs) ((fst (snd f\text{-es-}pM)) (length\ zs)) (one\text{-}tapes\text{-}2\ zs ((fst f\text{-es-}pM) (length\ zs))))$   
**using** *fmt-es-pM-def* *polystructor'*[*OF poly-T' G'-ge(2)*]  
*someI-ex*[*of*  $\lambda tec.$   
*turing-machine* 2  $G' (snd (snd tec)) \wedge$   
*big-oh-poly*  $(\lambda n. length ((fst (snd tec)) n)) \wedge$   
*big-oh-poly*  $(fst tec) \wedge$   
 $(\forall n. T' n \leq (fst tec) n) \wedge$   
 $(\forall zs. proper\text{-}symbols\ zs \longrightarrow traces (snd (snd tec)) (start\text{-}tapes\text{-}2\ zs) ((fst (snd tec)) (length\ zs)) (one\text{-}tapes\text{-}2\ zs ((fst tec) (length\ zs))))$   
**by** *simp*

**definition** *fmt* ::  $nat \Rightarrow nat$  **where**

*fmt*  $\equiv$  *fst fmt-es-pM*

**definition** *es-fmt* ::  $nat \Rightarrow (nat \times nat) list$  **where**

*es-fmt*  $\equiv$  *fst (snd fmt-es-pM)*

**definition** *tm-fmt* :: machine **where**  
*tm-fmt*  $\equiv$  *snd (snd fmt-es-pM)*

The formatter TM is *tm-fmt*, the number of 1 symbols written to the output tape on input of length  $n$  is *fmt*  $n$ , and the trace on inputs of length  $n$  is *es-fmt*  $n$ .

**lemma** *fmt*:

*turing-machine* 2  $G'$  *tm-fmt*  
*big-oh-poly* ( $\lambda n.$  *length (es-fmt*  $n$ ))  
*big-oh-poly* *fmt*  
 $\bigwedge n.$   $T' n \leq$  *fmt*  $n$   
 $\bigwedge zs.$  *proper-symbols*  $zs \implies$   
*traces* *tm-fmt* (*start-tapes-2*  $zs$ ) (*es-fmt* (*length*  $zs$ )) (*one-tapes-2*  $zs$  (*fmt* (*length*  $zs$ )))  
**unfolding** *fmt-def* *es-fmt-def* *tm-fmt-def* **using** *fmt-es-pM* **by** *simp-all*

**lemma** *fmt-ge-n*: *fmt*  $n \geq n$

**using** *fmt(4)* *T'-def* **by** (*metis* *dual-order.strict-trans2* *le-less-linear* *not-add-less2*)

The formatter is a two-tape TM. The first incarnation of the simulator will have two tapes and  $2k + 1$  memorization tapes. So for now we formally need to extend the formatter to  $2k + 3$  tapes:

**definition** *tm1*  $\equiv$  *append-tapes* 2 ( $2 * k + 3$ ) *tm-fmt*

**lemma** *tm1-tm*: *turing-machine* ( $2 * k + 3$ )  $G'$  *tm1*

**unfolding** *tm1-def* **using** *append-tapes-tm* **by** (*simp* *add: fmt(1)*)

Next we replace all non-blank symbols on the output tape by code symbols representing the tuple of  $2k + 2$  zeros.

**definition** *tm1-2*  $\equiv$  *tm-const-until* 1 1  $\{\square\}$  (*enc* (*replicate*  $k$  0 @ *replicate*  $k$  0 @  $[0, 0]$ ))

**lemma** *tm1-2-tm*: *turing-machine* ( $2 * k + 3$ )  $G'$  *tm1-2*

**unfolding** *tm1-2-def*

**using** *G'-ge*

**proof** (*intro* *tm-const-until-tm*, *auto*)

**show** *enc* (*replicate*  $k$  0 @ *replicate*  $k$  0 @  $[0, 0]$ )  $<$   $G'$

**using** *G-ge-4* **by** (*intro* *enc-less-G'*, *auto*)

**qed**

**definition** *tm2*  $\equiv$  *tm1* ;; *tm1-2*

**lemma** *tm2-tm*: *turing-machine* ( $2 * k + 3$ )  $G'$  *tm2*

**unfolding** *tm2-def* **using** *tm1-tm* *tm1-2-tm* **by** *simp*

**definition** *tm3*  $\equiv$  *tm2* ;; *tm-start* 1

**lemma** *tm3-tm*: *turing-machine* ( $2 * k + 3$ )  $G'$  *tm3*

**unfolding** *tm3-def* **using** *tm2-tm* *tm-start-tm*  $G'$ -*ge* **by** *simp*

Back at the start symbol of the output tape, we replace it by the code symbol for the tuple  $1^k 1^k 01$ . The first  $k$  ones represent that initially the  $k$  tapes of  $M$  have the start symbol (numerically 1) in the leftmost cell. The second run of  $k$  ones represent that initially all  $k$  tapes have their tape heads in the leftmost cell. The following 0 is the first bit of the unary counter, currently set to zero. The final flag 1 signals that this is the leftmost cell. Unlike the start symbols this signal flag cannot be overwritten by  $M$ .

**definition** *tm4*  $\equiv$  *tm3* ;; *tm-write* 1 (*enc* (*replicate*  $k$  1 @ *replicate*  $k$  1 @  $[0, 1]$ ))

**lemma** *tm3-4-tm*: *turing-machine* ( $2 * k + 3$ )  $G'$  (*tm-write* 1 (*enc* (*replicate*  $k$  1 @ *replicate*  $k$  1 @  $[0, 1]$ )))

**using**  $G'$ -*ge* *enc-less-G'*  $G'$ -*ge-4* *tm-write-tm* **by** *simp*

**lemma** *tm4-tm*: *turing-machine* ( $2 * k + 3$ )  $G'$  *tm4*

**unfolding** *tm4-def* **using** *tm3-tm* *tm3-4-tm* **by** *simp*

**definition** *tm5*  $\equiv$  *tm4* ;; *tm-right* 1

**lemma** *tm5-tm: turing-machine*  $(2 * k + 3) G' tm5$   
**unfolding** *tm5-def* **using** *tm4-tm* **by** (*simp add: G'-ge(1) tm-right-tm*)

So far the simulator's output tape encodes  $k$  tapes that are empty but for the start symbols. To represent the start configuration of  $M$ , we need to copy the contents of the input tape to the output tape. The following TM moves the work head to the first blank while copying the input tape content. Here we exploit  $T'(n) \geq n$ , which implies that the formatted section is long enough to hold the input.

**definition** *tm5-6*  $\equiv tm-trans-until\ 0\ 1\ \{0\}\ (\lambda h. enc\ (h\ mod\ G\ \# replicate\ (k - 1)\ 0\ @ replicate\ k\ 0\ @ [0, 0]))$

**definition** *tm6*  $\equiv tm5\ ;;\ tm5-6$

**lemma** *tm5-6-tm: turing-machine*  $(2 * k + 3) G' tm5-6$   
**unfolding** *tm5-6-def*

**proof** (*rule tm-trans-until-tm, auto simp add: G'-ge(1) G-ge-4 k-ge-2 enc-less-G'*)  
**show**  $\bigwedge h. h < G' \implies enc\ (h\ mod\ G\ \# replicate\ (k - Suc\ 0)\ 0\ @ replicate\ k\ 0\ @ [0, 0]) < G'$   
**using** *G-ge-4 k-ge-2 enc-less-G'* **by** *simp*  
**qed**

**lemma** *tm6-tm: turing-machine*  $(2 * k + 3) G' tm6$   
**unfolding** *tm6-def* **using** *tm5-tm tm5-6-tm* **by** *simp*

Since we have overwritten the leftmost cell of the output tape with some code symbol, we cannot return to it using *tm-start*. But we can use *tm-left-until* with a special set of symbols:

**abbreviation** *StartSym*  $:: symbol\ set$  **where**  
*StartSym*  $\equiv \{y. y < G \wedge (2 * k + 2) + 2 \wedge y > 1 \wedge dec\ y!\ (2 * k + 1) = 1\}$

**abbreviation** *tm-left-until1*  $\equiv tm-left-until\ StartSym\ 1$

**lemma** *tm-left-until1-tm: turing-machine*  $(2 * k + 3) G' tm-left-until1$   
**using** *tm-left-until-tm G'-ge(1) k-ge-2* **by** *simp*

**definition** *tm7*  $\equiv tm6\ ;;\ tm-left-until1$

**lemma** *tm7-tm: turing-machine*  $(2 * k + 3) G' tm7$   
**unfolding** *tm7-def* **using** *tm6-tm tm-left-until1-tm* **by** *simp*

Tape number 2 is meant to memorize  $M$ 's state during the simulation. Initially the state is the start state, that is, zero.

**definition** *tm8*  $\equiv tm7\ ;;\ tm-write\ 2\ 0$

**lemma** *tm8-tm: turing-machine*  $(2 * k + 3) G' tm8$   
**unfolding** *tm8-def* **using** *tm7-tm tm-write-tm k-ge-2 G'-ge(1)* **by** *simp*

We also initialize memorization tapes  $3, \dots, 2k + 2$  to zero. This concludes the initialization of the simulator's tapes.

**definition** *tm9*  $\equiv tm8\ ;;\ tm-write-many\ \{3..<2 * k + 3\}\ 0$

**lemma** *tm9-tm: turing-machine*  $(2 * k + 3) G' tm9$   
**unfolding** *tm9-def* **using** *tm8-tm tm-write-many-tm k-ge-2 G'-ge(1)* **by** *simp*

## The loop

The core of the simulator is a loop whose body is executed *fmt*  $n$  many times. Each iteration simulates one step of the Turing machine  $M$ . For the analysis of the loop we describe the  $2k + 3$  tapes in the form  $[a, b, c] @ map\ f1\ [0..<k] @ map\ f2\ [0..<k]$ .

**lemma** *threeplus2k-2:*  
**assumes**  $3 \leq j \wedge j < k + 3$   
**shows**  $([a, b, c] @ map\ f1\ [0..<k] @ map\ f2\ [0..<k]) ! j = f1\ (j - 3)$   
**using** *assms* **by** (*simp add: nth-append less-diff-conv2 numeral-3-eq-3*)

**lemma** *threeplus2k-3:*

**assumes**  $k + 3 \leq j \wedge j < 2 * k + 3$   
**shows**  $([a, b, c] @ \text{map } f1 [0..<k] @ \text{map } f2 [0..<k]) ! j = f2 (j - k - 3)$   
**using** *assms* **by** (*simp* *add: nth-append less-diff-conv2 numeral-3-eq-3*)

To ensure the loop runs for *fmt* *n* iterations we increment the unary counter in the code symbols in each iteration. The loop terminates when there are no more code symbols with an unset counter flag. The TM that prepares the loop condition sweeps the output tape left-to-right searching for the first symbol that is either blank or has an unset counter flag. The condition then checks for which of the two cases occurred. This is the condition TM:

**definition**  $tmC \equiv \text{tm-right-until } 1 \{y. y < G \wedge (2 * k + 2) + 2 \wedge (y = 0 \vee \text{dec } y ! (2 * k) = 0)\}$

**lemma** *tmC-tm: turing-machine*  $(2 * k + 3) G' tmC$   
**using** *tmC-def tm-right-until-tm* **using**  $G'-ge(1)$  **by** *simp*

At the start of the iteration, the memorization tape 2 has the state of *M*, and all other memorization tapes contain 0. The output tape head is at the leftmost code symbol with unset counter flag. The first order of business is to move back to the beginning of the output tape.

**definition**  $tmL1 \equiv \text{tm-left-until } 1$

**lemma** *tmL1-tm: turing-machine*  $(2 * k + 3) G' tmL1$   
**unfolding** *tmL1-def* **using** *tm6-tm tm-left-until1-tm* **by** *simp*

Then the output tape head sweeps right until it encounters a blank. During the sweep the Turing machine checks for any set head flags, and if it finds the one for tape *j* set, it memorizes the symbol for tape *j* on tape  $3 + k + j$ . The next command performs this operation:

**definition** *cmdL2 :: command where*

*cmdL2* *rs*  $\equiv$   
 (*if* *rs* ! 1 =  $\square$   
 then (*1*, *zip* *rs* (*replicate*  $(2*k+3)$  *Stay*))  
 else  
 (*0*,  
 [(*rs*!0, *Stay*), (*rs*!1, *Right*), (*rs*!2, *Stay*)] @  
 (*map*  $(\lambda j. (\text{rs} ! (j + 3), \text{Stay})) [0..<k]$ ) @  
 (*map*  $(\lambda j. (\text{if } 1 < \text{rs} ! 1 \wedge \text{rs} ! 1 < G \wedge (2*k+2)+2 \wedge \text{enc-nth } (\text{rs} ! 1) (k+j) = 1 \text{ then enc-nth } (\text{rs} ! 1) j \text{ else } \text{rs} ! (3+k+j), \text{Stay})) [0..<k]$ ))

**lemma** *cmdL2-at-eq-0:*

**assumes** *rs* ! 1 =  $\square$  **and**  $j < 2 * k + 3$  **and**  $\text{length } rs = 2 * k + 3$   
**shows** *snd* (*cmdL2* *rs*) ! *j* = (*rs* ! *j*, *Stay*)  
**using** *assms* **by** (*simp* *add: cmdL2-def*)

**lemma** *cmdL2-at-3:*

**assumes** *rs* ! 1  $\neq \square$  **and**  $3 \leq j \wedge j < k + 3$   
**shows** *cmdL2* *rs* [!] *j* = (*rs* ! *j*, *Stay*)  
**using** *cmdL2-def* *assms* *threeplus2k-2*  
**by** (*metis* (*no-types*, *lifting*) *le-add-diff-inverse2 snd-conv*)

**lemma** *cmdL2-at-4:*

**assumes** *rs* ! 1  $\neq \square$  **and**  $k + 3 \leq j \wedge j < 2 * k + 3$   
**shows** *cmdL2* *rs* [!] *j* =  
 (*if*  $1 < \text{rs} ! 1 \wedge \text{rs} ! 1 < G \wedge (2*k+2)+2 \wedge \text{enc-nth } (\text{rs} ! 1) (j-3) = 1$   
 then *enc-nth* (*rs* ! 1) (*j*-*k*-3)  
 else *rs* ! *j*, *Stay*)  
**unfolding** *cmdL2-def* **using** *assms* *threeplus2k-3* [*OF* *assms*(2), *of* (*rs* ! 0, *Stay*)] **by** *simp*

**lemma** *cmdL2-at-4'':*

**assumes** *rs* ! 1  $\neq \square$   
**and**  $k + 3 \leq j \wedge j < 2 * k + 3$   
**and**  $\neg (1 < \text{rs} ! 1 \wedge \text{rs} ! 1 < G \wedge (2*k+2)+2)$   
**shows** *cmdL2* *rs* [!] *j* = (*rs* ! *j*, *Stay*)

**proof** –

**have** *cmdL2* *rs* [!] *j* =

```

    (if 1 < rs ! 1 ∧ rs ! 1 < G^(2*k+2)+2 ∧ enc-nth (rs!1) (j-3) = 1 then enc-nth (rs!1) (j-k-3) else rs!j,
    Stay)
  using assms cmdL2-at-4 by blast
  then show ?thesis
  using assms(3) by auto
qed

```

**lemma** *turing-command-cmdL2*: *turing-command* (2 \* k + 3) 1 G' cmdL2

**proof**

```

  show ∧gs. length gs = 2 * k + 3 ⇒ length ([!!] cmdL2 gs) = length gs
    unfolding cmdL2-def by simp
  show ∧gs. length gs = 2 * k + 3 ⇒ 0 < 2 * k + 3 ⇒ cmdL2 gs [] 0 = gs ! 0
    unfolding cmdL2-def by simp
  show cmdL2 gs [] j < G'
    if length gs = 2 * k + 3 ∧i. i < length gs ⇒ gs ! i < G' j < length gs
    for gs j
  proof (cases gs ! 1 = 0)
  case True
  then have cmdL2 gs = (1, zip gs (replicate (2*k+3) Stay))
    unfolding cmdL2-def by simp
  have cmdL2 gs [] j = gs ! j
    using that(1,3) by (simp add: ⟨cmdL2 gs = (1, zip gs (replicate (2 * k + 3) Stay))⟩)
  then show ?thesis
    using that(2,3) by simp

```

**next**

```

  case False
  consider j = 0 | j = 1 | j = 2 | 3 ≤ j ∧ j < k + 3 | k + 3 ≤ j ∧ j < 2 * k + 3
    using ⟨j < length gs⟩ ⟨length gs = 2 * k + 3⟩ by linarith
  then show ?thesis
  proof (cases)
  case 1
  then show ?thesis
    by (simp add: cmdL2-def that(1) that(2))

```

**next**

```

  case 2
  then show ?thesis
    unfolding cmdL2-def using False that by auto

```

**next**

```

  case 3
  then show ?thesis
    unfolding cmdL2-def using False that by auto

```

**next**

```

  case 4
  then have snd (cmdL2 gs) ! j = (gs ! j, Stay)
    unfolding cmdL2-def using False that threeplus2k-2[OF 4, of (gs ! 0, Stay)] by simp
  then show ?thesis
    using that by (simp add: ⟨snd (cmdL2 gs) ! j = (gs ! j, Stay)⟩)

```

**next**

```

  case 5
  show ?thesis
  proof (cases 1 < gs ! 1 ∧ gs ! 1 < G^(2*k+2) + 2)
  case True
  then have *: cmdL2 gs [] j = (if enc-nth (gs ! 1) (j-3) = 1 then enc-nth (gs ! 1) (j-k-3) else gs ! j)
    using 5 False by (simp add: cmdL2-at-4)
  let ?n = gs ! 1
  have len: length (dec ?n) = 2 * k + 2 and less-G: ∀x∈set (dec ?n). x < G
    using True length-dec dec-less-G by (simp, blast)
  have **: enc-nth ?n (j-k-3) = dec ?n ! (j-k-3)
    using enc-nth-dec True by simp
  then have dec ?n ! (j-k-3) < G
    using less-G 5 len by auto
  then have dec ?n ! (j-k-3) < G'
    using G'-ge-G by simp

```

```

    moreover have  $gs ! j < G'$ 
      using that by simp
    ultimately show ?thesis
      using ** by simp
  next
  case 6: False
  have  $cmdL2\ gs\ [!]\ j = (gs ! j, Stay)$ 
    using  $cmdL2\ at\ 4'' [OF\ False\ 5\ 6]$  by simp
  then show ?thesis
    using that by (simp add:  $\langle snd\ (cmdL2\ gs) ! j = (gs ! j, Stay) \rangle$ )
  qed
qed
qed
show  $\bigwedge gs. length\ gs = 2 * k + 3 \implies [*]\ (cmdL2\ gs) \leq 1$ 
  using  $cmdL2\ def$  by simp
qed

```

**definition**  $tmL1-2 \equiv [cmdL2]$

**lemma**  $tmL1-2\ tm$ : *turing-machine*  $(2 * k + 3)\ G'\ tmL1-2$   
 using  $tmL1-2\ def$  using *turing-command-cmdL2*  $G'\ ge$  by *auto*

**definition**  $tmL2 \equiv tmL1\ ;;\ tmL1-2$

**lemma**  $tmL2\ tm$ : *turing-machine*  $(2 * k + 3)\ G'\ tmL2$   
 by (*simp add: tmL1-2-tm tmL1-tm tmL2-def*)

The memorization tapes  $3, \dots, 2 + k$  will store the head movements for tapes  $0, \dots, k - 1$  of  $M$ . The directions are encoded as symbols thus:

**definition** *direction-to-symbol* :: *direction*  $\Rightarrow$  *symbol* **where**  
*direction-to-symbol*  $m \equiv (case\ m\ of\ Left \Rightarrow \square \mid Stay \Rightarrow \triangleright \mid Right \Rightarrow \mathbf{0})$

**lemma** *direction-to-symbol-less*: *direction-to-symbol*  $m < 3$   
 using *direction-to-symbol-def* by (*cases m*) *simp-all*

At this point in the iteration the memorization tapes  $k + 3, \dots, 2k + 2$  contain the symbols under the  $k$  tape heads of  $M$ , and tape 2 contains the state  $M$  is in. Therefore all information is available to determine the actions  $M$  is taking in the step currently simulated. The next command has the entire behavior of  $M$  “hard-coded” and causes the actions to be stored on memorization tapes  $3, \dots, 2k + 2$ : the output symbols on the tapes  $k + 3, \dots, 2k + 2$ , and the  $k$  head movements on the tapes  $3, \dots, k + 2$ . The follow-up state will again be memorized on tape 2. All this happens in one step of the simulator machine.

**definition**  $cmdL3$  :: *command* **where**

```

 $cmdL3\ rs \equiv$ 
  (1,
   [( $rs ! 0, Stay$ ),
    ( $rs ! 1, Stay$ ),
    (if  $rs ! 2 < length\ M \wedge (\forall h \in set\ (drop\ (k + 3)\ rs). h < G)$ 
     then  $fst\ ((M ! (rs ! 2))\ (drop\ (k + 3)\ rs))$ 
     else  $rs ! 2, Stay$ )] @
   map
     ( $\lambda j. (if\ rs ! 2 < length\ M \wedge (\forall h \in set\ (drop\ (k + 3)\ rs). h < G)$  then direction-to-symbol  $((M ! (rs ! 2))$ 
        $(drop\ (k + 3)\ rs)\ [^]\ j)$  else  $1, Stay$ ))
     [0.. $k$ ] @
     map ( $\lambda j. (if\ rs ! 2 < length\ M \wedge (\forall h \in set\ (drop\ (k + 3)\ rs). h < G)$  then  $((M ! (rs ! 2))\ (drop\ (k + 3)\ rs)$ 
        $[.]\ j)$  else  $rs ! (k + 3 + j), Stay$ )) [0.. $k$ ])

```

**lemma**  $cmdL3\ at\ 2a$ :

**assumes**  $gs ! 2 < length\ M \wedge (\forall h \in set\ (drop\ (k + 3)\ gs). h < G)$   
**shows**  $cmdL3\ gs\ [!]\ 2 = (fst\ ((M ! (gs ! 2))\ (drop\ (k + 3)\ gs)), Stay)$   
 using  $cmdL3\ def$  *assms* by *simp*

**lemma**  $cmdL3\ at\ 2b$ :

**assumes**  $\neg (gs ! 2 < \text{length } M \wedge (\forall h \in \text{set } (\text{drop } (k + 3) \text{ } gs). h < G))$   
**shows**  $\text{cmdL3 } gs \text{ [!]} 2 = (gs ! 2, \text{Stay})$   
**using**  $\text{cmdL3-def } \text{assms}$  **by**  $\text{auto}$

**lemma**  $\text{cmdL3-at-3a}$ :

**assumes**  $3 \leq j \wedge j < k + 3$   
**and**  $gs ! 2 < \text{length } M \wedge (\forall h \in \text{set } (\text{drop } (k + 3) \text{ } gs). h < G)$   
**shows**  $\text{cmdL3 } gs \text{ [!]} j = (\text{direction-to-symbol } ((M ! (gs ! 2)) (\text{drop } (k + 3) \text{ } gs) [\sim] (j - 3)), \text{Stay})$   
**using**  $\text{cmdL3-def } \text{assms}(2)$   $\text{threeplus2k-2}$   $[OF \text{ } \text{assms}(1), \text{ of } (gs ! 0, \text{Stay})]$  **by**  $\text{simp}$

**lemma**  $\text{cmdL3-at-3b}$ :

**assumes**  $3 \leq j \wedge j < k + 3$   
**and**  $\neg (gs ! 2 < \text{length } M \wedge (\forall h \in \text{set } (\text{drop } (k + 3) \text{ } gs). h < G))$   
**shows**  $\text{cmdL3 } gs \text{ [!]} j = (1, \text{Stay})$   
**using**  $\text{cmdL3-def } \text{assms}(2)$   $\text{threeplus2k-2}$   $[OF \text{ } \text{assms}(1), \text{ of } (gs ! 0, \text{Stay})]$  **by**  $\text{auto}$

**lemma**  $\text{cmdL3-at-4a}$ :

**assumes**  $k + 3 \leq j \wedge j < 2 * k + 3$   
**and**  $gs ! 2 < \text{length } M \wedge (\forall h \in \text{set } (\text{drop } (k + 3) \text{ } gs). h < G)$   
**shows**  $\text{cmdL3 } gs \text{ [!]} j = ((M ! (gs ! 2)) (\text{drop } (k + 3) \text{ } gs) [.] (j - k - 3), \text{Stay})$   
**using**  $\text{cmdL3-def } \text{assms}(2)$   $\text{threeplus2k-3}$   $[OF \text{ } \text{assms}(1), \text{ of } (gs ! 0, \text{Stay})]$  **by**  $\text{simp}$

**lemma**  $\text{cmdL3-at-4b}$ :

**assumes**  $k + 3 \leq j \wedge j < 2 * k + 3$   
**and**  $\neg (gs ! 2 < \text{length } M \wedge (\forall h \in \text{set } (\text{drop } (k + 3) \text{ } gs). h < G))$   
**shows**  $\text{cmdL3 } gs \text{ [!]} j = (gs ! j, \text{Stay})$   
**using**  $\text{assms } \text{cmdL3-def } \text{threeplus2k-3}$   $[OF \text{ } \text{assms}(1), \text{ of } (gs ! 0, \text{Stay})]$  **by**  $\text{auto}$

**lemma**  $\text{cmdL3-if-comm}$ :

**assumes**  $\text{length } gs = 2 * k + 3$  **and**  $gs ! 2 < \text{length } M \wedge (\forall h \in \text{set } (\text{drop } (k + 3) \text{ } gs). h < G)$   
**shows**  $\text{length } ([!!] (M ! (gs ! 2)) (\text{drop } (k + 3) \text{ } gs)) = k$   
**and**  $\bigwedge j. j < k \implies (M ! (gs ! 2)) (\text{drop } (k + 3) \text{ } gs) [.] j < G$

**proof** –

**let**  $?rs = \text{drop } (k + 3) \text{ } gs$   
**let**  $?cmd = M ! (gs ! 2)$   
**have**  $*$ :  $\text{turing-command } k (\text{length } M) G ?cmd$   
**using**  $\text{assms}(2)$   $\text{tm-M turing-machineD}(3)$  **by**  $\text{simp}$   
**then show**  $\text{length } ([!!] ?cmd ?rs) = k$   
**using**  $\text{turing-commandD}(1)$   $\text{assms}(1)$  **by**  $\text{simp}$   
**have**  $\bigwedge i. i < \text{length } ?rs \implies ?rs ! i < G$   
**using**  $\text{assms}(2)$   $\text{nth-mem}$  **by**  $\text{blast}$   
**then show**  $\bigwedge j. j < k \implies (M ! (gs ! 2)) (\text{drop } (k + 3) \text{ } gs) [.] j < G$   
**using**  $*$   $\text{turing-commandD}(2)$   $\text{assms}$  **by**  $\text{simp}$

**qed**

**lemma**  $\text{turing-command-cmdL3}$ :  $\text{turing-command } (2 * k + 3) 1 G' \text{cmdL3}$

**proof**

**show**  $\bigwedge gs. \text{length } gs = 2 * k + 3 \implies \text{length } ([!!] \text{cmdL3 } gs) = \text{length } gs$   
**using**  $\text{cmdL3-def}$  **by**  $\text{simp}$   
**show**  $\bigwedge gs. \text{length } gs = 2 * k + 3 \implies 0 < 2 * k + 3 \implies \text{cmdL3 } gs [.] 0 = gs ! 0$   
**using**  $\text{cmdL3-def}$  **by**  $\text{simp}$   
**show**  $\text{cmdL3 } gs [.] j < G'$

**if**  $\text{length } gs = 2 * k + 3 \wedge \bigwedge i. i < \text{length } gs \implies gs ! i < G' j < \text{length } gs$   
**for**  $gs \ j$

**proof** –

**consider**  $j = 0 \mid j = 1 \mid j = 2 \mid 3 \leq j \wedge j < k + 3 \mid k + 3 \leq j \wedge j < 2 * k + 3$   
**using**  $\langle j < \text{length } gs \rangle \langle \text{length } gs = 2 * k + 3 \rangle$  **by**  $\text{linarith}$

**then show**  $?thesis$

**proof**  $(\text{cases})$

**case**  $1$

**then show**  $?thesis$

**using**  $\text{that } \text{cmdL3-def}$  **by**  $\text{simp}$

**next**

```

case 2
then show ?thesis
  using that cmdL3-def by simp
next
case 3
then show ?thesis
proof (cases gs ! 2 < length M ∧ (∀ h∈set (drop (k + 3) gs). h < G))
  case True
  have length (drop (k + 3) gs) = k
    using that(1) by simp
  then have fst ((M ! (gs ! 2)) (drop (k + 3) gs)) ≤ length M
    using True turing-commandD(4) tm-M turing-machineD(3) by blast
  moreover have cmdL3 gs [.] j = fst ((M ! (gs ! 2)) (drop (k + 3) gs))
    using cmdL3-def True 3 by simp
  ultimately show ?thesis
    using G'-def by simp
  next
  case False
  then have cmdL3 gs [.] j = gs ! 2
    using cmdL3-def 3 by auto
  then show ?thesis
    using 3 that(2,3) by simp
  qed
next
case 4
then show ?thesis
proof (cases gs ! 2 < length M ∧ (∀ h∈set (drop (k + 3) gs). h < G))
  case True
  then have cmdL3 gs [.] j = direction-to-symbol ((M ! (gs ! 2)) (drop (k + 3) gs) [~] (j - 3))
    using cmdL3-at-3a 4 by simp
  then have cmdL3 gs [.] j < 3
    using direction-to-symbol-less by simp
  then show ?thesis
    using G'-ge by simp
  next
  case False
  then show ?thesis
    using cmdL3-at-3b[OF 4] G'-ge by simp
  qed
next
case 5
then show ?thesis
proof (cases gs ! 2 < length M ∧ (∀ h∈set (drop (k + 3) gs). h < G))
  case True
  then have cmdL3 gs [.] j = (M ! (gs ! 2)) (drop (k + 3) gs) [.] (j - k - 3)
    using cmdL3-at-4a[OF 5] by simp
  then have cmdL3 gs [.] j < G
    using cmdL3-if-comm True 5 that(1) by auto
  then show ?thesis
    using G'-ge-G by simp
  next
  case False
  then have cmdL3 gs [.] j = gs ! j
    using cmdL3-at-4b[OF 5] by simp
  then show ?thesis
    using that by simp
  qed
qed
show ∧gs. length gs = 2 * k + 3 ⇒ [*] (cmdL3 gs) ≤ 1
  using cmdL3-def by simp
qed

```



**definition**  $tmL2-3 \equiv [cmdL3]$

**lemma**  $tmL2-3-tm$ : turing-machine  $(2 * k + 3) G' tmL2-3$   
**unfolding**  $tmL2-3-def$  **using**  $G'-ge(1)$  *turing-command-cmdL3* **by** *auto*

**definition**  $tmL3 \equiv tmL2 ;; tmL2-3$

**lemma**  $tmL3-tm$ : turing-machine  $(2 * k + 3) G' tmL3$   
**by** (*simp add: tmL2-3-tm tmL2-tm tmL3-def*)

Next the output tape head sweeps left to the beginning of the tape, otherwise doing nothing.

**definition**  $tmL4 \equiv tmL3 ;; tm-left-until1$

**lemma**  $tmL4-tm$ : turing-machine  $(2 * k + 3) G' tmL4$   
**using**  $tmL3-tm tmL4-def tmL1-def tm-left-until1-tm$  **by** *simp*

The next four commands  $cmdL4$ ,  $cmdL5$ ,  $cmdL6$ ,  $cmdL7$  are parameterized by  $jj = 0, \dots, k - 1$ . Their job is applying the write operation and head movement for tape  $jj$  of  $M$ . The entire block of commands will then be executed  $k$  times, once for each  $jj$ .

The first of these commands sweeps right again and applies the write operation for tape  $jj$ , which is memorized on tape  $3 + k + jj$ . To this end it checks for head flags and updates the code symbol component  $jj$  with the contents of tape  $3 + k + jj$  when the head flag for tape  $jj$  is set.

**definition**  $cmdL5\ jj\ rs \equiv$   
*if*  $rs ! 1 = \square$   
*then*  $(1, zip\ rs\ (replicate\ (2*k+3)\ Stay))$   
*else*  
 $(0,$   
 $[(rs ! 0, Stay),$   
 $(if\ is-code\ (rs ! 1) \wedge rs ! (3+k+jj) < G \wedge enc-nth\ (rs ! 1)\ (k+jj) = 1$   
 $\ then\ enc-upd\ (rs ! 1)\ jj\ (rs ! (3+k+jj))$   
 $\ else\ rs ! 1,$   
 $Right),$   
 $(rs ! 2, Stay)] @$   
 $(map\ (\lambda j. (rs ! (j + 3), Stay))\ [0..<k]) @$   
 $(map\ (\lambda j. (rs ! (3 + k + j), Stay))\ [0..<k]))$

**lemma**  $cmdL5-eq-0$ :  
**assumes**  $j < 2 * k + 3$  **and**  $length\ gs = 2 * k + 3$  **and**  $gs ! 1 = 0$   
**shows**  $cmdL5\ jj\ gs [!]\ j = (gs ! j, Stay)$   
**unfolding**  $cmdL5-def$  **using** *assms* **by** *simp*

**lemma**  $cmdL5-at-0$ :  
**assumes**  $gs ! 1 \neq 0$   
**shows**  $cmdL5\ jj\ gs [!]\ 0 = (gs ! 0, Stay)$   
**unfolding**  $cmdL5-def$  **using** *assms* **by** *simp*

**lemma**  $cmdL5-at-1$ :  
**assumes**  $gs ! 1 \neq 0$   
**and**  $is-code\ (gs ! 1) \wedge gs ! (3+k+jj) < G \wedge enc-nth\ (gs!1)\ (k+jj) = 1$   
**shows**  $cmdL5\ jj\ gs [!]\ 1 = (enc-upd\ (gs!1)\ jj\ (gs!(3+k+jj)), Right)$   
**unfolding**  $cmdL5-def$  **using** *assms* **by** *simp*

**lemma**  $cmdL5-at-1-else$ :  
**assumes**  $gs ! 1 \neq 0$   
**and**  $\neg (is-code\ (gs ! 1) \wedge gs ! (3+k+jj) < G \wedge enc-nth\ (gs!1)\ (k+jj) = 1)$   
**shows**  $cmdL5\ jj\ gs [!]\ 1 = (gs ! 1, Right)$   
**unfolding**  $cmdL5-def$  **using** *assms* **by** *auto*

**lemma**  $cmdL5-at-2$ :  
**assumes**  $gs ! 1 \neq 0$   
**shows**  $cmdL5\ jj\ gs [!]\ 2 = (gs ! 2, Stay)$   
**unfolding**  $cmdL5-def$  **using** *assms* **by** *simp*

**lemma cmdL5-at-3:**  
**assumes**  $gs ! 1 \neq 0$  **and**  $3 \leq j \wedge j < 3 + k$   
**shows**  $cmdL5\ jj\ gs\ [!]\ j = (gs ! j, Stay)$   
**unfolding**  $cmdL5-def$  **using**  $assms\ threeplus2k-2$  [**where**  $?a=(gs ! 0, Stay)$ ] **by**  $simp$

**lemma cmdL5-at-4:**  
**assumes**  $gs ! 1 \neq 0$  **and**  $3 + k \leq j \wedge j < 2 * k + 3$   
**shows**  $cmdL5\ jj\ gs\ [!]\ j = (gs ! j, Stay)$   
**unfolding**  $cmdL5-def$  **using**  $assms\ threeplus2k-3$  [**where**  $?a=(gs ! 0, Stay)$ ] **by**  $simp$

**lemma turing-command-cmdL5:**

**assumes**  $jj < k$   
**shows**  $turing-command\ (2 * k + 3)\ 1\ G'\ (cmdL5\ jj)$

**proof**

**show**  $length\ gs = 2 * k + 3 \implies length\ ([!]\ cmdL5\ jj\ gs) = length\ gs$  **for**  $gs$

**unfolding**  $cmdL5-def$  **by**  $(cases\ gs!1=0)\ simp-all$

**show**  $goal2: length\ gs = 2 * k + 3 \implies 0 < 2 * k + 3 \implies cmdL5\ jj\ gs\ [.] 0 = gs ! 0$  **for**  $gs$

**unfolding**  $cmdL5-def$  **by**  $(cases\ gs ! 1=0)\ simp-all$

**show**  $cmdL5\ jj\ gs\ [.] j < G'$

**if**  $length\ gs = 2 * k + 3 \wedge i. i < length\ gs \implies gs ! i < G' j < length\ gs$

**for**  $gs\ j$

**proof**  $(cases\ gs ! 1 = 0)$

**case**  $True$

**then show**  $?thesis$

**using**  $that\ cmdL5-eq-0$  **by**  $simp$

**next**

**case**  $False$

**consider**  $j = 0 \mid j = 1 \mid j = 2 \mid 3 \leq j \wedge j < k + 3 \mid k + 3 \leq j \wedge j < 2 * k + 3$

**using**  $\langle length\ gs = 2 * k + 3 \rangle \langle j < length\ gs \rangle$  **by**  $linarith$

**then show**  $?thesis$

**proof**  $(cases)$

**case**  $1$

**then show**  $?thesis$

**using**  $that\ goal2$  **by**  $simp$

**next**

**case**  $2$

**show**  $?thesis$

**proof**  $(cases\ 1 < gs ! 1 \wedge gs ! 1 < G \wedge (2 * k + 2) + 2 \wedge gs ! (3 + k + jj) < G \wedge enc-nth\ (gs ! 1)\ (k + jj) = 1)$

**case**  $True$

**then have**  $*: cmdL5\ jj\ gs\ [.] j = enc-upd\ (gs ! 1)\ jj\ (gs ! (3 + k + jj))$

**using**  $2\ cmdL5-at-1[OF\ False]$  **by**  $simp$

**let**  $?n = gs ! 1$

**let**  $?xs = dec\ ?n$

**let**  $?ys = (dec\ ?n)\ [jj:=gs!(3+k+jj)]$

**have**  $gs ! (3 + k + jj) < G$

**using**  $True$  **by**  $simp$

**moreover have**  $\forall h \in set\ (dec\ ?n). h < G$

**using**  $True\ dec-less-G$  **by**  $auto$

**ultimately have**  $\forall h \in set\ ?ys. h < G$

**by**  $(metis\ in-set-conv-nth\ length-list-update\ nth-list-update-eq\ nth-list-update-neq)$

**moreover have**  $length\ ?ys = 2 * k + 2$

**using**  $True\ length-dec$  **by**  $simp$

**ultimately have**  $enc\ ?ys < G \wedge (2 * k + 2) + 2$

**using**  $enc-less$  **by**  $simp$

**then show**  $?thesis$

**using**  $*$  **by**  $(simp\ add: enc-upd-def\ G'-def)$

**next**

**case**  $b: False$

**then show**  $?thesis$

**using**  $that\ cmdL5-at-1-else[OF\ False]\ 2$  **by**  $simp$

**qed**

**next**

**case**  $3$

```

then show ?thesis
  using that cmdL5-at-2[OF False] by simp
next
  case 4
  then show ?thesis
    using that cmdL5-at-3[OF False] by simp
  next
  case 5
  then show ?thesis
    using that cmdL5-at-4[OF False] by simp
qed
qed
show  $\bigwedge gs. \text{length } gs = 2 * k + 3 \implies [*] (\text{cmdL5 } jj \text{ } gs) \leq 1$ 
  using cmdL5-def by (metis (no-types, lifting) One-nat-def fst-conv le-eq-less-or-eq plus-1-eq-Suc trans-le-add2)

```

**qed**

**definition** *tmL45* :: *nat*  $\Rightarrow$  *machine* **where**  
*tmL45* *jj*  $\equiv$  [*cmdL5* *jj*]

**lemma** *tmL45-tm*:  
**assumes** *jj* < *k*  
**shows** *turing-machine* (2 \* *k* + 3) *G'* (*tmL45* *jj*)  
**using** *assms* *G'-ge* *turing-command-cmdL5* *tmL45-def* **by** *auto*

We move the output tape head one cell to the left.

**definition** *tmL46* *jj*  $\equiv$  *tmL45* *jj* ;; *tm-left* 1

**lemma** *tmL46-tm*:  
**assumes** *jj* < *k*  
**shows** *turing-machine* (2 \* *k* + 3) *G'* (*tmL46* *jj*)  
**using** *assms* *G'-ge* *tm-left-tm* *tmL45-tm* *tmL46-def* *tmL45-def* **by** *simp*

The next command sweeps left and applies the head movement for tape *jj* if this is a movement to the left. To this end it checks for a set head flag in component *k* + *jj* of the code symbol and clears it. It also memorizes that it just cleared the head flag by changing the symbol on memorization tape 3 + *jj* to the number 3, which is not used to encode any actual head movement. In the next step of the sweep it will recognize this 3 and set the head flag in component *k* + *jj* of the code symbol. The net result is that the head flag for tape *jj* has moved one cell to the left.

**abbreviation** *is-beginning* :: *symbol*  $\Rightarrow$  *bool* **where**  
*is-beginning* *y*  $\equiv$  *is-code* *y*  $\wedge$  *dec* *y* ! (2 \* *k* + 1) = 1

**definition** *cmdL7* :: *nat*  $\Rightarrow$  *command* **where**  
*cmdL7* *jj* *rs*  $\equiv$   
 (if *is-beginning* (*rs* ! 1) then 1 else 0,  
 if *rs* ! (3 + *jj*) =  $\square$   $\wedge$  *enc-nth* (*rs* ! 1) (*k* + *jj*) = 1  $\wedge$  *is-code* (*rs* ! 1)  $\wedge$   $\neg$  *is-beginning* (*rs* ! 1) then  
 [(*rs* ! 0, *Stay*),  
 (*enc-upd* (*rs* ! 1) (*k* + *jj*) 0, *Left*),  
 (*rs* ! 2, *Stay*)] @  
 (*map* ( $\lambda j. (if\ j = jj\ then\ 3\ else\ rs\ !\ (j + 3),\ Stay)$ ) [0..*k*]) @  
 (*map* ( $\lambda j. (rs\ !\ (3 + k + j),\ Stay)$ ) [0..*k*])  
 else if *rs* ! (3 + *jj*) = 3  $\wedge$  *is-code* (*rs* ! 1) then  
 [(*rs* ! 0, *Stay*),  
 (*enc-upd* (*rs* ! 1) (*k* + *jj*) 1, *Left*),  
 (*rs* ! 2, *Stay*)] @  
 (*map* ( $\lambda j. (if\ j = jj\ then\ 0\ else\ rs\ !\ (j + 3),\ Stay)$ ) [0..*k*]) @  
 (*map* ( $\lambda j. (rs\ !\ (3 + k + j),\ Stay)$ ) [0..*k*])  
 else  
 [(*rs* ! 0, *Stay*),  
 (*rs* ! 1, *Left*),  
 (*rs* ! 2, *Stay*)] @  
 (*map* ( $\lambda j. (rs\ !\ (j + 3),\ Stay)$ ) [0..*k*]) @  
 (*map* ( $\lambda j. (rs\ !\ (3 + k + j),\ Stay)$ ) [0..*k*]))

**abbreviation** *condition7a* *gs jj*  $\equiv$   
 $gs ! (3 + jj) = 0 \wedge enc\text{-}nth (gs ! 1) (k + jj) = 1 \wedge is\text{-}code (gs ! 1) \wedge \neg is\text{-}beginning (gs ! 1)$

**abbreviation** *condition7b* *gs jj*  $\equiv$   
 $\neg condition7a\ gs\ jj \wedge gs ! (3 + jj) = 3 \wedge is\text{-}code (gs ! 1)$

**abbreviation** *condition7c* *gs jj*  $\equiv$   
 $\neg condition7a\ gs\ jj \wedge \neg condition7b\ gs\ jj$

**lemma** *turing-command-cmdL7*:  
**assumes** *jj* < *k*  
**shows** *turing-command* ( $2 * k + 3$ ) 1 *G'* (*cmdL7 jj*)

**proof**  
**show** *length* ([!!] *cmdL7 jj gs*) = *length gs* **if** *length gs* =  $2 * k + 3$  **for** *gs*  
**proof** –  
**consider** *condition7a gs jj* | *condition7b gs jj* | *condition7c gs jj*  
**by** *blast*  
**then show** *?thesis*  
**unfolding** *cmdL7-def* **using** *that* **by** (*cases*) *simp-all*

**qed**  
**show** *goal2*:  $0 < 2 * k + 3 \implies cmdL7\ jj\ gs\ [\cdot]\ 0 = gs ! 0$  **if** *length gs* =  $2 * k + 3$  **for** *gs*  
**proof** –  
**consider** *condition7a gs jj* | *condition7b gs jj* | *condition7c gs jj*  
**by** *blast*  
**then show** *?thesis*  
**unfolding** *cmdL7-def* **using** *that* **by** (*cases*) *simp-all*

**qed**  
**show** *cmdL7 jj gs* [*j*] *j* < *G'*  
**if** *gs*: *j* < *length gs* *length gs* =  $2 * k + 3 \wedge i. i < length\ gs \implies gs ! i < G'$   
**for** *gs j*  
**proof** –  
**consider** *condition7a gs jj* | *condition7b gs jj* | *condition7c gs jj*  
**by** *blast*  
**then show** *?thesis*  
**proof** (*cases*)  
**case** 1  
**then have** \*: *snd* (*cmdL7 jj gs*) =  
 $[(gs ! 0, Stay),$   
 $(enc\text{-}upd (gs ! 1) (k + jj) 0, Left),$   
 $(gs ! 2, Stay)] @$   
 $(map (\lambda j. (if\ j = jj\ then\ 3\ else\ gs ! (j + 3), Stay)) [0..<k]) @$   
 $(map (\lambda j. (gs ! (3 + k + j), Stay)) [0..<k])$   
**unfolding** *cmdL7-def* **by** *simp*  
**consider**  $j = 0$  |  $j = 1$  |  $j = 2$  |  $3 \leq j \wedge j < k + 3$  |  $k + 3 \leq j \wedge j < 2 * k + 3$   
**using** *gs* **by** *linarith*  
**then show** *?thesis*  
**proof** (*cases*)  
**case** 1  
**then show** *?thesis*  
**using** *that* **by** (*simp add: goal2*)

**next**  
**case** 2  
**then have** *is-code* (*gs ! 1*)  
**using** 1 **by** *blast*  
**moreover have**  $k + jj < 2 * k + 2$   
**using** *assms* **by** *simp*  
**moreover have**  $0 < G$   
**using** *G-ge-4* **by** *simp*  
**ultimately have** *is-code* (*enc-upd* (*gs ! 1*) (*k + jj*) 0)  
**using** *enc-upd-is-code* **by** *simp*  
**then have** *is-code* (*cmdL7 jj gs* [*j*])  
**using** \* 2 **by** *simp*  
**then show** *?thesis*  
**using** *G'-ge-G G'-def* **by** *simp*

```

next
  case 3
  then show ?thesis
  using * gs by simp
next
  case 4
  then show ?thesis
  using * gs G'-ge threepus2k-2[where ?a=(gs ! 0, Stay)] by simp
next
  case 5
  then show ?thesis
  using * gs G'-ge threepus2k-3[where ?a=(gs ! 0, Stay)] by simp
qed
next
  case case2: 2
  then have *: snd (cmdL7 jj gs) =
    [(gs ! 0, Stay),
     (enc-upd (gs ! 1) (k + jj) 1, Left),
     (gs ! 2, Stay)] @
    (map (λj. (if j = jj then 0 else gs ! (j + 3), Stay)) [0..

```

```

    (map (λj. (gs ! (3 + k + j), Stay)) [0..<k])
  unfolding cmdL7-def by auto
  consider j = 0 | j = 1 | j = 2 | 3 ≤ j ∧ j < k + 3 | k + 3 ≤ j ∧ j < 2 * k + 3
  using gs by linarith
  then show ?thesis
    using * gs G'-ge threepus2k-2[where ?a=(gs ! 0, Stay)] threepus2k-3[where ?a=(gs ! 0, Stay)]
    by (cases) simp-all
  qed
qed
show ∧gs. length gs = 2 * k + 3 ⇒ [*] (cmdL7 jj gs) ≤ 1
  using cmdL7-def by simp
qed

```

**definition** *tmL67* :: nat ⇒ machine **where**  
*tmL67* jj ≡ [cmdL7 jj]

**lemma** *tmL67-tm*:  
 assumes jj < k  
 shows turing-machine (2 \* k + 3) G' (tmL67 jj)  
 using assms G'-ge tmL67-def turing-command-cmdL7 by auto

**definition** *tmL47* jj ≡ tmL46 jj ;; tmL67 jj

**lemma** *tmL47-tm*:  
 assumes jj < k  
 shows turing-machine (2 \* k + 3) G' (tmL47 jj)  
 using assms G'-ge tm-left-tm tmL46-tm tmL47-def tmL67-tm by simp

Next we are sweeping right again and perform the head movement for tape *jj* if this is a movement to the right. This works the same as the left movements in *cmdL7*.

**definition** *cmdL8* :: nat ⇒ command **where**  
*cmdL8* jj rs ≡  
 (if rs ! 1 = □ then 1 else 0,  
 if rs ! (3 + jj) = 2 ∧ enc-nth (rs ! 1) (k + jj) = 1 ∧ is-code (rs ! 1) then  
 [(rs ! 0, Stay),  
 (enc-upd (rs ! 1) (k + jj) 0, Right),  
 (rs ! 2, Stay)] @  
 (map (λj. (if j = jj then 3 else rs ! (j + 3), Stay)) [0..<k]) @  
 (map (λj. (rs ! (3 + k + j), Stay)) [0..<k])  
 else if rs ! (3 + jj) = 3 ∧ is-code (rs ! 1) then  
 [(rs ! 0, Stay),  
 (enc-upd (rs ! 1) (k + jj) 1, Right),  
 (rs ! 2, Stay)] @  
 (map (λj. (if j = jj then 2 else rs ! (j + 3), Stay)) [0..<k]) @  
 (map (λj. (rs ! (3 + k + j), Stay)) [0..<k])  
 else if rs ! 1 = 0 then  
 [(rs ! 0, Stay),  
 (rs ! 1, Stay),  
 (rs ! 2, Stay)] @  
 (map (λj. (rs ! (j + 3), Stay)) [0..<k]) @  
 (map (λj. (rs ! (3 + k + j), Stay)) [0..<k])  
 else  
 [(rs ! 0, Stay),  
 (rs ! 1, Right),  
 (rs ! 2, Stay)] @  
 (map (λj. (rs ! (j + 3), Stay)) [0..<k]) @  
 (map (λj. (rs ! (3 + k + j), Stay)) [0..<k])

**abbreviation** *condition8a* gs jj ≡  
 gs ! (3 + jj) = 2 ∧ enc-nth (gs ! 1) (k + jj) = 1 ∧ is-code (gs ! 1)

**abbreviation** *condition8b* gs jj ≡  
 ¬ *condition8a* gs jj ∧ gs ! (3 + jj) = 3 ∧ is-code (gs ! 1)

**abbreviation** *condition8c* gs jj ≡

$\neg \text{condition8a } gs \text{ } jj \wedge \neg \text{condition8b } gs \text{ } jj \wedge gs ! 1 = 0$   
**abbreviation**  $\text{condition8d } gs \text{ } jj \equiv$   
 $\neg \text{condition8a } gs \text{ } jj \wedge \neg \text{condition8b } gs \text{ } jj \wedge \neg \text{condition8c } gs \text{ } jj$

**lemma** *turing-command-cmdL8*:

**assumes**  $jj < k$

**shows** *turing-command*  $(2 * k + 3) 1 G' (\text{cmdL8 } jj)$

**proof**

**show**  $\text{length } ([!!] \text{cmdL8 } jj \text{ } gs) = \text{length } gs$  **if**  $\text{length } gs = 2 * k + 3$  **for**  $gs$

**proof** –

**consider**  $\text{condition8a } gs \text{ } jj \mid \text{condition8b } gs \text{ } jj \mid \text{condition8c } gs \text{ } jj \mid \text{condition8d } gs \text{ } jj$

**by** *blast*

**then show** *?thesis*

**unfolding** *cmdL8-def* **using** *that* **by** *(cases) simp-all*

**qed**

**show** *goal2*:  $0 < 2 * k + 3 \implies \text{cmdL8 } jj \text{ } gs \text{ } [.] 0 = gs ! 0$  **if**  $\text{length } gs = 2 * k + 3$  **for**  $gs$

**proof** –

**consider**  $\text{condition8a } gs \text{ } jj \mid \text{condition8b } gs \text{ } jj \mid \text{condition8c } gs \text{ } jj \mid \text{condition8d } gs \text{ } jj$

**by** *blast*

**then show** *?thesis*

**unfolding** *cmdL8-def* **using** *that* **by** *(cases) simp-all*

**qed**

**show**  $\text{cmdL8 } jj \text{ } gs \text{ } [.] j < G'$

**if**  $gs: j < \text{length } gs \text{ } \text{length } gs = 2 * k + 3 \wedge i. i < \text{length } gs \implies gs ! i < G'$

**for**  $gs \text{ } j$

**proof** –

**consider**  $\text{condition8a } gs \text{ } jj \mid \text{condition8b } gs \text{ } jj \mid \text{condition8c } gs \text{ } jj \mid \text{condition8d } gs \text{ } jj$

**by** *blast*

**then show** *?thesis*

**proof** *(cases)*

**case** 1

**then have**  $*$ :  $\text{snd } (\text{cmdL8 } jj \text{ } gs) =$

$[(gs ! 0, \text{Stay}),$

$(\text{enc-upd } (gs ! 1) (k + jj) 0, \text{Right}),$

$(gs ! 2, \text{Stay})] @$

$(\text{map } (\lambda j. (\text{if } j = jj \text{ then } 3 \text{ else } gs ! (j + 3), \text{Stay})) [0..<k]) @$

$(\text{map } (\lambda j. (gs ! (3 + k + j), \text{Stay})) [0..<k]) @$

**unfolding** *cmdL8-def* **by** *simp*

**consider**  $j = 0 \mid j = 1 \mid j = 2 \mid 3 \leq j \wedge j < k + 3 \mid k + 3 \leq j \wedge j < 2 * k + 3$

**using**  $gs$  **by** *linarith*

**then show** *?thesis*

**proof** *(cases)*

**case** 1

**then show** *?thesis*

**using** *that* **by** *(simp add: goal2)*

**next**

**case** 2

**then have** *is-code*  $(gs ! 1)$

**using** 1 **by** *blast*

**moreover have**  $k + jj < 2 * k + 2$

**using** *assms* **by** *simp*

**moreover have**  $0 < G$

**using** *G-ge-4* **by** *simp*

**ultimately have** *is-code*  $(\text{enc-upd } (gs ! 1) (k + jj) 0)$

**using** *enc-upd-is-code* **by** *simp*

**then have** *is-code*  $(\text{cmdL8 } jj \text{ } gs \text{ } [.] j)$

**using** \* 2 **by** *simp*

**then show** *?thesis*

**using** *G'-ge-G G'-def* **by** *simp*

**next**

**case** 3

**then show** *?thesis*

**using** \*  $gs$  **by** *simp*

```

next
  case 4
  then show ?thesis
  using * gs G'-ge threepus2k-2[where ?a=(gs ! 0, Stay)] by simp
next
  case 5
  then show ?thesis
  using * gs G'-ge threepus2k-3[where ?a=(gs ! 0, Stay)] by simp
qed
next
case case2: 2
then have *: snd (cmdL8 jj gs) =
  [(gs ! 0, Stay),
   (enc-upd (gs ! 1) (k + jj) 1, Right),
   (gs ! 2, Stay)] @
  (map (λj. (if j = jj then 2 else gs ! (j + 3), Stay)) [0..

```



```

then show ?thesis
  using * gs G'-ge threeplus2k-2[where ?a=(gs ! 0, Stay)] threeplus2k-3[where ?a=(gs ! 0, Stay)]
  by (cases) simp-all
next
  case 4
  then have *: snd (cmdL8 jj gs) =
    [(gs ! 0, Stay),
     (gs ! 1, Right),
     (gs ! 2, Stay)] @
    (map ( $\lambda j. (gs ! (j + 3), Stay)$ ) [0..k]) @
    (map ( $\lambda j. (gs ! (3 + k + j), Stay)$ ) [0..k])
  unfolding cmdL8-def by auto
  consider  $j = 0 \mid j = 1 \mid j = 2 \mid 3 \leq j \wedge j < k + 3 \mid k + 3 \leq j \wedge j < 2 * k + 3$ 
  using gs by linarith
  then show ?thesis
    using * gs G'-ge threeplus2k-2[where ?a=(gs ! 0, Stay)] threeplus2k-3[where ?a=(gs ! 0, Stay)]
    by (cases) simp-all
  qed
qed
show  $\wedge gs. \text{length } gs = 2 * k + 3 \implies [*] (\text{cmdL8 } jj \text{ } gs) \leq 1$ 
  using cmdL8-def by simp
qed

```

**definition** *tmL78* :: *nat*  $\Rightarrow$  *machine* **where**  
*tmL78* *jj*  $\equiv$  [*cmdL8* *jj*]

**lemma** *tmL78-tm*:  
**assumes**  $jj < k$   
**shows** *turing-machine* ( $2 * k + 3$ ) *G'* (*tmL78* *jj*)  
**using** *assms* *G'-ge tmL78-def turing-command-cmdL8* **by** *auto*

**definition** *tmL48* *jj*  $\equiv$  *tmL47* *jj* ;; *tmL78* *jj*

**lemma** *tmL48-tm*:  
**assumes**  $jj < k$   
**shows** *turing-machine* ( $2 * k + 3$ ) *G'* (*tmL48* *jj*)  
**using** *assms* *G'-ge tm-left-tm tmL47-tm tmL48-def tmL78-tm* **by** *simp*

The last command in the command sequence is moving back to the beginning of the output tape.

**definition** *tmL49* *jj*  $\equiv$  *tmL48* *jj* ;; *tm-left-until1*

The Turing machine *tmL49* *jj* is then repeated for the parameters  $jj = 0, \dots, k - 1$  in order to simulate the actions of *M* on all tapes.

**lemma** *tmL49-tm*:  $jj < k \implies \text{turing-machine } (2 * k + 3) \text{ } G' (\text{tmL49 } jj)$   
**using** *tmL48-tm tmL49-def tmL1-def tm-left-until1-tm* **by** *simp*

**fun** *tmL49-upt* :: *nat*  $\Rightarrow$  *machine* **where**  
*tmL49-upt* 0 = [] |  
*tmL49-upt* (*Suc* *j*) = *tmL49-upt* *j* ;; *tmL49* *j*

**lemma** *tmL49-upt-tm*:  
**assumes**  $j \leq k$   
**shows** *turing-machine* ( $2 * k + 3$ ) *G'* (*tmL49-upt* *j*)  
**using** *assms*

**proof** (*induction* *j*)

**case** 0  
**then show** ?*case*  
**using** *G'-ge(1) turing-machine-def* **by** *simp*

**next**

**case** (*Suc* *j*)  
**then show** ?*case*  
**using** *assms tmL49-tm* **by** *simp*

**qed**

**definition**  $tmL9 \equiv tmL4 \ ;\ ;\ tmL49\text{-upt } k$

**lemma**  $tmL9\text{-tm}$ : *turing-machine*  $(2 * k + 3) G' tmL9$   
**unfolding**  $tmL9\text{-def}$  **using**  $tmL49\text{-upt-tm } tmL4\text{-tm}$  **by** *simp*

At this point in the iteration we have completed one more step in the execution of  $M$ . We mark this by setting one more counter flag, namely the one in the leftmost code symbol where the flag is still unset. To find the first unset counter flag, we reuse  $tmC$ .

**definition**  $tmL10 \equiv tmL9 \ ;\ ;\ tmC$

**lemma**  $tmL10\text{-tm}$ : *turing-machine*  $(2 * k + 3) G' tmL10$   
**unfolding**  $tmL10\text{-def}$  **using**  $tmL9\text{-tm } tmC\text{-tm}$  **by** *simp*

Then we set the counter flag, unless we have reached a blank symbol.

**definition**  $cmdL11 :: \text{command}$  **where**

```
cmdL11 rs ≡
  (1,
   [(rs ! 0, Stay),
    if is-code (rs ! 1) then (enc-upd (rs ! 1) (2 * k) 1, Stay) else (rs ! 1, Stay),
    (rs ! 2, Stay)] @
   (map (λj. (rs ! (j + 3), Stay)) [0..<k]) @
   (map (λj. (rs ! (3 + k + j), Stay)) [0..<k]))
```

**lemma**  $turing\text{-command-}cmdL11$ : *turing-command*  $(2 * k + 3) 1 G' cmdL11$

**proof**

**show**  $length\ gs = 2 * k + 3 \implies length\ ([!!]\ cmdL11\ gs) = length\ gs$  **for**  $gs$

**unfolding**  $cmdL11\text{-def}$  **by**  $(cases\ gs\ !\ 1 = 0)$  *simp-all*

**show**  $goal2$ :  $length\ gs = 2 * k + 3 \implies 0 < 2 * k + 3 \implies cmdL11\ gs\ [.] 0 = gs\ !\ 0$  **for**  $gs$

**unfolding**  $cmdL11\text{-def}$  **by**  $(cases\ gs\ !\ 1 = 0)$  *simp-all*

**show**  $cmdL11\ gs\ [.] j < G'$

**if**  $length\ gs = 2 * k + 3 \wedge i. i < length\ gs \implies gs\ !\ i < G' j < length\ gs$

**for**  $gs\ j$

**proof** –

**consider**  $j = 0 \mid j = 1 \mid j = 2 \mid 3 \leq j \wedge j < k + 3 \mid k + 3 \leq j \wedge j < 2 * k + 3$

**using**  $\langle length\ gs = 2 * k + 3 \rangle \langle j < length\ gs \rangle$  **by** *linarith*

**then show** *?thesis*

**proof**  $(cases)$

**case** 1

**then show** *?thesis*

**using** *that goal2* **by** *simp*

**next**

**case** 2

**show** *?thesis*

**proof**  $(cases\ is\text{-code}\ (gs\ !\ 1))$

**case** *True*

**then have**  $*$ :  $cmdL11\ gs\ [.] j = enc\text{-upd}\ (gs\ !\ 1)\ (2 * k)\ 1$

**using** 2  $cmdL11\text{-def}$  **by** *simp*

**then have**  $is\text{-code}\ (cmdL11\ gs\ [.] j)$

**using**  $enc\text{-upd-}is\text{-code}[OF\ True]$   $G\text{-ge-4}$  **by** *simp*

**then show** *?thesis*

**using**  $G'\text{-def}$  **by** *simp*

**next**

**case** *False*

**then show** *?thesis*

**using** *that cmdL11-def 2* **by** *auto*

**qed**

**next**

**case** 3

**then show** *?thesis*

**using** *that cmdL11-def* **by** *simp*

**next**

**case** 4

```

then show ?thesis
  using that cmdL11-def threepus2k-2[OF 4, of (gs ! 0, Stay)] by simp
next
  case 5
  then show ?thesis
  using that cmdL11-def threepus2k-3[OF 5, of (gs ! 0, Stay)] by simp
qed
qed
show  $\bigwedge gs. \text{length } gs = 2 * k + 3 \implies [*] (\text{cmdL11 } gs) \leq 1$ 
  using cmdL11-def by simp
qed

```

**definition**  $tmL11 \equiv tmL10 ;; [\text{cmdL11}]$

**lemma**  $tmL1011\text{-tm}$ : *turing-machine*  $(2 * k + 3) G'$  [cmdL11]  
**using** cmdL11-def *turing-command-cmdL11*  $G'\text{-ge}$  **by auto**

**lemma**  $tmL11\text{-tm}$ : *turing-machine*  $(2 * k + 3) G' tmL11$   
**using**  $tmL11\text{-def } tmL1011\text{-tm } G'\text{-ge } tmL10\text{-tm}$  **by simp**

And we move back to the beginning of the output tape again.

**definition**  $tmL12 \equiv tmL11 ;; tm\text{-left-until1}$

**lemma**  $tmL12\text{-tm}$ : *turing-machine*  $(2 * k + 3) G' tmL12$   
**using**  $tmL11\text{-tm } tmL12\text{-def } tm\text{-left-until1}\text{-tm}$  **by simp**

Now, at the end of the iteration we set the memorization tapes  $3, \dots, 2k + 2$ , that is, all but the one memorizing the state of  $M$ , to 0. This makes for a simpler loop invariant than having the leftover symbols there.

**definition**  $tmL13 \equiv tmL12 ;; tm\text{-write-many } \{3..<2 * k + 3\} 0$

**lemma**  $tmL13\text{-tm}$ : *turing-machine*  $(2 * k + 3) G' tmL13$   
**unfolding**  $tmL13\text{-def}$  **using**  $tmL12\text{-tm } tm\text{-write-many}\text{-tm } k\text{-ge-2 } G'\text{-ge}(1)$  **by simp**

This is the entire loop. It terminates once there are no unset counter flags anymore.

**definition**  $tmLoop \equiv \text{WHILE } tmC ; \lambda rs. rs ! 1 > \square \text{ DO } tmL13 \text{ DONE}$

**lemma**  $tmLoop\text{-tm}$ : *turing-machine*  $(2 * k + 3) G' tmLoop$   
**using**  $tmLoop\text{-def } \text{turing-machine-loop-turing-machine } tmC\text{-tm } tmL13\text{-tm}$  **by simp**

**definition**  $tm10 \equiv tm9 ;; tmLoop$

**lemma**  $tm10\text{-tm}$ : *turing-machine*  $(2 * k + 3) G' tm10$   
**using**  $tm10\text{-def } tmLoop\text{-tm } tm9\text{-tm}$  **by simp**

## Cleaning up the output

Now the simulation proper has ended, but the output tape does not yet look quite like the output tape of  $M$ . It remains to extract the component 1 from all the code symbols on the output tape. The simulator does this while sweeping left. Formally, “extracting component 1” means this:

**abbreviation**  $ec1 :: \text{symbol} \Rightarrow \text{symbol}$  **where**  
 $ec1 h \equiv \text{if is-code } h \text{ then enc-nth } h \ 1 \text{ else } \square$

**lemma**  $ec1$ :  $ec1 h < G'$  **if**  $h < G'$  **for**  $h$

**proof** (cases is-code  $h$ )

```

case True
  then show ?thesis
  using enc-nth-less  $G'\text{-ge-}G$  by fastforce
next
  case False
  then show ?thesis
  using that by auto

```

qed

**definition**  $tm11 \equiv tm10 ;; tm-ltrans-until\ 1\ 1\ StartSym\ ec1$

**lemma**  $tm11-tm$ : *turing-machine*  $(2 * k + 3)\ G'\ tm11$

**proof** –

**have** *turing-machine*  $(2 * k + 3)\ G'\ (tm-ltrans-until\ 1\ 1\ StartSym\ ec1)$

**using**  $G'-ge\ ec1$  **by**  $(intro\ tm-ltrans-until-tm)\ simp-all$

**then show** *?thesis*

**using**  $tm10-tm\ tm11-def$  **by**  $simp$

qed

The previous TM,  $tm-ltrans-until\ 1\ 1\ \{y. y < G^2 * k + 2 + 2 \wedge 1 < y \wedge dec\ y!\ (2 * k + 1) = 1\}$  ( $\lambda h.$  *if is-code h then enc-nth h 1 else 0*), halts as soon as it encounters a code symbol with the start flag set, without applying the extraction function. Applying the function to this final code symbol, which is at the leftmost cell of the tape, is the last step of the simulator machine.

**definition**  $tm12 \equiv tm11 ;; tm-rtrans\ 1\ ec1$

**lemma**  $tm12-tm$ : *turing-machine*  $(2 * k + 3)\ G'\ tm12$

**unfolding**  $tm12-def$  **using**  $tm-rtrans-tm\ tm11-tm\ ec1\ G'-ge$  **by**  $simp$

### 5.3.3 Semantics of the Turing machine

This section establishes not only the configurations of the simulator but also the traces. For every Turing machine and command defined in the previous subsection, there will be a corresponding trace and a tape list representing the simulator's configuration after the command or TM has been applied.

For most of the time, the simulator's output tape will have no start symbol, and so the next definition will be more suited to describing it than the customary *contents*.

**definition**  $contents' :: symbol\ list \Rightarrow (nat \Rightarrow symbol)$  **where**  
 $contents'\ ys\ x \equiv if\ x < length\ ys\ then\ ys!\ x\ else\ 0$

**lemma**  $contents'-eqI$ :

**assumes**  $\bigwedge x. x < length\ ys \Longrightarrow zs\ x = ys!\ x$

**and**  $\bigwedge x. x \geq length\ ys \Longrightarrow zs\ x = 0$

**shows**  $zs = contents'\ ys$

**using**  $contents'-def\ assms$  **by**  $auto$

**lemma**  $contents-contents'$ :  $[ys] = contents'\ (1\ \# \ ys)$

**using**  $contents-def\ contents'-def$  **by**  $auto$

**lemma**  $contents'-at-ge$ :

**assumes**  $i \geq length\ ys$

**shows**  $contents'\ ys\ i = 0$

**using**  $assms\ contents'-def$  **by**  $simp$

In the following context  $zs$  represents the input for  $M$  and hence for the simulator.

**context**

**fixes**  $zs :: symbol\ list$

**assumes**  $zs$ : *bit-symbols*  $zs$

**begin**

**lemma**  $zs-less-G$ :  $\forall i < length\ zs. zs!\ i < G$

**using**  $zs\ G-ge-4$  **by**  $auto$

**lemma**  $zs-proper$ : *proper-symbols*  $zs$

**using**  $zs$  **by**  $auto$

**abbreviation**  $n \equiv length\ zs$

**abbreviation**  $TT \equiv Suc\ (fmt\ n)$

## Initializing the simulator's tapes

**definition** *tps0* :: *tape list* where

```
tps0 ≡
  [([zs], 0),
   ([[]], 0)] @
  replicate (2 * k + 1) ([▷])
```

**lemma** *tps0-start-config*: *start-config* (2 \* *k* + 3) *zs* = (0, *tps0*)  
**unfolding** *tps0-def contents-def onesie-def start-config-def* **by** *auto*

**definition** *tps1* :: *tape list* where

```
tps1 ≡
  [([zs], 1),
   ([replicate (fmt n) 3], 1)] @
  replicate (2 * k + 1) ([▷])
```

**definition** *es1* ≡ *es-fmt n*

**lemma** *tm1*: *traces tm1 tps0 es1 tps1*

**proof** –

```
let ?tps = replicate (2 * k + 1) ([▷])
have 1: tps0 = start-tapes-2 zs @ ?tps
  using contents-def tps0-def start-tapes-2-def by auto
have 2: tps1 = one-tapes-2 zs (fmt n) @ ?tps
  using contents-def tps1-def one-tapes-2-def by simp
have length (start-tapes-2 zs) = 2
  using start-tapes-2-def by simp
moreover have traces tm-fmt (start-tapes-2 zs) (es-fmt n) (one-tapes-2 zs (fmt n))
  using fmt zs by fastforce
moreover have turing-machine 2 G' tm-fmt using fmt(1) .
ultimately have
  traces (append-tapes 2 (2 + length ?tps) tm-fmt) (start-tapes-2 zs @ ?tps) (es-fmt n) (one-tapes-2 zs (fmt n))
  @ ?tps
  using traces-append-tapes by blast
then have
  traces (append-tapes 2 (2 * k + 3) tm-fmt) (start-tapes-2 zs @ ?tps) (es-fmt n) (one-tapes-2 zs (fmt n)) @
  ?tps
  by (simp add: numeral-3-eq-3)
then have traces (append-tapes 2 (2 * k + 3) tm-fmt) tps0 (es-fmt n) tps1
  using 1 2 by simp
then show ?thesis
  using tm1-def es1-def by simp
qed
```

**definition** *es1-2* ≡ *map* ( $\lambda i. (1, 1 + \text{Suc } i)$ ) [*0..<fmt n*] @ [(1, 1 + *fmt n*)]

**definition** *es2* ≡ *es1* @ *es1-2*

**lemma** *len-es2*: *length es2* = *length (es-fmt n)* + *TT*  
**using** *es2-def es1-def* **by** (*simp add: es1-2-def*)

**definition** *tps2* :: *tape list* where

```
tps2 ≡
  [([zs], 1),
   ([replicate (fmt n) (enc (replicate k 0 @ replicate k 0 @ [0, 0])), TT)] @
  replicate (2 * k + 1) ([▷])
```

**lemma** *tm2*: *traces tm2 tps0 es2 tps2*

**unfolding** *tm2-def es2-def*

**proof** (*rule traces-sequential*)

**show** *traces tm1 tps0 es1 tps1* **using** *tm1* .

**show** *traces tm1-2 tps1 es1-2 tps2*

**unfolding** *tm1-2-def es1-2-def*

**proof** (rule traces-tm-const-until-11I[where ?n=fmt n and ?G=G'])  
**show** 1 < length tps1  
**using** tps1-def by simp  
**show** enc (replicate k 0 @ replicate k 0 @ [0, 0]) < G'  
**using** G-ge-4 by (intro enc-less-G') simp-all  
**show** rneigh (tps1 ! 1) {0} (fmt n)  
**using** tps1-def contents-def by (intro rneighI) simp-all  
**show** map (λi. (1, 1 + Suc i)) [0..<fmt n] @ [(1, 1 + fmt n)] =  
map (λi. (tps1 :#: 0, tps1 :#: 1 + Suc i)) [0..<fmt n] @ [(tps1 :#: 0, tps1 :#: 1 + fmt n)]  
**using** tps1-def contents-def by simp  
**show** tps2 =  
tps1 [1 := constplant (tps1 ! 1) (enc (replicate k 0 @ replicate k 0 @ [0, 0])) (fmt n)]  
**using** tps2-def tps1-def contents-def constplant by auto  
**qed**  
**qed**

**definition** es3 ≡ es2 @ map (λi. (1, i)) (rev [0..<TT]) @ [(1, 0)]

**definition** tps3 :: tape list where

tps3 ≡  
[(zs], 1),  
(|replicate (fmt n) (enc (replicate k 0 @ replicate k 0 @ [0, 0])), 0) @  
replicate (2 \* k + 1) ([▷])

**lemma** tm3: traces tm3 tps0 es3 tps3

**unfolding** tm3-def es3-def

**proof** (rule traces-sequential)

**show** traces tm2 tps0 es2 tps2 **using** tm2 .

**show** traces (tm-start 1) tps2 (map (λi. (1, i)) (rev [0..<TT]) @ [(1, 0)]) tps3

**using** tps3-def tps2-def enc-greater by (intro traces-tm-start-1I) simp-all

**qed**

**definition** es4 ≡ es3 @ [(1, 0)]

**lemma** len-es4: length es4 = length (es-fmt n) + 2 \* TT + 2

**using** es4-def es3-def len-es2 by simp

**definition** tps4 :: tape list where

tps4 ≡  
[(zs], 1),  
(contents'  
((enc (replicate k 1 @ replicate k 1 @ [0, 1])) #  
replicate (fmt n) (enc (replicate k 0 @ replicate k 0 @ [0, 0])), 0) @  
replicate (2 \* k + 1) ([▷])

**lemma** tm4: traces tm4 tps0 es4 tps4

**unfolding** tm4-def es4-def

**proof** (rule traces-sequential)

**show** traces tm3 tps0 es3 tps3 **using** tm3 .

**show** traces (tm-write 1 (enc (replicate k 1 @ replicate k 1 @ [0, 1]))) tps3 [(1, 0)] tps4

**proof** (rule traces-tm-write-1I)

**show** 1 < length tps3

**using** tps3-def by simp

**show** [(1, 0)] = [(tps3 :#: 0, tps3 :#: 1)]

**using** tps3-def by simp

**show** tps4 = tps3[1 := tps3 ! 1 |:=| enc (replicate k 1 @ replicate k 1 @ [0, 1])]

**using** tps3-def tps4-def contents'-def contents-contents' by auto

**qed**

**qed**

**definition** es5 ≡ es4 @ [(1, 1)]

**lemma** len-es5: length es5 = length (es-fmt n) + 2 \* TT + 3

using *es5-def len-es4* by *simp*

**definition** *tps5* :: *tape list* where

```

tps5 ≡
  [(zs], 1),
  (contents'
    ((enc (replicate k 1 @ replicate k 1 @ [0, 1])) #
      replicate (fmt n) (enc (replicate k 0 @ replicate k 0 @ [0, 0])), 1)] @
  replicate (2 * k + 1) ([▷])

```

**lemma** *tm5: traces tm5 tps0 es5 tps5*

**unfolding** *tm5-def es5-def*

**proof** (rule *traces-sequential*)

**show** *traces tm4 tps0 es4 tps4*

**using** *tm4* .

**show** *traces (tm-right 1) tps4 [(1, 1)] tps5*

**using** *tps4-def tps5-def* by (*intro traces-tm-right-1I*) *simp-all*

**qed**

Since the simulator simulates  $M$  on  $zs$ , its tape contents are typically described in terms of configurations of  $M$ . So the following definition is actually more like an abbreviation.

**definition** *exec t* ≡ *execute M (start-config k zs) t*

**lemma** *exec-pos-less-TT*:

**assumes**  $j < k$

**shows**  $\text{exec } t <\#\> j < TT$

**proof** –

**have**  $\text{exec } t <\#\> j \leq T' n$

**using** *head-pos-le-time-bound[OF tm-M time-bound-T' zs assms]* *exec-def* by *simp*

**then show** *?thesis*

**by** (*meson fmt(4) le-imp-less-Suc le-trans*)

**qed**

**lemma** *tps-ge-TT-0*:

**assumes**  $i \geq TT$

**shows**  $(\text{exec } t <:> 1) i = 0$

**proof** (*induction t*)

**case** 0

**have**  $\text{exec } 0 = \text{start-config } k \text{ } zs$

**using** *exec-def* by *simp*

**then show** *?case*

**using** *start-config1 assms tm-M turing-machine-def* by *simp*

**next**

**case** (*Suc t*)

**have** \*:  $\text{exec } (\text{Suc } t) = \text{exe } M (\text{exec } t)$

**using** *exec-def* by *simp*

**show** *?case*

**proof** (*cases fst (exec t) ≥ length M*)

**case** *True*

**then have**  $\text{exec } (\text{Suc } t) = \text{exec } t$

**using** \* *exe-def* by *simp*

**then show** *?thesis*

**using** *Suc* by *simp*

**next**

**case** *False*

**then have**  $\text{exec } (\text{Suc } t) <:> 1 = \text{sem } (M ! (\text{fst } (\text{exec } t))) (\text{exec } t) <:> 1$

(*is - = sem ?cmd ?cfg <:> 1*)

**using** *exe-lt-length \** by *simp*

**also have** ... = *fst (map (λ(a, tp). act a tp) (zip (snd (?cmd (read (snd ?cfg)))) (snd ?cfg)) ! 1)*

**using** *sem'* by *simp*

**also have** ... = *fst (act (snd (?cmd (read (snd ?cfg)) ! 1) (snd ?cfg ! 1))*

(*is - = fst (act ?h (snd ?cfg ! 1))*)

**proof** –

```

have ||?cfg|| = k
  using exec-def tm-M execute-num-tapes[OF tm-M] start-config-length turing-machine-def
  by simp
moreover from this have length (snd (?cmd (read (snd ?cfg)))) = k
  by (metis False turing-commandD(1) linorder-not-less read-length tm-M turing-machineD(3))
moreover have k > 1
  using k-ge-2 by simp
ultimately show ?thesis
  by simp
qed
finally have exec (Suc t) <:> 1 = fst (act ?h (?cfg <!> 1)) .
moreover have i ≠ snd (?cfg <!> 1)
  using assms by (metis Suc-1 exec-pos-less-TT lessI less-irrefl less-le-trans tm-M turing-machine-def)
ultimately have (exec (Suc t) <:> 1) i = fst (?cfg <!> 1) i
  using act-changes-at-most-pos by (metis prod.collapse)
then show ?thesis
  using Suc.IH by simp
qed
qed

```

The next definition is central to how we describe the simulator's output tape. The basic idea is that it describes the tape during the simulation of the  $t$ -th step of executing  $M$  on input  $zs$ . Recall that  $TT$  is the length of the formatted part on the simulator's output tape. The  $i$ -th cell of the output tape contains: (1)  $k$  symbols corresponding to the symbols in the  $i$ -th cell of the  $k$  tapes of  $M$  after  $t$  steps; (2)  $k$  flags indicating which of the  $k$  tape heads is in position  $i$ ; (3) a unary counter representing the number  $t$ ; (4) a flag indicating whether  $i = 0$ . This is the situation at the beginning of the  $t$ -iteration of the simulator's main loop. During this iteration the tape changes slightly: some symbols and head positions may already represent the situation after  $t + 1$  steps of  $M$ , that is, the  $t$ -th step has been partially simulated already. To account for this, there is the  $xs$  parameter. It is meant to be set to a list of  $k$  pairs. Let the  $j$ -th element of this list be  $(a, b)$ . On  $M$ 's tape  $j$  has already been simulated. In other words, the output tape reflects the situation after  $t + a$  steps. Likewise  $b$  will be either `None` or `0` or `1`. If it is `0` or `1`, it means the flag represents the head position of tape  $j$  after  $t + b$  steps. If it is `None`, it means that all head flags for tape  $k$  are currently zero, representing a "tape without head". This situation occurs every time the simulator has cleared the head flag representing the position after  $t$  steps, but has not set the flag for the head position after  $t + 1$  steps yet.

Therefore, at the beginning of the  $t$ -iteration of the simulator's loop  $xs$  consists of  $k$  pairs  $(0, 0)$ . During the iteration every pair will eventually become  $(0, 0)$ .

**definition**  $zip-cont :: nat \Rightarrow (nat \times nat\ option)\ list \Rightarrow (nat \Rightarrow symbol)\ \mathbf{where}$

```

zip-cont t xs i ≡
  if i < TT then enc
    (map (λj. (exec (t + fst (xs ! j)) <:> j) i) [0..<k]) @
    (map (λj. case snd (xs ! j) of None ⇒ 0 | Some d ⇒ if i = exec (t + d) <#> j then 1 else 0) [0..<k]) @
    [if i < t then 1 else 0,
     if i = 0 then 1 else 0])
  else 0

```

Some auxiliary lemmas for accessing elements of lists of certain shape:

**lemma**  $less-k-nth: j < k \implies (map\ f1\ [0..<k])\ @\ (map\ f2\ [0..<k])\ @\ [a, b] ! j = f1\ j$   
**by** (simp add: nth-append)

**lemma**  $less-2k-nth: k \leq j \implies j < 2 * k \implies (map\ f1\ [0..<k])\ @\ (map\ f2\ [0..<k])\ @\ [a, b] ! j = f2\ (j - k)$

**proof** –

```

assume a: k ≤ j j < 2 * k
have b: (map f1 [0..<k]) @ map f2 [0..<k]) ! (k + l) = f2 l if l < k for l
  by (simp add: nth-append that)
have (map f1 [0..<k]) @ map f2 [0..<k]) ! j = f2 (j - k)
proof –
  obtain l where l: l < k k + l = j
  using a le-Suc-ex by auto
  then have (map f1 [0..<k]) @ map f2 [0..<k]) ! (k + l) = f2 l
  using b by auto

```



```

with l show ?thesis
  by auto
qed
moreover have (map f1 [0..<k] @ map f2 [0..<k] @ [a, b]) = (map f1 [0..<k] @ map f2 [0..<k]) @ [a, b]
  by simp
moreover have length (map f1 [0..<k] @ map f2 [0..<k]) = 2 * k
  by simp
ultimately show ?thesis
  using a by (metis nth-append)
qed

```

```

lemma twok-nth: (map f1 [0..<k] @ map f2 [0..<k] @ [a, b]) ! (2 * k) = a
proof -
  have map f1 [0..<k] @ map f2 [0..<k] @ [a, b] = (map f1 [0..<k] @ map f2 [0..<k]) @ [a, b]
    by simp
  moreover have length (map f1 [0..<k] @ map f2 [0..<k]) = 2 * k
    by simp
  ultimately show ?thesis
    by (metis nth-append-length)
qed

```

```

lemma twok1-nth: (map f1 [0..<k] @ map f2 [0..<k] @ [a, b]) ! (2 * k + 1) = b
proof -
  have map f1 [0..<k] @ map f2 [0..<k] @ [a, b] = (map f1 [0..<k] @ map f2 [0..<k]) @ [a, b]
    by simp
  moreover have length (map f1 [0..<k] @ map f2 [0..<k]) = 2 * k
    by simp
  ultimately show ?thesis
    by (metis One-nat-def nth-Cons-0 nth-Cons-Suc nth-append-length-plus)
qed

```

```

lemma zip-cont-less-G:
  assumes i < TT
  shows  $\forall x \in \text{set} (\text{map } (\lambda j. (\text{exec } (t + \text{fst } (xs ! j)) <:> j) i) [0..<k] @ \text{map } (\lambda j. \text{case } \text{snd } (xs ! j) \text{ of } \text{None} \Rightarrow 0 \mid \text{Some } d \Rightarrow \text{if } i = \text{exec } (t + d) <\#\#> j \text{ then } 1 \text{ else } 0) [0..<k] @ \text{if } i < t \text{ then } 1 \text{ else } 0, \text{if } i = 0 \text{ then } 1 \text{ else } 0)). x < G$ 
    (is  $\forall x \in \text{set} (?us @ ?vs @ [?a, ?b]). x < G$ )

```

```

proof -
  let ?ys = ?us @ ?vs @ [?a, ?b]
  let ?f1 = ( $\lambda j. (\text{exec } (t + \text{fst } (xs ! j)) <:> j) i$ )
  let ?f2 = ( $\lambda j. \text{case } \text{snd } (xs ! j) \text{ of } \text{None} \Rightarrow 0 \mid \text{Some } d \Rightarrow \text{if } i = \text{exec } (t + d) <\#\#> j \text{ then } 1 \text{ else } 0$ )
  have ?ys ! j < G if j < 2 * k + 2 for j
  proof -
    consider j < k | k ≤ j ∧ j < 2 * k | j = 2 * k | j = 2 * k + 1
    using ⟨j < 2 * k + 2⟩ by linarith
    then show ?thesis
    proof (cases)
      case 1
      then have ?us ! j = (execute M (start-config k zs) (t + fst (xs ! j)) <:> j) i
        using exec-def by simp
      then show ?thesis
        using tape-alphabet[OF tm-M] zs-less-G by (simp add: 1 nth-append)
    next
      case 2
      then have ?ys ! j = (case snd (xs ! (j-k)) of None ⇒ 0 | Some d ⇒ if i = exec (t + d) <\#\#> (j-k) then 1 else 0)
        1 else 0)
        by (simp add: less-2k-nth)
      then have ?ys ! j ≤ 1
        by (cases snd (xs ! (j - k))) auto
      then show ?thesis
        using G-ge-4 by simp
    next

```

```

    case 3
    then have ?ys ! j ≤ 1
      by (simp add: twok-nth)
    then show ?thesis
      using G-ge-4 by simp
  next
  case 4
  then have ?ys ! j = (if i = 0 then 1 else 0)
    using twok1-nth[of ?f1 ?f2 ?a ?b] by simp
  then show ?thesis
    using G-ge-4 by simp
qed
qed
moreover have length ?ys = 2 * k + 2
  by simp
ultimately show ∀ x∈set ?ys. x < G
  by (metis (no-types, lifting) in-set-conv-nth)
qed

lemma dec-zip-cont:
  assumes i < TT
  shows dec (zip-cont t xs i) =
    (map (λj. (exec (t + fst (xs ! j)) <:> j) i) [0..<k] @
     map (λj. case snd (xs ! j) of None ⇒ 0 | Some d ⇒ if i = exec (t + d) <#> j then 1 else 0) [0..<k] @
     [if i < t then 1 else 0,
      if i = 0 then 1 else 0])
    (is - = ?ys)
proof -
  have ∀ x∈set ?ys. x < G
    using zip-cont-less-G[OF assms] by simp
  moreover have len: length ?ys = 2 * k + 2
    by simp
  ultimately have dec (enc ?ys) = ?ys
    using dec-enc by simp
  then show ?thesis
    using zip-cont-def assms by simp
qed

lemma zip-cont-gt-1:
  assumes i < TT
  shows zip-cont t xs i > 1
  using assms enc-greater zip-cont-def by simp

lemma zip-cont-less:
  assumes i < TT
  shows zip-cont t xs i < G ^ (2 * k + 2) + 2
  using assms enc-less zip-cont-less-G zip-cont-def by simp

lemma zip-cont-eq-0:
  assumes i ≥ TT
  shows zip-cont t xs i = 0
  using assms zip-cont-def by simp

lemma dec-zip-cont-less-k:
  assumes i < TT and j < k
  shows dec (zip-cont t xs i) ! j = (exec (t + fst (xs ! j)) <:> j) i
  using dec-zip-cont[OF assms(1)] using assms(2) less-k-nth by (simp add: less-k-nth)

lemma dec-zip-cont-less-2k:
  assumes i < TT and j ≥ k and j < 2 * k
  shows dec (zip-cont t xs i) ! j =
    (case snd (xs ! (j - k)) of None ⇒ 0 | Some d ⇒ if i = exec (t + d) <#> (j - k) then 1 else 0)
  using dec-zip-cont[OF assms(1)] assms(2,3) by (simp add: less-2k-nth)

```

**lemma** *dec-zip-cont-2k*:

**assumes**  $i < TT$

**shows**  $dec (zip\text{-}cont\ t\ xs\ i) ! (2 * k) = (if\ i < t\ then\ 1\ else\ 0)$

**using** *dec-zip-cont[OF assms(1)] by (simp add: twok-nth)*

**lemma** *dec-zip-cont-2k1*:

**assumes**  $i < TT$

**shows**  $dec (zip\text{-}cont\ t\ xs\ i) ! (2 * k + 1) = (if\ i = 0\ then\ 1\ else\ 0)$

**using** *dec-zip-cont[OF assms(1)] twok1-nth by force*

**lemma** *zip-cont-eqI*:

**assumes**  $i < TT$

**and**  $\bigwedge j. j < k \implies (exec (t + fst (xs ! j)) <:> j) i = (exec (t + fst (xs' ! j)) <:> j) i$

**and**  $\bigwedge j. j < k \implies$

$(case\ snd (xs ! j)\ of\ None \Rightarrow (0::nat) \mid Some\ d \Rightarrow if\ i = exec (t + d) <\#\#> j\ then\ 1\ else\ 0) =$

$(case\ snd (xs' ! j)\ of\ None \Rightarrow 0 \mid Some\ d \Rightarrow if\ i = exec (t + d) <\#\#> j\ then\ 1\ else\ 0)$

**shows**  $zip\text{-}cont\ t\ xs\ i = zip\text{-}cont\ t\ xs'\ i$

**proof** –

**have**  $*$ :  $map (\lambda j. case\ snd (xs ! j)\ of\ None \Rightarrow (0::nat) \mid Some\ d \Rightarrow if\ i = exec (t + d) <\#\#> j\ then\ 1\ else\ 0) [0..<k] =$

$map (\lambda j. case\ snd (xs' ! j)\ of\ None \Rightarrow 0 \mid Some\ d \Rightarrow if\ i = exec (t + d) <\#\#> j\ then\ 1\ else\ 0) [0..<k]$

**using** *assms(3) by simp*

**have**  $zip\text{-}cont\ t\ xs\ i = enc$

$(map (\lambda j. (exec (t + fst (xs ! j)) <:> j) i) [0..<k]) @$

$map (\lambda j. case\ snd (xs ! j)\ of\ None \Rightarrow 0 \mid Some\ d \Rightarrow if\ i = exec (t + d) <\#\#> j\ then\ 1\ else\ 0) [0..<k]) @$

$[if\ i < t\ then\ 1\ else\ 0,$

$if\ i = 0\ then\ 1\ else\ 0]$

**using** *assms zip-cont-def by simp*

**also have**  $\dots = enc$

$(map (\lambda j. (exec (t + fst (xs' ! j)) <:> j) i) [0..<k]) @$

$map (\lambda j. case\ snd (xs' ! j)\ of\ None \Rightarrow 0 \mid Some\ d \Rightarrow if\ i = exec (t + d) <\#\#> j\ then\ 1\ else\ 0) [0..<k]) @$

$[if\ i < t\ then\ 1\ else\ 0,$

$if\ i = 0\ then\ 1\ else\ 0]$

**using** *assms(2) by (smt (verit) atLeastLessThan-iff map-eq-conv set-upt)*

**also have**  $\dots = enc$

$(map (\lambda j. (exec (t + fst (xs' ! j)) <:> j) i) [0..<k]) @$

$map (\lambda j. case\ snd (xs' ! j)\ of\ None \Rightarrow 0 \mid Some\ d \Rightarrow if\ i = exec (t + d) <\#\#> j\ then\ 1\ else\ 0) [0..<k]) @$

$[if\ i < t\ then\ 1\ else\ 0,$

$if\ i = 0\ then\ 1\ else\ 0]$

**using**  $*$  **by** *metis*

**finally show** *?thesis*

**using** *zip-cont-def by auto*

**qed**

**lemma** *zip-cont-nth-eqI*:

**assumes**  $i < TT$

**and**  $\bigwedge j. j < k \implies (exec (t + fst (xs ! j)) <:> j) i = (exec (t + fst (xs' ! j)) <:> j) i$

**and**  $\bigwedge j. j < k \implies snd (xs ! j) = snd (xs' ! j)$

**shows**  $zip\text{-}cont\ t\ xs\ i = zip\text{-}cont\ t\ xs'\ i$

**using** *assms zip-cont-eqI by presburger*

**lemma** *begin-tape-zip-cont*:

$begin\text{-}tape\ \{y. y < G \wedge (2 * k + 2) + 2 \wedge 1 < y \wedge dec\ y ! (2 * k + 1) = 1\} (zip\text{-}cont\ t\ xs,\ i)$

$(is\ begin\text{-}tape\ ?ys\ -)$

**proof** –

**let**  $?y = zip\text{-}cont\ t\ xs\ 0$

**have**  $?y \in ?ys$

**proof** –

**have**  $*$ :  $?y = enc$

$(map (\lambda j. (exec (t + fst (xs ! j)) <:> j) 0) [0..<k]) @$

$map (\lambda j. case\ snd (xs ! j)\ of\ None \Rightarrow 0 \mid Some\ d \Rightarrow if\ 0 = exec (t + d) <\#\#> j\ then\ 1\ else\ 0) [0..<k]) @$

$[if\ 0 < t\ then\ 1\ else\ 0,\ 1]$

```

    (is - = enc ?z)
    using zip-cont-def by simp
  then have 1: ?y > 1
    using enc-greater by simp
  have ?z ! (2 * k + 1) = 1
    using twok1-nth by fast
  then have 2: dec ?y ! (2 * k + 1) = 1
    using dec-zip-cont by simp
  have ?y < G ^ (2 * k + 2) + 2
    using enc-less * zip-cont-less-G[of 0] by simp
  then show ?thesis
    using 1 2 by simp
qed
moreover have zip-cont t xs i ∉ ?ys if i > 0 for i
proof (cases i < TT)
  case True
  then have dec (zip-cont t xs i) =
    (map (λj. (exec (t + fst (xs ! j)) <:> j) i) [0..<k] @
    map (λj. case snd (xs ! j) of None ⇒ 0 | Some d ⇒ if i = exec (t + d) <#> j then 1 else 0) [0..<k] @
    [if i < t then 1 else 0, 0])
    using dec-zip-cont that by simp
  then have dec (zip-cont t xs i) ! (2 * k + 1) = 0
    using twok1-nth by force
  then show ?thesis
    by simp
next
  case False
  then have zip-cont t xs i = 0
    using zip-cont-def by simp
  then show ?thesis
    by simp
qed
ultimately show ?thesis
  using begin-tapeI by simp
qed

```

**definition** *es6* ≡ *es5* @ map (λi. (1 + Suc i, 1 + Suc i)) [0..<n] @ [(1 + n, 1 + n)]

**lemma** *len-es6*: length *es6* = length (*es-fmt* n) + 2 \* *TT* + n + 4  
 using *es6-def len-es5* by simp

**definition** *tps6* :: tape list where

```

tps6 ≡
  [(zs], n + 1),
  (zip-cont 0 (replicate k (0, Some 0)), n + 1)] @
  replicate (2 * k + 1) (▷]

```

**lemma** *tm6*: traces *tm6* *tps0* *es6* *tps6*

**unfolding** *tm6-def es6-def*

**proof** (rule traces-sequential)

**show** traces *tm5* *tps0* *es5* *tps5*

using *tm5* .

**show** traces

*tm5-6*

*tps5*

(map (λi. (1 + Suc i, 1 + Suc i)) [0..<n] @ [(1 + n, 1 + n)])

*tps6*

**unfolding** *tm5-6-def*

**proof** (rule traces-tm-trans-until-01I[where ?n=n])

**show** 1 < length *tps5*

using *tps5-def* by simp

**show** rneigh (*tps5* ! 0) {0} n

using *tps5-def contents-def zs-proper* by (intro rneighI) auto

```

show map (λi. (1 + Suc i, 1 + Suc i)) [0..<n] @ [(1 + n, 1 + n)] =
  map (λi. (tps5 :#: 0 + Suc i, tps5 :#: 1 + Suc i)) [0..<n] @ [(tps5 :#: 0 + n, tps5 :#: 1 + n)]
using tps5-def by simp
show tps6 = tps5
  [0 := tps5 ! 0 |+] n,
  1 := transplant (tps5 ! 0) (tps5 ! 1) (λh. enc (h mod G # replicate (k - 1) 0 @ replicate k 0 @ [0, 0]))
n]
proof -
define f where f = (λh. enc (h mod G # replicate (k - 1) 0 @ replicate k 0 @ [0, 0]))
let ?tp1 = tps5 ! 0
let ?tp2 = tps5 ! 1
let ?xs = replicate k (0::nat, Some 0::nat option)
have rhs-less-TT: zip-cont 0 ?xs i = enc
  (map (λj. (start-config k zs <:> j) i) [0..<k] @
   map (λj. if i = start-config k zs <#> j then 1 else 0) [0..<k] @
   [0, if i = 0 then 1 else 0])
  if i < TT for i
proof -
have zip-cont 0 ?xs i = enc
  (map (λj. (exec (0 + fst (?xs ! j)) <:> j) i) [0..<k] @
   map (λj. case snd (?xs ! j) of None ⇒ 0 | Some d ⇒ if i = exec (0 + d) <#> j then 1 else 0)
[0..<k] @
   [if i < 0 then 1 else 0,
    if i = 0 then 1 else 0])
  using that zip-cont-def by simp
moreover have map (λj. (exec (0 + fst (?xs ! j)) <:> j) i) [0..<k] = map (λj. (exec 0 <:> j) i) [0..<k]
by simp
ultimately have zip-cont 0 ?xs i = enc
  (map (λj. (exec 0 <:> j) i) [0..<k] @
   map (λj. case snd (?xs ! j) of None ⇒ 0 | Some d ⇒ if i = exec (0 + d) <#> j then 1 else 0)
[0..<k] @
   [if i < 0 then 1 else 0,
    if i = 0 then 1 else 0])
  by metis
also have ... = enc
  (map (λj. (exec 0 <:> j) i) [0..<k] @
   map (λj. if i = exec 0 <#> j then 1 else 0) [0..<k] @
   [if i < 0 then 1 else 0,
    if i = 0 then 1 else 0])
proof -
have 1: map (λj. case snd (?xs ! j) of None ⇒ 0 | Some d ⇒ if i = exec (0 + d) <#> j then 1 else 0)
[0..<k] =
  map (λj. if i = exec 0 <#> j then 1 else 0) [0..<k]
  by simp
show ?thesis
  by (simp add: 1)
qed
finally show ?thesis
  using exec-def by simp
qed

have (if snd ?tp2 ≤ i ∧ i < snd ?tp2 + n then f (fst ?tp1 (snd ?tp1 + i - snd ?tp2)) else fst ?tp2 i) =
  zip-cont 0 (replicate k (0, Some 0)) i
  (is ?lhs = ?rhs)
  for i
proof (cases 1 ≤ i ∧ i < 1 + n)
case True
then have snd ?tp2 ≤ i ∧ i < snd ?tp2 + n
  using tps5-def by simp
then have ?lhs = f (fst ?tp1 (snd ?tp1 + i - snd ?tp2))
  by simp
then have ?lhs = f (fst ?tp1 i)
  using tps5-def by simp

```

```

then have ?lhs = f (zs ! (i - 1)) (is - = f (zs ! ?i))
  using tps5-def contents-def True by simp
moreover have zs ! ?i < G
  using True zs-less-G by auto
ultimately have lhs: ?lhs = enc (zs ! ?i # replicate (k - 1) 0 @ replicate k 0 @ [0, 0])
  using f-def by simp

have TT > n
  using fmt-ge-n by (simp add: le-imp-less-Suc)
then have i < TT
  using True by simp
then have rhs: ?rhs = enc
  (map (λj. (start-config k zs <:> j) i) [0..<k] @
   map (λj. if i = start-config k zs <#> j then 1 else 0) [0..<k] @
   [0, 0])
  using True rhs-less-TT by simp

have map (λj. (start-config k zs <:> j) i) [0..<k] = zs ! ?i # replicate (k - 1) 0 (is ?l = ?r)
proof (intro nth-equalityI)
show length ?l = length ?r
  using k-ge-2 by simp
show ?l ! j = ?r ! j if j < length ?l for j
proof (cases j = 0)
case c1: True
have (start-config k zs <:> 0) i = zs ! ?i
  using start-config-def True by simp
then show ?thesis
  using c1 that by auto
next
case c2: False
then have (start-config k zs <:> j) i = 0
  using start-config-def True that by simp
then show ?thesis
  using c2 that by simp
qed
moreover have map (λj. if i = start-config k zs <#> j then 1 else 0) [0..<k] = replicate k 0
proof -
have start-config k zs <#> j = 0 if j < k for j
  using that start-config-pos by auto
then have map (λj. if i = start-config k zs <#> j then 1 else 0) [0..<k] = map (λj. 0) [0..<k]
  using True by simp
then show ?thesis
  by (simp add: map-replicate-trivial)
qed
ultimately show ?lhs = ?rhs
  using lhs rhs by (metis Cons-eq-appendI)
next
case outside: False
show ?thesis
proof (cases i = 0)
case True
then have lhs: ?lhs = enc (replicate k 1 @ replicate k 1 @ [0, 1])
  using tps5-def contents'-def by simp
moreover have ?rhs = enc
  (map (λj. (start-config k zs <:> j) i) [0..<k] @
   map (λj. if i = start-config k zs <#> j then 1 else 0) [0..<k] @
   [0, 1])
  using rhs-less-TT True by simp
moreover have map (λj. (start-config k zs <:> j) i) [0..<k] = replicate k 1 (is ?l = ?r)
proof (rule nth-equalityI)
show length ?l = length ?r
  by simp

```

```

    then show ?l ! j = ?r ! j if j < length ?l for j
      using start-config-def True that start-config2 by simp
  qed
  moreover have map (λj. if i = start-config k zs <#> j then 1 else 0) [0..<k] = replicate k 1 (is ?l =
?r)
  proof (rule nth-equalityI)
    show length ?l = length ?r
      by simp
    show ?l ! j = ?r ! j if j < length ?l for j
      using True start-config-pos that by auto
  qed
  ultimately show ?thesis
    by metis
next
case False
then have i > n
  using outside by simp
then have lhs: ?lhs = fst ?tp2 i
  using tps5-def by simp
then show ?thesis
proof (cases i < TT)
case True
moreover have i > 0
  using False by simp
ultimately have lhs: ?lhs = enc (replicate k 0 @ replicate k 0 @ [0, 0])
  using lhs tps5-def contents'-def by simp

have ?rhs = enc
  (map (λj. (start-config k zs <:> j) i) [0..<k] @
  map (λj. if i = start-config k zs <#> j then 1 else 0) [0..<k] @
  [0, 0])
  using True False rhs-less-TT by simp
moreover have map (λj. (start-config k zs <:> j) i) [0..<k] = replicate k 0 (is ?l = ?r)
proof (rule nth-equalityI)
  show length ?l = length ?r
    by simp
  show ?l ! j = ?r ! j if j < length ?l for j
  proof (cases j = 0)
    case True
    then show ?thesis
      using that start-config-def <i > n> by simp
  next
    case False
    then show ?thesis
      using that start-config-def <i > 0> by simp
  qed
qed
moreover have map (λj. if i = start-config k zs <#> j then 1 else 0) [0..<k] = replicate k 0
proof -
  have start-config k zs <#> j = 0 if j < k for j
    using that start-config-pos by auto
  then have map (λj. if i = start-config k zs <#> j then 1 else 0) [0..<k] = map (λj. 0) [0..<k]
    by (simp add: False)
  then show ?thesis
    by (simp add: map-replicate-trivial)
qed
ultimately show ?thesis
  using lhs by metis
next
case False
then have i ≥ TT
  by simp
moreover have length (enc (replicate k 1 @ replicate k 1 @ [0, 1]) # replicate (fmt n) (enc (replicate

```

```

k 0 @ replicate k 0 @ [0, 0])) = TT
  by simp
  ultimately have ?lhs = 0
    using lhs contents'-at-ge by (simp add: tps5-def)
  moreover have ?rhs = 0
    using zip-cont-def ⟨i ≥ TT⟩ by simp
  ultimately show ?thesis
    by simp
qed
qed
qed
then have (λi. if snd ?tp2 ≤ i ∧ i < snd ?tp2 + n then f (fst ?tp1 (snd ?tp1 + i - snd ?tp2)) else fst
?tp2 i) =
  zip-cont 0 (replicate k (0, Some 0))
  by simp
  moreover have transplant (tps5 ! 0) (tps5 ! 1) (λh. enc (h mod G # replicate (k - 1) 0 @ replicate k 0
@ [0, 0])) n =
    (λi. if snd ?tp2 ≤ i ∧ i < snd ?tp2 + n then f (fst ?tp1 (snd ?tp1 + i - snd ?tp2)) else fst ?tp2 i,
    snd ?tp2 + n)
  using transplant-def f-def by auto
  ultimately have transplant (tps5 ! 0) (tps5 ! 1) (λh. enc (h mod G # replicate (k - 1) 0 @ replicate k 0
@ [0, 0])) n =
    (zip-cont 0 (replicate k (0, Some 0)), n + 1)
  using tps5-def by simp
  then have tps6 ! 1 = transplant (tps5 ! 0) (tps5 ! 1) (λh. enc (h mod G # replicate (k - 1) 0 @ replicate
k 0 @ [0, 0])) n
    using tps6-def by simp
  moreover have tps6 ! 0 = tps5 ! 0 |+| n
    using tps6-def tps5-def by simp
  ultimately show ?thesis
    using tps5-def tps6-def by simp
qed
qed
qed

```

**definition** *tps7* :: *tape list* where

```

tps7 ≡
  [(zs], n + 1),
  (zip-cont 0 (replicate k (0, Some 0)), 0)] @
  replicate (2 * k + 1) (▷]

```

**definition** *es7* ≡ *es6* @ map (λi. (n + 1, i)) (rev [0..*n* + 1]) @ [(n + 1, 0)]

**lemma** *len-es7*: *length es7* = *length (es-fmt n)* + 2 \* *TT* + 2 \* *n* + 6  
 using *es7-def len-es6* by simp

**lemma** *tm7*: *traces tm7 tps0 es7 tps7*

**unfolding** *tm7-def es7-def*

**proof** (*rule traces-sequential*)

**show** *traces tm6 tps0 es6 tps6*

using *tm6* .

**show** *traces tm-left-until1 tps6 (map (Pair (n + 1)) (rev [0..*n* + 1]) @ [(n + 1, 0)]) tps7*

**proof** (*rule traces-tm-left-until-1I*)

**show** *1 < length tps6*

using *tps6-def* by simp

**show** *map (Pair (n + 1)) (rev [0..*n* + 1]) @ [(n + 1, 0)] =*

*map (Pair (tps6 :#: 0)) (rev [0..*tps6* :#: 1]) @ [(tps6 :#: 0, 0)]*

using *tps6-def* by simp

**show** *tps7 = tps6[1 := tps6 ! 1 |#|=] 0*

using *tps6-def tps7-def* by simp

**show** *begin-tape StartSym (tps6 ! 1)*

using *begin-tape-zip-cont tps6-def* by simp

qed



qed

**definition**  $tps8$  :: *tape list* **where**

```
 $tps8 \equiv$   
   $[(\lfloor zs \rfloor, n + 1),$   
     $(zip\text{-}cont\ 0\ (replicate\ k\ (0, Some\ 0)), 0),$   
     $\lceil \square \rceil \text{ @}$   
     $replicate\ (2 * k)\ (\lceil \triangleright \rceil)$ 
```

**definition**  $es8 \equiv es7 \text{ @ } [(n + 1, 0)]$

**lemma**  $len\text{-}es8$ :  $length\ es8 = length\ (es\text{-}fmt\ n) + 2 * TT + 2 * n + 7$   
**using**  $es8\text{-}def\ len\text{-}es7$  **by**  $simp$

**lemma**  $tm8$ : *traces*  $tm8\ tps0\ es8\ tps8$

**unfolding**  $tm8\text{-}def\ es8\text{-}def$

**proof** (*rule traces-sequential*)

**show** *traces*  $tm7\ tps0\ es7\ tps7$

**using**  $tm7$  .

**show** *traces*  $(tm\text{-}write\ 2\ 0)\ tps7\ [(n + 1, 0)]\ tps8$

**proof** (*rule traces-tm-write-ge2I*)

**show**  $(2 :: nat) \leq 2$

**by**  $simp$

**show**  $2 < length\ tps7\ [(n + 1, 0)] = [(tps7\ \#\!: 0, tps7\ \#\!: 1)]$

**using**  $tps7\text{-}def$  **by**  $simp\text{-}all$

**show**  $tps8 = tps7[2 := tps7 ! 2 \mid := \mid 0]$

**proof** (*rule nth-equalityI*)

**show**  $length\ tps8 = length\ (tps7[2 := tps7 ! 2 \mid := \mid 0])$

**using**  $tps7\text{-}def\ tps8\text{-}def$  **by**  $simp$

**show**  $tps8 ! i = tps7[2 := tps7 ! 2 \mid := \mid 0] ! i$  **if**  $i < length\ tps8$  **for**  $i$

**proof** –

**consider**  $i = 0 \mid i = 1 \mid i = 2 \mid i > 2$

**by** *linarith*

**then show** *?thesis*

**proof** (*cases*)

**case** 1

**then show** *?thesis*

**using**  $tps7\text{-}def\ tps8\text{-}def$  **by**  $simp$

**next**

**case** 2

**then show** *?thesis*

**using**  $tps7\text{-}def\ tps8\text{-}def$  **by**  $simp$

**next**

**case** 3

**then have**  $*$ :  $tps8 ! i = \lceil \square \rceil$

**using**  $tps8\text{-}def$  **by**  $simp$

**have**  $(tps7 ! 2) \mid := \mid \square = \lceil \square \rceil$

**using**  $tps7\text{-}def\ onesie\text{-}write$  **by**  $simp$

**then have**  $(tps7[2 := tps7 ! 2 \mid := \mid \square]) ! 2 = \lceil \square \rceil$

**using**  $tps7\text{-}def$  **by**  $simp$

**then show** *?thesis*

**using** 3 \* **by**  $simp$

**next**

**case** 4

**then have**  $tps8 ! i = \lceil \triangleright \rceil$

**using**  $tps8\text{-}def\ that$  **by**  $simp$

**moreover have**  $tps7 ! i = \lceil \triangleright \rceil$

**using**  $tps7\text{-}def\ that\ 4\ tps8\text{-}def$  **by** *auto*

**ultimately show** *?thesis*

**by** (*simp add: 4 less-not-refl3*)

qed

qed

qed

qed  
qed

**definition**  $tps9 :: \text{tape list}$  **where**

$tps9 \equiv$   
 $[(\lfloor zs \rfloor, n + 1),$   
 $(\text{zip-cont } 0 (\text{replicate } k (0, \text{Some } 0)), 0),$   
 $\lfloor \square \rfloor \text{ @}$   
 $\text{replicate } (2 * k) (\lfloor \square \rfloor)$

**definition**  $es9 \equiv es8 \text{ @ } [(n + 1, 0)]$

**lemma**  $\text{len-es9: length } es9 = \text{length } (es\text{-fmt } n) + 2 * TT + 2 * n + 8$   
**using**  $es9\text{-def len-es8}$  **by**  $\text{simp}$

**lemma**  $tm9: \text{traces } tm9 \text{ tps0 } es9 \text{ tps9}$

**unfolding**  $tm9\text{-def } es9\text{-def}$

**proof** ( $\text{rule traces-sequential}[OF \text{ tm8}]$ )

**show**  $\text{traces } (tm\text{-write-many } \{3..<2 * k + 3\} 0) \text{ tps8 } [(n + 1, 0)] \text{ tps9}$

**proof** ( $\text{rule traces-tm-write-manyI}[\text{where } ?k=2*k+3]$ )

**show**  $0 \notin \{3..<2 * k + 3\}$

**by**  $\text{simp}$

**show**  $\forall j \in \{3..<2 * k + 3\}. j < 2 * k + 3$

**by**  $\text{simp}$

**show**  $2 \leq 2 * k + 3$

**by**  $\text{simp}$

**show**  $\text{length } tps8 = 2 * k + 3 \text{ length } tps9 = 2 * k + 3$

**using**  $tps8\text{-def } tps9\text{-def}$  **by**  $\text{simp-all}$

**show**  $[(n + 1, 0)] = [(tps8 \text{ :\#}: 0, tps8 \text{ :\#}: 1)]$

**using**  $tps8\text{-def}$  **by**  $\text{simp}$

**show**  $tps9 ! j = tps8 ! j$  **if**  $j < 2 * k + 3$   **$j \notin \{3..<2 * k + 3\}$  for  $j$**

**proof**  $-$

**have**  $j < 3$

**using**  $\text{that}$  **by**  $\text{simp}$

**then show**  $?thesis$

**using**  $tps8\text{-def } tps9\text{-def}$  **by** ( $\text{metis (no-types, lifting) length-Cons list.size(3) nth-append numeral-3-eq-3}$ )

qed

**show**  $tps9 ! j = tps8 ! j \mid := \mid 0$  **if**  $j \in \{3..<2 * k + 3\}$  **for  $j$**

**proof**  $-$

**have**  $j: j \geq 3 \ j < 2 * k + 3$

**using**  $\text{that}$  **by**  $\text{simp-all}$

**then have**  $tps8 ! j = \lfloor \triangleright \rfloor$

**using**  $tps8\text{-def}$  **by**  $\text{simp}$

**moreover have**  $tps9 ! j = \lfloor \square \rfloor$

**using**  $j \text{ tps9-def}$  **by**  $\text{simp}$

**ultimately show**  $?thesis$

**by** ( $\text{simp add: onesie-write}$ )

qed

qed

qed

## The loop

Immediately before and during the loop the tapes will have the shape below. The input tape will stay unchanged. The output tape will contain the  $k$  encoded tapes of  $M$ . The first memorization tape will contain  $M$ 's state. The following  $k$  memorization tapes will store information about head movements. The final  $k$  memorization tapes will have information about read or to-be-written symbols.

**definition**  $tpsL :: \text{nat} \Rightarrow (\text{nat} \times \text{nat option}) \text{ list} \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow (\text{nat} \Rightarrow \text{nat}) \Rightarrow (\text{nat} \Rightarrow \text{symbol}) \Rightarrow \text{tape list}$   
**where**

$tpsL \ t \ xs \ i \ q \ mvs \ syms \equiv$   
 $(\lfloor zs \rfloor, n + 1) \#$   
 $(\text{zip-cont } t \ xs, i) \#$   
 $\lfloor fst (\text{exec } (t + q)) \rfloor \#$

$map (onesie \circ mvs) [0..<k] @$   
 $map (onesie \circ syms) [0..<k]$

**lemma** *length-tpsL [simp]*:  $length (tpsL t xs i q mvs syms) = 2 * k + 3$   
**using** *tpsL-def by simp*

**lemma** *tpsL-pos-0*:  $tpsL t xs i q mvs syms : \# : 0 = n + 1$   
**unfolding** *tpsL-def by simp*

**lemma** *tpsL-pos-1*:  $tpsL t xs i q mvs syms : \# : 1 = i$   
**unfolding** *tpsL-def by simp*

**lemma** *read-tpsL-0*:  $read (tpsL t xs i q mvs syms) ! 0 = \square$   
**unfolding** *tpsL-def using contents-def read-def by simp*

**lemma** *read-tpsL-1*:  $read (tpsL t xs i q mvs syms) ! 1 =$   
*(if i < TT then enc*  
*(map ( $\lambda j. (exec (t + fst (xs ! j)) <:> j) i) [0..<k] @$*   
*map ( $\lambda j. case snd (xs ! j) of None \Rightarrow 0 \mid Some d \Rightarrow if i = exec (t + d) <\#\#> j then 1 else 0) [0..<k] @$*   
*[if i < t then 1 else 0,  
*if i = 0 then 1 else 0])*  
*else 0)*  
**unfolding** *tpsL-def zip-cont-def using read-def by simp**

**lemma** *read-tpsL-1-nth-less-k*:  
**assumes**  $i < TT$  **and**  $j < k$   
**shows**  $enc\_nth (read (tpsL t xs i q mvs syms) ! 1) j = (exec (t + fst (xs ! j)) <:> j) i$   
**using** *assms read-tpsL-1 dec-zip-cont-less-k enc-nth-def zip-cont-def by auto*

**lemma** *read-tpsL-1-nth-less-2k*:  
**assumes**  $i < TT$  **and**  $k \leq j$  **and**  $j < 2 * k$   
**shows**  $enc\_nth (read (tpsL t xs i q mvs syms) ! 1) j =$   
*(case snd (xs ! (j - k)) of None \Rightarrow 0 \mid Some d \Rightarrow if i = exec (t + d) <\#\#> (j - k) then 1 else 0)*  
**using** *assms read-tpsL-1 dec-zip-cont-less-2k enc-nth-def zip-cont-def by simp*

**lemma** *read-tpsL-1-nth-2k*:  
**assumes**  $i < TT$   
**shows**  $enc\_nth (read (tpsL t xs i q mvs syms) ! 1) (2 * k) = (if i < t then 1 else 0)$   
**using** *assms read-tpsL-1 dec-zip-cont-2k enc-nth-def zip-cont-def by simp*

**lemma** *read-tpsL-1-nth-2k1*:  
**assumes**  $i < TT$   
**shows**  $enc\_nth (read (tpsL t xs i q mvs syms) ! 1) (2 * k + 1) = (if i = 0 then 1 else 0)$   
**using** *assms read-tpsL-1 dec-zip-cont-2k1 enc-nth-def zip-cont-def by simp*

**lemma** *read-tpsL-1-bounds*:  
**assumes**  $i < TT$   
**shows**  $read (tpsL t xs i q mvs syms) ! 1 > 1$   
**and**  $read (tpsL t xs i q mvs syms) ! 1 < G \wedge (2 * k + 2) + 2$   
**proof** –  
**have**  $read (tpsL t xs i q mvs syms) ! 1 = zip\_cont t xs i$   
**using** *tpsL-def read-def by simp*  
**then show**  $read (tpsL t xs i q mvs syms) ! 1 > 1$   
**and**  $read (tpsL t xs i q mvs syms) ! 1 < G \wedge (2 * k + 2) + 2$   
**using** *zip-cont-gt-1[OF assms] zip-cont-less[OF assms] by simp-all*  
**qed**

**lemma** *read-tpsL-2*:  $read (tpsL t xs i q mvs syms) ! 2 = fst (exec (t + q))$   
**unfolding** *tpsL-def using contents-def read-def by simp*

**lemma** *read-tpsL-3*:  
**assumes**  $3 \leq j$  **and**  $j < k + 3$   
**shows**  $read (tpsL t xs i q mvs syms) ! j = mvs (j - 3)$

**proof** –  
**define**  $j'$  **where**  $j' = j - 3$   
**then have**  $j' < k$   
**using** *assms* **by** *simp*  
**have**  $\text{read } (\text{tpsL } t \text{ } xs \text{ } i \text{ } q \text{ } mvs \text{ } syms) ! j =$   
 $(\text{map } (\text{tape-read } \circ (\text{onesie } \circ \text{mvs})) [0..<k] @$   
 $\text{map } (\text{tape-read } \circ (\text{onesie } \circ \text{syms})) [0..<k] !$   
 $(j - \text{Suc } (\text{Suc } (\text{Suc } 0))))$   
**unfolding** *tpsL-def read-def* **using** *assms* **by** *simp*  
**also have**  $\dots =$   
 $(\text{map } (\text{tape-read } \circ (\text{onesie } \circ \text{mvs})) [0..<k] @$   
 $\text{map } (\text{tape-read } \circ (\text{onesie } \circ \text{syms})) [0..<k] ! j'$   
**by** (*simp add: j'-def numeral-3-eq-3*)  
**also have**  $\dots = \text{mvs } j'$   
**using**  $\langle j' < k \rangle$  **by** (*simp add: nth-append*)  
**finally have**  $\text{read } (\text{tpsL } t \text{ } xs \text{ } i \text{ } q \text{ } mvs \text{ } syms) ! j = \text{mvs } j'$ .  
**then show** *?thesis*  
**using** *j'-def* **by** *simp*  
**qed**

**lemma** *read-tpsL-3'*:  
**assumes**  $j < k$   
**shows**  $\text{read } (\text{tpsL } t \text{ } xs \text{ } i \text{ } q \text{ } mvs \text{ } syms) ! (j + 3) = \text{mvs } j$   
**using** *assms read-tpsL-3* **by** *simp*

**lemma** *read-tpsL-4*:  
**assumes**  $k + 3 \leq j$  **and**  $j < 2 * k + 3$   
**shows**  $\text{read } (\text{tpsL } t \text{ } xs \text{ } i \text{ } q \text{ } mvs \text{ } syms) ! j = \text{syms } (j - k - 3)$

**proof** –  
**define**  $j'$  **where**  $j' = j - 3$   
**then have**  $j': k \leq j' \wedge j' < k + k$   
**using** *assms* **by** *simp-all*  
**have**  $\text{read } (\text{tpsL } t \text{ } xs \text{ } i \text{ } q \text{ } mvs \text{ } syms) ! j =$   
 $(\text{map } (\text{tape-read } \circ (\text{onesie } \circ \text{mvs})) [0..<k] @$   
 $\text{map } (\text{tape-read } \circ (\text{onesie } \circ \text{syms})) [0..<k] !$   
 $(j - \text{Suc } (\text{Suc } (\text{Suc } 0))))$   
**unfolding** *tpsL-def read-def* **using** *assms* **by** *simp*  
**also have**  $\dots =$   
 $(\text{map } (\text{tape-read } \circ (\text{onesie } \circ \text{mvs})) [0..<k] @$   
 $\text{map } (\text{tape-read } \circ (\text{onesie } \circ \text{syms})) [0..<k] ! j'$   
**by** (*simp add: j'-def numeral-3-eq-3*)  
**also have**  $\dots = \text{syms } (j' - k)$   
**using**  $j'$  **by** (*simp add: nth-append*)  
**finally have**  $\text{read } (\text{tpsL } t \text{ } xs \text{ } i \text{ } q \text{ } mvs \text{ } syms) ! j = \text{syms } (j' - k)$ .  
**then show** *?thesis*  
**using** *j'-def* **using** *diff-commute* **by** *auto*  
**qed**

**lemma** *map-const-upt*:  $\text{map } (\text{onesie } \circ (\lambda \cdot. c)) [0..<k] = \text{replicate } k [c]$   
**by** (*metis List.map.compositionality map-replicate map-replicate-trivial*)

After the initialization, that is, right before the loop, the simulator's tapes look like this:

**lemma** *tps9-tpsL*:  $\text{tps9} = \text{tpsL } 0 (\text{replicate } k (0, \text{Some } 0)) 0 0 (\lambda j. 0) (\lambda j. 0)$   
**proof** –  
**have**  $\text{fst } (\text{exec } 0) = 0$   
**using** *exec-def* **by** (*simp add: start-config-def*)  
**then have**  $\text{tpsL } 0 (\text{replicate } k (0, \text{Some } 0)) 0 0 (\lambda j. 0) (\lambda j. 0) =$   
 $([zs], n + 1) \#$   
 $(\text{zip-cont } 0 (\text{replicate } k (0, \text{Some } 0)), 0) \#$   
 $[\square] \#$   
 $\text{replicate } k ([\square]) @$   
 $\text{replicate } k ([\square])$   
**using** *tpsL-def map-const-upt* **by** *simp*

**then show** *?thesis*  
**using** *tps9-def* **by** (*metis Cons-eq-appendI mult-2 replicate-add self-append-conv2*)  
**qed**

**lemma** *tpsL-0*:  $tpsL\ t\ xs\ i\ q\ mvs\ symbs\ !\ 0 = ([zs], n + 1)$   
**using** *tpsL-def* **by** *simp*

**lemma** *tpsL-1*:  $tpsL\ t\ xs\ i\ q\ mvs\ symbs\ !\ 1 = (zip\ cont\ t\ xs,\ i)$   
**using** *tpsL-def* **by** *simp*

**lemma** *tpsL-2*:  $tpsL\ t\ xs\ i\ q\ mvs\ symbs\ !\ 2 = [fst\ (exec\ (t + q))]$   
**using** *tpsL-def* **by** *simp*

**lemma** *tpsL-mvs*:  $j < k \implies tpsL\ t\ xs\ i\ q\ mvs\ symbs\ !\ (3 + j) = [mvs\ j]$   
**using** *tpsL-def* **by** (*simp add: nth-append*)

**lemma** *tpsL-mvs'*:  $3 \leq j \implies j < 3 + k \implies tpsL\ t\ xs\ i\ q\ mvs\ symbs\ !\ j = [mvs\ (j - 3)]$   
**using** *tpsL-mvs* **by** (*metis add.commute le-add-diff-inverse less-diff-conv2*)

**lemma** *tpsL-symbs*:  
**assumes**  $j < k$   
**shows**  $tpsL\ t\ xs\ i\ q\ mvs\ symbs\ !\ (3 + k + j) = [symbs\ j]$   
**using** *tpsL-def* **assms** **by** (*simp add: nth-append*)

**lemma** *tpsL-symbs'*:  
**assumes**  $3 + k \leq j$  **and**  $j < 2 * k + 3$   
**shows**  $tpsL\ t\ xs\ i\ q\ mvs\ symbs\ !\ j = [symbs\ (j - k - 3)]$   
**proof** –  
**have**  $j - (k + 3) < k$   
**using** *assms(1)* *assms(2)* **by** *linarith*  
**then show** *?thesis*  
**using** *assms(1)* *tpsL-symbs* **by** *fastforce*  
**qed**

The condition: less than *TT* steps simulated.

**definition** *tpsC0* ::  $nat \Rightarrow tape\ list$  **where**  
 $tpsC0\ t \equiv tpsL\ t\ (replicate\ k\ (0,\ Some\ 0))\ 0\ 0\ (\lambda j.\ 0)\ (\lambda j.\ 0)$

**definition** *tpsC1*  $t \equiv tpsL\ t\ (replicate\ k\ (0,\ Some\ 0))\ t\ 0\ (\lambda j.\ 0)\ (\lambda j.\ 0)$

**definition** *esC*  $t \equiv map\ (\lambda i.\ (n + 1,\ Suc\ i))\ [0..<t] @ [(n + 1,\ t)]$

**lemma** *set-filter-upt*:  $x \in set\ (filter\ f\ [0..<N]) \implies x < N$   
**by** *simp*

**lemma** *set-filter-upt'*:  $x \in set\ (filter\ f\ [0..<N]) \implies f\ x$   
**by** *simp*

We will use this TM at the end of the loop again. Therefore we prove a more general result than necessary at this point.

**lemma** *tmC-general*:  
**assumes**  $t \leq TT$   
**and**  $tps = tpsL\ t\ xs\ 0\ i\ mvs\ symbs$   
**and**  $tps' = tpsL\ t\ xs\ t\ i\ mvs\ symbs$   
**shows**  $traces\ tmC\ tps\ (esC\ t)\ tps'$   
**unfolding** *tmC-def*  
**proof** (*rule traces-tm-right-until-1I[where ?n=t]*)  
**show**  $1 < length\ tps$   
**using** *assms(2)* **by** *simp*  
**show**  $tps' = tps[1 := tps\ !\ 1\ |+\ t]$   
**using** *assms(2,3)* *tpsL-def* **by** *simp*  
**show**  $esC\ t =$   
 $map\ (\lambda i.\ (tps\ :\#:\ 0,\ tps\ :\#:\ 1 + Suc\ i))\ [0..<t] @ [(tps\ :\#:\ 0,\ tps\ :\#:\ 1 + t)]$

```

    using esC-def assms(2) tpsL-def by simp
show rneigh (tps ! 1) {y. y < G ^ (2 * k + 2) + 2 ∧ (y = 0 ∨ dec y ! (2 * k) = 0)} t
  (is rneigh - ?s t)
proof (rule rneighI)
  have 1: tps ! 1 = (zip-cont t xs, 0)
    using assms(2) tpsL-def by simp
  have s: ?s = {y. y = 0 ∨ (dec y ! (2 * k) = 0 ∧ y < G ^ (2 * k + 2) + 2)} (is - = ?r)
    by auto
  show (tps ::: 1) (tps :#: 1 + t) ∈ ?s
proof (cases t = TT)
  case True
  then have tps :#: 1 + t = TT
    using assms(2) tpsL-def by simp
  moreover have (tps ::: 1) TT = 0
    using assms(2) tpsL-def zip-cont-def by simp
  ultimately show ?thesis
    by auto
next
  case False
  with assms have t < TT
    by simp
  let ?y = (tps ::: 1) t
  have dec ?y ! (2 * k) = 0
    using assms(2) tpsL-def dec-zip-cont[OF ‹t < TT›] by (simp add: twok-nth)
  moreover have ?y < G ^ (2 * k + 2) + 2
    using assms(2) tpsL-def ‹t < TT› zip-cont-less by simp
  ultimately have ?y ∈ ?s
    using s by simp
  then show ?thesis
    using 1 by simp
qed
show (tps ::: 1) (tps :#: 1 + m) ∉ ?s (is ?y ∉ ?s) if m < t for m
proof -
  have m < TT
    using that assms by simp
  then have dec ?y ! (2 * k) = 1
    using tpsC0-def tpsL-def dec-zip-cont[OF ‹m < TT›] that
    by (metis (no-types, lifting) 1 add-cancel-right-left dec-zip-cont-2k prod.sel(1) prod.sel(2))
  moreover from ‹m < TT› have ?y > 1
    using 1 zip-cont-gt-1 by simp
  ultimately show ?thesis
    using s by simp
qed
qed
qed

```

corollary *tmC*:  
 assumes  $t \leq TT$   
 shows traces *tmC* (tpsC0 t) (esC t) (tpsC1 t)  
 using *tmC-general* tpsC0-def tpsC1-def assms by simp

lemma *tpsC1-at-T*:  $tpsC1\ TT :: 1 = 0$   
 using tpsC1-def tpsL-def zip-cont-def by simp

lemma *tpsC1-at-less-T*:  $t < TT \implies tpsC1\ t :: 1 > 0$   
 proof -  
 assume  $t < TT$   
 then have  $tpsC1\ t :: 1 > 1$   
 using tpsC1-def tpsL-def zip-cont-def enc-greater by simp  
 then show ?thesis  
 by simp  
 qed

The body of the loop: simulating one step

**definition**  $tpsL0\ t \equiv tpsL\ t\ (replicate\ k\ (0,\ Some\ 0))\ t\ 0\ (\lambda j.\ 0)\ (\lambda j.\ 0)$

**lemma**  $tpsL0\text{-}eq\text{-}tpsC1$ :  $tpsL0\ t = tpsC1\ t$   
**using**  $tpsL0\text{-}def\ tpsC1\text{-}def$  **by**  $simp$

**definition**  $esL1\ t \equiv map\ (\lambda i.\ (n + 1,\ i))\ (rev\ [0..<t])\ @\ [(n + 1,\ 0)]$

**definition**  $tpsL1\ t \equiv tpsL\ t\ (replicate\ k\ (0,\ Some\ 0))\ 0\ 0\ (\lambda j.\ 0)\ (\lambda j.\ 0)$

**lemma**  $tmL1$ :  $traces\ tmL1\ (tpsL0\ t)\ (esL1\ t)\ (tpsL1\ t)$   
**unfolding**  $tmL1\text{-}def\ esL1\text{-}def$

**proof** (rule  $traces\text{-}tm\text{-}left\text{-}until\text{-}II$ )

**show**  $1 < length\ (tpsL0\ t)$

**using**  $tpsL0\text{-}def\ tpsL\text{-}def$  **by**  $simp$

**show**  $map\ (Pair\ (n + 1))\ (rev\ [0..<t])\ @\ [(n + 1,\ 0)] =$

$map\ (Pair\ (tpsL0\ t\ :\#:\ 0))\ (rev\ [0..<tpsL0\ t\ :\#:\ 1])\ @\ [(tpsL0\ t\ :\#:\ 0,\ 0)]$

**using**  $tpsL0\text{-}def\ tpsL\text{-}def$  **by**  $simp$

**show**  $tpsL1\ t = (tpsL0\ t)[1\ :=\ tpsL0\ t\ !\ 1\ |\#\ =\ |]\ 0]$

**using**  $tpsL0\text{-}def\ tpsL1\text{-}def\ tpsL\text{-}def$  **by**  $simp$

**show**  $begin\text{-}tape\ StartSym\ (tpsL0\ t\ !\ 1)$

**using**  $begin\text{-}tape\text{-}zip\text{-}cont\ tpsL0\text{-}def\ tpsL\text{-}def$  **by**  $simp$

**qed**

Collecting the read symbols of the simulated machines:

**lemma**  $sem\text{-}cmdL2\text{-}ge\text{-}TT$ :

**assumes**  $tps = tpsL\ t\ xs\ i\ q\ mvs\ syms$  **and**  $i \geq TT$

**shows**  $sem\ cmdL2\ (0,\ tps) = (1,\ tps)$

**proof** (rule  $semI[of\ 2 * k + 3]$ )

**show**  $proper\text{-}command\ (2 * k + 3)\ cmdL2$

**using**  $cmdL2\text{-}def$  **by**  $simp$

**show**  $len:\ length\ tps = 2 * k + 3$

**using**  $assms(1)$  **by**  $simp$

**show**  $length\ tps = 2 * k + 3$

**using**  $assms(1)$  **by**  $simp$

**let**  $?rs = read\ tps$

**show**  $fst\ (cmdL2\ ?rs) = 1$

**proof** –

**have**  $?rs\ !\ 1 = \square$

**using**  $assms\ read\text{-}tpsL\text{-}1$  **by**  $auto$

**then show**  $?thesis$

**by** ( $simp\ add:\ cmdL2\text{-}def$ )

**qed**

**then show**  $act\ (cmdL2\ ?rs\ [!]\ i)\ (tps\ !\ i) = tps\ !\ i$  **if**  $i < 2 * k + 3$  **for**  $i$

**using**  $assms\ that$

**by** ( $metis\ (no\text{-}types,\ lifting)\ One\text{-}nat\text{-}def\ act\text{-}Stay\ cmdL2\text{-}at\text{-}eq\text{-}0\ cmdL2\text{-}def\ len\ less\text{-}Suc\text{-}eq\text{-}0\ disj\ less\text{-}numeral\text{-}extra(4)\ prod.sel(1)\ read\text{-}length$ )

**qed**

**lemma**  $sem\text{-}cmdL2\text{-}less\text{-}TT$ :

**assumes**  $tps = tpsL\ t\ xs\ i\ q\ mvs\ syms$

**and**  $syms = (\lambda j.\ if\ exec\ t\ <\#\>\ j\ <\ i\ then\ exec\ t\ <.\>\ j\ else\ 0)$

**and**  $tps' = tpsL\ t\ xs\ (Suc\ i)\ q\ mvs\ syms'$

**and**  $syms' = (\lambda j.\ if\ exec\ t\ <\#\>\ j\ <\ Suc\ i\ then\ exec\ t\ <.\>\ j\ else\ 0)$

**and**  $i < TT$

**and**  $xs = replicate\ k\ (0,\ Some\ 0)$

**shows**  $sem\ cmdL2\ (0,\ tps) = (0,\ tps')$

**proof** (rule  $semI[of\ 2 * k + 3]$ )

**show**  $proper\text{-}command\ (2 * k + 3)\ cmdL2$

**using**  $cmdL2\text{-}def$  **by**  $simp$

**show**  $len:\ length\ tps = 2 * k + 3$

**using**  $assms(1)$  **by**  $simp$

**show**  $len':\ length\ tps' = 2 * k + 3$

**using**  $assms(3)$  **by**  $simp$

```

define rs where rs = read tps
then have rs-at-1: rs ! 1 ≠ □
  using assms(1,5) read-tpsL-1 enc-greater by (metis (no-types, lifting) not-one-less-zero)
then show fst (cmdL2 (read tps)) = 0
  by (simp add: cmdL2-def rs-def)
show act (cmdL2 (read tps)) [!] j (tps ! j) = tps' ! j if j < 2 * k + 3 for j
proof –
  have snd: snd (cmdL2 rs) =
    [(rs!0, Stay), (rs!1, Right), (rs!2, Stay)] @
    (map (λj. (rs ! (j + 3), Stay)) [0..k]) @
    (map (λj. (if 1 < rs ! 1 ∧ rs ! 1 < G^(2*k+2)+2 ∧ enc-nth (rs!1) (k+j) = 1 then enc-nth (rs!1) j else
rs!(3+k+j), Stay)) [0..k])
  using rs-at-1 by (simp add: cmdL2-def)
consider j = 0 | j = 1 | j = 2 | 3 ≤ j ∧ j < k + 3 | k + 3 ≤ j ∧ j < 2 * k + 3
  using ⟨j < 2 * k + 3⟩ by linarith
then show ?thesis
proof cases
  case 1
  then have cmdL2 rs [!] j = (rs ! 0, Stay)
    by (simp add: snd)
  then show ?thesis
    using act-Stay assms(1,3) tpsL-def 1 rs-def read-tpsL-0 by auto
next
  case 2
  then have cmdL2 rs [!] j = (rs ! 1, Right)
    by (simp add: snd)
  then show ?thesis
    using act-Right assms(1,3) 2 rs-def
    by (metis Suc-eq-plus1 add commute add-Suc fst-conv len less-add-Suc1 numeral-3-eq-3 sndI tpsL-1)
next
  case 3
  then have cmdL2 rs [!] j = (rs ! 2, Stay)
    by (simp add: snd)
  then show ?thesis
    using act-Stay assms(1,3) 3 rs-def by (metis len that tpsL-2)
next
  case 4
  then have cmdL2 rs [!] j = (rs ! j, Stay)
    using cmdL2-at-3 rs-at-1 by simp
  then show ?thesis
    using act-Stay assms(1,3) 4 rs-def by (metis add commute len that tpsL-mvs)
next
  case 5
  then have 1: cmdL2 rs [!] j =
    (if 1 < rs ! 1 ∧ rs ! 1 < G^(2*k+2)+2 ∧ enc-nth (rs ! 1) (j - 3) = 1
    then enc-nth (rs ! 1) (j - k - 3)
    else rs ! j,
    Stay)
  using cmdL2-at-4 rs-at-1 by simp
  have enc: rs ! 1 = enc
    (map (λj. (exec (t + fst (xs ! j)) <:> j) i) [0..k]) @
    map (λj. case snd (xs ! j) of None ⇒ 0 | Some d ⇒ if i = exec (t + d) <#> j then 1 else 0) [0..k])
  @
    [if i < t then 1 else 0,
    if i = 0 then 1 else 0]
  using read-tpsL-1 assms(1,5) rs-def by simp
  have rs ! 1 > 1 rs ! 1 < G^(2 * k + 2) + 2
  using rs-def assms(1,5) read-tpsL-1-bounds by simp-all
  then have cmd1: cmdL2 rs [!] j =
    (if enc-nth (rs ! 1) (j - 3) = 1 then enc-nth (rs ! 1) (j - k - 3) else rs ! j, Stay)
  using 1 by simp
  have enc-nth (rs ! 1) (j - 3) =
    (case snd (xs ! (j - 3 - k)) of None ⇒ 0 | Some d ⇒ if i = exec (t + d) <#> (j - 3 - k) then 1 else

```



0)

```

using read-tpsL-1-nth-less-2k[where ?j=j - 3] 5 assms(1,5) rs-def by auto
then have enc-nth (rs ! 1) (j - 3) = (if i = exec t <#> (j - 3 - k) then 1 else 0)
using 5 assms(6) by auto
then have cmd2: enc-nth (rs ! 1) (j - 3) = (if i = exec t <#> (j - k - 3) then 1 else 0)
by (metis diff-right-commute)
let ?j = j - k - 3
have enc-nth (rs ! 1) ?j = (exec (t + fst (xs ! ?j)) <:> ?j) i
using read-tpsL-1-nth-less-k[where ?j=j - k - 3] 5 assms(1,5) rs-def by auto
then have enc-nth (rs ! 1) ?j = (exec t <:> ?j) i
using assms(6) 5 by auto
then have cmdL2 rs [!] j =
  (if i = exec t <#> ?j then (exec t <:> ?j) i else rs ! j, Stay)
using cmd1 cmd2 by simp
then have command: cmdL2 rs [!] j =
  (if i = exec t <#> ?j then exec t <.> ?j else rs ! j, Stay)
by simp

```

```

have tps: tps ! j = [if exec t <#> ?j < i then exec t <.> ?j else  $\square$ ]
using 5 assms(1,2) tpsL-syms' by simp

```

```

have tps': tps' ! j = [if exec t <#> ?j < Suc i then exec t <.> ?j else  $\square$ ]
using 5 assms(3,4) tpsL-syms' by simp

```

```

show act (cmdL2 (read tps) [!] j) (tps ! j) = tps' ! j

```

```

proof (cases exec t <#> ?j = i)

```

```

  case True

```

```

    then have act (cmdL2 (read tps) [!] j) (tps ! j) = act (exec t <.> ?j, Stay) (tps ! j)

```

```

      using rs-def command by simp

```

```

    also have ... = act (exec t <.> ?j, Stay) [if exec t <#> ?j < i then exec t <.> ?j else  $\square$ ]

```

```

      using tps by simp

```

```

    also have ... = [exec t <.> ?j]

```

```

      using act-onesie by simp

```

```

    also have ... = [if exec t <#> ?j < Suc i then exec t <.> ?j else  $\square$ ]

```

```

      using True by simp

```

```

    also have ... = tps' ! j

```

```

      using tps' by simp

```

```

    finally show ?thesis .

```

```

next

```

```

  case False

```

```

    then have act (cmdL2 (read tps) [!] j) (tps ! j) = act (rs ! j, Stay) (tps ! j)

```

```

      using rs-def command by simp

```

```

    also have ... = tps ! j

```

```

      using rs-def act-Stay by (simp add: 5 len)

```

```

    also have ... = [if exec t <#> ?j < i then exec t <.> ?j else  $\square$ ]

```

```

      using tps by simp

```

```

    also have ... = [if exec t <#> ?j < Suc i then exec t <.> ?j else  $\square$ ]

```

```

      using False by simp

```

```

    also have ... = tps' ! j

```

```

      using tps' by simp

```

```

    finally show ?thesis .

```

```

qed

```

```

qed

```

```

qed

```

```

qed

```

**corollary** *sem-cmdL2-less-Tfmt*:

**assumes** *xs* = replicate *k* (0, *Some* 0) **and** *i* < *TT*

**shows** *sem cmdL2*

```

  (0, tpsL t xs i q mvs ( $\lambda$ j. if exec t <#> j < i then exec t <.> j else  $\square$ )) =

```

```

  (0, tpsL t xs (Suc i) q mvs ( $\lambda$ j. if exec t <#> j < Suc i then exec t <.> j else  $\square$ ))

```

**using** *sem-cmdL2-less-TT* *assms* **by** *simp*

**lemma** *execute-cmdL2-le-TT*:

**assumes**  $tt \leq TT$  **and**  $xs = \text{replicate } k \ (0, \text{Some } 0)$  **and**  $tps = \text{tpsL } t \ xs \ 0 \ q \ mvs \ (\lambda\cdot. \square)$

**shows**  $\text{execute } tmL1-2 \ (0, tps) \ tt =$

$(0, \text{tpsL } t \ xs \ tt \ q \ mvs \ (\lambda j. \text{if } \text{exec } t \ <\#\> \ j < \ tt \ \text{then } \text{exec } t \ <\cdot> \ j \ \text{else } \square))$

**using** *assms(1)*

**proof** (*induction tt*)

**case** *0*

**then show** *?case*

**using** *assms(3)* **by** *simp*

**next**

**case** (*Suc tt*)

**then have**  $\text{execute } tmL1-2 \ (0, tps) \ (\text{Suc } tt) = \text{exe } tmL1-2 \ (\text{execute } tmL1-2 \ (0, tps) \ tt)$

**by** *simp*

**also have**  $\dots = \text{exe } tmL1-2 \ (0, \text{tpsL } t \ xs \ tt \ q \ mvs \ (\lambda j. \text{if } \text{exec } t \ <\#\> \ j < \ tt \ \text{then } \text{exec } t \ <\cdot> \ j \ \text{else } \square))$

**using** *Suc* **by** *simp*

**also have**  $\dots = \text{sem } cmdL2 \ (0, \text{tpsL } t \ xs \ tt \ q \ mvs \ (\lambda j. \text{if } \text{exec } t \ <\#\> \ j < \ tt \ \text{then } \text{exec } t \ <\cdot> \ j \ \text{else } \square))$

**unfolding** *tmL1-2-def* **using** *Suc* **by** (*simp add: exe-lt-length*)

**also have**  $\dots = (0, \text{tpsL } t \ xs \ (\text{Suc } tt) \ q \ mvs \ (\lambda j. \text{if } \text{exec } t \ <\#\> \ j < \ \text{Suc } \ tt \ \text{then } \text{exec } t \ <\cdot> \ j \ \text{else } \square))$

**using** *sem-cmdL2-less-Tfmt[OF assms(2)] Suc* **by** *simp*

**finally show** *?case* .

**qed**

**lemma** *tpsL-syms-eq*:

**assumes**  $\bigwedge j. j < k \implies \text{syms } j = \text{syms}' \ j$

**shows**  $\text{tpsL } t \ xs \ i \ q \ mvs \ \text{syms} = \text{tpsL } t \ xs \ i \ q \ mvs \ \text{syms}'$

**unfolding** *tpsL-def* **using** *assms* **by** *simp*

**lemma** *execute-cmdL2-Suc-TT*:

**assumes**  $xs = \text{replicate } k \ (0, \text{Some } 0)$  **and**  $tps = \text{tpsL } t \ xs \ 0 \ q \ mvs \ (\lambda j. 0)$  **and**  $t < TT$

**shows**  $\text{execute } tmL1-2 \ (0, tps) \ (\text{Suc } TT) = (1, \text{tpsL } t \ xs \ TT \ q \ mvs \ (\lambda j. \text{exec } t \ <\cdot> \ j))$

**proof** –

**have**  $\text{execute } tmL1-2 \ (0, tps) \ (\text{Suc } TT) = \text{exe } tmL1-2 \ (\text{execute } tmL1-2 \ (0, tps) \ TT)$

**by** *simp*

**also have**  $\dots = \text{exe } tmL1-2 \ (0, \text{tpsL } t \ xs \ TT \ q \ mvs \ (\lambda j. \text{if } \text{exec } t \ <\#\> \ j < \ TT \ \text{then } \text{exec } t \ <\cdot> \ j \ \text{else } \square))$

**using** *execute-cmdL2-le-TT[where ?tt=TT] assms(1,2)* **by** *simp*

**also have**  $\dots = \text{sem } cmdL2 \ (0, \text{tpsL } t \ xs \ TT \ q \ mvs \ (\lambda j. \text{if } \text{exec } t \ <\#\> \ j < \ TT \ \text{then } \text{exec } t \ <\cdot> \ j \ \text{else } \square))$

**unfolding** *tmL1-2-def* **by** (*simp add: exe-lt-length*)

**also have**  $\dots = (1, \text{tpsL } t \ xs \ TT \ q \ mvs \ (\lambda j. \text{if } \text{exec } t \ <\#\> \ j < \ TT \ \text{then } \text{exec } t \ <\cdot> \ j \ \text{else } \square))$

**using** *sem-cmdL2-ge-TT[where ?i=TT]* **by** *simp*

**finally have**  $\text{execute } tmL1-2 \ (0, tps) \ (\text{Suc } TT) =$

$(1, \text{tpsL } t \ xs \ TT \ q \ mvs \ (\lambda j. \text{if } \text{exec } t \ <\#\> \ j < \ TT \ \text{then } \text{exec } t \ <\cdot> \ j \ \text{else } \square))$  .

**moreover have**  $(\lambda j. \text{if } \text{exec } t \ <\#\> \ j < \ TT \ \text{then } \text{exec } t \ <\cdot> \ j \ \text{else } \square) \ j = (\lambda j. \text{exec } t \ <\cdot> \ j) \ j$

**if**  $j < k$  **for**  $j$

**using** *exec-pos-less-TT assms(3)* **that** **by** *simp*

**ultimately show** *?thesis*

**using** *tpsL-syms-eq* **by** *fastforce*

**qed**

**definition**  $esL1-2 \equiv \text{map } (\lambda i. (n + 1, \text{Suc } i)) \ [0..<TT] \ @ \ [(n + 1, TT)]$

**lemma** *traces-tmL1-2*:

**assumes**  $xs = \text{replicate } k \ (0, \text{Some } 0)$  **and**  $t < TT$

**shows**  $\text{traces } tmL1-2 \ (\text{tpsL } t \ xs \ 0 \ q \ mvs \ (\lambda\cdot. \square)) \ esL1-2 \ (\text{tpsL } t \ xs \ TT \ q \ mvs \ (\lambda j. \text{exec } t \ <\cdot> \ j))$

**proof**

**have** *len: length esL1-2 = Suc TT*

**unfolding** *esL1-2-def* **by** *simp*

**let**  $?tps = \text{tpsL } t \ xs \ 0 \ q \ mvs \ (\lambda j. 0)$

**show**  $\text{execute } tmL1-2 \ (0, ?tps) \ (\text{length } esL1-2) =$

$(\text{length } tmL1-2, \text{tpsL } t \ xs \ (\text{Suc } (\text{fmt } n)) \ q \ mvs \ (\lambda j. \text{exec } t \ <\cdot> \ j))$

**using** *len execute-cmdL2-Suc-TT[OF assms(1) - assms(2)]* **by** (*simp add: tmL1-2-def*)

**show**  $\bigwedge i. i < \text{length } esL1-2 \implies$

$\text{fst } (\text{execute } tmL1-2 \ (0, ?tps) \ i) < \text{length } tmL1-2$

**using** *len tmL1-2-def execute-cmdL2-le-TT assms(1)*

**by** (*metis* (*no-types*, *lifting*) *One-nat-def Pair-inject length-Cons less-Suc-eq-le less-one list.size(3) prod.collapse*)  
**show**  $\text{snd}(\text{execute } \text{tmL1-2}(0, ?\text{tps})(\text{Suc } i)) : \# : 0 = \text{fst}(\text{esL1-2 } ! i) \wedge$   
 $\text{snd}(\text{execute } \text{tmL1-2}(0, ?\text{tps})(\text{Suc } i)) : \# : 1 = \text{snd}(\text{esL1-2 } ! i)$   
**if**  $i < \text{length } \text{esL1-2}$  **for**  $i$   
**proof** (*cases*  $i < TT$ )  
**case** *True*  
**then have**  $\text{execute } \text{tmL1-2}(0, ?\text{tps})(\text{Suc } i) =$   
 $(0, \text{tpsL } t \text{ xs}(\text{Suc } i) \text{ q mvs } (\lambda j. \text{if } \text{exec } t < \# > j < \text{Suc } i \text{ then } \text{exec } t < . > j \text{ else } \square))$   
**using** *execute-cmdL2-le-TT*[*of* *Suc i xs*] *assms* **by** *simp*  
**then have**  $\text{snd}(\text{execute } \text{tmL1-2}(0, ?\text{tps})(\text{Suc } i)) =$   
 $\text{tpsL } t \text{ xs}(\text{Suc } i) \text{ q mvs } (\lambda j. \text{if } \text{exec } t < \# > j < \text{Suc } i \text{ then } \text{exec } t < . > j \text{ else } \square)$   
**by** *simp*  
**moreover have**  $\text{esL1-2 } ! i = (n + 1, \text{Suc } i)$   
**unfolding** *esL1-2-def*  
**using** *True nth-append*  
**by** (*metis* (*no-types*, *lifting*) *One-nat-def Suc-eq-plus1 add commute add-Suc diff-zero length-map length-upt nth-map-upt*)  
**ultimately show** *?thesis*  
**using** *tpsL-pos-0 tpsL-pos-1* **by** *simp*  
**next**  
**case** *False*  
**then have**  $i = TT$   
**using** *len that* **by** *simp*  
**then have**  $\text{execute } \text{tmL1-2}(0, ?\text{tps})(\text{Suc } i) = (1, \text{tpsL } t \text{ xs } TT \text{ q mvs } (\lambda j. \text{exec } t < . > j))$   
**using** *execute-cmdL2-Suc-TT* *assms* **by** *simp*  
**moreover have**  $\text{esL1-2 } ! i = (n + 1, TT)$   
**using**  $\langle i = TT \rangle$  *esL1-2-def* **by** (*metis* (*no-types*, *lifting*) *diff-zero length-map length-upt nth-append-length*)  
**ultimately show** *?thesis*  
**using** *tpsL-pos-0 tpsL-pos-1* **by** *auto*  
**qed**  
**qed**

**definition**  $\text{esL2 } t \equiv \text{esL1 } t @ \text{esL1-2}$

**definition**  $\text{tpsL2 } t \equiv \text{tpsL } t (\text{replicate } k (0, \text{Some } 0)) TT 0 (\lambda \cdot. \square) (\lambda j. \text{exec } t < . > j)$

**lemma** *tmL2*:

**assumes**  $t < TT$   
**shows**  $\text{traces } \text{tmL2}(\text{tpsL0 } t)(\text{esL2 } t)(\text{tpsL2 } t)$   
**unfolding** *tmL2-def esL2-def*  
**proof** (*rule traces-sequential*[*OF tmL1*])  
**show**  $\text{traces } \text{tmL1-2}(\text{tpsL1 } t) \text{esL1-2}(\text{tpsL2 } t)$   
**using** *traces-tmL1-2*[*OF - assms*] **by** (*simp add: tpsL1-def tpsL2-def*)  
**qed**

**definition** *sim-nextstate*  $t \equiv$

*(if*  $\text{fst}(\text{exec } t) < \text{length } M$   
*then*  $\text{fst}((M ! (\text{fst}(\text{exec } t))) (\text{config-read } (\text{exec } t)))$   
*else*  $\text{fst}(\text{exec } t)$   
*)*

**lemma** *sim-nextstate*:  $\text{fst}(\text{exec } (\text{Suc } t)) = \text{sim-nextstate } t$

**proof** (*cases*  $\text{fst}(\text{exec } t) < \text{length } M$ )

**case** *True*  
**let**  $?cf\text{g} = \text{exec } t$   
**let**  $?rs = \text{config-read } ?cf\text{g}$   
**let**  $?cmd = M ! (\text{fst } ?cf\text{g})$   
**have**  $\text{exec } (\text{Suc } t) = \text{sem } ?cmd ?cf\text{g}$   
**using** *exec-def True* **by** (*simp add: exe-lt-length*)  
**then have**  $2 : \text{fst}(\text{exec } (\text{Suc } t)) = \text{fst}(\text{sem } ?cmd ?cf\text{g})$   
**by** *simp*  
**also have**  $\dots = \text{fst} (?cmd ?rs)$   
**using** *sem'* **by** *simp*

```

also have ... = sim-nextstate t
  using sim-nextstate-def True by simp
finally show ?thesis .
next
  case False
  then show ?thesis
    using exec-def exe-def sim-nextstate-def by simp
qed

definition sim-write t ≡
  (if fst (exec t) < length M
   then map fst (snd ((M ! (fst (exec t))) (config-read (exec t))))
   else config-read (exec t))

lemma sim-write-nth:
  assumes fst (exec t) < length M and j < k
  shows sim-write t ! j = ((M ! (fst (exec t))) (config-read (exec t))) [. ] j
proof –
  have length (snd ((M ! (fst (exec t))) (config-read (exec t)))) = k
  using assms turing-commandD(1) exec-def execute-num-tapes read-length start-config-length tm-M turing-machine-def
  by (metis add-gr-0 less-imp-add-positive nth-mem)
  then show ?thesis
    using sim-write-def assms by simp
qed

lemma sim-write-nth-else:
  assumes  $\neg$  (fst (exec t) < length M)
  shows sim-write t ! j = config-read (exec t) ! j
  by (simp add: assms sim-write-def)

lemma sim-write-nth-less-G:
  assumes j < k
  shows sim-write t ! j < G
proof (cases fst (exec t) < length M)
  case True
  then have *: sim-write t ! j = (M ! (fst (exec t))) (config-read (exec t)) [. ] j
    using sim-write-nth assms by simp
  have turing-command k (length M) G (M ! (fst (exec t)))
    using tm-M True turing-machineD(3) by simp
  moreover have  $\forall i < k. (config-read (exec t)) ! i < G$ 
    using read-alphabet exec-def tm-M by (simp add: zs-less-G)
  moreover have length (config-read (exec t)) = k
    by (metis Suc-1 exec-def execute-num-tapes start-config-length less-le-trans read-length
        turing-machine-def tm-M zero-less-Suc)
  ultimately have (M ! (fst (exec t))) (config-read (exec t)) [. ] j < G
    using assms exec-def turing-commandD(2) by simp
  then show ?thesis
    using * by simp
  case False
  then show ?thesis
    using exec-def sim-write-nth-else assms tape-alphabet
    by (simp add: read-alphabet tm-M zs-less-G)
qed

lemma sim-write:
  assumes j < k
  shows exec (Suc t) <:> j = (exec t <:> j)(exec t <#> j := sim-write t ! j)
proof (cases fst (exec t) < length M)
  case True
  let ?cfg = exec t
  let ?rs = config-read ?cfg
  let ?cmd = M ! (fst ?cfg)

```

```

have len-rs: length ?rs = k
  using assms exec-def execute-num-tapes start-config-length read-length tm-M by simp
have turing-command k (length M) G ?cmd
  using True tm-M turing-machineD(3) by simp
then have len: length (snd (?cmd ?rs)) = k
  using len-rs turing-commandD(1) by simp

have sim-write t = map fst (snd (?cmd ?rs))
  using sim-write-def True by simp
then have 1: sim-write t ! j = ?cmd ?rs [.] j
  by (simp add: assms len)

have 2: exec (Suc t) = sem ?cmd ?cfg
  using exec-def True by (simp add: exe-lt-length)

have snd (sem ?cmd ?cfg) = map ( $\lambda(a, tp). \text{act } a \text{ } tp$ ) (zip (snd (?cmd ?rs)) (snd ?cfg))
  using sem' by simp
then have snd (sem ?cmd ?cfg) ! j = ( $\lambda(a, tp). \text{act } a \text{ } tp$ ) ((snd (?cmd ?rs) ! j), (snd ?cfg ! j))
  using len assms len-rs read-length by simp
then have sem ?cmd ?cfg <!> j = act (snd (?cmd ?rs) ! j) (?cfg <!> j)
  by simp
then have sem ?cmd ?cfg <:> j = fst (act (snd (?cmd ?rs) ! j) (?cfg <!> j))
  by simp
then have sem ?cmd ?cfg <:> j = (?cfg <:> j)(?cfg <#> j := fst (snd (?cmd ?rs) ! j))
  using act by simp
then have sem ?cmd ?cfg <:> j = (?cfg <:> j)(?cfg <#> j := ?cmd ?rs [.] j) .
then show ?thesis
  using 1 2 by simp
next
case False
let ?cfg = exec t
let ?rs = config-read ?cfg
have len-rs: length ?rs = k
  using assms exec-def execute-num-tapes start-config-length read-length tm-M by simp
then have 1: sim-write t ! j = ?rs ! j
  using False by (simp add: sim-write-def)

have 2: ?rs ! j = exec t <.> j
  using assms len-rs read-abbrev read-length by auto

have exec (Suc t) = exec t
  using exec-def exe-def False by simp
then have exec (Suc t) <:> j = exec t <:> j
  by simp

then show ?thesis
  using 1 2 by simp
qed

corollary sim-write':
assumes j < k
shows (exec (Suc t) <:> j) (exec t <#> j) = sim-write t ! j
using assms sim-write by simp

definition sim-move t  $\equiv$  map direction-to-symbol
  (if fst (exec t) < length M
  then map snd (snd ((M ! (fst (exec t))) (config-read (exec t))))
  else replicate k Stay)

lemma sim-move-nth:
assumes fst (exec t) < length M and j < k
shows sim-move t ! j = direction-to-symbol ((M ! (fst (exec t))) (config-read (exec t))) [ $\sim$ ] j
proof –

```

**have**  $k = ||\text{execute } M \text{ (start-config } k \text{ zs) } t||$   
**by** (*metis* (*no-types*) *Suc-1* *execute-num-tapes* *start-config-length* *less-le-trans* *tm-M* *turing-machine-def* *zero-less-Suc*)  
**then show** *?thesis*  
**by** (*smt* (*verit*, *best*) *turing-commandD*(1) *assms*(1,2) *exec-def* *length-map* *nth-map* *read-length* *sim-move-def* *tm-M* *turing-machineD*(3))  
**qed**

**lemma** *sim-move-nth-else*:  
**assumes**  $\neg (\text{fst } (\text{exec } t) <\#> \text{length } M)$  **and**  $j < k$   
**shows**  $\text{sim-move } t ! j = 1$   
**using** *assms* *sim-move-def* *direction-to-symbol-def* **by** *simp*

**lemma** *sim-move*:  
**assumes**  $j < k$   
**shows**  $\text{exec } (\text{Suc } t) <\#> j = \text{exec } t <\#> j + \text{sim-move } t ! j - 1$   
**proof** (*cases*  $\text{fst } (\text{exec } t) <\text{length } M$ )

**case** *True*  
**let**  $?cfg = \text{exec } t$   
**let**  $?rs = \text{config-read } ?cfg$   
**let**  $?cmd = M ! (\text{fst } ?cfg)$   
**have**  $\text{len-rs}: \text{length } ?rs = k$   
**using** *assms* *exec-def* *execute-num-tapes* *start-config-length* *read-length* *tm-M* **by** *simp*  
**have** *turing-command*  $k$  ( $\text{length } M$ )  $G ?cmd$   
**using** *True* *tm-M* *turing-machineD*(3) **by** *simp*  
**then have**  $\text{len}: \text{length } (\text{snd } (?cmd ?rs)) = k$   
**using**  $\text{len-rs}$  *turing-commandD*(1) **by** *simp*

**have**  $1: \text{sim-move } t ! j = \text{direction-to-symbol } (?cmd ?rs [\sim] j)$   
**using** *assms* *sim-move-nth* *True* **by** *simp*

**have**  $\text{exec } (\text{Suc } t) = \text{sem } ?cmd ?cfg$   
**using** *exec-def* *True* **by** (*simp* *add: exe-lt-length*)  
**then have**  $2: \text{exec } (\text{Suc } t) <\#> j = \text{sem } ?cmd ?cfg <\#> j$   
**by** *simp*

**have**  $\text{snd } (\text{sem } ?cmd ?cfg) = \text{map } (\lambda(a, tp). \text{act } a \text{ } tp) (\text{zip } (\text{snd } (?cmd ?rs)) (\text{snd } ?cfg))$   
**using** *sem'* **by** *simp*  
**then have**  $\text{snd } (\text{sem } ?cmd ?cfg) ! j = (\lambda(a, tp). \text{act } a \text{ } tp) ((\text{snd } (?cmd ?rs) ! j), (\text{snd } ?cfg ! j))$   
**using**  $\text{len}$  *assms*  $\text{len-rs}$  *read-length* **by** *simp*  
**then have**  $\text{sem } ?cmd ?cfg <!> j = \text{act } (\text{snd } (?cmd ?rs) ! j) (?cfg <!> j)$   
**by** *simp*  
**then have**  $\text{sem } ?cmd ?cfg <\#> j = \text{snd } (\text{act } (\text{snd } (?cmd ?rs) ! j) (?cfg <!> j))$   
**by** *simp*  
**then have**  $\text{sem } ?cmd ?cfg <\#> j =$   
 $(\text{case } ?cmd ?rs [\sim] j \text{ of } \text{Left} \Rightarrow ?cfg <\#> j - 1 \mid \text{Stay} \Rightarrow ?cfg <\#> j \mid \text{Right} \Rightarrow ?cfg <\#> j + 1)$   
**using** *act* **by** *simp*  
**then show** *?thesis*  
**using**  $1$   $2$  *direction-to-symbol-def* **by** (*cases*  $?cmd ?rs [\sim] j$ ) *simp-all*

**next**

**case** *False*  
**then have**  $\text{exec } (\text{Suc } t) <\#> j = \text{exec } t <\#> j$   
**using** *exec-def* *exe-def* **by** *simp*  
**moreover have**  $\text{sim-move } t ! j = 1$   
**using** *direction-to-symbol-def* *sim-move-def* *assms* *False* **by** *simp*  
**ultimately show** *?thesis*  
**by** *simp*

**qed**

**definition** *tpsL3*  $t \equiv \text{tpsL}$

$t$   
 $(\text{replicate } k \text{ } (0, \text{Some } 0))$   
 $TT$   
 $1$

( $\lambda j. \text{sim-move } t ! j$ )  
( $\lambda j. \text{sim-write } t ! j$ )

**lemma** *read-execute*:  $\text{config-read } (\text{exec } t) = \text{map } (\lambda j. (\text{exec } t) \langle . \rangle j) [0..<k]$   
(is ?lhs = ?rhs)

**proof** (rule *nth-equalityI*)  
**have** *len*:  $\text{length } ?\text{lhs} = k$   
**by** (*metis Suc-1 exec-def execute-num-tapes start-config-length less-le-trans read-length tm-M turing-machine-def zero-less-Suc*)  
**then show**  $\text{length } ?\text{lhs} = \text{length } ?\text{rhs}$   
**by** *simp*  
**show**  $?\text{lhs} ! i = ?\text{rhs} ! i$  **if**  $i < \text{length } ?\text{lhs}$  **for**  $i$   
**using** *len read-abbrev read-length that by auto*  
**qed**

**lemma** *sem-cmdL3*:  $\text{sem cmdL3 } (0, \text{tpsL2 } t) = (1, \text{tpsL3 } t)$

**proof** (rule *semI[of 2 \* k + 3]*)  
**show** *proper-command*  $(2 * k + 3) \text{ cmdL3}$   
**using** *cmdL3-def by simp*  
**show** *len*:  $\text{length } (\text{tpsL2 } t) = 2 * k + 3$   
**using** *tpsL2-def by simp*  
**show**  $\text{length } (\text{tpsL3 } t) = 2 * k + 3$   
**using** *tpsL3-def by simp*  
**show**  $\text{fst } (\text{cmdL3 } (\text{read } (\text{tpsL2 } t))) = 1$   
**by** (*simp add: cmdL3-def*)  
**show**  $\text{act } (\text{cmdL3 } (\text{read } (\text{tpsL2 } t)) [!] j) (\text{tpsL2 } t ! j) = \text{tpsL3 } t ! j$  **if**  $j < 2 * k + 3$  **for**  $j$   
**proof** –  
**define** *rs* **where**  $rs = \text{read } (\text{tpsL2 } t)$   
**then have** *rs2*:  $rs ! 2 = \text{fst } (\text{exec } t)$   
**using** *tpsL2-def read-tpsL-2 by simp*  
**have**  $\text{drop } (k + 3) rs = \text{map } (\lambda j. \text{exec } t \langle . \rangle j) [0..<k]$  (is ?lhs = ?rhs)  
**proof** (rule *nth-equalityI*)  
**show**  $\text{length } ?\text{lhs} = \text{length } ?\text{rhs}$   
**using** *rs-def read-length tpsL2-def by simp*  
**then have** *len-lhs*:  $\text{length } ?\text{lhs} = k$   
**by** *simp*  
**show**  $?\text{lhs} ! i = ?\text{rhs} ! i$  **if**  $i < \text{length } ?\text{lhs}$  **for**  $i$   
**proof** –  
**have**  $i < k$   
**using** *that len-lhs by simp*  
**have**  $\text{length } rs = 2 * k + 3$   
**using** *rs-def read-length tpsL2-def by simp*  
**then have**  $?\text{lhs} ! i = rs ! (i + k + 3)$   
**by** (*simp add: add.assoc add.commute*)  
**also have**  $\dots = \text{exec } t \langle . \rangle i$   
**unfolding** *rs-def tpsL2-def* **using** *read-tpsL-4[of i + k + 3] <i < k> by simp*  
**finally show** *thesis*  
**using**  $\langle i < k \rangle$  **by** *simp*

**qed**

**qed**

**then have** *drop*:  $\text{drop } (k + 3) rs = \text{config-read } (\text{exec } t)$   
**using** *read-execute by simp*  
**then have** *drop-less-G*:  $\forall h \in \text{set } (\text{drop } (k + 3) rs). h < G$   
**using** *exec-def tm-M read-alphabet-set zs-less-G by presburger*

**consider**  $j = 0 \mid j = 1 \mid j = 2 \mid 3 \leq j \wedge j < k + 3 \mid k + 3 \leq j \wedge j < 2 * k + 3$   
**using**  $\langle j < 2 * k + 3 \rangle$  **by** *linarith*

**then show** *thesis*

**proof** (*cases*)

**case** *1*

**then have** *cmdL3 rs [!] j* =  $(rs ! 0, \text{Stay})$   
**using** *cmdL3-def by simp*  
**moreover have**  $\text{tpsL2 } t ! j = (\lfloor \text{zs} \rfloor, n + 1)$

```

    using tpsL2-def 1 by (simp add: tpsL-0)
  moreover have tpsL3 t ! j = ( $\lfloor zs \rfloor$ , n + 1)
    using tpsL3-def 1 by (simp add: tpsL-0)
  ultimately show ?thesis
    using act-Stay by (metis 1 len rs-def that)
next
case 2
then have cmdL3 rs [!] j = (rs ! 1, Stay)
  using cmdL3-def by simp
moreover have tpsL2 t ! j = (zip-cont t (replicate k (0, Some 0)), TT)
  using tpsL2-def 2 tpsL-1 by simp
moreover have tpsL3 t ! j = (zip-cont t (replicate k (0, Some 0)), TT)
  using tpsL3-def 2 tpsL-1 by simp
ultimately show ?thesis
  using act-Stay by (metis 2 len rs-def that)
next
case 3
show ?thesis
proof (cases rs ! 2 < length M)
  case True
  then have cmdL3 rs [!] j = (fst ((M ! (rs ! 2)) (drop (k + 3) rs)), Stay)
    using 3 drop-less-G cmdL3-at-2a by simp
  also have ... = (fst ((M ! (rs ! 2)) (config-read (exec t))), Stay)
    using drop by simp
  also have ... = (fst (exec (Suc t)), Stay)
    using True rs2 sim-nextstate sim-nextstate-def by auto
  finally have cmdL3 rs [!] j = (fst (exec (Suc t)), Stay) .
  then show ?thesis
    using tpsL2-def tpsL3-def tpsL-def 3 act-onesie rs-def by simp
  next
  case False
  then have cmdL3 rs [!] j = (rs ! 2, Stay)
    using 3 cmdL3-at-2b by simp
  moreover have fst (exec (Suc t)) = rs ! 2
    using False rs2 sim-nextstate sim-nextstate-def by auto
  ultimately have cmdL3 rs [!] j = (fst (exec (Suc t)), Stay)
    by simp
  then show ?thesis
    using tpsL2-def tpsL3-def tpsL-def 3 act-onesie rs-def by simp
qed
next
case 4
then have 1: j - 3 < k
  by auto
have 2: tpsL2 t ! j =  $\lceil \square \rceil$ 
  using tpsL2-def tpsL-mvs' 4 by simp
have 3: tpsL3 t ! j =  $\lceil \text{sim-move } t ! (j - 3) \rceil$ 
  using tpsL3-def tpsL-mvs' 4 by simp
show ?thesis
proof (cases rs ! 2 < length M)
  case True
  then have cmdL3 rs [!] j = (direction-to-symbol ((M ! (rs ! 2)) (drop (k + 3) rs)  $\lceil \sim \rceil$  (j - 3)), Stay)
    using cmdL3-at-3a 4 drop-less-G by simp
  also have ... = (direction-to-symbol ((M ! (fst (exec t))) (config-read (exec t))  $\lceil \sim \rceil$  (j - 3)), Stay)
    using drop True rs2 by simp
  also have ... = (sim-move t ! (j - 3), Stay)
    using sim-move-nth True 1 rs2 by simp
  finally have cmdL3 rs [!] j = (sim-move t ! (j - 3), Stay) .
  then show ?thesis
    using 2 3 act-onesie by (simp add: rs-def)
  next
  case False
  then have cmdL3 rs [!] j = (1, Stay)

```



```

    using cmdL3-at-3b 4 by simp
  then have cmdL3 rs [!] j = (sim-move t ! (j - 3), Stay)
    using sim-move-nth-else False 1 rs2 by simp
  then show ?thesis
    using 2 3 act-onesie by (simp add: rs-def)
qed
next
case 5
then have 1: j - k - 3 < k
  by auto
have 2: tpsL2 t ! j = [exec t <.> (j - k - 3)]
  using tpsL2-def tpsL-syms' 5 by simp
have 3: tpsL3 t ! j = [sim-write t ! (j - k - 3)]
  using tpsL3-def tpsL-syms' 5 by simp
show ?thesis
proof (cases rs ! 2 < length M)
case True
then have cmdL3 rs [!] j = ((M ! (rs ! 2)) (drop (k + 3) rs) [.] (j - k - 3), Stay)
  using 5 cmdL3-at-4a drop-less-G by simp
also have ... = ((M ! (fst (exec t))) (config-read (exec t)) [.] (j - k - 3), Stay)
  using drop True rs2 by simp
also have ... = (sim-write t ! (j - k - 3), Stay)
  using sim-write-nth 5 rs2 True by auto
finally have cmdL3 rs [!] j = (sim-write t ! (j - k - 3), Stay) .
then show ?thesis
  using 2 3 act-onesie rs-def by simp
next
case False
then have cmdL3 rs [!] j = (rs ! j, Stay)
  using 5 cmdL3-at-4b by simp
also have ... = (exec t <.> (j - k - 3), Stay)
  using tpsL2-def read-tpsL-4 5 rs-def by simp
also have ... = (config-read (exec t) ! (j - k - 3), Stay)
proof -
  have length [k..<j] - 3 < k
    by (metis 1 length-upt)
  then show ?thesis
    using <drop (k + 3) rs = map (λj. exec t <.> j) [0..<k]> drop by auto
qed
also have ... = (sim-write t ! (j - k - 3), Stay)
  using sim-write-nth-else False rs2 by simp
finally have cmdL3 rs [!] j = (sim-write t ! (j - k - 3), Stay) .
then show ?thesis
  using 2 3 act-onesie rs-def by simp
qed
qed
qed
qed
lemma execute-tmL2-3: execute tmL2-3 (0, tpsL2 t) 1 = (1, tpsL3 t)
proof -
  have execute tmL2-3 (0, tpsL2 t) 1 = exe tmL2-3 (execute tmL2-3 (0, tpsL2 t) 0)
    by simp
  also have ... = exe tmL2-3 (0, tpsL2 t)
    by simp
  also have ... = sem cmdL3 (0, tpsL2 t)
    using tmL2-3-def by (simp add: exe-lt-length)
  finally show ?thesis
    using sem-cmdL3 by simp
qed
definition esL3 t ≡ esL2 t @ [(n + 1, TT)]

```

**lemma** *tmL3*:  
**assumes**  $t < TT$   
**shows** *traces tmL3* (*tpsL0*  $t$ ) (*esL3*  $t$ ) (*tpsL3*  $t$ )  
**unfolding** *tmL3-def esL3-def*  
**proof** (*rule traces-sequential*[*OF tmL2*[*OF assms*]])  
**show** *traces tmL2-3* (*tpsL2*  $t$ ) [( $n + 1$ , *Suc* (*fmt*  $n$ ))] (*tpsL3*  $t$ )  
**proof**  
**let**  $?es = [(n + 1, TT)]$   
**show** *execute tmL2-3* ( $0$ , *tpsL2*  $t$ ) (*length*  $?es$ ) = (*length tmL2-3*, *tpsL3*  $t$ )  
**using** *tmL2-3-def execute-tmL2-3* **by** *simp*  
**show**  $\bigwedge i. i < \text{length } ?es \implies \text{fst } (\text{execute } \text{tmL2-3 } (0, \text{tpsL2 } t) i) < \text{length } \text{tmL2-3}$   
**using** *tmL2-3-def execute-tmL2-3* **by** *simp*  
**show** (*execute tmL2-3* ( $0$ , *tpsL2*  $t$ ) (*Suc*  $i$ ))  $<\#\> 0 = \text{fst } (?es ! i) \wedge$   
*(execute tmL2-3* ( $0$ , *tpsL2*  $t$ ) (*Suc*  $i$ ))  $<\#\> 1 = \text{snd } (?es ! i)$   
**if**  $i < \text{length } ?es$  **for**  $i$   
**using** *execute-tmL2-3* **that** *tpsL3-def tpsL-pos-0 tpsL-pos-1*  
**by** (*metis One-nat-def fst-conv length-Cons less-one list.size(3) nth-Cons-0 snd-conv*)  
**qed**  
**qed**

**definition** *esL4*  $t \equiv \text{esL3 } t @ \text{map } (\lambda i. (n + 1, i)) (\text{rev } [0..<TT]) @ [(n + 1, 0)]$

**lemma** *len-esL4*: *length* (*esL4*  $t$ ) =  $t + 2 * TT + 4$   
**using** *esL4-def esL3-def esL2-def esL1-def esL1-2-def* **by** *simp*

**definition** *tpsL4*  $t \equiv \text{tpsL}$   
 $t$   
*(replicate*  $k$  ( $0$ , *Some*  $0$ ))  
 $0$   
 $1$   
 $(\lambda j. \text{sim-move } t ! j)$   
 $(\lambda j. \text{sim-write } t ! j)$

**lemma** *tmL4*:  
**assumes**  $t < TT$   
**shows** *traces tmL4* (*tpsL0*  $t$ ) (*esL4*  $t$ ) (*tpsL4*  $t$ )  
**unfolding** *tmL4-def esL4-def*  
**proof** (*rule traces-sequential*[**where**  $?tps2.0 = \text{tpsL3 } t$ ])  
**show** *traces tmL3* (*tpsL0*  $t$ ) (*esL3*  $t$ ) (*tpsL3*  $t$ ) **using** *tmL3 assms* .  
**show** *traces tm-left-until1* (*tpsL3*  $t$ ) (*map* (*Pair* ( $n + 1$ )) (*rev* [ $0..<TT$ ]) @ [( $n + 1$ ,  $0$ ))] (*tpsL4*  $t$ )  
**proof** (*rule traces-tm-left-until-1I*)  
**show**  $1 < \text{length } (\text{tpsL3 } t)$   
**using** *tpsL3-def* **by** *simp*  
**show** *begin-tape*  $\{y. y < G \wedge (2 * k + 2) + 2 \wedge 1 < y \wedge \text{dec } y ! (2 * k + 1) = 1\}$  (*tpsL3*  $t ! 1$ )  
**using** *begin-tape-zip-cont tpsL3-def tpsL-def* **by** *simp*  
**show** *map* (*Pair* ( $n + 1$ )) (*rev* [ $0..<TT$ ]) @ [( $n + 1$ ,  $0$ )] =  
*map* (*Pair* (*tpsL3*  $t$  : $\#$ :  $0$ )) (*rev* [ $0..<\text{tpsL3 } t$  : $\#$ :  $1$ ]) @ [(*tpsL3*  $t$  : $\#$ :  $0$ ,  $0$ )]  
**using** *tpsL3-def tpsL-def* **by** *simp*  
**show** *tpsL4*  $t = (\text{tpsL3 } t)[1 := \text{tpsL3 } t ! 1 \mid \# = \mid 0]$   
**using** *tpsL3-def tpsL4-def tpsL-def* **by** *simp*  
**qed**  
**qed**

**lemma** *enc-upd-zip-cont-None-Some*:  
**assumes**  $jj < k$   
**and** *length*  $xs = k$   
**and**  $xs ! jj = (1, \text{None})$   
**and**  $i = (\text{exec } (\text{Suc } t) <\#\> jj)$   
**shows** *enc-upd* (*zip-cont*  $t$   $xs$   $i$ ) ( $k + jj$ )  $1 = \text{zip-cont } t (xs[jj := (1, \text{Some } 1)]) i$   
**proof** –  
**have**  $i < TT$   
**using** *assms(1,4) exec-pos-less-TT* **by** *metis*

```

let ?n = zip-cont t xs i
let ?xs = xs[jj:=(1, Some 1)]
have zip-cont t ?xs i = enc
  (map (λj. (exec (t + fst (?xs ! j)) <:> j) i) [0..<k] @
   map (λj. case snd (?xs ! j) of None ⇒ 0 | Some d ⇒ if i = exec (t + d) <#> j then 1 else 0) [0..<k] @
   [if i < t then 1 else 0,
    if i = 0 then 1 else 0])
  (is - = enc ?ys)
using zip-cont-def ⟨i < TT⟩ by simp
moreover have ?ys = (dec ?n) [k+jj:=1]
proof (rule nth-equality1)
show len: length ?ys = length ((dec ?n) [k+jj:=1])
  by (simp add: ⟨i < TT⟩ dec-zip-cont)
have lenys: length ?ys = 2 * k + 2
  by simp
show ?ys ! j = (dec ?n) [k+jj:=1] ! j if j < length ?ys for j
proof -
consider j < k | k ≤ j ∧ j < 2 * k | j = 2 * k | j = 2 * k + 1
  using lenys ⟨j < length ?ys⟩ by linarith
then show ?thesis
proof (cases)
case 1
then have ?ys ! j = (exec (t + fst (?xs ! j)) <:> j) i
  using assms(2) by (simp add: less-k-nth)
have (dec ?n) [k+jj:=1] ! j = dec ?n ! j
  using 1 by simp
also have ... = (exec (t + fst (xs ! j)) <:> j) i
  by (simp add: 1 ⟨i < TT⟩ dec-zip-cont-less-k)
also have ... = (exec (t + fst (?xs ! j)) <:> j) i
  using assms(1-3) by (metis fst-eqD nth-list-update)
also have ... = ?ys ! j
  using assms(2) 1 by (simp add: less-k-nth)
finally show ?thesis
  by simp
next
case 2
show ?thesis
proof (cases j = k + jj)
case True
then have ?ys ! j = (case snd (?xs ! jj) of None ⇒ 0 | Some d ⇒ if i = exec (t + d) <#> jj then 1
else 0)
  using assms(2) 2 by (simp add: less-2k-nth)
also have ... = (if i = exec (t + 1) <#> jj then 1 else 0)
  by (simp add: assms(1) assms(2))
also have ... = 1
  using assms(1,4) by simp
finally have ?ys ! j = 1 .
moreover have (dec ?n) [k+jj:=1] ! j = 1
  using True len that by simp
ultimately show ?thesis
  by simp
next
case False
then have *: ?xs ! (j - k) = xs ! (j - k)
  by (metis 2 add-diff-inverse-nat le-imp-less-Suc not-less-eq nth-list-update-neg)
have ?ys ! j =
  (case snd (?xs ! (j - k)) of None ⇒ 0 | Some d ⇒ if i = exec (t + d) <#> (j - k) then 1 else 0)
  using assms(2) 2 by (simp add: less-2k-nth)
have (dec ?n) [k+jj:=1] ! j = (dec ?n) ! j
  using 2 False by simp
also have ... =
  (case snd (xs ! (j - k)) of None ⇒ 0 | Some d ⇒ if i = exec (t + d) <#> (j - k) then 1 else 0)
  using 2 ⟨i < TT⟩ dec-zip-cont-less-2k by simp

```

```

    also have ... = (case snd (?xs ! (j - k)) of None  $\Rightarrow$  0 | Some d  $\Rightarrow$  if i = exec (t + d) <#> (j - k)
then 1 else 0)
    using * by simp
    also have ... = ?ys ! j
    using assms(2) 2 by (simp add: less-2k-nth)
    finally show ?thesis
    by simp
qed
next
case 3
then have ?ys ! j = (if i < t then 1 else 0)
    by (simp add: twok-nth)
moreover have (dec ?n) [k+jj:=1] ! j = (if i < t then 1 else 0)
    using 3 assms(1) dec-zip-cont-2k <i < TT> by simp
ultimately show ?thesis
    by simp
next
case 4
then have ?ys ! j = (if i = 0 then 1 else 0)
    using twok1-nth by fast
moreover have (dec ?n) [k+jj:=1] ! j = (if i = 0 then 1 else 0)
    using 4 assms(1) dec-zip-cont-2k1 <i < TT> by simp
ultimately show ?thesis
    by simp
qed
qed
qed
ultimately show ?thesis
    using enc-upd-def by simp
qed

```

**lemma** *enc-upd-zip-cont-None-Some-Right:*

```

assumes jj < k
    and length xs = k
    and xs ! jj = (1, None)
    and i = Suc (exec t <#> jj)
    and sim-move t ! jj = 2
shows enc-upd (zip-cont t xs i) (k + jj) 1 = zip-cont t (xs[jj:=(1, Some 1)]) i
proof -
    have i = (exec (Suc t) <#> jj)
    using assms sim-move by simp
    then show ?thesis
    using enc-upd-zip-cont-None-Some[OF assms(1-3)] by simp
qed

```

**lemma** *enc-upd-zip-cont-None-Some-Left:*

```

assumes jj < k
    and length xs = k
    and xs ! jj = (1, None)
    and Suc i = exec t <#> jj
    and sim-move t ! jj = 0
shows enc-upd (zip-cont t xs i) (k + jj) 1 = zip-cont t (xs[jj:=(1, Some 1)]) i
proof -
    have i = (exec (Suc t) <#> jj)
    using assms sim-move by simp
    then show ?thesis
    using enc-upd-zip-cont-None-Some[OF assms(1-3)] by simp
qed

```

**lemma** *enc-upd-zip-cont-Some-None:*

```

assumes jj < k
    and length xs = k
    and xs ! jj = (1, Some 0)

```

```

    and i = exec t <#> jj
  shows enc-upd (zip-cont t xs i) (k + jj) 0 = zip-cont t (xs[jj:=(1, None)]) i
proof -
  have i < TT
  using assms(1,4) by (simp add: exec-pos-less-TT)
  let ?n = zip-cont t xs i
  let ?xs = xs[jj:=(1, None)]
  have zip-cont t ?xs i = enc
    (map (λj. (exec (t + fst (?xs ! j)) <:> j) i) [0..<k] @
      map (λj. case snd (?xs ! j) of None ⇒ 0 | Some d ⇒ if i = exec (t + d) <#> j then 1 else 0) [0..<k] @
      [if i < t then 1 else 0,
       if i = 0 then 1 else 0])
    (is - = enc ?ys)
  using zip-cont-def ⟨i < TT⟩ by simp
  moreover have ?ys = (dec ?n) [k+jj:=0]
proof (rule nth-equality1)
  show len: length ?ys = length ((dec ?n) [k+jj:=0])
  by (simp add: ⟨i < TT⟩ dec-zip-cont)
  have lenys: length ?ys = 2 * k + 2
  by simp
  show ?ys ! j = (dec ?n) [k+jj:=0] ! j if j < length ?ys for j
proof -
  consider j < k | k ≤ j ∧ j < 2 * k | j = 2 * k | j = 2 * k + 1
  using lenys ⟨j < length ?ys⟩ by linarith
  then show ?thesis
proof (cases)
  case 1
  then have ?ys ! j = (exec (t + fst (?xs ! j)) <:> j) i
  using assms(2) by (simp add: less-k-nth)
  have (dec ?n) [k+jj:=0] ! j = dec ?n ! j
  using 1 by simp
  also have ... = (exec (t + fst (xs ! j)) <:> j) i
  by (simp add: 1 ⟨i < TT⟩ dec-zip-cont-less-k)
  also have ... = (exec (t + fst (?xs ! j)) <:> j) i
  using assms(1-3) by (metis fst-eqD nth-list-update)
  also have ... = ?ys ! j
  using assms(2) 1 by (simp add: less-k-nth)
  finally show ?thesis
  by simp
next
  case 2
  show ?thesis
proof (cases j = k + jj)
  case True
  then have ?ys ! j = (case snd (?xs ! jj) of None ⇒ 0 | Some d ⇒ if i = exec (t + d) <#> jj then 1
else 0)
  using assms(2) 2 by (simp add: less-2k-nth)
  then have ?ys ! j = 0
  using assms(1,2) by simp
  moreover have (dec ?n) [k+jj:=0] ! j = 0
  using True len that by simp
  ultimately show ?thesis
  by simp
next
  case False
  then have *: ?xs ! (j - k) = xs ! (j - k)
  by (metis 2 add-diff-inverse-nat le-imp-less-Suc not-less-eq nth-list-update-neq)
  have ?ys ! j =
    (case snd (?xs ! (j - k)) of None ⇒ 0 | Some d ⇒ if i = exec (t + d) <#> (j - k) then 1 else 0)
  using assms(2) 2 by (simp add: less-2k-nth)
  have (dec ?n) [k+jj:=0] ! j = (dec ?n) ! j
  using 2 False by simp
  also have ... =

```

```

      (case snd (xs ! (j - k)) of None ⇒ 0 | Some d ⇒ if i = exec (t + d) <#> (j - k) then 1 else 0)
    using 2 ⟨i < TT⟩ dec-zip-cont-less-2k by simp
    also have ... = (case snd (?xs ! (j - k)) of None ⇒ 0 | Some d ⇒ if i = exec (t + d) <#> (j - k)
then 1 else 0)
      using * by simp
    also have ... = ?ys ! j
      using assms(2) 2 by (simp add: less-2k-nth)
    finally show ?thesis
      by simp
  qed
next
case 3
then have ?ys ! j = (if i < t then 1 else 0)
  by (simp add: twok-nth)
moreover have (dec ?n) [k+jj:=0] ! j = (if i < t then 1 else 0)
  using 3 assms(1) dec-zip-cont-2k ⟨i < TT⟩ by simp
ultimately show ?thesis
  by simp
next
case 4
then have ?ys ! j = (if i = 0 then 1 else 0)
  using twok1-nth by fast
moreover have (dec ?n) [k+jj:=0] ! j = (if i = 0 then 1 else 0)
  using 4 assms(1) dec-zip-cont-2k1 ⟨i < TT⟩ by simp
ultimately show ?thesis
  by simp
qed
qed
qed
ultimately show ?thesis
  using enc-upd-def by simp
qed

lemma zip-cont-nth-eq-updI1:
  assumes i < TT
    and jj < k
    and length xs = k
    and xs ! jj = (0, Some 0)
    and (exec (Suc t) <:> jj) i = u
  shows enc-upd (zip-cont t xs i) jj u = zip-cont t (xs[jj:=(1, Some 0)]) i
proof -
  let ?n = zip-cont t xs i
  let ?xs = xs[jj:=(1, Some 0)]
  have zip-cont t ?xs i = enc
    (map (λj. (exec (t + fst (?xs ! j)) <:> j) i) [0..<k] @
    map (λj. case snd (?xs ! j) of None ⇒ 0 | Some d ⇒ if i = exec (t + d) <#> j then 1 else 0) [0..<k] @
    [if i < t then 1 else 0,
    if i = 0 then 1 else 0])
    (is - = enc ?ys)
  using zip-cont-def assms(1) by simp
  moreover have ?ys = (dec ?n) [jj:=u]
proof (rule nth-equalityI)
  show len-eq: length ?ys = length ((dec ?n) [jj:=u])
    using assms(1) dec-zip-cont two-tape-axioms zs-proper zs-less-G by simp
  have lenys: length ?ys = 2 * k + 2
    by simp
  show ?ys ! j = (dec ?n) [jj:=u] ! j if j < length ?ys for j
proof -
  consider j < k | k ≤ j ∧ j < 2 * k | j = 2 * k | j = 2 * k + 1
    using lenys ⟨j < length ?ys⟩ by linarith
  then show ?thesis
proof (cases)
  case 1

```

```

then have *: ?ys ! j = (exec (t + fst (?xs ! j)) <:> j) i
  by (simp add: less-k-nth)
show ?thesis
proof (cases j = jj)
  case True
  then have ?ys ! j = (exec (Suc t) <:> j) i
    using 1 assms(3) * by simp
  moreover have (dec ?n) [jj:=u] ! j = u
    using True len-eq that by simp
  ultimately show ?thesis
    using assms(5) True by simp
  next
  case False
  then have (dec ?n) [jj:=u] ! j = (exec (t + fst (xs ! j)) <:> j) i
    using dec-zip-cont-less-k 1 assms(1) by simp
  moreover have ?ys ! j = (exec (t + fst (xs ! j)) <:> j) i
    using False * by simp
  ultimately show ?thesis
    by simp
qed
next
case 2
then have *: ?ys ! j =
  (case snd (?xs ! (j - k)) of None  $\Rightarrow$  0 | Some d  $\Rightarrow$  if i = exec (t + d) <#> (j - k) then 1 else 0)
  by (simp add: less-2k-nth)
show ?thesis
proof (cases j = k + jj)
  case True
  then have j - k = jj
    by simp
  then have snd (?xs ! (j - k)) = Some 0
    using assms(2,3) by simp
  then have lhs: ?ys ! j = (if i = exec t <#> jj then 1 else 0)
    using * True by simp
  have (dec ?n) [jj:=u] ! j = (dec ?n) ! j
    using True assms(2) by simp
  also have ... =
    (case snd (xs ! (j - k)) of None  $\Rightarrow$  0 | Some d  $\Rightarrow$  if i = exec (t + d) <#> (j - k) then 1 else 0)
    using True 2 assms(1,4) dec-zip-cont-less-2k by simp
  also have ... = (if i = exec t <#> jj then 1 else 0)
    using True assms(4) by simp
  finally have (dec ?n) [jj:=u] ! j = (if i = exec t <#> jj then 1 else 0) .
  then show ?thesis
    using lhs True by simp
  next
  case False
  then have j - k  $\neq$  jj
    using 2 by auto
  then have snd (?xs ! (j - k)) = snd (xs ! (j - k))
    by simp
  then have lhs: ?ys ! j =
    (case snd (xs ! (j - k)) of None  $\Rightarrow$  0 | Some d  $\Rightarrow$  if i = exec (t + d) <#> (j - k) then 1 else 0)
    using * by simp
  have (dec ?n) [jj:=u] ! j = (dec ?n) ! j
    using 2 assms(2) by simp
  then have (dec ?n) [jj:=u] ! j =
    (case snd (xs ! (j - k)) of None  $\Rightarrow$  0 | Some d  $\Rightarrow$  if i = exec (t + d) <#> (j - k) then 1 else 0)
    using False 2 assms(1) dec-zip-cont-less-2k by simp
  then show ?thesis
    using lhs by simp
qed
next
case 3

```

```

then have ?ys ! j = (if i < t then 1 else 0)
  by (simp add: twok-nth)
moreover have (dec ?n) [jj:=u] ! j = (if i < t then 1 else 0)
  using 3 assms(1,2) dec-zip-cont-2k by simp
ultimately show ?thesis
  by simp
next
case 4
then have ?ys ! j = (if i = 0 then 1 else 0)
  using twok1-nth by fast
moreover have (dec ?n) [jj:=u] ! j = (if i = 0 then 1 else 0)
  using 4 assms(1,2) dec-zip-cont-2k1 by simp
ultimately show ?thesis
  by simp
qed
qed
qed
ultimately show ?thesis
  using enc-upd-def by simp
qed

lemma zip-cont-upd-eq:
  assumes jj < k
    and i = exec t <#> jj
    and i < TT
    and xs ! jj = (0, Some 0)
    and length xs = k
  shows (zip-cont t xs)(i:=enc-upd (zip-cont t xs i) jj (sim-write t ! jj)) =
    zip-cont t (xs[jj:=(1, Some 0)])
    (is ?lhs = ?rhs)
proof
  fix p
  consider p < TT ∧ p ≠ i | p < TT ∧ p = i | p ≥ TT
  by linarith
  then show ?lhs p = ?rhs p
  proof (cases)
    case 1
    then have ?lhs p = zip-cont t xs p
      by simp
    moreover have zip-cont t xs p = zip-cont t (xs[jj:=(1, Some 0)]) p
    proof (rule zip-cont-nth-eqI)
      show p < TT
        using 1 by simp
      show (exec (t + fst (xs ! j)) <:> j) p =
        (exec (t + fst (xs[jj := (1, Some 0)] ! j)) <:> j) p
        if j < k for j
    proof (cases j = jj)
      case True
      then have fst (xs[jj := (1, Some 0)] ! j) = 1
        using assms(1,5) by simp
      then have (exec (t + fst (xs[jj := (1, Some 0)] ! j)) <:> j) p = (exec (Suc t) <:> j) p
        by simp
      also have ... = (exec t <:> j) p
        using assms(2) 1 by (simp add: True assms(1) sim-write)
      finally show ?thesis
        using assms(4) True by simp
    next
      case False
      then show ?thesis
        by simp
    qed
  qed
  show snd (xs ! j) = snd (xs[jj := (1, Some 0)] ! j) if j < k for j
    using assms(4,5) that by (cases j = jj) simp-all

```



```

qed
ultimately show ?thesis
  by simp
next
case 2
then have ?lhs p = enc-upd (zip-cont t xs i) jj (sim-write t ! jj)
  by simp
then show ?thesis
  using 2 assms(1,2,4,5) sim-write' zip-cont-nth-eq-upd11 by auto
next
case 3
then show ?thesis
  using zip-cont-def assms(3) by auto
qed
qed

lemma sem-cmdL5-neq-pos:
  assumes jj < k
  and tps = tpsL t xs i 1 (λj. sim-move t ! j) (λj. sim-write t ! j)
  and snd (xs ! jj) = Some 0
  and i ≠ exec t <#> jj
  and i < TT
  and tps' = tpsL t xs (Suc i) 1 (λj. sim-move t ! j) (λj. sim-write t ! j)
  shows sem (cmdL5 jj) (0, tps) = (0, tps')
proof (rule semI[of 2 * k + 3])
  show proper-command (2 * k + 3) (cmdL5 jj)
    using turing-command-cmdL5[OF assms(1)] turing-commandD(1) by simp
  show length tps = 2 * k + 3
    using assms(2) by simp
  show length tps' = 2 * k + 3
    using assms(6) by simp
  let ?rs = read tps
  have rs1: ?rs ! 1 ≠ □
    using read-tpsL-1-bounds assms(2,5) by (metis not-one-less-zero)
  then show fst (cmdL5 jj ?rs) = 0
    by (simp add: cmdL5-def)
  show act (cmdL5 jj ?rs [! j]) (tps ! j) = tps' ! j if j < 2 * k + 3 for j
  proof -
    consider j = 0 | j = 1 | j = 2 | 3 ≤ j ∧ j < k + 3 | k + 3 ≤ j ∧ j < 2 * k + 3
    using ⟨j < 2 * k + 3⟩ by linarith
    then show ?thesis
    proof (cases)
      case 1
      then have cmdL5 jj ?rs [! j] = (?rs ! j, Stay)
        using rs1 cmdL5-at-0 by simp
      then show ?thesis
        using assms tpsL-0 1 by (metis act-Stay that length-tpsL)
    next
      case 2
      then have enc-nth (?rs ! 1) (k + jj) = (if i = exec t <#> jj then 1 else 0)
        using assms read-tpsL-1-nth-less-2k by simp
      then have enc-nth (?rs ! 1) (k + jj) = 0
        using assms(4) by simp
      then have ¬ (1 < ?rs ! 1 ∧ ?rs ! 1 < G^(2*k+2)+2 ∧ ?rs ! (3+k+jj) < G ∧ enc-nth (?rs!1) (k+jj) =
1)
        by simp
      then have cmdL5 jj ?rs [! 1] = (?rs ! 1, Right)
        using cmdL5-at-1-else rs1 by simp
      then show ?thesis
        using assms tpsL-1 2 act-Right that length-tpsL by (metis Suc-eq-plus1 prod.sel(1) tpsL-pos-1)
    next
      case 3
      then have cmdL5 jj ?rs [! j] = (?rs ! j, Stay)

```

```

    using rs1 cmdL5-at-2 by simp
  then show ?thesis
    using assms tpsL-2 3 by (metis act-Stay that length-tpsL)
next
case 4
then have cmdL5 jj ?rs [!] j = (?rs ! j, Stay)
  using rs1 cmdL5-at-3 by simp
then have act (cmdL5 jj ?rs [!] j) (tps ! j) = tps ! j
  using act-Stay by (simp add: ⟨length tps = 2 * k + 3⟩ that)
then show ?thesis
  using assms tpsL-mvs' by (simp add: 4 add commute)
next
case 5
then have cmdL5 jj ?rs [!] j = (?rs ! j, Stay)
  using rs1 cmdL5-at-4 by simp
then have act (cmdL5 jj ?rs [!] j) (tps ! j) = tps ! j
  using act-Stay by (simp add: ⟨length tps = 2 * k + 3⟩ that)
then show ?thesis
  using assms tpsL-syms' 5 by simp
qed
qed
qed

lemma sem-cmdL5-eq-pos:
  assumes jj < k
  and tps = tpsL t xs i 1 (λj. sim-move t ! j) (λj. sim-write t ! j)
  and xs ! jj = (0, Some 0)
  and i = exec t <#> jj
  and tps' = tpsL t (xs[jj:= (1, Some 0)]) (Suc i) 1 (λj. sim-move t ! j) (λj. sim-write t ! j)
  and length xs = k
  shows sem (cmdL5 jj) (0, tps) = (0, tps')
proof (rule semI[of 2 * k + 3])
  have i < TT
  using exec-pos-less-TT assms(1,4) by simp
  show proper-command (2 * k + 3) (cmdL5 jj)
  using turing-command-cmdL5[OF assms(1)] turing-commandD(1) by simp
  show length tps = 2 * k + 3
  using assms(2) by simp
  show length tps' = 2 * k + 3
  using assms(5) by simp
  let ?rs = read tps
  have rs1: ?rs ! 1 ≠ □
  using read-tpsL-1-bounds assms(2) ⟨i < TT⟩ by (metis not-one-less-zero)
  then show fst (cmdL5 jj ?rs) = 0
  by (simp add: cmdL5-def)
  show act (cmdL5 jj (read tps) [!] j) (tps ! j) = tps' ! j if j < 2 * k + 3 for j
  proof -
    consider j = 0 | j = 1 | j = 2 | 3 ≤ j ∧ j < k + 3 | k + 3 ≤ j ∧ j < 2 * k + 3
    using ⟨j < 2 * k + 3⟩ by linarith
    then show ?thesis
  proof (cases)
  case 1
  then have cmdL5 jj ?rs [!] j = (?rs ! j, Stay)
    using rs1 cmdL5-at-0 by simp
  then show ?thesis
    using assms tpsL-0 1 by (metis act-Stay that length-tpsL)
  next
  case 2
  then have enc-nth (?rs ! 1) (k + jj) = (if i = exec t <#> jj then 1 else 0)
    using assms ⟨i < TT⟩ read-tpsL-1-nth-less-2k by simp
  then have enc-nth (?rs ! 1) (k + jj) = 1
    using assms(4) by simp
  moreover have 1 < ?rs ! 1 ∧ ?rs ! 1 < G^(2*k+2)+2

```

```

    using assms(2) ⟨i < TT⟩ read-tpsL-1-bounds by auto
  moreover have ?rs ! (3+k+jj) < G
    using read-tpsL-4 assms sim-write-nth-less-G[OF assms(1)] by simp
  ultimately have
    1 < ?rs ! 1 ∧ ?rs ! 1 < G^(2*k+2)+2 ∧ ?rs ! (3+k+jj) < G ∧ enc-nth (?rs!1) (k+jj) = 1
  by simp
  then have cmdL5 jj ?rs [!] 1 = (enc-upd (?rs!1) jj (?rs!(3+k+jj)), Right)
    using cmdL5-at-1 rs1 by simp
  moreover have ?rs!(3+k+jj) = sim-write t ! jj
    by (simp add: assms(1,2) read-tpsL-4)
  ultimately have *: cmdL5 jj ?rs [!] 1 = (enc-upd (?rs ! 1) jj (sim-write t ! jj), Right)
    by simp

  have ?rs ! 1 = zip-cont t xs i
    using assms(2) read-tpsL-1 zip-cont-def by auto

  let ?tp = act (enc-upd (?rs ! 1) jj (sim-write t ! jj), Right) (tps ! j)
  have ?tp = tps' ! 1
  proof -
    have ?tp = ((zip-cont t xs)(i:=enc-upd (?rs ! 1) jj (sim-write t ! jj)), Suc i)
      using act-Right' assms tpsL-1
      by (metis 2 add commute fst-conv plus-1-eq-Suc snd-conv)
    moreover have tps' ! 1 = (zip-cont t (xs[jj:=(1, Some 0)]), Suc i)
      using assms(5) tpsL-1 by simp
    moreover have (zip-cont t xs)(i:=enc-upd (?rs ! 1) jj (sim-write t ! jj)) =
      zip-cont t (xs[jj:=(1, Some 0)])
      using zip-cont-upd-eq assms ⟨i < TT⟩ ⟨read tps ! 1 = zip-cont t xs i⟩ by auto
    ultimately show ?thesis
      by auto
  qed
  then show ?thesis
    using 2 * by simp
next
  case 3
  then have cmdL5 jj ?rs [!] j = (?rs ! j, Stay)
    using rs1 cmdL5-at-2 by simp
  then show ?thesis
    using assms tpsL-2 3 by (metis act-Stay that length-tpsL)
next
  case 4
  then have cmdL5 jj ?rs [!] j = (?rs ! j, Stay)
    using rs1 cmdL5-at-3 by simp
  then have act (cmdL5 jj ?rs [!] j) (tps ! j) = tps ! j
    using act-Stay by (simp add: ⟨length tps = 2 * k + 3⟩ that)
  then show ?thesis
    using assms tpsL-mvs' by (simp add: 4 add commute)
next
  case 5
  then have cmdL5 jj ?rs [!] j = (?rs ! j, Stay)
    using rs1 cmdL5-at-4 by simp
  then have act (cmdL5 jj ?rs [!] j) (tps ! j) = tps ! j
    using act-Stay by (simp add: ⟨length tps = 2 * k + 3⟩ that)
  then show ?thesis
    using assms tpsL-syms' 5 by simp
  qed
  qed
  qed

lemma sem-cmdL5-eq-TT:
  assumes jj < k and tps = tpsL t xs TT q mvs syms
  shows sem (cmdL5 jj) (0, tps) = (1, tps)
proof (rule semI[of 2 * k + 3])
  show proper-command (2 * k + 3) (cmdL5 jj)

```

```

  using turing-command-cmdL5[OF assms(1)] turing-commandD(1) by simp
show length tps = 2 * k + 3
  using assms(2) by simp
show len: length tps = 2 * k + 3
  using assms(2) by simp
let ?rs = read tps
have rs1: ?rs ! 1 = □
  using read-tpsL-1 assms(2) by simp
then show fst (cmdL5 jj ?rs) = 1
  by (simp add: cmdL5-def)
show  $\bigwedge i. i < 2 * k + 3 \implies act (cmdL5 jj ?rs [!] i) (tps ! i) = tps ! i$ 
  using len rs1 act-Stay cmdL5-eq-0 read-length by auto
qed

```

```

lemma execute-tmL45-1:
  assumes tt  $\leq$  exec t <#> jj
  and jj < k
  and tps = tpsL t xs 0 1 ( $\lambda j. sim-move t ! j$ ) ( $\lambda j. sim-write t ! j$ )
  and xs ! jj = (0, Some 0)
  and tps' = tpsL t xs tt 1 ( $\lambda j. sim-move t ! j$ ) ( $\lambda j. sim-write t ! j$ )
  shows execute (tmL45 jj) (0, tps) tt = (0, tps')
  using assms(1,5)
proof (induction tt arbitrary: tps')
  case 0
  then show ?case
    using assms(2-4) by simp
next
  case (Suc tt)
  then have tt-neq: tt  $\neq$  exec t <#> jj
    by simp
  have tt-le: tt  $\leq$  exec t <#> jj
    using Suc.prem by simp
  then have tt-less: tt < TT
    using exec-pos-less-TT assms(2) by (meson le-trans less-Suc-eq-le)
  define tps-tt where tps-tt = tpsL t xs tt 1 ( $\lambda j. sim-move t ! j$ ) ( $\lambda j. sim-write t ! j$ )
  have execute (tmL45 jj) (0, tps) (Suc tt) = exe (tmL45 jj) (execute (tmL45 jj) (0, tps) tt)
    by simp
  also have ... = exe (tmL45 jj) (0, tps-tt)
    using Suc.IH assms(2-4) tt-le tps-tt-def by simp
  also have ... = sem (cmdL5 jj) (0, tps-tt)
    using tmL45-def exe-lt-length by simp
  also have ... = (0, tpsL t xs (Suc tt) 1 ( $\lambda j. sim-move t ! j$ ) ( $\lambda j. sim-write t ! j$ ))
    using sem-cmdL5-neq-pos assms(2-4) tt-neq tt-less by (simp add: tps-tt-def)
  finally show ?case
    by (simp add: Suc.prem(2))
qed

```

```

lemma execute-tmL45-2:
  assumes tt = exec t <#> jj
  and jj < k
  and tps = tpsL t xs 0 1 ( $\lambda j. sim-move t ! j$ ) ( $\lambda j. sim-write t ! j$ )
  and xs ! jj = (0, Some 0)
  and tps' = tpsL t (xs[jj]:= (1, Some 0)) (Suc tt) 1 ( $\lambda j. sim-move t ! j$ ) ( $\lambda j. sim-write t ! j$ )
  and length xs = k
  shows execute (tmL45 jj) (0, tps) (Suc tt) = (0, tps')
proof -
  have execute (tmL45 jj) (0, tps) (Suc tt) = exe (tmL45 jj) (execute (tmL45 jj) (0, tps) tt)
    by simp
  also have ... = exe (tmL45 jj) (0, tpsL t xs tt 1 ( $\lambda j. sim-move t ! j$ ) ( $\lambda j. sim-write t ! j$ ))
    using execute-tmL45-1 assms by simp
  also have ... = sem (cmdL5 jj) (0, tpsL t xs tt 1 ( $\lambda j. sim-move t ! j$ ) ( $\lambda j. sim-write t ! j$ ))
    using tmL45-def exe-lt-length by simp
  also have ... = (0, tpsL t (xs[jj]:= (1, Some 0)) (Suc tt) 1 ( $\lambda j. sim-move t ! j$ ) ( $\lambda j. sim-write t ! j$ ))

```

using *sem-cmdL5-eq-pos*[*OF assms(2)*] *assms* by *simp*  
 finally show *?thesis*  
 using *assms(5)* by *simp*  
 qed

lemma *execute-tmL45-3*:

assumes  $tt \geq \text{Suc } t <\#\> jj$   
 and  $tt \leq TT$   
 and  $jj < k$   
 and  $tps = tpsL\ t\ xs\ 0\ 1\ (\lambda j. \text{sim-move } t!\ j)\ (\lambda j. \text{sim-write } t!\ j)$   
 and  $xs!\ jj = (0, \text{Some } 0)$   
 and  $tps' = tpsL\ t\ (xs[jj:=](1, \text{Some } 0))\ tt\ 1\ (\lambda j. \text{sim-move } t!\ j)\ (\lambda j. \text{sim-write } t!\ j)$   
 and  $\text{length } xs = k$   
 shows  $\text{execute } (tmL45\ jj)\ (0, tps)\ tt = (0, tps')$   
 using *assms(1,2,6)*  
 proof (*induction tt arbitrary: tps' rule: nat-induct-at-least*)  
 case *base*  
 then show *?case*  
 using *assms(3-5,7)* *execute-tmL45-2* by *simp*  
 next  
 case (*Suc tt*)  
 then have  $tt < TT\ tt \neq \text{exec } t <\#\> jj$   
 by *simp-all*  
 have  $\text{execute } (tmL45\ jj)\ (0, tps)\ (\text{Suc } tt) = \text{exe } (tmL45\ jj)\ (\text{execute } (tmL45\ jj)\ (0, tps)\ tt)$   
 by *simp*  
 also have  $\dots = \text{exe } (tmL45\ jj)\ (0, tpsL\ t\ (xs[jj:=](1, \text{Some } 0))\ tt\ 1\ (\lambda j. \text{sim-move } t!\ j)\ (\lambda j. \text{sim-write } t!\ j))$   
 using *Suc* by *simp*  
 also have  $\dots = \text{sem } (cmdL5\ jj)\ (0, tpsL\ t\ (xs[jj:=](1, \text{Some } 0))\ tt\ 1\ (\lambda j. \text{sim-move } t!\ j)\ (\lambda j. \text{sim-write } t!\ j))$   
 using *tmL45-def exe-lt-length* by *simp*  
 also have  $\dots = (0, tpsL\ t\ (xs[jj:=](1, \text{Some } 0))\ (\text{Suc } tt)\ 1\ (\lambda j. \text{sim-move } t!\ j)\ (\lambda j. \text{sim-write } t!\ j))$   
 using *sem-cmdL5-neq-pos tt* by (*simp add: assms(3) assms(7)*)  
 finally show *?case*  
 using *Suc(4)* by *presburger*  
 qed

lemma *execute-tmL45-4*:

assumes  $jj < k$   
 and  $tps = tpsL\ t\ xs\ 0\ 1\ (\lambda j. \text{sim-move } t!\ j)\ (\lambda j. \text{sim-write } t!\ j)$   
 and  $xs!\ jj = (0, \text{Some } 0)$   
 and  $tps' = tpsL\ t\ (xs[jj:=](1, \text{Some } 0))\ TT\ 1\ (\lambda j. \text{sim-move } t!\ j)\ (\lambda j. \text{sim-write } t!\ j)$   
 and  $\text{length } xs = k$   
 shows  $\text{execute } (tmL45\ jj)\ (0, tps)\ (\text{Suc } TT) = (1, tps')$   
 proof –  
 have  $\text{execute } (tmL45\ jj)\ (0, tps)\ (\text{Suc } TT) = \text{exe } (tmL45\ jj)\ (\text{execute } (tmL45\ jj)\ (0, tps)\ TT)$   
 by *simp*  
 also have  $\dots = \text{exe } (tmL45\ jj)\ (0, tps')$   
 using *assms execute-tmL45-3* by (*metis Suc-leI exec-pos-less-TT order-refl*)  
 also have  $\dots = \text{sem } (cmdL5\ jj)\ (0, tps')$   
 using *tmL45-def exe-lt-length* by *simp*  
 also have  $\dots = (1, tps')$   
 using *sem-cmdL5-eq-TT assms(1,4)* by *simp*  
 finally show *?thesis* .  
 qed

definition  $esL45 \equiv \text{map } (\lambda i. (n + 1, \text{Suc } i))\ [0..<TT]\ @\ [(n + 1, TT)]$

lemma *len-esL45*:  $\text{length } esL45 = \text{Suc } TT$

using *esL45-def* by *simp*

lemma *nth-map-upt-TT*:

fixes *es*

assumes  $es = \text{map } f\ [0..<TT]\ @\ es'$  and  $i < TT$

shows  $es!\ i = f\ i$

using *assms* by (*metis add.left-neutral diff-zero length-map length-upt nth-append nth-map nth-upt*)

lemma *tmL45*:

assumes *jj* < *k*

and *tps* = *tpsL t xs 0 1* ( $\lambda j$ . *sim-move t ! j*) ( $\lambda j$ . *sim-write t ! j*)

and *length xs* = *k*

and *xs ! jj* = (*0*, *Some 0*)

and *tps'* = *tpsL t (xs[jj:=*(1, Some 0)*]) TT 1* ( $\lambda j$ . *sim-move t ! j*) ( $\lambda j$ . *sim-write t ! j*)

shows *traces (tmL45 jj) tps esL45 tps'*

proof

show *execute (tmL45 jj) (0, tps) (length esL45) = (length (tmL45 jj), tps')*

using *tmL45-def execute-tmL45-4 esL45-def assms* by *simp*

show *fst (execute (tmL45 jj) (0, tps) i) < length (tmL45 jj)* if *i < length esL45* for *i*

proof –

have *i* ≤ *TT*

using *esL45-def that* by *simp*

then consider *i* ≤ *exec t <#> jj* | *i* = *Suc (exec t <#> jj)* | *i* > *Suc (exec t <#> jj)* ∧ *i* ≤ *TT*

by *linarith*

then show *?thesis*

proof (*cases*)

case 1

then show *?thesis*

using *assms execute-tmL45-1 tmL45-def* by *simp*

next

case 2

then show *?thesis*

using *assms execute-tmL45-2 tmL45-def* by *simp*

next

case 3

then show *?thesis*

using *assms execute-tmL45-3 tmL45-def* by *simp*

qed

qed

show *execute (tmL45 jj) (0, tps) (Suc i) <#> 0 = fst (esL45 ! i) ∧*

*execute (tmL45 jj) (0, tps) (Suc i) <#> 1 = snd (esL45 ! i)*

if *i < length esL45* for *i*

proof –

have *i* ≤ *TT*

using *esL45-def that* by *simp*

then consider *i < exec t <#> jj* | *i* = *exec t <#> jj* | *i* ≥ *Suc (exec t <#> jj)* ∧ *i < TT* | *i* = *TT*

by *linarith*

then show *?thesis*

proof (*cases*)

case 1

then have *Suc i* ≤ *exec t <#> jj*

by *simp*

then have *i < TT*

using *exec-pos-less-TT* by (*metis*  $\langle i \leq TT \rangle$  *assms(1) nat-less-le not-less-eq-eq*)

then have *esL45 ! i* = (*n + 1*, *Suc i*)

using *esL45-def nth-map-upt-TT* by *auto*

then show *?thesis*

using *assms execute-tmL45-1 tpsL-pos-0 tpsL-pos-1* by (*metis*  $\langle Suc\ i \leq\ exec\ t\ \<\#\>\ jj \rangle$  *fst-conv snd-conv*)

next

case 2

then have *i < TT*

using *exec-pos-less-TT* by (*simp add: assms(1)*)

then have *esL45 ! i* = (*n + 1*, *Suc i*)

using *esL45-def nth-map-upt-TT* by *auto*

then show *?thesis*

using *assms execute-tmL45-2 tpsL-pos-0 tpsL-pos-1* by (*metis* 2 *fst-conv snd-conv*)

next

case 3

then have *esL45 ! i* = (*n + 1*, *Suc i*)

```

    using esL45-def nth-map-upt-TT by auto
  then show ?thesis
    using assms execute-tmL45-3 tpsL-pos-0 tpsL-pos-1 3
    by (metis Suc-leI fst-conv le-imp-less-Suc nat-less-le snd-conv)
next
  case 4
  then have esL45 ! i = (n + 1, TT)
    using esL45-def by (simp add: nth-append)
  then show ?thesis
    using assms execute-tmL45-4 tpsL-pos-0 tpsL-pos-1 4 by simp
qed
qed
qed

definition esL46 ≡ esL45 @ [(n + 1, fmt n)]

lemma len-esL46: length esL46 = TT + 2
  using len-esL45 esL46-def by simp

lemma tmL46:
  assumes jj < k
    and tps = tpsL t xs 0 1 (λj. sim-move t ! j) (λj. sim-write t ! j)
    and length xs = k
    and xs ! jj = (0, Some 0)
    and tps' = tpsL t (xs[jj:=(1, Some 0)]) (fmt n) 1 (λj. sim-move t ! j) (λj. sim-write t ! j)
  shows traces (tmL46 jj) tps esL46 tps'
  unfolding tmL46-def esL46-def
proof (rule traces-sequential)
  let ?tps = tpsL t (xs[jj:=(1, Some 0)]) TT 1 (λj. sim-move t ! j) (λj. sim-write t ! j)
  show traces (tmL45 jj) tps esL45 ?tps
    using tmL45 assms by simp
  show traces (tm-left 1) ?tps [(n + 1, fmt n)] tps'
    using tpsL-pos-0 tpsL-pos-1 assms tpsL-def tpsL-1
    by (intro traces-tm-left-1I) simp-all
qed

lemma sem-cmdL7-nonleft-gt-0:
  assumes jj < k
    and tps = tpsL t xs i 1 (λj. sim-move t ! j) (λj. sim-write t ! j)
    and length xs = k
    and i < TT
    and i > 0
    and sim-move t ! jj ≠ 0
    and tps' = tpsL t xs (i - 1) 1 (λj. sim-move t ! j) (λj. sim-write t ! j)
  shows sem (cmdL7 jj) (0, tps) = (0, tps')
proof (rule semI[of 2 * k + 3])
  show proper-command (2 * k + 3) (cmdL7 jj)
    using turing-command-cmdL7[OF assms(1)] turing-commandD(1) by simp
  show len: length tps = 2 * k + 3
    using assms(2) by simp
  show length tps' = 2 * k + 3
    using assms(7) by simp
  define rs where rs = read tps
  then have ¬ is-beginning (rs ! 1)
    using read-tpsL-1-nth-2k1 assms
    by (metis enc-nth-dec nat-neq-iff numerals(1) zero-neq-numeral)
  then show fst (cmdL7 jj rs) = 0
    unfolding cmdL7-def by simp

  have rs ! (3 + jj) = sim-move t ! jj
    using rs-def assms(1,2) read-tpsL-3 by simp
  moreover have sim-move t ! jj < 3
    using sim-move-def assms(1) direction-to-symbol-less sim-move-nth sim-move-nth-else

```

```

  by (metis One-nat-def not-add-less2 not-less-eq numeral-3-eq-3 plus-1-eq-Suc)
ultimately have condition7c rs jj
  using assms(6) by simp
then have *: snd (cmdL7 jj rs) =
  [(rs ! 0, Stay),
   (rs ! 1, Left),
   (rs ! 2, Stay)] @
  (map (λj. (rs ! (j + 3), Stay)) [0..<k]) @
  (map (λj. (rs ! (3 + k + j), Stay)) [0..<k])
  unfolding cmdL7-def by auto

show act (cmdL7 jj (read tps) [!] j) (tps ! j) = tps' ! j if j < 2 * k + 3 for j
proof -
  consider j = 0 | j = 1 | j = 2 | 3 ≤ j ∧ j < k + 3 | k + 3 ≤ j ∧ j < 2 * k + 3
  using ⟨j < 2 * k + 3⟩ by linarith
  then show ?thesis
  proof (cases)
    case 1
    then have act (cmdL7 jj (read tps) [!] j) (tps ! j) = act (cmdL7 jj (read tps) [!] 0) (tps ! 0)
      by simp
    also have ... = act (rs ! 0, Stay) (tps ! 0)
      using * rs-def by simp
    also have ... = tps ! 0
      using act-Stay len rs-def by simp
    also have ... = tps' ! 0
      using assms(2,7) tpsL-0 by simp
    also have ... = tps' ! j
      using 1 by simp
    finally show ?thesis .
  next
    case 2
    then have act (cmdL7 jj (read tps) [!] j) (tps ! j) = act (cmdL7 jj (read tps) [!] 1) (tps ! 1)
      by simp
    also have ... = act (rs ! 1, Left) (tps ! 1)
      using * rs-def by simp
    also have ... = tps' ! 1
      using act-Left len rs-def assms tpsL-1 by (metis 2 fst-conv that tpsL-pos-1)
    also have ... = tps' ! j
      using 2 by simp
    finally show ?thesis .
  next
    case 3
    then have act (cmdL7 jj (read tps) [!] j) (tps ! j) = act (cmdL7 jj (read tps) [!] 2) (tps ! 2)
      by simp
    also have ... = act (rs ! 2, Stay) (tps ! 2)
      using * rs-def by simp
    also have ... = tps ! 2
      using act-Stay len rs-def by simp
    also have ... = tps' ! 2
      using assms(2,7) tpsL-2 by simp
    also have ... = tps' ! j
      using 3 by simp
    finally show ?thesis .
  next
    case 4
    then have act (cmdL7 jj (read tps) [!] j) (tps ! j) = act (rs ! j, Stay) (tps ! j)
      using * rs-def threepus2k-2[where ?a=(rs ! 0, Stay)] by simp
    also have ... = tps ! j
      using len act-Stay rs-def that by simp
    also have ... = tps' ! j
      using assms(2,7) tpsL-mvs' 4 by simp
    finally show ?thesis .
  next

```



```

    case 5
  then have act (cmdL7 jj (read tps) [!] j) (tps ! j) = act (rs ! j, Stay) (tps ! j)
    using * rs-def threepus2k-3 [where ?a=(rs ! 0, Stay)] by simp
  also have ... = tps ! j
    using len act-Stay rs-def that by simp
  also have ... = tps' ! j
    using assms(2,7) tpsL-symbols' 5 by simp
  finally show ?thesis .
qed
qed
qed

lemma sem-cmdL7-nonleft-eq-0:
  assumes jj < k
  and tps = tpsL t xs 0 1 (λj. sim-move t ! j) (λj. sim-write t ! j)
  and length xs = k
  and sim-move t ! jj ≠ 0
  shows sem (cmdL7 jj) (0, tps) = (1, tps)
proof (rule semI[of 2 * k + 3])
  show proper-command (2 * k + 3) (cmdL7 jj)
    using turing-command-cmdL7[OF assms(1)] turing-commandD(1) by simp
  show len: length tps = 2 * k + 3
    using assms(2) by simp
  show length tps = 2 * k + 3
    using assms(2) by simp

  define rs where rs = read tps
  then have is-beginning (rs ! 1)
    using read-tpsL-1-nth-2k1 assms enc-nth-def read-tpsL-1-bounds zero-less-Suc
    by simp
  then show fst (cmdL7 jj (read tps)) = 1
    using cmdL7-def rs-def by simp

  have rs ! (3 + jj) = sim-move t ! jj
    using rs-def assms(1,2) read-tpsL-3 by simp
  then have condition7c rs jj
    using sim-move direction-to-symbol-less sim-move-nth sim-move-nth-else assms(1,4)
    by (metis less-Suc-eq not-add-less2 numeral-3-eq-3 numeral-eq-iff numerals(1) plus-1-eq-Suc semiring-norm(86))
  then have *: snd (cmdL7 jj rs) =
    [(rs ! 0, Stay),
     (rs ! 1, Left),
     (rs ! 2, Stay)] @
    (map (λj. (rs ! (j + 3), Stay)) [0..<k]) @
    (map (λj. (rs ! (3 + k + j), Stay)) [0..<k])
  unfolding cmdL7-def by auto

  show act (cmdL7 jj (read tps) [!] j) (tps ! j) = tps ! j if j < 2 * k + 3 for j
  proof -
    consider j = 0 | j = 1 | j = 2 | 3 ≤ j ∧ j < k + 3 | k + 3 ≤ j ∧ j < 2 * k + 3
    using ⟨j < 2 * k + 3⟩ by linarith
    then show ?thesis
    proof (cases)
      case 1
      then show ?thesis
        using * act-Stay assms(2) rs-def by simp
    next
      case 2
      then have act (cmdL7 jj (read tps) [!] j) (tps ! j) = act (rs ! 1, Left) (tps ! j)
        using * rs-def by simp
      also have ... = tps ! j
        using 2 assms(2) act-Left that length-tpsL tpsL-1 tpsL-pos-1 rs-def
        by (metis diff-is-0-eq' fst-conv less-numeral-extra(1) nat-less-le)
      finally show ?thesis
    end
  end

```

```

    by simp
  next
  case 3
  then show ?thesis
    using * act-Stay assms(2) rs-def by simp
  next
  case 4
  then show ?thesis
    using * act-Stay assms rs-def threeplus2k-2[where ?a=(rs ! 0, Stay)] len by simp
  next
  case 5
  then show ?thesis
    using * act-Stay assms rs-def threeplus2k-3[where ?a=(rs ! 0, Stay)] len by simp
qed
qed
qed

```

**lemma** *execute-tmL67-nonleft-less*:

```

assumes jj < k
  and tps = tpsL t xs (fmt n) 1 (λj. sim-move t ! j) (λj. sim-write t ! j)
  and length xs = k
  and sim-move t ! jj ≠ 0
  and tt < TT
  and tps' = tpsL t xs (fmt n - tt) 1 (λj. sim-move t ! j) (λj. sim-write t ! j)
shows execute (tmL67 jj) (0, tps) tt = (0, tps')
using assms(5,6)
proof (induction tt arbitrary: tps)
  case 0
  then show ?case
    using assms(1-4) tmL67-def by simp
  next
  case (Suc tt)
  have execute (tmL67 jj) (0, tps) (Suc tt) = exe (tmL67 jj) (execute (tmL67 jj) (0, tps) tt)
    by simp
  also have ... = exe (tmL67 jj) (0, tpsL t xs (fmt n - tt) 1 (λj. sim-move t ! j) (λj. sim-write t ! j))
    using Suc by simp
  finally show ?case
    using assms(1-4) sem-cmdL7-nonleft-gt-0 tmL67-def exe-lt-length Suc by simp
qed

```

**lemma** *execute-tmL67-nonleft-finish*:

```

assumes jj < k
  and tps = tpsL t xs (fmt n) 1 (λj. sim-move t ! j) (λj. sim-write t ! j)
  and length xs = k
  and sim-move t ! jj ≠ 0
  and tps' = tpsL t xs 0 1 (λj. sim-move t ! j) (λj. sim-write t ! j)
shows execute (tmL67 jj) (0, tps) TT = (1, tps')
using assms execute-tmL67-nonleft-less sem-cmdL7-nonleft-eq-0 tmL67-def exe-lt-length
by simp

```

**definition**  $esL67 \equiv \text{map } (\lambda i. (n + 1, i)) (\text{rev } [0..<fmt\ n]) @ [(n + 1, 0)]$

**lemma** *esL67-at-fmtn* [simp]:  $esL67 ! (fmt\ n) = (n + 1, 0)$

using *esL67-def* by (simp add: *nth-append*)

**lemma** *esL67-at-lt-fmtn* [simp]:  $i < \text{fmt}\ n \implies esL67 ! i = (n + 1, \text{fmt}\ n - i - 1)$

**proof** –

assume  $i < \text{fmt}\ n$

then have  $(\text{rev } [0..<fmt\ n]) ! i = \text{fmt}\ n - 1 - i$

by (*metis Suc-diff-1 add.left-neutral bot-nat-0.extremum diff-diff-add diff-less-Suc diff-zero length-upt less-nat-zero-code nat-less-le nth-upt plus-1-eq-Suc rev-nth*)

moreover have  $\text{length } (\text{map } (\text{Pair } (\text{Suc}\ n)) (\text{rev } [0..<fmt\ n])) = \text{fmt}\ n$

by *simp*

**ultimately show** *?thesis*  
 by (*simp add*:  $\langle i < \text{fmt } n \rangle \text{ esL67-def nth-append}$ )  
**qed**

**lemma** *tmL67-nonleft*:

**assumes**  $jj < k$   
**and**  $\text{tps} = \text{tpsL } t \text{ xs } (\text{fmt } n) \ 1 \ (\lambda j. \text{sim-move } t \ ! \ j) \ (\lambda j. \text{sim-write } t \ ! \ j)$   
**and**  $\text{length } \text{xs} = k$   
**and**  $\text{sim-move } t \ ! \ jj \neq 0$   
**and**  $\text{tps}' = \text{tpsL } t \ \text{xs } 0 \ 1 \ (\lambda j. \text{sim-move } t \ ! \ j) \ (\lambda j. \text{sim-write } t \ ! \ j)$   
**shows**  $\text{traces } (\text{tmL67 } jj) \ \text{tps} \ \text{esL67 } \text{tps}'$

**proof**

**have**  $\text{len}: \text{length } \text{esL67} = TT$   
**using** *esL67-def* **by** *simp*  
**then show**  $1: \text{execute } (\text{tmL67 } jj) \ (0, \text{tps}) \ (\text{length } \text{esL67}) = (\text{length } (\text{tmL67 } jj), \text{tps}')$   
**using** *assms tmL67-def execute-tmL67-nonleft-finish* **by** *simp*  
**show**  $\bigwedge i. i < \text{length } \text{esL67} \implies$   
 $\text{fst } (\text{execute } (\text{tmL67 } jj) \ (0, \text{tps}) \ i) < \text{length } (\text{tmL67 } jj)$   
**using**  $\text{len}$  *assms execute-tmL67-nonleft-less tmL67-def* **by** *simp*  
**show**  $(\text{execute } (\text{tmL67 } jj) \ (0, \text{tps}) \ (\text{Suc } i)) <\#\rangle 0 = \text{fst } (\text{esL67 } ! \ i) \wedge$   
 $(\text{execute } (\text{tmL67 } jj) \ (0, \text{tps}) \ (\text{Suc } i)) <\#\rangle 1 = \text{snd } (\text{esL67 } ! \ i)$   
**if**  $i < \text{length } \text{esL67}$  **for**  $i$   
**proof** (*cases*  $i = \text{fmt } n$ )  
**case** *True*  
**then show** *?thesis*  
**using** *assms that 1 tpsL-pos-0 tpsL-pos-1 len* **by** *simp*  
**next**  
**case** *False*  
**then have**  $\text{Suc } i < TT$   
**using** *that len* **by** *simp*  
**moreover from this have**  $\text{esL67 } ! \ i = (n + 1, \text{fmt } n - 1 - i)$   
**by** *simp*  
**ultimately show** *?thesis*  
**using** *tpsL-pos-0 tpsL-pos-1 assms(1-5) execute-tmL67-nonleft-less*  
**by** (*metis (no-types, lifting) diff-diff-left fst-conv plus-1-eq-Suc snd-conv*)  
**qed**  
**qed**

**lemma** *sem-cmdL7-1*:

**assumes**  $jj < k$   
**and**  $\text{tps} = \text{tpsL } t \ \text{xs } i \ 1 \ (\lambda j. \text{sim-move } t \ ! \ j) \ (\lambda j. \text{sim-write } t \ ! \ j)$   
**and**  $\text{length } \text{xs} = k$   
**and**  $\text{xs } ! \ jj = (1, \text{Some } 0)$   
**and**  $i < TT$   
**and**  $i > \text{exec } t \ <\#\rangle jj$   
**and**  $\text{sim-move } t \ ! \ jj = 0$   
**and**  $\text{tps}' = \text{tpsL } t \ \text{xs } (i - 1) \ 1 \ (\lambda j. \text{sim-move } t \ ! \ j) \ (\lambda j. \text{sim-write } t \ ! \ j)$   
**shows**  $\text{sem } (\text{cmdL7 } jj) \ (0, \text{tps}) = (0, \text{tps}')$

**proof** (*rule semI[of 2 \* k + 3]*)

**show** *proper-command*  $(2 * k + 3) \ (\text{cmdL7 } jj)$   
**using** *turing-command-cmdL7[OF assms(1)] turing-commandD(1)* **by** *simp*  
**show**  $\text{len}: \text{length } \text{tps} = 2 * k + 3$   
**using** *assms(2)* **by** *simp*  
**show**  $\text{length } \text{tps}' = 2 * k + 3$   
**using** *assms(8)* **by** *simp*

**define**  $\text{rs}$  **where**  $\text{rs} = \text{read } \text{tps}$

**then have** *not-beginning*:  $\neg \text{is-beginning } (\text{rs } ! \ 1)$

**using** *read-tpsL-1-nth-2k1 assms enc-nth-def read-tpsL-1-bounds zero-less-Suc*  
**by** *simp*

**then show**  $\text{fst } (\text{cmdL7 } jj \ (\text{read } \text{tps})) = 0$

**using** *cmdL7-def rs-def* **by** *simp*

```

have rs ! (3 + jj) = □
  using rs-def read-tpsL-3 assms by simp
moreover have enc-nth (rs ! 1) (k + jj) = 0
  using assms rs-def read-tpsL-1-nth-less-2k by simp
ultimately have condition7c rs jj
  using not-beginning by simp
then have *: snd (cmdL7 jj rs) =
  [(rs ! 0, Stay),
   (rs ! 1, Left),
   (rs ! 2, Stay)] @
  (map (λj. (rs ! (j + 3), Stay)) [0..<k]) @
  (map (λj. (rs ! (3 + k + j), Stay)) [0..<k])
unfolding cmdL7-def by auto

show act (cmdL7 jj (read tps) [!] j) (tps ! j) = tps' ! j if j < 2 * k + 3 for j
proof -
  consider j = 0 | j = 1 | j = 2 | 3 ≤ j ∧ j < k + 3 | k + 3 ≤ j ∧ j < 2 * k + 3
  using ⟨j < 2 * k + 3⟩ by linarith
  then show ?thesis
  proof (cases)
    case 1
    then have act (cmdL7 jj (read tps) [!] j) (tps ! j) = act (cmdL7 jj (read tps) [!] 0) (tps ! 0)
      by simp
    also have ... = act (rs ! 0, Stay) (tps ! 0)
      using * rs-def by simp
    also have ... = tps ! 0
      using act-Stay len rs-def by simp
    also have ... = tps' ! 0
      using assms(2,8) tpsL-0 by simp
    also have ... = tps' ! j
      using 1 by simp
    finally show ?thesis .
  next
  case 2
  then have act (cmdL7 jj (read tps) [!] j) (tps ! j) = act (cmdL7 jj (read tps) [!] 1) (tps ! 1)
    by simp
  also have ... = act (rs ! 1, Left) (tps ! 1)
    using * rs-def by simp
  also have ... = tps' ! 1
    using act-Left len rs-def assms tpsL-1 by (metis 2 fst-conv that tpsL-pos-1)
  also have ... = tps' ! j
    using 2 by simp
  finally show ?thesis .
  next
  case 3
  then have act (cmdL7 jj (read tps) [!] j) (tps ! j) = act (cmdL7 jj (read tps) [!] 2) (tps ! 2)
    by simp
  also have ... = act (rs ! 2, Stay) (tps ! 2)
    using * rs-def by simp
  also have ... = tps ! 2
    using act-Stay len rs-def by simp
  also have ... = tps' ! 2
    using assms(2,8) tpsL-2 by simp
  also have ... = tps' ! j
    using 3 by simp
  finally show ?thesis .
  next
  case 4
  then have act (cmdL7 jj (read tps) [!] j) (tps ! j) = act (rs ! j, Stay) (tps ! j)
    using * rs-def threepius2k-2[where ?a=(rs ! 0, Stay)] by simp
  also have ... = tps ! j
    using len act-Stay rs-def that by simp
  also have ... = tps' ! j

```

```

    using assms(2,8) tpsL-mvs' 4 by simp
  finally show ?thesis .
next
case 5
then have act (cmdL7 jj (read tps) [!] j) (tps ! j) = act (rs ! j, Stay) (tps ! j)
  using * rs-def threeplus2k-3 [where ?a=(rs ! 0, Stay)] by simp
  also have ... = tps ! j
  using len act-Stay rs-def that by simp
  also have ... = tps ! j
  using assms(2,8) tpsL-syms' 5 by simp
  finally show ?thesis .
qed
qed
qed

lemma execute-tmL67-1:
  assumes jj < k
    and tps = tpsL t xs (fmt n) 1 ( $\lambda j$ . sim-move t ! j) ( $\lambda j$ . sim-write t ! j)
    and length xs = k
    and xs ! jj = (1, Some 0)
    and sim-move t ! jj = 0
    and tt < TT - exec t <#> jj
    and tps' = tpsL t xs (fmt n - tt) 1 ( $\lambda j$ . sim-move t ! j) ( $\lambda j$ . sim-write t ! j)
  shows execute (tmL67 jj) (0, tps) tt = (0, tps')
  using assms(6,7)
proof (induction tt arbitrary: tps)
  case 0
  then show ?case
    by (simp add: assms(2))
next
  case (Suc tt)
  then have execute (tmL67 jj) (0, tps) (Suc tt) = sem (cmdL7 jj) (execute (tmL67 jj) (0, tps) tt)
    using exe-lt-length tmL67-def by simp
  then show ?case
    using assms(1-5) sem-cmdL7-1 Suc by simp
qed

lemma zip-cont-enc-upd-Some:
  assumes jj < k
    and length xs = k
    and xs ! jj = (1, None)
    and i = exec (Suc t) <#> jj
  shows (zip-cont t xs)(i:=(enc-upd (zip-cont t xs i) (k + jj) 1)) = zip-cont t (xs[jj:= (1, Some 1)])
  (is ?lhs = ?rhs)
proof
  fix p
  have i < TT
    using assms(1,4) exec-pos-less-TT by simp

  consider p < TT  $\wedge$  p  $\neq$  i | p < TT  $\wedge$  p = i | p  $\geq$  TT
  by linarith
  then show ?lhs p = ?rhs p
  proof (cases)
    case 1
    then have ?lhs p = zip-cont t xs p
      by simp
    moreover have zip-cont t xs p = zip-cont t (xs[jj:= (1, Some 1)]) p
    proof (rule zip-cont-eqI)
      show p < TT
        using 1 by simp
      show (exec (t + fst (xs ! j)) <:> j) p = (exec (t + fst (xs[jj := (1, Some 1)] ! j)) <:> j) p
        if j < k for j
        using assms(1-3) by (cases j = jj) simp-all
    qed
  qed

```

```

show (case snd (xs ! j) of None  $\Rightarrow$  0 | Some d  $\Rightarrow$  if p = (exec (t + d)) <#> j then 1 else 0) =
  (case snd (xs[jj := (1, Some 1)] ! j) of None  $\Rightarrow$  0 | Some d  $\Rightarrow$  if p = (exec (t + d)) <#> j then 1 else 0)
  (is ?lhs = ?rhs)
  if j < k for j
  proof (cases j = jj)
  case True
  then show ?thesis
  using 1 assms by simp
  next
  case False
  then show ?thesis
  by simp
  qed
qed
ultimately show ?thesis
by simp
next
case 2
then show ?thesis
using assms enc-upd-zip-cont-None-Some by simp
next
case 3
then show ?thesis
using <i < TT> zip-cont-eq-0 by simp
qed
qed

```

**lemma** zip-cont-enc-upd-Some-Right:

```

assumes jj < k
  and length xs = k
  and xs ! jj = (1, None)
  and i = Suc (exec t <#> jj)
  and sim-move t ! jj = 2
shows (zip-cont t xs)(i:=(enc-upd (zip-cont t xs i) (k + jj) 1)) = zip-cont t (xs[jj:=(1, Some 1)])
proof -
  have i = exec (Suc t) <#> jj
  using assms sim-move by simp
  then show ?thesis
  using zip-cont-enc-upd-Some[OF assms(1-3)] by simp
qed

```

**lemma** zip-cont-enc-upd-Some-Left:

```

assumes jj < k
  and length xs = k
  and xs ! jj = (1, None)
  and Suc i = exec t <#> jj
  and sim-move t ! jj = 0
shows (zip-cont t xs)(i:=(enc-upd (zip-cont t xs i) (k + jj) 1)) = zip-cont t (xs[jj:=(1, Some 1)])
  (is ?lhs = ?rhs)
proof -
  have i = exec (Suc t) <#> jj
  using assms sim-move by simp
  then show ?thesis
  using zip-cont-enc-upd-Some[OF assms(1-3)] by simp
qed

```

**lemma** zip-cont-enc-upd-None:

```

assumes jj < k
  and length xs = k
  and xs ! jj = (1, Some 0)
  and i = exec t <#> jj
shows (zip-cont t xs)(i:=(enc-upd (zip-cont t xs i) (k + jj) 0)) = zip-cont t (xs[jj:=(1, None)])
  (is ?lhs = ?rhs)

```

```

proof
  fix  $p$ 
  consider  $p < TT \wedge p \neq i \mid p < TT \wedge p = i \mid p \geq TT$ 
    by linarith
  then show  $?lhs\ p = ?rhs\ p$ 
  proof (cases)
    case 1
      then have  $?lhs\ p = zip-cont\ t\ xs\ p$ 
        by simp
      moreover have  $zip-cont\ t\ xs\ p = zip-cont\ t\ (xs[jj:= (1, None)])\ p$ 
      proof (rule zip-cont-eqI)
        show  $p < TT$ 
          using 1 by simp
        show  $(exec\ (t + fst\ (xs\ !\ j))\ <:\>\ j)\ p = (exec\ (t + fst\ (xs[jj := (1, None)]\ !\ j))\ <:\>\ j)\ p$ 
          if  $j < k$  for  $j$ 
            using assms(1-3) by (cases j = jj) simp-all
        show  $(case\ snd\ (xs\ !\ j)\ of\ None \Rightarrow 0 \mid Some\ d \Rightarrow if\ p = (exec\ (t + d))\ <\#\>\ j\ then\ 1\ else\ 0) =$ 
           $(case\ snd\ (xs[jj := (1, None)]\ !\ j)\ of\ None \Rightarrow 0 \mid Some\ d \Rightarrow if\ p = (exec\ (t + d))\ <\#\>\ j\ then\ 1\ else\ 0)$ 
          if  $j < k$  for  $j$ 
            using assms 1 by (cases j = jj) simp-all
        qed
      ultimately show  $?thesis$ 
        by simp
    next
      case 2
        then have  $?lhs\ p = enc-upd\ (zip-cont\ t\ xs\ i)\ (k + jj)\ 0$ 
          by simp
        moreover have  $enc-upd\ (zip-cont\ t\ xs\ i)\ (k + jj)\ 0 = zip-cont\ t\ (xs[jj:= (1, None)])\ i$ 
          using assms(1-4) enc-upd-zip-cont-Some-None by simp
        ultimately show  $?thesis$ 
          using 2 by simp
      next
        case 3
          moreover have  $i < TT$ 
            using assms(4) by (simp add: assms(1) exec-pos-less-TT)
          ultimately show  $?thesis$ 
            using zip-cont-eq-0 by simp
          qed
      qed

lemma sem-cmdL7-2a:
  assumes  $jj < k$ 
    and  $tps = tpsL\ t\ xs\ i\ 1\ (\lambda j. sim-move\ t\ !\ j)\ (\lambda j. sim-write\ t\ !\ j)$ 
    and  $length\ xs = k$ 
    and  $xs\ !\ jj = (1, Some\ 0)$ 
    and  $i = exec\ t\ <\#\>\ jj$ 
    and  $i > 0$ 
    and  $sim-move\ t\ !\ jj = 0$ 
    and  $tps' = tpsL\ t\ (xs[jj:= (1, None)])\ (i - 1)\ 1\ (\lambda j. if\ j = jj\ then\ 3\ else\ sim-move\ t\ !\ j)\ (\lambda j. sim-write\ t\ !\ j)$ 
  shows  $sem\ (cmdL7\ jj)\ (0, tps) = (0, tps')$ 
  proof (rule semI[of 2 * k + 3])
    show proper-command  $(2 * k + 3)\ (cmdL7\ jj)$ 
      using turing-command-cmdL7[OF assms(1)] turing-commandD(1) by simp
    show len:  $length\ tps = 2 * k + 3$ 
      using assms(2) by simp
    show  $length\ tps' = 2 * k + 3$ 
      using assms(8) by simp

    define  $rs$  where  $rs = read\ tps$ 
    then have not-beginning:  $\neg is-beginning\ (rs\ !\ 1)$ 
      using read-tpsL-1-nth-2k1 assms enc-nth-def read-tpsL-1-bounds zero-less-Suc exec-pos-less-TT
      by simp
    then show  $fst\ (cmdL7\ jj\ (read\ tps)) = 0$ 

```

```

using cmdL7-def rs-def by simp

have i < TT
  using assms(5) by (simp add: assms(1) exec-pos-less-TT)

have rs ! (3 + jj) = □
  using rs-def read-tpsL-3 assms by simp
moreover have enc-nth (rs ! 1) (k + jj) = 1
  using assms rs-def read-tpsL-1-nth-less-2k[OF ‹i < TT›] by simp
ultimately have condition7a rs jj
  using not-beginning ‹i < TT› assms(2) read-tpsL-1-bounds rs-def by simp
then have *: snd (cmdL7 jj rs) =
  [(rs ! 0, Stay),
   (enc-upd (rs ! 1) (k + jj) 0, Left),
   (rs ! 2, Stay)] @
  (map (λj. (if j = jj then 3 else rs ! (j + 3), Stay)) [0..<k]) @
  (map (λj. (rs ! (3 + k + j), Stay)) [0..<k])
unfolding cmdL7-def by auto

show act (cmdL7 jj (read tps) [!] j) (tps ! j) = tps' ! j if j < 2 * k + 3 for j
proof -
  consider j = 0 | j = 1 | j = 2 | 3 ≤ j ∧ j < k + 3 | k + 3 ≤ j ∧ j < 2 * k + 3
  using ‹j < 2 * k + 3› by linarith
  then show ?thesis
  proof (cases)
    case 1
    then have act (cmdL7 jj (read tps) [!] j) (tps ! j) = act (cmdL7 jj (read tps) [!] 0) (tps ! 0)
      by simp
    also have ... = act (rs ! 0, Stay) (tps ! 0)
      using * rs-def by simp
    also have ... = tps ! 0
      using act-Stay len rs-def by simp
    also have ... = tps' ! 0
      using assms(2,8) tpsL-0 by simp
    also have ... = tps' ! j
      using 1 by simp
    finally show ?thesis .
  next
    case 2
    then have act (cmdL7 jj (read tps) [!] j) (tps ! j) = act (cmdL7 jj (read tps) [!] 1) (tps ! 1)
      by simp
    also have ... = act (enc-upd (rs ! 1) (k + jj) 0, Left) (tps ! 1)
      using * rs-def by simp
    also have ... = tps ! 1 |:=| (enc-upd (rs ! 1) (k + jj) 0) |-| 1
      using act-Left' 2 len by simp
    also have ... = tps' ! 1
      using assms zip-cont-enc-upd-None rs-def read-tpsL-1 tpsL-1 zip-cont-def by simp
    finally show ?thesis
      using 2 by simp
  next
    case 3
    then have act (cmdL7 jj (read tps) [!] j) (tps ! j) = act (cmdL7 jj (read tps) [!] 2) (tps ! 2)
      by simp
    also have ... = act (rs ! 2, Stay) (tps ! 2)
      using * rs-def by simp
    also have ... = tps ! 2
      using act-Stay len rs-def by simp
    also have ... = tps' ! 2
      using assms(2,8) tpsL-2 by simp
    also have ... = tps' ! j
      using 3 by simp
    finally show ?thesis .
  next

```



```

case 4
show ?thesis
proof (cases j = 3 + jj)
  case True
  then have act (cmdL7 jj (read tps) [!] j) (tps ! j) = act (3, Stay) (tps ! j)
    using * rs-def threeplus2k-2[where ?a=(rs ! 0, Stay)] 4 diff-add-inverse by (smt (verit))
  also have ... = tps' ! j
    using 4 assms(2,8) True act-onesie tpsL-mvs by simp
  finally show ?thesis .
  next
  case False
  then have act (cmdL7 jj (read tps) [!] j) (tps ! j) = act (rs ! j, Stay) (tps ! j)
    using * rs-def threeplus2k-2[where ?a=(rs ! 0, Stay)] 4 diff-add-inverse by auto
  also have ... = tps' ! j
    using 4 assms(2,8) False act-Stay len rs-def that tpsL-mvs'
    by (smt (verit) add commute le-add-diff-inverse2)
  finally show ?thesis .
qed
next
case 5
then have act (cmdL7 jj (read tps) [!] j) (tps ! j) = act (rs ! j, Stay) (tps ! j)
  using * rs-def threeplus2k-3[where ?a=(rs ! 0, Stay)] by simp
also have ... = tps' ! j
  using len act-Stay rs-def that by simp
also have ... = tps' ! j
  using assms(2,8) tpsL-symbs' 5 by simp
finally show ?thesis .
qed
qed
qed

lemma execute-tmL67-2a:
  assumes jj < k
  and tps = tpsL t xs (fmt n) 1 (λj. sim-move t ! j) (λj. sim-write t ! j)
  and length xs = k
  and xs ! jj = (1, Some 0)
  and sim-move t ! jj = 0
  and exec t <#> jj > 0
  and tt = TT - exec t <#> jj
  and tps' = tpsL t (xs[jj:=(1, None)]) (fmt n - tt) 1 (λj. if j = jj then 3 else sim-move t ! j) (λj. sim-write
t ! j)
shows execute (tmL67 jj) (0, tps) tt = (0, tps')
proof -
  have tt > 0
    using assms(1,7) exec-pos-less-TT by simp
  then have tt - 1 < TT - exec t <#> jj
    using assms(6,7) by simp
  then have *: execute (tmL67 jj) (0, tps) (tt - 1) =
    (0, tpsL t xs (fmt n - tt + 1) 1 (λj. sim-move t ! j) (λj. sim-write t ! j))
    using assms execute-tmL67-1[where ?tt=tt - 1] by simp
  have **: fmt n - tt + 1 = exec t <#> jj
    using assms(1,6,7) exec-pos-less-TT Suc-diff-le less-eq-Suc-le by auto
  have execute (tmL67 jj) (0, tps) tt = exe (tmL67 jj) (execute (tmL67 jj) (0, tps) (tt - 1))
    using <tt > 0> exe-lt-length by (metis One-nat-def Suc-diff-Suc diff-zero execute.simps(2))
  also have ... = sem (cmdL7 jj) (execute (tmL67 jj) (0, tps) (tt - 1))
    using tmL67-def exe-lt-length * by simp
  also have ... = sem (cmdL7 jj) (0, tpsL t xs (fmt n - tt + 1) 1 (λj. sim-move t ! j) (λj. sim-write t ! j))
    using * by simp
  also have ... = (0, tpsL t (xs[jj:=(1, None)]) (fmt n - tt) 1 (λj. if j = jj then 3 else sim-move t ! j) (λj.
sim-write t ! j))
    using ** assms sem-cmdL7-2a[where ?i=fmt n - tt + 1] by simp
  finally show ?thesis
    using assms(8) by simp

```

qed

lemma *zip-cont-Some-Some*:

assumes  $jj < k$   
and  $\text{length } xs = k$   
and  $xs ! jj = (1, \text{Some } 0)$   
and  $i = \text{exec } t <\#\#> jj$   
and  $i = 0$   
and  $\text{sim-move } t ! jj = 0$   
shows  $\text{zip-cont } t \ xs = \text{zip-cont } t \ (xs[jj:= (1, \text{Some } 1)])$   
(is ?lhs = ?rhs)

proof

fix  $p$

consider  $p < TT \mid p \geq TT$

by *linarith*

then show ?lhs  $p = ?rhs \ p$

proof (cases)

case 1

then have ?lhs  $p = \text{zip-cont } t \ xs \ p$

by *simp*

moreover have  $\text{zip-cont } t \ xs \ p = \text{zip-cont } t \ (xs[jj:= (1, \text{Some } 1)]) \ p$

proof (rule *zip-cont-eqI*)

show  $p < TT$

using 1 by *simp*

show  $\bigwedge j. j < k \implies$

$((\text{exec } (t + \text{fst } (xs ! j))) <:\#> j) \ p =$

$((\text{exec } (t + \text{fst } (xs[jj := (1, \text{Some } 1)] ! j))) <:\#> j) \ p$

by (metis *assms(2,3)* *fst-conv nth-list-update-eq nth-list-update-neq*)

show  $\bigwedge j. j < k \implies$

$(\text{case } \text{snd } (xs ! j) \ \text{of } \text{None} \Rightarrow 0 \mid \text{Some } d \Rightarrow \text{if } p = \text{exec } (t + d) <\#\#> j \ \text{then } 1 \ \text{else } 0) =$

$(\text{case } \text{snd } (xs[jj := (1, \text{Some } 1)] ! j) \ \text{of } \text{None} \Rightarrow 0 \mid \text{Some } d \Rightarrow \text{if } p = \text{exec } (t + d) <\#\#> j \ \text{then } 1 \ \text{else } 0)$

using *assms 1 sim-move*

by (metis (no-types, lifting) *add commute add.right-neutral diff-add-zero nth-list-update-eq nth-list-update-neq option.simps(5) plus-1-eq-Suc prod.sel(2)*)

qed

ultimately show ?thesis

by *simp*

next

case 2

then show ?thesis

using *zip-cont-eq-0* by *simp*

qed

qed

lemma *sem-cmdL7-2b*:

assumes  $jj < k$

and  $tps = \text{tpsL } t \ xs \ i \ 1 \ (\lambda j. \text{sim-move } t ! j) \ (\lambda j. \text{sim-write } t ! j)$

and  $\text{length } xs = k$

and  $xs ! jj = (1, \text{Some } 0)$

and  $i = \text{exec } t <\#\#> jj$

and  $i = 0$

and  $\text{sim-move } t ! jj = 0$

and  $tps' = \text{tpsL } t \ (xs[jj:= (1, \text{Some } 1)]) \ i \ 1 \ (\lambda j. \text{sim-move } t ! j) \ (\lambda j. \text{sim-write } t ! j)$

shows  $\text{sem } (\text{cmdL7 } jj) \ (0, tps) = (1, tps')$

proof (rule *semI[of 2 \* k + 3]*)

show *proper-command*  $(2 * k + 3) \ (\text{cmdL7 } jj)$

using *turing-command-cmdL7[OF assms(1)] turing-commandD(1)* by *simp*

show *len*:  $\text{length } tps = 2 * k + 3$

using *assms(2)* by *simp*

show  $\text{length } tps' = 2 * k + 3$

using *assms(8)* by *simp*

define  $rs$  where  $rs = \text{read } tps$

**then have** *is-beginning*: *is-beginning* (rs ! 1)  
**using** *read-tpsL-1-nth-2k1* *assms(2,6)* *enc-nth-def* *read-tpsL-1-bounds* *rs-def* **by** *simp*  
**then show** *fst* (cmdL7 jj (read tps)) = 1  
**using** *assms(6)* *cmdL7-def* *rs-def* **by** *simp*

**have** rs ! (3 + jj) = □  
**by** (*simp* *add*: *rs-def* *assms* *add.commute* *read-tpsL-3'*)  
**then have** *condition7c* rs jj  
**using** *is-beginning* **by** *simp*  
**then have** \*: *snd* (cmdL7 jj rs) =  
[(rs ! 0, Stay),  
(rs ! 1, Left),  
(rs ! 2, Stay)] @  
(map (λj. (rs ! (j + 3), Stay)) [0..<k]) @  
(map (λj. (rs ! (3 + k + j), Stay)) [0..<k])  
**unfolding** *cmdL7-def* **by** *auto*

**show** *act* (cmdL7 jj (read tps) [!] j) (tps ! j) = tps' ! j **if** j < 2 \* k + 3 **for** j

**proof** –

**consider** j = 0 | j = 1 | j = 2 | 3 ≤ j ∧ j < k + 3 | k + 3 ≤ j ∧ j < 2 \* k + 3  
**using** ⟨j < 2 \* k + 3⟩ **by** *linarith*

**then show** ?thesis

**proof** (*cases*)

**case** 1

**then have** *act* (cmdL7 jj (read tps) [!] j) (tps ! j) = *act* (cmdL7 jj (read tps) [!] 0) (tps ! 0)  
**by** *simp*

**also have** ... = *act* (rs ! 0, Stay) (tps ! 0)

**using** \* *rs-def* **by** *simp*

**also have** ... = tps ! 0

**using** *act-Stay* *len* *rs-def* **by** *simp*

**also have** ... = tps' ! 0

**using** *assms(2,8)* *tpsL-0* **by** *simp*

**also have** ... = tps' ! j

**using** 1 **by** *simp*

**finally show** ?thesis .

**next**

**case** 2

**then have** *act* (cmdL7 jj (read tps) [!] j) (tps ! j) = *act* (cmdL7 jj (read tps) [!] 1) (tps ! 1)  
**by** *simp*

**also have** ... = *act* (rs ! 1, Left) (tps ! 1)

**using** \* *rs-def* **by** *simp*

**also have** ... = tps' ! 1

**using** *zip-cont-Some-Some* *assms* *rs-def* *tpsL-1* 2 *act-Left* *fst-conv* *len* *that* *tpsL-pos-1* **by** (*metis* *zero-diff*)

**finally show** ?thesis

**using** 2 **by** *simp*

**next**

**case** 3

**then have** *act* (cmdL7 jj (read tps) [!] j) (tps ! j) = *act* (cmdL7 jj (read tps) [!] 2) (tps ! 2)  
**by** *simp*

**also have** ... = *act* (rs ! 2, Stay) (tps ! 2)

**using** \* *rs-def* **by** *simp*

**also have** ... = tps ! 2

**using** *act-Stay* *len* *rs-def* **by** *simp*

**also have** ... = tps' ! 2

**using** *assms(2,8)* *tpsL-2* **by** *simp*

**also have** ... = tps' ! j

**using** 3 **by** *simp*

**finally show** ?thesis .

**next**

**case** 4

**then have** *act* (cmdL7 jj (read tps) [!] j) (tps ! j) = *act* (rs ! j, Stay) (tps ! j)  
**using** \* *rs-def* *threeplus2k-2* [where ?a=(rs ! 0, Stay)] 4 *diff-add-inverse* **by** *auto*

**also have** ... = tps' ! j

```

    using 4 assms(2,8) act-Stay len rs-def that tpsL-mvs' by (metis add.commute)
  finally show ?thesis .
next
case 5
then have act (cmdL7 jj (read tps) [!] j) (tps ! j) = act (rs ! j, Stay) (tps ! j)
  using * rs-def threepius2k-3[where ?a=(rs ! 0, Stay)] by simp
also have ... = tps ! j
  using len act-Stay rs-def that by simp
also have ... = tps' ! j
  using assms(2,8) tpsL-syms' 5 by simp
finally show ?thesis .
qed
qed
qed

lemma execute-tmL67-2b:
  assumes jj < k
    and tps = tpsL t xs (fmt n) 1 (λj. sim-move t ! j) (λj. sim-write t ! j)
    and length xs = k
    and xs ! jj = (1, Some 0)
    and sim-move t ! jj = 0
    and exec t <#> jj = 0
    and tps' = tpsL t (xs[jj:=(1, Some 1)]) 0 1 (λj. sim-move t ! j) (λj. sim-write t ! j)
  shows execute (tmL67 jj) (0, tps) TT = (1, tps')
  using execute-tmL67-1 assms exe-lt-length tmL67-def sem-cmdL7-2b by simp

lemma tmL67-left-0:
  assumes jj < k
    and tps = tpsL t xs (fmt n) 1 (λj. sim-move t ! j) (λj. sim-write t ! j)
    and length xs = k
    and xs ! jj = (1, Some 0)
    and sim-move t ! jj = 0
    and exec t <#> jj = 0
    and tps' = tpsL t (xs[jj:=(1, Some 1)]) 0 1 (λj. sim-move t ! j) (λj. sim-write t ! j)
  shows traces (tmL67 jj) tps esL67 tps'
proof
  show execute (tmL67 jj) (0, tps) (length esL67) = (length (tmL67 jj), tps')
    using esL67-def tmL67-def execute-tmL67-2b assms by simp
  show ∧i. i < length esL67 ⇒
    fst (execute (tmL67 jj) (0, tps) i) < length (tmL67 jj)
    using esL67-def tmL67-def execute-tmL67-1 assms by simp
  show execute (tmL67 jj) (0, tps) (Suc i) <#> 0 = fst (esL67 ! i) ∧
    execute (tmL67 jj) (0, tps) (Suc i) <#> 1 = snd (esL67 ! i)
  if i < length esL67 for i
proof (cases i = fmt n)
case True
  then have Suc i = TT
    by simp
  moreover have esL67 ! i = (n + 1, 0)
    using True esL67-def by (simp add: nth-append)
  ultimately show ?thesis
    using assms that tpsL-pos-0 tpsL-pos-1 by (metis execute-tmL67-2b fst-conv snd-conv)
next
case False
  then have Suc i < TT
    using that esL67-def by simp
  moreover from this have esL67 ! i = (n + 1, fmt n - 1 - i)
    by simp
  ultimately show ?thesis
    using tpsL-pos-0 tpsL-pos-1 assms(1-6) execute-tmL67-1
    by (metis (no-types, lifting) diff-diff-left fst-conv minus-nat.diff-0 plus-1-eq-Suc snd-conv)
qed
qed

```

**lemma** *sem-cmdL7-3*:

**assumes**  $jj < k$   
**and**  $tps = tpsL\ t\ xs\ i\ 1\ (\lambda j. \text{if } j = jj \text{ then } 3 \text{ else } \text{sim-move } t!\ j)\ (\lambda j. \text{sim-write } t!\ j)$   
**and**  $\text{length } xs = k$   
**and**  $xs!\ jj = (1, \text{None})$   
**and**  $Suc\ i = \text{exec } t\ <\#\>\ jj$   
**and**  $\text{sim-move } t!\ jj = 0$   
**and**  $tps' = tpsL\ t\ (xs[jj:= (1, \text{Some } 1)])\ (i - 1)\ 1\ (\lambda j. \text{sim-move } t!\ j)\ (\lambda j. \text{sim-write } t!\ j)$

**shows**  $\text{sem } (\text{cmdL7 } jj)\ (0, tps) = (\text{if } i = 0 \text{ then } 1 \text{ else } 0, tps')$

**proof** (*rule semI[of 2 \* k + 3]*)

**show** *proper-command*  $(2 * k + 3)\ (\text{cmdL7 } jj)$   
**using** *turing-command-cmdL7[OF assms(1)] turing-commandD(1)* **by** *simp*

**show** *len*:  $\text{length } tps = 2 * k + 3$

**using** *assms(2)* **by** *simp*

**show**  $\text{length } tps' = 2 * k + 3$

**using** *assms(7)* **by** *simp*

**define** *rs* **where**  $rs = \text{read } tps$

**show** *fst*  $(\text{cmdL7 } jj\ (\text{read } tps)) = (\text{if } i = 0 \text{ then } 1 \text{ else } 0)$

**proof** (*cases*  $i = 0$ )

**case** *True*

**then have** *is-beginning*  $(rs!\ 1)$

**using** *read-tpsL-1-nth-2k1 assms(2) enc-nth-def read-tpsL-1-bounds rs-def* **by** *simp*

**then show** *?thesis*

**using** *True cmdL7-def rs-def* **by** *simp*

**next**

**case** *False*

**then have**  $\neg \text{is-beginning } (rs!\ 1)$

**using** *read-tpsL-1-nth-2k1 assms enc-nth-def exec-pos-less-TT*

**by** (*metis (no-types, lifting) Suc-le-lessD less-imp-le-nat less-numeral-extra(1) neq0-conv rs-def*)

**then show** *?thesis*

**using** *False cmdL7-def rs-def* **by** *simp*

**qed**

**have**  $i < TT$

**using** *assms exec-pos-less-TT* **by** (*metis Suc-less-eq less-SucI*)

**have**  $rs!\ (3 + jj) = 3$

**by** (*simp add: rs-def assms(1,2) add commute read-tpsL-3'*)

**moreover have** *is-code*  $(rs!\ 1)$

**using** *assms rs-def read-tpsL-1-nth-less-2k <i < TT> read-tpsL-1-bounds* **by** *simp*

**ultimately have** *condition7b*  $rs\ jj$

**using**  $\langle i < TT \rangle$  *assms(2) read-tpsL-1-bounds rs-def* **by** *simp*

**then have**  $*$ :  $\text{snd } (\text{cmdL7 } jj\ rs) =$

$[(rs!\ 0, \text{Stay}),$   
 $(\text{enc-upd } (rs!\ 1)\ (k + jj)\ 1, \text{Left}),$   
 $(rs!\ 2, \text{Stay})] @$   
 $(\text{map } (\lambda j. (\text{if } j = jj \text{ then } 0 \text{ else } rs!\ (j + 3), \text{Stay}))\ [0..<k]) @$   
 $(\text{map } (\lambda j. (rs!\ (3 + k + j), \text{Stay}))\ [0..<k])$

**unfolding** *cmdL7-def* **by** *simp*

**show** *act*  $(\text{cmdL7 } jj\ (\text{read } tps)\ [!]\ j)\ (tps!\ j) = tps'\ !\ j$  **if**  $j < 2 * k + 3$  **for**  $j$

**proof** –

**consider**  $j = 0 \mid j = 1 \mid j = 2 \mid 3 \leq j \wedge j < k + 3 \mid k + 3 \leq j \wedge j < 2 * k + 3$

**using**  $\langle j < 2 * k + 3 \rangle$  **by** *linarith*

**then show** *?thesis*

**proof** (*cases*)

**case** *1*

**then have**  $\text{act } (\text{cmdL7 } jj\ (\text{read } tps)\ [!]\ j)\ (tps!\ j) = \text{act } (\text{cmdL7 } jj\ (\text{read } tps)\ [!]\ 0)\ (tps!\ 0)$

**by** *simp*

**also have**  $\dots = \text{act } (rs!\ 0, \text{Stay})\ (tps!\ 0)$

**using**  $*$  *rs-def* **by** *simp*

```

also have ... = tps ! 0
  using act-Stay len rs-def by simp
also have ... = tps' ! 0
  using assms(2,7) tpsL-0 by simp
also have ... = tps' ! j
  using 1 by simp
finally show ?thesis .
next
case 2
then have act (cmdL7 jj (read tps) [!] j) (tps ! j) = act (cmdL7 jj (read tps) [!] 1) (tps ! 1)
  by simp
also have ... = act (enc-upd (rs ! 1) (k + jj) 1, Left) (tps ! 1)
  using * rs-def by simp
also have ... = tps ! 1 |:=| (enc-upd (rs ! 1) (k + jj) 1) |-| 1
  using act-Left' 2 len by simp
also have ... = tps' ! 1
  using assms zip-cont-enc-upd-Some-Left rs-def read-tpsL-1 tpsL-1 zip-cont-def by simp
finally show ?thesis
  using 2 by simp
next
case 3
then have act (cmdL7 jj (read tps) [!] j) (tps ! j) = act (cmdL7 jj (read tps) [!] 2) (tps ! 2)
  by simp
also have ... = act (rs ! 2, Stay) (tps ! 2)
  using * rs-def by simp
also have ... = tps ! 2
  using act-Stay len rs-def by simp
also have ... = tps' ! 2
  using assms(2,7) tpsL-2 by simp
also have ... = tps' ! j
  using 3 by simp
finally show ?thesis .
next
case 4
show ?thesis
proof (cases j = 3 + jj)
  case True
  then have act (cmdL7 jj (read tps) [!] j) (tps ! j) = act (0, Stay) (tps ! j)
    using * rs-def threeplus2k-2[where ?a=(rs ! 0, Stay)] 4 diff-add-inverse
    by (smt (verit, ccfv-threshold))
  also have ... = tps' ! j
    using 4 assms(1,2,6,7) 4 True act-onesie tpsL-mvs by simp
  finally show ?thesis .
  next
  case False
  then have act (cmdL7 jj (read tps) [!] j) (tps ! j) = act (rs ! j, Stay) (tps ! j)
    using * rs-def threeplus2k-2[where ?a=(rs ! 0, Stay)] 4 diff-add-inverse by auto
  also have ... = tps' ! j
    using 4 assms(2,7) False act-Stay len rs-def that tpsL-mvs'
    by (smt (verit) add commute le-add-diff-inverse2)
  finally show ?thesis .
qed
next
case 5
then have act (cmdL7 jj (read tps) [!] j) (tps ! j) = act (rs ! j, Stay) (tps ! j)
  using * rs-def threeplus2k-3[where ?a=(rs ! 0, Stay)] by simp
also have ... = tps ! j
  using len act-Stay rs-def that by simp
also have ... = tps' ! j
  using assms(2,7) tpsL-symb's 5 by simp
finally show ?thesis .
qed
qed

```

qed

lemma *execute-tmL67-3*:

assumes  $jj < k$   
and  $tps = tpsL\ t\ xs\ (fmt\ n)\ 1\ (\lambda j. sim-move\ t!\ j)\ (\lambda j. sim-write\ t!\ j)$   
and  $length\ xs = k$   
and  $xs!\ jj = (1, Some\ 0)$   
and  $sim-move\ t!\ jj = 0$   
and  $exec\ t <\#\#>\ jj > 0$   
and  $tt = TT - exec\ t <\#\#>\ jj$   
and  $tps' = tpsL\ t\ (xs[jj:= (1, Some\ 1)])\ (fmt\ n - Suc\ tt)\ 1\ (\lambda j. sim-move\ t!\ j)\ (\lambda j. sim-write\ t!\ j)$   
shows  $execute\ (tmL67\ jj)\ (0, tps)\ (Suc\ tt) = (if\ fmt\ n - tt = 0\ then\ 1\ else\ 0, tps')$

proof -

let  $?i = fmt\ n - tt$   
let  $?xs = xs[jj:= (1, None)]$   
let  $?tps = tpsL\ t\ ?xs\ ?i\ 1\ (\lambda j. if\ j = jj\ then\ 3\ else\ sim-move\ t!\ j)\ (\lambda j. sim-write\ t!\ j)$   
have 1:  $Suc\ ?i = exec\ t <\#\#>\ jj$   
using *assms exec-pos-less-TT*  
by (*smt (verit) Suc-diff-le diff-diff-cancel diff-is-0-eq nat-less-le neq0-conv not-less-eq zero-less-diff*)  
have 2:  $?xs!\ jj = (1, None)$   
by (*simp add: assms(1) assms(3)*)  
have 3:  $length\ ?xs = k$   
by (*simp add: assms(3)*)  
have  $execute\ (tmL67\ jj)\ (0, tps)\ (Suc\ tt) = exe\ (tmL67\ jj)\ (execute\ (tmL67\ jj)\ (0, tps)\ tt)$   
by *simp*  
also have  $\dots = exe\ (tmL67\ jj)\ (0, ?tps)$   
using *assms execute-tmL67-2a* by *simp*  
also have  $\dots = sem\ (cmdL7\ jj)\ (0, ?tps)$   
using *tmL67-def exe-lt-length* by *simp*  
also have  $\dots = (if\ fmt\ n - tt = 0\ then\ 1\ else\ 0, tps')$   
using *sem-cmdL7-3[OF assms(1) - 3 2 1 assms(5)] assms(8)* by *simp*  
finally show *?thesis*  
by *simp*

qed

lemma *sem-cmdL7-4*:

assumes  $jj < k$   
and  $tps = tpsL\ t\ xs\ i\ 1\ (\lambda j. sim-move\ t!\ j)\ (\lambda j. sim-write\ t!\ j)$   
and  $length\ xs = k$   
and  $xs!\ jj = (1, Some\ 1)$   
and  $Suc\ i < exec\ t <\#\#>\ jj$   
and  $sim-move\ t!\ jj = 0$   
and  $tps' = tpsL\ t\ xs\ (i - 1)\ 1\ (\lambda j. sim-move\ t!\ j)\ (\lambda j. sim-write\ t!\ j)$   
shows  $sem\ (cmdL7\ jj)\ (0, tps) = (if\ i = 0\ then\ 1\ else\ 0, tps')$

proof (rule *semI[of 2 \* k + 3]*)

show *proper-command*  $(2 * k + 3)\ (cmdL7\ jj)$   
using *turing-command-cmdL7[OF assms(1)] turing-commandD(1)* by *simp*  
show *len*:  $length\ tps = 2 * k + 3$   
using *assms(2)* by *simp*  
show  $length\ tps' = 2 * k + 3$   
using *assms(7)* by *simp*

define *rs* where  $rs = read\ tps$

show *fst*  $(cmdL7\ jj\ (read\ tps)) = (if\ i = 0\ then\ 1\ else\ 0)$

proof (cases  $i = 0$ )

case *True*

then have *is-beginning*  $(rs!\ 1)$

using *read-tpsL-1-nth-2k1 assms(2) enc-nth-def read-tpsL-1-bounds rs-def* by *simp*

then show *?thesis*

using *True cmdL7-def rs-def* by *simp*

next

case *False*

then have  $\neg is-beginning\ (rs!\ 1)$

```

    using read-tpsL-1-nth-2k1 assms enc-nth-def exec-pos-less-TT read-tpsL-1 rs-def
    by (metis (no-types, lifting) less-2-cases-iff nat-1-add-1 not-less-eq plus-1-eq-Suc)
  then show ?thesis
    using False cmdL7-def rs-def by simp
qed

have i < exec t <#> jj
  using assms(5) by simp
then have i < TT
  using assms(1) exec-pos-less-TT by (meson Suc-lessD less-trans-Suc)

have rs ! (3 + jj) = □
  using rs-def read-tpsL-3 assms by simp
moreover have enc-nth (rs ! 1) (k + jj) = 0
  using assms rs-def read-tpsL-1-nth-less-2k[OF ⟨i < TT⟩, of k + jj] sim-move by simp
ultimately have condition7c rs jj
  by simp
then have *: snd (cmdL7 jj rs) =
  [(rs ! 0, Stay),
   (rs ! 1, Left),
   (rs ! 2, Stay)] @
  (map (λj. (rs ! (j + 3), Stay)) [0..<k]) @
  (map (λj. (rs ! (3 + k + j), Stay)) [0..<k])
  unfolding cmdL7-def by auto

show act (cmdL7 jj (read tps) [!] j) (tps ! j) = tps' ! j if j < 2 * k + 3 for j
proof -
  consider j = 0 | j = 1 | j = 2 | 3 ≤ j ∧ j < k + 3 | k + 3 ≤ j ∧ j < 2 * k + 3
  using ⟨j < 2 * k + 3⟩ by linarith
  then show ?thesis
  proof (cases)
    case 1
    then have act (cmdL7 jj (read tps) [!] j) (tps ! j) = act (cmdL7 jj (read tps) [!] 0) (tps ! 0)
      by simp
    also have ... = act (rs ! 0, Stay) (tps ! 0)
      using * rs-def by simp
    also have ... = tps ! 0
      using act-Stay len rs-def by simp
    also have ... = tps' ! 0
      using assms(2,7) tpsL-0 by simp
    also have ... = tps' ! j
      using 1 by simp
    finally show ?thesis .
  next
    case 2
    then have act (cmdL7 jj (read tps) [!] j) (tps ! j) = act (cmdL7 jj (read tps) [!] 1) (tps ! 1)
      by simp
    also have ... = act (rs ! 1, Left) (tps ! 1)
      using * rs-def by simp
    also have ... = tps' ! 1
      using assms rs-def tpsL-1 2 act-Left fst-conv len that tpsL-pos-1 by metis
    finally show ?thesis
      using 2 by simp
  next
    case 3
    then have act (cmdL7 jj (read tps) [!] j) (tps ! j) = act (cmdL7 jj (read tps) [!] 2) (tps ! 2)
      by simp
    also have ... = act (rs ! 2, Stay) (tps ! 2)
      using * rs-def by simp
    also have ... = tps ! 2
      using act-Stay len rs-def by simp
    also have ... = tps' ! 2
      using assms(2,7) tpsL-2 by simp
  end
end

```



```

also have ... = tps' ! j
  using 3 by simp
finally show ?thesis .
next
case 4
then have act (cmdL7 jj (read tps) [!] j) (tps ! j) = act (rs ! j, Stay) (tps ! j)
  using * rs-def threepius2k-2[where ?a=(rs ! 0, Stay)] 4 diff-add-inverse by auto
also have ... = tps' ! j
  using 4 assms(2,7) act-Stay len rs-def that tpsL-mvs' by (smt (verit) add.commute le-add-diff-inverse2)
finally show ?thesis .
next
case 5
then have act (cmdL7 jj (read tps) [!] j) (tps ! j) = act (rs ! j, Stay) (tps ! j)
  using * rs-def threepius2k-3[where ?a=(rs ! 0, Stay)] by simp
also have ... = tps ! j
  using len act-Stay rs-def that by simp
also have ... = tps' ! j
  using assms(2,7) tpsL-syms' 5 by simp
finally show ?thesis .
qed
qed
qed

```

lemma *execute-tmL67-4*:

```

assumes jj < k
  and tps = tpsL t xs (fmt n) 1 (λj. sim-move t ! j) (λj. sim-write t ! j)
  and length xs = k
  and xs ! jj = (1, Some 0)
  and sim-move t ! jj = 0
  and exec t <#> jj > 0
  and tt ≥ Suc (Suc (TT - exec t <#> jj))
  and tt ≤ TT
  and tps' = tpsL t (xs[jj:=(1, Some 1)]) (fmt n - tt) 1 (λj. sim-move t ! j) (λj. sim-write t ! j)
shows execute (tmL67 jj) (0, tps) tt = (if TT - tt = 0 then 1 else 0, tps')
using assms(7,8,9)
proof (induction tt arbitrary: tps' rule: nat-induct-at-least)
case base
let ?tt = TT - exec t <#> jj
let ?xs = xs[jj:=(1, Some 1)]
let ?tps = tpsL t ?xs (fmt n - Suc ?tt) 1 (λj. sim-move t ! j) (λj. sim-write t ! j)
have execute (tmL67 jj) (0, tps) (Suc (Suc ?tt)) = exe (tmL67 jj) (execute (tmL67 jj) (0, tps) (Suc ?tt))
  by simp
also have ... = exe (tmL67 jj) (if fmt n - ?tt = 0 then 1 else 0, ?tps)
  using execute-tmL67-3 assms by simp
also have ... = sem (cmdL7 jj) (0, ?tps)
  using tmL67-def base exe-lt-length by simp
finally show ?case
  using sem-cmdL7-4 assms base.prem(2) by simp
next
case (Suc tt)
let ?tt = TT - exec t <#> jj
let ?xs = xs[jj:=(1, Some 1)]
let ?tps = tpsL t ?xs (fmt n - tt) 1 (λj. sim-move t ! j) (λj. sim-write t ! j)
have execute (tmL67 jj) (0, tps) (Suc tt) = exe (tmL67 jj) (execute (tmL67 jj) (0, tps) tt)
  by simp
also have ... = exe (tmL67 jj) (if Suc (fmt n) - tt = 0 then 1 else 0, ?tps)
  using Suc by simp
also have ... = sem (cmdL7 jj) (0, ?tps)
  using Suc.prem(1) exe-lt-length tmL67-def by auto
finally show ?case
  using assms sem-cmdL7-4 Suc by simp
qed

```

**lemma** *tmL67-left-gt-0*:

**assumes**  $jj < k$

**and**  $tps = tpsL\ t\ xs\ (fmt\ n)\ 1\ (\lambda j.\ sim-move\ t!\ j)\ (\lambda j.\ sim-write\ t!\ j)$

**and**  $length\ xs = k$

**and**  $xs!\ jj = (1,\ Some\ 0)$

**and**  $sim-move\ t!\ jj = 0$

**and**  $exec\ t\ <\#\>\ jj > 0$

**and**  $tps' = tpsL\ t\ (xs[jj:= (1,\ Some\ 1)])\ 0\ 1\ (\lambda j.\ sim-move\ t!\ j)\ (\lambda j.\ sim-write\ t!\ j)$

**shows**  $traces\ (tmL67\ jj)\ tps\ esL67\ tps'$

**proof**

**show**  $1: execute\ (tmL67\ jj)\ (0,\ tps)\ (length\ esL67) = (length\ (tmL67\ jj),\ tps')$

**proof** (*cases*  $exec\ t\ <\#\>\ jj = 1$ )

**case** *True*

**then show** *?thesis*

**using**  $assms(7)\ execute-tmL67-3[OF\ assms(1-6)]\ esL67-def\ tmL67-def$  **by** *simp*

**next**

**case** *False*

**then have**  $TT \geq Suc\ (Suc\ (TT - exec\ t\ <\#\>\ jj))$

**using**  $assms(1,6,7)\ exec-pos-less-TT$

**by** (*metis*  $Suc-leI\ add-diff-cancel-right'\ diff-diff-cancel\ diff-less\ nat-less-le\ plus-1-eq-Suc\ zero-less-Suc$ )

**then show** *?thesis*

**using**  $assms(7)\ execute-tmL67-4[OF\ assms(1-6),\ where\ ?tt=TT]\ esL67-def\ tmL67-def$  **by** *simp*

**qed**

**show**  $fst\ (execute\ (tmL67\ jj)\ (0,\ tps)\ tt) < length\ (tmL67\ jj)$  **if**  $tt < length\ esL67$  **for**  $tt$

**proof** –

**have**  $tt < TT$

**using** *that*  $esL67-def$  **by** *simp*

**then consider**

$tt < TT - exec\ t\ <\#\>\ jj$

|  $tt = TT - exec\ t\ <\#\>\ jj$

|  $tt = Suc\ (TT - exec\ t\ <\#\>\ jj)$

|  $tt \geq Suc\ (Suc\ (TT - exec\ t\ <\#\>\ jj))$

**by** *linarith*

**then show** *?thesis*

**proof** (*cases*)

**case** *1*

**then show** *?thesis*

**using**  $assms\ execute-tmL67-1\ tmL67-def$  **by** *simp*

**next**

**case** *2*

**then show** *?thesis*

**using**  $assms\ execute-tmL67-2a\ tmL67-def$  **by** *simp*

**next**

**case** *3*

**then show** *?thesis*

**using**  $assms\ execute-tmL67-3\ tmL67-def\ \langle tt < TT \rangle$  **by** *simp*

**next**

**case** *4*

**then show** *?thesis*

**using**  $assms\ execute-tmL67-4\ tmL67-def\ \langle tt < TT \rangle$  **by** *simp*

**qed**

**qed**

**show**  $execute\ (tmL67\ jj)\ (0,\ tps)\ (Suc\ tt) <\#\>\ 0 = fst\ (esL67!\ tt) \wedge$

$execute\ (tmL67\ jj)\ (0,\ tps)\ (Suc\ tt) <\#\>\ 1 = snd\ (esL67!\ tt)$

**if**  $tt < length\ esL67$  **for**  $tt$

**proof** –

**have**  $*$ :  $Suc\ tt \leq TT$

**using** *that*  $esL67-def$  **by** *simp*

**then consider**

$Suc\ tt < TT - exec\ t\ <\#\>\ jj$

|  $Suc\ tt = TT - exec\ t\ <\#\>\ jj$

|  $Suc\ tt = Suc\ (TT - exec\ t\ <\#\>\ jj)$

|  $Suc\ tt \geq Suc\ (Suc\ (TT - exec\ t\ <\#\>\ jj))$

```

    using esL67-def ‹tt < length esL67› by linarith
  then show ?thesis
  proof (cases)
    case 1
    then show ?thesis
      using execute-tmL67-1[OF assms(1-5), where ?tt=Suc tt] tmL67-def tpsL-pos-0 tpsL-pos-1 *
      by simp
    next
    case 2
    then show ?thesis
      using assms execute-tmL67-2a[OF assms(1-6), where ?tt=Suc tt] tmL67-def tpsL-pos-0 tpsL-pos-1 *
      by simp
    next
    case 3
    then show ?thesis
      using assms(6) execute-tmL67-3[OF assms(1-6), where ?tt=tt] tmL67-def tpsL-pos-0 tpsL-pos-1 *
      by (smt (verit, ccfv-threshold) add commute diff-Suc-1 diff-diff-left diff-is-0-eq
          esL67-at-fmtn esL67-at-lt-fmtn nat-less-le plus-1-eq-Suc prod.collapse prod.inject)
    next
    case 4
    then show ?thesis
      using assms(7) execute-tmL67-4[OF assms(1-6) - *] * tmL67-def tpsL-pos-0 tpsL-pos-1 1 esL67-at-fmtn
      esL67-at-lt-fmtn esL67-def
      by (smt (verit, best) One-nat-def Suc-diff-Suc add commute diff-Suc-1 fst-conv le-neq-implies-less
          length-append length-map length-rev length-upt list.size(3) list.size(4) minus-nat.diff-0 not-less-eq
          plus-1-eq-Suc snd-conv)
  qed
qed
qed

```

lemma tmL67-left:

```

  assumes jj < k
    and tps = tpsL t xs (fmt n) 1 (λj. sim-move t ! j) (λj. sim-write t ! j)
    and length xs = k
    and xs ! jj = (1, Some 0)
    and sim-move t ! jj = 0
    and tps' = tpsL t (xs[jj:=(1, Some 1)]) 0 1 (λj. sim-move t ! j) (λj. sim-write t ! j)
  shows traces (tmL67 jj) tps esL67 tps'
  using assms tmL67-left-0 tmL67-left-gt-0 by (cases exec t <#> jj = 0) simp-all

```

definition esL47 ≡ esL46 @ esL67

lemma len-esL47: length esL47 = 2 \* TT + 2

```

  using len-esL46 esL47-def esL67-def by simp

```

lemma tmL47-nonleft:

```

  assumes jj < k
    and tps = tpsL t xs 0 1 (λj. sim-move t ! j) (λj. sim-write t ! j)
    and length xs = k
    and xs ! jj = (0, Some 0)
    and sim-move t ! jj ≠ 0
    and tps' = tpsL t (xs[jj:=(1, Some 0)]) 0 1 (λj. sim-move t ! j) (λj. sim-write t ! j)
  shows traces (tmL47 jj) tps esL47 tps'
  unfolding tmL47-def esL47-def
  using assms
  by (intro traces-sequential[OF tmL46 tmL67-nonleft]) simp-all

```

lemma tmL47-left:

```

  assumes jj < k
    and tps = tpsL t xs 0 1 (λj. sim-move t ! j) (λj. sim-write t ! j)
    and length xs = k
    and xs ! jj = (0, Some 0)
    and sim-move t ! jj = 0

```

**and**  $tps' = tpsL\ t\ xs[jj:= (1, Some\ 1)]\ 0\ 1\ (\lambda j. sim-move\ t!\ j)\ (\lambda j. sim-write\ t!\ j)$   
**shows**  $traces\ (tmL47\ jj)\ tps\ esL47\ tps'$   
**unfolding**  $tmL47-def\ esL47-def$   
**using**  $assms$   
**by**  $(intro\ traces-sequential[OF\ tmL46\ tmL67-left[where\ ?xs=x[jj:= (1, Some\ 0)]])\ simp-all$

**lemma**  $sem-cmdL8-nonright$ :

**assumes**  $jj < k$   
**and**  $tps = tpsL\ t\ xs\ i\ 1\ (\lambda j. sim-move\ t!\ j)\ (\lambda j. sim-write\ t!\ j)$   
**and**  $length\ xs = k$   
**and**  $i < TT$   
**and**  $sim-move\ t!\ jj \neq 2$   
**and**  $tps' = tpsL\ t\ xs\ (Suc\ i)\ 1\ (\lambda j. sim-move\ t!\ j)\ (\lambda j. sim-write\ t!\ j)$   
**shows**  $sem\ (cmdL8\ jj)\ (0, tps) = (0, tps')$   
**proof**  $(rule\ semI[of\ 2 * k + 3])$   
**show**  $proper-command\ (2 * k + 3)\ (cmdL8\ jj)$   
**using**  $turing-command-cmdL8[OF\ assms(1)]\ turing-commandD(1)$  **by**  $simp$   
**show**  $len: length\ tps = 2 * k + 3$   
**using**  $assms(2)$  **by**  $simp$   
**show**  $length\ tps' = 2 * k + 3$   
**using**  $assms(6)$  **by**  $simp$   
**define**  $rs$  **where**  $rs = read\ tps$   
**then** **have**  $rs!\ 1 \neq \square$   
**using**  $assms$  **by**  $(metis\ not-one-less-zero\ read-tpsL-1-bounds(1))$   
**then** **show**  $fst\ (cmdL8\ jj\ rs) = 0$   
**unfolding**  $cmdL8-def$  **by**  $simp$

**have**  $rs!\ (3 + jj) = sim-move\ t!\ jj$   
**using**  $rs-def\ assms(1,2)\ read-tpsL-3$  **by**  $simp$   
**moreover** **have**  $sim-move\ t!\ jj < 3$   
**using**  $sim-move-def\ assms(1)\ direction-to-symbol-less\ sim-move-nth\ sim-move-nth-else$   
**by**  $(metis\ One-nat-def\ not-add-less2\ not-less-eq\ numeral-3-eq-3\ plus-1-eq-Suc)$   
**ultimately** **have**  $condition8d\ rs\ jj$   
**using**  $assms(5)\ \langle rs!\ 1 \neq \square \rangle$  **by**  $simp$   
**then** **have**  $*: snd\ (cmdL8\ jj\ rs) =$   
 $[(rs!\ 0, Stay),$   
 $(rs!\ 1, Right),$   
 $(rs!\ 2, Stay)]\ @$   
 $(map\ (\lambda j. (rs!\ (j + 3), Stay))\ [0..<k])\ @$   
 $(map\ (\lambda j. (rs!\ (3 + k + j), Stay))\ [0..<k])$   
**unfolding**  $cmdL8-def$  **by**  $auto$

**show**  $act\ (cmdL8\ jj\ (read\ tps)\ [!]\ j)\ (tps!\ j) = tps'!\ j$  **if**  $j < 2 * k + 3$  **for**  $j$

**proof**  $-$

**consider**  $j = 0 \mid j = 1 \mid j = 2 \mid 3 \leq j \wedge j < k + 3 \mid k + 3 \leq j \wedge j < 2 * k + 3$

**using**  $\langle j < 2 * k + 3 \rangle$  **by**  $linarith$

**then** **show**  $?thesis$

**proof**  $(cases)$

**case**  $1$

**then** **show**  $?thesis$

**by**  $(metis\ * act-Stay\ append.simps(2)\ assms(2)\ assms(6)\ len\ nth-Cons-0\ rs-def\ that\ tpsL-0)$

**next**

**case**  $2$

**then** **have**  $act\ (cmdL8\ jj\ (read\ tps)\ [!]\ j)\ (tps!\ j) = act\ (cmdL8\ jj\ (read\ tps)\ [!]\ 1)\ (tps!\ 1)$

**by**  $simp$

**also** **have**  $\dots = act\ (rs!\ 1, Right)\ (tps!\ 1)$

**using**  $*\ rs-def$  **by**  $simp$

**also** **have**  $\dots = tps'!\ 1$

**using**  $act-Right\ len\ rs-def\ assms\ tpsL-1\ that\ tpsL-pos-1$

**by**  $(metis\ 2\ add.commute\ fst-conv\ plus-1-eq-Suc)$

**also** **have**  $\dots = tps'!\ j$

**using**  $2$  **by**  $simp$

**finally** **show**  $?thesis$  .

```

next
  case 3
  then have act (cmdL8 jj (read tps) [!] j) (tps ! j) = act (cmdL8 jj (read tps) [!] 2) (tps ! 2)
    by simp
  also have ... = act (rs ! 2, Stay) (tps ! 2)
    using * rs-def by simp
  also have ... = tps ! 2
    using act-Stay len rs-def by simp
  also have ... = tps' ! 2
    using assms(2,6) tpsL-2 by simp
  also have ... = tps' ! j
    using 3 by simp
  finally show ?thesis .
next
  case 4
  then have act (cmdL8 jj (read tps) [!] j) (tps ! j) = act (rs ! j, Stay) (tps ! j)
    using * rs-def threepus2k-2[where ?a=(rs ! 0, Stay)] by simp
  also have ... = tps ! j
    using len act-Stay rs-def that by simp
  also have ... = tps' ! j
    using assms(2,6) tpsL-mvs' 4 by simp
  finally show ?thesis .
next
  case 5
  then have act (cmdL8 jj (read tps) [!] j) (tps ! j) = act (rs ! j, Stay) (tps ! j)
    using * rs-def threepus2k-3[where ?a=(rs ! 0, Stay)] by simp
  also have ... = tps ! j
    using len act-Stay rs-def that by simp
  also have ... = tps' ! j
    using assms(2,6) tpsL-symb's' 5 by simp
  finally show ?thesis .
qed
qed
qed

lemma sem-cmdL8-TT:
  assumes jj < k
  and tps = tpsL t xs i 1 (λj. sim-move t ! j) (λj. sim-write t ! j)
  and length xs = k
  and i = TT
  shows sem (cmdL8 jj) (0, tps) = (1, tps)
proof (rule semI[of 2 * k + 3])
  show proper-command (2 * k + 3) (cmdL8 jj)
    using turing-command-cmdL8[OF assms(1)] turing-commandD(1) by simp
  show len: length tps = 2 * k + 3
    using assms(2) by simp
  show length tps = 2 * k + 3
    using assms(2) by simp
  define rs where rs = read tps
  then have rs ! 1 = □
    using assms read-tpsL-1 by simp
  then show fst (cmdL8 jj rs) = 1
    unfolding cmdL8-def by simp

  have rs ! (3 + jj) = sim-move t ! jj
    using rs-def assms(1,2) read-tpsL-3 by simp
  moreover have sim-move t ! jj < 3
    using sim-move-def assms(1) direction-to-symbol-less sim-move-nth sim-move-nth-else
    by (metis One-nat-def not-add-less2 not-less-eq numeral-3-eq-3 plus-1-eq-Suc)
  ultimately have condition8c rs jj
    using ⟨rs ! 1 = □⟩ by simp
  then have *: snd (cmdL8 jj rs) =
    [(rs ! 0, Stay),

```

```

(rs ! 1, Stay),
(rs ! 2, Stay)] @
(map (λj. (rs ! (j + 3), Stay)) [0..<k]) @
(map (λj. (rs ! (3 + k + j), Stay)) [0..<k])
unfolding cmdL8-def by simp

```

**show**  $act\ (cmdL8\ jj\ (read\ tps)\ [!]\ j)\ (tps\ !\ j) = tps\ !\ j$  **if**  $j < 2 * k + 3$  **for**  $j$   
**proof** –

```

consider  $j = 0 \mid j = 1 \mid j = 2 \mid 3 \leq j \wedge j < k + 3 \mid k + 3 \leq j \wedge j < 2 * k + 3$ 
using  $\langle j < 2 * k + 3 \rangle$  by linarith
then show ?thesis
using * act-Stay len rs-def
threeplus2k-2[where  $?a=(rs\ !\ 0,\ Stay)$ ] threeplus2k-3[where  $?a=(rs\ !\ 0,\ Stay)$ ]
by (cases) simp-all

```

**qed**

**qed**

**lemma** *execute-tmL78-nonright-le-TT*:

```

assumes  $jj < k$ 
and  $tps = tpsL\ t\ xs\ 0\ 1\ (\lambda j.\ sim-move\ t\ !\ j)\ (\lambda j.\ sim-write\ t\ !\ j)$ 
and  $length\ xs = k$ 
and  $sim-move\ t\ !\ jj \neq 2$ 
and  $tt \leq TT$ 
and  $tps' = tpsL\ t\ xs\ tt\ 1\ (\lambda j.\ sim-move\ t\ !\ j)\ (\lambda j.\ sim-write\ t\ !\ j)$ 
shows  $execute\ (tmL78\ jj)\ (0,\ tps)\ tt = (0,\ tps')$ 

```

**using** *assms(5,6)*

**proof** (*induction tt arbitrary: tps'*)

**case**  $0$

**then show** *?case*

**using** *assms(1-4)* **by** *simp*

**next**

**case** (*Suc tt*)

**then have**  $tt < TT$

**by** *simp*

**have**  $execute\ (tmL78\ jj)\ (0,\ tps)\ (Suc\ tt) = exe\ (tmL78\ jj)\ (execute\ (tmL78\ jj)\ (0,\ tps)\ tt)$

**by** *simp*

**also have**  $\dots = exe\ (tmL78\ jj)\ (0,\ tpsL\ t\ xs\ tt\ 1\ (\lambda j.\ sim-move\ t\ !\ j)\ (\lambda j.\ sim-write\ t\ !\ j))$

**using** *Suc* **by** *simp*

**also have**  $\dots = sem\ (cmdL8\ jj)\ (0,\ tpsL\ t\ xs\ tt\ 1\ (\lambda j.\ sim-move\ t\ !\ j)\ (\lambda j.\ sim-write\ t\ !\ j))$

**using** *tmL78-def exe-lt-length* **by** *simp*

**finally show** *?case*

**using** *sem-cmdL8-nonright[OF assms(1) - assms(3) <tt < TT> assms(4)] Suc* **by** *simp*

**qed**

**lemma** *execute-tmL78-nonright-eq-Suc-TT*:

**assumes**  $jj < k$

**and**  $tps = tpsL\ t\ xs\ 0\ 1\ (\lambda j.\ sim-move\ t\ !\ j)\ (\lambda j.\ sim-write\ t\ !\ j)$

**and**  $length\ xs = k$

**and**  $sim-move\ t\ !\ jj \neq 2$

**and**  $tps' = tpsL\ t\ xs\ TT\ 1\ (\lambda j.\ sim-move\ t\ !\ j)\ (\lambda j.\ sim-write\ t\ !\ j)$

**shows**  $execute\ (tmL78\ jj)\ (0,\ tps)\ (Suc\ TT) = (1,\ tps')$

**using** *assms sem-cmdL8-TT execute-tmL78-nonright-le-TT*[**where**  $?tt=TT$ ] *exe-lt-length tmL78-def*

**by** *simp*

**definition**  $esL78 \equiv map\ (\lambda i.\ (n + 1,\ Suc\ i))\ ([0..<TT])\ @\ [(n + 1,\ TT)]$

**lemma** *tmL78-nonright*:

**assumes**  $jj < k$

**and**  $tps = tpsL\ t\ xs\ 0\ 1\ (\lambda j.\ sim-move\ t\ !\ j)\ (\lambda j.\ sim-write\ t\ !\ j)$

**and**  $length\ xs = k$

**and**  $sim-move\ t\ !\ jj \neq 2$

**and**  $tps' = tpsL\ t\ xs\ TT\ 1\ (\lambda j.\ sim-move\ t\ !\ j)\ (\lambda j.\ sim-write\ t\ !\ j)$

**shows**  $traces\ (tmL78\ jj)\ tps\ esL78\ tps'$

**proof**

**have**  $len: length\ esL78 = Suc\ TT$   
**using**  $esL78-def$  **by**  $simp$   
**then show**  $1: execute\ (tmL78\ jj)\ (0, tps)\ (length\ esL78) = (length\ (tmL78\ jj), tps')$   
**using**  $assms\ tmL78-def\ execute-tmL78-nonright-eq-Suc-TT$  **by**  $simp$   
**show**  $\bigwedge i. i < length\ esL78 \implies$   
 $\quad fst\ (execute\ (tmL78\ jj)\ (0, tps)\ i) < length\ (tmL78\ jj)$   
**using**  $len\ assms\ execute-tmL78-nonright-le-TT\ tmL78-def$  **by**  $simp$   
**show**  $(execute\ (tmL78\ jj)\ (0, tps)\ (Suc\ i)) <\#\> 0 = fst\ (esL78\ !\ i) \wedge$   
 $\quad (execute\ (tmL78\ jj)\ (0, tps)\ (Suc\ i)) <\#\> 1 = snd\ (esL78\ !\ i)$   
**if**  $i < length\ esL78$  **for**  $i$   
**proof**  $(cases\ i = TT)$   
**case**  $True$   
**then have**  $esL78\ !\ i = (n + 1, TT)$   
**using**  $esL78-def$  **by**  $(simp\ add: nth-append)$   
**then show**  $?thesis$   
**using**  $assms\ that\ tpsL-pos-0\ tpsL-pos-1\ len\ 1\ True$  **by**  $simp$   
**next**  
**case**  $False$   
**then have**  $i < TT$   
**using**  $that\ len$  **by**  $simp$   
**moreover from**  $this$  **have**  $esL78\ !\ i = (n + 1, Suc\ i)$   
**using**  $esL78-def\ nth-map-upt-TT$  **by**  $auto$   
**ultimately show**  $?thesis$   
**using**  $tpsL-pos-0\ tpsL-pos-1\ assms(1-4)\ execute-tmL78-nonright-le-TT$   
**by**  $(metis\ Suc-leI\ fst-conv\ snd-conv)$   
**qed**  
**qed**

**lemma**  $sem-cmdL8-1:$

**assumes**  $jj < k$   
**and**  $tps = tpsL\ t\ xs\ i\ 1\ (\lambda j. sim-move\ t\ !\ j)\ (\lambda j. sim-write\ t\ !\ j)$   
**and**  $length\ xs = k$   
**and**  $xs\ !\ jj = (1, Some\ 0)$   
**and**  $i < exec\ t\ <\#\> jj$   
**and**  $sim-move\ t\ !\ jj = 2$   
**and**  $tps' = tpsL\ t\ xs\ (Suc\ i)\ 1\ (\lambda j. sim-move\ t\ !\ j)\ (\lambda j. sim-write\ t\ !\ j)$   
**shows**  $sem\ (cmdL8\ jj)\ (0, tps) = (0, tps')$   
**proof**  $(rule\ semI[of\ 2 * k + 3])$   
**show**  $proper-command\ (2 * k + 3)\ (cmdL8\ jj)$   
**using**  $turing-command-cmdL8[OF\ assms(1)]\ turing-commandD(1)$  **by**  $simp$   
**show**  $len: length\ tps = 2 * k + 3$   
**using**  $assms(2)$  **by**  $simp$   
**show**  $length\ tps' = 2 * k + 3$   
**using**  $assms(7)$  **by**  $simp$   
  
**have**  $i < TT$   
**using**  $assms\ exec-pos-less-TT$  **by**  $(meson\ Suc-less-eq\ less-SucI\ less-trans-Suc)$

**define**  $rs$  **where**  $rs = read\ tps$

**then have**  $rs\ !\ 1 \neq \square$

**using**  $assms\ \langle i < TT \rangle$  **by**  $(metis\ not-one-less-zero\ read-tpsL-1-bounds(1))$

**then show**  $fst\ (cmdL8\ jj\ rs) = 0$

**unfolding**  $cmdL8-def$  **by**  $simp$

**have**  $rs\ !\ (3 + jj) = sim-move\ t\ !\ jj$

**using**  $rs-def\ assms(1,2)\ read-tpsL-3$  **by**  $simp$

**moreover have**  $sim-move\ t\ !\ jj = 2$

**using**  $sim-move-def\ assms(1,6)\ direction-to-symbol-less\ sim-move-nth\ sim-move-nth-else$   
**by**  $simp$

**moreover have**  $enc-nth\ (rs\ !\ 1)\ (k + jj) = 0$

**using**  $assms\ rs-def\ read-tpsL-1-nth-less-2k[OF\ \langle i < TT \rangle, of\ k + jj]$  **by**  $simp$

**ultimately have**  $condition8d\ rs\ jj$

```

using assms ⟨rs ! 1 ≠ □⟩ by simp
then have *: snd (cmdL8 jj rs) =
  [(rs ! 0, Stay),
   (rs ! 1, Right),
   (rs ! 2, Stay)] @
  (map (λj. (rs ! (j + 3), Stay)) [0..<k]) @
  (map (λj. (rs ! (3 + k + j), Stay)) [0..<k])
unfolding cmdL8-def by auto

show act (cmdL8 jj (read tps) [!] j) (tps ! j) = tps' ! j if j < 2 * k + 3 for j
proof -
consider j = 0 | j = 1 | j = 2 | 3 ≤ j ∧ j < k + 3 | k + 3 ≤ j ∧ j < 2 * k + 3
  using ⟨j < 2 * k + 3⟩ by linarith
then show ?thesis
proof (cases)
  case 1
  then show ?thesis
  using * act-Stay append.simps(2) assms len nth-Cons-0 rs-def that tpsL-0 by metis
next
  case 2
  then have act (cmdL8 jj (read tps) [!] j) (tps ! j) = act (cmdL8 jj (read tps) [!] 1) (tps ! 1)
    by simp
  also have ... = act (rs ! 1, Right) (tps ! 1)
    using * rs-def by simp
  also have ... = tps' ! 1
    using act-Right len rs-def assms tpsL-1 that tpsL-pos-1
    by (metis 2 add.commute fst-conv plus-1-eq-Suc)
  also have ... = tps' ! j
    using 2 by simp
  finally show ?thesis .
next
  case 3
  then have act (cmdL8 jj (read tps) [!] j) (tps ! j) = act (cmdL8 jj (read tps) [!] 2) (tps ! 2)
    by simp
  also have ... = act (rs ! 2, Stay) (tps ! 2)
    using * rs-def by simp
  also have ... = tps ! 2
    using act-Stay len rs-def by simp
  also have ... = tps' ! 2
    using assms(2,7) tpsL-2 by simp
  also have ... = tps' ! j
    using 3 by simp
  finally show ?thesis .
next
  case 4
  then have act (cmdL8 jj (read tps) [!] j) (tps ! j) = act (rs ! j, Stay) (tps ! j)
    using * rs-def threeplus2k-2[where ?a=(rs ! 0, Stay)] by simp
  also have ... = tps ! j
    using len act-Stay rs-def that by simp
  also have ... = tps' ! j
    using assms(2,7) tpsL-mvs' 4 by simp
  finally show ?thesis .
next
  case 5
  then have act (cmdL8 jj (read tps) [!] j) (tps ! j) = act (rs ! j, Stay) (tps ! j)
    using * rs-def threeplus2k-3[where ?a=(rs ! 0, Stay)] by simp
  also have ... = tps ! j
    using len act-Stay rs-def that by simp
  also have ... = tps' ! j
    using assms(2,7) tpsL-symb's' 5 by simp
  finally show ?thesis .
qed
qed

```



qed

lemma *execute-tmL78-1*:

assumes  $jj < k$   
and  $tps = tpsL\ t\ xs\ 0\ 1$  ( $\lambda j.$  *sim-move*  $t\ !\ j$ ) ( $\lambda j.$  *sim-write*  $t\ !\ j$ )  
and  $length\ xs = k$   
and  $xs\ !\ jj = (1, Some\ 0)$   
and *sim-move*  $t\ !\ jj = 2$   
and  $tt \leq exec\ t\ <\#\>\ jj$   
and  $tps' = tpsL\ t\ xs\ tt\ 1$  ( $\lambda j.$  *sim-move*  $t\ !\ j$ ) ( $\lambda j.$  *sim-write*  $t\ !\ j$ )  
shows *execute* (*tmL78*  $jj$ ) ( $0, tps$ )  $tt = (0, tps')$   
using *assms*(6,7)  
proof (*induction*  $tt$  *arbitrary*:  $tps'$ )  
case 0  
then show ?case  
using *assms*(1-5) **by** *simp*  
next  
case (*Suc*  $tt$ )  
then have  $tt < exec\ t\ <\#\>\ jj$   
by *simp*  
have *execute* (*tmL78*  $jj$ ) ( $0, tps$ ) (*Suc*  $tt$ ) = *exe* (*tmL78*  $jj$ ) (*execute* (*tmL78*  $jj$ ) ( $0, tps$ )  $tt$ )  
by *simp*  
also have ... = *exe* (*tmL78*  $jj$ ) ( $0, tpsL\ t\ xs\ tt\ 1$ ) ( $\lambda j.$  *sim-move*  $t\ !\ j$ ) ( $\lambda j.$  *sim-write*  $t\ !\ j$ )  
using *Suc* **by** *simp*  
also have ... = *sem* (*cmdL8*  $jj$ ) ( $0, tpsL\ t\ xs\ tt\ 1$ ) ( $\lambda j.$  *sim-move*  $t\ !\ j$ ) ( $\lambda j.$  *sim-write*  $t\ !\ j$ )  
using *exe-lt-length* *tmL78-def* **by** *simp*  
finally show ?case  
using *assms*(1-5) *sem-cmdL8-1* [**where**  $?i=tt$ ] *Suc* **by** *simp*  
qed

lemma *sem-cmdL8-2*:

assumes  $jj < k$   
and  $tps = tpsL\ t\ xs\ i\ 1$  ( $\lambda j.$  *sim-move*  $t\ !\ j$ ) ( $\lambda j.$  *sim-write*  $t\ !\ j$ )  
and  $length\ xs = k$   
and  $xs\ !\ jj = (1, Some\ 0)$   
and  $i = exec\ t\ <\#\>\ jj$   
and *sim-move*  $t\ !\ jj = 2$   
and  $tps' = tpsL\ t\ (xs[jj:= (1, None)])\ (Suc\ i)\ 1$  ( $\lambda j.$  *if*  $j = jj$  *then* 3 *else* *sim-move*  $t\ !\ j$ ) ( $\lambda j.$  *sim-write*  $t\ !\ j$ )  
shows *sem* (*cmdL8*  $jj$ ) ( $0, tps$ ) = ( $0, tps'$ )  
proof (*rule* *semI*[*of*  $2 * k + 3$ ])  
show *proper-command* ( $2 * k + 3$ ) (*cmdL8*  $jj$ )  
using *turing-command-cmdL8*[*OF* *assms*(1)] *turing-commandD*(1) **by** *simp*  
show *len*:  $length\ tps = 2 * k + 3$   
using *assms*(2) **by** *simp*  
show  $length\ tps' = 2 * k + 3$   
using *assms*(7) **by** *simp*

have  $i < TT$

using *assms* *exec-pos-less-TT* **by** (*meson* *Suc-less-eq* *less-SucI* *less-trans-Suc*)

define  $rs$  **where**  $rs = read\ tps$

then have  $rs\ !\ 1 \neq \square$

using *assms*  $\langle i < TT \rangle$  **by** (*metis* *not-one-less-zero* *read-tpsL-1-bounds*(1))

then show *fst* (*cmdL8*  $jj$   $rs$ ) = 0

unfolding *cmdL8-def* **by** *simp*

have  $rs\ !\ (3 + jj) = 2$

using *rs-def* *read-tpsL-3* *assms* **by** *simp*

moreover have *enc-nth* ( $rs\ !\ 1$ ) ( $k + jj$ ) = 1

using *assms* *rs-def* *read-tpsL-1-nth-less-2k*[*OF*  $\langle i < TT \rangle$ ] **by** *simp*

ultimately have *condition8a*  $rs\ jj$

using  $\langle i < TT \rangle$  *assms*(2) *read-tpsL-1-bounds* *rs-def* **by** *simp*

then have \*: *snd* (*cmdL8*  $jj$   $rs$ ) =

```

[(rs ! 0, Stay),
 (enc-upd (rs ! 1) (k + jj) 0, Right),
 (rs ! 2, Stay)] @
(map (λj. (if j = jj then 3 else rs ! (j + 3), Stay)) [0..<k]) @
(map (λj. (rs ! (3 + k + j), Stay)) [0..<k])
unfolding cmdL8-def by simp

```

**show**  $act\ (cmdL8\ jj\ (read\ tps)\ [!]\ j)\ (tps\ !\ j) = tps'\ !\ j$  **if**  $j < 2 * k + 3$  **for**  $j$

**proof** –

**consider**  $j = 0 \mid j = 1 \mid j = 2 \mid 3 \leq j \wedge j < k + 3 \mid k + 3 \leq j \wedge j < 2 * k + 3$   
**using**  $\langle j < 2 * k + 3 \rangle$  **by** *linarith*

**then show** *?thesis*

**proof** (*cases*)

**case** 1

**then have**  $act\ (cmdL8\ jj\ (read\ tps)\ [!]\ j)\ (tps\ !\ j) = act\ (cmdL8\ jj\ (read\ tps)\ [!]\ 0)\ (tps\ !\ 0)$

**by** *simp*

**also have**  $\dots = act\ (rs\ !\ 0,\ Stay)\ (tps\ !\ 0)$

**using**  $*$  *rs-def* **by** *simp*

**also have**  $\dots = tps'\ !\ 0$

**using** *act-Stay len rs-def* **by** *simp*

**also have**  $\dots = tps'\ !\ 0$

**using** *assms(2,7) tpsL-0* **by** *simp*

**also have**  $\dots = tps'\ !\ j$

**using** 1 **by** *simp*

**finally show** *?thesis* .

**next**

**case** 2

**then have**  $act\ (cmdL8\ jj\ (read\ tps)\ [!]\ j)\ (tps\ !\ j) = act\ (cmdL8\ jj\ (read\ tps)\ [!]\ 1)\ (tps\ !\ 1)$

**by** *simp*

**also have**  $\dots = act\ (enc-upd\ (rs\ !\ 1)\ (k + jj)\ 0,\ Right)\ (tps\ !\ 1)$

**using**  $*$  *rs-def* **by** *simp*

**also have**  $\dots = tps'\ !\ 1 \mid := \mid (enc-upd\ (rs\ !\ 1)\ (k + jj)\ 0) \mid + \mid 1$

**using** *act-Right' 2 len* **by** *simp*

**also have**  $\dots = tps'\ !\ 1$

**using** *assms zip-cont-enc-upd-None rs-def read-tpsL-1 tpsL-1 zip-cont-def* **by** *simp*

**finally show** *?thesis*

**using** 2 **by** *simp*

**next**

**case** 3

**then have**  $act\ (cmdL8\ jj\ (read\ tps)\ [!]\ j)\ (tps\ !\ j) = act\ (cmdL8\ jj\ (read\ tps)\ [!]\ 2)\ (tps\ !\ 2)$

**by** *simp*

**also have**  $\dots = act\ (rs\ !\ 2,\ Stay)\ (tps\ !\ 2)$

**using**  $*$  *rs-def* **by** *simp*

**also have**  $\dots = tps'\ !\ 2$

**using** *act-Stay len rs-def* **by** *simp*

**also have**  $\dots = tps'\ !\ 2$

**using** *assms(2,7) tpsL-2* **by** *simp*

**also have**  $\dots = tps'\ !\ j$

**using** 3 **by** *simp*

**finally show** *?thesis* .

**next**

**case** 4

**show** *?thesis*

**proof** (*cases*  $j = 3 + jj$ )

**case** *True*

**then have**  $act\ (cmdL8\ jj\ (read\ tps)\ [!]\ j)\ (tps\ !\ j) = act\ (3,\ Stay)\ (tps\ !\ j)$

**using**  $*$  *rs-def threepus2k-2* [**where**  $?a = (rs\ !\ 0,\ Stay)$ ] 4 *diff-add-inverse* **by** (*smt (verit)*)

**also have**  $\dots = tps'\ !\ j$

**using** 4 *assms(2,7) True act-onesie tpsL-mvs* **by** *simp*

**finally show** *?thesis* .

**next**

**case** *False*

**then have**  $act\ (cmdL8\ jj\ (read\ tps)\ [!]\ j)\ (tps\ !\ j) = act\ (rs\ !\ j,\ Stay)\ (tps\ !\ j)$

```

    using * rs-def threepius2k-2[where ?a=(rs ! 0, Stay)] 4 diff-add-inverse by auto
  also have ... = tps' ! j
    using 4 assms(2,7) False act-Stay len rs-def that tpsL-mvs'
    by (smt (verit) add commute le-add-diff-inverse2)
  finally show ?thesis .
qed
next
case 5
then have act (cmdL8 jj (read tps) [!] j) (tps ! j) = act (rs ! j, Stay) (tps ! j)
  using * rs-def threepius2k-3[where ?a=(rs ! 0, Stay)] by simp
also have ... = tps' ! j
  using len act-Stay rs-def that by simp
also have ... = tps' ! j
  using assms(2,7) tpsL-syms' 5 by simp
finally show ?thesis .
qed
qed
qed

lemma execute-tmL78-2:
  assumes jj < k
    and tps = tpsL t xs 0 1 (λj. sim-move t ! j) (λj. sim-write t ! j)
    and length xs = k
    and xs ! jj = (1, Some 0)
    and sim-move t ! jj = 2
    and tps' = tpsL t (xs[jj:=(1, None)]) (Suc (exec t <#> jj)) 1 (λj. if j = jj then 3 else sim-move t ! j) (λj.
sim-write t ! j)
  shows execute (tmL78 jj) (0, tps) (Suc (exec t <#> jj)) = (0, tps')
  using assms exe-lt-length tmL78-def execute-tmL78-1 sem-cmdL8-2 by simp

lemma sem-cmdL8-3:
  assumes jj < k
    and tps = tpsL t xs i 1 (λj. if j = jj then 3 else sim-move t ! j) (λj. sim-write t ! j)
    and length xs = k
    and xs ! jj = (1, None)
    and i = Suc (exec t <#> jj)
    and sim-move t ! jj = 2
    and tps' = tpsL t (xs[jj:=(1, Some 1)]) (Suc i) 1 (λj. sim-move t ! j) (λj. sim-write t ! j)
  shows sem (cmdL8 jj) (0, tps) = (0, tps')
proof (rule semI[of 2 * k + 3])
  show proper-command (2 * k + 3) (cmdL8 jj)
    using turing-command-cmdL8[OF assms(1)] turing-commandD(1) by simp
  show len: length tps = 2 * k + 3
    using assms(2) by simp
  show length tps' = 2 * k + 3
    using assms(7) by simp

  have i < TT
    using assms exec-pos-less-TT sim-move
    by (metis (no-types, lifting) add-2-eq-Suc' diff-Suc-1)
  moreover define rs where rs = read tps
  ultimately have rs ! 1 ≠ □
    by (metis (no-types, lifting) assms(2) not-one-less-zero read-tpsL-1-bounds(1))
  then show fst (cmdL8 jj (read tps)) = 0
    using cmdL8-def rs-def by simp

  have rs ! (3 + jj) = 3
    by (simp add: rs-def assms(1,2) add commute read-tpsL-3')
  moreover have is-code (rs ! 1)
    using assms rs-def read-tpsL-1-nth-less-2k ⟨i < TT⟩ read-tpsL-1-bounds by simp
  ultimately have condition7b rs jj
    using ⟨i < TT⟩ assms(2) read-tpsL-1-bounds rs-def by simp
  then have *: snd (cmdL8 jj rs) =

```

```

[(rs ! 0, Stay),
 (enc-upd (rs ! 1) (k + jj) 1, Right),
 (rs ! 2, Stay)] @
(map (λj. (if j = jj then 2 else rs ! (j + 3), Stay)) [0..<k]) @
(map (λj. (rs ! (3 + k + j), Stay)) [0..<k])
unfolding cmdL8-def by simp

```

**show**  $act\ (cmdL8\ jj\ (read\ tps)\ [!]\ j)\ (tps\ !\ j) = tps'\ !\ j$  **if**  $j < 2 * k + 3$  **for**  $j$

**proof** –

**consider**  $j = 0 \mid j = 1 \mid j = 2 \mid 3 \leq j \wedge j < k + 3 \mid k + 3 \leq j \wedge j < 2 * k + 3$   
**using**  $\langle j < 2 * k + 3 \rangle$  **by** *linarith*

**then show** *?thesis*

**proof** (*cases*)

**case** 1

**then have**  $act\ (cmdL8\ jj\ (read\ tps)\ [!]\ j)\ (tps\ !\ j) = act\ (cmdL8\ jj\ (read\ tps)\ [!]\ 0)\ (tps\ !\ 0)$

**by** *simp*

**also have**  $\dots = act\ (rs\ !\ 0,\ Stay)\ (tps\ !\ 0)$

**using**  $*$  *rs-def* **by** *simp*

**also have**  $\dots = tps'\ !\ 0$

**using** *act-Stay len rs-def* **by** *simp*

**also have**  $\dots = tps'\ !\ 0$

**using** *assms(2,7) tpsL-0* **by** *simp*

**also have**  $\dots = tps'\ !\ j$

**using** 1 **by** *simp*

**finally show** *?thesis* .

**next**

**case** 2

**then have**  $act\ (cmdL8\ jj\ (read\ tps)\ [!]\ j)\ (tps\ !\ j) = act\ (cmdL8\ jj\ (read\ tps)\ [!]\ 1)\ (tps\ !\ 1)$

**by** *simp*

**also have**  $\dots = act\ (enc-upd\ (rs\ !\ 1)\ (k + jj)\ 1,\ Right)\ (tps\ !\ 1)$

**using**  $*$  *rs-def* **by** *simp*

**also have**  $\dots = tps'\ !\ 1 \mid := \mid (enc-upd\ (rs\ !\ 1)\ (k + jj)\ 1) \mid + \mid 1$

**using** *act-Right' 2 len* **by** *simp*

**also have**  $\dots = tps'\ !\ 1$

**using** *assms zip-cont-enc-upd-Some-Right rs-def read-tpsL-1 tpsL-1 zip-cont-def* **by** *simp*

**finally show** *?thesis*

**using** 2 **by** *simp*

**next**

**case** 3

**then have**  $act\ (cmdL8\ jj\ (read\ tps)\ [!]\ j)\ (tps\ !\ j) = act\ (cmdL8\ jj\ (read\ tps)\ [!]\ 2)\ (tps\ !\ 2)$

**by** *simp*

**also have**  $\dots = act\ (rs\ !\ 2,\ Stay)\ (tps\ !\ 2)$

**using**  $*$  *rs-def* **by** *simp*

**also have**  $\dots = tps'\ !\ 2$

**using** *act-Stay len rs-def* **by** *simp*

**also have**  $\dots = tps'\ !\ 2$

**using** *assms(2,7) tpsL-2* **by** *simp*

**also have**  $\dots = tps'\ !\ j$

**using** 3 **by** *simp*

**finally show** *?thesis* .

**next**

**case** 4

**show** *?thesis*

**proof** (*cases*  $j = 3 + jj$ )

**case** *True*

**then have**  $act\ (cmdL8\ jj\ (read\ tps)\ [!]\ j)\ (tps\ !\ j) = act\ (2,\ Stay)\ (tps\ !\ j)$

**using**  $*$  *rs-def threeplus2k-2* [**where**  $?a = (rs\ !\ 0,\ Stay)$ ] 4

**by** (*smt* (*verit*, *ccfv-SIG*) *diff-add-inverse*)

**also have**  $\dots = tps'\ !\ j$

**using** 4 *assms(1,2,6,7) 4 True act-onesie tpsL-mvs* **by** *simp*

**finally show** *?thesis* .

**next**

**case** *False*

```

then have act (cmdL8 jj (read tps) [!] j) (tps ! j) = act (rs ! j, Stay) (tps ! j)
  using * rs-def threeplus2k-2[where ?a=(rs ! 0, Stay)] 4 diff-add-inverse by auto
also have ... = tps' ! j
  using 4 assms(2,7) False act-Stay len rs-def that tpsL-mvs'
  by (smt (verit) add commute le-add-diff-inverse2)
finally show ?thesis .
qed
next
case 5
then have act (cmdL8 jj (read tps) [!] j) (tps ! j) = act (rs ! j, Stay) (tps ! j)
  using * rs-def threeplus2k-3[where ?a=(rs ! 0, Stay)] by simp
also have ... = tps' ! j
  using len act-Stay rs-def that by simp
also have ... = tps' ! j
  using assms(2,7) tpsL-symbols' 5 by simp
finally show ?thesis .
qed
qed
qed

lemma execute-tmL78-3:
assumes jj < k
  and tps = tpsL t xs 0 1 (λj. sim-move t ! j) (λj. sim-write t ! j)
  and length xs = k
  and xs ! jj = (1, Some 0)
  and sim-move t ! jj = 2
  and tps' = tpsL t (xs[jj:=(1, Some 1)]) (Suc (Suc (exec t <#> jj))) 1 (λj. sim-move t ! j) (λj. sim-write t
! j)
shows execute (tmL78 jj) (0, tps) (Suc (Suc (exec t <#> jj))) = (0, tps')
using assms exe-lt-length tmL78-def execute-tmL78-2 sem-cmdL8-3 by simp

lemma sem-cmdL8-4:
assumes jj < k
  and tps = tpsL t xs i 1 (λj. sim-move t ! j) (λj. sim-write t ! j)
  and length xs = k
  and xs ! jj = (1, Some 1)
  and i > Suc (exec t <#> jj)
  and i < TT
  and sim-move t ! jj = 2
  and tps' = tpsL t xs (Suc i) 1 (λj. sim-move t ! j) (λj. sim-write t ! j)
shows sem (cmdL8 jj) (0, tps) = (0, tps')
proof (rule semI[of 2 * k + 3])
show proper-command (2 * k + 3) (cmdL8 jj)
  using turing-command-cmdL8[OF assms(1)] turing-commandD(1) by simp
show len: length tps = 2 * k + 3
  using assms(2) by simp
show length tps' = 2 * k + 3
  using assms(8) by simp

define rs where rs = read tps
then have rs ! 1 ≠ □
  using assms by (metis not-one-less-zero read-tpsL-1-bounds(1))
then show fst (cmdL8 jj rs) = 0
  unfolding cmdL8-def by simp

have rs ! (3 + jj) = sim-move t ! jj
  using rs-def assms read-tpsL-3 by simp
moreover have sim-move t ! jj = 2
  using sim-move-def assms(1,7) direction-to-symbol-less sim-move-nth sim-move-nth-else
  by simp
moreover have enc-nth (rs ! 1) (k + jj) = 0
  using assms rs-def read-tpsL-1-nth-less-2k[OF assms(6), of k + jj] sim-move by simp
ultimately have condition8d rs jj

```

```

using assms ⟨rs ! 1 ≠ □⟩ by simp
then have *: snd (cmdL8 jj rs) =
  [(rs ! 0, Stay),
   (rs ! 1, Right),
   (rs ! 2, Stay)] @
  (map (λj. (rs ! (j + 3), Stay)) [0..k]) @
  (map (λj. (rs ! (3 + k + j), Stay)) [0..k])
unfolding cmdL8-def by auto

show act (cmdL8 jj (read tps) [!] j) (tps ! j) = tps' ! j if j < 2 * k + 3 for j
proof –
consider j = 0 | j = 1 | j = 2 | 3 ≤ j ∧ j < k + 3 | k + 3 ≤ j ∧ j < 2 * k + 3
using ⟨j < 2 * k + 3⟩ by linarith
then show ?thesis
proof (cases)
  case 1
  then show ?thesis
  using * act-Stay append.simps(2) assms len nth-Cons-0 rs-def that tpsL-0 by metis
next
  case 2
  then have act (cmdL8 jj (read tps) [!] j) (tps ! j) = act (cmdL8 jj (read tps) [!] 1) (tps ! 1)
  by simp
  also have ... = act (rs ! 1, Right) (tps ! 1)
  using * rs-def by simp
  also have ... = tps' ! 1
  using act-Right len rs-def assms tpsL-1 that tpsL-pos-1 2
  by (metis add.commute fst-conv plus-1-eq-Suc)
  also have ... = tps' ! j
  using 2 by simp
  finally show ?thesis .
next
  case 3
  then have act (cmdL8 jj (read tps) [!] j) (tps ! j) = act (cmdL8 jj (read tps) [!] 2) (tps ! 2)
  by simp
  also have ... = act (rs ! 2, Stay) (tps ! 2)
  using * rs-def by simp
  also have ... = tps ! 2
  using act-Stay len rs-def by simp
  also have ... = tps' ! 2
  using assms(2,8) tpsL-2 by simp
  also have ... = tps' ! j
  using 3 by simp
  finally show ?thesis .
next
  case 4
  then have act (cmdL8 jj (read tps) [!] j) (tps ! j) = act (rs ! j, Stay) (tps ! j)
  using * rs-def threeplus2k-2 [where ?a=(rs ! 0, Stay)] by simp
  also have ... = tps ! j
  using len act-Stay rs-def that by simp
  also have ... = tps' ! j
  using assms(2,8) tpsL-mvs' 4 by simp
  finally show ?thesis .
next
  case 5
  then have act (cmdL8 jj (read tps) [!] j) (tps ! j) = act (rs ! j, Stay) (tps ! j)
  using * rs-def threeplus2k-3 [where ?a=(rs ! 0, Stay)] by simp
  also have ... = tps ! j
  using len act-Stay rs-def that by simp
  also have ... = tps' ! j
  using assms(2,8) tpsL-symb's 5 by simp
  finally show ?thesis .
qed
qed

```

qed

lemma *execute-tmL78-4*:

assumes  $jj < k$   
and  $tps = tpsL\ t\ xs\ 0\ 1\ (\lambda j. sim-move\ t!\ j)\ (\lambda j. sim-write\ t!\ j)$   
and  $length\ xs = k$   
and  $xs!\ jj = (1, Some\ 0)$   
and  $sim-move\ t!\ jj = 2$   
and  $tt \geq Suc\ (Suc\ (exec\ t\ <\#\>\ jj))$   
and  $tt \leq TT$   
and  $tps' = tpsL\ t\ (xs[jj:= (1, Some\ 1)])\ tt\ 1\ (\lambda j. sim-move\ t!\ j)\ (\lambda j. sim-write\ t!\ j)$   
shows  $execute\ (tmL78\ jj)\ (0, tps)\ tt = (0, tps')$   
using *assms(6,7,8)*

proof (induction  $tt$  arbitrary:  $tps'$  rule: *nat-induct-at-least*)

case *base*

then show *?case*

using *assms(1-5) execute-tmL78-3* by *simp*

next

case  $(Suc\ tt)$

then have  $tt < TT$

by *simp*

let  $?xs = xs[jj:= (1, Some\ 1)]$

let  $?tps = tpsL\ t\ ?xs\ tt\ 1\ (\lambda j. sim-move\ t!\ j)\ (\lambda j. sim-write\ t!\ j)$

have  $execute\ (tmL78\ jj)\ (0, tps)\ (Suc\ tt) = exe\ (tmL78\ jj)\ (execute\ (tmL78\ jj)\ (0, tps)\ tt)$

by *simp*

also have  $\dots = exe\ (tmL78\ jj)\ (0, ?tps)$

using *Suc* by *simp*

also have  $\dots = sem\ (cmdL8\ jj)\ (0, ?tps)$

using *tmL78-def exe-lt-length* by *simp*

then show *?case*

using *sem-cmdL8-4* [where  $?i=tt$ ] *assms Suc* by *simp*

qed

lemma *execute-tmL78-5*:

assumes  $jj < k$

and  $tps = tpsL\ t\ xs\ 0\ 1\ (\lambda j. sim-move\ t!\ j)\ (\lambda j. sim-write\ t!\ j)$

and  $length\ xs = k$

and  $xs!\ jj = (1, Some\ 0)$

and  $sim-move\ t!\ jj = 2$

and  $tps' = tpsL\ t\ (xs[jj:= (1, Some\ 1)])\ TT\ 1\ (\lambda j. sim-move\ t!\ j)\ (\lambda j. sim-write\ t!\ j)$

shows  $execute\ (tmL78\ jj)\ (0, tps)\ (Suc\ TT) = (1, tps')$

proof -

have  $*$ :  $TT \geq Suc\ (Suc\ (exec\ t\ <\#\>\ jj))$

using *exec-pos-less-TT sim-move assms(1,5)*

by (*metis Suc-leI add-2-eq-Suc' add-diff-cancel-left' plus-1-eq-Suc*)

have  $execute\ (tmL78\ jj)\ (0, tps)\ (Suc\ TT) = exe\ (tmL78\ jj)\ (execute\ (tmL78\ jj)\ (0, tps)\ TT)$

by *simp*

also have  $\dots = exe\ (tmL78\ jj)\ (0, tps')$

using *execute-tmL78-4* [OF *assms(1-5) \**] *assms(6)* by *simp*

also have  $\dots = sem\ (cmdL8\ jj)\ (0, tps')$

using *tmL78-def exe-lt-length* by *simp*

finally show *?thesis*

using *assms(1,3,6) sem-cmdL8-TT* by *simp*

qed

lemma *tmL78-right*:

assumes  $jj < k$

and  $tps = tpsL\ t\ xs\ 0\ 1\ (\lambda j. sim-move\ t!\ j)\ (\lambda j. sim-write\ t!\ j)$

and  $length\ xs = k$

and  $xs!\ jj = (1, Some\ 0)$

and  $sim-move\ t!\ jj = 2$

and  $tps' = tpsL\ t\ (xs[jj:= (1, Some\ 1)])\ TT\ 1\ (\lambda j. sim-move\ t!\ j)\ (\lambda j. sim-write\ t!\ j)$

shows  $traces\ (tmL78\ jj)\ tps\ esL78\ tps'$

```

proof
  have len: length esL78 = Suc TT
    using esL78-def by simp
  show execute (tmL78 jj) (0, tps) (length esL78) = (length (tmL78 jj), tps')
    using len execute-tmL78-5 assms tmL78-def by simp
  show fst (execute (tmL78 jj) (0, tps) tt) < length (tmL78 jj)
    if tt < length esL78 for tt
  proof –
    have tt < Suc TT
      using that len by simp
    then consider
      tt ≤ exec t <#> jj
      | tt = Suc (exec t <#> jj)
      | tt = Suc (Suc (exec t <#> jj))
      | tt > Suc (Suc (exec t <#> jj))
      by linarith
    then show ?thesis
  proof (cases)
    case 1
      then show ?thesis
        using assms execute-tmL78-1 tmL78-def by simp
    next
      case 2
        then show ?thesis
          using assms execute-tmL78-2 tmL78-def by simp
    next
      case 3
        then show ?thesis
          using assms execute-tmL78-3 tmL78-def by simp
    next
      case 4
        then show ?thesis
          using assms execute-tmL78-4 tmL78-def <tt < Suc TT> by simp
    qed
  qed
  show execute (tmL78 jj) (0, tps) (Suc tt) <#> 0 = fst (esL78 ! tt) ∧
    execute (tmL78 jj) (0, tps) (Suc tt) <#> 1 = snd (esL78 ! tt)
    if tt < length esL78 for tt
  proof –
    have *: Suc tt ≤ Suc TT
      using that esL78-def by simp
    then consider
      Suc tt ≤ exec t <#> jj
      | Suc tt = Suc (exec t <#> jj)
      | Suc tt = Suc (Suc (exec t <#> jj))
      | Suc tt > Suc (Suc (exec t <#> jj)) ∧ Suc tt ≤ TT
      | Suc tt = Suc TT
      by linarith
    then show ?thesis
  proof (cases)
    case 1
      then have esL78 ! tt = (n + 1, Suc tt)
        using nth-map-upt-TT esL78-def by (metis * assms(1) exec-pos-less-TT nat-less-le not-less-eq-eq)
      then show ?thesis
        using execute-tmL78-1[OF assms(1-5), where ?tt=Suc tt] tmL78-def tpsL-pos-0 tpsL-pos-1 * 1
        by simp
    next
      case 2
        then show ?thesis
          using assms execute-tmL78-2[OF assms(1-5)] tmL78-def tpsL-pos-0 tpsL-pos-1 *
          by (metis (no-types, lifting) esL78-def exec-pos-less-TT fst-conv nat.inject nth-map-upt-TT snd-conv)
    next
      case 3

```



```

then have  $tt < TT$ 
  by (metis add-2-eq-Suc' assms(1) assms(5) diff-Suc-1 exec-pos-less-TT sim-move)
then have  $esL78 ! tt = (n + 1, Suc\ tt)$ 
  using nth-map-upt-TT esL78-def by auto
then show ?thesis
  using assms(6) execute-tmL78-3[OF assms(1-5)] tmL78-def tpsL-pos-0 tpsL-pos-1 *
  using  $\exists$  by simp
next
  case 4
then have  $** : Suc\ tt \geq Suc\ (Suc\ (exec\ t <\#\> jj))$ 
  by simp
show ?thesis
  using execute-tmL78-4[OF assms(1-5) **] tmL78-def tpsL-pos-0 tpsL-pos-1 esL78-def
  by (metis 4 Suc-le-lessD fst-conv nth-map-upt-TT snd-conv)
next
  case 5
then have  $esL78 ! tt = (n + 1, TT)$ 
  using esL78-def by (simp add: nth-append)
then show ?thesis
  using assms(6) execute-tmL78-5[OF assms(1-5)] tmL78-def tpsL-pos-0 tpsL-pos-1 esL78-def 5
  by simp
  qed
qed
qed

```

**lemma** *zip-cont-Stay*:

```

assumes  $jj < k$ 
  and  $length\ xs = k$ 
  and  $xs ! jj = (1, Some\ 0)$ 
  and  $sim-move\ t ! jj = 1$ 
shows  $zip-cont\ t\ xs = zip-cont\ t\ (xs[jj:=](1, Some\ 1))$ 

```

**proof**

```

fix  $i$ 
let  $?xs = xs[jj := (1, Some\ 1)]$ 
show  $zip-cont\ t\ xs\ i = zip-cont\ t\ ?xs\ i$ 
proof (cases i < TT)
  case True
  then show ?thesis
  proof (rule zip-cont-eqI)
    show  $\bigwedge j. j < k \implies$ 
       $(exec\ (t + fst\ (xs ! j)) <:\> j)\ i = (exec\ (t + fst\ (?xs ! j)) <:\> j)\ i$ 
      using True assms nth-list-update fst-conv by metis
    show  $\bigwedge j. j < k \implies$ 
       $(case\ snd\ (xs ! j)\ of\ None \Rightarrow 0 \mid Some\ d \Rightarrow if\ i = exec\ (t + d) <\#\> j\ then\ 1\ else\ 0) =$ 
       $(case\ snd\ (?xs ! j)\ of\ None \Rightarrow 0 \mid Some\ d \Rightarrow if\ i = exec\ (t + d) <\#\> j\ then\ 1\ else\ 0)$ 
      using assms sim-move
      by (metis (no-types, lifting) add commute add.right-neutral add-diff-cancel-right'
        nth-list-update-eq nth-list-update-neq option.simps(5) plus-1-eq-Suc snd-conv)
  qed

```

**next**

```

  case False
  then show ?thesis
  by (simp add: zip-cont-def)

```

**qed**

**qed**

**lemma** *tpsL-Stay*:

```

assumes  $jj < k$ 
  and  $tps = tpsL\ t\ xs\ i\ 1\ (\lambda j. sim-move\ t ! j)\ (\lambda j. sim-write\ t ! j)$ 
  and  $length\ xs = k$ 
  and  $xs ! jj = (1, Some\ 0)$ 
  and  $sim-move\ t ! jj = 1$ 
shows  $tps = tpsL\ t\ (xs[jj:=](1, Some\ 1))\ i\ 1\ (\lambda j. sim-move\ t ! j)\ (\lambda j. sim-write\ t ! j)$ 

```

**proof** –

```

let ?lhs = (([zs], n + 1) #
  (zip-cont t xs, i) #
  [fst (exec (t + 1))] #
  map (onesie ∘ (!) (sim-move t)) [0..<k] @
  map (onesie ∘ (!) (sim-write t)) [0..<k])
let ?xs = xs[jj:=(1, Some 1)]
let ?rhs = (([zs], n + 1) #
  (zip-cont t ?xs, i) #
  [fst (exec (t + 1))] #
  map (onesie ∘ (!) (sim-move t)) [0..<k] @
  map (onesie ∘ (!) (sim-write t)) [0..<k])
have ?lhs = ?rhs
proof (intro nth-equalityI)
  show length ?lhs = length ?rhs
  by simp
  show ?lhs ! j = ?rhs ! j if j < length ?lhs for j
  proof –
    consider j = 0 | j = 1 | j = 2 | 3 ≤ j ∧ j < k + 3 | k + 3 ≤ j ∧ j < 2 * k + 3
    using ⟨j < length ?lhs⟩ by fastforce
    then show ?thesis
      using zip-cont-Stay assms by (cases) auto
  qed
qed
then show ?thesis
  using assms tpsL-def by simp
qed

```

**definition** esL48 ≡ esL47 @ esL78

**lemma** len-esL48: length esL48 = 3 \* TT + 3  
**using** len-esL47 esL48-def esL78-def **by** simp

**lemma** tmL48-left:

```

assumes jj < k
  and tps = tpsL t xs 0 1 (λj. sim-move t ! j) (λj. sim-write t ! j)
  and length xs = k
  and xs ! jj = (0, Some 0)
  and sim-move t ! jj = 0
  and tps' = tpsL t (xs[jj:=(1, Some 1)]) TT 1 (λj. sim-move t ! j) (λj. sim-write t ! j)
shows traces (tmL48 jj) tps esL48 tps'
unfolding tmL48-def esL48-def
using assms
by (intro traces-sequential[OF tmL47-left tmL78-nonright[where ?xs=xs[jj:=(1, Some 1)]]]) simp-all

```

**lemma** tmL48-right:

```

assumes jj < k
  and tps = tpsL t xs 0 1 (λj. sim-move t ! j) (λj. sim-write t ! j)
  and length xs = k
  and xs ! jj = (0, Some 0)
  and sim-move t ! jj = 2
  and tps' = tpsL t (xs[jj:=(1, Some 1)]) TT 1 (λj. sim-move t ! j) (λj. sim-write t ! j)
shows traces (tmL48 jj) tps esL48 tps'
unfolding tmL48-def esL48-def
using assms
by (intro traces-sequential[OF tmL47-nonleft tmL78-right[where ?xs=xs[jj:=(1, Some 0)]]]) simp-all

```

**lemma** tmL48-stay:

```

assumes jj < k
  and tps = tpsL t xs 0 1 (λj. sim-move t ! j) (λj. sim-write t ! j)
  and length xs = k
  and xs ! jj = (0, Some 0)
  and sim-move t ! jj = 1

```

**and**  $tps' = tpsL\ t\ (xs[jj:= (1, \text{Some } 1)])\ TT\ 1\ (\lambda j. \text{sim-move } t!\ j)\ (\lambda j. \text{sim-write } t!\ j)$   
**shows traces**  $(tmL48\ jj)\ tps\ esL48\ tps'$   
**proof** –  
**let**  $?xs = xs[jj:= (1, \text{Some } 0)]$   
**let**  $?tps = tpsL\ t\ ?xs\ TT\ 1\ (\lambda j. \text{sim-move } t!\ j)\ (\lambda j. \text{sim-write } t!\ j)$   
**have traces**  $(tmL48\ jj)\ tps\ esL48\ ?tps$   
**unfolding**  $tmL48\text{-def}\ esL48\text{-def}$   
**using**  $assms$   
**by**  $(intro\ traces\ sequential[OF\ tmL47\text{-nonleft}\ tmL78\text{-nonright}[where\ ?xs=?xs]])\ simp\text{-all}$   
**then show**  $?thesis$   
**using**  $tpsL\text{-Stay}[where\ ?xs=?xs]\ assms\ \text{by}\ simp$   
**qed**

**lemma**  $tmL48$ :  
**assumes**  $jj < k$   
**and**  $tps = tpsL\ t\ xs\ 0\ 1\ (\lambda j. \text{sim-move } t!\ j)\ (\lambda j. \text{sim-write } t!\ j)$   
**and**  $length\ xs = k$   
**and**  $xs!\ jj = (0, \text{Some } 0)$   
**and**  $tps' = tpsL\ t\ (xs[jj:= (1, \text{Some } 1)])\ TT\ 1\ (\lambda j. \text{sim-move } t!\ j)\ (\lambda j. \text{sim-write } t!\ j)$   
**shows traces**  $(tmL48\ jj)\ tps\ esL48\ tps'$   
**proof** –  
**consider**  $sim\text{-move } t!\ jj = 0 \mid sim\text{-move } t!\ jj = 1 \mid sim\text{-move } t!\ jj = 2$   
**using**  $direction\text{-to}\text{-symbol}\text{-less}\ sim\text{-move}\text{-def}\ assms(1)\ sim\text{-move}\text{-nth}\ sim\text{-move}\text{-nth}\text{-else}$   
**by**  $(metis\ (no\text{-types},\ lifting)\ One\text{-nat}\text{-def}\ Suc\text{-1}\ less\text{-Suc}\text{-eq}\ less\text{-nat}\text{-zero}\text{-code}\ numeral\text{-3}\text{-eq}\text{-3})$   
**then show**  $?thesis$   
**using**  $assms\ tmL48\text{-left}\ tmL48\text{-right}\ tmL48\text{-stay}$   
**by**  $(cases)\ simp\text{-all}$   
**qed**

**definition**  $esL49 \equiv esL48\ @\ map\ (\lambda i. (n + 1, i))\ (rev\ [0..<TT])\ @\ [(n + 1, 0)]$

**lemma**  $len\text{-}esL49$ :  $length\ esL49 = 4 * TT + 4$   
**using**  $len\text{-}esL48\ esL49\text{-def}\ \text{by}\ simp$

**lemma**  $tmL49$ :  
**assumes**  $jj < k$   
**and**  $tps = tpsL\ t\ xs\ 0\ 1\ (\lambda j. \text{sim-move } t!\ j)\ (\lambda j. \text{sim-write } t!\ j)$   
**and**  $length\ xs = k$   
**and**  $xs!\ jj = (0, \text{Some } 0)$   
**and**  $tps' = tpsL\ t\ (xs[jj:= (1, \text{Some } 1)])\ 0\ 1\ (\lambda j. \text{sim-move } t!\ j)\ (\lambda j. \text{sim-write } t!\ j)$   
**shows traces**  $(tmL49\ jj)\ tps\ esL49\ tps'$   
**unfolding**  $tmL49\text{-def}\ esL49\text{-def}$   
**proof**  $(rule\ traces\ sequential)$   
**let**  $?tps = tpsL\ t\ (xs[jj:= (1, \text{Some } 1)])\ TT\ 1\ (\lambda j. \text{sim-move } t!\ j)\ (\lambda j. \text{sim-write } t!\ j)$   
**show traces**  $(tmL48\ jj)\ tps\ esL48\ ?tps$   
**using**  $assms\ tmL48\ \text{by}\ simp$   
**show traces**  $tm\text{-left}\text{-until}\ 1\ ?tps\ (map\ (Pair\ (n + 1))\ (rev\ [0..<Suc\ (fmt\ n)]))\ @\ [(n + 1, 0)]\ tps'$   
**proof**  $(rule\ traces\ tm\text{-left}\text{-until}\ 1)$   
**show**  $1 < length\ ?tps$   
**by**  $simp$   
**show**  $begin\text{-tape}\ \{y. y < G \wedge (2 * k + 2) + 2 \wedge 1 < y \wedge dec\ y!\ (2 * k + 1) = 1\}\ (?tps!\ 1)$   
**using**  $tpsL\text{-1}\ begin\text{-tape}\text{-zip}\text{-cont}\ \text{by}\ simp$   
**show**  $map\ (Pair\ (n + 1))\ (rev\ [0..<Suc\ (fmt\ n)])\ @\ [(n + 1, 0)] =$   
 $map\ (Pair\ (?tps\ :#\ 0))\ (rev\ [0..<?\tps\ :#\ 1])\ @\ [(?\tps\ :#\ 0, 0)]$   
**using**  $tpsL\text{-pos}\text{-0}\ tpsL\text{-pos}\text{-1}\ \text{by}\ presburger$   
**show**  $tps' = ?tps\ [1 := ?tps!\ 1\ |#\ =\ 0]$   
**using**  $assms\ tpsL\text{-def}\ \text{by}\ simp$   
**qed**  
**qed**

**definition**  $xs49 :: nat \Rightarrow (nat \times nat\ option)\ list\ \text{where}$   
 $xs49\ j \equiv replicate\ j\ (1, \text{Some } 1)\ @\ replicate\ (k - j)\ (0, \text{Some } 0)$

**lemma** *length-xs49*:  $j \leq k \implies \text{length } (xs49\ j) = k$   
**using** *xs49-def* **by** *simp*

**lemma** *xs49-less*:  
**assumes**  $j \leq k$  **and**  $i < j$   
**shows**  $xs49\ j\ !\ i = (1, \text{Some } 1)$   
**unfolding** *xs49-def* **using** *assms* **by** (*simp add: nth-append*)

**lemma** *xs49-ge*:  
**assumes**  $j \leq k$  **and**  $i \geq j$  **and**  $i < k$   
**shows**  $xs49\ j\ !\ i = (0, \text{Some } 0)$   
**unfolding** *xs49-def* **using** *assms* **by** (*simp add: nth-append*)

**lemma** *xs49-upd*:  
**assumes**  $j < k$   
**shows**  $xs49\ (\text{Suc } j) = (xs49\ j)[j := (1, \text{Some } 1)]$   
**(is ?lhs = ?rhs)**  
**proof** (*rule nth-equalityI*)  
**show**  $\text{length } ?lhs = \text{length } ?rhs$   
**by** (*simp add: Suc-leI assms length-xs49 less-imp-le-nat*)  
**show**  $\bigwedge i. i < \text{length } ?lhs \implies ?lhs\ !\ i = ?rhs\ !\ i$   
**using** *length-xs49 assms xs49-ge xs49-less*  
**by** (*metis less-Suc-eq less-or-eq-imp-le not-less nth-list-update*)  
**qed**

**lemma** *tmL49-upt*:  
**assumes**  $j \leq k$   
**and**  $tps' = tpsL\ t\ (xs49\ j)\ 0\ 1\ (\lambda j. \text{sim-move } t\ !\ j)\ (\lambda j. \text{sim-write } t\ !\ j)$   
**shows**  $\text{traces } (tmL49-upt\ j)\ (tpsL4\ t)\ (\text{concat } (\text{replicate } j\ esL49))\ tps'$   
**using** *assms*  
**proof** (*induction j arbitrary: tps'*)  
**case**  $0$   
**then show** *?case*  
**using** *xs49-def tpsL4-def assms* **by** *auto*  
**next**  
**case**  $(\text{Suc } j)$   
**then have**  $j < k$   
**by** *simp*  
**let**  $?tps = tpsL\ t\ (xs49\ j)\ 0\ 1\ (\lambda j. \text{sim-move } t\ !\ j)\ (\lambda j. \text{sim-write } t\ !\ j)$   
**have**  $tmL49-upt\ (\text{Suc } j) = tmL49-upt\ j\ ;;\ tmL49\ j$   
**by** *simp*  
**moreover have**  $\text{concat } (\text{replicate } (\text{Suc } j)\ esL49) = \text{concat } (\text{replicate } j\ esL49)\ @\ esL49$   
**by** (*smt (verit) append.assoc append-replicate-commute append-same-eq concat.simps(2) concat-append replicate.simps(2)*)  
**moreover have**  $\text{traces } (tmL49-upt\ j\ ;;\ tmL49\ j)\ (tpsL4\ t)\ (\text{concat } (\text{replicate } j\ esL49)\ @\ esL49)\ tps'$   
**proof** (*rule traces-sequential*)  
**show**  $\text{traces } (tmL49-upt\ j)\ (tpsL4\ t)\ (\text{concat } (\text{replicate } j\ esL49))\ ?tps$   
**using** *Suc* **by** *simp*  
**show**  $\text{traces } (tmL49\ j)\ ?tps\ esL49\ tps'$   
**using**  $tmL49[OF\ \langle j < k \rangle, \text{where } ?tps = ?tps]$  *length-xs49 xs49-upd Suc \langle j < k \rangle xs49-ge*  
**by** *simp*  
**qed**  
**ultimately show** *?case*  
**by** *simp*  
**qed**

**definition** *esL49-upt*  $\equiv \text{concat } (\text{replicate } k\ esL49)$

**lemma** *length-concat-replicate*:  $\text{length } (\text{concat } (\text{replicate } m\ xs)) = m * \text{length } xs$   
**by** (*induction m*) *simp-all*

**lemma** *len-esL49-upt*:  $\text{length } esL49-upt = k * (4 * TT + 4)$   
**using** *len-esL49 esL49-upt-def length-concat-replicate[of k esL49]* **by** *simp*

**corollary** *tmL49-upt'*:

**assumes**  $tps' = tpsL\ t\ (xs49\ k)\ 0\ 1\ (\lambda j.\ sim-move\ t!\ j)\ (\lambda j.\ sim-write\ t!\ j)$   
**shows**  $traces\ (tmL49-upt\ k)\ (tpsL4\ t)\ esL49-upt\ tps'$   
**using**  $tmL49-upt[of\ k]\ assms\ esL49-upt-def$  **by** *simp*

**definition**  $esL9\ t \equiv esL4\ t\ @\ esL49-upt$

**lemma** *len-esL9*:  $length\ (esL9\ t) = k * (4 * TT + 4) + t + 2 * TT + 4$   
**using**  $len-esL4\ len-esL49-upt\ esL9-def$  **by** *simp*

**lemma** *xs49-k*:  $xs49\ k = replicate\ k\ (1,\ Some\ 1)$   
**using**  $xs49-def$  **by** *simp*

**definition**  $tpsL9\ t \equiv tpsL$

$t$   
 $(replicate\ k\ (1,\ Some\ 1))$   
 $0$   
 $1$   
 $(\lambda j.\ sim-move\ t!\ j)$   
 $(\lambda j.\ sim-write\ t!\ j)$

**lemma** *tmL9*:

**assumes**  $t < TT$   
**shows**  $traces\ tmL9\ (tpsL0\ t)\ (esL9\ t)\ (tpsL9\ t)$   
**unfolding**  $tmL9-def\ esL9-def$   
**using**  $assms\ tmL4\ tmL49-upt'$   
**by**  $(intro\ traces-sequential)\ (auto\ simp\ add:\ tpsL9-def\ xs49-k)$

**definition**  $esL10\ t \equiv esL9\ t\ @\ esC\ t$

**lemma** *len-esL10*:  $length\ (esL10\ t) = k * (4 * TT + 4) + 2 * t + 2 * TT + 5$   
**using**  $len-esL9\ len-esL9\ esL10-def\ esC-def$  **by** *simp*

**definition**  $tpsL10\ t \equiv tpsL$

$t$   
 $(replicate\ k\ (1,\ Some\ 1))$   
 $t$   
 $1$   
 $(\lambda j.\ sim-move\ t!\ j)$   
 $(\lambda j.\ sim-write\ t!\ j)$

**lemma** *tmL10*:

**assumes**  $t < TT$   
**shows**  $traces\ tmL10\ (tpsL0\ t)\ (esL10\ t)\ (tpsL10\ t)$   
**unfolding**  $tmL10-def\ esL10-def$   
**proof**  $(rule\ traces-sequential[OF\ tmL9[OF\ assms]])$   
**have**  $t \leq TT$   
**using**  $assms$  **by** *simp*  
**then show**  $traces\ tmC\ (tpsL9\ t)\ (esC\ t)\ (tpsL10\ t)$   
**using**  $tmC-general\ tpsL9-def\ tpsL10-def$  **by** *simp*  
**qed**

**definition**  $tpsL11\ t \equiv tpsL$

$(Suc\ t)$   
 $(replicate\ k\ (0,\ Some\ 0))$   
 $t$   
 $0$   
 $(\lambda j.\ sim-move\ t!\ j)$   
 $(\lambda j.\ sim-write\ t!\ j)$

**lemma** *enc-upd-2k*:

**assumes**  $dec\ n = (map\ f\ [0..<k])\ @\ (map\ h\ [0..<k])\ @\ [a,\ b]$

**shows**  $enc\text{-}upd\ n\ (2 * k)\ 1 = enc\ (map\ f\ [0..<k])\ @\ map\ h\ [0..<k])\ @\ [1, b])$   
**using** *assms enc-upd-def* **by** (*metis append-assoc list-update-length nth-list-update-neq twok-nth*)

**lemma** *enc-upd-zip-cont*:

**assumes**  $t < TT$

**and**  $xs1 = replicate\ k\ (1, Some\ 1)$

**and**  $xs0 = (replicate\ k\ (0, Some\ 0))$

**shows**  $enc\text{-}upd\ (zip\text{-}cont\ t\ xs1\ t)\ (2 * k)\ 1 = zip\text{-}cont\ (Suc\ t)\ xs0\ t$

**proof** –

**let**  $?n = zip\text{-}cont\ t\ xs1\ t$

**have**  $xs1: fst\ (xs1\ !\ j) = 1\ snd\ (xs1\ !\ j) = Some\ 1$  **if**  $j < k$  **for**  $j$

**using** *assms(2)* **that** **by** *simp-all*

**have**  $zip\text{-}cont\ t\ xs1\ t = enc$

$(map\ (\lambda j. (exec\ (t + fst\ (xs1\ !\ j))\ <:>\ j)\ t)\ [0..<k])\ @$

$map\ (\lambda j. case\ snd\ (xs1\ !\ j)\ of\ None\ \Rightarrow\ 0\ |\ Some\ d\ \Rightarrow\ if\ t = exec\ (t + d)\ <\#\>\ j\ then\ 1\ else\ 0)\ [0..<k])\ @$

$[0,$

$if\ t = 0\ then\ 1\ else\ 0])$

**using** *zip-cont-def assms(1)* **by** *simp*

**also** **have**  $\dots = enc$

$(map\ (\lambda j. (exec\ (t + 1)\ <:>\ j)\ t)\ [0..<k])\ @$

$map\ (\lambda j. case\ Some\ 1\ of\ None\ \Rightarrow\ 0\ |\ Some\ d\ \Rightarrow\ if\ t = exec\ (t + d)\ <\#\>\ j\ then\ 1\ else\ 0)\ [0..<k])\ @$

$[0,$

$if\ t = 0\ then\ 1\ else\ 0])$

**using**  $xs1$  **by** (*smt (verit) atLeastLessThan-iff map-eq-conv set-upt*)

**finally** **have**  $1: zip\text{-}cont\ t\ xs1\ t = enc$

$(map\ (\lambda j. (exec\ (Suc\ t)\ <:>\ j)\ t)\ [0..<k])\ @$

$map\ (\lambda j. if\ t = exec\ (Suc\ t)\ <\#\>\ j\ then\ 1\ else\ 0)\ [0..<k])\ @$

$[0,$

$if\ t = 0\ then\ 1\ else\ 0])$

**(is**  $- = enc\ ?ys$ )

**by** *simp*

**have**  $xs0: fst\ (xs0\ !\ j) = 0\ snd\ (xs0\ !\ j) = Some\ 0$  **if**  $j < k$  **for**  $j$

**using** *assms(3)* **that** **by** *simp-all*

**have**  $zip\text{-}cont\ (Suc\ t)\ xs0\ t = enc$

$(map\ (\lambda j. (exec\ (Suc\ t + fst\ (xs0\ !\ j))\ <:>\ j)\ t)\ [0..<k])\ @$

$map\ (\lambda j. case\ snd\ (xs0\ !\ j)\ of\ None\ \Rightarrow\ 0\ |\ Some\ d\ \Rightarrow\ if\ t = exec\ (Suc\ t + d)\ <\#\>\ j\ then\ 1\ else\ 0)\ [0..<k])\ @$

@

$[1,$

$if\ t = 0\ then\ 1\ else\ 0])$

**using** *zip-cont-def assms(1)* **by** *simp*

**also** **have**  $\dots = enc$

$(map\ (\lambda j. (exec\ (Suc\ t)\ <:>\ j)\ t)\ [0..<k])\ @$

$map\ (\lambda j. case\ Some\ 0\ of\ None\ \Rightarrow\ 0\ |\ Some\ d\ \Rightarrow\ if\ t = exec\ (Suc\ t + d)\ <\#\>\ j\ then\ 1\ else\ 0)\ [0..<k])\ @$

$[1,$

$if\ t = 0\ then\ 1\ else\ 0])$

**using**  $xs0$  **by** (*smt (verit, ccfv-SIG) add.right-neutral atLeastLessThan-iff map-eq-conv set-upt*)

**finally** **have**  $2: zip\text{-}cont\ (Suc\ t)\ xs0\ t = enc$

$(map\ (\lambda j. (exec\ (Suc\ t)\ <:>\ j)\ t)\ [0..<k])\ @$

$map\ (\lambda j. if\ t = exec\ (Suc\ t)\ <\#\>\ j\ then\ 1\ else\ 0)\ [0..<k])\ @$

$[1,$

$if\ t = 0\ then\ 1\ else\ 0])$

**(is**  $- = enc\ ?zs$ )

**by** *simp*

**moreover** **have**  $?zs = ?ys\ [2 * k := 1]$

**by** (*smt (verit) Suc-1 append-assoc diff-zero length-append length-map length-upt list-update-length mult-Suc nat-mult-1*)

**moreover** **have**  $?ys = dec\ ?n$

**using** *dec-zip-cont assms* **by** *simp*

**ultimately** **show** *?thesis*

**using** *enc-upd-def 1* **by** *presburger*

**qed**

```

lemma enc-upd-zip-cont-upd:
  assumes  $t < TT$ 
  and  $xs1 = replicate\ k\ (1, Some\ 1)$ 
  and  $xs0 = (replicate\ k\ (0, Some\ 0))$ 
  shows  $(zip-cont\ t\ xs1)\ (t := enc-upd\ (zip-cont\ t\ xs1\ t)\ (2 * k)\ 1) = zip-cont\ (Suc\ t)\ xs0$ 
proof
  fix  $i$ 
  consider  $i = t \mid i \neq t \wedge i < TT \mid i \geq TT$ 
  using  $assms(1)$  by linarith
  then show  $((zip-cont\ t\ xs1)(t := enc-upd\ (zip-cont\ t\ xs1\ t)\ (2 * k)\ 1))\ i = zip-cont\ (Suc\ t)\ xs0\ i$ 
  proof (cases)
    case 1
    then show ?thesis
    using enc-upd-zip-cont assms by simp
  next
    case 2
    then have  $i \neq t \wedge i < TT$ 
    by simp-all
    have  $xs1: fst\ (xs1\ !\ j) = 1\ snd\ (xs1\ !\ j) = Some\ 1$  if  $j < k$  for  $j$ 
    using  $assms(2)$  that by simp-all
    have  $zip-cont\ t\ xs1\ i = enc$ 
    (map  $(\lambda j. (exec\ (t + fst\ (xs1\ !\ j))\ <:>\ j)\ i)\ [0..<k]$ ) @
    (map  $(\lambda j. case\ snd\ (xs1\ !\ j)\ of\ None \Rightarrow 0 \mid Some\ d \Rightarrow if\ i = exec\ (t + d)\ <#\>\ j\ then\ 1\ else\ 0)\ [0..<k]$ ) @
    [if  $i < t$  then 1 else 0,
     if  $i = 0$  then 1 else 0])
    using zip-cont-def assms(1) <i < TT> by simp
    also have  $\dots = enc$ 
    (map  $(\lambda j. (exec\ (t + 1)\ <:>\ j)\ i)\ [0..<k]$ ) @
    (map  $(\lambda j. case\ Some\ 1\ of\ None \Rightarrow 0 \mid Some\ d \Rightarrow if\ i = exec\ (t + d)\ <#\>\ j\ then\ 1\ else\ 0)\ [0..<k]$ ) @
    [if  $i < t$  then 1 else 0,
     if  $i = 0$  then 1 else 0])
    using  $xs1$  by (smt (verit) atLeastLessThan-iff map-eq-conv set-upt)
    finally have 1:  $zip-cont\ t\ xs1\ i = enc$ 
    (map  $(\lambda j. (exec\ (Suc\ t)\ <:>\ j)\ i)\ [0..<k]$ ) @
    (map  $(\lambda j. if\ i = exec\ (Suc\ t)\ <#\>\ j\ then\ 1\ else\ 0)\ [0..<k]$ ) @
    [if  $i < t$  then 1 else 0,
     if  $i = 0$  then 1 else 0])
    by simp

    have  $xs0: fst\ (xs0\ !\ j) = 0\ snd\ (xs0\ !\ j) = Some\ 0$  if  $j < k$  for  $j$ 
    using  $assms(3)$  that by simp-all
    have  $zip-cont\ (Suc\ t)\ xs0\ i = enc$ 
    (map  $(\lambda j. (exec\ (Suc\ t + fst\ (xs0\ !\ j))\ <:>\ j)\ i)\ [0..<k]$ ) @
    (map  $(\lambda j. case\ snd\ (xs0\ !\ j)\ of\ None \Rightarrow 0 \mid Some\ d \Rightarrow if\ i = exec\ (Suc\ t + d)\ <#\>\ j\ then\ 1\ else\ 0)\ [0..<k]$ ) @
    [if  $i < Suc\ t$  then 1 else 0,
     if  $i = 0$  then 1 else 0])
    using zip-cont-def[of Suc t xs0 i] <i < TT> assms(1) by simp
    also have  $\dots = enc$ 
    (map  $(\lambda j. (exec\ (Suc\ t)\ <:>\ j)\ i)\ [0..<k]$ ) @
    (map  $(\lambda j. case\ Some\ 0\ of\ None \Rightarrow 0 \mid Some\ d \Rightarrow if\ i = exec\ (Suc\ t + d)\ <#\>\ j\ then\ 1\ else\ 0)\ [0..<k]$ ) @
    [if  $i < Suc\ t$  then 1 else 0,
     if  $i = 0$  then 1 else 0])
    using  $xs0$  by (smt (verit, ccfv-SIG) add.right-neutral atLeastLessThan-iff map-eq-conv set-upt)
    finally have 2:  $zip-cont\ (Suc\ t)\ xs0\ i = enc$ 
    (map  $(\lambda j. (exec\ (Suc\ t)\ <:>\ j)\ i)\ [0..<k]$ ) @
    (map  $(\lambda j. if\ i = exec\ (Suc\ t)\ <#\>\ j\ then\ 1\ else\ 0)\ [0..<k]$ ) @
    [if  $i < t$  then 1 else 0,
     if  $i = 0$  then 1 else 0])
    using  $<i \neq t>$  by simp
    then show ?thesis
    using 1  $<i \neq t>$  by simp
  next

```

```

    case 3
    then show ?thesis
      using zip-cont-def assms(1) by simp
qed
qed

lemma sem-cmdL11:
  assumes  $t < TT$ 
  shows  $\text{sem cmdL11 } (0, \text{tpsL10 } t) = (1, \text{tpsL11 } t)$ 
proof (rule semI[of  $2 * k + 3$ ])
  show proper-command  $(2 * k + 3)$  cmdL11
    using cmdL11-def by simp
  show len:  $\text{length } (\text{tpsL10 } t) = 2 * k + 3$   $\text{length } (\text{tpsL11 } t) = 2 * k + 3$ 
    using tpsL10-def tpsL11-def by simp-all
  show fst (cmdL11 (read (tpsL10 t))) = 1
    using cmdL11-def by simp
  let ?tps = tpsL10 t
  let ?xs = replicate k (1::nat, Some 1::nat option)
  have tps1:  $?tps ! 1 = (\text{zip-cont } t ?xs, t)$ 
    using tpsL-1 tpsL10-def by simp
  have tps1':  $\text{tpsL11 } t ! 1 = (\text{zip-cont } (\text{Suc } t) (\text{replicate } k (0, \text{Some } 0)), t)$ 
    using tpsL-1 tpsL11-def by simp
  let ?rs = read ?tps
  have is-code ( $?rs ! 1$ )
    using tpsL10-def assms read-tpsL-1-bounds by simp
  have rs1:  $?rs ! 1 = \text{zip-cont } t ?xs t$ 
    using tps1 read-def tpsL-def tpsL10-def by force
  show act (cmdL11 ?rs [!] j) ( $?tps ! j$ ) =  $\text{tpsL11 } t ! j$ 
    if  $j < 2 * k + 3$  for j
  proof -
    consider  $j = 0 \mid j = 1 \mid j = 2 \mid 3 \leq j \wedge j < k + 3 \mid k + 3 \leq j \wedge j < 2 * k + 3$ 
    using  $\langle j < 2 * k + 3 \rangle$  by linarith
  then show ?thesis
  proof (cases)
    case 1
    then show ?thesis
      using tpsL10-def tpsL11-def read-tpsL-0 cmdL11-def act-Stay len(1) that tpsL-0
      by (smt (verit) append-Cons nth-Cons-0 prod.sel(2))
  next
    case 2
    then have act (cmdL11 ?rs [!] j) ( $?tps ! j$ ) = act (cmdL11 ?rs [!] 1) ( $?tps ! 1$ )
      by simp
    also have ... = act (enc-upd ( $?rs ! 1$ ) ( $2 * k$ ) 1, Stay) ( $?tps ! 1$ )
      using cmdL11-def  $\langle \text{is-code } (?rs ! 1) \rangle$  by simp
    also have ... = ( $?tps ! 1$ )  $|:=|$  enc-upd ( $?rs ! 1$ ) ( $2 * k$ ) 1
      by (simp add: act-Stay tps1 2 act-Stay' len(1) that)
    also have ... =  $\text{tpsL11 } t ! 1$ 
      using enc-upd-zip-cont-upd rs1 tps1' tps1 assms by simp
    finally show ?thesis
      using 2 by simp
  next
    case 3
    then have act (cmdL11 ?rs [!] j) ( $(?tps) ! j$ ) = act (cmdL11 ?rs [!] 2) ( $?tps ! 2$ )
      by simp
    also have ... = act ( $?rs ! 2$ , Stay) ( $?tps ! 2$ )
      using cmdL11-def by simp
    also have ... =  $?tps ! 2$ 
      using act-Stay len by simp
    also have ... =  $(\text{tpsL11 } t) ! 2$ 
      using tpsL-2 tpsL11-def tpsL10-def by simp
    also have ... =  $(\text{tpsL11 } t) ! j$ 
      using 3 by simp
    finally show ?thesis .
  end
end

```



```

next
  case 4
  then have act (cmdL11 ?rs [!] j) (?tps ! j) = act (?rs ! j, Stay) (?tps ! j)
    using cmdL11-def threepius2k-2[where ?a=(?rs ! 0, Stay)] by simp
  also have ... = (tpsL10 t) ! j
    using len act-Stay that by simp
  also have ... = (tpsL11 t) ! j
    using tpsL11-def tpsL10-def tpsL-mvs' 4 by simp
  finally show ?thesis .
next
  case 5
  then have act (cmdL11 ?rs [!] j) (?tps ! j) = act (?rs ! j, Stay) (?tps ! j)
    using cmdL11-def threepius2k-3[where ?a=(?rs ! 0, Stay)] by simp
  also have ... = (tpsL10 t) ! j
    using len act-Stay that by simp
  also have ... = (tpsL11 t) ! j
    using tpsL11-def tpsL10-def tpsL-syms' 5 by simp
  finally show ?thesis .
qed
qed
qed

definition esL11 t ≡ esL10 t @ [(n + 1, t)]

lemma len-esL11: length (esL11 t) = k * (4 * TT + 4) + 2 * t + 2 * TT + 6
  using len-esL10 esL11-def by simp

lemma tmL11:
  assumes t < TT
  shows traces tmL11 (tpsL0 t) (esL11 t) (tpsL11 t)
  unfolding tmL11-def esL11-def
proof (rule traces-sequential[OF tmL10[OF assms]])
  let ?cmd = [cmdL11]
  let ?es = [(n + 1, t)]
  show traces ?cmd (tpsL10 t) ?es (tpsL11 t)
proof
  show 1: execute ?cmd (0, tpsL10 t) (length ?es) = (length ?cmd, tpsL11 t)
proof -
  have execute ?cmd (0, tpsL10 t) (length ?es) = exe ?cmd (0, tpsL10 t)
  by simp
  also have ... = sem cmdL11 (0, tpsL10 t)
  using exe-1t-length cmdL11-def by simp
  finally show ?thesis
  using sem-cmdL11[OF assms] by simp
qed
show  $\bigwedge i. i < \text{length } [(n + 1, t)] \implies$ 
  fst (execute ?cmd (0, tpsL10 t) i) < length ?cmd
  by simp
show  $\bigwedge i. i < \text{length } [(n + 1, t)] \implies$ 
  execute ?cmd (0, tpsL10 t) (Suc i) <#> 0 = fst (?es ! i)  $\wedge$ 
  execute ?cmd (0, tpsL10 t) (Suc i) <#> 1 = snd (?es ! i)
  using 1 tpsL11-def tpsL-pos-0 tpsL-pos-1
  by (metis One-nat-def add commute fst-conv less-Suc0 list.size(3) list.size(4) nth-Cons-0 plus-1-eq-Suc
snd-conv)
qed
qed

```

```

definition esL12 t ≡ esL11 t @ map (λi. (n + 1, i)) (rev [0..<t]) @ [(n + 1, 0)]

```

```

lemma len-esL12: length (esL12 t) = k * (4 * TT + 4) + 3 * t + 2 * TT + 7
  using len-esL11 esL12-def by simp

```

```

definition tpsL12 t ≡ tpsL

```

```

(Suc t)
(replicate k (0, Some 0))
0
0
(λj. sim-move t ! j)
(λj. sim-write t ! j)

```

**lemma tmL12:**

**assumes**  $t < TT$

**shows**  $\text{traces tmL12 (tpsL0 t) (esL12 t) (tpsL12 t)}$

**unfolding**  $\text{tmL12-def esL12-def}$

**proof** (rule  $\text{traces-sequential[OF tmL11[OF assms]]}$ )

**show**  $\text{traces tm-left-until1 (tpsL11 t) (map (Pair (n + 1)) (rev [0..<t]) @ [(n + 1, 0)]) (tpsL12 t)}$

**proof** (rule  $\text{traces-tm-left-until-11}$ )

**show**  $1 < \text{length (tpsL11 t)}$

**using**  $\text{tpsL11-def by simp}$

**show**  $\text{begin-tape } \{y. y < G \wedge (2 * k + 2) + 2 \wedge 1 < y \wedge \text{dec } y ! (2 * k + 1) = 1\} \text{ (tpsL11 t ! 1)}$

**using**  $\text{tpsL-1 begin-tape-zip-cont tpsL11-def by simp}$

**show**  $\text{map (Pair (n + 1)) (rev [0..<t]) @ [(n + 1, 0)] =}$

$\text{map (Pair (tpsL11 t :\#: 0)) (rev [0..<tpsL11 t :\#: 1]) @ [(tpsL11 t :\#: 0, 0)]}$

**using**  $\text{tpsL-pos-0 tpsL-pos-1 tpsL11-def by simp}$

**show**  $\text{tpsL12 t = (tpsL11 t)[1 := tpsL11 t ! 1 | \# = | 0]}$

**using**  $\text{tpsL12-def tpsL11-def tpsL-def by simp}$

**qed**

**qed**

**definition tpsL13**  $t \equiv \text{tpsL}$

(Suc t)

(replicate k (0, Some 0))

0

0

(λj. 0)

(λj. 0)

**definition esL13**  $t \equiv \text{esL12 t @ [(n + 1, 0)]}$

**lemma len-esL13:**  $\text{length (esL13 t) = } k * (4 * TT + 4) + 3 * t + 2 * TT + 8$

**using**  $\text{len-esL12 esL13-def by simp}$

**lemma tmL13:**

**assumes**  $t < TT$

**shows**  $\text{traces tmL13 (tpsL0 t) (esL13 t) (tpsL13 t)}$

**unfolding**  $\text{tmL13-def esL13-def}$

**proof** (rule  $\text{traces-sequential[OF tmL12[OF assms]]}$ )

**show**  $\text{traces (tm-write-many } \{3..<2 * k + 3\} 0) \text{ (tpsL12 t) [(n + 1, 0)] (tpsL13 t)}$

**proof** (rule  $\text{traces-tm-write-manyI[where ?k=2*k+3]}$ )

**show**  $0 \notin \{3..<2 * k + 3\}$

**by**  $\text{simp}$

**show**  $\forall j \in \{3..<2 * k + 3\}. j < 2 * k + 3$

**by**  $\text{simp}$

**show**  $2 \leq 2 * k + 3$

**by**  $\text{simp}$

**show**  $\text{length (tpsL12 t) = } 2 * k + 3 \text{ length (tpsL13 t) = } 2 * k + 3$

**using**  $\text{tpsL12-def tpsL13-def length-tpsL by simp-all}$

**show**  $\text{tpsL13 t ! j = tpsL12 t ! j | = | 0 if } j \in \{3..<2 * k + 3\} \text{ for } j$

**proof** (cases  $j < k + 3$ )

**case**  $\text{True}$

**then have**  $3 \leq j \wedge j < k + 3$

**using**  $\text{that by simp}$

**then show**  $?thesis$

**using**  $\text{tpsL13-def tpsL12-def tpsL-mvs' onesie-write by simp}$

**next**

**case**  $\text{False}$

**then have**  $k + 3 \leq j \wedge j < 2 * k + 3$   
**using** *that by simp*  
**then show** *?thesis*  
**using** *tpsL13-def tpsL12-def tpsL-symbols' onesie-write by simp*  
**qed**  
**show**  $tpsL13\ t\ !\ j = tpsL12\ t\ !\ j$  **if**  $j < 2 * k + 3$  **and**  $j \notin \{3..<2 * k + 3\}$  **for**  $j$   
**proof** –  
**from** *that have*  $j < 3$   
**by** *simp*  
**then show** *?thesis*  
**using** *tpsL13-def tpsL12-def tpsL-def less-Suc-eq numeral-3-eq-3 by auto*  
**qed**  
**show**  $[(n + 1, 0)] = [(tpsL12\ t\ :\#: 0, tpsL12\ t\ :\#: 1)]$   
**using** *tpsL12-def tpsL-pos-0 tpsL-pos-1 by simp*  
**qed**  
**qed**

**corollary** *tmL13'*:  
**assumes**  $t < TT$   
**shows** *traces tmL13 (tpsC1 t) (esL13 t) (tpsL13 t)*  
**using** *tmL13 tpsC1-def tpsL0-def assms by simp*

**definition** *esLoop-while*  $t \equiv$   
 $esC\ t\ @\ [(tpsC1\ t\ :\#: 0, tpsC1\ t\ :\#: 1)]\ @\ esL13\ t\ @\ [(tpsL13\ t\ :\#: 0, tpsL13\ t\ :\#: 1)]$

**definition** *esLoop-break*  $\equiv (esC\ TT)\ @\ [(tpsC1\ TT\ :\#: 0, tpsC1\ TT\ :\#: 1)]$

**lemma** *len-esLoop-while*:  $length\ (esLoop-while\ t) = k * (4 * TT + 4) + 4 * t + 2 * TT + 11$   
**using** *len-esL13 esC-def esLoop-while-def by simp*

**lemma** *tmLoop-while*:  
**assumes**  $t < TT$   
**shows** *trace tmLoop (0, tpsC0 t) (esLoop-while t) (0, tpsL13 t)*  
**unfolding** *tmLoop-def*  
**proof** (*rule tm-loop-sem-true-tracesI[OF tmC tmL13']*)  
**show**  $t \leq TT$  **and**  $t < TT$   
**using** *assms by simp-all*  
**show**  $0 < read\ (tpsC1\ t)\ !\ 1$   
**using** *tpsC1-def read-tpsL-1-bounds(1) assms by (metis gr0I not-one-less-zero)*  
**show** *esLoop-while*  $t =$   
 $esC\ t\ @\ [(tpsC1\ t\ :\#: 0, tpsC1\ t\ :\#: 1)]\ @\ esL13\ t\ @\ [(tpsL13\ t\ :\#: 0, tpsL13\ t\ :\#: 1)]$   
**using** *esLoop-while-def by simp*  
**qed**

**lemma** *tmLoop-while-end*:  
*trace tmLoop (0, tpsC0 0) (concat (map esLoop-while [0..<TT])) (0, tpsC0 TT)*  
**proof** (*rule tm-loop-trace-simple*)  
**have**  $tpsL13\ t = tpsC0\ (Suc\ t)$  **if**  $t < TT$  **for**  $t$   
**using** *tpsL13-def tpsC0-def by simp*  
**then show** *trace tmLoop (0, tpsC0 i) (esLoop-while i) (0, tpsC0 (Suc i)) if  $i < TT$  for  $i$*   
**using** *tmLoop-while that by simp*  
**qed**

**lemma** *len-esLoop-break*:  $length\ esLoop-break = TT + 2$   
**using** *esLoop-break-def esC-def by simp*

**lemma** *tmLoop-break*: *traces tmLoop (tpsC0 TT) esLoop-break (tpsC1 TT)*  
**unfolding** *tmLoop-def*  
**proof** (*rule tm-loop-sem-false-traces[OF tmC]*)  
**show**  $TT \leq TT$   
**by** *simp*  
**show**  $\neg\ 0 < read\ (tpsC1\ TT)\ !\ 1$   
**using** *read-tpsL-1 tpsC1-def by simp*

**show**  $esLoop\text{-}break = esC (TT) @ [(tpsC1 TT \text{:}\# : 0, tpsC1 TT \text{:}\# : 1)]$   
**using**  $esLoop\text{-}break\text{-}def$  **by**  $simp$   
**qed**

**definition**  $esLoop \equiv concat (map esLoop\text{-}while [0..<TT]) @ esLoop\text{-}break$

**lemma**  $len\text{-}esLoop1$ :  $u \leq TT \implies length (concat (map esLoop\text{-}while [0..<u])) \leq u * (k * (4 * TT + 4) + 4 * TT + 2 * TT + 11)$   
**using**  $len\text{-}esLoop\text{-}while$  **by**  $(induction u) simp\text{-}all$

**lemma**  $len\text{-}esLoop2$ :  $length (concat (map esLoop\text{-}while [0..<TT])) \leq TT * (k * (4 * TT + 4) + 4 * TT + 2 * TT + 11)$   
**using**  $len\text{-}esLoop1[of TT]$  **by**  $simp$

**lemma**  $len\text{-}esLoop3$ :  $length esLoop \leq TT * (k * (4 * TT + 4) + 4 * TT + 2 * TT + 11) + TT + 2$   
**using**  $len\text{-}esLoop2$   $esC\text{-}def$   $esLoop\text{-}def$   $esLoop\text{-}break\text{-}def$  **by**  $simp$

**lemma**  $len\text{-}esLoop$ :  $length esLoop \leq 28 * k * TT * TT$

**proof** –

**have**  $length esLoop \leq TT * (k * (4 * TT + 4) + 4 * TT + 2 * TT + 11) + TT + 2$   
**using**  $len\text{-}esLoop3$  .

**also have**  $\dots = TT * (k * (4 * TT + 4) + 6 * TT + 11) + TT + 2$   
**by**  $simp$

**also have**  $\dots \leq TT * (k * (4 * TT + 4) + 6 * TT + 11) + 3 * TT$   
**by**  $simp$

**also have**  $\dots = TT * k * (4 * TT + 4) + TT * 6 * TT + TT * 11 + 3 * TT$   
**by**  $algebra$

**also have**  $\dots = TT * k * (4 * TT + 4) + TT * 6 * TT + 14 * TT$   
**by**  $simp$

**also have**  $\dots = k * 4 * TT * TT + k * 4 * TT + 6 * TT * TT + 14 * TT$   
**by**  $algebra$

**also have**  $\dots \leq k * 4 * TT * TT + k * 4 * TT * TT + 6 * TT * TT + 14 * TT * TT$   
**by**  $simp$

**also have**  $\dots = (k * 4 + k * 4 + 6 + 14) * TT * TT$   
**by**  $algebra$

**also have**  $\dots = (k * 8 + 20) * TT * TT$   
**by**  $algebra$

**also have**  $\dots \leq 28 * k * TT * TT$

**proof** –

**have**  $k * 8 + 20 \leq 28 * k$

**using**  $k\text{-ge-2}$  **by**  $simp$

**then show**  $?thesis$

**by**  $(meson mult\text{-}le\text{-}mono1)$

**qed**

**finally show**  $?thesis$

**by**  $simp$

**qed**

**lemma**  $tmLoop$ :  $traces tmLoop (tpsC0 0) esLoop (tpsC1 TT)$   
**unfolding**  $esLoop\text{-}def$  **using**  $traces\text{-}additive[OF tmLoop\text{-}while\text{-}end tmLoop\text{-}break]$  .

**lemma**  $tps9\text{-}tpsC0$ :  $tps9 = tpsC0 0$   
**using**  $tps9\text{-}def$   $tpsC0\text{-}def$   $tps9\text{-}tpsL$  **by**  $simp$

**definition**  $es10 \equiv es9 @ esLoop$

**lemma**  $len\text{-}es10$ :  $length es10 \leq length (es\text{-}fmt n) + 40 * k * TT * TT$

**proof** –

**have**  $length es10 \leq length (es\text{-}fmt n) + 2 * TT + 2 * n + 8 + 28 * k * TT * TT$   
**using**  $len\text{-}es9$   $len\text{-}esLoop$   $es10\text{-}def$  **by**  $simp$

**also have**  $\dots \leq length (es\text{-}fmt n) + 2 * TT + 2 * TT + 8 + 28 * k * TT * TT$

**proof** –

**have**  $2 * n \leq 2 * TT$

```

    using fmt-ge-n Suc-mult-le-cancel1 le-SucI numeral-2-eq-2 by metis
  then show ?thesis
    by simp
qed
also have ... ≤ length (es-fmt n) + 12 * TT * TT + 28 * k * TT * TT
  by simp
also have ... ≤ length (es-fmt n) + 12 * k * TT * TT + 28 * k * TT * TT
proof -
  have 12 ≤ 12 * k
    using k-ge-2 by simp
  then have 12 * TT * TT ≤ 12 * k * TT * TT
    using mult-le-mono1 by presburger
  then show ?thesis
    by simp
qed
also have ... = length (es-fmt n) + 40 * k * TT * TT
  by linarith
finally show ?thesis .
qed

```

```

lemma tm10: traces tm10 tps0 es10 (tpsC1 TT)
  unfolding tm10-def es10-def
  using traces-sequential[OF tm9] tps9-tpsC0 tmLoop
  by simp

```

### Cleaning up the output

```

abbreviation tps10 ≡ tpsC1 TT

```

```

definition es11 ≡ es10 @ map (λi. (n + 1, i)) (rev [0..<TT]) @ [(n + 1, 0)]

```

```

lemma len-es11: length es11 ≤ length (es-fmt n) + 40 * k * TT * TT + Suc TT
  using es11-def len-es10 by simp

```

```

definition tps11 ≡ tps10[1 := ltransplant (tps10 ! 1) (tps10 ! 1) ec1 TT]

```

```

lemma tm11: traces tm11 tps0 es11 tps11

```

```

  unfolding tm11-def es11-def

```

```

proof (rule traces-sequential[OF tm10])

```

```

  show traces

```

```

    (tm-ltrans-until 1 1 StartSym ec1)

```

```

    (tpsC1 (Suc (fmt n)))

```

```

    (map (Pair (n + 1)) (rev [0..<Suc (fmt n)]) @ [(n + 1, 0)]) tps11

```

```

proof (rule traces-tm-ltrans-until-11I[where ?n=TT and ?G=G'])

```

```

  show 1 < length (tpsC1 (Suc (fmt n)))

```

```

    using tpsC1-def by simp

```

```

  show ∀ h < G'. ec1 h < G'

```

```

    using ec1 by simp

```

```

  show lneigh (tps10 ! 1) StartSym TT

```

```

    using begin-tape-def begin-tape-zip-cont tpsC1-def tpsL-def by (intro lneighI) simp-all

```

```

  show Suc (fmt n) ≤ tpsC1 (Suc (fmt n)) :# 1

```

```

    using tpsC1-def tpsL-def by simp

```

```

  show map (Pair (n + 1)) (rev [0..<TT]) @ [(n + 1, 0)] =

```

```

    map (λi. (tps10 :# 0, tps10 :# 1 - Suc i)) [0..<TT] @ [(tps10 :# 0, tps10 :# 1 - TT)]

```

```

proof -

```

```

  have 1: tps10 :# 0 = n + 1

```

```

    using tpsC1-def tpsL-pos-0 by simp

```

```

  moreover have 2: tps10 :# 1 = TT

```

```

    using tpsC1-def tpsL-pos-1 by simp

```

```

  ultimately have map (λi. (tps10 :# 0, tps10 :# 1 - Suc i)) [0..<TT] = map (λi. (n + 1, TT - Suc i)) [0..<TT]

```

```

    by simp

```

```

  moreover have map (λi. (c1, c2 - Suc i)) [0..<c2] = map (Pair c1) (rev [0..<c2]) for c1 c2 :: nat

```

```

    by (intro nth-equalityI, simp)

```

```

    (metis (no-types, lifting) add-cancel-left-left add-lessD1 diff-less diff-zero
      length-map length-upt nth-map-upt rev-map rev-nth zero-less-Suc)
  ultimately have map (λi. (tps10 :#: 0, tps10 :#: 1 - Suc i)) [0..<TT] = map (Pair (n + 1)) (rev
[0..<TT])
  by metis
  then show ?thesis
  using 1 2 by simp
qed
show tps11 = (tpsC1 TT) [1 := ltransplant (tpsC1 TT ! 1) (tpsC1 TT ! 1) ec1 TT]
  using tps11-def by simp
qed
qed

```

**definition**  $es12 \equiv es11 @ [(n + 1, 1)]$

The upper bound on the length of the trace will help us establish an upper bound of the total running time.

```

lemma length-es12: length es12 ≤ length (es-fmt n) + 43 * k * TT * TT
proof -
  have length es12 ≤ length (es-fmt n) + 40 * k * TT * TT + 3 * TT * TT
  using es12-def len-es11 by simp
  moreover have 3 * TT * TT ≤ 3 * k * TT * TT
  proof -
    have 3 ≤ 3 * k
    using k-ge-2 by simp
    then show ?thesis
    by (meson mult-le-mono1)
  qed
  ultimately show ?thesis
  by linarith
qed

```

**definition**  $tps12 \equiv tps11[1 := tps11 ! 1 |:=| (ec1 (tps11 :: 1)) | + | 1]$

```

lemma tm12: traces tm12 tps0 es12 tps12
  unfolding tm12-def es12-def
proof (rule traces-sequential[OF tm11])
  show traces (tm-rtrans 1 ec1) tps11 [(n + 1, 1)] tps12
  proof (rule traces-tm-rtrans-1I)
    show 1 < length tps11
    using tps11-def tpsC1-def by simp
    show [(n + 1, 1)] = [(tps11 :#: 0, tps11 :#: 1 + 1)]
    using tps11-def tpsC1-def tpsL-pos-0 tpsL-pos-1 ltransplant-def by simp
    show tps12 = tps11[1 := tps11 ! 1 |:=| ec1 (tps11 :: 1) | + | 1]
    using tps12-def by simp
  qed
qed

```

**lemma**  $tps11-0$ :  $(tps11 :: 1) 0 = (zip-cont TT (replicate k (0, Some 0))) 0$   
 using  $tps11-def$   $tpsC1-def$   $tpsL-def$   $ltransplant-def$  by simp

```

lemma tps11-gr0-exec:
  assumes  $i > 0$ 
  shows  $(tps11 :: 1) i = (exec TT <:> 1) i$ 
proof -
  let ?tp = tps10 ! 1
  let ?xs = replicate k (0, Some 0)
  have 1:  $tps11 ! 1 = ltransplant ?tp ?tp ec1 TT$ 
  using tps11-def tpsC1-def tpsL-def by simp
  have 2:  $tps10 :#: 1 = TT$ 
  using tpsC1-def tpsL-def by simp
  show ?thesis
  proof (cases  $i \leq TT$ )

```

```

case le-TT: True
then have 0 < i ∧ i ≤ TT
  using assms by simp
then have *: (tps11 ::: 1) i = ec1 (fst ?tp i)
  using 1 tpsC1-def tpsL-def ltransplant-def by simp
show ?thesis
proof (cases i = TT)
case True
then have ¬ is-code ((zip-cont TT ?xs) i)
  by (simp add: zip-cont-eq-0)
then have (tps11 ::: 1) i = 0
  using * 2 True tpsC1-at-T by simp
moreover have (exec TT <:> 1) TT = 0
  using tps-ge-TT-0 by simp
ultimately show ?thesis
  using True by simp
next
case False
then have i < TT
  using le-TT by simp
then have fst ?tp i = (zip-cont TT ?xs) i
  using tpsC1-def tpsL-def by simp
then have (tps11 ::: 1) i = ec1 ((zip-cont TT ?xs) i)
  using * by simp
moreover have is-code ((zip-cont TT ?xs) i)
  using zip-cont-gt-1 zip-cont-less ⟨i < TT⟩ by simp
ultimately have (tps11 ::: 1) i = enc-nth ((zip-cont TT ?xs) i) 1
  by simp
then have (tps11 ::: 1) i = (exec TT <:> 1) i
  using enc-nth-def dec-zip-cont-less-k[OF ⟨i < TT⟩] k-ge-2 by simp
then show ?thesis
  by simp
qed
next
case False
then have (tps11 ::: 1) i = 0
  using 1 tpsC1-def tpsL-def ltransplant-def zip-cont-eq-0 by force
moreover have (exec TT <:> 1) i = 0
  using False tps-ge-TT-0 by simp
ultimately show ?thesis
  by simp
qed
qed

definition tps12' ≡
  [(zs], n + 1),
  (exec TT <:> 1, 1),
  [fst (exec TT)]] @
  map (λi. [□]) [0..<k] @
  map (λi. [□]) [0..<k]

lemma tps12': tps12' = tps12
proof (rule nth-equalityI)
show length tps12' = length tps12
  using tps12'-def tps12-def tps11-def tpsC1-def by simp
show tps12' ! j = tps12 ! j if j < length tps12' for j
proof -
have length tps12' = 2 * k + 3
  using tps12'-def by simp
then consider j = 0 | j = 1 | j = 2 | 3 ≤ j ∧ j < k + 3 | k + 3 ≤ j ∧ j < 2 * k + 3
  using ⟨j < length tps12'⟩ by linarith
then show ?thesis
proof (cases)

```

```

case 1
then show ?thesis
  unfolding tps12'-def tps12-def tps11-def tpsC1-def tpsL-def by simp
next
case 2
then have lhs: tps12' ! j = ( $\lambda i. (\text{exec } TT <:> 1) i, 1$ )
  using tps12'-def by simp
let ?tp = tps10 ! 1
let ?xs = replicate k (0, Some 0)
have tps11 :#: 1 = 0
  using tps11-def ltransplant-def tpsC1-def tpsL-pos-1 by simp
have rhs: tps12 ! j = (ltransplant ?tp ?tp ec1 TT) |:=| (ec1 (tps11 :: 1)) |+| 1
  using tps12-def 2 <length tps12' = length tps12> tps11-def that by simp
have tps10: tps10 ! j = (zip-cont TT ?xs, TT)
  using tpsC1-def 2 tpsL-1 by simp
show tps12' ! j = tps12 ! j
proof
show tps12' :#: j = tps12 :#: j
  using lhs rhs ltransplant-def tps10 2 by simp
have tps12: tps12 ! 1 = tps11 ! 1 |:=| (ec1 (tps11 :: 1)) |+| 1
  using tps12-def 2 <length tps12' = length tps12> that by auto
have (tps12' :: 1) i = (tps12 :: 1) i for i
proof (cases i = 0)
case True
then have (tps12 :: 1) i = ec1 (tps11 :: 1)
  using tps12 <tps11 :#: 1 = 0> by simp
moreover have (tps11 :: 1) = (zip-cont TT ?xs) 0
  using tps11-0 <tps11 :#: 1 = 0> by simp
ultimately have (tps12 :: 1) i = ec1 ((zip-cont TT ?xs) 0)
  by simp
moreover have is-code ((zip-cont TT ?xs) 0)
  using zip-cont-gt-1 zip-cont-less by simp
ultimately have (tps12 :: 1) i = enc-nth ((zip-cont TT ?xs) 0) 1
  by simp
then have (tps12 :: 1) i = enc-nth (zip-cont TT ?xs i) 1
  using True by simp
then have (tps12 :: 1) i = (exec TT <:> 1) i
  using enc-nth-def dec-zip-cont-less-k True k-ge-2 by simp
then show ?thesis
  using tps12'-def by simp
next
case False
then have (tps12 :: 1) i = (tps11 :: 1) i
  using tps12 <tps11 :#: 1 = 0> by simp
then have (tps12 :: 1) i = (exec TT <:> 1) i
  using False tps11-gr0-exec by simp
moreover have (tps12' :: 1) i = (exec TT <:> 1) i
  using tps12'-def by simp
ultimately show ?thesis
  by simp
qed
then show tps12' :: j = tps12 :: j
  using 2 by auto
qed
next
case 3
then show ?thesis
  unfolding tps12'-def tps12-def tps11-def tpsC1-def tpsL-def by simp
next
case 4
then show ?thesis
  unfolding tps12'-def tps12-def tps11-def tpsC1-def
  using tpsL-mvs' threeplus2k-2[where ?a=( $\lfloor zs \rfloor, n + 1$ )]

```



```

    by simp
next
case 5
then show ?thesis
  unfolding tps12'-def tps12-def tps11-def tpsC1-def
  using tpsL-symbols' threepus2k-3[where ?a=(\zs, n + 1)]
  by simp
qed
qed
qed

```

```

lemma tm12': traces tm12 tps0 es12 tps12'
  using tm12 tps12' by simp

```

end

### 5.3.4 Shrinking the Turing machine to two tapes

The simulator TM  $tm12$  has  $2k + 3$  tapes, of which  $2k + 1$  are immobile and thus can be removed by the memorization-in-states technique, resulting in a two-tape TM.

lemma *immobile-tm12*:

assumes  $j > 1$  and  $j < 2 * k + 3$

shows *immobile tm12 j (2 \* k + 3)*

proof –

have *immobile tm1 j (2 \* k + 3)*

unfolding *tm1-def*

using *immobile-append-tapes[of j 2\*k+3, OF - - - fmt(1)] assms*

by *simp*

moreover have *immobile tm1-2 j (2 \* k + 3)*

using *tm1-2-def tm-const-until-def immobile-tm-trans-until assms* by *simp*

ultimately have *immobile tm2 j (2 \* k + 3)*

using *tm2-def immobile-sequential tm1-2-tm tm1-tm* by *simp*

then have *immobile tm3 j (2 \* k + 3)*

using *tm3-def immobile-sequential[OF tm2-tm] tm-start-tm immobile-tm-start assms G'-ge* by *simp*

then have *immobile tm4 j (2 \* k + 3)*

using *tm4-def immobile-sequential[OF tm3-tm tm3-4-tm] immobile-tm-write assms* by *simp*

then have *immobile tm5 j (2 \* k + 3)*

using *tm5-def immobile-sequential[OF tm4-tm] G'-ge(1) immobile-tm-right tm-right-tm assms* by *simp*

then have *immobile tm6 j (2 \* k + 3)*

using *tm6-def immobile-sequential[OF tm5-tm tm5-6-tm] immobile-tm-trans-until tm5-6-def assms* by *simp*

then have *immobile tm7 j (2 \* k + 3)*

using *tm7-def immobile-sequential[OF tm6-tm tm-left-until1-tm] immobile-tm-left-until assms* by *simp*

then have *immobile tm8 j (2 \* k + 3)*

using *tm8-def immobile-sequential[OF tm7-tm] immobile-tm-write assms G'-ge tm-write-tm* by *simp*

then have  $9$ : *immobile tm9 j (2 \* k + 3)*

using *tm9-def immobile-sequential[OF tm8-tm] immobile-tm-write-many tm-write-many-tm k-ge-2 G'-ge assms*  
by *simp*

have  $C$ : *immobile tmC j (2 \* k + 3)*

unfolding *tmC-def tm-right-until-def tm-cp-until-def*

using *tm-cp-until-tm immobile-tm-trans-until G'-ge(1) assms*

by *simp*

have *cmdL2 rs [\~] j = Stay* if *length rs = 2 \* k + 3* for  $rs$

proof (cases  $rs ! 1 = \square$ )

case *True*

then show ?thesis

using *cmdL2-def assms that* by *simp*

next

case *False*

then consider  $j = 2 \mid 3 \leq j \wedge j < 3 + k \mid 3 + k \leq j \wedge j < 2 * k + 3$

using *assms* by *linarith*

then show ?thesis

```

proof (cases)
  case 1
  then show ?thesis
    using cmdL2-def assms that by simp
next
  case 2
  then show ?thesis
    using assms that cmdL2-at-3 False by simp
next
  case 3
  then show ?thesis
    using assms that cmdL2-at-4 False by simp
qed
qed
then have immobile tmL1-2 j (2 * k + 3)
  using tmL1-2-def by auto
then have immobile tmL2 j (2 * k + 3)
  unfolding tmL2-def tmL1-def
  using tm-left-until1-tm immobile-tm-left-until tmL2-tm immobile-sequential tmL1-2-tm assms
  by auto
moreover have cmdL3 rs [~] j = Stay if length rs = 2 * k + 3 for rs
proof -
  consider j = 2 | 3 ≤ j ∧ j < 3 + k | 3 + k ≤ j ∧ j < 2 * k + 3
  using assms by linarith
  then show ?thesis
  proof (cases)
    case 1
    then show ?thesis
      using cmdL3-def assms that by simp
  next
    case 2
    then show ?thesis
      using assms that cmdL3-at-3a cmdL3-at-3b
      by (metis (no-types, lifting) add commute prod.sel(2))
  next
    case 3
    then show ?thesis
      using assms that cmdL3-at-4a cmdL3-at-4b
      by (metis (no-types, lifting) add commute prod.sel(2))
  qed
qed
ultimately have immobile tmL3 j (2 * k + 3)
  unfolding tmL3-def
  using tmL2-tm immobile-sequential assms tmL2-3-def tmL2-3-tm immobile-def
  by simp
then have L4: immobile tmL4 j (2 * k + 3)
  unfolding tmL4-def
  using tmL3-tm immobile-sequential assms tm-left-until1-tm immobile-tm-left-until
  by auto

have (cmdL5 jj) rs [~] j = Stay if length rs = 2 * k + 3 and jj < k for rs jj
proof (cases rs ! 1 = □)
  case True
  then show ?thesis
    using cmdL5-eq-0 assms that by simp
next
  case False
  then consider j = 2 | 3 ≤ j ∧ j < 3 + k | 3 + k ≤ j ∧ j < 2 * k + 3
  using assms by linarith
  then show ?thesis
  proof (cases)
    case 1
    then show ?thesis

```

```

    using that by (simp add: cmdL5-def)
  next
  case 2
  then show ?thesis
    using assms that cmdL5-at-3 False by simp
  next
  case 3
  then show ?thesis
    using assms that cmdL5-at-4 False by simp
  qed
qed
then have immobile (tmL45 jj) j (2 * k + 3) if jj < k for jj
  by (auto simp add: that tmL45-def)
then have L46: immobile (tmL46 jj) j (2 * k + 3) if jj < k for jj
  using tmL46-def immobile-sequential[OF tmL45-tm] tm-left-tm immobile-tm-left assms that k-ge-2 G'-ge by
simp

```

```

have (cmdL7 jj) rs [~] j = Stay if length rs = 2 * k + 3 and jj < k for rs jj
proof -

```

```

  consider (a) condition7a rs jj | (b) condition7b rs jj | (c) condition7c rs jj
  by blast

```

```

then show ?thesis

```

```

proof (cases)

```

```

  case a

```

```

  consider j = 2 | 3 ≤ j ∧ j < k + 3 | 3 + k ≤ j ∧ j < 2 * k + 3

```

```

  using assms by linarith

```

```

  then show ?thesis

```

```

  using cmdL7-def a threeplus2k-2[of - - (rs ! 0, Stay)] threeplus2k-3[of - - (rs ! 0, Stay)]

```

```

  by (cases) simp-all

```

```

next

```

```

  case b

```

```

  consider j = 2 | 3 ≤ j ∧ j < k + 3 | 3 + k ≤ j ∧ j < 2 * k + 3

```

```

  using assms by linarith

```

```

  then show ?thesis

```

```

  using cmdL7-def b threeplus2k-2[of - - (rs ! 0, Stay)] threeplus2k-3[of - - (rs ! 0, Stay)]

```

```

  by (cases) simp-all

```

```

next

```

```

  case c

```

```

  consider j = 2 | 3 ≤ j ∧ j < k + 3 | 3 + k ≤ j ∧ j < 2 * k + 3

```

```

  using assms by linarith

```

```

  then show ?thesis

```

```

  using cmdL7-def c threeplus2k-2[of - - (rs ! 0, Stay)] threeplus2k-3[of - - (rs ! 0, Stay)]

```

```

  by (cases) simp-all

```

```

qed

```

```

qed

```

```

then have immobile (tmL67 jj) j (2 * k + 3) if jj < k for jj

```

```

  by (auto simp add: that tmL67-def)

```

```

then have L47: immobile (tmL47 jj) j (2 * k + 3) if jj < k for jj

```

```

  using tmL47-def immobile-sequential[OF tmL46-tm tmL67-tm] L46 assms that by simp

```

```

have (cmdL8 jj) rs [~] j = Stay if length rs = 2 * k + 3 and jj < k for rs jj

```

```

proof -

```

```

  consider (a) condition8a rs jj | (b) condition8b rs jj | (c) condition8c rs jj | (d) condition8d rs jj

```

```

  by blast

```

```

then show ?thesis

```

```

proof (cases)

```

```

  case a

```

```

  consider j = 2 | 3 ≤ j ∧ j < k + 3 | 3 + k ≤ j ∧ j < 2 * k + 3

```

```

  using assms by linarith

```

```

  then show ?thesis

```

```

  using cmdL8-def a threeplus2k-2[of - - (rs ! 0, Stay)] threeplus2k-3[of - - (rs ! 0, Stay)]

```

```

  by (cases) simp-all

```

```

next

```

```

case b
consider  $j = 2 \mid 3 \leq j \wedge j < k + 3 \mid 3 + k \leq j \wedge j < 2 * k + 3$ 
  using assms by linarith
then show ?thesis
  using cmdL8-def b threeplus2k-2[of - - (rs ! 0, Stay)] threeplus2k-3[of - - (rs ! 0, Stay)]
  by (cases) simp-all
next
case c
consider  $j = 2 \mid 3 \leq j \wedge j < k + 3 \mid 3 + k \leq j \wedge j < 2 * k + 3$ 
  using assms by linarith
then show ?thesis
  using cmdL8-def c threeplus2k-2[of - - (rs ! 0, Stay)] threeplus2k-3[of - - (rs ! 0, Stay)]
  by (cases) simp-all
next
case d
consider  $j = 2 \mid 3 \leq j \wedge j < k + 3 \mid 3 + k \leq j \wedge j < 2 * k + 3$ 
  using assms by linarith
then show ?thesis
  using cmdL8-def d threeplus2k-2[of - - (rs ! 0, Stay)] threeplus2k-3[of - - (rs ! 0, Stay)]
  by (cases) simp-all
qed
qed
then have immobile (tmL78 jj) j (2 * k + 3) if jj < k for jj
  by (auto simp add: that tmL78-def)
then have immobile (tmL48 jj) j (2 * k + 3) if jj < k for jj
  using tmL48-def immobile-sequential[OF tmL47-tm tmL78-tm] L47 assms that by simp
then have L49: immobile (tmL49 jj) j (2 * k + 3) if jj < k for jj
  using tmL49-def immobile-sequential[OF tmL48-tm] tm-left-until1-tm immobile-tm-left-until assms that by simp

have L49-upt: immobile (tmL49-upt j') j (2 * k + 3) if j' ≤ k for j'
  using that
proof (induction j')
  case 0
  then show ?case
  by auto
next
case (Suc j')
have tmL49-upt (Suc j') = tmL49-upt j' ;; tmL49 j'
  by simp
moreover have turing-machine (2*k+3) G' (tmL49-upt j')
  using tmL49-upt-tm Suc by simp
moreover have immobile (tmL49-upt j') j (2*k+3)
  using Suc by simp
moreover have turing-machine (2*k+3) G' (tmL49 j')
  using tmL49-tm Suc by simp
moreover have immobile (tmL49 j') j (2*k+3)
  using L49 Suc by simp
ultimately show ?case
  using immobile-sequential by simp
qed
then have immobile tmL9 j (2 * k + 3)
  using tmL9-def immobile-sequential[OF tmL4-tm tmL49-upt-tm] L4 by simp
then have L10: immobile tmL10 j (2 * k + 3)
  using tmL10-def immobile-sequential[OF tmL9-tm tmC-tm] C by simp

have cmdL11 rs [~] j = Stay if length rs = 2 * k + 3 and jj < k for rs jj
proof -
  consider  $j = 2 \mid 3 \leq j \wedge j < 3 + k \mid 3 + k \leq j \wedge j < 2 * k + 3$ 
  using assms by linarith
then show ?thesis
proof (cases)
  case 1

```

```

    then show ?thesis
      by (simp add: cmdL11-def)
  next
  case 2
  then show ?thesis
    using cmdL11-def threeplus2k-2[where ?a=(rs ! 0, Stay)] by simp
  next
  case 3
  then show ?thesis
    using cmdL11-def threeplus2k-3[where ?a=(rs ! 0, Stay)] by simp
  qed
qed
then have immobile [cmdL11] j (2 * k + 3)
  using k-ge-2 assms by force
then have immobile tmL11 j (2 * k + 3)
  using tmL11-def immobile-sequential[OF tmL10-tm tmL1011-tm] L10 by simp
then have immobile tmL12 j (2 * k + 3)
  using tmL12-def immobile-sequential[OF tmL11-tm tm-left-until1-tm] immobile-tm-left-until assms by simp
then have immobile tmL13 j (2 * k + 3)
  using tmL13-def immobile-sequential[OF tmL12-tm tm-write-many-tm] immobile-tm-write-many
  assms k-ge-2 G'-ge(1)
  by simp
then have immobile tmLoop j (2 * k + 3)
  using tmLoop-def C immobile-loop[OF tmC-tm tmL13-tm] assms(2) by simp
then have immobile tm10 j (2 * k + 3)
  using tm10-def immobile-sequential[OF tm9-tm tmLoop-tm] 9 by simp
then have immobile tm11 j (2 * k + 3)
  using tm11-def immobile-sequential[OF tm10-tm tm-ltrans-until-tm] ec1 G'-ge immobile-tm-ltrans-until assms
  by simp
then show immobile tm12 j (2 * k + 3)
  using tm12-def immobile-sequential[OF tm11-tm tm-rtrans-tm] ec1 G'-ge immobile-tm-rtrans assms by simp
qed

```

**definition**  $tps12''$   $zs \equiv$   
 $[(zs], \text{length } zs + 1),$   
 $(\text{exec } zs (\text{Suc } (\text{fmt } (\text{length } zs)))) <:> 1, 1]$

**lemma**  $tps12''$ :  
**assumes**  $\text{bit-symbols } zs$   
**shows**  $tps12'' zs = \text{take } 2 (tps12' zs)$   
**using**  $tps12'\text{-def } tps12''\text{-def } \text{assms}$  **by**  $\text{simp}$

This is the actual simulator Turing machine we are constructing in this section. It is  $tm12$  stripped of all memorization tapes:

**definition**  $tmO2T \equiv \text{icartesian } (2 * k + 1) \text{ } tm12 \text{ } G'$

**lemma**  $tmO2T\text{-tm}$ :  $\text{turing-machine } 2 \text{ } G' \text{ } tmO2T$   
**unfolding**  $tmO2T\text{-def}$   
**using**  $\text{immobile-tm12 } tm12\text{-tm } \text{icartesian-tm}[of \ 2 * k + 1 \ G']$   
**by**  $(\text{metis } (\text{no-types}, \text{lifting}) \text{ One-nat-def } \text{Suc-le-lessD } \text{add.assoc } \text{add-less-mono1 } \text{le-add2}$   
 $\text{numeral-3-eq-3 } \text{one-add-one } \text{plus-1-eq-Suc})$

The constructed two-tape Turing machine computes the same output as the original Turing machine.

**lemma**  $tmO2T$ :  
**assumes**  $\text{bit-symbols } zs$   
**shows**  $\text{traces } tmO2T (\text{snd } (\text{start-config } 2 \text{ } zs)) (\text{es12 } zs) (tps12'' zs)$   
**proof** –  
**have**  $*$ :  $2 * k + 1 + 2 = 2 * k + 3$   
**by**  $\text{simp}$   
**then have**  $\text{turing-machine } (2 * k + 1 + 2) \text{ } G' \text{ } tm12$   
**using**  $tm12\text{-tm}$  **by**  $\text{metis}$   
**moreover have**  $\bigwedge j. j < 2 * k + 1 \implies \text{immobile } tm12 (j + 2) (2 * k + 1 + 2)$   
**using**  $\text{immobile-tm12 } \text{immobile-def}$  **by**  $\text{simp}$

**moreover have**  $\forall i < \text{length } zs. zs ! i < G'$   
**using** *assms*  $G'$ -ge- $G$  *zs-less-G* **by** (*meson order-less-le-trans*)  
**moreover have** *traces*  $tm12$  (*snd* (*start-config* ( $2 * k + 1 + 2$ ) *zs*)) (*es12* *zs*) (*tps12'* *zs*)  
**using**  $tm12'$  *tps0-start-config* *assms* \* **by** (*metis* (*no-types*, *lifting*) *prod.sel(2)*)  
**ultimately show** *?thesis*  
**using** *icartesian*[*of*  $2 * k + 1$   $G'$   $tm12$  *zs* *es12* *zs*  $tps12'$  *zs*] *tmO2T-def*  $tps12''$  *assms* **by** *simp*  
**qed**

### 5.3.5 Time complexity

This is the bound for the running time of *tmO2T*:

**definition**  $TTT :: \text{nat} \Rightarrow \text{nat}$  **where**

$TTT \equiv \lambda n. \text{length } (es\text{-fmt } n) + 43 * k * \text{Suc } (fmt\ n) * \text{Suc } (fmt\ n)$

**lemma** *execute-tmO2T*:

**assumes** *bit-symbols* *zs*

**shows** *execute*  $tmO2T$  (*start-config*  $2$  *zs*) ( $TTT$  (*length* *zs*)) = (*length*  $tmO2T$ ,  $tps12''$  *zs*)

**proof** –

**have** *trace*  $tmO2T$  (*start-config*  $2$  *zs*) (*es12* *zs*) (*length*  $tmO2T$ ,  $tps12''$  *zs*)

**using**  $tmO2T$  *assms* *traces-def* *start-config-def* **by** *simp*

**then have** *execute*  $tmO2T$  (*start-config*  $2$  *zs*) (*length* (*es12* *zs*)) = (*length*  $tmO2T$ ,  $tps12''$  *zs*)

**using** *trace-def* **by** *simp*

**moreover have** *length* (*es12* *zs*)  $\leq TTT$  (*length* *zs*)

**using** *assms* *length-es12* *TTT-def* **by** *simp*

**ultimately show** *?thesis*

**by** (*metis* *execute-after-halting-ge* *fst-conv*)

**qed**

The simulator TM *tmO2T* halts with the output tape head on cell 1.

**lemma** *execute-tmO2T-1*:

**assumes** *bit-symbols* *zs*

**shows** *execute*  $tmO2T$  (*start-config*  $2$  *zs*) ( $TTT$  (*length* *zs*))  $\langle! \rangle 1 =$   
(*execute*  $M$  (*start-config*  $k$  *zs*) ( $T$  (*length* *zs*)))  $\langle : \rangle 1, 1$

**proof** –

**have**  $T$  (*length* *zs*)  $\leq \text{Suc } (fmt$  (*length* *zs*))

**by** (*metis* *add-leD1* *le-Suc-eq* *fmt(4)*  $T'$ -*def*)

**then have** \*: *execute*  $M$  (*start-config*  $k$  *zs*) ( $T$  (*length* *zs*)) =

*execute*  $M$  (*start-config*  $k$  *zs*) ( $\text{Suc } (fmt$  (*length* *zs*)))

**using** *execute-after-halting-ge* *time-bound-T* *time-bound-def* *assms* **by** (*metis* (*no-types*, *lifting*))

**have** *execute*  $tmO2T$  (*start-config*  $2$  *zs*) ( $TTT$  (*length* *zs*)) = (*length*  $tmO2T$ ,  $tps12''$  *zs*)

**using** *assms* *execute-tmO2T* **by** *simp*

**then have** *execute*  $tmO2T$  (*start-config*  $2$  *zs*) ( $TTT$  (*length* *zs*))  $\langle! \rangle 1 =$

(*execute*  $M$  (*start-config*  $k$  *zs*) ( $\text{Suc } (fmt$  (*length* *zs*)))  $\langle : \rangle 1, 1$ )

**using**  $tps12''$ -*def* *exec-def* *assms* **by** *simp*

**then show** *?thesis*

**using** \* **by** *simp*

**qed**

**lemma** *poly-TTT*: *big-oh-poly*  $TTT$

**proof** –

**have** 1: *big-oh-poly* ( $\lambda n. \text{length } (es\text{-fmt } n)$ )

**using** *fmt(2)* **by** *simp*

**have** *big-oh-poly* ( $\lambda n. \text{fmt } n + 1$ )

**using** *fmt(3)* *big-oh-poly-const* *big-oh-poly-sum* **by** *blast*

**then have** *big-oh-poly* ( $\lambda n. \text{Suc } (fmt\ n)$ )

**by** *simp*

**then have** *big-oh-poly* ( $\lambda n. \text{Suc } (fmt\ n) * \text{Suc } (fmt\ n)$ )

**using** *big-oh-poly-prod* **by** *blast*

**moreover have** *big-oh-poly* ( $\lambda n. 43 * k$ )

**using** *big-oh-poly-const* **by** *simp*

**ultimately have** *big-oh-poly* ( $\lambda n. 43 * k * (\text{Suc } (fmt\ n) * \text{Suc } (fmt\ n))$ )

**using** *big-oh-poly-prod* **by** *blast*

**moreover have**  $(\lambda n. 43 * k * (Suc (fmt n) * Suc (fmt n))) = (\lambda n. 43 * k * Suc (fmt n) * Suc (fmt n))$   
**by** *(metis (mono-tags, opaque-lifting) mult.assoc)*  
**ultimately have** *big-oh-poly*  $(\lambda n. 43 * k * Suc (fmt n) * Suc (fmt n))$   
**by** *simp*  
**then have** *big-oh-poly*  $(\lambda n. length (es-fmt n) + 43 * k * Suc (fmt n) * Suc (fmt n))$   
**using** *1 big-oh-poly-sum* **by** *simp*  
**then show** *?thesis*  
**unfolding** *TTT-def* **by** *simp*  
**qed**

### 5.3.6 Obliviousness

The two-tape simulator machine is oblivious.

**lemma** *tmO2T-oblivious*:

**assumes** *length zs1 = length zs2* **and** *bit-symbols zs1* **and** *bit-symbols zs2*  
**shows** *es12 zs1 = es12 zs2*

**proof** –

**have** *es1 zs1 = es1 zs2*  
**using** *es1-def assms* **by** *simp*

**moreover have** *es1-2 zs1 = es1-2 zs2*  
**using** *es1-2-def assms* **by** *(metis (no-types, lifting))*

**ultimately have** *es2 zs1 = es2 zs2*  
**using** *es2-def assms* **by** *simp*

**then have** *es3 zs1 = es3 zs2*  
**using** *es3-def assms* **by** *simp*

**then have** *es4 zs1 = es4 zs2*  
**using** *es4-def assms* **by** *simp*

**then have** *es5 zs1 = es5 zs2*  
**using** *es5-def assms* **by** *simp*

**then have** *es6 zs1 = es6 zs2*  
**using** *es6-def assms* **by** *simp*

**then have** *es7 zs1 = es7 zs2*  
**using** *es7-def assms* **by** *simp*

**then have** *es8 zs1 = es8 zs2*  
**using** *es8-def assms* **by** *simp*

**then have** *9: es9 zs1 = es9 zs2*  
**using** *es9-def assms* **by** *simp*

**have** *C: esC zs1 t = esC zs2 t* **for** *t*  
**using** *esC-def assms* **by** *simp*

**then have** *Loop-break: esLoop-break zs1 = esLoop-break zs2*  
**using** *esLoop-break-def tpsC1-def tpsL-def assms* **by** *simp*

**have** *esL1 zs1 = esL1 zs2*  
**using** *esL1-def assms* **by** *auto*

**moreover have** *esL1-2 zs1 = esL1-2 zs2*  
**using** *esL1-2-def assms* **by** *simp*

**ultimately have** *esL2 zs1 = esL2 zs2*  
**using** *esL2-def assms* **by** *auto*

**then have** *esL3 zs1 = esL3 zs2*  
**using** *esL3-def assms* **by** *auto*

**then have** *L4: esL4 zs1 = esL4 zs2*  
**using** *esL4-def assms* **by** *auto*

**have** *esL45 zs1 = esL45 zs2*  
**using** *esL45-def assms* **by** *simp*

**then have** *esL46 zs1 = esL46 zs2*  
**using** *esL46-def assms* **by** *simp*

**moreover have** *esL67 zs1 = esL67 zs2*  
**using** *esL67-def assms* **by** *simp*

**ultimately have** *esL47 zs1 = esL47 zs2*  
**using** *esL47-def assms* **by** *simp*

```

moreover have esL78 zs1 = esL78 zs2
  using esL78-def assms by simp
ultimately have esL48 zs1 = esL48 zs2
  using esL48-def assms by simp
then have esL49 zs1 = esL49 zs2
  using esL49-def assms by simp
then have esL49-upt zs1 = esL49-upt zs2
  using esL49-upt-def assms by simp
then have esL9 zs1 = esL9 zs2
  using esL9-def L4 assms by auto
then have esL10 zs1 = esL10 zs2
  using esL10-def C assms by auto
then have esL11 zs1 = esL11 zs2
  using esL11-def assms by auto
then have esL12 zs1 = esL12 zs2
  using esL12-def assms by auto
then have esL13 zs1 = esL13 zs2
  using esL13-def assms by auto
then have esLoop-while zs1 = esLoop-while zs2
  using esLoop-while-def C tpsC1-def tpsL13-def tpsL-def assms by auto
then have esLoop zs1 = esLoop zs2
  using esLoop-def Loop-break assms by auto
then have es10 zs1 = es10 zs2
  using es10-def 9 assms by auto
then have es11 zs1 = es11 zs2
  using es11-def assms by simp
then show es12 zs1 = es12 zs2
  using es12-def assms by simp
qed

```

end

## 5.4 $\mathcal{NP}$ and obliviousness

This section presents the main result of this chapter: For every language  $L \in \mathcal{NP}$  there is a polynomial-time two-tape oblivious verifier TM that halts with the output tape head on a **1** symbol iff. in the input  $\langle x, u \rangle$ , the string  $u$  is a certificate for  $x$ . The proof combines two lemmas. First *NP-output-len-1*, which says that we can assume the verifier outputs only one symbol (namely, **0** or **1**), and second *two-tape.execute-tmO2T-1*, which says that the two-tape oblivious TM halts with output tape head in cell 1. This cell will contain either **0** or **1** by the first lemma.

**lemma** *NP-imp-oblivious-2tape*:

```

fixes L :: language
assumes L ∈  $\mathcal{NP}$ 
obtains M G T p where
  big-oh-poly T and
  polynomial p and
  turing-machine 2 G M and
  oblivious M and
   $\bigwedge y.$  bit-symbols y  $\implies$  fst (execute M (start-config 2 y) (T (length y))) = length M and
   $\bigwedge x. x \in L \iff (\exists u. \text{length } u = p (\text{length } x) \wedge \text{execute } M (\text{start-config } 2 \langle x; u \rangle) (T (\text{length } \langle x; u \rangle)) \langle \cdot \rangle = 1)$ 

```

**proof** –

```

define Q where Q = ( $\lambda L k G M p T$  fverify.
  turing-machine k G M  $\wedge$ 
  polynomial p  $\wedge$ 
  big-oh-poly T  $\wedge$ 
  computes-in-time k M fverify T  $\wedge$ 
  ( $\forall y.$  length (fverify y) = 1)  $\wedge$ 
  ( $\forall x. (x \in L) = (\exists u. \text{length } u = p (\text{length } x) \wedge \text{fverify } \langle x, u \rangle = \mathbb{1}))$ )
have  $\mathcal{NP} = \{L :: \text{language. } \exists k G M p T \text{ fverify. } Q L k G M p T \text{ fverify}\}$ 
  unfolding NP-output-len-1 Q-def by simp
then obtain k G M p T fverify where Q L k G M p T fverify

```



```

using assms by blast
then have alt:
  turing-machine k G M
  polynomial p
  big-oh-poly T
  computes-in-time k M fverify T
   $\bigwedge y. \text{length } (fverify\ y) = 1$ 
   $\bigwedge x. (x \in L) = (\exists u. \text{length } u = p (\text{length } x) \wedge fverify\ \langle x, u \rangle = [\mathbf{I}])$ 
  using Q-def by simp-all

have tm-M: turing-machine k G M
  using alt(1) .
have poly-T: big-oh-poly T
  using alt(3) .
have time-bound-T: time-bound M k T
  unfolding time-bound-def
proof standard+
  fix zs
  assume zs: bit-symbols zs
  define x where x = symbols-to-string zs
  then have zs = string-to-symbols x
    using bit-symbols-to-symbols zs by simp
  then show fst (execute M (start-config k zs) (T (length zs))) = length M
    using computes-in-time-def alt(4)
    by (metis (no-types, lifting) execute-after-halting-ge length-map running-timeD(1))
qed

interpret two: two-tape M k G T
  using tm-M poly-T time-bound-T two-tape-def by simp

let ?M = two.tmO2T
let ?T = two.TTT
let ?G = two.G'
have big-oh-poly ?T
  using two.poly-TTT .
moreover have polynomial p
  using alt(2) .
moreover have turing-machine 2 ?G ?M
  using two.tmO2T-tm .
moreover have oblivious ?M
proof -
  let ?e =  $\lambda n. \text{two.es12 } (\text{replicate } n\ 2)$ 
  have  $\exists \text{tps. trace } ?M (\text{start-config } 2\ zs) (?e (\text{length } zs)) (\text{length } ?M, \text{tps})$ 
    if bit-symbols zs for zs
  proof -
  have traces ?M (snd (start-config 2 zs)) (two.es12 zs) (two.tps12'' zs)
    using that two.tmO2T by simp
  then have *: trace ?M (start-config 2 zs) (two.es12 zs) (length ?M, two.tps12'' zs)
    using start-config-def traces-def by simp

  let ?r = replicate (length zs) 2
  have length zs = length ?r
    by simp
  then have two.es12 zs = two.es12 ?r
    using two.tmO2T-oblivious that by (metis nth-replicate)
  then have trace ?M (start-config 2 zs) (?e (length zs)) (length ?M, two.tps12'' zs)
    using * by simp
  then show ?thesis
    by auto
qed
then show ?thesis
  using oblivious-def by fast
qed

```

**moreover have**  $fst (execute\ ?M (start-config\ 2\ y) (?T (length\ y))) = length\ ?M$  **if bit-symbols  $y$  for  $y$**   
**using** *two.execute-tmO2T* **by** *simp*  
**moreover have**  $x \in L \iff (\exists u. length\ u = p (length\ x) \wedge execute\ ?M (start-config\ 2\ \langle x; u \rangle) (?T (length\ \langle x; u \rangle)) <.> 1 = 1)$   
**(is  $?lhs = ?rhs$ ) for  $x$**   
**proof**  
**show**  $?lhs \implies ?rhs$   
**proof** –  
**assume**  $?lhs$   
**then have**  $\exists u. length\ u = p (length\ x) \wedge fverify\ \langle x, u \rangle = [\mathbb{I}]$   
**using** *alt(6)* **by** *simp*  
**then obtain  $u$  where  $u: length\ u = p (length\ x) fverify\ \langle x, u \rangle = [\mathbb{I}]$**   
**by** *auto*  
  
**let**  $?y = fverify\ \langle x, u \rangle$   
**let**  $?cfg = execute\ M (start-config\ k\ \langle x; u \rangle) (T (length\ \langle x, u \rangle))$   
**have** *computes-in-time*  $k\ M\ fverify\ T$   
**using** *alt(4)* **by** *simp*  
**then have**  $cfg: ?cfg <.> 1 = string-to-contents\ ?y$   
**using** *computes-in-time-execute* **by** *simp*  
  
**have** *bit-symbols*  $\langle x; u \rangle$   
**by** *simp*  
**then have**  $execute\ ?M (start-config\ 2\ \langle x; u \rangle) (?T (length\ \langle x; u \rangle)) <!\> 1 = (execute\ M (start-config\ k\ \langle x; u \rangle) (T (length\ \langle x; u \rangle)) <.> 1, 1)$   
**using** *two.execute-tmO2T-1* **by** *blast*  
**then have**  $execute\ ?M (start-config\ 2\ \langle x; u \rangle) (?T (length\ \langle x; u \rangle)) <!\> 1 = (string-to-contents\ ?y, 1)$   
**using** *cfg* **by** *simp*  
**then have**  $execute\ ?M (start-config\ 2\ \langle x; u \rangle) (?T (length\ \langle x; u \rangle)) <!\> 1 = (string-to-contents\ [\mathbb{I}], 1)$   
**using**  $u(2)$  **by** *auto*  
**moreover have**  $|\cdot| (string-to-contents\ [\mathbb{I}], 1) = 1$   
**by** *simp*  
**ultimately have**  $execute\ ?M (start-config\ 2\ \langle x; u \rangle) (?T (length\ \langle x; u \rangle)) <.> 1 = 1$   
**by** *simp*  
**then show** *thesis*  
**using**  $u(1)$  **by** *auto*  
**qed**  
**show**  $?rhs \implies ?lhs$   
**proof** –  
**assume**  $?rhs$   
**then obtain  $u$  where  $u:$**   
 $length\ u = p (length\ x)$   
 $execute\ ?M (start-config\ 2\ \langle x; u \rangle) (?T (length\ \langle x; u \rangle)) <.> 1 = 1$   
**by** *auto*  
**let**  $?zs = \langle x; u \rangle$   
**have** *bit-symbols*  $\langle x; u \rangle$   
**by** *simp*  
**then have**  $*: execute\ ?M (start-config\ 2\ ?zs) (?T (length\ ?zs)) <!\> 1 = (execute\ M (start-config\ k\ ?zs) (T (length\ ?zs)) <.> 1, 1)$   
**using** *two.execute-tmO2T-1* **by** *blast*  
  
**let**  $?y = fverify\ \langle x, u \rangle$   
**let**  $?cfg = execute\ M (start-config\ k\ ?zs) (T (length\ \langle x, u \rangle))$   
**have** *computes-in-time*  $k\ M\ fverify\ T$   
**using** *alt(4)* **by** *simp*  
**then have**  $cfg: ?cfg <.> 1 = string-to-contents\ ?y$   
**using** *computes-in-time-execute* **by** *simp*  
**then have**  $execute\ ?M (start-config\ 2\ ?zs) (?T (length\ ?zs)) <!\> 1 = (string-to-contents\ (fverify\ \langle x, u \rangle), 1)$   
**using**  $*$  **by** *simp*  
**then have**  $execute\ ?M (start-config\ 2\ ?zs) (?T (length\ ?zs)) <.> 1 =$

```

    string-to-contents (fverify ⟨x, u⟩) 1
  by simp
then have **: string-to-contents (fverify ⟨x, u⟩) 1 = 1
  using u(2) by simp

have length (fverify ⟨x, u⟩) = 1
  using alt(5) by simp
then have string-to-contents (fverify ⟨x, u⟩) 1 =
  (if fverify ⟨x, u⟩ ! 0 then 1 else 0)
  by simp
then have (if fverify ⟨x, u⟩ ! 0 then 1 else 0) = 1
  using ** by auto
then have fverify ⟨x, u⟩ ! 0 = 1
  by (metis numeral-eq-iff semiring-norm(89))
moreover have y = [1] if length y = 1 and y ! 0 for y
  using that by (metis (full-types) One-nat-def Suc-length-conv length-0-conv nth-Cons')
ultimately have fverify ⟨x, u⟩ = [1]
  using alt(5) by simp
then show ?lhs
  using u(1) alt(6) by auto
qed
qed
ultimately show ?thesis
  using that by simp
qed

end

```

# Chapter 6

## Reducing $\mathcal{NP}$ languages to SAT

**theory** *Reducing*  
**imports** *Satisfiability Oblivious*  
**begin**

We have already shown that SAT is in  $\mathcal{NP}$ . It remains to show that SAT is  $\mathcal{NP}$ -hard, that is, that every language  $L \in \mathcal{NP}$  can be polynomial-time reduced to SAT. This, in turn, can be split in two parts. First, showing that for every  $x$  there is a CNF formula  $\Phi$  such that  $x \in L$  iff.  $\Phi$  is satisfiable. Second, that  $\Phi$  can be computed from  $x$  in polynomial time. This chapter is devoted to the first part, which is the core of the proof. In the subsequent two chapters we painstakingly construct a polynomial-time Turing machine computing  $\Phi$  from  $x$  in order to show something that is usually considered “obvious”.

The proof corresponds to lemma 2.11 from the textbook [2]. Of course we have to be much more explicit than the textbook, and the first section describes in some detail how we derive the formula  $\Phi$ .

### 6.1 Introduction

Let  $L \in \mathcal{NP}$ . In order to reduce  $L$  to SAT, we need to construct for every string  $x \in \{\mathbf{0}, \mathbf{1}\}^*$  a CNF formula  $\Phi$  such that  $x \in L$  iff.  $\Phi$  is satisfiable. In this section we describe how  $\Phi$  looks like.

#### 6.1.1 Preliminaries

We denote the length of a string  $s \in \{\mathbf{0}, \mathbf{1}\}^*$  by  $|s|$ . We define

$$\text{num}(s) = \begin{cases} k & \text{if } s = \mathbf{1}^k \mathbf{0}^{|s|-k}, \\ |s| + 1 & \text{otherwise.} \end{cases}$$

Essentially  $\text{num}$  interprets some strings as unary codes of numbers. All other strings are interpreted as an “error value”.

For a string  $s$  and a sequence  $w \in \mathbb{N}^n$  of numbers we write  $s(w)$  for  $\text{num}(s_{w_0} \dots s_{w_{n-1}})$ . Likewise for an assignment  $\alpha: \mathbb{N} \rightarrow \{\mathbf{0}, \mathbf{1}\}$  we write  $\alpha(w) = \text{num}(\alpha(w_0) \dots \alpha(w_{n-1}))$ .

We define two families of CNF formulas. Variables are written  $v_0, v_1, v_2, \dots$ , and negated variables are written  $\bar{v}_0, \bar{v}_1, \bar{v}_2, \dots$ . Let  $w \in \mathbb{N}^n$  be a list of numbers. For  $k \leq n$  define

$$\Psi(w, k) = \bigwedge_{i=0}^{k-1} v_{w_i} \wedge \bigwedge_{i=k}^{n-1} \bar{v}_{w_i}.$$

This formula is satisfied by an assignment  $\alpha$  iff.  $\alpha(w) = k$ . In a similar fashion we define for  $n > 2$ ,

$$\Upsilon(w) = v_{w_0} \wedge v_{w_1} \wedge \bigwedge_{i=3}^{n-1} \bar{v}_{w_i},$$

which is satisfied by an assignment  $\alpha$  iff.  $\alpha(w) \in \{2, 3\} = \{\mathbf{0}, \mathbf{1}\}$ , where as usual the boldface  $\mathbf{0}$  and  $\mathbf{1}$  refer to the symbols represented by the numbers 2 and 3.

For  $a \leq b$  we write  $[a : b]$  for the interval  $[a, \dots, b - 1] \in \mathbb{N}^{b-a}$ . For intervals the CNF formulas become:

$$\Psi([a : b], k) = \bigwedge_{i=a}^{a+k-1} v_i \wedge \bigwedge_{i=a+k}^{b-1} \bar{v}_i \quad \text{and} \quad \Upsilon([a : b]) = v_a \wedge v_{a+1} \wedge \bigwedge_{i=a+3}^{b-1} \bar{v}_i.$$

Let  $\varphi$  be a CNF formula and let  $\sigma \in \mathbb{N}^*$  be a sequence of variable indices such that for all variables  $v_i$  occurring in  $\varphi$  we have  $i < |\sigma|$ . Then we define the CNF formula  $\sigma(\varphi)$  as the formula resulting from replacing every variable  $v_i$  in  $\varphi$  by the variable  $v_{\sigma_i}$ . This corresponds to our function *relabel*.

### 6.1.2 Construction of the CNF formula

Let  $M$  be the two-tape oblivious verifier Turing machine for  $L$  from lemma *NP-imp-oblivious-2tape*. Let  $p$  be the polynomial function for the length of the certificates, and let  $T: \mathbb{N} \rightarrow \mathbb{N}$  be the polynomial running-time bound. Let  $G$  be  $M$ 's alphabet size.

Let  $x \in \{\mathbf{0}, \mathbf{1}\}^n$  be fixed throughout the rest of this section. We seek a CNF formula  $\Phi$  that is satisfiable iff.  $x \in L$ . We are going to transform “ $x \in L$ ” via several equivalent statements to the statement “ $\Phi$  is satisfiable” for a suitable  $\Phi$  defined along the way. The Isabelle formalization later in this chapter does not prove these equivalences explicitly. They are only meant to explain the shape of  $\Phi$ .

#### 1st equivalence

From lemma *NP-imp-oblivious-2tape* about  $M$  we get the first equivalent statement: There exists a certificate  $u \in \{\mathbf{0}, \mathbf{1}\}^{p(n)}$  such that  $M$  on input  $\langle x, u \rangle$  halts with the symbol  $\mathbf{1}$  under its output tape head. The running time of  $M$  is bounded by  $T(|\langle x, u \rangle|) = T(2n + 2 + 2p(n))$ . We abbreviate  $|\langle x, u \rangle| = 2n + 2 + 2p(n)$  by  $m$ .

#### 2nd equivalence

For the second equivalent statement, we define what the textbook calls “snapshots”. For every  $u \in \{\mathbf{0}, \mathbf{1}\}^{p(n)}$  let  $z_0^u(t)$  be the symbol under the input tape head of  $M$  on input  $\langle x, u \rangle$  at step  $t$ . Similarly we define  $z_1^u(t)$  as the symbol under the output tape head of  $M$  at step  $t$  and  $z_2^u(t)$  as the state  $M$  is in at step  $t$ . A triple  $z^u(t) = (z_0^u(t), z_1^u(t), z_2^u(t))$  is called a snapshot. For the initial snapshot we have:

$$z_0^u(0) = z_1^u(0) = \triangleright \quad \text{and} \quad z_2^u(0) = 0. \quad (\text{Z0})$$

The crucial idea is that the snapshots for  $t > 0$  can be characterized recursively using two auxiliary functions *inputpos* and *prev*.

Since  $M$  is oblivious, the positions of the tape heads on input  $\langle x, u \rangle$  after  $t$  steps are the same for all  $u$  of length  $p(n)$ . We denote the input head positions by *inputpos*( $t$ ).

For every  $t$  we denote by *prev*( $t$ ) the last step before  $t$  in which the output tape head of  $M$  was in the same cell as in step  $t$ . Due to  $M$ 's obliviousness this is again the same for all  $u$  of length  $p(n)$ . If there is no such previous step, because  $t$  is the first time the cell is reached, we set *prev*( $t$ ) =  $t$ . (This deviates from the textbook, which sets *prev*( $t$ ) = 1.) In the other case we have *prev*( $t$ ) <  $t$ .

Also due to  $M$ 's obliviousness, the halting time on input  $\langle x, u \rangle$  is the same for all  $u$  of length  $p(n)$ , and we denote it by  $T' \leq T(|\langle x, u \rangle|)$ . Thus we have *inputpos*( $t$ )  $\leq T'$  for all  $t$ . If we define the symbol sequence  $y(u) = \triangleright \langle x, u \rangle \square^{T'}$ , the first component of the snapshots is, for arbitrary  $t$ :

$$z_0^u(t) = y(u)_{\text{inputpos}(t)}. \quad (\text{Z1})$$

Next we consider the snapshot components  $z_1^u(t)$  for  $t > 0$ . First consider the case *prev*( $t$ ) <  $t$ ; that is, the last time before  $t$  when  $M$ 's output tape head was in the same cell as in step  $t$  was in step *prev*( $t$ ). The snapshot for step *prev*( $t$ ) has exactly the information needed to calculate the actions of  $M$  at step  $t$ : the symbols read from both tapes and the state which  $M$  is in. In some sort of hybrid notation:

$$z_1^u(t) = (M ! z_2^u(\text{prev}(t))) [z_0^u(\text{prev}(t)), z_1^u(\text{prev}(t))] [. ] 1. \quad (\text{Z2})$$

In the other case, *prev*( $t$ ) =  $t$ , the output tape head has not been in this cell before and is thus reading a blank. It cannot be reading the start symbol because the output tape head was in cell zero at step  $t = 0$  already. Formally:

$$z_1^u(t) = \square. \quad (\text{Z3})$$

The state  $z_2^u(t)$  for  $t > 0$  can be computed from the state  $z_2^u(t-1)$  in the previous step and the symbols  $z_0^u(t-1)$  and  $z_1^u(t-1)$  read in the previous step:

$$z_2^u(t) = fst((M! z_2^u(t-1)) [z_0^u(t-1), z_1^u(t-1)]). \quad (\text{Z4})$$

For a string  $u \in \{\mathbf{0}, \mathbf{1}\}^{p(n)}$  the equations (Z0) – (Z4) uniquely determine all the  $z^u(0), \dots, z^u(T')$ . Conversely, the snapshots for  $u$  satisfy all the equations. Therefore the equations characterize the sequence of snapshots.

The condition that  $M$  halts with the output tape head on  $\mathbf{1}$  can be expressed with snapshots:

$$z_1^u(T') = \mathbf{1}. \quad (\text{Z5})$$

This yields our second equivalent statement:  $x \in L$  iff. there is a  $u \in \{\mathbf{0}, \mathbf{1}\}^{p(n)}$  and a sequence  $z^u(0), \dots, z^u(T')$  satisfying the equations (Z0) – (Z5).

### 3rd equivalence

The length of  $y(u)$  is  $m' := m + 1 + T' = 3 + 2n + 2p(n) + T'$  because  $|\langle x, u \rangle| = m$  plus the start symbol plus the  $T'$  blanks.

For the next equivalence we observe that the strings  $y(u)$  for  $u \in \{\mathbf{0}, \mathbf{1}\}^{p(n)}$  can be characterized as follows. Consider a predicate on strings  $y$ :

$$(\text{Y0}) \quad |y| = m';$$

$$(\text{Y1}) \quad y_0 = \triangleright \text{ (the start symbol);}$$

$$(\text{Y2}) \quad y_{2n+1} = y_{2n+2} = \mathbf{1} \text{ (the separator in the pair encoding);}$$

$$(\text{Y3}) \quad y_{2i+1} = \mathbf{0} \text{ for } i = 0, \dots, n-1 \text{ (the zeros before } x\text{);}$$

$$(\text{Y4}) \quad y_{2n+2+2i+1} = \mathbf{0} \text{ for } i = 0, \dots, p(n)-1 \text{ (the zeros before } u\text{);}$$

$$(\text{Y5}) \quad y_{2n+2p(n)+3+i} = \square \text{ for } i = 0, \dots, T'-1 \text{ (the blanks after the input proper);}$$

$$(\text{Y6}) \quad y_{2i+2} = \begin{cases} \mathbf{0} & \text{if } x_i = \mathbf{0}, \\ \mathbf{1} & \text{otherwise} \end{cases} \text{ for } i = 0, \dots, n-1 \text{ (the bits of } x\text{);}$$

$$(\text{Y7}) \quad y_{2n+4+2i} \in \{\mathbf{0}, \mathbf{1}\} \text{ for } i = 0, \dots, p(n)-1 \text{ (the bits of } u\text{).}$$

Every  $y(u)$  for some  $u$  of length  $p(n)$  satisfies this predicate. Conversely, from a  $y$  satisfying the predicate, a  $u$  of length  $p(n)$  can be extracted such that  $y = y(u)$ .

From that we get the third equivalent statement:  $x \in L$  iff. there is a  $y \in \{0, \dots, G-1\}^{m'}$  with (Y0) – (Y7) and a sequence  $z^u(0), \dots, z^u(T')$  with (Z0) – (Z5).

### 4th equivalence

Each element of  $y$  is a symbol from  $M$ 's alphabet, that is, a number less than  $G$ . The same goes for the first two elements of each snapshot,  $z_0^u(t)$  and  $z_1^u(t)$ . The third element,  $z_2^u(t)$ , is a number less than or equal to the number of states of  $M$ . Let  $H$  be the maximum of  $G$  and the number of states. Every element of  $y$  and of the snapshots can then be represented by a bit string of length  $H$  using *num* (the textbook uses binary, but unary is simpler for us). So we use  $3H$  bits to represent one snapshot. There are  $T' + 1$  snapshots until  $M$  halts. Thus all elements of all snapshots can be represented by a string of length  $3H \cdot (T' + 1)$ . Together with the string of length  $N := H \cdot m'$  for the input tape contents  $y$ , we have a total length of  $N + 3H \cdot (T' + 1)$ .

The equivalence can thus be stated as  $x \in L$  iff. there is a string  $s \in \{\mathbf{0}, \mathbf{1}\}^{N+3H \cdot (T'+1)}$  with certain properties. To write these properties we introduce some intervals:

- $\gamma_i = [iH : (i+1)H]$  for  $i < m'$ ,
- $\zeta_0(t) = [N + 3Ht : N + 3Ht + H]$  for  $t \leq T'$ ,
- $\zeta_1(t) = [N + 3Ht + H : N + 3Ht + 2H]$  for  $t \leq T'$ ,

- $\zeta_2(t) = [N + 3Ht + 2H : N + 3H(t + 1)]$  for  $t \leq T'$ .

These intervals slice the string  $s$  in intervals of length  $H$ . The string  $s$  must satisfy properties analogous to (Y0) – (Y7), which we express using the intervals  $\gamma_i$ :

$$(Y0) \quad |s| = N + 3H(T' + 1)$$

$$(Y1) \quad s(\gamma_0) = \triangleright \text{ (the start symbol);}$$

$$(Y2) \quad s(\gamma_{2n+1}) = s(\gamma_{2n+2}) = \mathbf{1} \text{ (the separator in the pair encoding);}$$

$$(Y3) \quad s(\gamma_{2i+1}) = \mathbf{0} \text{ for } i = 0, \dots, n - 1 \text{ (the zeros before } x\text{);}$$

$$(Y4) \quad s(\gamma_{2n+2+2i+1}) = \mathbf{0} \text{ for } i = 0, \dots, p(n) - 1 \text{ (the zeros before } u\text{);}$$

$$(Y5) \quad s(\gamma_{2n+2p(n)+3+i}) = \square \text{ for } i = 0, \dots, T' - 1 \text{ (the blanks after the input proper);}$$

$$(Y6) \quad s(\gamma_{2i+2}) = \begin{cases} \mathbf{0} & \text{if } x_i = \mathbf{0}, \\ \mathbf{1} & \text{otherwise} \end{cases} \text{ for } i = 0, \dots, n - 1 \text{ (the bits of } x\text{);}$$

$$(Y7) \quad s(\gamma_{2n+4+2i}) \in \{\mathbf{0}, \mathbf{1}\} \text{ for } i = 0, \dots, p(n) - 1 \text{ (the bits of } u\text{).}$$

Moreover the string  $s$  must satisfy (Z0) – (Z5). For these properties we use the  $\zeta$  intervals.

$$(Z0) \quad s(\zeta_0(0)) = s(\zeta_1(0)) = \triangleright \text{ and } s(\zeta_2(0)) = 0,$$

$$(Z1) \quad s(\zeta_0(t)) = s(\gamma_{inputpos(t)}) \text{ for } t = 1, \dots, T',$$

$$(Z2) \quad s(\zeta_1(t)) = (M ! s(\zeta_2(prev(t))) [s(\zeta_0(prev(t))), s(\zeta_1(prev(t)))] [.] \mathbf{1} \text{ for } t = 1, \dots, T' \text{ with } prev(t) < t,$$

$$(Z3) \quad s(\zeta_1(t)) = \square \text{ for } t = 1, \dots, T' \text{ with } prev(t) = t,$$

$$(Z4) \quad s(\zeta_2(t)) = fst ((M ! s(\zeta_2(t - 1)) [s(\zeta_0(t - 1)), s(\zeta_1(t - 1))]) \text{ for } t = 1, \dots, T',$$

$$(Z5) \quad s(\zeta_1(T')) = \mathbf{1}.$$

### 5th equivalence

An assignment is an infinite bit string. For formulas over variables with indices in the interval  $[0 : N + 3H(T' + 1)]$ , only the initial  $N + 3H(T' + 1)$  bits of the assignment are relevant. If we had a CNF formula  $\Phi$  over these variables that is satisfied exactly by the assignments  $\alpha$  for which there is an  $s$  with the above properties and  $\alpha(i) = s_i$  for all  $i < |s|$ , then the existence of such an  $s$  would be equivalent to  $\Phi$  being satisfiable.

Next we construct such a CNF formula.

Most properties are easy to translate using the formulas  $\Psi$  and  $\Upsilon$ . For example,  $s(\gamma_0) = \triangleright$  corresponds to  $\alpha(\gamma_0) = \triangleright$ . A formula that is satisfied exactly by assignments with this property is  $\Psi(\gamma_0, 1)$ . Likewise the property  $s(\gamma_{2n+4+2i}) \in \{\mathbf{0}, \mathbf{1}\}$  corresponds to the CNF formula  $\Upsilon(\gamma_{2n+4+2i})$ .

Property (Y0) corresponds to  $\Phi$  having only variables  $0, \dots, N + 3H(T' + 1) - 1$ . The other (Y $\cdot$ ) properties become:

$$(Y1) \quad \Phi_1 := \Psi(\gamma_0, 1),$$

$$(Y2) \quad \Phi_2 := \Psi(\gamma_{2n+1}, 3) \wedge \Psi(\gamma_{2n+2}, 3),$$

$$(Y3) \quad \Phi_3 := \bigwedge_{i=0}^{n-1} \Psi(\gamma_{2i+1}, 2),$$

$$(Y4) \quad \Phi_4 := \bigwedge_{i=0}^{p(n)-1} \Psi(\gamma_{2n+2+2i+1}, 2),$$

$$(Y5) \quad \Phi_5 := \bigwedge_{i=0}^{T'-1} \Psi(\gamma_{2n+2p(n)+3+i}, 0),$$

$$(Y6) \quad \Phi_6 := \bigwedge_{i=0}^{n-1} \Psi(\gamma_{2i+2}, x_i + 2),$$

$$(Y7) \quad \Phi_7 := \bigwedge_{i=0}^{p(n)-1} \Upsilon(\gamma_{2n+4+2i}).$$

Property (Z0) and property (Z5) become these formulas:

$$(Z0) \quad \Phi_0 := \Psi(\zeta_0(0), 1) \wedge \Psi(\zeta_1(0), 1) \wedge \Psi(\zeta_2(0), 0),$$

$$(Z5) \quad \Phi_8 := \Psi(\zeta_1(T'), 3).$$

The remaining properties (Z1) – (Z4) are more complex. They apply to  $t = 1, \dots, T'$ . Let us first consider the case  $prev(t) < t$ . With  $\alpha$  for  $s$  the properties become:

$$(Z1) \quad \alpha(\zeta_0(t)) = \alpha(\gamma_{inputpos}(t)),$$

$$(Z2) \quad \alpha(\zeta_1(t)) = ((M ! \alpha(\zeta_2(prev(t)))) [\alpha(\zeta_0(prev(t))), \alpha(\zeta_1(prev(t)))] [.] 1,$$

$$(Z4) \quad \alpha(\zeta_2(t)) = fst ((M ! \alpha(\zeta_2(t-1))) [\alpha(\zeta_0(t-1)), \alpha(\zeta_1(t-1))]).$$

For any  $t$  the properties (Z1), (Z2), (Z4) use at most  $10H$  variable indices, namely all the variable indices in the nine  $\zeta$ 's and in  $\gamma_{inputpos}(t)$ , each of which have  $H$  indices.

Now if the set of all these variable indices was  $\{0, \dots, 10H - 1\}$  we could apply lemma *depon-ex-formula* to get a CNF formula  $\psi$  over these variables that “captures the spirit” of the properties. We would then merely have to relabel the formula with the actual variable indices we need for each  $t$ . More precisely, let  $w_i = [iH : (i+1)H]$  for  $i = 0, \dots, 9$  and consider the following criterion for  $\alpha$  on the variable indices  $\{0, \dots, 10H - 1\}$ :

$$(F_1) \quad \alpha(w_6) = \alpha(w_9),$$

$$(F_2) \quad \alpha(w_7) = ((M ! \alpha(w_5)) [\alpha(w_3), \alpha(w_4)] [.] 1,$$

$$(F_3) \quad \alpha(w_8) = fst ((M ! \alpha(w_2)) [\alpha(w_0), \alpha(w_1)]).$$

Lemma *depon-ex-formula* gives us a formula  $\psi$  satisfied exactly by those assignments  $\alpha$  that meet the conditions (F<sub>1</sub>), (F<sub>2</sub>), (F<sub>3</sub>). From this  $\psi$  we can create all the formulas we need for representing the properties (Z1), (Z2), (Z4) by substituting (“relabeling” in our terminology) the variables  $[0, 10H)$  appropriately. The substitution for step  $t$  is

$$\varrho_t = \zeta_0(t-1) \circ \zeta_1(t-1) \circ \zeta_2(t-1) \circ \zeta_0(prev(t)) \circ \zeta_1(prev(t)) \circ \zeta_2(prev(t)) \circ \zeta_0(t) \circ \zeta_1(t) \circ \zeta_2(t) \circ \gamma_{inputpos}(t),$$

where  $\circ$  denotes the concatenation of lists. Then  $\varrho_t(\psi)$  is CNF formula satisfied exactly by the assignments  $\alpha$  satisfying (Z1), (Z2), (Z4).

For the case  $prev(t) = t$  we have a criterion on the variable indices  $\{0, \dots, 7H - 1\}$ :

$$(F'_1) \quad \alpha(w_3) = \alpha(w_6),$$

$$(F'_2) \quad \alpha(w_4) = \square,$$

$$(F'_3) \quad \alpha(w_5) = fst ((M ! \alpha(w_2)) [\alpha(w_0), \alpha(w_1)]),$$

whence lemma *depon-ex-formula* supplies us with a formula  $\psi'$ . With appropriate substitutions

$$\varrho'_t = \zeta_0(t-1) \circ \zeta_1(t-1) \circ \zeta_2(t-1) \circ \zeta_0(t) \circ \zeta_1(t) \circ \zeta_2(t) \circ \gamma_{inputpos}(t),$$

we then define CNF formulas  $\chi_t$  for all  $t = 1, \dots, T'$ :

$$\chi_t = \begin{cases} \varrho_t(\psi) & \text{if } prev(t) < t, \\ \varrho'_t(\psi') & \text{if } prev(t) = t. \end{cases}$$

The point of all that is that we can hard-code  $\psi$  and  $\psi'$  in the TM performing the reduction (to be built in the final chapter) and for each  $t$  the TM only needs to construct the substitution  $\varrho_t$  or  $\varrho'_t$  and perform the relabeling. Turing machines that perform these operations will be constructed in the next chapter.

Since all  $\chi_t$  are in CNF, so is the conjunction

$$\Phi_9 := \bigwedge_{t=1}^{T'} \chi_t .$$

Finally the complete CNF formula is:

$$\Phi := \Phi_0 \wedge \Phi_1 \wedge \Phi_2 \wedge \Phi_3 \wedge \Phi_4 \wedge \Phi_5 \wedge \Phi_6 \wedge \Phi_7 \wedge \Phi_8 \wedge \Phi_9 .$$



## 6.2 Auxiliary CNF formulas

In this section we define the CNF formula families  $\Psi$  and  $\Upsilon$ . In the introduction both families were parameterized by intervals of natural numbers. Here we generalize the definition to allow arbitrary sequences of numbers although we will not need this generalization.

The number of variables set to true in a list of variables:

**definition** *numtrue* :: *assignment*  $\Rightarrow$  *nat list*  $\Rightarrow$  *nat* **where**  
*numtrue*  $\alpha$  *vs*  $\equiv$  *length* (*filter*  $\alpha$  *vs*)

Checking whether the list of bits assigned to a list *vs* of variables has the form  $\mathbf{I} \dots \mathbf{I} \mathbf{O} \dots \mathbf{O}$ :

**definition** *blocky* :: *assignment*  $\Rightarrow$  *nat list*  $\Rightarrow$  *nat*  $\Rightarrow$  *bool* **where**  
*blocky*  $\alpha$  *vs* *k*  $\equiv$   $\forall i < \text{length } vs. \alpha (vs ! i) \longleftrightarrow i < k$

The next function represents the notation  $\alpha(\gamma)$  from the introduction, albeit generalized to lists that are not intervals  $\gamma$ .

**definition** *unary* :: *assignment*  $\Rightarrow$  *nat list*  $\Rightarrow$  *nat* **where**  
*unary*  $\alpha$  *vs*  $\equiv$  *if* ( $\exists k. \text{blocky } \alpha \text{ vs } k$ ) *then numtrue*  $\alpha$  *vs* *else Suc* (*length vs*)

**lemma** *numtrue-remap*:

**assumes**  $\forall s \in \text{set } seq. s < \text{length } \sigma$

**shows** *numtrue* (*remap*  $\sigma$   $\alpha$ ) *seq* = *numtrue*  $\alpha$  (*reseq*  $\sigma$  *seq*)

**proof** –

**have** \*: *length* (*filter*  $f$  *xs*) = *length* (*filter* ( $f \circ (!!)$  *xs*) [ $0..<\text{length } xs$ ]) **for**  $f$  **and** *xs* :: 'a list  
**using** *length-filter-map map-nth* **by** *metis*

**let** *?s-alpha* = *remap*  $\sigma$   $\alpha$

**let** *?s-seq* = *reseq*  $\sigma$  *seq*

**have** *numtrue* *?s-alpha* *seq* = *length* (*filter* *?s-alpha* *seq*)

**using** *numtrue-def* **by** *simp*

**then have** *lhs*: *numtrue* *?s-alpha* *seq* = *length* (*filter* (*?s-alpha*  $\circ$  ( $!!$ ) *seq*) [ $0..<\text{length } seq$ ])

**using** \* **by** *auto*

**have** *numtrue*  $\alpha$  *?s-seq* = *length* (*filter*  $\alpha$  *?s-seq*)

**using** *numtrue-def* **by** *simp*

**then have** *numtrue*  $\alpha$  *?s-seq* = *length* (*filter* ( $\alpha \circ (!!)$  *?s-seq*) [ $0..<\text{length } ?s-seq$ ])

**using** \* **by** *metis*

**then have** *rhs*: *numtrue*  $\alpha$  *?s-seq* = *length* (*filter* ( $\alpha \circ (!!)$  *?s-seq*) [ $0..<\text{length } seq$ ])

**using** *reseq-def* **by** *simp*

**have** (*?s-alpha*  $\circ$  ( $!!$ ) *seq*) *i* = ( $\alpha \circ (!!)$  *?s-seq*) *i* **if**  $i < \text{length } seq$  **for** *i*

**using** *assms remap-def reseq-def* **that** **by** *simp*

**then show** *?thesis*

**using** *lhs rhs* **by** (*metis atLeastLessThan-iff filter-cong set-upt*)

**qed**

**lemma** *unary-remap*:

**assumes**  $\forall s \in \text{set } seq. s < \text{length } \sigma$

**shows** *unary* (*remap*  $\sigma$   $\alpha$ ) *seq* = *unary*  $\alpha$  (*reseq*  $\sigma$  *seq*)

**proof** –

**have** \*: *blocky* (*remap*  $\sigma$   $\alpha$ ) *seq* *k* = *blocky*  $\alpha$  (*reseq*  $\sigma$  *seq*) *k* **for** *k*

**using** *blocky-def remap-def reseq-def assms* **by** *simp*

**let** *?alpha* = *remap*  $\sigma$   $\alpha$  **and** *?seq* = *reseq*  $\sigma$  *seq*

**show** *?thesis*

**proof** (*cases*  $\exists k. \text{blocky } ?alpha \text{ seq } k$ )

**case** *True*

**then show** *?thesis*

**using** \* *unary-def numtrue-remap assms* **by** *simp*

**next**

**case** *False*

**then have** *unary* *?alpha* *seq* = *Suc* (*length seq*)

**using** *unary-def* **by** *simp*

**moreover have**  $\neg (\exists k. \text{blocky } \alpha \text{ ?seq } k)$   
**using** *False \* assms by simp*  
**ultimately show** *?thesis*  
**using** *unary-def by simp*  
**qed**  
**qed**

Now we define the family  $\Psi$  of CNF formulas. It is parameterized by a list  $vs$  of variable indices and a number  $k \leq |vs|$ . The formula is satisfied exactly by those assignments that set to true the first  $k$  variables in  $vs$  and to false the other variables. This is more general than we need, because for us  $vs$  will always be an interval.

**definition** *Psi :: nat list  $\Rightarrow$  nat  $\Rightarrow$  formula ( $\langle \Psi \rangle$ ) where*  
 $\Psi \text{ vs } k \equiv \text{map } (\lambda s. [\text{Pos } s]) (\text{take } k \text{ vs}) @ \text{map } (\lambda s. [\text{Neg } s]) (\text{drop } k \text{ vs})$

**lemma** *Psi-unary:*

**assumes**  $k \leq \text{length } vs$  **and**  $\alpha \models \Psi \text{ vs } k$   
**shows** *unary  $\alpha \text{ vs} = k$*

**proof** –

**have**  $\alpha \models \text{map } (\lambda s. [\text{Pos } s]) (\text{take } k \text{ vs})$   
**using** *satisfies-def assms Psi-def by simp*  
**then have** *satisfies-clause  $\alpha [\text{Pos } v]$  if  $v \in \text{set } (\text{take } k \text{ vs})$  for  $v$*   
**using** *satisfies-def that by simp*  
**then have** *satisfies-literal  $\alpha (\text{Pos } v)$  if  $v \in \text{set } (\text{take } k \text{ vs})$  for  $v$*   
**using** *satisfies-clause-def that by simp*  
**then have** *pos:  $\alpha \text{ } v$  if  $v \in \text{set } (\text{take } k \text{ vs})$  for  $v$*   
**using** *that by simp*

**have**  $\alpha \models \text{map } (\lambda s. [\text{Neg } s]) (\text{drop } k \text{ vs})$   
**using** *satisfies-def assms Psi-def by simp*  
**then have** *satisfies-clause  $\alpha [\text{Neg } v]$  if  $v \in \text{set } (\text{drop } k \text{ vs})$  for  $v$*   
**using** *satisfies-def that by simp*  
**then have** *satisfies-literal  $\alpha (\text{Neg } v)$  if  $v \in \text{set } (\text{drop } k \text{ vs})$  for  $v$*   
**using** *satisfies-clause-def that by simp*  
**then have** *neg:  $\neg \alpha \text{ } v$  if  $v \in \text{set } (\text{drop } k \text{ vs})$  for  $v$*   
**using** *that by simp*

**have** *blocky  $\alpha \text{ vs } k$*

**proof** –

**have**  $\alpha (vs ! i)$  **if**  $i < k$  **for**  $i$   
**using** *pos that assms(1) by (metis in-set-conv-nth length-take min-absorb2 nth-take)*  
**moreover have**  $\neg \alpha (vs ! i)$  **if**  $i \geq k$   $i < \text{length } vs$  **for**  $i$   
**using** *that assms(1) neg*  
**by** *(metis Cons-nth-drop-Suc list.set-intros(1) set-drop-subset-set-drop subsetD)*  
**ultimately show** *?thesis*  
**using** *blocky-def by (metis linorder-not-le)*

**qed**

**moreover have** *numtrue  $\alpha \text{ vs} = k$*

**proof** –

**have** *filter  $\alpha \text{ vs} = \text{take } k \text{ vs}$*   
**using** *pos neg*  
**by** *(metis (mono-tags, lifting) append.right-neutral append-take-drop-id filter-True filter-append filter-empty-conv)*  
**then show** *?thesis*  
**using** *numtrue-def assms(1) by simp*

**qed**

**ultimately show** *?thesis*

**using** *unary-def by auto*

**qed**

We will only ever consider cases where  $k \leq |vs|$ . So we can use *blocky* to show that an assignment satisfies a  $\Psi$  formula.

**lemma** *satisfies-Psi:*

**assumes**  $k \leq \text{length } vs$  **and** *blocky  $\alpha \text{ vs } k$*   
**shows**  $\alpha \models \Psi \text{ vs } k$

```

proof –
  have  $\alpha \models \text{map } (\lambda s. [\text{Pos } s]) (\text{take } k \text{ } vs)$ 
    (is  $\alpha \models ?\text{phi}$ )
  proof –
    {
      fix  $c :: \text{clause}$ 
      assume  $c: c \in \text{set } ?\text{phi}$ 
      then obtain  $s$  where  $c = [\text{Pos } s]$  and  $s \in \text{set } (\text{take } k \text{ } vs)$ 
        by auto
      then obtain  $i$  where  $i < k$  and  $s = vs ! i$ 
        using assms(1)
        by (smt (verit, best) in-set-conv-nth le-antisym le-trans nat-le-linear nat-less-le nth-take nth-take-lemma
take-all-iff)
      then have  $c = [\text{Pos } (vs ! i)]$ 
        using  $\langle c = [\text{Pos } s] \rangle$  by simp
      moreover have  $i < \text{length } vs$ 
        using assms(1)  $\langle i < k \rangle$  by simp
      ultimately have  $\alpha (vs ! i)$ 
        using assms(2) blocky-def  $\langle i < k \rangle$  by blast
      then have satisfies-clause  $\alpha c$ 
        using satisfies-clause-def by (simp add:  $\langle c = [\text{Pos } (vs ! i)] \rangle$ )
    }
  then show ?thesis
    using satisfies-def by simp
qed
moreover have  $\alpha \models \text{map } (\lambda s. [\text{Neg } s]) (\text{drop } k \text{ } vs)$ 
  (is  $\alpha \models ?\text{phi}$ )
proof –
  {
    fix  $c :: \text{clause}$ 
    assume  $c: c \in \text{set } ?\text{phi}$ 
    then obtain  $s$  where  $c = [\text{Neg } s]$  and  $s \in \text{set } (\text{drop } k \text{ } vs)$ 
      by auto
    then obtain  $j$  where  $j < \text{length } vs - k$  and  $s = \text{drop } k \text{ } vs ! j$ 
      by (metis in-set-conv-nth length-drop)
    define  $i$  where  $i = j + k$ 
    then have  $i \geq k$  and  $s = vs ! i$ 
      by (auto simp add:  $\langle s = \text{drop } k \text{ } vs ! j \rangle$  add.commute assms(1) i-def)
    then have  $c = [\text{Neg } (vs ! i)]$ 
      using  $\langle c = [\text{Neg } s] \rangle$  by simp
    moreover have  $i < \text{length } vs$ 
      using assms(1) using  $\langle j < \text{length } vs - k \rangle$  i-def by simp
    ultimately have  $\neg \alpha (vs ! i)$ 
      using assms(2) blocky-def [of  $\alpha \text{ } vs \ k$ ] i-def by simp
    then have satisfies-clause  $\alpha c$ 
      using satisfies-clause-def by (simp add:  $\langle c = [\text{Neg } (vs ! i)] \rangle$ )
  }
  then show ?thesis
    using satisfies-def by simp
qed
ultimately show ?thesis
  using satisfies-def Psi-def by auto
qed

```

**lemma** *blocky-imp-unary*:  
**assumes**  $k \leq \text{length } vs$  **and** *blocky*  $\alpha \text{ } vs \ k$   
**shows** *unary*  $\alpha \text{ } vs = k$   
**using** *assms satisfies-Psi Psi-unary* **by** *simp*

The family  $\Upsilon$  of CNF formulas also takes as parameter a list of variable indices.

**definition** *Upsilon*  $:: \text{nat list} \Rightarrow \text{formula } (\langle \Upsilon \rangle)$  **where**  
 $\Upsilon \text{ } vs \equiv \text{map } (\lambda s. [\text{Pos } s]) (\text{take } 2 \text{ } vs) @ \text{map } (\lambda s. [\text{Neg } s]) (\text{drop } 3 \text{ } vs)$

For  $|vs| > 2$ , an assignment satisfies  $\Upsilon(vs)$  iff. it satisfies  $\Psi(vs, 2)$  or  $\Psi(vs, 3)$ .

```

lemma Psi-2-imp-Upsilon:
  fixes  $\alpha :: \text{assignment}$ 
  assumes  $\alpha \models \Psi \text{ vs } 2$  and  $\text{length vs} > 2$ 
  shows  $\alpha \models \Upsilon \text{ vs}$ 
proof -
  have  $\alpha \models \text{map } (\lambda s. [\text{Pos } s]) (\text{take } 2 \text{ vs})$ 
    using assms Psi-def satisfies-def by simp
  moreover have  $\alpha \models \text{map } (\lambda s. [\text{Neg } s]) (\text{drop } 3 \text{ vs})$ 
    using assms Psi-def satisfies-def
    by (smt (verit) Cons-nth-drop-Suc One-nat-def Suc-1 Un-iff insert-iff list.set(2) list.simps(9) numeral-3-eq-3 set-append)
  ultimately show ?thesis
    using Upsilon-def satisfies-def by auto
qed

lemma Psi-3-imp-Upsilon:
  assumes  $\alpha \models \Psi \text{ vs } 3$  and  $\text{length vs} > 2$ 
  shows  $\alpha \models \Upsilon \text{ vs}$ 
proof -
  have  $\alpha \models \text{map } (\lambda s. [\text{Pos } s]) (\text{take } 2 \text{ vs})$ 
    using assms Psi-def satisfies-def
    by (metis eval-nat-numeral(3) map-append satisfies-append(1) take-Suc-conv-app-nth)
  moreover have  $\alpha \models \text{map } (\lambda s. [\text{Neg } s]) (\text{drop } 3 \text{ vs})$ 
    using assms Psi-def satisfies-def by simp
  ultimately show ?thesis
    using Upsilon-def satisfies-def by auto
qed

lemma Upsilon-imp-Psi-2-or-3:
  assumes  $\alpha \models \Upsilon \text{ vs}$  and  $\text{length vs} > 2$ 
  shows  $\alpha \models \Psi \text{ vs } 2 \vee \alpha \models \Psi \text{ vs } 3$ 
proof -
  have  $\alpha \models \text{map } (\lambda s. [\text{Pos } s]) (\text{take } 2 \text{ vs})$ 
    using satisfies-def assms Upsilon-def by simp
  then have satisfies-clause  $\alpha [\text{Pos } v]$  if  $v \in \text{set } (\text{take } 2 \text{ vs})$  for  $v$ 
    using satisfies-def that by simp
  then have satisfies-literal  $\alpha (\text{Pos } v)$  if  $v \in \text{set } (\text{take } 2 \text{ vs})$  for  $v$ 
    using satisfies-clause-def that by simp
  then have 1:  $\alpha v$  if  $v \in \text{set } (\text{take } 2 \text{ vs})$  for  $v$ 
    using that by simp
  then have 2: satisfies-clause  $\alpha [\text{Pos } v]$  if  $v \in \text{set } (\text{take } 2 \text{ vs})$  for  $v$ 
    using that satisfies-clause-def by simp

  have  $\alpha \models \text{map } (\lambda s. [\text{Neg } s]) (\text{drop } 3 \text{ vs})$ 
    using satisfies-def assms Upsilon-def by simp
  then have satisfies-clause  $\alpha [\text{Neg } v]$  if  $v \in \text{set } (\text{drop } 3 \text{ vs})$  for  $v$ 
    using satisfies-def that by simp
  then have satisfies-literal  $\alpha (\text{Neg } v)$  if  $v \in \text{set } (\text{drop } 3 \text{ vs})$  for  $v$ 
    using satisfies-clause-def that by simp
  then have 3:  $\neg \alpha v$  if  $v \in \text{set } (\text{drop } 3 \text{ vs})$  for  $v$ 
    using that by simp
  then have 4: satisfies-clause  $\alpha [\text{Neg } v]$  if  $v \in \text{set } (\text{drop } 3 \text{ vs})$  for  $v$ 
    using that satisfies-clause-def by simp

  show ?thesis
proof (cases  $\alpha \text{ vs } ! 2$ )
  case True
  then have  $\alpha v$  if  $v \in \text{set } (\text{take } 3 \text{ vs})$  for  $v$ 
    using that 1 assms(2)
    by (metis (no-types, lifting) in-set-conv-nth le-simps(3) length-take less-imp-le-nat linorder-neqE-nat min-absorb2 not-less-eq nth-take numeral-One numeral-plus-numeral plus-1-eq-Suc semiring-norm(3))
  then have satisfies-clause  $\alpha [\text{Pos } v]$  if  $v \in \text{set } (\text{take } 3 \text{ vs})$  for  $v$ 
    using that satisfies-clause-def by simp

```

```

then have  $\alpha \models \Psi$  vs 3
  using 4 Psi-def satisfies-def by auto
then show ?thesis
  by simp
next
case False
then have  $\neg \alpha$  v if  $v \in \text{set } (\text{drop } 2 \text{ vs})$  for v
  using that 3 assms(2)
  by (metis Cons-nth-drop-Suc numeral-plus-numeral numerals(1) plus-1-eq-Suc semiring-norm(3) set-ConsD)
then have satisfies-clause  $\alpha$  [Neg v] if  $v \in \text{set } (\text{drop } 2 \text{ vs})$  for v
  using that satisfies-clause-def by simp
then have  $\alpha \models \Psi$  vs 2
  using 2 Psi-def satisfies-def by auto
then show ?thesis
  by simp
qed
qed

```

```

lemma Upsilon-unary:
  assumes  $\alpha \models \Upsilon$  vs and  $\text{length } \text{vs} > 2$ 
  shows unary  $\alpha$  vs = 2  $\vee$  unary  $\alpha$  vs = 3
  using assms Upsilon-imp-Psi-2-or-3 Psi-unary by fastforce

```

### 6.3 The functions *inputpos* and *prev*

Sequences of the symbol  $\mathbf{0}$ :

```

definition zeros :: nat  $\Rightarrow$  symbol list where
  zeros n  $\equiv$  string-to-symbols (replicate n  $\mathbf{0}$ )

```

```

lemma length-zeros [simp]:  $\text{length } (\text{zeros } n) = n$ 
  using zeros-def by simp

```

```

lemma bit-symbols-zeros:  $\text{bit-symbols } (\text{zeros } n)$ 
  using zeros-def by simp

```

```

lemma zeros:  $\text{zeros } n = \text{replicate } n \mathbf{0}$ 
  using zeros-def by simp

```

The assumptions in the following locale are the conditions that according to lemma *NP-imp-oblivious-2tape* hold for all  $\mathcal{NP}$  languages. The construction of  $\Phi$  will take place inside this locale, which in later chapters will be extended to contain the Turing machine outputting  $\Phi$  and the correctness proof for this Turing machine.

```

locale reduction-sat =
  fixes L :: language
  fixes M :: machine
  and G :: nat
  and T p :: nat  $\Rightarrow$  nat
  assumes T: big-oh-poly T
  assumes p: polynomial p
  assumes tm-M: turing-machine 2 G M
  and oblivious-M: oblivious M
  and T-halt:  $\bigwedge y. \text{bit-symbols } y \Longrightarrow \text{fst } (\text{execute } M \text{ (start-config } 2 \text{ y) } (T \text{ (length } y))) = \text{length } M$ 
  and cert:  $\bigwedge x.
    x \in L \iff (\exists u. \text{length } u = p \text{ (length } x) \wedge \text{execute } M \text{ (start-config } 2 \text{ } \langle x; u \rangle) (T \text{ (length } \langle x; u \rangle)) <.> 1 = \mathbf{1})$ 
begin

```

The value  $H$  is an upper bound for the number of states of  $M$  and the alphabet size of  $M$ .

```

definition H :: nat where
  H  $\equiv$  max G (length M)

```

```

lemma H-ge-G:  $H \geq G$ 

```

using *H-def* by *simp*

**lemma** *H-gr-2*:  $H > 2$   
using *H-def tm-M turing-machine-def* by *auto*

**lemma** *H-ge-3*:  $H \geq 3$   
using *H-gr-2* by *simp*

**lemma** *H-ge-length-M*:  $H \geq \text{length } M$   
using *H-def* by *simp*

The number of symbols used for encoding one snapshot is  $Z = 3H$ :

**definition** *Z* :: *nat* **where**  
 $Z \equiv 3 * H$

The configuration after running *M* on input *y* for *t* steps:

**abbreviation** *exc* :: *symbol list*  $\Rightarrow$  *nat*  $\Rightarrow$  *config* **where**  
 $\text{exc } y \ t \equiv \text{execute } M \ (\text{start-config } 2 \ y) \ t$

The function *T* is just some polynomial upper bound for the running time. The next function, *TT*, is the actual running time. Since *M* is oblivious, its running time depends only on the length of the input. The argument *zeros n* is thus merely a placeholder for an arbitrary symbol sequence of length *n*.

**definition** *TT* :: *nat*  $\Rightarrow$  *nat* **where**  
 $TT \ n \equiv \text{LEAST } t. \text{fst } (\text{exc } (\text{zeros } n) \ t) = \text{length } M$

**lemma** *TT*:  $\text{fst } (\text{exc } (\text{zeros } n) \ (TT \ n)) = \text{length } M$

**proof** –

let  $?P = \lambda t. \text{fst } (\text{exc } (\text{zeros } n) \ t) = \text{length } M$

have  $?P \ (TT \ n)$

using *T-halt bit-symbols-zeros length-zeros* by *metis*

then show  $?thesis$

using *wellorder-Least-lemma[of ?P] TT-def* by *simp*

qed

**lemma** *TT-le*:  $TT \ n \leq T \ n$   
using *wellorder-Least-lemma length-zeros TT-def T-halt[OF bit-symbols-zeros[of n]]* by *fastforce*

**lemma** *less-TT*:  $t < TT \ n \implies \text{fst } (\text{exc } (\text{zeros } n) \ t) < \text{length } M$

**proof** –

assume  $t < TT \ n$

then have  $\text{fst } (\text{exc } (\text{zeros } n) \ t) \neq \text{length } M$

using *TT-def not-less-Least* by *auto*

moreover have  $\text{fst } (\text{exc } (\text{zeros } n) \ t) \leq \text{length } M$  for *t*

using *tm-M start-config-def turing-machine-execute-states* by *auto*

ultimately show  $\text{fst } (\text{exc } (\text{zeros } n) \ t) < \text{length } M$

using *less-le* by *blast*

qed

**lemma** *oblivious-halt-state*:

assumes *bit-symbols zs*

shows  $\text{fst } (\text{exc } \text{zs } t) < \text{length } M \iff \text{fst } (\text{exc } (\text{zeros } (\text{length } \text{zs})) \ t) < \text{length } M$

**proof** –

obtain *e* **where**

$e: \forall \text{zs}. \text{bit-symbols } \text{zs} \longrightarrow (\exists \text{tps}. \text{trace } M \ (\text{start-config } 2 \ \text{zs}) \ (e \ (\text{length } \text{zs})) \ (\text{length } M, \ \text{tps}))$

using *oblivious-M oblivious-def* by *auto*

let  $?es = e \ (\text{length } \text{zs})$

have  $\forall i < \text{length } ?es. \text{fst } (\text{exc } \text{zs } i) < \text{length } M$

using *trace-def e assms* by *simp*

moreover have  $\text{fst } (\text{exc } \text{zs } (\text{length } ?es)) = \text{length } M$

using *trace-def e assms* by *auto*

moreover have  $\forall i < \text{length } ?es. \text{fst } (\text{exc } (\text{zeros } (\text{length } \text{zs})) \ i) < \text{length } M$

using *length-zeros bit-symbols-zeros trace-def e* by *simp*

moreover have  $\text{fst } (\text{exc } (\text{zeros } (\text{length } \text{zs})) \ (\text{length } ?es)) = \text{length } M$

**using** *length-zeros bit-symbols-zeros trace-def e assms*  
**by** (*smt (verit, ccfu-SIG) fst-conv*)  
**ultimately show** *?thesis*  
**by** (*metis (no-types, lifting) execute-after-halting-ge le-less-linear*)  
**qed**

**corollary** *less-TT'*:  
**assumes** *bit-symbols zs and t < TT (length zs)*  
**shows** *fst (exc zs t) < length M*  
**using** *assms oblivious-halt-state less-TT by simp*

**corollary** *TT'*:  
**assumes** *bit-symbols zs*  
**shows** *fst (exc zs (TT (length zs))) = length M*  
**using** *assms TT oblivious-halt-state*  
**by** (*metis (no-types, lifting) fst-conv start-config-def start-config-length less-le tm-M turing-machine-execute-states zero-le zero-less-numeral*)

**lemma** *exc-TT-eq-exc-T*:  
**assumes** *bit-symbols zs*  
**shows** *exc zs (TT (length zs)) = exc zs (T (length zs))*  
**using** *execute-after-halting-ge[OF TT'[OF assms] TT-le] by simp*

The position of the input tape head of  $M$  depends only on the length  $n$  of the input and the step  $t$ , at least as long as the input is over the alphabet  $\{0, 1\}$ .

**definition** *inputpos :: nat  $\Rightarrow$  nat  $\Rightarrow$  nat where*  
*inputpos n t  $\equiv$  exc (zeros n) t <#> 0*

**lemma** *inputpos-oblivious:*  
**assumes** *bit-symbols zs*  
**shows** *exc zs t <#> 0 = inputpos (length zs) t*

**proof** –  
**obtain** *e where*  
*e: ( $\forall$  zs. bit-symbols zs  $\longrightarrow$  ( $\exists$  tps. trace M (start-config 2 zs) (e (length zs)) (length M, tps)))*  
**using** *oblivious-M oblivious-def by auto*  
**let** *?es = e (length zs)*  
**obtain** *tps where t1: trace M (start-config 2 zs) ?es (length M, tps)*  
**using** *e assms by auto*  
**let** *?zs = (replicate (length zs) 2)*  
**have** *proper-symbols ?zs*  
**by** *simp*  
**moreover** **have** *length ?zs = length zs*  
**by** *simp*  
**ultimately obtain** *tps0 where t0: trace M (start-config 2 ?zs) ?es (length M, tps0)*  
**using** *e by fastforce*  
**have** *le: exc zs t <#> 0 = inputpos (length zs) t if t  $\leq$  length ?es for t*  
**proof** (*cases t = 0*)  
**case** *True*  
**then show** *?thesis*  
**by** (*simp add: start-config-def inputpos-def*)  
**next**  
**case** *False*  
**then obtain** *i where i: Suc i = t*  
**using** *gr0-implies-Suc by auto*  
**then have** *exc zs (Suc i) <#> 0 = fst (?es ! i)*  
**using** *t1 False that Suc-le-lessD trace-def by auto*  
**moreover** **have** *exc ?zs (Suc i) <#> 0 = fst (?es ! i)*  
**using** *t0 False i that Suc-le-lessD trace-def by auto*  
**ultimately show** *?thesis*  
**using** *i inputpos-def zeros by simp*  
**qed**  
**moreover** **have** *exc zs t <#> 0 = inputpos (length zs) t if t > length ?es*  
**proof** –

```

have exc ?zs (length ?es) = (length M, tps0)
  using t0 trace-def by simp
then have *: exc ?zs t = exc ?zs (length ?es)
  using that by (metis execute-after-halting-ge fst-eqD less-or-eq-imp-le)
have exc zs (length ?es) = (length M, tps)
  using t1 trace-def by simp
then have exc zs t = exc zs (length ?es)
  using that by (metis execute-after-halting-ge fst-eqD less-or-eq-imp-le)
then show ?thesis
  using * le[of length ?es] by (simp add: inputpos-def zeros)
qed
ultimately show ?thesis
  by fastforce
qed

```

The position of the tape head on the output tape of  $M$  also depends only on the length  $n$  of the input and the step  $t$ .

**lemma** *oblivious-headpos-1*:

```

assumes bit-symbols zs
shows exc zs t <#> 1 = exc (zeros (length zs)) t <#> 1
proof -
  obtain e where
    e: ( $\forall$  zs. bit-symbols zs  $\longrightarrow$  ( $\exists$  tps. trace M (start-config 2 zs) (e (length zs)) (length M, tps)))
    using oblivious-M oblivious-def by auto
  let ?es = e (length zs)
  obtain tps where t1: trace M (start-config 2 zs) ?es (length M, tps)
    using e assms by auto
  let ?zs = (replicate (length zs) 2)
  have proper-symbols ?zs
    by simp
  moreover have length ?zs = length zs
    by simp
  ultimately obtain tps0 where t0: trace M (start-config 2 ?zs) ?es (length M, tps0)
    using e by fastforce
  have le: exc zs t <#> 1 = exc (zeros (length zs)) t <#> 1 if  $t \leq$  length ?es for t
  proof (cases t = 0)
    case True
    then show ?thesis
      by (simp add: start-config-def inputpos-def)
  next
    case False
    then obtain i where i: Suc i = t
      using gr0-implies-Suc by auto
    then have exc zs (Suc i) <#> 1 = snd (?es ! i)
      using t1 False that Suc-le-lessD trace-def by auto
    moreover have exc ?zs (Suc i) <#> 1 = snd (?es ! i)
      using t0 False i that Suc-le-lessD trace-def by auto
    ultimately show ?thesis
      using i inputpos-def zeros by simp
  qed
  moreover have exc zs t <#> 1 = exc (zeros (length zs)) t <#> 1 if  $t >$  length ?es
  proof -
    have exc ?zs (length ?es) = (length M, tps0)
      using t0 trace-def by simp
    then have *: exc ?zs t = exc ?zs (length ?es)
      using that by (metis execute-after-halting-ge fst-eqD less-or-eq-imp-le)
    have exc zs (length ?es) = (length M, tps)
      using t1 trace-def by simp
    then have exc zs t = exc zs (length ?es)
      using that by (metis execute-after-halting-ge fst-eqD less-or-eq-imp-le)
    then show ?thesis
      using * le[of length ?es] by (simp add: inputpos-def zeros)
  qed

```



**ultimately show** *?thesis*  
**using** *le-less-linear* **by** *blast*  
**qed**

The value  $prev(t)$  is the most recent step in which  $M$ 's output tape head was in the same position as in step  $t$ . If no such step exists,  $prev(t)$  is set to  $t$ . Again due to  $M$  being oblivious,  $prev$  depends only on the length  $n$  of the input (and on  $t$ , of course).

**definition**  $prev :: nat \Rightarrow nat \Rightarrow nat$  **where**  
 $prev\ n\ t \equiv$   
*if*  $\exists t' < t. exc\ (zeros\ n)\ t' < \# > 1 = exc\ (zeros\ n)\ t < \# > 1$   
*then*  $GREATEST\ t'. t' < t \wedge exc\ (zeros\ n)\ t' < \# > 1 = exc\ (zeros\ n)\ t < \# > 1$   
*else*  $t$

**lemma** *oblivious-prev*:  
**assumes** *bit-symbols zs*  
**shows**  $prev\ (length\ zs)\ t =$   
*(if*  $\exists t' < t. exc\ zs\ t' < \# > 1 = exc\ zs\ t < \# > 1$   
*then*  $GREATEST\ t'. t' < t \wedge exc\ zs\ t' < \# > 1 = exc\ zs\ t < \# > 1$   
*else*  $t)$   
**using** *prev-def* *assms* *oblivious-headpos-1* **by** *auto*

**lemma** *prev-less*:  
**assumes**  $\exists t' < t. exc\ (zeros\ n)\ t' < \# > 1 = exc\ (zeros\ n)\ t < \# > 1$   
**shows**  $prev\ n\ t < t \wedge exc\ (zeros\ n)\ (prev\ n\ t) < \# > 1 = exc\ (zeros\ n)\ t < \# > 1$

**proof** –  
**let**  $?P = \lambda t'. t' < t \wedge exc\ (zeros\ n)\ t' < \# > 1 = exc\ (zeros\ n)\ t < \# > 1$   
**have**  $prev\ n\ t = (GREATEST\ t'. t' < t \wedge exc\ (zeros\ n)\ t' < \# > 1 = exc\ (zeros\ n)\ t < \# > 1)$   
**using** *assms* *prev-def* **by** *simp*  
**moreover** **have**  $\forall y. ?P\ y \longrightarrow y \leq t$   
**by** *simp*  
**ultimately show** *?thesis*  
**using** *GreatestI-ex-nat[OF assms, of t]* **by** *simp*  
**qed**

**corollary** *prev-less'*:  
**assumes** *bit-symbols zs*  
**assumes**  $\exists t' < t. exc\ zs\ t' < \# > 1 = exc\ zs\ t < \# > 1$   
**shows**  $prev\ (length\ zs)\ t < t \wedge exc\ zs\ (prev\ (length\ zs)\ t) < \# > 1 = exc\ zs\ t < \# > 1$   
**using** *prev-less* *oblivious-headpos-1* *assms* **by** *simp*

**lemma** *prev-greatest*:  
**assumes**  $t' < t$  **and**  $exc\ (zeros\ n)\ t' < \# > 1 = exc\ (zeros\ n)\ t < \# > 1$   
**shows**  $t' \leq prev\ n\ t$   
**proof** –  
**let**  $?P = \lambda t'. t' < t \wedge exc\ (zeros\ n)\ t' < \# > 1 = exc\ (zeros\ n)\ t < \# > 1$   
**have**  $prev\ n\ t = (GREATEST\ t'. t' < t \wedge exc\ (zeros\ n)\ t' < \# > 1 = exc\ (zeros\ n)\ t < \# > 1)$   
**using** *assms* *prev-def* **by** *auto*  
**moreover** **have**  $?P\ t'$   
**using** *assms* **by** *simp*  
**moreover** **have**  $\forall y. ?P\ y \longrightarrow y \leq t$   
**by** *simp*  
**ultimately show** *?thesis*  
**using** *Greatest-le-nat[of ?P t' t]* **by** *simp*  
**qed**

**corollary** *prev-greatest'*:  
**assumes** *bit-symbols zs*  
**assumes**  $t' < t$  **and**  $exc\ zs\ t' < \# > 1 = exc\ zs\ t < \# > 1$   
**shows**  $t' \leq prev\ (length\ zs)\ t$   
**using** *prev-greatest* *oblivious-headpos-1* *assms* **by** *simp*

**lemma** *prev-eq*:  $prev\ n\ t = t \iff \neg (\exists t' < t. exc\ (zeros\ n)\ t' < \# > 1 = exc\ (zeros\ n)\ t < \# > 1)$   
**using** *prev-def* *nat-less-le* *prev-less* **by** *simp*

**lemma** *prev-le*:  $prev\ n\ t \leq t$   
**using** *prev-eq prev-less* **by** (*metis less-or-eq-imp-le*)

**corollary** *prev-eq'*:  
**assumes** *bit-symbols zs*  
**shows**  $prev\ (length\ zs)\ t = t \iff \neg (\exists t' < t. exc\ zs\ t' < \# > 1 = exc\ zs\ t < \# > 1)$   
**using** *prev-eq oblivious-headpos-1 assms* **by** *simp*

**lemma** *prev-between*:  
**assumes**  $prev\ n\ t < t'$  **and**  $t' < t$   
**shows**  $exc\ (zeros\ n)\ t' < \# > 1 \neq exc\ (zeros\ n)\ (prev\ n\ t) < \# > 1$   
**using** *assms* **by** (*metis (no-types, lifting) leD prev-eq prev-greatest prev-less*)

**lemma** *prev-write-read*:  
**assumes** *bit-symbols zs* **and**  $n = length\ zs$   
**and**  $prev\ n\ t < t$  **and**  $cfg = exc\ zs\ (prev\ n\ t)$  **and**  $t \leq TT\ n$   
**shows**  $exc\ zs\ t < . > 1 = (M\ !\ (fst\ cfg))\ [cfg\ < . > 0, cfg\ < . > 1]\ [.] 1$   
**proof** –  
**let**  $?cfg = exc\ zs\ (Suc\ (prev\ n\ t))$   
**let**  $?sas = (M\ !\ (fst\ cfg))\ [cfg\ < . > 0, cfg\ < . > 1]$   
**let**  $?i = cfg\ < \# > 1$   
**have**  $1: fst\ cfg < length\ M$   
**using** *assms less-TT'* **by** *simp*  
**have**  $2: ||cfg|| = 2$   
**using** *assms execute-num-tapes start-config-length tm-M* **by** *auto*  
**then have**  $3: read\ (snd\ cfg) = [cfg\ < . > 0, cfg\ < . > 1]$   
**using** *read-def*  
**by** (*smt (verit) Cons-eq-map-conv Suc-1 length-0-conv length-Suc-conv list.simps(8) nth-Cons-0 nth-Cons-Suc numeral-1-eq-Suc-0 numeral-One*)

**have**  $*$ :  $(?cfg\ < . > 1)\ ?i = (M\ !\ (fst\ cfg))\ [cfg\ < . > 0, cfg\ < . > 1]\ [.] 1$   
**proof** –  
**have**  $?cfg\ < ! > 1 = exe\ M\ cfg\ < ! > 1$   
**by** (*simp add: assms*)  
**also have**  $\dots = sem\ (M\ !\ (fst\ cfg))\ cfg\ < ! > 1$   
**using**  $1\ exe-lt-length$  **by** *simp*  
**also have**  $\dots = act\ (snd\ ((M\ !\ (fst\ cfg))\ (read\ (snd\ cfg))))\ !\ 1\ (snd\ cfg\ !\ 1)$   
**using** *sem-snd-tm tm-M 1 2* **by** (*metis Suc-1 lessI prod.collapse*)  
**also have**  $\dots = act\ (?sas\ [!]\ 1)\ (cfg\ < ! > 1)$   
**using**  $3$  **by** *simp*  
**finally have**  $*$ :  $?cfg\ < ! > 1 = act\ (?sas\ [!]\ 1)\ (cfg\ < ! > 1)$  .

**have**  $(?cfg\ < : > 1)\ ?i = fst\ (?cfg\ < ! > 1)\ ?i$   
**by** *simp*  
**also have**  $***: \dots = ((fst\ (cfg\ < ! > 1))\ (?i := (?sas\ [.] 1)))\ ?i$   
**using**  $*$  **act** **by** *simp*  
**also have**  $\dots = ?sas\ [.] 1$   
**by** *simp*  
**finally show**  $(?cfg\ < : > 1)\ ?i = ?sas\ [.] 1$   
**using**  $***$  **by** *simp*

**qed**

**have**  $((exc\ zs\ t')\ < : > 1)\ ?i = (M\ !\ (fst\ cfg))\ [cfg\ < . > 0, cfg\ < . > 1]\ [.] 1$   
**if**  $Suc\ (prev\ n\ t) \leq t'$  **and**  $t' \leq t$  **for**  $t'$   
**using** *that*  
**proof** (*induction t' rule: nat-induct-at-least*)  
**case** *base*  
**then show**  $?case$   
**using**  $*$  **by** *simp*  
**next**  
**case**  $(Suc\ m)$   
**let**  $?cfg-m = exc\ zs\ m$

```

from Suc have between: prev n t < m m < t
  by simp-all
then have ?: ?cfg-m <#> 1 ≠ ?i
  using prev-between assms oblivious-headpos-1 by simp

have m < TT n
  using Suc assms by simp
then have 1: fst ?cfg-m < length M
  using assms less-TT' by simp
have 2: ||?cfg-m|| = 2
  using execute-num-tapes start-config-length tm-M by auto

have exc zs (Suc m) <!> 1 = exe M ?cfg-m <!> 1
  by simp
also have ... = sem (M ! (fst ?cfg-m)) ?cfg-m <!> 1
  using 1 exe-lt-length by simp
also have ... = act (snd ((M ! (fst ?cfg-m)) (read (snd ?cfg-m))) ! 1) (snd ?cfg-m ! 1)
  using sem-snd-tm tm-M 1 2 by (metis Suc-1 lessI prod.collapse)
finally have exc zs (Suc m) <!> 1 = act (snd ((M ! (fst ?cfg-m)) (read (snd ?cfg-m))) ! 1) (snd ?cfg-m !
1) .
  then have (exc zs (Suc m) <:> 1) ?i = fst (snd ?cfg-m ! 1) ?i
  using * act-changes-at-most-pos' by simp
  then show ?case
  using Suc by simp
qed
then have ((exc zs t) <:> 1) ?i = (M ! (fst cfg)) [cfg <.> 0, cfg <.> 1] [.] 1
  using Suc-leI assms by simp
moreover have ?i = exc zs t <#> 1
  using assms(1,2,4) oblivious-headpos-1 prev-eq prev-less by (smt (verit))
ultimately show ?thesis
  by simp
qed

lemma prev-no-write:
  assumes bit-symbols zs and n = length zs
  and prev n t = t and t ≤ TT n and t > 0
  shows exc zs t <.> 1 = □
proof –
  let ?i = exc zs t <#> 1

  have 1: ¬ (∃ t' < t. exc zs t' <#> 1 = ?i)
  using prev-eq' assms(1,2,3) by simp

  have 2: ?i > 0
proof (rule ccontr)
  assume ¬ 0 < ?i
  then have eq0: ?i = 0
  by simp
  moreover have exc zs 0 <#> 1 = 0
  by (simp add: start-config-pos)
  ultimately show False
  using 1 assms(5) by auto
qed

have 3: (exc zs (Suc t') <:> 1) i = (exc zs t' <:> 1) i if i ≠ exc zs t' <#> 1 for i t'
proof (cases fst (exc zs t') < length M)
  case True
  let ?cfg = exc zs t'
  have len2: ||?cfg|| = 2
  using execute-num-tapes start-config-length tm-M by auto
  have exc zs (Suc t') <!> 1 = exe M ?cfg <!> 1
  by simp
  also have ... = sem (M ! (fst ?cfg)) ?cfg <!> 1

```

```

    using True exe-lt-length by simp
  also have ... = act (snd ((M ! (fst ?cfg)) (read (snd ?cfg))) ! 1) (snd ?cfg ! 1)
    using sem-snd-tm tm-M True len2 by (metis Suc-1 lessI prod.collapse)
  finally have exc zs (Suc t') <!> 1 = act (snd ((M ! (fst ?cfg)) (read (snd ?cfg))) ! 1) (snd ?cfg ! 1) .
  then have (exc zs (Suc t') <:> 1) i = fst (snd ?cfg ! 1) i
    using that act-changes-at-most-pos' by simp
  then show ?thesis
    by simp
next
case False
then show ?thesis
  using that by (simp add: exe-def)
qed

have (exc zs t' <:> 1) ?i = (exc zs 0 <:> 1) ?i if t' ≤ t for t'
  using that
proof (induction t')
case 0
then show ?case
  by simp
next
case (Suc t')
then show ?case
  by (metis 1 3 Suc-leD Suc-le-lessD)
qed
then have exc zs t <.> 1 = (exc zs 0 <:> 1) ?i
  by simp
then show ?thesis
  using 2 One-nat-def execute.simps(1) start-config1 less-2-cases-iff less-one by presburger
qed

```

The intervals  $\gamma_i$  and  $w_0, \dots, w_9$  do not depend on  $x$ , and so can be defined here already.

**definition**  $\gamma$   $:: \text{nat} \Rightarrow \text{nat list}$  ( $\langle \gamma \rangle$ ) **where**  
 $\gamma \ i \equiv [i * H .. < \text{Suc } i * H]$

**lemma**  $\text{length-gamma}$  [ $\text{simp}$ ]:  $\text{length } (\gamma \ i) = H$   
**using**  $\gamma$ -def **by**  $\text{simp}$

**abbreviation**  $w_0 \equiv [0 .. < H]$   
**abbreviation**  $w_1 \equiv [H .. < 2 * H]$   
**abbreviation**  $w_2 \equiv [2 * H .. < Z]$   
**abbreviation**  $w_3 \equiv [Z .. < Z + H]$   
**abbreviation**  $w_4 \equiv [Z + H .. < Z + 2 * H]$   
**abbreviation**  $w_5 \equiv [Z + 2 * H .. < 2 * Z]$   
**abbreviation**  $w_6 \equiv [2 * Z .. < 2 * Z + H]$   
**abbreviation**  $w_7 \equiv [2 * Z + H .. < 2 * Z + 2 * H]$   
**abbreviation**  $w_8 \equiv [2 * Z + 2 * H .. < 3 * Z]$   
**abbreviation**  $w_9 \equiv [3 * Z .. < 3 * Z + H]$

**lemma**  $\text{unary-upt-eq}$ :  
**fixes**  $\alpha_1 \ \alpha_2 :: \text{assignment}$   
**and**  $\text{lower upper } k :: \text{nat}$   
**assumes**  $\forall i < k. \alpha_1 \ i = \alpha_2 \ i$  **and**  $\text{upper} \leq k$   
**shows**  $\text{unary } \alpha_1 \ [\text{lower} .. < \text{upper}] = \text{unary } \alpha_2 \ [\text{lower} .. < \text{upper}]$   
**proof** –  
**have**  $\text{numtrue } \alpha_1 \ [\text{lower} .. < \text{upper}] = \text{numtrue } \alpha_2 \ [\text{lower} .. < \text{upper}]$   
**proof** –  
**let**  $?vs = [\text{lower} .. < \text{upper}]$   
**have**  $\text{filter } \alpha_1 \ ?vs = \text{filter } \alpha_2 \ ?vs$   
**using**  $\text{assms}$  **by** ( $\text{metis atLeastLessThan-iff filter-cong less-le-trans set-upt}$ )  
**then show**  $?thesis$   
**using**  $\text{numtrue-def}$  **by**  $\text{simp}$   
**qed**

**moreover have** *blocky*  $\alpha_1$  [*lower*..*upper*] = *blocky*  $\alpha_2$  [*lower*..*upper*]  
**using** *blocky-def* *assms* **by** *auto*  
**ultimately show** *?thesis*  
**using** *assms unary-def* **by** *simp*  
**qed**

For the case *prev m t < t*, we have the following predicate on assignments, which corresponds to (F<sub>1</sub>), (F<sub>2</sub>), (F<sub>3</sub>) from the introduction:

**definition** *F* :: *assignment*  $\Rightarrow$  *bool* **where**  
*F*  $\alpha \equiv$   
*unary*  $\alpha$  *w*<sub>6</sub> = *unary*  $\alpha$  *w*<sub>9</sub>  $\wedge$   
*unary*  $\alpha$  *w*<sub>7</sub> = (*M* ! (*unary*  $\alpha$  *w*<sub>5</sub>)) [*unary*  $\alpha$  *w*<sub>3</sub>, *unary*  $\alpha$  *w*<sub>4</sub>] [*.*] 1  $\wedge$   
*unary*  $\alpha$  *w*<sub>8</sub> = *fst* ((*M* ! (*unary*  $\alpha$  *w*<sub>2</sub>)) [*unary*  $\alpha$  *w*<sub>0</sub>, *unary*  $\alpha$  *w*<sub>1</sub>])

**lemma** *depon-F*: *depon* ( $3 * Z + H$ ) *F*  
**using** *depon-def F-def Z-def unary-upt-eq* **by** *simp*

There is a CNF formula  $\psi$  that contains the first  $3Z + H$  variables and is satisfied by exactly the assignments specified by *F*.

**definition** *psi* :: *formula* ( $\langle \psi \rangle$ ) **where**  
 $\psi \equiv$  *SOME*  $\varphi$ .  
*fsize*  $\varphi \leq (3 * Z + H) * 2 \wedge (3 * Z + H) \wedge$   
*length*  $\varphi \leq 2 \wedge (3 * Z + H) \wedge$   
*variables*  $\varphi \subseteq \{..<3 * Z + H\} \wedge$   
 $(\forall \alpha. F \alpha = \alpha \models \varphi)$

**lemma** *psi*:  
*fsize*  $\psi \leq (3 * Z + H) * 2 \wedge (3 * Z + H) \wedge$   
*length*  $\psi \leq 2 \wedge (3 * Z + H) \wedge$   
*variables*  $\psi \subseteq \{..<3 * Z + H\} \wedge$   
 $(\forall \alpha. F \alpha = \alpha \models \psi)$   
**using** *psi-def someI-ex[OF depon-ex-formula[OF depon-F]]* **by** *metis*

**lemma** *satisfies-psi*:  
**assumes** *length*  $\sigma = 3 * Z + H$   
**shows**  $\alpha \models \text{relabel } \sigma \psi = \text{remap } \sigma \alpha \models \psi$   
**using** *assms psi satisfies-sigma* **by** *simp*

**lemma** *psi-F*: *remap*  $\sigma \alpha \models \psi = F$  (*remap*  $\sigma \alpha$ )  
**using** *psi* **by** *simp*

**corollary** *satisfies-F*:  
**assumes** *length*  $\sigma = 3 * Z + H$   
**shows**  $\alpha \models \text{relabel } \sigma \psi = F$  (*remap*  $\sigma \alpha$ )  
**using** *assms satisfies-psi psi-F* **by** *simp*

For the case *prev m t = t*, the following predicate corresponds to (F'<sub>1</sub>), (F'<sub>2</sub>), (F'<sub>3</sub>) from the introduction:

**definition** *F'* :: *assignment*  $\Rightarrow$  *bool* **where**  
*F'*  $\alpha \equiv$   
*unary*  $\alpha$  *w*<sub>3</sub> = *unary*  $\alpha$  *w*<sub>6</sub>  $\wedge$   
*unary*  $\alpha$  *w*<sub>4</sub> = 0  $\wedge$   
*unary*  $\alpha$  *w*<sub>5</sub> = *fst* ((*M* ! (*unary*  $\alpha$  *w*<sub>2</sub>)) [*unary*  $\alpha$  *w*<sub>0</sub>, *unary*  $\alpha$  *w*<sub>1</sub>])

**lemma** *depon-F'*: *depon* ( $2 * Z + H$ ) *F'*  
**using** *depon-def F'-def Z-def unary-upt-eq* **by** *simp*

The CNF formula  $\psi'$  is analogous to  $\psi$  from the previous case.

**definition** *psi'* :: *formula* ( $\langle \psi' \rangle$ ) **where**  
 $\psi' \equiv$  *SOME*  $\varphi$ .  
*fsize*  $\varphi \leq (2 * Z + H) * 2 \wedge (2 * Z + H) \wedge$   
*length*  $\varphi \leq 2 \wedge (2 * Z + H) \wedge$   
*variables*  $\varphi \subseteq \{..<2 * Z + H\} \wedge$

$(\forall \alpha. F' \alpha = \alpha \models \varphi)$

**lemma** *psi'*:

*fsize*  $\psi' \leq (2 * Z + H) * 2 \wedge (2 * Z + H) \wedge$   
*length*  $\psi' \leq 2 \wedge (2 * Z + H) \wedge$   
*variables*  $\psi' \subseteq \{.. < 2 * Z + H\} \wedge$   
 $(\forall \alpha. F' \alpha = \alpha \models \psi')$   
**using** *psi'-def someI-ex*[*OF depon-ex-formula*[*OF depon-F'*]] **by** *metis*

**lemma** *satisfies-psi'*:

**assumes** *length*  $\sigma = 2 * Z + H$   
**shows**  $\alpha \models \text{relabel } \sigma \psi' = \text{remap } \sigma \alpha \models \psi'$   
**using** *assms psi' satisfies-sigma* **by** *simp*

**lemma** *psi'-F'*:  $\text{remap } \sigma \alpha \models \psi' = F' (\text{remap } \sigma \alpha)$

**using** *psi'* **by** *simp*

**corollary** *satisfies-F'*:

**assumes** *length*  $\sigma = 2 * Z + H$   
**shows**  $\alpha \models \text{relabel } \sigma \psi' = F' (\text{remap } \sigma \alpha)$   
**using** *assms satisfies-psi' psi'-F'* **by** *simp*

**end**

## 6.4 Snapshots

The snapshots and much of the rest of the construction of  $\Phi$  depend on the string  $x$ . We encapsulate this in a sublocale of *reduction-sat*.

**locale** *reduction-sat-x* = *reduction-sat* +  
**fixes**  $x :: \text{string}$   
**begin**

**abbreviation**  $n :: \text{nat}$  **where**

$n \equiv \text{length } x$

Turing machines consume the string  $x$  as a sequence  $xs$  of symbols:

**abbreviation**  $xs :: \text{symbol list}$  **where**

$xs \equiv \text{string-to-symbols } x$

**lemma** *bs-xs*: *bit-symbols xs*

**by** *simp*

For the verifier Turing machine  $M$  we are only concerned with inputs of the form  $\langle x, u \rangle$  for a string  $u$  of length  $p(n)$ . The pair  $\langle x, u \rangle$  has the length  $m = 2n + 2p(n) + 2$ .

**definition**  $m :: \text{nat}$  **where**

$m \equiv 2 * n + 2 * p \ n + 2$

On input  $\langle x, u \rangle$  the Turing machine  $M$  halts after  $T' = TT(m)$  steps.

**definition**  $T' :: \text{nat}$  **where**

$T' \equiv TT \ m$

The positions of both of  $M$ 's tape heads are bounded by  $T'$ .

**lemma** *inputpos-less*: *inputpos m t*  $\leq T'$

**proof** –

**define**  $u :: \text{string}$  **where**  $u = \text{replicate } (p \ n) \ \text{False}$

**let**  $?i = \text{inputpos } m \ t$

**have**  $y$ : *bit-symbols*  $\langle x; u \rangle$

**by** *simp*

**have** *len*: *length*  $\langle x; u \rangle = m$

**using** *u-def m-def length-pair* **by** *simp*

**then have** *exc*  $\langle x; u \rangle \ t \ <\#\> \ 0 \leq T'$

```

    using TT'[OF y] T'-def head-pos-le-halting-time[OF tm-M, of ⟨x; u⟩ T' 0] by simp
  then show ?thesis
    using inputpos-oblivious[OF y] len by simp
qed

```

**lemma** *headpos-1-less*:  $\text{exc } (\text{zeros } m) \ t \ <\#\> \ 1 \leq T'$

**proof** –

```

  define u :: string where u = replicate (p n) False
  let ?i = inputpos m t
  have y: bit-symbols ⟨x; u⟩
    by simp
  have len: length ⟨x; u⟩ = m
    using u-def m-def length-pair by simp
  then have exc ⟨x; u⟩ t <#\> 1 ≤ T'
    using TT'[OF y] T'-def head-pos-le-halting-time[OF tm-M, of ⟨x; u⟩ T' 1] by simp
  then show ?thesis
    using oblivious-headpos-1[OF y] len by simp

```

**qed**

The formula  $\Phi$  must contain a condition for every symbol that  $M$  is reading from the input tape. While  $T'$  is an upper bound for the input tape head position of  $M$ , it might be that  $T'$  is less than the length of the input  $\langle x, u \rangle$ . So the portion of the input read by  $M$  might be a prefix of the input or it might be the input followed by some blanks afterwards. This would make for an awkward case distinction. We do not have to be very precise here and can afford to bound the portion of the input tape read by  $M$  by the number  $m' = 2n + 2p(n) + 3 + T'$ , which is the length of the start symbol followed by the input  $\langle x, u \rangle$  followed by  $T'$  blanks. This symbol sequence was called  $y(u)$  in the introduction. Here we will call it *ysymbols*  $u$ .

**definition**  $m' :: \text{nat}$  where

$$m' \equiv 2 * n + 2 * p \ n + 3 + T'$$

**definition** *ysymbols* ::  $\text{string} \Rightarrow \text{symbol list}$  where

$$\text{ysymbols } u \equiv 1 \ \# \ \langle x; u \rangle \ @ \ \text{replicate } T' \ 0$$

**lemma** *length-ysymbols*:  $\text{length } u = p \ n \implies \text{length } (\text{ysymbols } u) = m'$

using *ysymbols-def*  $m'$ -def *m-def* *length-pair* by simp

**lemma** *ysymbols-init*:

assumes  $i < \text{length } (\text{ysymbols } u)$

shows  $\text{ysymbols } u \ ! \ i = (\text{start-config } 2 \ \langle x; u \rangle \ <:\> \ 0) \ i$

**proof** –

let  $?y = \langle x; u \rangle$

have *init*:  $\text{start-config } 2 \ ?y \ <:\> \ 0 = (\lambda i. \ \text{if } i = 0 \ \text{then } 1 \ \text{else if } i \leq \text{length } ?y \ \text{then } ?y \ ! \ (i - 1) \ \text{else } 0)$

using *start-config-def* by auto

have  $i < \text{length } ?y + 1 + T'$

using *assms* *ysymbols-def* by simp

then consider  $i = 0 \mid i > 0 \wedge i \leq \text{length } ?y \mid i > \text{length } ?y \wedge i < \text{length } ?y + 1 + T'$

by *linarith*

then show  $\text{ysymbols } u \ ! \ i = (\text{start-config } 2 \ ?y \ <:\> \ 0) \ i$

**proof** (*cases*)

case 1

then show ?thesis

using *ysymbols-def* *init* by simp

next

case 2

then have  $\text{ysymbols } u \ ! \ i = \langle x; u \rangle \ ! \ (i - 1)$

using *ysymbols-def*

by (*smt* (*verit*, *del-insts*) *Nat.add-diff-assoc* *diff-is-0-eq* *gr-zeroI* *le-add-diff-inverse* *le-add-diff-inverse2*

*less-numeral-extra*(1) *nat-le-linear* *nth-Cons-pos* *nth-append* *zero-less-diff*)

then show ?thesis

using *init* 2 by simp

next

case 3

then have  $(\text{start-config } 2 \ ?y \ <:\> \ 0) \ i = 0$

```

    using init by simp
    moreover have ysymbols u ! i = 0
    unfolding ysymbols-def using 3 nth-append[of 1 #⟨x; u⟩ replicate T' 0 i] by auto
    ultimately show ?thesis
    by simp
  qed
qed

```

```

lemma ysymbols-at-0: ysymbols u ! 0 = 1
  using ysymbols-def by simp

```

```

lemma ysymbols-first-at:
  assumes j < length x
  shows ysymbols u ! (2*j+1) = 2
    and ysymbols u ! (2*j+2) = (if x ! j then 3 else 2)
  proof -
    have *: ysymbols u = (1 # ⟨x; u⟩) @ replicate T' 0
    using ysymbols-def by simp

```

```

    let ?i = 2 * j + 1
    have len: 2*j < length ⟨x, u⟩
      using assms length-string-pair by simp
    have ?i < length (1 # ⟨x; u⟩)
      using assms length-pair by simp
    then have ysymbols u ! ?i = (1 # ⟨x; u⟩) ! ?i
      using * nth-append by metis
    also have ... = ⟨x; u⟩ ! (2*j)
      by simp
    also have ... = 2
      using string-pair-first-nth assms len by simp
    finally show ysymbols u ! ?i = 2 .

```

```

    let ?i = 2 * j + 2
    have len2: ?i < length (1 # ⟨x; u⟩)
      using assms length-pair by simp
    then have ysymbols u ! ?i = (1 # ⟨x; u⟩) ! ?i
      using * nth-append by metis
    also have ... = ⟨x; u⟩ ! (2*j+1)
      by simp
    also have ... = (if x ! j then 3 else 2)
      using string-pair-first-nth(2) assms len2 by simp
    finally show ysymbols u ! ?i = (if x ! j then 3 else 2) .
  qed

```

```

lemma ysymbols-at-2n1: ysymbols u ! (2*n+1) = 3
  proof -
    let ?i = 2 * n + 1
    have ysymbols u ! ?i = ⟨x; u⟩ ! (2*n)
      using ysymbols-def
      by (metis (no-types, lifting) add commute add-2-eq-Suc' le-add2 le-imp-less-Suc length-pair
        less-SucI nth-Cons-Suc nth-append plus-1-eq-Suc)
    also have ... = (if ⟨x, u⟩ ! (2*n) then 3 else 2)
      using length-pair by simp
    also have ... = 3
      using string-pair-sep-nth by simp
    finally show ?thesis .
  qed

```

```

lemma ysymbols-at-2n2: ysymbols u ! (2*n+2) = 3
  proof -
    let ?i = 2 * n + 2
    have ysymbols u ! ?i = ⟨x; u⟩ ! (2*n+1)
      by (simp add: ysymbols-def)

```



```

(smt (verit, del-insts) add.right-neutral add-2-eq-Suc' length-greater-0-conv length-pair
lessI less-add-same-cancel1 less-trans-Suc list.size(3) mult-0-right mult-pos-pos nth-append
zero-less-numeral)
also have ... = (if ⟨x, u⟩ ! (2*n+1) then 3 else 2)
using length-pair by simp
also have ... = 3
using string-pair-sep-nth by simp
finally show ?thesis .
qed

```

```

lemma ysymbols-second-at:
assumes j < length u
shows ysymbols u ! (2*n+2+2*j+1) = 2
and ysymbols u ! (2*n+2+2*j+2) = (if u ! j then 3 else 2)
proof -
have *: ysymbols u = (1 # ⟨x; u⟩) @ replicate T' 0
using ysymbols-def by simp

```

```

let ?i = 2 * n + 2 + 2 * j + 1
have len: 2 * n + 2 + 2*j < length ⟨x, u⟩
using assms length-string-pair by simp
have ?i < length (1 # ⟨x; u⟩)
using assms length-pair by simp
then have ysymbols u ! ?i = (1 # ⟨x; u⟩) ! ?i
using * nth-append by metis
also have ... = ⟨x; u⟩ ! (2*n+2+2*j)
by simp
also have ... = 2
using string-pair-second-nth(1) assms len by simp
finally show ysymbols u ! ?i = 2 .

```

```

let ?i = 2*n+2+2 * j + 2
have len2: ?i < length (1 # ⟨x; u⟩)
using assms length-pair by simp
then have ysymbols u ! ?i = (1 # ⟨x; u⟩) ! ?i
using * nth-append by metis
also have ... = ⟨x; u⟩ ! (2*n+2+2*j+1)
by simp
also have ... = (if u ! j then 3 else 2)
using string-pair-second-nth(2) assms len2 by simp
finally show ysymbols u ! ?i = (if u ! j then 3 else 2) .
qed

```

```

lemma ysymbols-last:
assumes length u = p n and i > m and i < m + 1 + T'
shows ysymbols u ! i = 0
using assms length-ysymbols m-def m'-def ysymbols-def nth-append[of 1#⟨x; u⟩ replicate T' 0 i] by simp

```

The number of symbols used for unary encoding  $m'$  symbols will be called  $N$ :

```

definition N :: nat where
N ≡ H * m'

```

```

lemma N-eq: N = H * (2 * n + 2 * p n + 3 + T')
using m'-def N-def by simp

```

```

lemma m': m' * H = N
using m'-def N-def by simp

```

```

lemma inputpos-less': inputpos m t < m'
using inputpos-less m-def m'-def
by (metis Suc-1 add-less-mono1 le-neq-implies-less lessI linorder-neqE-nat
not-add-less2 numeral-plus-numeral semiring-norm(3) trans-less-add2)

```

```

lemma T'-less:  $T' < N$ 
proof –
  have  $T' < 2 * n + 2 * p n + 3 + T'$ 
    by simp
  also have  $\dots < H * (2 * n + 2 * p n + 3 + T')$ 
    using H-gr-2 by simp
  also have  $\dots = N$ 
    using N-eq by simp
  finally show ?thesis .
qed

```

The three components of a snapshot:

```

definition z0 :: string  $\Rightarrow$  nat  $\Rightarrow$  symbol where
   $z0\ u\ t \equiv exc\ \langle x; u \rangle\ t\ <.>\ 0$ 

```

```

definition z1 :: string  $\Rightarrow$  nat  $\Rightarrow$  symbol where
   $z1\ u\ t \equiv exc\ \langle x; u \rangle\ t\ <.>\ 1$ 

```

```

definition z2 :: string  $\Rightarrow$  nat  $\Rightarrow$  state where
   $z2\ u\ t \equiv fst\ (exc\ \langle x; u \rangle\ t)$ 

```

```

lemma z0-le:  $z0\ u\ t \leq H$ 
  using z0-def H-ge-G tape-alphabet[OF tm-M, where ?j=0 and ?zs=(x; u)] symbols-lt-pair[of x u] tm-M turing-machine-def
  by (metis (no-types, lifting) dual-order.strict-trans1 less-or-eq-imp-le zero-less-numeral)

```

```

lemma z1-le:  $z1\ u\ t \leq H$ 
  using z1-def H-ge-G tape-alphabet[OF tm-M, where ?j=1 and ?zs=(x; u)] symbols-lt-pair[of x u] tm-M turing-machine-def
  by (metis (no-types, lifting) dual-order.strict-trans1 less-or-eq-imp-le one-less-numeral-iff semiring-norm(76))

```

```

lemma z2-le:  $z2\ u\ t \leq H$ 
proof –
  have  $z2\ u\ t \leq length\ M$ 
    using z2-def turing-machine-execute-states[OF tm-M] start-config-def by simp
  then show ?thesis
    using H-ge-length-M by simp
qed

```

The next lemma corresponds to (Z1) from the second equivalence mentioned in the introduction. It expresses the first element of a snapshot in terms of  $y(u)$  and  $inputpos$ .

```

lemma z0:
  assumes  $length\ u = p\ n$ 
  shows  $z0\ u\ t = ysymbols\ u\ !\ (inputpos\ m\ t)$ 
proof –
  let ?i = inputpos m t
  let ?y =  $\langle x; u \rangle$ 
  have bit-symbols ?y
    by simp
  have len:  $length\ ?y = m$ 
    using assms m-def length-pair by simp

  have  $?i < length\ (ysymbols\ u)$ 
    using inputpos-less' assms length-ysymbols by simp
  then have  $*$ :  $ysymbols\ u\ !\ ?i = (start-config\ 2\ ?y\ <.>\ 0)\ ?i$ 
    using ysymbols-init by simp

  have  $z0\ u\ t = exc\ ?y\ t\ <.>\ 0$ 
    using z0-def by simp
  also have  $\dots = (start-config\ 2\ ?y\ <.>\ 0)\ (exc\ ?y\ t\ <\#\>\ 0)$ 
    using tm-M input-tape-constant start-config-length by simp
  also have  $\dots = (start-config\ 2\ ?y\ <.>\ 0)\ ?i$ 
    using inputpos-oblivious[OF  $\langle bit-symbols\ ?y \rangle$ ] len by simp

```

**also have** ... = *ysymbols* *u* ! ?*i*  
**using** \* **by** *simp*  
**finally show** ?*thesis* .  
**qed**

The next lemma corresponds to (Z2) from the second equivalence mentioned in the introduction. It shows how, in the case  $prev(t) < t$ , the second component of a snapshot can be expressed recursively using snapshots for earlier steps.

**lemma** *z1*:  
**assumes**  $length\ u = p\ n$  **and**  $prev\ m\ t < t$  **and**  $t \leq T'$   
**shows**  $z1\ u\ t = (M\ !\ (z2\ u\ (prev\ m\ t)))\ [z0\ u\ (prev\ m\ t),\ z1\ u\ (prev\ m\ t)]\ [.]$  1  
**proof** –  
**let** ?*y* =  $\langle x; u \rangle$   
**let** ?*cfg* =  $exc\ ?y\ (prev\ m\ t)$   
**have** *bit-symbols* ?*y*  
**by** *simp*  
**moreover have** *len*:  $length\ ?y = m$   
**using** *assms* *m-def* *length-pair* **by** *simp*  
**ultimately have**  $exc\ ?y\ t <.> 1 = (M\ !\ (fst\ ?cfg))\ [?cfg\ <.> 0,\ ?cfg\ <.> 1]\ [.]$  1  
**using** *prev-write-read*[of ?*y* *m* *t* ?*cfg*] *assms*(2,3) *T'-def* **by** *fastforce*  
**then show** ?*thesis*  
**using** *z0-def* *z1-def* *z2-def* **by** *simp*  
**qed**

The next lemma corresponds to (Z3) from the second equivalence mentioned in the introduction. It shows that in the case  $prev(t) = t$ , the second component of a snapshot equals the blank symbol.

**lemma** *z1'*:  
**assumes**  $length\ u = p\ n$  **and**  $prev\ m\ t = t$  **and**  $0 < t$  **and**  $t \leq T'$   
**shows**  $z1\ u\ t = \square$   
**proof** –  
**let** ?*y* =  $\langle x; u \rangle$   
**let** ?*cfg* =  $exc\ ?y\ (prev\ m\ t)$   
**have** *bit-symbols* ?*y*  
**by** *simp*  
**moreover have** *len*:  $length\ ?y = m$   
**using** *assms* *m-def* *length-pair* **by** *simp*  
**ultimately have**  $exc\ ?y\ t <.> 1 = \square$   
**using** *prev-no-write*[of ?*y* *m* *t*] *assms* *T'-def* **by** *fastforce*  
**then show** ?*thesis*  
**using** *z0-def* *z1-def* *z2-def* **by** *simp*  
**qed**

The next lemma corresponds to (Z4) from the second equivalence mentioned in the introduction. It shows how the third component of a snapshot can be expressed recursively using snapshots for earlier steps.

**lemma** *z2*:  
**assumes**  $length\ u = p\ n$  **and**  $t < T'$   
**shows**  $z2\ u\ (Suc\ t) = fst\ ((M\ !\ (z2\ u\ t))\ [z0\ u\ t,\ z1\ u\ t])$   
**proof** –  
**let** ?*y* =  $\langle x; u \rangle$   
**have** *bit-symbols* ?*y*  
**by** *simp*  
**moreover have** *len*:  $length\ ?y = m$   
**using** *assms* *m-def* *length-pair* **by** *simp*  
**ultimately have** *run*:  $fst\ (exc\ ?y\ t) < length\ M$   
**using** *less-TT'* *assms*(2) *T'-def* **by** *simp*  
  
**have**  $||exc\ ?y\ t|| = 2$   
**using** *start-config-length* *execute-num-tapes* *tm-M* **by** *simp*  
**then have** *snd*  $(exc\ ?y\ t) = [exc\ ?y\ t <!\> 0,\ exc\ ?y\ t <!\> 1]$   
**by** *auto* (*smt* (*verit*) *Suc-length-conv* *length-0-conv* *nth-Cons-0* *nth-Cons-Suc* *numeral-2-eq-2*)  
**then have** \*:  $read\ (snd\ (exc\ ?y\ t)) = [exc\ ?y\ t <.> 0,\ exc\ ?y\ t <.> 1]$   
**using** *read-def* **by** (*metis* (*no-types*, *lifting*) *list.simps*(8) *list.simps*(9))

**have**  $z2\ u\ (Suc\ t) = fst\ (exc\ ?y\ (Suc\ t))$   
**using**  $z2\text{-def}$  **by**  $simp$   
**also have**  $\dots = fst\ (exe\ M\ (exc\ ?y\ t))$   
**by**  $simp$   
**also have**  $\dots = fst\ (sem\ (M\ !\ fst\ (exc\ ?y\ t))\ (exc\ ?y\ t))$   
**using**  $exe\text{-lt-length}\ run$  **by**  $simp$   
**also have**  $\dots = fst\ (sem\ (M\ !\ (z2\ u\ t))\ (exc\ ?y\ t))$   
**using**  $z2\text{-def}$  **by**  $simp$   
**also have**  $\dots = fst\ ((M\ !\ (z2\ u\ t))\ (read\ (snd\ (exc\ ?y\ t))))$   
**using**  $sem\text{-fst}$  **by**  $simp$   
**also have**  $\dots = fst\ ((M\ !\ (z2\ u\ t))\ [exc\ ?y\ t\ <.\>\ 0,\ exc\ ?y\ t\ <.\>\ 1])$   
**using**  $*$  **by**  $simp$   
**also have**  $\dots = fst\ ((M\ !\ (z2\ u\ t))\ [z0\ u\ t,\ z1\ u\ t])$   
**using**  $z0\text{-def}\ z1\text{-def}$  **by**  $simp$   
**finally show**  $?thesis$  .  
**qed**

**corollary**  $z2'$ :

**assumes**  $length\ u = p\ n$  **and**  $t > 0$  **and**  $t \leq T'$   
**shows**  $z2\ u\ t = fst\ ((M\ !\ (z2\ u\ (t - 1)))\ [z0\ u\ (t - 1),\ z1\ u\ (t - 1)])$   
**using**  $assms\ z2$  **by**  $(metis\ Suc\text{-diff-1}\ Suc\text{-less-eq}\ le\text{-imp-less-Suc})$

The intervals  $\zeta_0$ ,  $\zeta_1$ , and  $\zeta_2$  are long enough for a unary encoding of the three components of a snapshot:

**definition**  $zeta0 :: nat \Rightarrow nat\ list\ (\langle \zeta_0 \rangle)$  **where**  
 $\zeta_0\ t \equiv [N + t * Z .. < N + t * Z + H]$

**definition**  $zeta1 :: nat \Rightarrow nat\ list\ (\langle \zeta_1 \rangle)$  **where**  
 $\zeta_1\ t \equiv [N + t * Z + H .. < N + t * Z + 2 * H]$

**definition**  $zeta2 :: nat \Rightarrow nat\ list\ (\langle \zeta_2 \rangle)$  **where**  
 $\zeta_2\ t \equiv [N + t * Z + 2 * H .. < N + (Suc\ t) * Z]$

**lemma**  $length\text{-zeta0}$  [ $simp$ ]:  $length\ (\zeta_0\ t) = H$   
**using**  $zeta0\text{-def}$  **by**  $simp$

**lemma**  $length\text{-zeta1}$  [ $simp$ ]:  $length\ (\zeta_1\ t) = H$   
**using**  $zeta1\text{-def}$  **by**  $simp$

**lemma**  $length\text{-zeta2}$  [ $simp$ ]:  $length\ (\zeta_2\ t) = H$   
**using**  $zeta2\text{-def}\ Z\text{-def}$  **by**  $simp$

The substitutions  $\varrho_t$ , which have to be applied to  $\psi$  to get the CNF formulas  $\chi_t$  for the case  $prev(t) < t$ :

**definition**  $\rho :: nat \Rightarrow nat\ list\ (\langle \varrho \rangle)$  **where**  
 $\varrho\ t \equiv$   
 $\zeta_0\ (t - 1)\ @\ \zeta_1\ (t - 1)\ @\ \zeta_2\ (t - 1)\ @$   
 $\zeta_0\ (prev\ m\ t)\ @\ \zeta_1\ (prev\ m\ t)\ @\ \zeta_2\ (prev\ m\ t)\ @$   
 $\zeta_0\ t\ @\ \zeta_1\ t\ @\ \zeta_2\ t\ @$   
 $\gamma\ (inputpos\ m\ t)$

**lemma**  $length\text{-rho}$ :  $length\ (\varrho\ t) = 3 * Z + H$   
**using**  $\rho\text{-def}\ Z\text{-def}$  **by**  $simp$

The substitutions  $\varrho'_t$ , which have to be applied to  $\psi'$  to get the CNF formulas  $\chi_t$  for the case  $prev(t) = t$ :

**definition**  $\rho' :: nat \Rightarrow nat\ list\ (\langle \varrho' \rangle)$  **where**  
 $\varrho'\ t \equiv$   
 $\zeta_0\ (t - 1)\ @\ \zeta_1\ (t - 1)\ @\ \zeta_2\ (t - 1)\ @$   
 $\zeta_0\ t\ @\ \zeta_1\ t\ @\ \zeta_2\ t\ @$   
 $\gamma\ (inputpos\ m\ t)$

**lemma**  $length\text{-rho}'$ :  $length\ (\varrho'\ t) = 2 * Z + H$   
**using**  $\rho'\text{-def}\ Z\text{-def}$  **by**  $simp$

An auxiliary lemma for accessing the  $n$ -th element of a list sandwiched between two lists. It will be applied to  $xs = \varrho_t$  or  $xs = \varrho'_t$ :

**lemma** *nth-append3*:

**fixes**  $xs\ ys\ zs\ ws :: 'a\ list$  **and**  $n\ i :: nat$   
**assumes**  $xs = ys @ zs @ ws$  **and**  $i < length\ zs$  **and**  $n = length\ ys$   
**shows**  $xs ! (n + i) = zs ! i$   
**using** *assms* **by** (*simp add: nth-append*)

The formulas  $\chi_t$  representing snapshots for  $0 < t \leq T'$ :

**definition**  $chi :: nat \Rightarrow formula$  ( $\langle \chi \rangle$ ) **where**

$\chi\ t \equiv$  *if* *prev*  $m\ t < t$  *then* *relabel* ( $\varrho\ t$ )  $\psi$  *else* *relabel* ( $\varrho'\ t$ )  $\psi'$

The crucial feature of the formulas  $\chi_t$  for  $t > 0$  is that they are satisfied by exactly those assignments that represent in their bits  $N$  to  $N + Z \cdot (T' + 1)$  the  $T' + 1$  snapshots of  $M$  on input  $\langle x, u \rangle$  when the relevant portion of the input tape is encoded in the first  $N$  bits of the assignment.

This works because the  $\chi_t$  constrain the assignment to meet the recursive characterizations (Z1) — (Z4) for the snapshots.

The next two lemmas make this more precise. We first consider the case  $prev(t) < t$ . The following lemma says  $\alpha$  satisfies  $\chi_t$  iff.  $\alpha$  satisfies the properties (Z1), (Z2), and (Z4).

**lemma** *satisfies-chi-less*:

**fixes**  $\alpha :: assignment$   
**assumes** *prev*  $m\ t < t$   
**shows**  $\alpha \models \chi\ t \iff$   
 $unary\ \alpha\ (\zeta_0\ t) = unary\ \alpha\ (\gamma\ (inputpos\ m\ t)) \wedge$   
 $unary\ \alpha\ (\zeta_1\ t) = (M ! (unary\ \alpha\ (\zeta_2\ (prev\ m\ t)))) [unary\ \alpha\ (\zeta_0\ (prev\ m\ t)), unary\ \alpha\ (\zeta_1\ (prev\ m\ t))] [.] 1 \wedge$   
 $unary\ \alpha\ (\zeta_2\ t) = fst\ ((M ! (unary\ \alpha\ (\zeta_2\ (t - 1)))) [unary\ \alpha\ (\zeta_0\ (t - 1)), unary\ \alpha\ (\zeta_1\ (t - 1))])$

**proof** –

**let**  $?sigma = \varrho\ t$   
**have**  $\alpha \models \chi\ t = \alpha \models relabel\ ?sigma\ psi$   
**using** *assms* *chi-def* **by** *simp*  
**then have**  $\alpha \models \chi\ t = F\ (remap\ ?sigma\ \alpha)$   
**(is**  $- = F\ ?alpha$   
**by** (*simp add: length-rho satisfies-F*)  
**then have**  $*: \alpha \models \chi\ t =$   
 $(unary\ ?alpha\ w_6 = unary\ ?alpha\ w_9 \wedge$   
 $unary\ ?alpha\ w_7 = (M ! (unary\ ?alpha\ w_5)) [unary\ ?alpha\ w_3, unary\ ?alpha\ w_4] [.] 1 \wedge$   
 $unary\ ?alpha\ w_8 = fst\ ((M ! (unary\ ?alpha\ w_2)) [unary\ ?alpha\ w_0, unary\ ?alpha\ w_1]))$   
**using** *F-def* **by** *simp*

**have** *w-less-len-rho*:

$\forall s \in set\ w_0. s < length\ (\varrho\ t)$   
 $\forall s \in set\ w_1. s < length\ (\varrho\ t)$   
 $\forall s \in set\ w_2. s < length\ (\varrho\ t)$   
 $\forall s \in set\ w_3. s < length\ (\varrho\ t)$   
 $\forall s \in set\ w_4. s < length\ (\varrho\ t)$   
 $\forall s \in set\ w_5. s < length\ (\varrho\ t)$   
 $\forall s \in set\ w_6. s < length\ (\varrho\ t)$   
 $\forall s \in set\ w_7. s < length\ (\varrho\ t)$   
 $\forall s \in set\ w_8. s < length\ (\varrho\ t)$   
 $\forall s \in set\ w_9. s < length\ (\varrho\ t)$   
**using** *length-rho Z-def* **by** *simp-all*

**have**  $**:$   $\alpha \models \chi\ t =$

$(unary\ \alpha\ (reseq\ ?sigma\ w_6) = unary\ \alpha\ (reseq\ ?sigma\ w_9) \wedge$   
 $unary\ \alpha\ (reseq\ ?sigma\ w_7) = (M ! (unary\ \alpha\ (reseq\ ?sigma\ w_5))) [unary\ \alpha\ (reseq\ ?sigma\ w_3), unary\ \alpha\ (reseq\ ?sigma\ w_4)] [.] 1 \wedge$   
 $unary\ \alpha\ (reseq\ ?sigma\ w_8) = fst\ ((M ! (unary\ \alpha\ (reseq\ ?sigma\ w_2))) [unary\ \alpha\ (reseq\ ?sigma\ w_0), unary\ \alpha\ (reseq\ ?sigma\ w_1)]))$   
**using**  $*\ w-less-len-rho\ unary-remap$  [**where**  $?sigma = ?sigma$  **and**  $?alpha = \alpha$ ]  
**by** *presburger*

**have**  $1:$   $reseq\ ?sigma\ w_0 = \zeta_0\ (t - 1)$  (**is**  $?l = ?r$ )

**proof** (*rule nth-equalityI*)  
**show**  $length\ ?l = length\ ?r$   
**using** *zeta0-def* **by** *simp*  
**show**  $?l ! i = ?r ! i$  **if**  $i < length\ ?l$  **for**  $i$   
**proof** –  
**have**  $1: ?sigma = [] @ \zeta_0 (t - 1) @ (\zeta_1 (t - 1) @ \zeta_2 (t - 1) @$   
 $\zeta_0 (prev\ m\ t) @ \zeta_1 (prev\ m\ t) @ \zeta_2 (prev\ m\ t) @ \zeta_0\ t @$   
 $\zeta_1\ t @ \zeta_2\ t @ \gamma (inputpos\ m\ t))$   
**using** *rho-def* **by** *simp*  
**have**  $?sigma ! i = \zeta_0 (t - 1) ! i$   
**using** *nth-append3[OF 1, of i 0]* *Z-def that* **by** *simp*  
**then show** *?thesis*  
**using** *reseq-def that* **by** *simp*  
**qed**  
**qed**

**have**  $2: reseq\ ?sigma\ w_1 = \zeta_1 (t - 1)$  **(is**  $?l = ?r$ **)**  
**proof** (*rule nth-equalityI*)  
**show**  $length\ ?l = length\ ?r$   
**using** *zeta1-def* **by** *simp*  
**show**  $?l ! i = ?r ! i$  **if**  $i < length\ ?l$  **for**  $i$   
**proof** –  
**have**  $1: ?sigma = \zeta_0 (t - 1) @ \zeta_1 (t - 1) @ \zeta_2 (t - 1) @$   
 $\zeta_0 (prev\ m\ t) @ \zeta_1 (prev\ m\ t) @ \zeta_2 (prev\ m\ t) @ \zeta_0\ t @$   
 $\zeta_1\ t @ \zeta_2\ t @ \gamma (inputpos\ m\ t)$   
**using** *rho-def* **by** *simp*  
**have**  $?sigma ! (H+i) = \zeta_1 (t - 1) ! i$   
**using** *that zeta0-def zeta1-def* **by** (*intro nth-append3[OF 1]*) *auto*  
**then show** *?thesis*  
**using** *reseq-def that* **by** *simp*  
**qed**  
**qed**

**have**  $3: reseq\ ?sigma\ w_2 = \zeta_2 (t - 1)$  **(is**  $?l = ?r$ **)**  
**proof** (*rule nth-equalityI*)  
**show** *len: length ?l = length ?r*  
**using** *zeta2-def* **by** *simp*  
**show**  $?l ! i = ?r ! i$  **if**  $i < length\ ?l$  **for**  $i$   
**proof** –  
**have**  $1: ?sigma = (\zeta_0 (t - 1) @ \zeta_1 (t - 1)) @ \zeta_2 (t - 1) @$   
 $\zeta_0 (prev\ m\ t) @ \zeta_1 (prev\ m\ t) @ \zeta_2 (prev\ m\ t) @ \zeta_0\ t @$   
 $\zeta_1\ t @ \zeta_2\ t @ \gamma (inputpos\ m\ t)$   
**using** *rho-def* **by** *simp*  
**have**  $?sigma ! (2*H+i) = \zeta_2 (t - 1) ! i$   
**using** *len that zeta0-def zeta1-def* **by** (*intro nth-append3[OF 1]*) *auto*  
**then show** *?thesis*  
**using** *reseq-def that* **by** *simp*  
**qed**  
**qed**

**have**  $4: reseq\ ?sigma\ w_3 = \zeta_0 (prev\ m\ t)$  **(is**  $?l = ?r$ **)**  
**proof** (*rule nth-equalityI*)  
**show**  $length\ ?l = length\ ?r$   
**using** *zeta0-def* **by** *simp*  
**show**  $?l ! i = ?r ! i$  **if**  $i < length\ ?l$  **for**  $i$   
**proof** –  
**have**  $1: ?sigma = (\zeta_0 (t - 1) @ \zeta_1 (t - 1) @ \zeta_2 (t - 1)) @$   
 $\zeta_0 (prev\ m\ t) @ \zeta_1 (prev\ m\ t) @ \zeta_2 (prev\ m\ t) @ \zeta_0\ t @$   
 $\zeta_1\ t @ \zeta_2\ t @ \gamma (inputpos\ m\ t)$   
**using** *rho-def* **by** *simp*  
**have**  $?sigma ! (Z+i) = \zeta_0 (prev\ m\ t) ! i$   
**using** *that Z-def* **by** (*intro nth-append3[OF 1]*) *auto*  
**then show** *?thesis*

using *reseq-def* that by *simp*  
qed  
qed

have 5: *reseq ?sigma*  $w_4 = \zeta_1$  (*prev m t*) (is  $?l = ?r$ )

proof (rule *nth-equalityI*)

show *length ?l = length ?r*

using *zeta1-def* by *simp*

show  $?l ! i = ?r ! i$  if  $i < \text{length } ?l$  for  $i$

proof -

have 1:  $?sigma = (\zeta_0 (t - 1) @ \zeta_1 (t - 1) @ \zeta_2 (t - 1) @ \zeta_0$   
 $(\text{prev } m \ t)) @ \zeta_1 (\text{prev } m \ t) @ \zeta_2 (\text{prev } m \ t) @ \zeta_0 \ t @$   
 $\zeta_1 \ t @ \zeta_2 \ t @ \gamma (\text{inputpos } m \ t)$

using *rho-def* by *simp*

have  $?sigma ! (Z+H+i) = \zeta_1$  (*prev m t*) !  $i$

using that *Z-def* by (intro *nth-append3[OF 1]*) *auto*

then show *?thesis*

using *reseq-def* that by *simp*

qed

qed

have 6: *reseq ?sigma*  $w_5 = \zeta_2$  (*prev m t*) (is  $?l = ?r$ )

proof (rule *nth-equalityI*)

show *length ?l = length ?r*

using *zeta2-def* by *simp*

show  $?l ! i = ?r ! i$  if  $i < \text{length } ?l$  for  $i$

proof -

have 1:  $?sigma = (\zeta_0 (t - 1) @ \zeta_1 (t - 1) @ \zeta_2 (t - 1) @ \zeta_0$   
 $(\text{prev } m \ t) @ \zeta_1 (\text{prev } m \ t)) @ \zeta_2 (\text{prev } m \ t) @ \zeta_0 \ t @$   
 $\zeta_1 \ t @ \zeta_2 \ t @ \gamma (\text{inputpos } m \ t)$

using *rho-def* by *simp*

have  $?sigma ! (Z+2*H+i) = \zeta_2$  (*prev m t*) !  $i$

using that *zeta0-def zeta1-def zeta2-def* by (intro *nth-append3[OF 1]*) *auto*

then show *?thesis*

using *reseq-def* that by *simp*

qed

qed

have 7: *reseq ?sigma*  $w_6 = \zeta_0 \ t$  (is  $?l = ?r$ )

proof (rule *nth-equalityI*)

show *length ?l = length ?r*

using *zeta0-def* by *simp*

show  $?l ! i = ?r ! i$  if  $i < \text{length } ?l$  for  $i$

proof -

have 1:  $?sigma = (\zeta_0 (t - 1) @ \zeta_1 (t - 1) @ \zeta_2 (t - 1) @ \zeta_0$   
 $(\text{prev } m \ t) @ \zeta_1 (\text{prev } m \ t) @ \zeta_2 (\text{prev } m \ t)) @$   
 $\zeta_0 \ t @$

$\zeta_1 \ t @ \zeta_2 \ t @ \gamma (\text{inputpos } m \ t)$

using *rho-def* by *simp*

have  $?sigma ! (2*Z+i) = \zeta_0 \ t ! i$

using that *Z-def* by (intro *nth-append3[OF 1]*) *auto*

then show *?thesis*

using *reseq-def* that by *simp*

qed

qed

have 8: *reseq ?sigma*  $w_7 = \zeta_1 \ t$  (is  $?l = ?r$ )

proof (rule *nth-equalityI*)

show *length ?l = length ?r*

using *zeta1-def* by *simp*

show  $?l ! i = ?r ! i$  if  $i < \text{length } ?l$  for  $i$

proof -

have 1:  $?sigma = (\zeta_0 (t - 1) @ \zeta_1 (t - 1) @ \zeta_2 (t - 1) @$

```

      ζ0 (prev m t) @ ζ1 (prev m t) @ ζ2 (prev m t) @ ζ0 t @
      ζ1 t @
      ζ2 t @ γ (inputpos m t)
    using rho-def by simp
    have ?sigma ! (2*Z+H+i) = ζ1 t ! i
      using that Z-def by (intro nth-append3[OF 1]) auto
    then show ?thesis
      using reseq-def that by simp
  qed
qed

```

```

have 9: reseq ?sigma w8 = ζ2 t (is ?l = ?r)
proof (rule nth-equalityI)
  show length ?l = length ?r
    using zeta2-def by simp
  show ?l ! i = ?r ! i if i < length ?l for i
  proof -
    have 1: ?sigma = (ζ0 (t - 1) @ ζ1 (t - 1) @ ζ2 (t - 1) @
      ζ0 (prev m t) @ ζ1 (prev m t) @ ζ2 (prev m t) @ ζ0 t @ ζ1 t) @
      ζ2 t @
      γ (inputpos m t)
    using rho-def by simp
    have ?sigma ! (2*Z+2*H+i) = ζ2 t ! i
      using that zeta2-def by (intro nth-append3[OF 1]) auto
    then show ?thesis
      using reseq-def that by simp
  qed
qed

```

```

have 10: reseq ?sigma w9 = γ (inputpos m t) (is ?l = ?r)
proof (rule nth-equalityI)
  show length ?l = length ?r
    using gamma-def by simp
  show ?l ! i = ?r ! i if i < length ?l for i
  proof -
    have 1: ?sigma = (ζ0 (t - 1) @ ζ1 (t - 1) @ ζ2 (t - 1) @
      ζ0 (prev m t) @ ζ1 (prev m t) @ ζ2 (prev m t) @
      ζ0 t @
      ζ1 t @ ζ2 t) @ γ (inputpos m t) @ []
    using rho-def by simp
    have ?sigma ! (3*Z+i) = γ (inputpos m t) ! i
      using that Z-def by (intro nth-append3[OF 1]) auto
    then show ?thesis
      using reseq-def that by simp
  qed
qed

```

```

show ?thesis
  using ** 1 2 3 4 5 6 7 8 9 10 by simp
qed

```

Next we consider the case  $prev(t) = t$ . The following lemma says  $\alpha$  satisfies  $\chi_t$  iff.  $\alpha$  satisfies the properties (Z1), (Z2), and (Z3).

```

lemma satisfies-chi-eq:
  assumes prev m t = t and t ≤ T'
  shows α ⊨ χ t ↔
    unary α (ζ0 t) = unary α (γ (inputpos m t)) ∧
    unary α (ζ1 t) = 0 ∧
    unary α (ζ2 t) = fst ((M ! (unary α (ζ2 (t - 1)))) [unary α (ζ0 (t - 1)), unary α (ζ1 (t - 1))])
proof -
  let ?sigma = ρ' t
  have α ⊨ χ t = α ⊨ relabel ?sigma ψ'
    using assms(1) chi-def by simp

```



**then have**  $\alpha \models \chi \ t = F' \ (\text{remap } ?\text{sigma } \alpha)$   
 (**is**  $- = F' \ ?\text{alpha}$ )

**by** (*simp add: length-rho' satisfies-F'*)

**then have**  $*: \alpha \models \chi \ t =$

(*unary ?alpha w<sub>3</sub> = unary ?alpha w<sub>6</sub>  $\wedge$*

*unary ?alpha w<sub>4</sub> = 0  $\wedge$*

*unary ?alpha w<sub>5</sub> = fst ((M ! (unary ?alpha w<sub>2</sub>)) [unary ?alpha w<sub>0</sub>, unary ?alpha w<sub>1</sub>]))*)

**using** *F'-def* **by** *simp*

**have** *w-less-len-rho'*:

$\forall s \in \text{set } w_0. s < \text{length } (\varrho' \ t)$

$\forall s \in \text{set } w_1. s < \text{length } (\varrho' \ t)$

$\forall s \in \text{set } w_2. s < \text{length } (\varrho' \ t)$

$\forall s \in \text{set } w_3. s < \text{length } (\varrho' \ t)$

$\forall s \in \text{set } w_4. s < \text{length } (\varrho' \ t)$

$\forall s \in \text{set } w_5. s < \text{length } (\varrho' \ t)$

$\forall s \in \text{set } w_6. s < \text{length } (\varrho' \ t)$

**using** *length-rho' Z-def* **by** *simp-all*

**have**  $** : \alpha \models \chi \ t =$

(*unary  $\alpha$  (reseq ?sigma w<sub>3</sub>) = unary  $\alpha$  (reseq ?sigma w<sub>6</sub>)  $\wedge$*

*unary  $\alpha$  (reseq ?sigma w<sub>4</sub>) = 0  $\wedge$*

*unary  $\alpha$  (reseq ?sigma w<sub>5</sub>) = fst ((M ! (unary  $\alpha$  (reseq ?sigma w<sub>2</sub>))) [unary  $\alpha$  (reseq ?sigma w<sub>0</sub>), unary  $\alpha$  (reseq ?sigma w<sub>1</sub>)]))*)

**using**  $*$  *w-less-len-rho' unary-remap*[**where**  $?\sigma = ?\text{sigma}$  **and**  $?\alpha = \alpha$ ]

**by** *presburger*

**have** *1: reseq ?sigma w<sub>0</sub> =  $\zeta_0$  (t - 1) (is ?l = ?r)*

**proof** (*rule nth-equalityI*)

**show** *length ?l = length ?r*

**using** *zeta0-def* **by** *simp*

**show**  $?\text{l} ! i = ?\text{r} ! i$  **if**  $i < \text{length } ?\text{l}$  **for**  $i$

**proof** -

**have** *1: ?sigma = [] @  $\zeta_0$  (t - 1) @ ( $\zeta_1$  (t - 1) @  $\zeta_2$  (t - 1) @*

*$\zeta_0$  t @  $\zeta_1$  t @  $\zeta_2$  t @  $\gamma$  (inputpos m t))*

**using** *rho'-def* **by** *simp*

**have**  $?\text{sigma} ! i = \zeta_0$  (t - 1) !  $i$

**using** *nth-append3[OF 1, of i 0]* *Z-def* **that** **by** *simp*

**then show** *?thesis*

**using** *reseq-def* **that** **by** *simp*

**qed**

**qed**

**have** *2: reseq ?sigma w<sub>1</sub> =  $\zeta_1$  (t - 1) (is ?l = ?r)*

**proof** (*rule nth-equalityI*)

**show** *length ?l = length ?r*

**using** *zeta1-def* **by** *simp*

**show**  $?\text{l} ! i = ?\text{r} ! i$  **if**  $i < \text{length } ?\text{l}$  **for**  $i$

**proof** -

**have** *1: ?sigma =  $\zeta_0$  (t - 1) @  $\zeta_1$  (t - 1) @  $\zeta_2$  (t - 1) @*

*$\zeta_0$  t @  $\zeta_1$  t @  $\zeta_2$  t @  $\gamma$  (inputpos m t)*

**using** *rho'-def* **by** *simp*

**have**  $?\text{sigma} ! (H+i) = \zeta_1$  (t - 1) !  $i$

**using** *that zeta0-def zeta1-def* **by** (*intro nth-append3[OF 1]*) *auto*

**then show** *?thesis*

**using** *reseq-def* **that** **by** *simp*

**qed**

**qed**

**have** *3: reseq ?sigma w<sub>2</sub> =  $\zeta_2$  (t - 1) (is ?l = ?r)*

**proof** (*rule nth-equalityI*)

**show** *len: length ?l = length ?r*

**using** *zeta2-def* **by** *simp*

```

show ?l ! i = ?r ! i if i < length ?l for i
proof -
  have 1: ?sigma = (ζ0 (t - 1) @ ζ1 (t - 1)) @ ζ2 (t - 1) @
    ζ0 t @ ζ1 t @ ζ2 t @ γ (inputpos m t)
  using rho'-def by simp
  have ?sigma ! (2*H+i) = ζ2 (t - 1) ! i
  using len that zeta0-def zeta1-def by (intro nth-append3[OF 1]) auto
  then show ?thesis
  using reseq-def that by simp
qed
qed

have 4: reseq ?sigma w3 = ζ0 t (is ?l = ?r)
proof (rule nth-equalityI)
  show length ?l = length ?r
  using zeta0-def by simp
  show ?l ! i = ?r ! i if i < length ?l for i
  proof -
    have 1: ?sigma = (ζ0 (t - 1) @ ζ1 (t - 1) @ ζ2 (t - 1)) @
      ζ0 t @ ζ1 t @ ζ2 t @ γ (inputpos m t)
    using rho'-def by simp
    have ?sigma ! (Z+i) = ζ0 t ! i
    using that Z-def by (intro nth-append3[OF 1]) auto
    then show ?thesis
    using reseq-def that by simp
  qed
qed

have 5: reseq ?sigma w4 = ζ1 t (is ?l = ?r)
proof (rule nth-equalityI)
  show length ?l = length ?r
  using zeta1-def by simp
  show ?l ! i = ?r ! i if i < length ?l for i
  proof -
    have 1: ?sigma = (ζ0 (t - 1) @ ζ1 (t - 1) @ ζ2 (t - 1) @
      ζ0 t) @ ζ1 t @ ζ2 t @ γ (inputpos m t)
    using rho'-def by simp
    have ?sigma ! (Z+H+i) = ζ1 t ! i
    using that Z-def by (intro nth-append3[OF 1]) auto
    then show ?thesis
    using reseq-def that by simp
  qed
qed

have 6: reseq ?sigma w5 = ζ2 t (is ?l = ?r)
proof (rule nth-equalityI)
  show length ?l = length ?r
  using zeta2-def by simp
  show ?l ! i = ?r ! i if i < length ?l for i
  proof -
    have 1: ?sigma = (ζ0 (t - 1) @ ζ1 (t - 1) @ ζ2 (t - 1) @
      ζ0 t @ ζ1 t) @ ζ2 t @ γ (inputpos m t)
    using rho'-def by simp
    have ?sigma ! (Z+2*H+i) = ζ2 t ! i
    using that zeta0-def zeta1-def zeta2-def by (intro nth-append3[OF 1]) auto
    then show ?thesis
    using reseq-def that by simp
  qed
qed

have 7: reseq ?sigma w6 = (γ (inputpos m t)) (is ?l = ?r)
proof (rule nth-equalityI)
  show length ?l = length ?r

```

```

    using gamma-def by simp
  show ?l ! i = ?r ! i if i < length ?l for i
  proof -
    have 1: ?sigma = (ζ0 (t - 1) @ ζ1 (t - 1) @ ζ2 (t - 1) @
      ζ0 t @ ζ1 t @ ζ2 t) @ γ (inputpos m t) @ []
    using rho'-def by simp
    have ?sigma ! (2*Z+i) = γ (inputpos m t) ! i
    using that Z-def gamma-def by (intro nth-append3[OF 1]) auto
    then show ?thesis
      using reseq-def that by simp
  qed
qed

show ?thesis
  using ** 1 2 3 4 5 6 7 by simp
qed

```

## 6.5 The CNF formula $\Phi$

We can now formulate all the parts  $\Phi_0, \dots, \Phi_9$  of the complete formula  $\Phi$ , and thus  $\Phi$  itself. Representing the snapshot in step 0:

**definition** *PHI0* :: formula  $\langle \Phi_0 \rangle$  **where**  
 $\Phi_0 \equiv \Psi (\zeta_0 \ 0) \ 1 \ @ \ \Psi (\zeta_1 \ 0) \ 1 \ @ \ \Psi (\zeta_2 \ 0) \ 0$

The start symbol at the beginning of the input tape:

**definition** *PHI1* :: formula  $\langle \Phi_1 \rangle$  **where**  
 $\Phi_1 \equiv \Psi (\gamma \ 0) \ 1$

The separator **11** between  $x$  and  $u$ :

**definition** *PHI2* :: formula  $\langle \Phi_2 \rangle$  **where**  
 $\Phi_2 \equiv \Psi (\gamma \ (2*n+1)) \ 3 \ @ \ \Psi (\gamma \ (2*n+2)) \ 3$

The zeros before the symbols of  $x$ :

**definition** *PHI3* :: formula  $\langle \Phi_3 \rangle$  **where**  
 $\Phi_3 \equiv \text{concat} (\text{map} (\lambda i. \Psi (\gamma \ (2*i+1)) \ 2) [0..<n])$

The zeros before the symbols of  $u$ :

**definition** *PHI4* :: formula  $\langle \Phi_4 \rangle$  **where**  
 $\Phi_4 \equiv \text{concat} (\text{map} (\lambda i. \Psi (\gamma \ (2*n+2+2*i+1)) \ 2) [0..<p \ n])$

The blank symbols after the input  $\langle x, u \rangle$ :

**definition** *PHI5* :: formula  $\langle \Phi_5 \rangle$  **where**  
 $\Phi_5 \equiv \text{concat} (\text{map} (\lambda i. \Psi (\gamma \ (2*n + 2*p \ n + 3 + i)) \ 0) [0..<T'])$

The symbols of  $x$ :

**definition** *PHI6* :: formula  $\langle \Phi_6 \rangle$  **where**  
 $\Phi_6 \equiv \text{concat} (\text{map} (\lambda i. \Psi (\gamma \ (2*i+2)) \ (\text{if } x \ ! \ i \ \text{then } 3 \ \text{else } 2)) [0..<n])$

Constraining the symbols of  $u$  to be from  $\{\mathbf{0}, \mathbf{1}\}$ :

**definition** *PHI7* :: formula  $\langle \Phi_7 \rangle$  **where**  
 $\Phi_7 \equiv \text{concat} (\text{map} (\lambda i. \Upsilon (\gamma \ (2*n+4+2*i))) [0..<p \ n])$

Reading a **1** in the final step to signal acceptance of  $\langle x, u \rangle$ :

**definition** *PHI8* :: formula  $\langle \Phi_8 \rangle$  **where**  
 $\Phi_8 \equiv \Psi (\zeta_1 \ T') \ 3$

The snapshots after the first and before the last:

**definition** *PHI9* :: formula  $\langle \Phi_9 \rangle$  **where**  
 $\Phi_9 \equiv \text{concat} (\text{map} (\lambda t. \chi (\text{Suc } t)) [0..<T'])$

The complete formula:

**definition** *PHI* :: formula ( $\langle \Phi \rangle$ ) **where**

$$\Phi \equiv \Phi_0 @ \Phi_1 @ \Phi_2 @ \Phi_3 @ \Phi_4 @ \Phi_5 @ \Phi_6 @ \Phi_7 @ \Phi_8 @ \Phi_9$$

## 6.6 Correctness of the formula

We have to show that the formula  $\Phi$  is satisfiable if and only if  $x \in L$ . There is a subsection for both of the implications. Instead of  $x \in L$  we will use the right-hand side of the following equivalence.

**lemma** *L-iff-ex-u*:  $x \in L \iff (\exists u. \text{length } u = p \ n \wedge \text{exc } \langle x; u \rangle \ T' \langle . \rangle \ 1 = \mathbf{1})$

**proof** –

**have**  $x \in L \iff (\exists u. \text{length } u = p \ (\text{length } x) \wedge \text{exc } \langle x; u \rangle \ (T \ (\text{length } \langle x; u \rangle)) \langle . \rangle \ 1 = \mathbf{1})$

**using** *cert by simp*

**also have**  $\dots \iff (\exists u. \text{length } u = p \ (\text{length } x) \wedge \text{exc } \langle x; u \rangle \ (TT \ (\text{length } \langle x; u \rangle)) \langle . \rangle \ 1 = \mathbf{1})$

**proof** –

**have** *bit-symbols*  $\langle x; u \rangle$  **for**  $u$

**by** *simp*

**then have**  $\text{exc } \langle x; u \rangle \ (TT \ (\text{length } \langle x; u \rangle)) = \text{exc } \langle x; u \rangle \ (T \ (\text{length } \langle x; u \rangle))$  **for**  $u$

**using** *exc-TT-eq-exc-T by blast*

**then show** *?thesis*

**by** *simp*

**qed**

**also have**  $\dots \iff (\exists u. \text{length } u = p \ n \wedge \text{exc } \langle x; u \rangle \ T' \langle . \rangle \ 1 = \mathbf{1})$

**using** *T'-def length-pair m-def by auto*

**finally show** *?thesis* .

**qed**

### 6.6.1 $\Phi$ satisfiable implies $x \in L$

The proof starts from an assignment  $\alpha$  satisfying  $\Phi$  and shows that there is a string  $u$  of length  $p(n)$  such that  $M$ , on input  $\langle x, u \rangle$ , halts with the output tape head on the symbol  $\mathbf{1}$ . The overarching idea is that  $\alpha$ , by satisfying  $\Phi$ , encodes a string  $u$  and a computation of  $M$  on  $u$  that results in  $M$  halting with the output tape head on the symbol  $\mathbf{1}$ .

The assignment  $\alpha$  is an infinite bit string, whose first  $N = m' \cdot H$  bits are supposed to encode the first  $m'$  symbols on  $M$ 's input tape, which contains the pair  $\langle x, u \rangle$ . The first step of the proof is thus to extract a  $u$  of length  $p(n)$  from the first  $N$  bits of  $\alpha$ . The Formula  $\Phi_7$  ensures that the symbols representing  $u$  are  $\mathbf{0}$  or  $\mathbf{1}$  and thus represent a bit string.

Next the proof shows that the first  $N$  bits of  $\alpha$  encode the relevant portion  $y(u)$  of the input tape for the  $u$  just extracted, that is,  $y(u)_i = \alpha(\gamma_i)$  for  $i < m'$ . The proof exploits the constraints set by  $\Phi_1$  to  $\Phi_6$ . In particular this implies that  $y(u)_{\text{inputpos}(t)} = \alpha(\gamma_{\text{inputpos}(t)})$  for all  $t$ .

The next  $Z \cdot (T' + 1)$  bits of  $\alpha$  encode  $T' + 1$  snapshots. More precisely, we prove  $z_0(u, t) = \alpha(\zeta_0^t)$  and  $z_1(u, t) = \alpha(\zeta_1^t)$  and  $z_2(u, t) = \alpha(\zeta_2^t)$  for all  $t \leq T'$ . This works by induction on  $t$ . The case  $t = 0$  is covered by the formula  $\Phi_0$ . For  $0 < t \leq T'$  the formulas  $\chi_t$  are responsible, which make up  $\Phi_9$ . Basically  $\chi_t$  represents the recursive characterization of the snapshot  $z_t$  in terms of earlier snapshots (of  $t - 1$  and possibly  $\text{prev}(t)$ ). This is the trickiest part and we need some preliminary lemmas for that.

Once that is done, we know that some bits of  $\alpha$ , namely  $\alpha(\zeta_1(T'))$ , encode the symbol under the output tape head after  $T'$  steps, that is, when  $M$  has halted. Formula  $\Phi_8$  ensures that this symbol is  $\mathbf{1}$ , which concludes the proof.

**lemma** *sat-PHI-imp-ex-u*:

**assumes** *satisfiable*  $\Phi$

**shows**  $\exists u. \text{length } u = p \ n \wedge \text{exc } \langle x; u \rangle \ T' \langle . \rangle \ 1 = \mathbf{1}$

**proof** –

**obtain**  $\alpha$  **where**  $\alpha: \alpha \models \Phi$

**using** *assms satisfiable-def by auto*

**define**  $us$  **where**  $us = \text{map } (\lambda i. \text{unary } \alpha \ (\gamma \ (2 * n + 4 + 2 * i))) \ [0..<p \ n]$

**have** *len-us*:  $\text{length } us = p \ n$

```

using us-def by simp

have us23: us ! i = 2 ∨ us ! i = 3 if i < p n for i
proof -
  have α ⊨ Φ7
  using PHI-def satisfies-def α by simp
  then have α ⊨ Υ (γ (2*n+4+2*i))
  using that PHI7-def satisfies-concat-map by auto
  then have unary α (γ (2*n+4+2*i)) = 2 ∨ unary α (γ (2*n+4+2*i)) = 3
  using Upsilon-unary length-gamma H-gr-2 by simp
  then show ?thesis
  using us-def that by simp
qed

define u :: string where u = symbols-to-string us

have len-u: length u = p n
  using u-def len-us by simp

have ysymbols u ! i = unary α (γ i) if i < m' for i
proof -
  consider
    i = 0
  | 1 ≤ i ∧ i < 2 * n + 1
  | 2 * n + 1 ≤ i ∧ i < 2 * n + 3
  | 2 * n + 3 ≤ i ∧ i < m + 1
  | i ≥ m + 1 ∧ i < m + 1 + T'
  using ⟨i < m'⟩ m'-def m-def by linarith
  then show ?thesis
proof (cases)
  case 1
  then have α ⊨ Ψ (γ i) 1
  using PHI-def PHI1-def α satisfies-append by metis
  then have unary α (γ i) = 1
  using Psi-unary H-gr-2 gamma-def by simp
  moreover have ysymbols u ! i = 1
  using 1 by (simp add: ysymbols-def)
  ultimately show ?thesis
  by simp
next
  case 2
  define j where j = (i - 1) div 2
  then have j < n
  using 2 by auto
  have i = 2 * j + 1 ∨ i = 2 * j + 2
  using 2 j-def by auto
  then show ?thesis
proof
  assume i: i = 2 * j + 1
  have α ⊨ Φ3
  using PHI-def satisfies-def α by simp
  then have α ⊨ Ψ (γ (2*j+1)) 2
  using PHI3-def satisfies-concat-map[OF - ⟨j < n⟩] by auto
  then have unary α (γ (2*j+1)) = 2
  using Psi-unary H-gr-2 gamma-def by simp
  moreover have ysymbols u ! (2*j+1) = 2
  using ysymbols-first-at[OF ⟨j < n⟩] by simp
  ultimately show ?thesis
  using i by simp
next
  assume i: i = 2 * j + 2
  have α ⊨ Φ6
  using PHI-def satisfies-def α by simp

```

```

then have  $\alpha \models \Psi (\gamma (2*j+2))$  (if  $x ! j$  then 3 else 2)
  using PHI6-def satisfies-concat-map[OF -  $\langle j < n \rangle$ ] by fastforce
then have unary  $\alpha (\gamma (2*j+2)) =$  (if  $x ! j$  then 3 else 2)
  using Psi-unary H-gr-2 gamma-def by simp
moreover have  $\text{ysymbols } u ! (2*j+2) =$  (if  $x ! j$  then 3 else 2)
  using  $\text{ysymbols-first-at}$ [OF  $\langle j < n \rangle$ ] by simp
ultimately show ?thesis
  using  $i$  by simp
qed
next
case 3
then have  $i = 2*n + 1 \vee i = 2*n + 2$ 
  by auto
then show ?thesis
proof
  assume  $i: i = 2 * n + 1$ 
  then have  $\alpha \models \Psi (\gamma i)$  3
    using PHI-def PHI2-def  $\alpha$  satisfies-append by metis
  then have unary  $\alpha (\gamma i) = 3$ 
    using Psi-unary H-gr-2 gamma-def by simp
  moreover have  $\text{ysymbols } u ! i = 3$ 
    using  $i$   $\text{ysymbols-at-2n1}$  by simp
  ultimately show ?thesis
    by simp
next
  assume  $i: i = 2 * n + 2$ 
  then have  $\alpha \models \Psi (\gamma i)$  3
    using PHI-def PHI2-def  $\alpha$  satisfies-append by metis
  then have unary  $\alpha (\gamma i) = 3$ 
    using Psi-unary H-gr-2 gamma-def by simp
  moreover have  $\text{ysymbols } u ! i = 3$ 
    using  $i$   $\text{ysymbols-at-2n2}$  by simp
  ultimately show ?thesis
    by simp
qed
next
case 4
moreover define  $j$  where  $j = (i - 2*n-3) \text{ div } 2$ 
ultimately have  $j: j < p \ n$ 
  using  $m$ -def by auto
have  $i = 2*n+2+2 * j + 1 \vee i = 2*n+2+2 * j + 2$ 
  using 4  $j$ -def by auto
then show ?thesis
proof
  assume  $i: i = 2 * n + 2 + 2 * j + 1$ 
  have  $\alpha \models \Phi_4$ 
    using PHI-def satisfies-def  $\alpha$  by simp
  then have  $\alpha \models \Psi (\gamma (2*n+2+2*j+1))$  2
    using PHI4-def satisfies-concat-map[OF -  $\langle j < p \ n \rangle$ ] by auto
  then have unary  $\alpha (\gamma (2*n+2+2*j+1)) = 2$ 
    using Psi-unary H-gr-2 gamma-def by simp
  moreover have  $\text{ysymbols } u ! (2*n+2+2*j+1) = 2$ 
    using  $\langle j < p \ n \rangle$   $\text{ysymbols-second-at}(1)$  len- $u$  by presburger
  ultimately show ?thesis
    using  $i$  by simp
next
  assume  $i: i = 2 * n + 2 + 2 * j + 2$ 
  have  $us ! j =$  unary  $\alpha (\gamma (2*n+4+2*j))$ 
    using  $us$ -def  $\langle j < p \ n \rangle$  by simp
  then have  $us ! j =$  unary  $\alpha (\gamma (2*n+2+2*j+2))$ 
    by (simp add: numeral-Bit0)
  then have unary  $\alpha (\gamma (2*n+2+2*j+2)) =$  (if  $u ! j$  then 3 else 2)
    using  $u$ -def  $us23$   $\langle j < p \ \text{local.}n \rangle$  len- $us$  by fastforce

```

```

then have *: unary  $\alpha$  ( $\gamma$   $i$ ) = (if  $u$  !  $j$  then 3 else 2)
  using  $i$  by simp
have ysymbols  $u$  ! ( $2*n+2+2*j+2$ ) = (if  $u$  !  $j$  then 3 else 2)
  using ysymbols-second-at(2)  $\langle j < p \ n \rangle$  len- $u$  by simp
then have ysymbols  $u$  !  $i$  = (if  $u$  !  $j$  then 3 else 2)
  using  $i$  by simp
then show ?thesis
  using *  $i$  by simp
qed
next
case 5
then have  $\alpha \models \Phi_5$ 
  using PHI-def satisfies-def  $\alpha$  by simp
then have  $\alpha \models \Psi$  ( $\gamma$  ( $2*n+2*p$   $n + 3 + i'$ )) 0 if  $i' < T'$  for  $i'$ 
  unfolding PHI5-def using  $\alpha$  satisfies-concat-map[OF - that, of  $\alpha$ ] that by auto
moreover obtain  $i'$  where  $i'$ :  $i' < T'$   $i = m + 1 + i'$ 
  using 5 by (metis add-less-cancel-left le-Suc-ex)
ultimately have  $\alpha \models \Psi$  ( $\gamma$   $i$ ) 0
  using  $m$ -def numeral-3-eq-3 by simp
then have unary  $\alpha$  ( $\gamma$   $i$ ) = 0
  using Psi-unary H-gr-2 gamma-def by simp
moreover have ysymbols  $u$  !  $i = 0$ 
  using 5 ysymbols-last len- $u$  by simp
ultimately show ?thesis
  by simp
qed
qed
then have ysymbols: ysymbols  $u$  ! (inputpos  $m$   $t$ ) = unary  $\alpha$  ( $\gamma$  (inputpos  $m$   $t$ )) for  $t$ 
  using inputpos-less' len- $u$  by simp

have  $z0$   $u$   $t = unary$   $\alpha$  ( $\zeta_0$   $t$ )  $\wedge$   $z1$   $u$   $t = unary$   $\alpha$  ( $\zeta_1$   $t$ )  $\wedge$   $z2$   $u$   $t = unary$   $\alpha$  ( $\zeta_2$   $t$ )
  if  $t \leq T'$  for  $t$ 
  using that
proof (induction  $t$  rule: nat-less-induct)
case (1  $t$ )
show ?case
proof (cases  $t = 0$ )
case True
have  $\alpha \models \Phi_0$ 
  using  $\alpha$  PHI-def satisfies-def by simp
then have
1:  $\alpha \models \Psi$  ( $\zeta_0$  0) 1 and
2:  $\alpha \models \Psi$  ( $\zeta_1$  0) 1 and
3:  $\alpha \models \Psi$  ( $\zeta_2$  0) 0
  using PHI0-def by (metis satisfies-append(1), metis satisfies-append, metis satisfies-append(2))
have unary  $\alpha$  ( $\zeta_0$  0) = 1
  using Psi-unary[OF - 1] H-gr-2 by simp
moreover have unary  $\alpha$  ( $\zeta_1$  0) = 1
  using Psi-unary[OF - 2] H-gr-2 by simp
moreover have unary  $\alpha$  ( $\zeta_2$  0) = 0
  using Psi-unary[OF - 3] by simp
moreover have  $z0$   $u$  0 =  $\triangleright$ 
  using  $z0$ -def start-config2 by (simp add: start-config-pos)
moreover have  $z1$   $u$  0 =  $\triangleright$ 
  using  $z1$ -def by (simp add: start-config2 start-config-pos)
moreover have  $z2$   $u$  0 =  $\square$ 
  using  $z2$ -def by (simp add: start-config-def)
ultimately show ?thesis
  using True by simp
next
case False
then have  $t > 0$ 
  by simp

```

```

let ?t = t - 1
have sat-chi:  $\alpha \models \chi \ t$ 
proof -
  have  $\alpha \models \Phi_9$ 
    using  $\alpha$  PHI-def satisfies-def by simp
  moreover have  $?t < T'$ 
    using 1  $\langle t > 0 \rangle$  by simp
  ultimately have  $\alpha \models \chi \ (Suc \ ?t)$ 
    using satisfies-concat-map PHI9-def by auto
  then show ?thesis
    using  $\langle t > 0 \rangle$  by simp
qed
show ?thesis
proof (cases prev m t < t)
  case True
  then show ?thesis
    using satisfies-chi-less z0 z1 z2' 1 len-u ysymbols sat-chi True  $\langle t \leq T' \rangle$  len-u by simp
next
  case False
  then have eq: prev m t = t
    using prev-eq prev-less by blast
  show ?thesis
    using satisfies-chi-eq z0 z1' z2' ysymbols sat-chi eq  $\langle t > 0 \rangle$   $\langle t \leq T' \rangle$  len-u 1  $\langle t > 0 \rangle$  by simp
qed
qed
qed
then have z1 u T' = unary  $\alpha \ (\zeta_1 \ T')$ 
  by simp
moreover have unary  $\alpha \ (\zeta_1 \ T') = 3$ 
proof -
  have  $\alpha \models \Phi_8$ 
    using  $\alpha$  PHI-def satisfies-def by simp
  then have  $\alpha \models \Psi \ (\zeta_1 \ T') \ 3$ 
    using PHI8-def by simp
  then show ?thesis
    using Psi-unary[of 3  $\zeta_1 \ T'$ ] length-zeta1 H-gr-2 by simp
qed
ultimately have z1 u T' = 1
  by simp
then have exc  $\langle x; u \rangle \ T' \ \langle . \rangle \ 1 = 1$ 
  using z1-def by simp
then show ?thesis
  using len-u by auto
qed

```

## 6.6.2 $x \in L$ implies $\Phi$ satisfiable

For the other direction, we assume a string  $x \in L$  and show that the formula  $\Phi$  derived from it is satisfiable. From  $x \in L$  it follows that there is a certificate  $u$  of length  $p(n)$  such that  $M$  on input  $\langle x, u \rangle$  halts after  $T'$  steps with the output tape head on the symbol  $\mathbf{1}$ .

An assignment that satisfies  $\Phi$  must have its first  $N = m' \cdot H$  bits set in such a way that they encode the relevant portion  $y(u)$  of the input tape, that is, with  $\langle x, u \rangle$  followed by  $T'$  blanks. This will take care of satisfying  $\Phi_1, \dots, \Phi_7$ . The next  $Z \cdot (T' + 1)$  bits of  $\alpha$  must be set such that they encode the  $T' + 1$  snapshots of  $M$  when started on  $\langle x, u \rangle$ . This way  $\Phi_0$  and  $\Phi_9$  will be satisfied. Finally,  $\Phi_8$  is satisfied because by the choice of  $u$  the last snapshot contains a  $\mathbf{1}$  as the symbol under the output tape head.

The following function maps a string  $u$  to an assignment as just described.

**definition**  $\beta \text{eta} :: \text{string} \Rightarrow \text{assignment} \ (\langle \beta \rangle)$  **where**

```

 $\beta \ u \ i \equiv$ 
  if  $i < N$  then
    let
       $j = i \ \text{div} \ H;$ 
       $k = i \ \text{mod} \ H$ 

```



```

in
  if j = 0 then k < 1
  else if j = 2 * n + 1 ∨ j = 2 * n + 2 then k < 3
  else if j ≥ 2 * n + 2 * p n + 3 then k < 0
  else if odd j then k < 2
  else if j ≤ 2 * n then k < (if x ! (j div 2 - 1) then 3 else 2)
  else k < (if u ! (j div 2 - n - 2) then 3 else 2)
else if i < N + Z * (Suc T') then
  let t = (i - N) div Z; k = (i - N) mod Z in
    if k < H then k < z0 u t
    else if k < 2 * H then k - H < z1 u t
    else k - 2 * H < z2 u t
else False

```

In order to show that  $\beta(u)$  satisfies  $\Phi$ , we show that it satisfies all parts of  $\Phi$ . These parts consist mostly of  $\Psi$  formulas, whose satisfiability can be proved using lemma *satisfies-Psi*. To apply this lemma, the following ones will be helpful.

**lemma** *blocky-gammaI*:  
**assumes**  $\bigwedge k. k < H \implies \alpha (j * H + k) = (k < l)$   
**shows** *blocky*  $\alpha (\gamma j) l$   
**unfolding** *blocky-def gamma-def* **using** *assms* **by** *simp*

**lemma** *blocky-zeta0I*:  
**assumes**  $\bigwedge k. k < H \implies \alpha (N + t * Z + k) = (k < l)$   
**shows** *blocky*  $\alpha (\zeta_0 t) l$   
**unfolding** *blocky-def zeta0-def* **using** *assms* **by** *simp*

**lemma** *blocky-zeta1I*:  
**assumes**  $\bigwedge k. k < H \implies \alpha (N + t * Z + H + k) = (k < l)$   
**shows** *blocky*  $\alpha (\zeta_1 t) l$   
**unfolding** *blocky-def zeta1-def* **using** *assms* **by** *simp*

**lemma** *blocky-zeta2I*:  
**assumes**  $\bigwedge k. k < H \implies \alpha (N + t * Z + 2 * H + k) = (k < l)$   
**shows** *blocky*  $\alpha (\zeta_2 t) l$   
**unfolding** *blocky-def zeta2-def* **using** *Z-def assms* **by** *simp*

**lemma** *beta-1*: *blocky*  $(\beta u) (\gamma 0) 1$

**proof** (*intro blocky-gammaI*)

```

fix k :: nat
assume k: k < H
let ?i = 0 * H + k
have ?i < N
  using N-eq add-mult-distrib2 k by auto
then show  $\beta u ?i = (k < 1)$ 
  using beta-def k by simp

```

**qed**

**lemma** *beta-2a*: *blocky*  $(\beta u) (\gamma (2*n+1)) 3$

**proof** (*intro blocky-gammaI*)

```

fix k :: nat
assume k: k < H
let ?i = (2*n+1) * H + k
let ?j = ?i div H
let ?k = ?i mod H
have ?i < N
  using N-eq add-mult-distrib2 k by auto
moreover have j: ?j = 2 * n + 1
  using k by (metis add-cancel-left-right div-less div-mult-self3 less-nat-zero-code)
moreover have ?k = k
  using k by (metis mod-if mod-mult-self3)
moreover have  $\neg ?j = 0$ 
  using j by linarith

```

ultimately show  $\beta u ?i = (k < 3)$   
 using *beta-def* by *simp*  
 qed

lemma *beta-2b*: blocky ( $\beta u$ ) ( $\gamma (2*n+2)$ ) 3  
 proof (intro *blocky-gammaI*)  
 fix  $k :: nat$   
 assume  $k: k < H$   
 let  $?i = (2*n+2) * H + k$   
 let  $?j = ?i \text{ div } H$   
 let  $?k = ?i \text{ mod } H$   
 have  $?i < N$   
 using *N-eq add-mult-distrib2 k* by *auto*  
 moreover have  $?j = 2 * n + 2$   
 using  $k$  by (*metis add-cancel-left-right div-less div-mult-self3 less-nat-zero-code*)  
 moreover have  $?k = k$   
 using  $k$  by (*metis mod-if mod-mult-self3*)  
 moreover have  $\neg ?j = 0$   
 using *calculation(2)* by *linarith*  
 ultimately show  $\beta u ?i = (k < 3)$   
 using *beta-def Let-def k* by *presburger*  
 qed

lemma *beta-3*:  
 assumes  $ii < n$   
 shows blocky ( $\beta u$ ) ( $\gamma (2 * ii + 1)$ ) 2  
 proof (intro *blocky-gammaI*)  
 fix  $k :: nat$   
 assume  $k: k < H$   
 let  $?i = (2*ii+1) * H + k$   
 let  $?j = ?i \text{ div } H$   
 let  $?k = ?i \text{ mod } H$   
 have  $?i < N$   
 proof -  
 have  $?i < (2*n+1) * H + k$   
 using *assms k* by *simp*  
 also have  $\dots < (2*n+1) * H + H$   
 using  $k$  by *simp*  
 also have  $\dots = H * (2*n+2)$   
 by *simp*  
 also have  $\dots \leq H * (2*n+3)$   
 by (*metis add.commute add.left-commute add-2-eq-Suc le-add2 mult-le-mono2 numeral-3-eq-3*)  
 also have  $\dots \leq H * (2*n+2*p n+3)$   
 by *simp*  
 also have  $\dots \leq H * (2*n+2*p n+3 + T')$   
 by *simp*  
 finally have  $?i < H * (2*n+2*p n+3 + T')$ .  
 then show *thesis*  
 using *N-eq* by *simp*  
 qed  
 moreover have  $j: ?j = 2 * ii + 1$   
 using  $k$  by (*metis add-cancel-left-right div-less div-mult-self3 less-nat-zero-code*)  
 moreover have  $?k = k$   
 using  $k$  by (*metis mod-if mod-mult-self3*)  
 moreover have  $\neg ?j = 0$   
 using  $j$  by *linarith*  
 moreover have  $\neg (?j = 2*n+1 \vee ?j = 2*n+2)$   
 using  $j$  *assms* by *simp*  
 moreover have  $\neg ?j \geq 2*n+2*p n + 3$   
 using  $j$  *assms* by *simp*  
 moreover have *odd*  $?j$   
 using  $j$  by *simp*  
 ultimately show  $\beta u ?i = (k < 2)$

using *beta-def* by *simp*  
 qed

lemma *beta-4*:

assumes  $ii < p \ n$  and  $length \ u = p \ n$   
 shows *blocky*  $(\beta \ u) (\gamma (2*n+2+2*ii+1)) \ 2$   
 proof (*intro blocky-gammaI*)  
 fix  $k :: nat$   
 assume  $k: k < H$   
 let  $?i = (2*n+2+2*ii+1) * H + k$   
 let  $?j = ?i \ div \ H$   
 let  $?k = ?i \ mod \ H$   
 have  $?i < N$   
 proof -  
 have  $?i = (2*n+2*ii+3) * H + k$   
 by (*simp add: numeral-3-eq-3*)  
 also have  $\dots < (2*n+2*ii+3) * H + H$   
 using  $k$  by *simp*  
 also have  $\dots = H * (2*n+2*ii+4)$   
 by *algebra*  
 also have  $\dots \leq H * (2*n+2*p \ n+3)$   
 using *assms(1)* by *simp*  
 also have  $\dots \leq H * (2*n+2*p \ n+3 + T')$   
 by *simp*  
 finally have  $?i < H * (2*n+2*p \ n+3 + T')$ .  
 then show *?thesis*  
 using *N-eq* by *simp*  
 qed  
 moreover have  $j: ?j = 2 * n + 2 + 2 * ii + 1$   
 using  $k$  by (*metis add-cancel-left-right div-less div-mult-self3 less-nat-zero-code*)  
 moreover have  $?k = k$   
 using  $k$  by (*metis mod-if mod-mult-self3*)  
 moreover have  $\neg ?j = 0$   
 using  $j$  by *linarith*  
 moreover have  $\neg (?j = 2*n+1 \vee ?j = 2*n+2)$   
 using  $j$  *assms* by *simp*  
 moreover have  $\neg ?j \geq 2*n+2*p \ n + 3$   
 using  $j$  *assms* by *simp*  
 moreover have *odd*  $?j$   
 using  $j$  by *simp*  
 ultimately show  $\beta \ u \ ?i = (k < 2)$   
 using *beta-def* by *simp*  
 qed

lemma *beta-5*:

assumes  $ii < T'$   
 shows *blocky*  $(\beta \ u) (\gamma (2*n+2*p \ n + 3 + ii)) \ 0$   
 proof (*intro blocky-gammaI*)  
 fix  $k :: nat$   
 assume  $k: k < H$   
 let  $?i = (2*n+2*p \ n + 3 + ii) * H + k$   
 let  $?j = ?i \ div \ H$   
 let  $?k = ?i \ mod \ H$   
 have  $?i < N$   
 proof -  
 have  $?i < (2*n+2*p \ n + 3 + ii) * H + H$   
 using  $k$  by *simp*  
 also have  $\dots \leq (2*n+2*p \ n + 3 + T' - 1) * H + H$   
 proof -  
 have  $2*n+2*p \ n + 3 + ii \leq 2*n+2*p \ n + 3 + T' - 1$   
 using *assms* by *simp*  
 then show *?thesis*  
 using *add-le-mono1 mult-le-mono1* by *presburger*  
 qed  
 qed

```

qed
also have ... ≤ (2*n+2*p n + 2 + T') * H + H
  by simp
also have ... ≤ H * (2*n+2*p n + 3 + T')
  by (simp add: numeral-3-eq-3)
finally have ?i < H * (2*n+2*p n + 3 + T') .
then show ?thesis
  using N-eq by simp
qed
moreover have j: ?j = 2 * n + 2*p n + 3 + ii
  using k by (metis add-cancel-left-right div-less div-mult-self3 less-nat-zero-code)
moreover have ?k = k
  using k by (metis mod-if mod-mult-self3)
moreover have ¬ ?j = 0
  using j by linarith
moreover have ¬ (?j = 2*n+1 ∨ ?j = 2*n+2)
  using j by simp
ultimately show β u ?i = (k < 0)
  using beta-def Let-def k by simp
qed

lemma beta-6:
  assumes ii < n
  shows blocky (β u) (γ (2 * ii + 2)) (if x ! ii then 3 else 2)
proof (intro blocky-gamma1)
  fix k :: nat
  assume k: k < H
  let ?i = (2*ii+2) * H + k
  let ?j = ?i div H
  let ?k = ?i mod H
  have ?i < N
  proof -
    have ?i ≤ (2*n+2) * H + k
      using assms by simp
    also have ... < (2*n+2) * H + H
      using k by simp
    also have ... = (2*n+3) * H
      by algebra
    also have ... ≤ (2*n + 2*p n + 3) * H
      by simp
    also have ... ≤ (2*n + 2*p n + 3 + T') * H
      by simp
    finally have ?i < (2*n + 2*p n + 3 + T') * H .
  then show ?thesis
    using N-eq by (metis mult.commute)
  qed
moreover have j: ?j = 2 * ii + 2
  using k by (metis add-cancel-left-right div-less div-mult-self3 less-nat-zero-code)
moreover have ?k = k
  using k by (metis mod-if mod-mult-self3)
moreover have ¬ ?j = 0
  using j by linarith
moreover have ¬ (?j = 2*n+1 ∨ ?j = 2*n+2)
  using j assms by simp
moreover have ¬ ?j = 2*n+2*p n + 3
  using j assms by simp
moreover have ¬ odd ?j
  using j by simp
moreover have ?j ≤ 2 * n
  using j assms by simp
ultimately show β u ?i = (k < (if x ! ii then 3 else 2))
  using beta-def by simp
qed

```

lemma beta-7:

assumes  $ii < p\ n$  and  $length\ u = p\ n$

shows  $blocky\ (\beta\ u)\ (\gamma\ (2 * n + 4 + 2 * ii))$  (if  $u ! ii$  then 3 else 2)

proof (intro blocky-gammaI)

fix  $k :: nat$

assume  $k: k < H$

let  $?i = (2*n+4+2*ii) * H + k$

let  $?j = ?i\ div\ H$

let  $?k = ?i\ mod\ H$

have  $?i < N$

proof -

have 1:  $ii \leq p\ n - 1$

using  $assms(1)$  by  $simp$

have 2:  $p\ n > 0$

using  $assms(1)$  by  $simp$

have  $?i \leq (2*n+4+2*(p\ n - 1)) * H + k$

using 1 by  $simp$

also have  $\dots = (2*n+4+2*p\ n-2) * H + k$

using 2  $diff-mult-distrib2$  by  $auto$

also have  $\dots = (2*n+2+2*p\ n) * H + k$

by  $simp$

also have  $\dots < (2*n+2+2*p\ n) * H + H$

using  $k$  by  $simp$

also have  $\dots = (2*n+3+2*p\ n) * H$

by  $algebra$

also have  $\dots = H * (2*n + 2*p\ n + 3)$

by  $simp$

also have  $\dots \leq H * (2*n + 2*p\ n + 3 + T')$

by  $simp$

finally have  $?i < H * (2*n + 2*p\ n + 3 + T')$ .

then show  $?thesis$

using  $N-eq$  by  $simp$

qed

moreover have  $j: ?j = 2 * n + 4 + 2 * ii$

using  $k$  by ( $metis\ add-cancel-left-right\ div-less\ div-mult-self3\ less-nat-zero-code$ )

moreover have  $?k = k$

using  $k$  by ( $metis\ mod-if\ mod-mult-self3$ )

moreover have  $\neg ?j = 0$

using  $j$  by  $linarith$

moreover have  $\neg (?j = 2*n+1 \vee ?j = 2*n+2)$

using  $j\ assms$  by  $simp$

moreover have  $\neg odd\ ?j$

using  $j$  by  $simp$

moreover have  $\neg ?j \leq 2 * n$

using  $j\ assms$  by  $simp$

moreover have  $?j \leq 2 * n + 2 * p\ n + 2$

using  $j\ assms$  by  $simp$

ultimately show  $\beta\ u\ ?i = (k < (if\ u ! ii\ then\ 3\ else\ 2))$

using  $beta-def$  by  $simp$

qed

lemma beta-zeta0:

assumes  $t \leq T'$

shows  $blocky\ (\beta\ u)\ (\zeta_0\ t)\ (z0\ u\ t)$

proof (intro blocky-zeta0I)

fix  $k :: nat$

assume  $k: k < H$

let  $?i = N + t * Z + k$

let  $?t = (?i - N)\ div\ Z$

let  $?k = (?i - N)\ mod\ Z$

have  $\neg ?i < N$

by  $simp$

**moreover have**  $?i < N + Z * (Suc\ T')$   
**proof** –  
**have**  $?i \leq N + T' * Z + k$   
**using** *assms* **by** *simp*  
**also have**  $\dots < N + T' * Z + H$   
**using** *k* **by** *simp*  
**also have**  $\dots \leq N + T' * Z + Z$   
**using** *Z-def* **by** *simp*  
**also have**  $\dots = N + Z * (Suc\ T')$   
**by** *simp*  
**finally show** *?thesis*  
**by** *simp*  
**qed**  
**moreover have** *kk*:  $?k = k$   
**using** *k Z-def* **by** *simp*  
**moreover have**  $?t = t$   
**using** *k Z-def* **by** *simp*  
**moreover have**  $?k < H$   
**using** *kk k* **by** *simp*  
**ultimately show**  $\beta\ u\ ?i = (k < z0\ u\ t)$   
**using** *beta-def* **by** *simp*  
**qed**

**lemma** *beta-zeta1*:  
**assumes**  $t \leq T'$   
**shows** *blocky*  $(\beta\ u)\ (\zeta_1\ t)\ (z1\ u\ t)$   
**proof** (*intro blocky-zeta1I*)  
**fix** *k* :: *nat*  
**assume** *k*:  $k < H$   
**let**  $?i = N + t * Z + H + k$   
**let**  $?t = (?i - N)\ div\ Z$   
**let**  $?k = (?i - N)\ mod\ Z$   
**have**  $\neg\ ?i < N$   
**by** *simp*  
**moreover have**  $?i < N + Z * (Suc\ T')$   
**proof** –  
**have**  $?i \leq N + T' * Z + H + k$   
**using** *assms* **by** *simp*  
**also have**  $\dots < N + T' * Z + H + H$   
**using** *k* **by** *simp*  
**also have**  $\dots \leq N + T' * Z + Z$   
**using** *Z-def* **by** *simp*  
**also have**  $\dots = N + Z * (Suc\ T')$   
**by** *simp*  
**finally show** *?thesis*  
**by** *simp*  
**qed**  
**moreover have**  $?t = t$   
**using** *k Z-def* **by** *simp*  
**moreover have** *kk*:  $?k = H + k$   
**using** *k Z-def* **by** *simp*  
**moreover have**  $\neg\ ?k < H$   
**using** *kk* **by** *simp*  
**moreover have**  $?k < 2 * H$   
**using** *kk k* **by** *simp*  
**ultimately have**  $\beta\ u\ ?i = (?k - H < z1\ u\ t)$   
**using** *beta-def* **by** *simp*  
**then show**  $\beta\ u\ ?i = (k < z1\ u\ t)$   
**using** *kk* **by** *simp*  
**qed**

**lemma** *beta-zeta2*:  
**assumes**  $t \leq T'$

```

shows blocky ( $\beta$   $u$ ) ( $\zeta_2$   $t$ ) ( $z_2$   $u$   $t$ )
proof (intro blocky-zeta2I)
  fix  $k :: nat$ 
  assume  $k: k < H$ 
  let  $?i = N + t * Z + 2 * H + k$ 
  let  $?t = (?i - N) \text{ div } Z$ 
  let  $?k = (?i - N) \text{ mod } Z$ 
  have 1:  $2 * H + k < Z$ 
    using  $k$  Z-def by simp
  have  $\neg ?i < N$ 
    by simp
  moreover have  $?i < N + Z * (\text{Suc } T')$ 
proof -
  have  $?i \leq N + T' * Z + 2 * H + k$ 
    using  $assms$  by simp
  also have  $\dots < N + T' * Z + 2 * H + H$ 
    using  $k$  by simp
  also have  $\dots \leq N + T' * Z + Z$ 
    using Z-def by simp
  also have  $\dots = N + Z * (\text{Suc } T')$ 
    by simp
  finally show  $?thesis$ 
    by simp
qed
moreover have  $?t = t$ 
  using 1 by simp
moreover have  $kk: ?k = 2 * H + k$ 
  using  $k$  Z-def by simp
moreover have  $\neg ?k < H$ 
  using  $kk$  by simp
moreover have  $\neg ?k < 2 * H$ 
  using  $kk$  by simp
ultimately have  $\beta$   $u$   $?i = (?k - 2 * H < z_2$   $u$   $t)$ 
  using  $beta$ -def by simp
then show  $\beta$   $u$   $?i = (k < z_2$   $u$   $t)$ 
  using  $kk$  by simp
qed

```

We can finally show that  $\beta(u)$  satisfies  $\Phi$  if  $u$  is a certificate for  $x$ .

```

lemma satisfies-beta-PHI:
  assumes  $length$   $u = p$   $n$  and  $exc$   $\langle x; u \rangle T' < . > 1 = 1$ 
  shows  $\beta$   $u \models \Phi$ 
proof -
  have  $\beta$   $u \models \Phi_0$ 
proof -
  have blocky ( $\beta$   $u$ ) ( $\zeta_0$   $0$ ) ( $z_0$   $u$   $0$ )
    using  $beta$ -zeta0 by simp
  then have blocky ( $\beta$   $u$ ) ( $\zeta_0$   $0$ ) 1
    using  $z_0$ -def  $start$ -config2  $start$ -config-pos by auto
  then have  $\beta$   $u \models \Psi$  ( $\zeta_0$   $0$ ) 1
    using  $satisfies$ -Psi  $H$ -gr-2 by simp
  moreover have  $\beta$   $u \models \Psi$  ( $\zeta_1$   $0$ ) 1
proof -
  have blocky ( $\beta$   $u$ ) ( $\zeta_1$   $0$ ) ( $z_1$   $u$   $0$ )
    using  $beta$ -zeta1 by simp
  then have blocky ( $\beta$   $u$ ) ( $\zeta_1$   $0$ ) 1
    using  $z_1$ -def  $start$ -config2  $start$ -config-pos by simp
  then show  $?thesis$ 
    using  $satisfies$ -Psi  $H$ -gr-2 by simp
qed
moreover have  $\beta$   $u \models \Psi$  ( $\zeta_2$   $0$ ) 0
proof -
  have blocky ( $\beta$   $u$ ) ( $\zeta_2$   $0$ ) ( $z_2$   $u$   $0$ )

```

```

    using beta-zeta2 by simp
  then have blocky ( $\beta$   $u$ ) ( $\zeta_2$  0) 0
    using z2-def start-config-def by simp
  then show ?thesis
    using satisfies-Psi H-gr-2 by simp
qed
ultimately show ?thesis
  using PHI0-def satisfies-def by auto
qed
moreover have  $\beta$   $u$   $\models$   $\Phi_1$ 
  using PHI1-def H-gr-2 satisfies-Psi beta-1 by simp
moreover have  $\beta$   $u$   $\models$   $\Phi_2$ 
proof -
  have  $\beta$   $u$   $\models$   $\Psi$  ( $\gamma$  ( $2*n+1$ )) 3
    using satisfies-Psi H-gr-2 beta-2a by simp
  moreover have  $\beta$   $u$   $\models$   $\Psi$  ( $\gamma$  ( $2*n+2$ )) 3
    using satisfies-Psi H-gr-2 beta-2b by simp
  ultimately show ?thesis
    using PHI2-def satisfies-def by auto
qed
moreover have  $\beta$   $u$   $\models$   $\Phi_3$ 
proof -
  have  $\beta$   $u$   $\models$   $\Psi$  ( $\gamma$  ( $2*i+1$ )) 2 if  $i < n$  for  $i$ 
    using satisfies-Psi that H-gr-2 length-gamma less-imp-le-nat beta-3 by simp
  then show ?thesis
    using PHI3-def satisfies-concat-map' by simp
qed
moreover have  $\beta$   $u$   $\models$   $\Phi_4$ 
proof -
  have  $\beta$   $u$   $\models$   $\Psi$  ( $\gamma$  ( $2*n+2+2*i+1$ )) 2 if  $i < p$   $n$  for  $i$ 
    using satisfies-Psi that H-gr-2 length-gamma less-imp-le-nat beta-4 assms(1) by simp
  then show ?thesis
    using PHI4-def satisfies-concat-map' by simp
qed
moreover have  $\beta$   $u$   $\models$   $\Phi_5$ 
proof -
  have  $\beta$   $u$   $\models$   $\Psi$  ( $\gamma$  ( $2*n+2*p$   $n+3+i$ )) 0 if  $i < T'$  for  $i$ 
    using satisfies-Psi that H-gr-2 length-gamma less-imp-le-nat beta-5 assms(1) by simp
  then show ?thesis
    using PHI5-def satisfies-concat-map' by simp
qed
moreover have  $\beta$   $u$   $\models$   $\Phi_6$ 
proof -
  have  $\beta$   $u$   $\models$   $\Psi$  ( $\gamma$  ( $2*i+2$ )) (if  $x ! i$  then 3 else 2) if  $i < n$  for  $i$ 
    using satisfies-Psi that H-gr-2 length-gamma less-imp-le-nat beta-6 by simp
  then show ?thesis
    using PHI6-def satisfies-concat-map' by simp
qed
moreover have  $\beta$   $u$   $\models$   $\Phi_7$ 
proof -
  have  $\beta$   $u$   $\models$   $\Upsilon$  ( $\gamma$  ( $2*n+4+2*i$ )) if  $i < p$   $n$  for  $i$ 
  proof -
    have blocky ( $\beta$   $u$ ) ( $\gamma$  ( $2*n+4+2*i$ )) 2  $\vee$  blocky ( $\beta$   $u$ ) ( $\gamma$  ( $2*n+4+2*i$ )) 3
      using assms that beta-7[of  $i$   $u$ ] by (metis (full-types))
    then have  $\beta$   $u$   $\models$   $\Psi$  ( $\gamma$  ( $2*n+4+2*i$ )) 2  $\vee$   $\beta$   $u$   $\models$   $\Psi$  ( $\gamma$  ( $2*n+4+2*i$ )) 3
      using satisfies-Psi H-gr-2 by auto
    then show ?thesis
      using Psi-2-imp-Upsilon Psi-3-imp-Upsilon H-gr-2 length-gamma by auto
  qed
  then show ?thesis
    using PHI7-def satisfies-concat-map' by simp
qed
moreover have  $\beta$   $u$   $\models$   $\Phi_8$ 

```



```

using PHI8-def H-gr-2 assms satisfies-Psi z1-def beta-zeta1
by (metis One-nat-def Suc-1 Suc-leI length-zeta1 nat-le-linear numeral-3-eq-3)
moreover have  $\beta u \models \Phi_9$ 
proof -
  have *: unary ( $\beta u$ ) ( $\gamma i$ ) = ysymbols u ! i if  $i < \text{length}(\text{ysymbols } u)$  for i
  proof -
    have  $i < m'$ 
    using assms length-ysymbols that by simp
    then consider
       $i = 0$ 
      |  $1 \leq i \wedge i < 2*n + 1$ 
      |  $2*n+1 \leq i \wedge i < 2*n+3$ 
      |  $2*n+3 \leq i \wedge i < m+1$ 
      |  $i \geq m + 1 \wedge i < m + 1 + T'$ 
    using m'-def m-def by linarith
  then show ?thesis
  proof (cases)
    case 1
    then show ?thesis
    using ysymbols-at-0 blocky-imp-unary H-gr-2 beta-1 by simp
  next
    case 2
    moreover define j where  $j = (i - 1) \text{div } 2$ 
    ultimately have  $j: j < n \ i = 2 * j + 1 \vee i = 2 * j + 2$ 
    by auto
    show ?thesis
    proof (cases  $i = 2 * j + 1$ )
      case True
      then show ?thesis
      using ysymbols-first-at(1) blocky-imp-unary H-gr-2 j(1) beta-3 by simp
    next
      case False
      then have  $i = 2 * j + 2$ 
      using j(2) by simp
      then show ?thesis
      using ysymbols-first-at(2) blocky-imp-unary H-gr-2 j(1) beta-4 beta-6 by simp
    qed
  next
    case 3
    show ?thesis
    proof (cases  $i = 2*n+1$ )
      case True
      then show ?thesis
      using ysymbols-at-2n1 blocky-imp-unary H-gr-2 beta-2a by simp
    next
      case False
      then have  $i = 2*n+2$ 
      using 3 by simp
      then show ?thesis
      using ysymbols-at-2n2 blocky-imp-unary H-gr-2 beta-2b by simp
    qed
  next
    case 4
    moreover define j where  $j = (i - 2*n-3) \text{div } 2$ 
    ultimately have  $j: j < p \ n \ i = 2*n+2+2 * j + 1 \vee i = 2*n+2+2 * j + 2$ 
    using j-def m-def by auto
    show ?thesis
    proof (cases  $i = 2*n+2+2 * j + 1$ )
      case True
      then show ?thesis
      using ysymbols-second-at(1) assms(1) blocky-imp-unary H-gr-2 j(1) beta-4 by simp
    next
      case False

```

```

then have  $i: i = 2*n+4+2 * j$ 
  using  $j(2)$  by simp
then have  $ysymbols\ u ! (2*n+2+2*j+2) = (if\ u ! j\ then\ 3\ else\ 2)$ 
  using  $ysymbols-second-at(2)\ assms\ j(1)$  by simp
then have  $ysymbols\ u ! (2*n+4+2*j) = (if\ u ! j\ then\ 3\ else\ 2)$ 
  by (metis  $False\ i\ j(2)$ )
then have  $ysymbols\ u ! i = (if\ u ! j\ then\ 3\ else\ 2)$ 
  using  $i$  by simp
then show  $?thesis$ 
  using  $beta-7[OF\ j(1)]\ blocky-imp-unary\ H-gr-2\ length-gamma\ i\ assms(1)$  by simp
qed
next
case 5
then obtain  $ii$  where  $ii: ii < T'\ i = m + 1 + ii$ 
  by (metis  $le-iff-add\ nat-add-left-cancel-less$ )
have  $blocky\ (\beta\ u)\ (\gamma\ (2*n+2*p\ n + 3 + ii))\ 0$ 
  using  $beta-5[OF\ ii(1)]$  by simp
then have  $blocky\ (\beta\ u)\ (\gamma\ i)\ 0$ 
  using  $ii(2)\ m-def\ numeral-3-eq-3$  by simp
then have  $unary\ (\beta\ u)\ (\gamma\ i) = 0$ 
  using  $blocky-imp-unary$  by simp
moreover have  $ysymbols\ u ! i = 0$ 
  using  $ysymbols-last[OF\ assms(1)]\ 5$  by simp
ultimately show  $?thesis$ 
  by simp
qed
qed
have  $\beta\ u \models \chi\ (Suc\ t)$  (is  $\beta\ u \models \chi\ ?t$ )
  if  $t < T'$  for  $t$ 
proof (cases  $prev\ m\ ?t < ?t$ )
case True
have  $t: ?t \leq T'$ 
  using  $that$  by simp
then have  $unary\ (\beta\ u)\ (\zeta_0\ ?t) = z0\ u\ ?t$ 
  using  $blocky-imp-unary\ z0-le\ beta-zeta0$  by simp
moreover have  $ysymbols\ u ! (inputpos\ m\ ?t) = unary\ (\beta\ u)\ (\gamma\ (inputpos\ m\ ?t))$ 
  using  $*\ assms(1)\ inputpos-less'\ length-ysymbols$  by simp
ultimately have  $unary\ (\beta\ u)\ (\zeta_0\ ?t) = unary\ (\beta\ u)\ (\gamma\ (inputpos\ m\ ?t))$ 
  using  $assms(1)\ z0$  by simp
moreover have  $unary\ (\beta\ u)\ (\zeta_1\ ?t) = z1\ u\ ?t$ 
  using  $beta-zeta1\ blocky-imp-unary\ z1-le\ t$  by simp
moreover have  $unary\ (\beta\ u)\ (\zeta_2\ ?t) = z2\ u\ ?t$ 
  using  $beta-zeta2\ blocky-imp-unary\ z2-le\ t$  by simp
moreover have  $unary\ (\beta\ u)\ (\zeta_0\ (prev\ m\ ?t)) = z0\ u\ (prev\ m\ ?t)$ 
  using  $beta-zeta0\ blocky-imp-unary\ z0-le\ t\ True$  by simp
moreover have  $unary\ (\beta\ u)\ (\zeta_1\ (prev\ m\ ?t)) = z1\ u\ (prev\ m\ ?t)$ 
  using  $beta-zeta1\ blocky-imp-unary\ z1-le\ t\ True$  by simp
moreover have  $unary\ (\beta\ u)\ (\zeta_2\ (prev\ m\ ?t)) = z2\ u\ (prev\ m\ ?t)$ 
  using  $beta-zeta2\ blocky-imp-unary\ z2-le\ t\ True$  by simp
moreover have  $unary\ (\beta\ u)\ (\zeta_0\ (?t - 1)) = z0\ u\ (?t - 1)$ 
  using  $beta-zeta0\ blocky-imp-unary\ z0-le\ t\ True$  by simp
moreover have  $unary\ (\beta\ u)\ (\zeta_1\ (?t - 1)) = z1\ u\ (?t - 1)$ 
  using  $beta-zeta1\ blocky-imp-unary\ z1-le\ t\ True$  by simp
moreover have  $unary\ (\beta\ u)\ (\zeta_2\ (?t - 1)) = z2\ u\ (?t - 1)$ 
  using  $beta-zeta2\ blocky-imp-unary\ z2-le\ t\ True$  by simp
ultimately show  $?thesis$ 
  using  $True\ assms(1)\ satisfies-chi-less[OF\ True]\ t\ z1\ z2'$ 
  by (metis  $bot-nat-0.extremum\ less-nat-zero-code\ nat-less-le$ )
next
case False
then have  $prev: prev\ m\ ?t = ?t$ 
  using  $prev-le$  by (meson  $le-neq-implies-less$ )
have  $t: ?t \leq T'$ 

```

```

    using that by simp
  then have unary ( $\beta$   $u$ ) ( $\zeta_0$   $?t$ ) =  $z0$   $u$   $?t$ 
    using beta-zeta0 blocky-imp-unary z0-le by simp
  moreover have  $\text{ysymbols } u ! (\text{inputpos } m \ ?t) = \text{unary } (\beta \ u) (\gamma (\text{inputpos } m \ ?t))$ 
    using *  $\text{assms}(1)$   $\text{inputpos-less}'$   $\text{length-ysymbols}$  by simp
  ultimately have unary ( $\beta$   $u$ ) ( $\zeta_0$   $?t$ ) = unary ( $\beta$   $u$ ) ( $\gamma (\text{inputpos } m \ ?t)$ )
    using  $\text{assms}(1)$   $z0$  by simp
  moreover have unary ( $\beta$   $u$ ) ( $\zeta_1$   $?t$ ) =  $z1$   $u$   $?t$ 
    using beta-zeta1 blocky-imp-unary z1-le t by simp
  moreover have  $z1$   $u$   $?t = \square$ 
    using  $z1'$  beta-zeta1  $\text{assms}(1)$   $\text{prev } t$  by simp
  moreover have unary ( $\beta$   $u$ ) ( $\zeta_2$   $?t$ ) =  $z2$   $u$   $?t$ 
    using beta-zeta2 blocky-imp-unary z2-le t by simp
  moreover have unary ( $\beta$   $u$ ) ( $\zeta_0$  ( $?t - 1$ )) =  $z0$   $u$  ( $?t - 1$ )
    using beta-zeta0 blocky-imp-unary z0-le t by simp
  moreover have unary ( $\beta$   $u$ ) ( $\zeta_1$  ( $?t - 1$ )) =  $z1$   $u$  ( $?t - 1$ )
    using beta-zeta1 blocky-imp-unary z1-le t by simp
  moreover have unary ( $\beta$   $u$ ) ( $\zeta_2$  ( $?t - 1$ )) =  $z2$   $u$  ( $?t - 1$ )
    using beta-zeta2 blocky-imp-unary z2-le t by simp
  ultimately show  $?thesis$ 
    using  $\text{satisfies-chi-eq}[OF \ \text{prev}]$   $\text{start-config2}$   $\text{start-config-pos } t$  that  $z1\text{-def } z2$   $\text{assms}(1)$ 
    by ( $\text{metis}$  ( $\text{no-types}$ ,  $\text{lifting}$ )  $\text{One-nat-def}$   $\text{Suc-1}$   $\text{Suc-less-eq}$   $\text{add-diff-inverse-nat}$ 
       $\text{execute.simps}(1)$   $\text{less-one}$   $n\text{-not-Suc-}n$   $\text{plus-1-eq-Suc}$ )
  qed
  then show  $?thesis$ 
    using  $\text{PHI9-def}$   $\text{satisfies-concat-map}'$  by  $\text{presburger}$ 
  qed
  ultimately show  $?thesis$ 
    using  $\text{satisfies-append}'$   $\text{PHI-def}$  by  $\text{simp}$ 
  qed

corollary  $\text{ex-u-imp-sat-PHI}$ :
  assumes  $\text{length } u = p \ n$  and  $\text{exc } \langle x; u \rangle \ T' \ \langle . \rangle \ 1 = \mathbf{1}$ 
  shows  $\text{satisfiable } \Phi$ 
  using  $\text{satisfies-beta-PHI}$   $\text{assms}$   $\text{satisfiable-def}$  by  $\text{auto}$ 

The formula  $\Phi$  has the desired property:

theorem  $L\text{-iff-satisfiable}$ :  $x \in L \iff \text{satisfiable } \Phi$ 
  using  $L\text{-iff-ex-u}$   $\text{ex-u-imp-sat-PHI}$   $\text{sat-PHI-imp-ex-u}$  by  $\text{auto}$ 

end

end

```

## Chapter 7

# Auxiliary Turing machines for reducing $\mathcal{NP}$ languages to SAT

```
theory Aux-TM-Reducing
  imports Reducing
begin
```

In the previous chapter we have seen how to reduce a language  $L \in \mathcal{NP}$  to SAT by constructing for every string  $x$  a CNF formula  $\Phi$  that is satisfiable iff.  $x \in L$ . To complete the Cook-Levin theorem it remains to show that there is a polynomial-time Turing machine that on input  $x$  outputs  $\Phi$ . Constructing such a TM will be the subject of the rest of this article and conclude in the next chapter. This chapter introduces several TMs used in the construction.

### 7.1 Generating literals

Our representation of CNF formulas as lists of lists of numbers is based on a representation of literals as numbers. Our function *literal-n* encodes the positive literal  $v_i$  as the number  $2i + 1$  and the negative literal  $\bar{v}_i$  as  $2i$ . We already have the Turing machine *tm-times2* to cover the second case. Now we build a TM for the first case, that is, for doubling and incrementing.

```
definition tm-times2incr :: tapeidx  $\Rightarrow$  machine where
  tm-times2incr  $j \equiv$  tm-times2  $j$  ;; tm-incr  $j$ 
```

```
lemma tm-times2incr-tm:
  assumes  $0 < j$  and  $j < k$  and  $G \geq 4$ 
  shows turing-machine  $k$   $G$  (tm-times2incr  $j$ )
  unfolding tm-times2incr-def using tm-times2-tm tm-incr-tm assms by simp
```

```
lemma transforms-tm-times2incrI [transforms-intros]:
  fixes  $j$  :: tapeidx
  fixes  $k$  :: nat and  $tps$   $tps'$  :: tape list
  assumes  $k \geq 2$  and  $j > 0$  and  $j < k$  and length  $tps = k$ 
  assumes  $tps ! j = (\lfloor n \rfloor_N, 1)$ 
  assumes  $t = 12 + 4 * nlength\ n$ 
  assumes  $tps' = tps[j := (\lfloor Suc\ (2 * n) \rfloor_N, 1)]$ 
  shows transforms (tm-times2incr  $j$ )  $tps$   $t$   $tps'$ 
proof –
  define  $tt$  where  $tt = 10 + (2 * nlength\ n + 2 * nlength\ (2 * n))$ 
  have transforms (tm-times2incr  $j$ )  $tps$   $tt$   $tps'$ 
    unfolding tm-times2incr-def by (tform  $tps$ : tt-def assms)
  moreover have  $tt \leq t$ 
proof –
  have  $tt = 10 + 2 * nlength\ n + 2 * nlength\ (2 * n)$ 
    using tt-def by simp
  also have  $\dots \leq 10 + 2 * nlength\ n + 2 * (Suc\ (nlength\ n))$ 
```

```

proof –
  have  $nlength\ (2 * n) \leq Suc\ (nlength\ n)$ 
    by (metis eq-imp-le grOI le-SucI nat-0-less-mult-iff nlength-even-le)
  then show ?thesis
    by simp
qed
also have  $\dots = 12 + 4 * nlength\ n$ 
  by simp
finally show ?thesis
  using assms(6) by simp
qed
ultimately show ?thesis
  using transforms-monotone by simp
qed

```

```

lemma literal-n-rewrite:
  assumes  $v\ div\ 2 < length\ \sigma$ 
  shows  $2 * \sigma ! (v\ div\ 2) + v\ mod\ 2 = (literal\text{-}n\ \circ\ rename\ \sigma)\ (n\text{-}literal\ v)$ 
proof (cases even v)
  case True
  then show ?thesis
    using n-literal-def assms by simp
next
  case False
  then show ?thesis
    using n-literal-def assms by simp presburger
qed

```

Combining *tm-times2* and *tm-times2incr*, the next Turing machine accepts a variable index  $i$  on tape  $j_1$  and a flag  $b$  on tape  $j_2$  and outputs on tape  $j_1$  the encoding of the positive literal  $v_i$  or the negative literal  $\bar{v}_i$  if  $b$  is positive or zero, respectively.

```

definition tm-to-literal ::  $tapeidx \Rightarrow tapeidx \Rightarrow machine$  where
  tm-to-literal  $j_1\ j_2 \equiv$ 
    IF  $\lambda rs. rs ! j_2 = \square$  THEN
      tm-times2  $j_1$ 
    ELSE
      tm-times2incr  $j_1$ 
    ENDIF

```

```

lemma tm-to-literal-tm:
  assumes  $k \geq 2$  and  $G \geq 4$  and  $0 < j_1$  and  $j_1 < k$  and  $j_2 < k$ 
  shows turing-machine  $k\ G\ (tm\text{-}to\text{-}literal\ j_1\ j_2)$ 
  unfolding tm-to-literal-def
  using assms tm-times2-tm tm-times2incr-tm turing-machine-branch-turing-machine
  by simp

```

```

lemma transforms-tm-to-literalI [transforms-intros]:
  fixes  $j_1\ j_2 :: tapeidx$ 
  fixes  $tps\ tps' :: tape\ list$  and  $t\ k\ i\ b :: nat$ 
  assumes  $0 < j_1\ j_1 < k\ j_2 < k\ 2 \leq k\ length\ tps = k$ 
  assumes
     $tps ! j_1 = (\lfloor i \rfloor_N, 1)$ 
     $tps ! j_2 = (\lfloor b \rfloor_N, 1)$ 
  assumes  $t = 13 + 4 * nlength\ i$ 
  assumes  $tps' = tps$ 
     $[j_1 := (\lfloor 2 * i + (if\ b = 0\ then\ 0\ else\ 1) \rfloor_N, 1)]$ 
  shows transforms  $(tm\text{-}to\text{-}literal\ j_1\ j_2)\ tps\ t\ tps'$ 
  unfolding tm-to-literal-def
proof (tform tps: assms read-ncontents-eq-0)
  show  $5 + 2 * nlength\ i + 2 \leq t$  and  $12 + 4 * nlength\ i + 1 \leq t$ 
    using assms(8) by simp-all
qed

```

## 7.2 A Turing machine for relabeling formulas

In order to construct  $\Phi_9$ , we must construct CNF formulas  $\chi_t$ , which have the form  $\varrho(\psi)$  or  $\varrho'(\psi')$ . So we need a Turing machine for relabeling formulas. In this section we devise a Turing machine that gets a substitution  $\sigma$  and a CNF formula  $\varphi$  and outputs  $\sigma(\varphi)$ . In order to bound its running time we first prove some bounds on the length of relabeled formulas.

### 7.2.1 The length of relabeled formulas

First we bound the length of the representation of a single relabeled clause. In the following lemma the assumption ensures that the substitution  $\sigma$  has a value for every variable in the clause.

**lemma** *nlength-rename*:

**assumes**  $\forall v \in \text{set clause}. v \text{ div } 2 < \text{length } \sigma$

**shows**  $nlength (\text{map } (\text{literal-}n \circ \text{rename } \sigma) (n\text{-clause clause})) \leq \text{length clause} * \text{Suc } (nlength \sigma)$

**proof** (*cases*  $\sigma = []$ )

**case** *True*

**then show** *?thesis*

**using** *assms n-clause-def* **by** *simp*

**next**

**case** *False*

**let**  $?f = \text{literal-}n \circ \text{rename } \sigma \circ n\text{-literal}$

**have**  $*$ :  $\text{map } (\text{literal-}n \circ \text{rename } \sigma) (n\text{-clause clause}) = \text{map } ?f \text{ clause}$

**using** *n-clause-def* **by** *simp*

**have**  $nlength (2 * n + 1) \leq \text{Suc } (nlength n)$  **for**  $n$

**using** *nlength-times2plus1* **by** *simp*

**then have**  $nlength (2 * \text{Max } (\text{set } \sigma) + 1) \leq \text{Suc } (nlength (\text{Max } (\text{set } \sigma)))$

**by** *simp*

**moreover have**  $nlength (\text{Max } (\text{set } \sigma)) \leq nlength \sigma - 1$

**using** *False member-le-nlength-1* **by** *simp*

**ultimately have**  $nlength (2 * \text{Max } (\text{set } \sigma) + 1) \leq \text{Suc } (nlength \sigma - 1)$

**by** *simp*

**then have**  $**$ :  $nlength (2 * \text{Max } (\text{set } \sigma) + 1) \leq nlength \sigma$

**using** *nlength-gr-0* *False* **by** *simp*

**have**  $?f n \leq 2 * (\sigma ! (n \text{ div } 2)) + 1$  **if**  $n \text{ div } 2 < \text{length } \sigma$  **for**  $n$

**using** *n-literal-def* **by** (*cases even n*) *simp-all*

**then have**  $?f v \leq 2 * (\sigma ! (v \text{ div } 2)) + 1$  **if**  $v \in \text{set clause}$  **for**  $v$

**using** *assms that* **by** *simp*

**moreover have**  $\sigma ! (v \text{ div } 2) \leq \text{Max } (\text{set } \sigma)$  **if**  $v \in \text{set clause}$  **for**  $v$

**using** *that assms* **by** *simp*

**ultimately have**  $?f v \leq 2 * \text{Max } (\text{set } \sigma) + 1$  **if**  $v \in \text{set clause}$  **for**  $v$

**using** *that* **by** *fastforce*

**then have**  $n \leq 2 * \text{Max } (\text{set } \sigma) + 1$  **if**  $n \in \text{set } (\text{map } ?f \text{ clause})$  **for**  $n$

**using** *that* **by** *auto*

**then have**  $nlength (\text{map } ?f \text{ clause}) \leq \text{Suc } (nlength (2 * \text{Max } (\text{set } \sigma) + 1)) * \text{length } (\text{map } ?f \text{ clause})$

**using** *nlength-le-len-mult-max* **by** *blast*

**also have**  $\dots = \text{Suc } (nlength (2 * \text{Max } (\text{set } \sigma) + 1)) * \text{length clause}$

**by** *simp*

**also have**  $\dots \leq \text{Suc } (nlength \sigma) * \text{length clause}$

**using**  $**$  **by** *simp*

**finally have**  $nlength (\text{map } ?f \text{ clause}) \leq \text{Suc } (nlength \sigma) * \text{length clause}$  .

**then show** *?thesis*

**using**  $*$  **by** (*metis mult.commute*)

**qed**

Our upper bound for the length of the symbol representation of a relabeled formula is fairly crude. It is basically the length of the string resulting from replacing every symbol of the original formula by the entire substitution.

**lemma** *nlength-relabel*:

**assumes**  $\forall \text{clause} \in \text{set } \varphi. \forall v \in \text{set } (\text{clause-n clause}). v \text{ div } 2 < \text{length } \sigma$

**shows**  $nlength (\text{formula-n } (\text{relabel } \sigma \varphi)) \leq \text{Suc } (nlength \sigma) * nlength (\text{formula-n } \varphi)$

```

using assms
proof (induction  $\varphi$ )
  case Nil
  then show ?case
    by (simp add: relabel-def)
next
case (Cons clause  $\varphi$ )
let ?nclause = clause-n clause
have  $\forall v \in \text{set } ?nclause. v \text{ div } 2 < \text{length } \sigma$ 
  using Cons.premis by simp
then have  $\text{nlength } (\text{map } (\text{literal-}n \circ \text{rename } \sigma) (n\text{-clause } ?nclause)) \leq \text{length } ?nclause * \text{Suc } (\text{nlength } \sigma)$ 
  using nlength-rewrite by simp
then have  $\text{nlength } (\text{map } (\text{literal-}n \circ \text{rename } \sigma) \text{ clause}) \leq \text{length } \text{clause} * \text{Suc } (\text{nlength } \sigma)$ 
  using clause-n-def n-clause-n by simp
moreover have  $\text{map } (\text{literal-}n \circ \text{rename } \sigma) \text{ clause} = \text{clause-n } (\text{map } (\text{rename } \sigma) \text{ clause})$ 
  using clause-n-def by simp
ultimately have  $*$ :  $\text{nlength } (\text{clause-n } (\text{map } (\text{rename } \sigma) \text{ clause})) \leq \text{length } \text{clause} * \text{Suc } (\text{nlength } \sigma)$ 
  by simp

have  $\text{formula-n } (\text{relabel } \sigma (\text{clause } \# \varphi)) = \text{clause-n } (\text{map } (\text{rename } \sigma) \text{ clause}) \# \text{formula-n } (\text{relabel } \sigma \varphi)$ 
  by (simp add: formula-n-def relabel-def)
then have  $\text{nlength } (\text{formula-n } (\text{relabel } \sigma (\text{clause } \# \varphi))) =$ 
   $\text{nlength } (\text{clause-n } (\text{map } (\text{rename } \sigma) \text{ clause})) + 1 + \text{nlength } (\text{formula-n } (\text{relabel } \sigma \varphi))$ 
  using nlength-Cons by simp
also have  $\dots \leq \text{length } \text{clause} * \text{Suc } (\text{nlength } \sigma) + 1 + \text{nlength } (\text{formula-n } (\text{relabel } \sigma \varphi))$ 
  using  $*$  by simp
also have  $\dots \leq \text{length } \text{clause} * \text{Suc } (\text{nlength } \sigma) + 1 + \text{Suc } (\text{nlength } \sigma) * \text{nlength } (\text{formula-n } \varphi)$ 
  using Cons by (metis add-mono-thms-linordered-semiring(2) insert-iff list.set(2))
also have  $\dots = 1 + \text{Suc } (\text{nlength } \sigma) * (\text{length } \text{clause} + \text{nlength } (\text{formula-n } \varphi))$ 
  by algebra
also have  $\dots \leq \text{Suc } (\text{nlength } \sigma) * (1 + \text{length } \text{clause} + \text{nlength } (\text{formula-n } \varphi))$ 
  by simp
also have  $\dots \leq \text{Suc } (\text{nlength } \sigma) * (1 + \text{nlength } (\text{clause-n } \text{clause}) + \text{nlength } (\text{formula-n } \varphi))$ 
  using length-le-nlength n-clause-def n-clause-n
  by (metis add-Suc-shift add-le-cancel-right length-map mult-le-mono2 plus-1-eq-Suc)
also have  $\dots = \text{Suc } (\text{nlength } \sigma) * (\text{nlength } (\text{formula-n } (\text{clause } \# \varphi)))$ 
  using formula-n-def nlength-Cons by simp
finally show ?case .
qed

```

A simple sufficient condition for the assumption in the previous lemma.

**lemma** *variables- $\sigma$* :

```

assumes variables  $\varphi \subseteq \{..<\text{length } \sigma\}$ 
shows  $\forall \text{clause} \in \text{set } \varphi. \forall v \in \text{set } (\text{clause-n } \text{clause}). v \text{ div } 2 < \text{length } \sigma$ 
proof standard+
  fix clause :: clause and v :: nat
  assume clause: clause  $\in \text{set } \varphi$  and v: v  $\in \text{set } (\text{clause-n } \text{clause})$ 

  obtain i where i: i  $< \text{length } \text{clause}$  v = literal-n (clause ! i)
    using v clause-n-def by (metis in-set-conv-nth length-map nth-map)
  then have clause-i: clause ! i = n-literal v
    using n-literal-n by simp

show  $v \text{ div } 2 < \text{length } \sigma$ 
proof (cases even v)
  case True
  then have clause ! i = Neg (v div 2)
    using clause-i n-literal-def by simp
  then have  $\exists c \in \text{set } \varphi. \text{Neg } (v \text{ div } 2) \in \text{set } c$ 
    using clause i(1) by (metis nth-mem)
  then have  $v \text{ div } 2 \in \text{variables } \varphi$ 
    using variables-def by auto
  then show ?thesis

```

```

    using assms by auto
next
case False
then have clause ! i = Pos (v div 2)
    using clause-i n-literal-def by simp
then have  $\exists c \in \text{set } \varphi. \text{Pos } (v \text{ div } 2) \in \text{set } c$ 
    using clause i(1) by (metis nth-mem)
then have v div 2 ∈ variables φ
    using variables-def by auto
then show ?thesis
    using assms by auto
qed
qed

```

Combining the previous two lemmas yields this upper bound:

```

lemma nllength-relabel-variables:
  assumes variables φ ⊆ {..<length σ}
  shows nllength (formula-n (relabel σ φ)) ≤ Suc (nllength σ) * nllength (formula-n φ)
  using assms variables-σ nllength-relabel by blast

```

## 7.2.2 Relabeling clauses

Relabeling a CNF formula is accomplished by relabeling each of its clauses. In this section we devise a Turing machine for relabeling clauses. The TM accepts on tape  $j$  a list of numbers representing a substitution  $\sigma$  and on tape  $j + 1$  a clause represented as a list of numbers. It outputs on tape  $j + 2$  the relabeled clause, consuming the original clause on tape  $j + 1$  in the process.

**definition** *tm-relabel-clause* :: *tapeidx*  $\Rightarrow$  *machine* where

```

tm-relabel-clause j  $\equiv$ 
  WHILE [] ;  $\lambda rs. rs ! (j + 1) \neq \square$  DO
    tm-nextract | ( $j + 1$ ) ( $j + 3$ ) ;;
    tm-mod2 ( $j + 3$ ) ( $j + 4$ ) ;;
    tm-div2 ( $j + 3$ ) ;;
    tm-nth-inplace j ( $j + 3$ ) | ;;
    tm-to-literal ( $j + 3$ ) ( $j + 4$ ) ;;
    tm-append ( $j + 2$ ) ( $j + 3$ ) ;;
    tm-setn ( $j + 3$ ) 0 ;;
    tm-setn ( $j + 4$ ) 0
  DONE ;;
  tm-cr ( $j + 2$ ) ;;
  tm-erase-cr ( $j + 1$ )

```

**lemma** *tm-relabel-clause-tm*:

```

  assumes  $G \geq 5$  and  $j + 4 < k$  and  $0 < j$ 
  shows turing-machine k G (tm-relabel-clause j)
  unfolding tm-relabel-clause-def
  using assms tm-nextract-tm tm-mod2-tm tm-div2-tm tm-nth-inplace-tm tm-to-literal-tm tm-append-tm tm-setn-tm
    tm-cr-tm tm-erase-cr-tm Nil-tm turing-machine-loop-turing-machine
  by simp

```

**locale** *turing-machine-relabel-clause* =

```

  fixes j :: tapeidx
begin

```

**definition** *tm1*  $\equiv$  *tm-nextract* | ( $j + 1$ ) ( $j + 3$ )

**definition** *tm2*  $\equiv$  *tm1* ;; *tm-mod2* ( $j + 3$ ) ( $j + 4$ )

**definition** *tm3*  $\equiv$  *tm2* ;; *tm-div2* ( $j + 3$ )

**definition** *tm4*  $\equiv$  *tm3* ;; *tm-nth-inplace j* ( $j + 3$ ) |

**definition** *tm5*  $\equiv$  *tm4* ;; *tm-to-literal* ( $j + 3$ ) ( $j + 4$ )

**definition** *tm6*  $\equiv$  *tm5* ;; *tm-append* ( $j + 2$ ) ( $j + 3$ )

**definition** *tm7*  $\equiv$  *tm6* ;; *tm-setn* ( $j + 3$ ) 0

**definition** *tm8*  $\equiv$  *tm7* ;; *tm-setn* ( $j + 4$ ) 0

**definition** *tmL*  $\equiv$  WHILE [] ;  $\lambda rs. rs ! (j + 1) \neq \square$  DO *tm8* DONE



**definition**  $tm9 \equiv tmL ;; tm-cr (j + 2)$   
**definition**  $tm10 \equiv tm9 ;; tm-erase-cr (j + 1)$

**lemma**  $tm10\text{-eq}\text{-tm}\text{-relabel}\text{-clause}$ :  $tm10 = tm\text{-relabel}\text{-clause } j$

**unfolding**  $tm\text{-relabel}\text{-clause}\text{-def } tm3\text{-def } tmL\text{-def } tm5\text{-def } tm4\text{-def } tm1\text{-def } tm2\text{-def } tm6\text{-def } tm7\text{-def } tm8\text{-def } tm9\text{-def } tm10\text{-def}$   
**by**  $simp$

**context**

**fixes**  $tps0 :: \text{tape list}$  **and**  $k :: \text{nat}$  **and**  $\sigma \text{ clause} :: \text{nat list}$

**assumes**  $\text{clause}$ :  $\forall v \in \text{set clause}. v \text{ div } 2 < \text{length } \sigma$

**assumes**  $jk$ :  $0 < j \ j + 4 < k \ \text{length } tps0 = k$

**assumes**  $tps0$ :

$tps0 ! j = (\lfloor \sigma \rfloor_{NL}, 1)$   
 $tps0 ! (j + 1) = (\lfloor \text{clause} \rfloor_{NL}, 1)$   
 $tps0 ! (j + 2) = (\lfloor \square \rfloor_{NL}, 1)$   
 $tps0 ! (j + 3) = (\lfloor 0 \rfloor_N, 1)$   
 $tps0 ! (j + 4) = (\lfloor 0 \rfloor_N, 1)$

**begin**

**definition**  $tpsL t \equiv tps0$

$[j + 1 := \text{nltape}' \text{ clause } t,$   
 $j + 2 := \text{nltape} (\text{take } t (\text{map literal}\text{-n} (\text{map} (\text{rename } \sigma) (\text{n-clause clause}))))]$

**lemma**  $tpsL\text{-eq}\text{-tps0}$ :  $tpsL 0 = tps0$

**using**  $tpsL\text{-def } tps0 \ jk \ \text{nlength}\text{-Nil}$  **by**  $(\text{metis One}\text{-nat}\text{-def list}\text{-update}\text{-id take0})$

**definition**  $tps1 t \equiv tps0$

$[j + 1 := \text{nltape}' \text{ clause} (\text{Suc } t),$   
 $j + 2 := \text{nltape} (\text{take } t (\text{map literal}\text{-n} (\text{map} (\text{rename } \sigma) (\text{n-clause clause}))))],$   
 $j + 3 := (\lfloor \text{clause} ! t \rfloor_N, 1)]$

**lemma**  $tm1$  [ $\text{transforms}\text{-intros}$ ]:

**assumes**  $t < \text{length clause}$   
**and**  $t \equiv 12 + 2 * \text{nlength} (\text{clause} ! t)$   
**shows**  $\text{transforms } tm1 (tpsL t) ttt (tps1 t)$   
**unfolding**  $tm1\text{-def}$

**proof** ( $tform \ tps$ :  $\text{assms}(1) \ tpsL\text{-def } tps1\text{-def } tps0 \ jk$ )

**show**  $t \equiv 12 + 2 * \text{nlength } 0 + 2 * \text{nlength} (\text{clause} ! t)$

**using**  $\text{assms}(2)$  **by**  $simp$

**qed**

**definition**  $tps2 t \equiv tps0$

$[j + 1 := \text{nltape}' \text{ clause} (\text{Suc } t),$   
 $j + 2 := \text{nltape} (\text{take } t (\text{map literal}\text{-n} (\text{map} (\text{rename } \sigma) (\text{n-clause clause}))))],$   
 $j + 3 := (\lfloor \text{clause} ! t \rfloor_N, 1),$   
 $j + 4 := (\lfloor (\text{clause} ! t) \text{ mod } 2 \rfloor_N, 1)]$

**lemma**  $tm2$  [ $\text{transforms}\text{-intros}$ ]:

**assumes**  $t < \text{length clause}$   
**and**  $t \equiv 13 + 2 * \text{nlength} (\text{clause} ! t)$   
**shows**  $\text{transforms } tm2 (tpsL t) ttt (tps2 t)$   
**unfolding**  $tm2\text{-def}$  **by** ( $tform \ tps$ :  $\text{assms } tps1\text{-def } tps2\text{-def } tps0 \ jk$ )

**definition**  $tps3 t \equiv tps0$

$[j + 1 := \text{nltape}' \text{ clause} (\text{Suc } t),$   
 $j + 2 := \text{nltape} (\text{take } t (\text{map literal}\text{-n} (\text{map} (\text{rename } \sigma) (\text{n-clause clause}))))],$   
 $j + 3 := (\lfloor \text{clause} ! t \text{ div } 2 \rfloor_N, 1),$   
 $j + 4 := (\lfloor \text{clause} ! t \text{ mod } 2 \rfloor_N, 1)]$

**lemma**  $tm3$  [ $\text{transforms}\text{-intros}$ ]:

**assumes**  $t < \text{length clause}$   
**and**  $t \equiv 16 + 4 * \text{nlength} (\text{clause} ! t)$

**shows transforms**  $tm3$  ( $tpsL$   $t$ )  $ttt$  ( $tps3$   $t$ )  
**unfolding**  $tm3$ -def **by** ( $tform$   $tps$ :  $assms(1)$   $tps2$ -def  $tps3$ -def  $jk$   $time$ :  $assms(2)$ )

**definition**  $tps4$   $t \equiv tps0$

$[j + 1 := nltape' clause (Suc t),$   
 $j + 2 := nltape (take t (map literal-n (map (rename \sigma) (n-clause clause))))),$   
 $j + 3 := (\lfloor \sigma ! (clause ! t \text{ div } 2) \rfloor_N, 1),$   
 $j + 4 := (\lfloor clause ! t \text{ mod } 2 \rfloor_N, 1)]$

**lemma**  $tm4$  [ $transforms$ -intros]:

**assumes**  $t < length$   $clause$   
**and**  $ttt = 28 + 4 * nlength (clause ! t) + 18 * (nlength \sigma)^2$   
**shows transforms**  $tm4$  ( $tpsL$   $t$ )  $ttt$  ( $tps4$   $t$ )  
**unfolding**  $tm4$ -def

**proof** ( $tform$   $tps$ :  $assms(1)$   $tps0$   $tps3$ -def  $tps4$ -def  $jk$   $clause$   $time$ :  $assms(2)$ )

**show**  $tps4$   $t = (tps3$   $t)[j + 3 := (\lfloor \sigma ! (clause ! t \text{ div } 2) \rfloor_N, 1)]$   
**unfolding**  $tps4$ -def  $tps3$ -def **by** ( $simp$   $add$ :  $list$ -update-swap[ $of$   $j + 3$ ])

**qed**

**definition**  $tps5$   $t \equiv tps0$

$[j + 1 := nltape' clause (Suc t),$   
 $j + 2 := nltape (take t (map literal-n (map (rename \sigma) (n-clause clause))))),$   
 $j + 3 := (\lfloor 2 * (\sigma ! (clause ! t \text{ div } 2)) + clause ! t \text{ mod } 2 \rfloor_N, 1),$   
 $j + 4 := (\lfloor clause ! t \text{ mod } 2 \rfloor_N, 1)]$

**lemma**  $tm5$  [ $transforms$ -intros]:

**assumes**  $t < length$   $clause$   
**and**  $ttt = 41 + 4 * nlength (clause ! t) + 18 * (nlength \sigma)^2 +$   
 $4 * nlength (\sigma ! (clause ! t \text{ div } 2))$   
**shows transforms**  $tm5$  ( $tpsL$   $t$ )  $ttt$  ( $tps5$   $t$ )  
**unfolding**  $tm5$ -def **by** ( $tform$   $tps$ :  $assms(1)$   $tps0$   $tps4$ -def  $tps5$ -def  $jk$   $time$ :  $assms(2)$ )

**definition**  $tps6$   $t \equiv tps0$

$[j + 1 := nltape' clause (Suc t),$   
 $j + 2 := nltape (take (Suc t) (map literal-n (map (rename \sigma) (n-clause clause))))),$   
 $j + 3 := (\lfloor 2 * (\sigma ! (clause ! t \text{ div } 2)) + clause ! t \text{ mod } 2 \rfloor_N, 1),$   
 $j + 4 := (\lfloor clause ! t \text{ mod } 2 \rfloor_N, 1)]$

**lemma**  $tm6$ :

**assumes**  $t < length$   $clause$   
**and**  $ttt = 47 + 4 * nlength (clause ! t) + 18 * (nlength \sigma)^2 +$   
 $4 * nlength (\sigma ! (clause ! t \text{ div } 2)) +$   
 $2 * nlength (2 * \sigma ! (clause ! t \text{ div } 2) + clause ! t \text{ mod } 2)$   
**shows transforms**  $tm6$  ( $tpsL$   $t$ )  $ttt$  ( $tps6$   $t$ )  
**unfolding**  $tm6$ -def

**proof** ( $tform$   $tps$ :  $assms(1)$   $tps0$   $tps5$ -def  $tps6$ -def  $jk$ )

**have**  $2 * \sigma ! (clause ! t \text{ div } 2) + clause ! t \text{ mod } 2 =$   
 $(literal-n \circ rename \sigma) (n-literal (clause ! t))$   
**using**  $clause$   $assms(1)$   $literal$ -n- $rename$  **by**  $simp$   
**then have**  $2 * \sigma ! (clause ! t \text{ div } 2) + clause ! t \text{ mod } 2 =$   
 $(map (literal-n \circ rename \sigma) (n-clause clause)) ! t$   
**using**  $assms(1)$  **by** ( $simp$   $add$ :  $n$ -clause-def)  
**then have**  $take t (map (literal-n \circ rename \sigma) (n-clause clause)) @$   
 $[2 * \sigma ! (clause ! t \text{ div } 2) + clause ! t \text{ mod } 2] =$   
 $take (Suc t) (map (literal-n \circ rename \sigma) (n-clause clause))$   
**by** ( $simp$   $add$ :  $assms(1)$   $n$ -clause-def  $take$ -Suc-conv-app-nth)  
**then show**  $tps6$   $t = (tps5$   $t)$

$[j + 2 := nltape$   
 $(take t (map (literal-n \circ rename \sigma) (n-clause clause)) @$   
 $[2 * \sigma ! (clause ! t \text{ div } 2) + clause ! t \text{ mod } 2])]$

**unfolding**  $tps5$ -def  $tps6$ -def

**by** ( $simp$   $only$ :  $list$ -update-overwrite  $list$ -update-swap-less[ $of$   $j + 2$ ])  $simp$   
**show**  $ttt = 41 + 4 * nlength (clause ! t) + 18 * (nlength \sigma)^2 +$

$4 * nlength (\sigma ! (clause ! t \text{ div } 2)) +$   
 $(7 + nlength (take t (map (literal-n \circ rename \sigma) (n-clause clause)))) -$   
 $Suc (nlength (take t (map (literal-n \circ rename \sigma) (n-clause clause)))) +$   
 $2 * nlength (2 * \sigma ! (clause ! t \text{ div } 2) + clause ! t \text{ mod } 2))$   
**using** *assms(2)* **by** *simp*  
**qed**

**lemma** *nlength-σ1*:  
**assumes**  $t < length \text{ clause}$   
**shows**  $nlength (clause ! t) \leq nlength \sigma$   
**proof** –  
**have**  $clause ! t \text{ div } 2 < length \sigma$   
**using** *clause assms(1)* **by** *simp*  
**then have**  $nlength (clause ! t \text{ div } 2) < length \sigma$   
**using** *nlength-le-n* **by** (*meson leD le-less-linear order.trans*)  
**then have**  $nlength (clause ! t) \leq length \sigma$   
**using** *canrepr-div-2* **by** *simp*  
**then show**  $nlength (clause ! t) \leq nlength \sigma$   
**using** *length-le-nlength* **by** (*meson dual-order.trans mult-le-mono2*)  
**qed**

**lemma** *nlength-σ2*:  
**assumes**  $t < length \text{ clause}$   
**shows**  $nlength (\sigma ! (clause ! t \text{ div } 2)) \leq nlength \sigma$   
**using** *assms clause member-le-nlength nth-mem* **by** *simp*

**lemma** *nlength-σ3*:  
**assumes**  $t < length \text{ clause}$   
**shows**  $nlength (2 * \sigma ! (clause ! t \text{ div } 2) + clause ! t \text{ mod } 2) \leq Suc (nlength \sigma)$   
**proof** –  
**have**  $nlength (2 * \sigma ! (clause ! t \text{ div } 2) + clause ! t \text{ mod } 2) \leq nlength (2 * \sigma ! (clause ! t \text{ div } 2) + 1)$   
**using** *nlength-mono* **by** *simp*  
**also have**  $\dots \leq Suc (nlength (\sigma ! (clause ! t \text{ div } 2)))$   
**using** *nlength-times2plus1* **by** (*meson dual-order.trans*)  
**finally show** *?thesis*  
**using** *nlength-σ2 assms* **by** *fastforce*  
**qed**

**lemma** *tm6'* [*transforms-intros*]:  
**assumes**  $t < length \text{ clause}$   
**and**  $t \text{ tt} = 49 + 28 * nlength \sigma \wedge 2$   
**shows** *transforms tm6 (tpsL t) ttt (tps6 t)*  
**proof** –  
**let**  $?ttt = 47 + 4 * nlength (clause ! t) + 18 * (nlength \sigma)^2 +$   
 $4 * nlength (\sigma ! (clause ! t \text{ div } 2)) +$   
 $2 * nlength (2 * \sigma ! (clause ! t \text{ div } 2) + clause ! t \text{ mod } 2)$   
  
**have**  $?ttt \leq 47 + 4 * nlength \sigma + 18 * (nlength \sigma)^2 +$   
 $4 * nlength \sigma + 2 * Suc (nlength \sigma)$   
**using** *nlength-σ1 nlength-σ3 nlength-σ2 assms(1)* **by** *fastforce*  
**also have**  $\dots = 49 + 10 * nlength \sigma + 18 * (nlength \sigma)^2$   
**by** *simp*  
**also have**  $\dots \leq 49 + 10 * nlength \sigma \wedge 2 + 18 * (nlength \sigma)^2$   
**using** *linear-le-pow* **by** *simp*  
**also have**  $\dots = 49 + 28 * nlength \sigma \wedge 2$   
**by** *simp*  
**finally have**  $?ttt \leq 49 + 28 * nlength \sigma \wedge 2$ .  
**then show** *?thesis*  
**using** *tm6 assms transforms-monotone* **by** *blast*  
**qed**

**definition** *tps7*  $t \equiv tps0$   
 $[j + 1 := nltape' \text{ clause } (Suc t),$

$j + 2 := \text{nltape } (\text{take } (\text{Suc } t) (\text{map literal-n } (\text{map } (\text{rename } \sigma) (\text{n-clause clause}))))),$   
 $j + 4 := (\lfloor \text{clause ! } t \text{ mod } 2 \rfloor_N, 1)]$

**lemma tm7:**

**assumes**  $t < \text{length clause}$   
**and**  $\text{t}tt = 59 + 28 * \text{nlength } \sigma ^ 2 +$   
 $2 * \text{nlength } (2 * \sigma ! (\text{clause ! } t \text{ div } 2) + \text{clause ! } t \text{ mod } 2)$   
**shows** *transforms tm7* (tpsL t) ttt (tps7 t)  
**unfolding** *tm7-def*

**proof** (tform tps: *assms(1) tps0 tps6-def tps7-def jk time: assms(2)*)

**show**  $\text{tps7 } t = (\text{tps6 } t)[j + 3 := (\lfloor 0 \rfloor_N, 1)]$   
**using** *tps6-def tps7-def tps0 jk*  
**by** (smt (verit) *add-left-cancel list-update-id list-update-overwrite list-update-swap numeral.simps(8)*  
*numeral-eq-iff one-eq-numeral-iff semiring-norm(84)*)

**qed**

**lemma tm7' [transforms-intros]:**

**assumes**  $t < \text{length clause}$   
**and**  $\text{t}tt = 61 + 30 * \text{nlength } \sigma ^ 2$   
**shows** *transforms tm7* (tpsL t) ttt (tps7 t)

**proof** –

**let**  $\text{?t}tt = 59 + 28 * \text{nlength } \sigma ^ 2 +$   
 $2 * \text{nlength } (2 * \sigma ! (\text{clause ! } t \text{ div } 2) + \text{clause ! } t \text{ mod } 2)$   
**have**  $\text{?t}tt \leq 59 + 28 * \text{nlength } \sigma ^ 2 + 2 * \text{Suc } (\text{nlength } \sigma)$   
**using** *nlength- $\sigma$ 3 assms(1) by fastforce*  
**also have**  $\dots = 61 + 28 * \text{nlength } \sigma ^ 2 + 2 * \text{nlength } \sigma$   
**by** *simp*  
**also have**  $\dots \leq 61 + 30 * \text{nlength } \sigma ^ 2$   
**using** *linear-le-pow by simp*  
**finally have**  $\text{?t}tt \leq 61 + 30 * \text{nlength } \sigma ^ 2 .$   
**then show** *?thesis*  
**using** *assms tm7 transforms-monotone by blast*

**qed**

**definition tps8**  $t \equiv \text{tps0}$

$[j + 1 := \text{nltape}' \text{ clause } (\text{Suc } t),$   
 $j + 2 := \text{nltape } (\text{take } (\text{Suc } t) (\text{map literal-n } (\text{map } (\text{rename } \sigma) (\text{n-clause clause}))))]$

**lemma tm8:**

**assumes**  $t < \text{length clause}$   
**and**  $\text{t}tt = 61 + 30 * (\text{nlength } \sigma)^2 + (10 + 2 * \text{nlength } (\text{clause ! } t \text{ mod } 2))$   
**shows** *transforms tm8* (tpsL t) ttt (tps8 t)  
**unfolding** *tm8-def*

**proof** (tform tps: *assms(1) tps0 tps7-def tps8-def jk time: assms(2)*)

**show**  $\text{tps8 } t = (\text{tps7 } t)[j + 4 := (\lfloor 0 \rfloor_N, 1)]$   
**using** *tps7-def tps8-def tps0 jk*  
**by** (smt (verit) *add-left-imp-eq list-update-id list-update-overwrite list-update-swap numeral-eq-iff*  
*numeral-eq-one-iff semiring-norm(85) semiring-norm(87)*)

**qed**

**lemma tm8' [transforms-intros]:**

**assumes**  $t < \text{length clause}$   
**and**  $\text{t}tt = 71 + 32 * (\text{nlength } \sigma)^2$   
**shows** *transforms tm8* (tpsL t) ttt (tpsL (Suc t))

**proof** –

**have**  $\text{nlength } (\text{clause ! } t \text{ mod } 2) \leq \text{nlength } \sigma$   
**using** *assms(1) nlength- $\sigma$ 1 by (meson mod-less-eq-dividend nlength-mono order.trans)*  
**then have**  $\text{nlength } (\text{clause ! } t \text{ mod } 2) \leq \text{nlength } \sigma ^ 2$   
**using** *linear-le-pow by (metis nat-le-linear power2-nat-le-imp-le verit-la-disequality)*  
**then have**  $61 + 30 * (\text{nlength } \sigma)^2 + (10 + 2 * \text{nlength } (\text{clause ! } t \text{ mod } 2)) \leq \text{t}tt$   
**using** *assms(2) by simp*  
**then have** *transforms tm8* (tpsL t) ttt (tps8 t)  
**using** *assms(1) tm8 transforms-monotone by blast*

```

moreover have tps8 t = tpsL (Suc t)
  using tps8-def tpsL-def by simp
ultimately show ?thesis
  by simp
qed

lemma tmL [transforms-intros]:
  assumes ttt = length clause * (73 + 32 * (nlength  $\sigma$ )2) + 1
  shows transforms tmL (tpsL 0) ttt (tpsL (length clause))
  unfolding tmL-def
proof (tform)
  let ?t = 71 + 32 * (nlength  $\sigma$ )2
  show read (tpsL t) ! (j + 1)  $\neq$   $\square$  if t < length clause for t
  proof -
    have tpsL t ! (j + 1) = nltape' clause t
      using tpsL-def jk by simp
    then show ?thesis
      using nltape'-tape-read tapes-at-read' tpsL-def jk
      by (smt (verit) Suc-eq-plus1 leD length-list-update less-add-same-cancel1 less-trans-Suc zero-less-numeral)
  qed
  show  $\neg$  read (tpsL (length clause)) ! (j + 1)  $\neq$   $\square$ 
  proof -
    have tpsL (length clause) ! (j + 1) = nltape' clause (length clause)
      using tpsL-def jk by simp
    then show ?thesis
      using nltape'-tape-read tapes-at-read' tpsL-def jk
      by (smt (verit) Suc-eq-plus1 length-list-update less-add-same-cancel1 less-or-eq-imp-le less-trans-Suc zero-less-numeral)
  qed
  show length clause * (71 + 32 * (nlength  $\sigma$ )2) + 2 + 1  $\leq$  ttt
    using assms(1) by simp
  qed

lemma tpsL-length: tpsL (length clause) = tps0
  [j + 1 := nltape' clause (length clause),
   j + 2 := nltape (map literal-n (map (rename  $\sigma$ ) (n-clause clause)))]
  using tpsL-def by (simp add: n-clause-def)

definition tps9  $\equiv$  tps0
  [j + 1 := nltape' clause (length clause),
   j + 2 := ( $\lfloor$ map literal-n (map (rename  $\sigma$ ) (n-clause clause)) $\rfloor_{NL}, 1)$ ]

lemma tm9:
  assumes ttt = 4 + length clause * (73 + 32 * (nlength  $\sigma$ )2) +
    nlength (map (literal-n  $\circ$  rename  $\sigma$ ) (n-clause clause))
  shows transforms tm9 (tpsL 0) ttt tps9
  unfolding tm9-def
proof (tform tps: tps0 tps9-def tpsL-def jk tpsL-length)
  show clean-tape (tpsL (length clause)) ! (j + 2)
    using tpsL-def jk clean-tape-nlcontents tps0(3) by simp
  show ttt = length clause * (73 + 32 * (nlength  $\sigma$ )2) + 1 +
    (tpsL (length clause) :# (j + 2) + 2)
    using n-clause-def assms jk tpsL-length by fastforce
  qed

lemma tm9' [transforms-intros]:
  assumes ttt = 4 + 2 * length clause * (73 + 32 * (nlength  $\sigma$ )2)
  shows transforms tm9 tps0 ttt tps9
proof -
  let ?ttt = 4 + length clause * (73 + 32 * (nlength  $\sigma$ )2) +
    nlength (map (literal-n  $\circ$  rename  $\sigma$ ) (n-clause clause))
  have ?ttt  $\leq$  4 + length clause * (73 + 32 * (nlength  $\sigma$ )2) +
    length clause * Suc (nlength  $\sigma$ )
    using clause nlength-rename by simp

```

**also have** ...  $\leq 4 + \text{length clause} * (73 + 32 * (\text{nlength } \sigma)^2) +$   
 $\text{length clause} * (\text{Suc } (\text{nlength } \sigma ^ 2))$   
**by** (*simp add: linear-le-pow*)  
**also have** ...  $\leq 4 + \text{length clause} * (73 + 32 * (\text{nlength } \sigma)^2) +$   
 $\text{length clause} * (73 + \text{nlength } \sigma ^ 2)$   
**by** (*metis One-nat-def Suc-eq-plus1 Suc-leI add commute add-left-mono mult-le-mono2 zero-less-numeral*)  
**also have** ...  $\leq 4 + \text{length clause} * (73 + 32 * (\text{nlength } \sigma)^2) +$   
 $\text{length clause} * (73 + 32 * \text{nlength } \sigma ^ 2)$   
**by** *simp*  
**also have** ...  $= 4 + 2 * \text{length clause} * (73 + 32 * (\text{nlength } \sigma)^2)$   
**by** *simp*  
**finally have**  $?ttt \leq 4 + 2 * \text{length clause} * (73 + 32 * (\text{nlength } \sigma)^2)$  .  
**then show** *?thesis*  
**using** *tm9 assms transforms-monotone tpsL-eq-tps0* **by** *fastforce*  
**qed**

**definition** *tps10*  $\equiv$  *tps0*

$[j + 1 := ([\ ]_{NL}, 1),$   
 $j + 2 := ([\text{map literal-n } (\text{map } (\text{rename } \sigma) (n\text{-clause clause}))]_{NL}, 1)]$

**lemma** *tm10*:

**assumes**  $ttt = 11 + 2 * \text{length clause} * (73 + 32 * (\text{nlength } \sigma)^2) + 3 * \text{nlength clause}$   
**shows** *transforms tm10 tps0 ttt tps10*  
**unfolding** *tm10-def*  
**proof** (*tform tps: tps0 tps9-def jk*)  
**show**  $tps9 ::= (j + 1) = [\text{numlist clause}]$   
**using** *tps9-def jk tps0(2) nlcontents-def* **by** *simp*  
**show** *proper-symbols (numlist clause)*  
**using** *proper-symbols-numlist* **by** *simp*  
**show**  $tps10 = tps9[j + 1 := ([\ ]_{NL}, 1)]$   
**by** (*simp add: jk nlcontents-def tps0 tps10-def tps9-def list-update-swap numlist-Nil*)  
**show**  $ttt = 4 + 2 * \text{length clause} * (73 + 32 * (\text{nlength } \sigma)^2) +$   
 $(tps9 :\# : (j + 1) + 2 * \text{length } (\text{numlist clause}) + 6)$   
**using** *assms tps9-def jk nlength-def* **by** *simp*  
**qed**

**lemma** *tm10'*:

**assumes**  $ttt = 11 + 64 * \text{nlength clause} * (3 + (\text{nlength } \sigma)^2)$   
**shows** *transforms tm10 tps0 ttt tps10*  
**proof** –  
**let**  $?ttt = 11 + 2 * \text{length clause} * (73 + 32 * (\text{nlength } \sigma)^2) + 3 * \text{nlength clause}$   
**have**  $?ttt \leq 11 + 2 * \text{nlength clause} * (73 + 32 * (\text{nlength } \sigma)^2) + 3 * \text{nlength clause}$   
**by** (*simp add: length-le-nlength*)  
**also have** ...  $\leq 11 + 2 * \text{nlength clause} * (73 + 32 * (\text{nlength } \sigma)^2) + 2 * 2 * \text{nlength clause}$   
**by** *simp*  
**also have** ...  $= 11 + 2 * \text{nlength clause} * (75 + 32 * (\text{nlength } \sigma)^2)$   
**by** *algebra*  
**also have** ...  $\leq 11 + 2 * \text{nlength clause} * (96 + 32 * (\text{nlength } \sigma)^2)$   
**by** *simp*  
**also have** ...  $= 11 + 2 * 32 * \text{nlength clause} * (3 + (\text{nlength } \sigma)^2)$   
**by** *simp*  
**also have** ...  $= 11 + 64 * \text{nlength clause} * (3 + (\text{nlength } \sigma)^2)$   
**by** *simp*  
**finally have**  $?ttt \leq 11 + 64 * \text{nlength clause} * (3 + (\text{nlength } \sigma)^2)$  .  
**then show** *?thesis*  
**using** *tm10 assms transforms-monotone* **by** *blast*  
**qed**

**end**

**end**

**lemma** *transforms-tm-relabel-clauseI* [*transforms-intros*]:

```

fixes  $j :: \text{tapeidx}$ 
fixes  $\text{tps tps}' :: \text{tape list}$  and  $\text{ttt } k :: \text{nat}$  and  $\sigma \text{ clause} :: \text{nat list}$ 
assumes  $0 < j \ j + 4 < k$   $\text{length tps} = k$ 
and  $\forall v \in \text{set clause. } v \text{ div } 2 < \text{length } \sigma$ 
assumes
   $\text{tps} ! j = ([\sigma]_{NL}, 1)$ 
   $\text{tps} ! (j + 1) = ([\text{clause}]_{NL}, 1)$ 
   $\text{tps} ! (j + 2) = ([\ ]_{NL}, 1)$ 
   $\text{tps} ! (j + 3) = ([0]_N, 1)$ 
   $\text{tps} ! (j + 4) = ([0]_N, 1)$ 
assumes  $\text{ttt} = 11 + 64 * \text{nlength clause} * (3 + (\text{nlength } \sigma)^2)$ 
assumes  $\text{tps}' = \text{tps}$ 
   $[j + 1 := ([\ ]_{NL}, 1),$ 
   $j + 2 := ([\text{clause-n (map (rename } \sigma) (n\text{-clause clause})]_{NL}, 1)]$ 
shows  $\text{transforms (tm-relabel-clause } j) \text{ tps ttt tps}'$ 
proof –
  interpret  $\text{loc: turing-machine-relabel-clause } j .$ 
  show  $?thesis$ 
  using  $\text{assms loc.tm10-eq-tm-relabel-clause loc.tps10-def loc.tm10' clause-n-def}$  by  $\text{simp}$ 
qed

```

### 7.2.3 Relabeling CNF formulas

A Turing machine can relabel a CNF formula by relabeling each clause using the TM *tm-relabel-clause*. The next TM accepts a CNF formula as a list of lists of literals on tape  $j_1$  and a substitution  $\sigma$  as a list of numbers on tape  $j_2 + 1$ . It outputs the relabeled formula on tape  $j_2$ , which initially must be empty.

**definition**  $\text{tm-relabel} :: \text{tapeidx} \Rightarrow \text{tapeidx} \Rightarrow \text{machine}$  **where**

```

 $\text{tm-relabel } j_1 \ j_2 \equiv$ 
  WHILE  $\square ; \lambda rs. rs ! j_1 \neq \square$  DO
     $\text{tm-nextract} \ \# \ j_1 \ (j_2 + 2) ; ;$ 
     $\text{tm-relabel-clause} \ (j_2 + 1) ; ;$ 
     $\text{tm-appendl } j_2 \ (j_2 + 3) ; ;$ 
     $\text{tm-erase-cr} \ (j_2 + 3)$ 
  DONE ; ;
   $\text{tm-cr } j_1 ; ;$ 
   $\text{tm-cr } j_2$ 

```

**lemma**  $\text{tm-relabel-tm}$ :

**assumes**  $G \geq 6$  **and**  $j_2 + 5 < k$  **and**  $0 < j_1$  **and**  $j_1 < j_2$

**shows**  $\text{turing-machine } k \ G \ (\text{tm-relabel } j_1 \ j_2)$

**unfolding**  $\text{tm-relabel-def}$

**using**  $\text{assms tm-cr-tm tm-nextract-tm tm-appendl-tm tm-relabel-clause-tm Nil-tm tm-erase-cr-tm turing-machine-loop-turing-machine}$   
**by**  $\text{simp}$

**locale**  $\text{turing-machine-relabel} =$

**fixes**  $j_1 \ j_2 :: \text{tapeidx}$

**begin**

**definition**  $\text{tmL1} \equiv \text{tm-nextract} \ \# \ j_1 \ (j_2 + 2)$

**definition**  $\text{tmL2} \equiv \text{tmL1} ; ; \text{tm-relabel-clause} \ (j_2 + 1)$

**definition**  $\text{tmL3} \equiv \text{tmL2} ; ; \text{tm-appendl } j_2 \ (j_2 + 3)$

**definition**  $\text{tmL4} \equiv \text{tmL3} ; ; \text{tm-erase-cr} \ (j_2 + 3)$

**definition**  $\text{tmL} \equiv \text{WHILE } \square ; \lambda rs. rs ! j_1 \neq \square \ \text{DO } \text{tmL4} \ \text{DONE}$

**definition**  $\text{tm2} \equiv \text{tmL} ; ; \text{tm-cr } j_1$

**definition**  $\text{tm3} \equiv \text{tm2} ; ; \text{tm-cr } j_2$

**lemma**  $\text{tm3-eq-tm-relabel}$ :  $\text{tm3} = \text{tm-relabel } j_1 \ j_2$

**unfolding**  $\text{tm-relabel-def tm2-def tmL-def tmL4-def tmL3-def tmL2-def tmL1-def tm3-def}$  **by**  $\text{simp}$

**context**

**fixes**  $\text{tps0} :: \text{tape list}$  **and**  $k :: \text{nat}$  **and**  $\sigma :: \text{nat list}$  **and**  $\varphi :: \text{formula}$

**assumes**  $\text{variables: variables } \varphi \subseteq \{..<\text{length } \sigma\}$

**assumes**  $\text{jk: } 0 < j_1 \ j_1 < j_2 \ j_2 + 5 < k$   $\text{length tps0} = k$

**assumes**  $tps0$ :  
 $tps0 ! j1 = (\lfloor \text{formula-}n \varphi \rfloor_{NLL}, 1)$   
 $tps0 ! j2 = (\lfloor \lfloor \rfloor_{NLL}, 1)$   
 $tps0 ! (j2 + 1) = (\lfloor \sigma \rfloor_{NL}, 1)$   
 $tps0 ! (j2 + 2) = (\lfloor \lfloor \rfloor_{NL}, 1)$   
 $tps0 ! (j2 + 3) = (\lfloor \lfloor \rfloor_{NL}, 1)$   
 $tps0 ! (j2 + 4) = (\lfloor 0 \rfloor_N, 1)$   
 $tps0 ! (j2 + 5) = (\lfloor 0 \rfloor_N, 1)$

**begin**

**abbreviation**  $n\varphi :: \text{nat list list where}$   
 $n\varphi \equiv \text{formula-}n \varphi$

**definition**  $tpsL :: \text{nat} \Rightarrow \text{tape list where}$   
 $tpsL t \equiv tps0$   
 $[j1 := \text{nlltape}' n\varphi t,$   
 $j2 := \text{nlltape} (\text{formula-}n (\text{take } t (\text{relabel } \sigma \varphi)))]$

**lemma**  $tpsL\text{-eq-}tps0$ :  $tpsL 0 = tps0$   
**using**  $tps0$   $tpsL\text{-def}$   $\text{formula-}n\text{-def}$   $\text{nlllength-}n\text{-def}$   $\text{numlist-}Nil$   $\text{numlist-}n\text{-def}$   $\text{numlistlist-}n\text{-def}$   
**by** ( $\text{metis One-nat-def list.map(1) list.size(3) list-update-id take0}$ )

**definition**  $tpsL1 :: \text{nat} \Rightarrow \text{tape list where}$   
 $tpsL1 t \equiv tps0$   
 $[j1 := \text{nlltape}' n\varphi (\text{Suc } t),$   
 $j2 := \text{nlltape} (\text{formula-}n (\text{take } t (\text{relabel } \sigma \varphi))),$   
 $j2 + 2 := (\lfloor n\varphi ! t \rfloor_{NL}, 1)]$

**lemma**  $tmL1$  [ $\text{transforms-intros}$ ]:  
**assumes**  $t1t = 12 + 2 * \text{nllength} (n\varphi ! t)$   
**and**  $t < \text{length } \varphi$   
**shows**  $\text{transforms } tmL1 (tpsL t) t1t (tpsL1 t)$   
**unfolding**  $tmL1\text{-def}$

**proof** ( $tform$   $tps$ :  $tps0$   $tpsL\text{-def}$   $tpsL1\text{-def}$   $jk$ )  
**show**  $t < \text{length } n\varphi$   
**using**  $\text{assms}(2)$   $\text{formula-}n\text{-def}$  **by**  $\text{simp}$   
**show**  $tpsL1 t = (tpsL t)$   
 $[j1 := \text{nlltape}' n\varphi (\text{Suc } t),$   
 $j2 + 2 := (\lfloor n\varphi ! t \rfloor_{NL}, 1)]$   
**using**  $tpsL1\text{-def}$   $tpsL\text{-def}$  **by** ( $\text{simp add: } jk \text{ list-update-swap-less}$ )  
**show**  $t1t = 12 + 2 * \text{nllength} \lfloor \rfloor + 2 * \text{nllength} (n\varphi ! t)$   
**using**  $\text{assms}(1)$  **by**  $\text{simp}$

**qed**

**definition**  $tpsL2 :: \text{nat} \Rightarrow \text{tape list where}$   
 $tpsL2 t \equiv tps0$   
 $[j1 := \text{nlltape}' n\varphi (\text{Suc } t),$   
 $j2 := \text{nlltape} (\text{formula-}n (\text{take } t (\text{relabel } \sigma \varphi))),$   
 $j2 + 2 := (\lfloor \lfloor \rfloor_{NL}, 1),$   
 $j2 + 3 := (\lfloor \text{clause-}n (\text{map} (\text{rename } \sigma) (n\text{-clause } (n\varphi ! t))) \rfloor_{NL}, 1)]$

**lemma**  $tmL2$  [ $\text{transforms-intros}$ ]:  
**assumes**  $t1t = 23 + 2 * \text{nllength} (n\varphi ! t) + 64 * \text{nllength} (n\varphi ! t) * (3 + (\text{nllength } \sigma)^2)$   
**and**  $t < \text{length } \varphi$   
**shows**  $\text{transforms } tmL2 (tpsL t) t1t (tpsL2 t)$   
**unfolding**  $tmL2\text{-def}$

**proof** ( $tform$   $tps$ :  $\text{assms}(2)$   $tps0$   $tpsL1\text{-def}$   $jk$ )  
**show**  $\forall v \in \text{set } (n\varphi ! t). v \text{ div } 2 < \text{length } \sigma$   
**using**  $\text{variables-}\sigma$   $\text{variables}$   $\text{assms}(2)$  **by** ( $\text{metis formula-}n\text{-def nth-map nth-mem}$ )  
**have**  $tpsL1 t ! (j2 + (1 + 2)) = (\lfloor \lfloor \rfloor_{NL}, 1)$   
**using**  $tpsL1\text{-def}$   $jk$   $tps0$  **by** ( $\text{simp add: numeral-3-eq-3}$ )  
**then show**  $tpsL1 t ! (j2 + 1 + 2) = (\lfloor \lfloor \rfloor_{NL}, 1)$   
**by** ( $\text{metis add.assoc}$ )



**have**  $tpsL1\ t!\ (j2 + (1 + 3)) = (\lfloor 0 \rfloor_N, 1)$   
**using**  $tpsL1-def\ jk\ tps0$  **by**  $simp$   
**then show**  $tpsL1\ t!\ (j2 + 1 + 3) = (\lfloor 0 \rfloor_N, 1)$   
**by**  $(metis\ add.assoc)$   
**have**  $tpsL1\ t!\ (j2 + (1 + 4)) = (\lfloor 0 \rfloor_N, 1)$   
**using**  $tpsL1-def\ jk\ tps0$  **by**  $simp$   
**then show**  $tpsL1\ t!\ (j2 + 1 + 4) = (\lfloor 0 \rfloor_N, 1)$   
**by**  $(metis\ add.assoc)$   
**have**  $tpsL2\ t = (tpsL1\ t)$   
 $[j2 + (1 + 1) := (\lfloor \square \rfloor_{NL}, 1),$   
 $j2 + (1 + 2) := (\lfloor clause-n\ (map\ (rename\ \sigma)\ (n-clause\ (n\varphi!\ t))) \rfloor_{NL}, 1)]$   
**using**  $jk\ tps0\ tpsL1-def\ tpsL2-def$  **by**  $(simp\ add:\ numeral-3-eq-3)$   
**then show**  $tpsL2\ t = (tpsL1\ t)$   
 $[j2 + 1 + 1 := (\lfloor \square \rfloor_{NL}, 1),$   
 $j2 + 1 + 2 := (\lfloor clause-n\ (map\ (rename\ \sigma)\ (n-clause\ (n\varphi!\ t))) \rfloor_{NL}, 1)]$   
**by**  $(metis\ add.assoc)$   
**show**  $t\ t\ t = 12 + 2 * nlength\ (n\varphi!\ t) +$   
 $(11 + 64 * nlength\ (n\varphi!\ t) * (3 + (nlength\ \sigma)^2))$   
**using**  $assms(1)$  **by**  $simp$   
**qed**

**definition**  $tpsL3 :: nat \Rightarrow tape\ list$  **where**

$tpsL3\ t \equiv tps0$   
 $[j1 := nlltape'\ n\varphi\ (Suc\ t),$   
 $j2 := nlltape$   
 $(formula-n\ (take\ t\ (relabel\ \sigma\ \varphi))\ @\ [clause-n\ (map\ (rename\ \sigma)\ (n-clause\ (n\varphi!\ t))])],$   
 $j2 + 2 := (\lfloor \square \rfloor_{NL}, 1),$   
 $j2 + 3 := (\lfloor clause-n\ (map\ (rename\ \sigma)\ (n-clause\ (n\varphi!\ t))) \rfloor_{NL}, 1)]$

**lemma**  $tmL3$  [*transforms-intros*]:

**assumes**  $t\ t\ t = 29 + 2 * nlength\ (n\varphi!\ t) +$   
 $64 * nlength\ (n\varphi!\ t) * (3 + (nlength\ \sigma)^2) +$   
 $2 * nlength\ (clause-n\ (map\ (rename\ \sigma)\ (n-clause\ (n\varphi!\ t))))$   
**and**  $t < length\ \varphi$   
**shows**  $transforms\ tmL3\ (tpsL\ t)\ t\ t\ t\ (tpsL3\ t)$   
**unfolding**  $tmL3-def$   
**proof** (*tform*  $tps:\ assms(2)\ tps0\ tpsL2-def\ jk$ )  
**show**  $tpsL3\ t = (tpsL2\ t)$   
 $[j2 := nlltape\ (formula-n\ (take\ t\ (relabel\ \sigma\ \varphi))\ @\ [clause-n\ (map\ (rename\ \sigma)\ (n-clause\ (n\varphi!\ t))])]$   
**unfolding**  $tpsL3-def\ tpsL2-def$  **by**  $(simp\ add:\ list-update-swap-less[of\ j2])$   
**show**  $t\ t\ t = 23 + 2 * nlength\ (n\varphi!\ t) + 64 * nlength\ (n\varphi!\ t) * (3 + (nlength\ \sigma)^2) +$   
 $(7 + nlength\ (formula-n\ (take\ t\ (relabel\ \sigma\ \varphi))) - Suc\ (nlllength\ (formula-n\ (take\ t\ (relabel\ \sigma\ \varphi)))) +$   
 $2 * nlength\ (clause-n\ (map\ (rename\ \sigma)\ (n-clause\ (n\varphi!\ t))))$   
**using**  $assms(1)$  **by**  $simp$   
**qed**

**definition**  $tpsL4 :: nat \Rightarrow tape\ list$  **where**

$tpsL4\ t \equiv tps0$   
 $[j1 := nlltape'\ n\varphi\ (Suc\ t),$   
 $j2 := nlltape$   
 $(formula-n\ (take\ t\ (relabel\ \sigma\ \varphi))\ @\ [clause-n\ (map\ (rename\ \sigma)\ (n-clause\ (n\varphi!\ t))])],$   
 $j2 + 2 := (\lfloor \square \rfloor_{NL}, 1)]$

**lemma**  $tmL4$ :

**assumes**  $t\ t\ t = 36 + 2 * nlength\ (n\varphi!\ t) +$   
 $64 * nlength\ (n\varphi!\ t) * (3 + (nlength\ \sigma)^2) +$   
 $4 * nlength\ (clause-n\ (map\ (rename\ \sigma)\ (n-clause\ (n\varphi!\ t))))$   
**and**  $t < length\ \varphi$   
**shows**  $transforms\ tmL4\ (tpsL\ t)\ t\ t\ t\ (tpsL4\ t)$   
**unfolding**  $tmL4-def$   
**proof** (*tform*  $tps:\ assms(2)\ tps0\ tpsL3-def\ jk$ )  
**let**  $?zs = numlist\ (clause-n\ (map\ (rename\ \sigma)\ (n-clause\ (n\varphi!\ t))))$   
**show**  $tpsL3\ t ::: (j2 + 3) = \lfloor ?zs \rfloor$

```

  using tpsL3-def nlcontents-def jk by simp
show proper-symbols ?zs
  using proper-symbols-numlist by simp
have *:  $j1 \neq j2 + 3$ 
  using jk by simp
have  $[\ ] = [\ ]_{NL}$ 
  using nlcontents-def numlist-Nil by simp
then show tpsL4 t = (tpsL3 t)[j2 + 3 := ([\ ], 1)]
  using tpsL3-def tpsL4-def tps0 jk list-update-id[of tps0 j2+3]
  by (simp add: list-update-swap[OF *] list-update-swap[of - j2 + 3])
show ttt =  $29 + 2 * nlength (n\varphi ! t) + 64 * nlength (n\varphi ! t) * (3 + (nlength \sigma)^2) +$ 
   $2 * nlength (clause-n (map (rename \sigma) (n-clause (n\varphi ! t)))) +$ 
   $(tpsL3 t :\# : (j2 + 3) + 2 * length (numlist (clause-n (map (rename \sigma) (n-clause (n\varphi ! t))))) + 6)$ 
  using assms(1) tpsL3-def jk nlength-def by simp
qed

```

```

lemma nlength-1:
  assumes  $t < length \varphi$ 
  shows  $nlength (n\varphi ! t) \leq nlength n\varphi$ 
  using assms formula-n-def nlength-take by (metis le-less-linear length-map less-trans not-add-less2)

```

```

lemma nlength-2:
  assumes  $t < length \varphi$ 
  shows  $nlength (clause-n (map (rename \sigma) (n-clause (n\varphi ! t)))) \leq nlength (formula-n (relabel \sigma \varphi))$ 
  (is ?l  $\leq$  ?r)
proof -
  have ?l =  $nlength (clause-n (map (rename \sigma) (\varphi ! t)))$ 
    using assms by (simp add: formula-n-def n-clause-n)
  moreover have  $clause-n (map (rename \sigma) (\varphi ! t)) \in set (formula-n (relabel \sigma \varphi))$ 
    using assms formula-n-def relabel-def by simp
  ultimately show ?thesis
    using member-le-nlength-1 by fastforce
qed

```

```

definition tpsL4' t  $\equiv$  tps0
  [j1 := nlltape' n\varphi (Suc t),
   j2 := nlltape (formula-n (take (Suc t) (relabel \sigma \varphi)))]

```

```

lemma tpsL4:
  assumes  $t < length \varphi$ 
  shows  $tpsL4 t = tpsL4' t$ 
proof -
  have  $formula-n (take t (relabel \sigma \varphi)) @ [clause-n (map (rename \sigma) (n-clause (n\varphi ! t)))] =$ 
     $formula-n (take (Suc t) (relabel \sigma \varphi))$ 
    using assms formula-n-def relabel-def by (simp add: n-clause-n take-Suc-conv-app-nth)
  then show ?thesis
    using tpsL4-def tpsL4'-def jk tps0
    by (smt (verit, del-insts) Suc-1 add-Suc-right add-cancel-left-right less-SucI
        list-update-id list-update-swap not-less-eq numeral-1-eq-Suc-0 numeral-One)
qed

```

```

lemma tpsL4'-eq-tpsL:  $tpsL4' t = tpsL (Suc t)$ 
  using tpsL-def tpsL4'-def by simp

```

```

lemma tmL4' [transforms-intros]:
  assumes  $ttt = 36 + 65 * nlength n\varphi * (3 + (nlength \sigma)^2) + 4 * nlength (formula-n (relabel \sigma \varphi))$ 
  and  $t < length \varphi$ 
  shows  $transforms tmL4 (tpsL t) ttt (tpsL (Suc t))$ 
proof -
  let ?ttt =  $36 + 2 * nlength (n\varphi ! t) +$ 
     $64 * nlength (n\varphi ! t) * (3 + (nlength \sigma)^2) +$ 
     $4 * nlength (clause-n (map (rename \sigma) (n-clause (n\varphi ! t))))$ 
  have ?ttt  $\leq 36 + 2 * nlength n\varphi +$ 

```

```

    64 * nllength nφ * (3 + (nllength σ)2) +
    4 * nllength (clause-n (map (rename σ) (n-clause (nφ ! t))))
  using nllength-1 assms(2) add-le-mono add-le-mono1 mult-le-mono1 mult-le-mono2 nat-add-left-cancel-le
  by metis
  also have ... ≤ 36 + 2 * nllength nφ +
    64 * nllength nφ * (3 + (nllength σ)2) +
    4 * nllength (formula-n (relabel σ φ))
  using nllength-2 assms(2) by simp
  also have ... ≤ 36 + 65 * nllength nφ * (3 + (nllength σ)2) + 4 * nllength (formula-n (relabel σ φ))
  by simp
  finally have ?ttt ≤ 36 + 65 * nllength nφ * (3 + (nllength σ)2) + 4 * nllength (formula-n (relabel σ φ)) .
  then have transforms tmL4 (tpsL t) ttt (tpsL4 t)
  using assms tmL4 transforms-monotone by blast
  then show ?thesis
  using assms(2) tpsL4'-eq-tpsL tpsL4 by simp
qed

```

lemma tmL:

```

  assumes ttt = length φ * (38 + 65 * nllength nφ * (3 + (nllength σ)2) + 4 * nllength (formula-n (relabel
  σ φ))) + 1
  shows transforms tmL (tpsL 0) ttt (tpsL (length φ))
  unfolding tmL-def
  proof (tform)
  let ?t = 36 + 65 * nllength nφ * (3 + (nllength σ)2) + 4 * nllength (formula-n (relabel σ φ))
  show ¬ read (tpsL (length φ)) ! j1 ≠ □
  proof -
  have tpsL (length φ) ! j1 = nlltape' nφ (length nφ)
  using tpsL-def jk formula-n-def by simp
  then show ?thesis
  using nlltape'-tape-read[of nφ length nφ] tapes-at-read'[of j1 tpsL (length φ)] tpsL-def jk
  by simp
  qed
  show read (tpsL t) ! j1 ≠ □ if t < length φ for t
  proof -
  have tpsL t ! j1 = nlltape' nφ t
  using tpsL-def jk by simp
  then show ?thesis
  using that formula-n-def nlltape'-tape-read[of nφ t] tapes-at-read'[of j1 tpsL t] tpsL-def jk
  by simp
  qed
  show length φ * (?t + 2) + 1 ≤ ttt
  using assms(1) by simp
qed

```

lemma tmL' [transforms-intros]:

```

  assumes ttt = 107 * nllength nφ ^ 2 * (3 + nllength σ ^ 2) + 1
  shows transforms tmL (tpsL 0) ttt (tpsL (length φ))
  proof -
  let ?ttt = length φ * (38 + 65 * nllength nφ * (3 + (nllength σ)2) + 4 * nllength (formula-n (relabel σ φ)))
  + 1
  have ?ttt ≤ length φ * (38 + 65 * nllength nφ * (3 + (nllength σ)2) + 4 * (Suc (nllength σ) * nllength
  nφ)) + 1
  using nllength-relabel-variables variables by fastforce
  also have ... ≤ length φ * (38 + 65 * nllength nφ * (3 + (nllength σ)2) + 4 * ((3 + nllength σ) * nllength
  nφ)) + 1
  proof -
  have Suc (nllength σ) ≤ 3 + nllength σ
  by simp
  then show ?thesis
  using add-le-mono le-refl mult-le-mono by presburger
  qed
  also have ... ≤ length φ * (38 + 65 * nllength nφ * (3 + (nllength σ)2) + 4 * ((3 + nllength σ ^ 2) *
  nllength nφ)) + 1

```

using *linear-le-pow* by *simp*  
 also have ... =  $\text{length } \varphi * (38 + 69 * \text{nllength } n\varphi * (3 + (\text{nllength } \sigma)^2)) + 1$   
 by *simp*  
 also have ...  $\leq \text{nllength } n\varphi * (38 + 69 * \text{nllength } n\varphi * (3 + (\text{nllength } \sigma)^2)) + 1$   
 using *length-le-nllength formula-n-def* by (*metis add-mono-thms-linordered-semiring(3) length-map mult-le-mono1*)  
 also have ... =  $38 * \text{nllength } n\varphi + (69 * \text{nllength } n\varphi ^ 2 * (3 + (\text{nllength } \sigma)^2)) + 1$   
 by *algebra*  
 also have ...  $\leq 38 * \text{nllength } n\varphi ^ 2 * (3 + \text{nllength } \sigma ^ 2) + (69 * \text{nllength } n\varphi ^ 2 * (3 + (\text{nllength } \sigma)^2))$   
 + 1  
 proof -  
 have  $\text{nllength } n\varphi \leq \text{nllength } n\varphi ^ 2 * (3 + \text{nllength } \sigma ^ 2)$   
 using *linear-le-pow* by (*metis One-nat-def Suc-leI add-gr-0 mult-le-mono nat-mult-1-right zero-less-numeral*)  
 then show ?thesis  
 by *simp*  
 qed  
 also have ... =  $107 * \text{nllength } n\varphi ^ 2 * (3 + \text{nllength } \sigma ^ 2) + 1$   
 by *simp*  
 finally have ?ttt  $\leq 107 * \text{nllength } n\varphi ^ 2 * (3 + \text{nllength } \sigma ^ 2) + 1$  .  
 then show ?thesis  
 using *tmL assms transforms-monotone* by *blast*  
 qed

**definition** *tps1* :: *tape list* where

*tps1*  $\equiv$  *tps0*  
 [j1 := *nlltape'*  $n\varphi$  (*length*  $\varphi$ ),  
 j2 := *nlltape* (*formula-n* (*relabel*  $\sigma$   $\varphi$ ))]

**lemma** *tps1-eq-tpsL*: *tps1* = *tpsL* (*length*  $\varphi$ )  
 using *tps1-def tpsL-def jk tps0 relabel-def* by *simp*

**definition** *tps2*  $\equiv$  *tps0*

[j2 := *nlltape* (*formula-n* (*relabel*  $\sigma$   $\varphi$ ))]

**lemma** *tm2* [*transforms-intros*]:

assumes *ttt* =  $\text{Suc } (107 * (\text{nllength } n\varphi)^2 * (3 + (\text{nllength } \sigma)^2)) +$   
 $\text{Suc } (\text{Suc } (\text{Suc } (\text{nllength } n\varphi)))$

shows *transforms tm2* (*tpsL* 0) *ttt tps2*

unfolding *tm2-def*

**proof** (*tform tps: tps0 tpsL-def tps1-def jk*)

have \*: *tpsL* (*length*  $\varphi$ ) ! *j1* = *nlltape'*  $n\varphi$  (*length*  $\varphi$ )

using *tpsL-def jk* by *simp*

then show *clean-tape* (*tpsL* (*length*  $\varphi$ ) ! *j1*)

using *clean-tape-nllcontents* by *simp*

have *tpsL* (*length*  $\varphi$ ) ! *j1* |#|= 1 = *nlltape'*  $n\varphi$  0

using \* by *simp*

then show *tps2* = (*tpsL* (*length*  $\varphi$ ))[*j1* := *tpsL* (*length*  $\varphi$ ) ! *j1* |#|= 1]

using *tps0 jk tps2-def tps1-eq-tpsL tps1-def*

by (*metis* (*no-types, lifting*) *One-nat-def list-update-id list-update-overwrite list-update-swap-less nllength-Nil take0*)

show *ttt* =  $107 * (\text{nllength } n\varphi)^2 * (3 + (\text{nllength } \sigma)^2) + 1 +$

(*tpsL* (*length*  $\varphi$ ) :# : *j1* + 2)

using *assms tpsL-def jk formula-n-def* by *simp*

qed

**definition** *tps3*  $\equiv$  *tps0*

[j2 := *nlltape'* (*formula-n* (*relabel*  $\sigma$   $\varphi$ )) 0]

**lemma** *tm3*:

assumes *ttt* =  $7 + (107 * (\text{nllength } n\varphi)^2 * (3 + (\text{nllength } \sigma)^2)) +$   
 $\text{nllength } n\varphi + \text{nllength } (\text{formula-n } (\text{relabel } \sigma \varphi))$

shows *transforms tm3* (*tpsL* 0) *ttt tps3*

unfolding *tm3-def*

**proof** (*tform tps: assms tps0 tps2-def tps3-def jk*)

**show** *clean-tape* (*tps2* ! *j2*)  
**using** *tps2-def* *jk* *clean-tape-nllcontents* **by** *simp*  
**qed**

**lemma** *tm3'* [*transforms-intros*]:

**assumes**  $ttt = 7 + (108 * (nllength\ n\varphi)^2 * (3 + (nllength\ \sigma)^2))$   
**shows** *transforms* *tm3* *tps0* *t* *tps3*

**proof** –

**let**  $?t = 7 + (107 * (nllength\ n\varphi)^2 * (3 + (nllength\ \sigma)^2)) +$   
 $nllength\ n\varphi + nllength\ (formula-n\ (relabel\ \sigma\ \varphi))$

**have**  $?t \leq 7 + (107 * (nllength\ n\varphi)^2 * (3 + (nllength\ \sigma)^2)) +$   
 $nllength\ n\varphi + Suc\ (nllength\ \sigma) * nllength\ n\varphi$

**using** *variables* *nllength-relabel-variables* **by** *simp*

**also have**  $\dots = 7 + (107 * (nllength\ n\varphi)^2 * (3 + (nllength\ \sigma)^2)) +$   
 $(2 + nllength\ \sigma) * nllength\ n\varphi$

**by** *simp*

**also have**  $\dots \leq 7 + (107 * (nllength\ n\varphi)^2 * (3 + (nllength\ \sigma)^2)) +$   
 $(2 + nllength\ \sigma \wedge 2) * nllength\ n\varphi$

**using** *linear-le-pow* **by** *simp*

**also have**  $\dots \leq 7 + (107 * (nllength\ n\varphi)^2 * (3 + (nllength\ \sigma)^2)) + (3 + nllength\ \sigma \wedge 2) * nllength\ n\varphi$

**by** (*metis* *add-2-eq-Suc* *add-Suc-shift* *add-mono-thms-linordered-semiring*(2) *le-add2* *mult.commute* *mult-le-mono2* *numeral-3-eq-3*)

**also have**  $\dots \leq 7 + (107 * (nllength\ n\varphi)^2 * (3 + (nllength\ \sigma)^2)) +$   
 $(3 + nllength\ \sigma \wedge 2) * nllength\ n\varphi \wedge 2$

**using** *linear-le-pow* **by** *simp*

**also have**  $\dots = 7 + (108 * (nllength\ n\varphi)^2 * (3 + (nllength\ \sigma)^2))$

**by** *simp*

**finally have**  $?t \leq 7 + (108 * (nllength\ n\varphi)^2 * (3 + (nllength\ \sigma)^2))$  .

**then show** *?thesis*

**using** *tm3* *assms* *tpsL-eq-tps0* *transforms-monotone* **by** *simp*

**qed**

**end**

**end**

**lemma** *transforms-tm-relabelI* [*transforms-intros*]:

**fixes** *j1* *j2* :: *tapeidx*

**fixes** *tps* *tps'* :: *tape list* **and** *t* *k* :: *nat* **and**  $\sigma$  :: *nat list* **and**  $\varphi$  :: *formula*

**assumes**  $0 < j1$  **and**  $j1 < j2$  **and**  $j2 + 5 < k$  **and**  $length\ tps = k$

**and** *variables*  $\varphi \subseteq \{..<length\ \sigma\}$

**assumes**

$tps\ !\ j1 = ([formula-n\ \varphi]_{NLL}, 1)$

$tps\ !\ j2 = ([\ ]_{NLL}, 1)$

$tps\ !\ (j2 + 1) = ([\sigma]_{NL}, 1)$

$tps\ !\ (j2 + 2) = ([\ ]_{NL}, 1)$

$tps\ !\ (j2 + 3) = ([\ ]_{NL}, 1)$

$tps\ !\ (j2 + 4) = ([\ ]_N, 1)$

$tps\ !\ (j2 + 5) = ([\ ]_N, 1)$

**assumes**  $tps' = tps$

$[j2 := nlltape'\ (formula-n\ (relabel\ \sigma\ \varphi))\ 0]$

**assumes**  $ttt = 7 + (108 * (nllength\ (formula-n\ \varphi))^2 * (3 + (nllength\ \sigma)^2))$

**shows** *transforms* (*tm-relabel* *j1* *j2*) *tps* *t* *tps'*

**proof** –

**interpret** *loc*: *turing-machine-relabel* *j1* *j2* .

**show** *?thesis*

**using** *assms* *loc.tm3-eq-tm-relabel* *loc.tm3'* *loc.tps3-def* **by** *simp*

**qed**

## 7.3 Listing the head positions of a Turing machine

The formulas  $\chi_t$  used for  $\Phi_9$  require the functions *inputpos* and *prev*, or more precisely the substitutions  $\varrho_t$  and  $\varrho'_t$  do.

In this section we build a TM that simulates a two-tape TM  $M$  on some input until it halts. During the simulation it creates two lists: one with the sequence of head positions on  $M$ 's input tape and one with the sequence of head positions on  $M$ 's output tape. The first list directly provides *inputpos*; the second list allows the computation of *prev* using the TM *tm-prev*.

### 7.3.1 Simulating and logging head movements

At the core of the simulation is the following Turing command. It interprets the tapes  $j + 7$  and  $j + 8$  as input tape and output tape of a two-tape Turing machine  $M$  and the symbol in the first cell of tape  $j + 6$  as the state of  $M$ . It then applies the actions of  $M$  in this configuration to the tapes  $j + 7$  and  $j + 8$  and adapts the state on tape  $j + 6$  accordingly. On top of that it writes ("logs") to tape  $j$  the direction in which  $M$ 's input tape head has moved and to tape  $j + 3$  the direction in which  $M$ 's work tape head has moved.

The head movement directions are encoded by the symbols  $\square$ ,  $\triangleright$ , and  $\mathbf{0}$  for Left, Stay, and Right, respectively.

The command is parameterized by the TM  $M$  and its alphabet size  $G$  (and as usual the tape index  $j$ ). The command does nothing if the state on tape  $j + 6$  is the halting state or if the symbol read from the simulated tape  $j + 7$  or  $j + 8$  is outside the alphabet  $G$ .

**definition** *direction-to-symbol* :: *direction*  $\Rightarrow$  *symbol* **where**  
*direction-to-symbol*  $d \equiv (\text{case } d \text{ of Left} \Rightarrow \square \mid \text{Stay} \Rightarrow \triangleright \mid \text{Right} \Rightarrow \mathbf{0})$

**lemma** *direction-to-symbol-less*: *direction-to-symbol*  $d < 3$   
**using** *direction-to-symbol-def* **by** (*cases*  $d$ ) *simp-all*

**definition** *cmd-simulog* :: *nat*  $\Rightarrow$  *machine*  $\Rightarrow$  *tapeidx*  $\Rightarrow$  *command* **where**  
*cmd-simulog*  $G M j rs \equiv$   
 (1,  
 if  $rs ! (j + 6) \geq \text{length } M \vee rs ! (j + 7) \geq G \vee rs ! (j + 8) \geq G$   
 then *map* ( $\lambda z. (z, \text{Stay})$ )  $rs$   
 else  
   *map* ( $\lambda i. \text{let } sas = (M ! (rs ! (j + 6))) [rs ! (j + 7), rs ! (j + 8)] \text{ in}$   
     if  $i = j$  then (*direction-to-symbol* ( $sas [\sim] 0$ ), *Stay*)  
     else if  $i = j + 3$  then (*direction-to-symbol* ( $sas [\sim] 1$ ), *Stay*)  
     else if  $i = j + 6$  then (*fst*  $sas$ , *Stay*)  
     else if  $i = j + 7$  then  $sas [!] 0$   
     else if  $i = j + 8$  then  $sas [!] 1$   
     else ( $rs ! i$ , *Stay*)  
   [0..*length*  $rs$ ])

**lemma** *turing-command-cmd-simulog*:

**fixes**  $G H :: \text{nat}$

**assumes** *turing-machine* 2  $G M$  **and**  $k \geq j + 9$  **and**  $j > 0$  **and**  $H \geq \text{Suc } (\text{length } M)$  **and**  $H \geq G$

**shows** *turing-command*  $k$  1  $H$  (*cmd-simulog*  $G M j$ )

**proof**

**show**  $\bigwedge gs. \text{length } gs = k \implies \text{length } ([!] \text{cmd-simulog } G M j gs) = \text{length } gs$

**using** *cmd-simulog-def* **by** *simp*

**have**  $G: H \geq 4$

**using** *assms*(1,5) *turing-machine-def* **by** *simp*

**show** *cmd-simulog*  $G M j rs [.] i < H$

**if**  $\text{length } rs = k$  **and** ( $\bigwedge i. i < \text{length } rs \implies rs ! i < H$ ) **and**  $i < \text{length } rs$

**for**  $rs i$

**proof** (*cases*  $rs ! (j + 6) \geq \text{length } M \vee rs ! (j + 7) \geq G \vee rs ! (j + 8) \geq G$ )

**case** *True*

**then show** *?thesis*

**using** *assms* *that* *cmd-simulog-def* **by** *simp*

```

next
  case False
  then have inbounds:  $rs ! (j + 6) < \text{length } M$ 
    by simp
  let  $?cmd = M ! (rs ! (j + 6))$ 
  let  $?gs = [rs ! (j + 7), rs ! (j + 8)]$ 
  let  $?sas = ?cmd ?gs$ 
  have lensas:  $\text{length } (\text{snd } ?sas) = 2$ 
    using assms(1) inbounds turing-commandD(1)
    by (metis length-Cons list.size(3) numeral-2-eq-2 turing-machineD(3))
  consider
     $i = j$ 
  |  $i = j + 3$ 
  |  $i = j + 6$ 
  |  $i = j + 7$ 
  |  $i = j + 8$ 
  |  $i \neq j \wedge i \neq j + 3 \wedge i \neq j + 6 \wedge i \neq j + 7 \wedge i \neq j + 8$ 
  by linarith
  then show ?thesis
  proof (cases)
  case 1
  then have cmd-simulog  $G M j rs [!]$   $i = (\text{direction-to-symbol } (?sas [~] 0), \text{Stay})$ 
    using cmd-simulog-def False that by simp
  then have cmd-simulog  $G M j rs [.]$   $i < 3$ 
    using direction-to-symbol-less by simp
  then show ?thesis
    using  $G$  by simp
  next
  case 2
  then have cmd-simulog  $G M j rs [!]$   $i = (\text{direction-to-symbol } (?sas [~] 1), \text{Stay})$ 
    using cmd-simulog-def False that by simp
  then have cmd-simulog  $G M j rs [.]$   $i < 3$ 
    using direction-to-symbol-less by simp
  then show ?thesis
    using  $G$  by simp
  next
  case 3
  then have cmd-simulog  $G M j rs [!]$   $i = (\text{fst } ?sas, \text{Stay})$ 
    using cmd-simulog-def False that by simp
  then have cmd-simulog  $G M j rs [.]$   $i \leq \text{length } M$ 
    using assms inbounds turing-commandD(4) turing-machineD(3)
    by (metis One-nat-def Suc-1 fst-conv length-Cons list.size(3))
  then show ?thesis
    using assms(4) by simp
  next
  case 4
  then have cmd-simulog  $G M j rs [!]$   $i = ?sas [!] 0$ 
    using cmd-simulog-def False that by simp
  then show ?thesis
    using 4 assms inbounds turing-machine-def that lensas turing-commandD(3)
    by (metis One-nat-def Suc-1 length-Cons list.size(3) nth-Cons-0 nth-mem zero-less-numeral)
  next
  case 5
  then have  $*$ : cmd-simulog  $G M j rs [!]$   $i = ?sas [!] 1$ 
    using cmd-simulog-def False that by simp
  have turing-command 2 ( $\text{length } M$ )  $G ?cmd$ 
    using assms(1) inbounds turing-machine-def by simp
  moreover have symbols-lt  $G ?gs$ 
    using False less-2-cases-iff numeral-2-eq-2 by simp
  ultimately have  $?sas [.] 1 < G$ 
    using turing-commandD(2) by simp
  then show ?thesis
    using assms * by simp

```

```

next
  case 6
  then have cmd-simulog G M j rs [!] i = (rs ! i, Stay)
  using cmd-simulog-def False that(3) by simp
  then show ?thesis
  using that by simp
qed
qed
show cmd-simulog G M j rs [.] 0 = rs ! 0 if length rs = k and 0 < k for rs
proof (cases rs ! (j + 6) ≥ length M ∨ rs ! (j + 7) ≥ G ∨ rs ! (j + 8) ≥ G)
  case True
  then show ?thesis
  using assms that cmd-simulog-def by simp
next
  case False
  then show ?thesis
  using assms that cmd-simulog-def by simp
qed
show ∧gs. length gs = k ⇒ [*] (cmd-simulog G M j gs) ≤ 1
using cmd-simulog-def by simp
qed

```

The logging Turing machine consists only of the logging command.

**definition**  $tm\text{-simulog} :: nat \Rightarrow machine \Rightarrow tapeidx \Rightarrow machine$  **where**  
 $tm\text{-simulog } G M j \equiv [cmd\text{-simulog } G M j]$

**lemma**  $tm\text{-simulog}\text{-tm}$ :

```

fixes H :: nat
assumes turing-machine 2 G M and k ≥ j + 9 and j > 0 and H ≥ Suc (length M) and H ≥ G
shows turing-machine k H (tm-simulog G M j)
using turing-command-cmd-simulog tm-simulog-def assms turing-machine-def by simp

```

**lemma**  $transforms\text{-tm}\text{-simulog}I$  [ $transforms\text{-intros}$ ]:

```

fixes G :: nat and M :: machine and j :: tapeidx
fixes k :: nat and tps tps' :: tape list and xs :: symbol list
assumes turing-machine 2 G M and k ≥ j + 9 and j > 0
  and symbols-lt G xs
  and cfg = execute M (start-config 2 xs) t and fst cfg < length M
  and length tps = k
assumes
  tps ! j = [dummy1]
  tps ! (j + 3) = [dummy2]
  tps ! (j + 6) = [fst cfg]
  tps ! (j + 7) = cfg <!> 0
  tps ! (j + 8) = cfg <!> 1
assumes tps' = tps

```

```

[j := [direction-to-symbol ((M ! (fst cfg)) (config-read cfg) [~] 0)],
 j + 3 := [direction-to-symbol ((M ! (fst cfg)) (config-read cfg) [~] 1)],
 j + 6 := [fst (execute M (start-config 2 xs) (Suc t))],
 j + 7 := execute M (start-config 2 xs) (Suc t) <!> 0,
 j + 8 := execute M (start-config 2 xs) (Suc t) <!> 1]

```

**shows**  $transforms (tm\text{-simulog } G M j) tps 1 tps'$

**proof** –

```

have sem (cmd-simulog G M j) (0, tps) = (1, tps^)
proof (rule semI)
  define H where H = max G (Suc (length M))
  then have H ≥ Suc (length M) H ≥ G
  by simp-all
  then show proper-command k (cmd-simulog G M j)
  using assms cmd-simulog-def by simp
  show length tps = k and length tps' = k
  using assms by simp-all
  show fst (cmd-simulog G M j (read tps)) = 1

```



```

using cmd-simulog-def sem' by simp

define rs where rs = read tps
then have lenrs: length rs = k
  by (simp add: assms rs-def read-length)
have rs6: rs ! (j + 6) = fst cfg
  using rs-def tapes-at-read'[of j + 6 tps] assms by simp
then have inbounds: rs ! (j + 6) < length M
  using assms by simp
have rs7: rs ! (j + 7) = cfg <.> 0
  using rs-def tapes-at-read'[of j + 7 tps] assms by simp
then have rs7-less: rs ! (j + 7) < G
  using assms tape-alphabet[OF assms(1,4)] by simp
have rs8: rs ! (j + 8) = cfg <.> 1
  using rs-def tapes-at-read'[of j + 8 tps] assms by simp
then have rs8-less: rs ! (j + 8) < G
  using assms tape-alphabet[OF assms(1,4)] by simp
let ?gs = [rs ! (j + 7), rs ! (j + 8)]
have gs: ?gs = config-read cfg
proof (rule nth-equalityI)
  show length [rs ! (j + 7), rs ! (j + 8)] = length (config-read cfg)
    using assms execute-num-tapes start-config-length read-length by simp
  then show [rs ! (j + 7), rs ! (j + 8)] ! i = config-read cfg ! i
    if i < length [rs ! (j + 7), rs ! (j + 8)] for i
    using assms that rs7 rs8 read-length tapes-at-read'
  by (metis One-nat-def length-Cons less-2-cases-iff list.size(3) nth-Cons-0 nth-Cons-Suc numeral-2-eq-2)
qed
let ?cmd = M ! (rs ! (j + 6))
let ?sas = ?cmd ?gs
have lensas: length (snd ?sas) = 2
  using assms(1) inbounds turing-commandD(1) turing-machine-def
  by (metis One-nat-def Suc-1 canrepr-1 list.size(4) nlength-1-simp nth-mem plus-1-eq-Suc)
have sas: ?sas = (M ! (fst cfg)) (config-read cfg)
  using rs6 gs by simp
have act (cmd-simulog G M j rs [!] i) (tps ! i) = tps' ! i if i < k for i
proof -
  have cmd-simulog G M j rs =
    (1, map (λi. let sas = (M ! (rs ! (j + 6))) [rs ! (j + 7), rs ! (j + 8)] in
      if i = j then (direction-to-symbol (sas [~] 0), Stay)
      else if i = j + 3 then (direction-to-symbol (sas [~] 1), Stay)
      else if i = j + 6 then (fst sas, Stay)
      else if i = j + 7 then sas [!] 0
      else if i = j + 8 then sas [!] 1
      else (rs ! i, Stay))
    [0..

```

```

proof (cases)
  case 1
  then have cmd-simulog  $G M j rs [!]$   $i = (\text{direction-to-symbol } (?sas [\sim] 0), \text{Stay})$ 
    using * by simp
  moreover have  $tps' ! i = [\text{direction-to-symbol } (?sas [\sim] 0)]$ 
    using 1 assms sas by simp
  ultimately show ?thesis
    using 1 act-onesie assms(8) by simp
  next
  case 2
  then have cmd-simulog  $G M j rs [!]$   $i = (\text{direction-to-symbol } (?sas [\sim] 1), \text{Stay})$ 
    using * by simp
  moreover have  $tps' ! i = [\text{direction-to-symbol } (?sas [\sim] 1)]$ 
    using 2 assms sas by simp
  ultimately show ?thesis
    using 2 act-onesie assms by simp
  next
  case 3
  then have cmd-simulog  $G M j rs [!]$   $i = (\text{fst } ?sas, \text{Stay})$ 
    using * by simp
  moreover have  $tps' ! i = [\text{fst } (\text{execute } M (\text{start-config } 2 \text{ xs}) (\text{Suc } t))]$ 
    using 3 assms by simp
  ultimately show ?thesis
    using 3 act-onesie assms by (metis exe-lt-length execute.simps(2) sas sem-fst)
  next
  case 4
  then have cmd-simulog  $G M j rs [!]$   $i = ?sas [!] 0$ 
    using * by simp
  moreover have  $tps' ! i = \text{execute } M (\text{start-config } 2 \text{ xs}) (\text{Suc } t) <!\> 0$ 
    using 4 assms by simp
  moreover have proper-command 2 ( $M ! (rs ! (j + 6))$ )
    using assms(1,6) rs6 turing-machine-def turing-commandD(1) turing-machineD by metis
  ultimately show ?thesis
    using 4 assms(1,11,5,6) exe-lt-length gs read-length rs6 sem-snd turing-machine-def
    by (metis execute.simps(2) length-Cons list.size(3) numeral-2-eq-2 zero-less-numeral)
  next
  case 5
  then have cmd-simulog  $G M j rs [!]$   $i = ?sas [!] 1$ 
    using * by simp
  moreover have  $tps' ! i = \text{execute } M (\text{start-config } 2 \text{ xs}) (\text{Suc } t) <!\> 1$ 
    using 5 assms by simp
  moreover have proper-command 2 ( $M ! (rs ! (j + 6))$ )
    using assms(1,6) rs6 turing-machineD turing-commandD(1) by metis
  ultimately show ?thesis
    using 5 assms(1,12,5,6) exe-lt-length gs read-length rs6 sem-snd turing-machine-def
    by (metis One-nat-def execute.simps(2) length-Cons less-2-cases-iff list.size(3) numeral-2-eq-2)
  next
  case 6
  then have cmd-simulog  $G M j rs [!]$   $i = (rs ! i, \text{Stay})$ 
    using * by simp
  moreover have  $tps' ! i = tps ! i$ 
    using 6 assms(13) by simp
  ultimately show ?thesis
    using 6 assms act-Stay rs-def that by metis
  qed
qed
then show act (cmd-simulog  $G M j$  (read tps) [!]  $i$ ) ( $tps ! i$ ) =  $tps' ! i$  if  $i < k$  for  $i$ 
  using that rs-def by simp
qed
moreover have execute (tm-simulog  $G M j$ ) ( $0, tps$ ) 1 = sem (cmd-simulog  $G M j$ ) ( $0, tps$ )
  using tm-simulog-def by (simp add: exe-lt-length)
ultimately have execute (tm-simulog  $G M j$ ) ( $0, tps$ ) 1 = ( $1, tps'$ )
by simp

```

```

then show ?thesis
using transforms-def transits-def tm-simulog-def by auto
qed

```

### 7.3.2 Adjusting head position counters

The Turing machine *tm-simulog* logs the head movements, but what we need is a list of all the head positions during the execution of *M*. The next TM maintains a number for a head position and adjusts it based on a head movement symbol as provided by *tm-simulog*.

More precisely, the next Turing machine accepts on tape *j* a symbol encoding a direction, on tape *j* + 1 a number representing a head position, and on tape *j* + 2 a list of numbers. Depending on the symbol on tape *j* it decreases, increases or leaves unchanged the number on tape *j* + 1. Then it appends this adjusted number to the list on tape *j* + 2.

**definition** *tm-adjust-headpos* :: *nat* ⇒ *machine* **where**

```

tm-adjust-headpos j ≡
  IF λrs. rs ! j = □ THEN
    tm-decr (j + 1)
  ELSE
    IF λrs. rs ! j = 0 THEN
      tm-incr (j + 1)
    ELSE
      []
    ENDIF
  ENDIF ;;
tm-append (j + 2) (j + 1)

```

**lemma** *tm-adjust-headpos-tm*:

**assumes**  $G \geq 5$  **and**  $j + 2 < k$

**shows** *turing-machine* *k* *G* (*tm-adjust-headpos* *j*)

**unfolding** *tm-adjust-headpos-def*

**using** *assms* *turing-machine-branch-turing-machine* *tm-decr-tm* *tm-incr-tm* *tm-append-tm* *Nil-tm* *turing-machine-sequential-turing*  
**by** *simp*

**locale** *turing-machine-adjust-headpos* =

**fixes** *j* :: *tapeidx*

**begin**

**definition** *tm1* ≡ IF λrs. rs ! j = 0 THEN *tm-incr* (j + 1) ELSE [] ENDIF

**definition** *tm2* ≡ IF λrs. rs ! j = □ THEN *tm-decr* (j + 1) ELSE *tm1* ENDIF

**definition** *tm3* ≡ *tm2* ;; *tm-append* (j + 2) (j + 1)

**lemma** *tm3-eq-tm-adjust-headpos*: *tm3* = *tm-adjust-headpos* *j*

**unfolding** *tm1-def* *tm2-def* *tm3-def* *tm-adjust-headpos-def* **by** *simp*

**context**

**fixes** *tps* :: *tape list* **and** *jj* :: *tapeidx* **and** *k* *t* :: *nat* **and** *xs* :: *symbol list*

**fixes** *M* :: *machine*

**fixes** *G* *cfg*

**assumes** *jk*: *length* *tps* = *k*  $k \geq j + 3$   $jj < 2$

**assumes** *M*: *turing-machine* 2 *G* *M*

**assumes** *xs*: *symbols-lt* *G* *xs*

**assumes** *cfg*: *cfg* = *execute* *M* (*start-config* 2 *xs*) *t* *fst* *cfg* < *length* *M*

**assumes** *tps0*:

*tps* ! *j* = [ *direction-to-symbol* ((*M* ! (*fst* *cfg*)) (*config-read* *cfg*) [~] *jj*)]

*tps* ! (*j* + 1) = ([*cfg* <#> *jj*]<sub>*N*</sub>, 1)

*tps* ! (*j* + 2) = *nltape* (*map* (λ*t*. (*execute* *M* (*start-config* 2 *xs*) *t* <#> *jj*)) [0..*Suc* *t*])

**begin**

**lemma** *k-ge-2*: 2 ≤ *k*

**using** *jk* **by** *simp*

**abbreviation** *exc* :: *symbol list* ⇒ *nat* ⇒ *config* **where**

*exc y tt*  $\equiv$  *execute M (start-config 2 y) tt*

**lemma** *read-tps-j*: *read tps ! j = direction-to-symbol ((M ! (fst cfg)) (config-read cfg) [~] jj)*  
**using** *tps0 onesie-read jk tapes-at-read'*  
**by** (*metis less-add-same-cancel1 less-le-trans zero-less-numeral*)

**lemma** *write-symbol*:

$\exists v.$  *execute M (start-config 2 xs) (Suc t) <!> jj = act (v, (M ! (fst cfg)) (config-read cfg) [~] jj) (cfg <!> jj)*

**proof** –

**let** *?d = (M ! (fst cfg)) (config-read cfg) [~] jj*  
**obtain** *v where v: (M ! (fst cfg)) (config-read cfg) [!] jj = (v, ?d)*  
**by** (*simp add: prod-eq-iff*)  
**have** *execute M (start-config 2 xs) (Suc t) <!> jj = exe M cfg <!> jj*  
**using** *cfg(1) by simp*  
**also have**  $\dots = \text{sem } (M ! (fst \text{cfg})) \text{ cfg } <!> \text{jj}$   
**by** (*simp add: cfg(2) exe-lt-length*)  
**also have**  $\dots = \text{act } ((M ! (fst \text{cfg})) (\text{config-read } \text{cfg}) [!] \text{jj}) (\text{cfg } <!> \text{jj})$   
**using** *sem-snd-tm M cfg execute-num-tapes start-config-length jk*  
**by** (*metis (no-types, lifting) numeral-2-eq-2 prod.exhaust-sel zero-less-Suc*)  
**also have**  $\dots = \text{act } (v, ?d) (\text{cfg } <!> \text{jj})$   
**using** *v by simp*  
**finally have**  $*$ : *execute M (start-config 2 xs) (Suc t) <!> jj = act (v, ?d) (cfg <!> jj) .*  
**then show** *?thesis*  
**by** *auto*  
**qed**

**lemma** *tm1 [transforms-intros]*:

**assumes**  $\text{tnt} = 7 + 2 * \text{nlength } (\text{cfg } <\#\> \text{jj})$   
**and**  $(M ! (fst \text{cfg})) (\text{config-read } \text{cfg}) [\sim] \text{jj} \neq \text{Left}$   
**and**  $\text{tps}' = \text{tps}[j + 1] := (\lfloor \text{execute } M (\text{start-config } 2 \text{xs}) (\text{Suc } t) <\#\> \text{jj} \rfloor_N, 1)$   
**shows** *transforms tm1 tps tnt tps'*  
**unfolding** *tm1-def*

**proof** (*tform*)

**let** *?d = (M ! (fst cfg)) (config-read cfg) [~] jj*  
**obtain** *v where v: execute M (start-config 2 xs) (Suc t) <!> jj = act (v, ?d) (cfg <!> jj)*  
**using** *write-symbol by auto*

{ **assume** *read tps ! j = 2*  
**then have** *?d = Right*  
**using** *read-tps-j assms(2) direction-to-symbol-def by (cases ?d) simp-all*  
**show**  $j + 1 < \text{length } \text{tps}$   
**using** *jk by simp*  
**show**  $\text{tps} ! (j + 1) = (\lfloor \text{cfg } <\#\> \text{jj} \rfloor_N, 1)$   
**using** *tps0 by simp-all*  
**show**  $\text{tps}' = \text{tps}[j + 1] := (\lfloor \text{Suc } (\text{cfg } <\#\> \text{jj}) \rfloor_N, 1)$   
**proof** –  
**have** *execute M (start-config 2 xs) (Suc t) <!> jj = cfg <!> jj |:=| v | + | 1*  
**using** *v <?d = Right> act-Right' by simp*  
**then have** *execute M (start-config 2 xs) (Suc t) <\#\> jj = cfg <\#\> jj + 1*  
**by** *simp*  
**then show** *?thesis*  
**using** *assms(3) by simp*

**qed**

}  
{ **assume** *read tps ! j  $\neq$  2*  
**then have** *?d = Stay*  
**using** *read-tps-j assms(2) direction-to-symbol-def by (cases ?d) simp-all*  
**then have** *execute M (start-config 2 xs) (Suc t) <!> jj = cfg <!> jj |:=| v*  
**using** *v act-Stay' by simp*  
**then have** *execute M (start-config 2 xs) (Suc t) <\#\> jj = cfg <\#\> jj*  
**by** *simp*  
**then show**  $\text{tps}' = \text{tps}$   
**using** *assms(3) tps0 by (metis list-update-id)*

```

}
show (5 + 2 * nlength (cfg <#> jj)) + 2 ≤ ttt 0 + 1 ≤ ttt
using assms(1) by simp-all
qed

```

lemma *tm2* [*transforms-intros*]:

```

assumes ttt = 10 + 2 * nlength (cfg <#> jj)
and tps' = tps[j + 1 := (⌊execute M (start-config 2 xs) (Suc t) <#> jj⌋N, 1)]
shows transforms tm2 tps ttt tps'
unfolding tm2-def
proof (tform tps: k-ge-2 jk assms)
let ?d = (M ! (fst cfg)) (config-read cfg) [~] jj
show 8 + 2 * nlength (cfg <#> jj) + 2 ≤ ttt
using assms(1) by simp
show read tps ! j ≠ □ ⇒ ?d ≠ Left
using read-tps-j direction-to-symbol-def by (cases ?d) simp-all
{ assume 0: read tps ! j = □
show tps ! (j + 1) = (⌊cfg <#> jj⌋N, 1)
using tps0 by simp
show tps' = tps[j + 1 := (⌊cfg <#> jj - 1⌋N, 1)]
proof -
let ?d = (M ! (fst cfg)) (config-read cfg) [~] jj
obtain v where v: execute M (start-config 2 xs) (Suc t) <!> jj = act (v, ?d) (cfg <!> jj)
using write-symbol by auto
then have ?d = Left
using 0 read-tps-j assms(2) direction-to-symbol-def by (cases ?d) simp-all
then have execute M (start-config 2 xs) (Suc t) <!> jj = cfg <!> jj |:=| v |-| 1
using v act-Left' by simp
then have execute M (start-config 2 xs) (Suc t) <#> jj = cfg <#> jj - 1
by simp
then show ?thesis
using assms(2) by simp
}
qed
}
qed

```

lemma *tm3*:

```

assumes ttt = 16 + 2 * nlength (cfg <#> jj) + 2 * nlength (exc xs (Suc t) <#> jj)
and tps' = tps
[j + 1 := (⌊execute M (start-config 2 xs) (Suc t) <#> jj⌋N, 1),
j + 2 := nltape (map (λt. (execute M (start-config 2 xs) t <#> jj)) [0..N, 1)]
show ?tps ! (j + 2) = (⌊?ns⌋NL, ?i)
using tps0 by simp
show Suc (nlength ?ns) ≤ ?i
by simp
show tps' = tps
[j + 1 := (⌊?n⌋N, 1),
j + 2 := nltape (?ns @ [snd (exe M (exc xs t)) :#: jj])]
using assms(2) nlcontents-def nlength-def by simp
show ttt =
10 + 2 * nlength (cfg <#> jj) +
(7 + nlength (map (λt. exc xs t <#> jj) [0..

```

end

end

**lemma** *transforms-tm-adjust-headposI* [*transforms-intros*]:

**fixes** *j* :: *tapeidx*

**fixes** *tps tps'* :: *tape list* **and** *k jj t* :: *nat* **and** *xs* :: *symbol list*

**and** *M* :: *machine* **and** *G* :: *nat* **and** *cfg* :: *config*

**assumes** *turing-machine 2 G M*

**and** *length tps = k* **and**  $k \geq j + 3$  **and**  $jj < 2$

**and** *symbols-lt G xs*

**and** *cfg: cfg = execute M (start-config 2 xs) t fst cfg < length M*

**assumes**

*tps ! j = [direction-to-symbol ((M ! (fst cfg)) (config-read cfg) [~] jj)]*

*tps ! (j + 1) = [cfg <#> jj]<sub>N</sub>, 1)*

*tps ! (j + 2) = nltape (map (λt. (execute M (start-config 2 xs) t <#> jj)) [0..*Suc t*])*

**assumes** *max-head-pos: ∀ t. execute M (start-config 2 xs) t <#> jj ≤ max-head-pos*

**assumes** *ttt: ttt = 16 + 4 \* nlength max-head-pos*

**assumes** *tps' = tps*

*[j + 1 := (execute M (start-config 2 xs) (Suc t) <#> jj)<sub>N</sub>, 1),*

*j + 2 := nltape (map (λt. (execute M (start-config 2 xs) t <#> jj)) [0..*Suc (Suc t)*])]*

**shows** *transforms (tm-adjust-headpos j) tps ttt tps'*

**proof** –

**interpret** *loc: turing-machine-adjust-headpos j .*

**let** *?ttt = 16 + 2 \* nlength (cfg <#> jj) + 2 \* nlength (execute M (start-config 2 xs) (Suc t) <#> jj)*

**have** *transforms (tm-adjust-headpos j) tps ?ttt tps'*

**using** *assms loc.tm3-eq-tm-adjust-headpos loc.tm3* **by** *simp*

**moreover** **have** *?ttt ≤ ttt*

**proof** –

**have** *?ttt ≤ 16 + 2 \* nlength (cfg <#> jj) + 2 \* nlength max-head-pos*

**using** *max-head-pos nlength-mono* **by** (*meson add-le-mono le-refl mult-le-mono2*)

**also** **have** *... ≤ 16 + 2 \* nlength max-head-pos + 2 \* nlength max-head-pos*

**using** *max-head-pos cfg nlength-mono* **by** *simp*

**also** **have** *... = 16 + 4 \* nlength max-head-pos*

**by** *simp*

**finally** **show** *?thesis*

**using** *ttt* **by** *simp*

**qed**

**ultimately** **show** *?thesis*

**using** *transforms-monotone* **by** *simp*

**qed**

### 7.3.3 Listing the head positions

The next Turing machine is essentially a loop around the TM *tm-simulog*, which outputs head movements, combined with two instances of the TM *tm-adjust-headpos*, each of which maintains a head positions lists. The loop ends when the simulated machine reaches the halting state. If the simulated machine does not halt, neither does the simulator, but we will not consider this case when we analyze the semantics. The TM receives an input on tape  $j + 7$ . During the simulation of *M* this tape is a replica of the simulated machine's input tape, and tape  $j + 8$  is a replica of the work/output tape. The lists of the head positions will be on tapes  $j + 2$  and  $j + 5$  for the input tape and work/output tape, respectively.

**definition** *tm-list-headpos* :: *nat* ⇒ *machine* ⇒ *tapeidx* ⇒ *machine* **where**

*tm-list-headpos G M j* ≡

*tm-right-many {j + 1, j + 2, j + 4, j + 5} ;;*

*tm-write (j + 6) □ ;;*

*tm-append (j + 2) (j + 1) ;;*

*tm-append (j + 5) (j + 4) ;;*

*WHILE [] ; λrs. rs ! (j + 6) < length M DO*

*tm-simulog G M j ;;*

*tm-adjust-headpos j ;;*

*tm-adjust-headpos (j + 3) ;;*

```

  tm-write-many {j, j + 3} ▷
  DONE ;;
  tm-write (j + 6) ▷ ;;
  tm-cr (j + 2) ;;
  tm-cr (j + 5)

```

**lemma** *tm-list-headpos-tm*:

```

  fixes H :: nat
  assumes turing-machine 2 G M and k ≥ j + 9 and j > 0 and H ≥ Suc (length M) and H ≥ G
  assumes H ≥ 5
  shows turing-machine k H (tm-list-headpos G M j)
  unfolding tm-list-headpos-def
  using assms turing-machine-loop-turing-machine turing-machine-sequential-turing-machine Nil-tm
  tm-append-tm tm-simulog-tm tm-adjust-headpos-tm tm-right-many-tm tm-write-tm tm-write-many-tm tm-cr-tm
  by simp

```

**locale** *turing-machine-list-headpos* =

```

  fixes G :: nat and M :: machine and j :: tapeidx
  begin

```

```

  definition tm1 ≡ tm-right-many {j + 1, j + 2, j + 4, j + 5}
  definition tm2 ≡ tm1 ;; tm-write (j + 6) □
  definition tm3 ≡ tm2 ;; tm-append (j + 2) (j + 1)
  definition tm4 ≡ tm3 ;; tm-append (j + 5) (j + 4)
  definition tmL1 ≡ tm-simulog G M j
  definition tmL2 ≡ tmL1 ;; tm-adjust-headpos j
  definition tmL3 ≡ tmL2 ;; tm-adjust-headpos (j + 3)
  definition tmL4 ≡ tmL3 ;; tm-write-many {j, j + 3} ▷
  definition tmL ≡ WHILE [] ; λrs. rs ! (j + 6) < length M DO tmL4 DONE
  definition tm5 ≡ tm4 ;; tmL
  definition tm6 ≡ tm5 ;; tm-write (j + 6) ▷
  definition tm7 ≡ tm6 ;; tm-cr (j + 2)
  definition tm8 ≡ tm7 ;; tm-cr (j + 5)

```

**lemma** *tm8-eq-tm-list-headpos*:  $tm8 = tm\text{-list-headpos } G \ M \ j$

```

  unfolding tm1-def tm2-def tm3-def tm4-def tmL1-def tmL2-def tmL3-def tmL4-def tmL-def tm5-def tm6-def
  tm7-def tm8-def
  tm-list-headpos-def
  by simp

```

**context**

```

  fixes tps0 :: tape list
  fixes thalt k :: nat and xs :: symbol list
  assumes M: turing-machine 2 G M
  assumes jk: k ≥ j + 9 j > 0 length tps0 = k
  assumes thalt:
    ∀ t < thalt. fst (execute M (start-config 2 xs) t) < length M
    fst (execute M (start-config 2 xs) thalt) = length M
  assumes xs: symbols-lt G xs
  assumes tps0:
    tps0 ! j = [▷]
    tps0 ! (j + 1) = ([0]N, 0)
    tps0 ! (j + 2) = ([ ]NL, 0)
    tps0 ! (j + 3) = [▷]
    tps0 ! (j + 4) = ([0]N, 0)
    tps0 ! (j + 5) = ([ ]NL, 0)
    tps0 ! (j + 6) = [▷]
    tps0 ! (j + 7) = ([xs], 0)
    tps0 ! (j + 8) = ([ ]NL, 0)

```

**begin**

**abbreviation** *exec* ::  $nat \Rightarrow config$  **where**

```

  exec t ≡ execute M (start-config 2 xs) t

```

**lemma** *max-head-pos-0*:  $\forall t. \text{exec } t \langle \# \rangle 0 \leq \text{thalt}$   
**using** *thalt M head-pos-le-halting-time* **by** *simp*

**lemma** *max-head-pos-1*:  $\forall t. \text{exec } t \langle \# \rangle 1 \leq \text{thalt}$   
**using** *thalt M head-pos-le-halting-time* **by** *simp*

**definition** *tps1*  $\equiv$  *tps0*

$(j + 1) := (\lfloor 0 \rfloor_N, 1),$   
 $(j + 2) := (\lfloor \square \rfloor_{NL}, 1),$   
 $(j + 4) := (\lfloor 0 \rfloor_N, 1),$   
 $(j + 5) := (\lfloor \square \rfloor_{NL}, 1),$   
 $(j + 6) := \lceil \triangleright \rceil$

**lemma** *tm1* [*transforms-intros*]:

**assumes** *ttt* = 1

**shows** *transforms tm1 tps0 ttt tps1*

**unfolding** *tm1-def*

**proof** (*tform tps: assms tps0 jk tps1-def*)

**show** *tps1* = *map* ( $\lambda i. \text{if } i \in \{j + 1, j + 2, j + 4, j + 5\} \text{ then } \text{tps0} ! i \mid \mid 1$   
*else* *tps0* ! *i*) [*0*..*length tps0*]

(**is** *tps1* = *?rhs*)

**proof** (*rule nth-equalityI*)

**show** *len: length tps1* = *length ?rhs*

**by** (*simp add: tps1-def*)

**let** *?J* =  $\{j + 1, j + 2, j + 4, j + 5\}$

**show** *tps1* ! *i* = *?rhs* ! *i* **if** *i* < *length tps1* **for** *i*

**proof** (*cases i*  $\in$  *?J*)

**case** *True*

**have** *tps1* ! (*j* + 1) = *?rhs* ! (*j* + 1)

**using** *tps1-def jk tps0* **by** *fastforce*

**moreover have** *tps1* ! (*j* + 2) = *?rhs* ! (*j* + 2)

**using** *tps1-def jk tps0* **by** *fastforce*

**moreover have** *tps1* ! (*j* + 4) = *?rhs* ! (*j* + 4)

**using** *tps1-def jk tps0* **by** *fastforce*

**moreover have** *tps1* ! (*j* + 5) = *?rhs* ! (*j* + 5)

**using** *tps1-def jk tps0* **by** *fastforce*

**ultimately show** *?thesis*

**using** *True* **by** *fast*

**next**

**case** *notinJ: False*

**then have** \*: *?rhs* ! *i* = *tps0* ! *i*

**using** *that len* **by** *simp*

**show** *?thesis*

**proof** (*cases i* = *j* + 6)

**case** *True*

**then show** *?thesis*

**using** \* *that tps0(7) tps1-def* **by** *simp*

**next**

**case** *False*

**then have** *tps1* ! *i* = *tps0* ! *i*

**using** *tps1-def notinJ that* **by** *simp*

**then show** *?thesis*

**using** \* **by** *simp*

**qed**

**qed**

**qed**

**qed**

**definition** *tps2*  $\equiv$  *tps0*

$(j + 1) := (\lfloor 0 \rfloor_N, 1),$   
 $(j + 2) := (\lfloor \square \rfloor_{NL}, 1),$   
 $(j + 4) := (\lfloor 0 \rfloor_N, 1),$



$(j + 5) := ([\ ]_{NL}, 1),$   
 $(j + 6) := [\ ]$

**lemma** *tm2* [*transforms-intros*]:

**assumes**  $ttt = 2$

**shows** *transforms tm2 tps0 ttt tps2*

**unfolding** *tm2-def*

**proof** (*tform tps: assms tps0 jk tps2-def tps1-def*)

**show**  $tps2 = tps1[j + 6 := tps1 ! (j + 6) |:=| 0]$

**using** *tps2-def tps1-def jk onesie-write*

**by** (*smt (verit) list-update-beyond list-update-overwrite nth-list-update-eq verit-comp-simplify1 (3)*)

**qed**

**definition**  $tps3 \equiv tps0$

$(j + 1) := ([0]_N, 1),$

$(j + 2) := nltape [0],$

$(j + 4) := ([0]_N, 1),$

$(j + 5) := ([\ ]_{NL}, 1),$

$(j + 6) := [\ ]$

**lemma** *tm3* [*transforms-intros*]:

**assumes**  $ttt = 8$

**shows** *transforms tm3 tps0 ttt tps3*

**unfolding** *tm3-def*

**proof** (*tform tps: tps0 jk tps2-def tps3-def*)

**show**  $tps3 = tps2[j + 2 := nltape ([ ] @ [0])]$

**using** *tps3-def jk tps2-def*

**by** (*smt (verit, ccfv-SIG) Suc3-eq-add-3 add-2-eq-Suc add-less-cancel-left lessI list-update-overwrite list-update-swap not-add-less2 numeral-2-eq-2 numeral-3-eq-3 numeral-Bit0 numeral-plus-numeral self-append-conv2 semiring-norm(4) semiring-norm(5)*)

**show**  $ttt = 2 + (7 + nlength [ ] - Suc 0 + 2 * nlength 0)$

**using** *assms by simp*

**qed**

**definition**  $tps4 \equiv tps0$

$(j + 1) := ([0]_N, 1),$

$(j + 2) := nltape [0],$

$(j + 4) := ([0]_N, 1),$

$(j + 5) := nltape [0],$

$(j + 6) := [\ ]$

**lemma** *tm4* [*transforms-intros*]:

**assumes**  $ttt = 14$

**shows** *transforms tm4 tps0 ttt tps4*

**unfolding** *tm4-def*

**proof** (*tform tps: tps3-def jk tps0 tps4-def*)

**show**  $tps4 = tps3[j + 5 := nltape ([ ] @ [0])]$

**unfolding** *tps3-def tps4-def*

**using** *jk tps0*

**by** (*smt (verit, ccfv-threshold) add-Suc add-Suc-right append.left-neutral eval-nat-numeral(3) list-update-overwrite list-update-swap n-not-Suc-n nlcontents-Nil numeral-Bit0*)

**show**  $ttt = 8 + (7 + nlength [ ] - Suc 0 + 2 * nlength 0)$

**using** *assms by simp*

**qed**

The tapes after  $t$  iterations:

**definition**  $tpsL t \equiv tps0$

$(j + 1) := ([exec t <\#> 0]_N, 1),$

$(j + 2) := nltape (map (\lambda t. exec t <\#> 0) [0..<Suc t]),$

$(j + 4) := ([exec t <\#> 1]_N, 1),$

$(j + 5) := nltape (map (\lambda t. exec t <\#> 1) [0..<Suc t]),$

$(j + 6) := [fst (exec t)],$

$(j + 7) := exec t <!> 0,$

$(j + 8) := \text{exec } t \langle!> 1]$

**lemma** *lentpsL*:  $\text{length } (\text{tpsL } t) = k$   
**using** *jk tpsL-def* **by** *simp*

**lemma** *tpsL-0-eq-tps4*:  $\text{tpsL } 0 = \text{tps4}$

**proof** –

**have** \*:  $\text{exec } 0 = (0, [([xs], 0), ([[]], 0)])$

**using** *start-config-def contents-def* **by** *auto*

**show** *?thesis*

(**is**  $\text{tpsL } 0 = ?\text{rhs}$ )

**proof** (*rule nth-equalityI*)

**show**  $\text{length } (\text{tpsL } 0) = \text{length } ?\text{rhs}$

**by** (*simp add: tps4-def tpsL-def*)

**show**  $\text{tpsL } 0 ! i = ?\text{rhs} ! i$  **if**  $i < \text{length } (\text{tpsL } 0)$  **for**  $i$

**proof** –

**show** *?thesis*

**proof** (*cases*  $i = j \vee i = j + 1 \vee i = j + 2 \vee i = j + 4 \vee i = j + 5 \vee i = j + 6 \vee i = j + 7 \vee i = j +$

8)

**case** *True*

**then show** *?thesis*

**unfolding** *tps4-def tpsL-def* **using** \* *tps0 jk* **by** *auto*

**next**

**case** *False*

**then show** *?thesis*

**unfolding** *tps4-def tpsL-def* **using** \* *tps0 jk that* **by** (*smt (verit) nth-list-update-neq*)

**qed**

**qed**

**qed**

**definition** *tpsL1*  $t \equiv \text{tps0}$

$[j := \lceil \text{direction-to-symbol } ((M ! \text{fst } (\text{exec } t)) (\text{config-read } (\text{exec } t)) [\sim] 0)) \rceil,$

$j + 1 := (\lfloor \text{exec } t \langle\#\rangle 0 \rfloor_N, 1),$

$j + 2 := \text{nltape } (\text{map } (\lambda t. \text{exec } t \langle\#\rangle 0) [0..<\text{Suc } t]),$

$j + 3 := \lceil \text{direction-to-symbol } ((M ! \text{fst } (\text{exec } t)) (\text{config-read } (\text{exec } t)) [\sim] 1)) \rceil,$

$j + 4 := (\lfloor \text{exec } t \langle\#\rangle 1 \rfloor_N, 1),$

$j + 5 := \text{nltape } (\text{map } (\lambda t. \text{exec } t \langle\#\rangle 1) [0..<\text{Suc } t]),$

$j + 6 := \lceil \text{fst } (\text{exec } (\text{Suc } t)) \rceil,$

$j + 7 := \text{exec } (\text{Suc } t) \langle!> 0,$

$j + 8 := \text{exec } (\text{Suc } t) \langle!> 1]$

**lemma** *lentpsL1*:  $\text{length } (\text{tpsL1 } t) = k$

**using** *jk tpsL1-def* **by** (*simp only: length-list-update*)

**lemma** *tmL1* [*transforms-intros*]:

**assumes**  $\text{fst } (\text{exec } t) < \text{length } M$

**shows** *transforms tmL1*  $(\text{tpsL } t) 1 (\text{tpsL1 } t)$

**unfolding** *tmL1-def*

**proof** (*tform tps: M xs jk assms*)

**show**  $j + 9 \leq \text{length } (\text{tpsL } t)$

**using** *tpsL-def jk* **by** (*simp only: length-list-update*)

**show**  $\text{tpsL } t ! j = \lceil \triangleright \rceil$

**using** *tpsL-def tps0* **by** (*simp only: nth-list-update-eq nth-list-update-neq*)

**show**  $\text{tpsL } t ! (j + 3) = \lceil \triangleright \rceil$

**using** *tpsL-def tps0* **by** (*simp only: nth-list-update-eq nth-list-update-neq*)

**show**  $\text{tpsL } t ! (j + 6) = \lceil \text{fst } (\text{exec } t) \rceil$

**using** *tpsL-def tps0 jk* **by** (*simp only: length-list-update nth-list-update-eq nth-list-update-neq*)

**show**  $\text{tpsL } t ! (j + 7) = \text{exec } t \langle!> 0$

**using** *tpsL-def tps0 jk* **by** (*simp only: length-list-update nth-list-update-eq nth-list-update-neq*)

**show**  $\text{tpsL } t ! (j + 8) = \text{exec } t \langle!> 1$

**using** *tpsL-def tps0 jk* **by** (*simp only: length-list-update nth-list-update-eq nth-list-update-neq One-nat-def*)

**show**  $\text{tpsL1 } t = (\text{tpsL } t)$

$[j := \text{direction-to-symbol } ((M ! \text{fst } (\text{exec } t)) (\text{config-read } (\text{exec } t)) [\sim] 0)],$   
 $j + 3 := \text{direction-to-symbol } ((M ! \text{fst } (\text{exec } t)) (\text{config-read } (\text{exec } t)) [\sim] 1)],$   
 $j + 6 := \text{fst } (\text{exec } (\text{Suc } t)),$   
 $j + 7 := \text{exec } (\text{Suc } t) <!\> 0,$   
 $j + 8 := \text{exec } (\text{Suc } t) <!\> 1]$   
**unfolding** *tpsL1-def tpsL-def*  
**by** (*simp only: list-update-overwrite list-update-swap-less[of j+7] list-update-swap-less[of j+6]*  
*list-update-swap-less[of j+3] list-update-swap-less[of j]*)

qed

**definition** *tpsL2*  $t \equiv \text{tps0}$

$[j := \text{direction-to-symbol } ((M ! \text{fst } (\text{exec } t)) (\text{config-read } (\text{exec } t)) [\sim] 0)],$   
 $j + 1 := (\lfloor \text{exec } (\text{Suc } t) <\#\> 0 \rfloor_N, 1),$   
 $j + 2 := \text{nltape } (\text{map } (\lambda t. \text{exec } t <\#\> 0) [0..<\text{Suc } (\text{Suc } t)]),$   
 $j + 3 := \text{direction-to-symbol } ((M ! \text{fst } (\text{exec } t)) (\text{config-read } (\text{exec } t)) [\sim] 1)],$   
 $j + 4 := (\lfloor \text{exec } t <\#\> 1 \rfloor_N, 1),$   
 $j + 5 := \text{nltape } (\text{map } (\lambda t. \text{exec } t <\#\> 1) [0..<\text{Suc } t]),$   
 $j + 6 := \text{fst } (\text{exec } (\text{Suc } t)),$   
 $j + 7 := \text{exec } (\text{Suc } t) <!\> 0,$   
 $j + 8 := \text{exec } (\text{Suc } t) <!\> 1]$

**lemma** *lentpsL2*:  $\text{length } (\text{tpsL2 } t) = k$

**using** *jk tpsL2-def* **by** (*simp only: length-list-update*)

**lemma** *tmL2* [*transforms-intros*]:

**assumes**  $\text{fst } (\text{exec } t) < \text{length } M$

**and**  $\text{tnt} = 17 + 4 * \text{nlength } \text{thalt}$

**shows** *transforms tmL2 (tpsL t) tnt (tpsL2 t)*

**unfolding** *tmL2-def*

**proof** (*tform tps: M xs jk assms(1)*)

**show**  $\forall t. \text{exec } t <\#\> 0 \leq \text{thalt}$

**using** *max-head-pos-0* **by** *simp*

**show**  $j + 3 \leq \text{length } (\text{tpsL1 } t)$

**using** *lentpsL1 jk* **by** *simp*

**show**  $(0 :: \text{nat}) < 2$

**by** *simp*

**show**  $\text{tpsL1 } t ! j = \text{direction-to-symbol } ((M ! \text{fst } (\text{exec } t)) (\text{config-read } (\text{exec } t)) [\sim] 0)]$

**using** *tpsL1-def jk lentpsL1* **by** (*simp only: length-list-update nth-list-update-eq nth-list-update-neq*)

**show**  $\text{tpsL1 } t ! (j + 1) = (\lfloor \text{exec } t <\#\> 0 \rfloor_N, 1)$

**using** *tpsL1-def jk* **by** (*simp only: length-list-update nth-list-update-eq nth-list-update-neq*)

**show**  $\text{tpsL1 } t ! (j + 2) = \text{nltape } (\text{map } (\lambda t. \text{snd } (\text{exec } t) : \#\ : 0) [0..<\text{Suc } t])$

**using** *tpsL1-def jk lentpsL1* **by** (*simp only: length-list-update nth-list-update-eq nth-list-update-neq*)

**show**  $\text{tpsL2 } t = (\text{tpsL1 } t)$

$[j + 1 := (\lfloor \text{exec } (\text{Suc } t) <\#\> 0 \rfloor_N, 1),$

$j + 2 := \text{nltape } (\text{map } (\lambda t. \text{exec } t <\#\> 0) [0..<\text{Suc } (\text{Suc } t)])]$

**unfolding** *tpsL1-def tpsL2-def*

**by** (*simp only: list-update-overwrite list-update-swap-less[of j+1] list-update-swap-less[of j+2]*)

**show**  $\text{tnt} = 1 + (16 + 4 * \text{nlength } \text{thalt})$

**using** *assms(2)* **by** *simp*

qed

**definition** *tpsL3*  $t \equiv \text{tps0}$

$[j := \text{direction-to-symbol } ((M ! \text{fst } (\text{exec } t)) (\text{config-read } (\text{exec } t)) [\sim] 0)],$

$j + 1 := (\lfloor \text{exec } (\text{Suc } t) <\#\> 0 \rfloor_N, 1),$

$j + 2 := \text{nltape } (\text{map } (\lambda t. \text{exec } t <\#\> 0) [0..<\text{Suc } (\text{Suc } t)]),$

$j + 3 := \text{direction-to-symbol } ((M ! \text{fst } (\text{exec } t)) (\text{config-read } (\text{exec } t)) [\sim] 1)],$

$j + 4 := (\lfloor \text{exec } (\text{Suc } t) <\#\> 1 \rfloor_N, 1),$

$j + 5 := \text{nltape } (\text{map } (\lambda t. \text{exec } t <\#\> 1) [0..<\text{Suc } (\text{Suc } t)]),$

$j + 6 := \text{fst } (\text{exec } (\text{Suc } t)),$

$j + 7 := \text{exec } (\text{Suc } t) <!\> 0,$

$j + 8 := \text{exec } (\text{Suc } t) <!\> 1]$

**lemma** *lentpsL3*:  $\text{length } (\text{tpsL3 } t) = k$

using  $jk$   $tpsL3$ -def by (simp only: length-list-update)

**lemma**  $tmL3$  [transforms-intros]:  
 assumes  $fst (exec\ t) < length\ M$  and  $ttt = 33 + 8 * nlength\ thalt$   
 shows  $transforms\ tmL3\ (tpsL\ t)\ ttt\ (tpsL3\ t)$   
 unfolding  $tmL3$ -def  
**proof** (tform  $tps$ :  $M\ jk\ assms(1)$ )  
 show  $\forall t. exec\ t <\#\> 1 \leq thalt$   
 using  $max$ -head-pos-1 .  
 show  $j + 3 + 3 \leq length\ (tpsL2\ t)$   
 using  $tpsL2$ -def  $jk$  by (simp only: length-list-update)  
 show  $symbols$ -lt  $G\ xs$   
 using  $xs$  .  
 show  $(1 :: nat) < 2$   
 by simp  
 show  $tpsL2\ t ! (j + 3) = \lceil direction$ -to-symbol  $((M ! fst (exec\ t)) (config$ -read  $(exec\ t)) [\sim] 1) \rceil$   
 using  $tpsL2$ -def  $jk$   $lentpsL2$  by (simp only: length-list-update nth-list-update-eq nth-list-update-neq)  
 have  $j + 3 + 1 = j + 4$   
 by simp  
 then show  $tpsL2\ t ! (j + 3 + 1) = (\lfloor exec\ t <\#\> 1 \rfloor_N, 1)$   
 using  $tpsL2$ -def  $jk$  by (simp only: length-list-update nth-list-update-eq nth-list-update-neq)  
 have  $j + 3 + 2 = j + 5$   
 by simp  
 then show  $tpsL2\ t ! (j + 3 + 2) = nltape\ (map\ (\lambda t. exec\ t <\#\> 1)\ [0..<Suc\ t])$   
 using  $tpsL2$ -def  $jk$   $lentpsL2$  by (simp only: length-list-update nth-list-update-eq nth-list-update-neq)  
 have  $tpsL3\ t = (tpsL2\ t)$   
 $[j + 4 := (\lfloor snd\ (exec\ (Suc\ t)) :\#\> 1 \rfloor_N, 1),$   
 $j + 5 := nltape\ (map\ (\lambda t. snd\ (exec\ t) :\#\> 1)\ [0..<Suc\ (Suc\ t)])]$   
 unfolding  $tpsL2$ -def  $tpsL3$ -def  
 by (simp only: list-update-overwrite list-update-swap-less[ $of\ j+4$ ] list-update-swap-less[ $of\ j+5$ ])  
 moreover have  $j + 3 + 1 = j + 4$   $j + 3 + 2 = j + 5$   
 by simp-all  
 ultimately show  $tpsL3\ t = (tpsL2\ t)$   
 $[j + 3 + 1 := (\lfloor snd\ (exec\ (Suc\ t)) :\#\> 1 \rfloor_N, 1),$   
 $j + 3 + 2 := nltape\ (map\ (\lambda t. snd\ (exec\ t) :\#\> 1)\ [0..<Suc\ (Suc\ t)])]$   
 by metis  
 show  $ttt = 17 + 4 * nlength\ thalt + (16 + 4 * nlength\ thalt)$   
 using  $assms(2)$  by simp  
**qed**

**definition**  $tpsL4\ t \equiv tps0$   
 $[j + 1 := (\lfloor exec\ (Suc\ t) <\#\> 0 \rfloor_N, 1),$   
 $j + 2 := nltape\ (map\ (\lambda t. exec\ t <\#\> 0)\ [0..<Suc\ (Suc\ t)]),$   
 $j + 4 := (\lfloor exec\ (Suc\ t) <\#\> 1 \rfloor_N, 1),$   
 $j + 5 := nltape\ (map\ (\lambda t. exec\ t <\#\> 1)\ [0..<Suc\ (Suc\ t)]),$   
 $j + 6 := \lceil fst\ (exec\ (Suc\ t)) \rceil,$   
 $j + 7 := exec\ (Suc\ t) <!\> 0,$   
 $j + 8 := exec\ (Suc\ t) <!\> 1]$

**lemma**  $lentpsL4$ :  $length\ (tpsL4\ t) = k$   
 using  $jk$   $tpsL4$ -def by (simp only: length-list-update)

**lemma**  $tmL4$ :  
 assumes  $fst (exec\ t) < length\ M$   
 and  $ttt = 34 + 8 * nlength\ thalt$   
 shows  $transforms\ tmL4\ (tpsL\ t)\ ttt\ (tpsL4\ t)$   
 unfolding  $tmL4$ -def  
**proof** (tform  $tps$ :  $jk\ assms(1)\ lentpsL3\ lentpsL4\ time$ :  $assms$ )  
 have  $tpsL4\ t ! i = tpsL3\ t ! i \mid Suc\ 0$  if  $i = j \vee i = j + 3$  for  $i$   
**proof** (cases  $i = j$ )  
 case True  
 then show ?thesis  
 using  $tpsL3$ -def  $tpsL4$ -def  $jk$   $lentpsL4$   $onesie$ -write  $tps0$

by (simp only: length-list-update nth-list-update-eq nth-list-update-neq One-nat-def)  
 next  
 case False  
 then have  $i = j + 3$   
 using that by simp  
 then show ?thesis  
 using tpsL3-def tpsL4-def jk lentpsL4 onesie-write tps0  
 by (simp only: length-list-update nth-list-update-eq nth-list-update-neq One-nat-def)  
 qed  
 then show  $\bigwedge ja. ja \in \{j, j + 3\} \implies tpsL4\ t!\ ja = tpsL3\ t!\ ja \mid :=\mid 1$   
 by simp  
 have  $tpsL4\ t!\ i = tpsL3\ t!\ i$  if  $i < \text{length}\ (tpsL4\ t)$  and  $i \neq j \wedge i \neq j + 3$  for  $i$   
 proof -  
 consider  
 $i = j \mid i = j + 1 \mid i = j + 2 \mid i = j + 3 \mid i = j + 4 \mid i = j + 5 \mid i = j + 6 \mid i = j + 7 \mid i = j + 8$   
 $\mid i < j \mid i > j + 8$   
 by linarith  
 then show ?thesis  
 using tpsL3-def tpsL4-def that  
 by (cases) (auto simp only: length-list-update nth-list-update-eq nth-list-update-neq)  
 qed  
 then show  $\bigwedge ja. ja < \text{length}\ (tpsL4\ t) \implies ja \notin \{j, j + 3\} \implies tpsL4\ t!\ ja = tpsL3\ t!\ ja$   
 by simp  
 qed

lemma tpsL4-Suc:  $tpsL4\ t = tpsL\ (Suc\ t)$  (is ?l = ?r)  
 proof (rule nth-equalityI)  
 show  $\text{length}\ ?l = \text{length}\ ?r$   
 using lentpsL4 tpsL-def jk by simp  
 show  $?l!\ i = ?r!\ i$  if  $i < \text{length}\ ?l$  for  $i$   
 proof -  
 consider  
 $i = j \mid i = j + 1 \mid i = j + 2 \mid i = j + 3 \mid i = j + 4 \mid i = j + 5 \mid i = j + 6 \mid i = j + 7 \mid i = j + 8$   
 $\mid i < j \mid i > j + 8$   
 by linarith  
 then show ?thesis  
 using tpsL4-def tpsL-def  
 by (cases) (simp-all only: length-list-update nth-list-update-eq nth-list-update-neq)  
 qed  
 qed

lemma tmL4':  
 assumes  $\text{fst}\ (exec\ t) < \text{length}\ M$   
 and  $\text{tnt} = 34 + 8 * \text{nlength}\ \text{thalt}$   
 shows  $\text{transforms}\ tmL4\ (tpsL\ t)\ \text{tnt}\ (tpsL\ (Suc\ t))$   
 using tpsL4-Suc tmL4 assms by simp

lemma tmL:  
 assumes  $\text{tnt} = \text{thalt} * (36 + 8 * \text{nlength}\ \text{thalt}) + 1$   
 shows  $\text{transforms}\ tmL\ (tpsL\ 0)\ \text{tnt}\ (tpsL\ \text{thalt})$   
 unfolding tmL-def  
 proof (tform)  
 have  $tpsL\ t!\ (j + 6) = \lceil \text{fst}\ (exec\ t) \rceil$  for  $t$   
 using tpsL-def jk by (simp only: length-list-update nth-list-update-eq nth-list-update-neq)  
 moreover have  $j + 6 < \text{length}\ (tpsL\ t)$   
 using jk tpsL-def by simp  
 ultimately have  $*$ :  $\text{read}\ (tpsL\ t)\!\ (j + 6) = \text{fst}\ (exec\ t)$  for  $t$   
 using tapes-at-read'[of  $j + 6\ tpsL\ t$ ] onesie-read[of  $\text{fst}\ (exec\ t)$ ]  
 by (simp add: lentpsL)  
 show  $\bigwedge t. t < \text{thalt} \implies \text{read}\ (tpsL\ t)\!\ (j + 6) < \text{length}\ M$   
 using \* thalt by simp  
 show  $\bigwedge t. t < \text{thalt} \implies \text{transforms}\ tmL4\ (tpsL\ t)\ (34 + 8 * \text{nlength}\ \text{thalt})\ (tpsL\ (Suc\ t))$   
 using tmL4' \* thalt(1) by simp

**show**  $\neg \text{read } (tpsL \ thalt) ! (j + 6) < \text{length } M$   
**using**  $* \ thalt(2)$  **by** *simp*  
**show**  $\text{thalt} * \text{tosym } (34 + 8 * \text{nlength } \text{thalt}) + 1 \leq \text{ttt}$   
**using** *assms* **by** *simp*  
**qed**

**lemma** *tmL'* [*transforms-intros*]:  
**assumes**  $\text{ttt} = \text{thalt} * (36 + 8 * \text{nlength } \text{thalt}) + 1$   
**shows** *transforms tmL tps4 ttt (tpsL thalt)*  
**using** *assms tmL tpsL-0-eq-tps4* **by** *simp*

**definition** *tps5*  $\equiv \text{tps0}$   
 $[(j + 1) := (\lfloor \text{exec } \text{thalt} \langle \# \rangle 0 \rfloor_N, 1),$   
 $(j + 2) := \text{nltape } (\text{map } (\lambda t. \text{exec } t \langle \# \rangle 0) [0..<\text{Suc } \text{thalt}]),$   
 $(j + 4) := (\lfloor \text{exec } \text{thalt} \langle \# \rangle 1 \rfloor_N, 1),$   
 $(j + 5) := \text{nltape } (\text{map } (\lambda t. \text{exec } t \langle \# \rangle 1) [0..<\text{Suc } \text{thalt}]),$   
 $(j + 6) := [\text{fst } (\text{exec } \text{thalt})],$   
 $(j + 7) := \text{exec } \text{thalt} \langle ! \rangle 0,$   
 $(j + 8) := \text{exec } \text{thalt} \langle ! \rangle 1]$

**lemma** *tm5*:  
**assumes**  $\text{ttt} = \text{thalt} * (36 + 8 * \text{nlength } \text{thalt}) + 15$   
**shows** *transforms tm5 tps0 ttt (tpsL thalt)*  
**unfolding** *tm5-def* **by** (*tform tps: jk time: assms*)

**lemma** *tm5'* [*transforms-intros*]:  
**assumes**  $\text{ttt} = \text{thalt} * (36 + 8 * \text{nlength } \text{thalt}) + 15$   
**shows** *transforms tm5 tps0 ttt tps5*  
**using** *assms tm5 tps5-def tpsL-def* **by** *simp*

**definition** *tps6*  $\equiv \text{tps0}$   
 $[(j + 1) := (\lfloor \text{exec } \text{thalt} \langle \# \rangle 0 \rfloor_N, 1),$   
 $(j + 2) := \text{nltape } (\text{map } (\lambda t. \text{exec } t \langle \# \rangle 0) [0..<\text{Suc } \text{thalt}]),$   
 $(j + 4) := (\lfloor \text{exec } \text{thalt} \langle \# \rangle 1 \rfloor_N, 1),$   
 $(j + 5) := \text{nltape } (\text{map } (\lambda t. \text{exec } t \langle \# \rangle 1) [0..<\text{Suc } \text{thalt}]),$   
 $(j + 7) := \text{exec } \text{thalt} \langle ! \rangle 0,$   
 $(j + 8) := \text{exec } \text{thalt} \langle ! \rangle 1]$

**lemma** *tm6* [*transforms-intros*]:  
**assumes**  $\text{ttt} = \text{thalt} * (36 + 8 * \text{nlength } \text{thalt}) + 16$   
**shows** *transforms tm6 tps0 ttt tps6*  
**unfolding** *tm6-def*

**proof** (*tform tps: jk time: assms*)

**show**  $\text{tps6} = \text{tps5}[j + 6 := \text{tps5} ! (j + 6) \mid := \mid 1]$   
**(is**  $?l = ?r$ )

**proof** (*rule nth-equalityI*)

**show**  $\text{len: length } ?l = \text{length } ?r$

**using** *tps5-def tps6-def* **by** *simp*

**show**  $?l ! i = ?r ! i$  **if**  $i < \text{length } ?l$  **for**  $i$

**proof** –

**have**  $i\text{-less: } i < \text{length } ?r$

**using** *that len* **by** *simp*

**consider**

$i = j \mid i = j + 1 \mid i = j + 2 \mid i = j + 3 \mid i = j + 4 \mid i = j + 5 \mid i = j + 6 \mid i = j + 7 \mid i = j + 8$   
 $\mid i < j \mid i > j + 8$

**by** *linarith*

**then show**  $?thesis$

**using**  $i\text{-less } \text{tps5-def } \text{tps6-def } \text{onesie-write } \text{tps0}$

**by** (*cases*) (*simp-all only: length-list-update nth-list-update-eq nth-list-update-neq*)

**qed**

**qed**

**qed**

**definition**  $tps7 \equiv tps0$

$(j + 1) := (\lfloor exec\ thalt\ \langle\#\rangle\ 0 \rfloor_N, 1),$   
 $(j + 2) := (\lfloor map\ (\lambda t. exec\ t\ \langle\#\rangle\ 0) \lfloor 0..<Suc\ thalt \rfloor_{NL}, 1),$   
 $(j + 4) := (\lfloor exec\ thalt\ \langle\#\rangle\ 1 \rfloor_N, 1),$   
 $(j + 5) := nltape\ (map\ (\lambda t. exec\ t\ \langle\#\rangle\ 1) \lfloor 0..<Suc\ thalt \rfloor),$   
 $(j + 7) := exec\ thalt\ \langle!\rangle\ 0,$   
 $(j + 8) := exec\ thalt\ \langle!\rangle\ 1$

**lemma**  $tm7$  [transforms-intros]:

**assumes**  $ttt = thalt * (36 + 8 * nlength\ thalt) + 19 + nlength\ (map\ (\lambda t. exec\ t\ \langle\#\rangle\ 0) \lfloor 0..<Suc\ thalt \rfloor)$

**shows**  $transforms\ tm7\ tps0\ ttt\ tps7$

**unfolding**  $tm7-def$

**proof** (tform tps: tps7-def tps6-def jk assms)

**show**  $clean-tape\ (tps6\ !\ (j + 2))$

**using**  $jk\ tps6-def\ clean-tape-nlcontents$  **by**  $simp$

**have**  $tps6\ !\ (j + 2) = nltape\ (map\ (\lambda t. exec\ t\ \langle\#\rangle\ 0) \lfloor 0..<Suc\ thalt \rfloor)$

**using**  $jk\ tps6-def$  **by**  $simp$

**then have**  $tps6\ !\ (j + 2)\ |\#\|=1 = (\lfloor map\ (\lambda t. exec\ t\ \langle\#\rangle\ 0) \lfloor 0..<Suc\ thalt \rfloor_{NL}, 1)$  (**is**  $- = ?tp$ )

**by**  $simp$

**moreover have**  $tps7 = tps6[j + 2 := ?tp]$

**unfolding**  $tps7-def\ tps6-def$  **by** ( $simp\ add: list-update-swap$ )

**ultimately show**  $tps7 = tps6[j + 2 := tps6\ !\ (j + 2)\ |\#\|=1]$

**by**  $simp$

**qed**

**definition**  $tps8 \equiv tps0$

$(j + 1) := (\lfloor exec\ thalt\ \langle\#\rangle\ 0 \rfloor_N, 1),$   
 $(j + 2) := (\lfloor map\ (\lambda t. exec\ t\ \langle\#\rangle\ 0) \lfloor 0..<Suc\ thalt \rfloor_{NL}, 1),$   
 $(j + 4) := (\lfloor exec\ thalt\ \langle\#\rangle\ 1 \rfloor_N, 1),$   
 $(j + 5) := (\lfloor map\ (\lambda t. exec\ t\ \langle\#\rangle\ 1) \lfloor 0..<Suc\ thalt \rfloor_{NL}, 1),$   
 $(j + 7) := exec\ thalt\ \langle!\rangle\ 0,$   
 $(j + 8) := exec\ thalt\ \langle!\rangle\ 1$

**lemma**  $tm8$ :

**assumes**  $ttt = thalt * (36 + 8 * nlength\ thalt) + 22 + nlength\ (map\ (\lambda t. exec\ t\ \langle\#\rangle\ 0) \lfloor 0..<Suc\ thalt \rfloor) + nlength\ (map\ (\lambda t. (exec\ t)\ \langle\#\rangle\ 1) \lfloor 0..<Suc\ thalt \rfloor)$

**shows**  $transforms\ tm8\ tps0\ ttt\ tps8$

**unfolding**  $tm8-def$

**proof** (tform tps: tps8-def tps7-def jk assms)

**show**  $clean-tape\ (tps7\ !\ (j + 5))$

**using**  $jk\ tps7-def\ clean-tape-nlcontents$  **by**  $simp$

**have**  $tps7\ !\ (j + 5) = nltape\ (map\ (\lambda t. exec\ t\ \langle\#\rangle\ 1) \lfloor 0..<Suc\ thalt \rfloor)$

**using**  $jk\ tps7-def$  **by**  $simp$

**then have**  $tps7\ !\ (j + 5)\ |\#\|=1 = (\lfloor map\ (\lambda t. exec\ t\ \langle\#\rangle\ 1) \lfloor 0..<Suc\ thalt \rfloor_{NL}, 1)$  (**is**  $- = ?tp$ )

**by**  $simp$

**moreover have**  $tps8 = tps7[j + 5 := ?tp]$

**unfolding**  $tps8-def\ tps7-def$  **by** ( $simp\ add: list-update-swap$ )

**ultimately show**  $tps8 = tps7[j + 5 := tps7\ !\ (j + 5)\ |\#\|=1]$

**by**  $simp$

**qed**

**lemma**  $tm8'$ :

**assumes**  $ttt = 27 * Suc\ thalt * (9 + 2 * nlength\ thalt)$

**shows**  $transforms\ tm8\ tps0\ ttt\ tps8$

**proof** –

**have**  $0: nlength\ (map\ (\lambda t. exec\ t\ \langle\#\rangle\ 0) \lfloor 0..<Suc\ thalt \rfloor) \leq Suc\ (nlength\ thalt) * Suc\ thalt$

**using**  $nlength-le-len-mult-max[of\ map\ (\lambda t. exec\ t\ \langle\#\rangle\ 0) \lfloor 0..<Suc\ thalt \rfloor\ thalt]\ max-head-pos-0$  **by**  $simp$

**have**  $1: nlength\ (map\ (\lambda t. exec\ t\ \langle\#\rangle\ 1) \lfloor 0..<Suc\ thalt \rfloor) \leq Suc\ (nlength\ thalt) * Suc\ thalt$

**using**  $nlength-le-len-mult-max[of\ map\ (\lambda t. exec\ t\ \langle\#\rangle\ 1) \lfloor 0..<Suc\ thalt \rfloor\ thalt]\ max-head-pos-1$  **by**  $simp$

**let**  $?ttt = thalt * (36 + 8 * nlength\ thalt) + 22 + nlength\ (map\ (\lambda t. exec\ t\ \langle\#\rangle\ 0) \lfloor 0..<Suc\ thalt \rfloor) + nlength\ (map\ (\lambda t. (exec\ t)\ \langle\#\rangle\ 1) \lfloor 0..<Suc\ thalt \rfloor)$

**have**  $?ttt \leq thalt * (36 + 8 * nlength\ thalt) + 22 + Suc\ (nlength\ thalt) * Suc\ thalt + Suc\ (nlength\ thalt) *$

```

Suc thalt
  using 0 1 by linarith
  also have ... = thalt * (36 + 8 * nlength thalt) + 22 + 2 * Suc (nlength thalt) * Suc thalt
  by simp
  also have ... ≤ Suc thalt * (36 + 8 * nlength thalt) + 22 + 2 * Suc (nlength thalt) * Suc thalt
  by simp
  also have ... ≤ Suc thalt * (4 * (9 + 2 * nlength thalt)) + 22 + 2 * Suc (nlength thalt) * Suc thalt
  by simp
  also have ... = 4 * Suc thalt * (9 + 2 * nlength thalt) + 22 + 2 * Suc (nlength thalt) * Suc thalt
  by linarith
  also have ... = 4 * Suc thalt * (9 + 2 * nlength thalt) + 22 + Suc thalt * (2 + 2 * nlength thalt)
  by simp
  also have ... ≤ 4 * Suc thalt * (9 + 2 * nlength thalt) + 22 + Suc thalt * (9 + 2 * nlength thalt)
  proof -
    have 2 + 2 * nlength thalt ≤ 9 + 2 * nlength thalt
    by simp
    then show ?thesis
      using Suc-mult-le-cancel1 add-le-cancel-left by blast
  qed
  also have ... = 5 * Suc thalt * (9 + 2 * nlength thalt) + 22
  by linarith
  also have ... ≤ 5 * Suc thalt * (9 + 2 * nlength thalt) + 22 * Suc thalt * (9 + 2 * nlength thalt)
  proof -
    have 1 ≤ Suc thalt * (9 + 2 * nlength thalt)
    by simp
    then show ?thesis
      by linarith
  qed
  also have ... = 27 * Suc thalt * (9 + 2 * nlength thalt)
  by linarith
  finally have ?t ≤ t
  using assms by simp
  then show ?thesis
  using tm8 transforms-monotone by simp
qed
end
end

```

```

lemma transforms-tm-list-headposI [transforms-intros]:
  fixes G :: nat and j :: tapeidx and M :: machine
  fixes tps tps' :: tape list
  fixes thalt k ttt :: nat and xs :: symbol list
  assumes turing-machine 2 G M
  assumes length tps = k and k ≥ j + 9 and j > 0
  assumes
    ∀ t < thalt. fst (execute M (start-config 2 xs) t) < length M
    fst (execute M (start-config 2 xs) thalt) = length M
  assumes symbols-lt G xs
  assumes tps ! j = [▷]
    tps ! (j + 1) = ([0]N, 0)
    tps ! (j + 2) = ([□]NL, 0)
    tps ! (j + 3) = [▷]
    tps ! (j + 4) = ([0]N, 0)
    tps ! (j + 5) = ([□]NL, 0)
    tps ! (j + 6) = [▷]
    tps ! (j + 7) = ([xs], 0)
    tps ! (j + 8) = ([□], 0)
  assumes ttt = 27 * Suc thalt * (9 + 2 * nlength thalt)
  assumes tps' = tps
    (j + 1) := ([(execute M (start-config 2 xs) thalt) <#> 0]N, 1),
    (j + 2) := ([map (λt. (execute M (start-config 2 xs) t) <#> 0) [0..Suc thalt]]NL, 1),

```



```

(j + 4) := (⟦(execute M (start-config 2 xs) thalt) <#> 1⟧N, 1),
(j + 5) := (⟦map (λt. (execute M (start-config 2 xs) t) <#> 1) [0..Suc thalt]NL, 1),
(j + 7) := (execute M (start-config 2 xs) thalt) <!> 0,
(j + 8) := (execute M (start-config 2 xs) thalt) <!> 1]
shows transforms (tm-list-headpos G M j) tps ttt tps'
proof –
interpret loc: turing-machine-list-headpos .
show ?thesis
using assms loc.tm8' loc.tm8-eq-tm-list-headpos loc.tps8-def by metis
qed

```

## 7.4 A Turing machine for $\Psi$ formulas

CNF formulas from the  $\Psi$  family of formulas feature prominently in  $\Phi$ . In this section we first present a Turing machine for generating arbitrary members of this family and later a specialized one for the  $\Psi$  formulas that we need for  $\Phi$ .

### 7.4.1 The general case

The next Turing machine generates a representation of the CNF formula  $\Psi(vs, k)$ . It expects  $vs$  as a list of numbers on tape  $j$  and the number  $k$  on tape  $j + 1$ . A list of lists of numbers representing  $\Psi(vs, k)$  is output to tape  $j + 2$ .

The TM iterates over the elements of  $vs$ . In each iteration it generates a singleton clause containing the current element of  $vs$  either as positive or negative literal, depending on whether  $k$  is greater than zero or equal to zero. Then it decrements the number  $k$ . Thus the first  $k$  variable indices of  $vs$  will be turned into  $k$  positive literals, the rest into negative ones (provided  $|vs| \geq k$ ).

**definition** *tm-Psi* :: *tapeidx*  $\Rightarrow$  *machine* **where**

```

tm-Psi j  $\equiv$ 
  WHILE [] ; λrs. rs ! j  $\neq$  □ DO
    tm-nextract | j (j + 3) ;;
    tm-times2 (j + 3) ;;
    IF λrs. rs ! (j + 1)  $\neq$  □ THEN
      tm-incr (j + 3)
    ELSE
      []
    ENDIF ;;
    tm-to-list (j + 3) ;;
    tm-appendl (j + 2) (j + 3) ;;
    tm-decr (j + 1) ;;
    tm-erase-cr (j + 3)
  DONE ;;
  tm-cr (j + 2) ;;
  tm-erase-cr j

```

**lemma** *tm-Psi-tm*:

```

assumes 0 < j and j + 3 < k and G  $\geq$  6
shows turing-machine k G (tm-Psi j)
unfolding tm-Psi-def
using Nil-tm tm-nextract-tm tm-times2-tm tm-incr-tm tm-to-list-tm tm-appendl-tm tm-decr-tm
  tm-erase-cr-tm tm-cr-tm turing-machine-loop-turing-machine turing-machine-branch-turing-machine
  assms
by simp

```

Two lemmas to help with the running time bound of *tm-Psi*:

**lemma** *sum-list-mono-nth*:

```

fixes xs :: 'a list and f g :: 'a  $\Rightarrow$  nat
assumes  $\forall i < \text{length } xs. f (xs ! i) \leq g (xs ! i)$ 
shows sum-list (map f xs)  $\leq$  sum-list (map g xs)
using assms by (metis in-set-conv-nth sum-list-mono)

```

**lemma** *sum-list-plus-const*:

**fixes**  $xs :: 'a \text{ list}$  **and**  $f :: 'a \Rightarrow \text{nat}$  **and**  $c :: \text{nat}$   
**shows**  $\text{sum-list } (\lambda x. c + f x) xs = c * \text{length } xs + \text{sum-list } (\text{map } f xs)$   
**by** (*induction*  $xs$ ) *simp-all*

**locale** *turing-machine-Psi* =

**fixes**  $j :: \text{tapeid}$

**begin**

**definition**  $tm1 \equiv tm\text{-nextract } | j (j + 3)$

**definition**  $tm2 \equiv tm1 ;; tm\text{-times2 } (j + 3)$

**definition**  $tm23 \equiv IF \lambda rs. rs ! (j + 1) \neq \square \square THEN tm\text{-incr } (j + 3) ELSE \square \square ENDIF$

**definition**  $tm3 \equiv tm2 ;; tm23$

**definition**  $tm4 \equiv tm3 ;; tm\text{-to-list } (j + 3)$

**definition**  $tm5 \equiv tm4 ;; tm\text{-appendl } (j + 2) (j + 3)$

**definition**  $tm6 \equiv tm5 ;; tm\text{-decr } (j + 1)$

**definition**  $tm7 \equiv tm6 ;; tm\text{-erase-cr } (j + 3)$

**definition**  $tmL \equiv WHILE \square \square ; \lambda rs. rs ! j \neq \square \square DO tm7 DONE$

**definition**  $tm8 \equiv tmL ;; tm\text{-cr } (j + 2)$

**definition**  $tm9 \equiv tm8 ;; tm\text{-erase-cr } j$

**lemma** *tm9-eq-tm-Psi*:  $tm9 = tm\text{-Psi } j$

**unfolding** *tm9-def tm8-def tmL-def tm7-def tm6-def tm5-def tm4-def tm3-def tm2-def tm1-def tm-Psi-def tm23-def*

**by** *simp*

**context**

**fixes**  $tps0 :: \text{tape list}$  **and**  $k \text{ } kk :: \text{nat}$  **and**  $ns :: \text{nat list}$

**assumes**  $jk: \text{length } tps0 = k \ 0 < j \ j + 3 < k$

**and**  $kk: kk \leq \text{length } ns$

**assumes** *tps0*:

$tps0 ! j = (\lfloor ns \rfloor_{NL}, 1)$

$tps0 ! (j + 1) = (\lfloor kk \rfloor_N, 1)$

$tps0 ! (j + 2) = (\lfloor \square \rfloor_{NLL}, 1)$

$tps0 ! (j + 3) = (\lfloor \square \rfloor, 1)$

**begin**

**definition**  $tpsL :: \text{nat} \Rightarrow \text{tape list}$  **where**

$tpsL \ t \equiv tps0$

$[j := \text{nltape}' \ ns \ t,$

$j + 1 := (\lfloor kk - t \rfloor_N, 1),$

$j + 2 := \text{nltape } (\text{map } (\lambda t. [2 * ns ! t + (\text{if } t < kk \text{ then } 1 \text{ else } 0)]) [0..<t])]$

**lemma** *tpsL-eq-tps0*:  $tpsL \ 0 = tps0$

**proof** –

**have**  $tpsL \ 0 ! (j + 2) = tps0 ! (j + 2)$

**using** *tpsL-def tps0 jk* **by** *simp*

**moreover** **have**  $tpsL \ 0 ! (j + 1) = tps0 ! (j + 1)$

**using** *tpsL-def tps0 jk* **by** *simp*

**moreover** **have**  $tpsL \ 0 ! (j + 3) = tps0 ! (j + 3)$

**using** *tpsL-def tps0 jk* **by** *simp*

**ultimately show** *?thesis*

**using** *tpsL-def tps0 jk*

**by** (*metis* (*no-types*, *lifting*) *One-nat-def diff-zero list-update-id list-update-overwrite nllength-Nil take0*)

**qed**

**definition**  $tpsL1 :: \text{nat} \Rightarrow \text{tape list}$  **where**

$tpsL1 \ t \equiv tps0$

$[j := \text{nltape}' \ ns \ (\text{Suc } t),$

$j + 1 := (\lfloor kk - t \rfloor_N, 1),$

$j + 2 := \text{nltape } (\text{map } (\lambda t. [2 * ns ! t + (\text{if } t < kk \text{ then } 1 \text{ else } 0)]) [0..<t]),$

$j + 3 := (\lfloor ns ! t \rfloor_N, 1)]$

**lemma** *tm1* [*transforms-intros*]:  
**assumes**  $t < \text{length } ns$   
**and**  $t = 12 + 2 * \text{nlength } (ns ! t)$   
**shows** *transforms tm1* (*tpsL* *t*) *t* (*tpsL1* *t*)  
**unfolding** *tm1-def*  
**proof** (*tform tps: assms(1) tpsL-def jk*)  
**show** *tpsL* *t* ! ( $j + 3$ ) = ( $\lfloor 0 \rfloor_N, 1$ )  
**using** *tpsL-def jk tps0 canrepr-0* **by** *simp*  
**show**  $t = 12 + 2 * \text{nlength } 0 + 2 * \text{nlength } (ns ! t)$   
**using** *assms(2)* **by** *simp*  
**show** *tpsL1* *t* = (*tpsL* *t*)  
 $[j := \text{nltape}' ns (Suc\ t),$   
 $j + 3 := (\lfloor ns ! t \rfloor_N, 1)]$   
**by** (*simp add: jk tps0 tpsL1-def tpsL-def list-update-swap numeral-3-eq-3*)  
**qed**

**definition** *tpsL2* ::  $nat \Rightarrow \text{tape list}$  **where**  
*tpsL2* *t*  $\equiv$  *tps0*  
 $[j := \text{nltape}' ns (Suc\ t),$   
 $j + 1 := (\lfloor kk - t \rfloor_N, 1),$   
 $j + 2 := \text{nltape } (\text{map } (\lambda t. [2 * ns ! t + (\text{if } t < kk \text{ then } 1 \text{ else } 0)])) [0..<t],$   
 $j + 3 := (\lfloor 2 * ns ! t \rfloor_N, 1)]$

**lemma** *tm2* [*transforms-intros*]:  
**assumes**  $t < \text{length } ns$   
**and**  $t = 17 + 4 * \text{nlength } (ns ! t)$   
**shows** *transforms tm2* (*tpsL* *t*) *t* (*tpsL2* *t*)  
**unfolding** *tm2-def* **by** (*tform tps: assms(1) tpsL1-def tpsL2-def jk time: assms(2)*)

**definition** *tpsL3* ::  $nat \Rightarrow \text{tape list}$  **where**  
*tpsL3* *t*  $\equiv$  *tps0*  
 $[j := \text{nltape}' ns (Suc\ t),$   
 $j + 1 := (\lfloor kk - t \rfloor_N, 1),$   
 $j + 2 := \text{nltape } (\text{map } (\lambda t. [2 * ns ! t + (\text{if } t < kk \text{ then } 1 \text{ else } 0)])) [0..<t],$   
 $j + 3 := (\lfloor 2 * ns ! t + (\text{if } t < kk \text{ then } 1 \text{ else } 0) \rfloor_N, 1)]$

**lemma** *tm23* [*transforms-intros*]:  
**assumes**  $t < \text{length } ns$   
**and**  $t = 7 + 2 * \text{nlength } (2 * ns ! t)$   
**shows** *transforms tm23* (*tpsL2* *t*) *t* (*tpsL3* *t*)  
**unfolding** *tm23-def*  
**proof** (*tform tps: assms(1) tpsL2-def jk time: assms(2)*)  
**show** *read* (*tpsL2* *t*) ! ( $j + 1$ )  $\neq \square \implies$   
 $\text{tpsL3 } t = (\text{tpsL2 } t)[j + 3 := (\lfloor Suc (2 * ns ! t) \rfloor_N, 1)]$   
**using** *tpsL2-def tpsL3-def jk read-ncontents-eq-0* **by** *simp*  
**show**  $5 + 2 * \text{nlength } (2 * ns ! t) + 2 \leq t$   
**using** *assms* **by** *simp*  
**show**  $\neg \text{read } (\text{tpsL2 } t) ! (j + 1) \neq \square \implies \text{tpsL3 } t = \text{tpsL2 } t$   
**using** *tpsL2-def tpsL3-def jk read-ncontents-eq-0* **by** *simp*  
**qed**

**lemma** *tm3*:  
**assumes**  $t < \text{length } ns$   
**and**  $t = 24 + 4 * \text{nlength } (ns ! t) + 2 * \text{nlength } (2 * ns ! t)$   
**shows** *transforms tm3* (*tpsL* *t*) *t* (*tpsL3* *t*)  
**unfolding** *tm3-def* **by** (*tform tps: assms jk*)

**lemma** *tm3'* [*transforms-intros*]:  
**assumes**  $t < \text{length } ns$  **and**  $t = 26 + 6 * \text{nlength } (ns ! t)$   
**shows** *transforms tm3* (*tpsL* *t*) *t* (*tpsL3* *t*)  
**proof** –  
**let**  $?t = 24 + 4 * \text{nlength } (ns ! t) + 2 * \text{nlength } (2 * ns ! t)$

**have**  $nlength\ (2*x) \leq Suc\ (nlength\ x)$  **for**  $x$   
**by** (*metis eq-refl grOI less-imp-le-nat nat-0-less-mult-iff nlength-0 nlength-even-le*)  
**then have**  $?t \leq 24 + 4 * nlength\ (ns\ !\ t) + 2 * Suc\ (nlength\ (ns\ !\ t))$   
**by** (*meson add-mono-thms-linordered-semiring(2) mult-le-mono2*)  
**then have**  $?t \leq 26 + 6 * nlength\ (ns\ !\ t)$   
**by** *simp*  
**then show** *?thesis*  
**using** *tm3 assms transforms-monotone* **by** *blast*  
**qed**

**definition**  $tpsL4 :: nat \Rightarrow tape\ list$  **where**

$tpsL4\ t \equiv tps0$   
 $[j := nltape'\ ns\ (Suc\ t),$   
 $j + 1 := (\lfloor kk - t \rfloor_N, 1),$   
 $j + 2 := nlltape\ (map\ (\lambda t. [2 * ns\ !\ t + (if\ t < kk\ then\ 1\ else\ 0)])\ [0..<t]),$   
 $j + 3 := (\lfloor [2 * ns\ !\ t + (if\ t < kk\ then\ 1\ else\ 0)] \rfloor_{NL}, 1)]$

**lemma**  $tm4$ :

**assumes**  $t < length\ ns$   
**and**  $t \equiv 31 + 6 * nlength\ (ns\ !\ t) + 2 * nlength\ (2 * ns\ !\ t + (if\ t < kk\ then\ 1\ else\ 0))$   
**shows** *transforms tm4 (tpsL t) t (tpsL4 t)*  
**unfolding** *tm4-def*  
**proof** (*tform tps: assms tpsL3-def jk tps0*)  
**show**  $tpsL4\ t = (tpsL3\ t)$   
 $[j + 3 := (\lfloor [2 * ns\ !\ t + (if\ t < kk\ then\ 1\ else\ 0)] \rfloor_{NL}, 1)]$   
**using** *tpsL3-def tpsL4-def jk tps0* **by** *simp*  
**qed**

**lemma**  $tm4'$  [*transforms-intros*]:

**assumes**  $t < length\ ns$  **and**  $t \equiv 33 + 8 * nlength\ (ns\ !\ t)$   
**shows** *transforms tm4 (tpsL t) t (tpsL4 t)*  
**proof** –  
**have**  $nlength\ (2 * ns\ !\ t + (if\ t < kk\ then\ 1\ else\ 0)) \leq Suc\ (nlength\ (ns\ !\ t))$   
**using** *nlength-0-simp nlength-even-le nlength-le-n nlength-times2plus1*  
**by** (*smt (verit) add.right-neutral le-Suc-eq mult-0-right neq0-conv*)  
**then have**  $31 + 6 * nlength\ (ns\ !\ t) +$   
 $2 * nlength\ (2 * ns\ !\ t + (if\ t < kk\ then\ 1\ else\ 0)) \leq t$   
**using** *assms(2)* **by** *simp*  
**then show** *?thesis*  
**using** *tm4 transforms-monotone assms(1)* **by** *blast*  
**qed**

**definition**  $tpsL5 :: nat \Rightarrow tape\ list$  **where**

$tpsL5\ t \equiv tps0$   
 $[j := nltape'\ ns\ (Suc\ t),$   
 $j + 1 := (\lfloor kk - t \rfloor_N, 1),$   
 $j + 2 := nlltape\ (map\ (\lambda t. [2 * ns\ !\ t + (if\ t < kk\ then\ 1\ else\ 0)])\ [0..<Suc\ t]),$   
 $j + 3 := (\lfloor [2 * ns\ !\ t + (if\ t < kk\ then\ 1\ else\ 0)] \rfloor_{NL}, 1)]$

**lemma**  $tm5$  [*transforms-intros*]:

**assumes**  $t < length\ ns$   
**and**  $t \equiv 39 + 8 * nlength\ (ns\ !\ t) + 2 * nlength\ [2 * ns\ !\ t + (if\ t < kk\ then\ 1\ else\ 0)]$   
**shows** *transforms tm5 (tpsL t) t (tpsL5 t)*  
**unfolding** *tm5-def*  
**proof** (*tform tps: assms(1) tpsL4-def jk*)  
**let**  $?tps = (tpsL4\ t)$   
 $[j + 2 := nlltape$   
 $(map\ (\lambda t. [2 * ns\ !\ t + (if\ t < kk\ then\ 1\ else\ 0)])\ [0..<t] @ \lfloor [2 * ns\ !\ t + (if\ t < kk\ then\ 1\ else\ 0)] \rfloor)]$   
**show**  $tpsL5\ t = ?tps$   
**unfolding** *tpsL5-def tpsL4-def*  
**by** (*simp only: list-update-overwrite list-update-swap-less[of j+2]*) *simp*  
**show**  $t \equiv 33 + 8 * nlength\ (ns\ !\ t) +$   
 $(7 + nlength\ (map\ (\lambda t. [2 * ns\ !\ t + (if\ t < kk\ then\ 1\ else\ 0)])\ [0..<t]) -$

$$\text{Suc } (nllength \ (map \ (\lambda t. \ [2 * ns ! t + (if \ t < kk \ then \ 1 \ else \ 0)]) \ [0..<t])) +$$

$$2 * nllength \ [2 * ns ! t + (if \ t < kk \ then \ 1 \ else \ 0)]$$

using *assms* by *simp*  
**qed**

**definition** *tpsL6* :: *nat* ⇒ *tape list* **where**

*tpsL6* *t* ≡ *tps0*  
 $[j := nltape' \ ns \ (Suc \ t),$   
 $j + 1 := (\lfloor kk - t - 1 \rfloor_N, 1),$   
 $j + 2 := nlltape \ (map \ (\lambda t. \ [2 * ns ! t + (if \ t < kk \ then \ 1 \ else \ 0)]) \ [0..<Suc \ t]),$   
 $j + 3 := (\lfloor [2 * ns ! t + (if \ t < kk \ then \ 1 \ else \ 0)] \rfloor_{NL}, 1)]$

**lemma** *tm6*:

**assumes**  $t < length \ ns$   
**and**  $ttt = 39 + 8 * nlength \ (ns ! t) +$   
 $2 * nllength \ [2 * ns ! t + (if \ t < kk \ then \ 1 \ else \ 0)] +$   
 $(8 + 2 * nlength \ (kk - t))$   
**shows** *transforms tm6* (*tpsL* *t*) *ttt* (*tpsL6* *t*)  
**unfolding** *tm6-def* **by** (*tform tps: assms(1)* *tpsL6-def tpsL5-def jk time: assms(2)*)

**lemma** *nllength-elem*:  $nllength \ [2 * ns ! t + (if \ t < kk \ then \ 1 \ else \ 0)] \leq 2 + nlength \ (ns ! t)$

**proof** –

**have**  $2 * ns ! t + (if \ t < kk \ then \ 1 \ else \ 0) \leq 2 * ns ! t + 1$   
**by** *simp*  
**then have**  $nlength \ (2 * ns ! t + (if \ t < kk \ then \ 1 \ else \ 0)) \leq nlength \ (2 * ns ! t + 1)$   
**using** *nlength-mono* **by** *simp*  
**then have**  $nlength \ (2 * ns ! t + (if \ t < kk \ then \ 1 \ else \ 0)) \leq Suc \ (nlength \ (ns ! t))$   
**using** *nlength-times2plus1* **by** *fastforce*  
**then show** *?thesis*  
**using** *nllength* **by** *simp*

**qed**

**lemma** *tm6'* [*transforms-intros*]:

**assumes**  $t < length \ ns$   
**and**  $ttt = 43 + 10 * nlength \ (ns ! t) + (8 + 2 * nlength \ (kk - t))$   
**shows** *transforms tm6* (*tpsL* *t*) *ttt* (*tpsL6* *t*)

**proof** –

**let**  $?ttt = 39 + 8 * nlength \ (ns ! t) +$   
 $2 * nllength \ [2 * ns ! t + (if \ t < kk \ then \ 1 \ else \ 0)] +$   
 $(8 + 2 * nlength \ (kk - t))$   
**have**  $?ttt \leq 39 + 8 * nlength \ (ns ! t) +$   
 $2 * (2 + nlength \ (ns ! t)) + (8 + 2 * nlength \ (kk - t))$   
**using** *nllength-elem*  
**by** (*meson add-mono-thms-linordered-semiring(2) add-mono-thms-linordered-semiring(3) nat-mult-le-cancel-disj*)  
**also have**  $\dots \leq 43 + 10 * nlength \ (ns ! t) + (8 + 2 * nlength \ (kk - t))$   
**by** *simp*  
**finally have**  $?ttt \leq ttt$   
**using** *assms(2)* **by** *simp*  
**then show** *?thesis*  
**using** *assms(1)* *tm6 transforms-monotone* **by** *blast*

**qed**

**definition** *tpsL7* :: *nat* ⇒ *tape list* **where**

*tpsL7* *t* ≡ *tps0*  
 $[j := nltape' \ ns \ (Suc \ t),$   
 $j + 1 := (\lfloor kk - Suc \ t \rfloor_N, 1),$   
 $j + 2 := nlltape \ (map \ (\lambda t. \ [2 * ns ! t + (if \ t < kk \ then \ 1 \ else \ 0)]) \ [0..<Suc \ t]),$   
 $j + 3 := (\lfloor [], 1 \rfloor)]$

**lemma** *tm7*:

**assumes**  $t < length \ ns$   
**and**  $ttt = 51 + (10 * nlength \ (ns ! t) + 2 * nlength \ (kk - t)) +$   
 $(7 + 2 * length \ (numlist \ [2 * ns ! t + (if \ t < kk \ then \ 1 \ else \ 0)]))$

**shows transforms**  $tm7$  ( $tpsL$   $t$ )  $t$  ( $tpsL7$   $t$ )  
**unfolding**  $tm7$ -def  
**proof** (*tform*  $tps$ :  $assms(1)$   $tpsL6$ -def  $tpsL7$ -def  $jk$  *time*:  $tpsL6$ -def  $jk$   $assms(2)$ )  
**let**  $?ns = [2 * ns ! t + (if\ t < kk\ then\ 1\ else\ 0)]$   
**show**  $tpsL6$   $t :: (j + 3) = \lfloor numlist\ ?ns \rfloor$   
**using**  $tpsL6$ -def  $nlcontents$ -def  $jk$  **by** *simp*  
**show** *proper-symbols* ( $numlist\ ?ns$ )  
**using** *proper-symbols-numlist* **by** *simp*  
**qed**

**lemma**  $tm7'$ :

**assumes**  $t < length\ ns$  **and**  $t$   $= 62 + 14 * nlength\ ns$   
**shows transforms**  $tm7$  ( $tpsL$   $t$ )  $t$  ( $tpsL7$   $t$ )  
**proof** –  
**let**  $?t = 51 + (10 * nlength\ (ns ! t) + 2 * nlength\ (kk - t)) +$   
 $(7 + 2 * length\ (numlist\ [2 * ns ! t + (if\ t < kk\ then\ 1\ else\ 0)]))$   
**have**  $?t = 58 + (10 * nlength\ (ns ! t) + 2 * nlength\ (kk - t)) +$   
 $2 * length\ (numlist\ [2 * ns ! t + (if\ t < kk\ then\ 1\ else\ 0)])$   
**by** *simp*  
**also have**  $\dots \leq 58 + (10 * nlength\ (ns ! t) + 2 * nlength\ (kk - t)) + 2 * (2 + nlength\ (ns ! t))$   
**using**  $nlength$ -elem  $nlength$ -def  $mult$ -le-mono2  $nat$ -add-left-cancel-le **by** *metis*  
**also have**  $\dots = 62 + 12 * nlength\ (ns ! t) + 2 * nlength\ (kk - t)$   
**by** *simp*  
**also have**  $\dots \leq 62 + 12 * nlength\ (ns ! t) + 2 * nlength\ (length\ ns)$   
**using**  $assms(1)$   $kk$   $nlength$ -mono **by** *simp*  
**also have**  $\dots \leq 62 + 12 * nlength\ ns + 2 * nlength\ (length\ ns)$   
**using**  $assms(1)$   $member$ -le- $nlength$  **by** *simp*  
**also have**  $\dots \leq 62 + 12 * nlength\ ns + 2 * nlength\ ns$   
**using**  $length$ -le- $nlength$   $nlength$ -le- $n$  **by** (*meson*  $add$ -left-mono  $dual$ -order.trans  $mult$ -le-mono2)  
**also have**  $\dots = 62 + 14 * nlength\ ns$   
**by** *simp*  
**finally have**  $?t \leq 62 + 14 * nlength\ ns$  .  
**then show**  $?thesis$   
**using**  $assms\ tm7$  *transforms-monotone* **by** *blast*  
**qed**

**lemma**  $tpsL7$ -eq- $tpsL$ :  $tpsL7$   $t = tpsL$  ( $Suc$   $t$ )

**unfolding**  $tpsL7$ -def  $tpsL$ -def  
**using**  $jk$   $tps0$   
**by** (*smt* (*verit*)  $Suc$ -eq-plus1  $add$ -2-eq- $Suc'$   $add$ -cancel-left-right  $add$ -left-cancel  $list$ -update-id  $list$ -update-swap  
 $num$ .*simps*(8)  $numeral$ -eq-iff  $numeral$ -eq-one-iff  $semiring$ -norm(86)  $zero$ -neq-numeral)

**lemma**  $tm7''$  [*transforms-intros*]:

**assumes**  $t < length\ ns$  **and**  $t$   $= 62 + 14 * nlength\ ns$   
**shows transforms**  $tm7$  ( $tpsL$   $t$ )  $t$  ( $tpsL$  ( $Suc$   $t$ ))  
**using**  $assms\ tpsL7$ -eq- $tpsL$   $tm7'$  **by** *simp*

**lemma**  $tmL$  [*transforms-intros*]:

**assumes**  $t$   $= length\ ns * (62 + 14 * nlength\ ns + 2) + 1$   
**shows transforms**  $tmL$  ( $tpsL$   $0$ )  $t$  ( $tpsL$  ( $length\ ns$ ))  
**unfolding**  $tmL$ -def  
**proof** (*tform*)  
**let**  $?t = 62 + 14 * nlength\ ns$   
**show**  $read\ (tpsL\ t) ! j \neq \square$  **if**  $t < length\ ns$  **for**  $t$   
**proof** –  
**have**  $tpsL\ t ! j = ntape'\ ns\ t$   
**using**  $tpsL$ -def  $jk$  **by** *simp*  
**then show**  $?thesis$   
**using**  $ntape'$ -tape-read *that*  $tapes$ -at-read'  $tpsL$ -def  $jk$   
**by** (*metis* (*no-types*, *lifting*)  $add$ -lessD1  $leD$   $length$ -list-update)  
**qed**  
**have**  $tpsL\ t ! j = ntape'\ ns\ t$  **for**  $t$   
**using**  $tpsL$ -def  $jk$   $nlcontents$ -def **by** *simp*

**then show**  $\neg \text{read } (\text{tpsL } (\text{length } ns)) ! j \neq \square$   
**using** *nltape'-tape-read tpsL-def jk tapes-at-read'*  
**by** (*metis (no-types, lifting) add-lessD1 length-list-update order-refl*)  
**show**  $\text{length } ns * (62 + 14 * \text{nllength } ns + 2) + 1 \leq \text{tnt}$   
**using** *assms* **by** *simp*  
**qed**

**definition** *tps8* :: *tape list where*

*tps8*  $\equiv$  *tps0*  
 $[j := \text{nltape}' ns (\text{length } ns),$   
 $j + 1 := (\lfloor 0 \rfloor_N, 1),$   
 $j + 2 := \text{nlltape}' (\text{map } (\lambda t. [2 * ns ! t + (\text{if } t < kk \text{ then } 1 \text{ else } 0)]) [0..<\text{length } ns]) 0]$

**lemma** *tm8*:

**assumes**  $\text{tnt} = \text{Suc } (\text{length } ns * (64 + 14 * \text{nllength } ns)) +$   
 $\text{Suc } (\text{Suc } (\text{Suc } (\text{nllength } (\text{map } (\lambda t. [2 * ns ! t + (\text{if } t < kk \text{ then } 1 \text{ else } 0)]) [0..<\text{length } ns])))$   
**shows** *transforms tm8 (tpsL 0) tnt tps8*  
**unfolding** *tm8-def*  
**proof** (*tform tps: tpsL-def tps8-def jk time: assms tpsL-def jk*)  
**show** *clean-tape (tpsL (length ns) ! (j + 2))*  
**using** *tpsL-def jk clean-tape-nllcontents* **by** *simp*  
**show**  $\text{tps8} = (\text{tpsL } (\text{length } ns))[j + 2 := \text{tpsL } (\text{length } ns) ! (j + 2) \mid \# = \mid 1]$   
**unfolding** *tps8-def tpsL-def* **using** *jk kk* **by** *simp*  
**qed**

**lemma** *tm8'* [*transforms-intros*]:

**assumes**  $\text{tnt} = 4 + 81 * \text{nllength } ns \wedge 2$   
**shows** *transforms tm8 tps0 tnt tps8*  
**proof** –  
**let**  $?nss = \text{map } (\lambda t. [2 * ns ! t + (\text{if } t < kk \text{ then } 1 \text{ else } 0)]) [0..<\text{length } ns]$   
**let**  $?tnt = \text{Suc } (\text{length } ns * (64 + 14 * \text{nllength } ns)) + \text{Suc } (\text{Suc } (\text{Suc } (\text{nllength } ?nss)))$

**have**  $\text{nllength } ?nss = (\sum ns \leftarrow ?nss. \text{Suc } (\text{nllength } ns))$   
**using** *nllength* **by** *simp*  
**also have**  $\dots = (\sum i \leftarrow [0..<\text{length } ns]. \text{Suc } (\text{nllength } [2 * ns ! i + (\text{if } i < kk \text{ then } 1 \text{ else } 0)]))$   
**proof** –  
**have**  $\text{map } (\lambda ns. \text{Suc } (\text{nllength } ns)) ?nss = \text{map } (\lambda i. \text{Suc } (\text{nllength } (?nss ! i))) [0..<\text{length } ns]$   
**by** *simp*  
**then have**  $\text{map } (\lambda ns. \text{Suc } (\text{nllength } ns)) ?nss = \text{map } (\lambda i. \text{Suc } (\text{nllength } [2 * ns ! i + (\text{if } i < kk \text{ then } 1 \text{ else } 0)])) [0..<\text{length } ns]$   
**by** *simp*  
**then show** *?thesis*  
**by** *metis*  
**qed**

**also have**  $\dots = (\sum i \leftarrow [0..<\text{length } ns]. \text{Suc } (\text{Suc } (\text{nlength } (2 * ns ! i + (\text{if } i < kk \text{ then } 1 \text{ else } 0)))))$   
**using** *nllength* **by** *simp*  
**also have**  $\dots \leq (\sum i \leftarrow [0..<\text{length } ns]. \text{Suc } (\text{Suc } (\text{nlength } (2 * ns ! i + 1))))$   
**using** *sum-list-mono-nth[of [0..<length ns] nlength-mono* **by** *simp*  
**also have**  $\dots \leq (\sum i \leftarrow [0..<\text{length } ns]. \text{Suc } (\text{Suc } (\text{Suc } (\text{nlength } (ns ! i))))$   
**using** *sum-list-mono-nth[of [0..<length ns] nlength-times2plus1* **by** *simp*  
**also have**  $\dots = (\sum i \leftarrow [0..<\text{length } ns]. 2 + \text{Suc } (\text{nlength } (ns ! i)))$   
**by** *simp*  
**also have**  $\dots = 2 * \text{length } ns + (\sum i \leftarrow [0..<\text{length } ns]. \text{Suc } (\text{nlength } (ns ! i)))$   
**using** *sum-list-plus-const[of 2 - [0..<length ns]* **by** *simp*  
**also have**  $\dots = 2 * \text{length } ns + \text{nllength } ns$

**proof** –  
**have**  $\text{map } (\lambda i. \text{Suc } (\text{nlength } (ns ! i))) [0..<\text{length } ns] = \text{map } (\lambda n. \text{Suc } (\text{nlength } n)) ns$   
**by** (*rule nth-equalityI*) *simp-all*  
**then show** *?thesis*  
**using** *nllength* **by** *simp*  
**qed**

**finally have**  $\text{nllength } ?nss \leq 2 * \text{length } ns + \text{nllength } ns$  .  
**then have**  $?tnt \leq \text{Suc } (\text{length } ns * (64 + 14 * \text{nllength } ns)) + \text{Suc } (\text{Suc } (\text{Suc } (2 * \text{length } ns + \text{nllength } ns)))$

```

  by simp
  also have ... = 4 + length ns * (64 + 14 * nlength ns) + 2 * length ns + nlength ns
  by simp
  also have ... = 4 + length ns * (66 + 14 * nlength ns) + nlength ns
  by algebra
  also have ... ≤ 4 + nlength ns * (66 + 14 * nlength ns) + nlength ns
  using length-le-nlength by simp
  also have ... = 4 + 67 * nlength ns + 14 * nlength ns ^ 2
  by algebra
  also have ... ≤ 4 + 67 * nlength ns ^ 2 + 14 * nlength ns ^ 2
  using linear-le-pow by simp
  also have ... = 4 + 81 * nlength ns ^ 2
  by simp
  finally have ?t1 ≤ 4 + 81 * nlength ns ^ 2 .
  then show ?thesis
  using tm8 assms transforms-monotone tpsL-eq-tps0 by simp
qed

```

**definition** *tps9* :: *tape list* **where**

```

tps9 ≡ tps0
  [j := ([[]], 1),
   j + 1 := ([0]N, 1),
   j + 2 := nlltape' (map (λt. [2 * ns ! t + (if t < kk then 1 else 0)]) [0..length ns]) 0]

```

**lemma** *tm9*:

```

  assumes t1 = 11 + 81 * nlength ns ^ 2 + 3 * nlength ns
  shows transforms tm9 tps0 t1 tps9
  unfolding tm9-def
proof (tform tps: tps8-def tps9-def jk)
  show tps8 ::: j = [numlist ns]
  using tps8-def jk nlcontents-def by simp
  show proper-symbols (numlist ns)
  using proper-symbols-numlist by simp
  show t1 = 4 + 81 * (nlength ns)2 + (tps8 :# j + 2 * length (numlist ns) + 6)
  using tps8-def jk assms nlength-def by simp
qed

```

**lemma** *tm9'*:

```

  assumes t1 = 11 + 84 * nlength ns ^ 2
  shows transforms tm9 tps0 t1 tps9
proof -
  have 11 + 81 * nlength ns ^ 2 + 3 * nlength ns ≤ 11 + 84 * nlength ns ^ 2
  using linear-le-pow by simp
  then show ?thesis
  using tm9 assms transforms-monotone by simp
qed

```

**end**

**end**

**lemma** *transforms-tm-PsiI* [*transforms-intros*]:

```

  fixes j :: tapeidx
  fixes tps tps' :: tape list and t1 k kk :: nat and ns :: nat list
  assumes length tps = k 0 < j j + 3 < k
  and kk ≤ length ns
  assumes
    tps ! j = ([ns]NL, 1)
    tps ! (j + 1) = ([kk]N, 1)
    tps ! (j + 2) = ([[]]NLL, 1)
    tps ! (j + 3) = ([[]], 1)
  assumes t1 = 11 + 84 * nlength ns ^ 2
  assumes tps' = tps

```



```

[j := ([[]], 1),
 j + 1 := ([0]N, 1),
 j + 2 := nlltape' (map (λt. [2 * ns ! t + (if t < kk then 1 else 0)]) [0.. $\text{length ns}$ ]) 0]
shows transforms (tm-Psi j) tps ttt tps'
proof -
interpret loc: turing-machine-Psi j .
show ?thesis
using loc.tm9-eq-tm-Psi loc.tps9-def loc.tm9' assms by simp
qed

```

## 7.4.2 For intervals

To construct  $\Phi$  we need only  $\Psi$  formulas where the variable index list is an interval  $\gamma_i = [iH, (i + 1)H)$ . In this section we devise a Turing machine that outputs  $\Psi([start, start + len), \kappa)$  for arbitrary  $start$ ,  $len$ , and  $\kappa$ .

**definition**  $nll\text{-Psi} :: nat \Rightarrow nat \Rightarrow nat \Rightarrow nat\ list\ list\ \mathbf{where}$

```
 $nll\text{-Psi}\ start\ len\ \kappa \equiv map\ (\lambda i. [2 * (start + i) + (if\ i < \kappa\ then\ 1\ else\ 0)])\ [0.. $\text{len}$ ]$ 
```

**lemma**  $nll\text{-Psi}$ :  $nll\text{-Psi}\ start\ len\ \kappa = formula\text{-}n\ (\Psi\ [start.. $\text{start}+len]$   $\kappa)$$

(is ?lhs = ?rhs)

**proof** (rule nth-equalityI)

show  $len$ :  $length\ ?lhs = length\ ?rhs$

using  $nll\text{-Psi}\text{-def}\ Psi\text{-def}\ formula\text{-}n\text{-def}$  by simp

let  $?psi = \Psi\ [start.. $\text{start}+len]$   $\kappa$$

show  $?lhs\ !\ i = ?rhs\ !\ i$  if  $i < length\ ?lhs$  for  $i$

**proof** -

have  $i < length\ ?psi$

using that  $Psi\text{-def}\ nll\text{-Psi}\text{-def}$  by simp

have  $i < len$

using that  $Psi\text{-def}\ nll\text{-Psi}\text{-def}$  by simp

show ?thesis

**proof** (cases  $i < \kappa$ )

case True

then have  $?psi\ !\ i = [Pos\ (start + i)]$

using  $Psi\text{-def}\ nth\text{-append}[of\ map\ (\lambda s. [Pos\ s])\ (take\ \kappa\ [start.. $\text{start}+len]$ ) - i]$   $\langle i < len \rangle$   
by simp

moreover have  $?rhs\ !\ i = clause\text{-}n\ (?psi\ !\ i)$

using  $formula\text{-}n\text{-def}\ that\ \langle i < length\ ?psi \rangle$  by simp

ultimately have  $?rhs\ !\ i = [Suc\ (2 * (start + i))]$

using  $clause\text{-}n\text{-def}$  by simp

moreover have  $?lhs\ !\ i = [2 * (start + i) + 1]$

using True  $nll\text{-Psi}\text{-def}\ that$  by simp

ultimately show ?thesis

by simp

next

case False

then have  $?psi\ !\ i = [Neg\ (start + i)]$

using  $Psi\text{-def}\ nth\text{-append}[of\ map\ (\lambda s. [Pos\ s])\ (take\ \kappa\ [start.. $\text{start}+len]$ ) - i]$   $\langle i < len \rangle$   
by auto

moreover have  $?rhs\ !\ i = clause\text{-}n\ (?psi\ !\ i)$

using  $formula\text{-}n\text{-def}\ that\ \langle i < length\ ?psi \rangle$  by simp

ultimately have  $?rhs\ !\ i = [2 * (start + i)]$

using  $clause\text{-}n\text{-def}$  by simp

moreover have  $?lhs\ !\ i = [2 * (start + i)]$

using False  $nll\text{-Psi}\text{-def}\ that$  by simp

ultimately show ?thesis

by simp

qed

qed

qed

**lemma**  $nlllength\text{-}nll\text{-Psi}\text{-le}$ :  $nlllength\ (nll\text{-Psi}\ start\ len\ \kappa) \leq len * (3 + nlength\ (start + len))$

**proof** -

**define**  $f :: nat \Rightarrow nat\ list$  **where**  
 $f = (\lambda i. [2 * (start + i) + (if\ i < \kappa\ then\ 1\ else\ 0)])$   
**let**  $?nss = map\ f\ [0..<len]$   
**have**  $nllength\ (nll-Psi\ start\ len\ \kappa) = (\sum\ ns \leftarrow ?nss.\ Suc\ (nllength\ ns))$   
**using**  $nllength\ f-def\ nll-Psi-def$  **by**  $simp$   
**also have**  $\dots = (\sum\ i \leftarrow [0..<len]. (\lambda ns.\ Suc\ (nllength\ ns))\ (f\ i))$   
**by**  $(metis\ no-types,\ lifting)\ map-eq-conv\ map-map\ o-apply$   
**also have**  $\dots = (\sum\ i \leftarrow [0..<len]. Suc\ (nllength\ ([2 * (start + i) + (if\ i < \kappa\ then\ 1\ else\ 0)])))$   
**using**  $f-def$  **by**  $simp$   
**also have**  $\dots = (\sum\ i \leftarrow [0..<len]. Suc\ (Suc\ (nlength\ (2 * (start + i) + (if\ i < \kappa\ then\ 1\ else\ 0)))))$   
**using**  $nllength$  **by**  $simp$   
**also have**  $\dots \leq (\sum\ i \leftarrow [0..<len]. Suc\ (Suc\ (nlength\ (2 * (start + i) + 1))))$   
**using**  $nlength-mono$   
 $sum-list-mono[of\ [0..<len]$   
 $\lambda i.\ Suc\ (Suc\ (nlength\ (2 * (start + i) + (if\ i < \kappa\ then\ 1\ else\ 0)))]$   
 $\lambda i.\ Suc\ (Suc\ (nlength\ (2 * (start + i) + 1)))]$   
**by**  $simp$   
**also have**  $\dots \leq (\sum\ i \leftarrow [0..<len]. Suc\ (Suc\ (nlength\ (2 * (start + len))))$   
**using**  $nlength-mono$   
 $sum-list-mono[of\ [0..<len]$   
 $\lambda i.\ Suc\ (Suc\ (nlength\ (2 * (start + i) + 1)))]$   
 $\lambda i.\ Suc\ (Suc\ (nlength\ (2 * (start + len))))]$   
**by**  $simp$   
**also have**  $\dots = len * Suc\ (Suc\ (nlength\ (2 * (start + len))))$   
**using**  $sum-list-const[of\ -\ [0..<len]]$  **by**  $simp$   
**also have**  $\dots \leq len * Suc\ (Suc\ (Suc\ (nlength\ (start + len))))$   
**using**  $nlength-times2$  **by**  $(metis\ add-gr-0\ eq-refl\ mult-le-cancel1\ nlength-even-le)$   
**also have**  $\dots = len * (3 + nlength\ (start + len))$   
**by**  $(simp\ add:\ Suc3-eq-add-3)$   
**finally show**  $?thesis$  .  
**qed**

**lemma**  $nllength-nll-Psi-le'$ :  
**assumes**  $start1 \leq start2$   
**shows**  $nllength\ (nll-Psi\ start1\ len\ \kappa) \leq len * (3 + nlength\ (start2 + len))$   
**proof** –  
**have**  $nllength\ (nll-Psi\ start1\ len\ \kappa) \leq len * (3 + nlength\ (start1 + len))$   
**using**  $nllength-nll-Psi-le$  **by**  $simp$   
**moreover have**  $nlength\ (start1 + len) \leq nlength\ (start2 + len)$   
**using**  $assms\ nlength-mono$  **by**  $simp$   
**ultimately show**  $?thesis$   
**by**  $(meson\ add-mono-thms-linordered-semiring(2)\ mult-le-mono2\ order-trans)$   
**qed**

**lemma**  $H4-nlength$ :  
**fixes**  $x\ y\ H :: nat$   
**assumes**  $x \leq y$  **and**  $H \geq 3$   
**shows**  $H \wedge 4 * (nlength\ x)^2 \leq H \wedge 4 * (nlength\ y)^2$   
**using**  $assms$  **by**  $(simp\ add:\ nlength-mono)$

The next Turing machine receives on tape  $j$  a number  $i$ , on tape  $j + 1$  a number  $H$ , and on tape  $j + 2$  a number  $\kappa$ . It outputs  $\Psi([i \cdot H, (i + 1) \cdot H], \kappa)$ .

**definition**  $tm-Psigamma :: tapeidx \Rightarrow machine$  **where**  
 $tm-Psigamma\ j \equiv$   
 $tm-mult\ j\ (j + 1)\ (j + 3) ;;$   
 $tm-range\ (j + 3)\ (j + 1)\ (j + 4) ;;$   
 $tm-copy\ (j + 2)\ (j + 5) ;;$   
 $tm-Psi\ (j + 4) ;;$   
 $tm-erase-cr\ (j + 3)$

**lemma**  $tm-Psigamma-tm$ :  
**assumes**  $G \geq 6$  **and**  $j + 7 < k$   
**shows**  $turing-machine\ k\ G\ (tm-Psigamma\ j)$

**unfolding** *tm-Psigamma-def*  
**using** *assms tm-mult-tm tm-range-tm tm-copyn-tm tm-Psi-tm tm-erase-cr-tm*  
**by** *simp*

**locale** *turing-machine-Psigamma* =

**fixes**  $j :: \text{tapeidx}$

**begin**

**definition**  $tm1 \equiv tm\text{-mult } j (j + 1) (j + 3)$

**definition**  $tm2 \equiv tm1 ;; tm\text{-range } (j + 3) (j + 1) (j + 4)$

**definition**  $tm3 \equiv tm2 ;; tm\text{-copyn } (j + 2) (j + 5)$

**definition**  $tm4 \equiv tm3 ;; tm\text{-Psi } (j + 4)$

**definition**  $tm5 \equiv tm4 ;; tm\text{-erase-cr } (j + 3)$

**lemma** *tm5-eq-tm-Psigamma*:  $tm5 = tm\text{-Psi gamma } j$

**using** *tm5-def tm4-def tm3-def tm2-def tm1-def tm-Psigamma-def* **by** *simp*

**context**

**fixes**  $tps0 :: \text{tape list}$  **and**  $H k \text{ idx } \kappa :: \text{nat}$

**assumes**  $jk: \text{length } tps0 = k \ 0 < j \ j + 7 < k$

**and**  $H: H \geq 3$

**and**  $\kappa: \kappa \leq H$

**assumes**  $tps0$ :

$tps0 ! j = ([\text{idx}]_N, 1)$

$tps0 ! (j + 1) = ([H]_N, 1)$

$tps0 ! (j + 2) = ([\kappa]_N, 1)$

$tps0 ! (j + 3) = ([[]], 1)$

$tps0 ! (j + 4) = ([[]], 1)$

$tps0 ! (j + 5) = ([[]], 1)$

$tps0 ! (j + 6) = ([[]], 1)$

$tps0 ! (j + 7) = ([[]], 1)$

**begin**

**definition**  $tps1 \equiv tps0$

$[j + 3 := ([\text{idx} * H]_N, 1)]$

**lemma** *tm1 [transforms-intros]*:

**assumes**  $ttt = 4 + 26 * (\text{nlength } \text{idx} + \text{nlength } H) \wedge 2$

**shows** *transforms tm1 tps0 ttt tps1*

**unfolding** *tm1-def*

**proof** (*tform tps: jk tps0 tps1-def*)

**show**  $tps0 ! (j + 3) = ([0]_N, 1)$

**using** *tps0 canrepr-0* **by** *simp*

**show**  $ttt = 4 + 26 * (\text{nlength } \text{idx} + \text{nlength } H) * (\text{nlength } \text{idx} + \text{nlength } H)$

**using** *assms* **by** *algebra*

**qed**

**definition**  $tps2 \equiv tps0$

$[j + 3 := ([\text{idx} * H]_N, 1),$

$j + 4 := ([[\text{idx} * H..<\text{idx} * H + H]]_{NL}, 1)]$

**lemma** *tm2 [transforms-intros]*:

**assumes**  $ttt = 4 + 26 * (\text{nlength } \text{idx} + \text{nlength } H)^2 + \text{Suc } H * (43 + 9 * \text{nlength } (\text{idx} * H + H))$

**shows** *transforms tm2 tps0 ttt tps2*

**unfolding** *tm2-def*

**proof** (*tform tps: tps0 tps1-def tps2-def jk time: assms*)

**show**  $tps1 ! (j + 4) = ([[]]_{NL}, 1)$

**using** *tps1-def tps0 jk nlcontents-Nil* **by** *simp*

**have**  $j + 4 + 1 = j + 5$

**by** *simp*

**then show**  $tps1 ! (j + 4 + 1) = ([0]_N, 1)$

**using** *tps1-def tps0 jk canrepr-0*

**by** (*metis add-left-imp-eq nth-list-update-neq numeral-eq-iff semiring-norm(83) semiring-norm(90)*)

**have**  $j + 4 + 2 = j + 6$   
**by** *simp*  
**then show**  $tps1 ! (j + 4 + 2) = ([0]_N, 1)$   
**using** *tps1-def tps0 jk canrepr-0*  
**by** (*metis add-left-imp-eq nth-list-update-neq num.simps(8) numeral-eq-iff*)  
**qed**

**definition**  $tps3 \equiv tps0$   
 $[j + 3 := ([idx * H]_N, 1),$   
 $j + 4 := ([idx * H..<idx * H + H]_{NL}, 1),$   
 $j + 5 := ([\kappa]_N, 1)]$

**lemma** *tm3 [transforms-intros]:*  
**assumes**  $ttt = 18 + 26 * (nlength\ idx + nlength\ H)^2 + Suc\ H * (43 + 9 * nlength\ (idx * H + H)) + 3 * nlength\ \kappa$   
**shows** *transforms tm3 tps0 ttt tps3*  
**unfolding** *tm3-def*  
**proof** (*tform tps: tps0 tps2-def tps3-def jk*)  
**show**  $tps2 ! (j + 5) = ([0]_N, 1)$   
**using** *tps2-def jk tps0 canrepr-0* **by** *simp*  
**show**  $ttt = 4 + 26 * (nlength\ idx + nlength\ H)^2 + Suc\ H * (43 + 9 * nlength\ (idx * H + H)) + (14 + 3 * (nlength\ \kappa + nlength\ 0))$   
**using** *assms* **by** *simp*  
**qed**

**definition**  $tps4 \equiv tps0$   
 $[j + 3 := ([idx * H]_N, 1),$   
 $j + 4 := ([[]], 1),$   
 $j + 5 := ([0]_N, 1),$   
 $j + 6 := nlltape'$   
 $(map\ (\lambda t. [2 * [idx * H..<idx * H + H] ! t + (if\ t < \kappa\ then\ 1\ else\ 0)])$   
 $[0..<length\ [idx * H..<idx * H + H]])\ 0]$

**lemma** *tm4:*  
**assumes**  $ttt = 29 + 26 * (nlength\ idx + nlength\ H)^2 + Suc\ H * (43 + 9 * nlength\ (idx * H + H)) + 3 * nlength\ \kappa + 84 * (nlength\ [idx * H..<idx * H + H])^2$   
**shows** *transforms tm4 tps0 ttt tps4*  
**unfolding** *tm4-def*  
**proof** (*tform tps: tps0 tps3-def tps4-def jk \kappa time: assms*)  
**have**  $*$ :  $j + 4 + 1 = j + 5\ j + 4 + 2 = j + 6\ j + 4 + 3 = j + 7$   
**using** *add.assoc* **by** *simp-all*  
**have**  $tps3 ! (j + 5) = ([\kappa]_N, 1)$   
**using** *tps3-def jk* **by** *simp*  
**then show**  $tps3 ! (j + 4 + 1) = ([\kappa]_N, 1)$   
**using**  $*$  **by** *metis*  
**have**  $tps3 ! (j + 6) = ([[]]_{NLL}, 1)$   
**using** *tps3-def jk tps0 nllcontents-Nil* **by** *simp*  
**then show**  $tps3 ! (j + 4 + 2) = ([[]]_{NLL}, 1)$   
**using**  $*$  **by** *metis*  
**have**  $tps3 ! (j + 7) = ([[]], 1)$   
**using** *tps3-def jk tps0* **by** *simp*  
**then show**  $tps3 ! (j + 4 + 3) = ([[]], 1)$   
**using**  $*$  **by** *metis*  
**have**  $tps4 = tps3$   
 $[j + 4 := ([[]], 1),$   
 $j + 5 := ([0]_N, 1),$   
 $j + 6 := nlltape'$   
 $(map\ (\lambda t. [2 * [idx * H..<idx * H + H] ! t + (if\ t < \kappa\ then\ 1\ else\ 0)])$   
 $[0..<length\ [idx * H..<idx * H + H]])\ 0]$   
**unfolding** *tps4-def tps3-def*  
**by** (*simp only: list-update-overwrite list-update-swap-less*)  
**then show**  $tps4 = tps3$

$[j + 4 := ([\square], 1),$   
 $j + 4 + 1 := ([0]_N, 1),$   
 $j + 4 + 2 := \text{nlltape}'$   
 $(\text{map } (\lambda t. [2 * [idx * H..<idx * H + H] ! t + (\text{if } t < \kappa \text{ then } 1 \text{ else } 0)])$   
 $[0..<\text{length } [idx * H..<idx * H + H]]) 0]$   
**using \* by metis**  
**qed**

**definition**  $\text{tps4}' \equiv \text{tps0}$   
 $[j + 3 := ([idx * H]_N, 1),$   
 $j + 4 := ([\square], 1),$   
 $j + 5 := ([0]_N, 1),$   
 $j + 6 := \text{nlltape}' (\text{map } (\lambda t. [2 * (idx * H + t) + (\text{if } t < \kappa \text{ then } 1 \text{ else } 0)]) [0..<H]) 0]$

**lemma**  $\text{tps4}'\text{-eq-tps4}$ :  $\text{tps4}' = \text{tps4}$

**proof** –

**have**  $\text{map } (\lambda t. [2 * [idx * H..<idx * H + H] ! t + (\text{if } t < \kappa \text{ then } 1 \text{ else } 0)]) [0..<\text{length } [idx * H..<idx * H + H]] =$   
 $\text{map } (\lambda t. [2 * (idx * H + t) + (\text{if } t < \kappa \text{ then } 1 \text{ else } 0)]) [0..<H]$   
**by simp**  
**then show** *?thesis*  
**using**  $\text{tps4}'\text{-def-tps4-def}$  **by metis**  
**qed**

**lemma**  $\text{tm4}'$  [*transforms-intros*]:

**assumes**  $\text{tnt} = 29 + 26 * (\text{nlength } idx + \text{nlength } H)^2 + \text{Suc } H * (43 + 9 * \text{nlength } (idx * H + H)) +$   
 $3 * \text{nlength } \kappa + 84 * (\text{nlength } [idx * H..<idx * H + H])^2$   
**shows** *transforms tm4 tps0 tnt tps4'*  
**using**  $\text{tm4-tps4}'\text{-eq-tps4}$  *assms* **by simp**

**definition**  $\text{tps5} \equiv \text{tps0}$

$[j + 3 := ([\square], 1),$   
 $j + 4 := ([\square], 1),$   
 $j + 5 := ([0]_N, 1),$   
 $j + 6 := \text{nlltape}' (\text{map } (\lambda t. [2 * (idx * H + t) + (\text{if } t < \kappa \text{ then } 1 \text{ else } 0)]) [0..<H]) 0]$

**lemma**  $\text{tm5}$ :

**assumes**  $\text{tnt} = 36 + 26 * (\text{nlength } idx + \text{nlength } H)^2 + \text{Suc } H * (43 + 9 * \text{nlength } (idx * H + H)) +$   
 $3 * \text{nlength } \kappa + 84 * (\text{nlength } [idx * H..<idx * H + H])^2 +$   
 $2 * \text{nlength } (idx * H)$   
**shows** *transforms tm5 tps0 tnt tps5*  
**unfolding**  $\text{tm5-def}$

**proof** (*tform tps: tps0 tps4'-def tps5-def jk κ time: assms tps4'-def jk*)

**show** *proper-symbols (canrepr (idx \* H))*  
**using** *proper-symbols-canrepr* **by simp**

**qed**

**definition**  $\text{tps5}' \equiv \text{tps0}$

$[j + 6 := ([\text{nll-Psi } (idx * H) H \kappa]_{NLL}, 1)]$

**lemma**  $\text{tps5}'\text{-eq-tps5}$ :  $\text{tps5}' = \text{tps5}$

**using**  $\text{tps5}'\text{-def-tps5-def-}jk$   $\text{tps0-nll-Psi-def-nlltape}'\text{-0}$  *canrepr-0* **by** (*metis list-update-id*)

**lemma**  $\text{tm5}'$ :

**assumes**  $\text{tnt} = 1851 * H^4 * (\text{nlength } (\text{Suc } idx))^2$   
**shows** *transforms tm5 tps0 tnt tps5'*

**proof** –

**let**  $?tnt = 36 + 26 * (\text{nlength } idx + \text{nlength } H)^2 + \text{Suc } H * (43 + 9 * \text{nlength } (idx * H + H)) +$   
 $3 * \text{nlength } \kappa + 84 * (\text{nlength } [idx * H..<idx * H + H])^2 + 2 * \text{nlength } (idx * H)$   
**have**  $?tnt \leq 36 + 26 * (\text{nlength } idx + \text{nlength } H)^2 + \text{Suc } H * (43 + 9 * \text{nlength } (idx * H + H)) +$   
 $3 * \text{nlength } \kappa + 84 * (\text{Suc } (\text{nlength } (\text{Suc } idx * H)) * H)^2 + 2 * \text{nlength } (idx * H)$   
**using** *nlength-le-len-mult-max* [of  $[idx * H..<idx * H + H]$   $\text{Suc } idx * H$ ] **by simp**  
**also have**  $\dots \leq 36 + 26 * (\text{nlength } idx + \text{nlength } H)^2 + \text{Suc } H * (43 + 9 * \text{nlength } (idx * H + H)) +$

$3 * \text{nlength } H + 84 * (\text{Suc } (\text{nlength } (\text{Suc } \text{idx} * H)) * H)^2 + 2 * \text{nlength } (\text{idx} * H)$   
**using**  $\kappa$  *nlength-mono* **by** *simp*  
**also have**  $\dots = 36 + 26 * (\text{nlength } \text{idx} + \text{nlength } H)^2 + \text{Suc } H * (43 + 9 * \text{nlength } (\text{Suc } \text{idx} * H)) +$   
 $3 * \text{nlength } H + 84 * (\text{Suc } (\text{nlength } (\text{Suc } \text{idx} * H)) * H)^2 + 2 * \text{nlength } (\text{idx} * H)$   
**by** (*simp add: add commute*)  
**also have**  $\dots \leq 36 + 26 * (\text{nlength } \text{idx} + \text{nlength } H)^2 + \text{Suc } H * (43 + 9 * (\text{nlength } (\text{Suc } \text{idx}) + \text{nlength } H))$   
+  
 $3 * \text{nlength } H + 84 * (\text{Suc } (\text{nlength } (\text{Suc } \text{idx} * H)) * H)^2 + 2 * \text{nlength } (\text{idx} * H)$   
**proof** –  
**have**  $\text{Suc } H * (43 + 9 * \text{nlength } (\text{Suc } \text{idx} * H)) \leq \text{Suc } H * (43 + 9 * (\text{nlength } (\text{Suc } \text{idx}) + \text{nlength } H))$   
**using** *nlength-prod* **by** (*meson add-mono-thms-linordered-semiring(2) mult-le-mono2*)  
**then show** *?thesis*  
**by** *simp*  
**qed**  
**also have**  $\dots \leq 36 + 26 * (\text{nlength } \text{idx} + \text{nlength } H)^2 + \text{Suc } H * (43 + 9 * (\text{nlength } (\text{Suc } \text{idx}) + \text{nlength } H))$   
+  
 $3 * \text{nlength } H + 84 * (\text{Suc } (\text{nlength } (\text{Suc } \text{idx}) + \text{nlength } H) * H)^2 + 2 * \text{nlength } (\text{idx} * H)$   
**using** *nlength-prod Suc-le-mono add-le-mono le-refl mult-le-mono power2-nat-le-eq-le* **by** *presburger*  
**also have**  $\dots \leq 36 + 26 * (\text{nlength } \text{idx} + \text{nlength } H)^2 + \text{Suc } H * (43 + 9 * (\text{nlength } (\text{Suc } \text{idx}) + \text{nlength } H))$   
+  
 $3 * \text{nlength } H + 84 * (\text{Suc } (\text{nlength } (\text{Suc } \text{idx}) + \text{nlength } H) * H)^2 + 2 * (\text{nlength } \text{idx} + \text{nlength } H)$   
**using** *nlength-prod Suc-le-mono add-le-mono le-refl mult-le-mono power2-nat-le-eq-le* **by** *presburger*  
**also have**  $\dots \leq 36 + 26 * (\text{Suc } (\text{nlength } (\text{Suc } \text{idx}) + \text{nlength } H) * H)^2 + \text{Suc } H * (43 + 9 * (\text{nlength } (\text{Suc } \text{idx}) + \text{nlength } H)) +$   
 $3 * \text{nlength } H + 84 * (\text{Suc } (\text{nlength } (\text{Suc } \text{idx}) + \text{nlength } H) * H)^2 + 2 * (\text{nlength } \text{idx} + \text{nlength } H)$   
**proof** –  
**have**  $\text{nlength } \text{idx} + \text{nlength } H \leq \text{Suc } (\text{nlength } (\text{Suc } \text{idx}) + \text{nlength } H)$   
**using** *nlength-mono* **by** (*metis add commute nat-add-left-cancel-le nlength-Suc-le plus-1-eq-Suc trans-le-add2*)  
**moreover have**  $H > 0$   
**using**  $H$  **by** *simp*  
**ultimately have**  $\text{nlength } \text{idx} + \text{nlength } H \leq \text{Suc } (\text{nlength } (\text{Suc } \text{idx}) + \text{nlength } H) * H$   
**by** (*metis (no-types, opaque-lifting) Suc-le-eq Suc-neq-Zero mult.assoc mult.commute mult-eq-1-iff mult-le-mono nat-mult-eq-cancel-disj*)  
**then show** *?thesis*  
**by** *simp*  
**qed**  
**also have**  $\dots = 36 + 110 * (\text{Suc } (\text{nlength } (\text{Suc } \text{idx}) + \text{nlength } H) * H)^2 + \text{Suc } H * (43 + 9 * (\text{nlength } (\text{Suc } \text{idx}) + \text{nlength } H)) +$   
 $3 * \text{nlength } H + 2 * (\text{nlength } \text{idx} + \text{nlength } H)$   
**by** *simp*  
**also have**  $\dots \leq 36 + 110 * (\text{Suc } (\text{nlength } (\text{Suc } \text{idx}) + \text{nlength } H) * H)^2 + \text{Suc } H * (43 + 9 * (\text{nlength } (\text{Suc } \text{idx}) + \text{nlength } H)) +$   
 $3 * (\text{Suc } (\text{nlength } (\text{Suc } \text{idx}) + \text{nlength } H) * H)^2 + 2 * (\text{nlength } \text{idx} + \text{nlength } H)$   
**proof** –  
**have**  $\text{nlength } H \leq \text{Suc } (\text{nlength } (\text{Suc } \text{idx}) + \text{nlength } H) * H$   
**using**  $H$  **by** (*simp add: nlength-le-n trans-le-add1*)  
**then have**  $\text{nlength } H \leq (\text{Suc } (\text{nlength } (\text{Suc } \text{idx}) + \text{nlength } H) * H)^2$   
**by** (*meson le-refl le-trans power2-nat-le-imp-le*)  
**then show** *?thesis*  
**by** *simp*  
**qed**  
**also have**  $\dots = 36 + 113 * (\text{Suc } (\text{nlength } (\text{Suc } \text{idx}) + \text{nlength } H) * H)^2 + \text{Suc } H * (43 + 9 * (\text{nlength } (\text{Suc } \text{idx}) + \text{nlength } H)) +$   
 $2 * (\text{nlength } \text{idx} + \text{nlength } H)$   
**by** *simp*  
**also have**  $\dots \leq 36 + 113 * (\text{Suc } (\text{nlength } (\text{Suc } \text{idx}) + \text{nlength } H) * H)^2 + \text{Suc } H * (43 + 9 * (\text{nlength } (\text{Suc } \text{idx}) + \text{nlength } H)) +$   
 $2 * (\text{Suc } (\text{nlength } (\text{Suc } \text{idx}) + \text{nlength } H) * H)^2$   
**proof** –  
**have**  $\text{nlength } \text{idx} + \text{nlength } H \leq \text{Suc } (\text{nlength } (\text{Suc } \text{idx}) + \text{nlength } H)$   
**using** *nlength-mono* **by** (*simp add: le-Suc1*)  
**also have**  $\dots \leq \text{Suc } (\text{nlength } (\text{Suc } \text{idx}) + \text{nlength } H) * H$   
**using**  $H$  **by** (*metis Suc-eq-plus1 le-add2 mult.commute mult-le-mono1 nat-mult-1 numeral-eq-Suc order-trans*)

**also have** ...  $\leq (Suc\ (nlength\ (Suc\ idx) + nlength\ H) * H)^2$   
**by** (*simp add: power2-eq-square*)  
**finally have**  $nlength\ idx + nlength\ H \leq (Suc\ (nlength\ (Suc\ idx) + nlength\ H) * H)^2$  .  
**then show** *?thesis*  
**by** *simp*  
**qed**  
**also have** ...  $= 79 + 115 * (Suc\ (nlength\ (Suc\ idx) + nlength\ H) * H)^2 +$   
 $9 * (nlength\ (Suc\ idx) + nlength\ H) + (43 + 9 * (nlength\ (Suc\ idx) + nlength\ H)) * H$   
**by** *simp*  
**also have** ...  $= 79 + 115 * (Suc\ (nlength\ (Suc\ idx) + nlength\ H) * H)^2 +$   
 $9 * (nlength\ (Suc\ idx) + nlength\ H) + 43 * H + 9 * (nlength\ (Suc\ idx) + nlength\ H) * H$   
**by** *algebra*  
**also have** ...  $\leq 79 + 115 * (Suc\ (nlength\ (Suc\ idx) + nlength\ H) * H)^2 +$   
 $9 * (Suc\ (nlength\ (Suc\ idx) + nlength\ H) * H)^2 + 43 * H + 9 * (nlength\ (Suc\ idx) + nlength\ H) * H$   
**proof** –  
**have**  $nlength\ (Suc\ idx) + nlength\ H \leq Suc\ (nlength\ (Suc\ idx) + nlength\ H)$   
**by** *simp*  
**also have** ...  $\leq Suc\ (nlength\ (Suc\ idx) + nlength\ H) * H$   
**using** *H*  
**by** (*metis One-nat-def add-leD1 le-refl mult-le-mono mult-numeral-1-right numeral-3-eq-3 numeral-nat(1)*  
*plus-1-eq-Suc*)  
**also have** ...  $\leq (Suc\ (nlength\ (Suc\ idx) + nlength\ H) * H)^2$   
**by** (*simp add: power2-eq-square*)  
**finally have**  $nlength\ (Suc\ idx) + nlength\ H \leq (Suc\ (nlength\ (Suc\ idx) + nlength\ H) * H)^2$  .  
**then show** *?thesis*  
**by** *simp*  
**qed**  
**also have** ...  $= 79 + 124 * (Suc\ (nlength\ (Suc\ idx) + nlength\ H) * H)^2 + 43 * H + 9 * (nlength\ (Suc\ idx)$   
 $+ nlength\ H) * H$   
**by** *simp*  
**also have** ...  $\leq 79 + 124 * (Suc\ (nlength\ (Suc\ idx) + nlength\ H) * H)^2 +$   
 $43 * H + 9 * (Suc\ (nlength\ (Suc\ idx) + nlength\ H) * H)^2$   
**proof** –  
**have**  $(nlength\ (Suc\ idx) + nlength\ H) * H \leq Suc\ (nlength\ (Suc\ idx) + nlength\ H) * H$   
**by** *simp*  
**then have**  $(nlength\ (Suc\ idx) + nlength\ H) * H \leq (Suc\ (nlength\ (Suc\ idx) + nlength\ H) * H)^2$   
**by** (*metis nat-le-linear power2-nat-le-imp-le verit-la-disequality*)  
**then show** *?thesis*  
**by** *linarith*  
**qed**  
**also have** ...  $= 79 + 133 * (Suc\ (nlength\ (Suc\ idx) + nlength\ H) * H)^2 + 43 * H$   
**by** *simp*  
**also have** ...  $\leq 79 + 133 * (9 * H^3 * (nlength\ (Suc\ idx))^2 + 4 * H^4) + 43 * H$   
**proof** –  
**let** *?m*  $= nlength\ (Suc\ idx)$   
**let** *?l*  $= Suc\ ?m$   
**have**  $(Suc\ (nlength\ (Suc\ idx) + nlength\ H) * H)^2 = ((?l + nlength\ H) * H)^2$   
**by** *simp*  
**also have** ...  $= (?l * H + nlength\ H * H)^2$   
**by** *algebra*  
**also have** ...  $\leq (?l * H + H * H)^2$   
**using** *nlength-le-n* **by** *simp*  
**also have** ...  $= (?l * H)^2 + 2 * ?l * H^3 + H^4$   
**by** *algebra*  
**also have** ...  $\leq (?l * H)^2 + 2 * ?l^2 * H^3 + H^4$   
**by** (*metis Suc-n-not-le-n add-le-mono1 mult-le-mono1 mult-le-mono2 nat-add-left-cancel-le not-less-eq-eq*  
*power2-nat-le-imp-le*)  
**also have** ...  $= ?l^2 * (H^2 + 2 * H^3) + H^4$   
**by** *algebra*  
**also have** ...  $\leq ?l^2 * (H^3 + 2 * H^3) + H^4$   
**proof** –  
**have**  $H^2 \leq H^3$   
**using** *pow-mono* **by** (*simp add: numeral-3-eq-3 numerals(2)*)

```

then show ?thesis
  by simp
qed
also have ... = ?l2*3*H3 + H4
  by simp
also have ... = (?m2 + 2 * ?m + 1)*3*H3 + H4
  by (smt (verit) add.commute add-Suc mult-2 nat-1-add-1 one-power2 plus-1-eq-Suc power2-sum)
also have ... ≤ (?m2 + 2 * ?m2 + 1)*3*H3 + H4
  using linear-le-pow by simp
also have ... = (3*?m2 + 1)*3*H3 + H4
  by simp
also have ... = 9*?m2*H3 + 3*H3 + H4
  by algebra
also have ... ≤ 9*?m2*H3 + 3*H4 + H4
  using pow-mono' by simp
also have ... = 9*H3 * ?m2 + 4*H4
  by simp
finally have (Suc (nlength (Suc idx) + nlength H) * H)2 ≤ 9*H3 * ?m2 + 4*H4 .
then show ?thesis
  by simp
qed
also have ... = 79 + 133 * 9*H3 * (nlength (Suc idx))2 + 133*4*H4 + 43 * H
  by simp
also have ... ≤ 79 + 133 * 9*H3 * (nlength (Suc idx))2 + 133*4*H4 + 43 * H4
  using linear-le-pow by simp
also have ... ≤ 79*H4 + 133 * 9*H3 * (nlength (Suc idx))2 + 133*4*H4 + 43 * H4
  using H by simp
also have ... = 654 * H4 + 1197 * H3 * (nlength (Suc idx))2
  by simp
also have ... ≤ 654 * H4 + 1197 * H4 * (nlength (Suc idx))2
  using pow-mono' by simp
also have ... ≤ 654 * H4 * (nlength (Suc idx))2 + 1197 * H4 * (nlength (Suc idx))2
  using nlength-mono nlength-1-simp
  by (metis add-le-mono1 le-add1 mult-le-mono2 mult-numeral-1-right numerals(1) one-le-power plus-1-eq-Suc)
also have ... = 1851 * H4 * (nlength (Suc idx))2
  by simp
finally have ?t ≤ 1851 * H4 * (nlength (Suc idx))2 .
then show ?thesis
  using assms tm5 transforms-monotone tps5'-eq-tps5 by simp
qed
end
end

```

**lemma** *transforms-tm-PsigammaI* [*transforms-intros*]:

```

fixes j :: tapeidx
fixes tps tps' :: tape list and ttt H k idx κ :: nat
assumes length tps = k and 0 < j and j + 7 < k
  and H ≥ 3
  and κ ≤ H
assumes
  tps ! j = ([idx]N, 1)
  tps ! (j + 1) = ([H]N, 1)
  tps ! (j + 2) = ([κ]N, 1)
  tps ! (j + 3) = ([[]], 1)
  tps ! (j + 4) = ([[]], 1)
  tps ! (j + 5) = ([[]], 1)
  tps ! (j + 6) = ([[]], 1)
  tps ! (j + 7) = ([[]], 1)
assumes ttt = 1851 * H4 * (nlength (Suc idx))2
assumes tps' = tps
  [j + 6 := ([nll-Psi (idx * H) H κ]NLL, 1)]

```



```

  shows transforms (tm-Psigamma j) tps ttt tps'
proof -
  interpret loc: turing-machine-Psigamma j .
  show ?thesis
  using loc.tm5' loc.tps5'-def loc.tm5-eq-tm-Psigamma assms by simp
qed

```

## 7.5 A Turing machine for $\Upsilon$ formulas

The CNF formula  $\Phi_7$  is made of CNF formulas  $\Upsilon(\gamma_i)$  with  $\gamma_i = [i \cdot H, (i + 1) \cdot H]$ . In this section we build a Turing machine that outputs such CNF formulas.

### 7.5.1 A Turing machine for singleton clauses

The  $\Upsilon$  formulas, just like the  $\Psi$  formulas, are conjunctions of singleton clauses. The next Turing machine outputs singleton clauses. The Turing machine has two parameters: a Boolean *incr* and a tape index *j*. It receives a variable index on tape *j*, a CNF formula as list of lists of numbers on tape *j* + 2 and a number *H* on tape *j* + 3. The TM appends to the formula on tape *j* + 2 a singleton clause with a positive or negative (depending on *incr*) literal derived from the variable index. It also decrements *H* and increments the variable index, which makes it more suitable for use in a loop constructing an  $\Upsilon$  formula. Given our encoding of literals, what the TM actually does is doubling the number on tape *j* + 1 and optionally (if *incr* is true) incrementing it.

**definition** *tm-times2-appendl* :: *bool*  $\Rightarrow$  *tapeidx*  $\Rightarrow$  *machine* **where**

```

tm-times2-appendl incr j  $\equiv$ 
  tm-copyn j (j + 1) ;;
  tm-times2 (j + 1) ;;
  (if incr then tm-incr (j + 1) else []) ;;
  tm-to-list (j + 1) ;;
  tm-appendl (j + 2) (j + 1) ;;
  tm-erase-cr (j + 1) ;;
  tm-incr j ;;
  tm-decr (j + 3)

```

**lemma** *tm-times2-appendl-tm*:

**assumes**  $0 < j$  **and**  $j + 3 < k$  **and**  $G \geq 6$

**shows** *turing-machine* *k* *G* (*tm-times2-appendl* *incr* *j*)

**unfolding** *tm-times2-appendl-def*

**using** *Nil-tm* *tm-incr-tm* *tm-to-list-tm* *tm-appendl-tm* *tm-decr-tm* *tm-erase-cr-tm* *tm-times2-tm* *assms* *tm-copyn-tm*  
**by** *simp*

**locale** *turing-machine-times2-appendl* =

**fixes** *j* :: *tapeidx*

**begin**

**context**

**fixes** *tps0* :: *tape list* **and** *v* *H* *k* :: *nat* **and** *nss* :: *nat list list* **and** *incr* :: *bool*

**assumes** *jk*: *length* *tps0* = *k*  $0 < j$   $j + 3 < k$

**assumes** *tps0*:

*tps0* ! *j* = ( $\lfloor v \rfloor_N$ , 1)

*tps0* ! (*j* + 1) = ( $\lfloor [] \rfloor$ , 1)

*tps0* ! (*j* + 2) = *nlltape* *nss*

*tps0* ! (*j* + 3) = ( $\lfloor H \rfloor_N$ , 1)

**begin**

**definition** *tm1*  $\equiv$  *tm-copyn* *j* (*j* + 1)

**definition** *tm2*  $\equiv$  *tm1* ;; *tm-times2* (*j* + 1)

**definition** *tm3*  $\equiv$  *tm2* ;; (if *incr* then *tm-incr* (*j* + 1) else [])

**definition** *tm4*  $\equiv$  *tm3* ;; *tm-to-list* (*j* + 1)

**definition** *tm5*  $\equiv$  *tm4* ;; *tm-appendl* (*j* + 2) (*j* + 1)

**definition** *tm6*  $\equiv$  *tm5* ;; *tm-erase-cr* (*j* + 1)

**definition** *tm7*  $\equiv$  *tm6* ;; *tm-incr* *j*

**definition**  $tm8 \equiv tm7 ;; tm-decr (j + 3)$

**lemma**  $tm8-eq-tm-times2appendl$ :  $tm8 \equiv tm-times2-appendl\ incr\ j$   
using  $tm8-def\ tm7-def\ tm6-def\ tm5-def\ tm4-def\ tm3-def\ tm2-def\ tm1-def\ tm-times2-appendl-def$  **by**  $simp$

**definition**  $tps1 \equiv tps0$   
 $[j + 1 := (\lfloor v \rfloor_N, 1)]$

**lemma**  $tm1$  [*transforms-intros*]:  
assumes  $ttt = 14 + 3 * nlength\ v$   
shows  $transforms\ tm1\ tps0\ ttt\ tps1$   
unfolding  $tm1-def$   
**proof** (*tform tps: tps1-def tps0 jk*)  
show  $tps0 ! (j + 1) = (\lfloor 0 \rfloor_N, 1)$   
using  $jk\ tps0\ canrepr-0$  **by**  $simp$   
show  $ttt = 14 + 3 * (nlength\ v + nlength\ 0)$   
using  $assms$  **by**  $simp$   
**qed**

**definition**  $tps2 \equiv tps0$   
 $[j + 1 := (\lfloor 2 * v \rfloor_N, 1)]$

**lemma**  $tm2$  [*transforms-intros*]:  
assumes  $ttt = 19 + 5 * nlength\ v$   
shows  $transforms\ tm2\ tps0\ ttt\ tps2$   
unfolding  $tm2-def$  **by** (*tform tps: tps2-def tps1-def jk assms*)

**definition**  $tps3 \equiv tps0$   
 $[j + 1 := (\lfloor 2 * v + (if\ incr\ then\ 1\ else\ 0) \rfloor_N, 1)]$

**lemma**  $tm3-True$ :  
assumes  $ttt = 24 + 5 * nlength\ v + 2 * nlength\ (2 * v)$  **and**  $incr$   
shows  $transforms\ tm3\ tps0\ ttt\ tps3$   
unfolding  $tm3-def$   
**proof** (*tform tps: tps3-def tps2-def jk*)  
let  $?t = 5 + 2 * nlength\ (2 * v)$   
have  $transforms\ (tm-incr\ (j + 1))\ tps2\ ?t\ tps3$   
by (*tform tps: tps3-def tps2-def jk assms(2)*)  
**then show**  $transforms\ (if\ incr\ then\ tm-incr\ (j + 1)\ else\ [])\ tps2\ ?t\ tps3$   
using  $assms(2)$  **by**  $simp$   
show  $ttt = 19 + 5 * nlength\ v + ?t$   
using  $assms$  **by**  $simp$   
**qed**

**lemma**  $tm3-False$ :  
assumes  $ttt = 19 + 5 * nlength\ v$  **and**  $\neg\ incr$   
shows  $transforms\ tm3\ tps0\ ttt\ tps3$   
unfolding  $tm3-def$   
**proof** (*tform tps: tps3-def tps2-def jk assms*)  
show  $transforms\ (if\ incr\ then\ tm-incr\ (j + 1)\ else\ [])\ tps2\ 0\ tps3$   
using  $transforms-Nil\ jk\ tps3-def\ tps2-def\ assms(2)$  **by**  $simp$   
**qed**

**lemma**  $tm3$ :  
assumes  $ttt = 24 + 5 * nlength\ v + 2 * nlength\ (2 * v)$   
shows  $transforms\ tm3\ tps0\ ttt\ tps3$   
using  $tm3-True\ tm3-False\ assms\ transforms-monotone$  **by** (*cases incr*)  $simp-all$

**lemma**  $tm3'$  [*transforms-intros*]:  
assumes  $ttt = 26 + 7 * nlength\ v$   
shows  $transforms\ tm3\ tps0\ ttt\ tps3$   
**proof** –  
have  $nlength\ (2 * v) \leq Suc\ (nlength\ v)$

**using** *nlength-times2* **by** *simp*  
**then show** *?thesis*  
**using** *assms tm3 transforms-monotone* **by** *simp*  
**qed**

**definition** *tps4*  $\equiv$  *tps0*  
 $[j + 1 := ([2 * v + (if\ incr\ then\ 1\ else\ 0)])_{NL}, 1]$

**lemma** *tm4*:  
**assumes**  $ttt = 31 + 7 * nlength\ v + 2 * nlength\ (2 * v + (if\ incr\ then\ 1\ else\ 0))$   
**shows** *transforms tm4 tps0 ttt tps4*  
**unfolding** *tm4-def* **by** (*tform tps: tps4-def tps3-def jk assms*)

**lemma** *tm4'* [*transforms-intros*]:  
**assumes**  $ttt = 33 + 9 * nlength\ v$   
**shows** *transforms tm4 tps0 ttt tps4*  
**proof** –  
**have**  $nlength\ (2 * v + (if\ incr\ then\ 1\ else\ 0)) \leq Suc\ (nlength\ v)$   
**using** *nlength-times2 nlength-times2plus1* **by** *simp*  
**then show** *?thesis*  
**using** *assms tm4 transforms-monotone* **by** *simp*  
**qed**

**definition** *tps5*  $\equiv$  *tps0*  
 $[j + 1 := ([2 * v + (if\ incr\ then\ 1\ else\ 0)])_{NL}, 1),$   
 $j + 2 := nlltape\ (nss\ @\ [[2 * v + (if\ incr\ then\ 1\ else\ 0)]])$

**lemma** *tm5* [*transforms-intros*]:  
**assumes**  $ttt = 39 + 9 * nlength\ v + 2 * nlength\ [2 * v + (if\ incr\ then\ 1\ else\ 0)]$   
**shows** *transforms tm5 tps0 ttt tps5*  
**unfolding** *tm5-def*  
**proof** (*tform tps: tps5-def tps4-def jk tps0*)  
**show**  $ttt = 33 + 9 * nlength\ v + (7 + nllength\ nss - Suc\ (nllength\ nss) +$   
 $2 * nlength\ [2 * v + (if\ incr\ then\ 1\ else\ 0)])$   
**using** *assms* **by** *simp*  
**qed**

**definition** *tps6*  $\equiv$  *tps0*  
 $[j + 2 := nlltape\ (nss\ @\ [[2 * v + (if\ incr\ then\ 1\ else\ 0)]])$

**lemma** *tm6*:  
**assumes**  $ttt = 46 + 9 * nlength\ v + 4 * nlength\ [2 * v + (if\ incr\ then\ 1\ else\ 0)]$   
**shows** *transforms tm6 tps0 ttt tps6*  
**unfolding** *tm6-def*  
**proof** (*tform tps: tps6-def tps5-def jk*)  
**let** *?zs* = *numlist*  $[2 * v + (if\ incr\ then\ 1\ else\ 0)]$   
**show**  $tps5\ :::\ (j + 1) = \lfloor ?zs \rfloor$   
**using** *jk tps5-def nlcontents-def* **by** *simp*  
**show** *proper-symbols ?zs*  
**using** *proper-symbols-numlist* **by** *simp*  
**show**  $tps6 = tps5[j + 1 := ([\ ], 1)]$   
**using** *jk tps6-def tps5-def tps0*  
**by** (*metis* (*no-types*, *lifting*) *add-left-cancel list-update-id list-update-overwrite list-update-swap*  
*numeral-eq-one-iff semiring-norm(83)*)  
**show**  $ttt = 39 + 9 * nlength\ v + 2 * nlength\ [2 * v + (if\ incr\ then\ 1\ else\ 0)] +$   
 $(tps5\ :::\ (j + 1) + 2 * length\ (numlist\ [2 * v + (if\ incr\ then\ 1\ else\ 0)]) + 6)$   
**using** *assms nlength-def tps5-def jk* **by** *simp*  
**qed**

**lemma** *tm6'* [*transforms-intros*]:  
**assumes**  $ttt = 54 + 13 * nlength\ v$   
**shows** *transforms tm6 tps0 ttt tps6*  
**proof** –

```

have nlength (2 * v + (if incr then 1 else 0)) ≤ Suc (nlength v)
  using nlength-times2 nlength-times2plus1 by simp
then show ?thesis
  using assms tm6 transforms-monotone nlength by simp
qed

```

```

definition tps7 ≡ tps0
  [j := (⌊Suc v⌋N, 1),
  j + 2 := nlltape (nss @ [[2 * v + (if incr then 1 else 0)]])]

```

```

lemma tm7 [transforms-intros]:
  assumes ttt = 59 + 15 * nlength v
  shows transforms tm7 tps0 ttt tps7
  unfolding tm7-def by (tform tps: tps7-def tps6-def tps0 jk assms)

```

```

definition tps8 ≡ tps0
  [j := (⌊Suc v⌋N, 1),
  j + 2 := nlltape (nss @ [[2 * v + (if incr then 1 else 0)]]),
  j + 3 := (⌊H - 1⌋N, 1)]

```

```

lemma tm8:
  assumes ttt = 67 + 15 * nlength v + 2 * nlength H
  shows transforms tm8 tps0 ttt tps8
  unfolding tm8-def by (tform tps: tps8-def tps0 tps7-def jk time: assms)

```

**end**

**end**

```

lemma transforms-tm-times2-appendII [transforms-intros]:
  fixes j :: tapeidx and incr :: bool
  fixes tps tps' :: tape list and ttt v H k :: nat and nss :: nat list list
  assumes length tps = k and 0 < j and j + 3 < k
  assumes
    tps ! j = (⌊v⌋N, 1)
    tps ! (j + 1) = (⌊[]⌋, 1)
    tps ! (j + 2) = nlltape nss
    tps ! (j + 3) = (⌊H⌋N, 1)
  assumes ttt = 67 + 15 * nlength v + 2 * nlength H
  assumes tps' = tps
  [j := (⌊Suc v⌋N, 1),
  j + 2 := nlltape (nss @ [[2 * v + (if incr then 1 else 0)]]),
  j + 3 := (⌊H - 1⌋N, 1)]
  shows transforms (tm-times2-appendI incr j) tps ttt tps'
proof –
  interpret loc: turing-machine-times2-appendI j .
  show ?thesis
  using assms loc.tm8 loc.tps8-def loc.tm8-eq-tm-times2appendI by metis
qed

```

## 7.5.2 A Turing machine for $\Upsilon(\gamma_i)$ formulas

We will not need the general  $\Upsilon$  formulas, but only  $\Upsilon(\gamma_i)$  for  $\gamma_i = [i \cdot H, (i + 1) \cdot H]$ . Represented as list of lists of numbers they look like this (for  $H \geq 3$ ):

```

definition nll-Upsilon :: nat ⇒ nat ⇒ nat list list where
  nll-Upsilon idx len ≡ [[2 * (idx * len) + 1], [2 * (idx * len + 1) + 1]] @ map (λi. [2 * (idx * len + i)])
  [3.. $<len$ ]

```

```

lemma nll-Upsilon:
  assumes len ≥ 3
  shows nll-Upsilon idx len = formula-n (Υ [idx*len.. $<idx*len+len$ ])
  (is ?lhs = ?rhs)
proof (rule nth-equalityI)

```

```

show len: length ?lhs = length ?rhs
  using nll-Upsilon-def Upsilon-def formula-n-def assms by simp
have length ?lhs = len - 1
  using nll-Upsilon-def assms by simp
define nss where nss = [[2 * (idx * len) + 1], [2 * (idx * len + 1) + 1]]
then have *: ?lhs = nss @ map (λi. [2 * (idx * len + i)]) [3..<len]
  using nll-Upsilon-def by simp
have length nss = 2
  using nss-def by simp
let ?ups = Υ [idx*len..<idx*len+len]
show ?lhs ! i = ?rhs ! i if i < length ?lhs for i
proof (cases i < 2)
  case True
  then have ?lhs ! i = nss ! i
    using * ⟨length nss = 2⟩ by (simp add: nth-append)
  then have lhs: ?lhs ! i = [2 * (idx * len + i) + 1]
    using nss-def True by (cases i = 0) auto

  have ?ups ! i = [Pos (idx*len+i)]
    unfolding Upsilon-def using True assms by (cases i = 0) auto
  moreover have ?rhs ! i = clause-n (?ups ! i)
    using that len formula-n-def by simp
  ultimately have ?rhs ! i = clause-n [Pos (idx*len+i)]
    by simp
  then have ?rhs ! i = [Suc (2*(idx*len+i))]
    using clause-n-def by simp
  then show ?thesis
    using lhs by simp
next
case False
then have ?lhs ! i = map (λi. [2 * (idx * len + i)]) [3..<len] ! (i - 2)
  using ⟨length nss = 2⟩ * by (simp add: nth-append)
also have ... = (λi. [2 * (idx * len + i)]) ([3..<len] ! (i - 2))
  using False that ⟨length nss = 2⟩ * by simp
also have ... = (λi. [2 * (idx * len + i)]) (i + 1)
  using False that ⟨length nss = 2⟩ * by simp
also have ... = [2 * (idx * len + i + 1)]
  by simp
finally have lhs: ?lhs ! i = [2 * (idx * len + i + 1)] .

have ?ups ! i = map (λs. [Neg s]) (drop 3 [idx*len..<idx*len+len]) ! (i - 2)
  using Upsilon-def False that formula-n-def len by auto
also have ... = (λs. [Neg s]) (drop 3 [idx*len..<idx*len+len]) ! (i - 2)
  using Upsilon-def False that formula-n-def len by auto
also have ... = (λs. [Neg s]) (idx * len + i + 1)
  using Upsilon-def False that formula-n-def len by auto
finally have ?ups ! i = [Neg (idx * len + i + 1)] .
moreover have ?rhs ! i = clause-n (?ups ! i)
  using Upsilon-def False that formula-n-def len by auto
ultimately have ?rhs ! i = clause-n [Neg (idx * len + i + 1)]
  by simp
then show ?thesis
  using clause-n-def lhs by simp
qed
qed

lemma nlllength-nll-Upsilon-le:
  assumes len ≥ 3
  shows nlllength (nll-Upsilon idx len) ≤ len * (4 + nlength idx + nlength len)
proof -
  define f :: nat ⇒ nat list where f = (λi. [2 * (idx * len + i)])
  let ?nss = map f [3..<len]
  have nlllength ?nss = (∑ ns←?nss. Suc (nlength ns))

```

```

    using nllength f-def by simp
  also have ... = (∑ i←[3..<len]. (λns. Suc (nllength ns)) (f i))
    by (metis (no-types, lifting) map-eq-conv map-map o-apply)
  also have ... = (∑ i←[3..<len]. Suc (nllength ([2 * (idx * len + i)])))
    using f-def by simp
  also have ... = (∑ i←[3..<len]. Suc (Suc (nlength (2 * (idx * len + i)))))
    using nllength by simp
  also have ... ≤ (∑ i←[3..<len]. Suc (Suc (nlength (2 * (Suc idx * len)))))
    using nlength-mono
      sum-list-mono[of [3..<len]
        λi. Suc (Suc (nlength (2 * (idx * len + i))))
        λi. Suc (Suc (nlength (2 * (Suc idx * len))))]
    by simp
  also have ... = Suc (Suc (nlength (2 * (Suc idx * len)))) * (len - 3)
    using assms sum-list-const[of - [3..<len]] by simp
  also have ... ≤ Suc (Suc (Suc (nlength (Suc idx * len)))) * (len - 3)
    using nlength-times2 Suc-le-mono mult-le-mono1 by presburger
  also have ... = (len - 3) * (3 + nlength (Suc idx * len))
    by (simp add: Suc3-eq-add-3)
  finally have *: nllength ?nss ≤ (len - 3) * (3 + nlength (Suc idx * len)) .

let ?nss2 = [[2 * (idx * len) + 1], [2 * (idx * len) + 1] + 1]
have nllength ?nss2 = (∑ ns←?nss2. Suc (nllength ns))
  using nllength by simp
also have ... = Suc (nllength [2 * (idx * len) + 1]) + Suc (nllength [2 * (idx * len) + 1] + 1)
  by simp
also have ... = Suc (Suc (nlength (2 * (idx * len) + 1))) + Suc (Suc (nlength (2 * (idx * len) + 1) + 1))
  using nllength by simp
also have ... ≤ Suc (Suc (nlength (2 * (Suc idx * len)))) + Suc (Suc (nlength (2 * (idx * len) + 1) + 1))
  using nlength-mono assms by simp
also have ... ≤ Suc (Suc (nlength (2 * (Suc idx * len)))) + Suc (Suc (nlength (2 * (Suc idx * len))))
  using nlength-mono assms by simp
also have ... = 2 * Suc (Suc (nlength (2 * (Suc idx * len))))
  by simp
also have ... ≤ 2 * Suc (Suc (Suc (nlength (Suc idx * len))))
  using nlength-times2 by (meson Suc-le-mono mult-le-mono nle-le)
also have ... = 2 * (3 + nlength (Suc idx * len))
  by simp
finally have **: nllength ?nss2 ≤ 2 * (3 + nlength (Suc idx * len)) .

have nll-Upsilon idx len = ?nss2 @ ?nss
  using nll-Upsilon-def f-def by simp
then have nllength (nll-Upsilon idx len) = nllength ?nss2 + nllength ?nss
  by (metis length-append nllength-def numlistlist-append)
then have nllength (nll-Upsilon idx len) ≤ 2 * (3 + nlength (Suc idx * len)) + (len - 3) * (3 + nlength (Suc idx * len))
  using ** by simp
also have ... = (2 + (len - 3)) * (3 + nlength (Suc idx * len))
  by simp
also have ... = (len - 1) * (3 + nlength (Suc idx * len))
  using assms Nat.le-imp-diff-is-add by fastforce
also have ... ≤ len * (3 + nlength (Suc idx * len))
  by simp
also have ... ≤ len * (3 + nlength (Suc idx) + nlength len)
  using nlength-prod by (metis ab-semigroup-add-class.add-ac(1) mult-le-mono2 nat-add-left-cancel-le)
also have ... ≤ len * (4 + nlength idx + nlength len)
  using nlength-Suc by simp
finally show ?thesis .
qed

```

The next Turing machine outputs CNF formulas of the shape  $\Upsilon(\gamma_i)$ , where  $\gamma_i = [i \cdot H, (i + 1) \cdot H]$ . It expects a number  $i$  on tape  $j$  and a number  $H$  on tape  $j + 1$ . It writes a representation of the formula to tape  $j + 4$ .

**definition** *tm-Upsilongamma* :: *tapeidx*  $\Rightarrow$  *machine* **where**

```

tm-Upsilongamma j  $\equiv$ 
  tm-copyn (j + 1) (j + 5) ;;
  tm-mult j (j + 1) (j + 2) ;;
  tm-times2-appendl True (j + 2) ;;
  tm-times2-appendl True (j + 2) ;;
  tm-decr (j + 5) ;;
  tm-incr (j + 2) ;;
  WHILE [] ;  $\lambda$ rs. rs ! (j + 5)  $\neq$   $\square$  DO
    tm-times2-appendl False (j + 2)
  DONE ;;
  tm-erase-cr (j + 2) ;;
  tm-cr (j + 4)

```

**lemma** *tm-Upsilongamma-tm*:

**assumes**  $0 < j$  **and**  $j + 5 < k$  **and**  $G \geq 6$

**shows** *turing-machine* k G (*tm-Upsilongamma* j)

**unfolding** *tm-Upsilongamma-def*

**using** *tm-copyn-tm Nil-tm tm-decr-tm tm-times2-appendl-tm tm-decr-tm tm-mult-tm tm-incr-tm*  
*assms turing-machine-loop-turing-machine tm-erase-cr-tm tm-cr-tm*

**by** *simp*

**locale** *turing-machine-Upsilongamma* =

**fixes** *j* :: *tapeidx*

**begin**

**definition** *tm1*  $\equiv$  *tm-copyn* (j + 1) (j + 5)

**definition** *tm2*  $\equiv$  *tm1* ;; *tm-mult* j (j + 1) (j + 2)

**definition** *tm3*  $\equiv$  *tm2* ;; *tm-times2-appendl* True (j + 2)

**definition** *tm4*  $\equiv$  *tm3* ;; *tm-times2-appendl* True (j + 2)

**definition** *tm5*  $\equiv$  *tm4* ;; *tm-decr* (j + 5)

**definition** *tm6*  $\equiv$  *tm5* ;; *tm-incr* (j + 2)

**definition** *tmB*  $\equiv$  *tm-times2-appendl* False (j + 2)

**definition** *tmL*  $\equiv$  WHILE [] ;  $\lambda$ rs. rs ! (j + 5)  $\neq$   $\square$  DO *tmB* DONE

**definition** *tm7*  $\equiv$  *tm6* ;; *tmL*

**definition** *tm8*  $\equiv$  *tm7* ;; *tm-erase-cr* (j + 2)

**definition** *tm9*  $\equiv$  *tm8* ;; *tm-cr* (j + 4)

**lemma** *tm9-eq-tm-Upsilongamma*: *tm9* = *tm-Upsilongamma* j

**using** *tm9-def tm8-def tm7-def tm6-def tm5-def tm4-def tm3-def tm2-def tm1-def tmB-def tmL-def tm-Upsilongamma-def*

**by** *simp*

**context**

**fixes** *tps0* :: *tape list* **and** *idx H k* :: *nat*

**assumes** *jk*: *length* *tps0* = k  $0 < j$   $j + 5 < k$

**and** *H*:  $H \geq 3$

**assumes** *tps0*:

*tps0* ! j = ( $\lfloor$ *idx* $\rfloor_N$ , 1)

*tps0* ! (j + 1) = ( $\lfloor$ *H* $\rfloor_N$ , 1)

*tps0* ! (j + 2) = ( $\lfloor$ [], 1)

*tps0* ! (j + 3) = ( $\lfloor$ [], 1)

*tps0* ! (j + 4) = ( $\lfloor$ [], 1)

*tps0* ! (j + 5) = ( $\lfloor$ [], 1)

**begin**

**definition** *tps1*  $\equiv$  *tps0*

[j + 5 := ( $\lfloor$ *H* $\rfloor_N$ , 1)]

**lemma** *tm1* [*transforms-intros*]:

**assumes** *ttt* = 14 + 3 \* *nlength* *H*

**shows** *transforms* *tm1* *tps0* *ttt* *tps1*

**unfolding** *tm1-def*

**proof** (*tform* *tps*: *tps1-def* *tps0* *jk*)

**show**  $tps0 ! (j + 5) = (\lfloor 0 \rfloor_N, 1)$   
**using**  $jk$   $tps0$   $canrepr-0$  **by**  $simp$   
**show**  $ttt = 14 + 3 * (nlength\ H + nlength\ 0)$   
**using**  $assms$  **by**  $simp$   
**qed**

**definition**  $tps2 \equiv tps0$   
 $[j + 5 := (\lfloor H \rfloor_N, 1),$   
 $j + 2 := (\lfloor idx * H \rfloor_N, 1)]$

**lemma**  $tm2$  [*transforms-intros*]:  
**assumes**  $ttt = 18 + 3 * nlength\ H + 26 * (nlength\ idx + nlength\ H)^2$   
**shows**  $transforms\ tm2\ tps0\ ttt\ tps2$   
**unfolding**  $tm2-def$   
**proof** (*tform tps: tps2-def tps1-def jk tps0*)  
**show**  $tps1 ! (j + 2) = (\lfloor 0 \rfloor_N, 1)$   
**using**  $tps1-def\ jk\ canrepr-1\ tps0$   
**by** (*metis add-left-imp-eq canrepr-0 nth-list-update-neq' numeral-eq-iff semiring-norm(89)*)  
**show**  $ttt = 14 + 3 * nlength\ H + (4 + 26 * (nlength\ idx + nlength\ H) * (nlength\ idx + nlength\ H))$   
**using**  $assms$  **by**  $algebra$   
**qed**

**definition**  $tps3 \equiv tps0$   
 $[j + 5 := (\lfloor H - 1 \rfloor_N, 1),$   
 $j + 4 := nlltape\ ([2 * (idx * H) + 1]),$   
 $j + 2 := (\lfloor idx * H + 1 \rfloor_N, 1)]$

**lemma**  $tm3$  [*transforms-intros*]:  
**assumes**  $ttt = 85 + 5 * nlength\ H + 26 * (nlength\ idx + nlength\ H)^2 + 15 * nlength\ (idx * H)$   
**shows**  $transforms\ tm3\ tps0\ ttt\ tps3$   
**unfolding**  $tm3-def$   
**proof** (*tform tps: tps3-def tps2-def jk tps0*)  
**have**  $*$ :  $j + 2 + 1 = j + 3\ j + 2 + 2 = j + 4\ j + 2 + 3 = j + 5$   
**by**  $simp-all$   
**show**  $tps2 ! (j + 2 + 1) = (\lfloor [] \rfloor, 1)$   
**using**  $jk\ tps2-def\ tps0$  **by** (*simp only: \**)  $simp$   
**show**  $tps2 ! (j + 2 + 2) = nlltape\ []$   
**using**  $jk\ tps2-def\ tps0\ nllcontents-Nil$  **by** (*simp only: \**)  $simp$   
**show**  $tps2 ! (j + 2 + 3) = (\lfloor H \rfloor_N, 1)$   
**using**  $jk\ tps2-def\ tps0$  **by** (*simp only: \**)  $simp$   
**show**  $tps3 = tps2$   
 $[j + 2 := (\lfloor Suc\ (idx * H) \rfloor_N, 1),$   
 $j + 2 + 2 := nlltape\ ([[] @ [2 * (idx * H) + (if\ True\ then\ 1\ else\ 0)]]),$   
 $j + 2 + 3 := (\lfloor H - 1 \rfloor_N, 1)]$   
**unfolding**  $tps3-def\ tps2-def$   
**by** (*simp only: \**) (*simp add: list-update-swap[of Suc (Suc j)] list-update-swap-less[of j+4]*)  
**show**  $ttt = 18 + 3 * nlength\ H + 26 * (nlength\ idx + nlength\ H)^2 +$   
 $(67 + 15 * nlength\ (idx * H) + 2 * nlength\ H)$   
**using**  $assms$  **by**  $simp$   
**qed**

**definition**  $tps4 \equiv tps0$   
 $[j + 5 := (\lfloor H - 2 \rfloor_N, 1),$   
 $j + 4 := nlltape\ ([2 * (idx * H) + 1], [2 * (idx * H + 1) + 1]),$   
 $j + 2 := (\lfloor idx * H + 2 \rfloor_N, 1)]$

**lemma**  $tm4$  [*transforms-intros*]:  
**assumes**  $ttt = 152 + 5 * nlength\ H + 26 * (nlength\ idx + nlength\ H)^2 + 15 * nlength\ (idx * H) +$   
 $15 * nlength\ (Suc\ (idx * H)) + 2 * nlength\ (H - 1)$   
**shows**  $transforms\ tm4\ tps0\ ttt\ tps4$   
**unfolding**  $tm4-def$   
**proof** (*tform tps: tps4-def tps3-def jk tps0*)  
**have**  $*$ :  $j + 2 + 1 = j + 3\ j + 2 + 2 = j + 4\ j + 2 + 3 = j + 5$



by *simp-all*  
**show**  $tps3 ! (j + 2 + 1) = ([[]], 1)$   
 using *jk tps3-def tps0* by (*simp only: \**) *simp*  
**show**  $tps3 ! (j + 2 + 2) = nlltape [[2 * (idx * H) + 1]]$   
 using *jk tps3-def tps0* by (*simp only: \**) *simp*  
**show**  $tps3 ! (j + 2 + 3) = (\lfloor H - 1 \rfloor_N, 1)$   
 using *jk tps3-def tps0* by (*simp only: \**) *simp*  
**have**  $2: 2 = Suc (Suc 0)$   
 by *simp*  
**show**  $tps4 = tps3$   
 $j + 2 := (\lfloor Suc (Suc (idx * H)) \rfloor_N, 1),$   
 $j + 2 + 2 := nlltape ([[2 * (idx * H) + 1]] @ [[2 * Suc (idx * H) + (if True then 1 else 0)]]),$   
 $j + 2 + 3 := (\lfloor H - 1 - 1 \rfloor_N, 1)$   
**unfolding** *tps4-def tps3-def* by (*simp only: \**) (*simp add: 2 list-update-swap*)  
**show**  $ttt = 85 + 5 * nlength H + 26 * (nlength idx + nlength H)^2 + 15 * nlength (idx * H) +$   
 $(67 + 15 * nlength (Suc (idx * H)) + 2 * nlength (H - 1))$   
 using *assms* by *simp*  
**qed**

**definition**  $tps5 \equiv tps0$   
 $j + 5 := (\lfloor H - 3 \rfloor_N, 1),$   
 $j + 4 := nlltape ([[2 * (idx * H) + 1], [2 * (idx * H + 1) + 1]]),$   
 $j + 2 := (\lfloor idx * H + 2 \rfloor_N, 1)$

**lemma** *tm5* [*transforms-intros*]:  
**assumes**  $ttt = 160 + 5 * nlength H + 26 * (nlength idx + nlength H)^2 + 15 * nlength (idx * H) +$   
 $15 * nlength (Suc (idx * H)) + 2 * nlength (H - 1) + 2 * nlength (H - 2)$   
**shows** *transforms tm5 tps0 ttt tps5*  
**unfolding** *tm5-def*  
**proof** (*tform tps: tps5-def tps4-def jk tps0*)  
**show**  $ttt = 152 + 5 * nlength H + 26 * (nlength idx + nlength H)^2 + 15 * nlength (idx * H) +$   
 $15 * nlength (Suc (idx * H)) + 2 * nlength (H - 1) + (8 + 2 * nlength (H - 2))$   
 using *assms* by *simp*  
**qed**

**definition**  $tps6 \equiv tps0$   
 $j + 5 := (\lfloor H - 3 \rfloor_N, 1),$   
 $j + 4 := nlltape ([[2 * (idx * H) + 1], [2 * (idx * H + 1) + 1]]),$   
 $j + 2 := (\lfloor idx * H + 3 \rfloor_N, 1)$

**lemma** *tm6*:  
**assumes**  $ttt = 165 + 5 * nlength H + 26 * (nlength idx + nlength H)^2 + 15 * nlength (idx * H) +$   
 $15 * nlength (Suc (idx * H)) + 2 * nlength (H - 1) + 2 * nlength (H - 2) +$   
 $2 * nlength (Suc (Suc (idx * H)))$   
**shows** *transforms tm6 tps0 ttt tps6*  
**unfolding** *tm6-def*  
**proof** (*tform tps: tps6-def tps5-def jk tps0*)  
**show**  $tps6 = tps5[j + 2 := (\lfloor Suc (Suc (Suc (idx * H))) \rfloor_N, 1)]$   
**unfolding** *tps5-def tps6-def*  
 by (*simp only: One-nat-def Suc-1 add-2-eq-Suc' add-Suc-right numeral-3-eq-3*) (*simp add: list-update-swap*)  
**show**  $ttt = 160 + 5 * nlength H + 26 * (nlength idx + nlength H)^2 + 15 * nlength (idx * H) +$   
 $15 * nlength (Suc (idx * H)) + 2 * nlength (H - 1) + 2 * nlength (H - 2) +$   
 $(5 + 2 * nlength (Suc (Suc (idx * H))))$   
 using *assms* by *simp*  
**qed**

**lemma** *tm6'* [*transforms-intros*]:  
**assumes**  $ttt = 165 + 41 * nlength (Suc idx * H) + 26 * (nlength idx + nlength H)^2$   
**shows** *transforms tm6 tps0 ttt tps6*  
**proof** –  
**let**  $?ttt = 165 + 5 * nlength H + 26 * (nlength idx + nlength H)^2 + 15 * nlength (idx * H) +$   
 $15 * nlength (Suc (idx * H)) + 2 * nlength (H - 1) + 2 * nlength (H - 2) +$   
 $2 * nlength (Suc (Suc (idx * H)))$

**have**  $?t \leq 165 + 5 * \text{nlength} (\text{Suc } \text{idx} * H) + 26 * (\text{nlength } \text{idx} + \text{nlength } H)^2 + 15 * \text{nlength} (\text{idx} * H)$   
 $+$   
 $15 * \text{nlength} (\text{Suc} (\text{idx} * H)) + 2 * \text{nlength} (H - 1) + 2 * \text{nlength} (H - 2) +$   
 $2 * \text{nlength} (\text{Suc} (\text{Suc} (\text{idx} * H)))$   
**using** *nlength-mono* **by** *simp*  
**also have**  $\dots \leq 165 + 5 * \text{nlength} (\text{Suc } \text{idx} * H) + 26 * (\text{nlength } \text{idx} + \text{nlength } H)^2 + 15 * \text{nlength} (\text{idx} * H)$   
 $+$   
 $15 * \text{nlength} (\text{Suc} (\text{idx} * H)) + 2 * \text{nlength} (\text{Suc } \text{idx} * H) + 2 * \text{nlength} (H - 2) +$   
 $2 * \text{nlength} (\text{Suc} (\text{Suc} (\text{idx} * H)))$   
**using** *nlength-mono* **by** *simp*  
**also have**  $\dots \leq 165 + 5 * \text{nlength} (\text{Suc } \text{idx} * H) + 26 * (\text{nlength } \text{idx} + \text{nlength } H)^2 + 15 * \text{nlength} (\text{idx} * H)$   
 $+$   
 $15 * \text{nlength} (\text{Suc} (\text{idx} * H)) + 2 * \text{nlength} (\text{Suc } \text{idx} * H) + 2 * \text{nlength} (\text{Suc } \text{idx} * H) +$   
 $2 * \text{nlength} (\text{Suc} (\text{Suc} (\text{idx} * H)))$   
**using** *nlength-mono* **by** *simp*  
**also have**  $\dots \leq 165 + 5 * \text{nlength} (\text{Suc } \text{idx} * H) + 26 * (\text{nlength } \text{idx} + \text{nlength } H)^2 + 15 * \text{nlength} (\text{Suc } \text{idx} * H)$   
 $+$   
 $15 * \text{nlength} (\text{Suc} (\text{idx} * H)) + 2 * \text{nlength} (\text{Suc } \text{idx} * H) + 2 * \text{nlength} (\text{Suc } \text{idx} * H) +$   
 $2 * \text{nlength} (\text{Suc} (\text{Suc} (\text{idx} * H)))$   
**using** *nlength-mono* **by** *simp*  
**also have**  $\dots \leq 165 + 5 * \text{nlength} (\text{Suc } \text{idx} * H) + 26 * (\text{nlength } \text{idx} + \text{nlength } H)^2 + 15 * \text{nlength} (\text{Suc } \text{idx} * H)$   
 $+$   
 $15 * \text{nlength} (\text{Suc } \text{idx} * H) + 2 * \text{nlength} (\text{Suc } \text{idx} * H) + 2 * \text{nlength} (\text{Suc } \text{idx} * H) +$   
 $2 * \text{nlength} (\text{Suc} (\text{Suc} (\text{idx} * H)))$   
**using** *nlength-mono* **by** *simp*  
**also have**  $\dots \leq 165 + 5 * \text{nlength} (\text{Suc } \text{idx} * H) + 26 * (\text{nlength } \text{idx} + \text{nlength } H)^2 + 15 * \text{nlength} (\text{Suc } \text{idx} * H)$   
 $+$   
 $15 * \text{nlength} (\text{Suc } \text{idx} * H) + 2 * \text{nlength} (\text{Suc } \text{idx} * H) + 2 * \text{nlength} (\text{Suc } \text{idx} * H) +$   
 $2 * \text{nlength} (\text{Suc } \text{idx} * H)$   
**using** *nlength-mono* **by** *simp*  
**also have**  $\dots = 165 + 41 * \text{nlength} (\text{Suc } \text{idx} * H) + 26 * (\text{nlength } \text{idx} + \text{nlength } H)^2$   
**using** *nlength-mono* **by** *simp*  
**finally have**  $?t \leq t$   
**using** *assms* **by** *simp*  
**then show** *thesis*  
**using** *tm6 transforms-monotone* **by** *simp*  
**qed**

**definition** *tpsL*  $t \equiv \text{tps0}$

$[j + 5 := (\lfloor H - 3 - t \rfloor_N, 1),$   
 $j + 4 := \text{nlltape} ([2 * (\text{idx} * H) + 1], [2 * (\text{idx} * H + 1) + 1]) @ \text{map} (\lambda i. [2 * (\text{idx} * H + i)]) [3..<3 + t]),$   
 $j + 2 := (\lfloor \text{idx} * H + 3 + t \rfloor_N, 1)]$

**lemma** *tpsL-eq-tps6*:  $\text{tpsL } 0 = \text{tps6}$   
**using** *tpsL-def* *tps6-def* **by** *simp*

**lemma** *map-Suc-append*:  $a \leq b \implies \text{map } f [a..<\text{Suc } b] = \text{map } f [a..<b] @ [f b]$   
**by** *simp*

**lemma** *tmB*:

**assumes**  $t \leq 67 + 15 * \text{nlength} (\text{idx} * H + 3 + t) + 2 * \text{nlength} (H - 3 - t)$   
**shows** *transforms tmB* (*tpsL*  $t$ )  $t \leq (\text{tpsL} (\text{Suc } t))$   
**unfolding** *tmB-def*

**proof** (*tform* *tps*: *tpsL-def* *jk* *tps0*)

**have**  $*$ :  $j + 2 + 1 = j + 3$   $j + 2 + 2 = j + 4$   $j + 2 + 3 = j + 5$   
**by** *simp-all*

**show**  $\text{tpsL } t ! (j + 2 + 1) = ([\ ], 1)$

**using** *jk* *tpsL-def* *tps0* **by** (*simp* *only*:  $*$ ) *simp*

**let**  $?nss = [[2 * (\text{idx} * H) + 1], [2 * (\text{idx} * H + 1) + 1]] @ \text{map} (\lambda i. [2 * (\text{idx} * H + i)]) [3..<3 + t]$

**show**  $\text{tpsL } t ! (j + 2 + 2) = \text{nlltape } ?nss$

**using** *jk* *tpsL-def* **by** (*simp* *only*:  $*$ ) *simp*

**show**  $\text{tpsL } t ! (j + 2 + 3) = (\lfloor H - 3 - t \rfloor_N, 1)$

```

using jk tpsL-def by (simp only: *) simp
have map ( $\lambda i. [2 * (idx * H + i)] [3..<3 + Suc t] =$ 
   $map (\lambda i. [2 * (idx * H + i)] [3..<3 + t] @ [[2 * (idx * H + 3 + t) + (if False then 1 else 0)]]$ 
  using map-Suc-append[of 3 3 + t  $\lambda i. [2 * (idx * H + i)]$ ] by simp
then have  $[[2 * (idx * H) + 1], [2 * (idx * H + 1) + 1]] @ map (\lambda i. [2 * (idx * H + i)] [3..<3 + Suc t] =$ 
   $?nss @ [[2 * (idx * H + 3 + t) + (if False then 1 else 0)]]$ 
  by simp
then show tpsL (Suc t) = (tpsL t)
   $[j + 2 := (\lfloor Suc (idx * H + 3 + t) \rfloor_N, 1),$ 
   $j + 2 + 2 := nlltape (?nss @ [[2 * (idx * H + 3 + t) + (if False then 1 else 0)]]),$ 
   $j + 2 + 3 := (\lfloor H - 3 - t - 1 \rfloor_N, 1)]$ 
  unfolding tpsL-def
  by (simp only: *) (simp add: list-update-swap[of Suc (Suc j)] list-update-swap-less[of j + 4])
show ttt =  $67 + 15 * nlength (idx * H + 3 + t) + 2 * nlength (H - 3 - t)$ 
  using assms by simp
qed

```

**lemma** *tmB'* [*transforms-intros*]:

```

assumes ttt =  $67 + 15 * nlength (Suc idx * H) + 2 * nlength H$ 
and  $t < H - 3$ 

```

```

shows transforms tmB (tpsL t) ttt (tpsL (Suc t))

```

**proof** –

```

let  $?ttt = 67 + 15 * nlength (idx * H + 3 + t) + 2 * nlength (H - 3 - t)$ 
have  $?ttt \leq 67 + 15 * nlength (idx * H + 3 + t) + 2 * nlength H$ 
  using nlength-mono by simp
also have  $\dots \leq 67 + 15 * nlength (Suc idx * H) + 2 * nlength H$ 
  using assms(2) nlength-mono by simp
finally have  $?ttt \leq ttt$ 
  using assms(1) by simp
then show ?thesis
  using tmB transforms-monotone by blast

```

**qed**

**lemma** *tmL*:

```

assumes ttt =  $H * (70 + 17 * nlength (Suc idx * H))$ 

```

```

shows transforms tmL (tpsL 0) ttt (tpsL (H - 3))

```

```

unfolding tmL-def

```

**proof** (*tform*)

```

have read (tpsL t) ! (j + 5) =  $\square$   $\longleftrightarrow H - 3 - t = 0$  for t

```

```

  using jk tpsL-def read-ncontents-eq-0 by simp

```

```

then show  $\bigwedge t. t < H - 3 \implies read (tpsL t) ! (j + 5) \neq \square$ 

```

```

  and  $\neg read (tpsL (H - 3)) ! (j + 5) \neq \square$ 

```

```

  by simp-all

```

```

show  $(H - 3) * (67 + 15 * nlength (Suc idx * H) + 2 * nlength H + 2) + 1 \leq ttt$ 
  (is ?lhs  $\leq$  ttt)

```

**proof** –

```

have  $?lhs = (H - 3) * (69 + 15 * nlength (Suc idx * H) + 2 * nlength H) + 1$ 

```

```

  by simp

```

```

also have  $\dots \leq (H - 3) * (69 + 15 * nlength (Suc idx * H) + 2 * nlength (Suc idx * H)) + 1$ 

```

```

  using nlength-mono by simp

```

```

also have  $\dots = (H - 3) * (69 + 17 * nlength (Suc idx * H)) + 1$ 

```

```

  by simp

```

```

also have  $\dots \leq H * (69 + 17 * nlength (Suc idx * H)) + 1$ 

```

```

  by simp

```

```

also have  $\dots \leq H * (69 + 17 * nlength (Suc idx * H)) + H$ 

```

```

  using H by simp

```

```

also have  $\dots = H * (70 + 17 * nlength (Suc idx * H))$ 

```

```

  by algebra

```

```

finally show  $?lhs \leq ttt$ 

```

```

  using assms by simp

```

**qed**

**qed**

**definition**  $tps7 \equiv tps0$

$[j + 5 := (\lfloor 0 \rfloor_N, 1),$   
 $j + 4 := \text{nlltape} ([2 * (idx * H) + 1], [2 * (idx * H + 1) + 1]) @ \text{map} (\lambda i. [2 * (idx * H + i)]) [3..<H]),$   
 $j + 2 := (\lfloor \text{Suc } idx * H \rfloor_N, 1)]$

**lemma**  $tpsL\text{-eq}\text{-}tps7$ :  $tpsL (H - 3) = tps7$

**proof** –

let  $?t = H - 3$

have  $(\lfloor H - 3 - ?t \rfloor_N, 1) = (\lfloor 0 \rfloor_N, 1)$

by *simp*

**moreover** have  $\text{nlltape} ([2 * (idx * H) + 1], [2 * (idx * H + 1) + 1]) @ \text{map} (\lambda i. [2 * (idx * H + i)]) [3..<3 + ?t]) =$

$\text{nlltape} ([2 * (idx * H) + 1], [2 * (idx * H + 1) + 1]) @ \text{map} (\lambda i. [2 * (idx * H + i)]) [3..<H])$

using  $H$  by *simp*

**moreover** have  $(\lfloor idx * H + 3 + ?t \rfloor_N, 1) = (\lfloor \text{Suc } idx * H \rfloor_N, 1)$

using  $H$  by (*simp add: add.commute*)

**ultimately** show *?thesis*

using  $tpsL\text{-def } tps7\text{-def}$  by *simp*

**qed**

**lemma**  $tmL'$  [*transforms-intros*]:

**assumes**  $ttt = H * (70 + 17 * \text{nlength} (\text{Suc } idx * H))$

**shows** *transforms tmL tps6 ttt tps7*

using  $tmL tpsL\text{-eq}\text{-}tps6 tpsL\text{-eq}\text{-}tps7$  *assms* by *simp*

**lemma**  $tm7$  [*transforms-intros*]:

**assumes**  $ttt = 165 + 41 * \text{nlength} (H + idx * H) + 26 * (\text{nlength } idx + \text{nlength } H)^2 +$   
 $H * (70 + 17 * \text{nlength} (H + idx * H))$

**shows** *transforms tm7 tps0 ttt tps7*

**unfolding**  $tm7\text{-def}$  by (*tform tps: tps7-def tpsL-def jk tps0 time: assms*)

**definition**  $tps8 \equiv tps0$

$[j + 5 := (\lfloor 0 \rfloor_N, 1),$

$j + 4 := \text{nlltape} ([2 * (idx * H) + 1], [2 * (idx * H + 1) + 1]) @ \text{map} (\lambda i. [2 * (idx * H + i)]) [3..<H]),$

$j + 2 := (\lfloor \lfloor \rfloor, 1)]$

**lemma**  $tm8$ :

**assumes**  $ttt = 172 + 43 * \text{nlength} (H + idx * H) + 26 * (\text{nlength } idx + \text{nlength } H)^2 +$   
 $H * (70 + 17 * \text{nlength} (H + idx * H))$

**shows** *transforms tm8 tps0 ttt tps8*

**unfolding**  $tm8\text{-def}$

**proof** (*tform tps: tps8-def tps7-def jk tps0 assms*)

**show** *proper-symbols (canrepr (H + idx \* H))*

using *proper-symbols-canrepr* by *simp*

**qed**

**definition**  $tps8' \equiv tps0[j + 4 := \text{nlltape} (\text{nll-Upsilon } idx H)]$

**lemma**  $tps8'\text{-eq}\text{-}tps8$ :  $tps8' = tps8$

**proof** –

**define**  $tps = tps0$

$[j + 4 := \text{nlltape} ([2 * (idx * H) + 1], [2 * (idx * H + 1) + 1]) @ \text{map} (\lambda i. [2 * (idx * H + i)]) [3..<H]])$

**then** have  $tps = tps8$

using *jk tps8-def canrepr-0 tps0*

by (*smt (verit, best) add-left-imp-eq arith-simps(4) list-update-id list-update-swap num.simps(2) numeral-eq-iff semiring-norm(83)*)

**then** show *?thesis*

using  $\text{nll-Upsilon}\text{-def } tps8'\text{-def } tps\text{-def}$  by *simp*

**qed**

**lemma**  $tm8'$  [*transforms-intros*]:

**assumes**  $ttt = 199 * H * (\text{nlength } idx + \text{nlength } H)^2$

**shows** *transforms tm8 tps0 ttt tps8'*

**proof** –  
**let**  $?ttt = 172 + 43 * nlength (H + idx * H) + 26 * (nlength idx + nlength H)^2 + H * (70 + 17 * nlength (H + idx * H))$   
**have**  $?ttt = 172 + 43 * nlength (H + idx * H) + 26 * (nlength idx + nlength H)^2 + 70 * H + 17 * H * nlength (H + idx * H)$   
**by algebra**  
**also have**  $... = 172 + 70 * H + (17 * H + 43) * nlength (H + idx * H) + 26 * (nlength idx + nlength H)^2$   
**by algebra**  
**also have**  $... = 172 + 70 * H + (17 * H + 43) * nlength (Suc idx * H) + 26 * (nlength idx + nlength H)^2$   
**by simp**  
**also have**  $... \leq 172 + 70 * H + (17 * H + 43) * (nlength (Suc idx) + nlength H) + 26 * (nlength idx + nlength H)^2$   
**using** *nlength-prod* **by** (*meson add-le-mono mult-le-mono order-refl*)  
**also have**  $... \leq 172 + 70 * H + (17 * H + 43) * (Suc (nlength idx) + nlength H) + 26 * (nlength idx + nlength H)^2$   
**using** *nlength-Suc add-le-mono le-refl mult-le-mono* **by** *presburger*  
**also have**  $... = 172 + 70 * H + (17 * H + 43) + (17 * H + 43) * (nlength idx + nlength H) + 26 * (nlength idx + nlength H)^2$   
**by simp**  
**also have**  $... = 215 + 87 * H + (17 * H + 43) * (nlength idx + nlength H) + 26 * (nlength idx + nlength H)^2$   
**by simp**  
**also have**  $... \leq 215 + 87 * H + (17 * H + 43) * (nlength idx + nlength H)^2 + 26 * (nlength idx + nlength H)^2$   
**using** *linear-le-pow* **by** *simp*  
**also have**  $... = 215 + 87 * H + (17 * H + 69) * (nlength idx + nlength H)^2$   
**by algebra**  
**also have**  $... \leq 159 * H + (17 * H + 69) * (nlength idx + nlength H)^2$   
**using** *H* **by** *simp*  
**also have**  $... \leq 159 * H + 40 * H * (nlength idx + nlength H)^2$   
**using** *H* **by** *simp*  
**also have**  $... \leq 199 * H * (nlength idx + nlength H)^2$   
**proof** –  
**have**  $nlength H > 0$   
**using** *H nlength-0* **by** *simp*  
**then have**  $nlength idx + nlength H \geq 1$   
**by** *linarith*  
**then show**  $?thesis$   
**by** *simp*  
**qed**  
**finally have**  $?ttt \leq ttt$   
**using** *assms* **by** *simp*  
**then show**  $?thesis$   
**using** *tps8'-eq-tps8 tm8 transforms-monotone* **by** *simp*  
**qed**

**definition**  $tps9 \equiv tps0$   
 $[j + 4 := ([nll-Upsilon idx H]_{NLL}, 1)]$

**lemma** *tm9*:  
**assumes**  $ttt = 199 * H * (nlength idx + nlength H)^2 + Suc (Suc (Suc (nlllength (nll-Upsilon idx H))))$   
**shows** *transforms tm9 tps0 ttt tps9*  
**unfolding** *tm9-def*

**proof** (*tform tps: tps8'-def tps9-def jk tps0 assms*)  
**show** *clean-tape (tps8'! (j + 4))*  
**using** *tps8'-def jk tps0 clean-tape-nllcontents* **by** *simp*  
**qed**

**lemma** *tm9'* [*transforms-intros*]:  
**assumes**  $ttt = 205 * H * (nlength idx + nlength H)^2$   
**shows** *transforms tm9 tps0 ttt tps9*

**proof** –  
**let**  $?ttt = 199 * H * (nlength idx + nlength H)^2 + Suc (Suc (Suc (nlllength (nll-Upsilon idx H))))$

```

have ?ttt ≤ 199 * H * (nlength idx + nlength H)2 + Suc (Suc (Suc (H * (4 + nlength idx + nlength H))))
  using nlllength-nll-Upsilon-le H by simp
also have ... = 199 * H * (nlength idx + nlength H)2 + 3 + H * (4 + nlength idx + nlength H)
  by simp
also have ... = 199 * H * (nlength idx + nlength H)2 + 3 + 4 * H + H * (nlength idx + nlength H)
  by algebra
also have ... ≤ 199 * H * (nlength idx + nlength H)2 + 5 * H + H * (nlength idx + nlength H)
  using H by simp
also have ... ≤ 199 * H * (nlength idx + nlength H)2 + 5 * H + H * (nlength idx + nlength H)2
  using linear-le-pow by simp
also have ... = 200 * H * (nlength idx + nlength H)2 + 5 * H
  by simp
also have ... ≤ 205 * H * (nlength idx + nlength H)2
proof –
  have nlength H ≥ 1
    using H nlength-0 by (metis less-one not-less not-numeral-le-zero)
  then show ?thesis
    by simp
qed
finally have ?ttt ≤ ttt
  using assms by simp
then show ?thesis
  using tm9 transforms-monotone by simp
qed

end

end

```

**lemma** *transforms-tm-UpsilongammaI* [*transforms-intros*]:

```

fixes j :: tapeidx
fixes tps tps' :: tape list and ttt idx H k :: nat
assumes length tps = k and 0 < j and j + 5 < k
  and H ≥ 3
assumes
  tps ! j = ([idx]N, 1)
  tps ! (j + 1) = ([H]N, 1)
  tps ! (j + 2) = ([[]], 1)
  tps ! (j + 3) = ([[]], 1)
  tps ! (j + 4) = ([[]], 1)
  tps ! (j + 5) = ([[]], 1)
assumes ttt = 205 * H * (nlength idx + nlength H)2
assumes tps' = tps[j + 4 := ([nll-Upsilon idx H]NLL, 1)]
shows transforms (tm-Upsilongamma j) tps ttt tps'
proof –
  interpret loc: turing-machine-Upsilongamma j .
  show ?thesis
    using assms loc.tm9-eq-tm-Upsilongamma loc.tm9' loc.tps9-def by simp
qed

end

```

## 7.6 Turing machines for the parts of $\Phi$

```

theory Sat-TM-CNF
  imports Aux-TM-Reducing
begin

```

In this section we build Turing machines for all parts  $\Phi_0, \dots, \Phi_9$  of the CNF formula  $\Phi$ . Some of them ( $\Phi_0, \Phi_1, \Phi_2$ , and  $\Phi_8$ ) are just fixed-length sequences of  $\Psi$  formulas constructible by fixed-length sequences of *tm-Psigamma* machines. Others ( $\Phi_3, \Phi_4, \Phi_5, \Phi_6$ ) are variable-length and require looping over a *tm-Psigamma* machine. The TM for  $\Phi_7$  is a loop over *tm-Upsilongamma*. Lastly, the TM for  $\Phi_9$  is a loop over a TM that generates the formulas  $\chi_t$ .

Ideally we would want to prove the semantics of the TMs inside the locale *reduction-sat*, in which  $\Phi$  was defined. However, we use locales to prove the semantics of TMs, and locales cannot be nested. For this reason we have to define the TMs on the theory level and prove their semantics there, too, just as we have done with all TMs until now. In the next chapter the semantics lemmas will be transferred to the locale *reduction-sat*.

Unlike most TMs so far, the TMs in this section are not meant to be reusable but serve a special purpose, namely to be combined into one large TM computing  $\Phi$ . For this reason the TMs are somewhat peculiar. For example, they write their output to the fixed tape 1 rather than having a parameter for the output tape. They also often expect the tapes to be initialized in a very special way. Moreover, the TMs often leave the work tapes in a “dirty” state with remnants of intermediate calculations. The combined TM for all of  $\Phi$  will simply allocate a new batch of work tapes for every individual TM.

### 7.6.1 A Turing machine for $\Phi_0$

The next Turing machine expects a number  $i$  on tape  $j$  and a number  $H$  on tape  $j+1$  and outputs to tape 1 the formula  $\Psi([i \dots H, (i+1) \dots H], 1) \wedge \Psi([(i+1) \dots H, (i+2) \dots H], 1) \wedge \Psi([(i+2) \dots H, (i+3) \dots H], 0)$ , which is just  $\Phi_0$  for suitable values of  $i$  and  $H$ .

**definition** *tm-PHI0* :: *tapeidx*  $\Rightarrow$  *machine* **where**

```

tm-PHI0 j  $\equiv$ 
  tm-setn (j + 2) 1 ;;
  tm-Psigamma j ;;
  tm-extendl-erase 1 (j + 6) ;;
  tm-incr j ;;
  tm-Psigamma j ;;
  tm-extendl-erase 1 (j + 6) ;;
  tm-incr j ;;
  tm-setn (j + 2) 0 ;;
  tm-Psigamma j ;;
  tm-extendl 1 (j + 6)

```

**lemma** *tm-PHI0-tm*:

**assumes**  $0 < j$  **and**  $j + 8 < k$  **and**  $G \geq 6$

**shows** *turing-machine*  $k$   $G$  (*tm-PHI0*  $j$ )

**unfolding** *tm-PHI0-def*

**using** *assms* *tm-Psigamma-tm* *tm-extendl-tm* *tm-erase-cr-tm* *tm-times2-tm* *tm-incr-tm* *tm-setn-tm* *tm-cr-tm* *tm-extendl-erase-tm*

**by** *simp*

**locale** *turing-machine-PHI0* =

**fixes**  $j$  :: *tapeidx*

**begin**

**definition** *tm1*  $\equiv$  *tm-setn*  $(j + 2)$  1

**definition** *tm2*  $\equiv$  *tm1* ;; *tm-Psigamma*  $j$

**definition** *tm3*  $\equiv$  *tm2* ;; *tm-extendl-erase* 1  $(j + 6)$

**definition** *tm5*  $\equiv$  *tm3* ;; *tm-incr*  $j$

**definition** *tm6*  $\equiv$  *tm5* ;; *tm-Psigamma*  $j$

**definition** *tm7*  $\equiv$  *tm6* ;; *tm-extendl-erase* 1  $(j + 6)$

**definition** *tm9*  $\equiv$  *tm7* ;; *tm-incr*  $j$

**definition** *tm10*  $\equiv$  *tm9* ;; *tm-setn*  $(j + 2)$  0

**definition** *tm11*  $\equiv$  *tm10* ;; *tm-Psigamma*  $j$

**definition** *tm12*  $\equiv$  *tm11* ;; *tm-extendl* 1  $(j + 6)$

**lemma** *tm12-eq-tm-PHI0*: *tm12* = *tm-PHI0*  $j$

**using** *tm12-def* *tm11-def* *tm10-def* *tm9-def* *tm7-def* *tm6-def* *tm5-def*

**using** *tm3-def* *tm2-def* *tm1-def* *tm-PHI0-def*

**by** *simp*

**context**

**fixes** *tps0* :: *tape list* **and**  $k$  *idx*  $H$  :: *nat*

**assumes** *jk*: *length* *tps0* =  $k$   $1 < j$   $j + 8 < k$

**and**  $H: H \geq 3$   
**assumes**  $tps0$ :  
 $tps0 ! 1 = ([\ ], 1)$   
 $tps0 ! j = ([idx]_N, 1)$   
 $tps0 ! (j + 1) = ([H]_N, 1)$   
 $tps0 ! (j + 2) = ([\ ], 1)$   
 $tps0 ! (j + 3) = ([\ ], 1)$   
 $tps0 ! (j + 4) = ([\ ], 1)$   
 $tps0 ! (j + 5) = ([\ ], 1)$   
 $tps0 ! (j + 6) = ([\ ], 1)$   
 $tps0 ! (j + 7) = ([\ ], 1)$   
 $tps0 ! (j + 8) = ([\ ], 1)$   
**begin**  
  
**definition**  $tps1 \equiv tps0$   
 $[j + 2 := ([1]_N, 1)]$   
  
**lemma**  $tm1$  [*transforms-intros*]:  
**assumes**  $ttt = 12$   
**shows** *transforms*  $tm1$   $tps0$   $ttt$   $tps1$   
**unfolding**  $tm1-def$   
**proof** (*tform*  $tps$ :  $tps0$   $tps1-def$   $jk$ )  
**show**  $tps0 ! (j + 2) = ([0]_N, 1)$   
**using**  $tps0$   $jk$  *canrepr-0* **by** *simp*  
**show**  $ttt = 10 + 2 * nlength\ 0 + 2 * nlength\ 1$   
**using** *assms* *canrepr-1* **by** *simp*  
**qed**  
  
**definition**  $tps2 \equiv tps0$   
 $[j + 2 := ([1]_N, 1),$   
 $j + 6 := (nll-Psi (idx * H) H 1]_{NLL}, 1)]$   
  
**lemma**  $tm2$  [*transforms-intros*]:  
**assumes**  $ttt = 12 + 1851 * H^4 * (nlength (Suc\ idx))^2$   
**shows** *transforms*  $tm2$   $tps0$   $ttt$   $tps2$   
**unfolding**  $tm2-def$  **by** (*tform*  $tps$ : *assms*  $tps0$   $H$   $tps1-def$   $tps2-def$   $jk$ )  
  
**definition**  $tps3 \equiv tps0$   
 $[j + 2 := ([1]_N, 1),$   
 $1 := nlltape (nll-Psi (idx * H) H (Suc\ 0)),$   
 $j + 6 := ([\ ], 1)]$   
  
**lemma**  $tm3$  [*transforms-intros*]:  
**assumes**  $ttt = 23 + 1851 * H^4 * (nlength (Suc\ idx))^2 +$   
 $4 * nlllength (nll-Psi (idx * H) H (Suc\ 0))$   
**shows** *transforms*  $tm3$   $tps0$   $ttt$   $tps3$   
**unfolding**  $tm3-def$   
**proof** (*tform*  $tps$ :  $tps0$   $H$   $tps2-def$   $tps3-def$   $jk$  *time*: *assms*)  
**show**  $tps2 ! 1 = nlltape\ []$   
**using**  $tps2-def$   $jk$  *nllcontents-Nil*  $tps0$  **by** *simp*  
**show**  $tps3 = tps2$   
 $[1 := nlltape ([] @ nll-Psi (idx * H) H (Suc\ 0)),$   
 $j + 6 := ([\ ], 1)]$   
**unfolding**  $tps3-def$   $tps2-def$  **using**  $jk$  **by** (*simp* *add*: *list-update-swap*)  
**qed**  
  
**definition**  $tps5 \equiv tps0$   
 $[j + 2 := ([1]_N, 1),$   
 $1 := nlltape (nll-Psi (idx * H) H (Suc\ 0)),$   
 $j + 6 := ([\ ], 1),$   
 $j := ([Suc\ idx]_N, 1)]$   
  
**lemma**  $tm5$  [*transforms-intros*]:



**assumes**  $t_{tt} = 28 + 1851 * H^4 * (nlength (Suc\ idx))^2 +$   
 $4 * nlllength (nll-Psi (idx * H) H\ 1) +$   
 $2 * nlength\ idx$   
**shows** *transforms*  $tm5\ tps0\ t_{tt}\ tps5$   
**unfolding**  $tm5-def$  **by** (*tform*  $tps: tps0\ H\ tps3-def\ tps5-def\ jk\ time: assms$ )

**definition**  $tps6 \equiv tps0$   
 $[j := (\lfloor Suc\ idx \rfloor_N, 1),$   
 $j + 2 := (\lfloor I \rfloor_N, 1),$   
 $j + 6 := (\lfloor nll-Psi (Suc\ idx * H) H (Suc\ 0) \rfloor_{NLL}, 1),$   
 $1 := nlltape (nll-Psi (idx * H) H\ 1)]$

**lemma**  $tm6$  [*transforms-intros*]:  
**assumes**  $t_{tt} = 28 + 1851 * H^4 * (nlength (Suc\ idx))^2 +$   
 $4 * nlllength (nll-Psi (idx * H) H\ 1) + 2 * nlength\ idx +$   
 $1851 * H^4 * (nlength (Suc (Suc\ idx)))^2$   
**shows** *transforms*  $tm6\ tps0\ t_{tt}\ tps6$   
**unfolding**  $tm6-def$   
**proof** (*tform*  $tps: tps0\ H\ tps5-def\ tps6-def\ jk\ time: assms$ )  
**show**  $tps6 = tps5[j + 6 := (\lfloor nll-Psi (Suc\ idx * H) H (Suc\ 0) \rfloor_{NLL}, 1)]$   
**unfolding**  $tps5-def\ tps6-def$  **using**  $jk$   
**by** (*simp*  $add: list-update-swap[of\ j]\ list-update-swap[of\ -\ j + 6]$ )  
**qed**

**definition**  $tps7 \equiv tps0$   
 $[j := (\lfloor Suc\ idx \rfloor_N, 1),$   
 $j + 2 := (\lfloor I \rfloor_N, 1),$   
 $j + 6 := (\lfloor \square \rfloor, 1),$   
 $1 := nlltape (nll-Psi (idx * H) H\ 1 @ nll-Psi (H + idx * H) H\ 1)]$

**lemma**  $tm7$  [*transforms-intros*]:  
**assumes**  $t_{tt} = 39 + 1851 * H^4 * (nlength (Suc\ idx))^2 +$   
 $4 * nlllength (nll-Psi (idx * H) H\ 1) +$   
 $2 * nlength\ idx + 1851 * H^4 * (nlength (Suc (Suc\ idx)))^2 +$   
 $4 * nlllength (nll-Psi (H + idx * H) H\ 1)$   
**shows** *transforms*  $tm7\ tps0\ t_{tt}\ tps7$   
**unfolding**  $tm7-def$  **by** (*tform*  $tps: assms\ tps6-def\ tps7-def\ jk$ )

**definition**  $tps9 \equiv tps0$   
 $[j := (\lfloor Suc (Suc\ idx) \rfloor_N, 1),$   
 $j + 2 := (\lfloor I \rfloor_N, 1),$   
 $j + 6 := (\lfloor \square \rfloor, 1),$   
 $1 := nlltape (nll-Psi (idx * H) H\ 1 @ nll-Psi (H + idx * H) H\ 1)]$

**lemma**  $tm9$  [*transforms-intros*]:  
**assumes**  $t_{tt} = 44 + 1851 * H^4 * (nlength (Suc\ idx))^2 +$   
 $4 * nlllength (nll-Psi (idx * H) H\ 1) + 2 * nlength\ idx +$   
 $1851 * H^4 * (nlength (Suc (Suc\ idx)))^2 + 4 * nlllength (nll-Psi (H + idx * H) H\ 1) +$   
 $2 * nlength (Suc\ idx)$   
**shows** *transforms*  $tm9\ tps0\ t_{tt}\ tps9$   
**unfolding**  $tm9-def$   
**proof** (*tform*  $tps: tps0\ H\ tps7-def\ tps9-def\ jk\ time: assms$ )  
**show**  $tps9 = tps7[j := (\lfloor Suc (Suc\ idx) \rfloor_N, 1)]$   
**using**  $tps9-def\ tps7-def\ jk$  **by** (*simp*  $add: list-update-swap$ )  
**qed**

**definition**  $tps10 \equiv tps0$   
 $[j := (\lfloor Suc (Suc\ idx) \rfloor_N, 1),$   
 $j + 2 := (\lfloor 0 \rfloor_N, 1),$   
 $j + 6 := (\lfloor \square \rfloor, 1),$   
 $1 := nlltape (nll-Psi (idx * H) H\ 1 @ nll-Psi (H + idx * H) H\ 1)]$

**lemma**  $tm10$  [*transforms-intros*]:

**assumes**  $ttt = 56 + 1851 * H^4 * (nlength (Suc\ idx))^2 +$   
 $4 * nlllength (nll-Psi (idx * H) H\ 1) + 2 * nlength\ idx +$   
 $1851 * H^4 * (nlength (Suc (Suc\ idx)))^2 + 4 * nlllength (nll-Psi (H + idx * H) H\ 1) +$   
 $2 * nlength (Suc\ idx)$

**shows** *transforms tm10 tps0 ttt tps10*

**unfolding** *tm10-def*

**proof** (*tform tps: tps0 H tps9-def tps10-def jk*)

**show**  $ttt = 44 + 1851 * H^4 * (nlength (Suc\ idx))^2 +$   
 $4 * nlllength (nll-Psi (idx * H) H\ 1) + 2 * nlength\ idx +$   
 $1851 * H^4 * (nlength (Suc (Suc\ idx)))^2 + 4 * nlllength (nll-Psi (H + idx * H) H\ 1) +$   
 $2 * nlength (Suc\ idx) + (10 + 2 * nlength (Suc\ 0) + 2 * nlength\ 0)$

**using** *assms canrepr-1* **by** *simp*

**qed**

**definition** *tps11*  $\equiv$  *tps0*

$[j := (\lfloor Suc (Suc\ idx) \rfloor_N, 1),$

$j + 2 := (\lfloor 0 \rfloor_N, 1),$

$j + 6 := (\lfloor nll-Psi (Suc (Suc\ idx) * H) H\ 0 \rfloor_{NLL}, 1),$

$1 := nlltape (nll-Psi (idx * H) H\ 1 @ nll-Psi (H + idx * H) H\ 1)]$

**lemma** *tm11* [*transforms-intros*]:

**assumes**  $ttt = 56 + 1851 * H^4 * (nlength (Suc\ idx))^2 +$   
 $4 * nlllength (nll-Psi (idx * H) H\ 1) + 2 * nlength\ idx +$   
 $1851 * H^4 * (nlength (Suc (Suc\ idx)))^2 + 4 * nlllength (nll-Psi (H + idx * H) H\ 1) +$   
 $2 * nlength (Suc\ idx) + 1851 * H^4 * (nlength (Suc (Suc (Suc\ idx))))^2$

**shows** *transforms tm11 tps0 ttt tps11*

**unfolding** *tm11-def* **by** (*tform tps: tps0 H tps10-def tps11-def jk time: assms*)

**definition** *tps12*  $\equiv$  *tps0*

$[j := (\lfloor Suc (Suc\ idx) \rfloor_N, 1),$

$j + 2 := (\lfloor 0 \rfloor_N, 1),$

$j + 6 := (\lfloor nll-Psi (Suc (Suc\ idx) * H) H\ 0 \rfloor_{NLL}, 1),$

$1 := nlltape (nll-Psi (idx * H) H\ 1 @ nll-Psi (H + idx * H) H\ 1 @ nll-Psi (Suc (Suc\ idx) * H) H\ 0)]$

**lemma** *tm12*:

**assumes**  $ttt = 60 + 1851 * H^4 * (nlength (Suc\ idx))^2 +$   
 $4 * nlllength (nll-Psi (idx * H) H\ 1) + 2 * nlength\ idx +$   
 $1851 * H^4 * (nlength (Suc (Suc\ idx)))^2 + 4 * nlllength (nll-Psi (H + idx * H) H\ 1) +$   
 $2 * nlength (Suc\ idx) + 1851 * H^4 * (nlength (Suc (Suc (Suc\ idx))))^2 +$   
 $2 * nlllength (nll-Psi (H + (H + idx * H)) H\ 0)$

**shows** *transforms tm12 tps0 ttt tps12*

**unfolding** *tm12-def* **by** (*tform tps: tps11-def tps12-def jk assms*)

**lemma** *tm12'*:

**assumes**  $ttt = 5627 * H^4 * (3 + nlength (3 * H + idx * H))^2$

**shows** *transforms tm12 tps0 ttt tps12*

**proof** –

**let**  $?ttt = 60 + 1851 * H^4 * (nlength (Suc\ idx))^2 +$   
 $4 * nlllength (nll-Psi (idx * H) H\ 1) + 2 * nlength\ idx +$   
 $1851 * H^4 * (nlength (Suc (Suc\ idx)))^2 + 4 * nlllength (nll-Psi (H + idx * H) H\ 1) +$   
 $2 * nlength (Suc\ idx) + 1851 * H^4 * (nlength (Suc (Suc (Suc\ idx))))^2 +$   
 $2 * nlllength (nll-Psi (H + (H + idx * H)) H\ 0)$

**have**  $?ttt \leq 60 + 1851 * H^4 * (nlength (Suc\ idx))^2 +$

$4 * H * (3 + nlength (3 * H + idx * H)) + 2 * nlength\ idx +$

$1851 * H^4 * (nlength (Suc (Suc\ idx)))^2 + 4 * nlllength (nll-Psi (H + idx * H) H\ 1) +$

$2 * nlength (Suc\ idx) + 1851 * H^4 * (nlength (Suc (Suc (Suc\ idx))))^2 +$

$2 * nlllength (nll-Psi (H + (H + idx * H)) H\ 0)$

**using** *nlllength-nll-Psi-le'*[*of idx \* H 2 \* H + idx \* H H*] **by** *simp*

**also have**  $\dots \leq 60 + 1851 * H^4 * (nlength (Suc\ idx))^2 +$

$4 * H * (3 + nlength (3 * H + idx * H)) + 2 * nlength\ idx +$

$1851 * H^4 * (nlength (Suc (Suc\ idx)))^2 + 4 * H * (3 + nlength (3 * H + idx * H)) +$

$2 * nlength (Suc\ idx) + 1851 * H^4 * (nlength (Suc (Suc (Suc\ idx))))^2 +$

$2 * nlllength (nll-Psi (H + (H + idx * H)) H\ 0)$

```

using nllength-nll-Psi-le'[of H + idx * H 2 * H + idx * H H] by simp
also have ... ≤ 60 + 1851 * H ^ 4 * (nlength (Suc idx))^2 +
  4 * H * (3 + nlength (3 * H + idx * H)) + 2 * nlength idx +
  1851 * H ^ 4 * (nlength (Suc (Suc idx)))^2 + 4 * H * (3 + nlength (3 * H + idx * H)) +
  2 * nlength (Suc idx) + 1851 * H ^ 4 * (nlength (Suc (Suc (Suc idx))))^2 +
  2 * H * (3 + nlength (3 * H + idx * H))
using nllength-nll-Psi-le'[of H + (H + idx * H) 2 * H + idx * H H] by simp
also have ... = 60 + 1851 * H ^ 4 * (nlength (Suc idx))^2 +
  10 * H * (3 + nlength (3 * H + idx * H)) + 2 * nlength idx +
  1851 * H ^ 4 * (nlength (Suc (Suc idx)))^2 + 2 * nlength (Suc idx) +
  1851 * H ^ 4 * (nlength (Suc (Suc (Suc idx))))^2
by simp
also have ... ≤ 60 + 1851 * H ^ 4 * (nlength (Suc (Suc idx)))^2 +
  10 * H * (3 + nlength (3 * H + idx * H)) + 2 * nlength idx +
  1851 * H ^ 4 * (nlength (Suc (Suc (Suc idx))))^2 + 2 * nlength (Suc idx) +
  1851 * H ^ 4 * (nlength (Suc (Suc (Suc idx))))^2
using nlength-mono linear-le-pow by simp
also have ... ≤ 60 + 1851 * H ^ 4 * (nlength (Suc (Suc (Suc idx))))^2 +
  10 * H * (3 + nlength (3 * H + idx * H)) + 2 * nlength idx +
  1851 * H ^ 4 * (nlength (Suc (Suc (Suc idx))))^2 + 2 * nlength (Suc idx) +
  1851 * H ^ 4 * (nlength (Suc (Suc (Suc idx))))^2
using nlength-mono linear-le-pow by simp
also have ... = 60 + 5553 * H ^ 4 * (nlength (Suc (Suc (Suc idx))))^2 +
  10 * H * (3 + nlength (3 * H + idx * H)) + 2 * nlength idx + 2 * nlength (Suc idx)
by simp
also have ... ≤ 60 + 5553 * H ^ 4 * (nlength (Suc (Suc (Suc idx))))^2 +
  10 * H * (3 + nlength (3 * H + idx * H)) + 2 * nlength (Suc idx) + 2 * nlength (Suc idx)
using nlength-mono by simp
also have ... = 60 + 5553 * H ^ 4 * (nlength (Suc (Suc (Suc idx))))^2 +
  10 * H * (3 + nlength (3 * H + idx * H)) + 4 * nlength (Suc idx)
by simp
also have ... ≤ 60 + 5553 * H ^ 4 * (3 + nlength (3 * H + idx * H))^2 +
  10 * H * (3 + nlength (3 * H + idx * H)) + 4 * nlength (Suc idx)
proof -
  have Suc (Suc (Suc idx)) ≤ 3 * H + idx * H
  proof (cases idx = 0)
    case True
    then show ?thesis
    using H by simp
  next
    case False
    then show ?thesis
    using H
  by (metis One-nat-def Suc3-eq-add-3 comm-semiring-class.distrib le-Suc-eq less-eq-nat.simps(1) mult commute
    mult-1 mult-le-mono1 nle-le not-numeral-le-zero)
qed
then have nlength (Suc (Suc (Suc idx))) ≤ 3 + nlength (3 * H + idx * H)
  using nlength-mono trans-le-add2 by presburger
then have nlength (Suc (Suc (Suc idx))) ^ 2 ≤ (3 + nlength (3 * H + idx * H)) ^ 2
  by simp
then show ?thesis
  by simp
qed
also have ... ≤ 60 + 5553 * H ^ 4 * (3 + nlength (3 * H + idx * H))^2 +
  10 * H ^ 4 * (3 + nlength (3 * H + idx * H)) + 4 * nlength (Suc idx)
  using linear-le-pow by simp
also have ... ≤ 60 + 5553 * H ^ 4 * (3 + nlength (3 * H + idx * H))^2 +
  10 * H ^ 4 * (3 + nlength (3 * H + idx * H)) ^ 2 + 4 * nlength (Suc idx)
  using linear-le-pow by simp
also have ... = 60 + 5563 * H ^ 4 * (3 + nlength (3 * H + idx * H))^2 + 4 * nlength (Suc idx)
  by simp
also have ... ≤ 60 + 5563 * H ^ 4 * (3 + nlength (3 * H + idx * H))^2 +
  4 * H ^ 4 * (3 + nlength (3 * H + idx * H)) ^ 2

```

```

proof –
  have  $idx \leq idx * H$ 
    using  $H$  by simp
  then have  $Suc\ idx \leq 3 * H + idx * H$ 
    using  $H$  by linarith
  then have  $nlength\ (Suc\ idx) \leq 3 + nlength\ (3 * H + idx * H)$ 
    using nlength-mono trans-le-add2 by presburger
  also have  $\dots \leq (3 + nlength\ (3 * H + idx * H)) ^ 2$ 
    by (simp add: power2-eq-square)
  also have  $\dots \leq H * (3 + nlength\ (3 * H + idx * H)) ^ 2$ 
    using  $H$  by simp
  also have  $\dots \leq H^4 * (3 + nlength\ (3 * H + idx * H)) ^ 2$ 
    using linear-le-pow by simp
  finally have  $nlength\ (Suc\ idx) \leq H^4 * (3 + nlength\ (3 * H + idx * H)) ^ 2$  .
  then show ?thesis
    by simp
qed
also have  $\dots = 60 + 5567 * H ^ 4 * (3 + nlength\ (3 * H + idx * H))^2$ 
  by simp
also have  $\dots \leq 60 * H ^ 4 * (3 + nlength\ (3 * H + idx * H))^2 + 5567 * H ^ 4 * (3 + nlength\ (3 * H +$ 
 $idx * H))^2$ 
  using  $H$  linear-le-pow by simp
also have  $\dots = 5627 * H ^ 4 * (3 + nlength\ (3 * H + idx * H))^2$ 
  by simp
finally have ?ttt  $\leq ttt$ 
  using assms by simp
then show ?thesis
  using tm12 transforms-monotone by simp
qed

end

end

lemma transforms-tm-PHI0I:
  fixes  $j :: tapeidx$ 
  fixes  $tps\ tps' :: tape\ list$  and  $ttt\ k\ idx\ H :: nat$ 
  assumes  $length\ tps = k$  and  $1 < j$  and  $j + 8 < k$  and  $H \geq 3$ 
  assumes
     $tps ! 1 = ([\ ], 1)$ 
     $tps ! j = ([idx]_N, 1)$ 
     $tps ! (j + 1) = ([H]_N, 1)$ 
     $tps ! (j + 2) = ([\ ], 1)$ 
     $tps ! (j + 3) = ([\ ], 1)$ 
     $tps ! (j + 4) = ([\ ], 1)$ 
     $tps ! (j + 5) = ([\ ], 1)$ 
     $tps ! (j + 6) = ([\ ], 1)$ 
     $tps ! (j + 7) = ([\ ], 1)$ 
     $tps ! (j + 8) = ([\ ], 1)$ 
  assumes  $tps' = tps$ 
     $[j := ([Suc\ (Suc\ idx)]_N, 1),$ 
     $j + 2 := ([0]_N, 1),$ 
     $j + 6 := ([nll-Psi\ (Suc\ (Suc\ idx) * H)\ H\ 0]_{NLL}, 1),$ 
     $1 := nlltape\ (nll-Psi\ (idx * H)\ H\ 1\ @\ nll-Psi\ (H + idx * H)\ H\ 1\ @\ nll-Psi\ (Suc\ (Suc\ idx) * H)\ H\ 0)]$ 
  assumes  $ttt = 5627 * H ^ 4 * (3 + nlength\ (3 * H + idx * H))^2$ 
  shows transforms\ (tm-PHI0\ j)\ tps\ ttt\ tps'
proof –
  interpret loc: turing-machine-PHI0\ j .
  show ?thesis
    using loc.tps12-def\ loc.tm12' loc.tm12-eq-tm-PHI0\ assms by metis
qed

```

## 7.6.2 A Turing machine for $\Phi_1$

The next TM expects a number  $H$  on tape  $j + 1$  and appends to the formula on tape 1 the formula  $\Psi([0, H], 1)$ .

**definition** *tm-PHI1* :: *tapeidx*  $\Rightarrow$  *machine* **where**

```
tm-PHI1 j  $\equiv$ 
  tm-setn (j + 2) 1 ;;
  tm-Psigamma j ;;
  tm-extendl 1 (j + 6)
```

**lemma** *tm-PHI1-tm*:

**assumes**  $0 < j$  **and**  $j + 7 < k$  **and**  $G \geq 6$

**shows** *turing-machine*  $k$   $G$  (*tm-PHI1* *j*)

**unfolding** *tm-PHI1-def* **using** *assms tm-Psigamma-tm tm-setn-tm tm-extendl-tm* **by** *simp*

**locale** *turing-machine-PHI1* =

**fixes** *j* :: *tapeidx*

**begin**

**definition** *tm1*  $\equiv$  *tm-setn* (*j* + 2) 1

**definition** *tm2*  $\equiv$  *tm1* ;; *tm-Psigamma* *j*

**definition** *tm3*  $\equiv$  *tm2* ;; *tm-extendl* 1 (*j* + 6)

**lemma** *tm3-eq-tm-PHI1*: *tm3* = *tm-PHI1* *j*

**using** *tm3-def tm2-def tm1-def tm-PHI1-def* **by** *simp*

**context**

**fixes** *tps0* :: *tape list* **and** *k idx H* :: *nat* **and** *nss* :: *nat list list*

**assumes** *jk*: *length tps0* =  $k$   $1 < j$   $j + 7 < k$

**and** *H*:  $H \geq 3$

**assumes** *tps0*:

```
tps0 ! 1 = nlltape nss
tps0 ! j = ( $\lfloor 0 \rfloor_N$ , 1)
tps0 ! (j + 1) = ( $\lfloor H \rfloor_N$ , 1)
tps0 ! (j + 2) = ( $\lfloor \square \rfloor$ , 1)
tps0 ! (j + 3) = ( $\lfloor \square \rfloor$ , 1)
tps0 ! (j + 4) = ( $\lfloor \square \rfloor$ , 1)
tps0 ! (j + 5) = ( $\lfloor \square \rfloor$ , 1)
tps0 ! (j + 6) = ( $\lfloor \square \rfloor$ , 1)
tps0 ! (j + 7) = ( $\lfloor \square \rfloor$ , 1)
```

**begin**

**definition** *tps1*  $\equiv$  *tps0*

$[j + 2 := (\lfloor 1 \rfloor_N, 1)]$

**lemma** *tm1* [*transforms-intros*]:

**assumes** *ttt* = 12

**shows** *transforms* *tm1* *tps0* *ttt* *tps1*

**unfolding** *tm1-def*

**proof** (*tform tps: tps0 tps1-def jk*)

**show** *tps0* ! (*j* + 2) = ( $\lfloor 0 \rfloor_N$ , 1)

**using** *tps0 jk canrepr-0* **by** *simp*

**show** *ttt* =  $10 + 2 * \text{nlength } 0 + 2 * \text{nlength } 1$

**using** *assms canrepr-1* **by** *simp*

**qed**

**definition** *tps2*  $\equiv$  *tps0*

$[j + 2 := (\lfloor 1 \rfloor_N, 1),$

$j + 6 := (\lfloor \text{nll-Psi } 0 H 1 \rfloor_{NLL}, 1)]$

**lemma** *tm2* [*transforms-intros*]:

**assumes** *ttt* =  $12 + 1851 * H \wedge 4$

**shows** *transforms* *tm2* *tps0* *ttt* *tps2*

**unfolding** *tm2-def*  
**proof** (*tform tps: tps0 H tps1-def tps2-def jk*)  
 show  $ttt = 12 + 1851 * H^4 * (nlength (Suc 0))^2$   
 using *canrepr-1 assms by simp*  
**qed**

**definition** *tps3*  $\equiv$  *tps0*  
 $[j + 2 := ([1]_N, 1),$   
 $j + 6 := ([nll-Psi 0 H 1]_{NLL}, 1),$   
 $1 := nlltape (nss @ nll-Psi 0 H 1)]$

**lemma** *tm3*:  
 assumes  $ttt = 16 + 1851 * H^4 + 2 * nlllength (nll-Psi 0 H 1)$   
 shows *transforms tm3 tps0 ttt tps3*  
**unfolding** *tm3-def by (tform tps: tps0 H tps2-def tps3-def jk time: assms)*

**lemma** *tm3'*:  
 assumes  $ttt = 1875 * H^4$   
 shows *transforms tm3 tps0 ttt tps3*  
**proof** –  
 let  $?ttt = 16 + 1851 * H^4 + 2 * nlllength (nll-Psi 0 H 1)$   
 have  $?ttt \leq 16 + 1851 * H^4 + 2 * H * (3 + nlength H)$   
 using *nlllength-nll-Psi-le*  
 by (*metis (mono-tags, lifting) add-left-mono mult.assoc nat-mult-le-cancel1 plus-nat.add-0 rel-simps(51)*)  
 also have  $\dots = 16 + 1851 * H^4 + 6 * H + 2 * H * nlength H$   
 by *algebra*  
 also have  $\dots \leq 16 + 1851 * H^4 + 6 * H + 2 * H * H$   
 using *nlength-le-n by simp*  
 also have  $\dots \leq 16 + 1851 * H^4 + 6 * H * H + 2 * H * H$   
 by *simp*  
 also have  $\dots = 16 + 1851 * H^4 + 8 * H^2$   
 by *algebra*  
 also have  $\dots \leq 16 + 1851 * H^4 + 8 * H^4$   
 using *pow-mono'[of 2 4 H] by simp*  
 also have  $\dots = 16 + 1859 * H^4$   
 by *simp*  
 also have  $\dots \leq 16 * H^4 + 1859 * H^4$   
 using *H by simp*  
 also have  $\dots = 1875 * H^4$   
 by *simp*  
 finally have  $?ttt \leq 1875 * H^4$ .  
 then show *?thesis*  
 using *assms tm3 transforms-monotone by simp*  
**qed**

**end**

**end**

**lemma** *transforms-tm-PHII*:  
 fixes  $j :: tapeidx$   
 fixes  $tps tps' :: tape list$  and  $t k H :: nat$  and  $nss :: nat list list$   
 assumes  $length tps = k$  and  $1 < j$  and  $j + 7 < k$  and  $H \geq 3$   
 assumes  
 $tps ! 1 = nlltape nss$   
 $tps ! j = ([0]_N, 1)$   
 $tps ! (j + 1) = ([H]_N, 1)$   
 $tps ! (j + 2) = ([[]], 1)$   
 $tps ! (j + 3) = ([[]], 1)$   
 $tps ! (j + 4) = ([[]], 1)$   
 $tps ! (j + 5) = ([[]], 1)$   
 $tps ! (j + 6) = ([[]], 1)$   
 $tps ! (j + 7) = ([[]], 1)$

```

assumes tps' = tps
  [j + 2 := ([1]N, 1),
   j + 6 := ([nll-Psi 0 H 1]NLL, 1),
   1 := nlltape (nss @ nll-Psi 0 H 1)]
assumes ttt = 1875 * H ^ 4
shows transforms (tm-PHI1 j) tps ttt tps'
proof –
  interpret loc: turing-machine-PHI1 j .
  show ?thesis
    using loc.tps3-def loc.tm3' loc.tm3-eq-tm-PHI1 assms by metis
qed

```

### 7.6.3 A Turing machine for $\Phi_2$

The next TM expects a number  $i$  on tape  $j$  and a number  $H$  on tape  $j + 1$ . It appends to the formula on tape 1 the formula  $\Psi([(2i + 1)H, (2i + 2)H], 3) \wedge \Psi([(2i + 2)H, (2i + 3)H], 3)$ .

**definition** *tm-PHI2* :: *tapeidx*  $\Rightarrow$  *machine* **where**

```

tm-PHI2 j  $\equiv$ 
  tm-times2 j ;;
  tm-incr j ;;
  tm-setn (j + 2) 3 ;;
  tm-Psigamma j ;;
  tm-extendl-erase 1 (j + 6) ;;
  tm-incr j ;;
  tm-Psigamma j ;;
  tm-extendl 1 (j + 6)

```

**lemma** *tm-PHI2-tm*:

```

assumes 0 < j and j + 8 < k and G  $\geq$  6
shows turing-machine k G (tm-PHI2 j)
unfolding tm-PHI2-def
  using assms tm-Psigamma-tm tm-extendl-tm tm-erase-cr-tm tm-times2-tm tm-incr-tm tm-setn-tm tm-cr-tm
  tm-extendl-erase-tm
  by simp

```

**locale** *turing-machine-PHI2* =

```

  fixes j :: tapeidx
begin

```

```

definition tm1  $\equiv$  tm-times2 j
definition tm2  $\equiv$  tm1 ;; tm-incr j
definition tm3  $\equiv$  tm2 ;; tm-setn (j + 2) 3
definition tm4  $\equiv$  tm3 ;; tm-Psigamma j
definition tm5  $\equiv$  tm4 ;; tm-extendl-erase 1 (j + 6)
definition tm7  $\equiv$  tm5 ;; tm-incr j
definition tm8  $\equiv$  tm7 ;; tm-Psigamma j
definition tm9  $\equiv$  tm8 ;; tm-extendl 1 (j + 6)

```

**lemma** *tm9-eq-tm-PHI2*:  $tm9 = tm-PHI2 j$

```

using tm9-def tm8-def tm7-def tm5-def tm4-def tm3-def tm2-def tm1-def tm-PHI2-def
  by simp

```

**context**

```

fixes tps0 :: tape list and k idx H :: nat and nss :: nat list list
assumes jk: length tps0 = k 1 < j j + 7 < k
  and H: H  $\geq$  3
assumes tps0:
  tps0 ! 1 = nlltape nss
  tps0 ! j = ([idx]N, 1)
  tps0 ! (j + 1) = ([H]N, 1)
  tps0 ! (j + 2) = ([[]], 1)
  tps0 ! (j + 3) = ([[]], 1)
  tps0 ! (j + 4) = ([[]], 1)

```

```

    tps0 ! (j + 5) = ([[]], 1)
    tps0 ! (j + 6) = ([[]], 1)
    tps0 ! (j + 7) = ([[]], 1)
begin

definition tps1  $\equiv$  tps0
[j := ([2 * idx]N, 1)]

lemma tm1 [transforms-intros]:
  assumes ttt = 5 + 2 * nlength idx
  shows transforms tm1 tps0 ttt tps1
  unfolding tm1-def by (tform tps: tps0 tps1-def jk assms)

definition tps2  $\equiv$  tps0
[j := ([2 * idx + 1]N, 1)]

lemma tm2:
  assumes ttt = 10 + 2 * nlength idx + 2 * nlength (2 * idx)
  shows transforms tm2 tps0 ttt tps2
  unfolding tm2-def by (tform tps: tps0 H tps1-def tps2-def jk assms)

lemma tm2' [transforms-intros]:
  assumes ttt = 12 + 4 * nlength idx
  shows transforms tm2 tps0 ttt tps2
proof –
  have 10 + 2 * nlength idx + 2 * nlength (2 * idx)  $\leq$  10 + 2 * nlength idx + 2 * (Suc (nlength idx))
  using nlength-times2 by (meson add-left-mono mult-le-mono2)
  then have 10 + 2 * nlength idx + 2 * nlength (2 * idx)  $\leq$  ttt
  using assms by simp
  then show ?thesis
  using tm2 transforms-monotone by simp
qed

definition tps3  $\equiv$  tps0
[j := ([2 * idx + 1]N, 1),
j + 2 := ([3]N, 1)]

lemma tm3 [transforms-intros]:
  assumes ttt = 26 + 4 * nlength idx
  shows transforms tm3 tps0 ttt tps3
  unfolding tm3-def
proof (tform tps: tps0 H tps2-def tps3-def jk)
  show tps2 ! (j + 2) = ([0]N, 1)
  using tps2-def jk canrepr-0 tps0 by simp
  show ttt = 12 + 4 * nlength idx + (10 + 2 * nlength 0 + 2 * nlength 3)
  using nlength-3 assms by simp
qed

definition tps4  $\equiv$  tps0
[j := ([2 * idx + 1]N, 1),
j + 2 := ([3]N, 1),
j + 6 := ([nll-Psi (Suc (2 * idx) * H) H 3]NLL, 1)]

lemma tm4 [transforms-intros]:
  assumes ttt = 26 + 4 * nlength idx + 1851 * H ^ 4 * (nlength (Suc (Suc (2 * idx))))2
  shows transforms tm4 tps0 ttt tps4
  unfolding tm4-def by (tform tps: tps0 H tps3-def tps4-def jk time: assms)

definition tps5  $\equiv$  tps0
[j := ([2 * idx + 1]N, 1),
j + 2 := ([3]N, 1),
j + 6 := ([[]], 1),
1 := nlltape (nss @ nll-Psi (H + 2 * idx * H) H 3)]

```



**lemma** *tm5* [transforms-intros]:

**assumes**  $ttt = 37 + 4 * nlength\ idx + 1851 * H^4 * (nlength\ (Suc\ (Suc\ (2 * idx))))^2 + 4 * nllength\ (nll-Psi\ (H + 2 * idx * H)\ H\ 3)$   
**shows** *transforms tm5 tps0 ttt tps5*  
**unfolding** *tm5-def* **by** (*tform tps: tps0 H tps4-def tps5-def jk time: assms*)

**definition** *tps7*  $\equiv$  *tps0*

$[j := (\lfloor 2 * idx + 2 \rfloor_N, 1),$   
 $j + 2 := (\lfloor 3 \rfloor_N, 1),$   
 $j + 6 := (\lfloor \lfloor \rfloor, 1),$   
 $1 := nlltape\ (nss\ @\ nll-Psi\ (H + 2 * idx * H)\ H\ 3)]$

**lemma** *tm7*:

**assumes**  $ttt = 42 + 4 * nlength\ idx + 1851 * H^4 * (nlength\ (Suc\ (Suc\ (2 * idx))))^2 + 4 * nllength\ (nll-Psi\ (H + 2 * idx * H)\ H\ 3) + 2 * nlength\ (Suc\ (2 * idx))$   
**shows** *transforms tm7 tps0 ttt tps7*  
**unfolding** *tm7-def*

**proof** (*tform tps: tps0 H tps5-def tps7-def jk time: assms*)

**show**  $tps7 = tps5[j := (\lfloor Suc\ (Suc\ (2 * idx)) \rfloor_N, 1)]$   
**using** *tps5-def tps7-def jk* **by** (*simp add: list-update-swap*)

**qed**

**lemma** *tm7'* [transforms-intros]:

**assumes**  $ttt = 44 + 6 * nlength\ idx + 1851 * H^4 * (nlength\ (Suc\ (Suc\ (2 * idx))))^2 + 4 * nllength\ (nll-Psi\ (H + 2 * idx * H)\ H\ 3)$   
**shows** *transforms tm7 tps0 ttt tps7*

**proof** –

**let**  $?ttt = 42 + 4 * nlength\ idx + 1851 * H^4 * (nlength\ (Suc\ (Suc\ (2 * idx))))^2 + 4 * nllength\ (nll-Psi\ (H + 2 * idx * H)\ H\ 3) + 2 * nlength\ (Suc\ (2 * idx))$

**have**  $?ttt \leq 42 + 4 * nlength\ idx + 1851 * H^4 * (nlength\ (Suc\ (Suc\ (2 * idx))))^2 + 4 * nllength\ (nll-Psi\ (H + 2 * idx * H)\ H\ 3) + 2 * (Suc\ (nlength\ idx))$

**using** *nlength-times2plus1* **by** (*metis add.commute add-left-mono mult-le-mono2 plus-1-eq-Suc*)

**then have**  $?ttt \leq ttt$

**using** *assms* **by** *simp*

**then show** *thesis*

**using** *tm7 transforms-monotone* **by** *simp*

**qed**

**definition** *tps8*  $\equiv$  *tps0*

$[j := (\lfloor 2 * idx + 2 \rfloor_N, 1),$   
 $j + 2 := (\lfloor 3 \rfloor_N, 1),$   
 $j + 6 := (\lfloor nll-Psi\ (Suc\ (Suc\ (2 * idx)) * H)\ H\ 3 \rfloor_{NLL}, 1),$   
 $1 := nlltape\ (nss\ @\ nll-Psi\ (H + 2 * idx * H)\ H\ 3)]$

**lemma** *tm8* [transforms-intros]:

**assumes**  $ttt = 44 + 6 * nlength\ idx + 1851 * H^4 * (nlength\ (Suc\ (Suc\ (2 * idx))))^2 + 4 * nllength\ (nll-Psi\ (H + 2 * idx * H)\ H\ 3) + 1851 * H^4 * (nlength\ (Suc\ (Suc\ (Suc\ (2 * idx))))^2)$   
**shows** *transforms tm8 tps0 ttt tps8*  
**unfolding** *tm8-def*

**proof** (*tform tps: tps0 H tps7-def tps8-def jk time: assms*)

**show**  $tps8 = tps7$

$[j + 6 := (\lfloor nll-Psi\ (Suc\ (Suc\ (2 * idx)) * H)\ H\ 3 \rfloor_{NLL}, 1)]$

**unfolding** *tps8-def tps7-def* **by** (*simp add: list-update-swap[of j+6]*)

**qed**

**definition** *tps9*  $\equiv$  *tps0*

$[j := (\lfloor 2 * idx + 2 \rfloor_N, 1),$   
 $j + 2 := (\lfloor 3 \rfloor_N, 1),$   
 $j + 6 := (\lfloor nll-Psi\ (Suc\ (Suc\ (2 * idx)) * H)\ H\ 3 \rfloor_{NLL}, 1),$

$1 := \text{nlltape } (\text{nss } @ \text{nll-Psi } (H + 2 * \text{idx} * H) H 3 @ \text{nll-Psi } (2 * H + 2 * \text{idx} * H) H 3)]$

**lemma tm9:**

**assumes**  $\text{ttt} = 48 + 6 * \text{nlength } \text{idx} + 1851 * H^4 * (\text{nlength } (\text{Suc } (\text{Suc } (2 * \text{idx}))))^2 +$   
 $4 * \text{nlllength } (\text{nll-Psi } (H + 2 * \text{idx} * H) H 3) +$   
 $1851 * H^4 * (\text{nlength } (\text{Suc } (\text{Suc } (\text{Suc } (2 * \text{idx}))))^2 +$   
 $2 * \text{nlllength } (\text{nll-Psi } (2 * H + 2 * \text{idx} * H) H 3)$   
**shows** *transforms tm9 tps0 ttt tps9*  
**unfolding** *tm9-def* **by** (*tform tps: tps0 H tps9-def tps8-def jk time: assms*)

**lemma tm9':**

**assumes**  $\text{ttt} = 3764 * H^4 * (3 + \text{nlength } (3 * H + 2 * \text{idx} * H))^2$   
**shows** *transforms tm9 tps0 ttt tps9*

**proof** –

**let**  $? \text{ttt} = 48 + 6 * \text{nlength } \text{idx} + 1851 * H^4 * (\text{nlength } (\text{Suc } (\text{Suc } (2 * \text{idx}))))^2 +$   
 $4 * \text{nlllength } (\text{nll-Psi } (H + 2 * \text{idx} * H) H 3) + 1851 * H^4 * (\text{nlength } (\text{Suc } (\text{Suc } (\text{Suc } (2 * \text{idx}))))^2 +$   
 $2 * \text{nlllength } (\text{nll-Psi } (2 * H + 2 * \text{idx} * H) H 3)$   
**have**  $? \text{ttt} \leq 48 + 6 * \text{nlength } \text{idx} + 1851 * H^4 * (\text{nlength } (\text{Suc } (\text{Suc } (2 * \text{idx}))))^2 +$   
 $4 * H * (3 + \text{nlength } (2 * H + 2 * \text{idx} * H + H)) + 1851 * H^4 * (\text{nlength } (\text{Suc } (\text{Suc } (\text{Suc } (2 * \text{idx}))))^2 +$   
 $2 * \text{nlllength } (\text{nll-Psi } (2 * H + 2 * \text{idx} * H) H 3)$   
**using** *nlllength-nll-Psi-le'*[*of H + 2 \* idx \* H 2 \* H + 2 \* idx \* H H 3*] **by** *simp*  
**also have**  $\dots \leq 48 + 6 * \text{nlength } \text{idx} + 1851 * H^4 * (\text{nlength } (\text{Suc } (\text{Suc } (2 * \text{idx}))))^2 +$   
 $5 * H * (3 + \text{nlength } (2 * H + 2 * \text{idx} * H + H)) + 1851 * H^4 * (\text{nlength } (\text{Suc } (\text{Suc } (\text{Suc } (2 * \text{idx}))))^2 +$   
 $3 * H * (3 + \text{nlength } (2 * H + 2 * \text{idx} * H + H))$   
**using** *nlllength-nll-Psi-le'*[*of 2 \* H + 2 \* idx \* H 2 \* H + 2 \* idx \* H H 3*] **by** *simp*  
**also have**  $\dots = 48 + 6 * \text{nlength } \text{idx} +$   
 $1851 * H^4 * (\text{nlength } (\text{Suc } (\text{Suc } (2 * \text{idx}))))^2 +$   
 $8 * H * (3 + \text{nlength } (2 * H + 2 * \text{idx} * H + H)) +$   
 $1851 * H^4 * (\text{nlength } (\text{Suc } (\text{Suc } (\text{Suc } (2 * \text{idx}))))^2$   
**by** *simp*  
**also have**  $\dots \leq 48 + 6 * \text{nlength } \text{idx} +$   
 $1851 * H^4 * (\text{nlength } (\text{Suc } (\text{Suc } (\text{Suc } (2 * \text{idx}))))^2 +$   
 $8 * H * (3 + \text{nlength } (2 * H + 2 * \text{idx} * H + H)) +$   
 $1851 * H^4 * (\text{nlength } (\text{Suc } (\text{Suc } (\text{Suc } (2 * \text{idx}))))^2$   
**using** *H4-nlength H* **by** *simp*  
**also have**  $\dots = 48 + 6 * \text{nlength } \text{idx} + 3702 * H^4 * (\text{nlength } (\text{Suc } (\text{Suc } (\text{Suc } (2 * \text{idx}))))^2 +$   
 $8 * H * (3 + \text{nlength } (3 * H + 2 * \text{idx} * H))$   
**by** *simp*  
**also have**  $\dots \leq 48 + 6 * \text{nlength } \text{idx} + 3702 * H^4 * (3 + \text{nlength } (3 * H + 2 * \text{idx} * H))^2 +$   
 $8 * H * (3 + \text{nlength } (3 * H + 2 * \text{idx} * H))$   
**proof** –  
**have**  $\text{Suc } (\text{Suc } (\text{Suc } (2 * \text{idx}))) \leq 3 * H + 2 * \text{idx} * H$   
**using** *H*  
**by** (*metis One-nat-def Suc3-eq-add-3 Suc-eq-plus1-left add-leD1 comm-monoid-mult-class.mult-1*  
*distrib-right mult commute mult-le-mono1*)  
**then have**  $\text{nlength } (\text{Suc } (\text{Suc } (\text{Suc } (2 * \text{idx})))) \leq 3 + \text{nlength } (3 * H + 2 * \text{idx} * H)$   
**using** *nlength-mono trans-le-add2* **by** *blast*  
**then show** *?thesis*  
**by** *simp*  
**qed**  
**also have**  $\dots \leq 48 + 6 * \text{nlength } \text{idx} + 3702 * H^4 * (3 + \text{nlength } (3 * H + 2 * \text{idx} * H))^2 +$   
 $8 * H^4 * (3 + \text{nlength } (3 * H + 2 * \text{idx} * H))$   
**using** *linear-le-pow* **by** *simp*  
**also have**  $\dots \leq 48 + 6 * \text{nlength } \text{idx} + 3702 * H^4 * (3 + \text{nlength } (3 * H + 2 * \text{idx} * H))^2 +$   
 $8 * H^4 * (3 + \text{nlength } (3 * H + 2 * \text{idx} * H))^2$   
**using** *linear-le-pow* **by** *simp*  
**also have**  $\dots = 48 + 6 * \text{nlength } \text{idx} + 3710 * H^4 * (3 + \text{nlength } (3 * H + 2 * \text{idx} * H))^2$   
**by** *simp*  
**also have**  $\dots \leq 48 + 3716 * H^4 * (3 + \text{nlength } (3 * H + 2 * \text{idx} * H))^2$   
**proof** –  
**have**  $\text{nlength } \text{idx} \leq \text{nlength } (3 * H + 2 * \text{idx} * H)$

```

    using H by (intro nlength-mono) (simp add: trans-le-add2)
  also have ... ≤ 3 + nlength (3 * H + 2 * idx * H)
    by simp
  also have ... ≤ (3 + nlength (3 * H + 2 * idx * H)) ^ 2
    using linear-le-pow by simp
  also have ... ≤ H ^ 4 * (3 + nlength (3 * H + 2 * idx * H)) ^ 2
    using H by simp
  finally have nlength idx ≤ H ^ 4 * (3 + nlength (3 * H + 2 * idx * H)) ^ 2 .
  then show ?thesis
    by simp
qed
also have ... ≤ 3764 * H ^ 4 * (3 + nlength (3 * H + 2 * idx * H))^2
proof -
  have 1 ≤ nlength (3 * H + 2 * idx * H)
    using H nlength-0
    by (metis One-nat-def Suc-leI add-eq-0-iff-both-eq-0 length-0-conv length-greater-0-conv mult-Suc
      not-numeral-le-zero numeral-3-eq-3)
  also have ... ≤ 3 + nlength (3 * H + 2 * idx * H)
    by simp
  also have ... ≤ (3 + nlength (3 * H + 2 * idx * H)) ^ 2
    using linear-le-pow by simp
  also have ... ≤ H ^ 4 * (3 + nlength (3 * H + 2 * idx * H)) ^ 2
    using H by simp
  finally have 1 ≤ H ^ 4 * (3 + nlength (3 * H + 2 * idx * H)) ^ 2 .
  then show ?thesis
    by simp
qed
finally have ?t1 ≤ 3764 * H ^ 4 * (3 + nlength (3 * H + 2 * idx * H))^2 .
then show ?thesis
  using assms tm9 transforms-monotone by simp
qed

end

end

lemma transforms-tm-PHI2I:
  fixes j :: tapeidx
  fixes tps tps' :: tape list and ttt k idx H :: nat and nss :: nat list list
  assumes length tps = k and 1 < j and j + 8 < k
    and H ≥ 3
  assumes
    tps ! 1 = nlltape nss
    tps ! j = ([idx]N, 1)
    tps ! (j + 1) = ([H]N, 1)
    tps ! (j + 2) = ([[]], 1)
    tps ! (j + 3) = ([[]], 1)
    tps ! (j + 4) = ([[]], 1)
    tps ! (j + 5) = ([[]], 1)
    tps ! (j + 6) = ([[]], 1)
    tps ! (j + 7) = ([[]], 1)
    tps ! (j + 8) = ([[]], 1)
  assumes ttt = 3764 * H ^ 4 * (3 + nlength (3 * H + 2 * idx * H))^2
  assumes tps' = tps
    [j := ([2 * idx + 2]N, 1),
     j + 2 := ([3]N, 1),
     j + 6 := ([nll-Psi (Suc (Suc (2 * idx)) * H) H 3]NLL, 1),
     1 := nlltape (nss @ nll-Psi (H + 2 * idx * H) H 3 @ nll-Psi (2 * H + 2 * idx * H) H 3)]
  shows transforms (tm-PHI2 j) tps ttt tps'
proof -
  interpret loc: turing-machine-PHI2 j .
  show ?thesis
    using loc.tm9' loc.tps9-def loc.tm9-eq-tm-PHI2 assms by simp

```

qed

#### 7.6.4 Turing machines for $\Phi_3$ , $\Phi_4$ , and $\Phi_5$

The CNF formulas  $\Phi_3$ ,  $\Phi_4$ , and  $\Phi_5$  have a similar structure and can thus be handled by the same Turing machine. The following TM has a parameter *step* and the usual tape parameter *j*. It expects on tape *j* a number *idx*, on tape *j* + 1 a number *H*, on tape *j* + 2 a number  $\kappa$ , and on tape *j* + 8 the number  $idx + step \cdot numiter$  for some number *numiter*. It appends to the CNF formula on tape 1 the formula  $\Psi(\gamma_{idx}, \kappa) \wedge \Psi(\gamma_{idx+step \cdot (numiter-1)}, \kappa)$ , where  $\gamma_i = [iH, (i+1)H]$ .

**definition** *tm-PHI345* :: *nat*  $\Rightarrow$  *tapeidx*  $\Rightarrow$  *machine* **where**

```

tm-PHI345 step j  $\equiv$ 
  WHILE tm-equalsn j (j + 8) (j + 3) ;  $\lambda$ rs. rs ! (j + 3) =  $\square$  DO
    tm-Psigamma j ;;
    tm-extendl-erase 1 (j + 6) ;;
    tm-plus-const step j
  DONE

```

**lemma** *tm-PHI345-tm*:

**assumes**  $G \geq 6$  **and**  $0 < j$  **and**  $j + 8 < k$

**shows** *turing-machine*  $k$   $G$  (*tm-PHI345* step  $j$ )

**unfolding** *tm-PHI345-def*

**using** *assms* *tm-equalsn-tm* *tm-Psigamma-tm* *tm-extendl-erase-tm* *tm-plus-const-tm* *turing-machine-loop-turing-machine*

**by** *simp*

**locale** *turing-machine-PHI345* =

**fixes** *step* :: *nat* **and** *j* :: *tapeidx*

**begin**

**definition** *tmC*  $\equiv$  *tm-equalsn*  $j$  ( $j + 8$ ) ( $j + 3$ )

**definition** *tm1*  $\equiv$  *tm-Psigamma*  $j$

**definition** *tm2*  $\equiv$  *tm1* ;; *tm-extendl-erase* 1 ( $j + 6$ )

**definition** *tm4*  $\equiv$  *tm2* ;; *tm-plus-const* step  $j$

**definition** *tmL*  $\equiv$  WHILE *tmC* ;  $\lambda$ rs. rs ! ( $j + 3$ ) =  $\square$  DO *tm4* DONE

**lemma** *tmL-eq-tm-PHI345*: *tmL* = *tm-PHI345* step  $j$

**unfolding** *tmL-def* *tm4-def* *tm2-def* *tm1-def* *tm-PHI345-def* *tmC-def* **by** *simp*

**context**

**fixes** *tps0* :: *tape list* **and** *numiter*  $H$   $k$  *idx*  $\kappa$  :: *nat* **and** *nss* :: *nat list list*

**assumes** *jk*: *length* *tps0* =  $k$   $1 < j$   $j + 8 < k$

**and** *H*:  $H \geq 3$

**and** *kappa*:  $\kappa \leq H$

**and** *step*: *step* > 0

**assumes** *tps0*:

*tps0* ! 1 = *nlltape* *nss*

*tps0* !  $j$  = ( $[idx]_N$ , 1)

*tps0* ! ( $j + 1$ ) = ( $[H]_N$ , 1)

*tps0* ! ( $j + 2$ ) = ( $[kappa]_N$ , 1)

*tps0* ! ( $j + 3$ ) = ( $[\ ]$ , 1)

*tps0* ! ( $j + 4$ ) = ( $[\ ]$ , 1)

*tps0* ! ( $j + 5$ ) = ( $[\ ]$ , 1)

*tps0* ! ( $j + 6$ ) = ( $[\ ]$ , 1)

*tps0* ! ( $j + 7$ ) = ( $[\ ]$ , 1)

*tps0* ! ( $j + 8$ ) = ( $[idx + step * numiter]_N$ , 1)

**begin**

**definition** *tpsL* :: *nat*  $\Rightarrow$  *tape list* **where**

*tpsL*  $t \equiv$  *tps0*

$[j := ([idx + step * t]_N, 1),$

$1 := \text{nlltape } (nss @ \text{concat } (\text{map } (\lambda i. \text{nll-Psi } (H * (idx + step * i)) H \kappa)) [0..<t])]$

**lemma** *tpsL-eq-tps0*:  $tpsL\ 0 = tps0$   
**proof** –  
  **have**  $\lfloor idx \rfloor_N = \lfloor idx + step * 0 \rfloor_N$   
  **by** *simp*  
  **moreover have**  $nlltape\ (nss\ @\ concat\ (map\ (\lambda i. nll-Psi\ (H * (idx + step * i))\ H\ kappa)\ [0..<0])) = nlltape\ nss$   
  **using** *nllcontents-Nil* **by** *simp*  
  **ultimately show** *?thesis*  
  **using** *tpsL-def tps0 jk* **by** (*metis list-update-id*)  
**qed**

**definition** *tpsC* ::  $nat \Rightarrow tape\ list$  **where**

$tpsC\ t \equiv tps0$   
 $\lfloor j \rfloor := (\lfloor idx + step * t \rfloor_N, 1),$   
 $1 := nlltape\ (nss\ @\ concat\ (map\ (\lambda i. nll-Psi\ (H * (idx + step * i))\ H\ kappa)\ [0..<t])),$   
 $j + 3 := (\lfloor t = numiter \rfloor_B, 1)$

**lemma** *tmC*:

**assumes**  $t \leq numiter$   
  **and**  $ttt = 3 * nlength\ (idx + step * t) + 7$   
**shows** *transforms tmC (tpsL t) ttt (tpsC t)*  
**unfolding** *tmC-def*  
**proof** (*tform tps: tps0 tpsL-def jk*)  
  **show**  $tpsL\ t\ !\ (j + 3) = (\lfloor 0 \rfloor_N, 1)$   
  **using** *canrepr-0 jk tpsL-def tps0* **by** *simp*  
  **show**  $(0::nat) \leq 1$   
  **by** *simp*  
  **show**  $tpsC\ t = (tpsL\ t)$   
   $\lfloor j + 3 \rfloor := (\lfloor idx + step * t = idx + step * numiter \rfloor_B, 1)$   
  **using** *step tpsC-def jk tpsL-def tps0* **by** *simp*  
  **show**  $ttt = 3 * nlength\ (min\ (idx + step * t)\ (idx + step * numiter)) + 7$   
  **using** *assms* **by** (*simp add: min-def*)  
**qed**

**lemma** *tmC'* [*transforms-intros*]:

**assumes**  $t \leq numiter$   
  **and**  $ttt = 3 * nlength\ (idx + step * numiter) + 7$   
**shows** *transforms tmC (tpsL t) ttt (tpsC t)*  
**proof** –  
  **have**  $3 * nlength\ (idx + step * t) + 7 \leq ttt$   
  **using** *assms nlength-mono* **by** *simp*  
  **then show** *?thesis*  
  **using** *assms tmC transforms-monotone* **by** *blast*  
**qed**

**definition** *tpsL0* ::  $nat \Rightarrow tape\ list$  **where**

$tpsL0\ t \equiv tps0$   
 $\lfloor j \rfloor := (\lfloor idx + step * t \rfloor_N, 1),$   
 $1 := nlltape\ (nss\ @\ concat\ (map\ (\lambda i. nll-Psi\ (H * (idx + step * i))\ H\ kappa)\ [0..<t]))]$

**lemma** *tpsL0-eq-tpsC*:

**assumes**  $t < numiter$   
**shows**  $tpsL0\ t = tpsC\ t$   
**unfolding** *tpsL0-def tpsC-def*  
**using** *assms jk ncontents-0 tps0 list-update-id*[*of tps0 j + 3*]  
**by** (*simp add: list-update-swap*)

**definition** *tpsL1* ::  $nat \Rightarrow tape\ list$  **where**

$tpsL1\ t \equiv tps0$   
 $\lfloor j \rfloor := (\lfloor idx + step * t \rfloor_N, 1),$   
 $1 := nlltape\ (nss\ @\ concat\ (map\ (\lambda i. nll-Psi\ (H * (idx + step * i))\ H\ kappa)\ [0..<t])),$   
 $j + 6 := (\lfloor nll-Psi\ ((idx+step*t) * H)\ H\ kappa \rfloor_{NLL}, 1)$

**lemma** *tm1* [*transforms-intros*]:

**assumes**  $t < \text{numiter}$   
**and**  $\text{ttt} = 1851 * H \wedge 4 * (\text{nlength} (\text{Suc} (\text{idx} + \text{step} * t)))^2$   
**shows** *transforms tm1* (*tpsL0*  $t$ ) *ttt* (*tpsL1*  $t$ )  
**unfolding** *tm1-def* **by** (*tform tps: H kappa tps0 tpsL0-def tpsL1-def jk time: assms(2)*)

**definition** *tpsL2* ::  $\text{nat} \Rightarrow \text{tape list}$  **where**

*tpsL2*  $t \equiv \text{tps0}$   
 $[j := (\lfloor \text{idx} + \text{step} * t \rfloor_N, 1),$   
 $1 := \text{nlltape} (\text{nss} @ \text{concat} (\text{map} (\lambda i. \text{nll-Psi} (H * (\text{idx} + \text{step} * i)) H \text{kappa}) [0..<t]),$   
 $j + 6 := (\lfloor \lfloor \rfloor, 1),$   
 $1 := \text{nlltape} ((\text{nss} @ \text{concat} (\text{map} (\lambda i. \text{nll-Psi} (H * (\text{idx} + \text{step} * i)) H \text{kappa}) [0..<t])) @ \text{nll-Psi} ((\text{idx} + \text{step} * t) * H) H \text{kappa}]$

**lemma** *tm2*:

**assumes**  $t < \text{numiter}$   
**and**  $\text{ttt} = 1851 * H \wedge 4 * (\text{nlength} (\text{Suc} (\text{idx} + \text{step} * t)))^2 +$   
 $(11 + 4 * \text{nlllength} (\text{nll-Psi} ((\text{idx} + \text{step} * t) * H) H \text{kappa}))$   
**shows** *transforms tm2* (*tpsL0*  $t$ ) *ttt* (*tpsL2*  $t$ )  
**unfolding** *tm2-def* **by** (*tform tps: assms H kappa tps0 tpsL1-def tpsL2-def jk*)

**definition** *tpsL2'* ::  $\text{nat} \Rightarrow \text{tape list}$  **where**

*tpsL2'*  $t \equiv \text{tps0}$   
 $[j := (\lfloor \text{idx} + \text{step} * t \rfloor_N, 1),$   
 $j + 6 := (\lfloor \lfloor \rfloor, 1),$   
 $1 := \text{nlltape} (\text{nss} @ \text{concat} (\text{map} (\lambda i. \text{nll-Psi} (H * (\text{idx} + \text{step} * i)) H \text{kappa}) [0..<t]) @ \text{nll-Psi} ((\text{idx} + \text{step} * t) * H) H \text{kappa}]$

**lemma** *tpsL2'*: *tpsL2*  $t = \text{tpsL2}' t$

**unfolding** *tpsL2-def tpsL2'-def* **by** (*simp only: list-update-swap list-update-overwrite*) *simp*

**lemma** *tm2'*:

**assumes**  $t < \text{numiter}$   
**and**  $\text{ttt} = 1851 * H \wedge 4 * (\text{nlength} (\text{idx} + \text{step} * \text{numiter}))^2 +$   
 $(11 + 4 * \text{nlllength} (\text{nll-Psi} ((\text{idx} + \text{step} * t) * H) H \text{kappa}))$   
**shows** *transforms tm2* (*tpsL0*  $t$ ) *ttt* (*tpsL2'*  $t$ )

**proof** –

**let**  $?ttt = 1851 * H \wedge 4 * (\text{nlength} (\text{Suc} (\text{idx} + \text{step} * t)))^2 +$   
 $(11 + 4 * \text{nlllength} (\text{nll-Psi} ((\text{idx} + \text{step} * t) * H) H \text{kappa}))$   
**have**  $?ttt \leq 1851 * H \wedge 4 * (\text{nlength} (\text{idx} + \text{step} * \text{numiter}))^2 +$   
 $(11 + 4 * \text{nlllength} (\text{nll-Psi} ((\text{idx} + \text{step} * t) * H) H \text{kappa}))$

**proof** –

**have**  $\text{Suc} (\text{idx} + \text{step} * t) \leq \text{Suc} (\text{idx} + \text{step} * (\text{numiter} - 1))$

**using** *assms(1)* **step by** *simp*

**also have**  $\dots = \text{Suc} (\text{idx} + \text{step} * \text{numiter} - \text{step})$

**by** (*metis Nat.add-diff-assoc One-nat-def Suc-le-eq add-less-same-cancel1 assms(1) mult.right-neutral nat-mult-le-cancel-disj nat-neq-iff not-add-less1 right-diff-distrib*)

**also have**  $\dots \leq \text{idx} + \text{step} * \text{numiter}$

**using** *step Suc-le-eq assms(1)* **by** *simp*

**finally have**  $\text{Suc} (\text{idx} + \text{step} * t) \leq \text{idx} + \text{step} * \text{numiter}$  .

**then have**  $\text{nlength} (\text{Suc} (\text{idx} + \text{step} * t)) \leq \text{nlength} (\text{idx} + \text{step} * \text{numiter})$

**using** *nlength-mono* **by** *simp*

**then show** *?thesis*

**by** *simp*

**qed**

**then have** *transforms tm2* (*tpsL0*  $t$ ) *ttt* (*tpsL2*  $t$ )

**using** *assms tm2 transforms-monotone* **by** *blast*

**then show** *?thesis*

**using** *tpsL2'* **by** *simp*

**qed**

**definition** *tpsL2''* ::  $\text{nat} \Rightarrow \text{tape list}$  **where**

$tpsL2'' t \equiv tps0$   
 $[j := (\lfloor idx + step * t \rfloor_N, 1),$   
 $1 := nlltape (nss @ concat (map (\lambda i. nll-Psi (H * (idx + step * i)) H kappa) [0..<Suc t])),$   
 $j + 6 := (\lfloor \lfloor \rfloor, 1)]$

**lemma**  $tpsL2''$ :  $tpsL2'' t = tpsL2' t$

**proof** –

**have**  $nll-Psi ((idx+step*t) * H) H kappa = nll-Psi (H * (idx+step*t)) H kappa$

**by** (*simp add: mult.commute*)

**then have**  $concat (map (\lambda i. nll-Psi (H * (idx + step * i)) H kappa) [0..<t]) @ nll-Psi ((idx+step*t) * H) H kappa =$

$concat (map (\lambda i. nll-Psi (H * (idx + step * i)) H kappa) [0..<Suc t])$

**by** *simp*

**then show**  $tpsL2'' t = tpsL2' t$

**using**  $tpsL2$ -def  $tpsL2''$ -def **by** (*simp add: list-update-swap*)

**qed**

**lemma**  $nlllength-nll-Psi$ :

**assumes**  $t < numiter$

**shows**  $nlllength (nll-Psi ((idx + step * t) * H) H kappa) \leq 5 * H ^ 4 * nlength (idx + step * numiter) ^ 2$

**proof** –

**have**  $nlllength (nll-Psi ((idx + step * t) * H) H kappa) \leq H * (3 + nlength ((idx + step * t) * H + H))$

**using**  $nlllength-nll-Psi-le$  **by** *simp*

**also have**  $\dots \leq H * (3 + nlength ((idx + step * numiter) * H))$

**proof** –

**have**  $(idx + 1 + step * t) \leq (idx + step * Suc t)$

**using** *step* **by** *simp*

**then have**  $(idx + 1 + step * t) \leq (idx + step * numiter)$

**using** *assms(1) Suc-le-eq* **by** *auto*

**then have**  $(idx + 1 + step * t) * H \leq (idx + step * numiter) * H$

**using** *mult-le-cancel2* **by** *blast*

**then show** *?thesis*

**using** *nlength-mono* **by** *simp*

**qed**

**also have**  $\dots = 3 * H + H * nlength ((idx + step * numiter) * H)$

**by** *algebra*

**also have**  $\dots \leq 3 * H + H * (nlength (idx + step * numiter) + nlength H)$

**using** *nlength-prod* **by** *simp*

**also have**  $\dots \leq 3 * H + H * (nlength (idx + step * numiter) + H)$

**using** *nlength-le-n* **by** *simp*

**also have**  $\dots = 3 * H + H ^ 2 + H * nlength (idx + step * numiter)$

**by** *algebra*

**also have**  $\dots \leq 3 * H ^ 4 + H ^ 2 + H * nlength (idx + step * numiter)$

**using** *linear-le-pow* **by** *simp*

**also have**  $\dots \leq 3 * H ^ 4 + H ^ 4 + H * nlength (idx + step * numiter)$

**using** *pow-mono'* **by** *simp*

**also have**  $\dots \leq 4 * H ^ 4 + H ^ 4 * nlength (idx + step * numiter)$

**using** *linear-le-pow* **by** *simp*

**also have**  $\dots \leq 4 * H ^ 4 + H ^ 4 * nlength (idx + step * numiter) ^ 2$

**using** *linear-le-pow* **by** *simp*

**also have**  $\dots \leq 5 * H ^ 4 * nlength (idx + step * numiter) ^ 2$

**proof** –

**have**  $idx + step * numiter > 0$

**using** *assms(1) step* **by** *simp*

**then have**  $nlength (idx + step * numiter) > 0$

**using** *nlength-0* **by** *simp*

**then have**  $nlength (idx + step * numiter) ^ 2 > 0$

**by** *simp*

**then have**  $H ^ 4 \leq H ^ 4 * nlength (idx + step * numiter) ^ 2$

**by** (*metis One-nat-def Suc-leI mult-numeral-1-right nat-mult-le-cancel-disj numerals(1)*)

**then show** *?thesis*

**by** *simp*

**qed**

finally show *?thesis* .  
qed

lemma *tm2''* [transforms-intros]:

assumes  $t < \text{numiter}$  and  $\text{ttt} = 1871 * H^4 * (\text{nlength} (\text{idx} + \text{step} * \text{numiter}))^2 + 11$   
shows transforms *tm2* (*tpsL0* *t*) *ttt* (*tpsL2''* *t*)

proof –

have transforms *tm2* (*tpsL0* *t*) *ttt* (*tpsL2'* *t*)  
using *tm2* [OF *assms*(1)] *nlllength-nll-Psi*[OF *assms*(1)] *transforms-monotone assms*(2) by *simp*  
then show *?thesis*  
using *tpsL2'* *tpsL2''* by *simp*

qed

definition *tpsL4* :: *nat*  $\Rightarrow$  *tape list* where

*tpsL4* *t*  $\equiv$  *tps0*  
 $[j := ([\text{idx} + \text{step} * \text{Suc } t]_N, 1),$   
 $1 := \text{nlltape} (\text{nss} @ \text{concat} (\text{map} (\lambda i. \text{nll-Psi} (H * (\text{idx} + \text{step} * i)) H \text{kappa}) [0..<\text{Suc } t])),$   
 $j + 6 := ([\ ], 1)]$

lemma *tm4*:

assumes  $t < \text{numiter}$   
and  $\text{ttt} = 1871 * H^4 * (\text{nlength} (\text{idx} + \text{step} * \text{numiter}))^2 + 11 +$   
 $\text{step} * (5 + 2 * \text{nlength} (\text{idx} + \text{step} * t + \text{step}))$   
shows transforms *tm4* (*tpsL0* *t*) *ttt* (*tpsL4* *t*)  
unfolding *tm4-def*

proof (tform *tps*: *assms*(1) *H kappa tps0 tpsL2''-def tpsL4-def jk*)

have  $\text{idx} + \text{step} * \text{Suc } t = \text{idx} + \text{step} * t + \text{step}$   
by *simp*  
then show *tpsL4* *t* = (*tpsL2''* *t*)[ $j := ([\text{idx} + \text{step} * t + \text{step}]_N, 1)$ ]  
unfolding *tpsL4-def tpsL2''-def* using *jk* by (*simp* only: *list-update-swap*[of - *j*] *list-update-overwrite*)  
show  $\text{ttt} = 1871 * H^4 * (\text{nlength} (\text{idx} + \text{step} * \text{numiter}))^2 + 11 +$   
 $\text{step} * (5 + 2 * \text{nlength} (\text{idx} + \text{step} * t + \text{step}))$   
using *assms*(2) .

qed

lemma *tm4'*:

assumes  $t < \text{numiter}$   
and  $\text{ttt} = (6 * \text{step} + 1882) * H^4 * (\text{nlength} (\text{idx} + \text{step} * \text{numiter}))^2$   
shows transforms *tm4* (*tpsC* *t*) *ttt* (*tpsL4* *t*)

proof –

let  $?ttt = 1871 * H^4 * (\text{nlength} (\text{idx} + \text{step} * \text{numiter}))^2 + 11 +$   
 $\text{step} * (5 + 2 * \text{nlength} (\text{idx} + \text{step} * t + \text{step}))$   
have  $\text{idx} + \text{step} * t + \text{step} \leq \text{idx} + \text{step} * \text{numiter}$   
using *assms*(1)  
by (*metis Suc-le-eq add.assoc add.commute add-le-cancel-left add-mult-distrib2 mult-le-mono2 mult-numeral-1-right numerals*(1) *plus-1-eq-Suc*)  
then have  $?ttt \leq 1871 * H^4 * (\text{nlength} (\text{idx} + \text{step} * \text{numiter}))^2 + 11 +$   
 $\text{step} * (5 + 2 * \text{nlength} (\text{idx} + \text{step} * \text{numiter}))$   
using *nlength-mono* by *simp*  
also have  $\dots = 1871 * H^4 * (\text{nlength} (\text{idx} + \text{step} * \text{numiter}))^2 + 11 +$   
 $\text{step} * 5 + \text{step} * 2 * \text{nlength} (\text{idx} + \text{step} * \text{numiter})$   
by *algebra*  
also have  $\dots \leq 1871 * H^4 * (\text{nlength} (\text{idx} + \text{step} * \text{numiter}))^2 + 11 +$   
 $\text{step} * 5 + \text{step} * 2 * \text{nlength} (\text{idx} + \text{step} * \text{numiter})^2$   
by (*simp* add: *linear-le-pow*)  
also have  $\dots \leq 1871 * H^4 * (\text{nlength} (\text{idx} + \text{step} * \text{numiter}))^2 + 11 +$   
 $\text{step} * 5 + \text{step} * H^4 * \text{nlength} (\text{idx} + \text{step} * \text{numiter})^2$   
proof –  
have  $2 < H$   
using *H* by *simp*  
then have  $2 < H^4$   
using *linear-le-pow* by (*meson le-trans not-less zero-less-numeral*)  
then show *?thesis*



```

    by simp
  qed
  also have ... = (step + 1871) * H ^ 4 * (nlength (idx + step * numiter))^2 + (11 + step * 5)
    by algebra
  also have ... ≤ (step + 1871) * H ^ 4 * (nlength (idx + step * numiter))^2 + (11 + step * 5) * H ^ 4 *
    (nlength (idx + step * numiter))^2
  proof -
    have H ^ 4 * (nlength (idx + step * numiter))^2 > 0
      using step assms(1) nlength-0 H by auto
    then show ?thesis
      by (smt (verit) One-nat-def Suc-leI add-mono-thms-linordered-semiring(2) mult.assoc mult-numeral-1-right
        nat-mult-le-cancel-disj numeral-code(1))
    qed
  also have ... = (6 * step + 1882) * H ^ 4 * (nlength (idx + step * numiter))^2
    by algebra
  finally have ?ttt ≤ (6 * step + 1882) * H ^ 4 * (nlength (idx + step * numiter))^2 .
  then have transforms tm4 (tpsL0 t) ttt (tpsL4 t)
    using tm4 assms transforms-monotone by blast
  then show ?thesis
    using tpsL0-eq-tpsC assms(1) by simp
  qed

lemma tm4'' [transforms-intros]:
  assumes t < numiter
  and ttt = (6 * step + 1882) * H ^ 4 * (nlength (idx + step * numiter))^2
  shows transforms tm4 (tpsC t) ttt (tpsL (Suc t))
  proof -
    have tpsL4 t = tpsL (Suc t)
      using tpsL4-def tpsL-def jk tps0 list-update-id[of tps0 j + 6]
      by (simp add: list-update-swap)
    then show ?thesis
      using tm4' assms by metis
  qed

lemma tmL:
  assumes ttt = Suc numiter * (9 + (6 * step + 1885) * (H ^ 4 * (nlength (idx + step * numiter))^2))
  and nn = numiter
  shows transforms tmL (tpsL 0) ttt (tpsC nn)
  unfolding tmL-def
  proof (tform tps: assms(2))
    let ?ttt = (6 * step + 1882) * H ^ 4 * (nlength (idx + step * numiter))^2
    show  $\wedge t. t < nn \implies \text{read } (tpsC t) ! (j + 3) = \square$ 
      using assms(2) tpsC-def jk read-ncontents-eq-0 by simp
    show  $\text{read } (tpsC nn) ! (j + 3) \neq \square$ 
      using assms(2) tpsC-def jk read-ncontents-eq-0 by simp
    show  $nn * (3 * \text{nlength } (idx + step * numiter) + 7 + ?ttt + 2) + (3 * \text{nlength } (idx + step * numiter) + 7) + 1 \leq ttt$ 
      (is ?lhs ≤ ttt)
    proof -
      let ?g = H ^ 4 * (nlength (idx + step * numiter))^2
      have  $\text{nlength } (idx + step * numiter) \leq \text{nlength } (idx + step * numiter)^2$ 
        using linear-le-pow by simp
      moreover have  $H ^ 4 > 0$ 
        using H by simp
      ultimately have *:  $\text{nlength } (idx + step * numiter) \leq ?g$ 
      by (metis ab-semigroup-mult-class.mult-ac(1) le-square mult.left-commute nat-mult-le-cancel-disj power2-eq-square)
      have ?lhs =  $\text{numiter} * (3 * \text{nlength } (idx + step * numiter) + 9 + ?ttt) + 3 * \text{nlength } (idx + step * numiter) + 8$ 
      using assms(2) by simp
      also have ... ≤  $\text{numiter} * (3 * \text{nlength } (idx + step * numiter) + 9 + ?ttt) + 3 * ?g + 8$ 
        using * by simp
      also have ... ≤  $\text{numiter} * (3 * ?g + 9 + (6 * step + 1882) * ?g) + 3 * ?g + 8$ 
        using * by simp
    qed
  end

```

```

also have ... = numiter * (9 + (6 * step + 1885) * ?g) + 3 * ?g + 8
  by algebra
also have ... ≤ numiter * (9 + (6 * step + 1885) * ?g) + (6 * step + 1885) * ?g + 8
  by simp
also have ... ≤ numiter * (9 + (6 * step + 1885) * ?g) + (9 + (6 * step + 1885) * ?g)
  by simp
also have ... = Suc numiter * (9 + (6 * step + 1885) * ?g)
  by simp
finally show ?thesis
  using assms(1) by simp
qed
qed

lemma tmL':
  assumes ttt = Suc numiter * (9 + (6 * step + 1885) * (H ^ 4 * (nlength (idx + step * numiter))^2))
  shows transforms tmL tps0 ttt (tpsC numiter)
  using assms tmL tpsL-eq-tps0 by simp

end

end

lemma transforms-tm-PHI345I:
  fixes j :: tapeidx
  fixes tps tps' :: tape list and ttt step numiter H k idx kappa :: nat and nss :: nat list list
  assumes length tps = k and 1 < j and j + 8 < k
    and H ≥ 3
    and kappa ≤ H
    and step > 0
  assumes
    tps ! 1 = nlltape nss
    tps ! j = ([idx]N, 1)
    tps ! (j + 1) = ([H]N, 1)
    tps ! (j + 2) = ([kappa]N, 1)
    tps ! (j + 3) = ([ ], 1)
    tps ! (j + 4) = ([ ], 1)
    tps ! (j + 5) = ([ ], 1)
    tps ! (j + 6) = ([ ], 1)
    tps ! (j + 7) = ([ ], 1)
    tps ! (j + 8) = ([idx + step * numiter]N, 1)
  assumes ttt = Suc numiter * (9 + (6 * step + 1885) * (H ^ 4 * (nlength (idx + step * numiter))^2))
  assumes tps' = tps
    [j := ([idx + step * numiter]N, 1),
    1 := nlltape (nss @ concat (map (λi. nll-Psi (H * (idx + step * i)) H kappa) [0..<numiter])),
    j + 3 := ([1]N, 1)]
  shows transforms (tm-PHI345 step j) tps ttt tps'
proof –
  interpret loc: turing-machine-PHI345 step j .
  show ?thesis
  using assms loc.tmL' loc.tpsC-def loc.tmL-eq-tm-PHI345 by simp
qed

```

### 7.6.5 A Turing machine for $\Phi_6$

The next Turing machine expects a symbol sequence  $zs$  on input tape 0, the number 2 on tape  $j$ , and a number  $H$  on tape  $j + 1$ . It appends to the CNF formula on tape 1 the formula  $\bigwedge_{i=0}^{|zs|-1} \Psi(\gamma_{2+2i}, z_i)$ , where  $z_i$  is 2 or 3 if  $zs_i$  is **0** or **1**, respectively.

**definition** *tm-PHI6* :: *tapeidx*  $\Rightarrow$  *machine* **where**

```

tm-PHI6 j ≡
  WHILE [ ] ; λrs. rs ! 0 ≠ □ DO
  IF λrs. rs ! 0 = 0 THEN
    tm-setn (j + 2) 2
  ELSE

```

```

    tm-setn (j + 2) 3
  ENDIF ;;
  tm-Psigamma j ;;
  tm-extendl-erase 1 (j + 6) ;;
  tm-setn (j + 2) 0 ;;
  tm-right 0 ;;
  tm-plus-const 2 j
  DONE

```

**lemma** *tm-PHI6-tm*:

```

assumes  $G \geq 6$  and  $0 < j$  and  $j + 7 < k$ 
shows turing-machine  $k$   $G$  (tm-PHI6  $j$ )
unfolding tm-PHI6-def
using assms tm-Psigamma-tm tm-extendl-erase-tm tm-plus-const-tm
  turing-machine-loop-turing-machine turing-machine-branch-turing-machine
  tm-right-tm tm-setn-tm Nil-tm
by simp

```

**locale** *turing-machine-PHI6* =

```

  fixes  $j :: \text{tapeid}$ 
begin

```

**definition**  $tm1 \equiv \text{IF } \lambda rs. rs ! 0 = \mathbf{0} \text{ THEN } tm\text{-setn } (j + 2) 2 \text{ ELSE } tm\text{-setn } (j + 2) 3 \text{ ENDIF}$

**definition**  $tm2 \equiv tm1 ;; tm\text{-Psigamma } j$

**definition**  $tm3 \equiv tm2 ;; tm\text{-extendl-erase } 1 (j + 6)$

**definition**  $tm4 \equiv tm3 ;; tm\text{-setn } (j + 2) 0$

**definition**  $tm5 \equiv tm4 ;; tm\text{-right } 0$

**definition**  $tm6 \equiv tm5 ;; tm\text{-plus-const } 2 j$

**definition**  $tmL \equiv \text{WHILE } [] ; \lambda rs. rs ! 0 \neq \square \text{ DO } tm6 \text{ DONE}$

**lemma** *tmL-eq-tm-PHI6*:  $tmL = tm\text{-PHI6 } j$

**using** *tm6-def* *tm5-def* *tm4-def* *tm3-def* *tm2-def* *tm1-def* *tm-PHI6-def* *tmL-def* **by** *simp*

**context**

**fixes**  $tps0 :: \text{tape list}$  **and**  $k$   $H :: \text{nat}$  **and**  $zs :: \text{symbol list}$  **and**  $nss :: \text{nat list list}$

**assumes**  $jk: \text{length } tps0 = k$   $1 < j$   $j + 7 < k$

**and**  $H: H \geq 3$

**and**  $zs: \text{bit-symbols } zs$

**assumes**  $tps0$ :

$tps0 ! 0 = ([zs], 1)$

$tps0 ! 1 = \text{nlltape } nss$

$tps0 ! j = ([2]_N, 1)$

$tps0 ! (j + 1) = ([H]_N, 1)$

$tps0 ! (j + 2) = ([0]_N, 1)$

$tps0 ! (j + 3) = ([[]], 1)$

$tps0 ! (j + 4) = ([[]], 1)$

$tps0 ! (j + 5) = ([[]], 1)$

$tps0 ! (j + 6) = ([[]], 1)$

$tps0 ! (j + 7) = ([[]], 1)$

**begin**

**lemma** *H0*:  $H > 0$

**using**  $H$  **by** *simp*

**lemma** *H-mult*:  $x \leq H * x$   $x \leq x * H$

**using**  $H$  **by** *simp-all*

**definition**  $tpsL :: \text{nat} \Rightarrow \text{tape list}$  **where**

$tpsL t \equiv tps0$

$[0 := ([zs], \text{Suc } t),$

$j := ([2 + 2 * t]_N, 1),$

$1 := \text{nlltape } (nss @ \text{concat } (\text{map } (\lambda i. \text{nll-Psi } (H * (2 + 2 * i)) H (zs ! i)) [0..<t]))]$

**lemma** *tpsL-eq-tps0*:  $tpsL\ 0 = tps0$   
**proof** –  
 have  $(\lfloor zs \rfloor, 1) = (\lfloor zs \rfloor, Suc\ 0)$   
 by *simp*  
 moreover have  $nlltape\ (concat\ (map\ (\lambda i. nll-Psi\ (H * (2 + 2 * i))\ H\ (zs\ !\ i))\ [0..<0])) = (\lfloor \rfloor, 1)$   
 using *nllcontents-Nil* by *simp*  
 moreover have  $2 = Suc\ (Suc\ 0)$   
 by *simp*  
 ultimately show *?thesis*  
 using *tpsL-def tps0 jk* by *simp* (*metis One-nat-def Suc-1 list-update-id*)  
**qed**

**definition** *tpsL1* ::  $nat \Rightarrow tape\ list$  **where**

$tpsL1\ t \equiv tps0$   
 $[0 := (\lfloor zs \rfloor, Suc\ t),$   
 $j := (\lfloor 2 + 2 * t \rfloor_N, 1),$   
 $j + 2 := (\lfloor zs\ !\ t \rfloor_N, 1),$   
 $1 := nlltape\ (nss\ @\ concat\ (map\ (\lambda i. nll-Psi\ (H * (2 + 2 * i))\ H\ (zs\ !\ i))\ [0..<t]))]$

**lemma** *tm1* [*transforms-intros*]:

**assumes**  $ttt = 16$  **and**  $t < length\ zs$   
**shows** *transforms tm1* ( $tpsL\ t$ )  $ttt$  ( $tpsL1\ t$ )  
**unfolding** *tm1-def*

**proof** (*tform tps: tpsL-def tps0 jk*)

have  $*: read\ (tpsL\ t)\ !\ 0 = zs\ !\ t$   
 using *jk tpsL-def tapes-at-read* [of  $0\ tpsL\ t$ ] *assms(2)* by *simp*  
 show  $read\ (tpsL\ t)\ !\ 0 = \mathbf{0} \implies tpsL1\ t = (tpsL\ t)[j + 2 := (\lfloor 2 \rfloor_N, 1)]$   
 using  $*\ tpsL-def\ tpsL1-def\ jk$  by (*simp add: list-update-swap*)  
 show  $tpsL1\ t = (tpsL\ t)[j + 2 := (\lfloor 3 \rfloor_N, 1)]$  **if**  $read\ (tpsL\ t)\ !\ \square \neq \mathbf{0}$   
**proof** –  
 have  $zs\ !\ t = \mathbf{1}$   
 using  $*\ that\ zs\ assms(2)$  by *auto*  
 then show *?thesis*  
 using *tpsL-def tpsL1-def jk* by (*simp add: list-update-swap*)

**qed**

show  $10 + 2 * nlength\ 0 + 2 * nlength\ 2 + 2 \leq ttt$

using *nlength-0 nlength-2 assms(1)* by *simp*

show  $10 + 2 * nlength\ 0 + 2 * nlength\ 3 + 1 \leq ttt$

using *nlength-0 nlength-3 assms(1)* by *simp*

**qed**

**definition** *tpsL2* ::  $nat \Rightarrow tape\ list$  **where**

$tpsL2\ t \equiv tps0$   
 $[0 := (\lfloor zs \rfloor, Suc\ t),$   
 $j := (\lfloor 2 + 2 * t \rfloor_N, 1),$   
 $j + 2 := (\lfloor zs\ !\ t \rfloor_N, 1),$   
 $j + 6 := (\lfloor nll-Psi\ (2 * H + 2 * t * H)\ H\ (zs\ !\ t) \rfloor_{NLL}, Suc\ 0),$   
 $1 := nlltape\ (nss\ @\ concat\ (map\ (\lambda i. nll-Psi\ (H * (2 + 2 * i))\ H\ (zs\ !\ i))\ [0..<t]))]$

**lemma** *tm2* [*transforms-intros*]:

**assumes**  $ttt = 16 + 1851 * H \wedge 4 * (nlength\ (Suc\ (Suc\ (Suc\ (2 * t))))^2$   
**and**  $t < length\ zs$

**shows** *transforms tm2* ( $tpsL\ t$ )  $ttt$  ( $tpsL2\ t$ )

**unfolding** *tm2-def*

**proof** (*tform tps: assms(2) tps0 H tpsL1-def tpsL2-def jk time: assms(1)*)

show  $zs\ !\ t \leq H$

using *assms(2) H zs* by *auto*

**qed**

**definition** *tpsL3* ::  $nat \Rightarrow tape\ list$  **where**

$tpsL3\ t \equiv tps0$   
 $[0 := (\lfloor zs \rfloor, Suc\ t),$   
 $j := (\lfloor 2 + 2 * t \rfloor_N, 1),$

$j + 2 := (\lfloor zs \rfloor_N, 1)$ ,  
 $j + 6 := (\lfloor \square \rfloor, 1)$ ,  
 $1 := \text{nlltape } (nss \text{ @ } \text{concat } (\text{map } (\lambda i. \text{nll-Psi } (2 * H + H * (2 * i)) H (zs ! i)) [0..<Suc t]))$

**lemma** *tm3* [*transforms-intros*]:

**assumes**  $ttt = 27 + 1851 * H^4 * (\text{nlength } (\text{Suc } (\text{Suc } (\text{Suc } (2 * t))))^2 + 4 * \text{nlllength } (\text{nll-Psi } (2 * H + 2 * t * H) H (zs ! t))$

**and**  $t < \text{length } zs$

**shows** *transforms tm3* (*tpsL* *t*) *ttt* (*tpsL3* *t*)

**unfolding** *tm3-def*

**proof** (*tform tps: assms(2) tps0 H tpsL2-def tpsL3-def jk time: assms(1)*)

**have**  $\text{nll-Psi } (2 * H + H * (2 * t)) H = \text{nll-Psi } (2 * H + 2 * t * H) H$

**by** (*simp add: mult.commute*)

**then have**  $(nss \text{ @ } \text{concat } (\text{map } (\lambda i. \text{nll-Psi } (2 * H + H * (2 * i)) H (zs ! i)) [0..<t])) \text{ @ } \text{nll-Psi } (2 * H + 2 * t * H) H (zs ! t) =$

$nss \text{ @ } \text{concat } (\text{map } (\lambda i. \text{nll-Psi } (2 * H + H * (2 * i)) H (zs ! i)) [0..<Suc t])$

**using** *assms(2)* **by** *simp*

**then show** *tpsL3* *t* = (*tpsL2* *t*)

[*1 := nlltape*

$(nss \text{ @ } \text{concat } (\text{map } (\lambda i. \text{nll-Psi } (2 * H + H * (2 * i)) H (zs ! i)) [0..<t])) \text{ @ } \text{nll-Psi } (2 * H + 2 * t * H) H (zs ! t)$ ,

$j + 6 := (\lfloor \square \rfloor, 1)$

**unfolding** *tpsL3-def tpsL2-def jk* **by** (*simp add: list-update-swap*)

**qed**

**definition** *tpsL4* :: *nat*  $\Rightarrow$  *tape list* **where**

*tpsL4* *t*  $\equiv$  *tps0*

[*0 := (\lfloor zs \rfloor, Suc t)*,

$j := (\lfloor 2 + 2 * t \rfloor_N, 1)$ ,

$j + 2 := (\lfloor 0 \rfloor_N, 1)$ ,

$j + 6 := (\lfloor \square \rfloor, 1)$ ,

$1 := \text{nlltape } (nss \text{ @ } \text{concat } (\text{map } (\lambda i. \text{nll-Psi } (2 * H + H * (2 * i)) H (zs ! i)) [0..<Suc t]))$ ]

**lemma** *tm4* [*transforms-intros*]:

**assumes**  $ttt = 41 + 1851 * H^4 * (\text{nlength } (\text{Suc } (\text{Suc } (\text{Suc } (2 * t))))^2 + 4 * \text{nlllength } (\text{nll-Psi } (2 * H + 2 * t * H) H (zs ! t))$

**and**  $t < \text{length } zs$

**shows** *transforms tm4* (*tpsL* *t*) *ttt* (*tpsL4* *t*)

**unfolding** *tm4-def*

**proof** (*tform tps: assms(2) tpsL3-def tpsL4-def jk*)

**have**  $zs ! t = 2 \vee zs ! t = 3$

**using** *zs assms(2)* **by** *auto*

**then show**  $ttt = 27 + 1851 * H^4 * (\text{nlength } (\text{Suc } (\text{Suc } (\text{Suc } (2 * t))))^2 + 4 * \text{nlllength } (\text{nll-Psi } (2 * H + 2 * t * H) H (zs ! t)) +$

$(10 + 2 * \text{nlength } (zs ! t) + 2 * \text{nlength } 0)$

**using** *nlength-2 nlength-3 assms(1)* **by** *auto*

**qed**

**definition** *tpsL5* :: *nat*  $\Rightarrow$  *tape list* **where**

*tpsL5* *t*  $\equiv$  *tps0*

[*0 := (\lfloor zs \rfloor, Suc (Suc t))*,

$j := (\lfloor 2 + 2 * t \rfloor_N, 1)$ ,

$j + 2 := (\lfloor 0 \rfloor_N, 1)$ ,

$j + 6 := (\lfloor \square \rfloor, 1)$ ,

$1 := \text{nlltape } (nss \text{ @ } \text{concat } (\text{map } (\lambda i. \text{nll-Psi } (2 * H + H * (2 * i)) H (zs ! i)) [0..<Suc t]))$ ]

**lemma** *tm5* [*transforms-intros*]:

**assumes**  $ttt = 42 + 1851 * H^4 * (\text{nlength } (\text{Suc } (\text{Suc } (\text{Suc } (2 * t))))^2 + 4 * \text{nlllength } (\text{nll-Psi } (2 * H + 2 * t * H) H (zs ! t))$

**and**  $t < \text{length } zs$

**shows** *transforms tm5* (*tpsL* *t*) *ttt* (*tpsL5* *t*)

**unfolding** *tm5-def*

**proof** (*tform tps: assms(2) tps0 H tpsL4-def tpsL5-def jk time: assms(1)*)

**have**  $neq: 0 \neq j$   
**using**  $jk$  **by**  $simp$   
**have**  $tpsL4\ t! \ 0 = (\lfloor zs \rfloor, Suc\ t)$   
**using**  $tpsL4-def\ jk$  **by**  $simp$   
**then show**  $tpsL5\ t = (tpsL4\ t)[0 := tpsL4\ t! \ 0 \ |+| \ 1]$   
**unfolding**  $tpsL5-def\ tpsL4-def\ jk$  **by**  $(simp\ add: list-update-swap[OF\ neq]\ list-update-swap[of\ -\ 0])$   
**qed**

**definition**  $tpsL6 :: nat \Rightarrow tape\ list$  **where**

$tpsL6\ t \equiv tps0$   
 $[0 := (\lfloor zs \rfloor, Suc\ (Suc\ t)),$   
 $j := (\lfloor 2 + 2 * (Suc\ t) \rfloor_N, 1),$   
 $j + 2 := (\lfloor 0 \rfloor_N, 1),$   
 $j + 6 := (\lfloor [] \rfloor, 1),$   
 $1 := nlltape\ (nss\ @\ concat\ (map\ (\lambda i. nll-Psi\ (2 * H + H * (2 * i))\ H\ (zs! \ i))\ [0..<Suc\ t]))]$

**lemma**  $tm6$ :

**assumes**  $ttt = 52 + 1851 * H^4 * (nlength\ (Suc\ (Suc\ (Suc\ (2 * t))))^2 +$   
 $4 * nlllength\ (nll-Psi\ (2 * H + 2 * t * H))\ H\ (zs! \ t)) +$   
 $4 * nlength\ (4 + 2 * t)$   
**and**  $t < length\ zs$

**shows**  $transforms\ tm6\ (tpsL\ t)\ ttt\ (tpsL6\ t)$

**unfolding**  $tm6-def$

**proof**  $(tform\ tps: tps0\ H\ tpsL5-def\ tpsL6-def\ jk\ assms(2))$

**show**  $tpsL6\ t = (tpsL5\ t)[j := (\lfloor Suc\ (Suc\ (2 * t)) + 2 \rfloor_N, 1)]$

**using**  $tpsL6-def\ tpsL5-def\ jk$  **by**  $(simp\ add: list-update-swap)$

**have**  $*$ :  $4 + 2 * t = Suc\ (Suc\ (Suc\ (Suc\ (2 * t))))$

**by**  $simp$

**then show**  $ttt = 42 + 1851 * H^4 * (nlength\ (Suc\ (Suc\ (Suc\ (2 * t))))^2 +$

$4 * nlllength\ (nll-Psi\ (2 * H + 2 * t * H))\ H\ (zs! \ t)) +$

$2 * (5 + 2 * nlength\ (Suc\ (Suc\ (2 * t)) + 2))$

**using**  $assms(1)$  **by**  $simp$

**qed**

**lemma**  $tpsL6-eq-tpsL$ :  $tpsL6\ t = tpsL\ (Suc\ t)$

**proof** –

**have**  $tpsL\ (Suc\ t)! \ (j + 6) = (\lfloor [] \rfloor, 1)$

**using**  $tpsL-def\ tps0\ jk$  **by**  $simp$

**then have**  $tpsL\ (Suc\ t) = (tpsL\ (Suc\ t))[j + 6 := (\lfloor [] \rfloor, 1)]$

**using**  $list-update-id$  **by**  $metis$

**moreover have**  $tpsL\ (Suc\ t)! \ (j + 2) = (\lfloor 0 \rfloor_N, 1)$

**using**  $tpsL-def\ tps0\ jk\ canrepr-0$  **by**  $simp$

**ultimately have**  $tpsL\ (Suc\ t) = (tpsL\ (Suc\ t))[j + 6 := (\lfloor [] \rfloor, 1), j + 2 := (\lfloor 0 \rfloor_N, 1)]$

**using**  $list-update-id$  **by**  $metis$

**moreover have**  $tpsL6\ t = (tpsL\ (Suc\ t))[j + 6 := (\lfloor [] \rfloor, 1), j + 2 := (\lfloor 0 \rfloor_N, 1)]$

**unfolding**  $tpsL6-def\ tpsL-def$  **by**  $(simp\ add: list-update-swap)$

**ultimately show**  $?thesis$

**by**  $simp$

**qed**

**lemma**  $tm6'$ :

**assumes**  $ttt = 133648 * H^6 * length\ zs^2$

**and**  $t < length\ zs$

**shows**  $transforms\ tm6\ (tpsL\ t)\ ttt\ (tpsL\ (Suc\ t))$

**proof** –

**have**  $*$ :  $Suc\ (2 * length\ zs)^2 \leq 9 * length\ zs^2$

**proof** –

**have**  $Suc\ (2 * length\ zs)^2 = 1 + 2 * 2 * length\ zs + (2 * length\ zs)^2$

**by**  $(metis\ add.commute\ add-Suc-shift\ mult.assoc\ nat-mult-1-right\ one-power2\ plus-1-eq-Suc\ power2-sum)$

**also have**  $\dots = 1 + 4 * length\ zs + 4 * length\ zs^2$

**by**  $simp$

**also have**  $\dots \leq length\ zs + 4 * length\ zs + 4 * length\ zs^2$

**using**  $assms(2)$  **by**  $simp$

```

also have ... = 5 * length zs + 4 * length zs^2
  by simp
also have ... ≤ 5 * length zs^2 + 4 * length zs^2
  using linear-le-pow by simp
also have ... = 9 * length zs^2
  by simp
finally show ?thesis
  by simp
qed

have *: t ≤ length zs - 1
  using assms(2) by simp

let ?ttt = 52 + 1851 * H ^ 4 * (nlength (Suc (Suc (Suc (2 * t))))^2 +
  4 * nllength (nll-Psi (2 * H + 2 * t * H) H (zs ! t)) +
  4 * nlength (4 + 2 * t)
have ?ttt = 52 + 1851 * H ^ 4 * (nlength (Suc (Suc (Suc (2 * t))))^2 +
  4 * nllength (nll-Psi (2 * H + H * (2 * t)) H (zs ! t)) +
  4 * nlength (4 + 2 * t)
  by (simp add: mult.commute)
also have ... ≤ 52 + 1851 * H ^ 4 * (nlength (Suc (Suc (Suc (2 * t))))^2 +
  4 * nllength (nll-Psi (2 * H + H * (2 * t)) H (zs ! t)) +
  4 * nlength (2 + 2 * length zs)
  using nlength-mono assms(2) by simp
also have ... ≤ 52 + 1851 * H ^ 4 * (nlength (Suc (2 * length zs)))^2 +
  4 * nllength (nll-Psi (2 * H + H * (2 * t)) H (zs ! t)) +
  4 * nlength (2 + 2 * length zs)
  using H4-nlength H assms(2) by simp
also have ... ≤ 52 + 1851 * H ^ 4 * (nlength (Suc (2 * length zs)))^2 +
  4 * H * (3 + nlength (3 * H + H * (2 * (length zs - 1)))) +
  4 * nlength (2 + 2 * length zs)
  using nllength-nll-Psi-le'[of 2 * H + H * (2 * t) 2 * H + H * (2 * (length zs - 1)) H] *
  by simp
also have ... = 52 + 1851 * H ^ 4 * (nlength (Suc (2 * length zs)))^2 +
  4 * H * (3 + nlength (H * (1 + 2 * length zs))) + 4 * nlength (2 + 2 * length zs)
proof -
  have 3 * H + H * (2 * (length zs - 1)) = H * (3 + 2 * (length zs - 1))
  by algebra
also have ... = H * (3 + 2 * length zs - 2)
  using assms(2)
  by (metis Nat.add-diff-assoc Suc-pred add-mono-thms-linordered-semiring(1) le-numeral-extra(4)
  length-greater-0-conv list.size(3) mult-2 nat-1-add-1 not-less-zero plus-1-eq-Suc
  right-diff-distrib' trans-le-add1)
also have ... = H * (1 + 2 * length zs)
  by simp
finally have 3 * H + H * (2 * (length zs - 1)) = H * (1 + 2 * length zs) .
then show ?thesis
  by metis
qed
also have ... ≤ 52 + 1851 * H ^ 4 * (3 + nlength (Suc (2 * length zs)))^2 +
  4 * H * (3 + nlength (H * (1 + 2 * length zs))) + 4 * nlength (2 + 2 * length zs)
  by simp
also have ... ≤ 52 + 1851 * H ^ 4 * (3 + nlength (H * Suc (2 * length zs)))^2 +
  4 * H * (3 + nlength (H * Suc (2 * length zs))) + 4 * nlength (2 + 2 * length zs)
proof -
  have Suc (2 * length zs) ≤ H * Suc (2 * length zs)
  using H-mult by blast
then have nlength (Suc (2 * length zs))^2 ≤ nlength (H * Suc (2 * length zs))^2
  using nlength-mono by simp
then show ?thesis
  by simp
qed
also have ... ≤ 52 + 1851 * H ^ 4 * (3 + nlength (H * Suc (2 * length zs)))^2 +

```

$4 * H * (3 + \text{nlength } (H * \text{Suc } (2 * \text{length } zs)))^2 + 4 * \text{nlength } (2 + 2 * \text{length } zs)$   
**using** *linear-le-pow* **by** *simp*  
**also have**  $\dots \leq 52 + 1851 * H^4 * (3 + \text{nlength } (H * \text{Suc } (2 * \text{length } zs)))^2 +$   
 $4 * H^4 * (3 + \text{nlength } (H * \text{Suc } (2 * \text{length } zs)))^2 + 4 * \text{nlength } (2 + 2 * \text{length } zs)$   
**using** *linear-le-pow* **by** *simp*  
**also have**  $\dots = 52 + 1855 * H^4 * (3 + \text{nlength } (H * \text{Suc } (2 * \text{length } zs)))^2 +$   
 $4 * \text{nlength } (2 + 2 * \text{length } zs)$   
**by** *simp*  
**also have**  $\dots \leq 52 + 1855 * H^4 * (3 + \text{nlength } (H * \text{Suc } (2 * \text{length } zs)))^2 +$   
 $4 * \text{nlength } (H * \text{Suc } (2 * \text{length } zs))$   
**proof** –  
**have**  $2 + 2 * m \leq H * \text{Suc } (2 * m)$  **if**  $m > 0$  **for**  $m$   
**using** *H H-mult(2)* **by** (*metis Suc-leD add-mono eval-nat-numeral(3) mult.commute mult-Suc-right*)  
**then have**  $2 + 2 * \text{length } zs \leq H * \text{Suc } (2 * \text{length } zs)$   
**using** *assms(2)* **by** (*metis less-nat-zero-code not-gr-zero*)  
**then show** *?thesis*  
**using** *nlength-mono* **by** *simp*  
**qed**  
**also have**  $\dots \leq 52 + 1855 * H^4 * (3 + H * \text{Suc } (2 * \text{length } zs))^2 +$   
 $4 * \text{nlength } (H * \text{Suc } (2 * \text{length } zs))$   
**using** *nlength-le-n nlength-mono* **by** *simp*  
**also have**  $\dots \leq 52 + 1855 * H^4 * (3 + H * \text{Suc } (2 * \text{length } zs))^2 +$   
 $4 * (H * \text{Suc } (2 * \text{length } zs))$   
**using** *nlength-le-n nlength-mono* **by** (*meson add-left-mono mult-le-cancel1*)  
**also have**  $\dots = 52 + 1855 * H^4 * (3^2 + 2 * 3 * H * \text{Suc } (2 * \text{length } zs) + H^2 * \text{Suc } (2 * \text{length } zs)^2)$   
 $+$   
 $4 * (H * \text{Suc } (2 * \text{length } zs))$   
**by** *algebra*  
**also have**  $\dots \leq 52 + 1855 * H^4 * (72 * H^2 * \text{length } zs^2) + 4 * (H * \text{Suc } (2 * \text{length } zs))$   
**proof** –  
**have**  $3^2 + 2 * 3 * H * \text{Suc } (2 * \text{length } zs) + H^2 * \text{Suc } (2 * \text{length } zs)^2 =$   
 $9 + 6 * H * \text{Suc } (2 * \text{length } zs) + H^2 * \text{Suc } (2 * \text{length } zs)^2$   
**by** *simp*  
**also have**  $\dots \leq 9 + 6 * H^2 * \text{Suc } (2 * \text{length } zs) + H^2 * \text{Suc } (2 * \text{length } zs)^2$   
**using** *H linear-le-pow* **by** (*simp add: add-mono*)  
**also have**  $\dots \leq 9 + 6 * H^2 * \text{Suc } (2 * \text{length } zs)^2 + H^2 * \text{Suc } (2 * \text{length } zs)^2$   
**using** *linear-le-pow* **by** (*meson add-le-mono1 add-left-mono le-refl mult-le-mono zero-less-numeral*)  
**also have**  $\dots = 9 + 7 * H^2 * \text{Suc } (2 * \text{length } zs)^2$   
**by** *simp*  
**also have**  $\dots \leq 9 + 7 * H^2 * 9 * \text{length } zs^2$   
**using** *\*\** **by** *simp*  
**also have**  $\dots = 9 + 63 * H^2 * \text{length } zs^2$   
**by** *simp*  
**also have**  $\dots \leq 9 * H^2 * \text{length } zs^2 + 63 * H^2 * \text{length } zs^2$   
**using** *assms(2) H* **by** *simp*  
**also have**  $\dots = 72 * H^2 * \text{length } zs^2$   
**by** *simp*  
**finally have**  $3^2 + 2 * 3 * H * \text{Suc } (2 * \text{length } zs) + H^2 * \text{Suc } (2 * \text{length } zs)^2 \leq 72 * H^2 * \text{length } zs^2$ .  
**then show** *?thesis*  
**using** *add-le-mono le-refl mult-le-mono2* **by** *presburger*  
**qed**  
**also have**  $\dots = 52 + 133560 * H^6 * \text{length } zs^2 + 4 * (H * \text{Suc } (2 * \text{length } zs))$   
**by** *simp*  
**also have**  $\dots \leq 52 + 133560 * H^6 * \text{length } zs^2 + 4 * (H * 9 * \text{length } zs^2)$   
**using** *\*\** **by** (*smt (verit) add-left-mono mult.assoc mult-le-cancel1 power2-nat-le-imp-le*)  
**also have**  $\dots = 52 + 133560 * H^6 * \text{length } zs^2 + 36 * H * \text{length } zs^2$   
**by** *simp*  
**also have**  $\dots \leq 52 + 133560 * H^6 * \text{length } zs^2 + 36 * H^6 * \text{length } zs^2$   
**using** *linear-le-pow* **by** *simp*  
**also have**  $\dots = 52 + 133596 * H^6 * \text{length } zs^2$   
**by** *simp*  
**also have**  $\dots \leq 52 * H^6 * \text{length } zs^2 + 133596 * H^6 * \text{length } zs^2$



```

    using H assms(2) by simp
  also have ... = 133648 * H ^ 6 * length zs ^ 2
    by simp
  finally have ?t ≤ 133648 * H ^ 6 * length zs ^ 2 .
  then have transforms tm6 (tpsL t) ttt (tpsL6 t)
    using tm6 assms transforms-monotone by blast
  then show ?thesis
    using tpsL6-eq-tpsL by simp
qed

lemma tmL:
  assumes ttt = 133650 * H ^ 6 * length zs ^ 3 + 1
  shows transforms tmL (tpsL 0) ttt (tpsL (length zs))
  unfolding tmL-def
proof (tform)
  let ?t = 133648 * H ^ 6 * length zs ^ 2
  show  $\bigwedge i. i < \text{length } zs \implies \text{transforms } tm6 (tpsL i) ?t (tpsL (Suc i))$ 
    using tm6' by simp
  have *: read (tpsL t) ! 0 = [zs] (Suc t) for t
    using jk tapes-at-read[symmetric, of 0 tpsL t] by (simp add: tpsL-def)
  show read (tpsL t) ! 0 ≠ □ if t < length zs for t
  proof -
    have read (tpsL t) ! 0 = zs ! t
      using that * by simp
    then show ?thesis
      using that zs by auto
  qed
  show  $\neg \text{read } (tpsL (length zs)) ! 0 \neq \square$ 
    using * by simp
  show length zs * (?t + 2) + 1 ≤ ttt
  proof (cases length zs = 0)
    case True
    then show ?thesis
      using assms(1) by simp
  next
    case False
    then have  $1 \leq H^6 * \text{length } zs^2$ 
      using H linear-le-pow by (simp add: Suc-leI)
    then have  $?t + 2 \leq 133650 * H^6 * \text{length } zs^2$ 
      by simp
    then have  $\text{length } zs * (?t + 2) \leq \text{length } zs * 133650 * H^6 * \text{length } zs^2$ 
      by simp
    then have  $\text{length } zs * (?t + 2) \leq 133650 * H^6 * \text{length } zs^3$ 
      by (simp add: power2-eq-square power3-eq-cube)
    then show ?thesis
      using assms(1) by simp
  qed
qed

```

```

lemma tmL':
  assumes ttt = 133650 * H ^ 6 * length zs ^ 3 + 1
  shows transforms tmL tps0 ttt (tpsL (length zs))
  using assms tmL tpsL-eq-tps0 by simp

```

end

end

```

lemma transforms-tm-PHI6I:
  fixes j :: tapeidx
  fixes tps tps' :: tape list and ttt k H :: nat and zs :: symbol list and nss :: nat list list
  assumes length tps = k and  $1 < j$  and  $j + 7 < k$ 
    and  $H \geq 3$ 

```

```

and bit-symbols zs
assumes
  tps ! 1 = nlltape nss
  tps ! 0 = ( $\lfloor$ zs $\rfloor$ , 1)
  tps ! j = ( $\lfloor$ 2 $\rfloor_N$ , 1)
  tps ! (j + 1) = ( $\lfloor$ H $\rfloor_N$ , 1)
  tps ! (j + 2) = ( $\lfloor$ 0 $\rfloor_N$ , 1)
  tps ! (j + 3) = ( $\lfloor$  $\square$  $\rfloor$ , 1)
  tps ! (j + 4) = ( $\lfloor$  $\square$  $\rfloor$ , 1)
  tps ! (j + 5) = ( $\lfloor$  $\square$  $\rfloor$ , 1)
  tps ! (j + 6) = ( $\lfloor$  $\square$  $\rfloor$ , 1)
  tps ! (j + 7) = ( $\lfloor$  $\square$  $\rfloor$ , 1)
assumes tps' = tps
  [0 := ( $\lfloor$ zs $\rfloor$ , Suc (length zs)),
  j := ( $\lfloor$ 2 + 2 * length zs $\rfloor_N$ , 1),
  1 := nlltape (nss @ concat (map ( $\lambda$ i. nll-Psi (H * (2 + 2 * i)) H (zs ! i)) [0.. $\lfloor$ length zs $\rfloor$ ]))]
assumes ttt = 133650 * H ^ 6 * length zs ^ 3 + 1
shows transforms (tm-PHI6 j) tps ttt tps'
proof -
  interpret loc: turing-machine-PHI6 j .
  show ?thesis
    using assms loc.tpsL-def loc.tmL' loc.tmL-eq-tm-PHI6 by simp
qed

```

## 7.6.6 A Turing machine for $\Phi_7$

The next Turing machine expects a number  $idx$  on tape  $j$ , a number  $H$  on tape  $j + 1$ , and a number  $numiter$  on tape  $j + 6$ . It appends to the CNF formula on tape 1 the formula  $\bigwedge_{t=0}^{numiter} \Upsilon(\gamma_{idx+2t})$  with  $\gamma_i = [iH, (i + 1)H]$ . This equals  $\Phi_7$  if  $idx = 2n + 4$  and  $numiter = p(n)$ .

**definition** tm-PHI7 :: tapeidx  $\Rightarrow$  machine where

```

tm-PHI7 j  $\equiv$ 
  WHILE  $\square$  ;  $\lambda$ rs. rs ! (j + 6)  $\neq$   $\square$  DO
    tm-Upsilongamma j ;;
    tm-extendl-erase 1 (j + 4) ;;
    tm-plus-const 2 j ;;
    tm-decr (j + 6)
  DONE

```

**lemma** tm-PHI7-tm:

```

assumes 0 < j and j + 6 < k and 6  $\leq$  G and 2  $\leq$  k
shows turing-machine k G (tm-PHI7 j)
unfolding tm-PHI7-def
using tm-Upsilongamma-tm tm-decr-tm Nil-tm tm-extendl-erase-tm tm-plus-const-tm assms turing-machine-loop-turing-machine
by simp

```

**locale** turing-machine-tm-PHI7 =

```

  fixes step :: nat and j :: tapeidx

```

**begin**

**definition** tmL1  $\equiv$  tm-Upsilongamma j

**definition** tmL2  $\equiv$  tmL1 ;; tm-extendl-erase 1 (j + 4)

**definition** tmL4  $\equiv$  tmL2 ;; tm-plus-const 2 j

**definition** tmL5  $\equiv$  tmL4 ;; tm-decr (j + 6)

**definition** tmL  $\equiv$  WHILE  $\square$  ;  $\lambda$ rs. rs ! (j + 6)  $\neq$   $\square$  DO tmL5 DONE

**lemma** tmL-eq-tm-PHI7: tmL = tm-PHI7 j

```

  unfolding tmL-def tmL5-def tmL4-def tmL2-def tmL1-def tm-PHI7-def by simp

```

**context**

```

  fixes tps0 :: tape list and numiter H k idx :: nat and nss :: nat list list

```

```

  assumes jk: length tps0 = k 1 < j j + 6 < k

```

```

  and H: H  $\geq$  3

```

```

  assumes tps0:

```

```

    tps0 ! 1 = nlltape nss
    tps0 ! j = ([idx]N, 1)
    tps0 ! (j + 1) = ([H]N, 1)
    tps0 ! (j + 2) = ([[]], 1)
    tps0 ! (j + 3) = ([[]], 1)
    tps0 ! (j + 4) = ([[]], 1)
    tps0 ! (j + 5) = ([[]], 1)
    tps0 ! (j + 6) = ([numiter]N, 1)
begin

lemma nlength-H: nlength H ≥ 1
  using nlength-0 H by (metis dual-order.trans nlength-1-simp nlength-mono one-le-numeral)

definition tpsL :: nat ⇒ tape list where
  tpsL t ≡ tps0
  [j := ([idx + 2 * t]N, 1),
   j + 6 := ([numiter - t]N, 1),
   1 := nlltape (nss @ concat (map (λt. nll-Upsilon (idx + 2 * t) H) [0..N, 1),
   j + 6 := ([numiter - t]N, 1),
   1 := nlltape (nss @ concat (map (λt. nll-Upsilon (idx + 2 * t) H) [0..NLL, 1)]

lemma tmL1 [transforms-intros]:
  assumes t < numiter
  and ttt = 205 * H * (nlength (idx + 2 * t) + nlength H)2
  shows transforms tmL1 (tpsL t) ttt (tpsL1 t)
  unfolding tmL1-def by (tform tps: H tps0 tpsL-def tpsL1-def jk time: assms(2))

definition tpsL2 :: nat ⇒ tape list where
  tpsL2 t ≡ tps0
  [j := ([idx + 2 * t]N, 1),
   j + 6 := ([numiter - t]N, 1),
   1 := nlltape (nss @ concat (map (λt. nll-Upsilon (idx + 2 * t) H) [0..2 +
    4 * nlllength (nll-Upsilon (idx + 2 * t) H)
  shows transforms tmL2 (tpsL t) ttt (tpsL2 t)
  unfolding tmL2-def by (tform tps: assms(1) H tps0 tpsL1-def tpsL2-def jk time: assms(2))

definition tpsL4 :: nat ⇒ tape list where
  tpsL4 t ≡ tps0
  [j := ([idx + 2 * Suc t]N, 1),
   j + 6 := ([numiter - t]N, 1),
   1 := nlltape (nss @ concat (map (λt. nll-Upsilon (idx + 2 * t) H) [0..2 +
    4 * nlllength (nll-Upsilon (idx + 2 * t) H) + 4 * nlength (Suc (Suc (idx + 2 * t)))
  shows transforms tmL4 (tpsL t) ttt (tpsL4 t)
  unfolding tmL4-def
  proof (tform tps: assms(1) H tps0 tpsL2-def tpsL4-def jk time: assms(2))

```

**show**  $tpsL4\ t = (tpsL2\ t)[j := (\lfloor idx + 2 * t + 2 \rfloor_N, 1)]$   
**using**  $tpsL4\text{-def}\ tpsL2\text{-def}\ jk$  **by** (*simp add: list-update-swap[of j]*)  
**qed**

**definition**  $tpsL5 :: nat \Rightarrow tape\ list$  **where**

$tpsL5\ t \equiv tps0$   
 $[j := (\lfloor idx + 2 * Suc\ t \rfloor_N, 1),$   
 $j + 6 := (\lfloor numiter - Suc\ t \rfloor_N, 1),$   
 $1 := nlltape\ (nss\ @\ concat\ (map\ (\lambda t. nll-Upsilon\ (idx + 2 * t)\ H)\ [0..<t])\ @\ (nll-Upsilon\ (idx + 2 * t)\ H)),$   
 $j + 4 := (\lfloor [], 1)]$

**lemma**  $tmL5$ :

**assumes**  $t < numiter$

**and**  $ttt = 29 + 205 * H * (nlength\ (idx + 2 * t) + nlength\ H)^2 +$   
 $4 * nlllength\ (nll-Upsilon\ (idx + 2 * t)\ H) + 4 * nlength\ (Suc\ (Suc\ (idx + 2 * t))) +$   
 $2 * nlength\ (numiter - t)$

**shows**  $transforms\ tmL5\ (tpsL\ t)\ ttt\ (tpsL5\ t)$

**unfolding**  $tmL5\text{-def}$

**proof** (*tform tps: assms(1) H tps0 tpsL4-def tpsL5-def jk time: assms(2)*)

**show**  $tpsL5\ t = (tpsL4\ t)[j + 6 := (\lfloor numiter - t - 1 \rfloor_N, 1)]$

**using**  $tpsL5\text{-def}\ tpsL4\text{-def}\ jk$  **by** (*simp add: list-update-swap[of j+6]*)

**qed**

**lemma**  $tpsL5\text{-eq-tpsL}$ :  $tpsL5\ t = tpsL\ (Suc\ t)$

**proof** –

**define**  $tps$  **where**  $tps = tps0$

$[j := (\lfloor idx + 2 * Suc\ t \rfloor_N, 1),$

$j + 6 := (\lfloor numiter - Suc\ t \rfloor_N, 1),$

$1 := nlltape\ (nss\ @\ concat\ (map\ (\lambda t. nll-Upsilon\ (idx + 2 * t)\ H)\ [0..<t])\ @\ (nll-Upsilon\ (idx + 2 * t)\ H))]$

**then have**  $tps = tpsL\ (Suc\ t)$

**using**  $tpsL\text{-def}\ jk\ tps0$  **by** *simp*

**moreover have**  $tps = tpsL5\ t$

**proof** –

**have**  $tps\ !\ (j + 4) = (\lfloor [], 1)$

**using**  $tps\text{-def}\ jk\ tps0$  **by** *simp*

**then have**  $tps[j + 4 := (\lfloor [], 1)] = tps$

**using** *list-update-id[of - j+4]* **by** *metis*

**then show** *?thesis*

**unfolding**  $tps\text{-def}$  **using**  $tpsL5\text{-def}$  **by** *simp*

**qed**

**ultimately show** *?thesis*

**by** *simp*

**qed**

**lemma**  $tmL5'$  [*transforms-intros*]:

**assumes**  $t < numiter$

**and**  $ttt = 256 * H * (nlength\ (idx + 2 * numiter) + nlength\ H)^2$

**shows**  $transforms\ tmL5\ (tpsL\ t)\ ttt\ (tpsL\ (Suc\ t))$

**proof** –

**let**  $?ttt = 29 + 205 * H * (nlength\ (idx + 2 * t) + nlength\ H)^2 +$

$4 * nlllength\ (nll-Upsilon\ (idx + 2 * t)\ H) + 4 * nlength\ (Suc\ (Suc\ (idx + 2 * t))) +$

$2 * nlength\ (numiter - t)$

**have**  $?ttt \leq 29 + 205 * H * (nlength\ (idx + 2 * t) + nlength\ H)^2 +$

$4 * nlllength\ (nll-Upsilon\ (idx + 2 * t)\ H) + 4 * nlength\ (Suc\ (Suc\ (idx + 2 * t))) +$

$2 * nlength\ numiter$

**using** *nlength-mono* **by** *simp*

**also have**  $\dots \leq 29 + 205 * H * (nlength\ (idx + 2 * t) + nlength\ H)^2 +$

$4 * H * (4 + nlength\ (idx + 2 * t) + nlength\ H) + 4 * nlength\ (Suc\ (Suc\ (idx + 2 * t))) +$

$2 * nlength\ numiter$

**using** *nlllength-nll-Upsilon-le H* **by** *simp*

**also have**  $\dots \leq 29 + 205 * H * (nlength\ (idx + 2 * t) + nlength\ H)^2 +$

$4 * H * (4 + nlength\ (idx + 2 * numiter) + nlength\ H) + 4 * nlength\ (Suc\ (Suc\ (idx + 2 * t))) +$

$2 * nlength\ numiter$

```

    using nlength-mono assms(1) by simp
  also have ... ≤ 29 + 205 * H * (nlength (idx + 2 * t) + nlength H)2 +
    4 * H * (4 + nlength (idx + 2 * numiter) + nlength H) + 4 * nlength (idx + 2 * numiter) +
    2 * nlength numiter
    using nlength-mono assms(1) by simp
  also have ... ≤ 29 + 205 * H * (nlength (idx + 2 * numiter) + nlength H)2 +
    4 * H * (4 + nlength (idx + 2 * numiter) + nlength H) + 4 * nlength (idx + 2 * numiter) +
    2 * nlength numiter
    using nlength-mono assms(1) by simp
  also have ... ≤ 29 + 205 * H * (nlength (idx + 2 * numiter) + nlength H)2 +
    4 * H * (4 + nlength (idx + 2 * numiter) + nlength H) + 6 * nlength (idx + 2 * numiter)
    using nlength-mono by simp
  also have ... ≤ 29 + 205 * H * (nlength (idx + 2 * numiter) + nlength H)2 +
    4 * H * (4 + nlength (idx + 2 * numiter) + nlength H) + 6 * (nlength (idx + 2 * numiter) + nlength H)
    using nlength-mono by simp
  also have ... = 29 + 205 * H * (nlength (idx + 2 * numiter) + nlength H)2 +
    16 * H + 4 * H * (nlength (idx + 2 * numiter) + nlength H) + 6 * (nlength (idx + 2 * numiter) +
nlength H)
    by algebra
  also have ... ≤ 29 + 205 * H * (nlength (idx + 2 * numiter) + nlength H)2 +
    16 * H + 4 * H * (nlength (idx + 2 * numiter) + nlength H) + 2 * H * (nlength (idx + 2 * numiter) +
nlength H)
  proof -
    have 6 ≤ 2 * H
    using H by simp
    then show ?thesis
    using mult-le-mono1 nat-add-left-cancel-le by presburger
  qed
  also have ... = 29 + 205 * H * (nlength (idx + 2 * numiter) + nlength H)2 +
    16 * H + 6 * H * (nlength (idx + 2 * numiter) + nlength H)
    by simp
  also have ... ≤ 29 + 205 * H * (nlength (idx + 2 * numiter) + nlength H)2 +
    16 * H + 6 * H * (nlength (idx + 2 * numiter) + nlength H)2
    using linear-le-pow by simp
  also have ... = 29 + 211 * H * (nlength (idx + 2 * numiter) + nlength H)2 + 16 * H
    by simp
  also have ... ≤ 29 + 227 * H * (nlength (idx + 2 * numiter) + nlength H)2
    using H nlength-0 by (simp add: Suc-leI)
  also have ... ≤ 256 * H * (nlength (idx + 2 * numiter) + nlength H)2
    using H nlength-0 by (simp add: Suc-leI)
  finally have ?ttt ≤ ttt
    using assms(2) by simp
  then have transforms tmL5 (tpsL t) ttt (tpsL5 t)
    using assms(1) tmL5 transforms-monotone by blast
  then show ?thesis
    using tpsL5-eq-tpsL by simp
qed

```

lemma tmL:

```

  assumes ttt = numiter * (257 * H * (nlength (idx + 2 * numiter) + nlength H)2) + 1
  shows transforms tmL (tpsL 0) ttt (tpsL numiter)
  unfolding tmL-def
  proof (tform)
  show  $\bigwedge i. i < \text{numiter} \implies \text{read } (\text{tpsL } i) ! (j + 6) \neq \square$ 
    using jk tpsL-def read-ncontents-eq-0 by simp
  show  $\neg \text{read } (\text{tpsL numiter}) ! (j + 6) \neq \square$ 
    using jk tpsL-def read-ncontents-eq-0 by simp
  show numiter * (256 * H * (nlength (idx + 2 * numiter) + nlength H)2 + 2) + 1 ≤ ttt
  proof -
    have 1 ≤ (nlength (idx + 2 * numiter) + nlength H)2
    using nlength-H by simp
    then have 2 ≤ H * (nlength (idx + 2 * numiter) + nlength H)2
    using H by (metis add-leE mult-2 mult-le-mono nat-1-add-1 numeral-Bit1 numerals(1))

```

```

then show ?thesis
using assms by simp
qed
qed

```

```

lemma tmL':
assumes  $ttt = \text{numiter} * 257 * H * (\text{nlength } (idx + 2 * \text{numiter}) + \text{nlength } H)^2 + 1$ 
shows transforms tmL tps0 ttt (tpsL numiter)
using assms tmL tpsL-eq-tps0 by (simp add: Groups.mult-ac(1))

```

**end**

**end**

```

lemma transforms-tm-PHI7:
fixes tps tps' :: tape list and ttt numiter H k idx :: nat and nss :: nat list list and j :: tapeidx
assumes  $\text{length } tps = k$  and  $1 < j$  and  $j + 6 < k$ 
and  $H \geq 3$ 
assumes
  tps ! 1 = nlltape nss
  tps ! j = ([idx]N, 1)
  tps ! (j + 1) = ([H]N, 1)
  tps ! (j + 2) = ([[]], 1)
  tps ! (j + 3) = ([[]], 1)
  tps ! (j + 4) = ([[]], 1)
  tps ! (j + 5) = ([[]], 1)
  tps ! (j + 6) = ([numiter]N, 1)
assumes  $ttt = \text{numiter} * 257 * H * (\text{nlength } (idx + 2 * \text{numiter}) + \text{nlength } H)^2 + 1$ 
assumes tps' = tps
   $[j := ([idx + 2 * \text{numiter}]_N, 1),$ 
   $j + 6 := ([0]_N, 1),$ 
   $1 := \text{nlltape } (nss @ \text{concat } (\text{map } (\lambda t. \text{nll-Upsilon } (idx + 2 * t) H) [0..<\text{numiter}])))$ 
shows transforms (tm-PHI7 j) tps ttt tps'
proof –
  interpret loc: turing-machine-tm-PHI7 j .
  show ?thesis
  using assms loc.tmL' loc.tpsL-def loc.tmL-eq-tm-PHI7 by simp
qed

```

### 7.6.7 A Turing machine for $\Phi_8$

The next TM expects a number  $idx$  on tape  $j$  and a number  $H$  on tape  $j + 1$ . It appends to the formula on tape 1 the formula  $\Psi([idx \cdot H, (idx + 1)H], 3)$ .

```

definition tm-PHI8 :: tapeidx  $\Rightarrow$  machine where
  tm-PHI8 j  $\equiv$ 
    tm-setn (j + 2) 3 ;;
    tm-Psigamma j ;;
    tm-extendl 1 (j + 6)

```

```

lemma tm-PHI8-tm:
assumes  $0 < j$  and  $j + 7 < k$  and  $G \geq 6$ 
shows turing-machine k G (tm-PHI8 j)
unfolding tm-PHI8-def using assms tm-Psigamma-tm tm-setn-tm tm-extendl-tm by simp

```

```

locale turing-machine-PHI8 =
  fixes j :: tapeidx
begin

```

```

definition tm1  $\equiv$  tm-setn (j + 2) 3
definition tm2  $\equiv$  tm1 ;; tm-Psigamma j
definition tm3  $\equiv$  tm2 ;; tm-extendl 1 (j + 6)

```

```

lemma tm3-eq-tm-PHI8: tm3 = tm-PHI8 j

```

using *tm3-def tm2-def tm1-def tm-PHI8-def* by *simp*

**context**

**fixes** *tps0* :: *tape list* **and** *k idx H* :: *nat* **and** *nss* :: *nat list list*

**assumes** *jk*:  $\text{length } tps0 = k$   $1 < j$   $j + 7 < k$

**and** *H*:  $H \geq 3$

**assumes** *tps0*:

*tps0* ! 1 = *nlltape nss*

*tps0* ! *j* = ( $\lfloor idx \rfloor_N, 1$ )

*tps0* ! (*j* + 1) = ( $\lfloor H \rfloor_N, 1$ )

*tps0* ! (*j* + 2) = ( $\lfloor \square \rfloor, 1$ )

*tps0* ! (*j* + 3) = ( $\lfloor \square \rfloor, 1$ )

*tps0* ! (*j* + 4) = ( $\lfloor \square \rfloor, 1$ )

*tps0* ! (*j* + 5) = ( $\lfloor \square \rfloor, 1$ )

*tps0* ! (*j* + 6) = ( $\lfloor \square \rfloor, 1$ )

*tps0* ! (*j* + 7) = ( $\lfloor \square \rfloor, 1$ )

**begin**

**definition** *tps1*  $\equiv$  *tps0*

[*j* + 2 := ( $\lfloor 3 \rfloor_N, 1$ )]

**lemma** *tm1* [*transforms-intros*]:

**assumes** *ttt* = 14

**shows** *transforms tm1 tps0 ttt tps1*

**unfolding** *tm1-def*

**proof** (*tform tps: tps0 tps1-def jk*)

**show** *tps0* ! (*j* + 2) = ( $\lfloor 0 \rfloor_N, 1$ )

**using** *tps0 jk canrepr-0* by *simp*

**show** *ttt* = 10 + 2 \* *nlength 0* + 2 \* *nlength 3*

**using** *assms nlength-3* by *simp*

**qed**

**definition** *tps2*  $\equiv$  *tps0*

[*j* + 2 := ( $\lfloor 3 \rfloor_N, 1$ ),

*j* + 6 := ( $\lfloor \text{nll-Psi } (idx * H) H 3 \rfloor_{NLL}, 1$ )]

**lemma** *tm2* [*transforms-intros*]:

**assumes** *ttt* = 14 + 1851 \*  $H^4$  \* *nlength (Suc idx)*  $\wedge$  2

**shows** *transforms tm2 tps0 ttt tps2*

**unfolding** *tm2-def* by (*tform tps: tps0 H tps1-def tps2-def jk time: assms canrepr-1*)

**definition** *tps3*  $\equiv$  *tps0*

[1 := *nlltape (nss @ nll-Psi (idx \* H) H 3)*,

*j* + 2 := ( $\lfloor 3 \rfloor_N, 1$ ),

*j* + 6 := ( $\lfloor \text{nll-Psi } (idx * H) H 3 \rfloor_{NLL}, 1$ )]

**lemma** *tm3*:

**assumes** *ttt* = 18 + 1851 \*  $H^4$  \* (*nlength (Suc idx)*)<sup>2</sup> +

2 \* *nlllength (nll-Psi (idx \* H) H 3)*

**shows** *transforms tm3 tps0 ttt tps3*

**unfolding** *tm3-def* by (*tform tps: tps0 H tps2-def tps3-def jk time: assms*)

**lemma** *tm3'*:

**assumes** *ttt* = 18 + 1861 \*  $H^4$  \* (*nlength (Suc idx)*)<sup>2</sup>

**shows** *transforms tm3 tps0 ttt tps3*

**proof** –

**have** \*: (*nlength (Suc idx)*)<sup>2</sup>  $\geq$  1

**using** *nlength-0* by (*simp add: Suc-leI*)

**let** ?*ttt* = 18 + 1851 \*  $H^4$  \* (*nlength (Suc idx)*)<sup>2</sup> + 2 \* *nlllength (nll-Psi (idx \* H) H 3)*

**have** ?*ttt*  $\leq$  18 + 1851 \*  $H^4$  \* (*nlength (Suc idx)*)<sup>2</sup> + 2 \*  $H$  \* (3 + *nlength (idx \* H + H)*)

**using** *nlllength-nll-Psi-le* by *simp*

**also have** ... = 18 + 1851 \*  $H^4$  \* (*nlength (Suc idx)*)<sup>2</sup> + 2 \*  $H$  \* (3 + *nlength (Suc idx \* H)*)

**by** (*simp add: add commute*)

**also have** ...  $\leq 18 + 1851 * H^4 * (nlength (Suc idx))^2 + 2 * H * (3 + nlength (Suc idx) + nlength H)$   
**using** *nlength-prod* **by** (*metis (mono-tags, lifting) ab-semigroup-add-class.add-ac(1) add-left-mono mult-le-cancel1*)  
**also have** ...  $= 18 + 1851 * H^4 * (nlength (Suc idx))^2 + 6 * H + 2 * H * nlength (Suc idx) + 2 * H * nlength H$   
**by** *algebra*  
**also have** ...  $\leq 18 + 1851 * H^4 * (nlength (Suc idx))^2 + 6 * H + 2 * H * nlength (Suc idx) + 2 * H * H$   
**using** *nlength-le-n* **by** *simp*  
**also have** ...  $\leq 18 + 1851 * H^4 * (nlength (Suc idx))^2 + 6 * H^4 + 2 * H * nlength (Suc idx) + 2 * H * H$   
**using** *linear-le-pow[of 4 H]* **by** *simp*  
**also have** ...  $\leq 18 + 1851 * H^4 * (nlength (Suc idx))^2 + 6 * H^4 + 2 * H^4 * nlength (Suc idx) + 2 * H * H$   
**using** *linear-le-pow[of 4 H]* **by** *simp*  
**also have** ...  $\leq 18 + 1857 * H^4 * (nlength (Suc idx))^2 + 2 * H^4 * nlength (Suc idx) + 2 * H * H$   
**using** \* **by** *simp*  
**also have** ...  $\leq 18 + 1859 * H^4 * (nlength (Suc idx))^2 + 2 * H * H$   
**using** *linear-le-pow[of 2 nlength (Suc idx)]* **by** *simp*  
**also have** ...  $= 18 + 1859 * H^4 * (nlength (Suc idx))^2 + 2 * H^2$   
**by** *algebra*  
**also have** ...  $\leq 18 + 1859 * H^4 * (nlength (Suc idx))^2 + 2 * H^4$   
**using** *pow-mono'[of 2 4 H]* **by** *simp*  
**also have** ...  $\leq 18 + 1861 * H^4 * (nlength (Suc idx))^2$   
**using** \* **by** *simp*  
**finally have** *?tt*  $\leq 18 + 1861 * H^4 * (nlength (Suc idx))^2$  .  
**then show** *?thesis*  
**using** *tm3 assms transforms-monotone* **by** *simp*  
**qed**

end

end

**lemma** *transforms-tm-PHI8I*:

**fixes** *j :: tapeidx*

**fixes** *tps tps' :: tape list* **and** *ttt k idx H :: nat* **and** *nss :: nat list list*

**assumes** *length tps = k* **and**  $1 < j$  **and**  $j + 7 < k$

**and**  $H \geq 3$

**assumes**

*tps ! 1 = nlltape nss*

*tps ! j = ([idx]<sub>N</sub>, 1)*

*tps ! (j + 1) = ([H]<sub>N</sub>, 1)*

*tps ! (j + 2) = ([[]], 1)*

*tps ! (j + 3) = ([[]], 1)*

*tps ! (j + 4) = ([[]], 1)*

*tps ! (j + 5) = ([[]], 1)*

*tps ! (j + 6) = ([[]], 1)*

*tps ! (j + 7) = ([[]], 1)*

**assumes** *tps' = tps*

$[1 := nlltape (nss @ nll-Psi (idx * H) H 3),$

$j + 2 := ([3]<sub>N</sub>, 1),$

$j + 6 := ([nll-Psi (idx * H) H 3]<sub>NLL</sub>, 1)]$

**assumes** *ttt = 18 + 1861 \* H^4 \* (nlength (Suc idx))^2*

**shows** *transforms (tm-PHI8 j) tps ttt tps'*

**proof** –

**interpret** *loc: turing-machine-PHI8 j* .

**show** *?thesis*

**using** *loc.tps3-def loc.tm3' loc.tm3-eq-tm-PHI8 assms* **by** *metis*

**qed**

## 7.6.8 A Turing machine for $\Phi_9$

The CNF formula  $\Phi_9 = \bigwedge_{t=1}^{T'} \chi_t$  is the most complicated part of  $\Phi$ . Clearly, the main task here is to generate the formulas  $\chi_t$



## A Turing machine for $\chi_t$

A lemma that will help with some time bounds:

**lemma** *pow2-le-2pow2*:  $z \wedge 2 \leq 2 \wedge (2 * z)$  **for**  $z :: nat$

**proof** (*induction z*)

**case** 0

**then show** *?case*

**by** *simp*

**next**

**case** (*Suc z*)

**show** *?case*

**proof** (*cases z = 0*)

**case** *True*

**then show** *?thesis*

**by** *simp*

**next**

**case** *False*

**have** *Suc z*  $z \wedge 2 = z \wedge 2 + 2 * z + 1$

**by** (*metis Suc-eq-plus1 ab-semigroup-add-class.add-ac(1) nat-mult-1-right one-power2 plus-1-eq-Suc power2-sum*)

**also have**  $\dots \leq z \wedge 2 + 3 * z$

**using** *False* **by** *simp*

**also have**  $\dots \leq z \wedge 2 + 3 * z \wedge 2$

**by** (*simp add: linear-le-pow*)

**also have**  $\dots = 2 \wedge 2 * z \wedge 2$

**by** *simp*

**also have**  $\dots \leq 2 \wedge 2 * 2 \wedge (2 * z)$

**using** *Suc* **by** *simp*

**also have**  $\dots = 2 \wedge (2 * \text{Suc } z)$

**by** (*simp add: power-add*)

**finally show** *?thesis* .

**qed**

**qed**

The next Turing machine can be used to generate  $\chi_t$ . It expects on tape 1 a CNF formula, on tape  $j_1$  the list of positions of  $M$ 's input tape head, on tape  $j_2$  the list of positions of  $M$ 's output tape head, on tapes  $j_3, \dots, j_3 + 3$  the numbers  $N, G, Z$ , and  $T$ , on tape  $j_3 + 4$  the formula  $\psi$ , on tape  $j_3 + 5$  the formula  $\psi'$ , and finally on tape  $j_3 + 6$  the number  $t$ . The TM appends the formula  $\chi_t$  to the formula on tape 1, which should be thought of as an unfinished version of  $\Phi$ .

The TM first computes  $prev(t)$  using *tm-prev* and compares it with  $t$ . Depending on the outcome of this comparison it generates either  $\varrho_t$  or  $\varrho'_t$  by concatenating ranges of numbers generated using *tm-range*. Then the TM uses *tm-relabel* to compute  $\varrho_t(\psi)$  or  $\varrho'_t(\psi')$ . The result equals  $\chi_t$  and is appended to tape 1. Finally  $t$  is incremented and  $T$  is decremented. This is so the TM can be used inside a while loop that initializes  $T$  with  $T'$  and  $t$  with 1.

**definition** *tm-chi* :: *tapeidx*  $\Rightarrow$  *tapeidx*  $\Rightarrow$  *tapeidx*  $\Rightarrow$  *machine* **where**

*tm-chi*  $j_1 j_2 j_3 \equiv$

*tm-prev*  $j_2 (j_3 + 6) ;;$

*tm-equalsn*  $(j_3 + 11) (j_3 + 6) (j_3 + 13) ;;$

*tm-decr*  $(j_3 + 6) ;;$

*tm-mult*  $(j_3 + 6) (j_3 + 2) (j_3 + 7) ;;$

*tm-add*  $j_3 (j_3 + 7) ;;$

*tm-range*  $(j_3 + 7) (j_3 + 2) (j_3 + 8) ;;$

*tm-extend-erase*  $(j_3 + 12) (j_3 + 8) ;;$

*tm-setn*  $(j_3 + 7) 0 ;;$

*IF*  $\lambda rs. rs ! (j_3 + 13) = \square$  *THEN*

*tm-mult*  $(j_3 + 11) (j_3 + 2) (j_3 + 7) ;;$

*tm-add*  $j_3 (j_3 + 7) ;;$

*tm-range*  $(j_3 + 7) (j_3 + 2) (j_3 + 8) ;;$

*tm-extend-erase*  $(j_3 + 12) (j_3 + 8) ;;$

*tm-setn*  $(j_3 + 7) 0$

*ELSE*

$\square$

```

ENDIF ;;
tm-incr (j3 + 6) ;;
tm-mult (j3 + 6) (j3 + 2) (j3 + 7) ;;
tm-add j3 (j3 + 7) ;;
tm-range (j3 + 7) (j3 + 2) (j3 + 8) ;;
tm-extend-erase (j3 + 12) (j3 + 8) ;;
tm-setn (j3 + 11) 0 ;;
tm-nth j1 (j3 + 6) (j3 + 11) 4 ;;
tm-setn (j3 + 7) 0 ;;
tm-mult (j3 + 11) (j3 + 1) (j3 + 7) ;;
tm-range (j3 + 7) (j3 + 1) (j3 + 8) ;;
tm-extend-erase (j3 + 12) (j3 + 8) ;;
tm-setn (j3 + 7) 0 ;;
tm-erase-cr (j3 + 11) ;;
tm-cr (j3 + 12) ;;
IF λrs. rs ! (j3 + 13) = □ THEN
  tm-relabel (j3 + 4) (j3 + 11)
ELSE
  tm-erase-cr (j3 + 13) ;;
  tm-relabel (j3 + 5) (j3 + 11)
ENDIF ;;
tm-erase-cr (j3 + 12) ;;
tm-extendl-erase 1 (j3 + 11) ;;
tm-incr (j3 + 6) ;;
tm-decr (j3 + 3)

```

**lemma** *tm-chi-tm*:

**assumes**  $0 < j1$  **and**  $j1 < j2$  **and**  $j2 < j3$  **and**  $j3 + 17 < k$  **and**  $G \geq 6$

**shows** *turing-machine*  $k$   $G$  (*tm-chi*  $j1$   $j2$   $j3$ )

**unfolding** *tm-chi-def*

**using** *tm-prev-tm tm-equalsn-tm tm-decr-tm tm-mult-tm tm-add-tm tm-range-tm tm-extend-erase-tm*  
*tm-setn-tm tm-incr-tm tm-nth-tm tm-erase-cr-tm tm-relabel-tm Nil-tm tm-cr-tm tm-extendl-erase-tm*  
*assms turing-machine-branch-turing-machine*

**by** *simp*

**locale** *turing-machine-chi* =

**fixes**  $j1$   $j2$   $j3$  :: *tapeidx*

**begin**

**definition**  $tm1 \equiv tm\text{-prev } j2$  ( $j3 + 6$ )

**definition**  $tm2 \equiv tm1$  ;; *tm-equalsn* ( $j3 + 11$ ) ( $j3 + 6$ ) ( $j3 + 13$ )

**definition**  $tm3 \equiv tm2$  ;; *tm-decr* ( $j3 + 6$ )

**definition**  $tm4 \equiv tm3$  ;; *tm-mult* ( $j3 + 6$ ) ( $j3 + 2$ ) ( $j3 + 7$ )

**definition**  $tm5 \equiv tm4$  ;; *tm-add*  $j3$  ( $j3 + 7$ )

**definition**  $tm6 \equiv tm5$  ;; *tm-range* ( $j3 + 7$ ) ( $j3 + 2$ ) ( $j3 + 8$ )

**definition**  $tm7 \equiv tm6$  ;; *tm-extend-erase* ( $j3 + 12$ ) ( $j3 + 8$ )

**definition**  $tm8 \equiv tm7$  ;; *tm-setn* ( $j3 + 7$ ) 0

**definition**  $tmT1 \equiv tm\text{-mult } (j3 + 11)$  ( $j3 + 2$ ) ( $j3 + 7$ )

**definition**  $tmT2 \equiv tmT1$  ;; *tm-add*  $j3$  ( $j3 + 7$ )

**definition**  $tmT3 \equiv tmT2$  ;; *tm-range* ( $j3 + 7$ ) ( $j3 + 2$ ) ( $j3 + 8$ )

**definition**  $tmT4 \equiv tmT3$  ;; *tm-extend-erase* ( $j3 + 12$ ) ( $j3 + 8$ )

**definition**  $tmT5 \equiv tmT4$  ;; *tm-setn* ( $j3 + 7$ ) 0

**definition**  $tm89 \equiv$  IF  $\lambda rs. rs ! (j3 + 13) = \square$  THEN  $tmT5$  ELSE [] ENDIF

**definition**  $tm10 \equiv tm8$  ;;  $tm89$

**definition**  $tm11 \equiv tm10$  ;; *tm-incr* ( $j3 + 6$ )

**definition**  $tm13 \equiv tm11$  ;; *tm-mult* ( $j3 + 6$ ) ( $j3 + 2$ ) ( $j3 + 7$ )

**definition**  $tm14 \equiv tm13$  ;; *tm-add*  $j3$  ( $j3 + 7$ )

**definition**  $tm15 \equiv tm14$  ;; *tm-range* ( $j3 + 7$ ) ( $j3 + 2$ ) ( $j3 + 8$ )

**definition**  $tm16 \equiv tm15$  ;; *tm-extend-erase* ( $j3 + 12$ ) ( $j3 + 8$ )

**definition**  $tm17 \equiv tm16 \;; \; tm-setn \; (j3 + 11) \; 0$   
**definition**  $tm18 \equiv tm17 \;; \; tm-nth \; j1 \; (j3 + 6) \; (j3 + 11) \; 4$   
**definition**  $tm19 \equiv tm18 \;; \; tm-setn \; (j3 + 7) \; 0$   
**definition**  $tm20 \equiv tm19 \;; \; tm-mult \; (j3 + 11) \; (j3 + 1) \; (j3 + 7)$   
**definition**  $tm21 \equiv tm20 \;; \; tm-range \; (j3 + 7) \; (j3 + 1) \; (j3 + 8)$   
**definition**  $tm22 \equiv tm21 \;; \; tm-extend-erase \; (j3 + 12) \; (j3 + 8)$   
**definition**  $tm23 \equiv tm22 \;; \; tm-setn \; (j3 + 7) \; 0$   
**definition**  $tm24 \equiv tm23 \;; \; tm-erase-cr \; (j3 + 11)$   
**definition**  $tm25 \equiv tm24 \;; \; tm-cr \; (j3 + 12)$

**definition**  $tmE1 \equiv tm-erase-cr \; (j3 + 13)$   
**definition**  $tmE2 \equiv tmE1 \;; \; tm-relabel \; (j3 + 5) \; (j3 + 11)$   
**definition**  $tmTT1 \equiv tm-relabel \; (j3 + 4) \; (j3 + 11)$

**definition**  $tm2526 \equiv IF \; \lambda rs. \; rs \; ! \; (j3 + 13) = \square \; THEN \; tmTT1 \; ELSE \; tmE2 \; ENDIF$   
**definition**  $tm26 \equiv tm25 \;; \; tm2526$   
**definition**  $tm27 \equiv tm26 \;; \; tm-erase-cr \; (j3 + 12)$   
**definition**  $tm28 \equiv tm27 \;; \; tm-extendl-erase \; 1 \; (j3 + 11)$   
**definition**  $tm29 \equiv tm28 \;; \; tm-incr \; (j3 + 6)$   
**definition**  $tm30 \equiv tm29 \;; \; tm-decr \; (j3 + 3)$

**lemma**  $tm30-eq-tm-chi: \; tm30 = tm-chi \; j1 \; j2 \; j3$

**unfolding**  $tm30-def \; tm29-def \; tm28-def \; tm27-def \; tm26-def \; tm25-def \; tm24-def \; tm23-def \; tm22-def \; tm21-def \; tm20-def \; tm19-def \; tm18-def \; tm17-def \; tm16-def \; tm15-def \; tm14-def \; tm13-def \; tm11-def \; tm10-def \; tm8-def \; tm7-def \; tm6-def \; tm5-def \; tm4-def \; tm3-def \; tm2-def \; tm1-def \; tm89-def \; tmE1-def \; tmE2-def \; tmTT1-def \; tm2526-def \; tmT5-def \; tmT4-def \; tmT3-def \; tmT2-def \; tmT1-def \; tm-chi-def$   
**by** *simp*

**context**

**fixes**  $tps0 \; :: \; tape \; list$  **and**  $k \; G \; N \; Z \; T' \; T \; t \; :: \; nat$  **and**  $hp0 \; hp1 \; :: \; nat \; list$  **and**  $\psi \; \psi' \; :: \; formula$

**fixes**  $nss \; :: \; nat \; list \; list$

**assumes**  $jk: \; length \; tps0 = k \; 1 < j1 \; j1 < j2 \; j2 < j3 \; j3 + 17 < k$

**and**  $G: \; G \geq 3$

**and**  $Z: \; Z = 3 * G$

**and**  $N: \; N \geq 1$

**and**  $len-hp0: \; length \; hp0 = Suc \; T'$

**and**  $hp0: \; \forall i < length \; hp0. \; hp0 \; ! \; i \leq T'$

**and**  $len-hp1: \; length \; hp1 = Suc \; T'$

**and**  $hp1: \; \forall i < length \; hp1. \; hp1 \; ! \; i \leq T'$

**and**  $t: \; 0 < t \leq T'$

**and**  $T: \; 0 < T \leq T'$

**and**  $T': \; T' < N$

**and**  $psi: \; variables \; \psi \subseteq \{..<3*Z+G\} \; fsize \; \psi \leq (3*Z+G) * 2 \wedge (3*Z+G) \; length \; \psi \leq 2 \wedge (3*Z+G)$

**and**  $psi': \; variables \; \psi' \subseteq \{..<2*Z+G\} \; fsize \; \psi' \leq (2*Z+G) * 2 \wedge (2*Z+G) \; length \; \psi' \leq 2 \wedge (2*Z+G)$

**assumes**  $tps0:$

$tps0 \; ! \; 1 = nlltape \; nss$

$tps0 \; ! \; j1 = ([hp0]_{NL}, 1)$

$tps0 \; ! \; j2 = ([hp1]_{NL}, 1)$

$tps0 \; ! \; j3 = ([N]_N, 1)$

$tps0 \; ! \; (j3 + 1) = ([G]_N, 1)$

$tps0 \; ! \; (j3 + 2) = ([Z]_N, 1)$

$tps0 \; ! \; (j3 + 3) = ([T]_N, 1)$

$tps0 \; ! \; (j3 + 4) = ([formula-n \; \psi]_{NLL}, 1)$

$tps0 \; ! \; (j3 + 5) = ([formula-n \; \psi']_{NLL}, 1)$

$tps0 \; ! \; (j3 + 6) = ([t]_N, 1)$

$\bigwedge i. \; 6 < i \implies i < 17 \implies tps0 \; ! \; (j3 + i) = ([\square], 1)$

**begin**

**lemma**  $Z-ge-1: \; Z \geq 1$

**using**  $G \; Z$  **by** *simp*

**lemma** *Z-ge-9*:  $Z \geq 9$   
**using** *G Z* **by** *simp*

**lemma** *T'-ge-1*:  $T' \geq 1$   
**using** *t* **by** *simp*

**lemma** *tps0'*:  $\bigwedge i. 1 \leq i \implies i < 11 \implies tps0 ! (j3 + 6 + i) = ([[]], 1)$   
**proof** –  
**fix** *i* :: *nat*  
**assume** *i*:  $1 \leq i < 11$   
**then have**  $6 < 6 + i < 17$   
**by** *simp-all*  
**then have**  $tps0 ! (j3 + (6 + i)) = ([[]], 1)$   
**using** *tps0(11)* **by** *simp*  
**then show**  $tps0 ! (j3 + 6 + i) = ([[]], 1)$   
**by** (*metis group-cancel.add1*)  
**qed**

The simplifier turns  $j3 + 6 + 3$  into  $9 + j3$ . The next lemma helps with that.

**lemma** *tps0-sym*:  $\bigwedge i. 6 < i \implies i < 17 \implies tps0 ! (i + j3) = ([[]], 1)$   
**using** *tps0(11)* **by** (*simp add: add.commute*)

**lemma** *previous-hp1-le*: *previous hp1 t*  $\leq t$   
**using** *len-hp1 hp1 t(2)* *previous-le[of hp1 t]* **by** *simp*

**definition** *tps1*  $\equiv tps0$   
 $[j3 + 11 := (\lfloor \text{previous hp1 } t \rfloor_N, 1)]$

**lemma** *tm1* [*transforms-intros*]:  
**assumes**  $ttt = 71 + 153 * nlength \text{ hp1 } \wedge 3$   
**shows** *transforms tm1 tps0 ttt tps1*  
**unfolding** *tm1-def*  
**proof** (*tform tps: canrepr-0 tps0-sym tps0 tps1-def jk t len-hp1 time: assms*)  
**show**  $tps1 = tps0[j3 + 6 + 5 := (\lfloor \text{previous hp1 } t \rfloor_N, 1)]$   
**using** *tps1-def* **by** (*simp add: add.commute*)  
**qed**

**definition** *tps2*  $\equiv tps0$   
 $[j3 + 11 := (\lfloor \text{previous hp1 } t \rfloor_N, 1),$   
 $j3 + 13 := (\lfloor \text{previous hp1 } t = t \rfloor_B, 1)]$

**lemma** *tm2* [*transforms-intros*]:  
**assumes**  $ttt = 78 + 153 * nlength \text{ hp1 } \wedge 3 + 3 * nlength (\text{min} (\text{previous hp1 } t) t)$   
**shows** *transforms tm2 tps0 ttt tps2*  
**unfolding** *tm2-def*  
**proof** (*tform tps: tps0 tps1-def tps2-def jk time: assms*)  
**show**  $tps1 ! (j3 + 13) = ([0]_N, 1)$   
**using** *tps1-def tps0(11) canrepr-0* **by** *simp*  
**show**  $(0::nat) \leq 1$   
**by** *simp*  
**qed**

**definition** *tps3*  $\equiv tps0$   
 $[j3 + 11 := (\lfloor \text{previous hp1 } t \rfloor_N, 1),$   
 $j3 + 13 := (\lfloor \text{previous hp1 } t = t \rfloor_B, 1),$   
 $j3 + 6 := (\lfloor t - 1 \rfloor_N, 1)]$

**lemma** *tm3* [*transforms-intros*]:  
**assumes**  $ttt = 86 + 153 * nlength \text{ hp1 } \wedge 3 + 3 * nlength (\text{min} (\text{previous hp1 } t) t) + 2 * nlength t$   
**shows** *transforms tm3 tps0 ttt tps3*  
**unfolding** *tm3-def* **by** (*tform tps: tps0 tps2-def tps3-def jk assms*)

**definition**  $tps4 \equiv tps0$

$[j3 + 11 := (\lfloor \text{previous } hp1 \ t \rfloor_N, 1),$   
 $j3 + 13 := (\lfloor \text{previous } hp1 \ t = t \rfloor_B, 1),$   
 $j3 + 6 := (\lfloor t - 1 \rfloor_N, 1),$   
 $j3 + 7 := (\lfloor (t - 1) * Z \rfloor_N, 1)]$

**lemma**  $tm4$  [transforms-intros]:

**assumes**  $ttt = 90 + 153 * nlength \ hp1 \wedge^3 + 3 * nlength \ (\min \ (\text{previous } hp1 \ t) \ t) + 2 * nlength \ t +$   
 $26 * (nlength \ (t - 1) + nlength \ Z) \wedge^2$

**shows**  $transforms \ tm4 \ tps0 \ ttt \ tps4$

**unfolding**  $tm4\text{-def}$

**proof** (tform tps: tps0 tps3-def tps4-def jk)

**show**  $tps3 \ ! \ (j3 + 7) = (\lfloor 0 \rfloor_N, 1)$

**using**  $tps3\text{-def} \ jk \ canrepr\text{-}0 \ tps0$  **by**  $simp$

**show**  $ttt = 86 + 153 * nlength \ hp1 \wedge^3 + 3 * nlength \ (\min \ (\text{previous } hp1 \ t) \ t) +$   
 $2 * nlength \ t + (4 + 26 * (nlength \ (t - Suc \ 0) + nlength \ Z) * (nlength \ (t - Suc \ 0) + nlength \ Z))$

**proof** -

**have**  $(26 * nlength \ (t - Suc \ 0) + 26 * nlength \ Z) * (nlength \ (t - Suc \ 0) + nlength \ Z) =$   
 $26 * (nlength \ (t - Suc \ 0) + nlength \ Z) \wedge^2$

**by**  $algebra$

**then show**  $?thesis$

**using**  $assms$  **by**  $simp$

**qed**

**qed**

**definition**  $tps5 \equiv tps0$

$[j3 + 11 := (\lfloor \text{previous } hp1 \ t \rfloor_N, 1),$   
 $j3 + 13 := (\lfloor \text{previous } hp1 \ t = t \rfloor_B, 1),$   
 $j3 + 6 := (\lfloor t - 1 \rfloor_N, 1),$   
 $j3 + 7 := (\lfloor N + (t - 1) * Z \rfloor_N, 1)]$

**lemma**  $tm5$  [transforms-intros]:

**assumes**  $ttt = 100 + 153 * nlength \ hp1 \wedge^3 + 3 * nlength \ (\min \ (\text{previous } hp1 \ t) \ t) + 2 * nlength \ t +$   
 $26 * (nlength \ (t - 1) + nlength \ Z) \wedge^2 + 3 * \max \ (nlength \ N) \ (nlength \ ((t - 1) * Z))$

**shows**  $transforms \ tm5 \ tps0 \ ttt \ tps5$

**unfolding**  $tm5\text{-def}$  **by** (tform tps: tps0 tps4-def tps5-def jk time: assms)

**definition**  $tps6 \equiv tps0$

$[j3 + 11 := (\lfloor \text{previous } hp1 \ t \rfloor_N, 1),$   
 $j3 + 13 := (\lfloor \text{previous } hp1 \ t = t \rfloor_B, 1),$   
 $j3 + 6 := (\lfloor t - 1 \rfloor_N, 1),$   
 $j3 + 7 := (\lfloor N + (t - 1) * Z \rfloor_N, 1),$   
 $j3 + 8 := (\lfloor [N + (t - 1) * Z .. < N + (t - 1) * Z + Z] \rfloor_{NL}, 1)]$

**lemma**  $tm6$  [transforms-intros]:

**assumes**  $ttt = 100 + 153 * nlength \ hp1 \wedge^3 + 3 * nlength \ (\min \ (\text{previous } hp1 \ t) \ t) + 2 * nlength \ t +$   
 $26 * (nlength \ (t - 1) + nlength \ Z) \wedge^2 + 3 * \max \ (nlength \ N) \ (nlength \ ((t - 1) * Z)) +$   
 $Suc \ Z * (43 + 9 * nlength \ (N + (t - 1) * Z + Z))$

**shows**  $transforms \ tm6 \ tps0 \ ttt \ tps6$

**unfolding**  $tm6\text{-def}$

**by** (tform tps: nlcontents-Nil canrepr-0 tps0-sym tps0 tps5-def tps6-def jk time: assms)

**definition**  $tps7 \equiv tps0$

$[j3 + 11 := (\lfloor \text{previous } hp1 \ t \rfloor_N, 1),$   
 $j3 + 13 := (\lfloor \text{previous } hp1 \ t = t \rfloor_B, 1),$   
 $j3 + 6 := (\lfloor t - 1 \rfloor_N, 1),$   
 $j3 + 7 := (\lfloor N + (t - 1) * Z \rfloor_N, 1),$   
 $j3 + 12 := nltape \ [N + (t - 1) * Z .. < N + (t - 1) * Z + Z]]$

**lemma**  $tm7$  [transforms-intros]:

**assumes**  $ttt = 111 + 153 * nlength \ hp1 \wedge^3 + 3 * nlength \ (\min \ (\text{previous } hp1 \ t) \ t) + 2 * nlength \ t +$   
 $26 * (nlength \ (t - 1) + nlength \ Z) \wedge^2 + 3 * \max \ (nlength \ N) \ (nlength \ ((t - 1) * Z)) +$   
 $Suc \ Z * (43 + 9 * nlength \ (N + (t - 1) * Z + Z)) +$

$4 * nlength [N + (t - Suc 0) * Z..<N + (t - Suc 0) * Z + Z]$   
**shows** *transforms tm7 tps0 ttt tps7*  
**unfolding** *tm7-def*  
**proof** (*tform tps: tps0 tps6-def tps7-def jk time: assms*)  
**show**  $tps6 ! (j3 + 12) = nltape []$   
**using** *tps6-def jk nlcontents-Nil tps0 by force*  
**show**  $tps7 = tps6$   
 $[j3 + 12 := nltape ([] @ [N + (t - Suc 0) * Z..<N + (t - Suc 0) * Z + Z]),$   
 $j3 + 8 := ([[]], 1)]$   
**unfolding** *tps7-def tps6-def*  
**using** *tps0 jk list-update-id[of tps0 j3 + 8]*  
**by** (*simp add: list-update-swap*)  
**qed**

**definition**  $tps8 \equiv tps0$   
 $[j3 + 11 := (\_previous hp1 t]_N, 1),$   
 $j3 + 13 := (\_previous hp1 t = t]_B, 1),$   
 $j3 + 6 := ([t - 1]_N, 1),$   
 $j3 + 7 := ([0]_N, 1),$   
 $j3 + 12 := nltape [N + (t - 1) * Z..<N + (t - 1) * Z + Z]]$

**lemma** *tm8:*  
**assumes**  $ttt = 121 + 153 * nlength hp1 ^ 3 + 3 * nlength (min (previous hp1 t) t) +$   
 $2 * nlength t + 26 * (nlength (t - 1) + nlength Z) ^ 2 + 3 * max (nlength N) (nlength ((t - 1) * Z)) +$   
 $Suc Z * (43 + 9 * nlength (N + (t - 1) * Z + Z)) + 4 * nlength [N + (t - 1) * Z..<N + (t - 1) * Z$   
 $+ Z] +$   
 $2 * nlength (N + (t - 1) * Z)$   
**shows** *transforms tm8 tps0 ttt tps8*  
**unfolding** *tm8-def by (tform tps: tps0 tps7-def tps8-def jk time: assms)*

For the next upper bound we have no scruples replacing  $\log T'$ ,  $\log N$ , and  $\log Z$  by  $T'$ ,  $N$  and  $Z$ , respectively. All values are polynomial in  $n$  ( $Z$  is even a constant), so the overall polynomiality is not in jeopardy.

**lemma** *nlength-le:*  
**fixes**  $nmax :: nat$  **and**  $ns :: nat list$   
**assumes**  $\forall n \in set ns. n \leq nmax$   
**shows**  $nlength ns \leq Suc nmax * length ns$   
**proof** –  
**have**  $nlength ns \leq Suc (nlength nmax) * length ns$   
**using** *assms nlength-le-len-mult-max by simp*  
**then show** *?thesis*  
**using** *nlength-le-n by (meson Suc-le-mono dual-order.trans mult-le-mono1)*  
**qed**

**lemma** *nlength-upt-le:*  
**fixes**  $a b :: nat$   
**shows**  $nlength [a..<b] \leq Suc b * (b - a)$   
**proof** –  
**have**  $nlength [a..<b] \leq Suc (nlength b) * (b - a)$   
**using** *nlength-upt-le-len-mult-max by simp*  
**then show** *?thesis*  
**using** *nlength-le-n by (meson Suc-le-mono dual-order.trans mult-le-mono1)*  
**qed**

**lemma** *nlength-hp1:*  $nlength hp1 \leq Suc T' * Suc T'$   
**proof** –  
**have**  $\forall n \in set hp1. n \leq T'$   
**using** *hp1 by (metis in-set-conv-nth)*  
**then have**  $nlength hp1 \leq Suc T' * Suc T'$   
**using** *nlength-le[of hp1 T'] len-hp1 by simp*  
**then show** *?thesis*  
**by** *simp*  
**qed**

**definition**  $ttt8 \equiv 168 + 153 * \text{Suc } T' \wedge 6 + 5 * t + 26 * (t + Z) \wedge 2 + 47 * Z + 15 * Z * (N + t * Z)$

**lemma**  $tm8'$  [*transforms-intros*]: *transforms*  $tm8$   $tps0$   $ttt8$   $tps8$

**proof** –

**let**  $?s = 88 + 153 * \text{nlength } hp1 \wedge 3 + 3 * \text{nlength } (\text{min } (\text{previous } hp1 \ t) \ t) + 2 * \text{nlength } (N + (t - 1) * Z)$

**let**  $?ttt = 121 + 153 * \text{nlength } hp1 \wedge 3 + 3 * \text{nlength } (\text{min } (\text{previous } hp1 \ t) \ t) + 2 * \text{nlength } t + 26 * (\text{nlength } (t - 1) + \text{nlength } Z) \wedge 2 + 3 * \text{max } (\text{nlength } N) (\text{nlength } ((t - 1) * Z)) + \text{Suc } Z * (43 + 9 * \text{nlength } (N + (t - 1) * Z + Z)) + 4 * \text{nlength } [N + (t - 1) * Z .. < N + (t - 1) * Z + Z] +$

$2 * \text{nlength } (N + (t - 1) * Z)$   
**have**  $?ttt = ?s + 33 + 2 * \text{nlength } t + 26 * (\text{nlength } (t - 1) + \text{nlength } Z) \wedge 2 + 3 * \text{max } (\text{nlength } N) (\text{nlength } ((t - 1) * Z)) +$

$\text{Suc } Z * (43 + 9 * \text{nlength } (N + (t - 1) * Z + Z)) + 4 * \text{nlength } [N + (t - 1) * Z .. < N + (t - 1) * Z + Z]$

**by** *simp*

**also have**  $\dots \leq ?s + 33 + 2 * t + 26 * (\text{nlength } (t - 1) + \text{nlength } Z) \wedge 2 + 3 * \text{max } (\text{nlength } N) (\text{nlength } ((t - 1) * Z)) + \text{Suc } Z * (43 + 9 * \text{nlength } (N + (t - 1) * Z + Z)) + 4 * \text{nlength } [N + (t - 1) * Z .. < N + (t - 1) * Z + Z]$

**using** *nlength-le-n* **by** *simp*

**also have**  $\dots \leq ?s + 33 + 2 * t + 26 * ((t - 1) + \text{nlength } Z) \wedge 2 + 3 * \text{max } (\text{nlength } N) (\text{nlength } ((t - 1) * Z)) + \text{Suc } Z * (43 + 9 * \text{nlength } (N + (t - 1) * Z + Z)) + 4 * \text{nlength } [N + (t - 1) * Z .. < N + (t - 1) * Z + Z]$

**using** *nlength-le-n* **by** *simp*

**also have**  $\dots \leq ?s + 33 + 2 * t + 26 * ((t - 1) + Z) \wedge 2 + 3 * \text{max } (\text{nlength } N) (\text{nlength } ((t - 1) * Z)) + \text{Suc } Z * (43 + 9 * \text{nlength } (N + (t - 1) * Z + Z)) + 4 * \text{nlength } [N + (t - 1) * Z .. < N + (t - 1) * Z + Z]$

**using** *nlength-le-n* **by** *simp*

**also have**  $\dots \leq ?s + 33 + 2 * t + 26 * ((t - 1) + Z) \wedge 2 + 3 * \text{max } (\text{nlength } N) (\text{nlength } ((t - 1) * Z)) + \text{Suc } Z * (43 + 9 * (N + (t - 1) * Z + Z)) + 4 * \text{nlength } [N + (t - 1) * Z .. < N + (t - 1) * Z + Z]$

**using** *nlength-le-n* *add-le-mono* *le-refl* *mult-le-mono* **by** *presburger*

**also have**  $\dots \leq ?s + 33 + 2 * t + 26 * ((t - 1) + Z) \wedge 2 + 3 * \text{max } (\text{nlength } N) (\text{nlength } ((t - 1) * Z)) + \text{Suc } Z * (43 + 9 * (N + (t - 1) * Z + Z)) + 4 * \text{Suc } (\text{nlength } (N + (t - 1) * Z + Z)) * Z$

**proof** –

**have**  $\text{nlength } [N + (t - 1) * Z .. < N + (t - 1) * Z + Z] \leq \text{Suc } (\text{nlength } (N + (t - 1) * Z + Z)) * Z$   
**using** *nlength-le-len-mult-max*[of  $[N + (t - 1) * Z .. < N + (t - 1) * Z + Z]$   $N + (t - 1) * Z + Z]$

**by** *simp*

**then show** *?thesis*

**by** *linarith*

**qed**

**also have**  $\dots = ?s + 33 + 2 * t + 26 * ((t - 1) + Z) \wedge 2 + 3 * \text{nlength } (\text{max } N ((t - 1) * Z)) + \text{Suc } Z * (43 + 9 * (N + (t - 1) * Z + Z)) + 4 * \text{Suc } (\text{nlength } (N + (t - 1) * Z + Z)) * Z$

**using** *max-nlength* **by** *simp*

**also have**  $\dots \leq ?s + 33 + 2 * t + 26 * ((t - 1) + Z) \wedge 2 + 3 * \text{max } N ((t - 1) * Z) + \text{Suc } Z * (43 + 9 * (N + (t - 1) * Z + Z)) + 4 * \text{Suc } (\text{nlength } (N + (t - 1) * Z + Z)) * Z$

**using** *nlength-le-n* **by** *simp*

**also have**  $\dots = ?s + 33 + 2 * t + 26 * ((t - 1) + Z) \wedge 2 + 3 * \text{max } N ((t - 1) * Z) + \text{Suc } Z * (43 + 9 * (N + t * Z)) + 4 * \text{Suc } (\text{nlength } (N + (t - 1) * Z + Z)) * Z$

**using**  $t$  **by** (*smt* (*verit*) *ab-semigroup-add-class.add-ac(1)* *add commute* *diff-Suc-1* *gr0-implies-Suc* *mult-Suc*)

**also have**  $\dots \leq ?s + 33 + 2 * t + 26 * ((t - 1) + Z) \wedge 2 + 3 * \text{max } N ((t - 1) * Z) + \text{Suc } Z * (43 + 9 * (N + t * Z)) + 4 * \text{Suc } (N + (t - 1) * Z + Z) * Z$

**using** *nlength-le-n* *Suc-le-mono* *add-le-mono* *le-refl* *mult-le-mono* **by** *presburger*

**also have**  $\dots = ?s + 33 + 2 * t + 26 * ((t - 1) + Z) \wedge 2 + 3 * \text{max } N ((t - 1) * Z) + \text{Suc } Z * (43 + 9 * (N + t * Z)) + 4 * \text{Suc } (N + t * Z) * Z$

**by** (*smt* (*verit*, *del-insts*) *Suc-eq-plus1* *Suc-leI* *add commute* *add.left-commute* *add-leD2* *le-add-diff-inverse*)

*mult.commute mult-Suc-right t(1)*  
**also have** ...  $\leq ?s + 33 + 2 * t + 26 * ((t - 1) + Z) \wedge 2 +$   
 $3 * (N + ((t - 1) * Z)) + Suc Z * (43 + 9 * (N + t * Z)) + 4 * Suc (N + t * Z) * Z$   
**by simp**  
**also have** ...  $\leq ?s + 33 + 2 * t + 26 * ((t - 1) + Z) \wedge 2 +$   
 $3 * (N + t * Z) + Suc Z * (43 + 9 * (N + t * Z)) + 4 * Suc (N + t * Z) * Z$   
**by simp**  
**also have** ...  $= ?s + 33 + 2 * t + 26 * ((t - 1) + Z) \wedge 2 +$   
 $3 * (N + t * Z) + Suc Z * (43 + 9 * (N + t * Z)) + (4 + 4 * (N + t * Z)) * Z$   
**by simp**  
**also have** ...  $\leq ?s + 33 + 2 * t + 26 * ((t - 1) + Z) \wedge 2 +$   
 $3 * (N + t * Z) + Suc Z * (43 + 9 * (N + t * Z)) + (4 + 4 * (N + t * Z)) * Suc Z$   
**by simp**  
**also have** ...  $= ?s + 33 + 2 * t + 26 * ((t - 1) + Z) \wedge 2 + 3 * (N + t * Z) + Suc Z * (47 + 13 * (N +$   
 $t * Z))$   
**by algebra**  
**also have** ...  $= 121 + 153 * nlength hp1 \wedge 3 + 3 * nlength (min (previous hp1 t) t) + 2 * nlength (N + (t$   
 $- 1) * Z) +$   
 $2 * t + 26 * ((t - 1) + Z) \wedge 2 + 3 * (N + t * Z) + Suc Z * (47 + 13 * (N + t * Z))$   
**by simp**  
**also have** ...  $\leq 121 + 153 * nlength hp1 \wedge 3 + 3 * nlength t + 2 * nlength (N + (t - 1) * Z) +$   
 $2 * t + 26 * ((t - 1) + Z) \wedge 2 + 3 * (N + t * Z) + Suc Z * (47 + 13 * (N + t * Z))$   
**using previous-hp1-le nlength-mono by simp**  
**also have** ...  $\leq 121 + 153 * nlength hp1 \wedge 3 + 2 * nlength (N + (t - 1) * Z) +$   
 $5 * t + 26 * ((t - 1) + Z) \wedge 2 + 3 * (N + t * Z) + Suc Z * (47 + 13 * (N + t * Z))$   
**using nlength-le-n by simp**  
**also have** ...  $\leq 121 + 153 * nlength hp1 \wedge 3 + 2 * (N + (t - 1) * Z) +$   
 $5 * t + 26 * ((t - 1) + Z) \wedge 2 + 3 * (N + t * Z) + Suc Z * (47 + 13 * (N + t * Z))$   
**using nlength-le-n add-le-mono1 mult-le-mono2 nat-add-left-cancel-le by presburger**  
**also have** ...  $\leq 121 + 153 * nlength hp1 \wedge 3 + 2 * (N + t * Z) +$   
 $5 * t + 26 * ((t - 1) + Z) \wedge 2 + 3 * (N + t * Z) + Suc Z * (47 + 13 * (N + t * Z))$   
**by simp**  
**also have** ...  $= 121 + 153 * nlength hp1 \wedge 3 +$   
 $5 * t + 26 * ((t - 1) + Z) \wedge 2 + 5 * (N + t * Z) + Suc Z * (47 + 13 * (N + t * Z))$   
**by simp**  
**also have** ...  $\leq 121 + 153 * (Suc T' * Suc T') \wedge 3 +$   
 $5 * t + 26 * ((t - 1) + Z) \wedge 2 + 5 * (N + t * Z) + Suc Z * (47 + 13 * (N + t * Z))$   
**using nlength-hp1 by simp**  
**also have** ...  $= 121 + 153 * (Suc T' \wedge 2) \wedge 3 +$   
 $5 * t + 26 * ((t - 1) + Z) \wedge 2 + 5 * (N + t * Z) + Suc Z * (47 + 13 * (N + t * Z))$   
**by algebra**  
**also have** ...  $= 121 + 153 * Suc T' \wedge 6 +$   
 $5 * t + 26 * ((t - 1) + Z) \wedge 2 + 5 * (N + t * Z) + Suc Z * (47 + 13 * (N + t * Z))$   
**by simp**  
**also have** ...  $\leq 121 + 153 * Suc T' \wedge 6 +$   
 $5 * t + 26 * (t + Z) \wedge 2 + 5 * (N + t * Z) + Suc Z * (47 + 13 * (N + t * Z))$   
**by simp**  
**also have** ...  $= 121 + 153 * Suc T' \wedge 6 +$   
 $5 * t + 26 * (t + Z) \wedge 2 + 5 * (N + t * Z) + 47 + 13 * (N + t * Z) + Z * (47 + 13 * (N + t * Z))$   
**by simp**  
**also have** ...  $= 168 + 153 * Suc T' \wedge 6 +$   
 $5 * t + 26 * (t + Z) \wedge 2 + 18 * (N + t * Z) + Z * (47 + 13 * (N + t * Z))$   
**by simp**  
**also have** ...  $\leq 168 + 153 * Suc T' \wedge 6 +$   
 $5 * t + 26 * (t + Z) \wedge 2 + 2 * Z * (N + t * Z) + Z * (47 + 13 * (N + t * Z))$   
**using Z-ge-9 by (metis add-le-mono add-le-mono1 mult-2 mult-le-mono1 nat-add-left-cancel-le numeral-Bit0)**  
**also have** ...  $= 168 + 153 * Suc T' \wedge 6 +$   
 $5 * t + 26 * (t + Z) \wedge 2 + 2 * Z * (N + t * Z) + 47 * Z + 13 * Z * (N + t * Z)$   
**by algebra**  
**also have** ...  $= 168 + 153 * Suc T' \wedge 6 + 5 * t + 26 * (t + Z) \wedge 2 + 47 * Z + 15 * Z * (N + t * Z)$   
**by simp**  
**finally have** *?ttt*  $\leq$  *ttt8*  
**using ttt8-def by simp**



**then show** *?thesis*  
**using** *tm8 transforms-monotone* **by** *simp*  
**qed**

**definition** *tpsT1*  $\equiv$  *tps0*

$[j3 + 11 := (\lfloor \text{previous hp1 } t \rfloor_N, 1),$   
 $j3 + 13 := (\lfloor \text{previous hp1 } t = t \rfloor_B, 1),$   
 $j3 + 6 := (\lfloor t - 1 \rfloor_N, 1),$   
 $j3 + 7 := (\lfloor \text{previous hp1 } t * Z \rfloor_N, 1),$   
 $j3 + 12 := \text{nltape } [N + (t - 1) * Z..<N + (t - 1) * Z + Z]]$

**lemma** *tmT1* [*transforms-intros*]:

**assumes**  $\text{ttt} = 4 + 26 * (\text{nlength } (\text{previous hp1 } t) + \text{nlength } Z) \wedge 2$

**shows** *transforms tmT1 tps8 ttt tpsT1*

**unfolding** *tmT1-def*

**proof** (*tform tps: tps0 tps8-def tpsT1-def jk*)

**show**  $\text{ttt} = 4 + 26 * (\text{nlength } (\text{previous hp1 } t) + \text{nlength } Z) * (\text{nlength } (\text{previous hp1 } t) + \text{nlength } Z)$

**using** *assms by algebra*

**show** *tpsT1 = tps8[j3 + 7 := (\lfloor previous hp1 t \* Z \rfloor\_N, 1)]*

**unfolding** *tpsT1-def tps8-def* **by** (*simp add: list-update-swap[of j3+7]*)

**qed**

**definition** *tpsT2*  $\equiv$  *tps0*

$[j3 + 11 := (\lfloor \text{previous hp1 } t \rfloor_N, 1),$   
 $j3 + 13 := (\lfloor \text{previous hp1 } t = t \rfloor_B, 1),$   
 $j3 + 6 := (\lfloor t - 1 \rfloor_N, 1),$   
 $j3 + 7 := (\lfloor N + \text{previous hp1 } t * Z \rfloor_N, 1),$   
 $j3 + 12 := \text{nltape } [N + (t - 1) * Z..<N + (t - 1) * Z + Z]]$

**lemma** *tmT2* [*transforms-intros*]:

**assumes**  $\text{ttt} = 14 + 26 * (\text{nlength } (\text{previous hp1 } t) + \text{nlength } Z) \wedge 2 +$   
 $3 * \max(\text{nlength } N) (\text{nlength } (\text{previous hp1 } t * Z))$

**shows** *transforms tmT2 tps8 ttt tpsT2*

**unfolding** *tmT2-def* **by** (*tform tps: tps0 tpsT1-def tpsT2-def jk assms*)

**definition** *tpsT3*  $\equiv$  *tps0*

$[j3 + 11 := (\lfloor \text{previous hp1 } t \rfloor_N, 1),$   
 $j3 + 13 := (\lfloor \text{previous hp1 } t = t \rfloor_B, 1),$   
 $j3 + 6 := (\lfloor t - 1 \rfloor_N, 1),$   
 $j3 + 7 := (\lfloor N + \text{previous hp1 } t * Z \rfloor_N, 1),$   
 $j3 + 12 := \text{nltape } [N + (t - 1) * Z..<N + (t - 1) * Z + Z],$   
 $j3 + 8 := (\lfloor [N + \text{previous hp1 } t * Z..<N + \text{previous hp1 } t * Z + Z] \rfloor_{NL}, 1)]$

**lemma** *tmT3* [*transforms-intros*]:

**assumes**  $\text{ttt} = 14 + 26 * (\text{nlength } (\text{previous hp1 } t) + \text{nlength } Z) \wedge 2 +$   
 $3 * \max(\text{nlength } N) (\text{nlength } (\text{previous hp1 } t * Z)) +$

$\text{Suc } Z * (43 + 9 * \text{nlength } (N + \text{previous hp1 } t * Z + Z))$

**shows** *transforms tmT3 tps8 ttt tpsT3*

**unfolding** *tmT3-def*

**by** (*tform tps: nlcontents-Nil canrepr-0 tps0-sym tps0 tpsT2-def tpsT3-def jk time: assms*)

**definition** *tpsT4*  $\equiv$  *tps0*

$[j3 + 11 := (\lfloor \text{previous hp1 } t \rfloor_N, 1),$   
 $j3 + 13 := (\lfloor \text{previous hp1 } t = t \rfloor_B, 1),$   
 $j3 + 6 := (\lfloor t - 1 \rfloor_N, 1),$   
 $j3 + 7 := (\lfloor N + \text{previous hp1 } t * Z \rfloor_N, 1),$   
 $j3 + 12 := \text{nltape}$   
 $\quad ([N + (t - 1) * Z..<N + (t - 1) * Z + Z] @$   
 $\quad [N + \text{previous hp1 } t * Z..<N + \text{previous hp1 } t * Z + Z]),$   
 $j3 + 8 := (\lfloor [], 1)]$

**lemma** *tmT4* [*transforms-intros*]:

**assumes**  $\text{ttt} = 25 + 26 * (\text{nlength } (\text{previous hp1 } t) + \text{nlength } Z) \wedge 2 +$

$3 * \max (\text{nlength } N) (\text{nlength } (\text{previous hp1 } t * Z)) +$   
 $\text{Suc } Z * (43 + 9 * \text{nlength } (N + \text{previous hp1 } t * Z + Z)) +$   
 $4 * \text{nllength } [N + \text{previous hp1 } t * Z..<N + \text{previous hp1 } t * Z + Z]$   
**shows transforms**  $\text{tmT4 tps8 ttt tpsT4}$   
**unfolding**  $\text{tmT4-def}$  **by** ( $\text{tform tps: tps0 tpsT3-def tpsT4-def jk time: assms}$ )

**definition**  $\text{tpsT5} \equiv \text{tps0}$

$[j3 + 11 := (\lfloor \text{previous hp1 } t \rfloor_N, 1),$   
 $j3 + 13 := (\lfloor \text{previous hp1 } t = t \rfloor_B, 1),$   
 $j3 + 6 := (\lfloor t - 1 \rfloor_N, 1),$   
 $j3 + 7 := (\lfloor 0 \rfloor_N, 1),$   
 $j3 + 12 := \text{nltape}$   
 $([N + (t - 1) * Z..<N + (t - 1) * Z + Z] @$   
 $[N + \text{previous hp1 } t * Z..<N + \text{previous hp1 } t * Z + Z]),$   
 $j3 + 8 := (\lfloor [] \rfloor, 1)]$

**lemma**  $\text{tmT5}$  [ $\text{transforms-intros}$ ]:

**assumes**  $\text{ttt} = 35 + 26 * (\text{nlength } (\text{previous hp1 } t) + \text{nlength } Z) ^ 2 +$   
 $3 * \max (\text{nlength } N) (\text{nlength } (\text{previous hp1 } t * Z)) +$   
 $\text{Suc } Z * (43 + 9 * \text{nlength } (N + \text{previous hp1 } t * Z + Z)) +$   
 $4 * \text{nllength } [N + \text{previous hp1 } t * Z..<N + \text{previous hp1 } t * Z + Z] +$   
 $2 * \text{nlength } (N + \text{previous hp1 } t * Z)$   
**shows transforms**  $\text{tmT5 tps8 ttt tpsT5}$   
**unfolding**  $\text{tmT5-def}$  **by** ( $\text{tform tps: tps0 tpsT4-def tpsT5-def jk time: assms}$ )

**definition**  $\text{tps9} \equiv \text{tps0}$

$[j3 + 11 := (\lfloor \text{previous hp1 } t \rfloor_N, 1),$   
 $j3 + 13 := (\lfloor \text{previous hp1 } t = t \rfloor_B, 1),$   
 $j3 + 6 := (\lfloor t - 1 \rfloor_N, 1),$   
 $j3 + 7 := (\lfloor 0 \rfloor_N, 1),$   
 $j3 + 12 := \text{nltape}$   
 $([N + (t - 1) * Z..<N + (t - 1) * Z + Z] @$   
 $(\text{if previous hp1 } t \neq t \text{ then } [N + \text{previous hp1 } t * Z..<N + \text{previous hp1 } t * Z + Z] \text{ else } [])),$   
 $j3 + 8 := (\lfloor [] \rfloor, 1)]$

**lemma**  $\text{tm89}$  [ $\text{transforms-intros}$ ]:

**assumes**  $\text{ttt} = 37 + 26 * (\text{nlength } (\text{previous hp1 } t) + \text{nlength } Z) ^ 2 +$   
 $3 * \max (\text{nlength } N) (\text{nlength } (\text{previous hp1 } t * Z)) +$   
 $\text{Suc } Z * (43 + 9 * \text{nlength } (N + \text{previous hp1 } t * Z + Z)) +$   
 $4 * \text{nllength } [N + \text{previous hp1 } t * Z..<N + \text{previous hp1 } t * Z + Z] +$   
 $2 * \text{nlength } (N + \text{previous hp1 } t * Z)$   
**shows transforms**  $\text{tm89 tps8 ttt tps9}$   
**unfolding**  $\text{tm89-def}$

**proof** ( $\text{tform time: assms}$ )

**have**  $\text{tps8} ! (j3 + 13) = (\lfloor \text{previous hp1 } t = t \rfloor_B, 1)$   
**using**  $\text{tps8-def jk}$  **by**  $\text{simp}$   
**then have**  $*$ :  $(\text{previous hp1 } t \neq t) = (\text{read tps8} ! (j3 + 13) = \square)$   
**using**  $\text{jk tps8-def read-ncontents-eq-0}$  **by**  $\text{force}$   
**show**  $\text{read tps8} ! (j3 + 13) = \square \implies \text{tps9} = \text{tpsT5}$   
**using**  $*$   $\text{tps9-def tpsT5-def}$  **by**  $\text{simp}$   
**have**  $(\lfloor [] \rfloor, 1) = \text{tps0} ! (j3 + 8)$   
**using**  $\text{jk tps0}$  **by**  $\text{simp}$   
**then have**  $\text{tps0}[j3 + 8 := (\lfloor [] \rfloor, 1)] = \text{tps0}$   
**using**  $\text{jk tps0}$  **by** ( $\text{metis list-update-id}$ )  
**then have**  $\text{tps8} = \text{tps0}$   
 $[j3 + 11 := (\lfloor \text{previous hp1 } t \rfloor_N, 1),$   
 $j3 + 13 := (\lfloor \text{previous hp1 } t = t \rfloor_B, 1),$   
 $j3 + 6 := (\lfloor t - 1 \rfloor_N, 1),$   
 $j3 + 7 := (\lfloor 0 \rfloor_N, 1),$   
 $j3 + 12 := \text{nltape } [N + (t - 1) * Z..<N + (t - 1) * Z + Z],$   
 $j3 + 8 := (\lfloor [] \rfloor, 1)]$   
**using**  $\text{tps8-def jk tps0}$  **by** ( $\text{simp add: list-update-swap[of - j3 + 8]}$ )  
**then show**  $\text{read tps8} ! (j3 + 13) \neq \square \implies \text{tps9} = \text{tps8}$

using \* tps9-def by simp  
qed

**definition**  $t_{tt10} \equiv t_{tt8} + 37 + 26 * (nlength\ (previous\ hp1\ t) + nlength\ Z) \wedge 2 +$   
 $3 * max\ (nlength\ N)\ (nlength\ (previous\ hp1\ t * Z)) +$   
 $Suc\ Z * (43 + 9 * nlength\ (N + previous\ hp1\ t * Z + Z)) +$   
 $4 * nlength\ [N + previous\ hp1\ t * Z..<N + previous\ hp1\ t * Z + Z] +$   
 $2 * nlength\ (N + previous\ hp1\ t * Z)$

**lemma**  $tm10$  [transforms-intros]: transforms  $tm10$   $tps0$   $t_{tt10}$   $tps9$   
**unfolding**  $tm10$ -def by (tform  $tps$ :  $t_{tt10}$ -def)

**definition**  $tps11 \equiv tps0$

$[j3 + 11 := (\lfloor previous\ hp1\ t \rfloor_N, 1),$   
 $j3 + 13 := (\lfloor previous\ hp1\ t = t \rfloor_B, 1),$   
 $j3 + 6 := (\lfloor t \rfloor_N, 1),$   
 $j3 + 7 := (\lfloor 0 \rfloor_N, 1),$   
 $j3 + 12 := nltape$   
 $([N + (t - 1) * Z..<N + (t - 1) * Z + Z] @$   
 $(if\ previous\ hp1\ t \neq t\ then\ [N + previous\ hp1\ t * Z..<N + previous\ hp1\ t * Z + Z]\ else\ [])),$   
 $j3 + 8 := ([[]], 1)]$

**lemma**  $tm11$  [transforms-intros]:  
**assumes**  $t_{tt} = t_{tt10} + 5 + 2 * nlength\ (t - 1)$   
**shows** transforms  $tm11$   $tps0$   $t_{tt}$   $tps11$   
**unfolding**  $tm11$ -def by (tform  $tps$ :  $t(1)$   $tps0$   $tps9$ -def  $tps11$ -def  $jk$  time: *assms*)

**definition**  $tps13 \equiv tps0$

$[j3 + 11 := (\lfloor previous\ hp1\ t \rfloor_N, 1),$   
 $j3 + 13 := (\lfloor previous\ hp1\ t = t \rfloor_B, 1),$   
 $j3 + 6 := (\lfloor t \rfloor_N, 1),$   
 $j3 + 7 := (\lfloor t * Z \rfloor_N, 1),$   
 $j3 + 12 := nltape$   
 $([N + (t - 1) * Z..<N + (t - 1) * Z + Z] @$   
 $(if\ previous\ hp1\ t \neq t\ then\ [N + previous\ hp1\ t * Z..<N + previous\ hp1\ t * Z + Z]\ else\ [])),$   
 $j3 + 8 := ([[]], 1)]$

**lemma**  $tm13$  [transforms-intros]:  
**assumes**  $t_{tt} = t_{tt10} + 9 + 2 * nlength\ (t - 1) + 26 * (nlength\ t + nlength\ Z) \wedge 2$   
**shows** transforms  $tm13$   $tps0$   $t_{tt}$   $tps13$   
**unfolding**  $tm13$ -def

**proof** (tform  $tps$ :  $tps0$   $tps11$ -def  $tps13$ -def  $jk$ )

**show**  $t_{tt} = t_{tt10} + 5 + 2 * nlength\ (t - 1) + (4 + 26 * (nlength\ t + nlength\ Z)) * (nlength\ t + nlength\ Z)$   
**using** *assms* by simp (metis *Groups.mult-ac(1)* *distrib-left* *power2-eq-square*)  
**show**  $tps13 = tps11[j3 + 7 := (\lfloor t * Z \rfloor_N, 1)]$   
**unfolding**  $tps13$ -def  $tps11$ -def by (simp add: *list-update-swap*[of  $j3+7$ ])

qed

**definition**  $tps14 \equiv tps0$

$[j3 + 11 := (\lfloor previous\ hp1\ t \rfloor_N, 1),$   
 $j3 + 13 := (\lfloor previous\ hp1\ t = t \rfloor_B, 1),$   
 $j3 + 6 := (\lfloor t \rfloor_N, 1),$   
 $j3 + 7 := (\lfloor N + t * Z \rfloor_N, 1),$   
 $j3 + 12 := nltape$   
 $([N + (t - 1) * Z..<N + (t - 1) * Z + Z] @$   
 $(if\ previous\ hp1\ t \neq t\ then\ [N + previous\ hp1\ t * Z..<N + previous\ hp1\ t * Z + Z]\ else\ [])),$   
 $j3 + 8 := ([[]], 1)]$

**lemma**  $tm14$  [transforms-intros]:  
**assumes**  $t_{tt} = t_{tt10} + 19 + 2 * nlength\ (t - 1) + 26 * (nlength\ t + nlength\ Z) \wedge 2 +$   
 $3 * max\ (nlength\ N)\ (nlength\ (t * Z))$   
**shows** transforms  $tm14$   $tps0$   $t_{tt}$   $tps14$   
**unfolding**  $tm14$ -def

**proof** (tform tps: tps0 tps13-def tps14-def jk time: assms)  
**show** tps14 = tps13[j3 + 7 := ( $\lfloor N + t * Z \rfloor_N, 1$ )]  
**unfolding** tps14-def tps13-def **by** (simp add: list-update-swap[of j3+7])  
**qed**

**definition** tps15  $\equiv$  tps0

[j3 + 11 := ( $\lfloor \text{previous hp1 } t \rfloor_N, 1$ ),  
j3 + 13 := ( $\lfloor \text{previous hp1 } t = t \rfloor_B, 1$ ),  
j3 + 6 := ( $\lfloor t \rfloor_N, 1$ ),  
j3 + 7 := ( $\lfloor N + t * Z \rfloor_N, 1$ ),  
j3 + 12 := nltape  
( $\lfloor N + (t - 1) * Z .. < N + (t - 1) * Z + Z \rfloor @$   
(if previous hp1 t  $\neq$  t then  $\lfloor N + \text{previous hp1 } t * Z .. < N + \text{previous hp1 } t * Z + Z \rfloor$  else  $\lfloor \rfloor$ ),  
j3 + 8 := ( $\lfloor \lfloor N + t * Z .. < N + t * Z + Z \rfloor_{NL}, 1$ )

**lemma** tm15 [transforms-intros]:

**assumes** ttt = ttt10 + 19 + 2 \* nlength (t - 1) + 26 \* (nlength t + nlength Z) ^ 2 +  
3 \* max (nlength N) (nlength (t \* Z)) + Suc Z \* (43 + 9 \* nlength (N + t \* Z + Z))  
**shows** transforms tm15 tps0 ttt tps15  
**unfolding** tm15-def

**proof** (tform tps: tps0 tps14-def tps15-def jk time: assms)

**show** tps14 ! (j3 + 8) = ( $\lfloor \rfloor_{NL}, 1$ )  
**using** tps14-def jk nlcontents-Nil tps0 **by** simp  
**show** tps14 ! (j3 + 8 + 1) = ( $\lfloor 0 \rfloor_N, 1$ )  
**using** tps14-def jk canrepr-0 tps0-sym **by** simp  
**show** tps14 ! (j3 + 8 + 2) = ( $\lfloor 0 \rfloor_N, 1$ )  
**using** tps14-def jk canrepr-0 tps0-sym **by** simp  
**qed**

**definition** tps16  $\equiv$  tps0

[j3 + 11 := ( $\lfloor \text{previous hp1 } t \rfloor_N, 1$ ),  
j3 + 13 := ( $\lfloor \text{previous hp1 } t = t \rfloor_B, 1$ ),  
j3 + 6 := ( $\lfloor t \rfloor_N, 1$ ),  
j3 + 7 := ( $\lfloor N + t * Z \rfloor_N, 1$ ),  
j3 + 12 := nltape  
( $\lfloor N + (t - \text{Suc } 0) * Z .. < N + (t - \text{Suc } 0) * Z + Z \rfloor @$   
(if previous hp1 t  $\neq$  t then  $\lfloor N + \text{previous hp1 } t * Z .. < N + \text{previous hp1 } t * Z + Z \rfloor$  else  $\lfloor \rfloor$ ) @  
 $\lfloor N + t * Z .. < N + t * Z + Z \rfloor$ ),  
j3 + 8 := ( $\lfloor \rfloor, 1$ )

**lemma** tm16 [transforms-intros]:

**assumes** ttt = ttt10 + 30 + 2 \* nlength (t - 1) + 26 \* (nlength t + nlength Z) ^ 2 +  
3 \* max (nlength N) (nlength (t \* Z)) + Suc Z \* (43 + 9 \* nlength (N + t \* Z + Z)) +  
4 \* nlength  $\lfloor N + t * Z .. < N + t * Z + Z \rfloor$   
**shows** transforms tm16 tps0 ttt tps16  
**unfolding** tm16-def **by** (tform tps: tps0 tps15-def tps16-def jk time: assms)

**definition** tps17  $\equiv$  tps0

[j3 + 11 := ( $\lfloor 0 \rfloor_N, 1$ ),  
j3 + 13 := ( $\lfloor \text{previous hp1 } t = t \rfloor_B, 1$ ),  
j3 + 6 := ( $\lfloor t \rfloor_N, 1$ ),  
j3 + 7 := ( $\lfloor N + t * Z \rfloor_N, 1$ ),  
j3 + 12 := nltape  
( $\lfloor N + (t - \text{Suc } 0) * Z .. < N + (t - \text{Suc } 0) * Z + Z \rfloor @$   
(if previous hp1 t  $\neq$  t then  $\lfloor N + \text{previous hp1 } t * Z .. < N + \text{previous hp1 } t * Z + Z \rfloor$  else  $\lfloor \rfloor$ ) @  
 $\lfloor N + t * Z .. < N + t * Z + Z \rfloor$ ),  
j3 + 8 := ( $\lfloor \rfloor, 1$ )

**lemma** tm17 [transforms-intros]:

**assumes** ttt = ttt10 + 40 + 2 \* nlength (t - 1) + 26 \* (nlength t + nlength Z) ^ 2 +  
3 \* max (nlength N) (nlength (t \* Z)) + Suc Z \* (43 + 9 \* nlength (N + t \* Z + Z)) +  
4 \* nlength  $\lfloor N + t * Z .. < N + t * Z + Z \rfloor$  + 2 \* nlength (previous hp1 t)  
**shows** transforms tm17 tps0 ttt tps17

**unfolding** *tm17-def* by (*tform tps: tps0 tps16-def tps17-def jk time: jk assms*)

**definition** *tps18*  $\equiv$  *tps0*

$[j3 + 11 := (\lfloor hp0 ! t \rfloor_N, 1),$   
 $j3 + 13 := (\lfloor previous\ hp1\ t = t \rfloor_B, 1),$   
 $j3 + 6 := (\lfloor t \rfloor_N, 1),$   
 $j3 + 7 := (\lfloor N + t * Z \rfloor_N, 1),$   
 $j3 + 12 := nltape$   
 $([N + (t - Suc\ 0) * Z..<N + (t - Suc\ 0) * Z + Z] @$   
 $(if\ previous\ hp1\ t \neq t\ then\ [N + previous\ hp1\ t * Z..<N + previous\ hp1\ t * Z + Z]\ else\ [])\ @$   
 $[N + t * Z..<N + t * Z + Z]),$   
 $j3 + 8 := (\lfloor [] \rfloor, 1)]$

**lemma** *tm18* [*transforms-intros*]:

**assumes**  $ttt = ttt10 + 66 + 2 * nlength\ (t - 1) + 26 * (nlength\ t + nlength\ Z) ^ 2 +$   
 $3 * max\ (nlength\ N)\ (nlength\ (t * Z)) + Suc\ Z * (43 + 9 * nlength\ (N + t * Z + Z)) +$   
 $4 * nllength\ [N + t * Z..<N + t * Z + Z] + 2 * nlength\ (previous\ hp1\ t) +$   
 $21 * (nllength\ hp0)^2$

**shows** *transforms tm18 tps0 ttt tps18*

**unfolding** *tm18-def*

**proof** (*tform tps: tps0 tps18-def tps17-def jk time: assms*)

**show**  $t < length\ hp0$

**using** *len-hp0 t* by *simp*

**qed**

**definition** *tps19*  $\equiv$  *tps0*

$[j3 + 11 := (\lfloor hp0 ! t \rfloor_N, 1),$   
 $j3 + 13 := (\lfloor previous\ hp1\ t = t \rfloor_B, 1),$   
 $j3 + 6 := (\lfloor t \rfloor_N, 1),$   
 $j3 + 7 := (\lfloor 0 \rfloor_N, 1),$   
 $j3 + 12 := nltape$   
 $([N + (t - Suc\ 0) * Z..<N + (t - Suc\ 0) * Z + Z] @$   
 $(if\ previous\ hp1\ t \neq t\ then\ [N + previous\ hp1\ t * Z..<N + previous\ hp1\ t * Z + Z]\ else\ [])\ @$   
 $[N + t * Z..<N + t * Z + Z]),$   
 $j3 + 8 := (\lfloor [] \rfloor, 1)]$

**lemma** *tm19* [*transforms-intros*]:

**assumes**  $ttt = ttt10 + 76 + 2 * nlength\ (t - 1) + 26 * (nlength\ t + nlength\ Z) ^ 2 +$   
 $3 * max\ (nlength\ N)\ (nlength\ (t * Z)) + Suc\ Z * (43 + 9 * nlength\ (N + t * Z + Z)) +$   
 $4 * nllength\ [N + t * Z..<N + t * Z + Z] + 2 * nlength\ (previous\ hp1\ t) +$   
 $21 * (nllength\ hp0)^2 + 2 * nlength\ (N + t * Z)$

**shows** *transforms tm19 tps0 ttt tps19*

**unfolding** *tm19-def*

**by** (*tform tps: tps0 tps18-def tps19-def jk time: assms*)

**definition** *tps20*  $\equiv$  *tps0*

$[j3 + 11 := (\lfloor hp0 ! t \rfloor_N, 1),$   
 $j3 + 13 := (\lfloor previous\ hp1\ t = t \rfloor_B, 1),$   
 $j3 + 6 := (\lfloor t \rfloor_N, 1),$   
 $j3 + 7 := (\lfloor hp0 ! t * G \rfloor_N, 1),$   
 $j3 + 12 := nltape$   
 $([N + (t - Suc\ 0) * Z..<N + (t - Suc\ 0) * Z + Z] @$   
 $(if\ previous\ hp1\ t \neq t\ then\ [N + previous\ hp1\ t * Z..<N + previous\ hp1\ t * Z + Z]\ else\ [])\ @$   
 $[N + t * Z..<N + t * Z + Z]),$   
 $j3 + 8 := (\lfloor [] \rfloor, 1)]$

**definition** *ttt20*  $\equiv$   $ttt10 + 80 + 2 * nlength\ (t - 1) + 26 * (nlength\ t + nlength\ Z) ^ 2 +$   
 $3 * max\ (nlength\ N)\ (nlength\ (t * Z)) + Suc\ Z * (43 + 9 * nlength\ (N + t * Z + Z)) +$   
 $4 * nllength\ [N + t * Z..<N + t * Z + Z] + 2 * nlength\ (previous\ hp1\ t) +$   
 $21 * (nllength\ hp0)^2 + 2 * nlength\ (N + t * Z) + 26 * (nlength\ (hp0 ! t) + nlength\ G) ^ 2$

**lemma** *tm20* [*transforms-intros*]: *transforms tm20 tps0 ttt20 tps20*

**unfolding** *tm20-def*

**proof** (tform tps: tps0 tps19-def tps20-def jk)  
**show** tps20 = tps19[j3 + 7 := ([hp0 ! t \* G]<sub>N</sub>, 1)]  
**unfolding** tps20-def tps19-def **by** (simp add: list-update-swap[of j3 + 7])  
**show** ttt20 = ttt10 + 76 + 2 \* nlength (t - 1) + 26 \* (nlength t + nlength Z)<sup>2</sup> +  
3 \* max (nlength N) (nlength (t \* Z)) + Suc Z \* (43 + 9 \* nlength (N + t \* Z + Z)) +  
4 \* nlength [N + t \* Z..<N + t \* Z + Z] + 2 \* nlength (previous hp1 t) +  
21 \* (nlength hp0)<sup>2</sup> + 2 \* nlength (N + t \* Z) +  
(4 + 26 \* (nlength (hp0 ! t) + nlength G) \* (nlength (hp0 ! t) + nlength G))  
**using** ttt20-def **by** simp (metis Groups.mult-ac(1) distrib-left power2-eq-square)  
**qed**

**definition** tps21 ≡ tps0  
[j3 + 11 := ([hp0 ! t]<sub>N</sub>, 1),  
j3 + 13 := ([previous hp1 t = t]<sub>B</sub>, 1),  
j3 + 6 := ([t]<sub>N</sub>, 1),  
j3 + 7 := ([hp0 ! t \* G]<sub>N</sub>, 1),  
j3 + 12 := nltape  
([N + (t - Suc 0) \* Z..<N + (t - Suc 0) \* Z + Z] @  
(if previous hp1 t ≠ t then [N + previous hp1 t \* Z..<N + previous hp1 t \* Z + Z] else [])] @  
[N + t \* Z..<N + t \* Z + Z]),  
j3 + 8 := ([hp0 ! t \* G..<hp0 ! t \* G + G]<sub>NL</sub>, 1)]

**lemma** tm21 [transforms-intros]:  
**assumes** ttt = ttt20 + Suc G \* (43 + 9 \* nlength (hp0 ! t \* G + G))  
**shows** transforms tm21 tps0 ttt tps21  
**unfolding** tm21-def  
**proof** (tform tps: tps0 tps20-def tps21-def jk time: assms)  
**show** tps20 ! (j3 + 8) = ([[]]<sub>NL</sub>, 1)  
**using** tps20-def jk nlcontents-Nil tps0 **by** simp  
**show** tps20 ! (j3 + 8 + 1) = ([0]<sub>N</sub>, 1)  
**using** tps20-def jk canrepr-0 tps0-sym **by** simp  
**show** tps20 ! (j3 + 8 + 2) = ([0]<sub>N</sub>, 1)  
**using** tps20-def jk canrepr-0 tps0-sym **by** simp  
**qed**

**abbreviation** σ ≡  
[N + (t - 1) \* Z..<N + (t - 1) \* Z + Z] @  
(if previous hp1 t ≠ t then [N + previous hp1 t \* Z..<N + previous hp1 t \* Z + Z] else []) @  
[N + t \* Z..<N + t \* Z + Z] @  
[hp0 ! t \* G..<hp0 ! t \* G + G]

**definition** tps22 ≡ tps0  
[j3 + 11 := ([hp0 ! t]<sub>N</sub>, 1),  
j3 + 13 := ([previous hp1 t = t]<sub>B</sub>, 1),  
j3 + 6 := ([t]<sub>N</sub>, 1),  
j3 + 7 := ([hp0 ! t \* G]<sub>N</sub>, 1),  
j3 + 12 := nltape σ,  
j3 + 8 := ([[]], 1)]

**lemma** tm22 [transforms-intros]:  
**assumes** ttt = ttt20 + 11 + Suc G \* (43 + 9 \* nlength (hp0 ! t \* G + G)) +  
4 \* nlength [hp0 ! t \* G..<hp0 ! t \* G + G]  
**shows** transforms tm22 tps0 ttt tps22  
**unfolding** tm22-def **by** (tform tps: tps0 tps21-def tps22-def jk time: assms)

**definition** tps23 ≡ tps0  
[j3 + 11 := ([hp0 ! t]<sub>N</sub>, 1),  
j3 + 13 := ([previous hp1 t = t]<sub>B</sub>, 1),  
j3 + 6 := ([t]<sub>N</sub>, 1),  
j3 + 7 := ([0]<sub>N</sub>, 1),  
j3 + 12 := nltape σ,  
j3 + 8 := ([[]], 1)]

**lemma** *tm23* [*transforms-intros*]:  
**assumes**  $t_{tt} = t_{tt20} + 21 + \text{Suc } G * (43 + 9 * \text{nlength } (hp0 ! t * G + G)) +$   
 $4 * \text{nlength } [hp0 ! t * G..<hp0 ! t * G + G] + 2 * \text{nlength } (hp0 ! t * G)$   
**shows** *transforms tm23 tps0 ttt tps23*  
**unfolding** *tm23-def* **by** (*tform tps: tps0 tps22-def tps23-def jk time: assms*)

**definition** *tps24*  $\equiv$  *tps0*  
 $[j3 + 11 := ([\square], 1),$   
 $j3 + 13 := (\lfloor \text{previous } hp1 \ t = t \rfloor_B, 1),$   
 $j3 + 6 := (\lfloor t \rfloor_N, 1),$   
 $j3 + 7 := (\lfloor 0 \rfloor_N, 1),$   
 $j3 + 12 := \text{nltape } \sigma,$   
 $j3 + 8 := ([\square], 1)]$

**lemma** *tm24* [*transforms-intros*]:  
**assumes**  $t_{tt} = t_{tt20} + 28 + \text{Suc } G * (43 + 9 * \text{nlength } (hp0 ! t * G + G)) +$   
 $4 * \text{nlength } [hp0 ! t * G..<hp0 ! t * G + G] + 2 * \text{nlength } (hp0 ! t * G) +$   
 $2 * \text{nlength } (hp0 ! t)$   
**shows** *transforms tm24 tps0 ttt tps24*  
**unfolding** *tm24-def*

**proof** (*tform tps: tps0 tps23-def tps24-def jk assms*)  
**show** *proper-symbols (canrepr (hp0 ! t))*  
**using** *proper-symbols-canrepr* **by** *simp*  
**qed**

**definition** *tps25*  $\equiv$  *tps0*  
 $[j3 + 11 := ([\square], 1),$   
 $j3 + 13 := (\lfloor \text{previous } hp1 \ t = t \rfloor_B, 1),$   
 $j3 + 6 := (\lfloor t \rfloor_N, 1),$   
 $j3 + 7 := (\lfloor 0 \rfloor_N, 1),$   
 $j3 + 12 := (\lfloor \sigma \rfloor_{NL}, 1),$   
 $j3 + 8 := ([\square], 1)]$

**lemma** *tm25* [*transforms-intros*]:  
**assumes**  $t_{tt} = t_{tt20} + 31 + \text{Suc } G * (43 + 9 * \text{nlength } (hp0 ! t * G + G)) +$   
 $4 * \text{nlength } [hp0 ! t * G..<hp0 ! t * G + G] + 2 * \text{nlength } (hp0 ! t * G) +$   
 $2 * \text{nlength } (hp0 ! t) + \text{nlength } \sigma$   
**shows** *transforms tm25 tps0 ttt tps25*  
**unfolding** *tm25-def*

**proof** (*tform tps: tps0 tps24-def tps25-def jk assms*)  
**have**  $*$ :  $tps24 ! (j3 + 12) = \text{nltape } \sigma$   
**using** *tps24-def jk* **by** *simp*  
**then show** *clean-tape (tps24 ! (j3 + 12))*  
**using** *clean-tape-nlcontents* **by** *simp*  
**have**  $tps25 = tps24[j3 + 12 := \text{nltape } \sigma \mid \# = \mid 1]$   
**unfolding** *tps25-def tps24-def* **by** (*simp add: list-update-swap*)  
**then show**  $tps25 = tps24[j3 + 12 := tps24 ! (j3 + 12) \mid \# = \mid 1]$   
**using**  $*$  **by** *simp*  
**qed**

**definition** *tpsE1*  $\equiv$  *tps0*  
 $[j3 + 11 := ([\square], 1),$   
 $j3 + 13 := ([\square], 1),$   
 $j3 + 6 := (\lfloor t \rfloor_N, 1),$   
 $j3 + 7 := (\lfloor 0 \rfloor_N, 1),$   
 $j3 + 12 := (\lfloor \sigma \rfloor_{NL}, 1),$   
 $j3 + 8 := ([\square], 1)]$

**lemma** *tmE1*:  
**assumes**  $t_{tt} = 7 + 2 * \text{nlength } (\text{if previous } hp1 \ t = t \text{ then } 1 \text{ else } 0)$   
**shows** *transforms tmE1 tps25 ttt tpsE1*  
**unfolding** *tmE1-def*  
**proof** (*tform tps: tps0 tps25-def tpsE1-def jk assms*)

show proper-symbols (canrepr (if previous hp1 t = t then 1 else 0))  
 using proper-symbols-canrepr by simp  
 qed

lemma tmE1' [transforms-intros]:  
 assumes ttt = 9  
 shows transforms tmE1 tps25 ttt tpsE1  
 using tmE1 assms transforms-monotone by (simp add: nlength-le-n)

definition tpsE2  $\equiv$  tps0  
 [j3 + 11 := nlltape' (formula-n (relabel  $\sigma$   $\psi'$ )) 0,  
 j3 + 13 := ([[]], 1),  
 j3 + 6 := ([t]<sub>N</sub>, 1),  
 j3 + 7 := ([0]<sub>N</sub>, 1),  
 j3 + 12 := ([ $\sigma$ ]<sub>NL</sub>, 1),  
 j3 + 8 := ([[]], 1)]

lemma tmE2 [transforms-intros]:  
 assumes ttt = 16 + 108 \* (nlllength (formula-n  $\psi'$ ))<sup>2</sup> \* (3 + (nlength  $\sigma$ )<sup>2</sup>)  
 and previous hp1 t = t  
 shows transforms tmE2 tps25 ttt tpsE2  
 unfolding tmE2-def

proof (tform tps: tps0 tpsE1-def tpsE2-def jk assms time: assms(1))  
 let ?sigma = [N + (t - Suc 0) \* Z..<sub>N</sub> + (t - Suc 0) \* Z + Z] @  
 [N + t \* Z..<sub>N</sub> + t \* Z + Z] @ [hp0 ! t \* G..<sub>hp0</sub> ! t \* G + G]  
 show variables  $\psi' \subseteq \{..<sub>length</sub> ?sigma\}$   
 using assms(2) psi'(t) by auto  
 show tpsE1 ! (j3 + 11) = ([[]]<sub>NLL</sub>, 1)  
 using tpsE1-def jk nllcontents-Nil by simp  
 show tpsE1 ! (j3 + 11 + 1) = ([?sigma]<sub>NL</sub>, 1)  
 using assms(2) tpsE1-def jk by (simp add: add commute[of j3 12])  
 show tpsE1 ! (j3 + 11 + 2) = ([[]]<sub>NL</sub>, 1)  
 using tpsE1-def jk nlcontents-Nil by (simp add: add commute[of j3 13])  
 show tpsE1 ! (j3 + 11 + 3) = ([[]]<sub>NL</sub>, 1)  
 using tpsE1-def jk tps0-sym nlcontents-Nil by simp  
 show tpsE1 ! (j3 + 11 + 4) = ([0]<sub>N</sub>, 1)  
 using tpsE1-def jk tps0-sym canrepr-0 by simp  
 show tpsE1 ! (j3 + 11 + 5) = ([0]<sub>N</sub>, 1)  
 using tpsE1-def jk tps0-sym canrepr-0 by simp  
 show tpsE2 = tpsE1[j3 + 11 := nlltape' (formula-n (relabel ?sigma  $\psi'$ )) 0]  
 unfolding tpsE2-def tpsE1-def using assms(2) by (simp add: list-update-swap[of j3+11])  
 qed

definition tpsTT1  $\equiv$  tps0  
 [j3 + 11 := nlltape' (formula-n (relabel  $\sigma$   $\psi$ )) 0,  
 j3 + 13 := ([[]], 1),  
 j3 + 6 := ([t]<sub>N</sub>, 1),  
 j3 + 7 := ([0]<sub>N</sub>, 1),  
 j3 + 12 := ([ $\sigma$ ]<sub>NL</sub>, 1),  
 j3 + 8 := ([[]], 1)]

lemma tmTT1 [transforms-intros]:  
 assumes ttt = 7 + 108 \* (nlllength (formula-n  $\psi$ ))<sup>2</sup> \* (3 + (nlength  $\sigma$ )<sup>2</sup>)  
 and previous hp1 t  $\neq$  t  
 shows transforms tmTT1 tps25 ttt tpsTT1  
 unfolding tmTT1-def

proof (tform tps: tps0 tps25-def tpsTT1-def jk time: assms(1))  
 let ?sigma = [N + (t - Suc 0) \* Z..<sub>N</sub> + (t - Suc 0) \* Z + Z] @  
 (if previous hp1 t  $\neq$  t  
 then [N + previous hp1 t \* Z..<sub>N</sub> + previous hp1 t \* Z + Z]  
 else []) @  
 [N + t \* Z..<sub>N</sub> + t \* Z + Z] @ [hp0 ! t \* G..<sub>hp0</sub> ! t \* G + G]  
 show variables  $\psi \subseteq \{..<sub>length</sub> ?sigma\}$



```

    using assms(2) psi(1) by auto
  show tps25 ! (j3 + 11) = ([[]]NLL, 1)
    using tps25-def jk nlcontents-Nil by simp
  show tps25 ! (j3 + 11 + 1) = ([?sigma]NL, 1)
    using tps25-def jk by (simp add: add.commute[of j3 12])
  show tps25 ! (j3 + 11 + 2) = ([[]]NL, 1)
    using tps25-def jk canrepr-0 nlcontents-Nil assms(2) by (simp add: add.commute[of j3 13])
  show tps25 ! (j3 + 11 + 3) = ([[]]NL, 1)
    using tps25-def jk tps0-sym nlcontents-Nil by simp
  show tps25 ! (j3 + 11 + 4) = ([0]N, 1)
    using tps25-def jk tps0-sym canrepr-0 by simp
  show tps25 ! (j3 + 11 + 5) = ([0]N, 1)
    using tps25-def jk tps0-sym canrepr-0 by simp
  show tpsTT1 = tps25[j3 + 11 := nlltape' (formula-n (relabel ?sigma psi)) 0]
    using tpsTT1-def tps25-def assms(2) canrepr-0 by (simp add: list-update-swap[of j3+11])
qed

```

**definition** *tps26*  $\equiv$  *tps0*

```

[j3 + 11 := nlltape' (formula-n (relabel  $\sigma$  (if previous hp1 t = t then psi' else psi)) 0],
j3 + 13 := ([[]], 1),
j3 + 6 := ([t]N, 1),
j3 + 7 := ([0]N, 1),
j3 + 12 := ([ $\sigma$ ]NL, 1),
j3 + 8 := ([[]], 1)

```

**lemma** *nllength-psi*:  $nllength$  (*formula-n*  $\psi$ )  $\leq 24 * Z \wedge 2 * 2 \wedge (4 * Z)$

**proof** –

```

let ?V = 3 * Z + G
have  $\bigwedge v. v \in \text{variables } \psi \implies v \leq ?V$ 
  using psi(1) by auto
then have  $nllength$  (formula-n  $\psi$ )  $\leq fsize$   $\psi * Suc$  (Suc (nlength ?V)) + length  $\psi$ 
  using nllength-formula-n by simp
also have ...  $\leq fsize$   $\psi * Suc$  (Suc (nlength ?V)) +  $2 \wedge ?V$ 
  using psi by simp
also have ...  $\leq ?V * 2 \wedge ?V * Suc$  (Suc (nlength ?V)) +  $2 \wedge ?V$ 
  using psi(2) by (metis add-le-mono1 mult.commute mult-le-mono2)
also have ...  $\leq 4 * Z * 2 \wedge ?V * Suc$  (Suc (nlength ?V)) +  $2 \wedge ?V$ 
  using Z by simp
also have ...  $\leq 4 * Z * 2 \wedge (4 * Z) * Suc$  (Suc (nlength ?V)) +  $2 \wedge ?V$ 
proof –
  have ?V  $\leq 4 * Z$ 
    using Z by simp
  then have  $(2::nat) \wedge ?V \leq 2 \wedge (4 * Z)$ 
    by simp
  then show ?thesis
    using add-le-mono le-refl mult-le-mono by presburger

```

**qed**

```

also have ...  $\leq 4 * Z * (2::nat) \wedge (4 * Z) * Suc$  (Suc (nlength (4 * Z))) +  $2 \wedge ?V$ 
  using Z nlength-mono by simp
also have ...  $\leq 4 * Z * (2::nat) \wedge (4 * Z) * Suc$  (Suc (4 * Z)) +  $2 \wedge ?V$ 
  using nlength-le-n by simp
also have ...  $\leq 4 * Z * 2 \wedge (4 * Z) * Suc$  (Suc (4 * Z)) +  $2 \wedge (4 * Z)$ 
  using Z by simp
also have ...  $\leq 4 * Z * 2 \wedge (4 * Z) * (5 * Z) + 2 \wedge (4 * Z)$ 
  using Z G by simp
also have ...  $\leq 4 * Z * 2 \wedge (4 * Z) * (6 * Z)$ 
  using Z G by simp
also have ... =  $24 * Z \wedge 2 * 2 \wedge (4 * Z)$ 
  by algebra

```

**finally** show ?thesis .

**qed**

**lemma** *nllength-psi'*:  $nllength$  (*formula-n*  $\psi'$ )  $\leq 24 * Z \wedge 2 * 2 \wedge (4 * Z)$

**proof** –

let  $?V = 2 * Z + G$   
 have  $\bigwedge v. v \in \text{variables } \psi' \implies v \leq ?V$   
 using  $\text{psi}'(1)$  by *auto*  
 then have  $\text{nllength } (\text{formula-}n \ \psi') \leq \text{fsize } \psi' * \text{Suc } (\text{Suc } (\text{nlength } ?V)) + \text{length } \psi'$   
 using  $\text{nllength-formula-}n$  by *simp*  
 also have  $\dots \leq \text{fsize } \psi' * \text{Suc } (\text{Suc } (\text{nlength } ?V)) + 2 \wedge ?V$   
 using  $\text{psi}'$  by *simp*  
 also have  $\dots \leq ?V * 2 \wedge ?V * \text{Suc } (\text{Suc } (\text{nlength } ?V)) + 2 \wedge ?V$   
 using  $\text{psi}'(2)$  by (*metis add-le-mono1 mult.commute mult-le-mono2*)  
 also have  $\dots \leq 4 * Z * 2 \wedge ?V * \text{Suc } (\text{Suc } (\text{nlength } ?V)) + 2 \wedge ?V$   
 using  $Z$  by *simp*  
 also have  $\dots \leq 4 * Z * 2 \wedge (4 * Z) * \text{Suc } (\text{Suc } (\text{nlength } ?V)) + 2 \wedge ?V$

**proof** –

have  $?V \leq 4 * Z$   
 using  $Z$  by *simp*  
 then have  $(2::\text{nat}) \wedge ?V \leq 2 \wedge (4 * Z)$   
 by *simp*  
 then show  $?thesis$   
 using *add-le-mono le-refl mult-le-mono* by *presburger*

**qed**

also have  $\dots \leq 4 * Z * (2::\text{nat}) \wedge (4 * Z) * \text{Suc } (\text{Suc } (\text{nlength } (4 * Z))) + 2 \wedge ?V$   
 using  $Z$  *nlength-mono* by *simp*  
 also have  $\dots \leq 4 * Z * (2::\text{nat}) \wedge (4 * Z) * \text{Suc } (\text{Suc } (4 * Z)) + 2 \wedge ?V$   
 using *nlength-le-n* by *simp*  
 also have  $\dots \leq 4 * Z * 2 \wedge (4 * Z) * \text{Suc } (\text{Suc } (4 * Z)) + 2 \wedge (4 * Z)$   
 using  $Z$  by *simp*  
 also have  $\dots \leq 4 * Z * 2 \wedge (4 * Z) * (5 * Z) + 2 \wedge (4 * Z)$   
 using  $Z$   $G$  by *simp*  
 also have  $\dots \leq 4 * Z * 2 \wedge (4 * Z) * (6 * Z)$   
 using  $Z$   $G$  by *simp*  
 also have  $\dots = 24 * Z \wedge 2 * 2 \wedge (4 * Z)$   
 by *algebra*  
 finally show  $?thesis$  .

**qed**

**lemma** *tm2526*:

assumes  $\text{t}tt = 17 + 108 * (24 * Z \wedge 2 * 2 \wedge (4 * Z))^2 * (3 + (\text{nlength } \sigma)^2)$   
 shows *transforms tm2526 tps25 ttt tps26*  
 unfolding *tm2526-def*

**proof** (*tform*)

have  $*$ :  $\text{tps25} ! (j3 + 13) = (\lfloor \text{previous hp1 } t = t \rfloor_B, 1)$   
 using *tps25-def jk* by *simp*  
 then have  $**$ :  $(\text{previous hp1 } t \neq t) = (\text{read tps25} ! (j3 + 13) = \square)$   
 using *jk tps25-def read-ncontents-eq-0* by *force*  
 show  $\text{read tps25} ! (j3 + 13) = \square \implies \text{previous hp1 } t \neq t$   
 using  $**$  by *simp*  
 show  $\text{read tps25} ! (j3 + 13) \neq \square \implies \text{previous hp1 } t = t$   
 using  $**$  by *simp*  
 show  $\text{read tps25} ! (j3 + 13) = \square \implies \text{tps26} = \text{tpsTT1}$   
 using *tps26-def tpsTT1-def \*\** by *presburger*  
 show  $\text{read tps25} ! (j3 + 13) \neq \square \implies \text{tps26} = \text{tpsE2}$   
 using *tps26-def tpsE2-def \*\** by *presburger*

let  $?tT = 7 + 108 * (\text{nllength } (\text{formula-}n \ \psi))^2 * (3 + (\text{nlength } \sigma)^2)$   
 let  $?tF = 16 + 108 * (\text{nllength } (\text{formula-}n \ \psi'))^2 * (3 + (\text{nlength } \sigma)^2)$   
 have  $?tT + 2 \leq 9 + 108 * (24 * Z \wedge 2 * 2 \wedge (4 * Z))^2 * (3 + (\text{nlength } \sigma)^2)$   
 using *nllength-psi linear-le-pow* by *simp*  
 also have  $\dots \leq \text{t}tt$   
 using *assms* by *simp*  
 finally show  $?tT + 2 \leq \text{t}tt$  .  
 show  $?tF + 1 \leq \text{t}tt$   
 using *nllength-psi' assms linear-le-pow* by *simp*

qed

lemma *nlength-σ*:  $nlength\ \sigma \leq 12 * T' * Z^2 + 4 * Z * N$

proof –

have  $n \leq N + T' * Z + Z$  if  $n \in set\ \sigma$  for  $n$

proof –

consider

$n \in set\ [N + (t - 1) * Z..<N + (t - 1) * Z + Z]$

|  $n \in set\ [N + previous\ hp1\ t * Z..<N + previous\ hp1\ t * Z + Z]$

|  $n \in set\ [N + t * Z..<N + t * Z + Z]$

|  $n \in set\ [hp0\ !\ t * G..<hp0\ !\ t * G + G]$

using  $\langle n \in set\ \sigma \rangle$  by *auto*

then show *?thesis*

proof (*cases*)

case 1

then have  $n \leq N + (t - 1) * Z + Z$

by *simp*

also have  $\dots \leq N + T' * Z + Z$

using *t(2)* by *auto*

finally show *?thesis*

by *simp*

next

case 2

then have  $n \leq N + (previous\ hp1\ t) * Z + Z$

by *simp*

also have  $\dots \leq N + t * Z + Z$

by (*simp add: previous-hp1-le*)

also have  $\dots \leq N + T' * Z + Z$

using *t(2)* by *simp*

finally show *?thesis*

by *simp*

next

case 3

then have  $n \leq N + t * Z + Z$

by *simp*

also have  $\dots \leq N + T' * Z + Z$

using *t(2)* by *auto*

finally show *?thesis*

by *simp*

next

case 4

then have  $n \leq N + (hp0\ !\ t) * G + G$

by *simp*

also have  $\dots \leq N + T' * G + G$

using *t len-hp0 hp0* by *simp*

also have  $\dots \leq N + T' * Z + Z$

using *Z* by *simp*

finally show *?thesis*

by *simp*

qed

qed

then have  $nlength\ \sigma \leq Suc\ (N + T' * Z + Z) * (length\ \sigma)$

using *nlength-le*[of  $\sigma\ N + T' * Z + Z$ ] by *simp*

also have  $\dots \leq Suc\ (N + T' * Z + Z) * (3 * Z + G)$

proof –

have  $length\ \sigma \leq 3 * Z + G$

by *simp*

then show *?thesis*

using *mult-le-mono2* by *presburger*

qed

also have  $\dots \leq Suc\ (N + T' * Z + Z) * (3 * Z + Z)$

using *Z* by *simp*

also have  $\dots = 4 * Z * Suc\ (N + T' * Z + Z)$

by *simp*  
 also have ... =  $4 * Z + 4 * Z * (N + T' * Z + Z)$   
 by *simp*  
 also have ... =  $4 * Z + 4 * Z * N + 4 * T' * Z^2 + 4 * Z^2$   
 by *algebra*  
 also have ...  $\leq 4 * Z^2 + 4 * Z * N + 4 * T' * Z^2 + 4 * Z^2$   
 using *linear-le-pow* by *simp*  
 also have ... =  $8 * Z^2 + 4 * Z * N + 4 * T' * Z^2$   
 by *simp*  
 also have ...  $\leq 8 * T' * Z^2 + 4 * Z * N + 4 * T' * Z^2$   
 using *t* by *simp*  
 also have ... =  $12 * T' * Z^2 + 4 * Z * N$   
 by *simp*  
 finally show *?thesis* .  
 qed

**lemma** *tm2526'* [*transforms-intros*]:  
 assumes  $ttt = 17 + 108 * (24 * Z^2 * 2^{(4*Z)})^2 * (3 + (12 * T' * Z^2 + 4 * Z * N)^2)$   
 shows *transforms tm2526 tps25 ttt tps26*  
**proof** –  
 have  $17 + 108 * (24 * Z^2 * 2^{(4*Z)})^2 * (3 + (nlength \sigma)^2) \leq ttt$   
 using *assms nlength- $\sigma$*  by *simp*  
 then show *?thesis*  
 using *tm2526 transforms-monotone* by *simp*  
 qed

**lemma** *tm26* [*transforms-intros*]:  
 assumes  $ttt = ttt20 + 31 + Suc\ G * (43 + 9 * nlength\ (hp0 ! t * G + G)) +$   
 $4 * nlength\ [hp0 ! t * G..<hp0 ! t * G + G] + 2 * nlength\ (hp0 ! t * G) +$   
 $2 * nlength\ (hp0 ! t) + nlength\ \sigma +$   
 $17 + 108 * (24 * Z^2 * 2^{(4*Z)})^2 * (3 + (12 * T' * Z^2 + 4 * Z * N)^2)$   
 shows *transforms tm26 tps0 ttt tps26*  
**unfolding** *tm26-def* by (*tform tps: assms*)

**definition** *tps27*  $\equiv$  *tps0*  
 $[j3 + 11 := nlltape' (formula-n (relabel\ \sigma (if\ previous\ hp1\ t = t\ then\ \psi'\ else\ \psi)))\ 0,$   
 $j3 + 13 := ([\ ], 1),$   
 $j3 + 6 := ([t]_N, 1),$   
 $j3 + 7 := ([0]_N, 1),$   
 $j3 + 12 := ([\ ], 1),$   
 $j3 + 8 := ([\ ], 1)]$

**lemma** *tm27*:  
 assumes  $ttt = ttt20 + 38 + Suc\ G * (43 + 9 * nlength\ (hp0 ! t * G + G)) +$   
 $4 * nlength\ [hp0 ! t * G..<hp0 ! t * G + G] + 2 * nlength\ (hp0 ! t * G) +$   
 $2 * nlength\ (hp0 ! t) + 3 * nlength\ \sigma +$   
 $17 + 108 * (24 * Z^2 * 2^{(4*Z)})^2 * (3 + (12 * T' * Z^2 + 4 * Z * N)^2)$   
 shows *transforms tm27 tps0 ttt tps27*  
**unfolding** *tm27-def*  
**proof** (*tform tps: tps0 tps26-def tps27-def jk*)  
 let *?zs* = *numlist*  $\sigma$   
 show *tps26*  $::: (j3 + 12) = [?zs]$   
 using *tps26-def jk nlcontents-def* by *simp*  
 show *proper-symbols ?zs*  
 using *proper-symbols-numlist* by *simp*  
 show  $ttt = ttt20 + 31 + Suc\ G * (43 + 9 * nlength\ (hp0 ! t * G + G)) +$   
 $4 * nlength\ [hp0 ! t * G..<hp0 ! t * G + G] + 2 * nlength\ (hp0 ! t * G) +$   
 $2 * nlength\ (hp0 ! t) + nlength\ \sigma +$   
 $17 + 108 * (24 * Z^2 * 2^{(4 * Z)})^2 * (3 + (12 * T' * Z^2 + 4 * Z * N)^2) +$   
 $(tps26 :# (j3 + 12) + 2 * length\ (numlist\ \sigma) + 6)$   
 using *tps26-def jk nlength-def assms* by *simp*  
 qed

**definition**  $tps27' \equiv tps0$   
 $[j3 + 11 := nlltape' (formula-n (relabel \sigma (if\ previous\ hp1\ t = t\ then\ \psi' \ else\ \psi)))\ 0]$

**lemma**  $tps27'$ :  $tps27 = tps27'$

**proof** –

**have** 1:  $tps0[j3 + 13 := ([\ ], Suc\ 0)] = tps0$   
**using**  $list-update-id[of\ tps0\ j3+13]\ jk\ tps0$  **by**  $simp$   
**have** 2:  $tps0[j3 + 12 := ([\ ], Suc\ 0)] = tps0$   
**using**  $list-update-id[of\ tps0\ j3+12]\ jk\ tps0$  **by**  $simp$   
**have** 3:  $tps0[j3 + 8 := ([\ ], Suc\ 0)] = tps0$   
**using**  $list-update-id[of\ tps0\ j3+8]\ jk\ tps0$  **by**  $simp$   
**have** 4:  $tps0[j3 + 7 := ([0]_N, Suc\ 0)] = tps0$   
**using**  $list-update-id[of\ tps0\ j3+7]\ canrepr-0\ jk\ tps0$  **by**  $simp$   
**have** 5:  $tps0[j3 + 6 := ([t]_N, Suc\ 0)] = tps0$   
**using**  $list-update-id[of\ tps0\ j3+6]\ jk\ tps0$  **by**  $simp$   
**show**  $?thesis$   
**unfolding**  $tps27-def\ tps27'-def$   
**using**  $tps0$   
**by** ( $simp\ split\ del:$   $if-split\ add:$   
 $list-update-swap[of\ -\ j3 + 13]\ 1$   
 $list-update-swap[of\ -\ j3 + 12]\ 2$   
 $list-update-swap[of\ -\ j3 + 8]\ 3$   
 $list-update-swap[of\ -\ j3 + 7]\ 4$   
 $list-update-swap[of\ -\ j3 + 6]\ 5$ )

**qed**

**definition**  $tnt27 = tnt20 + 38 + Suc\ G * (43 + 9 * nlength\ (hp0 ! t * G + G)) +$   
 $4 * nlength\ [hp0 ! t * G..<hp0 ! t * G + G] + 2 * nlength\ (hp0 ! t * G) +$   
 $2 * nlength\ (hp0 ! t) + 3 * nlength\ \sigma +$   
 $17 + 108 * (24 * Z^2 * 2^{(4*Z)})^2 * (3 + (12 * T' * Z^2 + 4 * Z * N)^2)$

**lemma**  $tm27'$  [ $transforms-intros$ ]:  $transforms\ tm27\ tps0\ tnt27\ tps27'$   
**using**  $tnt27-def\ tm27\ nlength-\sigma\ tps27'\ transforms-monotone$  **by**  $simp$

**definition**  $tps28 \equiv tps0$

$[1 := nlltape\ (nss\ @\ formula-n\ (relabel\ \sigma\ (if\ previous\ hp1\ t = t\ then\ \psi' \ else\ \psi))),$   
 $j3 + 11 := ([\ ], 1)]$

**lemma**  $tm28$ :

**assumes**  $tnt = tnt27 + (11 + 4 * nlength\ (formula-n\ (relabel\ \sigma\ (if\ previous\ hp1\ t = t\ then\ \psi' \ else\ \psi))))$   
**shows**  $transforms\ tm28\ tps0\ tnt\ tps28$   
**unfolding**  $tm28-def$  **by** ( $tform\ tps:$   $tps0(1)\ tps0\ tps27'-def\ tps28-def\ jk\ time:$   $tnt27-def\ assms$ )

**lemma**  $nlllength-relabel-chi-t$ :

$nlllength\ (formula-n\ (relabel\ \sigma\ (if\ previous\ hp1\ t = t\ then\ \psi' \ else\ \psi))) \leq$   
 $Suc\ (nlllength\ \sigma) * 24 * Z^2 * 2^{(4*Z)}$   
(is  $nlllength\ (formula-n\ (relabel\ \sigma\ ?phi)) \leq -$ )

**proof** –

**have**  $variables\ ?phi \subseteq \{..<length\ \sigma\}$

**proof** ( $cases\ previous\ hp1\ t = t$ )

**case**  $True$

**then show**  $?thesis$

**using**  $psi'(1)$  **by**  $auto$

**next**

**case**  $False$

**then show**  $?thesis$

**using**  $psi(1)$  **by**  $auto$

**qed**

**then have**  $nlllength\ (formula-n\ (relabel\ \sigma\ ?phi)) \leq Suc\ (nlllength\ \sigma) * nlllength\ (formula-n\ ?phi)$

**using**  $nlllength-relabel-variables$  **by**  $simp$

**moreover have**  $nlllength\ (formula-n\ ?phi) \leq 24 * Z^2 * 2^{(4*Z)}$

**using**  $nlllength-psi\ nlllength-psi'$  **by** ( $cases\ previous\ hp1\ t = t$ )  $simp-all$

**ultimately have**  $nlllength\ (formula-n\ (relabel\ \sigma\ ?phi)) \leq Suc\ (nlllength\ \sigma) * (24 * Z^2 * 2^{(4*Z)})$

by (meson le-trans mult-le-mono2)  
then show ?thesis  
by linarith  
qed

**definition** tps28'  $\equiv$  tps0

[1 := nlltape (nss @ formula-n (relabel  $\sigma$  (if previous hp1  $t = t$  then  $\psi'$  else  $\psi$ )))]

**lemma** tps28': tps28' = tps28

**unfolding** tps28'-def tps28-def **using** tps0 list-update-id[of tps0 j3+11]  
**by** (simp add: list-update-swap[of - j3 + 11])

**lemma** tm28' [transforms-intros]:

**assumes** ttt = ttt27 + (11 + 4 \* (Suc (nllength  $\sigma$ ) \* 24 \* Z ^ 2 \* 2 ^ (4\*Z)))  
**shows** transforms tm28 tps0 ttt tps28'  
**using** assms tm28 tps28' nllength-relabel-chi-t transforms-monotone **by** simp

**definition** tps29  $\equiv$  tps0

[1 := nlltape (nss @ formula-n (relabel  $\sigma$  (if previous hp1  $t = t$  then  $\psi'$  else  $\psi$ ))),  
j3 + 6 := ([Suc t]<sub>N</sub>, 1)]

**lemma** tm29 [transforms-intros]:

**assumes** ttt = ttt27 + 16 + 4 \* (Suc (nllength  $\sigma$ ) \* 24 \* Z ^ 2 \* 2 ^ (4\*Z)) + 2 \* nlength t  
**shows** transforms tm29 tps0 ttt tps29  
**unfolding** tm29-def **by** (tform tps: assms tps0 tps28'-def tps29-def jk)

**definition** tps30  $\equiv$  tps0

[1 := nlltape (nss @ formula-n (relabel  $\sigma$  (if previous hp1  $t = t$  then  $\psi'$  else  $\psi$ ))),  
j3 + 6 := ([Suc t]<sub>N</sub>, 1),  
j3 + 3 := ([T - 1]<sub>N</sub>, 1)]

**lemma** tm30:

**assumes** ttt = ttt27 + 24 + 4 \* (Suc (nllength  $\sigma$ ) \* 24 \* Z ^ 2 \* 2 ^ (4\*Z)) + 2 \* nlength t + 2 \* nlength T  
**shows** transforms tm30 tps0 ttt tps30  
**unfolding** tm30-def **by** (tform tps: assms tps0 tps29-def tps30-def jk)

Some helpers for bounding the running time:

**lemma** pow4-le-2pow4:  $z^4 \leq 2^{(4*z)}$  for  $z :: nat$

**proof** –

**have**  $z^4 = (z^2)^2$   
**by** simp  
**also have**  $\dots \leq (2^{(2*z)})^2$   
**using** pow2-le-2pow2 power-mono **by** blast  
**also have**  $\dots = 2^{(4*z)}$   
**by** (metis mult.commute mult-2-right numeral-Bit0 power-mult)  
**finally show** ?thesis .

qed

**lemma** pow8-le-2pow8:  $z^8 \leq 2^{(8*z)}$  for  $z :: nat$

**proof** –

**have**  $z^8 = (z^2)^4$   
**by** simp  
**also have**  $\dots \leq (2^{(2*z)})^4$   
**using** pow2-le-2pow2 power-mono **by** blast  
**also have**  $\dots = 2^{(8*z)}$   
**by** (metis mult.commute mult-2-right numeral-Bit0 power-mult)  
**finally show** ?thesis .

qed

**lemma** Z-sq-le:  $Z^2 \leq 2^{(16*Z)}$

**proof** –

**have**  $Z^2 \leq 2^{(2*Z)}$   
**using** pow2-le-2pow2[of Z] **by** simp

also have ...  $\leq 2^{(16*Z)}$   
 by *simp*  
 finally show  $Z^2 \leq 2^{(16*Z)}$  .  
 qed

lemma *time-bound*:

$t \leq 27 + 24 + 4 * (Suc (nlength \sigma) * 24 * Z^2 * 2^{(4*Z)}) + 2 * nlength t + 2 * nlength T \leq 16114765 * 2^{(16*Z)} * N^6$

proof -

have *sum-sq*:  $(a + b)^2 \leq Suc (2 * b) * a^2 + b^2$  for  $a b :: nat$

proof -

have  $(a + b)^2 = a^2 + 2 * a * b + b^2$

by *algebra*

also have ...  $\leq a^2 + 2 * a^2 * b + b^2$

using *linear-le-pow* by *simp*

also have ...  $= Suc (2 * b) * a^2 + b^2$

by *simp*

finally show *?thesis* .

qed

have 1:  $t < N$

using *t T'* by *simp*

then have 15:  $t \leq N$

by *simp*

have 2:  $nlength t < N$

using 1 *nlength-le-n dual-order.strict-trans2* by *blast*

have 25:  $nlength T \leq N$

using *T T' nlength-le-n* by (*meson le-trans order-less-imp-le*)

have 27:  $nlength (t - 1) < N$

using *t(1) nlength-mono 2*

by (*metis diff-less dual-order.strict-trans2 less-numeral-extra(1) linorder-not-less order.asym*)

have 3:  $t * Z < N * Z$

using 1 *Z-ge-1* by *simp*

then have 4:  $N + t * Z < Suc Z * N$

using 1 by *simp*

have 41:  $N + t * Z + Z \leq Suc Z * N$

proof -

have  $N + t * Z + Z \leq N + (N - 1) * Z + Z$

using 1 *N* by *auto*

then show *?thesis*

using *N*

by (*metis One-nat-def Suc-n-not-le-n ab-semigroup-add-class.add-ac(1) add commute mult commute mult-eq-if times-nat.simps(2)*)

qed

have 42:  $(t + Z)^2 \leq (N + Z)^2$

using 1 by *simp*

have 45:  $(N + Z)^2 \leq 3 * Z * N^2 + Z^2$

proof -

have  $(N + Z)^2 \leq Suc (2 * Z) * N^2 + Z^2$

using *sum-sq* by *simp*

also have ...  $\leq 3 * Z * N^2 + Z^2$

using *Z-ge-1* by *simp*

finally show *?thesis* .

qed

have 5:  $nlength (previous hp1 t) < N$

using *previous-hp1-le 1* by (*meson dual-order.strict-trans2 nlength-le-n*)

then have 51:  $nlength (previous hp1 t) \leq N$

by *simp*

have 6:  $nlength (N + t * Z) < Suc Z * N$

using 4 *nlength-le-n* by (*meson le-trans linorder-not-le*)

have  $nlength \sigma \leq 12 * N * Z^2 + 4 * Z * N$

proof -

have  $nlength \sigma \leq 12 * T' * Z^2 + 4 * Z * N$

using *nlength-σ* by *simp*

```

also have ... ≤ 12 * N * Z^2 + 4 * Z * N
  using T' by simp
finally show ?thesis .
qed
have 7: previous hp1 t ≤ N
  using previous-hp1-le 15 by simp
have 65: (nlength (previous hp1 t) + nlength Z)^2 < (N + Z) ^ 2
proof -
  have nlength (previous hp1 t) + nlength Z < N + Z
    using 7 2 5 by (simp add: add-less-le-mono nlength-le-n)
  then show ?thesis
    by (simp add: power-strict-mono)
qed
have 66: N + previous hp1 t * Z + Z ≤ Suc Z * N
  using 41 previous-hp1-le by (meson add-le-mono le-trans less-or-eq-imp-le mult-le-mono)
have 67: (nlength t + nlength Z)^2 ≤ 3 * Z * N^2 + Z^2
proof -
  have nlength t + nlength Z < N + Z
    using nlength-le-n 2 by (simp add: add-less-le-mono)
  then have (nlength t + nlength Z)^2 < (N + Z) ^ 2
    by (simp add: power-strict-mono)
  then show ?thesis
    using 45 by simp
qed
have nlength (previous hp1 t * Z) ≤ N * Z
  using nlength-le-n previous-hp1-le 1 by (meson le-trans less-or-eq-imp-le mult-le-mono)
have 75: max (nlength N) (nlength (t * Z)) ≤ Suc Z * N
proof -
  have max (nlength N) (nlength (t * Z)) ≤ nlength (max N (t * Z))
    using max-nlength by simp
  also have ... ≤ nlength (N + t * Z)
    by (simp add: nlength-mono)
  finally show ?thesis
    using 6 by simp
qed
then have 78: max (nlength N) (nlength (previous hp1 t * Z)) ≤ Suc Z * N
  using previous-hp1-le nlength-mono by (smt (verit, best) Groups.mult-ac(2) le-trans max-def mult-le-mono2)
have 79: nlength (N + t * Z + Z) ≤ Suc Z * N + Z
proof -
  have N + t * Z + Z ≤ Suc Z * N + Z
    using previous-le 15 by simp
  then show ?thesis
    using nlength-le-n le-trans by blast
qed
have 8: nlength [N + previous hp1 t * Z..<N + previous hp1 t * Z + Z] ≤ 2 * Z^2 * N + 2 * Z^2
proof -
  have nlength [N + previous hp1 t * Z..<N + previous hp1 t * Z + Z] ≤
    Suc (nlength (N + previous hp1 t * Z + Z)) * Z
    using nlength-upt-le-len-mult-max by (metis diff-add-inverse)
  moreover have nlength (N + previous hp1 t * Z + Z) ≤ Suc Z * N + Z
  proof -
    have N + previous hp1 t * Z + Z ≤ Suc Z * N + Z
      using previous-le 15 7 by simp
    then show ?thesis
      using nlength-le-n le-trans by blast
  qed
  ultimately have nlength [N + previous hp1 t * Z..<N + previous hp1 t * Z + Z] ≤ Suc (Suc Z * N + Z)
* Z
  by (meson Suc-le-mono le-trans less-or-eq-imp-le mult-le-mono)
also have ... = (Z^2 + Z) * Suc N
  by (metis add commute mult commute mult.left-commute mult-Suc nat-arith.suc1 power2-eq-square)
also have ... ≤ (Z^2 + Z^2) * Suc N
  by (meson add-le-cancel-left linear-le-pow mult-le-mono1 rel-simps(51))

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also have ... = 2 * Z2 * Suc N
  by simp
also have ... = 2 * Z2 * N + 2 * Z2
  by simp
finally show ?thesis .
qed
have 84: Z * Suc Z ≤ 2 * Z2
  by (simp add: power2-eq-square)
have 85: nlength (N + previous hp1 t * Z) ≤ Suc Z * N
proof -
  have nlength (N + previous hp1 t * Z) ≤ nlength (N + t * Z)
    using previous-hp1-le nlength-mono by simp
  then show ?thesis
    using 6 by simp
qed
have 9: Suc Z ≤ 2 * Z
  using Z-ge-1 by simp
then have 91: Suc Z2 ≤ 4 * Z2
  by (metis mult-2-right numeral-Bit0 power2-eq-square power2-nat-le-eq-le power-mult-distrib)
have 99: Z2 ≥ 81
proof -
  have Z * Z ≥ 9 * 9
    using Z-ge-9 mult-le-mono by presburger
  moreover have 9 * 9 = (81::nat)
    by simp
  ultimately show ?thesis
    by (simp add: power2-eq-square)
qed

have part1: ttt8 ≤ 241 * Z2 + 266 * Z2 * N6
proof -
  have ttt8 ≤ 168 + 153 * N6 + 5 * t + 26 * (t + Z)2 + 47 * Z + 15 * Z * (N + t * Z)
    using ttt8-def T' by simp
  also have ... ≤ 168 + 153 * N6 + 5 * N + 26 * (t + Z)2 + 47 * Z + 15 * Z * (N + t * Z)
    using 15 by simp
  also have ... ≤ 168 + 153 * N6 + 5 * N + 26 * (N + Z)2 + 47 * Z + 15 * Z * (N + t * Z)
    using 42 by simp
  also have ... ≤ 168 + 153 * N6 + 5 * N + 26 * (3 * Z * N2 + Z2) + 47 * Z + 15 * Z * (N + t * Z)
    using 45 by simp
  also have ... ≤ 168 + 153 * N6 + 5 * N + 26 * (3 * Z * N2 + Z2) + 47 * Z + 15 * Z * Suc Z * N
    using 4 by (metis (mono-tags, lifting) add-left-mono less-or-eq-imp-le mult.assoc mult-le-mono2)
  also have ... ≤ 168 + 153 * N6 + 5 * N + 26 * (3 * Z * N2 + Z2) + 47 * Z + 30 * Z2 * N
    using ⟨Z * Suc Z ≤ 2 * Z2⟩ by simp
  also have ... = 168 + 153 * N6 + 5 * N + 78 * Z * N2 + 26 * Z2 + 47 * Z + 30 * Z2 * N
    by simp
  also have ... ≤ 168 + 158 * N6 + 78 * Z * N2 + 73 * Z2 + 30 * Z2 * N
    using linear-le-pow[of 6 N] linear-le-pow[of 2 Z] by simp
  also have ... ≤ 168 + 158 * N6 + 78 * Z2 * N2 + 73 * Z2 + 30 * Z2 * N2
    using linear-le-pow
  by (metis add-le-mono add-le-mono1 le-square mult-le-mono1 mult-le-mono2 nat-add-left-cancel-le power2-eq-square)
  also have ... = 168 + 158 * N6 + 108 * Z2 * N2 + 73 * Z2
    by simp
  also have ... ≤ 168 * Z2 + 158 * N6 + 108 * Z2 * N2 + 73 * Z2
    using Z-ge-1 by simp
  also have ... ≤ 241 * Z2 + 158 * N6 + 108 * Z2 * N6
    using pow-mono[of 2 6 N] by simp
  also have ... ≤ 241 * Z2 + 158 * Z2 * N6 + 108 * Z2 * N6
    using Z-ge-1 by simp
  also have ... = 241 * Z2 + 266 * Z2 * N6
    by simp
finally show ?thesis .
qed

```

**have part2:**  $t_{10} - t_8 \leq 63 * Z^2 + 226 * Z^2 * N^6$   
**proof** –  
**have**  $t_{10} - t_8 = 37 + 26 * (nlength (previous hp1 t) + nlength Z)^2 +$   
 $3 * max (nlength N) (nlength (previous hp1 t * Z)) +$   
 $Suc Z * (43 + 9 * nlength (N + previous hp1 t * Z + Z)) +$   
 $4 * nlength [N + previous hp1 t * Z..<N + previous hp1 t * Z + Z] +$   
 $2 * nlength (N + previous hp1 t * Z)$   
**using** *t10-def t8-def* **by** *simp*  
**also have**  $\dots \leq 37 + 26 * (nlength (previous hp1 t) + nlength Z)^2 +$   
 $3 * max (nlength N) (nlength (previous hp1 t * Z)) +$   
 $Suc Z * (43 + 9 * nlength (N + previous hp1 t * Z + Z)) +$   
 $4 * (2 * Z^2 * N + 2 * Z^2) + 2 * nlength (N + previous hp1 t * Z)$   
**using** *8* **by** *simp*  
**also have**  $\dots \leq 37 + 26 * (N + Z)^2 +$   
 $3 * max (nlength N) (nlength (previous hp1 t * Z)) +$   
 $Suc Z * (43 + 9 * nlength (N + previous hp1 t * Z + Z)) +$   
 $4 * (2 * Z^2 * N + 2 * Z^2) + 2 * nlength (N + previous hp1 t * Z)$   
**using** *65* **by** *linarith*  
**also have**  $\dots \leq 37 + 26 * (3 * Z * N^2 + Z^2) + 3 * (Suc Z * N) +$   
 $Suc Z * (43 + 9 * nlength (N + previous hp1 t * Z + Z)) +$   
 $8 * Z^2 * N + 8 * Z^2 + 2 * nlength (N + previous hp1 t * Z)$   
**using** *78 45* **by** *auto*  
**also have**  $\dots \leq 37 + 26 * (3 * Z * N^2 + Z^2) + 3 * (Suc Z * N) +$   
 $Suc Z * (43 + 9 * nlength (N + previous hp1 t * Z + Z)) +$   
 $8 * Z^2 * N + 8 * Z^2 + 2 * Suc Z * N$   
**using** *85* **by** *linarith*  
**also have**  $\dots \leq 37 + 26 * (3 * Z * N^2 + Z^2) + 3 * (Suc Z * N) +$   
 $Suc Z * (43 + 9 * nlength (Suc Z * N)) +$   
 $8 * Z^2 * N + 8 * Z^2 + 2 * Suc Z * N$   
**using** *66 nlength-mono add-le-mono le-refl mult-le-mono* **by** *presburger*  
**also have**  $\dots \leq 37 + 26 * (3 * Z * N^2 + Z^2) + 3 * (Suc Z * N) +$   
 $Suc Z * (43 + 9 * (Suc Z * N)) +$   
 $8 * Z^2 * N + 8 * Z^2 + 2 * Suc Z * N$   
**using** *nlength-le-n add-le-mono le-refl mult-le-mono* **by** *presburger*  
**also have**  $\dots = 37 + 26 * (3 * Z * N^2 + Z^2) + 3 * (Suc Z * N) +$   
 $43 * Suc Z + Suc Z * 9 * Suc Z * N +$   
 $8 * Z^2 * N + 8 * Z^2 + 2 * Suc Z * N$   
**by** *algebra*  
**also have**  $\dots \leq 37 + 26 * (3 * Z * N^2 + Z^2) + 3 * (Suc Z * N) +$   
 $43 * 2 * Z + 2 * Z * 9 * Suc Z * N +$   
 $8 * Z^2 * N + 8 * Z^2 + 2 * Suc Z * N$   
**using** *9* **by** (*simp add: add-le-mono*)  
**also have**  $\dots \leq 37 + 26 * (3 * Z * N^2 + Z^2) + 3 * (Suc Z * N) +$   
 $86 * Z + 36 * Z * Z * N + 8 * Z^2 * N + 8 * Z^2 + 2 * Suc Z * N$   
**by** *simp*  
**also have**  $\dots \leq 37 + 26 * (3 * Z * N^2 + Z^2) + 3 * (Suc Z * N) +$   
 $86 * Z + 44 * Z^2 * N + 8 * Z^2 + 2 * Suc Z * N$   
**by** (*simp add: power2-eq-square*)  
**also have**  $\dots \leq 37 + 26 * (3 * Z * N^2 + Z^2) + 3 * (Suc Z * N) +$   
 $86 * Z + 44 * Z^2 * N + 8 * Z^2 + 4 * Z * N$   
**using** *9* **by** *simp*  
**also have**  $\dots \leq 37 + 26 * (3 * Z * N^2 + Z^2) + 3 * (Suc Z * N) +$   
 $86 * Z + 48 * Z^2 * N + 8 * Z^2$   
**using** *linear-le-pow* **by** *simp*  
**also have**  $\dots \leq 37 + 26 * (3 * Z * N^2 + Z^2) + 3 * 2 * Z * N +$   
 $86 * Z + 48 * Z^2 * N + 8 * Z^2$   
**using** *9* **by** *simp*  
**also have**  $\dots \leq 37 + 26 * (3 * Z * N^2 + Z^2) + 6 * Z * N +$   
 $86 * Z^2 * N + 48 * Z^2 * N + 8 * Z^2$   
**using** *linear-le-pow[of 2 Z] N* **by** *simp (metis N le-trans mult-le-mono1 nat-mult-1)*  
**also have**  $\dots \leq 37 + 26 * (3 * Z * N^2 + Z^2) + 140 * Z^2 * N + 8 * Z^2$   
**using** *linear-le-pow[of 2 Z]* **by** *simp*  
**also have**  $\dots \leq 37 + 26 * (3 * Z * N^2 + Z^2) + 148 * Z^2 * N$

using  $N$  by *simp*  
 also have  $\dots = 37 + 78 * Z * N^2 + 26 * Z^2 + 148 * Z^2 * N$   
 by *simp*  
 also have  $\dots \leq 63 * Z^2 + 78 * Z * N^2 + 148 * Z^2 * N$   
 using *Z-ge-1* by *simp*  
 also have  $\dots \leq 63 * Z^2 + 78 * Z^2 * N^2 + 148 * Z^2 * N$   
 using *linear-le-pow* by *simp*  
 also have  $\dots \leq 63 * Z^2 + 226 * Z^2 * N^2$   
 using *linear-le-pow* by *simp*  
 also have  $\dots \leq 63 * Z^2 + 226 * Z^2 * N^6$   
 using *pow-mono*[of 2 6  $N$ ] by *simp*  
 finally show *?thesis* .  
 qed

have 10:  $nlength\ hp0 \leq N^2$

proof -  
 have  $\forall n \in set\ hp0. n \leq T'$   
 using *hp0* by (*metis in-set-conv-nth*)  
 then have  $nlength\ hp0 \leq Suc\ T' * Suc\ T'$   
 using *nlength-le*[of *hp0 T'*] *len-hp0* by *simp*  
 also have  $\dots \leq N * N$   
 using *T' Suc-leI mult-le-mono* by *presburger*  
 also have  $\dots = N^2$   
 by *algebra*  
 finally show *?thesis* .

qed

have 11:  $nlength\ [N + t * Z..<N + t * Z + Z] \leq 2 * Z^2 * N + Z$

proof -  
 have  $nlength\ [N + t * Z..<N + t * Z + Z] \leq Suc\ (N + t * Z + Z) * Z$   
 using *nlength-upt-le*[of  $N + t * Z\ N + t * Z + Z$ ] by *simp*  
 also have  $\dots \leq Suc\ (Suc\ Z * N) * Z$   
 using 41 by *simp*  
 also have  $\dots = (Z * Z + Z) * N + Z$   
 by (*metis add commute mult commute mult.left-commute mult-Suc*)  
 also have  $\dots \leq 2 * Z^2 * N + Z$   
 using *linear-le-pow*[of 2  $Z$ ] by (*simp add: power2-eq-square*)  
 finally show *?thesis* .

qed

have 12:  $nlength\ (hp0 ! t) + nlength\ G \leq N + Z$

proof -  
 have  $nlength\ (hp0 ! t) + nlength\ G \leq hp0 ! t + G$   
 using *nlength-le-n* by (*simp add: add-mono*)  
 also have  $\dots \leq T' + Z$   
 using  $Z$  by (*simp add: add-le-mono hp0 le-imp-less-Suc len-hp0 t(2)*)  
 also have  $\dots \leq N + Z$   
 using  $T'$  by *simp*  
 finally show *?thesis* .

qed

have part3:  $t10 - t11 \leq 120 * Z^2 + 206 * Z^2 * N^4$

proof -  
 have  $t10 - t11 = 80 + 2 * nlength\ (t - 1) + 26 * (nlength\ t + nlength\ Z)^2 +$   
 $3 * max\ (nlength\ N)\ (nlength\ (t * Z)) + Suc\ Z * (43 + 9 * nlength\ (N + t * Z + Z)) +$   
 $4 * nlength\ [N + t * Z..<N + t * Z + Z] + 2 * nlength\ (previous\ hp1\ t) +$   
 $21 * (nlength\ hp0)^2 + 2 * nlength\ (N + t * Z) + 26 * (nlength\ (hp0 ! t) + nlength\ G)^2$   
 using *t10-def t11-def* by *simp*  
 also have  $\dots \leq 80 + 2 * N + 26 * (3 * Z * N^2 + Z^2) +$   
 $3 * (Suc\ Z * N) + Suc\ Z * (43 + 9 * nlength\ (N + t * Z + Z)) +$   
 $4 * nlength\ [N + t * Z..<N + t * Z + Z] + 2 * nlength\ (previous\ hp1\ t) +$   
 $21 * (nlength\ hp0)^2 + 2 * nlength\ (N + t * Z) + 26 * (nlength\ (hp0 ! t) + nlength\ G)^2$   
 using 27 67 75 by *auto*  
 also have  $\dots \leq 80 + 2 * N + 26 * (3 * Z * N^2 + Z^2) +$   
 $3 * (Suc\ Z * N) + Suc\ Z * (43 + 9 * (Suc\ Z * N + Z)) +$

$4 * \text{nlength } [N + t * Z..<N + t * Z + Z] + 2 * \text{nlength } (\text{previous } hp1 \ t) +$   
 $21 * (\text{nlength } hp0)^2 + 2 * \text{nlength } (N + t * Z) + 26 * (\text{nlength } (hp0 \ ! \ t) + \text{nlength } G)^2$   
**using** 79 *add-le-mono le-refl mult-le-mono* **by** *presburger*  
**also have** ...  $\leq 80 + 2 * N + 26 * (3 * Z * N^2 + Z^2) +$   
 $3 * (\text{Suc } Z * N) + \text{Suc } Z * (43 + 9 * (\text{Suc } Z * N + Z)) +$   
 $4 * \text{nlength } [N + t * Z..<N + t * Z + Z] + 2 * N +$   
 $21 * (\text{nlength } hp0)^2 + 2 * \text{nlength } (N + t * Z) + 26 * (N + Z)^2$   
**using** 51 12  
**by** (*metis add-le-cancel-right add-le-mono nat-add-left-cancel-le nat-mult-le-cancel-disj power2-nat-le-eq-le*)  
**also have** ...  $\leq 80 + 4 * N + 52 * (3 * Z * N^2 + Z^2) + 3 * (\text{Suc } Z * N) + \text{Suc } Z * (43 + 9 * (\text{Suc } Z$   
 $* N + Z)) +$   
 $4 * \text{nlength } [N + t * Z..<N + t * Z + Z] + 21 * (\text{nlength } hp0)^2 + 2 * \text{nlength } (N + t * Z)$   
**using** 45 **by** *simp*  
**also have** ...  $\leq 80 + 4 * N + 52 * (3 * Z * N^2 + Z^2) + 3 * (\text{Suc } Z * N) + \text{Suc } Z * (43 + 9 * (\text{Suc } Z$   
 $* N + Z)) +$   
 $4 * \text{nlength } [N + t * Z..<N + t * Z + Z] + 21 * (\text{nlength } hp0)^2 + 2 * \text{Suc } Z * N$   
**using** 6 **by** *linarith*  
**also have** ...  $\leq 80 + 4 * N + 52 * (3 * Z * N^2 + Z^2) + 3 * (\text{Suc } Z * N) + \text{Suc } Z * (43 + 9 * (\text{Suc } Z$   
 $* N + Z)) +$   
 $4 * (2 * Z^2 * N + Z) + 21 * (N^2)^2 + 2 * \text{Suc } Z * N$   
**using** 11 10 *add-le-mono le-refl mult-le-mono2 power2-nat-le-eq-le* **by** *presburger*  
**also have** ...  $= 80 + 4 * N + 156 * Z * N^2 + 52 * Z^2 + 3 * (\text{Suc } Z * N) + \text{Suc } Z * (43 + 9 * (\text{Suc}$   
 $Z * N + Z)) +$   
 $8 * Z^2 * N + 4 * Z + 21 * N^4 + 2 * \text{Suc } Z * N$   
**by** *simp*  
**also have** ...  $= 80 + 156 * Z * N^2 + 52 * Z^2 + \text{Suc } Z * 43 + \text{Suc } Z * 9 * \text{Suc } Z * N + \text{Suc } Z * 9 * Z +$   
 $(4 + 5 * \text{Suc } Z + 8 * Z^2) * N + 4 * Z + 21 * N^4$   
**by** *algebra*  
**also have** ...  $= 123 + 156 * Z * N^2 + 52 * Z^2 + 47 * Z + 9 * \text{Suc } Z * \text{Suc } Z * N + \text{Suc } Z * 9 * Z +$   
 $(9 + 5 * Z + 8 * Z^2) * N + 21 * N^4$   
**by** *simp*  
**also have** ...  $= 123 + 156 * Z * N^2 + 52 * Z^2 + 47 * Z + 9 * Z * \text{Suc } Z +$   
 $(9 * \text{Suc } Z^2 + 9 + 5 * Z + 8 * Z^2) * N + 21 * N^4$   
**by** *algebra*  
**also have** ...  $\leq 123 + 156 * Z * N^2 + 52 * Z^2 + 47 * Z + 9 * Z * \text{Suc } Z +$   
 $(44 * Z^2 + 9 + 5 * Z) * N + 21 * N^4$   
**using** 91 **by** *simp*  
**also have** ...  $\leq 123 + 156 * Z * N^2 + 70 * Z^2 + 47 * Z + (44 * Z^2 + 9 + 5 * Z) * N + 21 * N^4$   
**using** 84 **by** *simp*  
**also have** ...  $\leq 123 + 156 * Z * N^2 + 70 * Z^2 + 47 * Z^2 + (44 * Z^2 + 9 + 5 * Z^2) * N + 21 * N^4$   
**using** *linear-le-pow*[of 2 Z] *add-le-mono le-refl mult-le-mono power2-nat-le-imp-le*  
**by** *presburger*  
**also have** ...  $\leq 123 + 156 * Z * N^2 + 117 * Z^2 + (49 * Z^2 + 9) * N^4 + 21 * N^4$   
**using** *linear-le-pow*[of 4 N] **by** *simp*  
**also have** ...  $\leq 123 + 156 * Z * N^4 + 117 * Z^2 + (49 * Z^2 + 9) * N^4 + 21 * N^4$   
**using** *pow-mono'*[of 2 4 N] **by** *simp*  
**also have** ...  $= 123 + 117 * Z^2 + (156 * Z + 49 * Z^2 + 30) * N^4$   
**by** *algebra*  
**also have** ...  $\leq 123 + 117 * Z^2 + (205 * Z^2 + 30) * N^4$   
**using** *linear-le-pow*[of 2 Z] **by** *simp*  
**also have** ...  $\leq 120 * Z^2 + (205 * Z^2 + 30) * N^4$   
**using** 99 **by** *simp*  
**also have** ...  $\leq 120 * Z^2 + 206 * Z^2 * N^4$   
**using** 99 **by** *simp*  
**finally show** ?thesis .  
**qed**

**have** 12:  $hp0 \ ! \ t * G + G \leq Z * N$   
**proof** –  
**have**  $hp0 \ ! \ t * G + G \leq T' * G + G$   
**using**  $hp0 \ t(2) \ \text{len-}hp0$  **by** *simp*

```

also have ... ≤ (N - 1) * G + G
  using T' by auto
also have ... = N * G
  using T' by (metis Suc-diff-1 add commute less-nat-zero-code mult-Suc not-gr-zero)
also have ... ≤ Z * N
  using Z by simp
finally show ?thesis .
qed
have 13: Suc G * (43 + 9 * nlength (hp0 ! t * G + G)) ≤ 43 * Z + 9 * Z^2 * N
proof -
  have Suc G * (43 + 9 * nlength (hp0 ! t * G + G)) ≤ Suc G * (43 + 9 * (hp0 ! t * G + G))
    using nlength-le-n add-le-mono le-refl mult-le-mono by presburger
  also have ... ≤ Suc G * (43 + 9 * (Z * N))
    using 12 add-le-mono less-or-eq-imp-le mult-le-mono by presburger
  also have ... ≤ Z * (43 + 9 * (Z * N))
    using G Z by simp
  also have ... = 43 * Z + 9 * N * Z * Z
    by algebra
  also have ... = 43 * Z + 9 * Z^2 * N
    by algebra
  finally show ?thesis .
qed
have 14: nlength [hp0 ! t * G..<hp0 ! t * G + G] ≤ Z^2 * N
proof -
  have nlength [hp0 ! t * G..<hp0 ! t * G + G] ≤ (hp0 ! t * G + G) * Suc G
    using nlength-upt-le[of hp0 ! t * G hp0 ! t * G + G] by simp
  also have ... ≤ (hp0 ! t * G + G) * Z
    using Z G by simp
  also have ... ≤ N * Z * Z
    using 12 by (simp add: mult.commute)
  also have ... = Z^2 * N
    by algebra
  finally show ?thesis .
qed
have 15: nlength (hp0 ! t) ≤ N
  using T' hp0 t(2) len-hp0 nlength-le-n by (metis le-imp-less-Suc le-trans less-or-eq-imp-le)
have 16: nlength (hp0 ! t * G) ≤ Z * N
proof -
  have nlength (hp0 ! t * G) ≤ hp0 ! t * G
    using nlength-le-n by simp
  also have ... ≤ T' * G
    using Z T' hp0 t(2) len-hp0 by simp
  also have ... ≤ Z * N
    using Z T' by simp
  finally show ?thesis .
qed
have 17: (12 * T' * Z^2 + 4 * Z * N) ^ 2 ≤ 256 * Z^4 * N^2
proof -
  have (12 * T' * Z^2 + 4 * Z * N) ^ 2 ≤ (12 * N * Z^2 + 4 * Z * N) ^ 2
    using T' by simp
  also have ... ≤ (12 * N * Z^2 + 4 * Z^2 * N) ^ 2
    using linear-le-pow[of 2 Z] add-le-mono le-refl mult-le-mono power2-nat-le-eq-le power2-nat-le-imp-le
    by presburger
  also have ... = 256 * Z^4 * N^2
    by algebra
  finally show ?thesis .
qed
have 18: 108 * (24 * Z^2 * 2 ^ (4 * Z))^2 * (3 + (12 * T' * Z^2 + 4 * Z * N)^2) ≤ 16111872 * 2^(16*Z) *
N^2
proof -
  have 108 * (24 * Z^2 * 2 ^ (4 * Z))^2 = 62208 * (Z^2 * 2 ^ (4*Z))^2
    by algebra
  also have ... = 62208 * Z^(2*2) * 2 ^ (2*(4*Z))

```

by (*metis* (*no-types*, *lifting*) *mult.assoc power-even-eq power-mult-distrib*)  
 also have ... =  $62208 * Z^4 * 2^{(8*Z)}$   
 by *simp*  
 finally have \*:  $108 * (24 * Z^2 * 2^{(4 * Z)})^2 = 62208 * Z^4 * 2^{(8*Z)}$  .  
 have  $3 + (12 * T' * Z^2 + 4 * Z * N)^2 \leq 3 + 256 * Z^4 * N^2$   
 using 17 by *simp*  
 moreover have  $Z^4 * N^2 \geq 1$   
 using *Z-ge-1 N* by *simp*  
 ultimately have  $3 + (12 * T' * Z^2 + 4 * Z * N)^2 \leq 259 * Z^4 * N^2$   
 by *linarith*  
 then have  $108 * (24 * Z^2 * 2^{(4 * Z)})^2 * (3 + (12 * T' * Z^2 + 4 * Z * N)^2) \leq$   
 $16111872 * Z^4 * 2^{(8*Z)} * Z^4 * N^2$   
 using \* by *simp*  
 also have ... =  $16111872 * Z^8 * 2^{(8*Z)} * N^2$   
 by *simp*  
 also have ...  $\leq 16111872 * 2^{(8*Z)} * 2^{(8*Z)} * N^2$   
 using *pow8-le-2pow8* by *simp*  
 also have ... =  $16111872 * 2^{(8*Z+8*Z)} * N^2$   
 by (*metis* (*no-types*, *lifting*) *mult.assoc power-add*)  
 also have ... =  $16111872 * 2^{(16*Z)} * N^2$   
 by *simp*  
 finally show ?thesis .  
 qed  
 have 19: *nlength*  $\sigma \leq 16 * Z^2 * N$   
 proof -  
 have *nlength*  $\sigma \leq 12 * T' * Z^2 + 4 * Z * N$   
 using *nlength- $\sigma$*  by *simp*  
 also have ...  $\leq 12 * N * Z^2 + 4 * Z * N$   
 using *T'* by *simp*  
 also have ...  $\leq 12 * Z^2 * N + 4 * Z^2 * N$   
 using *linear-le-pow[of 2 Z]* by *simp*  
 also have ...  $\leq 16 * Z^2 * N$   
 by *simp*  
 finally show ?thesis .  
 qed  
 have part4: *ttt27* - *ttt20*  $\leq 50 * Z + 16111936 * 2^{(16*Z)} * N^2$   
 proof -  
 have *ttt27* - *ttt20* =  $55 + \text{Suc } G * (43 + 9 * \text{nlength } (hp0 ! t * G + G)) +$   
 $4 * \text{nlength } [hp0 ! t * G..<hp0 ! t * G + G] +$   
 $2 * \text{nlength } (hp0 ! t * G) + 2 * \text{nlength } (hp0 ! t) + 3 * \text{nlength } \sigma +$   
 $108 * (24 * Z^2 * 2^{(4 * Z)})^2 * (3 + (12 * T' * Z^2 + 4 * Z * N)^2)$   
 using *ttt27-def ttt20-def* by *linarith*  
 also have ...  $\leq 55 + \text{Suc } G * (43 + 9 * \text{nlength } (hp0 ! t * G + G)) +$   
 $4 * \text{nlength } [hp0 ! t * G..<hp0 ! t * G + G] +$   
 $2 * \text{nlength } (hp0 ! t * G) + 2 * \text{nlength } (hp0 ! t) + 3 * (16 * Z^2 * N) +$   
 $108 * (24 * Z^2 * 2^{(4 * Z)})^2 * (3 + (12 * T' * Z^2 + 4 * Z * N)^2)$   
 using 19 by (*simp add: mult.commute*)  
 also have ...  $\leq 55 + \text{Suc } G * (43 + 9 * \text{nlength } (hp0 ! t * G + G)) +$   
 $2 * Z * N + 2 * N + 52 * Z^2 * N + 16111872 * 2^{(16*Z)} * N^2$   
 using 14 15 16 18 by *linarith*  
 also have ...  $\leq 55 + 43 * Z + 9 * Z^2 * N + 2 * Z * N + 2 * N + 52 * Z^2 * N + 16111872 * 2^{(16*Z)}$   
 $* N^2$   
 using 13 by *linarith*  
 also have ... =  $55 + 43 * Z + 2 * Z * N + 2 * N + 61 * Z^2 * N + 16111872 * 2^{(16*Z)} * N^2$   
 by *simp*  
 also have ...  $\leq 50 * Z + 2 * Z * N + 2 * N + 61 * Z^2 * N + 16111872 * 2^{(16*Z)} * N^2$   
 using *Z-ge-9* by *simp*  
 also have ...  $\leq 50 * Z + 3 * Z * N + 61 * Z^2 * N + 16111872 * 2^{(16*Z)} * N^2$   
 using *Z-ge-9* by *simp*  
 also have ...  $\leq 50 * Z + 64 * Z^2 * N + 16111872 * 2^{(16*Z)} * N^2$   
 using *linear-le-pow[of 2 Z]* by *simp*  
 also have ...  $\leq 50 * Z + 64 * Z^2 * N^2 + 16111872 * 2^{(16*Z)} * N^2$

```

    using linear-le-pow[of 2 N] by simp
  also have ... ≤ 50 * Z + 64 * 2^(2*Z) * N^2 + 16111872 * 2^(16*Z) * N^2
    using pow2-le-2pow2 by simp
  also have ... ≤ 50 * Z + 64 * 2^(16*Z) * N^2 + 16111872 * 2^(16*Z) * N^2
    by simp
  also have ... = 50 * Z + 16111936 * 2^(16*Z) * N^2
    by simp
  finally show ?thesis .
qed

have part5: 24 + 4 * (Suc (nlength σ) * 24 * Z^2 * 2^(4*Z)) + 2 * nlength t + 2 * nlength T ≤
  24 + 1633 * 2^(8*Z) * N
proof -
  have 24 + 4 * (Suc (nlength σ) * 24 * Z^2 * 2^(4*Z)) + 2 * nlength t + 2 * nlength T ≤
    24 + 4 * (Suc (nlength σ) * 24 * Z^2 * 2^(4*Z)) + 4 * N
    using 25 2 by simp
  also have ... ≤ 24 + 4 * (Suc (16 * Z^2 * N) * 24 * Z^2 * 2^(4*Z)) + 4 * N
    using 19 by (simp add: mult.commute)
  also have ... ≤ 24 + 1632 * Z^2 * N * Z^2 * 2^(4*Z) + 4 * N
    using Z N by simp
  also have ... = 24 + 1632 * Z^4 * 2^(4*Z) * N + 4 * N
    by simp
  also have ... ≤ 24 + 1632 * 2^(4*Z) * 2^(4*Z) * N + 4 * N
    using pow4-le-2pow4 by simp
  also have ... = 24 + 1632 * 2^(8*Z) * N + 4 * N
    by (metis (no-types, lifting) ab-semigroup-mult-class.mult-ac(1) add-mult-distrib numeral-Bit0 power-add)
  also have ... ≤ 24 + 1632 * 2^(8*Z) * N + 2^(8*Z) * N
  proof -
    have (4::nat) ≤ 2^8
      by simp
    also have ... ≤ 2^(8*Z)
      using Z-ge-1 by (metis nat-mult-1-right nat-mult-le-cancel-disj one-le-numeral power-increasing)
    finally have (4::nat) ≤ 2^(8*Z) .
    then show ?thesis
      by simp
  qed
  also have ... ≤ 24 + 1633 * 2^(8*Z) * N
    by simp
  finally show ?thesis .
qed

show ttt27 + 24 + 4 * (Suc (nlength σ) * 24 * Z^2 * 2^(4*Z)) + 2 * nlength t + 2 * nlength T ≤
  16114765 * 2^(16*Z) * N^6
proof -
  have ttt27 ≤ ttt20 + 50 * Z + 16111936 * 2^(16*Z) * N^2
    using part4 ttt27-def by simp
  also have ... ≤ ttt10 + 120 * Z^2 + 206 * Z^2 * N^4 + 50 * Z + 16111936 * 2^(16*Z) * N^2
    using part3 ttt20-def by simp
  also have ... ≤ ttt8 + 63 * Z^2 + 226 * Z^2 * N^6 + 120 * Z^2 + 206 * Z^2 * N^4 + 50 * Z +
    16111936 * 2^(16*Z) * N^2
    using part2 ttt10-def by simp
  also have ... ≤ 241 * Z^2 + 266 * Z^2 * N^6 + 63 * Z^2 + 226 * Z^2 * N^6 + 120 * Z^2 +
    206 * Z^2 * N^4 + 50 * Z + 16111936 * 2^(16*Z) * N^2
    using part1 by simp
  also have ... = 424 * Z^2 + 492 * Z^2 * N^6 + 206 * Z^2 * N^4 + 50 * Z + 16111936 * 2^(16*Z)
    * N^2
    by simp
  also have ... ≤ 474 * Z^2 + 492 * Z^2 * N^6 + 206 * Z^2 * N^4 + 16111936 * 2^(16*Z) * N^2
    using linear-le-pow[of 2 Z] by simp
  also have ... ≤ 474 * Z^2 + 698 * Z^2 * N^6 + 16111936 * 2^(16*Z) * N^2
    using pow-mono[of 4 6 N] by simp
  also have ... ≤ 474 * Z^2 + 698 * Z^2 * N^6 + 16111936 * 2^(16*Z) * N^6
    using pow-mono[of 2 6 N] by simp

```

```

also have ...  $\leq 474 * Z^2 + 698 * 2^{(16*Z)} * N^6 + 16111936 * 2^{(16*Z)} * N^6$ 
using Z-sq-le by simp
also have ...  $\leq 474 * Z^2 + 16112634 * 2^{(16*Z)} * N^6$ 
by simp
also have ...  $\leq 474 * 2^{(16*Z)} + 16112634 * 2^{(16*Z)} * N^6$ 
using Z-sq-le by simp
also have ...  $\leq 16113108 * 2^{(16*Z)} * N^6$ 
using N by simp
finally have ttt27  $\leq 16113108 * 2^{(16*Z)} * N^6$  .
then have ttt27 + 24 + 4 * (Suc (nlength  $\sigma$ ) * 24 * Z^2 * 2^{(4*Z)}) + 2 * nlength t + 2 * nlength T
 $\leq$ 
    16113108 * 2^{(16*Z)} * N^6 + 24 + 1633 * 2^{(8*Z)} * N
using part5 by simp
also have ...  $\leq 16113108 * 2^{(16*Z)} * N^6 + 24 + 1633 * 2^{(16*Z)} * N$ 
by simp
also have ...  $\leq 16113108 * 2^{(16*Z)} * N^6 + 24 + 1633 * 2^{(16*Z)} * N^6$ 
using linear-le-pow[of 6 N] by simp
also have ... = 24 + 16114741 * 2^{(16*Z)} * N^6
by simp
also have ...  $\leq 24 * 2^{(16*Z)} + 16114741 * 2^{(16*Z)} * N^6$ 
using Z-sq-le by simp
also have ...  $\leq 16114765 * 2^{(16*Z)} * N^6$ 
using N by simp
finally show ?thesis .
qed
qed

```

```

lemma tm30':
assumes ttt = 16114765 * 2^{(16*Z)} * N^6
shows transforms tm30 tps0 t tps30
using tm30 time-bound transforms-monotone assms by simp

end

```

**end**

```

lemma transforms-tm-chi:
fixes j1 j2 j3 :: tapeidx
fixes tps tps' :: tape list and k G N Z T' T t :: nat and hp0 hp1 :: nat list and  $\psi \psi'$  :: formula
fixes nss :: nat list list
assumes length tps = k
and  $1 < j1$   $j1 < j2$   $j2 < j3$   $j3 + 17 < k$ 
and  $G \geq 3$ 
and  $Z = 3 * G$ 
and  $N \geq 1$ 
and length hp0 = Suc T'
and  $\forall i < \text{length } hp0. hp0 ! i \leq T'$ 
and length hp1 = Suc T'
and  $\forall i < \text{length } hp1. hp1 ! i \leq T'$ 
and  $0 < t$   $t \leq T'$ 
and  $0 < T$   $T \leq T'$ 
and  $T' < N$ 
and variables  $\psi \subseteq \{.. < 3*Z+G\}$  fsize  $\psi \leq (3*Z+G) * 2^{(3*Z+G)}$  length  $\psi \leq 2^{(3*Z+G)}$ 
and variables  $\psi' \subseteq \{.. < 2*Z+G\}$  fsize  $\psi' \leq (2*Z+G) * 2^{(2*Z+G)}$  length  $\psi' \leq 2^{(2*Z+G)}$ 
assumes
tps ! 1 = nlltape nss
tps ! j1 = ([hp0]NL, 1)
tps ! j2 = ([hp1]NL, 1)
tps ! j3 = ([N]N, 1)
tps ! (j3 + 1) = ([G]N, 1)
tps ! (j3 + 2) = ([Z]N, 1)
tps ! (j3 + 3) = ([T]N, 1)
tps ! (j3 + 4) = ([formula-n  $\psi$ ]NLL, 1)

```



```

tps ! (j3 + 5) = ([formula-n  $\psi'$ ]NLL, 1)
tps ! (j3 + 6) = ([t]N, 1)
 $\wedge i. 6 < i \implies i < 17 \implies tps ! (j3 + i) = ([[]], 1)$ 
assumes tps' = tps
  [1 := nlltape (nss @ formula-n (relabel
    ([N + (t - 1) * Z..N + (t - 1) * Z + Z] @
      (if previous hp1 t  $\neq$  t then [N + previous hp1 t * Z..N + previous hp1 t * Z + Z] else []) @
      [N + t * Z..N + t * Z + Z] @
      [hp0 ! t * G..N + hp0 ! t * G + G])
    (if previous hp1 t = t then  $\psi'$  else  $\psi$ ))),
  j3 + 6 := ([Suc t]N, 1),
  j3 + 3 := ([T - 1]N, 1)]
assumes ttt = 16114765 * 2^(16*Z) * N^6
shows transforms (tm-chi j1 j2 j3) tps ttt tps'
proof -
  interpret loc: turing-machine-chi j1 j2 j3 .
  show ?thesis
    using loc.tm30'[OF assms(1-34)] loc.tps30-def[OF assms(1-34)] assms(35,36) loc.tm30-eq-tm-chi
    by simp
qed

```

### A Turing machine for $\Phi_9$ proper

The formula  $\Phi_9$  is a conjunction of formulas  $\chi_t$ . The TM *tm-chi* decreases the number on tape  $j_3 + 3$ . If this tape is initialized with  $T'$ , then a while loop with *tm-chi* as its body will generate  $\Phi_9$ . The next TM is such a machine:

**definition** *tm-PHI9* :: tapeidx  $\Rightarrow$  tapeidx  $\Rightarrow$  tapeidx  $\Rightarrow$  machine **where**  
*tm-PHI9* j1 j2 j3  $\equiv$  WHILE [] ;  $\lambda rs. rs ! (j_3 + 3) \neq \square$  DO *tm-chi* j1 j2 j3 DONE

**lemma** *tm-PHI9-tm*:

**assumes**  $0 < j_1$  **and**  $j_1 < j_2$  **and**  $j_2 < j_3$  **and**  $j_3 + 17 < k$  **and**  $G \geq 6$   
**shows** turing-machine k G (*tm-PHI9* j1 j2 j3)  
**unfolding** *tm-PHI9-def*  
**using** *tm-chi-tm* turing-machine-loop-turing-machine Nil-tm *assms* **by** simp

**lemma** *map-nth*:

**fixes** zs ys f n i  
**assumes** zs = map f [0..<sub>n</sub>] **and**  $i < \text{length } zs$   
**shows** zs ! i = f i  
**using** *assms* **by** simp

**lemma** *concat-formula-n*:

*concat* (map ( $\lambda t. \text{formula-n } (\varphi t)$ ) [0..<sub>n</sub>]) = *formula-n* (*concat* (map ( $\lambda t. \varphi t$ ) [0..<sub>n</sub>]))  
**using** *formula-n-def* **by** (*induction* n) *simp-all*

**lemma** *upt-append-upt*:

**assumes**  $a \leq b$  **and**  $b \leq c$   
**shows** [a..<sub>b</sub>] @ [b..<sub>c</sub>] = [a..<sub>c</sub>]

**proof** (*rule* *nth-equalityI*)

**show** length ([a..<sub>b</sub>] @ [b..<sub>c</sub>]) = length [a..<sub>c</sub>]

**using** *assms* **by** simp

**show** ([a..<sub>b</sub>] @ [b..<sub>c</sub>) ! i = [a..<sub>c</sub>] ! i **if**  $i < \text{length } ([a..<sub>b</sub>] @ [b..<sub>c</sub>)$  **for** i

**using** *assms* that *nth-append*[of [a..<sub>b</sub>] [b..<sub>c</sub>] i] **by** (*cases*  $i < b - a$ ) *simp-all*

**qed**

The semantics of the TM *tm-PHI9* can be proved inside the locale *reduction-sat-x* because it is a fairly simple TM.

**context** *reduction-sat-x*

**begin**

The TM *tm-chi* is the first TM whose semantics we transfer into the locale *reduction-sat-x*.

**lemma** *tm-chi*:

```

fixes tps0 :: tape list and k t' t :: nat and j1 j2 j3 :: tapeidx
fixes nss :: nat list list
assumes jk: length tps0 = k 1 < j1 j1 < j2 j2 < j3 j3 + 17 < k
and t: 0 < t t ≤ T'
and T: 0 < t' t' ≤ T'
assumes hp0 = map (λt. exc (zeros m) t <#> 0) [0..<Suc T']
assumes hp1 = map (λt. exc (zeros m) t <#> 1) [0..<Suc T']
assumes tps0:
  tps0 ! 1 = nlltape nss
  tps0 ! j1 = ([hp0]NL, 1)
  tps0 ! j2 = ([hp1]NL, 1)
  tps0 ! j3 = ([N]N, 1)
  tps0 ! (j3 + 1) = ([H]N, 1)
  tps0 ! (j3 + 2) = ([Z]N, 1)
  tps0 ! (j3 + 3) = ([t']N, 1)
  tps0 ! (j3 + 4) = ([formula-n ψ]NLL, 1)
  tps0 ! (j3 + 5) = ([formula-n ψ']NLL, 1)
  tps0 ! (j3 + 6) = ([t]N, 1)
  ∧i. 6 < i ⇒ i < 17 ⇒ tps0 ! (j3 + i) = ([ ] , 1)
assumes tps' = tps0
  [1 := nlltape (nss @ formula-n (χ t)),
   j3 + 6 := ([Suc t]N, 1),
   j3 + 3 := ([t' - 1]N, 1)]
assumes ttt = 16114765 * 2(16*Z) * N ^ 6
shows transforms (tm-chi j1 j2 j3) tps0 ttt tps'
proof -
interpret loc: turing-machine-chi j1 j2 j3 .

have G: H ≥ 3
using H-gr-2 by simp
then have N: N ≥ 1
using N-eq by simp
have Z: Z = 3 * H
using Z-def by simp
have len-hp0: length hp0 = Suc T'
using assms by simp
have len-hp1: length hp1 = Suc T'
using assms by simp
have hp0: ∀ i < length hp0. hp0 ! i ≤ T'
proof standard+
fix i :: nat
assume i < length hp0
then have hp0 ! i = exc (zeros m) i <#> 0
using map-nth assms(10) by blast
then show hp0 ! i ≤ T'
using inputpos-less inputpos-def by simp
qed
have hp1: ∀ i < length hp1. hp1 ! i ≤ T'
proof standard+
fix i :: nat
assume i < length hp1
then have hp1 ! i = exc (zeros m) i <#> 1
using map-nth assms(11) by blast
then show hp1 ! i ≤ T'
using headpos-1-less by simp
qed
have psi: variables ψ ⊆ {..<3*Z+H} fsize ψ ≤ (3*Z+H) * 2(3*Z+H) length ψ ≤ 2(3*Z+H)
using psi by simp-all
have psi': variables ψ' ⊆ {..<2*Z+H} fsize ψ' ≤ (2*Z+H) * 2(2*Z+H) length ψ' ≤ 2(2*Z+H)
using psi' by simp-all

let ?sigma = [N + (t - 1) * Z..<N + (t - 1) * Z + Z] @
  (if previous hp1 t ≠ t then [N + previous hp1 t * Z..<N + previous hp1 t * Z + Z] else []) @

```

$[N + t * Z..<N + t * Z + Z] @$   
 $[hp0 ! t * H..<hp0 ! t * H + H]$

**have** *hp0-nth*:  $hp0 ! i = exc (zeros m) i <\#\> 0$  **if**  $i \leq T'$  **for**  $i$   
**using** *that assms map-nth len-hp0* **by** (*metis (no-types, lifting) le-imp-less-Suc*)  
**then have** *hp0-eq-inputpos*:  $hp0 ! i = inputpos m i$  **if**  $i \leq T'$  **for**  $i$   
**using** *inputpos-def* **that by simp**

**have** *hp1-nth*:  $hp1 ! i = exc (zeros m) i <\#\> 1$  **if**  $i \leq T'$  **for**  $i$   
**using** *that assms map-nth len-hp1* **by** (*metis (no-types, lifting) le-imp-less-Suc*)

**have** *previous-eq-prev*:  $previous hp1 idx = prev m idx$  **if**  $idx \leq T'$  **for**  $idx$

**proof** (*cases  $\exists i < idx. hp1 ! i = hp1 ! idx$* )

**case** *True*

**then have** *1*:  $\exists i < idx. exc (zeros m) i <\#\> 1 = exc (zeros m) idx <\#\> 1$

**using** *that hp1-nth* **by auto**

**then have** *prev m idx* = (*GREATEST*  $i. i < idx \wedge exc (zeros m) i <\#\> 1 = exc (zeros m) idx <\#\> 1$ )

**using** *prev-def* **by simp**

**have** *previous hp1 idx* = (*GREATEST*  $i. i < idx \wedge hp1 ! i = hp1 ! idx$ )

**using** *True previous-def* **by simp**

**also have** *...* = (*GREATEST*  $i. i < idx \wedge exc (zeros m) i <\#\> 1 = exc (zeros m) idx <\#\> 1$ )

(*is Greatest ?P = Greatest ?Q*)

**proof** (*rule Greatest-equality*)

**have**  $\exists i. ?Q i$

**using** *1* **by simp**

**moreover have** *2*:  $\forall y. ?Q y \longrightarrow y \leq idx$

**by simp**

**ultimately have** *3*:  $?Q (Greatest ?Q)$

**using** *GreatestI-ex-nat[of ?Q]* **by blast**

**then have** *4*:  $Greatest ?Q < idx$

**by simp**

**then have**  $Greatest ?Q \leq T'$

**using** *that* **by simp**

**then have**  $hp1 ! (Greatest ?Q) = exc (zeros m) (Greatest ?Q) <\#\> 1$

**using** *hp1-nth* **by simp**

**moreover have**  $hp1 ! idx = exc (zeros m) idx <\#\> 1$

**using** *that hp1-nth* **by simp**

**ultimately have**  $hp1 ! (Greatest ?Q) = hp1 ! idx$

**using** *3* **by simp**

**then show**  $?P (Greatest ?Q)$

**using** *4* **by simp**

**show**  $i \leq Greatest ?Q$  **if**  $?P i$  **for**  $i$

**proof** –

**have**  $i < idx$

**using** *that* **by simp**

**then have**  $hp1 ! i = exc (zeros m) i <\#\> 1$

**using**  $\langle idx \leq T' \rangle$  *hp1-nth* **by simp**

**moreover have**  $hp1 ! idx = exc (zeros m) idx <\#\> 1$

**using**  $\langle idx \leq T' \rangle$  *hp1-nth* **by simp**

**ultimately have**  $exc (zeros m) i <\#\> 1 = exc (zeros m) idx <\#\> 1$

**using** *that* **by simp**

**then have**  $?Q i$

**using**  $\langle i < idx \rangle$  **by simp**

**then show** *?thesis*

**using** *Greatest-le-nat[of ?Q i]* *2* **by blast**

**qed**

**qed**

**also have** *...* = *prev m idx*

**using** *prev-def 1* **by simp**

**finally show** *?thesis* .

**next**

**case** *False*

**have**  $\neg (\exists i < idx. exc (zeros m) i <\#\> 1 = exc (zeros m) idx <\#\> 1)$

```

proof (rule ccontr)
  assume  $\neg (\neg (\exists i < idx. exc (zeros m) i < \# > 1 = exc (zeros m) idx < \# > 1))$ 
  then obtain  $i$  where  $i < idx$   $exc (zeros m) i < \# > 1 = exc (zeros m) idx < \# > 1$ 
    by auto
  then have  $hp1 ! i = hp1 ! idx$ 
    using  $hp1\text{-nth}$  that by simp
  then show  $False$ 
    using  $False \langle i < idx \rangle$  by simp
qed
then have  $prev\ m\ idx = idx$ 
  using  $prev\text{-def}$  by auto
moreover have  $previous\ hp1\ idx = idx$ 
  using  $False\ assms\ previous\text{-def}$  by auto
ultimately show  $?thesis$ 
  by simp
qed

have  $\zeta_0\ i @ \zeta_1\ i @ \zeta_2\ i = [N + i * Z..<N + (Suc\ i) * Z]$  for  $i$ 
  using  $zeta0\text{-def}\ zeta1\text{-def}\ zeta2\text{-def}\ upt\text{-append}\text{-upt}\ Z$  by simp
then have  $zeta012: \zeta_0\ i @ \zeta_1\ i @ \zeta_2\ i = [N + i * Z..<N + i * Z + Z]$  for  $i$ 
  by ( $simp\ add: ab\text{-semigroup}\text{-add}\text{-class}\text{-add}\text{-ac}(1)\ add.\text{commute}[of\ Z\ i * Z]$ )
have  $gamma: \gamma (inputpos\ m\ i) = [inputpos\ m\ i * H..<inputpos\ m\ i * H + H]$  for  $i$ 
  using  $gamma\text{-def}$  by ( $simp\ add: add.\text{commute}$ )

have  $rho: \varrho\ t = ?sigma$  if  $prev\ m\ t < t$ 
proof -
  have  $previous\ hp1\ t \neq t$ 
    using  $t$  that  $previous\text{-eq}\text{-prev}$  by simp
  then have  $?sigma = [N + (t - 1) * Z..<N + (t - 1) * Z + Z]$  @
     $[N + prev\ m\ t * Z..<N + prev\ m\ t * Z + Z]$  @
     $[N + t * Z..<N + t * Z + Z]$  @
     $[inputpos\ m\ t * H..<inputpos\ m\ t * H + H]$ 
    using  $previous\text{-eq}\text{-prev}\ hp0\text{-eq}\text{-inputpos}\ t$  by simp
  also have  $\dots = (\zeta_0\ (t - 1) @ \zeta_1\ (t - 1) @ \zeta_2\ (t - 1)) @$ 
     $(\zeta_0\ (prev\ m\ t) @ \zeta_1\ (prev\ m\ t) @ \zeta_2\ (prev\ m\ t)) @$ 
     $(\zeta_0\ t @ \zeta_1\ t @ \zeta_2\ t) @$ 
     $\gamma (inputpos\ m\ t)$ 
    using  $zeta012\ gamma$  by simp
  also have  $\dots = \varrho\ t$ 
    using  $rho\text{-def}$  by simp
  finally have  $?sigma = \varrho\ t$  .
  then show  $?thesis$ 
    by simp
qed

have  $rho': \varrho'\ t = ?sigma$  if  $prev\ m\ t = t$ 
proof -
  have  $previous\ hp1\ t = t$ 
    using  $t$  that  $previous\text{-eq}\text{-prev}$  by simp
  then have  $?sigma = [N + (t - 1) * Z..<N + (t - 1) * Z + Z]$  @
     $[N + t * Z..<N + t * Z + Z]$  @
     $[inputpos\ m\ t * H..<inputpos\ m\ t * H + H]$ 
    using  $previous\text{-eq}\text{-prev}\ hp0\text{-eq}\text{-inputpos}\ t$  by simp
  also have  $\dots = (\zeta_0\ (t - 1) @ \zeta_1\ (t - 1) @ \zeta_2\ (t - 1)) @$ 
     $(\zeta_0\ t @ \zeta_1\ t @ \zeta_2\ t) @$ 
     $\gamma (inputpos\ m\ t)$ 
    using  $zeta012\ gamma$  by simp
  also have  $\dots = \varrho'\ t$ 
    using  $rho'\text{-def}$  by simp
  finally have  $?sigma = \varrho'\ t$  .
  then show  $?thesis$ 
    by simp
qed

```

```

have  $\chi$  t = relabel ?sigma (if previous hp1 t = t then  $\psi'$  else  $\psi$ )
proof (cases prev m t < t)
  case True
  then have  $\chi$  t = relabel ( $\varrho$  t)  $\psi$ 
    using chi-def by simp
  moreover have previous hp1 t < t
    using t True previous-eq-prev by simp
  ultimately show ?thesis
    using rho True by simp
next
  case False
  then have *: prev m t = t
    by (simp add: nat-less-le prev-le)
  then have  $\chi$  t = relabel ( $\varrho'$  t)  $\psi'$ 
    using chi-def by simp
  moreover have previous hp1 t = t
    using t * previous-eq-prev by simp
  ultimately show ?thesis
    using rho' * by simp
qed

then show transforms (tm-chi j1 j2 j3) tps0 ttt tps'
  using transforms-tm-chi[OF jk G Z N len-hp0 hp0 len-hp1 hp1 t T T'-less psi psi' tps0 - assms(24)] assms(23)
  by simp
qed

```

```

lemma Z-sq-le:  $Z^2 \leq 2^{16 * Z}$ 
proof -
  have  $Z^2 \leq 2^{2 * Z}$ 
    using pow2-le-2pow2[of Z] by simp
  also have ...  $\leq 2^{16 * Z}$ 
    by simp
  finally show  $Z^2 \leq 2^{16 * Z}$  .
qed

```

```

lemma tm-PHI9 [transforms-intros]:
  fixes tps0 tps' :: tape list and k :: nat and j1 j2 j3 :: tapeidx
  fixes nss :: nat list list
  assumes jk: length tps0 = k 1 < j1 j1 < j2 j2 < j3 j3 + 17 < k
  assumes hp0 = map ( $\lambda t$ . exc (zeros m) t <#> 0) [0.. $\text{Suc } T'$ ]
  assumes hp1 = map ( $\lambda t$ . exc (zeros m) t <#> 1) [0.. $\text{Suc } T'$ ]
  assumes tps0:
    tps0 ! 1 = nlltape nss
    tps0 ! j1 = ( $\lfloor hp0 \rfloor_{NL}$ , 1)
    tps0 ! j2 = ( $\lfloor hp1 \rfloor_{NL}$ , 1)
    tps0 ! j3 = ( $\lfloor N \rfloor_N$ , 1)
    tps0 ! (j3 + 1) = ( $\lfloor H \rfloor_N$ , 1)
    tps0 ! (j3 + 2) = ( $\lfloor Z \rfloor_N$ , 1)
    tps0 ! (j3 + 3) = ( $\lfloor T' \rfloor_N$ , 1)
    tps0 ! (j3 + 4) = ( $\lfloor \text{formula-n psi} \rfloor_{NLL}$ , 1)
    tps0 ! (j3 + 5) = ( $\lfloor \text{formula-n psi}' \rfloor_{NLL}$ , 1)
    tps0 ! (j3 + 6) = ( $\lfloor 1 \rfloor_N$ , 1)
     $\bigwedge i. 6 < i \implies i < 17 \implies tps0 ! (j3 + i) = (\lfloor \square \rfloor, 1)$ 
  assumes tps': tps' = tps0
    [1 := nlltape (nss @ formula-n  $\Phi_9$ ),
     j3 + 6 := ( $\lfloor \text{Suc } T' \rfloor_N$ , 1),
     j3 + 3 := ( $\lfloor 0 \rfloor_N$ , 1)]
  assumes ttt =  $16114767 * 2^{16 * Z} * N^7$ 
  shows transforms (tm-PHI9 j1 j2 j3) tps0 ttt tps'
proof -
  define tps where tps = ( $\lambda t$ . tps0
    [1 := nlltape (nss @ concat (map ( $\lambda t$ . formula-n ( $\chi$  (Suc t))) [0.. $t$ ]))],

```

```

    j3 + 6 := (⌊Suc t⌋N, 1),
    j3 + 3 := (⌊T' - t⌋N, 1)]
have transforms (tm-PHI9 j1 j2 j3) (tps 0) ttt (tps T')
  unfolding tm-PHI9-def
proof (tform)
  let ?ttt = 16114765 * 2^(16*Z) * N^6
  show transforms (tm-chi j1 j2 j3) (tps i) ?ttt (tps (Suc i)) if i < T' for i
  proof (rule tm-chi ; (use assms tps-def that in simp ; fail)?)
    show tps (Suc i) = (tps i)
      [1 := nlltape
        ((nss @ concat (map (λt. formula-n (χ (Suc t))) [0..N, 1),
        j3 + 3 := (⌊T' - i - 1⌋N, 1)]
    using that tps-def by (simp add: list-update-swap)
  qed
show ∧i. i < T' ⇒ read (tps i) ! (j3 + 3) ≠ □
  using jk tps-def read-ncontents-eq-0 by simp
show ¬ read (tps T') ! (j3 + 3) ≠ □
  using jk tps-def read-ncontents-eq-0 by simp
show T' * (16114765 * 2^(16 * Z) * N^6 + 2) + 1 ≤ ttt
proof -
  have T' * (16114765 * 2^(16 * Z) * N^6 + 2) + 1 ≤ T' * (16114767 * 2^(16 * Z) * N^6) + 1
    using Z-sq-le H-gr-2 N-eq by auto
  also have ... ≤ T' * (16114767 * 2^(16 * Z) * N^6) + (16114767 * 2^(16 * Z) * N^6)
    using H-gr-2 N-eq by auto
  also have ... = Suc T' * (16114767 * 2^(16 * Z) * N^6)
    by simp
  also have ... ≤ N * (16114767 * 2^(16 * Z) * N^6)
    using T'-less Suc-leI mult-le-mono1 by presburger
  also have ... = 16114767 * 2^(16 * Z) * N^7
    by algebra
  also have ... = ttt
    using assms(20) by simp
  finally show ?thesis .
qed
qed
moreover have tps 0 = tps0
  using tps-def tps0 list-update-id[of tps0 Suc 0] list-update-id[of tps0 j3 + 6]
    list-update-id[of tps0 j3 + 3]
  by simp
moreover have tps T' = tps'
proof -
  have concat (map (λt. formula-n (χ (Suc t))) [0..using concat-formula-n by simp
  then show ?thesis
    using PHI9-def tps-def tps' list-update-id[of tps0 Suc 0] list-update-id[of tps0 j3 + 6]
      list-update-id[of tps0 j3 + 3]
    by simp
qed
ultimately show transforms (tm-PHI9 j1 j2 j3) tps0 ttt tps'
  by simp
qed
end
end

```

## Chapter 8

# Turing machines for reducing $\mathcal{NP}$ languages to SAT

```
theory Reduction-TM
imports Sat-TM-CNF Oblivious-2-Tape
begin
```

At long last we are going to create a polynomial-time Turing machine that, for a fixed language  $L \in \mathcal{NP}$ , computes for every string  $x$  a CNF formula  $\Phi$  such that  $x \in L$  iff.  $\Phi$  is satisfiable. This concludes the proof of the Cook-Levin theorem.

The CNF formula  $\Phi$  is a conjunction of formulas  $\Phi_0, \dots, \Phi_9$ , and the previous chapter has provided us with Turing machines *tm-PHI0*, *tm-PHI1*, etc. that are supposed to generate these formulas. But only for  $\Phi_9$  has this been proven yet. So our first task is to transfer the Turing machines *tm-PHI0*,  $\dots$ , *tm-PHI8* into the locale *reduction-sat-x* and show that they really do generate the CNF formulas  $\Phi_0, \dots, \Phi_8$ .

The TMs require certain values on their tapes prior to starting. Therefore we build a Turing machine that computes these values. Then, in a final effort, we combine all these TMs to create this article's biggest Turing machine.

### 8.1 Turing machines for parts of $\Phi$ revisited

In this section we restate the semantic lemmas *transforms-tm-PHI0* etc. of the Turing machines *tm-PHI0* etc. in the context of the locale *reduction-sat-x*. This means that the lemmas now have terms like *formula-n*  $\Phi_0$  in them instead of more complicated expressions. It also means that we more clearly see which values the tapes need to contain initially because they are now expressed in terms of values in the locale, such as  $n$ ,  $p(n)$ , or  $m'$ .

```
context reduction-sat-x
begin
```

```
lemma tm-PHI0 [transforms-intros]:
fixes tps tps' :: tape list and j :: tapeidx and ttt k :: nat
assumes length tps = k and 1 < j and j + 8 < k
assumes
  tps ! 1 = ([[]], 1)
  tps ! j = ([m']N, 1)
  tps ! (j + 1) = ([H]N, 1)
  tps ! (j + 2) = ([[]], 1)
  tps ! (j + 3) = ([[]], 1)
  tps ! (j + 4) = ([[]], 1)
  tps ! (j + 5) = ([[]], 1)
  tps ! (j + 6) = ([[]], 1)
  tps ! (j + 7) = ([[]], 1)
  tps ! (j + 8) = ([[]], 1)
assumes tps' = tps
```

$[j := (\lfloor \text{Suc} (\text{Suc } m') \rfloor_N, 1),$   
 $j + 2 := (\lfloor 0 \rfloor_N, 1),$   
 $j + 6 := (\lfloor \text{nll-Psi} (\text{Suc} (\text{Suc } m') * H) H 0 \rfloor_{NLL}, 1),$   
 $1 := \text{nlltape} (\text{formula-n } \Phi_0)]$   
**assumes**  $\text{tnt} = 5627 * H \wedge 4 * (3 + \text{nlength} (3 * H + m' * H))^2$   
**shows** *transforms* (tm-PHI0 j) tps tnt tps'  
**proof** –  
**have**  $\text{nll-Psi} (m' * H) H 1 = \text{formula-n} (\Psi (\zeta_0 0) 1)$   
**using** *nll-Psi zeta0-def*  $m'$  **by** *simp*  
**moreover** **have**  $\text{nll-Psi} (H + m' * H) H 1 = \text{formula-n} (\Psi (\zeta_1 0) 1)$   
**using** *nll-Psi zeta1-def*  $m'$   
**by** (*smt* (*verit*) *ab-semigroup-add-class.add-ac(1)* *add.commute* *add-cancel-left-right* *mult-2* *mult-zero-left*)  
**moreover** **have**  $\text{nll-Psi} (\text{Suc} (\text{Suc } m') * H) H 0 = \text{formula-n} (\Psi (\zeta_2 0) 0)$   
**proof** –  
**have**  $\text{Suc} (\text{Suc } m') * H = N + 2 * H$   
**using**  $m'$  **by** *simp*  
**moreover** **have**  $\text{Suc} (\text{Suc } m') * H + H = N + (\text{Suc } 0) * Z$   
**using**  $m'$  *Z-def* **by** *simp*  
**ultimately** **have**  $\zeta_2 0 = [\text{Suc} (\text{Suc } m') * H..<\text{Suc} (\text{Suc } m') * H + H]$   
**using** *zeta2-def* **by** (*metis* *Nat.add-0-right* *mult-zero-left*)  
**then** **show** *?thesis*  
**using** *nll-Psi* **by** *simp*  
**qed**  
**ultimately** **have**  $\text{nll-Psi} (m' * H) H 1 @ \text{nll-Psi} (H + m' * H) H 1 @ \text{nll-Psi} (\text{Suc} (\text{Suc } m') * H) H 0 =$   
 $\text{formula-n } \Phi_0$   
**using** *formula-n-def* *PHI0-def* **by** *simp*  
**then** **show** *?thesis*  
**using** *transforms-tm-PHI0I[OF assms(1-3) H-ge-3 assms(4-13)] assms(14,15)* **by** *simp*  
**qed**

**lemma** *tm-PHI1* [*transforms-intros*]:

**fixes**  $\text{tps } \text{tps}' :: \text{tape list}$  **and**  $j :: \text{tapeidx}$  **and**  $\text{tnt } k :: \text{nat}$  **and**  $\text{nss} :: \text{nat list list}$

**assumes**  $\text{length } \text{tps} = k$  **and**  $1 < j$  **and**  $j + 7 < k$

**assumes**

$\text{tps} ! 1 = \text{nlltape } \text{nss}$

$\text{tps} ! j = (\lfloor 0 \rfloor_N, 1)$

$\text{tps} ! (j + 1) = (\lfloor H \rfloor_N, 1)$

$\text{tps} ! (j + 2) = (\lfloor [] \rfloor, 1)$

$\text{tps} ! (j + 3) = (\lfloor [] \rfloor, 1)$

$\text{tps} ! (j + 4) = (\lfloor [] \rfloor, 1)$

$\text{tps} ! (j + 5) = (\lfloor [] \rfloor, 1)$

$\text{tps} ! (j + 6) = (\lfloor [] \rfloor, 1)$

$\text{tps} ! (j + 7) = (\lfloor [] \rfloor, 1)$

**assumes**  $\text{tps}' = \text{tps}$

$[j + 2 := (\lfloor 1 \rfloor_N, 1),$

$j + 6 := (\lfloor \text{nll-Psi } 0 H 1 \rfloor_{NLL}, 1),$

$1 := \text{nlltape} (\text{nss} @ \text{formula-n } \Phi_1)]$

**assumes**  $\text{tnt} = 1875 * H \wedge 4$

**shows** *transforms* (tm-PHI1 j) tps tnt tps'

**proof** –

**have**  $\text{nll-Psi } 0 H 1 = \text{formula-n} (\Psi ([0..<H]) 1)$

**using** *nll-Psi* **by** *simp*

**then** **have**  $\text{nll-Psi } 0 H 1 = \text{formula-n} (\Psi (\gamma 0) 1)$

**using** *gamma-def* **by** *simp*

**then** **have**  $\text{nll-Psi } 0 H 1 = \text{formula-n } \Phi_1$

**using** *PHI1-def* **by** *simp*

**then** **show** *?thesis*

**using** *transforms-tm-PHI1I[OF assms(1-3) H-ge-3 assms(4-12)] assms(13,14)* **by** *simp*

**qed**

**lemma** *tm-PHI2* [*transforms-intros*]:

**fixes**  $\text{tps } \text{tps}' :: \text{tape list}$  **and**  $j :: \text{tapeidx}$  **and**  $\text{tnt } k :: \text{nat}$  **and**  $\text{nss} :: \text{nat list list}$

**assumes**  $\text{length } \text{tps} = k$  **and**  $1 < j$  **and**  $j + 8 < k$



```

assumes  $idx = n$ 
assumes
   $tps ! 1 = nlltape\ nss$ 
   $tps ! j = ([idx]_N, 1)$ 
   $tps ! (j + 1) = ([H]_N, 1)$ 
   $tps ! (j + 2) = ([[]], 1)$ 
   $tps ! (j + 3) = ([[]], 1)$ 
   $tps ! (j + 4) = ([[]], 1)$ 
   $tps ! (j + 5) = ([[]], 1)$ 
   $tps ! (j + 6) = ([[]], 1)$ 
   $tps ! (j + 7) = ([[]], 1)$ 
   $tps ! (j + 8) = ([[]], 1)$ 
assumes  $ttt = 3764 * H ^ 4 * (3 + nlength\ (3 * H + 2 * idx * H))^2$ 
assumes  $tps' = tps$ 
   $[j := ([2 * idx + 2]_N, 1),$ 
   $j + 2 := ([3]_N, 1),$ 
   $j + 6 := ([nll-Psi\ (Suc\ (Suc\ (2 * idx)) * H)\ H\ 3]_{NLL}, 1),$ 
   $1 := nlltape\ (nss\ @\ formula-n\ \Phi_2)]$ 
shows transforms  $(tm-PHI2\ j)\ tps\ ttt\ tps'$ 
proof -
  have  $nll-Psi\ (H + 2 * idx * H)\ H\ 3\ @\ nll-Psi\ (2 * H + 2 * idx * H)\ H\ 3 = formula-n\ \Phi_2$ 
proof -
  have  $\gamma\ (2 * n + 1) = [H + 2 * idx * H..<H + 2 * idx * H + H]$ 
    using  $assms(4)\ gamma-def$  by simp
  moreover have  $\gamma\ (2 * n + 2) = [2 * H + 2 * idx * H..<2 * H + 2 * idx * H + H]$ 
    using  $assms(4)\ gamma-def$  by simp
  ultimately show  $nll-Psi\ (H + 2 * idx * H)\ H\ 3\ @\ nll-Psi\ (2 * H + 2 * idx * H)\ H\ 3 = formula-n\ \Phi_2$ 
    using  $nll-Psi\ PHI2-def\ formula-n-def$  by simp
qed
then show ?thesis
  using  $transforms-tm-PHI2I[OF\ assms(1-3)\ H-ge-3\ assms(5-14)]\ assms(15,16)$  by simp
qed

lemma PHI3-correct:  $concat\ (map\ (\lambda i.\ nll-Psi\ (H * (1 + 2 * i))\ H\ 2)\ [0..<n]) = formula-n\ \Phi_3$ 
proof -
  have  $nll-Psi\ (H * (1 + 2 * i))\ H\ 2 = formula-n\ (\Psi\ (\gamma\ (2*i+1))\ 2)$  for  $i$ 
proof -
  have  $\gamma\ (2 * i + 1) = [H * (1 + 2 * i)..<H * (1 + 2 * i) + H]$ 
    using  $gamma-def$  by  $(simp\ add:\ mult.commute)$ 
  then show ?thesis
    using  $nll-Psi$  by simp
qed
then have  $concat\ (map\ (\lambda i.\ nll-Psi\ (H * (1 + 2 * i))\ H\ 2)\ [0..<n]) =$ 
   $concat\ (map\ (\lambda i.\ formula-n\ (\Psi\ (\gamma\ (2*i+1))\ 2))\ [0..<n])$ 
  by simp
also have  $... = formula-n\ (concat\ (map\ (\lambda i.\ \Psi\ (\gamma\ (2*i+1))\ 2)\ [0..<n]))$ 
  using  $concat-formula-n$  by simp
also have  $... = formula-n\ \Phi_3$ 
  using  $PHI3-def$  by simp
finally show ?thesis .
qed

lemma tm-PHI3:
  fixes  $tps\ tps' :: tape\ list$  and  $j :: tapeidx$  and  $ttt\ k :: nat$  and  $nss :: nat\ list\ list$ 
  assumes  $length\ tps = k$  and  $1 < j$  and  $j + 8 < k$ 
  assumes
     $tps ! 1 = nlltape\ nss$ 
     $tps ! j = ([1]_N, 1)$ 
     $tps ! (j + 1) = ([H]_N, 1)$ 
     $tps ! (j + 2) = ([2]_N, 1)$ 
     $tps ! (j + 3) = ([[]], 1)$ 
     $tps ! (j + 4) = ([[]], 1)$ 
     $tps ! (j + 5) = ([[]], 1)$ 

```

$tps ! (j + 6) = ([\ ], 1)$   
 $tps ! (j + 7) = ([\ ], 1)$   
 $tps ! (j + 8) = ([1 + 2 * n]_N, 1)$   
**assumes**  $ttt = Suc\ n * (9 + 1897 * (H \wedge 4 * (nlength\ (1 + 2 * n))^2))$   
**assumes**  $tps' = tps$   
 $[j := ([1 + 2 * n]_N, 1),$   
 $1 := nlltape\ (nss\ @\ formula\text{-}n\ \Phi_3),$   
 $j + 3 := ([1]_N, 1)]$   
**shows** *transforms* (tm-PHI345 2 j) tps ttt tps'  
**using** *transforms-tm-PHI345I*[OF *assms*(1,2,3) H-ge-3, of 2 2 nss 1 n ] H-gr-2 *assms* PHI3-correct  
**by** *fastforce*

**lemma** *PHI4-correct*:

**assumes**  $idx = 2 * n + 2 + 1$  **and**  $kappa = 2$  **and**  $step = 2$  **and**  $numiter = p\ n$   
**shows**  $concat\ (map\ (\lambda i. nll\text{-}Psi\ (H * (idx + step * i))\ H\ kappa)\ [0..<numiter]) = formula\text{-}n\ \Phi_4$   
**proof** –  
**have**  $nll\text{-}Psi\ (H * (idx + step * i))\ H\ kappa = formula\text{-}n\ (\Psi\ (\gamma\ (2 * n + 2 + 2 * i + 1))\ 2)$  **for**  $i$   
**proof** –  
**have**  $\gamma\ (2 * n + 2 + 2 * i + 1) = [H * (idx + step * i)..<H * (idx + step * i) + H]$   
**using** *assms* *gamma-def* **by** (*simp* *add*: *add.commute* *mult.commute*)  
**then show** *?thesis*  
**using** *nll-Psi* *assms* **by** *simp*  
**qed**  
**then have**  $concat\ (map\ (\lambda i. nll\text{-}Psi\ (H * (idx + step * i))\ H\ kappa)\ [0..<numiter]) =$   
 $concat\ (map\ (\lambda i. formula\text{-}n\ (\Psi\ (\gamma\ (2 * n + 2 + 2 * i + 1))\ 2))\ [0..<numiter])$   
**by** *simp*  
**also have**  $\dots = formula\text{-}n\ (concat\ (map\ (\lambda i. \Psi\ (\gamma\ (2 * n + 2 + 2 * i + 1))\ 2)\ [0..<p\ n]))$   
**using** *assms* *concat-formula-n* **by** *simp*  
**also have**  $\dots = formula\text{-}n\ \Phi_4$   
**using** *PHI4-def* **by** *simp*  
**finally show** *?thesis* .  
**qed**

**lemma** *tm-PHI4*:

**fixes**  $tps\ tps' :: tape\ list$  **and**  $j :: tapeidx$  **and**  $ttt\ step\ k :: nat$  **and**  $nss :: nat\ list\ list$   
**assumes**  $length\ tps = k$  **and**  $1 < j$  **and**  $j + 8 < k$  **assumes**  
 $tps ! 1 = nlltape\ nss$   
 $tps ! j = ([2 * n + 2 + 1]_N, 1)$   
 $tps ! (j + 1) = ([H]_N, 1)$   
 $tps ! (j + 2) = ([2]_N, 1)$   
 $tps ! (j + 3) = ([\ ], 1)$   
 $tps ! (j + 4) = ([\ ], 1)$   
 $tps ! (j + 5) = ([\ ], 1)$   
 $tps ! (j + 6) = ([\ ], 1)$   
 $tps ! (j + 7) = ([\ ], 1)$   
 $tps ! (j + 8) = ([2 * n + 2 + 1 + 2 * p\ n]_N, 1)$   
**assumes**  $ttt = Suc\ (p\ n) * (9 + 1897 * (H \wedge 4 * (nlength\ (2 * n + 2 + 1 + 2 * p\ n))^2))$   
**assumes**  $tps' = tps$   
 $[j := ([2 * n + 2 + 1 + 2 * p\ n]_N, 1),$   
 $1 := nlltape\ (nss\ @\ formula\text{-}n\ \Phi_4),$   
 $j + 3 := ([1]_N, 1)]$   
**shows** *transforms* (tm-PHI345 2 j) tps ttt tps'  
**using** *transforms-tm-PHI345I*[OF *assms*(1,2,3) H-ge-3, of 2 2 nss 2 \* n + 2 + 1 p n] H-gr-2 *assms*  
*PHI4-correct*  
**by** *fastforce*

**lemma** *PHI5-correct*:

**assumes**  $idx = 2 * n + 2 * p\ n + 3$  **and**  $kappa = 0$  **and**  $step = 1$  **and**  $numiter = T'$   
**shows**  $concat\ (map\ (\lambda i. nll\text{-}Psi\ (H * (idx + step * i))\ H\ kappa)\ [0..<numiter]) = formula\text{-}n\ \Phi_5$   
**proof** –  
**have**  $nll\text{-}Psi\ (H * (idx + step * i))\ H\ kappa = formula\text{-}n\ (\Psi\ (\gamma\ (2 * n + 2 * p\ n + 3 + i))\ 0)$  **for**  $i$   
**proof** –  
**have**  $\gamma\ (2 * n + 2 * p\ n + 3 + i) = [H * (idx + step * i)..<H * (idx + step * i) + H]$

```

    using assms gamma-def by (simp add: add.commute mult.commute)
  then show ?thesis
    using nll-Psi assms by simp
qed
then have concat (map (λi. nll-Psi (H * (idx + step * i)) H kappa) [0..5
  using PHI5-def by simp
finally show ?thesis .
qed

```

lemma tm-PHI5:

```

fixes tps tps' :: tape list and j :: tapeidx and ttt k :: nat and nss :: nat list list
assumes length tps = k and 1 < j and j + 8 < k
assumes
  tps ! 1 = nlltape nss
  tps ! j = (⌊2 * n + 2 * p n + 3⌋N, 1)
  tps ! (j + 1) = (⌊H⌋N, 1)
  tps ! (j + 2) = (⌊0⌋N, 1)
  tps ! (j + 3) = (⌊[]⌋, 1)
  tps ! (j + 4) = (⌊[]⌋, 1)
  tps ! (j + 5) = (⌊[]⌋, 1)
  tps ! (j + 6) = (⌊[]⌋, 1)
  tps ! (j + 7) = (⌊[]⌋, 1)
  tps ! (j + 8) = (⌊2 * n + 2 * p n + 3 + T'⌋N, 1)
assumes ttt = Suc T' * (9 + 1891 * (H ^ 4 * (nlength (2 * n + 2 * p n + 3 + T'))2))
assumes tps' = tps
  [j := (⌊2 * n + 2 * p n + 3 + T'⌋N, 1),
   1 := nlltape (nss @ formula-n Φ5),
   j + 3 := (⌊1⌋N, 1)]
shows transforms (tm-PHI345 1 j) tps ttt tps'
  using transforms-tm-PHI345I[OF assms(1,2,3) H-ge-3, of 0 1, OF - - assms(4-12)] H-gr-2 assms(13-)
PHI5-correct
  by fastforce

```

lemma PHI6-correct:

```

concat (map (λi. nll-Psi (H * (2 + 2 * i)) H (xs ! i)) [0..6
proof -
  have nll-Psi (H * (2 + 2 * i)) H (xs ! i) = formula-n (Ψ (γ (2 * i + 2)) (if x ! i then 3 else 2))
    if i < length xs for i
  proof -
    have γ (2 * i + 2) = [H * (2 + 2 * i)..<H * (2 + 2 * i) + H]
      using gamma-def by (simp add: mult.commute)
    then have nll-Psi (H * (2 + 2 * i)) H (xs ! i) = formula-n (Ψ (γ (2 * i + 2)) (xs ! i))
      using nll-Psi by simp
    moreover have xs ! i = (if x ! i then 3 else 2)
      using that by simp
    ultimately show ?thesis
      by simp
  qed
qed
then have map (λi. nll-Psi (H * (2 + 2 * i)) H (xs ! i)) [0..

```

also have ... = formula-n  $\Phi_6$   
 using *PHI6-def* by *simp*  
 finally show ?thesis .  
 qed

lemma *tm-PHI6* [*transforms-intros*]:

fixes *tps tps' :: tape list* and *j :: tapeidx* and *ttn k :: nat* and *nss :: nat list list*  
 assumes *length tps = k* and  $1 < j$  and  $j + 7 < k$

assumes

*tps ! 1 = nlltape nss*  
*tps ! 0 = ([xs], 1)*  
*tps ! j = ([2]<sub>N</sub>, 1)*  
*tps ! (j + 1) = ([H]<sub>N</sub>, 1)*  
*tps ! (j + 2) = ([0]<sub>N</sub>, 1)*  
*tps ! (j + 3) = ([[]], 1)*  
*tps ! (j + 4) = ([[]], 1)*  
*tps ! (j + 5) = ([[]], 1)*  
*tps ! (j + 6) = ([[]], 1)*  
*tps ! (j + 7) = ([[]], 1)*

assumes *tps' = tps*

*[0 := ([xs], Suc n),*  
*j := ([2 + 2 \* n]<sub>N</sub>, 1),*  
*1 := nlltape (nss @ formula-n  $\Phi_6$ )]*

assumes *ttn = 133650 \* H ^ 6 \* n ^ 3 + 1*

shows *transforms (tm-PHI6 j) tps ttn tps'*

using *transforms-tm-PHI6I*[*OF assms(1,2,3) H-ge-3 bs-xs assms(4-13) -] assms(14,15) PHI6-correct*  
 by *simp*

lemma *PHI7-correct*:

assumes *idx = 2 \* n + 4* and *numiter = p n*

shows *concat (map ( $\lambda i. nll-Upsilon (idx + 2 * i) H$ ) [0..*numiter*]) = formula-n  $\Phi_7$*

proof -

have *nll-Upsilon (idx + 2 \* i) H = formula-n ( $\Upsilon (\gamma (2 * n + 4 + 2 * i))$ )* for *i*

proof -

have *nll-Upsilon (idx + 2 \* i) H = formula-n ( $\Upsilon [(idx + 2 * i) * H .. < (idx + 2 * i) * H + H]$ )*

using *nll-Upsilon*[*OF H-ge-3*] by *simp*

also have ... = *formula-n ( $\Upsilon (\gamma (2 * n + 4 + 2 * i))$ )*

using *gamma-def assms(1)* by (*simp add: add.commute*)

finally show ?thesis .

qed

then have *concat (map ( $\lambda i. nll-Upsilon (idx + 2 * i) H$ ) [0..*numiter*]) =*

*concat (map ( $\lambda i. formula-n (\Upsilon (\gamma (2 * n + 4 + 2 * i)))$ ) [0..*numiter*])*

by *simp*

also have ... = *formula-n (concat (map ( $\lambda i. \Upsilon (\gamma (2 * n + 4 + 2 * i))$ ) [0..*numiter*]))*

using *concat-formula-n* by *simp*

also have ... = *formula-n (concat (map ( $\lambda i. \Upsilon (\gamma (2 * n + 4 + 2 * i))$ ) [0..*p n*]))*

using *assms(2)* by *simp*

also have ... = *formula-n  $\Phi_7$*

using *PHI7-def* by *simp*

finally show ?thesis .

qed

lemma *tm-PHI7* [*transforms-intros*]:

fixes *tps tps' :: tape list* and *j :: tapeidx* and *ttn numiter k idx :: nat* and *nss :: nat list list*

assumes *length tps = k* and  $1 < j$  and  $j + 6 < k$

assumes

*tps ! 1 = nlltape nss*  
*tps ! j = ([2 \* n + 4]<sub>N</sub>, 1)*  
*tps ! (j + 1) = ([H]<sub>N</sub>, 1)*  
*tps ! (j + 2) = ([[]], 1)*  
*tps ! (j + 3) = ([[]], 1)*  
*tps ! (j + 4) = ([[]], 1)*  
*tps ! (j + 5) = ([[]], 1)*

```

  tps ! (j + 6) = ([p n]N, 1)
assumes ttt = p n * 257 * H * (nlength (2 * n + 4 + 2 * p n) + nlength H)2 + 1
assumes tps' = tps
  [j := ([2 * n + 4 + 2 * p n]N, 1),
   j + 6 := ([0]N, 1),
   1 := nlltape (nss @ formula-n Φ7)]
shows transforms (tm-PHI7 j) tps ttt tps'
using transforms-tm-PHI7I[OF assms(1,2,3) H-ge-3 assms(4-12)] assms(13) PHI7-correct
by simp

```

**lemma** tm-PHI8 [transforms-intros]:

```

fixes tps tps' :: tape list and j :: tapeidx and ttt k idx :: nat and nss :: nat list list
assumes length tps = k and 1 < j and j + 7 < k
assumes idx = 1 + 3 * T' + m'
assumes

```

```

  tps ! 1 = nlltape nss
  tps ! j = ([1 + 3 * T' + m']N, 1)
  tps ! (j + 1) = ([H]N, 1)
  tps ! (j + 2) = ([[]], 1)
  tps ! (j + 3) = ([[]], 1)
  tps ! (j + 4) = ([[]], 1)
  tps ! (j + 5) = ([[]], 1)
  tps ! (j + 6) = ([[]], 1)
  tps ! (j + 7) = ([[]], 1)
assumes tps' = tps
  [1 := nlltape (nss @ formula-n Φ8),
   j + 2 := ([3]N, 1),
   j + 6 := ([formula-n Φ8]NLL, 1)]
assumes ttt = 18 + 1861 * H ^ 4 * (nlength (Suc (1 + 3 * T' + m')))2
shows transforms (tm-PHI8 j) tps ttt tps'

```

**proof** –

```

let ?idx = 1 + 3 * T' + m'
have m' * H + T' * 3 * H + H = ?idx * H
  using m' add-mult-distrib by simp
then have ζ1 T' = [?idx * H..<?idx * H + H]
  using zeta1-def Z-def m' by (metis ab-semigroup-add-class.add-ac(1) mult.assoc mult-2)
then have nll-Psi (?idx * H) H 3 = formula-n Φ8
  using PHI8-def nll-Psi by simp
then show ?thesis
  using transforms-tm-PHI8I[OF assms(1-3) H-ge-3 assms(5-13) - assms(15)] assms(14) by simp

```

**qed**

**end**

## 8.2 A Turing machine for initialization

As we have seen in the previous section, the Turing machines *tm-PHI0* etc. expect some tapes to contain certain values that depend on the verifier TM  $M$ . In this section we construct the TM *tm-PHI-init* that computes these values.

The TM expects the string  $x$  on the input tape. Then it determines the length  $n$  of  $x$  and stores it on tape 11. Then it computes the value  $p(n)$  and stores it on tape 15. Then it computes  $m = 2n + 2p(n) + 2$  and stores it on tape 16. It then writes  $\mathbf{0}^m$  to tape 9 and runs *tm-list-headpos*, which writes the sequences of head positions for the input and work/output tape of the verifier TM  $M$  to tapes 4 and 7, respectively. The length of these lists determines  $T'$ , which is written to tape 17. From this and  $m$  the TM computes  $m'$  and writes it to tape 18. It then writes  $H$ , which is hard-coded, to tape 19 and finally  $N = H \cdot m'$  to tape 20.

We assume that the TM starts in a configuration where the input tape head and the heads on tapes with index greater than 10 are positioned on cell number 1, whereas all other tapes are on cell number 0 as usual. The TM has no tape parameters, as all tapes are fixed to work with the final TM later.

As with other TMs before, we will define and analyze the TM on the theory level and then transfer the semantics to the locale *reduction-sat-x*.

**definition** *tm-PHI-init* :: *nat*  $\Rightarrow$  *machine*  $\Rightarrow$  (*nat*  $\Rightarrow$  *nat*)  $\Rightarrow$  *machine* **where**

```

tm-PHI-init G M p  $\equiv$ 
  tm-right 9 ;;
  tm-length-input 11 ;;
  tm-polynomial p 11 ;;
  tm-copyn 15 16 ;;
  tm-add 11 16 ;;
  tm-incr 16 ;;
  tm-times2 16 ;;
  tm-copyn 16 17 ;;
  tm-write-replicate 2 17 9 ;;
  tm-left 9 ;;
  tm-list-headpos G M 2 ;;
  tm-count 4 17 4 ;;
  tm-decr 17 ;;
  tm-copyn 16 18 ;;
  tm-incr 18 ;;
  tm-add 17 18 ;;
  tm-setn 19 (max G (length M)) ;;
  tm-mult 18 19 20

```

**lemma** *tm-PHI-init-tm*:

```

fixes H k
assumes turing-machine 2 G M and k > 20 and H  $\geq$  Suc (length M) and H  $\geq$  G
assumes H  $\geq$  5
shows turing-machine k H (tm-PHI-init G M p)
unfolding tm-PHI-init-def
using assms turing-machine-sequential-turing-machine tm-right-tm tm-length-input-tm tm-polynomial-tm
  tm-copyn-tm tm-add-tm tm-incr-tm tm-times2-tm tm-write-replicate-tm tm-left-tm tm-list-headpos-tm
  tm-count-tm tm-decr-tm tm-setn-tm tm-mult-tm
by simp

```

**locale** *turing-machine-PHI-init* =

```

fixes G :: nat and M :: machine and p :: nat  $\Rightarrow$  nat
begin

```

```

definition tm3  $\equiv$  tm-right 9
definition tm4  $\equiv$  tm3 ;; tm-length-input 11
definition tm5  $\equiv$  tm4 ;; tm-polynomial p 11
definition tm6  $\equiv$  tm5 ;; tm-copyn 15 16
definition tm7  $\equiv$  tm6 ;; tm-add 11 16
definition tm8  $\equiv$  tm7 ;; tm-incr 16
definition tm9  $\equiv$  tm8 ;; tm-times2 16
definition tm10  $\equiv$  tm9 ;; tm-copyn 16 17
definition tm11  $\equiv$  tm10 ;; tm-write-replicate 2 17 9
definition tm12  $\equiv$  tm11 ;; tm-left 9
definition tm13  $\equiv$  tm12 ;; tm-list-headpos G M 2
definition tm14  $\equiv$  tm13 ;; tm-count 4 17 4
definition tm15  $\equiv$  tm14 ;; tm-decr 17
definition tm16  $\equiv$  tm15 ;; tm-copyn 16 18
definition tm17  $\equiv$  tm16 ;; tm-incr 18
definition tm18  $\equiv$  tm17 ;; tm-add 17 18
definition tm19  $\equiv$  tm18 ;; tm-setn 19 (max G (length M))
definition tm20  $\equiv$  tm19 ;; tm-mult 18 19 20

```

**lemma** *tm20-eq-tm-PHI-init*: *tm20* = *tm-PHI-init G M p*

```

unfolding tm20-def tm19-def tm18-def tm17-def tm16-def tm15-def tm14-def tm13-def tm12-def tm11-def
unfolding tm10-def tm9-def tm8-def tm7-def tm6-def tm5-def tm4-def tm3-def tm-PHI-init-def
by simp

```

**context**

```

fixes k H thalt :: nat and tps0 :: tape list and xs zs :: symbol list
assumes poly-p: polynomial p

```

**and**  $M\text{-tm}$ : *turing-machine* 2  $G$   $M$   
**and**  $k$ :  $k = \text{length } \text{tps0 } 20 < k$   
**and**  $H$ :  $H = \text{max } G (\text{length } M)$   
**and**  $xs$ : *bit-symbols*  $xs$   
**and**  $zs$ :  $zs = 2 \# 2 \# \text{replicate } (2 * \text{length } xs + 2 * p (\text{length } xs)) 2$   
**assumes**  $\text{thalt}$ :  
 $\forall t < \text{thalt}. \text{fst } (\text{execute } M (\text{start-config } 2 \text{ } zs) t) < \text{length } M$   
 $\text{fst } (\text{execute } M (\text{start-config } 2 \text{ } zs) \text{thalt}) = \text{length } M$   
**assumes**  $\text{tps0}$ :  
 $\text{tps0 } ! 0 = (\lfloor xs \rfloor, 1)$   
 $\bigwedge i. 0 < i \implies i \leq 10 \implies \text{tps0 } ! i = (\lfloor \square \rfloor, 0)$   
 $\bigwedge i. 10 < i \implies i < k \implies \text{tps0 } ! i = (\lfloor \square \rfloor, 1)$   
**begin**

**lemma**  $G$ :  $G \geq 4$   
**using**  $M\text{-tm}$  *turing-machine-def* **by** *simp*

**lemma**  $H$ :  $H \geq \text{length } M$   $H \geq G$   
**using**  $H$  **by** *simp-all*

**definition**  $\text{tps3} \equiv \text{tps0}$   
 $[9 := (\lfloor \square \rfloor, 1)]$

**lemma**  $\text{tm3}$  [*transforms-intros*]: *transforms*  $\text{tm3}$   $\text{tps0}$  1  $\text{tps3}$   
**unfolding**  $\text{tm3-def}$  **by** (*tform*  $\text{tps}$ :  $\text{tps3-def}$   $\text{tps0}$   $k$ )

**abbreviation**  $n \equiv \text{length } xs$

**definition**  $\text{tps4} \equiv \text{tps0}$   
 $[9 := (\lfloor \square \rfloor, 1),$   
 $11 := (\lfloor n \rfloor_N, 1)]$

**lemma**  $\text{tm4}$  [*transforms-intros*]:  
**assumes**  $\text{ttt} = 5 + 11 * (\text{length } xs)^2$   
**shows** *transforms*  $\text{tm4}$   $\text{tps0}$   $\text{ttt}$   $\text{tps4}$   
**unfolding**  $\text{tm4-def}$   
**proof** (*tform*  $\text{tps}$ :  $\text{tps3-def}$   $\text{tps4-def}$   $\text{tps0}$   $k$  *time*: *assms*)  
**show** *proper-symbols*  $xs$   
**using**  $xs$  **by** *auto*  
**show**  $\text{tps3 } ! 11 = (\lfloor 0 \rfloor_N, 1)$   
**using** *canrepr-0*  $\text{tps3-def}$   $\text{tps0}$   $k$  **by** *simp*  
**qed**

**definition**  $\text{tps5} \equiv \text{tps0}$   
 $[9 := (\lfloor \square \rfloor, 1),$   
 $11 := (\lfloor n \rfloor_N, 1),$   
 $15 := (\lfloor p \ n \rfloor_N, 1)]$

**lemma**  $\text{tm5}$  [*transforms-intros*]:  
**assumes**  $\text{ttt} = 5 + 11 * (\text{length } xs)^2 + (d\text{-polynomial } p + d\text{-polynomial } p * (n\text{length } (\text{length } xs))^2)$   
**shows** *transforms*  $\text{tm5}$   $\text{tps0}$   $\text{ttt}$   $\text{tps5}$   
**unfolding**  $\text{tm5-def}$  **by** (*tform*  $\text{tps}$ : *canrepr-0*  $\text{tps4-def}$   $\text{tps5-def}$   $\text{tps0}$   $k$  *poly-p* *time*: *assms*)

**definition**  $\text{tps6} \equiv \text{tps0}$   
 $[9 := (\lfloor \square \rfloor, 1),$   
 $11 := (\lfloor n \rfloor_N, 1),$   
 $15 := (\lfloor p \ n \rfloor_N, 1),$   
 $16 := (\lfloor p \ n \rfloor_N, 1)]$

**lemma**  $\text{tm6}$  [*transforms-intros*]:  
**assumes**  $\text{ttt} = 19 + 11 * n^2 + (d\text{-polynomial } p + d\text{-polynomial } p * (n\text{length } n)^2) + 3 * n\text{length } (p \ n)$   
**shows** *transforms*  $\text{tm6}$   $\text{tps0}$   $\text{ttt}$   $\text{tps6}$   
**unfolding**  $\text{tm6-def}$

**proof** (*tform tps: tps5-def tps6-def tps0 k*)  
**show**  $tps5 ! 16 = ([0]_N, 1)$   
**using** *canrepr-0 k tps0 tps5-def* **by** *simp*  
**show**  $ttt = 5 + 11 * n^2 + (d-polynomial\ p + d-polynomial\ p * (nlength\ n)^2) +$   
 $(14 + 3 * (nlength\ (p\ n) + nlength\ 0))$   
**using** *assms* **by** *simp*  
**qed**

**definition**  $tps7 \equiv tps0$   
 $[9 := ([[]], 1),$   
 $11 := ([n]_N, 1),$   
 $15 := ([p\ n]_N, 1),$   
 $16 := ([n + p\ n]_N, 1)]$

**lemma** *tm7 [transforms-intros]:*  
**assumes**  $ttt = 29 + 11 * n^2 + (d-polynomial\ p + d-polynomial\ p * (nlength\ n)^2) +$   
 $3 * nlength\ (p\ n) + 3 * max\ (nlength\ n)\ (nlength\ (p\ n))$   
**shows** *transforms tm7 tps0 ttt tps7*  
**unfolding** *tm7-def* **by** (*tform tps: tps6-def tps7-def tps0 k assms*)

**definition**  $tps8 \equiv tps0$   
 $[9 := ([[]], 1),$   
 $11 := ([n]_N, 1),$   
 $15 := ([p\ n]_N, 1),$   
 $16 := ([Suc\ (n + p\ n)]_N, 1)]$

**lemma** *tm8 [transforms-intros]:*  
**assumes**  $ttt = 34 + 11 * n^2 + (d-polynomial\ p + d-polynomial\ p * (nlength\ n)^2) +$   
 $3 * nlength\ (p\ n) + 3 * max\ (nlength\ n)\ (nlength\ (p\ n)) + 2 * nlength\ (n + p\ n)$   
**shows** *transforms tm8 tps0 ttt tps8*  
**unfolding** *tm8-def* **by** (*tform tps: tps7-def tps8-def tps0 k assms*)

**definition**  $tps9 \equiv tps0$   
 $[9 := ([[]], 1),$   
 $11 := ([n]_N, 1),$   
 $15 := ([p\ n]_N, 1),$   
 $16 := ([2 * Suc\ (n + p\ n)]_N, 1)]$

**lemma** *tm9 [transforms-intros]:*  
**assumes**  $ttt = 39 + 11 * n^2 + (d-polynomial\ p + d-polynomial\ p * (nlength\ n)^2) +$   
 $3 * nlength\ (p\ n) + 3 * max\ (nlength\ n)\ (nlength\ (p\ n)) + 2 * nlength\ (n + p\ n) +$   
 $2 * nlength\ (Suc\ (n + p\ n))$   
**shows** *transforms tm9 tps0 ttt tps9*  
**unfolding** *tm9-def* **by** (*tform tps: tps8-def tps9-def tps0 k assms*)

**definition**  $tps10 \equiv tps0$   
 $[9 := ([[]], 1),$   
 $11 := ([n]_N, 1),$   
 $15 := ([p\ n]_N, 1),$   
 $16 := ([2 * Suc\ (n + p\ n)]_N, 1),$   
 $17 := ([2 * Suc\ (n + p\ n)]_N, 1)]$

**lemma** *tm10 [transforms-intros]:*  
**assumes**  $ttt = 53 + 11 * n^2 + (d-polynomial\ p + d-polynomial\ p * (nlength\ n)^2) +$   
 $3 * nlength\ (p\ n) + 3 * max\ (nlength\ n)\ (nlength\ (p\ n)) + 2 * nlength\ (n + p\ n) +$   
 $2 * nlength\ (Suc\ (n + p\ n)) + 3 * nlength\ (Suc\ (Suc\ (2 * n + 2 * p\ n)))$   
**shows** *transforms tm10 tps0 ttt tps10*  
**unfolding** *tm10-def*

**proof** (*tform tps: tps9-def tps10-def tps0 k*)  
**show**  $tps9 ! 17 = ([0]_N, 1)$   
**using** *tps9-def canrepr-0 tps0 k* **by** *simp*  
**show**  $ttt = 39 + 11 * n^2 + (d-polynomial\ p + d-polynomial\ p * (nlength\ n)^2) +$   
 $3 * nlength\ (p\ n) + 3 * max\ (nlength\ n)\ (nlength\ (p\ n)) +$



$2 * nlength (n + p n) + 2 * nlength (Suc (n + p n)) +$   
 $(14 + 3 * (nlength (Suc (Suc (2 * n + 2 * p n))) + nlength 0))$   
**using** *assms* **by** *simp*  
**qed**

**definition** *tps11*  $\equiv$  *tps0*

$[9 := (\lfloor zs \rfloor, 1),$   
 $11 := (\lfloor n \rfloor_N, 1),$   
 $15 := (\lfloor p n \rfloor_N, 1),$   
 $16 := (\lfloor 2 * Suc (n + p n) \rfloor_N, 1),$   
 $17 := (\lfloor 0 \rfloor_N, 1)]$

**lemma** *tm11* [*transforms-intros*]:

**assumes**  $ttt = 57 + 11 * n^2 + (d\text{-polynomial } p + d\text{-polynomial } p * (nlength\ n)^2) +$   
 $3 * nlength (p\ n) + 3 * \max (nlength\ n) (nlength (p\ n)) + 2 * nlength (n + p\ n) +$   
 $2 * nlength (Suc (n + p\ n)) + 3 * nlength (Suc (Suc (2 * n + 2 * p\ n))) +$   
 $Suc (Suc (2 * n + 2 * p\ n)) * (12 + 2 * nlength (Suc (Suc (2 * n + 2 * p\ n))))$   
**shows** *transforms tm11 tps0 ttt tps11*  
**unfolding** *tm11-def*

**proof** (*tform tps: tps10-def tps11-def tps0 k time: assms*)

**show** *tps11 = tps10*  
 $[17 := (\lfloor 0 \rfloor_N, 1),$   
 $9 := (\lfloor replicate (Suc (Suc (2 * n + 2 * p n))) 2 \rfloor, 1)]$   
**unfolding** *tps11-def tps10-def* **using** *zs* **by** (*simp add: list-update-swap[of - 9]*)  
**qed**

**definition** *tps12*  $\equiv$  *tps0*

$[9 := (\lfloor zs \rfloor, 0),$   
 $11 := (\lfloor n \rfloor_N, 1),$   
 $15 := (\lfloor p n \rfloor_N, 1),$   
 $16 := (\lfloor 2 * Suc (n + p n) \rfloor_N, 1),$   
 $17 := (\lfloor 0 \rfloor_N, 1)]$

**lemma** *tm12* [*transforms-intros*]:

**assumes**  $ttt = 82 + 11 * n^2 + (d\text{-polynomial } p + d\text{-polynomial } p * (nlength\ n)^2) +$   
 $3 * nlength (p\ n) + 3 * \max (nlength\ n) (nlength (p\ n)) + 2 * nlength (n + p\ n) +$   
 $2 * nlength (Suc (n + p\ n)) + 7 * nlength (Suc (Suc (2 * n + 2 * p\ n))) +$   
 $(2 * n + 2 * p\ n) * (12 + 2 * nlength (Suc (Suc (2 * n + 2 * p\ n))))$   
**shows** *transforms tm12 tps0 ttt tps12*  
**unfolding** *tm12-def*

**proof** (*tform tps: tps11-def tps12-def tps0 k time: assms*)

**have** *tps11 ! 9 |- 1 = (\lfloor zs \rfloor, 0)*  
**using** *tps11-def k* **by** *simp*  
**then show** *tps12 = tps11[9 := tps11 ! 9 |- 1]*  
**unfolding** *tps12-def tps11-def* **by** (*simp add: list-update-swap[of - 9]*)  
**qed**

**abbreviation** *exc*  $::$  *nat*  $\Rightarrow$  *config* **where**

*exc t*  $\equiv$  *execute M (start-config 2 zs) t*

**definition** *tps13*  $\equiv$  *tps0*

$[9 := exc\ thalt\ <!>\ 0,$   
 $11 := (\lfloor n \rfloor_N, 1),$   
 $15 := (\lfloor p n \rfloor_N, 1),$   
 $16 := (\lfloor 2 * Suc (n + p n) \rfloor_N, 1),$   
 $17 := (\lfloor 0 \rfloor_N, 1),$   
 $3 := (\lfloor exc\ thalt\ <\#\>\ 0 \rfloor_N, 1),$   
 $4 := (\lfloor map (\lambda t. exc\ t\ <\#\>\ 0) [0..<Suc\ thalt] \rfloor_{NL}, 1),$   
 $6 := (\lfloor exc\ thalt\ <\#\>\ 1 \rfloor_N, 1),$   
 $7 := (\lfloor map (\lambda t. exc\ t\ <\#\>\ 1) [0..<Suc\ thalt] \rfloor_{NL}, 1),$   
 $10 := exc\ thalt\ <!>\ 1]$

**lemma** *tm13* [*transforms-intros*]:

```

assumes  $t_{tt} = 82 + 11 * n^2 + (d\text{-polynomial } p + d\text{-polynomial } p * (nlength\ n)^2) +$ 
 $3 * nlength\ (p\ n) + 3 * max\ (nlength\ n)\ (nlength\ (p\ n)) + 2 * nlength\ (n + p\ n) +$ 
 $2 * nlength\ (Suc\ (n + p\ n)) + 7 * nlength\ (Suc\ (Suc\ (2 * n + 2 * p\ n))) +$ 
 $(2 * n + 2 * p\ n) * (12 + 2 * nlength\ (Suc\ (Suc\ (2 * n + 2 * p\ n)))) +$ 
 $(27 + 27 * thalt) * (9 + 2 * nlength\ thalt)$ 
shows transforms tm13 tps0 ttt tps13
unfolding tm13-def
proof (tform)
  show turing-machine 2 G M
    using M-tm .
  show  $2 + 9 \leq length\ tps12$ 
    using tps12-def k by simp
  show  $\forall t < thalt. fst\ (execute\ M\ (start\ config\ 2\ zs)\ t) < length\ M$ 
     $fst\ (execute\ M\ (start\ config\ 2\ zs)\ thalt) = length\ M$ 
    using thalt .
  show symbols-lt G zs
proof -
  have  $zs = replicate\ (2 * n + 2 * p\ n + 2)\ 2$ 
    using zs by simp
  then have  $\forall i < length\ zs. zs\ !\ i = 2$ 
    using nth-replicate by (metis length-replicate)
  then show ?thesis
    using G by simp
qed
show  $tps13 = tps12$ 
 $[2 + 1 := (\lfloor snd\ (exc\ thalt) \rfloor : \# : 0) \rfloor_N, 1),$ 
 $2 + 2 := (\lfloor map\ (\lambda t. snd\ (exc\ t) : \# : 0)\ [0..<Suc\ thalt] \rfloor_{NL}, 1),$ 
 $2 + 4 := (\lfloor snd\ (exc\ thalt) \rfloor : \# : 1) \rfloor_N, 1),$ 
 $2 + 5 := (\lfloor map\ (\lambda t. snd\ (exc\ t) : \# : 1)\ [0..<Suc\ thalt] \rfloor_{NL}, 1),$ 
 $2 + 7 := exc\ thalt\ <!\>\ 0, 2 + 8 := exc\ thalt\ <!\>\ 1]$ 
unfolding tps13-def tps12-def by (simp add: list-update-swap[of - 9])
show  $tps12\ !\ 2 = \lceil \triangleright \rceil$ 
  using tps12-def tps0 onesie-1 by simp
show  $tps12\ !\ (2 + 1) = (\lfloor 0 \rfloor_N, 0)$ 
  using tps12-def tps0 canrepr-0 by simp
show  $tps12\ !\ (2 + 2) = (\lfloor [] \rfloor_{NL}, 0)$ 
  using tps12-def tps0 nlcontents-Nil by simp
show  $tps12\ !\ (2 + 3) = \lceil \triangleright \rceil$ 
  using tps12-def tps0 onesie-1 by simp
show  $tps12\ !\ (2 + 4) = (\lfloor 0 \rfloor_N, 0)$ 
  using tps12-def tps0 canrepr-0 by simp
show  $tps12\ !\ (2 + 5) = (\lfloor [] \rfloor_{NL}, 0)$ 
  using tps12-def tps0 nlcontents-Nil by simp
show  $tps12\ !\ (2 + 6) = \lceil \triangleright \rceil$ 
  using tps12-def tps0 onesie-1 by simp
show  $tps12\ !\ (2 + 7) = (\lfloor zs \rfloor, 0)$ 
  using tps12-def k tps0 by simp
show  $tps12\ !\ (2 + 8) = (\lfloor [] \rfloor, 0)$ 
  using tps12-def tps0 by simp
show  $t_{tt} = 82 + 11 * n^2 + (d\text{-polynomial } p + d\text{-polynomial } p * (nlength\ n)^2) +$ 
 $3 * nlength\ (p\ n) + 3 * max\ (nlength\ n)\ (nlength\ (p\ n)) + 2 * nlength\ (n + p\ n) +$ 
 $2 * nlength\ (Suc\ (n + p\ n)) + 7 * nlength\ (Suc\ (Suc\ (2 * n + 2 * p\ n))) +$ 
 $(2 * n + 2 * p\ n) * (12 + 2 * nlength\ (Suc\ (Suc\ (2 * n + 2 * p\ n)))) +$ 
 $27 * Suc\ thalt * (9 + 2 * nlength\ thalt)$ 
  using assms by simp
qed
definition  $tpsA \equiv tps0$ 
 $[9 := exc\ thalt\ <!\>\ 0,$ 
 $3 := (\lfloor exc\ thalt\ <\#\>\ 0 \rfloor_N, 1),$ 
 $6 := (\lfloor exc\ thalt\ <\#\>\ 1 \rfloor_N, 1),$ 
 $10 := exc\ thalt\ <!\>\ 1]$ 

```

**definition**  $tps14 \equiv tps0$

$[9 := exc\ thalt\ <!>\ 0,$   
 $11 := (\lfloor n \rfloor_N, 1),$   
 $15 := (\lfloor p\ n \rfloor_N, 1),$   
 $16 := (\lfloor 2 * Suc\ (n + p\ n) \rfloor_N, 1),$   
 $17 := (\lfloor Suc\ thalt \rfloor_N, 1),$   
 $3 := (\lfloor exc\ thalt\ <\#\>\ 0 \rfloor_N, 1),$   
 $4 := (\lfloor map\ (\lambda t. exc\ t\ <\#\>\ 0) [0..<Suc\ thalt] \rfloor_{NL}, 1),$   
 $6 := (\lfloor exc\ thalt\ <\#\>\ 1 \rfloor_N, 1),$   
 $7 := (\lfloor map\ (\lambda t. exc\ t\ <\#\>\ 1) [0..<Suc\ thalt] \rfloor_{NL}, 1),$   
 $10 := exc\ thalt\ <!>\ 1]$

**lemma**  $tm14$ :

**assumes**  $ttt = 87 + 11 * n^2 + (d\text{-polynomial}\ p + d\text{-polynomial}\ p * (nlength\ n)^2) +$   
 $3 * nlength\ (p\ n) + 3 * max\ (nlength\ n)\ (nlength\ (p\ n)) + 2 * nlength\ (n + p\ n) +$   
 $2 * nlength\ (Suc\ (n + p\ n)) + 7 * nlength\ (Suc\ (Suc\ (2 * n + 2 * p\ n))) +$   
 $(2 * n + 2 * p\ n) * (12 + 2 * nlength\ (Suc\ (Suc\ (2 * n + 2 * p\ n)))) +$   
 $(27 + 27 * thalt) * (9 + 2 * nlength\ thalt) +$   
 $14 * (nlength\ (map\ (\lambda t. exc\ t\ <\#\>\ 0) [0..<thalt] @ [exc\ thalt\ <\#\>\ 0]))^2$

**shows**  $transforms\ tm14\ tps0\ ttt\ tps14$

**unfolding**  $tm14\text{-def}$

**proof** ( $tform$ )

**show**  $4 < length\ tps13\ 17 < length\ tps13$

**using**  $tps13\text{-def}\ k$  **by** ( $simp\text{-all}\ only: length\text{-list}\text{-update}$ )

**show**  $tps13\ !\ 4 = (\lfloor map\ (\lambda t. exc\ t\ <\#\>\ 0) [0..<Suc\ thalt] \rfloor_{NL}, 1)$

**using**  $tps13\text{-def}\ k$  **by** ( $simp\ only: length\text{-list}\text{-update}\ nth\text{-list}\text{-update}\text{-neq}\ nth\text{-list}\text{-update}\text{-eq}$ )

**show**  $tps13\ !\ 17 = (\lfloor 0 \rfloor_N, 1)$

**using**  $tps13\text{-def}\ k$  **by** ( $simp\ only: length\text{-list}\text{-update}\ nth\text{-list}\text{-update}\text{-neq}\ nth\text{-list}\text{-update}\text{-eq}$ )

**show**  $tps14 = tps13$

$[17 := (\lfloor length\ (map\ (\lambda t. snd\ (exc\ t) : \#\ : 0) [0..<Suc\ thalt] \rfloor_N, 1)]$

**unfolding**  $tps14\text{-def}\ tps13\text{-def}$  **by** ( $simp\ add: list\text{-update}\text{-swap}[of\ 17]$ )

**show**  $ttt = 82 + 11 * n^2 + (d\text{-polynomial}\ p + d\text{-polynomial}\ p * (nlength\ n)^2) +$

$3 * nlength\ (p\ n) +$

$3 * max\ (nlength\ n)\ (nlength\ (p\ n)) +$

$2 * nlength\ (n + p\ n) +$

$2 * nlength\ (Suc\ (n + p\ n)) +$

$7 * nlength\ (Suc\ (Suc\ (2 * n + 2 * p\ n))) +$

$(2 * n + 2 * p\ n) * (12 + 2 * nlength\ (Suc\ (Suc\ (2 * n + 2 * p\ n)))) +$

$(27 + 27 * thalt) * (9 + 2 * nlength\ thalt) +$

$(14 * (nlength\ (map\ (\lambda t. snd\ (exc\ t) : \#\ : 0) [0..<Suc\ thalt] \rfloor_N))^2 + 5)$

**using**  $assms$  **by**  $simp$

**qed**

**definition**  $tps14' \equiv tpsA$

$[11 := (\lfloor n \rfloor_N, 1),$

$15 := (\lfloor p\ n \rfloor_N, 1),$

$16 := (\lfloor 2 * Suc\ (n + p\ n) \rfloor_N, 1),$

$17 := (\lfloor Suc\ thalt \rfloor_N, 1),$

$4 := (\lfloor map\ (\lambda t. exc\ t\ <\#\>\ 0) [0..<Suc\ thalt] \rfloor_{NL}, 1),$

$7 := (\lfloor map\ (\lambda t. exc\ t\ <\#\>\ 1) [0..<Suc\ thalt] \rfloor_{NL}, 1)]$

**lemma**  $tps14'$ :  $tps14' = tps14$

**unfolding**  $tps14'\text{-def}\ tps14\text{-def}\ tpsA\text{-def}$  **by** ( $simp\ add: list\text{-update}\text{-swap}$ )

**lemma**  $len\text{-tpsA}$ :  $length\ tpsA = k$

**using**  $tpsA\text{-def}\ k$  **by**  $simp$

**lemma**  $tm14'$  [ $transforms\text{-intros}$ ]:

**assumes**  $ttt = 87 + 11 * n^2 + (d\text{-polynomial}\ p + d\text{-polynomial}\ p * (nlength\ n)^2) +$   
 $3 * nlength\ (p\ n) + 3 * max\ (nlength\ n)\ (nlength\ (p\ n)) + 2 * nlength\ (n + p\ n) +$   
 $2 * nlength\ (Suc\ (n + p\ n)) + 7 * nlength\ (Suc\ (Suc\ (2 * n + 2 * p\ n))) +$   
 $(2 * n + 2 * p\ n) * (12 + 2 * nlength\ (Suc\ (Suc\ (2 * n + 2 * p\ n)))) +$   
 $(27 + 27 * thalt) * (9 + 2 * nlength\ thalt) +$

$14 * (\text{nlength} (\text{map} (\lambda t. \text{exc } t <\#\> 0) [0..<\text{thalt}] @ [\text{exc } \text{thalt} <\#\> 0]))^2$   
**shows** *transforms tm14 tps0 ttt tps14'*  
**using** *tm14 tps14' assms by simp*

**definition** *tps15*  $\equiv$  *tpsA*

$[11 := ([n]_N, 1),$   
 $15 := ([p \ n]_N, 1),$   
 $16 := ([2 * \text{Suc} (n + p \ n)]_N, 1),$   
 $17 := ([\text{thalt}]_N, 1),$   
 $4 := ([\text{map} (\lambda t. \text{exc } t <\#\> 0) [0..<\text{Suc } \text{thalt}]]_{NL}, 1),$   
 $7 := ([\text{map} (\lambda t. \text{exc } t <\#\> 1) [0..<\text{Suc } \text{thalt}]]_{NL}, 1)]$

**lemma** *tm15* [*transforms-intros*]:

**assumes**  $\text{ttt} = 95 + 11 * n^2 + (d\text{-polynomial } p + d\text{-polynomial } p * (\text{nlength } n)^2) +$   
 $3 * \text{nlength} (p \ n) + 3 * \max (\text{nlength } n) (\text{nlength} (p \ n)) + 2 * \text{nlength} (n + p \ n) +$   
 $2 * \text{nlength} (\text{Suc} (n + p \ n)) + 7 * \text{nlength} (\text{Suc} (\text{Suc} (2 * n + 2 * p \ n))) +$   
 $(2 * n + 2 * p \ n) * (12 + 2 * \text{nlength} (\text{Suc} (\text{Suc} (2 * n + 2 * p \ n)))) +$   
 $(27 + 27 * \text{thalt}) * (9 + 2 * \text{nlength } \text{thalt}) +$   
 $14 * (\text{nlength} (\text{map} (\lambda t. \text{exc } t <\#\> 0) [0..<\text{thalt}] @ [\text{exc } \text{thalt} <\#\> 0]))^2 +$   
 $2 * \text{nlength} (\text{Suc } \text{thalt})$

**shows** *transforms tm15 tps0 ttt tps15*

**unfolding** *tm15-def*

**proof** (*tform tps: tps14'-def len-tpsA k time: assms*)

**show**  $\text{tps15} = \text{tps14}'[17 := ([\text{Suc } \text{thalt} - 1]_N, 1)]$

**unfolding** *tps15-def tps14'-def* **by** (*simp add: list-update-swap*)

**qed**

**definition** *tps16*  $\equiv$  *tpsA*

$[11 := ([n]_N, 1),$   
 $15 := ([p \ n]_N, 1),$   
 $16 := ([2 * \text{Suc} (n + p \ n)]_N, 1),$   
 $17 := ([\text{thalt}]_N, 1),$   
 $4 := ([\text{map} (\lambda t. \text{exc } t <\#\> 0) [0..<\text{Suc } \text{thalt}]]_{NL}, 1),$   
 $7 := ([\text{map} (\lambda t. \text{exc } t <\#\> 1) [0..<\text{Suc } \text{thalt}]]_{NL}, 1),$   
 $18 := ([2 * \text{Suc} (n + p \ n)]_N, 1)]$

**lemma** *tm16* [*transforms-intros*]:

**assumes**  $\text{ttt} = 109 + 11 * n^2 + (d\text{-polynomial } p + d\text{-polynomial } p * (\text{nlength } n)^2) +$   
 $3 * \text{nlength} (p \ n) + 3 * \max (\text{nlength } n) (\text{nlength} (p \ n)) + 2 * \text{nlength} (n + p \ n) +$   
 $2 * \text{nlength} (\text{Suc} (n + p \ n)) + 10 * \text{nlength} (\text{Suc} (\text{Suc} (2 * n + 2 * p \ n))) +$   
 $(2 * n + 2 * p \ n) * (12 + 2 * \text{nlength} (\text{Suc} (\text{Suc} (2 * n + 2 * p \ n)))) +$   
 $(27 + 27 * \text{thalt}) * (9 + 2 * \text{nlength } \text{thalt}) +$   
 $14 * (\text{nlength} (\text{map} (\lambda t. \text{exc } t <\#\> 0) [0..<\text{thalt}] @ [\text{exc } \text{thalt} <\#\> 0]))^2 +$   
 $2 * \text{nlength} (\text{Suc } \text{thalt})$

**shows** *transforms tm16 tps0 ttt tps16*

**unfolding** *tm16-def*

**proof** (*tform tps: tps15-def tps16-def k len-tpsA*)

**have**  $\text{tps15} ! 18 = \text{tpsA} ! 18$

**using** *tps15-def* **by** *simp*

**also have**  $\dots = \text{tps0} ! 18$

**using** *tpsA-def* **by** *simp*

**also have**  $\dots = ([0]_N, 1)$

**using** *tps0 canrepr-0 k* **by** *simp*

**finally show**  $\text{tps15} ! 18 = ([0]_N, 1)$ .

**show**  $\text{ttt} = 95 + 11 * n^2 + (d\text{-polynomial } p + d\text{-polynomial } p * (\text{nlength } n)^2) +$

$3 * \text{nlength} (p \ n) + 3 * \max (\text{nlength } n) (\text{nlength} (p \ n)) +$

$2 * \text{nlength} (n + p \ n) + 2 * \text{nlength} (\text{Suc} (n + p \ n)) +$

$7 * \text{nlength} (\text{Suc} (\text{Suc} (2 * n + 2 * p \ n))) +$

$(2 * n + 2 * p \ n) * (12 + 2 * \text{nlength} (\text{Suc} (\text{Suc} (2 * n + 2 * p \ n)))) + (27 + 27 * \text{thalt}) * (9 + 2 * \text{nlength } \text{thalt}) +$

$14 * (\text{nlength}$

$(\text{map} (\lambda t. \text{snd} (\text{exc } t) :\#: 0) [0..<\text{thalt}] @ [\text{snd} (\text{exc } \text{thalt}) :\#: 0]))^2 +$

$2 * \text{nlength} (\text{Suc } \text{thalt}) + (14 + 3 * (\text{nlength} (\text{Suc} (\text{Suc} (2 * n + 2 * p \ n)))) + \text{nlength } 0))$

using *assms* by *simp*  
qed

**definition** *tps17*  $\equiv$  *tpsA*

[11 := ( $\lfloor n \rfloor_N$ , 1),  
15 := ( $\lfloor p \ n \rfloor_N$ , 1),  
16 := ( $\lfloor 2 * \text{Suc } (n + p \ n) \rfloor_N$ , 1),  
17 := ( $\lfloor \text{thalt} \rfloor_N$ , 1),  
4 := ( $\lfloor \text{map } (\lambda t. \text{exc } t <\#\#> 0) [0..<\text{Suc } \text{thalt}] \rfloor_{NL}$ , 1),  
7 := ( $\lfloor \text{map } (\lambda t. \text{exc } t <\#\#> 1) [0..<\text{Suc } \text{thalt}] \rfloor_{NL}$ , 1),  
18 := ( $\lfloor \text{Suc } (2 * \text{Suc } (n + p \ n)) \rfloor_N$ , 1)]

**lemma** *tm17* [*transforms-intros*]:

**assumes**  $\text{tnt} = 114 + 11 * n^2 + (d\text{-polynomial } p + d\text{-polynomial } p * (\text{nlength } n)^2) +$   
 $3 * \text{nlength } (p \ n) + 3 * \text{max } (\text{nlength } n) (\text{nlength } (p \ n)) + 2 * \text{nlength } (n + p \ n) +$   
 $2 * \text{nlength } (\text{Suc } (n + p \ n)) + 10 * \text{nlength } (\text{Suc } (\text{Suc } (2 * n + 2 * p \ n))) +$   
 $(2 * n + 2 * p \ n) * (12 + 2 * \text{nlength } (\text{Suc } (\text{Suc } (2 * n + 2 * p \ n)))) +$   
 $(27 + 27 * \text{thalt}) * (9 + 2 * \text{nlength } \text{thalt}) +$   
 $14 * (\text{nlength } (\text{map } (\lambda t. \text{exc } t <\#\#> 0) [0..<\text{thalt}] @ [\text{exc } \text{thalt } <\#\#> 0]))^2 +$   
 $2 * \text{nlength } (\text{Suc } \text{thalt}) + 2 * \text{nlength } (\text{Suc } (\text{Suc } (2 * n + 2 * p \ n)))$

**shows** *transforms tm17 tps0 tnt tps17*

**unfolding** *tm17-def* by (*tform tps: tps16-def tps17-def k len-tpsA time: assms*)

**definition** *tps18*  $\equiv$  *tpsA*

[11 := ( $\lfloor n \rfloor_N$ , 1),  
15 := ( $\lfloor p \ n \rfloor_N$ , 1),  
16 := ( $\lfloor 2 * \text{Suc } (n + p \ n) \rfloor_N$ , 1),  
17 := ( $\lfloor \text{thalt} \rfloor_N$ , 1),  
4 := ( $\lfloor \text{map } (\lambda t. \text{exc } t <\#\#> 0) [0..<\text{Suc } \text{thalt}] \rfloor_{NL}$ , 1),  
7 := ( $\lfloor \text{map } (\lambda t. \text{exc } t <\#\#> 1) [0..<\text{Suc } \text{thalt}] \rfloor_{NL}$ , 1),  
18 := ( $\lfloor \text{thalt} + \text{Suc } (2 * \text{Suc } (n + p \ n)) \rfloor_N$ , 1)]

**lemma** *tm18* [*transforms-intros*]:

**assumes**  $\text{tnt} = 124 + 11 * n^2 + (d\text{-polynomial } p + d\text{-polynomial } p * (\text{nlength } n)^2) +$   
 $3 * \text{nlength } (p \ n) + 3 * \text{max } (\text{nlength } n) (\text{nlength } (p \ n)) + 2 * \text{nlength } (n + p \ n) +$   
 $2 * \text{nlength } (\text{Suc } (n + p \ n)) + 10 * \text{nlength } (\text{Suc } (\text{Suc } (2 * n + 2 * p \ n))) +$   
 $(2 * n + 2 * p \ n) * (12 + 2 * \text{nlength } (\text{Suc } (\text{Suc } (2 * n + 2 * p \ n)))) +$   
 $(27 + 27 * \text{thalt}) * (9 + 2 * \text{nlength } \text{thalt}) +$   
 $14 * (\text{nlength } (\text{map } (\lambda t. \text{exc } t <\#\#> 0) [0..<\text{thalt}] @ [\text{exc } \text{thalt } <\#\#> 0]))^2 +$   
 $2 * \text{nlength } (\text{Suc } \text{thalt}) + 2 * \text{nlength } (\text{Suc } (\text{Suc } (2 * n + 2 * p \ n))) +$   
 $3 * \text{max } (\text{nlength } \text{thalt}) (\text{nlength } (\text{Suc } (\text{Suc } (\text{Suc } (2 * n + 2 * p \ n)))))$

**shows** *transforms tm18 tps0 tnt tps18*

**unfolding** *tm18-def* by (*tform tps: tps17-def tps18-def k len-tpsA time: assms*)

**definition** *tps19*  $\equiv$  *tpsA*

[11 := ( $\lfloor n \rfloor_N$ , 1),  
15 := ( $\lfloor p \ n \rfloor_N$ , 1),  
16 := ( $\lfloor 2 * \text{Suc } (n + p \ n) \rfloor_N$ , 1),  
17 := ( $\lfloor \text{thalt} \rfloor_N$ , 1),  
4 := ( $\lfloor \text{map } (\lambda t. \text{exc } t <\#\#> 0) [0..<\text{Suc } \text{thalt}] \rfloor_{NL}$ , 1),  
7 := ( $\lfloor \text{map } (\lambda t. \text{exc } t <\#\#> 1) [0..<\text{Suc } \text{thalt}] \rfloor_{NL}$ , 1),  
18 := ( $\lfloor \text{thalt} + \text{Suc } (2 * \text{Suc } (n + p \ n)) \rfloor_N$ , 1),  
19 := ( $\lfloor \text{max } G (\text{length } M) \rfloor_N$ , 1)]

**lemma** *tm19* [*transforms-intros*]:

**assumes**  $\text{tnt} = 134 + 11 * n^2 + (d\text{-polynomial } p + d\text{-polynomial } p * (\text{nlength } n)^2) +$   
 $3 * \text{nlength } (p \ n) + 3 * \text{max } (\text{nlength } n) (\text{nlength } (p \ n)) + 2 * \text{nlength } (n + p \ n) +$   
 $2 * \text{nlength } (\text{Suc } (n + p \ n)) + 10 * \text{nlength } (\text{Suc } (\text{Suc } (2 * n + 2 * p \ n))) +$   
 $(2 * n + 2 * p \ n) * (12 + 2 * \text{nlength } (\text{Suc } (\text{Suc } (2 * n + 2 * p \ n)))) +$   
 $(27 + 27 * \text{thalt}) * (9 + 2 * \text{nlength } \text{thalt}) +$   
 $14 * (\text{nlength } (\text{map } (\lambda t. \text{exc } t <\#\#> 0) [0..<\text{thalt}] @ [\text{exc } \text{thalt } <\#\#> 0]))^2 +$   
 $2 * \text{nlength } (\text{Suc } \text{thalt}) + 2 * \text{nlength } (\text{Suc } (\text{Suc } (2 * n + 2 * p \ n))) +$   
 $3 * \text{max } (\text{nlength } \text{thalt}) (\text{nlength } (\text{Suc } (\text{Suc } (\text{Suc } (2 * n + 2 * p \ n))))) +$

$2 * nlength (max G (length M))$   
**shows transforms**  $tm19 tps0 ttt tps19$   
**unfolding**  $tm19-def$   
**proof** (*tform tps: assms nlength-0*)  
**have**  $tps18 ! 19 = tpsA ! 19$   
**using**  $tps18-def$  **by** *simp*  
**also have**  $... = tps0 ! 19$   
**using**  $tpsA-def$  **by** *simp*  
**also have**  $... = ([0]_N, 1)$   
**using**  $tps0 canrepr-0 k$  **by** *simp*  
**finally show**  $tps18 ! 19 = ([0]_N, 1) .$   
**show**  $19 < length tps18$   
**using**  $tps18-def len-tpsA k$  **by** *simp*  
**show**  $tps19 = tps18[19 := ([max G (length M)]_N, 1)]$   
**using**  $tps19-def tps18-def len-tpsA k$  **by** *presburger*  
**qed**

**definition**  $tps20 \equiv tpsA$

$[11 := ([n]_N, 1),$   
 $15 := ([p n]_N, 1),$   
 $16 := ([2 * Suc (n + p n)]_N, 1),$   
 $17 := ([thalt]_N, 1),$   
 $4 := ([map (\lambda t. exc t <\#\> 0) [0..<Suc thalt]]_{NL}, 1),$   
 $7 := ([map (\lambda t. exc t <\#\> 1) [0..<Suc thalt]]_{NL}, 1),$   
 $18 := ([thalt + Suc (2 * Suc (n + p n))]_N, 1),$   
 $19 := ([max G (length M)]_N, 1),$   
 $20 := ([thalt + Suc (2 * Suc (n + p n))] * max G (length M)]_N, 1)]$

**lemma**  $tm20$ :

**assumes**  $ttt = 138 + 11 * n^2 + (d-polynomial p + d-polynomial p * (nlength n)^2) +$   
 $3 * nlength (p n) + 3 * max (nlength n) (nlength (p n)) + 2 * nlength (n + p n) +$   
 $2 * nlength (Suc (n + p n)) + 10 * nlength (Suc (Suc (2 * n + 2 * p n))) +$   
 $(2 * n + 2 * p n) * (12 + 2 * nlength (Suc (Suc (2 * n + 2 * p n)))) +$   
 $(27 + 27 * thalt) * (9 + 2 * nlength thalt) +$   
 $14 * (nlength (map (\lambda t. exc t <\#\> 0) [0..<thalt] @ [exc thalt <\#\> 0]))^2 +$   
 $2 * nlength (Suc thalt) + 2 * nlength (Suc (Suc (2 * n + 2 * p n))) +$   
 $3 * max (nlength thalt) (nlength (Suc (Suc (Suc (2 * n + 2 * p n)))))) +$   
 $2 * nlength (max G (length M)) +$   
 $26 * (nlength (Suc (Suc (Suc (thalt + (2 * n + 2 * p n))))) + nlength (max G (length M))) *$   
 $(nlength (Suc (Suc (Suc (thalt + (2 * n + 2 * p n))))) + nlength (max G (length M)))$

**shows transforms**  $tm20 tps0 ttt tps20$

**unfolding**  $tm20-def$

**proof** (*tform time: assms*)

**have**  $tps19 ! 20 = tpsA ! 20$

**using**  $tps19-def$  **by** *simp*

**also have**  $... = tps0 ! 20$

**using**  $tpsA-def$  **by** *simp*

**also have**  $... = ([0]_N, 1)$

**using**  $tps0 canrepr-0 k$  **by** *simp*

**finally show**  $tps19 ! 20 = ([0]_N, 1) .$

**show**  $tps20 = tps19$

$[20 := ([Suc (Suc (Suc (thalt + (2 * n + 2 * p n)))) * max G (length M)]_N, 1)]$

**unfolding**  $tps20-def tps19-def$  **by** (*simp add: list-update-swap*)

**show**  $18 < length tps19$   $19 < length tps19$   $20 < length tps19$

**using**  $tps19-def k len-tpsA$  **by** (*simp-all only: length-list-update*)

**have**  $tps19 ! 18 = ([thalt + Suc (2 * Suc (n + p n))]_N, 1)$

**using**  $tps19-def tpsA-def len-tpsA k tps0$  **by** (*simp only: length-list-update nth-list-update-eq nth-list-update-neq*)

**then show**  $tps19 ! 18 = ([Suc (Suc (Suc (thalt + (2 * n + 2 * p n))))]_N, 1)$

**by** *simp*

**show**  $tps19 ! 19 = ([max G (length M)]_N, 1)$

**using**  $tps19-def tpsA-def len-tpsA k tps0$  **by** (*simp only: length-list-update nth-list-update-eq nth-list-update-neq*)

**qed**

**lemma** *tm20'* [*transforms-intros*]:

**assumes**  $t = (2 * d\text{-polynomial } p + 826) * (\max G (\text{length } M) + \text{thalt} + \text{Suc } (\text{Suc } (\text{Suc } (2 * n + 2 * p n)))) ^ 4$

**shows** *transforms tm20 tps0 ttt tps20*

**proof** –

**let**  $?ttt = 138 + 11 * n^2 + (d\text{-polynomial } p + d\text{-polynomial } p * (\text{nlength } n)^2) + 3 * \text{nlength } (p n) + 3 * \max (\text{nlength } n) (\text{nlength } (p n)) + 2 * \text{nlength } (n + p n) + 2 * \text{nlength } (\text{Suc } (n + p n)) + 10 * \text{nlength } (\text{Suc } (\text{Suc } (2 * n + 2 * p n))) + (2 * n + 2 * p n) * (12 + 2 * \text{nlength } (\text{Suc } (\text{Suc } (2 * n + 2 * p n)))) + (27 + 27 * \text{thalt}) * (9 + 2 * \text{nlength } \text{thalt}) + 14 * (\text{nlength } (\text{map } (\lambda t. \text{exc } t <\#\> 0) [0..<\text{thalt}\>] @ [\text{exc } \text{thalt } <\#\> 0]))^2 + 2 * \text{nlength } (\text{Suc } \text{thalt}) + 2 * \text{nlength } (\text{Suc } (\text{Suc } (2 * n + 2 * p n))) + 3 * \max (\text{nlength } \text{thalt}) (\text{nlength } (\text{Suc } (\text{Suc } (\text{Suc } (2 * n + 2 * p n)))))) + 2 * \text{nlength } (\max G (\text{length } M)) + 26 * (\text{nlength } (\text{Suc } (\text{Suc } (\text{Suc } (\text{thalt} + (2 * n + 2 * p n))))) + \text{nlength } (\max G (\text{length } M))) * (\text{nlength } (\text{Suc } (\text{Suc } (\text{Suc } (\text{thalt} + (2 * n + 2 * p n))))) + \text{nlength } (\max G (\text{length } M)))$

**let**  $?a = 3 * \text{nlength } (p n) + 3 * \max (\text{nlength } n) (\text{nlength } (p n)) + 2 * \text{nlength } (n + p n) + 2 * \text{nlength } (\text{Suc } (n + p n)) + 10 * \text{nlength } (\text{Suc } (\text{Suc } (2 * n + 2 * p n))) + (2 * n + 2 * p n) * (12 + 2 * \text{nlength } (\text{Suc } (\text{Suc } (2 * n + 2 * p n)))) + (27 + 27 * \text{thalt}) * (9 + 2 * \text{nlength } \text{thalt})$

**let**  $?b = 2 * \text{nlength } (\text{Suc } \text{thalt}) + 2 * \text{nlength } (\text{Suc } (\text{Suc } (2 * n + 2 * p n))) + 3 * \max (\text{nlength } \text{thalt}) (\text{nlength } (\text{Suc } (\text{Suc } (\text{Suc } (2 * n + 2 * p n)))))) + 2 * \text{nlength } (\max G (\text{length } M)) + 26 * (\text{nlength } (\text{Suc } (\text{Suc } (\text{Suc } (\text{thalt} + (2 * n + 2 * p n))))) + \text{nlength } (\max G (\text{length } M))) * (\text{nlength } (\text{Suc } (\text{Suc } (\text{Suc } (\text{thalt} + (2 * n + 2 * p n))))) + \text{nlength } (\max G (\text{length } M)))$

**let**  $?m = \max G (\text{length } M) + \text{thalt} + \text{Suc } (\text{Suc } (\text{Suc } (2 * n + 2 * p n)))$

**define**  $m$  **where**  $m = \max G (\text{length } M) + \text{thalt} + \text{Suc } (\text{Suc } (\text{Suc } (2 * n + 2 * p n)))$

**note** *m-def* [*simp*]

**have**  $**$ :  $y \leq y * m$  **for**  $y$   
**by** *simp*

**have**  $*$ :  $\text{nlength } y \leq m$  **if**  $y \leq m$  **for**  $y$   
**using** *nlength-mono[OF that] nlength-mono* **by** (*meson dual-order.trans nlength-le-n*)

**have** 1:  $\text{nlength } (p n) \leq m$   
**using**  $*$  **by** *simp*

**have** 2:  $\max (\text{nlength } n) (\text{nlength } (p n)) \leq m$   
**using**  $*$  **by** *simp*

**have** 3:  $\text{nlength } (n + p n) \leq m$   
**using**  $*$  **by** *simp*

**have** 4:  $\text{nlength } (\text{Suc } (n + p n)) \leq m$   
**using**  $*$  **by** *simp*

**have** 5:  $\text{nlength } (\text{Suc } (\text{Suc } (2 * n + 2 * p n))) \leq m$   
**using**  $*$  **by** *simp*

**have** 6:  $\text{nlength } n \leq m$   
**using**  $*$  **by** *simp*

**have** 7:  $2 * n + 2 * p n \leq m$   
**by** *simp*

**have** 8:  $\text{thalt} \leq m$   $\text{nlength } \text{thalt} \leq m$   $\text{nlength } (\text{Suc } \text{thalt}) \leq m$   
**using**  $*$  **by** *simp-all*

**have** 10:  $\max (\text{nlength } \text{thalt}) (\text{nlength } (\text{Suc } (\text{Suc } (\text{Suc } (2 * n + 2 * p n))))) \leq m$   
**using**  $*$  **by** *simp*

**have** 11:  $\text{nlength } (\text{Suc } (\text{Suc } (\text{Suc } (\text{thalt} + (2 * n + 2 * p n))))) \leq m$   
**using**  $*$  **by** *simp*

**have** 12:  $\text{nlength } (\text{Suc } (\text{Suc } (\text{Suc } (\text{thalt} + (2 * n + 2 * p n))))) + \text{nlength } (\max G (\text{length } M)) \leq m$   
**using**  $*$  *nlength-le-n* **by** (*smt (verit) ab-semigroup-add-class.add-ac(1) add commute add-Suc-right add-le-mono m-def*)

**have** 13:  $\text{nlength } (\max G (\text{length } M)) \leq m$   
**using** 12 **by** *simp*

**have** 14:  $\text{Suc } (\text{nlength } \text{thalt}) \leq m$

**proof** –

**have**  $\text{nlength } \text{thalt} \leq \text{nlength } m$   
**using** *nlength-mono* **by** *simp*

**moreover** **have**  $m \geq 3$   
**by** *simp*

```

ultimately have  $nlength\ thalt < m$ 
  using  $nlength-less-n\ dual-order.strict-trans2$  by blast
then show  $?thesis$ 
  by simp
qed
have 15:  $Suc\ thalt \leq m$ 
  by simp

have  $?a \leq 20 * m +$ 
   $(2 * n + 2 * p\ n) * (12 + 2 * nlength\ (Suc\ (Suc\ (2 * n + 2 * p\ n)))) + (27 + 27 * thalt) * (9 + 2 * nlength\ thalt)$ 
  using 1 2 3 4 5 by linarith
also have  $\dots \leq 20 * m + m * (12 + 2 * nlength\ (Suc\ (Suc\ (2 * n + 2 * p\ n)))) + (27 + 27 * thalt) * (9 + 2 * nlength\ thalt)$ 
  using 7 by (metis add.commute add-mono-thms-linordered-semiring(2) mult-Suc-right mult-le-cancel2)
also have  $\dots \leq 20 * m + m * (12 + 2 * m) + (27 + 27 * thalt) * (9 + 2 * nlength\ thalt)$ 
  using 5 by (meson add-left-mono add-mono-thms-linordered-semiring(3) mult-le-mono2)
also have  $\dots \leq 20 * m + m * (12 + 2 * m) + (27 + 27 * m) * (9 + 2 * m)$ 
  using 8 add-le-mono le-refl mult-le-mono by presburger
also have  $\dots \leq 20 * m + m * (12 * m + 2 * m) + (27 * m + 27 * m) * (9 + 2 * m)$ 
  using ** by (meson add-le-mono add-mono-thms-linordered-semiring(2) add-mono-thms-linordered-semiring(3) mult-le-mono1 mult-le-mono2)
also have  $\dots \leq 20 * m + m * (12 * m + 2 * m) + (27 * m + 27 * m) * (9 * m + 2 * m)$ 
  using ** by simp
also have  $\dots = 20 * m + m * 14 * m + 54 * m * 11 * m$ 
  by algebra
also have  $\dots = 20 * m + 14 * m^2 + 594 * m^2$ 
  by algebra
also have  $\dots = 20 * m + 608 * m^2$ 
  by simp
also have  $\dots \leq 20 * m^2 + 608 * m^2$ 
  using linear-le-pow by (meson add-le-mono1 mult-le-mono2 zero-less-numeral)
also have  $\dots = 628 * m^2$ 
  by simp
finally have part1:  $?a \leq 628 * m^2$  .

have  $nlength\ (map\ (\lambda t. exc\ t <\#\> 0)\ [0..<thalt]) @ [exc\ thalt <\#\> 0]) \leq Suc\ (nlength\ thalt) * Suc\ thalt$ 
proof -
  have  $exc\ t <\#\> 0 \leq thalt$  if  $t \leq thalt$  for  $t$ 
    using that M-tm head-pos-le-halting-time thalt(2) zero-less-numeral by blast
  then have  $y \leq thalt$  if  $y \in set\ (map\ (\lambda t. exc\ t <\#\> 0)\ [0..<Suc\ thalt])$  for  $y$ 
    using that by force
  then have  $nlength\ (map\ (\lambda t. exc\ t <\#\> 0)\ [0..<Suc\ thalt]) \leq Suc\ (nlength\ thalt) * Suc\ thalt$ 
    (is  $nlength\ ?ns \leq -$ )
    using  $nlength-le-len-mult-max$ [of  $?ns\ thalt$ ] by simp
  then show  $?thesis$ 
    by simp
qed
then have part2:  $nlength\ (map\ (\lambda t. exc\ t <\#\> 0)\ [0..<thalt]) @ [exc\ thalt <\#\> 0]) \leq m * m$ 
  using 14 15 by (meson le-trans mult-le-mono)

have  $?b = 2 * nlength\ (Suc\ thalt) + 2 * nlength\ (Suc\ (Suc\ (2 * n + 2 * p\ n))) +$ 
   $3 * max\ (nlength\ thalt)\ (nlength\ (Suc\ (Suc\ (2 * n + 2 * p\ n)))) + 2 * nlength\ (max\ G\ (length\ M)) +$ 
   $26 * (nlength\ (Suc\ (Suc\ (Suc\ (thalt + (2 * n + 2 * p\ n)))))) + nlength\ (max\ G\ (length\ M)) ^ 2$ 
  by algebra
also have  $\dots \leq 2 * nlength\ (Suc\ thalt) + 2 * nlength\ (Suc\ (Suc\ (2 * n + 2 * p\ n))) +$ 
   $3 * max\ (nlength\ thalt)\ (nlength\ (Suc\ (Suc\ (Suc\ (2 * n + 2 * p\ n)))) + 2 * nlength\ (max\ G\ (length\ M)) +$ 
   $26 * m^2$ 
  using 12 by simp
also have  $\dots \leq 2 * nlength\ (Suc\ thalt) + 2 * nlength\ (Suc\ (Suc\ (2 * n + 2 * p\ n))) +$ 
   $3 * m + 2 * nlength\ (max\ G\ (length\ M)) + 26 * m^2$ 
  using 10 by linarith
also have  $\dots \leq 2 * m + 2 * m + 3 * m + 2 * m + 26 * m^2$ 

```



```

    using 13 8 5 by simp
  also have ... = 9 * m + 26 * m ^ 2
    by simp
  also have ... ≤ 9 * m ^ 2 + 26 * m ^ 2
    using linear-le-pow by (meson add-le-mono1 mult-le-mono2 zero-less-numeral)
  also have ... = 35 * m ^ 2
    by simp
  finally have part3: ?b ≤ 35 * m ^ 2 .

  have ?ttt = 138 + 11 * n2 + (d-polynomial p + d-polynomial p * (nlength n)2) + ?a +
    14 * (nlength (map (λt. exc t <#> 0) [0..<thalt] @ [exc thalt <#> 0]))2 + ?b
    by simp
  also have ... ≤ 138 + 11 * n2 + d-polynomial p + d-polynomial p * (nlength n)2 + ?a + 14 * (m * m)2 + ?b
    using part2 by simp
  also have ... ≤ 138 + 11 * n2 + d-polynomial p + d-polynomial p * (nlength n)2 + ?a + 14 * (m * m)2 +
    35 * m ^ 2
    using part3 by linarith
  also have ... ≤ 138 + 11 * n2 + d-polynomial p + d-polynomial p * (nlength n)2 + 628 * m ^ 2 + 14 * (m
    * m)2 + 35 * m ^ 2
    using part1 by linarith
  also have ... = 138 + 11 * n2 + d-polynomial p + d-polynomial p * (nlength n)2 + 663 * m ^ 2 + 14 * m ^
    4
    by algebra
  also have ... ≤ 138 + 11 * m ^ 2 + d-polynomial p + d-polynomial p * (nlength n)2 + 663 * m ^ 2 + 14 *
    m ^ 4
    by simp
  also have ... ≤ 138 + 11 * m ^ 2 + d-polynomial p + d-polynomial p * m ^ 2 + 663 * m ^ 2 + 14 * m ^ 4
    using 6 by simp
  also have ... = 138 + d-polynomial p + d-polynomial p * m ^ 2 + 674 * m ^ 2 + 14 * m ^ 4
    by simp
  also have ... ≤ 138 + d-polynomial p + d-polynomial p * m ^ 4 + 674 * m ^ 2 + 14 * m ^ 4
    using pow-mono'[of 2 4] by simp
  also have ... ≤ 138 + d-polynomial p + d-polynomial p * m ^ 4 + 674 * m ^ 4 + 14 * m ^ 4
    using pow-mono'[of 2 4] by simp
  also have ... = 138 + d-polynomial p + d-polynomial p * m ^ 4 + 688 * m ^ 4
    by simp
  also have ... ≤ 138 * m + d-polynomial p + d-polynomial p * m ^ 4 + 688 * m ^ 4
    using ** by simp
  also have ... ≤ 138 * m ^ 4 + d-polynomial p + d-polynomial p * m ^ 4 + 688 * m ^ 4
    using linear-le-pow[of 4 m] by simp
  also have ... = d-polynomial p + d-polynomial p * m ^ 4 + 826 * m ^ 4
    by simp
  also have ... ≤ d-polynomial p * m + d-polynomial p * m ^ 4 + 826 * m ^ 4
    using ** by simp
  also have ... ≤ d-polynomial p * m ^ 4 + d-polynomial p * m ^ 4 + 826 * m ^ 4
    using linear-le-pow[of 4 m] by (simp del: m-def)
  also have ... = 2 * d-polynomial p * m ^ 4 + 826 * m ^ 4
    by simp
  also have ... = (2 * d-polynomial p + 826) * m ^ 4
    by algebra
  finally have ?ttt ≤ (2 * d-polynomial p + 826) * m ^ 4 .
  then have ?ttt ≤ ttt
    using assms by simp
  then show ?thesis
    using tm20 transforms-monotone by fast
qed
end
end
end

lemma transforms-tm-PHI-initI:
  fixes G :: nat and M :: machine and p :: nat ⇒ nat

```

**fixes**  $k$   $H$   $thalt$  :: *nat* **and**  $tps$   $tps'$  :: *tape list* **and**  $xs$   $zs$  :: *symbol list*  
**assumes**  $poly\text{-}p$ : *polynomial*  $p$   
**and**  $M\text{-}tm$ : *turing-machine*  $2$   $G$   $M$   
**and**  $k$ :  $k = \text{length } tps$   $20 < k$   
**and**  $H$ :  $H = \max G$  ( $\text{length } M$ )  
**and**  $xs$ : *bit-symbols*  $xs$   
**and**  $zs$ :  $zs = 2 \# 2 \# \text{replicate } (2 * \text{length } xs + 2 * p (\text{length } xs))$   $2$   
**assumes**  $thalt$ :  
 $\forall t < thalt. \text{fst } (\text{execute } M (\text{start-config } 2 \text{ } zs) t) < \text{length } M$   
 $\text{fst } (\text{execute } M (\text{start-config } 2 \text{ } zs) thalt) = \text{length } M$   
**assumes**  $tps0$ :  
 $tps ! 0 = (\lfloor xs \rfloor, 1)$   
 $\bigwedge i. 0 < i \implies i \leq 10 \implies tps ! i = (\lfloor \square \rfloor, 0)$   
 $\bigwedge i. 10 < i \implies i < k \implies tps ! i = (\lfloor \square \rfloor, 1)$   
**assumes**  $ttt = (2 * d\text{-polynomial } p + 826) * (\max G (\text{length } M) + thalt + \text{Suc } (\text{Suc } (\text{Suc } (2 * (\text{length } xs) + 2 * p (\text{length } xs)))))) \wedge 4$   
**assumes**  $tps' = tps$   
 $9 := \text{execute } M (\text{start-config } 2 \text{ } zs) thalt <!\> 0,$   
 $3 := (\lfloor \text{execute } M (\text{start-config } 2 \text{ } zs) thalt <\#\> 0 \rfloor_N, 1),$   
 $6 := (\lfloor \text{execute } M (\text{start-config } 2 \text{ } zs) thalt <\#\> 1 \rfloor_N, 1),$   
 $10 := \text{execute } M (\text{start-config } 2 \text{ } zs) thalt <!\> 1,$   
 $11 := (\lfloor \text{length } xs \rfloor_N, 1),$   
 $15 := (\lfloor p (\text{length } xs) \rfloor_N, 1),$   
 $16 := (\lfloor 2 * \text{Suc } ((\text{length } xs) + p (\text{length } xs)) \rfloor_N, 1),$   
 $17 := (\lfloor thalt \rfloor_N, 1),$   
 $4 := (\lfloor \text{map } (\lambda t. \text{execute } M (\text{start-config } 2 \text{ } zs) t <\#\> 0) [0..<\text{Suc } thalt] \rfloor_{NL}, 1),$   
 $7 := (\lfloor \text{map } (\lambda t. \text{execute } M (\text{start-config } 2 \text{ } zs) t <\#\> 1) [0..<\text{Suc } thalt] \rfloor_{NL}, 1),$   
 $18 := (\lfloor thalt + \text{Suc } (2 * \text{Suc } ((\text{length } xs) + p (\text{length } xs))) \rfloor_N, 1),$   
 $19 := (\lfloor \max G (\text{length } M) \rfloor_N, 1),$   
 $20 := (\lfloor (thalt + \text{Suc } (2 * \text{Suc } ((\text{length } xs) + p (\text{length } xs)))) * \max G (\text{length } M) \rfloor_N, 1)$   
**shows** *transforms* ( $tm\text{-}PHI\text{-}init$   $G$   $M$   $p$ )  $tps$   $ttt$   $tps'$   
**proof** –  
**interpret**  $loc$ : *turing-machine-PHI-init*  $G$   $M$   $p$  .  
**note**  $ctx = poly\text{-}p$   $M\text{-}tm$   $k$   $H$   $xs$   $zs$   $thalt$   $tps0$   
**have** *transforms*  $loc$ . $tm20$   $tps$   $ttt$  ( $loc$ . $tps20$   $thalt$   $tps$   $xs$   $zs$ )  
**using**  $assms$   $loc$ . $tm20$   $[OF$   $ctx]$   $loc$ . $tps20\text{-}def$   $[OF$   $ctx]$   $loc$ . $tpsA\text{-}def$   $[OF$   $ctx]$   
**by** *blast*  
**then** *have* *transforms* ( $tm\text{-}PHI\text{-}init$   $G$   $M$   $p$ )  $tps$   $ttt$  ( $loc$ . $tps20$   $thalt$   $tps$   $xs$   $zs$ )  
**using**  $loc$ . $tm20\text{-}eq\text{-}tm\text{-}PHI\text{-}init$  **by** *simp*  
**moreover** *have*  $loc$ . $tps20$   $thalt$   $tps$   $xs$   $zs = tps'$   
**using**  $assms$   $loc$ . $tps20\text{-}def$   $[OF$   $ctx]$   $loc$ . $tpsA\text{-}def$   $[OF$   $ctx]$  **by** *presburger*  
**ultimately** *show*  $?thesis$   
**by** *simp*  
**qed**

Next we transfer the semantics of  $tm\text{-}PHI\text{-}init$  to the locale  $reduction\text{-}sat\text{-}x$ .

**lemma** (*in*  $reduction\text{-}sat\text{-}x$ )  $tm\text{-}PHI\text{-}init$  [*transforms-intros*]:

**fixes**  $k$  :: *nat* **and**  $tps$   $tps'$  :: *tape list*  
**assumes**  $k = \text{length } tps$  **and**  $20 < k$   
**assumes**  
 $tps ! 0 = (\lfloor xs \rfloor, 1)$   
 $\bigwedge i. 0 < i \implies i \leq 10 \implies tps ! i = (\lfloor \square \rfloor, 0)$   
 $\bigwedge i. 10 < i \implies i < k \implies tps ! i = (\lfloor \square \rfloor, 1)$   
**assumes**  $ttt = (2 * d\text{-polynomial } p + 826) * (H + T' + \text{Suc } (\text{Suc } (\text{Suc } (2 * n + 2 * p n)))) \wedge 4$   
**assumes**  $tps' = tps$   
 $9 := \text{exc } (\text{zeros } m) T' <!\> 0,$   
 $3 := (\lfloor \text{exc } (\text{zeros } m) T' <\#\> 0 \rfloor_N, 1),$   
 $6 := (\lfloor \text{exc } (\text{zeros } m) T' <\#\> 1 \rfloor_N, 1),$   
 $10 := \text{exc } (\text{zeros } m) T' <!\> 1,$   
 $11 := (\lfloor n \rfloor_N, 1),$   
 $15 := (\lfloor p n \rfloor_N, 1),$   
 $16 := (\lfloor 2 * \text{Suc } (n + p n) \rfloor_N, 1),$   
 $17 := (\lfloor T' \rfloor_N, 1),$

```

4 := (⌊map (λt. exc (zeros m) t <#> 0) [0..<Suc T']⌋NL, 1),
7 := (⌊map (λt. exc (zeros m) t <#> 1) [0..<Suc T']⌋NL, 1),
18 := (⌊T' + Suc (2 * Suc (n + p n))⌋N, 1),
19 := (⌊H⌋N, 1),
20 := (⌊(T' + Suc (2 * Suc (n + p n))) * H⌋N, 1)
shows transforms (tm-PHI-init G M p) tps ttt tps'
proof -
  have nx: n = length xs
  by simp
  then have zeros-zs: zeros m = 2 # 2 # replicate (2 * length xs + 2 * p (length xs)) 2
  using zeros m-def by simp
  then have thalt:
    ∀ t < T'. fst (exc (zeros m) t) < length M
    fst (exc (zeros m) T') = length M
  using less-TT TT T'-def by metis+
  have H: H = max G (length M)
  using H-def by simp
  have ttt: ttt = (2 * d-polynomial p + 826) *
    (max G (length M) + T' + Suc (Suc (Suc (2 * (length xs) + 2 * p (length xs)))))) ^ 4
  using H nx assms(6) by simp
  have tps': tps' = tps
  [9 := exc (zeros m) T' <!> 0,
  3 := (⌊snd (exc (zeros m) T') :# 0⌋N, 1),
  6 := (⌊snd (exc (zeros m) T') :# 1⌋N, 1),
  10 := exc (zeros m) T' <!> 1, 11 := (⌊length xs⌋N, 1),
  15 := (⌊p (length xs)⌋N, 1),
  16 := (⌊2 * Suc (length xs + p (length xs))⌋N, 1),
  17 := (⌊T'⌋N, 1),
  4 := (⌊map (λt. snd (exc (zeros m) t) :# 0) [0..<Suc T']⌋NL, 1),
  7 := (⌊map (λt. snd (exc (zeros m) t) :# 1) [0..<Suc T']⌋NL, 1),
  18 := (⌊T' + Suc (2 * Suc (length xs + p (length xs)))⌋N, 1),
  19 := (⌊max G (length M)⌋N, 1),
  20 := (⌊(T' + Suc (2 * Suc (length xs + p (length xs)))) * max G (length M)⌋N, 1)]
  using H nx assms(7) by presburger
  show transforms (tm-PHI-init G M p) tps ttt tps'
  using transforms-tm-PHI-init[OF p tm-M assms(1,2) H bs-xs zeros-zs thalt assms(3,4,5) ttt tps'] .
qed

```

### 8.3 The actual Turing machine computing the reduction

In this section we put everything together to build a Turing machine that given a string  $x$  outputs the CNF formula  $\Phi$  defined in Chapter 6. In principle this is just a sequence of the TMs  $tm\text{-}PHI\text{-}init$ ,  $tm\text{-}PHI0$ ,  $\dots$ ,  $tm\text{-}PHI9$ , where  $tm\text{-}PHI345$  occurs once for each of the formulas  $\Phi_3$ ,  $\Phi_4$ , and  $\Phi_5$ . All these TMs are linked by TMs that copy values prepared by  $tm\text{-}PHI\text{-}init$  to the tapes where the following TM expects them. Also as the very first step the tape heads on tapes 0 and 11 and beyond must be moved one cell to the right to meet  $tm\text{-}PHI\text{-}init$ 's expectations.

The TM will have 110 tapes because we just allocate another batch of tapes for every TM computing a  $\Phi_i$ , rather than cleaning up and reusing tapes.

The Turing machine for computing  $\Phi$  is to be defined in the locale *reduction-sat*. We save the space to write the TM in closed form.

**context** *reduction-sat*

**begin**

**definition**  $tm1 \equiv tm\text{-}right\text{-}many \{i. i < 1 \vee 10 < i\}$

**definition**  $tm2 \equiv tm1 ;; tm\text{-}PHI\text{-}init G M p$

**definition**  $tm3 \equiv tm2 ;; tm\text{-}copyn 18 21$

**definition**  $tm4 \equiv tm3 ;; tm\text{-}copyn 19 22$

**definition**  $tm5 \equiv tm4 ;; tm\text{-}right 1$

**definition**  $tm6 \equiv tm5 ;; tm\text{-}PHI0 21$

**definition**  $tm7 \equiv tm6$  ;; *tm-setn* 29 H  
**definition**  $tm8 \equiv tm7$  ;; *tm-PHI1* 28

**definition**  $tm9 \equiv tm8$  ;; *tm-copyn* 11 35  
**definition**  $tm10 \equiv tm9$  ;; *tm-setn* 36 H  
**definition**  $tm11 \equiv tm10$  ;; *tm-PHI2* 35

**definition**  $tm12 \equiv tm11$  ;; *tm-setn* 42 1  
**definition**  $tm13 \equiv tm12$  ;; *tm-setn* 43 H  
**definition**  $tm14 \equiv tm13$  ;; *tm-setn* 44 2  
**definition**  $tm15 \equiv tm14$  ;; *tm-copyn* 11 50  
**definition**  $tm16 \equiv tm15$  ;; *tm-times2incr* 50  
**definition**  $tm17 \equiv tm16$  ;; *tm-PHI345* 2 42

**definition**  $tm18 \equiv tm17$  ;; *tm-setn* 52 H  
**definition**  $tm19 \equiv tm18$  ;; *tm-setn* 53 2  
**definition**  $tm20 \equiv tm19$  ;; *tm-copyn* 11 51  
**definition**  $tm21 \equiv tm20$  ;; *tm-times2* 51  
**definition**  $tm22 \equiv tm21$  ;; *tm-plus-const* 3 51  
**definition**  $tm23 \equiv tm22$  ;; *tm-copyn* 16 59  
**definition**  $tm24 \equiv tm23$  ;; *tm-incr* 59  
**definition**  $tm25 \equiv tm24$  ;; *tm-PHI345* 2 51

**definition**  $tm26 \equiv tm25$  ;; *tm-setn* 61 H  
**definition**  $tm27 \equiv tm26$  ;; *tm-copyn* 16 60  
**definition**  $tm28 \equiv tm27$  ;; *tm-incr* 60  
**definition**  $tm29 \equiv tm28$  ;; *tm-copyn* 60 68  
**definition**  $tm30 \equiv tm29$  ;; *tm-add* 17 68  
**definition**  $tm31 \equiv tm30$  ;; *tm-PHI345* 1 60

**definition**  $tm32 \equiv tm31$  ;; *tm-setn* 69 2  
**definition**  $tm33 \equiv tm32$  ;; *tm-setn* 70 H  
**definition**  $tm34 \equiv tm33$  ;; *tm-PHI6* 69

**definition**  $tm35 \equiv tm34$  ;; *tm-copyn* 11 77  
**definition**  $tm36 \equiv tm35$  ;; *tm-times2* 77  
**definition**  $tm37 \equiv tm36$  ;; *tm-plus-const* 4 77  
**definition**  $tm38 \equiv tm37$  ;; *tm-setn* 78 H  
**definition**  $tm39 \equiv tm38$  ;; *tm-copyn* 15 83  
**definition**  $tm40 \equiv tm39$  ;; *tm-PHI7* 77

**definition**  $tm41 \equiv tm40$  ;; *tm-copyn* 18 84  
**definition**  $tm42 \equiv tm41$  ;; *tm-add* 17 84  
**definition**  $tm43 \equiv tm42$  ;; *tm-add* 17 84  
**definition**  $tm44 \equiv tm43$  ;; *tm-add* 17 84  
**definition**  $tm45 \equiv tm44$  ;; *tm-incr* 84  
**definition**  $tm46 \equiv tm45$  ;; *tm-setn* 85 H  
**definition**  $tm47 \equiv tm46$  ;; *tm-PHI8* 84

**definition**  $tm48 \equiv tm47$  ;; *tm-copyn* 20 91  
**definition**  $tm49 \equiv tm48$  ;; *tm-setn* 92 H  
**definition**  $tm50 \equiv tm49$  ;; *tm-setn* 93 Z  
**definition**  $tm51 \equiv tm50$  ;; *tm-copyn* 17 94  
**definition**  $tm52 \equiv tm51$  ;; *tm-set* 95 (*numlistlist* (*formula-n*  $\psi$ ))  
**definition**  $tm53 \equiv tm52$  ;; *tm-set* 96 (*numlistlist* (*formula-n*  $\psi'$ ))  
**definition**  $tm54 \equiv tm53$  ;; *tm-setn* 97 1  
**definition**  $tm55 \equiv tm54$  ;; *tm-PHI9* 4 7 91

**definition**  $tm56 \equiv tm55$  ;; *tm-cr* 1  
**definition**  $tm57 \equiv tm56$  ;; *tm-cp-until* 1 109 {0}  
**definition**  $tm58 \equiv tm57$  ;; *tm-erase-cr* 1  
**definition**  $tm59 \equiv tm58$  ;; *tm-cr* 109  
**definition**  $tm60 \equiv tm59$  ;; *tm-binencode* 109 1

**definition**  $H' :: \text{nat}$  where

$H' \equiv \text{Suc} (\text{Suc } H)$

**lemma**  $H\text{-gr-3}$ :  $H > 3$

using  $H\text{-def}$   $tm\text{-M}$   $turing\text{-machine}\text{-def}$  by auto

**lemma**  $H'$ :  $H' \geq \text{Suc} (\text{length } M)$   $H' \geq G$   $H' \geq 6$

using  $H'\text{-def}$   $H\text{-ge-length-M}$   $H\text{-ge-G}$   $H\text{-gr-3}$  by simp-all

**lemma**  $tm40\text{-tm}$ :  $turing\text{-machine}$  110  $H'$   $tm40$

**unfolding**  $tm40\text{-def}$   $tm39\text{-def}$   $tm38\text{-def}$   $tm37\text{-def}$   $tm36\text{-def}$   $tm35\text{-def}$   $tm34\text{-def}$   $tm33\text{-def}$   $tm32\text{-def}$   $tm31\text{-def}$

**unfolding**  $tm30\text{-def}$   $tm29\text{-def}$   $tm28\text{-def}$   $tm27\text{-def}$   $tm26\text{-def}$   $tm25\text{-def}$   $tm24\text{-def}$   $tm23\text{-def}$   $tm22\text{-def}$   $tm21\text{-def}$

**unfolding**  $tm20\text{-def}$   $tm19\text{-def}$   $tm18\text{-def}$   $tm17\text{-def}$   $tm16\text{-def}$   $tm15\text{-def}$   $tm14\text{-def}$   $tm13\text{-def}$   $tm12\text{-def}$   $tm11\text{-def}$

**unfolding**  $tm10\text{-def}$   $tm9\text{-def}$   $tm8\text{-def}$   $tm7\text{-def}$   $tm6\text{-def}$   $tm5\text{-def}$   $tm4\text{-def}$   $tm3\text{-def}$   $tm2\text{-def}$   $tm1\text{-def}$

using  $H'$

$tm\text{-copyn}\text{-tm}$   $tm\text{-add}\text{-tm}$   $tm\text{-incr}\text{-tm}$   $tm\text{-times2}\text{-tm}$   $tm\text{-setn}\text{-tm}$   $tm\text{-times2incr}\text{-tm}$

$tm\text{-plus-const}\text{-tm}$   $tm\text{-right}\text{-tm}$   $tm\text{-right-many}\text{-tm}$

$tm\text{-PHI-init}\text{-tm}$   $[OF\ tm\text{-M}]$   $tm\text{-PHI0}\text{-tm}$   $tm\text{-PHI1}\text{-tm}$   $tm\text{-PHI2}\text{-tm}$   $tm\text{-PHI345}\text{-tm}$   $tm\text{-PHI6}\text{-tm}$   $tm\text{-PHI7}\text{-tm}$

by simp

**lemma**  $tm55\text{-tm}$ :  $turing\text{-machine}$  110  $H'$   $tm55$

**unfolding**  $tm55\text{-def}$   $tm54\text{-def}$   $tm53\text{-def}$   $tm52\text{-def}$   $tm51\text{-def}$

**unfolding**  $tm50\text{-def}$   $tm49\text{-def}$   $tm48\text{-def}$   $tm47\text{-def}$   $tm46\text{-def}$   $tm45\text{-def}$   $tm44\text{-def}$   $tm43\text{-def}$   $tm42\text{-def}$   $tm41\text{-def}$

using  $tm40\text{-tm}$   $H'$

$tm\text{-copyn}\text{-tm}$   $tm\text{-add}\text{-tm}$   $tm\text{-incr}\text{-tm}$   $tm\text{-setn}\text{-tm}$   $tm\text{-set}\text{-tm}$   $[OF\ -\ -\ \text{symbols-ll-numlistlist}]$

$tm\text{-PHI8}\text{-tm}$   $tm\text{-PHI9}\text{-tm}$

by simp

**lemma**  $tm60\text{-tm}$ :  $turing\text{-machine}$  110  $H'$   $tm60$

**unfolding**  $tm60\text{-def}$   $tm59\text{-def}$   $tm58\text{-def}$   $tm57\text{-def}$   $tm56\text{-def}$

using  $tm55\text{-tm}$   $H'$   $tm\text{-erase-cr}\text{-tm}$   $tm\text{-cr}\text{-tm}$   $tm\text{-cp-until}\text{-tm}$   $tm\text{-binencode}\text{-tm}$

by simp

end

Unlike before, we prove the semantics inside locale  $reduction\text{-sat-x}$  since we need not be concerned with “polluting” the namespace of the locale. After all there will not be any more Turing machines.

**context**  $reduction\text{-sat-x}$

**begin**

**context**

**fixes**  $tps0 :: \text{tape list}$

**assumes**  $k$ :  $110 = \text{length } tps0$

**assumes**  $tps0$ :

$tps0 ! 0 = ([xs], 0)$

$\bigwedge i. 0 < i \implies i < 110 \implies tps0 ! i = ([\ ], 0)$

**begin**

**definition**  $tps1 \equiv \text{map } (\lambda j. \text{if } j < 1 \vee 10 < j \text{ then } tps0 ! j \text{ |+| } 1 \text{ else } tps0 ! j) [0..<110]$

**lemma**  $lentps1$ :  $\text{length } tps1 = 110$

using  $tps1\text{-def}$  by simp

**lemma**  $tps1$ :

$0 < j \implies j < 10 \implies tps1 ! j = ([\ ], 0)$

$10 < j \implies j < 110 \implies tps1 ! j = ([\ ], 1)$

using  $tps1\text{-def}$   $k$   $tps0$  by simp-all

**lemma**  $tps1'$ :  $tps1 ! 0 = ([xs], 1)$

**proof** –

**have**  $tps1 ! 0 = tps0 ! 0 \text{ |+| } 1$

using  $tps1\text{-def}$   $k$   $lentps1$

by (smt (verit, del-insts) add.right-neutral length-greater-0-conv length-map less-or-eq-imp-le list.size(3)  
 not-numeral-le-zero nth-map nth-upt zero-less-one)  
 then show ?thesis  
 using tps0 by simp  
 qed

**lemma** tm1 [transforms-intros]: transforms tm1 tps0 1 tps1  
 unfolding tm1-def by (tform tps: tps1-def tps0 k)

**abbreviation** zs  $\equiv$  zeros m

**definition** tpsA  $\equiv$  tps1  
 [9 := exc zs T' <!> 0,  
 3 := ([exc zs T' <#> 0]<sub>N</sub>, 1),  
 6 := ([exc zs T' <#> 1]<sub>N</sub>, 1),  
 10 := exc zs T' <!> 1]

**lemma** tpsA:  
 tpsA ! 0 = ([xs], 1)  
 tpsA ! 1 = ([[]], 0)  
 10 < j  $\implies$  j < 110  $\implies$  tpsA ! j = ([[]], 1)  
 using tpsA-def tps1 tps1' by simp-all

**lemma** lentpsA: length tpsA = 110  
 using tpsA-def tps1-def k by simp

**definition** tps2  $\equiv$  tpsA  
 [11 := ([n]<sub>N</sub>, 1),  
 15 := ([p n]<sub>N</sub>, 1),  
 16 := ([m]<sub>N</sub>, 1),  
 17 := ([T']<sub>N</sub>, 1),  
 4 := ([map ( $\lambda t$ . exc zs t <#> 0) [0.. $\text{Suc } T'$ ]]<sub>NL</sub>, 1),  
 7 := ([map ( $\lambda t$ . exc zs t <#> 1) [0.. $\text{Suc } T'$ ]]<sub>NL</sub>, 1),  
 18 := ([m']<sub>N</sub>, 1),  
 19 := ([H]<sub>N</sub>, 1),  
 20 := ([m' \* H]<sub>N</sub>, 1)]

**lemma** lentps2: length tps2 = 110  
 using tps2-def lentpsA by simp

**lemma** tm2 [transforms-intros]:  
 assumes ttt = 1 + (2 \* d-polynomial p + 826) \* (H + m') ^ 4  
 shows transforms tm2 tps0 ttt tps2  
 unfolding tm2-def

**proof** (tform tps: tps0 tps1-def k lentps1)  
 have m': m' = T' + Suc (2 \* Suc (n + p n))  
 using m'-def by simp  
 show ttt = 1 + ((2 \* d-polynomial p + 826) \* (H + T' + Suc (Suc (Suc (2 \* n + 2 \* p n)))) ^ 4)  
 using assms m'  
 by (metis ab-semigroup-add-class.add-ac(1) add-2-eq-Suc distrib-left-numeral mult-Suc-right)  
 have m: m = 2 \* Suc (n + p n)  
 using m-def by simp  
 show tps2 = tps1

[9 := exc zs T' <!> 0,  
 3 := ([snd (exc zs T') :# 0]<sub>N</sub>, 1),  
 6 := ([snd (exc zs T') :# 1]<sub>N</sub>, 1),  
 10 := exc zs T' <!> 1, 11 := ([n]<sub>N</sub>, 1),  
 15 := ([p n]<sub>N</sub>, 1),  
 16 := ([2 \* Suc (n + p n)]<sub>N</sub>, 1),  
 17 := ([T']<sub>N</sub>, 1),  
 4 := ([map ( $\lambda t$ . snd (exc zs t) :# 0) [0.. $\text{Suc } T'$ ]]<sub>NL</sub>, 1),  
 7 := ([map ( $\lambda t$ . snd (exc zs t) :# 1) [0.. $\text{Suc } T'$ ]]<sub>NL</sub>, 1),  
 18 := ([T' + Suc (2 \* Suc (n + p n))]<sub>N</sub>, 1),

$19 := ([H]_N, 1),$   
 $20 := ([ (T' + Suc (2 * Suc (n + p n))) * H ]_N, 1)]$   
**using** *tps2-def tpsA-def m m'* **by** *presburger*  
**qed**

**definition** *tps3*  $\equiv$  *tpsA*

$[11 := ([n]_N, 1),$   
 $15 := ([p\ n]_N, 1),$   
 $16 := ([m]_N, 1),$   
 $17 := ([T']_N, 1),$   
 $4 := ([map (\lambda t. exc\ zs\ t\ <\#\> 0) [0..<Suc\ T']]_{NL}, 1),$   
 $7 := ([map (\lambda t. exc\ zs\ t\ <\#\> 1) [0..<Suc\ T']]_{NL}, 1),$   
 $18 := ([m']_N, 1),$   
 $19 := ([H]_N, 1),$   
 $20 := ([m' * H]_N, 1),$   
 $21 := ([m']_N, 1)]$

**lemma** *lentps3*: *length tps3 = 110*  
**using** *tps3-def lentpsA* **by** *simp*

**lemma** *tm3* [*transforms-intros*]:

**assumes** *ttt = 15 + (2 \* d-polynomial p + 826) \* (H + m') ^ 4 + 3 \* nlength m'*

**shows** *transforms tm3 tps0 ttt tps3*

**unfolding** *tm3-def*

**proof** (*tform tps: lentps2 k assms tps2-def*)

**have** *tps2 ! 21 = tpsA ! 21*

**using** *tps2-def* **by** *simp*

**then show** *tps2 ! 21 = ([0]\_N, 1)*

**using** *tpsA canrepr-0 k lentps1* **by** *simp*

**show** *tps3 = tps2[21 := ([m']\_N, 1)]*

**unfolding** *tps3-def tps2-def* **by** (*simp only*:)

**show** *ttt = 1 + (2 \* d-polynomial p + 826) \* (H + m') ^ 4 + (14 + 3 \* (nlength m' + nlength 0))*

**using** *assms* **by** *simp*

**qed**

**definition** *tps4*  $\equiv$  *tpsA*

$[11 := ([n]_N, 1),$   
 $15 := ([p\ n]_N, 1),$   
 $16 := ([m]_N, 1),$   
 $17 := ([T']_N, 1),$   
 $4 := ([map (\lambda t. exc\ zs\ t\ <\#\> 0) [0..<Suc\ T']]_{NL}, 1),$   
 $7 := ([map (\lambda t. exc\ zs\ t\ <\#\> 1) [0..<Suc\ T']]_{NL}, 1),$   
 $18 := ([m']_N, 1),$   
 $19 := ([H]_N, 1),$   
 $20 := ([m' * H]_N, 1),$   
 $21 := ([m']_N, 1),$   
 $22 := ([H]_N, 1)]$

**lemma** *lentps4*: *length tps4 = 110*  
**using** *tps4-def lentpsA* **by** (*simp only: length-list-update*)

**lemma** *tm4* [*transforms-intros*]:

**assumes** *ttt = 29 + (2 \* d-polynomial p + 826) \* (H + m') ^ 4 + 3 \* nlength m' + 3 \* nlength H*

**shows** *transforms tm4 tps0 ttt tps4*

**unfolding** *tm4-def*

**proof** (*tform*)

**show** *19 < length tps3 22 < length tps3*

**using** *lentps3 k* **by** *simp-all*

**show** *tps3 ! 19 = ([H]\_N, 1)*

**using** *tps3-def lentps3 k* **by** (*simp only: length-list-update nth-list-update-eq nth-list-update-neq*)

**have** *tps3 ! 22 = tpsA ! 22*

**unfolding** *tps3-def* **by** *simp*

**then show** *tps3 ! 22 = ([0]\_N, 1)*

**using** *tpsA canrepr-0 k lentps1 by simp*  
**show**  $tps4 = tps3[22 := (\lfloor H \rfloor_N, 1)]$   
**unfolding** *tps4-def tps3-def by (simp only):*  
**show**  $ttt = 15 + (2 * d\text{-polynomial } p + 826) * (H + m')^{\wedge} 4 +$   
 $3 * nlength\ m' + (14 + 3 * (nlength\ H + nlength\ 0))$   
**using** *assms by simp*  
**qed**

**definition**  $tps5 \equiv tpsA$

$11 := (\lfloor n \rfloor_N, 1),$   
 $15 := (\lfloor p\ n \rfloor_N, 1),$   
 $16 := (\lfloor m \rfloor_N, 1),$   
 $17 := (\lfloor T' \rfloor_N, 1),$   
 $4 := (\lfloor map\ (\lambda t. exc\ zs\ t\ <\#\>\ 0)\ [0..\<Suc\ T'] \rfloor_{NL}, 1),$   
 $7 := (\lfloor map\ (\lambda t. exc\ zs\ t\ <\#\>\ 1)\ [0..\<Suc\ T'] \rfloor_{NL}, 1),$   
 $18 := (\lfloor m' \rfloor_N, 1),$   
 $19 := (\lfloor H \rfloor_N, 1),$   
 $20 := (\lfloor m' * H \rfloor_N, 1),$   
 $21 := (\lfloor m' \rfloor_N, 1),$   
 $22 := (\lfloor H \rfloor_N, 1),$   
 $1 := (\lfloor [] \rfloor, 1)$

**lemma** *lentps5: length tps5 = 110*

**using** *tps5-def lentpsA by (simp only: length-list-update)*

**lemma** *tm5 [transforms-intros]:*

**assumes**  $ttt = 30 + (2 * d\text{-polynomial } p + 826) * (H + m')^{\wedge} 4 + 3 * nlength\ m' + 3 * nlength\ H$

**shows** *transforms tm5 tps0 ttt tps5*

**unfolding** *tm5-def*

**proof** (*tform*)

**show**  $1 < length\ tps4$

**using** *lentps4 k by simp*

**show**  $ttt = 29 + (2 * d\text{-polynomial } p + 826) * (H + m')^{\wedge} 4 + 3 * nlength\ m' + 3 * nlength\ H + Suc\ 0$

**using** *assms by simp*

**have**  $tps4 ! 1 = tpsA ! 1$

**using** *tps4-def by (simp only: length-list-update nth-list-update-eq nth-list-update-neq)*

**then have**  $tps4 ! 1 = (\lfloor [] \rfloor, 0)$

**using** *tpsA by simp*

**then have**  $tps4 ! 1 \mid + \mid 1 = (\lfloor [] \rfloor, 1)$

**by** *simp*

**then show**  $tps5 = tps4[1 := tps4 ! 1 \mid + \mid 1]$

**unfolding** *tps5-def tps4-def by (simp only: list-update-swap)*

**qed**

**definition**  $tps6 \equiv tpsA$

$11 := (\lfloor n \rfloor_N, 1),$   
 $15 := (\lfloor p\ n \rfloor_N, 1),$   
 $16 := (\lfloor m \rfloor_N, 1),$   
 $17 := (\lfloor T' \rfloor_N, 1),$   
 $4 := (\lfloor map\ (\lambda t. exc\ zs\ t\ <\#\>\ 0)\ [0..\<Suc\ T'] \rfloor_{NL}, 1),$   
 $7 := (\lfloor map\ (\lambda t. exc\ zs\ t\ <\#\>\ 1)\ [0..\<Suc\ T'] \rfloor_{NL}, 1),$   
 $18 := (\lfloor m' \rfloor_N, 1),$   
 $19 := (\lfloor H \rfloor_N, 1),$   
 $20 := (\lfloor m' * H \rfloor_N, 1),$   
 $21 := (\lfloor m' \rfloor_N, 1),$   
 $22 := (\lfloor H \rfloor_N, 1),$   
 $1 := nlltape\ (formula\text{-}n\ \Phi_0),$   
 $21 := (\lfloor Suc\ (Suc\ m') \rfloor_N, 1),$   
 $21 + 2 := (\lfloor 0 \rfloor_N, 1),$   
 $21 + 6 := (\lfloor nll\text{-}Psi\ (Suc\ (Suc\ m') * H)\ H\ 0 \rfloor_{NLL}, 1)$

**lemma** *lentps6: length tps6 = 110*

**using** *tps6-def lentpsA by (simp only: length-list-update)*



**lemma** *tm6* [*transforms-intros*]:  
**assumes**  $t_{tt} = 30 + (2 * d\text{-polynomial } p + 826) * (H + m')^4 + 3 * nlength\ m' + 3 * nlength\ H + 5627 * H^4 * (3 + nlength\ (3 * H + m' * H))^2$   
**shows** *transforms tm6 tps0 ttt tps6*  
**unfolding** *tm6-def*  
**proof** (*tform*)  
**show**  $21 + 8 < length\ tps5$   
**using** *k lentps5 by simp*  
**show**  $tps5 ! 1 = ([\ ], 1)$   
**using** *tps5-def lentps5 k by (simp only: length-list-update nth-list-update-eq nth-list-update-neq)*  
**show**  $tps5 ! 21 = ([m']_N, 1)$   
**using** *tps5-def lentps5 k by (simp only: length-list-update nth-list-update-eq nth-list-update-neq)*  
**have**  $*$ :  $21 + 1 = 22$   
**by** *simp*  
**show**  $tps5 ! (21 + 1) = ([H]_N, 1)$   
**using** *tps5-def lentps5 k by (simp only: \* length-list-update nth-list-update-eq nth-list-update-neq)*  
**have**  $tps5 ! 23 = tpsA ! 23$   
**using** *tps5-def by (simp only: nth-list-update-eq nth-list-update-neq)*  
**then show**  $tps5 ! (21 + 2) = ([\ ], 1)$   
**using** *tpsA k by simp*  
**have**  $tps5 ! 24 = tpsA ! 24$   
**using** *tps5-def by (simp only: nth-list-update-eq nth-list-update-neq)*  
**then show**  $tps5 ! (21 + 3) = ([\ ], 1)$   
**using** *tpsA k by simp*  
**have**  $tps5 ! 25 = tpsA ! 25$   
**using** *tps5-def by (simp only: nth-list-update-eq nth-list-update-neq)*  
**then show**  $tps5 ! (21 + 4) = ([\ ], 1)$   
**using** *tpsA k by simp*  
**have**  $tps5 ! 26 = tpsA ! 26$   
**using** *tps5-def by (simp only: nth-list-update-eq nth-list-update-neq)*  
**then show**  $tps5 ! (21 + 5) = ([\ ], 1)$   
**using** *tpsA k by simp*  
**have**  $tps5 ! 27 = tpsA ! 27$   
**using** *tps5-def by (simp only: nth-list-update-eq nth-list-update-neq)*  
**then show**  $tps5 ! (21 + 6) = ([\ ], 1)$   
**using** *tpsA k by simp*  
**have**  $tps5 ! 28 = tpsA ! 28$   
**using** *tps5-def by (simp only: nth-list-update-eq nth-list-update-neq)*  
**then show**  $tps5 ! (21 + 7) = ([\ ], 1)$   
**using** *tpsA k by simp*  
**have**  $tps5 ! 29 = tpsA ! 29$   
**using** *tps5-def by (simp only: nth-list-update-eq nth-list-update-neq)*  
**then show**  $tps5 ! (21 + 8) = ([\ ], 1)$   
**using** *tpsA k by simp*  
**show**  $t_{tt} = 30 + (2 * d\text{-polynomial } p + 826) * (H + m')^4 + 3 * nlength\ m' + 3 * nlength\ H + 5627 * H^4 * (3 + nlength\ (3 * H + m' * H))^2$   
**using** *assms by simp*  
**show**  $tps6 = tps5$   
 $[21 := ([Suc\ (Suc\ m')]_N, 1),$   
 $21 + 2 := ([0]_N, 1),$   
 $21 + 6 := ([nll-Psi\ (Suc\ (Suc\ m') * H)\ H\ 0]_{NLL}, 1),$   
 $1 := nlltape\ (formula-n\ \Phi_0)]$   
**unfolding** *tps6-def tps5-def by (simp only: list-update-swap[of 1] list-update-overwrite)*  
**qed**

**definition**  $tpsB \equiv tpsA$   
 $[21 := ([m']_N, 1),$   
 $22 := ([H]_N, 1),$   
 $21 := ([Suc\ (Suc\ m')]_N, 1),$   
 $21 + 2 := ([0]_N, 1),$   
 $21 + 6 := ([nll-Psi\ (Suc\ (Suc\ m') * H)\ H\ 0]_{NLL}, 1)]$

**lemma** *tpsB*:  $j > 27 \implies j < 110 \implies tpsB ! j = ([\square], 1)$   
**using** *tpsB-def tpsA* **by** *simp*

**lemma** *lentpsB*:  $length\ tpsB = 110$   
**using** *lentpsA tpsB-def* **by** *simp*

**lemma** *tps6*:  $tps6 = tpsB$   
 $[11 := ([n]_N, 1),$   
 $15 := ([p\ n]_N, 1),$   
 $16 := ([m]_N, 1),$   
 $17 := ([T']_N, 1),$   
 $4 := ([map\ (\lambda t. exc\ zs\ t\ <\#\>\ 0)\ [0..<Suc\ T']]_{NL}, 1),$   
 $7 := ([map\ (\lambda t. exc\ zs\ t\ <\#\>\ 1)\ [0..<Suc\ T']]_{NL}, 1),$   
 $18 := ([m']_N, 1),$   
 $19 := ([H]_N, 1),$   
 $20 := ([m' * H]_N, 1),$   
 $1 := nlltape\ (formula-n\ \Phi_0)]$   
**unfolding** *tps6-def tpsB-def* **by** (*simp only: list-update-swap*)

**lemma** *tps6'*:  $j > 27 \implies j < 110 \implies tps6 ! j = ([\square], 1)$   
**using** *tps6 tpsB* **by** (*simp only: nth-list-update-neq*)

**definition** *tps7*  $\equiv tpsB$   
 $[11 := ([n]_N, 1),$   
 $15 := ([p\ n]_N, 1),$   
 $16 := ([m]_N, 1),$   
 $17 := ([T']_N, 1),$   
 $4 := ([map\ (\lambda t. exc\ zs\ t\ <\#\>\ 0)\ [0..<Suc\ T']]_{NL}, 1),$   
 $7 := ([map\ (\lambda t. exc\ zs\ t\ <\#\>\ 1)\ [0..<Suc\ T']]_{NL}, 1),$   
 $18 := ([m']_N, 1),$   
 $19 := ([H]_N, 1),$   
 $20 := ([m' * H]_N, 1),$   
 $1 := nlltape\ (formula-n\ \Phi_0),$   
 $29 := ([H]_N, 1)]$

**lemma** *tm7* [*transforms-intros*]:  
**assumes**  $ttt = 40 + (2 * d\text{-polynomial}\ p + 826) * (H + m')^4 + 3 * nlength\ m' + 3 * nlength\ H +$   
 $5627 * H^4 * (3 + nlength\ (3 * H + m' * H))^2 + 2 * nlength\ H$   
**shows** *transforms tm7 tps0 ttt tps7*  
**unfolding** *tm7-def*  
**proof** (*tform*)  
**show**  $29 < length\ tps6$   
**using** *lentpsB k tps6* **by** (*simp only: length-list-update*)  
**show**  $tps6 ! 29 = ([0]_N, 1)$   
**using** *tps6' canrepr-0 k* **by** *simp*  
**show**  $tps7 = tps6[29 := ([H]_N, 1)]$   
**unfolding** *tps7-def* **using** *tps6* **by** (*simp only: list-update-swap*)  
**show**  $ttt = 30 + (2 * d\text{-polynomial}\ p + 826) * (H + m')^4 +$   
 $3 * nlength\ m' + 3 * nlength\ H + 5627 * H^4 * (3 + nlength\ (3 * H + m' * H))^2 +$   
 $(10 + 2 * nlength\ 0 + 2 * nlength\ H)$   
**using** *assms* **by** *simp*  
**qed**

**definition** *tps8*  $\equiv tpsB$   
 $[11 := ([n]_N, 1),$   
 $15 := ([p\ n]_N, 1),$   
 $16 := ([m]_N, 1),$   
 $17 := ([T']_N, 1),$   
 $4 := ([map\ (\lambda t. exc\ zs\ t\ <\#\>\ 0)\ [0..<Suc\ T']]_{NL}, 1),$   
 $7 := ([map\ (\lambda t. exc\ zs\ t\ <\#\>\ 1)\ [0..<Suc\ T']]_{NL}, 1),$   
 $18 := ([m']_N, 1),$   
 $19 := ([H]_N, 1),$   
 $20 := ([m' * H]_N, 1),$

$1 := \text{nlltape} (\text{formula-}n \Phi_0 @ \text{formula-}n \Phi_1),$   
 $29 := ([H]_N, 1),$   
 $28 + 2 := ([1]_N, 1),$   
 $28 + 6 := ([\text{nll-Psi } 0 \ H \ 1]_{NLL}, 1)]$

**lemma** *tm8* [*transforms-intros*]:

**assumes**  $t_{tt} = 40 + (2 * d\text{-polynomial } p + 826) * (H + m')^4 +$   
 $3 * \text{nlength } m' + 3 * \text{nlength } H + 5627 * H^4 * (3 + \text{nlength } (3 * H + m' * H))^2 +$   
 $2 * \text{nlength } H + 1875 * H^4$

**shows** *transforms tm8 tps0 ttt tps8*

**unfolding** *tm8-def*

**proof** (*tform*)

**show**  $28 + 7 < \text{length } t_{ps7}$

**using** *lentpsB k tps7-def* **by** (*simp only: length-list-update*)

**show**  $t_{ps7} ! 1 = \text{nlltape} (\text{formula-}n \Phi_0)$

**using** *tps7-def lentpsB k* **by** (*simp only: nth-list-update-eq nth-list-update-neq length-list-update*)

**show**  $t_{ps7} ! 28 = ([0]_N, 1)$

**using** *tpsB tps7-def canrepr-0 k* **by** (*simp only: nth-list-update-neq*)

**have**  $t_{ps7} ! 29 = ([H]_N, 1)$

**using** *tps7-def lentpsB k* **by** (*simp only: length-list-update nth-list-update-eq nth-list-update-neq*)

**then show**  $t_{ps7} ! (28 + 1) = ([H]_N, 1)$

**by** *simp*

**have**  $t_{ps7} ! 30 = t_{psB} ! 30$

**using** *tps7-def* **by** (*simp only: length-list-update nth-list-update-eq nth-list-update-neq*)

**then show**  $t_{ps7} ! (28 + 2) = ([\ ], 1)$

**using** *tpsB k* **by** *simp*

**have**  $t_{ps7} ! 31 = t_{psB} ! 31$

**using** *tps7-def* **by** (*simp only: length-list-update nth-list-update-eq nth-list-update-neq*)

**then show**  $t_{ps7} ! (28 + 3) = ([\ ], 1)$

**using** *tpsB k* **by** *simp*

**have**  $t_{ps7} ! 32 = t_{psB} ! 32$

**using** *tps7-def* **by** (*simp only: length-list-update nth-list-update-eq nth-list-update-neq*)

**then show**  $t_{ps7} ! (28 + 4) = ([\ ], 1)$

**using** *tpsB k* **by** *simp*

**have**  $t_{ps7} ! 33 = t_{psB} ! 33$

**using** *tps7-def* **by** (*simp only: length-list-update nth-list-update-eq nth-list-update-neq*)

**then show**  $t_{ps7} ! (28 + 5) = ([\ ], 1)$

**using** *tpsB k* **by** *simp*

**have**  $t_{ps7} ! 34 = t_{psB} ! 34$

**using** *tps7-def* **by** (*simp only: length-list-update nth-list-update-eq nth-list-update-neq*)

**then show**  $t_{ps7} ! (28 + 6) = ([\ ], 1)$

**using** *tpsB k* **by** *simp*

**have**  $t_{ps7} ! 35 = t_{psB} ! 35$

**using** *tps7-def* **by** (*simp only: length-list-update nth-list-update-eq nth-list-update-neq*)

**then show**  $t_{ps7} ! (28 + 7) = ([\ ], 1)$

**using** *tpsB k* **by** *simp*

**show**  $t_{tt} = 40 + (2 * d\text{-polynomial } p + 826) * (H + m')^4 +$

$3 * \text{nlength } m' + 3 * \text{nlength } H + 5627 * H^4 * (3 + \text{nlength } (3 * H + m' * H))^2 +$   
 $2 * \text{nlength } H + 1875 * H^4$

**using** *assms* **by** *simp*

**show**  $t_{ps8} = t_{ps7}$

$[28 + 2 := ([1]_N, 1),$

$28 + 6 := ([\text{nll-Psi } 0 \ H \ 1]_{NLL}, 1),$

$1 := \text{nlltape} (\text{formula-}n \Phi_0 @ \text{formula-}n \Phi_1)]$

**unfolding** *tps8-def tps7-def* **by** (*simp only: list-update-swap[of 1] list-update-overwrite*)

**qed**

**definition**  $t_{psC} \equiv t_{psB}$

$[29 := ([H]_N, 1),$

$28 + 2 := ([1]_N, 1),$

$28 + 6 := ([\text{nll-Psi } 0 \ H \ 1]_{NLL}, 1)]$

**lemma** *tpsC*:  $j > 34 \implies j < 110 \implies t_{psC} ! j = ([\ ], 1)$

using *tpsC-def tpsB* by *simp*

**lemma** *lentpsC*: *length tpsC = 110*  
 using *lentpsB tpsC-def* by *simp*

**lemma** *tps8*: *tps8 = tpsC*  
 $[11 := (\lfloor n \rfloor_N, 1),$   
 $15 := (\lfloor p \ n \rfloor_N, 1),$   
 $16 := (\lfloor m \rfloor_N, 1),$   
 $17 := (\lfloor T' \rfloor_N, 1),$   
 $4 := (\lfloor \text{map } (\lambda t. \text{exc } z s t <\#\#> 0) [0..<Suc T'] \rfloor_{NL}, 1),$   
 $7 := (\lfloor \text{map } (\lambda t. \text{exc } z s t <\#\#> 1) [0..<Suc T'] \rfloor_{NL}, 1),$   
 $18 := (\lfloor m' \rfloor_N, 1),$   
 $19 := (\lfloor H \rfloor_N, 1),$   
 $20 := (\lfloor m' * H \rfloor_N, 1),$   
 $1 := \text{nlltape } (\text{formula-}n \ \Phi_0 \ @ \ \text{formula-}n \ \Phi_1)]$   
 unfolding *tps8-def tpsC-def* by (*simp only: list-update-swap*)

**definition** *tps9*  $\equiv$  *tpsC*

$[11 := (\lfloor n \rfloor_N, 1),$   
 $15 := (\lfloor p \ n \rfloor_N, 1),$   
 $16 := (\lfloor m \rfloor_N, 1),$   
 $17 := (\lfloor T' \rfloor_N, 1),$   
 $4 := (\lfloor \text{map } (\lambda t. \text{exc } z s t <\#\#> 0) [0..<Suc T'] \rfloor_{NL}, 1),$   
 $7 := (\lfloor \text{map } (\lambda t. \text{exc } z s t <\#\#> 1) [0..<Suc T'] \rfloor_{NL}, 1),$   
 $18 := (\lfloor m' \rfloor_N, 1),$   
 $19 := (\lfloor H \rfloor_N, 1),$   
 $20 := (\lfloor m' * H \rfloor_N, 1),$   
 $1 := \text{nlltape } (\text{formula-}n \ \Phi_0 \ @ \ \text{formula-}n \ \Phi_1),$   
 $35 := (\lfloor n \rfloor_N, 1)]$

**lemma** *tm9* [*transforms-intros*]:

**assumes**  $t t t = 54 + (2 * d\text{-polynomial } p + 826) * (H + m')^4 + 3 * n\text{length } m' +$   
 $5 * n\text{length } H + 5627 * H^4 * (3 + n\text{length } (3 * H + m' * H))^2 + 1875 * H^4 +$   
 $3 * n\text{length } n$

**shows** *transforms tm9 tps0 ttt tps9*

unfolding *tm9-def*

**proof** (*tform*)

**show**  $11 < \text{length } tps8 \ 35 < \text{length } tps8$

using *lentpsC tps8 k* by (*simp-all only: length-list-update*)

**show**  $tps8 ! 11 = (\lfloor n \rfloor_N, 1)$

using *tps8 lentpsC k* by (*simp only: length-list-update nth-list-update-eq nth-list-update-neq*)

**have**  $tps8 ! 35 = tpsC ! 35$

using *tps8* by (*simp only: nth-list-update-neq*)

**then show**  $tps8 ! 35 = (\lfloor 0 \rfloor_N, 1)$

using *tpsC k canrepr-0* by *simp*

**show**  $tps9 = tps8[35 := (\lfloor n \rfloor_N, 1)]$

unfolding *tps9-def tps8* by (*simp only:*)

**show**  $t t t = 40 + (2 * d\text{-polynomial } p + 826) * (H + m')^4 +$   
 $3 * n\text{length } m' + 3 * n\text{length } H + 5627 * H^4 * (3 + n\text{length } (3 * H + m' * H))^2 +$   
 $2 * n\text{length } H + 1875 * H^4 + (14 + 3 * (n\text{length } n + n\text{length } 0))$

using *assms* by *simp*

**qed**

**definition** *tps10*  $\equiv$  *tpsC*

$[11 := (\lfloor n \rfloor_N, 1),$   
 $15 := (\lfloor p \ n \rfloor_N, 1),$   
 $16 := (\lfloor m \rfloor_N, 1),$   
 $17 := (\lfloor T' \rfloor_N, 1),$   
 $4 := (\lfloor \text{map } (\lambda t. \text{exc } z s t <\#\#> 0) [0..<Suc T'] \rfloor_{NL}, 1),$   
 $7 := (\lfloor \text{map } (\lambda t. \text{exc } z s t <\#\#> 1) [0..<Suc T'] \rfloor_{NL}, 1),$   
 $18 := (\lfloor m' \rfloor_N, 1),$   
 $19 := (\lfloor H \rfloor_N, 1),$

$20 := (\lfloor m' * H \rfloor_N, 1),$   
 $1 := \text{nlltape} (\text{formula-}n \Phi_0 @ \text{formula-}n \Phi_1),$   
 $35 := (\lfloor n \rfloor_N, 1),$   
 $36 := (\lfloor H \rfloor_N, 1)]$

**lemma** *tm10* [*transforms-intros*]:

**assumes**  $ttt = 64 + (2 * d\text{-polynomial } p + 826) * (H + m')^4 +$   
 $3 * \text{nlength } m' + 5 * \text{nlength } H + 5627 * H^4 * (3 + \text{nlength } (3 * H + m' * H))^2 +$   
 $1875 * H^4 + 3 * \text{nlength } n + 2 * \text{nlength } H$

**shows** *transforms tm10 tps0 ttt tps10*

**unfolding** *tm10-def*

**proof** (*tform*)

**show**  $36 < \text{length } tps9$

**using** *lentpsC tps9-def k* **by** (*simp-all only: length-list-update*)

**have**  $tps9 ! 36 = tpsC ! 36$

**using** *tps9-def* **by** (*simp only: nth-list-update-neq*)

**then show**  $tps9 ! 36 = (\lfloor 0 \rfloor_N, 1)$

**using** *tpsC k canrepr-0* **by** *simp*

**show**  $tps10 = tps9[36 := (\lfloor H \rfloor_N, 1)]$

**unfolding** *tps10-def tps9-def* **by** (*simp only: list-update-swap*)

**show**  $ttt = 54 + (2 * d\text{-polynomial } p + 826) * (H + m')^4 +$   
 $3 * \text{nlength } m' + 5 * \text{nlength } H + 5627 * H^4 * (3 + \text{nlength } (3 * H + m' * H))^2 +$   
 $1875 * H^4 + 3 * \text{nlength } n + (10 + 2 * \text{nlength } 0 + 2 * \text{nlength } H)$

**using** *assms* **by** *simp*

**qed**

**definition** *tps11*  $\equiv tpsC$

$[11 := (\lfloor n \rfloor_N, 1),$   
 $15 := (\lfloor p \ n \rfloor_N, 1),$   
 $16 := (\lfloor m \rfloor_N, 1),$   
 $17 := (\lfloor T' \rfloor_N, 1),$   
 $4 := (\lfloor \text{map } (\lambda t. \text{exc } zs \ t \ <\#\> \ 0) \ [0..\text{Suc } T'] \rfloor_{NL}, 1),$   
 $7 := (\lfloor \text{map } (\lambda t. \text{exc } zs \ t \ <\#\> \ 1) \ [0..\text{Suc } T'] \rfloor_{NL}, 1),$   
 $18 := (\lfloor m' \rfloor_N, 1),$   
 $19 := (\lfloor H \rfloor_N, 1),$   
 $20 := (\lfloor m' * H \rfloor_N, 1),$   
 $1 := \text{nlltape} ((\text{formula-}n \Phi_0 @ \text{formula-}n \Phi_1) @ \text{formula-}n \Phi_2),$   
 $35 := (\lfloor n \rfloor_N, 1),$   
 $36 := (\lfloor H \rfloor_N, 1),$   
 $35 := (\lfloor 2 * n + 2 \rfloor_N, 1),$   
 $35 + 2 := (\lfloor 3 \rfloor_N, 1),$   
 $35 + 6 := (\lfloor \text{nll-Psi } (\text{Suc } (\text{Suc } (2 * n)) * H) \ H \ 3 \rfloor_{NLL}, 1)]$

**lemma** *tm11* [*transforms-intros*]:

**assumes**  $ttt = 64 + (2 * d\text{-polynomial } p + 826) * (H + m')^4 +$   
 $3 * \text{nlength } m' + 7 * \text{nlength } H + 5627 * H^4 * (3 + \text{nlength } (3 * H + m' * H))^2 +$   
 $1875 * H^4 + 3 * \text{nlength } n + 3764 * H^4 * (3 + \text{nlength } (3 * H + 2 * n * H))^2$

**shows** *transforms tm11 tps0 ttt tps11*

**unfolding** *tm11-def*

**proof** (*tform*)

**show**  $35 + 8 < \text{length } tps10$

**using** *lentpsC k tps10-def* **by** (*simp only: length-list-update*)

**show**  $tps10 ! 1 = \text{nlltape} (\text{formula-}n \Phi_0 @ \text{formula-}n \Phi_1)$

**using** *tps10-def lentpsC k* **by** (*simp only: nth-list-update-eq nth-list-update-neq length-list-update*)

**show**  $tps10 ! 35 = (\lfloor n \rfloor_N, 1)$

**using** *tps10-def lentpsC k* **by** (*simp only: length-list-update nth-list-update-eq nth-list-update-neq*)

**have**  $tps10 ! 36 = (\lfloor H \rfloor_N, 1)$

**using** *tps10-def lentpsC k* **by** (*simp only: length-list-update nth-list-update-eq nth-list-update-neq*)

**then show**  $tps10 ! (35 + 1) = (\lfloor H \rfloor_N, 1)$

**by** *simp*

**have**  $tps10 ! 37 = tpsC ! 37$

**using** *tps10-def* **by** (*simp only: length-list-update nth-list-update-eq nth-list-update-neq*)

**then show**  $tps10 ! (35 + 2) = (\lfloor \lfloor \rfloor, 1)$

```

    using tpsC k by simp
  have tps10 ! 38 = tpsC ! 38
    using tps10-def by (simp only: length-list-update nth-list-update-eq nth-list-update-neq)
  then show tps10 ! (35 + 3) = ([[]], 1)
    using tpsC k by simp
  have tps10 ! 39 = tpsC ! 39
    using tps10-def by (simp only: length-list-update nth-list-update-eq nth-list-update-neq)
  then show tps10 ! (35 + 4) = ([[]], 1)
    using tpsC k by simp
  have tps10 ! 40 = tpsC ! 40
    using tps10-def by (simp only: length-list-update nth-list-update-eq nth-list-update-neq)
  then show tps10 ! (35 + 5) = ([[]], 1)
    using tpsC k by simp
  have tps10 ! 41 = tpsC ! 41
    using tps10-def by (simp only: length-list-update nth-list-update-eq nth-list-update-neq)
  then show tps10 ! (35 + 6) = ([[]], 1)
    using tpsC k by simp
  have tps10 ! 42 = tpsC ! 42
    using tps10-def by (simp only: length-list-update nth-list-update-eq nth-list-update-neq)
  then show tps10 ! (35 + 7) = ([[]], 1)
    using tpsC k by simp
  have tps10 ! 43 = tpsC ! 43
    using tps10-def by (simp only: length-list-update nth-list-update-eq nth-list-update-neq)
  then show tps10 ! (35 + 8) = ([[]], 1)
    using tpsC k by simp
  show tps11 = tps10
    [35 := ([2 * n + 2]N, 1),
     35 + 2 := ([3]N, 1),
     35 + 6 := ([nll-Psi (Suc (Suc (2 * n)) * H) H 3]NLL, 1),
     1 := nlltape ((formula-n Φ0 @ formula-n Φ1) @ formula-n Φ2)]
  unfolding tps11-def tps10-def by (simp only: list-update-swap[of 1] list-update-overwrite)
  show tt = 64 + (2 * d-polynomial p + 826) * (H + m')4 + 3 * nlength m' +
    5 * nlength H + 5627 * H4 * (3 + nlength (3 * H + m' * H))2 + 1875 * H4 +
    3 * nlength n + 2 * nlength H + 3764 * H4 * (3 + nlength (3 * H + 2 * n * H))2
  using assms by simp
qed

```

```

definition tpsD ≡ tpsC
  [35 := ([n]N, 1),
   36 := ([H]N, 1),
   35 := ([2 * n + 2]N, 1),
   35 + 2 := ([3]N, 1),
   35 + 6 := ([nll-Psi (Suc (Suc (2 * n)) * H) H 3]NLL, 1)]

```

```

lemma tpsD: 41 < j ⇒ j < 110 ⇒ tpsD ! j = ([[]], 1)
  using tpsD-def tpsC by simp

```

```

lemma lentpsD: length tpsD = 110
  using lentpsC tpsD-def by simp

```

```

lemma tps11: tps11 = tpsD
  [11 := ([n]N, 1),
   15 := ([p n]N, 1),
   16 := ([m]N, 1),
   17 := ([T']N, 1),
   4 := ([map (λt. exc zs t <#> 0) [0..<Suc T']]NL, 1),
   7 := ([map (λt. exc zs t <#> 1) [0..<Suc T']]NL, 1),
   18 := ([m']N, 1),
   19 := ([H]N, 1),
   20 := ([m' * H]N, 1),
   1 := nlltape ((formula-n Φ0 @ formula-n Φ1) @ formula-n Φ2)]
  unfolding tps11-def tpsD-def by (simp only: list-update-swap)

```

**definition**  $tps12 \equiv tpsD$

```

[11 := ([n]N, 1),
15 := ([p n]N, 1),
16 := ([m]N, 1),
17 := ([T']N, 1),
4 := ([map (λt. exc zs t <#> 0) [0..<Suc T']]NL, 1),
7 := ([map (λt. exc zs t <#> 1) [0..<Suc T']]NL, 1),
18 := ([m']N, 1),
19 := ([H]N, 1),
20 := ([m' * H]N, 1),
1 := nlltape ((formula-n Φ0 @ formula-n Φ1) @ formula-n Φ2),
42 := ([1]N, 1)]

```

**lemma**  $tm12$  [transforms-intros]:

```

assumes  $ttt = 76 + (2 * d\text{-polynomial } p + 826) * (H + m')^4 + 3 * nlength\ m' + 7 * nlength\ H +$ 
 $5627 * H^4 * (3 + nlength\ (3 * H + m' * H))^2 + 1875 * H^4 +$ 
 $3 * nlength\ n + 3764 * H^4 * (3 + nlength\ (3 * H + 2 * n * H))^2$ 
shows  $transforms\ tm12\ tps0\ ttt\ tps12$ 

```

**unfolding**  $tm12\text{-def}$

**proof** (tform)

**show**  $42 < length\ tps11$

**using**  $lentpsD\ tps11\ k$  **by** (simp-all only: length-list-update)

**have**  $tps11 ! 42 = tpsD ! 42$

**using**  $tps11$  **by** (simp only: nth-list-update-neq)

**then show**  $tps11 ! 42 = ([0]<sub>N</sub>, 1)$

**using**  $tpsD\ k\ canrepr-0$  **by** simp

**show**  $tps12 = tps11[42 := ([1]<sub>N</sub>, 1)]$

**unfolding**  $tps12\text{-def}\ tps11$  **by** (simp only:)

```

show  $ttt = 64 + (2 * d\text{-polynomial } p + 826) * (H + m')^4 + 3 * nlength\ m' + 7 * nlength\ H +$ 
 $5627 * H^4 * (3 + nlength\ (3 * H + m' * H))^2 + 1875 * H^4 +$ 
 $3 * nlength\ n + 3764 * H^4 * (3 + nlength\ (3 * H + 2 * n * H))^2 +$ 
 $(10 + 2 * nlength\ 0 + 2 * nlength\ 1)$ 

```

**using**  $canrepr-1\ assms$  **by** simp

**qed**

**definition**  $tps13 \equiv tpsD$

```

[11 := ([n]N, 1),
15 := ([p n]N, 1),
16 := ([m]N, 1),
17 := ([T']N, 1),
4 := ([map (λt. exc zs t <#> 0) [0..<Suc T']]NL, 1),
7 := ([map (λt. exc zs t <#> 1) [0..<Suc T']]NL, 1),
18 := ([m']N, 1),
19 := ([H]N, 1),
20 := ([m' * H]N, 1),
1 := nlltape ((formula-n Φ0 @ formula-n Φ1) @ formula-n Φ2),
42 := ([1]N, 1),
43 := ([H]N, 1)]

```

**lemma**  $tm13$  [transforms-intros]:

```

assumes  $ttt = 86 + (2 * d\text{-polynomial } p + 826) * (H + m')^4 + 3 * nlength\ m' + 9 * nlength\ H +$ 
 $5627 * H^4 * (3 + nlength\ (3 * H + m' * H))^2 + 1875 * H^4 +$ 
 $3 * nlength\ n + 3764 * H^4 * (3 + nlength\ (3 * H + 2 * n * H))^2$ 
shows  $transforms\ tm13\ tps0\ ttt\ tps13$ 

```

**unfolding**  $tm13\text{-def}$

**proof** (tform)

**show**  $43 < length\ tps12$

**using**  $lentpsD\ tps12\text{-def}\ k$  **by** (simp-all only: length-list-update)

**have**  $tps12 ! 43 = tpsD ! 43$

**using**  $tps12\text{-def}$  **by** (simp only: nth-list-update-neq)

**then show**  $tps12 ! 43 = ([0]<sub>N</sub>, 1)$

**using**  $tpsD\ k\ canrepr-0$  **by** simp

**show**  $tps13 = tps12[43 := ([H]<sub>N</sub>, 1)]$

**unfolding** *tps13-def tps12-def* **by** (*simp only*):  
**show**  $t_{tt} = 76 + (2 * d\text{-polynomial } p + 826) * (H + m')^4 + 3 * nlength\ m' + 7 * nlength\ H +$   
 $5627 * H^4 * (3 + nlength\ (3 * H + m' * H))^2 + 1875 * H^4 + 3 * nlength\ n +$   
 $3764 * H^4 * (3 + nlength\ (3 * H + 2 * n * H))^2 +$   
 $(10 + 2 * nlength\ 0 + 2 * nlength\ H)$   
**using** *assms* **by** *simp*  
**qed**

**definition** *tps14*  $\equiv$  *tpsD*

$[11 := (\lfloor n \rfloor_N, 1),$   
 $15 := (\lfloor p\ n \rfloor_N, 1),$   
 $16 := (\lfloor m \rfloor_N, 1),$   
 $17 := (\lfloor T' \rfloor_N, 1),$   
 $4 := (\lfloor map\ (\lambda t. exc\ zs\ t\ <\#\>\ 0)\ [0..<Suc\ T'] \rfloor_{NL}, 1),$   
 $7 := (\lfloor map\ (\lambda t. exc\ zs\ t\ <\#\>\ 1)\ [0..<Suc\ T'] \rfloor_{NL}, 1),$   
 $18 := (\lfloor m' \rfloor_N, 1),$   
 $19 := (\lfloor H \rfloor_N, 1),$   
 $20 := (\lfloor m' * H \rfloor_N, 1),$   
 $1 := nlltape\ ((formula\text{-}n\ \Phi_0\ @\ formula\text{-}n\ \Phi_1)\ @\ formula\text{-}n\ \Phi_2),$   
 $42 := (\lfloor 1 \rfloor_N, 1),$   
 $43 := (\lfloor H \rfloor_N, 1),$   
 $44 := (\lfloor 2 \rfloor_N, 1)]$

**lemma** *tm14* [*transforms-intros*]:

**assumes**  $t_{tt} = 100 + (2 * d\text{-polynomial } p + 826) * (H + m')^4 + 3 * nlength\ m' + 9 * nlength\ H +$   
 $5627 * H^4 * (3 + nlength\ (3 * H + m' * H))^2 + 1875 * H^4 +$   
 $3 * nlength\ n + 3764 * H^4 * (3 + nlength\ (3 * H + 2 * n * H))^2$   
**shows** *transforms* *tm14* *tps0* *t\_{tt}* *tps14*  
**unfolding** *tm14-def*

**proof** (*tform*)

**show**  $44 < length\ tps13$   
**using** *lentpsD* *tps13-def* *k* **by** (*simp-all only: length-list-update*)  
**have**  $tps13\ !\ 44 = tpsD\ !\ 44$   
**using** *tps13-def* **by** (*simp only: nth-list-update-neq*)  
**then show**  $tps13\ !\ 44 = (\lfloor 0 \rfloor_N, 1)$   
**using** *tpsD* *k* *canrepr-0* **by** *simp*  
**show**  $tps14 = tps13[44 := (\lfloor 2 \rfloor_N, 1)]$   
**unfolding** *tps14-def tps13-def* **by** (*simp only*):  
**show**  $t_{tt} = 86 + (2 * d\text{-polynomial } p + 826) * (H + m')^4 + 3 * nlength\ m' + 9 * nlength\ H +$   
 $5627 * H^4 * (3 + nlength\ (3 * H + m' * H))^2 + 1875 * H^4 +$   
 $3 * nlength\ n + 3764 * H^4 * (3 + nlength\ (3 * H + 2 * n * H))^2 +$   
 $(10 + 2 * nlength\ 0 + 2 * nlength\ 2)$   
**using** *nlength-2* *assms* **by** *simp*

**qed**

**definition** *tps15*  $\equiv$  *tpsD*

$[11 := (\lfloor n \rfloor_N, 1),$   
 $15 := (\lfloor p\ n \rfloor_N, 1),$   
 $16 := (\lfloor m \rfloor_N, 1),$   
 $17 := (\lfloor T' \rfloor_N, 1),$   
 $4 := (\lfloor map\ (\lambda t. exc\ zs\ t\ <\#\>\ 0)\ [0..<Suc\ T'] \rfloor_{NL}, 1),$   
 $7 := (\lfloor map\ (\lambda t. exc\ zs\ t\ <\#\>\ 1)\ [0..<Suc\ T'] \rfloor_{NL}, 1),$   
 $18 := (\lfloor m' \rfloor_N, 1),$   
 $19 := (\lfloor H \rfloor_N, 1),$   
 $20 := (\lfloor m' * H \rfloor_N, 1),$   
 $1 := nlltape\ ((formula\text{-}n\ \Phi_0\ @\ formula\text{-}n\ \Phi_1)\ @\ formula\text{-}n\ \Phi_2),$   
 $42 := (\lfloor 1 \rfloor_N, 1),$   
 $43 := (\lfloor H \rfloor_N, 1),$   
 $44 := (\lfloor 2 \rfloor_N, 1),$   
 $50 := (\lfloor n \rfloor_N, 1)]$

**lemma** *tm15* [*transforms-intros*]:

**assumes**  $t_{tt} = 114 + (2 * d\text{-polynomial } p + 826) * (H + m')^4 + 3 * nlength\ m' + 9 * nlength\ H +$



```

5627 * H ^ 4 * (3 + nlength (3 * H + m' * H))^2 + 1875 * H ^ 4 +
6 * nlength n + 3764 * H ^ 4 * (3 + nlength (3 * H + 2 * n * H))^2
shows transforms tm15 tps0 ttt tps15
unfolding tm15-def
proof (tform)
show 11 < length tps14 50 < length tps14
using lentpsD tps14-def k by (simp-all only: length-list-update)
show tps14 ! 11 = ([n]N, 1)
using tps14-def k lentpsD by (simp only: length-list-update nth-list-update-eq nth-list-update-neq)
have tps14 ! 50 = tpsD ! 50
using tps14-def by (simp only: nth-list-update-neq)
then show tps14 ! 50 = ([0]N, 1)
using tpsD k canrepr-0 by simp
show tps15 = tps14[50 := ([n]N, 1)]
unfolding tps15-def tps14-def by (simp only:)
show ttt = 100 + (2 * d-polynomial p + 826) * (H + m') ^ 4 + 3 * nlength m' + 9 * nlength H +
5627 * H ^ 4 * (3 + nlength (3 * H + m' * H))^2 + 1875 * H ^ 4 +
3 * nlength n + 3764 * H ^ 4 * (3 + nlength (3 * H + 2 * n * H))^2 +
(14 + 3 * (nlength n + nlength 0))
using assms by simp
qed

```

```

definition tps16 ≡ tpsD
[11 := ([n]N, 1),
15 := ([p n]N, 1),
16 := ([m]N, 1),
17 := ([T']N, 1),
4 := ([map (λt. exc zs t <#> 0) [0..<Suc T']]NL, 1),
7 := ([map (λt. exc zs t <#> 1) [0..<Suc T']]NL, 1),
18 := ([m']N, 1),
19 := ([H]N, 1),
20 := ([m' * H]N, 1),
1 := nlltape ((formula-n Φ0 @ formula-n Φ1) @ formula-n Φ2),
42 := ([1]N, 1),
43 := ([H]N, 1),
44 := ([2]N, 1),
50 := ([1 + 2 * n]N, 1)]

```

```

lemma tm16 [transforms-intros]:
assumes ttt = 126 + (2 * d-polynomial p + 826) * (H + m') ^ 4 + 3 * nlength m' + 9 * nlength H +
5627 * H ^ 4 * (3 + nlength (3 * H + m' * H))^2 + 1875 * H ^ 4 +
10 * nlength n + 3764 * H ^ 4 * (3 + nlength (3 * H + 2 * n * H))^2
shows transforms tm16 tps0 ttt tps16
unfolding tm16-def
proof (tform)
show 2 ≤ length tps15 50 < length tps15
using lentpsD tps15-def k by (simp-all only: length-list-update)
show tps15 ! 50 = ([n]N, 1)
using tps15-def k lentpsD by (simp only: length-list-update nth-list-update-eq)
have tps16 = tps15[50 := ([1 + 2 * n]N, 1)]
unfolding tps16-def tps15-def by (simp only: list-update-swap[of 1] list-update-overwrite)
then show tps16 = tps15[50 := ([Suc (2 * n)]N, 1)]
by simp
show ttt = 114 + (2 * d-polynomial p + 826) * (H + m') ^ 4 + 3 * nlength m' + 9 * nlength H +
5627 * H ^ 4 * (3 + nlength (3 * H + m' * H))^2 + 1875 * H ^ 4 + 6 * nlength n +
3764 * H ^ 4 * (3 + nlength (3 * H + 2 * n * H))^2 + (12 + 4 * nlength n)
using assms by simp
qed

```

```

definition tps17 ≡ tpsD
[11 := ([n]N, 1),
15 := ([p n]N, 1),
16 := ([m]N, 1),

```

```

17 := (⌊T'⌋N, 1),
4 := (⌊map (λt. exc zs t <#> 0) [0..<Suc T']⌋NL, 1),
7 := (⌊map (λt. exc zs t <#> 1) [0..<Suc T']⌋NL, 1),
18 := (⌊m'⌋N, 1),
19 := (⌊H⌋N, 1),
20 := (⌊m' * H⌋N, 1),
1 := nlltape (formula-n Φ0 @ formula-n Φ1 @ formula-n Φ2 @ formula-n Φ3),
42 := (⌊1⌋N, 1),
43 := (⌊H⌋N, 1),
44 := (⌊2⌋N, 1),
50 := (⌊1 + 2 * n⌋N, 1),
42 := (⌊1 + 2 * n⌋N, 1),
42 + 3 := (⌊1⌋N, 1)

```

**lemma** *tm17* [transforms-intros]:

**assumes** *ttt* =  $126 + (2 * d\text{-polynomial } p + 826) * (H + m')^4 + 3 * nlength\ m' + 9 * nlength\ H + 5627 * H^4 * (3 + nlength\ (3 * H + m' * H))^2 + 1875 * H^4 + 10 * nlength\ n + 3764 * H^4 * (3 + nlength\ (3 * H + 2 * n * H))^2 + Suc\ n * (9 + 1897 * (H^4 * (nlength\ (1 + 2 * n))^2))$

**shows** *transforms tm17 tps0 ttt tps17*

**unfolding** *tm17-def*

**proof** (*tform transforms-intros: tm-PHI3*)

**show**  $42 + 8 < length\ tps16$

**using** *lentpsD k tps16-def* **by** (*simp only: length-list-update*)

**show**  $tps16 ! 1 = nlltape ((formula-n\ \Phi_0 @ formula-n\ \Phi_1) @ formula-n\ \Phi_2)$

**using** *tps16-def lentpsD k* **by** (*simp only: nth-list-update-eq nth-list-update-neq length-list-update*)

**show**  $tps16 ! 42 = (\lfloor 1 \rfloor_N, 1)$

**using** *tps16-def lentpsD k* **by** (*simp only: length-list-update nth-list-update-eq nth-list-update-neq*)

**have**  $tps16 ! 43 = (\lfloor H \rfloor_N, 1)$

**using** *tps16-def lentpsD k* **by** (*simp only: length-list-update nth-list-update-eq nth-list-update-neq*)

**then show**  $tps16 ! (42 + 1) = (\lfloor H \rfloor_N, 1)$

**by** *simp*

**have**  $tps16 ! 44 = (\lfloor 2 \rfloor_N, 1)$

**using** *tps16-def lentpsD k* **by** (*simp only: length-list-update nth-list-update-eq nth-list-update-neq*)

**then show**  $tps16 ! (42 + 2) = (\lfloor 2 \rfloor_N, 1)$

**by** *simp*

**have**  $tps16 ! 45 = tpsD ! 45$

**using** *tps16-def* **by** (*simp only: length-list-update nth-list-update-eq nth-list-update-neq*)

**then show**  $tps16 ! (42 + 3) = (\lfloor \square \rfloor, 1)$

**using** *tpsD k* **by** *simp*

**have**  $tps16 ! 46 = tpsD ! 46$

**using** *tps16-def* **by** (*simp only: length-list-update nth-list-update-eq nth-list-update-neq*)

**then show**  $tps16 ! (42 + 4) = (\lfloor \square \rfloor, 1)$

**using** *tpsD k* **by** *simp*

**have**  $tps16 ! 47 = tpsD ! 47$

**using** *tps16-def* **by** (*simp only: length-list-update nth-list-update-eq nth-list-update-neq*)

**then show**  $tps16 ! (42 + 5) = (\lfloor \square \rfloor, 1)$

**using** *tpsD k* **by** *simp*

**have**  $tps16 ! 48 = tpsD ! 48$

**using** *tps16-def* **by** (*simp only: length-list-update nth-list-update-eq nth-list-update-neq*)

**then show**  $tps16 ! (42 + 6) = (\lfloor \square \rfloor, 1)$

**using** *tpsD k* **by** *simp*

**have**  $tps16 ! 49 = tpsD ! 49$

**using** *tps16-def* **by** (*simp only: length-list-update nth-list-update-eq nth-list-update-neq*)

**then show**  $tps16 ! (42 + 7) = (\lfloor \square \rfloor, 1)$

**using** *tpsD k* **by** *simp*

**have**  $tps16 ! 50 = (\lfloor 1 + 2 * n \rfloor_N, 1)$

**using** *tps16-def lentpsD k* **by** (*simp only: length-list-update nth-list-update-eq nth-list-update-neq*)

**then show**  $tps16 ! (42 + 8) = (\lfloor 1 + 2 * n \rfloor_N, 1)$

**by** *simp*

**have**  $tps17 = tps16$

$42 := (\lfloor 1 + 2 * n \rfloor_N, 1),$

$1 := nlltape (formula-n\ \Phi_0 @ formula-n\ \Phi_1 @ formula-n\ \Phi_2 @ formula-n\ \Phi_3),$

$42 + 3 := ([1]_N, 1)$   
**unfolding** *tps17-def tps16-def* **by** (*simp only: list-update-swap*[of 1] *list-update-overwrite*)  
**then show** *tps17 = tps16*  
 $[42 := ([1 + 2 * n]_N, 1),$   
 $1 := nlltape ((formula-n \Phi_0 @ formula-n \Phi_1) @ formula-n \Phi_2) @ formula-n \Phi_3),$   
 $42 + 3 := ([1]_N, 1)$   
**by simp**  
**show**  $tts = 126 + (2 * d-polynomial\ p + 826) * (H + m')^4 + 3 * nlength\ m' + 9 * nlength\ H +$   
 $5627 * H^4 * (3 + nlength\ (3 * H + m' * H))^2 + 1875 * H^4 +$   
 $10 * nlength\ n + 3764 * H^4 * (3 + nlength\ (3 * H + 2 * n * H))^2 +$   
 $Suc\ n * (9 + 1897 * (H^4 * (nlength\ (1 + 2 * n))^2))$   
**using** *assms* **by simp**  
**qed**

**definition** *tpsE*  $\equiv$  *tpsD*  
 $[42 := ([1]_N, 1),$   
 $43 := ([H]_N, 1),$   
 $44 := ([2]_N, 1),$   
 $50 := ([1 + 2 * n]_N, 1),$   
 $42 := ([1 + 2 * n]_N, 1),$   
 $42 + 3 := ([1]_N, 1)]$

**lemma** *tpsE*:  $50 < j \implies j < 110 \implies tpsE ! j = ([[]], 1)$   
**using** *tpsE-def tpsD* **by simp**

**lemma** *lentpsE*:  $length\ tpsE = 110$   
**using** *lentpsD tpsE-def* **by simp**

**lemma** *tps17*: *tps17 = tpsE*  
 $[11 := ([n]_N, 1),$   
 $15 := ([p\ n]_N, 1),$   
 $16 := ([m]_N, 1),$   
 $17 := ([T']_N, 1),$   
 $4 := ([map\ (\lambda t. exc\ zs\ t\ <\#\>\ 0)\ [0..<Suc\ T']]_{NL}, 1),$   
 $7 := ([map\ (\lambda t. exc\ zs\ t\ <\#\>\ 1)\ [0..<Suc\ T']]_{NL}, 1),$   
 $18 := ([m']_N, 1),$   
 $19 := ([H]_N, 1),$   
 $20 := ([m' * H]_N, 1),$   
 $1 := nlltape\ (formula-n\ \Phi_0 @ formula-n\ \Phi_1 @ formula-n\ \Phi_2 @ formula-n\ \Phi_3)]$   
**unfolding** *tps17-def tpsE-def* **by** (*simp only: list-update-swap*)

**definition** *tps18*  $\equiv$  *tpsE*  
 $[11 := ([n]_N, 1),$   
 $15 := ([p\ n]_N, 1),$   
 $16 := ([m]_N, 1),$   
 $17 := ([T']_N, 1),$   
 $4 := ([map\ (\lambda t. exc\ zs\ t\ <\#\>\ 0)\ [0..<Suc\ T']]_{NL}, 1),$   
 $7 := ([map\ (\lambda t. exc\ zs\ t\ <\#\>\ 1)\ [0..<Suc\ T']]_{NL}, 1),$   
 $18 := ([m']_N, 1),$   
 $19 := ([H]_N, 1),$   
 $20 := ([m' * H]_N, 1),$   
 $1 := nlltape\ (formula-n\ \Phi_0 @ formula-n\ \Phi_1 @ formula-n\ \Phi_2 @ formula-n\ \Phi_3),$   
 $52 := ([H]_N, 1)]$

**lemma** *tm18* [*transforms-intros*]:  
**assumes**  $tts = 136 + (2 * d-polynomial\ p + 826) * (H + m')^4 + 3 * nlength\ m' + 11 * nlength\ H +$   
 $5627 * H^4 * (3 + nlength\ (3 * H + m' * H))^2 + 1875 * H^4 +$   
 $10 * nlength\ n + 3764 * H^4 * (3 + nlength\ (3 * H + 2 * n * H))^2 +$   
 $Suc\ n * (9 + 1897 * (H^4 * (nlength\ (1 + 2 * n))^2))$   
**shows** *transforms tm18 tps0 tts tps18*  
**unfolding** *tm18-def*  
**proof** (*tform*)  
**show**  $52 < length\ tps17$

```

    using lentpsE tps17 k by (simp-all only: length-list-update)
  have tps17 ! 52 = tpsE ! 52
    using tps17 by (simp only: nth-list-update-neq)
  then show tps17 ! 52 = ([0]N, 1)
    using tpsE k canrepr-0 by simp
  show tps18 = tps17[52 := ([H]N, 1)]
    unfolding tps18-def tps17 by (simp only:)
  show ttt = 126 + (2 * d-polynomial p + 826) * (H + m') ^ 4 + 3 * nlength m' + 9 * nlength H +
    5627 * H ^ 4 * (3 + nlength (3 * H + m' * H))^2 + 1875 * H ^ 4 +
    10 * nlength n + 3764 * H ^ 4 * (3 + nlength (3 * H + 2 * n * H))^2 +
    Suc n * (9 + 1897 * (H ^ 4 * (nlength (1 + 2 * n))^2)) +
    (10 + 2 * nlength 0 + 2 * nlength H)
    using assms by simp
qed

```

**definition** *tps19*  $\equiv$  *tpsE*

```

[11 := ([n]N, 1),
 15 := ([p n]N, 1),
 16 := ([m]N, 1),
 17 := ([T']N, 1),
 4 := ([map (λt. exc zs t <#> 0) [0..Suc T']]NL, 1),
 7 := ([map (λt. exc zs t <#> 1) [0..Suc T']]NL, 1),
 18 := ([m']N, 1),
 19 := ([H]N, 1),
 20 := ([m' * H]N, 1),
 1 := nlltape (formula-n Φ0 @ formula-n Φ1 @ formula-n Φ2 @ formula-n Φ3),
 52 := ([H]N, 1),
 53 := ([2]N, 1)]

```

**lemma** *tm19* [*transforms-intros*]:

```

assumes ttt = 150 + (2 * d-polynomial p + 826) * (H + m') ^ 4 + 3 * nlength m' + 11 * nlength H +
  5627 * H ^ 4 * (3 + nlength (3 * H + m' * H))^2 + 1875 * H ^ 4 +
  10 * nlength n + 3764 * H ^ 4 * (3 + nlength (3 * H + 2 * n * H))^2 +
  Suc n * (9 + 1897 * (H ^ 4 * (nlength (1 + 2 * n))^2))
shows transforms tm19 tps0 ttt tps19
unfolding tm19-def
proof (tform)
  show 53 < length tps18
    using lentpsE tps18-def k by (simp-all only: length-list-update)
  have tps18 ! 53 = tpsE ! 53
    using tps18-def by (simp only: nth-list-update-neq)
  then show tps18 ! 53 = ([0]N, 1)
    using tpsE k canrepr-0 by simp
  show tps19 = tps18[53 := ([2]N, 1)]
    unfolding tps19-def tps18-def by (simp only:)
  show ttt = 136 + (2 * d-polynomial p + 826) * (H + m') ^ 4 + 3 * nlength m' + 11 * nlength H +
    5627 * H ^ 4 * (3 + nlength (3 * H + m' * H))^2 + 1875 * H ^ 4 +
    10 * nlength n + 3764 * H ^ 4 * (3 + nlength (3 * H + 2 * n * H))^2 +
    Suc n * (9 + 1897 * (H ^ 4 * (nlength (1 + 2 * n))^2)) +
    (10 + 2 * nlength 0 + 2 * nlength 2)
    using assms nlength-2 by simp
qed

```

**definition** *tps20*  $\equiv$  *tpsE*

```

[11 := ([n]N, 1),
 15 := ([p n]N, 1),
 16 := ([m]N, 1),
 17 := ([T']N, 1),
 4 := ([map (λt. exc zs t <#> 0) [0..Suc T']]NL, 1),
 7 := ([map (λt. exc zs t <#> 1) [0..Suc T']]NL, 1),
 18 := ([m']N, 1),
 19 := ([H]N, 1),
 20 := ([m' * H]N, 1),

```

$1 := \text{nlldatape (formula-}n \Phi_0 @ \text{formula-}n \Phi_1 @ \text{formula-}n \Phi_2 @ \text{formula-}n \Phi_3),$   
 $52 := ([H]_N, 1),$   
 $53 := ([2]_N, 1),$   
 $51 := ([n]_N, 1)$

**lemma** *tm20* [*transforms-intros*]:

**assumes**  $t_{tt} = 164 + (2 * d\text{-polynomial } p + 826) * (H + m')^4 + 3 * \text{nlength } m' + 11 * \text{nlength } H +$   
 $5627 * H^4 * (3 + \text{nlength } (3 * H + m' * H))^2 + 1875 * H^4 +$   
 $13 * \text{nlength } n + 3764 * H^4 * (3 + \text{nlength } (3 * H + 2 * n * H))^2 +$   
 $\text{Suc } n * (9 + 1897 * (H^4 * (\text{nlength } (1 + 2 * n))^2))$

**shows** *transforms tm20 tps0 ttt tps20*

**unfolding** *tm20-def*

**proof** (*tform*)

**show**  $11 < \text{length } t_{ps19} \ 51 < \text{length } t_{ps19}$

**using** *lentpsE tps19-def k* **by** (*simp-all only: length-list-update*)

**show**  $t_{ps19} ! 11 = ([n]_N, 1)$

**using** *tps19-def k lentpsE* **by** (*simp only: length-list-update nth-list-update-eq nth-list-update-neq*)

**have**  $t_{ps19} ! 51 = t_{psE} ! 51$

**using** *tps19-def* **by** (*simp only: nth-list-update-neq*)

**then show**  $t_{ps19} ! 51 = ([0]_N, 1)$

**using** *tpsE k canrepr-0* **by** *simp*

**show**  $t_{ps20} = t_{ps19}[51 := ([n]_N, 1)]$

**unfolding** *tps20-def tps19-def* **by** (*simp only:*)

**show**  $t_{tt} = 150 + (2 * d\text{-polynomial } p + 826) * (H + m')^4 + 3 * \text{nlength } m' + 11 * \text{nlength } H +$   
 $5627 * H^4 * (3 + \text{nlength } (3 * H + m' * H))^2 + 1875 * H^4 +$   
 $10 * \text{nlength } n + 3764 * H^4 * (3 + \text{nlength } (3 * H + 2 * n * H))^2 +$   
 $\text{Suc } n * (9 + 1897 * (H^4 * (\text{nlength } (1 + 2 * n))^2)) +$   
 $(14 + 3 * (\text{nlength } n + \text{nlength } 0))$

**using** *assms* **by** *simp*

**qed**

**definition** *tps21*  $\equiv t_{psE}$

$[11 := ([n]_N, 1),$

$15 := ([p \ n]_N, 1),$

$16 := ([m]_N, 1),$

$17 := ([T']_N, 1),$

$4 := ([\text{map } (\lambda t. \text{exc } z s t < \# > 0) [0..< \text{Suc } T']]_{NL}, 1),$

$7 := ([\text{map } (\lambda t. \text{exc } z s t < \# > 1) [0..< \text{Suc } T']]_{NL}, 1),$

$18 := ([m']_N, 1),$

$19 := ([H]_N, 1),$

$20 := ([m' * H]_N, 1),$

$1 := \text{nlldatape (formula-}n \Phi_0 @ \text{formula-}n \Phi_1 @ \text{formula-}n \Phi_2 @ \text{formula-}n \Phi_3),$

$52 := ([H]_N, 1),$

$53 := ([2]_N, 1),$

$51 := ([2 * n]_N, 1)]$

**lemma** *tm21* [*transforms-intros*]:

**assumes**  $t_{tt} = 169 + (2 * d\text{-polynomial } p + 826) * (H + m')^4 + 3 * \text{nlength } m' + 11 * \text{nlength } H +$   
 $5627 * H^4 * (3 + \text{nlength } (3 * H + m' * H))^2 + 1875 * H^4 +$   
 $15 * \text{nlength } n + 3764 * H^4 * (3 + \text{nlength } (3 * H + 2 * n * H))^2 +$   
 $\text{Suc } n * (9 + 1897 * (H^4 * (\text{nlength } (1 + 2 * n))^2))$

**shows** *transforms tm21 tps0 ttt tps21*

**unfolding** *tm21-def*

**proof** (*tform time: assms*)

**show**  $51 < \text{length } t_{ps20}$

**using** *lentpsE tps20-def k* **by** (*simp only: length-list-update*)

**show**  $t_{ps20} ! 51 = ([n]_N, 1)$

**using** *tps20-def k lentpsE* **by** (*simp only: length-list-update nth-list-update-eq nth-list-update-neq*)

**show**  $t_{ps21} = t_{ps20}[51 := ([2 * n]_N, 1)]$

**unfolding** *tps21-def tps20-def* **by** (*simp only: list-update-overwrite*)

**qed**

**definition** *tps22*  $\equiv t_{psE}$

```

11 := ([n]N, 1),
15 := ([p n]N, 1),
16 := ([m]N, 1),
17 := ([T']N, 1),
4 := ([map (λt. exc zs t <#> 0) [0..<Suc T']]NL, 1),
7 := ([map (λt. exc zs t <#> 1) [0..<Suc T']]NL, 1),
18 := ([m']N, 1),
19 := ([H]N, 1),
20 := ([m' * H]N, 1),
1 := nlltape (formula-n Φ0 @ formula-n Φ1 @ formula-n Φ2 @ formula-n Φ3),
52 := ([H]N, 1),
53 := ([2]N, 1),
51 := ([2 * n + 3]N, 1)

```

**lemma** *tm22* [transforms-intros]:

```

assumes ttt = 184 + (2 * d-polynomial p + 826) * (H + m') ^ 4 + 3 * nlength m' + 11 * nlength H +
5627 * H ^ 4 * (3 + nlength (3 * H + m' * H))^2 + 1875 * H ^ 4 +
15 * nlength n + 3764 * H ^ 4 * (3 + nlength (3 * H + 2 * n * H))^2 +
Suc n * (9 + 1897 * (H ^ 4 * (nlength (1 + 2 * n))^2)) + 6 * nlength (2 * n + 3)

```

**shows** transforms *tm22* *tps0* *ttt* *tps22*

**unfolding** *tm22-def*

**proof** (tform time: assms)

**show** 51 < length *tps21*

**using** *lentpsE tps21-def k* **by** (simp only: length-list-update)

**show** *tps21* ! 51 = ([2 \* n]<sub>N</sub>, 1)

**using** *tps21-def k lentpsE* **by** (simp only: length-list-update nth-list-update-eq nth-list-update-neq)

**show** *tps22* = *tps21*[51 := ([2 \* n + 3]<sub>N</sub>, 1)]

**unfolding** *tps22-def tps21-def* **by** (simp only: list-update-overwrite)

**qed**

**definition** *tps23* ≡ *tpsE*

```

11 := ([n]N, 1),
15 := ([p n]N, 1),
16 := ([m]N, 1),
17 := ([T']N, 1),
4 := ([map (λt. exc zs t <#> 0) [0..<Suc T']]NL, 1),
7 := ([map (λt. exc zs t <#> 1) [0..<Suc T']]NL, 1),
18 := ([m']N, 1),
19 := ([H]N, 1),
20 := ([m' * H]N, 1),
1 := nlltape (formula-n Φ0 @ formula-n Φ1 @ formula-n Φ2 @ formula-n Φ3),
52 := ([H]N, 1),
53 := ([2]N, 1),
51 := ([2 * n + 3]N, 1),
59 := ([m]N, 1)

```

**lemma** *tm23* [transforms-intros]:

```

assumes ttt = 198 + (2 * d-polynomial p + 826) * (H + m') ^ 4 + 3 * nlength m' + 11 * nlength H +
5627 * H ^ 4 * (3 + nlength (3 * H + m' * H))^2 + 1875 * H ^ 4 +
15 * nlength n + 3764 * H ^ 4 * (3 + nlength (3 * H + 2 * n * H))^2 +
Suc n * (9 + 1897 * (H ^ 4 * (nlength (1 + 2 * n))^2)) + 6 * nlength (2 * n + 3) +
3 * nlength m

```

**shows** transforms *tm23* *tps0* *ttt* *tps23*

**unfolding** *tm23-def*

**proof** (tform)

**show** 16 < length *tps22* 59 < length *tps22*

**using** *lentpsE tps22-def k* **by** (simp-all only: length-list-update)

**show** *tps22* ! 16 = ([m]<sub>N</sub>, 1)

**using** *tps22-def k lentpsE* **by** (simp only: length-list-update nth-list-update-eq nth-list-update-neq)

**have** *tps22* ! 59 = *tpsE* ! 59

**using** *tps22-def* **by** (simp only: nth-list-update-neq)

**then show** *tps22* ! 59 = ([0]<sub>N</sub>, 1)

**using** *tpsE k canrepr-0* **by** simp

**show**  $tps23 = tps22[59 := (\lfloor m \rfloor_N, 1)]$   
**unfolding**  $tps23\text{-def } tps22\text{-def}$  **by** (*simp only*:)  
**show**  $ttt = 184 + (2 * d\text{-polynomial } p + 826) * (H + m')^4 + 3 * nlength\ m' + 11 * nlength\ H +$   
 $5627 * H^4 * (3 + nlength\ (3 * H + m' * H))^2 + 1875 * H^4 +$   
 $15 * nlength\ n + 3764 * H^4 * (3 + nlength\ (3 * H + 2 * n * H))^2 +$   
 $Suc\ n * (9 + 1897 * (H^4 * (nlength\ (1 + 2 * n))^2)) + 6 * nlength\ (2 * n + 3) +$   
 $(14 + 3 * (nlength\ m + nlength\ 0))$   
**using** *assms* **by** *simp*  
**qed**

**definition**  $tps24 \equiv tpsE$

$[11 := (\lfloor n \rfloor_N, 1),$   
 $15 := (\lfloor p\ n \rfloor_N, 1),$   
 $16 := (\lfloor m \rfloor_N, 1),$   
 $17 := (\lfloor T' \rfloor_N, 1),$   
 $4 := (\lfloor map\ (\lambda t. exc\ zs\ t\ <\#\>\ 0)\ [0..<Suc\ T']_{NL}, 1),$   
 $7 := (\lfloor map\ (\lambda t. exc\ zs\ t\ <\#\>\ 1)\ [0..<Suc\ T']_{NL}, 1),$   
 $18 := (\lfloor m' \rfloor_N, 1),$   
 $19 := (\lfloor H \rfloor_N, 1),$   
 $20 := (\lfloor m' * H \rfloor_N, 1),$   
 $1 := nlltape\ (formula\text{-}n\ \Phi_0\ @\ formula\text{-}n\ \Phi_1\ @\ formula\text{-}n\ \Phi_2\ @\ formula\text{-}n\ \Phi_3),$   
 $52 := (\lfloor H \rfloor_N, 1),$   
 $53 := (\lfloor 2 \rfloor_N, 1),$   
 $51 := (\lfloor 2 * n + 3 \rfloor_N, 1),$   
 $59 := (\lfloor Suc\ m \rfloor_N, 1)]$

**lemma**  $tm24$  [*transforms-intros*]:

**assumes**  $ttt = 203 + (2 * d\text{-polynomial } p + 826) * (H + m')^4 + 3 * nlength\ m' + 11 * nlength\ H +$   
 $5627 * H^4 * (3 + nlength\ (3 * H + m' * H))^2 + 1875 * H^4 +$   
 $15 * nlength\ n + 3764 * H^4 * (3 + nlength\ (3 * H + 2 * n * H))^2 +$   
 $Suc\ n * (9 + 1897 * (H^4 * (nlength\ (1 + 2 * n))^2)) + 6 * nlength\ (2 * n + 3) +$   
 $5 * nlength\ m$

**shows** *transforms*  $tm24\ tps0\ ttt\ tps24$

**unfolding**  $tm24\text{-def}$

**proof** (*tform time: assms*)

**show**  $59 < length\ tps23$

**using** *lentpsE tps23-def k* **by** (*simp only: length-list-update*)

**have**  $tps23 ! 59 = (\lfloor m \rfloor_N, 1)$

**using**  $tps23\text{-def } k$  *lentpsE* **by** (*simp only: length-list-update nth-list-update-eq nth-list-update-neg*)

**then show**  $tps23 ! 59 = (\lfloor m \rfloor_N, 1)$

**by** *simp*

**show**  $tps24 = tps23[59 := (\lfloor Suc\ m \rfloor_N, 1)]$

**unfolding**  $tps24\text{-def } tps23\text{-def}$  **by** (*simp only: list-update-overwrite*)

**qed**

**definition**  $tps25 \equiv tpsE$

$[11 := (\lfloor n \rfloor_N, 1),$   
 $15 := (\lfloor p\ n \rfloor_N, 1),$   
 $16 := (\lfloor m \rfloor_N, 1),$   
 $17 := (\lfloor T' \rfloor_N, 1),$   
 $4 := (\lfloor map\ (\lambda t. exc\ zs\ t\ <\#\>\ 0)\ [0..<Suc\ T']_{NL}, 1),$   
 $7 := (\lfloor map\ (\lambda t. exc\ zs\ t\ <\#\>\ 1)\ [0..<Suc\ T']_{NL}, 1),$   
 $18 := (\lfloor m' \rfloor_N, 1),$   
 $19 := (\lfloor H \rfloor_N, 1),$   
 $20 := (\lfloor m' * H \rfloor_N, 1),$   
 $1 := nlltape\ (formula\text{-}n\ \Phi_0\ @\ formula\text{-}n\ \Phi_1\ @\ formula\text{-}n\ \Phi_2\ @\ formula\text{-}n\ \Phi_3\ @\ formula\text{-}n\ \Phi_4),$   
 $52 := (\lfloor H \rfloor_N, 1),$   
 $53 := (\lfloor 2 \rfloor_N, 1),$   
 $51 := (\lfloor 2 * n + 3 \rfloor_N, 1),$   
 $59 := (\lfloor Suc\ m \rfloor_N, 1),$   
 $51 := (\lfloor 2 * n + 2 + 1 + 2 * p\ n \rfloor_N, 1),$   
 $51 + 3 := (\lfloor 1 \rfloor_N, 1)]$

**lemma** *tm25* [*transforms-intros*]:

**assumes**  $t_{tt} = 203 + (2 * d\text{-polynomial } p + 826) * (H + m')^4 + 3 * nlength\ m' + 11 * nlength\ H +$   
 $5627 * H^4 * (3 + nlength\ (3 * H + m' * H))^2 + 1875 * H^4 +$   
 $15 * nlength\ n + 3764 * H^4 * (3 + nlength\ (3 * H + 2 * n * H))^2 +$   
 $Suc\ n * (9 + 1897 * (H^4 * (nlength\ (1 + 2 * n))^2)) + 6 * nlength\ (2 * n + 3) +$   
 $5 * nlength\ m + Suc\ (p\ n) * (9 + 1897 * (H^4 * (nlength\ (Suc\ m))^2))$

**shows** *transforms tm25 tps0 ttt tps25*

**unfolding** *tm25-def*

**proof** (*tform transforms-intros: tm-PHI4*)

**show**  $51 + 8 < length\ tps24$

**using** *lentpsE tps24-def k by (simp only: length-list-update)*

**show**  $tps24 ! 1 = nlltape\ (formula\text{-}n\ \Phi_0 @ formula\text{-}n\ \Phi_1 @ formula\text{-}n\ \Phi_2 @ formula\text{-}n\ \Phi_3)$

**using** *tps24-def lentpsE k by (simp only: nth-list-update-eq nth-list-update-neq length-list-update)*

**have**  $tps24 ! 51 = (\lfloor 2 * n + 3 \rfloor_N, 1)$

**using** *tps24-def lentpsE k by (simp only: length-list-update nth-list-update-eq nth-list-update-neq)*

**then show**  $tps24 ! 51 = (\lfloor 2 * n + 2 + 1 \rfloor_N, 1)$

**by** (*metis add.assoc nat-1-add-1 numeral-Bit1 numerals(1)*)

**have**  $tps24 ! 52 = (\lfloor H \rfloor_N, 1)$

**using** *tps24-def lentpsE k by (simp only: length-list-update nth-list-update-eq nth-list-update-neq)*

**then show**  $tps24 ! (51 + 1) = (\lfloor H \rfloor_N, 1)$

**by** *simp*

**have**  $tps24 ! 53 = (\lfloor 2 \rfloor_N, 1)$

**using** *tps24-def lentpsE k by (simp only: length-list-update nth-list-update-eq nth-list-update-neq)*

**then show**  $tps24 ! (51 + 2) = (\lfloor 2 \rfloor_N, 1)$

**by** *simp*

**have**  $tps24 ! 54 = tpsE ! 54$

**using** *tps24-def by (simp only: length-list-update nth-list-update-eq nth-list-update-neq)*

**then show**  $tps24 ! (51 + 3) = (\lfloor [] \rfloor, 1)$

**using** *tpsE k by simp*

**have**  $tps24 ! 55 = tpsE ! 55$

**using** *tps24-def by (simp only: length-list-update nth-list-update-eq nth-list-update-neq)*

**then show**  $tps24 ! (51 + 4) = (\lfloor [] \rfloor, 1)$

**using** *tpsE k by simp*

**have**  $tps24 ! 56 = tpsE ! 56$

**using** *tps24-def by (simp only: length-list-update nth-list-update-eq nth-list-update-neq)*

**then show**  $tps24 ! (51 + 5) = (\lfloor [] \rfloor, 1)$

**using** *tpsE k by simp*

**have**  $tps24 ! 57 = tpsE ! 57$

**using** *tps24-def by (simp only: length-list-update nth-list-update-eq nth-list-update-neq)*

**then show**  $tps24 ! (51 + 6) = (\lfloor [] \rfloor, 1)$

**using** *tpsE k by simp*

**have**  $tps24 ! 58 = tpsE ! 58$

**using** *tps24-def by (simp only: length-list-update nth-list-update-eq nth-list-update-neq)*

**then show**  $tps24 ! (51 + 7) = (\lfloor [] \rfloor, 1)$

**using** *tpsE k by simp*

**have**  $tps24 ! 59 = (\lfloor Suc\ m \rfloor_N, 1)$

**using** *tps24-def lentpsE k by (simp only: length-list-update nth-list-update-eq nth-list-update-neq)*

**moreover have**  $*$ :  $Suc\ m = 2 * n + 2 + 1 + 2 * p\ n$

**using** *m-def by simp*

**ultimately show**  $tps24 ! (51 + 8) = (\lfloor 2 * n + 2 + 1 + 2 * p\ n \rfloor_N, 1)$

**by** *simp*

**have**  $tps25 = tps24$

$[51 := (\lfloor 2 * n + 2 + 1 + 2 * p\ n \rfloor_N, 1),$

$1 := nlltape\ (formula\text{-}n\ \Phi_0 @ formula\text{-}n\ \Phi_1 @ formula\text{-}n\ \Phi_2 @ formula\text{-}n\ \Phi_3 @ formula\text{-}n\ \Phi_4),$

$51 + 3 := (\lfloor 1 \rfloor_N, 1)]$

**unfolding** *tps25-def tps24-def by (simp only: list-update-swap list-update-overwrite)*

**then show**  $tps25 = tps24$

$[51 := (\lfloor 2 * n + 2 + 1 + 2 * p\ n \rfloor_N, 1),$

$1 := nlltape\ ((formula\text{-}n\ \Phi_0 @ formula\text{-}n\ \Phi_1 @ formula\text{-}n\ \Phi_2 @ formula\text{-}n\ \Phi_3) @ formula\text{-}n\ \Phi_4),$

$51 + 3 := (\lfloor 1 \rfloor_N, 1)]$

**by** *simp*

**show**  $t_{tt} = 203 + (2 * d\text{-polynomial } p + 826) * (H + m')^4 + 3 * nlength\ m' + 11 * nlength\ H +$   
 $5627 * H^4 * (3 + nlength\ (3 * H + m' * H))^2 + 1875 * H^4 +$



```

15 * nlength n + 3764 * H ^ 4 * (3 + nlength (3 * H + 2 * n * H))^2 +
Suc n * (9 + 1897 * (H ^ 4 * (nlength (1 + 2 * n))^2)) + 6 * nlength (2 * n + 3) +
5 * nlength m + Suc (p n) * (9 + 1897 * (H ^ 4 * (nlength (2 * n + 2 + 1 + 2 * p n))^2))
using assms * by simp
qed

```

**definition**  $tpsF \equiv tpsE$

```

[52 := ([H]N, 1),
53 := ([2]N, 1),
51 := ([2 * n + 3]N, 1),
59 := ([Suc m]N, 1),
51 := ([2 * n + 2 + 1 + 2 * p n]N, 1),
51 + 3 := ([1]N, 1)]

```

**lemma**  $tpsF$ :  $59 < j \implies j < 110 \implies tpsF ! j = ([\ ], 1)$   
**using**  $tpsF$ -def  $tpsE$  **by**  $simp$

**lemma**  $lentpsF$ :  $length\ tpsF = 110$   
**using**  $lentpsE$   $tpsF$ -def **by**  $simp$

**lemma**  $tps25$ :  $tps25 = tpsF$

```

[11 := ([n]N, 1),
15 := ([p n]N, 1),
16 := ([m]N, 1),
17 := ([T']N, 1),
4 := ([map (\t. exc zs t <#> 0) [0..<Suc T']NL, 1),
7 := ([map (\t. exc zs t <#> 1) [0..<Suc T']NL, 1),
18 := ([m']N, 1),
19 := ([H]N, 1),
20 := ([m' * H]N, 1),
1 := nlltape (formula-n \Phi_0 @ formula-n \Phi_1 @ formula-n \Phi_2 @ formula-n \Phi_3 @ formula-n \Phi_4)]
unfolding  $tps25$ -def  $tpsF$ -def by ( $simp$  only: list-update-swap)

```

**definition**  $tps26 \equiv tpsF$

```

[11 := ([n]N, 1),
15 := ([p n]N, 1),
16 := ([m]N, 1),
17 := ([T']N, 1),
4 := ([map (\t. exc zs t <#> 0) [0..<Suc T']NL, 1),
7 := ([map (\t. exc zs t <#> 1) [0..<Suc T']NL, 1),
18 := ([m']N, 1),
19 := ([H]N, 1),
20 := ([m' * H]N, 1),
1 := nlltape (formula-n \Phi_0 @ formula-n \Phi_1 @ formula-n \Phi_2 @ formula-n \Phi_3 @ formula-n \Phi_4),
61 := ([H]N, 1)]

```

**lemma**  $tm26$  [ $transforms$ -intros]:

```

assumes  $tnt = 213 + (2 * d\text{-polynomial } p + 826) * (H + m') ^ 4 + 3 * nlength\ m' + 13 * nlength\ H +$ 
5627 *  $H ^ 4 * (3 + nlength\ (3 * H + m' * H))^2 + 1875 * H ^ 4 +$ 
15 *  $nlength\ n + 3764 * H ^ 4 * (3 + nlength\ (3 * H + 2 * n * H))^2 +$ 
Suc  $n * (9 + 1897 * (H ^ 4 * (nlength\ (1 + 2 * n))^2)) + 6 * nlength\ (2 * n + 3) +$ 
5 *  $nlength\ m + Suc\ (p\ n) * (9 + 1897 * (H ^ 4 * (nlength\ (Suc\ m))^2))$ 
shows  $transforms\ tm26\ tps0\ tnt\ tps26$ 

```

**unfolding**  $tm26$ -def

**proof** ( $tform$ )

```

show  $61 < length\ tps25$ 
using  $lentpsF$   $tps25$   $k$  by ( $simp$ -all only: length-list-update)
have  $tps25 ! 61 = tpsF ! 61$ 
using  $tps25$  by ( $simp$  only: nth-list-update-neq)
then show  $tps25 ! 61 = ([0]N, 1)$ 
using  $tpsF$   $k$   $canrepr$ -0 by  $simp$ 
show  $tps26 = tps25[61 := ([H]N, 1)]$ 
unfolding  $tps26$ -def  $tps25$  by ( $simp$  only:)

```

**show**  $t_{tt} = 203 + (2 * d\text{-polynomial } p + 826) * (H + m')^4 + 3 * nlength\ m' + 11 * nlength\ H +$   
 $5627 * H^4 * (3 + nlength\ (3 * H + m' * H))^2 + 1875 * H^4 +$   
 $15 * nlength\ n + 3764 * H^4 * (3 + nlength\ (3 * H + 2 * n * H))^2 +$   
 $Suc\ n * (9 + 1897 * (H^4 * (nlength\ (1 + 2 * n))^2)) + 6 * nlength\ (2 * n + 3) +$   
 $5 * nlength\ m + Suc\ (p\ n) * (9 + 1897 * (H^4 * (nlength\ (Suc\ m))^2)) +$   
 $(10 + 2 * nlength\ 0 + 2 * nlength\ H)$

**using** *assms* **by** *simp*

**qed**

**definition**  $tps27 \equiv tpsF$

$[11 := (\lfloor n \rfloor_N, 1),$   
 $15 := (\lfloor p\ n \rfloor_N, 1),$   
 $16 := (\lfloor m \rfloor_N, 1),$   
 $17 := (\lfloor T' \rfloor_N, 1),$   
 $4 := (\lfloor map\ (\lambda t. exc\ zs\ t\ <\#\>\ 0)\ [0..<Suc\ T'] \rfloor_{NL}, 1),$   
 $7 := (\lfloor map\ (\lambda t. exc\ zs\ t\ <\#\>\ 1)\ [0..<Suc\ T'] \rfloor_{NL}, 1),$   
 $18 := (\lfloor m' \rfloor_N, 1),$   
 $19 := (\lfloor H \rfloor_N, 1),$   
 $20 := (\lfloor m' * H \rfloor_N, 1),$   
 $1 := nlltape\ (formula\text{-}n\ \Phi_0\ @\ formula\text{-}n\ \Phi_1\ @\ formula\text{-}n\ \Phi_2\ @\ formula\text{-}n\ \Phi_3\ @\ formula\text{-}n\ \Phi_4),$   
 $61 := (\lfloor H \rfloor_N, 1),$   
 $60 := (\lfloor m \rfloor_N, 1)]$

**lemma**  $tm27$  [*transforms-intros*]:

**assumes**  $t_{tt} = 227 + (2 * d\text{-polynomial } p + 826) * (H + m')^4 + 3 * nlength\ m' + 13 * nlength\ H +$   
 $5627 * H^4 * (3 + nlength\ (3 * H + m' * H))^2 + 1875 * H^4 +$   
 $15 * nlength\ n + 3764 * H^4 * (3 + nlength\ (3 * H + 2 * n * H))^2 +$   
 $Suc\ n * (9 + 1897 * (H^4 * (nlength\ (1 + 2 * n))^2)) + 6 * nlength\ (2 * n + 3) +$   
 $8 * nlength\ m + Suc\ (p\ n) * (9 + 1897 * (H^4 * (nlength\ (Suc\ m))^2))$

**shows** *transforms*  $tm27\ tps0\ t_{tt}\ tps27$

**unfolding**  $tm27\text{-}def$

**proof** (*tform*)

**show**  $16 < length\ tps26\ 60 < length\ tps26$

**using** *lentpsF*  $tps26\text{-}def\ k$  **by** (*simp-all* *only*: *length-list-update*)

**show**  $tps26\ !\ 16 = (\lfloor m \rfloor_N, 1)$

**using**  $tps26\text{-}def\ k$  *lentpsF* **by** (*simp* *only*: *length-list-update* *nth-list-update-eq* *nth-list-update-neq*)

**have**  $tps26\ !\ 60 = tpsF\ !\ 60$

**using**  $tps26\text{-}def$  **by** (*simp* *only*: *nth-list-update-neq*)

**then** **show**  $tps26\ !\ 60 = (\lfloor 0 \rfloor_N, 1)$

**using**  $tpsF\ k$  *canrepr-0* **by** *simp*

**show**  $tps27 = tps26[60 := (\lfloor m \rfloor_N, 1)]$

**unfolding**  $tps27\text{-}def\ tps26\text{-}def$  **by** (*simp* *only*:)

**show**  $t_{tt} = 213 + (2 * d\text{-polynomial } p + 826) * (H + m')^4 + 3 * nlength\ m' + 13 * nlength\ H +$   
 $5627 * H^4 * (3 + nlength\ (3 * H + m' * H))^2 + 1875 * H^4 +$   
 $15 * nlength\ n + 3764 * H^4 * (3 + nlength\ (3 * H + 2 * n * H))^2 +$   
 $Suc\ n * (9 + 1897 * (H^4 * (nlength\ (1 + 2 * n))^2)) + 6 * nlength\ (2 * n + 3) +$   
 $5 * nlength\ m + Suc\ (p\ n) * (9 + 1897 * (H^4 * (nlength\ (Suc\ m))^2)) +$   
 $(14 + 3 * (nlength\ m + nlength\ 0))$

**using** *assms* **by** *simp*

**qed**

**definition**  $tps28 \equiv tpsF$

$[11 := (\lfloor n \rfloor_N, 1),$   
 $15 := (\lfloor p\ n \rfloor_N, 1),$   
 $16 := (\lfloor m \rfloor_N, 1),$   
 $17 := (\lfloor T' \rfloor_N, 1),$   
 $4 := (\lfloor map\ (\lambda t. exc\ zs\ t\ <\#\>\ 0)\ [0..<Suc\ T'] \rfloor_{NL}, 1),$   
 $7 := (\lfloor map\ (\lambda t. exc\ zs\ t\ <\#\>\ 1)\ [0..<Suc\ T'] \rfloor_{NL}, 1),$   
 $18 := (\lfloor m' \rfloor_N, 1),$   
 $19 := (\lfloor H \rfloor_N, 1),$   
 $20 := (\lfloor m' * H \rfloor_N, 1),$   
 $1 := nlltape\ (formula\text{-}n\ \Phi_0\ @\ formula\text{-}n\ \Phi_1\ @\ formula\text{-}n\ \Phi_2\ @\ formula\text{-}n\ \Phi_3\ @\ formula\text{-}n\ \Phi_4),$   
 $61 := (\lfloor H \rfloor_N, 1),$

60 := ( $\lfloor \text{Suc } m \rfloor_N, 1$ )

**lemma** *tm28* [*transforms-intros*]:

**assumes**  $ttt = 232 + (2 * d\text{-polynomial } p + 826) * (H + m')^{\wedge} 4 + 3 * nlength\ m' + 13 * nlength\ H + 5627 * H^{\wedge} 4 * (3 + nlength\ (3 * H + m' * H))^2 + 1875 * H^{\wedge} 4 + 15 * nlength\ n + 3764 * H^{\wedge} 4 * (3 + nlength\ (3 * H + 2 * n * H))^2 + Suc\ n * (9 + 1897 * (H^{\wedge} 4 * (nlength\ (1 + 2 * n))^2)) + 6 * nlength\ (2 * n + 3) + 10 * nlength\ m + Suc\ (p\ n) * (9 + 1897 * (H^{\wedge} 4 * (nlength\ (Suc\ m))^2))$

**shows** *transforms tm28 tps0 ttt tps28*

**unfolding** *tm28-def*

**proof** (*tform*)

**show**  $60 < length\ tps27$

**using** *lentpsF tps27-def k* **by** (*simp-all only: length-list-update*)

**show**  $tps27 ! 60 = (\lfloor m \rfloor_N, 1)$

**using** *tps27-def k lentpsF* **by** (*simp only: length-list-update nth-list-update-eq*)

**show**  $tps28 = tps27[60 := (\lfloor \text{Suc } m \rfloor_N, 1)]$

**unfolding** *tps28-def tps27-def* **by** (*simp only: list-update-overwrite*)

**show**  $ttt = 227 + (2 * d\text{-polynomial } p + 826) * (H + m')^{\wedge} 4 + 3 * nlength\ m' + 13 * nlength\ H + 5627 * H^{\wedge} 4 * (3 + nlength\ (3 * H + m' * H))^2 + 1875 * H^{\wedge} 4 + 15 * nlength\ n + 3764 * H^{\wedge} 4 * (3 + nlength\ (3 * H + 2 * n * H))^2 + Suc\ n * (9 + 1897 * (H^{\wedge} 4 * (nlength\ (1 + 2 * n))^2)) + 6 * nlength\ (2 * n + 3) + 8 * nlength\ m + Suc\ (p\ n) * (9 + 1897 * (H^{\wedge} 4 * (nlength\ (Suc\ m))^2)) + (5 + 2 * nlength\ m)$

**using** *assms* **by** *simp*

**qed**

**definition** *tps29*  $\equiv tpsF$

$[11 := (\lfloor n \rfloor_N, 1),$

$15 := (\lfloor p\ n \rfloor_N, 1),$

$16 := (\lfloor m \rfloor_N, 1),$

$17 := (\lfloor T' \rfloor_N, 1),$

$4 := (\lfloor map\ (\lambda t. exc\ zs\ t\ <\#\>\ 0)\ [0..<Suc\ T'] \rfloor_{NL}, 1),$

$7 := (\lfloor map\ (\lambda t. exc\ zs\ t\ <\#\>\ 1)\ [0..<Suc\ T'] \rfloor_{NL}, 1),$

$18 := (\lfloor m' \rfloor_N, 1),$

$19 := (\lfloor H \rfloor_N, 1),$

$20 := (\lfloor m' * H \rfloor_N, 1),$

$1 := nlltape\ (formula\text{-}n\ \Phi_0\ @\ formula\text{-}n\ \Phi_1\ @\ formula\text{-}n\ \Phi_2\ @\ formula\text{-}n\ \Phi_3\ @\ formula\text{-}n\ \Phi_4),$

$61 := (\lfloor H \rfloor_N, 1),$

$60 := (\lfloor \text{Suc } m \rfloor_N, 1),$

$68 := (\lfloor \text{Suc } m \rfloor_N, 1)]$

**lemma** *tm29* [*transforms-intros*]:

**assumes**  $ttt = 246 + (2 * d\text{-polynomial } p + 826) * (H + m')^{\wedge} 4 + 3 * nlength\ m' + 13 * nlength\ H + 5627 * H^{\wedge} 4 * (3 + nlength\ (3 * H + m' * H))^2 + 1875 * H^{\wedge} 4 + 15 * nlength\ n + 3764 * H^{\wedge} 4 * (3 + nlength\ (3 * H + 2 * n * H))^2 + Suc\ n * (9 + 1897 * (H^{\wedge} 4 * (nlength\ (1 + 2 * n))^2)) + 6 * nlength\ (2 * n + 3) + 10 * nlength\ m + Suc\ (p\ n) * (9 + 1897 * (H^{\wedge} 4 * (nlength\ (Suc\ m))^2)) + 3 * nlength\ (Suc\ m)$

**shows** *transforms tm29 tps0 ttt tps29*

**unfolding** *tm29-def*

**proof** (*tform*)

**show**  $60 < length\ tps28\ 68 < length\ tps28$

**using** *lentpsF tps28-def k* **by** (*simp-all only: length-list-update*)

**show**  $tps28 ! 60 = (\lfloor \text{Suc } m \rfloor_N, 1)$

**using** *tps28-def k lentpsF* **by** (*simp only: length-list-update nth-list-update-eq*)

**have**  $tps28 ! 68 = tpsF ! 68$

**using** *tps28-def* **by** (*simp only: nth-list-update-neq*)

**then show**  $tps28 ! 68 = (\lfloor 0 \rfloor_N, 1)$

**using** *tpsF k canrepr-0* **by** *simp*

**show**  $tps29 = tps28[68 := (\lfloor \text{Suc } m \rfloor_N, 1)]$

**unfolding** *tps29-def tps28-def* **by** (*simp only: list-update-overwrite*)

**show**  $ttt = 232 + (2 * d\text{-polynomial } p + 826) * (H + m')^{\wedge} 4 + 3 * nlength\ m' + 13 * nlength\ H + 5627 * H^{\wedge} 4 * (3 + nlength\ (3 * H + m' * H))^2 + 1875 * H^{\wedge} 4 +$

$$15 * nlength\ n + 3764 * H^4 * (3 + nlength\ (3 * H + 2 * n * H))^2 +$$

$$Suc\ n * (9 + 1897 * (H^4 * (nlength\ (1 + 2 * n))^2)) + 6 * nlength\ (2 * n + 3) +$$

$$10 * nlength\ m + Suc\ (p\ n) * (9 + 1897 * (H^4 * (nlength\ (Suc\ m))^2)) +$$

$$(14 + 3 * (nlength\ (Suc\ m) + nlength\ 0))$$

using *assms* by *simp*

qed

**definition** *tps30*  $\equiv$  *tpsF*

[11 := ( $\lfloor n \rfloor_N$ , 1),  
15 := ( $\lfloor p\ n \rfloor_N$ , 1),  
16 := ( $\lfloor m \rfloor_N$ , 1),  
17 := ( $\lfloor T' \rfloor_N$ , 1),  
4 := ( $\lfloor map\ (\lambda t. exc\ zs\ t\ <\#\>\ 0)\ [0..<Suc\ T'] \rfloor_{NL}$ , 1),  
7 := ( $\lfloor map\ (\lambda t. exc\ zs\ t\ <\#\>\ 1)\ [0..<Suc\ T'] \rfloor_{NL}$ , 1),  
18 := ( $\lfloor m' \rfloor_N$ , 1),  
19 := ( $\lfloor H \rfloor_N$ , 1),  
20 := ( $\lfloor m' * H \rfloor_N$ , 1),  
1 := *nlltape* (*formula-n*  $\Phi_0$  @ *formula-n*  $\Phi_1$  @ *formula-n*  $\Phi_2$  @ *formula-n*  $\Phi_3$  @ *formula-n*  $\Phi_4$ ),  
61 := ( $\lfloor H \rfloor_N$ , 1),  
60 := ( $\lfloor Suc\ m \rfloor_N$ , 1),  
68 := ( $\lfloor T' + Suc\ m \rfloor_N$ , 1)]

**lemma** *tm30* [*transforms-intros*]:

**assumes** *ttt* =  $256 + (2 * d\text{-polynomial}\ p + 826) * (H + m')^4 + 3 * nlength\ m' + 13 * nlength\ H +$   
 $5627 * H^4 * (3 + nlength\ (3 * H + m' * H))^2 + 1875 * H^4 +$   
 $15 * nlength\ n + 3764 * H^4 * (3 + nlength\ (3 * H + 2 * n * H))^2 +$   
 $Suc\ n * (9 + 1897 * (H^4 * (nlength\ (1 + 2 * n))^2)) + 6 * nlength\ (2 * n + 3) +$   
 $10 * nlength\ m + Suc\ (p\ n) * (9 + 1897 * (H^4 * (nlength\ (Suc\ m))^2)) +$   
 $3 * nlength\ (Suc\ m) + 3 * max\ (nlength\ T')\ (nlength\ (Suc\ m))$

**shows** *transforms* *tm30* *tps0* *ttt* *tps30*

**unfolding** *tm30-def*

**proof** (*tform*)

**show**  $17 < length\ tps29\ 68 < length\ tps29$

using *lentpsF* *tps29-def* *k* by (*simp-all* only: *length-list-update*)

**show** *tps29* ! 17 = ( $\lfloor T' \rfloor_N$ , 1)

using *tps29-def* *k* *lentpsF* by (*simp* only: *length-list-update* *nth-list-update-neq* *nth-list-update-eq*)

**show** *tps29* ! 68 = ( $\lfloor Suc\ m \rfloor_N$ , 1)

using *tps29-def* *k* *lentpsF* by (*simp* only: *length-list-update* *nth-list-update-neq* *nth-list-update-eq*)

**show** *tps30* = *tps29*[68 := ( $\lfloor T' + Suc\ m \rfloor_N$ , 1)]

**unfolding** *tps30-def* *tps29-def* by (*simp* only: *list-update-overwrite*)

**show** *ttt* =  $246 + (2 * d\text{-polynomial}\ p + 826) * (H + m')^4 + 3 * nlength\ m' + 13 * nlength\ H +$   
 $5627 * H^4 * (3 + nlength\ (3 * H + m' * H))^2 + 1875 * H^4 +$   
 $15 * nlength\ n + 3764 * H^4 * (3 + nlength\ (3 * H + 2 * n * H))^2 +$   
 $Suc\ n * (9 + 1897 * (H^4 * (nlength\ (1 + 2 * n))^2)) + 6 * nlength\ (2 * n + 3) +$   
 $10 * nlength\ m + Suc\ (p\ n) * (9 + 1897 * (H^4 * (nlength\ (Suc\ m))^2)) +$   
 $3 * nlength\ (Suc\ m) + (3 * max\ (nlength\ T')\ (nlength\ (Suc\ m)) + 10)$

using *assms* by *simp*

qed

**definition** *tps31*  $\equiv$  *tpsF*

[11 := ( $\lfloor n \rfloor_N$ , 1),  
15 := ( $\lfloor p\ n \rfloor_N$ , 1),  
16 := ( $\lfloor m \rfloor_N$ , 1),  
17 := ( $\lfloor T' \rfloor_N$ , 1),  
4 := ( $\lfloor map\ (\lambda t. exc\ zs\ t\ <\#\>\ 0)\ [0..<Suc\ T'] \rfloor_{NL}$ , 1),  
7 := ( $\lfloor map\ (\lambda t. exc\ zs\ t\ <\#\>\ 1)\ [0..<Suc\ T'] \rfloor_{NL}$ , 1),  
18 := ( $\lfloor m' \rfloor_N$ , 1),  
19 := ( $\lfloor H \rfloor_N$ , 1),  
20 := ( $\lfloor m' * H \rfloor_N$ , 1),  
1 := *nlltape*  
(*formula-n*  $\Phi_0$  @ *formula-n*  $\Phi_1$  @ *formula-n*  $\Phi_2$  @ *formula-n*  $\Phi_3$  @ *formula-n*  $\Phi_4$  @  
*formula-n*  $\Phi_5$ ),  
61 := ( $\lfloor H \rfloor_N$ , 1),

$60 := (\lfloor \text{Suc } m \rfloor_N, 1),$   
 $68 := (\lfloor T' + \text{Suc } m \rfloor_N, 1),$   
 $60 := (\lfloor \text{Suc } m + T' \rfloor_N, 1),$   
 $60 + 3 := (\lfloor 1 \rfloor_N, 1)$

**definition**  $\text{ttt31} \equiv 256 + (2 * d\text{-polynomial } p + 826) * (H + m')^4 + 3 * \text{nlength } m' + 13 * \text{nlength } H + 5627 * H^4 * (3 + \text{nlength } (3 * H + m' * H))^2 + 1875 * H^4 + 15 * \text{nlength } n + 3764 * H^4 * (3 + \text{nlength } (3 * H + 2 * n * H))^2 + \text{Suc } n * (9 + 1897 * (H^4 * (\text{nlength } (1 + 2 * n))^2)) + 6 * \text{nlength } (2 * n + 3) + 10 * \text{nlength } m + \text{Suc } (p \ n) * (9 + 1897 * (H^4 * (\text{nlength } (\text{Suc } m))^2)) + 3 * \text{nlength } (\text{Suc } m) + 3 * \max (\text{nlength } T') (\text{nlength } (\text{Suc } m)) + \text{Suc } T' * (9 + 1891 * (H^4 * (\text{nlength } (\text{Suc } m + T'))^2))$

**lemma**  $\text{le-N}$ :  $y \leq 2 * n + 2 * p \ n + 3 + T' \implies y \leq N$   
**using**  $H\text{-gr-2 } m'\text{-def } N\text{-eq}$   
**by** ( $\text{metis } \text{Suc-1 } \text{Suc-leI } \text{add-2-eq-Suc}' \text{ add-leE } \text{le-trans } \text{mult-le-mono1 } \text{nat-mult-1}$ )

**lemma**  $n\text{-le-N}$ :  $n \leq N$   
**using**  $\text{le-N}$  **by**  $\text{simp}$

**lemma**  $H\text{-le-N}$ :  $H \leq N$   
**using**  $N\text{-eq}$  **by**  $\text{simp}$

**lemma**  $N\text{-ge-1}$ :  $N \geq 1$   
**using**  $H\text{-le-N } H\text{-ge-3}$  **by**  $\text{simp}$

**lemma**  $\text{pow2-sum-le}$ :  
**fixes**  $a \ b :: \text{nat}$   
**shows**  $(a + b)^2 \leq a^2 + (2 * a + 1) * b^2$   
**proof** –  
**have**  $(a + b)^2 = a^2 + 2 * a * b + b^2$   
**by**  $\text{algebra}$   
**also have**  $\dots \leq a^2 + 2 * a * b^2 + b^2$   
**by** ( $\text{simp add: power2-nat-le-imp-le}$ )  
**also have**  $\dots = a^2 + (2 * a + 1) * b^2$   
**by**  $\text{simp}$   
**finally show**  $?thesis$  .  
**qed**

**lemma**  $\text{ttt31}$ :  $\text{ttt31} \leq (32 * d\text{-polynomial } p + 222011) * H^4 * N^4$   
**proof** –  
**have**  $a$ :  $\text{Suc } T' * (9 + 1891 * (H^4 * (\text{nlength } (\text{Suc } m + T'))^2)) \leq 1900 * H^4 * N^4$   
**proof** –  
**have**  $\text{Suc } (2 * n + 2 * p \ n + 2) + T' \leq 2 * n + 2 * p \ n + 3 + T'$   
**by**  $\text{simp}$   
**also have**  $\dots \leq H * (2 * n + 2 * p \ n + 3 + T')$   
**using**  $H\text{-gr-2}$  **by**  $\text{simp}$   
**finally have**  $\text{Suc } m + T' \leq N$   
**unfolding**  $N\text{-eq } m\text{-def}$  **by**  $\text{simp}$   
**then have**  $\text{nlength } (\text{Suc } m + T') \leq N$   
**using**  $\text{nlength-le-n order.trans}$  **by**  $\text{auto}$   
**then have**  $(\text{nlength } (\text{Suc } m + T'))^2 \leq N^2$   
**by**  $\text{simp}$   
**then have**  $H^4 * (\text{nlength } (\text{Suc } m + T'))^2 \leq H^4 * N^2$   
**using**  $\text{mult-le-mono}$  **by**  $\text{simp}$   
**then have**  $9 + 1891 * (H^4 * (\text{nlength } (\text{Suc } m + T'))^2) \leq 9 + 1891 * H^4 * N^2$   
**by**  $\text{simp}$   
**then have**  $\text{Suc } T' * (9 + 1891 * (H^4 * (\text{nlength } (\text{Suc } m + T'))^2)) \leq \text{Suc } T' * (9 + 1891 * H^4 * N^2)$   
**using**  $\text{mult-le-mono2}$  **by**  $\text{blast}$   
**also have**  $\dots \leq N * (9 + 1891 * H^4 * N^2)$   
**proof** –  
**have**  $\text{Suc } T' \leq N$

```

    using le-N by simp
  then show ?thesis
    using mult-le-mono1 by blast
qed
also have ... = 9 * N + 1891 * H ^ 4 * N ^ 3
  by algebra
also have ... ≤ 9 * N ^ 3 + 1891 * H ^ 4 * N ^ 3
  using linear-le-pow by simp
also have ... ≤ 9 * N ^ 3 + 1891 * H ^ 4 * N ^ 4
  using pow-mono'[of 3 4] by simp
also have ... ≤ 9 * N ^ 4 + 1891 * H ^ 4 * N ^ 4
  using pow-mono'[of 3 4] by simp
also have ... ≤ 9 * H ^ 4 * N ^ 4 + 1891 * H ^ 4 * N ^ 4
  using H-ge-3 by simp
also have ... = 1900 * H ^ 4 * N ^ 4
  by simp
finally show ?thesis .
qed

have b: 5627 * H ^ 4 * (3 + nlength (3 * H + m' * H))^2 ≤ 140675 * H ^ 4 * N ^ 4
proof -
  have 3 * H + m' * H ≤ 2 * N
    using N-eq m'-def by simp
  then have nlength (3 * H + m' * H) ≤ nlength (2 * N)
    using nlength-mono by simp
  also have ... ≤ Suc (nlength N)
    using le-trans nlength-times2 by blast
  also have ... ≤ Suc N
    using nlength-le-n by simp
  finally have nlength (3 * H + m' * H) ≤ Suc N .
  then have 3 + nlength (3 * H + m' * H) ≤ 4 + N
    by simp
  then have (3 + nlength (3 * H + m' * H)) ^ 2 ≤ (4 + N) ^ 2
    by simp
  also have ... ≤ 16 + 9 * N ^ 2
    using pow2-sum-le[of 4 N ] by simp
  finally have (3 + nlength (3 * H + m' * H)) ^ 2 ≤ 16 + 9 * N ^ 2 .
  then have 5627 * H ^ 4 * (3 + nlength (3 * H + m' * H))^2 ≤ 5627 * H ^ 4 * (16 + 9 * N ^ 2)
    by simp
  also have ... = 5627 * H ^ 4 * 16 + 5627 * H ^ 4 * 9 * N ^ 2
    by algebra
  also have ... = 90032 * H ^ 4 + 50643 * H ^ 4 * N ^ 2
    by simp
  also have ... ≤ 90032 * H ^ 4 * N ^ 2 + 50643 * H ^ 4 * N ^ 2
    using pow-mono' N-ge-1 by simp
  also have ... = 140675 * H ^ 4 * N ^ 2
    by simp
  also have ... ≤ 140675 * H ^ 4 * N ^ 4
    using pow-mono' by simp
  finally show ?thesis .
qed

have c: 3764 * H ^ 4 * (3 + nlength (3 * H + 2 * n * H))^2 ≤ 60224 * H ^ 4 * N ^ 4
proof -
  have 3 * H ≤ N and 2 * n * H ≤ N
    using N-eq by simp-all
  then have 3 * H + 2 * n * H ≤ 2 * N
    by simp
  then have nlength (3 * H + 2 * n * H) ≤ 3 * H + 2 * n * H
    using nlength-le-n by simp
  then have nlength (3 * H + 2 * n * H) ≤ H * (3 + 2 * n)
    by (metis distrib-right mult.commute)
  also have ... ≤ N

```

using *N-eq* by *simp*  
 finally have  $\text{nlength } (3 * H + 2 * n * H) \leq N$  .  
 then have  $3 + \text{nlength } (3 * H + 2 * n * H) \leq 3 + N$   
 by *simp*  
 then have  $(3 + \text{nlength } (3 * H + 2 * n * H))^2 \leq (3 + N)^2$   
 by *simp*  
 also have  $\dots \leq 9 + 7 * N^2$   
 using *pow2-sum-le[of 3 N]* by *simp*  
 finally have  $(3 + \text{nlength } (3 * H + 2 * n * H))^2 \leq 9 + 7 * N^2$  .  
 then have  $3764 * H^4 * (3 + \text{nlength } (3 * H + 2 * n * H))^2 \leq 3764 * H^4 * (9 + 7 * N^2)$   
 by *simp*  
 also have  $\dots = 3764 * H^4 * 9 + 3764 * H^4 * 7 * N^2$   
 by *algebra*  
 also have  $\dots = 33876 * H^4 + 26348 * H^4 * N^2$   
 by *simp*  
 also have  $\dots \leq 33876 * H^4 * N^2 + 26348 * H^4 * N^2$   
 using *pow-mono' N-ge-1* by *simp*  
 also have  $\dots = 60224 * H^4 * N^2$   
 by *simp*  
 also have  $\dots \leq 60224 * H^4 * N^4$   
 using *pow-mono'* by *simp*  
 finally show *?thesis* .  
 qed

have *d*:  $\text{Suc } (p \ n) * (9 + 1897 * (H^4 * (\text{nlength } (\text{Suc } m))^2)) \leq 1906 * H^4 * N^4$

proof –

have  $\text{Suc } (p \ n) \leq N$

using *le-N* by *simp*

then have  $\text{Suc } (p \ n) * (9 + 1897 * (H^4 * (\text{nlength } (\text{Suc } m))^2)) \leq N * (9 + 1897 * (H^4 * (\text{nlength } (\text{Suc } m))^2))$

using *mult-le-mono1* by *blast*

also have  $\dots \leq N * (9 + 1897 * (H^4 * (\text{nlength } N)^2))$

proof –

have  $\text{Suc } m \leq N$

using *m-def le-N* by *simp*

then show *?thesis*

using *H4-nlength H-ge-3 add-le-mono less-or-eq-imp-le mult-le-mono* by *presburger*

qed

also have  $\dots \leq N * (9 + 1897 * (H^4 * N^2))$

using *nlength-le-n* by *simp*

also have  $\dots = N * 9 + N * 1897 * H^4 * N^2$

by (*simp add: add-mult-distrib2*)

also have  $\dots \leq N^3 * 9 + N * 1897 * H^4 * N^2$

using *linear-le-pow* by *simp*

also have  $\dots \leq 9 * H^4 * N^3 + N * 1897 * H^4 * N^2$

using *H-ge-3* by *simp*

also have  $\dots = 9 * H^4 * N^3 + 1897 * H^4 * N^3$

by *algebra*

also have  $\dots = 1906 * H^4 * N^3$

by *simp*

also have  $\dots \leq 1906 * H^4 * N^4$

using *pow-mono'* by *simp*

finally show *?thesis* .

qed

have *e*:  $\text{Suc } n * (9 + 1897 * (H^4 * (\text{nlength } (1 + 2 * n))^2)) \leq 1906 * H^4 * N^4$

proof –

have  $\text{nlength } (1 + 2 * n) \leq N$

using *le-N nlength-le-n[of 1 + 2 \* n]* by *simp*

then have  $(\text{nlength } (1 + 2 * n))^2 \leq N^2$

by *simp*

then have  $\text{Suc } n * (9 + 1897 * (H^4 * (\text{nlength } (1 + 2 * n))^2)) \leq \text{Suc } n * (9 + 1897 * (H^4 * N^2))$

using *add-le-mono less-or-eq-imp-le mult-le-mono2* by *presburger*

**also have** ... =  $Suc\ n * (9 + 1897 * H^4 * N^2)$   
**by** *simp*  
**also have** ...  $\leq N * (9 + 1897 * H^4 * N^2)$   
**using** *mult-le-mono1 [OF le-N [of Suc n]]* **by** *simp*  
**also have** ... =  $N * 9 + 1897 * H^4 * N^3$   
**by** *algebra*  
**also have** ...  $\leq 9 * N^3 + 1897 * H^4 * N^3$   
**using** *linear-le-pow* **by** *simp*  
**also have** ...  $\leq 9 * H^4 * N^3 + 1897 * H^4 * N^3$   
**using** *H-ge-3* **by** *simp*  
**also have** ... =  $1906 * H^4 * N^3$   
**by** *simp*  
**also have** ...  $\leq 1906 * H^4 * N^4$   
**using** *pow-mono'* **by** *simp*  
**finally show** *?thesis* .  
**qed**

**have** *nlength-le-GN*:  $y \leq N \implies nlength\ y \leq H^4 * N^4$  **for**  $y$   
**proof** –

**assume**  $y \leq N$   
**then have**  $nlength\ y \leq N^4$   
**using** *nlength-le-n linear-le-pow H-ge-3* **by** (*meson dual-order.trans zero-less-numeral*)  
**also have** ...  $\leq H^4 * N^4$   
**using** *H-gr-2* **by** *simp*  
**finally show** *?thesis* .  
**qed**

**have**  $f$ :  $13 * nlength\ H \leq 13 * H^4 * N^4$   
**using** *nlength-le-GN [of H] N-eq* **by** *simp*  
**have**  $g$ :  $15 * nlength\ n \leq 15 * H^4 * N^4$   
**using** *nlength-le-GN [OF n-le-N]* **by** *simp*  
**have**  $h$ :  $6 * nlength\ (2 * n + 3) \leq 6 * H^4 * N^4$   
**using** *nlength-le-GN le-N* **by** *simp*  
**have**  $i$ :  $10 * nlength\ m \leq 10 * H^4 * N^4$   
**using** *m-def nlength-le-GN le-N* **by** *simp*  
**have**  $j$ :  $3 * nlength\ (Suc\ m) \leq 3 * H^4 * N^4$   
**using** *m-def nlength-le-GN le-N* **by** *simp*  
**have**  $k$ :  $3 * \max\ (nlength\ T')\ (nlength\ (Suc\ m)) \leq 3 * H^4 * N^4$   
**proof** –  
**have**  $nlength\ T' \leq H^4 * N^4$   
**using** *nlength-le-GN le-N* **by** *simp*  
**moreover have**  $nlength\ (Suc\ m) \leq H^4 * N^4$   
**using** *m-def nlength-le-GN le-N* **by** *simp*  
**ultimately show** *?thesis*  
**by** *simp*

**qed**  
**have**  $l$ :  $1875 * H^4 \leq 1875 * H^4 * N^4$   
**using** *N-ge-1* **by** *simp*  
**have**  $m$ :  $3 * nlength\ m' \leq 3 * H^4 * N^4$   
**using** *m'-def le-N le-refl nat-mult-le-cancel-disj nlength-le-GN* **by** *simp*

**have**  $ttt31 \leq 256 + (2 * d-polynomial\ p + 826) * (H + m')^4 + 1928 * H^4 * N^4 +$   
 $140675 * H^4 * N^4 + 60224 * H^4 * N^4 + 1906 * H^4 * N^4 + 1906 * H^4 * N^4 +$   
 $1900 * H^4 * N^4$   
**using** *ttt31-def a b c d e m l k f h i g j* **by** *linarith*  
**also have** ... =  $256 + (2 * d-polynomial\ p + 826) * (H + m')^4 + 208539 * H^4 * N^4$   
**by** *simp*  
**also have** ...  $\leq 256 + (2 * d-polynomial\ p + 826) * (N + N)^4 + 208539 * H^4 * N^4$   
**proof** –  
**have**  $H \leq N$   
**using** *N-eq* **by** *simp*  
**then show** *?thesis*  
**using** *le-N [of m'] m'-def* **by** *simp*



**qed**  
**also have** ... =  $256 + (2 * d\text{-polynomial } p + 826) * (2 * N)^4 + 208539 * H^4 * N^4$   
**by algebra**  
**also have** ... =  $256 + (2 * d\text{-polynomial } p + 826) * 16 * N^4 + 208539 * H^4 * N^4$   
**by simp**  
**also have** ...  $\leq 256 + (2 * d\text{-polynomial } p + 826) * 16 * H^4 * N^4 + 208539 * H^4 * N^4$   
**using H-ge-3 by simp**  
**also have** ... =  $256 + (32 * d\text{-polynomial } p + 13216) * H^4 * N^4 + 208539 * H^4 * N^4$   
**by simp**  
**also have** ... =  $256 + (32 * d\text{-polynomial } p + 221755) * H^4 * N^4$   
**by algebra**  
**also have** ...  $\leq 256 * H^4 + (32 * d\text{-polynomial } p + 221755) * H^4 * N^4$   
**using H-gr-2 by simp**  
**also have** ...  $\leq 256 * H^4 * N^4 + (32 * d\text{-polynomial } p + 221755) * H^4 * N^4$   
**using N-ge-1 by simp**  
**also have** ... =  $(32 * d\text{-polynomial } p + 222011) * H^4 * N^4$   
**by algebra**  
**finally show** ?thesis .  
**qed**

**lemma** *tm31* [*transforms-intros*]: *transforms tm31 tps0 ttt31 tps31*

**unfolding** *tm31-def*

**proof** (*tform transforms-intros: tm-PHI5*)

**show**  $60 + 8 < \text{length } tps30$

**using** *lentpsF tps30-def k* **by** (*simp-all only: length-list-update*)

**show**  $tps30 ! 1 = \text{nlltape } (\text{formula-}n \Phi_0 @ \text{formula-}n \Phi_1 @ \text{formula-}n \Phi_2 @ \text{formula-}n \Phi_3 @ \text{formula-}n \Phi_4)$

**using** *tps30-def k lentpsF* **by** (*simp only: length-list-update nth-list-update-neq nth-list-update-eq*)

**have** \*:  $2 * n + 2 * p n + 3 = \text{Suc } m$

**using** *m-def One-nat-def Suc-1 add-Suc-right numeral-3-eq-3* **by** *presburger*

**have**  $tps30 ! 60 = (\lfloor \text{Suc } m \rfloor_N, 1)$

**using** *tps30-def k lentpsF* **by** (*simp only: length-list-update nth-list-update-neq nth-list-update-eq*)

**then show**  $tps30 ! 60 = (\lfloor 2 * n + 2 * p n + 3 \rfloor_N, 1)$

**using** \* **by** *presburger*

**have**  $tps30 ! 61 = (\lfloor H \rfloor_N, 1)$

**using** *tps30-def k lentpsF* **by** (*simp only: length-list-update nth-list-update-neq nth-list-update-eq*)

**then show**  $tps30 ! (60 + 1) = (\lfloor H \rfloor_N, 1)$

**by simp**

**have**  $tps30 ! 62 = tpsF ! 62$

**using** *tps30-def* **by** (*simp only: length-list-update nth-list-update-eq nth-list-update-neq*)

**then show**  $tps30 ! (60 + 2) = (\lfloor 0 \rfloor_N, 1)$

**using** *tpsF k canrepr-0* **by simp**

**have**  $tps30 ! 63 = tpsF ! 63$

**using** *tps30-def* **by** (*simp only: length-list-update nth-list-update-eq nth-list-update-neq*)

**then show**  $tps30 ! (60 + 3) = (\lfloor [] \rfloor, 1)$

**using** *tpsF k* **by simp**

**have**  $tps30 ! 64 = tpsF ! 64$

**using** *tps30-def* **by** (*simp only: length-list-update nth-list-update-eq nth-list-update-neq*)

**then show**  $tps30 ! (60 + 4) = (\lfloor [] \rfloor, 1)$

**using** *tpsF k* **by simp**

**have**  $tps30 ! 65 = tpsF ! 65$

**using** *tps30-def* **by** (*simp only: length-list-update nth-list-update-eq nth-list-update-neq*)

**then show**  $tps30 ! (60 + 5) = (\lfloor [] \rfloor, 1)$

**using** *tpsF k* **by simp**

**have**  $tps30 ! 66 = tpsF ! 66$

**using** *tps30-def* **by** (*simp only: length-list-update nth-list-update-eq nth-list-update-neq*)

**then show**  $tps30 ! (60 + 6) = (\lfloor [] \rfloor, 1)$

**using** *tpsF k* **by simp**

**have**  $tps30 ! 67 = tpsF ! 67$

**using** *tps30-def* **by** (*simp only: length-list-update nth-list-update-eq nth-list-update-neq*)

**then show**  $tps30 ! (60 + 7) = (\lfloor [] \rfloor, 1)$

**using** *tpsF k* **by simp**

**have**  $tps30 ! 68 = (\lfloor T' + \text{Suc } m \rfloor_N, 1)$

**using** *tps30-def k lentpsF* **by** (*simp only: length-list-update nth-list-update-neq nth-list-update-eq*)

**then have**  $tps30 ! (60 + 8) = (\lfloor Suc\ m + 1 * T' \rfloor_N, 1)$   
**by** (*metis add commute add-One-commute nat-mult-1 numeral-plus-numeral semiring-norm(2) semiring-norm(4) semiring-norm(6) semiring-norm(7)*)  
**then show**  $tps30 ! (60 + 8) = (\lfloor 2 * n + 2 * p\ n + 3 + T' \rfloor_N, 1)$   
**using** \* *nat-mult-1* **by** *presburger*  
**have**  $tps31 = tps30$   
 $[60 := (\lfloor Suc\ m + T' \rfloor_N, 1),$   
 $1 := nlltape$   
 $(formula-n\ \Phi_0 @ formula-n\ \Phi_1 @ formula-n\ \Phi_2 @ formula-n\ \Phi_3 @ formula-n\ \Phi_4 @$   
 $formula-n\ \Phi_5),$   
 $60 + 3 := (\lfloor 1 \rfloor_N, 1)]$   
**unfolding** *tps31-def tps30-def* **by** (*simp only: list-update-swap list-update-overwrite*)  
**then show**  $tps31 = tps30$   
 $[60 := (\lfloor 2 * n + 2 * p\ n + 3 + T' \rfloor_N, 1),$   
 $1 := nlltape$   
 $((formula-n\ \Phi_0 @ formula-n\ \Phi_1 @ formula-n\ \Phi_2 @ formula-n\ \Phi_3 @ formula-n\ \Phi_4) @$   
 $formula-n\ \Phi_5),$   
 $60 + 3 := (\lfloor 1 \rfloor_N, 1)]$   
**using** \* *metis append-eq-appendI*  
**show**  $tts31 = 256 + (2 * d-polynomial\ p + 826) * (H + m') ^ 4 + 3 * nlength\ m' + 13 * nlength\ H +$   
 $5627 * H ^ 4 * (3 + nlength\ (3 * H + m' * H))^2 + 1875 * H ^ 4 +$   
 $15 * nlength\ n + 3764 * H ^ 4 * (3 + nlength\ (3 * H + 2 * n * H))^2 +$   
 $Suc\ n * (9 + 1897 * (H ^ 4 * (nlength\ (1 + 2 * n))^2)) + 6 * nlength\ (2 * n + 3) +$   
 $10 * nlength\ m + Suc\ (p\ n) * (9 + 1897 * (H ^ 4 * (nlength\ (Suc\ m))^2)) +$   
 $3 * nlength\ (Suc\ m) + 3 * max\ (nlength\ T')\ (nlength\ (Suc\ m)) +$   
 $Suc\ T' * (9 + 1891 * (H ^ 4 * (nlength\ (2 * n + 2 * p\ n + 3 + T'))^2))$   
**using** *tts31-def m-def One-nat-def Suc-1 add-Suc-right numeral-3-eq-3* **by** *presburger*  
**qed**

**definition**  $tpsG \equiv tpsF$

$[61 := (\lfloor H \rfloor_N, 1),$   
 $60 := (\lfloor Suc\ m \rfloor_N, 1),$   
 $68 := (\lfloor T' + Suc\ m \rfloor_N, 1),$   
 $60 := (\lfloor Suc\ m + T' \rfloor_N, 1),$   
 $60 + 3 := (\lfloor 1 \rfloor_N, 1)]$

**lemma**  $tpsG: 68 < j \implies j < 110 \implies tpsG ! j = (\lfloor [] \rfloor, 1)$   
**using** *tpsG-def tpsF* **by** *simp*

**lemma**  $lentpsG: length\ tpsG = 110$   
**using** *lentpsF tpsG-def* **by** *simp*

**lemma**  $tps31: tps31 = tpsG$

$[11 := (\lfloor n \rfloor_N, 1),$   
 $15 := (\lfloor p\ n \rfloor_N, 1),$   
 $16 := (\lfloor m \rfloor_N, 1),$   
 $17 := (\lfloor T' \rfloor_N, 1),$   
 $4 := (\lfloor map\ (\lambda t. exc\ zs\ t <\#\> 0)\ [0..<Suc\ T'] \rfloor_{NL}, 1),$   
 $7 := (\lfloor map\ (\lambda t. exc\ zs\ t <\#\> 1)\ [0..<Suc\ T'] \rfloor_{NL}, 1),$   
 $18 := (\lfloor m' \rfloor_N, 1),$   
 $19 := (\lfloor H \rfloor_N, 1),$   
 $20 := (\lfloor m' * H \rfloor_N, 1),$   
 $1 := nlltape$   
 $(formula-n\ \Phi_0 @ formula-n\ \Phi_1 @ formula-n\ \Phi_2 @ formula-n\ \Phi_3 @ formula-n\ \Phi_4 @$   
 $formula-n\ \Phi_5)]$

**unfolding** *tps31-def tpsG-def* **by** (*simp only: list-update-swap*)

**definition**  $tps32 \equiv tpsG$

$[11 := (\lfloor n \rfloor_N, 1),$   
 $15 := (\lfloor p\ n \rfloor_N, 1),$   
 $16 := (\lfloor m \rfloor_N, 1),$   
 $17 := (\lfloor T' \rfloor_N, 1),$   
 $4 := (\lfloor map\ (\lambda t. exc\ zs\ t <\#\> 0)\ [0..<Suc\ T'] \rfloor_{NL}, 1),$

```

7 := (⟦map (λt. exc zs t <#> 1) [0..<Suc T']⟧NL, 1),
18 := (⟦m'⟧N, 1),
19 := (⟦H⟧N, 1),
20 := (⟦m' * H⟧N, 1),
1 := nlltape
    (formula-n Φ0 @ formula-n Φ1 @ formula-n Φ2 @ formula-n Φ3 @ formula-n Φ4 @
     formula-n Φ5),
69 := (⟦2⟧N, 1)

```

**lemma** *tm32* [transforms-intros]:

**assumes**  $ttt = ttt31 + 14$

**shows** *transforms tm32 tps0 ttt tps32*

**unfolding** *tm32-def*

**proof** (*tform*)

**show**  $69 < \text{length } tps31$

**using** *lentpsF tps31-def k* **by** (*simp-all only: length-list-update*)

**have**  $tps31 ! 69 = tpsF ! 69$

**using** *tps31-def* **by** (*simp only: nth-list-update-neq*)

**then show**  $tps31 ! 69 = (⟦0⟧<sub>N</sub>, 1)$

**using** *tpsF k canrepr-0* **by** *simp*

**show**  $tps32 = tps31[69 := (⟦2⟧<sub>N</sub>, 1)]$

**unfolding** *tps32-def tps31* **by** (*simp only:*)

**show**  $ttt = ttt31 + (10 + 2 * \text{nlength } 0 + 2 * \text{nlength } 2)$

**using** *assms nlength-2* **by** *simp*

**qed**

**definition** *tps33*  $\equiv$  *tpsG*

[11 := (⟦n⟧<sub>N</sub>, 1),

15 := (⟦p n⟧<sub>N</sub>, 1),

16 := (⟦m⟧<sub>N</sub>, 1),

17 := (⟦T'⟧<sub>N</sub>, 1),

4 := (⟦map (λt. exc zs t <#> 0) [0..<Suc T']⟧<sub>NL</sub>, 1),

7 := (⟦map (λt. exc zs t <#> 1) [0..<Suc T']⟧<sub>NL</sub>, 1),

18 := (⟦m'⟧<sub>N</sub>, 1),

19 := (⟦H⟧<sub>N</sub>, 1),

20 := (⟦m' \* H⟧<sub>N</sub>, 1),

1 := nlltape

(formula-n Φ<sub>0</sub> @ formula-n Φ<sub>1</sub> @ formula-n Φ<sub>2</sub> @ formula-n Φ<sub>3</sub> @ formula-n Φ<sub>4</sub> @  
formula-n Φ<sub>5</sub>),

69 := (⟦2⟧<sub>N</sub>, 1),

70 := (⟦H⟧<sub>N</sub>, 1)]

**lemma** *tm33* [transforms-intros]:

**assumes**  $ttt = ttt31 + 24 + 2 * \text{nlength } H$

**shows** *transforms tm33 tps0 ttt tps33*

**unfolding** *tm33-def*

**proof** (*tform*)

**show**  $70 < \text{length } tps32$

**using** *lentpsG tps32-def k* **by** (*simp-all only: length-list-update*)

**have**  $tps32 ! 70 = tpsG ! 70$

**using** *tps32-def* **by** (*simp only: nth-list-update-neq*)

**then show**  $tps32 ! 70 = (⟦0⟧<sub>N</sub>, 1)$

**using** *tpsG k canrepr-0* **by** *simp*

**show**  $tps33 = tps32[70 := (⟦H⟧<sub>N</sub>, 1)]$

**unfolding** *tps33-def tps32-def* **by** (*simp only:*)

**show**  $ttt = ttt31 + 14 + (10 + 2 * \text{nlength } 0 + 2 * \text{nlength } H)$

**using** *assms* **by** *simp*

**qed**

**definition** *tps34*  $\equiv$  *tpsG*

[11 := (⟦n⟧<sub>N</sub>, 1),

15 := (⟦p n⟧<sub>N</sub>, 1),

16 := (⟦m⟧<sub>N</sub>, 1),

```

17 := (⌊T'⌋N, 1),
4 := (⌊map (λt. exc zs t <#> 0) [0..<Suc T']⌋NL, 1),
7 := (⌊map (λt. exc zs t <#> 1) [0..<Suc T']⌋NL, 1),
18 := (⌊m'⌋N, 1),
19 := (⌊H⌋N, 1),
20 := (⌊m' * H⌋N, 1),
1 := nlltape
      (formula-n Φ0 @ formula-n Φ1 @ formula-n Φ2 @ formula-n Φ3 @ formula-n Φ4 @
       formula-n Φ5 @ formula-n Φ6),
69 := (⌊2⌋N, 1),
70 := (⌊H⌋N, 1),
0 := (⌊xs⌋, Suc n),
69 := (⌊2 + 2 * n⌋N, 1)

```

**lemma** *tm34* [transforms-intros]:

**assumes** *ttt* = *tts31* + 24 + 2 \* *nlength H* + (133650 \* *H* ^ 6 \* *n* ^ 3 + 1)

**shows** *transforms tm34 tps0 ttt tps34*

**unfolding** *tm34-def*

**proof** (*tform*)

**show** *69* + 7 < *length tps33*

**using** *lentpsG tps33-def k* **by** (*simp-all only: length-list-update*)

**let** *?nss* = (formula-n Φ<sub>0</sub> @ formula-n Φ<sub>1</sub> @ formula-n Φ<sub>2</sub> @ formula-n Φ<sub>3</sub> @  
formula-n Φ<sub>4</sub> @ formula-n Φ<sub>5</sub>)

**show** *tps33* ! 1 = *nlltape ?nss*

**using** *tps33-def k lentpsG* **by** (*simp only: length-list-update nth-list-update-neq nth-list-update-eq*)

**have** *tps33* ! 0 = *tps1* ! 0

**unfolding** *tps33-def tpsG-def tpsF-def tpsE-def tpsD-def tpsC-def tpsB-def tpsA-def*

**by** (*simp only: length-list-update nth-list-update-neq nth-list-update-eq*)

**then show** *tps33* ! 0 = (⌊xs⌋, 1)

**using** *tps1'* **by** *simp*

**show** *tps33* ! 69 = (⌊2⌋<sub>N</sub>, 1)

**using** *tps33-def k lentpsG* **by** (*simp only: length-list-update nth-list-update-neq nth-list-update-eq*)

**have** *tps33* ! 70 = (⌊H⌋<sub>N</sub>, 1)

**using** *tps33-def k lentpsG* **by** (*simp only: length-list-update nth-list-update-neq nth-list-update-eq*)

**then show** *tps33* ! (69 + 1) = (⌊H⌋<sub>N</sub>, 1)

**by** *simp*

**have** *tps33* ! 71 = *tpsG* ! 71

**using** *tps33-def* **by** (*simp only: length-list-update nth-list-update-eq nth-list-update-neq*)

**then show** *tps33* ! (69 + 2) = (⌊0⌋<sub>N</sub>, 1)

**using** *tpsG k canrepr-0* **by** *simp*

**have** *tps33* ! 72 = *tpsG* ! 72

**using** *tps33-def* **by** (*simp only: length-list-update nth-list-update-eq nth-list-update-neq*)

**then show** *tps33* ! (69 + 3) = (⌊[]⌋, 1)

**using** *tpsG k* **by** *simp*

**have** *tps33* ! 73 = *tpsG* ! 73

**using** *tps33-def* **by** (*simp only: length-list-update nth-list-update-eq nth-list-update-neq*)

**then show** *tps33* ! (69 + 4) = (⌊[]⌋, 1)

**using** *tpsG k* **by** *simp*

**have** *tps33* ! 74 = *tpsG* ! 74

**using** *tps33-def* **by** (*simp only: length-list-update nth-list-update-eq nth-list-update-neq*)

**then show** *tps33* ! (69 + 5) = (⌊[]⌋, 1)

**using** *tpsG k* **by** *simp*

**have** *tps33* ! 75 = *tpsG* ! 75

**using** *tps33-def* **by** (*simp only: length-list-update nth-list-update-eq nth-list-update-neq*)

**then show** *tps33* ! (69 + 6) = (⌊[]⌋, 1)

**using** *tpsG k* **by** *simp*

**have** *tps33* ! 76 = *tpsG* ! 76

**using** *tps33-def* **by** (*simp only: length-list-update nth-list-update-eq nth-list-update-neq*)

**then show** *tps33* ! (69 + 7) = (⌊[]⌋, 1)

**using** *tpsG k* **by** *simp*

**show** *tps34* = *tps33*

[0 := (⌊xs⌋, Suc n),

69 := (⌊2 + 2 \* n⌋<sub>N</sub>, 1),

$1 := \text{nlldata} (?nss @ \text{formula-}n \Phi_6]$   
**unfolding**  $\text{tps34-def tps33-def}$  **by** (*simp only: list-update-swap list-update-overwrite append-assoc*)  
**show**  $\text{tnt} = \text{tnt31} + 24 + 2 * \text{nlength } H + (133650 * H \wedge 6 * n \wedge 3 + 1)$   
**using**  $\text{assms}$  **by** *simp*  
**qed**

**definition**  $\text{tpsH} \equiv \text{tpsG}$   
 $[69 := ([2]_N, 1),$   
 $70 := ([H]_N, 1),$   
 $0 := ([xs], \text{Suc } n),$   
 $69 := ([2 + 2 * n]_N, 1)]$

**lemma**  $\text{tpsH}$ :  $76 < j \implies j < 110 \implies \text{tpsH} ! j = ([[]], 1)$   
**using**  $\text{tpsH-def tpsG}$  **by** *simp*

**lemma**  $\text{lentpsH}$ :  $\text{length } \text{tpsH} = 110$   
**using**  $\text{lentpsG tpsH-def}$  **by** *simp*

**lemma**  $\text{tps34}$ :  $\text{tps34} = \text{tpsH}$   
 $[11 := ([n]_N, 1),$   
 $15 := ([p \ n]_N, 1),$   
 $16 := ([m]_N, 1),$   
 $17 := ([T']_N, 1),$   
 $4 := ([\text{map } (\lambda t. \text{exc } zs \ t <\#\> \ 0) [0..<\text{Suc } T']_{NL}, 1),$   
 $7 := ([\text{map } (\lambda t. \text{exc } zs \ t <\#\> \ 1) [0..<\text{Suc } T']_{NL}, 1),$   
 $18 := ([m']_N, 1),$   
 $19 := ([H]_N, 1),$   
 $20 := ([m' * H]_N, 1),$   
 $1 := \text{nlldata}$   
 $(\text{formula-}n \Phi_0 @ \text{formula-}n \Phi_1 @ \text{formula-}n \Phi_2 @ \text{formula-}n \Phi_3 @ \text{formula-}n \Phi_4 @$   
 $\text{formula-}n \Phi_5 @ \text{formula-}n \Phi_6)]$   
**unfolding**  $\text{tps34-def tpsH-def}$  **by** (*simp only: list-update-swap*)

**definition**  $\text{tps35} \equiv \text{tpsH}$   
 $[11 := ([n]_N, 1),$   
 $15 := ([p \ n]_N, 1),$   
 $16 := ([m]_N, 1),$   
 $17 := ([T']_N, 1),$   
 $4 := ([\text{map } (\lambda t. \text{exc } zs \ t <\#\> \ 0) [0..<\text{Suc } T']_{NL}, 1),$   
 $7 := ([\text{map } (\lambda t. \text{exc } zs \ t <\#\> \ 1) [0..<\text{Suc } T']_{NL}, 1),$   
 $18 := ([m']_N, 1),$   
 $19 := ([H]_N, 1),$   
 $20 := ([m' * H]_N, 1),$   
 $1 := \text{nlldata}$   
 $(\text{formula-}n \Phi_0 @ \text{formula-}n \Phi_1 @ \text{formula-}n \Phi_2 @ \text{formula-}n \Phi_3 @ \text{formula-}n \Phi_4 @$   
 $\text{formula-}n \Phi_5 @ \text{formula-}n \Phi_6),$   
 $77 := ([n]_N, 1)]$

**lemma**  $\text{tm35}$  [*transforms-intros*]:  
**assumes**  $\text{tnt} = \text{tnt31} + 38 + 2 * \text{nlength } H + (133650 * H \wedge 6 * n \wedge 3 + 1) + 3 * \text{nlength } n$   
**shows**  $\text{transforms } \text{tm35 tps0 tnt tps35}$   
**unfolding**  $\text{tm35-def}$   
**proof** (*tform*)  
**show**  $11 < \text{length } \text{tps34} \ 77 < \text{length } \text{tps34}$   
**using**  $\text{lentpsH tps34 } k$  **by** (*simp-all only: length-list-update*)  
**show**  $\text{tps34} ! 11 = ([n]_N, 1)$   
**using**  $\text{tps34 } k \text{ lentpsH}$  **by** (*simp only: length-list-update nth-list-update-neq nth-list-update-eq*)  
**have**  $\text{tps34} ! 77 = \text{tpsH} ! 77$   
**using**  $\text{tps34}$  **by** (*simp only: length-list-update nth-list-update-eq nth-list-update-neq*)  
**then show**  $\text{tps34} ! 77 = ([0]_N, 1)$   
**using**  $\text{tpsH } k \text{ canrepr-0}$  **by** *simp*  
**show**  $\text{tps35} = \text{tps34}[77 := ([n]_N, 1)]$   
**unfolding**  $\text{tps35-def tps34}$  **by** (*simp only: list-update-swap list-update-overwrite*)

```

show ttt = ttt31 + 24 + 2 * nlength H + (133650 * H ^ 6 * n ^ 3 + 1) +
  (14 + 3 * (nlength n + nlength 0))
using assms by simp
qed

```

**definition** tps36  $\equiv$  tpsH

```

[11 := ([n]N, 1),
 15 := ([p n]N, 1),
 16 := ([m]N, 1),
 17 := ([T']N, 1),
 4 := ([map (λt. exc zs t <#> 0) [0..<Suc T']]NL, 1),
 7 := ([map (λt. exc zs t <#> 1) [0..<Suc T']]NL, 1),
 18 := ([m']N, 1),
 19 := ([H]N, 1),
 20 := ([m' * H]N, 1),
 1 := nlltape
  (formula-n Φ0 @ formula-n Φ1 @ formula-n Φ2 @ formula-n Φ3 @ formula-n Φ4 @
  formula-n Φ5 @ formula-n Φ6),
 77 := ([2 * n]N, 1)]

```

**lemma** tm36 [transforms-intros]:

```

assumes ttt = ttt31 + 43 + 2 * nlength H + (133650 * H ^ 6 * n ^ 3 + 1) + 5 * nlength n
shows transforms tm36 tps0 ttt tps36
unfolding tm36-def
proof (tform time: assms)
show 77 < length tps35
  using lentpsH tps35-def k by (simp-all only: length-list-update)
show tps35 ! 77 = ([n]N, 1)
  using tps35-def k lentpsH by (simp only: length-list-update nth-list-update-neq nth-list-update-eq)
show tps36 = tps35[77 := ([2 * n]N, 1)]
  unfolding tps36-def tps35-def by (simp only: list-update-swap[of 77] list-update-overwrite)
qed

```

**definition** tps37  $\equiv$  tpsH

```

[11 := ([n]N, 1),
 15 := ([p n]N, 1),
 16 := ([m]N, 1),
 17 := ([T']N, 1),
 4 := ([map (λt. exc zs t <#> 0) [0..<Suc T']]NL, 1),
 7 := ([map (λt. exc zs t <#> 1) [0..<Suc T']]NL, 1),
 18 := ([m']N, 1),
 19 := ([H]N, 1),
 20 := ([m' * H]N, 1),
 1 := nlltape
  (formula-n Φ0 @ formula-n Φ1 @ formula-n Φ2 @ formula-n Φ3 @ formula-n Φ4 @
  formula-n Φ5 @ formula-n Φ6),
 77 := ([2 * n + 4]N, 1)]

```

**lemma** tm37 [transforms-intros]:

```

assumes ttt = ttt31 + 63 + 2 * nlength H + (133650 * H ^ 6 * n ^ 3 + 1) + 5 * nlength n +
  8 * nlength (2 * n + 4)
shows transforms tm37 tps0 ttt tps37
unfolding tm37-def
proof (tform)
show 77 < length tps36
  using lentpsH tps36-def k by (simp-all only: length-list-update)
show tps36 ! 77 = ([2 * n]N, 1)
  using tps36-def k lentpsH by (simp only: length-list-update nth-list-update-neq nth-list-update-eq)
show tps37 = tps36[77 := ([2 * n + 4]N, 1)]
  unfolding tps37-def tps36-def by (simp only: list-update-swap list-update-overwrite)
show ttt = ttt31 + 43 + 2 * nlength H + (133650 * H ^ 6 * n ^ 3 + 1) +
  5 * nlength n + 4 * (5 + 2 * nlength (2 * n + 4))
  using assms by simp

```

qed

**definition**  $tps38 \equiv tpsH$

```
[11 := ([n]N, 1),
15 := ([p n]N, 1),
16 := ([m]N, 1),
17 := ([T']N, 1),
4 := ([map (λt. exc zs t <#> 0) [0..<Suc T']NL, 1),
7 := ([map (λt. exc zs t <#> 1) [0..<Suc T']NL, 1),
18 := ([m']N, 1),
19 := ([H]N, 1),
20 := ([m' * H]N, 1),
1 := nlltape
  (formula-n Φ0 @ formula-n Φ1 @ formula-n Φ2 @ formula-n Φ3 @ formula-n Φ4 @
   formula-n Φ5 @ formula-n Φ6),
77 := ([2 * n + 4]N, 1),
78 := ([H]N, 1)]
```

**lemma**  $tm38$  [transforms-intros]:

**assumes**  $ttt = ttt31 + 73 + 2 * nlength\ H + (133650 * H \wedge 6 * n \wedge 3 + 1) +$   
 $5 * nlength\ n + 8 * nlength\ (2 * n + 4) + 2 * nlength\ H$

**shows**  $transforms\ tm38\ tps0\ ttt\ tps38$

**unfolding**  $tm38-def$

**proof** (tform)

**show**  $78 < length\ tps37$

**using**  $lentpsH\ tps37-def\ k$  **by** (simp-all only: length-list-update)

**have**  $tps37 ! 78 = tpsH ! 78$

**using**  $tps37-def$  **by** (simp only: length-list-update nth-list-update-eq nth-list-update-neq)

**then show**  $tps37 ! 78 = ([0]N, 1)$

**using**  $tpsH\ k\ canrepr-0$  **by** simp

**show**  $tps38 = tps37[78 := ([H]N, 1)]$

**unfolding**  $tps38-def\ tps37-def$  **by** (simp only: list-update-swap)

**show**  $ttt = ttt31 + 63 + 2 * nlength\ H + (133650 * H \wedge 6 * n \wedge 3 + 1) +$

$5 * nlength\ n + 8 * nlength\ (2 * n + 4) + (10 + 2 * nlength\ 0 + 2 * nlength\ H)$

**using**  $assms$  **by** simp

qed

**definition**  $tps39 \equiv tpsH$

```
[11 := ([n]N, 1),
15 := ([p n]N, 1),
16 := ([m]N, 1),
17 := ([T']N, 1),
4 := ([map (λt. exc zs t <#> 0) [0..<Suc T']NL, 1),
7 := ([map (λt. exc zs t <#> 1) [0..<Suc T']NL, 1),
18 := ([m']N, 1),
19 := ([H]N, 1),
20 := ([m' * H]N, 1),
1 := nlltape
  (formula-n Φ0 @ formula-n Φ1 @ formula-n Φ2 @ formula-n Φ3 @ formula-n Φ4 @
   formula-n Φ5 @ formula-n Φ6),
77 := ([2 * n + 4]N, 1),
78 := ([H]N, 1),
83 := ([p n]N, 1)]
```

**lemma**  $tm39$  [transforms-intros]:

**assumes**  $ttt = ttt31 + 87 + 2 * nlength\ H + (133650 * H \wedge 6 * n \wedge 3 + 1) + 5 * nlength\ n +$   
 $8 * nlength\ (2 * n + 4) + 2 * nlength\ H + 3 * nlength\ (p\ n)$

**shows**  $transforms\ tm39\ tps0\ ttt\ tps39$

**unfolding**  $tm39-def$

**proof** (tform)

**show**  $15 < length\ tps38\ 83 < length\ tps38$

**using**  $lentpsH\ tps38-def\ k$  **by** (simp-all only: length-list-update)

**show**  $tps38 ! 15 = ([p\ n]N, 1)$





**using** *tps39-def* **by** (*simp only: length-list-update nth-list-update-eq nth-list-update-neq*)  
**then show** *tps39* ! (77 + 5) = ([[]], 1)  
**using** *tpsH k* **by** *simp*  
**have** *tps39* ! 83 = ([p n]<sub>N</sub>, 1)  
**using** *tps39-def k lentpsH* **by** (*simp only: length-list-update nth-list-update-neq nth-list-update-eq*)  
**then show** *tps39* ! (77 + 6) = ([p n]<sub>N</sub>, 1)  
**by** *simp*  
**show** *tps40* = *tps39*  
[77 := ([2 \* n + 4 + 2 \* p n]<sub>N</sub>, 1),  
77 + 6 := ([0]<sub>N</sub>, 1),  
1 := *nlltape* (?*nss* @ *formula-n* Φ<sub>7</sub>)]  
**unfolding** *tps40-def tps39-def* **by** (*simp only: list-update-swap list-update-overwrite append-assoc*)  
**show** *ttt* = *ttt31* + 87 + 2 \* *nlength* H + (133650 \* H ^ 6 \* n ^ 3 + 1) + 5 \* *nlength* n +  
8 \* *nlength* (2 \* n + 4) + 2 \* *nlength* H + 3 \* *nlength* (p n) +  
(p n \* 257 \* H \* (*nlength* (2 \* n + 4 + 2 \* p n) + *nlength* H)<sup>2</sup> + 1)  
**using** *assms* **by** *simp*  
**qed**

**definition** *tpsI* ≡ *tpsH*  
[77 := ([2 \* n + 4]<sub>N</sub>, 1),  
78 := ([H]<sub>N</sub>, 1),  
83 := ([p n]<sub>N</sub>, 1),  
77 := ([2 \* n + 4 + 2 \* p n]<sub>N</sub>, 1),  
77 + 6 := ([0]<sub>N</sub>, 1)]

**lemma** *tpsI*: 83 < j ⇒ j < 110 ⇒ *tpsI* ! j = ([[]], 1)  
**using** *tpsI-def tpsH* **by** *simp*

**lemma** *lentpsI*: *length* *tpsI* = 110  
**using** *lentpsH tpsI-def* **by** *simp*

**lemma** *tps40*: *tps40* = *tpsI*  
[11 := ([n]<sub>N</sub>, 1),  
15 := ([p n]<sub>N</sub>, 1),  
16 := ([m]<sub>N</sub>, 1),  
17 := ([T']<sub>N</sub>, 1),  
4 := ([map (λt. exc zs t <#> 0) [0..<Suc T']]<sub>NL</sub>, 1),  
7 := ([map (λt. exc zs t <#> 1) [0..<Suc T']]<sub>NL</sub>, 1),  
18 := ([m']<sub>N</sub>, 1),  
19 := ([H]<sub>N</sub>, 1),  
20 := ([m' \* H]<sub>N</sub>, 1),  
1 := *nlltape*  
(*formula-n* Φ<sub>0</sub> @ *formula-n* Φ<sub>1</sub> @ *formula-n* Φ<sub>2</sub> @ *formula-n* Φ<sub>3</sub> @ *formula-n* Φ<sub>4</sub> @  
*formula-n* Φ<sub>5</sub> @ *formula-n* Φ<sub>6</sub> @ *formula-n* Φ<sub>7</sub>)]  
**unfolding** *tps40-def tpsI-def* **by** (*simp only: list-update-swap*)

**definition** *tps41* ≡ *tpsI*  
[11 := ([n]<sub>N</sub>, 1),  
15 := ([p n]<sub>N</sub>, 1),  
16 := ([m]<sub>N</sub>, 1),  
17 := ([T']<sub>N</sub>, 1),  
4 := ([map (λt. exc zs t <#> 0) [0..<Suc T']]<sub>NL</sub>, 1),  
7 := ([map (λt. exc zs t <#> 1) [0..<Suc T']]<sub>NL</sub>, 1),  
18 := ([m']<sub>N</sub>, 1),  
19 := ([H]<sub>N</sub>, 1),  
20 := ([m' \* H]<sub>N</sub>, 1),  
1 := *nlltape*  
(*formula-n* Φ<sub>0</sub> @ *formula-n* Φ<sub>1</sub> @ *formula-n* Φ<sub>2</sub> @ *formula-n* Φ<sub>3</sub> @ *formula-n* Φ<sub>4</sub> @  
*formula-n* Φ<sub>5</sub> @ *formula-n* Φ<sub>6</sub> @ *formula-n* Φ<sub>7</sub>),  
84 := ([m']<sub>N</sub>, 1)]

**lemma** *tm41* [*transforms-intros*]:  
**assumes** *ttt* = *ttt31* + 102 + 2 \* *nlength* H + (133650 \* H ^ 6 \* n ^ 3 + 1) + 5 \* *nlength* n +

$8 * nlength (2 * n + 4) + 2 * nlength H + 3 * nlength (p n) +$   
 $p n * 257 * H * (nlength (2 * n + 4 + 2 * p n) + nlength H)^2 +$   
 $3 * nlength m'$   
**shows** *transforms tm41 tps0 ttt tps41*  
**unfolding** *tm41-def*  
**proof** (*tform*)  
**show**  $18 < length tps40 \ 84 < length tps40$   
**using** *lentpsI tps40 k by (simp-all only: length-list-update)*  
**show**  $tps40 ! 18 = (\lfloor m' \rfloor_N, 1)$   
**using** *tps40-def k lentpsH by (simp only: length-list-update nth-list-update-neq nth-list-update-eq)*  
**have**  $tps40 ! 84 = tpsI ! 84$   
**using** *tps40 by (simp only: length-list-update nth-list-update-eq nth-list-update-neq)*  
**then show**  $tps40 ! 84 = (\lfloor 0 \rfloor_N, 1)$   
**using** *tpsI k canrepr-0 by simp*  
**show**  $tps41 = tps40[84 := (\lfloor m' \rfloor_N, 1)]$   
**unfolding** *tps41-def tps40 by (simp only: list-update-swap)*  
**show**  $ttt = ttt31 + 88 + 2 * nlength H + (133650 * H ^ 6 * n ^ 3 + 1) + 5 * nlength n +$   
 $8 * nlength (2 * n + 4) + 2 * nlength H + 3 * nlength (p n) +$   
 $p n * 257 * H * (nlength (2 * n + 4 + 2 * p n) + nlength H)^2 +$   
 $(14 + 3 * (nlength m' + nlength 0))$   
**using** *assms by simp*  
**qed**

**definition**  $tps42 \equiv tpsI$

$[11 := (\lfloor n \rfloor_N, 1),$   
 $15 := (\lfloor p n \rfloor_N, 1),$   
 $16 := (\lfloor m \rfloor_N, 1),$   
 $17 := (\lfloor T' \rfloor_N, 1),$   
 $4 := (\lfloor map (\lambda t. exc zs t <\#\> 0) [0..<Suc T'] \rfloor_{NL}, 1),$   
 $7 := (\lfloor map (\lambda t. exc zs t <\#\> 1) [0..<Suc T'] \rfloor_{NL}, 1),$   
 $18 := (\lfloor m' \rfloor_N, 1),$   
 $19 := (\lfloor H \rfloor_N, 1),$   
 $20 := (\lfloor m' * H \rfloor_N, 1),$   
 $1 := nlltape$   
 $(formula-n \ \Phi_0 @ formula-n \ \Phi_1 @ formula-n \ \Phi_2 @ formula-n \ \Phi_3 @ formula-n \ \Phi_4 @$   
 $formula-n \ \Phi_5 @ formula-n \ \Phi_6 @ formula-n \ \Phi_7),$   
 $84 := (\lfloor T' + m' \rfloor_N, 1)]$

**lemma** *tm42 [transforms-intros]:*

**assumes**  $ttt = ttt31 + 112 + 2 * nlength H + (133650 * H ^ 6 * n ^ 3 + 1) + 5 * nlength n +$   
 $8 * nlength (2 * n + 4) + 2 * nlength H + 3 * nlength (p n) +$   
 $p n * 257 * H * (nlength (2 * n + 4 + 2 * p n) + nlength H)^2 + 3 * nlength m' +$   
 $3 * max (nlength T') (nlength m')$   
**shows** *transforms tm42 tps0 ttt tps42*  
**unfolding** *tm42-def*  
**proof** (*tform*)  
**show**  $17 < length tps41 \ 84 < length tps41$   
**using** *lentpsI tps41-def k by (simp-all only: length-list-update)*  
**show**  $tps41 ! 17 = (\lfloor T' \rfloor_N, 1)$   
**using** *tps41-def k lentpsI by (simp only: length-list-update nth-list-update-neq nth-list-update-eq)*  
**show**  $tps41 ! 84 = (\lfloor m' \rfloor_N, 1)$   
**using** *tps41-def k lentpsI by (simp only: length-list-update nth-list-update-neq nth-list-update-eq)*  
**show**  $tps42 = tps41[84 := (\lfloor T' + m' \rfloor_N, 1)]$   
**unfolding** *tps42-def tps41-def by (simp only: list-update-overwrite)*  
**show**  $ttt = ttt31 + 102 + 2 * nlength H + (133650 * H ^ 6 * n ^ 3 + 1) + 5 * nlength n +$   
 $8 * nlength (2 * n + 4) + 2 * nlength H + 3 * nlength (p n) +$   
 $p n * 257 * H * (nlength (2 * n + 4 + 2 * p n) + nlength H)^2 +$   
 $3 * nlength m' + (3 * max (nlength T') (nlength m') + 10)$   
**using** *assms by simp*  
**qed**

**definition**  $tps43 \equiv tpsI$

$[11 := (\lfloor n \rfloor_N, 1),$

$15 := (\lfloor p \ n \rfloor_N, 1),$   
 $16 := (\lfloor m \rfloor_N, 1),$   
 $17 := (\lfloor T' \rfloor_N, 1),$   
 $4 := (\lfloor \text{map } (\lambda t. \text{exc } zs \ t \ <\#\> \ 0) \ [0..<Suc \ T'] \rfloor_{NL}, 1),$   
 $7 := (\lfloor \text{map } (\lambda t. \text{exc } zs \ t \ <\#\> \ 1) \ [0..<Suc \ T'] \rfloor_{NL}, 1),$   
 $18 := (\lfloor m' \rfloor_N, 1),$   
 $19 := (\lfloor H \rfloor_N, 1),$   
 $20 := (\lfloor m' * H \rfloor_N, 1),$   
 $1 := \text{niltape}$   
 $(\text{formula-}n \ \Phi_0 \ @ \ \text{formula-}n \ \Phi_1 \ @ \ \text{formula-}n \ \Phi_2 \ @ \ \text{formula-}n \ \Phi_3 \ @ \ \text{formula-}n \ \Phi_4 \ @$   
 $\text{formula-}n \ \Phi_5 \ @ \ \text{formula-}n \ \Phi_6 \ @ \ \text{formula-}n \ \Phi_7),$   
 $84 := (\lfloor 2 * T' + m' \rfloor_N, 1)]$

**lemma** *tm43* [transforms-intros]:

**assumes**  $ttt = ttt31 + 122 + 2 * \text{nlength } H + (133650 * H^6 * n^3 + 1) + 5 * \text{nlength } n +$   
 $8 * \text{nlength } (2 * n + 4) + 2 * \text{nlength } H + 3 * \text{nlength } (p \ n) +$   
 $p \ n * 257 * H * (\text{nlength } (2 * n + 4 + 2 * p \ n) + \text{nlength } H)^2 + 3 * \text{nlength } m' +$   
 $3 * \max (\text{nlength } T') (\text{nlength } m') +$   
 $3 * \max (\text{nlength } T') (\text{nlength } (T' + m'))$

**shows** *transforms tm43 tps0 ttt tps43*

**unfolding** *tm43-def*

**proof** (*tform*)

**show**  $17 < \text{length } tps42 \ 84 < \text{length } tps42$

**using** *lentpsI tps42-def k* **by** (*simp-all only: length-list-update*)

**show**  $tps42 \ ! \ 17 = (\lfloor T' \rfloor_N, 1)$

**using** *tps42-def k lentpsI* **by** (*simp only: length-list-update nth-list-update-neq nth-list-update-eq*)

**show**  $tps42 \ ! \ 84 = (\lfloor T' + m' \rfloor_N, 1)$

**using** *tps42-def k lentpsI* **by** (*simp only: length-list-update nth-list-update-neq nth-list-update-eq*)

**have**  $tps43 = tps42[84 := (\lfloor 2 * T' + m' \rfloor_N, 1)]$

**unfolding** *tps43-def tps42-def* **by** (*simp only: list-update-overwrite*)

**then show**  $tps43 = tps42[84 := (\lfloor T' + (T' + m') \rfloor_N, 1)]$

**by** (*simp add: left-add-twice*)

**show**  $ttt = ttt31 + 112 + 2 * \text{nlength } H + (133650 * H^6 * n^3 + 1) + 5 * \text{nlength } n +$   
 $8 * \text{nlength } (2 * n + 4) + 2 * \text{nlength } H + 3 * \text{nlength } (p \ n) +$   
 $p \ n * 257 * H * (\text{nlength } (2 * n + 4 + 2 * p \ n) + \text{nlength } H)^2 + 3 * \text{nlength } m' +$   
 $3 * \max (\text{nlength } T') (\text{nlength } m') +$   
 $(3 * \max (\text{nlength } T') (\text{nlength } (T' + m'))) + 10)$

**using** *assms* **by** *simp*

**qed**

**definition** *tps44*  $\equiv$  *tpsI*

$[11 := (\lfloor n \rfloor_N, 1),$

$15 := (\lfloor p \ n \rfloor_N, 1),$

$16 := (\lfloor m \rfloor_N, 1),$

$17 := (\lfloor T' \rfloor_N, 1),$

$4 := (\lfloor \text{map } (\lambda t. \text{exc } zs \ t \ <\#\> \ 0) \ [0..<Suc \ T'] \rfloor_{NL}, 1),$

$7 := (\lfloor \text{map } (\lambda t. \text{exc } zs \ t \ <\#\> \ 1) \ [0..<Suc \ T'] \rfloor_{NL}, 1),$

$18 := (\lfloor m' \rfloor_N, 1),$

$19 := (\lfloor H \rfloor_N, 1),$

$20 := (\lfloor m' * H \rfloor_N, 1),$

$1 := \text{niltape}$

$(\text{formula-}n \ \Phi_0 \ @ \ \text{formula-}n \ \Phi_1 \ @ \ \text{formula-}n \ \Phi_2 \ @ \ \text{formula-}n \ \Phi_3 \ @ \ \text{formula-}n \ \Phi_4 \ @$   
 $\text{formula-}n \ \Phi_5 \ @ \ \text{formula-}n \ \Phi_6 \ @ \ \text{formula-}n \ \Phi_7),$

$84 := (\lfloor 3 * T' + m' \rfloor_N, 1)]$

**lemma** *tm44* [transforms-intros]:

**assumes**  $ttt = ttt31 + 132 + 2 * \text{nlength } H + (133650 * H^6 * n^3 + 1) + 5 * \text{nlength } n +$   
 $8 * \text{nlength } (2 * n + 4) + 2 * \text{nlength } H + 3 * \text{nlength } (p \ n) +$   
 $p \ n * 257 * H * (\text{nlength } (2 * n + 4 + 2 * p \ n) + \text{nlength } H)^2 + 3 * \text{nlength } m' +$   
 $3 * \max (\text{nlength } T') (\text{nlength } m') +$   
 $3 * \max (\text{nlength } T') (\text{nlength } (T' + m')) +$   
 $3 * \max (\text{nlength } T') (\text{nlength } (2 * T' + m'))$

**shows** *transforms tm44 tps0 ttt tps44*

**unfolding** *tm44-def*  
**proof** (*tform*)  
**show**  $17 < \text{length } tps43 \ 84 < \text{length } tps43$   
**using** *lentpsI tps43-def k* **by** (*simp-all only: length-list-update*)  
**show**  $tps43 \ ! \ 17 = (\lfloor T' \rfloor_N, 1)$   
**using** *tps43-def k lentpsI* **by** (*simp only: length-list-update nth-list-update-neq nth-list-update-eq*)  
**show**  $tps43 \ ! \ 84 = (\lfloor 2 * T' + m' \rfloor_N, 1)$   
**using** *tps43-def k lentpsI* **by** (*simp only: length-list-update nth-list-update-neq nth-list-update-eq*)  
**have**  $tps44 = tps43[84 := (\lfloor 3 * T' + m' \rfloor_N, 1)]$   
**unfolding** *tps44-def tps43-def* **by** (*simp only: list-update-overwrite*)  
**then show**  $tps44 = tps43[84 := (\lfloor T' + (2 * T' + m') \rfloor_N, 1)]$   
**by** (*simp add: left-add-twice*)  
**show**  $ttt = ttt31 + 122 + 2 * \text{nlength } H + (133650 * H^6 * n^3 + 1) + 5 * \text{nlength } n +$   
 $8 * \text{nlength } (2 * n + 4) + 2 * \text{nlength } H + 3 * \text{nlength } (p \ n) +$   
 $p \ n * 257 * H * (\text{nlength } (2 * n + 4 + 2 * p \ n) + \text{nlength } H)^2 + 3 * \text{nlength } m' +$   
 $3 * \max(\text{nlength } T')(\text{nlength } m') +$   
 $3 * \max(\text{nlength } T')(\text{nlength } (T' + m')) +$   
 $(3 * \max(\text{nlength } T')(\text{nlength } (2 * T' + m')) + 10)$   
**using** *assms* **by** *simp*  
**qed**

**definition**  $tps45 \equiv tpsI$

$11 := (\lfloor n \rfloor_N, 1),$   
 $15 := (\lfloor p \ n \rfloor_N, 1),$   
 $16 := (\lfloor m \rfloor_N, 1),$   
 $17 := (\lfloor T' \rfloor_N, 1),$   
 $4 := (\lfloor \text{map } (\lambda t. \text{exc } zs \ t \ <\#\> \ 0) \ [0..<Suc \ T'] \rfloor_{NL}, 1),$   
 $7 := (\lfloor \text{map } (\lambda t. \text{exc } zs \ t \ <\#\> \ 1) \ [0..<Suc \ T'] \rfloor_{NL}, 1),$   
 $18 := (\lfloor m' \rfloor_N, 1),$   
 $19 := (\lfloor H \rfloor_N, 1),$   
 $20 := (\lfloor m' * H \rfloor_N, 1),$   
 $1 := \text{nlltape}$   
 $(\text{formula-}n \ \Phi_0 \ @ \ \text{formula-}n \ \Phi_1 \ @ \ \text{formula-}n \ \Phi_2 \ @ \ \text{formula-}n \ \Phi_3 \ @ \ \text{formula-}n \ \Phi_4 \ @$   
 $\text{formula-}n \ \Phi_5 \ @ \ \text{formula-}n \ \Phi_6 \ @ \ \text{formula-}n \ \Phi_7),$   
 $84 := (\lfloor 1 + 3 * T' + m' \rfloor_N, 1)]$

**lemma** *tm45* [*transforms-intros*]:

**assumes**  $ttt = ttt31 + 137 + 2 * \text{nlength } H + (133650 * H^6 * n^3 + 1) + 5 * \text{nlength } n +$   
 $8 * \text{nlength } (2 * n + 4) + 2 * \text{nlength } H + 3 * \text{nlength } (p \ n) +$   
 $p \ n * 257 * H * (\text{nlength } (2 * n + 4 + 2 * p \ n) + \text{nlength } H)^2 + 3 * \text{nlength } m' +$   
 $3 * \max(\text{nlength } T')(\text{nlength } m') +$   
 $3 * \max(\text{nlength } T')(\text{nlength } (T' + m')) +$   
 $3 * \max(\text{nlength } T')(\text{nlength } (2 * T' + m')) +$   
 $2 * \text{nlength } (3 * T' + m')$

**shows** *transforms tm45 tps0 ttt tps45*

**unfolding** *tm45-def*

**proof** (*tform*)

**show**  $84 < \text{length } tps44$

**using** *lentpsI tps44-def k* **by** (*simp-all only: length-list-update*)

**show**  $tps44 \ ! \ 84 = (\lfloor 3 * T' + m' \rfloor_N, 1)$

**using** *tps44-def k lentpsI* **by** (*simp only: length-list-update nth-list-update-neq nth-list-update-eq*)

**have**  $tps45 = tps44[84 := (\lfloor 1 + 3 * T' + m' \rfloor_N, 1)]$

**unfolding** *tps45-def tps44-def* **by** (*simp only: list-update-overwrite*)

**then show**  $tps45 = tps44[84 := (\lfloor \text{Suc } (3 * T' + m') \rfloor_N, 1)]$

**by** *simp*

**show**  $ttt = ttt31 + 132 + 2 * \text{nlength } H + (133650 * H^6 * n^3 + 1) + 5 * \text{nlength } n +$   
 $8 * \text{nlength } (2 * n + 4) + 2 * \text{nlength } H + 3 * \text{nlength } (p \ n) +$   
 $p \ n * 257 * H * (\text{nlength } (2 * n + 4 + 2 * p \ n) + \text{nlength } H)^2 + 3 * \text{nlength } m' +$   
 $3 * \max(\text{nlength } T')(\text{nlength } m') +$   
 $3 * \max(\text{nlength } T')(\text{nlength } (T' + m')) +$   
 $3 * \max(\text{nlength } T')(\text{nlength } (2 * T' + m')) +$   
 $(5 + 2 * \text{nlength } (3 * T' + m'))$

**using** *assms* **by** *simp*

qed

**definition**  $tps46 \equiv tpsI$

```
[11 := ([n]N, 1),
15 := ([p n]N, 1),
16 := ([m]N, 1),
17 := ([T']N, 1),
4 := ([map (λt. exc zs t <#> 0) [0..<Suc T']]NL, 1),
7 := ([map (λt. exc zs t <#> 1) [0..<Suc T']]NL, 1),
18 := ([m']N, 1),
19 := ([H]N, 1),
20 := ([m' * H]N, 1),
1 := nlltape
  (formula-n Φ0 @ formula-n Φ1 @ formula-n Φ2 @ formula-n Φ3 @ formula-n Φ4 @
  formula-n Φ5 @ formula-n Φ6 @ formula-n Φ7),
84 := ([1 + 3 * T' + m']N, 1),
85 := ([H]N, 1)]
```

**lemma**  $tm46$  [transforms-intros]:

```
assumes ttt = ttt31 + 147 + 2 * nlength H + (133650 * H ^ 6 * n ^ 3 + 1) + 5 * nlength n +
  8 * nlength (2 * n + 4) + 4 * nlength H + 3 * nlength (p n) +
  p n * 257 * H * (nlength (2 * n + 4 + 2 * p n) + nlength H)^2 + 3 * nlength m' +
  3 * max (nlength T') (nlength m') +
  3 * max (nlength T') (nlength (T' + m')) +
  3 * max (nlength T') (nlength (2 * T' + m')) +
  2 * nlength (3 * T' + m')
```

**shows**  $transforms\ tm46\ tps0\ ttt\ tps46$

**unfolding**  $tm46-def$

**proof** (tform)

**show**  $85 < length\ tps45$

**using**  $lentpsI\ tps45-def\ k$  **by** (simp-all only: length-list-update)

**have**  $tps45 ! 85 = tpsI ! 85$

**using**  $tps45-def$  **by** (simp only: length-list-update nth-list-update-eq nth-list-update-neq)

**then show**  $tps45 ! 85 = ([0]N, 1)$

**using**  $tpsI\ k\ canrepr-0$  **by** simp

**show**  $tps46 = tps45[85 := ([H]N, 1)]$

**unfolding**  $tps46-def\ tps45-def$  **by** (simp only:)

```
show ttt = ttt31 + 137 + 2 * nlength H + (133650 * H ^ 6 * n ^ 3 + 1) + 5 * nlength n +
  8 * nlength (2 * n + 4) + 2 * nlength H + 3 * nlength (p n) +
  p n * 257 * H * (nlength (2 * n + 4 + 2 * p n) + nlength H)^2 + 3 * nlength m' +
  3 * max (nlength T') (nlength m') +
  3 * max (nlength T') (nlength (T' + m')) +
  3 * max (nlength T') (nlength (2 * T' + m')) +
  2 * nlength (3 * T' + m') +
  (10 + 2 * nlength 0 + 2 * nlength H)
```

**using**  $assms$  **by** simp

qed

**definition**  $tps47 \equiv tpsI$

```
[11 := ([n]N, 1),
15 := ([p n]N, 1),
16 := ([m]N, 1),
17 := ([T']N, 1),
4 := ([map (λt. exc zs t <#> 0) [0..<Suc T']]NL, 1),
7 := ([map (λt. exc zs t <#> 1) [0..<Suc T']]NL, 1),
18 := ([m']N, 1),
19 := ([H]N, 1),
20 := ([m' * H]N, 1),
1 := nlltape
  (formula-n Φ0 @ formula-n Φ1 @ formula-n Φ2 @ formula-n Φ3 @ formula-n Φ4 @
  formula-n Φ5 @ formula-n Φ6 @ formula-n Φ7 @ formula-n Φ8),
84 := ([1 + 3 * T' + m']N, 1),
85 := ([H]N, 1),
```

$$84 + 2 := (\lfloor 3 \rfloor_N, 1),$$

$$84 + 6 := (\lfloor \text{formula-}n \Phi_8 \rfloor_{NLL}, 1)]$$

**definition**  $t_{tt47} \equiv t_{tt31} + 166 +$

$$\begin{aligned} & 6 * \text{nlength } H + \\ & 133650 * H^6 * n^3 + \\ & 5 * \text{nlength } n + \\ & 8 * \text{nlength } (2 * n + 4) + \\ & 3 * \text{nlength } (p \ n) + \\ & p \ n * 257 * H * (\text{nlength } (2 * n + 4 + 2 * p \ n) + \text{nlength } H)^2 + \\ & 3 * \text{nlength } m' + \\ & 3 * \max(\text{nlength } T') (\text{nlength } m') + \\ & 3 * \max(\text{nlength } T') (\text{nlength } (T' + m')) + \\ & 3 * \max(\text{nlength } T') (\text{nlength } (2 * T' + m')) + \\ & 2 * \text{nlength } (3 * T' + m') + \\ & 1861 * H^4 * (\text{nlength } (\text{Suc } (1 + 3 * T' + m')))^2 \end{aligned}$$

**lemma**  $t_{tt47}$ :  $t_{tt47} \leq (32 * d\text{-polynomial } p + 364343) * H^6 * N^4$

**proof** –

**have**  $\text{nlength-le-GN}$ :  $y \leq N \implies \text{nlength } y \leq H^6 * N^3$  **for**  $y$

**proof** –

**assume**  $y \leq N$

**then have**  $\text{nlength } y \leq N^3$

**using**  $\text{nlength-le-n linear-le-pow H-ge-3}$  **by** ( $\text{meson dual-order.trans zero-less-numeral}$ )

**also have**  $\dots \leq H^6 * N^3$

**using**  $H\text{-gr-2}$  **by**  $\text{simp}$

**finally show**  $?thesis$  .

**qed**

**have**  $h$ :  $6 * \text{nlength } H \leq 6 * H^6 * N^3$

**using**  $\text{nlength-le-GN}[OF H\text{-le-N}]$  **by**  $\text{simp}$

**have**  $i$ :  $5 * \text{nlength } n \leq 5 * H^6 * N^3$

**using**  $\text{nlength-le-GN}[OF n\text{-le-N}]$  **by**  $\text{simp}$

**have**  $j$ :  $8 * \text{nlength } (2 * n + 4) \leq 8 * H^6 * N^3$

**proof** –

**have**  $2 * n + 4 \leq 2 * n + H * 3$

**using**  $H\text{-ge-3}$  **by**  $\text{simp}$

**also have**  $\dots \leq H * 2 * n + H * 3$

**using**  $H\text{-ge-3}$  **by**  $\text{simp}$

**also have**  $\dots = H * (2 * n + 3)$

**by**  $\text{algebra}$

**also have**  $\dots \leq N$

**using**  $N\text{-eq}$  **by**  $\text{simp}$

**finally have**  $2 * n + 4 \leq N$  .

**then have**  $8 * \text{nlength } (2 * n + 4) \leq 8 * \text{nlength } N$

**using**  $\text{nlength-mono}$  **by**  $\text{simp}$

**also have**  $\dots \leq 8 * N$

**using**  $\text{nlength-le-n}$  **by**  $\text{simp}$

**also have**  $\dots \leq 8 * H^6 * N$

**using**  $H\text{-ge-3}$  **by**  $\text{simp}$

**also have**  $\dots \leq 8 * H^6 * N^3$

**using**  $\text{linear-le-pow}$  **by**  $\text{simp}$

**finally show**  $?thesis$  .

**qed**

**have**  $k$ :  $3 * \text{nlength } (p \ n) \leq 3 * H^6 * N^3$

**using**  $\text{nlength-le-GN}[OF le-N]$  **by**  $\text{simp}$

**have**  $l$ :  $3 * \text{nlength } m' \leq 3 * H^6 * N^3$

**using**  $\text{nlength-le-GN}[OF le-N]$   $m'\text{-def}$  **by**  $\text{simp}$

**have**  $g$ :  $3 * \max(\text{nlength } T') (\text{nlength } m') \leq 3 * H^6 * N^3$

**proof** –

**have**  $m' \leq N$

**using**  $le-N$   $m'\text{-def}$  **by**  $\text{simp}$

**moreover have**  $T' \leq N$

using *le-N* by *simp*  
 ultimately have  $\max (\text{nlength } T') (\text{nlength } m') \leq \text{nlength } N$   
 using *max-nlength nlength-mono* by *simp*  
 then have  $3 * \max (\text{nlength } T') (\text{nlength } m') \leq 3 * N$   
 using *nlength-le-n* by (*meson le-trans mult-le-mono2*)  
 also have  $\dots \leq 3 * H^6 * N$   
 using *H-ge-3* by *simp*  
 also have  $\dots \leq 3 * H^6 * N^3$   
 using *linear-le-pow* by *simp*  
 finally show *?thesis* .  
 qed  
 have *f*:  $3 * \max (\text{nlength } T') (\text{nlength } (T' + m')) \leq 6 * H^6 * N^3$   
 proof -  
 have  $T' + m' \leq N + N$   
 using *N-eq m'-def H-gr-2 add-le-mono le-N less-or-eq-imp-le mult-le-mono trans-le-add2*  
 by *presburger*  
 then have  $T' + m' \leq 2 * N$   
 by *simp*  
 moreover have  $T' \leq N$   
 using *le-N* by *simp*  
 ultimately have  $\max (\text{nlength } T') (\text{nlength } (T' + m')) \leq \text{nlength } (2 * N)$   
 using *max-nlength nlength-mono* by *simp*  
 then have  $3 * \max (\text{nlength } T') (\text{nlength } (T' + m')) \leq 3 * (2 * N)$   
 using *nlength-le-n* by (*meson le-trans mult-le-mono2*)  
 also have  $\dots = 6 * N$   
 by *simp*  
 also have  $\dots \leq 6 * H^6 * N$   
 using *H-ge-3* by *simp*  
 also have  $\dots \leq 6 * H^6 * N^3$   
 using *linear-le-pow* by *simp*  
 finally show *?thesis* .  
 qed  
 have *e*:  $3 * \max (\text{nlength } T') (\text{nlength } (2 * T' + m')) \leq 6 * H^6 * N^3$   
 proof -  
 have  $2 * T' + m' \leq N + N$   
 using *N-eq m'-def H-gr-2 add-le-mono le-N less-or-eq-imp-le mult-le-mono trans-le-add2*  
 by *presburger*  
 then have  $2 * T' + m' \leq 2 * N$   
 by *simp*  
 moreover have  $T' \leq N$   
 using *le-N* by *simp*  
 ultimately have  $\max (\text{nlength } T') (\text{nlength } (2 * T' + m')) \leq \text{nlength } (2 * N)$   
 using *max-nlength nlength-mono* by *simp*  
 then have  $3 * \max (\text{nlength } T') (\text{nlength } (2 * T' + m')) \leq 3 * (2 * N)$   
 using *nlength-le-n* by (*meson le-trans mult-le-mono2*)  
 also have  $\dots = 6 * N$   
 by *simp*  
 also have  $\dots \leq 6 * H^6 * N$   
 using *H-ge-3* by *simp*  
 also have  $\dots \leq 6 * H^6 * N^3$   
 using *linear-le-pow* by *simp*  
 finally show *?thesis* .  
 qed  
 have *d*:  $2 * \text{nlength } (3 * T' + m') \leq 4 * H^6 * N^3$   
 proof -  
 have  $3 * T' + m' \leq N + N$   
 using *N-eq H-ge-3 m'-def* by (*metis add-leE add-le-mono le-N le-refl mult-le-mono*)  
 then have  $3 * T' + m' \leq 2 * N$   
 by *simp*  
 then have  $\text{nlength } (3 * T' + m') \leq 2 * N$   
 using *nlength-le-n le-trans* by *blast*  
 then have  $2 * \text{nlength } (3 * T' + m') \leq 4 * N$   
 by *simp*

**also have** ...  $\leq 4 * H^6 * N$   
**using** *H-ge-3* **by** *simp*  
**also have** ...  $\leq 4 * H^6 * N^3$   
**using** *linear-le-pow* **by** *simp*  
**finally show** *?thesis* .  
**qed**  
**have** *c*:  $6 * nlength\ H \leq 6 * H^6 * N^3$   
**proof** –  
**have**  $6 * nlength\ H \leq 6 * H$   
**using** *nlength-le-n* **by** *simp*  
**also have** ...  $\leq 6 * H^6$   
**using** *linear-le-pow* **by** *simp*  
**also have** ...  $\leq 6 * H^6 * N^3$   
**using** *N-ge-1* **by** *simp*  
**finally show** *?thesis* .  
**qed**  
**have** *a*:  $p\ n * 257 * H * (nlength\ (2 * n + 4 + 2 * p\ n) + nlength\ H)^2 \leq 1028 * H^6 * N^3$   
**proof** –  
**have**  $nlength\ (2 * n + 4 + 2 * p\ n) = nlength\ (2 * (n + 2 + p\ n))$   
**by** (*metis distrib-left-numeral mult-2-right numeral-Bit0*)  
**also have** ...  $\leq Suc\ (nlength\ (n + 2 + p\ n))$   
**using** *nlength-times2* **by** *blast*  
**also have** ...  $\leq Suc\ (n + 2 + p\ n)$   
**by** (*simp add: nlength-le-n*)  
**also have** ...  $\leq N$   
**using** *le-N* **by** *simp*  
**finally have**  $nlength\ (2 * n + 4 + 2 * p\ n) \leq N$  .  
**then have**  $(nlength\ (2 * n + 4 + 2 * p\ n) + nlength\ H)^2 \leq (N + nlength\ H)^2$   
**by** *simp*  
**also have** ...  $\leq (N + N)^2$   
**using** *H-le-N nlength2-nat-le-eq-le* **by** (*meson add-left-mono le-trans power2-nat-le-eq-le*)  
**also have** ...  $= (2 * N)^2$   
**by** *algebra*  
**also have** ...  $= 4 * N^2$   
**by** *simp*  
**finally have**  $(nlength\ (2 * n + 4 + 2 * p\ n) + nlength\ H)^2 \leq 4 * N^2$  .  
**then have**  $p\ n * 257 * H * (nlength\ (2 * n + 4 + 2 * p\ n) + nlength\ H)^2 \leq p\ n * 257 * H * (4 * N^2)$   
**by** *simp*  
**also have** ...  $\leq N * 257 * H * (4 * N^2)$   
**using** *le-N* **by** *simp*  
**also have** ...  $= 1028 * H * N^3$   
**by** *algebra*  
**also have** ...  $\leq 1028 * H^6 * N^3$   
**using** *linear-le-pow* **by** *simp*  
**finally show** *?thesis* .  
**qed**  
**have** *b*:  $1861 * H^4 * (nlength\ (Suc\ (1 + 3 * T' + m')))^2 \leq 7444 * H^6 * N^3$   
**proof** –  
**have**  $Suc\ (1 + 3 * T' + m') \leq 3 * (2 * n + 2 * p\ n + 3 + T') + m'$   
**by** *simp*  
**also have** ...  $\leq N + N$   
**using** *N-eq H-ge-3 m'-def add-le-mono le-N le-refl mult-le-mono1* **by** *presburger*  
**also have** ...  $\leq 2 * N$   
**by** *simp*  
**finally have**  $Suc\ (1 + 3 * T' + m') \leq 2 * N$  .  
**then have**  $nlength\ (Suc\ (1 + 3 * T' + m')) \leq 2 * N$   
**using** *nlength-le-n le-trans* **by** *blast*  
**then have**  $(nlength\ (Suc\ (1 + 3 * T' + m')))^2 \leq (2 * N)^2$   
**using** *power2-nat-le-eq-le* **by** *presburger*  
**then have**  $(nlength\ (Suc\ (1 + 3 * T' + m')))^2 \leq 4 * N^2$   
**by** *simp*  
**then have**  $1861 * H^4 * (nlength\ (Suc\ (1 + 3 * T' + m')))^2 \leq 1861 * H^4 * (4 * N^2)$   
**by** *simp*



```

also have ... = 7444 * H ^ 4 * N ^ 2
  by simp
also have ... ≤ 7444 * H ^ 6 * N ^ 2
  using pow-mono' by simp
also have ... ≤ 7444 * H ^ 6 * N ^ 3
  using pow-mono' by simp
finally show ?thesis .
qed
have m: 133650 * H ^ 6 * n ^ 3 ≤ 133650 * H ^ 6 * N ^ 3
  using n-le-N by simp

have ttt47 ≤ ttt31 + 166 +
  6 * H ^ 6 * N ^ 3 + 133650 * H ^ 6 * N ^ 3 + 5 * H ^ 6 * N ^ 3 +
  8 * H ^ 6 * N ^ 3 + 3 * H ^ 6 * N ^ 3 + 1028 * H ^ 6 * N ^ 3 +
  3 * H ^ 6 * N ^ 3 + 3 * H ^ 6 * N ^ 3 + 6 * H ^ 6 * N ^ 3 +
  6 * H ^ 6 * N ^ 3 + 4 * H ^ 6 * N ^ 3 + 7444 * H ^ 6 * N ^ 3
  using ttt47-def a b c d e f g h i j k l m by linarith
also have ... = ttt31 + 166 + 142166 * H ^ 6 * N ^ 3
  by simp
also have ... ≤ ttt31 + 166 * H ^ 6 + 142166 * H ^ 6 * N ^ 3
  using H-ge-3 by simp
also have ... ≤ ttt31 + 166 * H ^ 6 * N ^ 3 + 142166 * H ^ 6 * N ^ 3
  using N-ge-1 by simp
also have ... = ttt31 + 142332 * H ^ 6 * N ^ 3
  by simp
also have ... ≤ ttt31 + 142332 * H ^ 6 * N ^ 4
  using pow-mono' by simp
also have ... ≤ (32 * d-polynomial p + 222011) * H ^ 4 * N ^ 4 + 142332 * H ^ 6 * N ^ 4
  using ttt31 by simp
also have ... ≤ (32 * d-polynomial p + 222011) * H ^ 6 * N ^ 4 + 142332 * H ^ 6 * N ^ 4
  using pow-mono' by simp
also have ... = (32 * d-polynomial p + 364343) * H ^ 6 * N ^ 4
  by algebra
finally show ?thesis .
qed

lemma tm47 [transforms-intros]: transforms tm47 tps0 ttt47 tps47
  unfolding tm47-def
proof (tform)
show 84 + 7 < length tps46
  using lentpsI tps46-def k by (simp-all only: length-list-update)
let ?nss = formula-n Φ0 @ formula-n Φ1 @ formula-n Φ2 @ formula-n Φ3 @ formula-n Φ4 @
  formula-n Φ5 @ formula-n Φ6 @ formula-n Φ7
show tps46 ! 1 = nlltape ?nss
  using tps46-def k lentpsI by (simp only: length-list-update nth-list-update-neq nth-list-update-eq)
show tps46 ! 84 = ([1 + 3 * T' + m']N, 1)
  using tps46-def k lentpsI by (simp only: length-list-update nth-list-update-neq nth-list-update-eq)
have tps46 ! 85 = ([H]N, 1)
  using tps46-def k lentpsI by (simp only: length-list-update nth-list-update-neq nth-list-update-eq)
then show tps46 ! (84 + 1) = ([H]N, 1)
  by simp
have tps46 ! 86 = tpsI ! 86
  using tps46-def by (simp only: length-list-update nth-list-update-eq nth-list-update-neq)
then show tps46 ! (84 + 2) = ([[]], 1)
  using tpsI k by simp
have tps46 ! 87 = tpsI ! 87
  using tps46-def by (simp only: length-list-update nth-list-update-eq nth-list-update-neq)
then show tps46 ! (84 + 3) = ([[]], 1)
  using tpsI k by simp
have tps46 ! 88 = tpsI ! 88
  using tps46-def by (simp only: length-list-update nth-list-update-eq nth-list-update-neq)
then show tps46 ! (84 + 4) = ([[]], 1)
  using tpsI k by simp

```

**have**  $tps46 ! 89 = tpsI ! 89$   
**using**  $tps46\text{-def}$  **by** (*simp only: length-list-update nth-list-update-eq nth-list-update-neq*)  
**then show**  $tps46 ! (84 + 5) = ([[]], 1)$   
**using**  $tpsI k$  **by** *simp*  
**have**  $tps46 ! 90 = tpsI ! 90$   
**using**  $tps46\text{-def}$  **by** (*simp only: length-list-update nth-list-update-eq nth-list-update-neq*)  
**then show**  $tps46 ! (84 + 6) = ([[]], 1)$   
**using**  $tpsI k$  **by** *simp*  
**have**  $tps46 ! 91 = tpsI ! 91$   
**using**  $tps46\text{-def}$  **by** (*simp only: length-list-update nth-list-update-eq nth-list-update-neq*)  
**then show**  $tps46 ! (84 + 7) = ([[]], 1)$   
**using**  $tpsI k$  **by** *simp*  
**show**  $tps47 = tps46$   
 $[1 := nlltape (?nss @ formula-n \Phi_8),$   
 $84 + 2 := ([3]_N, 1),$   
 $84 + 6 := ([formula-n \Phi_8]_{NLL}, 1)]$   
**unfolding**  $tps47\text{-def}$   $tps46\text{-def}$  **by** (*simp only: list-update-swap list-update-overwrite append-assoc*)  
**show**  $tts47 = tts31 + 147 + 2 * nlength H + (133650 * H ^ 6 * n ^ 3 + 1) + 5 * nlength n +$   
 $8 * nlength (2 * n + 4) + 4 * nlength H + 3 * nlength (p n) +$   
 $p n * 257 * H * (nlength (2 * n + 4 + 2 * p n) + nlength H)^2 + 3 * nlength m' +$   
 $3 * max (nlength T') (nlength m') +$   
 $3 * max (nlength T') (nlength (T' + m')) +$   
 $3 * max (nlength T') (nlength (2 * T' + m')) +$   
 $2 * nlength (3 * T' + m') +$   
 $(18 + 1861 * H ^ 4 * (nlength (Suc (1 + 3 * T' + m'))))^2$   
**using**  $tts47\text{-def}$  **by** *simp*  
**qed**

**definition**  $tpsJ \equiv tpsI$   
 $[84 := ([1 + 3 * T' + m']_N, 1),$   
 $85 := ([H]_N, 1),$   
 $84 + 2 := ([3]_N, 1),$   
 $84 + 6 := ([formula-n \Phi_8]_{NLL}, 1)]$

**lemma**  $tpsJ: 90 < j \implies j < 110 \implies tpsJ ! j = ([[]], 1)$   
**using**  $tpsJ\text{-def}$   $tpsI$  **by** *simp*

**lemma**  $lentpsJ: length tpsJ = 110$   
**using**  $lentpsI$   $tpsJ\text{-def}$  **by** *simp*

**lemma**  $tps47: tps47 = tpsJ$   
 $[11 := ([n]_N, 1),$   
 $15 := ([p n]_N, 1),$   
 $16 := ([m]_N, 1),$   
 $17 := ([T']_N, 1),$   
 $4 := ([map (\lambda t. exc zs t <\#\> 0) [0..<Suc T']]_{NL}, 1),$   
 $7 := ([map (\lambda t. exc zs t <\#\> 1) [0..<Suc T']]_{NL}, 1),$   
 $18 := ([m']_N, 1),$   
 $19 := ([H]_N, 1),$   
 $20 := ([m' * H]_N, 1),$   
 $1 := nlltape$   
 $(formula-n \Phi_0 @ formula-n \Phi_1 @ formula-n \Phi_2 @ formula-n \Phi_3 @ formula-n \Phi_4 @$   
 $formula-n \Phi_5 @ formula-n \Phi_6 @ formula-n \Phi_7 @ formula-n \Phi_8)]$   
**unfolding**  $tps47\text{-def}$   $tpsJ\text{-def}$  **by** (*simp only: list-update-swap*)

**definition**  $tps48 \equiv tpsJ$   
 $[11 := ([n]_N, 1),$   
 $15 := ([p n]_N, 1),$   
 $16 := ([m]_N, 1),$   
 $17 := ([T']_N, 1),$   
 $4 := ([map (\lambda t. exc zs t <\#\> 0) [0..<Suc T']]_{NL}, 1),$   
 $7 := ([map (\lambda t. exc zs t <\#\> 1) [0..<Suc T']]_{NL}, 1),$   
 $18 := ([m']_N, 1),$

```

19 := ([H]N, 1),
20 := ([m' * H]N, 1),
1 := nlltape
  (formula-n Φ0 @ formula-n Φ1 @ formula-n Φ2 @ formula-n Φ3 @ formula-n Φ4 @
   formula-n Φ5 @ formula-n Φ6 @ formula-n Φ7 @ formula-n Φ8),
91 := ([N]N, 1)

```

**lemma** *tm48* [*transforms-intros*]:

**assumes** *ttt* = *ttt47* + 14 + 3 \* *nlength N*

**shows** *transforms tm48 tps0 ttt tps48*

**unfolding** *tm48-def*

**proof** (*tform*)

**show** 20 < *length tps47* 91 < *length tps47*

**using** *lentpsJ tps47 k* **by** (*simp-all only: length-list-update*)

**have** *tps47* ! 20 = ([m' \* H]<sub>N</sub>, 1)

**using** *tps47 k lentpsJ* **by** (*simp only: length-list-update nth-list-update-neq nth-list-update-eq*)

**then show** *tps47* ! 20 = ([N]<sub>N</sub>, 1)

**using** *m'* **by** *simp*

**have** *tps47* ! 91 = *tpsJ* ! 91

**using** *tps47* **by** (*simp only: length-list-update nth-list-update-eq nth-list-update-neq*)

**then show** *tps47* ! 91 = ([0]<sub>N</sub>, 1)

**using** *tpsJ k canrepr-0* **by** *simp*

**show** *tps48* = *tps47*[91 := ([N]<sub>N</sub>, 1)]

**unfolding** *tps48-def tps47* **by** (*simp only: list-update-swap list-update-overwrite*)

**show** *ttt* = *ttt47* + (14 + 3 \* (*nlength N* + *nlength 0*))

**using** *assms* **by** *simp*

**qed**

**definition** *tps49* ≡ *tpsJ*

[11 := ([n]<sub>N</sub>, 1),

15 := ([p n]<sub>N</sub>, 1),

16 := ([m]<sub>N</sub>, 1),

17 := ([T']<sub>N</sub>, 1),

4 := ([map (λt. exc zs t <#> 0) [0..<Suc T']]<sub>NL</sub>, 1),

7 := ([map (λt. exc zs t <#> 1) [0..<Suc T']]<sub>NL</sub>, 1),

18 := ([m']<sub>N</sub>, 1),

19 := ([H]<sub>N</sub>, 1),

20 := ([m' \* H]<sub>N</sub>, 1),

1 := nlltape

(formula-n Φ<sub>0</sub> @ formula-n Φ<sub>1</sub> @ formula-n Φ<sub>2</sub> @ formula-n Φ<sub>3</sub> @ formula-n Φ<sub>4</sub> @  
 formula-n Φ<sub>5</sub> @ formula-n Φ<sub>6</sub> @ formula-n Φ<sub>7</sub> @ formula-n Φ<sub>8</sub>),

91 := ([N]<sub>N</sub>, 1),

92 := ([H]<sub>N</sub>, 1)]

**lemma** *tm49* [*transforms-intros*]:

**assumes** *ttt* = *ttt47* + 24 + 3 \* *nlength N* + 2 \* *nlength H*

**shows** *transforms tm49 tps0 ttt tps49*

**unfolding** *tm49-def*

**proof** (*tform*)

**show** 92 < *length tps48*

**using** *lentpsJ tps48-def k* **by** (*simp-all only: length-list-update*)

**have** *tps48* ! 92 = *tpsJ* ! 92

**using** *tps48-def* **by** (*simp only: length-list-update nth-list-update-eq nth-list-update-neq*)

**then show** *tps48* ! 92 = ([0]<sub>N</sub>, 1)

**using** *tpsJ k canrepr-0* **by** *simp*

**show** *tps49* = *tps48*[92 := ([H]<sub>N</sub>, 1)]

**unfolding** *tps49-def tps48-def* **by** (*simp only: list-update-swap list-update-overwrite*)

**show** *ttt* = *ttt47* + 14 + 3 \* *nlength N* + (10 + 2 \* *nlength 0* + 2 \* *nlength H*)

**using** *assms* **by** *simp*

**qed**

**definition** *tps50* ≡ *tpsJ*

[11 := ([n]<sub>N</sub>, 1),

```

15 := ([p n]N, 1),
16 := ([m]N, 1),
17 := ([T']N, 1),
4 := ([map (λt. exc zs t <#> 0) [0..<Suc T']]NL, 1),
7 := ([map (λt. exc zs t <#> 1) [0..<Suc T']]NL, 1),
18 := ([m']N, 1),
19 := ([H]N, 1),
20 := ([m' * H]N, 1),
1 := nlltape
   (formula-n Φ0 @ formula-n Φ1 @ formula-n Φ2 @ formula-n Φ3 @ formula-n Φ4 @
    formula-n Φ5 @ formula-n Φ6 @ formula-n Φ7 @ formula-n Φ8),
91 := ([N]N, 1),
92 := ([H]N, 1),
93 := ([Z]N, 1)

```

**lemma** *tm50* [*transforms-intros*]:

**assumes**  $ttt = ttt47 + 34 + 3 * nlength\ N + 2 * nlength\ H + 2 * nlength\ Z$

**shows** *transforms tm50 tps0 ttt tps50*

**unfolding** *tm50-def*

**proof** (*tform*)

**show**  $93 < length\ tps49$

**using** *lentpsJ tps49-def k* **by** (*simp-all only: length-list-update*)

**have**  $tps49 ! 93 = tpsJ ! 93$

**using** *tps49-def* **by** (*simp only: length-list-update nth-list-update-eq nth-list-update-neq*)

**then show**  $tps49 ! 93 = ([0]<sub>N</sub>, 1)$

**using** *tpsJ k canrepr-0* **by** *simp*

**show**  $tps50 = tps49[93 := ([Z]<sub>N</sub>, 1)]$

**unfolding** *tps50-def tps49-def* **by** (*simp only: list-update-swap list-update-overwrite*)

**show**  $ttt = ttt47 + 24 + 3 * nlength\ N + 2 * nlength\ H + (10 + 2 * nlength\ 0 + 2 * nlength\ Z)$

**using** *assms* **by** *simp*

**qed**

**definition** *tps51*  $\equiv$  *tpsJ*

[11 := ([n]<sub>N</sub>, 1),

15 := ([p n]<sub>N</sub>, 1),

16 := ([m]<sub>N</sub>, 1),

17 := ([T']<sub>N</sub>, 1),

4 := ([map (λt. exc zs t <#> 0) [0..<Suc T']]<sub>NL</sub>, 1),

7 := ([map (λt. exc zs t <#> 1) [0..<Suc T']]<sub>NL</sub>, 1),

18 := ([m']<sub>N</sub>, 1),

19 := ([H]<sub>N</sub>, 1),

20 := ([m' \* H]<sub>N</sub>, 1),

1 := nlltape

(formula-n Φ<sub>0</sub> @ formula-n Φ<sub>1</sub> @ formula-n Φ<sub>2</sub> @ formula-n Φ<sub>3</sub> @ formula-n Φ<sub>4</sub> @  
 formula-n Φ<sub>5</sub> @ formula-n Φ<sub>6</sub> @ formula-n Φ<sub>7</sub> @ formula-n Φ<sub>8</sub>),

91 := ([N]<sub>N</sub>, 1),

92 := ([H]<sub>N</sub>, 1),

93 := ([Z]<sub>N</sub>, 1),

94 := ([T']<sub>N</sub>, 1)]

**lemma** *tm51* [*transforms-intros*]:

**assumes**  $ttt = ttt47 + 48 + 3 * nlength\ N + 2 * nlength\ H + 2 * nlength\ Z + 3 * nlength\ T'$

**shows** *transforms tm51 tps0 ttt tps51*

**unfolding** *tm51-def*

**proof** (*tform*)

**show**  $17 < length\ tps50\ 94 < length\ tps50$

**using** *lentpsJ tps50-def k* **by** (*simp-all only: length-list-update*)

**show**  $tps50 ! 17 = ([T']<sub>N</sub>, 1)$

**using** *tps50-def k lentpsJ* **by** (*simp only: length-list-update nth-list-update-neq nth-list-update-eq*)

**have**  $tps50 ! 94 = tpsJ ! 94$

**using** *tps50-def* **by** (*simp only: length-list-update nth-list-update-eq nth-list-update-neq*)

**then show**  $tps50 ! 94 = ([0]<sub>N</sub>, 1)$

**using** *tpsJ k canrepr-0* **by** *simp*

```

show tps51 = tps50[94 := ( $\lfloor T' \rfloor_N$ , 1)]
  unfolding tps51-def tps50-def by (simp only: list-update-swap list-update-overwrite)
show ttt = ttt47 + 34 + 3 * nlength N + 2 * nlength H + 2 * nlength Z +
  (14 + 3 * (nlength T' + nlength 0))
  using assms by simp
qed

```

**definition**  $tps52 \equiv tpsJ$

```

[11 := ( $\lfloor n \rfloor_N$ , 1),
 15 := ( $\lfloor p \ n \rfloor_N$ , 1),
 16 := ( $\lfloor m \rfloor_N$ , 1),
 17 := ( $\lfloor T' \rfloor_N$ , 1),
 4 := ( $\lfloor \text{map } (\lambda t. \text{exc } zs \ t \ <\#\> \ 0) \ [0..<Suc \ T'] \rfloor_{NL}$ , 1),
 7 := ( $\lfloor \text{map } (\lambda t. \text{exc } zs \ t \ <\#\> \ 1) \ [0..<Suc \ T'] \rfloor_{NL}$ , 1),
 18 := ( $\lfloor m' \rfloor_N$ , 1),
 19 := ( $\lfloor H \rfloor_N$ , 1),
 20 := ( $\lfloor m' * H \rfloor_N$ , 1),
 1 := nlltape
  (formula-n  $\Phi_0$  @ formula-n  $\Phi_1$  @ formula-n  $\Phi_2$  @ formula-n  $\Phi_3$  @ formula-n  $\Phi_4$  @
   formula-n  $\Phi_5$  @ formula-n  $\Phi_6$  @ formula-n  $\Phi_7$  @ formula-n  $\Phi_8$ ),
 91 := ( $\lfloor N \rfloor_N$ , 1),
 92 := ( $\lfloor H \rfloor_N$ , 1),
 93 := ( $\lfloor Z \rfloor_N$ , 1),
 94 := ( $\lfloor T' \rfloor_N$ , 1),
 95 := ( $\lfloor \text{formula-n } \psi \rfloor_{NLL}$ , 1)]

```

**lemma**  $tm52$  [transforms-intros]:

```

assumes ttt = ttt47 + 58 + 3 * nlength N + 2 * nlength H + 2 * nlength Z +
  3 * nlength T' + 2 * nlllength (formula-n  $\psi$ )
shows transforms tm52 tps0 ttt tps52
  unfolding tm52-def

```

**proof** (tform)

```

show 95 < length tps51
  using lentpsJ tps51-def k by (simp-all only: length-list-update)
have tps51 ! 95 = tpsJ ! 95
  using tps51-def by (simp only: length-list-update nth-list-update-eq nth-list-update-neq)
then have *: tps51 ! 95 = ( $\lfloor \ \ \rfloor$ , 1)
  using tpsJ k by simp
then show tps51 ::: 95 = ( $\lfloor \ \ \rfloor$ )
  by simp
show clean-tape (tps51 ! 95)
  using * by simp
show proper-symbols  $\lfloor \ \ \rfloor$ 
  by simp
show proper-symbols (numlistlist (formula-n  $\psi$ ))
  using proper-symbols-numlistlist by simp
have tps52 = tps51[95 := ( $\lfloor \text{formula-n } \psi \rfloor_{NLL}$ , 1)]
  unfolding tps52-def tps51-def by (simp only: list-update-swap list-update-overwrite)
then show tps52 = tps51[95 := ( $\lfloor \text{numlistlist } (\text{formula-n } \psi) \rfloor$ , 1)]
  using nllcontents-def by simp
show ttt = ttt47 + 48 + 3 * nlength N + 2 * nlength H + 2 * nlength Z +
  3 * nlength T' + (8 + tps51 :#: 95 + 2 * length  $\lfloor \ \ \rfloor$  +
  Suc (2 * length (numlistlist (formula-n  $\psi$ ))))
  using assms * nlllength-def by simp

```

qed

**definition**  $tps53 \equiv tpsJ$

```

[11 := ( $\lfloor n \rfloor_N$ , 1),
 15 := ( $\lfloor p \ n \rfloor_N$ , 1),
 16 := ( $\lfloor m \rfloor_N$ , 1),
 17 := ( $\lfloor T' \rfloor_N$ , 1),
 4 := ( $\lfloor \text{map } (\lambda t. \text{exc } zs \ t \ <\#\> \ 0) \ [0..<Suc \ T'] \rfloor_{NL}$ , 1),
 7 := ( $\lfloor \text{map } (\lambda t. \text{exc } zs \ t \ <\#\> \ 1) \ [0..<Suc \ T'] \rfloor_{NL}$ , 1),

```

```

18 := ([m']N, 1),
19 := ([H]N, 1),
20 := ([m' * H]N, 1),
1 := nlltape
  (formula-n Φ0 @ formula-n Φ1 @ formula-n Φ2 @ formula-n Φ3 @ formula-n Φ4 @
  formula-n Φ5 @ formula-n Φ6 @ formula-n Φ7 @ formula-n Φ8),
91 := ([N]N, 1),
92 := ([H]N, 1),
93 := ([Z]N, 1),
94 := ([T']N, 1),
95 := ([formula-n ψ]NLL, 1),
96 := ([formula-n ψ']NLL, 1)]

```

**lemma** *tm53* [transforms-intros]:

**assumes** *ttt* = *ttt47* + 68 + 3 \* *nlength N* + 2 \* *nlength H* + 2 \* *nlength Z* + 3 \* *nlength T'* +  
 2 \* *nlllength (formula-n ψ)* + 2 \* *length (numlistlist (formula-n ψ'))*

**shows** *transforms tm53 tps0 ttt tps53*

**unfolding** *tm53-def*

**proof** (*tform*)

**show** *96* < *length tps52*

**using** *lentpsJ tps52-def k* **by** (*simp-all only: length-list-update*)

**have** *tps52 ! 96* = *tpsJ ! 96*

**using** *tps52-def* **by** (*simp only: length-list-update nth-list-update-eq nth-list-update-neq*)

**then have** \*: *tps52 ! 96* = ([[]], 1)

**using** *tpsJ k* **by** *simp*

**then show** *tps52 :: 96* = [[]]

**by** *simp*

**show** *clean-tape (tps52 ! 96)*

**using** \* **by** *simp*

**show** *proper-symbols []*

**by** *simp*

**show** *proper-symbols (numlistlist (formula-n ψ'))*

**using** *proper-symbols-numlistlist* **by** *simp*

**have** *tps53* = *tps52[96 := ([formula-n ψ']<sub>NLL</sub>, 1)]*

**unfolding** *tps53-def tps52-def* **by** (*simp only: list-update-swap list-update-overwrite*)

**then show** *tps53* = *tps52[96 := ([numlistlist (formula-n ψ')], 1)]*

**using** *nllcontents-def* **by** *simp*

**show** *ttt* = *ttt47* + 58 + 3 \* *nlength N* + 2 \* *nlength H* + 2 \* *nlength Z* + 3 \* *nlength T'* +  
 2 \* *nlllength (formula-n ψ)* +

(8 + *tps52 :#*: *96* + 2 \* *length []* + *Suc (2 \* length (numlistlist (formula-n ψ')))*)

**using** *assms \* nlllength-def* **by** *simp*

**qed**

**definition** *tps54* ≡ *tpsJ*

[11 := ([n]<sub>N</sub>, 1),

15 := ([p n]<sub>N</sub>, 1),

16 := ([m]<sub>N</sub>, 1),

17 := ([T']<sub>N</sub>, 1),

4 := ([map (λt. exc zs t <#> 0) [0..<Suc T']]<sub>NL</sub>, 1),

7 := ([map (λt. exc zs t <#> 1) [0..<Suc T']]<sub>NL</sub>, 1),

18 := ([m']<sub>N</sub>, 1),

19 := ([H]<sub>N</sub>, 1),

20 := ([m' \* H]<sub>N</sub>, 1),

1 := nlltape

(formula-n Φ<sub>0</sub> @ formula-n Φ<sub>1</sub> @ formula-n Φ<sub>2</sub> @ formula-n Φ<sub>3</sub> @ formula-n Φ<sub>4</sub> @  
 formula-n Φ<sub>5</sub> @ formula-n Φ<sub>6</sub> @ formula-n Φ<sub>7</sub> @ formula-n Φ<sub>8</sub>),

91 := ([N]<sub>N</sub>, 1),

92 := ([H]<sub>N</sub>, 1),

93 := ([Z]<sub>N</sub>, 1),

94 := ([T']<sub>N</sub>, 1),

95 := ([formula-n ψ]<sub>NLL</sub>, 1),

96 := ([formula-n ψ']<sub>NLL</sub>, 1),

97 := ([1]<sub>N</sub>, 1)]

**lemma** *tm54* [*transforms-intros*]:  
**assumes**  $t_{tt} = t_{tt47} + 80 + 3 * nlength\ N + 2 * nlength\ H + 2 * nlength\ Z + 3 * nlength\ T' + 2 * nllength\ (formula-n\ \psi) + 2 * nllength\ (formula-n\ \psi')$   
**shows** *transforms tm54 tps0 ttt tps54*  
**unfolding** *tm54-def*  
**proof** (*tform*)  
**show**  $97 < length\ tps53$   
**using** *lentpsJ tps53-def k* **by** (*simp-all only: length-list-update*)  
**have**  $tps53 ! 97 = tpsJ ! 97$   
**using** *tps53-def* **by** (*simp only: length-list-update nth-list-update-eq nth-list-update-neq*)  
**then show**  $tps53 ! 97 = ([0]_N, 1)$   
**using** *tpsJ k canrepr-0* **by** *simp*  
**show**  $tps54 = tps53[97 := ([1]_N, 1)]$   
**unfolding** *tps54-def tps53-def* **by** (*simp only: list-update-swap list-update-overwrite*)  
**show**  $t_{tt} = t_{tt47} + 68 + 3 * nlength\ N + 2 * nlength\ H + 2 * nlength\ Z + 3 * nlength\ T' + 2 * nllength\ (formula-n\ \psi) + 2 * nlength\ (numlistlist\ (formula-n\ \psi')) + (10 + 2 * nlength\ 0 + 2 * nlength\ 1)$   
**using** *assms canrepr-1 nllength-def* **by** *simp*  
**qed**

**definition** *tps55*  $\equiv$  *tpsJ*  
 $[11 := ([n]_N, 1),$   
 $15 := ([p\ n]_N, 1),$   
 $16 := ([m]_N, 1),$   
 $17 := ([T']_N, 1),$   
 $4 := ([map\ (\lambda t. exc\ zs\ t\ <\#\>\ 0)\ [0..<Suc\ T']_{NL}, 1),$   
 $7 := ([map\ (\lambda t. exc\ zs\ t\ <\#\>\ 1)\ [0..<Suc\ T']_{NL}, 1),$   
 $18 := ([m']_N, 1),$   
 $19 := ([H]_N, 1),$   
 $20 := ([m' * H]_N, 1),$   
 $1 := nlltape\ (formula-n\ PHI),$   
 $91 := ([N]_N, 1),$   
 $92 := ([H]_N, 1),$   
 $93 := ([Z]_N, 1),$   
 $94 := ([T']_N, 1),$   
 $95 := ([formula-n\ \psi]_{NLL}, 1),$   
 $96 := ([formula-n\ \psi']_{NLL}, 1),$   
 $97 := ([I]_N, 1),$   
 $91 + 6 := ([Suc\ T']_N, 1),$   
 $91 + 3 := ([0]_N, 1)]$

**definition** *ttt55*  $\equiv$   $t_{tt47} + 80 + 3 * nlength\ N + 2 * nlength\ H + 2 * nlength\ Z + 3 * nlength\ T' + 2 * nllength\ (formula-n\ \psi) + 2 * nllength\ (formula-n\ \psi') + 16114767 * 2 \wedge (16 * Z) * N \wedge 7$

**lemma** *ttt55*:  $ttt55 \leq t_{tt47} + 2 * nllength\ (formula-n\ \psi) + 2 * nllength\ (formula-n\ \psi') + 16114857 * 2 \wedge (16 * Z) * N \wedge 7$

**proof** –  
**have** *nlength-le-ZN*:  $y \leq N \implies nlength\ y \leq 2 \wedge (16 * Z) * N \wedge 7$  **for** *y*  
**proof** –  
**assume**  $y \leq N$   
**then have** *nlength y ≤ N ∧ 7*  
**using** *nlength-le-n linear-le-pow H-ge-3* **by** (*meson dual-order.trans zero-less-numeral*)  
**also have**  $\dots \leq 2 \wedge (16 * Z) * N \wedge 7$   
**by** *simp*  
**finally show** *?thesis* .  
**qed**

**have**  $3 * nlength\ N \leq 3 * 2 \wedge (16 * Z) * N \wedge 7$   
**using** *nlength-le-ZN* **by** *simp*  
**moreover have**  $2 * nlength\ H \leq 2 * 2 \wedge (16 * Z) * N \wedge 7$   
**using** *nlength-le-ZN[OF H-le-N]* **by** *simp*

**moreover have**  $2 * nlength\ Z \leq 2 * 2^{(16*Z)} * N^7$   
**proof** –  
**have**  $Z \leq N$   
**using**  $N\text{-eq}\ Z\text{-def}$  **by**  $simp$   
**then show**  $?thesis$   
**using**  $nlength\text{-le}\text{-}ZN$  **by**  $simp$   
**qed**  
**moreover have**  $3 * nlength\ T' \leq 3 * 2^{(16*Z)} * N^7$   
**using**  $nlength\text{-le}\text{-}ZN[OF\ le\text{-}N]$  **by**  $simp$   
**moreover have**  $80 \leq 80 * 2^{(16*Z)} * N^7$   
**using**  $N\text{-ge}\ 1$  **by**  $simp$   
**ultimately have**  $t55 \leq t47 + 80 * 2^{(16*Z)} * N^7 + 3 * 2^{(16*Z)} * N^7 +$   
 $2 * 2^{(16*Z)} * N^7 + 2 * 2^{(16*Z)} * N^7 + 3 * 2^{(16*Z)} * N^7 +$   
 $2 * nllength\ (formula\text{-}n\ \psi) + 2 * nllength\ (formula\text{-}n\ \psi') +$   
 $16114767 * 2^{(16 * Z)} * N^7$   
**using**  $t55\text{-def}$  **by**  $linarith$   
**also have**  $\dots = t47 + 2 * nllength\ (formula\text{-}n\ \psi) + 2 * nllength\ (formula\text{-}n\ \psi') +$   
 $16114857 * 2^{(16 * Z)} * N^7$   
**by**  $simp$   
**finally show**  $?thesis$  .  
**qed**

**lemma**  $tm55$  [ $transforms\text{-}intros$ ]:  $transforms\ tm55\ tps0\ t55\ tps55$

**unfolding**  $tm55\text{-def}$

**proof** ( $tform$ )

**show**  $91 + 17 < length\ tps54$

**using**  $lentspsJ\ tps54\text{-def}\ k$  **by** ( $simp\text{-all}\ only: length\text{-list}\text{-}update$ )

**let**  $?nss = formula\text{-}n\ \Phi_0 @ formula\text{-}n\ \Phi_1 @ formula\text{-}n\ \Phi_2 @ formula\text{-}n\ \Phi_3 @ formula\text{-}n\ \Phi_4 @$   
 $formula\text{-}n\ \Phi_5 @ formula\text{-}n\ \Phi_6 @ formula\text{-}n\ \Phi_7 @ formula\text{-}n\ \Phi_8$

**show**  $tps54 ! 1 = nlltape\ ?nss$

**using**  $tps54\text{-def}\ k\ lentspsJ$  **by** ( $simp\ only: length\text{-list}\text{-}update\ nth\text{-list}\text{-}update\text{-}neq\ nth\text{-list}\text{-}update\text{-}eq$ )

**show**  $tps54 ! 4 = (\lfloor map\ (\lambda t. exc\ zs\ t < \# > 0) [0..<Suc\ T'] \rfloor_{NL}, 1)$

**using**  $tps54\text{-def}\ k\ lentspsJ$  **by** ( $simp\ only: length\text{-list}\text{-}update\ nth\text{-list}\text{-}update\text{-}neq\ nth\text{-list}\text{-}update\text{-}eq$ )

**show**  $tps54 ! 7 = (\lfloor map\ (\lambda t. exc\ zs\ t < \# > 1) [0..<Suc\ T'] \rfloor_{NL}, 1)$

**using**  $tps54\text{-def}\ k\ lentspsJ$  **by** ( $simp\ only: length\text{-list}\text{-}update\ nth\text{-list}\text{-}update\text{-}neq\ nth\text{-list}\text{-}update\text{-}eq$ )

**show**  $tps54 ! 91 = (\lfloor N \rfloor_N, 1)$

**using**  $tps54\text{-def}\ k\ lentspsJ$  **by** ( $simp\ only: length\text{-list}\text{-}update\ nth\text{-list}\text{-}update\text{-}neq\ nth\text{-list}\text{-}update\text{-}eq$ )

**have**  $tps54 ! 92 = (\lfloor H \rfloor_N, 1)$

**using**  $tps54\text{-def}\ k\ lentspsJ$  **by** ( $simp\ only: length\text{-list}\text{-}update\ nth\text{-list}\text{-}update\text{-}neq\ nth\text{-list}\text{-}update\text{-}eq$ )

**then show**  $tps54 ! (91 + 1) = (\lfloor H \rfloor_N, 1)$

**by**  $simp$

**have**  $tps54 ! 93 = (\lfloor Z \rfloor_N, 1)$

**using**  $tps54\text{-def}\ k\ lentspsJ$  **by** ( $simp\ only: length\text{-list}\text{-}update\ nth\text{-list}\text{-}update\text{-}neq\ nth\text{-list}\text{-}update\text{-}eq$ )

**then show**  $tps54 ! (91 + 2) = (\lfloor Z \rfloor_N, 1)$

**by**  $simp$

**have**  $tps54 ! 94 = (\lfloor T' \rfloor_N, 1)$

**using**  $tps54\text{-def}\ k\ lentspsJ$  **by** ( $simp\ only: length\text{-list}\text{-}update\ nth\text{-list}\text{-}update\text{-}neq\ nth\text{-list}\text{-}update\text{-}eq$ )

**then show**  $tps54 ! (91 + 3) = (\lfloor T' \rfloor_N, 1)$

**by**  $simp$

**have**  $tps54 ! 95 = (\lfloor formula\text{-}n\ \psi \rfloor_{NLL}, 1)$

**using**  $tps54\text{-def}\ k\ lentspsJ$  **by** ( $simp\ only: length\text{-list}\text{-}update\ nth\text{-list}\text{-}update\text{-}neq\ nth\text{-list}\text{-}update\text{-}eq$ )

**then show**  $tps54 ! (91 + 4) = (\lfloor formula\text{-}n\ \psi \rfloor_{NLL}, 1)$

**by**  $simp$

**have**  $tps54 ! 96 = (\lfloor formula\text{-}n\ \psi' \rfloor_{NLL}, 1)$

**using**  $tps54\text{-def}\ k\ lentspsJ$  **by** ( $simp\ only: length\text{-list}\text{-}update\ nth\text{-list}\text{-}update\text{-}neq\ nth\text{-list}\text{-}update\text{-}eq$ )

**then show**  $tps54 ! (91 + 5) = (\lfloor formula\text{-}n\ \psi' \rfloor_{NLL}, 1)$

**by**  $simp$

**have**  $tps54 ! 97 = (\lfloor 1 \rfloor_N, 1)$

**using**  $tps54\text{-def}\ k\ lentspsJ$  **by** ( $simp\ only: length\text{-list}\text{-}update\ nth\text{-list}\text{-}update\text{-}neq\ nth\text{-list}\text{-}update\text{-}eq$ )

**then show**  $tps54 ! (91 + 6) = (\lfloor 1 \rfloor_N, 1)$

**by**  $simp$

**show**  $tps54 ! (91 + i) = (\lfloor [] \rfloor, 1)$  **if**  $6 < i < 17$  **for**  $i$

**proof** –



**have**  $tps54 ! (91 + i) = tpsJ ! (91 + i)$   
**using**  $tps54\text{-def}$  **that** **by** (*simp only: length-list-update nth-list-update-eq nth-list-update-neq*)  
**then show**  $tps54 ! (91 + i) = ([\square], 1)$   
**using**  $tpsJ\ k$  **that** **by** *simp*  
**qed**  
**have**  $tps55 = tps54$   
 $[1 := nlltape (\text{formula-}n\ PHI),$   
 $91 + 6 := ([Suc\ T']_N, 1),$   
 $91 + 3 := ([0]_N, 1)]$   
**unfolding**  $tps55\text{-def}\ tps54\text{-def}$  **by** (*simp only: list-update-swap list-update-overwrite*)  
**then show**  $tps55 = tps54$   
 $[1 := nlltape (?nss @ \text{formula-}n\ (PHI9)),$   
 $91 + 6 := ([Suc\ T']_N, 1),$   
 $91 + 3 := ([0]_N, 1)]$   
**using**  $PHI\text{-def}\ \text{formula-}n\text{-def}$  **by** *simp*  
**show**  $ttt55 = ttt47 + 80 + 3 * nlength\ N + 2 * nlength\ H + 2 * nlength\ Z +$   
 $3 * nlength\ T' + 2 * nlllength (\text{formula-}n\ \psi) + 2 * nlllength (\text{formula-}n\ \psi') +$   
 $16114767 * 2 ^ (16 * Z) * N ^ 7$   
**using**  $ttt55\text{-def}$  **by** *simp*  
**qed**

**lemma**  $tps0\text{-start-config}$ :  $(0, tps0) = \text{start-config}\ 110\ xs$

**proof**

**show**  $\text{fst}\ (0, tps0) = \text{fst}\ (\text{start-config}\ 110\ xs)$   
**using**  $\text{start-config}\text{-def}$  **by** *simp*  
**let**  $?tps = (\lambda i. \text{if } i = 0 \text{ then } \triangleright \text{ else if } i \leq \text{length}\ xs \text{ then } xs ! (i - 1) \text{ else } \square, 0) \#$   
 $\text{replicate}\ (110 - 1)\ (\lambda i. \text{if } i = 0 \text{ then } \triangleright \text{ else } \square, 0)$   
**have**  $tps0 = ?tps$   
**proof** (*rule nth-equalityI*)  
**show**  $\text{length}\ tps0 = \text{length}\ ?tps$   
**using**  $k$  **by** *simp*  
**show**  $tps0 ! j = ?tps ! j$  **if**  $j < \text{length}\ tps0$  **for**  $j$   
**using**  $tps0\ \text{contents-def}\ k$  **that** **by** (*cases j = 0*) *auto*  
**qed**  
**then show**  $\text{snd}\ (0, tps0) = \text{snd}\ (\text{start-config}\ 110\ xs)$   
**using**  $\text{start-config}\text{-def}$  **by** *auto*  
**qed**

**lemma**  $tm55'$ :  $\text{snd}\ (\text{execute}\ tm55\ (\text{start-config}\ 110\ xs)\ ttt55) = tps55$

**using**  $tps0\text{-start-config}\ \text{transforms-def}\ \text{transits-def}\ tm55$   
**by** (*smt (verit, best) execute-after-halting-ge prod.sel(1) prod.sel(2)*)

**definition**  $tpsK \equiv tpsJ$

$[91 := ([N]_N, 1),$   
 $92 := ([H]_N, 1),$   
 $93 := ([Z]_N, 1),$   
 $94 := ([T']_N, 1),$   
 $95 := ([\text{formula-}n\ \psi]_{NLL}, 1),$   
 $96 := ([\text{formula-}n\ \psi']_{NLL}, 1),$   
 $97 := ([I]_N, 1),$   
 $91 + 6 := ([Suc\ T']_N, 1),$   
 $91 + 3 := ([0]_N, 1)]$

**lemma**  $tpsK$ :  $97 < j \implies j < 110 \implies tpsK ! j = ([\square], 1)$

**using**  $tpsK\text{-def}\ tpsJ$  **by** *simp*

**lemma**  $\text{lentpsK}$ :  $\text{length}\ tpsK = 110$

**using**  $\text{lentpsJ}\ tpsK\text{-def}$  **by** *simp*

**lemma**  $tps55$ :  $tps55 = tpsK$

$[11 := ([n]_N, 1),$   
 $15 := ([p\ n]_N, 1),$   
 $16 := ([m]_N, 1),$

```

17 := (⟦T'⟧N, 1),
4  := (⟦map (λt. exc zs t <#> 0) [0..<Suc T'⟧]⟧NL, 1),
7  := (⟦map (λt. exc zs t <#> 1) [0..<Suc T'⟧]⟧NL, 1),
18 := (⟦m'⟧N, 1),
19 := (⟦H⟧N, 1),
20 := (⟦m' * H⟧N, 1),
1  := nlltape (formula-n PHI)
unfolding tps55-def tpsK-def by (simp only: list-update-swap)

```

**definition** tps56 ≡ tpsK

```

[11 := (⟦n⟧N, 1),
15 := (⟦p n⟧N, 1),
16 := (⟦m⟧N, 1),
17 := (⟦T'⟧N, 1),
4  := (⟦map (λt. exc zs t <#> 0) [0..<Suc T'⟧]⟧NL, 1),
7  := (⟦map (λt. exc zs t <#> 1) [0..<Suc T'⟧]⟧NL, 1),
18 := (⟦m'⟧N, 1),
19 := (⟦H⟧N, 1),
20 := (⟦m' * H⟧N, 1),
1  := (⟦formula-n PHI⟧NLL, 1)]

```

**lemma** tm56 [transforms-intros]:

**assumes** ttt = ttt55 + tps55 :#: 1 + 2

**shows** transforms tm56 tps0 ttt tps56

**unfolding** tm56-def

**proof** (tform)

**show** 1 < length tps55

**using** lentpsJ tps55-def k **by** (simp-all only: length-list-update)

**have** \*: tps55 ! 1 = nlltape (formula-n PHI)

**using** tps55 k lentpsK **by** (simp only: length-list-update nth-list-update-neq nth-list-update-eq)

**then show** clean-tape (tps55 ! 1)

**using** clean-tape-nllcontents **by** simp

**have** tps55 ! 1 |#|= 1 = (⟦formula-n PHI⟧<sub>NLL</sub>, 1)

**using** \* **by** simp

**then show** tps56 = tps55[1 := tps55 ! 1 |#|= 1]

**unfolding** tps56-def tps55 **by** (simp only: list-update-swap list-update-overwrite)

**show** ttt = ttt55 + (tps55 :#: 1 + 2)

**using** assms **by** simp

**qed**

**definition** tps57 ≡ tpsK

```

[11 := (⟦n⟧N, 1),
15 := (⟦p n⟧N, 1),
16 := (⟦m⟧N, 1),
17 := (⟦T'⟧N, 1),
4  := (⟦map (λt. exc zs t <#> 0) [0..<Suc T'⟧]⟧NL, 1),
7  := (⟦map (λt. exc zs t <#> 1) [0..<Suc T'⟧]⟧NL, 1),
18 := (⟦m'⟧N, 1),
19 := (⟦H⟧N, 1),
20 := (⟦m' * H⟧N, 1),
1  := nlltape (formula-n PHI),
109 := nlltape (formula-n PHI)]

```

**lemma** tm57 [transforms-intros]:

**assumes** ttt = ttt55 + tps55 :#: 1 + 2 + Suc (nlllength (formula-n PHI))

**shows** transforms tm57 tps0 ttt tps57

**unfolding** tm57-def

**proof** (tform)

**show** 1 < length tps56 109 < length tps56

**using** lentpsK tps56-def k **by** (simp-all only: length-list-update)

**have** \*: tps56 ! 1 = (⟦formula-n PHI⟧<sub>NLL</sub>, 1)

**using** tps56-def k lentpsK **by** (simp only: length-list-update nth-list-update-neq nth-list-update-eq)

**let** ?n = nlllength (formula-n PHI)

```

show rneigh (tps56 ! 1) {0} ?n
proof (rule rneighI)
  show (tps56 ::: 1) (tps56 :#: 1 + nllength (formula-n PHI)) ∈ {0}
    using proper-symbols-numlistlist nllcontents-def * contents-outofbounds nlllength-def
    by simp
  have  $\bigwedge n'. n' < ?n \implies (tps56 ::: 1) (1 + n') > 0$ 
    using proper-symbols-numlistlist nllcontents-def * contents-inbounds nlllength-def
    by fastforce
  then show  $\bigwedge n'. n' < ?n \implies (tps56 ::: 1) (tps56 :#: 1 + n') \notin \{0\}$ 
    using * by simp
qed
have tps56 ! 109 = tpsK ! 109
  using tps56-def by (simp only: length-list-update nth-list-update-eq nth-list-update-neq)
then have **: tps56 ! 109 = ([[]], 1)
  using tpsK k by simp
have implant ([formula-n PHI]NLL, 1) ([[]], 1) ?n =
  ([[] @
    take (nllength (formula-n PHI))
    (drop (1 - 1) (numlistlist (formula-n PHI)))],
  Suc (length []) + nllength (formula-n PHI))
  using implant-contents[of 1 ?n numlistlist (formula-n PHI) []] nlllength-def nllcontents-def
  by simp
then have implant ([formula-n PHI]NLL, 1) ([[]], 1) ?n =
  ([take ?n (numlistlist (formula-n PHI))], Suc ?n)
  by simp
also have ... = ([numlistlist (formula-n PHI)], Suc ?n)
  using nlllength-def by simp
also have ... = ([formula-n PHI]NLL, Suc ?n)
  using nllcontents-def by simp
finally have implant ([formula-n PHI]NLL, 1) ([[]], 1) ?n = ([formula-n PHI]NLL, Suc ?n) .
then have implant (tps56 ! 1) (tps56 ! 109) ?n = ([formula-n PHI]NLL, Suc ?n)
  using * ** by simp
then have implant (tps56 ! 1) (tps56 ! 109) ?n = nlltape (formula-n PHI)
  by simp
moreover have tps56 ! 1 |+| nlllength (formula-n PHI) = nlltape (formula-n PHI)
  using * by simp
moreover have tps57 = tps56
  [1 := nlltape (formula-n PHI),
  109 := nlltape (formula-n PHI)]
  unfolding tps57-def tps56-def by (simp only: list-update-swap[of 1] list-update-overwrite)
ultimately show tps57 = tps56
  [1 := tps56 ! 1 |+| nlllength (formula-n PHI),
  109 := implant (tps56 ! 1) (tps56 ! 109) (nlllength (formula-n PHI))]
  by simp
show ttt = ttt55 + tps55 :#: 1 + 2 + Suc (nlllength (formula-n PHI))
  using assms by simp
qed

```

**definition**  $tps58 \equiv tpsK$

```

[11 := ([n]N, 1),
 15 := ([p n]N, 1),
 16 := ([m]N, 1),
 17 := ([T']N, 1),
 4 := ([map (λt. exc zs t <#> 0) [0..Suc T']NL], 1),
 7 := ([map (λt. exc zs t <#> 1) [0..Suc T']NL], 1),
 18 := ([m']N, 1),
 19 := ([H]N, 1),
 20 := ([m' * H]N, 1),
 1 := ([[]], 1),
 109 := nlltape (formula-n PHI)]

```

**lemma**  $tm58$  [transforms-intros]:

**assumes**  $ttt = ttt55 + 9 + tps55 :#: 1 + 3 * nlllength (formula-n PHI) + tps57 :#: 1$

```

shows transforms tm58 tps0 ttt tps58
unfolding tm58-def
proof (tform)
show 1 < length tps57
  using lentpsK tps57-def k by (simp-all only: length-list-update)
let ?zs = numlistlist (formula-n PHI)
show proper-symbols ?zs
  using proper-symbols-numlistlist by simp
have tps57 ! 1 = nlltape (formula-n PHI)
  using tps57-def k lentpsK by (simp only: length-list-update nth-list-update-neq nth-list-update-eq)
then have tps57 ! 1 = (⟦numlistlist (formula-n PHI)⟧, Suc (nlllength (formula-n PHI)))
  using nlllength-def nllcontents-def by auto
then show tps57 ::: 1 = ⟦numlistlist (formula-n PHI)⟧
  by simp
show tps58 = tps57[1 := (⟦⟧, 1)]
  unfolding tps58-def tps57-def by (simp only: list-update-swap list-update-overwrite)
show ttt = ttt55 + tps55 :# 1 + 2 + Suc (nlllength (formula-n PHI)) +
  (tps57 :# 1 + 2 * length (numlistlist (formula-n PHI)) + 6)
  using assms nlllength-def by simp
qed

```

**definition**  $tps59 \equiv tpsK$

```

[11 := (⟦n⟧N, 1),
15 := (⟦p n⟧N, 1),
16 := (⟦m⟧N, 1),
17 := (⟦T'⟧N, 1),
4 := (⟦map (λt. exc zs t <#> 0) [0..NL, 1),
7 := (⟦map (λt. exc zs t <#> 1) [0..NL, 1),
18 := (⟦m'⟧N, 1),
19 := (⟦H⟧N, 1),
20 := (⟦m' * H⟧N, 1),
1 := (⟦⟧, 1),
109 := (⟦formula-n PHI⟧NLL, 1)]

```

**lemma**  $tm59$  [transforms-intros]:

```

assumes ttt = ttt55 + 11 + tps55 :# 1 + 3 * nlllength (formula-n PHI) + tps57 :# 1 + tps58 :# 109
shows transforms tm59 tps0 ttt tps59
unfolding tm59-def
proof (tform)
show 109 < length tps58
  using lentpsK tps58-def k by (simp-all only: length-list-update)
have *: tps58 ! 109 = nlltape (formula-n PHI)
  using tps58-def k lentpsK by (simp only: length-list-update nth-list-update-neq nth-list-update-eq)
then show clean-tape (tps58 ! 109)
  by (simp add: clean-tape-nllcontents)
have tps58 ! 109 |#|= 1 = (⟦formula-n PHI⟧NLL, 1)
  using * by simp
then show tps59 = tps58[109 := tps58 ! 109 |#|= 1]
  unfolding tps59-def tps58-def by (simp only: list-update-swap list-update-overwrite)
show ttt = ttt55 + 9 + tps55 :# 1 + 3 * nlllength (formula-n PHI) + tps57 :# 1 +
  (tps58 :# 109 + 2)
  using assms by simp
qed

```

**definition**  $tps60 \equiv tpsK$

```

[11 := (⟦n⟧N, 1),
15 := (⟦p n⟧N, 1),
16 := (⟦m⟧N, 1),
17 := (⟦T'⟧N, 1),
4 := (⟦map (λt. exc zs t <#> 0) [0..NL, 1),
7 := (⟦map (λt. exc zs t <#> 1) [0..NL, 1),
18 := (⟦m'⟧N, 1),
19 := (⟦H⟧N, 1),

```

```

20 := (⟦m' * H⟧N, 1),
1 := (⟦⟦⟦⟧⟧, 1),
109 := (⟦formula-n PHI⟧NLL, 1),
109 := (⟦numlistlist (formula-n PHI)⟧,
  Suc (length (numlistlist (formula-n PHI))))),
1 := (⟦binencode (numlistlist (formula-n PHI))⟧,
  Suc (2 * length (numlistlist (formula-n PHI)))))]

```

**lemma** *tm60*:

```

assumes ttt = ttt55 + 12 + tps55 :#: 1 + 12 * nllength (formula-n PHI) + tps57 :#: 1 + tps58 :#: 109
shows transforms tm60 tps0 ttt tps60
unfolding tm60-def
proof (tform)
  show 109 < length tps59 1 < length tps59
    using lentpsK tps59-def k by (simp-all only: length-list-update)
  let ?zs = numlistlist (formula-n PHI)
  show binencodable ?zs
    using proper-symbols-numlistlist symbols-ll-numlistlist by fastforce
  show tps59 ! 109 = (⟦numlistlist (formula-n PHI)⟧, 1)
    using tps59-def k lentpsK nllcontents-def
    by (simp only: length-list-update nth-list-update-neq nth-list-update-eq)
  show tps59 ! 1 = (⟦⟦⟦⟧, 1)
    using tps59-def k lentpsK by (simp only: length-list-update nth-list-update-neq nth-list-update-eq)
  show tps60 ≡ tps59
    [109 := (⟦numlistlist (formula-n PHI)⟧,
      Suc (length (numlistlist (formula-n PHI))))),
    1 := (⟦binencode (numlistlist (formula-n PHI))⟧,
      Suc (2 * length (numlistlist (formula-n PHI)))))]
  unfolding tps60-def tps59-def by (simp only: list-update-swap list-update-overwrite)
  show ttt = ttt55 + 11 + tps55 :#: 1 + 3 * nllength (formula-n PHI) + tps57 :#: 1 +
    tps58 :#: 109 + (9 * length (numlistlist (formula-n PHI)) + 1)
    using assms nllength-def by simp
qed

```

**definition** *ttt60* ≡ 16 \* *ttt55*

**lemma** *tm60'*: transforms *tm60* *tps0* *ttt60* *tps60*

```

proof –
  have tps55-head-1: tps55 :#: 1 ≤ ttt55
  proof –
    have *: (1::nat) < 110
    using k by simp
  show ?thesis
    using head-pos-le-time[OF tm55-tm *, of xs ttt55] tm55' k by simp
qed

```

```

let ?ttt = ttt55 + 12 + tps55 :#: 1 + 12 * nllength (formula-n PHI) + tps57 :#: 1 + tps58 :#: 109
have 55: tps55 :#: 1 = Suc (nllength (formula-n PHI))

```

```

proof –
  have tps55 ! 1 = nlltape (formula-n PHI)
    using tps55 k lentpsK by (simp only: length-list-update nth-list-update-neq nth-list-update-eq)
  then show ?thesis
    by simp

```

**qed**  
**moreover have** tps57 :#: 1 = Suc (nlllength (formula-n PHI))

```

proof –
  have tps57 ! 1 = nlltape (formula-n PHI)
    using tps57-def k lentpsK by (simp only: length-list-update nth-list-update-neq nth-list-update-eq)
  then show ?thesis
    by simp

```

**qed**  
**moreover have** tps58 :#: 109 = Suc (nlllength (formula-n PHI))

**proof** –

```

have tps58 ! 109 = nlltape (formula-n PHI)
  using tps58-def k lentpsK by (simp only: length-list-update nth-list-update-neq nth-list-update-eq)
then show ?thesis
  by simp
qed
ultimately have ?ttt = ttt55 + 12 + 3 * (Suc (nlllength (formula-n PHI))) + 12 * nlllength (formula-n
PHI)
  by simp
also have ... = ttt55 + 15 + 15 * (nlllength (formula-n PHI))
  by simp
also have ... = ttt55 + 15 * (Suc (nlllength (formula-n PHI)))
  by simp
also have ... ≤ ttt55 + 15 * ttt55
  using tps55-head-1 55 by simp
also have ... = 16 * ttt55
  by simp
finally have ?ttt ≤ 16 * ttt55 .
then show ?thesis
  using tm60 transforms-monotone ttt60-def by simp
qed

```

```

lemma tm60-start-config: transforms tm60 (snd (start-config 110 (string-to-symbols x))) ttt60 tps60
  using tm60' tps0-start-config by (metis prod.sel(2))

```

end

end

The time bound  $ttt60$  formally depends on the string  $x$ . But we need a bound depending only on the length.

```

context reduction-sat
begin

```

```

definition T60 :: nat ⇒ nat where
  T60 nn ≡ reduction-sat-x.ttt60 M G p (replicate nn True)

```

```

lemma T60:
  fixes x :: string
  shows T60 (length x) = reduction-sat-x.ttt60 M G p x
proof -
  interpret x: reduction-sat-x L M G T p x
  by (simp add: reduction-sat-axioms reduction-sat-x.intro)

```

```

define tpsx :: tape list where tpsx = snd (start-config 110 (x.xs))
have x1: 110 = length tpsx
  using start-config-def tpsx-def by auto
have x2: tpsx ! 0 = ([x.xs], 0)
  using start-config-def tpsx-def by auto
have x3: ∧i. 0 < i ⇒ i < 110 ⇒ tpsx ! i = ([[]], 0)
  using start-config-def tpsx-def by auto

```

```

let ?y = replicate (length x) True
interpret y: reduction-sat-x L M G T p ?y
  by (simp add: reduction-sat-axioms reduction-sat-x.intro)
define tpsy :: tape list where tpsy = snd (start-config 110 (y.xs))
have y1: 110 = length tpsy
  using start-config-def tpsy-def by auto
have y2: tpsy ! 0 = ([y.xs], 0)
  using start-config-def tpsy-def by auto
have y3: ∧i. 0 < i ⇒ i < 110 ⇒ tpsy ! i = ([[]], 0)
  using start-config-def tpsy-def by auto

```

```

have m: x.m = y.m

```

```

using x.m-def y.m-def by simp
have T': x.T' = y.T'
using x.T'-def y.T'-def m by simp
have m': x.m' = y.m'
using x.m'-def y.m'-def T' by simp
have N: x.N = y.N
using x.N-eq y.N-eq T' by simp

have x.ttt31 = y.ttt31
using x.ttt31-def[OF x1 x2 x3] y.ttt31-def[OF y1 y2 y3] T' m m' by simp
then have x.ttt47 = y.ttt47
using x.ttt47-def[OF x1 x2 x3] y.ttt47-def[OF y1 y2 y3] T' m m' by simp
then have x.ttt55 = y.ttt55
using x.ttt55-def[OF x1 x2 x3] y.ttt55-def[OF y1 y2 y3] T' m m' N by simp
then have x.ttt60 = y.ttt60
using x.ttt60-def[OF x1 x2 x3] y.ttt60-def[OF y1 y2 y3] by simp
then show T60 (length x) = reduction-sat-x.ttt60 M G p x
unfolding T60-def by simp
qed

lemma poly-T60: big-oh-poly T60
proof -
define fN :: nat ⇒ nat where
fN = (λnn. H * (2 * nn + 2 * p nn + 3 + TT (2 * nn + 2 * p nn + 2)))
define f where
f = (λnn. 16 * ((32 * d-polynomial p + 364343) * H ^ 6 * fN nn ^ 4 +
2 * nllength (formula-n ψ) + 2 * nllength (formula-n ψ') + 16114857 * 2 ^ (16 * Z) * fN nn ^ 7))
have T60-upper: T60 nn ≤ f nn for nn
proof -
define y where y = replicate nn True
then have leny: length y = nn
by simp
interpret y: reduction-sat-x - - - - y
by (simp add: reduction-sat-axioms reduction-sat-x.intro)
define tps0 :: tape list where tps0 = snd (start-config 110 y.xs)
have 1: 110 = length tps0
using start-config-def tps0-def by auto
have 2: tps0 ! 0 = ([y.xs], 0)
using start-config-def tps0-def by auto
have 3: ∧i. 0 < i ⇒ i < 110 ⇒ tps0 ! i = ([[]], 0)
using start-config-def tps0-def by auto

have T60 nn = y.ttt60
by (simp add: y-def T60-def)
also have ... ≤ 16 * y.ttt55
using y.ttt60-def[OF 1 2 3] by simp
also have ... ≤ 16 * (y.ttt47 + 2 * nllength (formula-n ψ) + 2 * nllength (formula-n ψ') + 16114857 * 2
^ (16 * Z) * y.N ^ 7)
using y.ttt55[OF 1 2 3] by simp
also have ... ≤ 16 * ((32 * d-polynomial p + 364343) * H ^ 6 * y.N ^ 4 +
2 * nllength (formula-n ψ) + 2 * nllength (formula-n ψ') + 16114857 * 2 ^ (16 * Z) * y.N ^ 7)
using y.ttt47[OF 1 2 3] by simp
also have ... = 16 * ((32 * d-polynomial p + 364343) * H ^ 6 * fN nn ^ 4 +
2 * nllength (formula-n ψ) + 2 * nllength (formula-n ψ') + 16114857 * 2 ^ (16 * Z) * fN nn ^ 7)
proof -
have y.N = fN nn
using y.N-eq y.T'-def y.m-def y-def fN-def by simp
then show ?thesis
by simp
qed
finally have T60 nn ≤ 16 * ((32 * d-polynomial p + 364343) * H ^ 6 * fN nn ^ 4 +
2 * nllength (formula-n ψ) + 2 * nllength (formula-n ψ') + 16114857 * 2 ^ (16 * Z) * fN nn ^ 7) .
then show ?thesis

```

```

using f-def by simp
qed

have *: big-oh-poly fN
proof -
  have 5: big-oh-poly p
  using big-oh-poly-polynomial[OF p] by simp
  have 6: big-oh-poly TT
  using T big-oh-poly-le TT-le by simp
  have big-oh-poly ( $\lambda nn. 2 * p nn + 2$ )
  using 5 big-oh-poly-sum big-oh-poly-prod big-oh-poly-const
  by presburger
  moreover have big-oh-poly ( $\lambda nn. 2 * nn$ )
  using big-oh-poly-prod big-oh-poly-const big-oh-poly-id by simp
  ultimately have big-oh-poly ( $\lambda nn. 2 * nn + 2 * p nn + 2$ )
  using big-oh-poly-sum by fastforce
  then have big-oh-poly ( $TT \circ (\lambda nn. 2 * nn + 2 * p nn + 2)$ )
  using big-oh-poly-composition[OF - 6] by simp
  moreover have  $TT \circ (\lambda nn. 2 * nn + 2 * p nn + 2) = (\lambda nn. TT (2 * nn + 2 * p nn + 2))$ 
  by auto
  ultimately have big-oh-poly ( $\lambda nn. TT (2 * nn + 2 * p nn + 2)$ )
  by simp
  moreover have big-oh-poly ( $\lambda nn. 2 * nn + 2 * p nn + 3$ )
  using 5 big-oh-poly-prod big-oh-poly-const big-oh-poly-sum big-oh-poly-id by simp
  ultimately have big-oh-poly ( $\lambda nn. 2 * nn + 2 * p nn + 3 + TT (2 * nn + 2 * p nn + 2)$ )
  using big-oh-poly-sum by simp
  then have big-oh-poly ( $\lambda nn. H * (2 * nn + 2 * p nn + 3 + TT (2 * nn + 2 * p nn + 2))$ )
  using big-oh-poly-prod big-oh-poly-const by simp
  then show ?thesis
  using fN-def by simp
qed

then have big-oh-poly ( $\lambda n. fN n \wedge 4$ )
  using big-oh-poly-pow by simp
moreover have big-oh-poly ( $\lambda n. (32 * d\text{-polynomial } p + 364343) * H \wedge 6$ )
  using big-oh-poly-prod big-oh-poly-const big-oh-poly-sum by simp
ultimately have big-oh-poly ( $\lambda n. (32 * d\text{-polynomial } p + 364343) * H \wedge 6 * fN n \wedge 4$ )
  using big-oh-poly-prod by simp
moreover have big-oh-poly ( $\lambda n. 2 * \text{nllength } (formula\text{-}n \ \psi) + 2 * \text{nllength } (formula\text{-}n \ \psi') + 16114857 * 2$ 
 $\wedge (16 * Z) * fN n \wedge 7$ )
  using big-oh-poly-pow * big-oh-poly-sum big-oh-poly-prod big-oh-poly-const
  by simp
ultimately have big-oh-poly ( $\lambda n. ((32 * d\text{-polynomial } p + 364343) * H \wedge 6 * fN n \wedge 4) +$ 
 $(2 * \text{nllength } (formula\text{-}n \ \psi) + 2 * \text{nllength } (formula\text{-}n \ \psi') + 16114857 * 2 \wedge (16 * Z) * fN n \wedge 7)$ )
  using big-oh-poly-sum by simp
moreover have ( $\lambda n. ((32 * d\text{-polynomial } p + 364343) * H \wedge 6 * fN n \wedge 4) +$ 
 $(2 * \text{nllength } (formula\text{-}n \ \psi) + 2 * \text{nllength } (formula\text{-}n \ \psi') + 16114857 * 2 \wedge (16 * Z) * fN n \wedge 7) =$ 
 $(\lambda n. (32 * d\text{-polynomial } p + 364343) * H \wedge 6 * fN n \wedge 4 +$ 
 $2 * \text{nllength } (formula\text{-}n \ \psi) + 2 * \text{nllength } (formula\text{-}n \ \psi') + 16114857 * 2 \wedge (16 * Z) * fN n \wedge 7)$ )
  by auto
ultimately have big-oh-poly ( $\lambda n. (32 * d\text{-polynomial } p + 364343) * H \wedge 6 * fN n \wedge 4 +$ 
 $2 * \text{nllength } (formula\text{-}n \ \psi) + 2 * \text{nllength } (formula\text{-}n \ \psi') + 16114857 * 2 \wedge (16 * Z) * fN n \wedge 7$ )
  by simp
then have big-oh-poly f
  using f-def big-oh-poly-prod big-oh-poly-const by blast
then show big-oh-poly T60
  using T60-upper big-oh-poly-le by simp
qed

```

This is the function, in terms of bit strings, that maps  $x$  to  $\Phi$ .

**definition**  $f_{reduce} :: string \Rightarrow string$  ( $\langle f_{reduce} \rangle$ ) **where**  
 $f_{reduce} \ x \equiv formula\text{-to-string } (reduction\text{-sat}\text{-}x.PHI \ M \ G \ p \ x)$

The function  $f_{reduce}$  many-one reduces  $L$  to SAT.



**lemma** *x-in-L*:  $x \in L \longleftrightarrow f_{reduce} x \in SAT$   
**proof**  
**interpret** *x*: *reduction-sat-x*  
**by** (*simp add: reduction-sat-axioms reduction-sat-x.intro*)  
**show**  $x \in L \implies f_{reduce} x \in SAT$   
**using** *freduce-def SAT-def x.L-iff-satisfiable* **by** *auto*  
**show**  $f_{reduce} x \in SAT \implies x \in L$   
**proof** -  
**assume**  $f_{reduce} x \in SAT$   
**then obtain** *phi* **where**  
*phi*: *satisfiable phi f<sub>reduce</sub> x = formula-to-string phi*  
**using** *SAT-def freduce-def* **by** *auto*  
  
**have** *formula-to-string (reduction-sat-x.PHI M G p x) = formula-to-string phi*  
**using** *phi(2) freduce-def* **by** *simp*  
**then have** *reduction-sat-x.PHI M G p x = phi*  
**using** *formula-to-string-inj* **by** *simp*  
**then have** *satisfiable (reduction-sat-x.PHI M G p x)*  
**using** *phi(1)* **by** *simp*  
**then show**  $x \in L$   
**using** *x.L-iff-satisfiable* **by** *simp*  
**qed**  
**qed**

The Turing machine *tm60* computes  $f_{reduce}$  with time bound  $T60$ .

**lemma** *computes-in-time-tm60*: *computes-in-time 110 tm60 f<sub>reduce</sub> T60*  
**proof**  
**fix** *x* :: *string*  
  
**interpret** *x*: *reduction-sat-x - - - - x*  
**by** (*simp add: reduction-sat-axioms reduction-sat-x.intro*)  
  
**have** *binencodable (numlistlist (formula-n x.PHI))*  
**by** (*metis One-nat-def Suc-1 Suc-leI le-refl proper-symbols-numlistlist symbols-lt-numlistlist*)  
**then have** \*: *bit-symbols (binencode (numlistlist (formula-n x.PHI)))*  
**using** *bit-symbols-binencode* **by** *simp*  
  
**define** *tps0* :: *tape list* **where** *tps0 = snd (start-config 110 x.xs)*  
**have** 1:  $110 = \text{length } tps0$   
**using** *start-config-def tps0-def* **by** *auto*  
**have** 2:  $tps0 ! 0 = (\lfloor x.xs \rfloor, 0)$   
**using** *start-config-def tps0-def* **by** *auto*  
**have** 3:  $\bigwedge i. 0 < i \implies i < 110 \implies tps0 ! i = (\lfloor \lfloor \rfloor, 0)$   
**using** *start-config-def tps0-def* **by** *auto*  
**let** *?tps = x.tps60 tps0*  
**have**  $\text{length } ?tps = 110$   
**using** *x.tps60-def[OF 1 2 3] x.lentpsK[OF 1 2 3]* **by** (*simp-all only: length-list-update*)  
**then have**  $?tps ! 1 = (\lfloor \text{binencode (numlistlist (formula-n (x.PHI)))} \rfloor,$   
*Suc (2 \* length (numlistlist (formula-n x.PHI)))*)  
**using** *x.tps60-def[OF 1 2 3]* **by** (*simp only: length-list-update nth-list-update-neq nth-list-update-eq*)  
**then have**  $?tps :: 1 = \lfloor \text{binencode (numlistlist (formula-n x.PHI))} \rfloor$   
**by** *simp*  
**also have** ... = *string-to-contents (symbols-to-string (binencode (numlistlist (formula-n x.PHI))))*  
**using** *bit-symbols-to-contents[OF \*]* **by** *simp*  
**also have** ... = *string-to-contents (f<sub>reduce</sub> x)*  
**using** *freduce-def* **by** *auto*  
**finally have** \*\*:  $?tps :: 1 = \text{string-to-contents (f<sub>reduce</sub> x)}$  .  
  
**have** *transforms tm60 tps0 x.ttt60 ?tps*  
**using** *tps0-def x.tm60-start-config[OF 1 2 3]* **by** *simp*  
**then have** *transforms tm60 (snd (start-config 110 x.xs)) (T60 (length x)) ?tps*  
**using** *T60 tps0-def* **by** *simp*

**then show**  $\exists tps$ .  
 $tps ::= 1 = \text{string-to-contents } (f_{\text{reduce}} x) \wedge$   
 $\text{transforms } tm60 \text{ (snd (start-config 110 (string-to-symbols x))) (T60 (length x)) } tps$   
**using** **\*\* by auto**  
**qed**

Since  $T60$  is bounded by a polynomial, the previous three lemmas imply that  $L$  is polynomial-time many-one reducible to **SAT**.

**lemma**  $L$ -reducible-SAT:  $L \leq_p SAT$   
**using**  $\text{reducible-def } tm60\text{-tm } \text{poly-T60 computes-in-time-tm60 } x\text{-in-}L$  **by fastforce**

**end**

In the locale  $\text{reduction-sat}$  the language  $L$  was chosen arbitrarily with properties that we have proven  $\mathcal{NP}$  languages have. So we can now show that **SAT** is  $\mathcal{NP}$ -hard.

**theorem**  $NP$ -hard-SAT:

**assumes**  $L \in \mathcal{NP}$

**shows**  $L \leq_p SAT$

**proof** –

**obtain**  $M G T p$  **where**

$T$ :  $\text{big-oh-poly } T$  **and**

$p$ :  $\text{polynomial } p$  **and**

$tm\text{-}M$ :  $\text{turing-machine } 2 G M$  **and**

$oblivious\text{-}M$ :  $\text{oblivious } M$  **and**

$T\text{-halt}$ :  $\bigwedge y. \text{bit-symbols } y \implies \text{fst (execute } M \text{ (start-config } 2 y) (T \text{ (length } y))) = \text{length } M$  **and**

$\text{cert}$ :  $\bigwedge x. x \in L \longleftrightarrow (\exists u. \text{length } u = p \text{ (length } x) \wedge \text{execute } M \text{ (start-config } 2 \langle x; u \rangle) (T \text{ (length } \langle x; u \rangle)) <. >$   
 $1 = 1)$

**using**  $NP\text{-imp-oblivious-2tape}[OF \text{ assms}]$  **by metis**

**interpret**  $\text{red}$ :  $\text{reduction-sat } L M G T p$

**using**  $T p tm\text{-}M oblivious\text{-}M T\text{-halt cert reduction-sat.intro$  **by simp**

**show**  $?thesis$

**using**  $\text{red.L-reducible-SAT}$  **by simp**

**qed**

## 8.4 SAT is $\mathcal{NP}$ -complete

The time has come to reap the fruits of our labor and show that **SAT** is  $\mathcal{NP}$ -complete.

**theorem**  $NP$ -complete-SAT:  $NP$ -complete SAT

**using**  $NP\text{-hard-SAT SAT-in-NP NP-complete-def}$  **by simp**

**end**

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