

Light-Weight Containers

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Abstract

This development provides a framework for container types like sets and maps such that generated code implements these containers with different (efficient) data structures. Thanks to type classes and refinement during code generation, this light-weight approach can seamlessly replace Isabelle's default setup for code generation. Heuristics automatically pick one of the available data structures depending on the type of elements to be stored, but users can also choose on their own. The extensible design permits to add more implementations at any time.

To support arbitrary nesting of sets, we define a linear order on sets based on a linear order of the elements and provide efficient implementations. It even allows to compare complements with non-complements.

Contents

1	Introduction	7
2	An executable linear order on sets	9
2.1	Auxiliary definitions	9
2.2	Definitions to prove equations about the cardinality of data types	12
2.2.1	Specialised <i>range</i> constants	12
2.2.2	Cardinality primitives for polymorphic HOL types	15
2.3	Shortcut fusion for lists	16
2.3.1	The type of generators for finite lists	17
2.3.2	Generators for ' <i>a list</i> '	20
2.3.3	Destroying lists	28
2.4	List fusion for lexicographic order	31
2.4.1	Setup for list fusion	32
2.5	Every partial order can be extended to a total order	33
2.6	An executable linear order on sets	41
2.6.1	Definition of the linear order	41
2.6.2	Implementation based on sorted lists	53
2.6.3	Implementation of proper intervals for sets	61
2.6.4	Proper intervals for HOL types	86
2.6.5	List fusion for the order and proper intervals on ' <i>a set</i> '	89
2.6.6	Drop notation	94
2.6.7	Introduction	95
3	Light-weight containers	97
3.1	A linear order for code generation	97
3.1.1	Optional comparators	97
3.1.2	Generator for the <i>compare</i> -class	98
3.1.3	Instantiations for HOL types	99
3.1.4	Proper intervals	100
3.2	Instantiate <i>proper-interval</i> of for ' <i>a list</i> '	105
3.3	A type class for optional equality testing	107
3.3.1	Generator for the <i>ceq</i> -class	108

3.3.2	Type class instances for HOL types	108
3.4	A type class for optional enumerations	111
3.4.1	Definition	111
3.4.2	Generator for the <i>cenum</i> -class	112
3.4.3	Instantiations	112
3.5	Locales to abstract over HOL equality	117
3.6	More on red-black trees	118
3.6.1	More lemmas	118
3.6.2	Build the cross product of two RBTs	118
3.6.3	Build an RBT where keys are paired with themselves	121
3.6.4	Folding and quantifiers over RBTs	122
3.6.5	List fusion for RBTs	123
3.7	Mappings implemented by red-black trees	126
3.7.1	Type definition	126
3.7.2	Operations	127
3.7.3	Properties	129
3.8	Additional operations for associative lists	133
3.8.1	Operations on the raw type	133
3.8.2	Operations on the abstract type (<i>'a, 'b alist</i>)	135
3.9	Sets implemented by distinct lists	137
3.9.1	Operations on the raw type with parametrised equality	137
3.9.2	The type of distinct lists	139
3.9.3	Operations	140
3.9.4	Properties	141
3.10	Sets implemented by red-black trees	146
3.10.1	Type and operations	148
3.10.2	Primitive operations	148
3.10.3	Properties	149
3.11	Sets implemented as Closures	154
3.12	Different implementations of sets	154
3.12.1	Auxiliary functions	154
3.12.2	Delete code equation with set as constructor	160
3.12.3	Set implementations	161
3.12.4	Set operations	162
3.12.5	Type class instantiations	198
3.12.6	Generator for the <i>set-impl</i> -class	199
3.12.7	Pretty printing for sets	201
3.13	Different implementations of maps	202
3.13.1	Map implementations	203
3.13.2	Map operations	203
3.13.3	Type classes	207
3.13.4	Generator for the <i>mapping-impl</i> -class	208
3.14	Infrastructure for operation identification	209
3.15	Compatibility with Regular-Sets	213

4	User guide	215
4.1	Characteristics	215
4.2	Getting started	216
4.3	New types as elements	217
4.3.1	Equality testing	217
4.3.2	Ordering	219
4.3.3	Heuristics for picking an implementation	220
4.3.4	Set comprehensions	221
4.3.5	Nested sets	222
4.4	New implementations for containers	224
4.4.1	Model and verify the data structure	225
4.4.2	Generalise the data structure	225
4.4.3	Hide the invariants of the data structure	226
4.4.4	Connecting to the container	227
4.5	Changing the configuration	231
4.6	New containers types	231
4.7	Troubleshooting	231
4.7.1	Nesting of mappings	232
4.7.2	Wellsortedness errors	232
4.7.3	Exception raised at run-time	232
4.7.4	LC slows down my code	233

Chapter 1

Introduction

This development focuses on generating efficient code for container types like sets and maps. It falls into two parts: First, we define linear order on sets (Ch. 2) that is efficiently executable given a linear order on the elements. Second, we define an extensible framework LC (for light-weight containers) that supports multiple (efficient) implementations of container types (Ch. 3) in generated code. Both parts heavily exploit type classes and the refinement features of the code generator [2]. This way, we are able to implement the HOL types for sets and maps directly, as the name light-weight containers (LC) emphasises.

In comparison with the Isabelle Collections Framework (ICF) [4, 3], the style of refinement is the major difference. In the ICF, the container types are replaced with the types of the data structures inside the logic. Typically, the user has to define his operations that involve maps and sets a second time such that they work on the concrete data structures; then, she has to prove that both definitions agree. With LC, the refinement happens inside the code generator. Hence, the formalisation can stick with the types *'a set* and *('a, 'b) mapping* and there is no need to duplicate definitions or prove refinement. The drawback is that with LC, we can only implement operations that can be fully specified on the abstract container type. In particular, the internal representation of the implementations may not affect the result of the operations. For example, it is not possible to pick non-deterministically an element from a set or fold a set with a non-commutative operation, i.e., the result depends on the order of visiting the elements.

For more documentation and introductory material refer to the userguide (Chapter 4) and the ITP-2013 paper [5].

```
theory Containers-Auxiliary imports  
  HOL-Library.Monad-Syntax  
begin
```


Chapter 2

An executable linear order on sets

2.1 Auxiliary definitions

lemma *insert-bind-set*: $\text{insert } a \ A \gg= f = f \ a \cup (A \gg= f)$
by(*auto simp add: Set.bind-def*)

lemma *set-bind-iff*:
 $\text{set } (\text{List.bind } xs \ f) = \text{Set.bind } (\text{set } xs) (\text{set } \circ f)$
by(*induct xs*)(*simp-all add: insert-bind-set*)

lemma *set-bind-conv-fold*: $\text{set } xs \gg= f = \text{fold } ((\cup) \circ f) \ xs \ \{\}$
by(*induct xs rule: rev-induct*)(*simp-all add: insert-bind-set*)

lemma *card-gt-1D*:
assumes $\text{card } A > 1$
shows $\exists x \ y. x \in A \wedge y \in A \wedge x \neq y$
proof(*rule ccontr*)
from *assms* **have** $A \neq \{\}$ **by** *auto*
then obtain x **where** $x \in A$ **by** *auto*
moreover
assume $\neg ?thesis$
ultimately have $A = \{x\}$ **by** *auto*
with *assms* **show** *False* **by** *simp*
qed

lemma *card-eq-1-iff*: $\text{card } A = 1 \longleftrightarrow (\exists x. A = \{x\})$
proof
assume $\text{card } A = 1$
hence [*simp*]: *finite* A **using** *card-gt-0-iff*[*of* A] **by** *simp*
have $A = \{\text{THE } x. x \in A\}$
proof(*intro equalityI subsetI*)
fix x
assume $x: x \in A$

```

hence (THE x. x ∈ A) = x
proof(rule the-equality)
  fix x'
  assume x': x' ∈ A
  show x' = x
  proof(rule ccontr)
    assume neg: x' ≠ x
    from x x' have eq: A = insert x (insert x' (A - {x, x'})) by auto
    have card A = 2 + card (A - {x, x'}) using neg by (subst eq)(simp)
    with card show False by simp
  qed
qed
thus x ∈ {THE x. x ∈ A} by simp
next
fix x
assume x ∈ {THE x. x ∈ A}
hence x: x = (THE x. x ∈ A) by simp
from card have A ≠ {} by auto
then obtain x' where x': x' ∈ A by blast
thus x ∈ A unfolding x
proof(rule theI)
  fix x
  assume x: x ∈ A
  show x = x'
  proof(rule ccontr)
    assume neg: x ≠ x'
    from x x' have eq: A = insert x (insert x' (A - {x, x'})) by auto
    have card A = 2 + card (A - {x, x'}) using neg by (subst eq)(simp)
    with card show False by simp
  qed
qed
qed
thus ∃ x. A = {x} ..
qed auto

```

lemma *card-eq-Suc-0-ex1*: $\text{card } A = \text{Suc } 0 \longleftrightarrow (\exists! x. x \in A)$
by(*auto simp only: One-nat-def[symmetric] card-eq-1-iff*)

context *linorder* **begin**

lemma *sorted-last*: $\llbracket \text{sorted } xs; x \in \text{set } xs \rrbracket \implies x \leq \text{last } xs$
by(*cases xs rule: rev-cases*)(*auto simp add: sorted-append*)

end

lemma *empty-filter-conv*: $\llbracket \rrbracket = \text{filter } P \text{ } xs \longleftrightarrow (\forall x \in \text{set } xs. \neg P \text{ } x)$
by(*auto dest: sym simp add: filter-empty-conv*)

definition $ID :: 'a \Rightarrow 'a$ **where** $ID = id$

lemma $ID\text{-code}$ $[code, code\text{-unfold}]$: $ID = (\lambda x. x)$
by($simp$ add : $ID\text{-def}$ $id\text{-def}$)

lemma $ID\text{-Some}$: $ID (Some\ x) = Some\ x$
by($simp$ add : $ID\text{-def}$)

lemma $ID\text{-None}$: $ID\ None = None$
by($simp$ add : $ID\text{-def}$)

lexicographic order on pairs

context

fixes $leq\text{-}a :: 'a \Rightarrow 'a \Rightarrow bool$ (**infix** $\langle \sqsubseteq_a \rangle$ 50)
and $less\text{-}a :: 'a \Rightarrow 'a \Rightarrow bool$ (**infix** $\langle \sqsubset_a \rangle$ 50)
and $leq\text{-}b :: 'b \Rightarrow 'b \Rightarrow bool$ (**infix** $\langle \sqsubseteq_b \rangle$ 50)
and $less\text{-}b :: 'b \Rightarrow 'b \Rightarrow bool$ (**infix** $\langle \sqsubset_b \rangle$ 50)

begin

definition $less\text{-}eq\text{-}prod :: ('a \times 'b) \Rightarrow ('a \times 'b) \Rightarrow bool$ (**infix** $\langle \sqsubseteq \rangle$ 50)
where $less\text{-}eq\text{-}prod = (\lambda(x1, x2)\ (y1, y2). x1 \sqsubset_a y1 \vee x1 \sqsubseteq_a y1 \wedge x2 \sqsubseteq_b y2)$

definition $less\text{-}prod :: ('a \times 'b) \Rightarrow ('a \times 'b) \Rightarrow bool$ (**infix** $\langle \sqsubset \rangle$ 50)
where $less\text{-}prod = (\lambda(x1, x2)\ (y1, y2). x1 \sqsubset_a y1 \vee x1 \sqsubseteq_a y1 \wedge x2 \sqsubset_b y2)$

lemma $less\text{-}eq\text{-}prod\text{-}simps$ $[simp]$:
 $(x1, x2) \sqsubseteq (y1, y2) \longleftrightarrow x1 \sqsubset_a y1 \vee x1 \sqsubseteq_a y1 \wedge x2 \sqsubseteq_b y2$
by($simp$ add : $less\text{-}eq\text{-}prod\text{-}def$)

lemma $less\text{-}prod\text{-}simps$ $[simp]$:
 $(x1, x2) \sqsubset (y1, y2) \longleftrightarrow x1 \sqsubset_a y1 \vee x1 \sqsubseteq_a y1 \wedge x2 \sqsubset_b y2$
by($simp$ add : $less\text{-}prod\text{-}def$)

end

context

fixes $leq\text{-}a :: 'a \Rightarrow 'a \Rightarrow bool$ (**infix** $\langle \sqsubseteq_a \rangle$ 50)
and $less\text{-}a :: 'a \Rightarrow 'a \Rightarrow bool$ (**infix** $\langle \sqsubset_a \rangle$ 50)
and $leq\text{-}b :: 'b \Rightarrow 'b \Rightarrow bool$ (**infix** $\langle \sqsubseteq_b \rangle$ 50)
and $less\text{-}b :: 'b \Rightarrow 'b \Rightarrow bool$ (**infix** $\langle \sqsubset_b \rangle$ 50)
assumes $lin\text{-}a$: $class.linorder\ leq\text{-}a\ less\text{-}a$
and $lin\text{-}b$: $class.linorder\ leq\text{-}b\ less\text{-}b$

begin

abbreviation ($input$) $less\text{-}eq\text{-}prod' :: ('a \times 'b) \Rightarrow ('a \times 'b) \Rightarrow bool$ (**infix** $\langle \sqsubseteq \rangle$ 50)
where $less\text{-}eq\text{-}prod' \equiv less\text{-}eq\text{-}prod\ leq\text{-}a\ less\text{-}a\ leq\text{-}b$

abbreviation ($input$) $less\text{-}prod' :: ('a \times 'b) \Rightarrow ('a \times 'b) \Rightarrow bool$ (**infix** $\langle \sqsubset \rangle$ 50)
where $less\text{-}prod' \equiv less\text{-}prod\ leq\text{-}a\ less\text{-}a\ less\text{-}b$

```

lemma linorder-prod:
  class.linorder ( $\sqsubseteq$ ) ( $\sqsubset$ )
proof –
  interpret a: linorder ( $\sqsubseteq_a$ ) ( $\sqsubset_a$ ) by(fact lin-a)
  interpret b: linorder ( $\sqsubseteq_b$ ) ( $\sqsubset_b$ ) by(fact lin-b)
  show ?thesis by unfold-locales auto
qed

end

hide-const less-eq-prod' less-prod'

end

```

```

theory Card-Datatype
imports HOL-Library.Cardinality
begin

```

2.2 Definitions to prove equations about the cardinality of data types

2.2.1 Specialised *range* constants

```

definition rangeIt :: 'a  $\Rightarrow$  ('a  $\Rightarrow$  'a)  $\Rightarrow$  'a set
where rangeIt x f = range ( $\lambda n. (f \smallfrown n) x$ )

```

```

definition rangeC :: ('a  $\Rightarrow$  'b) set  $\Rightarrow$  'b set
where rangeC F = ( $\bigcup f \in F. \text{range } f$ )

```

```

lemma infinite-rangeIt:
  assumes inj: inj f
  and x:  $\forall y. x \neq f y$ 
  shows  $\neg \text{finite } (\text{rangeIt } x f)$ 
proof –
  have inj ( $\lambda n. (f \smallfrown n) x$ )
  proof(rule injI)
    fix n m
    assume  $(f \smallfrown n) x = (f \smallfrown m) x$ 
    thus  $n = m$ 
  proof(induct n arbitrary: m)
    case 0
    thus ?case using x by(cases m)(auto intro: sym)
  next
    case (Suc n)
    thus ?case using x by(cases m)(auto intro: sym dest: injD[OF inj])
  qed
qed

```

2.2. DEFINITIONS TO PROVE EQUATIONS ABOUT THE CARDINALITY OF DATA TYPES 13

```

thus ?thesis
  by(auto simp add: rangeIt-def dest: finite-imageD)
qed

lemma in-rangeC:  $f \in A \implies f\ x \in \text{rangeC}\ A$ 
by(auto simp add: rangeC-def)

lemma in-rangeCE: assumes  $y \in \text{rangeC}\ A$ 
obtains  $f\ x$  where  $f \in A$   $y = f\ x$ 
using assms by(auto simp add: rangeC-def)

lemma in-rangeC-singleton:  $f\ x \in \text{rangeC}\ \{f\}$ 
by(auto simp add: rangeC-def)

lemma in-rangeC-singleton-const:  $x \in \text{rangeC}\ \{\lambda\cdot. x\}$ 
by(rule in-rangeC-singleton)

lemma rangeC-rangeC:  $f \in \text{rangeC}\ A \implies f\ x \in \text{rangeC}\ (\text{rangeC}\ A)$ 
by(auto simp add: rangeC-def)

lemma rangeC-eq-empty:  $\text{rangeC}\ A = \{\} \longleftrightarrow A = \{\}$ 
by(auto simp add: rangeC-def)

lemma Ball-rangeC-iff:
   $(\forall x \in \text{rangeC}\ A. P\ x) \longleftrightarrow (\forall f \in A. \forall x. P\ (f\ x))$ 
by(auto intro: in-rangeC elim: in-rangeCE)

lemma Ball-rangeC-singleton:
   $(\forall x \in \text{rangeC}\ \{f\}. P\ x) \longleftrightarrow (\forall x. P\ (f\ x))$ 
by(simp add: Ball-rangeC-iff)

lemma Ball-rangeC-rangeC:
   $(\forall x \in \text{rangeC}\ (\text{rangeC}\ A). P\ x) \longleftrightarrow (\forall f \in \text{rangeC}\ A. \forall x. P\ (f\ x))$ 
by(simp add: Ball-rangeC-iff)

lemma finite-rangeC:
  assumes inj:  $\forall f \in A. \text{inj}\ f$ 
  and disjoint:  $\forall f \in A. \forall g \in A. f \neq g \longrightarrow (\forall x\ y. f\ x \neq g\ y)$ 
  shows finite (rangeC (A :: ('a  $\Rightarrow$  'b) set))  $\longleftrightarrow$  finite A  $\wedge$  (A  $\neq \{\}$   $\longrightarrow$  finite
    (UNIV :: 'a set))
  (is ?lhs  $\longleftrightarrow$  ?rhs)
proof
  assume ?lhs
  thus ?rhs using inj disjoint
proof(induct rangeC A arbitrary: A rule: finite-psubset-induct)
  case (psubset A)
  show ?case
  proof(cases A =  $\{\}$ )
  case True thus ?thesis by simp

```

```

next
case False
then obtain f A' where A: A = insert f A' and f: f ∈ A    f ∉ A'
  by(fastforce dest: mk-disjoint-insert)
from A have rA: rangeC A = rangeC A' ∪ range f
  by(auto simp add: rangeC-def)

have ¬ range f ⊆ rangeC A'
proof
  assume range f ⊆ rangeC A'
  moreover obtain x where x: x ∈ range f by auto
  ultimately have x ∈ rangeC A' by auto
  then obtain g where g ∈ A'    x ∈ range g by(auto simp add: rangeC-def)
  with ⟨f ∉ A'⟩ A have g ∈ A    f ≠ g by auto
  with ⟨f ∈ A⟩ have ∧x y. f x ≠ g y by(rule psubset.premis[rule-format])
  thus False using x ⟨x ∈ range g⟩ by auto
qed
hence rangeC A' ⊂ rangeC A unfolding rA by auto
hence finite A' ∧ (A' ≠ {}) ⟶ finite (UNIV :: 'a set)
  using psubset.premis
  by -(erule psubset.hyps, auto simp add: A)
with A have finite A by simp
moreover with ⟨finite (rangeC A)⟩ A ⟨∀f ∈ A. inj f⟩
have finite (UNIV :: 'a set)
  by(auto simp add: rangeC-def dest: finite-imageD)
ultimately show ?thesis by blast
qed
qed
qed(auto simp add: rangeC-def)

lemma finite-rangeC-singleton-const:
  finite (rangeC {λ-. x})
by(auto simp add: rangeC-def image-def)

lemma card-Un:
  ⟦ finite A; finite B ⟧ ⟹ card (A ∪ B) = card (A) + card (B) - card(A ∩ B)
by(subst card-Un-Int) simp-all

lemma card-rangeC-singleton-const:
  card (rangeC {λ-. f}) = 1
by(simp add: rangeC-def image-def)

lemma card-rangeC:
  assumes inj: ∀f ∈ A. inj f
  and disjoint: ∀f ∈ A. ∀g ∈ A. f ≠ g ⟶ (∀x y. f x ≠ g y)
  shows card (rangeC (A :: ('a ⟶ 'b) set)) = CARD('a) * card A
  (is ?lhs = ?rhs)
proof(cases finite (UNIV :: 'a set) ∧ finite A)
  case False

```

```

thus ?thesis using False finite-rangeC[OF assms]
  by(auto simp add: card-eq-0-iff rangeC-eq-empty)
next
  case True
  { fix f
    assume f ∈ A
    hence card (range f) = CARD('a) using inj by(simp add: card-image) }
  thus ?thesis using disjoint True unfolding rangeC-def
    by(subst card-UN-disjoint) auto
qed

```

lemma rangeC-Int-rangeC:
 $\llbracket \forall f \in A. \forall g \in B. \forall x y. f x \neq g y \rrbracket \implies \text{rangeC } A \cap \text{rangeC } B = \{\}$
by(auto simp add: rangeC-def)

lemmas rangeC-simps =
 in-rangeC-singleton
 in-rangeC-singleton-const
 rangeC-rangeC
 rangeC-eq-empty
 Ball-rangeC-singleton
 Ball-rangeC-rangeC
 finite-rangeC
 finite-rangeC-singleton-const
 card-rangeC-singleton-const
 card-rangeC
 rangeC-Int-rangeC

bundle card-datatype =
 rangeC-simps [simp]
 card-Un [simp]
 fun-eq-iff [simp]
 Int-Un-distrib [simp]
 Int-Un-distrib2 [simp]
 card-eq-0-iff [simp]
 imageI [simp] image-eqI [simp del]
 conj-cong [cong]
 infinite-rangeIt [simp]

2.2.2 Cardinality primitives for polymorphic HOL types

```

ML <
  structure Card-Simp-Rules = Named-Thms
  (
    val name = @{binding card-simps}
    val description = Simplification rules for cardinality of types
  )
  >
setup <Card-Simp-Rules.setup>

```

definition *card-fun* :: *nat* \Rightarrow *nat* \Rightarrow *nat*
where *card-fun* *a b* = (if *a* \neq 0 \wedge *b* \neq 0 \vee *b* = 1 then *b* \wedge *a* else 0)

lemma *CARD-fun* [*card-simps*]:
 $CARD('a \Rightarrow 'b) = \text{card-fun } CARD('a) \text{ } CARD('b)$
by(*simp add: card-fun card-fun-def*)

definition *card-sum* :: *nat* \Rightarrow *nat* \Rightarrow *nat*
where *card-sum* *a b* = (if *a* = 0 \vee *b* = 0 then 0 else *a* + *b*)

lemma *CARD-sum* [*card-simps*]:
 $CARD('a + 'b) = \text{card-sum } CARD('a) \text{ } CARD('b)$
by(*simp add: card-UNIV-sum card-sum-def*)

definition *card-option* :: *nat* \Rightarrow *nat*
where *card-option* *n* = (if *n* = 0 then 0 else *Suc* *n*)

lemma *CARD-option* [*card-simps*]:
 $CARD('a \text{ option}) = \text{card-option } CARD('a)$
by(*simp add: card-option-def card-UNIV-option*)

definition *card-prod* :: *nat* \Rightarrow *nat* \Rightarrow *nat*
where *card-prod* *a b* = *a* * *b*

lemma *CARD-prod* [*card-simps*]:
 $CARD('a * 'b) = \text{card-prod } CARD('a) \text{ } CARD('b)$
by(*simp add: card-prod-def*)

definition *card-list* :: *nat* \Rightarrow *nat*
where *card-list* - = 0

lemma *CARD-list* [*card-simps*]: $CARD('a \text{ list}) = \text{card-list } CARD('a)$
by(*simp add: card-list-def infinite-UNIV-listI*)

end

theory *List-Fusion*
imports
Main
begin

2.3 Shortcut fusion for lists

lemma *Option-map-mono* [*partial-function-mono*]:
 $\text{mono-option } f \Longrightarrow \text{mono-option } (\lambda x. \text{map-option } g (f x))$
apply(*rule monotoneI*)
apply(*drule (1) monotoneD*)

apply(*auto simp add: map-option-case flat-ord-def split: option.split*)
done

lemma *list-all2-coinduct* [*consumes 1, case-names Nil Cons, case-conclusion Cons*
hd tl, coinduct pred: list-all2]:

assumes *X: X xs ys*
and *Nil': $\bigwedge xs\ ys. X\ xs\ ys \implies xs = [] \longleftrightarrow ys = []$*
and *Cons': $\bigwedge xs\ ys. \llbracket X\ xs\ ys; xs \neq []; ys \neq [] \rrbracket \implies A\ (hd\ xs)\ (hd\ ys) \wedge (X\ (tl\ xs)\ (tl\ ys) \vee list-all2\ A\ (tl\ xs)\ (tl\ ys))$*
shows *list-all2 A xs ys*
using *X*
proof(*induction xs arbitrary: ys*)
case *Nil*
from *Nil'[OF this]* **show** *?case* **by** *simp*
next
case (*Cons x xs*)
from *Nil'[OF Cons.prem] Cons'[OF Cons.prem] Cons.IH*
show *?case* **by**(*auto simp add: neq-Nil-conv*)
qed

2.3.1 The type of generators for finite lists

type-synonym (*'a, 's*) *raw-generator* = (*'s* \Rightarrow *bool*) \times (*'s* \Rightarrow *'a* \times *'s*)

inductive-set *terminates-on* :: (*'a, 's*) *raw-generator* \Rightarrow *'s set*

for *g* :: (*'a, 's*) *raw-generator*

where

stop: $\neg fst\ g\ s \implies s \in terminates-on\ g$
 $|$ *unfold*: $\llbracket fst\ g\ s; snd\ (snd\ g\ s) \in terminates-on\ g \rrbracket \implies s \in terminates-on\ g$

definition *terminates* :: (*'a, 's*) *raw-generator* \Rightarrow *bool*

where *terminates g* $\longleftrightarrow (terminates-on\ g = UNIV)$

lemma *terminatesI* [*intro?*]:

($\bigwedge s. s \in terminates-on\ g \implies terminates\ g$)
by(*auto simp add: terminates-def*)

lemma *terminatesD*:

terminates g $\implies s \in terminates-on\ g$
by(*auto simp add: terminates-def*)

lemma *terminates-on-stop*:

terminates-on ($\lambda-. False, next$) = *UNIV*
by(*auto intro: terminates-on.stop*)

lemma *wf-terminates*:

assumes *wf R*
and *step*: $\bigwedge s. fst\ g\ s \implies (snd\ (snd\ g\ s), s) \in R$
shows *terminates g*

```

proof(rule terminatesI)
  fix s
  from  $\langle \text{wf } R \rangle$  show  $s \in \text{terminates-on } g$ 
  proof(induction rule: wf-induct[rule-format, consumes 1, case-names wf])
    case (wf s)
    show ?case
    proof(cases fst g s)
      case True
      hence  $(\text{snd } (\text{snd } g \ s), s) \in R$  by(rule step)
      hence  $\text{snd } (\text{snd } g \ s) \in \text{terminates-on } g$  by(rule wf.IH)
      with True show ?thesis by(rule terminates-on.unfold)
    qed(rule terminates-on.stop)
  qed
qed

lemma terminates-wfD:
  assumes terminates g
  shows  $\text{wf } \{( \text{snd } (\text{snd } g \ s), s) \mid s . \text{fst } g \ s \}$ 
proof(rule wfUNIVI)
  fix thesis s
  assume  $\text{wf } [\text{rule-format}]: \forall s. (\forall s'. (s', s) \in \{(\text{snd } (\text{snd } g \ s), s) \mid s . \text{fst } g \ s\} \longrightarrow$ 
thesis s')  $\longrightarrow$  thesis s
  from assms have  $s \in \text{terminates-on } g$  by(auto simp add: terminates-def)
  thus thesis s by induct (auto intro: wf)
qed

lemma terminates-wfE:
  assumes terminates g
  obtains R where  $\text{wf } R \quad \bigwedge s. \text{fst } g \ s \implies (\text{snd } (\text{snd } g \ s), s) \in R$ 
by(rule that)(rule terminates-wfD[OF assms], simp)

context fixes  $g :: ('a, 's) \text{raw-generator}$  begin

partial-function (option) terminates-within ::  $'s \Rightarrow \text{nat option}$ 
where
  terminates-within s =
    (let (has-next, next) = g
     in if has-next s then
       map-option ( $\lambda n. n + 1$ ) (terminates-within (snd (next s)))
     else Some 0)

lemma terminates-on-conv-dom-terminates-within:
  terminates-on g = dom terminates-within
proof(safe)
  fix s
  assume  $s \in \text{terminates-on } g$ 
  then show  $\exists n. \text{terminates-within } s = \text{Some } n$ 
    by(induct)(subst terminates-within.simps, simp add: split-beta)+
next

```

```

fix  $s\ n$ 
  assume  $\text{terminates-within } s = \text{Some } n$ 
  then show  $s \in \text{terminates-on } g$ 
    by( $\text{induct rule: terminates-within.raw-induct[rotated 1, consumes 1]}$ )( $\text{auto simp}$ 
 $\text{add: split-beta split: if-split-asm intro: terminates-on.intros}$ )
  qed

end

lemma  $\text{terminates-within-unfold:}$ 
   $\text{has-next } s \implies$ 
   $\text{terminates-within } (\text{has-next}, \text{next})\ s = \text{map-option } (\lambda n. n + 1)\ (\text{terminates-within}$ 
 $(\text{has-next}, \text{next})\ (\text{snd } (\text{next } s)))$ 
by( $\text{simp add: terminates-within.simps}$ )

typedef  $(\text{'a}, \text{'s})\ \text{generator} = \{g :: (\text{'a}, \text{'s})\ \text{raw-generator. terminates } g\}$ 
morphisms  $\text{generator}\ \text{Generator}$ 
proof
  show  $(\lambda-. \text{False}, \text{undefined}) \in ?\text{generator}$ 
  by( $\text{simp add: terminates-on-stop terminates-def}$ )
qed

setup-lifting  $\text{type-definition-generator}$ 

lemma  $\text{terminates-on-generator-eq-UNIV:}$ 
   $\text{terminates-on } (\text{generator } g) = \text{UNIV}$ 
by  $\text{transfer(simp add: terminates-def)}$ 

lemma  $\text{terminates-within-stop:}$ 
   $\text{terminates-within } (\lambda-. \text{False}, \text{next})\ s = \text{Some } 0$ 
by( $\text{simp add: terminates-within.simps}$ )

lemma  $\text{terminates-within-generator-neq-None:}$ 
   $\text{terminates-within } (\text{generator } g)\ s \neq \text{None}$ 
by( $\text{transfer}(auto\ \text{simp add: terminates-def terminates-on-conv-dom-terminates-within})$ )

locale  $\text{list} =$ 
  fixes  $g :: (\text{'a}, \text{'s})\ \text{generator}$  begin

definition  $\text{has-next} :: \text{'s} \Rightarrow \text{bool}$ 
where  $\text{has-next} = \text{fst } (\text{generator } g)$ 

definition  $\text{next} :: \text{'s} \Rightarrow \text{'a} \times \text{'s}$ 
where  $\text{next} = \text{snd } (\text{generator } g)$ 

function  $\text{unfoldr} :: \text{'s} \Rightarrow \text{'a}\ \text{list}$ 
where  $\text{unfoldr } s = (\text{if has-next } s \text{ then let } (a, s') = \text{next } s \text{ in } a \ \# \ \text{unfoldr } s' \text{ else } [])$ 
by  $\text{pat-completeness auto}$ 
termination

```

```

proof –
  have terminates (generator g) using generator[of g] by simp
  thus ?thesis
  by(rule terminates-wfE)(erule termination, metis has-next-def next-def snd-conv)
qed

```

```

declare unfoldr.simps [simp del]

```

```

lemma unfoldr-simps:
  has-next s  $\implies$  unfoldr s = fst (next s) # unfoldr (snd (next s))
   $\neg$  has-next s  $\implies$  unfoldr s = []
by(simp-all add: unfoldr.simps split-beta)

```

```

end

```

```

declare
  list.has-next-def[code]
  list.next-def[code]
  list.unfoldr.simps[code]

```

```

context includes lifting-syntax
begin

```

```

lemma generator-has-next-transfer [transfer-rule]:
  (pcr-generator (=) (=)  $\implies$  (=)) fst list.has-next
by(auto simp add: generator.pcr-cr-eq cr-generator-def list.has-next-def dest: sym)

```

```

lemma generator-next-transfer [transfer-rule]:
  (pcr-generator (=) (=)  $\implies$  (=)) snd list.next
by(auto simp add: generator.pcr-cr-eq cr-generator-def list.next-def)

```

```

end

```

```

lemma unfoldr-eq-Nil-iff [iff]:
  list.unfoldr g s = []  $\longleftrightarrow$   $\neg$  list.has-next g s
by(subst list.unfoldr.simps)(simp add: split-beta)

```

```

lemma Nil-eq-unfoldr-iff [simp]:
  [] = list.unfoldr g s  $\longleftrightarrow$   $\neg$  list.has-next g s
by(auto intro: sym dest: sym)

```

2.3.2 Generators for 'a list

```

primrec list-has-next :: 'a list  $\Rightarrow$  bool
where
  list-has-next []  $\longleftrightarrow$  False
  | list-has-next (x # xs)  $\longleftrightarrow$  True

```

```

primrec list-next :: 'a list  $\Rightarrow$  'a  $\times$  'a list

```

where

$list_next\ (x \#\ xs) = (x, xs)$

lemma *terminates-list-generator*: *terminates* (*list-has-next*, *list-next*)

proof

fix *xs*

show $xs \in \text{terminates-on}\ (list_has_next, list_next)$

by(*induct xs*)(*auto intro: terminates-on.intros*)

qed

lift-definition *list-generator* :: (*'a*, *'a list*) *generator*

is (*list-has-next*, *list-next*)

by(*rule terminates-list-generator*)

lemma *has-next-list-generator* [*simp*]:

$list.has_next\ list_generator = list_has_next$

by *transfer simp*

lemma *next-list-generator* [*simp*]:

$list.next\ list_generator = list_next$

by *transfer simp*

lemma *unfoldr-list-generator*:

$list.unfoldr\ list_generator\ xs = xs$

by(*induct xs*)(*simp-all add: list.unfoldr-simps*)

lemma *terminates-replicate-generator*:

$\text{terminates}\ (\lambda n.::\ \text{nat}.\ 0 < n, \lambda n. (a, n - 1))$

by(*rule wf-terminates*)(*lexicographic-order*)

lift-definition *replicate-generator* :: *'a* \Rightarrow (*'a*, *nat*) *generator*

is $\lambda a. (\lambda n. 0 < n, \lambda n. (a, n - 1))$

by(*rule terminates-replicate-generator*)

lemma *has-next-replicate-generator* [*simp*]:

$list.has_next\ (replicate_generator\ a)\ n \longleftrightarrow 0 < n$

by *transfer simp*

lemma *next-replicate-generator* [*simp*]:

$list.next\ (replicate_generator\ a)\ n = (a, n - 1)$

by *transfer simp*

lemma *unfoldr-replicate-generator*:

$list.unfoldr\ (replicate_generator\ a)\ n = replicate\ n\ a$

by(*induct n*)(*simp-all add: list.unfoldr-simps*)

context *fixes f* :: *'a* \Rightarrow *'b* **begin**

lift-definition *map-generator* :: (*'a*, *'s*) *generator* \Rightarrow (*'b*, *'s*) *generator*

is $\lambda(has_next, next). (has_next, \lambda s. let (a, s') = next\ s\ in\ (f\ a, s'))$
by $(erule\ terminates_wfE)(erule\ wf_terminates, auto\ simp\ add: split_beta)$

lemma *has-next-map-generator* [simp]:
 $list.has_next\ (map_generator\ g) = list.has_next\ g$
by *transfer clarsimp*

lemma *next-map-generator* [simp]:
 $list.next\ (map_generator\ g) = apfst\ f \circ list.next\ g$
by *transfer(simp add: fun-eq-iff split-beta apfst-def map-prod-def)*

lemma *unfoldr-map-generator*:
 $list.unfoldr\ (map_generator\ g) = map\ f \circ list.unfoldr\ g$
(is ?lhs = ?rhs)
proof $(rule\ ext)$
fix s
show $?lhs\ s = ?rhs\ s$
by $(induct\ s\ taking: map_generator\ g\ rule: list.unfoldr.induct)$
 $(subst\ (1\ 2)\ list.unfoldr.simps, auto\ simp\ add: split_beta\ apfst_def\ map_prod_def)$
qed
end

context *fixes* $g1 :: ('a, 's1)\ raw_generator$
and $g2 :: ('a, 's2)\ raw_generator$
begin

fun *append-has-next* $:: 's1 \times 's2 + 's2 \Rightarrow bool$
where
 $append_has_next\ (Inl\ (s1, s2)) \longleftrightarrow fst\ g1\ s1 \vee fst\ g2\ s2$
 $| append_has_next\ (Inr\ s2) \longleftrightarrow fst\ g2\ s2$

fun *append-next* $:: 's1 \times 's2 + 's2 \Rightarrow 'a \times ('s1 \times 's2 + 's2)$
where
 $append_next\ (Inl\ (s1, s2)) =$
 $(if\ fst\ g1\ s1\ then$
 $let\ (x, s1') = snd\ g1\ s1\ in\ (x, Inl\ (s1', s2))$
 $else\ append_next\ (Inr\ s2))$
 $| append_next\ (Inr\ s2) = (let\ (x, s2') = snd\ g2\ s2\ in\ (x, Inr\ s2'))$
end

lift-definition *append-generator* $:: ('a, 's1)\ generator \Rightarrow ('a, 's2)\ generator \Rightarrow$
 $('a, 's1 \times 's2 + 's2)\ generator$
is $\lambda g1\ g2. (append_has_next\ g1\ g2, append_next\ g1\ g2)$
proof $(rule\ terminatesI, safe)$
fix *has-next1* **and** *next1* $:: 's1 \Rightarrow 'a \times 's1$
and *has-next2* **and** *next2* $:: 's2 \Rightarrow 'a \times 's2$
and s

```

assume  $t1$ : terminates (has-next1, next1)
and  $t2$ : terminates (has-next2, next2)
let  $?has\text{-}next = \text{append-has-next}$  (has-next1, next1) (has-next2, next2)
let  $?next = \text{append-next}$  (has-next1, next1) (has-next2, next2)
note [simp] = split-beta
and [intro] = terminates-on.intros
{ fix  $s2 :: 's2$ 
  from  $t2$  have  $s2 \in \text{terminates-on}$  (has-next2, next2) by (rule terminatesD)
  hence  $\text{Inr } s2 \in \text{terminates-on}$  ( $?has\text{-}next$ ,  $?next$ ) by induct auto }
note  $\text{Inr}' = \text{this}$ 

show  $s \in \text{terminates-on}$  ( $?has\text{-}next$ ,  $?next$ )
proof (cases s)
  case  $\text{Inr}$  thus  $?thesis$  by (simp add: Inr')
next
  case (Inl s1s2)
  moreover obtain  $s1\ s2$  where  $s1s2 = (s1, s2)$  by (cases s1s2)
  ultimately have  $s = \text{Inl } (s1, s2)$  by simp
  from  $t1$  have  $s1 \in \text{terminates-on}$  (has-next1, next1) by (rule terminatesD)
  thus  $?thesis$  unfolding  $s$ 
  proof induct
    case stop thus  $?case$ 
    by (cases has-next2 s2) (auto simp add: Inr')
  qed auto
qed
qed

```

definition *append-init* :: $'s1 \Rightarrow 's2 \Rightarrow 's1 \times 's2 + 's2$
where *append-init* $s1\ s2 = \text{Inl } (s1, s2)$

lemma *has-next-append-generator* [*simp*]:
 $\text{list.has-next } (\text{append-generator } g1\ g2) (\text{Inl } (s1, s2)) \longleftrightarrow$
 $\text{list.has-next } g1\ s1 \vee \text{list.has-next } g2\ s2$
 $\text{list.has-next } (\text{append-generator } g1\ g2) (\text{Inr } s2) \longleftrightarrow \text{list.has-next } g2\ s2$
by (*transfer, simp*) +

lemma *next-append-generator* [*simp*]:
 $\text{list.next } (\text{append-generator } g1\ g2) (\text{Inl } (s1, s2)) =$
(if $\text{list.has-next } g1\ s1$ *then*
 $\text{let } (x, s1') = \text{list.next } g1\ s1$ *in* $(x, \text{Inl } (s1', s2))$
 $\text{else } \text{list.next } (\text{append-generator } g1\ g2) (\text{Inr } s2)$
 $\text{list.next } (\text{append-generator } g1\ g2) (\text{Inr } s2) = \text{apsnd Inr } (\text{list.next } g2\ s2)$
by (*transfer, simp add: apsnd-def map-prod-def*) +

lemma *unfoldr-append-generator-Inr*:
 $\text{list.unfoldr } (\text{append-generator } g1\ g2) (\text{Inr } s2) = \text{list.unfoldr } g2\ s2$
by (*induct s2 taking: g2 rule: list.unfoldr.induct*) (*subst (1 2) list.unfoldr.simps,*
auto split: prod.splits)

lemma *unfoldr-append-generator-Inl*:
 $list.unfoldr\ (append-generator\ g1\ g2)\ (Inl\ (s1,\ s2)) =$
 $list.unfoldr\ g1\ s1\ @\ list.unfoldr\ g2\ s2$
apply(*induct* *s1* *taking*: *g1* *rule*: *list.unfoldr.induct*)
apply(*subst* (1 2 3) *list.unfoldr.simps*)
apply(*auto* *split*: *prod.splits* *simp* *add*: *apsnd-def* *map-prod-def* *unfoldr-append-generator-Inr*)
apply(*simp* *add*: *list.unfoldr.simps*)
done

lemma *unfoldr-append-generator*:
 $list.unfoldr\ (append-generator\ g1\ g2)\ (append-init\ s1\ s2) =$
 $list.unfoldr\ g1\ s1\ @\ list.unfoldr\ g2\ s2$
by(*simp* *add*: *unfoldr-append-generator-Inl* *append-init-def*)

lift-definition *zip-generator* :: ('a, 's1) generator \Rightarrow ('b, 's2) generator \Rightarrow ('a \times 'b, 's1 \times 's2) generator

is $\lambda(has_next1,\ next1)\ (has_next2,\ next2).$
 $(\lambda(s1,\ s2). has_next1\ s1 \wedge has_next2\ s2,$
 $\lambda(s1,\ s2). let\ (x,\ s1') = next1\ s1;\ (y,\ s2') = next2\ s2$
 $in\ ((x,\ y),\ (s1',\ s2'))))$

proof(*rule* *terminatesI*, *safe*)

fix *has-next1* *next1* *has-next2* *next2* *s1* *s2*

assume *t1*: *terminates* (*has-next1*, *next1*)

and *t2*: *terminates* (*has-next2*, *next2*)

have *s1* \in *terminates-on* (*has-next1*, *next1*) *s2* \in *terminates-on* (*has-next2*, *next2*)

using *t1* *t2* **by**(*simp-all* *add*: *terminatesD*)

thus (*s1*, *s2*) \in *terminates-on* ($\lambda(s1,\ s2). has_next1\ s1 \wedge has_next2\ s2,$ $\lambda(s1,\ s2).$ *let* (*x*, *s1'*) = *next1* *s1*; (*y*, *s2'*) = *next2* *s2* *in* ((*x*, *y*), (*s1'*, *s2'*)))

by(*induct* *arbitrary*: *s2*)(*auto* 4 3 *elim*: *terminates-on.cases* *intro*: *terminates-on.intros* *simp* *add*: *split-beta* *Let-def*)

qed

abbreviation (*input*) *zip-init* :: 's1 \Rightarrow 's2 \Rightarrow 's1 \times 's2

where *zip-init* \equiv *Pair*

lemma *has-next-zip-generator* [*simp*]:

$list.has_next\ (zip-generator\ g1\ g2)\ (s1,\ s2) \longleftrightarrow$

$list.has_next\ g1\ s1 \wedge list.has_next\ g2\ s2$

by *transfer* *clarsimp*

lemma *next-zip-generator* [*simp*]:

$list.next\ (zip-generator\ g1\ g2)\ (s1,\ s2) =$

$((fst\ (list.next\ g1\ s1),\ fst\ (list.next\ g2\ s2)),$

$(snd\ (list.next\ g1\ s1),\ snd\ (list.next\ g2\ s2)))$

by *transfer*(*simp* *add*: *split-beta*)

lemma *unfoldr-zip-generator*:


```

    list.unfoldr (zip-generator g1 g2) (zip-init s1 s2) =
      zip (list.unfoldr g1 s1) (list.unfoldr g2 s2)
  by(induct (s1, s2) arbitrary: s1 s2 taking: zip-generator g1 g2 rule: list.unfoldr.induct)
    (subst (1 2 3) list.unfoldr.simps, auto 9 2 simp add: split-beta)

```

context fixes bound :: nat **begin**

lift-definition upt-generator :: (nat, nat) generator
 is ($\lambda n. n < \text{bound}, \lambda n. (n, \text{Suc } n)$)
 by(rule wf-terminates)(relation measure ($\lambda n. \text{bound} - n$), auto)

lemma has-next-upt-generator [simp]:
 list.has-next upt-generator $n \longleftrightarrow n < \text{bound}$
 by transfer simp

lemma next-upt-generator [simp]:
 list.next upt-generator $n = (n, \text{Suc } n)$
 by transfer simp

lemma unfoldr-upt-generator:
 list.unfoldr upt-generator $n = [n..<\text{bound}]$
 by(induct bound - n arbitrary: n)(simp-all add: list.unfoldr-simps upt-conv-Cons)

end

context fixes bound :: int **begin**

lift-definition upto-generator :: (int, int) generator
 is ($\lambda n. n \leq \text{bound}, \lambda n. (n, n + 1)$)
 by(rule wf-terminates)(relation measure ($\lambda n. \text{nat } (\text{bound} + 1 - n)$), auto)

lemma has-next-upto-generator [simp]:
 list.has-next upto-generator $n \longleftrightarrow n \leq \text{bound}$
 by transfer simp

lemma next-upto-generator [simp]:
 list.next upto-generator $n = (n, n + 1)$
 by transfer simp

lemma unfoldr-upto-generator:
 list.unfoldr upto-generator $n = [n..\text{bound}]$
 by(induct n taking: upto-generator rule: list.unfoldr.induct)(subst list.unfoldr.simps,
 subst upto.simps, auto)

end

context
 fixes $P :: 'a \Rightarrow \text{bool}$
begin

```

context
  fixes  $g :: ('a, 's) \text{ raw-generator}$ 
begin

  inductive  $\text{filter-has-next} :: 's \Rightarrow \text{bool}$ 
  where
     $\llbracket \text{fst } g \ s; P (\text{fst } (\text{snd } g \ s)) \rrbracket \Longrightarrow \text{filter-has-next } s$ 
     $\mid \llbracket \text{fst } g \ s; \neg P (\text{fst } (\text{snd } g \ s)); \text{filter-has-next } (\text{snd } (\text{snd } g \ s)) \rrbracket \Longrightarrow \text{filter-has-next } s$ 

  partial-function (tailrec)  $\text{filter-next} :: 's \Rightarrow 'a \times 's$ 
  where
     $\text{filter-next } s = (\text{let } (x, s') = \text{snd } g \ s \text{ in if } P \ x \text{ then } (x, s') \text{ else } \text{filter-next } s')$ 

end

lift-definition  $\text{filter-generator} :: ('a, 's) \text{ generator} \Rightarrow ('a, 's) \text{ generator}$ 
  is  $\lambda g. (\text{filter-has-next } g, \text{filter-next } g)$ 
proof(rule wf-terminates)
  fix  $g :: ('a, 's) \text{ raw-generator}$  and  $s$ 
  let  $?R = \{(\text{snd } (\text{snd } g \ s), s) \mid s. \text{fst } g \ s\}$ 
  let  $?g = (\text{filter-has-next } g, \text{filter-next } g)$ 
  assume terminates g
  thus  $\text{wf } (?R^+) \text{ by } (\text{rule terminates-wfD}[THEN \text{wf-trancl}])$ 
  assume  $\text{fst } ?g \ s$ 
  hence  $\text{filter-has-next } g \ s \text{ by simp}$ 
  thus  $(\text{snd } (\text{snd } ?g \ s), s) \in ?R^+$ 
  by induct(subst filter-next.simps, auto simp add: split-beta filter-next.simps split
del: if-split intro: trancl-into-trancl)
qed

lemma has-next-filter-generator:
   $\text{list.has-next } (\text{filter-generator } g) \ s \longleftrightarrow$ 
   $\text{list.has-next } g \ s \wedge (\text{let } (x, s') = \text{list.next } g \ s \text{ in if } P \ x \text{ then True else list.has-next}$ 
   $(\text{filter-generator } g) \ s')$ 
apply(transfer)
apply simp
apply(subst filter-has-next.simps)
apply auto
done

lemma next-filter-generator:
   $\text{list.next } (\text{filter-generator } g) \ s =$ 
   $(\text{let } (x, s') = \text{list.next } g \ s$ 
   $\text{ in if } P \ x \text{ then } (x, s') \text{ else list.next } (\text{filter-generator } g) \ s')$ 
apply transfer
apply simp
apply(subst filter-next.simps)
apply(simp cong: if-cong)

```

done

lemma *has-next-filter-generator-induct* [consumes 1, case-names find step]:
 assumes *list.has-next* (filter-generator *g*) *s*
 and *find*: $\bigwedge s. \llbracket \text{list.has-next } g \ s; P \ (\text{fst} \ (\text{list.next } g \ s)) \rrbracket \implies Q \ s$
 and *step*: $\bigwedge s. \llbracket \text{list.has-next } g \ s; \neg P \ (\text{fst} \ (\text{list.next } g \ s)); Q \ (\text{snd} \ (\text{list.next } g \ s)) \rrbracket \implies Q \ s$
 shows *Q s*
 using *assms* by transfer(auto elim: filter-has-next.induct)

lemma *filter-generator-empty-conv*:

list.has-next (filter-generator *g*) *s* $\longleftrightarrow (\exists x \in \text{set} \ (\text{list.unfoldr } g \ s). P \ x)$ (is ?lhs \longleftrightarrow ?rhs)

proof

assume ?lhs

thus ?rhs

proof(induct rule: has-next-filter-generator-induct)

case (find *s*)

thus ?case

by(cases list.next *g s*)(subst list.unfoldr.simps, auto)

next

case (step *s*)

thus ?case

by(cases list.next *g s*)(subst list.unfoldr.simps, auto)

qed

next

assume ?rhs

then obtain *x* where $x \in \text{set} \ (\text{list.unfoldr } g \ s)$ $P \ x$ by blast

thus ?lhs

proof(induct $xs \equiv \text{list.unfoldr } g \ s$ arbitrary: *s*)

case Nil thus ?case by(simp del: Nil-eq-unfoldr-iff)

next

case (Cons *x' xs*)

from $\langle x' \# xs = \text{list.unfoldr } g \ s \rangle$ [symmetric, simp]

have [simp]: $\text{fst} \ (\text{list.next } g \ s) = x' \wedge \text{list.has-next } g \ s \wedge \text{list.unfoldr } g \ (\text{snd} \ (\text{list.next } g \ s)) = xs$

by(subst (asm) list.unfoldr.simps)(simp add: split-beta split: if-split-asm)

from Cons.hyps(1)[of $\text{snd} \ (\text{list.next } g \ s)$] $\langle x \in \text{set} \ (\text{list.unfoldr } g \ s) \rangle \langle P \ x \rangle$ show ?case

by(subst has-next-filter-generator)(auto simp add: split-beta)

qed

qed

lemma *unfoldr-filter-generator*:

list.unfoldr (filter-generator *g*) *s* = filter *P* (*list.unfoldr g s*)

unfolding list-all2-eq

proof(coinduction arbitrary: *s*)

case Nil

thus ?case by(simp add: filter-empty-conv filter-generator-empty-conv)

```

next
  case (Cons s)
  hence list.has-next (filter-generator g) s by simp
  thus ?case
proof(induction rule: has-next-filter-generator-induct)
  case (find s)
  thus ?case
    apply(subst (1 2 3 5) list.unfoldr.simps)
    apply(subst (1 2) has-next-filter-generator)
    apply(subst next-filter-generator)
    apply(simp add: split-beta)
    apply(rule disjI1 exI conjI refl)+
    apply(subst next-filter-generator)
    apply(simp add: split-beta)
  done
next
  case (step s)
  from step.hyps
  have list.unfoldr (filter-generator g) s = list.unfoldr (filter-generator g) (snd
(list.next g s))
    apply(subst (1 2) list.unfoldr.simps)
    apply(subst has-next-filter-generator)
    apply(subst next-filter-generator)
    apply(auto simp add: split-beta)
  done
  moreover from step.hyps
  have filter P (list.unfoldr g (snd (list.next g s))) = filter P (list.unfoldr g s)
    by(subst (2) list.unfoldr.simps)(auto simp add: split-beta)
  ultimately show ?case using step.IH by simp
qed
qed
end

```

2.3.3 Destroying lists

definition *hd-fusion* :: ('a, 's) generator \Rightarrow 's \Rightarrow 'a
where *hd-fusion* g s = hd (list.unfoldr g s)

lemma *hd-fusion-code* [code]:

hd-fusion g s = (if list.has-next g s then fst (list.next g s) else undefined)

unfolding *hd-fusion-def*

by(subst list.unfoldr.simps)(simp add: hd-def split-beta)

declare *hd-fusion-def* [symmetric, code-unfold]

definition *fold-fusion* :: ('a, 's) generator \Rightarrow ('a \Rightarrow 'b \Rightarrow 'b) \Rightarrow 's \Rightarrow 'b \Rightarrow 'b
where *fold-fusion* g f s = fold f (list.unfoldr g s)

lemma *fold-fusion-code* [code]:

fold-fusion $g\ f\ s\ b =$
 (if *list.has-next* $g\ s$ then
 let $(x, s') = \text{list.next } g\ s$
 in *fold-fusion* $g\ f\ s'\ (f\ x\ b)$
 else b)

unfolding *fold-fusion-def*

by(subst *list.unfoldr.simps*)(simp add: *split-beta*)

declare *fold-fusion-def*[*symmetric, code-unfold*]

definition *gen-length-fusion* :: ($'a, 's$) generator \Rightarrow nat \Rightarrow $'s \Rightarrow$ nat

where *gen-length-fusion* $g\ n\ s = n + \text{length } (\text{list.unfoldr } g\ s)$

lemma *gen-length-fusion-code* [code]:

gen-length-fusion $g\ n\ s =$
 (if *list.has-next* $g\ s$ then *gen-length-fusion* $g\ (\text{Suc } n)\ (\text{snd } (\text{list.next } g\ s))$ else n)

unfolding *gen-length-fusion-def*

by(subst *list.unfoldr.simps*)(simp add: *split-beta*)

definition *length-fusion* :: ($'a, 's$) generator \Rightarrow $'s \Rightarrow$ nat

where *length-fusion* $g\ s = \text{length } (\text{list.unfoldr } g\ s)$

lemma *length-fusion-code* [code]:

length-fusion $g = \text{gen-length-fusion } g\ 0$

by(simp add: *fun-eq-iff length-fusion-def gen-length-fusion-def*)

declare *length-fusion-def*[*symmetric, code-unfold*]

definition *map-fusion* :: ($'a \Rightarrow 'b$) \Rightarrow ($'a, 's$) generator \Rightarrow $'s \Rightarrow 'b$ list

where *map-fusion* $f\ g\ s = \text{map } f\ (\text{list.unfoldr } g\ s)$

lemma *map-fusion-code* [code]:

map-fusion $f\ g\ s =$
 (if *list.has-next* $g\ s$ then
 let $(x, s') = \text{list.next } g\ s$
 in $f\ x \# \text{map-fusion } f\ g\ s'$
 else [])

unfolding *map-fusion-def*

by(subst *list.unfoldr.simps*)(simp add: *split-beta*)

declare *map-fusion-def*[*symmetric, code-unfold*]

definition *append-fusion* :: ($'a, 's1$) generator \Rightarrow ($'a, 's2$) generator \Rightarrow $'s1 \Rightarrow 's2$

$\Rightarrow 'a$ list

where *append-fusion* $g1\ g2\ s1\ s2 = \text{list.unfoldr } g1\ s1\ @\ \text{list.unfoldr } g2\ s2$

lemma *append-fusion* [code]:

append-fusion $g1\ g2\ s1\ s2 =$

```

    (if list.has-next g1 s1 then
      let (x, s1') = list.next g1 s1
      in x # append-fusion g1 g2 s1' s2
    else list.unfoldr g2 s2)
unfolding append-fusion-def
by(subst list.unfoldr.simps)(simp add: split-beta)

```

declare append-fusion-def[symmetric, code-unfold]

definition zip-fusion :: ('a, 's1) generator \Rightarrow ('b, 's2) generator \Rightarrow 's1 \Rightarrow 's2 \Rightarrow ('a \times 'b) list
where zip-fusion g1 g2 s1 s2 = zip (list.unfoldr g1 s1) (list.unfoldr g2 s2)

lemma zip-fusion-code [code]:
 zip-fusion g1 g2 s1 s2 =
 (if list.has-next g1 s1 \wedge list.has-next g2 s2 then
 let (x, s1') = list.next g1 s1;
 (y, s2') = list.next g2 s2
 in (x, y) # zip-fusion g1 g2 s1' s2'
 else [])
unfolding zip-fusion-def
by(subst (1 2) list.unfoldr.simps)(simp add: split-beta)

declare zip-fusion-def[symmetric, code-unfold]

definition list-all-fusion :: ('a, 's) generator \Rightarrow ('a \Rightarrow bool) \Rightarrow 's \Rightarrow bool
where list-all-fusion g P s = List.list-all P (list.unfoldr g s)

lemma list-all-fusion-code [code]:
 list-all-fusion g P s \longleftrightarrow
 (list.has-next g s \longrightarrow
 (let (x, s') = list.next g s
 in P x \wedge list-all-fusion g P s'))
unfolding list-all-fusion-def
by(subst list.unfoldr.simps)(simp add: split-beta)

declare list-all-fusion-def[symmetric, code-unfold]

definition list-all2-fusion :: ('a \Rightarrow 'b \Rightarrow bool) \Rightarrow ('a, 's1) generator \Rightarrow ('b, 's2) generator \Rightarrow 's1 \Rightarrow 's2 \Rightarrow bool
where

list-all2-fusion P g1 g2 s1 s2 =
 list-all2 P (list.unfoldr g1 s1) (list.unfoldr g2 s2)

lemma list-all2-fusion-code [code]:
 list-all2-fusion P g1 g2 s1 s2 =
 (if list.has-next g1 s1 then
 list.has-next g2 s2 \wedge
 (let (x, s1') = list.next g1 s1;

```

      (y, s2') = list.next g2 s2
    in P x y ∧ list-all2-fusion P g1 g2 s1' s2')
  else ¬ list.has-next g2 s2)
unfolding list-all2-fusion-def
by(subst (1 2) list.unfoldr.simps)(simp add: split-beta)

declare list-all2-fusion-def[symmetric, code-unfold]

definition singleton-list-fusion :: ('a, 'state) generator ⇒ 'state ⇒ bool
where singleton-list-fusion gen state = (case list.unfoldr gen state of [-] ⇒ True |
- ⇒ False)

lemma singleton-list-fusion-code [code]:
  singleton-list-fusion g s ⟷
    list.has-next g s ∧ ¬ list.has-next g (snd (list.next g s))
by(auto 4 5 simp add: singleton-list-fusion-def split: list.split if-split-asm prod.splits
elim: list.unfoldr.elims dest: sym)

end

theory Lexicographic-Order imports
  List-Fusion
  HOL-Library.Char-ord
begin

hide-const (open) List.lexordp

```

2.4 List fusion for lexicographic order

context linorder **begin**

```

lemma lexordp-take-index-conv:
  lexordp xs ys ⟷
    (length xs < length ys ∧ take (length xs) ys = xs) ∨
    (∃ i < min (length xs) (length ys). take i xs = take i ys ∧ xs ! i < ys ! i)
  (is ?lhs = ?rhs)
proof
  assume ?lhs thus ?rhs
    by induct (auto 4 3 del: disjCI intro: disjI2 exI[where x=Suc i for i])
next
  assume ?rhs (is ?prefix ∨ ?less) thus ?lhs
  proof
    assume ?prefix
    hence ys = xs @ hd (drop (length xs) ys) # tl (drop (length xs) ys)
    by (metis append-Nil2 append-take-drop-id less-not-refl list.collapse)
    thus ?thesis unfolding lexordp-iff by blast
  next
    assume ?less

```

```

then obtain  $i$  where  $i < \min (\text{length } xs) (\text{length } ys)$ 
and  $\text{take } i \text{ } xs = \text{take } i \text{ } ys$  and  $\text{nth: } xs ! i < ys ! i$  by blast
hence  $xs = \text{take } i \text{ } xs @ xs ! i \# \text{drop } (\text{Suc } i) \text{ } xs$   $ys = \text{take } i \text{ } xs @ ys ! i \#$ 
 $\text{drop } (\text{Suc } i) \text{ } ys$ 
by  $-(\text{subst } \text{append-take-drop-id}[\text{symmetric}, \text{of } - i], \text{simp-all add: Cons-nth-drop-Suc})$ 
with  $\text{nth}$  show ?thesis unfolding lexordp-iff by blast
qed
qed

```

— *lexord* is extension of partial ordering *List.lex*

lemma *lexordp-lex*: $(xs, ys) \in \text{lex } \{(xs, ys). xs < ys\} \longleftrightarrow \text{lexordp } xs \text{ } ys \wedge \text{length } xs = \text{length } ys$

proof(*induct xs arbitrary: ys*)

case *Nil* **thus** *?case* **by** *clarsimp*

next

case *Cons* **thus** *?case* **by**(*cases ys*)(*simp-all, safe, simp*)

qed

end

2.4.1 Setup for list fusion

context *ord* **begin**

definition *lexord-fusion* :: $('a, 's1) \text{ generator} \Rightarrow ('a, 's2) \text{ generator} \Rightarrow 's1 \Rightarrow 's2 \Rightarrow \text{bool}$

where [*code del*]: $\text{lexord-fusion } g1 \text{ } g2 \text{ } s1 \text{ } s2 = \text{lexordp } (\text{list.unfoldr } g1 \text{ } s1) (\text{list.unfoldr } g2 \text{ } s2)$

definition *lexord-eq-fusion* :: $('a, 's1) \text{ generator} \Rightarrow ('a, 's2) \text{ generator} \Rightarrow 's1 \Rightarrow 's2 \Rightarrow \text{bool}$

where [*code del*]: $\text{lexord-eq-fusion } g1 \text{ } g2 \text{ } s1 \text{ } s2 = \text{lexordp-eq } (\text{list.unfoldr } g1 \text{ } s1) (\text{list.unfoldr } g2 \text{ } s2)$

lemma *lexord-fusion-code*:

$\text{lexord-fusion } g1 \text{ } g2 \text{ } s1 \text{ } s2 \longleftrightarrow$

(*if* *list.has-next* $g1 \text{ } s1$ *then*

if *list.has-next* $g2 \text{ } s2$ *then*

let $(x, s1') = \text{list.next } g1 \text{ } s1;$

$(y, s2') = \text{list.next } g2 \text{ } s2$

in $x < y \vee \neg y < x \wedge \text{lexord-fusion } g1 \text{ } g2 \text{ } s1' \text{ } s2'$

else *False*

else *list.has-next* $g2 \text{ } s2$)

unfolding *lexord-fusion-def*

by(*subst* (1 2) *list.unfoldr.simps*)(*auto split: prod.split-asm*)

lemma *lexord-eq-fusion-code*:

$\text{lexord-eq-fusion } g1 \text{ } g2 \text{ } s1 \text{ } s2 \longleftrightarrow$

(*list.has-next* $g1 \text{ } s1 \longrightarrow$

2.5. EVERY PARTIAL ORDER CAN BE EXTENDED TO A TOTAL ORDER 33

```

    list.has-next g2 s2 ∧
    (let (x, s1') = list.next g1 s1;
      (y, s2') = list.next g2 s2
      in x < y ∨ ¬ y < x ∧ lexord-eq-fusion g1 g2 s1' s2'))
unfolding lexord-eq-fusion-def
by(subst (1 2) list.unfoldr.simps)(auto split: prod.split-asm)

end

```

```

lemmas [code] =
  lexord-fusion-code ord.lexord-fusion-code
  lexord-eq-fusion-code ord.lexord-eq-fusion-code

```

```

lemmas [symmetric, code-unfold] =
  lexord-fusion-def ord.lexord-fusion-def
  lexord-eq-fusion-def ord.lexord-eq-fusion-def

```

end

```

theory Extend-Partial-Order
imports Main
begin

```

2.5 Every partial order can be extended to a total order

```

lemma ChainsD:  $\llbracket x \in C; C \in \text{Chains } r; y \in C \rrbracket \implies (x, y) \in r \vee (y, x) \in r$ 
by(simp add: Chains-def)

```

```

lemma Chains-Field:  $\llbracket C \in \text{Chains } r; x \in C \rrbracket \implies x \in \text{Field } r$ 
by(auto simp add: Chains-def Field-def)

```

```

lemma total-onD:
   $\llbracket \text{total-on } A \text{ } r; x \in A; y \in A \rrbracket \implies (x, y) \in r \vee x = y \vee (y, x) \in r$ 
unfolding total-on-def by blast

```

```

lemma linear-order-imp-linorder: linear-order  $\{(A, B). \text{leq } A \text{ } B\} \implies \text{class.linorder}$ 
  leq  $(\lambda x y. \text{leq } x \text{ } y \wedge \neg \text{leq } y \text{ } x)$ 
by(unfold-locales)(auto 4 4 simp add: linear-order-on-def partial-order-on-def pre-
  order-on-def dest: refl-onD antisymD transD total-onD)

```

```

lemma (in linorder) linear-order: linear-order  $\{(A, B). A \leq B\}$ 
by(auto simp add: linear-order-on-def partial-order-on-def preorder-on-def total-on-def
  intro: refl-onI antisymI transI)

```

```

definition order-consistent :: ('a × 'a) set  $\Rightarrow$  ('a × 'a) set  $\Rightarrow$  bool

```

where $\text{order-consistent } r \ s \longleftrightarrow (\forall a \ a'. (a, a') \in r \longrightarrow (a', a) \in s \longrightarrow a = a')$

lemma *order-consistent-sym*:

$\text{order-consistent } r \ s \implies \text{order-consistent } s \ r$

by(*auto simp add: order-consistent-def*)

lemma *antisym-order-consistent-self*:

$\text{antisym } r \implies \text{order-consistent } r \ r$

by(*auto simp add: order-consistent-def dest: antisymD*)

lemma *refl-on-trancl*:

assumes *refl-on A r*

shows *refl-on A (r⁺)*

proof(*rule refl-onI, safe del: conjI*)

fix *a b*

assume $(a, b) \in r^+$

thus $a \in A \wedge b \in A$

by *induct(blast intro: refl-onD1[OF assms] refl-onD2[OF assms])*

qed(*blast dest: refl-onD[OF assms]*)

lemma *total-on-refl-on-consistent-into*:

assumes *r: total-on A r refl-on A r*

and *consist: order-consistent r s*

and *x: x ∈ A and y: y ∈ A and s: (x, y) ∈ s*

shows $(x, y) \in r$

proof(*cases x = y*)

case *False*

with *r x y* **have** $(x, y) \in r \vee (y, x) \in r$ **unfolding** *total-on-def* **by** *blast*

thus *?thesis*

proof

assume $(y, x) \in r$

with *s consist* **have** $x = y$ **unfolding** *order-consistent-def* **by** *blast*

with *False* **show** *?thesis* **by** *contradiction*

qed

qed(*blast intro: refl-onD r x y*)

lemma *porder-linorder-tranclpE* [*consumes 5, case-names base step*]:

assumes *r: partial-order-on A r*

and *s: linear-order-on B s*

and *consist: order-consistent r s*

and *B-subset-A: B ⊆ A*

and *trancl: (x, y) ∈ (r ∪ s)⁺*

obtains $(x, y) \in r$

$\mid u \ v \text{ where } (x, u) \in r \quad (u, v) \in s \quad (v, y) \in r$

proof(*atomize-elim*)

from *r* **have** *refl-on A r trans r* **by**(*simp-all add: partial-order-on-def pre-order-on-def*)

from *s* **have** *refl-on B s total-on B s trans s*

2.5. EVERY PARTIAL ORDER CAN BE EXTENDED TO A TOTAL ORDER 35

```

by(simp-all add: partial-order-on-def preorder-on-def linear-order-on-def)

from trans show  $(x, y) \in r \vee (\exists u v. (x, u) \in r \wedge (u, v) \in s \wedge (v, y) \in r)$ 
proof(induction)
  case (base y)
  thus ?case
  proof
    assume  $(x, y) \in s$ 
    with  $\langle \text{refl-on } B \ s \rangle$  have  $x \in B \quad y \in B$ 
    by(blast dest: refl-onD1 refl-onD2)+
    with  $B\text{-subset-}A$  have  $x \in A \quad y \in A$  by blast+
    hence  $(x, x) \in r \quad (y, y) \in r$ 
    using  $\langle \text{refl-on } A \ r \rangle$  by(blast intro: refl-onD)+
    with  $\langle (x, y) \in s \rangle$  show ?thesis by blast
  qed(simp)
next
  case (step y z)
  from  $\langle (y, z) \in r \cup s \rangle$  show ?case
  proof
    assume  $(y, z) \in s$ 
    with  $\langle \text{refl-on } B \ s \rangle$  have  $y \in B \quad z \in B$ 
    by(blast dest: refl-onD2 refl-onD1)+
    from step.IH show ?thesis
    proof
      assume  $(x, y) \in r$ 
      moreover from  $\langle z \in B \rangle B\text{-subset-}A \langle \text{refl-on } A \ r \rangle$ 
      have  $(z, z) \in r$  by(blast dest: refl-onD)
      ultimately show ?thesis using  $\langle (y, z) \in s \rangle$  by blast
    end
  next
    assume  $\exists u v. (x, u) \in r \wedge (u, v) \in s \wedge (v, y) \in r$ 
    then obtain  $u v$  where  $(x, u) \in r \quad (u, v) \in s \quad (v, y) \in r$  by blast
    from  $\langle \text{refl-on } B \ s \rangle \langle (u, v) \in s \rangle$  have  $v \in B$  by(rule refl-onD2)
    with  $\langle \text{total-on } B \ s \rangle \langle \text{refl-on } B \ s \rangle$  order-consistent-sym[OF consist]
    have  $(v, y) \in s$  using  $\langle y \in B \rangle \langle (v, y) \in r \rangle$ 
    by(rule total-on-refl-on-consistent-into)
    with  $\langle \text{trans } s \rangle$  have  $(v, z) \in s$  using  $\langle (y, z) \in s \rangle$  by(rule transD)
    with  $\langle \text{trans } s \rangle \langle (u, v) \in s \rangle$  have  $(u, z) \in s$  by(rule transD)
    moreover from  $\langle z \in B \rangle B\text{-subset-}A$  have  $z \in A$  ..
    with  $\langle \text{refl-on } A \ r \rangle$  have  $(z, z) \in r$  by(rule refl-onD)
    ultimately show ?thesis using  $\langle (x, u) \in r \rangle$  by blast
  qed
next
  assume  $(y, z) \in r$ 
  with step.IH show ?thesis
  by(blast intro: transD[OF  $\langle \text{trans } r \rangle$ ])
qed
qed
qed
qed

```

```

lemma porder-on-consistent-linorder-on-trancl-antisym:
  assumes r: partial-order-on A r
  and s: linear-order-on B s
  and consist: order-consistent r s
  and B-subset-A: B  $\subseteq$  A
  shows antisym  $((r \cup s)^+)$ 
proof(rule antisymI)
  fix x y
  let ?rs =  $(r \cup s)^+$ 
  assume  $(x, y) \in ?rs$   $(y, x) \in ?rs$ 
  from r have antisym r trans r by(simp-all add: partial-order-on-def pre-
order-on-def)
  from s have total-on B s refl-on B s trans s antisym s
  by(simp-all add: partial-order-on-def preorder-on-def linear-order-on-def)

  from r s consist B-subset-A  $\langle (x, y) \in ?rs \rangle$ 
  show x = y
  proof(cases rule: porder-linorder-tranclpE)
    case base
    from r s consist B-subset-A  $\langle (y, x) \in ?rs \rangle$ 
    show ?thesis
  proof(cases rule: porder-linorder-tranclpE)
    case base
    with  $\langle antisym\ r \rangle \langle (x, y) \in r \rangle$  show ?thesis by(rule antisymD)
  next
  case (step u v)
  from  $\langle (v, x) \in r \rangle \langle (x, y) \in r \rangle \langle (y, u) \in r \rangle$  have  $(v, u) \in r$ 
  by(blast intro: transD[OF  $\langle trans\ r \rangle$ ])
  with consist have  $v = u$  using  $\langle (u, v) \in s \rangle$ 
  by(simp add: order-consistent-def)
  with  $\langle (y, u) \in r \rangle \langle (v, x) \in r \rangle$  have  $(y, x) \in r$ 
  by(blast intro: transD[OF  $\langle trans\ r \rangle$ ])
  with  $\langle antisym\ r \rangle \langle (x, y) \in r \rangle$  show ?thesis by(rule antisymD)
  qed
  next
  case (step u v)
  from r s consist B-subset-A  $\langle (y, x) \in ?rs \rangle$ 
  show ?thesis
  proof(cases rule: porder-linorder-tranclpE)
    case base
    from  $\langle (v, y) \in r \rangle \langle (y, x) \in r \rangle \langle (x, u) \in r \rangle$  have  $(v, u) \in r$ 
    by(blast intro: transD[OF  $\langle trans\ r \rangle$ ])
    with consist  $\langle (u, v) \in s \rangle$ 
    have  $u = v$  by(auto simp add: order-consistent-def)
    with  $\langle (v, y) \in r \rangle \langle (x, u) \in r \rangle$  have  $(x, y) \in r$ 
    by(blast intro: transD[OF  $\langle trans\ r \rangle$ ])
    with  $\langle antisym\ r \rangle$  show ?thesis using  $\langle (y, x) \in r \rangle$  by(rule antisymD)
  next
  case (step u' v')

```

2.5. EVERY PARTIAL ORDER CAN BE EXTENDED TO A TOTAL ORDER 37

```

note  $r\text{-into-}s = \text{total-on-refl-on-consistent-into}[OF \langle \text{total-on } B \ s \rangle \langle \text{refl-on } B \ s \rangle \text{order-consistent-sym}[OF \text{consist}]]$ 
from  $\langle \text{refl-on } B \ s \rangle \langle (u, v) \in s \rangle \langle (u', v') \in s \rangle$ 
have  $u \in B \quad v \in B \quad u' \in B \quad v' \in B$  by (blast dest: refl-onD1 refl-onD2)+
from  $\langle \text{trans } r \rangle \langle (v', x) \in r \rangle \langle (x, u) \in r \rangle$  have  $(v', u) \in r$  by (rule transD)
with  $\langle v' \in B \rangle \langle u \in B \rangle$  have  $(v', u) \in s$  by (rule r-into-s)
also note  $\langle (u, v) \in s \rangle$  also (transD[OF  $\langle \text{trans } s \rangle$ ])
from  $\langle \text{trans } r \rangle \langle (v, y) \in r \rangle \langle (y, u') \in r \rangle$  have  $(v, u') \in r$  by (rule transD)
with  $\langle v \in B \rangle \langle u' \in B \rangle$  have  $(v, u') \in s$  by (rule r-into-s)
finally (transD[OF  $\langle \text{trans } s \rangle$ ])
have  $v' = u'$  using  $\langle (u', v') \in s \rangle$  by (rule antisymD[OF  $\langle \text{antisym } s \rangle$ ])
moreover with  $\langle (v, u') \in s \rangle \langle (v', u) \in s \rangle$  have  $(v, u) \in s$ 
by (blast intro: transD[OF  $\langle \text{trans } s \rangle$ ])
with  $\langle \text{antisym } s \rangle \langle (u, v) \in s \rangle$  have  $u = v$  by (rule antisymD)
ultimately have  $(x, y) \in r \quad (y, x) \in r$ 
using  $\langle (x, u) \in r \rangle \langle (v, y) \in r \rangle \langle (y, u') \in r \rangle \langle (v', x) \in r \rangle$ 
by (blast intro: transD[OF  $\langle \text{trans } r \rangle$ ]) +
with  $\langle \text{antisym } r \rangle$  show ?thesis by (rule antisymD)
qed
qed
qed

```

lemma *porder-on-linorder-on-tranclp-porder-onI:*

```

assumes  $r$ : partial-order-on  $A \ r$ 
and  $s$ : linear-order-on  $B \ s$ 
and consist: order-consistent  $r \ s$ 
and subset:  $B \subseteq A$ 
shows partial-order-on  $A \ ((r \cup s)^\wedge +)$ 
unfolding partial-order-on-def preorder-on-def
proof (intro conjI)
let ?rs =  $r \cup s$ 
from  $r$  have refl-on  $A \ r$  by (simp add: partial-order-on-def preorder-on-def)
moreover from  $s$  have refl-on  $B \ s$ 
by (simp add: linear-order-on-def partial-order-on-def preorder-on-def)
ultimately have refl-on  $(A \cup B) \ ?rs$  by (rule refl-on-Un)
also from subset have  $A \cup B = A$  by blast
finally show refl-on  $A \ ((rs)^\wedge +)$  by (rule refl-on-trancl)

show trans  $((rs)^\wedge +)$  by (rule trans-trancl)

from  $r \ s$  consist subset show antisym  $((rs)^\wedge +)$ 
by (rule porder-on-consistent-linorder-on-trancl-antisym)
qed

```

lemma *porder-extend-to-linorder:*

```

assumes  $r$ : partial-order-on  $A \ r$ 
obtains  $s$  where linear-order-on  $A \ s$  order-consistent  $r \ s$ 
proof (atomize-elim)
define  $S$  where  $S = \{s. \text{partial-order-on } A \ s \wedge r \subseteq s\}$ 

```

```

from  $r$  have  $r\text{-in-}S$ :  $r \in S$  unfolding  $S\text{-def}$  by auto

have  $\exists y \in S. \forall x \in S. y \subseteq x \longrightarrow x = y$ 
proof(rule Zorn-Lemma2[rule-format])
  fix  $c$ 
  assume  $c \in \text{chains } S$ 
  hence  $c \subseteq S$  by(rule chainsD2)

  show  $\exists y \in S. \forall x \in c. x \subseteq y$ 
  proof(cases  $c = \{\}$ )
    case True
    with  $r\text{-in-}S$  show ?thesis by blast
  next
  case False
  then obtain  $s$  where  $s \in c$  by blast
  hence  $s$ : partial-order-on  $A$   $s$ 
  and  $r\text{-in-}s$ :  $r \subseteq s$ 
  using  $\langle c \subseteq S \rangle$  unfolding  $S\text{-def}$  by blast+

  have partial-order-on  $A$   $(\bigcup c)$ 
  unfolding partial-order-on-def preorder-on-def
  proof(intro conjI)
    show refl-on  $A$   $(\bigcup c)$ 
    proof(rule refl-onI[OF subsetI])
      fix  $x$ 
      assume  $x \in \bigcup c$ 
      then obtain  $X$  where  $X \in c$  and  $x \in X$  by blast
      from  $\langle X \in c \rangle \langle c \subseteq S \rangle$  have  $X \in S$  ..
      hence partial-order-on  $A$   $X$  unfolding  $S\text{-def}$  by simp
      with  $\langle x \in X \rangle$  show  $x \in A \times A$ 
      by(cases  $x$ )(auto simp add: partial-order-on-def preorder-on-def dest:
refl-onD1 refl-onD2)
    next
    fix  $x$ 
    assume  $x \in A$ 
    with  $s$  have  $(x, x) \in s$  unfolding partial-order-on-def preorder-on-def
      by(blast dest: refl-onD)
    with  $\langle s \in c \rangle$  show  $(x, x) \in \bigcup c$  by(rule UnionI)
  qed

  show antisym  $(\bigcup c)$ 
  proof(rule antisymI)
    fix  $x$   $y$ 
    assume  $(x, y) \in \bigcup c$   $(y, x) \in \bigcup c$ 
    then obtain  $X$   $Y$  where  $X \in c$   $Y \in c$   $(x, y) \in X$   $(y, x) \in Y$  by
blast
    from  $\langle X \in c \rangle \langle Y \in c \rangle \langle c \subseteq S \rangle$  have antisym  $X$  antisym  $Y$ 
    unfolding  $S\text{-def}$  by(auto simp add: partial-order-on-def)
    moreover from  $\langle c \in \text{chains } S \rangle \langle X \in c \rangle \langle Y \in c \rangle$ 

```

2.5. EVERY PARTIAL ORDER CAN BE EXTENDED TO A TOTAL ORDER 39

```

    have  $X \subseteq Y \vee Y \subseteq X$  by(rule chainsD)
    ultimately show  $x = y$  using  $\langle (x, y) \in X \rangle \langle (y, x) \in Y \rangle$ 
      by(auto dest: antisymD)
  qed

  show trans  $(\bigcup c)$ 
  proof(rule transI)
    fix  $x\ y\ z$ 
    assume  $(x, y) \in \bigcup c \quad (y, z) \in \bigcup c$ 
    then obtain  $X\ Y$  where  $X \in c \quad Y \in c \quad (x, y) \in X \quad (y, z) \in Y$  by
blast
    from  $\langle X \in c \rangle \langle Y \in c \rangle \langle c \subseteq S \rangle$  have trans  $X \quad trans\ Y$ 
      unfolding S-def by(auto simp add: partial-order-on-def preorder-on-def)
    from  $\langle c \in chains\ S \rangle \langle X \in c \rangle \langle Y \in c \rangle$ 
    have  $X \subseteq Y \vee Y \subseteq X$  by(rule chainsD)
    thus  $(x, z) \in \bigcup c$ 
    proof
      assume  $X \subseteq Y$ 
      with  $\langle trans\ Y \rangle \langle (x, y) \in X \rangle \langle (y, z) \in Y \rangle$ 
      have  $(x, z) \in Y$  by(blast dest: transD)
      with  $\langle Y \in c \rangle$  show ?thesis by(rule UnionI)
    next
      assume  $Y \subseteq X$ 
      with  $\langle trans\ X \rangle \langle (x, y) \in X \rangle \langle (y, z) \in Y \rangle$ 
      have  $(x, z) \in X$  by(blast dest: transD)
      with  $\langle X \in c \rangle$  show ?thesis by(rule UnionI)
    qed
  qed
  qed
  moreover
    have  $r \subseteq \bigcup c$  using r-in-s  $\langle s \in c \rangle$  by blast
    ultimately have  $\bigcup c \in S$  unfolding S-def by simp
    thus ?thesis by blast
  qed
  qed
  then obtain  $s$  where  $s \in S$  and y-max:  $\bigwedge t. \llbracket t \in S; s \subseteq t \rrbracket \implies s = t$  by blast

  have partial-order-on  $A\ s$  using  $\langle s \in S \rangle$ 
    unfolding S-def by simp
  moreover
    have r-in-s:  $r \subseteq s$  using  $\langle s \in S \rangle$  unfolding S-def by blast

  have total-on  $A\ s$ 
    unfolding total-on-def
  proof(intro strip)
    fix  $x\ y$ 
    assume  $x \in A \quad y \in A \quad x \neq y$ 
    show  $(x, y) \in s \vee (y, x) \in s$ 
    proof(rule ccontr)

```

```

assume  $\neg ?thesis$ 
hence  $xy: (x, y) \notin s \quad (y, x) \notin s$  by simp-all

define  $s'$  where  $s' = \{(a, b). a = x \wedge (b = y \vee b = x) \vee a = y \wedge b = x\}$ 
let  $?s' = (s \cup s')^+$ 
note  $\langle \text{partial-order-on } A \ s \rangle$ 
moreover have linear-order-on  $\{x, y\}$   $s'$  unfolding  $s'$ -def
  by(auto simp add: linear-order-on-def partial-order-on-def preorder-on-def
total-on-def intro: refl-onI transI antisymI)
moreover have order-consistent  $s \ s'$ 
  unfolding  $s'$ -def using  $xy$  unfolding order-consistent-def by blast
moreover have  $\{x, y\} \subseteq A$  using  $\langle x \in A \rangle \langle y \in A \rangle$  by blast
ultimately have partial-order-on  $A \ ?s'$ 
  by(rule porder-on-linorder-on-transclp-porder-onI)
moreover have  $r \subseteq ?s'$  using r-in-s by auto
ultimately have  $?s' \in S$  unfolding  $S$ -def by simp
moreover have  $s \subseteq ?s'$  by auto
ultimately have  $s = ?s'$  by(rule y-max)
moreover have  $(x, y) \in ?s'$  by(auto simp add: s'-def)
ultimately show False using  $\langle (x, y) \notin s \rangle$  by simp
qed
qed
ultimately have linear-order-on  $A \ s$  by(simp add: linear-order-on-def)
moreover have order-consistent  $r \ s$  unfolding order-consistent-def
proof(intro strip)
  fix  $a \ a'$ 
  assume  $(a, a') \in r \quad (a', a) \in s$ 
  from  $\langle (a, a') \in r \rangle$  have  $(a, a') \in s$  using r-in-s by blast
  with  $\langle \text{partial-order-on } A \ s \rangle \langle (a', a) \in s \rangle$ 
  show  $a = a'$  unfolding partial-order-on-def by(blast dest: antisymD)
qed
ultimately show  $\exists s. \text{linear-order-on } A \ s \wedge \text{order-consistent } r \ s$  by blast
qed

end

```

```

theory Set-Linorder
imports
  Containers-Auxiliary
  Lexicographic-Order
  Extend-Partial-Order
  HOL-Library.Cardinality
begin

```


2.6 An executable linear order on sets

2.6.1 Definition of the linear order

Extending finite and cofinite sets

Partition sets into finite and cofinite sets and distribute the rest arbitrarily such that complement switches between the two.

consts *infinite-complement-partition* :: 'a set set

specification (*infinite-complement-partition*)

finite-complement-partition: $\text{finite } (A :: 'a \text{ set}) \implies A \in \text{infinite-complement-partition}$

complement-partition: $\neg \text{finite } (UNIV :: 'a \text{ set})$

$\implies (A :: 'a \text{ set}) \in \text{infinite-complement-partition} \longleftrightarrow - A \notin \text{infinite-complement-partition}$

proof(*cases finite (UNIV :: 'a set)*)

case *False*

define *Field-r* **where** $\text{Field-r} = \{\mathcal{P} :: 'a \text{ set set}. (\forall C \in \mathcal{P}. - C \notin \mathcal{P}) \wedge (\forall A. \text{finite } A \longrightarrow A \in \mathcal{P})\}$

define *r* **where** $r = \{(\mathcal{P}1, \mathcal{P}2). \mathcal{P}1 \subseteq \mathcal{P}2 \wedge \mathcal{P}1 \in \text{Field-r} \wedge \mathcal{P}2 \in \text{Field-r}\}$

have *in-Field-r* [*simp*]: $\bigwedge \mathcal{P}. \mathcal{P} \in \text{Field-r} \longleftrightarrow (\forall C \in \mathcal{P}. - C \notin \mathcal{P}) \wedge (\forall A. \text{finite } A \longrightarrow A \in \mathcal{P})$

unfolding *Field-r-def* **by** *simp*

have *in-r* [*simp*]: $\bigwedge \mathcal{P}1 \ \mathcal{P}2. (\mathcal{P}1, \mathcal{P}2) \in r \longleftrightarrow \mathcal{P}1 \subseteq \mathcal{P}2 \wedge \mathcal{P}1 \in \text{Field-r} \wedge \mathcal{P}2 \in \text{Field-r}$

unfolding *r-def* **by** *simp*

have *Field-r* [*simp*]: $\text{Field } r = \text{Field-r}$ **by**(*auto simp add: Field-def Field-r-def*)

have *Partial-order r*

by(*auto simp add: Field-def r-def partial-order-on-def preorder-on-def intro!: refl-onI transI antisymI*)

moreover **have** $\exists \mathcal{B} \in \text{Field } r. \forall \mathcal{A} \in \mathfrak{C}. (\mathcal{A}, \mathcal{B}) \in r$ **if** $\mathfrak{C}: \mathfrak{C} \in \text{Chains } r$ **for** \mathfrak{C}

proof –

let $\mathcal{B} = \bigcup \mathfrak{C} \cup \{A. \text{finite } A\}$

have *: $\mathcal{B} \in \text{Field } r$ **using** *False* \mathfrak{C}

by *clarsimp(safe, drule (2) ChainsD, auto 4 4 dest: Chains-Field)*

hence $\bigwedge \mathcal{A}. \mathcal{A} \in \mathfrak{C} \implies (\mathcal{A}, \mathcal{B}) \in r$

using \mathfrak{C} **by**(*auto simp del: in-Field-r dest: Chains-Field*)

with * **show** $\exists \mathcal{B} \in \text{Field } r. \forall \mathcal{A} \in \mathfrak{C}. (\mathcal{A}, \mathcal{B}) \in r$ **by** *blast*

qed

ultimately **have** $\exists \mathcal{P} \in \text{Field } r. \forall \mathcal{A} \in \text{Field } r. (\mathcal{P}, \mathcal{A}) \in r \longrightarrow \mathcal{A} = \mathcal{P}$

by(*rule Zorns-po-lemma*)

then obtain \mathcal{P} **where** $\mathcal{P}: \mathcal{P} \in \text{Field } r$

and *max*: $\bigwedge \mathcal{A}. [\mathcal{A} \in \text{Field } r; (\mathcal{P}, \mathcal{A}) \in r] \implies \mathcal{A} = \mathcal{P}$ **by** *blast*

have $\forall A. \text{finite } A \longrightarrow A \in \mathcal{P}$ **using** \mathcal{P} **by** *simp*

moreover {

fix C

have $C \in \mathcal{P} \vee - C \in \mathcal{P}$

proof(*rule ccontr*)

assume $\neg ?thesis$

hence $C: C \notin \mathcal{P} \quad - \quad C \notin \mathcal{P}$ by *simp-all*
 let $?A = \text{insert } C \ \mathcal{P}$
 have $*$: $?A \in \text{Field } r$ using $C \ \mathcal{P}$ by *auto*
 hence $(\mathcal{P}, ?A) \in r$ using \mathcal{P} by *auto*
 with $*$ have $?A = \mathcal{P}$ by(*rule max*)
 thus *False* using C by *auto*
 qed
 hence $C \in \mathcal{P} \longleftrightarrow - \ C \notin \mathcal{P}$ using \mathcal{P} by *auto* }
 ultimately have $\exists \mathcal{P} :: 'a \text{ set set. } (\forall A. \text{finite } A \longrightarrow A \in \mathcal{P}) \wedge (\forall C. C \in \mathcal{P} \longleftrightarrow$
 $- \ C \notin \mathcal{P})$
 by *blast*
 thus *?thesis* by *metis*
 qed *auto*

lemma *not-in-complement-partition*:

$\neg \text{finite } (\text{UNIV} :: 'a \text{ set})$
 $\implies (A :: 'a \text{ set}) \notin \text{infinite-complement-partition} \longleftrightarrow - \ A \in \text{infinite-complement-partition}$
 by(*metis complement-partition*)

lemma *not-in-complement-partition-False*:

$\llbracket (A :: 'a \text{ set}) \in \text{infinite-complement-partition}; \neg \text{finite } (\text{UNIV} :: 'a \text{ set}) \rrbracket$
 $\implies - \ A \in \text{infinite-complement-partition} = \text{False}$
 by(*simp add: not-in-complement-partition*)

lemma *infinite-complement-partition-finite [simp]*:

$\text{finite } (\text{UNIV} :: 'a \text{ set}) \implies \text{infinite-complement-partition} = (\text{UNIV} :: 'a \text{ set set})$
 by(*auto intro: finite-subset finite-complement-partition*)

lemma *Compl-eq-empty-iff*: $- \ A = \{\} \longleftrightarrow A = \text{UNIV}$

by *auto*

A lexicographic-style order on finite subsets

context *ord* begin

definition *set-less-aux* :: $'a \text{ set} \Rightarrow 'a \text{ set} \Rightarrow \text{bool}$ (**infix** \sqsubset' 50)

where $A \sqsubset' B \longleftrightarrow \text{finite } A \wedge \text{finite } B \wedge (\exists y \in B - A. \forall z \in (A - B) \cup (B - A). y \leq z \wedge (z \leq y \longrightarrow y = z))$

definition *set-less-eq-aux* :: $'a \text{ set} \Rightarrow 'a \text{ set} \Rightarrow \text{bool}$ (**infix** \sqsubseteq' 50)

where $A \sqsubseteq' B \longleftrightarrow A \in \text{infinite-complement-partition} \wedge A = B \vee A \sqsubset' B$

lemma *set-less-aux-irrefl [iff]*: $\neg A \sqsubset' A$

by(*auto simp add: set-less-aux-def*)

lemma *set-less-eq-aux-refl [iff]*: $A \sqsubseteq' A \longleftrightarrow A \in \text{infinite-complement-partition}$

by(*simp add: set-less-eq-aux-def*)

lemma *set-less-aux-empty [simp]*: $\neg A \sqsubset' \{\}$

by(*auto simp add: set-less-aux-def intro: finite-subset finite-complement-partition*)

lemma *set-less-eq-aux-empty* [*simp*]: $A \sqsubseteq' \{\} \longleftrightarrow A = \{\}$
by(*auto simp add: set-less-eq-aux-def finite-complement-partition*)

lemma *set-less-aux-antisym*: $\llbracket A \sqsubset' B; B \sqsubset' A \rrbracket \implies \text{False}$
by(*auto simp add: set-less-aux-def split: if-split-asm*)

lemma *set-less-aux-conv-set-less-eq-aux*:
 $A \sqsubset' B \longleftrightarrow A \sqsubseteq' B \wedge \neg B \sqsubseteq' A$
by(*auto simp add: set-less-eq-aux-def dest: set-less-aux-antisym*)

lemma *set-less-eq-aux-antisym*: $\llbracket A \sqsubseteq' B; B \sqsubseteq' A \rrbracket \implies A = B$
by(*auto simp add: set-less-eq-aux-def dest: set-less-aux-antisym*)

lemma *set-less-aux-finiteD*: $A \sqsubset' B \implies \text{finite } A \wedge B \in \text{infinite-complement-partition}$
by(*auto simp add: set-less-aux-def finite-complement-partition*)

lemma *set-less-eq-aux-infinite-complement-partitionD*:
 $A \sqsubseteq' B \implies A \in \text{infinite-complement-partition} \wedge B \in \text{infinite-complement-partition}$
by(*auto simp add: set-less-eq-aux-def dest: set-less-aux-finiteD intro: finite-complement-partition*)

lemma *Compl-set-less-aux-Compl*:
 $\text{finite } (\text{UNIV} :: 'a \text{ set}) \implies \neg A \sqsubset' B \longleftrightarrow B \sqsubset' A$
by(*auto simp add: set-less-aux-def finite-subset[OF subset-UNIV]*)

lemma *Compl-set-less-eq-aux-Compl*:
 $\text{finite } (\text{UNIV} :: 'a \text{ set}) \implies \neg A \sqsubseteq' B \longleftrightarrow B \sqsubseteq' A$
by(*auto simp add: set-less-eq-aux-def Compl-set-less-aux-Compl*)

lemma *set-less-aux-insert-same*:
 $x \in A \longleftrightarrow x \in B \implies \text{insert } x A \sqsubset' \text{insert } x B \longleftrightarrow A \sqsubset' B$
by(*auto simp add: set-less-aux-def*)

lemma *set-less-eq-aux-insert-same*:
 $\llbracket A \in \text{infinite-complement-partition}; \text{insert } x B \in \text{infinite-complement-partition};$
 $x \in A \longleftrightarrow x \in B \rrbracket$
 $\implies \text{insert } x A \sqsubseteq' \text{insert } x B \longleftrightarrow A \sqsubseteq' B$
by(*auto simp add: set-less-eq-aux-def set-less-aux-insert-same*)

end

context *order* **begin**

lemma *set-less-aux-singleton-iff*: $A \sqsubset' \{x\} \longleftrightarrow \text{finite } A \wedge (\forall a \in A. x < a)$
by(*auto simp add: set-less-aux-def less-le Bex-def*)

end

context *linorder* **begin**

lemma *wlog-le* [*case-names sym le*]:

assumes $\bigwedge a b. P a b \implies P b a$

and $\bigwedge a b. a \leq b \implies P a b$

shows $P b a$

by (*metis assms linear*)

lemma *empty-set-less-aux* [*simp*]: $\{\} \sqsubset' A \longleftrightarrow A \neq \{\} \wedge \text{finite } A$

by(*auto 4 3 simp add: set-less-aux-def intro!: Min-eqI intro: bexI*[**where** $x = \text{Min } A$] *order-trans*[**where** $y = \text{Min } A$] *Min-in*)

lemma *empty-set-less-eq-aux* [*simp*]: $\{\} \sqsubseteq' A \longleftrightarrow \text{finite } A$

by(*auto simp add: set-less-eq-aux-def finite-complement-partition*)

lemma *set-less-aux-trans*:

assumes $AB: A \sqsubset' B$ **and** $BC: B \sqsubset' C$

shows $A \sqsubset' C$

proof –

from $AB\ BC$ **have** $A: \text{finite } A$ **and** $B: \text{finite } B$ **and** $C: \text{finite } C$

by(*auto simp add: set-less-aux-def*)

from $AB\ A\ B$ **obtain** ab **where** $ab: ab \in B - A$

and $\text{minAB}: \bigwedge x. \llbracket x \in A; x \notin B \rrbracket \implies ab \leq x \wedge (x \leq ab \longrightarrow ab = x)$

and $\text{minBA}: \bigwedge x. \llbracket x \in B; x \notin A \rrbracket \implies ab \leq x \wedge (x \leq ab \longrightarrow ab = x)$

unfolding *set-less-aux-def* **by** *auto*

from $BC\ B\ C$ **obtain** bc **where** $bc: bc \in C - B$

and $\text{minBC}: \bigwedge x. \llbracket x \in B; x \notin C \rrbracket \implies bc \leq x \wedge (x \leq bc \longrightarrow bc = x)$

and $\text{minCB}: \bigwedge x. \llbracket x \in C; x \notin B \rrbracket \implies bc \leq x \wedge (x \leq bc \longrightarrow bc = x)$

unfolding *set-less-aux-def* **by** *auto*

show *?thesis*

proof(*cases* $ab \leq bc$)

case *True*

hence $ab \in C - A$ $ab \notin A - C$

using $ab\ bc$ **by**(*auto dest: minBC antisym*)

moreover {

fix x

assume $x: x \in (C - A) \cup (A - C)$

hence $ab \leq x$

by(*cases* $x \in B$)(*auto dest: minAB minBA minBC minCB intro: order-trans*[*OF True*])

moreover **hence** $ab \neq x \longrightarrow \neg x \leq ab$ **using** $ab\ bc\ x$

by(*cases* $x \in B$)(*auto dest: antisym*)

moreover **note** *calculation* }

ultimately **show** *?thesis* **using** $A\ C$ **unfolding** *set-less-aux-def* **by** *auto*

next

case *False*

with *linear*[*of* $ab\ bc$] **have** $bc \leq ab$ **by** *simp*

with $ab\ bc$ **have** $bc \in C - A$ $bc \notin A - C$ **by**(*auto dest: minAB antisym*)

moreover {

```

fix  $x$ 
assume  $x: x \in (C - A) \cup (A - C)$ 
hence  $bc \leq x$ 
  by(cases  $x \in B$ )(auto dest: minAB minBA minBC minCB intro: or-
der-trans[OF  $\langle bc \leq ab \rangle$ ])
  moreover hence  $bc \neq x \longrightarrow \neg x \leq bc$  using  $ab \ bc \ x$ 
  by(cases  $x \in B$ )(auto dest: antisym)
  moreover note calculation }
ultimately show ?thesis using  $A \ C$  unfolding set-less-aux-def by auto
qed
qed

```

```

lemma set-less-eq-aux-trans [trans]:
   $\llbracket A \sqsubseteq' B; B \sqsubseteq' C \rrbracket \Longrightarrow A \sqsubseteq' C$ 
by(auto simp add: set-less-eq-aux-def dest: set-less-aux-trans)

```

```

lemma set-less-trans-set-less-eq [trans]:
   $\llbracket A \sqsubset' B; B \sqsubseteq' C \rrbracket \Longrightarrow A \sqsubset' C$ 
by(auto simp add: set-less-eq-aux-def dest: set-less-aux-trans)

```

```

lemma set-less-eq-aux-porder: partial-order-on infinite-complement-partition  $\{(A, B). A \sqsubseteq' B\}$ 
by(auto simp add: preorder-on-def partial-order-on-def intro!: refl-onI transI anti-
symI dest: set-less-eq-aux-infinite-complement-partitionD intro: set-less-eq-aux-antisym
set-less-eq-aux-trans del: equalityI)

```

```

lemma psubset-finite-imp-set-less-aux:
  assumes  $AsB: A \subset B$  and  $B: \text{finite } B$ 
  shows  $A \sqsubset' B$ 
proof –
  from  $AsB \ B$  have  $A: \text{finite } A$  by(auto intro: finite-subset)
  moreover from  $AsB \ B$  have  $\text{Min } (B - A) \in B - A$  by – (rule Min-in, auto)
  ultimately show ?thesis using  $B \ AsB$ 
  by(auto simp add: set-less-aux-def intro!: rev-bexI[where  $x = \text{Min } (B - A)$ ]
Min-eqI dest: Min-ge-iff[THEN iffD1, rotated 2])
qed

```

```

lemma subset-finite-imp-set-less-eq-aux:
   $\llbracket A \subseteq B; \text{finite } B \rrbracket \Longrightarrow A \sqsubseteq' B$ 
by(cases  $A = B$ )(auto simp add: set-less-eq-aux-def finite-complement-partition in-
tro: psubset-finite-imp-set-less-aux)

```

```

lemma empty-set-less-aux-finite-iff:
   $\text{finite } A \Longrightarrow \{\} \sqsubset' A \longleftrightarrow A \neq \{\}$ 
by(auto intro: psubset-finite-imp-set-less-aux)

```

```

lemma set-less-aux-finite-total:
  assumes  $A: \text{finite } A$  and  $B: \text{finite } B$ 
  shows  $A \sqsubset' B \vee A = B \vee B \sqsubset' A$ 

```

```

proof(cases  $A \subseteq B \vee B \subseteq A$ )
  case True thus ?thesis
    using  $A \ B \ \text{psubset-finite-imp-set-less-aux}$ [of  $A \ B$ ]  $\text{psubset-finite-imp-set-less-aux}$ [of  $B \ A$ ]
    by auto
  next
    case False
    hence  $A': \neg A \subseteq B$  and  $B': \neg B \subseteq A$  and  $AnB: A \neq B$  by auto
    thus ?thesis using  $A \ B$ 
    proof(induct  $\text{Min} (B - A)$   $\text{Min} (A - B)$  arbitrary: A B rule: wlog-le)
      case (sym m n)
      from  $\text{sym.hyps}$ [OF refl refl]  $\text{sym.prem}$ s show ?case by blast
    next
      case (le A B)
      note  $A = \langle \text{finite } A \rangle$  and  $B = \langle \text{finite } B \rangle$ 
      and  $A' = \langle \neg A \subseteq B \rangle$  and  $B' = \langle \neg B \subseteq A \rangle$ 
      { fix  $z$ 
        assume  $z: z \in (A - B) \cup (B - A)$ 
        hence  $\text{Min} (B - A) \leq z \wedge (z \leq \text{Min} (B - A) \longrightarrow \text{Min} (B - A) = z)$ 
        proof
          assume  $z \in B - A$ 
          hence  $\text{Min} (B - A) \leq z$  by(simp add: B)
          thus ?thesis by auto
        next
          assume  $z \in A - B$ 
          hence  $\text{Min} (A - B) \leq z$  by(simp add: A)
          with  $\text{le.hyps}$  show ?thesis by(auto)
        qed }
      moreover have  $\text{Min} (B - A) \in B - A$  by(rule Min-in)(simp-all add: B B')
      ultimately have  $A \sqsubset' B$  using  $A \ B$  by(auto simp add: set-less-aux-def)
      thus ?case ..
    qed
  qed

```

lemma *set-less-eq-aux-finite-total*:

$\llbracket \text{finite } A; \text{finite } B \rrbracket \implies A \sqsubseteq' B \vee A = B \vee B \sqsubseteq' A$
by(*drule (1) set-less-aux-finite-total*)(*auto simp add: set-less-eq-aux-def*)

lemma *set-less-eq-aux-finite-total2*:

$\llbracket \text{finite } A; \text{finite } B \rrbracket \implies A \sqsubseteq' B \vee B \sqsubseteq' A$
by(*drule (1) set-less-eq-aux-finite-total*)(*auto simp add: finite-complement-partition*)

lemma *set-less-aux-rec*:

assumes $A: \text{finite } A$ **and** $B: \text{finite } B$
and $A': A \neq \{\}$ **and** $B': B \neq \{\}$
shows $A \sqsubset' B \longleftrightarrow \text{Min } B < \text{Min } A \vee \text{Min } A = \text{Min } B \wedge A - \{\text{Min } A\} \sqsubset' B - \{\text{Min } A\}$
proof(*cases Min A = Min B*)
case *True*

```

from  $A \ A' \ B \ B'$  have  $\text{insert } (\text{Min } A) \ A = A \quad \text{insert } (\text{Min } B) \ B = B$ 
  by(auto simp add: ex-in-conv[symmetric] exI)
with True show ?thesis
  by(subst (2) set-less-aux-insert-same[symmetric, where  $x=\text{Min } A$ ]) simp-all
next
case False
have  $A \sqsubset' B \longleftrightarrow \text{Min } B < \text{Min } A$ 
proof
  assume  $AB: A \sqsubset' B$ 
  with  $B \ A$  obtain  $ab$  where  $ab: ab \in B - A$ 
  and  $AB: \bigwedge x. \llbracket x \in A; x \notin B \rrbracket \implies ab \leq x$ 
  by(auto simp add: set-less-aux-def)
  { fix  $a$  assume  $a \in A$ 
    hence  $\text{Min } B \leq a$  using  $A \ A' \ B \ B' \ ab$ 
    by(cases  $a \in B$ )(auto intro: order-trans[where  $y=ab$ ] dest: AB) }
  hence  $\text{Min } B \leq \text{Min } A$  using  $A \ A'$  by simp
  with False show  $\text{Min } B < \text{Min } A$  using False by auto
next
  assume  $\text{Min } B < \text{Min } A$ 
  hence  $\forall z \in A - B \cup (B - A). \text{Min } B \leq z \wedge (z \leq \text{Min } B \longrightarrow \text{Min } B = z)$ 
  using  $A \ B \ A' \ B'$  by(auto 4 4 intro: Min-in Min-eqI dest: bspec bspec[where  $x=\text{Min } B$ ])
  moreover have  $\text{Min } B \notin A$  using  $\langle \text{Min } B < \text{Min } A \rangle$  by (metis A Min-le not-less)
  ultimately show  $A \sqsubset' B$  using  $A \ B \ A' \ B'$  by(simp add: set-less-aux-def bexI[where  $x=\text{Min } B$ ])
qed
thus ?thesis using False by simp
qed

```

lemma *set-less-eq-aux-rec*:

```

assumes finite A finite B  $A \neq \{\}$   $B \neq \{\}$ 
shows  $A \sqsubseteq' B \longleftrightarrow \text{Min } B < \text{Min } A \vee \text{Min } A = \text{Min } B \wedge A - \{\text{Min } A\} \sqsubseteq' B - \{\text{Min } A\}$ 
proof(cases  $A = B$ )
  case True thus ?thesis using assms by(simp add: finite-complement-partition)
next
case False
moreover
hence  $\text{Min } A = \text{Min } B \implies A - \{\text{Min } A\} \neq B - \{\text{Min } B\}$ 
  by (metis (lifting) assms Min-in insert-Diff)
ultimately show ?thesis using set-less-aux-rec[OF assms]
  by(simp add: set-less-eq-aux-def cong: conj-cong)
qed

```

lemma *set-less-aux-Min-antimono*:

```

 $\llbracket \text{Min } A < \text{Min } B; \text{finite } A; \text{finite } B; A \neq \{\} \rrbracket \implies B \sqsubset' A$ 
using set-less-aux-rec[of B A]
by(cases  $B = \{\}$ )(simp-all add: empty-set-less-aux-finite-iff)

```

lemma *sorted-Cons-Min*: $\text{sorted } (x \# xs) \implies \text{Min } (\text{insert } x \text{ (set } xs)) = x$
by(*auto simp add: intro: Min-eqI*)

lemma *set-less-aux-code*:
 $\llbracket \text{sorted } xs; \text{distinct } xs; \text{sorted } ys; \text{distinct } ys \rrbracket$
 $\implies \text{set } xs \sqsubseteq' \text{set } ys \longleftrightarrow \text{ord.lexordp } (>) \text{ } xs \text{ } ys$
apply(*induct xs ys rule: list-induct2'*)
apply(*simp-all add: empty-set-less-aux-finite-iff sorted-Cons-Min set-less-aux-rec*
neq-Nil-conv)
apply(*auto cong: conj-cong*)
done

lemma *set-less-eq-aux-code*:
assumes *sorted xs distinct xs sorted ys distinct ys*
shows $\text{set } xs \sqsubseteq' \text{set } ys \longleftrightarrow \text{ord.lexordp-eq } (>) \text{ } xs \text{ } ys$
proof –
have *dual: class.linorder* $(\geq) (>)$
by(*rule linorder.dual-linorder*) *unfold-locales*
from *assms show ?thesis*
by(*auto simp add: set-less-eq-aux-def finite-complement-partition linorder.lexordp-eq-conv-lexord[OF*
dual] *set-less-aux-code intro: sorted-distinct-set-unique*)
qed
end

Extending (\sqsubseteq') to have $\{\}$ as least element

context *ord* **begin**

definition *set-less-eq-aux'* :: $'a \text{ set} \Rightarrow 'a \text{ set} \Rightarrow \text{bool}$ (**infix** $\langle \sqsubseteq' \rangle$ 50)
where $A \sqsubseteq'' B \longleftrightarrow A \sqsubseteq' B \vee A = \{\} \wedge B \in \text{infinite-complement-partition}$

lemma *set-less-eq-aux'-refl*:
 $A \sqsubseteq'' A \longleftrightarrow A \in \text{infinite-complement-partition}$
by(*auto simp add: set-less-eq-aux'-def*)

lemma *set-less-eq-aux'-antisym*: $\llbracket A \sqsubseteq'' B; B \sqsubseteq'' A \rrbracket \implies A = B$
by(*auto simp add: set-less-eq-aux'-def intro: set-less-eq-aux-antisym del: equalityI*)

lemma *set-less-eq-aux'-infinite-complement-partitionD*:
 $A \sqsubseteq'' B \implies A \in \text{infinite-complement-partition} \wedge B \in \text{infinite-complement-partition}$
by(*auto simp add: set-less-eq-aux'-def intro: finite-complement-partition dest: set-less-eq-aux-infinite-com*)

lemma *empty-set-less-eq-def* [*simp*]: $\{\} \sqsubseteq'' B \longleftrightarrow B \in \text{infinite-complement-partition}$
by(*auto simp add: set-less-eq-aux'-def dest: set-less-eq-aux-infinite-complement-partitionD*)

end

context *linorder* **begin**

lemma *set-less-eq-aux'-trans*: $\llbracket A \sqsubseteq'' B; B \sqsubseteq'' C \rrbracket \implies A \sqsubseteq'' C$

by(*auto simp add: set-less-eq-aux'-def del: equalityI intro: set-less-eq-aux-trans dest: set-less-eq-aux-infinite-complement-partitionD*)

lemma *set-less-eq-aux'-porder*: *partial-order-on infinite-complement-partition* $\{(A, B). A \sqsubseteq'' B\}$

by(*auto simp add: partial-order-on-def preorder-on-def intro!: refl-onI transI anti-symI dest: set-less-eq-aux'-antisym set-less-eq-aux'-infinite-complement-partitionD simp add: set-less-eq-aux'-refl intro: set-less-eq-aux'-trans*)

end

Extend (\sqsubseteq'') **to a total order on** *infinite-complement-partition*

context *ord* **begin**

definition *set-less-eq-aux''* :: '*a set* \Rightarrow '*a set* \Rightarrow bool (infix $\langle \sqsubseteq'''' \rangle$ 50)

where *set-less-eq-aux''* =

(*SOME* *sleq*.

(*linear-order-on UNIV* $\{(a, b). a \leq b\} \longrightarrow$ *linear-order-on infinite-complement-partition* $\{(A, B). sleq\ A\ B\}) \wedge$ *order-consistent* $\{(A, B). A \sqsubseteq'' B\} \{(A, B). sleq\ A\ B\}$)

lemma *set-less-eq-aux''-spec*:

shows *linear-order* $\{(a, b). a \leq b\} \implies$ *linear-order-on infinite-complement-partition* $\{(A, B). A \sqsubseteq''' B\}$

(*is PROP ?thesis1*)

and *order-consistent* $\{(A, B). A \sqsubseteq'' B\} \{(A, B). A \sqsubseteq''' B\}$ (*is ?thesis2*)

proof –

let $?P = \lambda sleq. (linear-order\ \{(a, b). a \leq b\} \longrightarrow linear-order-on\ infinite-complement-partition\ \{(A, B). sleq\ A\ B\}) \wedge$

order-consistent $\{(A, B). A \sqsubseteq'' B\} \{(A, B). sleq\ A\ B\}$

have *Ex ?P*

proof(*cases linear-order* $\{(a, b). a \leq b\}$)

case *False*

have *antisym* $\{(a, b). a \sqsubseteq'' b\}$

by (*rule antisymI*) (*simp add: set-less-eq-aux'-antisym*)

then show *?thesis* **using** *False*

by (*auto intro: antisym-order-consistent-self*)

next

case *True*

hence *partial-order-on infinite-complement-partition* $\{(A, B). A \sqsubseteq'' B\}$

by(*rule linorder.set-less-eq-aux'-porder*[*OF linear-order-imp-linorder*])

then obtain *s* **where** *linear-order-on infinite-complement-partition* *s*

and *order-consistent* $\{(A, B). A \sqsubseteq'' B\}$ *s* **by**(*rule porder-extend-to-linorder*)

thus *?thesis* **by**(*auto intro: exI*[**where** $x = \lambda A\ B. (A, B) \in s$])

qed

hence *?P* (*Eps ?P*) **by**(*rule someI-ex*)

```

    thus PROP ?thesis1 ?thesis2 by(simp-all add: set-less-eq-aux''-def)
qed

end

context linorder begin

lemma set-less-eq-aux''-linear-order:
  linear-order-on infinite-complement-partition {(A, B). A  $\sqsubseteq'''$  B}
by(rule set-less-eq-aux''-spec)(rule linear-order)

lemma set-less-eq-aux''-refl [iff]: A  $\sqsubseteq'''$  A  $\longleftrightarrow$  A  $\in$  infinite-complement-partition
using set-less-eq-aux''-linear-order
by(auto simp add: linear-order-on-def partial-order-on-def preorder-on-def dest:
  refl-onD refl-onD1)

lemma set-less-eq-aux'-into-set-less-eq-aux'':
  assumes A  $\sqsubseteq''$  B
  shows A  $\sqsubseteq'''$  B
proof(rule ccontr)
  assume nleq:  $\neg$  ?thesis
  moreover from assms have A: A  $\in$  infinite-complement-partition
  and B: B  $\in$  infinite-complement-partition
  by(auto dest: set-less-eq-aux'-infinite-complement-partitionD)
  with set-less-eq-aux''-linear-order have A  $\sqsubseteq'''$  B  $\vee$  A = B  $\vee$  B  $\sqsubseteq'''$  A
  by(auto simp add: linear-order-on-def dest: total-onD)
  ultimately have B  $\sqsubseteq'''$  A using B by auto
  with assms have A = B using set-less-eq-aux''-spec(2)
  by(simp add: order-consistent-def)
  with A nleq show False by simp
qed

lemma finite-set-less-eq-aux''-finite:
  assumes finite A and finite B
  shows A  $\sqsubseteq'''$  B  $\longleftrightarrow$  A  $\sqsubseteq''$  B
proof
  assume A  $\sqsubseteq'''$  B
  from assms have A  $\sqsubseteq'$  B  $\vee$  B  $\sqsubseteq'$  A by(rule set-less-eq-aux-finite-total2)
  hence A  $\sqsubseteq''$  B  $\vee$  B  $\sqsubseteq''$  A by(auto simp add: set-less-eq-aux'-def)
  thus A  $\sqsubseteq''$  B
proof
  assume B  $\sqsubseteq''$  A
  hence B  $\sqsubseteq'''$  A by(rule set-less-eq-aux'-into-set-less-eq-aux'')
  with  $\langle A \sqsubseteq''' B \rangle$  set-less-eq-aux''-linear-order have A = B
  by(auto simp add: linear-order-on-def partial-order-on-def dest: antisymD)
  thus ?thesis using assms by(simp add: finite-complement-partition set-less-eq-aux'-def)
qed
qed(rule set-less-eq-aux'-into-set-less-eq-aux'')

```

lemma *set-less-eq-aux''-finite:*

finite (UNIV :: 'a set) \implies set-less-eq-aux'' = set-less-eq-aux

by(*auto simp add: fun-eq-iff finite-set-less-eq-aux''-finite set-less-eq-aux'-def finite-subset[OF subset-UNIV]*)

lemma *set-less-eq-aux''-antisym:*

$\llbracket A \sqsubseteq''' B; B \sqsubseteq''' A;$

$A \in \text{infinite-complement-partition}; B \in \text{infinite-complement-partition} \rrbracket$

$\implies A = B$

using *set-less-eq-aux''-linear-order*

by(*auto simp add: linear-order-on-def partial-order-on-def dest: antisymD del: equalityI*)

lemma *set-less-eq-aux''-trans:* $\llbracket A \sqsubseteq''' B; B \sqsubseteq''' C \rrbracket \implies A \sqsubseteq''' C$

using *set-less-eq-aux''-linear-order*

by(*auto simp add: linear-order-on-def partial-order-on-def preorder-on-def dest: transD*)

lemma *set-less-eq-aux''-total:*

$\llbracket A \in \text{infinite-complement-partition}; B \in \text{infinite-complement-partition} \rrbracket$

$\implies A \sqsubseteq''' B \vee B \sqsubseteq''' A$

using *set-less-eq-aux''-linear-order*

by(*auto simp add: linear-order-on-def dest: total-onD*)

end

Extend (\sqsubseteq''') **to cofinite sets**

context *ord* **begin**

definition *set-less-eq* :: *'a set \Rightarrow 'a set \Rightarrow bool* (**infix** $\langle \sqsubseteq \rangle$ 50)

where

$A \sqsubseteq B \longleftrightarrow$

(*if* $A \in \text{infinite-complement-partition}$ *then* $A \sqsubseteq''' B \vee B \notin \text{infinite-complement-partition}$
else $B \notin \text{infinite-complement-partition} \wedge - B \sqsubseteq''' - A$)

definition *set-less* :: *'a set \Rightarrow 'a set \Rightarrow bool* (**infix** $\langle \sqsubset \rangle$ 50)

where $A \sqsubset B \longleftrightarrow A \sqsubseteq B \wedge \neg B \sqsubseteq A$

lemma *set-less-eq-def2:*

$A \sqsubseteq B \longleftrightarrow$

(*if* *finite* (*UNIV* :: *'a set*) *then* $A \sqsubseteq''' B$

else if $A \in \text{infinite-complement-partition}$ *then* $A \sqsubseteq''' B \vee B \notin \text{infinite-complement-partition}$

else $B \notin \text{infinite-complement-partition} \wedge - B \sqsubseteq''' - A$)

by(*simp add: set-less-eq-def*)

end

context *linorder* **begin**

lemma *set-less-eq-refl* [*iff*]: $A \sqsubseteq A$
by(*auto simp add: set-less-eq-def2 not-in-complement-partition*)

lemma *set-less-eq-antisym*: $\llbracket A \sqsubseteq B; B \sqsubseteq A \rrbracket \implies A = B$
by(*auto simp add: set-less-eq-def2 set-less-eq-aux''-finite not-in-complement-partition not-in-complement-partition-False del: equalityI split: if-split-asm dest: set-less-eq-aux-antisym set-less-eq-aux''-antisym*)

lemma *set-less-eq-trans*: $\llbracket A \sqsubseteq B; B \sqsubseteq C \rrbracket \implies A \sqsubseteq C$
by(*auto simp add: set-less-eq-def split: if-split-asm intro: set-less-eq-aux''-trans*)

lemma *set-less-eq-total*: $A \sqsubseteq B \vee B \sqsubseteq A$
by(*auto simp add: set-less-eq-def2 set-less-eq-aux''-finite not-in-complement-partition not-in-complement-partition-False intro: set-less-eq-aux-finite-total2 finite-subset[OF subset-UNIV] del: disjCI dest: set-less-eq-aux''-total*)

lemma *set-less-eq-linorder*: *class.linorder* (\sqsubseteq) (\sqsubset)
by(*unfold-locales*)(*auto simp add: set-less-def set-less-eq-antisym set-less-eq-total intro: set-less-eq-trans*)

lemma *set-less-eq-conv-set-less*: $\text{set-less-eq } A B \longleftrightarrow A = B \vee \text{set-less } A B$
by(*auto simp add: set-less-def del: equalityI dest: set-less-eq-antisym*)

lemma *Compl-set-less-eq-Compl*: $\neg A \sqsubseteq \neg B \longleftrightarrow B \sqsubseteq A$
by(*auto simp add: set-less-eq-def2 not-in-complement-partition-False not-in-complement-partition set-less-eq-aux''-finite Compl-set-less-eq-aux-Compl*)

lemma *Compl-set-less-Compl*: $\neg A \sqsubset \neg B \longleftrightarrow B \sqsubset A$
by(*simp add: set-less-def Compl-set-less-eq-Compl*)

lemma *set-less-eq-finite-iff*: $\llbracket \text{finite } A; \text{finite } B \rrbracket \implies A \sqsubseteq B \longleftrightarrow A \sqsubseteq' B$
by(*auto simp add: set-less-eq-def finite-complement-partition set-less-eq-aux'-def finite-set-less-eq-aux''-finite*)

lemma *set-less-finite-iff*: $\llbracket \text{finite } A; \text{finite } B \rrbracket \implies A \sqsubset B \longleftrightarrow A \sqsubset' B$
by(*simp add: set-less-def set-less-aux-conv-set-less-eq-aux set-less-eq-finite-iff*)

lemma *infinite-set-less-eq-Complement*:
 $\llbracket \text{finite } A; \text{finite } B; \neg \text{finite } (\text{UNIV} :: 'a \text{ set}) \rrbracket \implies A \sqsubseteq \neg B$
by(*simp add: set-less-eq-def finite-complement-partition not-in-complement-partition*)

lemma *infinite-set-less-Complement*:
 $\llbracket \text{finite } A; \text{finite } B; \neg \text{finite } (\text{UNIV} :: 'a \text{ set}) \rrbracket \implies A \sqsubset \neg B$
by(*auto simp add: set-less-def dest: set-less-eq-antisym intro: infinite-set-less-eq-Complement*)

lemma *infinite-Complement-set-less-eq*:
 $\llbracket \text{finite } A; \text{finite } B; \neg \text{finite } (\text{UNIV} :: 'a \text{ set}) \rrbracket \implies \neg \neg A \sqsubseteq B$
using *infinite-set-less-eq-Complement[of A B] Compl-set-less-eq-Compl[of A $\neg B$]*

by(*auto dest: set-less-eq-antisym*)

lemma *infinite-Complement-set-less*:

$\llbracket \text{finite } A; \text{finite } B; \neg \text{finite } (UNIV :: 'a \text{ set}) \rrbracket \implies \neg - A \sqsubset B$

using *infinite-Complement-set-less-eq*[*of A B*]

by(*simp add: set-less-def*)

lemma *empty-set-less-eq* [*iff*]: $\{\} \sqsubseteq A$

by(*auto simp add: set-less-eq-def finite-complement-partition intro: set-less-eq-aux'-into-set-less-eq-aux''*)

lemma *set-less-eq-empty* [*iff*]: $A \sqsubseteq \{\} \longleftrightarrow A = \{\}$

by (*metis empty-set-less-eq set-less-eq-antisym*)

lemma *empty-set-less-iff* [*iff*]: $\{\} \sqsubset A \longleftrightarrow A \neq \{\}$

by(*simp add: set-less-def*)

lemma *not-set-less-empty* [*simp*]: $\neg A \sqsubset \{\}$

by(*simp add: set-less-def*)

lemma *set-less-eq-UNIV* [*iff*]: $A \sqsubseteq UNIV$

using *Compl-set-less-eq-Compl*[*of - A {}*] **by** *simp*

lemma *UNIV-set-less-eq* [*iff*]: $UNIV \sqsubseteq A \longleftrightarrow A = UNIV$

using *Compl-set-less-eq-Compl*[*of {} - A*]

by(*simp add: Compl-eq-empty-iff*)

lemma *set-less-UNIV-iff* [*iff*]: $A \sqsubset UNIV \longleftrightarrow A \neq UNIV$

by(*simp add: set-less-def*)

lemma *not-UNIV-set-less* [*simp*]: $\neg UNIV \sqsubset A$

by(*simp add: set-less-def*)

end

2.6.2 Implementation based on sorted lists

type-synonym *'a proper-interval* = *'a option* \Rightarrow *'a option* \Rightarrow *bool*

class *proper-intrvl* = *ord* +

fixes *proper-interval* :: *'a proper-interval*

class *proper-interval* = *proper-intrvl* +

assumes *proper-interval-simps*:

proper-interval *None None* = *True*

proper-interval *None (Some y)* = $(\exists z. z < y)$

proper-interval *(Some x) None* = $(\exists z. x < z)$

proper-interval *(Some x) (Some y)* = $(\exists z. x < z \wedge z < y)$

context *proper-intrvl* **begin**

function *set-less-eq-aux-Compl* :: 'a option \Rightarrow 'a list \Rightarrow 'a list \Rightarrow bool
where
set-less-eq-aux-Compl ao [] ys \longleftrightarrow True
| *set-less-eq-aux-Compl* ao xs [] \longleftrightarrow True
| *set-less-eq-aux-Compl* ao (x # xs) (y # ys) \longleftrightarrow
(if x < y then *proper-interval* ao (Some x) \vee *set-less-eq-aux-Compl* (Some x) xs
(y # ys)
else if y < x then *proper-interval* ao (Some y) \vee *set-less-eq-aux-Compl* (Some y)
(x # xs) ys
else *proper-interval* ao (Some y))
by(pat-completeness) simp-all
termination by(lexicographic-order)

fun *Compl-set-less-eq-aux* :: 'a option \Rightarrow 'a list \Rightarrow 'a list \Rightarrow bool
where
Compl-set-less-eq-aux ao [] [] \longleftrightarrow \neg *proper-interval* ao None
| *Compl-set-less-eq-aux* ao [] (y # ys) \longleftrightarrow \neg *proper-interval* ao (Some y) \wedge *Compl-set-less-eq-aux*
(Some y) [] ys
| *Compl-set-less-eq-aux* ao (x # xs) [] \longleftrightarrow \neg *proper-interval* ao (Some x) \wedge *Compl-set-less-eq-aux*
(Some x) xs []
| *Compl-set-less-eq-aux* ao (x # xs) (y # ys) \longleftrightarrow
(if x < y then \neg *proper-interval* ao (Some x) \wedge *Compl-set-less-eq-aux* (Some x)
xs (y # ys)
else if y < x then \neg *proper-interval* ao (Some y) \wedge *Compl-set-less-eq-aux* (Some
y) (x # xs) ys
else \neg *proper-interval* ao (Some y))

fun *set-less-aux-Compl* :: 'a option \Rightarrow 'a list \Rightarrow 'a list \Rightarrow bool **where**
set-less-aux-Compl ao [] [] \longleftrightarrow *proper-interval* ao None
| *set-less-aux-Compl* ao [] (y # ys) \longleftrightarrow *proper-interval* ao (Some y) \vee *set-less-aux-Compl*
(Some y) [] ys
| *set-less-aux-Compl* ao (x # xs) [] \longleftrightarrow *proper-interval* ao (Some x) \vee *set-less-aux-Compl*
(Some x) xs []
| *set-less-aux-Compl* ao (x # xs) (y # ys) \longleftrightarrow
(if x < y then *proper-interval* ao (Some x) \vee *set-less-aux-Compl* (Some x) xs (y
ys)
else if y < x then *proper-interval* ao (Some y) \vee *set-less-aux-Compl* (Some y) (x
xs) ys
else *proper-interval* ao (Some y))

function *Compl-set-less-aux* :: 'a option \Rightarrow 'a list \Rightarrow 'a list \Rightarrow bool **where**
Compl-set-less-aux ao [] ys \longleftrightarrow False
| *Compl-set-less-aux* ao xs [] \longleftrightarrow False
| *Compl-set-less-aux* ao (x # xs) (y # ys) \longleftrightarrow
(if x < y then \neg *proper-interval* ao (Some x) \wedge *Compl-set-less-aux* (Some x) xs
(y # ys)
else if y < x then \neg *proper-interval* ao (Some y) \wedge *Compl-set-less-aux* (Some y)
(x # xs) ys

```

    else  $\neg$  proper-interval ao (Some y))
  by pat-completeness simp-all
  termination by lexicographic-order

end

lemmas [code] =
  proper-intrvl.set-less-eq-aux-Compl.simps
  proper-intrvl.set-less-aux-Compl.simps
  proper-intrvl.Compl-set-less-eq-aux.simps
  proper-intrvl.Compl-set-less-aux.simps

class linorder-proper-interval = linorder + proper-interval
begin

theorem assumes fin: finite (UNIV :: 'a set)
  and xs: sorted xs    distinct xs
  and ys: sorted ys    distinct ys
  shows set-less-eq-aux-Compl2-conv-set-less-eq-aux-Compl:
    set xs  $\sqsubseteq'$  set ys  $\longleftrightarrow$  set-less-eq-aux-Compl None xs ys (is ?Compl2)
  and Compl1-set-less-eq-aux-conv-Compl-set-less-eq-aux:
    set xs  $\sqsubseteq'$  set ys  $\longleftrightarrow$  Compl-set-less-eq-aux None xs ys (is ?Compl1)
proof -
  note fin' [simp] = finite-subset[OF subset-UNIV fin]

  define above where above = case-option UNIV (Collect  $\circ$  less)
  have above-simps [simp]: above None = UNIV  $\wedge$   $\wedge$  x. above (Some x) = {y. x < y}
  and above-upclosed:  $\wedge$  x y ao.  $\llbracket x \in \text{above } ao; x < y \rrbracket \implies y \in \text{above } ao$ 
  and proper-interval-Some2:  $\wedge$  x ao. proper-interval ao (Some x)  $\longleftrightarrow$  ( $\exists z \in \text{above } ao. z < x$ )
  and proper-interval-None2:  $\wedge$  ao. proper-interval ao None  $\longleftrightarrow$  above ao  $\neq \{\}$ 
  by (simp-all add: proper-interval-simps above-def split: option.splits)

  { fix ao x A B
    assume ex: proper-interval ao (Some x)
    and A:  $\forall a \in A. x \leq a$ 
    and B:  $\forall b \in B. x \leq b$ 
    from ex obtain z where z-ao: z  $\in$  above ao and z: z < x
    by (auto simp add: proper-interval-Some2)
    with A have A-eq: A  $\cap$  above ao = A
    by (auto simp add: above-upclosed)
    from z-ao z B have B-eq: B  $\cap$  above ao = B
    by (auto simp add: above-upclosed)
    define w where w = Min (above ao)
    with z-ao have w  $\leq$  z  $\wedge$   $\forall z \in \text{above } ao. w \leq z$   $\wedge$  w  $\in$  above ao
    by (auto simp add: Min-le-iff intro: Min-in)
    hence A  $\cap$  above ao  $\sqsubseteq'$  ( $- B$ )  $\cap$  above ao (is ?lhs  $\sqsubseteq'$  ?rhs)
    using A B z by (auto simp add: set-less-aux-def intro!: bexI[where x=w])
  }
```

```

hence  $A \sqsubseteq' ?rhs$  unfolding  $A\text{-eq}$  by ( $\text{simp add: set-less-eq-aux-def}$ )
moreover
from  $z\text{-ao } z A B$  have  $z \in - A \cap \text{above } ao \quad z \notin B$  by auto
hence  $\text{neg: } - A \cap \text{above } ao \neq B \cap \text{above } ao$  by auto
have  $\neg - A \cap \text{above } ao \sqsubseteq' B \cap \text{above } ao$  (is  $\neg ?lhs' \sqsubseteq' ?rhs'$ )
using  $A B z z\text{-ao}$  by ( $\text{force simp add: set-less-aux-def not-less dest: bspec}$  [where
 $x=z$ ])
with  $\text{neg}$  have  $\neg ?lhs' \sqsubseteq' B$  unfolding  $B\text{-eq}$  by ( $\text{auto simp add: set-less-eq-aux-def}$ )
moreover note calculation }
note  $\text{proper-interval-set-less-eqI} = \text{this}(1)$ 
and  $\text{proper-interval-not-set-less-eq-auxI} = \text{this}(2)$ 

{ fix  $ao$ 
assume  $\text{set } xs \cup \text{set } ys \subseteq \text{above } ao$ 
with  $xs \ ys$ 
have  $\text{set-less-eq-aux-Compl } ao \ xs \ ys \longleftrightarrow \text{set } xs \sqsubseteq' (- \text{set } ys) \cap \text{above } ao$ 
proof ( $\text{induction } ao \ xs \ ys$  rule: set-less-eq-aux-Compl.induct)
  case 1 thus ?case by simp
next
  case 2 thus ?case by ( $\text{auto intro: subset-finite-imp-set-less-eq-aux}$ )
next
  case (3  $ao \ x \ xs \ y \ ys$ )
  note  $ao = \langle \text{set } (x \# xs) \cup \text{set } (y \# ys) \rangle \subseteq \text{above } ao$ 
  hence  $x\text{-ao: } x \in \text{above } ao$  and  $y\text{-ao: } y \in \text{above } ao$  by simp-all
  note  $yys = \langle \text{sorted } (y \# ys) \rangle \langle \text{distinct } (y \# ys) \rangle$ 
  hence  $ys: \text{sorted } ys \quad \text{distinct } ys$  and  $y\text{-Min: } \forall y' \in \text{set } ys. y < y'$ 
  by ( $\text{auto simp add: less-le}$ )
  note  $xxs = \langle \text{sorted } (x \# xs) \rangle \langle \text{distinct } (x \# xs) \rangle$ 
  hence  $xs: \text{sorted } xs \quad \text{distinct } xs$  and  $x\text{-Min: } \forall x' \in \text{set } xs. x < x'$ 
  by ( $\text{auto simp add: less-le}$ )
  let  $?lhs = \text{set } (x \# xs)$  and  $?rhs = - \text{set } (y \# ys) \cap \text{above } ao$ 
  show ?case
  proof (cases  $x < y$ )
    case True
    show ?thesis
    proof (cases  $\text{proper-interval } ao$  (Some  $x$ ))
      case True
      hence  $?lhs \sqsubseteq' ?rhs$  using  $x\text{-Min } y\text{-Min } \langle x < y \rangle$ 
      by ( $\text{auto intro!: proper-interval-set-less-eqI}$ )
      with True show ?thesis using  $\langle x < y \rangle$  by simp
    next
    case False
    have  $\text{set } xs \cup \text{set } (y \# ys) \subseteq \text{above } (\text{Some } x)$  using True  $x\text{-Min } y\text{-Min}$ 
by auto
    with True  $xs \ ys$ 
    have  $IH: \text{set-less-eq-aux-Compl } (\text{Some } x) \ xs \ (y \# ys) =$ 
       $(\text{set } xs \sqsubseteq' - \text{set } (y \# ys) \cap \text{above } (\text{Some } x))$ 
      by ( $\text{rule } 3.IH$ )
    from True  $y\text{-Min } x\text{-ao}$  have  $x \in - \text{set } (y \# ys) \cap \text{above } ao$  by auto

```



```

    hence ?rhs ≠ {} by blast
    moreover have Min ?lhs = x using x-Min x-ao by (auto intro!: Min-eqI)
    moreover have Min ?rhs = x using ⟨x < y⟩ y-Min x-ao False
      by (auto intro!: Min-eqI simp add: proper-interval-Some2)
    moreover have set xs = set xs - {x}
      using ao x-Min by auto
    moreover have - set (y # ys) ∩ above (Some x) = - set (y # ys) ∩
above ao - {x}
      using False x-ao by (auto simp add: proper-interval-Some2 intro:
above-upclosed)
    ultimately show ?thesis using True False IH
      by (simp del: set-simps)(subst (2) set-less-eq-aux-rec, simp-all add: x-ao)
  qed
next
case False
show ?thesis
proof (cases y < x)
  case True
  show ?thesis
  proof (cases proper-interval ao (Some y))
    case True
    hence ?lhs ⊆' ?rhs using x-Min y-Min ⟨¬ x < y⟩
      by (auto intro!: proper-interval-set-less-eqI)
    with True show ?thesis using ⟨¬ x < y⟩ by simp
  next
  case False
  have set (x # xs) ∪ set ys ⊆ above (Some y)
    using ⟨y < x⟩ x-Min y-Min by auto
  with ⟨¬ x < y⟩ ⟨y < x⟩ xxs ys
  have IH: set-less-eq-aux-Compl (Some y) (x # xs) ys =
    (set (x # xs) ⊆' - set ys ∩ above (Some y))
    by (rule 3.IH)
  moreover have - set ys ∩ above (Some y) = ?rhs
  using y-ao False by (auto intro: above-upclosed simp add: proper-interval-Some2)
  ultimately show ?thesis using ⟨¬ x < y⟩ True False by simp
  qed
next
case False with ⟨¬ x < y⟩ have x = y by auto
{ assume proper-interval ao (Some y)
  hence ?lhs ⊆' ?rhs using x-Min y-Min ⟨x = y⟩
    by (auto intro!: proper-interval-set-less-eqI) }
moreover
{ assume ?lhs ⊆' ?rhs
  moreover have ?lhs ≠ ?rhs
  proof
    assume eq: ?lhs = ?rhs
    have x ∈ ?lhs using x-ao by simp
    also note eq also note ⟨x = y⟩
    finally show False by simp
  }

```

```

      qed
      ultimately obtain  $z$  where  $z \in \text{above } ao$   $z < y$  using  $\langle x = y \rangle y\text{-}ao$ 
      by(fastforce simp add: set-less-eq-aux-def set-less-aux-def not-le dest!:
bspec[where  $x=y$ ])
      hence proper-interval  $ao$  (Some  $y$ ) by(auto simp add: proper-interval-Some2)
    }
    ultimately show ?thesis using  $\langle x = y \rangle \langle \neg x < y \rangle \langle \neg y < x \rangle$  by auto
  qed
qed
qed }
from this[of None] show ?Compl2 by simp

{ fix  $ao$ 
  assume set  $xs \cup set\ ys \subseteq \text{above } ao$ 
  with  $xs\ ys$ 
  have Compl-set-less-eq-aux  $ao\ xs\ ys \longleftrightarrow (\neg set\ xs) \cap \text{above } ao \sqsubseteq' set\ ys$ 
  proof(induction  $ao\ xs\ ys$  rule: Compl-set-less-eq-aux.induct)
    case 1 thus ?case by(simp add: proper-interval-None2)
  next
    case (2  $ao\ y\ ys$ )
    from  $\langle \text{sorted } (y \# ys) \rangle \langle \text{distinct } (y \# ys) \rangle$ 
    have  $ys$ : sorted  $ys$  distinct  $ys$  and  $y\text{-}Min$ :  $\forall y' \in set\ ys. y < y'$ 
    by(auto simp add: less-le)
    show ?case
    proof(cases proper-interval  $ao$  (Some  $y$ ))
      case True
      hence  $\neg \neg set\ [] \cap \text{above } ao \sqsubseteq' set\ (y \# ys)$  using  $y\text{-}Min$ 
      by  $\neg$ (erule proper-interval-not-set-less-eq-auxI, auto)
      thus ?thesis using True by simp
    next
      case False
      note  $ao = \langle set\ [] \cup set\ (y \# ys) \subseteq \text{above } ao \rangle$ 
      hence  $y\text{-}ao$ :  $y \in \text{above } ao$  by simp
      from  $ao\ y\text{-}Min$  have  $set\ [] \cup set\ ys \subseteq \text{above } (Some\ y)$  by auto
      with  $\langle \text{sorted } [] \rangle \langle \text{distinct } [] \rangle ys$ 
      have Compl-set-less-eq-aux (Some  $y$ )  $[]\ ys \longleftrightarrow \neg set\ [] \cap \text{above } (Some\ y)$ 
       $\sqsubseteq' set\ ys$ 
      by(rule 2.IH)
      moreover have  $\text{above } ao \neq \{\}$  using  $y\text{-}ao$  by auto
      moreover have  $Min\ (\text{above } ao) = y$ 
      and  $Min\ (set\ (y \# ys)) = y$ 
      using  $y\text{-}ao$  False  $ao$  by(auto intro!: Min-eqI simp add: proper-interval-Some2
not-less)
      moreover have  $\text{above } ao - \{y\} = \text{above } (Some\ y)$  using False  $y\text{-}ao$ 
      by(auto simp add: proper-interval-Some2 intro: above-upclosed)
      moreover have  $set\ ys - \{y\} = set\ ys$ 
      using  $y\text{-}Min\ y\text{-}ao$  by(auto)
      ultimately show ?thesis using False  $y\text{-}ao$ 
      by(simp)(subst (2) set-less-eq-aux-rec, simp-all)
    }
  }

```

```

qed
next
case (3 ao x xs)
from ⟨sorted (x # xs)⟩ ⟨distinct (x # xs)⟩
have xs: sorted xs    distinct xs and x-Min:  $\forall x' \in \text{set } xs. x < x'$ 
  by(auto simp add: less-le)
show ?case
proof(cases proper-interval ao (Some x))
  case True
then obtain z where z ∈ above ao    z < x by(auto simp add: proper-interval-Some2)
  hence z ∈ - set (x # xs) ∩ above ao using x-Min by auto
  thus ?thesis using True by auto
next
case False
note ao = ⟨set (x # xs) ∪ set [] ⊆ above ao⟩
hence x-ao: x ∈ above ao by simp
from ao have set xs ∪ set [] ⊆ above (Some x) using x-Min by auto
with xs ⟨sorted []⟩ ⟨distinct []⟩
have Compl-set-less-eq-aux (Some x) xs []  $\longleftrightarrow$ 
  - set xs ∩ above (Some x)  $\sqsubseteq'$  set []
  by(rule 3.IH)
moreover have - set (x # xs) ∩ above ao = - set xs ∩ above (Some x)
using False x-ao by(auto simp add: proper-interval-Some2 intro: above-upclosed)
ultimately show ?thesis using False by simp
qed
next
case (4 ao x xs y ys)
note ao = ⟨set (x # xs) ∪ set (y # ys) ⊆ above ao⟩
hence x-ao: x ∈ above ao and y-ao: y ∈ above ao by simp-all
note xxs = ⟨sorted (x # xs)⟩ ⟨distinct (x # xs)⟩
hence xs: sorted xs    distinct xs and x-Min:  $\forall x' \in \text{set } xs. x < x'$ 
  by(auto simp add: less-le)
note yys = ⟨sorted (y # ys)⟩ ⟨distinct (y # ys)⟩
hence ys: sorted ys    distinct ys and y-Min:  $\forall y' \in \text{set } ys. y < y'$ 
  by(auto simp add: less-le)
let ?lhs = - set (x # xs) ∩ above ao and ?rhs = set (y # ys)
show ?case
proof(cases x < y)
  case True
  show ?thesis
  proof(cases proper-interval ao (Some x))
    case True
    hence  $\neg ?lhs \sqsubseteq' ?rhs$  using x-Min y-Min ⟨x < y⟩
      by -(erule proper-interval-not-set-less-eq-auxI, auto)
    thus ?thesis using True ⟨x < y⟩ by simp
  next
  case False
  have set xs ∪ set (y # ys) ⊆ above (Some x)
    using ao x-Min y-Min True by auto

```

```

with True xs yys
have Compl-set-less-eq-aux (Some x) xs (y # ys)  $\longleftrightarrow$ 
  - set xs  $\cap$  above (Some x)  $\sqsubseteq'$  set (y # ys)
  by(rule 4.IH)
moreover have - set xs  $\cap$  above (Some x) = ?lhs
using x-ao False by(auto intro: above-upclosed simp add: proper-interval-Some2)
ultimately show ?thesis using False True by simp
qed
next
case False
show ?thesis
proof(cases y < x)
  case True
  show ?thesis
  proof(cases proper-interval ao (Some y))
    case True
    hence  $\neg$  ?lhs  $\sqsubseteq'$  ?rhs using x-Min y-Min  $\langle y < x \rangle$ 
    by -(erule proper-interval-not-set-less-eq-auxI, auto)
    thus ?thesis using True  $\langle y < x \rangle$   $\langle \neg x < y \rangle$  by simp
  next
  case False
  from ao True x-Min y-Min
  have set (x # xs)  $\cup$  set ys  $\subseteq$  above (Some y) by auto
  with  $\langle \neg x < y \rangle$  True xxs ys
  have Compl-set-less-eq-aux (Some y) (x # xs) ys  $\longleftrightarrow$ 
    - set (x # xs)  $\cap$  above (Some y)  $\sqsubseteq'$  set ys
    by(rule 4.IH)
  moreover have y  $\in$  ?lhs using True x-Min y-ao by auto
  hence ?lhs  $\neq \{\}$  by auto
  moreover have Min ?lhs = y using True False x-Min y-ao
  by(auto intro!: Min-eqI simp add: not-le not-less proper-interval-Some2)
  moreover have Min ?rhs = y using y-Min y-ao by(auto intro!: Min-eqI)
  moreover have - set (x # xs)  $\cap$  above (Some y) = ?lhs - {y}
  using y-ao False by(auto intro: above-upclosed simp add: proper-interval-Some2)
  moreover have set ys = set ys - {y}
  using y-ao y-Min by(auto intro: above-upclosed)
  ultimately show ?thesis using True False  $\langle \neg x < y \rangle$  y-ao
  by(simp)(subst (2) set-less-eq-aux-rec, simp-all)
qed
next
case False
with  $\langle \neg x < y \rangle$  have x = y by auto
{ assume proper-interval ao (Some y)
  hence  $\neg$  ?lhs  $\sqsubseteq'$  ?rhs using x-Min y-Min  $\langle x = y \rangle$ 
  by -(erule proper-interval-not-set-less-eq-auxI, auto) }
moreover
{ assume  $\neg$  ?lhs  $\sqsubseteq'$  ?rhs
  also have ?rhs = set (y # ys)  $\cap$  above ao
  using ao by auto

```

```

    finally obtain  $z$  where  $z \in \text{above } ao \quad z < y$ 
    using  $\langle x = y \rangle \text{ } x\text{-}ao \text{ } x\text{-}Min[\text{unfolded } Ball\text{-}def]$ 
    by(fastforce simp add: set-less-eq-aux-def set-less-aux-def simp add:
less-le not-le dest!: bspec[where  $x=y$ ])
    hence proper-interval  $ao$  (Some  $y$ )
    by(auto simp add: proper-interval-Some2) }
    ultimately show ?thesis using  $\langle x = y \rangle$  by auto
  qed
qed
qed }
from this[of None] show ?Compl1 by simp
qed

```

lemma *set-less-aux-Compl-iff*:

set-less-aux-Compl $ao \text{ } xs \text{ } ys \longleftrightarrow \text{set-less-eq-aux-Compl } ao \text{ } xs \text{ } ys \wedge \neg \text{Compl-set-less-eq-aux}$
 $ao \text{ } ys \text{ } xs$
 by(induct $ao \text{ } xs \text{ } ys$ rule: set-less-aux-Compl.induct)(auto simp add: not-less-iff-gr-or-eq)

lemma *Compl-set-less-aux-Compl-iff*:

Compl-set-less-aux $ao \text{ } xs \text{ } ys \longleftrightarrow \text{Compl-set-less-eq-aux } ao \text{ } xs \text{ } ys \wedge \neg \text{set-less-eq-aux-Compl}$
 $ao \text{ } ys \text{ } xs$
 by(induct $ao \text{ } xs \text{ } ys$ rule: Compl-set-less-aux.induct)(auto simp add: not-less-iff-gr-or-eq)

theorem *assumes* fin : finite ($UNIV :: 'a \text{ set}$)

and xs : sorted $xs \quad distinct \text{ } xs$

and ys : sorted $ys \quad distinct \text{ } ys$

shows *set-less-aux-Compl2-conv-set-less-aux-Compl*:

$set \text{ } xs \sqsubset' - set \text{ } ys \longleftrightarrow \text{set-less-aux-Compl } None \text{ } xs \text{ } ys$ (*is* ?Compl2)

and *Compl1-set-less-aux-conv-Compl-set-less-aux*:

$- set \text{ } xs \sqsubset' set \text{ } ys \longleftrightarrow \text{Compl-set-less-aux } None \text{ } xs \text{ } ys$ (*is* ?Compl1)

using *assms*

by(simp-all only: set-less-aux-conv-set-less-eq-aux set-less-aux-Compl-iff Compl-set-less-aux-Compl-iff
 set-less-eq-aux-Compl2-conv-set-less-eq-aux-Compl Compl1-set-less-eq-aux-conv-Compl-set-less-eq-aux)

end

2.6.3 Implementation of proper intervals for sets

definition *length-last* :: $'a \text{ list} \Rightarrow \text{nat} \times 'a$

where *length-last* $xs = (\text{length } xs, \text{last } xs)$

lemma *length-last-Nil* [*code*]: *length-last* $[] = (0, \text{undefined})$

by(simp add: length-last-def last-def)

lemma *length-last-Cons-code* [*symmetric*, *code*]:

$\text{fold } (\lambda x \text{ } (n, -) . (n + 1, x)) \text{ } xs \text{ } (1, x) = \text{length-last } (x \# xs)$

by(induct xs rule: rev-induct)(simp-all add: length-last-def)

context *proper-interval* **begin**

```

fun exhaustive-above :: 'a  $\Rightarrow$  'a list  $\Rightarrow$  bool where
  exhaustive-above x []  $\longleftrightarrow$   $\neg$  proper-interval (Some x) None
| exhaustive-above x (y # ys)  $\longleftrightarrow$   $\neg$  proper-interval (Some x) (Some y)  $\wedge$  exhaus-
  tive-above y ys

```

```

fun exhaustive :: 'a list  $\Rightarrow$  bool where
  exhaustive [] = False
| exhaustive (x # xs)  $\longleftrightarrow$   $\neg$  proper-interval None (Some x)  $\wedge$  exhaustive-above x
  xs

```

```

fun proper-interval-set-aux :: 'a list  $\Rightarrow$  'a list  $\Rightarrow$  bool
where
  proper-interval-set-aux xs []  $\longleftrightarrow$  False
| proper-interval-set-aux [] (y # ys)  $\longleftrightarrow$  ys  $\neq$  []  $\vee$  proper-interval (Some y) None
| proper-interval-set-aux (x # xs) (y # ys)  $\longleftrightarrow$ 
  (if x < y then False
   else if y < x then proper-interval (Some y) (Some x)  $\vee$  ys  $\neq$  []  $\vee$   $\neg$  exhaus-
     tive-above x xs
   else proper-interval-set-aux xs ys)

```

```

fun proper-interval-set-Compl-aux :: 'a option  $\Rightarrow$  nat  $\Rightarrow$  'a list  $\Rightarrow$  'a list  $\Rightarrow$  bool
where
  proper-interval-set-Compl-aux ao n [] []  $\longleftrightarrow$ 
    CARD('a) > n + 1
| proper-interval-set-Compl-aux ao n [] (y # ys)  $\longleftrightarrow$ 
  (let m = CARD('a) - n; (len-y, y') = length-last (y # ys)
   in m  $\neq$  len-y  $\wedge$  (m = len-y + 1  $\longrightarrow$   $\neg$  proper-interval (Some y') None))
| proper-interval-set-Compl-aux ao n (x # xs) []  $\longleftrightarrow$ 
  (let m = CARD('a) - n; (len-x, x') = length-last (x # xs)
   in m  $\neq$  len-x  $\wedge$  (m = len-x + 1  $\longrightarrow$   $\neg$  proper-interval (Some x') None))
| proper-interval-set-Compl-aux ao n (x # xs) (y # ys)  $\longleftrightarrow$ 
  (if x < y then
    proper-interval ao (Some x)  $\vee$ 
    proper-interval-set-Compl-aux (Some x) (n + 1) xs (y # ys)
  else if y < x then
    proper-interval ao (Some y)  $\vee$ 
    proper-interval-set-Compl-aux (Some y) (n + 1) (x # xs) ys
  else proper-interval ao (Some x)  $\wedge$ 
    (let m = card (UNIV :: 'a set) - n in m - length ys  $\neq$  2  $\vee$  m - length xs  $\neq$ 
      2))

```

```

fun proper-interval-Compl-set-aux :: 'a option  $\Rightarrow$  'a list  $\Rightarrow$  'a list  $\Rightarrow$  bool
where
  proper-interval-Compl-set-aux ao (x # xs) (y # ys)  $\longleftrightarrow$ 
  (if x < y then
     $\neg$  proper-interval ao (Some x)  $\wedge$ 
    proper-interval-Compl-set-aux (Some x) xs (y # ys)
  else if y < x then

```

```

    ¬ proper-interval ao (Some y) ∧
    proper-interval-Compl-set-aux (Some y) (x # xs) ys
  else ¬ proper-interval ao (Some x) ∧ (ys = [] → xs ≠ [])
| proper-interval-Compl-set-aux ao - - ↔ False

```

end

```

lemmas [code] =
  proper-intrvl.exhaustive-above.simps
  proper-intrvl.exhaustive.simps
  proper-intrvl.proper-interval-set-aux.simps
  proper-intrvl.proper-interval-set-Compl-aux.simps
  proper-intrvl.proper-interval-Compl-set-aux.simps

```

context linorder-proper-interval **begin**

lemma exhaustive-above-iff:

$\llbracket \text{sorted } xs; \text{ distinct } xs; \forall x' \in \text{set } xs. x < x' \rrbracket \implies \text{exhaustive-above } x \text{ } xs \longleftrightarrow \text{set } xs = \{z. z > x\}$

proof(induction x xs rule: exhaustive-above.induct)

case 1 **thus** ?case **by**(simp add: proper-interval-simps)

next

case (2 x y ys)

from $\langle \text{sorted } (y \# ys) \rangle \langle \text{distinct } (y \# ys) \rangle$

have ys: sorted ys distinct ys **and** y: $\forall y' \in \text{set } ys. y < y'$

by(auto simp add: less-le)

hence exhaustive-above y ys = (set ys = $\{z. y < z\}$) **by**(rule 2.IH)

moreover from $\langle \forall y' \in \text{set } (y \# ys). x < y' \rangle$ **have** $x < y$ **by** simp

ultimately show ?case **using** y

by(fastforce simp add: proper-interval-simps)

qed

lemma exhaustive-correct:

assumes sorted xs distinct xs

shows exhaustive xs $\longleftrightarrow \text{set } xs = \text{UNIV}$

proof(cases xs)

case Nil **thus** ?thesis **by** auto

next

case Cons

show ?thesis **using** assms **unfolding** Cons exhaustive.simps

apply(subst exhaustive-above-iff)

apply(auto simp add: less-le proper-interval-simps not-less intro: order-antisym)

done

qed

theorem proper-interval-set-aux:

assumes fin: finite (UNIV :: 'a set)

and xs: sorted xs distinct xs

and ys: sorted ys distinct ys

```

shows proper-interval-set-aux xs ys  $\longleftrightarrow$  ( $\exists A. \text{set } xs \sqsubset' A \wedge A \sqsubset' \text{set } ys$ )
proof -
  note [simp] = finite-subset[OF subset-UNIV fin]
  show ?thesis using xs ys
  proof(induction xs ys rule: proper-interval-set-aux.induct)
    case 1 thus ?case by simp
  next
    case (2 y ys)
    hence  $\forall y' \in \text{set } ys. y < y'$  by(auto simp add: less-le)
    thus ?case
      by(cases ys)(auto simp add: proper-interval-simps set-less-aux-singleton-iff
intro: psubset-finite-imp-set-less-aux)
  next
    case (3 x xs y ys)
    from  $\langle \text{sorted } (x \# xs) \rangle \langle \text{distinct } (x \# xs) \rangle$ 
    have xs: sorted xs distinct xs and x:  $\forall x' \in \text{set } xs. x < x'$ 
      by(auto simp add: less-le)
    from  $\langle \text{sorted } (y \# ys) \rangle \langle \text{distinct } (y \# ys) \rangle$ 
    have ys: sorted ys distinct ys and y:  $\forall y' \in \text{set } ys. y < y'$ 
      by(auto simp add: less-le)
    have Minxxs:  $\text{Min } (\text{set } (x \# xs)) = x$  and xnxx:  $x \notin \text{set } xs$ 
      using x by(auto intro!: Min-eqI)
    have Minyyys:  $\text{Min } (\text{set } (y \# ys)) = y$  and ynyys:  $y \notin \text{set } ys$ 
      using y by(auto intro!: Min-eqI)
    show ?case
    proof(cases x < y)
      case True
      hence set (y # ys)  $\sqsubset'$  set (x # xs) using Minxxs Minyyys
        by -(rule set-less-aux-Min-antimono, simp-all)
      thus ?thesis using True by(auto dest: set-less-aux-trans set-less-aux-antisym)
    next
      case False
      show ?thesis
      proof(cases y < x)
        case True
        { assume proper-interval (Some y) (Some x)
          then obtain z where z:  $y < z \quad z < x$  by(auto simp add: proper-interval-simps)
          hence set (x # xs)  $\sqsubset'$  {z} using x
            by -(rule set-less-aux-Min-antimono, auto)
          moreover have {z}  $\sqsubset'$  set (y # ys) using z y Minyyys
            by -(rule set-less-aux-Min-antimono, auto)
          ultimately have  $\exists A. \text{set } (x \# xs) \sqsubset' A \wedge A \sqsubset' \text{set } (y \# ys)$  by blast }
        moreover
        { assume ys  $\neq \square$ 
          hence {y}  $\sqsubset'$  set (y # ys) using y
            by -(rule psubset-finite-imp-set-less-aux, auto simp add: neq-Nil-conv)
          moreover have set (x # xs)  $\sqsubset'$  {y} using False True x
            by -(rule set-less-aux-Min-antimono, auto)
          ultimately have  $\exists A. \text{set } (x \# xs) \sqsubset' A \wedge A \sqsubset' \text{set } (y \# ys)$  by blast }
        }
      case False
      show ?thesis
    next
      case (4 x xs y ys)
      show ?case
    next
      case (5 x xs y ys)
      show ?case
    next
      case (6 x xs y ys)
      show ?case
  qed

```



```

moreover
{ assume  $\neg$  exhaustive-above  $x$   $xs$ 
  then obtain  $z$  where  $z: z > x$   $z \notin \text{set } xs$  using  $x$ 
    by(auto simp add: exhaustive-above-iff[OF xs x])
  let  $?A = \text{insert } z (\text{set } (x \# xs))$ 
  have  $\text{set } (x \# xs) \sqsubset' ?A$  using  $z$ 
    by  $\neg$ (rule psubset-finite-imp-set-less-aux, auto)
  moreover have  $?A \sqsubset' \text{set } (y \# ys)$  using Minyys False True z x
    by  $\neg$ (rule set-less-aux-Min-antimono, auto)
  ultimately have  $\exists A. \text{set } (x \# xs) \sqsubset' A \wedge A \sqsubset' \text{set } (y \# ys)$  by blast }
moreover
{ fix  $A$ 
  assume  $A: \text{set } (x \# xs) \sqsubset' A$  and  $A': A \sqsubset' \{y\}$ 
    and  $pi: \neg$  proper-interval (Some y) (Some x)
  from  $A$  have empty: A ≠ {} by auto
  have  $y \notin A$ 
  proof
    assume  $y \in A$ 
    moreover with  $A'$  have  $A \neq \{y\}$  by auto
    ultimately have  $\{y\} \sqsubset' A$  by  $\neg$ (rule psubset-finite-imp-set-less-aux,
auto)
    with  $A'$  show False by(rule set-less-aux-antisym)
  qed
  have  $y < \text{Min } A$  unfolding not-le[symmetric]
  proof
    assume  $\text{Min } A \leq y$ 
    moreover have  $\text{Min } A \neq y$  using  $\langle y \notin A \rangle$  empty by clarsimp
    ultimately have  $\text{Min } A < \text{Min } \{y\}$  by simp
  hence  $\{y\} \sqsubset' A$  by(rule set-less-aux-Min-antimono)(simp-all add: empty)
    with  $A'$  show False by(rule set-less-aux-antisym)
  qed
  with  $pi$  empty have  $x \leq \text{Min } A$  by(auto simp add: proper-interval-simps)
  moreover
  from  $A$  obtain  $z$  where  $z: z \in A$   $z \notin \text{set } (x \# xs)$ 
    by(auto simp add: set-less-aux-def)
  with  $\langle x \leq \text{Min } A \rangle$  empty have  $x < z$  by auto
  with  $z$  have  $\neg$  exhaustive-above  $x$   $xs$ 
    by(auto simp add: exhaustive-above-iff[OF xs x]) }
  ultimately show ?thesis using True False by fastforce
next
case False
with  $\langle \neg x < y \rangle$  have  $x = y$  by auto
from  $\langle \neg x < y \rangle$  False
have proper-interval-set-aux xs ys  $= (\exists A. \text{set } xs \sqsubset' A \wedge A \sqsubset' \text{set } ys)$ 
  using  $xs$   $ys$  by(rule 3.IH)
also have  $\dots = (\exists A. \text{set } (x \# xs) \sqsubset' A \wedge A \sqsubset' \text{set } (y \# ys))$ 
  (is ?lhs = ?rhs)
proof
  assume ?lhs

```

```

then obtain A where A: set xs  $\sqsubset'$  A
  and A': A  $\sqsubset'$  set ys by blast
  hence nempty: A  $\neq \{\}$    ys  $\neq []$  by auto
  let ?A = insert y A
  { assume Min A  $\leq$  y
    also from y nempty have y < Min (set ys) by auto
    finally have set ys  $\sqsubset'$  A by(rule set-less-aux-Min-antimono)(simp-all
add: nempty)
    with A' have False by(rule set-less-aux-antisym) }
  hence MinA: y < Min A by(metis not-le)
  with nempty have y  $\notin$  A by auto
  moreover
  with MinA nempty have MinyA: Min ?A = y by  $\neg$ (rule Min-eqI, auto)
  ultimately have A1: set (x # xs)  $\sqsubset'$  ?A using  $\langle x = y \rangle$  A Minxxs xnxx
    by(subst set-less-aux-rec) simp-all
  moreover
  have ?A  $\sqsubset'$  set (y # ys) using  $\langle x = y \rangle$  MinyA  $\langle y \notin A \rangle$  A' Minyys ynys
    by(subst set-less-aux-rec) simp-all
  ultimately show ?rhs by blast
next
  assume ?rhs
  then obtain A where A: set (x # xs)  $\sqsubset'$  A
    and A': A  $\sqsubset'$  set (y # ys) by blast
  let ?A = A - {x}
  from A have nempty: A  $\neq \{\}$  by auto
  { assume x < Min A
    hence Min (set (x # xs)) < Min A unfolding Minxxs .
    hence A  $\sqsubset'$  set (x # xs)
    by(rule set-less-aux-Min-antimono) simp-all
    with A have False by(rule set-less-aux-antisym) }
  moreover
  { assume Min A < x
    hence Min A < Min (set (y # ys)) unfolding  $\langle x = y \rangle$  Minyys .
    hence set (y # ys)  $\sqsubset'$  A by(rule set-less-aux-Min-antimono)(simp-all
add: nempty)
    with A' have False by(rule set-less-aux-antisym) }
  ultimately have MinA: Min A = x by(metis less-linear)
  hence x  $\in$  A using nempty by(metis Min-in  $\langle$ finite A $\rangle$ )

  from A nempty Minxxs xnxx have set xs  $\sqsubset'$  ?A
    by(subst (asm) set-less-aux-rec)(auto simp add: MinA)
  moreover from A'  $\langle x = y \rangle$  nempty Minyys MinA ynys have ?A  $\sqsubset'$  set ys
    by(subst (asm) set-less-aux-rec) simp-all
  ultimately show ?lhs by blast
qed
finally show ?thesis using  $\langle x = y \rangle$  by simp
qed
qed
qed

```

qed

lemma *proper-interval-set-Compl-aux*:

assumes *fin*: *finite* (*UNIV* :: 'a set)

and *xs*: *sorted xs* *distinct xs*

and *ys*: *sorted ys* *distinct ys*

shows *proper-interval-set-Compl-aux* *None 0 xs ys* $\longleftrightarrow (\exists A. \text{set } xs \sqsubset' A \wedge A \sqsubset' - \text{set } ys)$

proof –

note [*simp*] = *finite-subset[OF subset-UNIV fin]*

define *above* **where** *above* = *case-option UNIV (Collect o less)*

have *above-simps* [*simp*]: *above None* = *UNIV* $\bigwedge x. \text{above } (\text{Some } x) = \{y. x < y\}$

and *above-upclosed*: $\bigwedge x y \text{ ao. } [x \in \text{above } \text{ao}; x < y] \implies y \in \text{above } \text{ao}$

and *proper-interval-Some2*: $\bigwedge x \text{ ao. } \text{proper-interval } \text{ao } (\text{Some } x) \longleftrightarrow (\exists z \in \text{above } \text{ao}. z < x)$

by(*simp-all add: proper-interval-simps above-def split: option.splits*)

{ fix *ao n*

assume *set xs* \subseteq *above ao* *set ys* \subseteq *above ao*

from *xs* $\langle \text{set } xs \subseteq \text{above } \text{ao} \rangle$ *ys* $\langle \text{set } ys \subseteq \text{above } \text{ao} \rangle$

have *proper-interval-set-Compl-aux ao* (*card* (*UNIV* – *above ao*)) *xs ys* \longleftrightarrow
 $(\exists A \subseteq \text{above } \text{ao}. \text{set } xs \sqsubset' A \wedge A \sqsubset' - \text{set } ys \cap \text{above } \text{ao})$

proof(*induct ao n* \equiv *card* (*UNIV* – *above ao*)) *xs ys* *rule: proper-interval-set-Compl-aux.induct*)

case (*1 ao*)

have *card* (*UNIV* – *above ao*) + 1 < *CARD*('a) $\longleftrightarrow (\exists A \subseteq \text{above } \text{ao}. A \neq \{\} \wedge A \sqsubset' \text{above } \text{ao})$

(**is** ?*lhs* \longleftrightarrow ?*rhs*)

proof

assume ?*lhs*

hence *card* (*UNIV* – (*UNIV* – *above ao*)) > 1 **by**(*simp add: card-Diff-subset*)

from *card-gt-1D[OF this]*

obtain *x y* **where** *above: x* \in *above ao* *y* \in *above ao*

and *neq: x* \neq *y* **by** *blast*

hence $\{x\} \sqsubset' \{x, y\} \cap \text{above } \text{ao}$

by(*simp-all add: psubsetI psubset-finite-imp-set-less-aux*)

also have ... \sqsubseteq' *above ao*

by(*auto intro: subset-finite-imp-set-less-eq-aux*)

finally show ?*rhs* **using** *above* **by** *blast*

next

assume ?*rhs*

then obtain *A* **where** *nempty: A* \cap *above ao* $\neq \{\}$

and *subset: A* \subseteq *above ao*

and *less: A* \sqsubset' *above ao* **by** *blast*

from *nempty* **obtain** *x* **where** *x: x* \in *A* *x* \in *above ao* **by** *blast*

show ?*lhs*

proof(*rule ccontr*)

assume \neg ?*lhs*

```

    moreover have  $CARD('a) \geq \text{card } (UNIV - \text{above } ao)$  by(rule card-mono)
simp-all
    moreover from card-Un-disjoint[of  $UNIV - \text{above } ao$   $\text{above } ao$ ]
    have  $CARD('a) = \text{card } (UNIV - \text{above } ao) + \text{card } (\text{above } ao)$  by auto
    ultimately have  $\text{card } (\text{above } ao) = 1$  using  $x$ 
    by(cases card (above ao))(auto simp add: not-less-eq less-Suc-eq-le)
    with  $x$  have  $\text{above } ao = \{x\}$  unfolding card-eq-1-iff by auto
    moreover with  $x$  subset have  $A: A = \{x\}$  by auto
    ultimately show  $False$  using less by simp
qed
qed
thus ?case by simp
next
case (2  $ao$   $y$   $ys$ )
note  $ys = \langle \text{sorted } (y \# ys) \rangle \langle \text{distinct } (y \# ys) \rangle \langle \text{set } (y \# ys) \subseteq \text{above } ao \rangle$ 
have len-ys:  $\text{length } ys = \text{card } (\text{set } ys)$ 
using  $ys$  by(auto simp add: List.card-set intro: sym)

define  $m$  where  $m = CARD('a) - \text{card } (UNIV - \text{above } ao)$ 
have  $CARD('a) = \text{card } (\text{above } ao) + \text{card } (UNIV - \text{above } ao)$ 
using card-Un-disjoint[of  $\text{above } ao$   $UNIV - \text{above } ao$ ] by auto
hence m-eq:  $m = \text{card } (\text{above } ao)$  unfolding m-def by simp

have  $m \neq \text{length } ys + 1 \wedge (m = \text{length } ys + 2 \longrightarrow \neg \text{proper-interval } (Some$ 
 $(\text{last } (y \# ys)))) \text{ None} \longleftrightarrow$ 
 $(\exists A \subseteq \text{above } ao. A \neq \{y\} \wedge A \sqsubset' - \text{set } (y \# ys) \cap \text{above } ao)$  (is ?lhs  $\longleftrightarrow$ 
?rhs)
proof
  assume ?lhs
  hence m:  $m \neq \text{length } ys + 1$ 
  and pi:  $m = \text{length } ys + 2 \implies \neg \text{proper-interval } (Some (\text{last } (y \# ys)))$ 
None
  by simp-all
  have  $\text{length } ys + 1 = \text{card } (\text{set } (y \# ys))$  using  $ys$  len-ys by simp
  also have  $\dots \leq m$  unfolding m-eq by(rule card-mono)(simp, rule  $ys$ )
  finally have  $\text{length } ys + 2 \leq m$  using  $m$  by simp
  show ?rhs
  proof(cases  $m = \text{length } ys + 2$ )
  case True
  hence card  $(UNIV - (UNIV - \text{above } ao) - \text{set } (y \# ys)) = 1$ 
  using  $ys$  len-ys
  by(subst card-Diff-subset)(auto simp add: m-def card-Diff-subset)
  then obtain  $z$  where  $z: z \in \text{above } ao \quad z \neq y \quad z \notin \text{set } ys$ 
  unfolding card-eq-1-iff by auto
  from True have  $\neg \text{proper-interval } (Some (\text{last } (y \# ys)))$  None by(rule
pi)
  hence  $z \leq \text{last } (y \# ys)$  by(simp add: proper-interval-simps not-less del:
last.simps)
  moreover have  $ly: \text{last } (y \# ys) \in \text{set } (y \# ys)$  by(rule last-in-set) simp

```

```

with z have z ≠ last (y # ys) by auto
ultimately have z < last (y # ys) by simp
hence {last (y # ys)} ⊆ {z}
  using z ly ys by (auto 4 3 simp add: set-less-aux-def)
also have ... ⊆' - set (y # ys) ∩ above ao
  using z by (auto intro: subset-finite-imp-set-less-eq-aux)
also have {last (y # ys)} ≠ {} using ly ys by blast
moreover have {last (y # ys)} ⊆ above ao using ys by auto
ultimately show ?thesis by blast
next
case False
with ⟨length ys + 2 ≤ m⟩ ys len-ys
have card (UNIV - (UNIV - above ao) - set (y # ys)) > 1
  by (subst card-Diff-subset) (auto simp add: card-Diff-subset m-def)
from card-gt-1D[OF this]
obtain x x' where x: x ∈ above ao    x ≠ y    x ∉ set ys
  and x': x' ∈ above ao    x' ≠ y    x' ∉ set ys
  and neq: x ≠ x' by auto
hence {x} ⊆' {x, x'} ∩ above ao
  by (simp-all add: psubsetI psubset-finite-imp-set-less-aux)
also have ... ⊆' - set (y # ys) ∩ above ao using x x' ys
  by (auto intro: subset-finite-imp-set-less-eq-aux)
also have {x} ∩ above ao ≠ {} using x by simp
ultimately show ?rhs by blast
qed
next
assume ?rhs
then obtain A where nempty: A ≠ {}
  and less: A ⊆' - set (y # ys) ∩ above ao
  and subset: A ⊆ above ao by blast
have card (set (y # ys)) ≤ card (above ao) using ys(3) by (simp add:
card-mono)
hence length ys + 1 ≤ m unfolding m-eq using ys by (simp add: len-ys)
have m ≠ length ys + 1
proof
  assume m = length ys + 1
  hence card (above ao) ≤ card (set (y # ys))
    unfolding m-eq using ys len-ys by auto
  from card-seteq[OF - - this] ys have set (y # ys) = above ao by simp
  with nempty less show False by (auto simp add: set-less-aux-def)
qed
moreover
{ assume m = length ys + 2
  hence card (above ao - set (y # ys)) = 1
    using ys len-ys m-eq by (auto simp add: card-Diff-subset)
  then obtain z where z: above ao - set (y # ys) = {z}
    unfolding card-eq-1-iff ..
  hence eq-z: - set (y # ys) ∩ above ao = {z} by auto
  with less have A ⊆' {z} by simp

```

```

have  $\neg$  proper-interval (Some (last (y # ys))) None
proof
  assume proper-interval (Some (last (y # ys))) None
  then obtain z' where z': last (y # ys) < z'
    by (clarsimp simp add: proper-interval-simps)
  have last (y # ys)  $\in$  set (y # ys) by (rule last-in-set) simp
  with ys z' have z'  $\in$  above ao    z'  $\notin$  set (y # ys)
    using above-upclosed local.not-less local.sorted-last ys(1) z' by blast+
  with eq-z have z = z' by fastforce
  from z' have  $\bigwedge x. x \in \text{set } (y \# ys) \implies x < z'$  using ys
    by (auto dest: sorted-last simp del: sorted-wrt.simps(2))
  with eq-z  $\langle z = z' \rangle$ 
  have  $\bigwedge x. x \in \text{above } ao \implies x \leq z'$  by (fastforce)
  with  $\langle A \sqsubset' \{z\} \rangle$  nempty  $\langle z = z' \rangle$  subset
  show False by (auto simp add: set-less-aux-def)
qed }
ultimately show ?lhs by simp
qed
thus ?case by (simp add: length-last-def m-def Let-def)
next
case ( $\exists$  ao x xs)
note xs =  $\langle \text{sorted } (x \# xs) \rangle$   $\langle \text{distinct } (x \# xs) \rangle$   $\langle \text{set } (x \# xs) \subseteq \text{above } ao \rangle$ 
have len-xs: length xs = card (set xs)
  using xs by (auto simp add: List.card-set intro: sym)

define m where m = CARD('a) - card (UNIV - above ao)
have CARD('a) = card (above ao) + card (UNIV - above ao)
  using card-Un-disjoint[of above ao    UNIV - above ao] by auto
hence m-eq: m = card (above ao) unfolding m-def by simp
have m  $\neq$  length xs + 1  $\wedge$  (m = length xs + 2  $\longrightarrow$   $\neg$  proper-interval (Some
(last (x # xs))) None)  $\longleftrightarrow$ 
  ( $\exists A \subseteq \text{above } ao. \text{set } (x \# xs) \sqsubset' A \wedge A \sqsubset' \text{above } ao$ ) (is ?lhs  $\longleftrightarrow$  ?rhs)
proof
  assume ?lhs
  hence m: m  $\neq$  length xs + 1
  and pi: m = length xs + 2  $\implies$   $\neg$  proper-interval (Some (last (x # xs)))
None
    by simp-all
  have length xs + 1 = card (set (x # xs)) using xs len-xs by simp
  also have  $\dots \leq m$  unfolding m-eq by (rule card-mono)(simp, rule xs)
  finally have length xs + 2  $\leq m$  using m by simp
  show ?rhs
proof (cases m = length xs + 2)
  case True
  hence card (UNIV - (UNIV - above ao) - set (x # xs)) = 1
    using xs len-xs
    by (subst card-Diff-subset)(auto simp add: m-def card-Diff-subset)
  then obtain z where z: z  $\in$  above ao    z  $\neq$  x    z  $\notin$  set xs
    unfolding card-eq-1-iff by auto

```

```

define A where A = insert z {y. y ∈ set (x # xs) ∧ y < z}

from True have ¬ proper-interval (Some (last (x # xs))) None by(rule
pi)
hence z ≤ last (x # xs) by(simp add: proper-interval-simps not-less del:
last.simps)
moreover have lx: last (x # xs) ∈ set (x # xs) by(rule last-in-set) simp
with z have z ≠ last (x # xs) by auto
ultimately have z < last (x # xs) by simp
hence set (x # xs) ⊆' A
using z xs by(auto simp add: A-def set-less-aux-def intro: rev-bexI[where
x=z])
moreover have last (x # xs) ∉ A using xs ⟨z < last (x # xs)⟩
  by(auto simp add: A-def simp del: last.simps)
hence A ⊂ insert (last (x # xs)) A by blast
hence less': A ⊆' insert (last (x # xs)) A
  by(rule psubset-finite-imp-set-less-aux) simp
have ... ⊆ above ao using xs lx z
  by(auto simp del: last.simps simp add: A-def)
hence insert (last (x # xs)) A ⊆' above ao
  by(auto intro: subset-finite-imp-set-less-eq-aux)
with less' have A ⊆' above ao
  by(rule set-less-trans-set-less-eq)
moreover have A ⊆ above ao using xs z by(auto simp add: A-def)
ultimately show ?thesis by blast
next
case False
with ⟨length xs + 2 ≤ m⟩ xs len-xs
have card (UNIV - (UNIV - above ao) - set (x # xs)) > 1
  by(subst card-Diff-subset)(auto simp add: card-Diff-subset m-def)
from card-gt-1D[OF this]
obtain y y' where y: y ∈ above ao    y ≠ x    y ∉ set xs
  and y': y' ∈ above ao    y' ≠ x    y' ∉ set xs
  and neq: y ≠ y' by auto
define A where A = insert y (set (x # xs) ∩ above ao)
hence set (x # xs) ⊂ A using y xs by auto
hence set (x # xs) ⊆' ...
  by(fastforce intro: psubset-finite-imp-set-less-aux)
moreover have *: ... ⊂ above ao
  using y y' neq by(auto simp add: A-def)
moreover from * have A ⊆' above ao
  by(auto intro: psubset-finite-imp-set-less-aux)
ultimately show ?thesis by blast
qed
next
assume ?rhs
then obtain A where lessA: set (x # xs) ⊆' A
  and Aless: A ⊆' above ao and subset: A ⊆ above ao by blast
have card (set (x # xs)) ≤ card (above ao) using xs(3) by(simp add:

```

```

card-mono)
  hence  $\text{length } xs + 1 \leq m$  unfolding  $m\text{-eq}$  using  $xs$  by ( $\text{simp add: len-xs}$ )
  have  $m \neq \text{length } xs + 1$ 
  proof
    assume  $m = \text{length } xs + 1$ 
    hence  $\text{card } (\text{above } ao) \leq \text{card } (\text{set } (x \# xs))$ 
    unfolding  $m\text{-eq}$  using  $xs$   $\text{len-xs}$  by  $\text{auto}$ 
    from  $\text{card-seteq}[OF - - \text{this}] \text{ } xs$  have  $\text{set } (x \# xs) = \text{above } ao$  by  $\text{simp}$ 
    with  $\text{lessA } A\text{less}$  show  $\text{False}$  by ( $\text{auto dest: set-less-aux-antisym}$ )
  qed
moreover
  { assume  $m = \text{length } xs + 2$ 
    hence  $\text{card } (\text{above } ao - \text{set } (x \# xs)) = 1$ 
    using  $xs$   $\text{len-xs}$   $m\text{-eq}$  by ( $\text{auto simp add: card-Diff-subset}$ )
    then obtain  $z$  where  $\text{above } ao - \text{set } (x \# xs) = \{z\}$ 
    unfolding  $\text{card-eq-1-iff}$  ..
    have  $\neg \text{proper-interval } (\text{Some } (\text{last } (x \# xs)))$   $\text{None}$ 
    proof
      assume  $\text{proper-interval } (\text{Some } (\text{last } (x \# xs)))$   $\text{None}$ 
      then obtain  $z'$  where  $z': \text{last } (x \# xs) < z'$ 
      by ( $\text{clarsimp simp add: proper-interval-simps}$ )
      have  $\text{last } (x \# xs) \in \text{set } (x \# xs)$  by ( $\text{rule last-in-set}$ )  $\text{simp}$ 
      with  $xs \text{ } z'$  have  $z' \in \text{above } ao$   $z' \notin \text{set } (x \# xs)$ 
      by ( $\text{auto simp del: last.simps sorted-wrt.simps}(2)$ )  $\text{intro: above-upclosed}$ 
     $\text{dest: sorted-last}$ 
    with  $z$  have  $z = z'$  by  $\text{fastforce}$ 
    from  $z'$  have  $y\text{-less: } \bigwedge y. y \in \text{set } (x \# xs) \implies y < z'$  using  $xs$ 
    by ( $\text{auto simp del: sorted-wrt.simps}(2)$ )  $\text{dest: sorted-last}$ 
    with  $z \text{ } \langle z = z' \rangle$  have  $\bigwedge y. y \in \text{above } ao \implies y \leq z'$  by ( $\text{fastforce}$ )

    from  $\text{lessA subset}$  obtain  $y$  where  $y: y \in A \quad y \in \text{above } ao \quad y \notin \text{set}$ 
     $(x \# xs)$ 
    and  $\text{min: } \bigwedge y'. \llbracket y' \in \text{set } (x \# xs); y' \in \text{above } ao; y' \notin A \rrbracket \implies y \leq y'$ 
    by ( $\text{auto simp add: set-less-aux-def}$ )
    with  $z \text{ } \langle z = z' \rangle$  have  $y = z'$  by  $\text{auto}$ 
    have  $\text{set } (x \# xs) \subseteq A$ 
    proof
      fix  $y'$ 
      assume  $y': y' \in \text{set } (x \# xs)$ 
      show  $y' \in A$ 
      proof ( $\text{rule ccontr}$ )
        assume  $y' \notin A$ 
        from  $y' \text{ } xs$  have  $y' \in \text{above } ao$  by  $\text{auto}$ 
        with  $y'$  have  $y \leq y'$  using  $\langle y' \notin A \rangle$  by ( $\text{rule min}$ )
        moreover from  $y'$  have  $y' < z'$  by ( $\text{rule y-less}$ )
        ultimately show  $\text{False}$  using  $\langle y = z' \rangle$  by  $\text{simp}$ 
      qed
    qed
    moreover from  $z \text{ } xs$  have  $\text{above } ao = \text{insert } z \text{ } (\text{set } (x \# xs))$  by  $\text{auto}$ 
  }

```



```

      ultimately have  $A = \text{above } ao$  using  $y \langle y = z' \rangle \langle z = z' \rangle$  subset by auto
      with A less show False by simp
    qed }
  ultimately show ?lhs by simp
qed
thus ?case by (simp add: length-last-def m-def Let-def del: last.simps)
next
case (4 ao x xs y ys)
note xxs =  $\langle \text{sorted } (x \# xs) \rangle \langle \text{distinct } (x \# xs) \rangle$ 
and yys =  $\langle \text{sorted } (y \# ys) \rangle \langle \text{distinct } (y \# ys) \rangle$ 
and xxs-above =  $\langle \text{set } (x \# xs) \subseteq \text{above } ao \rangle$ 
and yys-above =  $\langle \text{set } (y \# ys) \subseteq \text{above } ao \rangle$ 
from xxs have xs: sorted xs    distinct xs and x-Min:  $\forall x' \in \text{set } xs. x < x'$ 
  by (auto simp add: less-le)
from yys have ys: sorted ys    distinct ys and y-Min:  $\forall y' \in \text{set } ys. y < y'$ 
  by (auto simp add: less-le)

have len-xs:  $\text{length } xs = \text{card } (\text{set } xs)$ 
  using xs by (auto simp add: List.card-set intro: sym)
have len-ys:  $\text{length } ys = \text{card } (\text{set } ys)$ 
  using ys by (auto simp add: List.card-set intro: sym)

show ?case
proof (cases  $x < y$ )
  case True

    have proper-interval ao (Some x)  $\vee$ 
      proper-interval-set-Compl-aux (Some x)  $(\text{card } (\text{UNIV} - \text{above } ao) + 1)$ 
    xs (y # ys)  $\longleftrightarrow$ 
       $(\exists A \subseteq \text{above } ao. \text{set } (x \# xs) \sqsubset' A \wedge A \sqsubset' - \text{set } (y \# ys) \cap \text{above } ao)$ 
      (is ?lhs  $\longleftrightarrow$  ?rhs)
    proof (cases proper-interval ao (Some x))
      case True
      then obtain z where  $z: z \in \text{above } ao \quad z < x$ 
        by (clarsimp simp add: proper-interval-Some2)
      moreover with xxs have  $\forall x' \in \text{set } xs. z < x'$  by (auto)
      ultimately have  $\text{set } (x \# xs) \sqsubset' \{z\}$ 
        by (auto simp add: set-less-aux-def intro!: bexI [where  $x=z$ ])
      moreover {
        from z yys  $\langle x < y \rangle$  have  $z < y \quad \forall y' \in \text{set } ys. z < y'$ 
          by (auto)
        hence subset:  $\{z\} \subseteq - \text{set } (y \# ys) \cap \text{above } ao$ 
          using ys  $\langle x < y \rangle$  z by auto
        moreover have  $x \in \dots$  using yys xxs  $\langle x < y \rangle$  xxs-above by (auto)
        ultimately have  $\{z\} \subset \dots$  using  $\langle z < x \rangle$  by fastforce
        hence  $\{z\} \sqsubset' \dots$ 
          by (fastforce intro: psubset-finite-imp-set-less-aux) }
      moreover have  $\{z\} \subseteq \text{above } ao$  using z by simp
      ultimately have ?rhs by blast

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thus ?thesis using True by simp
next
  case False
  hence above-eq: above ao = insert x (above (Some x)) using xxs-above
    by(auto simp add: proper-interval-Some2 intro: above-upclosed)
  moreover have card (above (Some x)) < CARD('a)
    by(rule psubset-card-mono)(auto)
  ultimately have card-eq: card (UNIV - above ao) + 1 = card (UNIV
- above (Some x))
    by(simp add: card-Diff-subset)
  from xxs-above x-Min have xs-above: set xs  $\subseteq$  above (Some x) by(auto)
  from  $\langle x < y \rangle$  y-Min have set (y # ys)  $\subseteq$  above (Some x) by(auto)
  with  $\langle x < y \rangle$  card-eq xs xs-above yys
    have proper-interval-set-Compl-aux (Some x) (card (UNIV - above ao)
+ 1) xs (y # ys)  $\longleftrightarrow$ 
      ( $\exists A \subseteq$  above (Some x). set xs  $\sqsubset'$  A  $\wedge$  A  $\sqsubset'$  - set (y # ys)  $\cap$  above
(Some x))
    by(subst card-eq)(rule 4)
  also have ...  $\longleftrightarrow$  ?rhs (is ?lhs'  $\longleftrightarrow$  -)
  proof
    assume ?lhs'
    then obtain A where less-A: set xs  $\sqsubset'$  A
      and A-less: A  $\sqsubset'$  - set (y # ys)  $\cap$  above (Some x)
      and subset: A  $\subseteq$  above (Some x) by blast
    let ?A = insert x A

    have Min-A': Min ?A = x using xxs-above False subset
      by(auto intro!: Min-eqI simp add: proper-interval-Some2)
    moreover have Min (set (x # xs)) = x
      using x-Min by(auto intro!: Min-eqI)
    moreover have Amax: A - {x} = A
      using False subset
      by(auto simp add: proper-interval-Some2 intro: above-upclosed)
    moreover have set xs - {x} = set xs using x-Min by auto
    ultimately have less-A': set (x # xs)  $\sqsubset'$  ?A
      using less-A xxs-above x-Min by(subst set-less-aux-rec) simp-all

    have x  $\in$  - insert y (set ys)  $\cap$  above ao
      using  $\langle x < y \rangle$  xxs-above y-Min by auto
    hence - insert y (set ys)  $\cap$  above ao  $\neq$  {} by auto
    moreover have Min (- insert y (set ys)  $\cap$  above ao) = x
      using yys y-Min xxs-above  $\langle x < y \rangle$  False
      by(auto intro!: Min-eqI simp add: proper-interval-Some2)
    moreover have - set (y # ys)  $\cap$  above ao - {x} = - set (y # ys)  $\cap$ 
above (Some x)
      using yys-above False xxs-above
      by(auto simp add: proper-interval-Some2 intro: above-upclosed)
    ultimately have A'-less: ?A  $\sqsubset'$  - set (y # ys)  $\cap$  above ao
      using Min-A' A-less Amax xxs-above by(subst set-less-aux-rec) simp-all

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    moreover have ?A  $\subseteq$  above ao using subset xxs-above by(auto intro:
above-upclosed)
    ultimately show ?rhs using less-A' by blast
next
  assume ?rhs
  then obtain A where less-A: set (x # xs)  $\sqsubset'$  A
    and A-less: A  $\sqsubset' -$  set (y # ys)  $\cap$  above ao
    and subset: A  $\subseteq$  above ao by blast
  let ?A = A - {x}

  from less-A subset xxs-above have set (x # xs)  $\cap$  above ao  $\sqsubset'$  A  $\cap$  above
ao
    by(simp add: Int-absorb2)
  with False xxs-above subset have x  $\in$  A
    by(auto simp add: set-less-aux-def proper-interval-Some2)
  hence ...  $\neq$  {} by auto
  moreover from  $\langle x \in A \rangle$  False subset
  have Min-A: Min A = x
    by(auto intro!: Min-eqI simp add: proper-interval-Some2 not-less)
  moreover have Min (set (x # xs)) = x
    using x-Min by(auto intro!: Min-eqI)
  moreover have eq-A: ?A  $\subseteq$  above (Some x)
    using xxs-above False subset
  by(fastforce simp add: proper-interval-Some2 not-less intro: above-upclosed)
  moreover have set xs - {x} = set xs
    using x-Min by(auto)
  ultimately have less-A': set xs  $\sqsubset'$  ?A
    using xxs-above less-A by(subst (asm) set-less-aux-rec)(simp-all cong:
conj-cong)

  have x  $\in -$  insert y (set ys)  $\cap$  above ao
    using  $\langle x < y \rangle$  xxs-above y-Min by auto
  hence - insert y (set ys)  $\cap$  above ao  $\neq$  {} by auto
  moreover have Min (- set (y # ys)  $\cap$  above ao) = x
    using yys y-Min xxs-above  $\langle x < y \rangle$  False
    by(auto intro!: Min-eqI simp add: proper-interval-Some2)
  moreover have - set (y # ys)  $\cap$  above (Some x) = - set (y # ys)  $\cap$ 
above ao - {x}
    by(auto simp add: above-eq)
  ultimately have ?A  $\sqsubset' -$  set (y # ys)  $\cap$  above (Some x)
    using A-less  $\langle A \neq \{\} \rangle$  eq-A Min-A
    by(subst (asm) set-less-aux-rec) simp-all

  with less-A' eq-A show ?lhs' by blast
qed
finally show ?thesis using False by simp
qed
thus ?thesis using True by simp
next

```

```

case False
show ?thesis
proof(cases  $y < x$ )
  case True
    have proper-interval ao (Some  $y$ )  $\vee$ 
      proper-interval-set-Compl-aux (Some  $y$ ) (card (UNIV - above ao) +
1) ( $x \# xs$ )  $ys \longleftrightarrow$ 
      ( $\exists A \subseteq \text{above } ao. \text{set } (x \# xs) \sqsubset' A \wedge A \sqsubset' - \text{set } (y \# ys) \cap \text{above } ao$ )
      (is ?lhs  $\longleftrightarrow$  ?rhs)
    proof(cases proper-interval ao (Some  $y$ ))
      case True
        then obtain  $z$  where  $z: z \in \text{above } ao \quad z < y$ 
        by(clarsimp simp add: proper-interval-Some2)
        from  $xs \langle y < x \rangle$  have  $\forall x' \in \text{set } (x \# xs). y < x'$  by(auto)
        hence less-A:  $\text{set } (x \# xs) \sqsubset' \{y\}$ 
        by(auto simp add: set-less-aux-def intro!: bexI[where  $x=y$ ])

        have  $\{y\} \sqsubset' \{z\}$ 
        using  $z$  y-Min by(auto simp add: set-less-aux-def intro: bexI[where
x=z])

        also have  $\dots \subseteq - \text{set } (y \# ys) \cap \text{above } ao$  using  $z$  y-Min by auto
        hence  $\{z\} \sqsubseteq' \dots$  by(auto intro: subset-finite-imp-set-less-eq-aux)
        finally have  $\{y\} \sqsubset' \dots$ 
        moreover have  $\{y\} \subseteq \text{above } ao$  using yys-above by auto
        ultimately have ?rhs using less-A by blast
        thus ?thesis using True by simp
      next
        case False
        hence above-eq:  $\text{above } ao = \text{insert } y (\text{above } (\text{Some } y))$  using yys-above
        by(auto simp add: proper-interval-Some2 intro: above-upclosed)
        moreover have  $\text{card } (\text{above } (\text{Some } y)) < \text{CARD}('a)$ 
        by(rule psubset-card-mono)(auto)
        ultimately have card-eq:  $\text{card } (\text{UNIV} - \text{above } ao) + 1 = \text{card } (\text{UNIV}$ 
- above (Some  $y$ ))
        by(simp add: card-Diff-subset)
        from yys-above y-Min have ys-above:  $\text{set } ys \subseteq \text{above } (\text{Some } y)$  by(auto)

        have eq-ys:  $- \text{set } ys \cap \text{above } (\text{Some } y) = - \text{set } (y \# ys) \cap \text{above } ao$ 
        by(auto simp add: above-eq)

        from  $\langle y < x \rangle$  x-Min have  $\text{set } (x \# xs) \subseteq \text{above } (\text{Some } y)$  by(auto)
        with  $\langle \neg x < y \rangle \langle y < x \rangle$  card-eq  $xs$   $ys$  ys-above
        have proper-interval-set-Compl-aux (Some  $y$ ) (card (UNIV - above ao)
+ 1) ( $x \# xs$ )  $ys \longleftrightarrow$ 
        ( $\exists A \subseteq \text{above } (\text{Some } y). \text{set } (x \# xs) \sqsubset' A \wedge A \sqsubset' - \text{set } ys \cap \text{above}$ 
(Some  $y$ ))
        by(subst card-eq)(rule 4)
        also have  $\dots \longleftrightarrow$  ?rhs (is ?lhs'  $\longleftrightarrow$  -)
        proof

```

```

    assume ?lhs'
    then obtain A where set (x # xs)  $\sqsubset'$  A and subset:  $A \subseteq \text{above } (\text{Some } y)$ 

    and  $A \sqsubset' - \text{set } ys \cap \text{above } (\text{Some } y)$  by blast
    moreover from subset have  $A - \{y\} = A$  by auto
    ultimately have set (x # xs)  $\sqsubset'$   $A - \{y\}$ 
    and  $A - \{y\} \sqsubset' - \text{set } (y \# ys) \cap \text{above } ao$ 
    using eq-ys by simp-all
    moreover from subset have  $A - \{y\} \subseteq \text{above } ao$ 
    using yys-above by (auto intro: above-upclosed)
    ultimately show ?rhs by blast
  next
    assume ?rhs
    then obtain A where set (x # xs)  $\sqsubset'$  A
    and A-less:  $A \sqsubset' - \text{set } (y \# ys) \cap \text{above } ao$ 
    and subset:  $A \subseteq \text{above } ao$  by blast
    moreover
    from A-less False yys-above have  $y \notin A$ 
    by (auto simp add: set-less-aux-def proper-interval-Some2 not-less)
    ultimately have set (x # xs)  $\sqsubset'$  A
    and  $A \sqsubset' - \text{set } ys \cap \text{above } (\text{Some } y)$ 
    using eq-ys by simp-all
    moreover from  $\langle y \notin A \rangle$  subset above-eq have  $A \subseteq \text{above } (\text{Some } y)$ 
  by auto
    ultimately show ?lhs' by blast
  qed
  finally show ?thesis using False by simp
  qed
  with False True show ?thesis by simp
next
  case False
  with  $\langle \neg x < y \rangle$  have  $x = y$  by simp
  have proper-interval ao (Some x)  $\wedge$ 
    ( $\text{CARD}'a - (\text{card } (\text{UNIV} - \text{above } ao) + \text{length } ys) \neq 2 \vee$ 
     $\text{CARD}'a - (\text{card } (\text{UNIV} - \text{above } ao) + \text{length } xs) \neq 2) \longleftrightarrow$ 
    ( $\exists A \subseteq \text{above } ao. \text{set } (x \# xs) \sqsubset' A \wedge A \sqsubset' - \text{set } (y \# ys) \cap \text{above } ao$ )
    (is ?below  $\wedge$  ?card  $\longleftrightarrow$  ?rhs)
  proof (cases ?below)
    case False
    hence  $-\text{set } (y \# ys) \cap \text{above } ao \sqsubset' \text{set } (x \# xs)$ 
    using  $\langle x = y \rangle$  yys-above xxs-above y-Min
    by (auto simp add: not-less set-less-aux-def proper-interval-Some2 intro!)
  bexI[where x=y]
    with False show ?thesis by (auto dest: set-less-aux-trans)
  next
    case True
    then obtain z where z:  $z \in \text{above } ao \quad z < x$ 
    by (clarsimp simp add: proper-interval-Some2)

```

```

have ?card  $\longleftrightarrow$  ?rhs
proof
  assume ?rhs
  then obtain A where less-A:  $\text{set } (x \# xs) \sqsubseteq' A$ 
    and A-less:  $A \sqsubseteq' - \text{set } (y \# ys) \cap \text{above } ao$ 
    and subset:  $A \subseteq \text{above } ao$  by blast

  {
    assume c-ys:  $\text{CARD}('a) - (\text{card } (\text{UNIV} - \text{above } ao) + \text{length } ys) =$ 
2
      and c-xs:  $\text{CARD}('a) - (\text{card } (\text{UNIV} - \text{above } ao) + \text{length } xs) = 2$ 
      from c-ys yys-above len-ys y-Min have  $\text{card } (\text{UNIV} - (\text{UNIV} -$ 
above ao) -  $\text{set } (y \# ys)) = 1$ 
      by(subst card-Diff-subset)(auto simp add: card-Diff-subset)
      then obtain z' where eq-y:  $- \text{set } (y \# ys) \cap \text{above } ao = \{z'\}$ 
      unfolding card-eq-1-iff by auto
      moreover from z have  $z \notin \text{set } (y \# ys)$  using  $\langle x = y \rangle$  y-Min by
auto
      ultimately have  $z' = z$  using z by fastforce

      from c-xs xxs-above len-xs x-Min have  $\text{card } (\text{UNIV} - (\text{UNIV} -$ 
above ao) -  $\text{set } (x \# xs)) = 1$ 
      by(subst card-Diff-subset)(auto simp add: card-Diff-subset)
      then obtain z'' where eq-x:  $- \text{set } (x \# xs) \cap \text{above } ao = \{z''\}$ 
      unfolding card-eq-1-iff by auto
      moreover from z have  $z \notin \text{set } (x \# xs)$  using x-Min by auto
      ultimately have  $z'' = z$  using z by fastforce

      from less-A subset obtain q where  $q \in A \quad q \in \text{above } ao \quad q \notin \text{set}$ 
(x # xs)
      by(auto simp add: set-less-aux-def)
      hence  $q \in \{z''\}$  unfolding eq-x[symmetric] by simp
      hence  $q = z''$  by simp
      with  $\langle q \in A \rangle \langle z' = z \rangle \langle z'' = z \rangle$  z
      have  $- \text{set } (y \# ys) \cap \text{above } ao \subseteq A$ 
      unfolding eq-y by simp
      hence  $- \text{set } (y \# ys) \cap \text{above } ao \sqsubseteq' A$ 
      by(auto intro: subset-finite-imp-set-less-eq-aux)
      with A-less have False by(auto dest: set-less-trans-set-less-eq) }
      thus ?card by auto
  }
next
assume ?card (is ?card-ys  $\vee$  ?card-xs)
thus ?rhs
proof
  assume ?card-ys
  let ?YS =  $\text{UNIV} - (\text{UNIV} - \text{above } ao) - \text{set } (y \# ys)$ 
  from  $\langle ?card-ys \rangle$  yys-above len-ys y-Min have  $\text{card } ?YS \neq 1$ 
  by(subst card-Diff-subset)(auto simp add: card-Diff-subset)
  moreover have  $?YS \neq \{\}$  using True y-Min yys-above  $\langle x = y \rangle$ 

```

```

    by(fastforce simp add: proper-interval-Some2)
  hence card ?YS  $\neq$  0 by simp
  ultimately have card ?YS > 1 by(cases card ?YS) simp-all
  from card-gt-1D[OF this] obtain x' y'
    where x': x'  $\in$  above ao    x'  $\notin$  set (y # ys)
    and y': y'  $\in$  above ao    y'  $\notin$  set (y # ys)
    and neq: x'  $\neq$  y' by auto
  let ?A = {z}
  have set (x # xs)  $\sqsubset$  ' ?A using z x-Min
    by(auto simp add: set-less-aux-def intro!: rev-beqI)
  moreover
  { have ?A  $\subseteq$  - set (y # ys)  $\cap$  above ao
    using z  $\langle x = y \rangle$  y-Min by(auto)
    moreover have x'  $\notin$  ?A  $\vee$  y'  $\notin$  ?A using neq by auto
    with x' y' have ?A  $\neq$  - set (y # ys)  $\cap$  above ao by auto
    ultimately have ?A  $\subset$  - set (y # ys)  $\cap$  above ao by(rule psubsetI)
    hence ?A  $\sqsubset$  ' ... by(fastforce intro: psubset-finite-imp-set-less-aux)
  }
}

ultimately show ?thesis using z by blast
next
assume ?card-xs
let ?XS = UNIV - (UNIV - above ao) - set (x # xs)
from  $\langle ?card-xs \rangle$  xxs-above len-xs x-Min have card ?XS  $\neq$  1
  by(subst card-Diff-subset)(auto simp add: card-Diff-subset)
moreover have ?XS  $\neq$  {} using True x-Min xxs-above
  by(fastforce simp add: proper-interval-Some2)
hence card ?XS  $\neq$  0 by simp
ultimately have card ?XS > 1 by(cases card ?XS) simp-all
from card-gt-1D[OF this] obtain x' y'
  where x': x'  $\in$  above ao    x'  $\notin$  set (x # xs)
  and y': y'  $\in$  above ao    y'  $\notin$  set (x # xs)
  and neq: x'  $\neq$  y' by auto

define A
  where A = (if x' = Min (above ao) then insert y' (set (x # xs))
else insert x' (set (x # xs)))
hence set (x # xs)  $\subseteq$  A by auto
moreover have set (x # xs)  $\neq$  ...
  using neq x' y' by(auto simp add: A-def)
ultimately have set (x # xs)  $\subset$  A ..
hence set (x # xs)  $\sqsubset$  ' ...
  by(fastforce intro: psubset-finite-imp-set-less-aux)
moreover {
  have nempty: above ao  $\neq$  {} using z by auto
  have A  $\sqsubset$  ' {Min (above ao)}
    using z x' y' neq  $\langle x = y \rangle$  x-Min xxs-above
  by(auto 6 4 simp add: set-less-aux-def A-def nempty intro!: rev-beqI
Min-eqI)
  also have Min (above ao)  $\leq$  z using z by(simp)

```

```

    hence  $\text{Min } (\text{above } ao) < x$  using  $\langle z < x \rangle$  by (rule le-less-trans)
    with  $\langle x = y \rangle$  y-Min have  $\text{Min } (\text{above } ao) \notin \text{set } (y \# ys)$  by auto
    hence  $\{\text{Min } (\text{above } ao)\} \subseteq - \text{set } (y \# ys) \cap \text{above } ao$ 
    by (auto simp add: nempty)
  hence  $\{\text{Min } (\text{above } ao)\} \sqsubseteq' \dots$  by (auto intro: subset-finite-imp-set-less-eq-aux)
    finally have  $A \sqsubset' \dots$  }
  moreover have  $A \subseteq \text{above } ao$  using  $xs\text{-above } yys\text{-above } x' y'$ 
    by (auto simp add: A-def)
  ultimately show ?rhs by blast
qed
qed
thus ?thesis using True by simp
qed
thus ?thesis using  $\langle x = y \rangle$  by simp
qed
qed
qed }
from this[of None]
show ?thesis by (simp)
qed

```

lemma *proper-interval-Compl-set-aux*:

assumes *fin*: *finite* (*UNIV* :: 'a set)

and *xs*: *sorted xs* *distinct xs*

and *ys*: *sorted ys* *distinct ys*

shows *proper-interval-Compl-set-aux* *None xs ys* $\longleftrightarrow (\exists A. - \text{set } xs \sqsubset' A \wedge A \sqsubset' \text{set } ys)$

proof –

note [*simp*] = *finite-subset[OF subset-UNIV fin]*

define *above* **where** *above* = *case-option UNIV (Collect o less)*

have *above-simps* [*simp*]: *above None* = *UNIV* $\bigwedge x. \text{above } (\text{Some } x) = \{y. x < y\}$

and *above-upclosed*: $\bigwedge x y ao. \llbracket x \in \text{above } ao; x < y \rrbracket \implies y \in \text{above } ao$

and *proper-interval-Some2*: $\bigwedge x ao. \text{proper-interval } ao (\text{Some } x) \longleftrightarrow (\exists z \in \text{above } ao. z < x)$

by (*simp-all add: proper-interval-simps above-def split: option.splits*)

{ fix *ao n*

assume *set xs* $\subseteq \text{above } ao$ *set ys* $\subseteq \text{above } ao$

from *xs* $\langle \text{set } xs \subseteq \text{above } ao \rangle$ *ys* $\langle \text{set } ys \subseteq \text{above } ao \rangle$

have *proper-interval-Compl-set-aux* *ao xs ys* \longleftrightarrow

$(\exists A. - \text{set } xs \cap \text{above } ao \sqsubset' A \cap \text{above } ao \wedge A \cap \text{above } ao \sqsubset' \text{set } ys \cap \text{above } ao)$

proof (*induction ao xs ys rule: proper-interval-Compl-set-aux.induct*)

case (2-1 *ao ys*)

{ fix *A*

assume *above ao* $\sqsubset' A \cap \text{above } ao$

also have $\dots \subseteq \text{above } ao$ **by** *simp*


```

    hence  $A \cap \text{above } ao \sqsubseteq' \text{above } ao$ 
    by(auto intro: subset-finite-imp-set-less-eq-aux)
    finally have False by simp }
  thus ?case by auto
next
  case (2-2 ao xs) thus ?case by simp
next
  case (1 ao x xs y ys)
  note xxs =  $\langle \text{sorted } (x \# xs) \rangle \langle \text{distinct } (x \# xs) \rangle$ 
  hence xs: sorted xs    distinct xs and x-Min:  $\forall x' \in \text{set } xs. x < x'$ 
    by(auto simp add: less-le)
  note yys =  $\langle \text{sorted } (y \# ys) \rangle \langle \text{distinct } (y \# ys) \rangle$ 
  hence ys: sorted ys    distinct ys and y-Min:  $\forall y' \in \text{set } ys. y < y'$ 
    by(auto simp add: less-le)
  note xxs-above =  $\langle \text{set } (x \# xs) \subseteq \text{above } ao \rangle$ 
  note yys-above =  $\langle \text{set } (y \# ys) \subseteq \text{above } ao \rangle$ 

  show ?case
  proof(cases  $x < y$ )
    case True
    have  $\neg \text{proper-interval } ao \text{ (Some } x) \wedge \text{proper-interval-Compl-set-aux (Some } x) xs (y \# ys) \longleftrightarrow$ 
       $(\exists A. - \text{set } (x \# xs) \cap \text{above } ao \sqsubseteq' A \cap \text{above } ao \wedge A \cap \text{above } ao \sqsubseteq'$ 
 $\text{set } (y \# ys) \cap \text{above } ao)$ 
      (is ?lhs  $\longleftrightarrow$  ?rhs)
    proof(cases proper-interval ao (Some x))
      case True
      then obtain z where  $z: z < x \quad z \in \text{above } ao$ 
        by(auto simp add: proper-interval-Some2)
      hence empty:  $\text{above } ao \neq \{\}$  by auto
      with z have Min (above ao)  $\leq z$  by auto
      hence Min (above ao)  $< x$  using  $\langle z < x \rangle$  by(rule le-less-trans)
      hence  $\text{set } (y \# ys) \cap \text{above } ao \sqsubseteq' - \text{set } (x \# xs) \cap \text{above } ao$ 
        using y-Min x-Min  $z \langle x < y \rangle$ 
      by(fastforce simp add: set-less-aux-def empty intro!: Min-eqI bexI[where
 $x = \text{Min } (\text{above } ao)$ ])
    thus ?thesis using True by(auto dest: set-less-aux-trans set-less-aux-antisym)
  next
    case False
    hence above-eq:  $\text{above } ao = \text{insert } x \text{ (above (Some } x))$ 
      using xxs-above by(auto simp add: proper-interval-Some2 intro:
above-upclosed)
    from x-Min have xs-above:  $\text{set } xs \subseteq \text{above (Some } x)$  by auto
    from  $\langle x < y \rangle$  y-Min have ys-above:  $\text{set } (y \# ys) \subseteq \text{above (Some } x)$  by
auto

    have eq-xs:  $- \text{set } xs \cap \text{above (Some } x) = - \text{set } (x \# xs) \cap \text{above } ao$ 
      using above-eq by auto
    have eq-ys:  $\text{set } (y \# ys) \cap \text{above (Some } x) = \text{set } (y \# ys) \cap \text{above } ao$ 

```

```

using  $y$ -Min  $\langle x < y \rangle$   $xxs$ -above by(auto intro: above-upclosed)

from  $\langle x < y \rangle$   $xs$   $xs$ -above  $yys$   $ys$ -above
have proper-interval-Compl-set-aux (Some  $x$ )  $xs$  ( $y \# ys$ )  $\longleftrightarrow$ 
  ( $\exists A. - \text{set } xs \cap \text{above } (\text{Some } x) \sqsubset' A \cap \text{above } (\text{Some } x) \wedge$ 
     $A \cap \text{above } (\text{Some } x) \sqsubset' \text{set } (y \# ys) \cap \text{above } (\text{Some } x)$ )
  by(rule 1.IH)
also have  $\dots \longleftrightarrow ?rhs$  (is  $?lhs \longleftrightarrow -$ )
proof
  assume  $?lhs$ 
  then obtain  $A$  where  $- \text{set } xs \cap \text{above } (\text{Some } x) \sqsubset' A \cap \text{above } (\text{Some } x)$ 
    and  $A \cap \text{above } (\text{Some } x) \sqsubset' \text{set } (y \# ys) \cap \text{above } (\text{Some } x)$  by blast
  moreover have  $A \cap \text{above } (\text{Some } x) = (A - \{x\}) \cap \text{above } ao$ 
    using above-eq by auto
  ultimately have  $- \text{set } (x \# xs) \cap \text{above } ao \sqsubset' (A - \{x\}) \cap \text{above } ao$ 
    and  $(A - \{x\}) \cap \text{above } ao \sqsubset' \text{set } (y \# ys) \cap \text{above } ao$ 
    using eq-xs eq-ys by simp-all
  thus  $?rhs$  by blast
next
  assume  $?rhs$ 
  then obtain  $A$  where  $- \text{set } (x \# xs) \cap \text{above } ao \sqsubset' A \cap \text{above } ao$ 
    and  $A$ -less:  $A \cap \text{above } ao \sqsubset' \text{set } (y \# ys) \cap \text{above } ao$  by blast
  moreover have  $x \notin A$ 
  proof
    assume  $x \in A$ 
    hence  $\text{set } (y \# ys) \cap \text{above } ao \sqsubset' A \cap \text{above } ao$ 
    using  $y$ -Min  $\langle x < y \rangle$  by(auto simp add: above-eq set-less-aux-def)
  intro!: beXI[where  $x=x$ ])
  with  $A$ -less show False by(auto dest: set-less-aux-antisym)
  qed
  hence  $A \cap \text{above } ao = A \cap \text{above } (\text{Some } x)$  using above-eq by auto
  ultimately show  $?lhs$  using eq-xs eq-ys by auto
  qed
  finally show  $?thesis$  using False by simp
qed
thus  $?thesis$  using True by simp
next
case False
show  $?thesis$ 
proof(cases  $y < x$ )
  case True
  show  $?thesis$  (is  $?lhs \longleftrightarrow ?rhs$ )
  proof(cases proper-interval  $ao$  (Some  $y$ ))
    case True
    then obtain  $z$  where  $z: z < y \quad z \in \text{above } ao$ 
      by(auto simp add: proper-interval-Some2)
    hence nempty:  $\text{above } ao \neq \{\}$  by auto
    with  $z$  have  $\text{Min } (\text{above } ao) \leq z$  by auto

```

```

    hence  $\text{Min } (\text{above } ao) < y$  using  $\langle z < y \rangle$  by(rule le-less-trans)
    hence  $\text{set } (y \# ys) \cap \text{above } ao \sqsubset' - \text{set } (x \# xs) \cap \text{above } ao$ 
      using  $y\text{-Min } x\text{-Min } z \langle y < x \rangle$ 
    by(fastforce simp add: set-less-aux-def nempty intro!: Min-eqI beqI[where
 $x = \text{Min } (\text{above } ao)$ ])
      thus ?thesis using True  $\langle y < x \rangle$  by(auto dest: set-less-aux-trans
set-less-aux-antisym)
    next
      case False
      hence  $\text{above-eq: above } ao = \text{insert } y (\text{above } (\text{Some } y))$ 
        using  $yys\text{-above}$  by(auto simp add: proper-interval-Some2 intro:
above-upclosed)
      from  $y\text{-Min}$  have  $yys\text{-above: set } ys \subseteq \text{above } (\text{Some } y)$  by auto
      from  $\langle y < x \rangle x\text{-Min}$  have  $xs\text{-above: set } (x \# xs) \subseteq \text{above } (\text{Some } y)$  by
auto

    have  $y \in - \text{set } (x \# xs) \cap \text{above } ao$  using  $\langle y < x \rangle x\text{-Min } yys\text{-above}$  by
auto

    hence  $nempty: - \text{set } (x \# xs) \cap \text{above } ao \neq \{\}$  by auto
    have  $\text{Min-}x: \text{Min } (- \text{set } (x \# xs) \cap \text{above } ao) = y$ 
      using  $\text{above-eq } \langle y < x \rangle x\text{-Min}$  by(auto intro!: Min-eqI)
    have  $\text{Min-}y: \text{Min } (\text{set } (y \# ys) \cap \text{above } ao) = y$ 
      using  $y\text{-Min above-eq}$  by(auto intro!: Min-eqI)
    have  $\text{eq-}xs: - \text{set } (x \# xs) \cap \text{above } ao - \{y\} = - \text{set } (x \# xs) \cap \text{above}$ 
 $(\text{Some } y)$ 
      by(auto simp add: above-eq)
    have  $\text{eq-}yys: \text{set } ys \cap \text{above } ao - \{y\} = \text{set } ys \cap \text{above } (\text{Some } y)$ 
      using  $y\text{-Min above-eq}$  by auto

    from  $\langle \neg x < y \rangle \langle y < x \rangle xxs \ xs\text{-above } ys \ ys\text{-above}$ 
    have  $\text{proper-interval-Compl-set-aux } (\text{Some } y) (x \# xs) ys \longleftrightarrow$ 
 $(\exists A. - \text{set } (x \# xs) \cap \text{above } (\text{Some } y) \sqsubset' A \cap \text{above } (\text{Some } y) \wedge$ 
 $A \cap \text{above } (\text{Some } y) \sqsubset' \text{set } ys \cap \text{above } (\text{Some } y))$ 
      by(rule 1.IH)
    also have  $\dots \longleftrightarrow ?rhs$  (is  $?lhs' \longleftrightarrow -$ )
    proof
      assume ?lhs'
      then obtain  $A$  where  $\text{less-}A: - \text{set } (x \# xs) \cap \text{above } (\text{Some } y) \sqsubset' A$ 
 $\cap \text{above } (\text{Some } y)$ 
      and  $A\text{-less: } A \cap \text{above } (\text{Some } y) \sqsubset' \text{set } ys \cap \text{above } (\text{Some } y)$  by blast
      let  $?A = \text{insert } y A$ 

      have  $\text{Min-}A: \text{Min } (?A \cap \text{above } ao) = y$ 
        using  $\text{above-eq}$  by(auto intro!: Min-eqI)
      moreover have  $A\text{-eq: } A \cap \text{above } ao - \{y\} = A \cap \text{above } (\text{Some } y)$ 
        using  $\text{above-eq}$  by auto
      ultimately have  $\text{less-}A': - \text{set } (x \# xs) \cap \text{above } ao \sqsubset' ?A \cap \text{above } ao$ 
        using  $nempty yys\text{-above less-}A \text{Min-}x \text{eq-}xs$  by(subst set-less-aux-rec)
    simp-all

```

```

have A'-less: ?A  $\cap$  above ao  $\sqsubset'$  set (y # ys)  $\cap$  above ao
  using yys-above nempty Min-A A-eq A-less Min-y eq-ys
  by(subst set-less-aux-rec) simp-all

with less-A' show ?rhs by blast
next
  assume ?rhs
  then obtain A where less-A:  $\neg$  set (x # xs)  $\cap$  above ao  $\sqsubset'$  A  $\cap$  above
ao
    and A-less: A  $\cap$  above ao  $\sqsubset'$  set (y # ys)  $\cap$  above ao by blast

from less-A have nempty': A  $\cap$  above ao  $\neq$  {} by auto
moreover have A-eq: A  $\cap$  above ao  $- \{y\} = A \cap$  above (Some y)
  using above-eq by auto
moreover have y-in-xs: y  $\in -$  set (x # xs)  $\cap$  above ao
  using  $\langle y < x \rangle$  x-Min yys-above by auto
moreover have y  $\in$  A
proof(rule ccontr)
  assume y  $\notin$  A
  hence A  $\cap$  above ao  $\sqsubset' -$  set (x # xs)  $\cap$  above ao
    using  $\langle y < x \rangle$  x-Min y-in-xs
  by(auto simp add: set-less-aux-def above-eq intro: bexI[where x=y])
  with less-A show False by(rule set-less-aux-antisym)
qed
hence Min-A: Min (A  $\cap$  above ao) = y using above-eq y-Min by(auto
intro!: Min-eqI)
ultimately have less-A':  $\neg$  set (x # xs)  $\cap$  above (Some y)  $\sqsubset'$  A  $\cap$ 
above (Some y)
  using nempty less-A Min-x eq-xs
  by(subst (asm) set-less-aux-rec)(auto dest: bspec[where x=y])

have A'-less: A  $\cap$  above (Some y)  $\sqsubset'$  set ys  $\cap$  above (Some y)
  using A-less nempty' yys-above Min-A Min-y A-eq eq-ys
  by(subst (asm) set-less-aux-rec) simp-all
with less-A' show ?lhs' by blast
qed
finally show ?thesis using  $\langle \neg x < y \rangle$   $\langle y < x \rangle$  False by simp
qed
next
  case False
  with  $\langle \neg x < y \rangle$  have x = y by auto
  thus ?thesis (is ?lhs  $\longleftrightarrow$  ?rhs)
proof(cases proper-interval ao (Some x))
  case True
  then obtain z where z: z < x    z  $\in$  above ao
    by(auto simp add: proper-interval-Some2)
  hence nempty: above ao  $\neq$  {} by auto
  with z have Min (above ao)  $\leq$  z by auto

```

```

    hence  $\text{Min } (\text{above } ao) < x$  using  $\langle z < x \rangle$  by (rule le-less-trans)
    hence  $\text{set } (y \# ys) \cap \text{above } ao \sqsubset' - \text{set } (x \# xs) \cap \text{above } ao$ 
      using  $y\text{-Min } x\text{-Min } z \langle x = y \rangle$ 
    by (fastforce simp add: set-less-aux-def nempty intro!: Min-eqI beqI [where
 $x = \text{Min } (\text{above } ao)$ ])
      thus ?thesis using True  $\langle x = y \rangle$  by (auto dest: set-less-aux-trans
set-less-aux-antisym)
  next
    case False
    hence above-eq:  $\text{above } ao = \text{insert } x (\text{above } (\text{Some } x))$ 
      using xs-above by (auto simp add: proper-interval-Some2 intro:
above-upclosed)

    have  $(ys = [] \longrightarrow xs \neq []) \longleftrightarrow ?rhs$  (is  $?lhs' \longleftrightarrow -$ )
    proof (intro iffI strip notI)
      assume  $?lhs'$ 
      show  $?rhs$ 
      proof (cases ys)
        case Nil
        with  $\langle ?lhs' \rangle$  obtain  $x' xs'$  where xs-eq:  $xs = x' \# xs'$ 
          by (auto simp add: neg-Nil-conv)
        with xs have  $x'\text{-Min}$ :  $\forall x'' \in \text{set } xs'. x' < x''$ 
          by (auto simp add: less-le)
        let  $?A = - \text{set } (x \# xs')$ 
        have  $- \text{set } (x \# xs) \cap \text{above } ao \subseteq ?A \cap \text{above } ao$ 
          using xs-eq by auto
        moreover have  $x' \notin - \text{set } (x \# xs) \cap \text{above } ao$   $x' \in ?A \cap \text{above } ao$ 
          using xs-eq xs-above x'-Min x-Min by auto
        ultimately have  $- \text{set } (x \# xs) \cap \text{above } ao \subset ?A \cap \text{above } ao$ 
          by blast
        hence  $- \text{set } (x \# xs) \cap \text{above } ao \sqsubset' \dots$ 
          by (fastforce intro: psubset-finite-imp-set-less-aux)
        moreover have  $\dots \sqsubset' \text{set } (y \# ys) \cap \text{above } ao$ 
          using Nil  $\langle x = y \rangle$  by (auto simp add: set-less-aux-def above-eq)
        ultimately show ?thesis by blast
      next
        case (Cons y' ys')
        let  $?A = \{y\}$ 
        have  $- \text{set } (x \# xs) \cap \text{above } ao \sqsubset' ?A \cap \text{above } ao$ 
          using  $\langle x = y \rangle x\text{-Min}$  by (auto simp add: set-less-aux-def above-eq)
        moreover have  $\dots \subset \text{set } (y \# ys) \cap \text{above } ao$ 
          using yys-above yys Cons by auto
      hence  $?A \cap \text{above } ao \sqsubset' \dots$  by (fastforce intro: psubset-finite-imp-set-less-aux)
      ultimately show ?thesis by blast
    qed
  next
    assume Nil:  $ys = []$   $xs = []$  and  $?rhs$ 
    then obtain  $A$  where less-A:  $- \{x\} \cap \text{above } ao \sqsubset' A \cap \text{above } ao$ 
      and A-less:  $A \cap \text{above } ao \sqsubset' \{x\}$  using  $\langle x = y \rangle$  above-eq by auto

```

```

      have  $x \notin A$  using  $A\text{-less}$  by (auto simp add: set-less-aux-def above-eq)
      hence  $A \cap \text{above } ao \subseteq -\{x\} \cap \text{above } ao$  by auto
    hence  $A \cap \text{above } ao \sqsubseteq' \dots$  by (auto intro: subset-finite-imp-set-less-eq-aux)
      with less-A have  $\dots \sqsubset' \dots$  by (rule set-less-trans-set-less-eq)
      thus False by simp
    qed
  with  $\langle x = y \rangle$  False show ?thesis by simp
qed
qed
qed
qed }
from this[of None] show ?thesis by simp
qed

end

```

2.6.4 Proper intervals for HOL types

```

instantiation unit :: proper-interval begin
fun proper-interval-unit :: unit proper-interval where
  proper-interval-unit None None = True
| proper-interval-unit - - = False
instance by intro-classes auto
end

```

```

instantiation bool :: proper-interval begin
fun proper-interval-bool :: bool proper-interval where
  proper-interval-bool (Some x) (Some y)  $\longleftrightarrow$  False
| proper-interval-bool (Some x) None  $\longleftrightarrow$   $\neg x$ 
| proper-interval-bool None (Some y)  $\longleftrightarrow$  y
| proper-interval-bool None None = True
instance by intro-classes auto
end

```

```

instantiation nat :: proper-interval begin
fun proper-interval-nat :: nat proper-interval where
  proper-interval-nat no None = True
| proper-interval-nat None (Some x)  $\longleftrightarrow$   $x > 0$ 
| proper-interval-nat (Some x) (Some y)  $\longleftrightarrow$   $y - x > 1$ 
instance by intro-classes auto
end

```

```

instantiation int :: proper-interval begin
fun proper-interval-int :: int proper-interval where
  proper-interval-int (Some x) (Some y)  $\longleftrightarrow$   $y - x > 1$ 
| proper-interval-int - - = True
instance by intro-classes (auto intro: less-add-one, metis less-add-one minus-less-iff)
end

```

```

instantiation integer :: proper-interval begin
context includes integer.lifting begin
lift-definition proper-interval-integer :: integer proper-interval is proper-interval
.
instance by(intro-classes)(transfer, simp only: proper-interval-simps)+
end
end
lemma proper-interval-integer-simps [code]:
  includes integer.lifting fixes x y :: integer and xo yo :: integer option shows
    proper-interval (Some x) (Some y) = (1 < y - x)
    proper-interval None yo = True
    proper-interval xo None = True
by(transfer, simp)+

instantiation natural :: proper-interval begin
context includes natural.lifting begin
lift-definition proper-interval-natural :: natural proper-interval is proper-interval
.
instance by(intro-classes)(transfer, simp only: proper-interval-simps)+
end
end
lemma proper-interval-natural-simps [code]:
  includes natural.lifting fixes x y :: natural and xo :: natural option shows
    proper-interval xo None = True
    proper-interval None (Some y)  $\longleftrightarrow$  y > 0
    proper-interval (Some x) (Some y)  $\longleftrightarrow$  y - x > 1
by(transfer, simp)+

lemma char-less-iff-nat-of-char: x < y  $\longleftrightarrow$  of-char x < (of-char y :: nat)
  by (fact less-char-def)

lemma nat-of-char-inject [simp]: of-char x = (of-char y :: nat)  $\longleftrightarrow$  x = y
  by (fact of-char-eq-iff)

lemma char-le-iff-nat-of-char: x  $\leq$  y  $\longleftrightarrow$  of-char x  $\leq$  (of-char y :: nat)
  by (fact less-eq-char-def)

instantiation char :: proper-interval
begin

fun proper-interval-char :: char proper-interval where
  proper-interval-char None None  $\longleftrightarrow$  True
| proper-interval-char None (Some x)  $\longleftrightarrow$  x  $\neq$  CHR 0x00
| proper-interval-char (Some x) None  $\longleftrightarrow$  x  $\neq$  CHR 0xFF
| proper-interval-char (Some x) (Some y)  $\longleftrightarrow$  of-char y - of-char x > (1 :: nat)

instance proof
  fix y :: char
  { assume y  $\neq$  CHR 0x00

```

```

have CHR 0x00 < y
proof (rule ccontr)
  assume ¬ CHR 0x00 < y
  then have of-char y = (of-char CHR 0x00 :: nat)
    by (simp add: not-less-char-le-iff-nat-of-char)
  then have y = CHR 0x00
    using nat-of-char-inject [of y CHR 0x00] by simp
  with ⟨y ≠ CHR 0x00⟩ show False
    by simp
qed }
moreover
{ fix z :: char
  assume z < CHR 0x00
  hence False
    by (simp add: char-less-iff-nat-of-char of-char-eq-iff [symmetric]) }
ultimately show proper-interval None (Some y) = (∃ z. z < y)
  by auto

fix x :: char
{ assume x ≠ CHR 0xFF
  then have x < CHR 0xFF
    by (auto simp add: neq-iff-char-less-iff-nat-of-char)
    (insert nat-of-char-less-256 [of x], simp)
  hence ∃ z. x < z .. }
moreover
{ fix z :: char
  assume CHR 0xFF < z
  hence False
    by (simp add: char-less-iff-nat-of-char)
    (insert nat-of-char-less-256 [of z], simp) }
ultimately show proper-interval (Some x) None = (∃ z. x < z) by auto

{ assume gt: of-char y - of-char x > (1 :: nat)
  let ?z = char-of (of-char x + (1 :: nat))
  from gt nat-of-char-less-256 [of y]
  have 255: of-char x < (255 :: nat) by arith
  with gt have x < ?z    ?z < y
    by (simp-all add: char-less-iff-nat-of-char)
  hence ∃ z. x < z ∧ z < y by blast }
moreover
{ fix z
  assume x < z    z < y
  hence (1 :: nat) < of-char y - of-char x
    by (simp add: char-less-iff-nat-of-char) }
ultimately show proper-interval (Some x) (Some y) = (∃ z > x. z < y)
  by auto
qed simp

end

```



```

instantiation Enum.finite-1 :: proper-interval begin
definition proper-interval-finite-1 :: Enum.finite-1 proper-interval
where proper-interval-finite-1 x y  $\longleftrightarrow$  x = None  $\wedge$  y = None
instance by intro-classes (simp-all add: proper-interval-finite-1-def less-finite-1-def)
end

```

```

instantiation Enum.finite-2 :: proper-interval begin
fun proper-interval-finite-2 :: Enum.finite-2 proper-interval where
  proper-interval-finite-2 None None  $\longleftrightarrow$  True
| proper-interval-finite-2 None (Some x)  $\longleftrightarrow$  x = finite-2.a2
| proper-interval-finite-2 (Some x) None  $\longleftrightarrow$  x = finite-2.a1
| proper-interval-finite-2 (Some x) (Some y)  $\longleftrightarrow$  False
instance by intro-classes (auto simp add: less-finite-2-def)
end

```

```

instantiation Enum.finite-3 :: proper-interval begin
fun proper-interval-finite-3 :: Enum.finite-3 proper-interval where
  proper-interval-finite-3 None None  $\longleftrightarrow$  True
| proper-interval-finite-3 None (Some x)  $\longleftrightarrow$  x  $\neq$  finite-3.a1
| proper-interval-finite-3 (Some x) None  $\longleftrightarrow$  x  $\neq$  finite-3.a3
| proper-interval-finite-3 (Some x) (Some y)  $\longleftrightarrow$  x = finite-3.a1  $\wedge$  y = finite-3.a3
instance
proof
  fix x y :: Enum.finite-3
  show proper-interval None (Some y) = ( $\exists$  z. z < y)
    by (cases y) (auto simp add: less-finite-3-def split: finite-3.split)
  show proper-interval (Some x) None = ( $\exists$  z. x < z)
    by (cases x) (auto simp add: less-finite-3-def)
  show proper-interval (Some x) (Some y) = ( $\exists$  z > x. z < y)
    by (auto simp add: less-finite-3-def split: finite-3.split-asm)
qed simp
end

```

2.6.5 List fusion for the order and proper intervals on 'a set

```

definition length-last-fusion :: ('a, 's) generator  $\Rightarrow$  's  $\Rightarrow$  nat  $\times$  'a
where length-last-fusion g s = length-last (list.unfoldr g s)

```

lemma length-last-fusion-code [code]:

```

length-last-fusion g s =
  (if list.has-next g s then
    let (x, s') = list.next g s
    in fold-fusion g ( $\lambda$ x (n, -). (n + 1, x)) s' (1, x)
  else (0, undefined))

```

unfolding length-last-fusion-def

```

by (subst list.unfoldr.simps) (simp add: length-last-Nil length-last-Cons-code fold-fusion-def
split-beta)

```

declare *length-last-fusion-def* [*symmetric*, *code-unfold*]

context *proper-intvl* **begin**

definition *set-less-eq-aux-Compl-fusion* :: ('a, 's1) generator \Rightarrow ('a, 's2) generator \Rightarrow 'a option \Rightarrow 's1 \Rightarrow 's2 \Rightarrow bool

where

set-less-eq-aux-Compl-fusion g1 g2 ao s1 s2 =
set-less-eq-aux-Compl ao (list.unfoldr g1 s1) (list.unfoldr g2 s2)

definition *Compl-set-less-eq-aux-fusion* :: ('a, 's1) generator \Rightarrow ('a, 's2) generator \Rightarrow 'a option \Rightarrow 's1 \Rightarrow 's2 \Rightarrow bool

where

Compl-set-less-eq-aux-fusion g1 g2 ao s1 s2 =
Compl-set-less-eq-aux ao (list.unfoldr g1 s1) (list.unfoldr g2 s2)

definition *set-less-aux-Compl-fusion* :: ('a, 's1) generator \Rightarrow ('a, 's2) generator \Rightarrow 'a option \Rightarrow 's1 \Rightarrow 's2 \Rightarrow bool

where

set-less-aux-Compl-fusion g1 g2 ao s1 s2 =
set-less-aux-Compl ao (list.unfoldr g1 s1) (list.unfoldr g2 s2)

definition *Compl-set-less-aux-fusion* :: ('a, 's1) generator \Rightarrow ('a, 's2) generator \Rightarrow 'a option \Rightarrow 's1 \Rightarrow 's2 \Rightarrow bool

where

Compl-set-less-aux-fusion g1 g2 ao s1 s2 =
Compl-set-less-aux ao (list.unfoldr g1 s1) (list.unfoldr g2 s2)

definition *exhaustive-above-fusion* :: ('a, 's) generator \Rightarrow 'a \Rightarrow 's \Rightarrow bool

where *exhaustive-above-fusion* g a s = *exhaustive-above* a (list.unfoldr g s)

definition *exhaustive-fusion* :: ('a, 's) generator \Rightarrow 's \Rightarrow bool

where *exhaustive-fusion* g s = *exhaustive* (list.unfoldr g s)

definition *proper-interval-set-aux-fusion* :: ('a, 's1) generator \Rightarrow ('a, 's2) generator \Rightarrow 's1 \Rightarrow 's2 \Rightarrow bool

where

proper-interval-set-aux-fusion g1 g2 s1 s2 =
proper-interval-set-aux (list.unfoldr g1 s1) (list.unfoldr g2 s2)

definition *proper-interval-set-Compl-aux-fusion* ::

('a, 's1) generator \Rightarrow ('a, 's2) generator \Rightarrow 'a option \Rightarrow nat \Rightarrow 's1 \Rightarrow 's2 \Rightarrow bool

where

proper-interval-set-Compl-aux-fusion g1 g2 ao n s1 s2 =
proper-interval-set-Compl-aux ao n (list.unfoldr g1 s1) (list.unfoldr g2 s2)

definition *proper-interval-Compl-set-aux-fusion* ::

('a, 's1) generator \Rightarrow ('a, 's2) generator \Rightarrow 'a option \Rightarrow 's1 \Rightarrow 's2 \Rightarrow bool

where

proper-interval-Compl-set-aux-fusion *g1 g2 ao s1 s2* =
proper-interval-Compl-set-aux *ao (list.unfoldr g1 s1) (list.unfoldr g2 s2)*

lemma *set-less-eq-aux-Compl-fusion-code:*

set-less-eq-aux-Compl-fusion *g1 g2 ao s1 s2* \longleftrightarrow
(list.has-next g1 s1 \longrightarrow list.has-next g2 s2 \longrightarrow
(let (x, s1') = list.next g1 s1;
(y, s2') = list.next g2 s2
in if x < y then proper-interval ao (Some x) \vee set-less-eq-aux-Compl-fusion g1
g2 (Some x) s1' s2
else if y < x then proper-interval ao (Some y) \vee set-less-eq-aux-Compl-fusion
g1 g2 (Some y) s1 s2'
else proper-interval ao (Some y)))

unfolding *set-less-eq-aux-Compl-fusion-def*

by(subst (1 2 4 5) *list.unfoldr.simps*)(simp add: *split-beta*)

lemma *Compl-set-less-eq-aux-fusion-code:*

Compl-set-less-eq-aux-fusion *g1 g2 ao s1 s2* \longleftrightarrow
(if list.has-next g1 s1 then
let (x, s1') = list.next g1 s1
in if list.has-next g2 s2 then
let (y, s2') = list.next g2 s2
in if x < y then \neg proper-interval ao (Some x) \wedge Compl-set-less-eq-aux-fusion
g1 g2 (Some x) s1' s2
else if y < x then \neg proper-interval ao (Some y) \wedge Compl-set-less-eq-aux-fusion
g1 g2 (Some y) s1 s2'
else \neg proper-interval ao (Some y)
else \neg proper-interval ao (Some x) \wedge Compl-set-less-eq-aux-fusion g1 g2
(Some x) s1' s2
else if list.has-next g2 s2 then
let (y, s2') = list.next g2 s2
in \neg proper-interval ao (Some y) \wedge Compl-set-less-eq-aux-fusion g1 g2 (Some
y) s1 s2'
else \neg proper-interval ao None)

unfolding *Compl-set-less-eq-aux-fusion-def*

by(subst (1 2 4 5 8 9) *list.unfoldr.simps*)(simp add: *split-beta*)

lemma *set-less-aux-Compl-fusion-code:*

set-less-aux-Compl-fusion *g1 g2 ao s1 s2* \longleftrightarrow
(if list.has-next g1 s1 then
let (x, s1') = list.next g1 s1
in if list.has-next g2 s2 then
let (y, s2') = list.next g2 s2
in if x < y then proper-interval ao (Some x) \vee set-less-aux-Compl-fusion
g1 g2 (Some x) s1' s2
else if y < x then proper-interval ao (Some y) \vee set-less-aux-Compl-fusion
g1 g2 (Some y) s1 s2'
else proper-interval ao (Some y)

```

      else proper-interval ao (Some x) ∨ set-less-aux-Compl-fusion g1 g2 (Some
x) s1' s2
    else if list.has-next g2 s2 then
      let (y, s2') = list.next g2 s2
      in proper-interval ao (Some y) ∨ set-less-aux-Compl-fusion g1 g2 (Some y) s1
s2'
    else proper-interval ao None)
unfolding set-less-aux-Compl-fusion-def
by(subst (1 2 4 5 8 9) list.unfoldr.simps)(simp add: split-beta)

```

lemma *Compl-set-less-aux-fusion-code:*

```

  Compl-set-less-aux-fusion g1 g2 ao s1 s2  $\longleftrightarrow$ 
  list.has-next g1 s1 ∧ list.has-next g2 s2 ∧
  (let (x, s1') = list.next g1 s1;
    (y, s2') = list.next g2 s2
    in if x < y then ¬ proper-interval ao (Some x) ∧ Compl-set-less-aux-fusion g1
g2 (Some x) s1' s2
      else if y < x then ¬ proper-interval ao (Some y) ∧ Compl-set-less-aux-fusion
g1 g2 (Some y) s1 s2'
      else ¬ proper-interval ao (Some y))
unfolding Compl-set-less-aux-fusion-def
by(subst (1 2 4 5) list.unfoldr.simps)(simp add: split-beta)

```

lemma *exhaustive-above-fusion-code:*

```

  exhaustive-above-fusion g y s  $\longleftrightarrow$ 
  (if list.has-next g s then
    let (x, s') = list.next g s
    in ¬ proper-interval (Some y) (Some x) ∧ exhaustive-above-fusion g x s'
    else ¬ proper-interval (Some y) None)
unfolding exhaustive-above-fusion-def
by(subst list.unfoldr.simps)(simp add: split-beta)

```

lemma *exhaustive-fusion-code:*

```

  exhaustive-fusion g s =
  (list.has-next g s ∧
  (let (x, s') = list.next g s
    in ¬ proper-interval None (Some x) ∧ exhaustive-above-fusion g x s'))
unfolding exhaustive-fusion-def exhaustive-above-fusion-def
by(subst (1) list.unfoldr.simps)(simp add: split-beta)

```

lemma *proper-interval-set-aux-fusion-code:*

```

  proper-interval-set-aux-fusion g1 g2 s1 s2  $\longleftrightarrow$ 
  list.has-next g2 s2 ∧
  (let (y, s2') = list.next g2 s2
    in if list.has-next g1 s1 then
      let (x, s1') = list.next g1 s1
      in if x < y then False
      else if y < x then proper-interval (Some y) (Some x) ∨ list.has-next g2
s2' ∨ ¬ exhaustive-above-fusion g1 x s1'
    else

```

```

      else proper-interval-set-aux-fusion g1 g2 s1' s2'
    else list.has-next g2 s2'  $\vee$  proper-interval (Some y) None)
unfolding proper-interval-set-aux-fusion-def exhaustive-above-fusion-def
by(subst (1 2) list.unfoldr.simps)(simp add: split-beta)

```

lemma *proper-interval-set-Compl-aux-fusion-code:*

```

proper-interval-set-Compl-aux-fusion g1 g2 ao n s1 s2  $\longleftrightarrow$ 
(if list.has-next g1 s1 then
  let (x, s1') = list.next g1 s1
  in if list.has-next g2 s2 then
    let (y, s2') = list.next g2 s2
    in if x < y then
      proper-interval ao (Some x)  $\vee$ 
      proper-interval-set-Compl-aux-fusion g1 g2 (Some x) (n + 1) s1' s2
    else if y < x then
      proper-interval ao (Some y)  $\vee$ 
      proper-interval-set-Compl-aux-fusion g1 g2 (Some y) (n + 1) s1 s2'
    else
      proper-interval ao (Some x)  $\wedge$ 
      (let m = CARD('a) - n
       in m - length-fusion g2 s2'  $\neq$  2  $\vee$  m - length-fusion g1 s1'  $\neq$  2)
  else
    let m = CARD('a) - n; (len-x, x') = length-last-fusion g1 s1
    in m  $\neq$  len-x  $\wedge$  (m = len-x + 1  $\longrightarrow$   $\neg$  proper-interval (Some x') None)

```

```

else if list.has-next g2 s2 then
  let (y, s2') = list.next g2 s2;
  m = CARD('a) - n;
  (len-y, y') = length-last-fusion g2 s2
  in m  $\neq$  len-y  $\wedge$  (m = len-y + 1  $\longrightarrow$   $\neg$  proper-interval (Some y') None)
else CARD('a) > n + 1)

```

unfolding proper-interval-set-Compl-aux-fusion-def length-last-fusion-def length-fusion-def
by(subst (1 2 4 5 9 10) list.unfoldr.simps)(simp add: split-beta)

lemma *proper-interval-Compl-set-aux-fusion-code:*

```

proper-interval-Compl-set-aux-fusion g1 g2 ao s1 s2  $\longleftrightarrow$ 
list.has-next g1 s1  $\wedge$  list.has-next g2 s2  $\wedge$ 
(let (x, s1') = list.next g1 s1;
 (y, s2') = list.next g2 s2
 in if x < y then
    $\neg$  proper-interval ao (Some x)  $\wedge$  proper-interval-Compl-set-aux-fusion g1 g2
   (Some x) s1' s2
   else if y < x then
      $\neg$  proper-interval ao (Some y)  $\wedge$  proper-interval-Compl-set-aux-fusion g1 g2
     (Some y) s1 s2'
   else  $\neg$  proper-interval ao (Some x)  $\wedge$  (list.has-next g2 s2'  $\vee$  list.has-next g1
   s1'))
unfolding proper-interval-Compl-set-aux-fusion-def
by(subst (1 2 4 5) list.unfoldr.simps)(auto simp add: split-beta)

```

end

lemmas [code] =

set-less-eq-aux-Compl-fusion-code proper-intrvl.set-less-eq-aux-Compl-fusion-code
Compl-set-less-eq-aux-fusion-code proper-intrvl.Compl-set-less-eq-aux-fusion-code
set-less-aux-Compl-fusion-code proper-intrvl.set-less-aux-Compl-fusion-code
Compl-set-less-aux-fusion-code proper-intrvl.Compl-set-less-aux-fusion-code
exhaustive-above-fusion-code proper-intrvl.exhaustive-above-fusion-code
exhaustive-fusion-code proper-intrvl.exhaustive-fusion-code
proper-interval-set-aux-fusion-code proper-intrvl.proper-interval-set-aux-fusion-code
proper-interval-set-Compl-aux-fusion-code proper-intrvl.proper-interval-set-Compl-aux-fusion-code
proper-interval-Compl-set-aux-fusion-code proper-intrvl.proper-interval-Compl-set-aux-fusion-code

lemmas [symmetric, code-unfold] =

set-less-eq-aux-Compl-fusion-def proper-intrvl.set-less-eq-aux-Compl-fusion-def
Compl-set-less-eq-aux-fusion-def proper-intrvl.Compl-set-less-eq-aux-fusion-def
set-less-aux-Compl-fusion-def proper-intrvl.set-less-aux-Compl-fusion-def
Compl-set-less-aux-fusion-def proper-intrvl.Compl-set-less-aux-fusion-def
exhaustive-above-fusion-def proper-intrvl.exhaustive-above-fusion-def
exhaustive-fusion-def proper-intrvl.exhaustive-fusion-def
proper-interval-set-aux-fusion-def proper-intrvl.proper-interval-set-aux-fusion-def
proper-interval-set-Compl-aux-fusion-def proper-intrvl.proper-interval-set-Compl-aux-fusion-def
proper-interval-Compl-set-aux-fusion-def proper-intrvl.proper-interval-Compl-set-aux-fusion-def

2.6.6 Drop notation

context ord begin

no-notation *set-less-aux* (**infix** $\langle \sqsubset'' \rangle$ 50)
and *set-less-eq-aux* (**infix** $\langle \sqsubset'' \rangle$ 50)
and *set-less-eq-aux'* (**infix** $\langle \sqsubset''' \rangle$ 50)
and *set-less-eq-aux''* (**infix** $\langle \sqsubset'''' \rangle$ 50)
and *set-less-eq* (**infix** $\langle \sqsubset \rangle$ 50)
and *set-less* (**infix** $\langle \sqsubset \rangle$ 50)

end

end

theory Containers-Generator

imports

Deriving-Generator-Aux
Deriving-Derive-Manager
HOL-Library-Phantom-Type
Containers-Auxiliary

begin

2.6.7 Introduction

In the following, we provide generators for the major classes of the container framework: `ceq`, `corder`, `cenum`, `set-impl`, and `mapping-impl`.

In this file we provide some common infrastructure on the ML-level which will be used by the individual generators.

ML-file *⟨containers-generator.ML⟩*

end

theory *Collection-Order*

imports

Set-Linorder

Containers-Generator

Deriving.Compare-Instances

begin

Chapter 3

Light-weight containers

3.1 A linear order for code generation

3.1.1 Optional comparators

```
class ccompare =  
  fixes ccompare :: 'a comparator option  
  assumes ccompare:  $\bigwedge$  comp. ccompare = Some comp  $\implies$  comparator comp  
begin  
abbreviation ccomp :: 'a comparator where ccomp  $\equiv$  the (ID ccompare)  
abbreviation cless :: 'a  $\Rightarrow$  'a  $\Rightarrow$  bool where cless  $\equiv$  lt-of-comp (the (ID ccompare))  
abbreviation cless-eq :: 'a  $\Rightarrow$  'a  $\Rightarrow$  bool where cless-eq  $\equiv$  le-of-comp (the (ID ccompare))  
end
```

```
lemma (in ccompare) ID-ccompare':  
   $\bigwedge c. ID\ ccompare = Some\ c \implies$  comparator  $c$   
  unfolding ID-def id-apply using ccompare by simp
```

```
lemma (in ccompare) ID-ccompare:  
   $\bigwedge c. ID\ ccompare = Some\ c \implies$  class.linorder (le-of-comp  $c$ ) (lt-of-comp  $c$ )  
  by (rule comparator.linorder[OF ID-ccompare'])
```

```
syntax -CCOMPARE :: type  $\Rightarrow$  logic ( $\langle (1CCOMPARE/(1'(-')) \rangle$ )
```

```
syntax-consts -CCOMPARE == ccompare
```

```
parse-translation  $\langle$   
let  
  fun ccompare-tr [ty] =  
    (Syntax.const @{syntax-const-constrain} $ Syntax.const @{const-syntax ccompare}  
  $  
    (Syntax.const @{type-syntax option} $  
      (Syntax.const @{type-syntax fun} $ ty $
```

```

      (Syntax.const @{type-syntax fun} $ ty $ Syntax.const @{type-syntax
order}))))
    | ccompare-tr ts = raise TERM (ccompare-tr, ts);
in [(@{syntax-const -CCOMPARE}, K ccompare-tr)] end
>

```

definition *is-ccompare* :: 'a :: ccompare itself \Rightarrow bool
where *is-ccompare* - \longleftrightarrow ID CCOMPARE('a) \neq None

```

context ccompare
begin
lemma cless-eq-conv-cless:
  fixes a b :: 'a
  assumes ID CCOMPARE('a)  $\neq$  None
  shows cless-eq a b  $\longleftrightarrow$  cless a b  $\vee$  a = b
proof -
  from assms interpret linorder cless-eq cless :: 'a  $\Rightarrow$  'a  $\Rightarrow$  bool
  by (clarsimp simp add: ID-ccompare)
  show ?thesis by (rule le-less)
qed
end

```

3.1.2 Generator for the *ccompare*-class

This generator registers itself at the derive-manager for the class *ccompare*. To be more precise, one can choose whether one does not want to support a comparator by passing parameter "no", one wants to register an arbitrary type which is already in class *compare* using parameter "compare", or one wants to generate a new comparator by passing no parameter. In the last case, one demands that the type is a datatype and that all non-recursive types of that datatype already provide a comparator, which can usually be achieved via "derive comparator type" or "derive compare type".

- instantiation type :: (type,...,type) (no) corder
- instantiation datatype :: (type,...,type) corder
- instantiation datatype :: (compare,...,compare) (compare) corder

If the parameter "no" is not used, then the corresponding *is-ccompare*-theorem is automatically generated and attributed with [simp, code-post].

To create a new comparator, we just invoke the functionality provided by the generator. The only difference is the boilerplate-code, which for the generator has to perform the class instantiation for a comparator, whereas here we have to invoke the methods to satisfy the corresponding locale for comparators.

This generator can be used for arbitrary types, not just datatypes. When passing no parameters, we get same limitation as for the order generator.

lemma *corder-intro*: *class.linorder le lt* $\implies a = \text{Some } (le, lt) \implies a = \text{Some } (le', lt')$
 \implies
class.linorder le' lt' by auto

lemma *comparator-subst*: *c1 = c2* \implies *comparator c1* \implies *comparator c2* **by** *blast*

lemma (*in compare*) *compare-subst*: $\bigwedge \text{comp. compare} = \text{comp} \implies \text{comparator comp}$
using *comparator-compare* **by** *blast*

ML-file *<compare-generator.ML>*

3.1.3 Instantiations for HOL types

derive (*linorder*) *compare-order*
Enum.finite-1 Enum.finite-2 Enum.finite-3 natural String.literal
derive (*compare*) *compare*
unit bool nat int Enum.finite-1 Enum.finite-2 Enum.finite-3 integer natural char
String.literal
derive (*no*) *compare* *Enum.finite-4 Enum.finite-5*
derive *compare* *sum list option prod*
derive (*no*) *compare* *fun*

lemma *is-ccompare-fun* [*simp*]: $\neg \text{is-ccompare TYPE('a} \Rightarrow \text{'b)}$
by(*simp* *add: is-ccompare-def ccompare-fun-def ID-None*)

instantiation *set* :: (*compare*) *compare* **begin**

definition *CCOMPARE('a set)* =
map-option ($\lambda c. \text{comp-of-ords } (\text{ord.set-less-eq } (le\text{-of-comp } c)) (\text{ord.set-less } (le\text{-of-comp } c))$) (*ID CCOMPARE('a)*)

instance **by**(*intro-classes*)(*auto simp add: ccompare-set-def intro: comp-of-ords*
linorder.set-less-eq-linorder ID-ccompare)

end

lemma *is-ccompare-set* [*simp, code-post*]:
is-ccompare TYPE('a set) \longleftrightarrow *is-ccompare TYPE('a :: ccompare)*
by(*simp add: is-ccompare-def ccompare-set-def ID-def*)

definition *cless-eq-set* :: '*a* :: *compare* *set* \Rightarrow '*a* *set* \Rightarrow *bool*

where [*simp, code del*]: *cless-eq-set* = *le-of-comp* (*the* (*ID CCOMPARE('a set)*))

definition *cless-set* :: '*a* :: *compare* *set* \Rightarrow '*a* *set* \Rightarrow *bool*

where [*simp, code del*]: *cless-set* = *lt-of-comp* (*the* (*ID CCOMPARE('a set)*))

```

lemma ccompare-set-code [code]:
  CCOMPARE('a :: ccompare set) =
    (case ID CCOMPARE('a) of None  $\Rightarrow$  None | Some -  $\Rightarrow$  Some (comp-of-ords
    cless-eq-set cless-set))
  by (clarsimp simp add: ccompare-set-def ID-Some split: option.split)

derive (no) ccompare Predicate.pred

```

3.1.4 Proper intervals

```

class cproper-interval = ccompare +
  fixes cproper-interval :: 'a option  $\Rightarrow$  'a option  $\Rightarrow$  bool
  assumes cproper-interval:
     $\llbracket$  ID CCOMPARE('a)  $\neq$  None; finite (UNIV :: 'a set)  $\rrbracket$ 
     $\Rightarrow$  class.proper-interval cless cproper-interval
begin

lemma ID-ccompare-interval:
   $\llbracket$  ID CCOMPARE('a) = Some c; finite (UNIV :: 'a set)  $\rrbracket$ 
   $\Rightarrow$  class.linorder-proper-interval (le-of-comp c) (lt-of-comp c) cproper-interval
using cproper-interval
by(simp add: ID-ccompare class.linorder-proper-interval-def)

end

instantiation unit :: cproper-interval begin
definition cproper-interval = (proper-interval :: unit proper-interval)
instance by intro-classes (simp add: compare-order-class.ord-defs cproper-interval-unit-def
  ccompare-unit-def ID-Some proper-interval-class.axioms)
end

instantiation bool :: cproper-interval begin
definition cproper-interval = (proper-interval :: bool proper-interval)
instance by(intro-classes)
  (simp add: cproper-interval-bool-def ord-defs ccompare-bool-def ID-Some proper-interval-class.axioms)
end

instantiation nat :: cproper-interval begin
definition cproper-interval = (proper-interval :: nat proper-interval)
instance by intro-classes simp
end

instantiation int :: cproper-interval begin
definition cproper-interval = (proper-interval :: int proper-interval)
instance by intro-classes
  (simp add: cproper-interval-int-def ord-defs ccompare-int-def ID-Some proper-interval-class.axioms)
end

instantiation integer :: cproper-interval begin

```

```

definition cproper-interval = (proper-interval :: integer proper-interval)
instance by intro-classes
  (simp add: cproper-interval-integer-def ord-defs ccompare-integer-def ID-Some
proper-interval-class.axioms)
end

instantiation natural :: cproper-interval begin
definition cproper-interval = (proper-interval :: natural proper-interval)
instance by intro-classes (simp add: cproper-interval-natural-def ord-defs ccom-
pare-natural-def ID-Some proper-interval-class.axioms)
end

instantiation char :: cproper-interval begin
definition cproper-interval = (proper-interval :: char proper-interval)
instance by intro-classes (simp add: cproper-interval-char-def ord-defs ccompare-char-def
ID-Some proper-interval-class.axioms)
end

instantiation Enum.finite-1 :: cproper-interval begin
definition cproper-interval = (proper-interval :: Enum.finite-1 proper-interval)
instance by intro-classes (simp add: cproper-interval-finite-1-def ord-defs ccom-
pare-finite-1-def ID-Some proper-interval-class.axioms)
end

instantiation Enum.finite-2 :: cproper-interval begin
definition cproper-interval = (proper-interval :: Enum.finite-2 proper-interval)
instance by intro-classes (simp add: cproper-interval-finite-2-def ord-defs ccom-
pare-finite-2-def ID-Some proper-interval-class.axioms)
end

instantiation Enum.finite-3 :: cproper-interval begin
definition cproper-interval = (proper-interval :: Enum.finite-3 proper-interval)
instance by intro-classes (simp add: cproper-interval-finite-3-def ord-defs ccom-
pare-finite-3-def ID-Some proper-interval-class.axioms)
end

instantiation Enum.finite-4 :: cproper-interval begin
definition (cproper-interval :: Enum.finite-4 proper-interval) - - = undefined
instance by intro-classes(simp add: ord-defs ccompare-finite-4-def ID-None)
end

instantiation Enum.finite-5 :: cproper-interval begin
definition (cproper-interval :: Enum.finite-5 proper-interval) - - = undefined
instance by intro-classes(simp add: ord-defs ccompare-finite-5-def ID-None)
end

lemma lt-of-comp-sum: lt-of-comp (comparator-sum ca cb) sx sy = (
  case sx of Inl x ⇒ (case sy of Inl y ⇒ lt-of-comp ca x y | Inr y ⇒ True)
  | Inr x ⇒ (case sy of Inl y ⇒ False | Inr y ⇒ lt-of-comp cb x y))

```

by (simp add: lt-of-comp-def le-of-comp-def split: sum.split)

```

instantiation sum :: (cproper-interval, cproper-interval) cproper-interval begin
fun cproper-interval-sum :: ('a + 'b) proper-interval where
  cproper-interval-sum None None  $\longleftrightarrow$  True
| cproper-interval-sum None (Some (Inl x))  $\longleftrightarrow$  cproper-interval None (Some x)
| cproper-interval-sum None (Some (Inr y))  $\longleftrightarrow$  True
| cproper-interval-sum (Some (Inl x)) None  $\longleftrightarrow$  True
| cproper-interval-sum (Some (Inl x)) (Some (Inl y))  $\longleftrightarrow$  cproper-interval (Some x) (Some y)
| cproper-interval-sum (Some (Inl x)) (Some (Inr y))  $\longleftrightarrow$  cproper-interval (Some x) None  $\vee$  cproper-interval None (Some y)
| cproper-interval-sum (Some (Inr y)) None  $\longleftrightarrow$  cproper-interval (Some y) None
| cproper-interval-sum (Some (Inr y)) (Some (Inl x))  $\longleftrightarrow$  False
| cproper-interval-sum (Some (Inr x)) (Some (Inr y))  $\longleftrightarrow$  cproper-interval (Some x) (Some y)
instance
proof
  assume ID CCOMPARE('a + 'b)  $\neq$  None   finite (UNIV :: ('a + 'b) set)
  then obtain c-a c-b
    where A: ID CCOMPARE('a) = Some c-a   finite (UNIV :: 'a set)
    and B: ID CCOMPARE('b) = Some c-b   finite (UNIV :: 'b set)
  by(fastforce simp add: ccompare-sum-def ID-Some ID-None split: option.split-asm)
  note [simp] = proper-interval.proper-interval-simps[OF cproper-interval]
    lt-of-comp-sum ccompare-sum-def ID-Some
  and [split] = sum.split
  show class.proper-interval cless (cproper-interval :: ('a + 'b) proper-interval)
  proof
    fix y :: 'a + 'b
    show cproper-interval None (Some y) = ( $\exists z$ . cless z y)
      using A B by(cases y)(auto, case-tac z, auto)

    fix x :: 'a + 'b
    show cproper-interval (Some x) None = ( $\exists z$ . cless x z)
      using A B by(cases x)(auto, case-tac z, auto)

    show cproper-interval (Some x) (Some y) = ( $\exists z$ . cless x z  $\wedge$  cless z y)
      using A B by(cases x)(case-tac [!] y, auto, case-tac [!] z, auto)
  qed simp
qed
end

```

```

lemma lt-of-comp-less-prod: lt-of-comp (comparator-prod c-a c-b) =
  less-prod (le-of-comp c-a) (lt-of-comp c-a) (lt-of-comp c-b)
unfolding less-prod-def
by (intro ext, auto simp: lt-of-comp-def le-of-comp-def split: order.split-asm prod.split)

```

```

lemma lt-of-comp-prod: lt-of-comp (comparator-prod c-a c-b) (x1,x2) (y1,y2) =

```

(*lt-of-comp* *c-a* *x1* *y1* \vee *le-of-comp* *c-a* *x1* *y1* \wedge *lt-of-comp* *c-b* *x2* *y2*)
unfolding *lt-of-comp-less-prod* *less-prod-def* **by** *simp*

instantiation *prod* :: (*cproper-interval*, *cproper-interval*) *cproper-interval* **begin**
fun *cproper-interval-prod* :: ('a \times 'b) *proper-interval* **where**
cproper-interval-prod None None \longleftrightarrow True
| *cproper-interval-prod* None (Some (*y1*, *y2*)) \longleftrightarrow *cproper-interval* None (Some
y1) \vee *cproper-interval* None (Some *y2*)
| *cproper-interval-prod* (Some (*x1*, *x2*)) None \longleftrightarrow *cproper-interval* (Some *x1*)
None \vee *cproper-interval* (Some *x2*) None
| *cproper-interval-prod* (Some (*x1*, *x2*)) (Some (*y1*, *y2*)) \longleftrightarrow
cproper-interval (Some *x1*) (Some *y1*) \vee
cless *x1* *y1* \wedge (*cproper-interval* (Some *x2*) None \vee *cproper-interval* None (Some
y2)) \vee
 \neg *cless* *y1* *x1* \wedge *cproper-interval* (Some *x2*) (Some *y2*)
instance
proof
assume ID CCOMPARE('a \times 'b) \neq None *finite* (UNIV :: ('a \times 'b) set)
then obtain *c-a* *c-b*
where A: ID CCOMPARE('a) = Some *c-a* *finite* (UNIV :: 'a set)
and B: ID CCOMPARE('b) = Some *c-b* *finite* (UNIV :: 'b set)
by(*fastforce* *simp* *add*: *ccompare-prod-def* ID-Some ID-None *finite-prod* *split*:
option.split-asm)
interpret *a*: *linorder* *le-of-comp* *c-a* *lt-of-comp* *c-a* **by**(*rule* ID-*ccompare*)(*rule*
A)
note [*simp*] = *proper-interval.proper-interval-simps*[OF *cproper-interval*]
ccompare-prod-def *lt-of-comp-prod* ID-Some
show *class.proper-interval* *cless* (*cproper-interval* :: ('a \times 'b) *proper-interval*)
using A B
by (*unfold-locales*, *auto* 4 4)
qed
end

instantiation *list* :: (*ccompare*) *cproper-interval* **begin**
definition *cproper-interval-list* :: 'a *list* *proper-interval*
where *cproper-interval-list* *xso* *yso* = *undefined*
instance **by**(*intro-classes*)(*simp* *add*: *infinite-UNIV-listI*)
end

lemma *infinite-UNIV-literal*:
infinite (UNIV :: *String.literal* set)
by (*fact* *infinite-literal*)

instantiation *String.literal* :: *cproper-interval* **begin**
definition *cproper-interval-literal* :: *String.literal* *proper-interval*
where *cproper-interval-literal* *xso* *yso* = *undefined*
instance **by**(*intro-classes*)(*simp* *add*: *infinite-UNIV-literal*)
end

lemma *lt-of-comp-option*: *lt-of-comp* (*comparator-option* *c*) *sx sy* = (
case sx of *None* \Rightarrow (*case sy of* *None* \Rightarrow *False* | *Some y* \Rightarrow *True*)
| *Some x* \Rightarrow (*case sy of* *None* \Rightarrow *False* | *Some y* \Rightarrow *lt-of-comp c x y*)
by (*simp add: lt-of-comp-def le-of-comp-def split: option.split*)

instantiation *option* :: (*cproper-interval*) *cproper-interval* **begin**
fun *cproper-interval-option* :: 'a *option proper-interval* **where**
cproper-interval-option *None None* \longleftrightarrow *True*
| *cproper-interval-option* *None (Some x)* \longleftrightarrow *x* \neq *None*
| *cproper-interval-option* (*Some x*) *None* \longleftrightarrow *cproper-interval x None*
| *cproper-interval-option* (*Some x*) (*Some None*) \longleftrightarrow *False*
| *cproper-interval-option* (*Some x*) (*Some (Some y)*) \longleftrightarrow *cproper-interval x (Some y)*
instance
proof
assume *ID CCOMPARE*('a *option*) \neq *None* *finite (UNIV :: 'a option set)*
then obtain *c-a*
where *A: ID CCOMPARE*('a) = *Some c-a* *finite (UNIV :: 'a set)*
by(*auto simp add: ccompare-option-def ID-def split: option.split-asm*)
note [*simp*] = *proper-interval.proper-interval-simps[OF cproper-interval]*
ccompare-option-def lt-of-comp-option ID-Some
show *class.proper-interval class (cproper-interval :: 'a option proper-interval)*
using *A*
proof(*unfold-locales*)
fix *x y :: 'a option*
show *cproper-interval (Some x) None* = ($\exists z. \text{class } x z$) **using** *A*
by(*cases x(auto split: option.split intro: exI[where x=Some undefined])*)

show *cproper-interval (Some x) (Some y)* = ($\exists z. \text{class } x z \wedge \text{class } z y$) **using**
A
by(*cases x y rule: option.exhaust[case-product option.exhaust](auto cong: option.case-cong split: option.split)*)
qed(*auto split: option.splits*)
qed
end

instantiation *set* :: (*cproper-interval*) *cproper-interval* **begin**
fun *cproper-interval-set* :: 'a *set proper-interval* **where**
[*code*]: *cproper-interval-set* *None None* \longleftrightarrow *True*
| [*code*]: *cproper-interval-set* *None (Some B)* \longleftrightarrow (*B* \neq $\{\}$)
| [*code*]: *cproper-interval-set* (*Some A*) *None* \longleftrightarrow (*A* \neq *UNIV*)
| *cproper-interval-set-Some-Some* [*code del*]: — Refine for concrete implementations
cproper-interval-set (Some A) (Some B) \longleftrightarrow *finite (UNIV :: 'a set) \wedge (\exists C. \text{class } A C \wedge \text{class } C B)*
instance
proof


```

assume ID CCOMPARE('a set)  $\neq$  None   finite (UNIV :: 'a set set)
then obtain c-a
  where A: ID CCOMPARE('a) = Some c-a   finite (UNIV :: 'a set)
  by(auto simp add: ccompare-set-def ID-def Finite-Set.finite-set)
interpret a: linorder le-of-comp c-a   lt-of-comp c-a by(rule ID-ccompare)(rule
A)
note [simp] = proper-interval.proper-interval-simps[OF cproper-interval] ccom-
pare-set-def
  ID-Some lt-of-comp-of-ords
show class.proper-interval class (cpower-interval :: 'a set proper-interval) using
A
  by (unfold-locales, auto)
qed

```

```

lemma Complement-cproper-interval-set-Complement:
  fixes A B :: 'a set
  assumes corder: ID CCOMPARE('a)  $\neq$  None
  shows cproper-interval (Some (- A)) (Some (- B)) = cproper-interval (Some
B) (Some A)
using assms
by(clarsimp simp add: ccompare-set-def ID-Some lt-of-comp-of-ords) (metis dou-
ble-complement linorder.Compl-set-less-Compl[OF ID-ccompare])

end

```

```

instantiation fun :: (type, type) cproper-interval begin

```

No interval checks on functions needed because we have not defined an order on them.

```

definition cproper-interval = (undefined :: ('a  $\Rightarrow$  'b) proper-interval)
instance by(intro-classes)(simp add: ccompare-fun-def ID-None)
end

end

```

```

theory List-Propor-Interval imports
  HOL-Library.List-Lexorder
  Collection-Order
begin

```

3.2 Instantiate proper-interval of for 'a list

```

lemma Nil-less-conv-neq-Nil: [] < xs  $\longleftrightarrow$  xs  $\neq$  []
by(cases xs) simp-all

```

```

lemma less-append-same-iff:

```

```

fixes  $xs :: 'a :: preorder\ list$ 
shows  $xs < xs @ ys \longleftrightarrow [] < ys$ 
by(induct xs) simp-all

```

```

lemma less-append-same2-iff:
  fixes  $xs :: 'a :: preorder\ list$ 
  shows  $xs @ ys < xs @ zs \longleftrightarrow ys < zs$ 
by(induct xs) simp-all

```

```

lemma Cons-less-iff:
  fixes  $x :: 'a :: preorder$  shows
   $x \# xs < ys \longleftrightarrow (\exists y\ ys'. ys = y \# ys' \wedge (x < y \vee x = y \wedge xs < ys'))$ 
by(cases ys) auto

```

```

instantiation list :: ( $\{proper\_interval, order\}$ ) proper-interval begin

```

```

definition proper-interval-list-aux ::  $'a\ list \Rightarrow 'a\ list \Rightarrow bool$ 
where proper-interval-list-aux-correct:
   $proper\_interval\_list\_aux\ xs\ ys \longleftrightarrow (\exists zs. xs < zs \wedge zs < ys)$ 

```

```

lemma proper-interval-list-aux-simps [code]:
   $proper\_interval\_list\_aux\ xs\ [] \longleftrightarrow False$ 
   $proper\_interval\_list\_aux\ []\ (y \# ys) \longleftrightarrow ys \neq [] \vee proper\_interval\ None\ (Some\ y)$ 
   $proper\_interval\_list\_aux\ (x \# xs)\ (y \# ys) \longleftrightarrow x < y \vee x = y \wedge proper\_interval\_list\_aux\ xs\ ys$ 
apply(simp-all add: proper-interval-list-aux-correct proper-interval-simps Nil-less-conv-neq-Nil)
apply(fastforce simp add: neq-Nil-conv)
apply(rule iffI)
apply(fastforce simp add: Cons-less-iff intro: less-trans)
apply(erule disjE)
apply(rule exI[where x=x # xs @ [undefined]])
apply(simp add: less-append-same-iff)
apply(auto 4 3 simp add: Cons-less-iff)
done

```

```

fun proper-interval-list ::  $'a\ list\ option \Rightarrow 'a\ list\ option \Rightarrow bool$  where
   $proper\_interval\_list\ None\ None \longleftrightarrow True$ 
|  $proper\_interval\_list\ None\ (Some\ xs) \longleftrightarrow (xs \neq [])$ 
|  $proper\_interval\_list\ (Some\ xs)\ None \longleftrightarrow True$ 
|  $proper\_interval\_list\ (Some\ xs)\ (Some\ ys) \longleftrightarrow proper\_interval\_list\_aux\ xs\ ys$ 

```

```

instance

```

```

proof(intro-classes)
  fix  $xs\ ys :: 'a\ list$ 
  show  $proper\_interval\ None\ (Some\ ys) \longleftrightarrow (\exists zs. zs < ys)$ 
    by(auto simp add: Nil-less-conv-neq-Nil intro: exI[where x=[]])
  show  $proper\_interval\ (Some\ xs)\ None \longleftrightarrow (\exists zs. xs < zs)$ 
    by(simp add: exI[where x=xs @ [undefined]] less-append-same-iff)
  show  $proper\_interval\ (Some\ xs)\ (Some\ ys) \longleftrightarrow (\exists zs. xs < zs \wedge zs < ys)$ 
    by(simp add: proper-interval-list-aux-correct)

```

```
qed simp
end
```

```
end
```

```
theory Collection-Eq imports
  Containers-Auxiliary
  Containers-Generator
  Deriving.Equality-Instances
begin
```

3.3 A type class for optional equality testing

```
class ceq =
  fixes ceq :: ('a ⇒ 'a ⇒ bool) option
  assumes ceq: ceq = Some eq ⇒ eq = (=)
begin

lemma ceq-equality: ceq = Some eq ⇒ equality eq
  by (drule ceq, rule Equality-Generator.equalityI, simp)

lemma ID-ceq: ID ceq = Some eq ⇒ eq = (=)
unfolding ID-def id-apply by(rule ceq)

abbreviation ceq' :: 'a ⇒ 'a ⇒ bool where ceq' ≡ the (ID ceq)

end
```

```
syntax -CEQ :: type => logic (⟨(1CEQ/(1'(-)))⟩)
```

```
syntax-consts -CEQ == ceq
```

```
parse-translation ⟨
let
  fun ceq-tr [ty] =
    (Syntax.const @{syntax-const -constrain} $ Syntax.const @{const-syntax ceq}
$
  (Syntax.const @{type-syntax option} $
    (Syntax.const @{type-syntax fun} $ ty $
      (Syntax.const @{type-syntax fun} $ ty $ Syntax.const @{type-syntax
bool}))))))
  | ceq-tr ts = raise TERM (ceq-tr, ts);
in [(@{syntax-const -CEQ}, K ceq-tr)] end
⟩
```

```
typed-print-translation ⟨
let
  fun ceq-tr' ctxt
    (Type (@{type-name option}, [Type (@{type-name fun}, [T, -])])) ts =
```

```

    Term.list-comb (Syntax.const @{syntax-const -CEQ} $ Syntax-Phases.term-of-typ
    ctxt T, ts)
  | ceq-tr' - - = raise Match;
in [(@{const-syntax ceq}, ceq-tr')]
end
>

```

definition *is-ceq* :: 'a :: ceq itself \Rightarrow bool
where *is-ceq* - \longleftrightarrow ID CEQ('a) \neq None

3.3.1 Generator for the *ceq*-class

This generator registers itself at the derive-manager for the class *ceq*. To be more precise, one can choose whether one wants to take (=) as function for *CEQ*('a) by passing "eq" as parameter, whether equality should not be supported by passing "no" as parameter, or whether an own definition for equality should be derived by not passing any parameters. The last possibility only works for datatypes.

- instantiation type :: (type,...,type) (eq) ceq
- instantiation type :: (type,...,type) (no) ceq
- instantiation datatype :: (ceq,...,ceq) ceq

If the parameter "no" is not used, then the corresponding *is-ceq*-theorem is also automatically generated and attributed with [simp, code-post].

This generator can be used for arbitrary types, not just datatypes.

lemma *equality-subst*: $c1 = c2 \Longrightarrow \text{equality } c1 \Longrightarrow \text{equality } c2$ **by** blast

ML-file <ceq-generator.ML>

3.3.2 Type class instances for HOL types

```

derive (eq) ceq unit
lemma [code]: CEQ(unit) = Some ( $\lambda$ - . True)
  unfolding ceq-unit-def by (simp, intro ext, auto)
derive (eq) ceq
  bool
  nat
  int
  Enum.finite-1
  Enum.finite-2
  Enum.finite-3
  Enum.finite-4
  Enum.finite-5
  integer

```

```

    natural
    char
    String.literal
derive ceq sum prod list option
derive (no) ceq fun

lemma is-ceq-fun [simp]:  $\neg$  is-ceq TYPE('a  $\Rightarrow$  'b)
  by (simp add: is-ceq-def ceq-fun-def ID-None)

definition set-eq :: 'a set  $\Rightarrow$  'a set  $\Rightarrow$  bool
where [code del]: set-eq = (=)

lemma set-eq-code:
  shows [code]: set-eq A B  $\longleftrightarrow$  A  $\subseteq$  B  $\wedge$  B  $\subseteq$  A
  and [code-unfold]: (=) = set-eq
unfolding set-eq-def by blast+

instantiation set :: (ceq) ceq begin
definition CEQ('a set) = (case ID CEQ('a) of None  $\Rightarrow$  None | Some -  $\Rightarrow$  Some
  set-eq)
instance by (intro-classes) (simp add: ceq-set-def set-eq-def split: option.splits)
end

lemma is-ceq-set [simp, code-post]: is-ceq TYPE('a set)  $\longleftrightarrow$  is-ceq TYPE('a ::
  ceq)
by (simp add: is-ceq-def ceq-set-def ID-None ID-Some split: option.split)

lemma ID-ceq-set-not-None-iff [simp]: ID CEQ('a set)  $\neq$  None  $\longleftrightarrow$  ID CEQ('a
  :: ceq)  $\neq$  None
by (simp add: ceq-set-def ID-def split: option.splits)

Instantiation for 'a Predicate.pred

context fixes eq :: 'a  $\Rightarrow$  'a  $\Rightarrow$  bool begin

definition member-pred :: 'a Predicate.pred  $\Rightarrow$  'a  $\Rightarrow$  bool
where member-pred P x  $\longleftrightarrow$  ( $\exists$  y. eq x y  $\wedge$  Predicate.eval P y)

definition member-seq :: 'a Predicate.seq  $\Rightarrow$  'a  $\Rightarrow$  bool
where member-seq xp = member-pred (Predicate.pred-of-seq xp)

lemma member-seq-code [code]:
  member-seq seq.Empty x  $\longleftrightarrow$  False
  member-seq (seq.Insert y P) x  $\longleftrightarrow$  eq x y  $\vee$  member-pred P x
  member-seq (seq.Join Q xq) x  $\longleftrightarrow$  member-pred Q x  $\vee$  member-seq xq x
by (auto simp add: member-seq-def member-pred-def)

lemma member-pred-code [code]:
  member-pred (Predicate.Seq f) = member-seq (f ())
by (simp add: member-seq-def Seq-def)

```

definition $leq\text{-}pred :: 'a \text{ Predicate}.pred \Rightarrow 'a \text{ Predicate}.pred \Rightarrow bool$
where $leq\text{-}pred P Q \longleftrightarrow (\forall x. \text{Predicate.eval } P x \longrightarrow (\exists y. eq\ x\ y \wedge \text{Predicate.eval } Q\ y))$

definition $leq\text{-}seq :: 'a \text{ Predicate}.seq \Rightarrow 'a \text{ Predicate}.pred \Rightarrow bool$
where $leq\text{-}seq xp Q \longleftrightarrow leq\text{-}pred (\text{Predicate.pred-of-seq } xp) Q$

lemma $leq\text{-}seq\text{-}code$ [code]:
 $leq\text{-}seq\ seq.Empty\ Q \longleftrightarrow True$
 $leq\text{-}seq (seq.Insert\ x\ P)\ Q \longleftrightarrow member\text{-}pred\ Q\ x \wedge leq\text{-}pred\ P\ Q$
 $leq\text{-}seq (seq.Join\ P\ xp)\ Q \longleftrightarrow leq\text{-}pred\ P\ Q \wedge leq\text{-}seq\ xp\ Q$
by(*auto simp add: leq-seq-def leq-pred-def member-pred-def*)

lemma $leq\text{-}pred\text{-}code$ [code]:
 $leq\text{-}pred (\text{Predicate.Seq } f)\ Q \longleftrightarrow leq\text{-}seq (f\ ())\ Q$
by(*simp add: leq-seq-def Seq-def*)

definition $predicate\text{-}eq :: 'a \text{ Predicate}.pred \Rightarrow 'a \text{ Predicate}.pred \Rightarrow bool$
where $predicate\text{-}eq P Q \longleftrightarrow leq\text{-}pred\ P\ Q \wedge leq\text{-}pred\ Q\ P$

context **assumes** $eq: eq = (=)$ **begin**

lemma $member\text{-}pred\text{-}eq: member\text{-}pred = \text{Predicate.eval}$
unfolding $fun\text{-}eq\text{-}iff\ member\text{-}pred\text{-}def$ **by**(*simp add: eq*)

lemma $member\text{-}seq\text{-}eq: member\text{-}seq = \text{Predicate.member}$
by(*simp add: member-seq-def fun-eq-iff eval-member member-pred-eq*)

lemma $leq\text{-}pred\text{-}eq: leq\text{-}pred = (\leq)$
unfolding $fun\text{-}eq\text{-}iff\ leq\text{-}pred\text{-}def$ **by**(*auto simp add: eq less-eq-pred-def*)

lemma $predicate\text{-}eq\text{-}eq: predicate\text{-}eq = (=)$
unfolding $predicate\text{-}eq\text{-}def\ fun\text{-}eq\text{-}iff$ **by**(*auto simp add: leq-pred-eq*)

end
end

instantiation $\text{Predicate}.pred :: (ceq) ceq$ **begin**
definition $CEQ('a \text{ Predicate}.pred) = map\text{-}option\ predicate\text{-}eq\ (ID\ CEQ('a))$
instance **by**(*intro-classes*)(*auto simp add: ceq-pred-def predicate-eq-eq dest: ID-ceq*)
end

end

theory *Collection-Enum* **imports**
Containers-Auxiliary
Containers-Generator

begin

3.4 A type class for optional enumerations

3.4.1 Definition

```
class cenum =
  fixes cEnum :: ('a list × (('a ⇒ bool) ⇒ bool) × (('a ⇒ bool) ⇒ bool)) option
  assumes UNIV-cenum: cEnum = Some (enum, enum-all, enum-ex) ⇒ UNIV
= set enum
  and cenum-all-UNIV: cEnum = Some (enum, enum-all, enum-ex) ⇒ enum-all
P = Ball UNIV P
  and cenum-ex-UNIV: cEnum = Some (enum, enum-all, enum-ex) ⇒ enum-ex
P = Bex UNIV P
begin
```

```
lemma ID-cEnum:
  ID cEnum = Some (enum, enum-all, enum-ex)
  ⇒ UNIV = set enum ∧ enum-all = Ball UNIV ∧ enum-ex = Bex UNIV
unfolding ID-def id-apply fun-eq-iff
by(intro conjI allI UNIV-cenum cenum-all-UNIV cenum-ex-UNIV fun-eq-iff)
```

```
lemma in-cenum: ID cEnum = Some (enum, rest) ⇒ f ∈ set enum
by(cases rest)(auto dest: ID-cEnum)
```

```
abbreviation cenum :: 'a list
where cenum ≡ fst (the (ID cEnum))
```

```
abbreviation cenum-all :: ('a ⇒ bool) ⇒ bool
where cenum-all ≡ fst (snd (the (ID cEnum)))
```

```
abbreviation cenum-ex :: ('a ⇒ bool) ⇒ bool
where cenum-ex ≡ snd (snd (the (ID cEnum)))
```

end

```
syntax -CENUM :: type => logic (⟨(1CENUM/(1'(-)))⟩)
```

```
syntax-consts -CENUM == cEnum
```

```
parse-translation ⟨
let
  fun cenum-tr [ty] =
    (Syntax.const @{syntax-const-constrain} $ Syntax.const @{const-syntax cEnum}
$
  (Syntax.const @{type-syntax option} $
    (Syntax.const @{type-syntax prod} $
      (Syntax.const @{type-syntax list} $ ty) $
      (Syntax.const @{type-syntax prod} $
```

```

      (Syntax.const @{type-syntax fun} $
        (Syntax.const @{type-syntax fun} $ ty $ (Syntax.const @{type-syntax
bool})) $
        (Syntax.const @{type-syntax bool})) $
      (Syntax.const @{type-syntax fun} $
        (Syntax.const @{type-syntax fun} $ ty $ (Syntax.const @{type-syntax
bool})) $
        (Syntax.const @{type-syntax bool}))))))
    | cenum-tr ts = raise TERM (cenum-tr, ts);
in [(@{syntax-const -CENUM}, K cenum-tr)] end
>

```

typed-print-translation <

```

let
  fun cenum-tr' ctxt
    (Type (@{type-name option}, [Type (@{type-name prod}, [Type (@{type-name
list}, [T]), -]))) ts =
    Term.list-comb (Syntax.const @{syntax-const -CENUM} $ Syntax-Phases.term-of-typ
ctxt T, ts)
    | cenum-tr' - - - = raise Match;
in [(@{const-syntax cEnum}, cenum-tr')]
end
>

```

3.4.2 Generator for the *cenum*-class

This generator registers itself at the derive-manager for the class *cenum*. To be more precise, one can currently only choose to not support enumeration by passing "no" as parameter.

- instantiation type :: (type,...,type) (no) cenum

This generator can be used for arbitrary types, not just datatypes.

ML-file <*cenum-generator.ML*>

3.4.3 Instantiations

```

context fixes cenum-all :: ('a ⇒ bool) ⇒ bool begin
fun all-n-lists :: ('a list ⇒ bool) ⇒ nat ⇒ bool
where [simp del]:
  all-n-lists P n = (if n = 0 then P [] else cenum-all (λx. all-n-lists (λxs. P (x #
xs)) (n - 1)))
end

```

```

context fixes cenum-ex :: ('a ⇒ bool) ⇒ bool begin
fun ex-n-lists :: ('a list ⇒ bool) ⇒ nat ⇒ bool
where [simp del]:

```



```

    ex-n-lists P n  $\longleftrightarrow$  (if n = 0 then P [] else cenum-ex (%x. ex-n-lists (%xs. P (x
# xs)) (n - 1)))
end

```

lemma all-n-lists-iff: fixes cenum shows

```

    all-n-lists (Ball (set cenum)) P n  $\longleftrightarrow$  ( $\forall$  xs  $\in$  set (List.n-lists n cenum). P xs)
proof(induct P n rule: all-n-lists.induct)
  case (1 P n)
  show ?case
  proof(cases n)
    case 0
    thus ?thesis by(simp add: all-n-lists.simps)
  next
    case (Suc n')
    thus ?thesis using 1 by(subst all-n-lists.simps) auto
  qed
qed

```

lemma ex-n-lists-iff: fixes cenum shows

```

    ex-n-lists (Bex (set cenum)) P n  $\longleftrightarrow$  ( $\exists$  xs  $\in$  set (List.n-lists n cenum). P xs)
proof(induct P n rule: ex-n-lists.induct)
  case (1 P n)
  show ?case
  proof(cases n)
    case 0
    thus ?thesis by(simp add: ex-n-lists.simps)
  next
    case (Suc n')
    thus ?thesis using 1 by(subst ex-n-lists.simps) auto
  qed
qed

```

instantiation fun :: (cenum, cenum) cenum begin

definition

```

    CENUM('a  $\Rightarrow$  'b) =
    (case ID CENUM('a) of None  $\Rightarrow$  None | Some (enum-a, enum-all-a, enum-ex-a)
 $\Rightarrow$ 
    case ID CENUM('b) of None  $\Rightarrow$  None | Some (enum-b, enum-all-b, enum-ex-b)
 $\Rightarrow$  Some
    (map ( $\lambda$ ys. the o map-of (zip enum-a ys)) (List.n-lists (length enum-a)
enum-b),
     $\lambda$ P. all-n-lists enum-all-b ( $\lambda$ bs. P (the o map-of (zip enum-a bs))) (length
enum-a),
     $\lambda$ P. ex-n-lists enum-ex-b ( $\lambda$ bs. P (the o map-of (zip enum-a bs))) (length
enum-a)))

```

instance proof

```

  fix enum enum-all enum-ex P
  assume CENUM('a  $\Rightarrow$  'b) = Some (enum, enum-all, enum-ex)

```

```

then obtain enum-a enum-all-a enum-ex-a enum-b enum-all-b enum-ex-b
where a: ID CENUM('a) = Some (enum-a, enum-all-a, enum-ex-a)
and b: ID CENUM('b) = Some (enum-b, enum-all-b, enum-ex-b)
and enum: enum = map (λys. the o map-of (zip enum-a ys)) (List.n-lists
(length enum-a) enum-b)
and enum-all: enum-all = (λP. all-n-lists enum-all-b (λbs. P (the o map-of
(zip enum-a bs))) (length enum-a))
and enum-ex: enum-ex = (λP. ex-n-lists enum-ex-b (λbs. P (the o map-of (zip
enum-a bs))) (length enum-a))
by(fastforce simp add: cEnum-fun-def split: option.split-asm)

show UNIV = set enum
proof (rule UNIV-eq-I)
  fix f :: 'a ⇒ 'b
  have f = the o map-of (zip enum-a (map f enum-a))
    by (auto simp add: map-of-zip-map fun-eq-iff intro: in-cenum[OF a])
  then show f ∈ set enum
    by (auto simp add: enum set-n-lists intro: in-cenum[OF b])
qed

show enum-all P = Ball UNIV P
proof
  assume enum-all P
  show Ball UNIV P
  proof
    fix f :: 'a ⇒ 'b
    have f: f = the o map-of (zip (enum-a) (map f enum-a))
      by (auto simp add: map-of-zip-map fun-eq-iff intro: in-cenum[OF a])
    from ⟨enum-all P⟩ have P (the o map-of (zip enum-a (map f enum-a)))
      apply(simp add: enum-all ID-cEnum[OF b] all-n-lists-iff set-n-lists)
      apply(erule allE, erule mp)
      apply(auto simp add: in-cenum[OF b])
      done
    with f show P f by simp
  qed
next
  assume Ball UNIV P
  from this show enum-all P
    by(simp add: enum-all ID-cEnum[OF b] all-n-lists-iff)
qed

show enum-ex P = Bex UNIV P
proof
  assume enum-ex P
  from this show Bex UNIV P
    by(auto simp add: enum-ex ID-cEnum[OF b] ex-n-lists-iff)
next
  assume Bex UNIV P
  from this obtain f where P f ..

```

```

    also have  $f: f = \text{the} \circ \text{map-of} \text{ (zip (enum-a) (map f enum-a))}$ 
    by (auto simp add: map-of-zip-map fun-eq-iff intro: in-cenum[OF a])
  finally show enum-ex  $P$ 
  apply (simp add: enum-ex ID-cEnum[OF b] ex-n-lists-iff o-def)
  apply (erule bexI)
  apply (auto simp add: set-n-lists intro!: in-cenum[OF b])
  done
qed
qed
end

instantiation set :: (cenum) cenum begin
definition
  CENUM('a set) =
    (case ID CENUM('a) of None  $\Rightarrow$  None | Some (enum-a, enum-all-a, enum-ex-a)
 $\Rightarrow$  Some
      (map set (subseqs enum-a),
        $\lambda P$ . list-all  $P$  (map set (subseqs enum-a)),
        $\lambda P$ . list-ex  $P$  (map set (subseqs enum-a))))
instance
  by (intro-classes) (auto simp add: cEnum-set-def subseqs-powset list-ex-iff list-all-iff
split: option.split-asm dest!: ID-cEnum)
end

instantiation unit :: cenum begin
definition CENUM(unit) = Some (enum-class.enum, enum-class.enum-all, enum-class.enum-ex)
instance by (intro-classes) (auto simp add: cEnum-unit-def enum-UNIV enum-all-UNIV
enum-ex-UNIV)
end

instantiation bool :: cenum begin
definition CENUM(bool) = Some (enum-class.enum, enum-class.enum-all, enum-class.enum-ex)
instance by (intro-classes) (auto simp add: cEnum-bool-def enum-UNIV enum-all-UNIV
enum-ex-UNIV)
end

instantiation prod :: (cenum, cenum) cenum begin
definition
  CENUM('a  $\times$  'b) =
    (case ID CENUM('a) of None  $\Rightarrow$  None | Some (enum-a, enum-all-a, enum-ex-a)
 $\Rightarrow$ 
      case ID CENUM('b) of None  $\Rightarrow$  None | Some (enum-b, enum-all-b, enum-ex-b)
 $\Rightarrow$  Some
        (List.product enum-a enum-b,
          $\lambda P$ . enum-all-a (%x. enum-all-b (%y.  $P$  (x, y))),
          $\lambda P$ . enum-ex-a (%x. enum-ex-b (%y.  $P$  (x, y)))))
instance
  by (intro-classes) (auto 4 4 simp add: cEnum-prod-def split: option.split-asm dest!:
ID-cEnum)

```

end

instantiation *sum* :: (*cenum*, *cenum*) *cenum* **begin**

definition

$CENUM('a + 'b) =$
 $(case\ ID\ CENUM('a)\ of\ None \Rightarrow None \mid Some\ (enum-a,\ enum-all-a,\ enum-ex-a)$
 \Rightarrow
 $case\ ID\ CENUM('b)\ of\ None \Rightarrow None \mid Some\ (enum-b,\ enum-all-b,\ enum-ex-b)$
 $\Rightarrow Some$
 $(map\ Inl\ enum-a\ @\ map\ Inr\ enum-b,$
 $\lambda P.\ enum-all-a\ (\lambda x.\ P\ (Inl\ x)) \wedge enum-all-b\ (\lambda x.\ P\ (Inr\ x)),$
 $\lambda P.\ enum-ex-a\ (\lambda x.\ P\ (Inl\ x)) \vee enum-ex-b\ (\lambda x.\ P\ (Inr\ x)))$

instance

by(*intro-classes*)(*auto* 4 4 *simp add: cEnum-sum-def UNIV-sum split: option.split-asm*
dest!: ID-cEnum)

end

instantiation *option* :: (*cenum*) *cenum* **begin**

definition

$CENUM('a\ option) =$
 $(case\ ID\ CENUM('a)\ of\ None \Rightarrow None \mid Some\ (enum-a,\ enum-all-a,\ enum-ex-a)$
 $\Rightarrow Some$
 $(None\ \# \ map\ Some\ enum-a,$
 $\lambda P.\ P\ None \wedge enum-all-a\ (\lambda x.\ P\ (Some\ x)),$
 $\lambda P.\ P\ None \vee enum-ex-a\ (\lambda x.\ P\ (Some\ x)))$

instance

by(*intro-classes*)(*auto simp add: cEnum-option-def UNIV-option-conv split: option.split-asm dest: ID-cEnum*)

end

instantiation *Enum.finite-1* :: *cenum* **begin**

definition $CENUM(Enum.finite-1) = Some\ (enum-class.enum,\ enum-class.enum-all,$
 $enum-class.enum-ex)$

instance **by**(*intro-classes*)(*auto simp add: cEnum-finite-1-def enum-UNIV enum-all-UNIV*
 $enum-ex-UNIV$)

end

instantiation *Enum.finite-2* :: *cenum* **begin**

definition $CENUM(Enum.finite-2) = Some\ (enum-class.enum,\ enum-class.enum-all,$
 $enum-class.enum-ex)$

instance **by**(*intro-classes*)(*auto simp add: cEnum-finite-2-def enum-UNIV enum-all-UNIV*
 $enum-ex-UNIV$)

end

instantiation *Enum.finite-3* :: *cenum* **begin**

definition $CENUM(Enum.finite-3) = Some\ (enum-class.enum,\ enum-class.enum-all,$
 $enum-class.enum-ex)$

instance **by**(*intro-classes*)(*auto simp add: cEnum-finite-3-def enum-UNIV enum-all-UNIV*
 $enum-ex-UNIV$)

end

instantiation *Enum.finite-4* :: *cenum* **begin**

definition *CENUM*(*Enum.finite-4*) = *Some* (*enum-class.enum*, *enum-class.enum-all*,
enum-class.enum-ex)

instance *by*(*intro-classes*)(*auto simp add: cEnum-finite-4-def enum-UNIV enum-all-UNIV*
enum-ex-UNIV)

end

instantiation *Enum.finite-5* :: *cenum* **begin**

definition *CENUM*(*Enum.finite-5*) = *Some* (*enum-class.enum*, *enum-class.enum-all*,
enum-class.enum-ex)

instance *by*(*intro-classes*)(*auto simp add: cEnum-finite-5-def enum-UNIV enum-all-UNIV*
enum-ex-UNIV)

end

instantiation *char* :: *cenum* **begin**

definition *CENUM*(*char*) = *Some* (*enum-class.enum*, *enum-class.enum-all*, *enum-class.enum-ex*)

instance *by*(*intro-classes*)(*auto simp add: cEnum-char-def enum-UNIV enum-all-UNIV*
enum-ex-UNIV)

end

derive (*no*) *cenum list nat int integer natural String.literal*

end

theory *Equal* **imports** *Main* **begin**

3.5 Locales to abstract over HOL equality

locale *equal-base* = **fixes** *equal* :: '*a* \Rightarrow '*a* \Rightarrow *bool*

locale *equal* = *equal-base* +
assumes *equal-eq*: *equal* = (=)

begin

lemma *equal-conv-eq*: *equal* *x y* \longleftrightarrow *x* = *y*

by(*simp add: equal-eq*)

end

end

theory *RBT-ext*

imports

HOL-Library.RBT-Impl

Containers-Auxiliary

List-Fusion
begin

3.6 More on red-black trees

3.6.1 More lemmas

context *linorder* **begin**

lemma *is-rbt-fold-rbt-insert-impl*:
 $is\text{-}rbt\ t \implies is\text{-}rbt\ (RBT\text{-}Impl.fold\ rbt\text{-}insert\ t'\ t)$
by(*simp add: rbt-insert-def is-rbt-fold-rbt-insertwk*)

lemma *rbt-sorted-fold-insert*: $rbt\text{-}sorted\ t \implies rbt\text{-}sorted\ (RBT\text{-}Impl.fold\ rbt\text{-}insert\ t'\ t)$
by(*induct t' arbitrary: t*)(*simp-all add: rbt-insert-rbt-sorted*)

lemma *rbt-lookup-rbt-insert'*: $rbt\text{-}sorted\ t \implies rbt\text{-}lookup\ (rbt\text{-}insert\ k\ v\ t) = (rbt\text{-}lookup\ t)(k \mapsto v)$
by(*simp add: rbt-insert-def rbt-lookup-rbt-insertwk fun-eq-iff split: option.split*)

lemma *rbt-lookup-fold-rbt-insert-impl*:
 $rbt\text{-}sorted\ t2 \implies$
 $rbt\text{-}lookup\ (RBT\text{-}Impl.fold\ rbt\text{-}insert\ t1\ t2) = rbt\text{-}lookup\ t2 ++ map\text{-}of\ (rev\ (RBT\text{-}Impl.entries\ t1))$
proof(*induction t1 arbitrary: t2*)
 case *Empty* **thus** ?*case* **by** *simp*
next
 case (*Branch c l x k r*)
 show ?*case* **using** *Branch.prem*s
 by(*simp add: map-add-def Branch.IH rbt-insert-rbt-sorted rbt-sorted-fold-insert rbt-lookup-rbt-insert' fun-eq-iff split: option.split*)
qed
end

3.6.2 Build the cross product of two RBTs

context *fixes f :: 'a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd \Rightarrow 'e* **begin**

definition *alist-product* :: $('a \times 'b)\ list \Rightarrow ('c \times 'd)\ list \Rightarrow (('a \times 'c) \times 'e)\ list$
where *alist-product xs ys = concat (map ($\lambda(a, b). map\ (\lambda(c, d). ((a, c), f\ a\ b\ c\ d))\ ys)\ xs)$*

lemma *alist-product-simps* [*simp*]:
 $alist\text{-}product\ []\ ys = []$
 $alist\text{-}product\ xs\ [] = []$
 $alist\text{-}product\ ((a, b) \# xs)\ ys = map\ (\lambda(c, d). ((a, c), f\ a\ b\ c\ d))\ ys @ alist\text{-}product\ xs\ ys$

by(*simp-all add: alist-product-def*)

lemma *append-alist-product-conv-fold*:

$zs @ alist-product\ xs\ ys = rev\ (fold\ (\lambda(a, b). fold\ (\lambda(c, d)\ rest.\ ((a, c), f\ a\ b\ c\ d)\ #\ rest)\ ys)\ xs\ (rev\ zs))$

proof(*induction xs arbitrary: zs*)

case *Nil* **thus** *?case* **by** *simp*

next

case (*Cons x xs*)

obtain *a b* **where** $x = (a, b)$ **by**(*cases x*)

have $\bigwedge zs.\ fold\ (\lambda(c, d).\ (#)\ ((a, c), f\ a\ b\ c\ d))\ ys\ zs = rev\ (map\ (\lambda(c, d).\ ((a, c), f\ a\ b\ c\ d))\ ys)\ @\ zs$

by(*induct ys*) *auto*

with *Cons.IH*[*of zs @ map (\lambda(c, d).\ ((a, c), f a b c d)) ys*] *x*

show *?case* **by** *simp*

qed

lemma *alist-product-code* [*code*]:

alist-product xs ys =

rev (fold (\lambda(a, b). fold (\lambda(c, d) rest. ((a, c), f a b c d) # rest) ys) xs [])

using *append-alist-product-conv-fold*[*of [] xs ys*]

by *simp*

lemma *set-alist-product*:

set (alist-product xs ys) =

$(\lambda((a, b), (c, d)). ((a, c), f\ a\ b\ c\ d))\ ` (set\ xs \times set\ ys)$

by(*auto 4 3 simp add: alist-product-def intro: rev-image-eqI rev-bexI*)

lemma *distinct-alist-product*:

$\llbracket distinct\ (map\ fst\ xs); distinct\ (map\ fst\ ys) \rrbracket$

$\implies distinct\ (map\ fst\ (alist-product\ xs\ ys))$

proof(*induct xs*)

case *Nil* **thus** *?case* **by** *simp*

next

case (*Cons x xs*)

obtain *a b* **where** $x = (a, b)$ **by**(*cases x*)

have *distinct (map (fst o (\lambda(c, d). ((a, c), f a b c d))) ys)*

using $\langle distinct\ (map\ fst\ ys) \rangle$ **by**(*induct ys*)(*auto intro: rev-image-eqI*)

thus *?case* **using** *Cons x* **by**(*auto simp add: set-alist-product intro: rev-image-eqI*)

qed

lemma *map-of-alist-product*:

map-of (alist-product xs ys) (a, c) =

(case map-of xs a of None \Rightarrow None

| Some b \Rightarrow map-option (f a b c) (map-of ys c))

proof(*induction xs*)

case *Nil* **thus** *?case* **by** *simp*

next

case (*Cons x xs*)

```

obtain  $a\ b$  where  $x: x = (a, b)$  by (cases  $x$ )
let  $?map = \text{map } (\lambda(c, d). ((a, c), f\ a\ b\ c\ d))\ ys$ 
have  $\text{map-of } ?map\ (a, c) = \text{map-option } (f\ a\ b\ c)\ (\text{map-of } ys\ c)$ 
by(induct  $ys$ ) auto
moreover {
  fix  $a'$  assume  $a' \neq a$ 
  hence  $\text{map-of } ?map\ (a', c) = \text{None}$ 
  by(induct  $ys$ ) auto }
ultimately show  $?case$  using  $x\ \text{Cons.IH}$ 
by(auto simp add: map-add-def split: option.split)
qed

```

definition $\text{rbt-product} :: ('a, 'b)\ \text{rbt} \Rightarrow ('c, 'd)\ \text{rbt} \Rightarrow ('a \times 'c, 'e)\ \text{rbt}$

where

$\text{rbt-product}\ \text{rbt1}\ \text{rbt2} = \text{rbtreeify}\ (\text{alist-product}\ (\text{RBT-Impl.entries}\ \text{rbt1})\ (\text{RBT-Impl.entries}\ \text{rbt2}))$

lemma rbt-product-code [*code*]:

```

 $\text{rbt-product}\ \text{rbt1}\ \text{rbt2} =$ 
 $\text{rbtreeify}\ (\text{rev}\ (\text{RBT-Impl.fold}\ (\lambda a\ b.\ \text{RBT-Impl.fold}\ (\lambda c\ d\ \text{rest}.\ ((a, c), f\ a\ b\ c\ d)\ \# \text{rest})\ \text{rbt2})\ \text{rbt1}\ []))$ 
unfolding  $\text{rbt-product-def}\ \text{alist-product-code}\ \text{RBT-Impl.fold-def} \dots$ 

```

end

context

```

fixes  $\text{leq-a} :: 'a \Rightarrow 'a \Rightarrow \text{bool}$  (infix  $\langle \sqsubseteq_a \rangle\ 50$ )
and  $\text{less-a} :: 'a \Rightarrow 'a \Rightarrow \text{bool}$  (infix  $\langle \sqsubset_a \rangle\ 50$ )
and  $\text{leq-b} :: 'b \Rightarrow 'b \Rightarrow \text{bool}$  (infix  $\langle \sqsubseteq_b \rangle\ 50$ )
and  $\text{less-b} :: 'b \Rightarrow 'b \Rightarrow \text{bool}$  (infix  $\langle \sqsubset_b \rangle\ 50$ )
assumes  $\text{lin-a: class.linorder leq-a less-a}$ 
and  $\text{lin-b: class.linorder leq-b less-b}$ 
begin

```

abbreviation (*input*) $\text{less-eq-prod}' :: ('a \times 'b) \Rightarrow ('a \times 'b) \Rightarrow \text{bool}$ (**infix** $\langle \sqsubseteq \rangle\ 50$)
where $\text{less-eq-prod}' \equiv \text{less-eq-prod}\ \text{leq-a}\ \text{less-a}\ \text{leq-b}$

abbreviation (*input*) $\text{less-prod}' :: ('a \times 'b) \Rightarrow ('a \times 'b) \Rightarrow \text{bool}$ (**infix** $\langle \sqsubset \rangle\ 50$)
where $\text{less-prod}' \equiv \text{less-prod}\ \text{leq-a}\ \text{less-a}\ \text{less-b}$

lemmas $\text{linorder-prod} = \text{linorder-prod}[OF\ \text{lin-a}\ \text{lin-b}]$

lemma $\text{sorted-alist-product}$:

```

assumes  $xs: \text{linorder.sorted leq-a}\ (\text{map}\ \text{fst}\ xs)\ \ \text{distinct}\ (\text{map}\ \text{fst}\ xs)$ 
and  $ys: \text{linorder.sorted } (\sqsubseteq_b)\ (\text{map}\ \text{fst}\ ys)$ 
shows  $\text{linorder.sorted } (\sqsubseteq)\ (\text{map}\ \text{fst}\ (\text{alist-product}\ f\ xs\ ys))$ 
proof –
  interpret  $a: \text{linorder } (\sqsubseteq_a)\ \ (\sqsubset_a)$  by(fact lin-a)

```



```

note [simp] =
  linorder.sorted0[OF linorder-prod] linorder.sorted1[OF linorder-prod]
  linorder.sorted-append[OF linorder-prod]
  linorder.sorted1[OF lin-b]

show ?thesis using xs
proof(induction xs)
  case Nil show ?case by simp
next
  case (Cons x xs)
  obtain a b where x: x = (a, b) by(cases x)
  have linorder.sorted ( $\sqsubseteq$ ) (map fst (map ( $\lambda(c, d). ((a, c), f a b c d)$ ) ys))
    using ys by(induct ys) auto
  thus ?case using x Cons
    by(fastforce simp add: set-alist-product a.not-less dest: bspec a.order-antisym
intro: rev-image-eqI)
qed
qed

lemma is-rbt-rbt-product:
   $\llbracket \text{ord.is-rbt } (\sqsubseteq_a) \text{ rbt1}; \text{ord.is-rbt } (\sqsubseteq_b) \text{ rbt2} \rrbracket$ 
 $\implies \text{ord.is-rbt } (\sqsubseteq) (\text{rbt-product } f \text{ rbt1 rbt2})$ 
unfolding rbt-product-def
by(blast intro: linorder.is-rbt-rbttreeify[OF linorder-prod] sorted-alist-product linorder.rbt-sorted-entries[OF
lin-a] ord.is-rbt-rbt-sorted linorder.distinct-entries[OF lin-a] linorder.rbt-sorted-entries[OF
lin-b] distinct-alist-product linorder.distinct-entries[OF lin-b])

lemma rbt-lookup-rbt-product:
   $\llbracket \text{ord.is-rbt } (\sqsubseteq_a) \text{ rbt1}; \text{ord.is-rbt } (\sqsubseteq_b) \text{ rbt2} \rrbracket$ 
 $\implies \text{ord.rbt-lookup } (\sqsubseteq) (\text{rbt-product } f \text{ rbt1 rbt2}) (a, c) =$ 
  (case ord.rbt-lookup ( $\sqsubseteq_a$ ) rbt1 a of None  $\Rightarrow$  None
  | Some b  $\Rightarrow$  map-option (f a b c) (ord.rbt-lookup ( $\sqsubseteq_b$ ) rbt2 c))
by(simp add: rbt-product-def linorder.rbt-lookup-rbttreeify[OF linorder-prod] linorder.is-rbt-rbttreeify[OF
linorder-prod] sorted-alist-product linorder.rbt-sorted-entries[OF lin-a] ord.is-rbt-rbt-sorted
linorder.distinct-entries[OF lin-a] linorder.rbt-sorted-entries[OF lin-b] distinct-alist-product
linorder.distinct-entries[OF lin-b] map-of-alist-product linorder.map-of-entries[OF
lin-a] linorder.map-of-entries[OF lin-b] cong: option.case-cong)

end

hide-const less-eq-prod' less-prod'

```

3.6.3 Build an RBT where keys are paired with themselves

```

primrec RBT-Impl-diag :: ('a, 'b) rbt  $\Rightarrow$  ('a  $\times$  'a, 'b) rbt
where
  RBT-Impl-diag rbt.Empty = rbt.Empty
  | RBT-Impl-diag (rbt.Branch c l k v r) = rbt.Branch c (RBT-Impl-diag l) (k, k) v
  (RBT-Impl-diag r)

```

lemma *entries-RBT-Impl-diag*:

$RBT-Impl.entries (RBT-Impl-diag t) = map (\lambda(k, v). ((k, k), v)) (RBT-Impl.entries t)$
by(*induct t simp-all*)

lemma *keys-RBT-Impl-diag*:

$RBT-Impl.keys (RBT-Impl-diag t) = map (\lambda k. (k, k)) (RBT-Impl.keys t)$
by(*simp add: RBT-Impl.keys-def entries-RBT-Impl-diag split-beta*)

lemma *rbt-sorted-RBT-Impl-diag*:

$ord.rbt-sorted lt t \implies ord.rbt-sorted (less-prod leq lt lt) (RBT-Impl-diag t)$
by(*induct t*)(*auto simp add: ord.rbt-sorted.simps ord.rbt-less-prop ord.rbt-greater-prop keys-RBT-Impl-diag*)

lemma *bheight-RBT-Impl-diag*:

$bheight (RBT-Impl-diag t) = bheight t$
by(*induct t simp-all*)

lemma *inv-RBT-Impl-diag*:

assumes *inv1 t inv2 t*
shows *inv1 (RBT-Impl-diag t) inv2 (RBT-Impl-diag t)*
and $color-of t = color.B \implies color-of (RBT-Impl-diag t) = color.B$
using *assms* **by**(*induct t*)(*auto simp add: bheight-RBT-Impl-diag*)

lemma *is-rbt-RBT-Impl-diag*:

$ord.is-rbt lt t \implies ord.is-rbt (less-prod leq lt lt) (RBT-Impl-diag t)$
by(*simp add: ord.is-rbt-def rbt-sorted-RBT-Impl-diag inv-RBT-Impl-diag*)

lemma (*in linorder*) *rbt-lookup-RBT-Impl-diag*:

$ord.rbt-lookup (less-prod (\leq) (<) (<)) (RBT-Impl-diag t) =$
 $(\lambda(k, k'). \text{ if } k = k' \text{ then } ord.rbt-lookup (<) t k \text{ else None})$
by(*induct t*)(*auto simp add: ord.rbt-lookup.simps fun-eq-iff*)

3.6.4 Folding and quantifiers over RBTs

definition *RBT-Impl-fold1* :: $('a \Rightarrow 'a \Rightarrow 'a) \Rightarrow ('a, unit) RBT-Impl.rbt \Rightarrow 'a$
where $RBT-Impl-fold1 f rbt = fold f (tl (RBT-Impl.keys rbt)) (hd (RBT-Impl.keys rbt))$

lemma *RBT-Impl-fold1-simps* [*simp, code*]:

$RBT-Impl-fold1 f rbt.Empty = undefined$
 $RBT-Impl-fold1 f (Branch c rbt.Empty k v r) = RBT-Impl.fold (\lambda k v. f k) r k$
 $RBT-Impl-fold1 f (Branch c (Branch c' l' k' v' r') k v r) =$
 $RBT-Impl.fold (\lambda k v. f k) r (f k (RBT-Impl-fold1 f (Branch c' l' k' v' r')))$
by(*simp-all add: RBT-Impl-fold1-def RBT-Impl.keys-def fold-map RBT-Impl.fold-def split-def o-def tl-append hd-def split: list.split*)

definition *RBT-Impl-rbt-all* :: $('a \Rightarrow 'b \Rightarrow bool) \Rightarrow ('a, 'b) rbt \Rightarrow bool$

where $[code\ del]: RBT-Impl-rbt-all\ P\ rbt = (\forall (k, v) \in set\ (RBT-Impl.entries\ rbt)).$
 $P\ k\ v)$

lemma $RBT-Impl-rbt-all-simps\ [simp,\ code]:$
 $RBT-Impl-rbt-all\ P\ rbt.Empty \longleftrightarrow True$
 $RBT-Impl-rbt-all\ P\ (Branch\ c\ l\ k\ v\ r) \longleftrightarrow P\ k\ v \wedge RBT-Impl-rbt-all\ P\ l \wedge$
 $RBT-Impl-rbt-all\ P\ r$
by(*auto simp add: RBT-Impl-rbt-all-def*)

definition $RBT-Impl-rbt-ex :: ('a \Rightarrow 'b \Rightarrow bool) \Rightarrow ('a, 'b)\ rbt \Rightarrow bool$
where $[code\ del]: RBT-Impl-rbt-ex\ P\ rbt = (\exists (k, v) \in set\ (RBT-Impl.entries\ rbt)).$
 $P\ k\ v)$

lemma $RBT-Impl-rbt-ex-simps\ [simp,\ code]:$
 $RBT-Impl-rbt-ex\ P\ rbt.Empty \longleftrightarrow False$
 $RBT-Impl-rbt-ex\ P\ (Branch\ c\ l\ k\ v\ r) \longleftrightarrow P\ k\ v \vee RBT-Impl-rbt-ex\ P\ l \vee$
 $RBT-Impl-rbt-ex\ P\ r$
by(*auto simp add: RBT-Impl-rbt-ex-def*)

3.6.5 List fusion for RBTs

type-synonym $('a, 'b, 'c)\ rbt-generator-state = ('c \times ('a, 'b)\ RBT-Impl.rbt)\ list$
 $\times ('a, 'b)\ RBT-Impl.rbt$

fun $rbt-has-next :: ('a, 'b, 'c)\ rbt-generator-state \Rightarrow bool$
where
 $rbt-has-next\ ([],\ rbt.Empty) = False$
 $| rbt-has-next\ - = True$

fun $rbt-keys-next :: ('a, 'b, 'a)\ rbt-generator-state \Rightarrow 'a \times ('a, 'b, 'a)\ rbt-generator-state$
where
 $rbt-keys-next\ ((k, t) \# kts,\ rbt.Empty) = (k, kts, t)$
 $| rbt-keys-next\ (kts,\ rbt.Branch\ c\ l\ k\ v\ r) = rbt-keys-next\ ((k, r) \# kts,\ l)$

lemma $rbt-generator-induct\ [case-names\ empty\ split\ shuffle]:$
assumes $P\ ([],\ rbt.Empty)$
and $\bigwedge k\ t\ kts.\ P\ (kts, t) \Longrightarrow P\ ((k, t) \# kts,\ rbt.Empty)$
and $\bigwedge kts\ c\ l\ k\ v\ r.\ P\ ((f\ k\ v, r) \# kts, l) \Longrightarrow P\ (kts,\ Branch\ c\ l\ k\ v\ r)$
shows $P\ ktst$
using *assms*
apply(*induction-schema*)
apply *pat-completeness*
apply(*relation measure* $(\lambda(kts, t). size-list\ (\lambda(k, t). size-rbt\ (\lambda-. 1)\ (\lambda-. 1)\ t)\ kts$
 $+ size-rbt\ (\lambda-. 1)\ (\lambda-. 1)\ t))$
apply *simp-all*
done

lemma $terminates-rbt-keys-generator:$
 $terminates\ (rbt-has-next,\ rbt-keys-next)$

proof

```

fix ktst :: ('a × ('a, 'b) rbt) list × ('a, 'b) rbt
show ktst ∈ terminates-on (rbt-has-next, rbt-keys-next)
  by(induct ktst taking: λk -. k rule: rbt-generator-induct)(auto 4 3 intro: termi-
    nates-on.intros elim: terminates-on.cases)
qed

```

lift-definition *rbt-keys-generator* :: ('a, ('a, 'b, 'a) rbt-generator-state) generator
is (*rbt-has-next*, *rbt-keys-next*)
by(rule *terminates-rbt-keys-generator*)

definition *rbt-init* :: ('a, 'b) rbt ⇒ ('a, 'b, 'c) rbt-generator-state
where *rbt-init* = *Pair* []

lemma *has-next-rbt-keys-generator* [*simp*]:
list.has-next *rbt-keys-generator* = *rbt-has-next*
by *transfer simp*

lemma *next-rbt-keys-generator* [*simp*]:
list.next *rbt-keys-generator* = *rbt-keys-next*
by *transfer simp*

lemma *unfoldr-rbt-keys-generator-aux*:
list.unfoldr *rbt-keys-generator* (*kts*, *t*) =
RBT-Impl.keys *t* @ *concat* (*map* (λ(*k*, *t*). *k* # *RBT-Impl.keys* *t*) *kts*)
proof(induct (*kts*, *t*) arbitrary: *kts* *t* taking: λk -. k rule: rbt-generator-induct)
case empty thus ?*case*
by(*simp add: list.unfoldr.simps*)
next
case split thus ?*case*
by(*subst list.unfoldr.simps*) *simp*
next
case shuffle thus ?*case*
by(*subst list.unfoldr.simps*)(*subst (asm) list.unfoldr.simps, simp*)
qed

corollary *unfoldr-rbt-keys-generator*:
list.unfoldr *rbt-keys-generator* (*rbt-init* *t*) = *RBT-Impl.keys* *t*
by(*simp add: unfoldr-rbt-keys-generator-aux rbt-init-def*)

fun *rbt-entries-next* ::
 ('a, 'b, 'a × 'b) rbt-generator-state ⇒ ('a × 'b) × ('a, 'b, 'a × 'b) rbt-generator-state
where
rbt-entries-next ((*kv*, *t*) # *kts*, *rbt.Empty*) = (*kv*, *kts*, *t*)
| *rbt-entries-next* (*kts*, *rbt.Branch* *c* *l* *k* *v* *r*) = *rbt-entries-next* (((*k*, *v*), *r*) # *kts*,
l)

lemma *terminates-rbt-entries-generator*:
terminates (*rbt-has-next*, *rbt-entries-next*)

```

proof(rule terminatesI)
  fix ktst :: ('a, 'b, 'a × 'b) rbt-generator-state
  show ktst ∈ terminates-on (rbt-has-next, rbt-entries-next)
    by(induct ktst taking: Pair rule: rbt-generator-induct)(auto 4 3 intro: termi-
      nates-on.intros elim: terminates-on.cases)
qed

```

```

lift-definition rbt-entries-generator :: ('a × 'b, ('a, 'b, 'a × 'b) rbt-generator-state)
generator
  is (rbt-has-next, rbt-entries-next)
by(rule terminates-rbt-entries-generator)

```

```

lemma has-next-rbt-entries-generator [simp]:
  list.has-next rbt-entries-generator = rbt-has-next
by transfer simp

```

```

lemma next-rbt-entries-generator [simp]:
  list.next rbt-entries-generator = rbt-entries-next
by transfer simp

```

```

lemma unfoldr-rbt-entries-generator-aux:
  list.unfoldr rbt-entries-generator (kts, t) =
    RBT-Impl.entries t @ concat (map (λ(k, t). k # RBT-Impl.entries t) kts)
proof(induct (kts, t) arbitrary: kts t taking: Pair rule: rbt-generator-induct)
  case empty thus ?case
    by(simp add: list.unfoldr.simps)
next
  case split thus ?case
    by(subst list.unfoldr.simps) simp
next
  case shuffle thus ?case
    by(subst list.unfoldr.simps)(subst (asm) list.unfoldr.simps, simp)
qed

```

```

corollary unfoldr-rbt-entries-generator:
  list.unfoldr rbt-entries-generator (rbt-init t) = RBT-Impl.entries t
by(simp add: unfoldr-rbt-entries-generator-aux rbt-init-def)

```

```

end

```

```

theory RBT-Mapping2
imports
  Collection-Order
  RBT-ext
  Deriving.RBT-Comparator-Impl
begin

```

3.7 Mappings implemented by red-black trees

lemma *distinct-map-filterI*: $\text{distinct} (\text{map } f \text{ } xs) \implies \text{distinct} (\text{map } f (\text{filter } P \text{ } xs))$
by(*induct xs*) *auto*

lemma *map-of-filter-apply*:
 $\text{distinct} (\text{map } fst \text{ } xs)$
 $\implies \text{map-of} (\text{filter } P \text{ } xs) \text{ } k =$
 $(\text{case map-of } xs \text{ } k \text{ of } None \Rightarrow None \mid \text{Some } v \Rightarrow \text{if } P (k, v) \text{ then } \text{Some } v \text{ else } None)$
by(*induct xs*)(*auto simp add: map-of-eq-None-iff split: option.split*)

3.7.1 Type definition

typedef (**overloaded**) (*'a*, *'b*) *mapping-rbt*
 $= \{t :: ('a :: \text{ccompare}, 'b) \text{RBT-Impl.rbt. ord.is-rbt cless } t \vee ID \text{CCOMPARE}('a) = None\}$
morphisms *impl-of Mapping-RBT'*
proof
show $\text{RBT-Impl.Empty} \in ?\text{mapping-rbt}$ **by**(*simp add: ord.Empty-is-rbt*)
qed

definition *Mapping-RBT* :: (*'a* :: *ccompare*, *'b*) *rbt* \Rightarrow (*'a*, *'b*) *mapping-rbt*
where

$\text{Mapping-RBT } t = \text{Mapping-RBT}'$
 $(\text{if } \text{ord.is-rbt cless } t \vee ID \text{CCOMPARE}('a) = None \text{ then } t$
 $\text{else } \text{RBT-Impl.fold} (\text{ord.rbt-insert cless}) \text{ } t \text{ } \text{rbt.Empty})$

lemma *Mapping-RBT-inverse*:
fixes $y :: ('a :: \text{ccompare}, 'b) \text{rbt}$
assumes $y \in \{t. \text{ord.is-rbt cless } t \vee ID \text{CCOMPARE}('a) = None\}$
shows $\text{impl-of} (\text{Mapping-RBT } y) = y$
using *assms* **by**(*auto simp add: Mapping-RBT-def Mapping-RBT'-inverse*)

lemma *impl-of-inverse*: $\text{Mapping-RBT} (\text{impl-of } t) = t$
by(*cases t*)(*auto simp add: Mapping-RBT'-inverse Mapping-RBT-def*)

lemma *type-definition-mapping-rbt'*:
 $\text{type-definition impl-of Mapping-RBT}$
 $\{t :: ('a, 'b) \text{rbt. ord.is-rbt cless } t \vee ID \text{CCOMPARE}('a :: \text{ccompare}) = None\}$
by *unfold-locales*(*rule mapping-rbt.impl-of impl-of-inverse Mapping-RBT-inverse*)**+**

lemmas *Mapping-RBT-cases*[*cases type: mapping-rbt*] =
 $\text{type-definition.Abs-cases}[OF \text{type-definition-mapping-rbt}']$
and *Mapping-RBT-induct*[*induct type: mapping-rbt*] =
 $\text{type-definition.Abs-induct}[OF \text{type-definition-mapping-rbt}']$ **and**
 $\text{Mapping-RBT-inject} = \text{type-definition.Abs-inject}[OF \text{type-definition-mapping-rbt}']$

lemma *rbt-eq-iff*:
 $t1 = t2 \iff \text{impl-of } t1 = \text{impl-of } t2$

by (*simp add: impl-of-inject*)

lemma *rbt-eqI*:

impl-of t1 = impl-of t2 \implies t1 = t2

by (*simp add: rbt-eq-iff*)

lemma *Mapping-RBT-impl-of [simp]*:

Mapping-RBT (impl-of t) = t

by (*simp add: impl-of-inverse*)

3.7.2 Operations

setup-lifting *type-definition-mapping-rbt'*

context *fixes dummy :: 'a :: ccompare* **begin**

lift-definition *lookup :: ('a, 'b) mapping-rbt \Rightarrow 'a \rightarrow 'b* **is** *rbt-comp-lookup ccomp*
.

lift-definition *empty :: ('a, 'b) mapping-rbt* **is** *RBT-Impl.Empty*

by(*simp add: ord.Empty-is-rbt*)

lift-definition *insert :: 'a \Rightarrow 'b \Rightarrow ('a, 'b) mapping-rbt \Rightarrow ('a, 'b) mapping-rbt* **is**
rbt-comp-insert ccomp

by(*auto 4 3 intro: linorder.rbt-insert-is-rbt ID-ccompare simp: rbt-comp-insert[OF ID-ccompare]*)

lift-definition *delete :: 'a \Rightarrow ('a, 'b) mapping-rbt \Rightarrow ('a, 'b) mapping-rbt* **is**
rbt-comp-delete ccomp

by(*auto 4 3 intro: linorder.rbt-delete-is-rbt ID-ccompare simp: rbt-comp-delete[OF ID-ccompare]*)

lift-definition *bulkload :: ('a \times 'b) list \Rightarrow ('a, 'b) mapping-rbt* **is**
rbt-comp-bulkload ccomp

by(*auto 4 3 intro: linorder.rbt-bulkload-is-rbt ID-ccompare simp: rbt-comp-bulkload[OF ID-ccompare]*)

lift-definition *map-entry :: 'a \Rightarrow ('b \Rightarrow 'b) \Rightarrow ('a, 'b) mapping-rbt \Rightarrow ('a, 'b)*
mapping-rbt **is**

rbt-comp-map-entry ccomp

by(*auto simp: ord.rbt-map-entry-is-rbt rbt-comp-map-entry[OF ID-ccompare]*)

lift-definition *map :: ('a \Rightarrow 'b \Rightarrow 'c) \Rightarrow ('a, 'b) mapping-rbt \Rightarrow ('a, 'c) mapping-rbt*
is *RBT-Impl.map*

by(*simp add: ord.map-is-rbt*)

lift-definition *entries :: ('a, 'b) mapping-rbt \Rightarrow ('a \times 'b) list* **is** *RBT-Impl.entries*
.

lift-definition $keys :: ('a, 'b) \text{ mapping-rbt} \Rightarrow 'a \text{ set is set} \circ RBT-Impl.keys$.

lift-definition $fold :: ('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'c) \Rightarrow ('a, 'b) \text{ mapping-rbt} \Rightarrow 'c \Rightarrow 'c \text{ is } RBT-Impl.fold$.

lift-definition $is-empty :: ('a, 'b) \text{ mapping-rbt} \Rightarrow bool \text{ is case-rbt True } (\lambda - - - - . False)$.

lift-definition $filter :: ('a \times 'b \Rightarrow bool) \Rightarrow ('a, 'b) \text{ mapping-rbt} \Rightarrow ('a, 'b) \text{ mapping-rbt is}$

$\lambda P t. \text{rbtreeify } (List.filter P (RBT-Impl.entries t))$

by(*auto intro!*: $\text{linorder.is-rbt-rbtreeify ID-ccompare linorder.sorted-filter linorder.rbt-sorted-entries ord.is-rbt-rbt-sorted linorder.distinct-entries distinct-map-filterI simp add: filter-map[symmetric]}$)

lift-definition $join ::$

$('a \Rightarrow 'b \Rightarrow 'b \Rightarrow 'b) \Rightarrow ('a, 'b) \text{ mapping-rbt} \Rightarrow ('a, 'b) \text{ mapping-rbt} \Rightarrow ('a, 'b) \text{ mapping-rbt}$

is $\text{rbt-comp-union-with-key ccomp}$

by(*auto 4 3 intro*: $\text{linorder.is-rbt-rbt-unionwk ID-ccompare simp: rbt-comp-union-with-key[OF ID-ccompare]}$)

lift-definition $meet ::$

$('a \Rightarrow 'b \Rightarrow 'b \Rightarrow 'b) \Rightarrow ('a, 'b) \text{ mapping-rbt} \Rightarrow ('a, 'b) \text{ mapping-rbt} \Rightarrow ('a, 'b) \text{ mapping-rbt}$

is $\text{rbt-comp-inter-with-key ccomp}$

by(*auto 4 3 intro*: $\text{linorder.rbt-interwk-is-rbt ID-ccompare ord.is-rbt-rbt-sorted simp: rbt-comp-inter-with-key[OF ID-ccompare]}$)

lift-definition $all :: ('a \Rightarrow 'b \Rightarrow bool) \Rightarrow ('a, 'b) \text{ mapping-rbt} \Rightarrow bool$

is $RBT-Impl.rbt-all$.

lift-definition $ex :: ('a \Rightarrow 'b \Rightarrow bool) \Rightarrow ('a, 'b) \text{ mapping-rbt} \Rightarrow bool$

is $RBT-Impl.rbt-ex$.

lift-definition $product ::$

$('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'd \Rightarrow 'e) \Rightarrow ('a, 'b) \text{ mapping-rbt}$

$\Rightarrow ('c :: \text{ccompare}, 'd) \text{ mapping-rbt} \Rightarrow ('a \times 'c, 'e) \text{ mapping-rbt}$

is rbt-product

by (*fastforce intro*: $\text{is-rbt-rbt-product ID-ccompare simp add: lt-of-comp-less-prod ccompare-prod-def ID-Some ID-None split: option.split-asm}$)

lift-definition $diag ::$

$('a, 'b) \text{ mapping-rbt} \Rightarrow ('a \times 'a, 'b) \text{ mapping-rbt}$

is $RBT-Impl.diag$

by(*auto simp add*: $\text{lt-of-comp-less-prod ccompare-prod-def ID-Some ID-None is-rbt-RBT-Impl-diag split: option.split-asm}$)

lift-definition $init :: ('a, 'b) \text{ mapping-rbt} \Rightarrow ('a, 'b, 'c) \text{ rbt-generator-state}$

is rbt-init .

end

3.7.3 Properties

lemma *unfoldr-rbt-entries-generator*:

list.unfoldr rbt-entries-generator (init t) = entries t
by *transfer(simp add: unfoldr-rbt-entries-generator)*

lemma *lookup-RBT*:

ord.is-rbt cless t \implies
lookup (Mapping-RBT t) = rbt-comp-lookup ccomp t
by(*simp add: lookup-def Mapping-RBT-inverse*)

lemma *lookup-impl-of*:

rbt-comp-lookup ccomp (impl-of t) = lookup t
by(*transfer*) *simp*

lemma *entries-impl-of*:

RBT-Impl.entries (impl-of t) = entries t
by *transfer simp*

lemma *keys-impl-of*:

set (RBT-Impl.keys (impl-of t)) = keys t
by (*simp add: keys-def*)

lemma *lookup-empty [simp]*:

lookup empty = Map.empty
by *transfer (simp add: fun-eq-iff ord.rbt-lookup.simps)*

lemma *fold-conv-fold*:

fold f t = List.fold (case-prod f) (entries t)
by *transfer(simp add: RBT-Impl.fold-def)*

lemma *is-empty-empty [simp]*:

is-empty t \longleftrightarrow t = empty
by *transfer (simp split: rbt.split)*

context assumes *ID-ccompare-neq-None: ID CCOMPARE('a :: ccompare) \neq None*
begin

lemma *mapping-linorder*: *class.linorder (cless-eq :: 'a \Rightarrow 'a \Rightarrow bool) cless*
using *ID-ccompare-neq-None* **by**(*clarsimp*)(*rule ID-ccompare*)

lemma *mapping-comparator*: *comparator (ccomp :: 'a comparator)*
using *ID-ccompare-neq-None* **by**(*clarsimp*)(*rule ID-ccompare'*)

lemmas *rbt-comp[simp] = rbt-comp-simps[OF mapping-comparator]*

lemma *is-rbt-impl-of* [*simp*, *intro*]:
 fixes $t :: ('a, 'b) \text{ mapping-rbt}$
 shows $\text{ord.is-rbt cless (impl-of } t)$
 using *ID-ccompare-neq-None impl-of [of t] by auto*

lemma *lookup-insert* [*simp*]:
 $\text{lookup (insert (k :: 'a) v t) = (lookup t)(k \mapsto v)}$
 by transfer (*simp add: ID-ccompare-neq-None*
 $\text{linorder.rbt-lookup-rbt-insert[OF mapping-linorder]}$)

lemma *lookup-delete* [*simp*]:
 $\text{lookup (delete (k :: 'a) t) = (lookup t)(k := \text{None})}$
 by transfer (*simp add: ID-ccompare-neq-None linorder.rbt-lookup-rbt-delete[OF mapping-linorder]* *restrict-complement-singleton-eq*)

lemma *map-of-entries* [*simp*]:
 $\text{map-of (entries (t :: ('a, 'b) mapping-rbt)) = lookup t}$
 by transfer (*simp add: ID-ccompare-neq-None linorder.map-of-entries[OF mapping-linorder]*
 $\text{ord.is-rbt-rbt-sorted}$)

lemma *entries-lookup*:
 $\text{entries (t1 :: ('a, 'b) mapping-rbt) = entries t2} \longleftrightarrow \text{lookup t1 = lookup t2}$
 by transfer (*simp add: ID-ccompare-neq-None linorder.entries-rbt-lookup[OF mapping-linorder]* $\text{ord.is-rbt-rbt-sorted}$)

lemma *lookup-bulkload* [*simp*]:
 $\text{lookup (bulkload xs) = map-of (xs :: ('a \times 'b) \text{ list})}$
 by transfer (*simp add: linorder.rbt-lookup-rbt-bulkload[OF mapping-linorder]*)

lemma *lookup-map-entry* [*simp*]:
 $\text{lookup (map-entry (k :: 'a) f t) = (lookup t)(k := \text{map-option f (lookup t k)})}$
 by transfer (*simp add: ID-ccompare-neq-None linorder.rbt-lookup-rbt-map-entry[OF mapping-linorder]*)

lemma *lookup-map* [*simp*]:
 $\text{lookup (map f t) (k :: 'a) = \text{map-option (f k) (lookup t k)}}$
 by transfer (*simp add: linorder.rbt-lookup-map[OF mapping-linorder]*)

lemma *RBT-lookup-empty* [*simp*]:
 $\text{ord.rbt-lookup cless (t :: ('a, 'b) RBT-Impl.rbt) = Map.empty} \longleftrightarrow t = \text{RBT-Impl.Empty}$
proof –
 interpret *linorder cless-eq* :: $'a \Rightarrow 'a \Rightarrow \text{bool cless}$ by (rule *mapping-linorder*)
 show ?thesis by (cases t) (auto *simp add: fun-eq-iff*)
qed

lemma *lookup-empty-empty* [*simp*]:
 $\text{lookup t = Map.empty} \longleftrightarrow (t :: ('a, 'b) \text{ mapping-rbt}) = \text{empty}$
 by transfer *simp*

lemma *finite-dom-lookup* [*simp*]: *finite* (*dom* (*lookup* (*t* :: ('a, 'b) *mapping-rbt*)))
by *transfer*(*auto simp add: linorder.finite-dom-rbt-lookup*[*OF mapping-linorder*])

lemma *card-com-lookup* [*unfolded length-map, simp*]:
card (*dom* (*lookup* (*t* :: ('a, 'b) *mapping-rbt*))) = *length* (*List.map* *fst* (*entries* *t*))
by *transfer*(*auto simp add: linorder.rbt-lookup-keys*[*OF mapping-linorder*] *linorder.distinct-entries*[*OF mapping-linorder*] *RBT-Impl.keys-def* *ord.is-rbt-rbt-sorted* *ID-ccompare-neq-None* *List.card-set simp del: set-map length-map*)

lemma *lookup-join*:
lookup (*join* *f* (*t1* :: ('a, 'b) *mapping-rbt*) *t2*) =
($\lambda k.$ *case lookup* *t1* *k* of *None* \Rightarrow *lookup* *t2* *k* | *Some* *v1* \Rightarrow *Some* (*case lookup* *t2* *k* of *None* \Rightarrow *v1* | *Some* *v2* \Rightarrow *f* *k* *v1* *v2*))
by *transfer*(*auto simp add: fun-eq-iff linorder.rbt-lookup-rbt-unionwk*[*OF mapping-linorder*] *ord.is-rbt-rbt-sorted* *ID-ccompare-neq-None* *split: option.splits*)

lemma *lookup-meet*:
lookup (*meet* *f* (*t1* :: ('a, 'b) *mapping-rbt*) *t2*) =
($\lambda k.$ *case lookup* *t1* *k* of *None* \Rightarrow *None* | *Some* *v1* \Rightarrow *case lookup* *t2* *k* of *None* \Rightarrow *None* | *Some* *v2* \Rightarrow *Some* (*f* *k* *v1* *v2*))
by *transfer*(*auto simp add: fun-eq-iff linorder.rbt-lookup-rbt-interwk*[*OF mapping-linorder*] *ord.is-rbt-rbt-sorted* *ID-ccompare-neq-None* *split: option.splits*)

lemma *lookup-filter* [*simp*]:
lookup (*filter* *P* (*t* :: ('a, 'b) *mapping-rbt*)) *k* =
(*case lookup* *t* *k* of *None* \Rightarrow *None* | *Some* *v* \Rightarrow *if* *P* (*k*, *v*) *then* *Some* *v* *else* *None*)
by *transfer*(*simp split: option.split add: ID-ccompare-neq-None linorder.rbt-lookup-rbtreeify*[*OF mapping-linorder*] *linorder.sorted-filter*[*OF mapping-linorder*] *ord.is-rbt-rbt-sorted* *linorder.rbt-sorted-entries*[*OF mapping-linorder*] *distinct-map-filterI* *linorder.distinct-entries*[*OF mapping-linorder*] *map-of-filter-apply* *linorder.map-of-entries*[*OF mapping-linorder*])

lemma *all-conv-all-lookup*:
all *P* *t* \longleftrightarrow (\forall (*k* :: 'a) *v*. *lookup* *t* *k* = *Some* *v* \longrightarrow *P* *k* *v*)
by *transfer*(*auto simp add: ID-ccompare-neq-None linorder.rbt-lookup-keys*[*OF mapping-linorder*] *ord.is-rbt-rbt-sorted* *RBT-Impl.keys-def* *RBT-Impl-rbt-all-def* *linorder.map-of-entries*[*OF mapping-linorder, symmetric*] *linorder.distinct-entries*[*OF mapping-linorder*] *dest: map-of-SomeD* *intro: map-of-is-SomeI*)

lemma *ex-conv-ex-lookup*:
ex *P* *t* \longleftrightarrow (\exists (*k* :: 'a) *v*. *lookup* *t* *k* = *Some* *v* \wedge *P* *k* *v*)
by *transfer*(*auto simp add: ID-ccompare-neq-None linorder.rbt-lookup-keys*[*OF mapping-linorder*] *ord.is-rbt-rbt-sorted* *RBT-Impl.keys-def* *RBT-Impl-rbt-ex-def* *linorder.map-of-entries*[*OF mapping-linorder, symmetric*] *linorder.distinct-entries*[*OF mapping-linorder*] *intro: map-of-is-SomeI*)

lemma *diag-lookup*:
lookup (*diag* *t*) = (λ (*k* :: 'a, *k'*). *if* *k* = *k'* *then* *lookup* *t* *k* *else* *None*)
using *linorder.rbt-lookup-RBT-Impl-diag*[**where** *?b*='b', *OF mapping-linorder*]
apply *transfer*

```

apply (clarsimp simp add: ID-ccompare-neq-None ccompare-prod-def lt-of-comp-less-prod[symmetric]

  rbt-comp-lookup[OF comparator-prod[OF mapping-comparator mapping-comparator],
  symmetric]
  ID-Some split: option.split)
apply (unfold rbt-comp-lookup[OF mapping-comparator], simp)
done

context assumes ID-ccompare-neq-None': ID CCOMPARE('b :: ccompare) ≠
None
begin

lemma mapping-linorder': class.linorder (cless-eq :: 'b ⇒ 'b ⇒ bool) cless
using ID-ccompare-neq-None' by (clarsimp)(rule ID-ccompare)

lemma mapping-comparator': comparator (ccomp :: 'b comparator)
using ID-ccompare-neq-None' by (clarsimp)(rule ID-ccompare)

lemmas rbt-comp'[simp] = rbt-comp-simps[OF mapping-comparator']

lemma ccomp-comparator-prod:
  ccomp = (comparator-prod ccomp ccomp :: ('a × 'b) comparator)
  by (simp add: ccompare-prod-def lt-of-comp-less-prod ID-ccompare-neq-None ID-ccompare-neq-None'
  ID-Some split: option.splits)

lemma lookup-product:
  lookup (product f rbt1 rbt2) (a :: 'a, b :: 'b) =
  (case lookup rbt1 a of None ⇒ None
  | Some c ⇒ map-option (f a c b) (lookup rbt2 b))
using mapping-linorder mapping-linorder'
apply transfer
apply (unfold ccomp-comparator-prod rbt-comp-lookup[OF comparator-prod[OF map-
  ping-comparator mapping-comparator']]
  rbt-comp rbt-comp' lt-of-comp-less-prod)
apply (simp add: ID-ccompare-neq-None ID-ccompare-neq-None' rbt-lookup-rbt-product)
done
end

end

hide-const (open) impl-of lookup empty insert delete
  entries keys bulkload map-entry map fold join meet filter all ex product diag init

end

theory AssocList imports
  HOL-Library.DAList
begin

```

3.8 Additional operations for associative lists

3.8.1 Operations on the raw type

primrec *update-with-aux* :: 'val \Rightarrow 'key \Rightarrow ('val \Rightarrow 'val) \Rightarrow ('key \times 'val) list \Rightarrow ('key \times 'val) list

where

update-with-aux v k f [] = [(k, f v)]
 | *update-with-aux* v k f (p # ps) = (if (fst p = k) then (k, f (snd p)) # ps else p # *update-with-aux* v k f ps)

Do not use *AList.delete* because this traverses all the list even if it has found the key. We do not have to keep going because we use the invariant that keys are distinct.

fun *delete-aux* :: 'key \Rightarrow ('key \times 'val) list \Rightarrow ('key \times 'val) list

where

delete-aux k [] = []
 | *delete-aux* k ((k', v) # xs) = (if k = k' then xs else (k', v) # *delete-aux* k xs)

definition *zip-with-index-from* :: nat \Rightarrow 'a list \Rightarrow (nat \times 'a) list **where**

zip-with-index-from n xs = *zip* [n.. $n + \text{length } xs$] xs

abbreviation *zip-with-index* :: 'a list \Rightarrow (nat \times 'a) list **where**

zip-with-index \equiv *zip-with-index-from* 0

lemma *update-conv-update-with-aux*:

AList.update k v xs = *update-with-aux* v k (λ -. v) xs

by(*induct* xs) *simp-all*

lemma *map-of-update-with-aux'*:

map-of (*update-with-aux* v k f ps) k' = ((*map-of* ps)(k \mapsto (case *map-of* ps k of None \Rightarrow f v | Some v \Rightarrow f v))) k'

by(*induct* ps) *auto*

lemma *map-of-update-with-aux*:

map-of (*update-with-aux* v k f ps) = (*map-of* ps)(k \mapsto (case *map-of* ps k of None \Rightarrow f v | Some v \Rightarrow f v))

by(*simp add: fun-eq-iff map-of-update-with-aux'*)

lemma *dom-update-with-aux*: *fst* ' set (*update-with-aux* v k f ps) = {k} \cup *fst* ' set ps

by (*induct* ps) *auto*

lemma *distinct-update-with-aux* [*simp*]:

distinct (*map* *fst* (*update-with-aux* v k f ps)) = *distinct* (*map* *fst* ps)

by(*induct* ps)(*auto simp add: dom-update-with-aux*)

lemma *set-update-with-aux*:

distinct (*map* *fst* xs)

$\implies \text{set } (\text{update-with-aux } v \ k \ f \ xs) = (\text{set } xs - \{k\} \times UNIV \cup \{(k, f \ (case \ map-of \ xs \ k \ of \ None \Rightarrow v \mid \text{Some } v \Rightarrow v))\})$
by(*induct xs*)(*auto intro: rev-image-eqI*)

lemma *set-delete-aux*: $\text{distinct } (\text{map } fst \ xs) \implies \text{set } (\text{delete-aux } k \ xs) = \text{set } xs - \{k\} \times UNIV$
apply(*induct xs*)
apply *simp-all*
apply *clarsimp*
apply(*fastforce intro: rev-image-eqI*)
done

lemma *dom-delete-aux*: $\text{distinct } (\text{map } fst \ ps) \implies \text{fst } ' \text{set } (\text{delete-aux } k \ ps) = \text{fst } ' \text{set } ps - \{k\}$
by(*auto simp add: set-delete-aux*)

lemma *distinct-delete-aux* [*simp*]:
 $\text{distinct } (\text{map } fst \ ps) \implies \text{distinct } (\text{map } fst \ (\text{delete-aux } k \ ps))$
proof(*induct ps*)
case *Nil* **thus** ?*case* **by** *simp*
next
case (*Cons a ps*)
obtain *k' v* **where** *a*: *a* = (*k'*, *v*) **by**(*cases a*)
show ?*case*
proof(*cases k' = k*)
case *True* **with** *Cons a* **show** ?*thesis* **by** *simp*
next
case *False*
with *Cons a* **have** *k' ∉ fst ' set ps* $\text{distinct } (\text{map } fst \ ps)$ **by** *simp-all*
with *False a* **have** *k' ∉ fst ' set (delete-aux k ps)*
by(*auto dest!: dom-delete-aux[where k=k]*)
with *Cons a* **show** ?*thesis* **by** *simp*
qed
qed

lemma *map-of-delete-aux'*:
 $\text{distinct } (\text{map } fst \ xs) \implies \text{map-of } (\text{delete-aux } k \ xs) = (\text{map-of } xs)(k := None)$
by(*induct xs*)(*fastforce simp add: map-of-eq-None-iff fun-upd-twist*)

lemma *map-of-delete-aux*:
 $\text{distinct } (\text{map } fst \ xs) \implies \text{map-of } (\text{delete-aux } k \ xs) \ k' = ((\text{map-of } xs)(k := None)) \ k'$
by(*simp add: map-of-delete-aux'*)

lemma *delete-aux-eq-Nil-conv*: $\text{delete-aux } k \ ts = [] \longleftrightarrow ts = [] \vee (\exists v. ts = [(k, v)])$
by(*cases ts*)(*auto split: if-split-asm*)

lemma *zip-with-index-from-simps* [*simp*, *code*]:

$zip-with-index-from\ n\ [] = []$
 $zip-with-index-from\ n\ (x \# xs) = (n, x) \# zip-with-index-from\ (Suc\ n)\ xs$
by(simp-all add: zip-with-index-from-def upt-rec del: upt.upt-Suc)

lemma zip-with-index-from-append [simp]:
 $zip-with-index-from\ n\ (xs @ ys) = zip-with-index-from\ n\ xs @ zip-with-index-from\ (n + length\ xs)\ ys$
by(simp add: zip-with-index-from-def zip-append[symmetric] upt-add-eq-append[symmetric]
 del: zip-append)
 (simp add: add.assoc)

lemma zip-with-index-from-conv-nth:
 $zip-with-index-from\ n\ xs = map\ (\lambda i. (n + i, xs ! i))\ [0..<length\ xs]$
by(induction xs rule: rev-induct)(auto simp add: nth-append)

lemma map-of-zip-with-index-from [simp]:
 $map-of\ (zip-with-index-from\ n\ xs)\ i = (if\ i \geq n \wedge i < n + length\ xs\ then\ Some\ (xs ! (i - n))\ else\ None)$
by(auto simp add: zip-with-index-from-def set-zip intro: exI[where x=i - n])

lemma map-of-map': $map-of\ (map\ (\lambda(k, v). (k, f\ k\ v))\ xs)\ x = map-option\ (f\ x)\ (map-of\ xs\ x)$
by (induct xs)(auto)

3.8.2 Operations on the abstract type ('a, 'b) alist

lift-definition update-with :: 'v \Rightarrow 'k \Rightarrow ('v \Rightarrow 'v) \Rightarrow ('k, 'v) alist \Rightarrow ('k, 'v) alist
is update-with-aux **by** simp

lift-definition delete :: 'k \Rightarrow ('k, 'v) alist \Rightarrow ('k, 'v) alist **is** delete-aux
by simp

lift-definition keys :: ('k, 'v) alist \Rightarrow 'k set **is** set \circ map fst .

lift-definition set :: ('key, 'val) alist \Rightarrow ('key \times 'val) set
is List.set .

lift-definition map-values :: ('key \Rightarrow 'val \Rightarrow 'val') \Rightarrow ('key, 'val) alist \Rightarrow ('key, 'val') alist **is**
 $\lambda f. map\ (\lambda(x, y). (x, f\ x\ y))$
by(simp add: o-def split-def)

lemma lookup-update-with [simp]:
 $DAList.lookup\ (update-with\ v\ k\ f\ al) = (DAList.lookup\ al)(k \mapsto case\ DAList.lookup\ al\ k\ of\ None \Rightarrow f\ v \mid Some\ v \Rightarrow f\ v)$
by transfer(simp add: map-of-update-with-aux)

lemma lookup-delete [simp]: $DAList.lookup\ (delete\ k\ al) = (DAList.lookup\ al)(k := None)$

by *transfer*(*simp add: map-of-delete-aux'*)

lemma *finite-dom-lookup* [*simp, intro!*]: *finite* (*dom* (*DAList.lookup m*))
by *transfer*(*simp add: finite-dom-map-of*)

lemma *update-conv-update-with*: *DAList.update k v = update-with v k* ($\lambda\cdot. v$)
by(*rule ext*)(*transfer, simp add: update-conv-update-with-aux*)

lemma *lookup-update* [*simp*]: *DAList.lookup* (*DAList.update k v al*) = (*DAList.lookup al*)(*k* \mapsto *v*)
by(*simp add: update-conv-update-with split: option.split*)

lemma *dom-lookup-keys*: *dom* (*DAList.lookup al*) = *keys al*
by *transfer*(*simp add: dom-map-of-conv-image-fst*)

lemma *keys-empty* [*simp*]: *keys DAList.empty* = {}
by *transfer simp*

lemma *keys-update-with* [*simp*]: *keys* (*update-with v k f al*) = *insert k* (*keys al*)
by(*simp add: dom-lookup-keys[symmetric]*)

lemma *keys-update* [*simp*]: *keys* (*DAList.update k v al*) = *insert k* (*keys al*)
by(*simp add: update-conv-update-with*)

lemma *keys-delete* [*simp*]: *keys* (*delete k al*) = *keys al* - {*k*}
by(*simp add: dom-lookup-keys[symmetric]*)

lemma *set-empty* [*simp*]: *set DAList.empty* = {}
by *transfer simp*

lemma *set-update-with*:
 $\text{set } (\text{update-with } v \ k \ f \ al) =$
 $(\text{set } al - \{k\} \times UNIV \cup \{(k, f \ (case \ DAList.lookup \ al \ k \ of \ None \Rightarrow v \mid \ Some \ v \Rightarrow v))\})$
by *transfer*(*simp add: set-update-with-aux*)

lemma *set-update*: *set* (*DAList.update k v al*) = (*set al* - {*k*} \times *UNIV* \cup {(*k*, *v*)})
by(*simp add: update-conv-update-with set-update-with*)

lemma *set-delete*: *set* (*delete k al*) = *set al* - {*k*} \times *UNIV*
by *transfer*(*simp add: set-delete-aux*)

lemma *size-dalist-transfer* [*transfer-rule*]:
includes *lifting-syntax*
shows (*pcr-alist* (=) (=) \implies (=)) *length size*
unfolding *size-alist-def*[*abs-def*]
by *transfer-prover*

lemma *size-eq-card-dom-lookup*: $\text{size } al = \text{card } (\text{dom } (DAList.lookup\ al))$
by *transfer (metis comp-apply distinct-card dom-map-of-conv-image-fst image-set length-map)*

hide-const (**open**) *update-with keys set delete*

end

theory *DList-Set* **imports**
Collection-Eq
Equal
begin

3.9 Sets implemented by distinct lists

3.9.1 Operations on the raw type with parametrised equality

context *equal-base* **begin**

primrec *list-member* :: $'a\ list \Rightarrow 'a \Rightarrow bool$

where

$\text{list-member } []\ y \longleftrightarrow False$
 $|\ \text{list-member } (x \# xs)\ y \longleftrightarrow \text{equal } x\ y \vee \text{list-member } xs\ y$

primrec *list-distinct* :: $'a\ list \Rightarrow bool$

where

$\text{list-distinct } [] \longleftrightarrow True$
 $|\ \text{list-distinct } (x \# xs) \longleftrightarrow \neg \text{list-member } xs\ x \wedge \text{list-distinct } xs$

definition *list-insert* :: $'a \Rightarrow 'a\ list \Rightarrow 'a\ list$ **where**

$\text{list-insert } x\ xs = (\text{if } \text{list-member } xs\ x \text{ then } xs \text{ else } x \# xs)$

primrec *list-remove1* :: $'a \Rightarrow 'a\ list \Rightarrow 'a\ list$ **where**

$\text{list-remove1 } x\ [] = []$
 $|\ \text{list-remove1 } x\ (y \# xs) = (\text{if } \text{equal } x\ y \text{ then } xs \text{ else } y \# \text{list-remove1 } x\ xs)$

primrec *list-remdups* :: $'a\ list \Rightarrow 'a\ list$ **where**

$\text{list-remdups } [] = []$
 $|\ \text{list-remdups } (x \# xs) = (\text{if } \text{list-member } xs\ x \text{ then } \text{list-remdups } xs \text{ else } x \# \text{list-remdups } xs)$

lemma *list-member-filterD*: $\text{list-member } (\text{filter } P\ xs)\ x \Longrightarrow \text{list-member } xs\ x$

by (*induct xs*) (*auto split: if-split-asm*)

lemma *list-distinct-filter* [*simp*]: $\text{list-distinct } xs \Longrightarrow \text{list-distinct } (\text{filter } P\ xs)$

by (*induct xs*) (*auto dest: list-member-filterD*)

lemma *list-distinct-tl* [*simp*]: $\text{list-distinct } xs \Longrightarrow \text{list-distinct } (\text{tl } xs)$

by(*cases xs*) *simp-all*

end

lemmas [*code*] =
equal-base.list-member.simps
equal-base.list-distinct.simps
equal-base.list-insert-def
equal-base.list-remove1.simps
equal-base.list-remdups.simps

lemmas [*simp*] =
equal-base.list-member.simps
equal-base.list-distinct.simps
equal-base.list-remove1.simps
equal-base.list-remdups.simps

lemma *list-member-conv-member* [*simp*]:
equal-base.list-member (=) = *List.member*
proof(*intro ext*)
 fix *xs* **and** *x* :: 'a
 show *equal-base.list-member* (=) *xs x* = *List.member xs x*
 by(*induct xs*)(*auto simp add: List.member-def*)
qed

lemma *list-distinct-conv-distinct* [*simp*]:
equal-base.list-distinct (=) = *List.distinct*
proof
 fix *xs* :: 'a list
 show *equal-base.list-distinct* (=) *xs* = *distinct xs*
 by(*induct xs*)(*auto simp add: List.member-def*)
qed

lemma *list-insert-conv-insert* [*simp*]:
equal-base.list-insert (=) = *List.insert*
unfolding *equal-base.list-insert-def*[*abs-def*] *List.insert-def*[*abs-def*]
by(*simp add: List.member-def*)

lemma *list-remove1-conv-remove1* [*simp*]:
equal-base.list-remove1 (=) = *List.remove1*
unfolding *equal-base.list-remove1-def* *List.remove1-def* ..

lemma *list-remdups-conv-remdups* [*simp*]:
equal-base.list-remdups (=) = *List.remdups*
unfolding *equal-base.list-remdups-def* *List.remdups-def* *list-member-conv-member*
List.member-def ..

context *equal* **begin**

lemma *member-insert* [simp]: *list-member* (*list-insert* *x xs*) *y* \longleftrightarrow *equal* *x y* \vee *list-member* *xs y*

by(*auto simp add: equal-eq List.member-def*)

lemma *member-remove1* [simp]:

\neg *equal* *x y* \implies *list-member* (*list-remove1* *x xs*) *y* = *list-member* *xs y*

by(*simp add: equal-eq List.member-def*)

lemma *distinct-remove1*:

list-distinct *xs* \implies *list-distinct* (*list-remove1* *x xs*)

by(*simp add: equal-eq*)

lemma *distinct-member-remove1* [simp]:

list-distinct *xs* \implies *list-member* (*list-remove1* *x xs*) = (*list-member* *xs*)(*x* := *False*)

by(*auto simp add: equal-eq List.member-def[abs-def] fun-eq-iff*)

end

lemma *ID-ceq*:

ID *CEQ*('a :: *ceq*) = *Some eq* \implies *equal* *eq*

by(*unfold-locales*)(*clarsimp simp add: ID-ceq*)

3.9.2 The type of distinct lists

typedef (overloaded) 'a :: *ceq* *set-dlist* =

{*xs*::'a *list*. *equal-base.list-distinct* *ceq'* *xs* \vee *ID* *CEQ*('a) = *None*}

morphisms *list-of-dlist* *Abs-dlist'*

proof

show [] \in ?*set-dlist* **by**(*simp*)

qed

definition *Abs-dlist* :: 'a :: *ceq* *list* \Rightarrow 'a *set-dlist*

where

Abs-dlist *xs* = *Abs-dlist'*

(if *equal-base.list-distinct* *ceq'* *xs* \vee *ID* *CEQ*('a) = *None* then *xs*

else *equal-base.list-remdups* *ceq'* *xs*)

lemma *Abs-dlist-inverse*:

fixes *y* :: 'a :: *ceq* *list*

assumes *y* \in {*xs*. *equal-base.list-distinct* *ceq'* *xs* \vee *ID* *CEQ*('a) = *None*}

shows *list-of-dlist* (*Abs-dlist* *y*) = *y*

using *assms* **by**(*auto simp add: Abs-dlist-def Abs-dlist'-inverse*)

lemma *list-of-dlist-inverse*: *Abs-dlist* (*list-of-dlist* *dxs*) = *dxs*

by(*cases* *dxs*)(*simp add: Abs-dlist'-inverse Abs-dlist-def*)

lemma *type-definition-set-dlist'*:

type-definition *list-of-dlist* *Abs-dlist*

$\{xs :: 'a :: \text{ceq list. equal-base.list-distinct } \text{ceq}' xs \vee ID \text{ CEQ}('a) = \text{None}\}$
by(*unfold-locales*)(*rule set-dlist.list-of-dlist Abs-dlist-inverse list-of-dlist-inverse*)+

lemmas *Abs-dlist-cases*[*cases type: set-dlist*] =
type-definition.Abs-cases[*OF type-definition-set-dlist*']
and *Abs-dlist-induct*[*induct type: set-dlist*] =
type-definition.Abs-induct[*OF type-definition-set-dlist*'] **and**
Abs-dlist-inject = *type-definition.Abs-inject*[*OF type-definition-set-dlist*']

setup-lifting *type-definition-set-dlist'*

3.9.3 Operations

lift-definition *empty* :: *'a :: ceq set-dlist* **is** []
by *simp*

lift-definition *insert* :: *'a :: ceq* \Rightarrow *'a set-dlist* \Rightarrow *'a set-dlist* **is**
equal-base.list-insert ceq'
by(*simp add: equal-base.list-insert-def*)

lift-definition *remove* :: *'a :: ceq* \Rightarrow *'a set-dlist* \Rightarrow *'a set-dlist* **is**
equal-base.list-remove1 ceq'
by(*auto simp: equal.distinct-remove1 ID-ceq*)

lift-definition *filter* :: (*'a :: ceq* \Rightarrow *bool*) \Rightarrow *'a set-dlist* \Rightarrow *'a set-dlist* **is** *List.filter*
by(*auto simp add: equal-base.list-distinct-filter*)

Derived operations:

lift-definition *null* :: *'a :: ceq set-dlist* \Rightarrow *bool* **is** *List.null* .

lift-definition *member* :: *'a :: ceq set-dlist* \Rightarrow *'a* \Rightarrow *bool* **is** *equal-base.list-member ceq'* .

lift-definition *length* :: *'a :: ceq set-dlist* \Rightarrow *nat* **is** *List.length* .

lift-definition *fold* :: (*'a :: ceq* \Rightarrow *'b* \Rightarrow *'b*) \Rightarrow *'a set-dlist* \Rightarrow *'b* \Rightarrow *'b* **is** *List.fold* .

lift-definition *foldr* :: (*'a :: ceq* \Rightarrow *'b* \Rightarrow *'b*) \Rightarrow *'a set-dlist* \Rightarrow *'b* \Rightarrow *'b* **is** *List.foldr* .

lift-definition *hd* :: *'a :: ceq set-dlist* \Rightarrow *'a* **is** *List.hd* .

lift-definition *tl* :: *'a :: ceq set-dlist* \Rightarrow *'a set-dlist* **is** *List.tl*
by(*auto simp add: equal-base.list-distinct-tl*)

lift-definition *dlist-all* :: (*'a* \Rightarrow *bool*) \Rightarrow *'a :: ceq set-dlist* \Rightarrow *bool* **is** *list-all* .

lift-definition *dlist-ex* :: (*'a* \Rightarrow *bool*) \Rightarrow *'a :: ceq set-dlist* \Rightarrow *bool* **is** *list-ex* .

definition $union :: 'a :: ceq\ set-dlist \Rightarrow 'a\ set-dlist \Rightarrow 'a\ set-dlist$ **where**
 $union = fold\ insert$

lift-definition $product :: 'a :: ceq\ set-dlist \Rightarrow 'b :: ceq\ set-dlist \Rightarrow ('a \times 'b)\ set-dlist$
is $\lambda xs\ ys. rev\ (concat\ (map\ (\lambda x. map\ (Pair\ x)\ ys)\ xs))$

proof –

fix $xs :: 'a\ list$ **and** $ys :: 'b\ list$
assume $*$: $equal-base.list-distinct\ ceq'\ xs \vee ID\ CEQ('a) = None$
 $equal-base.list-distinct\ ceq'\ ys \vee ID\ CEQ('b) = None$
let $?product = concat\ (map\ (\lambda x. map\ (Pair\ x)\ ys)\ xs)$
{ **assume** neg : $ID\ CEQ('a) \neq None \quad ID\ CEQ('b) \neq None$
hence ceq' : $ceq' = ((=) :: 'a \Rightarrow 'a \Rightarrow bool) \quad ceq' = ((=) :: 'b \Rightarrow 'b \Rightarrow bool)$
by($auto\ intro$: $equal.equal-eq[OF\ ID-ceq]$)
with $*$ **neg** **have** $distinct\ xs \quad distinct\ ys$ **by** $simp-all$
hence $distinct\ ?product$
by($cases\ ys = []$)($auto\ simp\ add$: $distinct-map\ map-replicate-const\ intro!$:
 $inj-onI\ distinct-concat$)
hence $distinct\ (rev\ ?product)$ **by** $simp$
moreover **have** $ceq' = ((=) :: ('a \times 'b) \Rightarrow ('a \times 'b) \Rightarrow bool)$
using $neg\ ceq'$ **by** ($auto\ simp\ add$: $ceq-prod-def\ ID-Some\ fun-eq-iff\ list-all-eq-def$)
ultimately **have** $equal-base.list-distinct\ ceq'\ (rev\ ?product)$ **by** $simp\ }$
with $*$
show $equal-base.list-distinct\ ceq'\ (rev\ ?product) \vee ID\ CEQ('a \times 'b) = None$
by($fastforce\ simp\ add$: $ceq-prod-def\ ID-def\ split$: $option.split-asm$)
qed

lift-definition $Id-on :: 'a :: ceq\ set-dlist \Rightarrow ('a \times 'a)\ set-dlist$

is $map\ (\lambda x. (x, x))$

proof –

fix $xs :: 'a\ list$
assume ceq : $equal-base.list-distinct\ ceq'\ xs \vee ID\ CEQ('a) = None$
{
assume ceq : $ID\ CEQ('a \times 'a) \neq None$
and xs : $equal-base.list-distinct\ ceq'\ xs$
from ceq **have** $ID\ CEQ('a) \neq None$
and $ceq' = ((=) :: 'a \Rightarrow 'a \Rightarrow bool)$
and $ceq' = ((=) :: ('a \times 'a) \Rightarrow ('a \times 'a) \Rightarrow bool)$
by($auto\ simp\ add$: $equal.equal-eq[OF\ ID-ceq]\ ceq-prod-def\ ID-None\ ID-Some$
 $split$: $option.split-asm$)
hence $?thesis\ xs$ **using** xs **by**($auto\ simp\ add$: $distinct-map\ intro$: $inj-onI$) **}**
thus $?thesis\ xs$ **using** ceq **by**($auto\ dest$: $equal.equal-eq[OF\ ID-ceq]\ simp\ add$:
 $ceq-prod-def\ ID-None$)
qed

3.9.4 Properties

lemma $member-empty\ [simp]$: $member\ empty = (\lambda -. False)$

by $transfer\ (simp\ add$: $fun-eq-iff$)

lemma *null-iff* [simp]: $\text{null } xs \longleftrightarrow xs = \text{empty}$
by *transfer*(*simp add: List.null-def*)

lemma *list-of-dlist-empty* [simp]: $\text{list-of-dlist } DList\text{-Set.empty} = []$
by(*rule empty.rep-eq*)

lemma *list-of-dlist-insert* [simp]: $\neg \text{member } dxs \ x \implies \text{list-of-dlist } (\text{insert } x \ dxs) =$
 $x \ \# \ \text{list-of-dlist } dxs$
by(*cases dxs*)(*auto simp add: DList-Set.insert-def DList-Set.member-def Abs-dlist-inverse*
Abs-dlist-inject equal-base.list-insert-def List.member-def intro: Abs-dlist-inverse)

lemma *list-of-dlist-eq-Nil-iff* [simp]: $\text{list-of-dlist } dxs = [] \longleftrightarrow dxs = \text{empty}$
by(*cases dxs*)(*auto simp add: Abs-dlist-inverse Abs-dlist-inject DList-Set.empty-def*)

lemma *fold-empty* [simp]: $DList\text{-Set.fold } f \ \text{empty } b = b$
by(*transfer*) *simp*

lemma *fold-insert* [simp]: $\neg \text{member } dxs \ x \implies DList\text{-Set.fold } f \ (\text{insert } x \ dxs) \ b =$
 $DList\text{-Set.fold } f \ dxs \ (f \ x \ b)$
by(*transfer*)(*simp add: equal-base.list-insert-def*)

lemma *no-memb-fold-insert*:
 $\neg \text{member } dxs \ x \implies \text{fold } f \ (\text{insert } x \ dxs) \ b = \text{fold } f \ dxs \ (f \ x \ b)$
by(*transfer*)(*simp add: equal-base.list-insert-def*)

lemma *set-fold-insert*: $\text{set } (\text{List.fold } List.\text{insert } xs1 \ xs2) = \text{set } xs1 \cup \text{set } xs2$
by(*induct xs1 arbitrary: xs2*) *simp-all*

lemma *list-of-dlist-eq-singleton-conv*:
 $\text{list-of-dlist } dxs = [x] \longleftrightarrow dxs = DList\text{-Set.insert } x \ DList\text{-Set.empty}$
by *transfer*(*case-tac dxs, auto simp add: equal-base.list-insert-def*)

lemma *product-code* [code abstract]:
 $\text{list-of-dlist } (\text{product } dxs1 \ dxs2) = \text{fold } (\lambda a. \text{fold } (\lambda c \ \text{rest}. (a, c) \ \# \ \text{rest}) \ dxs2)$
 $dxs1 \ []$

proof –

{ **fix** *xs ys and zs* :: ('a × 'b) list
have *rev* (*concat* (*map* ($\lambda x. \text{map } (\text{Pair } x) \ ys$) *xs*)) @ *zs* =
 $\text{List.fold } (\lambda a. \text{List.fold } (\lambda c \ \text{rest}. (a, c) \ \# \ \text{rest}) \ ys) \ xs \ zs$
proof(*induction xs arbitrary: zs*)
case Nil **thus** ?*case* **by** *simp*
next
case (*Cons x xs*)
have $\text{List.fold } (\lambda c \ \text{rest}. (x, c) \ \# \ \text{rest}) \ ys \ zs = \text{rev } (\text{map } (\text{Pair } x) \ ys) \ @ \ zs$
by(*induct ys arbitrary: zs*) *simp-all*
with *Cons.IH*[*of rev (map (Pair x) ys) @ zs*]
show ?*case* **by** *simp*
qed }
from *this*[*of list-of-dlist dxs2 list-of-dlist dxs1 []*]

```

show ?thesis by(simp add: product.rep-eq fold.rep-eq)
qed

```

```

lemma set-list-of-dlist-Abs-dlist:
  set (list-of-dlist (Abs-dlist xs)) = set xs
by(clarsimp simp add: Abs-dlist-def Abs-dlist'-inverse)(subst Abs-dlist'-inverse, auto
dest: equal.equal-eq[OF ID-ceq])

```

```

context assumes ID-ceq-neq-None: ID CEQ('a :: ceq) ≠ None
begin

```

```

lemma equal-ceq: equal (ceq' :: 'a ⇒ 'a ⇒ bool)
using ID-ceq-neq-None by(clarsimp)(rule ID-ceq)

```

```

declare Domainp-forall-transfer[where A = pcr-set-dlist (=), simplified set-dlist.domain-eq,
transfer-rule]

```

```

lemma set-dlist-induct [case-names Nil insert, induct type: set-dlist]:
  fixes dxs :: 'a :: ceq set-dlist
  assumes Nil: P empty and Cons:  $\bigwedge a \ dxs. \llbracket \neg \text{member } dxs \ a; P \ dxs \rrbracket \implies P$ 
  (insert a dxs)
  shows P dxs
using assms
proof transfer
  fix P :: 'a list ⇒ bool and xs :: 'a list
  assume NIL: P []
  and Insert:  $\bigwedge xs. \text{equal-base.list-distinct } ceq' \ xs \vee ID \ CEQ('a) = None$ 
     $\implies (\bigwedge x. \llbracket \neg \text{equal-base.list-member } ceq' \ xs \ x; P \ xs \rrbracket \implies P$ 
  (equal-base.list-insert ceq' x xs))
  and Eq: equal-base.list-distinct ceq' xs  $\vee ID \ CEQ('a) = None$ 
  from Eq show P xs
  proof(induction xs)
  case Nil show ?case by(rule NIL)
  next
  case (Cons x xs) thus ?case using Insert[of xs x] equal.equal-eq[OF equal-ceq]
  ID-ceq-neq-None
  by(auto simp add: List.member-def simp del: not-None-eq)
qed
qed

```

```

context includes lifting-syntax
begin

```

```

lemma fold-transfer2 [transfer-rule]:
  assumes is-equality A
  shows ((A ==> pcr-set-dlist (=) ==> pcr-set-dlist (=)) ==>
    (pcr-set-dlist (=) :: 'a list ⇒ 'a set-dlist ⇒ bool) ==> pcr-set-dlist (=) ==>
    pcr-set-dlist (=))

```

```

    List.fold DList-Set.fold
  unfolding Transfer.Rel-def set-dlist.pcr-cr-eq
  proof(rule rel-funI)+
    fix f :: 'a  $\Rightarrow$  'b list  $\Rightarrow$  'b list and g and xs :: 'a list and ys and b :: 'b list and c
    assume fg: (A  $\implies$  cr-set-dlist  $\implies$  cr-set-dlist) f g
    assume cr-set-dlist xs ys cr-set-dlist b c
    thus cr-set-dlist (List.fold f xs b) (DList-Set.fold g ys c)
  proof(induct ys arbitrary: xs b c rule: set-dlist-induct)
    case Nil thus ?case by(simp add: cr-set-dlist-def)
  next
    case (insert y dxs)
    have A y y and cr-set-dlist (list-of-dlist c) c
      using assms by(simp-all add: cr-set-dlist-def is-equality-def)
    with fg have cr-set-dlist (f y (list-of-dlist c)) (g y c)
      by -(drule (1) rel-funD)+
    thus ?case using insert by(simp add: cr-set-dlist-def)
  qed
qed
end

```

```

lemma distinct-list-of-dlist:
  distinct (list-of-dlist (dxs :: 'a set-dlist))
using list-of-dlist[of dxs] equal.equal-eq[OF equal-ceq]
by(simp add: ID-ceq-neq-None)

```

```

lemma member-empty-empty: ( $\forall x :: 'a. \neg \text{member } dxs\ x$ )  $\longleftrightarrow dxs = \text{empty}$ 
by(transfer)(simp add: equal.equal-eq[OF equal-ceq] List.member-def)

```

```

lemma Collect-member: Collect (member (dxs :: 'a set-dlist)) = set (list-of-dlist dxs)
by(simp add: member-def equal.equal-eq[OF equal-ceq] List.member-def[abs-def])

```

```

lemma member-insert: member (insert (x :: 'a) xs) = (member xs)(x := True)
by(transfer)(simp add: fun-eq-iff List.member-def ID-ceq-neq-None equal.equal-eq[OF equal-ceq])

```

```

lemma member-remove:
  member (remove (x :: 'a) xs) = (member xs)(x := False)
by transfer (auto simp add: fun-eq-iff ID-ceq-neq-None equal.equal-eq[OF equal-ceq] List.member-def)

```

```

lemma member-union: member (union (xs1 :: 'a set-dlist) xs2) x  $\longleftrightarrow$  member xs1 x  $\vee$  member xs2 x
unfolding union-def
by(transfer)(simp add: equal.equal-eq[OF equal-ceq] List.member-def set-fold-insert)

```

```

lemma member-fold-insert: member (List.fold insert xs dxs) (x :: 'a)  $\longleftrightarrow$  member dxs x  $\vee x \in \text{set } xs$ 

```


by *transfer*(*auto simp add: ID-ceq-neq-None equal.equal-eq[OF equal-ceq] List.member-def set-fold-insert*)

lemma *card-eq-length* [*simp*]:

card (Collect (member (dxs :: 'a set-dlist))) = length dxs

by *transfer*(*simp add: ID-ceq-neq-None equal.equal-eq[OF equal-ceq] List.member-def[abs-def] distinct-card*)

lemma *finite-member* [*simp*]:

finite (Collect (member (dxs :: 'a set-dlist)))

by *transfer*(*simp add: ID-ceq-neq-None equal.equal-eq[OF equal-ceq] List.member-def[abs-def]*)

lemma *member-filter* [*simp*]: *member (filter P xs) = ($\lambda x :: 'a. \text{member } xs \ x \wedge P \ x$)*

by *transfer*(*simp add: ID-ceq-neq-None equal.equal-eq[OF equal-ceq] List.member-def[abs-def]*)

lemma *dlist-all-conv-member*: *dlist-all P dxs $\longleftrightarrow (\forall x :: 'a. \text{member } dxs \ x \longrightarrow P \ x)$*

by *transfer*(*auto simp add: ID-ceq-neq-None equal.equal-eq[OF equal-ceq] list-all-iff List.member-def*)

lemma *dlist-ex-conv-member*: *dlist-ex P dxs $\longleftrightarrow (\exists x :: 'a. \text{member } dxs \ x \wedge P \ x)$*

by *transfer*(*auto simp add: ID-ceq-neq-None equal.equal-eq[OF equal-ceq] list-ex-iff List.member-def*)

lemma *member-Id-on*: *member (Id-on dxs) = ($\lambda(x :: 'a, y). x = y \wedge \text{member } dxs \ x$)*

proof –

have *ID CEQ('a \times 'a) = Some (=)*

using *equal.equal-eq[where ?'a='a, OF equal-ceq]*

by(*auto simp add: ceq-prod-def list-all-eq-def ID-ceq-neq-None ID-Some fun-eq-iff split: option.split*)

thus *?thesis*

using *equal.equal-eq[where ?'a='a, OF equal-ceq]*

by *transfer*(*auto simp add: ID-ceq-neq-None List.member-def[abs-def] ID-Some intro!: ext split: option.split-asm*)

qed

end

lemma *product-member*:

assumes *ID CEQ('a :: ceq) \neq None* *ID CEQ('b :: ceq) \neq None*

shows *member (product dxs1 dxs2) = ($\lambda(a :: 'a, b :: 'b). \text{member } dxs1 \ a \wedge \text{member } dxs2 \ b$)*

proof –

from *assms* **have** *ceq' = ((=) :: 'a \Rightarrow 'a \Rightarrow bool)* *ceq' = ((=) :: 'b \Rightarrow 'b \Rightarrow bool)*

by(*auto intro: equal.equal-eq[OF ID-ceq]*)

moreover with *assms* **have** *ceq' = ((=) :: ('a \times 'b) \Rightarrow ('a \times 'b) \Rightarrow bool)*

```

    by(auto simp add: ceq-prod-def list-all-eq-def ID-Some fun-eq-iff)
  ultimately show ?thesis by(transfer)(auto simp add: List.member-def[abs-def])
qed

```

```

hide-const (open) empty insert remove null member length fold foldr union filter
hd tl dlist-all product Id-on

```

```

end

```

```

theory RBT-Set2
imports
  RBT-Mapping2
begin

```

3.10 Sets implemented by red-black trees

lemma *map-of-map-Pair-const*:

```

  map-of (map (λx. (x, v)) xs) = (λx. if x ∈ set xs then Some v else None)
by(induct xs) auto

```

lemma *map-of-rev-unit [simp]*:

```

  fixes xs :: ('a * unit) list
  shows map-of (rev xs) = map-of xs
by(induct xs rule: rev-induct)(auto simp add: map-add-def split: option.split)

```

lemma *fold-split-conv-map-fst*: $\text{fold } (\lambda(x, y). f\ x)\ xs = \text{fold } f\ (\text{map } \text{fst } xs)$

```

by(simp add: fold-map o-def split-def)

```

lemma *foldr-split-conv-map-fst*: $\text{foldr } (\lambda(x, y). f\ x)\ xs = \text{foldr } f\ (\text{map } \text{fst } xs)$

```

by(simp add: foldr-map o-def split-def fun-eq-iff)

```

lemma *set-foldr-Cons*:

```

  set (foldr (λx xs. if P x xs then x # xs else xs) as []) ⊆ set as
by(induct as) auto

```

lemma *distinct-fst-foldr-Cons*:

```

  distinct (map f as) ⟹ distinct (map f (foldr (λx xs. if P x xs then x # xs else
xs) as []))

```

proof(induct as)

case (Cons a as)

with set-foldr-Cons[of P as] show ?case by auto

```

qed simp

```

lemma *filter-conv-foldr*:

```

  filter P xs = foldr (λx xs. if P x then x # xs else xs) xs []

```

```

by(induct xs) simp-all

```

lemma *map-of-filter*: $\text{map-of } (\text{filter } (\lambda x. P\ (\text{fst } x))\ xs) = \text{map-of } xs \mid^{\text{'Collect } P}$

by(*induct xs*)(*simp-all add: fun-eq-iff restrict-map-def*)

lemma *map-of-map-Pair-key*: *map-of* (*map* ($\lambda k. (k, f k)$) *xs*) *x* = (*if* *x* \in *set xs* *then* *Some* (*f x*) *else* *None*)
by(*induct xs*) *simp-all*

lemma *neg-Empty-conv*: $t \neq \text{rbt.Empty} \longleftrightarrow (\exists c\ l\ k\ v\ r. t = \text{Branch } c\ l\ k\ v\ r)$
by(*cases t*) *simp-all*

context *linorder* **begin**

lemma *is-rbt-RBT-fold-rbt-insert* [*simp*]:
 $\text{is-rbt } t \implies \text{is-rbt } (\text{fold } (\lambda(k, v). \text{rbt-insert } k\ v) \text{ } xs\ t)$
by(*induct xs arbitrary: t*)(*simp-all add: split-beta*)

lemma *rbt-lookup-RBT-fold-rbt-insert* [*simp*]:
 $\text{is-rbt } t \implies \text{rbt-lookup } (\text{fold } (\lambda(k, v). \text{rbt-insert } k\ v) \text{ } xs\ t) = \text{rbt-lookup } t ++ \text{map-of } (\text{rev } xs)$
apply(*induct xs arbitrary: t rule: rev-induct*)
apply(*simp-all add: split-beta fun-eq-iff rbt-lookup-rbt-insert*)
done

lemma *is-rbt-fold-rbt-delete* [*simp*]:
 $\text{is-rbt } t \implies \text{is-rbt } (\text{fold } \text{rbt-delete } xs\ t)$
by(*induct xs arbitrary: t*)(*simp-all*)

lemma *rbt-lookup-fold-rbt-delete* [*simp*]:
 $\text{is-rbt } t \implies \text{rbt-lookup } (\text{fold } \text{rbt-delete } xs\ t) = \text{rbt-lookup } t \mid' (- \text{ set } xs)$
apply(*induct xs rule: rev-induct*)
apply(*simp-all add: rbt-lookup-rbt-delete ext*)
apply(*metis Un-insert-right compl-sup sup-bot-right*)
done

lemma *is-rbt-fold-rbt-insert*: $\text{is-rbt } t \implies \text{is-rbt } (\text{fold } (\lambda k. \text{rbt-insert } k\ (f k)) \text{ } xs\ t)$
by(*induct xs rule: rev-induct*) *simp-all*

lemma *rbt-lookup-fold-rbt-insert*:
 $\text{is-rbt } t \implies \text{rbt-lookup } (\text{fold } (\lambda k. \text{rbt-insert } k\ (f k)) \text{ } xs\ t) = \text{rbt-lookup } t ++ \text{map-of } (\text{map } (\lambda k. (k, f k)) \text{ } xs)$
by(*induct xs arbitrary: t*)(*auto simp add: rbt-lookup-rbt-insert map-add-def fun-eq-iff map-of-map-Pair-key split: option.splits*)

end

definition *fold-rev* :: $('a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'c) \Rightarrow ('a, 'b) \text{rbt} \Rightarrow 'c \Rightarrow 'c$
where *fold-rev f t* = *List.foldr* ($\lambda(k, v). f\ k\ v$) (*RBT-Impl.entries t*)

lemma *fold-rev-simps* [*simp, code*]:

$\text{fold-rev } f \text{ RBT-Impl.Empty} = \text{id}$
 $\text{fold-rev } f \text{ (Branch } c \text{ l k v r)} = \text{fold-rev } f \text{ l o f k v o fold-rev } f \text{ r}$
by(*simp-all add: fold-rev-def fun-eq-iff*)

context *linorder* **begin**

lemma *sorted-fst-foldr-Cons*:

$\text{sorted } (\text{map } f \text{ as}) \implies \text{sorted } (\text{map } f \text{ (foldr } (\lambda x \text{ xs. if } P \text{ x xs then } x \# \text{ xs else xs)} \text{ as []}))$

proof(*induct as*)

case (*Cons a as*)

with *set-foldr-Cons[of P as]* **show** ?*case* **by**(*auto*)

qed *simp*

end

3.10.1 Type and operations

type-synonym *'a set-rbt* = (*'a*, *unit*) *mapping-rbt*

translations

(*type*) *'a set-rbt* <= (*type*) (*'a*, *unit*) *mapping-rbt*

abbreviation (*input*) *Set-RBT* :: (*'a* :: *ccompare*, *unit*) *RBT-Impl.rbt* \Rightarrow *'a set-rbt*
where *Set-RBT* \equiv *Mapping-RBT*

3.10.2 Primitive operations

lift-definition *member* :: *'a* :: *ccompare set-rbt* \Rightarrow *'a* \Rightarrow *bool* **is**
 $\lambda t \ x. x \in \text{dom } (\text{rbt-comp-lookup } \text{ccomp } t) .$

abbreviation *empty* :: *'a* :: *ccompare set-rbt*

where *empty* \equiv *RBT-Mapping2.empty*

abbreviation *insert* :: *'a* :: *ccompare* \Rightarrow *'a set-rbt* \Rightarrow *'a set-rbt*

where *insert k* \equiv *RBT-Mapping2.insert k ()*

abbreviation *remove* :: *'a* :: *ccompare* \Rightarrow *'a set-rbt* \Rightarrow *'a set-rbt*

where *remove* \equiv *RBT-Mapping2.delete*

lift-definition *bulkload* :: *'a* :: *ccompare list* \Rightarrow *'a set-rbt* **is**

$\text{rbt-comp-bulkload } \text{ccomp} \circ \text{map } (\lambda x. (x, ()))$

by(*auto 4 3 intro: linorder.rbt-bulkload-is-rbt ID-ccompare simp: rbt-comp-bulkload[OF ID-ccompare]*)

abbreviation *is-empty* :: *'a* :: *ccompare set-rbt* \Rightarrow *bool*

where *is-empty* \equiv *RBT-Mapping2.is-empty*

abbreviation *union* :: *'a* :: *ccompare set-rbt* \Rightarrow *'a set-rbt* \Rightarrow *'a set-rbt*

where *union* \equiv *RBT-Mapping2.join* ($\lambda - . \text{id}$)

abbreviation *inter* :: 'a :: ccompare set-rbt \Rightarrow 'a set-rbt \Rightarrow 'a set-rbt
where *inter* \equiv *RBT-Mapping2.meet* (λ - . *id*)

lift-definition *inter-list* :: 'a :: ccompare set-rbt \Rightarrow 'a list \Rightarrow 'a set-rbt **is**
 λt *xs*. *fold* (λk . *rbt-comp-insert ccomp k* ()) [*x* \leftarrow *xs*. *rbt-comp-lookup ccomp t x*
 \neq *None*] *RBT-Impl.Empty*
by(*auto* 4 3 *intro*: *ID-ccompare linorder.is-rbt-fold-rbt-insert ord.Empty-is-rbt simp*:
rbt-comp-simps[*OF ID-ccompare*'])

lift-definition *minus* :: 'a :: ccompare set-rbt \Rightarrow 'a set-rbt \Rightarrow 'a set-rbt **is**
rbt-comp-minus ccomp
by(*auto* 4 3 *intro*: *linorder.rbt-minus-is-rbt ID-ccompare simp*: *rbt-comp-minus*[*OF*
ID-ccompare'])

abbreviation *filter* :: ('a :: ccompare \Rightarrow bool) \Rightarrow 'a set-rbt \Rightarrow 'a set-rbt
where *filter P* \equiv *RBT-Mapping2.filter* (*P* \circ *fst*)

lift-definition *fold* :: ('a :: ccompare \Rightarrow 'b \Rightarrow 'b) \Rightarrow 'a set-rbt \Rightarrow 'b \Rightarrow 'b **is** λf .
RBT-Impl.fold (λa -. *f a*) .

lift-definition *fold1* :: ('a :: ccompare \Rightarrow 'a \Rightarrow 'a) \Rightarrow 'a set-rbt \Rightarrow 'a **is** *RBT-Impl.fold1*
 .

lift-definition *keys* :: 'a :: ccompare set-rbt \Rightarrow 'a list **is** *RBT-Impl.keys* .

abbreviation *all* :: ('a :: ccompare \Rightarrow bool) \Rightarrow 'a set-rbt \Rightarrow bool
where *all P* \equiv *RBT-Mapping2.all* (λk -. *P k*)

abbreviation *ex* :: ('a :: ccompare \Rightarrow bool) \Rightarrow 'a set-rbt \Rightarrow bool
where *ex P* \equiv *RBT-Mapping2.ex* (λk -. *P k*)

definition *product* :: 'a :: ccompare set-rbt \Rightarrow 'b :: ccompare set-rbt \Rightarrow ('a \times 'b)
set-rbt
where *product rbt1 rbt2* = *RBT-Mapping2.product* (λ - - - . ()) *rbt1 rbt2*

abbreviation *Id-on* :: 'a :: ccompare set-rbt \Rightarrow ('a \times 'a) set-rbt
where *Id-on* \equiv *RBT-Mapping2.diag*

abbreviation *init* :: 'a :: ccompare set-rbt \Rightarrow ('a, unit, 'a) rbt-generator-state
where *init* \equiv *RBT-Mapping2.init*

3.10.3 Properties

lemma *member-empty* [*simp*]:
 $\text{member empty} = (\lambda$ - . *False*)
by(*simp add*: *member-def empty-def Mapping-RBT-inverse ord.Empty-is-rbt ord.rbt-lookup.simps*
fun-eq-iff)

lemma *fold-conv-fold-keys*: $RBT\text{-}Set2.fold\ f\ rbt\ b = List.fold\ f\ (RBT\text{-}Set2.keys\ rbt)\ b$
by (*simp* *add*: $RBT\text{-}Set2.fold\text{-}def\ RBT\text{-}Set2.keys\text{-}def\ RBT\text{-}Impl.fold\text{-}def\ RBT\text{-}Impl.keys\text{-}def\ fold\text{-}map\ o\text{-}def\ split\text{-}def$)

lemma *fold-conv-fold-keys'*:
 $fold\ f\ t = List.fold\ f\ (RBT\text{-}Impl.keys\ (RBT\text{-}Mapping2.impl\text{-}of\ t))$
by (*simp* *add*: $fold.rep\text{-}eq\ RBT\text{-}Impl.fold\text{-}def\ RBT\text{-}Impl.keys\text{-}def\ fold\text{-}map\ o\text{-}def\ split\text{-}def$)

lemma *member-lookup* [*code*]: $member\ t\ x \longleftrightarrow RBT\text{-}Mapping2.lookup\ t\ x = Some\ ()$
by *transfer auto*

lemma *unfoldr-rbt-keys-generator*:
 $list.unfoldr\ rbt\text{-}keys\text{-}generator\ (init\ t) = keys\ t$
by *transfer* (*simp* *add*: *unfoldr-rbt-keys-generator*)

lemma *keys-eq-Nil-iff* [*simp*]: $keys\ rbt = [] \longleftrightarrow rbt = empty$
by *transfer* (*case-tac* *rbt*, *simp-all*)

lemma *fold1-conv-fold*: $fold1\ f\ rbt = List.fold\ f\ (tl\ (keys\ rbt))\ (hd\ (keys\ rbt))$
by *transfer* (*simp* *add*: $RBT\text{-}Impl.fold1\text{-}def$)

context *assumes* $ID\text{-}ccompare\text{-}neq\text{-}None$: $ID\ CCOMPARE('a :: ccompare) \neq None$
begin

lemma *set-linorder*: $class.linorder\ (cless\text{-}eq :: 'a \Rightarrow 'a \Rightarrow bool)\ cless$
using $ID\text{-}ccompare\text{-}neq\text{-}None$ **by** (*clarsimp*) (*rule* $ID\text{-}ccompare$)

lemma *ccomp-comparator*: $comparator\ (ccomp :: 'a\ comparator)$
using $ID\text{-}ccompare\text{-}neq\text{-}None$ **by** (*clarsimp*) (*rule* $ID\text{-}ccompare'$)

lemmas $rbt\text{-}comps = rbt\text{-}comp\text{-}simps[OF\ ccomp\text{-}comparator]\ rbt\text{-}comp\text{-}minus[OF\ ccomp\text{-}comparator]$

lemma *is-rbt-impl-of* [*simp*, *intro*]:
fixes $t :: 'a\ set\text{-}rbt$
shows $ord.is\text{-}rbt\ cless\ (RBT\text{-}Mapping2.impl\text{-}of\ t)$
using $ID\text{-}ccompare\text{-}neq\text{-}None\ impl\text{-}of\ [of\ t]$ **by** *auto*

lemma *member-RBT*:
 $ord.is\text{-}rbt\ cless\ t \implies member\ (Set\text{-}RBT\ t)\ (x :: 'a) \longleftrightarrow ord.rbt\text{-}lookup\ cless\ t\ x = Some\ ()$
by (*auto* *simp* *add*: *member-def* *Mapping-RBT-inverse* *rbt-comps*)

lemma *member-impl-of*:
 $ord.rbt\text{-}lookup\ cless\ (RBT\text{-}Mapping2.impl\text{-}of\ t)\ (x :: 'a) = Some\ () \longleftrightarrow member\ t\ x$
by *transfer* (*auto* *simp*: *rbt-comps*)

lemma *member-insert* [simp]:

member (insert x (t :: 'a set-rbt)) = (member t)(x := True)

by *transfer (simp add: fun-eq-iff linorder.rbt-lookup-rbt-insert[OF set-linorder] ID-ccompare-neq-None)*

lemma *member-fold-insert* [simp]:

member (List.fold insert xs (t :: 'a set-rbt)) = (λx. member t x ∨ x ∈ set xs)

by(*induct xs arbitrary: t*) *auto*

lemma *member-remove* [simp]:

member (remove (x :: 'a) t) = (member t)(x := False)

by *transfer (simp add: linorder.rbt-lookup-rbt-delete[OF set-linorder] ID-ccompare-neq-None fun-eq-iff)*

lemma *member-bulkload* [simp]:

member (bulkload xs) (x :: 'a) ⟷ x ∈ set xs

by *transfer (auto simp add: linorder.rbt-lookup-rbt-bulkload[OF set-linorder] rbt-comps map-of-map-Pair-const split: if-split-asm)*

lemma *member-conv-keys*: *member t = (λx :: 'a. x ∈ set (keys t))*

by(*transfer*)(*simp add: ID-ccompare-neq-None linorder.rbt-lookup-keys[OF set-linorder] ord.is-rbt-rbt-sorted*)

lemma *is-empty-empty* [simp]:

is-empty t ⟷ t = empty

by *transfer (simp split: rbt.split)*

lemma *RBT-lookup-empty* [simp]:

ord.rbt-lookup cless (t :: ('a, unit) rbt) = Map.empty ⟷ t = RBT-Impl.Empty

proof –

interpret *linorder cless-eq :: 'a ⇒ 'a ⇒ bool cless* **by**(*rule set-linorder*)

show *?thesis* **by**(*cases t*)(*auto simp add: fun-eq-iff*)

qed

lemma *member-empty-empty* [simp]:

member t = (λ-. False) ⟷ (t :: 'a set-rbt) = empty

by *transfer*(*simp add: ID-ccompare-neq-None fun-eq-iff RBT-lookup-empty[symmetric]*)

lemma *member-union* [simp]:

member (union (t1 :: 'a set-rbt) t2) = (λx. member t1 x ∨ member t2 x)

by(*auto simp add: member-lookup fun-eq-iff lookup-join[OF ID-ccompare-neq-None] split: option.split*)

lemma *member-minus* [simp]:

member (minus (t1 :: 'a set-rbt) t2) = (λx. member t1 x ∧ ¬ member t2 x)

by(*transfer*)(*auto simp add: ID-ccompare-neq-None fun-eq-iff rbt-comps linorder.rbt-lookup-rbt-minus[OF set-linorder] ord.is-rbt-rbt-sorted*)

lemma *member-inter* [simp]:

$member (inter (t1 :: 'a set-rbt) t2) = (\lambda x. member t1 x \wedge member t2 x)$
by (auto simp add: member-lookup fun-eq-iff lookup-meet[OF ID-ccompare-neq-None]
 split: option.split)

lemma member-inter-list [simp]:

$member (inter-list (t :: 'a set-rbt) xs) = (\lambda x. member t x \wedge x \in set xs)$
by transfer(auto simp add: ID-ccompare-neq-None fun-eq-iff linorder.rbt-lookup-fold-rbt-insert[OF set-linorder] ord.Empty-is-rbt map-of-map-Pair-key ord.rbt-lookup.simps rel-option-iff
 split: if-split-asm option.split-asm)

lemma member-filter [simp]:

$member (filter P (t :: 'a set-rbt)) = (\lambda x. member t x \wedge P x)$
by (simp add: member-lookup fun-eq-iff lookup-filter[OF ID-ccompare-neq-None] split:
 option.split)

lemma distinct-keys [simp]:

$distinct (keys (rbt :: 'a set-rbt))$
by transfer(simp add: ID-ccompare-neq-None RBT-Impl.keys-def ord.is-rbt-rbt-sorted
 linorder.distinct-entries[OF set-linorder])

lemma all-conv-all-member:

$all P t \longleftrightarrow (\forall x :: 'a. member t x \longrightarrow P x)$
by (simp add: member-lookup all-conv-all-lookup[OF ID-ccompare-neq-None])

lemma ex-conv-ex-member:

$ex P t \longleftrightarrow (\exists x :: 'a. member t x \wedge P x)$
by (simp add: member-lookup ex-conv-ex-lookup[OF ID-ccompare-neq-None])

lemma finite-member: finite (Collect (RBT-Set2.member (t :: 'a set-rbt)))

by transfer (simp add: rbt-comps linorder.finite-dom-rbt-lookup[OF set-linorder])

lemma member-Id-on: $member (Id-on t) = (\lambda(k :: 'a, k'). k = k' \wedge member t k)$

by (simp add: member-lookup[abs-def] diag-lookup[OF ID-ccompare-neq-None] fun-eq-iff)

context assumes ID-ccompare-neq-None': ID CCOMPARE('b :: ccompare) \neq
 None

begin

lemma set-linorder': class.linorder (cless-eq :: 'b \Rightarrow 'b \Rightarrow bool) cless

using ID-ccompare-neq-None' **by** (clarsimp)(rule ID-ccompare)

lemma member-product:

$member (product rbt1 rbt2) = (\lambda ab :: 'a \times 'b. ab \in Collect (member rbt1) \times$
 Collect (member rbt2))

by (auto simp add: fun-eq-iff member-lookup product-def RBT-Mapping2.lookup-product
 ID-ccompare-neq-None ID-ccompare-neq-None' split: option.splits)

end

end

lemma *sorted-RBT-Set-keys*:

$ID\ CCOMPARE('a :: ccompare) = Some\ c$
 $\implies linorder.sorted\ (le-of-comp\ c)\ (RBT-Set2.keys\ rbt)$

by *transfer*(*auto simp add: RBT-Set2.keys.rep-eq RBT-Impl.keys-def linorder.rbt-sorted-entries*[*OF ID-ccompare*] *ord.is-rbt-rbt-sorted*)

context **assumes** *ID-ccompare-neq-None*: $ID\ CCOMPARE('a :: \{ccompare, lattice\}) \neq None$

begin

lemma *set-linorder2*: $class.linorder\ (cless-eq :: 'a \Rightarrow 'a \Rightarrow bool)\ cless$

using *ID-ccompare-neq-None* **by**(*clarsimp*)(*rule ID-ccompare*)

end

lemma *set-keys-Mapping-RBT*: $set\ (keys\ (Mapping-RBT\ t)) = set\ (RBT-Impl.keys\ t)$

proof(*cases t*)

case *Empty* **thus** *?thesis*

by(*clarsimp simp add: Mapping-RBT-def keys.rep-eq is-ccompare-def Mapping-RBT'-inverse ord.is-rbt-def ord.rbt-sorted.simps*)

next

case (*Branch c l k v r*)

show *?thesis*

proof(*cases is-ccompare TYPE('a) $\wedge \neg ord.is-rbt\ cless\ (Branch\ c\ l\ k\ v\ r)$*)

case *False* **thus** *?thesis* **using** *Branch*

by(*auto simp add: Mapping-RBT-def keys.rep-eq is-ccompare-def Mapping-RBT'-inverse simp del: not-None-eq*)

next

case *True*

thus *?thesis* **using** *Branch*

by(*clarsimp simp add: Mapping-RBT-def keys.rep-eq is-ccompare-def Mapping-RBT'-inverse RBT-ext.linorder.is-rbt-fold-rbt-insert-impl*[*OF ID-ccompare*] *linorder.rbt-insert-is-rbt*[*OF ID-ccompare*] *ord.Empty-is-rbt*)(*subst linorder.rbt-lookup-keys*[*OF ID-ccompare, symmetric*], *assumption*, *auto simp add: linorder.rbt-sorted-fold-insert*[*OF ID-ccompare*] *RBT-ext.linorder.rbt-lookup-fold-rbt-insert-impl*[*OF ID-ccompare*] *RBT-ext.linorder.rbt-lookup-rbt-insert'*[*OF ID-ccompare*] *linorder.rbt-insert-rbt-sorted*[*OF ID-ccompare*] *ord.is-rbt-rbt-sorted ord.Empty-is-rbt dom-map-of-conv-image-fst RBT-Impl.keys-def ord.rbt-lookup.simps*)

qed

qed

hide-const (**open**) *member empty insert remove bulkload union minus*

keys fold fold-rev filter all ex product Id-on init

end

theory *Closure-Set* **imports** *Equal* **begin**

3.11 Sets implemented as Closures

context *equal-base* **begin**

definition *fun-upd* :: $('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b \Rightarrow 'a \Rightarrow 'b$
where *fun-upd-apply*: *fun-upd* *f* *a* *b* *a'* = (if *equal* *a* *a'* then *b* else *f* *a'*)

end

lemmas [*code*] = *equal-base.fun-upd-apply*
lemmas [*simp*] = *equal-base.fun-upd-apply*

lemma *fun-upd-conv-fun-upd*: *equal-base.fun-upd* (=) = *fun-upd*
by(*simp* *add*: *fun-eq-iff*)

end

theory *Set-Impl* **imports**

Collection-Enum

DList-Set

RBT-Set2

Closure-Set

Containers-Generator

Complex-Main

begin

3.12 Different implementations of sets

3.12.1 Auxiliary functions

A simple quicksort implementation

context *ord* **begin**

function (*sequential*) *quicksort-acc* :: $'a \text{ list} \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list}$
and *quicksort-part* :: $'a \text{ list} \Rightarrow 'a \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list}$
where
quicksort-acc *ac* [] = *ac*
| *quicksort-acc* *ac* [*x*] = *x* # *ac*
| *quicksort-acc* *ac* (*x* # *xs*) = *quicksort-part* *ac* *x* [] [] *xs*
| *quicksort-part* *ac* *x* *lts* *eqs* *gts* [] = *quicksort-acc* (*eqs* @ *x* # *quicksort-acc* *ac* *gts*) *lts*
| *quicksort-part* *ac* *x* *lts* *eqs* *gts* (*z* # *zs*) =
(if *z* > *x* then *quicksort-part* *ac* *x* *lts* *eqs* (*z* # *gts*) *zs*
else if *z* < *x* then *quicksort-part* *ac* *x* (*z* # *lts*) *eqs* *gts* *zs*
else *quicksort-part* *ac* *x* *lts* (*z* # *eqs*) *gts* *zs*)

by *pat-completeness simp-all*

lemma *length-quicksort-accp*:

quicksort-acc-quicksort-part-dom (*Inl* (*ac*, *xs*)) \implies *length* (*quicksort-acc ac xs*)
 $=$ *length ac* + *length xs*

and *length-quicksort-partp*:

quicksort-acc-quicksort-part-dom (*Inr* (*ac*, *x*, *lts*, *eqs*, *gts*, *zs*))
 \implies *length* (*quicksort-part ac x lts eqs gts zs*) = *length ac* + 1 + *length lts* +
length eqs + *length gts* + *length zs*

apply(*induct rule: quicksort-acc-quicksort-part.pinduct*)

apply(*simp-all add: quicksort-acc.psims quicksort-part.psims*)

done

termination

apply(*relation measure* (*case-sum* ($\lambda(-, xs). 2 * \text{length } xs \wedge 2$) ($\lambda(-, -, lts, eqs, gts,$
zs). $2 * (\text{length } lts + \text{length } eqs + \text{length } gts + \text{length } zs) \wedge 2 + \text{length } zs + 1$)))

apply(*simp-all add: power2-eq-square add-mult-distrib add-mult-distrib2 length-quicksort-accp*)

done

definition *quicksort* :: 'a list \Rightarrow 'a list

where *quicksort* = *quicksort-acc []*

lemma *set-quicksort-acc* [*simp*]: *set* (*quicksort-acc ac xs*) = *set ac* \cup *set xs*

and *set-quicksort-part* [*simp*]:

set (*quicksort-part ac x lts eqs gts zs*) =

set ac \cup {*x*} \cup *set lts* \cup *set eqs* \cup *set gts* \cup *set zs*

by(*induct ac xs and ac x lts eqs gts zs rule: quicksort-acc-quicksort-part.induct*)(*auto*
split: if-split-asm)

lemma *set-quicksort* [*simp*]: *set* (*quicksort xs*) = *set xs*

by(*simp add: quicksort-def*)

lemma *distinct-quicksort-acc*:

distinct (*quicksort-acc ac xs*) = *distinct* (*ac @ xs*)

and *distinct-quicksort-part*:

distinct (*quicksort-part ac x lts eqs gts zs*) = *distinct* (*ac @ [x] @ lts @ eqs @ gts*
 $\text{@ } zs$)

by(*induct ac xs and ac x lts eqs gts zs rule: quicksort-acc-quicksort-part.induct*)
auto

lemma *distinct-quicksort* [*simp*]: *distinct* (*quicksort xs*) = *distinct xs*

by(*simp add: quicksort-def distinct-quicksort-acc*)

end

lemmas [*code*] =

ord.quicksort-acc.sims quicksort-acc.sims

ord.quicksort-part.sims quicksort-part.sims

ord.quicksort-def quicksort-def

context *linorder* **begin**

lemma *sorted-quicksort-acc*:

$\llbracket \text{sorted } ac; \forall x \in \text{set } xs. \forall a \in \text{set } ac. x < a \rrbracket$

$\implies \text{sorted } (\text{quicksort-acc } ac \ xs)$

and *sorted-quicksort-part*:

$\llbracket \text{sorted } ac; \forall y \in \text{set } lts \cup \{x\} \cup \text{set } eqs \cup \text{set } gts \cup \text{set } zs. \forall a \in \text{set } ac. y < a;$

$\forall y \in \text{set } lts. y < x; \forall y \in \text{set } eqs. y = x; \forall y \in \text{set } gts. y > x \rrbracket$

$\implies \text{sorted } (\text{quicksort-part } ac \ x \ lts \ eqs \ gts \ zs)$

proof(*induction* *ac xs* **and** *ac x lts eqs gts zs* *rule: quicksort-acc-quicksort-part.induct*)

case 1 **thus** ?*case* **by** *simp*

next

case 2 **thus** ?*case* **by**(*auto*)

next

case 3 **thus** ?*case* **by** *simp*

next

case (4 *ac x lts eqs gts*)

note *ac-greater* = $\langle \forall y \in \text{set } lts \cup \{x\} \cup \text{set } eqs \cup \text{set } gts \cup \text{set } \square. \forall a \in \text{set } ac. y < a \rangle$

have *sorted eqs* $\text{set } eqs \subseteq \{x\}$ **using** $\langle \forall y \in \text{set } eqs. y = x \rangle$

by(*induct eqs*)(*simp-all*)

moreover **have** $\forall y \in \text{set } ac \cup \text{set } gts. x \leq y$

using $\langle \forall a \in \text{set } gts. x < a \rangle$ *ac-greater* **by** *auto*

moreover **have** *sorted* (*quicksort-acc* *ac gts*)

using $\langle \text{sorted } ac \rangle$ *ac-greater* **by**(*auto intro: 4.IH*)

ultimately **have** *sorted* (*eqs @ x # quicksort-acc* *ac gts*)

by(*auto simp add: sorted-append*)

moreover **have** $\forall y \in \text{set } lts. \forall a \in \text{set } (eqs @ x \# \text{quicksort-acc } ac \ gts). y < a$

using $\langle \forall y \in \text{set } lts. y < x \rangle$ *ac-greater* $\langle \forall a \in \text{set } gts. x < a \rangle$ $\langle \forall y \in \text{set } eqs. y = x \rangle$

by *fastforce*

ultimately **show** ?*case* **by**(*simp add: 4.IH*)

next

case 5 **thus** ?*case* **by**(*simp add: not-less order-eq-iff*)

qed

lemma *sorted-quicksort [simp]*: *sorted* (*quicksort xs*)

by(*simp add: quicksort-def sorted-quicksort-acc*)

lemma *insort-key-append1*:

$\forall y \in \text{set } ys. f \ x < f \ y \implies \text{insort-key } f \ x \ (xs @ ys) = \text{insort-key } f \ x \ xs @ ys$

proof(*induct xs*)

case *Nil*

thus ?*case* **by**(*cases ys*) *auto*

qed *simp*

lemma *insort-key-append2*:

$\forall y \in \text{set } xs. f \ x > f \ y \implies \text{insort-key } f \ x \ (xs @ ys) = xs @ \text{insort-key } f \ x \ ys$

by(*induct xs*) *auto*

lemma *sort-key-append*:

$\forall x \in \text{set } xs. \forall y \in \text{set } ys. f\ x < f\ y \implies \text{sort-key } f\ (xs @ ys) = \text{sort-key } f\ xs @ \text{sort-key } f\ ys$
by(*induct xs*)(*simp-all add: insort-key-append1*)

definition *single-list* :: 'a \Rightarrow 'a list **where** *single-list* a = [a]

lemma *to-single-list*: $x \# xs = \text{single-list } x @ xs$
by(*simp add: single-list-def*)

lemma *sort-snoc*: $\text{sort } (xs @ [x]) = \text{insort } x\ (\text{sort } xs)$
by(*induct xs*)(*simp-all add: insort-left-comm*)

lemma *sort-append-swap*: $\text{sort } (xs @ ys) = \text{sort } (ys @ xs)$
by(*induct xs arbitrary: ys rule: rev-induct*)(*simp-all add: sort-snoc[symmetric]*)

lemma *sort-append-swap2*: $\text{sort } (xs @ ys @ zs) = \text{sort } (ys @ xs @ zs)$
by(*induct xs*)(*simp-all, subst (1 2) sort-append-swap, simp*)

lemma *sort-Cons-append-swap*: $\text{sort } (x \# xs) = \text{sort } (xs @ [x])$
by(*subst sort-append-swap*) *simp*

lemma *sort-append-Cons-swap*: $\text{sort } (ys @ x \# xs) = \text{sort } (ys @ xs @ [x])$
apply(*induct ys*)
apply(*simp only: append.simps sort-Cons-append-swap*)
apply *simp*
done

lemma *quicksort-acc-conv-sort*:

quicksort-acc ac xs = *sort* xs @ ac

and *quicksort-part-conv-sort*:

$\llbracket \forall y \in \text{set } lts. y < x; \forall y \in \text{set } eqs. y = x; \forall y \in \text{set } gts. y > x \rrbracket$

$\implies \text{quicksort-part } ac\ x\ lts\ eqs\ gts\ zs = \text{sort } (lts @ eqs @ gts @ x \# zs) @ ac$

proof(*induct ac xs and ac x lts eqs gts zs rule: quicksort-acc-quicksort-part.induct*)

case 1 **thus** ?case **by** *simp*

next

case 2 **thus** ?case **by** *simp*

next

case 3 **thus** ?case **by** *simp*

next

case (4 ac x lts eqs gts)

note *eqs* = $\langle \forall y \in \text{set } eqs. y = x \rangle$

{ **fix** *eqs*

assume $\forall y \in \text{set } eqs. y = x$

hence *insort* x *eqs* = $x \# eqs$ **by**(*induct eqs*) *simp-all* }

note [*simp*] = *this*

from *eqs* **have** [*simp*]: *sort* *eqs* = *eqs* **by**(*induct eqs*) *simp-all*

```

from eqs have [simp]: eqs @ [x] = x # eqs by(induct eqs) simp-all

show ?case using 4
  apply(subst sort-key-append)
  apply(auto 4 3 dest: bspec)[1]
  apply(simp add: append-assoc[symmetric] sort-snoc del: append-assoc)
  apply(subst sort-key-append)
  apply(auto 4 3 simp add: insort-key-append1 dest: bspec)
  done
next
  case (5 ac x lts eqs gts z zs)
  have  $\llbracket \neg z < x; \neg x < z \rrbracket \implies z = x$  by simp
  thus ?case using 5
    apply(simp del: sort-key-simps)
    apply(safe, simp-all del: sort-key-simps add: to-single-list)
    apply(subst sort-append-swap)
    apply(fold append-assoc)
    apply(subst (2) sort-append-swap)
    apply(subst sort-append-swap2)
    apply(unfold append-assoc)
    apply(rule refl)
    apply(subst (1 5) append-assoc[symmetric])
    apply(subst (1 2) sort-append-swap)
    apply(unfold append-assoc)
    apply(subst sort-append-swap2)
    apply(subst (1 2) sort-append-swap)
    apply(unfold append-assoc)
    apply(subst sort-append-swap2)
    apply(rule refl)
    apply(subst (2 6) append-assoc[symmetric])
    apply(subst (2 5) append-assoc[symmetric])
    apply(subst (1 2) sort-append-swap2)
    apply(subst (4) append-assoc)
    apply(subst (2) sort-append-swap2)
    apply simp
  done
qed

lemma quicksort-conv-sort: quicksort xs = sort xs
by(simp add: quicksort-def quicksort-acc-conv-sort)

lemma sort-remdups: sort (remdups xs) = remdups (sort xs)
by(rule sorted-distinct-set-unique) simp-all

end

```

Removing duplicates from a sorted list

```
context ord begin
```

```

fun remdups-sorted :: 'a list  $\Rightarrow$  'a list
where
  remdups-sorted [] = []
  | remdups-sorted [x] = [x]
  | remdups-sorted (x#y#xs) = (if x < y then x # remdups-sorted (y#xs) else
    remdups-sorted (y#xs))

end

lemmas [code] = ord.remdups-sorted.simps

context linorder begin

lemma [simp]:
  assumes sorted xs
  shows sorted-remdups-sorted: sorted (remdups-sorted xs)
  and set-remdups-sorted: set (remdups-sorted xs) = set xs
using assms by(induct xs rule: remdups-sorted.induct)(auto)

lemma distinct-remdups-sorted [simp]: sorted xs  $\implies$  distinct (remdups-sorted xs)
by(induct xs rule: remdups-sorted.induct)(auto)

lemma remdups-sorted-conv-remdups: sorted xs  $\implies$  remdups-sorted xs = remdups
  xs
by(induct xs rule: remdups-sorted.induct)(auto)

end

```

An specialised operation to convert a finite set into a sorted list

```

definition csorted-list-of-set :: 'a :: ccompare set  $\Rightarrow$  'a list
where [code del]:
  csorted-list-of-set A =
    (if ID CCOMPARE('a) = None  $\vee$   $\neg$  finite A then undefined else linorder.sorted-list-of-set
    cless-eq A)

lemma csorted-list-of-set-set [simp]:
   $\llbracket$  ID CCOMPARE('a :: ccompare) = Some c; linorder.sorted (le-of-comp c) xs;
  distinct xs  $\rrbracket$ 
   $\implies$  linorder.sorted-list-of-set (le-of-comp c) (set xs) = xs
by(simp add: distinct-remdups-id linorder.sorted-list-of-set-sort-remdups[OF ID-ccompare]
  linorder.sorted-sort-id[OF ID-ccompare])

lemma csorted-list-of-set-split:
  fixes A :: 'a :: ccompare set shows
  P (csorted-list-of-set A)  $\longleftrightarrow$ 
  ( $\forall$  xs. ID CCOMPARE('a)  $\neq$  None  $\longrightarrow$  finite A  $\longrightarrow$  A = set xs  $\longrightarrow$  distinct xs
   $\longrightarrow$  linorder.sorted cless-eq xs  $\longrightarrow$  P xs)  $\wedge$ 
  (ID CCOMPARE('a) = None  $\vee$   $\neg$  finite A  $\longrightarrow$  P undefined)
by(auto simp add: csorted-list-of-set-def linorder.sorted-list-of-set[OF ID-ccompare])

```

code-identifier code-module $Set \rightarrow (SML) Set-Impl$
 | **code-module** $Set-Impl \rightarrow (SML) Set-Impl$

3.12.2 Delete code equation with set as constructor

lemma *is-empty-unfold* [code-unfold]:

$set-eq\ A\ \{\} = Set.is-empty\ A$
 $set-eq\ \{\}\ A = Set.is-empty\ A$

by(auto simp add: Set.is-empty-def set-eq-def)

definition *is-UNIV* :: 'a set \Rightarrow bool

where [code del]: $is-UNIV\ A \longleftrightarrow A = UNIV$

lemma *is-UNIV-unfold* [code-unfold]:

$A = UNIV \longleftrightarrow is-UNIV\ A$
 $UNIV = A \longleftrightarrow is-UNIV\ A$
 $set-eq\ A\ UNIV \longleftrightarrow is-UNIV\ A$
 $set-eq\ UNIV\ A \longleftrightarrow is-UNIV\ A$

by(auto simp add: is-UNIV-def set-eq-def)

declare [[code drop:

Set.empty
Set.is-empty
uminus-set-inst.uminus-set
Set.member
Set.insert
Set.remove
UNIV
Set.filter
image
Set.subset-eq
Ball
Bex
Set.union
minus-set-inst.minus-set
Set.inter
card
Set.bind
the-elem
Pow
sum
Gcd
Lcm
Product-Type.product
Id-on
Image
tranc1
relcomp


```

wf-code
Min
Inf-fin
Max
Sup-fin
Inf :: 'a set set  $\Rightarrow$  'a set
Sup :: 'a set set  $\Rightarrow$  'a set
sorted-list-of-set
List.map-project
Sup-pred-inst.Sup-pred
finite
card
Inf-pred-inst.Inf-pred
pred-of-set
Wellfounded.acc
Bleast
can-select

irrefl-on
bacc
set-of-pred
set-of-seq
]]

```

3.12.3 Set implementations

definition *Collect-set* :: ('a \Rightarrow bool) \Rightarrow 'a set
where [simp]: *Collect-set* = *Collect*

definition *DList-set* :: 'a :: ceq set-dlist \Rightarrow 'a set
where *DList-set* = *Collect* o *DList-Set.member*

definition *RBT-set* :: 'a :: ccompare set-rbt \Rightarrow 'a set
where *RBT-set* = *Collect* o *RBT-Set2.member*

definition *Complement* :: 'a set \Rightarrow 'a set
where [simp]: *Complement* A = \neg A

definition *Set-Monad* :: 'a list \Rightarrow 'a set
where [simp]: *Set-Monad* = *set*

code-datatype *Collect-set DList-set RBT-set Set-Monad Complement*

lemma *DList-set-empty* [simp]: *DList-set DList-Set.empty* = {}
by(simp add: *DList-set-def*)

lemma *RBT-set-empty* [simp]: *RBT-set RBT-Set2.empty* = {}
by(simp add: *RBT-set-def*)

```

lemma RBT-set-conv-keys:
  ID CCOMPARE('a :: ccompare) ≠ None
  ⇒ RBT-set (t :: 'a set-rbt) = set (RBT-Set2.keys t)
by(clarsimp simp add: RBT-set-def member-conv-keys)

```

3.12.4 Set operations

A collection of all the theorems about *Complement*.

```

ML <
  structure Set-Complement-Eqs = Named-Thms
  (
    val name = @{binding set-complement-code}
    val description = Code equations involving set complement
  )
>
setup <Set-Complement-Eqs.setup>

```

Various fold operations over sets

```

typedef ('a, 'b) comp-fun-commute = {f :: 'a ⇒ 'b ⇒ 'b. comp-fun-commute f}
morphisms comp-fun-commute-apply Abs-comp-fun-commute
by(rule exI[where x=λ-. id])(simp, unfold-locales, auto)

```

```

setup-lifting type-definition-comp-fun-commute

```

```

lemma comp-fun-commute-apply' [simp]:
  comp-fun-commute-on UNIV (comp-fun-commute-apply f)
using comp-fun-commute-apply[of f] by (simp add: comp-fun-commute-def')

```

```

lift-definition set-fold-cfc :: ('a, 'b) comp-fun-commute ⇒ 'b ⇒ 'a set ⇒ 'b is
  Finite-Set.fold .

```

```

declare [[code drop: set-fold-cfc]]

```

```

lemma set-fold-cfc-code [code]:
  fixes xs :: 'a :: ceq list
  and dxs :: 'a :: ceq set-dlist
  and rbt :: 'b :: ccompare set-rbt
  shows set-fold-cfc-Complement[set-complement-code]:
    set-fold-cfc f''' b (Complement A) = Code.abort (STR "set-fold-cfc not supported
    on Complement") (λ-. set-fold-cfc f''' b (Complement A))
  and
    set-fold-cfc f''' b (Collect-set P) = Code.abort (STR "set-fold-cfc not supported
    on Collect-set") (λ-. set-fold-cfc f''' b (Collect-set P))
    set-fold-cfc f b (Set-Monad xs) =
      (case ID CEQ('a) of None ⇒ Code.abort (STR "set-fold-cfc Set-Monad: ceq =
      None") (λ-. set-fold-cfc f b (Set-Monad xs))
       | Some eq ⇒ List.fold (comp-fun-commute-apply f) (equal-base.list-remdups
       eq xs) b)
    (is ?Set-Monad)

```

```

set-fold-cfc f' b (DList-set dxs) =
  (case ID CEQ('a) of None ⇒ Code.abort (STR "set-fold-cfc DList-set: ceq =
None") (λ-. set-fold-cfc f' b (DList-set dxs))
    | Some - ⇒ DList-Set.fold (comp-fun-commute-apply f') dxs b)
(is ?DList-set)
set-fold-cfc f'' b (RBT-set rbt) =
  (case ID CCOMPARE('b) of None ⇒ Code.abort (STR "set-fold-cfc RBT-set:
ccompare = None") (λ-. set-fold-cfc f'' b (RBT-set rbt))
    | Some - ⇒ RBT-Set2.fold (comp-fun-commute-apply f'') rbt b)
(is ?RBT-set)
proof -
  note fold-set-fold-remdups = comp-fun-commute-def' comp-fun-commute-on.fold-set-fold-remdups[OF
- subset-UNIV]
  show ?Set-Monad
    by(auto split: option.split dest!: Collection-Eq.ID-ceq simp add: set-fold-cfc-def
fold-set-fold-remdups)
  show ?DList-set
    apply(auto split: option.splits simp add: DList-set-def)
    apply transfer
    apply(auto dest: Collection-Eq.ID-ceq simp add: List.member-def[abs-def] fold-set-fold-remdups
distinct-remdups-id)
  done
  show ?RBT-set
    apply(auto split: option.split simp add: RBT-set-conv-keys fold-conv-fold-keys)
    apply transfer
    apply(simp add: fold-set-fold-remdups distinct-remdups-id linorder.distinct-keys[OF
ID-ccompare] ord.is-rbt-rbt-sorted)
  done
qed simp-all

typedef ('a, 'b) comp-fun-idem = {f :: 'a ⇒ 'b ⇒ 'b. comp-fun-idem f}
  morphisms comp-fun-idem-apply Abs-comp-fun-idem
by(rule exI[where x=λ-. id])(simp, unfold-locales, auto)

setup-lifting type-definition-comp-fun-idem

lemma comp-fun-idem-apply' [simp]:
  comp-fun-idem-on UNIV (comp-fun-idem-apply f)
using comp-fun-idem-apply[of f] by (simp add: comp-fun-idem-def')

lift-definition set-fold-cfi :: ('a, 'b) comp-fun-idem ⇒ 'b ⇒ 'a set ⇒ 'b is Fi-
nite-Set.fold .

declare [[code drop: set-fold-cfi]]

lemma set-fold-cfi-code [code]:
  fixes xs :: 'a list
  and dxs :: 'b :: ceq set-dlist
  and rbt :: 'c :: ccompare set-rbt shows

```

```

    set-fold-cfi f b (Complement A) = Code.abort (STR "set-fold-cfi not supported on
Complement") (λ-. set-fold-cfi f b (Complement A))
    set-fold-cfi f b (Collect-set P) = Code.abort (STR "set-fold-cfi not supported on
Collect-set") (λ-. set-fold-cfi f b (Collect-set P))
    set-fold-cfi f b (Set-Monad xs) = List.fold (comp-fun-idem-apply f) xs b
    (is ?Set-Monad)
    set-fold-cfi f' b (DList-set dxs) =
    (case ID CEQ('b) of None ⇒ Code.abort (STR "set-fold-cfi DList-set: ceq =
None") (λ-. set-fold-cfi f' b (DList-set dxs))
    | Some - ⇒ DList-Set.fold (comp-fun-idem-apply f') dxs b)
    (is ?DList-set)
    set-fold-cfi f'' b (RBT-set rbt) =
    (case ID CCOMPARE('c) of None ⇒ Code.abort (STR "set-fold-cfi RBT-set:
ccompare = None") (λ-. set-fold-cfi f'' b (RBT-set rbt))
    | Some - ⇒ RBT-Set2.fold (comp-fun-idem-apply f'') rbt b)
    (is ?RBT-set)
proof -
  show ?Set-Monad
    by(auto split: option.split dest!: Collection-Eq.ID-ceq simp add: set-fold-cfi-def
comp-fun-idem-def' comp-fun-idem-on.fold-set-fold[OF - subset-UNIV])
  show ?DList-set
    apply(auto split: option.split simp add: DList-set-def)
    apply transfer
    apply(auto dest: Collection-Eq.ID-ceq simp add: List.member-def[abs-def] comp-fun-idem-def'
comp-fun-idem-on.fold-set-fold[OF - subset-UNIV])
  done
  show ?RBT-set
    apply(auto split: option.split simp add: RBT-set-conv-keys fold-conv-fold-keys)
    apply transfer
    apply(simp add: comp-fun-idem-def' comp-fun-idem-on.fold-set-fold[OF - sub-
set-UNIV])
  done
qed simp-all

typedef 'a semilattice-set = {f :: 'a ⇒ 'a ⇒ 'a. semilattice-set f}
morphisms semilattice-set-apply Abs-semilattice-set
proof
  show (λx y. if x = y then x else undefined) ∈ ?semilattice-set
    unfolding mem-Collect-eq by(unfold-locales) simp-all
qed

setup-lifting type-definition-semilattice-set

lemma semilattice-set-apply' [simp]:
  semilattice-set (semilattice-set-apply f)
using semilattice-set-apply[of f] by simp

lemma comp-fun-idem-semilattice-set-apply [simp]:
  comp-fun-idem-on UNIV (semilattice-set-apply f)

```

proof –

interpret *semilattice-set semilattice-set-apply* *f* **by** *simp*
show *?thesis* **by** (*unfold-locales*) (*simp-all* *add*: *fun-eq-iff left-commute*)
qed

lift-definition *set-fold1* :: '*a* *semilattice-set* \Rightarrow '*a* *set* \Rightarrow '*a* **is** *semilattice-set.F* .

lemma (**in** *semilattice-set*) *F-set-conv-fold*:

xs $\neq [] \Rightarrow F$ (*set xs*) = *Finite-Set.fold* *f* (*hd xs*) (*set (tl xs)*)
by (*clarsimp simp add: neq-Nil-conv eq-fold*)

lemma *set-fold1-code* [*code*]:

fixes *rbt* :: '*a* :: {*ccompare*, *lattice*} *set-rbt*
and *dxs* :: '*b* :: {*ceq*, *lattice*} *set-dlist* **shows**
set-fold1-Complement [*set-complement-code*]:
set-fold1 *f* (*Complement A*) = *Code.abort* (*STR "set-fold1: Complement"*) (λ -.
set-fold1 *f* (*Complement A*))
and *set-fold1* *f* (*Collect-set P*) = *Code.abort* (*STR "set-fold1: Collect-set"*) (λ -.
set-fold1 *f* (*Collect-set P*))
and *set-fold1* *f* (*Set-Monad* (*x* # *xs*)) = *fold* (*semilattice-set-apply* *f*) *xs* *x* (**is**
?Set-Monad)
and
set-fold1 *f'* (*DList-set dxs*) =
(*case ID CEQ* (*b*) of *None* \Rightarrow *Code.abort* (*STR "set-fold1 DList-set: ceq = None"*)
(λ -. *set-fold1* *f'* (*DList-set dxs*))
| *Some* - \Rightarrow if *DList-Set.null* *dxs* then *Code.abort* (*STR "set-fold1*
DList-set: empty set") (λ -. *set-fold1* *f'* (*DList-set dxs*))
else *DList-Set.fold* (*semilattice-set-apply* *f'*) (*DList-Set.tl*
dxs) (*DList-Set.hd* *dxs*))
(**is** *?DList-set*)
and
set-fold1 *f''* (*RBT-set rbt*) =
(*case ID CCOMPARE* (*a*) of *None* \Rightarrow *Code.abort* (*STR "set-fold1 RBT-set:*
ccompare = None") (λ -. *set-fold1* *f''* (*RBT-set rbt*))
| *Some* - \Rightarrow if *RBT-Set2.is-empty* *rbt* then *Code.abort* (*STR*
"set-fold1 RBT-set: empty set") (λ -. *set-fold1* *f''* (*RBT-set rbt*))
else *RBT-Set2.fold1* (*semilattice-set-apply* *f''*) *rbt*)
(**is** *?RBT-set*)

proof –

note *fold-set-fold* = *comp-fun-idem-def'* *comp-fun-idem-on.fold-set-fold* [*OF* - *sub-set-UNIV*]

show *?Set-Monad*

by (*simp add: set-fold1-def semilattice-set.eq-fold fold-set-fold*)

show *?DList-set*

by (*simp add: set-fold1-def semilattice-set.F-set-conv-fold fold-set-fold DList-set-def*
DList-Set.Collect-member split: option.split) (*transfer, simp*)

show *?RBT-set*

by (*simp add: set-fold1-def semilattice-set.F-set-conv-fold fold-set-fold RBT-set-def*
RBT-Set2.member-conv-keys RBT-Set2.fold1-conv-fold split: option.split)

qed *simp-all*

Implementation of set operations

```

lemma Collect-code [code]:
  fixes P :: 'a :: cenum  $\Rightarrow$  bool shows
    Collect P =
      (case ID CENUM('a) of None  $\Rightarrow$  Collect-set P
        | Some (enum, -)  $\Rightarrow$  Set-Monad (filter P enum))
by(auto split: option.split dest: in-cenum)

lemma finite-code [code]:
  fixes dxs :: 'a :: ceq set-dlist
  and rbt :: 'b :: ccompare set-rbt
  and A :: 'c :: finite-UNIV set and P :: 'c  $\Rightarrow$  bool shows
    finite (DList-set dxs) =
      (case ID CEQ('a) of None  $\Rightarrow$  Code.abort (STR "finite DList-set: ceq = None")
        (λ-. finite (DList-set dxs))
        | Some -  $\Rightarrow$  True)
    finite (RBT-set rbx) =
      (case ID CCOMPARE('b) of None  $\Rightarrow$  Code.abort (STR "finite RBT-set: ccompare
        = None") (λ-. finite (RBT-set rbx))
        | Some -  $\Rightarrow$  True)
  and finite-Complement [set-complement-code]:
    finite (Complement A)  $\longleftrightarrow$ 
      (if of-phantom (finite-UNIV :: 'c finite-UNIV) then True
        else if finite A then False
        else Code.abort (STR "finite Complement: infinite set") (λ-. finite (Complement
        A)))
  and
    finite (Set-Monad xs) = True
    finite (Collect-set P)  $\longleftrightarrow$ 
      of-phantom (finite-UNIV :: 'c finite-UNIV)  $\vee$  Code.abort (STR "finite Col-
      lect-set") (λ-. finite (Collect-set P))
by(auto simp add: DList-set-def RBT-set-def member-conv-keys card-gt-0-iff fi-
    nite-UNIV split: option.split elim: finite-subset[rotated 1])

```

```

lemma CARD-code [code-unfold]:
  CARD('a :: card-UNIV) = of-phantom (card-UNIV :: 'a card-UNIV)
by(simp add: card-UNIV)

```

```

lemma card-code [code]:
  fixes dxs :: 'a :: ceq set-dlist and xs :: 'a list
  and rbt :: 'b :: ccompare set-rbt
  and A :: 'c :: card-UNIV set shows
    card (DList-set dxs) =
      (case ID CEQ('a) of None  $\Rightarrow$  Code.abort (STR "card DList-set: ceq = None")
        (λ-. card (DList-set dxs))
        | Some -  $\Rightarrow$  DList-Set.length dxs)
    card (RBT-set rbx) =

```

```

(case ID CCOMPARE('b) of None ⇒ Code.abort (STR "card RBT-set: ccompare
= None") (λ-. card (RBT-set rbt))
  | Some - ⇒ length (RBT-Set2.keys rbt))
card (Set-Monad xs) =
(case ID CEQ('a) of None ⇒ Code.abort (STR "card Set-Monad: ceq = None")
(λ-. card (Set-Monad xs))
  | Some eq ⇒ length (equal-base.list-remdups eq xs))
and card-Complement [set-complement-code]:
card (Complement A) =
(let a = card A; s = CARD('c)
 in if s > 0 then s - a
   else if finite A then 0
   else Code.abort (STR "card Complement: infinite") (λ-. card (Complement
A)))
by(auto simp add: RBT-set-def member-conv-keys distinct-card DList-set-def Let-def
card-UNIV Compl-eq-Diff-UNIV card-Diff-subset-Int card-gt-0-iff finite-subset[of A
UNIV] List.card-set dest: Collection-Eq.ID-ceq split: option.split)

```

lemma *is-UNIV-code* [code]:

```

fixes rbt :: 'a :: {cproper-interval, finite-UNIV} set-rbt
and A :: 'b :: card-UNIV set shows
is-UNIV A ⟷
  (let a = CARD('b);
    b = card A
  in if a > 0 then a = b
    else if b > 0 then False
    else Code.abort (STR "is-UNIV called on infinite type and set") (λ-. is-UNIV
A))
(is ?generic)
is-UNIV (RBT-set rbt) =
  (case ID CCOMPARE('a) of None ⇒ Code.abort (STR "is-UNIV RBT-set:
ccompare = None") (λ-. is-UNIV (RBT-set rbt))
    | Some - ⇒ of-phantom (finite-UNIV :: 'a finite-UNIV) ∧
proper-intrvl.exhaustive-fusion cproper-interval rbt-keys-generator (RBT-Set2.init
rbt))
  (is ?rbt)
proof -
{
  fix c
  assume linorder: ID CCOMPARE('a) = Some c
  have is-UNIV (RBT-set rbt) =
    (finite (UNIV :: 'a set) ∧ proper-intrvl.exhaustive cproper-interval (RBT-Set2.keys
rbt))
    (is ?lhs ⟷ ?rhs)
  proof
    assume ?lhs
    have finite (UNIV :: 'a set)
    unfolding <?lhs>[unfolded is-UNIV-def, symmetric]
    using linorder

```

```

    by(simp add: finite-code)
  moreover
  hence proper-intvl.exhaustive cproper-interval (RBT-Set2.keys rbt)
    using linorder ‹?lhs›
  by(simp add: linorder-proper-interval.exhaustive-correct[OF ID-ccompare-interval[OF
linorder]] sorted-RBT-Set-keys is-UNIV-def RBT-set-conv-keys)
  ultimately show ?rhs ..
next
  assume ?rhs
  thus ?lhs using linorder
  by(auto simp add: linorder-proper-interval.exhaustive-correct[OF ID-ccompare-interval[OF
linorder]] sorted-RBT-Set-keys is-UNIV-def RBT-set-conv-keys)
qed }
thus ?rbt
  by(auto simp add: finite-UNIV proper-intvl.exhaustive-fusion-def unfoldr-rbt-keys-generator
is-UNIV-def split: option.split)

show ?generic
  by(auto simp add: Let-def is-UNIV-def dest: card-seteq[of UNIV A] dest!:
card-ge-0-finite)
qed

lemma is-empty-code [code]:
  fixes dxs :: 'a :: ceq set-dlist
  and rbt :: 'b :: ccompare set-rbt
  and A :: 'c set shows
    Set.is-empty (Set-Monad xs)  $\longleftrightarrow$  xs = []
    Set.is-empty (DList-set dxs)  $\longleftrightarrow$ 
    (case ID CEQ('a) of None  $\Rightarrow$  Code.abort (STR "is-empty DList-set: ceq = None")
    ( $\lambda$ -. Set.is-empty (DList-set dxs))
      | Some -  $\Rightarrow$  DList-Set.null dxs) (is ?DList-set)
    Set.is-empty (RBT-set rbt)  $\longleftrightarrow$ 
    (case ID CCOMPARE('b) of None  $\Rightarrow$  Code.abort (STR "is-empty RBT-set: ccom-
pare = None") ( $\lambda$ -. Set.is-empty (RBT-set rbt))
      | Some -  $\Rightarrow$  RBT-Set2.is-empty rbt) (is ?RBT-set)
  and is-empty-Complement [set-complement-code]:
    Set.is-empty (Complement A)  $\longleftrightarrow$  is-UNIV A (is ?Complement)
proof -
  show ?DList-set
    by(clarsimp simp add: DList-set-def Set.is-empty-def DList-Set.member-empty-empty
split: option.split)

  show ?RBT-set
    by(clarsimp simp add: RBT-set-def Set.is-empty-def RBT-Set2.member-empty-empty[symmetric]
fun-eq-iff simp del: RBT-Set2.member-empty-empty split: option.split)

  show ?Complement
    by(auto simp add: is-UNIV-def Set.is-empty-def)
qed(simp-all add: Set.is-empty-def List.null-def)

```


lemma *Set-insert-code* [code]:
fixes $dxs :: 'a :: ceq \text{ set-dlist}$
and $rbt :: 'b :: ccompare \text{ set-rbt}$ **shows**
 $\bigwedge x. \text{Set.insert } x (\text{Collect-set } A) =$
 $(\text{case ID CEQ}('a) \text{ of None} \Rightarrow \text{Code.abort (STR "insert Collect-set: ceq = None")}$
 $(\lambda-. \text{Set.insert } x (\text{Collect-set } A))$
 $\quad | \text{Some eq} \Rightarrow \text{Collect-set (equal-base.fun-upd eq A x True)})$
 $\bigwedge x. \text{Set.insert } x (\text{Set-Monad } xs) = \text{Set-Monad } (x \# xs)$
 $\bigwedge x. \text{Set.insert } x (\text{DList-set } dxs) =$
 $(\text{case ID CEQ}('a) \text{ of None} \Rightarrow \text{Code.abort (STR "insert DList-set: ceq = None")}$
 $(\lambda-. \text{Set.insert } x (\text{DList-set } dxs))$
 $\quad | \text{Some -} \Rightarrow \text{DList-set (DList-Set.insert x dxs)})$
 $\bigwedge x. \text{Set.insert } x (\text{RBT-set } rbt) =$
 $(\text{case ID CCOMPARE}('b) \text{ of None} \Rightarrow \text{Code.abort (STR "insert RBT-set: ccompare = None")}$
 $(\lambda-. \text{Set.insert } x (\text{RBT-set } rbt))$
 $\quad | \text{Some -} \Rightarrow \text{RBT-set (RBT-Set2.insert x rbt)})$
and *insert-Complement* [set-complement-code]:
 $\bigwedge x. \text{Set.insert } x (\text{Complement } X) = \text{Complement } (\text{Set.remove } x X)$
by(*auto split: option.split dest: equal.equal-eq[OF ID-ceq] simp add: DList-set-def*
DList-Set.member-insert RBT-set-def)

lemma *Set-member-code* [code]:
fixes $xs :: 'a :: ceq \text{ list}$ **shows**
 $\bigwedge x. x \in \text{Collect-set } A \longleftrightarrow A x$
 $\bigwedge x. x \in \text{DList-set } dxs \longleftrightarrow \text{DList-Set.member } dxs x$
 $\bigwedge x. x \in \text{RBT-set } rbt \longleftrightarrow \text{RBT-Set2.member } rbt x$
and *mem-Complement* [set-complement-code]:
 $\bigwedge x. x \in \text{Complement } X \longleftrightarrow x \notin X$
and
 $\bigwedge x. x \in \text{Set-Monad } xs \longleftrightarrow$
 $(\text{case ID CEQ}('a) \text{ of None} \Rightarrow \text{Code.abort (STR "member Set-Monad: ceq = None")}$
 $(\lambda-. x \in \text{Set-Monad } xs)$
 $\quad | \text{Some eq} \Rightarrow \text{equal-base.list-member eq xs } x)$
by(*auto simp add: DList-set-def RBT-set-def List.member-def split: option.split*
dest!: Collection-Eq.ID-ceq)

lemma *Set-remove-code* [code]:
fixes $rbt :: 'a :: ccompare \text{ set-rbt}$
and $dxs :: 'b :: ceq \text{ set-dlist}$ **shows**
 $\bigwedge x. \text{Set.remove } x (\text{Collect-set } A) =$
 $(\text{case ID CEQ}('b) \text{ of None} \Rightarrow \text{Code.abort (STR "remove Collect: ceq = None")}$
 $(\lambda-. \text{Set.remove } x (\text{Collect-set } A))$
 $\quad | \text{Some eq} \Rightarrow \text{Collect-set (equal-base.fun-upd eq A x False)})$
 $\bigwedge x. \text{Set.remove } x (\text{DList-set } dxs) =$
 $(\text{case ID CEQ}('b) \text{ of None} \Rightarrow \text{Code.abort (STR "remove DList-set: ceq = None")}$
 $(\lambda-. \text{Set.remove } x (\text{DList-set } dxs))$
 $\quad | \text{Some -} \Rightarrow \text{DList-set (DList-Set.remove x dxs)})$
 $\bigwedge x. \text{Set.remove } x (\text{RBT-set } rbt) =$

```

    (case ID CCOMPARE('a) of None  $\Rightarrow$  Code.abort (STR "remove RBT-set: ccompare = None") ( $\lambda$ -. Set.remove x (RBT-set rbt))
      | Some -  $\Rightarrow$  RBT-set (RBT-Set2.remove x rbt))
  and remove-Complement [set-complement-code]:
     $\bigwedge x A$ . Set.remove x (Complement A) = Complement (Set.insert x A)
  by(auto split: option.split if-split-asm dest: equal.equal-eq[OF ID-ceq] simp add:
    DList-set-def DList-Set.member-remove RBT-set-def)

```

lemma *Set-uminus-code* [code, set-complement-code]:

- $A = \text{Complement } A$
- $(\text{Collect-set } P) = \text{Collect-set } (\lambda x. \neg P \ x)$
- $(\text{Complement } B) = B$

by auto

These equations represent complements as true complements. If you want that the complement operations returns an explicit enumeration of the elements, use the following set of equations which use *cenum*.

lemma *Set-uminus-cenum*:

fixes $A :: 'a :: \text{cenum set}$ shows

- $A =$

```

(case ID CENUM('a) of None  $\Rightarrow$  Complement A
  | Some (enum, -)  $\Rightarrow$  Set-Monad (filter ( $\lambda x. x \notin A$ ) enum))

```

and - $(\text{Complement } B) = B$

by(auto split: option.split dest: ID-cEnum)

lemma *Set-minus-code* [code]:

fixes $\text{rbt1 rbt2} :: 'a :: \text{ccompare set-rbt}$

shows $A - B = A \cap (- B)$

$\text{RBT-set rbt1} - \text{RBT-set rbt2} =$

```

(case ID CCOMPARE('a) of None  $\Rightarrow$  Code.abort (STR "minus RBT-set
RBT-set: ccompare = None") ( $\lambda$ -. RBT-set rbt1 - RBT-set rbt2)
  | Some -  $\Rightarrow$  RBT-set (RBT-Set2.minus rbt1 rbt2))

```

by (auto simp: Set-member-code(3) split: option.splits)

lemma *Set-union-code* [code]:

fixes $\text{rbt1 rbt2} :: 'a :: \text{ccompare set-rbt}$

and $\text{rbt} :: 'b :: \{\text{ccompare, ceq}\} \text{ set-rbt}$

and $\text{dxs} :: 'b \text{ set-dlist}$

and $\text{dxs1 dxs2} :: 'c :: \text{ceq set-dlist}$ shows

$\text{RBT-set rbt1} \cup \text{RBT-set rbt2} =$

```

(case ID CCOMPARE('a) of None  $\Rightarrow$  Code.abort (STR "union RBT-set RBT-set:
ccompare = None") ( $\lambda$ -. RBT-set rbt1  $\cup$  RBT-set rbt2)
  | Some -  $\Rightarrow$  RBT-set (RBT-Set2.union rbt1 rbt2)) (is
?RBT-set-RBT-set)

```

$\text{RBT-set rbt} \cup \text{DList-set dxs} =$

```

(case ID CCOMPARE('b) of None  $\Rightarrow$  Code.abort (STR "union RBT-set DList-set:
ccompare = None") ( $\lambda$ -. RBT-set rbt  $\cup$  DList-set dxs)
  | Some -  $\Rightarrow$ 

```

```

case ID CEQ('b) of None  $\Rightarrow$  Code.abort (STR "union RBT-set DList-set:

```

```

ceq = None'') (λ-. RBT-set rbt ∪ DList-set dxs)
  | Some - ⇒ RBT-set (DList-Set.fold RBT-Set2.insert dxs rbt))
(is ?RBT-set-DList-set)
  DList-set dxs ∪ RBT-set rbt =
  (case ID CCOMPARE('b) of None ⇒ Code.abort (STR "union DList-set RBT-set:
ccompare = None'') (λ-. RBT-set rbt ∪ DList-set dxs)
  | Some - ⇒
    case ID CEQ('b) of None ⇒ Code.abort (STR "union DList-set RBT-set:
ceq = None'') (λ-. RBT-set rbt ∪ DList-set dxs)
  | Some - ⇒ RBT-set (DList-Set.fold RBT-Set2.insert dxs rbt))
(is ?DList-set-RBT-set)
  DList-set dxs1 ∪ DList-set dxs2 =
  (case ID CEQ('c) of None ⇒ Code.abort (STR "union DList-set DList-set: ceq
= None'') (λ-. DList-set dxs1 ∪ DList-set dxs2)
  | Some - ⇒ DList-set (DList-Set.union dxs1 dxs2)) (is
?DList-set-DList-set)
  Set-Monad zs ∪ RBT-set rbt2 =
  (case ID CCOMPARE('a) of None ⇒ Code.abort (STR "union Set-Monad RBT-set:
ccompare = None'') (λ-. Set-Monad zs ∪ RBT-set rbt2)
  | Some - ⇒ RBT-set (fold RBT-Set2.insert zs rbt2)) (is
?Set-Monad-RBT-set)
  RBT-set rbt1 ∪ Set-Monad zs =
  (case ID CCOMPARE('a) of None ⇒ Code.abort (STR "union RBT-set Set-Monad:
ccompare = None'') (λ-. RBT-set rbt1 ∪ Set-Monad zs)
  | Some - ⇒ RBT-set (fold RBT-Set2.insert zs rbt1)) (is
?RBT-set-Set-Monad)
  Set-Monad ws ∪ DList-set dxs2 =
  (case ID CEQ('c) of None ⇒ Code.abort (STR "union Set-Monad DList-set: ceq
= None'') (λ-. Set-Monad ws ∪ DList-set dxs2)
  | Some - ⇒ DList-set (fold DList-Set.insert ws dxs2)) (is
?Set-Monad-DList-set)
  DList-set dxs1 ∪ Set-Monad ws =
  (case ID CEQ('c) of None ⇒ Code.abort (STR "union DList-set Set-Monad: ceq
= None'') (λ-. DList-set dxs1 ∪ Set-Monad ws)
  | Some - ⇒ DList-set (fold DList-Set.insert ws dxs1)) (is
?DList-set-Set-Monad)
  Set-Monad xs ∪ Set-Monad ys = Set-Monad (xs @ ys)
  Collect-set A ∪ B = Collect-set (λx. A x ∨ x ∈ B)
  B ∪ Collect-set A = Collect-set (λx. A x ∨ x ∈ B)
and Set-union-Complement [set-complement-code]:
  Complement B ∪ B' = Complement (B ∩ - B')
  B' ∪ Complement B = Complement (- B' ∩ B)

```

proof –

```

show ?RBT-set-RBT-set ?Set-Monad-RBT-set ?RBT-set-Set-Monad
  by(auto split: option.split simp add: RBT-set-def)

```

```

show ?RBT-set-DList-set ?DList-set-RBT-set

```

```

  by(auto split: option.split simp add: RBT-set-def DList-set-def DList-Set.fold-def
DList-Set.member-def List.member-def dest: equal.equal-eq[OF ID-ceq])

```

```

show ?DList-set-Set-Monad ?Set-Monad-DList-set
by(auto split: option.split simp add: DList-set-def DList-Set.member-fold-insert)

show ?DList-set-DList-set
by(auto split: option.split simp add: DList-set-def DList-Set.member-union)
qed(auto)

lemma Set-inter-code [code]:
  fixes rbt1 rb2 :: 'a :: ccompare set-rbt
  and rbt :: 'b :: {ccompare, ceq} set-rbt
  and dxs :: 'b set-dlist
  and dxs1 dxs2 :: 'c :: ceq set-dlist
  and xs1 xs2 :: 'c list
  shows
    Collect-set  $A'' \cap J = \text{Collect-set } (\lambda x. A'' x \wedge x \in J)$  (is ?collect1)
     $J \cap \text{Collect-set } A'' = \text{Collect-set } (\lambda x. A'' x \wedge x \in J)$  (is ?collect2)

    Set-Monad  $xs'' \cap I = \text{Set-Monad } (\text{filter } (\lambda x. x \in I) xs'')$  (is ?monad1)
     $I \cap \text{Set-Monad } xs'' = \text{Set-Monad } (\text{filter } (\lambda x. x \in I) xs'')$  (is ?monad2)

    DList-set dxs1  $\cap H =$ 
      (case ID CEQ('c) of None  $\Rightarrow$  Code.abort (STR "inter DList-set1: ceq = None")
      ( $\lambda\cdot$ . DList-set dxs1  $\cap H$ )
      | Some eq  $\Rightarrow$  DList-set (DList-Set.filter ( $\lambda x. x \in H$ ) dxs1)) (is
      ?dlist1)
     $H \cap \text{DList-set } dxs2 =$ 
      (case ID CEQ('c) of None  $\Rightarrow$  Code.abort (STR "inter DList-set2: ceq = None")
      ( $\lambda\cdot$ .  $H \cap \text{DList-set } dxs2$ )
      | Some eq  $\Rightarrow$  DList-set (DList-Set.filter ( $\lambda x. x \in H$ ) dxs2)) (is
      ?dlist2)

    RBT-set rbt1  $\cap G =$ 
      (case ID CCOMPARE('a) of None  $\Rightarrow$  Code.abort (STR "inter RBT-set1: ccompare = None")
      ( $\lambda\cdot$ . RBT-set rbt1  $\cap G$ )
      | Some -  $\Rightarrow$  RBT-set (RBT-Set2.filter ( $\lambda x. x \in G$ ) rbt1)) (is
      ?rbt1)
     $G \cap \text{RBT-set } rbt2 =$ 
      (case ID CCOMPARE('a) of None  $\Rightarrow$  Code.abort (STR "inter RBT-set2: ccompare = None")
      ( $\lambda\cdot$ .  $G \cap \text{RBT-set } rbt2$ )
      | Some -  $\Rightarrow$  RBT-set (RBT-Set2.filter ( $\lambda x. x \in G$ ) rbt2)) (is
      ?rbt2)
  and Set-inter-Complement [set-complement-code]:
    Complement  $B'' \cap \text{Complement } B''' = \text{Complement } (B'' \cup B''')$  (is ?complement)
  and
    Set-Monad  $xs \cap \text{RBT-set } rbt1 =$ 
      (case ID CCOMPARE('a) of None  $\Rightarrow$  Code.abort (STR "inter Set-Monad RBT-set: ccompare = None")
      ( $\lambda\cdot$ . RBT-set rbt1  $\cap \text{Set-Monad } xs$ )
      | Some -  $\Rightarrow$  RBT-set (RBT-Set2.inter-list rbt1 xs)) (is ?monad-rbt)

```

$Set-Monad\ xs' \cap DList-set\ dxs2 =$
 $(case\ ID\ CEQ('c)\ of\ None \Rightarrow Code.abort\ (STR\ "inter\ Set-Monad\ DList-set:\ ceq$
 $=\ None'')\ (\lambda-. Set-Monad\ xs' \cap DList-set\ dxs2)$
 $\quad | Some\ eq \Rightarrow DList-set\ (DList-Set.filter\ (equal-base.list-member\ eq$
 $xs')\ dxs2))\ (is\ ?monad-dlist)$
 $Set-Monad\ xs1 \cap Set-Monad\ xs2 =$
 $(case\ ID\ CEQ('c)\ of\ None \Rightarrow Code.abort\ (STR\ "inter\ Set-Monad\ Set-Monad:\ ceq$
 $=\ None'')\ (\lambda-. Set-Monad\ xs1 \cap Set-Monad\ xs2)$
 $\quad | Some\ eq \Rightarrow Set-Monad\ (filter\ (equal-base.list-member\ eq\ xs2)\ xs1))$
 $(is\ ?monad)$

$DList-set\ dxs \cap RBT-set\ rbt =$
 $(case\ ID\ CCOMPARE('b)\ of\ None \Rightarrow Code.abort\ (STR\ "inter\ DList-set\ RBT-set:$
 $ccompare = None'')\ (\lambda-. DList-set\ dxs \cap RBT-set\ rbt)$
 $\quad | Some\ - \Rightarrow$
 $\quad case\ ID\ CEQ('b)\ of\ None \Rightarrow Code.abort\ (STR\ "inter\ DList-set\ RBT-set:\ ceq$
 $=\ None'')\ (\lambda-. DList-set\ dxs \cap RBT-set\ rbt)$
 $\quad | Some\ - \Rightarrow RBT-set\ (RBT-Set2.inter-list\ rbt\ (list-of-dlist\ dxs)))$
 $(is\ ?dlist-rbt)$
 $DList-set\ dxs1 \cap DList-set\ dxs2 =$
 $(case\ ID\ CEQ('c)\ of\ None \Rightarrow Code.abort\ (STR\ "inter\ DList-set\ DList-set:\ ceq$
 $=\ None'')\ (\lambda-. DList-set\ dxs1 \cap DList-set\ dxs2)$
 $\quad | Some\ - \Rightarrow DList-set\ (DList-Set.filter\ (DList-Set.member\ dxs2)$
 $dxs1))\ (is\ ?dlist)$
 $DList-set\ dxs1 \cap Set-Monad\ xs' =$
 $(case\ ID\ CEQ('c)\ of\ None \Rightarrow Code.abort\ (STR\ "inter\ DList-set\ Set-Monad:\ ceq$
 $=\ None'')\ (\lambda-. DList-set\ dxs1 \cap Set-Monad\ xs')$
 $\quad | Some\ eq \Rightarrow DList-set\ (DList-Set.filter\ (equal-base.list-member\ eq$
 $xs')\ dxs1))\ (is\ ?dlist-monad)$

$RBT-set\ rbt1 \cap RBT-set\ rbt2 =$
 $(case\ ID\ CCOMPARE('a)\ of\ None \Rightarrow Code.abort\ (STR\ "inter\ RBT-set\ RBT-set:$
 $ccompare = None'')\ (\lambda-. RBT-set\ rbt1 \cap RBT-set\ rbt2)$
 $\quad | Some\ - \Rightarrow RBT-set\ (RBT-Set2.inter\ rbt1\ rbt2))\ (is\ ?rbt-rbt)$
 $RBT-set\ rbt \cap DList-set\ dxs =$
 $(case\ ID\ CCOMPARE('b)\ of\ None \Rightarrow Code.abort\ (STR\ "inter\ RBT-set\ DList-set:$
 $ccompare = None'')\ (\lambda-. RBT-set\ rbt \cap DList-set\ dxs)$
 $\quad | Some\ - \Rightarrow$
 $\quad case\ ID\ CEQ('b)\ of\ None \Rightarrow Code.abort\ (STR\ "inter\ RBT-set\ DList-set:\ ceq$
 $=\ None'')\ (\lambda-. RBT-set\ rbt \cap DList-set\ dxs)$
 $\quad | Some\ - \Rightarrow RBT-set\ (RBT-Set2.inter-list\ rbt\ (list-of-dlist\ dxs)))$
 $(is\ ?rbt-dlist)$
 $RBT-set\ rbt1 \cap Set-Monad\ xs =$
 $(case\ ID\ CCOMPARE('a)\ of\ None \Rightarrow Code.abort\ (STR\ "inter\ RBT-set\ Set-Monad:$
 $ccompare = None'')\ (\lambda-. RBT-set\ rbt1 \cap Set-Monad\ xs)$
 $\quad | Some\ - \Rightarrow RBT-set\ (RBT-Set2.inter-list\ rbt1\ xs))\ (is\ ?rbt-monad)$

proof —

show $?rbt-rbt\ ?rbt1\ ?rbt2\ ?rbt-dlist\ ?rbt-monad\ ?dlist-rbt\ ?monad-rbt$

```

by(auto simp add: RBT-set-def DList-set-def DList-Set.member-def List.member-def
dest: equal.equal-eq[OF ID-ceq] split: option.split)
show ?dlist ?dlist1 ?dlist2 ?dlist-monad ?monad-dlist ?monad ?monad1 ?monad2
?collect1 ?collect2 ?complement
by(auto simp add: DList-set-def List.member-def dest!: Collection-Eq.ID-ceq
split: option.splits)
qed

```

```

lemma Set-bind-code [code]:
  fixes dxs :: 'a :: ceq set-dlist
  and rbt :: 'b :: ccompare set-rbt shows
    Set.bind (Set-Monad xs) f = fold (( $\cup$ )  $\circ$  f) xs (Set-Monad []) (is ?Set-Monad)
    Set.bind (DList-set dxs) f' =
      (case ID CEQ('a) of None  $\Rightarrow$  Code.abort (STR "bind DList-set: ceq = None")
      ( $\lambda$ -. Set.bind (DList-set dxs) f')
      | Some -  $\Rightarrow$  DList-Set.fold (union  $\circ$  f') dxs {}) (is ?DList)
    Set.bind (RBT-set rb) f'' =
      (case ID CCOMPARE('b) of None  $\Rightarrow$  Code.abort (STR "bind RBT-set: ccompare
      = None") ( $\lambda$ -. Set.bind (RBT-set rb) f'')
      | Some -  $\Rightarrow$  RBT-Set2.fold (union  $\circ$  f'') rb {}) (is ?RBT)

```

proof –

```

  show ?Set-Monad by(simp add: set-bind-conv-fold)
  show ?DList by(auto simp add: DList-set-def DList-Set.member-def List.member-def
List.member-def[abs-def] set-bind-conv-fold DList-Set.fold-def split: option.split dest:
equal.equal-eq[OF ID-ceq] ID-ceq)
  show ?RBT by(clarsimp split: option.split simp add: RBT-set-def RBT-Set2.fold-conv-fold-keys
RBT-Set2.member-conv-keys set-bind-conv-fold)
qed

```

```

lemma UNIV-code [code]: UNIV = - {}
by(simp)

```

lift-definition *inf-sls* :: 'a :: *lattice semilattice-set* **is** *inf* **by** *unfold-locales*

```

lemma Inf-fin-code [code]: Inf-fin A = set-fold1 inf-sls A
by transfer(simp add: Inf-fin-def)

```

lift-definition *sup-sls* :: 'a :: *lattice semilattice-set* **is** *sup* **by** *unfold-locales*

```

lemma Sup-fin-code [code]: Sup-fin A = set-fold1 sup-sls A
by transfer(simp add: Sup-fin-def)

```

```

lift-definition inf-cfi :: ('a :: lattice, 'a) comp-fun-idem is inf
by(rule comp-fun-idem-inf)

```

lemma *Inf-code*:

```

  fixes A :: 'a :: complete-lattice set shows
    Inf A = (if finite A then set-fold-cfi inf-cfi top A else Code.abort (STR "Inf:
infinite") ( $\lambda$ -. Inf A))

```

by *transfer*(*simp add: Inf-fold-inf*)

lift-definition *sup-cfi* :: ('a :: lattice, 'a) *comp-fun-idem* **is** *sup*
by(*rule comp-fun-idem-sup*)

lemma *Sup-code*:

fixes *A* :: 'a :: *complete-lattice set* **shows**

Sup A = (if finite A then set-fold-cfi sup-cfi bot A else Code.abort (STR "Sup: infinite")) ($\lambda\cdot$. *Sup A*)

by *transfer*(*simp add: Sup-fold-sup*)

lemmas *Inter-code* [*code*] = *Inf-code*[**where** ?'a = - :: *type set*]

lemmas *Union-code* [*code*] = *Sup-code*[**where** ?'a = - :: *type set*]

lemmas *Predicate-Inf-code* [*code*] = *Inf-code*[**where** ?'a = - :: *type Predicate.pred*]

lemmas *Predicate-Sup-code* [*code*] = *Sup-code*[**where** ?'a = - :: *type Predicate.pred*]

lemmas *Inf-fun-code* [*code*] = *Inf-code*[**where** ?'a = - :: *type* \Rightarrow - :: *complete-lattice*]

lemmas *Sup-fun-code* [*code*] = *Sup-code*[**where** ?'a = - :: *type* \Rightarrow - :: *complete-lattice*]

lift-definition *min-sls* :: 'a :: *linorder semilattice-set* **is** *min* **by** *unfold-locales*

lemma *Min-code* [*code*]: *Min A = set-fold1 min-sls A*

by *transfer*(*simp add: Min-def*)

lift-definition *max-sls* :: 'a :: *linorder semilattice-set* **is** *max* **by** *unfold-locales*

lemma *Max-code* [*code*]: *Max A = set-fold1 max-sls A*

by *transfer*(*simp add: Max-def*)

We do not implement *Ball*, *Bex*, and *sorted-list-of-set* for *Collect-set* using *CENUM*('a), because it should already have been converted to an explicit list of elements if that is possible.

lemma *Ball-code* [*code*]:

fixes *rbt* :: 'a :: *ccompare set-rbt*

and *dxs* :: 'b :: *ceq set-dlist* **shows**

Ball (Set-Monad xs) P = list-all P xs

Ball (DList-set dxs) P' =

(case ID CEQ('b) of None \Rightarrow Code.abort (STR "Ball DList-set: ceq = None"))
 $(\lambda\cdot$. *Ball (DList-set dxs) P')*

$|$ *Some - \Rightarrow DList-Set.dlist-all P' dxs*

Ball (RBT-set rbtt) P'' =

(case ID CCOMPARE('a) of None \Rightarrow Code.abort (STR "Ball RBT-set: ccompare = None")) ($\lambda\cdot$. *Ball (RBT-set rbtt) P''*)

$|$ *Some - \Rightarrow RBT-Set2.all P'' rbtt*

by(*simp-all add: DList-set-def RBT-set-def list-all-iff dlist-all-conv-member RBT-Set2.all-conv-all-member split: option.splits*)

lemma *Bex-code* [*code*]:

fixes *rbt* :: 'a :: *ccompare set-rbt*

and *dxs* :: 'b :: *ceq set-dlist* **shows**

```

Bex (Set-Monad xs) P = list-ex P xs
Bex (DList-set dxs) P' =
  (case ID CEQ('b) of None ⇒ Code.abort (STR "Bex DList-set: ceq = None")
  (λ-. Bex (DList-set dxs) P')
    | Some - ⇒ DList-Set.dlist-ex P' dxs)
Bex (RBT-set rbt) P'' =
  (case ID CCOMPARE('a) of None ⇒ Code.abort (STR "Bex RBT-set: ccompare
= None") (λ-. Bex (RBT-set rbt) P'')
    | Some - ⇒ RBT-Set2.ex P'' rbt)
by(simp-all add: DList-set-def RBT-set-def list-ex-iff dlist-ex-conv-member RBT-Set2.ex-conv-ex-member
split: option.splits)

```

lemma *csorted-list-of-set-code* [code]:

```

fixes rbt :: 'a :: ccompare set-rbt
and dxs :: 'b :: {ccompare, ceq} set-dlist
and xs :: 'a :: ccompare list shows
  csorted-list-of-set (RBT-set rbt) =
    (case ID CCOMPARE('a) of None ⇒ Code.abort (STR "csorted-list-of-set RBT-set:
ccompare = None") (λ-. csorted-list-of-set (RBT-set rbt))
    | Some - ⇒ RBT-Set2.keys rbt)
  csorted-list-of-set (DList-set dxs) =
    (case ID CEQ('b) of None ⇒ Code.abort (STR "csorted-list-of-set DList-set: ceq
= None") (λ-. csorted-list-of-set (DList-set dxs))
    | Some - ⇒
      case ID CCOMPARE('b) of None ⇒ Code.abort (STR "csorted-list-of-set
DList-set: ccompare = None") (λ-. csorted-list-of-set (DList-set dxs))
      | Some c ⇒ ord.quickSort (lt-of-comp c) (list-of-dlist dxs))
  csorted-list-of-set (Set-Monad xs) =
    (case ID CCOMPARE('a) of None ⇒ Code.abort (STR "csorted-list-of-set Set-Monad:
ccompare = None") (λ-. csorted-list-of-set (Set-Monad xs))
    | Some c ⇒ ord.remDups-sorted (lt-of-comp c) (ord.quickSort (lt-of-comp
c) xs))
by(auto split: option.split simp add: RBT-set-def DList-set-def DList-Set.Collect-member
member-conv-keys sorted-RBT-Set-keys linorder.sorted-list-of-set-sort-remDups[OF
ID-ccompare] linorder.quickSort-conv-sort[OF ID-ccompare] distinct-remDups-id dis-
tinct-list-of-dlist linorder.remDups-sorted-conv-remDups[OF ID-ccompare] linorder.sorted-sort[OF
ID-ccompare] linorder.sort-remDups[OF ID-ccompare] csorted-list-of-set-def)

```

lemma *cless-set-code* [code]:

```

fixes rbt rbt' :: 'a :: ccompare set-rbt
and rbt1 rbt2 :: 'b :: cproper-interval set-rbt
and A B :: 'a set
and A' B' :: 'b set shows
  cless-set A B ⇔
    (case ID CCOMPARE('a) of None ⇒ Code.abort (STR "cless-set: ccompare =
None") (λ-. cless-set A B)
    | Some c ⇒
      if finite A ∧ finite B then ord.lexordp (λx y. lt-of-comp c y x) (csorted-list-of-set
A) (csorted-list-of-set B)

```



```

    else Code.abort (STR "cless-set: infinite set") (λ-. cless-set A B)
  (is ?fin-fin)
  and cless-set-Complement2 [set-complement-code]:
    cless-set A' (Complement B') ←→
    (case ID CCOMPARE('b) of None ⇒ Code.abort (STR "cless-set Complement2:
ccompare = None") (λ-. cless-set A' (Complement B'))
    | Some c ⇒
      if finite A' ∧ finite B' then
        finite (UNIV :: 'b set) →
        proper-intrvl.set-less-aux-Compl (lt-of-comp c) cproper-interval None (csorted-list-of-set
A') (csorted-list-of-set B')
      else Code.abort (STR "cless-set Complement2: infinite set") (λ-. cless-set A'
(Complement B')))
  (is ?fin-Compl-fin)
  and cless-set-Complement1 [set-complement-code]:
    cless-set (Complement A') B' ←→
    (case ID CCOMPARE('b) of None ⇒ Code.abort (STR "cless-set Complement1:
ccompare = None") (λ-. cless-set (Complement A') B')
    | Some c ⇒
      if finite A' ∧ finite B' then
        finite (UNIV :: 'b set) ∧
        proper-intrvl.Compl-set-less-aux (lt-of-comp c) cproper-interval None (csorted-list-of-set
A') (csorted-list-of-set B')
      else Code.abort (STR "cless-set Complement1: infinite set") (λ-. cless-set
(Complement A') B'))
  (is ?Compl-fin-fin)
  and cless-set-Complement12 [set-complement-code]:
    cless-set (Complement A) (Complement B) ←→
    (case ID CCOMPARE('a) of None ⇒ Code.abort (STR "cless-set Complement
Complement: ccompare = None") (λ-. cless-set (Complement A) (Complement B))
    | Some - ⇒ cless B A) (is ?Compl-Compl)
  and
    cless-set (RBT-set rbt) (RBT-set rbt') ←→
    (case ID CCOMPARE('a) of None ⇒ Code.abort (STR "cless-set RBT-set
RBT-set: ccompare = None") (λ-. cless-set (RBT-set rbt) (RBT-set rbt'))
    | Some c ⇒ ord.lexord-fusion (λx y. lt-of-comp c y x) rbt-keys-generator
rbt-keys-generator (RBT-Set2.init rbt) (RBT-Set2.init rbt'))
  (is ?rbt-rbt)
  and cless-set-rbt-Complement2 [set-complement-code]:
    cless-set (RBT-set rbt1) (Complement (RBT-set rbt2)) ←→
    (case ID CCOMPARE('b) of None ⇒ Code.abort (STR "cless-set RBT-set (Complement
RBT-set): ccompare = None") (λ-. cless-set (RBT-set rbt1) (Complement (RBT-set
rbt2))))
    | Some c ⇒
      finite (UNIV :: 'b set) →
      proper-intrvl.set-less-aux-Compl-fusion (lt-of-comp c) cproper-interval rbt-keys-generator
rbt-keys-generator None (RBT-Set2.init rbt1) (RBT-Set2.init rbt2))
  (is ?rbt-Compl)
  and cless-set-rbt-Complement1 [set-complement-code]:

```

```

  cless-set (Complement (RBT-set rbt1)) (RBT-set rbt2)  $\longleftrightarrow$ 
  (case ID CCOMPARE('b) of None  $\Rightarrow$  Code.abort (STR "cless-set (Complement
  RBT-set) RBT-set: ccompare = None") ( $\lambda$ -. cless-set (Complement (RBT-set rbt1))
  (RBT-set rbt2))
    | Some c  $\Rightarrow$ 
    finite (UNIV :: 'b set)  $\wedge$ 
    proper-intrvl.Compl-set-less-aux-fusion (lt-of-comp c) cproper-interval rbt-keys-generator
  rbt-keys-generator None (RBT-Set2.init rbt1) (RBT-Set2.init rbt2))
  (is ?Compl-rbt)
proof –
note [split] = option.split csorted-list-of-set-split
and [simp] =
  le-of-comp-of-ords-linorder[OF ID-ccompare]
  lt-of-comp-of-ords
  finite-subset[OF subset-UNIV] ccompare-set-def ID-Some
  ord.lexord-fusion-def
  proper-intrvl.Compl-set-less-aux-fusion-def
  proper-intrvl.set-less-aux-Compl-fusion-def
  unfoldr-rbt-keys-generator
  RBT-set-def sorted-RBT-Set-keys member-conv-keys
  linorder.set-less-finite-iff[OF ID-ccompare]
  linorder.set-less-aux-code[OF ID-ccompare, symmetric]
  linorder.Compl-set-less-Compl[OF ID-ccompare]
  linorder.infinite-set-less-Complement[OF ID-ccompare]
  linorder.infinite-Complement-set-less[OF ID-ccompare]
  linorder-proper-interval.set-less-aux-Compl2-conv-set-less-aux-Compl[OF ID-ccompare-interval,
  symmetric]
  linorder-proper-interval.Compl1-set-less-aux-conv-Compl-set-less-aux[OF ID-ccompare-interval,
  symmetric]

show ?Compl-Compl by simp
show ?rbt-rbt
by auto
show ?rbt-Compl by (cases finite (UNIV :: 'b set)) auto
show ?Compl-rbt by (cases finite (UNIV :: 'b set)) auto
show ?fin-fin by auto
show ?fin-Compl-fin by (cases finite (UNIV :: 'b set), auto)
show ?Compl-fin-fin by (cases finite (UNIV :: 'b set)) auto
qed

lemma le-of-comp-set-less-eq:
  le-of-comp (comp-of-ords (ord.set-less-eq le) (ord.set-less le)) = ord.set-less-eq le
by (rule le-of-comp-of-ords-gen, simp add: ord.set-less-def)

lemma cless-eq-set-code [code]:
fixes rbt rbt' :: 'a :: ccompare set-rbt
and rbt1 rbt2 :: 'b :: cproper-interval set-rbt
and A B :: 'a set
and A' B' :: 'b set shows

```

```

cless-eq-set A B  $\longleftrightarrow$ 
  (case ID CCOMPARE('a) of None  $\Rightarrow$  Code.abort (STR "cless-eq-set: ccompare
= None") ( $\lambda$ -. cless-eq-set A B)
    | Some c  $\Rightarrow$ 
      if finite A  $\wedge$  finite B then
        ord.lexordp-eq ( $\lambda$ x y. lt-of-comp c y x) (csorted-list-of-set A) (csorted-list-of-set
B)
      else Code.abort (STR "cless-eq-set: infinite set") ( $\lambda$ -. cless-eq-set A B))
(is ?fin-fin)
and cless-eq-set-Complement2 [set-complement-code]:
  cless-eq-set A' (Complement B')  $\longleftrightarrow$ 
    (case ID CCOMPARE('b) of None  $\Rightarrow$  Code.abort (STR "cless-eq-set Complement
ment2: ccompare = None") ( $\lambda$ -. cless-eq-set A' (Complement B'))
      | Some c  $\Rightarrow$ 
        if finite A'  $\wedge$  finite B' then
          finite (UNIV :: 'b set)  $\longrightarrow$ 
            proper-intrvl.set-less-eq-aux-Compl (lt-of-comp c) cproper-interval None
(csorted-list-of-set A') (csorted-list-of-set B')
          else Code.abort (STR "cless-eq-set Complement2: infinite set") ( $\lambda$ -. cless-eq-set
A' (Complement B')))
(is ?fin-Compl-fin)
and cless-eq-set-Complement1 [set-complement-code]:
  cless-eq-set (Complement A') B'  $\longleftrightarrow$ 
    (case ID CCOMPARE('b) of None  $\Rightarrow$  Code.abort (STR "cless-eq-set Complement
ment1: ccompare = None") ( $\lambda$ -. cless-eq-set (Complement A') B')
      | Some c  $\Rightarrow$ 
        if finite A'  $\wedge$  finite B' then
          finite (UNIV :: 'b set)  $\wedge$ 
            proper-intrvl.Compl-set-less-eq-aux (lt-of-comp c) cproper-interval None (csorted-list-of-set
A') (csorted-list-of-set B')
          else Code.abort (STR "cless-eq-set Complement1: infinite set") ( $\lambda$ -. cless-eq-set
(Complement A') B'))
(is ?Compl-fin-fin)
and cless-eq-set-Complement12 [set-complement-code]:
  cless-eq-set (Complement A) (Complement B)  $\longleftrightarrow$ 
    (case ID CCOMPARE('a) of None  $\Rightarrow$  Code.abort (STR "cless-eq-set Complement
ment: ccompare = None") ( $\lambda$ -. cless-eq (Complement A) (Complement B))
      | Some c  $\Rightarrow$  cless-eq-set B A)
(is ?Compl-Compl)

cless-eq-set (RBT-set rbt) (RBT-set rbt')  $\longleftrightarrow$ 
  (case ID CCOMPARE('a) of None  $\Rightarrow$  Code.abort (STR "cless-eq-set RBT-set
RBT-set: ccompare = None") ( $\lambda$ -. cless-eq-set (RBT-set rbt) (RBT-set rbt'))
    | Some c  $\Rightarrow$  ord.lexord-eq-fusion ( $\lambda$ x y. lt-of-comp c y x) rbt-keys-generator
rbt-keys-generator (RBT-Set2.init rbt) (RBT-Set2.init rbt'))
(is ?rbt-rbt)
and cless-eq-set-rbt-Complement2 [set-complement-code]:
  cless-eq-set (RBT-set rbt1) (Complement (RBT-set rbt2))  $\longleftrightarrow$ 
    (case ID CCOMPARE('b) of None  $\Rightarrow$  Code.abort (STR "cless-eq-set RBT-set

```

```

(Complement RBT-set): ccompare = None") (λ-. cless-eq-set (RBT-set rbt1) (Complement
(RBT-set rbt2)))
  | Some c ⇒
    finite (UNIV :: 'b set) ⟶
      proper-intrvl.set-less-eq-aux-Compl-fusion (lt-of-comp c) cproper-interval rbt-keys-generator
rbt-keys-generator None (RBT-Set2.init rbt1) (RBT-Set2.init rbt2))
  (is ?rbt-Compl)
  and cless-eq-set-rbt-Complement1 [set-complement-code]:
    cless-eq-set (Complement (RBT-set rbt1)) (RBT-set rbt2) ⟷
      (case ID CCOMPARE('b) of None ⇒ Code.abort (STR "cless-eq-set (Complement
RBT-set) RBT-set: ccompare = None") (λ-. cless-eq-set (Complement (RBT-set
rbt1)) (RBT-set rbt2))
      | Some c ⇒
        finite (UNIV :: 'b set) ∧
          proper-intrvl.Compl-set-less-eq-aux-fusion (lt-of-comp c) cproper-interval rbt-keys-generator
rbt-keys-generator None (RBT-Set2.init rbt1) (RBT-Set2.init rbt2))
      (is ?Compl-rbt)
proof –
  note [split] = option.split csorted-list-of-set-split
  and [simp] =
    le-of-comp-set-less-eq
    finite-subset[OF subset-UNIV] ccompare-set-def ID-Some
    ord.lexord-eq-fusion-def proper-intrvl.Compl-set-less-eq-aux-fusion-def
    proper-intrvl.set-less-eq-aux-Compl-fusion-def
    unfoldr-rbt-keys-generator
    RBT-set-def sorted-RBT-Set-keys member-conv-keys
    linorder.set-less-eq-finite-iff[OF ID-ccompare]
    linorder.set-less-eq-aux-code[OF ID-ccompare, symmetric]
    linorder.Compl-set-less-eq-Compl[OF ID-ccompare]
    linorder.infinite-set-less-eq-Complement[OF ID-ccompare]
    linorder.infinite-Complement-set-less-eq[OF ID-ccompare]
    linorder-proper-interval.set-less-eq-aux-Compl2-conv-set-less-eq-aux-Compl[OF
ID-ccompare-interval, symmetric]
    linorder-proper-interval.Compl1-set-less-eq-aux-conv-Compl-set-less-eq-aux[OF
ID-ccompare-interval, symmetric]

  show ?Compl-Compl by simp
  show ?rbt-rbt

  by auto
  show ?rbt-Compl by (cases finite (UNIV :: 'b set)) auto
  show ?Compl-rbt by (cases finite (UNIV :: 'b set)) auto
  show ?fin-fin by auto
  show ?fin-Compl-fin by (cases finite (UNIV :: 'b set), auto)
  show ?Compl-fin-fin by (cases finite (UNIV :: 'b set)) auto
qed

lemma cproper-interval-set-Some-Some-code [code]:
  fixes rbt1 rbt2 :: 'a :: cproper-interval set-rbt

```

and $A \ B :: 'a \text{ set}$ **shows**

```

  cproper-interval (Some A) (Some B)  $\longleftrightarrow$ 
    (case ID CCOMPARE('a) of None  $\Rightarrow$  Code.abort (STR "cpproper-interval: ccompare = None")
      ( $\lambda$ -. cproper-interval (Some A) (Some B))
      | Some c  $\Rightarrow$ 
        finite (UNIV :: 'a set)  $\wedge$  proper-intrvl.proper-interval-set-aux (lt-of-comp c)
        cproper-interval (csorted-list-of-set A) (csorted-list-of-set B))
    (is ?fin-fin)
  and cproper-interval-set-Some-Some-Complement [set-complement-code]:
    cproper-interval (Some A) (Some (Complement B))  $\longleftrightarrow$ 
      (case ID CCOMPARE('a) of None  $\Rightarrow$  Code.abort (STR "cpproper-interval Complement2: ccompare = None")
        ( $\lambda$ -. cproper-interval (Some A) (Some (Complement B))))
      | Some c  $\Rightarrow$ 
        finite (UNIV :: 'a set)  $\wedge$  proper-intrvl.proper-interval-set-Compl-aux (lt-of-comp c)
        cproper-interval None 0 (csorted-list-of-set A) (csorted-list-of-set B))
    (is ?fin-Compl-fin)
  and cproper-interval-set-Some-Complement-Some [set-complement-code]:
    cproper-interval (Some (Complement A)) (Some B)  $\longleftrightarrow$ 
      (case ID CCOMPARE('a) of None  $\Rightarrow$  Code.abort (STR "cpproper-interval Complement1: ccompare = None")
        ( $\lambda$ -. cproper-interval (Some (Complement A)) (Some B)))
      | Some c  $\Rightarrow$ 
        finite (UNIV :: 'a set)  $\wedge$  proper-intrvl.proper-interval-Compl-set-aux (lt-of-comp c)
        cproper-interval None (csorted-list-of-set A) (csorted-list-of-set B))
    (is ?Compl-fin-fin)
  and cproper-interval-set-Some-Complement-Some-Complement [set-complement-code]:
    cproper-interval (Some (Complement A)) (Some (Complement B))  $\longleftrightarrow$ 
      (case ID CCOMPARE('a) of None  $\Rightarrow$  Code.abort (STR "cpproper-interval Complement Complement: ccompare = None")
        ( $\lambda$ -. cproper-interval (Some (Complement A)) (Some (Complement B))))
      | Some -  $\Rightarrow$  cproper-interval (Some B) (Some A))
    (is ?Compl-Compl)

  cproper-interval (Some (RBT-set rbt1)) (Some (RBT-set rbt2))  $\longleftrightarrow$ 
    (case ID CCOMPARE('a) of None  $\Rightarrow$  Code.abort (STR "cpproper-interval RBT-set RBT-set: ccompare = None")
      ( $\lambda$ -. cproper-interval (Some (RBT-set rbt1)) (Some (RBT-set rbt2))))
    | Some c  $\Rightarrow$ 
      finite (UNIV :: 'a set)  $\wedge$  proper-intrvl.proper-interval-set-aux-fusion (lt-of-comp c)
      cproper-interval rbt-keys-generator rbt-keys-generator (RBT-Set2.init rbt1) (RBT-Set2.init rbt2))
    (is ?rbt-rbt)
  and cproper-interval-set-Some-rbt-Some-Complement [set-complement-code]:
    cproper-interval (Some (RBT-set rbt1)) (Some (Complement (RBT-set rbt2)))
 $\longleftrightarrow$ 
    (case ID CCOMPARE('a) of None  $\Rightarrow$  Code.abort (STR "cpproper-interval RBT-set (Complement RBT-set): ccompare = None")
      ( $\lambda$ -. cproper-interval (Some (RBT-set

```

```

rbt1)) (Some (Complement (RBT-set rb2))))
  | Some c ⇒
    finite (UNIV :: 'a set) ∧ proper-intrvl.proper-interval-set-Compl-aux-fusion
  (lt-of-comp c) cproper-interval rb2-keys-generator rb2-keys-generator None 0 (RBT-Set2.init
  rb2) (RBT-Set2.init rb2))
  (is ?rbt-Compl-rbt)
  and cproper-interval-set-Some-Complement-Some-rbt [set-complement-code]:
    cproper-interval (Some (Complement (RBT-set rb1))) (Some (RBT-set rb2))
  ←→
  (case ID CCOMPARE('a) of None ⇒ Code.abort (STR "cpproper-interval (Complement
  RBT-set) RBT-set: ccompare = None") (λ-. cproper-interval (Some (Complement
  (RBT-set rb1))) (Some (RBT-set rb2))))
  | Some c ⇒
    finite (UNIV :: 'a set) ∧ proper-intrvl.proper-interval-Compl-set-aux-fusion
  (lt-of-comp c) cproper-interval rb2-keys-generator rb2-keys-generator None (RBT-Set2.init
  rb2) (RBT-Set2.init rb2))
  (is ?Compl-rbt-rbt)
proof –
  note [split] = option.split csorted-list-of-set-split
  and [simp] =
    lt-of-comp-of-ords
    finite-subset[OF subset-UNIV] ccompare-set-def ID-Some
    linorder.set-less-finite-iff[OF ID-ccompare]
    RBT-set-def sorted-RBT-Set-keys member-conv-keys
    linorder.distinct-entries[OF ID-ccompare]
    unfoldr-rbt-keys-generator
    proper-intrvl.proper-interval-set-aux-fusion-def
    proper-intrvl.proper-interval-set-Compl-aux-fusion-def
    proper-intrvl.proper-interval-Compl-set-aux-fusion-def
    linorder-proper-interval.proper-interval-set-aux[OF ID-ccompare-interval]
    linorder-proper-interval.proper-interval-set-Compl-aux[OF ID-ccompare-interval]
    linorder-proper-interval.proper-interval-Compl-set-aux[OF ID-ccompare-interval]
  and [cong] = conj-cong

  show ?Compl-Compl
  by(clarsimp simp add: Complement-cproper-interval-set-Complement simp del:
  cproper-interval-set-Some-Some)

  show ?rbt-rbt ?rbt-Compl-rbt ?Compl-rbt-rbt by auto
  show ?fin-fin ?fin-Compl-fin ?Compl-fin-fin by auto
qed

context ord begin

fun sorted-list-subset :: ('a ⇒ 'a ⇒ bool) ⇒ 'a list ⇒ 'a list ⇒ bool
where
  sorted-list-subset eq [] ys = True
  | sorted-list-subset eq (x # xs) [] = False
  | sorted-list-subset eq (x # xs) (y # ys) ←→

```

```

    (if eq x y then sorted-list-subset eq xs ys
     else x > y ∧ sorted-list-subset eq (x # xs) ys)

end

context linorder begin

lemma sorted-list-subset-correct:
  ⌈ sorted xs; distinct xs; sorted ys; distinct ys ⌋
  ⇒ sorted-list-subset (=) xs ys ⇔ set xs ⊆ set ys
  apply(induct (=) :: 'a ⇒ 'a ⇒ bool xs ys rule: sorted-list-subset.induct)
  apply(auto 6 2)
  using order-antisym apply auto
done

end

context ord begin

definition sorted-list-subset-fusion :: ('a ⇒ 'a ⇒ bool) ⇒ ('a, 's1) generator ⇒
('a, 's2) generator ⇒ 's1 ⇒ 's2 ⇒ bool
where sorted-list-subset-fusion eq g1 g2 s1 s2 = sorted-list-subset eq (list.unfoldr
g1 s1) (list.unfoldr g2 s2)

lemma sorted-list-subset-fusion-code:
  sorted-list-subset-fusion eq g1 g2 s1 s2 =
  (if list.has-next g1 s1 then
   let (x, s1') = list.next g1 s1
   in list.has-next g2 s2 ∧ (
    let (y, s2') = list.next g2 s2
    in if eq x y then sorted-list-subset-fusion eq g1 g2 s1' s2'
     else y < x ∧ sorted-list-subset-fusion eq g1 g2 s1 s2')
   else True)

unfolding sorted-list-subset-fusion-def
by(subst (1 2 5) list.unfoldr.simps)(simp add: split-beta Let-def)

end

lemmas [code] = ord.sorted-list-subset-fusion-code

lemma subset-eq-code [code]:
  fixes A1 A2 :: 'a set
  and rbt :: 'b :: ccompare set-rbt
  and rbt1 rbt2 :: 'd :: {ccompare, ceq} set-rbt
  and dxs :: 'c :: ceq set-dlist
  and xs :: 'c list shows
  RBT-set rbt ⊆ B ⇔
  (case ID CCOMPARE('b) of None ⇒ Code.abort (STR "subset RBT-set1: ccom-
pare = None") (λ-. RBT-set rbt ⊆ B)

```

```

      | Some -  $\Rightarrow$  list-all-fusion rbt-keys-generator ( $\lambda x. x \in B$ )
(RBT-Set2.init rbt)) (is ?rbt)
  DList-set  $dxs \subseteq C \longleftrightarrow$ 
  (case ID CEQ('c) of None  $\Rightarrow$  Code.abort (STR "subset DList-set1: ceq = None"))
( $\lambda -. DList-set dxs \subseteq C$ )
      | Some -  $\Rightarrow DList-Set.dlist-all (\lambda x. x \in C) dxs$ ) (is ?dlist)
Set-Monad  $xs \subseteq C \longleftrightarrow$  list-all ( $\lambda x. x \in C$ ) xs (is ?Set-Monad)
and Collect-subset-eq-Complement [set-complement-code]:
Collect-set  $P \subseteq$  Complement  $A \longleftrightarrow A \subseteq \{x. \neg P x\}$  (is ?Collect-set-Compl)
and Complement-subset-eq-Complement [set-complement-code]:
Complement  $A1 \subseteq$  Complement  $A2 \longleftrightarrow A2 \subseteq A1$  (is ?Compl)
and
RBT-set rbt1  $\subseteq$  RBT-set rbt2  $\longleftrightarrow$ 
(case ID CCOMPARE('d) of None  $\Rightarrow$  Code.abort (STR "subset RBT-set RBT-set:
ccompare = None")) ( $\lambda -. RBT-set rbt1 \subseteq RBT-set rbt2$ )
      | Some c  $\Rightarrow$ 
(case ID CEQ('d) of None  $\Rightarrow$  ord.sorted-list-subset-fusion (lt-of-comp c) ( $\lambda x y. c$ 
 $x y = Eq$ ) rbt-keys-generator rbt-keys-generator (RBT-Set2.init rbt1) (RBT-Set2.init
rbt2))
      | Some eq  $\Rightarrow$  ord.sorted-list-subset-fusion (lt-of-comp c) eq
rbt-keys-generator rbt-keys-generator (RBT-Set2.init rbt1) (RBT-Set2.init rbt2)))

```

```

(is ?rbt-rbt)
proof -
  show ?rbt-rbt
  by (auto simp add: comparator.eq[OF ID-ccompare] RBT-set-def member-conv-keys
  unfoldr-rbt-keys-generator ord.sorted-list-subset-fusion-def linorder.sorted-list-subset-correct[OF
  ID-ccompare] sorted-RBT-Set-keys split: option.split dest!: ID-ceq[THEN equal.equal-eq]
  del: iffI)
  show ?rbt
  by (auto simp add: RBT-set-def member-conv-keys list-all-fusion-def unfoldr-rbt-keys-generator
  keys.rep-eq list-all-iff split: option.split)
  show ?dlist by (auto simp add: DList-set-def dlist-all-conv-member split: option.split)
  show ?Set-Monad by (auto simp add: list-all-iff split: option.split)
  show ?Collect-set-Compl ?Compl by auto
qed

```

lemma set-eq-code [code]:

```

fixes rbt1 rbt2 :: 'b :: {ccompare, ceq} set-rbt shows
set-eq  $A B \longleftrightarrow A \subseteq B \wedge B \subseteq A$ 
and set-eq-Complement-Complement [set-complement-code]:
set-eq (Complement  $A$ ) (Complement  $B$ ) = set-eq  $A B$ 
and
set-eq (RBT-set rbt1) (RBT-set rbt2) =
(case ID CCOMPARE('b) of None  $\Rightarrow$  Code.abort (STR "set-eq RBT-set RBT-set:
ccompare = None")) ( $\lambda -. set-eq (RBT-set rbt1) (RBT-set rbt2)$ )
      | Some c  $\Rightarrow$ 
(case ID CEQ('b) of None  $\Rightarrow$  list-all2-fusion ( $\lambda x y. c x y = Eq$ ) rbt-keys-generator

```



```

rbt-keys-generator (RBT-Set2.init rbt1) (RBT-Set2.init rbt2)
  | Some eq  $\Rightarrow$  list-all2-fusion eq rbt-keys-generator rbt-keys-generator
(RBT-Set2.init rbt1) (RBT-Set2.init rbt2)))
(is ?rbt-rbt)
proof -
  show ?rbt-rbt
  by (auto 4 3 split: option.split simp add: comparator.eq[OF ID-ccompare]
sorted-RBT-Set-keys list-all2-fusion-def unfoldr-rbt-keys-generator RBT-set-conv-keys
set-eq-def list.rel-eq dest!: ID-ceq[THEN equal.equal-eq] intro: linorder.sorted-distinct-set-unique[OF
ID-ccompare])
qed(auto simp add: set-eq-def)

```

lemma *Set-project-code* [code]:
 $\text{Set.filter } P \ A = A \cap \text{Collect-set } P$
by(auto simp add: Set.filter-def)

lemma *Set-image-code* [code]:
fixes $dxs :: 'a :: \text{ceq set-dlist}$
and $rbt :: 'b :: \text{ccompare set-rbt}$ **shows**
 $\text{image } f \ (\text{Set-Monad } xs) = \text{Set-Monad } (\text{map } f \ xs)$
 $\text{image } f \ (\text{Collect-set } A) = \text{Code.abort } (\text{STR } "image \text{Collect-set}") \ (\lambda-. \text{image } f$
 $(\text{Collect-set } A))$
and *image-Complement-Complement* [set-complement-code]:
 $\text{image } f \ (\text{Complement } (\text{Complement } B)) = \text{image } f \ B$
and
 $\text{image } g \ (\text{DList-set } dxs) =$
 $(\text{case ID CEQ}('a) \text{ of None} \Rightarrow \text{Code.abort } (\text{STR } "image \text{DList-set: ceq} = \text{None}"))$
 $(\lambda-. \text{image } g \ (\text{DList-set } dxs))$
 $\quad | \text{Some } - \Rightarrow \text{DList-Set.fold } (\text{insert} \circ g) \ dxs \ \{\}$
(is ?dlist)
 $\text{image } h \ (\text{RBT-set } rbt) =$
 $(\text{case ID CCOMPARE}('b) \text{ of None} \Rightarrow \text{Code.abort } (\text{STR } "image \text{RBT-set: ccom-}$
 $\text{pare} = \text{None}")) \ (\lambda-. \text{image } h \ (\text{RBT-set } rbt))$
 $\quad | \text{Some } - \Rightarrow \text{RBT-Set2.fold } (\text{insert} \circ h) \ rbt \ \{\}$
(is ?rbt)
proof -
{ fix xs **have fold } (\text{insert} \circ g) \ xs \ \{\} = g \ ' \ \text{set } xs
by(induct xs rule: rev-induct) simp-all }
thus ?dlist
by(simp add: DList-set-def DList-Set.fold-def DList-Set.Collect-member split:
option.split)
{ fix xs **have fold } (\text{insert} \circ h) \ xs \ \{\} = h \ ' \ \text{set } xs
by(induct xs rule: rev-induct) simp-all }
thus ?rbt **by**(auto simp add: RBT-set-def fold-conv-fold-keys member-conv-keys
split: option.split)
qed simp-all****

lemma *the-elem-code* [code]:
fixes $dxs :: 'a :: \text{ceq set-dlist}$

and $\text{rbt} :: 'b :: \text{compare set-rbt}$ **shows**
 $\text{the-elem (Set-Monad [x])} = x$
 $\text{the-elem (DList-set dxs)} =$
 $(\text{case ID CEQ('a) of None} \Rightarrow \text{Code.abort (STR "the-elem DList-set: ceq = None")})$
 $(\lambda-. \text{the-elem (DList-set dxs)})$
 $\quad | \text{Some } - \Rightarrow$
 $\text{case list-of-dlist dxs of [x]} \Rightarrow x$
 $\quad | - \Rightarrow \text{Code.abort (STR "the-elem DList-set: not unique") } (\lambda-. \text{the-elem (DList-set dxs)})$
 $\text{the-elem (RBT-set rbt)} =$
 $(\text{case ID CCOMPARE('b) of None} \Rightarrow \text{Code.abort (STR "the-elem RBT-set: compare = None")}) (\lambda-. \text{the-elem (RBT-set rbt)})$
 $\quad | \text{Some } - \Rightarrow$
 $\text{case RBT-Mapping2.impl-of rbt of RBT-Impl.Branch - RBT-Impl.Empty } x -$
 $\text{RBT-Impl.Empty} \Rightarrow x$
 $\quad | - \Rightarrow \text{Code.abort (STR "the-elem RBT-set: not unique") } (\lambda-. \text{the-elem (RBT-set rbt)})$
by($\text{auto simp add: RBT-set-def DList-set-def DList-Set.Collect-member the-elem-def}$
 $\text{member-conv-keys split: option.split list.split rbt.split}(\text{simp add: RBT-Set2.keys-def})$

lemma *Pow-set-conv-fold*:

$\text{Pow (set xs } \cup A) = \text{fold } (\lambda x A. A \cup \text{insert } x 'A) \text{ xs (Pow A)}$
by($\text{induct xs rule: rev-induct}(\text{auto simp add: Pow-insert})$)

lemma *Pow-code [code]*:

fixes $\text{dxs} :: 'a :: \text{ceq set-dlist}$
and $\text{rbt} :: 'b :: \text{compare set-rbt}$ **shows**
 $\text{Pow A} = \text{Collect-set } (\lambda B. B \subseteq A)$
 $\text{Pow (Set-Monad xs)} = \text{fold } (\lambda x A. A \cup \text{insert } x 'A) \text{ xs } \{\{\}\}$
 $\text{Pow (DList-set dxs)} =$
 $(\text{case ID CEQ('a) of None} \Rightarrow \text{Code.abort (STR "Pow DList-set: ceq = None")})$
 $(\lambda-. \text{Pow (DList-set dxs)})$
 $\quad | \text{Some } - \Rightarrow \text{DList-Set.fold } (\lambda x A. A \cup \text{insert } x 'A) \text{ dxs } \{\{\}\}$
 $\text{Pow (RBT-set rbt)} =$
 $(\text{case ID CCOMPARE('b) of None} \Rightarrow \text{Code.abort (STR "Pow RBT-set: compare = None")}) (\lambda-. \text{Pow (RBT-set rbt)})$
 $\quad | \text{Some } - \Rightarrow \text{RBT-Set2.fold } (\lambda x A. A \cup \text{insert } x 'A) \text{ rbt } \{\{\}\}$
by($\text{auto simp add: DList-set-def DList-Set.Collect-member DList-Set.fold-def RBT-set-def}$
 $\text{fold-conv-fold-keys member-conv-keys Pow-set-conv-fold[where } A=\{\}, \text{ simplified}]$
 $\text{split: option.split})$

lemma *fold-singleton*: $\text{Finite-Set.fold } f \text{ } x \{y\} = f \text{ } y \text{ } x$

by($\text{fastforce simp add: Finite-Set.fold-def intro: fold-graph.intros elim: fold-graph.cases})$

lift-definition $\text{sum-cfc} :: ('a \Rightarrow 'b :: \text{comm-monoid-add}) \Rightarrow ('a, 'b) \text{ comp-fun-commute}$

is $\lambda f :: 'a \Rightarrow 'b. \text{plus} \circ f$

by($\text{unfold-locales}(\text{simp add: fun-eq-iff add.left-commute})$)

lemma *sum-code [code]*:

sum *f* *A* = (if finite *A* then set-fold-cfc (sum-cfc *f*) 0 *A* else 0)
by transfer(simp add: sum.eq-fold)

lemma *product-code* [*code*]:

fixes *dxs* :: 'a :: ceq set-dlist

and *dys* :: 'b :: ceq set-dlist

and *rbt1* :: 'c :: ccompare set-rbt

and *rbt2* :: 'd :: ccompare set-rbt **shows**

Product-Type.product *A* *B* = *Collect-set* ($\lambda(x, y). x \in A \wedge y \in B$)

Product-Type.product (*Set-Monad* *xs*) (*Set-Monad* *ys*) =
Set-Monad (fold ($\lambda x. \text{fold } (\lambda y \text{ rest. } (x, y) \# \text{rest}) \text{ys}$) *xs* [])
(is ?Set-Monad)

Product-Type.product (*DList-set* *dxs*) *B1* =
(case ID CEQ('a) of None \Rightarrow Code.abort (STR "product DList-set1: ceq = None") ($\lambda\cdot$. *Product-Type.product* (*DList-set* *dxs*) *B1*)
| Some - \Rightarrow *DList-Set.fold* ($\lambda x \text{ rest. Pair } x \text{ ' } B1 \cup \text{rest}$) *dxs* { })
(is ?dlist1)

Product-Type.product *A1* (*DList-set* *dys*) =
(case ID CEQ('b) of None \Rightarrow Code.abort (STR "product DList-set2: ceq = None") ($\lambda\cdot$. *Product-Type.product* *A1* (*DList-set* *dys*))
| Some - \Rightarrow *DList-Set.fold* ($\lambda y \text{ rest. } (\lambda x. (x, y)) \text{ ' } A1 \cup \text{rest}$) *dys* { })
(is ?dlist2)

Product-Type.product (*DList-set* *dxs*) (*DList-set* *dys*) =
(case ID CEQ('a) of None \Rightarrow Code.abort (STR "product DList-set DList-set: ceq1 = None") ($\lambda\cdot$. *Product-Type.product* (*DList-set* *dxs*) (*DList-set* *dys*))
| Some - \Rightarrow
case ID CEQ('b) of None \Rightarrow Code.abort (STR "product DList-set DList-set: ceq2 = None") ($\lambda\cdot$. *Product-Type.product* (*DList-set* *dxs*) (*DList-set* *dys*))
| Some - \Rightarrow *DList-set* (*DList-Set.product* *dxs* *dys*)

Product-Type.product (*RBT-set* *rbt1*) *B2* =
(case ID CCOMPARE('c) of None \Rightarrow Code.abort (STR "product RBT-set: ccompare1 = None") ($\lambda\cdot$. *Product-Type.product* (*RBT-set* *rbt1*) *B2*)
| Some - \Rightarrow *RBT-Set2.fold* ($\lambda x \text{ rest. Pair } x \text{ ' } B2 \cup \text{rest}$) *rbt1* { })
(is ?rbt1)

Product-Type.product *A2* (*RBT-set* *rbt2*) =
(case ID CCOMPARE('d) of None \Rightarrow Code.abort (STR "product RBT-set: ccompare2 = None") ($\lambda\cdot$. *Product-Type.product* *A2* (*RBT-set* *rbt2*))
| Some - \Rightarrow *RBT-Set2.fold* ($\lambda y \text{ rest. } (\lambda x. (x, y)) \text{ ' } A2 \cup \text{rest}$) *rbt2*
{ })
(is ?rbt2)

Product-Type.product (*RBT-set* *rbt1*) (*RBT-set* *rbt2*) =
(case ID CCOMPARE('c) of None \Rightarrow Code.abort (STR "product RBT-set RBT-set:

```

ccompare1 = None') (λ-. Product-Type.product (RBT-set rbt1) (RBT-set rbt2))
| Some - =>
  case ID CCOMPARE('d) of None => Code.abort (STR "product RBT-set
RBT-set: ccompare2 = None") (λ-. Product-Type.product (RBT-set rbt1) (RBT-set
rbt2))
| Some - => RBT-set (RBT-Set2.product rbt1 rbt2))

```

proof –

```

have [simp]: ∧ a zs. fold (λy. (#) (a, y)) ys zs = rev (map (Pair a) ys) @ zs
by(induct ys) simp-all
have [simp]: ∧ zs. fold (λx. fold (λy rest. (x, y) # rest) ys) xs zs = rev (concat
(map (λx. map (Pair x) ys) xs)) @ zs
by(induct xs) simp-all
show ?Set-Monad by(auto simp add: Product-Type.product-def)

```

```

{ fix xs :: 'a list
  have fold (λx. (∪) (Pair x ' B1)) xs {} = set xs × B1
  by(induct xs rule: rev-induct) auto }
thus ?dlist1
by(simp add: Product-Type.product-def DList-set-def DList-Set.fold.rep-eq DList-Set.Collect-member
split: option.split)

```

```

{ fix ys :: 'b list
  have fold (λy. (∪) ((λx. (x, y)) ' A1)) ys {} = A1 × set ys
  by(induct ys rule: rev-induct) auto }
thus ?dlist2
by(simp add: Product-Type.product-def DList-set-def DList-Set.fold.rep-eq DList-Set.Collect-member
split: option.split)

```

```

{ fix xs :: 'c list
  have fold (λx. (∪) (Pair x ' B2)) xs {} = set xs × B2
  by(induct xs rule: rev-induct) auto }
thus ?rbt1
by(simp add: Product-Type.product-def RBT-set-def RBT-Set2.member-product
RBT-Set2.member-conv-keys fold-conv-fold-keys split: option.split)

```

```

{ fix ys :: 'd list
  have fold (λy. (∪) ((λx. (x, y)) ' A2)) ys {} = A2 × set ys
  by(induct ys rule: rev-induct) auto }
thus ?rbt2
by(simp add: Product-Type.product-def RBT-set-def RBT-Set2.member-product
RBT-Set2.member-conv-keys fold-conv-fold-keys split: option.split)
qed(auto simp add: RBT-set-def DList-set-def Product-Type.product-def DList-Set.product-member
RBT-Set2.member-product split: option.split)

```

lemma *Id-on-code* [code]:

```

fixes A :: 'a :: ceq set
and dxs :: 'a set-dlist
and P :: 'a => bool
and rbt :: 'b :: ccompare set-rbt shows

```

```

Id-on B = (λx. (x, x)) ‘ B
and Id-on-Complement [set-complement-code]:
Id-on (Complement A) =
  (case ID CEQ('a) of None ⇒ Code.abort (STR "Id-on Complement: ceq =
None") (λ-. Id-on (Complement A))
   | Some eq ⇒ Collect-set (λ(x, y). eq x y ∧ x ∉ A))
and
Id-on (Collect-set P) =
  (case ID CEQ('a) of None ⇒ Code.abort (STR "Id-on Collect-set: ceq = None")
(λ-. Id-on (Collect-set P))
   | Some eq ⇒ Collect-set (λ(x, y). eq x y ∧ P x))
Id-on (DList-set dxs) =
  (case ID CEQ('a) of None ⇒ Code.abort (STR "Id-on DList-set: ceq = None")
(λ-. Id-on (DList-set dxs))
   | Some - ⇒ DList-set (DList-Set.Id-on dxs))
Id-on (RBT-set rbt) =
  (case ID CCOMPARE('b) of None ⇒ Code.abort (STR "Id-on RBT-set: ccom-
pare = None") (λ-. Id-on (RBT-set rbt))
   | Some - ⇒ RBT-set (RBT-Set2.Id-on rbt))
by(auto simp add: DList-set-def RBT-set-def DList-Set.member-Id-on RBT-Set2.member-Id-on
dest: equal.equal-eq[OF ID-ceq] split: option.split)

```

lemma Image-code [code]:

```

fixes dxs :: ('a :: ceq × 'b :: ceq) set-dlist
and rbt :: ('c :: ccompare × 'd :: ccompare) set-rbt shows
X “ Y = snd ‘ Set.filter (λ(x, y). x ∈ Y) X
(is ?generic)

Set-Monad rxs “ A = Set-Monad (fold (λ(x, y) rest. if x ∈ A then y # rest else
rest) rxs [])
(is ?Set-Monad)
DList-set dxs “ B =
  (case ID CEQ('a) of None ⇒ Code.abort (STR "Image DList-set: ceq1 = None")
(λ-. DList-set dxs “ B)
   | Some - ⇒
     case ID CEQ('b) of None ⇒ Code.abort (STR "Image DList-set: ceq2 =
None") (λ-. DList-set dxs “ B)
     | Some - ⇒
       DList-Set.fold (λ(x, y) acc. if x ∈ B then insert y acc else acc) dxs {}
(is ?DList-set)
RBT-set rbt “ C =
  (case ID CCOMPARE('c) of None ⇒ Code.abort (STR "Image RBT-set: ccom-
pare1 = None") (λ-. RBT-set rbt “ C)
   | Some - ⇒
     case ID CCOMPARE('d) of None ⇒ Code.abort (STR "Image RBT-set:
ccompare2 = None") (λ-. RBT-set rbt “ C)
     | Some - ⇒
       RBT-Set2.fold (λ(x, y) acc. if x ∈ C then insert y acc else acc) rbt {}
(is ?RBT-set)

```

proof –

show *?generic* **by**(*auto intro: rev-image-eqI*)

have *set (fold (λ(x, y) rest. if x ∈ A then y # rest else rest) rxs []) = set rxs* “
A

by(*induct rxs rule: rev-induct*)(*auto split: if-split-asm*)

thus *?Set-Monad* **by**(*auto*)

{ **fix** *dxs :: ('a × 'b) list*

have *fold (λ(x, y) acc. if x ∈ B then insert y acc else acc) dxs {} = set dxs* “
B

by(*induct dxs rule: rev-induct*)(*auto split: if-split-asm*) }

thus *?DList-set*

by(*clarsimp simp add: DList-set-def Collect-member ceq-prod-def ID-Some DList-Set.fold.rep-eq split: option.split*)

{ **fix** *rbt :: (('c × 'd) × unit) list*

have *fold (λ(a, -). case a of (x, y) ⇒ λacc. if x ∈ C then insert y acc else acc) rbt {} = (fst ' set rbt)* “ C

by(*induct rbt rule: rev-induct*)(*auto simp add: split-beta split: if-split-asm*) }

thus *?RBT-set*

by(*clarsimp simp add: RBT-set-def ccompare-prod-def ID-Some RBT-Set2.fold.rep-eq member-conv-keys RBT-Set2.keys.rep-eq RBT-Impl.fold-def RBT-Impl.keys-def split: option.split*)

qed

lemma *insert-relcomp: insert (a, b) A O B = A O B ∪ {a} × {c. (b, c) ∈ B}*

by *auto*

lemma *trancl-code [code]:*

trancl A =

(if finite A then ntrancl (card A - 1) A else Code.abort (STR "trancl: infinite set")) (λ-. trancl A)

by (*simp add: finite-trancl-ntrancl*)

lemma *set-relcomp-set:*

set xs O set ys = fold (λ(x, y). fold (λ(y', z) A. if y = y' then insert (x, z) A else A) ys) xs {}

proof(*induct xs rule: rev-induct*)

case *Nil* **show** *?case* **by** *simp*

next

case (*snoc x xs*)

note *[[show-types]]*

{ **fix** *a :: 'a and b :: 'c and X :: ('a × 'b) set*

have *fold (λ(y', z) A. if b = y' then insert (a, z) A else A) ys X = X ∪ {a} × {c. (b, c) ∈ set ys}*

by(*induct ys arbitrary: X rule: rev-induct*)(*auto split: if-split-asm*) }

thus *?case* **using** *snoc* **by**(*cases x*)(*simp add: insert-relcomp*)

qed

lemma *If-not*: (if $\neg a$ then b else c) = (if a then c else b)
by *auto*

lemma *relcomp-code* [code]:

```

fixes rbt1 :: ('a :: ccompare × 'b :: ccompare) set-rbt
and rbt2 :: ('b × 'c :: ccompare) set-rbt
and rbt3 :: ('a × 'd :: {ccompare, ceq}) set-rbt
and rbt4 :: ('d × 'a) set-rbt
and rbt5 :: ('b × 'a) set-rbt
and dxs1 :: ('d × 'e :: ceq) set-dlist
and dxs2 :: ('e × 'd) set-dlist
and dxs3 :: ('e × 'f :: ceq) set-dlist
and dxs4 :: ('f × 'g :: ceq) set-dlist
and xs1 :: ('h × 'i :: ceq) list
and xs2 :: ('i × 'j) list
and xs3 :: ('b × 'h) list
and xs4 :: ('h × 'b) list
and xs5 :: ('f × 'h) list
and xs6 :: ('h × 'f) list
shows
  RBT-set rbt1 O RBT-set rbt2 =
    (case ID CCOMPARE('a) of None ⇒ Code.abort (STR "relcomp RBT-set RBT-set:
ccompare1 = None") (λ-. RBT-set rbt1 O RBT-set rbt2)
    | Some - ⇒
      case ID CCOMPARE('b) of None ⇒ Code.abort (STR "relcomp RBT-set
RBT-set: ccompare2 = None") (λ-. RBT-set rbt1 O RBT-set rbt2)
      | Some c-b ⇒
        case ID CCOMPARE('c) of None ⇒ Code.abort (STR "relcomp RBT-set
RBT-set: ccompare3 = None") (λ-. RBT-set rbt1 O RBT-set rbt2)
        | Some - ⇒ RBT-Set2.fold (λ(x, y). RBT-Set2.fold (λ(y', z)
A. if c-b y y' ≠ Eq then A else insert (x, z) A) rbt2) rbt1 {})
    (is ?rbt-rbt)

  RBT-set rbt3 O DList-set dxs1 =
    (case ID CCOMPARE('a) of None ⇒ Code.abort (STR "relcomp RBT-set DList-set:
ccompare1 = None") (λ-. RBT-set rbt3 O DList-set dxs1)
    | Some - ⇒
      case ID CCOMPARE('d) of None ⇒ Code.abort (STR "relcomp RBT-set
DList-set: ccompare2 = None") (λ-. RBT-set rbt3 O DList-set dxs1)
      | Some - ⇒
        case ID CEQ('d) of None ⇒ Code.abort (STR "relcomp RBT-set DList-set:
ceq2 = None") (λ-. RBT-set rbt3 O DList-set dxs1)
        | Some eq ⇒
          case ID CEQ('e) of None ⇒ Code.abort (STR "relcomp RBT-set DList-set:
ceq3 = None") (λ-. RBT-set rbt3 O DList-set dxs1)
          | Some - ⇒ RBT-Set2.fold (λ(x, y). DList-Set.fold (λ(y', z) A.
if eq y y' then insert (x, z) A else A) dxs1) rbt3 {})

```

(is ?rbt-dlist)

DList-set *dxs2* *O* *RBT-set* *rbt4* =
 (case ID CEQ('e) of None \Rightarrow Code.abort (STR "relcomp *DList-set* *RBT-set*: ceq1 = None") (λ -. *DList-set* *dxs2* *O* *RBT-set* *rbt4*)
 | Some - \Rightarrow
 case ID CCOMPARE('d) of None \Rightarrow Code.abort (STR "relcomp *DList-set* *RBT-set*: ceq2 = None") (λ -. *DList-set* *dxs2* *O* *RBT-set* *rbt4*)
 | Some - \Rightarrow
 case ID CEQ('d) of None \Rightarrow Code.abort (STR "relcomp *DList-set* *RBT-set*: ccompare2 = None") (λ -. *DList-set* *dxs2* *O* *RBT-set* *rbt4*)
 | Some eq \Rightarrow
 case ID CCOMPARE('a) of None \Rightarrow Code.abort (STR "relcomp *DList-set* *RBT-set*: ccompare3 = None") (λ -. *DList-set* *dxs2* *O* *RBT-set* *rbt4*)
 | Some - \Rightarrow *DList-Set.fold* ($\lambda(x, y). \text{RBT-Set2.fold } (\lambda(y', z) A. \text{if eq } y \text{ } y' \text{ then insert } (x, z) A \text{ else } A) \text{ } \text{rbt4}) \text{ } \text{dxs2 } \{\}$)
 (is ?dlist-rbt)

DList-set *dxs3* *O* *DList-set* *dxs4* =
 (case ID CEQ('e) of None \Rightarrow Code.abort (STR "relcomp *DList-set* *DList-set*: ceq1 = None") (λ -. *DList-set* *dxs3* *O* *DList-set* *dxs4*)
 | Some - \Rightarrow
 case ID CEQ('f) of None \Rightarrow Code.abort (STR "relcomp *DList-set* *DList-set*: ceq2 = None") (λ -. *DList-set* *dxs3* *O* *DList-set* *dxs4*)
 | Some eq \Rightarrow
 case ID CEQ('g) of None \Rightarrow Code.abort (STR "relcomp *DList-set* *DList-set*: ceq3 = None") (λ -. *DList-set* *dxs3* *O* *DList-set* *dxs4*)
 | Some - \Rightarrow *DList-Set.fold* ($\lambda(x, y). \text{DList-Set.fold } (\lambda(y', z) A. \text{if eq } y \text{ } y' \text{ then insert } (x, z) A \text{ else } A) \text{ } \text{dxs4}) \text{ } \text{dxs3 } \{\}$)
 (is ?dlist-dlist)

Set-Monad *xs1* *O* *Set-Monad* *xs2* =
 (case ID CEQ('i) of None \Rightarrow Code.abort (STR "relcomp *Set-Monad* *Set-Monad*: ceq = None") (λ -. *Set-Monad* *xs1* *O* *Set-Monad* *xs2*)
 | Some eq \Rightarrow fold ($\lambda(x, y). \text{fold } (\lambda(y', z) A. \text{if eq } y \text{ } y' \text{ then insert } (x, z) A \text{ else } A) \text{ } \text{xs2}) \text{ } \text{xs1 } \{\}$)
 (is ?monad-monad)

RBT-set *rbt1* *O* *Set-Monad* *xs3* =
 (case ID CCOMPARE('a) of None \Rightarrow Code.abort (STR "relcomp *RBT-set* *Set-Monad*: ccompare1 = None") (λ -. *RBT-set* *rbt1* *O* *Set-Monad* *xs3*)
 | Some - \Rightarrow
 case ID CCOMPARE('b) of None \Rightarrow Code.abort (STR "relcomp *RBT-set* *Set-Monad*: ccompare2 = None") (λ -. *RBT-set* *rbt1* *O* *Set-Monad* *xs3*)
 | Some c-b \Rightarrow *RBT-Set2.fold* ($\lambda(x, y). \text{fold } (\lambda(y', z) A. \text{if c-b } y \text{ } y' \neq \text{Eq then } A \text{ else insert } (x, z) A) \text{ } \text{xs3}) \text{ } \text{rbt1 } \{\}$)
 (is ?rbt-monad)

Set-Monad *xs4* *O* *RBT-set* *rbt5* =


```

(case ID CCOMPARE('a) of None ⇒ Code.abort (STR "relcomp Set-Monad
RBT-set: ccompare1 = None") (λ-. Set-Monad xs4 O RBT-set rbt5)
  | Some - ⇒
  case ID CCOMPARE('b) of None ⇒ Code.abort (STR "relcomp Set-Monad
RBT-set: ccompare2 = None") (λ-. Set-Monad xs4 O RBT-set rbt5)
    | Some c-b ⇒ fold (λ(x, y). RBT-Set2.fold (λ(y', z) A. if c-b y y' ≠ Eq
then A else insert (x, z) A) rbt5) xs4 {})
(is ?monad-rbt)

```

```

DList-set dxs3 O Set-Monad xs5 =
(case ID CEQ('e) of None ⇒ Code.abort (STR "relcomp DList-set Set-Monad:
ceq1 = None") (λ-. DList-set dxs3 O Set-Monad xs5)
  | Some - ⇒
  case ID CEQ('f) of None ⇒ Code.abort (STR "relcomp DList-set Set-Monad:
ceq2 = None") (λ-. DList-set dxs3 O Set-Monad xs5)
    | Some eq ⇒ DList-Set.fold (λ(x, y). fold (λ(y', z) A. if eq y y' then
insert (x, z) A else A) xs5) dxs3 {})
(is ?dlist-monad)

```

```

Set-Monad xs6 O DList-set dxs4 =
(case ID CEQ('g) of None ⇒ Code.abort (STR "relcomp Set-Monad DList-set:
ceq1 = None") (λ-. Set-Monad xs6 O DList-set dxs4)
  | Some eq ⇒
  case ID CEQ('h) of None ⇒ Code.abort (STR "relcomp Set-Monad DList-set:
ceq2 = None") (λ-. Set-Monad xs6 O DList-set dxs4)
    | Some - ⇒ fold (λ(x, y). DList-Set.fold (λ(y', z) A. if eq y y' then
insert (x, z) A else A) dxs4) xs6 {})
(is ?monad-dlist)

```

proof –

```

show ?rbt-rbt ?rbt-monad ?monad-rbt
by(auto simp add: comparator.eq[OF ID-ccompare] RBT-set-def ccompare-prod-def
member-conv-keys ID-Some RBT-Set2.fold-conv-fold-keys' RBT-Set2.keys.rep-eq If-not
set-relcomp-set split: option.split del: equalityI)

```

```

show ?rbt-dlist ?dlist-rbt ?dlist-dlist ?monad-monad ?dlist-monad ?monad-dlist
by(auto simp add: RBT-set-def DList-set-def member-conv-keys ID-Some ccom-
pare-prod-def ceq-prod-def Collect-member RBT-Set2.fold-conv-fold-keys' RBT-Set2.keys.rep-eq
DList-Set.fold.rep-eq set-relcomp-set dest: equal.equal-eq[OF ID-ceq] split: option.split
del: equalityI)

```

qed

lemma *irrefl-on-code* [code]:

```

fixes r :: ('a :: {ceq, ccompare} × 'a) set shows
irrefl-on A r ⟷
(case ID CEQ('a) of Some eq ⇒ (∀ (x, y) ∈ r. x ∈ A ⟶ y ∈ A ⟶ ¬ eq x y) |
None ⇒
  case ID CCOMPARE('a) of None ⇒ Code.abort (STR "irrefl-on: ceq = None
& ccompare = None") (λ-. irrefl-on A r)

```

| Some $c \Rightarrow (\forall (x, y) \in r. x \in A \longrightarrow y \in A \longrightarrow c \ x \ y \neq Eq)$)

apply(*auto simp add: irrefl-on-distinct comparator.eq*[*OF ID-ccompare*'] *split: option.split dest!: ID-ceq*[*THEN equal.equal-eq*])

done

lemma *wf-code* [*code*]:

fixes *rbt* :: ('a :: *ccompare* × 'a) *set-rbt*
and *dxs* :: ('b :: *ceq* × 'b) *set-dlist* **shows**
wf-code (*Set-Monad xs*) = *acyclic* (*Set-Monad xs*)
wf-code (*RBT-set rbt*) =
(case *ID CCOMPARE*('a) of *None* ⇒ *Code.abort* (*STR "wf-code RBT-set: ccompare = None"*) (λ-. *wf-code* (*RBT-set rbt*))
| *Some -* ⇒ *acyclic* (*RBT-set rbt*))
wf-code (*DList-set dxs*) =
(case *ID CEQ*('b) of *None* ⇒ *Code.abort* (*STR "wf-code DList-set: ceq = None"*)
(λ-. *wf-code* (*DList-set dxs*))
| *Some -* ⇒ *acyclic* (*DList-set dxs*))
by (*auto simp add: wf-iff-acyclic-if-finite wf-code-def split: option.split del: iffI*)
(*simp-all add: wf-iff-acyclic-if-finite finite-code ccompare-prod-def ceq-prod-def ID-Some*)

lemma *bacc-code* [*code*]:

bacc R 0 = - *snd* ' *R*
bacc R (*Suc n*) = (*let rec = bacc R n in rec* ∪ - *snd* ' (*Set.filter* (λ(*y*, *x*). *y* ∉ *rec*) *R*))
by(*auto intro: rev-image-eqI simp add: Let-def*)

lemma *acc-code* [*code*]:

fixes *A* :: ('a :: {*finite*, *card-UNIV*} × 'a) *set* **shows**
Wellfounded.acc A = *bacc A* (*of-phantom* (*card-UNIV* :: 'a *card-UNIV*))
by(*simp add: card-UNIV acc-bacc-eq*)

lemma *sorted-list-of-set-code* [*code*]:

fixes *dxs* :: 'a :: {*linorder*, *ceq*} *set-dlist*
and *rbt* :: 'b :: {*linorder*, *ccompare*} *set-rbt*
shows
sorted-list-of-set (*Set-Monad xs*) = *sort* (*remdups xs*)
sorted-list-of-set (*DList-set dxs*) =
(case *ID CEQ*('a) of *None* ⇒ *Code.abort* (*STR "sorted-list-of-set DList-set: ceq = None"*) (λ-. *sorted-list-of-set* (*DList-set dxs*))
| *Some -* ⇒ *sort* (*list-of-dlist dxs*))
sorted-list-of-set (*RBT-set rbt*) =
(case *ID CCOMPARE*('b) of *None* ⇒ *Code.abort* (*STR "sorted-list-of-set RBT-set: ccompare = None"*) (λ-. *sorted-list-of-set* (*RBT-set rbt*))
| *Some -* ⇒ *sort* (*RBT-Set2.keys rbt*))
— We must sort the keys because *ccompare*'s ordering need not coincide with *linorder*'s.

by(*auto simp add: DList-set-def RBT-set-def sorted-list-of-set-sort-remdups Collect-member distinct-remdups-id distinct-list-of-dlist member-conv-keys split: option.split*)

lemma *map-project-set*: *List.map-project f (set xs) = set (List.map-filter f xs)*
by(*auto simp add: List.map-project-def List.map-filter-def intro: rev-image-eqI*)

lemma *map-project-simps*:
shows *map-project-empty*: *List.map-project f {} = {}*
and *map-project-insert*:
List.map-project f (insert x A) =
(case f x of None \Rightarrow List.map-project f A
| Some y \Rightarrow insert y (List.map-project f A))
by(*auto simp add: List.map-project-def split: option.split*)

lemma *map-project-conv-fold*:
List.map-project f (set xs) =
fold ($\lambda x A. \text{case } f x \text{ of } \text{None} \Rightarrow A \mid \text{Some } y \Rightarrow \text{insert } y A$) xs {}
by(*induct xs rule: rev-induct*)(*simp-all add: map-project-simps cong: option.case-cong*)

lemma *map-project-code* [*code*]:
fixes *dxs :: 'a :: ceq set-dlist*
and *rbt :: 'b :: ccompare set-rbt* **shows**
List.map-project f (Set-Monad xs) = Set-Monad (List.map-filter f xs)
List.map-project g (DList-set dxs) =
(case ID CEQ('a) of None \Rightarrow Code.abort (STR "map-project DList-set: ceq =
None')) ($\lambda -. \text{List.map-project } g \text{ (DList-set } dxs)$)
| Some - \Rightarrow DList-Set.fold ($\lambda x A. \text{case } g x \text{ of } \text{None} \Rightarrow A \mid \text{Some } y$
 \Rightarrow insert y A) dxs {})
(is ?dlist)
List.map-project h (RBT-set rbt) =
(case ID CCOMPARE('b) of None \Rightarrow Code.abort (STR "map-project RBT-set:
ccompare = None')) ($\lambda -. \text{List.map-project } h \text{ (RBT-set } rbt)$)
| Some - \Rightarrow RBT-Set2.fold ($\lambda x A. \text{case } h x \text{ of } \text{None} \Rightarrow A \mid \text{Some } y$
 \Rightarrow insert y A) rbt {})
(is ?rbt)
proof –
show *?dlist ?rbt*
by(*auto split: option.split simp add: RBT-set-def DList-set-def DList-Set.fold.rep-eq*
Collect-member map-project-conv-fold RBT-Set2.fold-conv-fold-keys member-conv-keys
del: equalityI)
qed(*auto simp add: List.map-project-def List.map-filter-def intro: rev-image-eqI*)

lemma *Bleat-code* [*code*]:
Bleat A P =
(if finite A then case filter P (sorted-list-of-set A) of [] \Rightarrow abort-Bleat A P | x
xs \Rightarrow x
else abort-Bleat A P)
proof(*cases finite A*)

```

case True
hence *: A = set (sorted-list-of-set A) by(simp add: sorted-list-of-set)
show ?thesis using True
      by(subst (1 3) *)(unfold Bleat-code, simp add: sorted-sort-id)
qed(simp add: abort-Bleat-def Bleat-def)

lemma can-select-code [code]:
  fixes xs :: 'a :: ceq list
  and dxs :: 'a :: ceq set-dlist
  and rbt :: 'b :: compare set-rbt shows
    can-select P (Set-Monad xs) =
      (case ID CEQ('a) of None  $\Rightarrow$  Code.abort (STR "can-select Set-Monad: ceq = None")
        ( $\lambda$ -. can-select P (Set-Monad xs))
        | Some eq  $\Rightarrow$  case filter P xs of Nil  $\Rightarrow$  False | x # xs  $\Rightarrow$  list-all (eq
x) xs)
    (is ?Set-Monad)
    can-select Q (DList-set dxs) =
      (case ID CEQ('a) of None  $\Rightarrow$  Code.abort (STR "can-select DList-set: ceq = None")
        ( $\lambda$ -. can-select Q (DList-set dxs))
        | Some -  $\Rightarrow$  DList-Set.length (DList-Set.filter Q dxs) = 1)
    (is ?dlist)
    can-select R (RBT-set rbt) =
      (case ID CCOMPARE('b) of None  $\Rightarrow$  Code.abort (STR "can-select RBT-set: compare = None")
        ( $\lambda$ -. can-select R (RBT-set rbt))
        | Some -  $\Rightarrow$  singleton-list-fusion (filter-generator R rbt-keys-generator)
        (RBT-Set2.init rbt))
    (is ?rbt)
proof –
  show ?Set-Monad
    apply(auto split: option.split list.split dest!: ID-ceq[THEN equal.equal-eq] dest:
filter-eq-ConsD simp add: can-select-def filter-empty-conv list-all-iff)
    apply(drule filter-eq-ConsD, fastforce)
    apply(drule filter-eq-ConsD, clarsimp, blast)
    done

  show ?dlist
    by(clarsimp simp add: can-select-def card-eq-length[symmetric] Set-member-code
card-eq-Suc-0-ex1 simp del: card-eq-length split: option.split)

  note [simp del] = distinct-keys
  show ?rbt
    using distinct-keys[of rbt]
    apply(auto simp add: can-select-def singleton-list-fusion-def unfoldr-filter-generator
unfoldr-rbt-keys-generator Set-member-code member-conv-keys filter-empty-conv empty-filter-conv
split: option.split list.split dest: filter-eq-ConsD)
    apply(drule filter-eq-ConsD, fastforce)
    apply(drule filter-eq-ConsD, fastforce simp add: empty-filter-conv)
    apply(drule filter-eq-ConsD)
    apply clarsimp

```

```

    apply (drule Cons-eq-filterD)
    apply clarify
    apply (simp (no-asm-use))
    apply blast
    done
qed

```

```

lemma pred-of-set-code [code]:
  fixes dxs :: 'a :: ceq set-dlist
  and rbt :: 'b :: ccompare set-rbt shows
    pred-of-set (Set-Monad xs) = fold (sup ∘ Predicate.single) xs bot
    pred-of-set (DList-set dxs) =
      (case ID CEQ('a) of None ⇒ Code.abort (STR "pred-of-set DList-set: ceq =
None") (λ-. pred-of-set (DList-set dxs))
       | Some - ⇒ DList-Set.fold (sup ∘ Predicate.single) dxs bot)
    pred-of-set (RBT-set rbt) =
      (case ID CCOMPARE('b) of None ⇒ Code.abort (STR "pred-of-set RBT-set:
ccompare = None") (λ-. pred-of-set (RBT-set rbt))
       | Some - ⇒ RBT-Set2.fold (sup ∘ Predicate.single) rbt bot)
by (auto simp add: pred-of-set-set-fold-sup fold-map DList-set-def RBT-set-def Col-
lect-member member-conv-keys DList-Set.fold.rep-eq fold-conv-fold-keys split: op-
tion.split)

```

'a *Predicate.pred* is implemented as a monad, so we keep the monad when converting to 'a *set*. For this case, *insert-monad* and *union-monad* avoid the unnecessary dictionary construction.

```

definition insert-monad :: 'a ⇒ 'a set ⇒ 'a set
where [simp]: insert-monad = insert

```

```

definition union-monad :: 'a set ⇒ 'a set ⇒ 'a set
where [simp]: union-monad = (∪)

```

```

lemma insert-monad-code [code]:
  insert-monad x (Set-Monad xs) = Set-Monad (x # xs)
by simp

```

```

lemma union-monad-code [code]:
  union-monad (Set-Monad xs) (Set-Monad ys) = Set-Monad (xs @ ys)
by (simp)

```

```

lemma set-of-pred-code [code]:
  set-of-pred (Predicate.Seq f) =
    (case f () of seq.Empty ⇒ Set-Monad []
     | seq.Insert x P ⇒ insert-monad x (set-of-pred P)
     | seq.Join P xq ⇒ union-monad (set-of-pred P) (set-of-seq xq))
by (simp add: of-pred-code cong: seq.case-cong)

```

```

lemma set-of-seq-code [code]:
  set-of-seq seq.Empty = Set-Monad []

```

```

    set-of-seq (seq.Insert x P) = insert-monad x (set-of-pred P)
    set-of-seq (seq.Join P xq) = union-monad (set-of-pred P) (set-of-seq xq)
  by(simp-all add: of-seq-code)

hide-const (open) insert-monad union-monad

```

3.12.5 Type class instantiations

datatype *set-impl* = *Set-IMPL*

declare

```

    set-impl.eq.simps [code del]
    set-impl.size [code del]
    set-impl.rec [code del]
    set-impl.case [code del]

```

lemma [code]:

```

    fixes x :: set-impl
    shows size x = 0
    and size-set-impl x = 0

```

by(case-tac [!] x) simp-all

definition *set-Choose* :: *set-impl* **where** [simp]: *set-Choose* = *Set-IMPL*

definition *set-Collect* :: *set-impl* **where** [simp]: *set-Collect* = *Set-IMPL*

definition *set-DList* :: *set-impl* **where** [simp]: *set-DList* = *Set-IMPL*

definition *set-RBT* :: *set-impl* **where** [simp]: *set-RBT* = *Set-IMPL*

definition *set-Monad* :: *set-impl* **where** [simp]: *set-Monad* = *Set-IMPL*

code-datatype *set-Choose set-Collect set-DList set-RBT set-Monad*

definition *set-empty-choose* :: 'a *set* **where** [simp]: *set-empty-choose* = {}

lemma *set-empty-choose-code* [code]:

```

    (set-empty-choose :: 'a :: {ceq, ccompare} set) =
      (case CCOMPARE('a) of Some - => RBT-set RBT-Set2.empty
       | None => case CEQ('a) of None => Set-Monad [] | Some - => DList-set
        (DList-Set.empty))

```

by(simp split: option.split)

definition *set-impl-choose2* :: *set-impl* => *set-impl* => *set-impl*

where [simp]: *set-impl-choose2* = (λ - . *Set-IMPL*)

lemma *set-impl-choose2-code* [code]:

```

    set-impl-choose2 x y = set-Choose
    set-impl-choose2 set-Collect set-Collect = set-Collect
    set-impl-choose2 set-DList set-DList = set-DList
    set-impl-choose2 set-RBT set-RBT = set-RBT
    set-impl-choose2 set-Monad set-Monad = set-Monad

```

by(simp-all)

definition *set-empty* :: *set-impl* \Rightarrow 'a *set*
where [*simp*]: *set-empty* = (λ -. {})

lemma *set-empty-code* [*code*]:
set-empty set-Collect = *Collect-set* (λ -. *False*)
set-empty set-DList = *DList-set DList-Set.empty*
set-empty set-RBT = *RBT-set RBT-Set2.empty*
set-empty set-Monad = *Set-Monad []*
set-empty set-Choose = *set-empty-choose*
by(*simp-all*)

class *set-impl* =
fixes *set-impl* :: ('a, *set-impl*) *phantom*

syntax (*input*)
 -*SET-IMPL* :: *type* \Rightarrow *logic* ($\langle (1\text{SET}'\text{-IMPL}/(1'(-))) \rangle$)

syntax-consts
 -*SET-IMPL* == *set-impl*

parse-translation \langle
let
fun set-impl-tr [*ty*] =
 (*Syntax.const* @{*syntax-const-constrain*} \$ *Syntax.const* @{*const-syntax set-impl*}
 \$
 (*Syntax.const* @{*type-syntax phantom*} \$ *ty* \$ *Syntax.const* @{*type-syntax set-impl*}))
 | *set-impl-tr ts* = *raise TERM* (*set-impl-tr*, *ts*);
in [(@{*syntax-const -SET-IMPL*}, *K set-impl-tr*)] *end*
 \rangle

declare [[*code drop*: {}]]

lemma *empty-code* [*code*, *code-unfold*]:
 ({} :: 'a :: *set-impl set*) = *set-empty* (*of-phantom SET-IMPL*('a'))
by *simp*

3.12.6 Generator for the *set-impl*-class

This generator registers itself at the derive-manager for the classes *set-impl*. Here, one can choose the desired implementation via the parameter.

- *instantiation type* :: (*type*, ..., *type*) (*rbt*, *dlist*, *collect*, *monad*, *choose*, or arbitrary constant name) *set-impl*

This generator can be used for arbitrary types, not just datatypes.

ML-file \langle *set-impl-generator.ML* \rangle

```

derive (dlist) set-impl unit bool
derive (rbt) set-impl nat
derive (set-RBT) set-impl int
derive (dlist) set-impl Enum.finite-1 Enum.finite-2 Enum.finite-3
derive (rbt) set-impl integer natural
derive (rbt) set-impl char

instantiation sum :: (set-impl, set-impl) set-impl begin
definition SET-IMPL('a + 'b) = Phantom('a + 'b)
  (set-impl-choose2 (of-phantom SET-IMPL('a)) (of-phantom SET-IMPL('b)))
instance ..
end

instantiation prod :: (set-impl, set-impl) set-impl begin
definition SET-IMPL('a * 'b) = Phantom('a * 'b)
  (set-impl-choose2 (of-phantom SET-IMPL('a)) (of-phantom SET-IMPL('b)))
instance ..
end

derive (choose) set-impl list
derive (rbt) set-impl String.literal

instantiation option :: (set-impl) set-impl begin
definition SET-IMPL('a option) = Phantom('a option) (of-phantom SET-IMPL('a))
instance ..
end

derive (monad) set-impl fun
derive (choose) set-impl set

instantiation phantom :: (type, set-impl) set-impl begin
definition SET-IMPL(('a, 'b) phantom) = Phantom(('a, 'b) phantom) (of-phantom
SET-IMPL('b))
instance ..
end

We enable automatic implementation selection for sets constructed by set,
although they could be directly converted using Set-Monad in constant time.
However, then it is more likely that the parameters of binary operators have
different implementations, which can lead to less efficient execution.
However, we test whether automatic selection picks Set-Monad anyway and
take a short-cut.

definition set-aux :: set-impl  $\Rightarrow$  'a list  $\Rightarrow$  'a set
where [simp, code del]: set-aux - = set

lemma set-aux-code [code]:
  defines conv  $\equiv$  foldl ( $\lambda s$  (x :: 'a). insert x s)
  shows

```



```

set-aux impl = conv (set-empty impl) (is ?thesis1)
set-aux set-Choose =
  (case CCOMPARE('a :: {ccompare, ceq}) of Some - => conv (RBT-set RBT-Set2.empty)
   | None => case CEQ('a) of None => Set-Monad
               | Some - => conv (DList-set DList-Set.empty)) (is ?thesis2)
set-aux set-Monad = Set-Monad
proof -
  have conv {} = set
  by(rule ext)(induct-tac x rule: rev-induct, simp-all add: conv-def)
  thus ?thesis1 ?thesis2
  by(simp-all split: option.split)
qed simp

lemma set-code [code]:
  fixes xs :: 'a :: set-impl list
  shows set xs = set-aux (of-phantom (ID SET-IMPL('a))) xs
by(simp)

```

3.12.7 Pretty printing for sets

`code-post` marks contexts (as hypothesis) in which we use `code_post` as a decision procedure rather than a pretty-printing engine. The intended use is to enable more rules when proving assumptions of rewrite rules.

definition `code-post` :: bool **where** `code-post` = True

```

lemma conj-code-post [code-post]:
  assumes code-post
  shows True & x <math>\longleftrightarrow</math> x    False & x <math>\longleftrightarrow</math> False
by simp-all

```

A flag to switch post-processing of sets on and off. Use `declare pretty_sets[code_post del]` to disable pretty printing of sets in value.

definition `code-post-set` :: bool
where `pretty-sets` [code-post, simp]: `code-post-set` = True

definition `collapse-RBT-set` :: 'a set-rbt \Rightarrow 'a :: ccompare set \Rightarrow 'a set
where `collapse-RBT-set` r M = set (RBT-Set2.keys r) \cup M

```

lemma RBT-set-collapse-RBT-set [code-post]:
  fixes r :: 'a :: ccompare set-rbt
  assumes code-post  $\implies$  is-ccompare TYPE('a) and code-post-set
  shows RBT-set r = collapse-RBT-set r {}
using assms
by(clarsimp simp add: code-post-def is-ccompare-def RBT-set-def member-conv-keys
  collapse-RBT-set-def)

```

lemma `collapse-RBT-set-Branch` [code-post]:
`collapse-RBT-set` (Mapping-RBT (Branch c l x v r)) M =

```

collapse-RBT-set (Mapping-RBT l) (insert x (collapse-RBT-set (Mapping-RBT
r) M))

```

```

unfolding collapse-RBT-set-def
by(auto simp add: is-ccompare-def set-keys-Mapping-RBT)

```

```

lemma collapse-RBT-set-Empty [code-post]:
  collapse-RBT-set (Mapping-RBT rbt.Empty) M = M
by(auto simp add: collapse-RBT-set-def set-keys-Mapping-RBT)

```

```

definition collapse-DList-set :: 'a :: ceq set-dlist  $\Rightarrow$  'a set
where collapse-DList-set dxs = set (DList-Set.list-of-dlist dxs)

```

```

lemma DList-set-collapse-DList-set [code-post]:
  fixes dxs :: 'a :: ceq set-dlist
  assumes code-post  $\Longrightarrow$  is-ceq TYPE('a) and code-post-set
  shows DList-set dxs = collapse-DList-set dxs
using assms
by(clarsimp simp add: code-post-def DList-set-def is-ceq-def collapse-DList-set-def
Collect-member)

```

```

lemma collapse-DList-set-empty [code-post]: collapse-DList-set (Abs-dlist []) = {}
by(simp add: collapse-DList-set-def Abs-dlist-inverse)

```

```

lemma collapse-DList-set-Cons [code-post]:
  collapse-DList-set (Abs-dlist (x # xs)) = insert x (collapse-DList-set (Abs-dlist
xs))
by(simp add: collapse-DList-set-def set-list-of-dlist-Abs-dlist)

```

```

lemma Set-Monad-code-post [code-post]:
  assumes code-post-set
  shows Set-Monad [] = {}
  and Set-Monad (x#xs) = insert x (Set-Monad xs)
by simp-all

```

```

end

```

```

theory Mapping-Impl imports
  RBT-Mapping2
  AssocList
  HOL-Library.Mapping
  Set-Impl
  Containers-Generator
begin

```

3.13 Different implementations of maps

```

code-identifier
  code-module Mapping  $\rightarrow$  (SML) Mapping-Impl

```

| **code-module** *Mapping-Impl* \rightarrow (*SML*) *Mapping-Impl*

3.13.1 Map implementations

definition *Assoc-List-Mapping* :: ('a, 'b) *alist* \Rightarrow ('a, 'b) *mapping*
where [*simp*]: *Assoc-List-Mapping* *al* = *Mapping.Mapping* (*DAList.lookup* *al*)

definition *RBT-Mapping* :: ('a :: *ccompare*, 'b) *mapping-rbt* \Rightarrow ('a, 'b) *mapping*
where [*simp*]: *RBT-Mapping* *t* = *Mapping.Mapping* (*RBT-Mapping2.lookup* *t*)

code-datatype *Assoc-List-Mapping* *RBT-Mapping* *Mapping*

3.13.2 Map operations

declare [[*code drop: Mapping.lookup*]]

lemma *lookup-Mapping-code* [*code*]:
Mapping.lookup (*Assoc-List-Mapping* *al*) = *DAList.lookup* *al*
Mapping.lookup (*RBT-Mapping* *t*) = *RBT-Mapping2.lookup* *t*
by(*simp-all*)(*transfer*, *rule*)**+**

declare [[*code drop: Mapping.is-empty*]]

lemma *is-empty-transfer* [*transfer-rule*]:
includes *lifting-syntax*
shows (*pcr-mapping* (=) (=) \implies (=)) ($\lambda m. m = \text{Map.empty}$) *Mapping.is-empty*
unfolding *mapping.pcr-cr-eq*
apply(*rule rel-funI*)
apply(*case-tac y*)
apply(*simp add: Mapping.is-empty-def cr-mapping-def Mapping-inverse Mapping.keys.rep-eq*)
done

lemma *is-empty-Mapping* [*code*]:
fixes *t* :: ('a :: *ccompare*, 'b) *mapping-rbt* **shows**
Mapping.is-empty (*Assoc-List-Mapping* *al*) \longleftrightarrow *al* = *DAList.empty*
Mapping.is-empty (*RBT-Mapping* *t*) \longleftrightarrow
(*case ID CCOMPARE*('a) of *None* \Rightarrow *Code.abort* (*STR "is-empty RBT-Mapping:*
ccompare = None") ($\lambda \cdot. \text{Mapping.is-empty (RBT-Mapping t)}$)
| *Some* - \Rightarrow *RBT-Mapping2.is-empty* *t*)
apply(*simp-all split: option.split*)
apply(*transfer, case-tac al, simp-all*)
apply(*transfer, simp*)
done

declare [[*code drop: Mapping.update*]]

lemma *update-Mapping* [*code*]:
fixes *t* :: ('a :: *ccompare*, 'b) *mapping-rbt* **shows**
Mapping.update *k v* (*Mapping* *m*) = *Mapping* (*m*(*k* \mapsto *v*))

$Mapping.update\ k\ v\ (Assoc-List-Mapping\ al) = Assoc-List-Mapping\ (DAList.update\ k\ v\ al)$
 $Mapping.update\ k\ v\ (RBT-Mapping\ t) =$
 $(case\ ID\ CCOMPARE('a)\ of\ None \Rightarrow Code.abort\ (STR\ "update\ RBT-Mapping:$
 $ccompare = None")\ (\lambda-. Mapping.update\ k\ v\ (RBT-Mapping\ t))$
 $\quad | Some\ - \Rightarrow RBT-Mapping\ (RBT-Mapping2.insert\ k\ v\ t))\ (is$
 $?RBT)$
by(simp-all split: option.split)(transfer, simp)+

declare [[code drop: Mapping.delete]]

lemma delete-Mapping [code]:

fixes $t :: ('a :: ccompare, 'b)\ mapping-rbt\ shows$
 $Mapping.delete\ k\ (Mapping\ m) = Mapping\ (m(k := None))$
 $Mapping.delete\ k\ (Assoc-List-Mapping\ al) = Assoc-List-Mapping\ (AssocList.delete\ k\ al)$
 $Mapping.delete\ k\ (RBT-Mapping\ t) =$
 $(case\ ID\ CCOMPARE('a)\ of\ None \Rightarrow Code.abort\ (STR\ "delete\ RBT-Mapping:$
 $ccompare = None")\ (\lambda-. Mapping.delete\ k\ (RBT-Mapping\ t))$
 $\quad | Some\ - \Rightarrow RBT-Mapping\ (RBT-Mapping2.delete\ k\ t))$
by(simp-all split: option.split)(transfer, simp)+

declare [[code drop: Mapping.keys]]

theorem rbt-comp-lookup-map-const: $rbt-comp-lookup\ c\ (RBT-Impl.map\ (\lambda-. f)\ t)$
 $= map-option\ f\ \circ\ rbt-comp-lookup\ c\ t$
by(induct t)(auto simp: fun-eq-iff split: order.split)

lemma keys-Mapping [code]:

fixes $t :: ('a :: ccompare, 'b)\ mapping-rbt\ shows$
 $Mapping.keys\ (Mapping\ m) = Collect\ (\lambda k. m\ k \neq None)\ (is\ ?Mapping)$
 $Mapping.keys\ (Assoc-List-Mapping\ al) = AssocList.keys\ al\ (is\ ?Assoc-List)$
 $Mapping.keys\ (RBT-Mapping\ t) = RBT-set\ (RBT-Mapping2.map\ (\lambda-. ().\ ())\ t)$
 $(is\ ?RBT)$

proof –

show ?Mapping **by** transfer auto
show ?Assoc-List **by** simp(transfer, auto intro: rev-image-eqI)
show ?RBT

by(simp add: RBT-set-def, transfer, auto simp add: rbt-comp-lookup-map-const
 o-def)

qed

declare [[code drop: Mapping.size]]

lemma Mapping-size-transfer [transfer-rule]:

includes lifting-syntax
shows $(pcr-mapping\ (=)\ (=)\ ==>\ (=))\ (card\ \circ\ dom)\ Mapping.size$
apply(rule rel-funI)
apply(case-tac y)

```

apply(simp add: Mapping.size-def Mapping.keys.rep-eq Mapping-inverse mapping.pcr-cr-eq
cr-mapping-def)
done

```

```

lemma size-Mapping [code]:
  fixes t :: ('a :: ccompare, 'b) mapping-rbt shows
    Mapping.size (Assoc-List-Mapping al) = size al
    Mapping.size (RBT-Mapping t) =
      (case ID CCOMPARE('a) of None  $\Rightarrow$  Code.abort (STR "size RBT-Mapping:
ccompare = None") ( $\lambda$ -. Mapping.size (RBT-Mapping t))
      | Some -  $\Rightarrow$  length (RBT-Mapping2.entries t))
apply(simp-all split: option.split)
apply(transfer, simp add: dom-map-of-conv-image-fst set-map[symmetric] distinct-card
del: set-map)
apply transfer
apply(clarsimp simp add: size-eq-card-dom-lookup)
apply(simp add: linorder.rbt-lookup-keys[OF ID-ccompare] ord.is-rbt-rbt-sorted RBT-Impl.keys-def
distinct-card linorder.distinct-entries[OF ID-ccompare] del: set-map)
done

```

```

declare [[code drop: Mapping.tabulate]]
declare tabulate-fold [code]

```

```

declare [[code drop: Mapping.ordered-keys]]
declare ordered-keys-def [code]

```

```

declare [[code drop: Mapping.lookup-default]]
declare Mapping.lookup-default-def [code]

```

```

declare [[code drop: Mapping.filter]]
lemma filter-code [code]:
  fixes t :: ('a :: ccompare, 'b) mapping-rbt shows
    Mapping.filter P (Mapping m) = Mapping ( $\lambda$ k. case m k of None  $\Rightarrow$  None | Some
v  $\Rightarrow$  if P k v then Some v else None)
    Mapping.filter P (Assoc-List-Mapping al) = Assoc-List-Mapping (DList.filter
( $\lambda$ (k, v). P k v) al)
    Mapping.filter P (RBT-Mapping t) =
      (case ID CCOMPARE('a) of None  $\Rightarrow$  Code.abort (STR "filter RBT-Mapping:
ccompare = None") ( $\lambda$ -. Mapping.filter P (RBT-Mapping t))
      | Some -  $\Rightarrow$  RBT-Mapping (RBT-Mapping2.filter ( $\lambda$ (k, v). P
k v) t))
  subgoal by transfer simp
  subgoal by (simp, transfer)(simp add: map-of-filter-apply fun-eq-iff cong: if-cong
option.case-cong)
  subgoal by(clarsimp simp add: Mapping-inject Mapping.filter.abs-eq fun-eq-iff
split: option.split)
done

```

```

declare [[code drop: Mapping.map]]

```

```

lemma map-values-code [code]:
  fixes t :: ('a :: ccompare, 'b) mapping-rbt shows
    Mapping.map-values f (Mapping m) = Mapping ( $\lambda k$ . map-option (f k) (m k))
    Mapping.map-values f (Assoc-List-Mapping al) = Assoc-List-Mapping (AssocList.map-values
f al)
    Mapping.map-values f (RBT-Mapping t) =
      (case ID CCOMPARE('a) of None  $\Rightarrow$  Code.abort (STR "map-values RBT-Mapping:
ccompare = None") ( $\lambda$ -. Mapping.map-values f (RBT-Mapping t))
      | Some -  $\Rightarrow$  RBT-Mapping (RBT-Mapping2.map f t))
  subgoal by transfer simp
  subgoal by (simp, transfer)(simp add: fun-eq-iff map-of-map')
  subgoal by (clarsimp simp add: Mapping-inject Mapping.map-values.abs-eq fun-eq-iff
split: option.split)
  done

declare [[code drop: Mapping.combine-with-key]]
declare [[code drop: Mapping.combine]]

datatype mapping-impl = Mapping-IMPL
declare
  mapping-impl.eq.simps [code del]
  mapping-impl.rec [code del]
  mapping-impl.case [code del]

lemma [code]:
  fixes x :: mapping-impl
  shows size x = 0
  and size-mapping-impl x = 0
by (case-tac [! ] x) simp-all

definition mapping-Choose :: mapping-impl where [simp]: mapping-Choose =
Mapping-IMPL
definition mapping-Assoc-List :: mapping-impl where [simp]: mapping-Assoc-List
= Mapping-IMPL
definition mapping-RBT :: mapping-impl where [simp]: mapping-RBT = Map-
ping-IMPL
definition mapping-Mapping :: mapping-impl where [simp]: mapping-Mapping =
Mapping-IMPL

code-datatype mapping-Choose mapping-Assoc-List mapping-RBT mapping-Mapping

definition mapping-empty-choose :: ('a, 'b) mapping
where [simp]: mapping-empty-choose = Mapping.empty

lemma mapping-empty-choose-code [code]:
  (mapping-empty-choose :: ('a :: ccompare, 'b) mapping) =
    (case ID CCOMPARE('a) of Some -  $\Rightarrow$  RBT-Mapping RBT-Mapping2.empty
    | None  $\Rightarrow$  Assoc-List-Mapping DList.empty)
by (auto split: option.split simp add: DList.lookup-empty[abs-def] Mapping.empty-def)

```

definition *mapping-impl-choose2* :: *mapping-impl* \Rightarrow *mapping-impl* \Rightarrow *mapping-impl*
where [*simp*]: *mapping-impl-choose2* = (λ -. *Mapping-IMPL*)

lemma *mapping-impl-choose2-code* [*code*]:
mapping-impl-choose2 *x y* = *mapping-Choose*
mapping-impl-choose2 *mapping-Mapping* *mapping-Mapping* = *mapping-Mapping*
mapping-impl-choose2 *mapping-Assoc-List* *mapping-Assoc-List* = *mapping-Assoc-List*
mapping-impl-choose2 *mapping-RBT* *mapping-RBT* = *mapping-RBT*
by(*simp-all*)

definition *mapping-empty* :: *mapping-impl* \Rightarrow ('a, 'b) *mapping*
where [*simp*]: *mapping-empty* = (λ -. *Mapping.empty*)

lemma *mapping-empty-code* [*code*]:
mapping-empty *mapping-Choose* = *mapping-empty-choose*
mapping-empty *mapping-Mapping* = *Mapping* (λ -. *None*)
mapping-empty *mapping-Assoc-List* = *Assoc-List-Mapping* *DAList.empty*
mapping-empty *mapping-RBT* = *RBT-Mapping* *RBT-Mapping2.empty*
by(*simp-all add: Mapping.empty-def DAList.lookup-empty[abs-def]*)

3.13.3 Type classes

class *mapping-impl* =
fixes *mapping-impl* :: ('a, *mapping-impl*) *phantom*

syntax (*input*)
 -*MAPPING-IMPL* :: *type* \Rightarrow *logic* ($\langle (1\text{MAPPING}'\text{-IMPL}/(1'(-))) \rangle$)

syntax-consts
 -*MAPPING-IMPL* == *mapping-impl*

parse-translation \langle
let
fun *mapping-impl-tr* [*ty*] =
 (*Syntax.const* @{*syntax-const-constrain*} \$ *Syntax.const* @{*const-syntax mapping-impl*}
 \$
 (*Syntax.const* @{*type-syntax phantom*} \$ *ty* \$ *Syntax.const* @{*type-syntax mapping-impl*}))
 | *mapping-impl-tr ts* = *raise TERM* (*mapping-impl-tr, ts*);
in [(@{*syntax-const -MAPPING-IMPL*}, *K mapping-impl-tr*)] *end*
 \rangle

declare [[*code drop: Mapping.empty*]]

lemma *Mapping-empty-code* [*code, code-unfold*]:
 (*Mapping.empty* :: ('a :: *mapping-impl*, 'b) *mapping*) =
mapping-empty (*of-phantom MAPPING-IMPL* ('a))
by *simp*

3.13.4 Generator for the *mapping-impl*-class

This generator registers itself at the derive-manager for the classes *mapping-impl*. Here, one can choose the desired implementation via the parameter.

- instantiation type :: (type,...,type) (rbt,assoclist,mapping,choose, or arbitrary constant name) mapping-impl

This generator can be used for arbitrary types, not just datatypes.

ML-file *<mapping-impl-generator.ML>*

```

derive (assoclist) mapping-impl unit bool
derive (rbt) mapping-impl nat
derive (mapping-RBT) mapping-impl int
derive (assoclist) mapping-impl Enum.finite-1 Enum.finite-2 Enum.finite-3
derive (rbt) mapping-impl integer natural
derive (rbt) mapping-impl char

instantiation sum :: (mapping-impl, mapping-impl) mapping-impl begin
definition MAPPING-IMPL('a + 'b) = Phantom('a + 'b)
  (mapping-impl-choose2 (of-phantom MAPPING-IMPL('a)) (of-phantom MAP-
  PING-IMPL('b)))
instance ..
end

instantiation prod :: (mapping-impl, mapping-impl) mapping-impl begin
definition MAPPING-IMPL('a * 'b) = Phantom('a * 'b)
  (mapping-impl-choose2 (of-phantom MAPPING-IMPL('a)) (of-phantom MAP-
  PING-IMPL('b)))
instance ..
end

derive (choose) mapping-impl list
derive (rbt) mapping-impl String.literal

instantiation option :: (mapping-impl) mapping-impl begin
definition MAPPING-IMPL('a option) = Phantom('a option) (of-phantom MAP-
  PING-IMPL('a))
instance ..
end

derive (choose) mapping-impl set

instantiation phantom :: (type, mapping-impl) mapping-impl begin
definition MAPPING-IMPL(('a, 'b) phantom) = Phantom (('a, 'b) phantom)
  (of-phantom MAPPING-IMPL('b))
instance ..

```


end

declare *[[code drop: Mapping.bulkload]]*

lemma *bulkload-code [code]:*

Mapping.bulkload vs = RBT-Mapping (RBT-Mapping2.bulkload (zip-with-index vs))

by(*simp add: Mapping.bulkload.abs-eq Mapping-inject ccompare-nat-def ID-def fun-eq-iff*)

end

theory *Map-To-Mapping imports*

Mapping-Impl

begin

3.14 Infrastructure for operation identification

To convert theorems from *'a ⇒ 'b option* to *('a, 'b) mapping* using lifting / transfer, we first introduce constants for the empty map and map lookup, then apply lifting / transfer, and finally eliminate the non-converted constants again.

Dynamic theorem list of rewrite rules that are applied before `Transfer.transferred`

ML *⟨*

structure Containers-Pre = Named-Thms

(

val name = @{binding containers-pre}

val description = Preprocessing rewrite rules in operation identification for Containers

)

⟩

setup *⟨Containers-Pre.setup⟩*

Dynamic theorem list of rewrite rules that are applied after `Transfer.transferred`

ML *⟨*

structure Containers-Post = Named-Thms

(

val name = @{binding containers-post}

val description = Postprocessing rewrite rules in operation identification for Containers

)

⟩

setup *⟨Containers-Post.setup⟩*

```

context includes lifting-syntax
begin

definition map-empty :: 'a  $\Rightarrow$  'b option
where [code-unfold]: map-empty = Map.empty

declare map-empty-def[containers-post, symmetric, containers-pre]

declare Mapping.empty.transfer[transfer-rule del]

lemma map-empty-transfer [transfer-rule]:
  (pcr-mapping A B) map-empty Mapping.empty
unfolding map-empty-def by(rule Mapping.empty.transfer)

```

```

definition map-apply :: ('a  $\Rightarrow$  'b option)  $\Rightarrow$  'a  $\Rightarrow$  'b option
where [code-unfold]: map-apply = ( $\lambda m. m$ )

lemma eq-map-apply:  $m\ x \equiv \text{map-apply } m\ x$ 
by(simp add: map-apply-def)

```

```

declare eq-map-apply[symmetric, abs-def, containers-post]

```

We cannot use *eq-map-apply* as a fold rule for operator identification, because it would loop. We use a *simproc* instead.

```

simproc-setup passive map-apply ( $f\ x :: 'a\ \text{option}$ ) = <
   $fn\ - \Rightarrow fn\ ctxt \Rightarrow fn\ ct \Rightarrow$ 
    (case Thm.term-of ct of
      Const (@{const-name map-apply}, -) $ - $ -  $\Rightarrow NONE$ 
    |  $f\ \$\ x \Rightarrow$ 
      let
        val cTr =
          Thm.typ-of-cterm ct
          |> dest-Type
          |> snd |> hd
          |> Thm.ctyp-of ctxt;
        val cTx = Thm.ctyp-of ctxt (fastype-of x);
        val cts = map (SOME o Thm.cterm-of ctxt) [f, x];
      in
        SOME (Thm.instantiate' [SOME cTr, SOME cTx] cts @ {thm eq-map-apply})
      end
    | -  $\Rightarrow NONE$ )
  >

```

```

lemma map-apply-parametric [transfer-rule]:
  ((A  $\equiv\equiv\equiv$  B)  $\equiv\equiv\equiv$  A  $\equiv\equiv\equiv$  B) map-apply map-apply
unfolding map-apply-def by(transfer-prover)

```

```

lemma map-apply-transfer [transfer-rule]:

```

```

(pcr-mapping A B ==> A ==> rel-option B) map-apply Mapping.lookup
by(auto simp add: pcr-mapping-def cr-mapping-def Mapping.lookup-def map-apply-def
dest: rel-funD)

```

definition *map-update* :: 'a \Rightarrow 'b option \Rightarrow ('a \Rightarrow 'b option) \Rightarrow ('a \Rightarrow 'b option)
where *map-update* x y f = f(x := y)

lemma *map-update-parametric* [*transfer-rule*]:
assumes [*transfer-rule*]: *bi-unique* A
shows (A ==> *rel-option* B ==> (A ==> *rel-option* B) ==> (A ==>
rel-option B)) *map-update* *map-update*
unfolding *map-update-def*[*abs-def*] **by** *transfer-prover*

context begin

local-setup <*Local-Theory.map-background-naming* (*Name-Space.mandatory-path*
Mapping)>

lift-definition *update'* :: 'a \Rightarrow 'b option \Rightarrow ('a, 'b) *mapping* \Rightarrow ('a, 'b) *mapping*
is *map-update* **parametric** *map-update-parametric* .

lemma *update'-code* [*simp*, *code*, *code-unfold*]:
update' x None = Mapping.delete x
update' x (Some y) = Mapping.update x y
by(*transfer*, *simp add: map-update-def fun-eq-iff*)+

end

declare *map-update-def*[*abs-def*, *containers-post*] *map-update-def*[*symmetric*, *con-*
tainers-pre]

definition *map-is-empty* :: ('a \Rightarrow 'b option) \Rightarrow bool
where *map-is-empty* m \longleftrightarrow m = Map.empty

lemma *map-is-empty-folds*:
m = *map-empty* \longleftrightarrow *map-is-empty* m
map-empty = m \longleftrightarrow *map-is-empty* m
by(*auto simp add: map-is-empty-def map-empty-def*)

declare *map-is-empty-folds*[*containers-pre*]
map-is-empty-def[*abs-def*, *containers-post*]

lemma *map-is-empty-transfer* [*transfer-rule*]:
assumes *bi-total* A
shows (*pcr-mapping* A B ==> (=)) *map-is-empty* Mapping.is-empty
unfolding *map-is-empty-def*[*abs-def*] Mapping.is-empty-def[*abs-def*] *dom-eq-empty-conv*[*symmetric*]
by(*rule rel-funI*)+(auto *simp del: dom-eq-empty-conv dest: rel-setD2 rel-setD1 Map-*
ping.keys.transfer[*THEN rel-funD*, *OF assms*])

end

ML \langle

signature CONTAINERS = *sig*

val identify : *Context.generic* \rightarrow *thm* \rightarrow *thm*;

val identify-attribute : *attribute*;

end

structure Containers: CONTAINERS =

struct

fun identify context *thm* =

let

val ctxt' = *Context.proof-of* context

val ss = *put-simpset* HOL-basic-ss ctxt'

val ctxt1 = ss *addsimps* Containers-Pre.get ctxt' *addsimprocs* [**simproc** \langle map-apply \rangle]

val ctxt2 = ss *addsimps* Containers-Post.get ctxt'

(** Hack to recover Transfer.transferred function from attribute **)

fun transfer-transferred *thm* = *Transfer.transferred-attribute* [] (context, *thm*)

$|>$ *snd* $|>$ *the*

in

thm

$|>$ *full-simplify* ctxt1

$|>$ *transfer-transferred*

$|>$ *full-simplify* ctxt2

end

val identify-attribute = *Thm.rule-attribute* [] identify

end

\rangle

attribute-setup containers-identify =

\langle *Scan.succeed* Containers.identify-attribute \rangle

Transfer theorems for operator identification in Containers

hide-const (**open**) *map-apply* *map-empty* *map-is-empty* *map-update*

hide-fact (**open**) *map-apply-def* *map-empty-def* *eq-map-apply*

end

theory Containers **imports**

Set-Linorder

Collection-Order

Collection-Eq

Collection-Enum

```

    Equal
    Mapping-Impl
    Map-To-Mapping
begin
end

```

3.15 Compatibility with Regular-Sets

```

theory Compatibility-Containers-Regular-Sets imports
    Containers
    Regular-Sets.Regexp-Method
begin

```

Adaptation theory to make *regexp* work when *Containers.Containers* are loaded.

Warning: Each invocation of *regexp* takes longer than without *Containers.Containers* because the code generator takes longer to generate the evaluation code for *regexp*.

```

datatype-compat regexp
derive ceq regexp
derive compare regexp
derive (choose) set-impl regexp

notepad begin
fix r s :: ('a × 'a) set
have  $(r \cup s^+)^* = (r \cup s)^*$  by regexp
end

end

```


Chapter 4

User guide

This user guide shows how to use and extend the lightweight containers framework (LC). For a more theoretical discussion, see [5]. This user guide assumes that you are familiar with refinement in the code generator [1, 2]. The theory *Containers-Userguide* generates it; so if you want to experiment with the examples, you can find their source code there. Further examples can be found in the `Examples` folder.

4.1 Characteristics

- **Separate type classes for code generation**

LC follows the ideal that type classes for code generation should be separate from the standard type classes in Isabelle. LC's type classes are designed such that every type can become an instance, so well-sortedness errors during code generation can always be remedied.

- **Multiple implementations**

LC supports multiple simultaneous implementations of the same container type. For example, the following implements at the same time (i) the set of *bool* as a distinct list of the elements, (ii) *int set* as a RBT of the elements or as the RBT of the complement, and (iii) sets of functions as monad-style lists:

```
value ({True}, {1 :: int}, - {2 :: int, 3}, {λx :: int. x * x, λy. y + 1})
```

The LC type classes are the key to simultaneously supporting different implementations.

- **Extensibility**

The LC framework is designed for being extensible. You can add new containers, implementations and element types any time.

4.2 Getting started

Add the entry theory *Containers.Containers* for LC to the end of your imports. This will reconfigure the code generator such that it implements the types *'a set* for sets and *('a, 'b) mapping* for maps with one of the data structures supported. As with all the theories that adapt the code generator setup, it is important that *Containers.Containers* comes at the end of the imports.

Note: LC should not be used together with the theory *HOL-Library.Code-Cardinality*.

Run the following command, e.g., to check that LC works correctly and implements sets of *ints* as red-black trees (RBT):

```
value [code] {1 :: int}
```

This should produce $\{1\}$. Without LC, sets are represented as (complements of) a list of elements, i.e., *set [1]* in the example.

If your exported code does not use your own types as elements of sets or maps and you have not declared any code equation for these containers, then your **export-code** command will use LC to implement *'a set* and *('a, 'b) mapping*.

Our running example will be arithmetic expressions. The function *vars e* computes the variables that occur in the expression *e*

```
type-synonym vname = string
datatype expr = Var vname | Lit int | Add expr expr
fun vars :: expr  $\Rightarrow$  vname set where
  vars (Var v) = {v}
| vars (Lit i) = {}
| vars (Add e1 e2) = vars e1  $\cup$  vars e2
```

```
value vars (Var "x")
```

To illustrate how to deal with type variables, we will use the following variant where variable names are polymorphic:

```
datatype 'a expr' = Var' 'a | Lit' int | Add' 'a expr' 'a expr'
fun vars' :: 'a expr'  $\Rightarrow$  'a set where
  vars' (Var' v) = {v}
| vars' (Lit' i) = {}
| vars' (Add' e1 e2) = vars' e1  $\cup$  vars' e2

value vars' (Var' (1 :: int))
```


4.3 New types as elements

This section explains LC's type classes and shows how to instantiate them. If you want to use your own types as the elements of sets or the keys of maps, you must instantiate up to eight type classes: *ceq* (§4.3.1), *compare* (§4.3.2), *set-impl* (§4.3.3), *mapping-impl* (§4.3.3), *cenum* (§4.3.4), *finite-UNIV* (§4.3.5), *card-UNIV* (§4.3.5), and *cproper-interval* (§4.3.5). Otherwise, well-sortedness errors like the following will occur:

```
*** Wellsortedness error:
*** Type expr not of sort {ceq,compare}
*** No type arity expr :: ceq
*** At command "value"
```

In detail, the sort requirements on the element type *'a* are:

- *ceq* (§4.3.1), *compare* (§4.3.2), and *set-impl* (§4.3.3) for *'a* set in general
- *cenum* (§4.3.4) for set comprehensions $\{x. P\ x\}$,
- *card-UNIV*, *cproper-interval* for *'a* set set and any deeper nesting of sets (§4.3.5),¹ and
- *equal*,² *compare* (§4.3.2) and *mapping-impl* (§4.3.3) for (*'a*, *'b*) mapping.

4.3.1 Equality testing

The type class *ceq* defines the operation $CEQ('a) :: ('a \Rightarrow 'a \Rightarrow bool) option$ for testing whether two elements are equal.³ The test is embedded in an *option* value to allow for types that do not support executable equality test such as $'a \Rightarrow 'b$. Whenever possible, $CEQ('a)$ should provide an executable equality operator. Otherwise, membership tests on such sets will raise an exception at run-time.

¹These type classes are only required for set complements (see §4.7.2).

²We deviate here from the strict separation of type classes, because it does not make sense to store types in a map on which we do not have equality, because the most basic operation *Mapping.lookup* inherently requires equality.

³Technically, the type class *ceq* defines the operation *ceq*. As usage often does not fully determine *ceq*'s type, we use the notation $CEQ('a)$ that explicitly mentions the type. In detail, $CEQ('a)$ is translated to $CEQ('a) :: ('a \Rightarrow 'a \Rightarrow bool) option$ including the type constraint. We do the same for the other type class operators: *compare* constrains the operation *compare* (§4.3.2), *SET-IMPL('a)* constrains the operation *set-impl*, (§4.3.3), *MAPPING-IMPL('a)* (constrains the operation *mapping-impl*, (§4.3.3), and *CENUM('a)* constrains the operation *cenum*, §4.3.4.

For data types, the *derive* command can automatically instantiate of *ceq*, we only have to tell it whether an equality operation should be provided or not (parameter *no*).

```
derive (eq) ceq expr
```

```
datatype example = Example
```

```
derive (no) ceq example
```

In the remainder of this subsection, we look at how to manually instantiate a type for *ceq*. First, the simple case of a type constructor *simple-tycon* without parameters that already is an instance of *equal*:

```
typedecl simple-tycon
```

```
axiomatization where simple-tycon-equal: OFCLASS(simple-tycon, equal-class)
```

```
instance simple-tycon :: equal by (rule simple-tycon-equal)
```

```
instantiation simple-tycon :: ceq begin
```

```
definition CEQ(simple-tycon) = Some (=)
```

```
instance by(intro-classes)(simp add: ceq-simple-tycon-def)
```

```
end
```

For polymorphic types, this is a bit more involved, as the next example with *'a expr'* illustrates (note that we could have delegated all this to *derive*). First, we need an operation that implements equality tests with respect to a given equality operation on the polymorphic type. For data types, we can use the relator which the transfer package (method *transfer*) requires and the BNF package generates automatically. As we have used the old datatype package for *'a expr'*, we must define it manually:

```
context fixes R :: 'a  $\Rightarrow$  'b  $\Rightarrow$  bool begin
```

```
fun expr'-rel :: 'a expr'  $\Rightarrow$  'b expr'  $\Rightarrow$  bool
```

```
where
```

```
  expr'-rel (Var' v)      (Var' v')       $\longleftrightarrow$  R v v'
| expr'-rel (Lit' i)      (Lit' i')       $\longleftrightarrow$  i = i'
| expr'-rel (Add' e1 e2) (Add' e1' e2')  $\longleftrightarrow$  expr'-rel e1 e1'  $\wedge$  expr'-rel e2 e2'
| expr'-rel -             -               $\longleftrightarrow$  False
```

```
end
```

If we give HOL equality as parameter, the relator is equality:

```
lemma expr'-rel-eq: expr'-rel (=) e1 e2  $\longleftrightarrow$  e1 = e2
```

```
by(induct e1 e2 rule: expr'-rel.induct) simp-all
```

Then, the instantiation is again canonical:

```
instantiation expr' :: (ceq) ceq begin
```

definition

```
CEQ('a expr') =
  (case ID CEQ('a) of None  $\Rightarrow$  None | Some eq  $\Rightarrow$  Some (expr'-rel eq))
```

instance

```
by(intro-classes)
  (auto simp add: ceq-expr'-def expr'-rel-eq[abs-def]
    dest: Collection-Eq.ID-ceq
    split: option.split-asm)
```

end

Note the following two points: First, the instantiation should avoid to use $(=)$ on terms of the polymorphic type. This keeps the LC framework separate from the type class *equal*, i.e., every choice of $'a$ in $'a \text{ expr}'$ can be of sort *ceq*. The easiest way to achieve this is to obtain the equality test from $CEQ('a)$. Second, we use $ID \ CEQ('a)$ instead of $CEQ('a)$. In proofs, we want that the simplifier uses assumptions like $CEQ('a) = \text{Some } \dots$ for rewriting. However, $CEQ('a)$ is a nullary constant, so the simplifier reverses such an equation, i.e., it only rewrites $\text{Some } \dots$ to $CEQ('a)$. Applying the identity function ID to $CEQ('a)$ avoids this, and the code generator eliminates all occurrences of ID . Although $ID = id$ by definition, do not use the conventional id instead of ID , because $id \ CEQ('a)$ immediately simplifies to $CEQ('a)$.

4.3.2 Ordering

LC takes the order for storing elements in search trees from the type class *ccompare* rather than *compare*, because we cannot instantiate *compare* for some types (e.g., $'a \text{ set}$ as (\subseteq) is not linear). Similar to $CEQ('a)$ in class $CEQ('b)$, the class *ccompare* specifies an optional comparator $CCOMPARE('a) :: (('a \Rightarrow 'a \Rightarrow \text{order})) \text{ option}$. If you cannot or do not want to implement a comparator on your type, you can default to *None*. In that case, you will not be able to use your type as elements of sets or as keys in maps implemented by search trees.

If the type is a data type or instantiates *compare* and we wish to use that comparator also for the search tree, instantiation is again canonical: For our data type *expr*, *derive* does everything!

```
derive ccompare expr
```

In general, the pattern for type constructors without parameters looks as follows:

```
axiomatization where simple-tycon-compare: OFCLASS(simple-tycon, com-
  pare-class)
```

```
instance simple-tycon :: compare by (rule simple-tycon-compare)
```

derive (compare) ccompare simple-tycon

For polymorphic types like *'a expr'*, we should not do everything manually: First, we must define a comparator that takes the comparator on the type variable *'a* as a parameter. This is necessary to maintain the separation between Isabelle/HOL's type classes (like *compare*) and LC's. Such a comparator is again easily defined by *derive*.

derive ccompare *expr'*

thm ccompare-*expr'*-def comparator-*expr'*-simps

4.3.3 Heuristics for picking an implementation

Now, we have defined the necessary operations on *expr* and *'a expr'* to store them in a set or use them as the keys in a map. But before we can actually do so, we also have to say which data structure to use. The type classes *set-impl* and *mapping-impl* are used for this.

They define the overloaded operations *SET-IMPL('a) :: ('a, set-impl) phantom* and *MAPPING-IMPL('a) :: ('a, mapping-impl) phantom*, respectively. The phantom type *('a, 'b) phantom* from theory *HOL-Library.Pantom-Type* is isomorphic to *'b*, but formally depends on *'a*. This way, the type class operations meet the requirement that their type contains exactly one type variable. The Haskell and ML compiler will get rid of the extra type constructor again.

For sets, you can choose between *set-Collect* (characteristic function *P* like in $\{x. P x\}$), *set-DList* (distinct list), *set-RBT* (red-black tree), and *set-Monad* (list with duplicates). Additionally, you can define *set-impl* as *set-Choose* which picks the implementation based on the available operations (RBT if *ccompare* provides a linear order, else distinct lists if *CEQ('a)* provides equality testing, and lists with duplicates otherwise). *set-Choose* is the safest choice because it picks only a data structure when the required operations are actually available. If *set-impl* picks a specific implementation, Isabelle does not ensure that all required operations are indeed available.

For maps, the choices are *mapping-Assoc-List* (associative list without duplicates), *mapping-RBT* (red-black tree), and *mapping-Mapping* (closures with function update). Again, there is also the *mapping-Choose* heuristics.

For simple cases, *derive* can be used again (even if the type is not a data type). Consider, e.g., the following instantiations: *expr set* uses RBTs, (*expr*, -) *mapping* and *'a expr' set* use the heuristics, and (*'a expr'*, -) *mapping* uses the same implementation as (*'a*, -) *mapping*.

derive (rbt) set-impl *expr*

derive (choose) mapping-impl *expr*

derive (choose) set-impl expr'

More complex cases such as taking the implementation preference of a type parameter must be done manually.

instantiation $\text{expr}' :: (\text{mapping-impl}) \text{ mapping-impl}$ **begin**

definition

MAPPING-IMPL('a expr') =

Phantom('a expr') (of-phantom MAPPING-IMPL('a))

instance ..

end

To see the effect of the different configurations, consider the following examples where *empty* refers to *Mapping.empty*. For that, we must disable pretty printing for sets as follows:

declare pretty-sets[code-post del]

value [code]	result
$\{\} :: \text{expr set}$	<i>RBT-set (Mapping-RBT Empty)</i>
$\text{empty} :: (\text{expr}, \text{unit}) \text{ mapping}$	<i>RBT-Mapping (Mapping-RBT Empty)</i>
$\{\} :: \text{string expr}' \text{ set}$	<i>RBT-set (Mapping-RBT Empty)</i>
$\{\} :: (\text{nat} \Rightarrow \text{nat}) \text{ expr}' \text{ set}$	<i>Set-Monad []</i>
$\{\} :: \text{bool expr}' \text{ set}$	<i>RBT-set (Mapping-RBT Empty)</i>
$\text{empty} :: (\text{bool expr}', \text{unit}) \text{ mapping}$	<i>Assoc-List-Mapping (Alist [])</i>

For *expr*, *mapping-Choose* picks RBTs, because *ccompare* provides a comparison operation for *expr*. For 'a expr' , the effect of *set-Choose* is more pronounced: *ccompare* is not *None*, so neither is *ccompare*, and *set-Choose* picks RBTs. As $\text{nat} \Rightarrow \text{nat}$ neither provides equality tests (*ceq*) nor comparisons (*ccompare*), neither does $(\text{nat} \Rightarrow \text{nat}) \text{ expr}'$, so we use lists with duplicates. The last two examples show the difference between inheriting a choice and choosing freshly: By default, *bool* prefers distinct (associative) lists over RBTs, because there are just two elements. As *bool expr'* inherits the choice for maps from *bool*, an associative list implements $\text{empty} :: (\text{bool expr}', \text{unit}) \text{ mapping}$. For sets, in contrast, *set-impl* discards 'a's preferences and picks RBTs, because there is a comparison operation.

Finally, let's enable pretty-printing for sets again:

declare pretty-sets [code-post]

4.3.4 Set comprehensions

If you use the default code generator setup that comes with Isabelle, set comprehensions $\{x. P x\} :: 'a \text{ set}$ are only executable if the type 'a has sort

enum. Internally, Isabelle's code generator transforms set comprehensions into an explicit list of elements which it obtains from the list *enum* of all of *'a*'s elements. Thus, the type must be an instance of *enum*, i.e., finite in particular. For example, $\{c. \text{CHR } "A" \leq c \wedge c \leq \text{CHR } "D"\}$ evaluates to *set "ABCD"*, the set of the characters A, B, C, and D.

For compatibility, LC also implements such an enumeration strategy, but avoids the finiteness restriction. The type class *cenum* mimicks *enum*, but its single parameter $\text{cEnum} :: ('a \text{ list} \times (('a \Rightarrow \text{bool}) \Rightarrow \text{bool}) \times (('a \Rightarrow \text{bool}) \Rightarrow \text{bool})) \text{ option}$ combines all of *enum*'s parameters, namely a list of all elements, a universal and an existential quantifier. *option* ensures that every type can be an instance as *CENUM*(*'a*) can always default to *None*.

For types that define *CENUM*(*'a*), set comprehensions evaluate to a list of their elements. Otherwise, set comprehensions are represented as a closure. This means that if the generated code contains at least one set comprehension, all element types of a set must instantiate *cenum*. Infinite types default to *None*, and enumerations for finite types are canonical, see *Containers.Collection-Enum* for examples.

instantiation *expr* :: *cenum* **begin**

definition *CENUM*(*expr*) = *None*

instance **by**(intro-classes)(simp-all add: *cEnum-expr-def*)

end

derive (no) *cenum* *expr'*

derive compare-order *expr*

For example, **value** $(\{b. b = \text{True}\}, \{x. \text{compare } x (\text{Lit } 0) = \text{Lt}\})$ yields $(\{\text{True}\}, \text{Collect-set -})$

LC keeps complements of such enumerated set comprehensions, i.e., $\neg \{b. b = \text{True}\}$ evaluates to *Complement {True}*. If you want that the complement operation actually computes the elements of the complements, you have to replace the code equations for *uminus* as follows:

declare *Set-uminus-code*[code del] *Set-uminus-cenum*[code]

Then, $\neg \{b. b = \text{True}\}$ becomes $\{\text{False}\}$, but this applies to all complement invocations. For example, *UNIV* :: *bool set* becomes $\{\text{False}, \text{True}\}$.

4.3.5 Nested sets

To deal with nested sets such as *expr set set*, the element type must provide three operations from three type classes:

- *finite-UNIV* from theory *HOL-Library.Cardinality* defines the constant *finite-UNIV* :: $('a, \text{bool}) \text{ phantom}$ which designates whether the

type is finite.

- *card-UNIV* from theory *HOL-Library.Cardinality* defines the constant *card-UNIV* :: ('a, nat) phantom which returns *CARD*('a), i.e., the number of values in 'a. If 'a is infinite, *CARD*('a) = 0.
- *cproper-interval* from theory *Containers.Collection-Order* defines the function *cproper-interval* :: 'a option \Rightarrow 'a option \Rightarrow bool. If the type 'a is finite and *ccompare* yields a linear order on 'a, then *cproper-interval* *x y* returns whether the open interval between *x* and *y* is non-empty. The bound *None* denotes unboundedness.

Note that the type class *finite-UNIV* must not be confused with the type class *finite*. *finite-UNIV* allows the generated code to examine whether a type is finite whereas *finite* requires that the type in fact is finite.

For datatypes, the theory *Containers.Card-Datatype* defines some machinery to assist in proving that the type is (in)finite and has a given number of elements – see *Examples/Card_Datatype_Ex.thy* for examples. With this, it is easy to instantiate *card-UNIV* for our running examples:

```
lemma inj-expr [simp]: inj Lit    inj Var    inj Add    inj (Add e)
by(simp-all add: fun-eq-iff inj-on-def)
```

```
lemma infinite-UNIV-expr:  $\neg$  finite (UNIV :: expr set)
including card-datatype
```

```
proof –
```

```
  have rangeIt (Lit 0) (Add (Lit 0))  $\subseteq$  UNIV by simp
  from finite-subset[OF this] show ?thesis by auto
```

```
qed
```

```
instantiation expr :: card-UNIV begin
```

```
definition finite-UNIV = Phantom(expr) False
```

```
definition card-UNIV = Phantom(expr) 0
```

```
instance
```

```
  by intro-classes
```

```
  (simp-all add: finite-UNIV-expr-def card-UNIV-expr-def infinite-UNIV-expr)
```

```
end
```

```
lemma inj-expr' [simp]: inj Lit'    inj Var'    inj Add'    inj (Add' e)
by(simp-all add: fun-eq-iff inj-on-def)
```

```
lemma infinite-UNIV-expr':  $\neg$  finite (UNIV :: 'a expr' set)
including card-datatype
```

```
proof –
```

```
  have rangeIt (Lit' 0) (Add' (Lit' 0))  $\subseteq$  UNIV by simp
```

```

from finite-subset[OF this] show ?thesis by auto
qed

```

```

instantiation expr' :: (type) card-UNIV begin
definition finite-UNIV = Phantom('a expr') False
definition card-UNIV = Phantom('a expr') 0
instance
  by intro-classes
  (simp-all add: finite-UNIV-expr'-def card-UNIV-expr'-def infinite-UNIV-expr')
end

```

As *expr* and *'a expr'* are infinite, instantiating *cproper-interval* is trivial, because *cproper-interval* only makes assumptions about its parameters for finite types. Nevertheless, it is important to actually define *cproper-interval*, because the code generator requires a code equation.

```

instantiation expr :: cproper-interval begin
definition cproper-interval-expr :: expr proper-interval
  where cproper-interval-expr - - = undefined
instance by(intro-classes)(simp add: infinite-UNIV-expr)
end

```

```

instantiation expr' :: (compare) cproper-interval begin
definition cproper-interval-expr' :: 'a expr' proper-interval
  where cproper-interval-expr' - - = undefined
instance by(intro-classes)(simp add: infinite-UNIV-expr')
end

```

Instantiation of *proper-interval*

To illustrate what to do with finite types, we instantiate *proper-interval* for *expr*. Like *compare* relates to *compare*, the class *cproper-interval* has a counterpart *proper-interval* without the finiteness assumption. Here, we first have to gather the simplification rules of the comparator from the *derive* invocation, especially, how the strict order of the comparator, *lt-of-comp*, can be defined.

Since the order on lists is not yet shown to be consistent with the comparators that are used for lists, this part of the userguide is currently not available.

4.4 New implementations for containers

This section explains how to add a new implementation for a container type. If you do so, please consider to add your implementation to this AFP entry.

4.4.1 Model and verify the data structure

First, you of course have to define the data structure and verify that it has the required properties. As our running example, we use a trie to implement $(\text{'a'}, \text{'b'})$ mapping. A trie is a binary tree whose the nodes store the values, the keys are the paths from the root to the given node. We use lists of *boolans* for the keys where the *boolean* indicates whether we should go to the left or right child.

For brevity, we skip this step and rather assume that the type 'v trie-row of tries has following operations and properties:

```
type-synonym trie-key = bool list
axiomatization
  trie-empty :: 'v trie-row and
  trie-update :: trie-key  $\Rightarrow$  'v  $\Rightarrow$  'v trie-row  $\Rightarrow$  'v trie-row and
  trie-lookup :: 'v trie-row  $\Rightarrow$  trie-key  $\Rightarrow$  'v option and
  trie-keys :: 'v trie-row  $\Rightarrow$  trie-key set
where trie-lookup-empty: trie-lookup trie-empty = Map.empty
and trie-lookup-update:
  trie-lookup (trie-update k v t) = (trie-lookup t)(k  $\mapsto$  v)
and trie-keys-dom-lookup: trie-keys t = dom (trie-lookup t)
```

This is only a minimal example. A full-fledged implementation has to provide more operations and – for efficiency – should use more than just *booleans* for the keys.

4.4.2 Generalise the data structure

As $(\text{'k'}, \text{'v'})$ mapping store keys of arbitrary type 'k' , not just *trie-key*, we cannot use 'v trie-row directly. Instead, we must first convert arbitrary types 'k' into *trie-key*. Of course, this is not always possible, but we only have to make sure that we pick tries as implementation only if the types do. This is similar to red-black trees which require an order. Hence, we introduce a type class to convert arbitrary keys into trie keys. We make the conversions optional such that every type can instantiate the type class, just as LC does for *ceq* and *compare*.

```
type-synonym 'a cbl = (('a  $\Rightarrow$  bool list)  $\times$  (bool list  $\Rightarrow$  'a)) option
class cbl =
  fixes cbl :: 'a cbl
  assumes inj-to-bl: ID cbl = Some (to-bl, from-bl)  $\implies$  inj to-bl
  and to-bl-inverse: ID cbl = Some (to-bl, from-bl)  $\implies$  from-bl (to-bl a) =
  a
begin
abbreviation from-bl where from-bl  $\equiv$  snd (the (ID cbl))
```

abbreviation to-bl **where** to-bl \equiv fst (the (ID cbl))
end

It is best to immediately provide the instances for as many types as possible. Here, we only present two examples: *unit* provides conversion functions, '*a*' \Rightarrow '*b*' does not.

instantiation unit :: cbl **begin**
definition cbl = Some (λ -. [], λ -. ())
instance **by**(intro-classes)(auto simp add: cbl-unit-def ID-Some intro: injI)
end

instantiation fun :: (type, type) cbl **begin**
definition cbl = (None :: ('a \Rightarrow 'b) cbl)
instance **by** intro-classes(simp-all add: cbl-fun-def ID-None)
end

4.4.3 Hide the invariants of the data structure

Many data structures have invariants on which the operations rely. You must hide such invariants in a **typedef** before connecting to the container, because the code generator cannot handle explicit invariants. The type must be inhabited even if the types of the elements do not provide the required operations. The easiest way is often to ignore all invariants in that case. In our example, we require that all keys in the trie represent encoded values.

typedef (**overloaded**) ('k :: cbl, 'v) trie =
 {t :: 'v trie-raw.
 trie-keys t \subseteq range (to-bl :: 'k \Rightarrow trie-key) \vee ID (cbl :: 'k cbl) = None}
proof
show trie-empty \in ?trie
by(simp add: trie-keys-dom-lookup trie-lookup-empty)
qed

Next, transfer the operations to the new type. The transfer package does a good job here.

setup-lifting type-definition-trie — also sets up code generation

lift-definition empty :: ('k :: cbl, 'v) trie
is trie-empty
by(simp add: trie-keys-empty)

lift-definition lookup :: ('k :: cbl, 'v) trie \Rightarrow 'k \Rightarrow 'v option
is λ t. trie-lookup t o to-bl .

lift-definition `update :: 'k \Rightarrow 'v \Rightarrow ('k :: cbl, 'v) trie \Rightarrow ('k, 'v) trie`
is `trie-update \circ to-bl`
by `(auto simp add: trie-keys-dom-lookup trie-lookup-update)`

lift-definition `keys :: ('k :: cbl, 'v) trie \Rightarrow 'k set`
is `λ t. from-bl ' trie-keys t .`

And now we go for the properties. Note that some properties hold only if the type class operations are actually provided, i.e., `cbl \neq None` in our example.

lemma `lookup-empty: lookup empty = Map.empty`
by `transfer(simp add: trie-lookup-empty fun-eq-iff)`

context
fixes `t :: ('k :: cbl, 'v) trie`
assumes `ID-cbl: ID (cbl :: 'k cbl) \neq None`
begin

lemma `lookup-update: lookup (update k v t) = (lookup t)(k \mapsto v)`
using `ID-cbl`
by `transfer(auto simp add: trie-lookup-update fun-eq-iff dest: inj-to-bl[THEN injD])`

lemma `keys-conv-dom-lookup: keys t = dom (lookup t)`
using `ID-cbl`
by `transfer(force simp add: trie-keys-dom-lookup to-bl-inverse intro: rev-image-eqI)`

end

4.4.4 Connecting to the container

Connecting to the container (*'a, 'b mapping* in our example) takes three steps:

1. Define a new pseudo-constructor
2. Implement the container operations for the new type
3. Configure the heuristics to automatically pick an implementation
4. Test thoroughly

Thorough testing is particularly important, because Isabelle does not check whether you have implemented all your operations, whether you have configured your heuristics sensibly, nor whether your implementation always terminates.

Define a new pseudo-constructor

Define a function that returns the abstract container view for a data structure value, and declare it as a datatype constructor for code generation with **code-datatype**. Unfortunately, you have to repeat all existing pseudo-constructors, because there is no way to extract the current set of pseudo-constructors from the code generator. We call them pseudo-constructors, because they do not behave like datatype constructors in the logic. For example, ours are neither injective nor disjoint.

definition `Trie-Mapping` :: ($'k :: \text{cbl}$, $'v$) `trie` \Rightarrow ($'k$, $'v$) `mapping`
where `[simp, code del]:` `Trie-Mapping t = Mapping.Mapping (lookup t)`

code-datatype `Assoc-List-Mapping RBT-Mapping Mapping Trie-Mapping`

Implement the operations

Next, you have to prove and declare code equations that implement the container operations for the new implementation. Typically, these just dispatch to the operations on the type from §4.4.3. Some operations depend on the type class operations from §4.4.2 being defined; then, the code equation must check that the operations are indeed defined. If not, there is usually no way to implement the operation, so the code should raise an exception. Logically, we use the function `Code.abort` of type `String.literal \Rightarrow (unit \Rightarrow 'a) \Rightarrow 'a` with definition $\lambda\text{-}f. f()$, but the generated code raises an exception `Fail` with the given message (the unit closure avoids non-termination in strict languages). This function gets the exception message and the unit-closure of the equation's left-hand side as argument, because it is then trivial to prove equality.

Again, we only show a small set of operations; a realistic implementation should cover as many as possible.

context `fixes t :: ('k :: cbl, 'v) trie` **begin**

lemma `lookup-Trie-Mapping [code]:`

`Mapping.lookup (Trie-Mapping t) = lookup t`

— Lookup does not need the check on `cbl`, because we have defined the pseudo-constructor `Trie-Mapping` in terms of `lookup`

by `simp(transfer, simp)`

lemma `update-Trie-Mapping [code]:`

`Mapping.update k v (Trie-Mapping t) =`

`(case ID cbl :: 'k cbl of`

`None \Rightarrow Code.abort (STR "update Trie-Mapping: cbl = None") ($\lambda\text{-}$
Mapping.update k v (Trie-Mapping t))`

```

| Some - => Trie-Mapping (update k v t))
by(simp split: option.split add: lookup-update Mapping.update.abs-eq)

lemma keys-Trie-Mapping [code]:
  Mapping.keys (Trie-Mapping t) =
    (case ID cbl :: !k cbl of
      None => Code.abort (STR "keys Trie-Mapping: cbl = None") (λ-.
Mapping.keys (Trie-Mapping t))
      | Some - => keys t)
by(simp add: Mapping.keys.abs-eq keys-conv-dom-lookup split: option.split)

end

```

These equations do not replace the existing equations for the other constructors, but they do take precedence over them. If there is already a generic implementation for an operation *foo*, say *foo A = gen-foo A*, and you prove a specialised equation *foo (Trie-Mapping t) = trie-foo t*, then when you call *foo* on some *Trie-Mapping t*, your equation will kick in. LC exploits this sequentiality especially for binary operators on sets like (\cap) , where there are generic implementations and faster specialised ones.

Configure the heuristics

Finally, you should setup the heuristics that automatically picks a container implementation based on the types of the elements (§4.3.3).

The heuristics uses a type with a single value, e.g., *mapping-impl* with value *Mapping-IMPL*, but there is one pseudo-constructor for each container implementation in the generated code. All these pseudo-constructors are the same in the logic, but they are different in the generated code. Hence, the generated code can distinguish them, but we do not have to commit to anything in the logic. This allows to reconfigure and extend the heuristic at any time.

First, define and declare a new pseudo-constructor for the heuristics. Again, be sure to redeclare all previous pseudo-constructors.

```

definition mapping-Trie :: mapping-impl
where [simp]: mapping-Trie = Mapping-IMPL

```

code-datatype

```

mapping-Choose mapping-Assoc-List mapping-RBT mapping-Mapping map-
ping-Trie

```

Then, adjust the implementation of the automatic choice. For every initial value of the container (such as the empty map or the empty set), there is one new constant (e.g., *mapping-empty-choose* and *set-empty-choose*) equivalent

to it. Its code equation, however, checks the available operations from the type classes and picks an appropriate implementation.

For example, the following prefers red-black trees over tries, but tries over associative lists:

```
lemma mapping-empty-choose-code [code]:
  (mapping-empty-choose :: ('a :: {ccompare, cbl}, 'b) mapping) =
  (case ID CCOMPARE('a) of Some - => RBT-Mapping RBT-Mapping2.empty
   | None =>
    case ID (cbl :: 'a cbl) of Some - => Trie-Mapping empty
    | None => Assoc-List-Mapping DAList.empty)
by(auto split: option.split simp add: DAList.lookup-empty[abs-def] Mapping.empty-def
lookup-empty)
```

There is also a second function for every such initial value that dispatches on the pseudo-constructors for *mapping-impl*. This function is used to pick the right implementation for types that specify a preference.

```
lemma mapping-empty-code [code]:
  mapping-empty mapping-Trie = Trie-Mapping empty
by(simp add: lookup-empty Mapping.empty-def)
```

For (k, v) *mapping*, LC also has a function *mapping-impl-choose2* which is given two preferences and returns one (for *'a set*, it is called *set-impl-choose2*). Polymorphic type constructors like *'a + 'b* use it to pick an implementation based on the preferences of *'a* and *'b*. By default, it returns *mapping-Choose*, i.e., ignore the preferences. You should add a code equation like the following that overrides this choice if both preferences are your new data structure:

```
lemma mapping-impl-choose2-Trie [code]:
  mapping-impl-choose2 mapping-Trie mapping-Trie = mapping-Trie
by(simp add: mapping-Trie-def)
```

If your new data structure is better than the existing ones for some element type, you should reconfigure the type's preference. As all preferences are logically equal, you can prove (and declare) the appropriate code equation. For example, the following prefers tries for keys of type *unit*:

```
lemma mapping-impl-unit-Trie [code]:
  MAPPING-IMPL(unit) = Phantom(unit) mapping-Trie
by(simp add: mapping-impl-unit-def)
```

```
value Mapping.empty :: (unit, int) mapping
```

You can also use your new pseudo-constructor with *derive* in instantiations, just give its name as option:

```
derive (mapping-Trie) mapping-impl simple-tycon
```

4.5 Changing the configuration

As containers are connected to data structures only by refinement in the code generator, this can always be adapted later on. You can add new data structures as explained in §4.4. If you want to drop one, you redeclare the remaining pseudo-constructors with **code-datatype** and delete all code equations that pattern-match on the obsolete pseudo-constructors. The command **code-thms** will tell you which constants have such code equations. You can also freely adapt the heuristics for picking implementations as described in §4.4.4.

One thing, however, you cannot change afterwards, namely the decision whether an element type supports an operation and if so how it does, because this decision is visible in the logic.

4.6 New containers types

We hope that the above explanations and the examples with sets and maps suffice to show what you need to do if you add a new container type, e.g., priority queues. There are three steps:

1. **Introduce a type constructor for the container.**

Your new container type must not be a composite type, like $'a \Rightarrow 'b$ *option* for maps, because refinement for code generation only works with a single type constructor. Neither should you reuse a type constructor that is used already in other contexts, e.g., do not use $'a$ *list* to model queues.

Introduce a new type constructor if necessary (e.g., $('a, 'b)$ *mapping* for maps) – if your container type already has its own type constructor, everything is fine.

2. **Implement the data structures**

and connect them to the container type as described in §4.4.

3. **Define a heuristics for picking an implementation.**

See [5] for an explanation.

4.7 Troubleshooting

This section describes some difficulties in using LC that we have come across, provides some background for them, and discusses how to overcome them. If you experience other difficulties, please contact the author.

4.7.1 Nesting of mappings

Mappings can be arbitrarily nested on the value side, e.g., $(\text{'a}, (\text{'b}, \text{'c}) \text{ mapping}) \text{ mapping}$. However, $(\text{'a}, \text{'b}) \text{ mapping}$ cannot currently be the key of a mapping, i.e., code generation fails for $((\text{'a}, \text{'b}) \text{ mapping}, \text{'c}) \text{ mapping}$. Similarly, you cannot have a set of mappings like $(\text{'a}, \text{'b}) \text{ mapping set}$ at the moment. There are no issues to make this work, we have just not seen the need for it. If you need to generate code for such types, please get in touch with the author.

4.7.2 Wellsortedness errors

LC uses its own hierarchy of type classes which is distinct from Isabelle/HOL's. This ensures that every type can be made an instance of LC's type classes. Consequently, you must instantiate these classes for your own types. The following lists where you can find information about the classes and examples how to instantiate them:

type class	user guide	theory
<i>card-UNIV</i>	§4.3.5	<i>HOL-Library.Cardinality</i>
<i>cenum</i>	§4.3.4	<i>Containers.Collection-Enum</i>
<i>ceq</i>	§4.3.1	<i>Containers.Collection-Eq</i>
<i>compare</i>	§4.3.2	<i>Containers.Collection-Order</i>
<i>cproper-interval</i>	§4.3.5	<i>Containers.Collection-Order</i>
<i>finite-UNIV</i>	§4.3.5	<i>HOL-Library.Cardinality</i>
<i>mapping-impl</i>	§4.3.3	<i>Containers.Mapping-Impl</i>
<i>set-impl</i>	§4.3.3	<i>Containers.Set-Impl</i>

The type classes *card-UNIV* and *cproper-interval* are only required to implement the operations on set complements. If your code does not need complements, you can manually delete the code equations involving *Complement*, the theorem list *set-complement-code* collects them. It is also recommended that you remove the pseudo-constructor *Complement* from the code generator. Note that some set operations like $A - B$ and *UNIV* have no code equations any more.

```
declare set-complement-code[code del]
code-datatype Collect-set DList-set RBT-set Set-Monad
```

4.7.3 Exception raised at run-time

Not all combinations of data and container implementation are possible. For example, you cannot implement a set of functions with a RBT, because there is no order on $\text{'a} \Rightarrow \text{'b}$. If you try, the code will raise an exception **Fail** (with an exception message) or **Match**. They can occur in three cases:

1. You have misconfigured the heuristics that picks implementations (§4.3.3), or you have manually picked an implementation that requires an operation that the element type does not provide. Printing a stack trace for the exception may help you in locating the error.
2. You are trying to invoke an operation on a set complement which cannot be implemented on a complement representation, e.g., (\cdot) . If the element type is enumerable, provide an instance of *cenum* and choose to represent complements of sets of enumerable types by the elements rather than the elements of the complement (see §4.3.4 for how to do this).
3. You use set comprehensions on types which do not provide an enumeration (i.e., they are represented as closures) or you chose to represent a map as a closure.

A lot of operations are not implementable for closures, in particular those that return some element of the container

Inspect the code equations with **code-thms** and look for calls to *Collect-set* and *Mapping* which are LC's constructor for sets and maps as closures.

Note that the code generator preprocesses set comprehensions like $\{i < 4 \mid i. 2 < i\}$ to $(\lambda i. i < 4) \text{ ' } \{i. 2 < i\}$, so this is a set comprehension over *int* rather than *bool*.

4.7.4 LC slows down my code

Normally, this will not happen, because LC's data structures are more efficient than Isabelle's list-based implementations. However, in some rare cases, you can experience a slowdown:

1. **Your containers contain just a few elements.**

In that case, the overhead of the heuristics to pick an implementation outweighs the benefits of efficient implementations. You should identify the tiny containers and disable the heuristics locally. You do so by replacing the initial value like $\{\}$ and *Mapping.empty* with low-overhead constructors like *Set-Monad* and *Mapping*. For example, if *tiny-set-code*: *tiny-set* = $\{1, 2\}$ is your code equation with a tiny set, the following changes the code equation to directly use the list-based representation, i.e., disables the heuristics:

lemma empty-Set-Monad: $\{\} = \text{Set-Monad } []$ **by** simp

declare tiny-set-code[code del, unfolded empty-Set-Monad, code]

If you want to globally disable the heuristics, you can also declare an equation like *empty-Set-Monad* as [code].

2. The element type contains many type constructors and some type variables.

LC heavily relies on type classes, and type classes are implemented as dictionaries if the compiler cannot statically resolve them, i.e., if there are type variables. For type constructors with type variables (like $'a \times 'b$), LC's definitions of the type class parameters recursively calls itself on the type variables, i.e., $'a$ and $'b$. If the element type is polymorphic, the compiler cannot precompute these recursive calls and therefore they have to be constructed repeatedly at run time. If you wrap your complicated type in a new type constructor, you can define optimised equations for the type class parameters.

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