Light-Weight Containers

Andreas Lochbihler

April 19, 2024

Abstract

This development provides a framework for container types like sets and maps such that generated code implements these containers with different (efficient) data structures. Thanks to type classes and refinement during code generation, this light-weight approach can seamlessly replace Isabelle's default setup for code generation. Heuristics automatically pick one of the available data structures depending on the type of elements to be stored, but users can also choose on their own. The extensible design permits to add more implementations at any time.

To support arbitrary nesting of sets, we define a linear order on sets based on a linear order of the elements and provide efficient implementations. It even allows to compare complements with non-complements.

Contents

1 Introduction **7**

2 An executable linear order on sets **9**
2.1 Auxiliary definitions 9
2.2 Definitions to prove equations about the cardinality of data types 12
2.2.1 Specialised *range* constants 12
2.2.2 Cardinality primitives for polymorphic HOL types . . 15
2.3 Shortcut fusion for lists 16
2.3.1 The type of generators for finite lists 17
2.3.2 Generators for *'a list* 20
2.3.3 Destroying lists 28
2.4 List fusion for lexicographic order 31
2.4.1 Setup for list fusion 32
2.5 Every partial order can be extended to a total order 33
2.6 An executable linear order on sets 41
2.6.1 Definition of the linear order 41
2.6.2 Implementation based on sorted lists 53
2.6.3 Implementation of proper intervals for sets 61
2.6.4 Proper intervals for HOL types 86
2.6.5 List fusion for the order and proper intervals on *'a set* 89
2.6.6 Drop notation 94
2.6.7 Introduction 95

3 Light-weight containers **97**
3.1 A linear order for code generation 97
3.1.1 Optional comparators 97
3.1.2 Generator for the *ccompare*–class 98
3.1.3 Instantiations for HOL types 99
3.1.4 Proper intervals 100
3.2 Instantiate *proper-interval* of for *'a list* 105
3.3 A type class for optional equality testing 107
3.3.1 Generator for the *ceq*-class 108

3.3.2 Type class instances for HOL types 108
3.4 A type class for optional enumerations 111
3.4.1 Definition 111
3.4.2 Generator for the *cenum*-class 112
3.4.3 Instantiations 112
3.5 Locales to abstract over HOL equality 117
3.6 More on red-black trees 118
3.6.1 More lemmas 118
3.6.2 Build the cross product of two RBTs 118
3.6.3 Build an RBT where keys are paired with themselves 121
3.6.4 Folding and quantifiers over RBTs 122
3.6.5 List fusion for RBTs 123
3.7 Mappings implemented by red-black trees 125
3.7.1 Type definition 126
3.7.2 Operations 127
3.7.3 Properties 129
3.8 Additional operations for associative lists 133
3.8.1 Operations on the raw type 133
3.8.2 Operations on the abstract type $('a, 'b)$ *alist* 135
3.9 Sets implemented by distinct lists 137
3.9.1 Operations on the raw type with parametrised equality 137
3.9.2 The type of distinct lists 139
3.9.3 Operations 140
3.9.4 Properties 141
3.10 Sets implemented by red-black trees 146
3.10.1 Type and operations 148
3.10.2 Primitive operations 148
3.10.3 Properties 149
3.11 Sets implemented as Closures 154
3.12 Different implementations of sets 154
3.12.1 Auxiliary functions 154
3.12.2 Delete code equation with set as constructor 160
3.12.3 Set implementations 161
3.12.4 Set operations 162
3.12.5 Type class instantiations 198
3.12.6 Generator for the *set-impl*-class 199
3.12.7 Pretty printing for sets 201
3.13 Different implementations of maps 202
3.13.1 Map implementations 203
3.13.2 Map operations 203
3.13.3 Type classes 207
3.13.4 Generator for the *mapping-impl*-class 207
3.14 Infrastructure for operation identification 209
3.15 Compatibility with Regular-Sets 213

4 User guide **215**
4.1 Characteristics 215
4.2 Getting started 216
4.3 New types as elements 217
4.3.1 Equality testing 217
4.3.2 Ordering 219
4.3.3 Heuristics for picking an implementation 220
4.3.4 Set comprehensions 221
4.3.5 Nested sets 222
4.4 New implementations for containers 224
4.4.1 Model and verify the data structure 225
4.4.2 Generalise the data structure 225
4.4.3 Hide the invariants of the data structure 226
4.4.4 Connecting to the container 227
4.5 Changing the configuration 231
4.6 New containers types 231
4.7 Troubleshooting 231
4.7.1 Nesting of mappings 232
4.7.2 Wellsortedness errors 232
4.7.3 Exception raised at run-time 232
4.7.4 LC slows down my code 233

Chapter 1

Introduction

This development focuses on generating efficient code for container types like sets and maps. It falls into two parts: First, we define linear order on sets (Ch. 2) that is efficiently executable given a linear order on the elements. Second, we define an extensible framework LC (for light-weight containers) that supports multiple (efficient) implementations of container types (Ch. 3) in generated code. Both parts heavily exploit type classes and the refinement features of the code generator [2]. This way, we are able to implement the HOL types for sets and maps directly, as the name light-weight containers (LC) emphasises.

In comparison with the Isabelle Collections Framework (ICF) [4, 3], the style of refinement is the major difference. In the ICF, the container types are replaced with the types of the data structures inside the logic. Typically, the user has to define his operations that involve maps and sets a second time such that they work on the concrete data structures; then, she has to prove that both definitions agree. With LC, the refinement happens inside the code generator. Hence, the formalisation can stick with the types $'a$ *set* and $('a,'b)$ *mapping* and there is no need to duplicate definitions or prove refinement. The drawback is that with LC, we can only implement operations that can be fully specified on the abstract container type. In particular, the internal representation of the implementations may not affect the result of the operations. For example, it is not possible to pick non-deterministically an element from a set or fold a set with a non-commutative operation, i.e., the result depends on the order of visiting the elements.

For more documentation and introductory material refer to the userguide (Chapter 4) and the ITP-2013 paper [5].

theory *Containers-Auxiliary* **imports**
  HOL−Library.Monad-Syntax
begin

Chapter 2

An executable linear order on sets

2.1 Auxiliary definitions

lemma *insert-bind-set*: *insert a A* $\gg\!=$ *f* = *f a* $\cup$ (*A* $\gg\!=$ *f*)
by(*auto simp add*: *Set.bind-def*)

lemma *set-bind-iff*:
  set (*List.bind xs f*) = *Set.bind* (*set xs*) (*set* $\circ$ *f*)
by(*induct xs*)(*simp-all add*: *insert-bind-set*)

lemma *set-bind-conv-fold*: *set xs* $\gg\!=$ *f* = *fold* (($\cup$) $\circ$ *f*) *xs* {}
by(*induct xs rule*: *rev-induct*)(*simp-all add*: *insert-bind-set*)

lemma *card-gt-1D*:
  assumes *card A* > *1*
  shows $\exists$ *x y*. *x* $\in$ *A* $\wedge$ *y* $\in$ *A* $\wedge$ *x* $\neq$ *y*
proof(*rule ccontr*)
  from *assms* **have** *A* $\neq$ {} **by** *auto*
  then obtain *x* **where** *x* $\in$ *A* **by** *auto*
  moreover
  assume $\neg$ *?thesis*
  ultimately have *A* = {*x*} **by** *auto*
  with *assms* **show** *False* **by** *simp*
qed

lemma *card-eq-1-iff*: *card A* = *1* $\longleftrightarrow$ ($\exists$ *x*. *A* = {*x*})
proof
  assume *card*: *card A* = *1*
  hence [*simp*]: *finite A* **using** *card-gt-0-iff*[*of A*] **by** *simp*
  have *A* = {*THE x*. *x* $\in$ *A*}
  proof(*intro equalityI subsetI*)
    fix *x*
    assume *x*: *x* $\in$ *A*

```
    hence (THE x. x ∈ A) = x
    proof(rule the-equality)
      fix x'
      assume x': x' ∈ A
      show x' = x
      proof(rule ccontr)
        assume neq: x' ≠ x
        from x x' have eq: A = insert x (insert x' (A − {x, x'})) by auto
        have card A = 2 + card (A − {x, x'}) using neq by(subst eq)(simp)
        with card show False by simp
      qed
    qed
    thus x ∈ {THE x. x ∈ A} by simp
  next
    fix x
    assume x ∈ {THE x. x ∈ A}
    hence x: x = (THE x. x ∈ A) by simp
    from card have A ≠ {} by auto
    then obtain x' where x': x' ∈ A by blast
    thus x ∈ A unfolding x
    proof(rule theI)
      fix x
      assume x: x ∈ A
      show x = x'
      proof(rule ccontr)
        assume neq: x ≠ x'
        from x x' have eq: A = insert x (insert x' (A − {x, x'})) by auto
        have card A = 2 + card (A − {x, x'}) using neq by(subst eq)(simp)
        with card show False by simp
      qed
    qed
  qed
  thus ∃x. A = {x} ..
qed auto

lemma card-eq-Suc-0-ex1: card A = Suc 0 ⟷ (∃!x. x ∈ A)
by(auto simp only: One-nat-def[symmetric] card-eq-1-iff)

context linorder begin

lemma sorted-last: ⟦ sorted xs; x ∈ set xs ⟧ ⟹ x ≤ last xs
by(cases xs rule: rev-cases)(auto simp add: sorted-append)

end

lemma empty-filter-conv: [] = filter P xs ⟷ (∀x∈set xs. ¬ P x)
by(auto dest: sym simp add: filter-empty-conv)
```

definition $ID :: 'a \Rightarrow 'a$ **where** $ID = id$

lemma *ID-code* [*code*, *code-unfold*]: $ID = (\lambda x.\ x)$
by(*simp add*: *ID-def id-def*)

lemma *ID-Some*: $ID\ (Some\ x) = Some\ x$
by(*simp add*: *ID-def*)

lemma *ID-None*: $ID\ None = None$
by(*simp add*: *ID-def*)

lexicographic order on pairs

context
fixes $leq\text{-}a :: 'a \Rightarrow 'a \Rightarrow bool$ (**infix** $\sqsubseteq_a$ *50*)
and $less\text{-}a :: 'a \Rightarrow 'a \Rightarrow bool$ (**infix** $\sqsubset_a$ *50*)
and $leq\text{-}b :: 'b \Rightarrow 'b \Rightarrow bool$ (**infix** $\sqsubseteq_b$ *50*)
and $less\text{-}b :: 'b \Rightarrow 'b \Rightarrow bool$ (**infix** $\sqsubset_b$ *50*)
begin

definition $less\text{-}eq\text{-}prod :: ('a \times 'b) \Rightarrow ('a \times 'b) \Rightarrow bool$ (**infix** $\sqsubseteq$ *50*)
where $less\text{-}eq\text{-}prod = (\lambda(x1,\ x2)\ (y1,\ y2).\ x1 \sqsubset_a y1 \vee x1 \sqsubseteq_a y1 \wedge x2 \sqsubseteq_b y2)$

definition $less\text{-}prod :: ('a \times 'b) \Rightarrow ('a \times 'b) \Rightarrow bool$ (**infix** $\sqsubset$ *50*)
where $less\text{-}prod = (\lambda(x1,\ x2)\ (y1,\ y2).\ x1 \sqsubset_a y1 \vee x1 \sqsubseteq_a y1 \wedge x2 \sqsubset_b y2)$

lemma *less-eq-prod-simps* [*simp*]:
$(x1,\ x2) \sqsubseteq (y1,\ y2) \longleftrightarrow x1 \sqsubset_a y1 \vee x1 \sqsubseteq_a y1 \wedge x2 \sqsubseteq_b y2$
by(*simp add*: *less-eq-prod-def*)

lemma *less-prod-simps* [*simp*]:
$(x1,\ x2) \sqsubset (y1,\ y2) \longleftrightarrow x1 \sqsubset_a y1 \vee x1 \sqsubseteq_a y1 \wedge x2 \sqsubset_b y2$
by(*simp add*: *less-prod-def*)

end

context
fixes $leq\text{-}a :: 'a \Rightarrow 'a \Rightarrow bool$ (**infix** $\sqsubseteq_a$ *50*)
and $less\text{-}a :: 'a \Rightarrow 'a \Rightarrow bool$ (**infix** $\sqsubset_a$ *50*)
and $leq\text{-}b :: 'b \Rightarrow 'b \Rightarrow bool$ (**infix** $\sqsubseteq_b$ *50*)
and $less\text{-}b :: 'b \Rightarrow 'b \Rightarrow bool$ (**infix** $\sqsubset_b$ *50*)
assumes *lin-a*: *class.linorder leq-a less-a*
and *lin-b*: *class.linorder leq-b less-b*
begin

abbreviation (*input*) $less\text{-}eq\text{-}prod' :: ('a \times 'b) \Rightarrow ('a \times 'b) \Rightarrow bool$ (**infix** $\sqsubseteq$ *50*)
where $less\text{-}eq\text{-}prod' \equiv less\text{-}eq\text{-}prod\ leq\text{-}a\ less\text{-}a\ leq\text{-}b$

abbreviation (*input*) $less\text{-}prod' :: ('a \times 'b) \Rightarrow ('a \times 'b) \Rightarrow bool$ (**infix** $\sqsubset$ *50*)
where $less\text{-}prod' \equiv less\text{-}prod\ leq\text{-}a\ less\text{-}a\ less\text{-}b$

lemma *linorder-prod*:
  class.linorder $(\sqsubseteq)$ $(\sqsubset)$
proof −
  interpret *a*: *linorder* $(\sqsubseteq_a)$ $(\sqsubset_a)$ **by**(*fact lin-a*)
  interpret *b*: *linorder* $(\sqsubseteq_b)$ $(\sqsubset_b)$ **by**(*fact lin-b*)
  show *?thesis* **by** *unfold-locales auto*
qed

end

hide-const *less-eq-prod′ less-prod′*

end

theory *Card-Datatype*
imports *HOL−Library.Cardinality*
begin

2.2 Definitions to prove equations about the cardinality of data types

2.2.1 Specialised *range* constants

definition *rangeIt* :: $'a \Rightarrow ('a \Rightarrow 'a) \Rightarrow 'a$ *set*
where *rangeIt x f* = *range* $(\lambda n.\ (f\ \hat{\hat{}}\ n)\ x)$

definition *rangeC* :: $('a \Rightarrow 'b)$ *set* $\Rightarrow$ $'b$ *set*
where *rangeC F* = $(\bigcup f \in F.\ range\ f)$

lemma *infinite-rangeIt*:
  assumes *inj*: *inj f*
  and *x*: $\forall\, y.\ x \neq f\ y$
  shows $\neg$ *finite* (*rangeIt x f*)
proof −
  have *inj* $(\lambda n.\ (f\ \hat{\hat{}}\ n)\ x)$
  proof(*rule injI*)
    fix *n m*
    assume $(f\ \hat{\hat{}}\ n)\ x = (f\ \hat{\hat{}}\ m)\ x$
    thus $n = m$
    proof(*induct n arbitrary*: *m*)
      case *0*
      thus *?case* **using** *x* **by**(*cases m*)(*auto intro*: *sym*)
    next
      case (*Suc n*)
      thus *?case* **using** *x* **by**(*cases m*)(*auto intro*: *sym dest*: *injD*[*OF inj*])
    qed
  qed

```
  thus ?thesis
    by(auto simp add: rangeIt-def dest: finite-imageD)
qed

lemma in-rangeC: f ∈ A ⟹ f x ∈ rangeC A
by(auto simp add: rangeC-def)

lemma in-rangeCE: assumes y ∈ rangeC A
  obtains f x where f ∈ A     y = f x
using assms by(auto simp add: rangeC-def)

lemma in-rangeC-singleton: f x ∈ rangeC {f}
by(auto simp add: rangeC-def)

lemma in-rangeC-singleton-const: x ∈ rangeC {λ-. x}
by(rule in-rangeC-singleton)

lemma rangeC-rangeC: f ∈ rangeC A ⟹ f x ∈ rangeC (rangeC A)
by(auto simp add: rangeC-def)

lemma rangeC-eq-empty: rangeC A = {} ⟷ A = {}
by(auto simp add: rangeC-def)

lemma Ball-rangeC-iff:
  (∀ x ∈ rangeC A. P x) ⟷ (∀ f ∈ A. ∀ x. P (f x))
by(auto intro: in-rangeC elim: in-rangeCE)

lemma Ball-rangeC-singleton:
  (∀ x ∈ rangeC {f}. P x) ⟷ (∀ x. P (f x))
by(simp add: Ball-rangeC-iff)

lemma Ball-rangeC-rangeC:
  (∀ x ∈ rangeC (rangeC A). P x) ⟷ (∀ f ∈ rangeC A. ∀ x. P (f x))
by(simp add: Ball-rangeC-iff)

lemma finite-rangeC:
  assumes inj: ∀ f ∈ A. inj f
  and disjoint: ∀ f ∈ A. ∀ g ∈ A. f ≠ g ⟶ (∀ x y. f x ≠ g y)
  shows finite (rangeC (A :: ('a ⇒ 'b) set)) ⟷ finite A ∧ (A ≠ {} ⟶ finite
(UNIV :: 'a set))
  (is ?lhs ⟷ ?rhs)
proof
  assume ?lhs
  thus ?rhs using inj disjoint
  proof(induct rangeC A arbitrary: A rule: finite-psubset-induct)
    case (psubset A)
    show ?case
    proof(cases A = {})
      case True thus ?thesis by simp
```

```
    next
      case False
      then obtain f A' where A: A = insert f A' and f: f ∈ A    f ∉ A'
        by(fastforce dest: mk-disjoint-insert)
      from A have rA: rangeC A = rangeC A' ∪ range f
        by(auto simp add: rangeC-def)

      have ¬ range f ⊆ rangeC A'
      proof
        assume range f ⊆ rangeC A'
        moreover obtain x where x: x ∈ range f by auto
        ultimately have x ∈ rangeC A' by auto
       then obtain g where g ∈ A'    x ∈ range g by(auto simp add: rangeC-def)
        with ‹f ∉ A'› A have g ∈ A    f ≠ g by auto
        with ‹f ∈ A› have ⋀x y. f x ≠ g y by(rule psubset.prems[rule-format])
        thus False using x ‹x ∈ range g› by auto
      qed
      hence rangeC A' ⊂ rangeC A unfolding rA by auto
      hence finite A' ∧ (A' ≠ {} ⟶ finite (UNIV :: 'a set))
        using psubset.prems
        by −(erule psubset.hyps, auto simp add: A)
      with A have finite A by simp
      moreover with ‹finite (rangeC A)› A ‹∀f ∈ A. inj f›
      have finite (UNIV :: 'a set)
        by(auto simp add: rangeC-def dest: finite-imageD)
      ultimately show ?thesis by blast
    qed
  qed
qed(auto simp add: rangeC-def)

lemma finite-rangeC-singleton-const:
  finite (rangeC {λ-. x})
by(auto simp add: rangeC-def image-def)

lemma card-Un:
  ⟦ finite A; finite B ⟧ ⟹ card (A ∪ B) = card (A) + card (B) − card(A ∩ B)
by(subst card-Un-Int) simp-all

lemma card-rangeC-singleton-const:
  card (rangeC {λ-. f}) = 1
by(simp add: rangeC-def image-def)

lemma card-rangeC:
  assumes inj: ∀f ∈ A. inj f
  and disjoint: ∀f ∈ A. ∀g ∈ A. f ≠ g ⟶ (∀x y. f x ≠ g y)
  shows card (rangeC (A :: ('a ⇒ 'b) set)) = CARD('a) ∗ card A
  (is ?lhs = ?rhs)
proof(cases finite (UNIV :: 'a set) ∧ finite A)
  case False
```

```
  thus ?thesis using False finite-rangeC[OF assms]
    by(auto simp add: card-eq-0-iff rangeC-eq-empty)
next
  case True
  { fix f
    assume f ∈ A
    hence card (range f) = CARD('a) using inj by(simp add: card-image) }
  thus ?thesis using disjoint True unfolding rangeC-def
    by(subst card-UN-disjoint) auto
qed

lemma rangeC-Int-rangeC:
  ⟦ ∀f ∈ A. ∀g ∈ B. ∀x y. f x ≠ g y ⟧ ⟹ rangeC A ∩ rangeC B = {}
by(auto simp add: rangeC-def)

lemmas rangeC-simps =
  in-rangeC-singleton
  in-rangeC-singleton-const
  rangeC-rangeC
  rangeC-eq-empty
  Ball-rangeC-singleton
  Ball-rangeC-rangeC
  finite-rangeC
  finite-rangeC-singleton-const
  card-rangeC-singleton-const
  card-rangeC
  rangeC-Int-rangeC

bundle card-datatype =
  rangeC-simps [simp]
  card-Un [simp]
  fun-eq-iff [simp]
  Int-Un-distrib [simp]
  Int-Un-distrib2 [simp]
  card-eq-0-iff [simp]
  imageI [simp] image-eqI [simp del]
  conj-cong [cong]
  infinite-rangeIt [simp]
```

2.2.2 Cardinality primitives for polymorphic HOL types

```
ML ‹
structure Card-Simp-Rules = Named-Thms
(
  val name = @{binding card-simps}
  val description = Simplification rules for cardinality of types
)
›
setup ‹Card-Simp-Rules.setup›
```

definition *card-fun* :: *nat* ⇒ *nat* ⇒ *nat*
where *card-fun a b* = (*if a* ≠ *0* ∧ *b* ≠ *0* ∨ *b* = *1 then b* ^ *a else 0*)

lemma *CARD-fun* [*card-simps*]:
CARD('*a* ⇒ '*b*) = *card-fun CARD*('*a*) *CARD*('*b*)
by(*simp add*: *card-fun card-fun-def*)

definition *card-sum* :: *nat* ⇒ *nat* ⇒ *nat*
where *card-sum a b* = (*if a* = *0* ∨ *b* = *0 then 0 else a* + *b*)

lemma *CARD-sum* [*card-simps*]:
CARD('*a* + '*b*) = *card-sum CARD*('*a*) *CARD*('*b*)
by(*simp add*: *card-UNIV-sum card-sum-def*)

definition *card-option* :: *nat* ⇒ *nat*
where *card-option n* = (*if n* = *0 then 0 else Suc n*)

lemma *CARD-option* [*card-simps*]:
CARD('*a option*) = *card-option CARD*('*a*)
by(*simp add*: *card-option-def card-UNIV-option*)

definition *card-prod* :: *nat* ⇒ *nat* ⇒ *nat*
where *card-prod a b* = *a* ∗ *b*

lemma *CARD-prod* [*card-simps*]:
CARD('*a* ∗ '*b*) = *card-prod CARD*('*a*) *CARD*('*b*)
by(*simp add*: *card-prod-def*)

definition *card-list* :: *nat* ⇒ *nat*
where *card-list* - = *0*

lemma *CARD-list* [*card-simps*]: *CARD*('*a list*) = *card-list CARD*('*a*)
by(*simp add*: *card-list-def infinite-UNIV-listI*)

end

theory *List-Fusion*
imports
Main
begin

2.3 Shortcut fusion for lists

lemma *Option-map-mono* [*partial-function-mono*]:
mono-option f ⟹ *mono-option* (λ*x*. *map-option g* (*f x*))
apply(*rule monotoneI*)
apply(*drule* (*1*) *monotoneD*)

apply(*auto simp add*: *map-option-case flat-ord-def split*: *option.split*)
done

lemma *list-all2-coinduct* [*consumes 1*, *case-names Nil Cons*, *case-conclusion Cons hd tl*, *coinduct pred*: *list-all2*]:
  assumes *X*: $X\ xs\ ys$
  and *Nil'*: $\bigwedge xs\ ys.\ X\ xs\ ys \Longrightarrow xs = [] \longleftrightarrow ys = []$
  and *Cons'*: $\bigwedge xs\ ys.\ [\![\ X\ xs\ ys;\ xs \neq []; ys \neq []\ ]\!] \Longrightarrow A\ (hd\ xs)\ (hd\ ys) \wedge (X\ (tl\ xs)\ (tl\ ys) \vee list\text{-}all2\ A\ (tl\ xs)\ (tl\ ys))$
  shows $list\text{-}all2\ A\ xs\ ys$
using *X*
proof(*induction xs arbitrary*: *ys*)
  case *Nil*
  from *Nil'*[*OF this*] **show** *?case* **by** *simp*
next
  case (*Cons x xs*)
  from *Nil'*[*OF Cons.prems*] *Cons'*[*OF Cons.prems*] *Cons.IH*
  show *?case* **by**(*auto simp add*: *neq-Nil-conv*)
qed

2.3.1 The type of generators for finite lists

type-synonym $('a, 's)$ *raw-generator* $= ('s \Rightarrow bool) \times ('s \Rightarrow 'a \times 's)$

inductive-set *terminates-on* $:: ('a, 's)$ *raw-generator* $\Rightarrow 's$ *set*
  for $g :: ('a, 's)$ *raw-generator*
where
  stop: $\neg\ fst\ g\ s \Longrightarrow s \in terminates\text{-}on\ g$
| *unfold*: $[\![\ fst\ g\ s;\ snd\ (snd\ g\ s) \in terminates\text{-}on\ g\ ]\!] \Longrightarrow s \in terminates\text{-}on\ g$

definition *terminates* $:: ('a, 's)$ *raw-generator* $\Rightarrow bool$
where $terminates\ g \longleftrightarrow (terminates\text{-}on\ g = UNIV)$

lemma *terminatesI* [*intro?*]:
  $(\bigwedge s.\ s \in terminates\text{-}on\ g) \Longrightarrow terminates\ g$
by(*auto simp add*: *terminates-def*)

lemma *terminatesD*:
  $terminates\ g \Longrightarrow s \in terminates\text{-}on\ g$
by(*auto simp add*: *terminates-def*)

lemma *terminates-on-stop*:
  $terminates\text{-}on\ (\lambda\text{-}.\ False,\ next) = UNIV$
by(*auto intro*: *terminates-on.stop*)

lemma *wf-terminates*:
  assumes $wf\ R$
  and *step*: $\bigwedge s.\ fst\ g\ s \Longrightarrow (snd\ (snd\ g\ s),\ s) \in R$
  shows $terminates\ g$

```
proof(rule terminatesI)
  fix s
  from ‹wf R› show s ∈ terminates-on g
  proof(induction rule: wf-induct[rule-format, consumes 1, case-names wf])
    case (wf s)
    show ?case
    proof(cases fst g s)
      case True
      hence (snd (snd g s), s) ∈ R by(rule step)
      hence snd (snd g s) ∈ terminates-on g by(rule wf.IH)
      with True show ?thesis by(rule terminates-on.unfold)
    qed(rule terminates-on.stop)
  qed
qed

lemma terminates-wfD:
  assumes terminates g
  shows wf {(snd (snd g s), s) | s . fst g s}
proof(rule wfUNIVI)
  fix thesis s
  assume wf [rule-format]: ∀ s. (∀ s'. (s', s) ∈ {(snd (snd g s), s) |s. fst g s} ⟶
thesis s') ⟶ thesis s
  from assms have s ∈ terminates-on g by(auto simp add: terminates-def)
  thus thesis s by induct (auto intro: wf)
qed

lemma terminates-wfE:
  assumes terminates g
  obtains R where wf R    ⋀s. fst g s ⟹ (snd (snd g s), s) ∈ R
by(rule that)(rule terminates-wfD[OF assms], simp)

context fixes g :: ('a, 's) raw-generator begin

partial-function (option) terminates-within :: 's ⇒ nat option
where
  terminates-within s =
   (let (has-next, next) = g
    in if has-next s then
          map-option (λn. n + 1) (terminates-within (snd (next s)))
       else Some 0)

lemma terminates-on-conv-dom-terminates-within:
  terminates-on g = dom terminates-within
proof(safe)
  fix s
  assume s ∈ terminates-on g
  then show ∃ n. terminates-within s = Some n
    by(induct)(subst terminates-within.simps, simp add: split-beta)+
next
```

fix *s n*
assume *terminates-within s* = *Some n*
then show *s* ∈ *terminates-on g*
by(*induct rule*: *terminates-within.raw-induct*[*rotated 1*, *consumes 1*])(*auto simp add*: *split-beta split*: *if-split-asm intro*: *terminates-on.intros*)
qed

end

lemma *terminates-within-unfold*:
has-next s ⟹
terminates-within (*has-next*, *next*) *s* = *map-option* (λ*n*. *n* + *1*) (*terminates-within* (*has-next*, *next*) (*snd* (*next s*)))
by(*simp add*: *terminates-within.simps*)

typedef ('*a*, '*s*) *generator* = {*g* :: ('*a*, '*s*) *raw-generator*. *terminates g*}
morphisms *generator Generator*
proof
show (λ-. *False*, *undefined*) ∈ *?generator*
by(*simp add*: *terminates-on-stop terminates-def*)
qed

setup-lifting *type-definition-generator*

lemma *terminates-on-generator-eq-UNIV*:
terminates-on (*generator g*) = *UNIV*
by *transfer*(*simp add*: *terminates-def*)

lemma *terminates-within-stop*:
terminates-within (λ-. *False*, *next*) *s* = *Some 0*
by(*simp add*: *terminates-within.simps*)

lemma *terminates-within-generator-neq-None*:
terminates-within (*generator g*) *s* ≠ *None*
by(*transfer*)(*auto simp add*: *terminates-def terminates-on-conv-dom-terminates-within*)

locale *list* =
fixes *g* :: ('*a*, '*s*) *generator* **begin**

definition *has-next* :: '*s* ⇒ *bool*
where *has-next* = *fst* (*generator g*)

definition *next* :: '*s* ⇒ '*a* × '*s*
where *next* = *snd* (*generator g*)

function *unfoldr* :: '*s* ⇒ '*a list*
where *unfoldr s* = (*if has-next s then let* (*a*, *s*′) = *next s in a* # *unfoldr s*′ *else* [])
by *pat-completeness auto*
termination

proof −
  have *terminates* (*generator g*) **using** *generator*[*of g*] **by** *simp*
  thus *?thesis*
  by(*rule terminates-wfE*)(*erule termination*, *metis has-next-def next-def snd-conv*)
qed

declare *unfoldr.simps* [*simp del*]

lemma *unfoldr-simps*:
  has-next s $\Longrightarrow$ *unfoldr s* = *fst* (*next s*) # *unfoldr* (*snd* (*next s*))
  ¬ *has-next s* $\Longrightarrow$ *unfoldr s* = []
by(*simp-all add*: *unfoldr.simps split-beta*)

end

declare
  list.has-next-def[*code*]
  list.next-def[*code*]
  list.unfoldr.simps[*code*]

context includes *lifting-syntax*
begin

lemma *generator-has-next-transfer* [*transfer-rule*]:
  (*pcr-generator* (=) (=) ===> (=)) *fst list.has-next*
by(*auto simp add*: *generator.pcr-cr-eq cr-generator-def list.has-next-def dest*: *sym*)

lemma *generator-next-transfer* [*transfer-rule*]:
  (*pcr-generator* (=) (=) ===> (=)) *snd list.next*
by(*auto simp add*: *generator.pcr-cr-eq cr-generator-def list.next-def*)

end

lemma *unfoldr-eq-Nil-iff* [*iff*]:
  list.unfoldr g s = [] $\longleftrightarrow$ ¬ *list.has-next g s*
by(*subst list.unfoldr.simps*)(*simp add*: *split-beta*)

lemma *Nil-eq-unfoldr-iff* [*simp*]:
  [] = *list.unfoldr g s* $\longleftrightarrow$ ¬ *list.has-next g s*
by(*auto intro*: *sym dest*: *sym*)

2.3.2 Generators for *'a list*

primrec *list-has-next* :: *'a list* ⇒ *bool*
where
  list-has-next [] $\longleftrightarrow$ *False*
| *list-has-next* (*x* # *xs*) $\longleftrightarrow$ *True*

primrec *list-next* :: *'a list* ⇒ *'a* × *'a list*

where
list-next $(x \# xs) = (x, xs)$

lemma *terminates-list-generator*: *terminates* (*list-has-next*, *list-next*)
proof
fix *xs*
show $xs \in$ *terminates-on* (*list-has-next*, *list-next*)
by(*induct xs*)(*auto intro*: *terminates-on.intros*)
qed

lift-definition *list-generator* :: ('*a*, '*a list*) *generator*
is (*list-has-next*, *list-next*)
by(*rule terminates-list-generator*)

lemma *has-next-list-generator* [*simp*]:
list.has-next list-generator = *list-has-next*
by *transfer simp*

lemma *next-list-generator* [*simp*]:
list.next list-generator = *list-next*
by *transfer simp*

lemma *unfoldr-list-generator*:
list.unfoldr list-generator xs = *xs*
by(*induct xs*)(*simp-all add*: *list.unfoldr-simps*)

lemma *terminates-replicate-generator*:
terminates (λn :: *nat*. $0 < n$, λn. $(a, n - 1)$)
by(*rule wf-terminates*)(*lexicographic-order*)

lift-definition *replicate-generator* :: '*a* $\Rightarrow$ ('*a*, *nat*) *generator*
is λa. (λn. $0 < n$, λn. $(a, n - 1)$)
by(*rule terminates-replicate-generator*)

lemma *has-next-replicate-generator* [*simp*]:
list.has-next (*replicate-generator a*) $n \longleftrightarrow 0 < n$
by *transfer simp*

lemma *next-replicate-generator* [*simp*]:
list.next (*replicate-generator a*) $n = (a, n - 1)$
by *transfer simp*

lemma *unfoldr-replicate-generator*:
list.unfoldr (*replicate-generator a*) n = *replicate n a*
by(*induct n*)(*simp-all add*: *list.unfoldr-simps*)

context fixes f :: '*a* $\Rightarrow$ '*b* **begin**

lift-definition *map-generator* :: ('*a*, '*s*) *generator* $\Rightarrow$ ('*b*, '*s*) *generator*

```
  is λ(has-next, next). (has-next, λs. let (a, s') = next s in (f a, s'))
by(erule terminates-wfE)(erule wf-terminates, auto simp add: split-beta)

lemma has-next-map-generator [simp]:
  list.has-next (map-generator g) = list.has-next g
by transfer clarsimp

lemma next-map-generator [simp]:
  list.next (map-generator g) = apfst f ∘ list.next g
by transfer(simp add: fun-eq-iff split-beta apfst-def map-prod-def)

lemma unfoldr-map-generator:
  list.unfoldr (map-generator g) = map f ∘ list.unfoldr g
  (is ?lhs = ?rhs)
proof(rule ext)
  fix s
  show ?lhs s = ?rhs s
    by(induct s taking: map-generator g rule: list.unfoldr.induct)
     (subst (1 2) list.unfoldr.simps, auto simp add: split-beta apfst-def map-prod-def)
qed

end

context fixes g1 :: ('a, 's1) raw-generator
  and g2 :: ('a, 's2) raw-generator
begin

fun append-has-next :: 's1 × 's2 + 's2 ⇒ bool
where
  append-has-next (Inl (s1, s2)) ⟷ fst g1 s1 ∨ fst g2 s2
| append-has-next (Inr s2) ⟷ fst g2 s2

fun append-next :: 's1 × 's2 + 's2 ⇒ 'a × ('s1 × 's2 + 's2)
where
  append-next (Inl (s1, s2)) =
  (if fst g1 s1 then
     let (x, s1') = snd g1 s1 in (x, Inl (s1', s2))
   else append-next (Inr s2))
| append-next (Inr s2) = (let (x, s2') = snd g2 s2 in (x, Inr s2'))

end

lift-definition append-generator :: ('a, 's1) generator ⇒ ('a, 's2) generator ⇒
('a, 's1 × 's2 + 's2) generator
  is λg1 g2. (append-has-next g1 g2, append-next g1 g2)
proof(rule terminatesI, safe)
  fix has-next1 and next1 :: 's1 ⇒ 'a × 's1
    and has-next2 and next2 :: 's2 ⇒ 'a × 's2
    and s
```

```
  assume t1: terminates (has-next1, next1)
    and t2: terminates (has-next2, next2)
  let ?has-next = append-has-next (has-next1, next1) (has-next2, next2)
  let ?next = append-next (has-next1, next1) (has-next2, next2)
  note [simp] = split-beta
    and [intro] = terminates-on.intros
  { fix s2 :: 's2
    from t2 have s2 ∈ terminates-on (has-next2, next2) by(rule terminatesD)
    hence Inr s2 ∈ terminates-on (?has-next, ?next) by induct auto }
  note Inr' = this

  show s ∈ terminates-on (?has-next, ?next)
  proof(cases s)
    case Inr thus ?thesis by(simp add: Inr')
  next
    case (Inl s1s2)
    moreover obtain s1 s2 where s1s2 = (s1, s2) by(cases s1s2)
    ultimately have s: s = Inl (s1, s2) by simp
    from t1 have s1 ∈ terminates-on (has-next1, next1) by(rule terminatesD)
    thus ?thesis unfolding s
    proof induct
      case stop thus ?case
        by(cases has-next2 s2)(auto simp add: Inr')
    qed auto
  qed
qed

definition append-init :: 's1 ⇒ 's2 ⇒ 's1 × 's2 + 's2
where append-init s1 s2 = Inl (s1, s2)

lemma has-next-append-generator [simp]:
  list.has-next (append-generator g1 g2) (Inl (s1, s2)) ⟷
   list.has-next g1 s1 ∨ list.has-next g2 s2
  list.has-next (append-generator g1 g2) (Inr s2) ⟷ list.has-next g2 s2
by(transfer, simp)+

lemma next-append-generator [simp]:
  list.next (append-generator g1 g2) (Inl (s1, s2)) =
  (if list.has-next g1 s1 then
     let (x, s1') = list.next g1 s1 in (x, Inl (s1', s2))
   else list.next (append-generator g1 g2) (Inr s2))
  list.next (append-generator g1 g2) (Inr s2) = apsnd Inr (list.next g2 s2)
by(transfer, simp add: apsnd-def map-prod-def)+

lemma unfoldr-append-generator-Inr:
  list.unfoldr (append-generator g1 g2) (Inr s2) = list.unfoldr g2 s2
by(induct s2 taking: g2 rule: list.unfoldr.induct)(subst (1 2) list.unfoldr.simps,
auto split: prod.splits)
```

lemma *unfoldr-append-generator-Inl*:
list.unfoldr (*append-generator g1 g2*) (*Inl* (*s1*, *s2*)) =
list.unfoldr g1 s1 @ *list.unfoldr g2 s2*
apply(*induct s1 taking*: *g1 rule*: *list.unfoldr.induct*)
apply(*subst* (*1 2 3*) *list.unfoldr.simps*)
apply(*auto split*: *prod.splits simp add*: *apsnd-def map-prod-def unfoldr-append-generator-Inr*)
apply(*simp add*: *list.unfoldr-simps*)
done

lemma *unfoldr-append-generator*:
list.unfoldr (*append-generator g1 g2*) (*append-init s1 s2*) =
list.unfoldr g1 s1 @ *list.unfoldr g2 s2*
by(*simp add*: *unfoldr-append-generator-Inl append-init-def*)

lift-definition *zip-generator* :: ('*a*, '*s1*) *generator* ⇒ ('*b*, '*s2*) *generator* ⇒ ('*a* × '*b*, '*s1* × '*s2*) *generator*
is λ(*has-next1*, *next1*) (*has-next2*, *next2*).
(λ(*s1*, *s2*). *has-next1 s1* ∧ *has-next2 s2*,
λ(*s1*, *s2*). *let* (*x*, *s1'*) = *next1 s1*; (*y*, *s2'*) = *next2 s2*
in ((*x*, *y*), (*s1'*, *s2'*)))
proof(*rule terminatesI*, *safe*)
fix *has-next1 next1 has-next2 next2 s1 s2*
assume *t1*: *terminates* (*has-next1*, *next1*)
and *t2*: *terminates* (*has-next2*, *next2*)
have *s1* ∈ *terminates-on* (*has-next1*, *next1*) *s2* ∈ *terminates-on* (*has-next2*, *next2*)
using *t1 t2* **by**(*simp-all add*: *terminatesD*)
thus (*s1*, *s2*) ∈ *terminates-on* (λ(*s1*, *s2*). *has-next1 s1* ∧ *has-next2 s2*, λ(*s1*, *s2*). *let* (*x*, *s1'*) = *next1 s1*; (*y*, *s2'*) = *next2 s2 in* ((*x*, *y*), (*s1'*, *s2'*)))
by(*induct arbitrary*: *s2*)(*auto 4 3 elim*: *terminates-on.cases intro*: *terminates-on.intros simp add*: *split-beta Let-def*)
qed

abbreviation (*input*) *zip-init* :: '*s1* ⇒ '*s2* ⇒ '*s1* × '*s2*
where *zip-init* ≡ *Pair*

lemma *has-next-zip-generator* [*simp*]:
list.has-next (*zip-generator g1 g2*) (*s1*, *s2*) ⟷
list.has-next g1 s1 ∧ *list.has-next g2 s2*
by *transfer clarsimp*

lemma *next-zip-generator* [*simp*]:
list.next (*zip-generator g1 g2*) (*s1*, *s2*) =
((*fst* (*list.next g1 s1*), *fst* (*list.next g2 s2*)),
(*snd* (*list.next g1 s1*), *snd* (*list.next g2 s2*)))
by *transfer*(*simp add*: *split-beta*)

lemma *unfoldr-zip-generator*:

list.unfoldr (*zip-generator g1 g2*) (*zip-init s1 s2*) =
zip (*list.unfoldr g1 s1*) (*list.unfoldr g2 s2*)
by(*induct* (*s1*, *s2*) *arbitrary*: *s1 s2 taking*: *zip-generator g1 g2 rule*: *list.unfoldr.induct*)
(*subst* (*1 2 3*) *list.unfoldr.simps*, *auto 9 2 simp add*: *split-beta*)

context fixes *bound* :: *nat* **begin**

lift-definition *upt-generator* :: (*nat*, *nat*) *generator*
is ($\lambda n.\ n < bound$, $\lambda n.\ (n, Suc\ n)$)
by(*rule wf-terminates*)(*relation measure* ($\lambda n.\ bound - n$), *auto*)

lemma *has-next-upt-generator* [*simp*]:
list.has-next upt-generator $n \longleftrightarrow n < bound$
by *transfer simp*

lemma *next-upt-generator* [*simp*]:
list.next upt-generator $n = (n, Suc\ n)$
by *transfer simp*

lemma *unfoldr-upt-generator*:
list.unfoldr upt-generator $n = [n..<bound]$
by(*induct bound* − *n arbitrary*: *n*)(*simp-all add*: *list.unfoldr-simps upt-conv-Cons*)

end

context fixes *bound* :: *int* **begin**

lift-definition *upto-generator* :: (*int*, *int*) *generator*
is ($\lambda n.\ n \leq bound$, $\lambda n.\ (n, n + 1)$)
by(*rule wf-terminates*)(*relation measure* ($\lambda n.\ nat\ (bound + 1 - n)$), *auto*)

lemma *has-next-upto-generator* [*simp*]:
list.has-next upto-generator $n \longleftrightarrow n \leq bound$
by *transfer simp*

lemma *next-upto-generator* [*simp*]:
list.next upto-generator $n = (n, n + 1)$
by *transfer simp*

lemma *unfoldr-upto-generator*:
list.unfoldr upto-generator $n = [n..bound]$
by(*induct n taking*: *upto-generator rule*: *list.unfoldr.induct*)(*subst list.unfoldr.simps*, *subst upto.simps*, *auto*)

end

context
fixes P :: $'a \Rightarrow bool$
begin

context
  fixes g :: $('a, 's)$ *raw-generator*
begin

inductive *filter-has-next* :: $'s \Rightarrow$ *bool*
where
  $[\![$ *fst g s*; *P* (*fst* (*snd g s*)) $]\!] \Longrightarrow$ *filter-has-next s*
| $[\![$ *fst g s*; $\neg$ *P* (*fst* (*snd g s*)); *filter-has-next* (*snd* (*snd g s*)) $]\!] \Longrightarrow$ *filter-has-next s*

partial-function (*tailrec*) *filter-next* :: $'s \Rightarrow 'a \times 's$
where
  filter-next s = (*let* (x, s') = *snd g s in if P x then* (x, s') *else filter-next* s')

end

lift-definition *filter-generator* :: $('a, 's)$ *generator* $\Rightarrow$ $('a, 's)$ *generator*
  is λg. (*filter-has-next g*, *filter-next g*)
proof(*rule wf-terminates*)
  fix g :: $('a, 's)$ *raw-generator* **and** s
  let *?R* = {(*snd* (*snd g s*), *s*) | *s*. *fst g s*}
  let *?g* = (*filter-has-next g*, *filter-next g*)
  assume *terminates g*
  thus *wf* ($?R^+$) **by**(*rule terminates-wfD*[*THEN wf-trancl*])
  assume *fst ?g s*
  hence *filter-has-next g s* **by** *simp*
  thus (*snd* (*snd ?g s*), *s*) $\in$ $?R^+$
   by *induct*(*subst filter-next.simps*, *auto simp add*: *split-beta filter-next.simps split del*: *if-split intro*: *trancl-into-trancl*)
qed

lemma *has-next-filter-generator*:
  list.has-next (*filter-generator g*) *s* $\longleftrightarrow$
  list.has-next g s $\wedge$ (*let* (x, s') = *list.next g s in if P x then True else list.has-next* (*filter-generator g*) s')
apply(*transfer*)
apply *simp*
apply(*subst filter-has-next.simps*)
apply *auto*
done

lemma *next-filter-generator*:
   list.next (*filter-generator g*) *s* =
   (*let* (x, s') = *list.next g s*
    in if P x then (x, s') *else list.next* (*filter-generator g*) s')
apply *transfer*
apply *simp*
apply(*subst filter-next.simps*)
apply(*simp cong*: *if-cong*)

done

lemma *has-next-filter-generator-induct* [*consumes 1*, *case-names find step*]:
  assumes *list.has-next* (*filter-generator g*) *s*
  and *find*: $\bigwedge s.$ ⟦ *list.has-next g s*; *P* (*fst* (*list.next g s*)) ⟧ $\Longrightarrow$ *Q s*
  and *step*: $\bigwedge s.$ ⟦ *list.has-next g s*; ¬ *P* (*fst* (*list.next g s*)); *Q* (*snd* (*list.next g s*)) ⟧ $\Longrightarrow$ *Q s*
  shows *Q s*
using *assms* **by** *transfer*(*auto elim*: *filter-has-next.induct*)

lemma *filter-generator-empty-conv*:
  list.has-next (*filter-generator g*) *s* $\longleftrightarrow$ (∃ *x*∈*set* (*list.unfoldr g s*). *P x*) (**is** *?lhs* $\longleftrightarrow$ *?rhs*)
proof
  assume *?lhs*
  thus *?rhs*
  proof(*induct rule*: *has-next-filter-generator-induct*)
    case (*find s*)
    thus *?case*
      by(*cases list.next g s*)(*subst list.unfoldr.simps*, *auto*)
  next
    case (*step s*)
    thus *?case*
      by(*cases list.next g s*)(*subst list.unfoldr.simps*, *auto*)
  qed
next
  assume *?rhs*
  then obtain *x* **where** *x* ∈ *set* (*list.unfoldr g s*) *P x* **by** *blast*
  thus *?lhs*
  proof(*induct xs*≡*list.unfoldr g s arbitrary*: *s*)
    case *Nil* **thus** *?case* **by**(*simp del*: *Nil-eq-unfoldr-iff*)
  next
    case (*Cons x′ xs*)
    from ‹*x′* # *xs* = *list.unfoldr g s*›[*symmetric*, *simp*]
     have [*simp*]: *fst* (*list.next g s*) = *x′* ∧ *list.has-next g s* ∧ *list.unfoldr g* (*snd* (*list.next g s*)) = *xs*
      by(*subst* (*asm*) *list.unfoldr.simps*)(*simp add*: *split-beta split*: *if-split-asm*)
   from *Cons.hyps*(*1*)[*of snd* (*list.next g s*)] ‹*x* ∈ *set* (*list.unfoldr g s*)› ‹*P x*› **show** *?case*
      by(*subst has-next-filter-generator*)(*auto simp add*: *split-beta*)
  qed
qed

lemma *unfoldr-filter-generator*:
  list.unfoldr (*filter-generator g*) *s* = *filter P* (*list.unfoldr g s*)
unfolding *list-all2-eq*
proof(*coinduction arbitrary*: *s*)
  case *Nil*
  thus *?case* **by**(*simp add*: *filter-empty-conv filter-generator-empty-conv*)

```
next
  case (Cons s)
  hence list.has-next (filter-generator g) s by simp
  thus ?case
  proof(induction rule: has-next-filter-generator-induct)
    case (find s)
    thus ?case
      apply(subst (1 2 3 5) list.unfoldr.simps)
      apply(subst (1 2) has-next-filter-generator)
      apply(subst next-filter-generator)
      apply(simp add: split-beta)
      apply(rule disjI1 exI conjI refl)+
      apply(subst next-filter-generator)
      apply(simp add: split-beta)
      done
  next
    case (step s)
    from step.hyps
     have list.unfoldr (filter-generator g) s = list.unfoldr (filter-generator g) (snd
(list.next g s))
      apply(subst (1 2) list.unfoldr.simps)
      apply(subst has-next-filter-generator)
      apply(subst next-filter-generator)
      apply(auto simp add: split-beta)
      done
    moreover from step.hyps
    have filter P (list.unfoldr g (snd (list.next g s))) = filter P (list.unfoldr g s)
      by(subst (2) list.unfoldr.simps)(auto simp add: split-beta)
    ultimately show ?case using step.IH by simp
  qed
qed

end
```

2.3.3 Destroying lists

```
definition hd-fusion :: ('a, 's) generator ⇒ 's ⇒ 'a
where hd-fusion g s = hd (list.unfoldr g s)

lemma hd-fusion-code [code]:
  hd-fusion g s = (if list.has-next g s then fst (list.next g s) else undefined)
unfolding hd-fusion-def
by(subst list.unfoldr.simps)(simp add: hd-def split-beta)

declare hd-fusion-def [symmetric, code-unfold]

definition fold-fusion :: ('a, 's) generator ⇒ ('a ⇒ 'b ⇒ 'b) ⇒ 's ⇒ 'b ⇒ 'b
where fold-fusion g f s = fold f (list.unfoldr g s)
```

lemma *fold-fusion-code* [*code*]:
fold-fusion g f s b =
(*if list.has-next g s then*
let (*x*, *s'*) = *list.next g s*
in fold-fusion g f s' (*f x b*)
else b)
unfolding *fold-fusion-def*
by(*subst list.unfoldr.simps*)(*simp add*: *split-beta*)

declare *fold-fusion-def*[*symmetric*, *code-unfold*]

definition *gen-length-fusion* :: (*'a*, *'s*) *generator* ⇒ *nat* ⇒ *'s* ⇒ *nat*
where *gen-length-fusion g n s* = *n* + *length* (*list.unfoldr g s*)

lemma *gen-length-fusion-code* [*code*]:
gen-length-fusion g n s =
(*if list.has-next g s then gen-length-fusion g* (*Suc n*) (*snd* (*list.next g s*)) *else n*)
unfolding *gen-length-fusion-def*
by(*subst list.unfoldr.simps*)(*simp add*: *split-beta*)

definition *length-fusion* :: (*'a*, *'s*) *generator* ⇒ *'s* ⇒ *nat*
where *length-fusion g s* = *length* (*list.unfoldr g s*)

lemma *length-fusion-code* [*code*]:
length-fusion g = *gen-length-fusion g 0*
by(*simp add*: *fun-eq-iff length-fusion-def gen-length-fusion-def*)

declare *length-fusion-def*[*symmetric*, *code-unfold*]

definition *map-fusion* :: (*'a* ⇒ *'b*) ⇒ (*'a*, *'s*) *generator* ⇒ *'s* ⇒ *'b list*
where *map-fusion f g s* = *map f* (*list.unfoldr g s*)

lemma *map-fusion-code* [*code*]:
map-fusion f g s =
(*if list.has-next g s then*
let (*x*, *s'*) = *list.next g s*
in f x # *map-fusion f g s'*
else [])
unfolding *map-fusion-def*
by(*subst list.unfoldr.simps*)(*simp add*: *split-beta*)

declare *map-fusion-def*[*symmetric*, *code-unfold*]

definition *append-fusion* :: (*'a*, *'s1*) *generator* ⇒ (*'a*, *'s2*) *generator* ⇒ *'s1* ⇒ *'s2*
⇒ *'a list*
where *append-fusion g1 g2 s1 s2* = *list.unfoldr g1 s1* @ *list.unfoldr g2 s2*

lemma *append-fusion* [*code*]:
append-fusion g1 g2 s1 s2 =

```
  (if list.has-next g1 s1 then
     let (x, s1') = list.next g1 s1
     in x # append-fusion g1 g2 s1' s2
   else list.unfoldr g2 s2)
unfolding append-fusion-def
by(subst list.unfoldr.simps)(simp add: split-beta)

declare append-fusion-def[symmetric, code-unfold]

definition zip-fusion :: ('a, 's1) generator ⇒ ('b, 's2) generator ⇒ 's1 ⇒ 's2 ⇒
('a × 'b) list
where zip-fusion g1 g2 s1 s2 = zip (list.unfoldr g1 s1) (list.unfoldr g2 s2)

lemma zip-fusion-code [code]:
  zip-fusion g1 g2 s1 s2 =
  (if list.has-next g1 s1 ∧ list.has-next g2 s2 then
     let (x, s1') = list.next g1 s1;
         (y, s2') = list.next g2 s2
     in (x, y) # zip-fusion g1 g2 s1' s2'
   else [])
unfolding zip-fusion-def
by(subst (1 2) list.unfoldr.simps)(simp add: split-beta)

declare zip-fusion-def[symmetric, code-unfold]

definition list-all-fusion :: ('a, 's) generator ⇒ ('a ⇒ bool) ⇒ 's ⇒ bool
where list-all-fusion g P s = List.list-all P (list.unfoldr g s)

lemma list-all-fusion-code [code]:
  list-all-fusion g P s ⟷
  (list.has-next g s ⟶
   (let (x, s') = list.next g s
    in P x ∧ list-all-fusion g P s'))
unfolding list-all-fusion-def
by(subst list.unfoldr.simps)(simp add: split-beta)

declare list-all-fusion-def[symmetric, code-unfold]

definition list-all2-fusion :: ('a ⇒ 'b ⇒ bool) ⇒ ('a, 's1) generator ⇒ ('b, 's2)
generator ⇒ 's1 ⇒ 's2 ⇒ bool
where
  list-all2-fusion P g1 g2 s1 s2 =
   list-all2 P (list.unfoldr g1 s1) (list.unfoldr g2 s2)

lemma list-all2-fusion-code [code]:
  list-all2-fusion P g1 g2 s1 s2 =
  (if list.has-next g1 s1 then
     list.has-next g2 s2 ∧
     (let (x, s1') = list.next g1 s1;
```

```
        (y, s2') = list.next g2 s2
      in P x y ∧ list-all2-fusion P g1 g2 s1' s2')
   else ¬ list.has-next g2 s2)
unfolding list-all2-fusion-def
by(subst (1 2) list.unfoldr.simps)(simp add: split-beta)

declare list-all2-fusion-def[symmetric, code-unfold]

definition singleton-list-fusion :: ('a, 'state) generator ⇒ 'state ⇒ bool
where singleton-list-fusion gen state = (case list.unfoldr gen state of [-] ⇒ True |
- ⇒ False)

lemma singleton-list-fusion-code [code]:
  singleton-list-fusion g s ⟷
  list.has-next g s ∧ ¬ list.has-next g (snd (list.next g s))
by(auto 4 5 simp add: singleton-list-fusion-def split: list.split if-split-asm prod.splits
elim: list.unfoldr.elims dest: sym)

end


theory Lexicographic-Order imports
  List-Fusion
  HOL−Library.Char-ord
begin

hide-const (open) List.lexordp
```

2.4 List fusion for lexicographic order

```
context linorder begin

lemma lexordp-take-index-conv:
  lexordp xs ys ⟷
   (length xs < length ys ∧ take (length xs) ys = xs) ∨
   (∃ i < min (length xs) (length ys). take i xs = take i ys ∧ xs ! i < ys ! i)
  (is ?lhs = ?rhs)
proof
  assume ?lhs thus ?rhs
    by induct (auto 4 3 del: disjCI intro: disjI2 exI[where x=Suc i for i])
next
  assume ?rhs (is ?prefix ∨ ?less) thus ?lhs
  proof
    assume ?prefix
    hence ys = xs @ hd (drop (length xs) ys) # tl (drop (length xs) ys)
      by (metis append-Nil2 append-take-drop-id less-not-refl list.collapse)
    thus ?thesis unfolding lexordp-iff by blast
  next
    assume ?less
```

then obtain *i* **where** $i < min\ (length\ xs)\ (length\ ys)$
and $take\ i\ xs = take\ i\ ys$ **and** nth: $xs\ !\ i < ys\ !\ i$ **by** *blast*
hence $xs = take\ i\ xs\ @\ xs\ !\ i\ \#\ drop\ (Suc\ i)\ xs \quad ys = take\ i\ xs\ @\ ys\ !\ i\ \#\ drop\ (Suc\ i)\ ys$
by −(*subst append-take-drop-id*[*symmetric*, *of - i*], *simp-all add*: *Cons-nth-drop-Suc*)
with *nth* **show** *?thesis* **unfolding** *lexordp-iff* **by** *blast*
qed
qed

— lexord is extension of partial ordering List.lex
lemma *lexordp-lex*: $(xs,\ ys) \in lex\ \{(xs,\ ys).\ xs < ys\} \longleftrightarrow lexordp\ xs\ ys \wedge length\ xs = length\ ys$
proof(*induct xs arbitrary*: *ys*)
case *Nil* **thus** *?case* **by** *clarsimp*
next
case *Cons* **thus** *?case* **by**(*cases ys*)(*simp-all*, *safe*, *simp*)
qed

end

2.4.1 Setup for list fusion

context *ord* **begin**

definition *lexord-fusion* :: $('a,\ 's1)\ generator \Rightarrow ('a,\ 's2)\ generator \Rightarrow 's1 \Rightarrow 's2 \Rightarrow bool$
where [*code del*]: $lexord\text{-}fusion\ g1\ g2\ s1\ s2 = lexordp\ (list.unfoldr\ g1\ s1)\ (list.unfoldr\ g2\ s2)$

definition *lexord-eq-fusion* :: $('a,\ 's1)\ generator \Rightarrow ('a,\ 's2)\ generator \Rightarrow 's1 \Rightarrow 's2 \Rightarrow bool$
where [*code del*]: $lexord\text{-}eq\text{-}fusion\ g1\ g2\ s1\ s2 = lexordp\text{-}eq\ (list.unfoldr\ g1\ s1)\ (list.unfoldr\ g2\ s2)$

lemma *lexord-fusion-code*:
$lexord\text{-}fusion\ g1\ g2\ s1\ s2 \longleftrightarrow$
$(if\ list.has\text{-}next\ g1\ s1\ then$
$if\ list.has\text{-}next\ g2\ s2\ then$
$let\ (x,\ s1') = list.next\ g1\ s1;$
$(y,\ s2') = list.next\ g2\ s2$
$in\ x < y \vee \neg\ y < x \wedge lexord\text{-}fusion\ g1\ g2\ s1'\ s2'$
$else\ False$
$else\ list.has\text{-}next\ g2\ s2)$
unfolding *lexord-fusion-def*
by(*subst* (*1 2*) *list.unfoldr.simps*)(*auto split*: *prod.split-asm*)

lemma *lexord-eq-fusion-code*:
$lexord\text{-}eq\text{-}fusion\ g1\ g2\ s1\ s2 \longleftrightarrow$
$(list.has\text{-}next\ g1\ s1 \longrightarrow$

list.has-next g2 s2 ∧
(*let* (*x*, *s1'*) = *list.next g1 s1*;
(*y*, *s2'*) = *list.next g2 s2*
in $x < y \vee \neg\, y < x \wedge$ *lexord-eq-fusion g1 g2 s1' s2'*))
unfolding *lexord-eq-fusion-def*
by(*subst* (*1 2*) *list.unfoldr.simps*)(*auto split*: *prod.split-asm*)

end

lemmas [*code*] =
lexord-fusion-code ord.lexord-fusion-code
lexord-eq-fusion-code ord.lexord-eq-fusion-code

lemmas [*symmetric*, *code-unfold*] =
lexord-fusion-def ord.lexord-fusion-def
lexord-eq-fusion-def ord.lexord-eq-fusion-def

end

theory *Extend-Partial-Order*
imports *Main*
begin

2.5 Every partial order can be extended to a total order

lemma *ChainsD*: ⟦ $x \in C$; $C \in$ *Chains r*; $y \in C$ ⟧ ⟹ $(x, y) \in r \vee (y, x) \in r$
by(*simp add*: *Chains-def*)

lemma *Chains-Field*: ⟦ $C \in$ *Chains r*; $x \in C$ ⟧ ⟹ $x \in$ *Field r*
by(*auto simp add*: *Chains-def Field-def*)

lemma *total-onD*:
⟦ *total-on A r*; $x \in A$; $y \in A$ ⟧ ⟹ $(x, y) \in r \vee x = y \vee (y, x) \in r$
unfolding *total-on-def* **by** *blast*

lemma *linear-order-imp-linorder*: *linear-order* {(*A*, *B*). *leq A B*} ⟹ *class.linorder leq* (λ*x y*. *leq x y* ∧ ¬ *leq y x*)
by(*unfold-locales*)(*auto 4 4 simp add*: *linear-order-on-def partial-order-on-def preorder-on-def dest*: *refl-onD antisymD transD total-onD*)

lemma (**in** *linorder*) *linear-order*: *linear-order* {(*A*, *B*). $A \leq B$}
by(*auto simp add*: *linear-order-on-def partial-order-on-def preorder-on-def total-on-def intro*: *refl-onI antisymI transI*)

definition *order-consistent* :: ('*a* × '*a*) *set* ⇒ ('*a* × '*a*) *set* ⇒ *bool*

where *order-consistent r s* $\longleftrightarrow$ $(\forall\, a\ a'.\ (a,\ a') \in r \longrightarrow (a',\ a) \in s \longrightarrow a = a')$

lemma *order-consistent-sym*:
  order-consistent r s $\Longrightarrow$ *order-consistent s r*
by(*auto simp add*: *order-consistent-def*)

lemma *antisym-order-consistent-self*:
  antisym r $\Longrightarrow$ *order-consistent r r*
by(*auto simp add*: *order-consistent-def dest*: *antisymD*)

lemma *refl-on-trancl*:
  assumes *refl-on A r*
  shows *refl-on A* (r^+)
proof(*rule refl-onI*, *safe del*: *conjI*)
  fix *a b*
  assume $(a,\ b) \in r$^+
  thus $a \in A \wedge b \in A$
    by *induct*(*blast intro*: *refl-onD1*[*OF assms*] *refl-onD2*[*OF assms*])+
qed(*blast dest*: *refl-onD*[*OF assms*])

lemma *total-on-refl-on-consistent-into*:
  assumes *r*: *total-on A r* *refl-on A r*
  and *consist*: *order-consistent r s*
  and *x*: $x \in A$ **and** *y*: $y \in A$ **and** *s*: $(x,\ y) \in s$
  shows $(x,\ y) \in r$
proof(*cases* $x = y$)
  case *False*
  with *r x y* **have** $(x,\ y) \in r \vee (y,\ x) \in r$ **unfolding** *total-on-def* **by** *blast*
  thus *?thesis*
  proof
    assume $(y,\ x) \in r$
    with *s consist* **have** $x = y$ **unfolding** *order-consistent-def* **by** *blast*
    with *False* **show** *?thesis* **by** *contradiction*
  qed
qed(*blast intro*: *refl-onD r x y*)

lemma *porder-linorder-tranclpE* [*consumes 5*, *case-names base step*]:
  assumes *r*: *partial-order-on A r*
  and *s*: *linear-order-on B s*
  and *consist*: *order-consistent r s*
  and *B-subset-A*: $B \subseteq A$
  and *trancl*: $(x,\ y) \in (r \cup s)$^+
  obtains $(x,\ y) \in r$
      | *u v* **where** $(x,\ u) \in r$ $(u,\ v) \in s$ $(v,\ y) \in r$
proof(*atomize-elim*)
  from *r* **have** *refl-on A r* *trans r* **by**(*simp-all add*: *partial-order-on-def pre-order-on-def*)
  from *s* **have** *refl-on B s* *total-on B s* *trans s*

```
    by(simp-all add: partial-order-on-def preorder-on-def linear-order-on-def)

  from trancl show (x, y) ∈ r ∨ (∃ u v. (x, u) ∈ r ∧ (u, v) ∈ s ∧ (v, y) ∈ r)
  proof(induction)
    case (base y)
    thus ?case
    proof
      assume (x, y) ∈ s
      with ‹refl-on B s› have x ∈ B    y ∈ B
        by(blast dest: refl-onD1 refl-onD2)+
      with B-subset-A have x ∈ A    y ∈ A by blast+
      hence (x, x) ∈ r    (y, y) ∈ r
        using ‹refl-on A r› by(blast intro: refl-onD)+
      with ‹(x, y) ∈ s› show ?thesis by blast
    qed(simp)
  next
    case (step y z)
    from ‹(y, z) ∈ r ∪ s› show ?case
    proof
      assume (y, z) ∈ s
      with ‹refl-on B s› have y ∈ B    z ∈ B
        by(blast dest: refl-onD2 refl-onD1)+
      from step.IH show ?thesis
      proof
        assume (x, y) ∈ r
        moreover from ‹z ∈ B› B-subset-A ‹refl-on A r›
        have (z, z) ∈ r by(blast dest: refl-onD)
        ultimately show ?thesis using ‹(y, z) ∈ s› by blast
      next
        assume ∃ u v. (x, u) ∈ r ∧ (u, v) ∈ s ∧ (v, y) ∈ r
        then obtain u v where (x, u) ∈ r    (u, v) ∈ s    (v, y) ∈ r by blast
        from ‹refl-on B s› ‹(u, v) ∈ s› have v ∈ B by(rule refl-onD2)
        with ‹total-on B s› ‹refl-on B s› order-consistent-sym[OF consist]
        have (v, y) ∈ s using ‹y ∈ B› ‹(v, y) ∈ r›
          by(rule total-on-refl-on-consistent-into)
        with ‹trans s› have (v, z) ∈ s using ‹(y, z) ∈ s› by(rule transD)
        with ‹trans s› ‹(u, v) ∈ s› have (u, z) ∈ s by(rule transD)
        moreover from ‹z ∈ B› B-subset-A have z ∈ A ..
        with ‹refl-on A r› have (z, z) ∈ r by(rule refl-onD)
        ultimately show ?thesis using ‹(x, u) ∈ r› by blast
      qed
    next
      assume (y, z) ∈ r
      with step.IH show ?thesis
        by(blast intro: transD[OF ‹trans r›])
    qed
  qed
qed
```

```
lemma porder-on-consistent-linorder-on-trancl-antisym:
  assumes r: partial-order-on A r
  and s: linear-order-on B s
  and consist: order-consistent r s
  and B-subset-A: B ⊆ A
  shows antisym ((r ∪ s)^+)
proof(rule antisymI)
  fix x y
  let ?rs = (r ∪ s)^+
  assume (x, y) ∈ ?rs    (y, x) ∈ ?rs
   from r have antisym r    trans r by(simp-all add: partial-order-on-def pre-
order-on-def)
  from s have total-on B s    refl-on B s    trans s    antisym s
    by(simp-all add: partial-order-on-def preorder-on-def linear-order-on-def)

  from r s consist B-subset-A ‹(x, y) ∈ ?rs›
  show x = y
  proof(cases rule: porder-linorder-tranclpE)
    case base
    from r s consist B-subset-A ‹(y, x) ∈ ?rs›
    show ?thesis
    proof(cases rule: porder-linorder-tranclpE)
      case base
      with ‹antisym r› ‹(x, y) ∈ r› show ?thesis by(rule antisymD)
    next
      case (step u v)
      from ‹(v, x) ∈ r› ‹(x, y) ∈ r› ‹(y, u) ∈ r› have (v, u) ∈ r
        by(blast intro: transD[OF ‹trans r›])
      with consist have v = u using ‹(u, v) ∈ s›
        by(simp add: order-consistent-def)
      with ‹(y, u) ∈ r› ‹(v, x) ∈ r› have (y, x) ∈ r
        by(blast intro: transD[OF ‹trans r›])
      with ‹antisym r› ‹(x, y) ∈ r› show ?thesis by(rule antisymD)
    qed
  next
    case (step u v)
    from r s consist B-subset-A ‹(y, x) ∈ ?rs›
    show ?thesis
    proof(cases rule: porder-linorder-tranclpE)
      case base
      from ‹(v, y) ∈ r› ‹(y, x) ∈ r› ‹(x, u) ∈ r› have (v, u) ∈ r
        by(blast intro: transD[OF ‹trans r›])
      with consist ‹(u, v) ∈ s›
      have u = v by(auto simp add: order-consistent-def)
      with ‹(v, y) ∈ r› ‹(x, u) ∈ r› have (x, y) ∈ r
        by(blast intro: transD[OF ‹trans r›])
      with ‹antisym r› show ?thesis using ‹(y, x) ∈ r› by(rule antisymD)
    next
      case (step u' v')
```

```
      note r-into-s = total-on-refl-on-consistent-into[OF ‹total-on B s› ‹refl-on B
s› order-consistent-sym[OF consist]]
      from ‹refl-on B s› ‹(u, v) ∈ s› ‹(u′, v′) ∈ s›
      have u ∈ B    v ∈ B    u′ ∈ B    v′ ∈ B by(blast dest: refl-onD1 refl-onD2)+
      from ‹trans r› ‹(v′, x) ∈ r› ‹(x, u) ∈ r› have (v′, u) ∈ r by(rule transD)
      with ‹v′ ∈ B› ‹u ∈ B› have (v′, u) ∈ s by(rule r-into-s)
      also note ‹(u, v) ∈ s› also (transD[OF ‹trans s›])
      from ‹trans r› ‹(v, y) ∈ r› ‹(y, u′) ∈ r› have (v, u′) ∈ r by(rule transD)
      with ‹v ∈ B› ‹u′ ∈ B› have (v, u′) ∈ s by(rule r-into-s)
      finally (transD[OF ‹trans s›])
      have v′ = u′ using ‹(u′, v′) ∈ s› by(rule antisymD[OF ‹antisym s›])
      moreover with ‹(v, u′) ∈ s› ‹(v′, u) ∈ s› have (v, u) ∈ s
        by(blast intro: transD[OF ‹trans s›])
      with ‹antisym s› ‹(u, v) ∈ s› have u = v by(rule antisymD)
      ultimately have (x, y) ∈ r    (y, x) ∈ r
        using ‹(x, u) ∈ r› ‹(v, y) ∈ r› ‹(y, u′) ∈ r› ‹(v′, x) ∈ r›
        by(blast intro: transD[OF ‹trans r›])+
      with ‹antisym r› show ?thesis by(rule antisymD)
    qed
  qed
qed

lemma porder-on-linorder-on-tranclp-porder-onI:
  assumes r: partial-order-on A r
  and s: linear-order-on B s
  and consist: order-consistent r s
  and subset: B ⊆ A
  shows partial-order-on A ((r ∪ s)^+)
  unfolding partial-order-on-def preorder-on-def
proof(intro conjI)
  let ?rs = r ∪ s
  from r have refl-on A r by(simp add: partial-order-on-def preorder-on-def)
  moreover from s have refl-on B s
    by(simp add: linear-order-on-def partial-order-on-def preorder-on-def)
  ultimately have refl-on (A ∪ B) ?rs by(rule refl-on-Un)
  also from subset have A ∪ B = A by blast
  finally show refl-on A (?rs^+) by(rule refl-on-trancl)

  show trans (?rs^+) by(rule trans-trancl)

  from r s consist subset show antisym (?rs^+)
    by(rule porder-on-consistent-linorder-on-trancl-antisym)
qed

lemma porder-extend-to-linorder:
  assumes r: partial-order-on A r
  obtains s where linear-order-on A s    order-consistent r s
proof(atomize-elim)
  define S where S = {s. partial-order-on A s ∧ r ⊆ s}
```

```
from r have r-in-S: r ∈ S unfolding S-def by auto

have ∃ y∈S. ∀ x∈S. y ⊆ x ⟶ x = y
proof(rule Zorn-Lemma2[rule-format])
  fix c
  assume c ∈ chains S
  hence c ⊆ S by(rule chainsD2)

  show ∃ y∈S. ∀ x∈c. x ⊆ y
  proof(cases c = {})
    case True
    with r-in-S show ?thesis by blast
  next
    case False
    then obtain s where s ∈ c by blast
    hence s: partial-order-on A s
      and r-in-s: r ⊆ s
      using ‹c ⊆ S› unfolding S-def by blast+

    have partial-order-on A (⋃ c)
      unfolding partial-order-on-def preorder-on-def
    proof(intro conjI)
      show refl-on A (⋃ c)
      proof(rule refl-onI[OF subsetI])
        fix x
        assume x ∈ ⋃ c
        then obtain X where X ∈ c and x ∈ X by blast
        from ‹X ∈ c› ‹c ⊆ S› have X ∈ S ..
        hence partial-order-on A X unfolding S-def by simp
        with ‹x ∈ X› show x ∈ A × A
            by(cases x)(auto simp add: partial-order-on-def preorder-on-def dest:
refl-onD1 refl-onD2)
      next
        fix x
        assume x ∈ A
        with s have (x, x) ∈ s unfolding partial-order-on-def preorder-on-def
          by(blast dest: refl-onD)
        with ‹s ∈ c› show (x, x) ∈ ⋃ c by(rule UnionI)
      qed

      show antisym (⋃ c)
      proof(rule antisymI)
        fix x y
        assume (x, y) ∈ ⋃ c    (y, x) ∈ ⋃ c
        then obtain X Y where X ∈ c    Y ∈ c    (x, y) ∈ X    (y, x) ∈ Y by
blast
        from ‹X ∈ c› ‹Y ∈ c› ‹c ⊆ S› have antisym X    antisym Y
          unfolding S-def by(auto simp add: partial-order-on-def)
        moreover from ‹c ∈ chains S› ‹X ∈ c› ‹Y ∈ c›
```

```
        have X ⊆ Y ∨ Y ⊆ X by(rule chainsD)
        ultimately show x = y using ‹(x, y) ∈ X› ‹(y, x) ∈ Y›
          by(auto dest: antisymD)
      qed

      show trans (⋃ c)
      proof(rule transI)
        fix x y z
        assume (x, y) ∈ ⋃ c    (y, z) ∈ ⋃ c
        then obtain X Y where X ∈ c    Y ∈ c    (x, y) ∈ X    (y, z) ∈ Y by
blast
        from ‹X ∈ c› ‹Y ∈ c› ‹c ⊆ S› have trans X    trans Y
         unfolding S-def by(auto simp add: partial-order-on-def preorder-on-def)
        from ‹c ∈ chains S› ‹X ∈ c› ‹Y ∈ c›
        have X ⊆ Y ∨ Y ⊆ X by(rule chainsD)
        thus (x, z) ∈ ⋃ c
        proof
          assume X ⊆ Y
          with ‹trans Y› ‹(x, y) ∈ X› ‹(y, z) ∈ Y›
          have (x, z) ∈ Y by(blast dest: transD)
          with ‹Y ∈ c› show ?thesis by(rule UnionI)
        next
          assume Y ⊆ X
          with ‹trans X› ‹(x, y) ∈ X› ‹(y, z) ∈ Y›
          have (x, z) ∈ X by(blast dest: transD)
          with ‹X ∈ c› show ?thesis by(rule UnionI)
        qed
      qed
    qed
    moreover
    have r ⊆ ⋃ c using r-in-s ‹s ∈ c› by blast
    ultimately have ⋃ c ∈ S unfolding S-def by simp
    thus ?thesis by blast
  qed
qed
then obtain s where s ∈ S and y-max: ⋀t. ⟦ t ∈ S; s ⊆ t ⟧ ⟹ s = t by blast

have partial-order-on A s using ‹s ∈ S›
  unfolding S-def by simp
moreover
have r-in-s: r ⊆ s using ‹s ∈ S› unfolding S-def by blast

have total-on A s
  unfolding total-on-def
proof(intro strip)
  fix x y
  assume x ∈ A    y ∈ A    x ≠ y
  show (x, y) ∈ s ∨ (y, x) ∈ s
  proof(rule ccontr)
```

```
      assume ¬ ?thesis
      hence xy: (x, y) ∉ s    (y, x) ∉ s by simp-all

      define s' where s' = {(a, b). a = x ∧ (b = y ∨ b = x) ∨ a = y ∧ b = y}
      let ?s' = (s ∪ s')^+
      note ‹partial-order-on A s›
      moreover have linear-order-on {x, y} s' unfolding s'-def
        by(auto simp add: linear-order-on-def partial-order-on-def preorder-on-def
total-on-def intro: refl-onI transI antisymI)
      moreover have order-consistent s s'
        unfolding s'-def using xy unfolding order-consistent-def by blast
      moreover have {x, y} ⊆ A using ‹x ∈ A› ‹y ∈ A› by blast
      ultimately have partial-order-on A ?s'
        by(rule porder-on-linorder-on-tranclp-porder-onI)
      moreover have r ⊆ ?s' using r-in-s by auto
      ultimately have ?s' ∈ S unfolding S-def by simp
      moreover have s ⊆ ?s' by auto
      ultimately have s = ?s' by(rule y-max)
      moreover have (x, y) ∈ ?s' by(auto simp add: s'-def)
      ultimately show False using ‹(x, y) ∉ s› by simp
    qed
  qed
  ultimately have linear-order-on A s by(simp add: linear-order-on-def)
  moreover have order-consistent r s unfolding order-consistent-def
  proof(intro strip)
    fix a a'
    assume (a, a') ∈ r    (a', a) ∈ s
    from ‹(a, a') ∈ r› have (a, a') ∈ s using r-in-s by blast
    with ‹partial-order-on A s› ‹(a', a) ∈ s›
    show a = a' unfolding partial-order-on-def by(blast dest: antisymD)
  qed
  ultimately show ∃s. linear-order-on A s ∧ order-consistent r s by blast
qed

end


theory Set-Linorder
imports
  Containers-Auxiliary
  Lexicographic-Order
  Extend-Partial-Order
  HOL−Library.Cardinality
begin
```

2.6 An executable linear order on sets

2.6.1 Definition of the linear order

Extending finite and cofinite sets

Partition sets into finite and cofinite sets and distribute the rest arbitrarily such that complement switches between the two.

consts *infinite-complement-partition* :: *'a set set*

specification (*infinite-complement-partition*)
finite-complement-partition: *finite* (*A* :: *'a set*) $\Longrightarrow$ *A* $\in$ *infinite-complement-partition*
complement-partition: $\neg$ *finite* (*UNIV* :: *'a set*)
$\Longrightarrow$ (*A* :: *'a set*) $\in$ *infinite-complement-partition* $\longleftrightarrow$ $-$ *A* $\notin$ *infinite-complement-partition*
proof(*cases finite* (*UNIV* :: *'a set*))
case *False*
define *Field-r* **where** *Field-r* = $\{\mathcal{P}$ :: *'a set set*. ($\forall$ *C* $\in$ $\mathcal{P}$. $-$ *C* $\notin$ $\mathcal{P}$) $\wedge$ ($\forall$ *A*. *finite A* $\longrightarrow$ *A* $\in$ $\mathcal{P}$)$\}$
define *r* **where** *r* = $\{(\mathcal{P}1, \mathcal{P}2)$. $\mathcal{P}1 \subseteq \mathcal{P}2 \wedge \mathcal{P}1 \in$ *Field-r* $\wedge$ $\mathcal{P}2 \in$ *Field-r*$\}$
have *in-Field-r* [*simp*]: $\bigwedge\mathcal{P}$. $\mathcal{P} \in$ *Field-r* $\longleftrightarrow$ ($\forall$ *C* $\in$ $\mathcal{P}$. $-$ *C* $\notin$ $\mathcal{P}$) $\wedge$ ($\forall$ *A*. *finite A* $\longrightarrow$ *A* $\in$ $\mathcal{P}$)
unfolding *Field-r-def* **by** *simp*
have *in-r* [*simp*]: $\bigwedge\mathcal{P}1\ \mathcal{P}2$. $(\mathcal{P}1, \mathcal{P}2) \in r \longleftrightarrow \mathcal{P}1 \subseteq \mathcal{P}2 \wedge \mathcal{P}1 \in$ *Field-r* $\wedge$ $\mathcal{P}2 \in$ *Field-r*
unfolding *r-def* **by** *simp*
have *Field-r* [*simp*]: *Field r* = *Field-r* **by**(*auto simp add*: *Field-def Field-r-def*)

have *Partial-order r*
by(*auto simp add*: *Field-def r-def partial-order-on-def preorder-on-def intro*!: *refl-onI transI antisymI*)
moreover have $\exists\mathcal{B} \in$ *Field r*. $\forall\mathcal{A} \in \mathfrak{C}$. $(\mathcal{A}, \mathcal{B}) \in r$ **if** $\mathfrak{C}$: $\mathfrak{C} \in$ *Chains r* **for** $\mathfrak{C}$
proof $-$
let *?*$\mathcal{B}$ = $\bigcup\mathfrak{C} \cup \{A.$ *finite A*$\}$
have $*$: *?*$\mathcal{B} \in$ *Field r* **using** *False* $\mathfrak{C}$
by *clarsimp*(*safe*, *drule* (*2*) *ChainsD*, *auto 4 4 dest*: *Chains-Field*)
hence $\bigwedge\mathcal{A}$. $\mathcal{A} \in \mathfrak{C} \Longrightarrow (\mathcal{A}$, *?*$\mathcal{B}) \in r$
using $\mathfrak{C}$ **by**(*auto simp del*: *in-Field-r dest*: *Chains-Field*)
with $*$ **show** $\exists\mathcal{B} \in$ *Field r*. $\forall\mathcal{A} \in \mathfrak{C}$. $(\mathcal{A}, \mathcal{B}) \in r$ **by** *blast*
qed
ultimately have $\exists\mathcal{P} \in$ *Field r*. $\forall\mathcal{A} \in$ *Field r*. $(\mathcal{P}, \mathcal{A}) \in r \longrightarrow \mathcal{A} = \mathcal{P}$
by(*rule Zorns-po-lemma*)
then obtain $\mathcal{P}$ **where** $\mathcal{P}$: $\mathcal{P} \in$ *Field r*
and *max*: $\bigwedge\mathcal{A}$. $[\![\ \mathcal{A} \in$ *Field r*; $(\mathcal{P}, \mathcal{A}) \in r\ ]\!] \Longrightarrow \mathcal{A} = \mathcal{P}$ **by** *blast*
have $\forall$ *A*. *finite A* $\longrightarrow$ *A* $\in$ $\mathcal{P}$ **using** $\mathcal{P}$ **by** *simp*
moreover {
fix *C*
have *C* $\in$ $\mathcal{P}$ $\vee$ $-$ *C* $\in$ $\mathcal{P}$
proof(*rule ccontr*)
assume $\neg$ *?thesis*

hence C: $C \notin \mathcal{P}$ $\quad - C \notin \mathcal{P}$ **by** *simp-all*
let $?\mathcal{A} = insert\ C\ \mathcal{P}$
have $*$: $?\mathcal{A} \in Field\ r$ **using** $C\ \mathcal{P}$ **by** *auto*
hence $(\mathcal{P},\ ?\mathcal{A}) \in r$ **using** $\mathcal{P}$ **by** *auto*
with $*$ **have** $?\mathcal{A} = \mathcal{P}$ **by**(*rule max*)
thus *False* **using** C **by** *auto*
qed
hence $C \in \mathcal{P} \longleftrightarrow - C \notin \mathcal{P}$ **using** $\mathcal{P}$ **by** *auto* **}**
ultimately have $\exists \mathcal{P} :: 'a\ set\ set.\ (\forall A.\ finite\ A \longrightarrow A \in \mathcal{P}) \wedge (\forall C.\ C \in \mathcal{P} \longleftrightarrow - C \notin \mathcal{P})$
by *blast*
thus *?thesis* **by** *metis*
qed *auto*

lemma *not-in-complement-partition*:
$\neg$ *finite* $(UNIV :: 'a\ set)$
$\Longrightarrow (A :: 'a\ set) \notin$ *infinite-complement-partition* $\longleftrightarrow - A \in$ *infinite-complement-partition*
by(*metis complement-partition*)

lemma *not-in-complement-partition-False*:
$[\![\ (A :: 'a\ set) \in$ *infinite-complement-partition*; $\neg$ *finite* $(UNIV :: 'a\ set)\ ]\!]$
$\Longrightarrow - A \in$ *infinite-complement-partition* $= False$
by(*simp add*: *not-in-complement-partition*)

lemma *infinite-complement-partition-finite* [*simp*]:
finite $(UNIV :: 'a\ set) \Longrightarrow$ *infinite-complement-partition* $= (UNIV :: 'a\ set\ set)$
by(*auto intro*: *finite-subset finite-complement-partition*)

lemma *Compl-eq-empty-iff*: $- A = \{\} \longleftrightarrow A = UNIV$
by *auto*

A lexicographic-style order on finite subsets

context *ord* **begin**

definition *set-less-aux* $:: 'a\ set \Rightarrow 'a\ set \Rightarrow bool$ (**infix** $\sqsubset''$ *50*)
where $A \sqsubset' B \longleftrightarrow finite\ A \wedge finite\ B \wedge (\exists y \in B - A.\ \forall z \in (A - B) \cup (B - A).\ y \leq z \wedge (z \leq y \longrightarrow y = z))$

definition *set-less-eq-aux* $:: 'a\ set \Rightarrow 'a\ set \Rightarrow bool$ (**infix** $\sqsubseteq''$ *50*)
where $A \sqsubseteq' B \longleftrightarrow A \in$ *infinite-complement-partition* $\wedge A = B \vee A \sqsubset' B$

lemma *set-less-aux-irrefl* [*iff*]: $\neg A \sqsubset' A$
by(*auto simp add*: *set-less-aux-def*)

lemma *set-less-eq-aux-refl* [*iff*]: $A \sqsubseteq' A \longleftrightarrow A \in$ *infinite-complement-partition*
by(*simp add*: *set-less-eq-aux-def*)

lemma *set-less-aux-empty* [*simp*]: $\neg A \sqsubset' \{\}$

by(*auto simp add*: *set-less-aux-def intro*: *finite-subset finite-complement-partition*)

lemma *set-less-eq-aux-empty* [*simp*]: $A \sqsubseteq' \{\} \longleftrightarrow A = \{\}$
by(*auto simp add*: *set-less-eq-aux-def finite-complement-partition*)

lemma *set-less-aux-antisym*: $[\![A \sqsubset' B; B \sqsubset' A]\!] \Longrightarrow False$
by(*auto simp add*: *set-less-aux-def split*: *if-split-asm*)

lemma *set-less-aux-conv-set-less-eq-aux*:
$A \sqsubset' B \longleftrightarrow A \sqsubseteq' B \wedge \neg B \sqsubseteq' A$
by(*auto simp add*: *set-less-eq-aux-def dest*: *set-less-aux-antisym*)

lemma *set-less-eq-aux-antisym*: $[\![A \sqsubseteq' B; B \sqsubseteq' A]\!] \Longrightarrow A = B$
by(*auto simp add*: *set-less-eq-aux-def dest*: *set-less-aux-antisym*)

lemma *set-less-aux-finiteD*: $A \sqsubset' B \Longrightarrow finite\ A \wedge B \in infinite\text{-}complement\text{-}partition$
by(*auto simp add*: *set-less-aux-def finite-complement-partition*)

lemma *set-less-eq-aux-infinite-complement-partitionD*:
$A \sqsubseteq' B \Longrightarrow A \in infinite\text{-}complement\text{-}partition \wedge B \in infinite\text{-}complement\text{-}partition$
by(*auto simp add*: *set-less-eq-aux-def dest*: *set-less-aux-finiteD intro*: *finite-complement-partition*)

lemma *Compl-set-less-aux-Compl*:
$finite\ (UNIV :: 'a\ set) \Longrightarrow -\ A \sqsubset' -\ B \longleftrightarrow B \sqsubset' A$
by(*auto simp add*: *set-less-aux-def finite-subset*[*OF subset-UNIV*])

lemma *Compl-set-less-eq-aux-Compl*:
$finite\ (UNIV :: 'a\ set) \Longrightarrow -\ A \sqsubseteq' -\ B \longleftrightarrow B \sqsubseteq' A$
by(*auto simp add*: *set-less-eq-aux-def Compl-set-less-aux-Compl*)

lemma *set-less-aux-insert-same*:
$x \in A \longleftrightarrow x \in B \Longrightarrow insert\ x\ A \sqsubset' insert\ x\ B \longleftrightarrow A \sqsubset' B$
by(*auto simp add*: *set-less-aux-def*)

lemma *set-less-eq-aux-insert-same*:
$[\![A \in infinite\text{-}complement\text{-}partition; insert\ x\ B \in infinite\text{-}complement\text{-}partition;$
$x \in A \longleftrightarrow x \in B]\!]$
$\Longrightarrow insert\ x\ A \sqsubseteq' insert\ x\ B \longleftrightarrow A \sqsubseteq' B$
by(*auto simp add*: *set-less-eq-aux-def set-less-aux-insert-same*)

end

context *order* **begin**

lemma *set-less-aux-singleton-iff*: $A \sqsubset' \{x\} \longleftrightarrow finite\ A \wedge (\forall\, a{\in}A.\ x < a)$
by(*auto simp add*: *set-less-aux-def less-le Bex-def*)

end

context *linorder* **begin**

lemma *wlog-le* [*case-names sym le*]:
  assumes $\bigwedge a\ b.\ P\ a\ b \Longrightarrow P\ b\ a$
  and $\bigwedge a\ b.\ a \leq b \Longrightarrow P\ a\ b$
  shows $P\ b\ a$
by (*metis assms linear*)

lemma *empty-set-less-aux* [*simp*]: $\{\} \sqsubset' A \longleftrightarrow A \neq \{\} \wedge$ *finite A*
by(*auto 4 3 simp add*: *set-less-aux-def intro*!: *Min-eqI intro*: *bexI*[**where** *x*=*Min A*] *order-trans*[**where** *y*=*Min A*] *Min-in*)

lemma *empty-set-less-eq-aux* [*simp*]: $\{\} \sqsubseteq' A \longleftrightarrow$ *finite A*
by(*auto simp add*: *set-less-eq-aux-def finite-complement-partition*)

lemma *set-less-aux-trans*:
  assumes *AB*: $A \sqsubset' B$ **and** *BC*: $B \sqsubset' C$
  shows $A \sqsubset' C$
proof −
  from *AB BC* **have** *A*: *finite A* **and** *B*: *finite B* **and** *C*: *finite C*
    by(*auto simp add*: *set-less-aux-def*)
  from *AB A B* **obtain** *ab* **where** *ab*: $ab \in B - A$
    and *minAB*: $\bigwedge x.\ [\![\ x \in A;\ x \notin B\ ]\!] \Longrightarrow ab \leq x \wedge (x \leq ab \longrightarrow ab = x)$
    and *minBA*: $\bigwedge x.\ [\![\ x \in B;\ x \notin A\ ]\!] \Longrightarrow ab \leq x \wedge (x \leq ab \longrightarrow ab = x)$
    unfolding *set-less-aux-def* **by** *auto*
  from *BC B C* **obtain** *bc* **where** *bc*: $bc \in C - B$
    and *minBC*: $\bigwedge x.\ [\![\ x \in B;\ x \notin C\ ]\!] \Longrightarrow bc \leq x \wedge (x \leq bc \longrightarrow bc = x)$
    and *minCB*: $\bigwedge x.\ [\![\ x \in C;\ x \notin B\ ]\!] \Longrightarrow bc \leq x \wedge (x \leq bc \longrightarrow bc = x)$
    unfolding *set-less-aux-def* **by** *auto*
  show *?thesis*
  proof(*cases* $ab \leq bc$)
    case *True*
    hence $ab \in C - A \quad ab \notin A - C$
      using *ab bc* **by**(*auto dest*: *minBC antisym*)
    moreover {
      fix *x*
      assume *x*: $x \in (C - A) \cup (A - C)$
      hence $ab \leq x$
        by(*cases* $x \in B$)(*auto dest*: *minAB minBA minBC minCB intro*: *order-trans*[*OF True*])
      moreover hence $ab \neq x \longrightarrow \neg\ x \leq ab$ **using** *ab bc x*
        by(*cases* $x \in B$)(*auto dest*: *antisym*)
      moreover note *calculation* **}**
    ultimately show *?thesis* **using** *A C* **unfolding** *set-less-aux-def* **by** *auto*
  next
    case *False*
    with *linear*[*of ab bc*] **have** $bc \leq ab$ **by** *simp*
    with *ab bc* **have** $bc \in C - A \quad bc \notin A - C$ **by**(*auto dest*: *minAB antisym*)
    moreover {

fix x
assume x: $x \in (C - A) \cup (A - C)$
hence $bc \leq x$
by(*cases* $x \in B$)(*auto dest*: *minAB minBA minBC minCB intro*: *order-trans*[*OF* ‹$bc \leq ab$›])
moreover hence $bc \neq x \longrightarrow \neg\, x \leq bc$ **using** *ab bc x*
by(*cases* $x \in B$)(*auto dest*: *antisym*)
moreover note *calculation* **}**
ultimately show *?thesis* **using** *A C* **unfolding** *set-less-aux-def* **by** *auto*
qed
qed

lemma *set-less-eq-aux-trans* [*trans*]:
$[\![\ A \sqsubseteq' B;\ B \sqsubseteq' C\ ]\!] \Longrightarrow A \sqsubseteq' C$
by(*auto simp add*: *set-less-eq-aux-def dest*: *set-less-aux-trans*)

lemma *set-less-trans-set-less-eq* [*trans*]:
$[\![\ A \sqsubset' B;\ B \sqsubseteq' C\ ]\!] \Longrightarrow A \sqsubset' C$
by(*auto simp add*: *set-less-eq-aux-def dest*: *set-less-aux-trans*)

lemma *set-less-eq-aux-porder*: *partial-order-on infinite-complement-partition* $\{(A, B).\ A \sqsubseteq' B\}$
by(*auto simp add*: *preorder-on-def partial-order-on-def intro*!: *refl-onI transI antisymI dest*: *set-less-eq-aux-infinite-complement-partitionD intro*: *set-less-eq-aux-antisym set-less-eq-aux-trans del*: *equalityI*)

lemma *psubset-finite-imp-set-less-aux*:
assumes *AsB*: $A \subset B$ **and** *B*: *finite B*
shows $A \sqsubset' B$
proof −
from *AsB B* **have** *A*: *finite A* **by**(*auto intro*: *finite-subset*)
moreover from *AsB B* **have** *Min* $(B - A) \in B - A$ **by** − (*rule Min-in*, *auto*)
ultimately show *?thesis* **using** *B AsB*
by(*auto simp add*: *set-less-aux-def intro*!: *rev-bexI*[**where** *x*=*Min* $(B - A)$] *Min-eqI dest*: *Min-ge-iff*[*THEN iffD1*, *rotated 2*])
qed

lemma *subset-finite-imp-set-less-eq-aux*:
$[\![\ A \subseteq B;\ \textit{finite } B\ ]\!] \Longrightarrow A \sqsubseteq' B$
by(*cases* $A = B$)(*auto simp add*: *set-less-eq-aux-def finite-complement-partition intro*: *psubset-finite-imp-set-less-aux*)

lemma *empty-set-less-aux-finite-iff*:
$\textit{finite } A \Longrightarrow \{\} \sqsubset' A \longleftrightarrow A \neq \{\}$
by(*auto intro*: *psubset-finite-imp-set-less-aux*)

lemma *set-less-aux-finite-total*:
assumes *A*: *finite A* **and** *B*: *finite B*
shows $A \sqsubset' B \vee A = B \vee B \sqsubset' A$

proof(*cases* $A \subseteq B \vee B \subseteq A$)
  case *True* **thus** *?thesis*
   using *A B psubset-finite-imp-set-less-aux*[*of A B*] *psubset-finite-imp-set-less-aux*[*of B A*]
    by *auto*
next
  case *False*
  hence A': $\neg A \subseteq B$ **and** B': $\neg B \subseteq A$ **and** *AnB*: $A \neq B$ **by** *auto*
  thus *?thesis* **using** *A B*
  proof(*induct Min* $(B - A)$ *Min* $(A - B)$ *arbitrary*: *A B rule*: *wlog-le*)
    case (*sym m n*)
    from *sym.hyps*[*OF refl refl*] *sym.prems* **show** *?case* **by** *blast*
  next
    case (*le A B*)
    note $A =$ ‹*finite A*› **and** $B =$ ‹*finite B*›
      and $A' =$ ‹$\neg A \subseteq B$› **and** $B' =$ ‹$\neg B \subseteq A$›
    { fix *z*
      assume *z*: $z \in (A - B) \cup (B - A)$
      hence *Min* $(B - A) \leq z \wedge (z \leq$ *Min* $(B - A) \longrightarrow$ *Min* $(B - A) = z)$
      proof
        assume $z \in B - A$
        hence *Min* $(B - A) \leq z$ **by**(*simp add*: *B*)
        thus *?thesis* **by** *auto*
      next
        assume $z \in A - B$
        hence *Min* $(A - B) \leq z$ **by**(*simp add*: *A*)
        with *le.hyps* **show** *?thesis* **by**(*auto*)
      qed }
    moreover have *Min* $(B - A) \in B - A$ **by**(*rule Min-in*)(*simp-all add*: *B B'*)
    ultimately have $A \sqsubset' B$ **using** *A B* **by**(*auto simp add*: *set-less-aux-def*)
    thus *?case* **..**
  qed
qed

lemma *set-less-eq-aux-finite-total*:
  $[\![$ *finite A*; *finite B* $]\!] \Longrightarrow A \sqsubseteq' B \vee A = B \vee B \sqsubseteq' A$
by(*drule* (*1*) *set-less-aux-finite-total*)(*auto simp add*: *set-less-eq-aux-def*)

lemma *set-less-eq-aux-finite-total2*:
  $[\![$ *finite A*; *finite B* $]\!] \Longrightarrow A \sqsubseteq' B \vee B \sqsubseteq' A$
by(*drule* (*1*) *set-less-eq-aux-finite-total*)(*auto simp add*: *finite-complement-partition*)

lemma *set-less-aux-rec*:
  assumes *A*: *finite A* **and** *B*: *finite B*
  and A': $A \neq \{\}$ **and** B': $B \neq \{\}$
  shows $A \sqsubset' B \longleftrightarrow$ *Min B* $<$ *Min A* $\vee$ *Min A* $=$ *Min B* $\wedge A - \{$*Min A*$\} \sqsubset' B - \{$*Min A*$\}$
proof(*cases Min A* $=$ *Min B*)
  case *True*

```
  from A A' B B' have insert (Min A) A = A    insert (Min B) B = B
    by(auto simp add: ex-in-conv[symmetric] exI)
  with True show ?thesis
    by(subst (2) set-less-aux-insert-same[symmetric, where x=Min A]) simp-all
next
  case False
  have A ⊏' B ⟷ Min B < Min A
  proof
    assume AB: A ⊏' B
    with B A obtain ab where ab: ab ∈ B − A
      and AB: ⋀x. ⟦ x ∈ A; x ∉ B ⟧ ⟹ ab ≤ x
      by(auto simp add: set-less-aux-def)
    { fix a assume a ∈ A
      hence Min B ≤ a using A A' B B' ab
        by(cases a ∈ B)(auto intro: order-trans[where y=ab] dest: AB) }
    hence Min B ≤ Min A using A A' by simp
    with False show Min B < Min A using False by auto
  next
    assume Min B < Min A
    hence ∀ z∈A − B ∪ (B − A). Min B ≤ z ∧ (z ≤ Min B ⟶ Min B = z)
      using A B A' B' by(auto 4 4 intro: Min-in Min-eqI dest: bspec bspec[where
x=Min B])
     moreover have Min B ∉ A using ‹Min B < Min A› by (metis A Min-le
not-less)
     ultimately show A ⊏' B using A B A' B' by(simp add: set-less-aux-def
bexI[where x=Min B])
  qed
  thus ?thesis using False by simp
qed

lemma set-less-eq-aux-rec:
  assumes finite A    finite B    A ≠ {}    B ≠ {}
  shows A ⊑' B ⟷ Min B < Min A ∨ Min A = Min B ∧ A − {Min A} ⊑' B
− {Min A}
proof(cases A = B)
  case True thus ?thesis using assms by(simp add: finite-complement-partition)
next
  case False
  moreover
  hence Min A = Min B ⟹ A − {Min A} ≠ B − {Min B}
    by (metis (lifting) assms Min-in insert-Diff)
  ultimately show ?thesis using set-less-aux-rec[OF assms]
    by(simp add: set-less-eq-aux-def cong: conj-cong)
qed

lemma set-less-aux-Min-antimono:
  ⟦ Min A < Min B; finite A; finite B; A ≠ {} ⟧ ⟹ B ⊏' A
using set-less-aux-rec[of B A]
by(cases B = {})(simp-all add: empty-set-less-aux-finite-iff)
```

lemma *sorted-Cons-Min*: *sorted* $(x \# xs) \Longrightarrow$ *Min* (*insert* x (*set* xs)) $= x$
by(*auto simp add*: *intro*: *Min-eqI*)

lemma *set-less-aux-code*:
$[\![$ *sorted xs*; *distinct xs*; *sorted ys*; *distinct ys* $]\!]$
$\Longrightarrow$ *set xs* $\sqsubset'$ *set ys* $\longleftrightarrow$ *ord.lexordp* $(>)$ *xs ys*
apply(*induct xs ys rule*: *list-induct2'*)
apply(*simp-all add*: *empty-set-less-aux-finite-iff sorted-Cons-Min set-less-aux-rec neq-Nil-conv*)
apply(*auto cong*: *conj-cong*)
done

lemma *set-less-eq-aux-code*:
assumes *sorted xs* *distinct xs* *sorted ys* *distinct ys*
shows *set xs* $\sqsubseteq'$ *set ys* $\longleftrightarrow$ *ord.lexordp-eq* $(>)$ *xs ys*
proof −
have *dual*: *class.linorder* $(\geq)$ $(>)$
by(*rule linorder.dual-linorder*) *unfold-locales*
from *assms* **show** *?thesis*
by(*auto simp add*: *set-less-eq-aux-def finite-complement-partition linorder.lexordp-eq-conv-lexord*[*OF dual*] *set-less-aux-code intro*: *sorted-distinct-set-unique*)
qed

end

Extending ($\sqsubseteq'$) to have {} as least element

context *ord* **begin**

definition *set-less-eq-aux'* :: *'a set* $\Rightarrow$ *'a set* $\Rightarrow$ *bool* (**infix** $\sqsubseteq''''$ *50*)
where $A \sqsubseteq'' B \longleftrightarrow A \sqsubseteq' B \vee A = \{\} \wedge B \in$ *infinite-complement-partition*

lemma *set-less-eq-aux'-refl*:
$A \sqsubseteq'' A \longleftrightarrow A \in$ *infinite-complement-partition*
by(*auto simp add*: *set-less-eq-aux'-def*)

lemma *set-less-eq-aux'-antisym*: $[\![A \sqsubseteq'' B; B \sqsubseteq'' A]\!] \Longrightarrow A = B$
by(*auto simp add*: *set-less-eq-aux'-def intro*: *set-less-eq-aux-antisym del*: *equalityI*)

lemma *set-less-eq-aux'-infinite-complement-partitionD*:
$A \sqsubseteq'' B \Longrightarrow A \in$ *infinite-complement-partition* $\wedge B \in$ *infinite-complement-partition*
by(*auto simp add*: *set-less-eq-aux'-def intro*: *finite-complement-partition dest*: *set-less-eq-aux-infinite-com*

lemma *empty-set-less-eq-def* [*simp*]: $\{\} \sqsubseteq'' B \longleftrightarrow B \in$ *infinite-complement-partition*
by(*auto simp add*: *set-less-eq-aux'-def dest*: *set-less-eq-aux-infinite-complement-partitionD*)

end

context *linorder* **begin**

lemma *set-less-eq-aux′-trans*: $\llbracket$ $A \sqsubseteq'' B$; $B \sqsubseteq'' C$ $\rrbracket \Longrightarrow A \sqsubseteq'' C$
by(*auto simp add*: *set-less-eq-aux′-def del*: *equalityI intro*: *set-less-eq-aux-trans dest*: *set-less-eq-aux-infinite-complement-partitionD*)

lemma *set-less-eq-aux′-porder*: *partial-order-on infinite-complement-partition* $\{(A, B).\ A \sqsubseteq'' B\}$
by(*auto simp add*: *partial-order-on-def preorder-on-def intro*!: *refl-onI transI antisymI dest*: *set-less-eq-aux′-antisym set-less-eq-aux′-infinite-complement-partitionD simp add*: *set-less-eq-aux′-refl intro*: *set-less-eq-aux′-trans*)

end

Extend ($\sqsubseteq''$) to a total order on *infinite-complement-partition*

context *ord* **begin**

definition *set-less-eq-aux″* :: *′a set* ⇒ *′a set* ⇒ *bool* (**infix** $\sqsubseteq'''''$ *50*)
where *set-less-eq-aux″* =
(*SOME sleq*.
(*linear-order-on UNIV* $\{(a, b).\ a \leq b\} \longrightarrow$ *linear-order-on infinite-complement-partition* $\{(A, B).$ *sleq A B*$\}$) ∧ *order-consistent* $\{(A, B).\ A \sqsubseteq'' B\}$ $\{(A, B).$ *sleq A B*$\}$)

lemma *set-less-eq-aux″-spec*:
shows *linear-order* $\{(a, b).\ a \leq b\} \Longrightarrow$ *linear-order-on infinite-complement-partition* $\{(A, B).\ A \sqsubseteq''' B\}$
(**is** *PROP ?thesis1*)
and *order-consistent* $\{(A, B).\ A \sqsubseteq'' B\}$ $\{(A, B).\ A \sqsubseteq''' B\}$ (**is** *?thesis2*)
proof −
let *?P* = λ*sleq*. (*linear-order* $\{(a, b).\ a \leq b\} \longrightarrow$ *linear-order-on infinite-complement-partition* $\{(A, B).$ *sleq A B*$\}$) ∧
order-consistent $\{(A, B).\ A \sqsubseteq'' B\}$ $\{(A, B).$ *sleq A B*$\}$
have *Ex ?P*
proof(*cases linear-order* $\{(a, b).\ a \leq b\}$)
case *False*
have *antisym* $\{(a, b).\ a \sqsubseteq'' b\}$
by (*rule antisymI*) (*simp add*: *set-less-eq-aux′-antisym*)
then show *?thesis* **using** *False*
by (*auto intro*: *antisym-order-consistent-self*)
next
case *True*
hence *partial-order-on infinite-complement-partition* $\{(A, B).\ A \sqsubseteq'' B\}$
by(*rule linorder.set-less-eq-aux′-porder*[*OF linear-order-imp-linorder*])
then obtain *s* **where** *linear-order-on infinite-complement-partition s*
and *order-consistent* $\{(A, B).\ A \sqsubseteq'' B\}$ *s* **by**(*rule porder-extend-to-linorder*)
thus *?thesis* **by**(*auto intro*: *exI*[**where** *x*=λ*A B*. (*A*, *B*) ∈ *s*])
qed
hence *?P* (*Eps ?P*) **by**(*rule someI-ex*)

```
  thus PROP ?thesis1 ?thesis2 by(simp-all add: set-less-eq-aux''-def)
qed

end

context linorder begin

lemma set-less-eq-aux''-linear-order:
  linear-order-on infinite-complement-partition {(A, B). A ⊑''' B}
by(rule set-less-eq-aux''-spec)(rule linear-order)

lemma set-less-eq-aux''-refl [iff]: A ⊑''' A ⟷ A ∈ infinite-complement-partition
using set-less-eq-aux''-linear-order
by(auto simp add: linear-order-on-def partial-order-on-def preorder-on-def dest:
refl-onD refl-onD1)

lemma set-less-eq-aux'-into-set-less-eq-aux'':
  assumes A ⊑'' B
  shows A ⊑''' B
proof(rule ccontr)
  assume nleq: ¬ ?thesis
  moreover from assms have A: A ∈ infinite-complement-partition
    and B: B ∈ infinite-complement-partition
    by(auto dest: set-less-eq-aux'-infinite-complement-partitionD)
  with set-less-eq-aux''-linear-order have A ⊑''' B ∨ A = B ∨ B ⊑''' A
    by(auto simp add: linear-order-on-def dest: total-onD)
  ultimately have B ⊑''' A using B by auto
  with assms have A = B using set-less-eq-aux''-spec(2)
    by(simp add: order-consistent-def)
  with A nleq show False by simp
qed

lemma finite-set-less-eq-aux''-finite:
  assumes finite A and finite B
  shows A ⊑''' B ⟷ A ⊑'' B
proof
  assume A ⊑''' B
  from assms have A ⊑' B ∨ B ⊑' A by(rule set-less-eq-aux-finite-total2)
  hence A ⊑'' B ∨ B ⊑'' A by(auto simp add: set-less-eq-aux'-def)
  thus A ⊑'' B
  proof
    assume B ⊑'' A
    hence B ⊑''' A by(rule set-less-eq-aux'-into-set-less-eq-aux'')
    with ‹A ⊑''' B› set-less-eq-aux''-linear-order have A = B
      by(auto simp add: linear-order-on-def partial-order-on-def dest: antisymD)
   thus ?thesis using assms by(simp add: finite-complement-partition set-less-eq-aux'-def)
  qed
qed(rule set-less-eq-aux'-into-set-less-eq-aux'')
```

lemma *set-less-eq-aux''-finite*:
finite (*UNIV* :: *'a set*) $\Longrightarrow$ *set-less-eq-aux''* = *set-less-eq-aux*
by(*auto simp add*: *fun-eq-iff finite-set-less-eq-aux''-finite set-less-eq-aux'-def finite-subset*[*OF subset-UNIV*])

lemma *set-less-eq-aux''-antisym*:
$[\![$ $A \sqsubseteq''' B$; $B \sqsubseteq''' A$;
A $\in$ *infinite-complement-partition*; *B* $\in$ *infinite-complement-partition* $]\!]$
$\Longrightarrow A = B$
using *set-less-eq-aux''-linear-order*
by(*auto simp add*: *linear-order-on-def partial-order-on-def dest*: *antisymD del*: *equalityI*)

lemma *set-less-eq-aux''-trans*: $[\![$ $A \sqsubseteq''' B$; $B \sqsubseteq''' C$ $]\!] \Longrightarrow A \sqsubseteq''' C$
using *set-less-eq-aux''-linear-order*
by(*auto simp add*: *linear-order-on-def partial-order-on-def preorder-on-def dest*: *transD*)

lemma *set-less-eq-aux''-total*:
$[\![$ *A* $\in$ *infinite-complement-partition*; *B* $\in$ *infinite-complement-partition* $]\!]$
$\Longrightarrow A \sqsubseteq''' B \vee B \sqsubseteq''' A$
using *set-less-eq-aux''-linear-order*
by(*auto simp add*: *linear-order-on-def dest*: *total-onD*)

end

Extend ($\sqsubseteq'''$) to cofinite sets

context *ord* **begin**

definition *set-less-eq* :: *'a set* $\Rightarrow$ *'a set* $\Rightarrow$ *bool* (**infix** $\sqsubseteq$ *50*)
where
$A \sqsubseteq B \longleftrightarrow$
(*if* $A \in$ *infinite-complement-partition then* $A \sqsubseteq''' B \vee B \notin$ *infinite-complement-partition*
else $B \notin$ *infinite-complement-partition* $\wedge - B \sqsubseteq''' - A$)

definition *set-less* :: *'a set* $\Rightarrow$ *'a set* $\Rightarrow$ *bool* (**infix** $\sqsubset$ *50*)
where $A \sqsubset B \longleftrightarrow A \sqsubseteq B \wedge \neg B \sqsubseteq A$

lemma *set-less-eq-def2*:
$A \sqsubseteq B \longleftrightarrow$
(*if finite* (*UNIV* :: *'a set*) *then* $A \sqsubseteq''' B$
else if $A \in$ *infinite-complement-partition then* $A \sqsubseteq''' B \vee B \notin$ *infinite-complement-partition*
else $B \notin$ *infinite-complement-partition* $\wedge - B \sqsubseteq''' - A$)
by(*simp add*: *set-less-eq-def*)

end

context *linorder* **begin**

lemma *set-less-eq-refl* [*iff*]: $A \sqsubseteq A$
by(*auto simp add*: *set-less-eq-def2 not-in-complement-partition*)

lemma *set-less-eq-antisym*: $[\![A \sqsubseteq B; B \sqsubseteq A]\!] \Longrightarrow A = B$
by(*auto simp add*: *set-less-eq-def2 set-less-eq-aux''-finite not-in-complement-partition not-in-complement-partition-False del*: *equalityI split*: *if-split-asm dest*: *set-less-eq-aux-antisym set-less-eq-aux''-antisym*)

lemma *set-less-eq-trans*: $[\![A \sqsubseteq B; B \sqsubseteq C]\!] \Longrightarrow A \sqsubseteq C$
by(*auto simp add*: *set-less-eq-def split*: *if-split-asm intro*: *set-less-eq-aux''-trans*)

lemma *set-less-eq-total*: $A \sqsubseteq B \vee B \sqsubseteq A$
by(*auto simp add*: *set-less-eq-def2 set-less-eq-aux''-finite not-in-complement-partition not-in-complement-partition-False intro*: *set-less-eq-aux-finite-total2 finite-subset*[*OF subset-UNIV*] *del*: *disjCI dest*: *set-less-eq-aux''-total*)

lemma *set-less-eq-linorder*: *class.linorder* $(\sqsubseteq)$ $(\sqsubset)$
by(*unfold-locales*)(*auto simp add*: *set-less-def set-less-eq-antisym set-less-eq-total intro*: *set-less-eq-trans*)

lemma *set-less-eq-conv-set-less*: *set-less-eq* A $B \longleftrightarrow A = B \vee$ *set-less* A B
by(*auto simp add*: *set-less-def del*: *equalityI dest*: *set-less-eq-antisym*)

lemma *Compl-set-less-eq-Compl*: $-A \sqsubseteq -B \longleftrightarrow B \sqsubseteq A$
by(*auto simp add*: *set-less-eq-def2 not-in-complement-partition-False not-in-complement-partition set-less-eq-aux''-finite Compl-set-less-eq-aux-Compl*)

lemma *Compl-set-less-Compl*: $-A \sqsubset -B \longleftrightarrow B \sqsubset A$
by(*simp add*: *set-less-def Compl-set-less-eq-Compl*)

lemma *set-less-eq-finite-iff*: $[\![$ *finite* A; *finite* B $]\!] \Longrightarrow A \sqsubseteq B \longleftrightarrow A \sqsubseteq' B$
by(*auto simp add*: *set-less-eq-def finite-complement-partition set-less-eq-aux'-def finite-set-less-eq-aux''-finite*)

lemma *set-less-finite-iff*: $[\![$ *finite* A; *finite* B $]\!] \Longrightarrow A \sqsubset B \longleftrightarrow A \sqsubset' B$
by(*simp add*: *set-less-def set-less-aux-conv-set-less-eq-aux set-less-eq-finite-iff*)

lemma *infinite-set-less-eq-Complement*:
$[\![$ *finite* A; *finite* B; $\neg$ *finite* (*UNIV* :: *'a set*) $]\!] \Longrightarrow A \sqsubseteq -B$
by(*simp add*: *set-less-eq-def finite-complement-partition not-in-complement-partition*)

lemma *infinite-set-less-Complement*:
$[\![$ *finite* A; *finite* B; $\neg$ *finite* (*UNIV* :: *'a set*) $]\!] \Longrightarrow A \sqsubset -B$
by(*auto simp add*: *set-less-def dest*: *set-less-eq-antisym intro*: *infinite-set-less-eq-Complement*)

lemma *infinite-Complement-set-less-eq*:
$[\![$ *finite* A; *finite* B; $\neg$ *finite* (*UNIV* :: *'a set*) $]\!] \Longrightarrow \neg -A \sqsubseteq B$
using *infinite-set-less-eq-Complement*[*of* A B] *Compl-set-less-eq-Compl*[*of* A $-$ B]

by(*auto dest*: *set-less-eq-antisym*)

lemma *infinite-Complement-set-less*:
$[\![$ *finite A*; *finite B*; $\neg$ *finite* (*UNIV* :: *'a set*) $]\!] \Longrightarrow \neg - A \sqsubset B$
using *infinite-Complement-set-less-eq*[*of A B*]
by(*simp add*: *set-less-def*)

lemma *empty-set-less-eq* [*iff*]: $\{\} \sqsubseteq A$
by(*auto simp add*: *set-less-eq-def finite-complement-partition intro*: *set-less-eq-aux'-into-set-less-eq-aux''*)

lemma *set-less-eq-empty* [*iff*]: $A \sqsubseteq \{\} \longleftrightarrow A = \{\}$
by (*metis empty-set-less-eq set-less-eq-antisym*)

lemma *empty-set-less-iff* [*iff*]: $\{\} \sqsubset A \longleftrightarrow A \neq \{\}$
by(*simp add*: *set-less-def*)

lemma *not-set-less-empty* [*simp*]: $\neg A \sqsubset \{\}$
by(*simp add*: *set-less-def*)

lemma *set-less-eq-UNIV* [*iff*]: $A \sqsubseteq UNIV$
using *Compl-set-less-eq-Compl*[*of* $- A$ $\{\}$] **by** *simp*

lemma *UNIV-set-less-eq* [*iff*]: $UNIV \sqsubseteq A \longleftrightarrow A = UNIV$
using *Compl-set-less-eq-Compl*[*of* $\{\}$ $- A$]
by(*simp add*: *Compl-eq-empty-iff*)

lemma *set-less-UNIV-iff* [*iff*]: $A \sqsubset UNIV \longleftrightarrow A \neq UNIV$
by(*simp add*: *set-less-def*)

lemma *not-UNIV-set-less* [*simp*]: $\neg UNIV \sqsubset A$
by(*simp add*: *set-less-def*)

end

2.6.2 Implementation based on sorted lists

type-synonym *'a proper-interval* = *'a option* $\Rightarrow$ *'a option* $\Rightarrow$ *bool*

class *proper-intrvl* = *ord* +
fixes *proper-interval* :: *'a proper-interval*

class *proper-interval* = *proper-intrvl* +
assumes *proper-interval-simps*:
proper-interval None None = *True*
proper-interval None (*Some y*) = $(\exists z.\ z < y)$
proper-interval (*Some x*) *None* = $(\exists z.\ x < z)$
proper-interval (*Some x*) (*Some y*) = $(\exists z.\ x < z \wedge z < y)$

context *proper-intrvl* **begin**

function *set-less-eq-aux-Compl* :: *'a option* ⇒ *'a list* ⇒ *'a list* ⇒ *bool*
where
set-less-eq-aux-Compl ao [] *ys* ⟷ *True*
| *set-less-eq-aux-Compl ao xs* [] ⟷ *True*
| *set-less-eq-aux-Compl ao* (*x* # *xs*) (*y* # *ys*) ⟷
(*if x* < *y then proper-interval ao* (*Some x*) ∨ *set-less-eq-aux-Compl* (*Some x*) *xs* (*y* # *ys*)
else if y < *x then proper-interval ao* (*Some y*) ∨ *set-less-eq-aux-Compl* (*Some y*) (*x* # *xs*) *ys*
else proper-interval ao (*Some y*))
by(*pat-completeness*) *simp-all*
termination by(*lexicographic-order*)

fun *Compl-set-less-eq-aux* :: *'a option* ⇒ *'a list* ⇒ *'a list* ⇒ *bool*
where
Compl-set-less-eq-aux ao [] [] ⟷ ¬ *proper-interval ao None*
| *Compl-set-less-eq-aux ao* [] (*y* # *ys*) ⟷ ¬ *proper-interval ao* (*Some y*) ∧ *Compl-set-less-eq-aux* (*Some y*) [] *ys*
| *Compl-set-less-eq-aux ao* (*x* # *xs*) [] ⟷ ¬ *proper-interval ao* (*Some x*) ∧ *Compl-set-less-eq-aux* (*Some x*) *xs* []
| *Compl-set-less-eq-aux ao* (*x* # *xs*) (*y* # *ys*) ⟷
(*if x* < *y then* ¬ *proper-interval ao* (*Some x*) ∧ *Compl-set-less-eq-aux* (*Some x*) *xs* (*y* # *ys*)
else if y < *x then* ¬ *proper-interval ao* (*Some y*) ∧ *Compl-set-less-eq-aux* (*Some y*) (*x* # *xs*) *ys*
else ¬ *proper-interval ao* (*Some y*))

fun *set-less-aux-Compl* :: *'a option* ⇒ *'a list* ⇒ *'a list* ⇒ *bool* **where**
set-less-aux-Compl ao [] [] ⟷ *proper-interval ao None*
| *set-less-aux-Compl ao* [] (*y* # *ys*) ⟷ *proper-interval ao* (*Some y*) ∨ *set-less-aux-Compl* (*Some y*) [] *ys*
| *set-less-aux-Compl ao* (*x* # *xs*) [] ⟷ *proper-interval ao* (*Some x*) ∨ *set-less-aux-Compl* (*Some x*) *xs* []
| *set-less-aux-Compl ao* (*x* # *xs*) (*y* # *ys*) ⟷
(*if x* < *y then proper-interval ao* (*Some x*) ∨ *set-less-aux-Compl* (*Some x*) *xs* (*y* # *ys*)
else if y < *x then proper-interval ao* (*Some y*) ∨ *set-less-aux-Compl* (*Some y*) (*x* # *xs*) *ys*
else proper-interval ao (*Some y*))

function *Compl-set-less-aux* :: *'a option* ⇒ *'a list* ⇒ *'a list* ⇒ *bool* **where**
Compl-set-less-aux ao [] *ys* ⟷ *False*
| *Compl-set-less-aux ao xs* [] ⟷ *False*
| *Compl-set-less-aux ao* (*x* # *xs*) (*y* # *ys*) ⟷
(*if x* < *y then* ¬ *proper-interval ao* (*Some x*) ∧ *Compl-set-less-aux* (*Some x*) *xs* (*y* # *ys*)
else if y < *x then* ¬ *proper-interval ao* (*Some y*) ∧ *Compl-set-less-aux* (*Some y*) (*x* # *xs*) *ys*

```
  else ¬ proper-interval ao (Some y))
by pat-completeness simp-all
termination by lexicographic-order

end

lemmas [code] =
  proper-intrvl.set-less-eq-aux-Compl.simps
  proper-intrvl.set-less-aux-Compl.simps
  proper-intrvl.Compl-set-less-eq-aux.simps
  proper-intrvl.Compl-set-less-aux.simps

class linorder-proper-interval = linorder + proper-interval
begin

theorem assumes fin: finite (UNIV :: 'a set)
  and xs: sorted xs    distinct xs
  and ys: sorted ys    distinct ys
  shows set-less-eq-aux-Compl2-conv-set-less-eq-aux-Compl:
  set xs ⊑' − set ys ⟷ set-less-eq-aux-Compl None xs ys (is ?Compl2)
  and Compl1-set-less-eq-aux-conv-Compl-set-less-eq-aux:
  − set xs ⊑' set ys ⟷ Compl-set-less-eq-aux None xs ys (is ?Compl1)
proof −
  note fin' [simp] = finite-subset[OF subset-UNIV fin]

  define above where above = case-option UNIV (Collect ∘ less)
  have above-simps [simp]: above None = UNIV    ⋀x. above (Some x) = {y. x <
y}
    and above-upclosed: ⋀x y ao. ⟦ x ∈ above ao; x < y ⟧ ⟹ y ∈ above ao
   and proper-interval-Some2: ⋀x ao. proper-interval ao (Some x) ⟷ (∃ z∈above
ao. z < x)
    and proper-interval-None2: ⋀ao. proper-interval ao None ⟷ above ao ≠ {}
    by(simp-all add: proper-interval-simps above-def split: option.splits)

  { fix ao x A B
    assume ex: proper-interval ao (Some x)
      and A: ∀ a ∈ A. x ≤ a
      and B: ∀ b ∈ B. x ≤ b
    from ex obtain z where z-ao: z ∈ above ao and z: z < x
      by(auto simp add: proper-interval-Some2)
    with A have A-eq: A ∩ above ao = A
      by(auto simp add: above-upclosed)
    from z-ao z B have B-eq: B ∩ above ao = B
      by(auto simp add: above-upclosed)
    define w where w = Min (above ao)
    with z-ao have w ≤ z    ∀ z ∈ above ao. w ≤ z    w ∈ above ao
      by(auto simp add: Min-le-iff intro: Min-in)
    hence A ∩ above ao ⊏' (− B) ∩ above ao (is ?lhs ⊏' ?rhs)
      using A B z by(auto simp add: set-less-aux-def intro!: bexI[where x=w])
```

```
    hence A ⊑' ?rhs unfolding A-eq by(simp add: set-less-eq-aux-def)
    moreover
    from z-ao z A B have z ∈ − A ∩ above ao    z ∉ B by auto
    hence neq: − A ∩ above ao ≠ B ∩ above ao by auto
    have ¬ − A ∩ above ao ⊏' B ∩ above ao (is ¬ ?lhs' ⊏' ?rhs')
     using A B z z-ao by(force simp add: set-less-aux-def not-less dest: bspec[where
x=z])
   with neq have ¬ ?lhs' ⊑' B unfolding B-eq by(auto simp add: set-less-eq-aux-def)
    moreover note calculation }
  note proper-interval-set-less-eqI = this(1)
    and proper-interval-not-set-less-eq-auxI = this(2)

  { fix ao
    assume set xs ∪ set ys ⊆ above ao
    with xs ys
    have set-less-eq-aux-Compl ao xs ys ⟷ set xs ⊑' (− set ys) ∩ above ao
    proof(induction ao xs ys rule: set-less-eq-aux-Compl.induct)
      case 1 thus ?case by simp
    next
      case 2 thus ?case by(auto intro: subset-finite-imp-set-less-eq-aux)
    next
      case (3 ao x xs y ys)
      note ao = ‹set (x # xs) ∪ set (y # ys) ⊆ above ao›
      hence x-ao: x ∈ above ao and y-ao: y ∈ above ao by simp-all
      note yys = ‹sorted (y # ys)› ‹distinct (y # ys)›
      hence ys: sorted ys    distinct ys and y-Min: ∀ y' ∈ set ys. y < y'
        by(auto simp add: less-le)
      note xxs = ‹sorted (x # xs)› ‹distinct (x # xs)›
      hence xs: sorted xs    distinct xs and x-Min: ∀ x' ∈ set xs. x < x'
        by(auto simp add: less-le)
      let ?lhs = set (x # xs) and ?rhs = − set (y # ys) ∩ above ao
      show ?case
      proof(cases x < y)
        case True
        show ?thesis
        proof(cases proper-interval ao (Some x))
          case True
          hence ?lhs ⊑' ?rhs using x-Min y-Min ‹x < y›
            by(auto intro!: proper-interval-set-less-eqI)
          with True show ?thesis using ‹x < y› by simp
        next
          case False
           have set xs ∪ set (y # ys) ⊆ above (Some x) using True x-Min y-Min
by auto
          with True xs yys
          have IH: set-less-eq-aux-Compl (Some x) xs (y # ys) =
            (set xs ⊑' − set (y # ys) ∩ above (Some x))
            by(rule 3.IH)
          from True y-Min x-ao have x ∈ − set (y # ys) ∩ above ao by auto
```

```
      hence ?rhs ≠ {} by blast
     moreover have Min ?lhs = x using x-Min x-ao by(auto intro!: Min-eqI)
      moreover have Min ?rhs = x using ‹x < y› y-Min x-ao False
        by(auto intro!: Min-eqI simp add: proper-interval-Some2)
      moreover have set xs = set xs − {x}
        using ao x-Min by auto
       moreover have − set (y # ys) ∩ above (Some x) = − set (y # ys) ∩
above ao − {x}
            using False x-ao by(auto simp add: proper-interval-Some2 intro:
above-upclosed)
      ultimately show ?thesis using True False IH
        by(simp del: set-simps)(subst (2) set-less-eq-aux-rec, simp-all add: x-ao)
    qed
  next
    case False
    show ?thesis
    proof(cases y < x)
      case True
      show ?thesis
      proof(cases proper-interval ao (Some y))
        case True
        hence ?lhs ⊑′ ?rhs using x-Min y-Min ‹¬ x < y›
          by(auto intro!: proper-interval-set-less-eqI)
        with True show ?thesis using ‹¬ x < y› by simp
      next
        case False
        have set (x # xs) ∪ set ys ⊆ above (Some y)
          using ‹y < x› x-Min y-Min by auto
        with ‹¬ x < y› ‹y < x› xxs ys
        have IH: set-less-eq-aux-Compl (Some y) (x # xs) ys =
          (set (x # xs) ⊑′ − set ys ∩ above (Some y))
          by(rule 3.IH)
        moreover have − set ys ∩ above (Some y) = ?rhs
      using y-ao False by(auto intro: above-upclosed simp add: proper-interval-Some2)
        ultimately show ?thesis using ‹¬ x < y› True False by simp
      qed
    next
      case False with ‹¬ x < y› have x = y by auto
      { assume proper-interval ao (Some y)
        hence ?lhs ⊑′ ?rhs using x-Min y-Min ‹x = y›
          by(auto intro!: proper-interval-set-less-eqI) }
      moreover
      { assume ?lhs ⊑′ ?rhs
        moreover have ?lhs ≠ ?rhs
        proof
          assume eq: ?lhs = ?rhs
          have x ∈ ?lhs using x-ao by simp
          also note eq also note ‹x = y›
          finally show False by simp
```

```
          qed
          ultimately obtain z where z ∈ above ao    z < y using ‹x = y› y-ao
            by(fastforce simp add: set-less-eq-aux-def set-less-aux-def not-le dest!:
bspec[where x=y])
        hence proper-interval ao (Some y) by(auto simp add: proper-interval-Some2)
}
        ultimately show ?thesis using ‹x = y› ‹¬ x < y› ‹¬ y < x› by auto
      qed
    qed
  qed }
 from this[of None] show ?Compl2 by simp

 { fix ao
   assume set xs ∪ set ys ⊆ above ao
   with xs ys
   have Compl-set-less-eq-aux ao xs ys ⟷ (− set xs) ∩ above ao ⊑′ set ys
   proof(induction ao xs ys rule: Compl-set-less-eq-aux.induct)
     case 1 thus ?case by(simp add: proper-interval-None2)
   next
     case (2 ao y ys)
     from ‹sorted (y # ys)› ‹distinct (y # ys)›
     have ys: sorted ys    distinct ys and y-Min: ∀ y′ ∈ set ys. y < y′
       by(auto simp add: less-le)
     show ?case
     proof(cases proper-interval ao (Some y))
       case True
       hence ¬ − set [] ∩ above ao ⊑′ set (y # ys) using y-Min
         by −(erule proper-interval-not-set-less-eq-auxI, auto)
       thus ?thesis using True by simp
     next
       case False
       note ao = ‹set [] ∪ set (y # ys) ⊆ above ao›
       hence y-ao: y ∈ above ao by simp
       from ao y-Min have set [] ∪ set ys ⊆ above (Some y) by auto
       with ‹sorted []› ‹distinct []› ys
        have Compl-set-less-eq-aux (Some y) [] ys ⟷ − set [] ∩ above (Some y)
⊑′ set ys
         by(rule 2.IH)
       moreover have above ao ≠ {} using y-ao by auto
       moreover have Min (above ao) = y
         and Min (set (y # ys)) = y
       using y-ao False ao by(auto intro!: Min-eqI simp add: proper-interval-Some2
not-less)
       moreover have above ao − {y} = above (Some y) using False y-ao
         by(auto simp add: proper-interval-Some2 intro: above-upclosed)
       moreover have set ys − {y} = set ys
         using y-Min y-ao by(auto)
       ultimately show ?thesis using False y-ao
         by(simp)(subst (2) set-less-eq-aux-rec, simp-all)
```

```
    qed
  next
    case (3 ao x xs)
    from ‹sorted (x # xs)› ‹distinct (x # xs)›
    have xs: sorted xs    distinct xs and x-Min: ∀ x'∈set xs. x < x'
      by(auto simp add: less-le)
    show ?case
    proof(cases proper-interval ao (Some x))
      case True
    then obtain z where z ∈ above ao    z < x by(auto simp add: proper-interval-Some2)
      hence z ∈ − set (x # xs) ∩ above ao using x-Min by auto
      thus ?thesis using True by auto
    next
      case False
      note ao = ‹set (x # xs) ∪ set [] ⊆ above ao›
      hence x-ao: x ∈ above ao by simp
      from ao have set xs ∪ set [] ⊆ above (Some x) using x-Min by auto
      with xs ‹sorted []› ‹distinct []›
      have Compl-set-less-eq-aux (Some x) xs [] ⟷
        − set xs ∩ above (Some x) ⊑' set []
        by(rule 3.IH)
      moreover have − set (x # xs) ∩ above ao = − set xs ∩ above (Some x)
     using False x-ao by(auto simp add: proper-interval-Some2 intro: above-upclosed)
      ultimately show ?thesis using False by simp
    qed
  next
    case (4 ao x xs y ys)
    note ao = ‹set (x # xs) ∪ set (y # ys) ⊆ above ao›
    hence x-ao: x ∈ above ao and y-ao: y ∈ above ao by simp-all
    note xxs = ‹sorted (x # xs)› ‹distinct (x # xs)›
    hence xs: sorted xs    distinct xs and x-Min: ∀ x'∈set xs. x < x'
      by(auto simp add: less-le)
    note yys = ‹sorted (y # ys)› ‹distinct (y # ys)›
    hence ys: sorted ys    distinct ys and y-Min: ∀ y'∈set ys. y < y'
      by(auto simp add: less-le)
    let ?lhs = − set (x # xs) ∩ above ao and ?rhs = set (y # ys)
    show ?case
    proof(cases x < y)
      case True
      show ?thesis
      proof(cases proper-interval ao (Some x))
        case True
        hence ¬ ?lhs ⊑' ?rhs using x-Min y-Min ‹x < y›
          by −(erule proper-interval-not-set-less-eq-auxI, auto)
        thus ?thesis using True ‹x < y› by simp
      next
        case False
        have set xs ∪ set (y # ys) ⊆ above (Some x)
          using ao x-Min y-Min True by auto
```

```
      with True xs yys
      have Compl-set-less-eq-aux (Some x) xs (y # ys) ⟷
        − set xs ∩ above (Some x) ⊑′ set (y # ys)
        by(rule 4.IH)
      moreover have − set xs ∩ above (Some x) = ?lhs
     using x-ao False by(auto intro: above-upclosed simp add: proper-interval-Some2)
      ultimately show ?thesis using False True by simp
    qed
  next
    case False
    show ?thesis
    proof(cases y < x)
      case True
      show ?thesis
      proof(cases proper-interval ao (Some y))
        case True
        hence ¬ ?lhs ⊑′ ?rhs using x-Min y-Min ‹y < x›
          by −(erule proper-interval-not-set-less-eq-auxI, auto)
        thus ?thesis using True ‹y < x› ‹¬ x < y› by simp
      next
        case False
        from ao True x-Min y-Min
        have set (x # xs) ∪ set ys ⊆ above (Some y) by auto
        with ‹¬ x < y› True xxs ys
        have Compl-set-less-eq-aux (Some y) (x # xs) ys ⟷
          − set (x # xs) ∩ above (Some y) ⊑′ set ys
          by(rule 4.IH)
        moreover have y ∈ ?lhs using True x-Min y-ao by auto
        hence ?lhs ≠ {} by auto
        moreover have Min ?lhs = y using True False x-Min y-ao
        by(auto intro!: Min-eqI simp add: not-le not-less proper-interval-Some2)
      moreover have Min ?rhs = y using y-Min y-ao by(auto intro!: Min-eqI)
        moreover have − set (x # xs) ∩ above (Some y) = ?lhs − {y}
      using y-ao False by(auto intro: above-upclosed simp add: proper-interval-Some2)
        moreover have set ys = set ys − {y}
          using y-ao y-Min by(auto intro: above-upclosed)
        ultimately show ?thesis using True False ‹¬ x < y› y-ao
          by(simp)(subst (2) set-less-eq-aux-rec, simp-all)
      qed
    next
      case False
      with ‹¬ x < y› have x = y by auto
      { assume proper-interval ao (Some y)
        hence ¬ ?lhs ⊑′ ?rhs using x-Min y-Min ‹x = y›
          by −(erule proper-interval-not-set-less-eq-auxI, auto) }
      moreover
      { assume ¬ ?lhs ⊑′ ?rhs
        also have ?rhs = set (y # ys) ∩ above ao
          using ao by auto
```

```
          finally obtain z where z ∈ above ao    z < y
            using ‹x = y› x-ao x-Min[unfolded Ball-def]
              by(fastforce simp add: set-less-eq-aux-def set-less-aux-def simp add:
less-le not-le dest!: bspec[where x=y])
          hence proper-interval ao (Some y)
            by(auto simp add: proper-interval-Some2) }
        ultimately show ?thesis using ‹x = y› by auto
      qed
    qed
  qed }
 from this[of None] show ?Compl1 by simp
qed

lemma set-less-aux-Compl-iff:
 set-less-aux-Compl ao xs ys ⟷ set-less-eq-aux-Compl ao xs ys ∧ ¬ Compl-set-less-eq-aux
ao ys xs
by(induct ao xs ys rule: set-less-aux-Compl.induct)(auto simp add: not-less-iff-gr-or-eq)

lemma Compl-set-less-aux-Compl-iff:
 Compl-set-less-aux ao xs ys ⟷ Compl-set-less-eq-aux ao xs ys ∧ ¬ set-less-eq-aux-Compl
ao ys xs
by(induct ao xs ys rule: Compl-set-less-aux.induct)(auto simp add: not-less-iff-gr-or-eq)

theorem assumes fin: finite (UNIV :: 'a set)
 and xs: sorted xs    distinct xs
 and ys: sorted ys    distinct ys
 shows set-less-aux-Compl2-conv-set-less-aux-Compl:
 set xs ⊏' − set ys ⟷ set-less-aux-Compl None xs ys (is ?Compl2)
 and Compl1-set-less-aux-conv-Compl-set-less-aux:
 − set xs ⊏' set ys ⟷ Compl-set-less-aux None xs ys (is ?Compl1)
using assms
by(simp-all only: set-less-aux-conv-set-less-eq-aux set-less-aux-Compl-iff Compl-set-less-aux-Compl-iff
set-less-eq-aux-Compl2-conv-set-less-eq-aux-Compl Compl1-set-less-eq-aux-conv-Compl-set-less-eq-aux)

end
```

2.6.3 Implementation of proper intervals for sets

```
definition length-last :: 'a list ⇒ nat × 'a
where length-last xs = (length xs, last xs)

lemma length-last-Nil [code]: length-last [] = (0, undefined)
by(simp add: length-last-def last-def)

lemma length-last-Cons-code [symmetric, code]:
 fold (λx (n, -) . (n + 1, x)) xs (1, x) = length-last (x # xs)
by(induct xs rule: rev-induct)(simp-all add: length-last-def)

context proper-intrvl begin
```

fun *exhaustive-above* :: *'a* ⇒ *'a list* ⇒ *bool* **where**
exhaustive-above x [] ⟷ ¬ *proper-interval* (*Some x*) *None*
| *exhaustive-above x* (*y* # *ys*) ⟷ ¬ *proper-interval* (*Some x*) (*Some y*) ∧ *exhaustive-above y ys*

fun *exhaustive* :: *'a list* ⇒ *bool* **where**
exhaustive [] = *False*
| *exhaustive* (*x* # *xs*) ⟷ ¬ *proper-interval None* (*Some x*) ∧ *exhaustive-above x xs*

fun *proper-interval-set-aux* :: *'a list* ⇒ *'a list* ⇒ *bool*
where
proper-interval-set-aux xs [] ⟷ *False*
| *proper-interval-set-aux* [] (*y* # *ys*) ⟷ *ys* ≠ [] ∨ *proper-interval* (*Some y*) *None*
| *proper-interval-set-aux* (*x* # *xs*) (*y* # *ys*) ⟷
(*if x* < *y then False*
else if y < *x then proper-interval* (*Some y*) (*Some x*) ∨ *ys* ≠ [] ∨ ¬ *exhaustive-above x xs*
else proper-interval-set-aux xs ys)

fun *proper-interval-set-Compl-aux* :: *'a option* ⇒ *nat* ⇒ *'a list* ⇒ *'a list* ⇒ *bool*
where
proper-interval-set-Compl-aux ao n [] [] ⟷
CARD('*a*) > *n* + *1*
| *proper-interval-set-Compl-aux ao n* [] (*y* # *ys*) ⟷
(*let m* = *CARD*('*a*) − *n*; (*len-y*, *y'*) = *length-last* (*y* # *ys*)
in m ≠ *len-y* ∧ (*m* = *len-y* + *1* ⟶ ¬ *proper-interval* (*Some y'*) *None*))
| *proper-interval-set-Compl-aux ao n* (*x* # *xs*) [] ⟷
(*let m* = *CARD*('*a*) − *n*; (*len-x*, *x'*) = *length-last* (*x* # *xs*)
in m ≠ *len-x* ∧ (*m* = *len-x* + *1* ⟶ ¬ *proper-interval* (*Some x'*) *None*))
| *proper-interval-set-Compl-aux ao n* (*x* # *xs*) (*y* # *ys*) ⟷
(*if x* < *y then*
proper-interval ao (*Some x*) ∨
proper-interval-set-Compl-aux (*Some x*) (*n* + *1*) *xs* (*y* # *ys*)
else if y < *x then*
proper-interval ao (*Some y*) ∨
proper-interval-set-Compl-aux (*Some y*) (*n* + *1*) (*x* # *xs*) *ys*
else proper-interval ao (*Some x*) ∧
(*let m* = *card* (*UNIV* :: *'a set*) − *n in m* − *length ys* ≠ *2* ∨ *m* − *length xs* ≠ *2*))

fun *proper-interval-Compl-set-aux* :: *'a option* ⇒ *'a list* ⇒ *'a list* ⇒ *bool*
where
proper-interval-Compl-set-aux ao (*x* # *xs*) (*y* # *ys*) ⟷
(*if x* < *y then*
¬ *proper-interval ao* (*Some x*) ∧
proper-interval-Compl-set-aux (*Some x*) *xs* (*y* # *ys*)
else if y < *x then*

```
    ¬ proper-interval ao (Some y) ∧
    proper-interval-Compl-set-aux (Some y) (x # xs) ys
  else ¬ proper-interval ao (Some x) ∧ (ys = [] ⟶ xs ≠ []))
| proper-interval-Compl-set-aux ao - - ⟷ False

end

lemmas [code] =
  proper-intrvl.exhaustive-above.simps
  proper-intrvl.exhaustive.simps
  proper-intrvl.proper-interval-set-aux.simps
  proper-intrvl.proper-interval-set-Compl-aux.simps
  proper-intrvl.proper-interval-Compl-set-aux.simps

context linorder-proper-interval begin

lemma exhaustive-above-iff:
  ⟦ sorted xs; distinct xs; ∀ x'∈set xs. x < x' ⟧ ⟹ exhaustive-above x xs ⟷ set
xs = {z. z > x}
proof(induction x xs rule: exhaustive-above.induct)
  case 1 thus ?case by(simp add: proper-interval-simps)
next
  case (2 x y ys)
  from ‹sorted (y # ys)› ‹distinct (y # ys)›
  have ys: sorted ys    distinct ys and y: ∀ y'∈set ys. y < y'
    by(auto simp add: less-le)
  hence exhaustive-above y ys = (set ys = {z. y < z}) by(rule 2.IH)
  moreover from ‹∀ y'∈set (y # ys). x < y'› have x < y by simp
  ultimately show ?case using y
    by(fastforce simp add: proper-interval-simps)
qed

lemma exhaustive-correct:
  assumes sorted xs    distinct xs
  shows exhaustive xs ⟷ set xs = UNIV
proof(cases xs)
  case Nil thus ?thesis by auto
next
  case Cons
  show ?thesis using assms unfolding Cons exhaustive.simps
    apply(subst exhaustive-above-iff)
     apply(auto simp add: less-le proper-interval-simps not-less intro: order-antisym)
    done
qed

theorem proper-interval-set-aux:
  assumes fin: finite (UNIV :: 'a set)
  and xs: sorted xs    distinct xs
  and ys: sorted ys    distinct ys
```

```
  shows proper-interval-set-aux xs ys ⟷ (∃ A. set xs ⊏' A ∧ A ⊏' set ys)
proof −
  note [simp] = finite-subset[OF subset-UNIV fin]
  show ?thesis using xs ys
  proof(induction xs ys rule: proper-interval-set-aux.induct)
    case 1 thus ?case by simp
  next
    case (2 y ys)
    hence ∀ y'∈set ys. y < y' by(auto simp add: less-le)
    thus ?case
       by(cases ys)(auto simp add: proper-interval-simps set-less-aux-singleton-iff
intro: psubset-finite-imp-set-less-aux)
  next
    case (3 x xs y ys)
    from ‹sorted (x # xs)› ‹distinct (x # xs)›
    have xs: sorted xs    distinct xs and x: ∀ x'∈set xs. x < x'
      by(auto simp add: less-le)
    from ‹sorted (y # ys)› ‹distinct (y # ys)›
    have ys: sorted ys    distinct ys and y: ∀ y'∈set ys. y < y'
      by(auto simp add: less-le)
    have Minxxs: Min (set (x # xs)) = x and xnxs: x ∉ set xs
      using x by(auto intro!: Min-eqI)
    have Minyys: Min (set (y # ys)) = y and ynys: y ∉ set ys
      using y by(auto intro!: Min-eqI)
    show ?case
    proof(cases x < y)
      case True
      hence set (y # ys) ⊏' set (x # xs) using Minxxs Minyys
        by −(rule set-less-aux-Min-antimono, simp-all)
     thus ?thesis using True by(auto dest: set-less-aux-trans set-less-aux-antisym)
    next
      case False
      show ?thesis
      proof(cases y < x)
        case True
        { assume proper-interval (Some y) (Some x)
       then obtain z where z: y < z   z < x by(auto simp add: proper-interval-simps)
          hence set (x # xs) ⊏' {z} using x
            by −(rule set-less-aux-Min-antimono, auto)
          moreover have {z} ⊏' set (y # ys) using z y Minyys
            by −(rule set-less-aux-Min-antimono, auto)
          ultimately have ∃ A. set (x # xs) ⊏' A ∧ A ⊏' set (y # ys) by blast }
        moreover
        { assume ys ≠ []
          hence {y} ⊏' set (y # ys) using y
            by −(rule psubset-finite-imp-set-less-aux, auto simp add: neq-Nil-conv)
          moreover have set (x # xs) ⊏' {y} using False True x
            by −(rule set-less-aux-Min-antimono, auto)
          ultimately have ∃ A. set (x # xs) ⊏' A ∧ A ⊏' set (y # ys) by blast }
```

```
    moreover
    { assume ¬ exhaustive-above x xs
      then obtain z where z: z > x    z ∉ set xs using x
        by(auto simp add: exhaustive-above-iff[OF xs x])
      let ?A = insert z (set (x # xs))
      have set (x # xs) ⊏′ ?A using z
        by −(rule psubset-finite-imp-set-less-aux, auto)
      moreover have ?A ⊏′ set (y # ys) using Minyys False True z x
        by −(rule set-less-aux-Min-antimono, auto)
      ultimately have ∃ A. set (x # xs) ⊏′ A ∧ A ⊏′ set (y # ys) by blast }
    moreover
    { fix A
      assume A: set (x # xs) ⊏′ A and A′: A ⊏′ {y}
        and pi: ¬ proper-interval (Some y) (Some x)
      from A have nempty: A ≠ {} by auto
      have y ∉ A
      proof
        assume y ∈ A
        moreover with A′ have A ≠ {y} by auto
          ultimately have {y} ⊏′ A by −(rule psubset-finite-imp-set-less-aux,
auto)
        with A′ show False by(rule set-less-aux-antisym)
      qed
      have y < Min A unfolding not-le[symmetric]
      proof
        assume Min A ≤ y
        moreover have Min A ≠ y using ‹y ∉ A› nempty by clarsimp
        ultimately have Min A < Min {y} by simp
     hence {y} ⊏′ A by(rule set-less-aux-Min-antimono)(simp-all add: nempty)
        with A′ show False by(rule set-less-aux-antisym)
      qed
     with pi nempty have x ≤ Min A by(auto simp add: proper-interval-simps)
      moreover
      from A obtain z where z: z ∈ A    z ∉ set (x # xs)
        by(auto simp add: set-less-aux-def)
      with ‹x ≤ Min A› nempty have x < z by auto
      with z have ¬ exhaustive-above x xs
        by(auto simp add: exhaustive-above-iff[OF xs x]) }
    ultimately show ?thesis using True False by fastforce
  next
    case False
    with ‹¬ x < y› have x = y by auto
    from ‹¬ x < y› False
    have proper-interval-set-aux xs ys = (∃ A. set xs ⊏′ A ∧ A ⊏′ set ys)
      using xs ys by(rule 3.IH)
    also have ... = (∃ A. set (x # xs) ⊏′ A ∧ A ⊏′ set (y # ys))
      (is ?lhs = ?rhs)
    proof
      assume ?lhs
```

```
        then obtain A where A: set xs ⊏' A
          and A': A ⊏' set ys by blast
        hence nempty: A ≠ {}    ys ≠ [] by auto
        let ?A = insert y A
        { assume Min A ≤ y
          also from y nempty have y < Min (set ys) by auto
           finally have set ys ⊏' A by(rule set-less-aux-Min-antimono)(simp-all
add: nempty)
          with A' have False by(rule set-less-aux-antisym) }
        hence MinA: y < Min A by(metis not-le)
        with nempty have y ∉ A by auto
        moreover
        with MinA nempty have MinyA: Min ?A = y by −(rule Min-eqI, auto)
        ultimately have A1: set (x # xs) ⊏' ?A using ‹x = y› A Minxxs xnxs
          by(subst set-less-aux-rec) simp-all
        moreover
        have ?A ⊏' set (y # ys) using ‹x = y› MinyA ‹y ∉ A› A' Minyys ynys
          by(subst set-less-aux-rec) simp-all
        ultimately show ?rhs by blast
      next
        assume ?rhs
        then obtain A where A: set (x # xs) ⊏' A
          and A': A ⊏' set (y # ys) by blast
        let ?A = A − {x}
        from A have nempty: A ≠ {} by auto
        { assume x < Min A
          hence Min (set (x # xs)) < Min A unfolding Minxxs .
          hence A ⊏' set (x # xs)
            by(rule set-less-aux-Min-antimono) simp-all
          with A have False by(rule set-less-aux-antisym) }
        moreover
        { assume Min A < x
          hence Min A < Min (set (y # ys)) unfolding ‹x = y› Minyys .
           hence set (y # ys) ⊏' A by(rule set-less-aux-Min-antimono)(simp-all
add: nempty)
          with A' have False by(rule set-less-aux-antisym) }
        ultimately have MinA: Min A = x by(metis less-linear)
        hence x ∈ A using nempty by(metis Min-in ‹finite A›)

        from A nempty Minxxs xnxs have set xs ⊏' ?A
          by(subst (asm) set-less-aux-rec)(auto simp add: MinA)
       moreover from A' ‹x = y› nempty Minyys MinA ynys have ?A ⊏' set ys
          by(subst (asm) set-less-aux-rec) simp-all
        ultimately show ?lhs by blast
      qed
      finally show ?thesis using ‹x = y› by simp
    qed
  qed
 qed
```

qed

lemma *proper-interval-set-Compl-aux*:
assumes *fin*: *finite* (*UNIV* :: *'a set*)
and *xs*: *sorted xs* *distinct xs*
and *ys*: *sorted ys* *distinct ys*
shows *proper-interval-set-Compl-aux None 0 xs ys* $\longleftrightarrow$ ($\exists$ *A*. *set xs* $\sqsubset'$ *A* $\wedge$ *A* $\sqsubset'$ − *set ys*)
proof −
note [*simp*] = *finite-subset*[*OF subset-UNIV fin*]

define *above* **where** *above* = *case-option UNIV* (*Collect* $\circ$ *less*)
have *above-simps* [*simp*]: *above None* = *UNIV* $\bigwedge$*x*. *above* (*Some x*) = {*y*. *x* < *y*}
and *above-upclosed*: $\bigwedge$*x y ao*. ⟦ *x* $\in$ *above ao*; *x* < *y* ⟧ $\Longrightarrow$ *y* $\in$ *above ao*
and *proper-interval-Some2*: $\bigwedge$*x ao*. *proper-interval ao* (*Some x*) $\longleftrightarrow$ ($\exists$ *z*$\in$*above ao*. *z* < *x*)
by(*simp-all add*: *proper-interval-simps above-def split*: *option.splits*)

{ fix *ao n*
assume *set xs* $\subseteq$ *above ao* *set ys* $\subseteq$ *above ao*
from *xs* ‹*set xs* $\subseteq$ *above ao*› *ys* ‹*set ys* $\subseteq$ *above ao*›
have *proper-interval-set-Compl-aux ao* (*card* (*UNIV* − *above ao*)) *xs ys* $\longleftrightarrow$
($\exists$ *A* $\subseteq$ *above ao*. *set xs* $\sqsubset'$ *A* $\wedge$ *A* $\sqsubset'$ − *set ys* $\cap$ *above ao*)
proof(*induct ao n*≡*card* (*UNIV* − *above ao*) *xs ys rule*: *proper-interval-set-Compl-aux.induct*)
case (*1 ao*)
have *card* (*UNIV* − *above ao*) + *1* < *CARD*(*'a*) $\longleftrightarrow$ ($\exists$ *A* $\subseteq$ *above ao*. *A* $\neq$ {} $\wedge$ *A* $\sqsubset'$ *above ao*)
(**is** *?lhs* $\longleftrightarrow$ *?rhs*)
proof
assume *?lhs*
hence *card* (*UNIV* − (*UNIV* − *above ao*)) > *1* **by**(*simp add*: *card-Diff-subset*)
from *card-gt-1D*[*OF this*]
obtain *x y* **where** *above*: *x* $\in$ *above ao* *y* $\in$ *above ao*
and *neq*: *x* $\neq$ *y* **by** *blast*
hence {*x*} $\sqsubset'$ {*x*, *y*} $\cap$ *above ao*
by(*simp-all add*: *psubsetI psubset-finite-imp-set-less-aux*)
also have ... $\sqsubseteq'$ *above ao*
by(*auto intro*: *subset-finite-imp-set-less-eq-aux*)
finally show *?rhs* **using** *above* **by** *blast*
next
assume *?rhs*
then obtain *A* **where** *nempty*: *A* $\cap$ *above ao* $\neq$ {}
and *subset*: *A* $\subseteq$ *above ao*
and *less*: *A* $\sqsubset'$ *above ao* **by** *blast*
from *nempty* **obtain** *x* **where** *x*: *x* $\in$ *A* *x* $\in$ *above ao* **by** *blast*
show *?lhs*
proof(*rule ccontr*)
assume $\neg$ *?lhs*

```
      moreover have CARD('a) ≥ card (UNIV − above ao) by(rule card-mono)
simp-all
        moreover from card-Un-disjoint[of UNIV − above ao  above ao]
        have CARD('a) = card (UNIV − above ao) + card (above ao) by auto
        ultimately have card (above ao) = 1 using x
          by(cases card (above ao))(auto simp add: not-less-eq less-Suc-eq-le)
        with x have above ao = {x} unfolding card-eq-1-iff by auto
        moreover with x subset have A: A = {x} by auto
        ultimately show False using less by simp
      qed
    qed
    thus ?case by simp
  next
    case (2 ao y ys)
    note ys = ‹sorted (y # ys)› ‹distinct (y # ys)› ‹set (y # ys) ⊆ above ao›
    have len-ys: length ys = card (set ys)
      using ys by(auto simp add: List.card-set intro: sym)

    define m where m = CARD('a) − card (UNIV − above ao)
    have CARD('a) = card (above ao) + card (UNIV − above ao)
      using card-Un-disjoint[of above ao  UNIV − above ao] by auto
    hence m-eq: m = card (above ao) unfolding m-def by simp

    have m ≠ length ys + 1 ∧ (m = length ys + 2 ⟶ ¬ proper-interval (Some
(last (y # ys))) None) ⟷
      (∃A ⊆ above ao. A ≠ {} ∧ A ⊏' − set (y # ys) ∩ above ao) (is ?lhs ⟷
?rhs)
    proof
      assume ?lhs
      hence m: m ≠ length ys + 1
        and pi: m = length ys + 2 ⟹ ¬ proper-interval (Some (last (y # ys)))
None
        by simp-all
      have length ys + 1 = card (set (y # ys)) using ys len-ys by simp
      also have ... ≤ m unfolding m-eq by(rule card-mono)(simp, rule ys)
      finally have length ys + 2 ≤ m using m by simp
      show ?rhs
      proof(cases m = length ys + 2)
        case True
        hence card (UNIV − (UNIV − above ao) − set (y # ys)) = 1
          using ys len-ys
          by(subst card-Diff-subset)(auto simp add: m-def card-Diff-subset)
        then obtain z where z: z ∈ above ao  z ≠ y  z ∉ set ys
          unfolding card-eq-1-iff by auto
         from True have ¬ proper-interval (Some (last (y # ys))) None by(rule
pi)
         hence z ≤ last (y # ys) by(simp add: proper-interval-simps not-less del:
last.simps)
        moreover have ly: last (y # ys) ∈ set (y # ys) by(rule last-in-set) simp
```

```
      with z have z ≠ last (y # ys) by auto
      ultimately have z < last (y # ys) by simp
      hence {last (y # ys)} ⊏' {z}
        using z ly ys by(auto 4 3 simp add: set-less-aux-def)
      also have ... ⊑' − set (y # ys) ∩ above ao
        using z by(auto intro: subset-finite-imp-set-less-eq-aux)
      also have {last (y # ys)} ≠ {} using ly ys by blast
      moreover have {last (y # ys)} ⊆ above ao using ys by auto
      ultimately show ?thesis by blast
    next
      case False
      with ‹length ys + 2 ≤ m› ys len-ys
      have card (UNIV − (UNIV − above ao) − set (y # ys)) > 1
        by(subst card-Diff-subset)(auto simp add: card-Diff-subset m-def)
      from card-gt-1D[OF this]
      obtain x x' where x: x ∈ above ao    x ≠ y    x ∉ set ys
        and x': x' ∈ above ao    x' ≠ y    x' ∉ set ys
        and neq: x ≠ x' by auto
      hence {x} ⊏' {x, x'} ∩ above ao
        by(simp-all add: psubsetI psubset-finite-imp-set-less-aux)
      also have ... ⊑' − set (y # ys) ∩ above ao using x x' ys
        by(auto intro: subset-finite-imp-set-less-eq-aux)
      also have {x} ∩ above ao ≠ {} using x by simp
      ultimately show ?rhs by blast
    qed
  next
    assume ?rhs
    then obtain A where nempty: A ≠ {}
      and less: A ⊏' − set (y # ys) ∩ above ao
      and subset: A ⊆ above ao by blast
      have card (set (y # ys)) ≤ card (above ao) using ys(3) by(simp add:
card-mono)
    hence length ys + 1 ≤ m unfolding m-eq using ys by(simp add: len-ys)
    have m ≠ length ys + 1
    proof
      assume m = length ys + 1
      hence card (above ao) ≤ card (set (y # ys))
        unfolding m-eq using ys len-ys by auto
      from card-seteq[OF - - this] ys have set (y # ys) = above ao by simp
      with nempty less show False by(auto simp add: set-less-aux-def)
    qed
    moreover
    { assume m = length ys + 2
      hence card (above ao − set (y # ys)) = 1
        using ys len-ys m-eq by(auto simp add: card-Diff-subset)
      then obtain z where z: above ao − set (y # ys) = {z}
        unfolding card-eq-1-iff ..
      hence eq-z: − set (y # ys) ∩ above ao = {z} by auto
      with less have A ⊏' {z} by simp
```

```
        have ¬ proper-interval (Some (last (y # ys))) None
        proof
          assume proper-interval (Some (last (y # ys))) None
          then obtain z' where z': last (y # ys) < z'
            by(clarsimp simp add: proper-interval-simps)
          have last (y # ys) ∈ set (y # ys) by(rule last-in-set) simp
          with ys z' have z' ∈ above ao    z' ∉ set (y # ys)
            using above-upclosed local.not-less local.sorted-last ys(1) z' by blast+
          with eq-z have z = z' by fastforce
          from z' have ⋀x. x ∈ set (y # ys) ⟹ x < z' using ys
            by(auto dest: sorted-last simp del: sorted-wrt.simps(2))
          with eq-z ‹z = z'›
          have ⋀x. x ∈ above ao ⟹ x ≤ z' by(fastforce)
          with ‹A ⊏' {z}› nempty ‹z = z'› subset
          show False by(auto simp add: set-less-aux-def)
        qed }
      ultimately show ?lhs by simp
    qed
    thus ?case by(simp add: length-last-def m-def Let-def)
  next
    case (3 ao x xs)
    note xs = ‹sorted (x # xs)› ‹distinct (x # xs)› ‹set (x # xs) ⊆ above ao›
    have len-xs: length xs = card (set xs)
      using xs by(auto simp add: List.card-set intro: sym)

    define m where m = CARD('a) − card (UNIV − above ao)
    have CARD('a) = card (above ao) + card (UNIV − above ao)
      using card-Un-disjoint[of above ao    UNIV − above ao] by auto
    hence m-eq: m = card (above ao) unfolding m-def by simp
    have m ≠ length xs + 1 ∧ (m = length xs + 2 ⟶ ¬ proper-interval (Some
(last (x # xs))) None) ⟷
      (∃ A ⊆ above ao. set (x # xs) ⊏' A ∧ A ⊏' above ao) (is ?lhs ⟷ ?rhs)
    proof
      assume ?lhs
      hence m: m ≠ length xs + 1
        and pi: m = length xs + 2 ⟹ ¬ proper-interval (Some (last (x # xs)))
None
        by simp-all
      have length xs + 1 = card (set (x # xs)) using xs len-xs by simp
      also have ... ≤ m unfolding m-eq by(rule card-mono)(simp, rule xs)
      finally have length xs + 2 ≤ m using m by simp
      show ?rhs
      proof(cases m = length xs + 2)
        case True
        hence card (UNIV − (UNIV − above ao) − set (x # xs)) = 1
          using xs len-xs
          by(subst card-Diff-subset)(auto simp add: m-def card-Diff-subset)
        then obtain z where z: z ∈ above ao    z ≠ x    z ∉ set xs
          unfolding card-eq-1-iff by auto
```

```
        define A where A = insert z {y. y ∈ set (x # xs) ∧ y < z}

        from True have ¬ proper-interval (Some (last (x # xs))) None by(rule
pi)
        hence z ≤ last (x # xs) by(simp add: proper-interval-simps not-less del:
last.simps)
        moreover have lx: last (x # xs) ∈ set (x # xs) by(rule last-in-set) simp
        with z have z ≠ last (x # xs) by auto
        ultimately have z < last (x # xs) by simp
        hence set (x # xs) ⊏' A
        using z xs by(auto simp add: A-def set-less-aux-def intro: rev-bexI[where
x=z])
        moreover have last (x # xs) ∉ A using xs ‹z < last (x # xs)›
          by(auto simp add: A-def simp del: last.simps)
        hence A ⊂ insert (last (x # xs)) A by blast
        hence less': A ⊏' insert (last (x # xs)) A
          by(rule psubset-finite-imp-set-less-aux) simp
        have ... ⊆ above ao using xs lx z
          by(auto simp del: last.simps simp add: A-def)
        hence insert (last (x # xs)) A ⊑' above ao
          by(auto intro: subset-finite-imp-set-less-eq-aux)
        with less' have A ⊏' above ao
          by(rule set-less-trans-set-less-eq)
        moreover have A ⊆ above ao using xs z by(auto simp add: A-def)
        ultimately show ?thesis by blast
      next
        case False
        with ‹length xs + 2 ≤ m› xs len-xs
        have card (UNIV − (UNIV − above ao) − set (x # xs)) > 1
          by(subst card-Diff-subset)(auto simp add: card-Diff-subset m-def)
        from card-gt-1D[OF this]
        obtain y y' where y: y ∈ above ao    y ≠ x    y ∉ set xs
          and y': y' ∈ above ao    y' ≠ x    y' ∉ set xs
          and neq: y ≠ y' by auto
        define A where A = insert y (set (x # xs) ∩ above ao)
        hence set (x # xs) ⊂ A using y xs by auto
        hence set (x # xs) ⊏' ...
          by(fastforce intro: psubset-finite-imp-set-less-aux)
        moreover have *: ... ⊂ above ao
          using y y' neq by(auto simp add: A-def)
        moreover from * have A ⊏' above ao
          by(auto intro: psubset-finite-imp-set-less-aux)
        ultimately show ?thesis by blast
      qed
    next
      assume ?rhs
      then obtain A where lessA: set (x # xs) ⊏' A
        and Aless: A ⊏' above ao and subset: A ⊆ above ao by blast
        have card (set (x # xs)) ≤ card (above ao) using xs(3) by(simp add:
```

```
card-mono)
    hence length xs + 1 ≤ m unfolding m-eq using xs by(simp add: len-xs)
    have m ≠ length xs + 1
    proof
      assume m = length xs + 1
      hence card (above ao) ≤ card (set (x # xs))
        unfolding m-eq using xs len-xs by auto
      from card-seteq[OF - - this] xs have set (x # xs) = above ao by simp
      with lessA Aless show False by(auto dest: set-less-aux-antisym)
    qed
    moreover
    { assume m = length xs + 2
      hence card (above ao − set (x # xs)) = 1
        using xs len-xs m-eq by(auto simp add: card-Diff-subset)
      then obtain z where z: above ao − set (x # xs) = {z}
        unfolding card-eq-1-iff ..
      have ¬ proper-interval (Some (last (x # xs))) None
      proof
        assume proper-interval (Some (last (x # xs))) None
        then obtain z' where z': last (x # xs) < z'
          by(clarsimp simp add: proper-interval-simps)
        have last (x # xs) ∈ set (x # xs) by(rule last-in-set) simp
        with xs z' have z' ∈ above ao    z' ∉ set (x # xs)
          by(auto simp del: last.simps sorted-wrt.simps(2) intro: above-upclosed
dest: sorted-last)
        with z have z = z' by fastforce
        from z' have y-less: ⋀y. y ∈ set (x # xs) ⟹ y < z' using xs
          by(auto simp del: sorted-wrt.simps(2) dest: sorted-last)
        with z ‹z = z'› have ⋀y. y ∈ above ao ⟹ y ≤ z' by(fastforce)

        from lessA subset obtain y where y: y ∈ A    y ∈ above ao    y ∉ set
(x # xs)
          and min: ⋀y'. ⟦ y' ∈ set (x # xs); y' ∈ above ao; y' ∉ A ⟧ ⟹ y ≤ y'
          by(auto simp add: set-less-aux-def)
        with z ‹z = z'› have y = z' by auto
        have set (x # xs) ⊆ A
        proof
          fix y'
          assume y': y' ∈ set (x # xs)
          show y' ∈ A
          proof(rule ccontr)
            assume y' ∉ A
            from y' xs have y' ∈ above ao by auto
            with y' have y ≤ y' using ‹y' ∉ A› by(rule min)
            moreover from y' have y' < z' by(rule y-less)
            ultimately show False using ‹y = z'› by simp
          qed
        qed
        moreover from z xs have above ao = insert z (set (x # xs)) by auto
```

```
        ultimately have A = above ao using y ‹y = z'› ‹z = z'› subset by auto
         with Aless show False by simp
       qed }
      ultimately show ?lhs by simp
    qed
    thus ?case by(simp add: length-last-def m-def Let-def del: last.simps)
  next
    case (4 ao x xs y ys)
    note xxs = ‹sorted (x # xs)› ‹distinct (x # xs)›
      and yys = ‹sorted (y # ys)› ‹distinct (y # ys)›
      and xxs-above = ‹set (x # xs) ⊆ above ao›
      and yys-above = ‹set (y # ys) ⊆ above ao›
    from xxs have xs: sorted xs    distinct xs and x-Min: ∀ x'∈set xs. x < x'
      by(auto simp add: less-le)
    from yys have ys: sorted ys    distinct ys and y-Min: ∀ y'∈set ys. y < y'
      by(auto simp add: less-le)

    have len-xs: length xs = card (set xs)
      using xs by(auto simp add: List.card-set intro: sym)
    have len-ys: length ys = card (set ys)
      using ys by(auto simp add: List.card-set intro: sym)

    show ?case
    proof(cases x < y)
      case True

      have proper-interval ao (Some x) ∨
         proper-interval-set-Compl-aux (Some x) (card (UNIV − above ao) + 1)
xs (y # ys) ⟷
        (∃ A ⊆ above ao. set (x # xs) ⊏' A ∧ A ⊏' − set (y # ys) ∩ above ao)
        (is ?lhs ⟷ ?rhs)
      proof(cases proper-interval ao (Some x))
        case True
        then obtain z where z: z ∈ above ao    z < x
          by(clarsimp simp add: proper-interval-Some2)
        moreover with xxs have ∀ x'∈set xs. z < x' by(auto)
        ultimately have set (x # xs) ⊏' {z}
          by(auto simp add: set-less-aux-def intro!: bexI[where x=z])
        moreover {
          from z yys ‹x < y› have z < y    ∀ y'∈set ys. z < y'
            by(auto)
          hence subset: {z} ⊆ − set (y # ys) ∩ above ao
            using ys ‹x < y› z by auto
          moreover have x ∈ ... using yys xxs ‹x < y› xxs-above by(auto)
          ultimately have {z} ⊂ ... using ‹z < x› by fastforce
          hence {z} ⊏' ...
            by(fastforce intro: psubset-finite-imp-set-less-aux) }
        moreover have {z} ⊆ above ao using z by simp
        ultimately have ?rhs by blast
```

```
      thus ?thesis using True by simp
    next
      case False
      hence above-eq: above ao = insert x (above (Some x)) using xxs-above
        by(auto simp add: proper-interval-Some2 intro: above-upclosed)
      moreover have card (above (Some x)) < CARD('a)
        by(rule psubset-card-mono)(auto)
      ultimately have card-eq: card (UNIV − above ao) + 1 = card (UNIV
− above (Some x))
        by(simp add: card-Diff-subset)
      from xxs-above x-Min have xs-above: set xs ⊆ above (Some x) by(auto)
      from ‹x < y› y-Min have set (y # ys) ⊆ above (Some x) by(auto)
      with ‹x < y› card-eq xs xs-above yys
      have proper-interval-set-Compl-aux (Some x) (card (UNIV − above ao)
+ 1) xs (y # ys) ⟷
          (∃ A ⊆ above (Some x). set xs ⊏′ A ∧ A ⊏′ − set (y # ys) ∩ above
(Some x))
        by(subst card-eq)(rule 4)
      also have ... ⟷ ?rhs (is ?lhs′ ⟷ -)
      proof
        assume ?lhs′
        then obtain A where less-A: set xs ⊏′ A
          and A-less: A ⊏′ − set (y # ys) ∩ above (Some x)
          and subset: A ⊆ above (Some x) by blast
        let ?A = insert x A

        have Min-A′: Min ?A = x using xxs-above False subset
          by(auto intro!: Min-eqI simp add: proper-interval-Some2)
        moreover have Min (set (x # xs)) = x
          using x-Min by(auto intro!: Min-eqI)
        moreover have Amx: A − {x} = A
          using False subset
          by(auto simp add: proper-interval-Some2 intro: above-upclosed)
        moreover have set xs − {x} = set xs using x-Min by auto
        ultimately have less-A′: set (x # xs) ⊏′ ?A
          using less-A xxs-above x-Min by(subst set-less-aux-rec) simp-all

        have x ∈ − insert y (set ys) ∩ above ao
          using ‹x < y› xxs-above y-Min by auto
        hence − insert y (set ys) ∩ above ao ≠ {} by auto
        moreover have Min (− insert y (set ys) ∩ above ao) = x
          using yys y-Min xxs-above ‹x < y› False
          by(auto intro!: Min-eqI simp add: proper-interval-Some2)
        moreover have − set (y # ys) ∩ above ao − {x} = − set (y # ys) ∩
above (Some x)
          using yys-above False xxs-above
          by(auto simp add: proper-interval-Some2 intro: above-upclosed)
        ultimately have A′-less: ?A ⊏′ − set (y # ys) ∩ above ao
          using Min-A′ A-less Amx xxs-above by(subst set-less-aux-rec) simp-all
```

```
        moreover have ?A ⊆ above ao using subset xxs-above by(auto intro:
above-upclosed)
        ultimately show ?rhs using less-A′ by blast
      next
        assume ?rhs
        then obtain A where less-A: set (x # xs) ⊏′ A
          and A-less: A ⊏′ − set (y # ys) ∩ above ao
          and subset: A ⊆ above ao by blast
        let ?A = A − {x}

       from less-A subset xxs-above have set (x # xs) ∩ above ao ⊏′ A ∩ above
ao
          by(simp add: Int-absorb2)
        with False xxs-above subset have x ∈ A
          by(auto simp add: set-less-aux-def proper-interval-Some2)
        hence ... ≠ {} by auto
        moreover from ‹x ∈ A› False subset
        have Min-A: Min A = x
          by(auto intro!: Min-eqI simp add: proper-interval-Some2 not-less)
        moreover have Min (set (x # xs)) = x
          using x-Min by(auto intro!: Min-eqI)
        moreover have eq-A: ?A ⊆ above (Some x)
          using xxs-above False subset
      by(fastforce simp add: proper-interval-Some2 not-less intro: above-upclosed)
        moreover have set xs − {x} = set xs
          using x-Min by(auto)
        ultimately have less-A′: set xs ⊏′ ?A
          using xxs-above less-A by(subst (asm) set-less-aux-rec)(simp-all cong:
conj-cong)

        have x ∈ − insert y (set ys) ∩ above ao
          using ‹x < y› xxs-above y-Min by auto
        hence − insert y (set ys) ∩ above ao ≠ {} by auto
        moreover have Min (− set (y # ys) ∩ above ao) = x
          using yys y-Min xxs-above ‹x < y› False
          by(auto intro!: Min-eqI simp add: proper-interval-Some2)
       moreover have − set (y # ys) ∩ above (Some x) = − set (y # ys) ∩
above ao − {x}
          by(auto simp add: above-eq)
        ultimately have ?A ⊏′ − set (y # ys) ∩ above (Some x)
          using A-less ‹A ≠ {}› eq-A Min-A
          by(subst (asm) set-less-aux-rec) simp-all

        with less-A′ eq-A show ?lhs′ by blast
      qed
      finally show ?thesis using False by simp
    qed
    thus ?thesis using True by simp
  next
```

```
      case False
      show ?thesis
      proof(cases y < x)
        case True
        have proper-interval ao (Some y) ∨
             proper-interval-set-Compl-aux (Some y) (card (UNIV − above ao) +
1) (x # xs) ys ⟷
            (∃ A ⊆ above ao. set (x # xs) ⊏′ A ∧ A ⊏′ − set (y # ys) ∩ above ao)
          (is ?lhs ⟷ ?rhs)
        proof(cases proper-interval ao (Some y))
          case True
          then obtain z where z: z ∈ above ao    z < y
            by(clarsimp simp add: proper-interval-Some2)
          from xxs ‹y < x› have ∀ x′∈set (x # xs). y < x′ by(auto)
          hence less-A: set (x # xs) ⊏′ {y}
            by(auto simp add: set-less-aux-def intro!: bexI[where x=y])

          have {y} ⊏′ {z}
             using z y-Min by(auto simp add: set-less-aux-def intro: bexI[where
x=z])
          also have ... ⊆ − set (y # ys) ∩ above ao using z y-Min by auto
          hence {z} ⊑′ ... by(auto intro: subset-finite-imp-set-less-eq-aux)
          finally have {y} ⊏′ ... .
          moreover have {y} ⊆ above ao using yys-above by auto
          ultimately have ?rhs using less-A by blast
          thus ?thesis using True by simp
        next
          case False
          hence above-eq: above ao = insert y (above (Some y)) using yys-above
            by(auto simp add: proper-interval-Some2 intro: above-upclosed)
          moreover have card (above (Some y)) < CARD('a)
            by(rule psubset-card-mono)(auto)
          ultimately have card-eq: card (UNIV − above ao) + 1 = card (UNIV
− above (Some y))
            by(simp add: card-Diff-subset)
         from yys-above y-Min have ys-above: set ys ⊆ above (Some y) by(auto)

          have eq-ys: − set ys ∩ above (Some y) = − set (y # ys) ∩ above ao
            by(auto simp add: above-eq)

          from ‹y < x› x-Min have set (x # xs) ⊆ above (Some y) by(auto)
          with ‹¬ x < y› ‹y < x› card-eq xxs ys ys-above
          have proper-interval-set-Compl-aux (Some y) (card (UNIV − above ao)
+ 1) (x # xs) ys ⟷
             (∃ A ⊆ above (Some y). set (x # xs) ⊏′ A ∧ A ⊏′ − set ys ∩ above
(Some y))
            by(subst card-eq)(rule 4)
          also have ... ⟷ ?rhs (is ?lhs′ ⟷ -)
          proof
```

```
          assume ?lhs'
         then obtain A where set (x # xs) ⊏' A and subset: A ⊆ above (Some
y)
            and A ⊏' − set ys ∩ above (Some y) by blast
          moreover from subset have A − {y} = A by auto
          ultimately have set (x # xs) ⊏' A − {y}
            and A − {y} ⊏' − set (y # ys) ∩ above ao
            using eq-ys by simp-all
          moreover from subset have A − {y} ⊆ above ao
            using yys-above by(auto intro: above-upclosed)
          ultimately show ?rhs by blast
        next
          assume ?rhs
          then obtain A where set (x # xs) ⊏' A
            and A-less: A ⊏' − set (y # ys) ∩ above ao
            and subset: A ⊆ above ao by blast
          moreover
          from A-less False yys-above have y ∉ A
            by(auto simp add: set-less-aux-def proper-interval-Some2 not-less)
          ultimately have set (x # xs) ⊏' A
            and A ⊏' − set ys ∩ above (Some y)
            using eq-ys by simp-all
           moreover from ‹y ∉ A› subset above-eq have A ⊆ above (Some y)
by auto
          ultimately show ?lhs' by blast
        qed
        finally show ?thesis using False by simp
      qed
      with False True show ?thesis by simp
    next
      case False
      with ‹¬ x < y› have x = y by simp
      have proper-interval ao (Some x) ∧
            (CARD('a) − (card (UNIV − above ao) + length ys) ≠ 2 ∨
             CARD('a) − (card (UNIV − above ao) + length xs) ≠ 2) ⟷
          (∃ A ⊆ above ao. set (x # xs) ⊏' A ∧ A ⊏' − set (y # ys) ∩ above ao)
        (is ?below ∧ ?card ⟷ ?rhs)
      proof(cases ?below)
        case False
        hence − set (y # ys) ∩ above ao ⊏' set (x # xs)
          using ‹x = y› yys-above xxs-above y-Min
         by(auto simp add: not-less set-less-aux-def proper-interval-Some2 intro!:
bexI[where x=y])
        with False show ?thesis by(auto dest: set-less-aux-trans)
      next
        case True
        then obtain z where z: z ∈ above ao    z < x
          by(clarsimp simp add: proper-interval-Some2)
```

```
have ?card ⟷ ?rhs
proof
  assume ?rhs
  then obtain A where less-A: set (x # xs) ⊏' A
    and A-less: A ⊏' − set (y # ys) ∩ above ao
    and subset: A ⊆ above ao by blast

  {
   assume c-ys: CARD('a) − (card (UNIV − above ao) + length ys) =
2
     and c-xs: CARD('a) − (card (UNIV − above ao) + length xs) = 2
      from c-ys yys-above len-ys y-Min have card (UNIV − (UNIV −
above ao) − set (y # ys)) = 1
     by(subst card-Diff-subset)(auto simp add: card-Diff-subset)
    then obtain z' where eq-y: − set (y # ys) ∩ above ao = {z'}
     unfolding card-eq-1-iff by auto
    moreover from z have z ∉ set (y # ys) using ‹x = y› y-Min by
auto
    ultimately have z' = z using z by fastforce

     from c-xs xxs-above len-xs x-Min have card (UNIV − (UNIV −
above ao) − set (x # xs)) = 1
     by(subst card-Diff-subset)(auto simp add: card-Diff-subset)
    then obtain z'' where eq-x: − set (x # xs) ∩ above ao = {z''}
     unfolding card-eq-1-iff by auto
    moreover from z have z ∉ set (x # xs) using x-Min by auto
    ultimately have z'' = z using z by fastforce

   from less-A subset obtain q where q ∈ A    q ∈ above ao    q ∉ set
(x # xs)
     by(auto simp add: set-less-aux-def)
    hence q ∈ {z''} unfolding eq-x[symmetric] by simp
    hence q = z'' by simp
    with ‹q ∈ A› ‹z' = z› ‹z'' = z› z
    have − set (y # ys) ∩ above ao ⊆ A
     unfolding eq-y by simp
    hence − set (y # ys) ∩ above ao ⊑' A
     by(auto intro: subset-finite-imp-set-less-eq-aux)
    with A-less have False by(auto dest: set-less-trans-set-less-eq) }
  thus ?card by auto
next
  assume ?card (is ?card-ys ∨ ?card-xs)
  thus ?rhs
  proof
    assume ?card-ys
    let ?YS = UNIV − (UNIV − above ao) − set (y # ys)
    from ‹?card-ys› yys-above len-ys y-Min have card ?YS ≠ 1
      by(subst card-Diff-subset)(auto simp add: card-Diff-subset)
    moreover have ?YS ≠ {} using True y-Min yys-above ‹x = y›
```

```
        by(fastforce simp add: proper-interval-Some2)
      hence card ?YS ≠ 0 by simp
      ultimately have card ?YS > 1 by(cases card ?YS) simp-all
      from card-gt-1D[OF this] obtain x' y'
        where x': x' ∈ above ao    x' ∉ set (y # ys)
        and y': y' ∈ above ao    y' ∉ set (y # ys)
        and neq: x' ≠ y' by auto
      let ?A = {z}
      have set (x # xs) ⊏' ?A using z x-Min
        by(auto simp add: set-less-aux-def intro!: rev-bexI)
      moreover
      { have ?A ⊆ − set (y # ys) ∩ above ao
          using z ‹x = y› y-Min by(auto)
        moreover have x' ∉ ?A ∨ y' ∉ ?A using neq by auto
        with x' y' have ?A ≠ − set (y # ys) ∩ above ao by auto
      ultimately have ?A ⊂ − set (y # ys) ∩ above ao by(rule psubsetI)
        hence ?A ⊏' ... by(fastforce intro: psubset-finite-imp-set-less-aux)
}
      ultimately show ?thesis using z by blast
    next
      assume ?card-xs
      let ?XS = UNIV − (UNIV − above ao) − set (x # xs)
      from ‹?card-xs› xxs-above len-xs x-Min have card ?XS ≠ 1
        by(subst card-Diff-subset)(auto simp add: card-Diff-subset)
      moreover have ?XS ≠ {} using True x-Min xxs-above
        by(fastforce simp add: proper-interval-Some2)
      hence card ?XS ≠ 0 by simp
      ultimately have card ?XS > 1 by(cases card ?XS) simp-all
      from card-gt-1D[OF this] obtain x' y'
        where x': x' ∈ above ao    x' ∉ set (x # xs)
        and y': y' ∈ above ao    y' ∉ set (x # xs)
        and neq: x' ≠ y' by auto

      define A
         where A = (if x' = Min (above ao) then insert y' (set (x # xs))
else insert x' (set (x # xs)))
      hence set (x # xs) ⊆ A by auto
      moreover have set (x # xs) ≠ ...
        using neq x' y' by(auto simp add: A-def)
      ultimately have set (x # xs) ⊂ A ..
      hence set (x # xs) ⊏' ...
        by(fastforce intro: psubset-finite-imp-set-less-aux)
      moreover {
        have nempty: above ao ≠ {} using z by auto
        have A ⊏' {Min (above ao)}
          using z x' y' neq ‹x = y› x-Min xxs-above
        by(auto 6 4 simp add: set-less-aux-def A-def nempty intro!: rev-bexI
Min-eqI)
        also have Min (above ao) ≤ z using z by(simp)
```

```
            hence Min (above ao) < x using ‹z < x› by(rule le-less-trans)
            with ‹x = y› y-Min have Min (above ao) ∉ set (y # ys) by auto
            hence {Min (above ao)} ⊆ − set (y # ys) ∩ above ao
              by(auto simp add: nempty)
          hence {Min (above ao)} ⊑′ ... by(auto intro: subset-finite-imp-set-less-eq-aux)
            finally have A ⊏′ ... . }
          moreover have A ⊆ above ao using xxs-above yys-above x′ y′
            by(auto simp add: A-def)
          ultimately show ?rhs by blast
        qed
      qed
      thus ?thesis using True by simp
    qed
    thus ?thesis using ‹x = y› by simp
  qed
 qed
 qed }
from this[of None]
show ?thesis by(simp)
qed

lemma proper-interval-Compl-set-aux:
 assumes fin: finite (UNIV :: 'a set)
 and xs: sorted xs    distinct xs
 and ys: sorted ys    distinct ys
 shows proper-interval-Compl-set-aux None xs ys ⟷ (∃ A. − set xs ⊏′ A ∧ A
⊏′ set ys)
proof −
 note [simp] = finite-subset[OF subset-UNIV fin]

 define above where above = case-option UNIV (Collect ∘ less)
 have above-simps [simp]: above None = UNIV    ⋀x. above (Some x) = {y. x <
y}
   and above-upclosed: ⋀x y ao. ⟦ x ∈ above ao; x < y ⟧ ⟹ y ∈ above ao
  and proper-interval-Some2: ⋀x ao. proper-interval ao (Some x) ⟷ (∃ z∈above
ao. z < x)
   by(simp-all add: proper-interval-simps above-def split: option.splits)

 { fix ao n
   assume set xs ⊆ above ao    set ys ⊆ above ao
   from xs ‹set xs ⊆ above ao› ys ‹set ys ⊆ above ao›
   have proper-interval-Compl-set-aux ao xs ys ⟷
         (∃ A. − set xs ∩ above ao ⊏′ A ∩ above ao ∧ A ∩ above ao ⊏′ set ys ∩
above ao)
   proof(induction ao xs ys rule: proper-interval-Compl-set-aux.induct)
     case (2-1 ao ys)
     { fix A
       assume above ao ⊏′ A ∩ above ao
       also have ... ⊆ above ao by simp
```

```
      hence A ∩ above ao ⊑' above ao
        by(auto intro: subset-finite-imp-set-less-eq-aux)
      finally have False by simp }
    thus ?case by auto
  next
    case (2-2 ao xs) thus ?case by simp
  next
    case (1 ao x xs y ys)
    note xxs = ‹sorted (x # xs)› ‹distinct (x # xs)›
    hence xs: sorted xs    distinct xs and x-Min: ∀ x' ∈ set xs. x < x'
      by(auto simp add: less-le)
    note yys = ‹sorted (y # ys)› ‹distinct (y # ys)›
    hence ys: sorted ys    distinct ys and y-Min: ∀ y'∈set ys. y < y'
      by(auto simp add: less-le)
    note xxs-above = ‹set (x # xs) ⊆ above ao›
    note yys-above = ‹set (y # ys) ⊆ above ao›

    show ?case
    proof(cases x < y)
      case True
      have ¬ proper-interval ao (Some x) ∧ proper-interval-Compl-set-aux (Some
x) xs (y # ys) ⟷
            (∃ A. − set (x # xs) ∩ above ao ⊑' A ∩ above ao ∧ A ∩ above ao ⊑'
set (y # ys) ∩ above ao)
        (is ?lhs ⟷ ?rhs)
      proof(cases proper-interval ao (Some x))
        case True
        then obtain z where z: z < x    z ∈ above ao
          by(auto simp add: proper-interval-Some2)
        hence nempty: above ao ≠ {} by auto
        with z have Min (above ao) ≤ z by auto
        hence Min (above ao) < x using ‹z < x› by(rule le-less-trans)
        hence set (y # ys) ∩ above ao ⊑' − set (x # xs) ∩ above ao
          using y-Min x-Min z ‹x < y›
         by(fastforce simp add: set-less-aux-def nempty intro!: Min-eqI bexI[where
x=Min (above ao)])
      thus ?thesis using True by(auto dest: set-less-aux-trans set-less-aux-antisym)
      next
        case False
        hence above-eq: above ao = insert x (above (Some x))
                using xxs-above by(auto simp add: proper-interval-Some2 intro:
above-upclosed)
        from x-Min have xs-above: set xs ⊆ above (Some x) by auto
         from ‹x < y› y-Min have ys-above: set (y # ys) ⊆ above (Some x) by
auto

        have eq-xs: − set xs ∩ above (Some x) = − set (x # xs) ∩ above ao
          using above-eq by auto
        have eq-ys: set (y # ys) ∩ above (Some x) = set (y # ys) ∩ above ao
```

```
        using y-Min ‹x < y› xxs-above by(auto intro: above-upclosed)

      from ‹x < y› xs xs-above yys ys-above
      have proper-interval-Compl-set-aux (Some x) xs (y # ys) ⟷
          (∃ A. − set xs ∩ above (Some x) ⊏′ A ∩ above (Some x) ∧
              A ∩ above (Some x) ⊏′ set (y # ys) ∩ above (Some x))
        by(rule 1.IH)
      also have ... ⟷ ?rhs (is ?lhs ⟷ -)
      proof
        assume ?lhs
        then obtain A where − set xs ∩ above (Some x) ⊏′ A ∩ above (Some
x)
          and A ∩ above (Some x) ⊏′ set (y # ys) ∩ above (Some x) by blast
        moreover have A ∩ above (Some x) = (A − {x}) ∩ above ao
          using above-eq by auto
        ultimately have − set (x # xs) ∩ above ao ⊏′ (A − {x}) ∩ above ao
          and (A − {x}) ∩ above ao ⊏′ set (y # ys) ∩ above ao
          using eq-xs eq-ys by simp-all
        thus ?rhs by blast
      next
        assume ?rhs
        then obtain A where − set (x # xs) ∩ above ao ⊏′ A ∩ above ao
          and A-less: A ∩ above ao ⊏′ set (y # ys) ∩ above ao by blast
        moreover have x ∉ A
        proof
          assume x ∈ A
          hence set (y # ys) ∩ above ao ⊏′ A ∩ above ao
              using y-Min ‹x < y› by(auto simp add: above-eq set-less-aux-def
intro!: bexI[where x=x])
          with A-less show False by(auto dest: set-less-aux-antisym)
        qed
        hence A ∩ above ao = A ∩ above (Some x) using above-eq by auto
        ultimately show ?lhs using eq-xs eq-ys by auto
      qed
      finally show ?thesis using False by simp
    qed
    thus ?thesis using True by simp
  next
    case False
    show ?thesis
    proof(cases y < x)
      case True
      show ?thesis (is ?lhs ⟷ ?rhs)
      proof(cases proper-interval ao (Some y))
        case True
        then obtain z where z: z < y    z ∈ above ao
          by(auto simp add: proper-interval-Some2)
        hence nempty: above ao ≠ {} by auto
        with z have Min (above ao) ≤ z by auto
```

hence *Min* (*above ao*) < *y* **using** ‹*z* < *y*› **by**(*rule le-less-trans*)
hence *set* (*y* # *ys*) ∩ *above ao* ⊏′ − *set* (*x* # *xs*) ∩ *above ao*
using *y-Min x-Min z* ‹*y* < *x*›
by(*fastforce simp add*: *set-less-aux-def nempty intro*!: *Min-eqI bexI*[**where** *x*=*Min* (*above ao*)])
thus *?thesis* **using** *True* ‹*y* < *x*› **by**(*auto dest*: *set-less-aux-trans set-less-aux-antisym*)
next
case *False*
hence *above-eq*: *above ao* = *insert y* (*above* (*Some y*))
using *yys-above* **by**(*auto simp add*: *proper-interval-Some2 intro*: *above-upclosed*)
from *y-Min* **have** *ys-above*: *set ys* ⊆ *above* (*Some y*) **by** *auto*
from ‹*y* < *x*› *x-Min* **have** *xs-above*: *set* (*x* # *xs*) ⊆ *above* (*Some y*) **by** *auto*

have *y* ∈ − *set* (*x* # *xs*) ∩ *above ao* **using** ‹*y* < *x*› *x-Min yys-above* **by** *auto*
hence *nempty*: − *set* (*x* # *xs*) ∩ *above ao* ≠ {} **by** *auto*
have *Min-x*: *Min* (− *set* (*x* # *xs*) ∩ *above ao*) = *y*
using *above-eq* ‹*y* < *x*› *x-Min* **by**(*auto intro*!: *Min-eqI*)
have *Min-y*: *Min* (*set* (*y* # *ys*) ∩ *above ao*) = *y*
using *y-Min above-eq* **by**(*auto intro*!: *Min-eqI*)
have *eq-xs*: − *set* (*x* # *xs*) ∩ *above ao* − {*y*} = − *set* (*x* # *xs*) ∩ *above* (*Some y*)
by(*auto simp add*: *above-eq*)
have *eq-ys*: *set ys* ∩ *above ao* − {*y*} = *set ys* ∩ *above* (*Some y*)
using *y-Min above-eq* **by** *auto*

from ‹¬ *x* < *y*› ‹*y* < *x*› *xxs xs-above ys ys-above*
have *proper-interval-Compl-set-aux* (*Some y*) (*x* # *xs*) *ys* ⟷
(∃ *A*. − *set* (*x* # *xs*) ∩ *above* (*Some y*) ⊏′ *A* ∩ *above* (*Some y*) ∧
A ∩ *above* (*Some y*) ⊏′ *set ys* ∩ *above* (*Some y*))
by(*rule 1.IH*)
also have . . . ⟷ *?rhs* (**is** *?lhs′* ⟷ -)
proof
assume *?lhs′*
then obtain *A* **where** *less-A*: − *set* (*x* # *xs*) ∩ *above* (*Some y*) ⊏′ *A* ∩ *above* (*Some y*)
and *A-less*: *A* ∩ *above* (*Some y*) ⊏′ *set ys* ∩ *above* (*Some y*) **by** *blast*
let *?A* = *insert y A*

have *Min-A*: *Min* (*?A* ∩ *above ao*) = *y*
using *above-eq* **by**(*auto intro*!: *Min-eqI*)
moreover have *A-eq*: *A* ∩ *above ao* − {*y*} = *A* ∩ *above* (*Some y*)
using *above-eq* **by** *auto*
ultimately have *less-A′*: − *set* (*x* # *xs*) ∩ *above ao* ⊏′ *?A* ∩ *above ao*
using *nempty yys-above less-A Min-x eq-xs* **by**(*subst set-less-aux-rec*) *simp-all*

```
      have A′-less: ?A ∩ above ao ⊏′ set (y # ys) ∩ above ao
        using yys-above nempty Min-A A-eq A-less Min-y eq-ys
        by(subst set-less-aux-rec) simp-all

      with less-A′ show ?rhs by blast
    next
      assume ?rhs
     then obtain A where less-A: − set (x # xs) ∩ above ao ⊏′ A ∩ above
ao
        and A-less: A ∩ above ao ⊏′ set (y # ys) ∩ above ao by blast

      from less-A have nempty′: A ∩ above ao ≠ {} by auto
      moreover have A-eq: A ∩ above ao − {y} = A ∩ above (Some y)
        using above-eq by auto
      moreover have y-in-xxs: y ∈ − set (x # xs) ∩ above ao
        using ‹y < x› x-Min yys-above by auto
      moreover have y ∈ A
      proof(rule ccontr)
        assume y ∉ A
        hence A ∩ above ao ⊏′ − set (x # xs) ∩ above ao
          using ‹y < x› x-Min y-in-xxs
         by(auto simp add: set-less-aux-def above-eq intro: bexI[where x=y])
        with less-A show False by(rule set-less-aux-antisym)
      qed
     hence Min-A: Min (A ∩ above ao) = y using above-eq y-Min by(auto
intro!: Min-eqI)
      ultimately have less-A′: − set (x # xs) ∩ above (Some y) ⊏′ A ∩
above (Some y)
        using nempty less-A Min-x eq-xs
        by(subst (asm) set-less-aux-rec)(auto dest: bspec[where x=y])

      have A′-less: A ∩ above (Some y) ⊏′ set ys ∩ above (Some y)
        using A-less nempty′ yys-above Min-A Min-y A-eq eq-ys
        by(subst (asm) set-less-aux-rec) simp-all
      with less-A′ show ?lhs′ by blast
    qed
    finally show ?thesis using ‹¬ x < y› ‹y < x› False by simp
  qed
next
  case False
  with ‹¬ x < y› have x = y by auto
  thus ?thesis (is ?lhs ⟷ ?rhs)
  proof(cases proper-interval ao (Some x))
    case True
    then obtain z where z: z < x    z ∈ above ao
      by(auto simp add: proper-interval-Some2)
    hence nempty: above ao ≠ {} by auto
    with z have Min (above ao) ≤ z by auto
```

```
        hence Min (above ao) < x using ‹z < x› by(rule le-less-trans)
        hence set (y # ys) ∩ above ao ⊏' − set (x # xs) ∩ above ao
          using y-Min x-Min z ‹x = y›
       by(fastforce simp add: set-less-aux-def nempty intro!: Min-eqI bexI[where
x=Min (above ao)])
          thus ?thesis using True ‹x = y› by(auto dest: set-less-aux-trans
set-less-aux-antisym)
      next
        case False
        hence above-eq: above ao = insert x (above (Some x))
              using xxs-above by(auto simp add: proper-interval-Some2 intro:
above-upclosed)

        have (ys = [] ⟶ xs ≠ []) ⟷ ?rhs (is ?lhs' ⟷ -)
        proof(intro iffI strip notI)
          assume ?lhs'
          show ?rhs
          proof(cases ys)
            case Nil
            with ‹?lhs'› obtain x' xs' where xs-eq: xs = x' # xs'
              by(auto simp add: neq-Nil-conv)
            with xs have x'-Min: ∀ x'' ∈ set xs'. x' < x''
              by(auto simp add: less-le)
            let ?A = − set (x # xs')
            have − set (x # xs) ∩ above ao ⊆ ?A ∩ above ao
              using xs-eq by auto
           moreover have x' ∉ − set (x # xs) ∩ above ao    x' ∈ ?A ∩ above ao
              using xs-eq xxs-above x'-Min x-Min by auto
            ultimately have − set (x # xs) ∩ above ao ⊂ ?A ∩ above ao
              by blast
            hence − set (x # xs) ∩ above ao ⊏' ...
              by(fastforce intro: psubset-finite-imp-set-less-aux)
            moreover have ... ⊏' set (y # ys) ∩ above ao
              using Nil ‹x = y› by(auto simp add: set-less-aux-def above-eq)
            ultimately show ?thesis by blast
          next
            case (Cons y' ys')
            let ?A = {y}
            have − set (x # xs) ∩ above ao ⊏' ?A ∩ above ao
              using ‹x = y› x-Min by(auto simp add: set-less-aux-def above-eq)
            moreover have ... ⊂ set (y # ys) ∩ above ao
              using yys-above yys Cons by auto
          hence ?A ∩ above ao ⊏' ... by(fastforce intro: psubset-finite-imp-set-less-aux)
            ultimately show ?thesis by blast
          qed
        next
          assume Nil: ys = []    xs = [] and ?rhs
          then obtain A where less-A: − {x} ∩ above ao ⊏' A ∩ above ao
            and A-less: A ∩ above ao ⊏' {x} using ‹x = y› above-eq by auto
```

```
          have x ∉ A using A-less by(auto simp add: set-less-aux-def above-eq)
          hence A ∩ above ao ⊆ − {x} ∩ above ao by auto
        hence A ∩ above ao ⊑′ . . . by(auto intro: subset-finite-imp-set-less-eq-aux)
          with less-A have . . . ⊏′ . . . by(rule set-less-trans-set-less-eq)
          thus False by simp
        qed
        with ‹x = y› False show ?thesis by simp
      qed
    qed
  qed
 qed }
 from this[of None] show ?thesis by simp
qed

end
```

2.6.4 Proper intervals for HOL types

```
instantiation unit :: proper-interval begin
fun proper-interval-unit :: unit proper-interval where
  proper-interval-unit None None = True
| proper-interval-unit - - = False
instance by intro-classes auto
end

instantiation bool :: proper-interval begin
fun proper-interval-bool :: bool proper-interval where
  proper-interval-bool (Some x) (Some y) ⟷ False
| proper-interval-bool (Some x) None ⟷ ¬ x
| proper-interval-bool None (Some y) ⟷ y
| proper-interval-bool None None = True
instance by intro-classes auto
end

instantiation nat :: proper-interval begin
fun proper-interval-nat :: nat proper-interval where
  proper-interval-nat no None = True
| proper-interval-nat None (Some x) ⟷ x > 0
| proper-interval-nat (Some x) (Some y) ⟷ y − x > 1
instance by intro-classes auto
end

instantiation int :: proper-interval begin
fun proper-interval-int :: int proper-interval where
  proper-interval-int (Some x) (Some y) ⟷ y − x > 1
| proper-interval-int - - = True
instance by intro-classes (auto intro: less-add-one, metis less-add-one minus-less-iff)
end
```

instantiation *integer* :: *proper-interval* **begin**
context includes *integer.lifting* **begin**
lift-definition *proper-interval-integer* :: *integer proper-interval* **is** *proper-interval*
.
instance by(*intro-classes*)(*transfer*, *simp only*: *proper-interval-simps*)+
end
end
lemma *proper-interval-integer-simps* [*code*]:
includes *integer.lifting* **fixes** *x y* :: *integer* **and** *xo yo* :: *integer option* **shows**
proper-interval (*Some x*) (*Some y*) = (*1* < *y* − *x*)
proper-interval None yo = *True*
proper-interval xo None = *True*
by(*transfer*, *simp*)+

instantiation *natural* :: *proper-interval* **begin**
context includes *natural.lifting* **begin**
lift-definition *proper-interval-natural* :: *natural proper-interval* **is** *proper-interval*
.
instance by(*intro-classes*)(*transfer*, *simp only*: *proper-interval-simps*)+
end
end
lemma *proper-interval-natural-simps* [*code*]:
includes *natural.lifting* **fixes** *x y* :: *natural* **and** *xo* :: *natural option* **shows**
proper-interval xo None = *True*
proper-interval None (*Some y*) ⟷ *y* > *0*
proper-interval (*Some x*) (*Some y*) ⟷ *y* − *x* > *1*
by(*transfer*, *simp*)+

lemma *char-less-iff-nat-of-char*: *x* < *y* ⟷ *of-char x* < (*of-char y* :: *nat*)
by (*fact less-char-def*)

lemma *nat-of-char-inject* [*simp*]: *of-char x* = (*of-char y* :: *nat*) ⟷ *x* = *y*
by (*fact of-char-eq-iff*)

lemma *char-le-iff-nat-of-char*: *x* ≤ *y* ⟷ *of-char x* ≤ (*of-char y* :: *nat*)
by (*fact less-eq-char-def*)

instantiation *char* :: *proper-interval*
begin

fun *proper-interval-char* :: *char proper-interval* **where**
proper-interval-char None None ⟷ *True*
| *proper-interval-char None* (*Some x*) ⟷ *x* ≠ *CHR 0x00*
| *proper-interval-char* (*Some x*) *None* ⟷ *x* ≠ *CHR 0xFF*
| *proper-interval-char* (*Some x*) (*Some y*) ⟷ *of-char y* − *of-char x* > (*1* :: *nat*)

instance proof
fix *y* :: *char*
{ assume *y* ≠ *CHR 0x00*

```
    have CHR 0x00 < y
    proof (rule ccontr)
      assume ¬ CHR 0x00 < y
      then have of-char y = (of-char CHR 0x00 :: nat)
        by (simp add: not-less char-le-iff-nat-of-char)
      then have y = CHR 0x00
        using nat-of-char-inject [of y CHR 0x00] by simp
      with ‹y ≠ CHR 0x00› show False
        by simp
    qed }
  moreover
  { fix z :: char
    assume z < CHR 0x00
    hence False
      by (simp add: char-less-iff-nat-of-char of-char-eq-iff [symmetric]) }
  ultimately show proper-interval None (Some y) = (∃ z. z < y)
    by auto

  fix x :: char
  { assume x ≠ CHR 0xFF
    then have x < CHR 0xFF
      by (auto simp add: neq-iff char-less-iff-nat-of-char)
        (insert nat-of-char-less-256 [of x], simp)
    hence ∃ z. x < z .. }
  moreover
  { fix z :: char
    assume CHR 0xFF < z
    hence False
      by (simp add: char-less-iff-nat-of-char)
        (insert nat-of-char-less-256 [of z], simp) }
  ultimately show proper-interval (Some x) None = (∃ z. x < z) by auto

  { assume gt: of-char y − of-char x > (1 :: nat)
    let ?z = char-of (of-char x + (1 :: nat))
    from gt nat-of-char-less-256 [of y]
    have 255: of-char x < (255 :: nat) by arith
    with gt have x < ?z    ?z < y
      by (simp-all add: char-less-iff-nat-of-char)
    hence ∃ z. x < z ∧ z < y by blast }
  moreover
  { fix z
    assume x < z    z < y
    hence (1 :: nat) < of-char y − of-char x
      by (simp add: char-less-iff-nat-of-char) }
  ultimately show proper-interval (Some x) (Some y) = (∃ z>x. z < y)
    by auto
qed simp

end
```

instantiation *Enum.finite-1* :: *proper-interval* **begin**
definition *proper-interval-finite-1* :: *Enum.finite-1 proper-interval*
where *proper-interval-finite-1 x y* $\longleftrightarrow$ *x* = *None* $\wedge$ *y* = *None*
instance by *intro-classes* (*simp-all add*: *proper-interval-finite-1-def less-finite-1-def*)
end

instantiation *Enum.finite-2* :: *proper-interval* **begin**
fun *proper-interval-finite-2* :: *Enum.finite-2 proper-interval* **where**
proper-interval-finite-2 None None $\longleftrightarrow$ *True*
| *proper-interval-finite-2 None* (*Some x*) $\longleftrightarrow$ *x* = *finite-2*.a_2
| *proper-interval-finite-2* (*Some x*) *None* $\longleftrightarrow$ *x* = *finite-2*.a_1
| *proper-interval-finite-2* (*Some x*) (*Some y*) $\longleftrightarrow$ *False*
instance by *intro-classes* (*auto simp add*: *less-finite-2-def*)
end

instantiation *Enum.finite-3* :: *proper-interval* **begin**
fun *proper-interval-finite-3* :: *Enum.finite-3 proper-interval* **where**
proper-interval-finite-3 None None $\longleftrightarrow$ *True*
| *proper-interval-finite-3 None* (*Some x*) $\longleftrightarrow$ *x* $\neq$ *finite-3*.a_1
| *proper-interval-finite-3* (*Some x*) *None* $\longleftrightarrow$ *x* $\neq$ *finite-3*.a_3
| *proper-interval-finite-3* (*Some x*) (*Some y*) $\longleftrightarrow$ *x* = *finite-3*.a_1 $\wedge$ *y* = *finite-3*.a_3
instance
proof
fix *x y* :: *Enum.finite-3*
show *proper-interval None* (*Some y*) = ($\exists$ *z*. *z* < *y*)
by(*cases y*)(*auto simp add*: *less-finite-3-def split*: *finite-3.split*)
show *proper-interval* (*Some x*) *None* = ($\exists$ *z*. *x* < *z*)
by(*cases x*)(*auto simp add*: *less-finite-3-def*)
show *proper-interval* (*Some x*) (*Some y*) = ($\exists$ *z*>*x*. *z* < *y*)
by(*auto simp add*: *less-finite-3-def split*: *finite-3.split-asm*)
qed *simp*
end

2.6.5 List fusion for the order and proper intervals on *'a set*

definition *length-last-fusion* :: (*'a*, *'s*) *generator* $\Rightarrow$ *'s* $\Rightarrow$ *nat* $\times$ *'a*
where *length-last-fusion g s* = *length-last* (*list.unfoldr g s*)

lemma *length-last-fusion-code* [*code*]:
length-last-fusion g s =
(*if list.has-next g s then*
let (*x*, *s'*) = *list.next g s*
in fold-fusion g (λ*x* (*n*, -). (*n* + *1*, *x*)) *s'* (*1*, *x*)
else (*0*, *undefined*))
unfolding *length-last-fusion-def*
by(*subst list.unfoldr.simps*)(*simp add*: *length-last-Nil length-last-Cons-code fold-fusion-def split-beta*)

declare *length-last-fusion-def* [*symmetric*, *code-unfold*]

context *proper-intrvl* **begin**

definition *set-less-eq-aux-Compl-fusion* :: ('a, 's1) *generator* ⇒ ('a, 's2) *generator* ⇒ 'a *option* ⇒ 's1 ⇒ 's2 ⇒ *bool*
where
set-less-eq-aux-Compl-fusion g1 g2 ao s1 s2 =
set-less-eq-aux-Compl ao (*list.unfoldr g1 s1*) (*list.unfoldr g2 s2*)

definition *Compl-set-less-eq-aux-fusion* :: ('a, 's1) *generator* ⇒ ('a, 's2) *generator* ⇒ 'a *option* ⇒ 's1 ⇒ 's2 ⇒ *bool*
where
Compl-set-less-eq-aux-fusion g1 g2 ao s1 s2 =
Compl-set-less-eq-aux ao (*list.unfoldr g1 s1*) (*list.unfoldr g2 s2*)

definition *set-less-aux-Compl-fusion* :: ('a, 's1) *generator* ⇒ ('a, 's2) *generator* ⇒ 'a *option* ⇒ 's1 ⇒ 's2 ⇒ *bool*
where
set-less-aux-Compl-fusion g1 g2 ao s1 s2 =
set-less-aux-Compl ao (*list.unfoldr g1 s1*) (*list.unfoldr g2 s2*)

definition *Compl-set-less-aux-fusion* :: ('a, 's1) *generator* ⇒ ('a, 's2) *generator* ⇒ 'a *option* ⇒ 's1 ⇒ 's2 ⇒ *bool*
where
Compl-set-less-aux-fusion g1 g2 ao s1 s2 =
Compl-set-less-aux ao (*list.unfoldr g1 s1*) (*list.unfoldr g2 s2*)

definition *exhaustive-above-fusion* :: ('a, 's) *generator* ⇒ 'a ⇒ 's ⇒ *bool*
where *exhaustive-above-fusion g a s* = *exhaustive-above a* (*list.unfoldr g s*)

definition *exhaustive-fusion* :: ('a, 's) *generator* ⇒ 's ⇒ *bool*
where *exhaustive-fusion g s* = *exhaustive* (*list.unfoldr g s*)

definition *proper-interval-set-aux-fusion* :: ('a, 's1) *generator* ⇒ ('a, 's2) *generator* ⇒ 's1 ⇒ 's2 ⇒ *bool*
where
proper-interval-set-aux-fusion g1 g2 s1 s2 =
proper-interval-set-aux (*list.unfoldr g1 s1*) (*list.unfoldr g2 s2*)

definition *proper-interval-set-Compl-aux-fusion* ::
('a, 's1) *generator* ⇒ ('a, 's2) *generator* ⇒ 'a *option* ⇒ *nat* ⇒ 's1 ⇒ 's2 ⇒ *bool*
where
proper-interval-set-Compl-aux-fusion g1 g2 ao n s1 s2 =
proper-interval-set-Compl-aux ao n (*list.unfoldr g1 s1*) (*list.unfoldr g2 s2*)

definition *proper-interval-Compl-set-aux-fusion* ::
('a, 's1) *generator* ⇒ ('a, 's2) *generator* ⇒ 'a *option* ⇒ 's1 ⇒ 's2 ⇒ *bool*

where
proper-interval-Compl-set-aux-fusion g1 g2 ao s1 s2 =
proper-interval-Compl-set-aux ao (*list.unfoldr g1 s1*) (*list.unfoldr g2 s2*)

lemma *set-less-eq-aux-Compl-fusion-code*:
set-less-eq-aux-Compl-fusion g1 g2 ao s1 s2 $\longleftrightarrow$
(*list.has-next g1 s1* $\longrightarrow$ *list.has-next g2 s2* $\longrightarrow$
(*let* (*x*, *s1'*) = *list.next g1 s1*;
(*y*, *s2'*) = *list.next g2 s2*
in if $x < y$ *then proper-interval ao* (*Some x*) $\vee$ *set-less-eq-aux-Compl-fusion g1 g2* (*Some x*) *s1' s2*
else if $y < x$ *then proper-interval ao* (*Some y*) $\vee$ *set-less-eq-aux-Compl-fusion g1 g2* (*Some y*) *s1 s2'*
else proper-interval ao (*Some y*)))
unfolding *set-less-eq-aux-Compl-fusion-def*
by(*subst* (*1 2 4 5*) *list.unfoldr.simps*)(*simp add*: *split-beta*)

lemma *Compl-set-less-eq-aux-fusion-code*:
Compl-set-less-eq-aux-fusion g1 g2 ao s1 s2 $\longleftrightarrow$
(*if list.has-next g1 s1 then*
let (*x*, *s1'*) = *list.next g1 s1*
in if list.has-next g2 s2 then
let (*y*, *s2'*) = *list.next g2 s2*
in if $x < y$ *then* $\neg$ *proper-interval ao* (*Some x*) $\wedge$ *Compl-set-less-eq-aux-fusion g1 g2* (*Some x*) *s1' s2*
else if $y < x$ *then* $\neg$ *proper-interval ao* (*Some y*) $\wedge$ *Compl-set-less-eq-aux-fusion g1 g2* (*Some y*) *s1 s2'*
else $\neg$ *proper-interval ao* (*Some y*)
else $\neg$ *proper-interval ao* (*Some x*) $\wedge$ *Compl-set-less-eq-aux-fusion g1 g2* (*Some x*) *s1' s2*
else if list.has-next g2 s2 then
let (*y*, *s2'*) = *list.next g2 s2*
in $\neg$ *proper-interval ao* (*Some y*) $\wedge$ *Compl-set-less-eq-aux-fusion g1 g2* (*Some y*) *s1 s2'*
else $\neg$ *proper-interval ao Some*)
unfolding *Compl-set-less-eq-aux-fusion-def*
by(*subst* (*1 2 4 5 8 9*) *list.unfoldr.simps*)(*simp add*: *split-beta*)

lemma *set-less-aux-Compl-fusion-code*:
set-less-aux-Compl-fusion g1 g2 ao s1 s2 $\longleftrightarrow$
(*if list.has-next g1 s1 then*
let (*x*, *s1'*) = *list.next g1 s1*
in if list.has-next g2 s2 then
let (*y*, *s2'*) = *list.next g2 s2*
in if $x < y$ *then proper-interval ao* (*Some x*) $\vee$ *set-less-aux-Compl-fusion g1 g2* (*Some x*) *s1' s2*
else if $y < x$ *then proper-interval ao* (*Some y*) $\vee$ *set-less-aux-Compl-fusion g1 g2* (*Some y*) *s1 s2'*
else proper-interval ao (*Some y*)

else proper-interval ao (Some x) ∨ set-less-aux-Compl-fusion g1 g2 (Some x) s1′ s2
else if list.has-next g2 s2 then
let (y, s2′) = list.next g2 s2
in proper-interval ao (Some y) ∨ set-less-aux-Compl-fusion g1 g2 (Some y) s1 s2′
else proper-interval ao None)
unfolding *set-less-aux-Compl-fusion-def*
by(*subst (1 2 4 5 8 9) list.unfoldr.simps*)(*simp add*: *split-beta*)

lemma *Compl-set-less-aux-fusion-code*:
Compl-set-less-aux-fusion g1 g2 ao s1 s2 ⟷
list.has-next g1 s1 ∧ list.has-next g2 s2 ∧
(*let (x, s1′) = list.next g1 s1*;
(y, s2′) = list.next g2 s2
in if x < y then ¬ proper-interval ao (Some x) ∧ Compl-set-less-aux-fusion g1 g2 (Some x) s1′ s2
else if y < x then ¬ proper-interval ao (Some y) ∧ Compl-set-less-aux-fusion g1 g2 (Some y) s1 s2′
else ¬ proper-interval ao (Some y))
unfolding *Compl-set-less-aux-fusion-def*
by(*subst (1 2 4 5) list.unfoldr.simps*)(*simp add*: *split-beta*)

lemma *exhaustive-above-fusion-code*:
exhaustive-above-fusion g y s ⟷
(*if list.has-next g s then*
let (x, s′) = list.next g s
in ¬ proper-interval (Some y) (Some x) ∧ exhaustive-above-fusion g x s′
else ¬ proper-interval (Some y) None)
unfolding *exhaustive-above-fusion-def*
by(*subst list.unfoldr.simps*)(*simp add*: *split-beta*)

lemma *exhaustive-fusion-code*:
exhaustive-fusion g s =
(*list.has-next g s ∧*
(*let (x, s′) = list.next g s*
in ¬ proper-interval None (Some x) ∧ exhaustive-above-fusion g x s′))
unfolding *exhaustive-fusion-def exhaustive-above-fusion-def*
by(*subst (1) list.unfoldr.simps*)(*simp add*: *split-beta*)

lemma *proper-interval-set-aux-fusion-code*:
proper-interval-set-aux-fusion g1 g2 s1 s2 ⟷
list.has-next g2 s2 ∧
(*let (y, s2′) = list.next g2 s2*
in if list.has-next g1 s1 then
let (x, s1′) = list.next g1 s1
in if x < y then False
else if y < x then proper-interval (Some y) (Some x) ∨ list.has-next g2 s2′ ∨ ¬ exhaustive-above-fusion g1 x s1′

```
        else proper-interval-set-aux-fusion g1 g2 s1' s2'
    else list.has-next g2 s2' ∨ proper-interval (Some y) None)
unfolding proper-interval-set-aux-fusion-def exhaustive-above-fusion-def
by(subst (1 2) list.unfoldr.simps)(simp add: split-beta)

lemma proper-interval-set-Compl-aux-fusion-code:
  proper-interval-set-Compl-aux-fusion g1 g2 ao n s1 s2 ⟷
  (if list.has-next g1 s1 then
     let (x, s1') = list.next g1 s1
     in if list.has-next g2 s2 then
          let (y, s2') = list.next g2 s2
          in if x < y then
               proper-interval ao (Some x) ∨
               proper-interval-set-Compl-aux-fusion g1 g2 (Some x) (n + 1) s1' s2
             else if y < x then
               proper-interval ao (Some y) ∨
               proper-interval-set-Compl-aux-fusion g1 g2 (Some y) (n + 1) s1 s2'
             else
               proper-interval ao (Some x) ∧
               (let m = CARD('a) − n
                in m − length-fusion g2 s2' ≠ 2 ∨ m − length-fusion g1 s1' ≠ 2)
        else
          let m = CARD('a) − n; (len-x, x') = length-last-fusion g1 s1
          in m ≠ len-x ∧ (m = len-x + 1 ⟶ ¬ proper-interval (Some x') None)

   else if list.has-next g2 s2 then
     let (y, s2') = list.next g2 s2;
         m = CARD('a) − n;
         (len-y, y') = length-last-fusion g2 s2
     in m ≠ len-y ∧ (m = len-y + 1 ⟶ ¬ proper-interval (Some y') None)
   else CARD('a) > n + 1)
unfolding proper-interval-set-Compl-aux-fusion-def length-last-fusion-def length-fusion-def
by(subst (1 2 4 5 9 10) list.unfoldr.simps)(simp add: split-beta)

lemma proper-interval-Compl-set-aux-fusion-code:
  proper-interval-Compl-set-aux-fusion g1 g2 ao s1 s2 ⟷
   list.has-next g1 s1 ∧ list.has-next g2 s2 ∧
   (let (x, s1') = list.next g1 s1;
        (y, s2') = list.next g2 s2
    in if x < y then
         ¬ proper-interval ao (Some x) ∧ proper-interval-Compl-set-aux-fusion g1 g2
(Some x) s1' s2
       else if y < x then
         ¬ proper-interval ao (Some y) ∧ proper-interval-Compl-set-aux-fusion g1 g2
(Some y) s1 s2'
       else ¬ proper-interval ao (Some x) ∧ (list.has-next g2 s2' ∨ list.has-next g1
s1'))
unfolding proper-interval-Compl-set-aux-fusion-def
by(subst (1 2 4 5) list.unfoldr.simps)(auto simp add: split-beta)
```

end

lemmas [*code*] =
set-less-eq-aux-Compl-fusion-code proper-intrvl.set-less-eq-aux-Compl-fusion-code
Compl-set-less-eq-aux-fusion-code proper-intrvl.Compl-set-less-eq-aux-fusion-code
set-less-aux-Compl-fusion-code proper-intrvl.set-less-aux-Compl-fusion-code
Compl-set-less-aux-fusion-code proper-intrvl.Compl-set-less-aux-fusion-code
exhaustive-above-fusion-code proper-intrvl.exhaustive-above-fusion-code
exhaustive-fusion-code proper-intrvl.exhaustive-fusion-code
proper-interval-set-aux-fusion-code proper-intrvl.proper-interval-set-aux-fusion-code
proper-interval-set-Compl-aux-fusion-code proper-intrvl.proper-interval-set-Compl-aux-fusion-code
proper-interval-Compl-set-aux-fusion-code proper-intrvl.proper-interval-Compl-set-aux-fusion-code

lemmas [*symmetric*, *code-unfold*] =
set-less-eq-aux-Compl-fusion-def proper-intrvl.set-less-eq-aux-Compl-fusion-def
Compl-set-less-eq-aux-fusion-def proper-intrvl.Compl-set-less-eq-aux-fusion-def
set-less-aux-Compl-fusion-def proper-intrvl.set-less-aux-Compl-fusion-def
Compl-set-less-aux-fusion-def proper-intrvl.Compl-set-less-aux-fusion-def
exhaustive-above-fusion-def proper-intrvl.exhaustive-above-fusion-def
exhaustive-fusion-def proper-intrvl.exhaustive-fusion-def
proper-interval-set-aux-fusion-def proper-intrvl.proper-interval-set-aux-fusion-def
proper-interval-set-Compl-aux-fusion-def proper-intrvl.proper-interval-set-Compl-aux-fusion-def
proper-interval-Compl-set-aux-fusion-def proper-intrvl.proper-interval-Compl-set-aux-fusion-def

2.6.6 Drop notation

context *ord* **begin**

no-notation *set-less-aux* (**infix** $\sqsubset''$ *50*)
and *set-less-eq-aux* (**infix** $\sqsubseteq''$ *50*)
and *set-less-eq-aux'* (**infix** $\sqsubseteq''''$ *50*)
and *set-less-eq-aux''* (**infix** $\sqsubseteq''''''$ *50*)
and *set-less-eq* (**infix** $\sqsubseteq$ *50*)
and *set-less* (**infix** $\sqsubset$ *50*)

end

end

theory *Containers-Generator*
imports
Deriving.Generator-Aux
Deriving.Derive-Manager
HOL−Library.Phantom-Type
Containers-Auxiliary
begin

2.6.7 Introduction

In the following, we provide generators for the major classes of the container framework: `ceq`, `corder`, `cenum`, `set-impl`, and `mapping-impl`.

In this file we provide some common infrastructure on the ML-level which will be used by the individual generators.

ML-file ‹*containers-generator.ML*›

end

theory *Collection-Order*
imports
  Set-Linorder
  Containers-Generator
  Deriving.Compare-Instances
begin

Chapter 3

Light-weight containers

3.1 A linear order for code generation

3.1.1 Optional comparators

class *ccompare* =
  fixes *ccompare* :: *'a comparator option*
  assumes *ccompare*: $\bigwedge$ *comp. ccompare = Some comp* $\Longrightarrow$ *comparator comp*
begin
abbreviation *ccomp* :: *'a comparator* **where** *ccomp* $\equiv$ *the* (*ID ccompare*)
abbreviation *cless* :: *'a* $\Rightarrow$ *'a* $\Rightarrow$ *bool* **where** *cless* $\equiv$ *lt-of-comp* (*the* (*ID ccompare*))
abbreviation *cless-eq* :: *'a* $\Rightarrow$ *'a* $\Rightarrow$ *bool* **where** *cless-eq* $\equiv$ *le-of-comp* (*the* (*ID ccompare*))
end

lemma (**in** *ccompare*) *ID-ccompare'*:
  $\bigwedge$*c. ID ccompare = Some c* $\Longrightarrow$ *comparator c*
  unfolding *ID-def id-apply* **using** *ccompare* **by** *simp*

lemma (**in** *ccompare*) *ID-ccompare*:
  $\bigwedge$*c. ID ccompare = Some c* $\Longrightarrow$ *class.linorder* (*le-of-comp c*) (*lt-of-comp c*)
  by (*rule comparator.linorder*[*OF ID-ccompare'*])

syntax *-CCOMPARE* :: *type* => *logic* ((*1CCOMPARE*/(*1'*(*-'*))))

parse-translation ‹
let
  fun ccompare-tr [*ty*] =
    (*Syntax.const* @{*syntax-const -constrain*} $ *Syntax.const* @{*const-syntax ccompare*} $
      (*Syntax.const* @{*type-syntax option*} $
        (*Syntax.const* @{*type-syntax fun*} $ *ty* $
          (*Syntax.const* @{*type-syntax fun*} $ *ty* $ *Syntax.const* @{*type-syntax order*}))))

```
  | ccompare-tr ts = raise TERM (ccompare-tr, ts);
in [(@{syntax-const -CCOMPARE}, K ccompare-tr)] end
›

definition is-ccompare :: 'a :: ccompare itself ⇒ bool
where is-ccompare - ⟷ ID CCOMPARE('a) ≠ None

context ccompare
begin
lemma cless-eq-conv-cless:
  fixes a b :: 'a
  assumes ID CCOMPARE('a) ≠ None
  shows cless-eq a b ⟷ cless a b ∨ a = b
proof −
  from assms interpret linorder cless-eq cless :: 'a ⇒ 'a ⇒ bool
    by(clarsimp simp add: ID-ccompare)
  show ?thesis by(rule le-less)
qed
end
```

3.1.2 Generator for the *ccompare*–class

This generator registers itself at the derive-manager for the class *ccompare*. To be more precise, one can choose whether one does not want to support a comparator by passing parameter "no", one wants to register an arbitrary type which is already in class *compare* using parameter "compare", or one wants to generate a new comparator by passing no parameter. In the last case, one demands that the type is a datatype and that all non-recursive types of that datatype already provide a comparator, which can usually be achieved via "derive comparator type" or "derive compare type".

- `instantiation type :: (type,...,type) (no) corder`
- `instantiation datatype :: (type,...,type) corder`
- `instantiation datatype :: (compare,...,compare) (compare) corder`

If the parameter "no" is not used, then the corresponding *is-ccompare*-theorem is automatically generated and attributed with `[simp, code-post]`.

To create a new comparator, we just invoke the functionality provided by the generator. The only difference is the boilerplate-code, which for the generator has to perform the class instantiation for a comparator, whereas here we have to invoke the methods to satisfy the corresponding locale for comparators.

This generator can be used for arbitrary types, not just datatypes. When passing no parameters, we get same limitation as for the order generator.

lemma *corder-intro*: *class.linorder le lt* $\Longrightarrow$ *a* = *Some* (*le*, *lt*)$\Longrightarrow$ *a* = *Some* (*le'*,*lt'*) $\Longrightarrow$
class.linorder le' lt' **by** *auto*

lemma *comparator-subst*: *c1* = *c2* $\Longrightarrow$ *comparator c1* $\Longrightarrow$ *comparator c2* **by** *blast*

lemma (**in** *compare*) *compare-subst*: $\bigwedge$ *comp*. *compare* = *comp* $\Longrightarrow$ *comparator comp*
using *comparator-compare* **by** *blast*

ML-file ‹*ccompare-generator.ML*›

3.1.3 Instantiations for HOL types

derive (*linorder*) *compare-order*
Enum.finite-1 Enum.finite-2 Enum.finite-3 natural String.literal
derive (*compare*) *ccompare*
unit bool nat int Enum.finite-1 Enum.finite-2 Enum.finite-3 integer natural char String.literal
derive (*no*) *ccompare Enum.finite-4 Enum.finite-5*

derive *ccompare sum list option prod*

derive (*no*) *ccompare fun*

lemma *is-ccompare-fun* [*simp*]: ¬ *is-ccompare TYPE*('*a* ⇒ '*b*)
by(*simp add*: *is-ccompare-def ccompare-fun-def ID-None*)

instantiation *set* :: (*ccompare*) *ccompare* **begin**
definition *CCOMPARE*('*a set*) =
map-option (λ *c*. *comp-of-ords* (*ord.set-less-eq* (*le-of-comp c*)) (*ord.set-less* (*le-of-comp c*))) (*ID CCOMPARE*('*a*))
instance by(*intro-classes*)(*auto simp add*: *ccompare-set-def intro*: *comp-of-ords linorder.set-less-eq-linorder ID-ccompare*)
end

lemma *is-ccompare-set* [*simp*, *code-post*]:
is-ccompare TYPE('*a set*) ⟷ *is-ccompare TYPE*('*a* :: *ccompare*)
by(*simp add*: *is-ccompare-def ccompare-set-def ID-def*)

definition *cless-eq-set* :: '*a* :: *ccompare set* ⇒ '*a set* ⇒ *bool*
where [*simp*, *code del*]: *cless-eq-set* = *le-of-comp* (*the* (*ID CCOMPARE*('*a set*)))

definition *cless-set* :: '*a* :: *ccompare set* ⇒ '*a set* ⇒ *bool*
where [*simp*, *code del*]: *cless-set* = *lt-of-comp* (*the* (*ID CCOMPARE*('*a set*)))

lemma *ccompare-set-code* [*code*]:
CCOMPARE('*a* :: *ccompare set*) =

(*case ID CCOMPARE*('*a*) *of None* ⇒ *None* | *Some* - ⇒ *Some* (*comp-of-ords cless-eq-set cless-set*))
by (*clarsimp simp add*: *ccompare-set-def ID-Some split*: *option.split*)

derive (*no*) *ccompare Predicate.pred*

3.1.4 Proper intervals

class *cproper-interval* = *ccompare* +
fixes *cproper-interval* :: '*a option* ⇒ '*a option* ⇒ *bool*
assumes *cproper-interval*:
⟦ *ID CCOMPARE*('*a*) ≠ *None*; *finite* (*UNIV* :: '*a set*) ⟧
⟹ *class.proper-interval cless cproper-interval*
begin

lemma *ID-ccompare-interval*:
⟦ *ID CCOMPARE*('*a*) = *Some c*; *finite* (*UNIV* :: '*a set*) ⟧
⟹ *class.linorder-proper-interval* (*le-of-comp c*) (*lt-of-comp c*) *cproper-interval*
using *cproper-interval*
by(*simp add*: *ID-ccompare class.linorder-proper-interval-def*)

end

instantiation *unit* :: *cproper-interval* **begin**
definition *cproper-interval* = (*proper-interval* :: *unit proper-interval*)
instance by *intro-classes* (*simp add*: *compare-order-class.ord-defs cproper-interval-unit-def ccompare-unit-def ID-Some proper-interval-class.axioms*)
end

instantiation *bool* :: *cproper-interval* **begin**
definition *cproper-interval* = (*proper-interval* :: *bool proper-interval*)
instance by(*intro-classes*)
(*simp add*: *cproper-interval-bool-def ord-defs ccompare-bool-def ID-Some proper-interval-class.axioms*)
end

instantiation *nat* :: *cproper-interval* **begin**
definition *cproper-interval* = (*proper-interval* :: *nat proper-interval*)
instance by *intro-classes simp*
end

instantiation *int* :: *cproper-interval* **begin**
definition *cproper-interval* = (*proper-interval* :: *int proper-interval*)
instance by *intro-classes*
(*simp add*: *cproper-interval-int-def ord-defs ccompare-int-def ID-Some proper-interval-class.axioms*)
end

instantiation *integer* :: *cproper-interval* **begin**
definition *cproper-interval* = (*proper-interval* :: *integer proper-interval*)
instance by *intro-classes*

(*simp add*: *cproper-interval-integer-def ord-defs ccompare-integer-def ID-Some proper-interval-class.axioms*)
end

instantiation *natural* :: *cproper-interval* **begin**
definition *cproper-interval* = (*proper-interval* :: *natural proper-interval*)
instance by *intro-classes* (*simp add*: *cproper-interval-natural-def ord-defs ccompare-natural-def ID-Some proper-interval-class.axioms*)
end

instantiation *char* :: *cproper-interval* **begin**
definition *cproper-interval* = (*proper-interval* :: *char proper-interval*)
instance by *intro-classes* (*simp add*: *cproper-interval-char-def ord-defs ccompare-char-def ID-Some proper-interval-class.axioms*)
end

instantiation *Enum.finite-1* :: *cproper-interval* **begin**
definition *cproper-interval* = (*proper-interval* :: *Enum.finite-1 proper-interval*)
instance by *intro-classes* (*simp add*: *cproper-interval-finite-1-def ord-defs ccompare-finite-1-def ID-Some proper-interval-class.axioms*)
end

instantiation *Enum.finite-2* :: *cproper-interval* **begin**
definition *cproper-interval* = (*proper-interval* :: *Enum.finite-2 proper-interval*)
instance by *intro-classes* (*simp add*: *cproper-interval-finite-2-def ord-defs ccompare-finite-2-def ID-Some proper-interval-class.axioms*)
end

instantiation *Enum.finite-3* :: *cproper-interval* **begin**
definition *cproper-interval* = (*proper-interval* :: *Enum.finite-3 proper-interval*)
instance by *intro-classes* (*simp add*: *cproper-interval-finite-3-def ord-defs ccompare-finite-3-def ID-Some proper-interval-class.axioms*)
end

instantiation *Enum.finite-4* :: *cproper-interval* **begin**
definition (*cproper-interval* :: *Enum.finite-4 proper-interval*) - - = *undefined*
instance by *intro-classes*(*simp add*: *ord-defs ccompare-finite-4-def ID-None*)
end

instantiation *Enum.finite-5* :: *cproper-interval* **begin**
definition (*cproper-interval* :: *Enum.finite-5 proper-interval*) - - = *undefined*
instance by *intro-classes*(*simp add*: *ord-defs ccompare-finite-5-def ID-None*)
end

lemma *lt-of-comp-sum*: *lt-of-comp* (*comparator-sum ca cb*) *sx sy* = (
case sx of Inl x ⇒ (*case sy of Inl y* ⇒ *lt-of-comp ca x y* | *Inr y* ⇒ *True*)
| *Inr x* ⇒ (*case sy of Inl y* ⇒ *False* | *Inr y* ⇒ *lt-of-comp cb x y*))
by (*simp add*: *lt-of-comp-def le-of-comp-def split*: *sum.split*)

```
instantiation sum :: (cproper-interval, cproper-interval) cproper-interval begin
fun cproper-interval-sum :: ('a + 'b) proper-interval where
  cproper-interval-sum None None ⟷ True
| cproper-interval-sum None (Some (Inl x)) ⟷ cproper-interval None (Some x)
| cproper-interval-sum None (Some (Inr y)) ⟷ True
| cproper-interval-sum (Some (Inl x)) None ⟷ True
| cproper-interval-sum (Some (Inl x)) (Some (Inl y)) ⟷ cproper-interval (Some
x) (Some y)
| cproper-interval-sum (Some (Inl x)) (Some (Inr y)) ⟷ cproper-interval (Some
x) None ∨ cproper-interval None (Some y)
| cproper-interval-sum (Some (Inr y)) None ⟷ cproper-interval (Some y) None
| cproper-interval-sum (Some (Inr y)) (Some (Inl x)) ⟷ False
| cproper-interval-sum (Some (Inr x)) (Some (Inr y)) ⟷ cproper-interval (Some
x) (Some y)
instance
proof
  assume ID CCOMPARE('a + 'b) ≠ None    finite (UNIV :: ('a + 'b) set)
  then obtain c-a c-b
    where A: ID CCOMPARE('a) = Some c-a    finite (UNIV :: 'a set)
    and B: ID CCOMPARE('b) = Some c-b    finite (UNIV :: 'b set)
   by(fastforce simp add: ccompare-sum-def ID-Some ID-None split: option.split-asm)
  note [simp] = proper-interval.proper-interval-simps[OF cproper-interval]
    lt-of-comp-sum ccompare-sum-def ID-Some
    and [split] = sum.split
  show class.proper-interval cless (cproper-interval :: ('a + 'b) proper-interval)
  proof
    fix y :: 'a + 'b
    show cproper-interval None (Some y) = (∃ z. cless z y)
      using A B by(cases y)(auto, case-tac z, auto)

    fix x :: 'a + 'b
    show cproper-interval (Some x) None = (∃ z. cless x z)
      using A B by(cases x)(auto, case-tac z, auto)

    show cproper-interval (Some x) (Some y) = (∃ z. cless x z ∧ cless z y)
      using A B by(cases x)(case-tac [!] y, auto, case-tac [!] z, auto)
  qed simp
qed
end


lemma lt-of-comp-less-prod: lt-of-comp (comparator-prod c-a c-b) =
  less-prod (le-of-comp c-a) (lt-of-comp c-a) (lt-of-comp c-b)
  unfolding less-prod-def
 by (intro ext, auto simp: lt-of-comp-def le-of-comp-def split: order.split-asm prod.split)

lemma lt-of-comp-prod: lt-of-comp (comparator-prod c-a c-b) (x1,x2) (y1,y2) =
  (lt-of-comp c-a x1 y1 ∨ le-of-comp c-a x1 y1 ∧ lt-of-comp c-b x2 y2)
  unfolding lt-of-comp-less-prod less-prod-def by simp
```

instantiation *prod* :: (*cproper-interval*, *cproper-interval*) *cproper-interval* **begin**
fun *cproper-interval-prod* :: ('*a* × '*b*) *proper-interval* **where**
cproper-interval-prod None None ⟷ *True*
| *cproper-interval-prod None* (*Some* (*y1*, *y2*)) ⟷ *cproper-interval None* (*Some y1*) ∨ *cproper-interval None* (*Some y2*)
| *cproper-interval-prod* (*Some* (*x1*, *x2*)) *None* ⟷ *cproper-interval* (*Some x1*) *None* ∨ *cproper-interval* (*Some x2*) *None*
| *cproper-interval-prod* (*Some* (*x1*, *x2*)) (*Some* (*y1*, *y2*)) ⟷
cproper-interval (*Some x1*) (*Some y1*) ∨
cless x1 y1 ∧ (*cproper-interval* (*Some x2*) *None* ∨ *cproper-interval None* (*Some y2*)) ∨
¬ *cless y1 x1* ∧ *cproper-interval* (*Some x2*) (*Some y2*)
instance
proof
assume *ID CCOMPARE*('*a* × '*b*) ≠ *None* *finite* (*UNIV* :: ('*a* × '*b*) *set*)
then obtain *c-a c-b*
where *A*: *ID CCOMPARE*('*a*) = *Some c-a* *finite* (*UNIV* :: '*a set*)
and *B*: *ID CCOMPARE*('*b*) = *Some c-b* *finite* (*UNIV* :: '*b set*)
by(*fastforce simp add*: *ccompare-prod-def ID-Some ID-None finite-prod split*: *option.split-asm*)
interpret *a*: *linorder le-of-comp c-a* *lt-of-comp c-a* **by**(*rule ID-ccompare*)(*rule A*)
note [*simp*] = *proper-interval.proper-interval-simps*[*OF cproper-interval*]
ccompare-prod-def lt-of-comp-prod ID-Some
show *class.proper-interval cless* (*cproper-interval* :: ('*a* × '*b*) *proper-interval*) **using** *A B*
by (*unfold-locales*, *auto 4 4*)
qed
end

instantiation *list* :: (*ccompare*) *cproper-interval* **begin**
definition *cproper-interval-list* :: '*a list proper-interval*
where *cproper-interval-list xso yso* = *undefined*
instance by(*intro-classes*)(*simp add*: *infinite-UNIV-listI*)
end

lemma *infinite-UNIV-literal*:
infinite (*UNIV* :: *String.literal set*)
by (*fact infinite-literal*)

instantiation *String.literal* :: *cproper-interval* **begin**
definition *cproper-interval-literal* :: *String.literal proper-interval*
where *cproper-interval-literal xso yso* = *undefined*
instance by(*intro-classes*)(*simp add*: *infinite-UNIV-literal*)
end

lemma *lt-of-comp-option*: *lt-of-comp* (*comparator-option c*) *sx sy* = (

case sx of None ⇒ (*case sy of None* ⇒ *False* | *Some y* ⇒ *True*)
| *Some x* ⇒ (*case sy of None* ⇒ *False* | *Some y* ⇒ *lt-of-comp c x y*))
by (*simp add*: *lt-of-comp-def le-of-comp-def split*: *option.split*)

instantiation *option* :: (*cproper-interval*) *cproper-interval* **begin**
fun *cproper-interval-option* :: *'a option proper-interval* **where**
cproper-interval-option None None ⟷ *True*
| *cproper-interval-option None* (*Some x*) ⟷ *x* ≠ *None*
| *cproper-interval-option* (*Some x*) *None* ⟷ *cproper-interval x None*
| *cproper-interval-option* (*Some x*) (*Some None*) ⟷ *False*
| *cproper-interval-option* (*Some x*) (*Some* (*Some y*)) ⟷ *cproper-interval x* (*Some y*)
instance
proof
assume *ID CCOMPARE*(*'a option*) ≠ *None* *finite* (*UNIV* :: *'a option set*)
then obtain *c-a*
where *A*: *ID CCOMPARE*(*'a*) = *Some c-a* *finite* (*UNIV* :: *'a set*)
by(*auto simp add*: *ccompare-option-def ID-def split*: *option.split-asm*)
note [*simp*] = *proper-interval.proper-interval-simps*[*OF cproper-interval*]
ccompare-option-def lt-of-comp-option ID-Some
show *class.proper-interval cless* (*cproper-interval* :: *'a option proper-interval*) **using** *A*
proof(*unfold-locales*)
fix *x y* :: *'a option*
show *cproper-interval* (*Some x*) *None* = (∃ *z*. *cless x z*) **using** *A*
by(*cases x*)(*auto split*: *option.split intro*: *exI*[**where** *x*=*Some undefined*])

show *cproper-interval* (*Some x*) (*Some y*) = (∃ *z*. *cless x z* ∧ *cless z y*) **using** *A*
by(*cases x y rule*: *option.exhaust*[*case-product option.exhaust*])(*auto cong*: *option.case-cong split*: *option.split*)
qed(*auto split*: *option.splits*)
qed
end

instantiation *set* :: (*cproper-interval*) *cproper-interval* **begin**
fun *cproper-interval-set* :: *'a set proper-interval* **where**
[*code*]: *cproper-interval-set None None* ⟷ *True*
| [*code*]: *cproper-interval-set None* (*Some B*) ⟷ (*B* ≠ {})
| [*code*]: *cproper-interval-set* (*Some A*) *None* ⟷ (*A* ≠ *UNIV*)
| *cproper-interval-set-Some-Some* [*code del*]: — Refine for concrete implementations
cproper-interval-set (*Some A*) (*Some B*) ⟷ *finite* (*UNIV* :: *'a set*) ∧ (∃ *C*. *cless A C* ∧ *cless C B*)
instance
proof
assume *ID CCOMPARE*(*'a set*) ≠ *None* *finite* (*UNIV* :: *'a set set*)
then obtain *c-a*

where *A*: *ID CCOMPARE*('*a*) = *Some c-a* *finite* (*UNIV* :: '*a set*)
by(*auto simp add*: *ccompare-set-def ID-def Finite-Set.finite-set*)
interpret *a*: *linorder le-of-comp c-a* *lt-of-comp c-a* **by**(*rule ID-ccompare*)(*rule A*)
note [*simp*] = *proper-interval.proper-interval-simps*[*OF cproper-interval*] *ccompare-set-def*
ID-Some lt-of-comp-of-ords
show *class.proper-interval class* (*cproper-interval* :: '*a set proper-interval*) **using** *A*
by (*unfold-locales*, *auto*)
qed

lemma *Complement-cproper-interval-set-Complement*:
fixes *A B* :: '*a set*
assumes *corder*: *ID CCOMPARE*('*a*) ≠ *None*
shows *cproper-interval* (*Some* (− *A*)) (*Some* (− *B*)) = *cproper-interval* (*Some B*) (*Some A*)
using *assms*
by(*clarsimp simp add*: *ccompare-set-def ID-Some lt-of-comp-of-ords*) (*metis double-complement linorder.Compl-set-less-Compl*[*OF ID-ccompare*])

end

instantiation *fun* :: (*type*, *type*) *cproper-interval* **begin**

No interval checks on functions needed because we have not defined an order on them.

definition *cproper-interval* = (*undefined* :: ('*a* ⇒ '*b*) *proper-interval*)
instance by(*intro-classes*)(*simp add*: *ccompare-fun-def ID-None*)
end

end

theory *List-Proper-Interval* **imports**
HOL−Library.List-Lexorder
Collection-Order
begin

3.2 Instantiate *proper-interval* of for *'a list*

lemma *Nil-less-conv-neq-Nil*: [] < *xs* ⟷ *xs* ≠ []
by(*cases xs*) *simp-all*

lemma *less-append-same-iff*:
fixes *xs* :: '*a* :: *preorder list*
shows *xs* < *xs* @ *ys* ⟷ [] < *ys*

by(*induct xs*) *simp-all*

lemma *less-append-same2-iff*:
fixes *xs* :: *'a* :: *preorder list*
shows *xs* @ *ys* < *xs* @ *zs* ⟷ *ys* < *zs*
by(*induct xs*) *simp-all*

lemma *Cons-less-iff*:
fixes *x* :: *'a* :: *preorder* **shows**
x # *xs* < *ys* ⟷ (∃ *y ys'*. *ys* = *y* # *ys'* ∧ (*x* < *y* ∨ *x* = *y* ∧ *xs* < *ys'*))
by(*cases ys*) *auto*

instantiation *list* :: ({*proper-interval*, *order*}) *proper-interval* **begin**

definition *proper-interval-list-aux* :: *'a list* ⇒ *'a list* ⇒ *bool*
where *proper-interval-list-aux-correct*:
proper-interval-list-aux xs ys ⟷ (∃ *zs*. *xs* < *zs* ∧ *zs* < *ys*)

lemma *proper-interval-list-aux-simps* [*code*]:
proper-interval-list-aux xs [] ⟷ *False*
proper-interval-list-aux [] (*y* # *ys*) ⟷ *ys* ≠ [] ∨ *proper-interval None* (*Some y*)
proper-interval-list-aux (*x* # *xs*) (*y* # *ys*) ⟷ *x* < *y* ∨ *x* = *y* ∧ *proper-interval-list-aux xs ys*
apply(*simp-all add*: *proper-interval-list-aux-correct proper-interval-simps Nil-less-conv-neq-Nil*)
apply(*fastforce simp add*: *neq-Nil-conv*)
apply(*rule iffI*)
apply(*fastforce simp add*: *Cons-less-iff intro*: *less-trans*)
apply(*erule disjE*)
apply(*rule exI*[**where** *x*=*x* # *xs* @ [*undefined*]])
apply(*simp add*: *less-append-same-iff*)
apply(*auto 4 3 simp add*: *Cons-less-iff*)
done

fun *proper-interval-list* :: *'a list option* ⇒ *'a list option* ⇒ *bool* **where**
proper-interval-list None None ⟷ *True*
| *proper-interval-list None* (*Some xs*) ⟷ (*xs* ≠ [])
| *proper-interval-list* (*Some xs*) *None* ⟷ *True*
| *proper-interval-list* (*Some xs*) (*Some ys*) ⟷ *proper-interval-list-aux xs ys*
instance
proof(*intro-classes*)
fix *xs ys* :: *'a list*
show *proper-interval None* (*Some ys*) ⟷ (∃ *zs*. *zs* < *ys*)
by(*auto simp add*: *Nil-less-conv-neq-Nil intro*: *exI*[**where** *x*=[]])
show *proper-interval* (*Some xs*) *None* ⟷ (∃ *zs*. *xs* < *zs*)
by(*simp add*: *exI*[**where** *x*=*xs* @ [*undefined*]] *less-append-same-iff*)
show *proper-interval* (*Some xs*) (*Some ys*) ⟷ (∃ *zs*. *xs* < *zs* ∧ *zs* < *ys*)
by(*simp add*: *proper-interval-list-aux-correct*)
qed *simp*
end

end

theory *Collection-Eq* **imports**
Containers-Auxiliary
Containers-Generator
Deriving.Equality-Instances
begin

3.3 A type class for optional equality testing

class *ceq* =
fixes *ceq* :: $('a \Rightarrow 'a \Rightarrow bool)$ *option*
assumes *ceq*: *ceq* = *Some eq* $\Longrightarrow$ *eq* = (=)
begin

lemma *ceq-equality*: *ceq* = *Some eq* $\Longrightarrow$ *equality eq*
by (*drule ceq*, *rule Equality-Generator.equalityI*, *simp*)

lemma *ID-ceq*: *ID ceq* = *Some eq* $\Longrightarrow$ *eq* = (=)
unfolding *ID-def id-apply* **by**(*rule ceq*)

abbreviation *ceq'* :: $'a \Rightarrow 'a \Rightarrow bool$ **where** *ceq'* $\equiv$ *the* (*ID ceq*)

end

syntax *-CEQ* :: *type* => *logic* ((*1CEQ*/(*1* '(-'))))

parse-translation ‹
let
fun ceq-tr [*ty*] =
(*Syntax.const* @{*syntax-const -constrain*} $ *Syntax.const* @{*const-syntax ceq*}
$
(*Syntax.const* @{*type-syntax option*} $
(*Syntax.const* @{*type-syntax fun*} $ *ty* $
(*Syntax.const* @{*type-syntax fun*} $ *ty* $ *Syntax.const* @{*type-syntax
bool*}))))
| *ceq-tr ts* = *raise TERM* (*ceq-tr*, *ts*);
in [(@{*syntax-const -CEQ*}, *K ceq-tr*)] *end*
›

typed-print-translation ‹
let
fun ceq-tr' ctxt
(*Type* (@{*type-name option*}, [*Type* (@{*type-name fun*}, [*T*, -])])) *ts* =
Term.list-comb (*Syntax.const* @{*syntax-const -CEQ*} $ *Syntax-Phases.term-of-typ
ctxt T*, *ts*)
| *ceq-tr'* - - - = *raise Match*;
in [(@{*const-syntax ceq*}, *ceq-tr'*)]

end
›

definition *is-ceq* :: $'a$ :: *ceq itself* ⇒ *bool*
where *is-ceq* - ⟷ *ID CEQ*($'a$) ≠ *None*

3.3.1 Generator for the *ceq*-class

This generator registers itself at the derive-manager for the class *ceq*. To be more precise, one can choose whether one wants to take (=) as function for *CEQ*($'a$) by passing "eq" as parameter, whether equality should not be supported by passing "no" as parameter, or whether an own definition for equality should be derived by not passing any parameters. The last possibility only works for datatypes.

- `instantiation type :: (type,...,type) (eq) ceq`
- `instantiation type :: (type,...,type) (no) ceq`
- `instantiation datatype :: (ceq,...,ceq) ceq`

If the parameter "no" is not used, then the corresponding *is-ceq*-theorem is also automatically generated and attributed with `[simp, code-post]`.

This generator can be used for arbitrary types, not just datatypes.

lemma *equality-subst*: *c1* = *c2* ⟹ *equality c1* ⟹ *equality c2* **by** *blast*

ML-file ‹*ceq-generator.ML*›

3.3.2 Type class instances for HOL types

derive (*eq*) *ceq unit*
lemma [*code*]: *CEQ*(*unit*) = *Some* (λ- -. *True*)
  unfolding *ceq-unit-def* **by** (*simp*, *intro ext*, *auto*)
derive (*eq*) *ceq*
  bool
  nat
  int
  Enum.finite-1
  Enum.finite-2
  Enum.finite-3
  Enum.finite-4
  Enum.finite-5
  integer
  natural
  char
  String.literal
derive *ceq sum prod list option*

derive (*no*) *ceq fun*

lemma *is-ceq-fun* [*simp*]: $\neg$ *is-ceq TYPE*('*a* $\Rightarrow$ '*b*)
by(*simp add*: *is-ceq-def ceq-fun-def ID-None*)

definition *set-eq* :: '*a set* $\Rightarrow$ '*a set* $\Rightarrow$ *bool*
where [*code del*]: *set-eq* = (=)

lemma *set-eq-code*:
shows [*code*]: *set-eq A B* $\longleftrightarrow$ $A \subseteq B \wedge B \subseteq A$
and [*code-unfold*]: (=) = *set-eq*
unfolding *set-eq-def* **by** *blast*+

instantiation *set* :: (*ceq*) *ceq* **begin**
definition *CEQ*('*a set*) = (*case ID CEQ*('*a*) *of None* $\Rightarrow$ *None* | *Some* - $\Rightarrow$ *Some set-eq*)
instance by(*intro-classes*)(*simp add*: *ceq-set-def set-eq-def split*: *option.splits*)
end

lemma *is-ceq-set* [*simp*, *code-post*]: *is-ceq TYPE*('*a set*) $\longleftrightarrow$ *is-ceq TYPE*('*a* :: *ceq*)
by(*simp add*: *is-ceq-def ceq-set-def ID-None ID-Some split*: *option.split*)

lemma *ID-ceq-set-not-None-iff* [*simp*]: *ID CEQ*('*a set*) $\neq$ *None* $\longleftrightarrow$ *ID CEQ*('*a* :: *ceq*) $\neq$ *None*
by(*simp add*: *ceq-set-def ID-def split*: *option.splits*)

Instantiation for '*a Predicate.pred*

context fixes *eq* :: '*a* $\Rightarrow$ '*a* $\Rightarrow$ *bool* **begin**

definition *member-pred* :: '*a Predicate.pred* $\Rightarrow$ '*a* $\Rightarrow$ *bool*
where *member-pred P x* $\longleftrightarrow$ ($\exists$ *y*. *eq x y* $\wedge$ *Predicate.eval P y*)

definition *member-seq* :: '*a Predicate.seq* $\Rightarrow$ '*a* $\Rightarrow$ *bool*
where *member-seq xp* = *member-pred* (*Predicate.pred-of-seq xp*)

lemma *member-seq-code* [*code*]:
member-seq seq.Empty x $\longleftrightarrow$ *False*
member-seq (*seq.Insert y P*) *x* $\longleftrightarrow$ *eq x y* $\vee$ *member-pred P x*
member-seq (*seq.Join Q xq*) *x* $\longleftrightarrow$ *member-pred Q x* $\vee$ *member-seq xq x*
by(*auto simp add*: *member-seq-def member-pred-def*)

lemma *member-pred-code* [*code*]:
member-pred (*Predicate.Seq f*) = *member-seq* (*f* ())
by(*simp add*: *member-seq-def Seq-def*)

definition *leq-pred* :: '*a Predicate.pred* $\Rightarrow$ '*a Predicate.pred* $\Rightarrow$ *bool*
where *leq-pred P Q* $\longleftrightarrow$ ($\forall$ *x*. *Predicate.eval P x* $\longrightarrow$ ($\exists$ *y*. *eq x y* $\wedge$ *Predicate.eval Q y*))

definition *leq-seq* :: *'a Predicate.seq* ⇒ *'a Predicate.pred* ⇒ *bool*
where *leq-seq xp Q* ⟷ *leq-pred* (*Predicate.pred-of-seq xp*) *Q*

lemma *leq-seq-code* [*code*]:
  leq-seq seq.Empty Q ⟷ *True*
  leq-seq (*seq.Insert x P*) *Q* ⟷ *member-pred Q x* ∧ *leq-pred P Q*
  leq-seq (*seq.Join P xp*) *Q* ⟷ *leq-pred P Q* ∧ *leq-seq xp Q*
by(*auto simp add*: *leq-seq-def leq-pred-def member-pred-def*)

lemma *leq-pred-code* [*code*]:
  leq-pred (*Predicate.Seq f*) *Q* ⟷ *leq-seq* (*f* ()) *Q*
by(*simp add*: *leq-seq-def Seq-def*)

definition *predicate-eq* :: *'a Predicate.pred* ⇒ *'a Predicate.pred* ⇒ *bool*
where *predicate-eq P Q* ⟷ *leq-pred P Q* ∧ *leq-pred Q P*

context assumes *eq*: *eq* = (=) **begin**

lemma *member-pred-eq*: *member-pred* = *Predicate.eval*
unfolding *fun-eq-iff member-pred-def* **by**(*simp add*: *eq*)

lemma *member-seq-eq*: *member-seq* = *Predicate.member*
by(*simp add*: *member-seq-def fun-eq-iff eval-member member-pred-eq*)

lemma *leq-pred-eq*: *leq-pred* = (≤)
unfolding *fun-eq-iff leq-pred-def* **by**(*auto simp add*: *eq less-eq-pred-def*)

lemma *predicate-eq-eq*: *predicate-eq* = (=)
unfolding *predicate-eq-def fun-eq-iff* **by**(*auto simp add*: *leq-pred-eq*)

end
end

instantiation *Predicate.pred* :: (*ceq*) *ceq* **begin**
definition *CEQ*(*'a Predicate.pred*) = *map-option predicate-eq* (*ID CEQ*(*'a*))
instance by(*intro-classes*)(*auto simp add*: *ceq-pred-def predicate-eq-eq dest*: *ID-ceq*)
end

end

theory *Collection-Enum* **imports**
  Containers-Auxiliary
  Containers-Generator
begin

3.4 A type class for optional enumerations

3.4.1 Definition

class *cenum* =
fixes *cEnum* :: ('*a list* × (('*a* ⇒ *bool*) ⇒ *bool*) × (('*a* ⇒ *bool*) ⇒ *bool*)) *option*
assumes *UNIV-cenum*: *cEnum* = *Some* (*enum*, *enum-all*, *enum-ex*) ⟹ *UNIV* = *set enum*
and *cenum-all-UNIV*: *cEnum* = *Some* (*enum*, *enum-all*, *enum-ex*) ⟹ *enum-all P* = *Ball UNIV P*
and *cenum-ex-UNIV*: *cEnum* = *Some* (*enum*, *enum-all*, *enum-ex*) ⟹ *enum-ex P* = *Bex UNIV P*
begin

lemma *ID-cEnum*:
ID cEnum = *Some* (*enum*, *enum-all*, *enum-ex*)
⟹ *UNIV* = *set enum* ∧ *enum-all* = *Ball UNIV* ∧ *enum-ex* = *Bex UNIV*
unfolding *ID-def id-apply fun-eq-iff*
by(*intro conjI allI UNIV-cenum cenum-all-UNIV cenum-ex-UNIV fun-eq-iff*)

lemma *in-cenum*: *ID cEnum* = *Some* (*enum*, *rest*) ⟹ *f* ∈ *set enum*
by(*cases rest*)(*auto dest*: *ID-cEnum*)

abbreviation *cenum* :: '*a list*
where *cenum* ≡ *fst* (*the* (*ID cEnum*))

abbreviation *cenum-all* :: ('*a* ⇒ *bool*) ⇒ *bool*
where *cenum-all* ≡ *fst* (*snd* (*the* (*ID cEnum*)))

abbreviation *cenum-ex* :: ('*a* ⇒ *bool*) ⇒ *bool*
where *cenum-ex* ≡ *snd* (*snd* (*the* (*ID cEnum*)))

end

syntax *-CENUM* :: *type* => *logic* ((*1CENUM*/(*1*'(-'))))

parse-translation ‹
let
fun cenum-tr [*ty*] =
(*Syntax.const* @{*syntax-const -constrain*} $ *Syntax.const* @{*const-syntax cEnum*} $
(*Syntax.const* @{*type-syntax option*} $
(*Syntax.const* @{*type-syntax prod*} $
(*Syntax.const* @{*type-syntax list*} $ *ty*) $
(*Syntax.const* @{*type-syntax prod*} $
(*Syntax.const* @{*type-syntax fun*} $
(*Syntax.const* @{*type-syntax fun*} $ *ty* $ (*Syntax.const* @{*type-syntax bool*})) $
(*Syntax.const* @{*type-syntax bool*})) $
(*Syntax.const* @{*type-syntax fun*} $

(*Syntax.const* @{*type-syntax fun*} $ *ty* $ (*Syntax.const* @{*type-syntax bool*})) $
(*Syntax.const* @{*type-syntax bool*})))))))
| *cenum-tr ts* = *raise TERM* (*cenum-tr*, *ts*);
in [(@{*syntax-const -CENUM*}, *K cenum-tr*)] *end*
›

typed-print-translation ‹
let
fun cenum-tr′ ctxt
(*Type* (@{*type-name option*}, [*Type* (@{*type-name prod*}, [*Type* (@{*type-name list*}, [*T*]), -])])) *ts* =
Term.list-comb (*Syntax.const* @{*syntax-const -CENUM*} $ *Syntax-Phases.term-of-typ ctxt T*, *ts*)
| *cenum-tr′* - - - = *raise Match*;
in [(@{*const-syntax cEnum*}, *cenum-tr′*)]
end
›

3.4.2 Generator for the *cenum*-class

This generator registers itself at the derive-manager for the class *cenum*. To be more precise, one can currently only choose to not support enumeration by passing "no" as parameter.

- `instantiation type :: (type,...,type) (no) cenum`

This generator can be used for arbitrary types, not just datatypes.

ML-file ‹*cenum-generator.ML*›

3.4.3 Instantiations

context fixes *cenum-all* :: (′*a* ⇒ *bool*) ⇒ *bool* **begin**
fun *all-n-lists* :: (′*a list* ⇒ *bool*) ⇒ *nat* ⇒ *bool*
where [*simp del*]:
all-n-lists P n = (*if n* = *0 then P* [] *else cenum-all* (λ*x*. *all-n-lists* (λ*xs*. *P* (*x* # *xs*)) (*n* − *1*)))
end

context fixes *cenum-ex* :: (′*a* ⇒ *bool*) ⇒ *bool* **begin**
fun *ex-n-lists* :: (′*a list* ⇒ *bool*) ⇒ *nat* ⇒ *bool*
where [*simp del*]:
ex-n-lists P n ⟷ (*if n* = *0 then P* [] *else cenum-ex* (%*x*. *ex-n-lists* (%*xs*. *P* (*x* # *xs*)) (*n* − *1*)))
end

lemma *all-n-lists-iff*: **fixes** *cenum* **shows**

all-n-lists (*Ball* (*set cenum*)) *P n* $\longleftrightarrow$ ($\forall$ *xs* $\in$ *set* (*List.n-lists n cenum*). *P xs*)
proof(*induct P n rule*: *all-n-lists.induct*)
case (*1 P n*)
show *?case*
proof(*cases n*)
case *0*
thus *?thesis* **by**(*simp add*: *all-n-lists.simps*)
next
case (*Suc n'*)
thus *?thesis* **using** *1* **by**(*subst all-n-lists.simps*) *auto*
qed
qed

lemma *ex-n-lists-iff*: **fixes** *cenum* **shows**
ex-n-lists (*Bex* (*set cenum*)) *P n* $\longleftrightarrow$ ($\exists$ *xs* $\in$ *set* (*List.n-lists n cenum*). *P xs*)
proof(*induct P n rule*: *ex-n-lists.induct*)
case (*1 P n*)
show *?case*
proof(*cases n*)
case *0*
thus *?thesis* **by**(*simp add*: *ex-n-lists.simps*)
next
case (*Suc n'*)
thus *?thesis* **using** *1* **by**(*subst ex-n-lists.simps*) *auto*
qed
qed

instantiation *fun* :: (*cenum*, *cenum*) *cenum* **begin**

definition
CENUM('*a* $\Rightarrow$ '*b*) =
(*case ID CENUM*('*a*) *of None* $\Rightarrow$ *None* | *Some* (*enum-a*, *enum-all-a*, *enum-ex-a*) $\Rightarrow$
case ID CENUM('*b*) *of None* $\Rightarrow$ *None* | *Some* (*enum-b*, *enum-all-b*, *enum-ex-b*) $\Rightarrow$ *Some*
(*map* (λ*ys*. *the o map-of* (*zip enum-a ys*)) (*List.n-lists* (*length enum-a*) *enum-b*),
λ*P*. *all-n-lists enum-all-b* (λ*bs*. *P* (*the o map-of* (*zip enum-a bs*))) (*length enum-a*),
λ*P*. *ex-n-lists enum-ex-b* (λ*bs*. *P* (*the o map-of* (*zip enum-a bs*))) (*length enum-a*)))
instance proof
fix *enum enum-all enum-ex P*
assume *CENUM*('*a* $\Rightarrow$ '*b*) = *Some* (*enum*, *enum-all*, *enum-ex*)
then obtain *enum-a enum-all-a enum-ex-a enum-b enum-all-b enum-ex-b*
where *a*: *ID CENUM*('*a*) = *Some* (*enum-a*, *enum-all-a*, *enum-ex-a*)
and *b*: *ID CENUM*('*b*) = *Some* (*enum-b*, *enum-all-b*, *enum-ex-b*)
and *enum*: *enum* = *map* (λ*ys*. *the o map-of* (*zip enum-a ys*)) (*List.n-lists* (*length enum-a*) *enum-b*)

```
    and enum-all: enum-all = (λP. all-n-lists enum-all-b (λbs. P (the o map-of
(zip enum-a bs))) (length enum-a))
   and enum-ex: enum-ex = (λP. ex-n-lists enum-ex-b (λbs. P (the o map-of (zip
enum-a bs))) (length enum-a))
    by(fastforce simp add: cEnum-fun-def split: option.split-asm)

  show UNIV = set enum
  proof (rule UNIV-eq-I)
    fix f :: 'a ⇒ 'b
    have f = the ∘ map-of (zip enum-a (map f enum-a))
      by (auto simp add: map-of-zip-map fun-eq-iff intro: in-cenum[OF a])
    then show f ∈ set enum
      by (auto simp add: enum set-n-lists intro: in-cenum[OF b])
  qed

  show enum-all P = Ball UNIV P
  proof
    assume enum-all P
    show Ball UNIV P
    proof
      fix f :: 'a ⇒ 'b
      have f: f = the ∘ map-of (zip (enum-a) (map f enum-a))
        by (auto simp add: map-of-zip-map fun-eq-iff intro: in-cenum[OF a])
      from ‹enum-all P› have P (the ∘ map-of (zip enum-a (map f enum-a)))
        apply(simp add: enum-all ID-cEnum[OF b] all-n-lists-iff set-n-lists)
        apply(erule allE, erule mp)
        apply(auto simp add: in-cenum[OF b])
        done
      with f show P f by simp
    qed
  next
    assume Ball UNIV P
    from this show enum-all P
      by(simp add: enum-all ID-cEnum[OF b] all-n-lists-iff)
  qed

  show enum-ex P = Bex UNIV P
  proof
    assume enum-ex P
    from this show Bex UNIV P
      by(auto simp add: enum-ex ID-cEnum[OF b] ex-n-lists-iff)
  next
    assume Bex UNIV P
    from this obtain f where P f ..
    also have f: f = the ∘ map-of (zip (enum-a) (map f enum-a))
      by (auto simp add: map-of-zip-map fun-eq-iff intro: in-cenum[OF a])
    finally show enum-ex P
      apply(simp add: enum-ex ID-cEnum[OF b] ex-n-lists-iff o-def)
      apply(erule bexI)
```

```
    apply(auto simp add: set-n-lists intro!: in-cenum[OF b])
    done
  qed
qed
end

instantiation set :: (cenum) cenum begin
definition
  CENUM('a set) =
  (case ID CENUM('a) of None ⇒ None | Some (enum-a, enum-all-a, enum-ex-a)
⇒ Some
    (map set (subseqs enum-a),
     λP. list-all P (map set (subseqs enum-a)),
     λP. list-ex P (map set (subseqs enum-a))))
instance
  by(intro-classes)(auto simp add: cEnum-set-def subseqs-powset list-ex-iff list-all-iff
split: option.split-asm dest!: ID-cEnum)
end

instantiation unit :: cenum begin
definition CENUM(unit) = Some (enum-class.enum, enum-class.enum-all, enum-class.enum-ex)
instance by(intro-classes)(auto simp add: cEnum-unit-def enum-UNIV enum-all-UNIV
enum-ex-UNIV)
end

instantiation bool :: cenum begin
definition CENUM(bool) = Some (enum-class.enum, enum-class.enum-all, enum-class.enum-ex)
instance by(intro-classes)(auto simp add: cEnum-bool-def enum-UNIV enum-all-UNIV
enum-ex-UNIV)
end

instantiation prod :: (cenum, cenum) cenum begin
definition
  CENUM('a × 'b) =
  (case ID CENUM('a) of None ⇒ None | Some (enum-a, enum-all-a, enum-ex-a)
⇒
    case ID CENUM('b) of None ⇒ None | Some (enum-b, enum-all-b, enum-ex-b)
⇒ Some
      (List.product enum-a enum-b,
       λP. enum-all-a (%x. enum-all-b (%y. P (x, y))),
       λP. enum-ex-a (%x. enum-ex-b (%y. P (x, y)))))
instance
  by(intro-classes)(auto 4 4 simp add: cEnum-prod-def split: option.split-asm dest!:
ID-cEnum)
end

instantiation sum :: (cenum, cenum) cenum begin
definition
  CENUM('a + 'b) =
```

(*case ID CENUM*('*a*) *of None* ⇒ *None* | *Some* (*enum-a*, *enum-all-a*, *enum-ex-a*)
⇒
case ID CENUM('*b*) *of None* ⇒ *None* | *Some* (*enum-b*, *enum-all-b*, *enum-ex-b*)
⇒ *Some*
(*map Inl enum-a* @ *map Inr enum-b*,
λ*P*. *enum-all-a* (λ*x*. *P* (*Inl x*)) ∧ *enum-all-b* (λ*x*. *P* (*Inr x*)),
λ*P*. *enum-ex-a* (λ*x*. *P* (*Inl x*)) ∨ *enum-ex-b* (λ*x*. *P* (*Inr x*))))
instance
by(*intro-classes*)(*auto 4 4 simp add*: *cEnum-sum-def UNIV-sum split*: *option.split-asm dest*!: *ID-cEnum*)
end

instantiation *option* :: (*cenum*) *cenum* **begin**
definition
CENUM('*a option*) =
(*case ID CENUM*('*a*) *of None* ⇒ *None* | *Some* (*enum-a*, *enum-all-a*, *enum-ex-a*)
⇒ *Some*
(*None* # *map Some enum-a*,
λ*P*. *P None* ∧ *enum-all-a* (λ*x*. *P* (*Some x*)),
λ*P*. *P None* ∨ *enum-ex-a* (λ*x*. *P* (*Some x*))))
instance
by(*intro-classes*)(*auto simp add*: *cEnum-option-def UNIV-option-conv split*: *option.split-asm dest*: *ID-cEnum*)
end

instantiation *Enum.finite-1* :: *cenum* **begin**
definition *CENUM*(*Enum.finite-1*) = *Some* (*enum-class.enum*, *enum-class.enum-all*, *enum-class.enum-ex*)
instance by(*intro-classes*)(*auto simp add*: *cEnum-finite-1-def enum-UNIV enum-all-UNIV enum-ex-UNIV*)
end

instantiation *Enum.finite-2* :: *cenum* **begin**
definition *CENUM*(*Enum.finite-2*) = *Some* (*enum-class.enum*, *enum-class.enum-all*, *enum-class.enum-ex*)
instance by(*intro-classes*)(*auto simp add*: *cEnum-finite-2-def enum-UNIV enum-all-UNIV enum-ex-UNIV*)
end

instantiation *Enum.finite-3* :: *cenum* **begin**
definition *CENUM*(*Enum.finite-3*) = *Some* (*enum-class.enum*, *enum-class.enum-all*, *enum-class.enum-ex*)
instance by(*intro-classes*)(*auto simp add*: *cEnum-finite-3-def enum-UNIV enum-all-UNIV enum-ex-UNIV*)
end

instantiation *Enum.finite-4* :: *cenum* **begin**
definition *CENUM*(*Enum.finite-4*) = *Some* (*enum-class.enum*, *enum-class.enum-all*, *enum-class.enum-ex*)

instance by(*intro-classes*)(*auto simp add*: *cEnum-finite-4-def enum-UNIV enum-all-UNIV enum-ex-UNIV*)
end

instantiation *Enum.finite-5* :: *cenum* **begin**
definition *CENUM*(*Enum.finite-5*) = *Some* (*enum-class.enum*, *enum-class.enum-all*, *enum-class.enum-ex*)
instance by(*intro-classes*)(*auto simp add*: *cEnum-finite-5-def enum-UNIV enum-all-UNIV enum-ex-UNIV*)
end

instantiation *char* :: *cenum* **begin**
definition *CENUM*(*char*) = *Some* (*enum-class.enum*, *enum-class.enum-all*, *enum-class.enum-ex*)
instance by(*intro-classes*)(*auto simp add*: *cEnum-char-def enum-UNIV enum-all-UNIV enum-ex-UNIV*)
end

derive (*no*) *cenum list nat int integer natural String.literal*

end

theory *Equal* **imports** *Main* **begin**

3.5 Locales to abstract over HOL equality

locale *equal-base* = **fixes** *equal* :: $'a \Rightarrow 'a \Rightarrow bool$

locale *equal* = *equal-base* +
  assumes *equal-eq*: *equal* = (=)
begin

lemma *equal-conv-eq*: $equal\ x\ y \longleftrightarrow x = y$
by(*simp add*: *equal-eq*)

end

end

theory *RBT-ext*
imports
  HOL−Library.RBT-Impl
  Containers-Auxiliary
  List-Fusion
begin

3.6 More on red-black trees

3.6.1 More lemmas

context *linorder* **begin**

lemma *is-rbt-fold-rbt-insert-impl*:
is-rbt t $\Longrightarrow$ *is-rbt* (*RBT-Impl.fold rbt-insert t′ t*)
by(*simp add*: *rbt-insert-def is-rbt-fold-rbt-insertwk*)

lemma *rbt-sorted-fold-insert*: *rbt-sorted t* $\Longrightarrow$ *rbt-sorted* (*RBT-Impl.fold rbt-insert t′ t*)
by(*induct t′ arbitrary*: *t*)(*simp-all add*: *rbt-insert-rbt-sorted*)

lemma *rbt-lookup-rbt-insert′*: *rbt-sorted t* $\Longrightarrow$ *rbt-lookup* (*rbt-insert k v t*) = (*rbt-lookup t*)(*k* $\mapsto$ *v*)
by(*simp add*: *rbt-insert-def rbt-lookup-rbt-insertwk fun-eq-iff split*: *option.split*)

lemma *rbt-lookup-fold-rbt-insert-impl*:
rbt-sorted t2 $\Longrightarrow$
rbt-lookup (*RBT-Impl.fold rbt-insert t1 t2*) = *rbt-lookup t2* ++ *map-of* (*rev* (*RBT-Impl.entries t1*))
proof(*induction t1 arbitrary*: *t2*)
case *Empty* **thus** *?case* **by** *simp*
next
case (*Branch c l x k r*)
show *?case* **using** *Branch.prems*
by(*simp add*: *map-add-def Branch.IH rbt-insert-rbt-sorted rbt-sorted-fold-insert rbt-lookup-rbt-insert′ fun-eq-iff split*: *option.split*)
qed

end

3.6.2 Build the cross product of two RBTs

context fixes *f* :: *′a* $\Rightarrow$ *′b* $\Rightarrow$ *′c* $\Rightarrow$ *′d* $\Rightarrow$ *′e* **begin**

definition *alist-product* :: (*′a* $\times$ *′b*) *list* $\Rightarrow$ (*′c* $\times$ *′d*) *list* $\Rightarrow$ ((*′a* $\times$ *′c*) $\times$ *′e*) *list*
where *alist-product xs ys* = *concat* (*map* (λ(*a*, *b*). *map* (λ(*c*, *d*). ((*a*, *c*), *f a b c d*)) *ys*) *xs*)

lemma *alist-product-simps* [*simp*]:
alist-product [] *ys* = []
alist-product xs [] = []
alist-product ((*a*, *b*) # *xs*) *ys* = *map* (λ(*c*, *d*). ((*a*, *c*), *f a b c d*)) *ys* @ *alist-product xs ys*
by(*simp-all add*: *alist-product-def*)

lemma *append-alist-product-conv-fold*:
zs @ *alist-product xs ys* = *rev* (*fold* (λ(*a*, *b*). *fold* (λ(*c*, *d*) *rest*. ((*a*, *c*), *f a b c d*)

```
# rest) ys) xs (rev zs))
proof(induction xs arbitrary: zs)
  case Nil thus ?case by simp
next
  case (Cons x xs)
  obtain a b where x: x = (a, b) by(cases x)
  have ⋀zs. fold (λ(c, d). (#) ((a, c), f a b c d)) ys zs =
    rev (map (λ(c, d). ((a, c), f a b c d)) ys) @ zs
    by(induct ys) auto
  with Cons.IH[of zs @ map (λ(c, d). ((a, c), f a b c d)) ys] x
  show ?case by simp
qed

lemma alist-product-code [code]:
  alist-product xs ys =
  rev (fold (λ(a, b). fold (λ(c, d) rest. ((a, c), f a b c d) # rest) ys) xs [])
using append-alist-product-conv-fold[of [] xs ys]
by simp

lemma set-alist-product:
  set (alist-product xs ys) =
  (λ((a, b), (c, d)). ((a, c), f a b c d)) ‘ (set xs × set ys)
by(auto 4 3 simp add: alist-product-def intro: rev-image-eqI rev-bexI)

lemma distinct-alist-product:
  ⟦ distinct (map fst xs); distinct (map fst ys) ⟧
  ⟹ distinct (map fst (alist-product xs ys))
proof(induct xs)
  case Nil thus ?case by simp
next
  case (Cons x xs)
  obtain a b where x: x = (a, b) by(cases x)
  have distinct (map (fst ∘ (λ(c, d). ((a, c), f a b c d))) ys)
    using ‹distinct (map fst ys)› by(induct ys)(auto intro: rev-image-eqI)
 thus ?case using Cons x by(auto simp add: set-alist-product intro: rev-image-eqI)
qed

lemma map-of-alist-product:
  map-of (alist-product xs ys) (a, c) =
  (case map-of xs a of None ⇒ None
   | Some b ⇒ map-option (f a b c) (map-of ys c))
proof(induction xs)
  case Nil thus ?case by simp
next
  case (Cons x xs)
  obtain a b where x: x = (a, b) by (cases x)
  let ?map = map (λ(c, d). ((a, c), f a b c d)) ys
  have map-of ?map (a, c) = map-option (f a b c) (map-of ys c)
    by(induct ys) auto
```

moreover {
fix a' **assume** $a' \neq a$
hence *map-of ?map* $(a', c) =$ *None*
by(*induct ys*) *auto* **}**
ultimately show *?case* **using** *x Cons.IH*
by(*auto simp add*: *map-add-def split*: *option.split*)
qed

definition *rbt-product* :: $('a, 'b)$ *rbt* $\Rightarrow$ $('c, 'd)$ *rbt* $\Rightarrow$ $('a \times 'c, 'e)$ *rbt*
where
rbt-product rbt1 rbt2 = *rbtreeify* (*alist-product* (*RBT-Impl.entries rbt1*) (*RBT-Impl.entries rbt2*))

lemma *rbt-product-code* [*code*]:
rbt-product rbt1 rbt2 =
rbtreeify (*rev* (*RBT-Impl.fold* (λa *b*. *RBT-Impl.fold* (λc *d rest*. ((*a*, *c*), *f a b c d*) # *rest*) *rbt2*) *rbt1* []))
unfolding *rbt-product-def alist-product-code RBT-Impl.fold-def* **..**

end

context
fixes *leq-a* :: $'a \Rightarrow 'a \Rightarrow$ *bool* (**infix** $\sqsubseteq_a$ *50*)
and *less-a* :: $'a \Rightarrow 'a \Rightarrow$ *bool* (**infix** $\sqsubset_a$ *50*)
and *leq-b* :: $'b \Rightarrow 'b \Rightarrow$ *bool* (**infix** $\sqsubseteq_b$ *50*)
and *less-b* :: $'b \Rightarrow 'b \Rightarrow$ *bool* (**infix** $\sqsubset_b$ *50*)
assumes *lin-a*: *class.linorder leq-a less-a*
and *lin-b*: *class.linorder leq-b less-b*
begin

abbreviation (*input*) *less-eq-prod'* :: $('a \times 'b) \Rightarrow ('a \times 'b) \Rightarrow$ *bool* (**infix** $\sqsubseteq$ *50*)
where *less-eq-prod'* $\equiv$ *less-eq-prod leq-a less-a leq-b*

abbreviation (*input*) *less-prod'* :: $('a \times 'b) \Rightarrow ('a \times 'b) \Rightarrow$ *bool* (**infix** $\sqsubset$ *50*)
where *less-prod'* $\equiv$ *less-prod leq-a less-a less-b*

lemmas *linorder-prod* = *linorder-prod*[*OF lin-a lin-b*]

lemma *sorted-alist-product*:
assumes *xs*: *linorder.sorted leq-a* (*map fst xs*) *distinct* (*map fst xs*)
and *ys*: *linorder.sorted* ($\sqsubseteq_b$) (*map fst ys*)
shows *linorder.sorted* ($\sqsubseteq$) (*map fst* (*alist-product f xs ys*))
proof −
interpret *a*: *linorder* ($\sqsubseteq_a$) ($\sqsubset_a$) **by**(*fact lin-a*)

note [*simp*] =
linorder.sorted0[*OF linorder-prod*] *linorder.sorted1*[*OF linorder-prod*]
linorder.sorted-append[*OF linorder-prod*]
linorder.sorted1[*OF lin-b*]

show *?thesis* **using** *xs*
proof(*induction xs*)
case *Nil* **show** *?case* **by** *simp*
next
case (*Cons x xs*)
obtain *a b* **where** *x*: $x = (a, b)$ **by**(*cases x*)
have *linorder.sorted* ($\sqsubseteq$) (*map fst* (*map* ($\lambda(c, d)$. $((a, c), f\ a\ b\ c\ d)$) *ys*))
using *ys* **by**(*induct ys*) *auto*
thus *?case* **using** *x Cons*
by(*fastforce simp add*: *set-alist-product a.not-less dest*: *bspec a.order-antisym intro*: *rev-image-eqI*)
qed
qed

lemma *is-rbt-rbt-product*:
$[\![$ *ord.is-rbt* ($\sqsubset_a$) *rbt1*; *ord.is-rbt* ($\sqsubset_b$) *rbt2* $]\!]$
$\Longrightarrow$ *ord.is-rbt* ($\sqsubset$) (*rbt-product f rbt1 rbt2*)
unfolding *rbt-product-def*
by(*blast intro*: *linorder.is-rbt-rbtreeify*[*OF linorder-prod*] *sorted-alist-product linorder.rbt-sorted-entries*[*OF lin-a*] *ord.is-rbt-rbt-sorted linorder.distinct-entries*[*OF lin-a*] *linorder.rbt-sorted-entries*[*OF lin-b*] *distinct-alist-product linorder.distinct-entries*[*OF lin-b*])

lemma *rbt-lookup-rbt-product*:
$[\![$ *ord.is-rbt* ($\sqsubset_a$) *rbt1*; *ord.is-rbt* ($\sqsubset_b$) *rbt2* $]\!]$
$\Longrightarrow$ *ord.rbt-lookup* ($\sqsubset$) (*rbt-product f rbt1 rbt2*) $(a, c) =$
(*case ord.rbt-lookup* ($\sqsubset_a$) *rbt1 a of None* $\Rightarrow$ *None*
| *Some b* $\Rightarrow$ *map-option* (*f a b c*) (*ord.rbt-lookup* ($\sqsubset_b$) *rbt2 c*))
by(*simp add*: *rbt-product-def linorder.rbt-lookup-rbtreeify*[*OF linorder-prod*] *linorder.is-rbt-rbtreeify*[*OF linorder-prod*] *sorted-alist-product linorder.rbt-sorted-entries*[*OF lin-a*] *ord.is-rbt-rbt-sorted linorder.distinct-entries*[*OF lin-a*] *linorder.rbt-sorted-entries*[*OF lin-b*] *distinct-alist-product linorder.distinct-entries*[*OF lin-b*] *map-of-alist-product linorder.map-of-entries*[*OF lin-a*] *linorder.map-of-entries*[*OF lin-b*] *cong*: *option.case-cong*)

end

hide-const *less-eq-prod′ less-prod′*

3.6.3 Build an RBT where keys are paired with themselves

primrec *RBT-Impl-diag* :: $('a, 'b)$ *rbt* $\Rightarrow$ $('a \times 'a, 'b)$ *rbt*
where
RBT-Impl-diag rbt.Empty = *rbt.Empty*
| *RBT-Impl-diag* (*rbt.Branch c l k v r*) = *rbt.Branch c* (*RBT-Impl-diag l*) (k, k) *v* (*RBT-Impl-diag r*)

lemma *entries-RBT-Impl-diag*:
RBT-Impl.entries (*RBT-Impl-diag t*) = *map* ($\lambda(k, v)$. $((k, k), v)$) (*RBT-Impl.entries t*)

by(*induct t*) *simp-all*

lemma *keys-RBT-Impl-diag*:
RBT-Impl.keys (*RBT-Impl-diag t*) = *map* ($\lambda k.$ (k, k)) (*RBT-Impl.keys t*)
by(*simp add*: *RBT-Impl.keys-def entries-RBT-Impl-diag split-beta*)

lemma *rbt-sorted-RBT-Impl-diag*:
ord.rbt-sorted lt t $\Longrightarrow$ *ord.rbt-sorted* (*less-prod leq lt lt*) (*RBT-Impl-diag t*)
by(*induct t*)(*auto simp add*: *ord.rbt-sorted.simps ord.rbt-less-prop ord.rbt-greater-prop keys-RBT-Impl-diag*)

lemma *bheight-RBT-Impl-diag*:
bheight (*RBT-Impl-diag t*) = *bheight t*
by(*induct t*) *simp-all*

lemma *inv-RBT-Impl-diag*:
assumes *inv1 t* *inv2 t*
shows *inv1* (*RBT-Impl-diag t*) *inv2* (*RBT-Impl-diag t*)
and *color-of t* = *color.B* $\Longrightarrow$ *color-of* (*RBT-Impl-diag t*) = *color.B*
using *assms* **by**(*induct t*)(*auto simp add*: *bheight-RBT-Impl-diag*)

lemma *is-rbt-RBT-Impl-diag*:
ord.is-rbt lt t $\Longrightarrow$ *ord.is-rbt* (*less-prod leq lt lt*) (*RBT-Impl-diag t*)
by(*simp add*: *ord.is-rbt-def rbt-sorted-RBT-Impl-diag inv-RBT-Impl-diag*)

lemma (**in** *linorder*) *rbt-lookup-RBT-Impl-diag*:
ord.rbt-lookup (*less-prod* ($\leq$) ($<$) ($<$)) (*RBT-Impl-diag t*) =
($\lambda(k, k')$. *if* $k = k'$ *then ord.rbt-lookup* ($<$) *t k else None*)
by(*induct t*)(*auto simp add*: *ord.rbt-lookup.simps fun-eq-iff*)

3.6.4 Folding and quantifiers over RBTs

definition *RBT-Impl-fold1* :: ($'a \Rightarrow 'a \Rightarrow 'a$) $\Rightarrow$ ($'a$, *unit*) *RBT-Impl.rbt* $\Rightarrow$ $'a$
where *RBT-Impl-fold1 f rbt* = *fold f* (*tl* (*RBT-Impl.keys rbt*)) (*hd* (*RBT-Impl.keys rbt*))

lemma *RBT-Impl-fold1-simps* [*simp*, *code*]:
RBT-Impl-fold1 f rbt.Empty = *undefined*
RBT-Impl-fold1 f (*Branch c rbt.Empty k v r*) = *RBT-Impl.fold* ($\lambda k\ v.\ f\ k$) *r k*
RBT-Impl-fold1 f (*Branch c* (*Branch c′ l′ k′ v′ r′*) *k v r*) =
RBT-Impl.fold ($\lambda k\ v.\ f\ k$) *r* (*f k* (*RBT-Impl-fold1 f* (*Branch c′ l′ k′ v′ r′*)))
by(*simp-all add*: *RBT-Impl-fold1-def RBT-Impl.keys-def fold-map RBT-Impl.fold-def split-def o-def tl-append hd-def split*: *list.split*)

definition *RBT-Impl-rbt-all* :: ($'a \Rightarrow 'b \Rightarrow$ *bool*) $\Rightarrow$ ($'a$, $'b$) *rbt* $\Rightarrow$ *bool*
where [*code del*]: *RBT-Impl-rbt-all P rbt* = ($\forall$ (k, v) $\in$ *set* (*RBT-Impl.entries rbt*). *P k v*)

lemma *RBT-Impl-rbt-all-simps* [*simp*, *code*]:

RBT-Impl-rbt-all P rbt.Empty $\longleftrightarrow$ *True*
RBT-Impl-rbt-all P (Branch c l k v r) $\longleftrightarrow$ *P k v* $\wedge$ *RBT-Impl-rbt-all P l* $\wedge$ *RBT-Impl-rbt-all P r*
by(*auto simp add*: *RBT-Impl-rbt-all-def*)

definition *RBT-Impl-rbt-ex* :: ('*a* $\Rightarrow$ '*b* $\Rightarrow$ *bool*) $\Rightarrow$ ('*a*, '*b*) *rbt* $\Rightarrow$ *bool*
where [*code del*]: *RBT-Impl-rbt-ex P rbt* = ($\exists$ (*k*, *v*) $\in$ *set* (*RBT-Impl.entries rbt*). *P k v*)

lemma *RBT-Impl-rbt-ex-simps* [*simp*, *code*]:
RBT-Impl-rbt-ex P rbt.Empty $\longleftrightarrow$ *False*
RBT-Impl-rbt-ex P (Branch c l k v r) $\longleftrightarrow$ *P k v* $\vee$ *RBT-Impl-rbt-ex P l* $\vee$ *RBT-Impl-rbt-ex P r*
by(*auto simp add*: *RBT-Impl-rbt-ex-def*)

3.6.5 List fusion for RBTs

type-synonym ('*a*, '*b*, '*c*) *rbt-generator-state* = ('*c* $\times$ ('*a*, '*b*) *RBT-Impl.rbt*) *list* $\times$ ('*a*, '*b*) *RBT-Impl.rbt*

fun *rbt-has-next* :: ('*a*, '*b*, '*c*) *rbt-generator-state* $\Rightarrow$ *bool*
where
rbt-has-next ([], *rbt.Empty*) = *False*
| *rbt-has-next* - = *True*

fun *rbt-keys-next* :: ('*a*, '*b*, '*a*) *rbt-generator-state* $\Rightarrow$ '*a* $\times$ ('*a*, '*b*, '*a*) *rbt-generator-state*
where
rbt-keys-next ((*k*, *t*) # *kts*, *rbt.Empty*) = (*k*, *kts*, *t*)
| *rbt-keys-next* (*kts*, *rbt.Branch c l k v r*) = *rbt-keys-next* ((*k*, *r*) # *kts*, *l*)

lemma *rbt-generator-induct* [*case-names empty split shuffle*]:
assumes *P* ([], *rbt.Empty*)
and $\bigwedge$*k t kts*. *P* (*kts*, *t*) $\Longrightarrow$ *P* ((*k*, *t*) # *kts*, *rbt.Empty*)
and $\bigwedge$*kts c l k v r*. *P* ((*f k v*, *r*) # *kts*, *l*) $\Longrightarrow$ *P* (*kts*, *Branch c l k v r*)
shows *P ktst*
using *assms*
apply(*induction-schema*)
apply *pat-completeness*
apply(*relation measure* (λ(*kts*, *t*). *size-list* (λ(*k*, *t*). *size-rbt* (λ-. *1*) (λ-. *1*) *t*) *kts* + *size-rbt* (λ-. *1*) (λ-. *1*) *t*))
apply *simp-all*
done

lemma *terminates-rbt-keys-generator*:
terminates (*rbt-has-next*, *rbt-keys-next*)
proof
fix *ktst* :: ('*a* $\times$ ('*a*, '*b*) *rbt*) *list* $\times$ ('*a*, '*b*) *rbt*
show *ktst* $\in$ *terminates-on* (*rbt-has-next*, *rbt-keys-next*)
by(*induct ktst taking*: λ*k* -. *k rule*: *rbt-generator-induct*)(*auto 4 3 intro*: *termi-*

nates-on.intros elim: *terminates-on.cases*)
qed

lift-definition *rbt-keys-generator* :: ('*a*, ('*a*, '*b*, '*a*) *rbt-generator-state*) *generator*
is (*rbt-has-next*, *rbt-keys-next*)
by(*rule terminates-rbt-keys-generator*)

definition *rbt-init* :: ('*a*, '*b*) *rbt* ⇒ ('*a*, '*b*, '*c*) *rbt-generator-state*
where *rbt-init* = *Pair* []

lemma *has-next-rbt-keys-generator* [*simp*]:
list.has-next rbt-keys-generator = *rbt-has-next*
by *transfer simp*

lemma *next-rbt-keys-generator* [*simp*]:
list.next rbt-keys-generator = *rbt-keys-next*
by *transfer simp*

lemma *unfoldr-rbt-keys-generator-aux*:
list.unfoldr rbt-keys-generator (*kts*, *t*) =
RBT-Impl.keys t @ *concat* (*map* (λ(*k*, *t*). *k* # *RBT-Impl.keys t*) *kts*)
proof(*induct* (*kts*, *t*) *arbitrary*: *kts t taking*: λ*k* -. *k rule*: *rbt-generator-induct*)
case *empty* **thus** *?case*
by(*simp add*: *list.unfoldr.simps*)
next
case *split* **thus** *?case*
by(*subst list.unfoldr.simps*) *simp*
next
case *shuffle* **thus** *?case*
by(*subst list.unfoldr.simps*)(*subst* (*asm*) *list.unfoldr.simps*, *simp*)
qed

corollary *unfoldr-rbt-keys-generator*:
list.unfoldr rbt-keys-generator (*rbt-init t*) = *RBT-Impl.keys t*
by(*simp add*: *unfoldr-rbt-keys-generator-aux rbt-init-def*)

fun *rbt-entries-next* ::
('*a*, '*b*, '*a* × '*b*) *rbt-generator-state* ⇒ ('*a* × '*b*) × ('*a*, '*b*, '*a* × '*b*) *rbt-generator-state*
where
rbt-entries-next ((*kv*, *t*) # *kts*, *rbt.Empty*) = (*kv*, *kts*, *t*)
| *rbt-entries-next* (*kts*, *rbt.Branch c l k v r*) = *rbt-entries-next* (((*k*, *v*), *r*) # *kts*, *l*)

lemma *terminates-rbt-entries-generator*:
terminates (*rbt-has-next*, *rbt-entries-next*)
proof(*rule terminatesI*)
fix *ktst* :: ('*a*, '*b*, '*a* × '*b*) *rbt-generator-state*
show *ktst* ∈ *terminates-on* (*rbt-has-next*, *rbt-entries-next*)
by(*induct ktst taking*: *Pair rule*: *rbt-generator-induct*)(*auto 4 3 intro*: *termi-*

nates-on.intros elim: *terminates-on.cases*)
qed

lift-definition *rbt-entries-generator* :: ('*a* × '*b*, ('*a*, '*b*, '*a* × '*b*) *rbt-generator-state*) *generator*
is (*rbt-has-next*, *rbt-entries-next*)
by(*rule terminates-rbt-entries-generator*)

lemma *has-next-rbt-entries-generator* [*simp*]:
list.has-next rbt-entries-generator = *rbt-has-next*
by *transfer simp*

lemma *next-rbt-entries-generator* [*simp*]:
list.next rbt-entries-generator = *rbt-entries-next*
by *transfer simp*

lemma *unfoldr-rbt-entries-generator-aux*:
list.unfoldr rbt-entries-generator (*kts*, *t*) =
RBT-Impl.entries t @ *concat* (*map* (λ(*k*, *t*). *k* # *RBT-Impl.entries t*) *kts*)
proof(*induct* (*kts*, *t*) *arbitrary*: *kts t taking*: *Pair rule*: *rbt-generator-induct*)
case *empty* **thus** *?case*
by(*simp add*: *list.unfoldr.simps*)
next
case *split* **thus** *?case*
by(*subst list.unfoldr.simps*) *simp*
next
case *shuffle* **thus** *?case*
by(*subst list.unfoldr.simps*)(*subst* (*asm*) *list.unfoldr.simps*, *simp*)
qed

corollary *unfoldr-rbt-entries-generator*:
list.unfoldr rbt-entries-generator (*rbt-init t*) = *RBT-Impl.entries t*
by(*simp add*: *unfoldr-rbt-entries-generator-aux rbt-init-def*)

end

theory *RBT-Mapping2*
imports
Collection-Order
RBT-ext
Deriving.RBT-Comparator-Impl
begin

3.7 Mappings implemented by red-black trees

lemma *distinct-map-filterI*: *distinct* (*map f xs*) ⟹ *distinct* (*map f* (*filter P xs*))
by(*induct xs*) *auto*

lemma *map-of-filter-apply*:
distinct (*map fst xs*)
$\Longrightarrow$ *map-of* (*filter P xs*) *k* =
(*case map-of xs k of None* $\Rightarrow$ *None* | *Some v* $\Rightarrow$ *if P* (*k*, *v*) *then Some v else None*)
by(*induct xs*)(*auto simp add*: *map-of-eq-None-iff split*: *option.split*)

3.7.1 Type definition

typedef (**overloaded**) ('*a*, '*b*) *mapping-rbt*
= {*t* :: ('*a* :: *ccompare*, '*b*) *RBT-Impl.rbt*. *ord.is-rbt cless t* $\vee$ *ID CCOMPARE*('*a*) = *None*}
morphisms *impl-of Mapping-RBT'*
proof
show *RBT-Impl.Empty* $\in$ *?mapping-rbt* **by**(*simp add*: *ord.Empty-is-rbt*)
qed

definition *Mapping-RBT* :: ('*a* :: *ccompare*, '*b*) *rbt* $\Rightarrow$ ('*a*, '*b*) *mapping-rbt*
where
Mapping-RBT t = *Mapping-RBT'*
(*if ord.is-rbt cless t* $\vee$ *ID CCOMPARE*('*a*) = *None then t*
else RBT-Impl.fold (*ord.rbt-insert cless*) *t rbt.Empty*)

lemma *Mapping-RBT-inverse*:
fixes *y* :: ('*a* :: *ccompare*, '*b*) *rbt*
assumes *y* $\in$ {*t*. *ord.is-rbt cless t* $\vee$ *ID CCOMPARE*('*a*) = *None*}
shows *impl-of* (*Mapping-RBT y*) = *y*
using *assms* **by**(*auto simp add*: *Mapping-RBT-def Mapping-RBT'-inverse*)

lemma *impl-of-inverse*: *Mapping-RBT* (*impl-of t*) = *t*
by(*cases t*)(*auto simp add*: *Mapping-RBT'-inverse Mapping-RBT-def*)

lemma *type-definition-mapping-rbt'*:
type-definition impl-of Mapping-RBT
{*t* :: ('*a*, '*b*) *rbt*. *ord.is-rbt cless t* $\vee$ *ID CCOMPARE*('*a* :: *ccompare*) = *None*}
by *unfold-locales*(*rule mapping-rbt.impl-of impl-of-inverse Mapping-RBT-inverse*)+

lemmas *Mapping-RBT-cases*[*cases type*: *mapping-rbt*] =
type-definition.Abs-cases[*OF type-definition-mapping-rbt'*]
and *Mapping-RBT-induct*[*induct type*: *mapping-rbt*] =
type-definition.Abs-induct[*OF type-definition-mapping-rbt'*] **and**
Mapping-RBT-inject = *type-definition.Abs-inject*[*OF type-definition-mapping-rbt'*]

lemma *rbt-eq-iff*:
t1 = *t2* $\longleftrightarrow$ *impl-of t1* = *impl-of t2*
by (*simp add*: *impl-of-inject*)

lemma *rbt-eqI*:
impl-of t1 = *impl-of t2* $\Longrightarrow$ *t1* = *t2*

by (*simp add*: *rbt-eq-iff*)

lemma *Mapping-RBT-impl-of* [*simp*]:
Mapping-RBT (*impl-of t*) = *t*
by (*simp add*: *impl-of-inverse*)

3.7.2 Operations

setup-lifting *type-definition-mapping-rbt'*

context fixes *dummy* :: *'a* :: *ccompare* **begin**

lift-definition *lookup* :: (*'a*, *'b*) *mapping-rbt* ⇒ *'a* ⇀ *'b* **is** *rbt-comp-lookup ccomp*
.

lift-definition *empty* :: (*'a*, *'b*) *mapping-rbt* **is** *RBT-Impl.Empty*
by(*simp add*: *ord.Empty-is-rbt*)

lift-definition *insert* :: *'a* ⇒ *'b* ⇒ (*'a*, *'b*) *mapping-rbt* ⇒ (*'a*, *'b*) *mapping-rbt* **is**
rbt-comp-insert ccomp
by(*auto 4 3 intro*: *linorder.rbt-insert-is-rbt ID-ccompare simp*: *rbt-comp-insert*[*OF ID-ccompare'*])

lift-definition *delete* :: *'a* ⇒ (*'a*, *'b*) *mapping-rbt* ⇒ (*'a*, *'b*) *mapping-rbt* **is**
rbt-comp-delete ccomp
by(*auto 4 3 intro*: *linorder.rbt-delete-is-rbt ID-ccompare simp*: *rbt-comp-delete*[*OF ID-ccompare'*])

lift-definition *bulkload* :: (*'a* × *'b*) *list* ⇒ (*'a*, *'b*) *mapping-rbt* **is**
rbt-comp-bulkload ccomp
by(*auto 4 3 intro*: *linorder.rbt-bulkload-is-rbt ID-ccompare simp*: *rbt-comp-bulkload*[*OF ID-ccompare'*])

lift-definition *map-entry* :: *'a* ⇒ (*'b* ⇒ *'b*) ⇒ (*'a*, *'b*) *mapping-rbt* ⇒ (*'a*, *'b*) *mapping-rbt* **is**
rbt-comp-map-entry ccomp
by(*auto simp*: *ord.rbt-map-entry-is-rbt rbt-comp-map-entry*[*OF ID-ccompare'*])

lift-definition *map* :: (*'a* ⇒ *'b* ⇒ *'c*) ⇒ (*'a*, *'b*) *mapping-rbt* ⇒ (*'a*, *'c*) *mapping-rbt*
is *RBT-Impl.map*
by(*simp add*: *ord.map-is-rbt*)

lift-definition *entries* :: (*'a*, *'b*) *mapping-rbt* ⇒ (*'a* × *'b*) *list* **is** *RBT-Impl.entries*
.

lift-definition *keys* :: (*'a*, *'b*) *mapping-rbt* ⇒ *'a set* **is** *set* ∘ *RBT-Impl.keys* **.**

lift-definition *fold* :: (*'a* ⇒ *'b* ⇒ *'c* ⇒ *'c*) ⇒ (*'a*, *'b*) *mapping-rbt* ⇒ *'c* ⇒ *'c* **is**
RBT-Impl.fold **.**

lift-definition *is-empty* :: ('a, 'b) *mapping-rbt* ⇒ *bool* **is** *case-rbt True* (λ- - - - -. *False*) **.**

lift-definition *filter* :: ('a × 'b ⇒ *bool*) ⇒ ('a, 'b) *mapping-rbt* ⇒ ('a, 'b) *mapping-rbt* **is**
λ*P t. rbtreeify* (*List.filter P* (*RBT-Impl.entries t*))
by(*auto intro*!: *linorder.is-rbt-rbtreeify ID-ccompare linorder.sorted-filter linorder.rbt-sorted-entries ord.is-rbt-rbt-sorted linorder.distinct-entries distinct-map-filterI simp add*: *filter-map*[*symmetric*])

lift-definition *join* ::
('a ⇒ 'b ⇒ 'b ⇒ 'b) ⇒ ('a, 'b) *mapping-rbt* ⇒ ('a, 'b) *mapping-rbt* ⇒ ('a, 'b) *mapping-rbt*
is *rbt-comp-union-with-key ccomp*
by(*auto 4 3 intro*: *linorder.is-rbt-rbt-unionwk ID-ccompare simp*: *rbt-comp-union-with-key*[*OF ID-ccompare'*])

lift-definition *meet* ::
('a ⇒ 'b ⇒ 'b ⇒ 'b) ⇒ ('a, 'b) *mapping-rbt* ⇒ ('a, 'b) *mapping-rbt* ⇒ ('a, 'b) *mapping-rbt*
is *rbt-comp-inter-with-key ccomp*
by(*auto 4 3 intro*: *linorder.rbt-interwk-is-rbt ID-ccompare ord.is-rbt-rbt-sorted simp*: *rbt-comp-inter-with-key*[*OF ID-ccompare'*])

lift-definition *all* :: ('a ⇒ 'b ⇒ *bool*) ⇒ ('a, 'b) *mapping-rbt* ⇒ *bool*
is *RBT-Impl-rbt-all* **.**

lift-definition *ex* :: ('a ⇒ 'b ⇒ *bool*) ⇒ ('a, 'b) *mapping-rbt* ⇒ *bool*
is *RBT-Impl-rbt-ex* **.**

lift-definition *product* ::
('a ⇒ 'b ⇒ 'c ⇒ 'd ⇒ 'e) ⇒ ('a, 'b) *mapping-rbt*
⇒ ('c :: *ccompare*, 'd) *mapping-rbt* ⇒ ('a × 'c, 'e) *mapping-rbt*
is *rbt-product*
by (*fastforce intro*: *is-rbt-rbt-product ID-ccompare simp add*: *lt-of-comp-less-prod ccompare-prod-def ID-Some ID-None split*: *option.split-asm*)

lift-definition *diag* ::
('a, 'b) *mapping-rbt* ⇒ ('a × 'a, 'b) *mapping-rbt*
is *RBT-Impl-diag*
by(*auto simp add*: *lt-of-comp-less-prod ccompare-prod-def ID-Some ID-None is-rbt-RBT-Impl-diag split*: *option.split-asm*)

lift-definition *init* :: ('a, 'b) *mapping-rbt* ⇒ ('a, 'b, 'c) *rbt-generator-state*
is *rbt-init* **.**

end

3.7.3 Properties

lemma *unfoldr-rbt-entries-generator*:
list.unfoldr rbt-entries-generator (*init t*) = *entries t*
by *transfer*(*simp add*: *unfoldr-rbt-entries-generator*)

lemma *lookup-RBT*:
ord.is-rbt class t $\Longrightarrow$
lookup (*Mapping-RBT t*) = *rbt-comp-lookup ccomp t*
by(*simp add*: *lookup-def Mapping-RBT-inverse*)

lemma *lookup-impl-of*:
rbt-comp-lookup ccomp (*impl-of t*) = *lookup t*
by(*transfer*) *simp*

lemma *entries-impl-of*:
RBT-Impl.entries (*impl-of t*) = *entries t*
by *transfer simp*

lemma *keys-impl-of*:
set (*RBT-Impl.keys* (*impl-of t*)) = *keys t*
by (*simp add*: *keys-def*)

lemma *lookup-empty* [*simp*]:
lookup empty = *Map.empty*
by *transfer* (*simp add*: *fun-eq-iff ord.rbt-lookup.simps*)

lemma *fold-conv-fold*:
fold f t = *List.fold* (*case-prod f*) (*entries t*)
by *transfer*(*simp add*: *RBT-Impl.fold-def*)

lemma *is-empty-empty* [*simp*]:
is-empty t $\longleftrightarrow$ *t* = *empty*
by *transfer* (*simp split*: *rbt.split*)

context assumes *ID-ccompare-neq-None*: *ID CCOMPARE*('*a* :: *ccompare*) $\neq$ *None*
begin

lemma *mapping-linorder*: *class.linorder* (*cless-eq* :: '*a* $\Rightarrow$ '*a* $\Rightarrow$ *bool*) *cless*
using *ID-ccompare-neq-None* **by**(*clarsimp*)(*rule ID-ccompare*)

lemma *mapping-comparator*: *comparator* (*ccomp* :: '*a comparator*)
using *ID-ccompare-neq-None* **by**(*clarsimp*)(*rule ID-ccompare'*)

lemmas *rbt-comp*[*simp*] = *rbt-comp-simps*[*OF mapping-comparator*]

lemma *is-rbt-impl-of* [*simp*, *intro*]:
fixes *t* :: ('*a*, '*b*) *mapping-rbt*
shows *ord.is-rbt cless* (*impl-of t*)
using *ID-ccompare-neq-None impl-of* [*of t*] **by** *auto*

lemma *lookup-insert* [*simp*]:
lookup (*insert* (k :: $'a$) v t) = (*lookup* t)($k \mapsto v$)
by *transfer* (*simp add*: *ID-ccompare-neq-None*
linorder.rbt-lookup-rbt-insert[*OF mapping-linorder*])

lemma *lookup-delete* [*simp*]:
lookup (*delete* (k :: $'a$) t) = (*lookup* t)(k := *None*)
by *transfer*(*simp add*: *ID-ccompare-neq-None linorder.rbt-lookup-rbt-delete*[*OF mapping-linorder*] *restrict-complement-singleton-eq*)

lemma *map-of-entries* [*simp*]:
map-of (*entries* (t :: ($'a$, $'b$) *mapping-rbt*)) = *lookup* t
by *transfer*(*simp add*: *ID-ccompare-neq-None linorder.map-of-entries*[*OF mapping-linorder*] *ord.is-rbt-rbt-sorted*)

lemma *entries-lookup*:
entries (*t1* :: ($'a$, $'b$) *mapping-rbt*) = *entries t2* $\longleftrightarrow$ *lookup t1* = *lookup t2*
by *transfer*(*simp add*: *ID-ccompare-neq-None linorder.entries-rbt-lookup*[*OF mapping-linorder*] *ord.is-rbt-rbt-sorted*)

lemma *lookup-bulkload* [*simp*]:
lookup (*bulkload xs*) = *map-of* (*xs* :: ($'a \times 'b$) *list*)
by *transfer*(*simp add*: *linorder.rbt-lookup-rbt-bulkload*[*OF mapping-linorder*])

lemma *lookup-map-entry* [*simp*]:
lookup (*map-entry* (k :: $'a$) f t) = (*lookup* t)(k := *map-option* f (*lookup* t k))
by *transfer*(*simp add*: *ID-ccompare-neq-None linorder.rbt-lookup-rbt-map-entry*[*OF mapping-linorder*])

lemma *lookup-map* [*simp*]:
lookup (*map* f t) (k :: $'a$) = *map-option* (f k) (*lookup* t k)
by *transfer*(*simp add*: *linorder.rbt-lookup-map*[*OF mapping-linorder*])

lemma *RBT-lookup-empty* [*simp*]:
ord.rbt-lookup cless (t :: ($'a$, $'b$) *RBT-Impl.rbt*) = *Map.empty* $\longleftrightarrow$ t = *RBT-Impl.Empty*
proof −
interpret *linorder cless-eq* :: $'a \Rightarrow 'a \Rightarrow$ *bool cless* **by**(*rule mapping-linorder*)
show *?thesis* **by**(*cases t*)(*auto simp add*: *fun-eq-iff*)
qed

lemma *lookup-empty-empty* [*simp*]:
lookup t = *Map.empty* $\longleftrightarrow$ (t :: ($'a$, $'b$) *mapping-rbt*) = *empty*
by *transfer simp*

lemma *finite-dom-lookup* [*simp*]: *finite* (*dom* (*lookup* (t :: ($'a$, $'b$) *mapping-rbt*)))
by *transfer*(*auto simp add*: *linorder.finite-dom-rbt-lookup*[*OF mapping-linorder*])

lemma *card-com-lookup* [*unfolded length-map*, *simp*]:

card (*dom* (*lookup* (*t* :: ('*a*, '*b*) *mapping-rbt*))) = *length* (*List.map fst* (*entries t*))
by *transfer*(*auto simp add*: *linorder.rbt-lookup-keys*[*OF mapping-linorder*] *linorder.distinct-entries*[*OF mapping-linorder*] *RBT-Impl.keys-def ord.is-rbt-rbt-sorted ID-ccompare-neq-None List.card-set simp del*: *set-map length-map*)

lemma *lookup-join*:
lookup (*join f* (*t1* :: ('*a*, '*b*) *mapping-rbt*) *t2*) =
(λ*k*. *case lookup t1 k of None* ⇒ *lookup t2 k* | *Some v1* ⇒ *Some* (*case lookup t2 k of None* ⇒ *v1* | *Some v2* ⇒ *f k v1 v2*))
by *transfer*(*auto simp add*: *fun-eq-iff linorder.rbt-lookup-rbt-unionwk*[*OF mapping-linorder*] *ord.is-rbt-rbt-sorted ID-ccompare-neq-None split*: *option.splits*)

lemma *lookup-meet*:
lookup (*meet f* (*t1* :: ('*a*, '*b*) *mapping-rbt*) *t2*) =
(λ*k*. *case lookup t1 k of None* ⇒ *None* | *Some v1* ⇒ *case lookup t2 k of None* ⇒ *None* | *Some v2* ⇒ *Some* (*f k v1 v2*))
by *transfer*(*auto simp add*: *fun-eq-iff linorder.rbt-lookup-rbt-interwk*[*OF mapping-linorder*] *ord.is-rbt-rbt-sorted ID-ccompare-neq-None split*: *option.splits*)

lemma *lookup-filter* [*simp*]:
lookup (*filter P* (*t* :: ('*a*, '*b*) *mapping-rbt*)) *k* =
(*case lookup t k of None* ⇒ *None* | *Some v* ⇒ *if P* (*k*, *v*) *then Some v else None*)
by *transfer*(*simp split*: *option.split add*: *ID-ccompare-neq-None linorder.rbt-lookup-rbtreeify*[*OF mapping-linorder*] *linorder.sorted-filter*[*OF mapping-linorder*] *ord.is-rbt-rbt-sorted linorder.rbt-sorted-entries*[*OF mapping-linorder*] *distinct-map-filterI linorder.distinct-entries*[*OF mapping-linorder*] *map-of-filter-apply linorder.map-of-entries*[*OF mapping-linorder*])

lemma *all-conv-all-lookup*:
all P t ⟷ (∀ (*k* :: '*a*) *v*. *lookup t k* = *Some v* ⟶ *P k v*)
by *transfer*(*auto simp add*: *ID-ccompare-neq-None linorder.rbt-lookup-keys*[*OF mapping-linorder*] *ord.is-rbt-rbt-sorted RBT-Impl.keys-def RBT-Impl-rbt-all-def linorder.map-of-entries*[*OF mapping-linorder*, *symmetric*] *linorder.distinct-entries*[*OF mapping-linorder*] *dest*: *map-of-SomeD intro*: *map-of-is-SomeI*)

lemma *ex-conv-ex-lookup*:
ex P t ⟷ (∃ (*k* :: '*a*) *v*. *lookup t k* = *Some v* ∧ *P k v*)
by *transfer*(*auto simp add*: *ID-ccompare-neq-None linorder.rbt-lookup-keys*[*OF mapping-linorder*] *ord.is-rbt-rbt-sorted RBT-Impl.keys-def RBT-Impl-rbt-ex-def linorder.map-of-entries*[*OF mapping-linorder*, *symmetric*] *linorder.distinct-entries*[*OF mapping-linorder*] *intro*: *map-of-is-SomeI*)

lemma *diag-lookup*:
lookup (*diag t*) = (λ(*k* :: '*a*, *k*'). *if k* = *k*' *then lookup t k else None*)
using *linorder.rbt-lookup-RBT-Impl-diag*[**where** *?'b*='*b*, *OF mapping-linorder*]
apply *transfer*
apply (*clarsimp simp add*: *ID-ccompare-neq-None ccompare-prod-def lt-of-comp-less-prod*[*symmetric*]

rbt-comp-lookup[*OF comparator-prod*[*OF mapping-comparator mapping-comparator*], *symmetric*]

ID-Some split: *option.split*)
apply (*unfold rbt-comp-lookup*[*OF mapping-comparator*], *simp*)
done

context assumes *ID-ccompare-neq-None'*: *ID CCOMPARE*('*b* :: *ccompare*) ≠ *None*
begin

lemma *mapping-linorder'*: *class.linorder* (*cless-eq* :: '*b* ⇒ '*b* ⇒ *bool*) *cless*
using *ID-ccompare-neq-None'* **by**(*clarsimp*)(*rule ID-ccompare*)

lemma *mapping-comparator'*: *comparator* (*ccomp* :: '*b comparator*)
using *ID-ccompare-neq-None'* **by**(*clarsimp*)(*rule ID-ccompare'*)

lemmas *rbt-comp'*[*simp*] = *rbt-comp-simps*[*OF mapping-comparator'*]

lemma *ccomp-comparator-prod*:
ccomp = (*comparator-prod ccomp ccomp* :: ('*a* × '*b*)*comparator*)
by(*simp add*: *ccompare-prod-def lt-of-comp-less-prod ID-ccompare-neq-None ID-ccompare-neq-None' ID-Some split*: *option.splits*)

lemma *lookup-product*:
lookup (*product f rbt1 rbt2*) (*a* :: '*a*, *b* :: '*b*) =
(*case lookup rbt1 a of None* ⇒ *None*
| *Some c* ⇒ *map-option* (*f a c b*) (*lookup rbt2 b*))
using *mapping-linorder mapping-linorder'*
apply *transfer*
apply (*unfold ccomp-comparator-prod rbt-comp-lookup*[*OF comparator-prod*[*OF mapping-comparator mapping-comparator'*]]
rbt-comp rbt-comp' lt-of-comp-less-prod)
apply (*simp add*: *ID-ccompare-neq-None ID-ccompare-neq-None' rbt-lookup-rbt-product*)
done
end

end

hide-const (**open**) *impl-of lookup empty insert delete*
entries keys bulkload map-entry map fold join meet filter all ex product diag init

end

theory *AssocList* **imports**
HOL−Library.DAList
begin

3.8 Additional operations for associative lists

3.8.1 Operations on the raw type

primrec *update-with-aux* :: *'val* ⇒ *'key* ⇒ (*'val* ⇒ *'val*) ⇒ (*'key* × *'val*) *list* ⇒ (*'key* × *'val*) *list*
where
  update-with-aux v k f [] = [(*k*, *f v*)]
| *update-with-aux v k f* (*p* # *ps*) = (*if* (*fst p* = *k*) *then* (*k*, *f* (*snd p*)) # *ps else p* # *update-with-aux v k f ps*)

Do not use *AList.delete* because this traverses all the list even if it has found the key. We do not have to keep going because we use the invariant that keys are distinct.

fun *delete-aux* :: *'key* ⇒ (*'key* × *'val*) *list* ⇒ (*'key* × *'val*) *list*
where
  delete-aux k [] = []
| *delete-aux k* ((*k'*, *v*) # *xs*) = (*if k* = *k' then xs else* (*k'*, *v*) # *delete-aux k xs*)

definition *zip-with-index-from* :: *nat* ⇒ *'a list* ⇒ (*nat* × *'a*) *list* **where**
  zip-with-index-from n xs = *zip* [*n*..<*n* + *length xs*] *xs*

abbreviation *zip-with-index* :: *'a list* ⇒ (*nat* × *'a*) *list* **where**
  zip-with-index ≡ *zip-with-index-from 0*

lemma *update-conv-update-with-aux*:
  AList.update k v xs = *update-with-aux v k* (λ-. *v*) *xs*
by(*induct xs*) *simp-all*

lemma *map-of-update-with-aux'*:
  map-of (*update-with-aux v k f ps*) *k'* = ((*map-of ps*)(*k* ↦ (*case map-of ps k of None* ⇒ *f v* | *Some v* ⇒ *f v*))) *k'*
by(*induct ps*) *auto*

lemma *map-of-update-with-aux*:
  map-of (*update-with-aux v k f ps*) = (*map-of ps*)(*k* ↦ (*case map-of ps k of None* ⇒ *f v* | *Some v* ⇒ *f v*))
by(*simp add*: *fun-eq-iff map-of-update-with-aux'*)

lemma *dom-update-with-aux*: *fst* ‘ *set* (*update-with-aux v k f ps*) = {*k*} ∪ *fst* ‘ *set ps*
  by (*induct ps*) *auto*

lemma *distinct-update-with-aux* [*simp*]:
  distinct (*map fst* (*update-with-aux v k f ps*)) = *distinct* (*map fst ps*)
by(*induct ps*)(*auto simp add*: *dom-update-with-aux*)

lemma *set-update-with-aux*:
  distinct (*map fst xs*)

$\Longrightarrow$ *set* (*update-with-aux v k f xs*) = (*set xs* − {*k*} × *UNIV* ∪ {(*k*, *f* (*case map-of xs k of None* ⇒ *v* | *Some v* ⇒ *v*))})
by(*induct xs*)(*auto intro*: *rev-image-eqI*)

lemma *set-delete-aux*: *distinct* (*map fst xs*) $\Longrightarrow$ *set* (*delete-aux k xs*) = *set xs* − {*k*} × *UNIV*
apply(*induct xs*)
apply *simp-all*
apply *clarsimp*
apply(*fastforce intro*: *rev-image-eqI*)
done

lemma *dom-delete-aux*: *distinct* (*map fst ps*) $\Longrightarrow$ *fst* ‘ *set* (*delete-aux k ps*) = *fst* ‘ *set ps* − {*k*}
by(*auto simp add*: *set-delete-aux*)

lemma *distinct-delete-aux* [*simp*]:
distinct (*map fst ps*) $\Longrightarrow$ *distinct* (*map fst* (*delete-aux k ps*))
proof(*induct ps*)
case *Nil* **thus** *?case* **by** *simp*
next
case (*Cons a ps*)
obtain *k′ v* **where** *a*: *a* = (*k′*, *v*) **by**(*cases a*)
show *?case*
proof(*cases k′* = *k*)
case *True* **with** *Cons a* **show** *?thesis* **by** *simp*
next
case *False*
with *Cons a* **have** *k′* ∉ *fst* ‘ *set ps* *distinct* (*map fst ps*) **by** *simp-all*
with *False a* **have** *k′* ∉ *fst* ‘ *set* (*delete-aux k ps*)
by(*auto dest*!: *dom-delete-aux*[**where** *k*=*k*])
with *Cons a* **show** *?thesis* **by** *simp*
qed
qed

lemma *map-of-delete-aux′*:
distinct (*map fst xs*) $\Longrightarrow$ *map-of* (*delete-aux k xs*) = (*map-of xs*)(*k* := *None*)
by(*induct xs*)(*fastforce simp add*: *map-of-eq-None-iff fun-upd-twist*)+

lemma *map-of-delete-aux*:
distinct (*map fst xs*) $\Longrightarrow$ *map-of* (*delete-aux k xs*) *k′* = ((*map-of xs*)(*k* := *None*)) *k′*
by(*simp add*: *map-of-delete-aux′*)

lemma *delete-aux-eq-Nil-conv*: *delete-aux k ts* = [] ⟷ *ts* = [] ∨ (∃ *v*. *ts* = [(*k*, *v*)])
by(*cases ts*)(*auto split*: *if-split-asm*)

lemma *zip-with-index-from-simps* [*simp*, *code*]:

zip-with-index-from n [] = []
zip-with-index-from n (*x* # *xs*) = (*n*, *x*) # *zip-with-index-from* (*Suc n*) *xs*
by(*simp-all add*: *zip-with-index-from-def upt-rec del*: *upt.upt-Suc*)

lemma *zip-with-index-from-append* [*simp*]:
zip-with-index-from n (*xs* @ *ys*) = *zip-with-index-from n xs* @ *zip-with-index-from* (*n* + *length xs*) *ys*
by(*simp add*: *zip-with-index-from-def zip-append*[*symmetric*] *upt-add-eq-append*[*symmetric*] *del*: *zip-append*)
(*simp add*: *add.assoc*)

lemma *zip-with-index-from-conv-nth*:
zip-with-index-from n xs = *map* (λ*i*. (*n* + *i*, *xs* ! *i*)) [*0*..<*length xs*]
by(*induction xs rule*: *rev-induct*)(*auto simp add*: *nth-append*)

lemma *map-of-zip-with-index-from* [*simp*]:
map-of (*zip-with-index-from n xs*) *i* = (*if i* ≥ *n* ∧ *i* < *n* + *length xs then Some* (*xs* ! (*i* − *n*)) *else None*)
by(*auto simp add*: *zip-with-index-from-def set-zip intro*: *exI*[**where** *x*=*i* − *n*])

lemma *map-of-map′*: *map-of* (*map* (λ(*k*, *v*). (*k*, *f k v*)) *xs*) *x* = *map-option* (*f x*) (*map-of xs x*)
by (*induct xs*)(*auto*)

3.8.2 Operations on the abstract type (′*a*, ′*b*) *alist*

lift-definition *update-with* :: ′*v* ⇒ ′*k* ⇒ (′*v* ⇒ ′*v*) ⇒ (′*k*, ′*v*) *alist* ⇒ (′*k*, ′*v*) *alist*
is *update-with-aux* **by** *simp*

lift-definition *delete* :: ′*k* ⇒ (′*k*, ′*v*) *alist* ⇒ (′*k*, ′*v*) *alist* **is** *delete-aux*
by *simp*

lift-definition *keys* :: (′*k*, ′*v*) *alist* ⇒ ′*k set* **is** *set* ∘ *map fst* **.**

lift-definition *set* :: (′*key*, ′*val*) *alist* ⇒ (′*key* × ′*val*) *set*
is *List.set* **.**

lift-definition *map-values* :: (′*key* ⇒ ′*val* ⇒ ′*val*′) ⇒ (′*key*, ′*val*) *alist* ⇒ (′*key*, ′*val*′) *alist* **is**
λ*f*. *map* (λ(*x*,*y*). (*x*, *f x y*))
by(*simp add*: *o-def split-def*)

lemma *lookup-update-with* [*simp*]:
DAList.lookup (*update-with v k f al*) = (*DAList.lookup al*)(*k* ↦ *case DAList.lookup al k of None* ⇒ *f v* | *Some v* ⇒ *f v*)
by *transfer*(*simp add*: *map-of-update-with-aux*)

lemma *lookup-delete* [*simp*]: *DAList.lookup* (*delete k al*) = (*DAList.lookup al*)(*k* := *None*)

by *transfer*(*simp add*: *map-of-delete-aux′*)

lemma *finite-dom-lookup* [*simp*, *intro*!]: *finite* (*dom* (*DAList.lookup m*))
by *transfer*(*simp add*: *finite-dom-map-of*)

lemma *update-conv-update-with*: *DAList.update k v* = *update-with v k* (λ-. *v*)
by(*rule ext*)(*transfer*, *simp add*: *update-conv-update-with-aux*)

lemma *lookup-update* [*simp*]: *DAList.lookup* (*DAList.update k v al*) = (*DAList.lookup al*)(*k* ↦ *v*)
by(*simp add*: *update-conv-update-with split*: *option.split*)

lemma *dom-lookup-keys*: *dom* (*DAList.lookup al*) = *keys al*
by *transfer*(*simp add*: *dom-map-of-conv-image-fst*)

lemma *keys-empty* [*simp*]: *keys DAList.empty* = {}
by *transfer simp*

lemma *keys-update-with* [*simp*]: *keys* (*update-with v k f al*) = *insert k* (*keys al*)
by(*simp add*: *dom-lookup-keys*[*symmetric*])

lemma *keys-update* [*simp*]: *keys* (*DAList.update k v al*) = *insert k* (*keys al*)
by(*simp add*: *update-conv-update-with*)

lemma *keys-delete* [*simp*]: *keys* (*delete k al*) = *keys al* − {*k*}
by(*simp add*: *dom-lookup-keys*[*symmetric*])

lemma *set-empty* [*simp*]: *set DAList.empty* = {}
by *transfer simp*

lemma *set-update-with*:
set (*update-with v k f al*) =
(*set al* − {*k*} × *UNIV* ∪ {(*k*, *f* (*case DAList.lookup al k of None* ⇒ *v* | *Some v* ⇒ *v*))})
by *transfer*(*simp add*: *set-update-with-aux*)

lemma *set-update*: *set* (*DAList.update k v al*) = (*set al* − {*k*} × *UNIV* ∪ {(*k*, *v*)})
by(*simp add*: *update-conv-update-with set-update-with*)

lemma *set-delete*: *set* (*delete k al*) = *set al* − {*k*} × *UNIV*
by *transfer*(*simp add*: *set-delete-aux*)

lemma *size-dalist-transfer* [*transfer-rule*]:
includes *lifting-syntax*
shows (*pcr-alist* (=) (=) ===> (=)) *length size*
unfolding *size-alist-def*[*abs-def*]
by *transfer-prover*

lemma *size-eq-card-dom-lookup*: *size al* = *card* (*dom* (*DAList.lookup al*))
by *transfer* (*metis comp-apply distinct-card dom-map-of-conv-image-fst image-set length-map*)

hide-const (**open**) *update-with keys set delete*

end

theory *DList-Set* **imports**
Collection-Eq
Equal
begin

3.9 Sets implemented by distinct lists

3.9.1 Operations on the raw type with parametrised equality

context *equal-base* **begin**

primrec *list-member* :: *'a list* ⇒ *'a* ⇒ *bool*
where
list-member [] *y* ⟷ *False*
| *list-member* (*x* # *xs*) *y* ⟷ *equal x y* ∨ *list-member xs y*

primrec *list-distinct* :: *'a list* ⇒ *bool*
where
list-distinct [] ⟷ *True*
| *list-distinct* (*x* # *xs*) ⟷ ¬ *list-member xs x* ∧ *list-distinct xs*

definition *list-insert* :: *'a* ⇒ *'a list* ⇒ *'a list* **where**
list-insert x xs = (*if list-member xs x then xs else x* # *xs*)

primrec *list-remove1* :: *'a* ⇒ *'a list* ⇒ *'a list* **where**
list-remove1 x [] = []
| *list-remove1 x* (*y* # *xs*) = (*if equal x y then xs else y* # *list-remove1 x xs*)

primrec *list-remdups* :: *'a list* ⇒ *'a list* **where**
list-remdups [] = []
| *list-remdups* (*x* # *xs*) = (*if list-member xs x then list-remdups xs else x* # *list-remdups xs*)

lemma *list-member-filterD*: *list-member* (*filter P xs*) *x* ⟹ *list-member xs x*
by(*induct xs*)(*auto split*: *if-split-asm*)

lemma *list-distinct-filter* [*simp*]: *list-distinct xs* ⟹ *list-distinct* (*filter P xs*)
by(*induct xs*)(*auto dest*: *list-member-filterD*)

lemma *list-distinct-tl* [*simp*]: *list-distinct xs* ⟹ *list-distinct* (*tl xs*)

by(*cases xs*) *simp-all*

end

lemmas [*code*] =
equal-base.list-member.simps
equal-base.list-distinct.simps
equal-base.list-insert-def
equal-base.list-remove1.simps
equal-base.list-remdups.simps

lemmas [*simp*] =
equal-base.list-member.simps
equal-base.list-distinct.simps
equal-base.list-remove1.simps
equal-base.list-remdups.simps

lemma *list-member-conv-member* [*simp*]:
equal-base.list-member (=) = *List.member*
proof(*intro ext*)
fix *xs* **and** *x* :: *'a*
show *equal-base.list-member* (=) *xs x* = *List.member xs x*
by(*induct xs*)(*auto simp add*: *List.member-def*)
qed

lemma *list-distinct-conv-distinct* [*simp*]:
equal-base.list-distinct (=) = *List.distinct*
proof
fix *xs* :: *'a list*
show *equal-base.list-distinct* (=) *xs* = *distinct xs*
by(*induct xs*)(*auto simp add*: *List.member-def*)
qed

lemma *list-insert-conv-insert* [*simp*]:
equal-base.list-insert (=) = *List.insert*
unfolding *equal-base.list-insert-def*[*abs-def*] *List.insert-def*[*abs-def*]
by(*simp add*: *List.member-def*)

lemma *list-remove1-conv-remove1* [*simp*]:
equal-base.list-remove1 (=) = *List.remove1*
unfolding *equal-base.list-remove1-def List.remove1-def* **..**

lemma *list-remdups-conv-remdups* [*simp*]:
equal-base.list-remdups (=) = *List.remdups*
unfolding *equal-base.list-remdups-def List.remdups-def list-member-conv-member List.member-def* **..**

context *equal* **begin**

lemma *member-insert* [*simp*]: *list-member* (*list-insert x xs*) *y* $\longleftrightarrow$ *equal x y* $\vee$ *list-member xs y*
by(*auto simp add*: *equal-eq List.member-def*)

lemma *member-remove1* [*simp*]:
$\neg$ *equal x y* $\Longrightarrow$ *list-member* (*list-remove1 x xs*) *y* = *list-member xs y*
by(*simp add*: *equal-eq List.member-def*)

lemma *distinct-remove1*:
list-distinct xs $\Longrightarrow$ *list-distinct* (*list-remove1 x xs*)
by(*simp add*: *equal-eq*)

lemma *distinct-member-remove1* [*simp*]:
list-distinct xs $\Longrightarrow$ *list-member* (*list-remove1 x xs*) = (*list-member xs*)(*x* := *False*)
by(*auto simp add*: *equal-eq List.member-def*[*abs-def*] *fun-eq-iff*)

end

lemma *ID-ceq*:
ID CEQ('*a* :: *ceq*) = *Some eq* $\Longrightarrow$ *equal eq*
by(*unfold-locales*)(*clarsimp simp add*: *ID-ceq*)

3.9.2 The type of distinct lists

typedef (**overloaded**) '*a* :: *ceq set-dlist* =
{*xs*::'*a list. equal-base.list-distinct ceq' xs* $\vee$ *ID CEQ*('*a*) = *None*}
morphisms *list-of-dlist Abs-dlist'*
proof
show [] $\in$ *?set-dlist* **by**(*simp*)
qed

definition *Abs-dlist* :: '*a* :: *ceq list* $\Rightarrow$ '*a set-dlist*
where
Abs-dlist xs = *Abs-dlist'*
(*if equal-base.list-distinct ceq' xs* $\vee$ *ID CEQ*('*a*) = *None then xs*
else equal-base.list-remdups ceq' xs)

lemma *Abs-dlist-inverse*:
fixes *y* :: '*a* :: *ceq list*
assumes *y* $\in$ {*xs. equal-base.list-distinct ceq' xs* $\vee$ *ID CEQ*('*a*) = *None*}
shows *list-of-dlist* (*Abs-dlist y*) = *y*
using *assms* **by**(*auto simp add*: *Abs-dlist-def Abs-dlist'-inverse*)

lemma *list-of-dlist-inverse*: *Abs-dlist* (*list-of-dlist dxs*) = *dxs*
by(*cases dxs*)(*simp add*: *Abs-dlist'-inverse Abs-dlist-def*)

lemma *type-definition-set-dlist'*:
type-definition list-of-dlist Abs-dlist

$\{xs :: 'a :: ceq\ list.\ equal\text{-}base.list\text{-}distinct\ ceq'\ xs \vee ID\ CEQ('a) = None\}$
by(*unfold-locales*)(*rule set-dlist.list-of-dlist Abs-dlist-inverse list-of-dlist-inverse*)+

lemmas *Abs-dlist-cases*[*cases type*: *set-dlist*] =
type-definition.Abs-cases[*OF type-definition-set-dlist'*]
and *Abs-dlist-induct*[*induct type*: *set-dlist*] =
type-definition.Abs-induct[*OF type-definition-set-dlist'*] **and**
Abs-dlist-inject = *type-definition.Abs-inject*[*OF type-definition-set-dlist'*]

setup-lifting *type-definition-set-dlist'*

3.9.3 Operations

lift-definition *empty* :: $'a$ :: *ceq set-dlist* **is** []
by *simp*

lift-definition *insert* :: $'a$ :: *ceq* $\Rightarrow$ $'a$ *set-dlist* $\Rightarrow$ $'a$ *set-dlist* **is**
equal-base.list-insert ceq'
by(*simp add*: *equal-base.list-insert-def*)

lift-definition *remove* :: $'a$ :: *ceq* $\Rightarrow$ $'a$ *set-dlist* $\Rightarrow$ $'a$ *set-dlist* **is**
equal-base.list-remove1 ceq'
by(*auto simp*: *equal.distinct-remove1 ID-ceq*)

lift-definition *filter* :: ($'a$ :: *ceq* $\Rightarrow$ *bool*) $\Rightarrow$ $'a$ *set-dlist* $\Rightarrow$ $'a$ *set-dlist* **is** *List.filter*
by(*auto simp add*: *equal-base.list-distinct-filter*)

Derived operations:

lift-definition *null* :: $'a$ :: *ceq set-dlist* $\Rightarrow$ *bool* **is** *List.null* **.**

lift-definition *member* :: $'a$ :: *ceq set-dlist* $\Rightarrow$ $'a$ $\Rightarrow$ *bool* **is** *equal-base.list-member ceq'* **.**

lift-definition *length* :: $'a$ :: *ceq set-dlist* $\Rightarrow$ *nat* **is** *List.length* **.**

lift-definition *fold* :: ($'a$ :: *ceq* $\Rightarrow$ $'b$ $\Rightarrow$ $'b$) $\Rightarrow$ $'a$ *set-dlist* $\Rightarrow$ $'b$ $\Rightarrow$ $'b$ **is** *List.fold* **.**

lift-definition *foldr* :: ($'a$ :: *ceq* $\Rightarrow$ $'b$ $\Rightarrow$ $'b$) $\Rightarrow$ $'a$ *set-dlist* $\Rightarrow$ $'b$ $\Rightarrow$ $'b$ **is** *List.foldr* **.**

lift-definition *hd* :: $'a$ :: *ceq set-dlist* $\Rightarrow$ $'a$ **is** *List.hd* **.**

lift-definition *tl* :: $'a$ :: *ceq set-dlist* $\Rightarrow$ $'a$ *set-dlist* **is** *List.tl*
by(*auto simp add*: *equal-base.list-distinct-tl*)

lift-definition *dlist-all* :: ($'a$ $\Rightarrow$ *bool*) $\Rightarrow$ $'a$ :: *ceq set-dlist* $\Rightarrow$ *bool* **is** *list-all* **.**

lift-definition *dlist-ex* :: ($'a$ $\Rightarrow$ *bool*) $\Rightarrow$ $'a$ :: *ceq set-dlist* $\Rightarrow$ *bool* **is** *list-ex* **.**

definition *union* :: *'a* :: *ceq set-dlist* ⇒ *'a set-dlist* ⇒ *'a set-dlist* **where**
union = *fold insert*

lift-definition *product* :: *'a* :: *ceq set-dlist* ⇒ *'b* :: *ceq set-dlist* ⇒ (*'a* × *'b*) *set-dlist*
is λ*xs ys*. *rev* (*concat* (*map* (λ*x*. *map* (*Pair x*) *ys*) *xs*))
proof −
fix *xs* :: *'a list* **and** *ys* :: *'b list*
assume ∗: *equal-base.list-distinct ceq' xs* ∨ *ID CEQ*('*a*) = *None*
equal-base.list-distinct ceq' ys ∨ *ID CEQ*('*b*) = *None*
let *?product* = *concat* (*map* (λ*x*. *map* (*Pair x*) *ys*) *xs*)
{ assume *neq*: *ID CEQ*('*a*) ≠ *None* *ID CEQ*('*b*) ≠ *None*
hence *ceq'*: *ceq'* = ((=) :: *'a* ⇒ *'a* ⇒ *bool*) *ceq'* = ((=) :: *'b* ⇒ *'b* ⇒ *bool*)
by(*auto intro*: *equal.equal-eq*[*OF ID-ceq*])
with ∗ *neq* **have** *dist*: *distinct xs* *distinct ys* **by** *simp-all*
hence *distinct ?product*
by(*cases ys* = [])(*auto simp add*: *distinct-map map-replicate-const intro*!: *inj-onI distinct-concat*)
hence *distinct* (*rev ?product*) **by** *simp*
moreover have *ceq'* = ((=) :: (*'a* × *'b*) ⇒ (*'a* × *'b*) ⇒ *bool*)
using *neq ceq'* **by** (*auto simp add*: *ceq-prod-def ID-Some fun-eq-iff list-all-eq-def*)
ultimately have *equal-base.list-distinct ceq'* (*rev ?product*) **by** *simp* **}**
with ∗
show *equal-base.list-distinct ceq'* (*rev ?product*) ∨ *ID CEQ*('*a* × *'b*) = *None*
by(*fastforce simp add*: *ceq-prod-def ID-def split*: *option.split-asm*)
qed

lift-definition *Id-on* :: *'a* :: *ceq set-dlist* ⇒ (*'a* × *'a*) *set-dlist*
is *map* (λ*x*. (*x*, *x*))
proof −
fix *xs* :: *'a list*
assume *ceq*: *equal-base.list-distinct ceq' xs* ∨ *ID CEQ*('*a*) = *None*
{
assume *ceq*: *ID CEQ*('*a* × *'a*) ≠ *None*
and *xs*: *equal-base.list-distinct ceq' xs*
from *ceq* **have** *ID CEQ*('*a*) ≠ *None*
and *ceq'* = ((=) :: *'a* ⇒ *'a* ⇒ *bool*)
and *ceq'* = ((=) :: (*'a* × *'a*) ⇒ (*'a* × *'a*) ⇒ *bool*)
by(*auto simp add*: *equal.equal-eq*[*OF ID-ceq*] *ceq-prod-def ID-None ID-Some split*: *option.split-asm*)
hence *?thesis xs* **using** *xs* **by**(*auto simp add*: *distinct-map intro*: *inj-onI*) **}**
thus *?thesis xs* **using** *ceq* **by**(*auto dest*: *equal.equal-eq*[*OF ID-ceq*] *simp add*: *ceq-prod-def ID-None*)
qed

3.9.4 Properties

lemma *member-empty* [*simp*]: *member empty* = (λ-. *False*)
by *transfer* (*simp add*: *fun-eq-iff*)

lemma *null-iff* [*simp*]: *null xs* $\longleftrightarrow$ *xs* = *empty*
by *transfer*(*simp add*: *List.null-def*)

lemma *list-of-dlist-empty* [*simp*]: *list-of-dlist DList-Set.empty* = []
by(*rule empty.rep-eq*)

lemma *list-of-dlist-insert* [*simp*]: ¬ *member dxs x* $\Longrightarrow$ *list-of-dlist* (*insert x dxs*) = *x* # *list-of-dlist dxs*
by(*cases dxs*)(*auto simp add*: *DList-Set.insert-def DList-Set.member-def Abs-dlist-inverse Abs-dlist-inject equal-base.list-insert-def List.member-def intro*: *Abs-dlist-inverse*)

lemma *list-of-dlist-eq-Nil-iff* [*simp*]: *list-of-dlist dxs* = [] $\longleftrightarrow$ *dxs* = *empty*
by(*cases dxs*)(*auto simp add*: *Abs-dlist-inverse Abs-dlist-inject DList-Set.empty-def*)

lemma *fold-empty* [*simp*]: *DList-Set.fold f empty b* = *b*
by(*transfer*) *simp*

lemma *fold-insert* [*simp*]: ¬ *member dxs x* $\Longrightarrow$ *DList-Set.fold f* (*insert x dxs*) *b* = *DList-Set.fold f dxs* (*f x b*)
by(*transfer*)(*simp add*: *equal-base.list-insert-def*)

lemma *no-memb-fold-insert*:
¬ *member dxs x* $\Longrightarrow$ *fold f* (*insert x dxs*) *b* = *fold f dxs* (*f x b*)
by(*transfer*)(*simp add*: *equal-base.list-insert-def*)

lemma *set-fold-insert*: *set* (*List.fold List.insert xs1 xs2*) = *set xs1* ∪ *set xs2*
by(*induct xs1 arbitrary*: *xs2*) *simp-all*

lemma *list-of-dlist-eq-singleton-conv*:
list-of-dlist dxs = [*x*] $\longleftrightarrow$ *dxs* = *DList-Set.insert x DList-Set.empty*
by *transfer*(*case-tac dxs*, *auto simp add*: *equal-base.list-insert-def*)

lemma *product-code* [*code abstract*]:
list-of-dlist (*product dxs1 dxs2*) = *fold* (λ*a*. *fold* (λ*c rest*. (*a*, *c*) # *rest*) *dxs2*) *dxs1* []
proof −
{ fix *xs ys* **and** *zs* :: (′*a* × ′*b*) *list*
have *rev* (*concat* (*map* (λ*x*. *map* (*Pair x*) *ys*) *xs*)) @ *zs* =
List.fold (λ*a*. *List.fold* (λ*c rest*. (*a*, *c*) # *rest*) *ys*) *xs zs*
proof(*induction xs arbitrary*: *zs*)
case *Nil* **thus** *?case* **by** *simp*
next
case (*Cons x xs*)
have *List.fold* (λ*c rest*. (*x*, *c*) # *rest*) *ys zs* = *rev* (*map* (*Pair x*) *ys*) @ *zs*
by(*induct ys arbitrary*: *zs*) *simp-all*
with *Cons.IH*[*of rev* (*map* (*Pair x*) *ys*) @ *zs*]
show *?case* **by** *simp*
qed }
from *this*[*of list-of-dlist dxs2* *list-of-dlist dxs1* []]

show *?thesis* **by**(*simp add*: *product.rep-eq fold.rep-eq*)
qed

lemma *set-list-of-dlist-Abs-dlist*:
set (*list-of-dlist* (*Abs-dlist xs*)) = *set xs*
by(*clarsimp simp add*: *Abs-dlist-def Abs-dlist′-inverse*)(*subst Abs-dlist′-inverse*, *auto dest*: *equal.equal-eq*[*OF ID-ceq*])

context assumes *ID-ceq-neq-None*: *ID CEQ*(′*a* :: *ceq*) ≠ *None*
begin

lemma *equal-ceq*: *equal* (*ceq′* :: ′*a* ⇒ ′*a* ⇒ *bool*)
using *ID-ceq-neq-None* **by**(*clarsimp*)(*rule ID-ceq*)

declare *Domainp-forall-transfer*[**where** *A* = *pcr-set-dlist* (=), *simplified set-dlist.domain-eq*, *transfer-rule*]

lemma *set-dlist-induct* [*case-names Nil insert*, *induct type*: *set-dlist*]:
fixes *dxs* :: ′*a* :: *ceq set-dlist*
assumes *Nil*: *P empty* **and** *Cons*: ⋀*a dxs*. ⟦ ¬ *member dxs a*; *P dxs* ⟧ ⟹ *P* (*insert a dxs*)
shows *P dxs*
using *assms*
proof *transfer*
fix *P* :: ′*a list* ⇒ *bool* **and** *xs* :: ′*a list*
assume *NIL*: *P* []
and *Insert*: ⋀*xs*. *equal-base.list-distinct ceq′ xs* ∨ *ID CEQ*(′*a*) = *None*
⟹ (⋀*x*. ⟦ ¬ *equal-base.list-member ceq′ xs x*; *P xs* ⟧ ⟹ *P* (*equal-base.list-insert ceq′ x xs*))
and *Eq*: *equal-base.list-distinct ceq′ xs* ∨ *ID CEQ*(′*a*) = *None*
from *Eq* **show** *P xs*
proof(*induction xs*)
case *Nil* **show** *?case* **by**(*rule NIL*)
next
case (*Cons x xs*) **thus** *?case* **using** *Insert*[*of xs x*] *equal.equal-eq*[*OF equal-ceq*] *ID-ceq-neq-None*
by(*auto simp add*: *List.member-def simp del*: *not-None-eq*)
qed
qed

context includes *lifting-syntax*
begin

lemma *fold-transfer2* [*transfer-rule*]:
assumes *is-equality A*
shows ((*A* ===> *pcr-set-dlist* (=) ===> *pcr-set-dlist* (=)) ===>
(*pcr-set-dlist* (=) :: ′*a list* ⇒ ′*a set-dlist* ⇒ *bool*) ===> *pcr-set-dlist* (=) ===> *pcr-set-dlist* (=))

```
    List.fold DList-Set.fold
unfolding Transfer.Rel-def set-dlist.pcr-cr-eq
proof(rule rel-funI)+
  fix f :: 'a ⇒ 'b list ⇒ 'b list and g and xs :: 'a list and ys and b :: 'b list and c
  assume fg: (A ===> cr-set-dlist ===> cr-set-dlist) f g
  assume cr-set-dlist xs ys    cr-set-dlist b c
  thus cr-set-dlist (List.fold f xs b) (DList-Set.fold g ys c)
  proof(induct ys arbitrary: xs b c rule: set-dlist-induct)
    case Nil thus ?case by(simp add: cr-set-dlist-def)
  next
    case (insert y dxs)
    have A y y and cr-set-dlist (list-of-dlist c) c
      using assms by(simp-all add: cr-set-dlist-def is-equality-def)
    with fg have cr-set-dlist (f y (list-of-dlist c)) (g y c)
      by −(drule (1) rel-funD)+
    thus ?case using insert by(simp add: cr-set-dlist-def)
  qed
qed

end

lemma distinct-list-of-dlist:
  distinct (list-of-dlist (dxs :: 'a set-dlist))
using list-of-dlist[of dxs] equal.equal-eq[OF equal-ceq]
by(simp add: ID-ceq-neq-None)

lemma member-empty-empty: (∀ x :: 'a. ¬ member dxs x) ⟷ dxs = empty
by(transfer)(simp add: equal.equal-eq[OF equal-ceq] List.member-def)

lemma Collect-member: Collect (member (dxs :: 'a set-dlist)) = set (list-of-dlist
dxs)
by(simp add: member-def equal.equal-eq[OF equal-ceq] List.member-def[abs-def])

lemma member-insert: member (insert (x :: 'a) xs) = (member xs)(x := True)
by(transfer)(simp add: fun-eq-iff List.member-def ID-ceq-neq-None equal.equal-eq[OF
equal-ceq])

lemma member-remove:
  member (remove (x :: 'a) xs) = (member xs)(x := False)
by transfer (auto simp add: fun-eq-iff ID-ceq-neq-None equal.equal-eq[OF equal-ceq]
List.member-def)

lemma member-union: member (union (xs1 :: 'a set-dlist) xs2) x ⟷ member
xs1 x ∨ member xs2 x
unfolding union-def
by(transfer)(simp add: equal.equal-eq[OF equal-ceq] List.member-def set-fold-insert)

lemma member-fold-insert: member (List.fold insert xs dxs) (x :: 'a) ⟷ member
dxs x ∨ x ∈ set xs
```

by *transfer*(*auto simp add*: *ID-ceq-neq-None equal.equal-eq*[*OF equal-ceq*] *List.member-def set-fold-insert*)

lemma *card-eq-length* [*simp*]:
card (*Collect* (*member* (*dxs* :: *'a set-dlist*))) = *length dxs*
by *transfer*(*simp add*: *ID-ceq-neq-None equal.equal-eq*[*OF equal-ceq*] *List.member-def*[*abs-def*] *distinct-card*)

lemma *finite-member* [*simp*]:
finite (*Collect* (*member* (*dxs* :: *'a set-dlist*)))
by *transfer*(*simp add*: *ID-ceq-neq-None equal.equal-eq*[*OF equal-ceq*] *List.member-def*[*abs-def*])

lemma *member-filter* [*simp*]: *member* (*filter P xs*) = (λ*x* :: *'a*. *member xs x* $\wedge$ *P x*)
by *transfer*(*simp add*: *ID-ceq-neq-None equal.equal-eq*[*OF equal-ceq*] *List.member-def*[*abs-def*])

lemma *dlist-all-conv-member*: *dlist-all P dxs* $\longleftrightarrow$ ($\forall$ *x* :: *'a*. *member dxs x* $\longrightarrow$ *P x*)
by *transfer*(*auto simp add*: *ID-ceq-neq-None equal.equal-eq*[*OF equal-ceq*] *list-all-iff List.member-def*)

lemma *dlist-ex-conv-member*: *dlist-ex P dxs* $\longleftrightarrow$ ($\exists$ *x* :: *'a*. *member dxs x* $\wedge$ *P x*)
by *transfer*(*auto simp add*: *ID-ceq-neq-None equal.equal-eq*[*OF equal-ceq*] *list-ex-iff List.member-def*)

lemma *member-Id-on*: *member* (*Id-on dxs*) = (λ(*x* :: *'a*, *y*). *x* = *y* $\wedge$ *member dxs x*)
proof −
have *ID CEQ*(*'a* $\times$ *'a*) = *Some* (=)
using *equal.equal-eq*[**where** *?'a*=*'a*, *OF equal-ceq*]
by(*auto simp add*: *ceq-prod-def list-all-eq-def ID-ceq-neq-None ID-Some fun-eq-iff split*: *option.split*)
thus *?thesis*
using *equal.equal-eq*[**where** *?'a*=*'a*, *OF equal-ceq*]
by *transfer*(*auto simp add*: *ID-ceq-neq-None List.member-def*[*abs-def*] *ID-Some intro*!: *ext split*: *option.split-asm*)
qed

end

lemma *product-member*:
assumes *ID CEQ*(*'a* :: *ceq*) $\neq$ *None* *ID CEQ*(*'b* :: *ceq*) $\neq$ *None*
shows *member* (*product dxs1 dxs2*) = (λ(*a* :: *'a*, *b* :: *'b*). *member dxs1 a* $\wedge$ *member dxs2 b*)
proof −
from *assms* **have** *ceq'* = ((=) :: *'a* $\Rightarrow$ *'a* $\Rightarrow$ *bool*) *ceq'* = ((=) :: *'b* $\Rightarrow$ *'b* $\Rightarrow$ *bool*)
by(*auto intro*: *equal.equal-eq*[*OF ID-ceq*])
moreover with *assms* **have** *ceq'* = ((=) :: (*'a* $\times$ *'b*) $\Rightarrow$ (*'a* $\times$ *'b*) $\Rightarrow$ *bool*)

by(*auto simp add*: *ceq-prod-def list-all-eq-def ID-Some fun-eq-iff*)
ultimately show *?thesis* **by**(*transfer*)(*auto simp add*: *List.member-def*[*abs-def*])
qed

hide-const (**open**) *empty insert remove null member length fold foldr union filter hd tl dlist-all product Id-on*

end

theory *RBT-Set2*
imports
RBT-Mapping2
begin

3.10 Sets implemented by red-black trees

lemma *map-of-map-Pair-const*:
map-of (*map* (λx. (x, v)) *xs*) = (λx. *if* $x \in$ *set xs then Some v else None*)
by(*induct xs*) *auto*

lemma *map-of-rev-unit* [*simp*]:
fixes *xs* :: ('*a* ∗ *unit*) *list*
shows *map-of* (*rev xs*) = *map-of xs*
by(*induct xs rule*: *rev-induct*)(*auto simp add*: *map-add-def split*: *option.split*)

lemma *fold-split-conv-map-fst*: *fold* (λ(x, y). *f x*) *xs* = *fold f* (*map fst xs*)
by(*simp add*: *fold-map o-def split-def*)

lemma *foldr-split-conv-map-fst*: *foldr* (λ(x, y). *f x*) *xs* = *foldr f* (*map fst xs*)
by(*simp add*: *foldr-map o-def split-def fun-eq-iff*)

lemma *set-foldr-Cons*:
set (*foldr* (λx *xs*. *if P x xs then x* # *xs else xs*) *as* []) ⊆ *set as*
by(*induct as*) *auto*

lemma *distinct-fst-foldr-Cons*:
distinct (*map f as*) ⟹ *distinct* (*map f* (*foldr* (λx *xs*. *if P x xs then x* # *xs else xs*) *as* []))
proof(*induct as*)
case (*Cons a as*)
with *set-foldr-Cons*[*of P as*] **show** *?case* **by** *auto*
qed *simp*

lemma *filter-conv-foldr*:
filter P xs = *foldr* (λx *xs*. *if P x then x* # *xs else xs*) *xs* []
by(*induct xs*) *simp-all*

lemma *map-of-filter*: *map-of* (*filter* (λx. *P* (*fst x*)) *xs*) = *map-of xs* |‘ *Collect P*

by(*induct xs*)(*simp-all add*: *fun-eq-iff restrict-map-def*)

lemma *map-of-map-Pair-key*: *map-of* (*map* (λ*k*. (*k*, *f k*)) *xs*) *x* = (*if x* $\in$ *set xs then Some* (*f x*) *else None*)
by(*induct xs*) *simp-all*

lemma *neq-Empty-conv*: *t* $\neq$ *rbt.Empty* $\longleftrightarrow$ ($\exists$ *c l k v r*. *t* = *Branch c l k v r*)
by(*cases t*) *simp-all*

context *linorder* **begin**

lemma *is-rbt-RBT-fold-rbt-insert* [*simp*]:
is-rbt t $\Longrightarrow$ *is-rbt* (*fold* (λ(*k*, *v*). *rbt-insert k v*) *xs t*)
by(*induct xs arbitrary*: *t*)(*simp-all add*: *split-beta*)

lemma *rbt-lookup-RBT-fold-rbt-insert* [*simp*]:
is-rbt t $\Longrightarrow$ *rbt-lookup* (*fold* (λ(*k*, *v*). *rbt-insert k v*) *xs t*) = *rbt-lookup t* ++ *map-of* (*rev xs*)
apply(*induct xs arbitrary*: *t rule*: *rev-induct*)
apply(*simp-all add*: *split-beta fun-eq-iff rbt-lookup-rbt-insert*)
done

lemma *is-rbt-fold-rbt-delete* [*simp*]:
is-rbt t $\Longrightarrow$ *is-rbt* (*fold rbt-delete xs t*)
by(*induct xs arbitrary*: *t*)(*simp-all*)

lemma *rbt-lookup-fold-rbt-delete* [*simp*]:
is-rbt t $\Longrightarrow$ *rbt-lookup* (*fold rbt-delete xs t*) = *rbt-lookup t* |‘ (− *set xs*)
apply(*induct xs rule*: *rev-induct*)
apply(*simp-all add*: *rbt-lookup-rbt-delete ext*)
apply(*metis Un-insert-right compl-sup sup-bot-right*)
done

lemma *is-rbt-fold-rbt-insert*: *is-rbt t* $\Longrightarrow$ *is-rbt* (*fold* (λ*k*. *rbt-insert k* (*f k*)) *xs t*)
by(*induct xs rule*: *rev-induct*) *simp-all*

lemma *rbt-lookup-fold-rbt-insert*:
is-rbt t $\Longrightarrow$
rbt-lookup (*fold* (λ*k*. *rbt-insert k* (*f k*)) *xs t*) =
rbt-lookup t ++ *map-of* (*map* (λ*k*. (*k*, *f k*)) *xs*)
by(*induct xs arbitrary*: *t*)(*auto simp add*: *rbt-lookup-rbt-insert map-add-def fun-eq-iff map-of-map-Pair-key split*: *option.splits*)

end

definition *fold-rev* :: ($'a \Rightarrow 'b \Rightarrow 'c \Rightarrow 'c$) $\Rightarrow$ ($'a$, $'b$) *rbt* $\Rightarrow$ $'c \Rightarrow 'c$
where *fold-rev f t* = *List.foldr* (λ(*k*, *v*). *f k v*) (*RBT-Impl.entries t*)

lemma *fold-rev-simps* [*simp*, *code*]:

fold-rev f RBT-Impl.Empty = id
fold-rev f (Branch c l k v r) = fold-rev f l o f k v o fold-rev f r
by(*simp-all add*: *fold-rev-def fun-eq-iff*)

context *linorder* **begin**

lemma *sorted-fst-foldr-Cons*:
sorted (map f as) ⟹ sorted (map f (foldr (λx xs. if P x xs then x # xs else xs) as []))
proof(*induct as*)
case (*Cons a as*)
with *set-foldr-Cons*[*of P as*] **show** *?case* **by**(*auto*)
qed *simp*

end

3.10.1 Type and operations

type-synonym *'a set-rbt = ('a, unit) mapping-rbt*

translations
(*type*) *'a set-rbt* <= (*type*) *('a, unit) mapping-rbt*

abbreviation (*input*) *Set-RBT* :: *('a :: ccompare, unit) RBT-Impl.rbt ⇒ 'a set-rbt*
where *Set-RBT ≡ Mapping-RBT*

3.10.2 Primitive operations

lift-definition *member* :: *'a :: ccompare set-rbt ⇒ 'a ⇒ bool* **is**
λt x. x ∈ dom (rbt-comp-lookup ccomp t) **.**

abbreviation *empty* :: *'a :: ccompare set-rbt*
where *empty ≡ RBT-Mapping2.empty*

abbreviation *insert* :: *'a :: ccompare ⇒ 'a set-rbt ⇒ 'a set-rbt*
where *insert k ≡ RBT-Mapping2.insert k ()*

abbreviation *remove* :: *'a :: ccompare ⇒ 'a set-rbt ⇒ 'a set-rbt*
where *remove ≡ RBT-Mapping2.delete*

lift-definition *bulkload* :: *'a :: ccompare list ⇒ 'a set-rbt* **is**
rbt-comp-bulkload ccomp ∘ map (λx. (x, ()))
by(*auto 4 3 intro*: *linorder.rbt-bulkload-is-rbt ID-ccompare simp*: *rbt-comp-bulkload*[*OF ID-ccompare'*])

abbreviation *is-empty* :: *'a :: ccompare set-rbt ⇒ bool*
where *is-empty ≡ RBT-Mapping2.is-empty*

abbreviation *union* :: *'a :: ccompare set-rbt ⇒ 'a set-rbt ⇒ 'a set-rbt*
where *union ≡ RBT-Mapping2.join (λ- -. id)*

abbreviation *inter* :: *'a* :: *ccompare set-rbt* ⇒ *'a set-rbt* ⇒ *'a set-rbt*
where *inter* ≡ *RBT-Mapping2.meet* (λ- -. *id*)

lift-definition *inter-list* :: *'a* :: *ccompare set-rbt* ⇒ *'a list* ⇒ *'a set-rbt* **is**
λ*t xs. fold* (λ*k. rbt-comp-insert ccomp k* ()) [*x* ← *xs. rbt-comp-lookup ccomp t x* ≠ *None*] *RBT-Impl.Empty*
by(*auto 4 3 intro*: *ID-ccompare linorder.is-rbt-fold-rbt-insert ord.Empty-is-rbt simp*: *rbt-comp-simps*[*OF ID-ccompare'*])

lift-definition *minus* :: *'a* :: *ccompare set-rbt* ⇒ *'a set-rbt* ⇒ *'a set-rbt* **is**
rbt-comp-minus ccomp
by(*auto 4 3 intro*: *linorder.rbt-minus-is-rbt ID-ccompare simp*: *rbt-comp-minus*[*OF ID-ccompare'*])

abbreviation *filter* :: (*'a* :: *ccompare* ⇒ *bool*) ⇒ *'a set-rbt* ⇒ *'a set-rbt*
where *filter P* ≡ *RBT-Mapping2.filter* (*P* ∘ *fst*)

lift-definition *fold* :: (*'a* :: *ccompare* ⇒ *'b* ⇒ *'b*) ⇒ *'a set-rbt* ⇒ *'b* ⇒ *'b* **is** λ*f*. *RBT-Impl.fold* (λ*a* -. *f a*) **.**

lift-definition *fold1* :: (*'a* :: *ccompare* ⇒ *'a* ⇒ *'a*) ⇒ *'a set-rbt* ⇒ *'a* **is** *RBT-Impl-fold1*
.

lift-definition *keys* :: *'a* :: *ccompare set-rbt* ⇒ *'a list* **is** *RBT-Impl.keys* **.**

abbreviation *all* :: (*'a* :: *ccompare* ⇒ *bool*) ⇒ *'a set-rbt* ⇒ *bool*
where *all P* ≡ *RBT-Mapping2.all* (λ*k* -. *P k*)

abbreviation *ex* :: (*'a* :: *ccompare* ⇒ *bool*) ⇒ *'a set-rbt* ⇒ *bool*
where *ex P* ≡ *RBT-Mapping2.ex* (λ*k* -. *P k*)

definition *product* :: *'a* :: *ccompare set-rbt* ⇒ *'b* :: *ccompare set-rbt* ⇒ (*'a* × *'b*) *set-rbt*
where *product rbt1 rbt2* = *RBT-Mapping2.product* (λ- - - -. ()) *rbt1 rbt2*

abbreviation *Id-on* :: *'a* :: *ccompare set-rbt* ⇒ (*'a* × *'a*) *set-rbt*
where *Id-on* ≡ *RBT-Mapping2.diag*

abbreviation *init* :: *'a* :: *ccompare set-rbt* ⇒ (*'a*, *unit*, *'a*) *rbt-generator-state*
where *init* ≡ *RBT-Mapping2.init*

3.10.3 Properties

lemma *member-empty* [*simp*]:
member empty = (λ-. *False*)
by(*simp add*: *member-def empty-def Mapping-RBT-inverse ord.Empty-is-rbt ord.rbt-lookup.simps fun-eq-iff*)

lemma *fold-conv-fold-keys*: *RBT-Set2.fold f rbt b* = *List.fold f* (*RBT-Set2.keys rbt*) *b*
by(*simp add*: *RBT-Set2.fold-def RBT-Set2.keys-def RBT-Impl.fold-def RBT-Impl.keys-def fold-map o-def split-def*)

lemma *fold-conv-fold-keys'*:
fold f t = *List.fold f* (*RBT-Impl.keys* (*RBT-Mapping2.impl-of t*))
by(*simp add*: *fold.rep-eq RBT-Impl.fold-def RBT-Impl.keys-def fold-map o-def split-def*)

lemma *member-lookup* [*code*]: *member t x* $\longleftrightarrow$ *RBT-Mapping2.lookup t x* = *Some* ()
by *transfer auto*

lemma *unfoldr-rbt-keys-generator*:
list.unfoldr rbt-keys-generator (*init t*) = *keys t*
by *transfer*(*simp add*: *unfoldr-rbt-keys-generator*)

lemma *keys-eq-Nil-iff* [*simp*]: *keys rbt* = [] $\longleftrightarrow$ *rbt* = *empty*
by *transfer*(*case-tac rbt*, *simp-all*)

lemma *fold1-conv-fold*: *fold1 f rbt* = *List.fold f* (*tl* (*keys rbt*)) (*hd* (*keys rbt*))
by *transfer*(*simp add*: *RBT-Impl-fold1-def*)

context assumes *ID-ccompare-neq-None*: *ID CCOMPARE*('*a* :: *ccompare*) $\neq$ *None*
begin

lemma *set-linorder*: *class.linorder* (*cless-eq* :: '*a* $\Rightarrow$ '*a* $\Rightarrow$ *bool*) *cless*
using *ID-ccompare-neq-None* **by**(*clarsimp*)(*rule ID-ccompare*)

lemma *ccomp-comparator*: *comparator* (*ccomp* :: '*a comparator*)
using *ID-ccompare-neq-None* **by**(*clarsimp*)(*rule ID-ccompare'*)

lemmas *rbt-comps* = *rbt-comp-simps*[*OF ccomp-comparator*] *rbt-comp-minus*[*OF ccomp-comparator*]

lemma *is-rbt-impl-of* [*simp*, *intro*]:
fixes *t* :: '*a set-rbt*
shows *ord.is-rbt cless* (*RBT-Mapping2.impl-of t*)
using *ID-ccompare-neq-None impl-of* [*of t*] **by** *auto*

lemma *member-RBT*:
ord.is-rbt cless t $\Longrightarrow$ *member* (*Set-RBT t*) (*x* :: '*a*) $\longleftrightarrow$ *ord.rbt-lookup cless t x* = *Some* ()
by(*auto simp add*: *member-def Mapping-RBT-inverse rbt-comps*)

lemma *member-impl-of*:
ord.rbt-lookup cless (*RBT-Mapping2.impl-of t*) (*x* :: '*a*) = *Some* () $\longleftrightarrow$ *member t x*
by *transfer* (*auto simp*: *rbt-comps*)

lemma *member-insert* [*simp*]:
member (*insert x* (*t* :: *'a set-rbt*)) = (*member t*)(*x* := *True*)
by *transfer* (*simp add*: *fun-eq-iff linorder.rbt-lookup-rbt-insert*[*OF set-linorder*] *ID-ccompare-neq-None*)

lemma *member-fold-insert* [*simp*]:
member (*List.fold insert xs* (*t* :: *'a set-rbt*)) = (λ*x*. *member t x* ∨ *x* ∈ *set xs*)
by(*induct xs arbitrary*: *t*) *auto*

lemma *member-remove* [*simp*]:
member (*remove* (*x* :: *'a*) *t*) = (*member t*)(*x* := *False*)
by *transfer* (*simp add*: *linorder.rbt-lookup-rbt-delete*[*OF set-linorder*] *ID-ccompare-neq-None fun-eq-iff*)

lemma *member-bulkload* [*simp*]:
member (*bulkload xs*) (*x* :: *'a*) ⟷ *x* ∈ *set xs*
by *transfer* (*auto simp add*: *linorder.rbt-lookup-rbt-bulkload*[*OF set-linorder*] *rbt-comps map-of-map-Pair-const split*: *if-split-asm*)

lemma *member-conv-keys*: *member t* = (λ*x* :: *'a*. *x* ∈ *set* (*keys t*))
by(*transfer*)(*simp add*: *ID-ccompare-neq-None linorder.rbt-lookup-keys*[*OF set-linorder*] *ord.is-rbt-rbt-sorted*)

lemma *is-empty-empty* [*simp*]:
is-empty t ⟷ *t* = *empty*
by *transfer* (*simp split*: *rbt.split*)

lemma *RBT-lookup-empty* [*simp*]:
ord.rbt-lookup cless (*t* :: (*'a*, *unit*) *rbt*) = *Map.empty* ⟷ *t* = *RBT-Impl.Empty*
proof −
interpret *linorder cless-eq* :: *'a* ⇒ *'a* ⇒ *bool cless* **by**(*rule set-linorder*)
show *?thesis* **by**(*cases t*)(*auto simp add*: *fun-eq-iff*)
qed

lemma *member-empty-empty* [*simp*]:
member t = (λ-. *False*) ⟷ (*t* :: *'a set-rbt*) = *empty*
by *transfer*(*simp add*: *ID-ccompare-neq-None fun-eq-iff RBT-lookup-empty*[*symmetric*])

lemma *member-union* [*simp*]:
member (*union* (*t1* :: *'a set-rbt*) *t2*) = (λ*x*. *member t1 x* ∨ *member t2 x*)
by(*auto simp add*: *member-lookup fun-eq-iff lookup-join*[*OF ID-ccompare-neq-None*] *split*: *option.split*)

lemma *member-minus* [*simp*]:
member (*minus* (*t1* :: *'a set-rbt*) *t2*) = (λ*x*. *member t1 x* ∧ ¬ *member t2 x*)
by(*transfer*)(*auto simp add*: *ID-ccompare-neq-None fun-eq-iff rbt-comps linorder.rbt-lookup-rbt-minus*[*OF set-linorder*] *ord.is-rbt-rbt-sorted*)

lemma *member-inter* [*simp*]:

member (*inter* (*t1* :: *'a set-rbt*) *t2*) = (λ*x*. *member t1 x* $\wedge$ *member t2 x*)
by(*auto simp add*: *member-lookup fun-eq-iff lookup-meet*[*OF ID-ccompare-neq-None*] *split*: *option.split*)

lemma *member-inter-list* [*simp*]:
member (*inter-list* (*t* :: *'a set-rbt*) *xs*) = (λ*x*. *member t x* $\wedge$ *x* $\in$ *set xs*)
by *transfer*(*auto simp add*: *ID-ccompare-neq-None fun-eq-iff linorder.rbt-lookup-fold-rbt-insert*[*OF set-linorder*] *ord.Empty-is-rbt map-of-map-Pair-key ord.rbt-lookup.simps rel-option-iff split*: *if-split-asm option.split-asm*)

lemma *member-filter* [*simp*]:
member (*filter P* (*t* :: *'a set-rbt*)) = (λ*x*. *member t x* $\wedge$ *P x*)
by(*simp add*: *member-lookup fun-eq-iff lookup-filter*[*OF ID-ccompare-neq-None*] *split*: *option.split*)

lemma *distinct-keys* [*simp*]:
distinct (*keys* (*rbt* :: *'a set-rbt*))
by *transfer*(*simp add*: *ID-ccompare-neq-None RBT-Impl.keys-def ord.is-rbt-rbt-sorted linorder.distinct-entries*[*OF set-linorder*])

lemma *all-conv-all-member*:
all P t $\longleftrightarrow$ ($\forall$ *x* :: *'a*. *member t x* $\longrightarrow$ *P x*)
by(*simp add*: *member-lookup all-conv-all-lookup*[*OF ID-ccompare-neq-None*])

lemma *ex-conv-ex-member*:
ex P t $\longleftrightarrow$ ($\exists$ *x* :: *'a*. *member t x* $\wedge$ *P x*)
by(*simp add*: *member-lookup ex-conv-ex-lookup*[*OF ID-ccompare-neq-None*])

lemma *finite-member*: *finite* (*Collect* (*RBT-Set2.member* (*t* :: *'a set-rbt*)))
by *transfer* (*simp add*: *rbt-comps linorder.finite-dom-rbt-lookup*[*OF set-linorder*])

lemma *member-Id-on*: *member* (*Id-on t*) = (λ(*k* :: *'a*, *k'*). *k* = *k'* $\wedge$ *member t k*)
by(*simp add*: *member-lookup*[*abs-def*] *diag-lookup*[*OF ID-ccompare-neq-None*] *fun-eq-iff*)

context assumes *ID-ccompare-neq-None'*: *ID CCOMPARE*(*'b* :: *ccompare*) $\neq$ *None*
begin

lemma *set-linorder'*: *class.linorder* (*cless-eq* :: *'b* $\Rightarrow$ *'b* $\Rightarrow$ *bool*) *cless*
using *ID-ccompare-neq-None'* **by**(*clarsimp*)(*rule ID-ccompare*)

lemma *member-product*:
member (*product rbt1 rbt2*) = (λ*ab* :: *'a* $\times$ *'b*. *ab* $\in$ *Collect* (*member rbt1*) $\times$ *Collect* (*member rbt2*))
by(*auto simp add*: *fun-eq-iff member-lookup product-def RBT-Mapping2.lookup-product ID-ccompare-neq-None ID-ccompare-neq-None' split*: *option.splits*)

end

end

lemma *sorted-RBT-Set-keys*:
ID CCOMPARE('*a* :: *ccompare*) = *Some c*
⟹ *linorder.sorted* (*le-of-comp c*) (*RBT-Set2.keys rbt*)
by *transfer*(*auto simp add*: *RBT-Set2.keys.rep-eq RBT-Impl.keys-def linorder.rbt-sorted-entries*[*OF ID-ccompare*] *ord.is-rbt-rbt-sorted*)

context assumes *ID-ccompare-neq-None*: *ID CCOMPARE*('*a* :: {*ccompare*, *lattice*}) ≠ *None*
begin

lemma *set-linorder2*: *class.linorder* (*cless-eq* :: '*a* ⇒ '*a* ⇒ *bool*) *cless*
using *ID-ccompare-neq-None* **by**(*clarsimp*)(*rule ID-ccompare*)

end

lemma *set-keys-Mapping-RBT*: *set* (*keys* (*Mapping-RBT t*)) = *set* (*RBT-Impl.keys t*)
proof(*cases t*)
case *Empty* **thus** *?thesis*
by(*clarsimp simp add*: *Mapping-RBT-def keys.rep-eq is-ccompare-def Mapping-RBT'-inverse ord.is-rbt-def ord.rbt-sorted.simps*)
next
case (*Branch c l k v r*)
show *?thesis*
proof(*cases is-ccompare TYPE*('*a*) ∧ ¬ *ord.is-rbt cless* (*Branch c l k v r*))
case *False* **thus** *?thesis* **using** *Branch*
by(*auto simp add*: *Mapping-RBT-def keys.rep-eq is-ccompare-def Mapping-RBT'-inverse simp del*: *not-None-eq*)
next
case *True*
thus *?thesis* **using** *Branch*
by(*clarsimp simp add*: *Mapping-RBT-def keys.rep-eq is-ccompare-def Mapping-RBT'-inverse RBT-ext.linorder.is-rbt-fold-rbt-insert-impl*[*OF ID-ccompare*] *linorder.rbt-insert-is-rbt*[*OF ID-ccompare*] *ord.Empty-is-rbt*)(*subst linorder.rbt-lookup-keys*[*OF ID-ccompare*, *symmetric*], *assumption*, *auto simp add*: *linorder.rbt-sorted-fold-insert*[*OF ID-ccompare*] *RBT-ext.linorder.rbt-lookup-fold-rbt-insert-impl*[*OF ID-ccompare*] *RBT-ext.linorder.rbt-lookup-rbt-insert'*[*OF ID-ccompare*] *linorder.rbt-insert-rbt-sorted*[*OF ID-ccompare*] *ord.is-rbt-rbt-sorted ord.Empty-is-rbt dom-map-of-conv-image-fst RBT-Impl.keys-def ord.rbt-lookup.simps*)
qed
qed

hide-const (**open**) *member empty insert remove bulkload union minus keys fold fold-rev filter all ex product Id-on init*

end

theory *Closure-Set* **imports** *Equal* **begin**

3.11 Sets implemented as Closures

context *equal-base* **begin**

definition *fun-upd* :: $('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b \Rightarrow 'a \Rightarrow 'b$
where *fun-upd-apply*: *fun-upd f a b a′* = (*if equal a a′ then b else f a′*)

end

lemmas [*code*] = *equal-base.fun-upd-apply*
lemmas [*simp*] = *equal-base.fun-upd-apply*

lemma *fun-upd-conv-fun-upd*: *equal-base.fun-upd* (=) = *fun-upd*
by(*simp add*: *fun-eq-iff*)

end

theory *Set-Impl* **imports**
Collection-Enum
DList-Set
RBT-Set2
Closure-Set
Containers-Generator
Complex-Main
begin

3.12 Different implementations of sets

3.12.1 Auxiliary functions

A simple quicksort implementation

context *ord* **begin**

function (*sequential*) *quicksort-acc* :: *'a list* ⇒ *'a list* ⇒ *'a list*
and *quicksort-part* :: *'a list* ⇒ *'a* ⇒ *'a list* ⇒ *'a list* ⇒ *'a list* ⇒ *'a list* ⇒ *'a list*
where
quicksort-acc ac [] = *ac*
| *quicksort-acc ac* [*x*] = *x* # *ac*
| *quicksort-acc ac* (*x* # *xs*) = *quicksort-part ac x* [] [] [] *xs*
| *quicksort-part ac x lts eqs gts* [] = *quicksort-acc* (*eqs* @ *x* # *quicksort-acc ac gts*) *lts*
| *quicksort-part ac x lts eqs gts* (*z* # *zs*) =
(*if z* > *x then quicksort-part ac x lts eqs* (*z* # *gts*) *zs*
else if z < *x then quicksort-part ac x* (*z* # *lts*) *eqs gts zs*
else quicksort-part ac x lts (*z* # *eqs*) *gts zs*)

by *pat-completeness simp-all*

lemma *length-quicksort-accp*:
quicksort-acc-quicksort-part-dom (*Inl* (*ac*, *xs*)) $\Longrightarrow$ *length* (*quicksort-acc ac xs*) = *length ac* + *length xs*
and *length-quicksort-partp*:
quicksort-acc-quicksort-part-dom (*Inr* (*ac*, *x*, *lts*, *eqs*, *gts*, *zs*))
$\Longrightarrow$ *length* (*quicksort-part ac x lts eqs gts zs*) = *length ac* + *1* + *length lts* + *length eqs* + *length gts* + *length zs*
apply(*induct rule*: *quicksort-acc-quicksort-part.pinduct*)
apply(*simp-all add*: *quicksort-acc.psimps quicksort-part.psimps*)
done

termination
apply(*relation measure* (*case-sum* (λ(-, *xs*). *2* $*$ *length xs* ^ *2*) (λ(-, -, *lts*, *eqs*, *gts*, *zs*). *2* $*$ (*length lts* + *length eqs* + *length gts* + *length zs*) ^ *2* + *length zs* + *1*)))
apply(*simp-all add*: *power2-eq-square add-mult-distrib add-mult-distrib2 length-quicksort-accp*)
done

definition *quicksort* :: *'a list* $\Rightarrow$ *'a list*
where *quicksort* = *quicksort-acc* []

lemma *set-quicksort-acc* [*simp*]: *set* (*quicksort-acc ac xs*) = *set ac* $\cup$ *set xs*
and *set-quicksort-part* [*simp*]:
set (*quicksort-part ac x lts eqs gts zs*) =
set ac $\cup$ {*x*} $\cup$ *set lts* $\cup$ *set eqs* $\cup$ *set gts* $\cup$ *set zs*
by(*induct ac xs* **and** *ac x lts eqs gts zs rule*: *quicksort-acc-quicksort-part.induct*)(*auto split*: *if-split-asm*)

lemma *set-quicksort* [*simp*]: *set* (*quicksort xs*) = *set xs*
by(*simp add*: *quicksort-def*)

lemma *distinct-quicksort-acc*:
distinct (*quicksort-acc ac xs*) = *distinct* (*ac* @ *xs*)
and *distinct-quicksort-part*:
distinct (*quicksort-part ac x lts eqs gts zs*) = *distinct* (*ac* @ [*x*] @ *lts* @ *eqs* @ *gts* @ *zs*)
by(*induct ac xs* **and** *ac x lts eqs gts zs rule*: *quicksort-acc-quicksort-part.induct*) *auto*

lemma *distinct-quicksort* [*simp*]: *distinct* (*quicksort xs*) = *distinct xs*
by(*simp add*: *quicksort-def distinct-quicksort-acc*)

end

lemmas [*code*] =
ord.quicksort-acc.simps quicksort-acc.simps
ord.quicksort-part.simps quicksort-part.simps
ord.quicksort-def quicksort-def

context *linorder* **begin**

lemma *sorted-quicksort-acc*:
⟦ *sorted ac*; ∀ *x* ∈ *set xs*. ∀ *a* ∈ *set ac*. *x* < *a* ⟧
⟹ *sorted* (*quicksort-acc ac xs*)
and *sorted-quicksort-part*:
⟦ *sorted ac*; ∀ *y* ∈ *set lts* ∪ {*x*} ∪ *set eqs* ∪ *set gts* ∪ *set zs*. ∀ *a* ∈ *set ac*. *y* < *a*;
∀ *y* ∈ *set lts*. *y* < *x*; ∀ *y* ∈ *set eqs*. *y* = *x*; ∀ *y* ∈ *set gts*. *y* > *x* ⟧
⟹ *sorted* (*quicksort-part ac x lts eqs gts zs*)
proof(*induction ac xs* **and** *ac x lts eqs gts zs rule*: *quicksort-acc-quicksort-part.induct*)
case *1* **thus** *?case* **by** *simp*
next
case *2* **thus** *?case* **by**(*auto*)
next
case *3* **thus** *?case* **by** *simp*
next
case (*4 ac x lts eqs gts*)
note *ac-greater* = ‹∀ *y*∈*set lts* ∪ {*x*} ∪ *set eqs* ∪ *set gts* ∪ *set* []. ∀ *a*∈*set ac*. *y* < *a*›
have *sorted eqs* *set eqs* ⊆ {*x*} **using** ‹∀ *y*∈*set eqs*. *y* = *x*›
by(*induct eqs*)(*simp-all*)
moreover have ∀ *y* ∈ *set ac* ∪ *set gts*. *x* ≤ *y*
using ‹∀ *a*∈*set gts*. *x* < *a*› *ac-greater* **by** *auto*
moreover have *sorted* (*quicksort-acc ac gts*)
using ‹*sorted ac*› *ac-greater* **by**(*auto intro*: *4.IH*)
ultimately have *sorted* (*eqs* @ *x* # *quicksort-acc ac gts*)
by(*auto simp add*: *sorted-append*)
moreover have ∀ *y*∈*set lts*. ∀ *a*∈*set* (*eqs* @ *x* # *quicksort-acc ac gts*). *y* < *a*
using ‹∀ *y*∈*set lts*. *y* < *x*› *ac-greater* ‹∀ *a*∈*set gts*. *x* < *a*› ‹∀ *y*∈*set eqs*. *y* = *x*›
by *fastforce*
ultimately show *?case* **by**(*simp add*: *4.IH*)
next
case *5* **thus** *?case* **by**(*simp add*: *not-less order-eq-iff*)
qed

lemma *sorted-quicksort* [*simp*]: *sorted* (*quicksort xs*)
by(*simp add*: *quicksort-def sorted-quicksort-acc*)

lemma *insort-key-append1*:
∀ *y* ∈ *set ys*. *f x* < *f y* ⟹ *insort-key f x* (*xs* @ *ys*) = *insort-key f x xs* @ *ys*
proof(*induct xs*)
case *Nil*
thus *?case* **by**(*cases ys*) *auto*
qed *simp*

lemma *insort-key-append2*:
∀ *y* ∈ *set xs*. *f x* > *f y* ⟹ *insort-key f x* (*xs* @ *ys*) = *xs* @ *insort-key f x ys*
by(*induct xs*) *auto*

lemma *sort-key-append*:
$\forall x \in set\ xs.\ \forall y \in set\ ys.\ f\ x < f\ y \Longrightarrow sort\text{-}key\ f\ (xs\ @\ ys) = sort\text{-}key\ f\ xs\ @\ sort\text{-}key\ f\ ys$
by(*induct xs*)(*simp-all add*: *insort-key-append1*)

definition *single-list* :: $'a \Rightarrow 'a\ list$ **where** *single-list a* = [*a*]

lemma *to-single-list*: *x* # *xs* = *single-list x* @ *xs*
by(*simp add*: *single-list-def*)

lemma *sort-snoc*: *sort* (*xs* @ [*x*]) = *insort x* (*sort xs*)
by(*induct xs*)(*simp-all add*: *insort-left-comm*)

lemma *sort-append-swap*: *sort* (*xs* @ *ys*) = *sort* (*ys* @ *xs*)
by(*induct xs arbitrary*: *ys rule*: *rev-induct*)(*simp-all add*: *sort-snoc*[*symmetric*])

lemma *sort-append-swap2*: *sort* (*xs* @ *ys* @ *zs*) = *sort* (*ys* @ *xs* @ *zs*)
by(*induct xs*)(*simp-all*, *subst* (*1 2*) *sort-append-swap*, *simp*)

lemma *sort-Cons-append-swap*: *sort* (*x* # *xs*) = *sort* (*xs* @ [*x*])
by(*subst sort-append-swap*) *simp*

lemma *sort-append-Cons-swap*: *sort* (*ys* @ *x* # *xs*) = *sort* (*ys* @ *xs* @ [*x*])
apply(*induct ys*)
apply(*simp only*: *append.simps sort-Cons-append-swap*)
apply *simp*
done

lemma *quicksort-acc-conv-sort*:
quicksort-acc ac xs = *sort xs* @ *ac*
and *quicksort-part-conv-sort*:
$[\![\ \forall y \in set\ lts.\ y < x;\ \forall y \in set\ eqs.\ y = x;\ \forall y \in set\ gts.\ y > x\ ]\!]$
$\Longrightarrow quicksort\text{-}part\ ac\ x\ lts\ eqs\ gts\ zs = sort\ (lts\ @\ eqs\ @\ gts\ @\ x\ \#\ zs)\ @\ ac$
proof(*induct ac xs* **and** *ac x lts eqs gts zs rule*: *quicksort-acc-quicksort-part.induct*)
case *1* **thus** *?case* **by** *simp*
next
case *2* **thus** *?case* **by** *simp*
next
case *3* **thus** *?case* **by** *simp*
next
case (*4 ac x lts eqs gts*)
note *eqs* = ‹$\forall y \in set\ eqs.\ y = x$›
{ fix *eqs*
assume $\forall y \in set\ eqs.\ y = x$
hence *insort x eqs* = *x* # *eqs* **by**(*induct eqs*) *simp-all* **}**
note [*simp*] = *this*
from *eqs* **have** [*simp*]: *sort eqs* = *eqs* **by**(*induct eqs*) *simp-all*

```
  from eqs have [simp]: eqs @ [x] = x # eqs by(induct eqs) simp-all

  show ?case using 4
    apply(subst sort-key-append)
     apply(auto 4 3 dest: bspec)[1]
    apply(simp add: append-assoc[symmetric] sort-snoc del: append-assoc)
    apply(subst sort-key-append)
    apply(auto 4 3 simp add: insort-key-append1 dest: bspec)
    done
next
  case (5 ac x lts eqs gts z zs)
  have ⟦ ¬ z < x; ¬ x < z ⟧ ⟹ z = x by simp
  thus ?case using 5
    apply(simp del: sort-key-simps)
    apply(safe, simp-all del: sort-key-simps add: to-single-list)
      apply(subst sort-append-swap)
      apply(fold append-assoc)
      apply(subst (2) sort-append-swap)
      apply(subst sort-append-swap2)
      apply(unfold append-assoc)
      apply(rule refl)
     apply(subst (1 5) append-assoc[symmetric])
     apply(subst (1 2) sort-append-swap)
     apply(unfold append-assoc)
     apply(subst sort-append-swap2)
     apply(subst (1 2) sort-append-swap)
     apply(unfold append-assoc)
     apply(subst sort-append-swap2)
     apply(rule refl)
    apply(subst (2 6) append-assoc[symmetric])
    apply(subst (2 5) append-assoc[symmetric])
    apply(subst (1 2) sort-append-swap2)
    apply(subst (4) append-assoc)
    apply(subst (2) sort-append-swap2)
    apply simp
    done
qed

lemma quicksort-conv-sort: quicksort xs = sort xs
by(simp add: quicksort-def quicksort-acc-conv-sort)

lemma sort-remdups: sort (remdups xs) = remdups (sort xs)
by(rule sorted-distinct-set-unique) simp-all

end
```

Removing duplicates from a sorted list

```
context ord begin
```

fun *remdups-sorted* :: *'a list* ⇒ *'a list*
where
remdups-sorted [] = []
| *remdups-sorted* [*x*] = [*x*]
| *remdups-sorted* (*x*#*y*#*xs*) = (*if x* < *y then x* # *remdups-sorted* (*y*#*xs*) *else remdups-sorted* (*y*#*xs*))

end

lemmas [*code*] = *ord.remdups-sorted.simps*

context *linorder* **begin**

lemma [*simp*]:
assumes *sorted xs*
shows *sorted-remdups-sorted*: *sorted* (*remdups-sorted xs*)
and *set-remdups-sorted*: *set* (*remdups-sorted xs*) = *set xs*
using *assms* **by**(*induct xs rule*: *remdups-sorted.induct*)(*auto*)

lemma *distinct-remdups-sorted* [*simp*]: *sorted xs* ⟹ *distinct* (*remdups-sorted xs*)
by(*induct xs rule*: *remdups-sorted.induct*)(*auto*)

lemma *remdups-sorted-conv-remdups*: *sorted xs* ⟹ *remdups-sorted xs* = *remdups xs*
by(*induct xs rule*: *remdups-sorted.induct*)(*auto*)

end

An specialised operation to convert a finite set into a sorted list

definition *csorted-list-of-set* :: *'a* :: *ccompare set* ⇒ *'a list*
where [*code del*]:
csorted-list-of-set A =
(*if ID CCOMPARE*('*a*) = *None* ∨ ¬ *finite A then undefined else linorder.sorted-list-of-set cless-eq A*)

lemma *csorted-list-of-set-set* [*simp*]:
⟦ *ID CCOMPARE*('*a* :: *ccompare*) = *Some c*; *linorder.sorted* (*le-of-comp c*) *xs*; *distinct xs* ⟧
⟹ *linorder.sorted-list-of-set* (*le-of-comp c*) (*set xs*) = *xs*
by(*simp add*: *distinct-remdups-id linorder.sorted-list-of-set-sort-remdups*[*OF ID-ccompare*] *linorder.sorted-sort-id*[*OF ID-ccompare*])

lemma *csorted-list-of-set-split*:
fixes *A* :: *'a* :: *ccompare set* **shows**
P (*csorted-list-of-set A*) ⟷
(∀ *xs*. *ID CCOMPARE*('*a*) ≠ *None* ⟶ *finite A* ⟶ *A* = *set xs* ⟶ *distinct xs* ⟶ *linorder.sorted cless-eq xs* ⟶ *P xs*) ∧
(*ID CCOMPARE*('*a*) = *None* ∨ ¬ *finite A* ⟶ *P undefined*)
by(*auto simp add*: *csorted-list-of-set-def linorder.sorted-list-of-set*[*OF ID-ccompare*])

code-identifier code-module *Set* ⇀ (*SML*) *Set-Impl*
| **code-module** *Set-Impl* ⇀ (*SML*) *Set-Impl*

3.12.2 Delete code equation with set as constructor

lemma *is-empty-unfold* [*code-unfold*]:
set-eq A {} = *Set.is-empty A*
set-eq {} *A* = *Set.is-empty A*
by(*auto simp add*: *Set.is-empty-def set-eq-def*)

definition *is-UNIV* :: *'a set* ⇒ *bool*
where [*code del*]: *is-UNIV A* ⟷ *A* = *UNIV*

lemma *is-UNIV-unfold* [*code-unfold*]:
A = *UNIV* ⟷ *is-UNIV A*
UNIV = *A* ⟷ *is-UNIV A*
set-eq A UNIV ⟷ *is-UNIV A*
set-eq UNIV A ⟷ *is-UNIV A*
by(*auto simp add*: *is-UNIV-def set-eq-def*)

declare [[*code drop*:
Set.empty
Set.is-empty
uminus-set-inst.uminus-set
Set.member
Set.insert
Set.remove
UNIV
Set.filter
image
Set.subset-eq
Ball
Bex
Set.union
minus-set-inst.minus-set
Set.inter
card
Set.bind
the-elem
Pow
sum
Gcd
Lcm
Product-Type.product
Id-on
Image
trancl
relcomp

wf
Min
Inf-fin
Max
Sup-fin
Inf :: *'a set set* ⇒ *'a set*
Sup :: *'a set set* ⇒ *'a set*
sorted-list-of-set
List.map-project
Sup-pred-inst.Sup-pred
finite
card
Inf-pred-inst.Inf-pred
pred-of-set
Wellfounded.acc
Bleast
can-select

irrefl-on
bacc
set-of-pred
set-of-seq
]]

3.12.3 Set implementations

definition *Collect-set* :: (*'a* ⇒ *bool*) ⇒ *'a set*
where [*simp*]: *Collect-set* = *Collect*

definition *DList-set* :: *'a* :: *ceq set-dlist* ⇒ *'a set*
where *DList-set* = *Collect o DList-Set.member*

definition *RBT-set* :: *'a* :: *ccompare set-rbt* ⇒ *'a set*
where *RBT-set* = *Collect o RBT-Set2.member*

definition *Complement* :: *'a set* ⇒ *'a set*
where [*simp*]: *Complement A* = − *A*

definition *Set-Monad* :: *'a list* ⇒ *'a set*
where [*simp*]: *Set-Monad* = *set*

code-datatype *Collect-set DList-set RBT-set Set-Monad Complement*

lemma *DList-set-empty* [*simp*]: *DList-set DList-Set.empty* = {}
by(*simp add*: *DList-set-def*)

lemma *RBT-set-empty* [*simp*]: *RBT-set RBT-Set2.empty* = {}
by(*simp add*: *RBT-set-def*)

lemma *RBT-set-conv-keys*:
ID CCOMPARE('*a* :: *ccompare*) ≠ *None*
⟹ *RBT-set* (*t* :: '*a set-rbt*) = *set* (*RBT-Set2.keys t*)
by(*clarsimp simp add*: *RBT-set-def member-conv-keys*)

3.12.4 Set operations

A collection of all the theorems about *Complement*.

ML ‹
structure Set-Complement-Eqs = Named-Thms
(
val name = @{*binding set-complement-code*}
val description = Code equations involving set complement
)
›
setup ‹*Set-Complement-Eqs.setup*›

Various fold operations over sets

typedef ('*a*, '*b*) *comp-fun-commute* = {*f* :: '*a* ⇒ '*b* ⇒ '*b*. *comp-fun-commute f*}
morphisms *comp-fun-commute-apply Abs-comp-fun-commute*
by(*rule exI*[**where** *x*=λ-. *id*])(*simp*, *unfold-locales*, *auto*)

setup-lifting *type-definition-comp-fun-commute*

lemma *comp-fun-commute-apply'* [*simp*]:
comp-fun-commute-on UNIV (*comp-fun-commute-apply f*)
using *comp-fun-commute-apply*[*of f*] **by** (*simp add*: *comp-fun-commute-def'*)

lift-definition *set-fold-cfc* :: ('*a*, '*b*) *comp-fun-commute* ⇒ '*b* ⇒ '*a set* ⇒ '*b* **is** *Finite-Set.fold* **.**

declare [[*code drop*: *set-fold-cfc*]]

lemma *set-fold-cfc-code* [*code*]:
fixes *xs* :: '*a* :: *ceq list*
and *dxs* :: '*a* :: *ceq set-dlist*
and *rbt* :: '*b* :: *ccompare set-rbt*
shows *set-fold-cfc-Complement*[*set-complement-code*]:
set-fold-cfc f''' b (*Complement A*) = *Code.abort* (*STR ''set-fold-cfc not supported on Complement''*) (λ-. *set-fold-cfc f''' b* (*Complement A*))
and
set-fold-cfc f''' b (*Collect-set P*) = *Code.abort* (*STR ''set-fold-cfc not supported on Collect-set''*) (λ-. *set-fold-cfc f''' b* (*Collect-set P*))
set-fold-cfc f b (*Set-Monad xs*) =
(*case ID CEQ*('*a*) *of None* ⇒ *Code.abort* (*STR ''set-fold-cfc Set-Monad*: *ceq = None''*) (λ-. *set-fold-cfc f b* (*Set-Monad xs*))
| *Some eq* ⇒ *List.fold* (*comp-fun-commute-apply f*) (*equal-base.list-remdups eq xs*) *b*)
(**is** *?Set-Monad*)

set-fold-cfc f′ b (DList-set dxs) =
(case ID CEQ('a) of None ⇒ Code.abort (STR ''set-fold-cfc DList-set: ceq = None'') (λ-. set-fold-cfc f′ b (DList-set dxs))
| Some - ⇒ DList-Set.fold (comp-fun-commute-apply f′) dxs b)
(is *?DList-set)*
set-fold-cfc f′′ b (RBT-set rbt) =
(case ID CCOMPARE('b) of None ⇒ Code.abort (STR ''set-fold-cfc RBT-set: ccompare = None'') (λ-. set-fold-cfc f′′ b (RBT-set rbt))
| Some - ⇒ RBT-Set2.fold (comp-fun-commute-apply f′′) rbt b)
(is *?RBT-set)*
proof −
note *fold-set-fold-remdups = comp-fun-commute-def′ comp-fun-commute-on.fold-set-fold-remdups[OF - subset-UNIV]*
show *?Set-Monad*
by(*auto split: option.split dest*!: *Collection-Eq.ID-ceq simp add: set-fold-cfc-def fold-set-fold-remdups*)
show *?DList-set*
apply(*auto split: option.splits simp add: DList-set-def*)
apply *transfer*
apply(*auto dest: Collection-Eq.ID-ceq simp add: List.member-def[abs-def] fold-set-fold-remdups distinct-remdups-id*)
done
show *?RBT-set*
apply(*auto split: option.split simp add: RBT-set-conv-keys fold-conv-fold-keys*)
apply *transfer*
apply(*simp add: fold-set-fold-remdups distinct-remdups-id linorder.distinct-keys[OF ID-ccompare] ord.is-rbt-rbt-sorted*)
done
qed *simp-all*

typedef *('a, 'b) comp-fun-idem = {f :: 'a ⇒ 'b ⇒ 'b. comp-fun-idem f}*
morphisms *comp-fun-idem-apply Abs-comp-fun-idem*
by(*rule exI*[**where** *x=λ-. id*])(*simp, unfold-locales, auto*)

setup-lifting *type-definition-comp-fun-idem*

lemma *comp-fun-idem-apply′* [*simp*]:
comp-fun-idem-on UNIV (comp-fun-idem-apply f)
using *comp-fun-idem-apply*[*of f*] **by** (*simp add: comp-fun-idem-def′*)

lift-definition *set-fold-cfi :: ('a, 'b) comp-fun-idem ⇒ 'b ⇒ 'a set ⇒ 'b* **is** *Finite-Set.fold* **.**

declare [[*code drop: set-fold-cfi*]]

lemma *set-fold-cfi-code* [*code*]:
fixes *xs :: 'a list*
and *dxs :: 'b :: ceq set-dlist*
and *rbt :: 'c :: ccompare set-rbt* **shows**

set-fold-cfi f b (Complement A) = Code.abort (STR ''set-fold-cfi not supported on Complement'') (λ-. set-fold-cfi f b (Complement A))
set-fold-cfi f b (Collect-set P) = Code.abort (STR ''set-fold-cfi not supported on Collect-set'') (λ-. set-fold-cfi f b (Collect-set P))
set-fold-cfi f b (Set-Monad xs) = List.fold (comp-fun-idem-apply f) xs b
(is *?Set-Monad*)
set-fold-cfi f' b (DList-set dxs) =
(case ID CEQ('b) of None ⇒ Code.abort (STR ''set-fold-cfi DList-set: ceq = None'') (λ-. set-fold-cfi f' b (DList-set dxs))
| Some - ⇒ DList-Set.fold (comp-fun-idem-apply f') dxs b)
(is *?DList-set*)
set-fold-cfi f'' b (RBT-set rbt) =
(case ID CCOMPARE('c) of None ⇒ Code.abort (STR ''set-fold-cfi RBT-set: ccompare = None'') (λ-. set-fold-cfi f'' b (RBT-set rbt))
| Some - ⇒ RBT-Set2.fold (comp-fun-idem-apply f'') rbt b)
(is *?RBT-set*)
proof −
show *?Set-Monad*
by(*auto split*: *option.split dest*!: *Collection-Eq.ID-ceq simp add*: *set-fold-cfi-def comp-fun-idem-def' comp-fun-idem-on.fold-set-fold*[*OF - subset-UNIV*])
show *?DList-set*
apply(*auto split*: *option.split simp add*: *DList-set-def*)
apply *transfer*
apply(*auto dest*: *Collection-Eq.ID-ceq simp add*: *List.member-def*[*abs-def*] *comp-fun-idem-def' comp-fun-idem-on.fold-set-fold*[*OF - subset-UNIV*])
done
show *?RBT-set*
apply(*auto split*: *option.split simp add*: *RBT-set-conv-keys fold-conv-fold-keys*)
apply *transfer*
apply(*simp add*: *comp-fun-idem-def' comp-fun-idem-on.fold-set-fold*[*OF - subset-UNIV*])
done
qed *simp-all*

typedef *'a semilattice-set* = {*f* :: *'a* ⇒ *'a* ⇒ *'a*. *semilattice-set f*}
morphisms *semilattice-set-apply Abs-semilattice-set*
proof
show (λ*x y*. *if x = y then x else undefined*) ∈ *?semilattice-set*
unfolding *mem-Collect-eq* **by**(*unfold-locales*) *simp-all*
qed

setup-lifting *type-definition-semilattice-set*

lemma *semilattice-set-apply'* [*simp*]:
semilattice-set (*semilattice-set-apply f*)
using *semilattice-set-apply*[*of f*] **by** *simp*

lemma *comp-fun-idem-semilattice-set-apply* [*simp*]:
comp-fun-idem-on UNIV (*semilattice-set-apply f*)

proof −
interpret *semilattice-set semilattice-set-apply f* **by** *simp*
show *?thesis* **by**(*unfold-locales*)(*simp-all add*: *fun-eq-iff left-commute*)
qed

lift-definition *set-fold1* :: *'a semilattice-set* ⇒ *'a set* ⇒ *'a* **is** *semilattice-set.F* **.**

lemma (**in** *semilattice-set*) *F-set-conv-fold*:
xs ≠ [] ⟹ *F* (*set xs*) = *Finite-Set.fold f* (*hd xs*) (*set* (*tl xs*))
by(*clarsimp simp add*: *neq-Nil-conv eq-fold*)

lemma *set-fold1-code* [*code*]:
fixes *rbt* :: *'a* :: {*ccompare*, *lattice*} *set-rbt*
and *dxs* :: *'b* :: {*ceq*, *lattice*} *set-dlist* **shows**
set-fold1-Complement[*set-complement-code*]:
set-fold1 f (*Complement A*) = *Code.abort* (*STR ''set-fold1*: *Complement''*) (λ-. *set-fold1 f* (*Complement A*))
and *set-fold1 f* (*Collect-set P*) = *Code.abort* (*STR ''set-fold1*: *Collect-set''*) (λ-. *set-fold1 f* (*Collect-set P*))
and *set-fold1 f* (*Set-Monad* (*x* # *xs*)) = *fold* (*semilattice-set-apply f*) *xs x* (**is** *?Set-Monad*)
and
set-fold1 f' (*DList-set dxs*) =
(*case ID CEQ*('*b*) *of None* ⇒ *Code.abort* (*STR ''set-fold1 DList-set*: *ceq* = *None''*) (λ-. *set-fold1 f'* (*DList-set dxs*))
| *Some* - ⇒ *if DList-Set.null dxs then Code.abort* (*STR ''set-fold1 DList-set*: *empty set''*) (λ-. *set-fold1 f'* (*DList-set dxs*))
else DList-Set.fold (*semilattice-set-apply f'*) (*DList-Set.tl dxs*) (*DList-Set.hd dxs*))
(**is** *?DList-set*)
and
set-fold1 f'' (*RBT-set rbt*) =
(*case ID CCOMPARE*('*a*) *of None* ⇒ *Code.abort* (*STR ''set-fold1 RBT-set*: *ccompare* = *None''*) (λ-. *set-fold1 f''* (*RBT-set rbt*))
| *Some* - ⇒ *if RBT-Set2.is-empty rbt then Code.abort* (*STR ''set-fold1 RBT-set*: *empty set''*) (λ-. *set-fold1 f''* (*RBT-set rbt*))
else RBT-Set2.fold1 (*semilattice-set-apply f''*) *rbt*)
(**is** *?RBT-set*)
proof −
note *fold-set-fold* = *comp-fun-idem-def' comp-fun-idem-on.fold-set-fold*[*OF - subset-UNIV*]
show *?Set-Monad*
by(*simp add*: *set-fold1-def semilattice-set.eq-fold fold-set-fold*)
show *?DList-set*
by(*simp add*: *set-fold1-def semilattice-set.F-set-conv-fold fold-set-fold DList-set-def DList-Set.Collect-member split*: *option.split*)(*transfer*, *simp*)
show *?RBT-set*
by(*simp add*: *set-fold1-def semilattice-set.F-set-conv-fold fold-set-fold RBT-set-def RBT-Set2.member-conv-keys RBT-Set2.fold1-conv-fold split*: *option.split*)

qed *simp-all*

Implementation of set operations

lemma *Collect-code* [*code*]:
fixes *P* :: *'a* :: *cenum* ⇒ *bool* **shows**
Collect P =
(*case ID CENUM('a) of None* ⇒ *Collect-set P*
| *Some* (*enum*, -) ⇒ *Set-Monad* (*filter P enum*))
by(*auto split*: *option.split dest*: *in-cenum*)

lemma *finite-code* [*code*]:
fixes *dxs* :: *'a* :: *ceq set-dlist*
and *rbt* :: *'b* :: *ccompare set-rbt*
and *A* :: *'c* :: *finite-UNIV set* **and** *P* :: *'c* ⇒ *bool* **shows**
finite (*DList-set dxs*) =
(*case ID CEQ('a) of None* ⇒ *Code.abort* (*STR ''finite DList-set*: *ceq* = *None''*) (λ-. *finite* (*DList-set dxs*))
| *Some* - ⇒ *True*)
finite (*RBT-set rbt*) =
(*case ID CCOMPARE('b) of None* ⇒ *Code.abort* (*STR ''finite RBT-set*: *ccompare* = *None''*) (λ-. *finite* (*RBT-set rbt*))
| *Some* - ⇒ *True*)
and *finite-Complement* [*set-complement-code*]:
finite (*Complement A*) ⟷
(*if of-phantom* (*finite-UNIV* :: *'c finite-UNIV*) *then True*
else if finite A then False
else Code.abort (*STR ''finite Complement*: *infinite set''*) (λ-. *finite* (*Complement A*)))
and
finite (*Set-Monad xs*) = *True*
finite (*Collect-set P*) ⟷
of-phantom (*finite-UNIV* :: *'c finite-UNIV*) ∨ *Code.abort* (*STR ''finite Collect-set''*) (λ-. *finite* (*Collect-set P*))
by(*auto simp add*: *DList-set-def RBT-set-def member-conv-keys card-gt-0-iff finite-UNIV split*: *option.split elim*: *finite-subset*[*rotated 1*])

lemma *CARD-code* [*code-unfold*]:
CARD('a :: *card-UNIV*) = *of-phantom* (*card-UNIV* :: *'a card-UNIV*)
by(*simp add*: *card-UNIV*)

lemma *card-code* [*code*]:
fixes *dxs* :: *'a* :: *ceq set-dlist* **and** *xs* :: *'a list*
and *rbt* :: *'b* :: *ccompare set-rbt*
and *A* :: *'c* :: *card-UNIV set* **shows**
card (*DList-set dxs*) =
(*case ID CEQ('a) of None* ⇒ *Code.abort* (*STR ''card DList-set*: *ceq* = *None''*) (λ-. *card* (*DList-set dxs*))
| *Some* - ⇒ *DList-Set.length dxs*)
card (*RBT-set rbt*) =

(*case ID CCOMPARE('b) of None* ⇒ *Code.abort* (*STR ''card RBT-set*: *ccompare = None''*) (λ-. *card* (*RBT-set rbt*))
| *Some* - ⇒ *length* (*RBT-Set2.keys rbt*))
card (*Set-Monad xs*) =
(*case ID CEQ('a) of None* ⇒ *Code.abort* (*STR ''card Set-Monad*: *ceq = None''*) (λ-. *card* (*Set-Monad xs*))
| *Some eq* ⇒ *length* (*equal-base.list-remdups eq xs*))
and *card-Complement* [*set-complement-code*]:
card (*Complement A*) =
(*let a = card A*; *s = CARD('c)*
in if s > 0 then s − a
else if finite A then 0
else Code.abort (*STR ''card Complement*: *infinite''*) (λ-. *card* (*Complement A*)))
by(*auto simp add*: *RBT-set-def member-conv-keys distinct-card DList-set-def Let-def card-UNIV Compl-eq-Diff-UNIV card-Diff-subset-Int card-gt-0-iff finite-subset*[*of A UNIV*] *List.card-set dest*: *Collection-Eq.ID-ceq split*: *option.split*)

lemma *is-UNIV-code* [*code*]:
fixes *rbt* :: *'a* :: {*cproper-interval*, *finite-UNIV*} *set-rbt*
and *A* :: *'b* :: *card-UNIV set* **shows**
is-UNIV A ⟷
(*let a = CARD('b)*;
b = card A
in if a > 0 then a = b
else if b > 0 then False
else Code.abort (*STR ''is-UNIV called on infinite type and set''*) (λ-. *is-UNIV A*))
(**is** *?generic*)
is-UNIV (*RBT-set rbt*) =
(*case ID CCOMPARE('a) of None* ⇒ *Code.abort* (*STR ''is-UNIV RBT-set*: *ccompare = None''*) (λ-. *is-UNIV* (*RBT-set rbt*))
| *Some* - ⇒ *of-phantom* (*finite-UNIV* :: *'a finite-UNIV*) ∧ *proper-intrvl.exhaustive-fusion cproper-interval rbt-keys-generator* (*RBT-Set2.init rbt*))
(**is** *?rbt*)
proof −
{
fix *c*
assume *linorder*: *ID CCOMPARE('a) = Some c*
have *is-UNIV* (*RBT-set rbt*) =
(*finite* (*UNIV* :: *'a set*) ∧ *proper-intrvl.exhaustive cproper-interval* (*RBT-Set2.keys rbt*))
(**is** *?lhs* ⟷ *?rhs*)
proof
assume *?lhs*
have *finite* (*UNIV* :: *'a set*)
unfolding ‹*?lhs*›[*unfolded is-UNIV-def*, *symmetric*]
using *linorder*

```
      by(simp add: finite-code)
    moreover
    hence proper-intrvl.exhaustive cproper-interval (RBT-Set2.keys rbt)
      using linorder ‹?lhs›
    by(simp add: linorder-proper-interval.exhaustive-correct[OF ID-ccompare-interval[OF
linorder]] sorted-RBT-Set-keys is-UNIV-def RBT-set-conv-keys)
    ultimately show ?rhs ..
  next
    assume ?rhs
    thus ?lhs using linorder
    by(auto simp add: linorder-proper-interval.exhaustive-correct[OF ID-ccompare-interval[OF
linorder]] sorted-RBT-Set-keys is-UNIV-def RBT-set-conv-keys)
  qed }
 thus ?rbt
  by(auto simp add: finite-UNIV proper-intrvl.exhaustive-fusion-def unfoldr-rbt-keys-generator
is-UNIV-def split: option.split)

 show ?generic
    by(auto simp add: Let-def is-UNIV-def dest: card-seteq[of UNIV A] dest!:
card-ge-0-finite)
qed

lemma is-empty-code [code]:
 fixes dxs :: 'a :: ceq set-dlist
 and rbt :: 'b :: ccompare set-rbt
 and A :: 'c set shows
 Set.is-empty (Set-Monad xs) ⟷ xs = []
 Set.is-empty (DList-set dxs) ⟷
 (case ID CEQ('a) of None ⇒ Code.abort (STR ''is-empty DList-set: ceq = None'')
(λ-. Set.is-empty (DList-set dxs))
              | Some - ⇒ DList-Set.null dxs) (is ?DList-set)
 Set.is-empty (RBT-set rbt) ⟷
 (case ID CCOMPARE('b) of None ⇒ Code.abort (STR ''is-empty RBT-set: ccom-
pare = None'') (λ-. Set.is-empty (RBT-set rbt))
              | Some - ⇒ RBT-Set2.is-empty rbt) (is ?RBT-set)
 and is-empty-Complement [set-complement-code]:
 Set.is-empty (Complement A) ⟷ is-UNIV A (is ?Complement)
proof −
 show ?DList-set
  by(clarsimp simp add: DList-set-def Set.is-empty-def DList-Set.member-empty-empty
split: option.split)

 show ?RBT-set
  by(clarsimp simp add: RBT-set-def Set.is-empty-def RBT-Set2.member-empty-empty[symmetric]
fun-eq-iff simp del: RBT-Set2.member-empty-empty split: option.split)

 show ?Complement
   by(auto simp add: is-UNIV-def Set.is-empty-def)
qed(simp-all add: Set.is-empty-def List.null-def)
```

lemma *Set-insert-code* [*code*]:
fixes *dxs* :: *'a* :: *ceq set-dlist*
and *rbt* :: *'b* :: *ccompare set-rbt* **shows**
$\bigwedge x$. *Set.insert x* (*Collect-set A*) =
(*case ID CEQ('a) of None* ⇒ *Code.abort* (*STR ''insert Collect-set*: *ceq* = *None''*) (λ-. *Set.insert x* (*Collect-set A*))
| *Some eq* ⇒ *Collect-set* (*equal-base.fun-upd eq A x True*))
$\bigwedge x$. *Set.insert x* (*Set-Monad xs*) = *Set-Monad* (*x* # *xs*)
$\bigwedge x$. *Set.insert x* (*DList-set dxs*) =
(*case ID CEQ('a) of None* ⇒ *Code.abort* (*STR ''insert DList-set*: *ceq* = *None''*) (λ-. *Set.insert x* (*DList-set dxs*))
| *Some* - ⇒ *DList-set* (*DList-Set.insert x dxs*))
$\bigwedge x$. *Set.insert x* (*RBT-set rbt*) =
(*case ID CCOMPARE('b) of None* ⇒ *Code.abort* (*STR ''insert RBT-set*: *ccompare* = *None''*) (λ-. *Set.insert x* (*RBT-set rbt*))
| *Some* - ⇒ *RBT-set* (*RBT-Set2.insert x rbt*))
and *insert-Complement* [*set-complement-code*]:
$\bigwedge x$. *Set.insert x* (*Complement X*) = *Complement* (*Set.remove x X*)
by(*auto split*: *option.split dest*: *equal.equal-eq*[*OF ID-ceq*] *simp add*: *DList-set-def DList-Set.member-insert RBT-set-def*)

lemma *Set-member-code* [*code*]:
fixes *xs* :: *'a* :: *ceq list* **shows**
$\bigwedge x$. *x* ∈ *Collect-set A* ⟷ *A x*
$\bigwedge x$. *x* ∈ *DList-set dxs* ⟷ *DList-Set.member dxs x*
$\bigwedge x$. *x* ∈ *RBT-set rbt* ⟷ *RBT-Set2.member rbt x*
and *mem-Complement* [*set-complement-code*]:
$\bigwedge x$. *x* ∈ *Complement X* ⟷ *x* ∉ *X*
and
$\bigwedge x$. *x* ∈ *Set-Monad xs* ⟷
(*case ID CEQ('a) of None* ⇒ *Code.abort* (*STR ''member Set-Monad*: *ceq* = *None''*) (λ-. *x* ∈ *Set-Monad xs*)
| *Some eq* ⇒ *equal-base.list-member eq xs x*)
by(*auto simp add*: *DList-set-def RBT-set-def List.member-def split*: *option.split dest*!: *Collection-Eq.ID-ceq*)

lemma *Set-remove-code* [*code*]:
fixes *rbt* :: *'a* :: *ccompare set-rbt*
and *dxs* :: *'b* :: *ceq set-dlist* **shows**
$\bigwedge x$. *Set.remove x* (*Collect-set A*) =
(*case ID CEQ('b) of None* ⇒ *Code.abort* (*STR ''remove Collect*: *ceq* = *None''*) (λ-. *Set.remove x* (*Collect-set A*))
| *Some eq* ⇒ *Collect-set* (*equal-base.fun-upd eq A x False*))
$\bigwedge x$. *Set.remove x* (*DList-set dxs*) =
(*case ID CEQ('b) of None* ⇒ *Code.abort* (*STR ''remove DList-set*: *ceq* = *None''*) (λ-. *Set.remove x* (*DList-set dxs*))
| *Some* - ⇒ *DList-set* (*DList-Set.remove x dxs*))
$\bigwedge x$. *Set.remove x* (*RBT-set rbt*) =

(*case ID CCOMPARE('a) of None* ⇒ *Code.abort* (*STR ''remove RBT-set*: *ccompare = None''*) (λ-. *Set.remove x* (*RBT-set rbt*))
| *Some* - ⇒ *RBT-set* (*RBT-Set2.remove x rbt*))
and *remove-Complement* [*set-complement-code*]:
⋀*x A. Set.remove x* (*Complement A*) = *Complement* (*Set.insert x A*)
by(*auto split*: *option.split if-split-asm dest*: *equal.equal-eq*[*OF ID-ceq*] *simp add*: *DList-set-def DList-Set.member-remove RBT-set-def*)

lemma *Set-uminus-code* [*code*, *set-complement-code*]:
− *A* = *Complement A*
− (*Collect-set P*) = *Collect-set* (λ*x*. ¬ *P x*)
− (*Complement B*) = *B*
by *auto*

These equations represent complements as true complements. If you want that the complement operations returns an explicit enumeration of the elements, use the following set of equations which use *cenum*.

lemma *Set-uminus-cenum*:
fixes *A* :: *'a* :: *cenum set* **shows**
− *A* =
(*case ID CENUM('a) of None* ⇒ *Complement A*
| *Some* (*enum*, -) ⇒ *Set-Monad* (*filter* (λ*x*. *x* ∉ *A*) *enum*))
and − (*Complement B*) = *B*
by(*auto split*: *option.split dest*: *ID-cEnum*)

lemma *Set-minus-code* [*code*]:
fixes *rbt1 rbt2* :: *'a* :: *ccompare set-rbt*
shows *A* − *B* = *A* ∩ (− *B*)
RBT-set rbt1 − *RBT-set rbt2* =
(*case ID CCOMPARE('a) of None* ⇒ *Code.abort* (*STR ''minus RBT-set RBT-set*: *ccompare = None''*) (λ-. *RBT-set rbt1* − *RBT-set rbt2*)
| *Some* - ⇒ *RBT-set* (*RBT-Set2.minus rbt1 rbt2*))
by (*auto simp*: *Set-member-code*(*3*) *split*: *option.splits*)

lemma *Set-union-code* [*code*]:
fixes *rbt1 rbt2* :: *'a* :: *ccompare set-rbt*
and *rbt* :: *'b* :: {*ccompare*, *ceq*} *set-rbt*
and *dxs* :: *'b set-dlist*
and *dxs1 dxs2* :: *'c* :: *ceq set-dlist* **shows**
RBT-set rbt1 ∪ *RBT-set rbt2* =
(*case ID CCOMPARE('a) of None* ⇒ *Code.abort* (*STR ''union RBT-set RBT-set*: *ccompare = None''*) (λ-. *RBT-set rbt1* ∪ *RBT-set rbt2*)
| *Some* - ⇒ *RBT-set* (*RBT-Set2.union rbt1 rbt2*)) (**is** *?RBT-set-RBT-set*)
RBT-set rbt ∪ *DList-set dxs* =
(*case ID CCOMPARE('b) of None* ⇒ *Code.abort* (*STR ''union RBT-set DList-set*: *ccompare = None''*) (λ-. *RBT-set rbt* ∪ *DList-set dxs*)
| *Some* - ⇒
case ID CEQ('b) of None ⇒ *Code.abort* (*STR ''union RBT-set DList-set*:

ceq = *None''*) (λ-. *RBT-set rbt* ∪ *DList-set dxs*)
| *Some* - ⇒ *RBT-set* (*DList-Set.fold RBT-Set2.insert dxs rbt*))
(**is** *?RBT-set-DList-set*)
DList-set dxs ∪ *RBT-set rbt* =
(*case ID CCOMPARE('b) of None* ⇒ *Code.abort* (*STR ''union DList-set RBT-set*: *ccompare* = *None''*) (λ-. *RBT-set rbt* ∪ *DList-set dxs*)
| *Some* - ⇒
case ID CEQ('b) of None ⇒ *Code.abort* (*STR ''union DList-set RBT-set*: *ceq* = *None''*) (λ-. *RBT-set rbt* ∪ *DList-set dxs*)
| *Some* - ⇒ *RBT-set* (*DList-Set.fold RBT-Set2.insert dxs rbt*))
(**is** *?DList-set-RBT-set*)
DList-set dxs1 ∪ *DList-set dxs2* =
(*case ID CEQ('c) of None* ⇒ *Code.abort* (*STR ''union DList-set DList-set*: *ceq* = *None''*) (λ-. *DList-set dxs1* ∪ *DList-set dxs2*)
| *Some* - ⇒ *DList-set* (*DList-Set.union dxs1 dxs2*)) (**is** *?DList-set-DList-set*)
Set-Monad zs ∪ *RBT-set rbt2* =
(*case ID CCOMPARE('a) of None* ⇒ *Code.abort* (*STR ''union Set-Monad RBT-set*: *ccompare* = *None''*) (λ-. *Set-Monad zs* ∪ *RBT-set rbt2*)
| *Some* - ⇒ *RBT-set* (*fold RBT-Set2.insert zs rbt2*)) (**is** *?Set-Monad-RBT-set*)
RBT-set rbt1 ∪ *Set-Monad zs* =
(*case ID CCOMPARE('a) of None* ⇒ *Code.abort* (*STR ''union RBT-set Set-Monad*: *ccompare* = *None''*) (λ-. *RBT-set rbt1* ∪ *Set-Monad zs*)
| *Some* - ⇒ *RBT-set* (*fold RBT-Set2.insert zs rbt1*)) (**is** *?RBT-set-Set-Monad*)
Set-Monad ws ∪ *DList-set dxs2* =
(*case ID CEQ('c) of None* ⇒ *Code.abort* (*STR ''union Set-Monad DList-set*: *ceq* = *None''*) (λ-. *Set-Monad ws* ∪ *DList-set dxs2*)
| *Some* - ⇒ *DList-set* (*fold DList-Set.insert ws dxs2*)) (**is** *?Set-Monad-DList-set*)
DList-set dxs1 ∪ *Set-Monad ws* =
(*case ID CEQ('c) of None* ⇒ *Code.abort* (*STR ''union DList-set Set-Monad*: *ceq* = *None''*) (λ-. *DList-set dxs1* ∪ *Set-Monad ws*)
| *Some* - ⇒ *DList-set* (*fold DList-Set.insert ws dxs1*)) (**is** *?DList-set-Set-Monad*)
Set-Monad xs ∪ *Set-Monad ys* = *Set-Monad* (*xs* @ *ys*)
Collect-set A ∪ *B* = *Collect-set* (λ*x*. *A x* ∨ *x* ∈ *B*)
B ∪ *Collect-set A* = *Collect-set* (λ*x*. *A x* ∨ *x* ∈ *B*)
and *Set-union-Complement* [*set-complement-code*]:
Complement B ∪ *B'* = *Complement* (*B* ∩ − *B'*)
B' ∪ *Complement B* = *Complement* (− *B'* ∩ *B*)
proof −
show *?RBT-set-RBT-set ?Set-Monad-RBT-set ?RBT-set-Set-Monad*
by(*auto split*: *option.split simp add*: *RBT-set-def*)

show *?RBT-set-DList-set ?DList-set-RBT-set*
by(*auto split*: *option.split simp add*: *RBT-set-def DList-set-def DList-Set.fold-def DList-Set.member-def List.member-def dest*: *equal.equal-eq*[*OF ID-ceq*])

show *?DList-set-Set-Monad ?Set-Monad-DList-set*
by(*auto split*: *option.split simp add*: *DList-set-def DList-Set.member-fold-insert*)

show *?DList-set-DList-set*
by(*auto split*: *option.split simp add*: *DList-set-def DList-Set.member-union*)
qed(*auto*)

lemma *Set-inter-code* [*code*]:
fixes *rbt1 rbt2* :: *'a* :: *ccompare set-rbt*
and *rbt* :: *'b* :: {*ccompare*, *ceq*} *set-rbt*
and *dxs* :: *'b set-dlist*
and *dxs1 dxs2* :: *'c* :: *ceq set-dlist*
and *xs1 xs2* :: *'c list*
shows
Collect-set A'' ∩ J = Collect-set (λx. A'' x ∧ x ∈ J) (**is** *?collect1*)
J ∩ Collect-set A'' = Collect-set (λx. A'' x ∧ x ∈ J) (**is** *?collect2*)

Set-Monad xs'' ∩ I = Set-Monad (filter (λx. x ∈ I) xs'') (**is** *?monad1*)
I ∩ Set-Monad xs'' = Set-Monad (filter (λx. x ∈ I) xs'') (**is** *?monad2*)

DList-set dxs1 ∩ H =
(case ID CEQ('c) of None ⇒ Code.abort (STR ''inter DList-set1: ceq = None'') (λ-. DList-set dxs1 ∩ H)
| Some eq ⇒ DList-set (DList-Set.filter (λx. x ∈ H) dxs1)) (**is** *?dlist1*)
H ∩ DList-set dxs2 =
(case ID CEQ('c) of None ⇒ Code.abort (STR ''inter DList-set2: ceq = None'') (λ-. H ∩ DList-set dxs2)
| Some eq ⇒ DList-set (DList-Set.filter (λx. x ∈ H) dxs2)) (**is** *?dlist2*)

RBT-set rbt1 ∩ G =
(case ID CCOMPARE('a) of None ⇒ Code.abort (STR ''inter RBT-set1: ccompare = None'') (λ-. RBT-set rbt1 ∩ G)
| Some - ⇒ RBT-set (RBT-Set2.filter (λx. x ∈ G) rbt1)) (**is** *?rbt1*)
G ∩ RBT-set rbt2 =
(case ID CCOMPARE('a) of None ⇒ Code.abort (STR ''inter RBT-set2: ccompare = None'') (λ-. G ∩ RBT-set rbt2)
| Some - ⇒ RBT-set (RBT-Set2.filter (λx. x ∈ G) rbt2)) (**is** *?rbt2*)
and *Set-inter-Complement* [*set-complement-code*]:
Complement B'' ∩ Complement B''' = Complement (B'' ∪ B''') (**is** *?complement*)
and
Set-Monad xs ∩ RBT-set rbt1 =
(case ID CCOMPARE('a) of None ⇒ Code.abort (STR ''inter Set-Monad RBT-set: ccompare = None'') (λ-. RBT-set rbt1 ∩ Set-Monad xs)
| Some - ⇒ RBT-set (RBT-Set2.inter-list rbt1 xs)) (**is** *?monad-rbt*)

Set-Monad xs′ ∩ DList-set dxs2 =
(case ID CEQ('c) of None ⇒ Code.abort (STR ''inter Set-Monad DList-set: ceq = None'') (λ-. Set-Monad xs′ ∩ DList-set dxs2)
| Some eq ⇒ DList-set (DList-Set.filter (equal-base.list-member eq xs′) dxs2)) (**is** *?monad-dlist*)
Set-Monad xs1 ∩ Set-Monad xs2 =
(case ID CEQ('c) of None ⇒ Code.abort (STR ''inter Set-Monad Set-Monad: ceq = None'') (λ-. Set-Monad xs1 ∩ Set-Monad xs2)
| Some eq ⇒ Set-Monad (filter (equal-base.list-member eq xs2) xs1)) (**is** *?monad*)

DList-set dxs ∩ RBT-set rbt =
(case ID CCOMPARE('b) of None ⇒ Code.abort (STR ''inter DList-set RBT-set: ccompare = None'') (λ-. DList-set dxs ∩ RBT-set rbt)
| Some - ⇒
case ID CEQ('b) of None ⇒ Code.abort (STR ''inter DList-set RBT-set: ceq = None'') (λ-. DList-set dxs ∩ RBT-set rbt)
| Some - ⇒ RBT-set (RBT-Set2.inter-list rbt (list-of-dlist dxs))) (**is** *?dlist-rbt*)
DList-set dxs1 ∩ DList-set dxs2 =
(case ID CEQ('c) of None ⇒ Code.abort (STR ''inter DList-set DList-set: ceq = None'') (λ-. DList-set dxs1 ∩ DList-set dxs2)
| Some - ⇒ DList-set (DList-Set.filter (DList-Set.member dxs2) dxs1)) (**is** *?dlist*)
DList-set dxs1 ∩ Set-Monad xs′ =
(case ID CEQ('c) of None ⇒ Code.abort (STR ''inter DList-set Set-Monad: ceq = None'') (λ-. DList-set dxs1 ∩ Set-Monad xs′)
| Some eq ⇒ DList-set (DList-Set.filter (equal-base.list-member eq xs′) dxs1)) (**is** *?dlist-monad*)

RBT-set rbt1 ∩ RBT-set rbt2 =
(case ID CCOMPARE('a) of None ⇒ Code.abort (STR ''inter RBT-set RBT-set: ccompare = None'') (λ-. RBT-set rbt1 ∩ RBT-set rbt2)
| Some - ⇒ RBT-set (RBT-Set2.inter rbt1 rbt2)) (**is** *?rbt-rbt*)
RBT-set rbt ∩ DList-set dxs =
(case ID CCOMPARE('b) of None ⇒ Code.abort (STR ''inter RBT-set DList-set: ccompare = None'') (λ-. RBT-set rbt ∩ DList-set dxs)
| Some - ⇒
case ID CEQ('b) of None ⇒ Code.abort (STR ''inter RBT-set DList-set: ceq = None'') (λ-. RBT-set rbt ∩ DList-set dxs)
| Some - ⇒ RBT-set (RBT-Set2.inter-list rbt (list-of-dlist dxs))) (**is** *?rbt-dlist*)
RBT-set rbt1 ∩ Set-Monad xs =
(case ID CCOMPARE('a) of None ⇒ Code.abort (STR ''inter RBT-set Set-Monad: ccompare = None'') (λ-. RBT-set rbt1 ∩ Set-Monad xs)
| Some - ⇒ RBT-set (RBT-Set2.inter-list rbt1 xs)) (**is** *?rbt-monad*)

proof −
show *?rbt-rbt ?rbt1 ?rbt2 ?rbt-dlist ?rbt-monad ?dlist-rbt ?monad-rbt*

by(*auto simp add*: *RBT-set-def DList-set-def DList-Set.member-def List.member-def dest*: *equal.equal-eq*[*OF ID-ceq*] *split*: *option.split*)
show *?dlist ?dlist1 ?dlist2 ?dlist-monad ?monad-dlist ?monad ?monad1 ?monad2 ?collect1 ?collect2 ?complement*
by(*auto simp add*: *DList-set-def List.member-def dest*!: *Collection-Eq.ID-ceq split*: *option.splits*)
qed

lemma *Set-bind-code* [*code*]:
fixes *dxs* :: *'a* :: *ceq set-dlist*
and *rbt* :: *'b* :: *ccompare set-rbt* **shows**
Set.bind (*Set-Monad xs*) *f* = *fold* ((∪) ∘ *f*) *xs* (*Set-Monad* []) (**is** *?Set-Monad*)
Set.bind (*DList-set dxs*) *f'* =
(*case ID CEQ*('*a*) *of None* ⇒ *Code.abort* (*STR ''bind DList-set*: *ceq* = *None''*) (λ-. *Set.bind* (*DList-set dxs*) *f'*)
| *Some* - ⇒ *DList-Set.fold* (*union* ∘ *f'*) *dxs* {}) (**is** *?DList*)
Set.bind (*RBT-set rbt*) *f''* =
(*case ID CCOMPARE*('*b*) *of None* ⇒ *Code.abort* (*STR ''bind RBT-set*: *ccompare* = *None''*) (λ-. *Set.bind* (*RBT-set rbt*) *f''*)
| *Some* - ⇒ *RBT-Set2.fold* (*union* ∘ *f''*) *rbt* {}) (**is** *?RBT*)
proof −
show *?Set-Monad* **by**(*simp add*: *set-bind-conv-fold*)
show *?DList* **by**(*auto simp add*: *DList-set-def DList-Set.member-def List.member-def List.member-def*[*abs-def*] *set-bind-conv-fold DList-Set.fold-def split*: *option.split dest*: *equal.equal-eq*[*OF ID-ceq*] *ID-ceq*)
show *?RBT* **by**(*clarsimp split*: *option.split simp add*: *RBT-set-def RBT-Set2.fold-conv-fold-keys RBT-Set2.member-conv-keys set-bind-conv-fold*)
qed

lemma *UNIV-code* [*code*]: *UNIV* = − {}
by(*simp*)

lift-definition *inf-sls* :: *'a* :: *lattice semilattice-set* **is** *inf* **by** *unfold-locales*

lemma *Inf-fin-code* [*code*]: *Inf-fin A* = *set-fold1 inf-sls A*
by *transfer*(*simp add*: *Inf-fin-def*)

lift-definition *sup-sls* :: *'a* :: *lattice semilattice-set* **is** *sup* **by** *unfold-locales*

lemma *Sup-fin-code* [*code*]: *Sup-fin A* = *set-fold1 sup-sls A*
by *transfer*(*simp add*: *Sup-fin-def*)

lift-definition *inf-cfi* :: (*'a* :: *lattice*, *'a*) *comp-fun-idem* **is** *inf*
by(*rule comp-fun-idem-inf*)

lemma *Inf-code*:
fixes *A* :: *'a* :: *complete-lattice set* **shows**
Inf A = (*if finite A then set-fold-cfi inf-cfi top A else Code.abort* (*STR ''Inf*: *infinite''*) (λ-. *Inf A*))

by *transfer*(*simp add*: *Inf-fold-inf*)

lift-definition *sup-cfi* :: ('*a* :: *lattice*, '*a*) *comp-fun-idem* **is** *sup*
by(*rule comp-fun-idem-sup*)

lemma *Sup-code*:
fixes *A* :: '*a* :: *complete-lattice set* **shows**
Sup A = (*if finite A then set-fold-cfi sup-cfi bot A else Code.abort* (*STR* ''*Sup*: *infinite*'') (λ-. *Sup A*))
by *transfer*(*simp add*: *Sup-fold-sup*)

lemmas *Inter-code* [*code*] = *Inf-code*[**where** *?'a* = - :: *type set*]
lemmas *Union-code* [*code*] = *Sup-code*[**where** *?'a* = - :: *type set*]
lemmas *Predicate-Inf-code* [*code*] = *Inf-code*[**where** *?'a* = - :: *type Predicate.pred*]
lemmas *Predicate-Sup-code* [*code*] = *Sup-code*[**where** *?'a* = - :: *type Predicate.pred*]
lemmas *Inf-fun-code* [*code*] = *Inf-code*[**where** *?'a* = - :: *type* ⇒ - :: *complete-lattice*]
lemmas *Sup-fun-code* [*code*] = *Sup-code*[**where** *?'a* = - :: *type* ⇒ - :: *complete-lattice*]

lift-definition *min-sls* :: '*a* :: *linorder semilattice-set* **is** *min* **by** *unfold-locales*

lemma *Min-code* [*code*]: *Min A* = *set-fold1 min-sls A*
by *transfer*(*simp add*: *Min-def*)

lift-definition *max-sls* :: '*a* :: *linorder semilattice-set* **is** *max* **by** *unfold-locales*

lemma *Max-code* [*code*]: *Max A* = *set-fold1 max-sls A*
by *transfer*(*simp add*: *Max-def*)

We do not implement *Ball*, *Bex*, and *sorted-list-of-set* for *Collect-set* using *CENUM*('*a*), because it should already have been converted to an explicit list of elements if that is possible.

lemma *Ball-code* [*code*]:
fixes *rbt* :: '*a* :: *ccompare set-rbt*
and *dxs* :: '*b* :: *ceq set-dlist* **shows**
Ball (*Set-Monad xs*) *P* = *list-all P xs*
Ball (*DList-set dxs*) *P'* =
(*case ID CEQ*('*b*) *of None* ⇒ *Code.abort* (*STR* ''*Ball DList-set*: *ceq* = *None*'') (λ-. *Ball* (*DList-set dxs*) *P'*)
| *Some* - ⇒ *DList-Set.dlist-all P' dxs*)
Ball (*RBT-set rbt*) *P''* =
(*case ID CCOMPARE*('*a*) *of None* ⇒ *Code.abort* (*STR* ''*Ball RBT-set*: *ccompare* = *None*'') (λ-. *Ball* (*RBT-set rbt*) *P''*)
| *Some* - ⇒ *RBT-Set2.all P'' rbt*)
by(*simp-all add*: *DList-set-def RBT-set-def list-all-iff dlist-all-conv-member RBT-Set2.all-conv-all-member split*: *option.splits*)

lemma *Bex-code* [*code*]:
fixes *rbt* :: '*a* :: *ccompare set-rbt*
and *dxs* :: '*b* :: *ceq set-dlist* **shows**

Bex (*Set-Monad xs*) *P* = *list-ex P xs*
Bex (*DList-set dxs*) *P′* =
(*case ID CEQ*(′*b*) *of None* ⇒ *Code.abort* (*STR* ′′*Bex DList-set*: *ceq* = *None*′′)
(λ-. *Bex* (*DList-set dxs*) *P′*)
| *Some* - ⇒ *DList-Set.dlist-ex P′ dxs*)
Bex (*RBT-set rbt*) *P′′* =
(*case ID CCOMPARE*(′*a*) *of None* ⇒ *Code.abort* (*STR* ′′*Bex RBT-set*: *ccompare* = *None*′′) (λ-. *Bex* (*RBT-set rbt*) *P′′*)
| *Some* - ⇒ *RBT-Set2.ex P′′ rbt*)
by(*simp-all add*: *DList-set-def RBT-set-def list-ex-iff dlist-ex-conv-member RBT-Set2.ex-conv-ex-member split*: *option.splits*)

lemma *csorted-list-of-set-code* [*code*]:
fixes *rbt* :: ′*a* :: *ccompare set-rbt*
and *dxs* :: ′*b* :: {*ccompare*, *ceq*} *set-dlist*
and *xs* :: ′*a* :: *ccompare list* **shows**
csorted-list-of-set (*RBT-set rbt*) =
(*case ID CCOMPARE*(′*a*) *of None* ⇒ *Code.abort* (*STR* ′′*csorted-list-of-set RBT-set*: *ccompare* = *None*′′) (λ-. *csorted-list-of-set* (*RBT-set rbt*))
| *Some* - ⇒ *RBT-Set2.keys rbt*)
csorted-list-of-set (*DList-set dxs*) =
(*case ID CEQ*(′*b*) *of None* ⇒ *Code.abort* (*STR* ′′*csorted-list-of-set DList-set*: *ceq* = *None*′′) (λ-. *csorted-list-of-set* (*DList-set dxs*))
| *Some* - ⇒
case ID CCOMPARE(′*b*) *of None* ⇒ *Code.abort* (*STR* ′′*csorted-list-of-set DList-set*: *ccompare* = *None*′′) (λ-. *csorted-list-of-set* (*DList-set dxs*))
| *Some c* ⇒ *ord.quicksort* (*lt-of-comp c*) (*list-of-dlist dxs*))
csorted-list-of-set (*Set-Monad xs*) =
(*case ID CCOMPARE*(′*a*) *of None* ⇒ *Code.abort* (*STR* ′′*csorted-list-of-set Set-Monad*: *ccompare* = *None*′′) (λ-. *csorted-list-of-set* (*Set-Monad xs*))
| *Some c* ⇒ *ord.remdups-sorted* (*lt-of-comp c*) (*ord.quicksort* (*lt-of-comp c*) *xs*))
by(*auto split*: *option.split simp add*: *RBT-set-def DList-set-def DList-Set.Collect-member member-conv-keys sorted-RBT-Set-keys linorder.sorted-list-of-set-sort-remdups*[*OF ID-ccompare*] *linorder.quicksort-conv-sort*[*OF ID-ccompare*] *distinct-remdups-id distinct-list-of-dlist linorder.remdups-sorted-conv-remdups*[*OF ID-ccompare*] *linorder.sorted-sort*[*OF ID-ccompare*] *linorder.sort-remdups*[*OF ID-ccompare*] *csorted-list-of-set-def*)

lemma *cless-set-code* [*code*]:
fixes *rbt rbt′* :: ′*a* :: *ccompare set-rbt*
and *rbt1 rbt2* :: ′*b* :: *cproper-interval set-rbt*
and *A B* :: ′*a set*
and *A′ B′* :: ′*b set* **shows**
cless-set A B ⟷
(*case ID CCOMPARE*(′*a*) *of None* ⇒ *Code.abort* (*STR* ′′*cless-set*: *ccompare* = *None*′′) (λ-. *cless-set A B*)
| *Some c* ⇒
if finite A ∧ *finite B then ord.lexordp* (λ*x y*. *lt-of-comp c y x*) (*csorted-list-of-set A*) (*csorted-list-of-set B*)

else Code.abort (STR ''cless-set: infinite set'') (λ-. cless-set A B))
(**is** *?fin-fin*)
and *cless-set-Complement2* [*set-complement-code*]:
cless-set A′ (Complement B′) ⟷
(case ID CCOMPARE('b) of None ⇒ Code.abort (STR ''cless-set Complement2: ccompare = None'') (λ-. cless-set A′ (Complement B′))
| Some c ⇒
if finite A′ ∧ finite B′ then
finite (UNIV :: 'b set) ⟶
proper-intrvl.set-less-aux-Compl (lt-of-comp c) cproper-interval None (csorted-list-of-set A′) (csorted-list-of-set B′)
else Code.abort (STR ''cless-set Complement2: infinite set'') (λ-. cless-set A′ (Complement B′)))
(**is** *?fin-Compl-fin*)
and *cless-set-Complement1* [*set-complement-code*]:
cless-set (Complement A′) B′ ⟷
(case ID CCOMPARE('b) of None ⇒ Code.abort (STR ''cless-set Complement1: ccompare = None'') (λ-. cless-set (Complement A′) B′)
| Some c ⇒
if finite A′ ∧ finite B′ then
finite (UNIV :: 'b set) ∧
proper-intrvl.Compl-set-less-aux (lt-of-comp c) cproper-interval None (csorted-list-of-set A′) (csorted-list-of-set B′)
else Code.abort (STR ''cless-set Complement1: infinite set'') (λ-. cless-set (Complement A′) B′))
(**is** *?Compl-fin-fin*)
and *cless-set-Complement12* [*set-complement-code*]:
cless-set (Complement A) (Complement B) ⟷
(case ID CCOMPARE('a) of None ⇒ Code.abort (STR ''cless-set Complement Complement: ccompare = None'') (λ-. cless-set (Complement A) (Complement B))
| Some - ⇒ cless B A) (**is** *?Compl-Compl*)
and
cless-set (RBT-set rbt) (RBT-set rbt′) ⟷
(case ID CCOMPARE('a) of None ⇒ Code.abort (STR ''cless-set RBT-set RBT-set: ccompare = None'') (λ-. cless-set (RBT-set rbt) (RBT-set rbt′))
| Some c ⇒ ord.lexord-fusion (λx y. lt-of-comp c y x) rbt-keys-generator rbt-keys-generator (RBT-Set2.init rbt) (RBT-Set2.init rbt′))
(**is** *?rbt-rbt*)
and *cless-set-rbt-Complement2* [*set-complement-code*]:
cless-set (RBT-set rbt1) (Complement (RBT-set rbt2)) ⟷
(case ID CCOMPARE('b) of None ⇒ Code.abort (STR ''cless-set RBT-set (Complement RBT-set): ccompare = None'') (λ-. cless-set (RBT-set rbt1) (Complement (RBT-set rbt2)))
| Some c ⇒
finite (UNIV :: 'b set) ⟶
proper-intrvl.set-less-aux-Compl-fusion (lt-of-comp c) cproper-interval rbt-keys-generator rbt-keys-generator None (RBT-Set2.init rbt1) (RBT-Set2.init rbt2))
(**is** *?rbt-Compl*)
and *cless-set-rbt-Complement1* [*set-complement-code*]:

cless-set (*Complement* (*RBT-set rbt1*)) (*RBT-set rbt2*) $\longleftrightarrow$
(*case ID CCOMPARE*('*b*) *of None* $\Rightarrow$ *Code.abort* (*STR* ''*cless-set* (*Complement RBT-set*) *RBT-set*: *ccompare* = *None*'') (λ-. *cless-set* (*Complement* (*RBT-set rbt1*)) (*RBT-set rbt2*))
| *Some c* $\Rightarrow$
finite (*UNIV* :: '*b set*) $\wedge$
proper-intrvl.Compl-set-less-aux-fusion (*lt-of-comp c*) *cproper-interval rbt-keys-generator rbt-keys-generator None* (*RBT-Set2.init rbt1*) (*RBT-Set2.init rbt2*))
(**is** *?Compl-rbt*)
proof −
note [*split*] = *option.split csorted-list-of-set-split*
and [*simp*] =
le-of-comp-of-ords-linorder[*OF ID-ccompare*]
lt-of-comp-of-ords
finite-subset[*OF subset-UNIV*] *ccompare-set-def ID-Some*
ord.lexord-fusion-def
proper-intrvl.Compl-set-less-aux-fusion-def
proper-intrvl.set-less-aux-Compl-fusion-def
unfoldr-rbt-keys-generator
RBT-set-def sorted-RBT-Set-keys member-conv-keys
linorder.set-less-finite-iff[*OF ID-ccompare*]
linorder.set-less-aux-code[*OF ID-ccompare*, *symmetric*]
linorder.Compl-set-less-Compl[*OF ID-ccompare*]
linorder.infinite-set-less-Complement[*OF ID-ccompare*]
linorder.infinite-Complement-set-less[*OF ID-ccompare*]
linorder-proper-interval.set-less-aux-Compl2-conv-set-less-aux-Compl[*OF ID-ccompare-interval*, *symmetric*]
linorder-proper-interval.Compl1-set-less-aux-conv-Compl-set-less-aux[*OF ID-ccompare-interval*, *symmetric*]

show *?Compl-Compl* **by** *simp*
show *?rbt-rbt*
by *auto*
show *?rbt-Compl* **by**(*cases finite* (*UNIV* :: '*b set*)) *auto*
show *?Compl-rbt* **by**(*cases finite* (*UNIV* :: '*b set*)) *auto*
show *?fin-fin* **by** *auto*
show *?fin-Compl-fin* **by**(*cases finite* (*UNIV* :: '*b set*), *auto*)
show *?Compl-fin-fin* **by**(*cases finite* (*UNIV* :: '*b set*)) *auto*
qed

lemma *le-of-comp-set-less-eq*:
le-of-comp (*comp-of-ords* (*ord.set-less-eq le*) (*ord.set-less le*)) = *ord.set-less-eq le*
by (*rule le-of-comp-of-ords-gen*, *simp add*: *ord.set-less-def*)

lemma *cless-eq-set-code* [*code*]:
fixes *rbt rbt'* :: '*a* :: *ccompare set-rbt*
and *rbt1 rbt2* :: '*b* :: *cproper-interval set-rbt*
and *A B* :: '*a set*
and *A' B'* :: '*b set* **shows**

cless-eq-set A B $\longleftrightarrow$
(*case ID CCOMPARE('a) of None* $\Rightarrow$ *Code.abort* (*STR ''cless-eq-set: ccompare = None''*) (λ-. *cless-eq-set A B*)
| *Some c* $\Rightarrow$
if finite A $\wedge$ *finite B then*
ord.lexordp-eq ($\lambda x\ y$. *lt-of-comp c y x*) (*csorted-list-of-set A*) (*csorted-list-of-set B*)
else Code.abort (*STR ''cless-eq-set: infinite set''*) (λ-. *cless-eq-set A B*))
(**is** *?fin-fin*)
and *cless-eq-set-Complement2* [*set-complement-code*]:
cless-eq-set A' (Complement B') $\longleftrightarrow$
(*case ID CCOMPARE('b) of None* $\Rightarrow$ *Code.abort* (*STR ''cless-eq-set Complement2: ccompare = None''*) (λ-. *cless-eq-set A' (Complement B')*)
| *Some c* $\Rightarrow$
if finite A' $\wedge$ *finite B' then*
finite (UNIV :: 'b set) $\longrightarrow$
proper-intrvl.set-less-eq-aux-Compl (*lt-of-comp c*) *cproper-interval None* (*csorted-list-of-set A'*) (*csorted-list-of-set B'*)
else Code.abort (*STR ''cless-eq-set Complement2: infinite set''*) (λ-. *cless-eq-set A' (Complement B')*))
(**is** *?fin-Compl-fin*)
and *cless-eq-set-Complement1* [*set-complement-code*]:
cless-eq-set (Complement A') B' $\longleftrightarrow$
(*case ID CCOMPARE('b) of None* $\Rightarrow$ *Code.abort* (*STR ''cless-eq-set Complement1: ccompare = None''*) (λ-. *cless-eq-set (Complement A') B'*)
| *Some c* $\Rightarrow$
if finite A' $\wedge$ *finite B' then*
finite (UNIV :: 'b set) $\wedge$
proper-intrvl.Compl-set-less-eq-aux (*lt-of-comp c*) *cproper-interval None* (*csorted-list-of-set A'*) (*csorted-list-of-set B'*)
else Code.abort (*STR ''cless-eq-set Complement1: infinite set''*) (λ-. *cless-eq-set (Complement A') B'*))
(**is** *?Compl-fin-fin*)
and *cless-eq-set-Complement12* [*set-complement-code*]:
cless-eq-set (Complement A) (Complement B) $\longleftrightarrow$
(*case ID CCOMPARE('a) of None* $\Rightarrow$ *Code.abort* (*STR ''cless-eq-set Complement Complement: ccompare = None''*) (λ-. *cless-eq (Complement A) (Complement B)*)
| *Some c* $\Rightarrow$ *cless-eq-set B A*)
(**is** *?Compl-Compl*)

cless-eq-set (RBT-set rbt) (RBT-set rbt') $\longleftrightarrow$
(*case ID CCOMPARE('a) of None* $\Rightarrow$ *Code.abort* (*STR ''cless-eq-set RBT-set RBT-set: ccompare = None''*) (λ-. *cless-eq-set (RBT-set rbt) (RBT-set rbt')*)
| *Some c* $\Rightarrow$ *ord.lexord-eq-fusion* ($\lambda x\ y$. *lt-of-comp c y x*) *rbt-keys-generator rbt-keys-generator* (*RBT-Set2.init rbt*) (*RBT-Set2.init rbt'*))
(**is** *?rbt-rbt*)
and *cless-eq-set-rbt-Complement2* [*set-complement-code*]:
cless-eq-set (RBT-set rbt1) (Complement (RBT-set rbt2)) $\longleftrightarrow$
(*case ID CCOMPARE('b) of None* $\Rightarrow$ *Code.abort* (*STR ''cless-eq-set RBT-set*

(*Complement RBT-set*): *ccompare* = *None''*) (λ-. *cless-eq-set* (*RBT-set rbt1*) (*Complement* (*RBT-set rbt2*)))
| *Some c* ⇒
finite (*UNIV* :: *'b set*) ⟶
proper-intrvl.set-less-eq-aux-Compl-fusion (*lt-of-comp c*) *cproper-interval rbt-keys-generator rbt-keys-generator None* (*RBT-Set2.init rbt1*) (*RBT-Set2.init rbt2*))
(**is** *?rbt-Compl*)
and *cless-eq-set-rbt-Complement1* [*set-complement-code*]:
cless-eq-set (*Complement* (*RBT-set rbt1*)) (*RBT-set rbt2*) ⟷
(*case ID CCOMPARE*(*'b*) *of None* ⇒ *Code.abort* (*STR ''cless-eq-set* (*Complement RBT-set*) *RBT-set*: *ccompare* = *None''*) (λ-. *cless-eq-set* (*Complement* (*RBT-set rbt1*)) (*RBT-set rbt2*))
| *Some c* ⇒
finite (*UNIV* :: *'b set*) ∧
proper-intrvl.Compl-set-less-eq-aux-fusion (*lt-of-comp c*) *cproper-interval rbt-keys-generator rbt-keys-generator None* (*RBT-Set2.init rbt1*) (*RBT-Set2.init rbt2*))
(**is** *?Compl-rbt*)
proof −
note [*split*] = *option.split csorted-list-of-set-split*
and [*simp*] =
le-of-comp-set-less-eq
finite-subset[*OF subset-UNIV*] *ccompare-set-def ID-Some*
ord.lexord-eq-fusion-def proper-intrvl.Compl-set-less-eq-aux-fusion-def
proper-intrvl.set-less-eq-aux-Compl-fusion-def
unfoldr-rbt-keys-generator
RBT-set-def sorted-RBT-Set-keys member-conv-keys
linorder.set-less-eq-finite-iff[*OF ID-ccompare*]
linorder.set-less-eq-aux-code[*OF ID-ccompare*, *symmetric*]
linorder.Compl-set-less-eq-Compl[*OF ID-ccompare*]
linorder.infinite-set-less-eq-Complement[*OF ID-ccompare*]
linorder.infinite-Complement-set-less-eq[*OF ID-ccompare*]
linorder-proper-interval.set-less-eq-aux-Compl2-conv-set-less-eq-aux-Compl[*OF ID-ccompare-interval*, *symmetric*]
linorder-proper-interval.Compl1-set-less-eq-aux-conv-Compl-set-less-eq-aux[*OF ID-ccompare-interval*, *symmetric*]

show *?Compl-Compl* **by** *simp*
show *?rbt-rbt*

by *auto*
show *?rbt-Compl* **by**(*cases finite* (*UNIV* :: *'b set*)) *auto*
show *?Compl-rbt* **by**(*cases finite* (*UNIV* :: *'b set*)) *auto*
show *?fin-fin* **by** *auto*
show *?fin-Compl-fin* **by** (*cases finite* (*UNIV* :: *'b set*), *auto*)
show *?Compl-fin-fin* **by**(*cases finite* (*UNIV* :: *'b set*)) *auto*
qed

lemma *cproper-interval-set-Some-Some-code* [*code*]:
fixes *rbt1 rbt2* :: *'a* :: *cproper-interval set-rbt*

and *A B* :: *'a set* **shows**

cproper-interval (*Some A*) (*Some B*) ⟷
(*case ID CCOMPARE('a) of None* ⇒ *Code.abort* (*STR ''cproper-interval*: *ccompare* = *None''*) (λ-. *cproper-interval* (*Some A*) (*Some B*))
| *Some c* ⇒
finite (*UNIV* :: *'a set*) ∧ *proper-intrvl.proper-interval-set-aux* (*lt-of-comp c*) *cproper-interval* (*csorted-list-of-set A*) (*csorted-list-of-set B*))
(**is** *?fin-fin*)
and *cproper-interval-set-Some-Some-Complement* [*set-complement-code*]:
cproper-interval (*Some A*) (*Some* (*Complement B*)) ⟷
(*case ID CCOMPARE('a) of None* ⇒ *Code.abort* (*STR ''cproper-interval Complement2*: *ccompare* = *None''*) (λ-. *cproper-interval* (*Some A*) (*Some* (*Complement B*)))
| *Some c* ⇒
finite (*UNIV* :: *'a set*) ∧ *proper-intrvl.proper-interval-set-Compl-aux* (*lt-of-comp c*) *cproper-interval None 0* (*csorted-list-of-set A*) (*csorted-list-of-set B*))
(**is** *?fin-Compl-fin*)
and *cproper-interval-set-Some-Complement-Some* [*set-complement-code*]:
cproper-interval (*Some* (*Complement A*)) (*Some B*) ⟷
(*case ID CCOMPARE('a) of None* ⇒ *Code.abort* (*STR ''cproper-interval Complement1*: *ccompare* = *None''*) (λ-. *cproper-interval* (*Some* (*Complement A*)) (*Some B*))
| *Some c* ⇒
finite (*UNIV* :: *'a set*) ∧ *proper-intrvl.proper-interval-Compl-set-aux* (*lt-of-comp c*) *cproper-interval None* (*csorted-list-of-set A*) (*csorted-list-of-set B*))
(**is** *?Compl-fin-fin*)
and *cproper-interval-set-Some-Complement-Some-Complement* [*set-complement-code*]:
cproper-interval (*Some* (*Complement A*)) (*Some* (*Complement B*)) ⟷
(*case ID CCOMPARE('a) of None* ⇒ *Code.abort* (*STR ''cproper-interval Complement Complement*: *ccompare* = *None''*) (λ-. *cproper-interval* (*Some* (*Complement A*)) (*Some* (*Complement B*)))
| *Some -* ⇒ *cproper-interval* (*Some B*) (*Some A*))
(**is** *?Compl-Compl*)

cproper-interval (*Some* (*RBT-set rbt1*)) (*Some* (*RBT-set rbt2*)) ⟷
(*case ID CCOMPARE('a) of None* ⇒ *Code.abort* (*STR ''cproper-interval RBT-set RBT-set*: *ccompare* = *None''*) (λ-. *cproper-interval* (*Some* (*RBT-set rbt1*)) (*Some* (*RBT-set rbt2*)))
| *Some c* ⇒
finite (*UNIV* :: *'a set*) ∧ *proper-intrvl.proper-interval-set-aux-fusion* (*lt-of-comp c*) *cproper-interval rbt-keys-generator rbt-keys-generator* (*RBT-Set2.init rbt1*) (*RBT-Set2.init rbt2*))
(**is** *?rbt-rbt*)
and *cproper-interval-set-Some-rbt-Some-Complement* [*set-complement-code*]:
cproper-interval (*Some* (*RBT-set rbt1*)) (*Some* (*Complement* (*RBT-set rbt2*))) ⟷
(*case ID CCOMPARE('a) of None* ⇒ *Code.abort* (*STR ''cproper-interval RBT-set* (*Complement RBT-set*): *ccompare* = *None''*) (λ-. *cproper-interval* (*Some* (*RBT-set*

rbt1)) (*Some* (*Complement* (*RBT-set rbt2*))))
| *Some c* ⇒
finite (*UNIV* :: *'a set*) ∧ *proper-intrvl.proper-interval-set-Compl-aux-fusion* (*lt-of-comp c*) *cproper-interval rbt-keys-generator rbt-keys-generator None 0* (*RBT-Set2.init rbt1*) (*RBT-Set2.init rbt2*))
(**is** *?rbt-Compl-rbt*)
and *cproper-interval-set-Some-Complement-Some-rbt* [*set-complement-code*]:
cproper-interval (*Some* (*Complement* (*RBT-set rbt1*))) (*Some* (*RBT-set rbt2*))
⟷
(*case ID CCOMPARE*(*'a*) *of None* ⇒ *Code.abort* (*STR ''cproper-interval* (*Complement RBT-set*) *RBT-set*: *ccompare* = *None''*) (λ-. *cproper-interval* (*Some* (*Complement* (*RBT-set rbt1*))) (*Some* (*RBT-set rbt2*)))
| *Some c* ⇒
finite (*UNIV* :: *'a set*) ∧ *proper-intrvl.proper-interval-Compl-set-aux-fusion* (*lt-of-comp c*) *cproper-interval rbt-keys-generator rbt-keys-generator None* (*RBT-Set2.init rbt1*) (*RBT-Set2.init rbt2*))
(**is** *?Compl-rbt-rbt*)
proof −
note [*split*] = *option.split csorted-list-of-set-split*
and [*simp*] =
lt-of-comp-of-ords
finite-subset[*OF subset-UNIV*] *ccompare-set-def ID-Some*
linorder.set-less-finite-iff[*OF ID-ccompare*]
RBT-set-def sorted-RBT-Set-keys member-conv-keys
linorder.distinct-entries[*OF ID-ccompare*]
unfoldr-rbt-keys-generator
proper-intrvl.proper-interval-set-aux-fusion-def
proper-intrvl.proper-interval-set-Compl-aux-fusion-def
proper-intrvl.proper-interval-Compl-set-aux-fusion-def
linorder-proper-interval.proper-interval-set-aux[*OF ID-ccompare-interval*]
linorder-proper-interval.proper-interval-set-Compl-aux[*OF ID-ccompare-interval*]
linorder-proper-interval.proper-interval-Compl-set-aux[*OF ID-ccompare-interval*]
and [*cong*] = *conj-cong*

show *?Compl-Compl*
by(*clarsimp simp add*: *Complement-cproper-interval-set-Complement simp del*: *cproper-interval-set-Some-Some*)

show *?rbt-rbt ?rbt-Compl-rbt ?Compl-rbt-rbt* **by** *auto*
show *?fin-fin ?fin-Compl-fin ?Compl-fin-fin* **by** *auto*
qed

context *ord* **begin**

fun *sorted-list-subset* :: (*'a* ⇒ *'a* ⇒ *bool*) ⇒ *'a list* ⇒ *'a list* ⇒ *bool*
where
sorted-list-subset eq [] *ys* = *True*
| *sorted-list-subset eq* (*x* # *xs*) [] = *False*
| *sorted-list-subset eq* (*x* # *xs*) (*y* # *ys*) ⟷

(*if eq x y then sorted-list-subset eq xs ys*
else x > y ∧ sorted-list-subset eq (x # xs) ys)

end

context *linorder* **begin**

lemma *sorted-list-subset-correct*:
⟦ *sorted xs*; *distinct xs*; *sorted ys*; *distinct ys* ⟧
⟹ *sorted-list-subset* (=) *xs ys* ⟷ *set xs* ⊆ *set ys*
apply(*induct* (=) :: *'a* ⇒ *'a* ⇒ *bool xs ys rule*: *sorted-list-subset.induct*)
apply(*auto 6 2*)
using *order-antisym* **apply** *auto*
done

end

context *ord* **begin**

definition *sorted-list-subset-fusion* :: (*'a* ⇒ *'a* ⇒ *bool*) ⇒ (*'a*, *'s1*) *generator* ⇒ (*'a*, *'s2*) *generator* ⇒ *'s1* ⇒ *'s2* ⇒ *bool*
where *sorted-list-subset-fusion eq g1 g2 s1 s2* = *sorted-list-subset eq* (*list.unfoldr g1 s1*) (*list.unfoldr g2 s2*)

lemma *sorted-list-subset-fusion-code*:
sorted-list-subset-fusion eq g1 g2 s1 s2 =
(*if list.has-next g1 s1 then*
let (*x*, *s1'*) = *list.next g1 s1*
in list.has-next g2 s2 ∧ (
let (*y*, *s2'*) = *list.next g2 s2*
in if eq x y then sorted-list-subset-fusion eq g1 g2 s1' s2'
else y < *x* ∧ *sorted-list-subset-fusion eq g1 g2 s1 s2'*)
else True)
unfolding *sorted-list-subset-fusion-def*
by(*subst* (*1 2 5*) *list.unfoldr.simps*)(*simp add*: *split-beta Let-def*)

end

lemmas [*code*] = *ord.sorted-list-subset-fusion-code*

lemma *subset-eq-code* [*code*]:
fixes *A1 A2* :: *'a set*
and *rbt* :: *'b* :: *ccompare set-rbt*
and *rbt1 rbt2* :: *'d* :: {*ccompare*, *ceq*} *set-rbt*
and *dxs* :: *'c* :: *ceq set-dlist*
and *xs* :: *'c list* **shows**
RBT-set rbt ⊆ *B* ⟷
(*case ID CCOMPARE('b) of None* ⇒ *Code.abort* (*STR ''subset RBT-set1*: *ccompare* = *None''*) (λ-. *RBT-set rbt* ⊆ *B*)

| *Some* - ⇒ *list-all-fusion rbt-keys-generator* (λ*x*. *x* ∈ *B*) (*RBT-Set2.init rbt*)) (**is** *?rbt*)
DList-set dxs ⊆ *C* ⟷
(*case ID CEQ*('*c*) *of None* ⇒ *Code.abort* (*STR* ''*subset DList-set1*: *ceq* = *None*'') (λ-. *DList-set dxs* ⊆ *C*)
| *Some* - ⇒ *DList-Set.dlist-all* (λ*x*. *x* ∈ *C*) *dxs*) (**is** *?dlist*)
Set-Monad xs ⊆ *C* ⟷ *list-all* (λ*x*. *x* ∈ *C*) *xs* (**is** *?Set-Monad*)
and *Collect-subset-eq-Complement* [*set-complement-code*]:
Collect-set P ⊆ *Complement A* ⟷ *A* ⊆ {*x*. ¬ *P x*} (**is** *?Collect-set-Compl*)
and *Complement-subset-eq-Complement* [*set-complement-code*]:
Complement A1 ⊆ *Complement A2* ⟷ *A2* ⊆ *A1* (**is** *?Compl*)
and
RBT-set rbt1 ⊆ *RBT-set rbt2* ⟷
(*case ID CCOMPARE*('*d*) *of None* ⇒ *Code.abort* (*STR* ''*subset RBT-set RBT-set*: *ccompare* = *None*'') (λ-. *RBT-set rbt1* ⊆ *RBT-set rbt2*)
| *Some c* ⇒
(*case ID CEQ*('*d*) *of None* ⇒ *ord.sorted-list-subset-fusion* (*lt-of-comp c*) (λ *x y*. *c x y* = *Eq*) *rbt-keys-generator rbt-keys-generator* (*RBT-Set2.init rbt1*) (*RBT-Set2.init rbt2*)
| *Some eq* ⇒ *ord.sorted-list-subset-fusion* (*lt-of-comp c*) *eq rbt-keys-generator rbt-keys-generator* (*RBT-Set2.init rbt1*) (*RBT-Set2.init rbt2*)))

(**is** *?rbt-rbt*)
proof −
show *?rbt-rbt*
by (*auto simp add*: *comparator.eq*[*OF ID-ccompare*'] *RBT-set-def member-conv-keys unfoldr-rbt-keys-generator ord.sorted-list-subset-fusion-def linorder.sorted-list-subset-correct*[*OF ID-ccompare*] *sorted-RBT-Set-keys split*: *option.split dest*!: *ID-ceq*[*THEN equal.equal-eq*] *del*: *iffI*)
show *?rbt*
by(*auto simp add*: *RBT-set-def member-conv-keys list-all-fusion-def unfoldr-rbt-keys-generator keys.rep-eq list-all-iff split*: *option.split*)
show *?dlist* **by**(*auto simp add*: *DList-set-def dlist-all-conv-member split*: *option.split*)
show *?Set-Monad* **by**(*auto simp add*: *list-all-iff split*: *option.split*)
show *?Collect-set-Compl ?Compl* **by** *auto*
qed

lemma *set-eq-code* [*code*]:
fixes *rbt1 rbt2* :: '*b* :: {*ccompare*, *ceq*} *set-rbt* **shows**
set-eq A B ⟷ *A* ⊆ *B* ∧ *B* ⊆ *A*
and *set-eq-Complement-Complement* [*set-complement-code*]:
set-eq (*Complement A*) (*Complement B*) = *set-eq A B*
and
set-eq (*RBT-set rbt1*) (*RBT-set rbt2*) =
(*case ID CCOMPARE*('*b*) *of None* ⇒ *Code.abort* (*STR* ''*set-eq RBT-set RBT-set*: *ccompare* = *None*'') (λ-. *set-eq* (*RBT-set rbt1*) (*RBT-set rbt2*))
| *Some c* ⇒
(*case ID CEQ*('*b*) *of None* ⇒ *list-all2-fusion* (λ *x y*. *c x y* = *Eq*) *rbt-keys-generator*

rbt-keys-generator (*RBT-Set2.init rbt1*) (*RBT-Set2.init rbt2*)
| *Some eq* ⇒ *list-all2-fusion eq rbt-keys-generator rbt-keys-generator* (*RBT-Set2.init rbt1*) (*RBT-Set2.init rbt2*)))
(**is** *?rbt-rbt*)
proof −
show *?rbt-rbt*
by (*auto 4 3 split*: *option.split simp add*: *comparator.eq*[*OF ID-ccompare'*] *sorted-RBT-Set-keys list-all2-fusion-def unfoldr-rbt-keys-generator RBT-set-conv-keys set-eq-def list.rel-eq dest*!: *ID-ceq*[*THEN equal.equal-eq*] *intro*: *linorder.sorted-distinct-set-unique*[*OF ID-ccompare*])
qed(*auto simp add*: *set-eq-def*)

lemma *Set-project-code* [*code*]:
Set.filter P A = *A* ∩ *Collect-set P*
by(*auto simp add*: *Set.filter-def*)

lemma *Set-image-code* [*code*]:
fixes *dxs* :: *'a* :: *ceq set-dlist*
and *rbt* :: *'b* :: *ccompare set-rbt* **shows**
image f (*Set-Monad xs*) = *Set-Monad* (*map f xs*)
image f (*Collect-set A*) = *Code.abort* (*STR ''image Collect-set''*) (λ-. *image f* (*Collect-set A*))
and *image-Complement-Complement* [*set-complement-code*]:
image f (*Complement* (*Complement B*)) = *image f B*
and
image g (*DList-set dxs*) =
(*case ID CEQ*('*a*) *of None* ⇒ *Code.abort* (*STR ''image DList-set*: *ceq* = *None''*) (λ-. *image g* (*DList-set dxs*))
| *Some* - ⇒ *DList-Set.fold* (*insert* ∘ *g*) *dxs* {})
(**is** *?dlist*)
image h (*RBT-set rbt*) =
(*case ID CCOMPARE*('*b*) *of None* ⇒ *Code.abort* (*STR ''image RBT-set*: *ccompare* = *None''*) (λ-. *image h* (*RBT-set rbt*))
| *Some* - ⇒ *RBT-Set2.fold* (*insert* ∘ *h*) *rbt* {})
(**is** *?rbt*)
proof −
{ fix *xs* **have** *fold* (*insert* ∘ *g*) *xs* {} = *g* ‘ *set xs*
by(*induct xs rule*: *rev-induct*) *simp-all* **}**
thus *?dlist*
by(*simp add*: *DList-set-def DList-Set.fold-def DList-Set.Collect-member split*: *option.split*)
{ fix *xs* **have** *fold* (*insert* ∘ *h*) *xs* {} = *h* ‘ *set xs*
by(*induct xs rule*: *rev-induct*) *simp-all* **}**
thus *?rbt* **by**(*auto simp add*: *RBT-set-def fold-conv-fold-keys member-conv-keys split*: *option.split*)
qed *simp-all*

lemma *the-elem-code* [*code*]:
fixes *dxs* :: *'a* :: *ceq set-dlist*

and *rbt* :: *'b* :: *ccompare set-rbt* **shows**
the-elem (*Set-Monad* [*x*]) = *x*
the-elem (*DList-set dxs*) =
(*case ID CEQ*('*a*) *of None* ⇒ *Code.abort* (*STR ''the-elem DList-set*: *ceq* = *None''*) (λ-. *the-elem* (*DList-set dxs*))
| *Some* - ⇒
case list-of-dlist dxs of [*x*] ⇒ *x*
| - ⇒ *Code.abort* (*STR ''the-elem DList-set*: *not unique''*) (λ-. *the-elem* (*DList-set dxs*)))
the-elem (*RBT-set rbt*) =
(*case ID CCOMPARE*('*b*) *of None* ⇒ *Code.abort* (*STR ''the-elem RBT-set*: *ccompare* = *None''*) (λ-. *the-elem* (*RBT-set rbt*))
| *Some* - ⇒
case RBT-Mapping2.impl-of rbt of RBT-Impl.Branch - *RBT-Impl.Empty x* - *RBT-Impl.Empty* ⇒ *x*
| - ⇒ *Code.abort* (*STR ''the-elem RBT-set*: *not unique''*) (λ-. *the-elem* (*RBT-set rbt*)))
by(*auto simp add*: *RBT-set-def DList-set-def DList-Set.Collect-member the-elem-def member-conv-keys split*: *option.split list.split rbt.split*)(*simp add*: *RBT-Set2.keys-def*)

lemma *Pow-set-conv-fold*:
Pow (*set xs* ∪ *A*) = *fold* (λ*x A*. *A* ∪ *insert x* ‘ *A*) *xs* (*Pow A*)
by(*induct xs rule*: *rev-induct*)(*auto simp add*: *Pow-insert*)

lemma *Pow-code* [*code*]:
fixes *dxs* :: *'a* :: *ceq set-dlist*
and *rbt* :: *'b* :: *ccompare set-rbt* **shows**
Pow A = *Collect-set* (λ*B*. *B* ⊆ *A*)
Pow (*Set-Monad xs*) = *fold* (λ*x A*. *A* ∪ *insert x* ‘ *A*) *xs* {{}}
Pow (*DList-set dxs*) =
(*case ID CEQ*('*a*) *of None* ⇒ *Code.abort* (*STR ''Pow DList-set*: *ceq* = *None''*) (λ-. *Pow* (*DList-set dxs*))
| *Some* - ⇒ *DList-Set.fold* (λ*x A*. *A* ∪ *insert x* ‘ *A*) *dxs* {{}})
Pow (*RBT-set rbt*) =
(*case ID CCOMPARE*('*b*) *of None* ⇒ *Code.abort* (*STR ''Pow RBT-set*: *ccompare* = *None''*) (λ-. *Pow* (*RBT-set rbt*))
| *Some* - ⇒ *RBT-Set2.fold* (λ*x A*. *A* ∪ *insert x* ‘ *A*) *rbt* {{}})
by(*auto simp add*: *DList-set-def DList-Set.Collect-member DList-Set.fold-def RBT-set-def fold-conv-fold-keys member-conv-keys Pow-set-conv-fold*[**where** *A*={}, *simplified*] *split*: *option.split*)

lemma *fold-singleton*: *Finite-Set.fold f x* {*y*} = *f y x*
by(*fastforce simp add*: *Finite-Set.fold-def intro*: *fold-graph.intros elim*: *fold-graph.cases*)

lift-definition *sum-cfc* :: (*'a* ⇒ *'b* :: *comm-monoid-add*) ⇒ (*'a*, *'b*) *comp-fun-commute*
is λ*f* :: *'a* ⇒ *'b*. *plus* ∘ *f*
by(*unfold-locales*)(*simp add*: *fun-eq-iff add.left-commute*)

lemma *sum-code* [*code*]:

sum f A = (*if finite A then set-fold-cfc* (*sum-cfc f*) *0 A else 0*)
by *transfer*(*simp add*: *sum.eq-fold*)

lemma *product-code* [*code*]:
fixes *dxs* :: *'a* :: *ceq set-dlist*
and *dys* :: *'b* :: *ceq set-dlist*
and *rbt1* :: *'c* :: *ccompare set-rbt*
and *rbt2* :: *'d* :: *ccompare set-rbt* **shows**
Product-Type.product A B = *Collect-set* (λ(*x*, *y*). *x* ∈ *A* ∧ *y* ∈ *B*)

Product-Type.product (*Set-Monad xs*) (*Set-Monad ys*) =
Set-Monad (*fold* (λ*x*. *fold* (λ*y rest*. (*x*, *y*) # *rest*) *ys*) *xs* []) (**is** *?Set-Monad*)

Product-Type.product (*DList-set dxs*) *B1* =
(*case ID CEQ*('*a*) *of None* ⇒ *Code.abort* (*STR ''product DList-set1*: *ceq* = *None''*) (λ-. *Product-Type.product* (*DList-set dxs*) *B1*)
| *Some* - ⇒ *DList-Set.fold* (λ*x rest*. *Pair x* ‘ *B1* ∪ *rest*) *dxs* {}) (**is** *?dlist1*)

Product-Type.product A1 (*DList-set dys*) =
(*case ID CEQ*('*b*) *of None* ⇒ *Code.abort* (*STR ''product DList-set2*: *ceq* = *None''*) (λ-. *Product-Type.product A1* (*DList-set dys*))
| *Some* - ⇒ *DList-Set.fold* (λ*y rest*. (λ*x*. (*x*, *y*)) ‘ *A1* ∪ *rest*) *dys* {}) (**is** *?dlist2*)

Product-Type.product (*DList-set dxs*) (*DList-set dys*) =
(*case ID CEQ*('*a*) *of None* ⇒ *Code.abort* (*STR ''product DList-set DList-set*: *ceq1* = *None''*) (λ-. *Product-Type.product* (*DList-set dxs*) (*DList-set dys*))
| *Some* - ⇒
case ID CEQ('*b*) *of None* ⇒ *Code.abort* (*STR ''product DList-set DList-set*: *ceq2* = *None''*) (λ-. *Product-Type.product* (*DList-set dxs*) (*DList-set dys*))
| *Some* - ⇒ *DList-set* (*DList-Set.product dxs dys*))

Product-Type.product (*RBT-set rbt1*) *B2* =
(*case ID CCOMPARE*('*c*) *of None* ⇒ *Code.abort* (*STR ''product RBT-set*: *ccompare1* = *None''*) (λ-. *Product-Type.product* (*RBT-set rbt1*) *B2*)
| *Some* - ⇒ *RBT-Set2.fold* (λ*x rest*. *Pair x* ‘ *B2* ∪ *rest*) *rbt1* {}) (**is** *?rbt1*)

Product-Type.product A2 (*RBT-set rbt2*) =
(*case ID CCOMPARE*('*d*) *of None* ⇒ *Code.abort* (*STR ''product RBT-set*: *ccompare2* = *None''*) (λ-. *Product-Type.product A2* (*RBT-set rbt2*))
| *Some* - ⇒ *RBT-Set2.fold* (λ*y rest*. (λ*x*. (*x*, *y*)) ‘ *A2* ∪ *rest*) *rbt2* {}) (**is** *?rbt2*)

Product-Type.product (*RBT-set rbt1*) (*RBT-set rbt2*) =
(*case ID CCOMPARE*('*c*) *of None* ⇒ *Code.abort* (*STR ''product RBT-set RBT-set*:

ccompare1 = *None''*) (λ-. *Product-Type.product* (*RBT-set rbt1*) (*RBT-set rbt2*))
| *Some* - ⇒
case ID CCOMPARE('d) of None ⇒ *Code.abort* (*STR ''product RBT-set RBT-set*: *ccompare2* = *None''*) (λ-. *Product-Type.product* (*RBT-set rbt1*) (*RBT-set rbt2*))
| *Some* - ⇒ *RBT-set* (*RBT-Set2.product rbt1 rbt2*))
proof −
have [*simp*]: ⋀*a zs. fold* (λ*y*. (#) (*a*, *y*)) *ys zs* = *rev* (*map* (*Pair a*) *ys*) @ *zs*
by(*induct ys*) *simp-all*
have [*simp*]: ⋀*zs. fold* (λ*x. fold* (λ*y rest*. (*x*, *y*) # *rest*) *ys*) *xs zs* = *rev* (*concat* (*map* (λ*x. map* (*Pair x*) *ys*) *xs*)) @ *zs*
by(*induct xs*) *simp-all*
show *?Set-Monad* **by**(*auto simp add*: *Product-Type.product-def*)

{ fix *xs* :: *'a list*
have *fold* (λ*x*. (∪) (*Pair x* ‘ *B1*)) *xs* {} = *set xs* × *B1*
by(*induct xs rule*: *rev-induct*) *auto* **}**
thus *?dlist1*
by(*simp add*: *Product-Type.product-def DList-set-def DList-Set.fold.rep-eq DList-Set.Collect-member split*: *option.split*)

{ fix *ys* :: *'b list*
have *fold* (λ*y*. (∪) ((λ*x*. (*x*, *y*)) ‘ *A1*)) *ys* {} = *A1* × *set ys*
by(*induct ys rule*: *rev-induct*) *auto* **}**
thus *?dlist2*
by(*simp add*: *Product-Type.product-def DList-set-def DList-Set.fold.rep-eq DList-Set.Collect-member split*: *option.split*)

{ fix *xs* :: *'c list*
have *fold* (λ*x*. (∪) (*Pair x* ‘ *B2*)) *xs* {} = *set xs* × *B2*
by(*induct xs rule*: *rev-induct*) *auto* **}**
thus *?rbt1*
by(*simp add*: *Product-Type.product-def RBT-set-def RBT-Set2.member-product RBT-Set2.member-conv-keys fold-conv-fold-keys split*: *option.split*)

{ fix *ys* :: *'d list*
have *fold* (λ*y*. (∪) ((λ*x*. (*x*, *y*)) ‘ *A2*)) *ys* {} = *A2* × *set ys*
by(*induct ys rule*: *rev-induct*) *auto* **}**
thus *?rbt2*
by(*simp add*: *Product-Type.product-def RBT-set-def RBT-Set2.member-product RBT-Set2.member-conv-keys fold-conv-fold-keys split*: *option.split*)
qed(*auto simp add*: *RBT-set-def DList-set-def Product-Type.product-def DList-Set.product-member RBT-Set2.member-product split*: *option.split*)

lemma *Id-on-code* [*code*]:
fixes *A* :: *'a* :: *ceq set*
and *dxs* :: *'a set-dlist*
and *P* :: *'a* ⇒ *bool*
and *rbt* :: *'b* :: *ccompare set-rbt* **shows**

Id-on B = (λ*x*. (*x*, *x*)) ‘ *B*
and *Id-on-Complement* [*set-complement-code*]:
Id-on (*Complement A*) =
(*case ID CEQ*('*a*) *of None* ⇒ *Code.abort* (*STR* ''*Id-on Complement*: *ceq* = *None*'') (λ-. *Id-on* (*Complement A*))
| *Some eq* ⇒ *Collect-set* (λ(*x*, *y*). *eq x y* ∧ *x* ∉ *A*))
and
Id-on (*Collect-set P*) =
(*case ID CEQ*('*a*) *of None* ⇒ *Code.abort* (*STR* ''*Id-on Collect-set*: *ceq* = *None*'') (λ-. *Id-on* (*Collect-set P*))
| *Some eq* ⇒ *Collect-set* (λ(*x*, *y*). *eq x y* ∧ *P x*))
Id-on (*DList-set dxs*) =
(*case ID CEQ*('*a*) *of None* ⇒ *Code.abort* (*STR* ''*Id-on DList-set*: *ceq* = *None*'') (λ-. *Id-on* (*DList-set dxs*))
| *Some* - ⇒ *DList-set* (*DList-Set.Id-on dxs*))
Id-on (*RBT-set rbt*) =
(*case ID CCOMPARE*('*b*) *of None* ⇒ *Code.abort* (*STR* ''*Id-on RBT-set*: *ccompare* = *None*'') (λ-. *Id-on* (*RBT-set rbt*))
| *Some* - ⇒ *RBT-set* (*RBT-Set2.Id-on rbt*))
by(*auto simp add*: *DList-set-def RBT-set-def DList-Set.member-Id-on RBT-Set2.member-Id-on dest*: *equal.equal-eq*[*OF ID-ceq*] *split*: *option.split*)

lemma *Image-code* [*code*]:
fixes *dxs* :: ('*a* :: *ceq* × '*b* :: *ceq*) *set-dlist*
and *rbt* :: ('*c* :: *ccompare* × '*d* :: *ccompare*) *set-rbt* **shows**
X ‘‘ *Y* = *snd* ‘ *Set.filter* (λ(*x*, *y*). *x* ∈ *Y*) *X*
(**is** *?generic*)

Set-Monad rxs ‘‘ *A* = *Set-Monad* (*fold* (λ(*x*, *y*) *rest*. *if x* ∈ *A then y* # *rest else rest*) *rxs* []))
(**is** *?Set-Monad*)
DList-set dxs ‘‘ *B* =
(*case ID CEQ*('*a*) *of None* ⇒ *Code.abort* (*STR* ''*Image DList-set*: *ceq1* = *None*'') (λ-. *DList-set dxs* ‘‘ *B*)
| *Some* - ⇒
case ID CEQ('*b*) *of None* ⇒ *Code.abort* (*STR* ''*Image DList-set*: *ceq2* = *None*'') (λ-. *DList-set dxs* ‘‘ *B*)
| *Some* - ⇒
DList-Set.fold (λ(*x*, *y*) *acc*. *if x* ∈ *B then insert y acc else acc*) *dxs* {})
(**is** *?DList-set*)
RBT-set rbt ‘‘ *C* =
(*case ID CCOMPARE*('*c*) *of None* ⇒ *Code.abort* (*STR* ''*Image RBT-set*: *ccompare1* = *None*'') (λ-. *RBT-set rbt* ‘‘ *C*)
| *Some* - ⇒
case ID CCOMPARE('*d*) *of None* ⇒ *Code.abort* (*STR* ''*Image RBT-set*: *ccompare2* = *None*'') (λ-. *RBT-set rbt* ‘‘ *C*)
| *Some* - ⇒
RBT-Set2.fold (λ(*x*, *y*) *acc*. *if x* ∈ *C then insert y acc else acc*) *rbt* {})
(**is** *?RBT-set*)

proof −
show *?generic* **by**(*auto intro*: *rev-image-eqI*)

have *set* (*fold* (λ(*x*, *y*) *rest*. *if x* ∈ *A then y* # *rest else rest*) *rxs* []) = *set rxs* ‘‘ *A*
by(*induct rxs rule*: *rev-induct*)(*auto split*: *if-split-asm*)
thus *?Set-Monad* **by**(*auto*)

{ fix *dxs* :: (′*a* × ′*b*) *list*
have *fold* (λ(*x*, *y*) *acc*. *if x* ∈ *B then insert y acc else acc*) *dxs* {} = *set dxs* ‘‘ *B*
by(*induct dxs rule*: *rev-induct*)(*auto split*: *if-split-asm*) **}**
thus *?DList-set*
by(*clarsimp simp add*: *DList-set-def Collect-member ceq-prod-def ID-Some DList-Set.fold.rep-eq split*: *option.split*)

{ fix *rbt* :: ((′*c* × ′*d*) × *unit*) *list*
have *fold* (λ(*a*, -). *case a of* (*x*, *y*) ⇒ λ*acc*. *if x* ∈ *C then insert y acc else acc*) *rbt* {} = (*fst* ‘ *set rbt*) ‘‘ *C*
by(*induct rbt rule*: *rev-induct*)(*auto simp add*: *split-beta split*: *if-split-asm*) **}**
thus *?RBT-set*
by(*clarsimp simp add*: *RBT-set-def ccompare-prod-def ID-Some RBT-Set2.fold.rep-eq member-conv-keys RBT-Set2.keys.rep-eq RBT-Impl.fold-def RBT-Impl.keys-def split*: *option.split*)
qed

lemma *insert-relcomp*: *insert* (*a*, *b*) *A O B* = *A O B* ∪ {*a*} × {*c*. (*b*, *c*) ∈ *B*}
by *auto*

lemma *trancl-code* [*code*]:
trancl A =
(*if finite A then ntrancl* (*card A* − *1*) *A else Code.abort* (*STR* ′′*trancl*: *infinite set*′′) (λ-. *trancl A*))
by (*simp add*: *finite-trancl-ntranl*)

lemma *set-relcomp-set*:
set xs O set ys = *fold* (λ(*x*, *y*). *fold* (λ(*y*′, *z*) *A*. *if y* = *y*′ *then insert* (*x*, *z*) *A else A*) *ys*) *xs* {}
proof(*induct xs rule*: *rev-induct*)
case *Nil* **show** *?case* **by** *simp*
next
case (*snoc x xs*)
note [[*show-types*]]
{ fix *a* :: ′*a* **and** *b* :: ′*c* **and** *X* :: (′*a* × ′*b*) *set*
have *fold* (λ(*y*′, *z*) *A*. *if b* = *y*′ *then insert* (*a*, *z*) *A else A*) *ys X* = *X* ∪ {*a*} × {*c*. (*b*, *c*) ∈ *set ys*}
by(*induct ys arbitrary*: *X rule*: *rev-induct*)(*auto split*: *if-split-asm*) **}**
thus *?case* **using** *snoc* **by**(*cases x*)(*simp add*: *insert-relcomp*)

qed

lemma *If-not*: (*if* ¬ *a then b else c*) = (*if a then c else b*)
by *auto*

lemma *relcomp-code* [*code*]:
fixes *rbt1* :: ('*a* :: *ccompare* × '*b* :: *ccompare*) *set-rbt*
and *rbt2* :: ('*b* × '*c* :: *ccompare*) *set-rbt*
and *rbt3* :: ('*a* × '*d* :: {*ccompare*, *ceq*}) *set-rbt*
and *rbt4* :: ('*d* × '*a*) *set-rbt*
and *rbt5* :: ('*b* × '*a*) *set-rbt*
and *dxs1* :: ('*d* × '*e* :: *ceq*) *set-dlist*
and *dxs2* :: ('*e* × '*d*) *set-dlist*
and *dxs3* :: ('*e* × '*f* :: *ceq*) *set-dlist*
and *dxs4* :: ('*f* × '*g* :: *ceq*) *set-dlist*
and *xs1* :: ('*h* × '*i* :: *ceq*) *list*
and *xs2* :: ('*i* × '*j*) *list*
and *xs3* :: ('*b* × '*h*) *list*
and *xs4* :: ('*h* × '*b*) *list*
and *xs5* :: ('*f* × '*h*) *list*
and *xs6* :: ('*h* × '*f*) *list*
shows
RBT-set rbt1 O RBT-set rbt2 =
(*case ID CCOMPARE*('*a*) *of None* ⇒ *Code.abort* (*STR* ''*relcomp RBT-set RBT-set*: *ccompare1* = *None*'') (λ-. *RBT-set rbt1 O RBT-set rbt2*)
| *Some* - ⇒
case ID CCOMPARE('*b*) *of None* ⇒ *Code.abort* (*STR* ''*relcomp RBT-set RBT-set*: *ccompare2* = *None*'') (λ-. *RBT-set rbt1 O RBT-set rbt2*)
| *Some c-b* ⇒
case ID CCOMPARE('*c*) *of None* ⇒ *Code.abort* (*STR* ''*relcomp RBT-set RBT-set*: *ccompare3* = *None*'') (λ-. *RBT-set rbt1 O RBT-set rbt2*)
| *Some* - ⇒ *RBT-Set2.fold* (λ(*x*, *y*). *RBT-Set2.fold* (λ(*y*′, *z*) *A*. *if c-b y y*′ ≠ *Eq then A else insert* (*x*, *z*) *A*) *rbt2*) *rbt1* {})
(**is** *?rbt-rbt*)

RBT-set rbt3 O DList-set dxs1 =
(*case ID CCOMPARE*('*a*) *of None* ⇒ *Code.abort* (*STR* ''*relcomp RBT-set DList-set*: *ccompare1* = *None*'') (λ-. *RBT-set rbt3 O DList-set dxs1*)
| *Some* - ⇒
case ID CCOMPARE('*d*) *of None* ⇒ *Code.abort* (*STR* ''*relcomp RBT-set DList-set*: *ccompare2* = *None*'') (λ-. *RBT-set rbt3 O DList-set dxs1*)
| *Some* - ⇒
case ID CEQ('*d*) *of None* ⇒ *Code.abort* (*STR* ''*relcomp RBT-set DList-set*: *ceq2* = *None*'') (λ-. *RBT-set rbt3 O DList-set dxs1*)
| *Some eq* ⇒
case ID CEQ('*e*) *of None* ⇒ *Code.abort* (*STR* ''*relcomp RBT-set DList-set*: *ceq3* = *None*'') (λ-. *RBT-set rbt3 O DList-set dxs1*)
| *Some* - ⇒ *RBT-Set2.fold* (λ(*x*, *y*). *DList-Set.fold* (λ(*y*′, *z*) *A*. *if eq y y*′ *then insert* (*x*, *z*) *A else A*) *dxs1*) *rbt3* {})

(**is** *?rbt-dlist*)

DList-set dxs2 O RBT-set rbt4 =
(*case ID CEQ('e) of None* ⇒ *Code.abort* (*STR ''relcomp DList-set RBT-set*: *ceq1* = *None''*) (λ-. *DList-set dxs2 O RBT-set rbt4*)
| *Some* - ⇒
case ID CCOMPARE('d) of None ⇒ *Code.abort* (*STR ''relcomp DList-set RBT-set*: *ceq2* = *None''*) (λ-. *DList-set dxs2 O RBT-set rbt4*)
| *Some* - ⇒
case ID CEQ('d) of None ⇒ *Code.abort* (*STR ''relcomp DList-set RBT-set*: *ccompare2* = *None''*) (λ-. *DList-set dxs2 O RBT-set rbt4*)
| *Some eq* ⇒
case ID CCOMPARE('a) of None ⇒ *Code.abort* (*STR ''relcomp DList-set RBT-set*: *ccompare3* = *None''*) (λ-. *DList-set dxs2 O RBT-set rbt4*)
| *Some* - ⇒ *DList-Set.fold* (λ(*x*, *y*). *RBT-Set2.fold* (λ(*y'*, *z*) *A*. *if eq y y' then insert* (*x*, *z*) *A else A*) *rbt4*) *dxs2* {})
(**is** *?dlist-rbt*)

DList-set dxs3 O DList-set dxs4 =
(*case ID CEQ('e) of None* ⇒ *Code.abort* (*STR ''relcomp DList-set DList-set*: *ceq1* = *None''*) (λ-. *DList-set dxs3 O DList-set dxs4*)
| *Some* - ⇒
case ID CEQ('f) of None ⇒ *Code.abort* (*STR ''relcomp DList-set DList-set*: *ceq2* = *None''*) (λ-. *DList-set dxs3 O DList-set dxs4*)
| *Some eq* ⇒
case ID CEQ('g) of None ⇒ *Code.abort* (*STR ''relcomp DList-set DList-set*: *ceq3* = *None''*) (λ-. *DList-set dxs3 O DList-set dxs4*)
| *Some* - ⇒ *DList-Set.fold* (λ(*x*, *y*). *DList-Set.fold* (λ(*y'*, *z*) *A*. *if eq y y' then insert* (*x*, *z*) *A else A*) *dxs4*) *dxs3* {})
(**is** *?dlist-dlist*)

Set-Monad xs1 O Set-Monad xs2 =
(*case ID CEQ('i) of None* ⇒ *Code.abort* (*STR ''relcomp Set-Monad Set-Monad*: *ceq* = *None''*) (λ-. *Set-Monad xs1 O Set-Monad xs2*)
| *Some eq* ⇒ *fold* (λ(*x*, *y*). *fold* (λ(*y'*, *z*) *A*. *if eq y y' then insert* (*x*, *z*) *A else A*) *xs2*) *xs1* {})
(**is** *?monad-monad*)

RBT-set rbt1 O Set-Monad xs3 =
(*case ID CCOMPARE('a) of None* ⇒ *Code.abort* (*STR ''relcomp RBT-set Set-Monad*: *ccompare1* = *None''*) (λ-. *RBT-set rbt1 O Set-Monad xs3*)
| *Some* - ⇒
case ID CCOMPARE('b) of None ⇒ *Code.abort* (*STR ''relcomp RBT-set Set-Monad*: *ccompare2* = *None''*) (λ-. *RBT-set rbt1 O Set-Monad xs3*)
| *Some c-b* ⇒ *RBT-Set2.fold* (λ(*x*, *y*). *fold* (λ(*y'*, *z*) *A*. *if c-b y y'* ≠ *Eq then A else insert* (*x*, *z*) *A*) *xs3*) *rbt1* {})
(**is** *?rbt-monad*)

Set-Monad xs4 O RBT-set rbt5 =

(*case ID CCOMPARE('a) of None* ⇒ *Code.abort* (*STR ''relcomp Set-Monad RBT-set*: *ccompare1 = None''*) (λ-. *Set-Monad xs4 O RBT-set rbt5*)
| *Some* - ⇒
case ID CCOMPARE('b) of None ⇒ *Code.abort* (*STR ''relcomp Set-Monad RBT-set*: *ccompare2 = None''*) (λ-. *Set-Monad xs4 O RBT-set rbt5*)
| *Some c-b* ⇒ *fold* (λ(*x*, *y*). *RBT-Set2.fold* (λ(*y'*, *z*) *A*. *if c-b y y'* ≠ *Eq then A else insert* (*x*, *z*) *A*) *rbt5*) *xs4* {})
(**is** *?monad-rbt*)

DList-set dxs3 O Set-Monad xs5 =
(*case ID CEQ('e) of None* ⇒ *Code.abort* (*STR ''relcomp DList-set Set-Monad*: *ceq1 = None''*) (λ-. *DList-set dxs3 O Set-Monad xs5*)
| *Some* - ⇒
case ID CEQ('f) of None ⇒ *Code.abort* (*STR ''relcomp DList-set Set-Monad*: *ceq2 = None''*) (λ-. *DList-set dxs3 O Set-Monad xs5*)
| *Some eq* ⇒ *DList-Set.fold* (λ(*x*, *y*). *fold* (λ(*y'*, *z*) *A*. *if eq y y' then insert* (*x*, *z*) *A else A*) *xs5*) *dxs3* {})
(**is** *?dlist-monad*)

Set-Monad xs6 O DList-set dxs4 =
(*case ID CEQ('f) of None* ⇒ *Code.abort* (*STR ''relcomp Set-Monad DList-set*: *ceq1 = None''*) (λ-. *Set-Monad xs6 O DList-set dxs4*)
| *Some eq* ⇒
case ID CEQ('g) of None ⇒ *Code.abort* (*STR ''relcomp Set-Monad DList-set*: *ceq2 = None''*) (λ-. *Set-Monad xs6 O DList-set dxs4*)
| *Some* - ⇒ *fold* (λ(*x*, *y*). *DList-Set.fold* (λ(*y'*, *z*) *A*. *if eq y y' then insert* (*x*, *z*) *A else A*) *dxs4*) *xs6* {})
(**is** *?monad-dlist*)
proof −

show *?rbt-rbt ?rbt-monad ?monad-rbt*
by(*auto simp add*: *comparator.eq*[*OF ID-ccompare'*] *RBT-set-def ccompare-prod-def member-conv-keys ID-Some RBT-Set2.fold-conv-fold-keys' RBT-Set2.keys.rep-eq If-not set-relcomp-set split*: *option.split del*: *equalityI*)

show *?rbt-dlist ?dlist-rbt ?dlist-dlist ?monad-monad ?dlist-monad ?monad-dlist*
by(*auto simp add*: *RBT-set-def DList-set-def member-conv-keys ID-Some ccompare-prod-def ceq-prod-def Collect-member RBT-Set2.fold-conv-fold-keys' RBT-Set2.keys.rep-eq DList-Set.fold.rep-eq set-relcomp-set dest*: *equal.equal-eq*[*OF ID-ceq*] *split*: *option.split del*: *equalityI*)
qed

lemma *irrefl-on-code* [*code*]:
fixes *r* :: ('*a* :: {*ceq*, *ccompare*} × '*a*) *set* **shows**
irrefl-on A r ⟷
(*case ID CEQ('a) of Some eq* ⇒ (∀ (*x*, *y*) ∈ *r*. *x* ∈ *A* ⟶ *y* ∈ *A* ⟶ ¬ *eq x y*) | *None* ⇒
case ID CCOMPARE('a) of None ⇒ *Code.abort* (*STR ''irrefl-on*: *ceq = None* & *ccompare = None''*) (λ-. *irrefl-on A r*)

| *Some c* ⇒ (∀ (*x*, *y*) ∈ *r*. *x* ∈ *A* ⟶ *y* ∈ *A* ⟶ *c x y* ≠ *Eq*))
apply(*auto simp add*: *irrefl-on-distinct comparator.eq*[*OF ID-ccompare'*] *split*: *option.split dest*!: *ID-ceq*[*THEN equal.equal-eq*])
done

lemma *wf-code* [*code*]:
fixes *rbt* :: ('*a* :: *ccompare* × '*a*) *set-rbt*
and *dxs* :: ('*b* :: *ceq* × '*b*) *set-dlist* **shows**
wf (*Set-Monad xs*) = *acyclic* (*Set-Monad xs*)
wf (*RBT-set rbt*) =
(*case ID CCOMPARE*('*a*) *of None* ⇒ *Code.abort* (*STR* ''*wf RBT-set*: *ccompare* = *None*'') (λ-. *wf* (*RBT-set rbt*))
| *Some* - ⇒ *acyclic* (*RBT-set rbt*))
wf (*DList-set dxs*) =
(*case ID CEQ*('*b*) *of None* ⇒ *Code.abort* (*STR* ''*wf DList-set*: *ceq* = *None*'') (λ-. *wf* (*DList-set dxs*))
| *Some* - ⇒ *acyclic* (*DList-set dxs*))
by(*auto simp add*: *wf-iff-acyclic-if-finite split*: *option.split del*: *iffI*)(*simp-all add*: *wf-iff-acyclic-if-finite finite-code ccompare-prod-def ceq-prod-def ID-Some*)

lemma *bacc-code* [*code*]:
bacc R 0 = − *snd* ‘ *R*
bacc R (*Suc n*) = (*let rec* = *bacc R n in rec* ∪ − *snd* ‘ (*Set.filter* (λ(*y*, *x*). *y* ∉ *rec*) *R*))
by(*auto intro*: *rev-image-eqI simp add*: *Let-def*)

lemma *acc-code* [*code*]:
fixes *A* :: ('*a* :: {*finite*, *card-UNIV*} × '*a*) *set* **shows**
Wellfounded.acc A = *bacc A* (*of-phantom* (*card-UNIV* :: '*a card-UNIV*))
by(*simp add*: *card-UNIV acc-bacc-eq*)

lemma *sorted-list-of-set-code* [*code*]:
fixes *dxs* :: '*a* :: {*linorder*, *ceq*} *set-dlist*
and *rbt* :: '*b* :: {*linorder*, *ccompare*} *set-rbt*
shows
sorted-list-of-set (*Set-Monad xs*) = *sort* (*remdups xs*)
sorted-list-of-set (*DList-set dxs*) =
(*case ID CEQ*('*a*) *of None* ⇒ *Code.abort* (*STR* ''*sorted-list-of-set DList-set*: *ceq* = *None*'') (λ-. *sorted-list-of-set* (*DList-set dxs*))
| *Some* - ⇒ *sort* (*list-of-dlist dxs*))
sorted-list-of-set (*RBT-set rbt*) =
(*case ID CCOMPARE*('*b*) *of None* ⇒ *Code.abort* (*STR* ''*sorted-list-of-set RBT-set*: *ccompare* = *None*'') (λ-. *sorted-list-of-set* (*RBT-set rbt*))
| *Some* - ⇒ *sort* (*RBT-Set2.keys rbt*))
— We must sort the keys because *ccompare*'s ordering need not coincide with *linorder*'s.
by(*auto simp add*: *DList-set-def RBT-set-def sorted-list-of-set-sort-remdups Col-*

lect-member distinct-remdups-id distinct-list-of-dlist member-conv-keys split: *option.split*)

lemma *map-project-set*: *List.map-project f* (*set xs*) = *set* (*List.map-filter f xs*)
by(*auto simp add*: *List.map-project-def List.map-filter-def intro*: *rev-image-eqI*)

lemma *map-project-simps*:
shows *map-project-empty*: *List.map-project f* {} = {}
and *map-project-insert*:
List.map-project f (*insert x A*) =
(*case f x of None* ⇒ *List.map-project f A*
| *Some y* ⇒ *insert y* (*List.map-project f A*))
by(*auto simp add*: *List.map-project-def split*: *option.split*)

lemma *map-project-conv-fold*:
List.map-project f (*set xs*) =
fold (λ*x A*. *case f x of None* ⇒ *A* | *Some y* ⇒ *insert y A*) *xs* {}
by(*induct xs rule*: *rev-induct*)(*simp-all add*: *map-project-simps cong*: *option.case-cong*)

lemma *map-project-code* [*code*]:
fixes *dxs* :: *'a* :: *ceq set-dlist*
and *rbt* :: *'b* :: *ccompare set-rbt* **shows**
List.map-project f (*Set-Monad xs*) = *Set-Monad* (*List.map-filter f xs*)
List.map-project g (*DList-set dxs*) =
(*case ID CEQ*('*a*) *of None* ⇒ *Code.abort* (*STR ''map-project DList-set*: *ceq* = *None''*) (λ-. *List.map-project g* (*DList-set dxs*))
| *Some* - ⇒ *DList-Set.fold* (λ*x A*. *case g x of None* ⇒ *A* | *Some y* ⇒ *insert y A*) *dxs* {})
(**is** *?dlist*)
List.map-project h (*RBT-set rbt*) =
(*case ID CCOMPARE*('*b*) *of None* ⇒ *Code.abort* (*STR ''map-project RBT-set*: *ccompare* = *None''*) (λ-. *List.map-project h* (*RBT-set rbt*))
| *Some* - ⇒ *RBT-Set2.fold* (λ*x A*. *case h x of None* ⇒ *A* | *Some y* ⇒ *insert y A*) *rbt* {})
(**is** *?rbt*)
proof −
show *?dlist ?rbt*
by(*auto split*: *option.split simp add*: *RBT-set-def DList-set-def DList-Set.fold.rep-eq Collect-member map-project-conv-fold RBT-Set2.fold-conv-fold-keys member-conv-keys del*: *equalityI*)
qed(*auto simp add*: *List.map-project-def List.map-filter-def intro*: *rev-image-eqI*)

lemma *Bleast-code* [*code*]:
Bleast A P =
(*if finite A then case filter P* (*sorted-list-of-set A*) *of* [] ⇒ *abort-Bleast A P* | *x* # *xs* ⇒ *x*
else abort-Bleast A P)
proof(*cases finite A*)
case *True*

hence ∗: *A* = *set* (*sorted-list-of-set A*) **by**(*simp add*: *sorted-list-of-set*)
show *?thesis* **using** *True*
by(*subst* (*1 3*) ∗)(*unfold Bleast-code*, *simp add*: *sorted-sort-id*)
qed(*simp add*: *abort-Bleast-def Bleast-def*)

lemma *can-select-code* [*code*]:
fixes *xs* :: *'a* :: *ceq list*
and *dxs* :: *'a* :: *ceq set-dlist*
and *rbt* :: *'b* :: *ccompare set-rbt* **shows**
can-select P (*Set-Monad xs*) =
(*case ID CEQ*('*a*) *of None* ⇒ *Code.abort* (*STR ''can-select Set-Monad*: *ceq* = *None''*) (λ-. *can-select P* (*Set-Monad xs*))
| *Some eq* ⇒ *case filter P xs of Nil* ⇒ *False* | *x* # *xs* ⇒ *list-all* (*eq x*) *xs*)
(**is** *?Set-Monad*)
can-select Q (*DList-set dxs*) =
(*case ID CEQ*('*a*) *of None* ⇒ *Code.abort* (*STR ''can-select DList-set*: *ceq* = *None''*) (λ-. *can-select Q* (*DList-set dxs*))
| *Some* - ⇒ *DList-Set.length* (*DList-Set.filter Q dxs*) = *1*)
(**is** *?dlist*)
can-select R (*RBT-set rbt*) =
(*case ID CCOMPARE*('*b*) *of None* ⇒ *Code.abort* (*STR ''can-select RBT-set*: *ccompare* = *None''*) (λ-. *can-select R* (*RBT-set rbt*))
| *Some* - ⇒ *singleton-list-fusion* (*filter-generator R rbt-keys-generator*) (*RBT-Set2.init rbt*))
(**is** *?rbt*)
proof −
show *?Set-Monad*
apply(*auto split*: *option.split list.split dest*!: *ID-ceq*[*THEN equal.equal-eq*] *dest*: *filter-eq-ConsD simp add*: *can-select-def filter-empty-conv list-all-iff*)
apply(*drule filter-eq-ConsD*, *fastforce*)
apply(*drule filter-eq-ConsD*, *clarsimp*, *blast*)
done

show *?dlist*
by(*clarsimp simp add*: *can-select-def card-eq-length*[*symmetric*] *Set-member-code card-eq-Suc-0-ex1 simp del*: *card-eq-length split*: *option.split*)

note [*simp del*] = *distinct-keys*
show *?rbt*
using *distinct-keys*[*of rbt*]
apply(*auto simp add*: *can-select-def singleton-list-fusion-def unfoldr-filter-generator unfoldr-rbt-keys-generator Set-member-code member-conv-keys filter-empty-conv empty-filter-conv split*: *option.split list.split dest*: *filter-eq-ConsD*)
apply(*drule filter-eq-ConsD*, *fastforce*)
apply(*drule filter-eq-ConsD*, *fastforce simp add*: *empty-filter-conv*)
apply(*drule filter-eq-ConsD*)
apply *clarsimp*
apply(*drule Cons-eq-filterD*)

apply *clarify*
apply(*simp* (*no-asm-use*))
apply *blast*
done
qed

lemma *pred-of-set-code* [*code*]:
fixes *dxs* :: *'a* :: *ceq set-dlist*
and *rbt* :: *'b* :: *ccompare set-rbt* **shows**
pred-of-set (*Set-Monad xs*) = *fold* (*sup* ∘ *Predicate.single*) *xs bot*
pred-of-set (*DList-set dxs*) =
(*case ID CEQ*('*a*) *of None* ⇒ *Code.abort* (*STR ''pred-of-set DList-set*: *ceq* = *None''*) (λ-. *pred-of-set* (*DList-set dxs*))
| *Some* - ⇒ *DList-Set.fold* (*sup* ∘ *Predicate.single*) *dxs bot*)
pred-of-set (*RBT-set rbt*) =
(*case ID CCOMPARE*('*b*) *of None* ⇒ *Code.abort* (*STR ''pred-of-set RBT-set*: *ccompare* = *None''*) (λ-. *pred-of-set* (*RBT-set rbt*))
| *Some* - ⇒ *RBT-Set2.fold* (*sup* ∘ *Predicate.single*) *rbt bot*)
by(*auto simp add*: *pred-of-set-set-fold-sup fold-map DList-set-def RBT-set-def Collect-member member-conv-keys DList-Set.fold.rep-eq fold-conv-fold-keys split*: *option.split*)

'a Predicate.pred is implemented as a monad, so we keep the monad when converting to *'a set*. For this case, *insert-monad* and *union-monad* avoid the unnecessary dictionary construction.

definition *insert-monad* :: *'a* ⇒ *'a set* ⇒ *'a set*
where [*simp*]: *insert-monad* = *insert*

definition *union-monad* :: *'a set* ⇒ *'a set* ⇒ *'a set*
where [*simp*]: *union-monad* = (∪)

lemma *insert-monad-code* [*code*]:
insert-monad x (*Set-Monad xs*) = *Set-Monad* (*x* # *xs*)
by *simp*

lemma *union-monad-code* [*code*]:
union-monad (*Set-Monad xs*) (*Set-Monad ys*) = *Set-Monad* (*xs* @ *ys*)
by(*simp*)

lemma *set-of-pred-code* [*code*]:
set-of-pred (*Predicate.Seq f*) =
(*case f* () *of seq.Empty* ⇒ *Set-Monad* []
| *seq.Insert x P* ⇒ *insert-monad x* (*set-of-pred P*)
| *seq.Join P xq* ⇒ *union-monad* (*set-of-pred P*) (*set-of-seq xq*))
by(*simp add*: *of-pred-code cong*: *seq.case-cong*)

lemma *set-of-seq-code* [*code*]:
set-of-seq seq.Empty = *Set-Monad* []
set-of-seq (*seq.Insert x P*) = *insert-monad x* (*set-of-pred P*)

set-of-seq (*seq.Join P xq*) = *union-monad* (*set-of-pred P*) (*set-of-seq xq*)
by(*simp-all add*: *of-seq-code*)

hide-const (**open**) *insert-monad union-monad*

3.12.5 Type class instantiations

datatype *set-impl* = *Set-IMPL*
declare
set-impl.eq.simps [*code del*]
set-impl.size [*code del*]
set-impl.rec [*code del*]
set-impl.case [*code del*]

lemma [*code*]:
fixes *x* :: *set-impl*
shows *size x* = *0*
and *size-set-impl x* = *0*
by(*case-tac* [!] *x*) *simp-all*

definition *set-Choose* :: *set-impl* **where** [*simp*]: *set-Choose* = *Set-IMPL*
definition *set-Collect* :: *set-impl* **where** [*simp*]: *set-Collect* = *Set-IMPL*
definition *set-DList* :: *set-impl* **where** [*simp*]: *set-DList* = *Set-IMPL*
definition *set-RBT* :: *set-impl* **where** [*simp*]: *set-RBT* = *Set-IMPL*
definition *set-Monad* :: *set-impl* **where** [*simp*]: *set-Monad* = *Set-IMPL*

code-datatype *set-Choose set-Collect set-DList set-RBT set-Monad*

definition *set-empty-choose* :: *'a set* **where** [*simp*]: *set-empty-choose* = {}

lemma *set-empty-choose-code* [*code*]:
(*set-empty-choose* :: *'a* :: {*ceq*, *ccompare*} *set*) =
(*case CCOMPARE*(*'a*) *of Some* - ⇒ *RBT-set RBT-Set2.empty*
| *None* ⇒ *case CEQ*(*'a*) *of None* ⇒ *Set-Monad* [] | *Some* - ⇒ *DList-set* (*DList-Set.empty*))
by(*simp split*: *option.split*)

definition *set-impl-choose2* :: *set-impl* ⇒ *set-impl* ⇒ *set-impl*
where [*simp*]: *set-impl-choose2* = (λ- -. *Set-IMPL*)

lemma *set-impl-choose2-code* [*code*]:
set-impl-choose2 x y = *set-Choose*
set-impl-choose2 set-Collect set-Collect = *set-Collect*
set-impl-choose2 set-DList set-DList = *set-DList*
set-impl-choose2 set-RBT set-RBT = *set-RBT*
set-impl-choose2 set-Monad set-Monad = *set-Monad*
by(*simp-all*)

definition *set-empty* :: *set-impl* ⇒ *'a set*

where [*simp*]: *set-empty* = (λ-. {})

lemma *set-empty-code* [*code*]:
set-empty set-Collect = *Collect-set* (λ-. *False*)
set-empty set-DList = *DList-set DList-Set.empty*
set-empty set-RBT = *RBT-set RBT-Set2.empty*
set-empty set-Monad = *Set-Monad* []
set-empty set-Choose = *set-empty-choose*
by(*simp-all*)

class *set-impl* =
fixes *set-impl* :: ('*a*, *set-impl*) *phantom*

syntax (*input*)
-SET-IMPL :: *type* => *logic* ((*1SET'-IMPL*/(*1'*(-'))))

parse-translation ‹
let
fun set-impl-tr [*ty*] =
(*Syntax.const* @{*syntax-const -constrain*} $ *Syntax.const* @{*const-syntax set-impl*}
$
(*Syntax.const* @{*type-syntax phantom*} $ *ty* $ *Syntax.const* @{*type-syntax set-impl*}))
| *set-impl-tr ts* = *raise TERM* (*set-impl-tr*, *ts*);
in [(@{*syntax-const -SET-IMPL*}, *K set-impl-tr*)] *end*
›

declare [[*code drop*: {}]]

lemma *empty-code* [*code*, *code-unfold*]:
({} :: '*a* :: *set-impl set*) = *set-empty* (*of-phantom SET-IMPL*('*a*))
by *simp*

3.12.6 Generator for the *set-impl*-class

This generator registers itself at the derive-manager for the classes *set-impl*.
Here, one can choose the desired implementation via the parameter.

- `instantiation type :: (type,...,type) (rbt,dlist,collect,monad,choose, or arbitrary constant name) set-impl`

This generator can be used for arbitrary types, not just datatypes.

ML-file ‹*set-impl-generator.ML*›

derive (*dlist*) *set-impl unit bool*
derive (*rbt*) *set-impl nat*
derive (*set-RBT*) *set-impl int*
derive (*dlist*) *set-impl Enum.finite-1 Enum.finite-2 Enum.finite-3*

derive (*rbt*) *set-impl integer natural*
derive (*rbt*) *set-impl char*

instantiation *sum* :: (*set-impl*, *set-impl*) *set-impl* **begin**
definition *SET-IMPL*('*a* + '*b*) = *Phantom*('*a* + '*b*)
(*set-impl-choose2* (*of-phantom SET-IMPL*('*a*)) (*of-phantom SET-IMPL*('*b*)))
instance ..
end

instantiation *prod* :: (*set-impl*, *set-impl*) *set-impl* **begin**
definition *SET-IMPL*('*a* ∗ '*b*) = *Phantom*('*a* ∗ '*b*)
(*set-impl-choose2* (*of-phantom SET-IMPL*('*a*)) (*of-phantom SET-IMPL*('*b*)))
instance ..
end

derive (*choose*) *set-impl list*
derive (*rbt*) *set-impl String.literal*

instantiation *option* :: (*set-impl*) *set-impl* **begin**
definition *SET-IMPL*('*a option*) = *Phantom*('*a option*) (*of-phantom SET-IMPL*('*a*))
instance ..
end

derive (*monad*) *set-impl fun*
derive (*choose*) *set-impl set*

instantiation *phantom* :: (*type*, *set-impl*) *set-impl* **begin**
definition *SET-IMPL*(('*a*, '*b*) *phantom*) = *Phantom* (('*a*, '*b*) *phantom*) (*of-phantom SET-IMPL*('*b*))
instance ..
end

We enable automatic implementation selection for sets constructed by *set*, although they could be directly converted using *Set-Monad* in constant time. However, then it is more likely that the parameters of binary operators have different implementations, which can lead to less efficient execution.

However, we test whether automatic selection picks *Set-Monad* anyway and take a short-cut.

definition *set-aux* :: *set-impl* ⇒ '*a list* ⇒ '*a set*
where [*simp*, *code del*]: *set-aux* - = *set*

lemma *set-aux-code* [*code*]:
defines *conv* ≡ *foldl* (λ*s* (*x* :: '*a*). *insert x s*)
shows
set-aux impl = *conv* (*set-empty impl*) (**is** *?thesis1*)
set-aux set-Choose =
(*case CCOMPARE*('*a* :: {*ccompare*, *ceq*}) *of Some* - ⇒ *conv* (*RBT-set RBT-Set2.empty*)
| *None* ⇒ *case CEQ*('*a*) *of None* ⇒ *Set-Monad*

| *Some* - ⇒ *conv* (*DList-set DList-Set.empty*)) (**is** *?thesis2*)
set-aux set-Monad = *Set-Monad*
proof −
have *conv* {} = *set*
by(*rule ext*)(*induct-tac x rule*: *rev-induct*, *simp-all add*: *conv-def*)
thus *?thesis1 ?thesis2*
by(*simp-all split*: *option.split*)
qed *simp*

lemma *set-code* [*code*]:
fixes *xs* :: *'a* :: *set-impl list*
shows *set xs* = *set-aux* (*of-phantom* (*ID SET-IMPL*('*a*))) *xs*
by(*simp*)

3.12.7 Pretty printing for sets

code-post marks contexts (as hypothesis) in which we use code_post as a decision procedure rather than a pretty-printing engine. The intended use is to enable more rules when proving assumptions of rewrite rules.

definition *code-post* :: *bool* **where** *code-post* = *True*

lemma *conj-code-post* [*code-post*]:
assumes *code-post*
shows *True* & *x* ⟷ *x* *False* & *x* ⟷ *False*
by *simp-all*

A flag to switch post-processing of sets on and off. Use `declare pretty_sets[code_post del]` to disable pretty printing of sets in value.

definition *code-post-set* :: *bool*
where *pretty-sets* [*code-post*, *simp*]: *code-post-set* = *True*

definition *collapse-RBT-set* :: *'a set-rbt* ⇒ *'a* :: *ccompare set* ⇒ *'a set*
where *collapse-RBT-set r M* = *set* (*RBT-Set2.keys r*) ∪ *M*

lemma *RBT-set-collapse-RBT-set* [*code-post*]:
fixes *r* :: *'a* :: *ccompare set-rbt*
assumes *code-post* ⟹ *is-ccompare TYPE*('*a*) **and** *code-post-set*
shows *RBT-set r* = *collapse-RBT-set r* {}
using *assms*
by(*clarsimp simp add*: *code-post-def is-ccompare-def RBT-set-def member-conv-keys collapse-RBT-set-def*)

lemma *collapse-RBT-set-Branch* [*code-post*]:
collapse-RBT-set (*Mapping-RBT* (*Branch c l x v r*)) *M* =
collapse-RBT-set (*Mapping-RBT l*) (*insert x* (*collapse-RBT-set* (*Mapping-RBT r*) *M*))
unfolding *collapse-RBT-set-def*
by(*auto simp add*: *is-ccompare-def set-keys-Mapping-RBT*)

lemma *collapse-RBT-set-Empty* [*code-post*]:
collapse-RBT-set (*Mapping-RBT rbt.Empty*) *M* = *M*
by(*auto simp add*: *collapse-RBT-set-def set-keys-Mapping-RBT*)

definition *collapse-DList-set* :: *'a* :: *ceq set-dlist* ⇒ *'a set*
where *collapse-DList-set dxs* = *set* (*DList-Set.list-of-dlist dxs*)

lemma *DList-set-collapse-DList-set* [*code-post*]:
fixes *dxs* :: *'a* :: *ceq set-dlist*
assumes *code-post* ⟹ *is-ceq TYPE*(*'a*) **and** *code-post-set*
shows *DList-set dxs* = *collapse-DList-set dxs*
using *assms*
by(*clarsimp simp add*: *code-post-def DList-set-def is-ceq-def collapse-DList-set-def Collect-member*)

lemma *collapse-DList-set-empty* [*code-post*]: *collapse-DList-set* (*Abs-dlist* []) = {}
by(*simp add*: *collapse-DList-set-def Abs-dlist-inverse*)

lemma *collapse-DList-set-Cons* [*code-post*]:
collapse-DList-set (*Abs-dlist* (*x* # *xs*)) = *insert x* (*collapse-DList-set* (*Abs-dlist xs*))
by(*simp add*: *collapse-DList-set-def set-list-of-dlist-Abs-dlist*)

lemma *Set-Monad-code-post* [*code-post*]:
assumes *code-post-set*
shows *Set-Monad* [] = {}
and *Set-Monad* (*x*#*xs*) = *insert x* (*Set-Monad xs*)
by *simp-all*

end

theory *Mapping-Impl* **imports**
RBT-Mapping2
AssocList
HOL−Library.Mapping
Set-Impl
Containers-Generator
begin

3.13 Different implementations of maps

code-identifier
code-module *Mapping* ⇀ (*SML*) *Mapping-Impl*
| **code-module** *Mapping-Impl* ⇀ (*SML*) *Mapping-Impl*

3.13.1 Map implementations

definition *Assoc-List-Mapping* :: ('*a*, '*b*) *alist* ⇒ ('*a*, '*b*) *mapping*
where [*simp*]: *Assoc-List-Mapping al* = *Mapping.Mapping* (*DAList.lookup al*)

definition *RBT-Mapping* :: ('*a* :: *ccompare*, '*b*) *mapping-rbt* ⇒ ('*a*, '*b*) *mapping*
where [*simp*]: *RBT-Mapping t* = *Mapping.Mapping* (*RBT-Mapping2.lookup t*)

code-datatype *Assoc-List-Mapping RBT-Mapping Mapping*

3.13.2 Map operations

declare [[*code drop*: *Mapping.lookup*]]

lemma *lookup-Mapping-code* [*code*]:
Mapping.lookup (*Assoc-List-Mapping al*) = *DAList.lookup al*
Mapping.lookup (*RBT-Mapping t*) = *RBT-Mapping2.lookup t*
by(*simp-all*)(*transfer*, *rule*)+

declare [[*code drop*: *Mapping.is-empty*]]

lemma *is-empty-transfer* [*transfer-rule*]:
includes *lifting-syntax*
shows (*pcr-mapping* (=) (=) ===> (=)) (λ*m*. *m* = *Map.empty*) *Mapping.is-empty*
unfolding *mapping.pcr-cr-eq*
apply(*rule rel-funI*)
apply(*case-tac y*)
apply(*simp add*: *Mapping.is-empty-def cr-mapping-def Mapping-inverse Mapping.keys.rep-eq*)
done

lemma *is-empty-Mapping* [*code*]:
fixes *t* :: ('*a* :: *ccompare*, '*b*) *mapping-rbt* **shows**
Mapping.is-empty (*Assoc-List-Mapping al*) ⟷ *al* = *DAList.empty*
Mapping.is-empty (*RBT-Mapping t*) ⟷
(*case ID CCOMPARE*('*a*) *of None* ⇒ *Code.abort* (*STR* ''*is-empty RBT-Mapping*: *ccompare* = *None*'') (λ-. *Mapping.is-empty* (*RBT-Mapping t*))
| *Some* - ⇒ *RBT-Mapping2.is-empty t*)
apply(*simp-all split*: *option.split*)
apply(*transfer*, *case-tac al*, *simp-all*)
apply(*transfer*, *simp*)
done

declare [[*code drop*: *Mapping.update*]]

lemma *update-Mapping* [*code*]:
fixes *t* :: ('*a* :: *ccompare*, '*b*) *mapping-rbt* **shows**
Mapping.update k v (*Mapping m*) = *Mapping* (*m*(*k* ↦ *v*))
Mapping.update k v (*Assoc-List-Mapping al*) = *Assoc-List-Mapping* (*DAList.update k v al*)
Mapping.update k v (*RBT-Mapping t*) =

(*case ID CCOMPARE*('*a*) *of None* ⇒ *Code.abort* (*STR* ''*update RBT-Mapping*: *ccompare* = *None*'') (λ-. *Mapping.update k v* (*RBT-Mapping t*))
| *Some* - ⇒ *RBT-Mapping* (*RBT-Mapping2.insert k v t*)) (**is** *?RBT*)
by(*simp-all split*: *option.split*)(*transfer*, *simp*)+

declare [[*code drop*: *Mapping.delete*]]

lemma *delete-Mapping* [*code*]:
fixes *t* :: ('*a* :: *ccompare*, '*b*) *mapping-rbt* **shows**
Mapping.delete k (*Mapping m*) = *Mapping* (*m*(*k* := *None*))
Mapping.delete k (*Assoc-List-Mapping al*) = *Assoc-List-Mapping* (*AssocList.delete k al*)
Mapping.delete k (*RBT-Mapping t*) =
(*case ID CCOMPARE*('*a*) *of None* ⇒ *Code.abort* (*STR* ''*delete RBT-Mapping*: *ccompare* = *None*'') (λ-. *Mapping.delete k* (*RBT-Mapping t*))
| *Some* - ⇒ *RBT-Mapping* (*RBT-Mapping2.delete k t*))
by(*simp-all split*: *option.split*)(*transfer*, *simp*)+

declare [[*code drop*: *Mapping.keys*]]

theorem *rbt-comp-lookup-map-const*: *rbt-comp-lookup c* (*RBT-Impl.map* (λ-. *f*) *t*) = *map-option f* ∘ *rbt-comp-lookup c t*
by(*induct t*)(*auto simp*: *fun-eq-iff split*: *order.split*)

lemma *keys-Mapping* [*code*]:
fixes *t* :: ('*a* :: *ccompare*, '*b*) *mapping-rbt* **shows**
Mapping.keys (*Mapping m*) = *Collect* (λ*k*. *m k* ≠ *None*) (**is** *?Mapping*)
Mapping.keys (*Assoc-List-Mapping al*) = *AssocList.keys al* (**is** *?Assoc-List*)
Mapping.keys (*RBT-Mapping t*) = *RBT-set* (*RBT-Mapping2.map* (λ- -. ()) *t*) (**is** *?RBT*)
proof −
show *?Mapping* **by** *transfer auto*
show *?Assoc-List* **by** *simp*(*transfer*, *auto intro*: *rev-image-eqI*)
show *?RBT*
by(*simp add*: *RBT-set-def*, *transfer*, *auto simp add*: *rbt-comp-lookup-map-const o-def*)
qed

declare [[*code drop*: *Mapping.size*]]

lemma *Mapping-size-transfer* [*transfer-rule*]:
includes *lifting-syntax*
shows (*pcr-mapping* (=) (=) ===> (=)) (*card* ∘ *dom*) *Mapping.size*
apply(*rule rel-funI*)
apply(*case-tac y*)
apply(*simp add*: *Mapping.size-def Mapping.keys.rep-eq Mapping-inverse mapping.pcr-cr-eq cr-mapping-def*)
done

lemma *size-Mapping* [*code*]:
fixes *t* :: ('*a* :: *ccompare*, '*b*) *mapping-rbt* **shows**
Mapping.size (*Assoc-List-Mapping al*) = *size al*
Mapping.size (*RBT-Mapping t*) =
(*case ID CCOMPARE*('*a*) *of None* ⇒ *Code.abort* (*STR* ''*size RBT-Mapping*: *ccompare* = *None*'') (λ-. *Mapping.size* (*RBT-Mapping t*))
| *Some* - ⇒ *length* (*RBT-Mapping2.entries t*))
apply(*simp-all split*: *option.split*)
apply(*transfer*, *simp add*: *dom-map-of-conv-image-fst set-map*[*symmetric*] *distinct-card del*: *set-map*)
apply *transfer*
apply(*clarsimp simp add*: *size-eq-card-dom-lookup*)
apply(*simp add*: *linorder.rbt-lookup-keys*[*OF ID-ccompare*] *ord.is-rbt-rbt-sorted RBT-Impl.keys-def distinct-card linorder.distinct-entries*[*OF ID-ccompare*] *del*: *set-map*)
done

declare [[*code drop*: *Mapping.tabulate*]]
declare *tabulate-fold* [*code*]

declare [[*code drop*: *Mapping.ordered-keys*]]
declare *ordered-keys-def*[*code*]

declare [[*code drop*: *Mapping.lookup-default*]]
declare *Mapping.lookup-default-def*[*code*]

declare [[*code drop*: *Mapping.filter*]]
lemma *filter-code* [*code*]:
fixes *t* :: ('*a* :: *ccompare*, '*b*) *mapping-rbt* **shows**
Mapping.filter P (*Mapping m*) = *Mapping* (λ*k*. *case m k of None* ⇒ *None* | *Some v* ⇒ *if P k v then Some v else None*)
Mapping.filter P (*Assoc-List-Mapping al*) = *Assoc-List-Mapping* (*DAList.filter* (λ(*k*, *v*). *P k v*) *al*)
Mapping.filter P (*RBT-Mapping t*) =
(*case ID CCOMPARE*('*a*) *of None* ⇒ *Code.abort* (*STR* ''*filter RBT-Mapping*: *ccompare* = *None*'') (λ-. *Mapping.filter P* (*RBT-Mapping t*))
| *Some* - ⇒ *RBT-Mapping* (*RBT-Mapping2.filter* (λ(*k*, *v*). *P k v*) *t*))
subgoal by *transfer simp*
subgoal by (*simp*, *transfer*)(*simp add*: *map-of-filter-apply fun-eq-iff cong*: *if-cong option.case-cong*)
subgoal by(*clarsimp simp add*: *Mapping-inject Mapping.filter.abs-eq fun-eq-iff split*: *option.split*)
done

declare [[*code drop*: *Mapping.map*]]
lemma *map-values-code* [*code*]:
fixes *t* :: ('*a* :: *ccompare*, '*b*) *mapping-rbt* **shows**
Mapping.map-values f (*Mapping m*) = *Mapping* (λ*k*. *map-option* (*f k*) (*m k*))

Mapping.map-values f (*Assoc-List-Mapping al*) = *Assoc-List-Mapping* (*AssocList.map-values f al*)
Mapping.map-values f (*RBT-Mapping t*) =
(*case ID CCOMPARE*('*a*) *of None* ⇒ *Code.abort* (*STR* ''*map-values RBT-Mapping*: *ccompare* = *None*'') (λ-. *Mapping.map-values f* (*RBT-Mapping t*))
| *Some* - ⇒ *RBT-Mapping* (*RBT-Mapping2.map f t*))
subgoal by *transfer simp*
subgoal by(*simp*, *transfer*)(*simp add*: *fun-eq-iff map-of-map*′)
subgoal by(*clarsimp simp add*: *Mapping-inject Mapping.map-values.abs-eq fun-eq-iff split*: *option.split*)
done

declare [[*code drop*: *Mapping.combine-with-key*]]
declare [[*code drop*: *Mapping.combine*]]

datatype *mapping-impl* = *Mapping-IMPL*
declare
mapping-impl.eq.simps [*code del*]
mapping-impl.rec [*code del*]
mapping-impl.case [*code del*]

lemma [*code*]:
fixes *x* :: *mapping-impl*
shows *size x* = *0*
and *size-mapping-impl x* = *0*
by(*case-tac* [!] *x*) *simp-all*

definition *mapping-Choose* :: *mapping-impl* **where** [*simp*]: *mapping-Choose* = *Mapping-IMPL*
definition *mapping-Assoc-List* :: *mapping-impl* **where** [*simp*]: *mapping-Assoc-List* = *Mapping-IMPL*
definition *mapping-RBT* :: *mapping-impl* **where** [*simp*]: *mapping-RBT* = *Mapping-IMPL*
definition *mapping-Mapping* :: *mapping-impl* **where** [*simp*]: *mapping-Mapping* = *Mapping-IMPL*

code-datatype *mapping-Choose mapping-Assoc-List mapping-RBT mapping-Mapping*

definition *mapping-empty-choose* :: (′*a*, ′*b*) *mapping*
where [*simp*]: *mapping-empty-choose* = *Mapping.empty*

lemma *mapping-empty-choose-code* [*code*]:
(*mapping-empty-choose* :: (′*a* :: *ccompare*, ′*b*) *mapping*) =
(*case ID CCOMPARE*('*a*) *of Some* - ⇒ *RBT-Mapping RBT-Mapping2.empty*
| *None* ⇒ *Assoc-List-Mapping DAList.empty*)
by(*auto split*: *option.split simp add*: *DAList.lookup-empty*[*abs-def*] *Mapping.empty-def*)

definition *mapping-impl-choose2* :: *mapping-impl* ⇒ *mapping-impl* ⇒ *mapping-impl*
where [*simp*]: *mapping-impl-choose2* = (λ- -. *Mapping-IMPL*)

lemma *mapping-impl-choose2-code* [*code*]:
mapping-impl-choose2 x y = mapping-Choose
mapping-impl-choose2 mapping-Mapping mapping-Mapping = mapping-Mapping
mapping-impl-choose2 mapping-Assoc-List mapping-Assoc-List = mapping-Assoc-List
mapping-impl-choose2 mapping-RBT mapping-RBT = mapping-RBT
by(*simp-all*)

definition *mapping-empty* :: *mapping-impl* ⇒ ('*a*, '*b*) *mapping*
where [*simp*]: *mapping-empty* = (λ-. *Mapping.empty*)

lemma *mapping-empty-code* [*code*]:
mapping-empty mapping-Choose = mapping-empty-choose
mapping-empty mapping-Mapping = Mapping (λ-. *None*)
mapping-empty mapping-Assoc-List = Assoc-List-Mapping DAList.empty
mapping-empty mapping-RBT = RBT-Mapping RBT-Mapping2.empty
by(*simp-all add*: *Mapping.empty-def DAList.lookup-empty*[*abs-def*])

3.13.3 Type classes

class *mapping-impl* =
fixes *mapping-impl* :: ('*a*, *mapping-impl*) *phantom*

syntax (*input*)
-MAPPING-IMPL :: *type* => *logic* ((*1MAPPING'-IMPL*/(*1*'(-'))))

parse-translation ‹
let
fun mapping-impl-tr [*ty*] =
(*Syntax.const* @{*syntax-const -constrain*} $ *Syntax.const* @{*const-syntax mapping-impl*}
$
(*Syntax.const* @{*type-syntax phantom*} $ *ty* $ *Syntax.const* @{*type-syntax mapping-impl*}))
| *mapping-impl-tr ts = raise TERM* (*mapping-impl-tr*, *ts*);
in [(@{*syntax-const -MAPPING-IMPL*}, *K mapping-impl-tr*)] *end*
›

declare [[*code drop*: *Mapping.empty*]]

lemma *Mapping-empty-code* [*code*, *code-unfold*]:
(*Mapping.empty* :: ('*a* :: *mapping-impl*, '*b*) *mapping*) =
mapping-empty (*of-phantom MAPPING-IMPL*('*a*))
by *simp*

3.13.4 Generator for the *mapping-impl*-class

This generator registers itself at the derive-manager for the classes *mapping-impl*. Here, one can choose the desired implementation via the parameter.

- `instantiation type :: (type,...,type) (rbt,assoclist,mapping,choose, or arbitrary constant name) mapping-impl`

This generator can be used for arbitrary types, not just datatypes.

ML-file ‹*mapping-impl-generator.ML*›

derive (*assoclist*) *mapping-impl unit bool*
derive (*rbt*) *mapping-impl nat*
derive (*mapping-RBT*) *mapping-impl int*
derive (*assoclist*) *mapping-impl Enum.finite-1 Enum.finite-2 Enum.finite-3*
derive (*rbt*) *mapping-impl integer natural*
derive (*rbt*) *mapping-impl char*

instantiation *sum* :: (*mapping-impl*, *mapping-impl*) *mapping-impl* **begin**
definition *MAPPING-IMPL*('*a* + '*b*) = *Phantom*('*a* + '*b*)
(*mapping-impl-choose2* (*of-phantom MAPPING-IMPL*('*a*)) (*of-phantom MAPPING-IMPL*('*b*)))
instance ..
end

instantiation *prod* :: (*mapping-impl*, *mapping-impl*) *mapping-impl* **begin**
definition *MAPPING-IMPL*('*a* ∗ '*b*) = *Phantom*('*a* ∗ '*b*)
(*mapping-impl-choose2* (*of-phantom MAPPING-IMPL*('*a*)) (*of-phantom MAPPING-IMPL*('*b*)))
instance ..
end

derive (*choose*) *mapping-impl list*
derive (*rbt*) *mapping-impl String.literal*

instantiation *option* :: (*mapping-impl*) *mapping-impl* **begin**
definition *MAPPING-IMPL*('*a option*) = *Phantom*('*a option*) (*of-phantom MAPPING-IMPL*('*a*))
instance ..
end

derive (*choose*) *mapping-impl set*

instantiation *phantom* :: (*type*, *mapping-impl*) *mapping-impl* **begin**
definition *MAPPING-IMPL*(('*a*, '*b*) *phantom*) = *Phantom* (('*a*, '*b*) *phantom*)
(*of-phantom MAPPING-IMPL*('*b*))
instance ..
end

declare [[*code drop*: *Mapping.bulkload*]]
lemma *bulkload-code* [*code*]:
Mapping.bulkload vs = *RBT-Mapping* (*RBT-Mapping2.bulkload* (*zip-with-index vs*))

by(*simp add*: *Mapping.bulkload.abs-eq Mapping-inject ccompare-nat-def ID-def fun-eq-iff*)

end

theory *Map-To-Mapping* **imports**
Mapping-Impl
begin

3.14 Infrastructure for operation identification

To convert theorems from $'a \Rightarrow 'b$ *option* to $('a, 'b)$ *mapping* using lifting / transfer, we first introduce constants for the empty map and map lookup, then apply lifting / transfer, and finally eliminate the non-converted constants again.

Dynamic theorem list of rewrite rules that are applied before Transfer.transferred

ML ‹
structure Containers-Pre = Named-Thms
(
val name = @{*binding containers-pre*}
val description = Preprocessing rewrite rules in operation identification for Containers
)
›
setup ‹*Containers-Pre.setup*›

Dynamic theorem list of rewrite rules that are applied after Transfer.transferred

ML ‹
structure Containers-Post = Named-Thms
(
val name = @{*binding containers-post*}
val description = Postprocessing rewrite rules in operation identification for Containers
)
›
setup ‹*Containers-Post.setup*›

context includes *lifting-syntax*
begin

definition *map-empty* :: $'a \Rightarrow 'b$ *option*
where [*code-unfold*]: *map-empty* = *Map.empty*

declare *map-empty-def*[*containers-post*, *symmetric*, *containers-pre*]

declare *Mapping.empty.transfer*[*transfer-rule del*]

lemma *map-empty-transfer* [*transfer-rule*]:
(*pcr-mapping A B*) *map-empty Mapping.empty*
unfolding *map-empty-def* **by**(*rule Mapping.empty.transfer*)

definition *map-apply* :: ('*a* ⇒ '*b option*) ⇒ '*a* ⇒ '*b option*
where [*code-unfold*]: *map-apply* = (λ*m*. *m*)

lemma *eq-map-apply*: *m x* ≡ *map-apply m x*
by(*simp add*: *map-apply-def*)

declare *eq-map-apply*[*symmetric*, *abs-def*, *containers-post*]

We cannot use *eq-map-apply* as a fold rule for operator identification, because it would loop. We use a simproc instead.

```
ML ‹
val map-apply-simproc =
  Simplifier.make-simproc @{context} map-apply
   {lhss = [@{term f x :: 'a option}],
    proc = fn - => fn ctxt => fn ct =>
      (case Thm.term-of ct of
        Const (@{const-name map-apply}, -) $ - $ - => NONE
      | f $ x =>
          let
            val cTr =
              Thm.typ-of-cterm ct
              |> dest-Type
              |> snd |> hd
              |> Thm.ctyp-of ctxt;
            val cTx = Thm.ctyp-of ctxt (fastype-of x);
            val cts = map (SOME o Thm.cterm-of ctxt) [f, x];
          in
         SOME (Thm.instantiate' [SOME cTr, SOME cTx] cts @{thm eq-map-apply})
          end
      | - => NONE)}
›
```

lemma *map-apply-parametric* [*transfer-rule*]:
((*A* ===> *B*) ===> *A* ===> *B*) *map-apply map-apply*
unfolding *map-apply-def* **by**(*transfer-prover*)

lemma *map-apply-transfer* [*transfer-rule*]:
(*pcr-mapping A B* ===> *A* ===> *rel-option B*) *map-apply Mapping.lookup*
by(*auto simp add*: *pcr-mapping-def cr-mapping-def Mapping.lookup-def map-apply-def dest*: *rel-funD*)

definition *map-update* :: *'a* ⇒ *'b option* ⇒ (*'a* ⇒ *'b option*) ⇒ (*'a* ⇒ *'b option*)
where *map-update x y f* = *f*(*x* := *y*)

lemma *map-update-parametric* [*transfer-rule*]:
assumes [*transfer-rule*]: *bi-unique A*
shows (*A* ===> *rel-option B* ===> (*A* ===> *rel-option B*) ===> (*A* ===> *rel-option B*)) *map-update map-update*
unfolding *map-update-def*[*abs-def*] **by** *transfer-prover*

context begin
local-setup ‹*Local-Theory.map-background-naming* (*Name-Space.mandatory-path Mapping*)›

lift-definition *update'* :: *'a* ⇒ *'b option* ⇒ (*'a*, *'b*) *mapping* ⇒ (*'a*, *'b*) *mapping*
is *map-update* **parametric** *map-update-parametric* **.**

lemma *update'-code* [*simp*, *code*, *code-unfold*]:
update' x None = *Mapping.delete x*
update' x (*Some y*) = *Mapping.update x y*
by(*transfer*, *simp add*: *map-update-def fun-eq-iff*)+

end

declare *map-update-def*[*abs-def*, *containers-post*] *map-update-def*[*symmetric*, *containers-pre*]

definition *map-is-empty* :: (*'a* ⇒ *'b option*) ⇒ *bool*
where *map-is-empty m* ⟷ *m* = *Map.empty*

lemma *map-is-empty-folds*:
m = *map-empty* ⟷ *map-is-empty m*
map-empty = *m* ⟷ *map-is-empty m*
by(*auto simp add*: *map-is-empty-def map-empty-def*)

declare *map-is-empty-folds*[*containers-pre*]
map-is-empty-def[*abs-def*, *containers-post*]

lemma *map-is-empty-transfer* [*transfer-rule*]:
assumes *bi-total A*
shows (*pcr-mapping A B* ===> (=)) *map-is-empty Mapping.is-empty*
unfolding *map-is-empty-def*[*abs-def*] *Mapping.is-empty-def*[*abs-def*] *dom-eq-empty-conv*[*symmetric*]
by(*rule rel-funI*)+(*auto simp del*: *dom-eq-empty-conv dest*: *rel-setD2 rel-setD1 Mapping.keys.transfer*[*THEN rel-funD*, *OF assms*])

end

```
ML ‹
signature CONTAINERS = sig
  val identify : Context.generic -> thm -> thm;
  val identify-attribute : attribute;
end

structure Containers: CONTAINERS =
struct

fun identify context thm =
  let
    val ctxt' = Context.proof-of context
    val ss = put-simpset HOL-basic-ss ctxt'
   val ctxt1 = ss addsimps Containers-Pre.get ctxt' addsimprocs [map-apply-simproc]
    val ctxt2 = ss addsimps Containers-Post.get ctxt'

    (* Hack to recover Transfer.transferred function from attribute *)
    fun transfer-transferred thm = Transfer.transferred-attribute [] (context, thm)
|> snd |> the
  in
    thm
    |> full-simplify ctxt1
    |> transfer-transferred
    |> full-simplify ctxt2
  end

val identify-attribute = Thm.rule-attribute [] identify

end
›
```

attribute-setup *containers-identify* =
‹*Scan.succeed Containers.identify-attribute*›
Transfer theorems for operator identification in Containers

hide-const (**open**) *map-apply map-empty map-is-empty map-update*
hide-fact (**open**) *map-apply-def map-empty-def eq-map-apply*

end

theory *Containers* **imports**
Set-Linorder
Collection-Order
Collection-Eq
Collection-Enum
Equal
Mapping-Impl
Map-To-Mapping

begin

end

3.15 Compatibility with Regular-Sets

theory *Compatibility-Containers-Regular-Sets* **imports**
Containers
Regular−Sets.Regexp-Method
begin

Adaptation theory to make *regexp* work when *Containers.Containers* are loaded.

Warning: Each invocation of *regexp* takes longer than without *Containers.Containers* because the code generator takes longer to generate the evaluation code for *regexp*.

datatype-compat *rexp*
derive *ceq rexp*
derive *ccompare rexp*
derive (*choose*) *set-impl rexp*

notepad begin
fix $r\ s :: ('a \times 'a)\ set$
have $(r \cup s\hat{}+)\hat{}* = (r \cup s)\hat{}*$ **by** *regexp*
end

end

Chapter 4

User guide

This user guide shows how to use and extend the lightweight containers framework (LC). For a more theoretical discussion, see [5]. This user guide assumes that you are familiar with refinement in the code generator [1, 2]. The theory *Containers-Userguide* generates it; so if you want to experiment with the examples, you can find their source code there. Further examples can be found in the `Examples` folder.

4.1 Characteristics

- **Separate type classes for code generation**
  LC follows the ideal that type classes for code generation should be separate from the standard type classes in Isabelle. LC's type classes are designed such that every type can become an instance, so well-sortedness errors during code generation can always be remedied.

- **Multiple implementations**
  LC supports multiple simultaneous implementations of the same container type. For example, the following implements at the same time (i) the set of *bool* as a distinct list of the elements, (ii) *int set* as a RBT of the elements or as the RBT of the complement, and (iii) sets of functions as monad-style lists:

  value ({True}, {1 :: int}, − {2 :: int, 3}, {λx :: int. x ∗ x, λy. y + 1})

  The LC type classes are the key to simultaneously supporting different implementations.

- **Extensibility**
  The LC framework is designed for being extensible. You can add new containers, implementations and element types any time.

4.2 Getting started

Add the entry theory *Containers.Containers* for LC to the end of your imports. This will reconfigure the code generator such that it implements the types *'a set* for sets and (*'a*, *'b*) *mapping* for maps with one of the data structures supported. As with all the theories that adapt the code generator setup, it is important that *Containers.Containers* comes at the end of the imports.

Note: LC should not be used together with the theory *HOL−Library.Code-Cardinality*.

Run the following command, e.g., to check that LC works correctly and implements sets of *int*s as red-black trees (RBT):

value [code] {1 :: int}

This should produce {*1*}. Without LC, sets are represented as (complements of) a list of elements, i.e., *set* [*1*] in the example.

If your exported code does not use your own types as elements of sets or maps and you have not declared any code equation for these containers, then your **export-code** command will use LC to implement *'a set* and (*'a*, *'b*) *mapping*.

Our running example will be arithmetic expressions. The function *vars e* computes the variables that occur in the expression *e*

type-synonym vname = string
datatype expr = Var vname | Lit int | Add expr expr
fun vars :: expr ⇒ vname set **where**
  vars (Var v) = {v}
| vars (Lit i) = {}
| vars (Add e_1 e_2) = vars e_1 ∪ vars e_2

value vars (Var ''x'')

To illustrate how to deal with type variables, we will use the following variant where variable names are polymorphic:

datatype 'a expr' = Var' 'a | Lit' int | Add' 'a expr' 'a expr'
fun vars' :: 'a expr' ⇒ 'a set **where**
  vars' (Var' v) = {v}
| vars' (Lit' i) = {}
| vars' (Add' e_1 e_2) = vars' e_1 ∪ vars' e_2

value vars' (Var' (1 :: int))

4.3 New types as elements

This section explains LC's type classes and shows how to instantiate them. If you want to use your own types as the elements of sets or the keys of maps, you must instantiate up to eight type classes: *ceq* (§4.3.1), *ccompare* (§4.3.2), *set-impl* (§4.3.3), *mapping-impl* (§4.3.3), *cenum* (§4.3.4), *finite-UNIV* (§4.3.5), *card-UNIV* (§4.3.5), and *cproper-interval* (§4.3.5). Otherwise, well-sortedness errors like the following will occur:

```
*** Wellsortedness error:
*** Type expr not of sort {ceq,ccompare}
*** No type arity expr :: ceq
*** At command "value"
```

In detail, the sort requirements on the element type $'a$ are:

- *ceq* (§4.3.1), *ccompare* (§4.3.2), and *set-impl* (§4.3.3) for $'a$ *set* in general
- *cenum* (§4.3.4) for set comprehensions $\{x.\ P\ x\}$,
- *card-UNIV*, *cproper-interval* for $'a$ *set set* and any deeper nesting of sets (§4.3.5),[1] and
- *equal*,[2] *ccompare* (§4.3.2) and *mapping-impl* (§4.3.3) for $('a,\ 'b)$ *mapping*.

4.3.1 Equality testing

The type class *ceq* defines the operation $CEQ('a) :: ('a \Rightarrow 'a \Rightarrow bool)\ option$ for testing whether two elements are equal.[3] The test is embedded in an *option* value to allow for types that do not support executable equality test such as $'a \Rightarrow 'b$. Whenever possible, $CEQ('a)$ should provide an executable equality operator. Otherwise, membership tests on such sets will raise an exception at run-time.

[1] These type classes are only required for set complements (see §4.7.2).

[2] We deviate here from the strict separation of type classes, because it does not make sense to store types in a map on which we do not have equality, because the most basic operation *Mapping.lookup* inherently requires equality.

[3] Technically, the type class *ceq* defines the operation *ceq*. As usage often does not fully determine *ceq*'s type, we use the notation $CEQ('a)$ that explicitly mentions the type. In detail, $CEQ('a)$ is translated to $CEQ('a) :: ('a \Rightarrow 'a \Rightarrow bool)\ option$ including the type constraint. We do the same for the other type class operators: *ccompare* constrains the operation *ccompare* (§4.3.2), $SET\text{-}IMPL('a)$ constrains the operation *set-impl*, (§4.3.3), $MAPPING\text{-}IMPL('a)$ (constrains the operation *mapping-impl*, (§4.3.3), and $CENUM('a)$ constrains the operation *cenum*, §4.3.4.

For data types, the *derive* command can automatically instantiates of *ceq*, we only have to tell it whether an equality operation should be provided or not (parameter *no*).

derive (eq) ceq expr

datatype example = Example
derive (no) ceq example

In the remainder of this subsection, we look at how to manually instantiate a type for *ceq*. First, the simple case of a type constructor *simple-tycon* without parameters that already is an instance of *equal*:

typedecl simple-tycon
axiomatization where simple-tycon-equal: OFCLASS(simple-tycon, equal-class)
instance simple-tycon :: equal **by** (rule simple-tycon-equal)

instantiation simple-tycon :: ceq **begin**
definition CEQ(simple-tycon) = Some (=)
instance by(intro-classes)(simp add: ceq-simple-tycon-def)
end

For polymorphic types, this is a bit more involved, as the next example with *'a expr'* illustrates (note that we could have delegated all this to *derive*). First, we need an operation that implements equality tests with respect to a given equality operation on the polymorphic type. For data types, we can use the relator which the transfer package (method *transfer*) requires and the BNF package generates automatically. As we have used the old datatype package for *'a expr'*, we must define it manually:

context fixes R :: 'a ⇒ 'b ⇒ bool **begin**
fun expr'-rel :: 'a expr' ⇒ 'b expr' ⇒ bool
where
  expr'-rel (Var' v) (Var' v') ⟷ R v v'
| expr'-rel (Lit' i) (Lit' i') ⟷ i = i'
| expr'-rel (Add' e_1 e_2) (Add' e_1' e_2') ⟷ expr'-rel e_1 e_1' ∧ expr'-rel e_2 e_2'
| expr'-rel - - ⟷ False
end

If we give HOL equality as parameter, the relator is equality:

lemma expr'-rel-eq: expr'-rel (=) e_1 e_2 ⟷ e_1 = e_2
by(induct e_1 e_2 rule: expr'-rel.induct) simp-all

Then, the instantiation is again canonical:

instantiation expr' :: (ceq) ceq **begin**

definition
CEQ('a expr') =
(case ID CEQ('a) of None ⇒ None | Some eq ⇒ Some (expr'-rel eq))
instance
by(intro-classes)
(auto simp add: ceq-expr'-def expr'-rel-eq[abs-def]
dest: Collection-Eq.ID-ceq
split: option.split-asm)
end

Note the following two points: First, the instantiation should avoid to use (=) on terms of the polymorphic type. This keeps the LC framework separate from the type class *equal*, i.e., every choice of $'a$ in $'a\ expr'$ can be of sort *ceq*. The easiest way to achieve this is to obtain the equality test from $CEQ('a)$. Second, we use $ID\ CEQ('a)$ instead of $CEQ('a)$. In proofs, we want that the simplifier uses assumptions like $CEQ('a) = Some \ldots$ for rewriting. However, $CEQ('a)$ is a nullary constant, so the simplifier reverses such an equation, i.e., it only rewrites $Some \ldots$ to $CEQ('a)$. Applying the identity function *ID* to $CEQ('a)$ avoids this, and the code generator eliminates all occurrences of *ID*. Although $ID = id$ by definition, do not use the conventional *id* instead of *ID*, because $id\ CEQ('a)$ immediately simplifies to $CEQ('a)$.

4.3.2 Ordering

LC takes the order for storing elements in search trees from the type class *ccompare* rather than *compare*, because we cannot instantiate *compare* for some types (e.g., $'a\ set$ as ($\subseteq$) is not linear). Similar to $CEQ('a)$ in class $CEQ('b)$, the class *ccompare* specifies an optional comparator $CCOMPARE('a)$ :: $(('a \Rightarrow 'a \Rightarrow order))\ option$. If you cannot or do not want to implement a comparator on your type, you can default to *None*. In that case, you will not be able to use your type as elements of sets or as keys in maps implemented by search trees.

If the type is a data type or instantiates *compare* and we wish to use that comparator also for the search tree, instantiation is again canonical: For our data type *expr*, derive does everything!

derive ccompare expr

In general, the pattern for type constructors without parameters looks as follows:

axiomatization where simple-tycon-compare: OFCLASS(simple-tycon, compare-class)
instance simple-tycon :: compare **by** (rule simple-tycon-compare)

derive (compare) ccompare simple-tycon

For polymorphic types like *'a expr'*, we should not do everything manually: First, we must define a comparator that takes the comparator on the type variable *'a* as a parameter. This is necessary to maintain the separation between Isabelle/HOL's type classes (like *compare*) and LC's. Such a comparator is again easily defined by derive.

derive ccompare expr'

thm ccompare-expr'-def comparator-expr'-simps

4.3.3 Heuristics for picking an implementation

Now, we have defined the necessary operations on *expr* and *'a expr'* to store them in a set or use them as the keys in a map. But before we can actually do so, we also have to say which data structure to use. The type classes *set-impl* and *mapping-impl* are used for this.

They define the overloaded operations *SET-IMPL*('*a*) :: ('*a*, *set-impl*) *phantom* and *MAPPING-IMPL*('*a*) :: ('*a*, *mapping-impl*) *phantom*, respectively. The phantom type ('*a*, '*b*) *phantom* from theory *HOL−Library.Phantom-Type* is isomorphic to '*b*, but formally depends on '*a*. This way, the type class operations meet the requirement that their type contains exactly one type variable. The Haskell and ML compiler will get rid of the extra type constructor again.

For sets, you can choose between *set-Collect* (characteristic function P like in $\{x.\ P\ x\}$), *set-DList* (distinct list), *set-RBT* (red-black tree), and *set-Monad* (list with duplicates). Additionally, you can define *set-impl* as *set-Choose* which picks the implementation based on the available operations (RBT if *ccompare* provides a linear order, else distinct lists if *CEQ*('*a*) provides equality testing, and lists with duplicates otherwise). *set-Choose* is the safest choice because it picks only a data structure when the required operations are actually available. If *set-impl* picks a specific implementation, Isabelle does not ensure that all required operations are indeed available.

For maps, the choices are *mapping-Assoc-List* (associative list without duplicates), *mapping-RBT* (red-black tree), and *mapping-Mapping* (closures with function update). Again, there is also the *mapping-Choose* heuristics.

For simple cases, *derive* can be used again (even if the type is not a data type). Consider, e.g., the following instantiations: *expr set* uses RBTs, (*expr*, -) *mapping* and *'a expr' set* use the heuristics, and (*'a expr'*, -) *mapping* uses the same implementation as ('*a*, -) *mapping*.

derive (rbt) set-impl expr
derive (choose) mapping-impl expr

derive (choose) set-impl expr′

More complex cases such as taking the implementation preference of a type parameter must be done manually.

instantiation expr′ :: (mapping-impl) mapping-impl **begin**
definition
MAPPING-IMPL('a expr′) =
Phantom('a expr′) (of-phantom MAPPING-IMPL('a))
instance ..
end

To see the effect of the different configurations, consider the following examples where *empty* refers to *Mapping.empty*. For that, we must disable pretty printing for sets as follows:

declare pretty-sets[code-post del]

value [code]	**result**
{} :: *expr set*	*RBT-set* (*Mapping-RBT Empty*)
empty :: (*expr*, *unit*) *mapping*	*RBT-Mapping* (*Mapping-RBT Empty*)
{} :: *string expr′ set*	*RBT-set* (*Mapping-RBT Empty*)
{} :: (*nat* ⇒ *nat*) *expr′ set*	*Set-Monad* []
{} :: *bool expr′ set*	*RBT-set* (*Mapping-RBT Empty*)
empty :: (*bool expr′*, *unit*) *mapping*	*Assoc-List-Mapping* (*Alist* [])

For *expr*, *mapping-Choose* picks RBTs, because *ccompare* provides a comparison operation for *expr*. For *′a expr′*, the effect of *set-Choose* is more pronounced: *ccompare* is not *None*, so neither is *ccompare*, and *set-Choose* picks RBTs. As *nat* ⇒ *nat* neither provides equality tests (*ceq*) nor comparisons (*ccompare*), neither does (*nat* ⇒ *nat*) *expr′*, so we use lists with duplicates. The last two examples show the difference between inheriting a choice and choosing freshly: By default, *bool* prefers distinct (associative) lists over RBTs, because there are just two elements. As *bool expr′* enherits the choice for maps from *bool*, an associative list implements *empty* :: (*bool expr′*, *unit*) *mapping*. For sets, in contrast, *set-impl* discards *′a*'s preferences and picks RBTs, because there is a comparison operation.

Finally, let's enable pretty-printing for sets again:

declare pretty-sets [code-post]

4.3.4 Set comprehensions

If you use the default code generator setup that comes with Isabelle, set comprehensions $\{x.\ P\ x\}$ :: *′a set* are only executable if the type *′a* has sort

enum. Internally, Isabelle's code generator transforms set comprehensions into an explicit list of elements which it obtains from the list *enum* of all of $'a$'s elements. Thus, the type must be an instance of *enum*, i.e., finite in particular. For example, $\{c.\ CHR\ ''A'' \leq c \wedge c \leq CHR\ ''D''\}$ evaluates to *set* $''ABCD''$, the set of the characters A, B, C, and D.

For compatibility, LC also implements such an enumeration strategy, but avoids the finiteness restriction. The type class *cenum* mimicks *enum*, but its single parameter $cEnum :: ('a\ list \times (('a \Rightarrow bool) \Rightarrow bool) \times (('a \Rightarrow bool) \Rightarrow bool))\ option$ combines all of *enum*'s parameters, namely a list of all elements, a universal and an existential quantifier. *option* ensures that every type can be an instance as $CENUM('a)$ can always default to *None*.

For types that define $CENUM('a)$, set comprehensions evaluate to a list of their elements. Otherwise, set comprehensions are represented as a closure. This means that if the generated code contains at least one set comprehension, all element types of a set must instantiate *cenum*. Infinite types default to *None*, and enumerations for finite types are canoncial, see *Containers.Collection-Enum* for examples.

instantiation expr :: cenum **begin**
definition CENUM(expr) = None
instance by(intro-classes)(simp-all add: cEnum-expr-def)
end

derive (no) cenum expr′
derive compare-order expr

For example, **value** ({b. b = True}, {x. compare x (Lit 0) = Lt}) yields ({*True*}, *Collect-set* -)

LC keeps complements of such enumerated set comprehensions, i.e., $-\ \{b.\ b = True\}$ evaluates to $Complement\ \{True\}$. If you want that the complement operation actually computes the elements of the complements, you have to replace the code equations for *uminus* as follows:

declare Set-uminus-code[code del] Set-uminus-cenum[code]

Then, $-\ \{b.\ b = True\}$ becomes $\{False\}$, but this applies to all complement invocations. For example, $UNIV :: bool\ set$ becomes $\{False, True\}$.

4.3.5 Nested sets

To deal with nested sets such as *expr set set*, the element type must provide three operations from three type classes:

- *finite-UNIV* from theory *HOL−Library.Cardinality* defines the constant $finite\text{-}UNIV :: ('a, bool)\ phantom$ which designates whether the

type is finite.

- *card-UNIV* from theory *HOL−Library.Cardinality* defines the constant *card-UNIV* :: ($'a$, *nat*) *phantom* which returns $CARD('a)$, i.e., the number of values in $'a$. If $'a$ is infinite, $CARD('a) = 0$.
- *cproper-interval* from theory *Containers.Collection-Order* defines the function *cproper-interval* :: $'a$ *option* ⇒ $'a$ *option* ⇒ *bool*. If the type $'a$ is finite and *ccompare* yields a linear order on $'a$, then *cproper-interval* x y returns whether the open interval between x and y is non-empty. The bound *None* denotes unboundedness.

Note that the type class *finite-UNIV* must not be confused with the type class *finite*. *finite-UNIV* allows the generated code to examine whether a type is finite whereas *finite* requires that the type in fact is finite.

For datatypes, the theory *Containers.Card-Datatype* defines some machinery to assist in proving that the type is (in)finite and has a given number of elements – see `Examples/Card_Datatype_Ex.thy` for examples. With this, it is easy to instantiate *card-UNIV* for our running examples:

```
lemma inj-expr [simp]: inj Lit   inj Var   inj Add   inj (Add e)
by(simp-all add: fun-eq-iff inj-on-def)

lemma infinite-UNIV-expr: ¬ finite (UNIV :: expr set)
  including card-datatype
proof −
  have rangeIt (Lit 0) (Add (Lit 0)) ⊆ UNIV by simp
  from finite-subset[OF this] show ?thesis by auto
qed

instantiation expr :: card-UNIV begin
definition finite-UNIV = Phantom(expr) False
definition card-UNIV = Phantom(expr) 0
instance
  by intro-classes
   (simp-all add: finite-UNIV-expr-def card-UNIV-expr-def infinite-UNIV-expr)
end

lemma inj-expr′ [simp]: inj Lit′   inj Var′   inj Add′   inj (Add′ e)
by(simp-all add: fun-eq-iff inj-on-def)

lemma infinite-UNIV-expr′: ¬ finite (UNIV :: ′a expr′ set)
  including card-datatype
proof −
  have rangeIt (Lit′ 0) (Add′ (Lit′ 0)) ⊆ UNIV by simp
```

from finite-subset[OF this] **show** ?thesis **by** auto
qed

instantiation expr′ :: (type) card-UNIV **begin**
definition finite-UNIV = Phantom(′a expr′) False
definition card-UNIV = Phantom(′a expr′) 0
instance
by intro-classes
(simp-all add: finite-UNIV-expr′-def card-UNIV-expr′-def infinite-UNIV-expr′)
end

As *expr* and *′a expr′* are infinite, instantiating *cproper-interval* is trivial, because *cproper-interval* only makes assumptions about its parameters for finite types. Nevertheless, it is important to actually define *cproper-interval*, because the code generator requires a code equation.

instantiation expr :: cproper-interval **begin**
definition cproper-interval-expr :: expr proper-interval
where cproper-interval-expr - - = undefined
instance by(intro-classes)(simp add: infinite-UNIV-expr)
end

instantiation expr′ :: (ccompare) cproper-interval **begin**
definition cproper-interval-expr′ :: ′a expr′ proper-interval
where cproper-interval-expr′ - - = undefined
instance by(intro-classes)(simp add: infinite-UNIV-expr′)
end

Instantiation of *proper-interval*

To illustrate what to do with finite types, we instantiate *proper-interval* for *expr*. Like *ccompare* relates to *compare*, the class *cproper-interval* has a counterpart *proper-interval* without the finiteness assumption. Here, we first have to gather the simplification rules of the comparator from the derive invocation, especially, how the strict order of the comparator, *lt-of-comp*, can be defined.

Since the order on lists is not yet shown to be consistent with the comparators that are used for lists, this part of the userguide is currently not available.

4.4 New implementations for containers

This section explains how to add a new implementation for a container type. If you do so, please consider to add your implementation to this AFP entry.

4.4.1 Model and verify the data structure

First, you of course have to define the data structure and verify that it has the required properties. As our running example, we use a trie to implement ($'a$, $'b$) *mapping*. A trie is a binary tree whose the nodes store the values, the keys are the paths from the root to the given node. We use lists of *bool*ans for the keys where the *bool*ean indicates whether we should go to the left or right child.

For brevity, we skip this step and rather assume that the type $'v$ *trie-raw* of tries has following operations and properties:

type-synonym trie-key = bool list
axiomatization
  trie-empty :: 'v trie-raw **and**
  trie-update :: trie-key ⇒ 'v ⇒ 'v trie-raw ⇒ 'v trie-raw **and**
  trie-lookup :: 'v trie-raw ⇒ trie-key ⇒ 'v option **and**
  trie-keys :: 'v trie-raw ⇒ trie-key set
where trie-lookup-empty: trie-lookup trie-empty = Map.empty
  and trie-lookup-update:
    trie-lookup (trie-update k v t) = (trie-lookup t)(k ↦ v)
  and trie-keys-dom-lookup: trie-keys t = dom (trie-lookup t)

This is only a minimal example. A full-fledged implementation has to provide more operations and – for efficiency – should use more than just *bool*eans for the keys.

4.4.2 Generalise the data structure

As ($'k$, $'v$) *mapping* store keys of arbitrary type $'k$, not just *trie-key*, we cannot use $'v$ *trie-raw* directly. Instead, we must first convert arbitrary types $'k$ into *trie-key*. Of course, this is not always possbile, but we only have to make sure that we pick tries as implementation only if the types do. This is similar to red-black trees which require an order. Hence, we introduce a type class to convert arbitrary keys into trie keys. We make the conversions optional such that every type can instantiate the type class, just as LC does for *ceq* and *ccompare*.

type-synonym 'a cbl = (('a ⇒ bool list) × (bool list ⇒ 'a)) option
class cbl =
  fixes cbl :: 'a cbl
  assumes inj-to-bl: ID cbl = Some (to-bl, from-bl) ⟹ inj to-bl
  and to-bl-inverse: ID cbl = Some (to-bl, from-bl) ⟹ from-bl (to-bl a) = a
begin
abbreviation from-bl **where** from-bl ≡ snd (the (ID cbl))

abbreviation to-bl **where** to-bl ≡ fst (the (ID cbl))
end

It is best to immediately provide the instances for as many types as possible. Here, we only present two examples: *unit* provides conversion functions, *'a* ⇒ *'b* does not.

instantiation unit :: cbl **begin**
definition cbl = Some (λ-. [], λ-. ())
instance by(intro-classes)(auto simp add: cbl-unit-def ID-Some intro: injI)
end

instantiation fun :: (type, type) cbl **begin**
definition cbl = (None :: ('a ⇒ 'b) cbl)
instance by intro-classes(simp-all add: cbl-fun-def ID-None)
end

4.4.3 Hide the invariants of the data structure

Many data structures have invariants on which the operations rely. You must hide such invariants in a **typedef** before connecting to the container, because the code generator cannot handle explicit invariants. The type must be inhabited even if the types of the elements do not provide the required operations. The easiest way is often to ignore all invariants in that case.

In our example, we require that all keys in the trie represent encoded values.

typedef (**overloaded**) ('k :: cbl, 'v) trie =
  {t :: 'v trie-raw.
    trie-keys t ⊆ range (to-bl :: 'k ⇒ trie-key) ∨ ID (cbl :: 'k cbl) = None}
proof
  show trie-empty ∈ ?trie
    by(simp add: trie-keys-dom-lookup trie-lookup-empty)
qed

Next, transfer the operations to the new type. The transfer package does a good job here.

setup-lifting type-definition-trie — also sets up code generation

lift-definition empty :: ('k :: cbl, 'v) trie
  is trie-empty
  by(simp add: trie-keys-empty)

lift-definition lookup :: ('k :: cbl, 'v) trie ⇒ 'k ⇒ 'v option
  is λt. trie-lookup t ∘ to-bl **.**

lift-definition update :: 'k ⇒ 'v ⇒ ('k :: cbl, 'v) trie ⇒ ('k, 'v) trie
is trie-update ∘ to-bl
by(auto simp add: trie-keys-dom-lookup trie-lookup-update)

lift-definition keys :: ('k :: cbl, 'v) trie ⇒ 'k set
is λt. from-bl ‘ trie-keys t **.**

And now we go for the properties. Note that some properties hold only if the type class operations are actually provided, i.e., *cbl* ≠ *None* in our example.

lemma lookup-empty: lookup empty = Map.empty
by transfer(simp add: trie-lookup-empty fun-eq-iff)

context
fixes t :: ('k :: cbl, 'v) trie
assumes ID-cbl: ID (cbl :: 'k cbl) ≠ None
begin

lemma lookup-update: lookup (update k v t) = (lookup t)(k ↦ v)
using ID-cbl
by transfer(auto simp add: trie-lookup-update fun-eq-iff dest: inj-to-bl[THEN injD])

lemma keys-conv-dom-lookup: keys t = dom (lookup t)
using ID-cbl
by transfer(force simp add: trie-keys-dom-lookup to-bl-inverse intro: rev-image-eqI)

end

4.4.4 Connecting to the container

Connecting to the container (('*a*, '*b*) *mapping* in our example) takes three steps:

1. Define a new pseudo-constructor
2. Implement the container operations for the new type
3. Configure the heuristics to automatically pick an implementation
4. Test thoroughly

Thorough testing is particularly important, because Isabelle does not check whether you have implemented all your operations, whether you have configured your heuristics sensibly, nor whether your implementation always terminates.

Define a new pseudo-constructor

Define a function that returns the abstract container view for a data structure value, and declare it as a datatype constructor for code generation with **code-datatype**. Unfortunately, you have to repeat all existing pseudo-constructors, because there is no way to extract the current set of pseudo-constructors from the code generator. We call them pseudo-constructors, because they do not behave like datatype constructors in the logic. For example, ours are neither injective nor disjoint.

definition Trie-Mapping :: ('k :: cbl, 'v) trie ⇒ ('k, 'v) mapping
where [simp, code del]: Trie-Mapping t = Mapping.Mapping (lookup t)

code-datatype Assoc-List-Mapping RBT-Mapping Mapping Trie-Mapping

Implement the operations

Next, you have to prove and declare code equations that implement the container operations for the new implementation. Typically, these just dispatch to the operations on the type from §4.4.3. Some operations depend on the type class operations from §4.4.2 being defined; then, the code equation must check that the operations are indeed defined. If not, there is usually no way to implement the operation, so the code should raise an exception. Logically, we use the function *Code.abort* of type *String.literal* ⇒ (*unit* ⇒ *'a*) ⇒ *'a* with definition λ- *f*. *f* (), but the generated code raises an exception `Fail` with the given message (the unit closure avoids non-termination in strict languages). This function gets the exception message and the unit-closure of the equation's left-hand side as argument, because it is then trivial to prove equality.

Again, we only show a small set of operations; a realistic implementation should cover as many as possible.

context fixes t :: ('k :: cbl, 'v) trie **begin**

lemma lookup-Trie-Mapping [code]:
Mapping.lookup (Trie-Mapping t) = lookup t
— Lookup does not need the check on *cbl*, because we have defined the pseudo-constructor *Trie-Mapping* in terms of *lookup*
by simp(transfer, simp)

lemma update-Trie-Mapping [code]:
Mapping.update k v (Trie-Mapping t) =
(case ID cbl :: 'k cbl of
None ⇒ Code.abort (STR ''update Trie-Mapping: cbl = None'') (λ-. Mapping.update k v (Trie-Mapping t))

| Some - ⇒ Trie-Mapping (update k v t))
by(simp split: option.split add: lookup-update Mapping.update.abs-eq)

lemma keys-Trie-Mapping [code]:
Mapping.keys (Trie-Mapping t) =
(case ID cbl :: 'k cbl of
None ⇒ Code.abort (STR ''keys Trie-Mapping: cbl = None'') (λ-. Mapping.keys (Trie-Mapping t))
| Some - ⇒ keys t)
by(simp add: Mapping.keys.abs-eq keys-conv-dom-lookup split: option.split)

end

These equations do not replace the existing equations for the other constructors, but they do take precedence over them. If there is already a generic implementation for an operation *foo*, say *foo A* = *gen-foo A*, and you prove a specialised equation *foo* (*Trie-Mapping t*) = *trie-foo t*, then when you call *foo* on some *Trie-Mapping t*, your equation will kick in. LC exploits this sequentiality especially for binary operators on sets like (∩), where there are generic implementations and faster specialised ones.

Configure the heuristics

Finally, you should setup the heuristics that automatically picks a container implementation based on the types of the elements (§4.3.3).

The heuristics uses a type with a single value, e.g., *mapping-impl* with value *Mapping-IMPL*, but there is one pseudo-constructor for each container implementation in the generated code. All these pseudo-constructors are the same in the logic, but they are different in the generated code. Hence, the generated code can distinguish them, but we do not have to commit to anything in the logic. This allows to reconfigure and extend the heuristic at any time.

First, define and declare a new pseudo-constructor for the heuristics. Again, be sure to redeclare all previous pseudo-constructors.

definition mapping-Trie :: mapping-impl
where [simp]: mapping-Trie = Mapping-IMPL

code-datatype
mapping-Choose mapping-Assoc-List mapping-RBT mapping-Mapping mapping-Trie

Then, adjust the implementation of the automatic choice. For every initial value of the container (such as the empty map or the empty set), there is one new constant (e.g., *mapping-empty-choose* and *set-empty-choose*) equivalent

to it. Its code equation, however, checks the available operations from the type classes and picks an appropriate implementation.

For example, the following prefers red-black trees over tries, but tries over associative lists:

lemma mapping-empty-choose-code [code]:
(mapping-empty-choose :: ('a :: {ccompare, cbl}, 'b) mapping) =
(case ID CCOMPARE('a) of Some - ⇒ RBT-Mapping RBT-Mapping2.empty
| None ⇒
case ID (cbl :: 'a cbl) of Some - ⇒ Trie-Mapping empty
| None ⇒ Assoc-List-Mapping DAList.empty)
by(auto split: option.split simp add: DAList.lookup-empty[abs-def] Mapping.empty-def lookup-empty)

There is also a second function for every such initial value that dispatches on the pseudo-constructors for *mapping-impl*. This function is used to pick the right implementation for types that specify a preference.

lemma mapping-empty-code [code]:
mapping-empty mapping-Trie = Trie-Mapping empty
by(simp add: lookup-empty Mapping.empty-def)

For ('*k*, '*v*) *mapping*, LC also has a function *mapping-impl-choose2* which is given two preferences and returns one (for '*a set*, it is called *set-impl-choose2*). Polymorphic type constructors like '*a* + '*b* use it to pick an implementation based on the preferences of '*a* and '*b*. By default, it returns *mapping-Choose*, i.e., ignore the preferences. You should add a code equation like the following that overrides this choice if both preferences are your new data structure:

lemma mapping-impl-choose2-Trie [code]:
mapping-impl-choose2 mapping-Trie mapping-Trie = mapping-Trie
by(simp add: mapping-Trie-def)

If your new data structure is better than the existing ones for some element type, you should reconfigure the type's preferene. As all preferences are logically equal, you can prove (and declare) the appropriate code equation. For example, the following prefers tries for keys of type *unit*:

lemma mapping-impl-unit-Trie [code]:
MAPPING-IMPL(unit) = Phantom(unit) mapping-Trie
by(simp add: mapping-impl-unit-def)

value Mapping.empty :: (unit, int) mapping

You can also use your new pseudo-constructor with *derive* in instantiations, just give its name as option:

derive (mapping-Trie) mapping-impl simple-tycon

4.5 Changing the configuration

As containers are connected to data structures only by refinement in the code generator, this can always be adapted later on. You can add new data structures as explained in §4.4. If you want to drop one, you redeclare the remaining pseudo-constructors with **code-datatype** and delete all code equations that pattern-match on the obsolete pseudo-constructors. The command **code-thms** will tell you which constants have such code equations. You can also freely adapt the heuristics for picking implementations as described in §4.4.4.

One thing, however, you cannot change afterwards, namely the decision whether an element type supports an operation and if so how it does, because this decision is visible in the logic.

4.6 New containers types

We hope that the above explanations and the examples with sets and maps suffice to show what you need to do if you add a new container type, e.g., priority queues. There are three steps:

1. **Introduce a type constructor for the container.**
   Your new container type must not be a composite type, like $'a \Rightarrow 'b$ *option* for maps, because refinement for code generation only works with a single type constructor. Neither should you reuse a type constructor that is used already in other contexts, e.g., do not use $'a$ *list* to model queues.

   Introduce a new type constructor if necessary (e.g., $('a, 'b)$ *mapping* for maps) – if your container type already has its own type constructor, everything is fine.

2. **Implement the data structures**
   and connect them to the container type as described in §4.4.

3. **Define a heuristics for picking an implementation.**
   See [5] for an explanation.

4.7 Troubleshooting

This section describes some difficulties in using LC that we have come across, provides some background for them, and discusses how to overcome them. If you experience other difficulties, please contact the author.

4.7.1 Nesting of mappings

Mappings can be arbitrarily nested on the value side, e.g., $('a, ('b, 'c)$ *mapping*$)$ *mapping*. However, $('a, 'b)$ *mapping* cannot currently be the key of a mapping, i.e., code generation fails for $(('a, 'b)$ *mapping*, $'c)$ *mapping*. Simiarly, you cannot have a set of mappings like $('a, 'b)$ *mapping set* at the moment. There are no issues to make this work, we have just not seen the need for it. If you need to generate code for such types, please get in touch with the author.

4.7.2 Wellsortedness errors

LC uses its own hierarchy of type classes which is distinct from Isabelle/HOL's. This ensures that every type can be made an instance of LC's type classes. Consequently, you must instantiate these classes for your own types. The following lists where you can find information about the classes and examples how to instantiate them:

type class	**user guide**	**theory**
card-UNIV	§4.3.5	*HOL−Library.Cardinality*
cenum	§4.3.4	*Containers.Collection-Enum*
ceq	§4.3.1	*Containers.Collection-Eq*
ccompare	§4.3.2	*Containers.Collection-Order*
cproper-interval	§4.3.5	*Containers.Collection-Order*
finite-UNIV	§4.3.5	*HOL−Library.Cardinality*
mapping-impl	§4.3.3	*Containers.Mapping-Impl*
set-impl	§4.3.3	*Containers.Set-Impl*

The type classes *card-UNIV* and *cproper-interval* are only required to implement the operations on set complements. If your code does not need complements, you can manually delete the code equations involving *Complement*, the theorem list *set-complement-code* collects them. It is also recommended that you remove the pseudo-constructor *Complement* from the code generator. Note that some set operations like $A - B$ and *UNIV* have no code equations any more.

declare set-complement-code[code del]
code-datatype Collect-set DList-set RBT-set Set-Monad

4.7.3 Exception raised at run-time

Not all combinations of data and container implementation are possible. For example, you cannot implement a set of functions with a RBT, because there is no order on $'a \Rightarrow 'b$. If you try, the code will raise an exception `Fail` (with an exception message) or `Match`. They can occur in three cases:

1. You have misconfigured the heuristics that picks implementations (§4.3.3), or you have manually picked an implementation that requires an operation that the element type does not provide. Printing a stack trace for the exception may help you in locating the error.

2. You are trying to invoke an operation on a set complement which cannot be implemented on a complement representation, e.g., (‘). If the element type is enumerable, provide an instance of *cenum* and choose to represent complements of sets of enumerable types by the elements rather than the elements of the complement (see §4.3.4 for how to do this).

3. You use set comprehensions on types which do not provide an enumeration (i.e., they are represented as closures) or you chose to represent a map as a closure.

   A lot of operations are not implementable for closures, in particular those that return some element of the container

   Inspect the code equations with **code-thms** and look for calls to *Collect-set* and *Mapping* which are LC's constructor for sets and maps as closures.

   Note that the code generator preprocesses set comprehensions like $\{i < 4 \mid i.\ 2 < i\}$ to $(\lambda i.\ i < 4)$ ‘ $\{i.\ 2 < i\}$, so this is a set comprehension over *int* rather than *bool*.

4.7.4 LC slows down my code

Normally, this will not happen, because LC's data structures are more efficient than Isabelle's list-based implementations. However, in some rare cases, you can experience a slowdown:

1. **Your containers contain just a few elements.**
   In that case, the overhead of the heuristics to pick an implementation outweighs the benefits of efficient implementations. You should identify the tiny containers and disable the heuristics locally. You do so by replacing the initial value like {} and *Mapping.empty* with low-overhead constructors like *Set-Monad* and *Mapping*. For example, if *tiny-set-code*: *tiny-set* = {*1*, *2*} is your code equation with a tiny set, the following changes the code equation to directly use the list-based representation, i.e., disables the heuristics:

   lemma empty-Set-Monad: {} = Set-Monad [] **by** simp

   declare tiny-set-code[code del, unfolded empty-Set-Monad, code]

If you want to globally disable the heuristics, you can also declare an equation like *empty-Set-Monad* as [code].

2. **The element type contains many type constructors and some type variables.**
   LC heavily relies on type classes, and type classes are implemented as dictionaries if the compiler cannot statically resolve them, i.e., if there are type variables. For type constructors with type variables (like $'a \times 'b$), LC's definitions of the type class parameters recursively calls itself on the type variables, i.e., $'a$ and $'b$. If the element type is polymorphic, the compiler cannot precompute these recursive calls and therefore they have to be constructed repeatedly at run time. If you wrap your complicated type in a new type constructor, you can define optimised equations for the type class parameters.

Bibliography

[1] F. Haftmann and L. Bulwahn. Code generation from Isabelle/HOL theories. http://isabelle.in.tum.de/dist/Isabelle/doc/codegen.pdf, 2013.

[2] F. Haftmann, A. Krauss, O. Kunčar, and T. Nipkow. Data refinement in Isabelle/HOL. In *ITP'13*, LNCS. Springer, 2013.

[3] P. Lammich. Collections framework. *Archive of Formal Proofs*, 2009. http://isa-afp.org/entries/Collections.shtml, Formal proof development.

[4] P. Lammich and A. Lochbihler. The Isabelle Collections Framework. In *ITP'10*, volume 6172 of *LNCS*, pages 339–354. Springer, 2010.

[5] A. Lochbihler. Light-weight containers for Isabelle: efficient, extensible, nestable. In *ITP'13*, LNCS. Springer, 2013.