

# Complex Bounded Operators\*

Jose Manuel Rodriguez Caballero<sup>1</sup> and Dominique Unruh<sup>1</sup>

<sup>1</sup>University of Tartu

March 17, 2025

## Abstract

We present a formalization of bounded operators on complex vector spaces. Our formalization contains material on complex vector spaces (normed spaces, Banach spaces, Hilbert spaces) that complements and goes beyond the developments of real vectors spaces in the Isabelle/HOL standard library. We define the type of bounded operators between complex vector spaces (*cblinfun*) and develop the theory of unitaries, projectors, extension of bounded linear functions (BLT theorem), adjoints, Loewner order, closed subspaces and more. For the finite-dimensional case, we provide code generation support by identifying finite-dimensional operators with matrices as formalized in the *Jordan\_Normal\_Form* AFP entry.

## Contents

<b>1</b>	<i>Extra-Pretty-Code-Examples – Setup for nicer output of value</i>	<b>5</b>
<b>2</b>	<i>Extra-General – General missing things</i>	<b>7</b>
2.1	Misc . . . . .	7
2.2	Not singleton . . . . .	9
2.3	<i>CARD-1</i> . . . . .	10
2.4	Topology . . . . .	11
2.5	Sums . . . . .	15
2.6	Complex numbers . . . . .	17
2.7	List indices and enum . . . . .	17
2.8	Filtering lists/sets . . . . .	19
2.9	Maps . . . . .	20
2.10	Lattices . . . . .	21

---

\*Supported by the ERC consolidator grant CerQuS (819317), the PRG team grant Secure Quantum Technology (PRG946) from the Estonian Research Council, and the Estonian Centre of Excellence in IT (EXCITE) funded by ERDF.

<b>3</b>	<i>Extra-Vector-Spaces – Additional facts about vector spaces</i>	<b>23</b>
3.1	Euclidean spaces . . . . .	24
3.2	Misc . . . . .	26
<b>4</b>	<i>Extra-Ordered-Fields – Additional facts about ordered fields</i>	<b>28</b>
4.1	Ordered Fields . . . . .	29
4.2	Missing from Orderings.thy . . . . .	29
4.3	Missing from Rings.thy . . . . .	29
4.4	Ordered fields . . . . .	33
4.5	Ordering on complex numbers . . . . .	46
<b>5</b>	<i>Extra-Operator-Norm – Additional facts bout the operator norm</i>	<b>48</b>
<b>6</b>	<i>Complex-Vector-Spaces0 – Vector Spaces and Algebras over the Complex Numbers</i>	<b>51</b>
6.1	Complex vector spaces . . . . .	52
6.2	Embedding of the Complex Numbers into any <i>complex-algebra-1</i> : <i>of-complex</i> . . . . .	57
6.3	The Set of Real Numbers . . . . .	60
6.4	Ordered complex vector spaces . . . . .	62
6.5	Complex normed vector spaces . . . . .	67
6.6	Metric spaces . . . . .	69
6.7	Class instances for complex numbers . . . . .	69
6.8	Sign function . . . . .	70
6.9	Bounded Linear and Bilinear Operators . . . . .	70
6.9.1	Limits of Sequences . . . . .	76
6.10	Cauchy sequences . . . . .	76
6.11	The set of complex numbers is a complete metric space . . . . .	76
<b>7</b>	<i>Complex-Vector-Spaces – Complex Vector Spaces</i>	<b>77</b>
7.1	Misc . . . . .	78
7.2	Antilinear maps and friends . . . . .	92
7.3	Misc 2 . . . . .	100
7.4	Finite dimension and canonical basis . . . . .	105
7.5	Closed subspaces . . . . .	121
7.6	Closed sums . . . . .	134
7.7	Conjugate space . . . . .	140
7.8	Product is a Complex Vector Space . . . . .	143
7.9	Copying existing theorems into sublocales . . . . .	146
<b>8</b>	<i>Complex-Inner-Product0 – Inner Product Spaces and Gradient Derivative</i>	<b>146</b>
8.1	Complex inner product spaces . . . . .	147
8.2	Class instances . . . . .	153

8.3	Gradient derivative . . . . .	155
<b>9</b>	<b>Complex-Inner-Product – Complex Inner Product Spaces</b>	<b>158</b>
9.1	Complex inner product spaces . . . . .	158
9.2	Misc facts . . . . .	158
9.3	Orthogonality . . . . .	165
9.4	Projections . . . . .	175
9.5	More orthogonal complement . . . . .	192
9.6	Orthogonal spaces . . . . .	197
9.7	Orthonormal bases . . . . .	198
9.8	Riesz-representation theorem . . . . .	204
9.9	Adjoints . . . . .	206
9.10	More projections . . . . .	210
9.11	Canonical basis ( <i>onb-enum</i> ) . . . . .	212
9.12	Conjugate space . . . . .	213
9.13	Misc (ctd.) . . . . .	213
<b>10</b>	<b>One-Dimensional-Spaces – One dimensional complex vector spaces</b>	<b>214</b>
<b>11</b>	<b>Complex-Euclidean-Space0 – Finite-Dimensional Inner Product Spaces</b>	<b>221</b>
11.1	Type class of Euclidean spaces . . . . .	221
11.2	Class instances . . . . .	225
11.2.1	Type <i>complex</i> . . . . .	225
11.2.2	Type ' <i>a</i> × ' <i>b</i> ' . . . . .	225
11.3	Locale instances . . . . .	226
<b>12</b>	<b>Complex-Bounded-Linear-Function0 – Bounded Linear Function</b>	<b>228</b>
12.1	Intro rules for <i>bounded-linear</i> . . . . .	228
12.2	declaration of derivative/continuous/tendsto introduction rules for bounded linear functions . . . . .	229
12.3	Type of complex bounded linear functions . . . . .	231
12.4	Type class instantiations . . . . .	231
12.5	On Euclidean Space . . . . .	237
12.6	concrete bounded linear functions . . . . .	241
12.7	The strong operator topology on continuous linear operators	245
<b>13</b>	<b>Complex-Bounded-Linear-Function – Complex bounded linear functions (bounded operators)</b>	<b>247</b>
13.1	Misc basic facts and declarations . . . . .	247
13.2	Relationship to real bounded operators ( $\cdot \Rightarrow_L \cdot$ ) . . . . .	261
13.3	Composition . . . . .	269
13.4	Adjoint . . . . .	272
13.5	Powers of operators . . . . .	278

13.6	Unitaries / isometries . . . . .	279
13.7	Product spaces . . . . .	282
13.8	Images . . . . .	285
13.9	Sandwiches . . . . .	294
13.10	Projectors . . . . .	296
13.11	Kernel / eigenspaces . . . . .	307
13.12	Partial isometries . . . . .	312
13.13	Isomorphisms and inverses . . . . .	315
13.14	One-dimensional spaces . . . . .	317
13.15	Loewner order . . . . .	321
13.16	Embedding vectors to operators . . . . .	327
13.17	Rank-1 operators / butterflies . . . . .	330
13.18	Banach-Steinhaus . . . . .	340
13.19	Riesz-representation theorem . . . . .	341
13.20	Bidual . . . . .	343
13.21	Extension of complex bounded operators . . . . .	344
13.22	Bijections between different ONBs . . . . .	354
13.23	Notation . . . . .	358
<b>14</b>	<b><i>Complex-L2 – Hilbert space of square-summable functions</i></b>	<b>358</b>
14.1	$l_2$ norm of functions . . . . .	359
14.2	The type $ell_2$ of square-summable functions . . . . .	365
14.3	Orthogonality . . . . .	373
14.4	Truncated vectors . . . . .	373
14.5	Kets and bras . . . . .	378
14.6	Butterflies . . . . .	385
14.7	One-dimensional spaces . . . . .	386
14.8	Explicit bounded operators . . . . .	387
14.9	Classical operators . . . . .	391
<b>15</b>	<b><i>Extra-Jordan-Normal-Form – Additional results for Jordan_Normal_Form</i></b>	<b>398</b>
<b>16</b>	<b><i>Cblinfun-Matrix – Matrix representation of bounded operators</i></b>	<b>406</b>
16.1	Isomorphism between vectors . . . . .	406
16.2	Operations on vectors . . . . .	408
16.3	Isomorphism between bounded linear functions and matrices	419
16.4	Operations on matrices . . . . .	424
16.5	Operations on subspaces . . . . .	435
<b>17</b>	<b><i>Cblinfun-Code – Support for code generation</i></b>	<b>442</b>
17.1	Code equations for cblinfun operators . . . . .	443
17.2	Vectors . . . . .	444
17.3	Vector/Matrix . . . . .	446

17.4 Subspaces . . . . .	448
17.5 Miscellanea . . . . .	455
<b>18 Cblinfun-Code-Examples – Examples and test cases for code generation</b>	<b>456</b>

<b>19 Examples</b>	<b>456</b>
19.1 Operators . . . . .	456
19.2 Vectors . . . . .	457
19.3 Vector/Matrix . . . . .	457
19.4 Subspaces . . . . .	457

Theories whose names end with *0* are complex analogues of the similarly named theories concerning real vector spaces in the Isabelle/HOL standard library. They are kept in sync with their real counterparts. The theories without *0* contain material that goes beyond the material in the Isabelle/HOL standard library. This separation allows to keep the material in sync more easily when the Isabelle/HOL standard library is updated.

## 1 Extra-Pretty-Code-Examples – Setup for nicer output of value

```
theory Extra-Pretty-Code-Examples
imports
  HOL-Examples.Sqrt
  Real-Impl.Real-Impl
  HOL-Library.Code-Target-Numeral
  Jordan-Normal-Form.Matrix-Impl
begin
```

Some setup that makes the output of the *value* command more readable if matrices and complex numbers are involved.

It is not recommended to import this theory in theories that get included in actual developments (because of the changes to the code generation setup).

It is meant for inclusion in example theories only.

```
lemma two-sqrt-irrat[simp]:  $\sqrt{2} \in \text{sqrt-irrat}$ 
  using sqrt-prime-irrational[OF two-is-prime-nat]
  unfolding Rats-def sqrt-irrat-def image-def apply auto
proof -
  fix p :: rat
  assume p * p = 2
  hence f1:  $(\text{Ratreal } p)^2 = \text{real } 2$ 
    by (metis Ratreal-def of-nat-numeral of-rat-numeral-eq power2-eq-square real-times-code)
  have  $\forall r. \text{if } 0 \leq r \text{ then } \sqrt{(r^2)} = r \text{ else } r + \sqrt{(r^2)} = 0$ 
    by simp
  hence f2:  $\text{Ratreal } p + \sqrt{((\text{Ratreal } p)^2)} = 0$ 
```

```

using f1 by (metis Ratreal-def Rats-def `sqrt (real 2) ∉ ℚ` range-eqI)
have f3: sqrt (real 2) + - 1 * sqrt ((Ratreal p)^2) ≤ 0
  using f1 by fastforce
have f4: 0 ≤ sqrt (real 2) + - 1 * sqrt ((Ratreal p)^2)
  using f1 by force
have f5: (- 1 * sqrt (real 2)) = real-of-rat p = (sqrt (real 2) + real-of-rat p =
0)
  by linarith
have ∀ x0. - (x0::real) = - 1 * x0
  by auto
hence sqrt (real 2) + real-of-rat p ≠ 0
  using f5 by (metis (no-types) Rats-def Rats-minus-iff `sqrt (real 2) ∉ ℚ` range-eqI)
thus False
  using f4 f3 f2 by simp
qed

```

```

lemma complex-number-code-post[code-post]:
  shows Complex a 0 = complex-of-real a
    and complex-of-real 0 = 0
    and complex-of-real 1 = 1
    and complex-of-real (a/b) = complex-of-real a / complex-of-real b
    and complex-of-real (numeral n) = numeral n
    and complex-of-real (-r) = - complex-of-real r
  using complex-eq-cancel-iff2 by auto

lemma real-number-code-post[code-post]:
  shows real-of (Abs-mini-alg (p, 0, b)) = real-of-rat p
    and real-of (Abs-mini-alg (p, q, 2)) = real-of-rat p + real-of-rat q * sqrt 2
    and sqrt 0 = 0
    and sqrt (real 0) = 0
    and x * (0::real) = 0
    and (0::real) * x = 0
    and (0::real) + x = x
    and x + (0::real) = x
    and (1::real) * x = x
    and x * (1::real) = x
  by (auto simp add: eq-onp-same-args real-of.abs-eq)

```

**translations**  $x \leftarrow \text{CONST} \text{ IArray } x$

**end**

## 2 Extra-General – General missing things

```
theory Extra-General
imports
  HOL-Library.Cardinality
  HOL-Analysis.Elementary-Topology
  HOL-Analysis.Uniform-Limit
  HOL-Library.Set-Algebras
  HOL-Types-To-Sets.Types-To-Sets
  HOL-Library.Complex-Order
  HOL-Analysis.Infinite-Sum
  HOL-Cardinals.Cardinals
  HOL-Library.Complemented-Lattices
  HOL-Analysis.Abstract-Topological-Spaces
begin
```

### 2.1 Misc

```
lemma reals-zero-comparable:
  fixes x::complex
  assumes x∈ℝ
  shows x ≤ 0 ∨ x ≥ 0
  using assms unfolding complex-is-real-iff-compare0 by assumption

lemma unique-choice: ∀ x. ∃!y. Q x y ⇒ ∃!f. ∀ x. Q x (f x)
  apply (auto intro!: choice ext) by metis

lemma image-set-plus:
  assumes ⟨linear U⟩
  shows ⟨U ‘ (A + B) = U ‘ A + U ‘ B⟩
  unfolding image-def set-plus-def
  using assms by (force simp: linear-add)

consts heterogenous-identity :: 'a ⇒ 'b
overloading heterogenous-identity-id ≡ heterogenous-identity :: 'a ⇒ 'a
begin
definition heterogenous-identity-def[simp]: ⟨heterogenous-identity-id = id⟩
end

lemma L2-set-mono2:
  assumes a1: finite L and a2: K ≤ L
  shows L2-set f K ≤ L2-set f L
proof-
  have (∑ i∈K. (f i)²) ≤ (∑ i∈L. (f i)²)
    apply (rule sum-mono2)
    using assms by auto
  hence sqrt (∑ i∈K. (f i)²) ≤ sqrt (∑ i∈L. (f i)²)
    by (rule real-sqrt-le-mono)
  thus ?thesis
    unfolding L2-set-def.
qed
```

```

lemma Sup-real-close:
  fixes e :: real
  assumes 0 < e
    and S: bdd-above S S ≠ {}
  shows ∃x∈S. Sup S – e < x
proof –
  have ⟨Sup (ereal ` S) ≠ ∞⟩
    by (metis assms(2) bdd-above-def ereal-less-eq(3) less-SUP-iff less-ereal.simps(4)
not-le)
  moreover have ⟨Sup (ereal ` S) ≠ –∞⟩
    by (simp add: SUP-eq-iff assms(3))
  ultimately have Sup-bdd: ⟨|Sup (ereal ` S)| ≠ ∞⟩
    by auto
  then have ⟨∃x'∈ereal ` S. Sup (ereal ` S) – ereal e < x'⟩
    apply (rule-tac Sup-ereal-close)
    using assms by auto
  then obtain x where ⟨x ∈ S⟩ and Sup-x: ⟨Sup (ereal ` S) – ereal e < ereal x⟩
    by auto
  have ⟨Sup (ereal ` S) = ereal (Sup S)⟩
    using Sup-bdd by (rule ereal-Sup[symmetric])
  with Sup-x have ⟨ereal (Sup S – e) < ereal x⟩
    by auto
  then have ⟨Sup S – e < x⟩
    by auto
  with ⟨x ∈ S⟩ show ?thesis
    by auto
qed

```

Improved version of *internalize-sort*: It is not necessary to specify the sort of the type variable.

```

attribute-setup internalize-sort' = ⟨let
fun find-tvar thm v = let
  val tvars = Term.add-tvars (Thm.prop-of thm) []
  val tv = case find-first (fn (n,sort) => n=v) tvars of
    SOME tv => tv | NONE => raise THM (Type variable ^ string-of-indexname v ^ not found, 0, [thm])
  in
  TVar tv
  end

fun internalize-sort-attr (tvar:indexname) =
  Thm.rule-attribute [] (fn context => fn thm =>
    (snd (Internalize-Sort.internalize-sort (Thm.ctyp-of (Context.proof-of context)
      (find-tvar thm tvar)) thm)));
  in
  Scan.lift Args.var >> internalize-sort-attr
  end
  internalize a sort

```

```

lemma card-prod-omega:  $\langle X *c \text{natLeq} =o X \rangle$  if  $\langle \text{Cinfinite } X \rangle$   

by (simp add: Cinfinite-Cnotzero cprod-infinite1' natLeq-Card-order natLeq-cinfinite  

natLeq-ordLeq-cinfinite that)

lemma countable-leq-natLeq:  $\langle |X| \leq o \text{natLeq} \rangle$  if  $\langle \text{countable } X \rangle$   

using subset-range-from-nat-into[OF that]  

by (meson card-of-nat ordIso-iff-ordLeq ordLeq-transitive surj-imp-ordLeq)

lemma set-Times-plus-distrib:  $\langle (A \times B) + (C \times D) = (A + C) \times (B + D) \rangle$   

by (auto simp: Sigma-def set-plus-def)

```

## 2.2 Not singleton

```

class not-singleton =  

assumes not-singleton-card:  $\exists x y. x \neq y$ 

lemma not-singleton-existence[simp]:  

 $\langle \exists x:(\text{a::not-singleton}). x \neq t \rangle$   

using not-singleton-card[where ?'a = 'a] by (metis (full-types))

lemma not-not-singleton-zero:  

 $\langle x = 0 \rangle$  if  $\langle \neg \text{class.not-singleton TYPE('a)} \rangle$  for  $x :: \text{'a::zero}$   

using that unfolding class.not-singleton-def by auto

lemma UNIV-not-singleton[simp]:  $(\text{UNIV} :: \text{:not-singleton set}) \neq \{x\}$   

using not-singleton-existence[of x] by blast

lemma UNIV-not-singleton-converse:  

assumes  $\bigwedge x::\text{'a}. \text{UNIV} \neq \{x\}$   

shows  $\exists x::\text{'a}. \exists y. x \neq y$   

using assms  

by fastforce

subclass (in card2) not-singleton  

apply standard using two-le-card  

by (meson card-2-iff' obtain-subset-with-card-n)

subclass (in perfect-space) not-singleton  

apply intro-classes  

by (metis (mono-tags) Collect-cong Collect-mem-eq UNIV-I local.UNIV-not-singleton  

local.not-open-singleton local.open-subopen)

lemma class-not-singletonI-monoid-add:  

assumes  $(\text{UNIV} :: \text{'a set}) \neq \{0\}$   

shows class.not-singleton TYPE('a::monoid-add)  

proof intro-classes  

let ?univ = UNIV :: 'a set  

from assms obtain x::'a where  $x \neq 0$ 

```

```

    by auto
  thus  $\exists x y :: 'a. x \neq y$ 
    by auto
qed

lemma not-singleton-vs-CARD-1:
  assumes < $\neg \text{class.not-singleton TYPE('a)}$ >
  shows < $\text{class.CARD-1 TYPE('a)}$ >
  using assms unfolding class.not-singleton-def class.CARD-1-def
  by (metis (full-types) One-nat-def UNIV-I card.empty card.insert empty-iff equalityI finite.intros(1) insert-iff subsetI)

```

**2.3 CARD-1**

```

context CARD-1 begin

lemma everything-the-same[simp]:  $(x::'a)=y$ 
  by (metis (full-types) UNIV-I card-1-singletonE empty-iff insert-iff local.CARD-1)

lemma CARD-1-UNIV:  $\text{UNIV} = \{x::'a\}$ 
  by (metis (full-types) UNIV-I card-1-singletonE local.CARD-1 singletonD)

lemma CARD-1-ext:  $x (a::'a) = y b \implies x = y$ 
proof (rule ext)
  show  $x t = y t$ 
    if  $x a = y b$ 
    for  $t :: 'a$ 
    using that apply (subst (asm) everything-the-same[where  $x=a$ ])
    apply (subst (asm) everything-the-same[where  $x=b$ ])
    by simp
qed

end

instance unit :: CARD-1
apply standard by auto

instance prod :: (CARD-1, CARD-1) CARD-1
apply intro-classes
by (simp add: CARD-1)

instance fun :: (CARD-1, CARD-1) CARD-1
apply intro-classes
by (auto simp add: card-fun CARD-1)

lemma enum-CARD-1:  $(\text{Enum.enum} :: 'a:\{\text{CARD-1},\text{enum}\} \text{ list}) = [a]$ 
proof -
  let ?enum =  $\text{Enum.enum} :: 'a:\{\text{CARD-1},\text{enum}\} \text{ list}$ 

```

```

have length ?enum = 1
  apply (subst card-UNIV-length-enum[symmetric])
  by (rule CARD-1)
then obtain b where ?enum = [b]
  apply atomize-elim
  apply (cases ?enum, auto)
  by (metis length-0-conv length-Cons nat.inject)
thus ?enum = [a]
  by (subst everything-the-same[of - b], simp)
qed

```

**lemma** card-not-singleton:  $\langle \text{CARD}('a::not-singleton) \neq 1 \rangle$   
**by** (simp add: card-1-singleton-iff)

## 2.4 Topology

```

lemma cauchy-filter-metricI:
  fixes F :: 'a::metric-space filter
  assumes  $\bigwedge e. e > 0 \implies \exists P. \text{eventually } P F \wedge (\forall x y. P x \wedge P y \longrightarrow \text{dist } x y < e)$ 
  shows cauchy-filter F
proof (unfold cauchy-filter-def le-filter-def, auto)
  fix P :: 'a × 'a ⇒ bool
  assume eventually P uniformity
  then obtain e where e:  $e > 0$  and P:  $\text{dist } x y < e \implies P(x, y)$  for x y
    unfolding eventually-uniformity-metric by auto

  obtain P' where evP':  $\text{eventually } P' F$  and P'-dist:  $P' x \wedge P' y \implies \text{dist } x y < e$  for x y
    apply atomize-elim using assms e by auto

  from evP' P'-dist P
  show eventually P (F ×F F)
    unfolding eventually-uniformity-metric eventually-prod-filter eventually-filtermap
  by metis
qed

```

```

lemma cauchy-filter-metric-filtermapI:
  fixes F :: 'a filter and f :: 'a ⇒ 'b::metric-space
  assumes  $\bigwedge e. e > 0 \implies \exists P. \text{eventually } P F \wedge (\forall x y. P x \wedge P y \longrightarrow \text{dist } (f x) (f y) < e)$ 
  shows cauchy-filter (filtermap f F)
proof (rule cauchy-filter-metricI)
  fix e :: real assume e:  $e > 0$ 
  with assms obtain P where evP:  $\text{eventually } P F$  and dist:  $P x \wedge P y \implies \text{dist } (f x) (f y) < e$  for x y
    by atomize-elim auto
  define P' where P' y = ( $\exists x. P x \wedge y = f x$ ) for y
  have eventually P' (filtermap f F)

```

```

unfolding eventually-filtermap P'-def
using evP
by (smt eventually-mono)
moreover have P' x ∧ P' y → dist x y < e for x y
  unfolding P'-def using dist by metis
ultimately show ∃ P. eventually P (filtermap f F) ∧ (∀ x y. P x ∧ P y → dist
x y < e)
  by auto
qed

```

**lemma** tendsto-add-const-iff:

— This is a generalization of *Limits.tendsto-add-const-iff*, the only difference is that the sort here is more general.

 $((\lambda x. c + f x :: 'a::topological-group-add) \rightarrow c + d) F \leftrightarrow (f \rightarrow d) F$ 
**using** tendsto-add[*OF tendsto-const[of c], of f d*]
**and** tendsto-add[*OF tendsto-const[of -c], of λx. c + f x c + d*] **by** auto

**lemma** finite-subsets-at-top-minus:

```

assumes A ⊆ B
shows finite-subsets-at-top (B - A) ≤ filtermap (λF. F - A) (finite-subsets-at-top
B)
proof (rule filter-leI)
  fix P assume eventually P (filtermap (λF. F - A) (finite-subsets-at-top B))
  then obtain X where finite X and X ⊆ B
    and P: finite Y ∧ X ⊆ Y ∧ Y ⊆ B → P (Y - A) for Y
    unfolding eventually-filtermap eventually-finite-subsets-at-top by auto

  hence finite (X - A) and X - A ⊆ B - A
    by auto
  moreover have finite Y ∧ X - A ⊆ Y ∧ Y ⊆ B - A → P Y for Y
    using P[where Y = Y ∪ X] ⟨finite X⟩, ⟨X ⊆ B⟩
    by (metis Diff-subset Int-Diff Un-Diff finite-Un inf.orderE le-sup-iff sup.orderE
sup-ge2)
  ultimately show eventually P (finite-subsets-at-top (B - A))
    unfolding eventually-finite-subsets-at-top by meson
qed

```

**lemma** finite-subsets-at-top-inter:

```

assumes A ⊆ B
shows filtermap (λF. F ∩ A) (finite-subsets-at-top B) = finite-subsets-at-top A
proof (subst filter-eq-iff, intro allI iffI)
  fix P :: 'a set ⇒ bool
  assume eventually P (finite-subsets-at-top A)
  then show eventually P (filtermap (λF. F ∩ A) (finite-subsets-at-top B))
    unfolding eventually-filtermap
    unfolding eventually-finite-subsets-at-top
    by (metis Int-subset-iff assms finite-Int inf-le2 subset-trans)
next

```

```

fix P :: 'a set ⇒ bool
assume eventually P (filtermap (λF. F ∩ A) (finite-subsets-at-top B))
then obtain X where ⟨finite X⟩ ⟨X ⊆ B⟩ and P: ⟨finite Y ⇒ X ⊆ Y ⇒ Y
⊆ B ⇒ P (Y ∩ A)⟩ for Y
  unfolding eventually-filtermap eventually-finite-subsets-at-top by metis
have *: ⟨finite Y ⇒ X ∩ A ⊆ Y ⇒ Y ⊆ A ⇒ P Y⟩ for Y
  using P[where Y=⟨Y ∪ (B-A)⟩]
  apply (subgoal-tac ⟨(Y ∪ (B - A)) ∩ A = Y⟩)
  apply (smt (verit, best) Int-Un-distrib2 Int-Un-eq(4) P Un-subset-iff ⟨X ⊆ B⟩
⟨finite X⟩ assms finite-UnI inf.orderE sup-ge2)
  by auto
show eventually P (finite-subsets-at-top A)
  unfolding eventually-finite-subsets-at-top
  apply (rule exI[of - ⟨X ∩ A⟩])
  by (auto simp: ⟨finite X⟩ intro!: *)
qed

lemma tendsto-principal-singleton:
  shows (f —→ f x) (principal {x})
  unfolding tendsto-def eventually-principal by simp

lemma complete-singleton:
  complete {s::'a::uniform-space}
proof –
  have F ≤ principal {s} ⇒
    F ≠ bot ⇒ cauchy-filter F ⇒ F ≤ nhds s for F
    by (metis eventually-nhds eventually-principal le-filter-def singletonD)
  thus ?thesis
    unfolding complete-uniform
    by simp
qed

lemma on-closure-eqI:
  fixes f g :: ⟨'a::topological-space ⇒ 'b::t2-space⟩
  assumes eq: ⟨∀x. x ∈ S ⇒ f x = g x⟩
  assumes xS: ⟨x ∈ closure S⟩
  assumes cont: ⟨continuous-on UNIV f⟩ ⟨continuous-on UNIV g⟩
  shows ⟨f x = g x⟩
proof –
  define X where ⟨X = {x. f x = g x}⟩
  have ⟨closed X⟩
    using cont by (simp add: X-def closed-Collect-eq)
  moreover have ⟨S ⊆ X⟩
    by (simp add: X-def eq subsetI)
  ultimately have ⟨closure S ⊆ X⟩
    using closure-minimal by blast
  with xS have ⟨x ∈ X⟩
    by auto
  then show ?thesis

```

```

using X-def by blast
qed

lemma on-closure-leI:
fixes f g :: \'a::topological-space ⇒ 'b::linorder-topology'
assumes eq:  $\bigwedge x. x \in S \Rightarrow f x \leq g x$ 
assumes xS:  $x \in \text{closure } S$ 
assumes cont:  $\langle \text{continuous-on } \text{UNIV } f \rangle \langle \text{continuous-on } \text{UNIV } g \rangle$ 
shows  $f x \leq g x$ 
proof -
define X where  $X = \{x. f x \leq g x\}$ 
have  $\langle \text{closed } X \rangle$ 
  using cont by (simp add: X-def closed-Collect-le)
moreover have  $\langle S \subseteq X \rangle$ 
  by (simp add: X-def eq subsetI)
ultimately have  $\langle \text{closure } S \subseteq X \rangle$ 
  using closure-minimal by blast
with xS have  $\langle x \in X \rangle$ 
  by auto
then show ?thesis
  using X-def by blast
qed

```

```

lemma tendsto-compose-at-within:
assumes f:  $(f \longrightarrow y) F$  and g:  $(g \longrightarrow z) (\text{at } y \text{ within } S)$ 
and fg: eventually  $(\lambda w. f w = y \longrightarrow g w = z) F$ 
and fS:  $\langle \forall F. w \text{ in } F. f w \in S \rangle$ 
shows  $((g \circ f) \longrightarrow z) F$ 
proof (cases  $\langle g y = z \rangle$ )
case False
then have 1:  $(\forall F. a \text{ in } F. f a \neq y)$ 
  using fg by force
have 2:  $(g \longrightarrow z) (\text{filtermap } f F) \vee \neg (\forall F. a \text{ in } F. f a \neq y)$ 
  by (smt (verit, best) eventually-elim2 f fS filterlim-at filterlim-def g tends-to-mono)
show ?thesis
  using 1 2 tendsto-compose-filtermap by blast
next
case True
have *: ?thesis if  $\langle (g \longrightarrow z) (\text{filtermap } f F) \rangle$ 
  using that by (simp add: tendsto-compose-filtermap)
from g
have  $\langle (g \longrightarrow g y) (\inf (\text{nhds } y) (\text{principal } (S - \{y\}))) \rangle$ 
  by (simp add: True at-within-def)
then have g':  $\langle (g \longrightarrow g y) (\inf (\text{nhds } y) (\text{principal } S)) \rangle$ 
  using True g tends-to-at-iff-tends-to-nhds-within by blast
from f have  $\langle \text{filterlim } f (\text{nhds } y) F \rangle$ 
  by -

```

```

then have f': <filterlim f (inf (nhds y) (principal S)) F>
  using fS
  by (simp add: filterlim-inf filterlim-principal)
from f' g' show ?thesis
  by (simp add: * True filterlim-compose filterlim-filtermap)
qed

```

## 2.5 Sums

**lemma** sum-single:

```

assumes finite A
assumes  $\bigwedge j. j \neq i \Rightarrow j \in A \Rightarrow f j = 0$ 
shows sum f A = (if  $i \in A$  then  $f i$  else 0)
apply (subst sum.mono-neutral-cong-right[where S=<A ∩ {i}> and h=f])
using assms by auto

```

**lemma** has-sum-comm-additive-general:

— This is a strengthening of has-sum-comm-additive-general.  
**fixes** f :: <'b :: {comm-monoid-add,topological-space} ⇒ 'c :: {comm-monoid-add,topological-space}>  
**assumes** f-sum:  $\bigwedge F. \text{finite } F \Rightarrow F \subseteq S \Rightarrow \text{sum } (f \circ g) F = f (\text{sum } g F)$

— Not using additive because it would add sort constraint ab-group-add

```

assumes inS:  $\bigwedge F. \text{finite } F \Rightarrow \text{sum } g F \in T$ 
assumes cont: <(f —> f x) (at x within T)>

```

— For t2-space and T = UNIV, this is equivalent to isCont f x by isCont-def.

```

assumes infsum: <(g has-sum x) S>
shows <((f o g) has-sum (f x)) S>

```

**proof** —

```

have <(sum g —> x) (finite-subsets-at-top S)>
  using infsum has-sum-def by blast

```

```

then have <((f o sum g) —> f x) (finite-subsets-at-top S)>
  apply (rule tendsto-compose-at-within[where S=T])
  using assms by auto

```

```

then have <(sum (f o g) —> f x) (finite-subsets-at-top S)>
  apply (rule tendsto-cong[THEN iffD1, rotated])
  using f-sum by fastforce

```

```

then show <((f o g) has-sum (f x)) S>
  using has-sum-def by blast

```

**qed**

**lemma** summable-on-comm-additive-general:

— This is a strengthening of summable-on-comm-additive-general.

**fixes** g :: <'a ⇒ 'b :: {comm-monoid-add,topological-space}> **and** f :: <'b ⇒ 'c :: {comm-monoid-add,topological-space}>

**assumes** < $\bigwedge F. \text{finite } F \Rightarrow F \subseteq S \Rightarrow \text{sum } (f \circ g) F = f (\text{sum } g F)$ >

— Not using additive because it would add sort constraint ab-group-add

**assumes** inS: < $\bigwedge F. \text{finite } F \Rightarrow \text{sum } g F \in T$ >

**assumes** cont: < $\bigwedge x. (g \text{ has-sum } x) S \Rightarrow (f \text{ —> } f x) (\text{at } x \text{ within } T)$ >

— For t2-space and T = UNIV, this is equivalent to isCont f x by isCont-def.

**assumes** <g summable-on S>

```

shows ⟨(f o g) summable-on S⟩
by (meson assms summable-on-def has-sum-comm-additive-general has-sum-def
infsum-tendsto)

lemma has-sum-metric:
fixes l :: ⟨'a :: {metric-space, comm-monoid-add}⟩
shows ⟨(f has-sum l) A ←→ (∀ e. e > 0 → (∃ X. finite X ∧ X ⊆ A ∧ (∀ Y.
finite Y ∧ X ⊆ Y ∧ Y ⊆ A → dist (sum f Y) l < e)))⟩
unfolding has-sum-def
apply (subst tendsto-iff)
unfolding eventually-finite-subsets-at-top
by simp

lemma summable-on-product-finite-left:
fixes f :: ⟨'a × 'b ⇒ 'c::{topological-comm-monoid-add}⟩
assumes sum: ⟨∀x. x ∈ X ⇒ (λy. f(x,y)) summable-on Y⟩
assumes ⟨finite X⟩
shows ⟨f summable-on (X × Y)⟩
using ⟨finite X⟩ subset-refl[of X]
proof (induction rule: finite-subset-induct')
case empty
then show ?case
by simp
next
case (insert x F)
have *: ⟨bij-betw (Pair x) Y ({x} × Y)⟩
apply (rule bij-betwI')
by auto
from sum[of x]
have ⟨f summable-on {x} × Y⟩
apply (rule summable-on-reindex-bij-betw[THEN iffD1, rotated])
by (simp-all add: * insert.hyps(2))
then have ⟨f summable-on {x} × Y ∪ F × Y⟩
apply (rule summable-on-Un-disjoint)
using insert by auto
then show ?case
by (metis Sigma-Un-distrib1 insert-is-Un)
qed

lemma summable-on-product-finite-right:
fixes f :: ⟨'a × 'b ⇒ 'c::{topological-comm-monoid-add}⟩
assumes sum: ⟨∀y. y ∈ Y ⇒ (λx. f(x,y)) summable-on X⟩
assumes ⟨finite Y⟩
shows ⟨f summable-on (X × Y)⟩
proof –
have ⟨(λ(y,x). f(x,y)) summable-on (Y × X)⟩
apply (rule summable-on-product-finite-left)
using assms by auto
then show ?thesis

```

```

apply (subst summable-on-reindex-bij-betw[where g=prod.swap and A=⟨Y×X⟩,
symmetric])
  apply (simp add: bij-betw-def product-swap)
  by (metis (mono-tags, lifting) case-prod-unfold prod.swap-def summable-on-cong)
qed

```

## 2.6 Complex numbers

```

lemma cmod-Re:
  assumes x ≥ 0
  shows cmod x = Re x
  using assms unfolding less-eq-complex-def cmod-def
  by auto

```

```

lemma abs-complex-real[simp]: abs x ∈ ℝ for x :: complex
  by (simp add: abs-complex-def)

```

```

lemma Im-abs[simp]: Im (abs x) = 0
  using abs-complex-real complex-is-Real-iff by blast

```

```

lemma cnj-x-x: cnj x * x = (abs x)2
proof (cases x)
  show cnj x * x = |x|2
  if x = Complex x1 x2
  for x1 :: real
    and x2 :: real
  using that
  by (auto simp: complex-cnj complex-mult abs-complex-def
    complex-norm power2-eq-square complex-of-real-def)
qed

```

```

lemma cnj-x-x-geq0[simp]: ⟨cnj x * x ≥ 0⟩
  by (simp add: less-eq-complex-def)

```

```

lemma complex-of-real-leq-1-iff[iff]: ⟨complex-of-real x ≤ 1 ↔ x ≤ 1⟩
  by (simp add: less-eq-complex-def)

```

```

lemma x-cnj-x: ⟨x * cnj x = (abs x)2⟩
  by (metis cnj-x-x mult.commute)

```

## 2.7 List indices and enum

```

fun index-of where
  index-of x [] = (0::nat)
  | index-of x (y#ys) = (if x=y then 0 else (index-of x ys + 1))

```

```

definition enum-idx (x::'a::enum) = index-of x (enum-class.enum :: 'a list)

```

```

lemma index-of-length: index-of x y ≤ length y

```

```

apply (induction y) by auto

lemma index-of-correct:
assumes x ∈ set y
shows y ! index-of x y = x
using assms apply (induction y arbitrary: x)
by auto

lemma enum-idx-correct:
Enum.enum ! enum-idx i = i
proof-
have i ∈ set enum-class.enum
using UNIV-enum by blast
thus ?thesis
unfolding enum-idx-def
using index-of-correct by metis
qed

lemma index-of-bound:
assumes y ≠ [] and x ∈ set y
shows index-of x y < length y
using assms proof(induction y arbitrary: x)
case Nil
thus ?case by auto
next
case (Cons a y)
show ?case
proof(cases a = x)
case True
thus ?thesis by auto
next
case False
moreover have a ≠ x ==> index-of x y < length y
using Cons.IH Cons.prems(2) by fastforce
ultimately show ?thesis by auto
qed
qed

lemma enum-idx-bound[simp]: enum-idx x < CARD('a) for x :: 'a::enum
proof-
have p1: False
if (Enum.enum :: 'a list) = []
proof-
have (UNIV::'a set) = set ([]::'a list)
using that UNIV-enum by metis
also have ... = {}
by blast
finally have (UNIV::'a set) = {}.
thus ?thesis by simp

```

```

qed
have p2:  $x \in \text{set}(\text{Enum.enum} :: 'a \text{ list})$ 
  using UNIV-enum by auto
moreover have  $(\text{enum-class.enum} :: 'a \text{ list}) \neq []$ 
  using p2 by auto
ultimately show ?thesis
  unfolding enum-idx-def card-UNIV-length-enum
  using index-of-bound [where  $x = x$  and  $y = (\text{Enum.enum} :: 'a \text{ list})$ ]
  by auto
qed

lemma index-of-nth:
  assumes distinct xs
  assumes  $i < \text{length } xs$ 
  shows  $\text{index-of}(xs ! i) xs = i$ 
  using assms
  by (metis gr-implies-not-zero index-of-bound index-of-correct length-0-conv nth-eq-iff-index-eq nth-mem)

lemma enum-idx-enum:
  assumes  $\langle i < \text{CARD}('a :: \text{enum}) \rangle$ 
  shows  $\langle \text{enum-idx}(\text{enum-class.enum} ! i :: 'a) = i \rangle$ 
  unfolding enum-idx-def apply (rule index-of-nth)
  using assms by (simp-all add: card-UNIV-length-enum enum-distinct)

```

## 2.8 Filtering lists/sets

```

lemma map-filter-map:  $\text{List.map-filter } f (\text{map } g l) = \text{List.map-filter } (f \circ g) l$ 
proof (induction l)
  show  $\text{List.map-filter } f (\text{map } g []) = \text{List.map-filter } (f \circ g) []$ 
    by (simp add: map-filter-simps)
  show  $\text{List.map-filter } f (\text{map } g (a \# l)) = \text{List.map-filter } (f \circ g) (a \# l)$ 
    if  $\text{List.map-filter } f (\text{map } g l) = \text{List.map-filter } (f \circ g) l$ 
      for  $a :: 'c$ 
        and  $l :: 'c \text{ list}$ 
        using that map-filter-simps(1)
        by (metis comp-eq-dest-lhs list.simps(9))
qed

lemma map-filter-Some[simp]:  $\text{List.map-filter } (\lambda x. \text{Some } (f x)) l = \text{map } f l$ 
proof (induction l)
  show  $\text{List.map-filter } (\lambda x. \text{Some } (f x)) [] = \text{map } f []$ 
    by (simp add: map-filter-simps)
  show  $\text{List.map-filter } (\lambda x. \text{Some } (f x)) (a \# l) = \text{map } f (a \# l)$ 
    if  $\text{List.map-filter } (\lambda x. \text{Some } (f x)) l = \text{map } f l$ 
      for  $a :: 'b$ 
        and  $l :: 'b \text{ list}$ 
        using that by (simp add: map-filter-simps(1))
qed

```

**lemma** *filter-Un*:  $\text{Set.filter } f (x \cup y) = \text{Set.filter } f x \cup \text{Set.filter } f y$   
**unfolding** *Set.filter-def* **by** *auto*

**lemma** *Set-filter-unchanged*:  $\text{Set.filter } P X = X$  **if**  $\bigwedge x. x \in X \implies P x$  **for** *P* **and**  
*X* :: *'z set*  
**using that unfolding** *Set.filter-def* **by** *auto*

## 2.9 Maps

**definition** *inj-map*  $\pi = (\forall x y. \pi x = \pi y \wedge \pi x \neq \text{None} \longrightarrow x = y)$

**definition** *inv-map*  $\pi = (\lambda y. \text{if Some } y \in \text{range } \pi \text{ then Some } (\text{inv } \pi (\text{Some } y)) \text{ else None})$

**lemma** *inj-map-total[simp]*: *inj-map* (*Some o π*) = *inj π*  
**unfolding** *inj-map-def inj-def* **by** *simp*

**lemma** *inj-map-Some[simp]*: *inj-map Some*  
**by** (*simp add: inj-map-def*)

**lemma** *inv-map-total*:  
**assumes** *surj π*  
**shows** *inv-map* (*Some o π*) = *Some o inv π*  
**proof**–  
**have** (*if Some y ∈ range (λx. Some (π x))*  
*then Some (SOME x. Some (π x) = Some y)*  
*else None*) =  
*Some (SOME b. π b = y)*  
**if** *surj π*  
**for** *y*  
**using that by auto**  
**hence** *surj π* **implies**  
 $(\lambda y. \text{if Some } y \in \text{range } (\lambda x. \text{Some } (\pi x))$   
 $\text{then Some } (\text{SOME } x. \text{Some } (\pi x) = \text{Some } y) \text{ else None}) =$   
 $(\lambda x. \text{Some } (\text{SOME } xa. \pi xa = x))$   
**by** (*rule ext*)  
**thus** *?thesis*  
**unfolding** *inv-map-def o-def inv-def*  
**using assms by linarith**  
**qed**

**lemma** *inj-map-map-comp[simp]*:  
**assumes** *a1: inj-map f and a2: inj-map g*  
**shows** *inj-map* (*f o<sub>m</sub> g*)  
**using** *a1 a2*  
**unfolding** *inj-map-def*  
**by** (*metis (mono-tags, lifting) map-comp-def option.case-eq-if option.expand*)

```

lemma inj-map-inv-map[simp]: inj-map (inv-map  $\pi$ )
proof (unfold inj-map-def, rule allI, rule allI, rule impI, erule conjE)
  fix  $x\ y$ 
  assume same: inv-map  $\pi\ x = \text{inv-map } \pi\ y$ 
  and pix-not-None: inv-map  $\pi\ x \neq \text{None}$ 
  have  $x\text{-pi}:$  Some  $x \in \text{range } \pi$ 
    using pix-not-None unfolding inv-map-def apply auto
    by (meson option.distinct(1))
  have  $y\text{-pi}:$  Some  $y \in \text{range } \pi$ 
    using pix-not-None unfolding same unfolding inv-map-def apply auto
    by (meson option.distinct(1))
  have inv-map  $\pi\ x = \text{Some } (\text{Hilbert-Choice.inv } \pi\ (\text{Some } x))$ 
    unfolding inv-map-def using  $x\text{-pi}$  by simp
  moreover have inv-map  $\pi\ y = \text{Some } (\text{Hilbert-Choice.inv } \pi\ (\text{Some } y))$ 
    unfolding inv-map-def using  $y\text{-pi}$  by simp
  ultimately have Hilbert-Choice.inv  $\pi\ (\text{Some } x) = \text{Hilbert-Choice.inv } \pi\ (\text{Some } y)$ 
    using same by simp
  thus  $x = y$ 
    by (meson inv-into-injective option.inject x-pi y-pi)
qed

```

## 2.10 Lattices

**unbundle** lattice-syntax

The following lemma is identical to *Complete-Lattices.uminus-Inf* except for the more general sort.

```

lemma uminus-Inf:  $- (\bigcap A) = \bigsqcup (\text{uminus } 'A)$  for  $A :: \langle 'a :: \text{complete-orthocomplemented-lattice-set} \rangle$ 
proof (rule order.antisym)
  show  $- \bigcap A \leq \bigsqcup (\text{uminus } 'A)$ 
    by (rule compl-le-swap2, rule Inf-greatest, rule compl-le-swap2, rule Sup-upper)
  simp
  show  $\bigsqcup (\text{uminus } 'A) \leq - \bigcap A$ 
    by (rule Sup-least, rule compl-le-swap1, rule Inf-lower) auto
qed

```

The following lemma is identical to *Complete-Lattices.uminus-INF* except for the more general sort.

```

lemma uminus-INF:  $- (\text{INF } x \in A. B\ x) = (\text{SUP } x \in A. - B\ x)$  for  $B :: \langle 'a \Rightarrow 'b :: \text{complete-orthocomplemented-lattice} \rangle$ 
by (simp add: uminus-Inf image-image)

```

The following lemma is identical to *Complete-Lattices.uminus-Sup* except for the more general sort.

```

lemma uminus-Sup:  $- (\bigsqcup A) = \bigcap (\text{uminus } 'A)$  for  $A :: \langle 'a :: \text{complete-orthocomplemented-lattice-set} \rangle$ 

```

**by** (metis (no-types, lifting) uminus-INF image-cong image-ident ortho-involution)

The following lemma is identical to *Complete-Lattices.uminus-SUP* except for the more general sort.

**lemma** uminus-SUP:  $\neg (\text{SUP } x \in A. B x) = (\text{INF } x \in A. \neg B x)$  **for**  $B :: \text{'a} \Rightarrow \text{'b} :: \text{complete-orthocomplemented-lattice}$   
**by** (simp add: uminus-Sup image-image)

**lemma** has-sumI-metric:

**fixes**  $l :: \text{'a} :: \{\text{metric-space}, \text{comm-monoid-add}\}$   
**assumes**  $\langle \bigwedge e. e > 0 \implies \exists X. \text{finite } X \wedge X \subseteq A \wedge (\forall Y. \text{finite } Y \wedge X \subseteq Y \wedge Y \subseteq A \longrightarrow \text{dist}(\text{sum } f Y) l < e) \rangle$   
**shows**  $\langle (f \text{ has-sum } l) A \rangle$   
**unfolding** has-sum-metric **using** assms **by** simp

**lemma** limitin-pullback-topology:

$\langle \text{limitin}(\text{pullback-topology } A g T) f l F \longleftrightarrow l \in A \wedge (\forall_F x \text{ in } F. f x \in A) \wedge \text{limitin}(T(g o f))(g l) F \rangle$   
**apply** (simp add: topspace-pullback-topology limitin-def openin-pullback-topology imp-ex flip: ex-simps(1))  
**apply** rule  
**apply** simp  
**apply** safe  
**using** eventually-mono **apply** fastforce  
**apply** (simp add: eventually-conj-iff)  
**by** (simp add: eventually-conj-iff)

**lemma** tendsto-coordinatewise:  $\langle (f \longrightarrow l) F \longleftrightarrow (\forall x. ((\lambda i. f i x) \longrightarrow l x) F) \rangle$

**proof** (intro iffI allI)

**assume** asm:  $\langle (f \longrightarrow l) F \rangle$

**then show**  $\langle ((\lambda i. f i x) \longrightarrow l x) F \rangle$  **for**  $x$

**apply** (rule continuous-on-tendsto-compose[where s=UNIV, rotated])

**by** auto

**next**

**assume** asm:  $\langle (\forall x. ((\lambda i. f i x) \longrightarrow l x) F) \rangle$

**show**  $\langle (f \longrightarrow l) F \rangle$

**proof** (unfold tendsto-def, intro allI impI)

**fix**  $S$  **assume**  $\langle \text{open } S \rangle$  **and**  $\langle l \in S \rangle$

**from** product-topology-open-contains-basis[OF ⟨open S⟩[unfolded open-fun-def]  
 $\langle l \in S \rangle]$

**obtain**  $U$  **where**  $lU: \langle l \in \text{Pi UNIV } U \rangle$  **and**  $\text{open}U: \langle \bigwedge x. \text{open}(U x) \rangle$  **and**  $\text{finite}D: \langle \text{finite } \{x. U x \neq \text{UNIV}\} \rangle$  **and**  $US: \langle \text{Pi UNIV } U \subseteq S \rangle$

**by** (auto simp add: PiE-UNIV-domain)

**define**  $D$  **where**  $\langle D = \{x. U x \neq \text{UNIV}\} \rangle$

**with**  $\text{finite}D$  **have**  $\text{finite}D: \langle \text{finite } D \rangle$

**by** simp

**have**  $\text{PiUNIV}: \langle t \in \text{Pi UNIV } U \longleftrightarrow (\forall x \in D. t x \in U x) \rangle$  **for**  $t$

**using**  $D\text{-def}$  **by** blast

```

have f-Ui:  $\langle \forall F. i \text{ in } F. f i x \in U x \rangle$  for x
  using asm[rule-format, of x] openU[of x]
  using lU topological-tendstoD by fastforce

have  $\langle \forall F. x \text{ in } F. \forall i \in D. f x i \in U i \rangle$ 
  using finiteD
proof induction
  case empty
  then show ?case
    by simp
next
  case (insert x F)
  with f-Ui show ?case
    by (simp add: eventually-conj-iff)
qed

then show  $\langle \forall F. x \text{ in } F. f x \in S \rangle$ 
  using US by (simp add: PiUNIV eventually-mono in-mono)
qed
qed

lemma limitin-closure-of:
  assumes limit:  $\langle \text{limitin } T f c F \rangle$ 
  assumes in-S:  $\langle \forall F. x \text{ in } F. f x \in S \rangle$ 
  assumes nontrivial:  $\langle \neg \text{trivial-limit } F \rangle$ 
  shows  $\langle c \in T \text{ closure-of } S \rangle$ 
proof (intro in-closure-of[THEN iffD2] conjI impI allI)
  from limit show  $\langle c \in \text{topspace } T \rangle$ 
    by (simp add: limitin-topspace)
  fix U
  assume  $\langle c \in U \wedge \text{openin } T U \rangle$ 
  with limit have  $\langle \forall F. x \text{ in } F. f x \in U \rangle$ 
    by (simp add: limitin-def)
  with in-S have  $\langle \forall F. x \text{ in } F. f x \in U \wedge f x \in S \rangle$ 
    by (simp add: eventually-frequently-simps)
  with nontrivial
  show  $\langle \exists y. y \in S \wedge y \in U \rangle$ 
    using eventually-happens' by blast
qed

end

```

### 3 Extra-Vector-Spaces – Additional facts about vector spaces

**theory** *Extra-Vector-Spaces*

```

imports
  HOL-Analysis.Inner-Product
  HOL-Analysis.Euclidean-Space
  HOL-Library.Indicator-Function
  HOL-Analysis.Topology-Euclidean-Space
  HOL-Analysis.Line-Segment
  HOL-Analysis.Bounded-Linear-Function
  Extra-General
begin

3.1 Euclidean spaces

typedef 'a euclidean-space = UNIV :: ('a ⇒ real) set ..
setup-lifting type-definition-euclidean-space

instantiation euclidean-space :: (type) real-vector begin
lift-definition plus-euclidean-space :: 
  'a euclidean-space ⇒ 'a euclidean-space ⇒ 'a euclidean-space
  is λf g x. f x + g x .
lift-definition zero-euclidean-space :: 'a euclidean-space is λ-. 0 .
lift-definition uminus-euclidean-space :: 
  'a euclidean-space ⇒ 'a euclidean-space
  is λf x. - f x .
lift-definition minus-euclidean-space :: 
  'a euclidean-space ⇒ 'a euclidean-space ⇒ 'a euclidean-space
  is λf g x. f x - g x .
lift-definition scaleR-euclidean-space :: 
  real ⇒ 'a euclidean-space ⇒ 'a euclidean-space
  is λc f x. c * f x .
instance
  apply intro-classes
  by (transfer; auto intro: distrib-left distrib-right)+
end

instantiation euclidean-space :: (finite) real-inner begin
lift-definition inner-euclidean-space :: 'a euclidean-space ⇒ 'a euclidean-space ⇒ real
  is λf g. ∑ x∈UNIV. f x * g x :: real .
definition norm-euclidean-space (x::'a euclidean-space) = sqrt (inner x x)
definition dist-euclidean-space (x::'a euclidean-space) y = norm (x-y)
definition sgn x = x /R norm x for x::'a euclidean-space
definition uniformity = (INF e∈{0<..}. principal {(x::'a euclidean-space, y). dist x y < e})
definition open U = (∀ x∈U. ∀ F (x::'a euclidean-space, y) in uniformity. x' = x → y ∈ U)
instance
  proof intro-classes
    fix x :: 'a euclidean-space
    and y :: 'a euclidean-space

```

```

and  $z :: 'a euclidean-space$ 
show  $dist(x::'a euclidean-space) y = norm(x - y)$ 
and  $sgn(x::'a euclidean-space) = x /_R norm x$ 
and  $uniformity = (\text{INF } e \in \{0 < ..\}. \text{principal}\{(x, y). dist(x::'a euclidean-space) < e\})$ 
and  $open U = (\forall x \in U. \forall_F (x', y) \text{ in } uniformity. (x'::'a euclidean-space) = x \rightarrow y \in U)$ 
and  $norm x = sqrt(inner x x)$  for  $U$ 
unfolding  $dist\text{-euclidean-space-def}$   $norm\text{-euclidean-space-def}$   $sgn\text{-euclidean-space-def}$ 
 $uniformity\text{-euclidean-space-def}$   $open\text{-euclidean-space-def}$ 
by simp-all

show  $inner x y = inner y x$ 
apply transfer
by (simp add: mult.commute)
show  $inner(x + y) z = inner x z + inner y z$ 
proof transfer
fix  $x y z :: 'a \Rightarrow real$ 
have  $(\sum i \in UNIV. (x i + y i) * z i) = (\sum i \in UNIV. x i * z i + y i * z i)$ 
by (simp add: distrib-left mult.commute)
thus  $(\sum i \in UNIV. (x i + y i) * z i) = (\sum j \in UNIV. x j * z j) + (\sum k \in UNIV. y k * z k)$ 
by (subst sum.distrib[symmetric])
qed

show  $inner(r *_R x) y = r * (inner x y)$  for  $r$ 
proof transfer
fix  $r$  and  $x y :: 'a \Rightarrow real$ 
have  $(\sum i \in UNIV. r * x i * y i) = (\sum i \in UNIV. r * (x i * y i))$ 
by (simp add: mult.assoc)
thus  $(\sum i \in UNIV. r * x i * y i) = r * (\sum j \in UNIV. x j * y j)$ 
by (subst sum-distrib-left)
qed
show  $0 \leq inner x x$ 
apply transfer
by (simp add: sum-nonneg)
show  $(inner x x = 0) = (x = 0)$ 
proof (transfer, rule)
fix  $f :: 'a \Rightarrow real$ 
assume  $(\sum i \in UNIV. f i * f i) = 0$ 
hence  $f x * f x = 0$  for  $x$ 
apply (rule-tac sum-nonneg-eq-0-iff[THEN iffD1, rule-format, where A=UNIV
and  $x=x]$ )
by auto
thus  $f = (\lambda x. 0)$ 
by auto
qed auto
qed
end

```

```

instantiation euclidean-space :: (finite) euclidean-space begin
lift-definition euclidean-space-basis-vector :: 'a  $\Rightarrow$  'a euclidean-space is
   $\lambda x.$  indicator {x} .
definition Basis-euclidean-space == (euclidean-space-basis-vector ` UNIV)
instance
proof intro-classes
  fix u :: 'a euclidean-space
  and v :: 'a euclidean-space
  show (Basis::'a euclidean-space set)  $\neq \{\}$ 
    unfolding Basis-euclidean-space-def by simp
  show finite (Basis::'a euclidean-space set)
    unfolding Basis-euclidean-space-def by simp
  show inner u v = (if u = v then 1 else 0)
    if u  $\in$  Basis and v  $\in$  Basis
      using that unfolding Basis-euclidean-space-def
      apply transfer apply auto
      by (auto simp: indicator-def)
  show ( $\forall v \in$  Basis. inner u v = 0) = (u = 0)
    unfolding Basis-euclidean-space-def
    apply transfer
    by auto
  qed
end

```

### 3.2 Misc

```

lemma closure-bounded-linear-image-subset-eq:
  assumes f: bounded-linear f
  shows closure (f ` closure S) = closure (f ` S)
  by (meson closed-closure closure-bounded-linear-image-subset closure-minimal
closure-mono closure-subset f image-mono subset-antisym)

lemma not-singleton-real-normed-is-perfect-space[simp]: <class.perfect-space (open
:: 'a::{not-singleton,real-normed-vector} set  $\Rightarrow$  bool)>
  apply standard
  by (metis UNIV-not-singleton clopen closed-singleton empty-not-insert)

lemma infsum-bounded-linear:
  assumes <bounded-linear h>
  assumes <f summable-on A>
  shows <infsum ( $\lambda x.$  h (f x)) A = h (infsum f A)>
  by (auto intro!: infsum-bounded-linear-strong assms summable-on-bounded-linear[where
h=h])

lemma abs-summable-on-bounded-linear:
  fixes h f A
  assumes <bounded-linear h>
  assumes <f abs-summable-on A>

```

```

shows  $\langle(h \circ f) \text{ abs-summable-on } A\rangle$ 
proof –
  have bound:  $\langle\text{norm } (h (f x)) \leq \text{onorm } h * \text{norm } (f x)\rangle$  for  $x$ 
    apply (rule onorm)
    by (simp add: assms(1))

  from assms(2) have  $\langle(\lambda x. \text{onorm } h *_R f x) \text{ abs-summable-on } A\rangle$ 
    by (auto intro!: summable-on-cmult-right)
  then have  $\langle(\lambda x. h (f x)) \text{ abs-summable-on } A\rangle$ 
    apply (rule abs-summable-on-comparison-test)
    using bound by (auto simp: assms(1) onorm-pos-le)
  then show ?thesis
    by auto
qed

lemma norm-plus-leq-norm-prod:  $\langle\text{norm } (a + b) \leq \sqrt{2} * \text{norm } (a, b)\rangle$ 
proof –
  have  $\langle(\text{norm } (a + b))^2 \leq (\text{norm } a + \text{norm } b)^2\rangle$ 
    using norm-triangle-ineq by auto
  also have  $\langle\dots \leq 2 * ((\text{norm } a)^2 + (\text{norm } b)^2)\rangle$ 
    by (smt (verit, best) power2-sum sum-squares-bound)
  also have  $\langle\dots \leq (\sqrt{2} * \text{norm } (a, b))^2\rangle$ 
    by (auto simp: power-mult-distrib norm-prod-def simp del: power-mono-if)
  finally show ?thesis
    by auto
qed

lemma ex-norm1:
  assumes  $\langle(\text{UNIV}::'a::\text{real-normed-vector set}) \neq \{0\}\rangle$ 
  shows  $\langle\exists x::'a. \text{norm } x = 1\rangle$ 
proof –
  have  $\langle\exists x::'a. x \neq 0\rangle$ 
    using assms by fastforce
  then obtain  $x::'a$  where  $\langle x \neq 0\rangle$ 
    by blast
  hence  $\langle\text{norm } x \neq 0\rangle$ 
    by simp
  hence  $\langle(\text{norm } x) / (\text{norm } x) = 1\rangle$ 
    by simp
  moreover have  $\langle(\text{norm } x) / (\text{norm } x) = \text{norm } (x /_R (\text{norm } x))\rangle$ 
    by simp
  ultimately have  $\langle\text{norm } (x /_R (\text{norm } x)) = 1\rangle$ 
    by simp
  thus ?thesis
    by blast
qed

lemma bdd-above-norm-f:
  assumes bounded-linear  $f$ 

```

```

shows ⟨bdd-above {norm (f x) | x. norm x = 1}⟩
proof –
  have ⟨∃ M. ∀ x. norm x = 1 → norm (f x) ≤ M⟩
    using assms
    by (metis bounded-linear.axioms(2) bounded-linear-axioms-def)
  thus ?thesis by auto
qed

lemma any-norm-exists:
  assumes ⟨n ≥ 0⟩
  shows ⟨∃ψ::'a::{real-normed-vector,not-singleton}. norm ψ = n⟩
proof –
  obtain ψ :: 'a where ⟨ψ ≠ 0⟩
    using not-singleton-card
    by force
  then have ⟨norm (n *R sgn ψ) = n⟩
    using assms by (auto simp: sgn-div-norm abs-mult)
  then show ?thesis
    by blast
qed

lemma abs-summable-on-scaleR-left [intro]:
  fixes c :: ⟨'a :: real-normed-vector⟩
  assumes c ≠ 0 ⟹ f abs-summable-on A
  shows (λx. f x *R c) abs-summable-on A
  apply (cases ⟨c = 0⟩)
  apply simp
  by (auto intro!: summable-on-cmult-left assms simp flip: real-norm-def)

lemma abs-summable-on-scaleR-right [intro]:
  fixes f :: ⟨'a ⇒ 'b :: real-normed-vector⟩
  assumes c ≠ 0 ⟹ f abs-summable-on A
  shows (λx. c *R f x) abs-summable-on A
  apply (cases ⟨c = 0⟩)
  apply simp
  by (auto intro!: summable-on-cmult-right assms)

end

```

## 4 Extra-Ordered-Fields – Additional facts about ordered fields

```

theory Extra-Ordered-Fields
  imports Complex-Main HOL-Library.Complex-Order
begin

```

## 4.1 Ordered Fields

In this section we introduce some type classes for ordered rings/fields/etc. that are weakenings of existing classes. Most theorems in this section are copies of the eponymous theorems from Isabelle/HOL, except that they are now proven requiring weaker type classes (usually the need for a total order is removed).

Since the lemmas are identical to the originals except for weaker type constraints, we use the same names as for the original lemmas. (In fact, the new lemmas could replace the original ones in Isabelle/HOL with at most minor incompatibilities.

## 4.2 Missing from Orderings.thy

This class is analogous to *unbounded-dense-linorder*, except that it does not require a total order

```
class unbounded-dense-order = dense-order + no-top + no-bot

instance unbounded-dense-linorder ⊆ unbounded-dense-order ..
```

## 4.3 Missing from Rings.thy

The existing class *abs-if* requires  $|a| = (\text{if } a < 0 \text{ then } -a \text{ else } a)$ . However, if  $(<)$  is not a total order, this condition is too strong when  $a$  is incomparable with  $0$ . (Namely, it requires the absolute value to be the identity on such elements. E.g., the absolute value for complex numbers does not satisfy this.) The following class *partial-abs-if* is analogous to *abs-if* but does not require anything if  $a$  is incomparable with  $0$ .

```
class partial-abs-if = minus + uminus + ord + zero + abs +
  assumes abs-neg:  $a \leq 0 \implies \text{abs } a = -a$ 
  assumes abs-pos:  $a \geq 0 \implies \text{abs } a = a$ 

class ordered-semiring-1 = ordered-semiring + semiring-1
  — missing class analogous to linordered-semiring-1 without requiring a total order
begin

lemma convex-bound-le:
  assumes  $x \leq a$  and  $y \leq a$  and  $0 \leq u$  and  $0 \leq v$  and  $u + v = 1$ 
  shows  $u * x + v * y \leq a$ 
proof-
  from assms have  $u * x + v * y \leq u * a + v * a$ 
    by (simp add: add-mono mult-left-mono)
  with assms show ?thesis
    unfolding distrib-right[symmetric] by simp
qed
```

```

end

subclass (in linordered-semiring-1) ordered-semiring-1 ..

class ordered-semiring-strict = semiring + comm-monoid-add + ordered-cancel-ab-semigroup-add
+
— missing class analogous to linordered-semiring-strict without requiring a total
order
assumes mult-strict-left-mono:  $a < b \Rightarrow 0 < c \Rightarrow c * a < c * b$ 
assumes mult-strict-right-mono:  $a < b \Rightarrow 0 < c \Rightarrow a * c < b * c$ 
begin

subclass semiring-0-cancel ..

subclass ordered-semiring
proof
fix a b c :: 'a
assume t1:  $a \leq b$  and t2:  $0 \leq c$ 
thus  $c * a \leq c * b$ 
  unfolding le-less
  using mult-strict-left-mono by (cases c = 0) auto
from t2 show  $a * c \leq b * c$ 
  unfolding le-less
  by (metis local.antisym-conv2 local.mult-not-zero local.mult-strict-right-mono
t1)
qed

lemma mult-pos-pos[simp]:  $0 < a \Rightarrow 0 < b \Rightarrow 0 < a * b$ 
using mult-strict-left-mono [of 0 b a] by simp

lemma mult-pos-neg:  $0 < a \Rightarrow b < 0 \Rightarrow a * b < 0$ 
using mult-strict-left-mono [of b 0 a] by simp

lemma mult-neg-pos:  $a < 0 \Rightarrow 0 < b \Rightarrow a * b < 0$ 
using mult-strict-right-mono [of a 0 b] by simp

Strict monotonicity in both arguments

lemma mult-strict-mono:
assumes t1:  $a < b$  and t2:  $c < d$  and t3:  $0 < b$  and t4:  $0 \leq c$ 
shows  $a * c < b * d$ 
proof-
have  $a * c < b * d$ 
  by (metis local.dual-order.order-iff-strict local.dual-order.strict-trans2
    local.mult-strict-left-mono local.mult-strict-right-mono local.mult-zero-right
    t1 t2 t3 t4)
thus ?thesis
  using assms by blast
qed

```

This weaker variant has more natural premises

```

lemma mult-strict-mono':
  assumes a < b and c < d and 0 ≤ a and 0 ≤ c
  shows a * c < b * d
  by (rule mult-strict-mono) (insert assms, auto)

lemma mult-less-le-imp-less:
  assumes t1: a < b and t2: c ≤ d and t3: 0 ≤ a and t4: 0 < c
  shows a * c < b * d
  using local.mult-strict-mono' local.mult-strict-right-mono local.order.order-iff-strict
  t1 t2 t3 t4 by auto

lemma mult-le-less-imp-less:
  assumes a ≤ b and c < d and 0 < a and 0 ≤ c
  shows a * c < b * d
  by (metis assms(1) assms(2) assms(3) assms(4) local.antisym-conv2 local.dual-order.strict-trans1
    local.mult-strict-left-mono local.mult-strict-mono)

end

subclass (in linordered-semiring-strict) ordered-semiring-strict
  apply standard
  by (auto simp: mult-strict-left-mono mult-strict-right-mono)

class ordered-semiring-1-strict = ordered-semiring-strict + semiring-1
  — missing class analogous to linordered-semiring-1-strict without requiring a total
  order
begin

subclass ordered-semiring-1 ..

lemma convex-bound-lt:
  assumes x < a and y < a and 0 ≤ u and 0 ≤ v and u + v = 1
  shows u * x + v * y < a
proof –
  from assms have u * x + v * y < u * a + v * a
  by (cases u = 0) (auto intro!: add-less-le-mono mult-strict-left-mono mult-left-mono)
  with assms show ?thesis
    unfolding distrib-right[symmetric] by simp
qed

end

subclass (in linordered-semiring-1-strict) ordered-semiring-1-strict ..

class ordered-comm-semiring-strict = comm-semiring-0 + ordered-cancel-ab-semigroup-add
+
  — missing class analogous to linordered-comm-semiring-strict without requiring
  a total order
  assumes comm-mult-strict-left-mono: a < b  $\implies$  0 < c  $\implies$  c * a < c * b

```

```

begin

subclass ordered-semiring-strict
proof
  fix a b c :: 'a
  assume a < b and 0 < c
  thus c * a < c * b
    by (rule comm-mult-strict-left-mono)
  thus a * c < b * c
    by (simp only: mult.commute)
qed

subclass ordered-cancel-comm-semiring
proof
  fix a b c :: 'a
  assume a ≤ b and 0 ≤ c
  thus c * a ≤ c * b
    unfolding le-less
    using mult-strict-left-mono by (cases c = 0) auto
qed

end

subclass (in linordered-comm-semiring-strict) ordered-comm-semiring-strict
apply standard
by (simp add: local.mult-strict-left-mono)

class ordered-ring-strict = ring + ordered-semiring-strict
+ ordered-ab-group-add + partial-abs-if
— missing class analogous to linordered-ring-strict without requiring a total order
begin

subclass ordered-ring ..

lemma mult-strict-left-mono-neg: b < a ==> c < 0 ==> c * a < c * b
  using mult-strict-left-mono [of b a - c] by simp

lemma mult-strict-right-mono-neg: b < a ==> c < 0 ==> a * c < b * c
  using mult-strict-right-mono [of b a - c] by simp

lemma mult-neg-neg: a < 0 ==> b < 0 ==> 0 < a * b
  using mult-strict-right-mono-neg [of a 0 b] by simp

end

lemmas mult-sign-intros =
mult-nonneg-nonneg mult-nonneg-nonpos
mult-nonpos-nonneg mult-nonpos-nonpos
mult-pos-pos mult-pos-neg

```

*mult-neg-pos mult-neg-neg*

#### 4.4 Ordered fields

```
class ordered-field = field + order + ordered-comm-semiring-strict + ordered-ab-group-add
+ partial-abs-if
— missing class analogous to linordered-field without requiring a total order
begin
```

**lemma** *frac-less-eq*:

$y \neq 0 \implies z \neq 0 \implies x / y < w / z \iff (x * z - w * y) / (y * z) < 0$   
**by** (subst less-iff-diff-less-0) (simp add: diff-frac-eq )

**lemma** *frac-le-eq*:

$y \neq 0 \implies z \neq 0 \implies x / y \leq w / z \iff (x * z - w * y) / (y * z) \leq 0$   
**by** (subst le-iff-diff-le-0) (simp add: diff-frac-eq )

**lemmas** *sign-simps* = *algebra-simps zero-less-mult-iff mult-less-0-iff*

**lemmas (in –)** *sign-simps* = *algebra-simps zero-less-mult-iff mult-less-0-iff*

Simplify expressions equated with 1

**lemma** *zero-eq-1-divide-iff* [simp]:  $0 = 1 / a \iff a = 0$   
**by** (cases a = 0) (auto simp: field-simps)

**lemma** *one-divide-eq-0-iff* [simp]:  $1 / a = 0 \iff a = 0$   
**using** zero-eq-1-divide-iff[of a] **by** simp

Simplify expressions such as  $0 < 1/x$  to  $0 < x$

Simplify quotients that are compared with the value 1.

Conditional Simplification Rules: No Case Splits

**lemma** *eq-divide-eq-1* [simp]:  
 $(1 = b/a) = ((a \neq 0 \& a = b))$   
**by** (auto simp add: eq-divide-eq)

**lemma** *divide-eq-eq-1* [simp]:  
 $(b/a = 1) = ((a \neq 0 \& a = b))$   
**by** (auto simp add: divide-eq-eq)

**end**

The following type class intends to capture some important properties that are common both to the real and the complex numbers. The purpose is to be able to state and prove lemmas that apply both to the real and the complex numbers without needing to state the lemma twice.

```
class nice-ordered-field = ordered-field + zero-less-one + idom-abs-sgn +
assumes positive-imp-inverse-positive:  $0 < a \implies 0 < \text{inverse } a$ 
```

```

and inverse-le-imp-le: inverse a ≤ inverse b ==> 0 < a ==> b ≤ a
and dense-le: (¬x. x < y ==> x ≤ z) ==> y ≤ z
and nn-comparable: 0 ≤ a ==> 0 ≤ b ==> a ≤ b ∨ b ≤ a
and abs-nn: |x| ≥ 0
begin

subclass (in linordered-field) nice-ordered-field
proof
  show |a| = - a
    if a ≤ 0
    for a :: 'a
    using that
    by simp
  show |a| = a
    if 0 ≤ a
    for a :: 'a
    using that
    by simp
  show 0 < inverse a
    if 0 < a
    for a :: 'a
    using that
    by simp
  show b ≤ a
    if inverse a ≤ inverse b
      and 0 < a
    for a :: 'a
    and b
    using that
    using local.inverse-le-imp-le by blast
  show y ≤ z
    if ¬x::'a. x < y ==> x ≤ z
    for y
      and z
    using that
    using local.dense-le by blast
  show a ≤ b ∨ b ≤ a
    if 0 ≤ a
      and 0 ≤ b
    for a :: 'a
      and b
    using that
    by auto
  show 0 ≤ |x|
    for x :: 'a
    by simp
qed

```

**lemma** comparable:

```

assumes h1:  $a \leq c \vee a \geq c$ 
  and h2:  $b \leq c \vee b \geq c$ 
shows  $a \leq b \vee b \leq a$ 
proof-
  have  $a \leq b$ 
    if t1:  $\neg b \leq a$  and t2:  $a \leq c$  and t3:  $b \leq c$ 
  proof-
    have  $0 \leq c-a$ 
      by (simp add: t2)
    moreover have  $0 \leq c-b$ 
      by (simp add: t3)
    ultimately have  $c-a \leq c-b \vee c-a \geq c-b$  by (rule nn-comparable)
    hence  $-a \leq -b \vee -a \geq -b$ 
      using local.add-le-imp-le-right local.uminus-add-conv-diff by presburger
    thus ?thesis
      by (simp add: t1)
  qed
  moreover have  $a \leq b$ 
    if t1:  $\neg b \leq a$  and t2:  $c \leq a$  and t3:  $b \leq c$ 
  proof-
    have  $b \leq a$ 
      using local.dual-order.trans t2 t3 by blast
    thus ?thesis
      using t1 by auto
  qed
  moreover have  $a \leq b$ 
    if t1:  $\neg b \leq a$  and t2:  $c \leq a$  and t3:  $c \leq b$ 
  proof-
    have  $0 \leq a-c$ 
      by (simp add: t2)
    moreover have  $0 \leq b-c$ 
      by (simp add: t3)
    ultimately have  $a-c \leq b-c \vee a-c \geq b-c$  by (rule nn-comparable)
    hence  $a \leq b \vee a \geq b$ 
      by (simp add: local.le-diff-eq)
    thus ?thesis
      by (simp add: t1)
  qed
  ultimately show ?thesis using assms by auto
qed

lemma negative-imp-inverse-negative:
   $a < 0 \implies \text{inverse } a < 0$ 
by (insert positive-imp-inverse-positive [of  $-a$ ],
  simp add: nonzero-inverse-minus-eq less-imp-not-eq)

lemma inverse-positive-imp-positive:
  assumes inv-gt-0:  $0 < \text{inverse } a$  and nz:  $a \neq 0$ 
  shows  $0 < a$ 

```

```

proof -
  have  $0 < \text{inverse}(\text{inverse } a)$ 
    using inv-gt-0 by (rule positive-imp-inverse-positive)
  thus  $0 < a$ 
    using nz by (simp add: nonzero-inverse-inverse-eq)
qed

lemma inverse-negative-imp-negative:
  assumes inv-less-0:  $\text{inverse } a < 0$  and nz:  $a \neq 0$ 
  shows  $a < 0$ 
proof-
  have  $\text{inverse}(\text{inverse } a) < 0$ 
    using inv-less-0 by (rule negative-imp-inverse-negative)
  thus  $a < 0$  using nz by (simp add: nonzero-inverse-inverse-eq)
qed

lemma linordered-field-no-lb:
   $\forall x. \exists y. y < x$ 
proof
  fix  $x::'a$ 
  have  $m1: - (1::'a) < 0$  by simp
  from add-strict-right-mono[OF m1, where c=x]
  have  $(- 1) + x < x$  by simp
  thus  $\exists y. y < x$  by blast
qed

lemma linordered-field-no-ub:
   $\forall x. \exists y. y > x$ 
proof
  fix  $x::'a$ 
  have  $m1: (1::'a) > 0$  by simp
  from add-strict-right-mono[OF m1, where c=x]
  have  $1 + x > x$  by simp
  thus  $\exists y. y > x$  by blast
qed

lemma less-imp-inverse-less:
  assumes less:  $a < b$  and apos:  $0 < a$ 
  shows  $\text{inverse } b < \text{inverse } a$ 
  using assms by (metis local.dual-order.strict-iff-order
local.inverse-inverse-eq local.inverse-le-imp-le local.positive-imp-inverse-positive)
```

**lemma** *inverse-less-imp-less*:
 $\text{inverse } a < \text{inverse } b \implies 0 < a \implies b < a$ 
**using** *local.inverse-le-imp-le local.order.strict-iff-order* **by** *blast*

Both premises are essential. Consider -1 and 1.

**lemma** *inverse-less-iff-less* [*simp*]:
 $0 < a \implies 0 < b \implies \text{inverse } a < \text{inverse } b \longleftrightarrow b < a$

**by** (*blast intro: less-imp-inverse-less dest: inverse-less-imp-less*)

**lemma** *le-imp-inverse-le*:

$$a \leq b \implies 0 < a \implies \text{inverse } b \leq \text{inverse } a$$

**by** (*force simp add: le-less less-imp-inverse-less*)

**lemma** *inverse-le-iff-le* [*simp*]:

$$0 < a \implies 0 < b \implies \text{inverse } a \leq \text{inverse } b \longleftrightarrow b \leq a$$

**by** (*blast intro: le-imp-inverse-le dest: inverse-le-imp-le*)

These results refer to both operands being negative. The opposite-sign case is trivial, since inverse preserves signs.

**lemma** *inverse-le-imp-le-neg*:

$$\text{inverse } a \leq \text{inverse } b \implies b < 0 \implies b \leq a$$

**by** (*metis local.inverse-le-imp-le local.inverse-minus-eq local.neg-0-less-iff-less local.neg-le-iff-le*)

**lemma** *inverse-less-imp-less-neg*:

$$\text{inverse } a < \text{inverse } b \implies b < 0 \implies b < a$$

**using** *local.dual-order.strict-iff-order local.inverse-le-imp-le-neg by blast*

**lemma** *inverse-less-iff-less-neg* [*simp*]:

$$a < 0 \implies b < 0 \implies \text{inverse } a < \text{inverse } b \longleftrightarrow b < a$$

**by** (*metis local.antisym-conv2 local.inverse-less-imp-less-neg local.negative-imp-inverse-negative local.nonzero-inverse-inverse-eq local.order.strict-implies-order*)

**lemma** *le-imp-inverse-le-neg*:

$$a \leq b \implies b < 0 \implies \text{inverse } b \leq \text{inverse } a$$

**by** (*force simp add: le-less less-imp-inverse-less-neg*)

**lemma** *inverse-le-iff-le-neg* [*simp*]:

$$a < 0 \implies b < 0 \implies \text{inverse } a \leq \text{inverse } b \longleftrightarrow b \leq a$$

**by** (*blast intro: le-imp-inverse-le-neg dest: inverse-le-imp-le-neg*)

**lemma** *one-less-inverse*:

$$0 < a \implies a < 1 \implies 1 < \text{inverse } a$$

**using** *less-imp-inverse-less* [*of a 1, unfolded inverse-1*] .

**lemma** *one-le-inverse*:

$$0 < a \implies a \leq 1 \implies 1 \leq \text{inverse } a$$

**using** *le-imp-inverse-le* [*of a 1, unfolded inverse-1*] .

**lemma** *pos-le-divide-eq* [*field-simps*]:

**assumes**  $0 < c$

**shows**  $a \leq b / c \longleftrightarrow a * c \leq b$

**using** *assms by* (*metis local.divide-eq-imp local.divide-inverse-commute*

*local.dual-order.order-iff-strict local.dual-order.strict-iff-order*

*local.mult-right-mono local.mult-strict-left-mono local.nonzero-divide-eq-eq*

*local.order.strict-implies-order local.positive-imp-inverse-positive*)

```

lemma pos-less-divide-eq [field-simps]:
  assumes 0 < c
  shows a < b / c  $\longleftrightarrow$  a * c < b
  using assms local.dual-order.strict-iff-order local.nonzero-divide-eq-eq local.pos-le-divide-eq
  by auto

lemma neg-less-divide-eq [field-simps]:
  assumes c < 0
  shows a < b / c  $\longleftrightarrow$  b < a * c
  by (metis assms local.minus-divide-divide local.mult-minus-right local.neg-0-less-iff-less
    local.neg-less-iff-less local.pos-less-divide-eq)

lemma neg-le-divide-eq [field-simps]:
  assumes c < 0
  shows a ≤ b / c  $\longleftrightarrow$  b ≤ a * c
  by (metis assms local.dual-order.order-iff-strict local.dual-order.strict-iff-order
    local.neg-less-divide-eq local.nonzero-divide-eq-eq)

lemma pos-divide-le-eq [field-simps]:
  assumes 0 < c
  shows b / c ≤ a  $\longleftrightarrow$  b ≤ a * c
  by (metis assms local.dual-order.strict-iff-order local.nonzero-eq-divide-eq
    local.pos-le-divide-eq)

lemma pos-divide-less-eq [field-simps]:
  assumes 0 < c
  shows b / c < a  $\longleftrightarrow$  b < a * c
  by (metis assms local.minus-divide-left local.mult-minus-left local.neg-less-iff-less
    local.pos-less-divide-eq)

lemma neg-divide-le-eq [field-simps]:
  assumes c < 0
  shows b / c ≤ a  $\longleftrightarrow$  a * c ≤ b
  by (metis assms local.minus-divide-left local.mult-minus-left local.neg-le-divide-eq
    local.neg-le-iff-le)

lemma neg-divide-less-eq [field-simps]:
  assumes c < 0
  shows b / c < a  $\longleftrightarrow$  a * c < b
  using assms local.dual-order.strict-iff-order local.neg-divide-le-eq by auto

```

The following *field-simps* rules are necessary, as minus is always moved atop of division but we want to get rid of division.

```

lemma pos-le-minus-divide-eq [field-simps]: 0 < c  $\implies$  a ≤ - (b / c)  $\longleftrightarrow$  a * c
 $\leq$  - b
  unfolding minus-divide-left by (rule pos-le-divide-eq)

```

```

lemma neg-le-minus-divide-eq [field-simps]: c < 0  $\implies$  a ≤ - (b / c)  $\longleftrightarrow$  - b ≤

```

```

 $a * c$ 
unfolding minus-divide-left by (rule neg-le-divide-eq)

lemma pos-less-minus-divide-eq [field-simps]:  $0 < c \implies a < -(b / c) \iff a * c < -b$ 
unfolding minus-divide-left by (rule pos-less-divide-eq)

lemma neg-less-minus-divide-eq [field-simps]:  $c < 0 \implies a < -(b / c) \iff -b < a * c$ 
unfolding minus-divide-left by (rule neg-less-divide-eq)

lemma pos-minus-divide-less-eq [field-simps]:  $0 < c \implies -(b / c) < a \iff -b < a * c$ 
unfolding minus-divide-left by (rule pos-divide-less-eq)

lemma neg-minus-divide-less-eq [field-simps]:  $c < 0 \implies -(b / c) < a \iff a * c < -b$ 
unfolding minus-divide-left by (rule neg-divide-less-eq)

lemma pos-minus-divide-le-eq [field-simps]:  $0 < c \implies -(b / c) \leq a \iff -b \leq a * c$ 
unfolding minus-divide-left by (rule pos-divide-le-eq)

lemma neg-minus-divide-le-eq [field-simps]:  $c < 0 \implies -(b / c) \leq a \iff a * c \leq -b$ 
unfolding minus-divide-left by (rule neg-divide-le-eq)

lemma frac-less-eq:
 $y \neq 0 \implies z \neq 0 \implies x / y < w / z \iff (x * z - w * y) / (y * z) < 0$ 
by (subst less-iff-diff-less-0) (simp add: diff-frac-eq )

lemma frac-le-eq:
 $y \neq 0 \implies z \neq 0 \implies x / y \leq w / z \iff (x * z - w * y) / (y * z) \leq 0$ 
by (subst le-iff-diff-le-0) (simp add: diff-frac-eq )

Lemmas sign-simps is a first attempt to automate proofs of positivity/negativity needed for field-simps. Have not added sign-simps to field-simps because the former can lead to case explosions.

lemma divide-pos-pos[simp]:
 $0 < x \implies 0 < y \implies 0 < x / y$ 
by(simp add:field-simps)

lemma divide-nonneg-pos:
 $0 \leq x \implies 0 < y \implies 0 \leq x / y$ 
by(simp add:field-simps)

lemma divide-neg-pos:
 $x < 0 \implies 0 < y \implies x / y < 0$ 
by(simp add:field-simps)

```

**lemma** *divide-nonpos-pos*:  
 $x \leq 0 \implies 0 < y \implies x / y \leq 0$   
**by**(simp add:field-simps)

**lemma** *divide-pos-neg*:  
 $0 < x \implies y < 0 \implies x / y < 0$   
**by**(simp add:field-simps)

**lemma** *divide-nonneg-neg*:  
 $0 \leq x \implies y < 0 \implies x / y \leq 0$   
**by**(simp add:field-simps)

**lemma** *divide-neg-neg*:  
 $x < 0 \implies y < 0 \implies 0 < x / y$   
**by**(simp add:field-simps)

**lemma** *divide-nonpos-neg*:  
 $x \leq 0 \implies y < 0 \implies 0 \leq x / y$   
**by**(simp add:field-simps)

**lemma** *divide-strict-right-mono*:  
 $a < b \implies 0 < c \implies a / c < b / c$   
**by** (simp add: less-imp-not-eq2 divide-inverse mult-strict-right-mono positive-imp-inverse-positive)

**lemma** *divide-strict-right-mono-neg*:  
 $b < a \implies c < 0 \implies a / c < b / c$   
**by** (simp add: local.neg-less-divide-eq)

The last premise ensures that  $a$  and  $b$  have the same sign

**lemma** *divide-strict-left-mono*:  
 $b < a \implies 0 < c \implies 0 < a*b \implies c / a < c / b$   
**by** (metis local.divide-neg-pos local.dual-order.strict-iff-order local.frac-less-eq local.less-iff-diff-less-0 local.mult-not-zero local.mult-strict-left-mono)

**lemma** *divide-left-mono*:  
 $b \leq a \implies 0 \leq c \implies 0 < a*b \implies c / a \leq c / b$   
**using** local.divide-cancel-left local.divide-strict-left-mono local.dual-order.order-iff-strict  
**by** auto

**lemma** *divide-strict-left-mono-neg*:  
 $a < b \implies c < 0 \implies 0 < a*b \implies c / a < c / b$   
**by** (metis local.divide-strict-left-mono local.minus-divide-left local.neg-0-less-iff-less local.neg-less-iff-less mult-commute)

**lemma** *mult-imp-div-pos-le*:  $0 < y \implies x \leq z * y \implies x / y \leq z$   
**by** (subst pos-divide-le-eq, assumption+)

```

lemma mult-imp-le-div-pos:  $0 < y \implies z * y \leq x \implies z \leq x / y$ 
by(simp add:field-simps)

lemma mult-imp-div-pos-less:  $0 < y \implies x < z * y \implies x / y < z$ 
by(simp add:field-simps)

lemma mult-imp-less-div-pos:  $0 < y \implies z * y < x \implies z < x / y$ 
by(simp add:field-simps)

lemma frac-le:  $0 \leq x \implies x \leq y \implies 0 < w \implies w \leq z \implies x / z \leq y / w$ 
using local.mult-imp-div-pos-le local.mult-imp-le-div-pos local.mult-mono by auto

lemma frac-less:  $0 \leq x \implies x < y \implies 0 < w \implies w \leq z \implies x / z < y / w$ 
proof-
  assume a1:  $w \leq z$ 
  assume a2:  $0 < w$ 
  assume a3:  $0 \leq x$ 
  assume a4:  $x < y$ 
  have f5:  $a = 0 \vee (b = c / a) = (b * a = c)$ 
    for a b c::'a
    by (meson local.nonzero-eq-divide-eq)
  have f6:  $0 < z$ 
    using a2 a1 less-le-trans by blast
  have z ≠ 0
    using a2 a1 by (meson local.leD)
  moreover have x / z ≠ y / w
    using a1 a2 a3 a4 local.frac-eq-eq local.mult-less-le-imp-less by fastforce
  ultimately have x / z ≠ y / w
    using f5 by (metis (no-types))
  thus ?thesis
    using a4 a3 a2 a1 by (meson local.frac-le local.order.not-eq-order-implies-strict
      local.order.strict-implies-order)
qed

lemma frac-less2:  $0 < x \implies x \leq y \implies 0 < w \implies w < z \implies x / z < y / w$ 
by (metis local.antisym-conv2 local.divide-cancel-left local.dual-order.strict-implies-order
  local.frac-le local.frac-less)

lemma less-half-sum:  $a < b \implies a < (a+b) / (1+1)$ 
by (metis local.add-pos-pos local.add-strict-left-mono local.mult-imp-less-div-pos
  local.semiring-normalization-rules(4) local.zero-less-one mult-commute)

lemma gt-half-sum:  $a < b \implies (a+b)/(1+1) < b$ 
by (metis local.add-pos-pos local.add-strict-left-mono local.mult-imp-div-pos-less
  local.semiring-normalization-rules(24) local.semiring-normalization-rules(4) local.zero-less-one
  mult-commute)

```

```

subclass unbounded-dense-order
proof
  fix x y :: 'a
  have less-add-one:  $a < a + 1$  for a::'a by auto
  from less-add-one show  $\exists y. x < y$ 
    by blast

  from less-add-one have  $x + (-1) < (x + 1) + (-1)$ 
    by (rule add-strict-right-mono)
  hence  $x - 1 < x + 1 - 1$  by simp
  hence  $x - 1 < x$  by (simp add: algebra-simps)
  thus  $\exists y. y < x$  ..
  show  $x < y \implies \exists z > x. z < y$  by (blast intro!: less-half-sum gt-half-sum)
qed

```

```

lemma dense-le-bounded:
  fixes x y z :: 'a
  assumes  $x < y$ 
  and  $\forall w. [x < w ; w < y] \implies w \leq z$ 
  shows  $y \leq z$ 
proof (rule dense-le)
  fix w assume  $w < y$ 
  from dense[OF {x < y}] obtain u where  $x < u$   $u < y$  by safe
  have  $u \leq w \vee w \leq u$ 
    using { $u < y$ } { $w < y$ } comparable local.order.strict-implies-order by blast
  thus  $w \leq z$ 
    using * { $u < y$ } { $w < y$ } { $x < u$ } local.dual-order.trans local.order.strict-trans2
  by blast
qed

```

```
subclass field-abs-sgn ..
```

```

lemma nonzero-abs-inverse:
   $a \neq 0 \implies |\text{inverse } a| = \text{inverse } |a|$ 
  by (rule abs-inverse)

lemma nonzero-abs-divide:
   $b \neq 0 \implies |a / b| = |a| / |b|$ 
  by (rule abs-divide)

lemma field-le-epsilon:
  assumes e:  $\bigwedge e. 0 < e \implies x \leq y + e$ 
  shows  $x \leq y$ 
proof (rule dense-le)
  fix t assume  $t < x$ 
  hence  $0 < x - t$  by (simp add: less-diff-eq)
  from e [OF this] have  $x + 0 \leq x + (y - t)$  by (simp add: algebra-simps)

```

```

hence  $0 \leq y - t$  by (simp only: add-le-cancel-left)
thus  $t \leq y$  by (simp add: algebra-simps)
qed

lemma inverse-positive-iff-positive [simp]:
 $(0 < \text{inverse } a) = (0 < a)$ 
using local.positive-imp-inverse-positive by fastforce

lemma inverse-negative-iff-negative [simp]:
 $(\text{inverse } a < 0) = (a < 0)$ 
using local.negative-imp-inverse-negative by fastforce

lemma inverse-nonnegative-iff-nonnegative [simp]:
 $0 \leq \text{inverse } a \longleftrightarrow 0 \leq a$ 
by (simp add: local.dual-order.order-iff-strict)

lemma inverse-nonpositive-iff-nonpositive [simp]:
 $\text{inverse } a \leq 0 \longleftrightarrow a \leq 0$ 
using local.inverse-nonnegative-iff-nonnegative local.neg-0-le-iff-le by fastforce

lemma one-less-inverse-iff:  $1 < \text{inverse } x \longleftrightarrow 0 < x \wedge x < 1$ 
using less-trans[of 1 x 0 for x]
by (metis local.dual-order.strict-trans local.inverse-1 local.inverse-less-imp-less
local.inverse-positive-iff-positive local.one-less-inverse local.zero-less-one)

lemma one-le-inverse-iff:  $1 \leq \text{inverse } x \longleftrightarrow 0 < x \wedge x \leq 1$ 
by (metis local.dual-order.strict-trans1 local.inverse-1 local.inverse-le-imp-le
local.inverse-positive-iff-positive local.one-le-inverse local.zero-less-one)

lemma inverse-less-1-iff:  $\text{inverse } x < 1 \longleftrightarrow x \leq 0 \vee 1 < x$ 
proof (rule)
assume invx1:  $\text{inverse } x < 1$ 
have inverse x ≤ 0 ∨ inverse x ≥ 0
using comparable invx1 local.order.strict-implies-order local.zero-less-one by
blast
then consider (leq0) inverse x ≤ 0 | (pos) inverse x > 0 | (zero) inverse x = 0
using local.antisym-conv1 by blast
thus x ≤ 0 ∨ 1 < x
by (metis invx1 local.eq-refl local.inverse-1 inverse-less-imp-less
inverse-nonpositive-iff-nonpositive inverse-positive-iff-positive)

next
assume x ≤ 0 ∨ 1 < x
then consider (neg) x ≤ 0 | (g1) 1 < x by auto
thus inverse x < 1
by (metis local.dual-order.not-eq-order-implies-strict local.dual-order.strict-trans
local.inverse-1 local.inverse-negative-iff-negative local.inverse-zero
local.less-imp-inverse-less local.zero-less-one)
qed

```

**lemma** *inverse-le-1-iff*:  $\text{inverse } x \leq 1 \longleftrightarrow x \leq 0 \vee 1 \leq x$   
**by** (*metis local.dual-order.order-iff-strict local.inverse-1 local.inverse-le-iff-le local.inverse-less-1-iff local.one-le-inverse-iff*)

Simplify expressions such as  $0 < 1/x$  to  $0 < x$

**lemma** *zero-le-divide-1-iff* [*simp*]:  
 $0 \leq 1 / a \longleftrightarrow 0 \leq a$   
**using** *local.dual-order.order-iff-strict local.inverse-eq-divide local.inverse-positive-iff-positive* **by** *auto*

**lemma** *zero-less-divide-1-iff* [*simp*]:  
 $0 < 1 / a \longleftrightarrow 0 < a$   
**by** (*simp add: local.dual-order.strict-iff-order*)

**lemma** *divide-le-0-1-iff* [*simp*]:  
 $1 / a \leq 0 \longleftrightarrow a \leq 0$   
**by** (*smt local.abs-0 local.abs-1 local.abs-divide local.abs-neg local.abs-nn local.divide-cancel-left local.le-minus-iff local.minus-divide-right local.zero-neq-one*)

**lemma** *divide-less-0-1-iff* [*simp*]:  
 $1 / a < 0 \longleftrightarrow a < 0$   
**using** *local.dual-order.strict-iff-order* **by** *auto*

**lemma** *divide-right-mono*:  
 $a \leq b \implies 0 \leq c \implies a/c \leq b/c$   
**using** *local.divide-cancel-right local.divide-strict-right-mono local.dual-order.order-iff-strict*  
**by** *blast*

**lemma** *divide-right-mono-neg*:  $a \leq b$   
 $\implies c \leq 0 \implies b / c \leq a / c$   
**by** (*metis local.divide-cancel-right local.divide-strict-right-mono-neg local.dual-order.strict-implies-order local.eq-refl local.le-imp-less-or-eq*)

**lemma** *divide-left-mono-neg*:  $a \leq b$   
 $\implies c \leq 0 \implies 0 < a * b \implies c / a \leq c / b$   
**by** (*metis local.divide-left-mono local.minus-divide-left local.neg-0-le-iff-le local.neg-le-iff-le mult-commute*)

**lemma** *divide-nonneg-nonneg* [*simp*]:  
 $0 \leq x \implies 0 \leq y \implies 0 \leq x / y$   
**using** *local.divide-eq-0-iff local.divide-nonneg-pos local.dual-order.order-iff-strict*  
**by** *blast*

**lemma** *divide-nonpos-nonpos*:  
 $x \leq 0 \implies y \leq 0 \implies 0 \leq x / y$   
**using** *local.divide-nonpos-neg local.dual-order.order-iff-strict* **by** *auto*

**lemma** *divide-nonneg-nonpos*:  
 $0 \leq x \implies y \leq 0 \implies x / y \leq 0$

**by** (*metis local.divide-eq-0-iff local.divide-nonneg-neg local.dual-order.order-iff-strict*)

**lemma** *divide-nonpos-nonneg*:  
 $x \leq 0 \implies 0 \leq y \implies x / y \leq 0$   
**using** *local.divide-nonpos-pos local.dual-order.order-iff-strict* **by** *auto*

Conditional Simplification Rules: No Case Splits

**lemma** *le-divide-eq-1-pos* [*simp*]:  
 $0 < a \implies (1 \leq b/a) = (a \leq b)$   
**by** (*simp add: local.pos-le-divide-eq*)

**lemma** *le-divide-eq-1-neg* [*simp*]:  
 $a < 0 \implies (1 \leq b/a) = (b \leq a)$   
**by** (*metis local.le-divide-eq-1-pos local.minus-divide-divide local.neg-0-less-iff-less local.neg-le-iff-le*)

**lemma** *divide-le-eq-1-pos* [*simp*]:  
 $0 < a \implies (b/a \leq 1) = (b \leq a)$   
**using** *local.pos-divide-le-eq* **by** *auto*

**lemma** *divide-le-eq-1-neg* [*simp*]:  
 $a < 0 \implies (b/a \leq 1) = (a \leq b)$   
**by** (*metis local.divide-le-eq-1-pos local.minus-divide-divide local.neg-0-less-iff-less local.neg-le-iff-le*)

**lemma** *less-divide-eq-1-pos* [*simp*]:  
 $0 < a \implies (1 < b/a) = (a < b)$   
**by** (*simp add: local.dual-order.strict-iff-order*)

**lemma** *less-divide-eq-1-neg* [*simp*]:  
 $a < 0 \implies (1 < b/a) = (b < a)$   
**using** *local.dual-order.strict-iff-order* **by** *auto*

**lemma** *divide-less-eq-1-pos* [*simp*]:  
 $0 < a \implies (b/a < 1) = (b < a)$   
**using** *local.divide-le-eq-1-pos local.dual-order.strict-iff-order* **by** *auto*

**lemma** *divide-less-eq-1-neg* [*simp*]:  
 $a < 0 \implies b/a < 1 \leftrightarrow a < b$   
**using** *local.dual-order.strict-iff-order* **by** *auto*

**lemma** *abs-div-pos*:  $0 < y \implies$   
 $|x| / y = |x / y|$   
**by** (*simp add: local.abs-pos*)

**lemma** *zero-le-divide-abs-iff* [*simp*]:  $(0 \leq a / |b|) = (0 \leq a \mid b = 0)$   
**proof**  
**assume** *assm*:  $0 \leq a / |b|$   
**have** *absb*:  $abs\ b \geq 0$  **by** (*fact abs-nn*)

```

thus  $0 \leq a \vee b = 0$ 
  using absb assm local.abs-eq-0-iff local.mult-nonneg-nonneg by fastforce
next
assume  $0 \leq a \vee b = 0$ 
then consider (a)  $0 \leq a \mid (b) b = 0$  by atomize-elim auto
thus  $0 \leq a / |b|$ 
  by (metis local.abs-eq-0-iff local.abs-nn local.divide-eq-0-iff local.divide-nonneg-nonneg)
qed

```

**lemma** *divide-le-0-abs-iff* [*simp*]:  $(a / |b| \leq 0) = (a \leq 0 \mid b = 0)$   
 by (*metis local.minus-divide-left local.neg-0-le-iff-le local.zero-le-divide-abs-iff*)

For creating values between  $u$  and  $v$ .

```

lemma scaling-mono:
assumes  $u \leq v$  and  $0 \leq r$  and  $r \leq s$ 
shows  $u + r * (v - u) / s \leq v$ 
proof -
have  $r/s \leq 1$  using assms
  by (metis local.divide-le-eq-1-pos local.division-ring-divide-zero
    local.dual-order.order-iff-strict local.dual-order.trans local.zero-less-one)
hence  $(r/s) * (v - u) \leq 1 * (v - u)$ 
  using assms(1) local.diff-ge-0-iff-ge local.mult-right-mono by blast
thus ?thesis
  by (simp add: field-simps)
qed

```

end

**code-identifier**  
**code-module** *Ordered-Fields*  $\rightarrow$  (*SML*) *Arith* **and** (*OCaml*) *Arith* **and** (*Haskell*)  
*Arith*

## 4.5 Ordering on complex numbers

```

instantiation complex :: nice-ordered-field begin
instance
proof intro-classes
note defs = less-eq-complex-def less-complex-def abs-complex-def
fix x y z a b c :: complex
show  $a \leq 0 \implies |a| = -a$  unfolding defs
  by (simp add: cmmod-eq-Re complex-is-Real-iff)
show  $0 \leq a \implies |a| = a$ 
  unfolding defs
  by (metis abs-of-nonneg cmmod-eq-Re comp-apply complex.exhaust-sel complex-of-real-def
    zero-complex.simps(1) zero-complex.simps(2))
show  $a < b \implies 0 < c \implies c * a < c * b$  unfolding defs by auto
show  $0 < (1::complex)$  unfolding defs by simp

```

```

show  $0 < a \implies 0 < \text{inverse } a$  unfolding def by auto
define  $ra ia rb ib rc ic$  where  $ra = \text{Re } a$   $ia = \text{Im } a$   $rb = \text{Re } b$   $ib = \text{Im } b$   $rc = \text{Re } c$   $ic = \text{Im } c$ 
note  $ri = \text{this}[\text{symmetric}]$ 
hence  $a = \text{Complex } ra ia b = \text{Complex } rb ib c = \text{Complex } rc ic$  by auto
note  $ri = \text{this } ri$ 
have  $rb \leq ra$ 
if  $1 / ra \leq (\text{if } rb = 0 \text{ then } 0 \text{ else } 1 / rb)$ 
and  $ia = 0$  and  $0 < ra$  and  $ib = 0$ 
proof(cases  $rb = 0$ )
case True
thus ?thesis
using that(3) by auto
next
case False
thus ?thesis
by (smt nice-ordered-field-class.frac-less2 that(1) that(3))
qed
thus  $\text{inverse } a \leq \text{inverse } b \implies 0 < a \implies b \leq a$  unfolding defs ri
by (auto simp: power2-eq-square)
show  $(\bigwedge a. a < b \implies a \leq c) \implies b \leq c$  unfolding defs ri
by (metis complex.sel(1) complex.sel(2) dense less-le-not-le
nice-ordered-field-class.linordered-field-no-lb not-le-imp-less)
show  $0 \leq a \implies 0 \leq b \implies a \leq b \vee b \leq a$  unfolding defs by auto
show  $0 \leq |x|$  unfolding defs by auto
qed
end

lemma less-eq-complexI:  $\text{Re } x \leq \text{Re } y \implies \text{Im } x = \text{Im } y \implies x \leq y$  unfolding
less-eq-complex-def
by simp
lemma less-complexI:  $\text{Re } x < \text{Re } y \implies \text{Im } x = \text{Im } y \implies x < y$  unfolding less-complex-def
by simp

lemma complex-of-real-mono:
 $x \leq y \implies \text{complex-of-real } x \leq \text{complex-of-real } y$ 
unfolding less-eq-complex-def by auto

lemma complex-of-real-mono-iff[simp]:
 $\text{complex-of-real } x \leq \text{complex-of-real } y \longleftrightarrow x \leq y$ 
unfolding less-eq-complex-def by auto

lemma complex-of-real-strict-mono-iff[simp]:
 $\text{complex-of-real } x < \text{complex-of-real } y \longleftrightarrow x < y$ 
unfolding less-complex-def by auto

lemma complex-of-real-nn-iff[simp]:
 $0 \leq \text{complex-of-real } y \longleftrightarrow 0 \leq y$ 
unfolding less-eq-complex-def by auto

```

```

lemma complex-of-real-pos-iff[simp]:
   $0 < \text{complex-of-real } y \longleftrightarrow 0 < y$ 
  unfolding less-complex-def by auto

lemma Re-mono:  $x \leq y \implies \text{Re } x \leq \text{Re } y$ 
  unfolding less-eq-complex-def by simp

lemma comp-Im-same:  $x \leq y \implies \text{Im } x = \text{Im } y$ 
  unfolding less-eq-complex-def by simp

lemma Re-strict-mono:  $x < y \implies \text{Re } x < \text{Re } y$ 
  unfolding less-complex-def by simp

lemma complex-of-real-cmod:  $\langle \text{complex-of-real} (\text{cmod } x) = \text{abs } x \rangle$ 
  by (simp add: abs-complex-def)

end

```

## 5 Extra-Operator-Norm – Additional facts bout the operator norm

```

theory Extra-Operator-Norm
  imports HOL-Analysis.Operator-Norm
    Extra-General
    HOL-Analysis.Bounded-Linear-Function
    Extra-Vector-Spaces
  begin

```

This theorem complements *HOL-Analysis.Operator-Norm* additional useful facts about operator norms.

```

lemma onorm-sphere:
  fixes  $f :: 'a::\{\text{real-normed-vector}, \text{not-singleton}\} \Rightarrow 'b::\text{real-normed-vector}$ 
  assumes  $a1: \text{bounded-linear } f$ 
  shows  $\langle \text{onorm } f = \text{Sup} \{ \text{norm} (f x) \mid x. \text{norm } x = 1 \} \rangle$ 
  proof(cases  $\langle f = (\lambda x. 0) \rangle$ )
    case True
    have  $\langle (\text{UNIV}::'a \text{ set}) \neq \{0\} \rangle$ 
      by simp
    hence  $\langle \exists x::'a. \text{norm } x = 1 \rangle$ 
      using ex-norm1
      by blast
    have  $\langle \text{norm} (f x) = 0 \rangle$ 
      for  $x$ 
      by (simp add: True)
    hence  $\langle \{ \text{norm} (f x) \mid x. \text{norm } x = 1 \} = \{0\} \rangle$ 
      using  $\langle \exists x. \text{norm } x = 1 \rangle$  by auto
    hence  $v1: \langle \text{Sup} \{ \text{norm} (f x) \mid x. \text{norm } x = 1 \} = 0 \rangle$ 

```

```

    by simp
have ⟨onorm f = 0⟩
  by (simp add: True onorm-eq-0)
thus ?thesis using v1 by simp
next
  case False
  have ⟨y ∈ {norm (f x) | x. norm x = 1} ∪ {0}⟩
    if y ∈ {norm (f x) / norm x | x. True}
      for y
    proof(cases ⟨y = 0⟩)
      case True
      thus ?thesis
        by simp
    next
    case False
    have ⟨∃ x. y = norm (f x) / norm x⟩
      using ⟨y ∈ {norm (f x) / norm x | x. True}⟩ by auto
    then obtain x where ⟨y = norm (f x) / norm x⟩
      by blast
    hence ⟨y = |(1/norm x)| * norm (f x)⟩
      by simp
    hence ⟨y = norm ((1/norm x) *R f x)⟩
      by simp
    hence ⟨y = norm (f ((1/norm x) *R x))⟩
      apply (subst linear-cmul[of f])
      by (simp-all add: assms bounded-linear.linear)
    moreover have ⟨norm ((1/norm x) *R x) = 1⟩
      using False ⟨y = norm (f x) / norm x⟩ by auto
    ultimately have ⟨y ∈ {norm (f x) | x. norm x = 1}⟩
      by blast
    thus ?thesis by blast
  qed
  moreover have y ∈ {norm (f x) / norm x | x. True}
    if ⟨y ∈ {norm (f x) | x. norm x = 1} ∪ {0}⟩
      for y
    proof(cases ⟨y = 0⟩)
      case True
      thus ?thesis
        by auto
    next
    case False
    hence ⟨y ≠ 0⟩
      by simp
    hence ⟨y ∈ {norm (f x) | x. norm x = 1}⟩
      using that by auto
    hence ⟨∃ x. norm x = 1 ∧ y = norm (f x)⟩
      by auto
    then obtain x where ⟨norm x = 1⟩ and ⟨y = norm (f x)⟩
      by auto

```

```

have ⟨y = norm (f x) / norm x⟩ using ⟨norm x = 1⟩ ⟨y = norm (f x)⟩
  by simp
thus ?thesis
  by auto
qed
ultimately have ⟨{norm (f x) / norm x | x. True} = {norm (f x) | x. norm x =
1} ∪ {0}⟩
  by blast
hence ⟨Sup {norm (f x) / norm x | x. True} = Sup ({norm (f x) | x. norm x =
1} ∪ {0})⟩
  by simp
moreover have ⟨Sup {norm (f x) | x. norm x = 1} ≥ 0⟩
proof-
  have ⟨∃ x::'a. norm x = 1⟩
    by (metis (full-types) False assms linear-simps(3) norm-sgn)
  then obtain x::'a where ⟨norm x = 1⟩
    by blast
  have ⟨norm (f x) ≥ 0⟩
    by simp
  hence ⟨∃ x::'a. norm x = 1 ∧ norm (f x) ≥ 0⟩
    using ⟨norm x = 1⟩ by blast
  hence ⟨∃ y ∈ {norm (f x) | x. norm x = 1}. y ≥ 0⟩
    by blast
  then obtain y::real where ⟨y ∈ {norm (f x) | x. norm x = 1}⟩
    and ⟨y ≥ 0⟩
    by auto
  have ⟨{norm (f x) | x. norm x = 1} ≠ {}⟩
    using ⟨y ∈ {norm (f x) | x. norm x = 1}⟩ by blast
  moreover have ⟨bdd-above {norm (f x) | x. norm x = 1}⟩
    using bdd-above-norm-f
    by (metis (mono-tags, lifting) a1)
  ultimately have ⟨y ≤ Sup {norm (f x) | x. norm x = 1}⟩
    using ⟨y ∈ {norm (f x) | x. norm x = 1}⟩
    by (simp add: cSup-upper)
  thus ?thesis using ⟨y ≥ 0⟩ by simp
qed
moreover have ⟨Sup ({norm (f x) | x. norm x = 1} ∪ {0}) = Sup {norm (f x)
|x. norm x = 1}⟩
proof-
  have ⟨{norm (f x) | x. norm x = 1} ≠ {}⟩
    by (simp add: assms(1) ex-norm1)
  moreover have ⟨bdd-above {norm (f x) | x. norm x = 1}⟩
    using a1 bdd-above-norm-f by force
  have ⟨{0::real} ≠ {}⟩
    by simp
  moreover have ⟨bdd-above {0::real}⟩
    by simp
  ultimately have ⟨Sup ({norm (f x) | x. norm x = 1} ∪ {(0::real)})⟩
    = max (Sup {norm (f x) | x. norm x = 1}) (Sup {0::real})⟩

```

```

    by (metis (lifting) ‹0 ≤ Sup {norm (f x) | x. norm x = 1}› ‹bdd-above {0}›
        ‹bdd-above {norm (f x) | x. norm x = 1}› ‹{0} ≠ {}› ‹{norm (f x) | x. norm x =
        1} ≠ {}› cSup-singleton cSup-union-distrib max.absorb-iff1 sup.absorb-iff1)
    moreover have ‹Sup {(0::real)} = (0::real)›
        by simp
    moreover have ‹Sup {norm (f x) | x. norm x = 1} ≥ 0›
        by (simp add: ‹0 ≤ Sup {norm (f x) | x. norm x = 1}›)
    ultimately show ?thesis
        by simp
qed
moreover have ‹Sup ( {norm (f x) | x. norm x = 1} ∪ {0}) =
    = max (Sup {norm (f x) | x. norm x = 1}) (Sup {0}) ›
    using calculation(2) calculation(3) by auto
ultimately have w1: ‹Sup {norm (f x) / norm x | x. True} = Sup {norm (f x)
| x. norm x = 1}›
    by simp

have ‹(SUP x. norm (f x) / (norm x)) = Sup {norm (f x) / norm x | x. True}›
    by (simp add: full-SetCompr-eq)
also have ‹... = Sup {norm (f x) | x. norm x = 1}›
    using w1 by auto
ultimately have ‹(SUP x. norm (f x) / (norm x)) = Sup {norm (f x) | x. norm
x = 1}›
    by linarith
thus ?thesis unfolding onorm-def by blast
qed

lemma onormI:
assumes ‹!x. norm (f x) ≤ b * norm x›
and ‹x ≠ 0› and ‹norm (f x) = b * norm x›
shows ‹onorm f = b›
apply (unfold onorm-def, rule cSup-eq-maximum)
apply (smt (verit) UNIV-I assms(2) assms(3) image-iff nonzero-mult-div-cancel-right
norm-eq-zero)
by (smt (verit, del-insts) assms(1) assms(2) divide-nonneg-nonpos norm-ge-zero
norm-le-zero-iff pos-divide-le-eq rangeE zero-le-mult-iff)

end

```

## 6 Complex-Vector-Spaces0 – Vector Spaces and Algebras over the Complex Numbers

```

theory Complex-Vector-Spaces0
imports HOL.Real-Vector-Spaces HOL.Topological-Spaces HOL.Vector-Spaces
Complex-Main
HOL-Library.Complex-Order
HOL-Analysis.Product-Vector
begin

```

## 6.1 Complex vector spaces

```

class scaleC = scaleR +
  fixes scaleC :: complex  $\Rightarrow$  'a  $\Rightarrow$  'a (infixr  $\langle *_C \rangle$  75)
  assumes scaleR-scaleC: scaleR r = scaleC (complex-of-real r)
begin

abbreviation divideC :: 'a  $\Rightarrow$  complex  $\Rightarrow$  'a (infixl  $\langle '/_C \rangle$  70)
  where x /C c  $\equiv$  inverse c *C x

end

class complex-vector = scaleC + ab-group-add +
  assumes scaleC-add-right: a *C (x + y) = (a *C x) + (a *C y)
  and scaleC-add-left: (a + b) *C x = (a *C x) + (b *C x)
  and scaleC-scaleC[simp]: a *C (b *C x) = (a * b) *C x
  and scaleC-one[simp]: 1 *C x = x

subclass (in complex-vector) real-vector
  by (standard, simp-all add: scaleR-scaleC scaleC-add-right scaleC-add-left)

class complex-algebra = complex-vector + ring +
  assumes mult-scaleC-left [simp]: a *C x * y = a *C (x * y)
  and mult-scaleC-right [simp]: x * a *C y = a *C (x * y)

subclass (in complex-algebra) real-algebra
  by (standard, simp-all add: scaleR-scaleC)

class complex-algebra-1 = complex-algebra + ring-1

subclass (in complex-algebra-1) real-algebra-1 ..

class complex-div-algebra = complex-algebra-1 + division-ring

subclass (in complex-div-algebra) real-div-algebra ..

class complex-field = complex-div-algebra + field

subclass (in complex-field) real-field ..

instantiation complex :: complex-field
begin

definition complex-scaleC-def [simp]: scaleC a x = a * x

```

```

instance
proof intro-classes
fix r :: real and a b x y :: complex
show ((*_R) r::complex  $\Rightarrow$  -) = (*_C) (complex-of-real r)
by (auto simp add: scaleR-conv-of-real)
show a *_C (x + y) = a *_C x + a *_C y
by (simp add: ring-class.ring-distrib(1))
show (a + b) *_C x = a *_C x + b *_C x
by (simp add: algebra-simps)
show a *_C b *_C x = (a * b) *_C x
by simp
show 1 *_C x = x
by simp
show a *_C (x::complex) * y = a *_C (x * y)
by simp
show (x::complex) * a *_C y = a *_C (x * y)
by simp
qed

end

locale clinear = Vector-Spaces.linear scaleC:- $\Rightarrow$ - $\Rightarrow$ 'a::complex-vector scaleC:- $\Rightarrow$ - $\Rightarrow$ 'b::complex-vector
begin

sublocale real: linear
— Gives access to all lemmas from Real-Vector-Spaces.linear using prefix real.
apply standard
by (auto simp add: add scale scaleR-scaleC)

lemmas scaleC = scale

end

global-interpretation complex-vector: vector-space scaleC :: complex  $\Rightarrow$  'a  $\Rightarrow$  'a
:: complex-vector
rewrites Vector-Spaces.linear (*_C) (*_C) = clinear
and Vector-Spaces.linear (*) (*_C) = clinear
defines cdependent-raw-def: cdependent = complex-vector.dependent
and crepresentation-raw-def: crepresentation = complex-vector.representation
and cspace-raw-def: cspace = complex-vector.subspace
and cspan-raw-def: cspan = complex-vector.span
and cextend-basis-raw-def: cextend-basis = complex-vector.extend-basis
and cdim-raw-def: cdim = complex-vector.dim
proof unfold-locales
show Vector-Spaces.linear (*_C) (*_C) = clinear Vector-Spaces.linear (*) (*_C) =
clinear
by (force simp: clinear-def complex-scaleC-def[abs-def])+
qed (use scaleC-add-right scaleC-add-left in auto)

```

**abbreviation**  $c\text{independent } x \equiv \neg c\text{dependent } x$

```

global-interpretation complex-vector: vector-space-pair scaleC:-⇒-⇒'a::complex-vector
scaleC:-⇒-⇒'b::complex-vector
rewrites Vector-Spaces.linear (*C) (*C) = clinear
and Vector-Spaces.linear (*) (*C) = clinear
defines cconstruct-raw-def: cconstruct = complex-vector.construct
proof unfold-locales
show Vector-Spaces.linear (*) (*C) = clinear
unfolding clinear-def complex-scaleC-def by auto
qed (auto simp: clinear-def)

```

```

lemma clinear-compose: clinear f ⇒ clinear g ⇒ clinear (g ∘ f)
unfolding clinear-def by (rule Vector-Spaces.linear-compose)

```

Recover original theorem names

```

lemmas scaleC-left-commute = complex-vector.scale-left-commute
lemmas scaleC-zero-left = complex-vector.scale-zero-left
lemmas scaleC-minus-left = complex-vector.scale-minus-left
lemmas scaleC-diff-left = complex-vector.scale-left-diff-distrib
lemmas scaleC-sum-left = complex-vector.scale-sum-left
lemmas scaleC-zero-right = complex-vector.scale-zero-right
lemmas scaleC-minus-right = complex-vector.scale-minus-right
lemmas scaleC-diff-right = complex-vector.scale-right-diff-distrib
lemmas scaleC-sum-right = complex-vector.scale-sum-right
lemmas scaleC-eq-0-iff = complex-vector.scale-eq-0-iff
lemmas scaleC-left-imp-eq = complex-vector.scale-left-imp-eq
lemmas scaleC-right-imp-eq = complex-vector.scale-right-imp-eq
lemmas scaleC-cancel-left = complex-vector.scale-cancel-left
lemmas scaleC-cancel-right = complex-vector.scale-cancel-right

```

```

lemma divideC-field-simps[field-simps]:
c ≠ 0 ⇒ a = b /C c ⇔ c *C a = b
c ≠ 0 ⇒ b /C c = a ⇔ b = c *C a
c ≠ 0 ⇒ a + b /C c = (c *C a + b) /C c
c ≠ 0 ⇒ a /C c + b = (a + c *C b) /C c
c ≠ 0 ⇒ a - b /C c = (c *C a - b) /C c
c ≠ 0 ⇒ a /C c - b = (a - c *C b) /C c
c ≠ 0 ⇒ -(a /C c) + b = (-a + c *C b) /C c
c ≠ 0 ⇒ -(a /C c) - b = (-a - c *C b) /C c
for a b :: 'a :: complex-vector
by (auto simp add: scaleC-add-right scaleC-add-left scaleC-diff-right scaleC-diff-left)

```

Legacy names – omitted

```

lemmas clinear-injective-0 = linear-inj-iff-eq-0
  and clinear-injective-on-subspace-0 = linear-inj-on-iff-eq-0
  and clinear-cmul = linear-scale
  and clinear-scaleC = linear-scale-self
  and csubspace-mul = subspace-scale
  and cspan-linear-image = linear-span-image
  and cspan-0 = span-zero
  and cspan-mul = span-scale
  and injective-scaleC = injective-scale

lemma scaleC-minus1-left [simp]: scaleC (-1) x = - x
  for x :: 'a::complex-vector
  using scaleC-minus-left [of 1 x] by simp

lemma scaleC-2:
  fixes x :: 'a::complex-vector
  shows scaleC 2 x = x + x
  unfolding one-add-one [symmetric] scaleC-add-left by simp

lemma scaleC-half-double [simp]:
  fixes a :: 'a::complex-vector
  shows (1 / 2) *C (a + a) = a
  proof -
    have  $\bigwedge r. r *_C (a + a) = (r * 2) *_C a$ 
    by (metis scaleC-2 scaleC-scaleC)
    thus ?thesis
      by simp
  qed

lemma clinear-scale-complex:
  fixes c::complex shows clinear f  $\implies$  f (c * b) = c * f b
  using complex-vector.linear-scale by fastforce

interpretation scaleC-left: additive ( $\lambda a. \text{scaleC } a$  x :: 'a::complex-vector)
  by standard (rule scaleC-add-left)

interpretation scaleC-right: additive ( $\lambda x. \text{scaleC } a$  x :: 'a::complex-vector)
  by standard (rule scaleC-add-right)

lemma nonzero-inverse-scaleC-distrib:
   $a \neq 0 \implies x \neq 0 \implies \text{inverse} (\text{scaleC } a x) = \text{scaleC} (\text{inverse } a) (\text{inverse } x)$ 
  for x :: 'a::complex-div-algebra
  by (rule inverse-unique) simp

lemma inverse-scaleC-distrib: inverse (scaleC a x) = scaleC (inverse a) (inverse x)
  for x :: 'a:{complex-div-algebra,division-ring}
  by (metis inverse-zero nonzero-inverse-scaleC-distrib complex-vector.scale-eq-0-iff)

```

```

lemma complex-add-divide-simps[vector-add-divide-simps]:
   $v + (b / z) *_C w = (\text{if } z = 0 \text{ then } v \text{ else } (z *_C v + b *_C w) /_C z)$ 
   $a *_C v + (b / z) *_C w = (\text{if } z = 0 \text{ then } a *_C v \text{ else } ((a * z) *_C v + b *_C w) /_C z)$ 
   $(a / z) *_C v + w = (\text{if } z = 0 \text{ then } w \text{ else } (a *_C v + z *_C w) /_C z)$ 
   $(a / z) *_C v + b *_C w = (\text{if } z = 0 \text{ then } b *_C w \text{ else } (a *_C v + (b * z) *_C w) /_C z)$ 
   $v - (b / z) *_C w = (\text{if } z = 0 \text{ then } v \text{ else } (z *_C v - b *_C w) /_C z)$ 
   $a *_C v - (b / z) *_C w = (\text{if } z = 0 \text{ then } a *_C v \text{ else } ((a * z) *_C v - b *_C w) /_C z)$ 
   $(a / z) *_C v - w = (\text{if } z = 0 \text{ then } -w \text{ else } (a *_C v - z *_C w) /_C z)$ 
   $(a / z) *_C v - b *_C w = (\text{if } z = 0 \text{ then } -b *_C w \text{ else } (a *_C v - (b * z) *_C w) /_C z)$ 
  for  $v :: 'a :: \text{complex-vector}$ 
  by (simp-all add: divide-inverse-commute scaleC-add-right scaleC-diff-right)

lemma ceq-vector-fraction-iff [vector-add-divide-simps]:
  fixes  $x :: 'a :: \text{complex-vector}$ 
  shows  $(x = (u / v) *_C a) \longleftrightarrow (\text{if } v=0 \text{ then } x = 0 \text{ else } v *_C x = u *_C a)$ 
  by auto (metis (no-types) divide-eq-1-iff divide-inverse-commute scaleC-one scaleC-scaleC)

lemma cvector-fraction-eq-iff [vector-add-divide-simps]:
  fixes  $x :: 'a :: \text{complex-vector}$ 
  shows  $((u / v) *_C a = x) \longleftrightarrow (\text{if } v=0 \text{ then } x = 0 \text{ else } u *_C a = v *_C x)$ 
  by (metis ceq-vector-fraction-iff)

lemma complex-vector-affinity-eq:
  fixes  $x :: 'a :: \text{complex-vector}$ 
  assumes  $m0: m \neq 0$ 
  shows  $m *_C x + c = y \longleftrightarrow x = \text{inverse } m *_C y - (\text{inverse } m *_C c)$ 
    (is ?lhs  $\longleftrightarrow$  ?rhs)
  proof
    assume ?lhs
    hence  $m *_C x = y - c$  by (simp add: field-simps)
    hence  $\text{inverse } m *_C (m *_C x) = \text{inverse } m *_C (y - c)$  by simp
    thus  $x = \text{inverse } m *_C y - (\text{inverse } m *_C c)$ 
      using m0
      by (simp add: complex-vector.scale-right-diff-distrib)
  next
    assume ?rhs
    with m0 show  $m *_C x + c = y$ 
      by (simp add: complex-vector.scale-right-diff-distrib)
  qed

```

```

lemma complex-vector-eq-affinity:  $m \neq 0 \implies y = m *_C x + c \longleftrightarrow \text{inverse } m *_C$ 
 $y - (\text{inverse } m *_C c) = x$ 
  for  $x :: 'a::\text{complex-vector}$ 
  using complex-vector-affinity-eq[where  $m=m$  and  $x=x$  and  $y=y$  and  $c=c$ ]
  by metis

lemma scaleC-eq-iff [simp]:  $b + u *_C a = a + u *_C b \longleftrightarrow a = b \vee u = 1$ 
  for  $a :: 'a::\text{complex-vector}$ 
  proof (cases  $u = 1$ )
    case True
      thus ?thesis by auto
    next
      case False
      have  $a = b$  if  $b + u *_C a = a + u *_C b$ 
      proof -
        from that have  $(u - 1) *_C a = (u - 1) *_C b$ 
        by (simp add: algebra-simps)
        with False show ?thesis
        by auto
      qed
      thus ?thesis by auto
    qed

lemma scaleC-collapse [simp]:  $(1 - u) *_C a + u *_C a = a$ 
  for  $a :: 'a::\text{complex-vector}$ 
  by (simp add: algebra-simps)

```

## 6.2 Embedding of the Complex Numbers into any *complex-algebra-1*: *of-complex*

```

definition of-complex :: complex  $\Rightarrow 'a::\text{complex-algebra-1}$ 
  where of-complex  $c = \text{scaleC } c \ 1$ 

```

```

lemma scaleC-conv-of-complex:  $\text{scaleC } r \ x = \text{of-complex } r * x$ 
  by (simp add: of-complex-def)

```

```

lemma of-complex-0 [simp]:  $\text{of-complex } 0 = 0$ 
  by (simp add: of-complex-def)

```

```

lemma of-complex-1 [simp]:  $\text{of-complex } 1 = 1$ 
  by (simp add: of-complex-def)

```

```

lemma of-complex-add [simp]:  $\text{of-complex } (x + y) = \text{of-complex } x + \text{of-complex } y$ 
  by (simp add: of-complex-def scaleC-add-left)

```

```

lemma of-complex-minus [simp]:  $\text{of-complex } (-x) = -\text{of-complex } x$ 
  by (simp add: of-complex-def)

```

```

lemma of-complex-diff [simp]: of-complex (x - y) = of-complex x - of-complex y
  by (simp add: of-complex-def scaleC-diff-left)

lemma of-complex-mult [simp]: of-complex (x * y) = of-complex x * of-complex y
  by (simp add: of-complex-def mult.commute)

lemma of-complex-sum[simp]: of-complex (sum f s) = (∑ x∈s. of-complex (f x))
  by (induct s rule: infinite-finite-induct) auto

lemma of-complex-prod[simp]: of-complex (prod f s) = (∏ x∈s. of-complex (f x))
  by (induct s rule: infinite-finite-induct) auto

lemma nonzero-of-complex-inverse:

$$x \neq 0 \implies \text{of-complex}(\text{inverse } x) = \text{inverse}(\text{of-complex } x :: 'a::complex-div-algebra)$$

  by (simp add: of-complex-def nonzero-inverse-scaleC-distrib)

lemma of-complex-inverse [simp]:

$$\text{of-complex}(\text{inverse } x) = \text{inverse}(\text{of-complex } x :: 'a:{complex-div-algebra,division-ring})$$

  by (simp add: of-complex-def inverse-scaleC-distrib)

lemma nonzero-of-complex-divide:

$$y \neq 0 \implies \text{of-complex}(x / y) = (\text{of-complex } x / \text{of-complex } y :: 'a::complex-field)$$

  by (simp add: divide-inverse nonzero-of-complex-inverse)

lemma of-complex-divide [simp]:

$$\text{of-complex}(x / y) = (\text{of-complex } x / \text{of-complex } y :: 'a::complex-div-algebra)$$

  by (simp add: divide-inverse)

lemma of-complex-power [simp]:

$$\text{of-complex}(x ^ n) = (\text{of-complex } x :: 'a:{complex-algebra-1}) ^ n$$

  by (induct n) simp-all

lemma of-complex-power-int [simp]:

$$\text{of-complex}(\text{power-int } x n) = \text{power-int}(\text{of-complex } x :: 'a :: {complex-div-algebra,division-ring})$$

  n
  by (auto simp: power-int-def)

lemma of-complex-eq-iff [simp]: of-complex x = of-complex y  $\longleftrightarrow$  x = y
  by (simp add: of-complex-def)

lemma inj-of-complex: inj of-complex
  by (auto intro: injI)

lemmas of-complex-eq-0-iff [simp] = of-complex-eq-iff [of - 0, simplified]
lemmas of-complex-eq-1-iff [simp] = of-complex-eq-iff [of - 1, simplified]

lemma minus-of-complex-eq-of-complex-iff [simp]: -of-complex x = of-complex y
 $\longleftrightarrow$  -x = y
  using of-complex-eq-iff[of -x y] by (simp only: of-complex-minus)

```

```

lemma of-complex-eq-minus-of-complex-iff [simp]: of-complex x = -of-complex y
 $\longleftrightarrow x = -y$ 
using of-complex-eq-iff[of x - y] by (simp only: of-complex-minus)

lemma of-complex-eq-id [simp]: of-complex = (id :: complex  $\Rightarrow$  complex)
by (rule ext) (simp add: of-complex-def)

Collapse nested embeddings.

lemma of-complex-of-nat-eq [simp]: of-complex (of-nat n) = of-nat n
by (induct n) auto

lemma of-complex-of-int-eq [simp]: of-complex (of-int z) = of-int z
by (cases z rule: int-diff-cases) simp

lemma of-complex-numeral [simp]: of-complex (numeral w) = numeral w
using of-complex-of-int-eq [of numeral w] by simp

lemma of-complex-neg-numeral [simp]: of-complex (- numeral w) = - numeral w
using of-complex-of-int-eq [of - numeral w] by simp

lemma numeral-power-int-eq-of-complex-cancel-iff [simp]:
power-int (numeral x) n = (of-complex y :: 'a :: {complex-div-algebra, division-ring})  $\longleftrightarrow$ 
power-int (numeral x) n = y
proof -
  have power-int (numeral x) n = (of-complex (power-int (numeral x) n) :: 'a)
  by simp
  also have ... = of-complex y  $\longleftrightarrow$  power-int (numeral x) n = y
  by (subst of-complex-eq-iff) auto
  finally show ?thesis .
qed

lemma of-complex-eq-numeral-power-int-cancel-iff [simp]:
(of-complex y :: 'a :: {complex-div-algebra, division-ring}) = power-int (numeral x) n  $\longleftrightarrow$ 
y = power-int (numeral x) n
by (subst (1 2) eq-commute) simp

lemma of-complex-eq-of-complex-power-int-cancel-iff [simp]:
power-int (of-complex b :: 'a :: {complex-div-algebra, division-ring}) w = of-complex x  $\longleftrightarrow$ 
power-int b w = x
by (metis of-complex-power-int of-complex-eq-iff)

lemma of-complex-in-Ints-iff [simp]: of-complex x  $\in \mathbb{Z} \longleftrightarrow x \in \mathbb{Z}$ 
proof safe
  fix x assume (of-complex x :: 'a)  $\in \mathbb{Z}$ 
  then obtain n where (of-complex x :: 'a) = of-int n

```

```

    by (auto simp: Ints-def)
also have of-int n = of-complex (of-int n)
  by simp
finally have x = of-int n
  by (subst (asm) of-complex-eq-iff)
thus x ∈ ℤ
  by auto
qed (auto simp: Ints-def)

lemma Ints-of-complex [intro]: x ∈ ℤ ⇒ of-complex x ∈ ℤ
  by simp

```

Every complex algebra has characteristic zero.

```

lemma fraction-scaleC-times [simp]:
  fixes a :: 'a::complex-algebra-1
  shows (numeral u / numeral v) *C (numeral w * a) = (numeral u * numeral w
  / numeral v) *C a
  by (metis (no-types, lifting) of-complex-numeral scaleC-conv-of-complex scaleC-scaleC
  times-divide-eq-left)

lemma inverse-scaleC-times [simp]:
  fixes a :: 'a::complex-algebra-1
  shows (1 / numeral v) *C (numeral w * a) = (numeral w / numeral v) *C a
  by (metis divide-inverse-commute inverse-eq-divide of-complex-numeral scaleC-conv-of-complex
  scaleC-scaleC)

lemma scaleC-times [simp]:
  fixes a :: 'a::complex-algebra-1
  shows (numeral u) *C (numeral w * a) = (numeral u * numeral w) *C a
  by (simp add: scaleC-conv-of-complex)

```

### 6.3 The Set of Real Numbers

```

definition Complexs :: 'a::complex-algebra-1 set (ℂ)
  where ℂ = range of-complex

```

```

lemma Complexs-of-complex [simp]: of-complex r ∈ ℂ
  by (simp add: Complexs-def)

lemma Complexs-of-int [simp]: of-int z ∈ ℂ
  by (subst of-complex-of-int-eq [symmetric], rule Complexs-of-complex)

lemma Complexs-of-nat [simp]: of-nat n ∈ ℂ
  by (subst of-complex-of-nat-eq [symmetric], rule Complexs-of-complex)

lemma Complexs-numeral [simp]: numeral w ∈ ℂ
  by (subst of-complex-numeral [symmetric], rule Complexs-of-complex)

lemma Complexs-0 [simp]: 0 ∈ ℂ and Complexs-1 [simp]: 1 ∈ ℂ

```

```

by (simp-all add: Complexs-def)

lemma Complexs-add [simp]:  $a \in \mathbb{C} \Rightarrow b \in \mathbb{C} \Rightarrow a + b \in \mathbb{C}$ 
  apply (auto simp add: Complexs-def)
  by (metis of-complex-add range-eqI)

lemma Complexs-minus [simp]:  $a \in \mathbb{C} \Rightarrow -a \in \mathbb{C}$ 
  by (auto simp: Complexs-def)

lemma Complexs-minus-iff [simp]:  $-a \in \mathbb{C} \longleftrightarrow a \in \mathbb{C}$ 
  using Complexs-minus by fastforce

lemma Complexs-diff [simp]:  $a \in \mathbb{C} \Rightarrow b \in \mathbb{C} \Rightarrow a - b \in \mathbb{C}$ 
  by (metis Complexs-add Complexs-minus-iff add-uminus-conv-diff)

lemma Complexs-mult [simp]:  $a \in \mathbb{C} \Rightarrow b \in \mathbb{C} \Rightarrow a * b \in \mathbb{C}$ 
  apply (auto simp add: Complexs-def)
  by (metis of-complex-mult rangeI)

lemma nonzero-Complexs-inverse:  $a \in \mathbb{C} \Rightarrow a \neq 0 \Rightarrow \text{inverse } a \in \mathbb{C}$ 
  for a :: 'a::complex-div-algebra
  apply (auto simp add: Complexs-def)
  by (metis of-complex-inverse range-eqI)

lemma Complexs-inverse:  $a \in \mathbb{C} \Rightarrow \text{inverse } a \in \mathbb{C}$ 
  for a :: 'a::{complex-div-algebra,division-ring}
  using nonzero-Complexs-inverse by fastforce

lemma Complexs-inverse-iff [simp]:  $\text{inverse } x \in \mathbb{C} \longleftrightarrow x \in \mathbb{C}$ 
  for x :: 'a::{complex-div-algebra,division-ring}
  by (metis Complexs-inverse inverse-inverse-eq)

lemma nonzero-Complexs-divide:  $a \in \mathbb{C} \Rightarrow b \in \mathbb{C} \Rightarrow b \neq 0 \Rightarrow a / b \in \mathbb{C}$ 
  for a b :: 'a::complex-field
  by (simp add: divide-inverse)

lemma Complexs-divide [simp]:  $a \in \mathbb{C} \Rightarrow b \in \mathbb{C} \Rightarrow a / b \in \mathbb{C}$ 
  for a b :: 'a::{complex-field,field}
  using nonzero-Complexs-divide by fastforce

lemma Complexs-power [simp]:  $a \in \mathbb{C} \Rightarrow a ^ n \in \mathbb{C}$ 
  for a :: 'a::complex-algebra-1
  apply (auto simp add: Complexs-def)
  by (metis range-eqI of-complex-power[symmetric])

lemma Complexs-cases [cases set: Complexs]:
  assumes q ∈ ℂ
  obtains (of-complex) c where q = of-complex c
  unfolding Complexs-def

```

```

proof -
  from  $\langle q \in \mathbb{C} \rangle$  have  $q \in \text{range of-complex}$  unfolding Complexs-def .
  then obtain c where  $q = \text{of-complex } c ..$ 
  then show thesis ..
qed

lemma sum-in-Complexs [intro,simp]:  $(\bigwedge i. i \in s \Rightarrow f i \in \mathbb{C}) \Rightarrow \text{sum } f s \in \mathbb{C}$ 
proof (induct s rule: infinite-finite-induct)
  case infinite
  then show ?case by (metis Complexs-0 sum.infinite)
qed simp-all

lemma prod-in-Complexs [intro,simp]:  $(\bigwedge i. i \in s \Rightarrow f i \in \mathbb{C}) \Rightarrow \text{prod } f s \in \mathbb{C}$ 
proof (induct s rule: infinite-finite-induct)
  case infinite
  then show ?case by (metis Complexs-1 prod.infinite)
qed simp-all

lemma Complexs-induct [case-names of-complex, induct set: Complexs]:
 $q \in \mathbb{C} \Rightarrow (\bigwedge r. P(\text{of-complex } r)) \Rightarrow P q$ 
by (rule Complexs-cases) auto

```

## 6.4 Ordered complex vector spaces

```

class ordered-complex-vector = complex-vector + ordered-ab-group-add +
assumes scaleC-left-mono:  $x \leq y \Rightarrow 0 \leq a \Rightarrow a *_C x \leq a *_C y$ 
  and scaleC-right-mono:  $a \leq b \Rightarrow 0 \leq x \Rightarrow a *_C x \leq b *_C x$ 
begin

subclass (in ordered-complex-vector) ordered-real-vector
  apply standard
  by (auto simp add: less-eq-complex-def scaleC-left-mono scaleC-right-mono scaleR-scaleC)

lemma scaleC-mono:
 $a \leq b \Rightarrow x \leq y \Rightarrow 0 \leq b \Rightarrow 0 \leq x \Rightarrow a *_C x \leq b *_C y$ 
  by (meson order-trans scaleC-left-mono scaleC-right-mono)

lemma scaleC-mono':
 $a \leq b \Rightarrow c \leq d \Rightarrow 0 \leq a \Rightarrow 0 \leq c \Rightarrow a *_C c \leq b *_C d$ 
  by (rule scaleC-mono) (auto intro: order.trans)

lemma pos-le-divideC-eq [field-simps]:
 $a \leq b /_C c \longleftrightarrow c *_C a \leq b$  (is ?P  $\longleftrightarrow$  ?Q) if  $0 < c$ 
proof
  assume ?P
  with scaleC-left-mono that have  $c *_C a \leq c *_C (b /_C c)$ 
  using preorder-class.less-imp-le by blast
  with that show ?Q
  by auto

```

```

next
  assume ?Q
  with scaleC-left-mono that have c *C a /C c ≤ b /C c
    using less-complex-def less-eq-complex-def by fastforce
  with that show ?P
    by auto
qed

lemma pos-less-divideC-eq [field-simps]:
  a < b /C c ↔ c *C a < b if c > 0
  using that pos-le-divideC-eq [of c a b]
  by (auto simp add: le-less)

lemma pos-divideC-le-eq [field-simps]:
  b /C c ≤ a ↔ b ≤ c *C a if c > 0
  using that pos-le-divideC-eq [of inverse c b a]
  less-complex-def by auto

lemma pos-divideC-less-eq [field-simps]:
  b /C c < a ↔ b < c *C a if c > 0
  using that pos-less-divideC-eq [of inverse c b a]
  by (simp add: local.less-le-not-le local.pos-divideC-le-eq local.pos-le-divideC-eq)

lemma pos-le-minus-divideC-eq [field-simps]:
  a ≤ - (b /C c) ↔ c *C a ≤ - b if c > 0
  using that
  by (metis local.ab-left-minus local.add.inverse-unique local.add.right-inverse local.add-minus-cancel local.le-minus-iff local.pos-divideC-le-eq local.scaleC-add-right local.scaleC-one local.scaleC-scaleC)

lemma pos-less-minus-divideC-eq [field-simps]:
  a < - (b /C c) ↔ c *C a < - b if c > 0
  using that
  by (metis le-less less-le-not-le pos-divideC-le-eq pos-divideC-less-eq pos-le-minus-divideC-eq)

lemma pos-minus-divideC-le-eq [field-simps]:
  - (b /C c) ≤ a ↔ - b ≤ c *C a if c > 0
  using that
  by (metis local.add-minus-cancel local.left-minus local.pos-divideC-le-eq local.scaleC-add-right)

lemma pos-minus-divideC-less-eq [field-simps]:
  - (b /C c) < a ↔ - b < c *C a if c > 0
  using that by (simp add: less-le-not-le pos-le-minus-divideC-eq pos-minus-divideC-le-eq)

lemma scaleC-image-atLeastAtMost: c > 0 ⇒ scaleC c ` {x..y} = {c *C x..c *C y}
  apply (auto intro!: scaleC-left-mono simp: image-iff Bex-def)
  by (meson order.eq-iff local.order.refl pos-divideC-le-eq pos-le-divideC-eq)

```

**end**

**lemma** *neg-le-divideC-eq* [field-simps]:

$a \leq b /_C c \longleftrightarrow b \leq c *_C a$  (**is**  $?P \longleftrightarrow ?Q$ ) **if**  $c < 0$   
**for**  $a b :: 'a :: \text{ordered-complex-vector}$   
**using** that *pos-le-divideC-eq* [*of*  $-c a - b$ ]  
**by** (*simp add: less-complex-def*)

**lemma** *neg-less-divideC-eq* [field-simps]:

$a < b /_C c \longleftrightarrow b < c *_C a$  **if**  $c < 0$   
**for**  $a b :: 'a :: \text{ordered-complex-vector}$   
**using** that *neg-le-divideC-eq* [*of*  $c a b$ ]  
**by** (*smt (verit, ccfv-SIG) neg-le-divideC-eq antisym-conv2 complex-vector.scale-minus-right dual-order.strict-implies-order le-less-trans neg-le-iff-le scaleC-scaleC*)

**lemma** *neg-divideC-le-eq* [field-simps]:

$b /_C c \leq a \longleftrightarrow c *_C a \leq b$  **if**  $c < 0$   
**for**  $a b :: 'a :: \text{ordered-complex-vector}$   
**using** that *pos-divideC-le-eq* [*of*  $-c - b a$ ]  
**by** (*simp add: less-complex-def*)

**lemma** *neg-divideC-less-eq* [field-simps]:

$b /_C c < a \longleftrightarrow c *_C a < b$  **if**  $c < 0$   
**for**  $a b :: 'a :: \text{ordered-complex-vector}$   
**using** that *neg-divideC-le-eq* [*of*  $c b a$ ]  
**by** (*meson neg-le-divideC-eq less-le-not-le*)

**lemma** *neg-le-minus-divideC-eq* [field-simps]:

$a \leq -(b /_C c) \longleftrightarrow -b \leq c *_C a$  **if**  $c < 0$   
**for**  $a b :: 'a :: \text{ordered-complex-vector}$   
**using** that *pos-le-minus-divideC-eq* [*of*  $-c a - b$ ]  
**by** (*metis neg-le-divideC-eq complex-vector.scale-minus-right*)

**lemma** *neg-less-minus-divideC-eq* [field-simps]:

$a < -(b /_C c) \longleftrightarrow -b < c *_C a$  **if**  $c < 0$   
**for**  $a b :: 'a :: \text{ordered-complex-vector}$

**proof** –

**have**  $*: -b = c *_C a \longleftrightarrow b = -(c *_C a)$

**by** (*metis add.inverse-inverse*)

**from** that *neg-le-minus-divideC-eq* [*of*  $c a b$ ]

**show** *?thesis* **by** (*auto simp add: le-less \**)

**qed**

**lemma** *neg-minus-divideC-le-eq* [field-simps]:

$-(b /_C c) \leq a \longleftrightarrow c *_C a \leq -b$  **if**  $c < 0$   
**for**  $a b :: 'a :: \text{ordered-complex-vector}$   
**using** that *pos-minus-divideC-le-eq* [*of*  $-c - b a$ ]  
**by** (*metis Complex-Vector-Spaces0.neg-divideC-le-eq complex-vector.scale-minus-right*)

```

lemma neg-minus-divideC-less-eq [field-simps]:
   $-(b /_C c) < a \longleftrightarrow c *_C a < -b$  if  $c < 0$ 
for  $a b :: 'a :: ordered-complex-vector$ 
  using that by (simp add: less-le-not-le neg-le-minus-divideC-eq neg-minus-divideC-le-eq)

lemma divideC-field-splits-simps-1 [field-split-simps]:
   $a = b /_C c \longleftrightarrow (\text{if } c = 0 \text{ then } a = 0 \text{ else } c *_C a = b)$ 
   $b /_C c = a \longleftrightarrow (\text{if } c = 0 \text{ then } a = 0 \text{ else } b = c *_C a)$ 
   $a + b /_C c = (\text{if } c = 0 \text{ then } a \text{ else } (c *_C a + b) /_C c)$ 
   $a /_C c + b = (\text{if } c = 0 \text{ then } b \text{ else } (a + c *_C b) /_C c)$ 
   $a - b /_C c = (\text{if } c = 0 \text{ then } a \text{ else } (c *_C a - b) /_C c)$ 
   $a /_C c - b = (\text{if } c = 0 \text{ then } -b \text{ else } (a - c *_C b) /_C c)$ 
   $-(a /_C c) + b = (\text{if } c = 0 \text{ then } b \text{ else } (-a + c *_C b) /_C c)$ 
   $-(a /_C c) - b = (\text{if } c = 0 \text{ then } -b \text{ else } (-a - c *_C b) /_C c)$ 
for  $a b :: 'a :: complex-vector$ 
by (auto simp add: field-simps)

lemma divideC-field-splits-simps-2 [field-split-simps]:
   $0 < c \implies a \leq b /_C c \longleftrightarrow (\text{if } c > 0 \text{ then } c *_C a \leq b \text{ else if } c < 0 \text{ then } b \leq c *_C a \text{ else } a \leq 0)$ 
   $0 < c \implies a < b /_C c \longleftrightarrow (\text{if } c > 0 \text{ then } c *_C a < b \text{ else if } c < 0 \text{ then } b < c *_C a \text{ else } a < 0)$ 
   $0 < c \implies b /_C c \leq a \longleftrightarrow (\text{if } c > 0 \text{ then } b \leq c *_C a \text{ else if } c < 0 \text{ then } c *_C a \leq b \text{ else } a \geq 0)$ 
   $0 < c \implies b /_C c < a \longleftrightarrow (\text{if } c > 0 \text{ then } b < c *_C a \text{ else if } c < 0 \text{ then } c *_C a < b \text{ else } a > 0)$ 
   $0 < c \implies a \leq -(b /_C c) \longleftrightarrow (\text{if } c > 0 \text{ then } c *_C a \leq -b \text{ else if } c < 0 \text{ then } -b \leq c *_C a \text{ else } a \leq 0)$ 
   $0 < c \implies a < -(b /_C c) \longleftrightarrow (\text{if } c > 0 \text{ then } c *_C a < -b \text{ else if } c < 0 \text{ then } -b < c *_C a \text{ else } a < 0)$ 
   $0 < c \implies -(b /_C c) \leq a \longleftrightarrow (\text{if } c > 0 \text{ then } -b \leq c *_C a \text{ else if } c < 0 \text{ then } c *_C a \leq -b \text{ else } a \geq 0)$ 
   $0 < c \implies -(b /_C c) < a \longleftrightarrow (\text{if } c > 0 \text{ then } -b < c *_C a \text{ else if } c < 0 \text{ then } c *_C a < -b \text{ else } a > 0)$ 
for  $a b :: 'a :: ordered-complex-vector$ 
by (clar simp intro!: field-simps)+

lemma scaleC-nonneg-nonneg:  $0 \leq a \implies 0 \leq x \implies 0 \leq a *_C x$ 
for  $x :: 'a :: ordered-complex-vector$ 
using scaleC-left-mono [of 0 x a] by simp

lemma scaleC-nonneg-nonpos:  $0 \leq a \implies x \leq 0 \implies a *_C x \leq 0$ 
for  $x :: 'a :: ordered-complex-vector$ 
using scaleC-left-mono [of x 0 a] by simp

lemma scaleC-nonpos-nonneg:  $a \leq 0 \implies 0 \leq x \implies a *_C x \leq 0$ 
for  $x :: 'a :: ordered-complex-vector$ 
using scaleC-right-mono [of a 0 x] by simp

```

```

lemma split-scaleC-neg-le: ( $0 \leq a \wedge x \leq 0$ )  $\vee$  ( $a \leq 0 \wedge 0 \leq x$ )  $\implies a *_C x \leq 0$ 
  for  $x :: 'a::ordered-complex-vector$ 
  by (auto simp: scaleC-nonneg-nonpos scaleC-nonpos-nonneg)

lemma cle-add-iff1:  $a *_C e + c \leq b *_C e + d \longleftrightarrow (a - b) *_C e + c \leq d$ 
  for  $c d e :: 'a::ordered-complex-vector$ 
  by (simp add: algebra-simps)

lemma cle-add-iff2:  $a *_C e + c \leq b *_C e + d \longleftrightarrow c \leq (b - a) *_C e + d$ 
  for  $c d e :: 'a::ordered-complex-vector$ 
  by (simp add: algebra-simps)

lemma scaleC-left-mono-neg:  $b \leq a \implies c \leq 0 \implies c *_C a \leq c *_C b$ 
  for  $a b :: 'a::ordered-complex-vector$ 
  by (drule scaleC-left-mono [of _ _ _ c], simp-all add: less-eq-complex-def)

lemma scaleC-right-mono-neg:  $b \leq a \implies c \leq 0 \implies a *_C c \leq b *_C c$ 
  for  $c :: 'a::ordered-complex-vector$ 
  by (drule scaleC-right-mono [of _ _ _ c], simp-all)

lemma scaleC-nonpos-nonpos:  $a \leq 0 \implies b \leq 0 \implies 0 \leq a *_C b$ 
  for  $b :: 'a::ordered-complex-vector$ 
  using scaleC-right-mono-neg [of a 0 b] by simp

lemma split-scaleC-pos-le: ( $0 \leq a \wedge 0 \leq b$ )  $\vee$  ( $a \leq 0 \wedge b \leq 0$ )  $\implies 0 \leq a *_C b$ 
  for  $b :: 'a::ordered-complex-vector$ 
  by (auto simp: scaleC-nonneg-nonneg scaleC-nonpos-nonpos)

lemma zero-le-scaleC-iff:
  fixes  $b :: 'a::ordered-complex-vector$ 
  assumes  $a \in \mathbb{R}$ 
  shows  $0 \leq a *_C b \longleftrightarrow 0 < a \wedge 0 \leq b \vee a < 0 \wedge b \leq 0 \vee a = 0$ 
    (is ?lhs = ?rhs)
  proof (cases a = 0)
    case True
    then show ?thesis by simp
  next
    case False
    show ?thesis
  proof
    assume ?lhs
    from ‹a ≠ 0› consider a > 0 | a < 0
      by (metis assms complex-is-Real-iff less-complex-def less-eq-complex-def not-le
          order.not-eq-order-implies-strict that(1) zero-complex.sel(2))
    then show ?rhs
  proof cases
    case 1
    with ‹?lhs› have inverse a *C 0 ≤ inverse a *C (a *C b)
      by (metis complex-vector.scale-zero-right ordered-complex-vector-class.pos-le-divideC-eq)
  qed

```

```

with 1 show ?thesis
  by simp
next
  case 2
    with ‹?lhs› have  $- \text{inverse } a *_C 0 \leq - \text{inverse } a *_C (a *_C b)$ 
    by (metis Complex-Vector-Spaces0.neg-le-minus-divideC-eq complex-vector.scale-zero-right
neg-le-0-iff-le scaleC-left.minus)
    with 2 show ?thesis
      by simp
qed
next
  assume ?rhs
  then show ?lhs
    using less-imp-le split-scaleC-pos-le by auto
qed
qed

lemma scaleC-le-0-iff:
 $a *_C b \leq 0 \longleftrightarrow 0 < a \wedge b \leq 0 \vee a < 0 \wedge 0 \leq b \vee a = 0$ 
  if  $a \in \mathbb{R}$ 
  for  $b :: 'a :: \text{ordered-complex-vector}$ 
  apply (insert zero-le-scaleC-iff [of  $-a$   $b$ ])
  using less-complex-def that by force

lemma scaleC-le-cancel-left:  $c *_C a \leq c *_C b \longleftrightarrow (0 < c \longrightarrow a \leq b) \wedge (c < 0 \longrightarrow b \leq a)$ 
  if  $c \in \mathbb{R}$ 
  for  $b :: 'a :: \text{ordered-complex-vector}$ 
  by (smt (verit, ccfv-threshold) Complex-Vector-Spaces0.neg-divideC-le-eq complex-vector.scale-cancel-left complex-vector.scale-zero-right dual-order.eq-iff dual-order.trans ordered-complex-vector-class.pos-le-divideC-eq that zero-le-scaleC-iff)

lemma scaleC-le-cancel-left-pos:  $0 < c \implies c *_C a \leq c *_C b \longleftrightarrow a \leq b$ 
  for  $b :: 'a :: \text{ordered-complex-vector}$ 
  by (simp add: complex-is-Real-iff less-complex-def scaleC-le-cancel-left)

lemma scaleC-le-cancel-left-neg:  $c < 0 \implies c *_C a \leq c *_C b \longleftrightarrow b \leq a$ 
  for  $b :: 'a :: \text{ordered-complex-vector}$ 
  by (simp add: complex-is-Real-iff less-complex-def scaleC-le-cancel-left)

lemma scaleC-left-le-one-le:  $0 \leq x \implies a \leq 1 \implies a *_C x \leq x$ 
  for  $x :: 'a :: \text{ordered-complex-vector}$  and  $a :: \text{complex}$ 
  using scaleC-right-mono[of  $a$  1  $x$ ] by simp

```

## 6.5 Complex normed vector spaces

```

class complex-normed-vector = complex-vector + sgn-div-norm + dist-norm +
uniformity-dist + open-uniformity +

```

```

real-normed-vector +
assumes norm-scaleC [simp]: norm (scaleC a x) = cmod a * norm x
begin

end

class complex-normed-algebra = complex-algebra + complex-normed-vector +
real-normed-algebra

class complex-normed-algebra-1 = complex-algebra-1 + complex-normed-algebra +
real-normed-algebra-1

lemma (in complex-normed-algebra-1) scaleC-power [simp]: (scaleC x y) ^ n =
scaleC (x^n) (y^n)
by (induct n) (simp-all add: mult-ac)

class complex-normed-div-algebra = complex-div-algebra + complex-normed-vector +
real-normed-div-algebra

class complex-normed-field = complex-field + complex-normed-div-algebra

subclass (in complex-normed-field) real-normed-field ..

instance complex-normed-div-algebra < complex-normed-algebra-1 ..

context complex-normed-vector begin

end

lemma dist-scaleC [simp]: dist (x *C a) (y *C a) = |x - y| * norm a
for a :: 'a::complex-normed-vector
by (metis dist-scaleR scaleR-scaleC)

lemma norm-of-complex [simp]: norm (of-complex c :: 'a::complex-normed-algebra-1) =
cmod c
by (simp add: of-complex-def)

lemma norm-of-complex-add1 [simp]: norm (of-complex x + 1 :: 'a :: complex-normed-div-algebra) =
cmod (x + 1)
by (metis norm-of-complex of-complex-1 of-complex-add)

```

```

lemma norm-of-complex-addn [simp]:
  norm (of-complex x + numeral b :: 'a :: complex-normed-div-algebra) = cmod (x
+ numeral b)
  by (metis norm-of-complex of-complex-add of-complex-numeral)

lemma norm-of-complex-diff [simp]:
  norm (of-complex b - of-complex a :: 'a::complex-normed-algebra-1) ≤ cmod (b
- a)
  by (metis norm-of-complex of-complex-diff order-refl)

```

## 6.6 Metric spaces

Every normed vector space is a metric space.

## 6.7 Class instances for complex numbers

```

instantiation complex :: complex-normed-field
begin

instance
  apply intro-classes
  by (simp add: norm-mult)

end

declare uniformity-Abort[where 'a=complex, code]

lemma dist-of-complex [simp]: dist (of-complex x :: 'a) (of-complex y) = dist x y
  for a :: 'a::complex-normed-div-algebra
  by (metis dist-norm norm-of-complex of-complex-diff)

declare [[code abort: open :: complex set ⇒ bool]]

```

```

lemma closed-complex-atMost: ⟨closed {..a::complex}⟩
proof –
  have ⟨{..a} = Im – ‘{Im a} ∩ Re – ‘{..Re a}⟩
    by (auto simp: less-eq-complex-def)
  also have ⟨closed ...⟩
    by (auto intro!: closed-Int closed-vimage continuous-on-Im continuous-on-Re)
  finally show ?thesis
    by –
qed

lemma closed-complex-atLeast: ⟨closed {a::complex..}⟩
proof –
  have ⟨{a..} = Im – ‘{Im a} ∩ Re – ‘{Re a..}⟩

```

```

    by (auto simp: less-eq-complex-def)
also have <closed ...>
  by (auto intro!: closed-Int closed-vimage continuous-on-Im continuous-on-Re)
finally show ?thesis
  by -
qed

lemma closed-complex-atLeastAtMost: <closed {a::complex .. b}>
proof (cases <Im a = Im b>)
  case True
  have <{a..b} = Im -` {Im a} ∩ Re -` {Re a..Re b}>
    by (auto simp add: less-eq-complex-def intro!: True)
  also have <closed ...>
    by (auto intro!: closed-Int closed-vimage continuous-on-Im continuous-on-Re)
  finally show ?thesis
    by -
next
  case False
  then have *: <{a..b} = {}>
    using less-eq-complex-def by auto
  show ?thesis
    by (simp add: *)
qed

```

## 6.8 Sign function

```

lemma sgn-scaleC: sgn (scaleC r x) = scaleC (sgn r) (sgn x)
  for x :: 'a::complex-normed-vector
  by (simp add: scaleR-scaleC sgn-div-norm ac-simps)

lemma sgn-of-complex: sgn (of-complex r :: 'a::complex-normed-algebra-1) = of-complex
  (sgn r)
  unfolding of-complex-def by (simp only: sgn-scaleC sgn-one)

lemma complex-sgn-eq: sgn x = x / |x|
  for x :: complex
  by (simp add: abs-complex-def scaleR-scaleC sgn-div-norm divide-inverse)

lemma czero-le-sgn-iff [simp]: 0 ≤ sgn x ↔ 0 ≤ x
  for x :: complex
  using cmod-eq-Re divide-eq-0-iff less-eq-complex-def by auto

lemma csgn-le-0-iff [simp]: sgn x ≤ 0 ↔ x ≤ 0
  for x :: complex
  by (smt (verit, best) czero-le-sgn-iff Im-sgn Re-sgn divide-eq-0-iff dual-order.eq-iff
    less-eq-complex-def sgn-zero-iff zero-complex.sel(1) zero-complex.sel(2))

```

## 6.9 Bounded Linear and Bilinear Operators

```
lemma clinearI: clinear f
```

```

if  $\bigwedge b_1 b_2. f(b_1 + b_2) = f b_1 + f b_2$ 
 $\bigwedge r b. f(r *_C b) = r *_C f b$ 
using that
by unfold-locales (auto simp: algebra-simps)

lemma clinear-iff:
  clinear  $f \longleftrightarrow (\forall x y. f(x + y) = f x + f y) \wedge (\forall c x. f(c *_C x) = c *_C f x)$ 
  (is clinear  $f \longleftrightarrow ?rhs$ )
proof
  assume clinear  $f$ 
  then interpret  $f$ : clinear  $f$ .
  show ?rhs
    by (simp add: f.add f.scale complex-vector.linear-scale f.clinear-axioms)
next
  assume ?rhs
  then show clinear  $f$  by (intro clinearI) auto
qed

lemmas clinear-scaleC-left = complex-vector.linear-scale-left
lemmas clinear-imp-scaleC = complex-vector.linear-imp-scale

corollary complex-clinearD:
  fixes  $f :: \text{complex} \Rightarrow \text{complex}$ 
  assumes clinear  $f$  obtains  $c$  where  $f = (*) c$ 
  by (rule clinear-imp-scaleC [OF assms]) (force simp: scaleC-conv-of-complex)

lemma clinear-times-of-complex: clinear  $(\lambda x. a * \text{of-complex } x)$ 
  by (auto intro!: clinearI simp: distrib-left)
  (metis mult-scaleC-right scaleC-conv-of-complex)

locale bounded-clinear = clinear  $f$  for  $f :: 'a::\text{complex-normed-vector} \Rightarrow 'b::\text{complex-normed-vector}$ 
+
  assumes bounded:  $\exists K. \forall x. \text{norm}(f x) \leq \text{norm } x * K$ 
begin

  sublocale real: bounded-linear
  — Gives access to all lemmas from bounded-linear using prefix real.
  apply standard
  by (auto simp add: add scaleR-scaleC scale bounded)

lemmas pos-bounded = real.pos-bounded

lemmas nonneg-bounded = real.nonneg-bounded

lemma clinear: clinear  $f$ 
  by (fact local.clinear-axioms)

```

```

end

lemma bounded-clinear-intro:
assumes "A x y. f (x + y) = f x + f y"
and "A r x. f (scaleC r x) = scaleC r (f x)"
and "A x. norm (f x) ≤ norm x * K"
shows bounded-clinear f
by standard (blast intro: assms)+

locale bounded-cbilinear =
fixes prod :: "'a::complex-normed-vector ⇒ 'b::complex-normed-vector ⇒ 'c::complex-normed-vector
(infixl ‹**› 70)
assumes add-left: prod (a + a') b = prod a b + prod a' b
and add-right: prod a (b + b') = prod a b + prod a b'
and scaleC-left: prod (scaleC r a) b = scaleC r (prod a b)
and scaleC-right: prod a (scaleC r b) = scaleC r (prod a b)
and bounded: ∃ K. ∀ a b. norm (prod a b) ≤ norm a * norm b * K
begin

sublocale real: bounded-bilinear
— Gives access to all lemmas from bounded-bilinear using prefix real.
apply standard
by (auto simp add: add-left add-right scaleR-scaleC scaleC-left scaleC-right bounded)

lemmas pos-bounded = real.pos-bounded
lemmas nonneg-bounded = real.nonneg-bounded
lemmas additive-right = real.additive-right
lemmas additive-left = real.additive-left
lemmas zero-left = real.zero-left
lemmas zero-right = real.zero-right
lemmas minus-left = real.minus-left
lemmas minus-right = real.minus-right
lemmas diff-left = real.diff-left
lemmas diff-right = real.diff-right
lemmas sum-left = real.sum-left
lemmas sum-right = real.sum-right
lemmas prod-diff-prod = real.prod-diff-prod

lemma bounded-clinear-left: bounded-clinear (λ a. a ** b)
proof -
obtain K where "A a b. norm (a ** b) ≤ norm a * norm b * K"
using pos-bounded by blast
then show ?thesis
by (rule-tac K=norm b * K in bounded-clinear-intro) (auto simp: algebra-simps
scaleC-left add-left)
qed

lemma bounded-clinear-right: bounded-clinear (λ b. a ** b)

```

```

proof -
  obtain K where  $\bigwedge a b. \text{norm}(a ** b) \leq \text{norm } a * \text{norm } b * K$ 
    using pos-bounded by blast
  then show ?thesis
    by (rule-tac K=norm a * K in bounded-clinear-intro) (auto simp: algebra-simps
      scaleC-right add-right)
  qed

lemma flip: bounded-cbilinear ( $\lambda x y. y ** x$ )
proof
  show  $\exists K. \forall a b. \text{norm}(b ** a) \leq \text{norm } a * \text{norm } b * K$ 
    by (metis bounded mult.commute)
  qed (simp-all add: add-right add-left scaleC-right scaleC-left)

lemma comp1:
  assumes bounded-clinear g
  shows bounded-cbilinear ( $\lambda x. (**)(g x)$ )
proof
  interpret g: bounded-clinear g by fact
  show  $\bigwedge a a' b. g(a + a') ** b = g a ** b + g a' ** b$ 
     $\bigwedge a b b'. g a ** (b + b') = g a ** b + g a ** b'$ 
     $\bigwedge r a b. g(r *_C a) ** b = r *_C (g a ** b)$ 
     $\bigwedge a r b. g a ** (r *_C b) = r *_C (g a ** b)$ 
    by (auto simp: g.add add-left add-right g.scaleC scaleC-left scaleC-right)
  have bounded-bilinear ( $\lambda a b. g a ** b$ )
    using g.real.bounded-linear by (rule real.comp1)
  then show  $\exists K. \forall a b. \text{norm}(g a ** b) \leq \text{norm } a * \text{norm } b * K$ 
    by (rule bounded-bilinear.bounded)
  qed

lemma comp: bounded-clinear f  $\implies$  bounded-clinear g  $\implies$  bounded-cbilinear ( $\lambda x y. f x ** g y$ )
  by (rule bounded-cbilinear.flip[OF bounded-cbilinear.comp1[OF bounded-cbilinear.flip[OF comp1]]])

end

lemma bounded-clinear-ident[simp]: bounded-clinear ( $\lambda x. x$ )
  by standard (auto intro!: exI[of - 1])

lemma bounded-clinear-zero[simp]: bounded-clinear ( $\lambda x. 0$ )
  by standard (auto intro!: exI[of - 1])

lemma bounded-clinear-add:
  assumes bounded-clinear f
  and bounded-clinear g
  shows bounded-clinear ( $\lambda x. f x + g x$ )
proof -
  interpret f: bounded-clinear f by fact

```

```

interpret g: bounded-clinear g by fact
show ?thesis
proof
  from f.bounded obtain Kf where Kf: norm (f x) ≤ norm x * Kf for x
    by blast
  from g.bounded obtain Kg where Kg: norm (g x) ≤ norm x * Kg for x
    by blast
  show ∃ K. ∀ x. norm (f x + g x) ≤ norm x * K
    using add-mono[OF Kf Kg]
    by (intro exI[of - Kf + Kg]) (auto simp: field-simps intro: norm-triangle-ineq
order-trans)
  qed (simp-all add: f.add g.add f.scaleC g.scaleC scaleC-add-right)
qed

lemma bounded-clinear-minus:
assumes bounded-clinear f
shows bounded-clinear (λx. - f x)
proof -
  interpret f: bounded-clinear f by fact
  show ?thesis
    by unfold-locales (simp-all add: f.add f.scaleC f.bounded)
  qed

lemma bounded-clinear-sub: bounded-clinear f ==> bounded-clinear g ==> bounded-clinear
(λx. f x - g x)
  using bounded-clinear-add[of f λx. - g x] bounded-clinear-minus[of g]
  by (auto simp: algebra-simps)

lemma bounded-clinear-sum:
fixes f :: 'i ⇒ 'a::complex-normed-vector ⇒ 'b::complex-normed-vector
shows (∏ i. i ∈ I ==> bounded-clinear (f i)) ==> bounded-clinear (λx. ∑ i ∈ I. f
i x)
  by (induct I rule: infinite-finite-induct) (auto intro!: bounded-clinear-add)

lemma bounded-clinear-compose:
assumes bounded-clinear f
  and bounded-clinear g
shows bounded-clinear (λx. f (g x))
proof
  interpret f: bounded-clinear f by fact
  interpret g: bounded-clinear g by fact
  show f (g (x + y)) = f (g x) + f (g y) for x y
    by (simp only: f.add g.add)
  show f (g (scaleC r x)) = scaleC r (f (g x)) for r x
    by (simp only: f.scaleC g.scaleC)
  from f.pos-bounded obtain Kf where f: ∀ x. norm (f x) ≤ norm x * Kf and
Kf: 0 < Kf
    by blast
  from g.pos-bounded obtain Kg where g: ∀ x. norm (g x) ≤ norm x * Kg
    by blast

```

```

by blast
show  $\exists K. \forall x. \text{norm} (f (g x)) \leq \text{norm} x * K$ 
proof (intro exI allI)
fix x
have  $\text{norm} (f (g x)) \leq \text{norm} (g x) * K_f$ 
using f .
also have ...  $\leq (\text{norm} x * K_g) * K_f$ 
using g Kf [THEN order-less-imp-le] by (rule mult-right-mono)
also have  $(\text{norm} x * K_g) * K_f = \text{norm} x * (K_g * K_f)$ 
by (rule mult.assoc)
finally show  $\text{norm} (f (g x)) \leq \text{norm} x * (K_g * K_f)$  .
qed
qed

lemma bounded-cbilinear-mult: bounded-cbilinear ((*) :: 'a :: 'a :: complex-normed-algebra)
proof (rule bounded-cbilinear.intro)
show  $\exists K. \forall a b :: 'a. \text{norm} (a * b) \leq \text{norm} a * \text{norm} b * K$ 
by (rule-tac x=1 in exI) (simp add: norm-mult-ineq)
qed (auto simp: algebra-simps)

lemma bounded-clinear-mult-left: bounded-clinear ( $\lambda x :: 'a :: \text{complex-normed-algebra}$ .
 $x * y$ )
using bounded-cbilinear-mult
by (rule bounded-cbilinear.bounded-clinear-left)

lemma bounded-clinear-mult-right: bounded-clinear ( $\lambda y :: 'a :: \text{complex-normed-algebra}$ .
 $x * y$ )
using bounded-cbilinear-mult
by (rule bounded-cbilinear.bounded-clinear-right)

lemmas bounded-clinear-mult-const =
bounded-clinear-mult-left [THEN bounded-clinear-compose]

lemmas bounded-clinear-const-mult =
bounded-clinear-mult-right [THEN bounded-clinear-compose]

lemma bounded-clinear-divide: bounded-clinear ( $\lambda x. x / y$ )
for y :: 'a :: complex-normed-field
unfolding divide-inverse by (rule bounded-clinear-mult-left)

lemma bounded-cbilinear-scaleC: bounded-cbilinear scaleC
proof (rule bounded-cbilinear.intro)
obtain K where K:  $\forall a (b :: 'a). \text{norm} b \leq \text{norm} b * K$ 
using less-eq-real-def by auto
show  $\exists K. \forall a (b :: 'a). \text{norm} (a *_C b) \leq \text{norm} a * \text{norm} b * K$ 
apply (rule exI[where x=K]) using K
by (metis norm-scaleC)
qed (auto simp: algebra-simps)

```

```

lemma bounded-clinear-scaleC-left: bounded-clinear ( $\lambda c. \text{scale}C c x$ )
  using bounded-cbilinear-scaleC
  by (rule bounded-cbilinear.bounded-clinear-left)

lemma bounded-clinear-scaleC-right: bounded-clinear ( $\lambda x. \text{scale}C c x$ )
  using bounded-cbilinear-scaleC
  by (rule bounded-cbilinear.bounded-clinear-right)

lemmas bounded-clinear-scaleC-const =
  bounded-clinear-scaleC-left[THEN bounded-clinear-compose]

lemmas bounded-clinear-const-scaleC =
  bounded-clinear-scaleC-right[THEN bounded-clinear-compose]

lemma bounded-clinear-of-complex: bounded-clinear ( $\lambda r. \text{of-complex } r$ )
  unfolding of-complex-def by (rule bounded-clinear-scaleC-left)

lemma complex-bounded-clinear: bounded-clinear  $f \longleftrightarrow (\exists c::\text{complex}. f = (\lambda x. x * c))$ 
  for  $f :: \text{complex} \Rightarrow \text{complex}$ 
proof -
  {
    fix  $x$ 
    assume bounded-clinear  $f$ 
    then interpret bounded-clinear  $f$  .
    from scaleC[of  $x$  1] have  $f x = x * f 1$ 
      by simp
  }
  then show ?thesis
    by (auto intro: exI[of -  $f 1$ ] bounded-clinear-mult-left)
qed

```

### 6.9.1 Limits of Sequences

### 6.10 Cauchy sequences

```

lemma cCauchy-iff2: Cauchy  $X \longleftrightarrow (\forall j. (\exists M. \forall m \geq M. \forall n \geq M. \text{cmod} (X m - X n) < \text{inverse} (\text{real} (\text{Suc } j))))$ 
  by (simp only: metric-Cauchy-iff2 dist-complex-def)

```

### 6.11 The set of complex numbers is a complete metric space

Proof that Cauchy sequences converge based on the one from <http://pirate.shu.edu/~wachsmut/ira/numseq/proofs/cauconv.html>

If sequence  $X$  is Cauchy, then its limit is the lub of  $\{r. \exists N. \forall n \geq N. r < X_n\}$

```

lemma complex-increasing-LIMSEQ:
  fixes  $f :: \text{nat} \Rightarrow \text{complex}$ 

```

```

assumes inc:  $\bigwedge n. f n \leq f (\text{Suc } n)$ 
and bdd:  $\bigwedge n. f n \leq l$ 
and en:  $\bigwedge e. 0 < e \implies \exists n. l \leq f n + e$ 
shows  $f \longrightarrow l$ 
proof -
have  $\langle(\lambda n. \text{Re } (f n)) \longrightarrow \text{Re } l\rangle$ 
apply (rule increasing-LIMSEQ)
using assms apply (auto simp: less-eq-complex-def less-complex-def)
by (metis Im-complex-of-real Re-complex-of-real)
moreover have  $\langle\text{Im } (f n) = \text{Im } l\rangle$  for n
using bdd by (auto simp: less-eq-complex-def)
then have  $\langle(\lambda n. \text{Im } (f n)) \longrightarrow \text{Im } l\rangle$ 
by auto
ultimately show  $\langle f \longrightarrow l\rangle$ 
by (simp add: tendsto-complex-iff)
qed

lemma complex-Cauchy-convergent:
fixes X :: nat  $\Rightarrow$  complex
assumes X: Cauchy X
shows convergent X
using assms by (rule Cauchy-convergent)

instance complex :: complete-space
by intro-classes (rule complex-Cauchy-convergent)

class cbanach = complex-normed-vector + complete-space

subclass (in cbanach) banach ..

instance complex :: banach ..

end

```

## 7 Complex-Vector-Spaces – Complex Vector Spaces

```

theory Complex-Vector-Spaces
imports
HOL-Analysis.Elementary-Topology
HOL-Analysis.Operator-Norm
HOL-Analysis.Elementary-Normed-Spaces
HOL-Library.Set-Algebras
HOL-Analysis.Starlike
HOL-Types-To-Sets.Types-To-Sets

```

*HOL-Library.Complemented-Lattices*  
*HOL-Library.Function-Algebras*

*Extra-Vector-Spaces*  
*Extra-Ordered-Fields*  
*Extra-Operator-Norm*  
*Extra-General*

*Complex-Vector-Spaces0*  
**begin**

**bundle** *norm-syntax* **begin**  
**notation** *norm* ( $\langle \parallel - \parallel \rangle$ )  
**end**

**unbundle** *lattice-syntax*

## 7.1 Misc

**lemma** (in *vector-space*) *span-image-scale'*:

— Strengthening of *vector-space.span-image-scale* without the condition *finite S*

**assumes** *nz*:  $\bigwedge x. x \in S \implies c x \neq 0$

**shows** *span* (( $\lambda x. c x * s x$ ) '*S*) = *span S*

**proof**

**have**  $\langle (\lambda x. c x * s x) ' S \rangle \subseteq \text{span } S$

**by** (metis (mono-tags, lifting) *image-subsetI* *in-mono local.span-superset local.subspace-scale local.subspace-span*)

**then show**  $\langle \text{span} ((\lambda x. c x * s x) ' S) \rangle \subseteq \text{span } S$

**by** (simp add: *local.span-minimal*)

**next**

**have**  $\langle x \in \text{span} ((\lambda x. c x * s x) ' S) \rangle$  **if**  $\langle x \in S \rangle$  **for** *x*

**proof** —

**have**  $\langle x = \text{inverse}(c x) * s c x * s x \rangle$

**by** (simp add: *nz that*)

**moreover have**  $\langle c x * s x \in (\lambda x. c x * s x) ' S \rangle$

**using that by blast**

**ultimately show** ?thesis

**by** (metis *local.span-base local.span-scale*)

**qed**

**then show**  $\langle \text{span } S \subseteq \text{span} ((\lambda x. c x * s x) ' S) \rangle$

**by** (simp add: *local.span-minimal subsetI*)

**qed**

**lemma** (in *scaleC*) *scaleC-real*: **assumes**  $r \in \mathbb{R}$  **shows**  $r *_C x = R e r *_R x$   
**unfolding** *scaleR-scaleC* **using** *assms* **by** *simp*

**lemma** *of-complex-of-real-eq* [simp]: *of-complex* (*of-real n*) = *of-real n*  
**unfolding** *of-complex-def of-real-def* **unfolding** *scaleR-scaleC* **by** *simp*

```

lemma Complexs-of-real [simp]: of-real r ∈ ℂ
  unfolding Complexs-def of-real-def of-complex-def
  apply (subst scaleR-scaleC) by simp

lemma Reals-in-Complexs: ℝ ⊆ ℂ
  unfolding Reals-def by auto

lemma (in bounded-clinear) bounded-linear: bounded-linear f
  by standard

lemma clinear-times: clinear (λx. c * x)
  for c :: 'a::complex-algebra
  by (auto simp: clinearI distrib-left)

lemma (in clinear) linear: ⟨linear f⟩
  by standard

lemma bounded-clinearI:
  assumes ⟨∀b1 b2. f (b1 + b2) = f b1 + f b2⟩
  assumes ⟨∀r b. f (r *C b) = r *C f b⟩
  assumes ⟨∀x. norm (f x) ≤ norm x * K⟩
  shows bounded-clinear f
  using assms by (auto intro!: exI bounded-clinear.intro clinearI simp: bounded-clinear-axioms-def)

lemma bounded-clinear-id[simp]: ⟨bounded-clinear id⟩
  by (simp add: id-def)

lemma bounded-clinear-0[simp]: ⟨bounded-clinear 0⟩
  by (auto intro!: bounded-clinearI[where K=0])

definition cbilinear :: ⟨('a::complex-vector ⇒ 'b::complex-vector ⇒ 'c::complex-vector)
  ⇒ bool⟩
  where ⟨cbilinear = (λ f. (forall y. clinear (λ x. f x y)) ∧ (forall x. clinear (λ y. f x y)))⟩

lemma cbilinear-add-left:
  assumes ⟨cbilinear f⟩
  shows ⟨f (a + b) c = f a c + f b c⟩
  by (smt (verit, del-insts) assms cbilinear-def complex-vector.linear-add)

lemma cbilinear-add-right:
  assumes ⟨cbilinear f⟩
  shows ⟨f a (b + c) = f a b + f a c⟩
  by (smt (verit, del-insts) assms cbilinear-def complex-vector.linear-add)

lemma cbilinear-times:
  fixes g' :: ⟨'a::complex-vector ⇒ complex⟩ and g :: ⟨'b::complex-vector ⇒ complex⟩
  assumes ⟨∀ x y. h x y = (g' x)*(g y)⟩ and ⟨clinear g⟩ and ⟨clinear g'⟩

```

```

shows ⟨cbilinear h⟩
proof –
  have w1:  $h(b1 + b2) y = h b1 y + h b2 y$ 
    for b1 :: 'a
      and b2 :: 'a
      and y
  proof–
    have ⟨ $h(b1 + b2) y = g'(b1 + b2) * g y$ ⟩
      using ⟨ $\bigwedge x y. h x y = (g' x) * (g y)$ ⟩
      by auto
    also have ⟨... =  $(g' b1 + g' b2) * g y$ ⟩
      using ⟨clinear g'⟩
      unfolding clinear-def
      by (simp add: assms(3) complex-vector.linear-add)
    also have ⟨... =  $g' b1 * g y + g' b2 * g y$ ⟩
      by (simp add: ring-class.ring-distrib(2))
    also have ⟨... =  $h b1 y + h b2 y$ ⟩
      using assms(1) by auto
    finally show ?thesis by blast
  qed
  have w2:  $h(r *_C b) y = r *_C h b y$ 
    for r :: complex
      and b :: 'a
      and y
  proof–
    have ⟨ $h(r *_C b) y = g'(r *_C b) * g y$ ⟩
      by (simp add: assms(1))
    also have ⟨... =  $r *_C (g' b * g y)$ ⟩
      by (simp add: assms(3) complex-vector.linear-scale)
    also have ⟨... =  $r *_C (h b y)$ ⟩
      by (simp add: assms(1))
    finally show ?thesis by blast
  qed
  have clinear (λx. h x y)
    for y :: 'b
    unfolding clinear-def
    by (meson clinearI clinear-def w1 w2)
  hence t2: ∀y. clinear (λx. h x y)
    by simp
  have v1:  $h x (b1 + b2) = h x b1 + h x b2$ 
    for b1 :: 'b
      and b2 :: 'b
      and x
  proof–
    have ⟨ $h x (b1 + b2) = g' x * g(b1 + b2)$ ⟩
      using ⟨ $\bigwedge x y. h x y = (g' x) * (g y)$ ⟩
      by auto
    also have ⟨... =  $g' x * (g b1 + g b2)$ ⟩
      using ⟨clinear g'⟩

```

```

unfolding clinear-def
  by (simp add: assms(2) complex-vector.linear-add)
also have <... = g' x * g b1 + g' x * g b2>
  by (simp add: ring-class.ring-distrib(1))
also have <... = h x b1 + h x b2>
  using assms(1) by auto
finally show ?thesis by blast
qed

have v2: h x (r *C b) = r *C h x b
  for r :: complex
    and b :: 'b
    and x
proof-
  have <h x (r *C b) = g' x * g (r *C b)>
    by (simp add: assms(1))
  also have <... = r *C (g' x * g b)>
    by (simp add: assms(2) complex-vector.linear-scale)
  also have <... = r *C (h x b)>
    by (simp add: assms(1))
  finally show ?thesis by blast
qed

have Vector-Spaces.linear (*C) (*C) (h x)
  for x :: 'a
  using v1 v2
  by (meson clinearI clinear-def)
hence t1:  $\forall x. \text{clinear}(h x)$ 
  unfolding clinear-def
  by simp
  show ?thesis
  unfolding cbilinear-def
  by (simp add: t1 t2)
qed

lemma csubspace-is-subspace: csubspace A  $\implies$  subspace A
  apply (rule subspaceI)
  by (auto simp: complex-vector.subspace-def scaleR-scaleC)

lemma span-subset-cspan: span A  $\subseteq$  cspan A
  unfolding span-def complex-vector.span-def
  by (simp add: csubspace-is-subspace hull-antimono)

lemma cindependent-implies-independent:
  assumes cindependent (S::'a::complex-vector set)
  shows independent S
  using assms unfolding dependent-def complex-vector.dependent-def
  using span-subset-cspan by blast

```

**lemma** *cspan-singleton*:  $cspan \{x\} = \{\alpha *_C x \mid \alpha. \text{True}\}$

**proof** –

```

have ⟨ $cspan \{x\} = \{y. y \in cspan \{x\}\}$ ⟩
  by auto
also have ⟨ $\dots = \{\alpha *_C x \mid \alpha. \text{True}\}$ ⟩
  apply (subst complex-vector.span-breakdown-eq)
  by auto
finally show ?thesis
  by –

```

**qed**

**lemma** *cspan-as-span*:

$cspan (B :: 'a :: complex-vector set) = span (B \cup scaleC i ` B)$

**proof** (intro set-eqI iffI)

```

let ?cspan = complex-vector.span
let ?rspan = real-vector.span
fix  $\psi$ 

```

**assume**  $cspan: \psi \in ?cspan B$

**have**  $\exists B' r. \text{finite } B' \wedge B' \subseteq B \wedge \psi = (\sum b \in B'. r b *_C b)$

**using** complex-vector.span-explicit[of  $B$ ] *cspan*

**by** auto

**then obtain**  $B' r$  **where**  $\text{finite } B'$  **and**  $B' \subseteq B$  **and**  $\psi\text{-explicit}$ :  $\psi = (\sum b \in B'. r b *_C b)$

**by** atomize-elim

**define**  $R$  **where**  $R = B \cup scaleC i ` B$

**have**  $x2: (\text{case } x \text{ of } (b, i) \Rightarrow \text{if } i$

**then**  $Im (r b) *_R i *_C b$

**else**  $Re (r b) *_R b \in span (B \cup (*_C i ` B))$

**if**  $x \in B' \times (\text{UNIV} :: \text{bool set})$

**for**  $x :: 'a \times \text{bool}$

**using** that  $\langle B' \subseteq B \rangle$  **by** (auto simp add: real-vector.span-base real-vector.span-scale subset-iff)

**have**  $x1: \psi = (\sum x \in B'. \sum i \in \text{UNIV}. \text{if } i \text{ then } Im (r x) *_R i *_C x \text{ else } Re (r x) *_R x)$

**if**  $\bigwedge b. r b *_C b = Re (r b) *_R b + Im (r b) *_R i *_C b$

**using** that **by** (simp add: UNIV-bool  $\psi\text{-explicit}$ )

**moreover have**  $r b *_C b = Re (r b) *_R b + Im (r b) *_R i *_C b$  **for**  $b$

**using** complex-eq scaleC-add-left scaleC-scaleC scaleR-scaleC

**by** (metis (no-types, lifting) complex-of-real-i i-complex-of-real)

**ultimately have**  $\psi = (\sum (b, i) \in (B' \times \text{UNIV}). \text{if } i \text{ then } Im (r b) *_R (i *_C b) \text{ else } Re (r b) *_R b)$

**by** (simp add: sum.cartesian-product)

**also have**  $\dots \in ?rspan R$

**unfolding** *R-def*

**using** *x2*

**by** (rule real-vector.span-sum)

**finally show**  $\psi \in ?rspan R$  **by** –

```

next
  let ?cspan = complex-vector.span
  let ?rspan = real-vector.span
  define R where R = B ∪ scaleC i ` B
  fix ψ
  assume rspan: ψ ∈ ?rspan R
  have subspace {a. a ∈ cspan B}
    by (rule real-vector.subspaceI, auto simp add: complex-vector.span-zero
         complex-vector.span-add-eq2 complex-vector.span-scale scaleR-scaleC)
  moreover have x ∈ cspan B
    if x ∈ R
    for x :: 'a
      using that R-def complex-vector.span-base complex-vector.span-scale by fast-
    force
    ultimately show ψ ∈ ?cspan B
    using real-vector.span-induct rspan by blast
  qed

lemma isomorphic-equal-cdim:
  assumes lin-f: ⟨clinear f⟩
  assumes inj-f: ⟨inj-on f (cspan S)⟩
  assumes im-S: ⟨f ` S = T⟩
  shows ⟨cdim S = cdim T⟩
  proof –
    obtain SB where SB-span: cspan SB = cspan S and indep-SB: ⟨c-independent
    SB⟩
      by (metis complex-vector.basis-exists complex-vector.span-mono complex-vector.span-span
            subset-antisym)
    with lin-f inj-f have indep-fSB: ⟨c-independent (f ` SB)⟩
      apply (rule-tac complex-vector.linear-independent-injective-image)
      by auto
    from lin-f have ⟨cspan (f ` SB) = f ` cspan SB⟩
      by (meson complex-vector.linear-span-image)
    also from SB-span lin-f have ⟨... = cspan T⟩
      by (metis complex-vector.linear-span-image im-S)
    finally have ⟨cdim T = card (f ` SB)⟩
      using indep-fSB complex-vector.dim-eq-card by blast
    also have ⟨... = card SB⟩
      apply (rule card-image) using inj-f
      by (metis SB-span complex-vector.linear-inj-on-span-iff-independent-image in-
            dep-fSB lin-f)
    also have ⟨... = cdim S⟩
      using indep-SB SB-span
      by (metis complex-vector.dim-eq-card)
    finally show ?thesis by simp
  qed

```

```

lemma c-independent-inter-scaleC-c-independent:
  assumes a1: c-independent (B::'a::complex-vector set) and a3: c ≠ 1
  shows B ∩ (*C) c ‘ B = {}
  proof (rule classical, cases `c = 0`)
    case True
    then show ?thesis
      using a1 by (auto simp add: complex-vector.dependent-zero)
  next
    case False
    assume ¬(B ∩ (*C) c ‘ B = {})
    hence B ∩ (*C) c ‘ B ≠ {}
      by blast
    then obtain x where u1: x ∈ B ∩ (*C) c ‘ B
      by blast
    then obtain b where u2: x = b and u3: b ∈ B
      by blast
    then obtain b' where u2': x = c *C b' and u3': b' ∈ B
      using u1
      by blast
    have g1: b = c *C b'
      using u2 and u2' by simp
    hence b ∈ complex-vector.span {b'}
      using False
      by (simp add: complex-vector.span-base complex-vector.span-scale)
    hence b = b'
      by (metis u3' a1 complex-vector.dependent-def complex-vector.span-base
            complex-vector.span-scale insertE insert-Diff u2 u2' u3)
    hence b' = c *C b'
      using g1 by blast
    thus ?thesis
      by (metis a1 a3 complex-vector.dependent-zero complex-vector.scale-right-imp-eq
            mult-cancel-right2 scaleC-scaleC u3')
  qed

```

```

lemma real-independent-from-complex-independent:
  assumes c-independent (B::'a::complex-vector set)
  defines B' == ((*C) i ‘ B)
  shows independent (B ∪ B')
  proof (rule notI)
    assume `dependent (B ∪ B)`
    then obtain T f0 x where [simp]: `finite T` and `T ⊆ B ∪ B'` and f0-sum:
      `((∑ v ∈ T. f0 v *R v) = 0)`
      and x: `x ∈ T` and f0-x: `f0 x ≠ 0`
      by (auto simp: real-vector.dependent-explicit)
    define f T1 T2 T' f' x' where `f v = (if v ∈ T then f0 v else 0)`
      and `T1 = T ∩ B` and `T2 = scaleC (-i) ‘ (T ∩ B')`
      and `T' = T1 ∪ T2` and `f' v = f v + i * f (i *C v)`
      and `x' = (if x ∈ T1 then x else -i *C x)` for v
    have `B ∩ B' = {}`

```

```

    by (simp add: assms c-independent-inter-scaleC-c-independent)
have ‹T' ⊆ B›
  by (auto simp: T'-def T1-def T2-def B'-def)
have [simp]: ‹finite T'› ‹finite T1› ‹finite T2›
  by (auto simp add: T'-def T1-def T2-def)
have f-sum: ‹(∑ v∈T. f v *R v) = 0›
  unfolding f-def using f0-sum by auto
have f-x: ‹f x ≠ 0›
  using f0-x x by (auto simp: f-def)
have f'-sum: ‹(∑ v∈T'. f' v *C v) = 0›
proof -
  have ‹(∑ v∈T'. f' v *C v) = (∑ v∈T'. complex-of-real (f v) *C v) + (∑ v∈T'.
  (i * complex-of-real (f (i *C v))) *C v)›
    by (auto simp: f'-def sum.distrib scaleC-add-left)
  also have ‹(∑ v∈T'. complex-of-real (f v) *C v) = (∑ v∈T1. f v *R v)› (is ‹-
  = ?left›)
    apply (auto simp: T'-def scaleR-scaleC intro!: sum.mono-neutral-cong-right)
    using T'-def T1-def ‹T' ⊆ B› f-def by auto
  also have ‹(∑ v∈T'. (i * complex-of-real (f (i *C v))) *C v) = (∑ v∈T2. (i *
  complex-of-real (f (i *C v))) *C v)› (is ‹- = ?right›)
    apply (auto simp: T'-def intro!: sum.mono-neutral-cong-right)
    by (smt (z3) B'-def IntE IntI T1-def T2-def ‹f ≡ λv. if v ∈ T then f0
    v else 0› add.inverse-inverse complex-vector.vector-space-axioms i-squared imageI
    mult-minus-left vector-space.vector-space-assms(3) vector-space.vector-space-assms(4))
  also have ‹?right = (∑ v∈T∩B'. f v *R v)› (is ‹- = ?right›)
    apply (rule sum.reindex-cong[symmetric, where l=⟨scaleC i⟩])
    apply (auto simp: T2-def image-image scaleR-scaleC)
    using inj-on-def by fastforce
  also have ‹?left + ?right = (∑ v∈T. f v *R v)›
    apply (subst sum.union-disjoint[symmetric])
    using ‹B ∩ B' = {}› ‹T ⊆ B ∪ B'› apply (auto simp: T1-def)
    by (metis Int-Un-distrib Un-Int-eq(4) sup.absorb-iff1)
  also have ‹... = 0›
    by (rule f-sum)
  finally show ?thesis
    by -
qed

have x': ‹x' ∈ T'›
  using ‹T ⊆ B ∪ B'› x by (auto simp: x'-def T'-def T1-def T2-def)

have f'-x': ‹f' x' ≠ 0›
  using Complex-eq Complex-eq-0 f'-def f-x x'-def by auto

from ‹finite T'› ‹T' ⊆ B› f'-sum x' f'-x'
have c-dependent B›
  using complex-vector.independent-explicit-module by blast
with assms show False
  by auto

```

**qed**

**lemma** *crepresentation-from-representation*:

assumes *a1: cindependent B and a2: b ∈ B and a3: finite B*

shows *crepresentation B ψ b = (representation (B ∪ (\*<sub>C</sub>) i ‘ B) ψ b)*  
*+ i \*<sub>C</sub> (representation (B ∪ (\*<sub>C</sub>) i ‘ B) ψ (i \*<sub>C</sub> b))*

**proof** (cases  $\psi \in \text{cspan } B$ )

define  $B'$  where  $B' = B \cup (*_C) i ' B$

case *True*

define  $r$  where  $r v = \text{real-vector.representation } B' \psi v \text{ for } v$

define  $r'$  where  $r' v = \text{real-vector.representation } B' \psi (i *_C v) \text{ for } v$

define  $f$  where  $f v = r v + i *_C r' v \text{ for } v$

define  $g$  where  $g v = \text{crepresentation } B \psi v \text{ for } v$

have  $(\sum v | g v \neq 0. g v *_C v) = \psi$

unfolding  $g\text{-def}$

using *Collect-cong Collect-mono-iff DiffD1 DiffD2 True a1*  
*complex-vector.finite-representation*  
*complex-vector.sum-nonzero-representation-eq sum.mono-neutral-cong-left*  
by *fastforce*

moreover have *finite {v. g v ≠ 0}*

unfolding  $g\text{-def}$

by (*simp add: complex-vector.finite-representation*)

moreover have  $v \in B$

if  $g v \neq 0$  for  $v$

using that unfolding  $g\text{-def}$

by (*simp add: complex-vector.representation-ne-zero*)

ultimately have *rep1:  $(\sum v \in B. g v *_C v) = \psi$*

unfolding  $g\text{-def}$

using *a3 True a1 complex-vector.sum-representation-eq* by *blast*

have *l0': inj ((\*<sub>C</sub>) i::'a ⇒ 'a)*

unfolding *inj-def*

by *simp*

have *l0: inj ((\*<sub>C</sub>) (- i)::'a ⇒ 'a)*

unfolding *inj-def*

by *simp*

have *l1: (\*<sub>C</sub>) (- i) ‘ B ∩ B = {}*

using *c-independent-inter-scale C-c-independent[where B=B and c=-i]*  
by (*metis Int-commute a1 add.inverse-inverse complex-i-not-one i-squared mult-cancel-left1 neg-equal-0-iff-equal*)

have *l2: B ∩ (\*<sub>C</sub>) i ‘ B = {}*

by (*simp add: a1 c-independent-inter-scale C-c-independent*)

have *rr1: r (i \*\_C v) = r' v* for  $v$

unfolding *r-def r'-def*

by *simp*

have *k1: independent B'*

unfolding *B'-def* using *a1 real-independent-from-complex-independent* by *simp*

have  $\psi \in \text{span } B'$

using *B'-def True cspan-as-span* by *blast*

```

have  $v \in B'$ 
  if  $r v \neq 0$ 
  for  $v$ 
  unfolding  $r\text{-def}$ 
  using  $r\text{-def real-vector.representation-ne-zero that by auto}$ 
have  $\text{finite } B'$ 
  unfolding  $B'\text{-def}$  using  $a3$ 
  by  $\text{simp}$ 
have  $(\sum_{v \in B'} r v *_R v) = \psi$ 
  unfolding  $r\text{-def}$ 
  using  $\text{True Real-Vector-Spaces.real-vector.sum-representation-eq[where } B = B' \text{ and basis} = B'$ 
  and  $v = \psi]$ 
  by  $(\text{smt Real-Vector-Spaces.dependent-raw-def } \langle \psi \in \text{Real-Vector-Spaces.span } B' \rangle \langle \text{finite } B' \rangle$ 
  equalityD2 k1)
have  $d1: (\sum_{v \in B} r (i *_C v) *_R (i *_C v)) = (\sum_{v \in (*_C)} i ' B. r v *_R v)$ 
  using  $l0'$ 
  by  $(\text{metis (mono-tags, lifting) inj-eq inj-on-def sum.reindex-cong})$ 
have  $(\sum_{v \in B} (r v + i * (r' v)) *_C v) = (\sum_{v \in B} r v *_C v + (i * r' v) *_C v)$ 
  by  $(\text{meson scaleC-left.add})$ 
also have  $\dots = (\sum_{v \in B} r v *_C v) + (\sum_{v \in B} (i * r' v) *_C v)$ 
  using  $\text{sum.distrib}$  by  $\text{fastforce}$ 
also have  $\dots = (\sum_{v \in B} r v *_C v) + (\sum_{v \in B} i *_C (r' v *_C v))$ 
  by  $\text{auto}$ 
also have  $\dots = (\sum_{v \in B} r v *_R v) + (\sum_{v \in B} i *_C (r (i *_C v) *_R v))$ 
  unfolding  $r'\text{-def } r\text{-def}$ 
  by  $(\text{metis (mono-tags, lifting) scaleR-scaleC sum.cong})$ 
also have  $\dots = (\sum_{v \in B} r v *_R v) + (\sum_{v \in B} r (i *_C v) *_R (i *_C v))$ 
  by  $(\text{metis (no-types, lifting) complex-vector.scale-left-commute scaleR-scaleC})$ 
also have  $\dots = (\sum_{v \in B} r v *_R v) + (\sum_{v \in (*_C)} i ' B. r v *_R v)$ 
  using  $d1$ 
  by  $\text{simp}$ 
also have  $\dots = \psi$ 
  using  $l2 \langle (\sum_{v \in B'} r v *_R v) = \psi \rangle$ 
  unfolding  $B'\text{-def}$ 
  by  $(\text{simp add: } a3 \text{ sum.union-disjoint})$ 
finally have  $(\sum_{v \in B} f v *_C v) = \psi$  unfolding  $r'\text{-def } r\text{-def } f\text{-def}$  by  $\text{simp}$ 
hence  $0 = (\sum_{v \in B} f v *_C v) - (\sum_{v \in B} \text{crepresentation } B \psi v *_C v)$ 
  using  $\text{rep1}$ 
  unfolding  $g\text{-def}$ 
  by  $\text{simp}$ 
also have  $\dots = (\sum_{v \in B} f v *_C v - \text{crepresentation } B \psi v *_C v)$ 
  by  $(\text{simp add: } \text{sum-subtractf})$ 
also have  $\dots = (\sum_{v \in B} (f v - \text{crepresentation } B \psi v) *_C v)$ 
  by  $(\text{metis scaleC-left.diff})$ 
finally have  $0 = (\sum_{v \in B} (f v - \text{crepresentation } B \psi v) *_C v)$ .
hence  $(\sum_{v \in B} (f v - \text{crepresentation } B \psi v) *_C v) = 0$ 
  by  $\text{simp}$ 

```

```

hence  $f b - crepresentation B \psi b = 0$ 
  using a1 a2 a3 complex-vector.independentD[where  $s = B$  and  $t = B$ 
    and  $u = \lambda v. f v - crepresentation B \psi v$  and  $v = b$ ]
    order-refl by smt
hence  $crepresentation B \psi b = f b$ 
  by simp
thus ?thesis unfolding f-def r-def r'-def B'-def by auto
next
define  $B'$  where  $B' = B \cup (*_C) i ' B$ 
case False
have b2:  $\psi \notin real-vector.span B'$ 
  unfolding B'-def
  using False cspan-as-span by auto
have  $\psi \notin complex-vector.span B$ 
  using False by blast
have  $crepresentation B \psi b = 0$ 
  unfolding complex-vector.representation-def
  by (simp add: False)
moreover have  $real-vector.representation B' \psi b = 0$ 
  unfolding real-vector.representation-def
  by (simp add: b2)
moreover have  $real-vector.representation B' \psi ((*_C) i b) = 0$ 
  unfolding real-vector.representation-def
  by (simp add: b2)
ultimately show ?thesis unfolding B'-def by simp
qed

```

```

lemma CARD-1-vec-0[simp]:  $\langle(\psi :: - :: \{complex-vector, CARD-1\}) = 0\rangle$ 
  by auto

```

```

lemma scaleC-cindependent:
  assumes a1:  $c$ independent ( $B::'a::complex-vector set$ ) and a3:  $c \neq 0$ 
  shows  $c$ independent ( $(*_C) c ' B$ )
proof-
  have  $u y = 0$ 
    if g1:  $y \in S$  and g2:  $(\sum x \in S. u x *_C x) = 0$  and g3:  $finite S$  and g4:  $S \subseteq (*_C)$ 
    c ' B
    for  $u y S$ 
  proof-
    define  $v$  where  $v x = u (c *_C x)$  for  $x$ 
    obtain  $S'$  where  $S' \subseteq B$  and  $S-S': S = (*_C) c ' S'$ 
      by (meson g4 subset-imageE)
    have inj  $((*_C) c::'a \Rightarrow -)$ 
      unfolding inj-def
      using a3 by auto
    hence finite  $S'$ 
      using S-S' finite-imageD g3 subset-inj-on by blast
  qed
qed

```

```

have  $t \in (*_C) (\text{inverse } c) ` S$ 
  if  $t \in S'$  for  $t$ 
proof-
  have  $c *_C t \in S$ 
    using  $\langle S = (*_C) c ` S' \rangle$  that by blast
  hence  $(\text{inverse } c) *_C (c *_C t) \in (*_C) (\text{inverse } c) ` S$ 
    by blast
  moreover have  $(\text{inverse } c) *_C (c *_C t) = t$ 
    by (simp add: a3)
  ultimately show ?thesis by simp
qed
moreover have  $t \in S'$ 
  if  $t \in (*_C) (\text{inverse } c) ` S$  for  $t$ 
proof-
  obtain  $t'$  where  $t = (\text{inverse } c) *_C t'$  and  $t' \in S$ 
    using  $\langle t \in (*_C) (\text{inverse } c) ` S \rangle$  by auto
  have  $c *_C t = c *_C ((\text{inverse } c) *_C t')$ 
    using  $\langle t = (\text{inverse } c) *_C t' \rangle$  by simp
  also have ... =  $(c * (\text{inverse } c)) *_C t'$ 
    by simp
  also have ... =  $t'$ 
    by (simp add: a3)
  finally have  $c *_C t = t'$ .
  thus ?thesis using  $\langle t' \in S \rangle$ 
    using  $\langle S = (*_C) c ` S' \rangle$  a3 complex-vector.scale-left-imp-eq by blast
qed
ultimately have  $S' = (*_C) (\text{inverse } c) ` S$ 
  by blast
hence  $\text{inverse } c *_C y \in S'$ 
  using that(1) by blast
have  $t: \text{inj} (((*_C) c) :: 'a \Rightarrow -)$ 
  using a3 complex-vector.injective-scale[where  $c = c$ ]
  by blast
have  $0 = (\sum x \in (*_C) c ` S'. u x *_C x)$ 
  using  $\langle S = (*_C) c ` S' \rangle$  that(2) by auto
also have ... =  $(\sum x \in S'. v x *_C (c *_C x))$ 
  unfolding v-def
  using t Groups-Big.comm-monoid-add-class.sum.reindex[where  $h = ((*_C) c)$ 
and  $A = S'$ 
  and  $g = \lambda x. u x *_C x$ ] subset-inj-on by auto
also have ... =  $c *_C (\sum x \in S'. v x *_C x)$ 
  by (metis (mono-tags, lifting) complex-vector.scale-left-commute scaleC-right.sum
sum.cong)
finally have  $0 = c *_C (\sum x \in S'. v x *_C x)$ .
hence  $(\sum x \in S'. v x *_C x) = 0$ 
  using a3 by auto
hence  $v (\text{inverse } c *_C y) = 0$ 
  using ⟨inverse c *_C y ∈ S'⟩ ⟨finite S'⟩ ⟨S' ⊆ B⟩ a1
  complex-vector.independentD

```

```

    by blast
  thus  $u \cdot y = 0$ 
    unfolding  $v\text{-def}$ 
    by (simp add: a3)
qed
thus ?thesis
  using complex-vector.dependent-explicit
  by (simp add: complex-vector.dependent-explicit )
qed

lemma cspan-eqI:
  assumes  $\bigwedge a. a \in A \implies a \in \text{cspan } B$ 
  assumes  $\bigwedge b. b \in B \implies b \in \text{cspan } A$ 
  shows  $\text{cspan } A = \text{cspan } B$ 
  apply (rule complex-vector.span-subspace[rotated])
  apply (rule complex-vector.span-minimal)
  using assms by auto

lemma (in bounded-cbilinear) bounded-bilinear[simp]: bounded-bilinear prod
  by standard

lemma norm-scaleC-sgn[simp]:  $\langle \text{complex-of-real} (\text{norm } \psi) *_C \text{sgn } \psi = \psi \rangle$  for  $\psi$ 
:: 'a::complex-normed-vector
apply (cases  $\psi = 0$ )
by (auto simp: sgn-div-norm scaleR-scaleC)

lemma scaleC-of-complex[simp]:  $\langle \text{scaleC } x \text{ (of-complex } y) = \text{of-complex } (x * y) \rangle$ 
unfolding of-complex-def using scaleC-scaleC by blast

lemma bounded-clinear-inv:
  assumes [simp]:  $\langle \text{bounded-clinear } f \rangle$ 
  assumes  $b: \langle b > 0 \rangle$ 
  assumes bound:  $\bigwedge x. \text{norm } (f x) \geq b * \text{norm } x$ 
  assumes  $\langle \text{surj } f \rangle$ 
  shows  $\langle \text{bounded-clinear } (\text{inv } f) \rangle$ 
proof (rule bounded-clinear-intro)
  fix  $x y :: 'b$  and  $r :: \text{complex}$ 
  define  $x' y'$  where  $\langle x' = \text{inv } f x \rangle$  and  $\langle y' = \text{inv } f y \rangle$ 
  have [simp]:  $\langle \text{clinear } f \rangle$ 
    by (simp add: bounded-clinear.clinear)
  have [simp]:  $\langle \text{inj } f \rangle$ 
  proof (rule injI)
    fix  $x y$  assume  $\langle f x = f y \rangle$ 
    then have  $\langle \text{norm } (f (x - y)) = 0 \rangle$ 
      by (simp add: complex-vector.linear-diff)
    with bound  $b$  have  $\langle \text{norm } (x - y) = 0 \rangle$ 
      by (metis linorder-not-le mult-le-0-iff nle-le norm-ge-zero)
    then show  $\langle x = y \rangle$ 
      by simp
  qed
qed

```

```

qed

from <surj f>
have [simp]: <x = f x'> <y = f y'>
  by (simp-all add: surj-f-inv-f x'-def y'-def)
show inv f (x + y) = inv f x + inv f y
  by (simp flip: complex-vector.linear-add)
show inv f (r *C x) = r *C inv f x
  by (simp flip: clinear.scaleC)
from bound have b * norm (inv f x) ≤ norm x
  by (simp flip: clinear.scaleC)
with b show norm (inv f x) ≤ norm x * inverse b
  by (smt (verit, ccfv-threshold) left-inverse mult.commute mult-cancel-right1
mult-le-cancel-left-pos vector-space-over-itself.scale-scale)
qed

lemma range-is-csubspace[simp]:
assumes a1: clinear f
shows csubspace (range f)
using assms complex-vector.linear-subspace-image complex-vector.subspace-UNIV
by blast

lemma csubspace-is-convex[simp]:
assumes a1: csubspace M
shows convex M
proof-
  have <∀ x∈M. ∀ y∈ M. ∀ u. ∀ v. u *C x + v *C y ∈ M>
    using a1
    by (simp add: complex-vector.subspace-def)
  hence <∀ x∈M. ∀ y∈ M. ∀ u::real. ∀ v::real. u *R x + v *R y ∈ M>
    by (simp add: scaleR-scaleC)
  hence <∀ x∈M. ∀ y∈ M. ∀ u≥0. ∀ v≥0. u + v = 1 → u *R x + v *R y ∈ M>
    by blast
  thus ?thesis using convex-def by blast
qed

lemma kernel-is-csubspace[simp]:
assumes a1: clinear f
shows csubspace (f -` {0})
by (simp add: assms complex-vector.linear-subspace-vimage)

lemma bounded-cbilinear-0[simp]: <bounded-cbilinear (λ- -. 0)>
  by (auto intro!: bounded-cbilinear.intro exI[where x=0])
lemma bounded-cbilinear-0'[simp]: <bounded-cbilinear 0>
  by (auto intro!: bounded-cbilinear.intro exI[where x=0])

lemma bounded-cbilinear-apply-bounded-clinear: <bounded-clinear (f x)> if <bounded-cbilinear
f>
proof -

```

```

interpret f: bounded-cbilinear f
  by (fact that)
from f.bounded obtain K where <norm (f a b) ≤ norm a * norm b * K> for a
b
  by auto
then show ?thesis
  by (auto intro!: bounded-clinearI[where K=<norm x * K>]
      simp add: f.add-right f.scaleC-right mult.commute mult.left-commute)
qed

lemma clinear-scaleR[simp]: <clinear (scaleR x)>
  by (simp add: complex-vector.linear-scale-self scaleR-scaleC)

lemma abs-summable-on-scaleC-left [intro]:
  fixes c :: <'a :: complex-normed-vector>
  assumes c ≠ 0 ⟹ f abs-summable-on A
  shows (λx. f x *C c) abs-summable-on A
  apply (cases <c = 0>)
  apply simp
  by (auto intro!: summable-on-cmult-left assms simp: norm-scaleC)

lemma abs-summable-on-scaleC-right [intro]:
  fixes f :: <'a ⇒ 'b :: complex-normed-vector>
  assumes c ≠ 0 ⟹ f abs-summable-on A
  shows (λx. c *C f x) abs-summable-on A
  apply (cases <c = 0>)
  apply simp
  by (auto intro!: summable-on-cmult-right assms simp: norm-scaleC)

```

## 7.2 Antilinear maps and friends

```

locale antilinear = additive f for f :: 'a::complex-vector ⇒ 'b::complex-vector +
  assumes scaleC: f (scaleC r x) = cnj r *C f x

sublocale antilinear ⊆ linear
proof (rule linearI)
  show f (b1 + b2) = f b1 + f b2
    for b1 :: 'a
    and b2 :: 'a
    by (simp add: add)
  show f (r *R b) = r *R f b
    for r :: real
    and b :: 'a
    unfolding scaleR-scaleC by (subst scaleC, simp)
qed

lemma antilinear-imp-scaleC:
  fixes D :: complex ⇒ 'a::complex-vector

```

```

assumes antilinear D
obtains d where D = ( $\lambda x. \text{cnj } x *_C d$ )
proof -
  interpret clinear D o cnj
    apply standard apply auto
    apply (simp add: additive.add assms antilinear.axioms(1))
    using assms antilinear.scaleC by fastforce
  obtain d where D o cnj = ( $\lambda x. x *_C d$ )
    using clinear-axioms complex-vector.linear-imp-scale by blast
  then have <math>\langle D = (\lambda x. \text{cnj } x *_C d) \rangle</math>
    by (metis comp-apply complex-cnj-cnj)
  then show ?thesis
    by (rule that)
qed

corollary complex-antilinearD:
  fixes f :: complex  $\Rightarrow$  complex
  assumes antilinear f obtains c where f = ( $\lambda x. c * \text{cnj } x$ )
  by (rule antilinear-imp-scaleC [OF assms]) (force simp: scaleC-conv-of-complex)

lemma antilinearI:
  assumes  $\bigwedge x y. f(x + y) = f x + f y$ 
  and  $\bigwedge c x. f(c *_C x) = \text{cnj } c *_C f x$ 
  shows antilinear f
  by standard (rule assms)+

lemma antilinear-o-antilinear: antilinear f  $\Rightarrow$  antilinear g  $\Rightarrow$  clinear (g o f)
  apply (rule clinearI)
  apply (simp add: additive.add antilinear-def)
  by (simp add: antilinear.scaleC)

lemma clinear-o-antilinear: antilinear f  $\Rightarrow$  clinear g  $\Rightarrow$  antilinear (g o f)
  apply (rule antilinearI)
  apply (simp add: additive.add complex-vector.linear-add antilinear-def)
  by (simp add: complex-vector.linear-scale antilinear.scaleC)

lemma antilinear-o-clinear: clinear f  $\Rightarrow$  antilinear g  $\Rightarrow$  antilinear (g o f)
  apply (rule antilinearI)
  apply (simp add: additive.add complex-vector.linear-add antilinear-def)
  by (simp add: complex-vector.linear-scale antilinear.scaleC)

locale bounded-antilinear = antilinear f for f :: 'a::complex-normed-vector  $\Rightarrow$  'b::complex-normed-vector +
  assumes bounded:  $\exists K. \forall x. \text{norm } (f x) \leq \text{norm } x * K$ 

lemma bounded-antilinearI:
  assumes  $\langle \bigwedge b1 b2. f(b1 + b2) = f b1 + f b2 \rangle$ 
  assumes  $\langle \bigwedge r b. f(r *_C b) = \text{cnj } r *_C f b \rangle$ 
  assumes  $\langle \forall x. \text{norm } (f x) \leq \text{norm } x * K \rangle$ 

```

```

shows bounded-antilinear f
using assms by (auto intro!: exI bounded-antilinear.intro antilinearI simp: bounded-antilinear-axioms-def)

sublocale bounded-antilinear  $\subseteq$  real: bounded-linear
  — Gives access to all lemmas from Real-Vector-Spaces.linear using prefix real.
  apply standard by (fact bounded)

lemma (in bounded-antilinear) bounded-linear: bounded-linear f
  by (fact real.bounded-linear)

lemma (in bounded-antilinear) antilinear: antilinear f
  by (fact antilinear-axioms)

lemma bounded-antilinear-intro:
  assumes  $\bigwedge x y. f(x + y) = f x + f y$ 
  and  $\bigwedge r x. f(\text{scaleC } r x) = \text{scaleC } (\text{cnj } r) (f x)$ 
  and  $\bigwedge x. \text{norm } (f x) \leq \text{norm } x * K$ 
  shows bounded-antilinear f
  by standard (blast intro: assms)+

lemma bounded-antilinear-0[simp]: <bounded-antilinear ( $\lambda x. 0$ )>
  by (auto intro!: bounded-antilinearI[where K=0])

lemma bounded-antilinear-0'[simp]: <bounded-antilinear 0>
  by (auto intro!: bounded-antilinearI[where K=0])

lemma cnj-bounded-antilinear[simp]: bounded-antilinear cnj
  apply (rule bounded-antilinear-intro [where K = 1])
  by auto

lemma bounded-antilinear-o-bounded-antilinear:
  assumes bounded-antilinear f
  and bounded-antilinear g
  shows bounded-clinear ( $\lambda x. f(g x)$ )
proof
  interpret f: bounded-antilinear f by fact
  interpret g: bounded-antilinear g by fact
  fix b1 b2 b r
  show f(g(b1 + b2)) = f(g b1) + f(g b2)
    by (simp add: f.add g.add)
  show f(g(r *C b)) = r *C f(g b)
    by (simp add: f.scaleC g.scaleC)
  have bounded-linear ( $\lambda x. f(g x)$ )
    using f.bounded-linear g.bounded-linear by (rule bounded-linear-compose)
  then show  $\exists K. \forall x. \text{norm } (f(g x)) \leq \text{norm } x * K$ 
    by (rule bounded-linear.bounded)
qed

lemma bounded-antilinear-o-bounded-antilinear':

```

```

assumes bounded-antilinear f
  and bounded-antilinear g
shows bounded-clinear (g o f)
using assms by (simp add: o-def bounded-antilinear-o-bounded-antilinear)

lemma bounded-antilinear-o-bounded-clinear:
assumes bounded-antilinear f
  and bounded-clinear g
shows bounded-antilinear ( $\lambda x. f(gx)$ )
proof
interpret f: bounded-antilinear f by fact
interpret g: bounded-clinear g by fact
show f (g (x + y)) = f (g x) + f (g y) for x y
  by (simp only: f.add g.add)
show f (g (scaleC r x)) = scaleC (cnj r) (f (g x)) for r x
  by (simp add: f.scaleC g.scaleC)
have bounded-linear ( $\lambda x. f(gx)$ )
  using f.bounded-linear g.bounded-linear by (rule bounded-linear-compose)
then show  $\exists K. \forall x. \text{norm}(f(gx)) \leq \text{norm} x * K$ 
  by (rule bounded-linear.bounded)
qed

lemma bounded-antilinear-o-bounded-clinear':
assumes bounded-clinear f
  and bounded-antilinear g
shows bounded-antilinear (g o f)
using assms by (simp add: o-def bounded-antilinear-o-bounded-clinear)

lemma bounded-clinear-o-bounded-antilinear:
assumes bounded-clinear f
  and bounded-antilinear g
shows bounded-antilinear ( $\lambda x. f(gx)$ )
proof
interpret f: bounded-clinear f by fact
interpret g: bounded-antilinear g by fact
show f (g (x + y)) = f (g x) + f (g y) for x y
  by (simp only: f.add g.add)
show f (g (scaleC r x)) = scaleC (cnj r) (f (g x)) for r x
  using f.scaleC g.scaleC by fastforce
have bounded-linear ( $\lambda x. f(gx)$ )
  using f.bounded-linear g.bounded-linear by (rule bounded-linear-compose)
then show  $\exists K. \forall x. \text{norm}(f(gx)) \leq \text{norm} x * K$ 
  by (rule bounded-linear.bounded)
qed

lemma bounded-clinear-o-bounded-antilinear':
assumes bounded-antilinear f
  and bounded-clinear g
shows bounded-antilinear (g o f)

```

```

using assms by (simp add: o-def bounded-clinear-o-bounded-antilinear)

lemma bij-clinear-imp-inv-clinear: clinear (inv f)
  if a1: clinear f and a2: bij f
proof
  fix b1 b2 r b
  show inv f (b1 + b2) = inv f b1 + inv f b2
    by (simp add: a1 a2 bij-is-inj bij-is-surj complex-vector.linear-add inv-f-eq
surj-f-inv-f)
  show inv f (r *C b) = r *C inv f b
    using that
    by (smt bij-inv-eq-iff clinear-def complex-vector.linear-scale)
qed

locale bounded-sesquilinear =
  fixes
  prod :: 'a::complex-normed-vector ⇒ 'b::complex-normed-vector ⇒ 'c::complex-normed-vector
  (infixl ‹**› 70)
assumes add-left: prod (a + a') b = prod a b + prod a' b
  and add-right: prod a (b + b') = prod a b + prod a b'
  and scaleC-left: prod (r *C a) b = (cnj r) *C (prod a b)
  and scaleC-right: prod a (r *C b) = r *C (prod a b)
  and bounded: ∃ K. ∀ a b. norm (prod a b) ≤ norm a * norm b * K

sublocale bounded-sesquilinear ⊆ real: bounded-bilinear
  — Gives access to all lemmas from Real-Vector-Spaces.linear using prefix real.
  apply standard
  by (auto simp: add-left add-right scaleC-left scaleC-right bounded scaleR-scaleC)

lemma (in bounded-sesquilinear) bounded-bilinear[simp]: bounded-bilinear prod
  by intro-locales

lemma (in bounded-sesquilinear) bounded-antilinear-left: bounded-antilinear (λa.
prod a b)
  apply standard
  apply (auto simp add: scaleC-left add-left)
  by (metis ab-semigroup-mult-class.mult-ac(1) bounded)

lemma (in bounded-sesquilinear) bounded-clinear-right: bounded-clinear (λb. prod
a b)
  apply standard
  apply (auto simp add: scaleC-right add-right)
  by (metis ab-semigroup-mult-class.mult-ac(1) ordered-field-class.sign-simps(34)
real.pos-bounded)

lemma (in bounded-sesquilinear) comp1:
  assumes ‹bounded-clinear g›
  shows ‹bounded-sesquilinear (λx. prod (g x))›

```

```

proof
  interpret bounded-clinear g by fact
  fix a a' b b' r
  show prod (g (a + a')) b = prod (g a) b + prod (g a') b
    by (simp add: add add-left)
  show prod (g a) (b + b') = prod (g a) b + prod (g a) b'
    by (simp add: add add-right)
  show prod (g (r *C a)) b = cnj r *C prod (g a) b
    by (simp add: scaleC scaleC-left)
  show prod (g a) (r *C b) = r *C prod (g a) b
    by (simp add: scaleC-right)
  interpret bi: bounded-bilinear ⟨(λx. prod (g x))⟩
    by (simp add: bounded-linear real.comp1)
  show ∃ K. ∀ a b. norm (prod (g a) b) ≤ norm a * norm b * K
    using bi.bounded by blast
qed

lemma (in bounded-sesquilinear) comp2:
  assumes ⟨bounded-clinear g⟩
  shows ⟨bounded-sesquilinear (λx y. prod x (g y))⟩
proof
  interpret bounded-clinear g by fact
  fix a a' b b' r
  show prod (a + a') (g b) = prod a (g b) + prod a' (g b)
    by (simp add: add add-left)
  show prod a (g (b + b')) = prod a (g b) + prod a (g b')
    by (simp add: add add-right)
  show prod (r *C a) (g b) = cnj r *C prod a (g b)
    by (simp add: scaleC scaleC-left)
  show prod a (g (r *C b)) = r *C prod a (g b)
    by (simp add: scaleC scaleC-right)
  interpret bi: bounded-bilinear ⟨(λx y. prod x (g y))⟩
    apply (rule bounded-bilinear.flip)
    using - bounded-linear apply (rule bounded-bilinear.comp1)
    using bounded-bilinear by (rule bounded-bilinear.flip)
  show ∃ K. ∀ a b. norm (prod a (g b)) ≤ norm a * norm b * K
    using bi.bounded by blast
qed

lemma (in bounded-sesquilinear) comp: bounded-clinear f ==> bounded-clinear g
  ==> bounded-sesquilinear (λx y. prod (f x) (g y))
  using comp1 bounded-sesquilinear.comp2 by auto

lemma bounded-clinear-const-scaleR:
  fixes c :: real
  assumes ⟨bounded-clinear f⟩
  shows ⟨bounded-clinear (λ x. c *R f x)⟩
proof-
  have ⟨bounded-clinear (λ x. (complex-of-real c) *C f x)⟩

```

```

    by (simp add: assms bounded-clinear-const-scaleC)
  thus ?thesis
    by (simp add: scaleR-scaleC)
qed

lemma bounded-linear-bounded-clinear:
  ‹bounded-linear A ⟹ ∀ c x. A (c *C x) = c *C A x ⟹ bounded-clinear A›
apply standard
by (simp-all add: linear-simps bounded-linear.bounded)

lemma comp-bounded-clinear:
fixes A :: ‹'b::complex-normed-vector ⇒ 'c::complex-normed-vector›
and B :: ‹'a::complex-normed-vector ⇒ 'b›
assumes ‹bounded-clinear A› and ‹bounded-clinear B›
shows ‹bounded-clinear (A ∘ B)›
by (metis clinear-compose assms(1) assms(2) bounded-clinear-axioms-def bounded-clinear-compose
bounded-clinear-def o-def)

lemma bounded-sesquilinear-add:
  ‹bounded-sesquilinear (λ x y. A x y + B x y)› if ‹bounded-sesquilinear A› and
  ‹bounded-sesquilinear B›
proof
fix a a' :: 'a and b b' :: 'b and r :: complex
show A (a + a') b + B (a + a') b = (A a b + B a b) + (A a' b + B a' b)
  by (simp add: bounded-sesquilinear.add-left that(1) that(2))
show ‹A a (b + b') + B a (b + b') = (A a b + B a b) + (A a b' + B a b')›
  by (simp add: bounded-sesquilinear.add-right that(1) that(2))
show ‹A (r *C a) b + B (r *C a) b = cnj r *C (A a b + B a b)›
  by (simp add: bounded-sesquilinear.scaleC-left scaleC-add-right that(1) that(2))
show ‹A a (r *C b) + B a (r *C b) = r *C (A a b + B a b)›
  by (simp add: bounded-sesquilinear.scaleC-right scaleC-add-right that(1) that(2))
show ‹∃ K. ∀ a b. norm (A a b + B a b) ≤ norm a * norm b * K›
proof-
have ‹∃ KA. ∀ a b. norm (A a b) ≤ norm a * norm b * KA›
  by (simp add: bounded-sesquilinear.bounded that(1))
then obtain KA where ‹∀ a b. norm (A a b) ≤ norm a * norm b * KA›
  by blast
have ‹∃ KB. ∀ a b. norm (B a b) ≤ norm a * norm b * KB›
  by (simp add: bounded-sesquilinear.bounded that(2))
then obtain KB where ‹∀ a b. norm (B a b) ≤ norm a * norm b * KB›
  by blast
have ‹norm (A a b + B a b) ≤ norm a * norm b * (KA + KB)›
  for a b
proof-
have ‹norm (A a b + B a b) ≤ norm (A a b) + norm (B a b)›
  using norm-triangle-ineq by blast
also have ‹... ≤ norm a * norm b * KA + norm a * norm b * KB›
  using ‹∀ a b. norm (A a b) ≤ norm a * norm b * KA›

```

```

 $\forall a b. \text{norm } (B a b) \leq \text{norm } a * \text{norm } b * KB$ 
using add-mono by blast
also have  $\dots = \text{norm } a * \text{norm } b * (KA + KB)$ 
  by (simp add: mult.commute ring-class.ring-distrib(2))
finally show ?thesis
  by blast
qed
thus ?thesis by blast
qed
qed

lemma bounded-sesquilinear-uminus:
   $\langle \text{bounded-sesquilinear } (\lambda x y. - A x y) \rangle \text{ if } \langle \text{bounded-sesquilinear } A \rangle$ 
proof
  fix a a' :: 'a and b b' :: 'b and r :: complex
  show  $- A (a + a') b = (- A a b) + (- A a' b)$ 
    by (simp add: bounded-sesquilinear.add-left that)
  show  $- A a (b + b') = (- A a b) + (- A a b')$ 
    by (simp add: bounded-sesquilinear.add-right that)
  show  $- A (r *_C a) b = cnj r *_C (- A a b)$ 
    by (simp add: bounded-sesquilinear.scaleC-left that)
  show  $- A a (r *_C b) = r *_C (- A a b)$ 
    by (simp add: bounded-sesquilinear.scaleC-right that)
  show  $\exists K. \forall a b. \text{norm } (- A a b) \leq \text{norm } a * \text{norm } b * K$ 
proof-
  have  $\exists KA. \forall a b. \text{norm } (A a b) \leq \text{norm } a * \text{norm } b * KA$ 
    by (simp add: bounded-sesquilinear.bounded that(1))
  then obtain KA where  $\forall a b. \text{norm } (A a b) \leq \text{norm } a * \text{norm } b * KA$ 
    by blast
  have  $\langle \text{norm } (- A a b) \leq \text{norm } a * \text{norm } b * KA \rangle$ 
    for a b
    by (simp add:  $\forall a b. \text{norm } (A a b) \leq \text{norm } a * \text{norm } b * KA$ )
  thus ?thesis by blast
qed
qed

lemma bounded-sesquilinear-diff:
   $\langle \text{bounded-sesquilinear } (\lambda x y. A x y - B x y) \rangle \text{ if } \langle \text{bounded-sesquilinear } A \rangle \text{ and }$ 
 $\langle \text{bounded-sesquilinear } B \rangle$ 
proof -
  have  $\langle \text{bounded-sesquilinear } (\lambda x y. - B x y) \rangle$ 
    using that(2) by (rule bounded-sesquilinear-uminus)
  then have  $\langle \text{bounded-sesquilinear } (\lambda x y. A x y + (- B x y)) \rangle$ 
    using that(1) by (rule bounded-sesquilinear-add[rotated])
  then show ?thesis
    by auto
qed

lemmas isCont-scaleC [simp] =

```

*bounded-bilinear.isCont [OF bounded-cbilinear-scaleC[THEN bounded-cbilinear.bounded-bilinear]]*

```

lemma bounded-sesquilinear-0 [simp]: ‹bounded-sesquilinear (λ- -.0)›
  by (auto intro!: bounded-sesquilinear.intro exI[where x=0])

lemma bounded-sesquilinear-0' [simp]: ‹bounded-sesquilinear 0›
  by (auto intro!: bounded-sesquilinear.intro exI[where x=0])

lemma bounded-sesquilinear-apply-bounded-clinear: ‹bounded-clinear (f x)› if ‹bounded-sesquilinear f›
proof –
  interpret f: bounded-sesquilinear f
  by (fact that)
  from f.bounded obtain K where ‹norm (f a b) ≤ norm a * norm b * K› for a
  b
  by auto
  then show ?thesis
  by (auto intro!: bounded-clinearI[where K=‹norm x * K›]
    simp add: f.add-right f.scaleC-right mult.commute mult.left-commute)
qed

```

### 7.3 Misc 2

```

lemma summable-on-scaleC-left [intro]:
  fixes c :: ‹'a :: complex-normed-vector›
  assumes c ≠ 0 ⇒ f summable-on A
  shows (λx. f x *C c) summable-on A
  apply (cases ‹c ≠ 0›)
  apply (subst asm-rl[of ‹(λx. f x *C c) = (λy. y *C c) o f›], simp add: o-def)
  apply (rule summable-on-comm-additive)
  using assms by (auto simp add: scaleC-left.additive-axioms)

lemma summable-on-scaleC-right [intro]:
  fixes f :: ‹'a ⇒ 'b :: complex-normed-vector›
  assumes c ≠ 0 ⇒ f summable-on A
  shows (λx. c *C f x) summable-on A
  apply (cases ‹c ≠ 0›)
  apply (subst asm-rl[of ‹(λx. c *C f x) = (λy. c *C y) o f›], simp add: o-def)
  apply (rule summable-on-comm-additive)
  using assms by (auto simp add: scaleC-right.additive-axioms)

lemma infsum-scaleC-left:
  fixes c :: ‹'a :: complex-normed-vector›
  assumes c ≠ 0 ⇒ f summable-on A
  shows infsum (λx. f x *C c) A = infsum f A *C c
  apply (cases ‹c ≠ 0›)
  apply (subst asm-rl[of ‹(λx. f x *C c) = (λy. y *C c) o f›], simp add: o-def)
  apply (rule infsum-comm-additive)
  using assms by (auto simp add: scaleC-left.additive-axioms)

```

```

lemma infsum-scaleC-right:
  fixes f :: 'a ⇒ 'b :: complex-normed-vector'
  shows infsum (λx. c *C f x) A = c *C infsum f A
  proof –
    consider (summable) ⟨f summable-on A⟩ | (c ≠ 0) ⟨c = 0⟩ | (not-summable) ⟨¬ f summable-on A⟩ ⟨c ≠ 0⟩
      by auto
    then show ?thesis
    proof cases
      case summable
      then show ?thesis
        apply (subst asm-rl[of ⟨(λx. c *C f x) = (λy. c *C y) o f⟩], simp add: o-def)
        apply (rule infsum-comm-additive)
        using summable by (auto simp add: scaleC-right.additive-axioms)
    next
      case c0
      then show ?thesis by auto
    next
      case not-summable
      have ¬⟨(λx. c *C f x) summable-on A⟩
      proof (rule notI)
        assume ⟨(λx. c *C f x) summable-on A⟩
        then have ⟨(λx. inverse c *C c *C f x) summable-on A⟩
          using summable-on-scaleC-right by blast
        then have ⟨f summable-on A⟩
          using not-summable by auto
        with not-summable show False
          by simp
      qed
      then show ?thesis
        by (simp add: infsum-not-exists not-summable(1))
    qed
  qed

```

```

lemmas sums-of-complex = bounded-linear.sums [OF bounded-clinear-of-complex[THEN
bounded-clinear.bounded-linear]]
lemmas summable-of-complex = bounded-linear.summable [OF bounded-clinear-of-complex[THEN
bounded-clinear.bounded-linear]]
lemmas suminf-of-complex = bounded-linear.suminf [OF bounded-clinear-of-complex[THEN
bounded-clinear.bounded-linear]]

lemmas sums-scaleC-left = bounded-linear.sums[OF bounded-clinear-scaleC-left[THEN
bounded-clinear.bounded-linear]]
lemmas summable-scaleC-left = bounded-linear.summable[OF bounded-clinear-scaleC-left[THEN
bounded-clinear.bounded-linear]]
lemmas suminf-scaleC-left = bounded-linear.suminf[OF bounded-clinear-scaleC-left[THEN

```

```

 $bounded\text{-}c\acute{e}l\acute{e}ar.bounded\text{-}linear]]$ 

lemmas sums-scaleC-right = bounded-linear.sums[OF bounded-c\acute{e}l\acute{e}ar-scaleC-right[THEN
bounded-c\acute{e}l\acute{e}ar.bounded-linear]]
lemmas summable-scaleC-right = bounded-linear.summable[OF bounded-c\acute{e}l\acute{e}ar-scaleC-right[THEN
bounded-c\acute{e}l\acute{e}ar.bounded-linear]]
lemmas suminf-scaleC-right = bounded-linear.suminf[OF bounded-c\acute{e}l\acute{e}ar-scaleC-right[THEN
bounded-c\acute{e}l\acute{e}ar.bounded-linear]]]

lemma closed-scaleC:
  fixes  $S::'a::\text{complex-normed-vector set}$  and  $a :: \text{complex}$ 
  assumes  $\langle \text{closed } S \rangle$ 
  shows  $\langle \text{closed } ((*_C) a ` S) \rangle$ 
proof (cases  $\langle a = 0 \rangle$ )
  case True
  then show ?thesis
    apply (cases  $\langle S = \{\} \rangle$ )
    by (auto simp: image-constant)
next
  case False
  then have  $\langle (*_C) a ` S = (*_C) (\text{inverse } a) - ` S \rangle$ 
    by (auto simp add: rev-image-eqI)
  moreover have  $\langle \text{closed } ((*_C) (\text{inverse } a) - ` S) \rangle$ 
    by (simp add: assms continuous-closed-vimage)
  ultimately show ?thesis
    by simp
qed

lemma closure-scaleC:
  fixes  $S::'a::\text{complex-normed-vector set}$ 
  shows  $\langle \text{closure } ((*_C) a ` S) = (*_C) a ` \text{closure } S \rangle$ 
proof
  have  $\langle \text{closed } (\text{closure } S) \rangle$ 
    by simp
  show  $\text{closure } ((*_C) a ` S) \subseteq (*_C) a ` \text{closure } S$ 
    by (simp add: closed-scaleC closure-minimal closure-subset image-mono)

  have  $x \in \text{closure } ((*_C) a ` S)$ 
    if  $x \in (*_C) a ` \text{closure } S$ 
    for  $x :: 'a$ 
proof-
  obtain  $t$  where  $\langle x = ((*_C) a) t \rangle$  and  $\langle t \in \text{closure } S \rangle$ 
    using  $\langle x \in (*_C) a ` \text{closure } S \rangle$  by auto
  have  $\langle \exists s. (\forall n. s n \in S) \wedge s \longrightarrow t \rangle$ 
    using  $\langle t \in \text{closure } S \rangle$  Elementary-Topology.closure-sequential
    by blast
  then obtain  $s$  where  $\langle \forall n. s n \in S \rangle$  and  $\langle s \longrightarrow t \rangle$ 
    by blast
  have  $\langle (\forall n. \text{scaleC } a (s n) \in ((*_C) a ` S)) \rangle$ 

```

```

using ‹∀ n. s n ∈ S› by blast
moreover have ‹(λ n. scaleC a (s n)) —→ x›
proof-
  have ‹isCont (scaleC a) t›
    by simp
  thus ?thesis
    using ‹s —→ t› ‹x = ((*_C) a) t›
    by (simp add: isCont-tendsto-compose)
qed
ultimately show ?thesis using Elementary-Topology.closure-sequential
  by metis
qed
thus (*_C) a ` closure S ⊆ closure ((*_C) a ` S) by blast
qed

lemma onorm-scalarC:
  fixes f :: ‹'a::complex-normed-vector ⇒ 'b::complex-normed-vector›
  assumes a1: ‹bounded-clinear f›
  shows ‹onorm (λ x. r *_C (f x)) = (cmod r) * onorm f›
proof-
  have ‹(norm (f x)) / norm x ≤ onorm f›
    for x
    using a1
    by (simp add: bounded-clinear.bounded-linear.le-onorm)
  hence t2: ‹bdd-above {(norm (f x)) / norm x | x. True}›
    by fastforce
  have ‹continuous-on UNIV (* w)›
    for w::real
    by simp
  hence ‹isCont ((*) (cmod r)) x›
    for x
    by simp
  hence t3: ‹continuous (at-left (Sup {(norm (f x)) / norm x | x. True})) ((*) (cmod r))›
    using Elementary-Topology.continuous-at-imp-continuous-within
    by blast
  have ‹{(norm (f x)) / norm x | x. True} ≠ {}›
    by blast
  moreover have ‹mono ((*) (cmod r))›
    by (simp add: monoI ordered-comm-semiring-class.comm-mult-left-mono)
  ultimately have ‹Sup (((*) (cmod r)) ((norm (f x)) / norm x) | x. True) = ((*) (cmod r)) (Sup {(norm (f x)) / norm x | x. True})›
    using t2 t3
    by (simp add: continuous-at-Sup-mono full-SetCompr-eq image-image)
  hence ‹Sup {((cmod r) * ((norm (f x)) / norm x)) | x. True} = (cmod r) * (Sup {(norm (f x)) / norm x | x. True})›
    by blast
  moreover have ‹Sup {((cmod r) * ((norm (f x)) / norm x)) | x. True} = (SUP x. cmod r * norm (f x) / norm x)›
    by blast

```

```

    by (simp add: full-SetCompr-eq)
  moreover have <(Sup {(norm (f x)) / norm x | x. True})>
    = (SUP x. norm (f x) / norm x)
    by (simp add: full-SetCompr-eq)
  ultimately have t1: (SUP x. cmod r * norm (f x) / norm x)
    = cmod r * (SUP x. norm (f x) / norm x)
    by simp
  have <onorm (λ x. r *C (f x)) = (SUP x. norm ((λ t. r *C (f t)) x) / norm x)>
    by (simp add: onorm-def)
  hence <onorm (λ x. r *C (f x)) = (SUP x. (cmod r) * (norm (f x)) / norm x)>
    by simp
  also have <... = (cmod r) * (SUP x. (norm (f x)) / norm x)>
    using t1.
  finally show ?thesis
    by (simp add: onorm-def)
qed

lemma onorm-scaleC-left-lemma:
  fixes f :: 'a::complex-normed-vector
  assumes r: bounded-clinear r
  shows onorm (λx. r x *C f) ≤ onorm r * norm f
proof (rule onorm-bound)
  fix x
  have norm (r x *C f) = norm (r x) * norm f
    by simp
  also have ... ≤ onorm r * norm x * norm f
    by (simp add: bounded-clinear.bounded-linear mult.commute mult-left-mono
      onorm r)
  finally show norm (r x *C f) ≤ onorm r * norm f * norm x
    by (simp add: ac-simps)
  show 0 ≤ onorm r * norm f
    by (simp add: bounded-clinear.bounded-linear onorm-pos-le r)
qed

lemma onorm-scaleC-left:
  fixes f :: 'a::complex-normed-vector
  assumes f: bounded-clinear r
  shows onorm (λx. r x *C f) = onorm r * norm f
proof (cases f = 0)
  assume f ≠ 0
  show ?thesis
    proof (rule order-antisym)
      show onorm (λx. r x *C f) ≤ onorm r * norm f
        using f by (rule onorm-scaleC-left-lemma)
    next
      have bl1: bounded-clinear (λx. r x *C f)
        by (metis bounded-clinear-scaleC-const f)
      have x1: bounded-clinear (λx. r x * norm f)
        by (metis bounded-clinear-mult-const f)
    
```

```

have onorm r ≤ onorm (λx. r x * complex-of-real (norm f)) / norm f
  if onorm r ≤ onorm (λx. r x * complex-of-real (norm f)) * cmod (1 /
complex-of-real (norm f))
    and f ≠ 0
    using that
    by (smt (verit) divide-inverse mult-1 norm-divide norm-ge-zero norm-of-real
of-real-1 of-real-eq-iff of-real-mult)
  hence onorm r ≤ onorm (λx. r x * norm f) * inverse (norm f)
    using ‹f ≠ 0› onorm-scaleC-left-lemma[OF x1, of inverse (norm f)]
    by (simp add: inverse-eq-divide)
also have onorm (λx. r x * norm f) ≤ onorm (λx. r x *C f)
proof (rule onorm-bound)
  have bounded-linear (λx. r x *C f)
    using bl1 bounded-clinear.bounded-linear by auto
  thus 0 ≤ onorm (λx. r x *C f)
    by (rule Operator-Norm.onorm-pos-le)
  show cmod (r x * complex-of-real (norm f)) ≤ onorm (λx. r x *C f) * norm
x
  for x :: 'b
    by (smt (verit) ‹bounded-linear (λx. r x *C f)› norm-ge-zero norm-mult
norm-of-real norm-scaleC onorm)
qed
finally show onorm r * norm f ≤ onorm (λx. r x *C f)
  using ‹f ≠ 0›
  by (simp add: inverse-eq-divide pos-le-divide-eq mult.commute)
qed
qed (simp add: onorm-zero)

```

## 7.4 Finite dimension and canonical basis

```

lemma vector-finitely-spanned:
assumes ‹z ∈ cspan T›
shows ‹∃ S. finite S ∧ S ⊆ T ∧ z ∈ cspan S›
proof –
have ‹∃ S r. finite S ∧ S ⊆ T ∧ z = (∑ a∈S. r a *C a)›
  using complex-vector.span-explicit[where b = T]
  assms by auto
then obtain S r where ‹finite S› and ‹S ⊆ T› and ‹z = (∑ a∈S. r a *C a)›
  by blast
thus ?thesis
  by (meson complex-vector.span-scale complex-vector.span-sum complex-vector.span-superset
subset-iff)
qed

setup ‹Sign.add-const-constraint (Complex-Vector-Spaces0.cindependent, SOME
typ ‹'a set ⇒ bool›)›
setup ‹Sign.add-const-constraint (const-name ‹cdependent›, SOME typ ‹'a set
⇒ bool›)›

```

```

setup <Sign.add-const-constraint (const-name <cspan>, SOME typ <'a set  $\Rightarrow$  'a set'>)>

class cfinite-dim = complex-vector +
  assumes cfininitely-spanned:  $\exists S::'a \text{ set. finite } S \wedge cspan S = UNIV$ 

class basis-enum = complex-vector +
  fixes canonical-basis :: <'a list>
    and canonical-basis-length :: <'a itself  $\Rightarrow$  nat>
  assumes distinct-canonical-basis[simp]:
    distinct canonical-basis
    and is-cindependent-set[simp]:
      cindependent (set canonical-basis)
    and is-generator-set[simp]:
      cspan (set canonical-basis) = UNIV
    and canonical-basis-length:
      <canonical-basis-length TYPE('a) = length canonical-basis>

setup <Sign.add-const-constraint (Complex-Vector-Spaces0.cindependent, SOME typ <'a::complex-vector set  $\Rightarrow$  bool'>)>
setup <Sign.add-const-constraint (const-name <cdependent>, SOME typ <'a::complex-vector set  $\Rightarrow$  bool'>)>
setup <Sign.add-const-constraint (const-name <cspan>, SOME typ <'a::complex-vector set  $\Rightarrow$  'a set'>)>

instantiation complex :: basis-enum begin
  definition canonical-basis = [1::complex]
  definition <canonical-basis-length (-::complex itself) = 1>
  instance
  proof
    show distinct (canonical-basis::complex list)
      by (simp add: canonical-basis-complex-def)
    show cindependent (set (canonical-basis::complex list))
      unfolding canonical-basis-complex-def
      by auto
    show cspan (set (canonical-basis::complex list)) = UNIV
      unfolding canonical-basis-complex-def
      apply (auto simp add: cspan-raw-def vector-space-over-itself.span-Basis)
      by (metis complex-scaleC-def complex-vector.span-base complex-vector.span-scale cspan-raw-def insertI1 mult.right-neutral)
    show <canonical-basis-length TYPE(complex) = length (canonical-basis :: complex list)>
      by (simp add: canonical-basis-length-complex-def canonical-basis-complex-def)
  qed
end

lemma cdim-UNIV-basis-enum[simp]: <cdim (UNIV::'a::basis-enum set) = length (canonical-basis::'a list)>

```

```

apply (subst is-generator-set[symmetric])
apply (subst complex-vector.dim-span-eq-card-independent)
apply (rule is-cindependent-set)
using distinct-canonical-basis distinct-card by blast

lemma finite-basis:  $\exists \text{basis} : 'a :: \text{cfinite-dim set}. \text{finite basis} \wedge \text{cindependent basis} \wedge$ 
 $\text{cspan basis} = \text{UNIV}$ 
proof -
  from cfinite-spanned
  obtain S :: ' $'a \text{ set}' where <finite S> and <cspan S = UNIV>
    by auto
  from complex-vector.maximal-independent-subset
  obtain B :: ' $'a \text{ set}' where <B ⊆ S> and <cindependent B> and <S ⊆ cspan B>
    by metis
  moreover have <finite B>
    using <B ⊆ S> <finite S>
    by (meson finite-subset)
  moreover have <cspan B = UNIV>
    using <cspan S = UNIV> <S ⊆ cspan B>
    by (metis complex-vector.span-eq top-greatest)
  ultimately show ?thesis
    by auto
qed

instance basis-enum ⊆ cfinite-dim
apply intro-classes
apply (rule exI[of - <set canonical-basis>])
using is-cindependent-set is-generator-set by auto

lemma cindependent-cfinite-dim-finite:
assumes <cindependent (S :: 'a :: cfinite-dim set)>
shows <finite S>
by (metis assms cfinite-spanned complex-vector.independent-span-bound top-greatest)

lemma cfinite-dim-finite-subspace-basis:
assumes <csubspace X>
shows  $\exists \text{basis} : 'a :: \text{cfinite-dim set}. \text{finite basis} \wedge \text{cindependent basis} \wedge \text{cspan basis} = X$ 
by (meson assms cindependent-cfinite-dim-finite complex-vector.basis-exists complex-vector.span-subspace)$$ 
```

The following auxiliary lemma (*finite-span-complete-aux*) shows more or less the same as *finite-span-representation-bounded*, *finite-span-complete* below (see there for an intuition about the mathematical content of the lemmas). However, there is one difference: Here we additionally assume here that there is a bijection rep/abs between a finite type '*basis*' and the set *B*. This is needed to be able to use results about euclidean spaces that are formulated w.r.t. the type class *finite*

Since we anyway assume that  $B$  is finite, this added assumption does not make the lemma weaker. However, we cannot derive the existence of '*basis*' inside the proof (HOL does not support such reasoning). Therefore we have the type '*basis*' as an explicit assumption and remove it using *internalize-sort* after the proof.

```

lemma finite-span-complete-aux:
  fixes b :: 'b::real-normed-vector and B :: 'b set
    and rep :: 'basis::finite  $\Rightarrow$  'b and abs :: 'b  $\Rightarrow$  'basis
  assumes t: type-definition rep abs B
    and t1: finite B and t2: b $\in$ B and t3: independent B
  shows  $\exists D>0. \forall \psi. \text{norm}(\text{representation } B \psi b) \leq \text{norm } \psi * D$ 
    and complete(span B)
proof -
  define repr where repr = real-vector.representation B
  define repr' where repr'  $\psi$  = Abs-euclidean-space (repr  $\psi$  o rep) for  $\psi$ 
  define comb where comb l = ( $\sum_{b\in B. l b *_R b}$ ) for l
  define comb' where comb' l = comb (Rep-euclidean-space l o abs) for l

  have comb-cong: comb x = comb y if  $\bigwedge z. z\in B \implies x z = y z$  for x y
    unfolding comb-def using that by auto
  have comb-repr[simp]: comb (repr  $\psi$ ) =  $\psi$  if  $\psi \in \text{real-vector.span } B$  for  $\psi$ 
    using `comb  $\equiv \lambda l. \sum_{b\in B. l b *_R b}$ ` local.repr-def real-vector.sum-representation-eq
  t1 t3 that
    by fastforce

  have w5:( $\sum b | (b \in B \longrightarrow x b \neq 0) \wedge b \in B. x b *_R b$ ) =
    ( $\sum_{b\in B. x b *_R b}$ ) for x
    using `finite B`
  by (smt DiffD1 DiffD2 mem-Collect-eq real-vector.scale-eq-0-iff subset-eq sum.mono-neutral-left)
  have representation B ( $\sum_{b\in B. x b *_R b}$ ) = ( $\lambda b. \text{if } b \in B \text{ then } x b \text{ else } 0$ )
    for x
  proof (rule real-vector.representation-eqI)
    show independent B
      by (simp add: t3)
    show ( $\sum_{b\in B. x b *_R b}$ )  $\in$  span B
      by (meson real-vector.span-scale real-vector.span-sum real-vector.span-superset
subset-iff)
    show b  $\in$  B
      if (if b  $\in$  B then x b else 0)  $\neq$  0
      for b :: 'b
      using that
      by meson
    show finite {b. (if b  $\in$  B then x b else 0)  $\neq$  0}
      using t1 by auto
    show ( $\sum b | (\text{if } b \in B \text{ then } x b \text{ else } 0) \neq 0. (\text{if } b \in B \text{ then } x b \text{ else } 0) *_R b$ ) =
      ( $\sum_{b\in B. x b *_R b}$ )
      using w5
      by simp

```

```

qed
hence  $\text{repr-comb}[\text{simp}]$ :  $\text{repr}(\text{comb } x) = (\lambda b. \text{if } b \in B \text{ then } x \ b \text{ else } 0)$  for  $x$ 
      unfolding  $\text{repr-def comb-def}$ .
have  $\text{repr-bad}[\text{simp}]$ :  $\text{repr } \psi = (\lambda -. 0)$  if  $\psi \notin \text{real-vector.span } B$  for  $\psi$ 
      unfolding  $\text{repr-def using that}$ 
      by ( $\text{simp add: real-vector.representation-def}$ )
have [ $\text{simp}$ ]:  $\text{repr}' \psi = 0$  if  $\psi \notin \text{real-vector.span } B$  for  $\psi$ 
      unfolding  $\text{repr}'\text{-def repr-bad}[OF \text{ that}]$ 
      apply  $\text{transfer}$ 
      by  $\text{auto}$ 
have  $\text{comb}'\text{-repr}'[\text{simp}]$ :  $\text{comb}'(\text{repr}' \psi) = \psi$ 
      if  $\psi \in \text{real-vector.span } B$  for  $\psi$ 
proof –
  have  $x1$ :  $(\text{repr } \psi \circ \text{rep} \circ \text{abs}) z = \text{repr } \psi z$ 
    if  $z \in B$ 
    for  $z$ 
    unfolding  $\text{o-def}$ 
    using  $t$  that type-definition.Abs-inverse by fastforce
  have  $\text{comb}'(\text{repr}' \psi) = \text{comb}((\text{repr } \psi \circ \text{rep}) \circ \text{abs})$ 
    unfolding  $\text{comb}'\text{-def repr}'\text{-def}$ 
    by ( $\text{subst Abs-euclidean-space-inverse; simp}$ )
  also have ... =  $\text{comb}(\text{repr } \psi)$ 
    using  $x1$   $\text{comb-cong by blast}$ 
  also have ... =  $\psi$ 
    using that by simp
  finally show ?thesis by –
qed

have  $t1$ :  $\text{Abs-euclidean-space}(\text{Rep-euclidean-space } t) = t$ 
  if  $\bigwedge x. \text{rep } x \in B$ 
  for  $t::'a \text{ euclidean-space}$ 
  apply ( $\text{subst Rep-euclidean-space-inverse}$ )
  by  $\text{simp}$ 
have  $\text{Abs-euclidean-space}$ 
   $(\lambda y. \text{if } \text{rep } y \in B$ 
    then  $\text{Rep-euclidean-space } x \ y$ 
    else  $0) = x$ 
  for  $x$ 
  using  $\text{type-definition.Rep}[OF \ t]$  apply  $\text{simp}$ 
  using  $t1$  by  $\text{blast}$ 
hence  $\text{Abs-euclidean-space}$ 
   $(\lambda y. \text{if } \text{rep } y \in B$ 
    then  $\text{Rep-euclidean-space } x (\text{abs}(\text{rep } y))$ 
    else  $0) = x$ 
  for  $x$ 
  apply ( $\text{subst type-definition.Rep-inverse}[OF \ t]$ )
  by  $\text{simp}$ 
hence  $\text{repr}'\text{-comb}'[\text{simp}]$ :  $\text{repr}'(\text{comb}' x) = x$  for  $x$ 
      unfolding  $\text{comb}'\text{-def repr}'\text{-def o-def}$ 

```

```

by simp
have sphere: compact (sphere 0 d :: 'basis euclidean-space set) for d
  using compact-sphere by blast
have complete (UNIV :: 'basis euclidean-space set)
  by (simp add: complete-UNIV)

have (∑ b∈B. (Rep-euclidean-space (x + y) ∘ abs) b *R b) = (∑ b∈B. (Rep-euclidean-space
x ∘ abs) b *R b) + (∑ b∈B. (Rep-euclidean-space y ∘ abs) b *R b)
  for x :: 'basis euclidean-space
    and y :: 'basis euclidean-space
    apply (transfer fixing: abs)
    by (simp add: scaleR-add-left sum.distrib)
moreover have (∑ b∈B. (Rep-euclidean-space (c *R x) ∘ abs) b *R b) = c *R
(∑ b∈B. (Rep-euclidean-space x ∘ abs) b *R b)
  for c :: real
    and x :: 'basis euclidean-space
    apply (transfer fixing: abs)
    by (simp add: real-vector.scale-sum-right)
ultimately have blin-comb': bounded-linear comb'
  unfolding comb-def comb'-def
  by (rule bounded-linearI')
hence continuous-on X comb' for X
  by (simp add: linear-continuous-on)
hence compact (comb' ` sphere 0 d) for d
  using sphere
  by (rule compact-continuous-image)
hence compact-norm-comb': compact (norm ` comb' ` sphere 0 1)
  using compact-continuous-image continuous-on-norm-id by blast
have not0: 0 ∉ norm ` comb' ` sphere 0 1
  proof (rule ccontr, simp)
    assume 0 ∈ norm ` comb' ` sphere 0 1
    then obtain x where nc0: norm (comb' x) = 0 and x: x ∈ sphere 0 1
      by auto
    hence comb' x = 0
      by simp
    hence repr' (comb' x) = 0
      unfolding repr'-def o-def repr-def apply simp
      by (smt repr'-comb' blin-comb' dist-0-norm linear-simps(3) mem-sphere
norm-zero x)
    hence x = 0
      by auto
    with x show False
      by simp
qed

have closed (norm ` comb' ` sphere 0 1)
  using compact-imp-closed compact-norm-comb' by blast
moreover have 0 ∉ norm ` comb' ` sphere 0 1

```

```

by (simp add: not0)
ultimately have  $\exists d > 0. \forall x \in norm \text{ ``comb'' ``sphere 0 1''. } d \leq dist 0 x$ 
  by (meson separate-point-closed)

then obtain d where d:  $x \in norm \text{ ``comb'' ``sphere 0 1''} \implies d \leq dist 0 x$ 
  and  $d > 0$  for x
  by metis
define D where  $D = 1/d$ 
hence  $D > 0$ 
  using  $\langle d > 0 \rangle$  unfolding D-def by auto
have  $x \geq d$ 
  if  $x \in norm \text{ ``comb'' ``sphere 0 1''}$ 
  for x
  using d that
  apply auto
  by fastforce
hence *:  $norm (\text{comb}' x) \geq d$  if  $norm x = 1$  for x
  using that by auto
have norm-comb':  $norm (\text{comb}' x) \geq d * norm x$  for x
proof (cases x=0)
  show  $d * norm x \leq norm (\text{comb}' x)$ 
    if  $x = 0$ 
    using that
    by simp
  show  $d * norm x \leq norm (\text{comb}' x)$ 
    if  $x \neq 0$ 
    using that
    using *[of  $(1/norm x) *_R x$ ]
    unfolding linear-simps(5)[OF blin-comb']
    apply auto
    by (simp add: le-divide-eq)
qed

have *:  $norm (\text{repr}' \psi) \leq norm \psi * D$  for  $\psi$ 
proof (cases  $\psi \in real-vector.span B$ )
  show  $norm (\text{repr}' \psi) \leq norm \psi * D$ 
    if  $\psi \in span B$ 
    using that unfolding D-def
    using norm-comb'[of  $\text{repr}' \psi$ ]  $\langle d > 0 \rangle$ 
    by (simp-all add: linordered-field-class.mult-imp-le-div-pos mult.commute)

  show  $norm (\text{repr}' \psi) \leq norm \psi * D$ 
    if  $\psi \notin span B$ 
    using that  $\langle 0 < D \rangle$  by auto
qed

hence  $norm (\text{Rep-euclidean-space} (\text{repr}' \psi) (\text{abs } b)) \leq norm \psi * D$  for  $\psi$ 
proof -
  have  $(\text{Rep-euclidean-space} (\text{repr}' \psi) (\text{abs } b)) = \text{repr}' \psi \cdot euclidean-space-basis-vector$ 

```

```

(abs b)
  apply (transfer fixing: abs b)
  by auto
  also have ... ≤ norm (repr' ψ)
    apply (rule Basis-le-norm)
    unfolding Basis-euclidean-space-def by simp
  also have ... ≤ norm ψ * D
    using * by auto
  finally show ?thesis by simp
qed
hence norm (repr ψ b) ≤ norm ψ * D for ψ
  unfolding repr'-def
  by (smt `comb' ≡ λl. comb (Rep-euclidean-space l ∘ abs))
     `repr' ≡ λψ. Abs-euclidean-space (repr ψ ∘ rep)` comb'-repr' comp-apply
norm-le-zero-iff
  repr-bad repr-comb)
thus ∃ D>0. ∀ψ. norm (repr ψ b) ≤ norm ψ * D
  using `D>0` by auto
from `d>0`
have complete-comb': complete (comb' ` UNIV)
proof (rule complete-isometric-image)
  show subspace (UNIV::'basis euclidean-space set)
    by simp
  show bounded-linear comb'
    by (simp add: blin-comb')
  show ∀x∈UNIV. d * norm x ≤ norm (comb' x)
    by (simp add: norm-comb')
  show complete (UNIV::'basis euclidean-space set)
    by (simp add: `complete UNIV`)
qed

have range-comb': comb' ` UNIV = real-vector.span B
proof (auto simp: image-def)
  show comb' x ∈ real-vector.span B for x
    by (metis comb'-def comb-cong comb-repr local.repr-def repr-bad repr-comb
real-vector.representation-zero real-vector.span-zero)
next
fix ψ assume ψ ∈ real-vector.span B
then obtain f where f: comb f = ψ
  apply atomize-elim
  unfolding span-finite[OF `finite B`] comb-def
  by auto
define f' where f' b = (if b ∈ B then f b else 0) for b :: 'b
have f': comb f' = ψ
  unfolding f[symmetric]
  apply (rule comb-cong)
  unfolding f'-def by simp
define x :: 'basis euclidean-space where x = Abs-euclidean-space (f' o rep)
have ψ = comb' x

```

```

by (metis (no-types, lifting) ‹ψ ∈ span B› ‹repr' ≡ λψ. Abs-euclidean-space
(repr ψ ∘ rep)›
  comb'-repr' f' fun.map-cong repr-comb t type-definition.Rep-range x-def)
thus ∃ x. ψ = comb' x
  by auto
qed

```

```

from range-comb' complete-comb'
show complete (real-vector.span B)
  by simp
qed

```

```

lemma finite-span-complete[simp]:
  fixes A :: 'a::real-normed-vector set
  assumes finite A
  shows complete (span A)

```

The span of a finite set is complete.

```

proof (cases A ≠ {} ∧ A ≠ {0})
  case True
  obtain B where
    BT: real-vector.span B = real-vector.span A
    and independent B
    and finite B
    by (meson True assms finite-subset real-vector.maximal-independent-subset
real-vector.span-eq
      real-vector.span-superset subset-trans)

  have B ≠ {}
  apply (rule ccontr, simp)
  using BT True
  by (metis real-vector.span-superset real-vector.span-empty subset-singletonD)

```

{

```

assume ∃(Rep :: 'basisT ⇒ 'a) Abs. type-definition Rep Abs B
then obtain rep :: 'basisT ⇒ 'a and abs :: 'a ⇒ 'basisT where t: type-definition
rep abs B
  by auto
have basisT-finite: class.finite TYPE('basisT)
  apply intro-classes
  using ‹finite B› t
  by (metis (mono-tags, opaque-lifting) ex-new-if-finite finite-imageI image-eqI
type-definition-def)
  note finite-span-complete-aux(2)[internalize-sort 'basisT::finite]
  note this[OF basisT-finite t]
}
note this[cancel-type-definition, OF ‹B ≠ {}› ‹finite B› - ‹independent B›]

```

```

hence complete (real-vector.span B)
  using ‹B≠{}› by auto
thus complete (real-vector.span A)
  unfolding BT by simp
next
  case False
  thus ?thesis
    using complete-singleton by auto
qed

```

**lemma** finite-span-representation-bounded:

**fixes**  $B :: 'a::\text{real-normed-vector set}$

**assumes** finite  $B$  **and** independent  $B$

**shows**  $\exists D > 0. \forall \psi b. \text{abs}(\text{representation } B \psi b) \leq \text{norm } \psi * D$

Assume  $B$  is a finite linear independent set of vectors (in a real normed vector space). Let  $\alpha_b^\psi$  be the coefficients of  $\psi$  expressed as a linear combination over  $B$ . Then  $\alpha$  is uniformly cblinfun (i.e.,  $|\alpha_b^\psi| \leq D \|\psi\| \psi$  for some  $D$  independent of  $\psi, b$ ).

(This also holds when  $b$  is not in the span of  $B$  because of the way *real-vector.representation* is defined in this corner case.)

**proof** (cases  $B \neq {}$ )

**case** True

```

define repr where repr = real-vector.representation B
{

```

```

  assume ∃(Rep :: 'basisT ⇒ 'a) Abs. type-definition Rep Abs B
  then obtain rep :: 'basisT ⇒ 'a and abs :: 'a ⇒ 'basisT where t: type-definition
  rep abs B
  by auto

```

have basisT-finite: class.finite TYPE('basisT)

  apply intro-classes

  using ‹finite B› t

  by (metis (mono-tags, opaque-lifting) ex-new-if-finite finite-imageI image-eqI
 type-definition-def)

**note** finite-span-complete-aux(1)[internalize-sort 'basis::finite]

**note** this[*OF* basisT-finite t]

}

**note** this[cancel-type-definition, *OF* True ‹finite B› - ‹independent B›]

**hence** d2:  $\exists D. \forall \psi. D > 0 \wedge \text{norm}(\text{repr } \psi b) \leq \text{norm } \psi * D$  **if** ‹ $b \in B$ › **for** b

```

by (simp add: repr-def that True)
have d1: ( $\bigwedge b. b \in B \implies$ 
 $\exists D. \forall \psi. 0 < D \wedge \text{norm}(\text{repr } \psi b) \leq \text{norm } \psi * D \implies$ 
 $\exists D. \forall b \psi. b \in B \longrightarrow$ 
 $0 < D b \wedge \text{norm}(\text{repr } \psi b) \leq \text{norm } \psi * D b$ 
apply (rule choice) by auto
then obtain D where D:  $D b > 0 \wedge \text{norm}(\text{repr } \psi b) \leq \text{norm } \psi * D b$  if  $b \in B$ 
for b  $\psi$ 
apply atomize-elim
using d2 by blast

hence Dpos:  $D b > 0$  and Dbound:  $\text{norm}(\text{repr } \psi b) \leq \text{norm } \psi * D b$ 
if  $b \in B$  for b  $\psi$ 
using that by auto
define Dall where Dall = Max (D·B)
have Dall > 0
unfolding Dall-def using <finite B> < $B \neq \{\}$ > Dpos
by (metis (mono-tags, lifting) Max-in finite-imageI image-iff image-is-empty)
have Dall  $\geq D b$  if  $b \in B$  for b
unfolding Dall-def using <finite B> that by auto
with Dbound
have norm (repr  $\psi b$ )  $\leq \text{norm } \psi * Dall$  if  $b \in B$  for b  $\psi$ 
using that
by (smt mult-left-mono norm-not-less-zero)
moreover have norm (repr  $\psi b$ )  $\leq \text{norm } \psi * Dall$  if  $b \notin B$  for b  $\psi$ 
unfolding repr-def using real-vector.representation-ne-zero True
by (metis calculation empty-subsetI less-le-trans local.repr-def norm-ge-zero
norm-zero not-less
subsetI subset-antisym)
ultimately show  $\exists D > 0. \forall \psi b. \text{abs}(\text{repr } \psi b) \leq \text{norm } \psi * D$ 
using <Dall > 0> real-norm-def by metis
next
case False
thus ?thesis
unfolding repr-def using real-vector.representation-ne-zero[of B]
using nice-ordered-field-class.linordered-field-no-ub by fastforce
qed

hide-fact finite-span-complete-aux

```

```

lemma finite-cspan-complete[simp]:
fixes B :: 'a::complex-normed-vector set
assumes finite B
shows complete (cspan B)
by (simp add: assms cspan-as-span)

```

```

lemma finite-span-closed[simp]:
fixes B :: 'a::real-normed-vector set

```

```

assumes finite B
shows closed (real-vector.span B)
by (simp add: assms complete-imp-closed)

lemma finite-cspan-closed[simp]:
fixes S::'a::complex-normed-vector set
assumes a1: finite S
shows closed (cspan S)
by (simp add: assms complete-imp-closed)

lemma closure-finite-cspan:
fixes T::'a::complex-normed-vector set
assumes finite T
shows closure (cspan T) = cspan T
by (simp add: assms)

lemma finite-cspan-crepresentation-bounded:
fixes B :: 'a::complex-normed-vector set
assumes a1: finite B and a2: c-independent B
shows ∃ D>0. ∀ ψ b. cmod (crepresentation B ψ b) ≤ norm ψ * D
proof -
define B' where B' = (B ∪ scaleC i ` B)
have independent-B': independent B'
using B'-def `c-independent B'
by (simp add: real-independent-from-complex-independent a1)
have finite B'
unfolding B'-def using `finite B` by simp
obtain D' where D' > 0 and D': norm (real-vector.representation B' ψ b) ≤
norm ψ * D'
for ψ b
apply atomize-elim
using independent-B' `finite B'
by (simp add: finite-span-representation-bounded)

define D where D = 2*D'
from `D' > 0` have `D > 0`
unfolding D-def by simp
have norm (crepresentation B ψ b) ≤ norm ψ * D for ψ b
proof (cases b ∈ B)
case True
have d3: norm i = 1
by simp

have norm (i *C complex-of-real (real-vector.representation B' ψ (i *C b)))
= norm i * norm (complex-of-real (real-vector.representation B' ψ (i *C
b)))
using norm-scaleC by blast

```

```

also have ... = norm (complex-of-real (real-vector.representation B' ψ (i *C b)))
  using d3 by simp
finally have d2: norm (i *C complex-of-real (real-vector.representation B' ψ (i *C b))) =
  = norm (complex-of-real (real-vector.representation B' ψ (i *C b))).
have norm (crepresentation B ψ b) =
  = norm (complex-of-real (real-vector.representation B' ψ b))
  + i *C complex-of-real (real-vector.representation B' ψ (i *C b))
  by (simp add: B'-def True a1 a2 crepresentation-from-representation)
also have ... ≤ norm (complex-of-real (real-vector.representation B' ψ b))
  + norm (i *C complex-of-real (real-vector.representation B' ψ (i *C b)))
  using norm-triangle-ineq by blast
also have ... = norm (complex-of-real (real-vector.representation B' ψ b))
  + norm (complex-of-real (real-vector.representation B' ψ (i *C b)))
  using d2 by simp
also have ... = norm (real-vector.representation B' ψ b)
  + norm (real-vector.representation B' ψ (i *C b))
  by simp
also have ... ≤ norm ψ * D' + norm ψ * D'
  by (rule add-mono; rule D')
also have ... ≤ norm ψ * D
  unfolding D-def by linarith
finally show ?thesis
  by auto
next
  case False
  hence crepresentation B ψ b = 0
    using complex-vector.representation-ne-zero by blast
  thus ?thesis
    by (smt ‹0 < D› norm-ge-zero norm-zero split-mult-pos-le)
qed
with ‹D > 0›
show ?thesis
  by auto
qed

lemma bounded-clinear-finite-dim[simp]:
  fixes f :: ‹'a::{cfinite-dim,complex-normed-vector} ⇒ 'b::complex-normed-vector›
  assumes ‹clinear f›
  shows ‹bounded-clinear f›
proof –
  include norm-syntax
  obtain basis :: ‹'a set› where b1: complex-vector.span basis = UNIV
    and b2: c-independent basis
    and b3: finite basis
    using finite-basis by auto
  have ‹C > 0. ∀ψ b. cmod (crepresentation basis ψ b) ≤ ‖ψ‖ * C›
    using finite-cspan-crepresentation-bounded[where B = basis] b2 b3 by blast

```

```

then obtain C where s1: cmod (crepresentation basis ψ b) ≤ ‖ψ‖ * C
  and s2: C > 0
  for ψ b by blast
define M where M = C * (∑ a∈basis. ‖f a‖)
have ‖f x‖ ≤ ‖x‖ * M
  for x
proof-
  define r where r b = crepresentation basis x b for b
  have x-span: x ∈ complex-vector.span basis
    by (simp add: b1)
  have f0: v ∈ basis
    if r v ≠ 0 for v
    using complex-vector.representation-ne-zero r-def that by auto
  have w:{a|a. r a ≠ 0} ⊆ basis
    using f0 by blast
  hence f1: finite {a|a. r a ≠ 0}
    using b3 rev-finite-subset by auto
  have f2: (∑ a| r a ≠ 0. r a *C a) = x
    unfolding r-def
    using b2 complex-vector.sum-nonzero-representation-eq x-span
    Collect-cong by fastforce
  have g1: (∑ a∈basis. crepresentation basis x a *C a) = x
    by (simp add: b2 b3 complex-vector.sum-representation-eq x-span)
  have f3: (∑ a∈basis. r a *C a) = x
    unfolding r-def
    by (simp add: g1)
  hence f x = f (∑ a∈basis. r a *C a)
    by simp
  also have ... = (∑ a∈basis. r a *C f a)
    by (smt (verit, ccfv-SIG) assms complex-vector.linear-scale complex-vector.linear-sum
sum.cong)
  finally have f x = (∑ a∈basis. r a *C f a).
  hence ‖f x‖ = ∥(∑ a∈basis. r a *C f a)∥
    by simp
  also have ... ≤ (∑ a∈basis. ‖r a *C f a‖)
    by (simp add: sum-norm-le)
  also have ... ≤ (∑ a∈basis. ‖r a‖ * ‖f a‖)
    by simp
  also have ... ≤ (∑ a∈basis. ‖x‖ * C * ‖f a‖)
    using sum-mono s1 unfolding r-def
    by (simp add: sum-mono mult-right-mono)
  also have ... ≤ ‖x‖ * C * (∑ a∈basis. ‖f a‖)
    using sum-distrib-left
    by (smt sum.cong)
  also have ... = ‖x‖ * M
    unfolding M-def
    by linarith
  finally show ?thesis .
qed

```

```

thus ?thesis
  using assms bounded-clinear-def bounded-clinear-axioms-def by blast
qed

```

**lemma** summable-on-scaleR-left-converse:

— This result has nothing to do with the bounded operator library but it uses *finite-span-closed* so it is proven here.

```

fixes f :: 'b ⇒ real'
  and c :: 'a :: real-normed-vector'
assumes c ≠ 0
assumes ⟨(λx. f x *R c) summable-on A⟩
shows ⟨f summable-on A⟩
proof –
  define fromR toR T where ⟨fromR x = x *R c⟩ and ⟨toR = inv fromR⟩ and
⟨T = range fromR⟩ for x :: real
  have ⟨additive fromR⟩
    by (simp add: fromR-def additive.intro scaleR-left-distrib)
  have ⟨inj fromR⟩
    by (simp add: fromR-def c ≠ 0 inj-def)
  have toR-fromR: ⟨toR (fromR x) = x⟩ for x
    by (simp add: inj fromR toR-def)
  have fromR-toR: ⟨fromR (toR x) = x⟩ if ⟨x ∈ T⟩ for x
    by (metis T-def f-inv-into-f that toR-def)

  have 1: ⟨sum (toR ∘ (fromR ∘ f)) F = toR (sum (fromR ∘ f) F)⟩ if ⟨finite F⟩
for F
    by (simp add: o-def additive.sum[OF ⟨additive fromR⟩, symmetric] toR-fromR)
  have 2: ⟨sum (fromR ∘ f) F ∈ T⟩ if ⟨finite F⟩ for F
    by (simp add: o-def additive.sum[OF ⟨additive fromR⟩, symmetric] T-def)
  have 3: ⟨(toR —→ toR x) (at x within T)⟩ for x
  proof (cases ⟨x ∈ T⟩)
    case True
    have ⟨dist (toR y) (toR x) < e⟩ if ⟨y ∈ T⟩ ⟨e > 0⟩ ⟨dist y x < e * norm c⟩ for
e y
      proof –
        obtain x' y' where x: ⟨x = fromR x'⟩ and y: ⟨y = fromR y'⟩
          using T-def True ⟨y ∈ T⟩ by blast
        have ⟨dist (toR y) (toR x) = dist (fromR (toR y)) (fromR (toR x)) / norm
c⟩
          by (auto simp: dist-real-def fromR-def c ≠ 0)
        also have ⟨... = dist y x / norm c⟩
          using ⟨x ∈ T⟩ ⟨y ∈ T⟩ by (simp add: fromR-toR)
        also have ⟨... < e⟩
          using ⟨dist y x < e * norm c⟩
          by (simp add: divide-less-eq that(2))
        finally show ?thesis
          by (simp add: x y toR-fromR)
      qed
    then show ?thesis
  qed

```

```

apply (auto simp: tendsto-iff at-within-def eventually-inf-principal eventually-nhds-metric)
  by (metis assms(1) div-0 divide-less-eq zero-less-norm-iff)
next
  case False
  have ‹T = span {c}›
    by (simp add: T-def fromR-def span-singleton)
  then have ‹closed T›
    by simp
  with False have ‹x ∉ closure T›
    by simp
  then have ‹(at x within T) = bot›
    by (rule not-in-closure-trivial-limitI)
  then show ?thesis
    by simp
qed
have 4: ‹(fromR o f) summable-on A›
  by (simp add: assms(2) fromR-def summable-on-cong)

have ‹(toR o (fromR o f)) summable-on A›
  using 1 2 3 4
  by (rule summable-on-comm-additive-general[where T=T])
with toR-fromR
show ?thesis
  by (auto simp: o-def)
qed

lemma infsum-scaleR-left:
— This result has nothing to do with the bounded operator library but it uses finite-span-closed so it is proven here.
It is a strengthening of infsum-scaleR-left.
fixes c :: ‹'a :: real-normed-vector›
shows infsum (λx. f x *R c) A = infsum f A *R c
proof (cases ‹f summable-on A›)
  case True
  then show ?thesis
    apply (subst asm-rl[of ‹(λx. f x *R c) = (λy. y *R c) o f›], simp add: o-def)
    apply (rule infsum-comm-additive)
    using True by (auto simp add: scaleR-left.additive-axioms)
next
  case False
  then have ‹¬ (λx. f x *R c) summable-on A› if ‹c ≠ 0›
    using summable-on-scaleR-left-converse[where A=A and f=f and c=c]
    using that by auto
  with False show ?thesis
    apply (cases ‹c = 0›)
    by (auto simp add: infsum-not-exists)
qed

```

```

lemma infsum-of-real:
  shows  $\langle \sum_{\infty} x \in A. \text{of-real } (f x) :: 'b :: \{\text{real-normed-vector}, \text{real-algebra-1}\} \rangle =$ 
   $\text{of-real} (\sum_{\infty} x \in A. f x)$ 
  — This result has nothing to do with the bounded operator library but it uses
  finite-span-closed so it is proven here.
  unfolding of-real-def
  by (rule infsum-scaleR-left)

```

## 7.5 Closed subspaces

```

lemma csubspace-INF[simp]:  $(\bigwedge x. x \in A \implies \text{csubspace } x) \implies \text{csubspace } (\bigcap A)$ 
  by (simp add: complex-vector.subspace-Inter)

```

```

locale closed-csubspace =
  fixes  $A :: ('a :: \{\text{complex-vector}, \text{topological-space}\}) \text{ set}$ 
  assumes  $\text{subspace} : \text{csubspace } A$ 
  assumes  $\text{closed} : \text{closed } A$ 

```

```

declare closed-csubspace.subspace[simp]

```

```

lemma closure-is-csubspace[simp]:
  fixes  $A :: ('a :: \text{complex-normed-vector}) \text{ set}$ 
  assumes  $\langle \text{csubspace } A \rangle$ 
  shows  $\langle \text{csubspace } (\text{closure } A) \rangle$ 
proof –
  have  $x \in \text{closure } A \implies y \in \text{closure } A \implies x + y \in \text{closure } A$  for  $x, y$ 
  proof –
    assume  $\langle x \in (\text{closure } A) \rangle$ 
    then obtain  $xx$  where  $\langle \forall n :: \text{nat}. xx \in A \rangle$  and  $\langle xx \longrightarrow x \rangle$ 
      using closure-sequential by blast
    assume  $\langle y \in (\text{closure } A) \rangle$ 
    then obtain  $yy$  where  $\langle \forall n :: \text{nat}. yy \in A \rangle$  and  $\langle yy \longrightarrow y \rangle$ 
      using closure-sequential by blast
    have  $\langle \forall n :: \text{nat}. (xx n) + (yy n) \in A \rangle$ 
      using  $\langle \forall n. xx n \in A \rangle, \langle \forall n. yy n \in A \rangle$  assms complex-vector.subspace-def
      by (simp add: complex-vector.subspace-def)
    hence  $\langle (\lambda n. (xx n) + (yy n)) \longrightarrow x + y \rangle$  using  $\langle xx \longrightarrow x \rangle, \langle yy \longrightarrow y \rangle$ 
      by (simp add: tendsto-add)
    thus ?thesis using  $\langle \forall n :: \text{nat}. (xx n) + (yy n) \in A \rangle$ 
      by (meson closure-sequential)
  qed
  moreover have  $x \in (\text{closure } A) \implies c *_C x \in (\text{closure } A)$  for  $x, c$ 
  proof –
    assume  $\langle x \in (\text{closure } A) \rangle$ 
    then obtain  $xx$  where  $\langle \forall n :: \text{nat}. xx \in A \rangle$  and  $\langle xx \longrightarrow x \rangle$ 
      using closure-sequential by blast
    have  $\langle \forall n :: \text{nat}. c *_C (xx n) \in A \rangle$ 
      using  $\langle \forall n. xx n \in A \rangle$  assms complex-vector.subspace-def

```

```

    by (simp add: complex-vector.subspace-def)
  have ⟨isCont (λ t. c *C t) x⟩
    using bounded-clinear.bounded-linear bounded-clinear-scaleC-right linear-continuous-at
by auto
  hence ⟨(λ n. c *C (xx n)) —→ c *C x⟩ using ⟨xx —→ x⟩
    by (simp add: isCont-tendsto-compose)
  thus ?thesis using ⟨∀ n::nat. c *C (xx n) ∈ A⟩
    by (meson closure-sequential)
qed
moreover have 0 ∈ (closure A)
  using assms closure-subset complex-vector.subspace-def
  by (metis in-mono)
ultimately show ?thesis
  by (simp add: complex-vector.subspaceI)
qed

lemma csupspace-set-plus:
  assumes ⟨csupspace A⟩ and ⟨csupspace B⟩
  shows ⟨csupspace (A + B)⟩
proof -
  define C where ⟨C = {ψ+φ | ψ φ. ψ∈A ∧ φ∈B}⟩
  have x∈C ⟹ y∈C ⟹ x+y∈C for x y
    using C-def assms(1) assms(2) complex-vector.subspace-add complex-vector.subspace-sums
  by blast
  moreover have c *C x ∈ C if ⟨x∈C⟩ for x c
  proof -
    have csupspace C
      by (simp add: C-def assms(1) assms(2) complex-vector.subspace-sums)
    then show ?thesis
      using that by (simp add: complex-vector.subspace-def)
  qed
  moreover have 0 ∈ C
    using ⟨C = {ψ + φ | ψ φ. ψ ∈ A ∧ φ ∈ B}⟩ add.inverse-neutral add-uminus-conv-diff
    assms(1) assms(2) diff-0 mem-Collect-eq
    add.right-inverse
    by (metis (mono-tags, lifting) complex-vector.subspace-0)
  ultimately show ?thesis
    unfolding C-def complex-vector.subspace-def
    by (smt mem-Collect-eq set-plus-elim set-plus-intro)
qed

lemma closed-csupspace-0[simp]:
  closed-csupspace ({0} :: ('a::{complex-vector,t1-space}) set)
proof -
  have ⟨csupspace {0}⟩
    using add.right-neutral complex-vector.subspace-def scaleC-right.zero
    by blast
  moreover have closed ({0} :: 'a set)
    by simp

```

```

ultimately show ?thesis
  by (simp add: closed-csubspace-def)
qed

lemma closed-csubspace-UNIV[simp]: closed-csubspace (UNIV::('a::{complex-vector,topological-space} set))
proof-
  have ⟨csubspace UNIV⟩
    by simp
  moreover have ⟨closed UNIV⟩
    by simp
  ultimately show ?thesis
    unfolding closed-csubspace-def by auto
qed

lemma closed-csubspace-inter[simp]:
  assumes closed-csubspace A and closed-csubspace B
  shows closed-csubspace (A ∩ B)
proof-
  obtain C where ⟨C = A ∩ B⟩ by blast
  have ⟨csubspace C⟩
  proof-
    have x ∈ C  $\implies$  y ∈ C  $\implies$  x + y ∈ C for x y
    by (metis IntD1 IntD2 IntI ⟨C = A ∩ B⟩ assms(1) assms(2) complex-vector.subspace-def closed-csubspace-def)
    moreover have x ∈ C  $\implies$  c * x ∈ C for x c
    by (metis IntD1 IntD2 IntI ⟨C = A ∩ B⟩ assms(1) assms(2) complex-vector.subspace-def closed-csubspace-def)
    moreover have 0 ∈ C
    using ⟨C = A ∩ B⟩ assms(1) assms(2) complex-vector.subspace-def closed-csubspace-def
    by fastforce
    ultimately show ?thesis
      by (simp add: complex-vector.subspace-def)
  qed
  moreover have ⟨closed C⟩
    using ⟨C = A ∩ B⟩
    by (simp add: assms(1) assms(2) closed-Int closed-csubspace.closed)
  ultimately show ?thesis
    using ⟨C = A ∩ B⟩
    by (simp add: closed-csubspace-def)
qed

lemma closed-csubspace-INF[simp]:
  assumes a1:  $\forall A \in \mathcal{A}$ . closed-csubspace A
  shows closed-csubspace ( $\bigcap \mathcal{A}$ )
proof-
  have ⟨csubspace ( $\bigcap \mathcal{A}$ )⟩
    by (simp add: assms closed-csubspace.subspace complex-vector.subspace-Inter)

```

```

moreover have ⟨closed (( $\bigcap$ ) $\mathcal{A}$ )⟩
  by (simp add: assms closed-Inter closed-csubspace.closed)
ultimately show ?thesis
  by (simp add: closed-csubspace.intro)
qed

typedef (overloaded) ('a::{complex-vector,topological-space})
ccsubspace = ⟨{S::'a set. closed-csubspace S}⟩
morphisms space-as-set Abs-ccsubspace
using Complex-Vector-Spaces.closed-csubspace-UNIV by blast

setup-lifting type-definition-ccsubspace

lemma csubspace-space-as-set[simp]: ⟨csubspace (space-as-set S)⟩
  by (metis closed-csubspace-def mem-Collect-eq space-as-set)

lemma closed-space-as-set[simp]: ⟨closed (space-as-set S)⟩
  apply transfer by (simp add: closed-csubspace.closed)

lemma zero-space-as-set[simp]: ⟨0 ∈ space-as-set A⟩
  by (simp add: complex-vector.subspace-0)

instantiation csubspace :: (complex-normed-vector) scaleC begin
lift-definition scaleC-ccsubspace :: complex ⇒ 'a csubspace ⇒ 'a csubspace is
  λc S. (*C) c ` S
proof
  show csubspace ((*C) c ` S) if closed-csubspace S for c :: complex and S :: 'a set
    using that
    by (simp add: complex-vector.linear-subspace-image)
  show closed ((*C) c ` S) if closed-csubspace S for c :: complex and S :: 'a set
    using that
    by (simp add: closed-scaleC closed-csubspace.closed)
qed

lift-definition scaleR-ccsubspace :: real ⇒ 'a csubspace ⇒ 'a csubspace is
  λc S. (*R) c ` S
proof
  show csubspace ((*R) r ` S)
    if closed-csubspace S
    for r :: real
    and S :: 'a set
  using that using bounded-clinear-def bounded-clinear-scaleC-right scaleR-scaleC
  by (simp add: scaleR-scaleC complex-vector.linear-subspace-image)
  show closed ((*R) r ` S)
    if closed-csubspace S
    for r :: real
    and S :: 'a set

```

```

using that
  by (simp add: closed-scaling closed-ccsubspace.closed)
qed

instance
proof
  show ((*_R) r::'a cccsubspace  $\Rightarrow$  -) = (*_C) (complex-of-real r) for r :: real
    by (simp add: scaleR-scaleC scaleC-ccsubspace-def scaleR-ccsubspace-def)
qed
end

instantiation cccsubspace :: ({complex-vector,t1-space}) bot begin
  lift-definition bot-ccsubspace :: <'a cccsubspace> is <{0}>
    by simp
  instance..
end

lemma zero-cblinfun-image[simp]: 0 *_C S = bot for S :: - cccsubspace
proof transfer
  have (0::'b)  $\in$  ( $\lambda x. 0$ ) ` S
    if closed-ccsubspace S
    for S::'b set
      using that unfolding closed-ccsubspace-def
      by (simp add: complex-vector.linear-subspace-image complex-vector.module-hom-zero
            complex-vector.subspace-0)
  thus (*_C) 0 ` S = {0::'b}
    if closed-ccsubspace (S::'b set)
    for S :: 'b set
      using that
      by (auto intro !: exI [of - 0])
qed

lemma cccsubspace-scaleC-invariant:
  fixes a S
  assumes <a  $\neq$  0> and <ccsubspace S>
  shows <(*_C) a ` S = S>
proof-
  have <x  $\in$  (*_C) a ` S  $\Longrightarrow$  x  $\in$  S>
    for x
    using assms(2) complex-vector.subspace-scale by blast
  moreover have <x  $\in$  S  $\Longrightarrow$  x  $\in$  (*_C) a ` S>
    for x
  proof-
    assume x  $\in$  S
    hence  $\exists c aa. (c / a) *_C aa \in S \wedge c *_C aa = x$ 
      using assms(2) complex-vector.subspace-def scaleC-one by metis
    hence  $\exists aa. aa \in S \wedge a *_C aa = x$ 
      using assms(1) by auto
    thus ?thesis

```

```

    by (meson image-iff)
qed
ultimately show ?thesis by blast
qed

lemma ccspace-scaleC-invariant[simp]:  $a \neq 0 \implies a *_C S = S$  for  $S :: -ccspace$ 
apply transfer
by (simp add: closed-cspace.subspace cspace-scaleC-invariant)

instantiation ccspace :: ({complex-vector,topological-space}) top
begin
lift-definition top-ccspace :: <'a ccspace> is <UNIV>
  by simp

instance ..
end

lemma space-as-set-bot[simp]: <space-as-set bot = {0}>
by (rule bot-ccspace.rep-eq)

lemma ccspace-top-not-bot[simp]:
  (top::'a::{complex-vector,t1-space,not-singleton} ccspace) ≠ bot
by (metis UNIV-not-singleton bot-ccspace.rep-eq top-ccspace.rep-eq)

lemma ccspace-bot-not-top[simp]:
  (bot::'a::{complex-vector,t1-space,not-singleton} ccspace) ≠ top
using ccspace-top-not-bot by metis

instantiation ccspace :: ({complex-vector,topological-space}) Inf
begin
lift-definition Inf-ccspace::<'a ccspace set ⇒ 'a ccspace>
  is <λ S. ⋂ S>
proof
  fix S :: 'a set set
  assume closed: closed-cspace x if <x ∈ S> for x
  show cspace (⋂ S::'a set)
    by (simp add: closed-cspace.subspace)
  show closed (⋂ S::'a set)
    by (simp add: closed-cspace.closed)
qed

instance ..
end

lift-definition ccspace :: 'a::complex-normed-vector set ⇒ 'a ccspace

```

```

is  $\lambda G. \text{closure} (\text{cspan } G)$ 
proof (rule closed-csubspace.intro)
  fix  $S :: 'a \text{ set}$ 
  show  $\text{csubspace} (\text{closure} (\text{cspan } S))$ 
    by (simp add: closure-is-csubspace)
  show  $\text{closed} (\text{closure} (\text{cspan } S))$ 
    by simp
qed

lemma ccspan-superset:
   $\langle A \subseteq \text{space-as-set} (\text{ccspan } A) \rangle$ 
  for  $A :: ('a :: \text{complex-normed-vector set})$ 
  apply transfer
  by (meson closure-subset complex-vector.span-superset subset-trans)

lemma ccspan-superset':  $\langle x \in X \implies x \in \text{space-as-set} (\text{ccspan } X) \rangle$ 
  using ccspan-superset by auto

lemma ccspan-canonical-basis[simp]:  $\text{ccspan} (\text{set canonical-basis}) = \text{top}$ 
  using ccspan.rep_eq space-as-set-inject top-ccsubspace.rep_eq
  closure-UNIV is-generator-set
  by metis

lemma ccspan-Inf-def:  $\langle \text{ccspan } A = \text{Inf} \{S. A \subseteq \text{space-as-set } S\} \rangle$ 
  for  $A :: ('a :: \text{cbanach}) \text{ set}$ 
proof –
  have  $\langle x \in \text{space-as-set} (\text{ccspan } A) \rangle$ 
     $\implies x \in \text{space-as-set} (\text{Inf} \{S. A \subseteq \text{space-as-set } S\})$ 
  for  $x :: 'a$ 
proof –
  assume  $\langle x \in \text{space-as-set} (\text{ccspan } A) \rangle$ 
  hence  $x \in \text{closure} (\text{cspan } A)$ 
    by (simp add: ccspan.rep_eq)
  hence  $\langle x \in \text{closure} (\text{complex-vector.span } A) \rangle$ 
    unfolding ccspan-def
    by simp
  hence  $\langle \exists y :: \text{nat} \Rightarrow 'a. (\forall n. y \in (\text{complex-vector.span } A)) \wedge y \longrightarrow x \rangle$ 
    by (simp add: closure-sequential)
  then obtain  $y$  where  $\langle \forall n. y \in (\text{complex-vector.span } A) \rangle$  and  $\langle y \longrightarrow x \rangle$ 
    by blast
  have  $\langle \forall n. y \in (\text{complex-vector.span } A) \subseteq S \wedge \text{closed-csubspace } S \rangle$ 
    for  $n$ 
    using  $\langle \forall n. y \in (\text{complex-vector.span } A) \rangle$ 
    by auto

  have  $\langle \text{closed-csubspace } S \implies \text{closed } S \rangle$ 
    for  $S :: 'a \text{ set}$ 
    by (simp add: closed-csubspace.closed)
  hence  $\langle \text{closed} (\bigcap \{S. (\text{complex-vector.span } A) \subseteq S \wedge \text{closed-csubspace } S\}) \rangle$ 

```

```

    by simp
  hence  $\langle x \in \bigcap \{S. (\text{complex-vector.span } A) \subseteq S \wedge \text{closed-csubspace } S\} \rangle$  using
 $\langle y \longrightarrow x \rangle$ 
    using  $\langle \bigwedge n. y n \in \bigcap \{S. \text{complex-vector.span } A \subseteq S \wedge \text{closed-csubspace } S\} \rangle$ 
  closed-sequentially
    by blast
  moreover have  $\langle \{S. A \subseteq S \wedge \text{closed-csubspace } S\} \subseteq \{S. (\text{complex-vector.span } A) \subseteq S \wedge \text{closed-csubspace } S\} \rangle$ 
    using Collect-mono-iff
    by (simp add: Collect-mono-iff closed-csubspace.subspace complex-vector.span-minimal)
  ultimately have  $\langle x \in \bigcap \{S. A \subseteq S \wedge \text{closed-csubspace } S\} \rangle$ 
    by blast
  moreover have  $(x::'a) \in \bigcap \{x. A \subseteq x \wedge \text{closed-csubspace } x\}$ 
    if  $(x::'a) \in \bigcap \{S. A \subseteq S \wedge \text{closed-csubspace } S\}$ 
    for  $x :: 'a$ 
      and  $A :: 'a$  set
      using that
      by simp
  ultimately show  $\langle x \in \text{space-as-set}(\text{Inf } \{S. A \subseteq \text{space-as-set } S\}) \rangle$ 
    apply transfer.
qed
moreover have  $\langle x \in \text{space-as-set}(\text{Inf } \{S. A \subseteq \text{space-as-set } S\}) \rangle$ 
   $\implies x \in \text{space-as-set}(\text{ccspan } A)$ 
  for  $x::'a$ 
proof-
  assume  $\langle x \in \text{space-as-set}(\text{Inf } \{S. A \subseteq \text{space-as-set } S\}) \rangle$ 
  hence  $\langle x \in \bigcap \{S. A \subseteq S \wedge \text{closed-csubspace } S\} \rangle$ 
    apply transfer
    by blast
  moreover have  $\langle \{S. (\text{complex-vector.span } A) \subseteq S \wedge \text{closed-csubspace } S\} \subseteq \{S. A \subseteq S \wedge \text{closed-csubspace } S\} \rangle$ 
    using Collect-mono-iff complex-vector.span-superset by fastforce
  ultimately have  $\langle x \in \bigcap \{S. (\text{complex-vector.span } A) \subseteq S \wedge \text{closed-csubspace } S\} \rangle$ 
    by blast
  thus  $\langle x \in \text{space-as-set}(\text{ccspan } A) \rangle$ 
    by (metis (no-types, lifting) Inter-iff space-as-set closure-subset mem-Collect-eq
  ccspan.rep-eq)
  qed
  ultimately have  $\langle \text{space-as-set}(\text{ccspan } A) = \text{space-as-set}(\text{Inf } \{S. A \subseteq \text{space-as-set } S\}) \rangle$ 
    by blast
  thus ?thesis
    using space-as-set-inject by auto
qed

lemma cspan-singleton-scaleC[simp]:  $(a::\text{complex}) \neq 0 \implies \text{cspan } \{a *_C \psi\} = \text{cspan } \{\psi\}$ 
  for  $\psi::'a::\text{complex-vector}$ 

```

```

by (smt complex-vector.dependent-single complex-vector.independent-insert
complex-vector.scale-eq-0-iff complex-vector.span-base complex-vector.span-redundant
complex-vector.span-scale doubleton-eq-iff insert-absorb insert-absorb2 in-
sert-commute
singletonI)

lemma closure-is-closed-csubspace[simp]:
fixes S::'a::complex-normed-vector set
assumes <csubspace S>
shows <closed-csubspace (closure S)>
using assms closed-csubspace.intro closure-is-csubspace by blast

lemma cccspan-singleton-scaleC[simp]: (a::complex)≠0 ==> cccspan {a *C ψ} =
ccspan {ψ}
apply transfer by simp

lemma clinear-continuous-at:
assumes <bounded-clinear f>
shows <isCont f x>
by (simp add: assms bounded-clinear.bounded-linear linear-continuous-at)

lemma clinear-continuous-within:
assumes <bounded-clinear f>
shows <continuous (at x within s) f>
by (simp add: assms bounded-clinear.bounded-linear linear-continuous-within)

lemma antilinear-continuous-at:
assumes <bounded-antilinear f>
shows <isCont f x>
by (simp add: assms bounded-antilinear.bounded-linear linear-continuous-at)

lemma antilinear-continuous-within:
assumes <bounded-antilinear f>
shows <continuous (at x within s) f>
by (simp add: assms bounded-antilinear.bounded-linear linear-continuous-within)

lemma bounded-clinear-eq-on-closure:
fixes A B :: 'a::complex-normed-vector ⇒ 'b::complex-normed-vector
assumes <bounded-clinear A> and <bounded-clinear B> and
eq: <∀x. x ∈ G ⇒ A x = B x> and t: <t ∈ closure (ccspan G)>
shows <A t = B t>
proof -
have eq': <A t = B t> if <t ∈ cspan G> for t
  using -- that eq apply (rule complex-vector.linear-eq-on)
  by (auto simp: assms bounded-clinear.clinear)
have <A t - B t = 0>
  using -- t apply (rule continuous-constant-on-closure)
  by (auto simp add: eq' assms(1) assms(2) clinear-continuous-at continuous-at-imp-continuous-on)

```

```

then show ?thesis
  by auto
qed

instantiation ccspace :: ({complex-vector,topological-space}) order
begin
lift-definition less-eq-ccspace :: <'a ccspace => 'a ccspace => bool>
  is <(≤)>.
declare less-eq-ccspace-def[code del]
lift-definition less-ccspace :: <'a ccspace => 'a ccspace => bool>
  is <(⊂)>.
declare less-ccspace-def[code del]
instance
proof
  fix x y z :: 'a ccspace
  show (x < y) = (x ≤ y ∧ ¬ y ≤ x)
    by (simp add: less-eq-ccspace.rep-eq less-le-not-le less-ccspace.rep-eq)
  show x ≤ x
    by (simp add: less-eq-ccspace.rep-eq)
  show x ≤ z if x ≤ y and y ≤ z
    using that less-eq-ccspace.rep-eq by auto
  show x = y if x ≤ y and y ≤ x
    using that by (simp add: space-as-set-inject less-eq-ccspace.rep-eq)
qed
end

lemma cccspan-leqI:
  assumes <M ⊆ space-as-set S>
  shows <ccspan M ≤ S>
  using assms apply transfer
  by (simp add: closed-cspace.closed closure-minimal complex-vector.span-minimal)

lemma cccspan-mono:
  assumes <A ⊆ B>
  shows <ccspan A ≤ cccspan B>
  apply (transfer fixing: A B)
  by (simp add: assms closure-mono complex-vector.span-mono)

lemma ccspace-leI:
  assumes t1: space-as-set A ⊆ space-as-set B
  shows A ≤ B
  using t1 apply transfer by –

lemma cccspan-of-empty[simp]: cccspan {} = bot
proof transfer
  show closure (ccspan {}) = {0::'a}
    by simp
qed

```

```

instantiation ccspace :: ({complex-vector,topological-space}) inf begin
lift-definition inf-ccspace :: 'a ccspace ⇒ 'a ccspace ⇒ 'a ccspace
  is (⊓) by simp
instance .. end

lemma space-as-set-inf[simp]: space-as-set (A ⊓ B) = space-as-set A ⊓ space-as-set
B
  by (rule inf-ccspace.rep-eq)

instantiation ccspace :: ({complex-vector,topological-space}) order-top begin
instance
proof
  show a ≤ ⊤
    for a :: 'a ccspace
    apply transfer
    by simp
qed
end

instantiation ccspace :: ({complex-vector,t1-space}) order-bot begin
instance
proof
  show (⊥::'a ccspace) ≤ a
    for a :: 'a ccspace
    apply transfer
    apply auto
    using closed-ccspace.subspace complex-vector.subspace-0 by blast
qed
end

instantiation ccspace :: ({complex-vector,topological-space}) semilattice-inf begin
instance
proof
  fix x y z :: 'a ccspace
  show x ⊔ y ≤ x
    apply transfer by simp
  show x ⊔ y ≤ y
    apply transfer by simp
  show x ≤ y ⊔ z if x ≤ y and x ≤ z
    using that apply transfer by simp
qed
end

instantiation ccspace :: ({complex-vector,t1-space}) zero begin

```

```

definition zero-ccsubspace :: 'a ccspace where [simp]: zero-ccsubspace = bot
lemma zero-ccsubspace-transfer[transfer-rule]: <pcr-ccsubspace (=) {0} 0>
  unfolding zero-ccsubspace-def by transfer-prover
instance ..
end

lemma cccspan-0[simp]: <ccspan {0} = 0>
  apply transfer
  by simp

definition <rel-ccsubspace R x y = rel-set R (space-as-set x) (space-as-set y)>

lemma left-unique-rel-ccsubspace[transfer-rule]: <left-unique (rel-ccsubspace R)> if
<left-unique R>
proof (rule left-uniqueI)
  fix S T :: '<a ccspace>' and U
  assume assms: <rel-ccsubspace R S U> <rel-ccsubspace R T U>
  have <space-as-set S = space-as-set T>
    apply (rule left-uniqueD)
    using that apply (rule left-unique-rel-set)
    using assms unfolding rel-ccsubspace-def by auto
  then show <S = T>
    by (simp add: space-as-set-inject)
qed

lemma right-unique-rel-ccsubspace[transfer-rule]: <right-unique (rel-ccsubspace R)>
if <right-unique R>
by (metis rel-ccsubspace-def right-unique-def right-unique-rel-set space-as-set-inject
that)

lemma bi-unique-rel-ccsubspace[transfer-rule]: <bi-unique (rel-ccsubspace R)> if <bi-unique
R>
by (metis (no-types, lifting) bi-unique-def bi-unique-rel-set rel-ccsubspace-def space-as-set-inject
that)

lemma converse-rel-ccsubspace: <conversep (rel-ccsubspace R) = rel-ccsubspace (conversep
R)>
by (auto simp: rel-ccsubspace-def[abs-def])

lemma space-as-set-top[simp]: <space-as-set top = UNIV>
by (rule top-ccsubspace.rep-eq)

lemma cccsubspace-eqI:
assumes < $\bigwedge x. x \in \text{space-as-set } S \longleftrightarrow x \in \text{space-as-set } T$ >
shows <S = T>
by (metis Abs-ccsubspace-cases Abs-ccsubspace-inverse antisym assms subsetI)

lemma cccspan-remove-0: <ccspan (A - {0}) = cccspan A>

```

```

apply transfer
by auto

lemma sgn-in-spaceD: <ψ ∈ space-as-set A> if <sgn ψ ∈ space-as-set A> and <ψ ≠ 0>
for ψ :: _ :: complex-normed-vector>
using that
apply (transfer fixing: ψ)
by (metis closed-csubspace.subspace complex-vector.subspace-scale divideC-field-simps(1)
scaleR-eq-0-iff scaleR-scaleC sgn-div-norm sgn-zero-iff)

lemma sgn-in-spaceI: <sgn ψ ∈ space-as-set A> if <ψ ∈ space-as-set A>
for ψ :: _ :: complex-normed-vector>
using that by (auto simp: sgn-div-norm scaleR-scaleC complex-vector.subspace-scale)

lemma ccsubspace-leI-unit:
fixes A B :: _ :: complex-normed-vector ccspace
assumes ⋀ψ. norm ψ = 1 ⟹ ψ ∈ space-as-set A ⟹ ψ ∈ space-as-set B
shows A ≤ B
proof (rule ccspace-leI, rule subsetI)
fix ψ assume ψA: <ψ ∈ space-as-set A>
show <ψ ∈ space-as-set B>
apply (cases <ψ = 0>)
apply simp
using assms[of <sgn ψ>] ψA sgn-in-spaceD sgn-in-spaceI
by (auto simp: norm-sgn)
qed

lemma kernel-is-closed-csubspace[simp]:
assumes a1: bounded-clinear f
shows closed-csubspace (f -` {0})
proof-
have <ccsubspace (f -` {0})>
using assms bounded-clinear.clinear complex-vector.linear-subspace-vimage complex-vector.subspace-single-0 by blast
have L ∈ {x. f x = 0}
if r ⟶ L and ∀ n. r n ∈ {x. f x = 0}
for r and L
proof-
have d1: <∀ n. f (r n) = 0>
using that(2) by auto
have <(λ n. f (r n)) ⟶ f L>
using assms clinear-continuous-at continuous-within-tends-to-compose' that(1)
by fastforce
hence <(λ n. 0) ⟶ f L>
using d1 by simp
hence <f = 0>
using limI by fastforce
thus ?thesis by blast

```

```

qed
then have s3: ⟨closed (f - ` {0})⟩
  using closed-sequential-limits by force
with ⟨csubspace (f - ` {0})⟩
show ?thesis
  using closed-csubspace.intro by blast
qed

lemma cccspan-closure[simp]: ⟨ccspan (closure X) = cccspan X⟩
  by (simp add: basic-trans-rules(24) cccspan.rep_eq cccspan-leqI cccspan-mono closure-mono closure-subset complex-vector.span-superset)

lemma cccspan-finite: ⟨space-as-set (ccspan X) = cspan X⟩ if ⟨finite X⟩
  by (simp add: cccspan.rep_eq that)

lemma cccspan-UNIV[simp]: ⟨ccspan UNIV = ⊤⟩
  by (simp add: cccspan.abs_eq top-cccsubspace-def)

lemma infsum-in-closed-csubspaceI:
  assumes ⟨ $\bigwedge x. x \in X \implies f x \in A$ 
```

## 7.6 Closed sums

```

definition closed-sum:: ⟨'a::{semigroup-add,topological-space} set ⇒ 'a set ⇒ 'a set⟩ where
  ⟨closed-sum A B = closure (A + B)⟩

```

```

notation closed-sum (infixl ⟨+M⟩ 65)

```

```

lemma closed-sum-comm:  $\langle A +_M B = B +_M A \rangle$  for  $A B :: -::ab-semigroup-add$ 
by (simp add: add.commute closed-sum-def)

lemma closed-sum-left-subset:  $\langle 0 \in B \implies A \subseteq A +_M B \rangle$  for  $A B :: -::monoid-add$ 
by (metis add.right-neutral closed-sum-def closure-subset in-mono set-plus-intro subsetI)

lemma closed-sum-right-subset:  $\langle 0 \in A \implies B \subseteq A +_M B \rangle$  for  $A B :: -::monoid-add$ 
by (metis add.left-neutral closed-sum-def closure-subset set-plus-intro subset-iff)

lemma finite-cspan-closed-csubspace:
assumes finite ( $S :: 'a :: complex-normed-vector set$ )
shows closed-csubspace (cspan  $S$ )
by (simp add: assms closed-csubspace.intro)

lemma closed-sum-is-sup:
fixes  $A B C :: \langle ('a :: \{complex-vector, topological-space\}) set \rangle$ 
assumes closed-csubspace  $C$ 
assumes  $\langle A \subseteq C \rangle$  and  $\langle B \subseteq C \rangle$ 
shows  $\langle (A +_M B) \subseteq C \rangle$ 
proof -
  have  $\langle A + B \subseteq C \rangle$ 
    using assms unfolding set-plus-def
    using closed-csubspace.subspace complex-vector.subspace-add by blast
  then show  $\langle (A +_M B) \subseteq C \rangle$ 
    unfolding closed-sum-def
    using closed-csubspace  $C$ 
    by (simp add: closed-csubspace.closed closure-minimal)
qed

lemma closed-subspace-closed-sum:
fixes  $A B :: ('a :: complex-normed-vector) set$ 
assumes a1: closed-csubspace  $A$  and a2: closed-csubspace  $B$ 
shows closed-csubspace  $(A +_M B)$ 
using a1 a2 closed-sum-def
by (metis closure-is-closed-csubspace csubspace-set-plus)

lemma closed-sum-assoc:
fixes  $A B C :: 'a :: real-normed-vector set$ 
shows  $\langle A +_M (B +_M C) = (A +_M B) +_M C \rangle$ 
proof -
  have  $\langle A + closure B \subseteq closure (A + B) \rangle$  for  $A B :: 'a set$ 
    by (meson closure-subset closure-sum dual-order.trans order-refl set-plus-mono2)
  then have  $\langle A +_M (B +_M C) = closure (A + (B + C)) \rangle$ 
    unfolding closed-sum-def
    by (meson antisym-conv closed-closure closure-minimal closure-mono closure-subset equalityD1 set-plus-mono2)

```

```

moreover
have ⟨closure A + B ⊆ closure (A + B)⟩ for A B :: 'a set
  by (meson closure-subset closure-sum dual-order.trans order-refl set-plus-mono2)
then have ⟨(A +M B) +M C = closure ((A + B) + C)⟩
  unfolding closed-sum-def
  by (meson closed-closure closure-minimal closure-mono closure-subset eq-iff
set-plus-mono2)
ultimately show ?thesis
  by (simp add: ab-semigroup-add-class.add-ac(1))
qed

lemma closed-sum-zero-left[simp]:
fixes A :: ⟨('a::{monoid-add, topological-space}) set⟩
shows ⟨{0} +M A = closure A⟩
unfolding closed-sum-def
by (metis add.left-neutral set-zero)

lemma closed-sum-zero-right[simp]:
fixes A :: ⟨('a::{monoid-add, topological-space}) set⟩
shows ⟨A +M {0} = closure A⟩
unfolding closed-sum-def
by (metis add.right-neutral set-zero)

lemma closed-sum-closure-right[simp]:
fixes A B :: ⟨'a::real-normed-vector set⟩
shows ⟨A +M closure B = A +M B⟩
by (metis closed-sum-assoc closed-sum-def closed-sum-zero-right closure-closure)

lemma closed-sum-closure-left[simp]:
fixes A B :: ⟨'a::real-normed-vector set⟩
shows ⟨closure A +M B = A +M B⟩
by (simp add: closed-sum-comm)

lemma closed-sum-mono-left:
assumes ⟨A ⊆ B⟩
shows ⟨A +M C ⊆ B +M C⟩
by (simp add: assms closed-sum-def closure-mono set-plus-mono2)

lemma closed-sum-mono-right:
assumes ⟨A ⊆ B⟩
shows ⟨C +M A ⊆ C +M B⟩
by (simp add: assms closed-sum-def closure-mono set-plus-mono2)

instantiation ccspace :: (complex-normed-vector) sup begin
lift-definition sup-ccspace :: 'a ccspace ⇒ 'a ccspace ⇒ 'a ccspace
  — Note that A + B would not be a closed subspace, we need the closure. See,
e.g., https://math.stackexchange.com/a/1786792/403528.
  is λA B::'a set. A +M B

```

```

    by (simp add: closed-subspace-closed-sum)
instance ..
end

lemma closed-sum-cspan[simp]:
  shows ⟨cspan X +M cspan Y = closure (cspan (X ∪ Y))⟩
  by (smt (verit, best) Collect-cong closed-sum-def complex-vector.span-Un set-plus-def)

lemma closure-image-closed-sum:
  assumes ⟨bounded-linear U⟩
  shows ⟨closure (U ` (A +M B)) = closure (U ` A) +M closure (U ` B))⟩
proof -
  have ⟨closure (U ` (A +M B)) = closure (U ` closure (closure A + closure B))⟩
    unfolding closed-sum-def
  by (smt (verit, best) closed-closure closure-minimal closure-mono closure-subset
closure-sum set-plus-mono2 subset-antisym)
  also have ⟨... = closure (U ` (closure A + closure B))⟩
    using assms closure-bounded-linear-image-subset-eq by blast
  also have ⟨... = closure (U ` closure A + U ` closure B))⟩
    apply (subst image-set-plus)
    by (simp-all add: assms bounded-linear.linear)
  also have ⟨... = closure (closure (U ` A) + closure (U ` B))⟩
    by (smt (verit, ccfv-SIG) assms closed-closure closure-bounded-linear-image-subset
closure-bounded-linear-image-subset-eq closure-minimal closure-mono closure-sum
dual-order.eq-iff set-plus-mono2)
  also have ⟨... = closure (U ` A) +M closure (U ` B))⟩
    using closed-sum-def by blast
  finally show ?thesis
    by -
qed

```

```

lemma cspan-union: cspan A ∪ cspan B = cspan (A ∪ B)
  apply transfer by simp

instantiation ccspace :: (complex-normed-vector) Sup
begin
lift-definition Sup-ccspace::'a ccspace set ⇒ 'a ccspace
  is ⟨λS. closure (complex-vector.span (Union S)))⟩
proof
  show cspace (closure (complex-vector.span (∪ S::'a set)))
    if ∀x::'a set. x ∈ S ⇒ closed-cspace x
    for S :: 'a set set
    using that
    by (simp add: closure-is-closed-cspace)
  show closed (closure (complex-vector.span (∪ S::'a set)))
    if ∀x. (x::'a set) ∈ S ⇒ closed-cspace x
    for S :: 'a set set

```

```

using that
by simp
qed

instance..
end

instance ccspace :: ({complex-normed-vector}) semilattice-sup
proof
fix x y z :: 'a ccspace
show ⟨x ≤ sup x y⟩
apply transfer
by (simp add: closed-ccspace-def closed-sum-left-subset complex-vector.subspace-0)

show y ≤ sup x y
apply transfer
by (simp add: closed-ccspace-def closed-sum-right-subset complex-vector.subspace-0)

show sup x y ≤ z if x ≤ z and y ≤ z
using that apply transfer
apply (rule closed-sum-is-sup) by auto
qed

instance ccspace :: (complex-normed-vector) complete-lattice
proof
show Inf A ≤ x if x ∈ A
for x :: 'a ccspace and A :: 'a ccspace set
using that
apply transfer
by auto

have b1: z ⊆ ∩ A
if Ball A closed-ccspace and
closed-ccspace z and
(¬ x. closed-ccspace x ⇒ x ∈ A ⇒ z ⊆ x)
for z::'a set and A
using that
by auto
show z ≤ Inf A
if ¬ x::'a ccspace. x ∈ A ⇒ z ≤ x
for A :: 'a ccspace set
and z :: 'a ccspace
using that
apply transfer
using b1 by blast

show x ≤ Sup A
if x ∈ A

```

```

for  $x :: 'a ccspace$ 
  and  $A :: 'a ccspace set$ 
  using that
  apply transfer
by (meson Union-upper closure-subset complex-vector.span-superset dual-order.trans)

show  $\text{Sup } A \leq z$ 
  if  $\bigwedge x :: 'a ccspace. x \in A \implies x \leq z$ 
  for  $A :: 'a ccspace set$ 
    and  $z :: 'a ccspace$ 
    using that apply transfer
proof -
  fix  $A :: 'a set set$  and  $z :: 'a set$ 
  assume  $A\text{-closed}: \text{Ball } A \text{ closed-ccspace}$ 
  assume  $\text{closed-ccspace } z$ 
  assume  $\text{in-}z: \bigwedge x. \text{closed-ccspace } x \implies x \in A \implies x \subseteq z$ 
  from  $A\text{-closed}$  in- $z$ 
  have  $\langle V \subseteq z \rangle$  if  $\langle V \in A \rangle$  for  $V$ 
    by (simp add: that)
  then have  $\langle \bigcup A \subseteq z \rangle$ 
    by (simp add: Sup-le-iff)
  with  $\langle \text{closed-ccspace } z \rangle$ 
  show  $\text{closure } (\text{cspan } (\bigcup A)) \subseteq z$ 
    by (simp add: closed-ccspace-def closure-minimal complex-vector.span-def
subset-hull)
qed

show  $\text{Inf } \{\} = (\text{top} :: 'a ccspace)$ 
  using  $\langle \bigwedge z. A. (\bigwedge x. x \in A \implies z \leq x) \implies z \leq \text{Inf } A \rangle$  top.extremum-uniqueI
by auto

show  $\text{Sup } \{\} = (\text{bot} :: 'a ccspace)$ 
  using  $\langle \bigwedge z. A. (\bigwedge x. x \in A \implies x \leq z) \implies \text{Sup } A \leq z \rangle$  bot.extremum-uniqueI
by auto
qed

instantiation  $\text{ccspace} :: (\text{complex-normed-vector}) \text{ comm-monoid-add begin}$ 
definition  $\text{plus-ccspace} :: 'a ccspace \Rightarrow - \Rightarrow -$ 
  where [simp]: plus-ccspace = sup
instance
proof
  fix  $a b c :: 'a ccspace$ 
  show  $a + b + c = a + (b + c)$ 
    using sup.assoc by auto
  show  $a + b = b + a$ 
    by (simp add: sup.commute)
  show  $0 + a = a$ 
    by (simp add: zero-ccspace-def)
qed

```

```

end

lemma SUP-ccspan: <(SUP x∈X. cccspan (S x)) = cccspan (∪ x∈X. S x)>
proof (rule SUP-eqI)
  show <ccspan (S x) ≤ cccspan (∪ x∈X. S x)> if <x ∈ X> for x
    apply (rule cccspan-mono)
    using that by auto
  show <ccspan (∪ x∈X. S x) ≤ y> if <∀x. x ∈ X ⇒ cccspan (S x) ≤ y> for y
    apply (intro cccspan-leqI UN-least)
    using that cccspan-superset by (auto simp: less-eq-ccsubspace.rep-eq)
qed

lemma cccsubspace-plus-sup: y ≤ x ⇒ z ≤ x ⇒ y + z ≤ x
  for x y z :: 'a::complex-normed-vector cccsubspace
  unfolding plus-ccsubspace-def by auto

lemma cccsubspace-Sup-empty: Sup {} = (0::- cccsubspace)
  unfolding zero-ccsubspace-def by auto

lemma cccsubspace-add-right-incr[simp]: a ≤ a + c for a::- cccsubspace
  by (simp add: add-increasing2)

lemma cccsubspace-add-left-incr[simp]: a ≤ c + a for a::- cccsubspace
  by (simp add: add-increasing)

lemma sum-bot-ccsubspace[simp]: <(∑ x∈X. ⊥) = (⊥ :: - cccsubspace)>
  by (simp flip: zero-ccsubspace-def)

```

## 7.7 Conjugate space

```

typedef 'a conjugate-space = UNIV :: 'a set
morphisms from-conjugate-space to-conjugate-space ..
setup-lifting type-definition-conjugate-space

instantiation conjugate-space :: (complex-vector) complex-vector begin
lift-definition scaleC-conjugate-space :: <complex ⇒ 'a conjugate-space ⇒ 'a conjugate-space> is <λc x. cnj c *C x>.
lift-definition scaleR-conjugate-space :: <real ⇒ 'a conjugate-space ⇒ 'a conjugate-space> is <λr x. r *R x>.
lift-definition plus-conjugate-space :: 'a conjugate-space ⇒ 'a conjugate-space ⇒ 'a conjugate-space is (+).
lift-definition uminus-conjugate-space :: 'a conjugate-space ⇒ 'a conjugate-space
is <λx. -x>.
lift-definition zero-conjugate-space :: 'a conjugate-space is 0.
lift-definition minus-conjugate-space :: 'a conjugate-space ⇒ 'a conjugate-space
⇒ 'a conjugate-space is (-).

instance
  apply (intro-classes; transfer)
  by (simp-all add: scaleR-scaleC scaleC-add-right scaleC-left.add)

```

```

end

instantiation conjugate-space :: (complex-normed-vector) complex-normed-vector
begin
lift-definition sgn-conjugate-space :: 'a conjugate-space  $\Rightarrow$  'a conjugate-space is
sgn.
lift-definition norm-conjugate-space :: 'a conjugate-space  $\Rightarrow$  real is norm.
lift-definition dist-conjugate-space :: 'a conjugate-space  $\Rightarrow$  'a conjugate-space  $\Rightarrow$ 
real is dist.
lift-definition uniformity-conjugate-space :: ('a conjugate-space  $\times$  'a conjugate-space)
filter is uniformity.
lift-definition open-conjugate-space :: 'a conjugate-space set  $\Rightarrow$  bool is open.
instance
  apply (intro-classes; transfer)
  by (simp-all add: dist-norm sgn-div-norm open-uniformity uniformity-dist norm-triangle-ineq)
end

instantiation conjugate-space :: (cbanach) cbanach begin
instance
  apply intro-classes
  unfolding Cauchy-def convergent-def LIMSEQ-def apply transfer
  using Cauchy-convergent unfolding Cauchy-def convergent-def LIMSEQ-def by
metis
end

lemma bounded-antilinear-to-conjugate-space[simp]: <bounded-antilinear to-conjugate-space>
  by (rule bounded-antilinear-intro[where K=1]; transfer; auto)

lemma bounded-antilinear-from-conjugate-space[simp]: <bounded-antilinear from-conjugate-space>
  by (rule bounded-antilinear-intro[where K=1]; transfer; auto)

lemma antilinear-to-conjugate-space[simp]: <antilinear to-conjugate-space>
  by (rule antilinearI; transfer, auto)

lemma antilinear-from-conjugate-space[simp]: <antilinear from-conjugate-space>
  by (rule antilinearI; transfer, auto)

lemma cspan-to-conjugate-space[simp]: cspan (to-conjugate-space ' X) = to-conjugate-space
  ' cspan X
  unfolding complex-vector.span-def complex-vector.subspace-def hull-def
  apply transfer
  apply simp
  by (metis (no-types, opaque-lifting) complex-cnj-cnj)

lemma surj-to-conjugate-space[simp]: surj to-conjugate-space
  by (meson surj-def to-conjugate-space-cases)

lemmas has-derivative-scaleC[simp, derivative-intros] =
  bounded-bilinear.FDERIV[OF bounded-cbilinear-scaleC[THEN bounded-cbilinear.bounded-bilinear]]

```

```

lemma norm-to-conjugate-space[simp]: <norm (to-conjugate-space x) = norm x>
  by (fact norm-conjugate-space.abs-eq)

lemma norm-from-conjugate-space[simp]: <norm (from-conjugate-space x) = norm
  xby (simp add: norm-conjugate-space.rep-eq)

lemma closure-to-conjugate-space: <closure (to-conjugate-space ` X) = to-conjugate-space
  ` closure X>
proof -
  have 1: <to-conjugate-space ` closure X ⊆ closure (to-conjugate-space ` X)>
    apply (rule closure-bounded-linear-image-subset)
    by (simp add: bounded-antilinear.bounded-linear)
  have <... = to-conjugate-space ` from-conjugate-space ` closure (to-conjugate-space
  ` X)>
    by (simp add: from-conjugate-space-inverse.image-image)
  also have <... ⊆ to-conjugate-space ` closure (from-conjugate-space ` to-conjugate-space
  ` X)>
    apply (rule image-mono)
    apply (rule closure-bounded-linear-image-subset)
    by (simp add: bounded-antilinear.bounded-linear)
  also have <... = to-conjugate-space ` closure X>
    by (simp add: to-conjugate-space-inverse.image-image)
  finally show ?thesis
    using 1 by simp
qed

lemma closure-from-conjugate-space: <closure (from-conjugate-space ` X) = from-conjugate-space
  ` closure X>
proof -
  have 1: <from-conjugate-space ` closure X ⊆ closure (from-conjugate-space ` X)>
    apply (rule closure-bounded-linear-image-subset)
    by (simp add: bounded-antilinear.bounded-linear)
  have <... = from-conjugate-space ` to-conjugate-space ` closure (from-conjugate-space
  ` X)>
    by (simp add: to-conjugate-space-inverse.image-image)
  also have <... ⊆ from-conjugate-space ` closure (to-conjugate-space ` from-conjugate-space
  ` X)>
    apply (rule image-mono)
    apply (rule closure-bounded-linear-image-subset)
    by (simp add: bounded-antilinear.bounded-linear)
  also have <... = from-conjugate-space ` closure X>
    by (simp add: from-conjugate-space-inverse.image-image)
  finally show ?thesis
    using 1 by simp
qed

lemma bounded-antilinear-eq-on:

```

```

fixes A B :: 'a::complex-normed-vector  $\Rightarrow$  'b::complex-normed-vector
assumes  $\langle$ bounded-antilinear A $\rangle$  and  $\langle$ bounded-antilinear B $\rangle$  and
    eq:  $\langle \forall x. x \in G \implies A x = B x \rangle$  and t:  $\langle t \in closure (cspan G) \rangle$ 
    shows  $\langle A t = B t \rangle$ 
proof -
  let ?A =  $\langle \lambda x. A (from-conjugate-space x) \rangle$  and ?B =  $\langle \lambda x. B (from-conjugate-space x) \rangle$ 
  and ?G =  $\langle to-conjugate-space 'G \rangle$  and ?t =  $\langle to-conjugate-space t \rangle$ 
  have  $\langle$ bounded-clinear ?A $\rangle$  and  $\langle$ bounded-clinear ?B $\rangle$ 
    by (auto intro!: bounded-antilinear-o-bounded-antilinear[OF  $\langle$ bounded-antilinear A $\rangle$ ]
        bounded-antilinear-o-bounded-antilinear[OF  $\langle$ bounded-antilinear B $\rangle$ ])
  moreover from eq have  $\langle \forall x. x \in ?G \implies ?A x = ?B x \rangle$ 
    by (metis image-iff iso-tuple-UNIV-I to-conjugate-space-inverse)
  moreover from t have  $\langle ?t \in closure (cspan ?G) \rangle$ 
    by (metis bounded-antilinear.bounded-linear bounded-antilinear-to-conjugate-space
        closure-bounded-linear-image-subset cspan-to-conjugate-space imageI subsetD)
  ultimately have  $\langle ?A ?t = ?B ?t \rangle$ 
    by (rule bounded-clinear-eq-on-closure)
  then show  $\langle A t = B t \rangle$ 
    by (simp add: to-conjugate-space-inverse)
  qed

```

## 7.8 Product is a Complex Vector Space

```

instantiation prod :: (complex-vector, complex-vector) complex-vector
begin

```

```

definition scaleC-prod-def:
  scaleC r A = (scaleC r (fst A), scaleC r (snd A))

```

```

lemma fst-scaleC [simp]: fst (scaleC r A) = scaleC r (fst A)
  unfolding scaleC-prod-def by simp

```

```

lemma snd-scaleC [simp]: snd (scaleC r A) = scaleC r (snd A)
  unfolding scaleC-prod-def by simp

```

```

proposition scaleC-Pair [simp]: scaleC r (a, b) = (scaleC r a, scaleC r b)
  unfolding scaleC-prod-def by simp

```

```

instance
proof
  fix a b :: complex and x y :: 'a  $\times$  'b
  show scaleC a (x + y) = scaleC a x + scaleC a y
    by (simp add: scaleC-add-right scaleC-prod-def)
  show scaleC (a + b) x = scaleC a x + scaleC b x
    by (simp add: Complex-Vector-Spaces.scaleC-prod-def scaleC-left.add)
  show scaleC a (scaleC b x) = scaleC (a * b) x
    by (simp add: prod-eq-iff)

```

```

show scaleC 1 x = x
  by (simp add: prod-eq-iff)
show ⟨(scaleR :: - ⇒ - ⇒ 'a*'b) r = (*C) (complex-of-real r)⟩ for r
  by (auto intro!: ext simp: scaleR-scaleC scaleC-prod-def scaleR-prod-def)
qed

end

lemma module-prod-scale-eq-scaleC: module-prod.scale (*C) (*C) = scaleC
  apply (rule ext) apply (rule ext)
  apply (subst module-prod.scale-def)
  subgoal by unfold-locales
  by (simp add: scaleC-prod-def)

interpretation complex-vector?: vector-space-prod scaleC:::-⇒-⇒'a::complex-vector
scaleC:::-⇒-⇒'b::complex-vector
  rewrites scale = ((*C):::-⇒-⇒('a × 'b))
  and module.dependent (*C) = cdependent
  and module.representation (*C) = crepresentation
  and module.subspace (*C) = csubspace
  and module.span (*C) = cspan
  and vector-space.extend-basis (*C) = cextend-basis
  and vector-space.dim (*C) = cdim
  and Vector-Spaces.linear (*C) (*C) = clinear
  subgoal by unfold-locales
  subgoal by (fact module-prod-scale-eq-scaleC)
  unfolding cdependent-raw-def crepresentation-raw-def csubspace-raw-def cspan-raw-def
  cextend-basis-raw-def cdim-raw-def clinear-def
  by (rule refl)+

instance prod :: (complex-normed-vector, complex-normed-vector) complex-normed-vector

proof
  fix c :: complex and x y :: 'a × 'b
  show norm (c *C x) = cmod c * norm x
    unfolding norm-prod-def
    apply (simp add: power-mult-distrib)
    apply (simp add: distrib-left [symmetric])
    by (simp add: real-sqrt-mult)
qed

lemma cspan-Times: ⟨cspan (S × T) = cspan S × cspan T⟩ if ⟨0 ∈ S⟩ and ⟨0 ∈ T⟩
proof
  have ⟨fst ` cspan (S × T) ⊆ cspan S⟩
    apply (subst complex-vector.linear-span-image[symmetric])
    using that complex-vector.module-hom-fst by auto
  moreover have ⟨snd ` cspan (S × T) ⊆ cspan T⟩

```

```

apply (subst complex-vector.linear-span-image[symmetric])
using that complex-vector.module-hom-snd by auto
ultimately show <cspan (S × T) ⊆ cspan S × cspan T>
by auto

show <cspan S × cspan T ⊆ cspan (S × T)>
proof
fix x assume assm: <x ∈ cspan S × cspan T>
then have <fst x ∈ cspan S>
by auto
then obtain t1 r1 where fst-x: <fst x = (∑ a∈t1. r1 a *C a)> and [simp]:
<finite t1> and <t1 ⊆ S>
by (auto simp add: complex-vector.span-explicit)
from assm
have <snd x ∈ cspan T>
by auto
then obtain t2 r2 where snd-x: <snd x = (∑ a∈t2. r2 a *C a)> and [simp]:
<finite t2> and <t2 ⊆ T>
by (auto simp add: complex-vector.span-explicit)
define t :: <('a+'b) set> and r :: <('a+'b) ⇒ complex> and f :: <('a+'b) ⇒ ('a×'b)>
where <t = t1 <+> t2>
and <r a = (case a of Inl a1 ⇒ r1 a1 | Inr a2 ⇒ r2 a2)>
and <f a = (case a of Inl a1 ⇒ (a1,0) | Inr a2 ⇒ (0,a2))>
for a
have <finite t>
by (simp add: t-def)
moreover have <f ` t ⊆ S × T>
using <t1 ⊆ S> <t2 ⊆ T> that
by (auto simp: f-def t-def)
moreover have <(fst x, snd x) = (∑ a∈t. r a *C f a)>
apply (simp only: fst-x snd-x)
by (auto simp: t-def sum.Plus r-def f-def sum-prod)
ultimately show <x ∈ cspan (S × T)>
apply auto
by (smt (verit, best) complex-vector.span-scale complex-vector.span-sum complex-vector.span-superset image-subset-iff subset-iff)
qed
qed

lemma onorm-case-prod-plus: <onorm (case-prod plus :: - ⇒ 'a::{real-normed-vector, not-singleton}) = sqrt 2>
proof –
obtain x :: 'a where <x ≠ 0>
apply atomize-elim by auto
show ?thesis
apply (rule onormI[where x=<(x,x)>])
using norm-plus-leq-norm-prod apply force
using <x ≠ 0>

```

```

by (auto simp add: zero-prod-def norm-prod-def real-sqrt-mult
      simp flip: scaleR-2)
qed

```

## 7.9 Copying existing theorems into sublocales

```

context bounded-clinear begin
interpretation bounded-linear f by (rule bounded-linear)
lemmas continuous = real.continuous
lemmas uniform-limit = real.uniform-limit
lemmas Cauchy = real.Cauchy
end

context bounded-antilinear begin
interpretation bounded-linear f by (rule bounded-linear)
lemmas continuous = real.continuous
lemmas uniform-limit = real.uniform-limit
end

context bounded-cbilinear begin
interpretation bounded-bilinear prod by simp
lemmas tendsto = real.tendsto
lemmas isCont = real.isCont
lemmas scaleR-right = real.scaleR-right
lemmas scaleR-left = real.scaleR-left
end

context bounded-sesquilinear begin
interpretation bounded-bilinear prod by simp
lemmas tendsto = real.tendsto
lemmas isCont = real.isCont
lemmas scaleR-right = real.scaleR-right
lemmas scaleR-left = real.scaleR-left
end

lemmas tendsto-scaleC [tendsto-intros] =
  bounded-cbilinear.tendsto [OF bounded-cbilinear-scaleC]

unbundle no lattice-syntax
end

```

## 8 Complex-Inner-Product0 – Inner Product Spaces and Gradient Derivative

```

theory Complex-Inner-Product0
  imports

```

*Complex-Main Complex-Vector-Spaces*  
*HOL-Analysis.Inner-Product*  
*Complex-Bounded-Operators.Extra-Ordered-Fields*

begin

## 8.1 Complex inner product spaces

Temporarily relax type constraints for *open*, *uniformity*, *dist*, and *norm*.

```

setup <Sign.add-const-constraint
  (const-name <open>, SOME typ <'a::open set  $\Rightarrow$  bool'>)

setup <Sign.add-const-constraint
  (const-name <dist>, SOME typ <'a::dist  $\Rightarrow$  'a  $\Rightarrow$  real'>)

setup <Sign.add-const-constraint
  (const-name <uniformity>, SOME typ <('a::uniformity  $\times$  'a) filter'>)

setup <Sign.add-const-constraint
  (const-name <norm>, SOME typ <'a::norm  $\Rightarrow$  real'>)

class complex-inner = complex-vector + sgn-div-norm + dist-norm + uniformity-dist + open-uniformity +
  fixes cinner :: 'a  $\Rightarrow$  'a  $\Rightarrow$  complex
  assumes cinner-commute: cinner x y = cnj (cinner y x)
  and cinner-add-left: cinner (x + y) z = cinner x z + cinner y z
  and cinner-scaleC-left [simp]: cinner (scaleC r x) y = (cnj r) * (cinner x y)
  and cinner-ge-zero [simp]: 0  $\leq$  cinner x x
  and cinner-eq-zero-iff [simp]: cinner x x = 0  $\longleftrightarrow$  x = 0
  and norm-eq-sqrt-cinner: norm x = sqrt (cmod (cinner x x))
begin

lemma cinner-zero-left [simp]: cinner 0 x = 0
  using cinner-add-left [of 0 0 x] by simp

lemma cinner-minus-left [simp]: cinner (- x) y = - cinner x y
  using cinner-add-left [of x - x y]
  by (simp add: group-add-class.add-eq-0-iff)

lemma cinner-diff-left: cinner (x - y) z = cinner x z - cinner y z
  using cinner-add-left [of x - y z] by simp

lemma cinner-sum-left: cinner ( $\sum x \in A. f x$ ) y = ( $\sum x \in A. cinner (f x) y$ )
  by (cases finite A, induct set: finite, simp-all add: cinner-add-left)

lemma call-zero-iff [simp]: ( $\forall u. cinner x u = 0$ )  $\longleftrightarrow$  (x = 0)
  by auto (use cinner-eq-zero-iff in blast)

Transfer distributivity rules to right argument.

lemma cinner-add-right: cinner x (y + z) = cinner x y + cinner x z
```

```

using cinner-add-left [of y z x]
by (metis complex-cnj-add local.cinner-commute)

lemma cinner-scaleC-right [simp]: cinner x (scaleC r y) = r * (cinner x y)
using cinner-scaleC-left [of r y x]
by (metis complex-cnj-cnj complex-cnj-mult local.cinner-commute)

lemma cinner-zero-right [simp]: cinner x 0 = 0
using cinner-zero-left [of x]
by (metis (mono-tags, opaque-lifting) complex-cnj-zero local.cinner-commute)

lemma cinner-minus-right [simp]: cinner x (- y) = - cinner x y
using cinner-minus-left [of y x]
by (metis complex-cnj-minus local.cinner-commute)

lemma cinner-diff-right: cinner x (y - z) = cinner x y - cinner x z
using cinner-diff-left [of y z x]
by (metis complex-cnj-diff local.cinner-commute)

lemma cinner-sum-right: cinner x ( $\sum y \in A. f y$ ) = ( $\sum y \in A. cinner x (f y)$ )
proof (subst cinner-commute)
have ( $\sum y \in A. cinner (f y) x$ ) = ( $\sum y \in A. cinner (f y) x$ )
by blast
hence cnj ( $\sum y \in A. cinner (f y) x$ ) = cnj ( $\sum y \in A. (cinner (f y) x)$ )
by simp
hence cnj (cinner (sum f A) x) = ( $\sum y \in A. cnj (cinner (f y) x)$ )
by (simp add: cinner-sum-left)
thus cnj (cinner (sum f A) x) = ( $\sum y \in A. (cinner x (f y))$ )
by (subst (2) cinner-commute)
qed

lemmas cinner-add [algebra-simps] = cinner-add-left cinner-add-right
lemmas cinner-diff [algebra-simps] = cinner-diff-left cinner-diff-right
lemmas cinner-scaleC = cinner-scaleC-left cinner-scaleC-right

```

```

lemma cinner-gt-zero-iff [simp]: 0 < cinner x x  $\longleftrightarrow$  x ≠ 0
by (smt (verit) less-irrefl local.cinner-eq-zero-iff local.cinner-ge-zero order.not-eq-order-implies-strict)

```

```

lemma power2-norm-eq-cinner:
shows (complex-of-real (norm x))2 = (cinner x x)
by (smt (verit, del-insts) Im-complex-of-real Re-complex-of-real cinner-gt-zero-iff
cinner-zero-right cmod-def complex-eq-0 complex-eq-iff less-complex-def local.norm-eq-sqrt-cinner
of-real-power real-sqrt-abs real-sqrt-pow2-iff zero-complex.sel(1))

```

```

lemma power2-norm-eq-cinner':

```

**shows**  $(\text{norm } x)^2 = \text{Re}(\text{cinner } x \ x)$   
**by** (metis Re-complex-of-real of-real-power power2-norm-eq-cinner)

Identities involving real multiplication and division.

**lemma** cinner-mult-left:  $\text{cinner}(\text{of-complex } m * a) b = \text{cnj } m * (\text{cinner } a b)$   
**by** (simp add: of-complex-def)

**lemma** cinner-mult-right:  $\text{cinner } a (\text{of-complex } m * b) = m * (\text{cinner } a b)$   
**by** (metis complex-inner-class.cinner-scaleC-right scaleC-conv-of-complex)

**lemma** cinner-mult-left':  $\text{cinner}(a * \text{of-complex } m) b = \text{cnj } m * (\text{cinner } a b)$   
**by** (metis cinner-mult-left mult.right-neutral mult-scaleC-right scaleC-conv-of-complex)

**lemma** cinner-mult-right':  $\text{cinner } a (b * \text{of-complex } m) = (\text{cinner } a b) * m$   
**by** (simp add: complex-inner-class.cinner-scaleC-right of-complex-def)

**lemma** Cauchy-Schwarz-ineq:  
 $(\text{cinner } x y) * (\text{cinner } y x) \leq \text{cinner } x x * \text{cinner } y y$

**proof** (cases)  
**assume**  $y = 0$   
**thus** ?thesis by simp

**next**  
**assume**  $y: y \neq 0$   
**have** [simp]:  $\text{cnj}(\text{cinner } y y) = \text{cinner } y y$  **for**  $y$   
**by** (metis cinner-commute)  
**define**  $r$  **where**  $r = \text{cnj}(\text{cinner } x y) / \text{cinner } y y$   
**have**  $0 \leq \text{cinner}(x - \text{scaleC } r y) (x - \text{scaleC } r y)$   
**by** (rule cinner-ge-zero)  
**also have** ... =  $\text{cinner } x x - r * \text{cinner } x y - \text{cnj } r * \text{cinner } y x + r * \text{cnj } r * \text{cinner } y y$   
**unfolding** cinner-diff-left cinner-diff-right cinner-scaleC-left cinner-scaleC-right  
**by** (smt (z3) cancel-comm-monoid-add-class.diff-cancel cancel-comm-monoid-add-class.diff-zero  
complex-cnj-divide group-add-class.diff-add-cancel local.cinner-commute local.cinner-eq-zero-iff  
local.cinner-scaleC-left mult.assoc mult.commute mult-eq-0-iff nonzero-eq-divide-eq  
r-def y)  
**also have** ... =  $\text{cinner } x x - \text{cinner } y x * \text{cnj } r$   
**unfolding** r-def by auto  
**also have** ... =  $\text{cinner } x x - \text{cinner } x y * \text{cnj}(\text{cinner } x y) / \text{cinner } y y$   
**unfolding** r-def  
**by** (metis complex-cnj-divide local.cinner-commute mult.commute times-divide-eq-left)  
**finally have**  $0 \leq \text{cinner } x x - \text{cinner } x y * \text{cnj}(\text{cinner } x y) / \text{cinner } y y$ .  
**hence**  $\text{cinner } x y * \text{cnj}(\text{cinner } x y) / \text{cinner } y y \leq \text{cinner } x x$   
**by** (simp add: le-diff-eq)  
**thus**  $\text{cinner } x y * \text{cinner } y x \leq \text{cinner } x x * \text{cinner } y y$   
**by** (metis cinner-gt-zero-iff local.cinner-commute nice-ordered-field-class.pos-divide-le-eq  
y)  
**qed**

```

lemma Cauchy-Schwarz-ineq2:
  shows norm (cinner x y) ≤ norm x * norm y
proof (rule power2-le-imp-le)
  have (norm (cinner x y)) ^ 2 = Re (cinner x y * cinner y x)
    by (metis (full-types) Re-complex-of-real complex-norm-square local.cinner-commute)
  also have ... ≤ Re (cinner x x * cinner y y)
    using Cauchy-Schwarz-ineq by (rule Re-mono)
  also have ... = Re (complex-of-real ((norm x) ^ 2) * complex-of-real ((norm y) ^ 2))
    by (simp add: power2-norm-eq-cinner)
  also have ... = (norm x * norm y) ^ 2
    by (simp add: power-mult-distrib)
  finally show (cmod (cinner x y)) ^ 2 ≤ (norm x * norm y) ^ 2 .
  show 0 ≤ norm x * norm y
    by (simp add: local.norm-eq-sqrt-cinner)
qed

```

```

subclass complex-normed-vector
proof
  fix a :: complex and r :: real and x y :: 'a
  show norm x = 0 ↔ x = 0
    unfolding norm-eq-sqrt-cinner by simp
  show norm (x + y) ≤ norm x + norm y
    proof (rule power2-le-imp-le)
      have Re (cinner x y) ≤ cmod (cinner x y)
        if ∀x. Re x ≤ cmod x and
          ∀x y. x ≤ y ⇒ complex-of-real x ≤ complex-of-real y
        using that by simp
      hence a1: 2 * Re (cinner x y) ≤ 2 * cmod (cinner x y)
        if ∀x. Re x ≤ cmod x and
          ∀x y. x ≤ y ⇒ complex-of-real x ≤ complex-of-real y
        using that by simp
      have cinner x y + cinner y x = complex-of-real (2 * Re (cinner x y))
        by (metis complex-add-cnj local.cinner-commute)
      also have ... ≤ complex-of-real (2 * cmod (cinner x y))
        using complex-Re-le-cmod complex-of-real-mono a1
        by blast
      also have ... = 2 * abs (cinner x y)
        unfolding abs-complex-def by simp
      also have ... ≤ 2 * complex-of-real (norm x) * complex-of-real (norm y)
        using Cauchy-Schwarz-ineq2 unfolding abs-complex-def less-eq-complex-def
      by auto
      finally have xyyx: cinner x y + cinner y x ≤ complex-of-real (2 * norm x * norm y)
    qed

```

```

    by auto
  have complex-of-real ((norm (x + y))2) = cinner (x+y) (x+y)
    by (simp add: power2-norm-eq-cinner)
  also have ... = cinner x x + cinner x y + cinner y x + cinner y y
    by (simp add: cinner-add)
  also have ... = complex-of-real ((norm x)2) + complex-of-real ((norm y)2) +
  cinner x y + cinner y x
    by (simp add: power2-norm-eq-cinner)
  also have ... ≤ complex-of-real ((norm x)2) + complex-of-real ((norm y)2) +
  complex-of-real (2 * norm x * norm y)
    using xyxx by auto
  also have ... = complex-of-real ((norm x + norm y)2)
    unfolding power2-sum by auto
  finally show (norm (x + y))2 ≤ (norm x + norm y)2
    using complex-of-real-mono-iff by blast
  show 0 ≤ norm x + norm y
    unfolding norm-eq-sqrt-cinner by simp
qed
show norm-scaleC: norm (a *C x) = cmod a * norm x for a
proof (rule power2-eq-imp-eq)
  show (norm (a *C x))2 = (cmod a * norm x)2
    by (simp-all add: norm-eq-sqrt-cinner norm-mult power2-eq-square)
  show 0 ≤ norm (a *C x)
    by (simp-all add: norm-eq-sqrt-cinner)
  show 0 ≤ cmod a * norm x
    by (simp-all add: norm-eq-sqrt-cinner)
qed
show norm (r *R x) = |r| * norm x
  unfolding scaleR-scaleC norm-scaleC by auto
qed

end

```

```

lemma csquare-continuous:
  fixes e :: real
  shows e > 0 ⟹ ∃ d. 0 < d ∧ (∀ y. cmod (y - x) < d ⟹ cmod (y * y - x * x) < e)
    using isCont-power[OF continuous-ident, of x, unfolded isCont-def LIM-eq, rule-format,
    of e 2]
    by (force simp add: power2-eq-square)

lemma cnorm-le: norm x ≤ norm y ⟷ cinner x x ≤ cinner y y
  by (smt (verit) complex-of-real-mono-iff norm-eq-sqrt-cinner norm-ge-zero of-real-power
  power2-norm-eq-cinner real-sqrt-le-mono real-sqrt-pow2)

lemma cnorm-lt: norm x < norm y ⟷ cinner x x < cinner y y

```

```

by (meson cnorm-le less-le-not-le)

lemma cnorm-eq: norm x = norm y  $\longleftrightarrow$  cinner x x = cinner y y
  by (metis norm-eq-sqrt-cinner power2-norm-eq-cinner)

lemma cnorm-eq-1: norm x = 1  $\longleftrightarrow$  cinner x x = 1
  by (metis cinner-ge-zero cmod-Re norm-eq-sqrt-cinner norm-one of-real-1 of-real-power
power2-norm-eq-cinner power2-norm-eq-cinner' real-sqrt-eq-iff real-sqrt-one)

lemma cinner-divide-left:
  fixes a :: 'a :: {complex-inner,complex-div-algebra}
  shows cinner (a / of-complex m) b = (cinner a b) / cnj m
  by (metis cinner-mult-left' complex-cnj-inverse divide-inverse mult.commute of-complex-inverse)

lemma cinner-divide-right:
  fixes a :: 'a :: {complex-inner,complex-div-algebra}
  shows cinner a (b / of-complex m) = (cinner a b) / m
  by (metis cinner-mult-right' divide-inverse of-complex-inverse)

Re-enable constraints for open, uniformity, dist, and norm.

setup `Sign.add-const-constraint
  (const-name `open, SOME typ `('a::topological-space set  $\Rightarrow$  bool))` 

setup `Sign.add-const-constraint
  (const-name `uniformity, SOME typ `('a::uniform-space  $\times$  'a) filter)` 

setup `Sign.add-const-constraint
  (const-name `dist, SOME typ `('a::metric-space  $\Rightarrow$  'a  $\Rightarrow$  real))` 

setup `Sign.add-const-constraint
  (const-name `norm, SOME typ `('a::real-normed-vector  $\Rightarrow$  real))` 

lemma bounded-sesquilinear-cinner:
  bounded-sesquilinear (cinner::'a::complex-inner  $\Rightarrow$  'a  $\Rightarrow$  complex)
proof
  fix x y z :: 'a and r :: complex
  show cinner (x + y) z = cinner x z + cinner y z
    by (rule cinner-add-left)
  show cinner x (y + z) = cinner x y + cinner x z
    by (rule cinner-add-right)
  show cinner (scaleC r x) y = scaleC (cnj r) (cinner x y)
    unfolding complex-scaleC-def by (rule cinner-scaleC-left)
  show cinner x (scaleC r y) = scaleC r (cinner x y)
    unfolding complex-scaleC-def by (rule cinner-scaleC-right)
  have  $\forall x y::'a.$  norm (cinner x y)  $\leq$  norm x * norm y * 1
    by (simp add: complex-inner-class.Cauchy-Schwarz-ineq2)
  thus  $\exists K.$   $\forall x y::'a.$  norm (cinner x y)  $\leq$  norm x * norm y * K
    by metis

```

**qed**

```
lemmas tendsto-cinner [tendsto-intros] =
  bounded-bilinear.tendsto [OF bounded-sesquilinear-cinner[THEN bounded-sesquilinear.bounded-bilinear]]  
  
lemmas isCont-cinner [simp] =
  bounded-bilinear.isCont [OF bounded-sesquilinear-cinner[THEN bounded-sesquilinear.bounded-bilinear]]  
  
lemmas has-derivative-cinner [derivative-intros] =
  bounded-bilinear.FDERIV [OF bounded-sesquilinear-cinner[THEN bounded-sesquilinear.bounded-bilinear]]  
  
lemmas bounded-antilinear-cinner-left =
  bounded-sesquilinear.bounded-antilinear-left [OF bounded-sesquilinear-cinner]  
  
lemmas bounded-clinear-cinner-right =
  bounded-sesquilinear.bounded-clinear-right [OF bounded-sesquilinear-cinner]  
  
lemmas bounded-antilinear-cinner-left-comp = bounded-antilinear-cinner-left[THEN
  bounded-antilinear-o-bounded-clinear]  
  
lemmas bounded-clinear-cinner-right-comp = bounded-clinear-cinner-right[THEN
  bounded-clinear-compose]  
  
lemmas has-derivative-cinner-right [derivative-intros] =
  bounded-linear.has-derivative [OF bounded-clinear-cinner-right[THEN bounded-clinear.bounded-linear]]  
  
lemmas has-derivative-cinner-left [derivative-intros] =
  bounded-linear.has-derivative [OF bounded-antilinear-cinner-left[THEN bounded-antilinear.bounded-linear]]  
  
lemma differentiable-cinner [simp]:
  f differentiable (at x within s)  $\implies$  g differentiable at x within s  $\implies$  ( $\lambda x$ . cinner (f x) (g x)) differentiable at x within s  
  unfolding differentiable-def by (blast intro: has-derivative-cinner)
```

## 8.2 Class instances

```
instantiation complex :: complex-inner
begin  
  
definition cinner-complex-def [simp]: cinner x y = cnj x * y  
  
instance
proof
  fix x y z r :: complex
  show cinner x y = cnj (cinner y x)
    unfolding cinner-complex-def by auto
  show cinner (x + y) z = cinner x z + cinner y z
    unfolding cinner-complex-def
    by (simp add: ring-class.ring-distrib(2))
```

```

show cinner (scaleC r x) y = cnj r * cinner x y
  unfolding cinner-complex-def complex-scaleC-def by simp
show 0 ≤ cinner x x
  by simp
show cinner x x = 0 ↔ x = 0
  unfolding cinner-complex-def by simp
have cmod (Complex x1 x2) = sqrt (cmod (cinner (Complex x1 x2) (Complex x1 x2)))
  for x1 x2
  unfolding cinner-complex-def complex-cnj complex-mult complex-norm
  by (simp add: power2-eq-square)
thus norm x = sqrt (cmod (cinner x x))
  by (cases x, hypsubst-thin)
qed

end

lemma
  shows complex-inner-1-left[simp]: cinner 1 x = x
  and complex-inner-1-right[simp]: cinner x 1 = cnj x
  by simp-all

lemma cdot-square-norm: cinner x x = complex-of-real ((norm x)2)
  by (metis Im-complex-of-real Re-complex-of-real cinner-ge-zero complex-eq-iff less-eq-complex-def
power2-norm-eq-cinner' zero-complex.simps(2))

lemma cnorm-eq-square: norm x = a ↔ 0 ≤ a ∧ cinner x x = complex-of-real
(a2)
  by (metis cdot-square-norm norm-ge-zero of-real-eq-iff power2-eq-iff-nonneg)

lemma cnorm-le-square: norm x ≤ a ↔ 0 ≤ a ∧ cinner x x ≤ complex-of-real
(a2)
  by (smt (verit) cdot-square-norm complex-of-real-mono-iff norm-ge-zero power2-le-imp-le)

lemma cnorm-ge-square: norm x ≥ a ↔ a ≤ 0 ∨ cinner x x ≥ complex-of-real
(a2)
  by (smt (verit, best) antisym-conv cnorm-eq-square cnorm-le-square complex-of-real-nn-iff
nn-comparable zero-le-power2)

lemma norm-lt-square: norm x < a ↔ 0 < a ∧ cinner x x < complex-of-real
(a2)
  by (meson cnorm-ge-square cnorm-le-square less-le-not-le)

lemma norm-gt-square: norm x > a ↔ a < 0 ∨ cinner x x > complex-of-real
(a2)
  by (smt (verit, ccfv-SIG) cdot-square-norm complex-of-real-strict-mono-iff norm-ge-zero
power2-eq-imp-eq power-mono)

```

Dot product in terms of the norm rather than conversely.

```
lemmas cinner-simps = cinner-add-left cinner-add-right cinner-diff-right cinner-diff-left
cinner-scaleC-left cinner-scaleC-right
```

```
lemma cdot-norm: cinner x y = ((norm (x+y))^2 - (norm (x-y))^2 - i * (norm
(x + i *C y))^2 + i * (norm (x - i *C y))^2) / 4
unfolding power2-norm-eq-cinner
by (simp add: power2-norm-eq-cinner cinner-add-left cinner-add-right
cinner-diff-left cinner-diff-right ring-distrib)

lemma of-complex-inner-1 [simp]:
cinner (of-complex x) (1 :: 'a :: {complex-inner, complex-normed-algebra-1}) =
cnj x
by (metis Complex-Inner-Product0.complex-inner-1-right cinner-complex-def cin-
ner-mult-left complex-cnj-one norm-one of-complex-def power2-norm-eq-cinner scaleC-conv-of-complex)

lemma summable-of-complex-iff:
summable (λx. of-complex (f x) :: 'a :: {complex-normed-algebra-1, complex-inner})
↔ summable f
proof
assume *: summable (λx. of-complex (f x) :: 'a)
have bounded-clinear (cinner (1::'a))
by (rule bounded-clinear-cinner-right)
then interpret bounded-linear λx::'a. cinner 1 x
by (rule bounded-clinear.bounded-linear)
from summable [OF *] show summable f
apply (subst (asm) cinner-commute) by simp
next
assume sum: summable f
thus summable (λx. of-complex (f x) :: 'a)
by (rule summable-of-complex)
qed
```

### 8.3 Gradient derivative

**definition**

```
cgderiv :: ['a::complex-inner ⇒ complex, 'a, 'a] ⇒ bool
(⟨(cGDERIV (-) / (-)) :> (-)⟩ [1000, 1000, 60] 60)
where
```

```
cGDERIV f x :> D ↔ FDERIV f x :> cinner D
```

```
lemma cgderiv-deriv [simp]: cGDERIV f x :> D ↔ DERIV f x :> cnj D
by (simp only: cgderiv-def has-field-derivative-def cinner-complex-def[THEN ext])
```

**lemma** cGDERIV-DERIV-compose:

```
assumes cGDERIV f x :> df and DERIV g (f x) :> cnj dg
shows cGDERIV (λx. g (f x)) x :> scaleC dg df
```

```

proof (insert assms)
  show cGDERIV ( $\lambda x. g(fx)$ )  $x :> dg *_C df$ 
    if cGDERIV  $fx :> df$ 
      and ( $g$  has-field-derivative  $cnj dg$ ) (at ( $fx$ ))
  unfolding cgderiv-def has-field-derivative-def cinner-scaleC-left complex-cnj-cnj
  using that
  by (simp add: cgderiv-def has-derivative-compose has-field-derivative-imp-has-derivative)

qed

```

**lemma** cGDERIV-subst:  $\llbracket cGDERIV f x :> df; df = d \rrbracket \implies cGDERIV f x :> d$   
**by** simp

**lemma** cGDERIV-const: cGDERIV ( $\lambda x. k$ )  $x :> 0$   
**unfolding** cgderiv-def cinner-zero-left[THEN ext] **by** (rule has-derivative-const)

**lemma** cGDERIV-add:  
 $\llbracket cGDERIV f x :> df; cGDERIV g x :> dg \rrbracket$   
 $\implies cGDERIV (\lambda x. fx + gx) x :> df + dg$   
**unfolding** cgderiv-def cinner-add-left[THEN ext] **by** (rule has-derivative-add)

**lemma** cGDERIV-minus:  
 $cGDERIV f x :> df \implies cGDERIV (\lambda x. -fx) x :> -df$   
**unfolding** cgderiv-def cinner-minus-left[THEN ext] **by** (rule has-derivative-minus)

**lemma** cGDERIV-diff:  
 $\llbracket cGDERIV f x :> df; cGDERIV g x :> dg \rrbracket$   
 $\implies cGDERIV (\lambda x. fx - gx) x :> df - dg$   
**unfolding** cgderiv-def cinner-diff-left **by** (rule has-derivative-diff)

**lemma** cGDERIV-scaleC:  
 $\llbracket DERIV f x :> df; cGDERIV g x :> dg \rrbracket$   
 $\implies cGDERIV (\lambda x. scaleC(fx)(gx)) x$   
 $:> (scaleC(cnj(fx))dg + scaleC(cnj(df))(cnj(gx)))$   
**unfolding** cgderiv-def has-field-derivative-def cinner-add-left cinner-scaleC-left  
**apply** (rule has-derivative-subst)  
**apply** (erule (1) has-derivative-scaleC)  
**by** (simp add: ac-simps)

**lemma** GDERIV-mult:  
 $\llbracket cGDERIV f x :> df; cGDERIV g x :> dg \rrbracket$   
 $\implies cGDERIV (\lambda x. fx * gx) x :> cnj(fx) *_C dg + cnj(gx) *_C df$   
**unfolding** cgderiv-def  
**apply** (rule has-derivative-subst)  
**apply** (erule (1) has-derivative-mult)  
**apply** (rule ext)

**by** (*simp add: cinner-add ac-simps*)

**lemma** *cGDERIV-inverse*:  
 $\llbracket \text{cGDERIV } f x :> df; f x \neq 0 \rrbracket$   
 $\implies \text{cGDERIV } (\lambda x. \text{inverse} (f x)) x :> - \text{cnj} ((\text{inverse} (f x))^2) *_C df$   
**by** (*metis DERIV-inverse cGDERIV-DERIV-compose complex-cnj-cnj complex-cnj-minus numerals(2)*)

**lemma** *has-derivative-norm[derivative-intros]*:  
**fixes**  $x :: 'a::\text{complex-inner}$   
**assumes**  $x \neq 0$   
**shows** (*norm has-derivative* ( $\lambda h. \text{Re} (\text{cinner} (\text{sgn} x) h))$ ) (*at x*)  
**thm** *has-derivative-norm*  
**proof** –  
**have** *Re-pos*:  $0 < \text{Re} (\text{cinner} x x)$   
**using** *assms*  
**by** (*metis Re-strict-mono cinner-gt-zero-iff zero-complex.simps(1)*)  
**have** *Re-plus-Re*:  $\text{Re} (\text{cinner} x y) + \text{Re} (\text{cinner} y x) = 2 * \text{Re} (\text{cinner} x y)$   
**for**  $x y :: 'a$   
**by** (*metis cinner-commute cnj.simps(1) mult-2-right semiring-normalization-rules(7)*)  
**have** *norm*:  $\text{norm} x = \sqrt{\text{Re} (\text{cinner} x x)}$  **for**  $x :: 'a$   
**apply** (*subst norm-eq-sqrt-cinner, subst cmod-Re*)  
**using** *cinner-ge-zero* **by** *auto*  
**have** *v2*:  $((\lambda x. \sqrt{\text{Re} (\text{cinner} x x)}) \text{ has-derivative }$   
 $(\lambda x a. (\text{Re} (\text{cinner} x x a) + \text{Re} (\text{cinner} x a x)) * (\text{inverse} (\sqrt{\text{Re} (\text{cinner} x x)} / 2))) \text{ (at x)}$   
**by** (*rule derivative-eq-intros | simp add: Re-pos*)  
**have** *v1*:  $((\lambda x. \sqrt{\text{Re} (\text{cinner} x x)}) \text{ has-derivative } (\lambda y. \text{Re} (\text{cinner} x y) / \sqrt{\text{Re} (\text{cinner} x x)})) \text{ (at x)}$   
**if**  $((\lambda x. \sqrt{\text{Re} (\text{cinner} x x)}) \text{ has-derivative } (\lambda x a. \text{Re} (\text{cinner} x x a) * \text{inverse} (\sqrt{\text{Re} (\text{cinner} x x)}))) \text{ (at x)}$   
**using** *that apply* (*subst divide-real-def*)  
**by** *simp*  
**have**  $\langle (\text{norm has-derivative } (\lambda y. \text{Re} (\text{cinner} x y) / \text{norm} x)) \text{ (at x)} \rangle$   
**using** *v2*  
**apply** (*auto simp: Re-plus-Re norm [abs-def]*)  
**using** *v1* **by** *blast*  
**then show** *?thesis*  
**by** (*auto simp: power2-eq-square sgn-div-norm scaleR-scaleC*)  
**qed**

**bundle** *cinner-syntax*  
**begin**  
**notation** *cinner* (**infix**  $\langle \cdot_C \rangle$  70)

```
end
```

```
end
```

## 9 Complex-Inner-Product – Complex Inner Product Spaces

```
theory Complex-Inner-Product
```

```
imports
```

```
Complex-Inner-Product0
```

```
begin
```

### 9.1 Complex inner product spaces

```
unbundle cinner-syntax
```

```
lemma cinner-real: cinner x x ∈ ℝ
```

```
by (simp add: cdot-square-norm)
```

```
lemmas cinner-commute' [simp] = cinner-commute[symmetric]
```

```
lemma (in complex-inner) cinner-eq-flip: «(cinner x y = cinner z w) ↔ (cinner y x = cinner w z)»
```

```
by (metis cinner-commute)
```

```
lemma Im-cinner-x-x[simp]: Im (x ·C x) = 0
```

```
using comp-Im-same[OF cinner-ge-zero] by simp
```

```
lemma of-complex-inner-1' [simp]:
```

```
cinner (1 :: 'a :: {complex_inner, complex_normed_algebra_1}) (of_complex x) =
```

```
x
```

```
by (metis cinner-commute complex-cnj-cnj of-complex-inner-1)
```

```
class chilbert-space = complex_inner + complete_space
```

```
begin
```

```
subclass cbanach by standard
```

```
end
```

```
instantiation complex :: chilbert-space begin
```

```
instance ..
```

```
end
```

### 9.2 Misc facts

```
lemma cinner-scaleR-left [simp]: cinner (scaleR r x) y = of_real r * (cinner x y)
```

```
by (simp add: scaleR-scaleC)
```

**lemma** *cinner-scaleR-right* [simp]:  $cinner x (scaleR r y) = of-real r * (cinner x y)$   
**by** (simp add: scaleR-scaleC)

This is a useful rule for establishing the equality of vectors

**lemma** *cinner-extensionality*:  
**assumes**  $\langle \forall \gamma. \gamma \cdot_C \psi = \gamma \cdot_C \varphi \rangle$   
**shows**  $\langle \psi = \varphi \rangle$   
**by** (metis assms cinner-eq-zero-iff cinner-simps(3) right-minus-eq)

**lemma** *polar-identity*:  
**includes** norm-syntax  
**shows**  $\langle \|x + y\|^2 = \|x\|^2 + \|y\|^2 + 2 * Re(x \cdot_C y) \rangle$   
— Shown in the proof of Corollary 1.5 in [1]  
**proof** –  
**have**  $\langle (x \cdot_C y) + (y \cdot_C x) = (x \cdot_C y) + cnj(x \cdot_C y) \rangle$   
**by** simp  
**hence**  $\langle (x \cdot_C y) + (y \cdot_C x) = 2 * Re(x \cdot_C y) \rangle$   
**using** complex-add-cnj by presburger  
**have**  $\langle \|x + y\|^2 = (x+y) \cdot_C (x+y) \rangle$   
**by** (simp add: cdot-square-norm)  
**hence**  $\langle \|x + y\|^2 = (x \cdot_C x) + (x \cdot_C y) + (y \cdot_C x) + (y \cdot_C y) \rangle$   
**by** (simp add: cinner-add-left cinner-add-right)  
**thus** ?thesis **using**  $\langle (x \cdot_C y) + (y \cdot_C x) = 2 * Re(x \cdot_C y) \rangle$   
**by** (smt (verit, ccfv-SIG) Re-complex-of-real plus-complex.simps(1) power2-norm-eq-cinner')  
**qed**

**lemma** *polar-identity-minus*:  
**includes** norm-syntax  
**shows**  $\langle \|x - y\|^2 = \|x\|^2 + \|y\|^2 - 2 * Re(x \cdot_C y) \rangle$   
**proof** –  
**have**  $\langle \|x + (-y)\|^2 = \|x\|^2 + \| -y \|^2 + 2 * Re(x \cdot_C -y) \rangle$   
**using** polar-identity by blast  
**hence**  $\langle \|x - y\|^2 = \|x\|^2 + \|y\|^2 - 2 * Re(x \cdot_C y) \rangle$   
**by** simp  
**thus** ?thesis  
**by** blast  
**qed**

**proposition** *parallelogram-law*:  
**includes** norm-syntax  
**fixes**  $x y :: 'a::complex-inner$   
**shows**  $\langle \|x+y\|^2 + \|x-y\|^2 = 2 * (\|x\|^2 + \|y\|^2) \rangle$   
— Shown in the proof of Theorem 2.3 in [1]  
**by** (simp add: polar-identity-minus polar-identity)

**theorem** *pythagorean-theorem*:  
**includes** norm-syntax  
**shows**  $\langle (x \cdot_C y) = 0 \implies \|x + y\|^2 = \|x\|^2 + \|y\|^2 \rangle$

— Shown in the proof of Theorem 2.2 in [1]  
**by** (*simp add: polar-identity*)

```
lemma pythagorean-theorem-sum:
assumes q1:  $\bigwedge a a'. a \in t \implies a' \in t \implies a \neq a' \implies f a \cdot_C f a' = 0$ 
and q2: finite t
shows (norm  $(\sum a \in t. f a)$ ) $^2$  =  $(\sum a \in t. (\text{norm } (f a))^2)$ 
proof (insert q1, use q2 in induction)
  case empty
  show ?case
    by auto
next
  case (insert x F)
  have r1:  $f x \cdot_C f a = 0$ 
  if a ∈ F
  for a
    using that insert.hyps(2) insert.preds by auto
  have sum f F =  $(\sum a \in F. f a)$ 
    by simp
  hence s4:  $f x \cdot_C \text{sum } f F = f x \cdot_C (\sum a \in F. f a)$ 
    by simp
  also have s3: ... =  $(\sum a \in F. f x \cdot_C f a)$ 
    using cinner-sum-right by auto
  also have s2: ... =  $(\sum a \in F. 0)$ 
    using r1
    by simp
  also have s1: ... = 0
    by simp
  finally have xF-ortho:  $f x \cdot_C \text{sum } f F = 0$ 
    using s2 s3 by auto
  have (norm (sum f (insert x F))) $^2$  = (norm (f x + sum f F)) $^2$ 
    by (simp add: insert.hyps(1) insert.hyps(2))
  also have ... = (norm (f x)) $^2$  + (norm (sum f F)) $^2$ 
    using xF-ortho by (rule pythagorean-theorem)
  also have ... = (norm (f x)) $^2$  +  $(\sum a \in F. (\text{norm } (f a))^2)$ 
    apply (subst insert.IH) using insert.preds by auto
  also have ... =  $(\sum a \in \text{insert } x F. (\text{norm } (f a))^2)$ 
    by (simp add: insert.hyps(1) insert.hyps(2))
  finally show ?case
    by simp
qed
```

```
lemma Cauchy-cinner-Cauchy:
fixes x y :: 'nat ⇒ 'a::complex_inner
assumes a1: ⟨Cauchy x⟩ and a2: ⟨Cauchy y⟩
shows ⟨Cauchy (λ n. x n ·C y n)⟩
proof –
  have ⟨bounded (range x)⟩
```

```

using a1
by (simp add: Elementary-Metric-Spaces.cauchy-imp-bounded)
hence b1:  $\exists M. \forall n. \text{norm}(x n) < M$ 
by (meson bounded-pos-less rangeI)
have  $\langle \text{bounded}(\text{range } y) \rangle$ 
using a2
by (simp add: Elementary-Metric-Spaces.cauchy-imp-bounded)
hence b2:  $\exists M. \forall n. \text{norm}(y n) < M$ 
by (meson bounded-pos-less rangeI)
have  $\langle \exists M. \forall n. \text{norm}(x n) < M \wedge \text{norm}(y n) < M \rangle$ 
using b1 b2
by (metis dual-order.strict-trans linorder-neqE-linordered-idom)
then obtain M where M1:  $\langle \bigwedge n. \text{norm}(x n) < M \rangle$  and M2:  $\langle \bigwedge n. \text{norm}(y n) < M \rangle$ 
by blast
have M3:  $\langle M > 0 \rangle$ 
by (smt M2 norm-not-less-zero)
have  $\langle \exists N. \forall n \geq N. \forall m \geq N. \text{norm}((\lambda i. x i \cdot_C y i) n - (\lambda i. x i \cdot_C y i) m) < e \rangle$ 
if e > 0 for e
proof-
have  $\langle e / (2*M) > 0 \rangle$ 
using M3
by (simp add: that)
hence  $\langle \exists N. \forall n \geq N. \forall m \geq N. \text{norm}(x n - x m) < e / (2*M) \rangle$ 
using a1
by (simp add: Cauchy-iff)
then obtain N1 where N1-def:  $\langle \bigwedge n m. n \geq N1 \implies m \geq N1 \implies \text{norm}(x n - x m) < e / (2*M) \rangle$ 
by blast
have x1:  $\langle \exists N. \forall n \geq N. \forall m \geq N. \text{norm}(y n - y m) < e / (2*M) \rangle$ 
using a2  $\langle e / (2*M) > 0 \rangle$ 
by (simp add: Cauchy-iff)
obtain N2 where N2-def:  $\langle \bigwedge n m. n \geq N2 \implies m \geq N2 \implies \text{norm}(y n - y m) < e / (2*M) \rangle$ 
using x1
by blast
define N where N-def:  $\langle N = N1 + N2 \rangle$ 
hence  $\langle N \geq N1 \rangle$ 
by auto
have  $\langle N \geq N2 \rangle$ 
using N-def
by auto
have  $\langle \text{norm}(x n \cdot_C y n - x m \cdot_C y m) < e \rangle$ 
if  $\langle n \geq N \rangle$  and  $\langle m \geq N \rangle$ 
for n m
proof -
have  $\langle x n \cdot_C y n - x m \cdot_C y m = (x n \cdot_C y n - x m \cdot_C y n) + (x m \cdot_C y n - x m \cdot_C y m) \rangle$ 

```

```

by simp
hence y1: <norm (x n •C y n - x m •C y m) ≤ norm (x n •C y n - x m •C
y n)
    + norm (x m •C y n - x m •C y m)>
by (metis norm-triangle-ineq)

have <x n •C y n - x m •C y n = (x n - x m) •C y n>
by (simp add: cinner-diff-left)
hence <norm (x n •C y n - x m •C y n) = norm ((x n - x m) •C y n)>
by simp
moreover have <norm ((x n - x m) •C y n) ≤ norm (x n - x m) * norm
(y n)>
using complex-inner-class.Cauchy-Schwarz-ineq2 by blast
moreover have <norm (y n) < M>
by (simp add: M2)
moreover have <norm (x n - x m) < e/(2*M)>
using <N ≤ m> <N ≤ n> <N1 ≤ N> N1-def by auto
ultimately have <norm ((x n •C y n) - (x m •C y n)) < (e/(2*M)) * M>
by (smt linordered-semiring-strict-class.mult-strict-mono norm-ge-zero)
moreover have <(e/(2*M)) * M = e/2>
using <M > 0> by simp
ultimately have <norm ((x n •C y n) - (x m •C y n)) < e/2>
by simp
hence y2: <norm (x n •C y n - x m •C y n) < e/2>
by blast
have <x m •C y n - x m •C y m = x m •C (y n - y m)>
by (simp add: cinner-diff-right)
hence <norm ((x m •C y n) - (x m •C y m)) = norm (x m •C (y n - y m))>
by simp
moreover have <norm (x m •C (y n - y m)) ≤ norm (x m) * norm (y n -
y m)>
by (meson complex-inner-class.Cauchy-Schwarz-ineq2)
moreover have <norm (x m) < M>
by (simp add: M1)
moreover have <norm (y n - y m) < e/(2*M)>
using <N ≤ m> <N ≤ n> <N2 ≤ N> N2-def by auto
ultimately have <norm ((x m •C y n) - (x m •C y m)) < M * (e/(2*M))>
by (smt linordered-semiring-strict-class.mult-strict-mono norm-ge-zero)
moreover have <M * (e/(2*M)) = e/2>
using <M > 0> by simp
ultimately have <norm ((x m •C y n) - (x m •C y m)) < e/2>
by simp
hence y3: <norm ((x m •C y n) - (x m •C y m)) < e/2>
by blast
show <norm ((x n •C y n) - (x m •C y m)) < e>
using y1 y2 y3 by simp
qed
thus ?thesis by blast
qed

```

```

thus ?thesis
  by (simp add: CauchyI)
qed

```

```

lemma cinner-sup-norm: ‹norm ψ = (SUP φ. cmod (cinner φ ψ) / norm φ)›
proof (rule sym, rule cSup-eq-maximum)
  have ‹norm ψ = cmod (cinner ψ ψ) / norm ψ›
    by (metis norm-eq-sqrt-cinner norm-ge-zero real-div-sqrt)
  then show ‹norm ψ ∈ range (λφ. cmod (cinner φ ψ) / norm φ)›
    by blast
next
  fix n assume ‹n ∈ range (λφ. cmod (cinner φ ψ) / norm φ)›
  then obtain φ where ‹n = cmod (cinner φ ψ) / norm φ›
    by auto
  show ‹n ≤ norm ψ›
    unfolding nφ
    by (simp add: complex-inner-class.Cauchy-Schwarz-ineq2 divide-le-eq ordered-field-class.sign-simps(33))
qed

```

```

lemma cinner-sup-onorm:
  fixes A :: ‹'a::{real-normed-vector,not-singleton} ⇒ 'b::complex-inner›
  assumes ‹bounded-linear A›
  shows ‹onorm A = (SUP (ψ,φ). cmod (cinner ψ (A φ)) / (norm ψ * norm φ))›
proof (unfold onorm-def, rule cSup-eq-cSup)
  show ‹bdd-above (range (λx. norm (A x) / norm x))›
    by (meson assms bdd-aboveI2 le-onorm)
next
  fix a
  assume ‹a ∈ range (λφ. norm (A φ) / norm φ)›
  then obtain φ where ‹a = norm (A φ) / norm φ›
    by auto
  then have ‹a ≤ cmod (cinner (A φ) (A φ)) / (norm (A φ) * norm φ)›
    apply auto
    by (smt (verit) divide-divide-eq-left norm-eq-sqrt-cinner norm-imp-pos-and-ge
        real-div-sqrt)
    then show ‹∃ b∈range (λ(ψ, φ). cmod (cinner ψ (A φ)) / (norm ψ * norm φ)). a ≤ b›
      by force
next
  fix b
  assume ‹b ∈ range (λ(ψ, φ). cmod (cinner ψ (A φ)) / (norm ψ * norm φ))›
  then obtain ψ φ where ‹b = cmod (cinner ψ (A φ)) / (norm ψ * norm φ)›
    by auto
  then have ‹b ≤ norm (A φ) / norm φ›
    apply auto
    by (smt (verit, ccfv-threshold) complex-inner-class.Cauchy-Schwarz-ineq2 division-ring-divide-zero linordered-field-class.divide-right-mono mult-cancel-left1 nonzero-mult-divide-mult-cancel norm-imp-pos-and-ge ordered-field-class.sign-simps(33) zero-le-divide-iff)

```

```

then show  $\langle \exists a \in \text{range } (\lambda x. \text{norm } (A x) / \text{norm } x). b \leq a \rangle$ 
  by auto
qed

```

**lemma** *sum-cinner*:

```

fixes  $f :: 'a \Rightarrow 'b::\text{complex-inner}$ 
shows  $\text{cinner } (\text{sum } f A) (\text{sum } g B) = (\sum i \in A. \sum j \in B. \text{cinner } (f i) (g j))$ 
  by (simp add: cinner-sum-right cinner-sum-left) (rule sum.swap)

```

**lemma** *Cauchy-cinner-product-summable'*:

```

fixes  $a b :: \text{nat} \Rightarrow 'a::\text{complex-inner}$ 
shows  $\langle (\lambda(x, y). \text{cinner } (a x) (b y)) \text{ summable-on } \text{UNIV} \longleftrightarrow (\lambda(x, y). \text{cinner } (a y) (b (x - y))) \text{ summable-on } \{(k, i). i \leq k\} \rangle$ 

```

**proof** –

```

have  $\text{img}: \langle (\lambda(k:\text{nat}, i). (i, k - i)) ' \{(k, i). i \leq k\} = \text{UNIV} \rangle$ 

```

```

apply (auto simp: image-def)

```

```

by (metis add.commute add-diff-cancel-right' diff-le-self)

```

```

have  $\text{inj}: \langle \text{inj-on } (\lambda(k:\text{nat}, i). (i, k - i)) \{(k, i). i \leq k\} \rangle$ 

```

```

by (smt (verit, del-insts) Pair-inject case-prodE case-prod-conv eq-diff-iff inj-onI mem-Collect-eq)

```

```

have  $\langle (\lambda(x, y). \text{cinner } (a x) (b y)) \text{ summable-on } \text{UNIV} \longleftrightarrow (\lambda(k, l). \text{cinner } (a k) (b l)) \text{ summable-on } (\lambda(k, i). (i, k - i)) ' \{(k, i). i \leq k\} \rangle$ 

```

```

by (simp only: img)

```

```

also have  $\langle \dots \longleftrightarrow ((\lambda(k, l). \text{cinner } (a k) (b l)) \circ (\lambda(k, i). (i, k - i))) \text{ summable-on } \{(k, i). i \leq k\} \rangle$ 

```

```

using  $\text{inj}$  by (rule summable-on-reindex)

```

```

also have  $\langle \dots \longleftrightarrow (\lambda(x, y). \text{cinner } (a y) (b (x - y))) \text{ summable-on } \{(k, i). i \leq k\} \rangle$ 

```

```

by (simp add: o-def case-prod-unfold)

```

```

finally show ?thesis

```

```

by –

```

**qed**

**instantiation**  $\text{prod} :: (\text{complex-inner}, \text{complex-inner}) \text{ complex-inner}$   
**begin**

**definition** *cinner-prod-def*:

```

cinner  $x y = \text{cinner } (\text{fst } x) (\text{fst } y) + \text{cinner } (\text{snd } x) (\text{snd } y)$ 

```

**instance**

**proof**

```

fix  $r :: \text{complex}$ 

```

```

fix  $x y z :: 'a::\text{complex-inner} \times 'b::\text{complex-inner}$ 

```

```

show  $\text{cinner } x y = \text{cnj } (\text{cinner } y x)$ 

```

```

unfolding cinner-prod-def

```

```

by simp

```

```

show  $\text{cinner } (x + y) z = \text{cinner } x z + \text{cinner } y z$ 

```

```

unfolding cinner-prod-def
by (simp add: cinner-add-left)
show cinner (scaleC r x) y = cnj r * cinner x y
unfolding cinner-prod-def
by (simp add: distrib-left)
show 0 ≤ cinner x x
unfolding cinner-prod-def
by (intro add-nonneg-nonneg cinner-ge-zero)
show cinner x x = 0 ↔ x = 0
unfolding cinner-prod-def prod-eq-iff
by (metis antisym cinner-eq-zero-iff cinner-ge-zero fst-zero le-add-same-cancel2
snd-zero verit-sum-simplify)
show norm x = sqrt (cmod (cinner x x))
unfolding norm-prod-def cinner-prod-def
apply (simp add: norm-prod-def cinner-prod-def)
by (metis (no-types, lifting) Complex-Inner-Product.cinner-prod-def Re-complex-of-real
<0 ≤ x ·C x> cmod-Re of-real-add of-real-power power2-norm-eq-cinner)
qed

end

lemma sgn-cinner[simp]: <sgn ψ ·C ψ = norm ψ>
apply (cases <ψ = 0>)
apply (auto simp: sgn-div-norm)
by (metis (no-types, lifting) cdot-square-norm cinner-ge-zero cmod-Re divide-inverse
mult.commute norm-eq-sqrt-cinner norm-ge-zero of-real-inverse of-real-mult power2-norm-eq-cinner'
real-div-sqrt)

instance prod :: (chilbert-space, chilbert-space) chilbert-space..

```

### 9.3 Orthogonality

**definition** orthogonal-complement  $S = \{x \mid x. \forall y \in S. \text{cinner } x y = 0\}$

**lemma** orthogonal-complement-orthoI:  
 $\langle x \in \text{orthogonal-complement } M \implies y \in M \implies x \cdot_C y = 0 \rangle$   
**unfolding** orthogonal-complement-def **by** auto

**lemma** orthogonal-complement-orthoI':  
 $\langle x \in M \implies y \in \text{orthogonal-complement } M \implies x \cdot_C y = 0 \rangle$   
**by** (metis cinner-commute' complex-cnj-zero orthogonal-complement-orthoI)

**lemma** orthogonal-complementI:  
 $\langle (\bigwedge x. x \in M \implies y \cdot_C x = 0) \implies y \in \text{orthogonal-complement } M \rangle$   
**unfolding** orthogonal-complement-def  
**by** simp

**abbreviation** is-orthogonal::<'a::complex-inner ⇒ 'a ⇒ bool> **where**  
 $\langle \text{is-orthogonal } x y \equiv x \cdot_C y = 0 \rangle$

```

bundle orthogonal-syntax
begin
notation is-orthogonal (infixl ‹⊥› 69)
end

lemma is-orthogonal-sym: is-orthogonal  $\psi \varphi$  = is-orthogonal  $\varphi \psi$ 
  by (metis cinner-commute' complex-cnj-zero)

lemma is-orthogonal-sgn-right[simp]: ‹is-orthogonal e (sgn f) ↔ is-orthogonal e f›
  proof (cases ‹f = 0›)
    case True
    then show ?thesis
      by simp
  next
    case False
    have ‹cinner e (sgn f) = cinner e f / norm f›
      by (simp add: sgn-div-norm divide-inverse scaleR-scaleC)
    moreover have ‹norm f ≠ 0›
      by (simp add: False)
    ultimately show ?thesis
      by force
  qed

lemma is-orthogonal-sgn-left[simp]: ‹is-orthogonal (sgn e) f ↔ is-orthogonal e f›
  by (simp add: is-orthogonal-sym)

lemma orthogonal-complement-closed-subspace[simp]:
  closed-csubspace (orthogonal-complement A)
  for A :: ‹('a::complex_inner) set›
  proof (intro closed-csubspace.intro complex-vector.subspaceI)
    fix x y and c
    show ‹0 ∈ orthogonal-complement A›
      by (rule orthogonal-complementI, simp)
    show ‹x + y ∈ orthogonal-complement A›
      if ‹x ∈ orthogonal-complement A› and ‹y ∈ orthogonal-complement A›
        using that by (auto intro!: orthogonal-complementI dest!: orthogonal-complement-orthoI
          simp add: cinner-add-left)
    show ‹c *C x ∈ orthogonal-complement A› if ‹x ∈ orthogonal-complement A›
      using that by (auto intro!: orthogonal-complementI dest!: orthogonal-complement-orthoI)

    show closed (orthogonal-complement A)
      proof (auto simp add: closed-sequential-limits, rename-tac an a)
        fix an a
        assume ortho: ‹∀ n::nat. an n ∈ orthogonal-complement A›
        assume lim: ‹an ⟶ a›

```

```

have ⟨∀ y ∈ A. ∀ n. is-orthogonal y (an n)⟩
  using orthogonal-complement-orthoI'
  by (simp add: orthogonal-complement-orthoI' ortho)
moreover have ⟨isCont (λ x. y •C x) a⟩ for y
  using bounded-clinear-cinner-right clinear-continuous-at
  by (simp add: clinear-continuous-at bounded-clinear-cinner-right)
ultimately have ⟨(λ n. (λ v. y •C v) (an n)) —→ (λ v. y •C v) a⟩ for y
  using isCont-tendsto-compose
  by (simp add: isCont-tendsto-compose lim)
hence ⟨∀ y ∈ A. (λ n. y •C an n) —→ y •C a⟩
  by simp
hence ⟨∀ y ∈ A. (λ n. 0) —→ y •C a⟩
  using ⟨∀ y ∈ A. ∀ n. is-orthogonal y (an n)⟩
  by fastforce
hence ⟨∀ y ∈ A. is-orthogonal y a⟩
  using limI by fastforce
then show ⟨a ∈ orthogonal-complement A⟩
  by (simp add: orthogonal-complementI is-orthogonal-sym)
qed
qed

```

```

lemma orthogonal-complement-zero-intersection:
assumes 0 ∈ M
shows ⟨M ∩ (orthogonal-complement M) = {0}⟩
proof –
have x=0 if x ∈ M and x ∈ orthogonal-complement M for x
proof –
from that have is-orthogonal x x
  unfolding orthogonal-complement-def by auto
thus x=0
  by auto
qed
with assms show ?thesis
  unfolding orthogonal-complement-def by auto
qed

```

```

lemma is-orthogonal-closure-cspan:
assumes ∀x y. x ∈ X ⇒ y ∈ Y ⇒ is-orthogonal x y
assumes ⟨x ∈ closure (cspan X)⟩ ⟨y ∈ closure (cspan Y)⟩
shows is-orthogonal x y
proof –
have *: ⟨cinner x y = 0⟩ if ⟨y ∈ Y⟩ for y
  using bounded-antilinear-cinner-left apply (rule bounded-antilinear-eq-on[where
G=X])
  using assms that by auto
show ⟨cinner x y = 0⟩
  using bounded-clinear-cinner-right apply (rule bounded-clinear-eq-on-closure[where
G=Y])
  using * assms by auto

```

qed

```
instantiation ccspace :: (complex-inner) uminus
begin
lift-definition uminus-ccspace::<'a ccspace => 'a ccspace>
  is <orthogonal-complement>
  by simp

instance ..
end

lemma orthocomplement-top[simp]: <- top = (bot :: 'a::complex-inner ccspace)>
  — For 'a of sort chilbert-space, this is covered by orthocomplemented-lattice-class.compl-top-eq
already. But here we give it a wider sort.
  apply transfer
  by (metis Int-UNIV-left UNIV-I orthogonal-complement-zero-intersection)

instantiation ccspace :: (complex-inner) minus begin
lift-definition minus-ccspace :: 'a ccspace => 'a ccspace => 'a ccspace
  is  $\lambda A B. A \cap (\text{orthogonal-complement } B)$ 
  by simp
instance..
end

definition is-ortho-set :: 'a::complex-inner set  $\Rightarrow$  bool where
  — Orthogonal set
  <is-ortho-set S  $\longleftrightarrow$  ( $\forall x \in S. \forall y \in S. x \neq y \rightarrow (x \cdot_C y) = 0$ )  $\wedge$   $0 \notin S$ >

definition is-onb :: 'a::complex-inner set  $\Rightarrow$  bool where
  — Orthonormal basis
  <is-onb E  $\longleftrightarrow$  is-ortho-set E  $\wedge$  ( $\forall b \in E. \text{norm } b = 1$ )  $\wedge$  ccspan E = top>

lemma is-ortho-set-empty[simp]: is-ortho-set {}
  unfolding is-ortho-set-def by auto

lemma is-ortho-set-antimono:  $A \subseteq B \implies \text{is-ortho-set } B \implies \text{is-ortho-set } A$ 
  unfolding is-ortho-set-def by auto

lemma orthogonal-complement-of-closure:
  fixes A ::('a::complex-inner) set
  shows orthogonal-complement A = orthogonal-complement (closure A)
proof-
  have s1: <is-orthogonal y x>
    if a1:  $x \in (\text{orthogonal-complement } A)$ 
    and a2: < $y \in \text{closure } A$ >
    for x y
  proof-
    have < $\forall y \in A. \text{is-orthogonal } y x$ >
```

```

by (simp add: a1 orthogonal-complement-orthoI')
then obtain yy where <math>\forall n. yy \in A \wedge yy \longrightarrow y</math>
  using a2 closure-sequential by blast
have <math>\text{isCont}(\lambda t. t \cdot_C x) y</math>
  by simp
hence <math>\langle (\lambda n. yy \in A \wedge yy \longrightarrow y) \cdot_C x \rangle</math>
  using <math>\langle yy \longrightarrow y \rangle \text{ isCont-tends-to-compose}</math>
  by fastforce
hence <math>\langle (\lambda n. 0) \longrightarrow y \cdot_C x \rangle</math>
  using <math>\forall y \in A. \text{is-orthogonal } y x \wedge \forall n. yy \in A \text{ by simp}</math>
thus ?thesis
  using limI by force
qed
hence <math>x \in \text{orthogonal-complement}(\text{closure } A)</math>
if a1: <math>x \in (\text{orthogonal-complement } A)</math>
for x
using that
by (meson orthogonal-complementI is-orthogonal-sym)
moreover have <math>\langle x \in (\text{orthogonal-complement } A) \rangle</math>
  if <math>x \in (\text{orthogonal-complement}(\text{closure } A))</math>
  for x
  using that
  by (meson closure-subset orthogonal-complement-orthoI orthogonal-complementI
subset-eq)
ultimately show ?thesis by blast
qed

```

**lemma** *is-orthogonal-closure*:

```

assumes <math>\bigwedge s. s \in S \implies \text{is-orthogonal } a \cdot s</math>
assumes <math>x \in \text{closure } S</math>
shows <math>\langle \text{is-orthogonal } a x \rangle</math>
by (metis assms(1) assms(2) orthogonal-complementI orthogonal-complement-of-closure
orthogonal-complement-orthoI)

```

**lemma** *is-orthogonal-cspan*:

```

assumes a1:  $\bigwedge s. s \in S \implies \text{is-orthogonal } a \cdot s$  and a3:  $x \in \text{cspan } S$ 
shows  $\text{is-orthogonal } a x$ 
proof-
  have  $\exists t. \text{finite } t \wedge t \subseteq S \wedge (\sum_{a \in t} r_a \cdot_C a) = x$ 
    using complex-vector.span-explicit
    by (smt a3 mem-Collect-eq)
  then obtain t r where b1:  $\text{finite } t$  and b2:  $t \subseteq S$  and b3:  $(\sum_{a \in t} r_a \cdot_C a) = x$ 
  by blast
  have x1:  $\text{is-orthogonal } a i$ 
    if  $i \in t$  for i
    using b2 a1 that by blast

```

```

have  $a \cdot_C x = a \cdot_C (\sum i \in t. r i *_C i)$ 
  by (simp add: b3)
also have  $\dots = (\sum i \in t. r i *_C (a \cdot_C i))$ 
  by (simp add: cinner-sum-right)
also have  $\dots = 0$ 
  using x1 by simp
finally show ?thesis.
qed

lemma ccspan-leq-ortho-ccspan:
assumes  $\bigwedge s t. s \in S \implies t \in T \implies \text{is-orthogonal } s t$ 
shows  $\text{ccspan } S \leq -(\text{ccspan } T)$ 
using assms apply transfer
by (smt (verit, ccfv-threshold) is-orthogonal-closure is-orthogonal-cspan is-orthogonal-sym
orthogonal-complementI subsetI)

lemma double-orthogonal-complement-increasing[simp]:
shows  $M \subseteq \text{orthogonal-complement}(\text{orthogonal-complement } M)$ 
proof (rule subsetI)
fix x assume s1:  $x \in M$ 
have  $\langle \forall y \in (\text{orthogonal-complement } M). \text{is-orthogonal } x y \rangle$ 
  using s1 orthogonal-complement-orthoI' by auto
hence  $\langle x \in \text{orthogonal-complement}(\text{orthogonal-complement } M) \rangle$ 
  by (simp add: orthogonal-complement-def)
then show  $x \in \text{orthogonal-complement}(\text{orthogonal-complement } M)$ 
  by blast
qed

lemma orthonormal-basis-of-cspan:
fixes S::'a::complex_inner set
assumes finite S
shows  $\exists A. \text{is-ortho-set } A \wedge (\forall x \in A. \text{norm } x = 1) \wedge \text{cspan } A = \text{cspan } S \wedge \text{finite } A$ 
proof (use assms in induction)
case empty
show ?case
apply (rule exI[of - "{}"])
by auto
next
case (insert s S)
from insert.IH
obtain A where orthoA:  $\text{is-ortho-set } A$  and normA:  $\bigwedge x. x \in A \implies \text{norm } x = 1$ 
and spanA:  $\text{cspan } A = \text{cspan } S$  and finiteA:  $\text{finite } A$ 
  by auto
show ?case
proof (cases  $s \in \text{cspan } S$ )
case True
then have  $\langle \text{cspan } (\text{insert } s S) = \text{cspan } S \rangle$ 

```

```

    by (simp add: complex-vector.span-redundant)
  with orthoA normA spanA finiteA
  show ?thesis
    by auto
next
  case False
  obtain a where a-ortho:  $\langle \forall x. x \in A \implies \text{is-orthogonal } x \ a \rangle$  and sa-span:  $\langle s - a \in \text{cspan } A \rangle$ 
    proof (atomize-elim, use finite A is-ortho-set A in induction)
      case empty
      then show ?case
        by auto
    next
      case (insert x A)
      then obtain a where orthoA:  $\langle \forall x. x \in A \implies \text{is-orthogonal } x \ a \rangle$  and sa:  $\langle s - a \in \text{cspan } A \rangle$ 
        by (meson is-ortho-set-antimono subset-insertI)
        define a' where  $\langle a' = a - \text{cinner } x \ a *_C \text{inverse} (\text{cinner } x \ x) *_C x \rangle$ 
        have  $\langle \text{is-orthogonal } x \ a' \rangle$ 
          unfolding a'-def cinner-diff-right cinner-scaleC-right
          apply (cases  $\langle \text{cinner } x \ x = 0 \rangle$ )
          by auto
        have orthoA:  $\langle \text{is-orthogonal } y \ a' \rangle$  if  $\langle y \in A \rangle$  for y
          unfolding a'-def cinner-diff-right cinner-scaleC-right
          apply auto by (metis insert.preds insertCI is-ortho-set-def mult-not-zero
orthoA that)
        have  $\langle s - a' \in \text{cspan } (\text{insert } x \ A) \rangle$ 
          unfolding a'-def apply auto
          by (metis (no-types, lifting) complex-vector.span-breakdown-eq diff-add-cancel
diff-diff-add sa)
        with  $\langle \text{is-orthogonal } x \ a' \rangle$  orthoA
        show ?case
          apply (rule-tac exI[of - a'])
          by auto
qed

from False sa-span
have  $\langle a \neq 0 \rangle$ 
  unfolding spanA by auto
define a' where  $\langle a' = \text{inverse} (\text{norm } a) *_C a \rangle$ 
with  $\langle a \neq 0 \rangle$  have  $\langle \text{norm } a' = 1 \rangle$ 
  by (simp add: norm-inverse)
have a:  $\langle a = \text{norm } a *_C a' \rangle$ 
  by (simp add:  $\langle a \neq 0 \rangle$  a'-def)

from sa-span spanA
have a'-span:  $\langle a' \in \text{cspan } (\text{insert } s \ S) \rangle$ 
  unfolding a'-def
  by (metis complex-vector.eq-span-insert-eq complex-vector.span-scale com-

```

```

plex-vector.span-superset in-mono insertI1)
  from sa-span
  have s-span: <s ∈ cspan (insert a' A)>
    apply (subst (asm) a)
    using complex-vector.span-breakdown-eq by blast

  from <a ≠ 0> a-ortho orthoA
  have ortho: is-ortho-set (insert a' A)
    unfolding is-ortho-set-def a'-def
    apply auto
    by (meson is-orthogonal-sym)

  have span: <cspan (insert a' A) = cspan (insert s S)>
    using a'-span s-span spanA apply auto
    apply (metis (full-types) complex-vector.span-breakdown-eq complex-vector.span-redundant
      insert-commute s-span)
    by (metis (full-types) complex-vector.span-breakdown-eq complex-vector.span-redundant
      insert-commute s-span)

  show ?thesis
    apply (rule exI[of - <insert a' A>])
    by (simp add: ortho <norm a' = 1> normA finiteA span)
qed
qed

lemma is-ortho-set-cindependent:
  assumes is-ortho-set A
  shows cindependent A
proof -
  have u v = 0
    if b1: finite t and b2: t ⊆ A and b3: (∑ v ∈ t. u v *C v) = 0 and b4: v ∈ t
    for t u v
  proof -
    have is-orthogonal v v' if c1: v' ∈ t - {v} for v'
      by (metis Diffe assms b2 b4 insertI1 is-ortho-set-antimono is-ortho-set-def
        that)
    hence sum0: (∑ v' ∈ t - {v}. u v' * (v *C v')) = 0
      by simp
    have v *C (∑ v' ∈ t. u v' *C v') = (∑ v' ∈ t. u v' * (v *C v'))
      using b1
      by (metis (mono-tags, lifting) cinner-scaleC-right cinner-sum-right sum.cong)
    also have ... = u v * (v *C v) + (∑ v' ∈ t - {v}. u v' * (v *C v'))
      by (meson b1 b4 sum.remove)
    also have ... = u v * (v *C v)
      using sum0 by simp
    finally have v *C (∑ v' ∈ t. u v' *C v') = u v * (v *C v)
      by blast
    hence u v * (v *C v) = 0 using b3 by simp
    moreover have (v *C v) ≠ 0
  qed
qed

```

```

using assms is-ortho-set-def b2 b4 by auto
ultimately show u v = 0 by simp
qed
thus ?thesis using complex-vector.independent-explicit-module
  by (smt cdependent-raw-def)
qed

lemma onb-expansion-finite:
  includes norm-syntax
  fixes T::'a::{complex-inner, cfinite-dim} set
  assumes a1: <cspan T = UNIV> and a3: <is-ortho-set T>
  and a4: <!t. t ∈ T ⟹ ‖t‖ = 1>
  shows <x = (∑ t ∈ T. (t •C x) *C t)>
proof -
  have <finite T>
    apply (rule c-independent-cfinite-dim-finite)
    by (simp add: a3 is-ortho-set-c-independent)
  have <closure (complex-vector.span T) = complex-vector.span T>
    by (simp add: a1)
  have <{∑ a ∈ t. r a *C a | t r. finite t ∧ t ⊆ T} = {∑ a ∈ T. r a *C a | r. True}>
    apply auto
    apply (rule-tac x=λa. if a ∈ t then r a else 0) in exI
    apply (simp add: <finite T> sum.mono-neutral-cong-right)
  using <finite T> by blast

  have f1: ∀ A. {a. ∃ Aa f. (a::'a) = (∑ a ∈ Aa. f a *C a) ∧ finite Aa ∧ Aa ⊆ A}
  = cspan A
    by (simp add: complex-vector.span-explicit)
  have f2: ∀ a. (∃ f. a = (∑ a ∈ T. f a *C a)) ∨ (∀ A. (∀ f. a ≠ (∑ a ∈ A. f a *C a))
  ∨ infinite A ∨ ¬ A ⊆ T)
    using <{∑ a ∈ t. r a *C a | t r. finite t ∧ t ⊆ T} = {∑ a ∈ T. r a *C a | r. True}>
  by auto
  have f3: ∀ A a. (∃ Aa f. (a::'a) = (∑ a ∈ Aa. f a *C a) ∧ finite Aa ∧ Aa ⊆ A) ∨
  a ∉ cspan A
    using f1 by blast
  have cspan T = UNIV
    by (metis (full-types, lifting) <complex-vector.span T = UNIV>)
  hence <∃ r. x = (∑ a ∈ T. r a *C a)>
    using f3 f2 by blast
  then obtain r where <x = (∑ a ∈ T. r a *C a)>
    by blast

  have <r a = a •C x> if <a ∈ T> for a
  proof-
    have <norm a = 1>
      using a4
      by (simp add: <a ∈ T>)
    moreover have <norm a = sqrt (norm (a •C a))>

```

```

using norm-eq-sqrt-cinner by auto
ultimately have ‹sqrt (norm (a •C a)) = 1›
  by simp
hence ‹norm (a •C a) = 1›
  using real-sqrt-eq-1-iff by blast
moreover have ‹(a •C a) ∈ ℝ›
  by (simp add: cinner-real)
moreover have ‹(a •C a) ≥ 0›
  using cinner-ge-zero by blast
ultimately have w1: ‹(a •C a) = 1›
  using ‹a = 1› cnorm-eq-1 by blast
have ‹r t * (a •C t) = 0› if ‹t ∈ T - {a}› for t
  by (metis DiffD1 DiffD2 ‹a ∈ T› a3 is-ortho-set-def mult-eq-0-iff singletonI
that)
hence s1: ‹(∑ t ∈ T - {a}. r t * (a •C t)) = 0›
  by (simp add: ‹¬t ∈ T - {a} ⟹ r t * (a •C t) = 0›)
have ‹(a •C x) = a •C (∑ t ∈ T. r t *C t)›
  using ‹x = (∑ a ∈ T. r a *C a)›
  by simp
also have ‹... = (∑ t ∈ T. a •C (r t *C t))›
  using cinner-sum-right by blast
also have ‹... = (∑ t ∈ T. r t * (a •C t))›
  by simp
also have ‹... = r a * (a •C a) + (∑ t ∈ T - {a}. r t * (a •C t))›
  using ‹a ∈ T›
  by (meson ‹finite T› sum.remove)
also have ‹... = r a * (a •C a)›
  using s1
  by simp
also have ‹... = r a›
  by (simp add: w1)
finally show ?thesis by auto
qed
thus ?thesis
  using ‹x = (∑ a ∈ T. r a *C a)›
  by fastforce
qed

```

**lemma** is-ortho-set-singleton[simp]: ‹is-ortho-set {x} ⟺ x ≠ 0›  
**by** (simp add: is-ortho-set-def)

**lemma** orthogonal-complement-antimono[simp]:  
**fixes** A B :: ‹('a::complex\_inner) set›  
**assumes** A ⊇ B  
**shows** ‹orthogonal-complement A ⊆ orthogonal-complement B›  
**by** (meson assms orthogonal-complementI orthogonal-complement-orthoI' subsetD  
subsetI)

**lemma** orthogonal-complement-UNIV[simp]:

```

orthogonal-complement UNIV = {0}
by (metis Int-UNIV-left complex-vector.subspace-UNIV complex-vector.subspace-def
orthogonal-complement-zero-intersection)

```

```

lemma orthogonal-complement-zero[simp]:
orthogonal-complement {0} = UNIV
unfolding orthogonal-complement-def by auto

lemma mem-ortho-ccspanI:
assumes <math>\bigwedge y. y \in S \implies \text{is-orthogonal } x \ y</math>
shows <math>x \in \text{space-as-set}(-\text{ccspan } S)</math>
proof -
have <math>x \in \text{space-as-set}(\text{ccspan } \{x\})</math>
using ccspan-superset by blast
also have <math>\dots \subseteq \text{space-as-set}(-\text{ccspan } S)</math>
apply (simp add: flip: less-eq-ccspan.rep-eq)
apply (rule ccspan-leq-ortho-ccspan)
using assms by auto
finally show ?thesis
by -
qed

```

## 9.4 Projections

```

lemma smallest-norm-exists:
-- Theorem 2.5 in [1] (inside the proof)
includes norm-syntax
fixes M :: <'a::chilbert-space set>
assumes q1: <math>\text{convex } M</math> and q2: <math>\text{closed } M</math> and q3: <math>M \neq \{\}</math>
shows <math>\exists k. \text{is-arg-min } (\lambda x. \|x\|) (\lambda t. t \in M) k</math>
proof -
define d where <math>d = \text{Inf } \{ \|x\|^2 \mid x. x \in M \}</math>
have w4: <math>\{ \|x\|^2 \mid x. x \in M \} \neq \{\}</math>
by (simp add: assms(3))
have <math>\forall x. \|x\|^2 \geq 0</math>
by simp
hence bdd-below1: <math>\text{bdd-below } \{ \|x\|^2 \mid x. x \in M \}</math>
by fastforce
have <math>d \leq \|x\|^2</math> if a1: <math>x \in M</math> for x
proof-
have <math>\forall v. (\exists w. \text{Re } (v \cdot_C v) = \|w\|^2 \wedge w \in M) \vee v \notin M</math>
by (metis (no-types) power2-norm-eq-cinner')
hence <math>\text{Re } (x \cdot_C x) \in \{ \|v\|^2 \mid v. v \in M \}</math>
using a1 by blast
thus ?thesis
unfolding d-def
by (metis (lifting) bdd-below1 cInf-lower power2-norm-eq-cinner')
qed

```

```

have ‹∀ ε > 0. ∃ t ∈ { ‖x‖^2 | x ∈ M }. t < d + ε›
  unfolding d-def
  using w4 bdd-below1
  by (meson cInf-lessD less-add-same-cancel1)
hence ‹∀ ε > 0. ∃ x ∈ M. ‖x‖^2 < d + ε›
  by auto
hence ‹∀ ε > 0. ∃ x ∈ M. ‖x‖^2 < d + ε›
  by (simp add: ‹ ∀ x. x ∈ M ⟹ d ≤ ‖x‖^2›)
hence w1: ‹ ∀ n::nat. ∃ x ∈ M. ‖x‖^2 < d + 1/(n+1)› by auto

then obtain r::nat ⇒ 'a where w2: ‹ ∀ n. r n ∈ M ∧ ‖r n‖^2 < d + 1/(n+1)›
  by metis
have w3: ‹ ∀ n. r n ∈ M ›
  by (simp add: w2)
have ‹ ∀ n. ‖r n‖^2 < d + 1/(n+1)›
  by (simp add: w2)
have w5: ‹ ‖(r n) - (r m)‖^2 < 2*(1/(n+1) + 1/(m+1))›
  for m n
proof-
  have w6: ‹ ‖r n‖^2 < d + 1/(n+1)›
    by (metis w2 of-nat-1 of-nat-add)
  have ‹ ‖r m‖^2 < d + 1/(m+1)›
    by (metis w2 of-nat-1 of-nat-add)
  have ‹(r n) ∈ M›
    by (simp add: ‹ ∀ n. r n ∈ M›)
  moreover have ‹(r m) ∈ M›
    by (simp add: ‹ ∀ n. r n ∈ M›)
  ultimately have ‹(1/2) *R (r n) + (1/2) *R (r m) ∈ M›
    using ‹convex M›
    by (simp add: convexD)
  hence ‹ ‖(1/2) *R (r n) + (1/2) *R (r m)‖^2 ≥ d›
    by (simp add: ‹ ∀ x. x ∈ M ⟹ d ≤ ‖x‖^2›)
  have ‹ ‖(1/2) *R (r n) - (1/2) *R (r m)‖^2
    = (1/2)*( ‖r n‖^2 + ‖r m‖^2 ) - ‖(1/2) *R (r n) + (1/2) *R (r m)‖^2›
    by (smt (z3) div-by-1 field-sum-of-halves nonzero-mult-div-cancel-left parallelogram-law polar-identity power2-norm-eq-cinner' scaleR-collapse times-divide-eq-left)
  also have ‹...
    < (1/2)*( d + 1/(n+1) + ‖r m‖^2 ) - ‖(1/2) *R (r n) + (1/2) *R (r m)‖^2›
    using ‹ ‖r n‖^2 < d + 1 / real (n + 1)› by auto
  also have ‹...
    < (1/2)*( d + 1/(n+1) + d + 1/(m+1) ) - ‖(1/2) *R (r n) + (1/2) *R (r m)‖^2›
    using ‹ ‖r m‖^2 < d + 1 / real (m + 1)› by auto
  also have ‹...
    ≤ (1/2)*( d + 1/(n+1) + d + 1/(m+1) ) - d›
    by (simp add: ‹ d ≤ ‖(1 / 2) *R r n + (1 / 2) *R r m‖^2›)

```

```

also have ⟨...⟩
  ≤ (1/2)*( 1/(n+1) + 1/(m+1) + 2*d ) - d
  by simp
also have ⟨...⟩
  ≤ (1/2)*( 1/(n+1) + 1/(m+1) ) + (1/2)*(2*d) - d
  by (simp add: distrib-left)
also have ⟨...⟩
  ≤ (1/2)*( 1/(n+1) + 1/(m+1) ) + d - d
  by simp
also have ⟨...⟩
  ≤ (1/2)*( 1/(n+1) + 1/(m+1) )
  by simp
finally have ⟨ ‖(1 / 2) *R r n - (1 / 2) *R r m ‖2 < 1 / 2 * (1 / real (n +
1) + 1 / real (m + 1)) ⟩
  by blast
hence ⟨ ‖(1 / 2) *R (r n - r m) ‖2 < (1 / 2) * (1 / real (n + 1) + 1 / real
(m + 1)) ⟩
  by (simp add: real-vector.scale-right-diff-distrib)
hence ⟨ ((1 / 2)* ‖ (r n - r m) ‖)2 < (1 / 2) * (1 / real (n + 1) + 1 / real
(m + 1)) ⟩
  by simp
hence ⟨ (1 / 2)2*( ‖ (r n - r m) ‖)2 < (1 / 2) * (1 / real (n + 1) + 1 /
real (m + 1)) ⟩
  by (metis power-mult-distrib)
hence ⟨ (1 / 4) * ( ‖ (r n - r m) ‖)2 < (1 / 2) * (1 / real (n + 1) + 1 / real
(m + 1)) ⟩
  by (simp add: power-divide)
hence ⟨ ‖ (r n - r m) ‖2 < 2 * (1 / real (n + 1) + 1 / real (m + 1)) ⟩
  by simp
thus ?thesis
  by (metis of-nat-1 of-nat-add)
qed
hence ∃ N. ∀ n m. n ≥ N ∧ m ≥ N → ‖ (r n) - (r m) ‖2 < ε2
  if ε > 0
  for ε
proof-
  obtain N::nat where ⟨1/(N + 1) < ε2/4⟩
    using LIMSEQ-ignore-initial-segment[OF lim-inverse-n', where k=1]
    by (metis Suc-eq-plus1 ⟨0 < ε⟩ nat-approx-posE zero-less-divide-iff zero-less-numeral
      zero-less-power )
  hence ⟨4/(N + 1) < ε2⟩
    by simp
  have 2*(1/(n+1) + 1/(m+1)) < ε2
    if f1: n ≥ N and f2: m ≥ N
    for m n::nat
  proof-
    have ⟨1/(n+1) ≤ 1/(N+1)⟩
      by (simp add: f1 linordered-field-class.frac-le)
    moreover have ⟨1/(m+1) ≤ 1/(N+1)⟩

```

```

by (simp add: f2 linordered-field-class.frac-le)
ultimately have <2*(1/(n+1) + 1/(m+1)) ≤ 4/(N+1)>
  by simp
thus ?thesis using <4/(N + 1) < ε^2>
  by linarith
qed
hence ‖(r n) − (r m) ‖^2 < ε^2
if y1: n ≥ N and y2: m ≥ N
for m n::nat
using that
by (smt ‹¬(n m. ‖r n − r m‖^2 < 2 * (1 / (real n + 1) + 1 / (real m + 1)))›
of-nat-1 of-nat-add)
thus ?thesis
  by blast
qed
hence ‹∀ ε > 0. ∃ N::nat. ∀ n m::nat. n ≥ N ∧ m ≥ N → ‖(r n) − (r m) ‖^2 < ε^2›
  by blast
hence ‹∀ ε > 0. ∃ N::nat. ∀ n m::nat. n ≥ N ∧ m ≥ N → ‖(r n) − (r m) ‖ < ε›
  by (meson less-eq-real-def power-less-imp-less-base)
hence <Cauchy r>
  using CauchyI by fastforce
then obtain k where <r → k>
  using convergent-eq-Cauchy by auto
have <k ∈ M> using <closed M>
  using ‹∀ n. r n ∈ M› <r → k> closed-sequentially by auto
have <(λ n. ‖r n‖^2) → ‖k‖^2>
  by (simp add: ‹r → k› tendsto-norm tendsto-power)
moreover have <(λ n. ‖r n‖^2) → d>
proof-
  have <| ‖r n‖^2 − d | < 1/(n+1)> for n :: nat
    using ‹¬(x. x ∈ M ⇒ d ≤ ‖x‖^2)› <¬ ∀ n. r n ∈ M ∧ ‖r n‖^2 < d + 1 / (real n + 1)> of-nat-1 of-nat-add
    by smt
  moreover have <(λ n. 1 / real (n + 1)) → 0>
    using LIMSEQ-ignore-initial-segment[OF lim-inverse-n', where k=1] by
blast
  ultimately have <(λ n. | ‖r n‖^2 − d | ) → 0>
    by (simp add: LIMSEQ-norm-0)
  hence <(λ n. ‖r n‖^2 − d ) → 0>
    by (simp add: tendsto-rabs-zero-iff)
  moreover have <(λ n. d ) → d>
    by simp
  ultimately have <(λ n. (| ‖r n‖^2 − d |)+d ) → 0+d>
    using tendsto-add by fastforce
  thus ?thesis by simp
qed
ultimately have <d = ‖k‖^2>

```

```

using LIMSEQ-unique by auto
hence  $\langle t \in M \implies \|k\| \geq \|t\| \rangle$  for  $t$ 
using  $\langle \bigwedge x. x \in M \implies d \leq \|x\|^2 \rangle$  by auto
hence  $q1: \langle \exists k. \text{is-arg-min} (\lambda x. \|x\|^2) (\lambda t. t \in M) k \rangle$ 
using  $\langle k \in M \rangle$ 
is-arg-min-def  $\langle d = \|k\|^2 \rangle$ 
by smt
thus  $\langle \exists k. \text{is-arg-min} (\lambda x. \|x\|) (\lambda t. t \in M) k \rangle$ 
by (smt is-arg-min-def norm-ge-zero power2-eq-square power2-le-imp-le)
qed

```

**lemma** *smallest-norm-unique*:

```

— Theorem 2.5 in [1] (inside the proof)
includes norm-syntax
fixes  $M :: \langle 'a :: \text{complex-inner set} \rangle$ 
assumes  $q1: \langle \text{convex } M \rangle$ 
assumes  $r: \langle \text{is-arg-min} (\lambda x. \|x\|) (\lambda t. t \in M) r \rangle$ 
assumes  $s: \langle \text{is-arg-min} (\lambda x. \|x\|) (\lambda t. t \in M) s \rangle$ 
shows  $\langle r = s \rangle$ 
proof —
have  $\langle r \in M \rangle$ 
using  $\langle \text{is-arg-min} (\lambda x. \|x\|) (\lambda t. t \in M) r \rangle$ 
by (simp add: is-arg-min-def)
moreover have  $\langle s \in M \rangle$ 
using  $\langle \text{is-arg-min} (\lambda x. \|x\|) (\lambda t. t \in M) s \rangle$ 
by (simp add: is-arg-min-def)
ultimately have  $\langle ((1/2) *_R r + (1/2) *_R s) \in M \rangle$  using  $\langle \text{convex } M \rangle$ 
by (simp add: convexD)
hence  $\langle \|r\| \leq \|((1/2) *_R r + (1/2) *_R s)\| \rangle$ 
by (metis is-arg-min-linorder r)
hence  $u2: \langle \|r\| \geq \|((1/2) *_R r + (1/2) *_R s)\| \rangle$ 
using norm-ge-zero power-mono by blast

have  $\langle \|r\| \leq \|s\| \rangle$ 
using  $r s$  is-arg-min-def
by (metis is-arg-min-linorder)
moreover have  $\langle \|s\| \leq \|r\| \rangle$ 
using  $r s$  is-arg-min-def
by (metis is-arg-min-linorder)
ultimately have  $u3: \langle \|r\| = \|s\| \rangle$  by simp

have  $\langle \|((1/2) *_R r - (1/2) *_R s)\|^2 \leq 0 \rangle$ 
using  $u2 u3$  parallelogram-law
by (smt (verit, ccfv-SIG) polar-identity-minus power2-norm-eq-cinner' scaleR-add-right scaleR-half-double)
hence  $\langle \|((1/2) *_R r - (1/2) *_R s)\|^2 = 0 \rangle$ 
by simp
hence  $\langle \|((1/2) *_R r - (1/2) *_R s)\| = 0 \rangle$ 

```

```

by auto
hence  $\langle (1/2) *_R r - (1/2) *_R s = 0 \rangle$ 
  using norm-eq-zero by blast
thus ?thesis by simp
qed

theorem smallest-dist-exists:
— Theorem 2.5 in [1]
fixes M::'a::hilbert-space set and h
assumes a1:  $\langle \text{convex } M \rangle$  and a2:  $\langle \text{closed } M \rangle$  and a3:  $\langle M \neq \{\} \rangle$ 
shows  $\langle \exists k. \text{is-arg-min} (\lambda x. \text{dist } x h) (\lambda x. x \in M) k \rangle$ 
proof –
have *:  $\text{is-arg-min} (\lambda x. \text{dist } x h) (\lambda x. x \in M) (k+h) \longleftrightarrow \text{is-arg-min} (\lambda x. \text{norm } x) (\lambda x. x \in (x-h) ` M) k$  for k
  unfolding dist-norm is-arg-min-def apply auto using add-implies-diff by blast
have  $\langle \exists k. \text{is-arg-min} (\lambda x. \text{dist } x h) (\lambda x. x \in M) (k+h) \rangle$ 
  apply (subst *)
  apply (rule smallest-norm-exists)
  using assms by (auto simp: closed-translation-subtract)
then show  $\langle \exists k. \text{is-arg-min} (\lambda x. \text{dist } x h) (\lambda x. x \in M) k \rangle$ 
  by metis
qed

theorem smallest-dist-unique:
— Theorem 2.5 in [1]
fixes M::'a::complex-inner set and h
assumes a1:  $\langle \text{convex } M \rangle$ 
assumes  $\langle \text{is-arg-min} (\lambda x. \text{dist } x h) (\lambda x. x \in M) r \rangle$ 
assumes  $\langle \text{is-arg-min} (\lambda x. \text{dist } x h) (\lambda x. x \in M) s \rangle$ 
shows  $\langle r = s \rangle$ 
proof –
have *:  $\text{is-arg-min} (\lambda x. \text{dist } x h) (\lambda x. x \in M) k \longleftrightarrow \text{is-arg-min} (\lambda x. \text{norm } x) (\lambda x. x \in (x-h) ` M) (k-h)$  for k
  unfolding dist-norm is-arg-min-def by auto
have  $\langle r - h = s - h \rangle$ 
  using - assms(2,3)[unfolded *] apply (rule smallest-norm-unique)
  by (simp add: a1)
thus  $\langle r = s \rangle$ 
  by auto
qed

— Theorem 2.6 in [1]
theorem smallest-dist-is-ortho:
fixes M::'a::complex-inner set and h k::'a
assumes b1:  $\langle \text{closed-csubspace } M \rangle$ 
shows  $\langle (\text{is-arg-min} (\lambda x. \text{dist } x h) (\lambda x. x \in M) k) \longleftrightarrow h - k \in \text{orthogonal-complement } M \wedge k \in M \rangle$ 
proof –

```

```

include norm-syntax
have ⟨csubspace M⟩
  using ⟨closed-csubspace M⟩ unfolding closed-csubspace-def by blast
have r1: ⟨2 * Re ((h - k) ·C f) ≤ ∥f∥2⟩
  if f ∈ M and ⟨k ∈ M⟩ and ⟨is-arg-min (λx. dist x h) (λx. x ∈ M) k⟩
  for f
proof-
  have ⟨k + f ∈ M⟩
    using ⟨csubspace M⟩
    by (simp add:complex-vector.subspace-add that)
  have ∀f A a b. ¬ is-arg-min f (λx. x ∈ A) (a::'a) ∨ (f a::real) ≤ f b ∨ b ∉ A
    by (metis (no-types) is-arg-min-linorder)
  hence dist k h ≤ dist (f + k) h
    by (metis ⟨is-arg-min (λx. dist x h) (λx. x ∈ M) k⟩ ⟨k + f ∈ M⟩ add.commute)
  hence ⟨dist h k ≤ dist h (k + f)⟩
    by (simp add: add.commute dist-commute)
  hence ⟨∥h - k∥ ≤ ∥h - (k + f)∥⟩
    by (simp add: dist-norm)
  hence ⟨∥h - k∥2 ≤ ∥h - (k + f)∥2⟩
    by (simp add: power-mono)
  also have ⟨... ≤ ∥(h - k) - f∥2⟩
    by (simp add: diff-diff-add)
  also have ⟨... ≤ ∥(h - k)∥2 + ∥f∥2 - 2 * Re ((h - k) ·C f)⟩
    by (simp add: polar-identity-minus)
  finally have ⟨∥(h - k)∥2 ≤ ∥(h - k)∥2 + ∥f∥2 - 2 * Re ((h - k) ·C f)⟩
    by simp
  thus ?thesis by simp
qed

have q4: ⟨∀ c > 0. 2 * Re ((h - k) ·C f) ≤ c⟩
  if ⟨∀ c>0. 2 * Re ((h - k) ·C f) ≤ c * ∥f∥2⟩
  for f
proof (cases ⟨∥f∥2 > 0⟩)
  case True
  hence ⟨∀ c > 0. 2 * Re (((h - k) ·C f)) ≤ (c/∥f∥2)* ∥f∥2⟩
    using that linordered-field-class.divide-pos-pos by blast
  thus ?thesis
    using True by auto
next
  case False
  hence ⟨∥f∥2 = 0⟩
    by simp
  thus ?thesis
    by auto
qed

have q3: ⟨∀ c::real. c > 0 → 2 * Re (((h - k) ·C f)) ≤ 0⟩
  if a3: ⟨∀f. f ∈ M → (∀ c>0. 2 * Re ((h - k) ·C f) ≤ c * ∥f∥2)⟩
  and a2: f ∈ M

```

and a1:  $\text{is-arg-min } (\lambda x. \text{dist } x h) (\lambda x. x \in M) k$   
 for f  
**proof**–  
 have  $\forall c > 0. 2 * \text{Re}(((h - k) \cdot_C f)) \leq c * \|f\|^2$   
 by (simp add: that)  
 thus ?thesis  
 using q4 by smt  
**qed**  
 have w2:  $h - k \in \text{orthogonal-complement } M \wedge k \in M$   
 if a1:  $\text{is-arg-min } (\lambda x. \text{dist } x h) (\lambda x. x \in M) k$   
**proof**–  
 have  $\langle k \in M \rangle$   
 using is-arg-min-def that by fastforce  
 hence  $\forall f. f \in M \longrightarrow 2 * \text{Re}(((h - k) \cdot_C f)) \leq \|f\|^2$   
 using r1  
 by (simp add: that)  
 have  $\forall f. f \in M \longrightarrow$   
 $(\forall c::\text{real}. 2 * \text{Re}(((h - k) \cdot_C (c *_R f))) \leq \|c *_R f\|^2)$   
 using assms scaleR-scaleC complex-vector.subspace-def ⟨csubspace M⟩  
 by (metis ⟨ $\forall f. f \in M \longrightarrow 2 * \text{Re}((h - k) \cdot_C f) \leq \|f\|^2$ ⟩)  
 hence  $\forall f. f \in M \longrightarrow$   
 $(\forall c::\text{real}. c * (2 * \text{Re}(((h - k) \cdot_C f))) \leq \|c *_R f\|^2)$   
 by (metis Re-complex-of-real cinner-scaleC-right complex-add-cnj complex-cnj-complex-of-real  
 complex-cnj-mult of-real-mult scaleR-scaleC semiring-normalization-rules(34))  
 hence  $\forall f. f \in M \longrightarrow$   
 $(\forall c::\text{real}. c * (2 * \text{Re}(((h - k) \cdot_C f))) \leq |c|^2 * \|f\|^2)$   
 by (simp add: power-mult-distrib)  
 hence  $\forall f. f \in M \longrightarrow$   
 $(\forall c::\text{real}. c * (2 * \text{Re}(((h - k) \cdot_C f))) \leq c^2 * \|f\|^2)$   
 by auto  
 hence  $\forall f. f \in M \longrightarrow$   
 $(\forall c::\text{real}. c > 0 \longrightarrow c * (2 * \text{Re}(((h - k) \cdot_C f))) \leq c^2 * \|f\|^2)$   
 by simp  
 hence  $\forall f. f \in M \longrightarrow$   
 $(\forall c::\text{real}. c > 0 \longrightarrow c * (2 * \text{Re}(((h - k) \cdot_C f))) \leq c * (c * \|f\|^2))$   
 by (simp add: power2-eq-square)  
 hence q4:  $\forall f. f \in M \longrightarrow$   
 $(\forall c::\text{real}. c > 0 \longrightarrow 2 * \text{Re}(((h - k) \cdot_C f)) \leq c * \|f\|^2)$   
 by simp  
 have  $\forall f. f \in M \longrightarrow$   
 $(\forall c::\text{real}. c > 0 \longrightarrow 2 * \text{Re}(((h - k) \cdot_C f)) \leq 0)$   
 using q3  
 by (simp add: q4 that)  
 hence  $\forall f. f \in M \longrightarrow$   
 $(\forall c::\text{real}. c > 0 \longrightarrow (2 * \text{Re}(((h - k) \cdot_C (-1 *_R f)))) \leq 0)$   
 using assms scaleR-scaleC complex-vector.subspace-def  
 by (metis ⟨csubspace M⟩)  
 hence  $\forall f. f \in M \longrightarrow$   
 $(\forall c::\text{real}. c > 0 \longrightarrow -(2 * \text{Re}(((h - k) \cdot_C f))) \leq 0)$

```

by simp
hence ‹∀ f. f ∈ M →
    ( ∀ c::real. c > 0 → 2 * Re (((h - k) •C f)) ≥ 0 )›
by simp
hence ‹∀ f. f ∈ M →
    ( ∀ c::real. c > 0 → 2 * Re (((h - k) •C f)) = 0 )›
using ‹∀ f. f ∈ M →
    ( ∀ c::real. c > 0 → (2 * Re (((h - k) •C f))) ≤ 0 )›
by fastforce

have ‹∀ f. f ∈ M →
    ((1::real) > 0 → 2 * Re (((h - k) •C f)) = 0 )›
using ‹∀ f. f ∈ M → ( ∀ c>0. 2 * Re (((h - k) •C f)) = 0 )› by blast
hence ‹∀ f. f ∈ M → 2 * Re (((h - k) •C f)) = 0 ›
by simp
hence ‹∀ f. f ∈ M → Re (((h - k) •C f)) = 0 ›
by simp
have ‹∀ f. f ∈ M → Re ((h - k) •C ((Complex 0 (-1)) *C f)) = 0 ›
using assms complex-vector.subspace-def ‹csubspace M›
by (metis ‹∀ f. f ∈ M → Re ((h - k) •C f) = 0›)
hence ‹∀ f. f ∈ M → Re ((Complex 0 (-1)) *(((h - k) •C f))) = 0 ›
by simp
hence ‹∀ f. f ∈ M → Im (((h - k) •C f)) = 0 ›
using Complex-eq-neg-1 Re-i-times cinner-scaleC-right complex-of-real-def by
auto

have ‹∀ f. f ∈ M → (((h - k) •C f)) = 0 ›
using complex-eq-iff
by (simp add: ‹∀ f. f ∈ M → Im ((h - k) •C f) = 0› ‹∀ f. f ∈ M → Re
((h - k) •C f) = 0›)
hence ‹h - k ∈ orthogonal-complement M ∧ k ∈ M›
by (simp add: ‹k ∈ M› orthogonal-complementI)
have ‹∀ c. c *R f ∈ M›
if f ∈ M
for f
using that scaleR-scaleC ‹csubspace M› complex-vector.subspace-def
by (simp add: complex-vector.subspace-def scaleR-scaleC)
have ‹((h - k) •C f) = 0›
if f ∈ M
for f
using ‹h - k ∈ orthogonal-complement M ∧ k ∈ M› orthogonal-complement-orthoI
that by auto
hence ‹h - k ∈ orthogonal-complement M›
by (simp add: orthogonal-complement-def)
thus ?thesis
using ‹k ∈ M› by auto
qed

have q1: ‹dist h k ≤ dist h f›

```

```

if  $f \in M$  and  $\langle h - k \in \text{orthogonal-complement } M \wedge k \in M \rangle$ 
for  $f$ 
proof-
have  $\langle (h - k) \cdot_C (k - f) = 0 \rangle$ 
by (metis (no-types, lifting) that
      cinner-diff-right diff-0-right orthogonal-complement-orthoI that)
have  $\langle \|h - f\|^2 = \|(h - k) + (k - f)\|^2 \rangle$ 
by simp
also have  $\langle \dots = \|h - k\|^2 + \|k - f\|^2 \rangle$ 
using  $\langle ((h - k) \cdot_C (k - f)) = 0 \rangle$  pythagorean-theorem by blast
also have  $\langle \dots \geq \|h - k\|^2 \rangle$ 
by simp
finally have  $\langle \|h - k\|^2 \leq \|h - f\|^2 \rangle$ 
by blast
hence  $\langle \|h - k\| \leq \|h - f\| \rangle$ 
using norm-ge-zero power2-le-imp-le by blast
thus ?thesis
by (simp add: dist-norm)
qed

```

```

have w1: is-arg-min ( $\lambda x. \text{dist } x h$ ) ( $\lambda x. x \in M$ )  $k$ 
if  $h - k \in \text{orthogonal-complement } M \wedge k \in M$ 
proof-
have  $\langle h - k \in \text{orthogonal-complement } M \rangle$ 
using that by blast
have  $\langle k \in M \rangle$  using  $\langle h - k \in \text{orthogonal-complement } M \wedge k \in M \rangle$ 
by blast
thus ?thesis
by (metis (no-types, lifting) dist-commute is-arg-min-linorder q1 that)
qed
show ?thesis
using w1 w2 by blast
qed

```

```

corollary orthog-proj-exists:
fixes  $M :: \text{'a::chilbert-space set}$ 
assumes  $\langle \text{closed-csubspace } M \rangle$ 
shows  $\langle \exists k. h - k \in \text{orthogonal-complement } M \wedge k \in M \rangle$ 
proof -
from  $\langle \text{closed-csubspace } M \rangle$ 
have  $\langle M \neq \{\} \rangle$ 
using closed-csubspace.subspace complex-vector.subspace-0 by blast
have  $\langle \text{closed } M \rangle$ 
using  $\langle \text{closed-csubspace } M \rangle$ 
by (simp add: closed-csubspace.closed)
have  $\langle \text{convex } M \rangle$ 
using  $\langle \text{closed-csubspace } M \rangle$ 
by (simp)
have  $\langle \exists k. \text{is-arg-min } (\lambda x. \text{dist } x h) (\lambda x. x \in M) k \rangle$ 

```

```

    by (simp add: smallest-dist-exists ‹closed M› ‹convex M› ‹M ≠ {}›)
  thus ?thesis
    by (simp add: assms smallest-dist-is-ortho)
qed

corollary orthog-proj-unique:
  fixes M :: ‹'a::complex-inner set›
  assumes ‹closed-csubspace M›
  assumes ‹h - r ∈ orthogonal-complement M ∧ r ∈ M›
  assumes ‹h - s ∈ orthogonal-complement M ∧ s ∈ M›
  shows ‹r = s›
  using - assms(2,3) unfolding smallest-dist-is-ortho[OF assms(1), symmetric]
  apply (rule smallest-dist-unique)
  using assms(1) by (simp)

definition is-projection-on::‹('a ⇒ 'a) ⇒ ('a::metric-space) set ⇒ bool› where
  ‹is-projection-on π M ↔ ( ∀ h. is-arg-min (λ x. dist x h) (λ x. x ∈ M) (π h))›

lemma is-projection-on-iff-orthog:
  ‹closed-csubspace M ⇒ is-projection-on π M ↔ ( ∀ h. h - π h ∈ orthogonal-complement M ∧ π h ∈ M)›
  by (simp add: is-projection-on-def smallest-dist-is-ortho)

lemma is-projection-on-exists:
  fixes M :: ‹'a::chilbert-space set›
  assumes ‹convex M› and ‹closed M› and ‹M ≠ {}›
  shows ‹ ∃ π. is-projection-on π M
  unfolding is-projection-on-def apply (rule choice)
  using smallest-dist-exists[OF assms] by auto

lemma is-projection-on-unique:
  fixes M :: ‹'a::complex-inner set›
  assumes ‹convex M›
  assumes is-projection-on π₁ M
  assumes is-projection-on π₂ M
  shows π₁ = π₂
  using smallest-dist-unique[OF assms(1)] using assms(2,3)
  unfolding is-projection-on-def by blast

definition projection :: ‹'a::metric-space set ⇒ ('a ⇒ 'a)› where
  ‹projection M = (SOME π. is-projection-on π M)›

lemma projection-is-projection-on:
  fixes M :: ‹'a::chilbert-space set›
  assumes ‹convex M› and ‹closed M› and ‹M ≠ {}›
  shows is-projection-on (projection M) M
  by (metis assms(1) assms(2) assms(3) is-projection-on-exists projection-def someI)

lemma projection-is-projection-on'[simp]:

```

— Common special case of  $\llbracket \text{convex } ?M; \text{closed } ?M; ?M \neq \{\} \rrbracket \implies \text{is-projection-on}(\text{projection } ?M) ?M$

```

fixes M :: 'a::chilbert-space set'
assumes closed-csubspace M
shows is-projection-on (projection M) M
apply (rule projection-is-projection-on)
apply (auto simp add: assms closed-csubspace.closed)
using assms closed-csubspace.subspace complex-vector.subspace-0 by blast

lemma projection-orthogonal:
fixes M :: 'a::chilbert-space set'
assumes closed-csubspace M and m ∈ M
shows is-orthogonal (h - projection M h) m
by (metis assms\(1\) assms\(2\) closed-csubspace.closed closed-csubspace.subspace csubspace-is-convex empty-iff is-projection-on-iff-orthog orthogonal-complement-orthoI projection-is-projection-on)

lemma is-projection-on-in-image:
assumes is-projection-on π M
shows π h ∈ M
using assms
by (simp add: is-arg-min-def is-projection-on-def)

lemma is-projection-on-image:
assumes is-projection-on π M
shows range π = M
using assms
apply (auto simp: is-projection-on-in-image)
by (smt \(verit, ccfv-threshold\) dist-pos-lt dist-self is-arg-min-def is-projection-on-def rangeI)

lemma projection-in-image\[simp\]:
fixes M :: 'a::chilbert-space set'
assumes convex M and closed M and M ≠ {}
shows projection M h ∈ M
by (simp add: assms\(1\) assms\(2\) assms\(3\) is-projection-on-in-image projection-is-projection-on)

lemma projection-image\[simp\]:
fixes M :: 'a::chilbert-space set'
assumes convex M and closed M and M ≠ {}
shows range (projection M) = M
by (simp add: assms\(1\) assms\(2\) assms\(3\) is-projection-on-image projection-is-projection-on)

lemma projection-eqI':
fixes M :: 'a::complex-inner set'
assumes convex M
assumes is-projection-on f M
shows projection M = f

```

```

by (metis assms(1) assms(2) is-projection-on-unique projection-def someI-ex)

lemma is-projection-on-eqI:
  fixes M :: 'a::complex-inner set
  assumes a1: <closed-csubspace M> and a2: <h - x ∈ orthogonal-complement M>
  and a3: <x ∈ M>
  and a4: <is-projection-on π M>
  shows <π h = x>
  by (meson a1 a2 a3 a4 closed-csubspace.subspace csubspace-is-convex is-projection-on-def
smallest-dist-is-ortho smallest-dist-unique)

lemma projection-eqI:
  fixes M :: ('a::hilbert-space) set
  assumes <closed-csubspace M> and <h - x ∈ orthogonal-complement M> and
<x ∈ M>
  shows <projection M h = x>
  by (metis assms(1) assms(2) assms(3) is-projection-on-iff-orthog orthog-proj-exists
projection-def is-projection-on-eqI tfl-some)

lemma is-projection-on-fixes-image:
  fixes M :: 'a::metric-space set
  assumes a1: is-projection-on π M and a3: x ∈ M
  shows π x = x
  by (metis a1 a3 dist-pos-lt dist-self is-arg-min-def is-projection-on-def)

lemma projection-fixes-image:
  fixes M :: ('a::hilbert-space) set
  assumes closed-csubspace M and x ∈ M
  shows projection M x = x
  using is-projection-on-fixes-image
  — Theorem 2.7 in [1]
  by (simp add: assms complex-vector.subspace-0 projection-eqI)

lemma is-projection-on-closed:
  assumes cont-f: <∀x. x ∈ closure M ⇒ isCont f x>
  assumes <is-projection-on f M>
  shows <closed M>
proof -
  have <x ∈ M> if <s ⟶ x> and <range s ⊆ M> for s x
  proof -
    from <is-projection-on f M> <range s ⊆ M>
    have <s = (f o s)>
      by (simp add: comp-def is-projection-on-fixes-image range-subsetD)
    also from cont-f <s ⟶ x>
    have <(f o s) ⟶ f x>
      apply (rule continuous-imp-tendsto)
      using <s ⟶ x> <range s ⊆ M>
      by (meson closure-sequential range-subsetD)
    finally have <x = f x>
  qed

```

```

using ⟨ $s \longrightarrow x$ ⟩
by (simp add: LIMSEQ-unique)
then have ⟨ $x \in \text{range } f$ ⟩
  by simp
with ⟨is-projection-on  $f M$ ⟩ show ⟨ $x \in M$ ⟩
  by (simp add: is-projection-on-image)
qed
then show ?thesis
  by (metis closed-sequential-limits image-subset-iff)
qed

```

**proposition** is-projection-on-reduces-norm:

```

includes norm-syntax
fixes  $M :: ('a::complex-inner) \text{ set}$ 
assumes ⟨is-projection-on  $\pi M$ ⟩ and ⟨closed-csubspace  $M$ ⟩
shows ⟨ $\|\pi h\| \leq \|h\|$ ⟩
proof—
  have ⟨ $h - \pi h \in \text{orthogonal-complement } M$ ⟩
    using assms is-projection-on-iff-orthog by blast
  hence ⟨ $\forall k \in M. \text{is-orthogonal} (h - \pi h) k$ ⟩
    using orthogonal-complement-orthoI by blast
  also have ⟨ $\pi h \in M$ ⟩
    using ⟨is-projection-on  $\pi M$ ⟩
    by (simp add: is-projection-on-in-image)
  ultimately have ⟨is-orthogonal  $(h - \pi h) (\pi h)$ ⟩
    by auto
  hence ⟨ $\|\pi h\|^2 + \|h - \pi h\|^2 = \|h\|^2$ ⟩
    using pythagorean-theorem by fastforce
  hence ⟨ $\|\pi h\|^2 \leq \|h\|^2$ ⟩
    by (smt zero-le-power2)
  thus ?thesis
    using norm-ge-zero power2-le-imp-le by blast
qed

```

**proposition** projection-reduces-norm:

```

includes norm-syntax
fixes  $M :: ('a::chilbert-space) \text{ set}$ 
assumes a1: closed-csubspace  $M$ 
shows ⟨ $\|\text{projection } M h\| \leq \|h\|$ ⟩
using assms is-projection-on-iff-orthog orthog-proj-exists is-projection-on-reduces-norm
projection-eqI by blast

```

— Theorem 2.7 (version) in [1]

**theorem** is-projection-on-bounded-clinear:

```

fixes  $M :: ('a::complex-inner) \text{ set}$ 
assumes a1: is-projection-on  $\pi M$  and a2: closed-csubspace  $M$ 
shows bounded-clinear  $\pi$ 
proof
  have b1: ⟨csubspace (orthogonal-complement  $M$ )⟩

```

```

by (simp add: a2)
have f1:  $\forall a. a - \pi a \in \text{orthogonal-complement } M \wedge \pi a \in M$ 
  using a1 a2 is-projection-on-iff-orthog by blast
hence  $c *_C x - c *_C \pi x \in \text{orthogonal-complement } M$ 
  for c x
  by (metis (no-types) b1
    add-diff-cancel-right' complex-vector.subspace-def diff-add-cancel scaleC-add-right)
thus r1:  $\langle \pi (c *_C x) = c *_C (\pi x) \rangle$  for x c
  using f1 by (meson a2 a1 closed-csubspace.subspace
    complex-vector.subspace-def is-projection-on-eqI)
show r2:  $\langle \pi (x + y) = (\pi x) + (\pi y) \rangle$ 
  for x y
proof-
  have  $\forall A. \neg \text{closed-csubspace } (A::'a set) \vee \text{csubspace } A$ 
    by (metis closed-csubspace.subspace)
  hence csubspace M
    using a2 by auto
  hence  $\langle \pi (x + y) - ((\pi x) + (\pi y)) \in M \rangle$ 
    by (simp add: complex-vector.subspace-add complex-vector.subspace-diff f1)
  have  $\langle \text{closed-csubspace } (\text{orthogonal-complement } M) \rangle$ 
    using a2
    by simp
  have f1:  $\forall a b. (b::'a) + (a - b) = a$ 
    by (metis add.commute diff-add-cancel)
  have f2:  $\forall a b. (b::'a) - b = a - a$ 
    by auto
  hence f3:  $\forall a. a - a \in \text{orthogonal-complement } M$ 
    by (simp add: complex-vector.subspace-0)
  have  $\forall a b. (a \in \text{orthogonal-complement } M \vee a + b \notin \text{orthogonal-complement } M)$ 
     $\vee b \notin \text{orthogonal-complement } M$ 
    using add-diff-cancel-right' b1 complex-vector.subspace-diff
    by metis
    hence  $\forall a b c. (a \in \text{orthogonal-complement } M \vee c - (b + a) \notin \text{orthogonal-complement } M)$ 
       $\vee c - b \notin \text{orthogonal-complement } M$ 
      using f1 by (metis diff-diff-add)
    hence f4:  $\forall a b f. (f a - b \in \text{orthogonal-complement } M \vee a - b \notin \text{orthogonal-complement } M)$ 
       $\vee \neg \text{is-projection-on } f M$ 
      using f1
      by (metis a2 is-projection-on-iff-orthog)
    have f5:  $\forall a b c d. (d::'a) - (c + (b - a)) = d + (a - (b + c))$ 
      by auto
    have  $x - \pi x \in \text{orthogonal-complement } M$ 
      using a1 a2 is-projection-on-iff-orthog by blast
    hence q1:  $\langle \pi (x + y) - ((\pi x) + (\pi y)) \in \text{orthogonal-complement } M \rangle$ 
      using f5 f4 f3 by (metis <csubspace (orthogonal-complement M)>
        <is-projection-on π M> add-diff-eq complex-vector.subspace-diff diff-diff-add
        <is-projection-on π M> add-diff-eq complex-vector.subspace-diff diff-diff-add)

```

$\text{diff-diff-eq2}$   
**hence**  $\langle \pi(x + y) - (\pi x + \pi y) \rangle \in M \cap (\text{orthogonal-complement } M)$ ,  
**by** (*simp add:*  $\langle \pi(x + y) - (\pi x + \pi y) \in M \rangle$ )  
**moreover have**  $\langle M \cap (\text{orthogonal-complement } M) = \{0\} \rangle$   
**by** (*simp add:*  $\langle \text{closed-csubspace } M \rangle \text{ complex-vector.subspace-0 orthogonal-complement-zero-intersection}$ )  
**ultimately have**  $\langle \pi(x + y) - (\pi x + \pi y) = 0 \rangle$   
**by** *auto*  
**thus** *?thesis by simp*  
**qed**  
**from** *is-projection-on-reduces-norm*  
**show**  $t1: \exists K. \forall x. \text{norm } (\pi x) \leq \text{norm } x * K$   
**by** (*metis a1 a2 mult.left-neutral ordered-field-class.sign-simps(5)*)  
**qed**

**theorem** *projection-bounded-clinear*:  
**fixes**  $M :: \langle 'a::chilbert-space set \rangle$   
**assumes**  $a1: \text{closed-csubspace } M$   
**shows**  $\langle \text{bounded-clinear } (\text{projection } M) \rangle$   
— Theorem 2.7 in [1]  
**using** *assms is-projection-on-iff-orthog orthog-proj-exists is-projection-on-bounded-clinear projection-eqI by blast*

**proposition** *is-projection-on-idem*:  
**fixes**  $M :: \langle 'a::complex-inner set \rangle$   
**assumes** *is-projection-on*  $\pi M$   
**shows**  $\pi(\pi x) = \pi x$   
**using** *is-projection-on-fixes-image is-projection-on-in-image assms by blast*

**proposition** *projection-idem*:  
**fixes**  $M :: 'a::chilbert-space set$   
**assumes**  $a1: \text{closed-csubspace } M$   
**shows**  $\text{projection } M (\text{projection } M x) = \text{projection } M x$   
**by** (*metis assms closed-csubspace.closed closed-csubspace.subspace complex-vector.subspace-0 csubspace-is-convex equals0D projection-fixes-image projection-in-image*)

**proposition** *is-projection-on-kernel-is-orthogonal-complement*:  
**fixes**  $M :: \langle 'a::complex-inner set \rangle$   
**assumes**  $a1: \text{is-projection-on } \pi M \text{ and } a2: \text{closed-csubspace } M$   
**shows**  $\pi - ` \{0\} = \text{orthogonal-complement } M$   
**proof—**  
**have**  $x \in (\pi - ` \{0\})$   
**if**  $x \in \text{orthogonal-complement } M$   
**for**  $x$   
**by** (*smt (verit, ccfv-SIG) a1 a2 closed-csubspace-def complex-vector.subspace-def complex-vector.subspace-diff is-projection-on-eqI orthogonal-complement-closed-subspace that vimage-singleton-eq*)  
**moreover have**  $x \in \text{orthogonal-complement } M$   
**if**  $s1: x \in \pi - ` \{0\}$  **for**  $x$

```

by (metis a1 a2 diff-zero is-projection-on-iff-orthog that vimage-singleton-eq)
ultimately show ?thesis
by blast
qed

```

— Theorem 2.7 in [1]

**proposition** projection-kernel-is-orthogonal-complement:

```

fixes M :: 'a::chilbert-space set'
assumes closed-csubspace M
shows (projection M) - ' {0} = (orthogonal-complement M)
by (metis assms closed-csubspace-def complex-vector.subspace-def csubspace-is-convex
insert-absorb insert-not-empty is-projection-on-kernel-is-orthogonal-complement pro-
jection-is-projection-on)

```

**lemma** is-projection-on-id-minus:

```

fixes M :: 'a::complex-inner set'
assumes is-proj: is-projection-on π M
and cc: closed-csubspace M
shows is-projection-on (id - π) (orthogonal-complement M)
using is-proj apply (simp add: cc is-projection-on-iff-orthog)
using double-orthogonal-complement-increasing by blast

```

Exercise 2 (section 2, chapter I) in [1]

**lemma** projection-on-orthogonal-complement[simp]:

```

fixes M :: 'a::chilbert-space set'
assumes a1: closed-csubspace M
shows projection (orthogonal-complement M) = id - projection M
apply (auto intro!: ext)
by (smt (verit, ccfv-SIG) add-diff-cancel-left' assms closed-csubspace.closed-csubspace.subspace
complex-vector.subspace-0 csubspace-is-convex diff-add-cancel double-orthogonal-complement-increasing
insert-absorb insert-not-empty is-projection-on-iff-orthog orthogonal-complement-closed-subspace
projection-eqI projection-is-projection-on subset-eq)

```

**lemma** is-projection-on-zero:

```

is-projection-on (λ-. 0) {0}
by (simp add: is-projection-on-def is-arg-min-def)

```

**lemma** projection-zero[simp]:

```

projection {0} = (λ-. 0)
using is-projection-on-zero
by (metis (full-types) is-projection-on-in-image projection-def singletonD someI-ex)

```

**lemma** is-projection-on-rank1:

```

fixes t :: 'a::complex-inner>
shows ⟨is-projection-on (λx. ((t ·C x) / (t ·C t)) *C t) (cspan {t})⟩
proof (cases 't = 0')
case True
then show ?thesis
by (simp add: is-projection-on-zero)

```

```

next
  case False
    define P where  $\langle P x = ((t \cdot_C x) / (t \cdot_C t)) *_C t \rangle$  for x
    define t' where  $\langle t' = t /_C \text{norm } t \rangle$ 
    with False have  $\langle \text{norm } t' = 1 \rangle$ 
      by (simp add: norm-inverse)
    have P-def':  $\langle P x = \text{cinner } t' x *_C t' \rangle$  for x
      unfolding P-def t'-def apply auto
      by (metis divide-divide-eq-left divide-inverse mult.commute power2-eq-square
power2-norm-eq-cinner)
    have spant':  $\langle \text{cspan } \{t\} = \text{cspan } \{t'\} \rangle$ 
      by (simp add: False t'-def)
    have cc:  $\langle \text{closed-csubspace } (\text{cspan } \{t\}) \rangle$ 
      by (auto intro!: finite-cspan-closed closed-csubspace.intro)
    have ortho:  $\langle h - P h \in \text{orthogonal-complement } (\text{cspan } \{t\}) \rangle$  for h
      unfolding orthogonal-complement-def P-def' spant' apply auto
      by (smt (verit, ccfv-threshold) norm t' = 1 add-cancel-right-left cinner-add-right
cinner-commute' cinner-scaleC-right cnorm-eq-1 complex-vector.span-breakdown-eq
complex-vector.span-empty diff-add-cancel mult-cancel-left1 singletonD)
    have inspan:  $\langle P h \in \text{cspan } \{t\} \rangle$  for h
      unfolding P-def' spant'
      by (simp add: complex-vector.span-base complex-vector.span-scale)
    show  $\langle \text{is-projection-on } P (\text{cspan } \{t\}) \rangle$ 
      apply (subst is-projection-on-iff-orthog)
      using cc ortho inspan by auto
  qed

lemma projection-rank1:
  fixes t x :: 'a::complex_inner
  shows  $\langle \text{projection } (\text{cspan } \{t\}) x = ((t \cdot_C x) / (t \cdot_C t)) *_C t \rangle$ 
  apply (rule fun-cong, rule projection-eqI', simp)
  by (rule is-projection-on-rank1)

```

## 9.5 More orthogonal complement

The following lemmas logically fit into the "orthogonality" section but depend on projections for their proofs.

Corollary 2.8 in [1]

```

theorem double-orthogonal-complement-id[simp]:
  fixes M :: 'a::hilbert-space set
  assumes a1: closed-csubspace M
  shows orthogonal-complement (orthogonal-complement M) = M
proof-
  have b2: x ∈ (id - projection M) - {0}
  if c1: x ∈ M for x
  by (simp add: assms projection-fixes-image that)
  have b3: x ∈ M

```

```

if c1:  $\langle x \in (\text{id} - \text{projection } M) - \{0\} \rangle$  for x
by (metis assms closed-csubspace.closed closed-csubspace.subspace complex-vector.subspace-0
csubspace-is-convex eq-id-iff equals0D fun-diff-def projection-in-image right-minus-eq
that vimage-singleton-eq)
have  $\langle x \in M \longleftrightarrow x \in (\text{id} - \text{projection } M) - \{0\} \rangle$  for x
using b2 b3 by blast
hence b4:  $\langle (\text{id} - (\text{projection } M)) - \{0\} = M \rangle$ 
by blast
have b1: orthogonal-complement (orthogonal-complement M)
= (projection (orthogonal-complement M)) - \{0\}
by (simp add: a1 projection-kernel-is-orthogonal-complement del: projection-on-orthogonal-complement)
also have  $\langle \dots = (\text{id} - (\text{projection } M)) - \{0\} \rangle$ 
by (simp add: a1)
also have  $\langle \dots = M \rangle$ 
by (simp add: b4)
finally show ?thesis by blast
qed

lemma orthogonal-complement-antimono-iff[simp]:
fixes A B :: "('a::hilbert-space) set"
assumes "closed-csubspace A" and "closed-csubspace B"
shows "orthogonal-complement A ⊆ orthogonal-complement B ↔ A ⊇ B"
proof (rule iffI)
show "orthogonal-complement A ⊆ orthogonal-complement B" if "A ⊇ B"
using that by auto

assume "orthogonal-complement A ⊆ orthogonal-complement B"
then have "orthogonal-complement (orthogonal-complement A) ⊇ orthogonal-complement
(orthogonal-complement B)"
by simp
then show "A ⊇ B"
using assms by auto
qed

lemma de-morgan-orthogonal-complement-plus:
fixes A B::('a::complex_inner) set
assumes "0 ∈ A" and "0 ∈ B"
shows "orthogonal-complement (A +_M B) = orthogonal-complement A ∩ orthogonal-complement B"
proof -
have x ∈ (orthogonal-complement A) ∩ (orthogonal-complement B)
if x ∈ orthogonal-complement (A +_M B) for x
proof -
have "orthogonal-complement (A +_M B) = orthogonal-complement (A + B)"
unfolding closed-sum-def by (subst orthogonal-complement-of-closure[symmetric],
simp)
hence "x ∈ orthogonal-complement (A + B)"
using that by blast
hence t1: "∀ z ∈ (A + B). (z •_C x) = 0"

```

```

by (simp add: orthogonal-complement-orthoI')
have ‹A ⊆ A + B›
  using subset-iff add.commute set-zero-plus2 ‹0 ∈ B›
  by fastforce
hence ‹∀ z ∈ A. (z •C x) = 0›
  using t1 by auto
hence w1: ‹x ∈ (orthogonal-complement A)›
  by (smt mem-Collect-eq is-orthogonal-sym orthogonal-complement-def)
have ‹B ⊆ A + B›
  using ‹0 ∈ A› subset-iff set-zero-plus2 by blast
hence ‹∀ z ∈ B. (z •C x) = 0›
  using t1 by auto
hence ‹x ∈ (orthogonal-complement B)›
  by (smt mem-Collect-eq is-orthogonal-sym orthogonal-complement-def)
thus ?thesis
  using w1 by auto
qed
moreover have x ∈ (orthogonal-complement (A +M B))
  if v1: x ∈ (orthogonal-complement A) ∩ (orthogonal-complement B)
    for x
proof-
have ‹x ∈ (orthogonal-complement A)›
  using v1
  by blast
hence ‹∀ y ∈ A. (y •C x) = 0›
  by (simp add: orthogonal-complement-orthoI')
have ‹x ∈ (orthogonal-complement B)›
  using v1
  by blast
hence ‹∀ y ∈ B. (y •C x) = 0›
  by (simp add: orthogonal-complement-orthoI')
have ‹∀ a ∈ A. ∀ b ∈ B. (a+b) •C x = 0›
  by (simp add: ‹∀ y ∈ A. y •C x = 0› ‹∀ y ∈ B. (y •C x) = 0› cinner-add-left)
hence ‹∀ y ∈ (A + B). y •C x = 0›
  using set-plus-elim by force
hence ‹x ∈ (orthogonal-complement (A + B))›
  by (smt mem-Collect-eq is-orthogonal-sym orthogonal-complement-def)
moreover have ‹(orthogonal-complement (A + B)) = (orthogonal-complement
(A +M B))›
  unfolding closed-sum-def by (subst orthogonal-complement-of-closure[symmetric],
simp)
ultimately have ‹x ∈ (orthogonal-complement (A +M B))›
  by blast
thus ?thesis
  by blast
qed
ultimately show ?thesis by blast
qed

```

```

lemma de-morgan-orthogonal-complement-inter:
  fixes A B::'a::chilbert-space set
  assumes a1: ⟨closed-csubspace A⟩ and a2: ⟨closed-csubspace B⟩
  shows ⟨orthogonal-complement (A ∩ B) = orthogonal-complement A +M orthogonal-complement B⟩
  proof-
    have ⟨orthogonal-complement A +M orthogonal-complement B
      = orthogonal-complement (orthogonal-complement (orthogonal-complement A +M orthogonal-complement B))⟩
      by (simp add: closed-subspace-closed-sum)
    also have ⟨... = orthogonal-complement (orthogonal-complement (orthogonal-complement A) ∩ orthogonal-complement (orthogonal-complement B))⟩
      by (simp add: de-morgan-orthogonal-complement-plus orthogonal-complementI)
    also have ⟨... = orthogonal-complement (A ∩ B)⟩
      by (simp add: a1 a2)
    finally show ?thesis
      by simp
  qed

lemma orthogonal-complement-of-cspan: ⟨orthogonal-complement A = orthogonal-complement (cspan A)⟩
  by (metis (no-types, opaque-lifting) closed-csubspace.subspace complex-vector.span-minimal
complex-vector.span-superset double-orthogonal-complement-increasing orthogonal-complement-antimono
orthogonal-complement-closed-subspace subset-antisym)

lemma orthogonal-complement-orthogonal-complement-closure-cspan:
  ⟨orthogonal-complement (orthogonal-complement S) = closure (cspan S)⟩ for S
  :: ⟨'a::chilbert-space set⟩
  proof -
    have ⟨orthogonal-complement (orthogonal-complement S) = orthogonal-complement
(orthogonal-complement (closure (cspan S)))⟩
      by (simp flip: orthogonal-complement-of-closure orthogonal-complement-of-cspan)
    also have ⟨... = closure (cspan S)⟩
      by simp
    finally show ⟨orthogonal-complement (orthogonal-complement S) = closure (cspan S)⟩
      by -
  qed

```

```

instance ccsubspace :: (chilbert-space) complete-orthomodular-lattice
proof
  fix X Y :: ⟨'a ccsubspace⟩

  show inf X (− X) = bot
    apply transfer
    by (simp add: closed-csubspace-def complex-vector.subspace-0 orthogonal-complement-zero-intersection)

  have ⟨t ∈ M +M orthogonal-complement M⟩
    if ⟨closed-csubspace M⟩ for t::'a and M

```

**by** (metis (no-types, lifting) UNIV-I closed-csubspace.subspace complex-vector.subspace-def de-morgan-orthogonal-complement-inter double-orthogonal-complement-id orthogonal-complement-closed-subspace orthogonal-complement-zero orthogonal-complement-zero-intersection that)

```

hence b1:  $\langle M +_M \text{orthogonal-complement } M = \text{UNIV} \rangle$ 
  if  $\langle \text{closed-csubspace } M \rangle$  for  $M :: \text{'a set}$ 
  using that by blast
show sup  $X (- X) = \text{top}$ 
  apply transfer
  using b1 by auto
show  $-(-X) = X$ 
  apply transfer by simp

show  $-Y \leq -X$ 
if  $X \leq Y$ 
  using that apply transfer by simp

have c1:  $M +_M \text{orthogonal-complement } M \cap N \subseteq N$ 
  if  $\text{closed-csubspace } M \text{ and closed-csubspace } N \text{ and } M \subseteq N$ 
  for  $M N :: \text{'a set}$ 
  using that
  by (simp add: closed-sum-is-sup)

have c2:  $\langle u \in M +_M (\text{orthogonal-complement } M \cap N) \rangle$ 
  if a1:  $\text{closed-csubspace } M \text{ and a2: closed-csubspace } N \text{ and a3: } M \subseteq N \text{ and}$ 
  x1:  $\langle u \in N \rangle$ 
    for  $M :: \text{'a set and } N :: \text{'a set and } u$ 
    proof -
      have d4:  $\langle (\text{projection } M) u \in M \rangle$ 
        by (metis a1 closed-csubspace-def csubspace-is-convex equals0D orthog-proj-exists projection-in-image)
      hence d2:  $\langle (\text{projection } M) u \in N \rangle$ 
        using a3 by auto
      have d1:  $\langle \text{csubspace } N \rangle$ 
        by (simp add: a2)
      have u:  $\langle u - (\text{projection } M) u \in \text{orthogonal-complement } M \rangle$ 
        by (simp add: a1 orthogonal-complementI projection-orthogonal)
      moreover have v:  $\langle u - (\text{projection } M) u \in N \rangle$ 
        by (simp add: d1 d2 complex-vector.subspace-diff x1)
      ultimately have d3:  $\langle u - (\text{projection } M) u \in ((\text{orthogonal-complement } M) \cap N) \rangle$ 
        by simp
      hence  $\exists v \in ((\text{orthogonal-complement } M) \cap N). u = (\text{projection } M) u + v$ 
        by (metis d3 diff-add-cancel ordered-field-class.sign-simps(2))
      then obtain v where  $\langle v \in ((\text{orthogonal-complement } M) \cap N) \rangle$  and  $\langle u = (\text{projection } M) u + v \rangle$ 
        by blast
      hence  $\langle u \in M + ((\text{orthogonal-complement } M) \cap N) \rangle$ 
        by (metis d4 set-plus-intro)

```

```

thus ?thesis
  unfolding closed-sum-def
  using closure-subset by blast
qed

have c3:  $N \subseteq M +_M ((\text{orthogonal-complement } M) \cap N)$ 
  if closed-csubspace  $M$  and closed-csubspace  $N$  and  $M \subseteq N$ 
  for  $M N :: \text{'a set}$ 
  using c2 that by auto

show sup  $X$  (inf ( $-X$ )  $Y$ ) =  $Y$ 
  if  $X \leq Y$ 
  using that apply transfer
  using c1 c3
  by (simp add: subset-antisym)

show  $X - Y = \inf X (- Y)$ 
  apply transfer by simp
qed

```

## 9.6 Orthogonal spaces

```

definition <orthogonal-spaces S T <math>\longleftrightarrow (\forall x \in \text{space-as-set } S. \forall y \in \text{space-as-set } T. \text{is-orthogonal } x y)>
```

```

lemma orthogonal-spaces-leq-compl: <orthogonal-spaces S T <math>\longleftrightarrow S \leq -T>
  unfolding orthogonal-spaces-def apply transfer
  by (auto simp: orthogonal-complement-def)

lemma orthogonal-bot[simp]: <orthogonal-spaces S bot>
  by (simp add: orthogonal-spaces-def)

lemma orthogonal-spaces-sym: <orthogonal-spaces S T <math>\implies \text{orthogonal-spaces } T S>
  unfolding orthogonal-spaces-def
  using is-orthogonal-sym by blast

lemma orthogonal-sup: <orthogonal-spaces S T1 <math>\implies \text{orthogonal-spaces } S T2 <math>\implies \text{orthogonal-spaces } S (\sup T1 T2)>
  apply (rule orthogonal-spaces-sym)
  apply (simp add: orthogonal-spaces-leq-compl)
  using orthogonal-spaces-leq-compl orthogonal-spaces-sym by blast

lemma orthogonal-sum:
  assumes <finite F> and < $\bigwedge x. x \in F \implies \text{orthogonal-spaces } S (T x)$ >
  shows <orthogonal-spaces S (sum T F)>
  using assms
  apply induction
  by (auto intro!: orthogonal-sup)

```

**lemma** *orthogonal-spaces-ccspan*:  $\langle (\forall x \in S. \forall y \in T. \text{is-orthogonal } x \ y) \longleftrightarrow \text{orthogonal-spaces } (\text{ccspan } S) \ (\text{ccspan } T) \rangle$   
**by** (*meson cccspan-leq-ortho-ccspan cccspan-superset orthogonal-spaces-def orthogonal-spaces-leq-compl subset-iff*)

## 9.7 Orthonormal bases

**lemma** *ortho-basis-exists*:  
**fixes**  $S :: \langle 'a :: \text{chilbert-space set} \rangle$   
**assumes**  $\langle \text{is-ortho-set } S \rangle$   
**shows**  $\langle \exists B. B \supseteq S \wedge \text{is-ortho-set } B \wedge \text{closure } (\text{cspan } B) = \text{UNIV} \rangle$   
**proof** –  
**define** *on* **where**  $\langle \text{on } B \longleftrightarrow B \supseteq S \wedge \text{is-ortho-set } B \rangle$  **for**  $B :: \langle 'a \text{ set} \rangle$   
**have**  $\langle \exists B \in \text{Collect on}. \forall B' \in \text{Collect on}. B \subseteq B' \longrightarrow B' = B \rangle$   
**proof** (*rule subset-Zorn-nonempty; simp*)  
**show**  $\langle \exists S. \text{on } S \rangle$   
**apply** (*rule exI[of - S]*)  
**using** *assms on-def* **by** *fastforce*  
**next**  
**fix**  $C :: \langle 'a \text{ set set} \rangle$   
**assume**  $\langle C \neq \{\} \rangle$   
**assume**  $\langle \text{subset.chain } (\text{Collect on}) \ C \rangle$   
**then have**  $\langle B \in C \implies \text{on } B \rangle$  **and**  $\langle \text{C-order}: \langle B \in C \implies B' \in C \implies B \subseteq B' \vee B' \subseteq B \rangle \text{ for } B \ B'$   
**by** (*auto simp: subset.chain-def*)  
**have**  $\langle \text{is-orthogonal } x \ y \rangle$  **if**  $\langle x \in \bigcup C \rangle \ \langle y \in \bigcup C \rangle \ \langle x \neq y \rangle$  **for**  $x \ y$   
**by** (*smt (verit) UnionE C-order C-on on-def is-ortho-set-def subsetD that(1)*  
*that(2) that(3))*  
**moreover have**  $\langle 0 \notin \bigcup C \rangle$   
**by** (*meson UnionE C-on is-ortho-set-def on-def*)  
**moreover have**  $\langle \bigcup C \supseteq S \rangle$   
**using** *C-on*  $\langle C \neq \{\} \rangle **on-def** **by** *blast*  
**ultimately show**  $\langle \text{on } (\bigcup C) \rangle$   
**unfolding** *on-def is-ortho-set-def* **by** *simp*  
**qed**  
**then obtain**  $B$  **where**  $\langle \text{on } B \rangle$  **and**  $\langle B\text{-max}: \langle B' \supseteq B \implies \text{on } B' \implies B = B' \rangle \text{ for } B' \rangle$   
**by** *auto*  
**have**  $\langle \psi = 0 \rangle$  **if** *ψortho*:  $\langle \forall b \in B. \text{is-orthogonal } \psi \ b \rangle$  **for**  $\psi :: 'a$   
**proof** (*rule ccontr*)  
**assume**  $\langle \psi \neq 0 \rangle$   
**define**  $\varphi \ B'$  **where**  $\langle \varphi = \psi /_R \text{norm } \psi \rangle$  **and**  $\langle B' = B \cup \{\varphi\} \rangle$   
**have** [*simp*]:  $\langle \text{norm } \varphi = 1 \rangle$   
**using**  $\langle \psi \neq 0 \rangle$  **by** (*auto simp: φ-def*)  
**have** *φortho*:  $\langle \text{is-orthogonal } \varphi \ b \rangle$  **if**  $\langle b \in B \rangle$  **for**  $b$   
**using** *ψortho* **that** *φ-def* **by** *auto*  
**have** *orthoB'*:  $\langle \text{is-orthogonal } x \ y \rangle$  **if**  $\langle x \in B' \rangle \ \langle y \in B' \rangle \ \langle x \neq y \rangle$  **for**  $x \ y$   
**using** *that* **on**  $B$  *φortho φortho[THEN is-orthogonal-sym[THEN iffD1]]*  
**by** (*auto simp: B'-def on-def is-ortho-set-def*)$

```

have  $B'0: \langle 0 \notin B' \rangle$ 
  using  $B'\text{-def } \langle \text{norm } \varphi = 1 \rangle \langle \text{on } B \rangle \text{ is-ortho-set-def on-def by fastforce}$ 
have  $\langle S \subseteq B' \rangle$ 
  using  $B'\text{-def } \langle \text{on } B \rangle \text{ on-def by auto}$ 
from  $\text{orthoB}' B'0 \langle S \subseteq B' \rangle$  have  $\langle \text{on } B' \rangle$ 
  by (simp add: on-def is-ortho-set-def)
with  $B\text{-max}$  have  $\langle B = B' \rangle$ 
  by (metis  $B'\text{-def Un-upper1}$ )
then have  $\langle \varphi \in B \rangle$ 
  using  $B'\text{-def by blast}$ 
then have  $\langle \text{is-orthogonal } \varphi \varphi \rangle$ 
  using  $\varphi \text{ortho by blast}$ 
then show  $\text{False}$ 
  using  $B'0 \langle B = B' \rangle \langle \varphi \in B \rangle$  by fastforce
qed
then have  $\langle \text{orthogonal-complement } B = \{0\} \rangle$ 
  by (auto simp: orthogonal-complement-def)
then have  $\langle \text{UNIV} = \text{orthogonal-complement} (\text{orthogonal-complement } B) \rangle$ 
  by simp
also have  $\langle \dots = \text{orthogonal-complement} (\text{orthogonal-complement} (\text{closure} (\text{cspan } B))) \rangle$ 
  by (metis (mono-tags, opaque-lifting)  $\langle \text{orthogonal-complement } B = \{0\} \rangle$  cinner-zero-left complex-vector.span-superset empty-iff insert-iff orthogonal-complementI
orthogonal-complement-antimono orthogonal-complement-of-closure subsetI subset-antisym)
also have  $\langle \dots = \text{closure} (\text{cspan } B) \rangle$ 
  apply (rule double-orthogonal-complement-id)
  by simp
finally have  $\langle \text{closure} (\text{cspan } B) = \text{UNIV} \rangle$ 
  by simp
with  $\langle \text{on } B \rangle$  show ?thesis
  by (auto simp: on-def)
qed

lemma orthonormal-basis-exists:
  fixes  $S :: \langle 'a::chilbert-space set \rangle$ 
  assumes  $\langle \text{is-ortho-set } S \rangle$  and  $\langle \bigwedge x. x \in S \implies \text{norm } x = 1 \rangle$ 
  shows  $\langle \exists B. B \supseteq S \wedge \text{is-onb } B \rangle$ 
proof -
  from  $\langle \text{is-ortho-set } S \rangle$ 
  obtain  $B$  where  $\langle \text{is-ortho-set } B \rangle$  and  $\langle B \supseteq S \rangle$  and  $\langle \text{closure} (\text{cspan } B) = \text{UNIV} \rangle$ 
    using ortho-basis-exists by blast
  define  $B'$  where  $\langle B' = (\lambda x. x /_R \text{norm } x) ` B \rangle$ 
  have  $\langle S = (\lambda x. x /_R \text{norm } x) ` S \rangle$ 
    by (simp add: assms(2))
  then have  $\langle B' \supseteq S \rangle$ 
    using  $B'\text{-def } \langle S \subseteq B \rangle$  by blast
  moreover
  have  $\langle \text{ccspan } B' = \text{top} \rangle$ 
    apply (transfer fixing:  $B'$ )

```

```

apply (simp add: B'-def scaleR-scaleC)
apply (subst complex-vector.span-image-scale')
using ⟨is-ortho-set B⟩ ⟨closure (cspan B) = UNIV⟩ is-ortho-set-def
by auto
moreover have ⟨is-ortho-set B'⟩
  using ⟨is-ortho-set B⟩ by (auto simp: B'-def is-ortho-set-def)
moreover have ⟨∀ b∈B'. norm b = 1⟩
  using ⟨is-ortho-set B⟩ apply (auto simp: B'-def is-ortho-set-def)
  by (metis field-class.field-inverse norm_eq_zero)
ultimately show ?thesis
  by (auto simp: is-onb-def)
qed

definition some-chilbert-basis :: ⟨'a::chilbert-space set⟩ where
⟨some-chilbert-basis = (SOME B::'a set. is-onb B)⟩

lemma is-onb-some-chilbert-basis[simp]: ⟨is-onb (some-chilbert-basis :: 'a::chilbert-space set)⟩
  using orthonormal-basis-exists[OF is-ortho-set-empty]
  by (auto simp add: some-chilbert-basis-def intro: someI2)

lemma is-ortho-set-some-chilbert-basis[simp]: ⟨is-ortho-set some-chilbert-basis⟩
  using is-onb-def is-onb-some-chilbert-basis by blast

lemma is-normal-some-chilbert-basis: ⟨∀x. x ∈ some-chilbert-basis ==> norm x = 1⟩
  using is-onb-def is-onb-some-chilbert-basis by blast

lemma cspan-some-chilbert-basis[simp]: ⟨cspan some-chilbert-basis = top⟩
  using is-onb-def is-onb-some-chilbert-basis by blast

lemma span-some-chilbert-basis[simp]: ⟨closure (cspan some-chilbert-basis) = UNIV⟩
  by (metis cspan.rep_eq cspan-some-chilbert-basis top-ccspace.rep_eq)

lemma cindependent-some-chilbert-basis[simp]: ⟨cindependent some-chilbert-basis⟩
  using is-ortho-set-cindependent is-ortho-set-some-chilbert-basis by blast

lemma finite-some-chilbert-basis[simp]: ⟨finite (some-chilbert-basis :: 'a :: {chilbert-space, cfinite-dim} set)⟩
  apply (rule cindependent-cfinite-dim-finite)
  by simp

lemma some-chilbert-basis-nonempty: ⟨(some-chilbert-basis :: 'a :: {chilbert-space, not-singleton} set) ≠ {}⟩
proof (rule ccontr, simp)
  define B :: ⟨'a set⟩ where ⟨B = some-chilbert-basis⟩
  assume [simp]: ⟨B = {}⟩
  have ⟨UNIV = closure (cspan B)⟩

```

```

using B-def span-some-chilbert-basis by blast
also have ... = {0}
  by simp
also have ... ≠ UNIV
  using Extra-General.UNIV-not-singleton by blast
finally show False
  by simp
qed

lemma basis-projections-reconstruct-has-sum:
  assumes <is-ortho-set B> and normB: <∀b. b ∈ B ⇒ norm b = 1> and ψB: <ψ
  ∈ space-as-set (ccspan B)>
  shows <((λb. (b •C ψ) *C b) has-sum ψ) B>
proof (rule has-sumI-metric)
fix e :: real assume <e > 0>
define e2 where <e2 = e/2>
have [simp]: <e2 > 0>
  by (simp add: <0 < e> e2-def)
define bb where <bb φ b = (b •C φ) *C b> for φ and b :: 'a
have linear-bb: <clinear (λφ. bb φ b)> for b
  by (simp add: bb-def cinner-add-right clinear-iff scaleC-left.add)
from ψB obtain φ where distψφ: <dist φ ψ < e2> and φB: <φ ∈ cspan B>
  apply atomize-elim apply (simp add: cspan.rep-eq closure-approachable)
  using <0 < e2> by blast
from φB obtain F where <finite F> and <F ⊆ B> and φF: <φ ∈ cspan F>
  by (meson vector-finitely-spanned)
have <dist (sum (bb φ) G) ψ < e>
  if <finite G> and <F ⊆ G> and <G ⊆ B> for G
proof -
have sumφ: <sum (bb φ) G = φ>
proof -
from φF <F ⊆ G> have φG: <φ ∈ cspan G>
  using complex-vector.span-mono by blast
then obtain f where φsum: <φ = (∑ b ∈ G. f b *C b)>
  using complex-vector.span-finite[OF <finite G>]
  by auto
have <sum (bb φ) G = (∑ c ∈ G. ∑ b ∈ G. bb (f b *C b) c)>
  apply (simp add: φsum)
  apply (rule sum.cong, simp)
  apply (rule complex-vector.linear-sum[where f=λx. bb x →])
  by (rule linear-bb)
also have ... = (∑ (c,b) ∈ G × G. bb (f b *C b) c)
  by (simp add: sum.cartesian-product)
also have ... = (∑ b ∈ G. f b *C b)
  apply (rule sym)
  apply (rule sum.reindex-bij-witness-not-neutral
    [where j=λb. (b,b) and i=fst and T'=G × G - (λb. (b,b)) ` G and
    S'={}][])
  using <finite G> apply (auto simp: bb-def)

```

```

apply (metis (no-types, lifting) assms(1) imageI is-ortho-set-antimono
is-ortho-set-def that(3))
  using normB ‹G ⊆ B› cnorm-eq-1 by blast
  also have ‹... = φ›
    by (simp flip: φsum)
  finally show ?thesis
    by -
qed
have ‹dist (sum (bb φ) G) (sum (bb ψ) G) < e2›
proof -
  define γ where ‹γ = φ - ψ›
  have ‹(dist (sum (bb φ) G) (sum (bb ψ) G))² = (norm (sum (bb γ) G))²›
    by (simp add: dist-norm γ-def complex-vector.linear-diff[OF linear-bb]
      sum-subtractf)
  also have ‹... = (norm (sum (bb γ) G))² + (norm (γ - sum (bb γ) G))² -›
    ‹(norm (γ - sum (bb γ) G))²›
    by simp
  also have ‹... = (norm (sum (bb γ) G + (γ - sum (bb γ) G)))² - (norm
    (γ - sum (bb γ) G))²›
  proof -
    have ‹(∑ b∈G. bb γ b ·C bb γ c) = bb γ c ·C γ› if ‹c ∈ G› for c
      apply (subst sum-single[where i=c])
      using that apply (auto intro!: ‹finite G› simp: bb-def)
    apply (metis ‹G ⊆ B› ‹is-ortho-set B› is-ortho-set-antimono is-ortho-set-def)
    using ‹G ⊆ B› normB cnorm-eq-1 by blast
    then have ‹is-orthogonal (sum (bb γ) G) (γ - sum (bb γ) G)›
      by (simp add: cinner-sum-left cinner-diff-right cinner-sum-right)
    then show ?thesis
      apply (rule-tac arg-cong[where f=‹λx. x - -›])
      by (rule pythagorean-theorem[symmetric]))
  qed
  also have ‹... = (norm γ)² - (norm (γ - sum (bb γ) G))²›
    by simp
  also have ‹... ≤ (norm γ)²›
    by simp
  also have ‹... = (dist φ ψ)²›
    by (simp add: γ-def dist-norm)
  also have ‹... < e2²›
    using distφψ apply (rule power-strict-mono)
    by auto
  finally show ?thesis
    by (smt (verit) ‹0 < e2› power-mono)
qed
with sumφ distφψ show ‹dist (sum (bb ψ) G) ψ < e›
  apply (rule-tac dist-triangle-lt[where z=φ])
  by (simp add: e2-def dist-commute)
qed
with ‹finite F› and ‹F ⊆ B›
show ‹∃ F. finite F ∧

```

```


$$F \subseteq B \wedge (\forall G. \text{finite } G \wedge F \subseteq G \wedge G \subseteq B \longrightarrow \text{dist}(\text{sum}(\text{bb } \psi) G) \psi < e)$$

  by (auto intro!: exI[of - F])
qed

lemma basis-projections-reconstruct:
  assumes <is-ortho-set B> and < $\bigwedge b. b \in B \implies \text{norm } b = 1$ > and < $\psi \in \text{space-as-set}(\text{ccspan } B)$ >
  shows < $(\sum_{b \in B} (b \cdot_C \psi) *_C b) = \psi$ >
  using assms basis-projections-reconstruct-has-sum infsumI by blast

lemma basis-projections-reconstruct-summable:
  assumes <is-ortho-set B> and < $\bigwedge b. b \in B \implies \text{norm } b = 1$ > and < $\psi \in \text{space-as-set}(\text{ccspan } B)$ >
  shows < $(\lambda b. (b \cdot_C \psi) *_C b) \text{ summable-on } B$ >
  by (simp add: assms basis-projections-reconstruct basis-projections-reconstruct-has-sum
    summable-iff-has-sum-infsum)

lemma parseval-identity-has-sum:
  assumes <is-ortho-set B> and normB: < $\bigwedge b. b \in B \implies \text{norm } b = 1$ > and < $\psi \in \text{space-as-set}(\text{ccspan } B)$ >
  shows < $((\lambda b. (\text{norm } (b \cdot_C \psi))^2) \text{ has-sum } (\text{norm } \psi)^2) B$ >
proof -
  have *: < $((\lambda v. (\text{norm } v)^2) (\sum_{b \in F} (b \cdot_C \psi) *_C b) = (\sum_{b \in F} (\text{norm } (b \cdot_C \psi))^2)$ >
  if <finite F> and < $F \subseteq B$ > for F
    apply (subst pythagorean-theorem-sum)
    using <is-ortho-set B> normB that
    apply (auto intro!: sum.cong[OF refl] simp: is-ortho-set-def)
    by blast

  from assms have < $((\lambda b. (b \cdot_C \psi) *_C b) \text{ has-sum } \psi) B$ >
    by (simp add: basis-projections-reconstruct-has-sum)
  then have < $((\lambda F. \sum_{b \in F} (b \cdot_C \psi) *_C b) \longrightarrow \psi) (\text{finite-subsets-at-top } B)$ >
    by (simp add: has-sum-def)
  then have < $((\lambda F. (\lambda v. (\text{norm } v)^2) (\sum_{b \in F} (b \cdot_C \psi) *_C b)) \longrightarrow (\text{norm } \psi)^2)$ >
    (finite-subsets-at-top B)
    apply (rule isCont-tendsto-compose[rotated])
    by simp
  then have < $((\lambda F. (\sum_{b \in F} (\text{norm } (b \cdot_C \psi))^2)) \longrightarrow (\text{norm } \psi)^2) (\text{finite-subsets-at-top } B)$ >
    apply (rule tendsto-cong[THEN iffD2, rotated])
    apply (rule eventually-finite-subsets-at-top-weakI)
    by (simp add: *)
  then show < $((\lambda b. (\text{norm } (b \cdot_C \psi))^2) \text{ has-sum } (\text{norm } \psi)^2) B$ >
    by (simp add: has-sum-def)
qed

lemma parseval-identity-summable:
  assumes <is-ortho-set B> and < $\bigwedge b. b \in B \implies \text{norm } b = 1$ > and < $\psi \in \text{space-as-set}$ 
```

```
(ccspan B),
  shows  $\langle (\lambda b. (\text{norm } (b \cdot_C \psi))^2) \text{ summable-on } B \rangle$ 
  using parseval-identity-has-sum[OF assms] has-sum-imp-summable by blast
```

```
lemma parseval-identity:
  assumes  $\langle \text{is-ortho-set } B \rangle$  and  $\langle \bigwedge b. b \in B \implies \text{norm } b = 1 \rangle$  and  $\langle \psi \in \text{space-as-set } (ccspan B) \rangle$ 
  shows  $\langle (\sum_{\infty} b \in B. (\text{norm } (b \cdot_C \psi))^2) = (\text{norm } \psi)^2 \rangle$ 
  using parseval-identity-has-sum[OF assms]
  using infsumI by blast
```

## 9.8 Riesz-representation theorem

```
lemma orthogonal-complement-kernel-functional:
  fixes f ::  $\langle 'a::\text{complex-inner} \Rightarrow \text{complex} \rangle$ 
  assumes  $\langle \text{bounded-clinear } f \rangle$ 
  shows  $\langle \exists x. \text{orthogonal-complement } (f - \langle \{0\} \rangle) = \text{cspan } \{x\} \rangle$ 
  proof (cases  $\langle \text{orthogonal-complement } (f - \langle \{0\} \rangle) = \{0\} \rangle$ )
    case True
    then show ?thesis
      apply (rule-tac x=0 in exI) by auto
    next
      case False
      then obtain x where xortho:  $\langle x \in \text{orthogonal-complement } (f - \langle \{0\} \rangle) \rangle$  and
      xnon0:  $\langle x \neq 0 \rangle$ 
      using complex-vector.subspace-def by fastforce

      from xnon0 xortho
      have r1:  $\langle f x \neq 0 \rangle$ 
        by (metis cinner-eq-zero-iff orthogonal-complement-orthoI vimage-singleton-eq)

      have  $\langle \exists k. y = k *_C x \text{ if } \langle y \in \text{orthogonal-complement } (f - \langle \{0\} \rangle) \rangle \text{ for } y \rangle$ 
      proof (cases  $\langle y = 0 \rangle$ )
        case True
        then show ?thesis by auto
      next
        case False
        with that
        have  $\langle f y \neq 0 \rangle$ 
          by (metis cinner-eq-zero-iff orthogonal-complement-orthoI vimage-singleton-eq)
        then obtain k where k-def:  $\langle f x = k * f y \rangle$ 
          by (metis add.inverse-inverse minus-divide-eq-eq)
        with assms have  $\langle f x = f (k *_C y) \rangle$ 
          by (simp add: bounded-clinear.axioms(1) clinear.scaleC)
        hence  $\langle f x - f (k *_C y) = 0 \rangle$ 
          by simp
        with assms have s1:  $\langle f (x - k *_C y) = 0 \rangle$ 
          by (simp add: bounded-clinear.axioms(1) complex-vector.linear-diff)
        from that have  $\langle k *_C y \in \text{orthogonal-complement } (f - \langle \{0\} \rangle) \rangle$ 
```

```

    by (simp add: complex-vector.subspace-scale)
  with xortho have s2:  $\langle x - (k *_C y) \rangle \in \text{orthogonal-complement } (f - ' \{0\})$ 
    by (simp add: complex-vector.subspace-diff)
  have s3:  $\langle (x - (k *_C y)) \rangle \in f - ' \{0\}$ 
    using s1 by simp
  moreover have  $\langle (f - ' \{0\}) \cap (\text{orthogonal-complement } (f - ' \{0\})) \rangle = \{0\}$ 
    by (meson assms closed-csubspace-def complex-vector.subspace-def kernel-is-closed-csubspace
        orthogonal-complement-zero-intersection)
  ultimately have  $\langle x - (k *_C y) \rangle = 0$ 
    using s2 by blast
  thus ?thesis
    by (metis ceq-vector-fraction-iff eq-iff-diff-eq-0 k-def r1 scaleC-scaleC)
qed
then have  $\langle \text{orthogonal-complement } (f - ' \{0\}) \rangle \subseteq \text{cspan } \{x\}$ 
  using complex-vector.span-superset complex-vector.subspace-scale by blast

moreover from xortho have  $\langle \text{orthogonal-complement } (f - ' \{0\}) \rangle \supseteq \text{cspan } \{x\}$ 
  by (simp add: complex-vector.span-minimal)

ultimately show ?thesis
  by auto
qed

lemma riesz-representation-existence:
  — Theorem 3.4 in [1]
fixes f ::  $\langle 'a::chilbert-space \Rightarrow \text{complex} \rangle$ 
assumes a1:  $\langle \text{bounded-clinear } f \rangle$ 
shows  $\langle \exists t. \forall x. f x = t \cdot_C x \rangle$ 
proof(cases  $\langle \forall x. f x = 0 \rangle$ )
  case True
  thus ?thesis
    by (metis cinner-zero-left)
next
  case False
  obtain t where spant:  $\langle \text{orthogonal-complement } (f - ' \{0\}) \rangle = \text{cspan } \{t\}$ 
    using orthogonal-complement-kernel-functional
    using assms by blast
  have  $\langle \text{projection } (\text{orthogonal-complement } (f - ' \{0\})) x = ((t \cdot_C x)/(t \cdot_C t)) *_C t \rangle$ 
  t for x
    apply (subst spant) by (rule projection-rank1)
    hence  $\langle f (\text{projection } (\text{orthogonal-complement } (f - ' \{0\})) x) = (((t \cdot_C x))/(t \cdot_C t)) * (f t) \rangle$ 
    for x
      using a1 unfolding bounded-clinear-def
      by (simp add: complex-vector.linear-scale)
    hence l2:  $\langle f (\text{projection } (\text{orthogonal-complement } (f - ' \{0\})) x) = ((\text{cnj } (f t))/(t \cdot_C t)) *_C t \rangle$ 
    for x
      using complex-cnj-divide by force
    have  $\langle f (\text{projection } (f - ' \{0\})) x = 0 \rangle$ 
    for x
      by (metis (no-types, lifting) assms bounded-clinear-def closed-csubspace.closed)

```

```

complex-vector.linear-subspace-vimage complex-vector.subspace-0 complex-vector.subspace-single-0
  csubspace-is-convex insert-absorb insert-not-empty kernel-is-closed-csubspace
projection-in-image vimage-singleton-eq)
hence  $\bigwedge a b. f(\text{projection}(f -' \{0\}) a + b) = 0 + f b$ 
  using additive.add assms
  by (simp add: bounded-clinear-def complex-vector.linear-add)
hence  $\bigwedge a. 0 + f(\text{projection}(\text{orthogonal-complement}(f -' \{0\})) a) = f a$ 
  apply (simp add: assms)
  by (metis add.commute diff-add-cancel)
hence  $\langle f x = ((\text{cnj}(f t)/(t \cdot_C t)) *_C t) \cdot_C x \rangle \text{ for } x$ 
  by (simp add: l2)
thus ?thesis
  by blast
qed

```

**lemma riesz-representation-unique:**

```

— Theorem 3.4 in [1]
fixes  $f :: 'a::\text{complex-inner} \Rightarrow \text{complex}$ 
assumes  $\langle \bigwedge x. f x = (t \cdot_C x) \rangle$ 
assumes  $\langle \bigwedge x. f x = (u \cdot_C x) \rangle$ 
shows  $\langle t = u \rangle$ 
by (metis add-diff-cancel-left' assms(1) assms(2) cinner-diff-left cinner-gt-zero-iff
diff-add-cancel diff-zero)

```

## 9.9 Adjoints

**definition**  $\langle \text{is-cadjoint } F G \longleftrightarrow (\forall x y. (F x \cdot_C y) = (x \cdot_C G y)) \rangle$

**lemma is-adjoint-sym:**

```

⟨is-cadjoint F G ⟹ is-cadjoint G F⟩
unfolding is-cadjoint-def apply auto
by (metis cinner-commute')

```

**definition**  $\langle \text{cadjoint } G = (\text{SOME } F. \text{is-cadjoint } F G) \rangle$ 
**for**  $G :: 'b::\text{complex-inner} \Rightarrow 'a::\text{complex-inner}$

**lemma cadjoint-exists:**

```

fixes  $G :: 'b::\text{chilbert-space} \Rightarrow 'a::\text{complex-inner}$ 
assumes [simp]: ⟨bounded-clinear G⟩
shows ⟨ $\exists F. \text{is-cadjoint } F G$ ⟩
proof –
  include norm-syntax
  have [simp]: ⟨clinear G⟩
  using assms unfolding bounded-clinear-def by blast
  define  $g :: 'a \Rightarrow 'b \Rightarrow \text{complex}$ 
  where  $\langle g x y = (x \cdot_C G y) \rangle \text{ for } x y$ 
  have ⟨bounded-clinear (g x)⟩ for x
  proof –
    have  $\langle g x (a + b) = g x a + g x b \rangle \text{ for } a b$ 

```

```

unfolding g-def
using additive.add cinner-add-right clinear-def
by (simp add: cinner-add-right complex-vector.linear-add)
moreover have <g x (k *C a) = k *C (g x a)>
  for a k
  unfolding g-def
  by (simp add: complex-vector.linear-scale)
ultimately have <clinear (g x)>
  by (simp add: clinearI)
moreover
have <∃ M. ∀ y. ‖ G y ‖ ≤ ‖ y ‖ * M>
  using <bounded-clinear G>
  unfolding bounded-clinear-def bounded-clinear-axioms-def by blast
then have <∃ M. ∀ y. ‖ g x y ‖ ≤ ‖ y ‖ * M>
  using g-def
  by (simp add: bounded-clinear.bounded bounded-clinear-cinner-right-comp)
ultimately show ?thesis unfolding bounded-linear-def
  using bounded-clinear.intro
  using bounded-clinear-axioms-def by blast
qed
hence <∀ x. ∃ t. ∀ y. g x y = (t •C y)>
  using riesz-representation-existence by blast
then obtain F where <∀ x. ∀ y. g x y = (F x •C y)>
  by metis
then have <is-cadjoint F G>
  unfolding is-cadjoint-def g-def by simp
thus ?thesis
  by auto
qed

lemma cadjoint-is-cadjoint[simp]:
  fixes G :: 'b::chilbert-space ⇒ 'a::complex-inner
  assumes [simp]: <bounded-clinear G>
  shows <is-cadjoint (cadjoint G) G>
  by (metis assms cadjoint-def cadjoint-exists someI-ex)

lemma is-cadjoint-unique:
  assumes <is-cadjoint F1 G>
  assumes <is-cadjoint F2 G>
  shows <F1 = F2>
  by (metis (full-types) assms(1) assms(2) ext is-cadjoint-def riesz-representation-unique)

lemma cadjoint-univ-prop:
  fixes G :: 'b::chilbert-space ⇒ 'a::complex-inner
  assumes a1: <bounded-clinear G>
  shows <cadjoint G x •C y = x •C G y>
  using assms cadjoint-is-cadjoint is-cadjoint-def by blast

lemma cadjoint-univ-prop':

```

```

fixes G :: 'b::chilbert-space ⇒ 'a::complex-inner
assumes a1: ‹bounded-clinear G›
shows ‹x •C adjoint G y = G x •C y›
by (metis adjoint-univ-prop assms cinner-commute)

notation adjoint (⟨-†⟩ [99] 100)

lemma adjoint-eqI:
fixes G:: ‹'b::complex-inner ⇒ 'a::complex-inner›
and F:: ‹'a ⇒ 'b›
assumes ‹⟨x y. (F x •C y) = (x •C G y)›
shows ‹G† = F›
by (metis assms adjoint-def is-adjoint-def is-adjoint-unique someI-ex)

lemma adjoint-bounded-clinear:
fixes A :: 'a::chilbert-space ⇒ 'b::complex-inner
assumes a1: bounded-clinear A
shows ‹bounded-clinear (A†)›
proof
include norm-syntax
have b1: ‹((A†) x •C y) = (x •C A y)› for x y
using adjoint-univ-prop a1 by auto
have ‹is-orthogonal ((A†) (x1 + x2) - ((A†) x1 + (A†) x2)) y› for x1 x2 y
by (simp add: b1 cinner-diff-left cinner-add-left)
hence b2: ‹(A†) (x1 + x2) - ((A†) x1 + (A†) x2) = 0› for x1 x2
using cinner-eq-zero-iff by blast
thus z1: ‹(A†) (x1 + x2) = (A†) x1 + (A†) x2› for x1 x2
by (simp add: b2 eq-iff-diff-eq-0)

have f1: ‹is-orthogonal ((A†) (r *C x) - (r *C (A†) x)) y› for r x y
by (simp add: b1 cinner-diff-left)
thus z2: ‹(A†) (r *C x) = r *C (A†) x› for r x
using cinner-eq-zero-iff eq-iff-diff-eq-0 by blast
have ‹|| (A†) x ||^2 = ((A†) x •C (A†) x)› for x
by (metis cnorm-eq-square)
moreover have ‹|| (A†) x ||^2 ≥ 0› for x
by simp
ultimately have ‹|| (A†) x ||^2 = | ((A†) x •C (A†) x) |› for x
by (metis abs-pos cinner-ge-zero)
hence ‹|| (A†) x ||^2 = | (x •C A ((A†) x)) |› for x
by (simp add: b1)
moreover have ‹|(x •C A ((A†) x))| ≤ ‖x‖ * ‖A ((A†) x)‖› for x
by (simp add: abs-complex-def complex-inner-class.Cauchy-Schwarz-ineq2 less-eq-complex-def)
ultimately have b5: ‹|| (A†) x ||^2 ≤ ‖x‖ * ‖A ((A†) x)‖› for x
by (metis complex-of-real-mono-iff)
have ‹∃ M. M ≥ 0 ∧ (∀ x. ‖A ((A†) x)‖ ≤ M * ‖(A†) x‖)›
using a1
by (metis (mono-tags, opaque-lifting) bounded-clinear.bounded linear mult-nonneg-nonpos
mult-zero-right norm-ge-zero order.trans semiring-normalization-rules(7))

```

```

then obtain M where q1: <M ≥ 0> and q2: <∀ x. ‖A ((A†) x)‖ ≤ M * ‖(A†) x‖>
by blast
have <∀ x::'b. ‖x‖ ≥ 0>
by simp
hence b6: <‖x‖ * ‖A ((A†) x)‖ ≤ ‖x‖ * M * ‖(A†) x‖> for x
using q2
by (smt ordered-comm-semiring-class.comm-mult-left-mono vector-space-over-itself.scale-scale)
have z3: <||(A†) x|| ≤ ‖x‖ * M> for x
proof(cases <||(A†) x|| = 0>)
case True
thus ?thesis
by (simp add: <0 ≤ M>)
next
case False
have <||(A†) x||^2 ≤ ‖x‖ * M * ‖(A†) x‖>
by (smt b5 b6)
thus ?thesis
by (smt False mult-right-cancel mult-right-mono norm-ge-zero semiring-normalization-rules(29))
qed
thus <∃ K. ∀ x. ‖(A†) x‖ ≤ ‖x‖ * K>
by auto
qed

proposition double-cadjoint:
fixes U :: <'a::chilbert-space ⇒ 'b::complex-inner>
assumes a1: bounded-clinear U
shows U†† = U
by (metis assms adjoint-def adjoint-is-adjoint is-adjoint-sym is-adjoint-unique
someI-ex)

lemma adjoint-id[simp]: <id† = id>
by (simp add: adjoint-eqI id-def)

lemma scaleC-cadjoint:
fixes A::'a::chilbert-space ⇒ 'b::complex-inner
assumes bounded-clinear A
shows <((λt. a *C A t)† = (λs. cnj a *C (A†) s))>
proof -
have b3: <((λ s. (cnj a) *C ((A†) s)) x •C y) = (x •C (λ t. a *C (A t)) y)>
for x y
by (simp add: assms adjoint-univ-prop)

have ((λt. a *C A t)†) b = cnj a *C (A†) b
for b::'b
proof-
have bounded-clinear (λt. a *C A t)
by (simp add: assms bounded-clinear-const-scaleC)
thus ?thesis

```

```

    by (metis (no-types) cadjoint-eqI b3)
qed
thus ?thesis
  by blast
qed

lemma is-projection-on-is-cadjoint:
fixes M :: \'a::complex-inner set›
assumes a1: ‹is-projection-on π M› and a2: ‹closed-csubspace M›
shows ‹is-cadjoint π π›
by (smt (verit, ccfv-threshold) a1 a2 cinner-diff-left cinner-eq-flip is-cadjoint-def
is-projection-on-iff-orthog orthogonal-complement-orthoI right-minus-eq)

lemma is-projection-on-cadjoint:
fixes M :: \'a::complex-inner set›
assumes ‹is-projection-on π M› and ‹closed-csubspace M›
shows ‹π† = π›
using assms is-projection-on-is-cadjoint cadjoint-eqI is-cadjoint-def by blast

lemma projection-cadjoint:
fixes M :: \'a::chilbert-space set›
assumes ‹closed-csubspace M›
shows ‹(projection M)† = projection M›
using is-projection-on-cadjoint assms
by (metis closed-csubspace.closed closed-csubspace.subspace csubspace-is-convex
empty-iff orthog-proj-exists projection-is-projection-on)

```

## 9.10 More projections

These lemmas logically belong in the "projections" section above but depend on lemmas developed later.

```

lemma is-projection-on-plus:
assumes ⋀x y. x ∈ A ⟹ y ∈ B ⟹ is-orthogonal x y
assumes ‹closed-csubspace A›
assumes ‹closed-csubspace B›
assumes ‹is-projection-on πA A›
assumes ‹is-projection-on πB B›
shows ‹is-projection-on (λx. πA x + πB x) (A +M B)›
proof (rule is-projection-on-iff-orthog[THEN iffD2, rule-format])
show clAB: ‹closed-csubspace (A +M B)›
  by (simp add: assms(2) assms(3) closed-subspace-closed-sum)
fix h
have 1: ‹πA h + πB h ∈ A +M B›
  by (meson clAB assms(2) assms(3) assms(4) assms(5) closed-csubspace-def
closed-sum-left-subset closed-sum-right-subset complex-vector.subspace-def in-mono
is-projection-on-in-image)
have ‹πA (πB h) = 0›

```

```

by (smt (verit, del-insts) assms(1) assms(2) assms(4) assms(5) cinner-eq-zero-iff
is-cadjoint-def is-projection-on-in-image is-projection-on-is-cadjoint)
then have ⟨ $h - (\pi A h + \pi B h) = (h - \pi B h) - \pi A (h - \pi B h)by (smt (verit) add.right-neutral add-diff-cancel-left' assms(2) assms(4) closed-csubspace.subspace
complex-vector.subspace-diff diff-add-eq-diff-diff-swap diff-diff-add is-projection-on-iff-orthog
orthog-proj-unique orthogonal-complement-closed-subspace)
also have ⟨ $\dots \in \text{orthogonal-complement } Ausing assms(2) assms(4) is-projection-on-iff-orthog by blast
finally have orthoA: ⟨ $h - (\pi A h + \pi B h) \in \text{orthogonal-complement } Aby –

have ⟨ $\pi B (\pi A h) = 0by (smt (verit, del-insts) assms(1) assms(3) assms(4) assms(5) cinner-eq-zero-iff
is-cadjoint-def is-projection-on-in-image is-projection-on-is-cadjoint)
then have ⟨ $h - (\pi A h + \pi B h) = (h - \pi A h) - \pi B (h - \pi A h)by (smt (verit) add.right-neutral add-diff-cancel assms(3) assms(5) closed-csubspace.subspace
complex-vector.subspace-diff diff-add-eq-diff-diff-swap diff-diff-add is-projection-on-iff-orthog
orthog-proj-unique orthogonal-complement-closed-subspace)
also have ⟨ $\dots \in \text{orthogonal-complement } Busing assms(3) assms(5) is-projection-on-iff-orthog by blast
finally have orthoB: ⟨ $h - (\pi A h + \pi B h) \in \text{orthogonal-complement } Bby –

from orthoA orthoB
have 2: ⟨ $h - (\pi A h + \pi B h) \in \text{orthogonal-complement } (A +_M B)by (metis IntI assms(2) assms(3) closed-csubspace-def complex-vector.subspace-def
de-morgan-orthogonal-complement-plus)

from 1 2 show ⟨ $h - (\pi A h + \pi B h) \in \text{orthogonal-complement } (A +_M B) \wedge \pi A$ 
 $h + \pi B h \in A +_M B$ ⟩
by simp
qed

lemma projection-plus:
fixes A B :: 'a::hilbert-space set
assumes  $\bigwedge x y. x:A \implies y:B \implies \text{is-orthogonal } x y$ 
assumes ⟨closed-csubspace A⟩
assumes ⟨closed-csubspace B⟩
shows ⟨projection  $(A +_M B) = (\lambda x. \text{projection } A x + \text{projection } B x)proof –
have ⟨is-projection-on  $(\lambda x. \text{projection } A x + \text{projection } B x) (A +_M B)apply (rule is-projection-on-plus)
using assms by auto
then show ?thesis
by (meson assms(2) assms(3) closed-csubspace.subspace closed-subspace-closed-sum
csubspace-is-convex projection-eqI')
qed

lemma is-projection-on-insert:$$$$$$$$$$ 
```

```

assumes ortho:  $\bigwedge s. s \in S \implies \text{is-orthogonal } a s$ 
assumes <is-projection-on  $\pi$  (closure (cspan  $S$ ))>
assumes <is-projection-on  $\pi a$  (cspan { $a$ })>
shows is-projection-on ( $\lambda x. \pi a x + \pi x$ ) (closure (cspan (insert  $a$   $S$ )))
proof -
  from ortho
  have < $x \in \text{cspan } \{a\} \implies y \in \text{closure } (\text{cspan } S) \implies \text{is-orthogonal } x y$ > for  $x y$ 
    using is-orthogonal-cspan is-orthogonal-closure is-orthogonal-sym
    by (smt (verit, ccfv-threshold) empty-iff insert-iff)
  then have <is-projection-on ( $\lambda x. \pi a x + \pi x$ ) (cspan { $a$ } +_M closure (cspan  $S$ ))>
    apply (rule is-projection-on-plus)
    using assms by (auto simp add: closed-csubspace.intro)
  also have <... = closure (cspan (insert  $a$   $S$ ))>
    using closed-sum-cspan[where  $X=\{a\}$ ] by simp
  finally show ?thesis
    by -
qed

lemma projection-insert:
  fixes  $a :: 'a::chilbert-space$ 
  assumes a1:  $\bigwedge s. s \in S \implies \text{is-orthogonal } a s$ 
  shows projection (closure (cspan (insert  $a$   $S$ )))  $u$ 
    = projection (cspan { $a$ })  $u$  + projection (closure (cspan  $S$ ))  $u$ 
  using is-projection-on-insert[where  $S=S$ , OF a1]
  by (metis (no-types, lifting) closed-closure closed-csubspace.intro closure-is-csubspace
complex-vector.subspace-span csubspace-is-convex finite.intros(1) finite.intros(2) fi-
nite-cspan-closed-csubspace projection-eqI' projection-is-projection-on')

lemma projection-insert-finite:
  fixes  $S :: 'a::chilbert-space$  set
  assumes a1:  $\bigwedge s. s \in S \implies \text{is-orthogonal } a s$  and a2: finite  $S$ 
  shows projection (cspan (insert  $a$   $S$ ))  $u$ 
    = projection (cspan { $a$ })  $u$  + projection (cspan  $S$ )  $u$ 
  using projection-insert
  by (metis a1 a2 closure-finite-cspan finite.insertI)

```

## 9.11 Canonical basis (onb-enum)

```

setup <Sign.add-const-constraint (const-name <is-ortho-set>, SOME typ <'a set
⇒ bool>)>

class onb-enum = basis-enum + complex-inner +
assumes is-orthonormal: is-ortho-set (set canonical-basis)
and is-normal:  $\bigwedge x. x \in (\text{set canonical-basis}) \implies \text{norm } x = 1$ 

setup <Sign.add-const-constraint (const-name <is-ortho-set>, SOME typ <'a::complex-inner
set ⇒ bool>)>

```

```

lemma cinner-canonical-basis:
  assumes <i < length (canonical-basis :: 'a::onb-enum list)>
  assumes <j < length (canonical-basis :: 'a::onb-enum list)>
  shows <cinner (canonical-basis!i :: 'a) (canonical-basis!j) = (if i=j then 1 else 0)>
  by (metis assms(1) assms(2) distinct-canonical-basis is-normal is-ortho-set-def
is-orthonormal nth-eq-iff-index-eq nth-mem of-real-1 power2-norm-eq-cinner power-one)

lemma canonical-basis-is-onb[simp]: <is-onb (set canonical-basis :: 'a::onb-enum set)>
  by (simp add: is-normal is-onb-def is-orthonormal)

instance onb-enum ⊆ chilbert-space
proof
  have <complete (UNIV :: 'a set)>
    using finite-cspan-complete[where B=<set canonical-basis>]
    by simp
  then show convergent X if Cauchy X for X :: nat ⇒ 'a
    by (simp add: complete-def convergent-def that)
qed

```

## 9.12 Conjugate space

```

instantiation conjugate-space :: (complex-inner) complex-inner begin
lift-definition cinner-conjugate-space :: 'a conjugate-space ⇒ 'a conjugate-space
⇒ complex is
  <λx y. cinner y x>.
instance
  apply (intro-classes; transfer)
    apply (simp-all add: )
    apply (simp add: cinner-add-right)
    using cinner-ge-zero norm-eq-sqrt-cinner by auto
end

instance conjugate-space :: (chilbert-space) chilbert-space..

```

## 9.13 Misc (ctd.)

```

lemma separating-dense-span:
  assumes <¬F G :: 'a::chilbert-space ⇒ 'b:{complex-normed-vector,not-singleton}>.
  shows <closure (cspan S) = UNIV>
proof –
  have <ψ = 0> if <ψ ∈ orthogonal-complement S> for ψ
  proof –
    obtain φ :: 'b where <φ ≠ 0>
      by fastforce
    have <(λx. cinner ψ x *C φ) = (λ-. 0)>

```

```

apply (rule assms[rule-format])
using orthogonal-complement-orthoI that
by (auto simp add: bounded-clinear-cinner-right bounded-clinear-scaleC-const)
then have ⟨cinner ψ ψ = 0⟩
  by (meson ⟨φ ≠ 0⟩ scaleC-eq-0-iff)
then show ⟨ψ = 0⟩
  by auto
qed
then have ⟨orthogonal-complement (orthogonal-complement S) = UNIV⟩
  by (metis UNIV-eq-I cinner-zero-right orthogonal-complementI)
then show ⟨closure (cspan S) = UNIV⟩
  by (simp add: orthogonal-complement-orthogonal-complement-closure-cspan)
qed

end

```

## 10 One-Dimensional-Spaces – One dimensional complex vector spaces

```

theory One-Dimensional-Spaces
imports
  Complex-Inner-Product
  Complex-Bounded-Operators.Extra-Operator-Norm
begin

```

The class *one-dim* applies to one-dimensional vector spaces. Those are additionally interpreted as *complex-algebra-1s* via the canonical isomorphism between a one-dimensional vector space and *complex*.

```

class one-dim = onb-enum + one + times + inverse +
assumes one-dim-canonical-basis[simp]: canonical-basis = [1]
assumes one-dim-prod-scale1: (a *C 1) * (b *C 1) = (a * b) *C 1
assumes divide-inverse: x / y = x * inverse y
assumes one-dim-inverse: inverse (a *C 1) = inverse a *C 1

```

**hide-fact (open) divide-inverse**  
— *divide-inverse* from class *field*, instantiated below, subsumes this fact.

```

instance complex :: one-dim
apply intro-classes
unfolding canonical-basis-complex-def is-ortho-set-def
by (auto simp: divide-complex-def)

```

```

lemma one-cinner-one[simp]: ⟨(1::('a::one-dim)) *C 1 = 1⟩
proof-
  include norm-syntax
  have ⟨(canonical-basis::'a list) = [1::('a::one-dim)]⟩
    by simp
  hence ⟨|1::'a::one-dim| = 1⟩

```

```

by (metis is-normal list.set-intros(1))
hence ‹|(1::'a::one-dim)|^2 = 1›
  by simp
moreover have ‹|(1::('a::one-dim))|^2 = (1::('a::one-dim)) *C 1›
  by (metis cnorm-eq-square)
ultimately show ?thesis by simp
qed

lemma one-cinner-a-scaleC-one[simp]: ‹((1::'a::one-dim) *C a) *C 1 = a›
proof-
  have ‹(canonical-basis:'a list) = [1]›
    by simp
  hence r2: ‹a ∈ complex-vector.span ({1::'a})›
    using iso-tuple-UNIV-I empty-set is-generator-set list.simps(15)
    by metis
  have (1::'a) ∉ {}
    by (metis equals0D)
  hence r1: ‹∃ s. a = s *C 1›
    by (metis Diff-insert-absorb r2 complex-vector.span-breakdown
      complex-vector.span-empty eq-iff-diff-eq-0 singleton-iff)
  then obtain s where s-def: ‹a = s *C 1›
    by blast
  have ‹(1::'a) *C a = (1::'a) *C (s *C 1)›
    using ‹a = s *C 1›
    by simp
  also have ‹... = s * ((1::'a) *C 1)›
    by simp
  also have ‹... = s›
    using one-cinner-one by auto
  finally show ?thesis
    by (simp add: s-def)
qed

lemma one-dim-apply-is-times-def:
  ‹ψ * φ = ((1 *C ψ) * (1 *C φ)) *C 1 for ψ :: 'a::one-dim›
  by (metis one-cinner-a-scaleC-one one-dim-prod-scale1)

instance one-dim ⊆ complex-algebra-1
proof
  fix x y z :: 'a::one-dim and c :: complex
  show ‹(x * y) * z = x * (y * z)›
    by (simp add: one-dim-apply-is-times-def[where ?'a='a])
  show ‹(x + y) * z = x * z + y * z›
    by (metis (no-types, lifting) cinner-simps(2) complex-vector.vector-space-assms(2)
      complex-vector.vector-space-assms(3) one-dim-apply-is-times-def)
  show ‹x * (y + z) = x * y + x * z›
    by (metis (mono-tags, lifting) cinner-simps(2) complex-vector.vector-space-assms(2)
      distrib-left one-dim-apply-is-times-def)
  show ‹(c *C x) * y = c *C (x * y)›
    by (metis (mono-tags, lifting) cinner-simps(2) complex-vector.vector-space-assms(2)
      distrib-left one-dim-apply-is-times-def)

```

```

    by (simp add: one-dim-apply-is-times-def[where ?'a='a])
show x * (c *C y) = c *C (x * y)
    by (simp add: one-dim-apply-is-times-def[where ?'a='a])
show 1 * x = x
    by (metis mult.left-neutral one-cinner-a-scaleC-one one-cinner-one one-dim-apply-is-times-def)
show x * 1 = x
    by (simp add: one-dim-apply-is-times-def [where ?'a = 'a])
show (0::'a) ≠ 1
    by (metis cinner-eq-zero-iff one-cinner-one zero-neq-one)
qed

instance one-dim ⊆ complex-normed-algebra
proof
fix x y :: \'a::one-dim
show norm (x * y) ≤ norm x * norm y
proof-
have r1: cmod ((1::'a) ·C x) ≤ norm (1::'a) * norm x
    by (simp add: complex-inner-class.Cauchy-Schwarz-ineq2)
have r2: cmod ((1::'a) ·C y) ≤ norm (1::'a) * norm y
    by (simp add: complex-inner-class.Cauchy-Schwarz-ineq2)

have q1: (1::'a) ·C 1 = 1
    by simp
hence (norm (1::'a))2 = 1
    by (simp add: cnorm-eq-1 power2-eq-1-iff)
hence norm (1::'a) = 1
    by (smt abs-norm-cancel power2-eq-1-iff)
hence cmod (((1::'a) ·C x) * ((1::'a) ·C y)) * norm (1::'a) = cmod (((1::'a)
·C x) * ((1::'a) ·C y))
    by simp
also have ... = cmod (((1::'a) ·C x)) * cmod (((1::'a) ·C y))
    by (simp add: norm-mult)
also have ... ≤ norm (1::'a) * norm x * norm (1::'a) * norm y
    by (smt <norm 1 = 1> mult.commute mult-cancel-right1 norm-scaleC one-cinner-a-scaleC-one)
also have ... = norm x * norm y
    by (simp add: <norm 1 = 1>)
finally show ?thesis
    by (simp add: one-dim-apply-is-times-def[where ?'a='a])
qed
qed

instance one-dim ⊆ complex-normed-algebra-1
proof intro-classes
show norm (1::'a) = 1
    by (metis complex-inner-1-left norm-eq-sqrt-cinner norm-one one-cinner-one)
qed

```

This is the canonical isomorphism between any two one dimensional spaces. Specifically, if 1 denotes the element of the canonical basis (which is specified

by type class *basis-enum*), then *one-dim-iso* is the unique isomorphism that maps 1 to 1.

```

definition one-dim-iso :: 'a::one-dim  $\Rightarrow$  'b::one-dim
  where one-dim-iso a = of-complex (1  $\cdot_C$  a)

lemma one-dim-iso-id[simp]: one-dim-iso (one-dim-iso x) = one-dim-iso x
  by (simp add: one-dim-iso-def)

lemma one-dim-iso-id[simp]: one-dim-iso = id
  unfolding one-dim-iso-def
  by (auto simp add: of-complex-def)

lemma one-dim-iso-adjoint[simp]: `cadjoint one-dim-iso = one-dim-iso`
  apply (rule cadjoint-eqI)
  by (simp add: one-dim-iso-def of-complex-def)

lemma one-dim-iso-is-of-complex[simp]: one-dim-iso = of-complex
  unfolding one-dim-iso-def by auto

lemma of-complex-one-dim-iso[simp]: of-complex (one-dim-iso  $\psi$ ) = one-dim-iso
 $\psi$ 
  by (metis one-dim-iso-is-of-complex one-dim-iso-idem)

lemma one-dim-iso-of-complex[simp]: one-dim-iso (of-complex c) = of-complex c
  by (metis one-dim-iso-is-of-complex one-dim-iso-idem)

lemma one-dim-iso-add[simp]:
  `one-dim-iso (a + b) = one-dim-iso a + one-dim-iso b`>
  by (simp add: cinner-simps(2) one-dim-iso-def)

lemma one-dim-iso-minus[simp]:
  `one-dim-iso (a - b) = one-dim-iso a - one-dim-iso b`>
  by (simp add: cinner-simps(3) one-dim-iso-def)

lemma one-dim-iso-scaleC[simp]: one-dim-iso (c *C  $\psi$ ) = c *C one-dim-iso  $\psi$ 
  by (metis cinner-scaleC-right of-complex-mult one-dim-iso-def scaleC-conv-of-complex)

lemma clinear-one-dim-iso[simp]: clinear one-dim-iso
  by (rule clinearI, auto)

lemma bounded-clinear-one-dim-iso[simp]: bounded-clinear one-dim-iso
  proof (rule bounded-clinear-intro [where K = 1], auto)
    fix x :: `'a::one-dim`
    show norm (one-dim-iso x)  $\leq$  norm x
      by (metis (full-types) norm-of-complex of-complex-def one-cinner-a-scaleC-one
        one-dim-iso-def
        order-refl)
  qed

```

```

lemma one-dim-iso-of-one[simp]: one-dim-iso 1 = 1
  by (simp add: one-dim-iso-def)

lemma onorm-one-dim-iso[simp]: onorm one-dim-iso = 1
proof (rule onormI [where b = 1 and x = 1])
  fix x :: \ $\langle a::one\text{-dim} \rangle$ 
  have norm (one-dim-iso x ::'b)  $\leq$  norm x
    by (metis eq-iff norm-of-complex of-complex-def one-cinner-a-scaleC-one one-dim-iso-def)
  thus norm (one-dim-iso (x::'a)::'b)  $\leq$  1 * norm x
    by auto
  show (1::'a)  $\neq$  0
    by simp
  show norm (one-dim-iso (1::'a)::'b) = 1 * norm (1::'a)
    by auto
qed

lemma one-dim-iso-times[simp]: one-dim-iso ( $\psi * \varphi$ ) = one-dim-iso  $\psi * \text{one-dim-iso}$   $\varphi$ 
  by (metis mult.left-neutral mult-scaleC-left of-complex-def one-cinner-a-scaleC-one one-dim-iso-def one-dim-iso-scaleC)

lemma one-dim-iso-of-zero[simp]: one-dim-iso 0 = 0
  by (simp add: complex-vector.linear-0)

lemma one-dim-iso-of-zero': one-dim-iso x = 0  $\Longrightarrow$  x = 0
  by (metis of-complex-def of-complex-eq-0-iff one-cinner-a-scaleC-one one-dim-iso-def)

lemma one-dim-scaleC-1[simp]: one-dim-iso  $x *_C 1 = x$ 
  by (simp add: one-dim-iso-def)

lemma one-dim-clinear-eqI:
  assumes (x::'a::one-dim)  $\neq$  0 and clinear f and clinear g and f x = g x
  shows f = g
proof (rule ext)
  fix y :: 'a
  from f x = g x
  have  $\langle \text{one-dim-iso } x *_C f 1 = \text{one-dim-iso } x *_C g 1 \rangle$ 
    by (metis assms(2) assms(3) complex-vector.linear-scale one-dim-scaleC-1)
  hence f 1 = g 1
    using assms(1) one-dim-iso-of-zero' by auto
  then show f y = g y
    by (metis assms(2) assms(3) complex-vector.linear-scale one-dim-scaleC-1)
qed

lemma one-dim-norm: norm x = cmod (one-dim-iso x)
proof (subst one-dim-scaleC-1 [symmetric])
  show norm (one-dim-iso x *C (1::'a)) = cmod (one-dim-iso x)
    by (metis norm-of-complex of-complex-def)
qed

```

```

lemma norm-one-dim-iso[simp]: ‹norm (one-dim-iso x) = norm x›
  by (metis norm-of-complex of-complex-one-dim-iso one-dim-norm)

lemma one-dim-onorm:
  fixes f :: 'a::one-dim  $\Rightarrow$  'b::complex-normed-vector
  assumes clinear f
  shows onorm f = norm (f 1)
  proof (rule onormI[where x=1])
    fix x :: 'a
    have norm x * norm (f 1)  $\leq$  norm (f 1) * norm x
      by simp
    hence norm (f (one-dim-iso x *C 1))  $\leq$  norm (f 1) * norm x
      by (metis (mono-tags, lifting) assms complex-vector.linear-scale norm-scaleC
        one-dim-norm)
    thus norm (f x)  $\leq$  norm (f 1) * norm x
      by (subst one-dim-scaleC-1 [symmetric])
  qed auto

lemma one-dim-onorm':
  fixes f :: 'a::one-dim  $\Rightarrow$  'b::one-dim
  assumes clinear f
  shows onorm f = cmod (one-dim-iso (f 1))
  using assms one-dim-norm one-dim-onorm by fastforce

instance one-dim  $\subseteq$  zero-neq-one ..

lemma one-dim-iso-inj: one-dim-iso x = one-dim-iso y  $\implies$  x = y
  by (metis one-dim-iso-idem one-dim-scaleC-1)

instance one-dim  $\subseteq$  comm-ring
proof intro-classes
  fix x y z :: 'a
  show x * y = y * x
    by (metis one-dim-apply-is-times-def ordered-field-class.sign-simps(5))
  show (x + y) * z = x * z + y * z
    by (simp add: ring-class.ring-distrib(2))
  qed

instance one-dim  $\subseteq$  field
proof intro-classes
  fix x y z :: 'a::one-dim
  show 1 * x = x
    by simp

  have (inverse ((1::'a) *C x) * ((1::'a) *C x)) *C (1::'a) = 1 if x  $\neq$  0
  by (metis left-inverse of-complex-def one-cinner-a-scaleC-one one-dim-iso-of-zero
    one-dim-iso-is-of-complex one-dim-iso-of-one that)

```

```

hence inverse (((1::'a) ·C x) *C 1) * ((1::'a) ·C x) *C 1 = (1::'a) if x ≠ 0
  by (metis one-dim-inverse one-dim-prod-scale1 that)
hence inverse (((1::'a) ·C x) *C 1) * x = 1 if x ≠ 0
  using one-cinner-a-scaleC-one[of x, symmetric] that by auto
thus inverse x * x = 1 if x ≠ 0
  by (simp add: that)
show x / y = x * inverse y
  by (simp add: one-dim-class.divide-inverse)
show inverse (0::'a) = 0
  by (subst complex-vector.scale-zero-left[symmetric], subst one-dim-inverse, simp)
qed

```

**instance** one-dim ⊆ complex-normed-field

```

proof intro-classes
  fix x y :: 'a
  show norm (x * y) = norm x * norm y
    by (metis norm-mult one-dim-iso-times one-dim-norm)
qed

```

**instance** one-dim ⊆ chilbert-space..

```

lemma cccspan-one-dim[simp]: ‹ccspan {x} = top› if ‹x ≠ 0› for x :: _ :: one-dim
proof –
  have ‹y ∈ cspan {x}› for y
  using that by (metis complex-vector.span-base complex-vector.span-zero cspan-singleton-scaleC
insertI1 one-dim-scaleC-1 scaleC-zero-left)
  then show ?thesis
  by (auto intro!: order.antisym cccsubspace-leI
        simp: top-ccsubspace.rep-eq cccspan.rep-eq)
qed

```

```

lemma one-dim-ccsubspace-all-or-nothing: ‹A = bot ∨ A = top› for A :: _ :: one-dim
ccsubspace
proof (rule Meson.disj-comm, rule disjCI)
  assume ‹A ≠ bot›
  then obtain ψ where ‹ψ ∈ space-as-set A› and ‹ψ ≠ 0›
  by (metis cccsubspace-eqI singleton-iff space-as-set-bot zero-space-as-set)
  then have ‹A ≥ cccspan {ψ}› (is ‹_ ≥ ...›)
  by (metis bot.extremum cccspan-leqI insert-absorb insert-mono)
  also have ‹... = cccspan {one-dim-iso ψ *C 1}›
  by auto
  also have ‹... = cccspan {1}›
  apply (rule cccspan-singleton-scaleC)
  using ‹ψ ≠ 0› one-dim-iso-of-zero' by auto
  also have ‹... = top›
  by auto
  finally show ‹A = top›
  by (simp add: top-extremum-uniqueI)

```

```

qed

lemma scaleC-1-right[simp]: ‹scaleC x (1::'a::one-dim) = of-complex x›
  unfolding of-complex-def by simp

lemma canonical-basis-length-one-dim[simp]: ‹canonical-basis-length TYPE('a::one-dim)
= 1›
  by (simp add: canonical-basis-length)

end

```

## 11 Complex-Euclidean-Space0 – Finite-Dimensional Inner Product Spaces

```
theory Complex-Euclidean-Space0
```

```
imports
```

```
HOL-Analysis.L2-Norm
```

```
Complex-Inner-Product
```

```
HOL-Analysis.Product-Vector
```

```
HOL-Library.Rewrite
```

```
begin
```

### 11.1 Type class of Euclidean spaces

```
class ceuclidean-space = complex-inner +
  fixes CBasis :: 'a set
  assumes nonempty-CBasis [simp]: CBasis ≠ {}
  assumes finite-CBasis [simp]: finite CBasis
  assumes cinner-CBasis:
    [| u ∈ CBasis; v ∈ CBasis |] ⟹ cinner u v = (if u = v then 1 else 0)
  assumes ceuclidean-all-zero-iff:
    (∀ u ∈ CBasis. cinner x u = 0) ⟷ (x = 0)
```

```
syntax -type-cdimension :: type ⇒ nat ((1CDIM/(1'(-'))))
```

```
syntax-consts -type-cdimension ⇒ card
```

```
translations CDIM('a) → CONST card (CONST CBasis :: 'a set)
```

```
typed-print-translation ‹
```

```
[const-syntax ‹card›,
```

```
fn ctxt => fn - => fn [Const (const-syntax ‹CBasis›), Type (type-name ‹set›,
[T])] =>
```

```
  Syntax.const syntax-const ‹-type-cdimension› $ Syntax-Phases.term-of-type
  ctxt T]
```

```
›
```

```
lemma (in ceuclidean-space) norm-CBasis[simp]: u ∈ CBasis ⟹ norm u = 1
  unfolding norm-eq-sqrt-cinner by (simp add: cinner-CBasis)
```

```
lemma (in ceuclidean-space) cinner-same-CBasis[simp]: u ∈ CBasis ⟹ cinner u
```

```

 $u = 1$ 
by (simp add: cinner-CBasis)

lemma (in ceuclidean-space) cinner-not-same-CBasis:  $u \in CBasis \implies v \in CBasis$ 
 $\implies u \neq v \implies \text{cinner } u v = 0$ 
by (simp add: cinner-CBasis)

lemma (in ceuclidean-space) sgn-CBasis:  $u \in CBasis \implies \text{sgn } u = u$ 
unfolding sgn-div-norm by (simp add: scaleR-one)

lemma (in ceuclidean-space) CBasis-zero [simp]:  $0 \notin CBasis$ 
proof
assume  $0 \in CBasis$  thus False
using cinner-CBasis [of 0 0] by simp
qed

lemma (in ceuclidean-space) nonzero-CBasis:  $u \in CBasis \implies u \neq 0$ 
by clarsimp

lemma (in ceuclidean-space) SOME-CBasis:  $(\text{SOME } i. i \in CBasis) \in CBasis$ 
by (metis ex-in-conv nonempty-CBasis someI-ex)

lemma norm-some-CBasis [simp]:  $\text{norm } (\text{SOME } i. i \in CBasis) = 1$ 
by (simp add: SOME-CBasis)

lemma (in ceuclidean-space) cinner-sum-left-CBasis[simp]:
 $b \in CBasis \implies \text{cinner } (\sum i \in CBasis. f i *_C i) b = \text{cnj } (f b)$ 
by (simp add: cinner-sum-left cinner-CBasis if-distrib comm-monoid-add-class.sum.If-cases)

lemma (in ceuclidean-space) ceuclidean-eqI:
assumes  $b: \bigwedge b. b \in CBasis \implies \text{cinner } x b = \text{cinner } y b$  shows  $x = y$ 
proof –
from  $b$  have  $\forall b \in CBasis. \text{cinner } (x - y) b = 0$ 
by (simp add: cinner-diff-left)
then show  $x = y$ 
by (simp add: ceuclidean-all-zero-iff)
qed

lemma (in ceuclidean-space) ceuclidean-eq-iff:
 $x = y \longleftrightarrow (\forall b \in CBasis. \text{cinner } x b = \text{cinner } y b)$ 
by (auto intro: ceuclidean-eqI)

lemma (in ceuclidean-space) ceuclidean-representation-sum:
 $(\sum i \in CBasis. f i *_C i) = b \longleftrightarrow (\forall i \in CBasis. f i = \text{cnj } (\text{cinner } b i))$ 
apply (subst ceuclidean-eq-iff)
apply simp by (metis complex-cnj-cnj cinner-commute)

```

```

lemma (in ceuclidean-space) ceuclidean-representation-sum':
   $b = (\sum_{i \in CBasis} f i *_C i) \longleftrightarrow (\forall i \in CBasis. f i = cinner i b)$ 
  apply (auto simp add: ceuclidean-representation-sum[symmetric])
  apply (metis ceuclidean-representation-sum cinner-commute)
  by (metis local.ceuclidean-representation-sum local.cinner-commute)

lemma (in ceuclidean-space) ceuclidean-representation:  $(\sum_{b \in CBasis} cinner b x *_C b) = x$ 
  unfoldng ceuclidean-representation-sum
  using local.cinner-commute by blast

lemma (in ceuclidean-space) ceuclidean-cinner:  $cinner x y = (\sum_{b \in CBasis} cinner x b * cnj (cinner y b))$ 
  apply (subst (1 2) ceuclidean-representation [symmetric])
  apply (simp add: cinner-sum-right cinner-CBasis ac-simps)
  by (metis local.cinner-commute mult.commute)

lemma (in ceuclidean-space) choice-CBasis-iff:
  fixes P :: 'a :: complex :: bool
  shows  $(\forall i \in CBasis. \exists x. P i x) \longleftrightarrow (\exists x. \forall i \in CBasis. P i (cinner x i))$ 
  unfoldng bchoice-iff
  proof safe
    fix f assume  $\forall i \in CBasis. P i (f i)$ 
    then show  $\exists x. \forall i \in CBasis. P i (cinner x i)$ 
    by (auto intro!: exI[of - \sum_{i \in CBasis} cnj (f i) *_C i])
  qed auto

lemma (in ceuclidean-space) bchoice-CBasis-iff:
  fixes P :: 'a :: complex :: bool
  shows  $(\forall i \in CBasis. \exists x \in A. P i x) \longleftrightarrow (\exists x. \forall i \in CBasis. cinner x i \in A \wedge P i (cinner x i))$ 
  by (simp add: choice-CBasis-iff Bex-def)

lemma (in ceuclidean-space) ceuclidean-representation-sum-fun:
   $(\lambda x. \sum_{b \in CBasis} cinner b (f x) *_C b) = f$ 
  apply (rule ext)
  apply (simp add: ceuclidean-representation-sum)
  by (meson local.cinner-commute)

lemma euclidean-isCont:
  assumes  $\bigwedge b. b \in CBasis \implies isCont (\lambda x. (cinner b (f x)) *_C b) x$ 
  shows  $isCont f x$ 
  apply (subst ceuclidean-representation-sum-fun [symmetric])
  apply (rule isCont-sum)
  by (blast intro: assms)

lemma CDIM-positive [simp]:  $0 < CDIM('a :: ceuclidean-space)$ 
  by (simp add: card-gt-0-iff)

```

```

lemma CDIM-ge-Suc0 [simp]: Suc 0 ≤ card CBasis
  by (meson CDIM-positive Suc-leI)

lemma sum-cinner-CBasis-scaleC [simp]:
  fixes f :: 'a::euclidean-space ⇒ 'b::complex-vector
  assumes b ∈ CBasis shows (∑ i∈CBasis. (cinner i b) *C f i) = f b
  by (simp add: comm-monoid-add-class.sum.remove [OF finite-CBasis assms]
    assms cinner-not-same-CBasis comm-monoid-add-class.sum.neutral)

lemma sum-cinner-CBasis-eq [simp]:
  assumes b ∈ CBasis shows (∑ i∈CBasis. (cinner i b) * f i) = f b
  by (simp add: comm-monoid-add-class.sum.remove [OF finite-CBasis assms]
    assms cinner-not-same-CBasis comm-monoid-add-class.sum.neutral)

lemma sum-if-cinner [simp]:
  assumes i ∈ CBasis j ∈ CBasis
  shows cinner (∑ k∈CBasis. if k = i then f i *C i else g k *C k) j = (if j=i then
    cnj (f j) else cnj (g j))
  proof (cases i=j)
    case True
    with assms show ?thesis
      by (auto simp: cinner-sum-left if-distrib [of λx. cinner x j] cinner-CBasis cong:
        if-cong)
    next
      case False
      have (∑ k∈CBasis. cinner (if k = i then f i *C i else g k *C k) j) =
        (∑ k∈CBasis. if k = j then cnj (g k) else 0)
      apply (rule sum.cong)
      using False assms by (auto simp: cinner-CBasis)
      also have ... = cnj (g j)
      using assms by auto
      finally show ?thesis
        using False by (auto simp: cinner-sum-left)
    qed

lemma norm-le-componentwise:
  ( $\bigwedge b. b \in CBasis \implies \text{cmod}(\text{cinner } x \ b) \leq \text{cmod}(\text{cinner } y \ b)$ )  $\implies \text{norm } x \leq \text{norm } y$ 
  apply (auto simp: cnorm-le ceuclidean-cinner [of x x] ceuclidean-cinner [of y y]
    power2-eq-square intro!: sum-mono)
  by (smt (verit, best) mult.commute sum.cong)

lemma CBasis-le-norm: b ∈ CBasis  $\implies \text{cmod}(\text{cinner } x \ b) \leq \text{norm } x$ 
  by (rule order-trans [OF Cauchy-Schwarz-ineq2]) simp

lemma norm-bound-CBasis-le: b ∈ CBasis  $\implies \text{norm } x \leq e \implies \text{cmod}(\text{inner } x \ b) \leq e$ 

```

```

by (metis inner-commute mult.left-neutral norm-CBasis norm-of-real order-trans
real-inner-class.Cauchy-Schwarz-ineq2)

lemma norm-bound-CBasis-lt:  $b \in CBasis \Rightarrow \text{norm } x < e \Rightarrow \text{cmod}(\text{inner } x b)$ 
 $< e$ 
by (metis inner-commute le-less-trans mult.left-neutral norm-CBasis norm-of-real
real-inner-class.Cauchy-Schwarz-ineq2)

lemma cnorm-le-l1:  $\text{norm } x \leq (\sum_{b \in CBasis} \text{cmod}(\text{cinner } x b))$ 
apply (subst ceuclidean-representation[of x, symmetric])
apply (rule order-trans[OF norm-sum])
apply (auto intro!: sum-mono)
by (metis cinner-commute complex-inner-1-left complex-inner-class.Cauchy-Schwarz-ineq2
mult.commute mult.left-neutral norm-one)

```

## 11.2 Class instances

### 11.2.1 Type *complex*

```

instantiation complex :: ceuclidean-space
begin

```

```

definition
  [simp]: CBasis = {1::complex}

```

```

instance
  by standard auto

```

```
end
```

```

lemma CDIM-complex[simp]: CDIM(complex) = 1
  by simp

```

### 11.2.2 Type '*a* × 'i**b**

```

lemma cinner-Pair [simp]: cinner (a, b) (c, d) = cinner a c + cinner b d
  unfolding cinner-prod-def by simp

```

```

lemma cinner-Pair-0: cinner x (0, b) = cinner (snd x) b cinner x (a, 0) = cinner
(fst x) a
  by (cases x, simp)+

```

```

instantiation prod :: (ceuclidean-space, ceuclidean-space) ceuclidean-space
begin

```

```

definition
  CBasis = ( $\lambda u. (u, 0)$ ) ` CBasis  $\cup$  ( $\lambda v. (0, v)$ ) ` CBasis

```

```

lemma sum-CBasis-prod-eq:
  fixes f::('a*'b) $\Rightarrow$ ('a*'b)

```

```

shows sum f CBasis = sum (λi. f (i, 0)) CBasis + sum (λi. f (0, i)) CBasis
proof -
  have inj-on (λu. (u::'a, 0::'b)) CBasis inj-on (λu. (0::'a, u::'b)) CBasis
    by (auto intro!: inj-onI Pair-inject)
  thus ?thesis
    unfolding CBasis-prod-def
    by (subst sum.union-disjoint) (auto simp: CBasis-prod-def sum.reindex)
qed

instance proof
  show (CBasis :: ('a × 'b) set) ≠ {}
    unfolding CBasis-prod-def by simp
next
  show finite (CBasis :: ('a × 'b) set)
    unfolding CBasis-prod-def by simp
next
  fix u v :: 'a × 'b
  assume u ∈ CBasis and v ∈ CBasis
  thus cinner u v = (if u = v then 1 else 0)
    unfolding CBasis-prod-def cinner-prod-def
    by (auto simp add: cinner-CBasis split: if-split-asm)
next
  fix x :: 'a × 'b
  show (∀ u ∈ CBasis. cinner x u = 0) ↔ x = 0
    unfolding CBasis-prod-def ball-Un ball-simps
    by (simp add: cinner-prod-def prod-eq-iff ceuclidean-all-zero-iff)
qed

lemma CDIM-prod[simp]: CDIM('a × 'b) = CDIM('a) + CDIM('b)
  unfolding CBasis-prod-def
  by (subst card-Un-disjoint) (auto intro!: card-image arg-cong2[where f=(+)] inj-onI)

end

```

### 11.3 Locale instances

```

lemma finite-dimensional-vector-space-euclidean:
  finite-dimensional-vector-space (*C) CBasis
proof unfold-locales
  show finite (CBasis::'a set) by (metis finite-CBasis)
  show complex-vector.independent (CBasis::'a set)
    unfolding complex-vector.dependent-def cdependent-raw-def[symmetric]
    apply (subst complex-vector.span-finite)
      apply simp
      apply clarify
      apply (drule-tac f=cinner a in arg-cong)
      by (simp add: cinner-CBasis cinner-sum-right eq-commute)
  show module.span (*C) CBasis = UNIV

```

```

unfolding complex-vector.span-finite [OF finite-CBasis] cspan-raw-def[symmetric]
  by (auto intro!: ceuclidean-representation[symmetric])
qed

interpretation ceucl: finite-dimensional-vector-space scaleC :: complex => 'a =>
'a::ceuclidean-space CBasis
  rewrites module.dependent (*C) = cdependent
  and module.representation (*C) = crepresentation
  and module.subspace (*C) = csubspace
  and module.span (*C) = cspan
  and vector-space.extend-basis (*C) = cextend-basis
  and vector-space.dim (*C) = cdim
  and Vector-Spaces.linear (*C) (*C) = clinear
  and Vector-Spaces.linear (*) (*C) = clinear
  and finite-dimensional-vector-space.dimension CBasis = CDIM('a)

  by (auto simp add: cdependent-raw-def crepresentation-raw-def
    csubspace-raw-def cspan-raw-def cextend-basis-raw-def cdim-raw-def clinear-def
    complex-scaleC-def[abs-def]
    finite-dimensional-vector-space.dimension-def
    intro!: finite-dimensional-vector-space.dimension-def
    finite-dimensional-vector-space-euclidean)

interpretation ceucl: finite-dimensional-vector-space-pair-1
  scaleC::complex=>'a::ceuclidean-space=>'a CBasis
  scaleC::complex=>'b::complex-vector => 'b
  by unfold-locales

interpretation ceucl?: finite-dimensional-vector-space-prod scaleC scaleC CBasis
CBasis
  rewrites Basis-pair = CBasis
  and module-prod.scale (*C) (*C) = (scaleC:::->->('a × 'b))
proof -
  show finite-dimensional-vector-space-prod (*C) (*C) CBasis CBasis
    by unfold-locales
  interpret finite-dimensional-vector-space-prod (*C) (*C) CBasis::'a set CBasis::'b set
    by fact
  show Basis-pair = CBasis
    unfolding Basis-pair-def CBasis-prod-def by auto
  show module-prod.scale (*C) (*C) = scaleC
    by (fact module-prod-scale-eq-scaleC)
qed

end

```

## 12 Complex-Bounded-Linear-Function0 – Bounded Linear Function

```

theory Complex-Bounded-Linear-Function0
imports
  HOL-Analysis.Bounded-Linear-Function
  Complex-Inner-Product
  Complex-Euclidean-Space0
begin

unbundle cinner-syntax

lemma conorm-componentwise:
  assumes bounded-clinear f
  shows onorm f ≤ (∑ i∈CBasis. norm (f i))
proof -
  {
    fix i::'a
    assume i ∈ CBasis
    hence onorm (λx. (i ·C x) *C f i) ≤ onorm (λx. (i ·C x)) * norm (f i)
      by (auto intro!: onorm-scaleC-left-lemma bounded-clinear-cinner-right)
    also have ... ≤ norm i * norm (f i)
      apply (rule mult-right-mono)
      apply (simp add: complex-inner-class.Cauchy-Schwarz-ineq2 onorm-bound)
      by simp
    finally have onorm (λx. (i ·C x) *C f i) ≤ norm (f i) using `i ∈ CBasis`
      by simp
  } hence onorm (λx. ∑ i∈CBasis. (i ·C x) *C f i) ≤ (∑ i∈CBasis. norm (f i))
    by (auto intro!: order-trans[OF onorm-sum-le] bounded-clinear-scaleC-const
      sum-mono bounded-clinear-cinner-right bounded-clinear.bounded-linear)
  also have (λx. ∑ i∈CBasis. (i ·C x) *C f i) = (λx. f (∑ i∈CBasis. (i ·C x) *C
    i))
    by (simp add: clinear.scaleC linear-sum bounded-clinear.clinear.clinear.linear
    assms)
  also have ... = f
    by (simp add: ceuclidean-representation)
  finally show ?thesis .
qed

lemmas conorm-componentwise-le = order-trans[OF conorm-componentwise]

```

### 12.1 Intro rules for bounded-linear

```

lemma onorm-cinner-left:
  assumes bounded-linear r
  shows onorm (λx. r x ·C f) ≤ onorm r * norm f
proof (rule onorm-bound)
  fix x
  have norm (r x ·C f) ≤ norm (r x) * norm f

```

```

by (simp add: Cauchy-Schwarz-ineq2)
also have ... ≤ onorm r * norm x * norm f
  by (simp add: assms mult.commute mult-left-mono onorm)
finally show norm (r x •C f) ≤ onorm r * norm f * norm x
  by (simp add: ac-simps)
qed (intro mult-nonneg-nonneg norm-ge-zero onorm-pos-le assms)

lemma onorm-cinner-right:
assumes bounded-linear r
shows onorm (λx. f •C r x) ≤ norm f * onorm r
proof (rule onorm-bound)
fix x
have norm (f •C r x) ≤ norm f * norm (r x)
  by (simp add: Cauchy-Schwarz-ineq2)
also have ... ≤ onorm r * norm x * norm f
  by (simp add: assms mult.commute mult-left-mono onorm)
finally show norm (f •C r x) ≤ norm f * onorm r * norm x
  by (simp add: ac-simps)
qed (intro mult-nonneg-nonneg norm-ge-zero onorm-pos-le assms)

lemmas [bounded-linear-intros] =
bounded-clinear-zero
bounded-clinear-add
bounded-clinear-const-mult
bounded-clinear-mult-const
bounded-clinear-scaleC-const
bounded-clinear-const-scaleC
bounded-clinear-const-scaleR
bounded-clinear-ident
bounded-clinear-sum

bounded-clinear-sub

bounded-antilinear-cinner-left-comp
bounded-clinear-cinner-right-comp

```

## 12.2 declaration of derivative/continuous/tendsto introduction rules for bounded linear functions

```

attribute-setup bounded-clinear =
<let val bounded-linear = Attrib.attribute context (the-single @{attributes [bounded-linear]})>
in
  Scan.succeed (Thm.declaration-attribute (fn thm =>
    Thm.attribute-declaration bounded-linear (thm RS @{thm bounded-clinear.bounded-linear}))
o
  fold (fn (r, s) => Named-Theorems.add-thm s (thm RS r))
  [
    (* Not present in Bounded-Linear-Function *)
  ]

```

```

(@{thm bounded-clinear-compose}, named-theorems `bounded-linear-intros`),
  (@{thm bounded-clinear-o-bounded-antilinear[unfolded o-def]}, named-theorems `bounded-linear-intros`)
)
end

```

```

attribute-setup bounded-antilinear =
<let val bounded-linear = Attrib.attribute context (the-single @{attributes [bounded-linear]})  

in
  Scan.succeed (Thm.declaration-attribute (fn thm =>
    Thm.attribute-declaration bounded-linear (thm RS @{thm bounded-antilinear.bounded-linear}))  

o
  fold (fn (r, s) => Named-Theorems.add-thm s (thm RS r))
  [
    (* Not present in Bounded-Linear-Function *)
    (@{thm bounded-antilinear-o-bounded-clinear[unfolded o-def]}, named-theorems `bounded-linear-intros`),  

    (@{thm bounded-antilinear-o-bounded-antilinear[unfolded o-def]}, named-theorems `bounded-linear-intros`)
  ]
)
end

```

```

attribute-setup bounded-cbilinear =
<let val bounded-bilinear = Attrib.attribute context (the-single @{attributes [bounded-bilinear]})  

in
  Scan.succeed (Thm.declaration-attribute (fn thm =>
    Thm.attribute-declaration bounded-bilinear (thm RS @{thm bounded-cbilinear.bounded-bilinear}))  

o
  fold (fn (r, s) => Named-Theorems.add-thm s (thm RS r))
  [
    (@{thm bounded-clinear-compose[OF bounded-cbilinear.bounded-clinear-left]},  

     named-theorems `bounded-linear-intros`),  

    (@{thm bounded-clinear-compose[OF bounded-cbilinear.bounded-clinear-right]},  

     named-theorems `bounded-linear-intros`),  

    (@{thm bounded-clinear-o-bounded-antilinear[unfolded o-def, OF bounded-cbilinear.bounded-clinear-left]},  

     named-theorems `bounded-linear-intros`),  

    (@{thm bounded-clinear-o-bounded-antilinear[unfolded o-def, OF bounded-cbilinear.bounded-clinear-right]},  

     named-theorems `bounded-linear-intros`)
  ]
)
end

```

```

attribute-setup bounded-sesquilinear =
<let val bounded-bilinear = Attrib.attribute context (the-single @{attributes [bounded-bilinear]})  

in
  Scan.succeed (Thm.declaration-attribute (fn thm =>
    Thm.attribute-declaration bounded-bilinear (thm RS @{thm bounded-sesquilinear.bounded-bilinear}))  

o

```

```

fold (fn (r, s) => Named-Theorems.add-thm s (thm RS r))
  [
    (@{thm bounded-antilinear-o-bounded-clinear[unfolded o-def, OF bounded-sesquilinear.bounded-antilinear-
      named-theorems <bounded-linear-intros>]},
    (@{thm bounded-clinear-compose[OF bounded-sesquilinear.bounded-clinear-right]}, 
      named-theorems <bounded-linear-intros>),
    (@{thm bounded-antilinear-o-bounded-antilinear[unfolded o-def, OF bounded-sesquilinear.bounded-antilinear-
      named-theorems <bounded-linear-intros>]},
    (@{thm bounded-clinear-o-bounded-antilinear[unfolded o-def, OF bounded-sesquilinear.bounded-clinear-right-
      named-theorems <bounded-linear-intros>])
  ]))
end>

```

### 12.3 Type of complex bounded linear functions

```

typedef (overloaded) ('a, 'b) cblinfun (⟨(- ⇒CL /-)⟩ [22, 21] 21) =
  {f::'a::complex-normed-vector ⇒ 'b::complex-normed-vector. bounded-clinear f}
morphisms cblinfun-apply CBlinfun
by (blast intro: bounded-linear-intros)

declare [[coercion
  cblinfun-apply :: ('a::complex-normed-vector ⇒CL 'b::complex-normed-vector)
  ⇒ 'a ⇒ 'b]]

lemma bounded-clinear-cblinfun-apply[bounded-linear-intros]:
  bounded-clinear g ⇒ bounded-clinear (λx. cblinfun-apply f (g x))
  by (metis cblinfun-apply mem-Collect-eq bounded-clinear-compose)

setup-lifting type-definition-cblinfun

lemma cblinfun-eqI: (¬i. cblinfun-apply x i = cblinfun-apply y i) ⇒ x = y
  by transfer auto

lemma bounded-clinear-CBlinfun-apply: bounded-clinear f ⇒ cblinfun-apply (CBlinfun
  f) = f
  by (auto simp: CBlinfun-inverse)

```

### 12.4 Type class instantiations

```

instantiation cblinfun :: (complex-normed-vector, complex-normed-vector) complex-normed-vector
begin

```

```
lift-definition norm-cblinfun :: 'a ⇒CL 'b ⇒ real is onorm .
```

```
lift-definition minus-cblinfun :: 'a ⇒CL 'b ⇒ 'a ⇒CL 'b ⇒ 'a ⇒CL 'b
  is λf g x. f x - g x
  by (rule bounded-clinear-sub)
```

```
definition dist-cblinfun :: 'a ⇒CL 'b ⇒ 'a ⇒CL 'b ⇒ real
```

```

where dist-cblinfun a b = norm (a - b)

definition [code del]:
  (uniformity :: (('a ⇒CL 'b) × ('a ⇒CL 'b)) filter) = (INF e∈{0 <..}. principal
  {(x, y). dist x y < e})

definition open-cblinfun :: ('a ⇒CL 'b) set ⇒ bool
  where [code del]: open-cblinfun S = (forall x∈S. ∀F (x', y) in uniformity. x' = x
  → y ∈ S)

lift-definition uminus-cblinfun :: 'a ⇒CL 'b ⇒ 'a ⇒CL 'b is λf x. - f x
  by (rule bounded-clinear-minus)

lift-definition zero-cblinfun :: 'a ⇒CL 'b is λx. 0
  by (rule bounded-clinear-zero)

lift-definition plus-cblinfun :: 'a ⇒CL 'b ⇒ 'a ⇒CL 'b ⇒ 'a ⇒CL 'b
  is λf g x. f x + g x
  by (metis bounded-clinear-add)

lift-definition scaleC-cblinfun::complex ⇒ 'a ⇒CL 'b ⇒ 'a ⇒CL 'b is λr f x. r
  *C f x
  by (metis bounded-clinear-compose bounded-clinear-scaleC-right)
lift-definition scaleR-cblinfun::real ⇒ 'a ⇒CL 'b ⇒ 'a ⇒CL 'b is λr f x. r *R f x
  by (rule bounded-clinear-const-scaleR)

definition sgn-cblinfun :: 'a ⇒CL 'b ⇒ 'a ⇒CL 'b
  where sgn-cblinfun x = scaleC (inverse (norm x)) x

instance
proof
  fix a b c :: 'a ⇒CL 'b and r q :: real and s t :: complex

  show ⟨a + b + c = a + (b + c)⟩
    apply transfer by auto
  show ⟨0 + a = a⟩
    apply transfer by auto
  show ⟨a + b = b + a⟩
    apply transfer by auto
  show ⟨- a + a = 0⟩
    apply transfer by auto
  show ⟨a - b = a + - b⟩
    apply transfer by auto
  show scaleR-scaleC: ⟨((*R) r:('a ⇒CL 'b) ⇒ -) = (*C) (complex-of-real r)⟩ for
  r
    apply (rule ext, transfer fixing: r) by (simp add: scaleR-scaleC)
  show ⟨s *C (b + c) = s *C b + s *C c⟩
    apply transfer by (simp add: scaleC-add-right)
  show ⟨(s + t) *C a = s *C a + t *C a⟩

```

```

apply transfer by (simp add: scaleC-left.add)
show ⟨s *C t *C a = (s * t) *C a⟩
  apply transfer by auto
  show ⟨1 *C a = a⟩
    apply transfer by auto
    show ⟨dist a b = norm (a - b)⟩
      unfolding dist-cblinfun-def by simp
    show ⟨sgn a = (inverse (norm a)) *R a⟩
      unfolding sgn-cblinfun-def unfolding scaleR-scaleC by auto
    show ⟨uniformity = (INF e:{0<..}. principal {(x, y). dist (x::('a ⇒CL 'b)) y < e})⟩
      by (simp add: uniformity-cblinfun-def)
    show ⟨open U = ( ∀ x∈U. ∀ F (x', y) in uniformity. (x'::('a ⇒CL 'b)) = x → y ∈ U)⟩ for U
      by (simp add: open-cblinfun-def)
    show ⟨(norm a = 0) = (a = 0)⟩
      apply transfer using bounded-clinear.bounded-linear onorm-eq-0 by blast
    show ⟨norm (a + b) ≤ norm a + norm b⟩
      apply transfer by (simp add: bounded-clinear.bounded-linear onorm-triangle)
    show ⟨norm (s *C a) = cmod s * norm a⟩
      apply transfer using onorm-scalarC by blast
    show ⟨norm (r *R a) = |r| * norm a⟩
      apply transfer using bounded-clinear.bounded-linear onorm-scaleR by blast
    show ⟨r *R (a + b) = r *R a + r *R b⟩
      apply transfer by (simp add: scaleR-add-right)
    show ⟨(r + q) *R a = r *R a + q *R a⟩
      apply transfer by (simp add: scaleR-add-left)
    show ⟨r *R q *R a = (r * q) *R a⟩
      apply transfer by auto
    show ⟨1 *R a = a⟩
      apply transfer by auto
qed

end

declare uniformity-Abort[where 'a=('a :: complex-normed-vector) ⇒CL ('b :: complex-normed-vector), code]

lemma norm-cblinfun-eqI:
  assumes n ≤ norm (cblinfun-apply f x) / norm x
  assumes ∀x. norm (cblinfun-apply f x) ≤ n * norm x
  assumes 0 ≤ n
  shows norm f = n
  by (auto simp: norm-cblinfun-def
    intro!: antisym onorm-bound assms order-trans[OF - le-onorm] bounded-clinear.bounded-linear bounded-linear-intros)

lemma norm-cblinfun: norm (cblinfun-apply f x) ≤ norm f * norm x
  apply transfer by (simp add: bounded-clinear.bounded-linear onorm)

```

```

lemma norm-cblinfun-bound:  $0 \leq b \Rightarrow (\forall x. \text{norm}(\text{cblinfun-apply } f x) \leq b * \text{norm } x) \Rightarrow \text{norm } f \leq b$ 
  by transfer (rule onorm-bound)

lemma bounded-cbilinear-cblinfun-apply[bounded-cbilinear]: bounded-cbilinear cblin-
fun-apply
proof
  fix  $f g : 'a \Rightarrow_{CL} 'b$  and  $a : 'a$  and  $r : \text{complex}$ 
  show  $(f + g) a = f a + g a$   $(r *_C f) a = r *_C f a$ 
    by (transfer, simp)+
  interpret bounded-clinear  $f$  for  $f : 'a \Rightarrow_{CL} 'b$ 
    by (auto intro!: bounded-linear-intros)
  show  $f(a + b) = f a + f b$   $f(r *_C a) = r *_C f a$ 
    by (simp-all add: add scaleC)
  show  $\exists K. \forall a b. \text{norm}(\text{cblinfun-apply } a b) \leq \text{norm } a * \text{norm } b * K$ 
    by (auto intro!: exI[where x=1] norm-cblinfun)
qed

interpretation cblinfun: bounded-cbilinear cblinfun-apply
  by (rule bounded-cbilinear-cblinfun-apply)

lemmas bounded-clinear-apply-cblinfun[intro, simp] = cblinfun.bounded-clinear-left

declare cblinfun.zero-left [simp] cblinfun.zero-right [simp]

context bounded-cbilinear
begin

named-theorems cbilinear-simps

lemmas [cbilinear-simps] =
  add-left
  add-right
  diff-left
  diff-right
  minus-left
  minus-right
  scaleC-left
  scaleC-right
  zero-left
  zero-right
  sum-left
  sum-right

end

```

```

instance cblinfun :: (complex-normed-vector, cbanach) cbanach

proof
fix X::nat ⇒ 'a ⇒CL 'b
assume Cauchy X
{
fix x::'a
{
fix x::'a
assume norm x ≤ 1
have Cauchy (λn. X n x)
proof (rule CauchyI)
fix e::real
assume 0 < e
from CauchyD[OF ‹Cauchy X› ‹0 < e›] obtain M
where M: ∀m n. m ≥ M ⇒ n ≥ M ⇒ norm (X m - X n) < e
by auto
show ∃M. ∀m≥M. ∀n≥M. norm (X m x - X n x) < e
proof (safe intro!: exI[where x=M])
fix m n
assume le: M ≤ m M ≤ n
have norm (X m x - X n x) = norm ((X m - X n) x)
by (simp add: cblinfun.cbilinear-simps)
also have ... ≤ norm (X m - X n) * norm x
by (rule norm-cblinfun)
also have ... ≤ norm (X m - X n) * 1
using ‹norm x ≤ 1› norm-ge-zero by (rule mult-left-mono)
also have ... = norm (X m - X n) by simp
also have ... < e using le by fact
finally show norm (X m x - X n x) < e .
qed
qed
hence convergent (λn. X n x)
by (metis Cauchy-convergent-iff)
} note convergent-norm1 = this
define y where y = x /R norm x
have y: norm y ≤ 1 and xy: x = norm x *R y
by (simp-all add: y-def inverse-eq-divide)
have convergent (λn. norm x *R X n y)
by (intro bounded-bilinear.convergent[OF bounded-bilinear-scaleR] convergent-const
convergent-norm1 y)
also have (λn. norm x *R X n y) = (λn. X n x)
by (metis cblinfun.scaleC-right scaleR-scaleC xy)
finally have convergent (λn. X n x) .
}
then obtain v where v: ∀x. (λn. X n x) —→ v x
unfolding convergent-def
by metis

```

```

have Cauchy ( $\lambda n. \text{norm} (X n)$ )
proof (rule CauchyI)
fix e::real
assume e > 0
from CauchyD[ $\text{OF} \langle \text{Cauchy } X \rangle \langle 0 < e \rangle$ ] obtain M
where  $M: \bigwedge m n. m \geq M \Rightarrow n \geq M \Rightarrow \text{norm} (X m - X n) < e$ 
by auto
show  $\exists M. \forall m \geq M. \forall n \geq M. \text{norm} (\text{norm} (X m) - \text{norm} (X n)) < e$ 
proof (safe intro!: exI[where x=M])
fix m n assume mn:  $m \geq M \ n \geq M$ 
have  $\text{norm} (\text{norm} (X m) - \text{norm} (X n)) \leq \text{norm} (X m - X n)$ 
by (metis norm-triangle-ineq3 real-norm-def)
also have ... < e using mn by fact
finally show  $\text{norm} (\text{norm} (X m) - \text{norm} (X n)) < e$ .
qed
qed
then obtain K where  $K: (\lambda n. \text{norm} (X n)) \longrightarrow K$ 
unfolding Cauchy-convergent-iff convergent-def
by metis

have bounded-clinear v
proof
fix x y and r::complex
from tendsto-add[ $\text{OF } v[\text{of } x] \ v[\text{of } y]$ ] v[of x + y, unfolded cbilinfun.cbilinear-simps]
tendsto-scaleC[ $\text{OF } \text{tendsto-const}[of r] \ v[\text{of } x]$ ] v[of r *C x, unfolded cbilinfun.cbilinear-simps]
show  $v(x + y) = v x + v y \ v(r *_C x) = r *_C v x$ 
by (metis (poly-guards-query) LIMSEQ-unique)+
show  $\exists K. \forall x. \text{norm} (v x) \leq \text{norm} x * K$ 
proof (safe intro!: exI[where x=K])
fix x
have  $\text{norm} (v x) \leq K * \text{norm} x$ 
apply (rule tendsto-le[ $\text{OF} - \text{tendsto-mult}[\text{OF } K \text{ tendsto-const}] \text{ tendsto-norm}[\text{OF } v]$ ])
by (auto simp: norm-cbilinfun)
thus  $\text{norm} (v x) \leq \text{norm} x * K$ 
by (simp add: ac-simps)
qed
qed
hence  $Bv: \bigwedge x. (\lambda n. X n x) \longrightarrow CBlinfun v x$ 
by (auto simp: bounded-clinear-CBlinfun-apply v)

have X  $\longrightarrow$  CBlinfun v
proof (rule LIMSEQ-I)
fix r::real assume r > 0
define r' where  $r' = r / 2$ 
have  $0 < r' \ r' < r$  using  $\langle r > 0 \rangle$  by (simp-all add: r'-def)
from CauchyD[ $\text{OF} \langle \text{Cauchy } X \rangle \langle r' > 0 \rangle$ ]

```

```

obtain M where M:  $\bigwedge m n. m \geq M \implies n \geq M \implies \text{norm}(X m - X n) < r'$ 
  by metis
show  $\exists \text{no}. \forall n \geq \text{no}. \text{norm}(X n - C\text{Blinfun } v) < r$ 
proof (safe intro!: exI[where x=M])
  fix n assume n:  $M \leq n$ 
  have  $\text{norm}(X n - C\text{Blinfun } v) \leq r'$ 
  proof (rule norm-cblinfun-bound)
    fix x
    have eventually  $(\lambda m. m \geq M)$  sequentially
      by (metis eventually-ge-at-top)
      hence ev-le: eventually  $(\lambda m. \text{norm}(X n x - X m x) \leq r' * \text{norm } x)$ 
    sequentially
    proof eventually-elim
      case (elim m)
      have  $\text{norm}(X n x - X m x) = \text{norm}((X n - X m) x)$ 
        by (simp add: cblinfun.cbilinear-simps)
      also have ...  $\leq \text{norm}((X n - X m)) * \text{norm } x$ 
        by (rule norm-cblinfun)
      also have ...  $\leq r' * \text{norm } x$ 
        using M[OF n elim] by (simp add: mult-right-mono)
      finally show ?case .
    qed
    have tendsto-v:  $(\lambda m. \text{norm}(X n x - X m x)) \xrightarrow{} \text{norm}(X n x - C\text{Blinfun } v x)$ 
      by (auto intro!: tendsto-intros Bv)
      show  $\text{norm}((X n - C\text{Blinfun } v) x) \leq r' * \text{norm } x$ 
        by (auto intro!: tendsto-upperbound tendsto-v ev-le simp: cblinfun.cbilinear-simps)
    qed (simp add: ‹0 < r› less-imp-le)
    thus  $\text{norm}(X n - C\text{Blinfun } v) < r$ 
      by (metis ‹r' < r› le-less-trans)
    qed
  qed
  thus convergent X
    by (rule convergentI)
  qed
qed

```

## 12.5 On Euclidean Space

```

lemma norm-cblinfun-ceuclidean-le:
fixes a::'a::ceuclidean-space  $\Rightarrow_{CL} 'b::\text{complex-normed-vector}$ 
shows  $\text{norm } a \leq \text{sum}(\lambda x. \text{norm}(a x)) \text{ CBasis}$ 
apply (rule norm-cblinfun-bound)
apply (simp add: sum-nonneg)
apply (subst ceuclidean-representation[symmetric, where 'a='a])
apply (simp only: cblinfun.cbilinear-simps sum-distrib-right)
apply (rule order.trans[OF norm-sum sum-mono])
apply (simp add: abs-mult mult-right-mono ac-simps CBasis-le-norm)
by (metis complex-inner-class.Cauchy-Schwarz-ineq2 mult.commute mult.left-neutral
mult-right-mono norm-CBasis norm-ge-zero)

```

```

lemma ctendsto-componentwise1:
  fixes a::'a::ceuclidean-space  $\Rightarrow_{CL}$  'b::complex-normed-vector
  and b::'c  $\Rightarrow$  'a  $\Rightarrow_{CL}$  'b
  assumes ( $\bigwedge j. j \in CBasis \implies ((\lambda n. b n j) \longrightarrow a j) F$ )
  shows ( $b \longrightarrow a$ ) F
proof -
  have  $\bigwedge j. j \in CBasis \implies Zfun (\lambda x. norm (b x j - a j)) F$ 
  using assms unfolding tendsto-Zfun-iff Zfun-norm-iff .
  hence  $Zfun (\lambda x. \sum j \in CBasis. norm (b x j - a j)) F$ 
  by (auto intro!: Zfun-sum)
  thus ?thesis
    unfolding tendsto-Zfun-iff
    by (rule Zfun-le)
    (auto intro!: order-trans[OF norm-cblinfun-ceuclidean-le] simp: cblinfun.cbilinear-simps)
qed

lift-definition
  cblinfun-of-matrix::('b::ceuclidean-space  $\Rightarrow$  'a::ceuclidean-space  $\Rightarrow$  complex)  $\Rightarrow$  'a
   $\Rightarrow_{CL}$  'b
  is  $\lambda a x. \sum i \in CBasis. \sum j \in CBasis. ((j \cdot_C x) * a i j) *_C i$ 
  by (intro bounded-linear-intros)

lemma cblinfun-of-matrix-works:
  fixes f::'a::ceuclidean-space  $\Rightarrow_{CL}$  'b::ceuclidean-space
  shows cblinfun-of-matrix  $(\lambda i j. i \cdot_C (f j)) = f$ 
proof (transfer, rule, rule ceuclidean-eqI)
  fix f::'a  $\Rightarrow$  'b and x::'a and b::'b assume bounded-clinear f and b: b  $\in$  CBasis
  then interpret bounded-clinear f by simp
  have  $(\sum j \in CBasis. \sum i \in CBasis. (i \cdot_C x * (j \cdot_C f i)) *_C j) \cdot_C b$ 
   $= (\sum j \in CBasis. \text{if } j = b \text{ then } (\sum i \in CBasis. (x \cdot_C i * (f i \cdot_C j))) \text{ else } 0)$ 
  using b
  apply (simp add: cinner-sum-left cinner-CBasis if-distrib cong: if-cong)
  by (simp add: sum.swap)
  also have ...  $= (\sum i \in CBasis. ((x \cdot_C i) * (f i \cdot_C b)))$ 
  using b by (simp)
  also have ...  $= f x \cdot_C b$ 
proof -
  have  $\langle (\sum i \in CBasis. (x \cdot_C i) * (f i \cdot_C b)) = (\sum i \in CBasis. (i \cdot_C x) *_C f i) \cdot_C b \rangle$ 
  by (auto simp: cinner-sum-left)
  also have  $\langle \dots = f x \cdot_C b \rangle$ 
  by (simp add: ceuclidean-representation sum[symmetric] scale[symmetric])
  finally show ?thesis by -
qed
  finally show  $(\sum j \in CBasis. \sum i \in CBasis. (i \cdot_C x * (j \cdot_C f i)) *_C j) \cdot_C b = f x \cdot_C b$  .
qed

```

```

lemma cblinfun-of-matrix-apply:
  cblinfun-of-matrix a x = ( $\sum_{i \in CBasis} \sum_{j \in CBasis} ((j \cdot_C x) * a i j) *_C i$ )
  apply transfer by simp

lemma cblinfun-of-matrix-minus: cblinfun-of-matrix x - cblinfun-of-matrix y =
  cblinfun-of-matrix (x - y)
  by transfer (auto simp: algebra-simps sum-subtractf)

lemma norm-cblinfun-of-matrix:
  norm (cblinfun-of-matrix a) ≤ ( $\sum_{i \in CBasis} \sum_{j \in CBasis} cmod(a i j)$ )
  apply (rule norm-cblinfun-bound)
  apply (simp add: sum-nonneg)
  apply (simp only: cblinfun-of-matrix-apply sum-distrib-right)
  apply (rule order-trans[OF norm-sum sum-mono])
  apply (rule order-trans[OF norm-sum sum-mono])
  apply (simp add: abs-mult mult-right-mono ac-simps Basis-le-norm)
  by (metis complex-inner-class.Cauchy-Schwarz-ineq2 complex-scaleC-def mult.left-neutral
    mult-right-mono norm-CBasis norm-ge-zero norm-scaleC)

lemma tendsto-cblinfun-of-matrix:
  assumes  $\bigwedge_i j. i \in CBasis \Rightarrow j \in CBasis \Rightarrow ((\lambda n. b n i j) \longrightarrow a i j) F$ 
  shows  $((\lambda n. cblinfun-of-matrix (b n)) \longrightarrow cblinfun-of-matrix a) F$ 
  proof -
    have  $\bigwedge_i j. i \in CBasis \Rightarrow j \in CBasis \Rightarrow Zfun(\lambda x. norm(b x i j - a i j)) F$ 
    using assms unfolding tendsto-Zfun-iff Zfun-norm-iff .
    hence  $Zfun(\lambda x. (\sum_{i \in CBasis} \sum_{j \in CBasis} cmod(b x i j - a i j))) F$ 
    by (auto intro!: Zfun-sum)
    thus ?thesis
    unfolding tendsto-Zfun-iff cblinfun-of-matrix-minus
    by (rule Zfun-le) (auto intro!: order-trans[OF norm-cblinfun-of-matrix])
  qed

```

```

lemma ctendsto-componentwise:
  fixes a::'a::euclidean-space ⇒CL 'b::euclidean-space
  and b::'c ⇒ 'a ⇒CL 'b
  shows  $(\bigwedge_i j. i \in CBasis \Rightarrow j \in CBasis \Rightarrow ((\lambda n. b n j \cdot_C i) \longrightarrow a j \cdot_C i) F) \Rightarrow (b \longrightarrow a) F$ 
  apply (subst cblinfun-of-matrix-works[of a, symmetric])
  apply (subst cblinfun-of-matrix-works[of b x for x, symmetric, abs-def])
  apply (rule tendsto-cblinfun-of-matrix)
  apply (subst (1) cinner-commute, subst (2) cinner-commute)
  by (metis lim-cnj)

```

```

lemma
  continuous-cblinfun-componentwiseI:
  fixes f::'b::t2-space ⇒ 'a::euclidean-space ⇒CL 'c::euclidean-space
  assumes  $\bigwedge_i j. i \in CBasis \Rightarrow j \in CBasis \Rightarrow \text{continuous } F(\lambda x. (f x) j \cdot_C i)$ 

```

```

shows continuous  $F f$ 
using assms by (auto simp: continuous-def intro!: ctendsto-componentwise)

lemma continuous-cblinfun-componentwiseI1:
  fixes  $f$ : 'b::t2-space  $\Rightarrow$  'a::ceuclidean-space  $\Rightarrow_{CL}$  'c::complex-normed-vector
  assumes  $\bigwedge i. i \in CBasis \implies$  continuous  $F (\lambda x. f x i)$ 
  shows continuous  $F f$ 
  using assms by (auto simp: continuous-def intro!: ctendsto-componentwise1)

lemma continuous-on-cblinfun-componentwise:
  fixes  $f$ : 'd::t2-space  $\Rightarrow$  'e::ceuclidean-space  $\Rightarrow_{CL}$  'f::complex-normed-vector
  assumes  $\bigwedge i. i \in CBasis \implies$  continuous-on  $s (\lambda x. f x i)$ 
  shows continuous-on  $s f$ 
  using assms
  by (auto intro!: continuous-at-imp-continuous-on intro!: ctendsto-componentwise1
    simp: continuous-on-eq-continuous-within continuous-def)

lemma bounded-antilinear-cblinfun-matrix: bounded-antilinear  $(\lambda x. (x \cdot \Rightarrow_{CL} -) j \cdot_C i)$ 
  by (auto intro!: bounded-linear-intros)

lemma continuous-cblinfun-matrix:
  fixes  $f$ : 'b::t2-space  $\Rightarrow$  'a::complex-normed-vector  $\Rightarrow_{CL}$  'c::complex-inner
  assumes continuous  $F f$ 
  shows continuous  $F (\lambda x. (f x) j \cdot_C i)$ 
  by (rule bounded-antilinear.continuous[OF bounded-antilinear-cblinfun-matrix assms])

lemma continuous-on-cblinfun-matrix:
  fixes  $f$ : 'a::t2-space  $\Rightarrow$  'b::complex-normed-vector  $\Rightarrow_{CL}$  'c::complex-inner
  assumes continuous-on  $S f$ 
  shows continuous-on  $S (\lambda x. (f x) j \cdot_C i)$ 
  using assms
  by (auto simp: continuous-on-eq-continuous-within continuous-cblinfun-matrix)

lemma continuous-on-cblinfun-of-matrix[continuous-intros]:
  assumes  $\bigwedge i j. i \in CBasis \implies j \in CBasis \implies$  continuous-on  $S (\lambda s. g s i j)$ 
  shows continuous-on  $S (\lambda s. cblinfun-of-matrix (g s))$ 
  using assms
  by (auto simp: continuous-on intro!: tendsto-cblinfun-of-matrix)

```

```

lemma cblinfun-euclidean-eqI: ( $\bigwedge i. i \in CBasis \implies cblinfun-apply x i = cblinfun-apply y i$ )  $\implies x = y$ 
  apply (auto intro!: cblinfun-eqI)
  apply (subst (2) ceuclidean-representation[symmetric, where 'a='a])
  apply (subst (1) ceuclidean-representation[symmetric, where 'a='a])
  by (simp add: cblinfun.cbilinear-simps)

lemma CBlinfun-eq-matrix: bounded-clinear f  $\implies$  CBlinfun f = cblinfun-of-matrix
  ( $\lambda i j. i \cdot_C f j$ )
  apply (intro cblinfun-euclidean-eqI)
  by (auto simp: cblinfun-of-matrix-apply bounded-clinear-CBlinfun-apply cinner-CBasis
    if-distrib
    if-distribR sum.delta' ceuclidean-representation
    cong: if-cong)

```

## 12.6 concrete bounded linear functions

```

lemma transfer-bounded-cbilinear-bounded-clinearI:
  assumes g = ( $\lambda i x. (cblinfun-apply (f i) x)$ )
  shows bounded-cbilinear g = bounded-clinear f
proof
  assume bounded-cbilinear g
  then interpret bounded-cbilinear f by (simp add: assms)
  show bounded-clinear f
  proof (unfold-locales, safe intro!: cblinfun-eqI)
    fix i
    show f (x + y) i = (f x + f y) i f (r *C x) i = (r *C f x) i for r x y
      by (auto intro!: cblinfun-eqI simp: cblinfun.cbilinear-simps)
    from - nonneg-bounded show  $\exists K. \forall x. \text{norm} (f x) \leq \text{norm} x * K$ 
      by (rule ex-reg) (auto intro!: onorm-bound simp: norm-cblinfun.rep-eq ac-simps)
  qed
qed (auto simp: assms intro!: cblinfun.comp)

lemma transfer-bounded-cbilinear-bounded-clinear[transfer-rule]:
  (rel-fun (rel-fun (=) (pqr-cblinfun (=) (=))) (=)) bounded-cbilinear bounded-clinear
  by (auto simp: pqr-cblinfun-def cr-cblinfun-def rel-fun-def OO-def
    intro!: transfer-bounded-cbilinear-bounded-clinearI)

```

```

lemma transfer-bounded-sesquilinear-bounded-antilinearI:
  assumes g = ( $\lambda i x. (cblinfun-apply (f i) x)$ )
  shows bounded-sesquilinear g = bounded-antilinear f
proof
  assume bounded-sesquilinear g
  then interpret bounded-sesquilinear f by (simp add: assms)
  show bounded-antilinear f
  proof (unfold-locales, safe intro!: cblinfun-eqI)
    fix i
    show f (x + y) i = (f x + f y) i f (r *C x) i = (cnj r *C f x) i for r x y
  qed

```

```

    by (auto intro!: cblinfun-eqI simp: cblinfun.scaleC-left scaleC-left add-left
cblinfun.add-left)
    from - real.nonneg-bounded show ∃ K. ∀ x. norm (f x) ≤ norm x * K
    by (rule ex-reg) (auto intro!: onorm-bound simp: norm-cblinfun.rep-eq ac-simps)
qed
next
assume bounded-antilinear f
then obtain K where K: ⟨norm (f x) ≤ norm x * K⟩ for x
using bounded-antilinear.bounded by blast
have ⟨norm (cblinfun-apply (f a) b) ≤ norm (f a) * norm b⟩ for a b
by (simp add: norm-cblinfun)
also have ⟨... a b ≤ norm a * norm b * K⟩ for a b
by (smt (verit, best) K mult.assoc mult.commute mult-mono' norm-ge-zero)
finally have *: ⟨norm (cblinfun-apply (f a) b) ≤ norm a * norm b * K⟩ for a b
by simp
show bounded-sesquilinear g
using ⟨bounded-antilinear f⟩
apply (auto intro!: bounded-sesquilinear.intro simp: assms cblinfun.add-left
cblinfun.add-right
linear-simps bounded-antilinear.bounded-linear antilinear.scaleC bounded-antilinear.antilinear
cblinfun.scaleC-left cblinfun.scaleC-right)
using * by blast
qed

lemma transfer-bounded-sesquilinear-bounded-antilinear[transfer-rule]:
(rel-fun (rel-fun (=) (pcr-cblinfun (=) (=))) (=)) bounded-sesquilinear bounded-antilinear
by (auto simp: pcr-cblinfun-def cr-cblinfun-def rel-fun-def OO-def
intro!: transfer-bounded-sesquilinear-bounded-antilinearI)

context bounded-cbilinear
begin

lift-definition prod-left::'b ⇒ 'a ⇒CL 'c is (λb a. prod a b)
by (rule bounded-clinear-left)
declare prod-left.rep-eq[simp]

lemma bounded-clinear-prod-left[bounded-clinear]: bounded-clinear prod-left
by transfer (rule flip)

lift-definition prod-right::'a ⇒ 'b ⇒CL 'c is (λa b. prod a b)
by (rule bounded-clinear-right)
declare prod-right.rep-eq[simp]

lemma bounded-clinear-prod-right[bounded-clinear]: bounded-clinear prod-right
by transfer (rule bounded-cbilinear-axioms)

end

lift-definition id-cblinfun::'a::complex-normed-vector ⇒CL 'a is λx. x

```

**by** (*rule bounded-clinear-ident*)

**lemmas** *cblinfun-id-cblinfun-apply*[*simp*] = *id-cblinfun.rep-eq*

**lemma** *norm-cblinfun-id*[*simp*]:

*norm* (*id-cblinfun::'a::{complex-normed-vector, not-singleton}*  $\Rightarrow_{CL} 'a$ ) = 1

**apply** *transfer*

**apply** (*rule onorm-id[internalize-sort' 'a]*)

**apply** *standard*[1]

**by** *simp*

**lemma** *norm-cblinfun-id-le*:

*norm* (*id-cblinfun::'a::complex-normed-vector*  $\Rightarrow_{CL} 'a$ )  $\leq 1$

**by** *transfer (auto simp: onorm-id-le)*

**lift-definition** *cblinfun-compose*::

'*a::complex-normed-vector*  $\Rightarrow_{CL} 'b::complex-normed-vector$   $\Rightarrow$

'*c::complex-normed-vector*  $\Rightarrow_{CL} 'a$   $\Rightarrow$

'*c*  $\Rightarrow_{CL} 'b$  (**infixl** *⟨o<sub>CL **is** (*o*))</sub>*

**parametric** *comp-transfer*

**unfolding** *o-def*

**by** (*rule bounded-clinear-compose*)

**lemma** *cblinfun-apply-cblinfun-compose*[*simp*]: (*a o<sub>CL</sub> b*) *c* = *a (b c)*

**by** (*simp add: cblinfun-compose.rep-eq*)

**lemma** *norm-cblinfun-compose*:

*norm* (*f o<sub>CL</sub> g*)  $\leq$  *norm f \* norm g*

**apply** *transfer*

**by** (*auto intro!: onorm-compose simp: bounded-clinear.bounded-linear*)

**lemma** *bounded-cbilinear-cblinfun-compose*[*bounded-cbilinear*]: *bounded-cbilinear (o<sub>CL</sub>)*

**by** *unfold-locales*

*(auto intro!: cblinfun-eqI exI[where x=1] simp: cblinfun.cbilinear-simps norm-cblinfun-compose)*

**lemma** *cblinfun-compose-zero*[*simp*]:

*blinfun-compose 0 = (λ-. 0)*

*blinfun-compose x 0 = 0*

```

by (auto simp: blinfun.bilinear-simps intro!: blinfun-eqI)

lemma cblinfun-bij2:
  fixes f::'a ⇒CL 'a::ceuclidean-space
  assumes f oCL g = id-cblinfun
  shows bij (cblinfun-apply g)
proof (rule bijI)
  show inj g
  using assms
  by (metis cblinfun-id-cblinfun-apply cblinfun-compose.rep_eq injI inj-on-imageI2)
  then show surj g
  using bounded-clinear-def cblinfun.bounded-clinear-right ceucl.linear-inj-imp-surj
by blast
qed

lemma cblinfun-bij1:
  fixes f::'a ⇒CL 'a::ceuclidean-space
  assumes f oCL g = id-cblinfun
  shows bij (cblinfun-apply f)
proof (rule bijI)
  show surj (cblinfun-apply f)
  by (metis assms cblinfun-apply-cblinfun-compose cblinfun-id-cblinfun-apply surjI)
  then show inj (cblinfun-apply f)
  using bounded-clinear-def cblinfun.bounded-clinear-right ceucl.linear-surjective-imp-injective
by blast
qed

lift-definition cblinfun-cinner-right::'a::complex-inner ⇒ 'a ⇒CL complex is (·C)
  by (rule bounded-clinear-cinner-right)
declare cblinfun-cinner-right.rep_eq[simp]

lemma bounded-antilinear-cblinfun-cinner-right[bounded-antilinear]: bounded-antilinear
cblinfun-cinner-right
  apply transfer by (simp add: bounded-sesquilinear-cinner)

lift-definition cblinfun-scaleC-right::complex ⇒ 'a ⇒CL 'a::complex-normed-vector
is (*C)
  by (rule bounded-clinear-scaleC-right)
declare cblinfun-scaleC-right.rep_eq[simp]

lemma bounded-clinear-cblinfun-scaleC-right[bounded-clinear]: bounded-clinear cblin-
fun-scaleC-right
  by transfer (rule bounded-cbilinear-scaleC)

```

```

lift-definition cblinfun-scaleC-left::'a::complex-normed-vector  $\Rightarrow$  complex  $\Rightarrow_{CL}$  'a
is  $\lambda x\ y.\ y *_C x$ 
by (rule bounded-clinear-scaleC-left)
lemmas [simp] = cblinfun-scaleC-left.rep-eq

lemma bounded-clinear-cblinfun-scaleC-left[bounded-clinear]: bounded-clinear cblin-
fun-scaleC-left
by transfer (rule bounded-cbilinear.flip[OF bounded-cbilinear-scaleC])

lift-definition cblinfun-mult-right::'a  $\Rightarrow$  'a  $\Rightarrow_{CL}$  'a::complex-normed-algebra is (*)
by (rule bounded-clinear-mult-right)
declare cblinfun-mult-right.rep-eq[simp]

lemma bounded-clinear-cblinfun-mult-right[bounded-clinear]: bounded-clinear cblin-
fun-mult-right
by transfer (rule bounded-cbilinear-mult)

lift-definition cblinfun-mult-left::'a::complex-normed-algebra  $\Rightarrow$  'a  $\Rightarrow_{CL}$  'a is  $\lambda x$ 
y.  $y * x$ 
by (rule bounded-clinear-mult-left)
lemmas [simp] = cblinfun-mult-left.rep-eq

lemma bounded-clinear-cblinfun-mult-left[bounded-clinear]: bounded-clinear cblin-
fun-mult-left
by transfer (rule bounded-cbilinear.flip[OF bounded-cbilinear-mult])

lemmas bounded-clinear-function-uniform-limit-intros[uniform-limit-intros] =
bounded-clinear.uniform-limit[OF bounded-clinear-apply-cblinfun]
bounded-clinear.uniform-limit[OF bounded-clinear-cblinfun-apply]
bounded-antilinear.uniform-limit[OF bounded-antilinear-cblinfun-matrix]

```

## 12.7 The strong operator topology on continuous linear operators

Let ' $a$ ' and ' $b$ ' be two normed real vector spaces. Then the space of linear continuous operators from ' $a$ ' to ' $b$ ' has a canonical norm, and therefore a canonical corresponding topology (the type classes instantiation are given in `Complex_Bounded_Linear_Function0.thy`).

However, there is another topology on this space, the strong operator topology, where  $T_n$  tends to  $T$  iff, for all  $x$  in ' $a$ ', then  $T_n x$  tends to  $T x$ . This is precisely the product topology where the target space is endowed with the norm topology. It is especially useful when ' $b$ ' is the set of real numbers, since then this topology is compact.

We can not implement it using type classes as there is already a topology, but at least we can define it as a topology.

Note that there is yet another (common and useful) topology on operator

spaces, the weak operator topology, defined analogously using the product topology, but where the target space is given the weak-\* topology, i.e., the pullback of the weak topology on the bidual of the space under the canonical embedding of a space into its bidual. We do not define it there, although it could also be defined analogously.

**definition** *cstrong-operator-topology*::('a::complex-normed-vector  $\Rightarrow_{CL}$  'b::complex-normed-vector) topology

where *cstrong-operator-topology* = pullback-topology UNIV cblinfun-apply euclidean

**lemma** *cstrong-operator-topology-topspace*:

*topspace cstrong-operator-topology* = UNIV

**unfold** *cstrong-operator-topology-def topspace-pullback-topology topspace-euclidean*  
by auto

**lemma** *cstrong-operator-topology-basis*:

fixes  $f:('a::complex-normed-vector \Rightarrow_{CL} 'b::complex-normed-vector)$  and  $U::'i \Rightarrow 'b$  set and  $x::'i \Rightarrow 'a$

assumes finite  $I \wedge i \in I \implies \text{open } (U i)$

shows openin *cstrong-operator-topology* { $f$ .  $\forall i \in I$ . cblinfun-apply  $f (x i)$   $\in U i$ }

**proof** –

have open { $g:('a \Rightarrow 'b)$ .  $\forall i \in I$ .  $g (x i) \in U i$ }

by (rule product-topology-basis'[OF assms])

moreover have { $f$ .  $\forall i \in I$ . cblinfun-apply  $f (x i)$   $\in U i$ }

= cblinfun-apply-{ $g:('a \Rightarrow 'b)$ .  $\forall i \in I$ .  $g (x i) \in U i$ }  $\cap$  UNIV

by auto

ultimately show ?thesis

**unfold** *cstrong-operator-topology-def* by (subst openin-pullback-topology)

auto

qed

**lemma** *cstrong-operator-topology-continuous-evaluation*:

continuous-map *cstrong-operator-topology euclidean* ( $\lambda f$ . cblinfun-apply  $f x$ )

**proof** –

have continuous-map *cstrong-operator-topology euclidean* (( $\lambda f$ .  $f x$ ) o cblinfun-apply)

**unfold** *cstrong-operator-topology-def apply* (rule continuous-map-pullback)

using continuous-on-product-coordinates by fastforce

then show ?thesis **unfold** comp-def by simp

qed

**lemma** *continuous-on-cstrong-operator-topo-iff-coordinatewise*:

continuous-map  $T$  *cstrong-operator-topology f*

$\longleftrightarrow (\forall x. \text{continuous-map } T \text{ euclidean } (\lambda y. \text{cblinfun-apply } (f y) x))$

**proof** (auto)

fix  $x::'b$

assume continuous-map  $T$  *cstrong-operator-topology f*

with continuous-map-compose[OF this *cstrong-operator-topology-continuous-evaluation*]

have continuous-map  $T$  euclidean (( $\lambda z$ . cblinfun-apply  $z x$ ) o  $f$ )

```

by simp
then show continuous-map T euclidean (λy. cblinfun-apply (f y) x)
  unfolding comp-def by auto
next
  assume *: ∀x. continuous-map T euclidean (λy. cblinfun-apply (f y) x)
  have ∃i. continuous-map T euclidean (λx. cblinfun-apply (f x) i)
    using * unfolding comp-def by auto
  then have continuous-map T euclidean (cblinfun-apply o f)
    unfolding o-def
  by (metis (no-types) continuous-map-componentwise-UNIV euclidean-product-topology)
  show continuous-map T cstrong-operator-topology f
    unfolding cstrong-operator-topology-def
    apply (rule continuous-map-pullback')
    by (auto simp add: <continuous-map T euclidean (cblinfun-apply o f)>)
qed

lemma cstrong-operator-topology-weaker-than-euclidean:
  continuous-map euclidean cstrong-operator-topology (λf. f)
  apply (subst continuous-on-cstrong-operator-topo-iff-coordinatewise)
  by (auto simp add: linear-continuous-on continuous-at-imp-continuous-on linear-continuous-at
    bounded-clinear.bounded-linear)
end

```

## 13 Complex-Bounded-Linear-Function – Complex bounded linear functions (bounded operators)

```

theory Complex-Bounded-Linear-Function
imports
  HOL-Types-To-Sets.Types-To-Sets
  Banach-Steinhaus.Banach-Steinhaus
  Complex-Inner-Product
  One-Dimensional-Spaces
  Complex-Bounded-Linear-Function0
  HOL-Library.Function-Algebras
begin

unbundle lattice-syntax

```

### 13.1 Misc basic facts and declarations

```

notation cblinfun-apply (infixr <*V> 70)

lemma id-cblinfun-apply[simp]: id-cblinfun *V ψ = ψ
  by simp

lemma apply-id-cblinfun[simp]: <(*V) id-cblinfun = id>
  by auto

```

```

lemma isCont-cblinfun-apply[simp]: isCont ((*_V) A) ψ
by transfer (simp add: clinear-continuous-at)

declare cblinfun.scaleC-left[simp]

lemma cblinfun-apply-clinear[simp]: ‹clinear (cblinfun-apply A)›
using bounded-clinear.axioms(1) cblinfun-apply by blast

lemma cblinfun-cinner-eqI:
fixes A B :: ‹'a::chilbert-space ⇒CL 'a›
assumes ‹Aψ. norm ψ = 1 ⇒ cinner ψ (A *_V ψ) = cinner ψ (B *_V ψ)›
shows ‹A = B›
proof -
define C where ‹C = A - B›
have C0[simp]: ‹cinner ψ (C ψ) = 0› for ψ
apply (cases ‹ψ = 0›)
using assms[of ‹sgn ψ›]
by (simp-all add: C-def norm-sgn sgn-div-norm cblinfun.scaleR-right assms
cblinfun.diff-left cinner-diff-right)
{ fix f g α
have ‹0 = cinner (f + α *C g) (C *_V (f + α *C g))›
by (simp add: cinner-diff-right minus-cblinfun.rep-eq)
also have ‹... = α *C cinner f (C g) + cnj α *C cinner g (C f)›
by (smt (z3) C0 add.commute add.right-neutral cblinfun.add-right cblin-
fun.scaleC-right cblinfun-cinner-right.rep-eq cinner-add-left cinner-scaleC-left com-
plex-scaleC-def)
finally have ‹α *C cinner f (C g) = - cnj α *C cinner g (C f)›
by (simp add: eq-neg-iff-add-eq-0)
}
then have ‹cinner f (C g) = 0› for f g
by (metis complex-cnji complex-cnji-one complex-vector.scale-cancel-right com-
plex-vector.scale-left-imp-eq equation-minus-iff i-squared mult-eq-0-iff one-neq-neg-one)
then have ‹C g = 0› for g
using cinner-eq-zero-iff by blast
then have ‹C = 0›
by (simp add: cblinfun-eqI)
then show ‹A = B›
using C-def by auto
qed

lemma id-cblinfun-not-0[simp]: ‹(id-cblinfun :: 'a::{complex-normed-vector, not-singleton} ⇒CL _) ≠ 0›
by (metis (full-types) Extra-General.UNIV-not-singleton cblinfun.zero-left cblin-
fun-id-cblinfun-apply ex-norm1 norm-zero one-neq-zero)

lemma cblinfun-norm-geqI:
assumes ‹norm (f *_V x) / norm x ≥ K›
shows ‹norm f ≥ K›

```

```

using assms by transfer (smt (z3) bounded-clinear.bounded-linear le-onorm)

declare scaleC-conv-of-complex[simp]

lemma cblinfun-eq-0-on-span:
  fixes S::'a::complex-normed-vector set
  assumes x ∈ cspan S
    and ∀s. s ∈ S ⇒ F *V s = 0
  shows ⟨F *V x = 0⟩
  using bounded-clinear.axioms(1) cblinfun-apply assms complex-vector.linear-eq-0-on-span
  by blast

lemma cblinfun-eq-on-span:
  fixes S::'a::complex-normed-vector set
  assumes x ∈ cspan S
    and ∀s. s ∈ S ⇒ F *V s = G *V s
  shows ⟨F *V x = G *V x⟩
  using bounded-clinear.axioms(1) cblinfun-apply assms complex-vector.linear-eq-on-span
  by blast

lemma cblinfun-eq-0-on-UNIV-span:
  fixes basis::'a::complex-normed-vector set
  assumes cspan basis = UNIV
    and ∀s. s ∈ basis ⇒ F *V s = 0
  shows ⟨F = 0⟩
  by (metis cblinfun-eq-0-on-span UNIV-I assms cblinfun.zero-left cblinfun-eqI)

lemma cblinfun-eq-on-UNIV-span:
  fixes basis::'a::complex-normed-vector set and φ::'a ⇒ 'b::complex-normed-vector
  assumes cspan basis = UNIV
    and ∀s. s ∈ basis ⇒ F *V s = G *V s
  shows ⟨F = G⟩
  by (metis (no-types) assms cblinfun-eqI cblinfun-eq-on-span iso-tuple-UNIV-I)

lemma cblinfun-eq-on-canonical-basis:
  fixes f g::'a:{basis-enum,complex-normed-vector} ⇒CL 'b::complex-normed-vector
  defines basis == set (canonical-basis::'a list)
  assumes ∀u. u ∈ basis ⇒ f *V u = g *V u
  shows f = g
  using assms cblinfun-eq-on-UNIV-span is-generator-set by blast

lemma cblinfun-eq-0-on-canonical-basis:
  fixes f ::'a:{basis-enum,complex-normed-vector} ⇒CL 'b::complex-normed-vector
  defines basis == set (canonical-basis::'a list)
  assumes ∀u. u ∈ basis ⇒ f *V u = 0
  shows f = 0
  by (simp add: assms cblinfun-eq-on-canonical-basis)

```

```

lemma cinner-canonical-basis-eq-0:
  defines basisA == set (canonical-basis::'a::onb-enum list)
    and basisB == set (canonical-basis::'b::onb-enum list)
  assumes  $\bigwedge u v. u \in basisA \implies v \in basisB \implies \text{is-orthogonal } v (F *_V u)$ 
  shows  $F = 0$ 
proof-
  have  $F *_V u = 0$ 
    if  $u \in basisA$  for  $u$ 
  proof-
    have  $\bigwedge v. v \in basisB \implies \text{is-orthogonal } v (F *_V u)$ 
      by (simp add: assms(3) that)
    moreover have  $(\bigwedge v. v \in basisB \implies \text{is-orthogonal } v x) \implies x = 0$ 
      for  $x$ 
    proof-
      assume r1:  $\bigwedge v. v \in basisB \implies \text{is-orthogonal } v x$ 
      have  $\text{is-orthogonal } v x$  for  $v$ 
      proof-
        have  $cspan basisB = UNIV$ 
          using basisB-def is-generator-set by auto
        hence  $v \in cspan basisB$ 
          by (smt iso-tuple-UNIV-I)
        hence  $\exists t s. v = (\sum a \in t. s a *_C a) \wedge \text{finite } t \wedge t \subseteq basisB$ 
          using complex-vector.span-explicit
          by (smt mem-Collect-eq)
        then obtain t s where b1:  $v = (\sum a \in t. s a *_C a)$  and b2:  $\text{finite } t$  and
          b3:  $t \subseteq basisB$ 
          by blast
        have  $v *_C x = (\sum a \in t. s a *_C a) *_C x$ 
          by (simp add: b1)
        also have ... =  $(\sum a \in t. (s a *_C a)) *_C x$ 
          using cinner-sum-left by blast
        also have ... =  $(\sum a \in t. cnj(s a) * (a *_C x))$ 
          by auto
        also have ... = 0
          using b3 r1 subsetD by force
        finally show ?thesis by simp
      qed
      thus ?thesis
        by (simp add: < $\bigwedge v. (v *_C x) = 0$ > cinner-extensionality)
    qed
    ultimately show ?thesis by simp
  qed
  thus ?thesis
    using basisA-def cblinfun-eq-0-on-canonical-basis by auto
qed

lemma cinner-canonical-basis-eq:
  defines basisA == set (canonical-basis::'a::onb-enum list)
    and basisB == set (canonical-basis::'b::onb-enum list)

```

```

assumes  $\bigwedge u v. u \in basisA \implies v \in basisB \implies v \cdot_C (F *_V u) = v \cdot_C (G *_V u)$ 
shows  $F = G$ 
proof-
  define  $H$  where  $H = F - G$ 
  have  $\bigwedge u v. u \in basisA \implies v \in basisB \implies$  is-orthogonal  $v (H *_V u)$ 
    unfolding  $H\text{-def}$ 
    by (simp add: assms(3) cinner-diff-right minus-cblinfun.rep-eq)
  hence  $H = 0$ 
    by (simp add: basisA-def basisB-def cinner-canonical-basis-eq-0)
  thus ?thesis unfolding  $H\text{-def}$  by simp
qed

lemma cinner-canonical-basis-eq':
  defines basisA == set (canonical-basis::'a::onb-enum list)
  and basisB == set (canonical-basis::'b::onb-enum list)
  assumes  $\bigwedge u v. u \in basisA \implies v \in basisB \implies (F *_V u) \cdot_C v = (G *_V u) \cdot_C v$ 
  shows  $F = G$ 
  using cinner-canonical-basis-eq assms
  by (metis cinner-commute')

lemma not-not-singleton-cblinfun-zero:
  ⟨x = 0⟩ if ⟨¬ class.not-singleton TYPE('a)⟩ for x :: ⟨'a::complex-normed-vector
  ⇒CL 'b::complex-normed-vector⟩
  apply (rule cblinfun-eqI)
  apply (subst not-not-singleton-zero[OF that])
  by simp

lemma cblinfun-norm-approx-witness:
  fixes A :: ⟨'a:: {not-singleton, complex-normed-vector} ⇒CL 'b::complex-normed-vector⟩
  assumes ⟨ε > 0⟩
  shows ⟨∃ψ. norm (A *V ψ) ≥ norm A - ε ∧ norm ψ = 1⟩
proof (transfer fixing: ε)
  fix A :: ⟨'a ⇒ 'b⟩ assume [simp]: ⟨bounded-clinear A⟩
  have ⟨∃y ∈ {norm (A x) | x. norm x = 1}. y > ⋃ {norm (A x) | x. norm x = 1}
  - ε⟩
    apply (rule Sup-real-close)
  using assms by (auto simp: ex-norm1 bounded-clinear.bounded-linear bdd-above-norm-f)
  also have ⟨⋃ {norm (A x) | x. norm x = 1} = onorm A⟩
    by (simp add: bounded-clinear.bounded-linear onorm-sphere)
  finally
  show ⟨∃ψ. onorm A - ε ≤ norm (A ψ) ∧ norm ψ = 1⟩
    by force
qed

lemma cblinfun-norm-approx-witness-mult:
  fixes A :: ⟨'a:: {not-singleton, complex-normed-vector} ⇒CL 'b::complex-normed-vector⟩
  assumes ⟨ε < 1⟩
  shows ⟨∃ψ. norm (A *V ψ) ≥ norm A * ε ∧ norm ψ = 1⟩
proof (cases ⟨norm A = 0⟩)

```

```

case True
then show ?thesis
  by auto (simp add: ex-norm1)
next
  case False
  then have ⟨(1 - ε) * norm A > 0⟩
    using assms by fastforce
  then obtain ψ where geq: ⟨norm (A *V ψ) ≥ norm A - ((1 - ε) * norm A)⟩
  and ⟨norm ψ = 1⟩
    using cblinfun-norm-approx-witness by blast
  have ⟨norm A * ε = norm A - (1 - ε) * norm Aby (simp add: mult.commute right-diff-distrib')
  also have ⟨... ≤ norm (A *V ψ)⟩
    by (rule geq)
  finally show ?thesis
    using ⟨norm ψ = 1⟩ by auto
qed

```

```

lemma cblinfun-norm-approx-witness':
  fixes A :: ⟨'a::complex-normed-vector ⇒CL 'b::complex-normed-vector⟩
  assumes ⟨ε > 0⟩
  shows ⟨∃ψ. norm (A *V ψ) / norm ψ ≥ norm A - ε⟩
  proof (cases ⟨class.not-singleton TYPE('a)⟩)
    case True
      obtain ψ where ⟨norm (A *V ψ) ≥ norm A - ε⟩ and ⟨norm ψ = 1⟩
        apply atomize-elim
        using complex-normed-vector-axioms True assms
        by (rule cblinfun-norm-approx-witness[internalize-sort' 'a])
      then have ⟨norm (A *V ψ) / norm ψ ≥ norm A - ε⟩
        by simp
      then show ?thesis
        by auto
    next
      case False
      show ?thesis
        apply (subst not-not-singleton-cblinfun-zero[OF False])
        apply simp
        apply (subst not-not-singleton-cblinfun-zero[OF False])
        using ⟨ε > 0⟩ by simp
qed

```

```

lemma cblinfun-to-CARD-1-0[simp]: ⟨(A :: - ⇒CL -::CARD-1) = 0⟩
  by (simp add: cblinfun-eqI)

```

```

lemma cblinfun-from-CARD-1-0[simp]: ⟨(A :: -::CARD-1 ⇒CL -) = 0⟩
  using cblinfun-eq-on-UNIV-span by force

```

```

lemma cblinfun-cspan-UNIV:
  fixes basis :: "('a::{complex-normed-vector,cfinite-dim} ⇒ CL 'b::complex-normed-vector)
  set)
    and basisA :: "'a set" and basisB :: "'b set"
  assumes <cspan basisA = UNIV> and <cspan basisB = UNIV>
  assumes basis: <∀a b. a ∈ basisA ⇒ b ∈ basisB ⇒ ∃F ∈ basis. ∀a' ∈ basisA. F *V
  a' = (if a'=a then b else 0)>
  shows <cspan basis = UNIV>
proof -
  obtain basisA' where <basisA' ⊆ basisA> and <c-independent basisA'> and <cspan
  basisA' = UNIV>
    by (metis assms(1) complex-vector.maximal-independent-subset complex-vector.span-eq
    top-greatest)
  then have [simp]: <finite basisA'>
    by (simp add: c-independent-cfinite-dim-finite)
  have basis': <∀a b. a ∈ basisA' ⇒ b ∈ basisB ⇒ ∃F ∈ basis. ∀a' ∈ basisA'. F *V
  a' = (if a'=a then b else 0)>
    using basis <basisA' ⊆ basisA> by fastforce

  obtain F where F: <F a b ∈ basis ∧ F a b *V a' = (if a'=a then b else 0)>
    if <a ∈ basisA'> <b ∈ basisB> <a' ∈ basisA'> for a b a'
    apply atomize-elim apply (intro choice allI)
    using basis' by metis
  then have F-apply: <F a b *V a' = (if a'=a then b else 0)>
    if <a ∈ basisA'> <b ∈ basisB> <a' ∈ basisA'> for a b a'
    using that by auto
  have F-basis: <F a b ∈ basis>
    if <a ∈ basisA'> <b ∈ basisB> for a b
    using that F by auto
  have b-span: <∃G ∈ cspan {F a b | b. b ∈ basisB}. ∀a' ∈ basisA'. G *V a' = (if a'=a
  then b else 0)> if <a ∈ basisA'> for a b
  proof -
    from <cspan basisB = UNIV>
    obtain r t where <finite t> and <t ⊆ basisB> and b-lincom: <b = (∑ a ∈ t. r a
    *C a)>
      unfolding complex-vector.span-alt by atomize-elim blast
    define G where <G = (∑ i ∈ t. r i *C F a i)>
    have <G ∈ cspan {F a b | b. b ∈ basisB}>
      using <finite t> <t ⊆ basisB> unfolding G-def
      by (smt (verit) complex-vector.span-scale complex-vector.span-sum com-
      plex-vector.span-superset mem-Collect-eq subsetD)
    moreover have <G *V a' = (if a'=a then b else 0)> if <a' ∈ basisA'> for a'
      using <t ⊆ basisB> <a ∈ basisA'> <a' ∈ basisA'>
      by (auto simp: b-lincom G-def cblinfun.sum-left F-apply intro!: sum.neutral
      sum.cong)
    ultimately show ?thesis
      by blast
qed

```

```

have a-span: ⟨cspan (⋃ a∈basisA'. cspan {F a b|b. b∈basisB}) = UNIV⟩
proof (intro equalityI subset-UNIV subsetI, rename-tac H)
  fix H
  obtain G where G: ⟨G a b ∈ cspan {F a b|b. b∈basisB} ∧ G a b *V a' = (if a'=a then b else 0)⟩
    if ⟨a∈basisA'⟩ and ⟨a'∈basisA'⟩ for a b a'
    apply atomize-elim apply (intro choice allI)
    using b-span by blast
  then have G-cspan: ⟨G a b ∈ cspan {F a b|b. b∈basisB}⟩ if ⟨a∈basisA'⟩ for a b
    using that by auto
  from G have G: ⟨G a b *V a' = (if a'=a then b else 0)⟩ if ⟨a∈basisA'⟩ and ⟨a'∈basisA'⟩ for a b a'
    using that by auto
  define H' where H' = (⋀ a∈basisA'. G a (H *V a))
  have H' ∈ cspan (⋃ a∈basisA'. cspan {F a b|b. b∈basisB})
    unfolding H'-def using G-cspan
  by (smt (verit, del-insts) UN-iff complex-vector.span-clauses(1) complex-vector.span-sum)
  moreover have H' = H
    using ⟨cspan basisA' = UNIV⟩
    by (auto simp: H'-def cblinfun-eq-on-UNIV-span cblinfun.sum-left G)
  ultimately show ⟨H ∈ cspan (⋃ a∈basisA'. cspan {F a b |b. b ∈ basisB})⟩
    by simp
qed

```

```

moreover have ⟨cspan basis ⊇ cspan (⋃ a∈basisA'. cspan {F a b|b. b∈basisB})⟩
  by (smt (verit) F-basis UN-subset-iff complex-vector.span-base complex-vector.span-minimal
complex-vector.subspace-span mem-Collect-eq subsetI)

ultimately show ⟨cspan basis = UNIV⟩
  by auto
qed

```

```

instance cblinfun :: (⟨{cfinite-dim,complex-normed-vector}⟩, ⟨{cfinite-dim,complex-normed-vector}⟩)
cfinite-dim
proof intro-classes
  obtain basisA :: ⟨'a set⟩ where [simp]: ⟨cspan basisA = UNIV⟩ ⟨c-independent
basisA⟩ ⟨finite basisA⟩
    using finite-basis by blast
  obtain basisB :: ⟨'b set⟩ where [simp]: ⟨cspan basisB = UNIV⟩ ⟨c-independent
basisB⟩ ⟨finite basisB⟩
    using finite-basis by blast
  define f where f a b = cconstruct basisA (λx. if x=a then b else 0) for a :: 'a
and b :: 'b
  have f-a: ⟨f a b a = b⟩ if ⟨a : basisA⟩ for a b
    by (simp add: complex-vector.construct-basis f-def that)
  have f-not-a: ⟨f a b c = 0⟩ if ⟨a : basisA⟩ and ⟨c : basisA⟩ and ⟨a ≠ c⟩ for a b c
    using that by (simp add: complex-vector.construct-basis f-def)

```

```

define F where <F a b = CBlinfun (f a b)> for a b
have <clinear (f a b)> for a b
  by (auto intro: complex-vector.linear-construct simp: f-def)
then have <bounded-clinear (f a b)> for a b
  by auto
then have F-apply: <cblinfun-apply (F a b) = f a b> for a b
  by (simp add: F-def bounded-clinear-CBlinfun-apply)
define basis where <basis = {F a b | a b. a ∈ basisA ∧ b ∈ basisB}>
have ⋀ a b. [a ∈ basisA; b ∈ basisB] ⟹ ∃ F ∈ basis. ∀ a' ∈ basisA. F *V a' = (if
a' = a then b else 0)
  by (smt (verit, del-insts) F-apply basis-def f-a f-not-a mem-Collect-eq)
then have <cspan basis = UNIV>
  by (metis <cspan basisA = UNIV> <cspan basisB = UNIV> cblinfun-cspan-UNIV)

moreover have <finite basis>
  unfolding basis-def by (auto intro: finite-image-set2)
ultimately show <∃ S :: ('a ⇒CL 'b) set. finite S ∧ cspan S = UNIV>
  by auto
qed

lemma norm-cblinfun-bound-dense:
assumes <0 ≤ b>
assumes S: <closure S = UNIV>
assumes bound: <⋀ x. x ∈ S ⟹ norm (cblinfun-apply f x) ≤ b * norm x>
shows <norm f ≤ b>
proof -
  have 1: <continuous-on UNIV (λa. norm (f *V a))>
    by (simp add: continuous-on-eq-continuous-within)
  have 2: <continuous-on UNIV (λa. b * norm a)>
    using continuous-on-mult-left continuous-on-norm-id by blast
  have <norm (cblinfun-apply f x) ≤ b * norm x> for x
    by (metis (mono-tags, lifting) UNIV-I S bound 1 2 on-closure-leI)
  then show <norm f ≤ b>
    using <0 ≤ b> norm-cblinfun-bound by blast
qed

lemma infsum-cblinfun-apply:
assumes <g summable-on S>
shows <infsum (λx. A *V g x) S = A *V (infsum g S)>
using infsum-bounded-linear[unfolded o-def] assms cblinfun.real.bounded-linear-right
by blast

lemma has-sum-cblinfun-apply:
assumes <(g has-sum x) S>
shows <((λx. A *V g x) has-sum (A *V x)) S>
using assms has-sum-bounded-linear[unfolded o-def] using cblinfun.real.bounded-linear-right
by blast

lemma abs-summable-on-cblinfun-apply:

```

```

assumes <g abs-summable-on S>
shows <( $\lambda x. A *_V g x$ ) abs-summable-on S>
using bounded-clinear.bounded-linear[OF cblinfun.bounded-clinear-right] assms
by (rule abs-summable-on-bounded-linear[unfolded o-def])

lemma summable-on-cblinfun-apply:
assumes <g summable-on S>
shows <( $\lambda x. A *_V g x$ ) summable-on S>
using bounded-clinear.bounded-linear[OF cblinfun.bounded-clinear-right] assms
by (rule summable-on-bounded-linear[unfolded o-def])

lemma summable-on-cblinfun-apply-left:
assumes <A summable-on S>
shows <( $\lambda x. A x *_V g$ ) summable-on S>
using bounded-clinear.bounded-linear[OF cblinfun.bounded-clinear-left] assms
by (rule summable-on-bounded-linear[unfolded o-def])

lemma abs-summable-on-cblinfun-apply-left:
assumes <A abs-summable-on S>
shows <( $\lambda x. A x *_V g$ ) abs-summable-on S>
using bounded-clinear.bounded-linear[OF cblinfun.bounded-clinear-left] assms
by (rule abs-summable-on-bounded-linear[unfolded o-def])

lemma infsum-cblinfun-apply-left:
assumes <A summable-on S>
shows < $\infsum (\lambda x. A x *_V g) S = (\infsum A S) *_V g$ >
apply (rule infsum-bounded-linear[unfolded o-def, of < $\lambda A. \text{cblinfun-apply } A g$ >])
using assms
by (auto simp add: bounded-clinear.bounded-linear bounded-cbilinear-cblinfun-apply)

lemma has-sum-cblinfun-apply-left:
assumes <(A has-sum x) S>
shows <( $\lambda x. A x *_V g$ ) has-sum (x *_V g) S>
apply (rule has-sum-bounded-linear[unfolded o-def, of < $\lambda A. \text{cblinfun-apply } A g$ >])
using assms by (auto simp add: bounded-clinear.bounded-linear cblinfun.bounded-clinear-left)

```

The next eight lemmas logically belong in *Complex-Bounded-Operators.Complex-Inner-Product* but the proofs use facts from this theory.

```

lemma has-sum-cinner-left:
assumes <(f has-sum x) I>
shows <( $\lambda i. \text{cinner } a (f i)$ ) has-sum cinner a x> I
by (metis assms cblinfun-cinner-right.rep_eq has-sum-cblinfun-apply)

lemma summable-on-cinner-left:
assumes <f summable-on I>
shows <( $\lambda i. \text{cinner } a (f i)$ ) summable-on I>
by (metis assms has-sum-cinner-left summable-on-def)

lemma infsum-cinner-left:
assumes < $\varphi$  summable-on I>
shows < $\text{cinner } \psi (\sum_{\infty} i \in I. \varphi i) = (\sum_{\infty} i \in I. \text{cinner } \psi (\varphi i))$ >

```

**by** (*metis assms has-sum-cinner-left has-sum-infsum infsumI*)

**lemma** *has-sum-cinner-right*:  
**assumes**  $\langle f \text{ has-sum } x \rangle I$   
**shows**  $\langle (\lambda i. f i \cdot_C a) \text{ has-sum } (x \cdot_C a) \rangle I$   
**using** *assms has-sum-bounded-linear[unfolded o-def] bounded-antilinear.bounded-linear*  
*bounded-antilinear-cinner-left* **by** *blast*

**lemma** *summable-on-cinner-right*:  
**assumes**  $\langle f \text{ summable-on } I \rangle$   
**shows**  $\langle (\lambda i. f i \cdot_C a) \text{ summable-on } I \rangle$   
**by** (*metis assms has-sum-cinner-right summable-on-def*)

**lemma** *infsum-cinner-right*:  
**assumes**  $\langle \varphi \text{ summable-on } I \rangle$   
**shows**  $\langle (\sum_{\infty} i \in I. \varphi i) \cdot_C \psi = (\sum_{\infty} i \in I. \varphi i \cdot_C \psi) \rangle$   
**by** (*metis assms has-sum-cinner-right has-sum-infsum infsumI*)

**lemma** *Cauchy-cinner-product-summable*:  
**assumes** *asum*:  $\langle a \text{ summable-on } \text{UNIV} \rangle$   
**assumes** *bsum*:  $\langle b \text{ summable-on } \text{UNIV} \rangle$   
**assumes**  $\langle \text{finite } X \rangle \langle \text{finite } Y \rangle$   
**assumes** *pos*:  $\langle \bigwedge x y. x \notin X \implies y \notin Y \implies \text{cinner}(a x) (b y) \geq 0 \rangle$   
**shows** *absum*:  $\langle (\lambda(x, y). \text{cinner}(a x) (b y)) \text{ summable-on } \text{UNIV} \rangle$   
**proof** –  
**have**  $\langle (\sum (x,y) \in F. \text{norm}(\text{cinner}(a x) (b y))) \leq \text{norm}(\text{cinner}(\text{infsum } a (-X)) (\text{infsum } b (-Y))) + \text{norm}(\text{infsum } a (-X)) + \text{norm}(\text{infsum } b (-Y)) + 1 \rangle$   
**if**  $\langle \text{finite } F \rangle$  **and**  $\langle F \subseteq (-X) \times (-Y) \rangle$  **for** *F*  
**proof** –  
**from** *asum*  $\langle \text{finite } X \rangle$   
**have**  $\langle a \text{ summable-on } (-X) \rangle$   
**by** (*simp add: Compl-eq-Diff-UNIV summable-on-cofin-subset*)  
**then obtain** *MA* **where**  $\langle \text{finite } MA \rangle \text{ and } \langle MA \subseteq -X \rangle$   
**and** *MA*:  $\langle G \supseteq MA \implies G \subseteq -X \implies \text{finite } G \implies \text{norm}(\text{sum } a G - \text{infsum } a (-X)) \leq 1 \rangle$  **for** *G*  
**apply** (*simp add: summable-iff-has-sum-infsum has-sum-metric dist-norm*)  
**by** (*meson less-eq-real-def zero-less-one*)  
  
**from** *bsum*  $\langle \text{finite } Y \rangle$   
**have**  $\langle b \text{ summable-on } (-Y) \rangle$   
**by** (*simp add: Compl-eq-Diff-UNIV summable-on-cofin-subset*)  
**then obtain** *MB* **where**  $\langle \text{finite } MB \rangle \text{ and } \langle MB \subseteq -Y \rangle$   
**and** *MB*:  $\langle G \supseteq MB \implies G \subseteq -Y \implies \text{finite } G \implies \text{norm}(\text{sum } b G - \text{infsum } b (-Y)) \leq 1 \rangle$  **for** *G*  
**apply** (*simp add: summable-iff-has-sum-infsum has-sum-metric dist-norm*)  
**by** (*meson less-eq-real-def zero-less-one*)

```

define F1 F2 where ‹F1 = fst ` F ∪ MA› and ‹F2 = snd ` F ∪ MB›
define t1 t2 where ‹t1 = sum a F1 - infsum a (-X)› and ‹t2 = sum b F2
- infsum b (-Y)›

have [simp]: ‹finite F1› ‹finite F2›
  using F1-def F2-def ‹finite MA› ‹finite MB› that by auto
have [simp]: ‹F1 ⊆ -X› ‹F2 ⊆ -Y›
  using ‹F ⊆ (-X) × (-Y)› ‹MA ⊆ -X› ‹MB ⊆ -Y›
  by (auto simp: F1-def F2-def)
from MA[OF - ‹F1 ⊆ -X› ‹finite F1›] have ‹norm t1 ≤ 1›
  by (auto simp: t1-def F1-def)
from MB[OF - ‹F2 ⊆ -Y› ‹finite F2›] have ‹norm t2 ≤ 1›
  by (auto simp: t2-def F2-def)
have [simp]: ‹F ⊆ F1 × F2›
  by (force simp: F1-def F2-def image-def)
have ‹(∑ (x,y) ∈ F. norm (cinner (a x) (b y))) ≤ (∑ (x,y) ∈ F1 × F2. norm
(cinner (a x) (b y)))›
  by (intro sum-mono2) auto
also have ... = (∑ x ∈ F1 × F2. norm (a (fst x) •C b (snd x)))
  by (auto simp: case-prod-beta)
also have ... = norm (∑ x ∈ F1 × F2. a (fst x) •C b (snd x))
proof -
  have (∑ x ∈ F1 × F2. |a (fst x) •C b (snd x)|) = |∑ x ∈ F1 × F2. a (fst x) •C
b (snd x)|
    by (smt (verit, best) pos.sum.cong sum-nonneg ComplD SigmaE ‹F1 ⊆ -X›
      ‹F2 ⊆ -Y› abs-pos prod.sel subset-iff)
  then show ?thesis
    by (smt (verit) abs-complex-def norm-ge-zero norm-of-real o-def of-real-sum
      sum.cong sum-norm-le)
qed
also from pos have ... = norm (∑ (x,y) ∈ F1 × F2. cinner (a x) (b y))
  by (auto simp: case-prod-beta)
also have ... = norm (cinner (sum a F1) (sum b F2))
  by (simp add: sum.cartesian-product sum-cinner)
also have ... = norm (cinner (infsum a (-X) + t1) (infsum b (-Y) + t2))
  by (simp add: t1-def t2-def)
also have ... ≤ norm (cinner (infsum a (-X)) (infsum b (-Y))) + norm
  (infsum a (-X)) * norm t2 + norm t1 * norm (infsum b (-Y)) + norm t1 *
  norm t2
  apply (simp add: cinner-add-right cinner-add-left)
  by (smt (verit, del-insts) complex-inner-class.Cauchy-Schwarz-ineq2 norm-triangle-ineq)
also from ‹norm t1 ≤ 1› ‹norm t2 ≤ 1›
have ... ≤ norm (cinner (infsum a (-X)) (infsum b (-Y))) + norm (infsum
  a (-X)) + norm (infsum b (-Y)) + 1
  by (auto intro!: add-mono mult-left-le mult-left-le-one-le mult-le-one)
finally show ?thesis
  by -
qed

```

```

then have ⟨(λ(x, y). cinner (a x) (b y)) abs-summable-on (−X)×(−Y)⟩
  apply (rule-tac nonneg-bdd-above-summable-on)
  by (auto intro!: bdd-aboveI2 simp: case-prod-unfold)
then have 1: ⟨(λ(x, y). cinner (a x) (b y)) summable-on (−X)×(−Y)⟩
  using abs-summable-summable by blast

from bsum
have ⟨(λy. b y) summable-on (−Y)⟩
  by (simp add: Compl-eq-Diff-UNIV assms(4) summable-on-cofin-subset)
then have ⟨(λy. cinner (a x) (b y)) summable-on (−Y)⟩ for x
  using summable-on-cinner-left by blast
with ⟨finite X⟩ have 2: ⟨(λ(x, y). cinner (a x) (b y)) summable-on X×(−Y)⟩
  by (force intro: summable-on-product-finite-left)

from asum
have ⟨(λx. a x) summable-on (−X)⟩
  by (simp add: Compl-eq-Diff-UNIV assms(3) summable-on-cofin-subset)
then have ⟨(λx. cinner (a x) (b y)) summable-on (−X)⟩ for y
  using summable-on-cinner-right by blast
with ⟨finite Y⟩ have 3: ⟨(λ(x, y). cinner (a x) (b y)) summable-on (−X)×Y⟩
  by (force intro: summable-on-product-finite-right)

have 4: ⟨(λ(x, y). cinner (a x) (b y)) summable-on X×Y⟩
  by (simp add: ⟨finite X⟩ ⟨finite Y⟩)

have §: UNIV = ((−X) × −Y) ∪ (X × −Y) ∪ ((−X) × Y) ∪ (X × Y)
  by auto
show ?thesis
  using 1 2 3 4 by (force simp: § intro!: summable-on-Un-disjoint)
qed

```

A variant of *Series.Cauchy-product-sums* with  $(*)$  replaced by  $(\cdot_C)$ . Differently from *Series.Cauchy-product-sums*, we do not require absolute summability of  $a$  and  $b$  individually but only unconditional summability of  $a$ ,  $b$ , and their product. While on, e.g., reals, unconditional summability is equivalent to absolute summability, in general unconditional summability is a weaker requirement.

Logically belong in *Complex-Bounded-Operators.Complex-Inner-Product* but the proof uses facts from this theory.

```

lemma
  fixes a b :: nat ⇒ 'a::complex-inner
  assumes asum: ⟨a summable-on UNIV⟩
  assumes bsum: ⟨b summable-on UNIV⟩
  assumes absum: ⟨(λ(x, y). cinner (a x) (b y)) summable-on UNIV⟩

  shows Cauchy-cinner-product-infsum: ⟨(∑∞k. ∑i≤k. cinner (a i) (b (k − i))) =
    cinner (∑∞k. a k) (∑∞k. b k)⟩
    and Cauchy-cinner-product-infsum-exists: ⟨(λk. ∑i≤k. cinner (a i) (b (k −

```

```

 $i)))$  summable-on UNIV)
proof -
  have  $\text{img}: \langle(\lambda(k:\text{nat}, i). (i, k - i)) \cdot \{(k, i). i \leq k\} = \text{UNIV}\rangle$ 
    apply (auto simp: image-def)
    by (metis add.commute add-diff-cancel-right' diff-le-self)
  have  $\text{inj}: \langle\text{inj-on } (\lambda(k:\text{nat}, i). (i, k - i)) \{(k, i). i \leq k\}\rangle$ 
    by (smt (verit, del-insts) Pair-inject case-prodE case-prod-conv eq-diff-iff inj-onI
mem-Collect-eq)
  have  $\text{sigma}: \langle(\text{SIGMA } k:\text{UNIV}. \{i. i \leq k\}) = \{(k, i). i \leq k\}\rangle$ 
    by auto

from absum
have  $\S: \langle(\lambda(x, y). \text{cinner}(a y) (b (x - y))) \text{ summable-on } \{(k, i). i \leq k\}\rangle$ 
  by (rule Cauchy-cinner-product-summable'[THEN iffD1])
then have  $\langle(\lambda k. \sum_{i|i \leq k} \text{cinner}(a i) (b (k - i))) \text{ summable-on } \text{UNIV}\rangle$ 
  by (metis (mono-tags, lifting) sigma summable-on-Sigma-banach summable-on-cong)
then show  $\langle(\lambda k. \sum_{i \leq k} \text{cinner}(a i) (b (k - i))) \text{ summable-on } \text{UNIV}\rangle$ 
  by (metis (mono-tags, lifting) atMost-def finite-Collect-le-nat infsum-finite
summable-on-cong)

have  $\langle\text{cinner}(\sum_{\infty} k. a k) (\sum_{\infty} k. b k) = (\sum_{\infty} k. \sum_{\infty} l. \text{cinner}(a k) (b l))\rangle$ 
  by (smt (verit, best) asum bsum infsum-cinner-left infsum-cinner-right inf-
sum-cong)
also have  $\langle\dots = (\sum_{\infty} (k, l). \text{cinner}(a k) (b l))\rangle$ 
  by (smt (verit) UNIV-Times-UNIV infsum-Sigma'-banach infsum-cong lo-
cal.absum)
also have  $\langle\dots = (\sum_{\infty} (k, l) \in (\lambda(k, i). (i, k - i)) \cdot \{(k, i). i \leq k\}). \text{cinner}(a k)$ 
 $(b l))\rangle$ 
  by (simp only: img)
also have  $\langle\dots = \text{infsum}((\lambda(k, l). a k \cdot_C b l) \circ (\lambda(k, i). (i, k - i))) \{(k, i). i \leq$ 
 $k\}\rangle$ 
  using inj by (rule infsum-reindex)
also have  $\langle\dots = (\sum_{\infty} (k, i) | i \leq k. a i \cdot_C b (k - i))\rangle$ 
  by (simp add: o-def case-prod-unfold)
also have  $\langle\dots = (\sum_{\infty} k. \sum_{\infty} i | i \leq k. a i \cdot_C b (k - i))\rangle$ 
  by (metis (no-types) § infsum-Sigma'-banach sigma)
also have  $\langle\dots = (\sum_{\infty} k. \sum_{i \leq k} a i \cdot_C b (k - i))\rangle$ 
  by (simp add: atMost-def)
finally show  $\langle(\sum_{\infty} k. \sum_{i \leq k} a i \cdot_C b (k - i)) = (\sum_{\infty} k. a k) \cdot_C (\sum_{\infty} k. b k)\rangle$ 
  by simp
qed

```

```

lemma CBlinfun-plus:
assumes [simp]:  $\langle\text{bounded-clinear } f\rangle \langle\text{bounded-clinear } g\rangle$ 
shows  $\langle\text{CBlinfun } (f + g) = \text{CBlinfun } f + \text{CBlinfun } g\rangle$ 
by (auto intro!: cblinfun-eqI simp: plus-fun-def bounded-clinear-add CBlinfun-inverse
cblinfun.add-left)

```

```

lemma CBlinfun-scaleC:
  assumes <bounded-clinear f>
  shows <CBlinfun ( $\lambda y. c *_C f y$ ) =  $c *_C CBlinfun f$ >
  by (simp add: assms eq-onp-same-args scaleC-cblinfun.abs-eq)

lemma cinner-sup-norm-cblinfun:
  fixes A :: <'a::{complex-normed-vector,not-singleton}  $\Rightarrow_{CL}$  'b::complex-inner>
  shows <norm A = (SUP ( $\psi, \varphi$ ). cmod (cinner  $\psi$  (A *V  $\varphi$ )) / (norm  $\psi$  * norm  $\varphi$ ))>
  apply transfer
  apply (rule cinner-sup-onorm)
  by (simp add: bounded-clinear.bounded-linear)

lemma norm-cblinfun-Sup: <norm A = (SUP  $\psi$ . norm (A *V  $\psi$ ) / norm  $\psi$ )>
  by (simp add: norm-cblinfun.rep-eq onorm-def)

lemma cblinfun-eq-on:
  fixes A B :: 'a::cbanach  $\Rightarrow_{CL}$  'b::complex-normed-vector
  assumes  $\bigwedge x. x \in G \implies A *_V x = B *_V x$  and  $\langle t \in \text{closure} (\text{ccspan } G) \rangle$ 
  shows  $A *_V t = B *_V t$ 
  using assms
  apply transfer
  using bounded-clinear-eq-on-closure by blast

lemma cblinfun-eq-gen-eqI:
  fixes A B :: 'a::cbanach  $\Rightarrow_{CL}$  'b::complex-normed-vector
  assumes  $\bigwedge x. x \in G \implies A *_V x = B *_V x$  and  $\langle \text{ccspan } G = \top \rangle$ 
  shows  $A = B$ 
  by (metis assms cblinfun-eqI cblinfun-eq-on ccspan.rep-eq iso-tuple-UNIV-I top-ccsubspace.rep-eq)

declare cnj-bounded-antilinear[bounded-antilinear]

lemma Cblinfun-comp-bounded-cbilinear: <bounded-clinear (CBlinfun o p)> if <bounded-cbilinear p>
  by (metis bounded-cbilinear.bounded-clinear-prod-right bounded-cbilinear.prod-right-def comp-id map-fun-def that)

lemma Cblinfun-comp-bounded-sesquilinear: <bounded-antilinear (CBlinfun o p)>
  if <bounded-sesquilinear p>
  by (metis (mono-tags, opaque-lifting) bounded-clinear-CBlinfun-apply bounded-sesquilinear.bounded-clinear-ri comp-apply that transfer-bounded-sesquilinear-bounded-antilinearI)

```

### 13.2 Relationship to real bounded operators ( $\cdot \Rightarrow_L \cdot$ )

```

instantiation blinfun :: (real-normed-vector, complex-normed-vector) complex-normed-vector
begin
lift-definition scaleC-blinfun :: <complex  $\Rightarrow$ 
```

```

('a::real-normed-vector, 'b::complex-normed-vector) blinfun =>
('a, 'b) blinfun
  is <λ c::complex. λ f::'a⇒'b. (λ x. c *C (f x))>
proof
  fix c::complex and f :: <'a⇒'b> and b1::'a and b2::'a
  assume <bounded-linear f>
  show <c *C f (b1 + b2) = c *C f b1 + c *C f b2>
    by (simp add: <bounded-linear f> linear-simps scaleC-add-right)

  fix c::complex and f :: <'a⇒'b> and b::'a and r::real
  assume <bounded-linear f>
  show <c *C f (r *R b) = r *R (c *C f b)>
    by (simp add: <bounded-linear f> linear-simps(5) scaleR-scaleC)

  fix c::complex and f :: <'a⇒'b>
  assume <bounded-linear f>

  have <∃ K. ∀ x. norm (f x) ≤ norm x * K>
    using <bounded-linear f>
    by (simp add: bounded-linear.bounded)
  then obtain K where <∀ x. norm (f x) ≤ norm x * K>
    by blast
  have <cmod c ≥ 0>
    by simp
  hence <∀ x. (cmod c) * norm (f x) ≤ (cmod c) * norm x * K>
    using <∀ x. norm (f x) ≤ norm x * K>
    by (metis ordered-comm-semiring-class.comm-mult-left-mono vector-space-over-itself.scale-scale)
  moreover have <norm (c *C f x) = (cmod c) * norm (f x)>
    for x
    by simp
  ultimately show <∃ K. ∀ x. norm (c *C f x) ≤ norm x * K>
    by (metis ab-semigroup-mult-class.mult-ac(1) mult.commute)
qed

instance
proof
  have r *R x = complex-of-real r *C x
    for x :: ('a, 'b) blinfun and r
    by transfer (simp add: scaleR-scaleC)
  thus ((*R) r::'a ⇒L 'b ⇒ -) = (*C) (complex-of-real r) for r
    by auto
  show a *C (x + y) = a *C x + a *C y
    for a :: complex and x y :: 'a ⇒L 'b
    by transfer (simp add: scaleC-add-right)

  show (a + b) *C x = a *C x + b *C x
    for a b :: complex and x :: 'a ⇒L 'b
    by transfer (simp add: scaleC-add-left)

```

```

show a *C b *C x = (a * b) *C x
  for a b :: complex and x :: 'a ⇒L 'b
  by transfer simp

have ‹1 *C f x = f x›
  for f :: ‹'a ⇒ 'b› and x
  by auto
thus 1 *C x = x
  for x :: 'a ⇒L 'b
  by (simp add: scaleC-blinfun.rep-eq blinfun-eqI)

have ‹onorm (λx. a *C f x) = cmod a * onorm f›
  if ‹bounded-linear f›
  for f :: ‹'a ⇒ 'b› and a :: complex
proof-
  have ‹cmod a ≥ 0›
  by simp
  have ‹∃ K::real. ∀ x. (| ereal ((norm (f x)) / (norm x)) |) ≤ K›
    using ‹bounded-linear f› le-onorm by fastforce
  then obtain K::real where ‹∀ x. (| ereal ((norm (f x)) / (norm x)) |) ≤ K›
    by blast
  hence ‹∀ x. (cmod a) * (| ereal ((norm (f x)) / (norm x)) |) ≤ (cmod a) * K›
    using ‹cmod a ≥ 0›
    by (metis abs-ereal.simps(1) abs-ereal-pos abs-pos ereal-mult-left-mono
      times-ereal.simps(1))
  hence ‹∀ x. (| ereal ((cmod a) * (norm (f x)) / (norm x)) |) ≤ (cmod a) * K›
    by simp
  hence ‹bdd-above {ereal (cmod a * (norm (f x)) / (norm x)) | x. True}›
    by simp
  moreover have ‹{ereal (cmod a * (norm (f x)) / (norm x)) | x. True} ≠ {}›
    by auto
  ultimately have p1: ‹(SUP x. |ereal (cmod a * (norm (f x)) / (norm x))|) ≤ cmod a * K›
    using ‹∀ x. |ereal (cmod a * (norm (f x)) / (norm x))| ≤ cmod a * K›
    Sup-least mem-Collect-eq
    by (simp add: SUP-le-iff)
  have p2: ‹∀ i ∈ UNIV ⇒ 0 ≤ ereal (cmod a * norm (f i) / norm i)›
    by simp
  hence ‹|SUP x. ereal (cmod a * (norm (f x)) / (norm x))|
    ≤ (SUP x. |ereal (cmod a * (norm (f x)) / (norm x))|)›
    using ‹bdd-above {ereal (cmod a * (norm (f x)) / (norm x)) | x. True}›
    ‹{ereal (cmod a * (norm (f x)) / (norm x)) | x. True} ≠ {}›
    by (metis (mono-tags, lifting) SUP-upper2 Sup.SUP-cong UNIV-I
      p2 abs-ereal-ge0 ereal-le-real)
  hence ‹|SUP x. ereal (cmod a * (norm (f x)) / (norm x))| ≤ cmod a * K›
    using ‹(SUP x. |ereal (cmod a * (norm (f x)) / (norm x))|) ≤ cmod a * K›
    by simp
  hence ‹| ( SUP i ∈ UNIV::'a set. ereal ((λ x. (cmod a) * (norm (f x)) / norm
    (f i))) |) ≤ cmod a * K›
    by (simp add: SUP-le-iff)

```

```

x) i)) | ≠ ∞›
  by auto
  hence w2: ‹( SUP i∈UNIV::'a set. ereal ((λ x. cmod a * (norm (f x)) / norm
x) i)) =
    = ereal ( Sup ((λ x. cmod a * (norm (f x)) / norm x) ` (UNIV::'a set)
))›
  by (simp add: ereal-SUP)
  have ‹(UNIV::('a set)) ≠ {}›
    by simp
  moreover have ‹∀ i. i ∈ (UNIV::('a set)) ⟹ (λ x. (norm (f x)) / norm x :: ereal) i ≥ 0›
    by simp
  moreover have ‹cmod a ≥ 0›
    by simp
  ultimately have ‹(SUP i∈(UNIV::('a set)). ((cmod a)::ereal) * (λ x. (norm
(f x)) / norm x :: ereal) i ) =
    = ((cmod a)::ereal) * ( SUP i∈(UNIV::('a set)). (λ x. (norm (f x)) / norm
x :: ereal) i )›
    by (simp add: Sup-ereal-mult-left)
  hence ‹(SUP x. ((cmod a)::ereal) * ( (norm (f x)) / norm x :: ereal) ) =
    = ((cmod a)::ereal) * ( SUP x. ( (norm (f x)) / norm x :: ereal) )›
    by simp
  hence z1: ‹real-of-ereal ( (SUP x. ((cmod a)::ereal) * ( (norm (f x)) / norm x
:: ereal) ) ) =
    = real-of-ereal ( ((cmod a)::ereal) * ( SUP x. ( (norm (f x)) / norm x :: ereal) ) )›
    by simp
  have z2: ‹real-of-ereal (SUP x. ((cmod a)::ereal) * ( (norm (f x)) / norm x :: ereal) ) =
    = (SUP x. cmod a * (norm (f x) / norm x))›
  using w2
  by auto
  have ‹real-of-ereal ( ((cmod a)::ereal) * ( SUP x. ( (norm (f x)) / norm x :: ereal) ) ) =
    = (cmod a) * real-of-ereal ( SUP x. ( (norm (f x)) / norm x :: ereal) )›
  by simp
  moreover have ‹real-of-ereal ( SUP x. ( (norm (f x)) / norm x :: ereal) ) =
    = ( SUP x. ((norm (f x)) / norm x) )›
  proof-
    have ‹| ( SUP i∈UNIV::'a set. ereal ((λ x. (norm (f x)) / norm x) i)) | ≠
∞›
    proof-
      have ‹∃ K::real. ∀ x. (| ereal ((norm (f x)) / (norm x)) |) ≤ K›
        using ‹bounded-linear f› le-onorm by fastforce
      then obtain K::real where ‹∀ x. (| ereal ((norm (f x)) / (norm x)) |) ≤
K›
        by blast
      hence ‹bdd-above {ereal ((norm (f x)) / (norm x)) | x. True}›

```

```

    by simp
  moreover have ‹{ereal ((norm (f x)) / (norm x)) | x. True} ≠ {}›
    by auto
  ultimately have ‹(SUP x. |ereal ((norm (f x)) / (norm x))|) ≤ K›
    using ‹∀ x. |ereal ((norm (f x)) / (norm x))| ≤ K›
      Sup-least mem-Collect-eq
    by (simp add: SUP-le-iff)
  hence ‹|SUP x. ereal ((norm (f x)) / (norm x))|
    ≤ (SUP x. |ereal ((norm (f x)) / (norm x))|)›
    using ‹bdd-above {ereal ((norm (f x)) / (norm x)) | x. True}›
      ‹{ereal ((norm (f x)) / (norm x)) | x. True} ≠ {}›
    by (metis (mono-tags, lifting) SUP-upper2 Sup.SUP-cong UNIV-I ‹⋀ i. i
      ∈ UNIV ⟹ 0 ≤ ereal (norm (f i) / norm i)› abs-ereal-ge0 ereal-le-real)
  hence ‹|SUP x. ereal ((norm (f x)) / (norm x))| ≤ K›
    using ‹(SUP x. |ereal ((norm (f x)) / (norm x))|) ≤ K›
    by simp
  thus ?thesis
    by auto
qed
hence ‹( SUP i∈UNIV::'a set. ereal ((λ x. (norm (f x)) / norm x) i) =
  = ereal ( Sup ((λ x. (norm (f x)) / norm x) ` (UNIV::'a set)))›
  by (simp add: ereal-SUP)
thus ?thesis
  by simp
qed
have z3: ‹real-of-ereal ( ((cmod a)::ereal) * ( SUP x. ( (norm (f x)) / norm x
  :: ereal) ) ) =
  = cmod a * (SUP x. norm (f x) / norm x)›
  by (simp add: ‹real-of-ereal (SUP x. ereal (norm (f x) / norm x)) = (SUP x.
  norm (f x) / norm x)›)
hence w1: ‹(SUP x. cmod a * (norm (f x) / norm x)) =
  cmod a * (SUP x. norm (f x) / norm x)›
  using z1 z2 by linarith
have v1: ‹onorm (λx. a *C f x) = (SUP x. norm (a *C f x) / norm x)›
  by (simp add: onorm-def)
have v2: ‹(SUP x. norm (a *C f x) / norm x) = (SUP x. ((cmod a) * norm (f
  x)) / norm x)›
  by simp
have v3: ‹(SUP x. ((cmod a) * norm (f x)) / norm x) = (SUP x. (cmod a) *
  ((norm (f x)) / norm x))›
  by simp
have v4: ‹(SUP x. (cmod a) * ((norm (f x)) / norm x)) = (cmod a) * (SUP
  x. ((norm (f x)) / norm x))›
  using w1
  by blast
show ‹onorm (λx. a *C f x) = cmod a * onorm f›
  using v1 v2 v3 v4
  by (metis (mono-tags, lifting) onorm-def)
qed

```

```

thus <norm (a *C x) = cmod a * norm x>
  for a::complex and x::('a, 'b) blinfun>
    by transfer blast
qed
end

lemma clinear-blinfun-compose-left: <clinear (λx. blinfun-compose x y)>
  by (auto intro!: clinearI simp: blinfun-eqI scaleC-blinfun.rep_eq bounded-bilinear.add-left
           bounded-bilinear-blinfun-compose)

instance blinfun :: (real-normed-vector, cbanach) cbanach..

lemma blinfun-compose-assoc: (A oL B) oL C = A oL (B oL C)
  by (simp add: blinfun-eqI)

lift-definition blinfun-of-cblinfun::<'a::complex-normed-vector ⇒CL 'b::complex-normed-vector
  ⇒ 'a ⇒L 'b> is id
  by transfer (simp add: bounded-clinear.bounded-linear)

lift-definition blinfun-cblinfun-eq :: 
  <'a ⇒L 'b ⇒ 'a::complex-normed-vector ⇒CL 'b::complex-normed-vector ⇒ bool>
is (=) .

lemma blinfun-cblinfun-eq-bi-unique[transfer-rule]: <bi-unique blinfun-cblinfun-eq>
  unfolding bi-unique-def by transfer auto

lemma blinfun-cblinfun-eq-right-total[transfer-rule]: <right-total blinfun-cblinfun-eq>
  unfolding right-total-def by transfer (simp add: bounded-clinear.bounded-linear)

named-theorems cblinfun-blinfun-transfer

lemma cblinfun-blinfun-transfer-0[cblinfun-blinfun-transfer]:
  blinfun-cblinfun-eq (0::(-,-) blinfun) (0::(-,-) cblinfun)
  by transfer simp

lemma cblinfun-blinfun-transfer-plus[cblinfun-blinfun-transfer]:
  includes lifting-syntax
  shows (blinfun-cblinfun-eq ==⇒ blinfun-cblinfun-eq ==⇒ blinfun-cblinfun-eq)
(+)(+)
  unfolding rel-fun-def by transfer auto

lemma cblinfun-blinfun-transfer-minus[cblinfun-blinfun-transfer]:
  includes lifting-syntax
  shows (blinfun-cblinfun-eq ==⇒ blinfun-cblinfun-eq ==⇒ blinfun-cblinfun-eq)
(-)(-)
  unfolding rel-fun-def by transfer auto

lemma cblinfun-blinfun-transfer-uminus[cblinfun-blinfun-transfer]:

```

```

includes lifting-syntax
shows (blinfun-cblinfun-eq ==> blinfun-cblinfun-eq) (uminus) (uminus)
unfolding rel-fun-def by transfer auto

definition real-complex-eq r c <→ complex-of-real r = c

lemma bi-unique-real-complex-eq[transfer-rule]: ⟨bi-unique real-complex-eq⟩
unfoldng real-complex-eq-def bi-unique-def by auto

lemma left-total-real-complex-eq[transfer-rule]: ⟨left-total real-complex-eq⟩
unfoldng real-complex-eq-def left-total-def by auto

lemma cblinfun-blinfun-transfer-scaleC[cblinfun-blinfun-transfer]:
includes lifting-syntax
shows (real-complex-eq ==> blinfun-cblinfun-eq ==> blinfun-cblinfun-eq)
(scaleR) (scaleC)
unfoldng rel-fun-def by transfer (simp add: real-complex-eq-def scaleR-scaleC)

lemma cblinfun-blinfun-transfer-CBlinfun[cblinfun-blinfun-transfer]:
includes lifting-syntax
shows (eq-onp bounded-clinear ==> blinfun-cblinfun-eq) Blinfun CBlinfun
unfoldng rel-fun-def blinfun-cblinfun-eq.rep-eq eq-onp-def
by (auto simp: CBlinfun-inverse Blinfun-inverse bounded-clinear.bounded-linear)

lemma cblinfun-blinfun-transfer-norm[cblinfun-blinfun-transfer]:
includes lifting-syntax
shows (blinfun-cblinfun-eq ==> (=)) norm norm
unfoldng rel-fun-def by transfer auto

lemma cblinfun-blinfun-transfer-dist[cblinfun-blinfun-transfer]:
includes lifting-syntax
shows (blinfun-cblinfun-eq ==> blinfun-cblinfun-eq ==> (=)) dist dist
unfoldng rel-fun-def dist-norm by transfer auto

lemma cblinfun-blinfun-transfer-sgn[cblinfun-blinfun-transfer]:
includes lifting-syntax
shows (blinfun-cblinfun-eq ==> blinfun-cblinfun-eq) sgn sgn
unfoldng rel-fun-def sgn-blinfun-def sgn-cblinfun-def by transfer (auto simp:
scaleR-scaleC)

lemma cblinfun-blinfun-transfer-Cauchy[cblinfun-blinfun-transfer]:
includes lifting-syntax
shows (((=) ==> blinfun-cblinfun-eq) ==> (=)) Cauchy Cauchy
proof –
note cblinfun-blinfun-transfer[transfer-rule]
show ?thesis
unfoldng Cauchy-def
by transfer-prover
qed

```

```

lemma cblinfun-blinfun-transfer-tendsto[cblinfun-blinfun-transfer]:
  includes lifting-syntax
  shows ((( $=$ )  $\Longrightarrow$  blinfun-cblinfun-eq)  $\Longrightarrow$  blinfun-cblinfun-eq  $\Longrightarrow$  ( $=$ )
 $\Longrightarrow$  ( $=$ )) tendsto tendsto
proof -
  note cblinfun-blinfun-transfer[transfer-rule]
  show ?thesis
    unfolding tendsto-iff
    by transfer-prover
qed

lemma cblinfun-blinfun-transfer-compose[cblinfun-blinfun-transfer]:
  includes lifting-syntax
  shows (blinfun-cblinfun-eq  $\Longrightarrow$  blinfun-cblinfun-eq  $\Longrightarrow$  blinfun-cblinfun-eq)
( $o_L$ ) ( $o_{CL}$ )
  unfolding rel-fun-def by transfer auto

lemma cblinfun-blinfun-transfer-apply[cblinfun-blinfun-transfer]:
  includes lifting-syntax
  shows (blinfun-cblinfun-eq  $\Longrightarrow$  ( $=$ )  $\Longrightarrow$  ( $=$ )) blinfun-apply cblinfun-apply
  unfolding rel-fun-def by transfer auto

lemma blinfun-of-cblinfun-inj:
   $\langle$  blinfun-of-cblinfun  $f =$  blinfun-of-cblinfun  $g \Rightarrow f = g\rangle$ 
  by (metis cblinfun-apply-inject blinfun-of-cblinfun.rep-eq)

lemma blinfun-of-cblinfun-inv:
  assumes  $\bigwedge c. \bigwedge x. f *_v (c *_C x) = c *_C (f *_v x)$ 
  shows  $\exists g. \text{blinfun-of-cblinfun } g = f$ 
  using assms
proof transfer
  show  $\exists g \in \text{Collect bounded-clinear}. \text{id } g = f$ 
    if bounded-linear  $f$ 
      and  $\bigwedge c x. f (c *_C x) = c *_C f x$ 
      for  $f :: 'a \Rightarrow 'b$ 
      using that bounded-linear-bounded-clinear by auto
qed

lemma blinfun-of-cblinfun-zero:
   $\langle$  blinfun-of-cblinfun  $0 = 0\rangle$ 
  by transfer simp

lemma blinfun-of-cblinfun-uminus:
   $\langle$  blinfun-of-cblinfun  $(- f) = - (\text{blinfun-of-cblinfun } f)\rangle$ 
  by transfer auto

lemma blinfun-of-cblinfun-minus:
   $\langle$  blinfun-of-cblinfun  $(f - g) = \text{blinfun-of-cblinfun } f - \text{blinfun-of-cblinfun } g\rangle$ 

```

by transfer auto

```
lemma blinfun-of-cblinfun-scaleC:  
  <blinfun-of-cblinfun (c *C f) = c *C (blinfun-of-cblinfun f)>  
  by transfer auto
```

```
lemma blinfun-of-cblinfun-scaleR:  
  <blinfun-of-cblinfun (c *R f) = c *R (blinfun-of-cblinfun f)>  
  by transfer auto
```

```
lemma blinfun-of-cblinfun-norm:  
  fixes f::'a::complex-normed-vector ⇒CL 'b::complex-normed-vector  
  shows <norm f = norm (blinfun-of-cblinfun f)>  
  by transfer auto
```

```
lemma blinfun-of-cblinfun-cblinfun-compose:  
  fixes f::'b::complex-normed-vector ⇒CL 'c::complex-normed-vector  
  and g::'a::complex-normed-vector ⇒CL 'b  
  shows <blinfun-of-cblinfun (f oCL g) = (blinfun-of-cblinfun f) oL (blinfun-of-cblinfun g)>  
  by transfer auto
```

### 13.3 Composition

```
lemma cblinfun-compose-assoc:  
  shows (A oCL B) oCL C = A oCL (B oCL C)  
  by (metis (no-types, lifting) cblinfun-apply-inject fun.map-comp cblinfun-compose.rep-eq)
```

```
lemma cblinfun-compose-zero-right[simp]: U oCL 0 = 0  
  using bounded-cbilinear.zero-right bounded-cbilinear-cblinfun-compose by blast
```

```
lemma cblinfun-compose-zero-left[simp]: 0 oCL U = 0  
  using bounded-cbilinear.zero-left bounded-cbilinear-cblinfun-compose by blast
```

```
lemma cblinfun-compose-scaleC-left[simp]:  
  fixes A::'b::complex-normed-vector ⇒CL 'c::complex-normed-vector  
  and B::'a::complex-normed-vector ⇒CL 'b  
  shows <(a *C A) oCL B = a *C (A oCL B)>  
  by (simp add: bounded-cbilinear.scaleC-left bounded-cbilinear-cblinfun-compose)
```

```
lemma cblinfun-compose-scaleR-left[simp]:  
  fixes A::'b::complex-normed-vector ⇒CL 'c::complex-normed-vector  
  and B::'a::complex-normed-vector ⇒CL 'b  
  shows <(a *R A) oCL B = a *R (A oCL B)>  
  by (simp add: scaleR-scaleC)
```

```
lemma cblinfun-compose-scaleC-right[simp]:  
  fixes A::'b::complex-normed-vector ⇒CL 'c::complex-normed-vector  
  and B::'a::complex-normed-vector ⇒CL 'b
```

```

shows ⟨ $A \circ_{CL} (a *_C B) = a *_C (A \circ_{CL} B)by transfer (auto intro!: ext bounded-clinear.clinear complex-vector.linear-scale)

lemma cblinfun-compose-scaleR-right[simp]:
  fixes  $A::'b::\text{complex-normed-vector} \Rightarrow_{CL} 'c::\text{complex-normed-vector}$ 
  and  $B::'a::\text{complex-normed-vector} \Rightarrow_{CL} 'b$ 
shows ⟨ $A \circ_{CL} (a *_R B) = a *_R (A \circ_{CL} B)by (simp add: scaleR-scaleC)

lemma cblinfun-compose-id-right[simp]:
shows  $U \circ_{CL} \text{id-cblinfun} = U$ 
by transfer auto

lemma cblinfun-compose-id-left[simp]:
shows  $\text{id-cblinfun} \circ_{CL} U = U$ 
by transfer auto

lemma cblinfun-compose-add-left: ⟨ $(a + b) \circ_{CL} c = (a \circ_{CL} c) + (b \circ_{CL} c)by (simp add: bounded-cbilinear.add-left bounded-cbilinear-cblinfun-compose)

lemma cblinfun-compose-add-right: ⟨ $a \circ_{CL} (b + c) = (a \circ_{CL} b) + (a \circ_{CL} c)by (simp add: bounded-cbilinear.add-right bounded-cbilinear-cblinfun-compose)

lemma cbilinear-cblinfun-compose[simp]: cbilinear cblinfun-compose
by (auto intro!: clinearI simp add: cbilinear-def bounded-cbilinear.add-left bounded-cbilinear.add-right
  bounded-cbilinear-cblinfun-compose)

lemma cblinfun-compose-sum-left: ⟨ $(\sum i \in S. g i) \circ_{CL} x = (\sum i \in S. g i \circ_{CL} x)by (induction S rule:infinite-finite-induct) (auto simp: cblinfun-compose-add-left)

lemma cblinfun-compose-sum-right: ⟨ $x \circ_{CL} (\sum i \in S. g i) = (\sum i \in S. x \circ_{CL} g i)by (induction S rule:infinite-finite-induct) (auto simp: cblinfun-compose-add-right)

lemma cblinfun-compose-minus-right: ⟨ $a \circ_{CL} (b - c) = (a \circ_{CL} b) - (a \circ_{CL} c)by (simp add: bounded-cbilinear.diff-right bounded-cbilinear-cblinfun-compose)
lemma cblinfun-compose-minus-left: ⟨ $(a - b) \circ_{CL} c = (a \circ_{CL} c) - (b \circ_{CL} c)by (simp add: bounded-cbilinear.diff-left bounded-cbilinear-cblinfun-compose)

lemma simp-a-oCL-b: ⟨ $a \circ_{CL} b = c \implies a \circ_{CL} (b \circ_{CL} d) = c \circ_{CL} d$ ⟩
  — A convenience lemma to transform simplification rules of the form  $a \circ_{CL} b = c$ . E.g., simp-a-oCL-b[OF isometryD, simp] declares a simp-rule for simplifying  $adj x \circ_{CL} (x \circ_{CL} y) = id\text{-cblinfun} \circ_{CL} y$ .
by (auto simp: cblinfun-compose-assoc)

lemma simp-a-oCL-b': ⟨ $a \circ_{CL} b = c \implies a *_V (b *_V d) = c *_V d$ ⟩
  — A convenience lemma to transform simplification rules of the form  $a \circ_{CL} b = c$ . E.g., simp-a-oCL-b'[OF isometryD, simp] declares a simp-rule for simplifying  $adj x *_V x *_V y = id\text{-cblinfun} *_V y$ .$$$$$$$$ 
```

by auto

**lemma** *cblinfun-compose-uminus-left*:  $\langle (- a) o_{CL} b = - (a o_{CL} b) \rangle$   
  **by** (*simp add: bounded-cbilinear.minus-left bounded-cbilinear-cblinfun-compose*)

**lemma** *cblinfun-compose-uminus-right*:  $\langle a o_{CL} (- b) = - (a o_{CL} b) \rangle$   
  **by** (*simp add: bounded-cbilinear.minus-right bounded-cbilinear-cblinfun-compose*)

**lemma** *bounded-clinear-cblinfun-compose-left*:  $\langle \text{bounded-clinear } (\lambda x. x o_{CL} y) \rangle$   
  **by** (*simp add: bounded-cbilinear.bounded-clinear-left bounded-cbilinear-cblinfun-compose*)  
**lemma** *bounded-clinear-cblinfun-compose-right*:  $\langle \text{bounded-clinear } (\lambda y. x o_{CL} y) \rangle$   
  **by** (*simp add: bounded-cbilinear.bounded-clinear-right bounded-cbilinear-cblinfun-compose*)  
**lemma** *clinear-cblinfun-compose-left*:  $\langle \text{clinear } (\lambda x. x o_{CL} y) \rangle$   
  **by** (*simp add: bounded-cbilinear.bounded-clinear-left bounded-cbilinear-cblinfun-compose bounded-clinear.clinear*)  
**lemma** *clinear-cblinfun-compose-right*:  $\langle \text{clinear } (\lambda y. x o_{CL} y) \rangle$   
  **by** (*simp add: bounded-clinear.clinear bounded-clinear-cblinfun-compose-right*)

**lemma** *additive-cblinfun-compose-left*[*simp*]:  $\langle \text{Modules.additive } (\lambda x. x o_{CL} a) \rangle$   
  **by** (*simp add: Modules.additive-def cblinfun-compose-add-left*)  
**lemma** *additive-cblinfun-compose-right*[*simp*]:  $\langle \text{Modules.additive } (\lambda x. a o_{CL} x) \rangle$   
  **by** (*simp add: Modules.additive-def cblinfun-compose-add-right*)  
**lemma** *isCont-cblinfun-compose-left*:  $\langle \text{isCont } (\lambda x. x o_{CL} a) y \rangle$   
  **apply** (*rule clinear-continuous-at*)  
  **by** (*rule bounded-clinear-cblinfun-compose-left*)  
**lemma** *isCont-cblinfun-compose-right*:  $\langle \text{isCont } (\lambda x. a o_{CL} x) y \rangle$   
  **apply** (*rule clinear-continuous-at*)  
  **by** (*rule bounded-clinear-cblinfun-compose-right*)

**lemma** *cspan-compose-closed*:  
  **assumes**  $\langle \bigwedge a b. a \in A \implies b \in A \implies a o_{CL} b \in A \rangle$   
  **assumes**  $\langle a \in \text{cspan } A \rangle$  **and**  $\langle b \in \text{cspan } A \rangle$   
  **shows**  $\langle a o_{CL} b \in \text{cspan } A \rangle$   
**proof** –  
  **from**  $\langle a \in \text{cspan } A \rangle$   
  **obtain**  $F f$  **where**  $\langle \text{finite } F \rangle$  **and**  $\langle F \subseteq A \rangle$  **and**  $a\text{-def}$ :  $\langle a = (\sum_{x \in F} f x *_C x) \rangle$   
    **by** (*smt (verit, del-insts) complex-vector.span-explicit mem-Collect-eq*)  
  **from**  $\langle b \in \text{cspan } A \rangle$   
  **obtain**  $G g$  **where**  $\langle \text{finite } G \rangle$  **and**  $\langle G \subseteq A \rangle$  **and**  $b\text{-def}$ :  $\langle b = (\sum_{x \in G} g x *_C x) \rangle$   
    **by** (*smt (verit, del-insts) complex-vector.span-explicit mem-Collect-eq*)  
  **have**  $\langle a o_{CL} b = (\sum_{(x,y) \in F \times G} (f x *_C x) o_{CL} (g y *_C y)) \rangle$   
    **apply** (*simp add: a-def b-def cblinfun-compose-sum-left*)  
    **by** (*auto intro!: sum.cong simp add: cblinfun-compose-sum-right scaleC-sum-right sum.cartesian-product case-prod-beta*)  
  **also have**  $\langle \dots = (\sum_{(x,y) \in F \times G} (f x * g y) *_C (x o_{CL} y)) \rangle$   
    **by** (*metis (no-types, opaque-lifting) cblinfun-compose-scaleC-left cblinfun-compose-scaleC-right scaleC-scaleC*)  
  **also have**  $\langle \dots \in \text{cspan } A \rangle$

```

using assms ⟨ $G \subseteq A$ ⟩ ⟨ $F \subseteq A$ ⟩
apply (auto intro!: complex-vector.span-sum complex-vector.span-scale
    simp: complex-vector.span-clauses)
using complex-vector.span-clauses(1) by blast
finally show ?thesis
by –
qed

```

### 13.4 Adjoint

**lift-definition**

```

adj :: ' $a$ ::chilbert-space  $\Rightarrow_{CL}$  ' $b$ ::complex-inner  $\Rightarrow$  ' $b$   $\Rightarrow_{CL}$  ' $a$  ( $\Leftarrow\Rightarrow$  [99] 100)
is adjoint by (fact adjoint-bounded-clinear)

```

```

definition selfadjoint :: ⟨(' $a$ ::chilbert-space  $\Rightarrow_{CL}$  ' $a$ )  $\Rightarrow$  bool⟩ where
⟨selfadjoint  $a$   $\longleftrightarrow$   $a^* = a$ ⟩

```

```

lemma id-cblinfun-adjoint[simp]:  $id\text{-}cblinfun^* = id\text{-}cblinfun$ 
by (metis adj.rep_eq apply-id-cblinfun cadjoint-id cblinfun-apply-inject)

```

```

lemma double-adj[simp]:  $(A^*)^* = A$ 
apply transfer using double-cadjoint by blast

```

```

lemma adj-cblinfun-compose[simp]:
fixes  $B$ ::⟨' $a$ ::chilbert-space  $\Rightarrow_{CL}$  ' $b$ ::chilbert-space⟩
and  $A$ ::⟨' $b$   $\Rightarrow_{CL}$  ' $c$ ::complex-inner⟩
shows  $(A \circ_{CL} B)^* = (B^*) \circ_{CL} (A^*)$ 
proof transfer
fix  $A$ ::⟨' $b$   $\Rightarrow$  ' $c$ ⟩ and  $B$ ::⟨' $a$   $\Rightarrow$  ' $b$ ⟩
assume ⟨bounded-clinear  $A$ ⟩ and ⟨bounded-clinear  $B$ ⟩
hence ⟨bounded-clinear  $(A \circ B)$ ⟩
by (simp add: comp-bounded-clinear)
have ⟨ $((A \circ B) u \cdot_C v) = (u \cdot_C (B^\dagger \circ A^\dagger) v)$ ⟩
for  $u v$ 
by (metis (no-types, lifting) cadjoint-univ-prop ⟨bounded-clinear  $A$ ⟩ ⟨bounded-clinear  $B$ ⟩ cinner-commute' comp-def)
thus ⟨ $(A \circ B)^\dagger = B^\dagger \circ A^\dagger$ ⟩
using ⟨bounded-clinear  $(A \circ B)$ ⟩
by (metis cadjoint-eqI cinner-commute')
qed

```

```

lemma scaleC-adj[simp]:  $(a *_C A)^* = (cnj a) *_C (A^*)$ 
by transfer (simp add: bounded-clinear.bounded-linear bounded-clinear-def complex-vector.linear-scale scaleC-cadjoint)

```

```

lemma scaleR-adj[simp]:  $(a *_R A)^* = a *_R (A^*)$ 
by (simp add: scaleR-scaleC)

```

```

lemma adj-plus: ⟨ $(A + B)^* = (A^*) + (B^*)$ ⟩

```

```

proof transfer
fix A B::'b ⇒ 'a
assume a1: ⟨bounded-clinear A⟩ and a2: ⟨bounded-clinear B⟩
define F where ⟨F = (λx. (A†) x + (B†) x)⟩
define G where ⟨G = (λx. A x + B x)⟩
have ⟨bounded-clinear G⟩
unfolding G-def
by (simp add: a1 a2 bounded-clinear-add)
moreover have ⟨(F u •C v) = (u •C G v)⟩ for u v
unfolding F-def G-def
using adjoint-univ-prop a1 a2 cinner-add-left
by (simp add: adjoint-univ-prop cinner-add-left cinner-add-right)
ultimately have ⟨F = G†⟩
using adjoint-eqI by blast
thus ⟨(λx. A x + B x)† = (λx. (A†) x + (B†) x)⟩
unfolding F-def G-def
by auto
qed

lemma cinner-adj-left:
fixes G :: 'b::chilbert-space ⇒CL 'a::complex-inner
shows ⟨(G* *V x) •C y = x •C (G *V y)⟩
apply transfer using adjoint-univ-prop by blast

lemma cinner-adj-right:
fixes G :: 'b::chilbert-space ⇒CL 'a::complex-inner
shows ⟨x •C (G* *V y) = (G *V x) •C y⟩
apply transfer using adjoint-univ-prop' by blast

lemma adj-0[simp]: ⟨0* = 0⟩
by (metis add-cancel-right-left adj-plus)

lemma selfadjoint-0[simp]: ⟨selfadjoint 0⟩
by (simp add: selfadjoint-def)

lemma norm-adj[simp]: ⟨norm (A*) = norm A⟩
for A :: 'b::chilbert-space ⇒CL 'c::complex-inner
proof (cases ⟨(∃x y :: 'b. x ≠ y) ∧ (∃x y :: 'c. x ≠ y)⟩)
case True
then have c1: ⟨class.not-singleton TYPE('b)⟩
by intro-classes simp
from True have c2: ⟨class.not-singleton TYPE('c)⟩
by intro-classes simp
have normA: ⟨norm A = (SUP (ψ, φ). cmod (ψ •C (A *V φ)) / (norm ψ * norm φ))⟩
apply (rule cinner-sup-norm-cblinfun[internalize-sort ⟨'a:{complex-normed-vector,not-singleton}⟩])
apply (rule complex-normed-vector-axioms)
by (rule c1)
have normAadj: ⟨norm (A*) = (SUP (ψ, φ). cmod (ψ •C (A* *V φ)) / (norm ψ * norm φ))⟩

```

```

 $\psi * \text{norm } \varphi))$ 
apply (rule cinner-sup-norm-cblinfun[internalize-sort <'a::{complex-normed-vector,not-singleton}>])
  apply (rule complex-normed-vector-axioms)
  by (rule c2)

have < $\text{norm } (A*) = (\text{SUP } (\psi, \varphi). \text{cmod } (\varphi \cdot_C (A *_V \psi)) / (\text{norm } \psi * \text{norm } \varphi))$ >
  unfolding normAadj
  apply (subst cinner-adj-right)
  apply (subst cinner-commute)
  apply (subst complex-mod-cnj)
  by rule
also have < $\dots = \text{Sup } ((\lambda(\psi, \varphi). \text{cmod } (\varphi \cdot_C (A *_V \psi)) / (\text{norm } \psi * \text{norm } \varphi))$ >
  ‘prod.swap ‘UNIV)
  by auto
also have < $\dots = (\text{SUP } (\varphi, \psi). \text{cmod } (\varphi \cdot_C (A *_V \psi)) / (\text{norm } \psi * \text{norm } \varphi))$ >
  apply (subst image-image)
  by auto
also have < $\dots = \text{norm } A$ >
  unfolding normA
  by (simp add: mult.commute)
finally show ?thesis
  by –
next
  case False
  then consider (b) < $\bigwedge x::'b. x = 0$ > | (c) < $\bigwedge x::'c. x = 0$ >
  by auto
  then have < $A = 0$ >
  apply (cases; transfer)
  apply (metis (full-types) bounded-clinear-def complex-vector.linear-0)
  by auto
  then show < $\text{norm } (A*) = \text{norm } A$ >
  by simp
qed

lemma antilinear-adj[simp]: < $\text{antilinear adj}$ >
  by (simp add: adj-plus antilinearI)

lemma bounded-antilinear-adj[bounded-antilinear, simp]: < $\text{bounded-antilinear adj}$ >
  by (auto intro!: antilinearI exI[of - 1] simp: bounded-antilinear-def bounded-antilinear-axioms-def adj-plus)

lemma adjoint-eqI:
  fixes G:: <'b::hilbert-space  $\Rightarrow_{CL} 'a::\text{complex-inner}$ >
  and F:: <'a  $\Rightarrow_{CL} 'b$ >
  assumes < $\bigwedge x y. ((\text{cblinfun-apply } F) x \cdot_C y) = (x \cdot_C (\text{cblinfun-apply } G) y)$ >
  shows < $F = G*$ >
  using assms apply transfer using cadjoint-eqI by auto

```

**lemma** adj-uminus:  $\langle(-A)* = - (A*)\rangle$   
**by** (metis scaleR-adj scaleR-minus1-left scaleR-minus1-left)

**lemma** cinner-real-selfadjointI:  
— Prop. II.2.12 in [1]  
**assumes**  $\langle \bigwedge \psi. \psi \cdot_C (A *_V \psi) \in \mathbb{R} \rangle$   
**shows**  $\langle \text{selfadjoint } A \rangle$

**proof** —  
{ fix g h :: 'a  
{ fix α :: complex  
have  $\langle \text{cinner } h (A h) + \text{cnj } \alpha *_C \text{cinner } g (A h) + \alpha *_C \text{cinner } h (A g) + (\text{abs } \alpha)^2 * \text{cinner } g (A g) \rangle$   
 $= \text{cinner } (h + \alpha *_C g) (A *_V (h + \alpha *_C g)) \rangle$  (is  $\langle ?sum4 = \dots \rangle$ )  
apply (auto simp: cinner-add-right cinner-add-left cblinfun.add-right cblinfun.scaleC-right ring-class.ring-distrib)  
by (metis cnj-x-x mult.commute)  
also have  $\langle \dots \in \mathbb{R} \rangle$   
using assms by auto  
finally have  $\langle ?sum4 = \text{cnj } ?sum4 \rangle$   
using Reals-cnj-iff by fastforce  
then have  $\langle \text{cnj } \alpha *_C \text{cinner } g (A h) + \alpha *_C \text{cinner } h (A g) \rangle$   
 $= \alpha *_C \text{cinner } (A h) g + \text{cnj } \alpha *_C \text{cinner } (A g) h \rangle$   
using Reals-cnj-iff abs-complex-real assms by force  
also have  $\langle \dots = \alpha *_C \text{cinner } h (A* *_V g) + \text{cnj } \alpha *_C \text{cinner } g (A* *_V h) \rangle$   
by (simp add: cinner-adj-right)  
finally have  $\langle \text{cnj } \alpha *_C \text{cinner } g (A h) + \alpha *_C \text{cinner } h (A g) = \alpha *_C \text{cinner } h (A* *_V g) + \text{cnj } \alpha *_C \text{cinner } g (A* *_V h) \rangle$   
by —  
}  
from this[where α2=1] this[where α2=i]  
have 1:  $\langle \text{cinner } g (A h) + \text{cinner } h (A g) = \text{cinner } h (A* *_V g) + \text{cinner } g (A* *_V h) \rangle$   
and i:  $\langle -i * \text{cinner } g (A h) + i *_C \text{cinner } h (A g) = i *_C \text{cinner } h (A* *_V g) - i *_C \text{cinner } g (A* *_V h) \rangle$   
by auto  
from arg-cong2[OF 1 arg-cong[OF i, where f=(\*)(-i)], where f=plus]  
have  $\langle \text{cinner } h (A g) = \text{cinner } h (A* *_V g) \rangle$   
by (auto simp: ring-class.ring-distrib)  
}  
then have  $\langle A* = A \rangle$   
apply (rule-tac sym)  
by (simp add: adjoint-eqI cinner-adj-right)  
then show selfadjoint A  
by (simp add: selfadjoint-def)  
qed

**lemma** norm-AAadj[simp]:  $\langle \text{norm } (A \circ_{CL} A*) = (\text{norm } A)^2 \rangle$  for A ::  $\langle 'a :: \text{chilbert-space}$

```

 $\Rightarrow_{CL} 'b::\{complex-inner\}$ 
proof (cases `class.not-singleton TYPE('b)')
  case True
    then have [simp]: `class.not-singleton TYPE('b)'
      by -
      have 1:  $((\text{norm } A)^2 * \varepsilon \leq \text{norm } (A \circ_{CL} A*))$  if  $\varepsilon < 1$  and  $\varepsilon \geq 0$  for  $\varepsilon$ 
      proof -
        obtain  $\psi$  where  $\psi: (\text{norm } ((A*) *_V \psi) \geq \text{norm } (A*) * \sqrt{\varepsilon})$  and [simp]:
         $\langle \text{norm } \psi = 1 \rangle$ 
          apply atomize-elim
          apply (rule cblinfun-norm-approx-witness-mult[internalize-sort' 'a])
          using  $\varepsilon < 1$  by (auto intro: complex-normed-vector-class.complex-normed-vector-axioms)
          have  $\langle \text{complex-of-real } ((\text{norm } A)^2 * \varepsilon) = (\text{norm } (A*) * \sqrt{\varepsilon})^2 \rangle$ 
            by (simp add: ordered-field-class.sign-simps(23) that(2))
          also have  $\langle \dots \leq (\text{norm } ((A* *_V \psi))^2) \rangle$ 
            by (meson  $\psi$  complex-of-real-mono mult-nonneg-nonneg norm-ge-zero power-mono
              real-sqrt-ge-zero  $\langle \varepsilon \geq 0 \rangle$ )
          also have  $\langle \dots \leq \text{cinner } (A* *_V \psi) (A* *_V \psi) \rangle$ 
            by (auto simp flip: power2-norm-eq-cinner)
          also have  $\S: \langle \dots = \text{cinner } \psi ((A \circ_{CL} A*) *_V \psi) \rangle$ 
            by (auto simp: cinner-adj-left)
          also have  $\langle \dots \leq \text{norm } (A \circ_{CL} A*) \rangle$ 
            using  $\langle \text{norm } \psi = 1 \rangle$ 
            by (smt (verit) Re-complex-of-real § cdot-square-norm cinner-ge-zero cmod-Re
              complex-inner-class.Cauchy-Schwarz-ineq2 complex-of-real-mono mult-cancel-left1
              mult-cancel-right1 norm-cblinfun)
          finally show ?thesis
            by (auto simp: less-eq-complex-def)
        qed
        then have 1:  $((\text{norm } A)^2 \leq \text{norm } (A \circ_{CL} A*))$ 
        by (metis field-le-mult-one-interval less-eq-real-def ordered-field-class.sign-simps(5))

      have 2:  $\langle \text{norm } (A \circ_{CL} A*) \leq (\text{norm } A)^2 \rangle$ 
      proof (rule norm-cblinfun-bound)
        show  $\langle 0 \leq (\text{norm } A)^2 \rangle$  by simp
        fix  $\psi$ 
        have  $\langle \text{norm } ((A \circ_{CL} A*) *_V \psi) = \text{norm } (A *_V A* *_V \psi) \rangle$ 
          by auto
        also have  $\langle \dots \leq \text{norm } A * \text{norm } (A* *_V \psi) \rangle$ 
          by (simp add: norm-cblinfun)
        also have  $\langle \dots \leq \text{norm } A * \text{norm } (A*) * \text{norm } \psi \rangle$ 
          by (metis mult.assoc norm-cblinfun norm-imp-pos-and-ge ordered-comm-semiring-class.comm-mult-left-mono)
        also have  $\langle \dots = (\text{norm } A)^2 * \text{norm } \psi \rangle$ 
          by (simp add: power2-eq-square)
        finally show  $\langle \text{norm } ((A \circ_{CL} A*) *_V \psi) \leq (\text{norm } A)^2 * \text{norm } \psi \rangle$ 
          by -
      qed

from 1 2 show ?thesis by simp

```

```

next
  case False
  then have [simp]: ‹class.CARD-1 TYPE('b)›
    by (rule not-singleton-vs-CARD-1)
  have ‹A = 0›
    apply (rule cblinfun-to-CARD-1-0[internalize-sort' 'b])
    by (auto intro: complex-normed-vector-class.complex-normed-vector-axioms)
  then show ?thesis
    by auto
qed

lemma sum-adj: ‹(sum a F)* = sum (λi. (a i)*) F›
  by (induction rule:infinite-finite-induct) (auto simp add: adj-plus)

lemma has-sum-adj:
  assumes ‹(f has-sum x) I›
  shows ‹((λx. adj (f x)) has-sum adj x) I›

  apply (rule has-sum-comm-additive[where f=adj, unfolded o-def])
  apply (simp add: antilinear.axioms(1))
  apply (metis (no-types, lifting) LIM-eq adj-plus adj-uminus norm-adj uminus-add-conv-diff)
  by (simp add: assms)

lemma adj-minus: ‹(A - B)* = (A*) - (B*)›
  by (metis add-implies-diff adj-plus diff-add-cancel)

lemma cinner-selfadjoint-real: ‹x •_C (A *_V x) ∈ ℝ› if ‹selfadjoint A›
  by (metis Reals-cnj-iff cinner-adj-right cinner-commute' that selfadjoint-def)

lemma adj-inject: ‹adj a = adj b ⟷ a = b›
  by (metis (no-types, opaque-lifting) adj-minus eq-iff-diff-eq-0 norm-adj norm-eq-zero)

lemma norm-AadjA[simp]: ‹norm (A* o_{CL} A) = (norm A)^2› for A :: ‹'a::chilbert-space
⇒_{CL} 'b::chilbert-space›
  by (metis double-adj norm-AAadj norm-adj)

lemma cspan-adj-closed:
  assumes ‹⋀a. a ∈ A ⇒ a* ∈ A›
  assumes ‹a ∈ cspan A›
  shows ‹a* ∈ cspan A›
proof –
  from ‹a ∈ cspan A›
  obtain F f where ‹finite F› and ‹F ⊆ A› and ‹a = (∑ x∈F. f x *_C x)›
    by (smt (verit, del-insts) complex-vector.span-explicit mem-Collect-eq)
  then have ‹a* = (∑ x∈F. cnj (f x) *_C x*)›
    by (auto simp: sum-adj)
  also have ‹... ∈ cspan A›
    using assms ‹F ⊆ A›
    by (auto intro!: complex-vector.span-sum complex-vector.span-scale simp: com-

```

```

plex-vector.span-clauses)
finally show ?thesis
by -
qed

```

### 13.5 Powers of operators

```

lift-definition cblinfun-power :: <'a::complex-normed-vector ⇒CL 'a ⇒ nat ⇒ 'a
⇒CL 'a> is
⟨λ(a:'a⇒'a) n. a ^ n⟩
apply (rename-tac f n, induct-tac n, auto simp: Nat.funpow-code-def)
by (simp add: bounded-clinear-compose)

lemma cblinfun-power-0[simp]: <cblinfun-power A 0 = id-cblinfun>
by transfer auto

lemma cblinfun-power-Suc': <cblinfun-power A (Suc n) = A oCL cblinfun-power
A n>
by transfer auto

lemma cblinfun-power-Suc: <cblinfun-power A (Suc n) = cblinfun-power A n oCL
A>
apply (induction n)
by (auto simp: cblinfun-power-Suc' simp flip: cblinfun-compose-assoc)

lemma cblinfun-power-compose[simp]: <cblinfun-power A n oCL cblinfun-power A
m = cblinfun-power A (n+m)>
apply (induction n)
by (auto simp: cblinfun-power-Suc' cblinfun-compose-assoc)

lemma cblinfun-power-scaleC: <cblinfun-power (c *C a) n = c ^ n *C cblinfun-power
a n>
apply (induction n)
by (auto simp: cblinfun-power-Suc)

lemma cblinfun-power-scaleR: <cblinfun-power (c *R a) n = c ^ n *R cblinfun-power
a n>
apply (induction n)
by (auto simp: cblinfun-power-Suc)

lemma cblinfun-power-uminus: <cblinfun-power (-a) n = (-1) ^ n *R cblinfun-power
a n>
apply (subst asm_rl[of '-a = (-1) *R a])
by simp (rule cblinfun-power-scaleR)

lemma cblinfun-power-adj: <(cblinfun-power S n)* = cblinfun-power (S*) n>
apply (induction n)
apply simp
apply (subst cblinfun-power-Suc)

```

```
apply (subst cblinfun-power-Suc')
by auto
```

### 13.6 Unitaries / isometries

```
definition isometry::<'a::chilbert-space ⇒CL 'b::complex-inner ⇒ bool> where
  <isometry U ⟷ U* oCL U = id-cblinfun>
```

```
definition unitary::<'a::chilbert-space ⇒CL 'b::complex-inner ⇒ bool> where
  <unitary U ⟷ (U* oCL U = id-cblinfun) ∧ (U oCL U* = id-cblinfun)>
```

```
lemma unitaryI: <unitary a> if <a* oCL a = id-cblinfun> and <a oCL a* = id-cblinfun>
  unfolding unitary-def using that by simp
```

```
lemma unitary-twosided-isometry: unitary U ⟷ isometry U ∧ isometry (U*)
  unfolding unitary-def isometry-def by simp
```

```
lemma isometryD[simp]: isometry U ⇒ U* oCL U = id-cblinfun
  unfolding isometry-def by simp
```

```
lemma unitaryD1: unitary U ⇒ U* oCL U = id-cblinfun
  unfolding unitary-def by simp
```

```
lemma unitaryD2[simp]: unitary U ⇒ U oCL U* = id-cblinfun
  unfolding unitary-def by simp
```

```
lemma unitary-isometry[simp]: unitary U ⇒ isometry U
  unfolding unitary-def isometry-def by simp
```

```
lemma unitary-adj[simp]: unitary (U*) = unitary U
  unfolding unitary-def by auto
```

```
lemma isometry-cblinfun-compose[simp]:
  assumes isometry A and isometry B
  shows isometry (A oCL B)
proof-
  have B* oCL A* oCL (A oCL B) = id-cblinfun if A* oCL A = id-cblinfun and
  B* oCL B = id-cblinfun
  using that
    by (smt (verit, del-insts) adjoint-eqI cblinfun-apply-cblinfun-compose cblin-
    fun-id-cblinfun-apply)
  thus ?thesis
    using assms unfolding isometry-def by simp
qed
```

```
lemma unitary-cblinfun-compose[simp]: unitary (A oCL B)
  if unitary A and unitary B
```

**using** that  
**by** (smt (z3) adj-cblinfun-compose cblinfun-compose-assoc cblinfun-compose-id-right double-adj isometryD isometry-cblinfun-compose unitary-def unitary-isometry)

**lemma** unitary-surj:  
**assumes** unitary U  
**shows** surj (cblinfun-apply U)  
**apply** (rule surjI[where f=cblinfun-apply (U\*)])  
**using** assms unfolding unitary-def apply transfer  
**using** comp-eq-dest-lhs **by** force

**lemma** unitary-id[simp]: unitary id-cblinfun  
**by** (simp add: unitary-def)

**lemma** orthogonal-on-basis-is-isometry:  
**assumes** spanB: <ccspan B = ⊤>  
**assumes** orthoU: <A b c. b ∈ B ⟹ c ∈ B ⟹ cinner (U \*V b) (U \*V c) = cinner b c>  
**shows** <isometry U>  
**proof** –  
**have** [simp]: <b ∈ closure (ccspan B)> **for** b  
**using** spanB **by** transfer simp  
**have** \*: <cinner (U \*V U \*V ψ) φ = cinner ψ φ> **if** <ψ ∈ B> **and** <φ ∈ B> **for** ψ φ  
**by** (simp add: cinner-adj-left orthoU that(1) that(2))  
**have** \*: <cinner (U \*V U \*V ψ) φ = cinner ψ φ> **if** <ψ ∈ B> **for** ψ φ  
**apply** (rule bounded-clinear-eq-on-closure[where t=φ and G=B])  
**using** bounded-clinear-cinner-right \*[OF that]  
**by** auto  
**have** <U \*V U \*V φ = φ> **if** <φ ∈ B> **for** φ  
**apply** (rule cinner-extensionality)  
**apply** (subst cinner-eq-flip)  
**by** (simp add: \* that)  
**then have** <U \*V U = id-cblinfun>  
**by** (metis cblinfun-apply-cblinfun-compose cblinfun-eq-gen-eqI cblinfun-id-cblinfun-apply spanB)  
**then show** <isometry U>  
**using** isometry-def **by** blast  
**qed**

**lemma** isometry-preserves-norm: <isometry U ⟹ norm (U \*V ψ) = norm ψ>  
**by** (metis (no-types, lifting) cblinfun-apply-cblinfun-compose cblinfun-id-cblinfun-apply cinner-adj-right cnorm-eq isometryD)

**lemma** norm-isometry-compose:  
**assumes** <isometry U>  
**shows** <norm (U oCL A) = norm A>  
**proof** –  
**have** \*: <norm (U \*V A \*V ψ) = norm (A \*V ψ)> **for** ψ

```

by (smt (verit, ccfv-threshold) assms cbclinfun-apply-cbclinfun-compose cinner-adj-right
cnorm-eq id-cbclinfun-apply isometryD)

have <norm (U oCL A) = (SUP ψ. norm (U *V A *V ψ) / norm ψ)>
  unfolding norm-cbclinfun-Sup by auto
also have <... = (SUP ψ. norm (A *V ψ) / norm ψ)>
  using * by auto
also have <... = norm A>
  unfolding norm-cbclinfun-Sup by auto
finally show ?thesis
  by simp
qed

lemma norm-isometry:
fixes U :: <'a:{chilbert-space,not-singleton} ⇒CL 'b::complex-inner>
assumes <isometry U>
shows <norm U = 1>
apply (subst asm-rl[of <U = U oCL id-cbclinfun>], simp)
apply (subst norm-isometry-compose, simp add: assms)
by simp

lemma norm-preserving-isometry: <isometry U> if <∀ψ. norm (U *V ψ) = norm ψ>
by (smt (verit, ccfv-SIG) cbclinfun-cinner-eqI cbclinfun-id-cbclinfun-apply cinner-adj-right
cnorm-eq isometry-def simp-a-oCL-b' that)

lemma norm-isometry-compose': <norm (A oCL U) = norm A> if <isometry (U*)>
by (smt (verit) cbclinfun-compose-assoc cbclinfun-compose-id-right double-adj isometryD mult-cancel-left2 norm-AadjA norm-cbclinfun-compose norm-isometry-compose
norm-zero power2-eq-square right-diff-distrib that zero-less-norm-iff)

lemma unitary-nonzero[simp]: <¬ unitary (0 :: 'a:{chilbert-space, not-singleton} ⇒CL -)>
by (simp add: unitary-def)

lemma isometry-inj:
assumes <isometry U>
shows <inj-on U X>
apply (rule inj-on-inverseI[where g=<U*>])
using assms by (simp flip: cbclinfun-apply-cbclinfun-compose)

lemma unitary-inj:
assumes <unitary U>
shows <inj-on U X>
apply (rule isometry-inj)
using assms by simp

lemma unitary-adj-inv: <unitary U ⇒ cbclinfun-apply (U*) = inv (cbclinfun-apply U)>
```

```

apply (rule inj-imp-inv-eq[symmetric])
  apply (simp add: unitary-inj)
  unfolding unitary-def
  by (simp flip: cblinfun-apply-cblinfun-compose)

lemma isometry-cinner-both-sides:
  assumes <isometry U>
  shows <cinner (U x) (U y) = cinner x y>
  using assms by (simp add: flip: cinner-adj-right cblinfun-apply-cblinfun-compose)

lemma isometry-image-is-ortho-set:
  assumes <is-ortho-set A>
  assumes <isometry U>
  shows <is-ortho-set (U ` A)>
  using assms apply (auto simp add: is-ortho-set-def isometry-cinner-both-sides)
  by (metis cinner-eq-zero-iff isometry-cinner-both-sides)

```

### 13.7 Product spaces

```

lift-definition cblinfun-left :: <'a::complex-normed-vector ⇒CL ('a × 'b::complex-normed-vector)>
is <(λx. (x,0))>
  by (auto intro!: bounded-clinearI[where K=1])
lift-definition cblinfun-right :: <'b::complex-normed-vector ⇒CL ('a::complex-normed-vector × 'b)>
is <(λx. (0,x))>
  by (auto intro!: bounded-clinearI[where K=1])

lemma isometry-cblinfun-left[simp]: <isometry cblinfun-left>
  apply (rule orthogonal-on-basis-is-isometry[of some-chilbert-basis])
  apply simp
  by transfer simp

lemma isometry-cblinfun-right[simp]: <isometry cblinfun-right>
  apply (rule orthogonal-on-basis-is-isometry[of some-chilbert-basis])
  apply simp
  by transfer simp

lemma cblinfun-left-right-ortho[simp]: <cblinfun-left* oCL cblinfun-right = 0>
proof -
  have <x ·C ((cblinfun-left* oCL cblinfun-right) *V y) = 0> for x :: 'b and y :: 'a
    apply (simp add: cinner-adj-right)
    by transfer auto
  then show ?thesis
    by (metis cblinfun.zero-left cblinfun.eqI cinner-eq-zero-iff)
qed

lemma cblinfun-right-left-ortho[simp]: <cblinfun-right* oCL cblinfun-left = 0>
proof -
  have <x ·C ((cblinfun-right* oCL cblinfun-left) *V y) = 0> for x :: 'b and y :: 'a
    apply (simp add: cinner-adj-right)

```

```

    by transfer auto
  then show ?thesis
    by (metis cblinfun.zero-left cblinfun-eqI cinner-eq-zero-iff)
qed

lemma cblinfun-left-apply[simp]: ⟨cblinfun-left *V ψ = (ψ,0)⟩
  by transfer simp

lemma cblinfun-left-adj-apply[simp]: ⟨cblinfun-left* *V ψ = fst ψ⟩
  apply (cases ψ)
  by (auto intro!: cinner-extensionality[of ⟨- *V -] simp: cinner-adj-right])

lemma cblinfun-right-apply[simp]: ⟨cblinfun-right *V ψ = (0,ψ)⟩
  by transfer simp

lemma cblinfun-right-adj-apply[simp]: ⟨cblinfun-right* *V ψ = snd ψ⟩
  apply (cases ψ)
  by (auto intro!: cinner-extensionality[of ⟨- *V -] simp: cinner-adj-right)

lift-definition ccspace-Times :: 'a::complex-normed-vector ccspace ⇒ 'b::complex-normed-vector
ccspace ⇒ ('a×'b) ccspace is
  ⟨λS T. S × T⟩
proof –
  fix S :: 'a set and T :: 'b set
  assume [simp]: ⟨closed-cspace S⟩ ⟨closed-cspace T⟩
  have ⟨cspace (S × T)⟩
    by (simp add: complex-vector.subspace-Times)
  moreover have ⟨closed (S × T)⟩
    by (simp add: closed-Times closed-cspace.closed)
  ultimately show ⟨closed-cspace (S × T)⟩
    by (rule closed-cspace.intro)
qed

lemma ccspace-Times: ⟨ccspan (S × T) = ccspace-Times (ccspan S) (ccspan T)⟩ if ⟨0 ∈ S⟩ and ⟨0 ∈ T⟩
proof (transfer fixing: S T)
  from that have ⟨closure (ccspan (S × T)) = closure (ccspan S × ccspan T)⟩
    by (simp add: cspan-Times)
  also have ⟨... = closure (ccspan S) × closure (ccspan T)⟩
    using closure-Times by blast
  finally show ⟨closure (ccspan (S × T)) = closure (ccspan S) × closure (ccspan T)⟩
    by –
qed

lemma ccspace-Times-sing1: ⟨ccspan ({0::'a::complex-normed-vector} × B) = ccspace-Times 0 (ccspan B)⟩
proof (transfer fixing: B)

```

```

have ⟨closure (cspan ({0::'a} × B)) = closure ({0} × cspan B)⟩
  by (simp add: complex-vector.span-Times-sing1)
also have ⟨... = closure {0} × closure (cspan B)⟩
  using closure-Times by blast
also have ⟨... = {0} × closure (cspan B)⟩
  by simp
finally show ⟨closure (cspan ({0::'a} × B)) = {0} × closure (cspan B)⟩
  by -
qed

lemma cccspan-Times-sing2: ⟨ccspan (B × {0::'a::complex-normed-vector}) = cc-
subspace-Times (ccspan B) 0⟩
proof (transfer fixing: B)
  have ⟨closure (cspan (B × {0::'a})) = closure (cspan B × {0})⟩
    by (simp add: complex-vector.span-Times-sing2)
  also have ⟨... = closure (cspan B) × closure {0}⟩
    using closure-Times by blast
  also have ⟨... = closure (cspan B) × {0}⟩
    by simp
  finally show ⟨closure (cspan (B × {0::'a})) = closure (cspan B) × {0}⟩
    by -
qed

lemma ccspace-Times-sup: ⟨sup (ccspace-Times A B) (ccspace-Times C
D) = ccspace-Times (sup A C) (sup B D)⟩
proof transfer
  fix A C :: 'a set and B D :: 'b set
  have ⟨A × B +_M C × D = closure ((A × B) + (C × D))⟩
    using closed-sum-def by blast
  also have ⟨... = closure ((A + C) × (B + D))⟩
    by (simp add: set-Times-plus-distrib)
  also have ⟨... = closure (A + C) × closure (B + D)⟩
    by (simp add: closure-Times)
  also have ⟨... = (A +_M C) × (B +_M D)⟩
    by (simp add: closed-sum-def)
  finally show ⟨A × B +_M C × D = (A +_M C) × (B +_M D)⟩
    by -
qed

lemma ccspace-Times-top-top[simp]: ⟨ccspace-Times top top = top⟩
by transfer simp

lemma is-ortho-set-prod:
assumes ⟨is-ortho-set B⟩ ⟨is-ortho-set B'⟩
shows ⟨is-ortho-set ((B × {0}) ∪ ({0} × B'))⟩
using assms unfolding is-ortho-set-def
apply (auto simp: is-onb-def is-ortho-set-def zero-prod-def)
by (meson is-onb-def is-ortho-set-def) +

```

```

lemma ccsubspace-Times-ccspan:
  assumes <ccspan B = S> and <ccspan B' = S'>
  shows <ccspan ((B × {0}) ∪ ({0} × B')) = ccspace-Times S S'>
  by (smt (z3) Diff-eq-empty-iff Sigma-cong assms(1) assms(2) ccspan.rep-eq cc-
span-0 ccspan-Times-sing1 ccspan-Times-sing2 ccspan-of-empty ccspan-remove-0
ccspan-superset ccspan-union ccspace-Times-sup complex-vector.span-insert-0 space-as-set-bot
sup-bot-left sup-bot-right)

lemma is-onb-prod:
  assumes <is-onb B> <is-onb B'>
  shows <is-onb ((B × {0}) ∪ ({0} × B'))>
  using assms by (auto intro!: is-ortho-set-prod simp add: is-onb-def ccspace-Times-ccspan)

```

### 13.8 Images

The following definition defines the image of a closed subspace  $S$  under a bounded operator  $A$ . We do not define that image as the image of  $A$  seen as a function ( $A \cdot S$ ) but as the topological closure of that image. This is because  $A \cdot S$  might in general not be closed.

For example, if  $e_i$  ( $i \in \mathbb{N}$ ) form an orthonormal basis, and  $A$  maps  $e_i$  to  $e_i/i$ , then all  $e_i$  are in  $A \cdot S$ , so the closure of  $A \cdot S$  is the whole space. However,  $\sum_i e_i/i$  is not in  $A \cdot S$  because its preimage would have to be  $\sum_i e_i$  which does not converge. So  $A \cdot S$  does not contain the whole space, hence it is not closed.

```

lift-definition cblinfun-image :: <'a::complex-normed-vector ⇒CL 'b::complex-normed-vector
⇒ 'a ccspace ⇒ 'b ccspace> (infixr <*_S> 70)
  is λA S. closure (A · S)
  using bounded-clinear-def closed-closure closed-csubspace.intro
  by (simp add: bounded-clinear-def complex-vector.linear-subspace-image closure-is-closed-csubspace)

lemma cblinfun-image-mono:
  assumes a1: S ≤ T
  shows A *_S S ≤ A *_S T
  using a1
  by (simp add: cblinfun-image.rep-eq closure-mono image-mono less-eq-ccspace.rep-eq)

lemma cblinfun-image-0[simp]:
  shows U *_S 0 = 0
  thm zero-ccsubspace-def
  by transfer (simp add: bounded-clinear-def complex-vector.linear-0)

lemma cblinfun-image-bot[simp]: U *_S bot = bot
  using cblinfun-image-0 by auto

lemma cblinfun-image-sup[simp]:
  fixes A B :: <'a::chilbert-space ccspace> and U :: 'a ⇒CL 'b::chilbert-space
  shows <U *_S (sup A B) = sup (U *_S A) (U *_S B)>

```

**apply transfer using** bounded-clinear.bounded-linear closure-image-closed-sum  
by blast

```

lemma scaleC-cblinfun-image[simp]:
  fixes A ::  $\langle a::\text{chilbert-space} \Rightarrow_{CL} b::\text{chilbert-space} \rangle$ 
  and S ::  $\langle a \text{ ccspace} \rangle$  and  $\alpha :: \text{complex}$ 
  shows  $\langle (\alpha *_C A) *_S S = \alpha *_C (A *_S S) \rangle$ 
proof-
  have  $\langle \text{closure} (((*_C) \alpha) \circ (\text{cblinfun-apply } A)) \text{ ' space-as-set } S \rangle =$ 
     $((*_C) \alpha) \langle \text{closure} (\text{cblinfun-apply } A \text{ ' space-as-set } S) \rangle$ 
    by (metis closure-scaleC image-comp)
  hence  $\langle (\text{closure} (\text{cblinfun-apply } (\alpha *_C A)) \text{ ' space-as-set } S) \rangle =$ 
     $((*_C) \alpha) \langle \text{closure} (\text{cblinfun-apply } A \text{ ' space-as-set } S) \rangle$ 
    by (metis (mono-tags, lifting) comp-apply image-cong scaleC-cblinfun.rep-eq)
  hence  $\langle \text{Abs-ccspace} (\text{closure} (\text{cblinfun-apply } (\alpha *_C A)) \text{ ' space-as-set } S) \rangle =$ 
     $\alpha *_C \text{Abs-ccspace} (\text{closure} (\text{cblinfun-apply } A \text{ ' space-as-set } S))$ 
    by (metis space-as-set-inverse cblinfun-image.rep-eq scaleC-ccspace.rep-eq)
  have x1:  $\text{Abs-ccspace} (\text{closure} ((*_V) (\alpha *_C A) \text{ ' space-as-set } S)) =$ 
     $\alpha *_C \text{Abs-ccspace} (\text{closure} ((*_V) A \text{ ' space-as-set } S))$ 
  using  $\langle \text{Abs-ccspace} (\text{closure} (\text{cblinfun-apply } (\alpha *_C A)) \text{ ' space-as-set } S) \rangle =$ 
     $\alpha *_C \text{Abs-ccspace} (\text{closure} (\text{cblinfun-apply } A \text{ ' space-as-set } S))$ 
  by blast
  show ?thesis
  unfolding cblinfun-image-def using x1 by force
qed

```

```

lemma cblinfun-image-id[simp]:
  id-cblinfun *S ψ = ψ
  by transfer (simp add: closed-ccspace.closed)

```

```

lemma cblinfun-compose-image:
   $\langle (A \circ_{CL} B) *_S S = A *_S (B *_S S) \rangle$ 
  apply transfer unfolding image-comp[symmetric]
  apply (rule closure-bounded-linear-image-subset-eq[symmetric])
  by (simp add: bounded-clinear.bounded-linear)

```

```

lemmas cblinfun-assoc-left = cblinfun-compose-assoc[symmetric] cblinfun-compose-image[symmetric]
  add.assoc[where ?'a='a::chilbert-space  $\Rightarrow_{CL} b::\text{chilbert-space}$ , symmetric]
lemmas cblinfun-assoc-right = cblinfun-compose-assoc cblinfun-compose-image
  add.assoc[where ?'a='a::chilbert-space  $\Rightarrow_{CL} b::\text{chilbert-space}$ ]

```

```

lemma cblinfun-image-INF-leq[simp]:
  fixes U ::  $'b::\text{complex-normed-vector} \Rightarrow_{CL} 'c::\text{complex-normed-vector}$ 
  and V ::  $'a \Rightarrow 'b \text{ ccspace}$ 
  shows  $\langle U *_S (\text{INF } i \in X. V i) \leq (\text{INF } i \in X. U *_S (V i)) \rangle$ 
  apply transfer
  by (simp add: INT-greatest Inter-lower closure-mono image-mono)

```

```

lemma isometry-cblinfun-image-inf-distrib':

```

```

fixes  $U :: 'a::complex-normed-vector \Rightarrow_{CL} 'b::cbanach$  and  $B C :: 'a ccspace$ 
shows  $U *_S (\inf B C) \leq \inf (U *_S B) (U *_S C)$ 

proof -
  define  $V$  where  $\langle V b = (\text{if } b \text{ then } B \text{ else } C) \rangle$  for  $b$ 
  have  $\langle U *_S (\inf i. V i) \leq (\inf i. U *_S (V i)) \rangle$ 
    by auto
  then show ?thesis
  unfolding  $V\text{-def}$ 
  by (metis (mono-tags, lifting) INF-UNIV-bool-expand)
qed

lemma cbfun-image-eq:
fixes  $S :: 'a::cbanach ccspace$ 
and  $A B :: 'a::cbanach \Rightarrow_{CL} 'b::cbanach$ 
assumes  $\bigwedge x. x \in G \implies A *_V x = B *_V x$  and  $\text{ccspan } G \geq S$ 
shows  $A *_S S = B *_S S$ 

proof (use assms in transfer)
fix  $G :: 'a set$  and  $A :: 'a \Rightarrow 'b$  and  $B :: 'a \Rightarrow 'b$  and  $S :: 'a set$ 
assume a1: bounded-clinear  $A$ 
assume a2: bounded-clinear  $B$ 
assume a3:  $\bigwedge x. x \in G \implies A x = B x$ 
assume a4:  $S \subseteq \text{closure } (\text{cspan } G)$ 

have  $A ` \text{closure } S = B ` \text{closure } S$ 
  by (smt (verit, best) UnCI a1 a2 a3 a4 bounded-clinear-eq-on-closure closure-Un
closure-closure image-cong sup.absorb-iff1)
then show  $\text{closure } (A ` S) = \text{closure } (B ` S)$ 
  by (metis bounded-clinear.bounded-linear a1 a2 closure-bounded-linear-image-subset-eq)
qed

lemma cbfun-fixes-range:
assumes  $A o_{CL} B = B$  and  $\psi \in \text{space-as-set } (B *_S \text{top})$ 
shows  $A *_V \psi = \psi$ 

proof -
  define  $\text{range } B$   $\text{range } B'$  where  $\text{range } B = \text{space-as-set } (B *_S \text{top})$ 
  and  $\text{range } B' = \text{range } (\text{cbfun-apply } B)$ 
from assms have  $\psi \in \text{closure } \text{range } B'$ 
  by (simp add: cbfun-image.rep-eq rangeB'-def top-ccspace.rep-eq)

then obtain  $\psi_i$  where  $\psi_i \xrightarrow{\text{lim}} \psi$  and  $\psi_i : \psi_i \in \text{range } B'$  for  $i$ 
  using closure-sequential by blast
have  $A\text{-invariant: } A *_V \psi_i = \psi_i$ 
  for  $i$ 
proof -
  from  $\psi_i : \psi_i \in \text{range } B'$  obtain  $\varphi$  where  $\varphi : \psi_i = B *_V \varphi$ 
    using rangeB'-def by blast
  hence  $A *_V \psi_i = (A o_{CL} B) *_V \varphi$ 
    by (simp add: cbfun-compose.rep-eq)
  also have ... =  $B *_V \varphi$ 

```

```

    by (simp add: assms)
  also have ... =  $\psi i$ 
    by (simp add:  $\varphi$ )
  finally show ?thesis.
qed
from  $\psi i$ -lim have  $(\lambda i. A *_V (\psi i)) \longrightarrow A *_V \psi$ 
  by (rule isCont-tendsto-compose[rotated], simp)
with  $A$ -invariant have  $(\lambda i. \psi i) \longrightarrow A *_V \psi$ 
  by auto
with  $\psi i$ -lim show  $A *_V \psi = \psi$ 
  using LIMSEQ-unique by blast
qed

lemma zero-cblinfun-image[simp]:  $0 *_S S = (0:: ccspace)$ 
  by transfer (simp add: complex-vector.subspace-0 image-constant[where  $x=0$ ])

lemma cblinfun-image-INF-eq-general:
fixes  $V :: 'a \Rightarrow 'b$ : chilbert-space ccspace
and  $U :: 'b \Rightarrow_{CL} 'c$ : chilbert-space
and  $Uinv :: 'c \Rightarrow_{CL} 'b$ 
assumes  $Uinv UUinv: Uinv o_{CL} U o_{CL} Uinv = Uinv$  and  $UUinv U: U o_{CL} Uinv o_{CL} U = U$ 
— Meaning:  $Uinv$  is a Pseudoinverse of  $U$ 
and  $V: \bigwedge i. V i \leq Uinv *_S top$ 
and  $\langle X \neq \{\} \rangle$ 
shows  $U *_S (\text{INF } i \in X. V i) = (\text{INF } i \in X. U *_S V i)$ 
proof (rule antisym)
show  $U *_S (\text{INF } i \in X. V i) \leq (\text{INF } i \in X. U *_S V i)$ 
  by (rule cblinfun-image-INF-leq)
next
define rangeU rangeUinv where rangeU =  $U *_S top$  and rangeUinv =  $Uinv *_S top$ 
define INFUV INFV where INFUV-def:  $\text{INFUV} = (\text{INF } i \in X. U *_S V i)$  and
INFV-def:  $\text{INFV} = (\text{INF } i \in X. V i)$ 
from assms have  $V i \leq rangeUinv$ 
  for  $i$ 
  unfolding rangeUinv-def by simp
moreover have  $(Uinv o_{CL} U) *_V \psi = \psi$  if  $\psi \in \text{space-as-set } rangeUinv$ 
  for  $\psi$ 
using  $Uinv UUinv$  cblinfun-fixes-range rangeUinv-def that by fastforce
ultimately have  $(Uinv o_{CL} U) *_V \psi = \psi$  if  $\psi \in \text{space-as-set } (V i)$ 
  for  $\psi i$ 
using less-eq-ccspace.rep-eq that by blast
hence d1:  $(Uinv o_{CL} U) *_S (V i) = (V i)$  for  $i$ 
proof (transfer fixing:  $i$ )
fix  $V :: 'a \Rightarrow 'b$  set
  and  $Uinv :: 'c \Rightarrow 'b$ 
  and  $U :: 'b \Rightarrow 'c$ 
assume pred-fun  $\top$  closed-cspace  $V$ 

```

```

and bounded-clinear Uinv
and bounded-clinear U
and  $\bigwedge \psi i. \psi \in V i \implies (Uinv \circ U) \psi = \psi$ 
then show closure ((Uinv  $\circ$  U)  $\cdot$  V i) = V i
proof auto
fix x
from <pred-fun T closed-csubspace V>
show x  $\in$  V i
if x  $\in$  closure (V i)
using that apply simp
by (metis orthogonal-complement-of-closure closed-csubspace.subspace double-orthogonal-complement-id closure-is-closed-csubspace)
with <pred-fun T closed-csubspace V>
show x  $\in$  closure (V i)
if x  $\in$  V i
using that
using setdist-eq-0-sing-1 setdist-sing-in-set
by blast
qed
qed
have U *S V i  $\leq$  rangeU for i
by (simp add: cblinfun-image-mono rangeU-def)
hence INFUV  $\leq$  rangeU
unfolding INFUV-def using < $X \neq \{\}$ >
by (metis INF-eq-const INF-lower2)
moreover have (U oCL Uinv) *V  $\psi = \psi$  if  $\psi \in$  space-as-set rangeU for  $\psi$ 
using UUinvU cblinfun-fixes-range rangeU-def that by fastforce
ultimately have x: (U oCL Uinv) *V  $\psi = \psi$  if  $\psi \in$  space-as-set INFUV for  $\psi$ 
by (simp add: in-mono less-eq-ccsubspace.rep-eq that)

have closure ((U  $\circ$  Uinv)  $\cdot$  INFUV) = INFUV
if closed-csubspace INFUV
and bounded-clinear U
and bounded-clinear Uinv
and  $\bigwedge \psi. \psi \in INFUV \implies (U \circ Uinv) \psi = \psi$ 
for INFUV :: 'c set
using that
proof auto
fix x
show x  $\in$  INFUV if x  $\in$  closure INFUV
using that <closed-csubspace INFUV>
by (metis orthogonal-complement-of-closure closed-csubspace.subspace double-orthogonal-complement-id closure-is-closed-csubspace)
show x  $\in$  closure INFUV
if x  $\in$  INFUV
using that <closed-csubspace INFUV>
using setdist-eq-0-sing-1 setdist-sing-in-set
by (simp add: closed-csubspace.closed)
qed

```

```

hence ( $U \circ_{CL} Uinv$ ) *S INFUV = INFUV
  by (metis (mono-tags, opaque-lifting) x cblinfun-image.rep-eq cblinfun-image-id
    id-cblinfun-apply image-cong
    space-as-set-inject)
hence INFUV =  $U *_S Uinv *_S INFUV$ 
  by (simp add: cblinfun-compose-image)
also have ...  $\leq U *_S (\text{INF } i \in X. Uinv *_S U *_S V i)$ 
  unfolding INFUV-def
  by (metis cblinfun-image-mono cblinfun-image-INF-leq)
also have ... =  $U *_S INFV$ 
  using d1
  by (metis (no-types, lifting) INFV-def cblinfun-assoc-left(2) image-cong)
finally show INFUV  $\leq U *_S INFV$ .
qed

lemma unitary-range[simp]:
assumes unitary U
shows  $U *_S top = top$ 
using assms unfolding unitary-def by transfer (metis closure-UNIV comp-apply
surj-def)

lemma range-adjoint-isometry:
assumes isometry U
shows  $U^* *_S top = top$ 
proof -
  from assms have top =  $U^* *_S U *_S top$ 
  by (simp add: cblinfun-assoc-left(2))
  also have ...  $\leq U^* *_S top$ 
  by (simp add: cblinfun-image-mono)
  finally show ?thesis
  using top.extremum-unique by blast
qed

lemma cblinfun-image-INF-eq[simp]:
fixes V :: ' $a \Rightarrow 'b$ :chilbert-space ccspace
  and U :: ' $b \Rightarrow_{CL} 'c$ :chilbert-space
assumes <isometry U> < $X \neq \{\}$ >
shows  $U *_S (\text{INF } i \in X. V i) = (\text{INF } i \in X. U *_S V i)$ 
proof -
  from <isometry U> have  $U^* \circ_{CL} U \circ_{CL} U^* = U^*$ 
  unfolding isometry-def by simp
  moreover from <isometry U> have  $U \circ_{CL} U^* \circ_{CL} U = U$ 
  unfolding isometry-def
  by (simp add: cblinfun-compose-assoc)
  moreover have  $V i \leq U^* *_S top$  for i
  by (simp add: range-adjoint-isometry assms)
  ultimately show ?thesis
  using < $X \neq \{\}$ > by (rule cblinfun-image-INF-eq-general)
qed

```

```

lemma isometry-cblinfun-image-inf-distrib[simp]:
  fixes U::<'a::chilbert-space ⇒CL 'b::chilbert-space>
  and X Y::'a ccsbspace
  assumes isometry U
  shows U *S (inf X Y) = inf (U *S X) (U *S Y)
  using cblinfun-image-INF-eq[where V=λb. if b then X else Y and U=U and X=UNIV]
  unfolding INF-UNIV-bool-expand
  using assms by auto

lemma cblinfun-image-ccspan:
  shows A *S ccspan G = ccspan ((*V) A ` G)
  by transfer (simp add: bounded-clinear.bounded-linear bounded-clinear-def closure-bounded-linear-image-subset-eq complex-vector.linear-span-image)

lemma cblinfun-apply-in-image[simp]: A *V ψ ∈ space-as-set (A *S ⊤)
  by (metis cblinfun-image.rep-eq closure-subset in-mono range-eqI top-ccsubspace.rep-eq)

lemma cblinfun-plus-image-distr:
  ⟨(A + B) *S S ≤ A *S S ∪ B *S S⟩
  by transfer (smt (verit, ccfv-threshold) closed-closure closed-sum-def closure-minimal closure-subset image-subset-iff set-plus-intro subset-eq)

lemma cblinfun-sum-image-distr:
  ⟨(∑ i∈I. A i) *S S ≤ (SUP i∈I. A i *S S)⟩
proof (cases ⟨finite I⟩)
  case True
  then show ?thesis
  proof induction
    case empty
    then show ?case
    by auto
  next
    case (insert x F)
    then show ?case
    by auto (smt (z3) cblinfun-plus-image-distr inf-sup-aci(6) le-iff-sup)
  qed
next
  case False
  then show ?thesis
  by auto
qed

lemma space-as-set-image-commute:
  assumes UV: ⟨U oCL V = id-cblinfun⟩ and VU: ⟨V oCL U = id-cblinfun⟩
  shows ⟨(*V) U ` space-as-set T = space-as-set (U *S T)⟩

```

```

proof -
  have ⟨space-as-set (U *S T) = U ‘ V ‘ space-as-set (U *S T)⟩
    by (simp add: image-image UV flip: cblinfun-apply-cblinfun-compose)
  also have ⟨... ⊆ U ‘ space-as-set (V *S U *S T)⟩
    by (metis cblinfun-image.rep-eq closure-subset image-mono)
  also have ⟨... = U ‘ space-as-set T⟩
    by (simp add: VU cblinfun-assoc-left(2))
  finally have 1: ⟨space-as-set (U *S T) ⊆ U ‘ space-as-set T⟩
    by -
  have 2: ⟨U ‘ space-as-set T ⊆ space-as-set (U *S T)⟩
    by (simp add: cblinfun-image.rep-eq closure-subset)
  from 1 2 show ?thesis
    by simp
qed

lemma right-total-rel-ccsubspace:
  fixes R :: 'a::complex-normed-vector ⇒ 'b::complex-normed-vector ⇒ bool
  assumes UV: ⟨U oCL V = id-cblinfun⟩
  assumes VU: ⟨V oCL U = id-cblinfun⟩
  assumes R-def: ⟨∀x y. R x y ↔ x = U *V y⟩
  shows ⟨right-total (rel-ccsubspace R)⟩
  proof (rule right-totalI)
    fix T :: 'b cccsubspace
    show ⟨∃S. rel-ccsubspace R S T⟩
      apply (rule exI[of - ⟨U *S T⟩])
      using UV VU by (auto simp add: rel-ccsubspace-def R-def rel-set-def simp flip:
        space-as-set-image-commute)
    qed

lemma left-total-rel-ccsubspace:
  fixes R :: 'a::complex-normed-vector ⇒ 'b::complex-normed-vector ⇒ bool
  assumes UV: ⟨U oCL V = id-cblinfun⟩
  assumes VU: ⟨V oCL U = id-cblinfun⟩
  assumes R-def: ⟨∀x y. R x y ↔ y = U *V x⟩
  shows ⟨left-total (rel-ccsubspace R)⟩
  proof -
    have ⟨right-total (rel-ccsubspace (conversep R))⟩
      apply (rule right-total-rel-ccsubspace)
      using assms by auto
    then show ?thesis
      by (auto intro!: right-total-conversep[THEN iffD1] simp: converse-rel-ccsubspace)
  qed

lemma cblinfun-image-bot-zero[simp]: ⟨A *S top = bot ↔ A = 0⟩
  by (metis Complex-Bounded-Linear-Function.zero-cblinfun-image bot-ccsubspace.rep-eq
    cblinfun-apply-in-image cblinfun-eqI empty-if insert-if zero-ccsubspace-def)

lemma surj-isometry-is-unitary:
  — This lemma is a bit stronger than its name suggests: We actually only require

```

that the image of  $U$  is dense.

The converse is *unitary-surj*

```
fixes  $U :: \langle a::chilbert-space \Rightarrow_{CL} b::chilbert-space \rangle$ 
assumes  $\langle \text{isometry } U \rangle$ 
assumes  $\langle U *_S \top = \top \rangle$ 
shows  $\langle \text{unitary } U \rangle$ 
by (metis UNIV-I assms(1) assms(2) cblinfun-assoc-left(1) cblinfun-compose-id-right
cblinfun-eqI cblinfun-fixes-range id-cblinfun-apply isometry-def space-as-set-top uni-
tary-def)
```

**lemma** *cblinfun-apply-in-image'*:  $A *_V \psi \in \text{space-as-set}(A *_S S)$  **if**  $\langle \psi \in \text{space-as-set} S \rangle$   
**by** (metis cblinfun-image.rep-eq closure-subset image-subset-iff that)

**lemma** *cblinfun-image-ccspan-leqI*:  
**assumes**  $\langle \bigwedge v. v \in M \implies A *_V v \in \text{space-as-set} T \rangle$   
**shows**  $\langle A *_S \text{ccspan } M \leq T \rangle$   
**by** (simp add: assms cblinfun-image-ccspan ccspan-leqI image-subsetI)

**lemma** *cblinfun-same-on-image*:  $\langle A \psi = B \psi \rangle$  **if**  $\text{eq}: \langle A o_{CL} C = B o_{CL} C \rangle$  **and**  
**mem:**  $\langle \psi \in \text{space-as-set}(C *_S \top) \rangle$   
**proof** –  
**have**  $\langle A \psi = B \psi \rangle$  **if**  $\langle \psi \in \text{range } C \rangle$  **for**  $\psi$   
**by** (metis (no-types, lifting) eq cblinfun-apply-cblinfun-compose image-iff that)  
**moreover have**  $\langle \psi \in \text{closure}(\text{range } C) \rangle$   
**by** (metis cblinfun-image.rep-eq mem top-ccsubspace.rep-eq)  
**ultimately show** ?thesis  
**apply** (rule on-closure-eqI)  
**by** (auto simp: continuous-on-eq-continuous-at)  
**qed**

**lemma** *lift-cblinfun-comp*:  
— Utility lemma to lift a lemma of the form  $a o_{CL} b = c$  to become a more general rewrite rule.  
**assumes**  $\langle a o_{CL} b = c \rangle$   
**shows**  $\langle a o_{CL} b = c \rangle$   
**and**  $\langle a o_{CL} (b o_{CL} d) = c o_{CL} d \rangle$   
**and**  $\langle a *_S (b *_S S) = c *_S S \rangle$   
**and**  $\langle a *_V (b *_V x) = c *_V x \rangle$   
**apply** (fact assms)  
**apply** (simp add: assms cblinfun-assoc-left(1))  
**using** assms cblinfun-assoc-left(2) **apply** force  
**using** assms **by** force

**lemma** *cblinfun-image-def2*:  $\langle A *_S S = \text{ccspan}((*_V) A ` \text{space-as-set } S) \rangle$   
**apply** (simp add: flip: cblinfun-image-ccspan)  
**by** (metis ccspan-leqI ccspan-superset less-eq-ccsubspace.rep-eq order-class.order-eq-iff)

**lemma** *unitary-image-onb*:

— Logically belongs in an earlier section but the proof uses results from this section.

```
assumes <is-onb A>
assumes <unitary U>
shows <is-onb (U ` A)>
using assms
by (auto simp add: is-onb-def isometry-image-is-ortho-set isometry-preserves-norm
simp flip: cblinfun-image-ccspan)
```

### 13.9 Sandwiches

**lift-definition** *sandwich* ::  $\langle ('a::chilbert-space \Rightarrow_{CL} 'b::complex-inner) \Rightarrow (('a \Rightarrow_{CL} 'a) \Rightarrow_{CL} ('b \Rightarrow_{CL} 'b)) \rangle$  **is**

$$\langle \lambda(A::'a \Rightarrow_{CL} 'b). B. A \circ_{CL} B \circ_{CL} A^* \rangle$$

**proof**

```
fix A :: <'a \Rightarrow_{CL} 'b> and B B1 B2 :: <'a \Rightarrow_{CL} 'a> and c :: complex
show <A \circ_{CL} (B1 + B2) \circ_{CL} A^* = (A \circ_{CL} B1 \circ_{CL} A^*) + (A \circ_{CL} B2 \circ_{CL} A^*)>
```

by (simp add: cblinfun-compose-add-left cblinfun-compose-add-right)

```
show <A \circ_{CL} (c *_C B) \circ_{CL} A^* = c *_C (A \circ_{CL} B \circ_{CL} A^*)>
```

by auto

```
show <\exists K. \forall B. norm (A \circ_{CL} B \circ_{CL} A^*) \leq norm B * K>
```

**proof** (rule exI[of - <norm A \* norm (A^\*)>], rule allI)

fix B

```
have <norm (A \circ_{CL} B \circ_{CL} A^*) \leq norm (A \circ_{CL} B) * norm (A^*)>
```

using norm-cblinfun-compose by blast

also have <... \leq (norm A \* norm B) \* norm (A^\*)>

by (simp add: mult-right-mono norm-cblinfun-compose)

**finally show** <norm (A \circ\_{CL} B \circ\_{CL} A^\*) \leq norm B \* (norm A \* norm (A^\*))>

by (simp add: mult.assoc vector-space-over-itself.scale-left-commute)

qed

qed

**lemma** *sandwich-0*[simp]: <*sandwich* 0 = 0>

by (simp add: cblinfun-eqI sandwich.rep-eq)

**lemma** *sandwich-apply*: <*sandwich* A \*<sub>V</sub> B = A \circ\_{CL} B \circ\_{CL} A^\*>

**apply** (transfer fixing: A B) **by** auto

**lemma** *sandwich-arg-compose*:

assumes <isometry U>

shows <*sandwich* U x \circ\_{CL} *sandwich* U y = *sandwich* U (x \circ\_{CL} y)>

**apply** (simp add: sandwich-apply)

by (metis (no-types, lifting) lift-cblinfun-comp(2) assms cblinfun-compose-id-right isometryD)

**lemma** *norm-sandwich*: <norm (*sandwich* A) = (norm A)<sup>2</sup>> **for** A :: <'a::{chilbert-space} \Rightarrow\_{CL} 'b::{complex-inner}>

```

proof -
  have main:  $\langle \text{norm} (\text{sandwich } A) = (\text{norm } A)^2 \rangle$  for  $A :: \langle 'c :: \{\text{chilbert-space}, \text{not-singleton}\}$ 
 $\Rightarrow_{CL} 'd :: \{\text{complex-inner}\} \rangle$ 
  proof (rule norm-cblinfun-eqI)
    show  $\langle (\text{norm } A)^2 \leq \text{norm} (\text{sandwich } A *_V \text{id-cblinfun}) / \text{norm} (\text{id-cblinfun} ::$ 
 $'c \Rightarrow_{CL} \neg) \rangle$ 
    apply (auto simp: sandwich-apply)
    by -
    fix  $B$ 
    have  $\langle \text{norm} (\text{sandwich } A *_V B) \leq \text{norm} (A \circ_{CL} B) * \text{norm} (A*) \rangle$ 
    using norm-cblinfun-compose by (auto simp: sandwich-apply simp del: norm-adj)
    also have  $\langle \dots \leq (\text{norm } A * \text{norm } B) * \text{norm} (A*) \rangle$ 
      by (simp add: mult-right-mono norm-cblinfun-compose)
    also have  $\langle \dots \leq (\text{norm } A)^2 * \text{norm } B \rangle$ 
      by (simp add: power2-eq-square mult.assoc vector-space-over-itself.scale-left-commute)
    finally show  $\langle \text{norm} (\text{sandwich } A *_V B) \leq (\text{norm } A)^2 * \text{norm } B \rangle$ 
      by -
      show  $\langle 0 \leq (\text{norm } A)^2 \rangle$ 
      by auto
  qed

  show ?thesis
  proof (cases <class.not-singleton TYPE('a)>)
    case True
    show ?thesis
      apply (rule main[internalize-sort' 'c2])
      apply standard[1]
      using True by simp
  next
    case False
    have  $\langle A = 0 \rangle$ 
    apply (rule cblinfun-from-CARD-1-0[internalize-sort' 'a])
    apply (rule not-singleton-vs-CARD-1)
    apply (rule False)
    by standard
    then show ?thesis
      by simp
  qed
qed

lemma sandwich-apply-adj:  $\langle \text{sandwich } A (B*) = (\text{sandwich } A B)* \rangle$ 
  by (simp add: cblinfun-assoc-left(1) sandwich-apply)

lemma sandwich-id[simp]:  $\text{sandwich } id\text{-cblinfun} = id\text{-cblinfun}$ 
  apply (rule cblinfun-eqI)
  by (auto simp: sandwich-apply)

lemma sandwich-compose:  $\langle \text{sandwich} (A \circ_{CL} B) = \text{sandwich } A \circ_{CL} \text{sandwich } B \rangle$ 
  by (auto intro!: cblinfun-eqI simp: sandwich-apply)

```

```

lemma inj-sandwich-isometry: <inj (sandwich U)> if [simp]: <isometry U> for U
:: <'a::chilbert-space  $\Rightarrow_{CL}$  'b::chilbert-space>
  apply (rule inj-on-inverseI[where g=<(*_V) (sandwich (U*))>])
  by (auto simp flip: cblinfun-apply-cblinfun-compose sandwich-compose)

lemma sandwich-isometry-id: <isometry (U*)  $\implies$  sandwich U id-cblinfun = id-cblinfun>
  by (simp add: sandwich-apply isometry-def)

```

### 13.10 Projectors

```

lift-definition Proj :: ('a::chilbert-space) ccsubspace  $\Rightarrow$  'a  $\Rightarrow_{CL}$  'a
  is <projection>
  by (rule projection-bounded-clinear)

lemma Proj-range[simp]: Proj S *S top = S
proof transfer
  fix S :: <'a set> assume <closed-csubspace S>
  then have closure (range (projection S))  $\subseteq$  S
    by (metis closed-csubspace.closed closed-csubspace.subspace closure-closed complex-vector.subspace-0 csubspace-is-convex dual-order.eq-iff insert-absorb insert-not-empty projection-image)
  moreover have S  $\subseteq$  closure (range (projection S))
    using <closed-csubspace S>
    by (metis closed-csubspace-def closure-subset csubspace-is-convex equals0D projection-image subset-iff)
  ultimately show <closure (range (projection S)) = S>
    by auto
  qed

lemma adj-Proj: <(Proj M)* = Proj M>
  by transfer (simp add: projection-cadjoint)

lemma Proj-idempotent[simp]: <Proj M oCL Proj M = Proj M>
proof -
  have u1: <(cblinfun-apply (Proj M)) = projection (space-as-set M)>
    by transfer blast
  have <closed-csubspace (space-as-set M)>
    using space-as-set by auto
  hence u2: <(projection (space-as-set M)) o (projection (space-as-set M))>
    = <(projection (space-as-set M))>
    using projection-idem by fastforce
  have <(cblinfun-apply (Proj M)) o (cblinfun-apply (Proj M)) = cblinfun-apply (Proj M)>
    using u1 u2
    by simp
  hence <(cblinfun-apply ((Proj M) oCL (Proj M))) = cblinfun-apply (Proj M)>
    by (simp add: cblinfun-compose.rep-eq)
  thus ?thesis using cblinfun-apply-inject

```

```

    by auto
qed

lift-definition is-Proj :: <'a::complex-normed-vector  $\Rightarrow_{CL}$  'a  $\Rightarrow$  bool> is
   $\langle \lambda P. \exists M. \text{is-projection-on } P M \rangle$  .

lemma is-Proj-id[simp]: <is-Proj id-cblinfun>
  apply transfer
  by (auto intro!: exI[of _ UNIV] simp: is-projection-on-def is-arg-min-def)

lemma Proj-top[simp]: <Proj  $\top =$  id-cblinfun>
  by (metis Proj-idempotent Proj-range cblinfun-eqI cblinfun-fixes-range id-cblinfun-apply
    iso-tuple-UNIV-I space-as-set-top)

lemma Proj-on-own-range':
  fixes P :: <'a::chilbert-space  $\Rightarrow_{CL}$  'a>
  assumes < $P o_{CL} P = P$ > and < $P = P_S$ >
  shows <Proj ( $P *_S top$ ) =  $P$ >
proof -
  define M where  $M = P *_S top$ 
  have v3:  $x \in (\lambda x. x - P *_V x) -^c \{0\}$ 
    if  $x \in \text{range } (\text{cblinfun-apply } P)$ 
    for  $x :: 'a$ 
  proof -
    have v3-1: < $cblinfun-apply P \circ cblinfun-apply P = cblinfun-apply P$ >
      by (metis < $P o_{CL} P = P$ > cblinfun-compose.rep-eq)
    have < $\exists t. P *_V t = x$ >
      using that by blast
    then obtain t where t-def: < $P *_V t = x$ >
      by blast
    hence < $x - P *_V x = x - P *_V (P *_V t)$ >
      by simp
    also have < $\dots = x - (P *_V t)$ >
      using v3-1
      by (metis comp-apply)
    also have < $\dots = 0$ >
      by (simp add: t-def)
    finally have < $x - P *_V x = 0$ >
      by blast
    thus ?thesis
      by simp
  qed
  have v1:  $\text{range } (\text{cblinfun-apply } P) \subseteq (\lambda x. x - \text{cblinfun-apply } P x) -^c \{0\}$ 
    using v3
    by blast

  have x ∈ range (cblinfun-apply P)
    if  $x \in (\lambda x. x - P *_V x) -^c \{0\}$ 

```

```

for  $x :: 'a$ 
proof-
  have  $i1: \langle x - P *_V x = 0 \rangle$ 
    using that by blast
  have  $\langle x = P *_V x \rangle$ 
    by (simp add: i1 eq-iff-diff-eq-0)
  thus ?thesis
    by blast
qed
  hence  $v2: (\lambda x. x - cblinfun-apply P x) - ' \{0\} \subseteq range (cblinfun-apply P)$ 
    by blast
  have  $i1: \langle range (cblinfun-apply P) = (\lambda x. x - cblinfun-apply P x) - ' \{0\} \rangle$ 
    using v1 v2
    by (simp add: v1 dual-order.antisym)
  have  $p1: \langle closed \{(0::'a)\} \rangle$ 
    by simp
  have  $p2: \langle continuous (at x) (\lambda x. x - P *_V x) \rangle$ 
    for  $x$ 
proof-
  have  $\langle cblinfun-apply (id-cblinfun - P) = (\lambda x. x - P *_V x) \rangle$ 
    by (simp add: id-cblinfun.rep-eq minus-cblinfun.rep-eq)
  hence  $\langle bounded-clinear (cblinfun-apply (id-cblinfun - P)) \rangle$ 
    using cblinfun-apply
    by blast
  hence  $\langle continuous (at x) (cblinfun-apply (id-cblinfun - P)) \rangle$ 
    by (simp add: clinear-continuous-at)
  thus ?thesis
    using  $\langle cblinfun-apply (id-cblinfun - P) = (\lambda x. x - P *_V x) \rangle$ 
    by simp
qed

  have  $i2: \langle closed ((\lambda x. x - P *_V x) - ' \{0\}) \rangle$ 
    using p1 p2
    by (rule Abstract-Topology.continuous-closed-vimage)

  have  $\langle closed (range (cblinfun-apply P)) \rangle$ 
    using i1 i2
    by simp
  have  $u2: \langle cblinfun-apply P x \in space-as-set M \rangle$ 
    for  $x$ 
    by (simp add: M-def closed (range ((*_V) P)) cblinfun-image.rep-eq top-ccsubspace.rep-eq)

  have  $xy: \langle is-orthogonal (x - P *_V x) y \rangle$ 
    if  $y1: \langle y \in space-as-set M \rangle$ 
      for  $x y$ 
proof-
  have  $\langle \exists t. y = P *_V t \rangle$ 
    using y1
    by (simp add: M-def closed (range ((*_V) P)) cblinfun-image.rep-eq image-iff

```

```

top-ccsubspace.rep-eq)
then obtain t where t-def: < $y = P *_V t$ >
  by blast
have < $(x - P *_V x) \cdot_C y = (x - P *_V x) \cdot_C (P *_V t)$ >
  by (simp add: t-def)
also have < $\dots = (P *_V (x - P *_V x)) \cdot_C t$ >
  by (metis < $P = P$ > cinner-adj-left)
also have < $\dots = (P *_V x - P *_V (P *_V x)) \cdot_C t$ >
  by (simp add: cblinfun.diff-right)
also have < $\dots = (P *_V x - P *_V x) \cdot_C t$ >
  by (metis assms(1) comp-apply cblinfun-compose.rep-eq)
also have < $\dots = (0 \cdot_C t)$ >
  by simp
also have < $\dots = 0$ >
  by simp
finally show ?thesis by blast
qed
hence u1: < $x - P *_V x \in \text{orthogonal-complement}(\text{space-as-set } M)$ >
  for x
  by (simp add: orthogonal-complementI)
have closed-csubspace (space-as-set M)
  using space-as-set by auto
hence f1: < $(\text{Proj } M) *_V a = P *_V a$  for a>
  by (simp add: Proj.rep-eq projection-eqI u1 u2)
have (+) < $((P - \text{Proj } M) *_V a) = id$  for a>
  using f1
  by (auto intro!: ext simp add: minus-cblinfun.rep-eq)
hence b - b = cblinfun-apply (P - Proj M) a
  for a b
  by (metis (no-types) add-diff-cancel-right' id-apply)
hence cblinfun-apply (id-cblinfun - (P - Proj M)) a = a
  for a
  by (simp add: minus-cblinfun.rep-eq)
thus ?thesis
  using u1 u2 cblinfun-apply-inject diff-diff-eq2 diff-eq-diff-eq eq-id-iff id-cblinfun.rep-eq
    by (metis (no-types, opaque-lifting) M-def)
qed

```

**lemma** Proj-range-closed:  
**assumes** is-Proj P  
**shows** closed (range (cblinfun-apply P))  
**apply** (rule is-projection-on-closed[**where** f=<cblinfun-apply P>])  
**using** assms is-Proj.rep-eq is-projection-on-image by auto

**lemma** Proj-is-Proj[simp]:  
**fixes** M::<'a::chilbert-space ccsbspace,  
**shows** <is-Proj (Proj M)>  
**proof-**  
**have** u1: closed-csubspace (space-as-set M)

```

using space-as-set by blast
have v1:  $h = \text{Proj } M *_V h$ 
     $\in \text{orthogonal-complement}(\text{space-as-set } M)$  for  $h$ 
    by (simp add: Proj.rep_eq orthogonal-complementI projection-orthogonal u1)
have v2:  $\text{Proj } M *_V h \in \text{space-as-set } M$  for  $h$ 
    by (metis Proj.rep_eq mem_Collect_eq orthog-proj-exists projection-eqI space-as-set)
have u2: is-projection-on  $((*_V) (\text{Proj } M))$  (space-as-set  $M$ )
    unfolding is-projection-on-def
    by (simp add: smallest-dist-is-ortho u1 v1 v2)
show ?thesis
    using u1 u2 is-Proj.rep_eq by blast
qed

lemma is-Proj-algebraic:
fixes  $P :: ('a :: \text{chilbert-space} \Rightarrow_{CL} 'a)$ 
shows  $\langle \text{is-}\text{Proj } P \longleftrightarrow P \circ_{CL} P = P \wedge P = P^* \rangle$ 
proof
have  $P \circ_{CL} P = P$ 
    if is-Proj  $P$ 
        using that apply transfer
        using is-projection-on-idem
        by fastforce
moreover have  $P = P^*$ 
    if is-Proj  $P$ 
        using that Proj-range-closed[OF that] is-projection-on-cadjoint[where  $\pi = P$ 
        and  $M = \langle \text{range } P \rangle$ 
        by transfer (metis bounded-clinear.axioms(1) closed-csubspace-UNIV closed-csubspace-def
        complex-vector.linear-subspace-image is-projection-on-image)
ultimately show  $P \circ_{CL} P = P \wedge P = P^*$ 
    if is-Proj  $P$ 
        using that
        by blast
show is-Proj  $P$ 
    if  $P \circ_{CL} P = P \wedge P = P^*$ 
        using that Proj-on-own-range' Proj-is-Proj by metis
qed

lemma Proj-on-own-range:
fixes  $P :: ('a :: \text{chilbert-space} \Rightarrow_{CL} 'a)$ 
assumes  $\langle \text{is-}\text{Proj } P \rangle$ 
shows  $\langle \text{Proj } (P *_S \text{top}) = P \rangle$ 
using Proj-on-own-range' assms is-Proj-algebraic by blast

lemma Proj-image-leq:  $(\text{Proj } S) *_S A \leq S$ 
by (metis Proj-range inf-top-left le-inf-iff isometry-cblinfun-image-inf-distrib')

lemma Proj-sandwich:
fixes  $A :: ('a :: \text{chilbert-space} \Rightarrow_{CL} 'b :: \text{chilbert-space}$ 
assumes isometry  $A$ 

```

```

shows sandwich A *_V Proj S = Proj (A *_S S)
proof -
  define P where <P = A oCL Proj S oCL (A*)>
  have <P oCL P = P>
    using assms
    unfolding P-def isometry-def
    by (metis (no-types, lifting) Proj-idempotent cblinfun-assoc-left(1) cblinfun-compose-id-left)
    moreover have <P = P*>
      unfolding P-def
      by (metis adj-Proj adj-cblinfun-compose cblinfun-assoc-left(1) double-adj)
      ultimately have
        <! M. P = Proj M ∧ space-as-set M = range (cblinfun-apply (A oCL (Proj S)
        oCL (A*)))>
        using P-def Proj-on-own-range'
        by (metis Proj-is-Proj Proj-range-closed cblinfun-image.rep-eq closure-closed
        top-ccsubspace.rep-eq)
        then obtain M where <P = Proj M>
        and <space-as-set M = range (cblinfun-apply (A oCL (Proj S) oCL (A*)))>
        by blast

  have f1: A oCL Proj S = P oCL A
    by (simp add: P-def assms cblinfun-compose-assoc)
  hence P oCL A oCL A* = P
    using P-def by presburger
  hence (P oCL A) *_S (c ∪ A* *_S d) = P *_S (A *_S c ∪ d)
    for c d
      by (simp add: cblinfun-assoc-left(2))
  hence P *_S (A *_S ⊤ ∪ c) = (P oCL A) *_S ⊤
    for c
      by (metis sup-top-left)
  hence <M = A *_S S>
    using f1
    by (metis <P = Proj M> cblinfun-assoc-left(2) Proj-range sup-top-right)
  thus ?thesis
    using <P = Proj M>
    unfolding P-def sandwich-apply by blast
qed

lemma Proj-orthog-ccspan-union:
  assumes ∀x y. x ∈ X ⇒ y ∈ Y ⇒ is-orthogonal x y
  shows <Proj (ccspan (X ∪ Y)) = Proj (ccspan X) + Proj (ccspan Y)>
proof -
  have <x ∈ cspan X ⇒ y ∈ cspan Y ⇒ is-orthogonal x y> for x y
    apply (rule is-orthogonal-closure-ccspan[where X=X and Y=Y])
    using closure-subset assms by auto
  then have <x ∈ closure (cspan X) ⇒ y ∈ closure (cspan Y) ⇒ is-orthogonal
  x y> for x y
    by (metis orthogonal-complementI orthogonal-complement-of-closure orthogonal)

```

```

nal-complement-orthoI')
  then show ?thesis
    apply (transfer fixing: X Y)
    apply (subst projection-plus[symmetric])
    by auto
qed

abbreviation proj :: 'a::chilbert-space ⇒ 'a ⇒CL 'a where proj ψ ≡ Proj (ccspan {ψ})

lemma proj-0[simp]: ⟨proj 0 = 0⟩
  by transfer auto

lemma ccsubspace-supI-via-Proj:
  fixes A B C::'a::chilbert-space ccsubspace
  assumes a1: ⟨Proj (– C) ∗S A ≤ B⟩
  shows A ≤ B ∪ C
proof –
  have x2: ⟨x ∈ space-as-set B⟩
    if x ∈ closure (projection (orthogonal-complement (space-as-set C))) ‘
    space-as-set A) for x
    using that
    by (metis Proj.rep-eq cblinfun-image.rep-eq assms less-eq-ccsubspace.rep-eq sub-
    setD
      uminus-ccsubspace.rep-eq)
  have q1: ⟨x ∈ closure {ψ + φ | ψ φ. ψ ∈ space-as-set B ∧ φ ∈ space-as-set C}⟩
    if ⟨x ∈ space-as-set A⟩
    for x
  proof –
    have p1: ⟨closed-ccsubspace (space-as-set C)⟩
      using space-as-set by auto
    hence ⟨x = (projection (space-as-set C)) x
      + (projection (orthogonal-complement (space-as-set C))) x⟩
      by simp
    hence ⟨x = (projection (orthogonal-complement (space-as-set C))) x
      + (projection (space-as-set C)) x⟩
      by (metis ordered-field-class.sign-simps(2))
    moreover have ⟨(projection (orthogonal-complement (space-as-set C))) x ∈
    space-as-set B⟩
      using x2
      by (meson closure-subset image-subset-iff that)
    moreover have ⟨(projection (space-as-set C)) x ∈ space-as-set C⟩
      by (metis mem-Collect-eq orthog-proj-exists projection-eqI space-as-set)
    ultimately show ?thesis
      using closure-subset by force
  qed
  have x1: ⟨x ∈ (space-as-set B +M space-as-set C)⟩
    if x ∈ space-as-set A for x
  proof –

```

```

have f1:  $x \in \text{closure} \{a + b \mid a, b. a \in \text{space-as-set } B \wedge b \in \text{space-as-set } C\}$ 
  by (simp add: q1 that)
have  $\{a + b \mid a, b. a \in \text{space-as-set } B \wedge b \in \text{space-as-set } C\} = \{a. \exists p. p \in \text{space-as-set } B$ 
 $\wedge (\exists q. q \in \text{space-as-set } C \wedge a = p + q)\}$ 
  by blast
hence  $x \in \text{closure} \{a. \exists b \in \text{space-as-set } B. \exists c \in \text{space-as-set } C. a = b + c\}$ 
  using f1 by (simp add: Bex-def/raw)
thus ?thesis
  using that
  unfolding closed-sum-def set-plus-def
  by blast
qed

hence  $\langle x \in \text{space-as-set} (\text{Abs-ccsubspace} (\text{space-as-set } B +_M \text{space-as-set } C)) \rangle$ 
  if  $x \in \text{space-as-set } A$  for  $x$ 
  using that
  by (metis space-as-set-inverse sup-ccsubspace.rep-eq)
thus ?thesis
  by (simp add: x1 less-eq-ccsubspace.rep-eq subset-eq sup-ccsubspace.rep-eq)
qed

lemma is-Proj-idempotent:
  assumes is-Proj P
  shows  $P \circ_{CL} P = P$ 
  using assms apply transfer
  using is-projection-on-fixes-image is-projection-on-in-image by fastforce

lemma is-proj-selfadj:
  assumes is-Proj P
  shows  $P^* = P$ 
  using assms
  unfolding is-Proj-def
  by (metis is-Proj-algebraic is-Proj-def)

lemma is-Proj-I:
  assumes  $P \circ_{CL} P = P$  and  $P^* = P$ 
  shows is-Proj P
  using assms is-Proj-algebraic by metis

lemma is-Proj-0[simp]: is-Proj 0
  apply transfer apply (rule exI[of - 0])
  by (simp add: is-projection-on-zero)

lemma is-Proj-complement[simp]:
  fixes  $P :: ('a::chilbert-space \Rightarrow_{CL} 'a)$ 
  assumes a1: is-Proj P
  shows is-Proj (id-cblinfun - P)
  by (smt (z3) add-diff-cancel-left add-diff-cancel-left' adj-cblinfun-compose adj-plus)

```

*assms bounded-cbilinear.add-left bounded-cbilinear-cblinfun-compose diff-add-cancel id-cblinfun-adjoint is-Proj-algebraic cblinfun-compose-id-left)*

**lemma** *Proj-bot*[simp]: *Proj bot = 0*

**by** (*metis zero-cblinfun-image Proj-on-own-range' is-Proj-0 is-Proj-algebraic zero-ccsubspace-def*)

**lemma** *Proj-ortho-compl*:

*Proj (– X) = id-cblinfun – Proj X*

**by** (*transfer, auto*)

**lemma** *Proj-inj*:

**assumes** *Proj X = Proj Y*

**shows** *X = Y*

**by** (*metis assms Proj-range*)

**lemma** *norm-Proj-leq1*: *<norm (Proj M) ≤ 1> for M :: 'a :: chilbert-space ccspace*

**by** *transfer (metis (no-types, opaque-lifting) mult.left-neutral onorm-bound projection-reduces-norm zero-less-one-class.zero-le-one)*

**lemma** *Proj-orthog-ccspan-insert*:

**assumes**  $\bigwedge y. y \in Y \implies \text{is-orthogonal } x y$

**shows** *<Proj (ccspan (insert x Y)) = proj x + Proj (ccspan Y)>*

**apply** (*subst asm-rl[of <insert x Y = {x} ∪ Y>, simp]*)

**apply** (*rule Proj-orthog-ccspan-union*)

**using** *assms* **by** *auto*

**lemma** *Proj-fixes-image*: *<Proj S \*<sub>V</sub> ψ = ψ> if <ψ ∈ space-as-set S>*

**by** (*metis Proj-idempotent Proj-range that cblinfun-fixes-range*)

**lemma** *norm-is-Proj*: *<norm P ≤ 1> if <is-Proj P> for P :: 'a :: chilbert-space ⇒<sub>CL</sub> 'a>*

**by** (*metis Proj-on-own-range norm-Proj-leq1 that*)

**lemma** *Proj-sup*: *<orthogonal-spaces S T ⇒ Proj (sup S T) = Proj S + Proj T>*

**unfolding** *orthogonal-spaces-def*

**by** *transfer (simp add: projection-plus)*

**lemma** *Proj-sum-spaces*:

**assumes** *<finite X>*

**assumes** *<mathrel{\\bigwedge} x y. x \in X \implies y \in X \implies x \neq y \implies \text{orthogonal-spaces } (J x) (J y)>*

**shows** *<Proj (∑ x \in X. J x) = (∑ x \in X. Proj (J x))>*

**using** *assms*

**proof induction**

**case** *empty*

**show** ?case

**by** *auto*

**next**

```

case (insert x F)
have ⟨Proj (sum J (insert x F)) = Proj (J x ⊔ sum J F)⟩
  by (simp add: insert)
also have ⟨... = Proj (J x) + Proj (sum J F)⟩
  apply (rule Proj-sup)
  apply (rule orthogonal-sum)
  using insert by auto
also have ⟨... = (∑ x∈insert x F. Proj (J x))⟩
  by (simp add: insert.IH insert.hyps(1) insert.hyps(2) insert.preds)
finally show ?case
  by –
qed

lemma is-Proj-reduces-norm:
fixes P :: ⟨'a::complex-inner ⇒CL 'a⟩
assumes ⟨is-Proj P⟩
shows ⟨norm (P *V ψ) ≤ norm ψ⟩
apply (rule is-projection-on-reduces-norm[where M=⟨range P⟩])
using assms is-Proj.rep_eq is-projection-on-image by blast (simp add: Proj-range-closed
assms closed-csubspace.intro)

lemma norm-Proj-apply: ⟨norm (Proj T *V ψ) = norm ψ ⟷ ψ ∈ space-as-set T⟩
proof (rule iffI)
show ⟨norm (Proj T *V ψ) = norm ψ⟩ if ⟨ψ ∈ space-as-set T⟩
  by (simp add: Proj-fixes-image that)
assume assm: ⟨norm (Proj T *V ψ) = norm ψ⟩
have ψ-decomp: ⟨ψ = Proj T ψ + Proj (−T) ψ⟩
  by (simp add: Proj-ortho-compl cblinfun.real.diff-left)
have ⟨(norm (Proj (−T) ψ))2 = (norm (Proj T ψ))2 + (norm (Proj (−T) ψ))2
  − (norm (Proj T ψ))2⟩
  by auto
also have ⟨... = (norm (Proj T ψ + Proj (−T) ψ))2 − (norm (Proj T ψ))2⟩
  apply (subst pythagorean-theorem)
apply (metis (no-types, lifting) Proj-idempotent ψ-decomp add-cancel-right-left
adj-Proj cblinfun.real.add-right cblinfun-apply-cblinfun-compose cinner-adj-left cinner-zero-left)
  by simp
also with ψ-decomp have ⟨... = (norm ψ)2 − (norm (Proj T ψ))2⟩
  by metis
also with assm have ⟨... = 0⟩
  by simp
finally have ⟨norm (Proj (−T) ψ) = 0⟩
  by auto
with ψ-decomp have ⟨ψ = Proj T ψ⟩
  by auto
then show ⟨ψ ∈ space-as-set T⟩
  by (metis Proj-range cblinfun-apply-in-image)
qed

```

```

lemma norm-Proj-apply-1: < $\text{norm } \psi = 1 \implies \text{norm} (\text{Proj } T *_V \psi) = 1 \longleftrightarrow \psi \in \text{space-as-set } T$ >
  using norm-Proj-apply by metis

lemma norm-is-Proj-nonzero: < $\text{norm } P = 1 \text{ if } \text{is-}\text{Proj } P \text{ and } P \neq 0$  for  $P ::$   

  <math>a::\text{chilbert-space} \Rightarrow_{CL} a>proof (rule antisym)
    show < $\text{norm } P \leq 1$ >
      by (simp add: norm-is-Proj that(1))
    from < $P \neq 0$ >
    have < $\text{range } P \neq 0$ >
      by (metis cblinfun-eq-0-on-UNIV-span complex-vector.span-UNIV rangeI set-zero
        singletonD)
    then obtain  $\psi$  where < $\psi \in \text{range } P$  and  $\psi \neq 0$ >
      by force
    then have < $P \psi = \psi$ >
      using is-Proj.rep-eq is-projection-on-fixes-image is-projection-on-image that(1)
    by blast
    then show < $\text{norm } P \geq 1$ >
      apply (rule-tac cblinfun-norm-geqI[of - -  $\psi$ ])
      using < $\psi \neq 0$ > by simp
  qed

lemma Proj-compose-cancell:
  assumes < $A *_S \top \leq S$ >
  shows < $\text{Proj } S o_{CL} A = A$ >
  apply (rule cblinfun-eqI)
  proof -
    fix  $x$ 
    have < $(\text{Proj } S o_{CL} A) *_V x = \text{Proj } S *_V (A *_V x)$ >
    by simp
    also have < $\dots = A *_V x$ >
      apply (rule Proj-fixes-image)
      using assms cblinfun-apply-in-image less-eq-ccsubspace.rep-eq by blast
    finally show < $(\text{Proj } S o_{CL} A) *_V x = A *_V x$ >
      by -
  qed

lemma space-as-setI-via-Proj:
  assumes < $\text{Proj } M *_V x = x$ >
  shows < $x \in \text{space-as-set } M$ >
  using assms norm-Proj-apply by fastforce

lemma unitary-image-ortho-compl:
  — Logically, this lemma belongs in an earlier section but its proof uses projectors.
  fixes  $U ::$  < $a::\text{chilbert-space} \Rightarrow_{CL} b::\text{chilbert-space}$ >
  assumes [simp]: < $\text{unitary } U$ >

```

```

shows ⟨ $U *_S (- A) = - (U *_S A)$ ⟩
proof -
have ⟨ $\text{Proj} (U *_S (- A)) = \text{sandwich } U (\text{Proj} (- A))$ ⟩
  by (simp add: Proj-sandwich)
also have ⟨... = sandwich  $U (\text{id-cblinfun} - \text{Proj } A)$ ⟩
  by (simp add: Proj-ortho-compl)
also have ⟨... =  $\text{id-cblinfun} - \text{sandwich } U (\text{Proj } A)$ ⟩
  by (metis assms cblinfun.diff-right sandwich-isometry-id unitary-twosided-isometry)
also have ⟨... =  $\text{id-cblinfun} - \text{Proj} (U *_S A)$ ⟩
  by (simp add: Proj-sandwich)
also have ⟨... =  $\text{Proj} (- (U *_S A))$ ⟩
  by (simp add: Proj-ortho-compl)
finally show ?thesis
  by (simp add: Proj-inj)
qed

```

```

lemma Proj-on-image [simp]: ⟨ $\text{Proj } S *_S S = S$ ⟩
  by (metis Proj-idempotent Proj-range cblinfun-compose-image)

```

### 13.11 Kernel / eigenspaces

```

lift-definition kernel :: 'a::complex-normed-vector  $\Rightarrow_{CL}$  'b::complex-normed-vector
   $\Rightarrow$  'a ccsubspace
  is  $\lambda f. f - ^\circ \{0\}$ 
  by (metis kernel-is-closed-csubspace)

```

```

definition eigenspace :: complex  $\Rightarrow$  'a::complex-normed-vector  $\Rightarrow_{CL}$  'a  $\Rightarrow$  'a ccsub-
space where
  eigenspace a A = kernel (A - a *C id-cblinfun)

```

```

lemma kernel-scaleC[simp]:  $a \neq 0 \implies \text{kernel} (a *_C A) = \text{kernel } A$ 
  for a :: complex and A :: (-,-) cblinfun
  apply transfer
  using complex-vector.scale-eq-0-iff by blast

```

```

lemma kernel-0[simp]: kernel 0 = top
  by transfer auto

```

```

lemma kernel-id[simp]: kernel id-cblinfun = 0
  by transfer simp

```

```

lemma eigenspace-scaleC[simp]:
  assumes a1:  $a \neq 0$ 
  shows eigenspace b (a *C A) = eigenspace (b/a) A
proof -
  have b *C (id-cblinfun::('a, -) cblinfun) = a *C (b / a) *C id-cblinfun
    using a1
  by (metis ceq-vector-fraction-iff)

```

```

hence kernel (a *C A - b *C id-cblinfun) = kernel (A - (b / a) *C id-cblinfun)
  using a1 by (metis (no-types) complex-vector.scale-right-diff-distrib kernel-scaleC)
  thus ?thesis
    unfolding eigenspace-def
    by blast
qed

lemma eigenspace-memberD:
  assumes x ∈ space-as-set (eigenspace e A)
  shows A *V x = e *C x
  using assms unfolding eigenspace-def by transfer auto

lemma kernel-memberD:
  assumes x ∈ space-as-set (kernel A)
  shows A *V x = 0
  using assms by transfer auto

lemma eigenspace-memberI:
  assumes A *V x = e *C x
  shows x ∈ space-as-set (eigenspace e A)
  using assms unfolding eigenspace-def by transfer auto

lemma kernel-memberI:
  assumes A *V x = 0
  shows x ∈ space-as-set (kernel A)
  using assms by transfer auto

lemma kernel-Proj[simp]: ‹kernel (Proj S) = - S›
  apply transfer
  apply auto
  apply (metis diff-0-right is-projection-on-iff-orthog projection-is-projection-on')
  by (simp add: complex-vector.subspace-0 projection-eqI)

lemma orthogonal-projectors-orthogonal-spaces:
  — Logically belongs in section "Projectors".
  fixes S T :: ‹'a::chilbert-space ccsubspace›
  shows ‹orthogonal-spaces S T ↔ Proj S oCL Proj T = 0›
  proof (intro ballI iffI)
    assume ‹Proj S oCL Proj T = 0›
    then have ‹is-orthogonal x y› if ‹x ∈ space-as-set S› ‹y ∈ space-as-set T› for x y
      by (metis (no-types, opaque-lifting) Proj-fixes-image adj-Proj cblinfun.zero-left
          cblinfun-apply-cblinfun-compose cinner-adj-right cinner-zero-right that(1) that(2))
    then show ‹orthogonal-spaces S T›
      by (simp add: orthogonal-spaces-def)
  next
    assume ‹orthogonal-spaces S T›
    then have ‹S ≤ - T›
      by (simp add: orthogonal-spaces-leq-compl)

```

```

then show ⟨Proj S oCL Proj T = 0⟩
  by (metis (no-types, opaque-lifting) Proj-range adj-Proj adj-cblinfun-compose ba-
sic-trans-rules(31) cblinfun.zero-left cblinfun-apply-cblinfun-compose cblinfun-apply-in-image
cblinfun-eQI kernel-Proj kernel-memberD less-eq-ccsubspace.rep-eq)
qed

lemma cblinfun-compose-Proj-kernel[simp]: ⟨a oCL Proj (kernel a) = 0⟩
  apply (rule cblinfun-eqI)
  by simp (metis Proj-range cblinfun-apply-in-image kernel-memberD)

lemma kernel-compl-adj-range:
  shows ⟨kernel a = − (a* *S top)⟩
  proof (rule ccspace-eqI)
    fix x
    have ⟨x ∈ space-as-set (kernel a) ⟷ a x = 0⟩
      by transfer simp
    also have ⟨a x = 0 ⟷ (∀ y. is-orthogonal y (a x))⟩
      by (metis cinner-gt-zero-iff cinner-zero-right)
    also have ⟨... ⟷ (∀ y. is-orthogonal (a* *V y) x)⟩
      by (simp add: cinner-adj-left)
    also have ⟨... ⟷ x ∈ space-as-set (− (a* *S top))⟩
      by transfer (metis (mono-tags, opaque-lifting) UNIV-I image-iff is-orthogonal-sym
orthogonal-complementI orthogonal-complement-of-closure orthogonal-complement-orthoI')
    finally show ⟨x ∈ space-as-set (kernel a) ⟷ x ∈ space-as-set (− (a* *S top))⟩
      by −
  qed

lemma kernel-apply-self: ⟨A *S kernel A = 0⟩
  proof transfer
    fix A :: 'b ⇒ 'a
    assume ⟨bounded-clinear A⟩
    then have ⟨A 0 = 0⟩
      by (simp add: bounded-clinear-def complex-vector.linear-0)
    then have ⟨A ‘ A − {0} = {0}⟩
      by fastforce
    then show ⟨closure (A ‘ A − {0}) = {0}⟩
      by auto
  qed

lemma leq-kernel-iff:
  shows ⟨A ≤ kernel B ⟷ B *S A = 0⟩
  proof (rule iffI)
    assume ⟨A ≤ kernel B⟩
    then have ⟨B *S A ≤ B *S kernel B⟩
      by (simp add: cblinfun-image-mono)
    also have ⟨... = 0⟩
      by (simp add: kernel-apply-self)
    finally show ⟨B *S A = 0⟩

```

```

    by (simp add: bot.extremum-unique)
next
  assume <B *S A = 0>
  then show <A ≤ kernel B>
    apply transfer
    by (metis closure-subset image-subset-iff-subset-vimage)
qed

lemma cblinfun-image-kernel:
  assumes <C *S A *S kernel B ≤ kernel B>
  assumes <A oCL C = id-cblinfun>
  shows <A *S kernel B = kernel (B oCL C)>
proof (rule antisym)
  show <A *S kernel B ≤ kernel (B oCL C)>
    using assms(1) by (simp add: leq-kernel-iff cblinfun-compose-image)
  show <kernel (B oCL C) ≤ A *S kernel B>
    proof (insert assms(2), transfer, intro subsetI)
      fix A :: <'a ⇒ 'b> and B :: <'a ⇒ 'c> and C :: <'b ⇒ 'a> and x
      assume <x ∈ (B ∘ C) - {0}>
      then have BCx: <B (C x) = 0>
        by simp
      assume <A ∘ C = (λx. x)>
      then have <x = A (C x)>
        apply (simp add: o-def)
        by metis
      then show <x ∈ closure (A ∘ B - {0})>
        using <B (C x) = 0> closure-subset by fastforce
    qed
  qed
qed

lemma cblinfun-image-kernel-unitary:
  assumes <unitary U>
  shows <U *S kernel B = kernel (B oCL U*)>
  apply (rule cblinfun-image-kernel)
  using assms by (auto simp flip: cblinfun-compose-image)

lemma kernel-cblinfun-compose:
  assumes <kernel B = 0>
  shows <kernel A = kernel (B oCL A)>
  using assms apply transfer by auto

lemma eigenspace-0[simp]: <eigenspace 0 A = kernel A>
  by (simp add: eigenspace-def)

lemma kernel-isometry: <kernel U = 0> if <isometry U>
  by (simp add: kernel-compl-adj-range range-adjoint-isometry that)

lemma cblinfun-image-eigenspace-isometry:

```

```

assumes [simp]: ‹isometry A› and ‹c ≠ 0›
shows ‹A *S eigenspace c B = eigenspace c (sandwich A B)›
proof (rule antisym)
show ‹A *S eigenspace c B ≤ eigenspace c (sandwich A B)›
proof (unfold cblinfun-image-def2, rule cccspan-leqI, rule subsetI)
fix x assume ‹x ∈ (*V) A ‘ space-as-set (eigenspace c B)›
then obtain y where x-def: ‹x = A y› and ‹y ∈ space-as-set (eigenspace c B)›
by auto
then have ‹B y = c *C y›
by (simp add: eigenspace-memberD)
then have ‹sandwich A B x = c *C x›
apply (simp add: sandwich-apply x-def cblinfun-compose-assoc
flip: cblinfun-apply-cblinfun-compose)
by (simp add: cblinfun.scaleC-right)
then show ‹x ∈ space-as-set (eigenspace c (sandwich A B))›
by (simp add: eigenspace-memberI)
qed
show ‹eigenspace c (sandwich A *V B) ≤ A *S eigenspace c B›
proof (rule cccsubspace-leI-unit)
fix x
assume ‹x ∈ space-as-set (eigenspace c (sandwich A B))›
then have *: ‹sandwich A B x = c *C x›
by (simp add: eigenspace-memberD)
then have ‹c *C x ∈ range A›
apply (simp add: sandwich-apply)
by (metis rangeI)
then have ‹(inverse c * c) *C x ∈ range A›
apply (simp flip: scaleC-scaleC)
by (metis (no-types, lifting) cblinfun.scaleC-right rangeE rangeI)
with ‹c ≠ 0› have ‹x ∈ range A›
by simp
then obtain y where x-def: ‹x = A y›
by auto
have ‹B *V y = A * *V sandwich A B x›
apply (simp add: sandwich-apply x-def)
by (metis assms cblinfun-apply-cblinfun-compose id-cblinfun.rep-eq isometryD)
also have ‹... = c *C y›
apply (simp add: * cblinfun.scaleC-right)
apply (simp add: x-def)
by (metis assms(1) cblinfun-apply-cblinfun-compose id-cblinfun-apply isometry-def)
finally have ‹y ∈ space-as-set (eigenspace c B)›
by (simp add: eigenspace-memberI)
then show ‹x ∈ space-as-set (A *S eigenspace c B)›
by (simp add: x-def cblinfun-apply-in-image')
qed
qed

```

```

lemma cblinfun-image-eigenspace-unitary:
assumes [simp]: <unitary A>
shows <A *S eigenspace c B = eigenspace c (sandwich A B)>
apply (cases <c = 0>)
apply (simp add: sandwich-apply cblinfun-image-kernel-unitary kernel-isometry
cblinfun-compose-assoc
flip: kernel-cblinfun-compose)
by (simp add: cblinfun-image-eigenspace-isometry)

lemma kernel-member-iff: <x ∈ space-as-set (kernel A) ↔ A *V x = 0>
using kernel-memberD kernel-memberI by blast

lemma kernel-square[simp]: <kernel (A* oCL A) = kernel A>
proof (intro ccsubspace-eqI iffI)
fix x
assume <x ∈ space-as-set (kernel A)>
then show <x ∈ space-as-set (kernel (A* oCL A))>
by (simp add: kernel.rep-eq)
next
fix x
assume <x ∈ space-as-set (kernel (A* oCL A))>
then have <A* *V A *V x = 0>
by (simp add: kernel.rep-eq)
then have <(A *V x) •C (A *V x) = 0>
by (metis cinner-adj-right cinner-zero-right)
then have <A *V x = 0>
by auto
then show <x ∈ space-as-set (kernel A)>
by (simp add: kernel.rep-eq)
qed

```

### 13.12 Partial isometries

```

definition partial-isometry where
<partial-isometry A ↔ ( ∀ h ∈ space-as-set (– kernel A). norm (A h) = norm h )>

lemma partial-isometryI:
assumes < ∀ h. h ∈ space-as-set (– kernel A) ⇒ norm (A h) = norm h >
shows <partial-isometry A>
using assms partial-isometry-def by blast

lemma
fixes A :: <'a :: chilbert-space ⇒CL 'b :: complex-normed-vector>
assumes iso: < ∀ψ. ψ ∈ space-as-set V ⇒ norm (A *V ψ) = norm ψ >
assumes zero: < ∀ψ. ψ ∈ space-as-set (– V) ⇒ A *V ψ = 0 >
shows partial-isometryI': <partial-isometry A>
and partial-isometry-initial: <kernel A = – V>
proof –

```

```

from zero
have ⟨– V ≤ kernel A⟩
  by (simp add: kernel-memberI less-eq-ccsubspace.rep-eq subsetI)
moreover have ⟨kernel A ≤ –V⟩
  by (smt (verit, ccfv-threshold) Proj-ortho-compl Proj-range assms(1) cblin-
fun.diff-left cblinfun.diff-right cblinfun-apply-in-image cblinfun-id-cblinfun-apply cc-
subspace-leI kernel-Proj kernel-memberD kernel-memberI norm-eq-zero ortho-involution
subsetI zero)
ultimately show kerA: ⟨kernel A = –V⟩
  by simp

show ⟨partial-isometry A⟩
  apply (rule partial-isometryI)
  by (simp add: kerA iso)
qed

lemma Proj-partial-isometry[simp]: ⟨partial-isometry (Proj S)⟩
  apply (rule partial-isometryI)
  by (simp add: Proj-fixes-image)

lemma is-Proj-partial-isometry: ⟨is-Proj P ⟹ partial-isometry P⟩ for P :: ⟨- :: chilbert-space ⇒CL -⟩
  by (metis Proj-on-own-range Proj-partial-isometry)

lemma isometry-partial-isometry: ⟨isometry P ⟹ partial-isometry P⟩
  by (simp add: isometry-preserves-norm partial-isometry-def)

lemma unitary-partial-isometry: ⟨unitary P ⟹ partial-isometry P⟩
  using isometry-partial-isometry unitary-isometry by blast

lemma norm-partial-isometry:
  fixes A :: ⟨'a :: chilbert-space ⇒CL 'b::complex-normed-vector⟩
  assumes ⟨partial-isometry A⟩ and ⟨A ≠ 0⟩
  shows ⟨norm A = 1⟩
proof –
  from ⟨A ≠ 0⟩
  have ⟨– (kernel A) ≠ 0⟩
    by (metis cblinfun-eqI diff-zero id-cblinfun-apply kernel-id kernel-memberD or-
    tho-involution orthog-proj-exists orthogonal-complement-closed-subspace uminus-ccsubspace.rep-eq
    zero-cblinfun.rep-eq)
  then obtain h where ⟨h ∈ space-as-set (– kernel A)⟩ and ⟨h ≠ 0⟩
    by (metis cblinfun-id-cblinfun-apply cccsubspace-eqI closed-ccsubspace.subspace
    complex-vector.subspace-0 kernel-id kernel-memberD kernel-memberI orthogonal-complement-closed-subspace
    uminus-ccsubspace.rep-eq)
  with ⟨partial-isometry A⟩
  have ⟨norm (A h) = norm h⟩
    using partial-isometry-def by blast
  then have ⟨norm A ≥ 1⟩
    by (smt (verit) ⟨h ≠ 0⟩ mult-cancel-right1 mult-left-le-one-le norm-cblinfun

```

```

norm-eq-zero norm-ge-zero)

have ⟨norm A ≤ 1⟩
proof (rule norm-cblinfun-bound)
  show ⟨0 ≤ (1::real)⟩
    by simp
  fix ψ :: 'a
  define g h where ⟨g = Proj (kernel A) ψ⟩ and ⟨h = Proj (– kernel A) ψ⟩
  have ⟨A g = 0⟩
    by (metis Proj-range cblinfun-apply-in-image g-def kernel-memberD)
  moreover from ⟨partial-isometry A⟩
  have ⟨norm (A h) = norm h⟩
    by (metis Proj-range cblinfun-apply-in-image h-def partial-isometry-def)
  ultimately have ⟨norm (A ψ) = norm h⟩
    by (simp add: Proj-ortho-compl cblinfun.diff-left cblinfun.diff-right g-def h-def)
  also have ⟨norm h ≤ norm ψ⟩
    by (smt (verit, del-insts) h-def mult-left-le-one-le norm-Proj-leq1 norm-cblinfun
norm-ge-zero)
  finally show ⟨norm (A *V ψ) ≤ 1 * norm ψ⟩
    by simp
qed

from ⟨norm A ≤ 1⟩ and ⟨norm A ≥ 1⟩
show ⟨norm A = 1⟩
  by auto
qed

lemma partial-isometry-adj-a-o-a:
assumes ⟨partial-isometry a⟩
shows ⟨a* oCL a = Proj (– kernel a)⟩
proof (rule cblinfun-cinner-eqI)
  define P where ⟨P = Proj (– kernel a)⟩
  have aP: ⟨a oCL P = a⟩
    by (auto intro!: simp: cblinfun-compose-minus-right P-def Proj-ortho-compl)
  have is-Proj-P[simp]: ⟨is-Proj P⟩
    by (simp add: P-def)

  fix ψ :: 'a
  have ⟨ψ ·C ((a* oCL a) *V ψ) = a ψ ·C a ψ⟩
    by (simp add: cinner-adj-right)
  also have ⟨... = a (P ψ) ·C a (P ψ)⟩
    by (metis aP cblinfun-apply-cblinfun-compose)
  also have ⟨... = P ψ ·C P ψ⟩
    by (metis P-def Proj-range assms cblinfun-apply-in-image cdot-square-norm
partial-isometry-def)
  also have ⟨... = ψ ·C P ψ⟩
    by (simp flip: cinner-adj-right add: is-proj-selfadj is-Proj-idempotent[THEN
simp-a-oCL-b'])
  finally show ⟨ψ ·C ((a* oCL a) *V ψ) = ψ ·C P ψ⟩

```

```

    by -
qed

lemma partial-isometry-square-proj: <is-Proj (a* oCL a)> if <partial-isometry a>
  by (simp add: partial-isometry-adj-a-o-a that)

lemma partial-isometry-adj[simp]: <partial-isometry (a*)> if <partial-isometry a>
  for a :: 'a::chilbert-space ⇒CL 'b::chilbert-space
proof -
  have ran-ker: <a *S top = - kernel (a*)>
    by (simp add: kernel-compl-adj-range)

  have <norm (a* *V h) = norm h> if <h ∈ range a> for h
  proof -
    from that obtain x where h: <h = a x>
      by auto
    have <norm (a* *V h) = norm (a* *V a *V x)>
      by (simp add: h)
    also have <... = norm (Proj (- kernel a) *V x)>
      by (simp add: <partial-isometry a> partial-isometry-adj-a-o-a simp-a-oCL-b')
    also have <... = norm (a *V Proj (- kernel a) *V x)>
      by (metis Proj-range <partial-isometry a> cblinfun-apply-in-image partial-isometry-def)
    also have <... = norm (a *V x)>
      by (smt (verit, best) Proj-idempotent <partial-isometry a> adj-Proj cblin-
        fun-apply-cblinfun-compose cinner-adj-right cnorm-eq partial-isometry-adj-a-o-a)
    also have <... = norm h>
      using h by auto
    finally show ?thesis
      by -
  qed

  then have norm-pres: <norm (a* *V h) = norm h> if <h ∈ closure (range a)>
  for h
    using that apply (rule on-closure-eqI)
    by assumption (intro continuous-intros)+

  show ?thesis
    apply (rule partial-isometryI)
    by (auto simp: cblinfun-image.rep-eq norm-pres simp flip: ran-ker)
qed

```

### 13.13 Isomorphisms and inverses

```

definition iso-cblinfun :: <('a::complex-normed-vector, 'b::complex-normed-vector)>
cblinfun ⇒ bool where
  <iso-cblinfun A = (exists B. A oCL B = id-cblinfun ∧ B oCL A = id-cblinfun)>

definition <invertible-cblinfun A ↔ (exists B. B oCL A = id-cblinfun)>

```

```

definition cblinfun-inv :: "('a::complex-normed-vector, 'b::complex-normed-vector)
cblinfun ⇒ ('b,'a) cblinfun" where
  "cblinfun-inv A = (if invertible-cblinfun A then SOME B. B oCL A = id-cblinfun
else 0)"

lemma cblinfun-inv-left:
  assumes "invertible-cblinfun A"
  shows "cblinfun-inv A oCL A = id-cblinfun"
  apply (simp add: assms cblinfun-inv-def)
  apply (rule someI-ex)
  using assms by (simp add: invertible-cblinfun-def)

lemma inv-cblinfun-invertible: "iso-cblinfun A ⇒ invertible-cblinfun A"
  by (auto simp: iso-cblinfun-def invertible-cblinfun-def)

lemma cblinfun-inv-right:
  assumes "iso-cblinfun A"
  shows "A oCL cblinfun-inv A = id-cblinfun"
proof -
  from assms
  obtain B where AB: "A oCL B = id-cblinfun" and BA: "B oCL A = id-cblinfun"
    using iso-cblinfun-def by blast
  from BA have "cblinfun-inv A oCL A = id-cblinfun"
    by (simp add: assms cblinfun-inv-left inv-cblinfun-invertible)
  with AB BA have "cblinfun-inv A = B"
    by (metis cblinfun-assoc-left(1) cblinfun-compose-id-right)
  with AB show "A oCL cblinfun-inv A = id-cblinfun"
    by auto
qed

lemma cblinfun-inv-uniq:
  assumes "A oCL B = id-cblinfun" and "B oCL A = id-cblinfun"
  shows "cblinfun-inv A = B"
  using assms by (metis inv-cblinfun-invertible cblinfun-compose-assoc cblinfun-compose-id-right
cblinfun-inv-left iso-cblinfun-def)

lemma iso-cblinfun-unitary: "unitary A ⇒ iso-cblinfun A"
  using iso-cblinfun-def unitary-def by blast

lemma invertible-cblinfun-isometry: "isometry A ⇒ invertible-cblinfun A"
  using invertible-cblinfun-def isometryD by blast

lemma summable-cblinfun-apply-invertible:
  assumes "invertible-cblinfun A"
  shows "((λx. A *V g x) summable-on S) ⇔ g summable-on S"
proof (rule iffI)
  assume "g summable-on S"
  then show "((λx. A *V g x) summable-on S)"
    by (rule summable-on-cblinfun-apply)

```

```

next
assume  $\langle (\lambda x. A *_V g x) \text{ summable-on } S \rangle$ 
then have  $\langle (\lambda x. \text{cblinfun-inv } A *_V A *_V g x) \text{ summable-on } S \rangle$ 
  by (rule summable-on-cblinfun-apply)
then show  $\langle g \text{ summable-on } S \rangle$ 
  by (simp add: cblinfun-inv-left assms flip: cblinfun-apply-cblinfun-compose)
qed

lemma infsum-cblinfun-apply-invertible:
assumes invertible-cblinfun A
shows  $\langle (\sum_{\infty} x \in S. A *_V g x) = A *_V (\sum_{\infty} x \in S. g x) \rangle$ 
proof (cases  $\langle g \text{ summable-on } S \rangle$ )
  case True
  then show ?thesis
    by (rule infsum-cblinfun-apply)
next
  case False
  then have  $\neg (\lambda x. A *_V g x) \text{ summable-on } S$ 
  using assms by (simp add: summable-cblinfun-apply-invertible)
  with False show ?thesis
    by (simp add: infsum-not-exists)
qed

```

### 13.14 One-dimensional spaces

**instantiation** cblinfun :: (one-dim, one-dim) complex-inner **begin**

Once we have a theory for the trace, we could instead define the Hilbert-Schmidt inner product and relax the *one-dim*-sort constraint to (*cfinite-dim,complex-normed-vector*) or similar

```

definition cinner-cblinfun (A::'a  $\Rightarrow_{CL}$  'b) (B::'a  $\Rightarrow_{CL}$  'b)
  = cnj (one-dim-iso (A *_V 1)) * one-dim-iso (B *_V 1)
instance
proof intro-classes
  fix A B C :: 'a  $\Rightarrow_{CL}$  'b
  and c c' :: complex
  show (A  $\cdot_C$  B) = cnj (B  $\cdot_C$  A)
    unfolding cinner-cblinfun-def by auto
  show (A + B)  $\cdot_C$  C = (A  $\cdot_C$  C) + (B  $\cdot_C$  C)
    by (simp add: cinner-cblinfun-def algebra-simps plus-cblinfun.rep-eq)
  show (c *_C A  $\cdot_C$  B) = cnj c * (A  $\cdot_C$  B)
    by (simp add: cblinfun.scaleC-left cinner-cblinfun-def)
  show 0  $\leq$  (A  $\cdot_C$  A)
    unfolding cinner-cblinfun-def by auto
  have bounded-clinear A  $\implies$  A 1 = 0  $\implies$  A = ( $\lambda$ . 0)
    for A::'a  $\Rightarrow$  'b
  proof (rule one-dim-clinear-eqI [where x = 1] , auto)
    show clinear A
      if bounded-clinear A

```

```

and A 1 = 0
for A :: 'a ⇒ 'b
using that
by (simp add: bounded-clinear.clinear)
show clinear ((λ-. 0)::'a ⇒ 'b)
if bounded-clinear A
and A 1 = 0
for A :: 'a ⇒ 'b
using that
by (simp add: complex-vector.module-hom-zero)
qed
hence A ∗V 1 = 0 ⟹ A = 0
by transfer
hence one-dim-iso (A ∗V 1) = 0 ⟹ A = 0
by (metis one-dim-iso-of-zero one-dim-iso-inj)
thus ((A ∙C A) = 0) = (A = 0)
by (auto simp: cinner-cblinfun-def)

show norm A = sqrt (cmod (A ∙C A))
unfolding cinner-cblinfun-def
by transfer (simp add: norm-mult abs-complex-def one-dim-onorm' cnj-x-x
power2-eq-square bounded-clinear.clinear)
qed
end

instantiation cblinfun :: (one-dim, one-dim) one-dim begin
lift-definition one-cblinfun :: 'a ⇒CL 'b is one-dim-iso
by (rule bounded-clinear-one-dim-iso)
lift-definition times-cblinfun :: 'a ⇒CL 'b ⇒ 'a ⇒CL 'b ⇒ 'a ⇒CL 'b
is λf g. f o one-dim-iso o g
by (simp add: comp-bounded-clinear)
lift-definition inverse-cblinfun :: 'a ⇒CL 'b ⇒ 'a ⇒CL 'b is
λf. ((*) (one-dim-iso (inverse (f 1)))) o one-dim-iso
by (auto intro!: comp-bounded-clinear bounded-clinear-mult-right)
definition divide-cblinfun :: 'a ⇒CL 'b ⇒ 'a ⇒CL 'b ⇒ 'a ⇒CL 'b where
divide-cblinfun A B = A ∗ inverse B
definition canonical-basis-cblinfun = [1 :: 'a ⇒CL 'b]
definition <canonical-basis-length-cblinfun (- :: ('a ⇒CL 'b) itself) = (1::nat)>
instance
proof intro-classes
let ?basis = canonical-basis :: ('a ⇒CL 'b) list
fix A B C :: 'a ⇒CL 'b
and c c' :: complex
show distinct ?basis
unfolding canonical-basis-cblinfun-def by simp
have (1::'a ⇒CL 'b) ≠ (0::'a ⇒CL 'b)
by (metis cblinfun.zero-left one-cblinfun.rep-eq one-dim-iso-of-one zero-neq-one)
thus c-independent (set ?basis)
unfolding canonical-basis-cblinfun-def by simp

```

```

have A ∈ cspan (set ?basis) for A
proof -
  define c :: complex where c = one-dim-iso (A *V 1)
  have A x = one-dim-iso (A 1) *C one-dim-iso x for x
    by (smt (z3) cblinfun.scaleC-right complex-vector.scale-left-commute one-dim-iso-idem
one-dim-scaleC-1)
  hence A = one-dim-iso (A *V 1) *C 1
    by transfer metis
  thus A ∈ cspan (set ?basis)
    unfolding canonical-basis-cblinfun-def
    by (smt complex-vector.span-base complex-vector.span-scale list.set-intros(1))
qed
thus cspan (set ?basis) = UNIV by auto

have A = (1::'a ⇒CL 'b) ==>
  norm (1::'a ⇒CL 'b) = (1::real)
  by transfer simp
thus A ∈ set ?basis ==> norm A = 1
  unfolding canonical-basis-cblinfun-def
  by simp

show ?basis = [1]
  unfolding canonical-basis-cblinfun-def by simp
show c *C 1 * c' *C 1 = (c * c') *C (1::'a ⇒CL 'b)
  by transfer auto
have (1::'a ⇒CL 'b) = (0::'a ⇒CL 'b) ==> False
  by (metis cblinfun.zero-left one-cblinfun.rep-eq one-dim-iso-of-zero' zero-neq-neg-one)
thus is-ortho-set (set ?basis)
  unfolding is-ortho-set-def canonical-basis-cblinfun-def by auto
show A div B = A * inverse B
  by (simp add: divide-cblinfun-def)
show inverse (c *C 1) = (1::'a ⇒CL 'b) /C c
  by transfer (simp add: o-def one-dim-inverse)
show <canonical-basis-length TYPE('a ⇒CL 'b) = length (canonical-basis :: ('a
⇒CL 'b) list)>
  by (simp add: canonical-basis-length-cblinfun-def canonical-basis-cblinfun-def)
qed
end

lemma id-cblinfun-eq-1 [simp]: <id-cblinfun = 1>
  by transfer auto

lemma one-dim-cblinfun-compose-is-times [simp]:
  fixes A :: 'a::one-dim ⇒CL 'a and B :: 'a ⇒CL 'a
  shows A oCL B = A * B
  by transfer simp

lemma scaleC-one-dim-is-times: <r *C x = one-dim-iso r * x>

```

by simp

**lemma** one-comp-one-cblinfun[simp]:  $1 \circ_{CL} 1 = 1$   
  **apply** transfer unfolding o-def **by** simp

**lemma** one-cblinfun-adj[simp]:  $1* = 1$   
  **by** transfer simp

**lemma** scaleC-1-apply[simp]:  $\langle (x *_C 1) *_V y = x *_C y \rangle$   
  **by** (metis cblinfun.scaleC-left cblinfun-id-cblinfun-apply id-cblinfun-eq-1)

**lemma** cblinfun-apply-1-left[simp]:  $\langle 1 *_V y = y \rangle$   
  **by** (metis cblinfun-id-cblinfun-apply id-cblinfun-eq-1)

**lemma** of-complex-cblinfun-apply[simp]:  $\langle \text{of-complex } x *_V y = \text{one-dim-iso } (x *_C y) \rangle$   
  **by** (metis of-complex-def cblinfun.scaleC-right one-cblinfun.rep-eq scaleC-cblinfun.rep-eq)

**lemma** cblinfun-compose-1-left[simp]:  $\langle 1 \circ_{CL} x = x \rangle$   
  **by** transfer auto

**lemma** cblinfun-compose-1-right[simp]:  $\langle x \circ_{CL} 1 = x \rangle$   
  **by** transfer auto

**lemma** one-dim-iso-id-cblinfun:  $\langle \text{one-dim-iso } id\text{-cblinfun} = id\text{-cblinfun} \rangle$   
  **by** simp

**lemma** one-dim-iso-id-cblinfun-eq-1:  $\langle \text{one-dim-iso } id\text{-cblinfun} = 1 \rangle$   
  **by** simp

**lemma** one-dim-iso-comp-distr[simp]:  $\langle \text{one-dim-iso } (a \circ_{CL} b) = \text{one-dim-iso } a \circ_{CL} \text{one-dim-iso } b \rangle$   
  **by** (smt (z3) cblinfun-compose-scaleC-left cblinfun-compose-scaleC-right one-cinner-a-scaleC-one one-comp-one-cblinfun one-dim-iso-of-one one-dim-iso-scaleC)

**lemma** one-dim-iso-comp-distr-times[simp]:  $\langle \text{one-dim-iso } (a \circ_{CL} b) = \text{one-dim-iso } a * \text{one-dim-iso } b \rangle$   
  **by** (smt (verit, del-insts) mult.left-neutral mult-scaleC-left one-cinner-a-scaleC-one one-comp-one-cblinfun one-dim-iso-of-one one-dim-iso-scaleC cblinfun-compose-scaleC-right cblinfun-compose-scaleC-left)

**lemma** one-dim-iso-adjoint[simp]:  $\langle \text{one-dim-iso } (A*) = (\text{one-dim-iso } A)* \rangle$   
  **by** (smt (z3) one-cblinfun-adj one-cinner-a-scaleC-one one-dim-iso-of-one one-dim-iso-scaleC scaleC-adj)

**lemma** one-dim-iso-adjoint-complex[simp]:  $\langle \text{one-dim-iso } (A*) = cnj(\text{one-dim-iso } A) \rangle$   
  **by** (metis (mono-tags, lifting) one-cblinfun-adj one-dim-iso-idem one-dim-scaleC-1 scaleC-adj)

```

lemma one-dim-cblinfun-compose-commute: ⟨a oCL b = b oCL a⟩ for a b :: ⟨('a::one-dim,'a)
cblinfun⟩
by (simp add: one-dim-iso-inj)

lemma one-cblinfun-apply-one[simp]: ⟨1 *V 1 = 1⟩
by (simp add: one-cblinfun.rep-eq)

lemma one-dim-cblinfun-apply-is-times:
  fixes A :: 'a::one-dim ⇒CL 'b::one-dim and b :: 'a
  shows A *V b = one-dim-iso A * one-dim-iso b
  apply (subst one-dim-scaleC-1[of A, symmetric])
  apply (subst one-dim-scaleC-1[of b, symmetric])
  apply (simp only: cblinfun.scaleC-left cblinfun.scaleC-right)
by simp

lemma is-onb-one-dim[simp]: ⟨norm x = 1 ⇒ is-onb {x}⟩ for x :: ⟨- :: one-dim⟩
by (auto simp: is-onb-def intro!: cspan-one-dim)

lemma one-dim-iso-cblinfun-comp: ⟨one-dim-iso (a oCL b) = of-complex (cinner
(a* *V 1) (b *V 1))⟩
for a :: ⟨'a::chilbert-space ⇒CL 'b::one-dim⟩ and b :: ⟨'c::one-dim ⇒CL 'a⟩
by (simp add: cinner-adj-left cinner-cblinfun-def one-dim-iso-def)

lemma one-dim-iso-cblinfun-apply[simp]: ⟨one-dim-iso ψ *V φ = one-dim-iso (one-dim-iso
ψ *C φ)⟩
by (smt (verit) cblinfun.scaleC-left one-cblinfun.rep-eq one-dim-iso-of-one one-dim-iso-scaleC
one-dim-scaleC-1)

```

### 13.15 Loewner order

```

lift-definition heterogenous-cblinfun-id :: ⟨'a::complex-normed-vector ⇒CL 'b::complex-normed-vector⟩
  is ⟨if bounded-clinear (heterogenous-identity :: 'a::complex-normed-vector ⇒ 'b::complex-normed-vector)
then heterogenous-identity else (λ-. 0)⟩
by auto

lemma heterogenous-cblinfun-id-def'[simp]: heterogenous-cblinfun-id = id-cblinfun
by transfer auto

definition heterogenous-same-type-cblinfun (x::'a::chilbert-space itself) (y::'b::chilbert-space
itself) ←→
  unitary (heterogenous-cblinfun-id :: 'a ⇒CL 'b) ∧ unitary (heterogenous-cblinfun-id
:: 'b ⇒CL 'a)

lemma heterogenous-same-type-cblinfun[simp]: ⟨heterogenous-same-type-cblinfun (x::'a::chilbert-space
itself) (y::'b::chilbert-space itself)⟩
  unfolding heterogenous-same-type-cblinfun-def by auto

instantiation cblinfun :: (chilbert-space, chilbert-space) ord begin

```

```

definition less-eq-cblinfun-def-heterogenous: <A ≤ B ↔
  (if heterogenous-same-type-cblinfun TYPE('a) TYPE('b) then
    ∀ψ::'b. ψ •C ((B-A) *V heterogenous-cblinfun-id *V ψ) ≥ 0 else (A=B))>
definition <(A :: 'a ⇒CL 'b) < B ↔ A ≤ B ∧ ¬ B ≤ A>
instance..
end

lemma less-eq-cblinfun-def: <A ≤ B ↔
  (∀ψ. ψ •C (A *V ψ) ≤ ψ •C (B *V ψ))>
  unfolding less-eq-cblinfun-def-heterogenous
  by (auto simp del: less-eq-complex-def simp: cblinfun.diff-left cinner-diff-right)

instantiation cblinfun :: (chilbert-space, chilbert-space) ordered-complex-vector begin
instance
proof intro-classes
fix x y z :: <'a ⇒CL 'b>
fix a b :: complex

define pos where <pos X ↔ (forall ψ. cinner ψ (X *V ψ) ≥ 0)> for X :: <'b ⇒CL 'b>
consider (unitary) <heterogenous-same-type-cblinfun TYPE('a) TYPE('b)>
  & A B :: 'a ⇒CL 'b. A ≤ B = pos ((B-A) oCL (heterogenous-cblinfun-id :: 'b ⇒CL 'a))>
| (trivial) <A B :: 'a ⇒CL 'b. A ≤ B ↔ A = B>
  by atomize-elim (auto simp: pos-def less-eq-cblinfun-def-heterogenous)
note cases = this

have [simp]: <pos 0>
  unfolding pos-def by auto

have pos-nondeg: <X = 0> if <pos X> and <pos (-X)> for X
  apply (rule cblinfun-cinner-eqI, simp)
  using that by (metis (no-types, lifting) cblinfun.minus-left cinner-minus-right
dual-order.antisym equation-minus-iff neg-le-0-iff-le pos-def)

have pos-add: <pos (X+Y)> if <pos X> and <pos Y> for X Y
  by (smt (z3) pos-def cblinfun.diff-left cinner-minus-right cinner-simps(3) diff-ge-0-iff-ge
diff-minus-eq-add neg-le-0-iff-le order-trans that(1) that(2) uminus-cblinfun.rep-eq)

have pos-scaleC: <pos (a *C X)> if <a≥0> and <pos X> for X a
  using that unfolding pos-def by (auto simp: cblinfun.scaleC-left)

let ?id = <heterogenous-cblinfun-id :: 'b ⇒CL 'a>

show <x ≤ x>
  apply (cases rule:cases) by auto
show <(x < y) ↔ (x ≤ y ∧ ¬ y ≤ x)>
  unfolding less-cblinfun-def by simp

```

```

show ⟨x ≤ z⟩ if ⟨x ≤ y⟩ and ⟨y ≤ z⟩
proof (cases rule:cases)
  case unitary
  define a b :: ⟨'b ⇒CL 'b⟩ where ⟨a = (y-x) oCL heterogenous-cblinfun-id,
    and ⟨b = (z-y) oCL heterogenous-cblinfun-id⟩
  with unitary that have ⟨pos a⟩ and ⟨pos b⟩
    by auto
  then have ⟨pos (a + b)⟩
    by (rule pos-add)
  moreover have ⟨a + b = (z - x) oCL heterogenous-cblinfun-id⟩
    unfolding a-def b-def
    by (metis (no-types, lifting) bounded-cbilinear.add-left bounded-cbilinear-cblinfun-compose
      diff-add-cancel ordered-field-class.sign-simps(2) ordered-field-class.sign-simps(8))
  ultimately show ?thesis
    using unitary by auto
next
  case trivial
  with that show ?thesis by auto
qed
show ⟨x = y⟩ if ⟨x ≤ y⟩ and ⟨y ≤ x⟩
proof (cases rule:cases)
  case unitary
  then have ⟨unitary ?id⟩
    by (auto simp: heterogenous-same-type-cblinfun-def)
  define a b :: ⟨'b ⇒CL 'b⟩ where ⟨a = (y-x) oCL ?id,
    and ⟨b = (x-y) oCL ?id⟩
  with unitary that have ⟨pos a⟩ and ⟨pos b⟩
    by auto
  then have ⟨a = 0⟩
    apply (rule-tac pos-nonddeg)
    apply (auto simp: a-def b-def)
    by (smt (verit, best) add.commute bounded-cbilinear.add-left bounded-cbilinear-cblinfun-compose
      cblinfun-compose-zero-left diff-0 diff-add-cancel group-cancel.rule0 group-cancel.sub1)
  then show ?thesis
    unfolding a-def using ⟨unitary ?id⟩
    by (metis cblinfun-compose-assoc cblinfun-compose-id-right cblinfun-compose-zero-left
      eq-iff-diff-eq-0 unitaryD2)
next
  case trivial
  with that show ?thesis by simp
qed
show ⟨x + y ≤ x + z⟩ if ⟨y ≤ z⟩
proof (cases rule:cases)
  case unitary
  with that show ?thesis
    by auto
next
  case trivial
  with that show ?thesis

```

```

    by auto
qed

show ⟨a *C x ≤ a *C y⟩ if ⟨x ≤ y⟩ and ⟨0 ≤ a⟩
proof (cases rule:cases)
  case unitary
  with that pos-scaleC show ?thesis
    by (metis cblinfun-compose-scaleC-left complex-vector.scale-right-diff-distrib)
next
  case trivial
  with that show ?thesis
    by auto
qed

show ⟨a *C x ≤ b *C x⟩ if ⟨a ≤ b⟩ and ⟨0 ≤ x⟩
proof (cases rule:cases)
  case unitary
  with that show ?thesis
    by (auto intro!: pos-scaleC simp flip: scaleC-diff-left)
next
  case trivial
  with that show ?thesis
    by auto
qed
qed
end

```

```

lemma positive-id-cblinfun[simp]: id-cblinfun ≥ 0
  unfolding less-eq-cblinfun-def using cinner-ge-zero by auto

lemma positive-selfadjointI: ⟨selfadjoint A⟩ if ⟨A ≥ 0⟩
  apply (rule cinner-real-selfadjointI)
  using that by (auto simp: complex-is-real-iff-compare0 less-eq-cblinfun-def)

lemma cblinfun-leI:
  assumes ⟨∀x. norm x = 1 ⇒ x •C (A *V x) ≤ x •C (B *V x)⟩
  shows ⟨A ≤ B⟩
proof (unfold less-eq-cblinfun-def, intro allI, case-tac ⟨ψ = 0⟩)
  fix ψ :: 'a assume ⟨ψ = 0⟩
  then show ⟨ψ •C (A *V ψ) ≤ ψ •C (B *V ψ)⟩
    by simp
next
  fix ψ :: 'a assume ⟨ψ ≠ 0⟩
  define φ where ⟨φ = ψ /R norm ψ⟩
  have ⟨φ •C (A *V φ) ≤ φ •C (B *V φ)⟩
    apply (rule assms)
    unfolding φ-def

```

```

by (simp add: ‹ψ ≠ 0›)
with ‹ψ ≠ 0› show ‹ψ ∙C (A ∗V ψ) ≤ ψ ∙C (B ∗V ψ)›
  unfolding φ-def
  by (smt (verit) cinner-adj-left cinner-scaleR-left cinner-simps(6) complex-of-real-nn-iff
mult-cancel-right1 mult-left-mono norm-eq-zero norm-ge-zero of-real-1 right-inverse
scaleR-scaleC scaleR-scaleR)
qed

lemma positive-cblinfunI: ‹A ≥ 0› if ‹¬(x. norm x = 1) ⇒ cinner x (A ∗V x) ≥
0›
  apply (rule cblinfun-leI)
  using that by simp

lemma less-eq-scaled-id-norm:
  assumes ‹norm A ≤ c› and ‹selfadjoint A›
  shows ‹A ≤ c ∗R id-cblinfun›
proof -
  have ‹x ∙C (A ∗V x) ≤ complex-of-real c› if ‹norm x = 1› for x
  proof -
    have ‹norm (x ∙C (A ∗V x)) ≤ norm (A ∗V x)›
      by (metis complex-inner-class.Cauchy-Schwarz-ineq2 mult-cancel-right1 that)
    also have ‹... ≤ norm A›
      by (metis more-arith-simps(6) norm-cblinfun that)
    also have ‹... ≤ c›
      by (rule assms)
    finally have ‹norm (x ∙C (A ∗V x)) ≤ c›
      by -
    moreover have ‹x ∙C (A ∗V x) ∈ ℝ›
      by (metis assms(2) cinner-selfadjoint-real)
    ultimately show ?thesis
      by (smt (verit) Re-complex-of-real Reals-cases complex-of-real-nn-iff less-eq-complex-def
norm-of-real reals-zero-comparable)
  qed
  then show ?thesis
    by (smt (verit) cblinfun.scaleC-left cblinfun-id-cblinfun-apply cblinfun-leI cinner-scaleC-right cnorm-eq-1 mult-cancel-left2 scaleR-scaleC)
qed

lemma positive-cblinfun-squareI: ‹A = B ∗ oCL B ⇒ A ≥ 0›
  apply (rule positive-cblinfunI)
  by (metis cblinfun-apply-cblinfun-compose cinner-adj-right cinner-ge-zero)

lemma one-dim-loewner-order: ‹A ≥ B ⇔ one-dim-iso A ≥ (one-dim-iso B :: complex)› for A B :: ‹'a ⇒CL 'a::{chilbert-space, one-dim}›
proof -
  have A: ‹A = one-dim-iso A ∗C id-cblinfun›
    by simp
  have B: ‹B = one-dim-iso B ∗C id-cblinfun›
    by simp
  show A ≥ B
    by (smt (verit) cinner-scaleC-left cinner-scaleC-right)
  show B ≥ A
    by (smt (verit) cinner-scaleC-left cinner-scaleC-right)
qed

```

```

    by simp
  have ⟨A ≥ B ↔ (forall ψ. cinner ψ (A ψ) ≥ cinner ψ (B ψ))⟩
    by (simp add: less-eq-cblinfun-def)
  also have ⟨... ↔ (forall ψ::'a. one-dim-iso B * (ψ •_C ψ) ≤ one-dim-iso A * (ψ •_C ψ))⟩
    apply (subst A, subst B)
    by (metis (no-types, opaque-lifting) cinner-scaleC-right id-cblinfun-apply scaleC-cblinfun.rep-eq)
  also have ⟨... ↔ one-dim-iso A ≥ (one-dim-iso B :: complex)⟩
    by (auto intro!: mult-right-mono elim!: allE[where x=1])
  finally show ?thesis
  by -
qed

lemma one-dim-positive: ⟨A ≥ 0 ↔ one-dim-iso A ≥ (0::complex)⟩ for A :: 'a
⇒_CL 'a:: {chilbert-space, one-dim}
using one-dim-loewner-order[where B=0] by auto

lemma op-square-nondegenerate: ⟨a = 0⟩ if ⟨a * oCL a = 0⟩
proof (rule cblinfun-eq-0-on-UNIV-span[where basis=UNIV]; simp)
fix s
from that have ⟨s •_C ((a * oCL a) *V s) = 0⟩
  by simp
then have ⟨(a *V s) •_C (a *V s) = 0⟩
  by (simp add: cinner-adj-right)
then show ⟨a *V s = 0⟩
  by simp
qed

lemma comparable-selfadjoint:
assumes ⟨a ≤ b⟩
assumes ⟨selfadjoint a⟩
shows ⟨selfadjoint b⟩
by (smt (verit, best) assms(1) assms(2) cinner-selfadjoint-real cinner-real-selfadjointI
comparable complex-is-real-iff-compare0 less-eq-cblinfun-def selfadjoint-def)

lemma comparable-selfadjoint':
assumes ⟨a ≤ b⟩
assumes ⟨selfadjoint b⟩
shows ⟨selfadjoint a⟩
by (smt (verit, best) assms(1) assms(2) cinner-selfadjoint-real cinner-real-selfadjointI
comparable complex-is-real-iff-compare0 less-eq-cblinfun-def selfadjoint-def)

lemma Proj-mono: ⟨Proj S ≤ Proj T ↔ S ≤ T⟩
proof (rule iffI)
assume ⟨S ≤ T⟩
define D where ⟨D = Proj T - Proj S⟩
from ⟨S ≤ T⟩ have TS-S[simp]: ⟨Proj T oCL Proj S = Proj S⟩
  by (smt (verit, ccfv-threshold) Proj-idempotent Proj-range cblinfun-apply-cblinfun-compose
cblinfun-apply-in-image cblinfun-eqI cblinfun-fixes-range less-eq-ccsubspace.rep-eq sub-

```

```

set-iff)
then have ST-S[simp]: ⟨Proj S oCL Proj T = Proj S⟩
  by (metis adj-Proj adj-cblinfun-compose)
have ⟨D* oCL D = D⟩
  by (simp add: D-def cblinfun-compose-minus-left cblinfun-compose-minus-right
adj-minus adj-Proj)
then have ⟨D ≥ 0⟩
  by (metis positive-cblinfun-squareI)
then show ⟨Proj S ≤ Proj T⟩
  by (simp add: D-def)
next
assume PS-PT: ⟨Proj S ≤ Proj T⟩
show ⟨S ≤ T⟩
proof (rule ccsubspace-leI-unit)
fix ψ assume ⟨ψ ∈ space-as-set S⟩ and [simp]: ⟨norm ψ = 1⟩
then have ⟨1 = norm (Proj S *V ψ)⟩
  by (simp add: Proj-fixes-image)
also from PS-PT have ⟨... ≤ norm (Proj T *V ψ)⟩
  by (metis (no-types, lifting) Proj-idempotent adj-Proj cblinfun-apply-cblinfun-compose
cinner-adj-left cnorm-le less-eq-cblinfun-def)
also have ⟨... ≤ 1⟩
  by (metis Proj-is-Proj ⟨norm ψ = 1⟩ is-Proj-reduces-norm)
ultimately have ⟨norm (Proj T *V ψ) = 1⟩
  by auto
then show ⟨ψ ∈ space-as-set T⟩
  by (simp add: norm-Proj-apply-1)
qed
qed

```

### 13.16 Embedding vectors to operators

```

lift-definition vector-to-cblinfun :: ⟨'a::complex-normed-vector ⇒ 'b::one-dim ⇒CL
'a⟩ is
  ⟨λψ φ. one-dim-iso φ *C ψ⟩
  by (simp add: bounded-clinear-scaleC-const)

lemma vector-to-cblinfun-apply[simp]: ⟨vector-to-cblinfun ψ *V φ = one-dim-iso
ψ *C φ⟩
  apply (transfer fixing: ψ φ)
  by simp

lemma vector-to-cblinfun-cblinfun-compose[simp]:
  A oCL (vector-to-cblinfun ψ) = vector-to-cblinfun (A *V ψ)
  apply transfer
  unfolding comp-def bounded-clinear-def clinear-def Vector-Spaces.linear-def
    module-hom-def module-hom-axioms-def
  by simp

lemma vector-to-cblinfun-add: ⟨vector-to-cblinfun (x + y) = vector-to-cblinfun x
+ vector-to-cblinfun y⟩
  by simp

```

```

+ vector-to-cblinfun y>
  by transfer (simp add: scaleC-add-right)

lemma norm-vector-to-cblinfun[simp]: norm (vector-to-cblinfun x) = norm x
proof transfer
  have bounded-clinear (one-dim-iso:'a ⇒ complex)
    by simp
  moreover have onorm (one-dim-iso:'a ⇒ complex) * norm x = norm x
    for x :: 'b
    by simp
  ultimately show onorm (λφ. one-dim-iso (φ:'a) *C x) = norm x
    for x :: 'b
    by (subst onorm-scaleC-left)
qed

lemma bounded-clinear-vector-to-cblinfun[bounded-clinear]: bounded-clinear vector-to-cblinfun
apply (rule bounded-clinearI[where K=1])
  apply (transfer, simp add: scaleC-add-right)
  apply (transfer, simp add: mult.commute)
  by simp

lemma vector-to-cblinfun-scaleC[simp]:
  vector-to-cblinfun (a *C ψ) = a *C vector-to-cblinfun ψ for a::complex
  by (intro clinear.scaleC bounded-clinear.clinear bounded-clinear-vector-to-cblinfun)

lemma vector-to-cblinfun-apply-one-dim[simp]:
  shows vector-to-cblinfun φ *V γ = one-dim-iso γ *C φ
  by transfer (rule refl)

lemma vector-to-cblinfun-one-dim-iso[simp]: <vector-to-cblinfun = one-dim-iso>
  by (auto intro!: ext cblinfun-eqI)

lemma vector-to-cblinfun-adj-apply[simp]:
  shows vector-to-cblinfun ψ* *V φ = of-complex (cinner ψ φ)
  by (simp add: cinner-adj-right one-dim-iso-def one-dim-iso-inj)

lemma vector-to-cblinfun-comp-one[simp]:
  (vector-to-cblinfun s :: 'a::one-dim ⇒CL -) oCL 1
  = (vector-to-cblinfun s :: 'b::one-dim ⇒CL -)
  apply (transfer fixing: s)
  by fastforce

lemma vector-to-cblinfun-0[simp]: vector-to-cblinfun 0 = 0
  by (metis cblinfun.zero-left cblinfun-compose-zero-left vector-to-cblinfun-cblinfun-compose)

lemma image-vector-to-cblinfun[simp]: vector-to-cblinfun x *S ⊤ = cspan {x}
  — Not that the general case vector-to-cblinfun x *S S can be handled by using
  that S = ⊤ or S = ⊥ by one-dim-ccsubspace-all-or-nothing
  proof transfer

```

```

show closure (range ( $\lambda\varphi::'b.$  one-dim-iso  $\varphi *_C x$ )) = closure (ccspan {x})
  for  $x :: 'a$ 
proof (rule arg-cong [where  $f = \text{closure}$ ])
  have  $k *_C x \in \text{range } (\lambda\varphi. \text{one-dim-iso } \varphi *_C x)$  for  $k$ 
    by (smt (z3) id-apply one-dim-iso-id one-dim-iso-idem range-eqI)
  thus  $\text{range } (\lambda\varphi. \text{one-dim-iso } (\varphi::'b) *_C x) = \text{ccspan } \{x\}$ 
    unfolding complex-vector.span-singleton
    by auto
qed
qed

lemma vector-to-cblinfun-adj-comp-vector-to-cblinfun[simp]:
  shows vector-to-cblinfun  $\psi * o_{CL}$  vector-to-cblinfun  $\varphi = \text{cinner } \psi \varphi *_C \text{id-cblinfun}$ 
proof -
  have one-dim-iso  $\gamma *_C \text{one-dim-iso} (\text{of-complex } (\psi \cdot_C \varphi)) =$ 
     $(\psi \cdot_C \varphi) *_C \text{one-dim-iso } \gamma$ 
    for  $\gamma :: 'c::\text{one-dim}$ 
    by (metis complex-vector.scale-left-commute of-complex-def one-dim-iso-of-one
      one-dim-iso-scaleC one-dim-scaleC-1)
  hence one-dim-iso ((vector-to-cblinfun  $\psi * o_{CL}$  vector-to-cblinfun  $\varphi) *_V \gamma)$ 
    = one-dim-iso ((cinner  $\psi \varphi *_C \text{id-cblinfun}$ ) *_V  $\gamma$ )
    for  $\gamma :: 'c::\text{one-dim}$ 
    by simp
  hence ((vector-to-cblinfun  $\psi * o_{CL}$  vector-to-cblinfun  $\varphi) *_V \gamma) = ((cinner  $\psi \varphi$ 
    *_C id-cblinfun) *_V  $\gamma$ )
    for  $\gamma :: 'c::\text{one-dim}$ 
    by (rule one-dim-iso-inj)
  thus ?thesis
    using cblinfun-eqI[where  $x = \text{vector-to-cblinfun } \psi * o_{CL}$  vector-to-cblinfun  $\varphi$ 
      and  $y = (\psi \cdot_C \varphi) *_C \text{id-cblinfun}$ ]
    by auto
qed

lemma isometry-vector-to-cblinfun[simp]:
  assumes norm  $x = 1$ 
  shows isometry (vector-to-cblinfun  $x$ )
  using assms cnorm-eq-1 isometry-def by force

lemma image-vector-to-cblinfun-adj:
  assumes  $\langle \psi \notin \text{space-as-set } (- S) \rangle$ 
  shows  $\langle (\text{vector-to-cblinfun } \psi)^* *_S S = \top \rangle$ 
proof -
  from assms obtain  $\varphi$  where  $\langle \varphi \in \text{space-as-set } S \rangle$  and  $\langle \neg \text{is-orthogonal } \psi \varphi \rangle$ 
    by (metis orthogonal-complementI uminus-ccsubspace.rep-eq)
  have  $\langle ((\text{vector-to-cblinfun } \psi)^* *_S S :: 'b \text{ ccspace}) \geq (\text{vector-to-cblinfun } \psi)^*$ 
     $*_S \text{ccspan } \{\varphi\} \rangle$  (is  $\langle \dots \geq \dots \rangle$ )
    by (simp add:  $\langle \varphi \in \text{space-as-set } S \rangle$  cblinfun-image-mono cccspan-leqI)
  also have  $\langle \dots = \text{ccspan } \{(\text{vector-to-cblinfun } \psi)^* *_V \varphi\} \rangle$ 
    by (auto simp: cblinfun-image-ccspan)$ 
```

```

also have ⟨... = cspan {of-complex ( $\psi \cdot_C \varphi$ )}⟩
  by auto
also have ⟨... > ⊥⟩
  by (simp add: ⟨ $\psi \cdot_C \varphi \neq 0$ ⟩ flip: bot.not-eq-extremum )
finally(dual-order.strict-trans1) show ?thesis
  using one-dim-ccsubspace-all-or-nothing bot.not-eq-extremum by auto
qed

```

```

lemma image-vector-to-cblinfun-adj':
assumes ⟨ $\psi \neq 0$ ⟩
shows ⟨(vector-to-cblinfun  $\psi$ ) *S ⊤ = ⊤⟩
apply (rule image-vector-to-cblinfun-adj)
using assms by simp

```

### 13.17 Rank-1 operators / butterflies

```
definition rank1 where ⟨rank1 A ⟷ (∃ψ. A *S ⊤ = cspan {ψ})⟩
```

— This is not the usual definition of a rank-1 operator. The usual definition is an operator with 1-dim image. Here we define it as an operator with 0- or 1-dim image. This makes the definition simpler to use. The normal definition of rank-1 operators then corresponds to the non-zero *rank1* operators.

```
definition butterfly (s::'a::complex-normed-vector) (t::'b::chilbert-space)
  = vector-to-cblinfun s oCL (vector-to-cblinfun t :: complex ⇒CL -)*
```

```
abbreviation selfbutter s ≡ butterfly s s
```

```
lemma butterfly-add-left: ⟨butterfly (a + a') b = butterfly a b + butterfly a' b⟩
  by (simp add: butterfly-def vector-to-cblinfun-add cbilinear-add-left bounded-cbilinear.add-left
  bounded-cbilinear-cblinfun-compose)
```

```
lemma butterfly-add-right: ⟨butterfly a (b + b') = butterfly a b + butterfly a b'⟩
  by (simp add: butterfly-def adj-plus vector-to-cblinfun-add cblinfun-compose-add-right)
```

```
lemma butterfly-def-one-dim: butterfly s t = (vector-to-cblinfun s :: 'c::one-dim
  ⇒CL -)
  oCL (vector-to-cblinfun t :: 'c ⇒CL -)*
  (is - = ?rhs) for s :: 'a::complex-normed-vector and t :: 'b::chilbert-space
```

**proof** —

```

let ?isoAC = 1 :: 'c ⇒CL complex
let ?isoCA = 1 :: complex ⇒CL 'c
let ?vector = vector-to-cblinfun :: - ⇒ ('c ⇒CL -)
```

```

have butterfly s t =
  (?vector s oCL ?isoCA) oCL (?vector t oCL ?isoCA)*
  unfolding butterfly-def vector-to-cblinfun-comp-one by simp
also have ... = ?vector s oCL (?isoCA oCL ?isoCA*) oCL (?vector t)*
```

```

by (metis (no-types, lifting) cblinfun-compose-assoc adj-cblinfun-compose)
also have ... = ?rhs
  by simp
finally show ?thesis
  by simp
qed

lemma butterfly-comp-cblinfun: butterfly  $\psi$   $\varphi$   $o_{CL}$   $a$  = butterfly  $\psi$  ( $a *_{V'} \varphi$ )
  unfolding butterfly-def
  by (simp add: cblinfun-compose-assoc flip: vector-to-cblinfun-cblinfun-compose)

lemma cblinfun-comp-butterfly:  $a$   $o_{CL}$  butterfly  $\psi$   $\varphi$  = butterfly ( $a *_{V'} \psi$ )  $\varphi$ 
  unfolding butterfly-def
  by (simp add: cblinfun-compose-assoc flip: vector-to-cblinfun-cblinfun-compose)

lemma butterfly-apply[simp]: butterfly  $\psi$   $\psi' *_{V'} \varphi$  = ( $\psi' *_{C'} \varphi$ ) *C  $\psi$ 
  by (simp add: butterfly-def scaleC-cblinfun.rep-eq)

lemma butterfly-scaleC-left[simp]: butterfly ( $c *_{C'} \psi$ )  $\varphi$  =  $c *_{C'} \psi$  butterfly  $\psi$   $\varphi$ 
  unfolding butterfly-def vector-to-cblinfun-scaleC scaleC-adj
  by (simp add: cnj-x-x)

lemma butterfly-scaleC-right[simp]: butterfly  $\psi$  ( $c *_{C'} \varphi$ ) = cnj  $c *_{C'} \psi$  butterfly  $\psi$   $\varphi$ 
  unfolding butterfly-def vector-to-cblinfun-scaleC scaleC-adj
  by (simp add: cnj-x-x)

lemma butterfly-scaleR-left[simp]: butterfly ( $r *_{R'} \psi$ )  $\varphi$  =  $r *_{C'} \psi$  butterfly  $\psi$   $\varphi$ 
  by (simp add: scaleR-scaleC)

lemma butterfly-scaleR-right[simp]: butterfly  $\psi$  ( $r *_{R'} \varphi$ ) =  $r *_{C'} \psi$  butterfly  $\psi$   $\varphi$ 
  by (simp add: butterfly-scaleC-right scaleR-scaleC)

lemma butterfly-adjoint[simp]: (butterfly  $\psi$   $\varphi$ ) * = butterfly  $\varphi$   $\psi$ 
  unfolding butterfly-def by auto

lemma butterfly-comp-butterfly[simp]: butterfly  $\psi_1$   $\psi_2$   $o_{CL}$  butterfly  $\psi_3$   $\psi_4$  = ( $\psi_2 *_{C'} \psi_3$ ) *C butterfly  $\psi_1$   $\psi_4$ 
  by (simp add: butterfly-comp-cblinfun)

lemma butterfly-0-left[simp]: butterfly 0  $a$  = 0
  by (simp add: butterfly-def)

lemma butterfly-0-right[simp]: butterfly  $a$  0 = 0
  by (simp add: butterfly-def)

lemma butterfly-is-rank1:
  assumes < $\varphi \neq 0$ >
  shows <butterfly  $\psi$   $\varphi *_{S'} \top = \text{ccspan } \{\psi\}$ >
  using assms by (simp add: butterfly-def cblinfun-compose-image image-vector-to-cblinfun-adj')

```

**lemma** *rank1-is-butterfly*:

— The restriction  $\psi$  is necessary. Consider, e.g., the space of all finite sequences (with sum-norm), and  $A' f = (\sum x. f x)$ . Then  $A'$  is not a butterfly.

```

assumes  $\langle A *_S \top = \text{ccspan } \{\psi\} \rangle$ 
shows  $\langle \exists \varphi. A = \text{butterfly } \psi \varphi \rangle$ 
proof (rule exI[of - <A* *V (\psi /R (norm \psi)^2)], rule cblinfun-eqI)
  fix  $\gamma :: 'b$ 
  from assms have  $\langle A *_V \gamma \in \text{space-as-set } (\text{ccspan } \{\psi\}) \rangle$ 
    by (simp flip: assms)
  then obtain  $c$  where  $c: \langle A *_V \gamma = c *_C \psi \rangle$ 
    apply atomize-elim
    apply (auto simp: ccspan.rep-eq)
    by (metis complex-vector.span-breakdown-eq complex-vector.span-empty eq-iff-diff-eq0 singletonD)
  have  $\langle A *_V \gamma = \text{butterfly } \psi (\psi /R (\norm \psi)^2) *_V (A *_V \gamma) \rangle$ 
    apply (auto simp: c simp flip: scaleC-scaleC)
    by (metis cinner-eq-zero-iff divideC-field-simps(1) power2-norm-eq-cinner scaleC-left-commute scaleC-zero-right)
  also have  $\langle \dots = (\text{butterfly } \psi (\psi /R (\norm \psi)^2) o_{CL} A) *_V \gamma \rangle$ 
    by simp
  also have  $\langle \dots = \text{butterfly } \psi (A *_V (\psi /R (\norm \psi)^2)) *_V \gamma \rangle$ 
    by (simp add: cinner-adj-left)
  finally show  $\langle A *_V \gamma = \dots \rangle$ 
    by –
qed
```

**lemma** *rank1-0[simp]: <rank1 0>*  
**by** (*metis ccspan-0 kernel-0 kernel-apply-self rank1-def*)

**lemma** *rank1-iff-butterfly*:  $\langle \text{rank1 } A \longleftrightarrow (\exists \psi \varphi. A = \text{butterfly } \psi \varphi) \rangle$

```

for  $A :: \langle \text{-:complex-inner} \Rightarrow_{CL} \text{-:chilbert-space} \rangle$ 
proof (rule iffI)
  assume  $\langle \text{rank1 } A \rangle$ 
  then obtain  $\psi$  where  $\langle A *_S \top = \text{ccspan } \{\psi\} \rangle$ 
    using rank1-def by auto
  then have  $\langle \exists \varphi. A = \text{butterfly } \psi \varphi \rangle$ 
    by (rule rank1-is-butterfly)
  then show  $\langle \exists \psi \varphi. A = \text{butterfly } \psi \varphi \rangle$ 
    by auto
next
  assume asm:  $\langle \exists \psi \varphi. A = \text{butterfly } \psi \varphi \rangle$ 
  show  $\langle \text{rank1 } A \rangle$ 
  proof (cases <A = 0>)
    case True
    then show ?thesis
      by simp
next
```

```

case False
from asm obtain  $\psi \varphi$  where A:  $\langle A = butterfly \psi \varphi \rangle$ 
  by auto
with False have  $\langle \psi \neq 0 \rangle$  and  $\langle \varphi \neq 0 \rangle$ 
  by auto
then have  $\langle butterfly \psi \varphi *_S \top = cspan \{\psi\} \rangle$ 
  by (rule-tac butterfly-is-rank1)
with A  $\langle \psi \neq 0 \rangle$  show  $\langle rank1 A \rangle$ 
  by (auto intro!: exI[of - ψ] simp: rank1-def)
qed
qed

lemma norm-butterfly:  $norm(butterfly \psi \varphi) = norm \psi * norm \varphi$ 
proof (cases  $\varphi=0$ )
  case True
  then show ?thesis by simp
next
  case False
  show ?thesis
    unfolding norm-cblinfun.rep-eq
    proof (rule onormI[OF - False])
      fix x
      have  $cmod(\varphi \cdot_C x) * norm \psi \leq norm \psi * norm \varphi * norm x$ 
      by (metis ab-semigroup-mult-class.mult-ac(1) complex-inner-class.Cauchy-Schwarz-ineq2
        mult.commute mult-left-mono norm-ge-zero)
      thus  $norm(butterfly \psi \varphi *_V x) \leq norm \psi * norm \varphi * norm x$ 
      by (simp add: power2-eq-square)

      show  $norm(butterfly \psi \varphi *_V \varphi) = norm \psi * norm \varphi * norm \varphi$ 
      by (smt (z3) ab-semigroup-mult-class.mult-ac(1) butterfly-apply mult.commute
        norm-eq-sqrt-cinner norm-ge-zero norm-scaleC power2-eq-square real-sqrt-abs real-sqrt-eq-iff)
    qed
  qed

lemma bounded-sesquilinear-butterfly[bounded-sesquilinear]:  $\langle bounded-sesquilinear(\lambda(b::'b::chilbert-space)(a::'a::chilbert-space). butterfly a b) \rangle$ 
proof standard
  fix a a' :: 'a and b b' :: 'b and r :: complex
  show  $\langle butterfly(a + a') b = butterfly a b + butterfly a' b \rangle$ 
  by (rule butterfly-add-left)
  show  $\langle butterfly a (b + b') = butterfly a b + butterfly a b' \rangle$ 
  by (rule butterfly-add-right)
  show  $\langle butterfly(r *_C a) b = r *_C butterfly a b \rangle$ 
  by simp
  show  $\langle butterfly a (r *_C b) = cnj r *_C butterfly a b \rangle$ 
  by simp
  show  $\langle \exists K. \forall b a. norm(butterfly a b) \leq norm b * norm a * K \rangle$ 
  apply (rule exI[of - 1])

```

```

    by (simp add: norm-butterfly)
qed

lemma inj-selfbutter upto-phase:
assumes selfbutter x = selfbutter y
shows  $\exists c. \text{cmod } c = 1 \wedge x = c *_C y$ 
proof (cases x = 0)
  case True
  from assms have y = 0
  using norm-butterfly
  by (metis True butterfly-0-left divisors-zero norm-eq-zero)
  with True show ?thesis
  using norm-one by fastforce
next
  case False
  define c where c =  $(y \cdot_C x) / (x \cdot_C x)$ 
  have  $(x \cdot_C x) *_C x = \text{selfbutter } x *_V x$ 
  by (simp add: butterfly-apply)
  also have ... = selfbutter y *V x
  using assms by simp
  also have ... =  $(y \cdot_C x) *_C y$ 
  by (simp add: butterfly-apply)
  finally have xcy:  $x = c *_C y$ 
  by (simp add: c-def ceq-vector-fraction-iff)
  have cmod c * norm x = cmod c * norm y
  using assms norm-butterfly
  by (smt (verit, ccfv-SIG) '(x \cdot_C x) *_C x = selfbutter x *V x) (selfbutter y *V x = (y \cdot_C x) *_C y) cinner-scaleC-right complex-vector.scale-left-commute complex-vector.scale-right-imp-eq mult-cancel-left norm-eq-sqrt-cinner norm-eq-zero scaleC-scaleC xcy)
  also have cmod c * norm y = norm (c *C y)
  by simp
  also have ... = norm x
  unfolding xcy[symmetric] by simp
  finally have c: cmod c = 1
  by (simp add: False)
  from c xcy show ?thesis
  by auto
qed

lemma butterfly-eq-proj:
assumes norm x = 1
shows selfbutter x = proj x
proof -
  define B and  $\varphi :: \text{complex} \Rightarrow_{CL} 'a$ 
  where B = selfbutter x and  $\varphi = \text{vector-to-cblinfun } x$ 
  then have B:  $B = \varphi \circ_{CL} \varphi^*$ 
  unfolding butterfly-def by simp
  have  $\varphi \text{adj } \varphi: \varphi^* \circ_{CL} \varphi = \text{id-cblinfun}$ 

```

```

using φ-def assms isometry-def isometry-vector-to-cblinfun by blast
have B oCL B = φ oCL (φ* oCL φ) oCL φ*
  by (simp add: B cblinfun-assoc-left(1))
also have ... = B
  unfolding φadjφ by (simp add: B)
finally have idem: B oCL B = B.
have herm: B = B*
  unfolding B by simp
from idem herm have BProj: B = Proj (B *S top)
  by (rule Proj-on-own-range['symmetric])
have B *S top = cspan {x}
  by (simp add: B φ-def assms cblinfun-compose-image range-adjoint-isometry)
with BProj show B = proj x
  by simp
qed

lemma butterfly-sgn-eq-proj:
  shows selfbutter (sgn x) = proj x
proof (cases `x = 0`)
  case True
  then show ?thesis
    by simp
next
  case False
  then have `selfbutter (sgn x) = proj (sgn x)`
    by (simp add: butterfly-eq-proj norm-sgn)
  also have `cspan {sgn x} = cspan {x}`
    by (metis cspan-singleton-scaleC scaleC-eq-0-iff scaleR-scaleC sgn-div-norm
      sgn-zero-iff)
  finally show ?thesis
    by -
qed

lemma butterfly-is-Proj:
  ⟨norm x = 1 ⟹ is-Proj (selfbutter x)⟩
  by (subst butterfly-eq-proj, simp-all)

lemma cspan-butterfly-UNIV:
  assumes `cspan basisA = UNIV`
  assumes `cspan basisB = UNIV`
  assumes `is-ortho-set basisB`
  assumes `⋀ b. b ∈ basisB ⟹ norm b = 1`
  shows `cspan {butterfly a b | a ∈ basisA ∧ b ∈ basisB} = UNIV` (b::'b::{chilbert-space,cfinite-dim}).
  a ∈ basisA ∧ b ∈ basisB
proof -
  have F: ∃ F ∈ {butterfly a b | a ∈ basisA ∧ b ∈ basisB}. ∀ b' ∈ basisB. F *V b' = (if b' = b then a else 0)
    if `a ∈ basisA` and `b ∈ basisB` for a b
    apply (rule bexI[where x=⟨butterfly a b⟩])

```

```

using assms that by (auto simp: is-ortho-set-def cnorm-eq-1)
show ?thesis
apply (rule cblinfun-cspan-UNIV[where basisA=basisB and basisB=basisA])
using assms apply auto[2]
using F by (smt (verit, ccfv-SIG) image-iff)
qed

lemma cindependent-butterfly:
fixes basisA :: <'a::chilbert-space set> and basisB :: <'b::chilbert-space set>
assumes <is-ortho-set basisA> <is-ortho-set basisB>
assumes normA: <(A a. a ∈ basisA) ==> norm a = 1> and normB: <(A b. b ∈ basisB)
==> norm b = 1>
shows <cindependent {butterfly a b | a b. a ∈ basisA ∧ b ∈ basisB}>
proof (unfold complex-vector.independent-explicit-module, intro allI impI, rename-tac
T f g)
fix T :: <('b ⇒CL 'a) set> and f :: <'b ⇒CL 'a ⇒ complex> and g :: <'b ⇒CL 'a>
assume <finite T>
assume T-subset: <T ⊆ {butterfly a b | a b. a ∈ basisA ∧ b ∈ basisB}>
define lin where <lin = (∑ g ∈ T. f g *C g)>
assume <lin = 0>
assume <g ∈ T>

then obtain a b where g: <g = butterfly a b> and [simp]: <a ∈ basisA> <b ∈
basisB>
using T-subset by auto

have *: (vector-to-cblinfun a)* *V f g *C g *V b = 0
if <g ∈ T - {butterfly a b}> for g
proof -
from that have <g ≠ butterfly a b> by auto
with g consider (a) <a ≠ a'> | (b) <b ≠ b'>
by auto
then show <(vector-to-cblinfun a)* *V f g *C g *V b = 0>
proof cases
case a
then show ?thesis
using <is-ortho-set basisA> unfolding g
by (auto simp: is-ortho-set-def butterfly-def scaleC-cblinfun.rep-eq)
next
case b
then show ?thesis
using <is-ortho-set basisB> unfolding g
by (auto simp: is-ortho-set-def butterfly-def scaleC-cblinfun.rep-eq)
qed
qed

```

```

have ⟨0 = (vector-to-cblinfun a)* *V lin *V b⟩
  using ⟨lin = 0⟩ by auto
also have ⟨... = (∑ g ∈ T. (vector-to-cblinfun a)* *V (f g *C g) *V b)⟩
  unfolding lin-def
  apply (rule complex-vector.linear-sum)
  by (smt (z3) cblinfun.scaleC-left cblinfun.scaleC-right cblinfun.add-right clinearI
plus-cblinfun.rep-eq)
also have ⟨... = (∑ g ∈ {butterfly a b}. (vector-to-cblinfun a)* *V (f g *C g) *V
b)⟩
  apply (rule sum.mono-neutral-right)
  using ⟨finite T⟩ * ⟨g ∈ T⟩ g by auto
also have ⟨... = (vector-to-cblinfun a)* *V (f g *C g) *V b⟩
  by (simp add: g)
also have ⟨... = f g⟩
  unfolding g
  using normA normB by (auto simp: butterfly-def scaleC-cblinfun.rep-eq cnorm-eq-1)
finally show ⟨f g = 0⟩
  by simp
qed

lemma clinear-eq-butterflyI:
fixes F G :: ⟨('a::{{chilbert-space, cfinite-dim} ⇒CL 'b::complex-inner}) ⇒ 'c::complex-vector⟩
assumes clinear F and clinear G
assumes ⟨cspan basisA = UNIV⟩ ⟨cspan basisB = UNIV⟩
assumes ⟨is-ortho-set basisA⟩ ⟨is-ortho-set basisB⟩
assumes ∏ a b. a ∈ basisA ⇒ b ∈ basisB ⇒ F (butterfly a b) = G (butterfly a b)
assumes ⟨∏ b. b ∈ basisB ⇒ norm b = 1⟩
shows F = G
apply (rule complex-vector.linear-eq-on-span[where f=F, THEN ext, rotated 3])
  apply (subst cspan-butterfly-UNIV)
using assms by auto

lemma sum-butterfly-is-Proj:
assumes ⟨is-ortho-set E⟩
assumes ⟨∏ e. e ∈ E ⇒ norm e = 1⟩
shows ⟨is-Proj (∑ e ∈ E. butterfly e e)⟩
proof (cases ⟨finite E⟩)
case True
show ?thesis
proof (rule is-Proj-I)
show ⟨(∑ e ∈ E. butterfly e e)* = (∑ e ∈ E. butterfly e e)⟩
  by (simp add: sum-adj)
have ortho: ⟨f ≠ e ⇒ e ∈ E ⇒ f ∈ E ⇒ is-orthogonal f e⟩ for f e
  by (meson assms(1) is-ortho-set-def)
have unit: ⟨e ·C e = 1⟩ if ⟨e ∈ E⟩ for e
  using assms(2) cnorm-eq-1 that by blast
have *: ⟨(∑ f ∈ E. (f ·C e) *C butterfly f e) = butterfly e e⟩ if ⟨e ∈ E⟩ for e
  apply (subst sum-single[where i=e])

```

```

    by (auto intro!: simp: that ortho unit True)
  show <( $\sum e \in E. butterfly e e$ ) oCL ( $\sum e \in E. butterfly e e$ ) = ( $\sum e \in E. butterfly e e$ )>
    by (auto simp: * cblinfun-compose-sum-right cblinfun-compose-sum-left)
qed
next
  case False
  then show ?thesis
    by simp
qed

lemma rank1-compose-left: <rank1 (a oCL b)> if <rank1 b>
proof -
  from <rank1 b>
  obtain ψ where < $b *_S \top = cspan \{\psi\}$ >
    using rank1-def by blast
  then have *: <(a oCL b) *S \top = cspan {a ψ}>
    by (metis cblinfun-assoc-left(2) cblinfun-image-cspan image-empty image-insert)
  then show <rank1 (a oCL b)>
    using rank1-def by blast
qed

lemma csubspace-of-1dim-space:
assumes < $S \neq \{0\}$ >
assumes <csubspace S>
assumes < $S \subseteq cspan \{\psi\}$ >
shows < $S = cspan \{\psi\}$ >
proof -
  from < $S \neq \{0\}$ > <csubspace S>
  obtain φ where < $\varphi \in S$  and  $\varphi \neq 0$ >
    using complex-vector.subspace-0 by blast
  then have < $\varphi \in cspan \{\psi\}$ >
    using < $S \subseteq cspan \{\psi\}$ > by blast
  with < $\varphi \neq 0$ > obtain c where < $\varphi = c *_C \psi$  and  $c \neq 0$ >
    by (metis complex-vector.span-breakdown-eq complex-vector.span-empty right-minus-eq
scaleC-eq-0-iff singletonD)
  have < $cspan \{\psi\} = cspan \{inverse c *_C \varphi\}$ >
    by (simp add: < $\varphi = c *_C \psi$ > < $c \neq 0$ >)
  also have < $\dots \subseteq cspan \{\varphi\}$ >
    using < $c \neq 0$ > by auto
  also from < $\varphi = c *_C \psi$ > < $\varphi \in S$ > < $c \neq 0$ > assms
  have < $\dots \subseteq S$ >
    by (metis complex-vector.span-subspace cspan-singleton-scaleC empty-subsetI
insert-Diff insert-mono)
  finally have < $cspan \{\psi\} \subseteq S$ >
    by -
  with < $S \subseteq cspan \{\psi\}$ > show ?thesis
    by simp
qed

```

```

lemma subspace-of-1dim-ccspan:
  assumes ‹S ≠ 0›
  assumes ‹S ≤ cccspan {ψ}›
  shows ‹S = cccspan {ψ}›
  using assms apply transfer
  by (simp add: csubspace-of-1dim-space)

lemma rank1-compose-right: ‹rank1 (a oCL b)› if ‹rank1 a›
proof -
  have ‹(a oCL b) *S ⊤ ≤ a *S ⊤›
  by (metis cblinfun-apply-cblinfun-compose cblinfun-apply-in-image cblinfun-image-ccspan-leqI
  cccspan-UNIV)
  also from ‹rank1 a›
  obtain ψ where ‹a *S ⊤ = cccspan {ψ}›
  using rank1-def by blast
  finally have *: ‹(a oCL b) *S ⊤ ≤ cccspan {ψ}›
  by -
  show ‹rank1 (a oCL b)›
  proof (cases ‹(a oCL b) *S ⊤ = 0›)
    case True
    then show ?thesis
    by simp
  next
    case False
    with * have ‹(a oCL b) *S ⊤ = cccspan {ψ}›
    using subspace-of-1dim-ccspan by blast
    then show ?thesis
    using rank1-def by blast
  qed
qed

lemma rank1-scaleC: ‹rank1 (c *C a)› if ‹rank1 a› and ‹c ≠ 0›
  using rank1-compose-left[OF ‹rank1 a›, where a=‹c *C id-cblinfun›]
  using that by force

lemma rank1-uminus: ‹rank1 (−a)› if ‹rank1 a›
  using that rank1-scaleC[where c=‹−1› and a=a] by simp

lemma rank1-uminus-iff[simp]: ‹rank1 (−a) ↔ rank1 a›
  using rank1-uminus by force

lemma rank1-adj: ‹rank1 (a*)› if ‹rank1 a›
  for a :: ‹'a::chilbert-space ⇒CL 'b::chilbert-space›
  by (metis adj-0 butterfly-adjoint rank1-iff-butterfly that)

lemma rank1-adj-iff[simp]: ‹rank1 (a*) ↔ rank1 a›
  for a :: ‹'a::chilbert-space ⇒CL 'b::chilbert-space›

```

```

by (metis double-adj rank1-adj)

lemma butterflies-sum-id-finite: <id-cblinfun = ( $\sum_{x \in B} selfbutter x$ )> if <is-onb B> for B :: <'a :: {cfinite-dim, chilbert-space} set>
proof (rule cblinfun-eq-gen-eqI)
  from <is-onb B> show <ccspan B = ⊤>
    by (simp add: is-onb-def)
  from <is-onb B> have <cindependent B>
    by (simp add: is-onb-def is-ortho-set-cindependent)
  then have [simp]: < $\text{finite } B$ >
    using cindependent-cfinite-dim-finite by blast
  from <is-onb B>
  have 1: < $j \neq b \implies j \in B \implies \text{is-orthogonal } j \ b$ > if < $b \in B$ > for j b
    using that by (auto simp add: is-onb-def is-ortho-set-def)
  from <is-onb B>
  have 2: < $b \cdot_C b = 1$ > if < $b \in B$ > for b
    using that by (simp add: is-onb-def cnorm-eq-1)
  fix b assume < $b \in B$ >
  then show < $\text{id-cblinfun } *_V b = (\sum_{x \in B} selfbutter x) *_V b$ >
    using 1 2 by (simp add: cblinfun.sum-left sum-single[where i=b])
qed

lemma butterfly-sum-left: < $\text{butterfly } (\sum_{i \in M} \psi i) \varphi = (\sum_{i \in M} \text{butterfly } (\psi i) \varphi)$ >
apply (induction M rule: infinite-finite-induct)
by (auto simp add: butterfly-add-left)

lemma butterfly-sum-right: < $\text{butterfly } \psi (\sum_{i \in M} \varphi i) = (\sum_{i \in M} \text{butterfly } \psi (\varphi i))$ >
apply (induction M rule: infinite-finite-induct)
by (auto simp add: butterfly-add-right)

```

### 13.18 Banach-Steinhaus

```

theorem cbanach-steinhaus:
fixes F :: <'c ⇒ 'a::cbanach ⇒ CL 'b::complex-normed-vector>
assumes < $\bigwedge x. \exists M. \forall n. \text{norm } ((F n) *_V x) \leq M$ >
shows < $\exists M. \forall n. \text{norm } (F n) \leq M$ >
using cblinfun-blifun-transfer[transfer-rule]
apply fail?
proof (use assms in transfer)
  fix F :: <'c ⇒ 'a ⇒ L 'b> assume < $(\bigwedge x. \exists M. \forall n. \text{norm } (F n *_V x) \leq M)$ >
  hence < $\bigwedge x. \text{bounded } (\text{range } (\lambda n. \text{blinfun-apply } (F n) x))$ >
    by (metis (no-types, lifting) boundedI rangeE)
  hence < $\text{bounded } (\text{range } F)$ >
    by (simp add: banach-steinhaus)
  thus < $\exists M. \forall n. \text{norm } (F n) \leq M$ >
    by (simp add: bounded-iff)
qed

```

### 13.19 Riesz-representation theorem

```

theorem riesz-representation-cblinfun-existence:
  — Theorem 3.4 in [1]
  fixes f:: $'a::chilbert-space \Rightarrow_{CL} complex$ 
  shows  $\langle \exists t. \forall x. f *_V x = (t \cdot_C x) \rangle$ 
  by transfer (rule riesz-representation-existence)

lemma riesz-representation-cblinfun-unique:
  — Theorem 3.4 in [1]
  fixes f:: $'a::complex-inner \Rightarrow_{CL} complex$ 
  assumes  $\langle \bigwedge x. f *_V x = (t \cdot_C x) \rangle$ 
  assumes  $\langle \bigwedge x. f *_V x = (u \cdot_C x) \rangle$ 
  shows  $\langle t = u \rangle$ 
  using assms by (rule riesz-representation-unique)

theorem riesz-representation-cblinfun-norm:
  includes norm-syntax
  fixes f:: $'a::chilbert-space \Rightarrow_{CL} complex$ 
  assumes  $\langle \bigwedge x. f *_V x = (t \cdot_C x) \rangle$ 
  shows  $\langle \|f\| = \|t\| \rangle$ 
  using assms
  proof transfer
    fix f:: $'a \Rightarrow complex$  and t
    assume  $\langle \text{bounded-clinear } f \rangle$  and  $\langle \bigwedge x. f x = (t \cdot_C x) \rangle$ 
    from  $\langle \bigwedge x. f x = (t \cdot_C x) \rangle$ 
    have  $\langle (\text{norm } (f x)) / (\text{norm } x) \leq \text{norm } t \rangle$ 
      for x
      proof(cases  $\langle \text{norm } x = 0 \rangle$ )
        case True
        thus ?thesis by simp
      next
        case False
        have  $\langle \text{norm } (f x) = \text{norm } ((t \cdot_C x)) \rangle$ 
          using  $\langle \bigwedge x. f x = (t \cdot_C x) \rangle$  by simp
        also have  $\langle \text{norm } (t \cdot_C x) \leq \text{norm } t * \text{norm } x \rangle$ 
          by (simp add: complex-inner-class.Cauchy-Schwarz-ineq2)
        finally have  $\langle \text{norm } (f x) \leq \text{norm } t * \text{norm } x \rangle$ 
          by blast
        thus ?thesis
        by (metis False linordered-field-class.divide-right-mono nonzero-mult-div-cancel-right
          norm-ge-zero)
      qed
      moreover have  $\langle (\text{norm } (f t)) / (\text{norm } t) = \text{norm } t \rangle$ 
      proof(cases  $\langle \text{norm } t = 0 \rangle$ )
        case True
        thus ?thesis
          by simp
      next
        case False

```

```

have ⟨f t = (t •C t)⟩
  using ⟨ $\bigwedge x. f x = (t \cdot_C x)$ ⟩ by blast
also have ⟨... = (norm t)2⟩
  by (meson cnorm-eq-square)
also have ⟨... = (norm t)*(norm t)⟩
  by (simp add: power2-eq-square)
finally have ⟨f t = (norm t)*(norm t)⟩
  by blast
thus ?thesis
  by (metis ⟨f t = t •C t⟩ norm-eq-sqrt-cinner norm-ge-zero real-div-sqrt)
qed
ultimately have ⟨Sup {(norm (f x)) / (norm x) | x. True} = norm t⟩
  by (smt cSup-eq-maximum mem-Collect-eq)
moreover have ⟨Sup {(norm (f x)) / (norm x) | x. True} = (SUP x. (norm (f
x)) / (norm x))⟩
  by (simp add: full-SetCompr-eq)
ultimately show ⟨onorm f = norm t⟩
  by (simp add: onorm-def)
qed

definition the-riesz-rep :: "('a::chilbert-space ⇒CL complex) ⇒ 'a" where
⟨the-riesz-rep f = (SOME t. ∀ x. f *V x = t •C x)⟩

lemma the-riesz-rep[simp]: ⟨the-riesz-rep f •C x = f *V x⟩
  unfolding the-riesz-rep-def
  apply (rule someI2-ex)
  by (simp-all add: riesz-representation-cblinfun-existence)

lemma the-riesz-rep-unique:
  assumes ⟨ $\bigwedge x. f *_V x = t \cdot_C x$ ⟩
  shows ⟨t = the-riesz-rep f⟩
  using assms riesz-representation-cblinfun-unique the-riesz-rep by metis

lemma the-riesz-rep-scaleC: ⟨the-riesz-rep (c *C f) = cnj c *C the-riesz-rep f⟩
  apply (rule the-riesz-rep-unique[symmetric])
  by auto

lemma the-riesz-rep-add: ⟨the-riesz-rep (f + g) = the-riesz-rep f + the-riesz-rep
g⟩
  apply (rule the-riesz-rep-unique[symmetric])
  by (auto simp: cinner-add-left cblinfun.add-left)

lemma the-riesz-rep-norm[simp]: ⟨norm (the-riesz-rep f) = norm f⟩
  apply (rule riesz-representation-cblinfun-norm[symmetric])
  by simp

lemma bounded-antilinear-the-riesz-rep[bounded-antilinear]: ⟨bounded-antilinear the-riesz-rep⟩
  by (metis (no-types, opaque-lifting) bounded-antilinear-intro dual-order.refl mult.commute
mult-1 the-riesz-rep-add the-riesz-rep-norm the-riesz-rep-scaleC)

```

```

lift-definition the-riesz-rep-sesqui :: <('a::complex-normed-vector ⇒ 'b::chilbert-space
⇒ complex) ⇒ ('a ⇒CL 'b)> is
  ⟨λp. if bounded-sesquilinear p then the-riesz-rep o CBlinfun o p else 0>
  by (metis (mono-tags, lifting) CBlinfun-comp-bounded-sesquilinear bounded-antilinear-o-bounded-antilinear'
  bounded-antilinear-the-riesz-rep bounded-clinear-0 fun.map-comp)

```

```

lemma the-riesz-rep-sesqui-apply:
  assumes <bounded-sesquilinear p>
  shows <(the-riesz-rep-sesqui p *V x) ·C y = p x y>
  apply (transfer fixing: p x y)
  by (auto simp add: CBlinfun-inverse bounded-sesquilinear-apply-bounded-clinear
assms)

```

### 13.20 Bidual

```

lift-definition bidual-embedding :: <'a::complex-normed-vector ⇒CL (('a ⇒CL com-
plex) ⇒CL complex)>
  is <λx f. f *V x>
  by (simp add: cblinfun.flip)

```

```

lemma bidual-embedding-apply[simp]: <(bidual-embedding *V x) *V f = f *V x>
  by (transfer fixing: x f, simp)

```

```

lemma bidual-embedding-isometric[simp]: <norm (bidual-embedding *V x) = norm
x> for x :: 'a::complex-inner
proof -
  define f :: 'a ⇒CL complex where <f = CBlinfun (λy. cinner x y)>
  then have [simp]: <f *V y = cinner x y> for y
    by (simp add: bounded-clinear-CBlinfun-apply bounded-clinear-cinner-right)
  then have [simp]: <norm f = norm x>
    apply (auto intro!: norm-cblinfun-eqI[where x=x] simp: power2-norm-eq-cinner[symmetric])
    apply (smt (verit, best) norm-eq-sqrt-cinner norm-ge-zero power2-norm-eq-cinner
real-div-sqrt)
      using Cauchy-Schwarz-ineq2 by blast
  show ?thesis
    apply (auto intro!: norm-cblinfun-eqI[where x=f])
      apply (metis norm-eq-sqrt-cinner norm-imp-pos-and-ge real-div-sqrt)
        by (metis norm-cblinfun ordered-field-class.sign-simps(33))
qed

```

```

lemma norm-bidual-embedding[simp]: <norm (bidual-embedding :: 'a::{complex-inner,
not-singleton} ⇒CL -) = 1>
proof -
  obtain x :: 'a where [simp]: <norm x = 1>
    by (meson UNIV-not-singleton ex-norm1)
  show ?thesis
    by (auto intro!: norm-cblinfun-eqI[where x=x])
qed

```

```

lemma isometry-bidual-embedding[simp]: <isometry bidual-embedding>
  by (simp add: norm-preserving-isometry)

lemma bidual-embedding-surj[simp]: <surj (bidual-embedding :: 'a::chilbert-space
  ⇒CL -)>
  proof –
    have ⟨∃ y''. ∀ f. (bidual-embedding *V y'') *V f = y *V f⟩
      for y :: ⟨('a ⇒CL complex) ⇒CL complex⟩
    proof –
      define y' where ⟨y' = CBlinfun (λz. cnj (y (cblinfun-cinner-right z)))⟩
      have y'-apply: ⟨y' z = cnj (y (cblinfun-cinner-right z))⟩ for z
        unfolding y'-def
        apply (subst CBlinfun-inverse)
        by (auto intro!: bounded-linear-intros)
      obtain y'' where ⟨y' z = y'' ·C z⟩ for z
        using riesz-representation-cblinfun-existence by blast
      then have y'': ⟨z ·C y'' = cnj (y' z)⟩ for z
        by auto
      have ⟨(bidual-embedding *V y'') *V f = y *V f⟩ for f :: ⟨'a ⇒CL complex⟩
      proof –
        obtain f' where f': ⟨f z = f' ·C z⟩ for z
          using riesz-representation-cblinfun-existence by blast
        then have f'2: ⟨f = cblinfun-cinner-right f'⟩
          using cblinfun-apply-inject by force
        show ?thesis
          by (auto simp add: f' f'2 y'' y'-apply)
      qed
      then show ?thesis
        by blast
      qed
      then show ?thesis
        by (metis cblinfun-eqI surj-def)
    qed

```

### 13.21 Extension of complex bounded operators

```

definition cblinfun-extension where
  cblinfun-extension S φ = (SOME B. ∀ x∈S. B *V x = φ x)

```

```

definition cblinfun-extension-exists where
  cblinfun-extension-exists S φ = (∃ B. ∀ x∈S. B *V x = φ x)

```

```

lemma cblinfun-extension-existsI:
  assumes ⋀x. x∈S ==> B *V x = φ x
  shows cblinfun-extension-exists S φ
  using assms cblinfun-extension-exists-def by blast

```

```

lemma cblinfun-extension-exists-finite-dim:

```

```

fixes  $\varphi$ : 'a :: {complex-normed-vector, cfinite-dim}  $\Rightarrow$  'b :: complex-normed-vector
assumes c-independent S
  and cspan S = UNIV
  shows cbilinfun-extension-exists S  $\varphi$ 
proof -
  define f: 'a  $\Rightarrow$  'b
    where f = complex-vector.construct S  $\varphi$ 
  have clinear f
    by (simp add: complex-vector.linear-construct assms linear-construct f-def)
  have bounded-clinear f
    using ⟨clinear f⟩ assms by auto
  then obtain B: 'a  $\Rightarrow_{CL}$  'b
    where B *V x = f x for x
    using cbilinfun-apply-cases by blast
  have B *V x =  $\varphi$  x
    if c1:  $x \in S$ 
      for x
  proof -
    have B *V x = f x
      by (simp add: ⟨ $\bigwedge x. B *_V x = f x$ ⟩)
    also have ... =  $\varphi$  x
      using assms complex-vector.construct-basis f-def that
      by (simp add: complex-vector.construct-basis)
    finally show ?thesis by blast
  qed
  thus ?thesis
    unfolding cbilinfun-extension-exists-def
    by blast
  qed

lemma cbilinfun-extension-apply:
  assumes cbilinfun-extension-exists S f
  and v  $\in$  S
  shows (cbilinfun-extension S f) *V v = f v
  by (smt assms cbilinfun-extension-def cbilinfun-extension-exists-def tfl-some)

lemma
  fixes f :: ('a :: complex-normed-vector  $\Rightarrow$  'b :: cbanach)
  assumes ⟨csubspace S⟩
  assumes ⟨closure S = UNIV⟩
  assumes f-add:  $\langle \bigwedge x y. x \in S \Rightarrow y \in S \Rightarrow f(x + y) = f x + f y \rangle$ 
  assumes f-scale:  $\langle \bigwedge c x. x \in S \Rightarrow f(c *_C x) = c *_C f x \rangle$ 
  assumes bounded:  $\langle \bigwedge x. x \in S \Rightarrow \text{norm}(f x) \leq B * \text{norm } x \rangle$ 
  shows cbilinfun-extension-exists-bounded-dense: ⟨cbilinfun-extension-exists S f⟩
  and cbilinfun-extension-norm-bounded-dense:  $\langle B \geq 0 \Rightarrow \text{norm}(\text{cbilinfun-extension } S f) \leq B \rangle$ 
proof -
  define B' where ⟨B' = (if B  $\leq 0$  then 1 else B)⟩
  then have bounded':  $\langle \bigwedge x. x \in S \Rightarrow \text{norm}(f x) \leq B' * \text{norm } x \rangle$ 

```

```

using bounded by (metis mult-1 mult-le-0-iff norm-ge-zero order-trans)
have ⟨ $B' > 0$ ⟩
by (simp add:  $B'$ -def)

have ⟨ $\exists xi. (xi \longrightarrow x) \wedge (\forall i. xi \in S)$ ⟩ for  $x$ 
using assms(2) closure-sequential by blast
then obtain seq :: ⟨'a ⇒ nat ⇒ 'a⟩ where seq-lim: ⟨seq  $x \longrightarrow x$ ⟩ and seq-S:
⟨seq  $x i \in S$ ⟩ for  $x i$ 
apply (atomize-elim, subst all-conj-distrib[symmetric])
apply (rule choice)
by auto
define  $g$  where ⟨ $g x = lim (\lambda i. f (seq x i))$ ⟩ for  $x$ 
have ⟨Cauchy ( $\lambda i. f (seq x i)$ )⟩ for  $x$ 
proof (rule CauchyI)
fix  $e :: real$  assume ⟨ $e > 0$ ⟩
have ⟨Cauchy (seq  $x$ )⟩
using LIMSEQ-imp-Cauchy seq-lim by blast
then obtain M where less-eB: ⟨norm (seq  $x m - seq x n$ ) <  $e/B'$ ⟩ if ⟨ $n \geq M$ ⟩ and ⟨ $m \geq M$ ⟩ for  $n m$ 
by atomize-elim (meson CauchyD ⟨ $0 < B'$ ⟩ ⟨ $0 < e$ ⟩ linordered-field-class.divide-pos-pos)
have ⟨norm (f (seq  $x m) - f (seq x n)) < e⟩ if ⟨ $n \geq M$ ⟩ and ⟨ $m \geq M$ ⟩ for  $n m$ 
proof –
have ⟨norm (f (seq  $x m) - f (seq x n)) = norm (f (seq x m - seq x n))⟩
using f-add f-scale seq-S
by (metis add-diff-cancel assms(1) complex-vector.subspace-diff diff-add-cancel)
also have ⟨... ≤  $B' * norm (seq x m - seq x n)$ ⟩
apply (rule bounded')
by (simp add: assms(1) complex-vector.subspace-diff seq-S)
also from less-eB have ⟨... <  $B' * (e/B')$ ⟩
by (meson ⟨ $0 < B'$ ⟩ linordered-semiring-strict-class.mult-strict-left-mono
that)
also have ⟨... ≤  $e$ ⟩
using ⟨ $0 < B'$ ⟩ by auto
finally show ?thesis
by –
qed
then show ⟨ $\exists M. \forall m \geq M. \forall n \geq M. norm (f (seq x m) - f (seq x n)) < e$ ⟩
by auto
qed
then have f-seq-lim: ⟨( $\lambda i. f (seq x i)$ ) ⟶ g x⟩ for  $x$ 
by (simp add: Cauchy-convergent-iff convergent-LIMSEQ-iff g-def)
have f-xi-lim: ⟨( $\lambda i. f (xi i)$ ) ⟶ g x⟩ if ⟨ $xi \longrightarrow x$ ⟩ and ⟨ $\bigwedge i. xi \in S$ ⟩ for  $xi x$ 
proof –
from seq-lim that
have ⟨( $\lambda i. B' * norm (xi i - seq x i)$ ) ⟶ 0⟩
by (metis (no-types) ⟨ $0 < B'$ ⟩ cancel-comm-monoid-add-class.diff-cancel
norm-not-less-zero norm-zero tends-to-diff tends-to-norm-zero-iff tends-to-zero-mult-left-iff)$$ 
```

```

then have  $\langle (\lambda i. f (xi i + (-1) *_C seq x i)) \longrightarrow 0 \rangle$ 
  apply (rule Lim-null-comparison[rotated])
  using bounded' by (simp add: assms(1) complex-vector.subspace-diff seq-S
that(2))
then have  $\langle (\lambda i. f (xi i) - f (seq x i)) \longrightarrow 0 \rangle$ 
  apply (subst (asm) f-add)
  apply (auto simp: that csubspace S complex-vector.subspace-neg seq-S)[2]
  apply (subst (asm) f-scale)
  by (auto simp: that csubspace S complex-vector.subspace-neg seq-S)
then show  $\langle (\lambda i. f (xi i)) \longrightarrow g x \rangle$ 
  using Lim-transform f-seq-lim by fastforce
qed
have g-add:  $\langle g (x + y) = g x + g y \rangle$  for x y
proof -
  obtain xi :: nat ⇒ 'a where  $\langle xi \longrightarrow x \rangle$  and  $\langle xi i \in S \rangle$  for i
    using seq-S seq-lim by auto
  obtain yi :: nat ⇒ 'a where  $\langle yi \longrightarrow y \rangle$  and  $\langle yi i \in S \rangle$  for i
    using seq-S seq-lim by auto
  have  $\langle (\lambda i. xi i + yi i) \longrightarrow x + y \rangle$ 
    using  $\langle xi \longrightarrow x \rangle$   $\langle yi \longrightarrow y \rangle$  tendsto-add by blast
  then have lim1:  $\langle (\lambda i. f (xi i + yi i)) \longrightarrow g (x + y) \rangle$ 
    by (simp add: ⟨⟨i. xi i ∈ S⟩ ⟨⟨i. yi i ∈ S⟩ assms(1) complex-vector.subspace-add
f-xi-lim⟩)
  have  $\langle (\lambda i. f (xi i + yi i)) = (\lambda i. f (xi i) + f (yi i)) \rangle$ 
    by (simp add: ⟨⟨i. xi i ∈ S⟩ ⟨⟨i. yi i ∈ S⟩ f-add⟩)
  also have  $\langle \dots \longrightarrow g x + g y \rangle$ 
    by (simp add: ⟨⟨i. xi i ∈ S⟩ ⟨⟨i. yi i ∈ S⟩ ⟨xi \longrightarrow x⟩ ⟨yi \longrightarrow y⟩
f-xi-lim tendsto-add⟩)
  finally show ?thesis
    using lim1 LIMSEQ-unique by blast
qed
have g-scale:  $\langle g (c *_C x) = c *_C g x \rangle$  for c x
proof -
  obtain xi :: nat ⇒ 'a where  $\langle xi \longrightarrow x \rangle$  and  $\langle xi i \in S \rangle$  for i
    using seq-S seq-lim by auto
  have  $\langle (\lambda i. c *_C xi i) \longrightarrow c *_C x \rangle$ 
    using  $\langle xi \longrightarrow x \rangle$  bounded-clinear-scaleC-right clinear-continuous-at is-
Cont-tendsto-compose by blast
  then have lim1:  $\langle (\lambda i. f (c *_C xi i)) \longrightarrow g (c *_C x) \rangle$ 
    by (simp add: ⟨⟨i. xi i ∈ S⟩ assms(1) complex-vector.subspace-scale f-xi-lim⟩)
  have  $\langle (\lambda i. f (c *_C xi i)) = (\lambda i. c *_C f (xi i)) \rangle$ 
    by (simp add: ⟨⟨i. xi i ∈ S⟩ f-scale⟩)
  also have  $\langle \dots \longrightarrow c *_C g x \rangle$ 
    using ⟨⟨i. xi i ∈ S⟩ ⟨xi \longrightarrow x⟩ bounded-clinear-scaleC-right clinear-continuous-at
f-xi-lim isCont-tendsto-compose by blast
  finally show ?thesis
    using lim1 LIMSEQ-unique by blast
qed

```

```

have [simp]:  $\langle f x = g x \rangle \text{ if } \langle x \in S \rangle \text{ for } x$ 
proof -
  have  $\langle (\lambda \_. x) \longrightarrow x \rangle$ 
    by auto
  then have  $\langle (\lambda \_. f x) \longrightarrow g x \rangle$ 
    using that by (rule f-xi-lim)
  then show  $\langle f x = g x \rangle$ 
    by (simp add: LIMSEQ-const-iff)
qed

have g-bounded:  $\langle \text{norm} (g x) \leq B' * \text{norm } x \rangle \text{ for } x$ 
proof -
  obtain xi ::  $\langle \text{nat} \Rightarrow 'a \rangle$  where  $\langle xi \longrightarrow x \rangle \text{ and } \langle xi \ i \in S \rangle \text{ for } i$ 
    using seq-S seq-lim by auto
  then have  $\langle (\lambda i. f (xi i)) \longrightarrow g x \rangle$ 
    using f-xi-lim by presburger
  then have  $\langle (\lambda i. \text{norm} (f (xi i))) \longrightarrow \text{norm} (g x) \rangle$ 
    by (metis tendsto-norm)
  moreover have  $\langle (\lambda i. B' * \text{norm} (xi i)) \longrightarrow B' * \text{norm } x \rangle$ 
    by (simp add: xi -> x tendsto-mult-left tendsto-norm)
  ultimately show  $\langle \text{norm} (g x) \leq B' * \text{norm } x \rangle$ 
    apply (rule lim-mono[rotated])
    using bounded' using  $\langle xi \ - \in S \rangle$  by blast
qed

have <bounded-clinear g>
  using g-add g-scale apply (rule bounded-clinearI[where K=B'])
  using g-bounded by (simp add: ordered-field-class.sign-simps(5))
then have [simp]:  $\langle \text{Cblinfun } g *_V x = g x \rangle \text{ for } x$ 
  by (subst Cblinfun-inverse, auto)

show <cblinfun-extension-exists S f>
  apply (rule cblinfun-extension-existsI[where B=<Cblinfun g>])
  by auto

then have <cblinfun-extension S f *_V ψ = Cblinfun g *_V ψ> if  $\langle \psi \in S \rangle \text{ for } \psi$ 
  by (simp add: cblinfun-extension-apply that)

then have ext-is-g:  $\langle (*_V) (\text{cblinfun-extension } S f) = g \rangle$ 
  apply (rule-tac ext)
  apply (rule on-closure-eqI[where S=S])
  using <closure S = UNIV> <bounded-clinear g>
  by (auto simp add: continuous-at-imp-continuous-on clinear-continuous-within)

show <norm (cblinfun-extension S f) ≤ B> if  $\langle B \geq 0 \rangle$ 
proof (cases <B > 0>)
  case True
  then have <B = B'>
    unfolding B'-def

```

```

    by auto
  moreover have *: ⟨norm (cblinfun-extension S f) ≤ B'⟩
    by (metis ext-is-g ⟨0 < B'⟩ g-bounded norm-cblinfun-bound order-le-less)
  ultimately show ?thesis
    by simp
next
  case False
  with bounded have ⟨f x = 0⟩ if ⟨x ∈ S⟩ for x
    by (smt (verit) mult-nonpos-nonneg norm-ge-zero norm-le-zero-iff that)
  then have ⟨g x = (λ_. 0) x⟩ if ⟨x ∈ S⟩ for x
    using that by simp
  then have ⟨g x = 0⟩ for x
    apply (rule on-closure-eqI[where S=S])
    using ⟨closure S = UNIV⟩ ⟨bounded-clinear g⟩
    by (auto simp add: continuous-at-imp-continuous-on clinear-continuous-within)
  with ext-is-g have ⟨cblinfun-extension S f = 0⟩
    by (simp add: cblinfun-eqI)
  then show ?thesis
    using that by simp
qed
qed

lemma cblinfun-extension-cong:
  assumes ⟨cspan A = cspan B⟩
  assumes ⟨B ⊆ A⟩
  assumes fg: ⟨∀x. x ∈ B ⇒ f x = g x⟩
  assumes ⟨cblinfun-extension-exists A f⟩
  shows ⟨cblinfun-extension A f = cblinfun-extension B g⟩
proof -
  from ⟨cblinfun-extension-exists A f⟩ fg ⟨B ⊆ A⟩
  have ⟨cblinfun-extension-exists B g⟩
    by (metis assms(2) cblinfun-extension-exists-def subset-eq)

  have ⟨(∀x ∈ A. C *V x = f x) ↔ (∀x ∈ B. C *V x = f x)⟩ for C
    by (smt (verit, ccfv-SIG) assms(1) assms(2) assms(4) cblinfun-eq-on-span
      cblinfun-extension-exists-def complex-vector.span-eq subset-iff)
  also from fg have ⟨... C ↔ (∀x ∈ B. C *V x = g x)⟩ for C
    by auto
  finally show ⟨cblinfun-extension A f = cblinfun-extension B g⟩
    unfolding cblinfun-extension-def
    by auto
qed

lemma
  fixes f :: ⟨'a::complex-inner ⇒ 'b::hilbert-space⟩ and S
  assumes ⟨is-ortho-set S⟩ and ⟨closure (cspan S) = UNIV⟩
  assumes ortho-f: ⟨∀x y. x ∈ S ⇒ y ∈ S ⇒ x ≠ y ⇒ is-orthogonal (f x) (f y)⟩
  assumes bounded: ⟨∀x. x ∈ S ⇒ norm (f x) ≤ B * norm x⟩
  shows cblinfun-extension-exists-ortho: ⟨cblinfun-extension-exists S f⟩

```

**and** *cblinfun-extension-exists-ortho-norm*:  $\langle B \geq 0 \implies \text{norm}(\text{cblinfun-extension } S f) \leq B \rangle$   
**proof** –  
**define**  $g$  **where**  $\langle g = \text{construct } S f \rangle$   
**have**  $\langle \text{c-independent } S \rangle$   
**using** *assms(1)* **is-ortho-set-c-independent** **by** *blast*  
**have**  $\langle g \cdot f : \langle g x = f x \rangle \text{ if } \langle x \in S \rangle \text{ for } x \rangle$   
**unfolding**  $g \cdot f$  **using**  $\langle \text{c-independent } S \rangle$  **that by** (*rule complex-vector.construct-basis*)  
**have** [simp]:  $\langle \text{clinear } g \rangle$   
**unfolding**  $g$  **def** **using**  $\langle \text{c-independent } S \rangle$  **by** (*rule complex-vector.linear-construct*)  
**then have**  $\langle g \cdot \text{add}: \langle g(x + y) = g x + g y \rangle \text{ if } \langle x \in \text{cspan } S \rangle \text{ and } \langle y \in \text{cspan } S \rangle \rangle$   
**for**  $x y$   
**using** *clinear-iff* **by** *blast*  
**from**  $\langle \text{clinear } g \rangle$  **have**  $\langle g \cdot \text{scale}: \langle g(c \cdot x) = c \cdot g x \rangle \text{ if } \langle x \in \text{cspan } S \rangle \text{ for } x \text{ and } \langle c \rangle \rangle$   
**by** (simp add: *complex-vector.linear-scale*)  
**moreover have**  $\langle g \cdot \text{bounded}: \langle \text{norm}(g x) \leq \text{abs } B * \text{norm } x \rangle \text{ if } \langle x \in \text{cspan } S \rangle \rangle$   
**for**  $x$   
**proof** –  
**from** **that obtain**  $t r$  **where**  $\langle x = (\sum_{a \in t. r a *_C a}) \rangle$  **and**  $\langle \text{finite } t \rangle$   
**and**  $\langle t \subseteq S \rangle$   
**unfolding** *complex-vector.span-explicit* **by** *auto*  
**have**  $\langle (\text{norm}(g x))^2 = (\text{norm}(\sum_{a \in t. r a *_C g a}))^2 \rangle$   
**by** (simp add: *x-sum complex-vector.linear-sum clinear.scaleC*)  
**also have**  $\langle \dots = (\text{norm}(\sum_{a \in t. r a *_C f a}))^2 \rangle$   
**by** (smt (verit)  $\langle t \subseteq S \rangle$   $g \cdot f$  in-mono *sum.cong*)  
**also have**  $\langle \dots = (\sum_{a \in t. (\text{norm}(r a *_C f a))^2)} \rangle$   
**using** -  $\langle \text{finite } t \rangle$  **apply** (*rule pythagorean-theorem-sum*)  
**using**  $\langle t \subseteq S \rangle$  **ortho-f** in-mono **by** *fastforce*  
**also have**  $\langle \dots = (\sum_{a \in t. (\text{cmod}(r a) * \text{norm}(f a))^2)} \rangle$   
**by** *simp*  
**also have**  $\langle \dots \leq (\sum_{a \in t. (\text{cmod}(r a) * B * \text{norm } a)^2)} \rangle$   
**apply** (*rule sum-mono*)  
**by** (metis  $\langle t \subseteq S \rangle$  *assms(4)* in-mono mult-left-mono mult-nonneg-nonneg  
*norm-ge-zero power-mono vector-space-over-itself.scale-scale*)  
**also have**  $\langle \dots = B^2 * (\sum_{a \in t. (\text{norm}(r a *_C a))^2)} \rangle$   
**by** (simp add: *sum-distrib-left mult.commute vector-space-over-itself.scale-left-commute*  
*flip: power-mult-distrib*)  
**also have**  $\langle \dots = B^2 * (\text{norm}(\sum_{a \in t. (r a *_C a))))^2 \rangle$   
**apply** (*subst pythagorean-theorem-sum*)  
**using**  $\langle \text{finite } t \rangle$  **by** *auto* (*meson*  $\langle t \subseteq S \rangle$  *assms(1)* **is-ortho-set-def** *subsetD*)  
**also have**  $\langle \dots = (\text{abs } B * \text{norm } x)^2 \rangle$   
**by** (simp add: *power-mult-distrib x-sum*)  
**finally show**  $\langle \text{norm}(g x) \leq \text{abs } B * \text{norm } x \rangle$   
**by** *auto*  
**qed**  
  
**from**  $\langle g \cdot \text{add } g \cdot \text{scale } g \cdot \text{bounded} \rangle$   
**have** *extg-exists*:  $\langle \text{cblinfun-extension-exists } (\text{cspan } S) g \rangle$   
**apply** (*rule-tac cblinfun-extension-exists-bounded-dense[where B=abs B]*)

```

using ⟨closure (ccspan S) = UNIV⟩ by auto

then show ⟨cblinfun-extension-exists S f⟩
  by (metis (mono-tags, opaque-lifting) g-f cblinfun-extension-apply cblinfun-extension-existsI
complex-vector.span-base)

have norm-extg: ⟨norm (cblinfun-extension (ccspan S) g) ≤ B⟩ if ⟨B ≥ 0⟩
  apply (rule cblinfun-extension-norm-bounded-dense)
  using g-add g-scale g-bounded ⟨closure (ccspan S) = UNIV⟩ that by auto

have extg-extf: ⟨cblinfun-extension (ccspan S) g = cblinfun-extension S f⟩
  apply (rule cblinfun-extension-cong)
  by (auto simp add: complex-vector.span-base g-f extg-exists)

from norm-extg extg-extf
show ⟨norm (cblinfun-extension S f) ≤ B⟩ if ⟨B ≥ 0⟩
  using that by simp
qed

```

**lemma** *cblinfun-extension-exists-proj*:

```

fixes f :: ⟨'a::complex-normed-vector ⇒ 'b::cbanach⟩
assumes ⟨csubspace S⟩
assumes ex-P: ⟨∃ P :: 'a ⇒CL 'a. is-Proj P ∧ range P = closure S⟩
assumes f-add: ⟨¬ x y. x ∈ S ⇒ y ∈ S ⇒ f(x + y) = f x + f y⟩
assumes f-scale: ⟨¬ c x y. x ∈ S ⇒ f(c *C x) = c *C f x⟩
assumes bounded: ⟨¬ x. x ∈ S ⇒ norm(f x) ≤ B * norm x⟩
shows ⟨cblinfun-extension-exists S f⟩

```

— We cannot give a statement about the norm. While there is an extension with norm  $B$ , there is no guarantee that  $cblinfun-extension S f$  returns that specific extension since the extension is only determined on  $ccspan S$ .

```

proof (cases ⟨B ≥ 0⟩)
  case True
  note True[simp]
  obtain P :: ⟨'a ⇒CL 'a⟩ where P-proj: ⟨is-Proj P⟩ and P-im: ⟨range P = closure S⟩
    using ex-P by blast
  define f' S' where ⟨f' ψ = f(P ψ)⟩ and ⟨S' = S + space-as-set(kernel P)⟩
  for ψ
  have PS': ⟨P *V x ∈ S'⟩ if ⟨x ∈ S'⟩ for x
  proof -
    obtain x1 x2 where x12: ⟨x = x1 + x2⟩ and x1: ⟨x1 ∈ S⟩ and x2: ⟨x2 ∈ space-as-set(kernel P)⟩
      using that S'-def ⟨x ∈ S'⟩ set-plus-elim by blast
    have ⟨P *V x = P *V x1⟩
      using x2 by (simp add: x12 cblinfun.add-right kernel-memberD)
    also have ⟨... = x1⟩
      by (metis (no-types, opaque-lifting) P-im P-proj cblinfun-apply-cblinfun-compose
closure-insert image-iff insertI1 insert-absorb is-Proj-idempotent x1)
  qed

```

```

also have  $\dots \in S$ 
  by (fact x1)
finally show ?thesis
  by -
qed
have  $SS': S \subseteq S'$ 
  by (metis S'-def ordered-field-class.sign-simps(2) set-zero-plus2 zero-space-as-set)

have  $\langle csubspace S' \rangle$ 
  by (simp add: S'-def assms(1) csubspace-set-plus)
moreover have  $\langle closure S' = UNIV \rangle$ 
proof auto
  fix  $\psi$ 
  have  $\langle \psi = P \psi + (id - P) \psi \rangle$ 
    by simp
  also have  $\langle \dots \in closure S + space-as-set (kernel P) \rangle$ 
    by (smt (verit) P-im P-proj calculation cblinfun.real.add-right eq-add-iff
is-Proj-idempotent kernel-memberI rangeI set-plus-intro simp-a-oCL-b')
  also have  $\langle \dots \subseteq closure (closure S + space-as-set (kernel P)) \rangle$ 
    using closure-subset by blast
  also have  $\langle \dots = closure (S + space-as-set (kernel P)) \rangle$ 
    using closed-sum-closure-left closed-sum-def by blast
  also have  $\langle \dots = closure S' \rangle$ 
    using S'-def by fastforce
  finally show  $\langle \psi \in closure S' \rangle$ 
    by -
qed

moreover have  $\langle f'(x + y) = f'x + f'y \rangle$  if  $\langle x \in S' \rangle$  and  $\langle y \in S' \rangle$  for  $x y$ 
  using that by (auto simp: f'-def cblinfun.add-right f-add PS')
moreover have  $\langle f'(c *_C x) = c *_C f'x \rangle$  if  $\langle x \in S' \rangle$  for  $c x$ 
  using that by (auto simp: f'-def cblinfun.scaleC-right f-scale PS')
moreover
have  $\langle norm (f'x) \leq (B * norm P) * norm x \rangle$  if  $\langle x \in S' \rangle$  for  $x$ 
proof -
  have  $\langle norm (f'x) \leq B * norm (P x) \rangle$ 
    by (auto simp: f'-def PS' bounded that)
  also have  $\langle \dots \leq B * norm P * norm x \rangle$ 
    by (simp add: mult.assoc mult-left-mono norm-cblinfun)
  finally show ?thesis
    by auto
qed

ultimately have F-ex:  $\langle cblinfun-extension-exists S' f' \rangle$ 
  by (rule cblinfun-extension-exists-bounded-dense)
define F where  $\langle F = cblinfun-extension S' f' \rangle$ 
have  $\langle F \psi = f \psi \rangle$  if  $\langle \psi \in S \rangle$  for  $\psi$ 
proof -
  from F-ex that SS' have  $\langle F \psi = f' \psi \rangle$ 

```

```

by (auto simp add: F-def cblinfun-extension-apply)
also have <... = f (P *V ψ)>
  by (simp add: f'-def)
also have <... = f ψ>
  using P-proj P-im
  apply (transfer fixing: ψ S f)
  by (metis closure-subset in-mono is-projection-on-fixes-image is-projection-on-image
that)
finally show ?thesis
  by -
qed
then show <cblinfun-extension-exists S f>
  using cblinfun-extension-exists-def by blast
next
case False
then have <S ⊆ {0}>
  using bounded by auto (meson norm-ge-zero norm-le-zero-iff order-trans zero-le-mult-iff)
then show <cblinfun-extension-exists S f>
  apply (rule-tac cblinfun-extension-existsI[where B=0])
  apply auto
  using bounded by fastforce
qed

lemma cblinfun-extension-exists-hilbert:
fixes f :: <'a::chilbert-space ⇒ 'b::cbanach>
assumes <csubspace S>
assumes f-add: <∀x y. x ∈ S ⇒ y ∈ S ⇒ f (x + y) = f x + f y>
assumes f-scale: <∀c x. x ∈ S ⇒ f (c *C x) = c *C f x>
assumes bounded: <∀x. x ∈ S ⇒ norm (f x) ≤ B * norm x>
shows <cblinfun-extension-exists S f>
— We cannot give a statement about the norm. While there is an extension
with norm B, there is no guarantee that cblinfun-extension S f returns that specific
extension since the extension is only determined on ccspan S.
proof –
have <∃ P. is-Proj P ∧ range ((*V) P) = closure S>
  apply (rule exI[of - <Proj (ccspan S)>])
  apply (rule conjI)
    by simp (metis Proj-is-Proj Proj-range Proj-range-closed assms(1) cblin-
fun-image.rep-eq ccspan.rep-eq closure-closed complex-vector.span-eq-iff space-as-set-top)

from assms(1) this assms(2–4)
show ?thesis
  by (rule cblinfun-extension-exists-proj)
qed

lemma cblinfun-extension-exists-restrict:
assumes <B ⊆ A>
assumes <∀x. x ∈ B ⇒ f x = g x>
assumes <cblinfun-extension-exists A f>

```

**shows**  $\langle \text{cblinfun-extension-exists } B g \rangle$   
**by** (metis assms cblinfun-extension-exists-def subset-eq)

### 13.22 Bijections between different ONBs

Some of the theorems here logically belong into *Complex-Bounded-Operators.Complex-Inner-Product* but the proof uses some concepts from the present theory.

**lemma** all-ortho-bases-same-card:

— Follows [1], Proposition 4.14

**fixes**  $E F :: \langle 'a::\text{chilbert-space set} \rangle$

**assumes**  $\langle \text{is-ortho-set } E \rangle \langle \text{is-ortho-set } F \rangle \langle \text{ccspan } E = \top \rangle \langle \text{ccspan } F = \top \rangle$

**shows**  $\langle \exists f. \text{bij-betw } f E F \rangle$

**proof** —

**have**  $\langle |F| \leq_o |E| \rangle$  **if**  $\langle \text{infinite } E \rangle$  **and**

$\langle \text{is-ortho-set } E \rangle \langle \text{is-ortho-set } F \rangle \langle \text{ccspan } E = \text{top} \rangle \langle \text{ccspan } F = \text{top} \rangle$  **for**  $E F :: \langle 'a \text{ set} \rangle$

**proof** —

**define**  $F'$  **where**  $\langle F' e = \{f \in F. \neg \text{is-orthogonal } f e\} \rangle$  **for**  $e$

**have**  $\langle \exists e \in E. \text{cinner } f e \neq 0 \rangle$  **if**  $\langle f \in F' \rangle$  **for**  $f$

**proof** (rule ccontr, simp)

**assume**  $\langle \forall e \in E. \text{is-orthogonal } f e \rangle$

**then have**  $\langle f \in \text{orthogonal-complement } E \rangle$

**by** (simp add: orthogonal-complementI)

**also have**  $\langle \dots = \text{orthogonal-complement } (\text{closure } (\text{cspan } E)) \rangle$

**using** orthogonal-complement-of-closure orthogonal-complement-of-cspan **by**

blast

**also have**  $\langle \dots = \text{space-as-set } (- \text{ccspan } E) \rangle$

**by** transfer simp

**also have**  $\langle \dots = \text{space-as-set } (- \text{top}) \rangle$

**by** (simp add: ccspan\_E\_top)

**also have**  $\langle \dots = \{0\} \rangle$

**by** (auto simp add: top-ccsubspace.rep\_eq uminus-ccsubspace.rep\_eq)

**finally have**  $\langle f = 0 \rangle$

**by** simp

**with**  $\langle f \in F \rangle \langle \text{is-ortho-set } F \rangle$  **show** False

**by** (simp add: is-onb-def is-ortho-set-def)

**qed**

**then have**  $F'\text{-union}: \langle F = (\bigcup_{e \in E} F' e) \rangle$

**unfolding**  $F'\text{-def}$  **by** auto

**have**  $\langle \text{countable } (F' e) \rangle$  **for**  $e$

**proof** —

**have**  $\langle (\sum_{f \in M.} (\text{cmod } (\text{cinner } (\text{sgn } f) e))^2) \leq (\text{norm } e)^2 \rangle$  **if**  $\langle \text{finite } M \rangle$  **and**

$M \subseteq F$  **for**  $M$

**proof** —

**have** [simp]:  $\langle \text{is-ortho-set } M \rangle$

**using**  $\langle \text{is-ortho-set } F \rangle \langle \text{is-ortho-set-antimono } \text{that}(2) \rangle$  **by** blast

**have** [simp]:  $\langle \text{norm } (\text{sgn } f) = 1 \rangle$  **if**  $\langle f \in M \rangle$  **for**  $f$

**by** (metis  $\langle \text{is-ortho-set } M \rangle \langle \text{is-ortho-set-def } \text{norm-sgn } \text{that} \rangle$ )

**have**  $\langle (\sum_{f \in M.} (\text{cmod } (\text{cinner } (\text{sgn } f) e))^2) = (\sum_{f \in M.} (\text{norm } ((\text{cinner } (\text{sgn } f) e))^2) \rangle$

```

(sgn f) e) *_C sgn f)) )^2 )>
  apply (rule sum.cong[OF refl])
  by simp
also have <... = (norm (sum f ∈ M. ((cinner (sgn f) e) *_C sgn f))) )^2 >
  apply (rule pythagorean-theorem-sum[symmetric])
  using that by auto (meson <is-ortho-set M> is-ortho-set-def)
also have <... = (norm (sum f ∈ M. proj f e)) )^2 >
  by (metis butterfly-apply butterfly-sgn-eq-proj)
also have <... = (norm (Proj (ccspan M) e)) )^2 >
  apply (rule arg-cong[where f=λx. (norm x)^2])
  using <finite M> <is-ortho-set M> apply induction
  by simp (smt (verit, ccfv-threshold) Proj-orthog-ccspan-insert insertCI
is-ortho-set-def plus-cblinfun.rep-eq sum.insert)
also have <... ≤ (norm (Proj (ccspan M)) * norm e) )^2 >
  by (auto simp: norm-cblinfun intro!: power-mono)
also have <... ≤ (norm e) )^2 >
  apply (rule power-mono)
  apply (metis norm-Proj-leq1 mult-left-le-one-le norm-ge-zero)
  by simp
finally show ?thesis
  by -
qed
then have <(λf. (cmod (cinner (sgn f) e))^2) abs-summable-on F>
  apply (intro nonneg-bdd-above-summable-on bdd-aboveI)
  by auto
then have <countable {f ∈ F. (cmod (sgn f ·_C e))^2 ≠ 0}>
  by (rule abs-summable-countable)
then have <countable {f ∈ F. ¬ is-orthogonal (sgn f) e}>
  by force
then have <countable {f ∈ F. ¬ is-orthogonal f e}>
  by force
then show ?thesis
  unfolding F'-def by simp
qed
then have F'-leq: <|F'| ≤o natLeq> for e
  using countable-leq-natLeq by auto

from F'-union have <|F| ≤o |Sigma E F'|> (is <- ≤o ...>)
  using card-of-UNION-Sigma by blast
also have <... ≤o |E × (UNIV::nat set)|> (is <- ≤o ...>)
  apply (rule card-of-Sigma-mono1)
  using F'-leq
  using card-of-nat ordIso-symmetric ordLeq-ordIso-trans by blast
also have <... =o |E| *c natLeq> (is <ordIso2 - ...>)
  by (metis Field-card-of Field-natLeq card-of-ordIso-subst cprod-def)
also have <... =o |E|>
  apply (rule card-prod-omega)
  using that by (simp add: cfinite-def)
finally show <|F| ≤o |E|>

```

```

by -
qed
then have infinite: ‹|E| =o |F|› if ‹infinite E› and ‹infinite F›
  by (simp add: assms ordIso-iff-ordLeq that(1) that(2))

have ‹|E| =o |F|› if ‹finite E› and ‹is-ortho-set E› ‹is-ortho-set F› ‹ccspan E
= top› ‹ccspan F = top›
  for E F :: ‹'a set›
proof -
  have ‹card E = card F›
    using that
  by (metis bij-betw-same-card ccspan.rep_eq closure-finite-cspan complex-vector.bij-if-span-eq-span-bases
complex-vector.independent-span-bound is-ortho-set-cindependent top-ccsubspace.rep_eq
top-greatest)
  with ‹finite E› have ‹finite F›
    by (metis ccspan.rep_eq closure-finite-cspan complex-vector.independent-span-bound
is-ortho-set-cindependent that(3) that(4) top-ccsubspace.rep_eq top-greatest)
  with ‹card E = card F› that show ?thesis
    apply (rule-tac finite-card-of-iff-card[THEN iffD2])
    by auto
qed

with infinite assms have ‹|E| =o |F|›
  by (meson ordIso-symmetric)

then show ?thesis
  by (simp add: card-of-ordIso)
qed

lemma all-onbs-same-card:
  fixes E F :: ‹'a::chilbert-space set›
  assumes ‹is-onb E› ‹is-onb F›
  shows ‹∃f. bij-betw f E F›
  apply (rule all-ortho-bases-same-card)
  using assms by (auto simp: is-onb-def)

definition bij-between-bases where ‹bij-between-bases E F = (SOME f. bij-betw
E F)› for E F :: ‹'a::chilbert-space set›

lemma bij-between-bases-bij:
  fixes E F :: ‹'a::chilbert-space set›
  assumes ‹is-onb E› ‹is-onb F›
  shows ‹bij-betw (bij-between-bases E F) E F›
  using all-onbs-same-card
  by (metis assms(1) assms(2) bij-between-bases-def someI)

definition unitary-between where ‹unitary-between E F = cblinfun-extension E
(bij-between-bases E F)›

```

```

lemma unitary-between-apply:
  fixes E F :: <'a::chilbert-space set>
  assumes <is-onb E> <is-onb F> <e ∈ E>
  shows <unitary-between E F *V e = bij-between-bases E F e>
proof -
  from <is-onb E> <is-onb F>
  have EF: <bij-between-bases E F e ∈ F> if <e ∈ E> for e
    by (meson bij-betwE bij-between-bases-bij that)
  have ortho: <is-orthogonal (bij-between-bases E F x) (bij-between-bases E F y)>
  if <x ≠ y> and <x ∈ E> and <y ∈ E> for x y
    by (metis (verit, del-insts) assms(1) assms(2) bij-betw-iff-bijections bij-between-bases-bij
      is-onb-def is-ortho-set-def that(1) that(2) that(3))
  have spanE: <closure (cspan E) = UNIV>
    by (metis assms(1) cspan.rep-eq is-onb-def top-ccsubspace.rep-eq)
  show ?thesis
    unfolding unitary-between-def
    apply (rule cblinfun-extension-apply)
      apply (rule cblinfun-extension-exists-ortho[where B=1])
    using assms EF ortho spanE
      by (auto simp: is-onb-def)
  qed

lemma unitary-between-unitary:
  fixes E F :: <'a::chilbert-space set>
  assumes <is-onb E> <is-onb F>
  shows <unitary (unitary-between E F)>
proof -
  have <(unitary-between E F *V b) ∙C (unitary-between E F *V c) = b ∙C c> if
    <b ∈ E> and <c ∈ E> for b c
  proof (cases <b = c>)
    case True
    from <is-onb E> that have 1: <b ∙C b = 1>
      using cnorm-eq-1 is-onb-def by blast
    from that have <unitary-between E F *V b ∈ F>
      by (metis assms(1) assms(2) bij-betw-apply bij-between-bases-bij unitary-between-apply)
    with <is-onb F> have 2: <(unitary-between E F *V b) ∙C (unitary-between E F
      *V b) = 1>
      by (simp add: cnorm-eq-1 is-onb-def)
    from 1 2 True show ?thesis
      by simp
  next
    case False
    from <is-onb E> that have 1: <b ∙C c = 0>
      by (simp add: False is-onb-def is-ortho-set-def)
    from that have inf: <unitary-between E F *V b ∈ F> <unitary-between E F *V
      c ∈ F>
      by (metis assms(1) assms(2) bij-betw-apply bij-between-bases-bij unitary-between-apply)+
    have neq: <unitary-between E F *V b ≠ unitary-between E F *V c>
```

```

by (metis (no-types, lifting) False assms(1) assms(2) bij-betw-iff-bijections
bij-between-bases-bij that(1) that(2) unitary-between-apply)
from inF neq ‹is-onb F› have 2: ‹(unitary-between E F *V b) •C (unitary-between
E F *V c) = 0›
by (simp add: is-onb-def is-ortho-set-def)
from 1 2 show ?thesis
by simp
qed
then have iso: ‹isometry (unitary-between E F)›
apply (rule_tac orthogonal-on-basis-is-isometry[where B=E])
using assms(1) is-onb-def by auto
have ‹unitary-between E F *S top = unitary-between E F *S cspan E›
by (metis assms(1) is-onb-def)
also have ‹... ≥ cspan (unitary-between E F ‘ E)› (is ‹- ≥ ...›)
by (simp add: cblinfun-image-cspan)
also have ‹... = cspan (bij-between-bases E F ‘ E)›
by (metis assms(1) assms(2) image-cong unitary-between-apply)
also have ‹... = cspan F›
by (metis assms(1) assms(2) bij-betw-imp-surj-on bij-between-bases-bij)
also have ‹... = top›
using assms(2) is-onb-def by blast
finally have surj: ‹unitary-between E F *S top = top›
by (simp add: top.extremum-unique)
from iso surj show ?thesis
by (rule surj-isometry-is-unitary)
qed

```

### 13.23 Notation

```

bundle cblinfun-syntax begin
notation cblinfun-compose (infixl ‹oCL› 67)
notation cblinfun-apply (infixr ‹*_V› 70)
notation cblinfun-image (infixr ‹*_S› 70)
notation adj (‐*› [99] 100)
type-notation cblinfun ((‐ ⇒CL /‐)› [22, 21] 21)
end

unbundle no cblinfun-syntax and no lattice-syntax

```

end

## 14 Complex-L2 – Hilbert space of square-summable functions

```

theory Complex-L2
imports
Complex-Bounded-Linear-Function

```

```

HOL-Analysis.L2-Norm
HOL-Library.Rewrite
HOL-Analysis.Infinite-Sum
HOL-Library.Infinite-Typeclass
begin

unbundle lattice-syntax and cblinfun-syntax and no blinfun-apply-syntax

14.1 l2 norm of functions

definition <has-ell2-norm (x::>complex) <→ (λi. (x i)2) abs-summable-on UNIV>

lemma has-ell2-norm-bdd-above: <has-ell2-norm x <→ bdd-above (sum (λxa. norm ((x xa)2)) ‘ Collect finite)>
  by (simp add: has-ell2-norm-def abs-summable-iff-bdd-above)

lemma has-ell2-norm-L2-set: has-ell2-norm x = bdd-above (L2-set (norm o x) ‘ Collect finite)
proof (rule iffI)
  have <mono sqrt>
    using monoI real-sqrt-le-mono by blast
  assume <has-ell2-norm x>
  then have *: <bdd-above (sum (λxa. norm ((x xa)2)) ‘ Collect finite)>
    by (subst (asm) has-ell2-norm-bdd-above)
  have <bdd-above ((λF. sqrt (sum (λxa. norm ((x xa)2)) F)) ‘ Collect finite)>
    using bdd-above-image-mono[OF <mono sqrt> *]
    by (auto simp: image-image)
  then show <bdd-above (L2-set (norm o x) ‘ Collect finite)>
    by (auto simp: L2-set-def norm-power)
next
define p2 where <p2 x = (if x < 0 then 0 else x2)> for x :: real
have <mono p2>
  by (simp add: monoI p2-def)
have [simp]: <p2 (L2-set f F) = (sum i∈F. (f i)2)> for f and F :: 'a set
  by (smt (verit) L2-set-def L2-set-nonneg p2-def power2-less-0 real-sqrt-pow2
    sum.cong sum-nonneg)
assume *: <bdd-above (L2-set (norm o x) ‘ Collect finite)>
have <bdd-above (p2 ‘ L2-set (norm o x) ‘ Collect finite)>
  using bdd-above-image-mono[OF <mono p2> *]
  by auto
then show <has-ell2-norm x>
  apply (simp add: image-image has-ell2-norm-def abs-summable-iff-bdd-above)
  by (simp add: norm-power)
qed

definition ell2-norm :: <('a ⇒ complex) ⇒ real> where <ell2-norm f = sqrt (sum ∞x. norm (f x)2)>

lemma ell2-norm-SUP:

```

```

assumes <has-ell2-norm x>
shows ell2-norm x = sqrt (SUP F∈{F. finite F}. sum (λi. norm (x i) ^2) F)
using assms apply (auto simp add: ell2-norm-def has-ell2-norm-def)
apply (subst infsum-nonneg-is-SUPREMUM-real)
by (auto simp: norm-power)

lemma ell2-norm-L2-set:
assumes has-ell2-norm x
shows ell2-norm x = (SUP F∈{F. finite F}. L2-set (norm o x) F)
proof-
have sqrt (LJ (sum (λi. (cmod (x i))^2) ` Collect finite)) =
(SUP F∈{F. finite F}. sqrt (∑ i∈F. (cmod (x i))^2))
proof (subst continuous-at-Sup-mono)
show mono sqrt
by (simp add: mono-def)
show continuous (at-left (LJ (sum (λi. (cmod (x i))^2) ` Collect finite))) sqrt
using continuous-at-split isCont-real-sqrt by blast
show sum (λi. (cmod (x i))^2) ` Collect finite ≠ {}
by auto
show bdd-above (sum (λi. (cmod (x i))^2) ` Collect finite)
using has-ell2-norm-bdd-above[THEN iffD1, OF assms] by (auto simp:
norm-power)
show LJ (sqrt ` sum (λi. (cmod (x i))^2) ` Collect finite) = (SUP F∈Collect
finite. sqrt (∑ i∈F. (cmod (x i))^2))
by (metis image-image)
qed
thus ?thesis
using assms by (auto simp: ell2-norm-SUP L2-set-def)
qed

lemma has-ell2-norm-finite[simp]: has-ell2-norm (f::'a::finite⇒-)
unfolding has-ell2-norm-def by simp

lemma ell2-norm-finite:
ell2-norm (f::'a::finite⇒complex) = sqrt (∑ x∈UNIV. (norm (f x)) ^2)
by (simp add: ell2-norm-def)

lemma ell2-norm-finite-L2-set: ell2-norm (x::'a::finite⇒complex) = L2-set (norm
o x) UNIV
by (simp add: ell2-norm-finite L2-set-def)

lemma ell2-norm-square: <(ell2-norm x)^2 = (∑ ∞i. (cmod (x i))^2)>
unfolding ell2-norm-def
apply (subst real-sqrt-pow2)
by (simp-all add: infsum-nonneg)

lemma ell2-ket:
fixes a
defines f ≡ (λi. of-bool (a = i))

```

```

shows has-ell2-norm-ket: <has-ell2-norm f>
  and ell2-norm-ket: <ell2-norm f = 1>
proof -
  have <( $\lambda x. (f x)^2$ ) abs-summable-on {a}>
    apply (rule summable-on-finite) by simp
  then show <has-ell2-norm f>
    unfolding has-ell2-norm-def
    apply (rule summable-on-cong-neutral[THEN iffD1, rotated -1])
    unfolding f-def by auto

  have <( $\sum_{x \in \{a\}} (f x)^2 = 1$ )>
    apply (subst infsum-finite)
    by (auto simp: f-def)
  then show <ell2-norm f = 1>
    unfolding ell2-norm-def
    apply (subst infsum-cong-neutral[where T=⟨{a}⟩ and g=⟨ $\lambda x. (cmod (f x))^2$ ⟩])
    by (auto simp: f-def)
qed

lemma ell2-norm-geq0: <ell2-norm x ≥ 0>
  by (auto simp: ell2-norm-def intro!: infsum-nonneg)

lemma ell2-norm-point-bound:
  assumes <has-ell2-norm x>
  shows <ell2-norm x ≥ cmod (x i)>
proof -
  have <(cmod (x i))^2 = norm ((x i)^2)>
    by (simp add: norm-power)
  also have <norm ((x i)^2) = sum (λi. (norm ((x i)^2))) {i}>
    by auto
  also have <... = infsum (λi. (norm ((x i)^2))) {i}>
    by (rule infsum-finite[symmetric], simp)
  also have <... ≤ infsum (λi. (norm ((x i)^2))) UNIV>
    apply (rule infsum-mono-neutral)
    using assms by (auto simp: has-ell2-norm-def)
  also have <... = (ell2-norm x)^2>
    by (metis (no-types, lifting) ell2-norm-def ell2-norm-geq0 infsum-cong norm-power
      real-sqrt-eq-iff real-sqrt-unique)
  finally show ?thesis
    using ell2-norm-geq0 power2-le-imp-le by blast
qed

lemma ell2-norm-0:
  assumes has-ell2-norm x
  shows ell2-norm x = 0 ↔ x = (λ-. 0)
proof
  assume u1: x = (λ-. 0)
  have u2: (SUP x::'a set∈Collect finite. (0::real)) = 0
    if x = (λ-. 0)

```

```

by (metis cSUP-const empty-Collect-eq finite.emptyI)
show ell2-norm x = 0
  unfolding ell2-norm-def
  using u1 u2 by auto
next
  assume norm0: ell2-norm x = 0
  show x = (λ_. 0)
  proof
    fix i
    have ⟨cmod (x i) ≤ ell2-norm x⟩
      using assms by (rule ell2-norm-point-bound)
    also have ⟨... = 0⟩
      by (fact norm0)
    finally show x i = 0 by auto
  qed
qed

lemma ell2-norm-smult:
  assumes has-ell2-norm x
  shows has-ell2-norm (λi. c * x i) and ell2-norm (λi. c * x i) = cmod c *
  ell2-norm x
  proof –
    have L2-set-mul: L2-set (cmod o (λi. c * x i)) F = cmod c * L2-set (cmod o x)
    F for F
    proof –
      have L2-set (cmod o (λi. c * x i)) F = L2-set (λi. (cmod c * (cmod o x) i)) F
        by (metis comp-def norm-mult)
      also have ... = cmod c * L2-set (cmod o x) F
        by (metis norm-ge-zero L2-set-right-distrib)
      finally show ?thesis .
    qed
  from assms obtain M where M: M ≥ L2-set (cmod o x) F if finite F for F
    unfolding has-ell2-norm-L2-set bdd-above-def by auto
  hence cmod c * M ≥ L2-set (cmod o (λi. c * x i)) F if finite F for F
    unfolding L2-set-mul
    by (simp add: ordered-comm-semiring-class.comm-mult-left-mono that)
  thus has: has-ell2-norm (λi. c * x i)
    unfolding has-ell2-norm-L2-set bdd-above-def using L2-set-mul[symmetric] by
    auto
    have ell2-norm (λi. c * x i) = (SUP F ∈ Collect finite. (L2-set (cmod o (λi. c
    * x i)) F))
      by (simp add: ell2-norm-L2-set has)
    also have ... = (SUP F ∈ Collect finite. (cmod c * L2-set (cmod o x) F))
      using L2-set-mul by auto
    also have ... = cmod c * ell2-norm x
    proof (subst ell2-norm-L2-set)
      show has-ell2-norm x
    qed
  qed

```

```

    by (simp add: assms)
  show (SUP F∈Collect finite. cmod c * L2-set (cmod ∘ x) F) = cmod c * ⋃
    (L2-set (cmod ∘ x) ‘ Collect finite)
  proof (subst continuous-at-Sup-mono [where f = λx. cmod c * x])
    show mono ((*) (cmod c))
    by (simp add: mono-def ordered-comm-semiring-class.comm-mult-left-mono)
    show continuous (at-left (⋃ (L2-set (cmod ∘ x) ‘ Collect finite))) ((*) (cmod
      c))
    proof (rule continuous-mult)
      show continuous (at-left (⋃ (L2-set (cmod ∘ x) ‘ Collect finite))) (λx. cmod
        c)
        by simp
      show continuous (at-left (⋃ (L2-set (cmod ∘ x) ‘ Collect finite))) (λx. x)
        by simp
    qed
    show L2-set (cmod ∘ x) ‘ Collect finite ≠ {}
    by auto
    show bdd-above (L2-set (cmod ∘ x) ‘ Collect finite)
    by (meson assms has-ell2-norm-L2-set)
    show (SUP F∈Collect finite. cmod c * L2-set (cmod ∘ x) F) = ⋃ ((*) (cmod
      c) ‘ L2-set (cmod ∘ x) ‘ Collect finite)
    by (metis image-image)
  qed
  qed
  finally show ell2-norm (λi. c * x i) = cmod c * ell2-norm x.
qed

```

```

lemma ell2-norm-triangle:
  assumes has-ell2-norm x and has-ell2-norm y
  shows has-ell2-norm (λi. x i + y i) and ell2-norm (λi. x i + y i) ≤ ell2-norm
    x + ell2-norm y
  proof -
    have triangle: L2-set (cmod ∘ (λi. x i + y i)) F ≤ L2-set (cmod ∘ x) F + L2-set
      (cmod ∘ y) F
    (is ?lhs≤?rhs)
    if finite F for F
  proof -
    have ?lhs ≤ L2-set (λi. (cmod o x) i + (cmod o y) i) F
    proof (rule L2-set-mono)
      show (cmod ∘ (λi. x i + y i)) i ≤ (cmod ∘ x) i + (cmod ∘ y) i
        if i ∈ F
        for i :: 'a
        using that norm-triangle-ineq by auto
      show 0 ≤ (cmod ∘ (λi. x i + y i)) i
        if i ∈ F
        for i :: 'a
        using that
        by simp
    qed
  qed

```

```

qed
also have ... ≤ ?rhs
  by (rule L2-set-triangle-ineq)
  finally show ?thesis .
qed
obtain Mx My where Mx: Mx ≥ L2-set (cmod o x) F and My: My ≥ L2-set
(cmod o y) F
  if finite F for F
  using assms unfolding has-ell2-norm-L2-set bdd-above-def by auto
  hence MxMy: Mx + My ≥ L2-set (cmod o x) F + L2-set (cmod o y) F if finite
F for F
  using that by fastforce
  hence bdd-plus: bdd-above ((λxa. L2-set (cmod o x) xa + L2-set (cmod o y) xa)
‘ Collect finite)
  unfolding bdd-above-def by auto
  from MxMy have MxMy': Mx + My ≥ L2-set (cmod o (λi. x i + y i)) F if
finite F for F
  using triangle that by fastforce
  thus has: has-ell2-norm (λi. x i + y i)
  unfolding has-ell2-norm-L2-set bdd-above-def by auto
  have SUP-plus: (SUP x∈A. f x + g x) ≤ (SUP x∈A. f x) + (SUP x∈A. g x)
  if notempty: A ≠ {} and bddf: bdd-above (f‘A) and bddg: bdd-above (g‘A)
  for f g :: 'a set ⇒ real and A
proof –
  have xleq: x ≤ (SUP x∈A. f x) + (SUP x∈A. g x) if x: x ∈ (λx. f x + g x) ‘
A for x
  proof –
    obtain a where aA: a:A and ax: x = f a + g a
    using x by blast
    have fa: f a ≤ (SUP x∈A. f x)
      by (simp add: bddf aA cSUP-upper)
    moreover have ga ≤ (SUP x∈A. g x)
      by (simp add: bddg aA cSUP-upper)
    ultimately have fa + ga ≤ (SUP x∈A. f x) + (SUP x∈A. g x) by simp
    with ax show ?thesis by simp
  qed
  have (λx. f x + g x) ‘ A ≠ {}
  using notempty by auto
  moreover have x ≤ ⋃ (f ‘ A) + ⋃ (g ‘ A)
  if x ∈ (λx. f x + g x) ‘ A
  for x :: real
  using that
  by (simp add: xleq)
  ultimately show ?thesis
  by (meson bdd-above-def cSup-le-iff)
qed
have a2: bdd-above (L2-set (cmod o x) ‘ Collect finite)
  by (meson assms(1) has-ell2-norm-L2-set)
have a3: bdd-above (L2-set (cmod o y) ‘ Collect finite)

```

```

    by (meson assms(2) has-ell2-norm-L2-set)
have a1: Collect finite ≠ {}
  by auto
have a4: ⋃ (L2-set (cmod o (λi. x i + y i)) ` Collect finite)
≤ (SUP xa∈Collect finite.
  L2-set (cmod o x) xa + L2-set (cmod o y) xa)
  by (metis (mono-tags, lifting) a1 bdd-plus cSUP-mono mem-Collect-eq triangle)

have ∀ r. ⋃ (L2-set (cmod o (λa. x a + y a)) ` Collect finite) ≤ r ∨ ¬ (SUP
A∈Collect finite. L2-set (cmod o x) A + L2-set (cmod o y) A) ≤ r
  using a4 by linarith
hence ⋃ (L2-set (cmod o (λi. x i + y i)) ` Collect finite)
≤ ⋃ (L2-set (cmod o x) ` Collect finite) +
  ⋃ (L2-set (cmod o y) ` Collect finite)
  by (metis (no-types) SUP-plus a1 a2 a3)
hence ⋃ (L2-set (cmod o (λi. x i + y i)) ` Collect finite) ≤ ell2-norm x +
ell2-norm y
  by (simp add: assms(1) assms(2) ell2-norm-L2-set)
thus ell2-norm (λi. x i + y i) ≤ ell2-norm x + ell2-norm y
  by (simp add: ell2-norm-L2-set has)
qed

```

**lemma** ell2-norm-uminus:  
**assumes** has-ell2-norm x  
**shows** ⟨has-ell2-norm (λi. - x i)⟩ **and** ⟨ell2-norm (λi. - x i) = ell2-norm x⟩  
**using** assms **by** (auto simp: has-ell2-norm-def ell2-norm-def)

## 14.2 The type *ell2* of square-summable functions

```

typedef 'a ell2 = '{f::'a⇒complex. has-ell2-norm f}
  unfolding has-ell2-norm-def by (rule exI[of - λ_.0], auto)
setup-lifting type-definition-ell2

instantiation ell2 :: (type)complex-vector begin
lift-definition zero-ell2 :: 'a ell2 is λ_. 0 by (auto simp: has-ell2-norm-def)
lift-definition uminus-ell2 :: 'a ell2 ⇒ 'a ell2 is uminus by (simp add: has-ell2-norm-def)
lift-definition plus-ell2 :: 'a ell2 ⇒ 'a ell2 ⇒ 'a ell2 is ⟨λf g x. f x + g x⟩
  by (rule ell2-norm-triangle)
lift-definition minus-ell2 :: 'a ell2 ⇒ 'a ell2 ⇒ 'a ell2 is λf g x. f x - g x
  apply (subst add-uminus-conv-diff[symmetric])
  apply (rule ell2-norm-triangle)
  by (auto simp add: ell2-norm-uminus)
lift-definition scaleR-ell2 :: real ⇒ 'a ell2 ⇒ 'a ell2 is λr f x. complex-of-real r
  * f x
  by (rule ell2-norm-smult)
lift-definition scaleC-ell2 :: (complex ⇒ 'a ell2 ⇒ 'a ell2) is ⟨λc f x. c * f x⟩
  by (rule ell2-norm-smult)

```

**instance**

```

proof
  fix a b c :: 'a ell2

  show ((*_R) r::'a ell2  $\Rightarrow$  -) = (*_C) (complex-of-real r) for r
    apply (rule ext) apply transfer by auto
  show a + b + c = a + (b + c)
    by (transfer; rule ext; simp)
  show a + b = b + a
    by (transfer; rule ext; simp)
  show 0 + a = a
    by (transfer; rule ext; simp)
  show - a + a = 0
    by (transfer; rule ext; simp)
  show a - b = a + - b
    by (transfer; rule ext; simp)
  show r *_C (a + b) = r *_C a + r *_C b for r
    apply (transfer; rule ext)
    by (simp add: vector-space-over-itself.scale-right-distrib)
  show (r + r') *_C a = r *_C a + r' *_C a for r r'
    apply (transfer; rule ext)
    by (simp add: ring-class.ring-distrib(2))
  show r *_C r' *_C a = (r * r') *_C a for r r'
    by (transfer; rule ext; simp)
  show 1 *_C a = a
    by (transfer; rule ext; simp)
qed
end

instantiation ell2 :: (type) complex-normed-vector begin
lift-definition norm-ell2 :: 'a ell2  $\Rightarrow$  real is ell2-norm .
declare norm-ell2-def[code del]
definition dist x y = norm (x - y) for x y::'a ell2
definition sgn x = x /_R norm x for x::'a ell2
definition [code del]: uniformity = (INF e $\in\{0<..\}$ . principal {(x::'a ell2, y). norm (x - y) < e})
definition [code del]: open U = ( $\forall$  x $\in$ U.  $\forall$  F (x', y) in INF e $\in\{0<..\}$ . principal {(x, y). norm (x - y) < e}. x' = x  $\longrightarrow$  y  $\in$  U) for U :: 'a ell2 set
instance
proof
  fix a b :: 'a ell2
  show dist a b = norm (a - b)
    by (simp add: dist-ell2-def)
  show sgn a = a /_R norm a
    by (simp add: sgn-ell2-def)
  show uniformity = (INF e $\in\{0<..\}$ . principal {(x, y). dist (x::'a ell2) y < e})
    unfolding dist-ell2-def uniformity-ell2-def by simp
  show open U = ( $\forall$  x $\in$ U.  $\forall$  F (x', y) in uniformity. (x'::'a ell2) = x  $\longrightarrow$  y  $\in$  U)
for U :: 'a ell2 set
  unfolding uniformity-ell2-def open-ell2-def by simp-all

```

```

show (norm a = 0) = (a = 0)
  apply transfer by (fact ell2-norm-0)
show norm (a + b) ≤ norm a + norm b
  apply transfer by (fact ell2-norm-triangle)
show norm (r *R (a::'a ell2)) = |r| * norm a for r
  and a :: 'a ell2
  apply transfer
  by (simp add: ell2-norm-smult(2))
show norm (r *C a) = cmod r * norm a for r
  apply transfer
  by (simp add: ell2-norm-smult(2))
qed
end

lemma norm-point-bound-ell2: norm (Rep-ell2 x i) ≤ norm x
  apply transfer
  by (simp add: ell2-norm-point-bound)

lemma ell2-norm-finite-support:
  assumes <finite S> <∀ i. i ∉ S ⇒ Rep-ell2 x i = 0>
  shows <norm x = sqrt ((sum (λi. (cmod (Rep-ell2 x i))2)) S)>
proof (insert assms(2), transfer fixing: S)
  fix x :: 'a ⇒ complex
  assume zero: <∀ i. i ∉ S ⇒ x i = 0>
  have <ell2-norm x = sqrt (∑∞ i. (cmod (x i))2)>
    by (auto simp: ell2-norm-def)
  also have <... = sqrt (∑∞ i ∈ S. (cmod (x i))2)>
    apply (subst infsum-cong-neutral[where g=λi. (cmod (x i))2 and S=UNIV
and T=S])
    using zero by auto
  also have <... = sqrt (∑ i ∈ S. (cmod (x i))2)>
    using <finite S> by simp
  finally show <ell2-norm x = sqrt (∑ i ∈ S. (cmod (x i))2)>
    by –
qed

instantiation ell2 :: (type) complex-inner begin
lift-definition cinner-ell2 :: '&a ell2 ⇒ &a ell2 ⇒ complex' is
  λf g. ∑∞ x. cnj (f x) * g x .
declare cinner-ell2-def[code del]

instance
proof standard
  fix x y z :: 'a ell2 fix c :: complex
  show cinner x y = cnj (cinner y x)
  proof transfer
    fix x y :: 'a ⇒ complex assume has-ell2-norm x and has-ell2-norm y
    have (∑∞ i. cnj (x i) * y i) = (∑∞ i. cnj (cnj (y i) * x i))
      by (metis complex-cnj-cnj complex-cnj-mult mult.commute)
  qed
qed

```

```

also have ... = cnj (∑∞i. cnj (y i) * x i)
  by (metis infsum-cnj)
finally show (∑∞i. cnj (x i) * y i) = cnj (∑∞i. cnj (y i) * x i) .
qed

show cinner (x + y) z = cinner x z + cinner y z
proof transfer
  fix x y z :: 'a ⇒ complex
  assume has-ell2-norm x
  hence cnj-x: (λi. cnj (x i) * cnj (x i)) abs-summable-on UNIV
    by (simp del: complex-cnj-mult add: norm-mult[symmetric] complex-cnj-mult[symmetric]
has-ell2-norm-def power2-eq-square)
  assume has-ell2-norm y
  hence cnj-y: (λi. cnj (y i) * cnj (y i)) abs-summable-on UNIV
    by (simp del: complex-cnj-mult add: norm-mult[symmetric] complex-cnj-mult[symmetric]
has-ell2-norm-def power2-eq-square)
  assume has-ell2-norm z
  hence z: (λi. z i * z i) abs-summable-on UNIV
    by (simp add: norm-mult[symmetric] has-ell2-norm-def power2-eq-square)
  have cnj-x-z:(λi. cnj (x i) * z i) abs-summable-on UNIV
    using cnj-x z by (rule abs-summable-product)
  have cnj-y-z:(λi. cnj (y i) * z i) abs-summable-on UNIV
    using cnj-y z by (rule abs-summable-product)
  show (∑∞i. cnj (x i + y i) * z i) = (∑∞i. cnj (x i) * z i) + (∑∞i. cnj (y
i) * z i)
    apply (subst infsum-add [symmetric])
    using cnj-x-z cnj-y-z
      by (auto simp add: summable-on-iff-abs-summable-on-complex distrib-left
mult.commute)
  qed

show cinner (c *C x) y = cnj c * cinner x y
proof transfer
  fix x y :: 'a ⇒ complex and c :: complex
  assume has-ell2-norm x
  hence cnj-x: (λi. cnj (x i) * cnj (x i)) abs-summable-on UNIV
    by (simp del: complex-cnj-mult add: norm-mult[symmetric] complex-cnj-mult[symmetric]
has-ell2-norm-def power2-eq-square)
  assume has-ell2-norm y
  hence y: (λi. y i * y i) abs-summable-on UNIV
    by (simp add: norm-mult[symmetric] has-ell2-norm-def power2-eq-square)
  have cnj-x-y:(λi. cnj (x i) * y i) abs-summable-on UNIV
    using cnj-x y by (rule abs-summable-product)
  thus (∑∞i. cnj (c * x i) * y i) = cnj c * (∑∞i. cnj (x i) * y i)
    by (auto simp flip: infsum-cmult-right simp add: abs-summable-summable
mult.commute vector-space-over-itself.scale-left-commute)
  qed

show 0 ≤ cinner x x

```

```

proof transfer
fix x :: 'a ⇒ complex
assume has-ell2-norm x
hence (λi. cmod (cnj (x i) * x i)) abs-summable-on UNIV
  by (simp add: norm-mult has-ell2-norm-def power2-eq-square)
hence (λi. cnj (x i) * x i) abs-summable-on UNIV
  by auto
hence sum: (λi. cnj (x i) * x i) abs-summable-on UNIV
  unfolding has-ell2-norm-def power2-eq-square.
have 0 = (∑ ∞ i::'a. 0) by auto
also have ... ≤ (∑ ∞ i. cnj (x i) * x i)
  apply (rule infsum-mono-complex)
  by (auto simp add: abs-summable-summable sum)
finally show 0 ≤ (∑ ∞ i. cnj (x i) * x i) by assumption
qed

show (cinner x x = 0) = (x = 0)
proof (transfer, auto)
fix x :: 'a ⇒ complex
assume has-ell2-norm x
hence (λi::'a. cmod (cnj (x i) * x i)) abs-summable-on UNIV
  by (smt (verit, del-insts) complex-mod-mult-cnj has-ell2-norm-def mult.commute
norm-ge-zero norm-power real-norm-def summable-on-cong)
hence cmod-x2: (λi. cnj (x i) * x i) abs-summable-on UNIV
  unfolding has-ell2-norm-def power2-eq-square
  by simp
assume eq0: (∑ ∞ i. cnj (x i) * x i) = 0
show x = (λ-. 0)
proof (rule ccontr)
  assume x ≠ (λ-. 0)
  then obtain i where x i ≠ 0 by auto
  hence 0 < cnj (x i) * x i
    by (metis le-less cnj-x-x-geq0 complex-cnj-zero-iff vector-space-over-itself.scale-eq-0-iff)
  also have ... = (∑ ∞ i∈{i}. cnj (x i) * x i) by auto
  also have ... ≤ (∑ ∞ i. cnj (x i) * x i)
    apply (rule infsum-mono-neutral-complex)
    by (auto simp add: abs-summable-summable cmod-x2)
  also from eq0 have ... = 0 by assumption
  finally show False by simp
qed
qed

show norm x = sqrt (cmod (cinner x x))
proof transfer
fix x :: 'a ⇒ complex
assume x: has-ell2-norm x
have (λi::'a. cmod (x i) * cmod (x i)) abs-summable-on UNIV ==>
(λi::'a. cmod (cnj (x i) * x i)) abs-summable-on UNIV
  by (simp add: norm-mult has-ell2-norm-def power2-eq-square)

```

```

hence sum:  $(\lambda i. \text{cnj}(x i) * x i)$  abs-summable-on UNIV
  by (metis (no-types, lifting) complex-mod-mult-cnj has-ell2-norm-def mult.commute
    norm-power summable-on-cong x)
  from x have ell2-norm x =  $\sqrt{\sum_{\infty} i. (\text{cmod}(x i))^2}$ 
    unfolding ell2-norm-def by simp
  also have ... =  $\sqrt{\sum_{\infty} i. \text{cmod}(\text{cnj}(x i) * x i)}$ 
    unfolding norm-complex-def power2-eq-square by auto
  also have ... =  $\sqrt{\text{cmod}(\sum_{\infty} i. \text{cnj}(x i) * x i)}$ 
    by (auto simp: infsum-cmod abs-summable-summable sum)
  finally show ell2-norm x =  $\sqrt{\text{cmod}(\sum_{\infty} i. \text{cnj}(x i) * x i)}$  by assumption
  qed
qed
end

instance ell2 :: (type) chilbert-space
proof intro-classes
  fix X :: 'nat  $\Rightarrow$  'a ell2
  define x where <x n a = Rep-ell2 (X n) a> for n a
  have [simp]: <has-ell2-norm (x n)> for n
    using Rep-ell2 x-def[abs-def] by simp

  assume <Cauchy X>
  moreover have dist (x n a) (x m a)  $\leq$  dist (X n) (X m) for n m a
    by (metis Rep-ell2 x-def dist-norm ell2-norm-point-bound mem-Collect-eq minus-ell2.rep-eq norm-ell2.rep-eq)
  ultimately have <Cauchy ( $\lambda n. x n a$ )> for a
    by (meson Cauchy-def le-less-trans)
  then obtain l where x-lim: <( $\lambda n. x n a$ )  $\longrightarrow$  l a> for a
    apply atomize-elim apply (rule choice)
    by (simp add: convergent-eq-Cauchy)
  define L where <L = Abs-ell2 l>
  define normF where <normF F x = L2-set (cmod o x) F> for F :: 'a set and
  x
    have normF-triangle: <normF F ( $\lambda a. x a + y a$ )  $\leq$  normF F x + normF F y> if
      <finite F> for F x y
    proof -
      have <normF F ( $\lambda a. x a + y a$ ) = L2-set ( $\lambda a. \text{cmod}(x a + y a)$ ) F>
        by (metis (mono-tags, lifting) L2-set-cong comp-apply normF-def)
      also have <...  $\leq$  L2-set ( $\lambda a. \text{cmod}(x a) + \text{cmod}(y a)$ ) F>
        by (meson L2-set-mono norm-ge-zero norm-triangle-ineq)
      also have <...  $\leq$  L2-set ( $\lambda a. \text{cmod}(x a)$ ) F + L2-set ( $\lambda a. \text{cmod}(y a)$ ) F>
        by (simp add: L2-set-triangle-ineq)
      also have <...  $\leq$  normF F x + normF F y>
        by (smt (verit, best) L2-set-cong normF-def comp-apply)
      finally show ?thesis
        by -
    qed
    have normF-negate: <normF F ( $\lambda a. - x a$ ) = normF F x> if <finite F> for F x
      unfolding normF-def o-def by simp

```

```

have normF-ell2norm: <normF F x ≤ ell2-norm x> if <finite F> and <has-ell2-norm
x> for F x
  apply (auto intro!: cSUP-upper2[where x=F] simp: that normF-def ell2-norm-L2-set)
    by (meson has-ell2-norm-L2-set that(2))

note Lim-bounded2[rotated, rule-format, trans]

from <Cauchy X>
obtain I where cauchyX: <norm (X n - X m) ≤ ε> if <ε>0> <n≥I ε> <m≥I ε>
for ε n m
  by (metis Cauchy-def dist-norm less-eq-real-def)
have normF-xx: <normF F (λa. x n a - x m a) ≤ ε> if <finite F> <ε>0> <n≥I
ε> <m≥I ε> for ε n m F
  apply (subst asm-rl[of <(λa. x n a - x m a) = Rep-ell2 (X n - X m)>])
    apply (simp add: x-def minus-ell2.rep-eq)
  using that cauchyX by (metis Rep-ell2 mem-Collect-eq normF-ell2norm norm-ell2.rep-eq
order-trans)
have normF-xl-lim: <(λm. normF F (λa. x m a - l a)) —→ 0> if <finite F>
for F
  proof –
    have <(λxa. cmod (x xa m - l m)) —→ 0> for m
      using x-lim by (simp add: LIM-zero-iff tendsto-norm-zero)
    then have <(λm. ∑ i∈F. ((cmod o (λa. x m a - l a)) i)²) —→ 0>
      by (auto intro: tendsto-null-sum)
    then show ?thesis
      unfolding normF-def L2-set-def
        using tendsto-real-sqrt by force
  qed
have normF-xl: <normF F (λa. x n a - l a) ≤ ε>
  if <n ≥ I ε> and <ε > 0> and <finite F> for n ε F
  proof –
    have <normF F (λa. x n a - l a) - ε ≤ normF F (λa. x n a - x m a) +
      normF F (λa. x m a - l a) - ε> for m
      using normF-triangle[OF <finite F>, where x=<(λa. x n a - x m a)> and
      y=<(λa. x m a - l a)>]
        by auto
    also have <... m ≤ normF F (λa. x m a - l a)> if <m ≥ I ε> for m
      using normF-xx[OF <finite F> <ε>0> <n ≥ I ε> <m ≥ I ε>]
        by auto
    also have <(λm. ... m) —→ 0>
      using <finite F> by (rule normF-xl-lim)
    finally show ?thesis
      by auto
  qed
have <normF F l ≤ 1 + normF F (x (I 1))> if [simp]: <finite F> for F
  using normF-xl[where F=F and ε=1 and n=I 1]
  using normF-triangle[where F=F and x=<x (I 1)> and y=<λa. l a - x (I 1)
a>]
  using normF-negate[where F=F and x=<(λa. x (I 1) a - l a)>]

```

```

by auto
also have ...  $F \leq 1 + \text{ell2-norm}(x(I 1))$  if finite  $F$  for  $F$ 
  using normF-ell2norm that by simp
finally have [simp]:  $\langle \text{has-ell2-norm } l \rangle$ 
  unfolding has-ell2-norm-L2-set
  by (auto intro!: bdd-aboveI simp flip: normF-def)
then have  $\langle l = \text{Rep-ell2 } L \rangle$ 
  by (simp add: Abs-ell2-inverse L-def)
have [simp]:  $\langle \text{has-ell2-norm } (\lambda a. x n a - l a) \rangle$  for  $n$ 
  apply (subst diff-conv-add-uminus)
  apply (rule ell2-norm-triangle)
  by (auto intro!: ell2-norm-uminus)
from normF-xl have ell2norm-xl:  $\langle \text{ell2-norm } (\lambda a. x n a - l a) \leq \varepsilon \rangle$ 
  if  $\langle n \geq I \varepsilon \rangle$  and  $\langle \varepsilon > 0 \rangle$  for  $n \varepsilon$ 
  apply (subst ell2-norm-L2-set)
  using that by (auto intro!: cSUP-least simp: normF-def)
have  $\langle \text{norm } (X n - L) \leq \varepsilon \rangle$  if  $\langle n \geq I \varepsilon \rangle$  and  $\langle \varepsilon > 0 \rangle$  for  $n \varepsilon$ 
  using ell2norm-xl[OF that]
  by (simp add: x-def norm-ell2.rep-eq l = Rep-ell2 L minus-ell2.rep-eq)
then have  $\langle X \longrightarrow L \rangle$ 
  unfolding tendsto-iff
  apply (auto simp: dist-norm eventually-sequentially)
  by (meson field-lbound-gt-zero le-less-trans)
then show  $\langle \text{convergent } X \rangle$ 
  by (rule convergentI)
qed

lemma sum-ell2-transfer[transfer-rule]:
  includes lifting-syntax
  shows  $\langle ((=) ==> \text{pcr-ell2 } (=)) ==> \text{rel-set } (=) ==> \text{pcr-ell2 } (=)$ 
     $(\lambda f X x. \text{sum } (\lambda y. f y x) X) \text{ sum}$ 
proof (intro rel-funI, rename-tac ff' X X')
  fix f and f' :: ' $a \Rightarrow 'b \text{ ell2}'$ 
  assume [transfer-rule]:  $\langle ((=) ==> \text{pcr-ell2 } (=)) f f' \rangle$ 
  fix X X' :: ' $'a \text{ set}'$ 
  assume  $\langle \text{rel-set } (=) X X' \rangle$ 
  then have [simp]:  $\langle X' = X \rangle$ 
    by (simp add: rel-set-eq)
  show  $\langle \text{pcr-ell2 } (=) (\lambda x. \sum y \in X. f y x) (\text{sum } f' X') \rangle$ 
    unfolding  $\langle X' = X \rangle$ 
  proof (induction X rule: infinite-finite-induct)
    case (infinite X)
    show ?case
      apply (simp add: infinite)
      by transfer-prover
  next
    case empty
    show ?case
      apply (simp add: empty)

```

```

    by transfer-prover
next
  case (insert x F)
  note [transfer-rule] = insert.IH
  show ?case
    apply (simp add: insert)
    by transfer-prover
  qed
qed

lemma clinear-Rep-ell2[simp]: ‹clinear (λψ. Rep-ell2 ψ i)›
  by (simp add: clinearI plus-ell2.rep-eq scaleC-ell2.rep-eq)

lemma Abs-ell2-inverse-finite[simp]: ‹Rep-ell2 (Abs-ell2 ψ) = ψ› for ψ :: ‹-::finite
⇒ complex›
  by (simp add: Abs-ell2-inverse)

```

### 14.3 Orthogonality

```

lemma ell2-pointwise-ortho:
  assumes ‹¬ i. Rep-ell2 x i = 0 ∨ Rep-ell2 y i = 0›
  shows ‹is-orthogonal x y›
  using assms apply transfer
  by (simp add: infsum-0)

```

### 14.4 Truncated vectors

```

lift-definition trunc-ell2:: ‹'a set ⇒ 'a ell2 ⇒ 'a ell2›
  is ‹λ S x. (λ i. (if i ∈ S then x i else 0))›
proof (rename-tac S x)
  fix x :: ‹'a ⇒ complex› and S :: ‹'a set›
  assume ‹has-ell2-norm x›
  then have ‹(λi. (x i)²) abs-summable-on UNIV›
    unfolding has-ell2-norm-def by –
  then have ‹(λi. (x i)²) abs-summable-on S›
    using summable-on-subset-banach by blast
  then have ‹(λxa. (if xa ∈ S then x xa else 0)²) abs-summable-on UNIV›
    apply (rule summable-on-cong-neutral[THEN iffD1, rotated -1])
    by auto
  then show ‹has-ell2-norm (λi. if i ∈ S then x i else 0)›
    unfolding has-ell2-norm-def by –
qed

```

```

lemma trunc-ell2-empty[simp]: ‹trunc-ell2 {} x = 0›
  apply transfer by simp

```

```

lemma trunc-ell2-UNIV[simp]: ‹trunc-ell2 UNIV ψ = ψ›
  apply transfer by simp

```

```

lemma norm-id-minus-trunc-ell2:

```

```

⟨(norm (x - trunc-ell2 S x)) ^2 = (norm x) ^2 - (norm (trunc-ell2 S x)) ^2⟩
proof-
  have ⟨Rep-ell2 (trunc-ell2 S x) i = 0 ∨ Rep-ell2 (x - trunc-ell2 S x) i = 0⟩
  for i
    apply transfer
    by auto
  hence ⟨((trunc-ell2 S x) ·C (x - trunc-ell2 S x)) = 0⟩
    using ell2-pointwise-ortho by blast
  hence ⟨(norm x) ^2 = (norm (trunc-ell2 S x)) ^2 + (norm (x - trunc-ell2 S
x)) ^2⟩
    using pythagorean-theorem by fastforce
  thus ?thesis by simp
qed

lemma norm-trunc-ell2-finite:
  ⟨finite S ⟹ (norm (trunc-ell2 S x)) = sqrt ((sum (λi. (cmod (Rep-ell2 x i))^2)) S)⟩
proof-
  assume ⟨finite S⟩
  moreover have ⟨¬ i. i ∉ S ⟹ Rep-ell2 ((trunc-ell2 S x)) i = 0⟩
    by (simp add: trunc-ell2.rep-eq)
  ultimately have ⟨(norm (trunc-ell2 S x)) = sqrt ((sum (λi. (cmod (Rep-ell2
((trunc-ell2 S x)) i))^2)) S)⟩
    using ell2-norm-finite-support
    by blast
  moreover have ⟨¬ i. i ∈ S ⟹ Rep-ell2 ((trunc-ell2 S x)) i = Rep-ell2 x i⟩
    by (simp add: trunc-ell2.rep-eq)
  ultimately show ?thesis by simp
qed

lemma trunc-ell2-lim-at-UNIV:
  ⟨((λS. trunc-ell2 S ψ) —→ ψ) (finite-subsets-at-top UNIV)⟩
proof-
  define f where ⟨f i = (cmod (Rep-ell2 ψ i))^2⟩ for i

  have has: ⟨has-ell2-norm (Rep-ell2 ψ)⟩
    using Rep-ell2 by blast
  then have summable: f abs-summable-on UNIV
    by (smt (verit, del-insts) f-def has-ell2-norm-def norm-ge-zero norm-power
real-norm-def summable-on-cong)

  have ⟨norm ψ = (ell2-norm (Rep-ell2 ψ))⟩
    apply transfer by simp
  also have ⟨... = sqrt (infsum f UNIV)⟩
    by (simp add: ell2-norm-def f-def[symmetric])
  finally have normψ: ⟨norm ψ = sqrt (infsum f UNIV)⟩
    by -
have norm-trunc: ⟨norm (trunc-ell2 S ψ) = sqrt (sum f S)⟩ if ⟨finite S⟩ for S

```

using  $f$ -def that norm-trunc-ell2-finite by fastforce

```

have ⟨(sum f —> infsum f UNIV) (finite-subsets-at-top UNIV)⟩
  using f-def[abs-def] infsum-tendsto local.summable by fastforce
then have ⟨((λS. sqrt (sum f S)) —> sqrt (infsum f UNIV)) (finite-subsets-at-top
UNIV)⟩
  using tendsto-real-sqrt by blast
then have ⟨((λS. norm (trunc-ell2 S ψ)) —> norm ψ) (finite-subsets-at-top
UNIV)⟩
  apply (subst tendsto-cong[where g=⟨λS. sqrt (sum f S)⟩])
  by (auto simp add: eventually-finite-subsets-at-top-weakI norm-trunc normψ)
then have ⟨((λS. (norm (trunc-ell2 S ψ))2) —> (norm ψ)2) (finite-subsets-at-top
UNIV)⟩
  by (simp add: tendsto-power)
then have ⟨((λS. (norm ψ)2 − (norm (trunc-ell2 S ψ))2) —> 0) (finite-subsets-at-top
UNIV)⟩
  apply (rule tendsto-diff[where a=⟨(norm ψ)2⟩ and b=⟨(norm ψ)2⟩, sim-
plified, rotated])
  by auto
then have ⟨((λS. (norm (ψ − trunc-ell2 S ψ))2) —> 0) (finite-subsets-at-top
UNIV)⟩
  unfolding norm-id-minus-trunc-ell2 by simp
then have ⟨((λS. norm (ψ − trunc-ell2 S ψ)) —> 0) (finite-subsets-at-top
UNIV)⟩
  by auto
then have ⟨((λS. ψ − trunc-ell2 S ψ) —> 0) (finite-subsets-at-top UNIV)⟩
  by (rule tendsto-norm-zero-cancel)
then show ?thesis
  apply (rule Lim-transform2[where f=⟨λ-. ψ⟩, rotated])
  by simp
qed

lemma trunc-ell2-lim-seq: ⟨((λn. trunc-ell2 {..2 = (∑∞i∈M. (cmod (ψ i))2)⟩
  unfolding ell2-norm-square
apply (rule infsum-cong-neutral)
by auto
also have ⟨... ≤ (∑∞i∈N. (cmod (ψ i))2)⟩
apply (rule infsum-mono2)
using ⟨has-ell2-norm ψ⟩ ⟨M ⊆ N⟩
by (auto simp add: ell2-norm-square has-ell2-norm-def simp flip: norm-power)

```

```

intro: summable-on-subset-banach)
also have ⟨... = (ell2-norm (λi. if i ∈ N then ψ i else 0))2⟩
  unfolding ell2-norm-square
  apply (rule infsum-cong-neutral)
  by auto
finally show ⟨(ell2-norm (λi. if i ∈ M then ψ i else 0))2 ≤ (ell2-norm (λi. if i
∈ N then ψ i else 0))2⟩
  by -
qed

lemma trunc-ell2-reduces-norm: ⟨norm (trunc-ell2 M ψ) ≤ norm ψ⟩
  by (metis subset-UNIV trunc-ell2-UNIV trunc-ell2-norm-mono)

lemma trunc-ell2-twice[simp]: ⟨trunc-ell2 M (trunc-ell2 N ψ) = trunc-ell2 (M ∩ N)
ψ⟩
  apply transfer by auto

lemma trunc-ell2-union: ⟨trunc-ell2 (M ∪ N) ψ = trunc-ell2 M ψ + trunc-ell2
N ψ − trunc-ell2 (M ∩ N) ψ⟩
  apply transfer by auto

lemma trunc-ell2-union-disjoint: ⟨M ∩ N = {} ⟹ trunc-ell2 (M ∪ N) ψ =
trunc-ell2 M ψ + trunc-ell2 N ψ⟩
  by (simp add: trunc-ell2-union)

lemma trunc-ell2-union-Diff: ⟨M ⊆ N ⟹ trunc-ell2 (N − M) ψ = trunc-ell2 N
ψ − trunc-ell2 M ψ⟩
  using trunc-ell2-union-disjoint[where M=⟨N−M⟩ and N=M and ψ=ψ]
  by (simp add: Un-commute inf.commute le-iff-sup)

lemma trunc-ell2-add: ⟨trunc-ell2 M (ψ + φ) = trunc-ell2 M ψ + trunc-ell2 M
φ⟩
  apply transfer by auto

lemma trunc-ell2-scaleC: ⟨trunc-ell2 M (c *C ψ) = c *C trunc-ell2 M ψ⟩
  apply transfer by auto

lemma bounded-clinear-trunc-ell2[bounded-clinear]: ⟨bounded-clinear (trunc-ell2 M)⟩
  by (auto intro!: bounded-clinearI[where K=1] trunc-ell2-reduces-norm
    simp: trunc-ell2-add trunc-ell2-scaleC)

lemma trunc-ell2-lim: ⟨((λS. trunc-ell2 S ψ) —> trunc-ell2 M ψ) (finite-subsets-at-top
M)⟩
proof -
  have ⟨((λS. trunc-ell2 S (trunc-ell2 M ψ)) —> trunc-ell2 M ψ) (finite-subsets-at-top
UNIV)⟩
    using trunc-ell2-lim-at-UNIV by blast
  then have ⟨((λS. trunc-ell2 (S ∩ M) ψ) —> trunc-ell2 M ψ) (finite-subsets-at-top
UNIV)⟩

```

```

by simp
then show ⟨((λS. trunc-ell2 S ψ) —→ trunc-ell2 M ψ) (finite-subsets-at-top
M)⟩
  unfolding filterlim-def
  apply (subst (asm) filtermap-filtermap[where g=⟨λS. S∩M⟩, symmetric])
  apply (subst (asm) finite-subsets-at-top-inter[where A=M and B=UNIV])
  by auto
qed

lemma trunc-ell2-lim-general:
assumes big: ⟨∀ G. finite G ⟹ G ⊆ M ⟹ (∀ F H in F. H ⊇ G)⟩
assumes small: ⟨∀ F H in F. H ⊆ M⟩
shows ⟨((λS. trunc-ell2 S ψ) —→ trunc-ell2 M ψ) F⟩
proof (rule tendstoI)
fix e :: real assume ⟨e > 0⟩
from trunc-ell2-lim[THEN tendsto-iff[THEN iffD1], rule-format, OF ⟨e > 0⟩,
where M=M and ψ=ψ]
obtain G where ⟨finite G⟩ and ⟨G ⊆ M⟩ and
close: ⟨dist (trunc-ell2 G ψ) (trunc-ell2 M ψ) < e⟩
apply atomize-elim
unfolding eventually-finite-subsets-at-top
by blast
from ⟨finite G⟩ ⟨G ⊆ M⟩ and big
have ⟨∀ F H in F. H ⊇ G⟩
by –
with small have ⟨∀ F H in F. H ⊆ M ∧ H ⊇ G⟩
by (simp add: eventually-conj-iff)
then show ⟨∀ F H in F. dist (trunc-ell2 H ψ) (trunc-ell2 M ψ) < e⟩
proof (rule eventually-mono)
fix H assume GHM: ⟨H ⊆ M ∧ H ⊇ G⟩
have ⟨dist (trunc-ell2 H ψ) (trunc-ell2 M ψ) = norm (trunc-ell2 (M-H) ψ)⟩
by (simp add: GHM dist-ell2-def norm-minus-commute trunc-ell2-union-Diff)
also have ⟨... ≤ norm (trunc-ell2 (M-G) ψ)⟩
by (simp add: Diff-mono GHM trunc-ell2-norm-mono)
also have ⟨... = dist (trunc-ell2 G ψ) (trunc-ell2 M ψ)⟩
by (simp add: ⟨G ⊆ M⟩ dist-ell2-def norm-minus-commute trunc-ell2-union-Diff)
also have ⟨... < e⟩
using close by simp
finally show ⟨dist (trunc-ell2 H ψ) (trunc-ell2 M ψ) < e⟩
by –
qed
qed

lemma norm-ell2-bound-trunc:
assumes ⟨∀ M. finite M ⟹ norm (trunc-ell2 M ψ) ≤ B⟩
shows ⟨norm ψ ≤ B⟩
proof –
note trunc-ell2-lim-at-UNIV[of ψ]
then have ⟨((λS. norm (trunc-ell2 S ψ)) —→ norm ψ) (finite-subsets-at-top

```

```

UNIV)›
  using tendsto-norm by auto
  then show ‹norm ψ ≤ B›
    apply (rule tendsto-upperbound)
    using assms apply (rule eventually-finite-subsets-at-top-weakI)
    by auto
qed

lemma trunc-ell2-uminus: ‹trunc-ell2 (−M) ψ = ψ − trunc-ell2 M ψ›
  by (metis Int-UNIV-left boolean-algebra-class.diff-eq subset-UNIV trunc-ell2-UNIV
trunc-ell2-union-Diff)

14.5 Kets and bras

lift-definition ket :: ‹'a ⇒ 'a ell2› is ‹λx y. of-bool (x=y)›
  by (rule has-ell2-norm-ket)

abbreviation bra :: ‹'a ⇒ (-,complex) cblinfun› where bra i ≡ vector-to-cblinfun
(ket i)* for i

instance ell2 :: (type) not-singleton
proof standard
  have ket undefined ≠ (0::'a ell2)
  proof transfer
    show ‹(λy. of-bool ((undefined::'a) = y)) ≠ (λ-. 0)›
      by (metis (mono-tags) of-bool-eq(2) zero-neq-one)
  qed
  thus ‹∃ x y::'a ell2. x ≠ y›
    by blast
qed

lemma cinner-ket-left: ‹ket i •C ψ = Rep-ell2 ψ i›
  apply (transfer fixing: i)
  apply (subst infsum-cong-neutral[where T=‹{i}›])
  by auto

lemma cinner-ket-right: ‹(ψ •C ket i) = cnj (Rep-ell2 ψ i)›
  apply (transfer fixing: i)
  apply (subst infsum-cong-neutral[where T=‹{i}›])
  by auto

lemma bounded-clinear-Rep-ell2[simp, bounded-clinear]: ‹bounded-clinear (λψ. Rep-ell2
ψ x)›
  apply (subst asm-rl[of ‹(λψ. Rep-ell2 ψ x) = (λψ. ket x •C ψ)›])
  apply (auto simp: cinner-ket-left)
  by (simp add: bounded-clinear-cinner-right)

lemma cinner-ket-eqI:

```

```

assumes < $\bigwedge i. \text{ket } i \cdot_C \psi = \text{ket } i \cdot_C \varphi$ >
shows < $\psi = \varphi$ >
by (metis Rep-ell2-inject assms cinner-ket-left ext)

lemma norm-ket[simp]: norm (ket i) = 1
apply transfer by (rule ell2-norm-ket)

lemma cinner-ket-same[simp]:
<(ket i ·C ket i) = 1>
proof-
have <norm (ket i) = 1>
  by simp
hence <sqrt (cmod (ket i ·C ket i)) = 1>
  by (metis norm-eq-sqrt-cinner)
hence <cmod (ket i ·C ket i) = 1>
  using real-sqrt-eq-1-iff by blast
moreover have <(ket i ·C ket i) = cmod (ket i ·C ket i)>
proof-
have <(ket i ·C ket i) ∈ ℝ>
  by (simp add: cinner-real)
thus ?thesis
  by (metis <norm (ket i) = 1> cnorm-eq norm-one of-real-1 one-cinner-one)
qed
ultimately show ?thesis by simp
qed

lemma orthogonal-ket[simp]:
<is-orthogonal (ket i) (ket j) ⟷ i ≠ j>
by (simp add: cinner-ket-left ket.rep-eq of-bool-def)

lemma cinner-ket: <(ket i ·C ket j) = of-bool (i=j)>
by (simp add: cinner-ket-left ket.rep-eq)

lemma ket-injective[simp]: <ket i = ket j ⟷ i = j>
by (metis cinner-ket one-neq-zero of-bool-def)

lemma inj-ket[simp]: <inj-on ket M>
by (simp add: inj-on-def)

lemma trunc-ell2-ket-cspan:
<trunc-ell2 S x ∈ cspan (range ket)> if <finite S>
proof (use that in induction)
case empty
then show ?case
  by (auto intro: complex-vector.span-zero)
next
case (insert a F)
from insert.hyps have <trunc-ell2 (insert a F) x = trunc-ell2 F x + Rep-ell2 x
a *C ket a>

```

```

apply (transfer fixing: F a)
by auto
with insert.IH
show ?case
by (simp add: complex-vector.span-add-eq complex-vector.span-base complex-vector.span-scale)
qed

lemma closed-cspan-range-ket[simp]:
<closure (cspan (range ket)) = UNIV>
proof (intro set-eqI iffI UNIV-I closure-approachable[THEN iffD2] allI impI)
fix ψ :: 'a ell2
fix e :: real assume <e > 0>
have <((λS. trunc-ell2 S ψ) —→ ψ) (finite-subsets-at-top UNIV)>
by (rule trunc-ell2-lim-at-UNIV)
then obtain F where <finite F> and <dist (trunc-ell2 F ψ) ψ < e>
apply (drule-tac tendstoD[OF - <e > 0])
by (auto dest: simp: eventually-finite-subsets-at-top)
moreover have <trunc-ell2 F ψ ∈ cspan (range ket)>
using <finite F> trunc-ell2-ket-cspan by blast
ultimately show <∃φ∈cspan (range ket). dist φ ψ < e>
by auto
qed

lemma ccspan-range-ket[simp]: ccspan (range ket) = (top::('a ell2 ccsubspace))
proof –
have <closure (complex-vector.span (range ket)) = (UNIV::'a ell2 set)>
using Complex-L2.closed-cspan-range-ket by blast
thus ?thesis
by (simp add: ccspan.abs-eq top-ccsubspace.abs-eq)
qed

lemma cspan-range-ket-finite[simp]: cspan (range ket :: 'a::finite ell2 set) = UNIV
by (metis closed-cspan-range-ket closure-finite-cspan finite-class.finite-UNIV finite-imageI)

instance ell2 :: (finite) cfinite-dim
proof
define basis :: 'a ell2 set where <basis = range ket>
have <finite basis>
unfolding basis-def by simp
moreover have <cspan basis = UNIV>
by (simp add: basis-def)
ultimately show <∃basis::'a ell2 set. finite basis ∧ cspan basis = UNIV>
by auto
qed

instantiation ell2 :: (enum) onb-enum begin
definition canonical-basis-ell2 = map ket Enum.enum
definition <canonical-basis-length-ell2 (- :: 'a ell2 itself) = length (Enum.enum ::
```

```

'a list)>
instance
proof
  show distinct (canonical-basis:'a ell2 list)
  proof-
    have <finite (UNIV:'a set)>
      by simp
    have <distinct (enum-class.enum:'a list)>
      using enum-distinct by blast
    moreover have <inj-on ket (set enum-class.enum)>
      by (meson inj-onI ket-injective)
    ultimately show ?thesis
      unfolding canonical-basis-ell2-def
      using distinct-map
      by blast
  qed

  show is-ortho-set (set (canonical-basis:'a ell2 list))
    apply (auto simp: canonical-basis-ell2-def enum-UNIV)
    by (smt (z3) norm-ket f-inv-into-f is-ortho-set-def orthogonal-ket norm-zero)

  show c-independent (set (canonical-basis:'a ell2 list))
    apply (auto simp: canonical-basis-ell2-def enum-UNIV)
    by (smt (verit, best) norm-ket f-inv-into-f is-ortho-set-def is-ortho-set-c-independent
        orthogonal-ket norm-zero)

  show cspan (set (canonical-basis:'a ell2 list)) = UNIV
    by (auto simp: canonical-basis-ell2-def enum-UNIV)

  show norm (x:'a ell2) = 1
    if (x:'a ell2) ∈ set canonical-basis
    for x :: 'a ell2
    using that unfolding canonical-basis-ell2-def
    by auto

  show <canonical-basis-length TYPE('a ell2) = length (canonical-basis :: 'a ell2
list)>
    by (simp add: canonical-basis-length-ell2-def canonical-basis-ell2-def)
  qed
end

lemma canonical-basis-length-ell2[code-unfold, simp]:
  length (canonical-basis ::'a::enum ell2 list) = CARD('a)
  unfolding canonical-basis-ell2-def apply simp
  using card-UNIV-length-enum by metis

lemma ket-canonical-basis: ket x = canonical-basis ! enum-idx x
proof-
  have x = (enum-class.enum:'a list) ! enum-idx x

```

```

using enum-idx-correct[where i = x] by simp
hence p1: ket x = ket ((enum-class.enum::'a list) ! enum-idx x)
  by simp
have enum-idx x < length (enum-class.enum::'a list)
  using enum-idx-bound[where x = x] card-UNIV-length-enum
  by metis
hence (map ket (enum-class.enum::'a list)) ! enum-idx x
  = ket ((enum-class.enum::'a list) ! enum-idx x)
  by auto
thus ?thesis
  unfolding canonical-basis-ell2-def using p1 by auto
qed

lemma clinear-equal-ket:
fixes f g :: ('a::finite ell2 ⇒ -)
assumes ⟨clinear f⟩
assumes ⟨clinear g⟩
assumes ⟨∀i. f (ket i) = g (ket i)⟩
shows ⟨f = g⟩
apply (rule ext)
apply (rule complex-vector.linear-eq-on-span[where f=f and g=g and B=⟨range ket⟩])
using assms by auto

lemma equal-ket:
fixes A B :: ('a ell2, 'b::complex-normed-vector) cblinfun
assumes ⟨∀x. A *V ket x = B *V ket x⟩
shows ⟨A = B⟩
apply (rule cblinfun-eq-gen-eqI[where G=⟨range ket⟩])
using assms by auto

lemma antilinear-equal-ket:
fixes f g :: ('a::finite ell2 ⇒ -)
assumes ⟨antilinear f⟩
assumes ⟨antilinear g⟩
assumes ⟨∀i. f (ket i) = g (ket i)⟩
shows ⟨f = g⟩
proof –
have [simp]: ⟨clinear (f ∘ from-conjugate-space)⟩
  apply (rule antilinear-o-antilinear)
  using assms by (simp-all add: antilinear-from-conjugate-space)
have [simp]: ⟨clinear (g ∘ from-conjugate-space)⟩
  apply (rule antilinear-o-antilinear)
  using assms by (simp-all add: antilinear-from-conjugate-space)
have [simp]: ⟨cspan (to-conjugate-space ` (range ket :: 'a ell2 set)) = UNIV⟩
  by simp
have f ∘ from-conjugate-space = g ∘ from-conjugate-space
  apply (rule ext)
  apply (rule complex-vector.linear-eq-on-span[where f=f ∘ from-conjugate-space

```

```

and g=g o from-conjugate-space and B=<to-conjugate-space `range ket>])
apply (simp, simp)
using assms(3) by (auto simp: to-conjugate-space-inverse)
then show f = g
by (smt (verit) UNIV-I from-conjugate-space-inverse surj-def surj-fun-eq to-conjugate-space-inject)

```

qed

```

lemma cinner-ket-adjointI:
fixes F::'a ell2 ⇒CL - and G::'b ell2 ⇒CL -
assumes ⋀ i j. (F *V ket i) •C ket j = ket i •C (G *V ket j)
shows F = G*
proof -
from assms
have <(F *V x) •C y = x •C (G *V y)> if <x ∈ range ket> and <y ∈ range ket>
for x y
using that by auto
then have <(F *V x) •C y = x •C (G *V y)> if <x ∈ range ket> for x y
apply (rule bounded-clinear-eq-on-closure[where G=<range ket> and t=y, rotated 2])
using that by (auto intro!: bounded-linear-intros)
then have <(F *V x) •C y = x •C (G *V y)> for x y
apply (rule bounded-antilinear-eq-on[where G=<range ket> and t=x, rotated 2])
by (auto intro!: bounded-linear-intros)
then show ?thesis
by (rule adjoint-eqI)
qed

```

```

lemma ket-nonzero[simp]: ket i ≠ 0
using norm-ket[of i] by force

```

```

lemma cindependent-ket[simp]:
cindependent (range (ket::'a⇒-))
proof-
define S where S = range (ket::'a⇒-)
have is-ortho-set S
unfolding S-def is-ortho-set-def by auto
moreover have 0 ∉ S
unfolding S-def
using ket-nonzero
by (simp add: image-iff)
ultimately show ?thesis
using is-ortho-set-cindependent[where A = S] unfolding S-def
by blast
qed

```

```

lemma cdim-UNIV-ell2[simp]: <cdim (UNIV::'a::finite ell2 set) = CARD('a)>
apply (subst cspan-range-ket-finite[symmetric])

```

```

by (metis card-image c-independent-ket complex-vector.dim-span-eq-card-independent
inj-ket)

lemma is-ortho-set-ket[simp]: ‹is-ortho-set (range ket)›
using is-ortho-set-def by fastforce

lemma bounded-clinear-equal-ket:
fixes f g :: ‹'a ell2 ⇒ -›
assumes ‹bounded-clinear f›
assumes ‹bounded-clinear g›
assumes ‹∀i. f (ket i) = g (ket i)›
shows ‹f = g›
apply (rule ext)
apply (rule bounded-clinear-eq-on-closure[of f g ‹range ket›])
using assms by auto

lemma bounded-antilinear-equal-ket:
fixes f g :: ‹'a ell2 ⇒ -›
assumes ‹bounded-antilinear f›
assumes ‹bounded-antilinear g›
assumes ‹∀i. f (ket i) = g (ket i)›
shows ‹f = g›
apply (rule ext)
apply (rule bounded-antilinear-eq-on[of f g ‹range ket›])
using assms by auto

lemma is-onb-ket[simp]: ‹is-onb (range ket)›
by (auto simp: is-onb-def)

lemma ell2-sum-ket: ‹ψ = (∑ i∈UNIV. Rep-ell2 ψ i *C ket i)› for ψ :: ‹-::finite
ell2›
apply transfer apply (rule ext)
apply (subst sum-single)
by auto

lemma trunc-ell2-singleton: ‹trunc-ell2 {x} ψ = Rep-ell2 ψ x *C ket x›
apply transfer by auto

lemma trunc-ell2-insert: ‹trunc-ell2 (insert x M) φ = Rep-ell2 φ x *C ket x +
trunc-ell2 M φ›
if ‹x ∉ M›
using trunc-ell2-union-disjoint[where M=‹{x}› and N=M]
using that by (auto simp: trunc-ell2-singleton)

lemma trunc-ell2-finite-sum: ‹trunc-ell2 M ψ = (∑ i∈M. Rep-ell2 ψ i *C ket i)›
if ‹finite M›
using that apply induction by (auto simp: trunc-ell2-insert)

lemma is-orthogonal-trunc-ell2: ‹is-orthogonal (trunc-ell2 M ψ) (trunc-ell2 N φ)›

```

```

if ‹M ∩ N = {}›
proof –
  have *: ‹cnj (if i ∈ M then a else 0) * (if i ∈ N then b else 0) = 0› for a b i
    using that by auto
  show ?thesis
    apply (transfer fixing: M N)
    by (simp add: *)
qed

```

## 14.6 Butterflies

```

lemma cspan-butterfly-ket: ‹cspan {butterfly (ket i) (ket j)| (i::'b::finite) (j::'a::finite). True} = UNIV›

```

```

proof –
  have *: ‹{butterfly (ket i) (ket j)| (i::'b::finite) (j::'a::finite). True} = {butterfly a b | a b. a ∈ range ket ∧ b ∈ range ket}›
    by auto
  show ?thesis
    apply (subst *)
    apply (rule cspan-butterfly-UNIV)
    by auto
qed

```

```

lemma cindependent-butterfly-ket: ‹cindependent {butterfly (ket i) (ket j)| (i::'b) (j::'a). True}›

```

```

proof –
  have *: ‹{butterfly (ket i) (ket j)| (i::'b) (j::'a). True} = {butterfly a b | a b. a ∈ range ket ∧ b ∈ range ket}›
    by auto
  show ?thesis
    apply (subst *)
    apply (rule cindependent-butterfly)
    by auto
qed

```

```

lemma clinear-eq-butterfly-ketI:

```

```

fixes F G :: ‹('a::finite ell2 ⇒CL 'b::finite ell2) ⇒ 'c::complex-vector›
assumes clinear F and clinear G
assumes ⋀i j. F (butterfly (ket i) (ket j)) = G (butterfly (ket i) (ket j))
shows F = G
apply (rule complex-vector.linear-eq-on-span[where f=F, THEN ext, rotated 3])
  apply (subst cspan-butterfly-ket)
  using assms by auto

```

```

lemma sum-butterfly-ket[simp]: ‹(∑ (i::'a::finite) ∈ UNIV. butterfly (ket i) (ket i)) = id-cblinfun›

```

```

apply (rule equal-ket)
apply (subst complex-vector.linear-sum[where f=λy. y *V ket -])
apply (auto simp add: scaleC-cblinfun.rep-eq cblinfun.add-left clinearI butter-

```

```

fly-def cblinfun-compose-image cinner-ket)
apply (subst sum.mono-neutral-cong-right[where S=<{-}>])
by auto

lemma ell2-decompose-has-sum: <((λx. Rep-ell2 φ x *C ket x) has-sum φ) UNIV>
proof (unfold has-sum-def)
have *: <trunc-ell2 M φ = (Σ x∈M. Rep-ell2 φ x *C ket x)> if <finite M> for
M
using that apply induction
by (auto simp: trunc-ell2-insert)
show <(sum (λx. Rep-ell2 φ x *C ket x) —→ φ) (finite-subsets-at-top UNIV)>
apply (rule Lim-transform-eventually)
apply (rule trunc-ell2-lim-at-UNIV)
using * by (rule eventually-finite-subsets-at-top-weakI)
qed

lemma ell2-decompose-infsum: <φ = (Σ ∞x. Rep-ell2 φ x *C ket x)>
by (metis ell2-decompose-has-sum infsumI)

lemma ell2-decompose-summable: <(λx. Rep-ell2 φ x *C ket x) summable-on UNIV>
using ell2-decompose-has-sum summable-on-def by blast

lemma Rep-ell2-cblinfun-apply-sum: <Rep-ell2 (A *V φ) y = (Σ ∞x. Rep-ell2 φ
x * Rep-ell2 (A *V ket x) y)>
proof –
have 1: <bounded-linear (λz. Rep-ell2 (A *V z) y)>
by (auto intro!: bounded-clinear-compose[unfolded o-def, OF bounded-clinear-Rep-ell2]
cblinfun.bounded-clinear-right bounded-clinear.bounded-linear)
have 2: <(λx. Rep-ell2 φ x *C ket x) summable-on UNIV>
by (simp add: ell2-decompose-summable)
have <Rep-ell2 (A *V φ) y = Rep-ell2 (A *V (Σ ∞x. Rep-ell2 φ x *C ket x))>
y>
by (simp flip: ell2-decompose-infsum)
also have <... = (Σ ∞x. Rep-ell2 (A *V (Rep-ell2 φ x *C ket x)) y)>
apply (subst infsum-bounded-linear[symmetric, where h=λz. Rep-ell2 (A *V
z) y])
using 1 2 by (auto simp: o-def)
also have <... = (Σ ∞x. Rep-ell2 φ x * Rep-ell2 (A *V ket x) y)>
by (simp add: cblinfun.scaleC-right scaleC-ell2.rep-eq)
finally show ?thesis
by –
qed

```

## 14.7 One-dimensional spaces

```

instantiation ell2 :: (CARD-1) one begin
lift-definition one-ell2 :: 'a ell2 is λ_. 1 by simp
instance..
end

```

```

lemma ket-CARD-1-is-1: <ket x = 1> for x :: 'a::CARD-1>
  apply transfer by simp

instantiation ell2 :: (CARD-1) times begin
lift-definition times-ell2 :: 'a ell2 ⇒ 'a ell2 ⇒ 'a ell2 is λa b x. a x * b x
  by simp
instance..
end

instantiation ell2 :: (CARD-1) divide begin
lift-definition divide-ell2 :: 'a ell2 ⇒ 'a ell2 ⇒ 'a ell2 is λa b x. a x / b x
  by simp
instance..
end

instantiation ell2 :: (CARD-1) inverse begin
lift-definition inverse-ell2 :: 'a ell2 ⇒ 'a ell2 is λa x. inverse (a x)
  by simp
instance..
end

instance ell2 :: ({enum,CARD-1}) one-dim

```

Note: enum is not needed logically, but without it this instantiation clashes with *instantiation ell2 :: (enum) onb-enum*

```

proof intro-classes
  show canonical-basis = [1:'a ell2]
    unfolding canonical-basis-ell2-def
    apply transfer
    by (simp add: enum-CARD-1[of undefined])
  show a *C 1 * b *C 1 = (a * b) *C (1:'a ell2) for a b
    apply (transfer fixing: a b) by simp
  show x / y = x * inverse y for x y :: 'a ell2
    apply transfer
    by (simp add: divide-inverse)
  show inverse (c *C 1) = inverse c *C (1:'a ell2) for c :: complex
    apply transfer by auto
qed

```

## 14.8 Explicit bounded operators

```

definition explicit-cblinfun :: <('a ⇒ 'b ⇒ complex) ⇒ ('b ell2, 'a ell2) cblinfun>
where
  <explicit-cblinfun M = cblinfun-extension (range ket) (λa. Abs-ell2 (λj. M j (inv
  ket a)))>

definition explicit-cblinfun-exists :: <('a ⇒ 'b ⇒ complex) ⇒ bool> where
  <explicit-cblinfun-exists M ⟷

```

```


$$(\forall a. \text{has-ell2-norm } (\lambda j. M j a)) \wedge$$


$$\text{cblinfun-extension-exists } (\text{range ket}) (\lambda a. \text{Abs-ell2 } (\lambda j. M j (\text{inv ket } a)))$$


lemma explicit-cblinfun-exists-bounded:
  assumes  $\langle \bigwedge S T \psi. \text{finite } S \implies \text{finite } T \implies (\bigwedge a. a \notin T \implies \psi a = 0) \implies$ 
     $(\sum b \in S. (\text{cmod} (\sum a \in T. \psi a *_C M b a))^2) \leq B * (\sum a \in T. (\text{cmod} (\psi a))^2)$ 
  shows explicit-cblinfun-exists M
  proof -
    define  $F f$  where  $\langle F = \text{complex-vector.construct } (\text{range ket}) f \rangle$ 
    and  $\langle f = (\lambda a. \text{Abs-ell2 } (\lambda j. M j (\text{inv ket } a))) \rangle$ 
  from assms[where  $S = \langle \rangle$  and  $T = \langle \{ \text{undefined} \} \rangle$  and  $\psi = \langle \lambda x. \text{of-bool } (x = \text{undefined}) \rangle$ ]
  have  $\langle B \geq 0 \rangle$ 
    by auto
  have  $\text{has-norm}: \langle \text{has-ell2-norm } (\lambda b. M b a) \rangle$  for  $a$ 
  proof (unfold has-ell2-norm-def, intro nonneg-bdd-above-summable-on bdd-aboveI)
    show  $\langle 0 \leq \text{cmod } ((M x a)^2) \rangle$  for  $x$ 
      by simp
    fix  $B'$ 
    assume  $\langle B' \in \text{sum } (\lambda x. \text{cmod } ((M x a)^2)) \setminus \{F. F \subseteq \text{UNIV} \wedge \text{finite } F\} \rangle$ 
    then obtain  $S$  where [simp]:  $\langle \text{finite } S \rangle$  and  $B' \text{-def}: \langle B' = (\sum x \in S. \text{cmod } ((M x a)^2)) \rangle$ 
      by blast
    from assms[where  $S = S$  and  $T = \langle \{a\} \rangle$  and  $\psi = \langle \lambda x. \text{of-bool } (x = a) \rangle$ 
    show  $\langle B' \leq B \rangle$ 
      by (simp add: norm-power B'-def)
  qed
  have  $\langle \text{clinear } F \rangle$ 
    by (auto intro!: complex-vector.linear-construct simp: F-def)
  have  $F \text{-B}: \langle \text{norm } (F \psi) \leq (\sqrt{B}) * \text{norm } \psi \rangle$  if  $\psi \text{-range-ket}: \langle \psi \in \text{cspan } (\text{range ket}) \rangle$  for  $\psi$ 
    proof -
      from that
      obtain  $T'$  where  $\langle \text{finite } T' \rangle$  and  $\langle T' \subseteq \text{range ket} \rangle$  and  $\psi T': \langle \psi \in \text{cspan } T' \rangle$ 
        by (meson vector-finitely-spanned)
      then obtain  $T$  where  $T' \text{-def}: \langle T' = \text{ket} ` T \rangle$ 
        by (meson subset-image-iff)
      have  $\langle \text{finite } T \rangle$ 
        by (metis T'-def finite T' finite-image-iff inj-ket inj-on-subset subset-UNIV)
      have  $\psi T: \langle \psi \in \text{cspan } (\text{ket} ` T) \rangle$ 
        using  $T' \text{-def } \psi T'$  by blast
      have  $\text{Rep-}\psi: \langle \text{Rep-ell2 } \psi x = 0 \rangle$  if  $\langle x \notin T \rangle$  for  $x$ 
        using - -  $\psi T$  apply (rule complex-vector.linear-eq-0-on-span)
        apply auto
        by (metis ket.rep-eq that of-bool-def)
      have  $\langle \text{norm } (\text{trunc-ell2 } S (F \psi)) \leq \sqrt{B} * \text{norm } \psi \rangle$  if  $\langle \text{finite } S \rangle$  for  $S$ 
      proof -
        have  $*: \langle \text{cconstruct } (\text{range ket}) f \psi = (\sum x \in T. \text{Rep-ell2 } \psi x *_C f (\text{ket } x)) \rangle$ 

```

```

proof (rule complex-vector.linear-eq-on[where x=ψ and B=⟨ket ‘ T⟩])
  show ⟨clinear (cconstruct (range ket) f)⟩
    using F-def ⟨clinear F⟩ by blast
    show ⟨clinear (λa. ∑ x∈T. Rep-ell2 a x *C f (ket x))⟩
      by (auto intro!: clinear-compose[unfolded o-def, OF clinear-Rep-ell2]
complex-vector.linear-compose-sum)
    show ⟨ψ ∈ cspan (ket ‘ T)⟩
      by (simp add: ψT)
    have ⟨f b = (∑ x∈T. Rep-ell2 b x *C f (ket x))⟩
      if ⟨b ∈ ket ‘ T⟩ for b
    proof –
      define b' where ⟨b' = inv ket b⟩
      have bb': ⟨b = ket b'⟩
        using b'-def that by force
      show ?thesis
        apply (subst sum-single[where i=b'])
        using that by (auto simp add: finite T bb' ket.rep-eq)
    qed
    then show ⟨cconstruct (range ket) f b = (∑ x∈T. Rep-ell2 b x *C f (ket
x))⟩
      if ⟨b ∈ ket ‘ T⟩ for b
      apply (subst complex-vector.construct-basis)
      using that by auto
    qed
    have ⟨(norm (trunc-ell2 S (F ψ)))2 = (norm (trunc-ell2 S (∑ x∈T. Rep-ell2
ψ x *C f (ket x))))2⟩
      apply (rule arg-cong[where f=λx. (norm (trunc-ell2 - x))2])
      by (simp add: F-def *)
    also have ⟨... = (norm (trunc-ell2 S (∑ x∈T. Rep-ell2 ψ x *C Abs-ell2 (λb.
M b x))))2⟩
      by (simp add: f-def)
    also have ⟨... = (∑ i∈S. (cmod (Rep-ell2 (∑ x∈T. Rep-ell2 ψ x *C Abs-ell2
(λb. M b x))) i))2⟩
      by (simp add: that norm-trunc-ell2-finite real-sqrt-pow2 sum-nonneg)
    also have ⟨... = (∑ i∈S. (cmod (∑ x∈T. Rep-ell2 ψ x *C Rep-ell2 (Abs-ell2
(λb. M b x))) i))2⟩
      by (simp add: complex-vector.linear-sum[OF clinear-Rep-ell2]
clinear.scaleC[OF clinear-Rep-ell2])
    also have ⟨... = (∑ i∈S. (cmod (∑ x∈T. Rep-ell2 ψ x *C M i x)))2⟩
      using has-norm by (simp add: Abs-ell2-inverse)
    also have ⟨... ≤ B * (∑ x∈T. (cmod (Rep-ell2 ψ x))2)⟩
      using ⟨finite S⟩ ⟨finite T⟩ Rep-ψ by (rule assms)
    also have ⟨... = B * ((norm (trunc-ell2 T ψ))2)⟩
      by (simp add: ⟨finite T⟩ norm-trunc-ell2-finite sum-nonneg)
    also have ⟨... ≤ B * (norm ψ)2⟩
      by (simp add: mult-left-mono ⟨B ≥ 0⟩ trunc-ell2-reduces-norm)
  finally show ?thesis
    apply (rule-tac power2-le-imp-le)
    by (simp-all add: ⟨0 ≤ B⟩ power-mult-distrib)

```

```

qed
then show ?thesis
  by (rule norm-ell2-bound-trunc)
qed
then have <cblinfun-extension-exists (cspan (range ket)) F>
  apply (rule cblinfun-extension-exists-hilbert[rotated -1])
  by (auto intro: <clinear F> complex-vector.linear-add complex-vector.linear-scale)
then have ex: <cblinfun-extension-exists (range ket) f>
  apply (rule cblinfun-extension-exists-restrict[rotated -1])
  by (simp-all add: F-def complex-vector.span-superset complex-vector.construct-basis)
from ex has-norm
show ?thesis
  using explicit-cblinfun-exists-def f-def by blast
qed

lemma explicit-cblinfun-exists-finite-dim[simp]: <explicit-cblinfun-exists m> for m
:: -:finite => -:finite => -
  by (auto simp: explicit-cblinfun-exists-def cblinfun-extension-exists-finite-dim)

lemma explicit-cblinfun-ket: <explicit-cblinfun M *V ket a = Abs-ell2 (λb. M b a)>
if <explicit-cblinfun-exists M>
  using that by (auto simp: explicit-cblinfun-exists-def explicit-cblinfun-def cblinfun-extension-apply)

lemma Rep-ell2-explicit-cblinfun-ket[simp]: <Rep-ell2 (explicit-cblinfun M *V ket a) b = M b a> if <explicit-cblinfun-exists M>
  using that apply (simp add: explicit-cblinfun-ket)
  by (simp add: Abs-ell2-inverse explicit-cblinfun-exists-def)

lemma bounded-extension-counterexample-1: <∃f. ∀x. f (ket x) = ket 0>
— First part of counterexample showing that not every linear function can be
extended to a bounded operator.
  by auto

lemma bounded-extension-counterexample-2:
— Second part of counterexample showing that not every linear function can be
extended to a bounded operator.
assumes <∀x:'a::infinite. f (ket x) = ket 0>
shows <¬ cblinfun-extension-exists (range ket) f>
proof (rule ccontr, unfold not-not)
  assume <cblinfun-extension-exists (range ket) f>
  moreover define F where <F = cblinfun-extension (range ket) f>
  ultimately have F: <F (ket x) = ket 0> for x
    by (simp add: assms cblinfun-extension-apply)
  have F-geq: <norm F ≥ sqrt B> for B :: nat
  proof -
    obtain S :: <'a set> where card-S: <card S = B> and fin-S: <finite S>
      using arb-finite-subset[of <{}> B]
      by (meson finite.emptyI obtain-subset-with-card-n)
  
```

```

define  $\psi$  where  $\langle\psi = (\sum i \in S. \text{ket } i)\rangle$ 
have  $\langle(\text{norm } \psi)^2 = B\rangle$ 
  by (simp add:  $\psi$ -def pythagorean-theorem-sum card-S fin-S)
then have norm- $\psi$ :  $\langle\text{norm } \psi = \sqrt{B}\rangle$ 
  by (smt (verit, best) norm-ge-zero real-sqrt-abs)
have  $\langle F \psi = B *_R \text{ket } 0\rangle$ 
  by (simp add:  $\psi$ -def cblinfun.sum-right real-vector.sum-constant-scale F card-S)
then have  $\langle\text{norm } (F \psi) = B\rangle$ 
  by simp
with norm- $\psi$  have  $\langle\text{norm } F \geq B / \sqrt{B}\rangle$ 
  using norm-cblinfun[of F  $\psi$ ]
  by (simp add: divide-le-eq)
then show ?thesis
  by (simp add: real-div-sqrt)
qed
then show False
proof -
  obtain B :: nat where B:  $\langle B > (\text{norm } F)^2\rangle$ 
    apply atomize-elim
    apply (rule exI[of - <nat (ceiling ((norm F)^2 + 1))>])
    by linarith
  with F-geq show False
    by (smt (verit, ccfv-threshold) B sqrt-le-D)
qed
qed

```

## 14.9 Classical operators

We call an operator mapping  $\text{ket } x$  to  $\text{ket } (\pi x)$  or  $0$  "classical". (The meaning is inspired by the fact that in quantum mechanics, such operators usually correspond to operations with classical interpretation (such as Pauli-X, CNOT, measurement in the computational basis, etc.))

```

definition classical-operator :: ('a ⇒ 'b option) ⇒ 'a ell2 ⇒CL 'b ell2 where
  classical-operator π =
    (let f = (λt. (case π (inv (ket:'a ⇒ -)) t)
      of None ⇒ (0:'b ell2)
      | Some i ⇒ ket i))
    in
    cblinfun-extension (range (ket:'a ⇒ -)) f

definition classical-operator-exists π ↔
  cblinfun-extension-exists (range ket)
  (λt. case π (inv ket t) of None ⇒ 0 | Some i ⇒ ket i)

lemma classical-operator-existsI:
  assumes A x. B *V (ket x) = (case π x of Some i ⇒ ket i | None ⇒ 0)
  shows classical-operator-exists π
  unfolding classical-operator-exists-def

```

```

apply (rule cblinfun-extension-existsI[of - B])
using assms
by (auto simp: inv-f-f[OF inj-ket])

lemma
assumes inj-map  $\pi$ 
shows classical-operator-exists-inj: classical-operator-exists  $\pi$ 
and classical-operator-norm-inj: ‹norm (classical-operator  $\pi$ ) ≤ 1›
proof -
have ‹is-orthogonal (case  $\pi$  x of None ⇒ 0 | Some  $x' \Rightarrow$  ket  $x')$ 
(case  $\pi$  y of None ⇒ 0 | Some  $y' \Rightarrow$  ket  $y')›
if ‹ $x \neq y$ › for x y
apply (cases ‹ $\pi$  x›; cases ‹ $\pi$  y›)
using that assms
by (auto simp add: inj-map-def)
then have 1: ‹is-orthogonal (case  $\pi$  (inv ket x) of None ⇒ 0 | Some  $x' \Rightarrow$  ket  $x')$ 
(case  $\pi$  (inv ket y) of None ⇒ 0 | Some  $y' \Rightarrow$  ket  $y')›
if ‹ $x \in$  range ket› and ‹ $y \in$  range ket› and ‹ $x \neq y$ › for x y
using that by auto

have ‹norm (case  $\pi$  x of None ⇒ 0 | Some  $x \Rightarrow$  ket  $x) \leq 1 * norm (ket x)› for x
apply (cases ‹ $\pi$  x›) by auto
then have 2: ‹norm (case  $\pi$  (inv ket x) of None ⇒ 0 | Some  $x \Rightarrow$  ket  $x) \leq 1 * norm x›
if ‹ $x \in$  range ket› for x
using that by auto

show ‹classical-operator-exists  $\pi$ ›
unfolding classical-operator-exists-def
using - 1 2 apply (rule cblinfun-extension-exists-ortho)
by simp-all

show ‹norm (classical-operator  $\pi$ ) ≤ 1›
unfolding classical-operator-def Let-def
using - 1 2 apply (rule cblinfun-extension-exists-ortho-norm)
by simp-all
qed

lemma classical-operator-exists-finite[simp]: classical-operator-exists ( $\pi :: -\text{-finite} \Rightarrow -$ )
unfolding classical-operator-exists-def
using c-independent-ket cspan-range-ket-finite
by (rule cblinfun-extension-exists-finite-dim)

lemma classical-operator-ket:
assumes classical-operator-exists  $\pi$ 
shows (classical-operator  $\pi) *_V (ket x) = (case \pi x of Some i \Rightarrow ket i | None$$$$$ 
```

```

 $\Rightarrow 0)$ 
unfolding classical-operator-def
using f-inv-into-f ket-injective rangeI
by (metis assms cblinfun-extension-apply classical-operator-exists-def)

lemma classical-operator-ket-finite:
  (classical-operator  $\pi$ ) *V (ket (x::'a::finite)) = (case  $\pi$  x of Some i  $\Rightarrow$  ket i | None
 $\Rightarrow 0)$ 
  by (rule classical-operator-ket, simp)

lemma classical-operator-adjoint[simp]:
  fixes  $\pi :: 'a \Rightarrow 'b$  option
  assumes a1: inj-map  $\pi$ 
  shows (classical-operator  $\pi$ )* = classical-operator (inv-map  $\pi$ )
proof-
  define F where F = classical-operator (inv-map  $\pi$ )
  define G where G = classical-operator  $\pi$ 
  have (F *V ket i) ·C ket j = ket i ·C (G *V ket j) for i j
  proof-
    have w1: (classical-operator (inv-map  $\pi$ )) *V (ket i)
      = (case inv-map  $\pi$  i of Some k  $\Rightarrow$  ket k | None  $\Rightarrow 0)$ 
      by (simp add: classical-operator-ket classical-operator-exists-inj)
    have w2: (classical-operator  $\pi$ ) *V (ket j)
      = (case  $\pi$  j of Some k  $\Rightarrow$  ket k | None  $\Rightarrow 0)$ 
      by (simp add: assms classical-operator-ket classical-operator-exists-inj)
    have (F *V ket i) ·C ket j = (classical-operator (inv-map  $\pi$ ) *V ket i) ·C ket j
      unfolding F-def by blast
    also have ... = ((case inv-map  $\pi$  i of Some k  $\Rightarrow$  ket k | None  $\Rightarrow 0)$  ·C ket j)
      using w1 by simp
    also have ... = (ket i ·C (case  $\pi$  j of Some k  $\Rightarrow$  ket k | None  $\Rightarrow 0))$ 
    proof(induction inv-map  $\pi$  i)
      case None
      hence pi1: None = inv-map  $\pi$  i.
      show ?case
      proof (induction  $\pi$  j)
        case None
        thus ?case
          using pi1 by auto
      next
        case (Some c)
        have c ≠ i
        proof(rule classical)
          assume  $\neg(c \neq i)$ 
          hence c = i
          by blast
          hence inv-map  $\pi$  c = inv-map  $\pi$  i
          by simp
        hence inv-map  $\pi$  c = None
        by (simp add: pi1)

```

```

moreover have inv-map  $\pi$  c = Some j
  using Some.hyps unfolding inv-map-def
  apply auto
  by (metis a1 f-inv-into-f inj-map-def option.distinct(1) rangeI)
  ultimately show ?thesis by simp
qed
thus ?thesis
by (metis None.hyps Some.hyps cinner-zero-left orthogonal-ket option.simps(4)

  option.simps(5))
qed
next
case (Some d)
hence s1: Some d = inv-map  $\pi$  i.
show (case inv-map  $\pi$  i of None  $\Rightarrow$  0 | Some a  $\Rightarrow$  ket a)  $\cdot_C$  ket j
  = ket i  $\cdot_C$  (case  $\pi$  j of None  $\Rightarrow$  0 | Some a  $\Rightarrow$  ket a)
proof(induction  $\pi$  j)
  case None
  have d  $\neq$  j
  proof(rule classical)
    assume  $\neg(d \neq j)$ 
    hence d = j
    by blast
    hence  $\pi$  d =  $\pi$  j
    by simp
    hence  $\pi$  d = None
    by (simp add: None.hyps)
  moreover have  $\pi$  d = Some i
    using Some.hyps unfolding inv-map-def
    apply auto
    by (metis f-inv-into-f option.distinct(1) option.inject)
  ultimately show ?thesis
    by simp
qed
thus ?case
  by (metis None.hyps Some.hyps cinner-zero-right orthogonal-ket option.case-eq-if
    option.simps(5))
next
case (Some c)
hence s2:  $\pi$  j = Some c by simp
have (ket d  $\cdot_C$  ket j) = (ket i  $\cdot_C$  ket c)
proof(cases  $\pi$  j = Some i)
  case True
  hence ij: Some j = inv-map  $\pi$  i
  unfolding inv-map-def apply auto
  apply (metis a1 f-inv-into-f inj-map-def option.discI range-eqI)
  by (metis range-eqI)
have i = c

```

```

    using True s2 by auto
  moreover have j = d
    by (metis option.inject s1 ij)
  ultimately show ?thesis
    by (simp add: cinner-ket-same)
next
  case False
  moreover have π d = Some i
    using s1 unfolding inv-map-def
    by (metis f-inv-into-f option.distinct(1) option.inject)
  ultimately have j ≠ d
    by auto
  moreover have i ≠ c
    using False s2 by auto
  ultimately show ?thesis
    by (metis orthogonal-ket)
qed
hence (case Some d of None ⇒ 0 | Some a ⇒ ket a) •C ket j
  = ket i •C (case Some c of None ⇒ 0 | Some a ⇒ ket a)
  by simp
thus (case inv-map π i of None ⇒ 0 | Some a ⇒ ket a) •C ket j
  = ket i •C (case π j of None ⇒ 0 | Some a ⇒ ket a)
  by (simp add: Some.hyps s1)
qed
also have ... = ket i •C (classical-operator π *V ket j)
  by (simp add: w2)
also have ... = ket i •C (G *V ket j)
  unfolding G-def by blast
finally show ?thesis .
qed
hence G* = F
  using cinner-ket-adjointI
  by auto
thus ?thesis unfolding G-def F-def .
qed

lemma
  fixes π::'b ⇒ 'c option and ρ::'a ⇒ 'b option
  assumes classical-operator-exists π
  assumes classical-operator-exists ρ
  shows classical-operator-exists-comp[simp]: classical-operator-exists (π om ρ)
    and classical-operator-mult[simp]: classical-operator π oCL classical-operator ρ
    = classical-operator (π om ρ)
proof -
  define Cπ Cρ Cπρ where Cπ = classical-operator π and Cρ = classical-operator ρ
    and Cπρ = classical-operator (π om ρ)
  have Cπx: Cπ *V (ket x) = (case π x of Some i ⇒ ket i | None ⇒ 0) for x

```

```

unfolding Cπ-def using <classical-operator-exists π> by (rule classical-operator-ket)
have Cρx: Cρ *V (ket x) = (case ρ x of Some i ⇒ ket i | None ⇒ 0) for x
  unfolding Cρ-def using <classical-operator-exists ρ> by (rule classical-operator-ket)
  have Cπρx': (Cπ oCL Cρ) *V (ket x) = (case (π om ρ) x of Some i ⇒ ket i | 
  None ⇒ 0) for x
    apply (simp add: scaleC-cblinfun.rep-eq Cρx)
    apply (cases ρ x)
    by (auto simp: Cπx)
  thus <classical-operator-exists (π om ρ)>
    by (rule classical-operator-existsI)
  hence Cπρ *V (ket x) = (case (π om ρ) x of Some i ⇒ ket i | None ⇒ 0) for x
    unfolding Cπρ-def
    by (rule classical-operator-ket)
  with Cπρx' have (Cπ oCL Cρ) *V (ket x) = Cπρ *V (ket x) for x
    by simp
  thus Cπ oCL Cρ = Cπρ
    by (simp add: equal-ket)
qed

lemma classical-operator-Some[simp]: classical-operator (Some:'a⇒-) = id-cblinfun
proof –
  have (classical-operator Some) *V (ket i) = id-cblinfun *V (ket i)
    for i:'a
    apply (subst classical-operator-ket)
    apply (rule classical-operator-exists-inj)
    by auto
  thus ?thesis
    using equal-ket[where A = classical-operator (Some:'a ⇒ - option)
      and B = id-cblinfun:'a ell2 ⇒CL -]
    by blast
qed

lemma isometry-classical-operator[simp]:
  fixes π:'a ⇒ 'b
  assumes a1: inj π
  shows isometry (classical-operator (Some o π))
proof –
  have b0: inj-map (Some o π)
    by (simp add: a1)
  have b0': inj-map (inv-map (Some o π))
    by simp
  have b1: inv-map (Some o π) om (Some o π) = Some
    apply (rule ext) unfolding inv-map-def o-def
    using assms unfolding inj-def inv-def by auto
  have b3: classical-operator (inv-map (Some o π)) oCL
    classical-operator (Some o π) = classical-operator (inv-map (Some o π))
    om (Some o π))
    by (metis b0 b0' b1 classical-operator-Some classical-operator-exists-inj
      classical-operator-mult)

```

```

show ?thesis
  unfolding isometry-def
  apply (subst classical-operator-adjoint)
  using b0 by (auto simp add: b1 b3)
qed

lemma unitary-classical-operator[simp]:
  fixes π::'a ⇒ 'b
  assumes a1: bij π
  shows unitary (classical-operator (Some o π))
proof (unfold unitary-def, rule conjI)
  have inj π
    using a1 bij-betw-imp-inj-on by auto
  hence isometry (classical-operator (Some o π))
    by simp
  hence classical-operator (Some o π)* oCL classical-operator (Some o π) =
    id-cblinfun
    unfolding isometry-def by simp
  thus ‹classical-operator (Some o π)* oCL classical-operator (Some o π) = id-cblinfun›
    by simp
next
  have inj π
    by (simp add: assms bij-is-inj)
  have comp: Some o π om inv-map (Some o π) = Some
    apply (rule ext)
    unfolding inv-map-def o-def map-comp-def
    unfolding inv-def apply auto
    apply (metis ‹inj π› inv-def inv-f-f)
    using bij-def image-iff range-eqI
    by (metis a1)
  have classical-operator (Some o π) oCL classical-operator (Some o π)*
    = classical-operator (Some o π) oCL classical-operator (inv-map (Some o π))
    by (simp add: ‹inj π›)
  also have ... = classical-operator ((Some o π) om (inv-map (Some o π)))
    by (simp add: ‹inj π› classical-operator-exists-inj)
  also have ... = classical-operator (Some::'b ⇒ -)
    using comp
    by simp
  also have ... = (id-cblinfun:: 'b ell2 ⇒CL -)
    by simp
  finally show classical-operator (Some o π) oCL classical-operator (Some o π)*
    = id-cblinfun.
qed

unbundle no lattice-syntax and no cblinfun-syntax
end

```

## 15 Extra-Jordan-Normal-Form – Additional results for Jordan\_Normal\_Form

```
theory Extra-Jordan-Normal-Form
imports
  Jordan-Normal-Form.Matrix Jordan-Normal-Form.Schur-Decomposition
begin
```

We define bundles to activate/deactivate the notation from `Jordan_Normal_Form`. Reactivate the notation locally via "**includes jnf-syntax**" in a lemma statement. (Or sandwich a declaration using that notation between "**unbundle jnf-syntax ... unbundle no jnf-syntax**.)

```
open-bundle jnf-syntax
begin
notation transpose-mat ( $\langle \cdot^T \rangle$ ) [1000]
notation cscalar-prod (infix  $\cdot c$  70)
notation vec-index (infixl  $\cdot \$$  100)
notation smult-vec (infixl  $\cdot v$  70)
notation scalar-prod (infix  $\cdot \cdot$  70)
notation index-mat (infixl  $\cdot \$\$$  100)
notation smult-mat (infixl  $\cdot m$  70)
notation mult-mat-vec (infixl  $\cdot * v$  70)
notation pow-mat (infixr  $\hat{\cdot} m$  75)
notation append-vec (infixr  $\cdot @ v$  65)
notation append-rows (infixr  $\cdot @ r$  65)
end
```

```
lemma mat-entry-explicit:
  fixes M :: 'a::field mat
  assumes M ∈ carrier-mat m n and i < m and j < n
  shows vec-index (M *v unit-vec n j) i = M $$ (i,j)
  using assms by auto
```

```
lemma mat-adjoint-def': mat-adjoint M = transpose-mat (map-mat conjugate M)
  apply (rule mat-eq-iff[THEN iffD2])
  apply (auto simp: mat-adjoint-def transpose-mat-def)
  apply (subst mat-of-rows-index)
  by auto
```

```
lemma mat-adjoint-swap:
  fixes M ::complex mat
  assumes M ∈ carrier-mat nB nA and iA < dim-row M and iB < dim-col M
  shows (mat-adjoint M) $$ (iB, iA) = cnj (M $$ (iA, iB))
  unfolding transpose-mat-def map-mat-def
  by (simp add: assms(2) assms(3) mat-adjoint-def')
```

```
lemma cscalar-prod-adjoint:
```

```

fixes M:: complex mat
assumes M ∈ carrier-mat nB nA
  and dim-vec v = nA
  and dim-vec u = nB
shows v •c ((mat-adjoint M) *v u) = (M *v v) •c u
unfolding mat-adjoint-def using assms(1) assms(2,3)[symmetric]
apply (simp add: scalar-prod-def sum-distrib-left field-simps)
by (intro sum.swap)

lemma scaleC-minus1-left-vec: -1 •v v = - v for v :: -::ring-1 vec
unfolding smult-vec-def uminus-vec-def by auto

lemma square-nneg-complex:
  fixes x :: complex
  assumes x ∈ ℝ shows x2 ≥ 0
  apply (cases x) using assms unfolding Reals-def less-eq-complex-def by auto

definition vec-is-zero n v = (∀ i < n. v $ i = 0)

lemma vec-is-zero: dim-vec v = n  $\implies$  vec-is-zero n v  $\longleftrightarrow$  v = 0v n
unfolding vec-is-zero-def apply auto
by (metis index-zero-vec(1))

fun gram-schmidt-sub0
  where gram-schmidt-sub0 n us [] = us
  | gram-schmidt-sub0 n us (w # ws) =
    (let w' = adjuster n w us + w in
      if vec-is-zero n w' then gram-schmidt-sub0 n us ws
      else gram-schmidt-sub0 n (w' # ws) ws)

lemma (in cof-vec-space) adjuster-already-in-span:
  assumes w ∈ carrier-vec n
  assumes us-carrier: set us ⊆ carrier-vec n
  assumes corthogonal us
  assumes w ∈ span (set us)
  shows adjuster n w us + w = 0v n
proof -
  define v U where v = adjuster n w us + w and U = set us
  have span: v ∈ span U
  unfolding v-def U-def
  apply (rule adjust-preserves-span[THEN iffD1])
  using assms corthogonal-distinct by simp-all
  have v-carrier: v ∈ carrier-vec n
  by (simp add: v-def assms corthogonal-distinct)
  have v •c us!i = 0 if i < length us for i
  unfolding v-def
  apply (rule adjust-zero)
  using that assms by simp-all
  hence v •c u = 0 if u ∈ U for u

```

```

by (metis assms(3) U-def corthogonal-distinct distinct_Ex1 that)
hence ortho:  $u \cdot c v = 0$  if  $u \in U$  for  $u$ 
apply (subst conjugate-zero-iff[symmetric])
apply (subst conjugate-vec-sprod-comm)
using that us-carrier v-carrier apply (auto simp: U-def)[2]
apply (subst conjugate-conjugate-sprod)
using that us-carrier v-carrier by (auto simp: U-def)
from span obtain a where v: lincomb a U = v
apply atomize-elim apply (rule finite-in-span[simplified])
unfolding U-def using us-carrier by auto
have v · c v = ( $\sum_{u \in U} (a u \cdot_v u) \cdot_c v$ )
apply (subst v[symmetric])
unfolding lincomb-def
apply (subst finsum-scalar-prod-sum)
using U-def span us-carrier by auto
also have ... = ( $\sum_{u \in U} a u * (u \cdot_c v)$ )
using U-def assms(1) in-mono us-carrier v-def by fastforce
also have ... = ( $\sum_{u \in U} a u * \text{conjugate } 0$ )
apply (rule sum.cong, simp)
using span span-closed U-def us-carrier ortho by auto
also have ... = 0
by auto
finally have v · c v = 0
by -
thus v = 0_v n
using U-def conjugate-square-eq-0-vec span span-closed us-carrier by blast
qed

```

```

lemma (in cof-vec-space) gram-schmidt-sub0-result:
assumes gram-schmidt-sub0 n us ws = us'
and set ws ⊆ carrier-vec n
and set us ⊆ carrier-vec n
and distinct us
and ~ lin-dep (set us)
and corthogonal us
shows set us' ⊆ carrier-vec n ∧
distinct us' ∧
corthogonal us' ∧
span (set (us @ ws)) = span (set us')
using assms
proof (induct ws arbitrary: us us')
case (Cons w ws)
show ?case
proof (cases w ∈ span (set us))
case False
let ?v = adjuster n w us
have wW[simp]: set (w#ws) ⊆ carrier-vec n using Cons by simp
hence W[simp]: set ws ⊆ carrier-vec n

```

```

and  $w[simp]: w : \text{carrier-vec } n$  by auto
have  $U[simp]: \text{set } us \subseteq \text{carrier-vec } n$  using Cons by simp
have  $UW: \text{set } (us@ws) \subseteq \text{carrier-vec } n$  by simp
have  $UW: \text{set } (w\#us) \subseteq \text{carrier-vec } n$  by simp
have  $dist-U: \text{distinct } us$  using Cons by simp
have  $w-U: w \notin \text{set } us$  using False using span-mem by auto
have  $ind-U: \sim \text{lin-dep} (\text{set } us)$ 
  using Cons by simp
have  $ind-WU: \sim \text{lin-dep} (\text{insert } w (\text{set } us))$ 
  apply (subst lin-dep-iff-in-span[simplified, symmetric])
  using  $w-U$  ind-U False by auto
thm lin-dep-iff-in-span[simplified, symmetric]
have  $corth: \text{corthogonal } us$  using Cons by simp
have  $?v + w \neq 0_v n$ 
  by (simp add: False adjust-nonzero dist-U)
hence  $\neg \text{vec-is-zero } n (?v + w)$ 
  by (simp add: vec-is-zero)
hence  $U'\text{def}: \text{gram-schmidt-sub0 } n ((?v + w)\#us) ws = us'$ 
  using Cons by simp
have  $v: ?v : \text{carrier-vec } n$  using dist-U by auto
hence  $vw: ?v + w : \text{carrier-vec } n$  by auto
hence  $vwU: \text{set } ((?v + w) \# us) \subseteq \text{carrier-vec } n$  by auto
have  $vsU: ?v : \text{span } (\text{set } us)$ 
  apply (rule adjuster-in-span[OF w])
  using Cons by simp-all
hence  $vsWU: ?v : \text{span } (\text{set } (us @ ws))$ 
  using span-is-monotone[of set us set (us@ws)] by auto
have  $wsU: w \notin \text{span } (\text{set } us)$ 
  using lin-dep-iff-in-span[OF U ind-U w w-U] ind-wU by auto
hence  $vwU: ?v + w \notin \text{span } (\text{set } us)$  using adjust-not-in-span[OF w U dist-U]
by auto

have  $\text{span}: ?v + w \notin \text{span } (\text{set } us)$ 
  apply (subst span-add[symmetric])
  by (simp-all add: False vsU)
hence  $vwUS: ?v + w \notin \text{set } us$  using span-mem by auto

have  $vwU: \text{set } ((?v + w) \# us) \subseteq \text{carrier-vec } n$ 
  using U w vw by simp
have  $dist2: \text{distinct } (((?v + w) \# us))$ 
  using vwUS
  by (simp add: dist-U)

have  $orth2: \text{corthogonal } ((\text{adjuster } n w us + w) \# us)$ 
  using adjust-orthogonal[OF U corth w wsU].

have  $ind-vwU: \sim \text{lin-dep} (\text{set } ((\text{adjuster } n w us + w) \# us))$ 
  apply simp
  apply (subst lin-dep-iff-in-span[simplified, symmetric])

```

```

by (simp-all add: ind-U vw vwUS span)

have span-UwW-U': span (set (us @ w # ws)) = span (set us')
  using Cons(1)[OF U'def W vwU dist2 ind-vwU orth2]
  using span-Un[OF vwU wU gram-schmidt-sub-span[OF w U dist-U] W W
refl]
  by simp

show ?thesis
  apply (intro conjI)
  using Cons(1)[OF U'def W vwU dist2 ind-vwU orth2] span-UwW-U' by
simp-all
next
case True

let ?v = adjuster n w us
have ?v + w = 0_v n
  apply (rule adjuster-already-in-span)
  using True Cons by auto
hence vec-is-zero n (?v + w)
  by (simp add: vec-is-zero)
hence U'-def: us' = gram-schmidt-sub0 n us ws
  using Cons by simp
have span: span (set (us @ w # ws)) = span (set us')
proof -
  have wU-U: span (set (w # us)) = span (set us)
    apply (subst already-in-span[OF - True, simplified])
    using Cons by auto
  have span (set (us @ w # ws)) = span (set (w # us) ∪ set ws)
    by simp
  also have ... = span (set us ∪ set ws)
    apply (rule span-Un) using wU-U Cons by auto
  also have ... = local.span (set us')
    using Cons U'-def by auto
  finally show ?thesis
    by -
qed
moreover have set us' ⊆ carrier-vec n ∧ distinct us' ∧ corthogonal us'
  unfolding U'-def using Cons by simp
ultimately show ?thesis
  by auto
qed
qed simp

```

This is a variant of *gram-schmidt* that does not require the input vectors *ws* to be distinct or linearly independent. (In comparison to *gram-schmidt*, our version also returns the result in reversed order.)

**definition** *gram-schmidt0* *n ws* = *gram-schmidt-sub0* *n [] ws*

```

lemma (in cof-vec-space) gram-schmidt0-result:
  fixes ws
  defines us' ≡ gram-schmidt0 n ws
  assumes ws: set ws ⊆ carrier-vec n
  shows set us' ⊆ carrier-vec n      (is ?thesis1)
    and distinct us'              (is ?thesis2)
    and corthogonal us'          (is ?thesis3)
    and span (set ws) = span (set us') (is ?thesis4)
  proof -
    have carrier-empty: set [] ⊆ carrier-vec n by auto
    have distinct-empty: distinct [] by simp
    have indep-empty: lin-indpt (set [])
      using basis-def subset-li-is-li unit-vecs-basis by auto
    have ortho-empty: corthogonal [] by auto
    note gram-schmidt-sub0-result' = gram-schmidt-sub0-result
    [OF us'-def[symmetric, THEN meta-eq-to-obj-eq, unfolded gram-schmidt0-def]]
  ws
    carrier-empty distinct-empty indep-empty ortho-empty]
  thus ?thesis1 ?thesis2 ?thesis3 ?thesis4
    by auto
qed

```

locale complex-vec-space = cof-vec-space n TYPE(complex) for n :: nat

```

lemma gram-schmidt0-corthogonal:
  assumes a1: corthogonal R
    and a2: ⋀x. x ∈ set R ⟹ dim-vec x = d
  shows gram-schmidt0 d R = rev R
  proof -
    have gram-schmidt-sub0 d U R = rev R @ U
      if corthogonal ((rev U) @ R)
        and ⋀x. x ∈ set U ∪ set R ⟹ dim-vec x = d for U
    proof (insert that, induction R arbitrary: U)
      case Nil
      show ?case
        by auto
    next
      case (Cons a R)
      have a ∈ set (rev U @ a # R)
        by simp
      moreover have uar: corthogonal (rev U @ a # R)
        by (simp add: Cons.preds(1))
      ultimately have ⟨a ≠ 0_v d⟩
        unfolding corthogonal-def
      by (metis conjugate-zero-vec in-set-conv-nth scalar-prod-right-zero zero-carrier-vec)
      then have nonzero-a: ¬ vec-is-zero d a
        by (simp add: Cons.preds(2) vec-is-zero)
      define T where T = rev U @ a # R
      have T ! length (rev U) = a
    qed
  qed

```

```

unfolding T-def
  by (meson nth-append-length)
moreover have (T ! i ·c T ! j = 0) = (i ≠ j) if i < length T and j < length T
for i j
  using uar that
  unfolding corthogonal-def T-def
  by auto
moreover have length (rev U) < length T
  by (simp add: T-def)
ultimately have (T ! (length (rev U)) ·c T ! j = 0) = (length (rev U) ≠ j)
if j < length T for j
  using that by blast
hence T ! (length (rev U)) ·c T ! j = 0
  if j < length T and j ≠ length (rev U) for j
    using that by blast
hence a ·c T ! j = 0 if j < length (rev U) for j
  using ‹T ! length (rev U) = a› that(1)
    ‹length (rev U) < length T› dual-order.strict-trans by blast
moreover have T ! j = (rev U) ! j if j < length (rev U) for j
  by (smt T-def ‹length (rev U) < length T› dual-order.strict-trans list-update-append1
    list-update-id nth-list-update-eq that)
ultimately have a ·c u = 0 if u ∈ set (rev U) for u
  by (metis in-set-conv-nth that)
hence a ·c u = 0 if u ∈ set U for u
  by (simp add: that)
moreover have ⋀x. x ∈ set U ⟹ dim-vec x = d
  by (simp add: Cons.prems(2))
ultimately have adjuster d a U = 0_v d
proof(induction U)
  case Nil
  then show ?case by simp
next
  case (Cons u U)
  moreover have 0 ·v u + 0_v d = 0_v d
  proof-
    have dim-vec u = d
    by (simp add: calculation(3))
    thus ?thesis
      by auto
  qed
  ultimately show ?case by auto
qed
hence adjuster-a: adjuster d a U + a = a
  by (simp add: Cons.prems(2) carrier-vecI)
have gram-schmidt-sub0 d U (a # R) = gram-schmidt-sub0 d (a # U) R
  by (simp add: adjuster-a nonzero-a)
also have ... = rev (a # R) @ U
  apply (subst Cons.IH)
  using Cons.prems by simp-all

```

```

finally show ?case
  by -
qed
from this[where U=[]] show ?thesis
  unfolding gram-schmidt0-def using assms by auto
qed

lemma adjuster-carrier':
  assumes w: (w :: 'a::conjugatable-field vec) : carrier-vec n
  and us: set (us :: 'a vec list) ⊆ carrier-vec n
  shows adjuster n w us ∈ carrier-vec n
  by (insert us, induction us, auto)

lemma eq-mat-on-vecI:
  fixes M N :: \ $\langle 'a::field mat \rangle$ 
  assumes eq:  $\langle \bigwedge v. v \in \text{carrier-vec } n A \implies M *_v v = N *_v v \rangle$ 
  assumes [simp]:  $\langle M \in \text{carrier-mat } n B n A \rangle \langle N \in \text{carrier-mat } n B n A \rangle$ 
  shows  $\langle M = N \rangle$ 
proof (rule eq-matI)
  show [simp]:  $\langle \text{dim-row } M = \text{dim-row } N \rangle \langle \text{dim-col } M = \text{dim-col } N \rangle$ 
    using assms(2) assms(3) by blast+
  fix i j
  assume [simp]:  $\langle i < \text{dim-row } N \rangle \langle j < \text{dim-col } N \rangle$ 
  show  $\langle M \$\$ (i, j) = N \$\$ (i, j) \rangle$ 
    thm mat-entry-explicit[where M=M]
    apply (subst mat-entry-explicit[symmetric])
    using assms apply auto[3]
    apply (subst mat-entry-explicit[symmetric])
    using assms apply auto[3]
    apply (subst eq)
    apply auto using assms(3) unit-vec-carrier by blast
qed

lemma list-of-vec-plus:
  fixes v1 v2 :: \ $\langle \text{complex vec} \rangle$ 
  assumes  $\langle \text{dim-vec } v1 = \text{dim-vec } v2 \rangle$ 
  shows  $\langle \text{list-of-vec } (v1 + v2) = \text{map2 } (+) (\text{list-of-vec } v1) (\text{list-of-vec } v2) \rangle$ 
proof -
  have  $\langle i < \text{dim-vec } v1 \implies (\text{list-of-vec } (v1 + v2)) ! i = (\text{map2 } (+) (\text{list-of-vec } v1) (\text{list-of-vec } v2)) ! i \rangle$ 
    for i
    by (simp add: assms)
  thus ?thesis
    by (metis assms index-add-vec(2) length-list-of-vec length-map map-fst-zip nth-equalityI)
qed

lemma list-of-vec-mult:
  fixes v :: \ $\langle \text{complex vec} \rangle$ 

```

```

shows ⟨list-of-vec (c ·v v) = map ((*) c) (list-of-vec v)⟩
by (metis (mono-tags, lifting) index-smult-vec(1) index-smult-vec(2) length-list-of-vec
length-map nth-equalityI nth-list-of-vec nth-map)

lemma map-map-vec-cols: ⟨map (map-vec f) (cols m) = cols (map-mat f m)⟩
by (simp add: cols-def)

lemma map-vec-conjugate: ⟨map-vec conjugate v = conjugate v⟩
by fastforce

unbundle no jnf-syntax

end

```

## 16 Cblinfun-Matrix – Matrix representation of bounded operators

```

theory Cblinfun-Matrix
imports
  Complex-L2
  Jordan-Normal-Form.Gram-Schmidt
  HOL-Analysis.Starlike
  Complex-Bounded-Operators.Extra-Jordan-Normal-Form
begin

hide-const (open) Order.bottom Order.top
hide-type (open) Finite-Cartesian-Product.vec
hide-const (open) Finite-Cartesian-Product.mat
hide-fact (open) Finite-Cartesian-Product.mat-def
hide-const (open) Finite-Cartesian-Product.vec
hide-fact (open) Finite-Cartesian-Product.vec-def
hide-const (open) Finite-Cartesian-Product.row
hide-fact (open) Finite-Cartesian-Product.row-def
no-notation Finite-Cartesian-Product.vec-nth (infixl `` $> 90)

unbundle jnf-syntax
unbundle cblinfun-syntax

```

### 16.1 Isomorphism between vectors

We define the canonical isomorphism between vectors in some complex vector space ' $a$ ' and the complex  $n$ -dimensional vectors (where  $n$  is the dimension of ' $a$ '). This is possible if ' $a$ ', ' $b$ ' are of class *basis-enum* since that class fixes a finite canonical basis. Vector are represented using the *complex vec* type from *Jordan\_Normal\_Form*. (The isomorphism will be called *vec-of-onb-basis* below.)

```

definition vec-of-basis-enum :: <'a::basis-enum ⇒ complex vec> where
  — Maps  $v$  to a ' $a$  vec represented in basis canonical-basis
  <vec-of-basis-enum  $v$  = vec-of-list (map (crepresentation (set canonical-basis)  $v$ )
  canonical-basis)>

lemma dim-vec-of-basis-enum'[simp]:
  <dim-vec (vec-of-basis-enum (v::'a)) = length (canonical-basis::'a::basis-enum list)>
  unfoldng vec-of-basis-enum-def
  by simp

definition basis-enum-of-vec :: <complex vec ⇒ 'a::basis-enum> where
  <basis-enum-of-vec  $v$  =
    (if dim-vec  $v$  = length (canonical-basis :: 'a list)
     then sum-list (map2 (*C) (list-of-vec  $v$ ) (canonical-basis :: 'a list))
     else 0),>

lemma vec-of-basis-enum-inverse[simp]:
  fixes  $\psi$  :: 'a::basis-enum
  shows basis-enum-of-vec (vec-of-basis-enum  $\psi$ ) =  $\psi$ 
  unfoldng vec-of-basis-enum-def basis-enum-of-vec-def
  unfoldng list-vec zip-map1 zip-same-conv-map map-map
  apply (simp add: o-def)
  apply (subst sum.distinct-set-conv-list[symmetric], simp)
  apply (rule complex-vector.sum-representation-eq)
  using is-generator-set by auto

lemma basis-enum-of-vec-inverse[simp]:
  fixes  $v$  :: complex vec
  defines  $n$  ≡ length (canonical-basis :: 'a::basis-enum list)
  assumes f1: dim-vec  $v$  =  $n$ 
  shows vec-of-basis-enum ((basis-enum-of-vec  $v$ )::'a) =  $v$ 
  proof (rule eq-vecI)
    show <dim-vec (vec-of-basis-enum (basis-enum-of-vec  $v$  :: 'a)) = dim-vec  $v$ >
    by (auto simp: vec-of-basis-enum-def f1 n-def)
  next
    fix  $j$  assume j-v: < $j$  < dim-vec  $v$ >
    define  $w$  where  $w$  = list-of-vec  $v$ 
    define basis where basis = (canonical-basis::'a list)
    have [simp]: length  $w$  =  $n$  length basis =  $n$  <dim-vec  $v$  =  $n$ > <length (canonical-basis::'a list) =  $n$ >
      < $j$  <  $n$ >
      using j-v by (auto simp: f1 basis-def w-def n-def)
    have [simp]: <cindependent (set basis)> <cspan (set basis) = UNIV>
      by (auto simp: basis-def is-cindependent-set is-generator-set)

    have <vec-of-basis-enum ((basis-enum-of-vec  $v$ )::'a) $  $j$ 
      = map (crepresentation (set basis) (sum-list (map2 (*C)  $w$  basis))) basis !  $j$ 
    by (auto simp: vec-of-list-index vec-of-basis-enum-def basis-enum-of-vec-def simp
        flip: w-def basis-def)

```

```

also have ⟨... = crepresentation (set basis) (sum-list (map2 (*C) w basis)) (basis!j)⟩
  by simp
also have ⟨... = crepresentation (set basis) (∑ i < n. (w!i) *C (basis!i)) (basis!j)⟩
  by (auto simp: sum-list-sum-nth atLeast0LessThan)
also have ⟨... = (∑ i < n. (w!i) *C crepresentation (set basis) (basis!i) (basis!j))⟩
  by (auto simp: complex-vector.representation-sum complex-vector.representation-scale)
also have ⟨... = w!j⟩
  apply (subst sum-single[where i=j])
  apply (auto simp: complex-vector.representation-basis)
  using ⟨j < n⟩ ⟨length basis = n⟩ basis-def distinct-canonical-basis nth-eq-iff-index-eq
by blast
also have ⟨... = v $ j⟩
  by (simp add: w-def)
finally show ⟨vec-of-basis-enum (basis-enum-of-vec v :: 'a) $ j = v $ j⟩
  by -
qed

lemma basis-enum-eq-vec-of-basis-enumI:
  fixes a b :: -::basis-enum
  assumes vec-of-basis-enum a = vec-of-basis-enum b
  shows a = b
  by (metis assmss vec-of-basis-enum-inverse)

lemma vec-of-basis-enum-carrier-vec[simp]: ⟨vec-of-basis-enum v ∈ carrier-vec (canonical-basis-length TYPE('a))⟩ for v :: 'a::basis-enum
  apply (simp only: dim-vec-of-basis-enum' carrier-vec-def vec-of-basis-enum-def)
  by (simp add: canonical-basis-length)

lemma vec-of-basis-enum-inj: inj vec-of-basis-enum
  by (simp add: basis-enum-eq-vec-of-basis-enumI injI)

lemma basis-enum-of-vec-inj: inj-on (basis-enum-of-vec :: complex vec ⇒ 'a)
  (carrier-vec (length (canonical-basis :: 'a:{basis-enum,complex-normed-vector} list)))
  by (metis basis-enum-of-vec-inverse carrier-dim-vec inj-on-inverseI)

```

## 16.2 Operations on vectors

```

lemma basis-enum-of-vec-add:
  assumes [simp]: ⟨dim-vec v1 = length (canonical-basis :: 'a::basis-enum list)⟩
    ⟨dim-vec v2 = length (canonical-basis :: 'a list)⟩
  shows ⟨((basis-enum-of-vec (v1 + v2)) :: 'a) = basis-enum-of-vec v1 + basis-enum-of-vec v2⟩
proof -
  have ⟨length (list-of-vec v1) = length (list-of-vec v2)⟩ and ⟨length (list-of-vec v2) = length (canonical-basis :: 'a list)⟩
  by simp-all
  then have ⟨sum-list (map2 (*C) (map2 (+) (list-of-vec v1) (list-of-vec v2))) = v1 + v2⟩
  by (simp add: list-of-vec.map2)
  then show ?thesis
  by (simp add: basis-enum-of-vec.sum-list)
qed

```

```

(canonical-basis::'a list))
  = sum-list (map2 (*C) (list-of-vec v1) canonical-basis) + sum-list (map2 (*C)
(list-of-vec v2) canonical-basis)
  apply (induction rule: list-induct3)
  by (auto simp: scaleC-add-left)
then show ?thesis
  using assms by (auto simp: basis-enum-of-vec-def list-of-vec-plus)
qed

lemma basis-enum-of-vec-mult:
  assumes [simp]: ⌜dim-vec v = length (canonical-basis :: 'a::basis-enum list)⌝
  shows ⌜((basis-enum-of-vec (c ∙v v)) :: 'a) = c *C basis-enum-of-vec v⌝
proof -
  have *: ⌜monoid-add-hom ((*C) c :: 'a ⇒ -)⌝
  by (simp add: monoid-add-hom-def plus-hom.intro scaleC-add-right semigroup-add-hom.intro
zero-hom.intro)
  show ?thesis
  apply (auto simp: basis-enum-of-vec-def list-of-vec-mult map-zip-map
monoid-add-hom.hom-sum-list[OF *])
  by (metis case Prod-unfold comp-apply scaleC-scaleC)
qed

lemma vec-of-basis-enum-add:
  ⌜vec-of-basis-enum (a + b) = vec-of-basis-enum a + vec-of-basis-enum b⌝
  by (auto simp: vec-of-basis-enum-def complex-vector.representation-add)

lemma vec-of-basis-enum-scaleC:
  ⌜vec-of-basis-enum (c *C b) = c ∙v (vec-of-basis-enum b)⌝
  by (auto simp: vec-of-basis-enum-def complex-vector.representation-scale)

lemma vec-of-basis-enum-scaleR:
  ⌜vec-of-basis-enum (r *R b) = complex-of-real r ∙v (vec-of-basis-enum b)⌝
  by (simp add: scaleR-scaleC vec-of-basis-enum-scaleC)

lemma vec-of-basis-enum-uminus:
  ⌜vec-of-basis-enum (- b2) = - vec-of-basis-enum b2⌝
  unfolding scaleC-minus1-left[symmetric, of b2]
  unfolding scaleC-minus1-left-vec[symmetric]
  by (rule vec-of-basis-enum-scaleC)

lemma vec-of-basis-enum-minus:
  ⌜vec-of-basis-enum (b1 - b2) = vec-of-basis-enum b1 - vec-of-basis-enum b2⌝
  by (metis (mono-tags, opaque-lifting) carrier-vec-dim-vec diff-conv-add-uminus
diff-zero index-add-vec(2) minus-add-uminus-vec vec-of-basis-enum-add vec-of-basis-enum-uminus)

lemma cinner-basis-enum-of-vec:
  defines n ≡ length (canonical-basis :: 'a::onb-enum list)
  assumes [simp]: ⌜dim-vec x = n dim-vec y = n⌝
  shows ⌜(basis-enum-of-vec x :: 'a) ∙C basis-enum-of-vec y = y ∙C x⌝

```

```

proof -
  have ⟨(basis-enum-of-vec  $x :: 'a) \cdot_C basis-enum-of-vec y$ 
     $= (\sum_{i < n. x\$i *_C canonical-basis ! i :: 'a) \cdot_C (\sum_{i < n. y\$i *_C canonical-basis ! i)}$ ⟩
    by (auto simp: basis-enum-of-vec-def sum-list-sum-nth atLeast0LessThan simp
      flip: n-def)
  also have ⟨...  $= (\sum_{i < n. \sum_{j < n. cnj (x\$i) *_C y\$j *_C ((canonical-basis ! i :: 'a) \cdot_C (canonical-basis ! j)))}$ ⟩
    apply (subst cinner-sum-left)
    apply (subst cinner-sum-right)
    by (auto simp: mult-ac)
  also have ⟨...  $= (\sum_{i < n. \sum_{j < n. cnj (x\$i) *_C y\$j *_C (if i=j then 1 else 0))})$ ⟩
    apply (rule sum.cong[OF refl])
    apply (rule sum.cong[OF refl])
    by (auto simp: cinner-canonical-basis n-def)
  also have ⟨...  $= (\sum_{i < n. cnj (x\$i) *_C y\$i)}$ ⟩
    apply (rule sum.cong[OF refl])
    apply (subst sum-single)
    by auto
  also have ⟨...  $= y \cdot_C x$ ⟩
    by (smt (z3) assms(2) complex-scaleC-def conjugate-complex-def dim-vec-conjugate
      lessThan-atLeast0 lessThan-iff mult.commute scalar-prod-def sum.cong vec-index-conjugate)
  finally show ?thesis
  by -
qed

lemma cscalar-prod-vec-of-basis-enum: cscalar-prod (vec-of-basis-enum  $\varphi$ ) (vec-of-basis-enum  $\psi$ ) = cinner  $\psi \varphi$ 
  for  $\psi :: 'a::onb-enum$ 
  apply (subst cinner-basis-enum-of-vec[symmetric, where 'a='a])
  by simp-all

definition ⟨norm-vec  $\psi = sqrt (\sum_{i \in \{0 .. < dim-vec \psi\}} let z = vec-index \psi i in (Re z)^2 + (Im z)^2)$ ⟩

lemma norm-vec-of-basis-enum: ⟨norm  $\psi = norm-vec (vec-of-basis-enum \psi)$ ⟩ for  $\psi :: 'a::onb-enum$ 
proof -
  have norm  $\psi = sqrt (cmod (\sum_{i = 0 .. < dim-vec (vec-of-basis-enum \psi)} vec-of-basis-enum \psi \$ i * conjugate (vec-of-basis-enum \psi) \$ i))$ 
  unfolding norm-eq-sqrt-cinner[where 'a='a] cscalar-prod-vec-of-basis-enum[symmetric]
    scalar-prod-def dim-vec-conjugate
    by rule
  also have ...  $= sqrt (cmod (\sum x = 0 .. < dim-vec (vec-of-basis-enum \psi). let z = vec-of-basis-enum \psi \$ x in (Re z)^2 + (Im z)^2))$ 
    apply (subst sum.cong, rule refl)
    apply (subst vec-index-conjugate)
    by (auto simp: Let-def complex-mult-cnj)
  also have ...  $= norm-vec (vec-of-basis-enum \psi)$ 

```

```

unfolding Let-def norm-of-real norm-vec-def
apply (subst abs-of-nonneg)
      apply (rule sum-nonneg)
      by auto
finally show ?thesis
      by -
qed

lemma basis-enum-of-vec-unit-vec:
defines basis  $\equiv$  (canonical-basis::'a::basis-enum list)
      and n  $\equiv$  length (canonical-basis :: 'a list)
assumes a3: i < n
shows basis-enum-of-vec (unit-vec n i) = basis!i
proof-
define L::complex list where L = list-of-vec (unit-vec n i)
define I::nat list where I = [0..<n]
have length L = n
      by (simp add: L-def)
moreover have length basis = n
      by (simp add: basis-def n-def)
ultimately have map2 (*C) L basis = map (λj. L!j *C basis!j) I
      by (simp add: I-def list-eq-iff-nth-eq)
hence sum-list (map2 (*C) L basis) = sum-list (map (λj. L!j *C basis!j) I)
      by simp
also have ... = sum (λj. L!j *C basis!j) {0..n-1}
proof-
      have set I = {0..n-1}
      using I-def a3 by auto
      thus ?thesis
          using Groups-List.sum-code[where xs = I and g = (λj. L!j *C basis!j)]
          by (simp add: I-def)
qed
also have ... = sum (λj. (list-of-vec (unit-vec n i))!j *C basis!j) {0..n-1}
      unfolding L-def by blast
finally have sum-list (map2 (*C) (list-of-vec (unit-vec n i)) basis)
      = sum (λj. (list-of-vec (unit-vec n i))!j *C basis!j) {0..n-1}
      using L-def by blast
also have ... = basis ! i
proof-
      have (∑ j = 0..n - 1. list-of-vec (unit-vec n i) ! j *C basis ! j) =
          (∑ j ∈ {0..n - 1}. list-of-vec (unit-vec n i) ! j *C basis ! j)
      by simp
also have ... = list-of-vec (unit-vec n i) ! i *C basis ! i
      + (∑ j ∈ {0..n - 1} - {i}. list-of-vec (unit-vec n i) ! j *C basis ! j)
proof-
      define a where a j = list-of-vec (unit-vec n i) ! j *C basis ! j for j
      define S where S = {0..n - 1}
      have finite S
      by (simp add: S-def)

```

```

hence  $(\sum j \in insert i S. a j) = a i + (\sum j \in S - \{i\}. a j)$ 
  using Groups-Big.comm-monoid-add-class.sum.insert-remove
  by auto
moreover have  $S - \{i\} = \{0..n-1\} - \{i\}$ 
  unfolding S-def
  by blast
moreover have  $insert i S = \{0..n-1\}$ 
using S-def Suc-diff-1 a3 atLeastAtMost-iff diff-is-0-eq' le-SucE le-numeral-extra(4)
  less-imp-le not-gr-zero
  by fastforce
ultimately show ?thesis
  using  $\langle a \equiv \lambda j. list-of-vec (unit-vec n i) ! j *_C basis ! j \rangle$ 
  by simp
qed
also have ... = list-of-vec (unit-vec n i) ! i *C basis ! i
proof-
  have  $j \in \{0..n-1\} - \{i\} \implies list-of-vec (unit-vec n i) ! j = 0$ 
    for j
  using a3 atMost-atLeast0 atMost-iff diff-Suc-less index-unit-vec(1) le-less-trans
    list-of-vec-index member-remove zero-le by fastforce
  hence  $j \in \{0..n-1\} - \{i\} \implies list-of-vec (unit-vec n i) ! j *_C basis ! j = 0$ 
    for j
    by auto
  hence  $(\sum j \in \{0..n-1\} - \{i\}. list-of-vec (unit-vec n i) ! j *_C basis ! j) = 0$ 
    by (simp add:  $\langle \bigwedge j. j \in \{0..n-1\} - \{i\} \implies list-of-vec (unit-vec n i) ! j *_C basis ! j = 0 \rangle$ )
    thus ?thesis by simp
qed
also have ... = basis ! i
  by (simp add: a3)
finally show ?thesis
  using  $\langle (\sum j = 0..n-1. list-of-vec (unit-vec n i) ! j *_C basis ! j) = list-of-vec (unit-vec n i) ! i *_C basis ! i + (\sum j \in \{0..n-1\} - \{i\}. list-of-vec (unit-vec n i) ! j *_C basis ! j) \rangle$ 
    list-of-vec (unit-vec n i) ! i *C basis ! i +  $(\sum j \in \{0..n-1\} - \{i\}. list-of-vec (unit-vec n i) ! j *_C basis ! j)$ 
    = list-of-vec (unit-vec n i) ! i *C basis ! i
     $\langle list-of-vec (unit-vec n i) ! i *_C basis ! i = basis ! i \rangle$ 
    by auto
qed
finally have sum-list (map2 (*C) (list-of-vec (unit-vec n i)) basis)
  = basis ! i
  by (simp add: assms)
hence sum-list (map2 scaleC (list-of-vec (unit-vec n i)) (canonical-basis::'a list))
  = (canonical-basis::'a list) ! i
  by (simp add: assms)
thus ?thesis
  unfolding basis-enum-of-vec-def
  by (simp add: assms)

```

**qed**

```
lemma vec-of-basis-enum-ket:
  vec-of-basis-enum (ket i) = unit-vec (CARD('a)) (enum-idx i)
    for i::'a::enum
proof-
  have dim-vec (vec-of-basis-enum (ket i))
    = dim-vec (unit-vec (CARD('a)) (enum-idx i))
  proof-
    have dim-vec (unit-vec (CARD('a)) (enum-idx i))
      = CARD('a)
      by simp
    moreover have dim-vec (vec-of-basis-enum (ket i)) = CARD('a)
      unfolding vec-of-basis-enum-def vec-of-basis-enum-def by auto
      ultimately show ?thesis by simp
  qed
  moreover have vec-of-basis-enum (ket i) $ j =
    (unit-vec (CARD('a)) (enum-idx i)) $ j
    if j < dim-vec (vec-of-basis-enum (ket i))
    for j
  proof-
    have j-bound: j < length (canonical-basis::'a ell2 list)
      by (metis dim-vec-of-basis-enum' that)
    have y1: c-independent (set (canonical-basis::'a ell2 list))
      using is-c-independent-set by blast
    have y2: canonical-basis ! j ∈ set (canonical-basis::'a ell2 list)
      using j-bound by auto
    have p1: enum-class.enum ! enum-idx i = i
      using enum-idx-correct by blast
    moreover have p2: (canonical-basis::'a ell2 list) ! t = ket ((enum-class.enum::'a list) ! t)
      if t < length (enum-class.enum::'a list)
      for t
      unfolding canonical-basis-ell2-def
      using that by auto
    moreover have p3: enum-idx i < length (enum-class.enum::'a list)
  proof-
    have set (enum-class.enum::'a list) = UNIV
      using UNIV-enum by blast
    hence i ∈ set (enum-class.enum::'a list)
      by blast
    thus ?thesis
      unfolding enum-idx-def
      by (metis index-of-bound length-greater-0-conv length-pos-if-in-set)
  qed
  ultimately have p4: (canonical-basis::'a ell2 list) ! (enum-idx i) = ket i
    by auto
  have enum-idx i < length (enum-class.enum::'a list)
    using p3
```

```

    by auto
  moreover have length (enum-class.enum::'a list) = dim-vec (vec-of-basis-enum
(ket i))
    unfolding vec-of-basis-enum-def canonical-basis-ell2-def
    using dim-vec-of-basis-enum[where v = ket i]
    unfolding canonical-basis-ell2-def by simp
  ultimately have enum-i-dim-vec: enum-idx i < dim-vec (unit-vec (CARD('a))
(enum-idx i))
    using <dim-vec (vec-of-basis-enum (ket i)) = dim-vec (unit-vec (CARD('a))
(enum-idx i))> by auto
    hence r1: (unit-vec (CARD('a)) (enum-idx i)) $ j
      = (if enum-idx i = j then 1 else 0)
    using <dim-vec (vec-of-basis-enum (ket i)) = dim-vec (unit-vec (CARD('a))
(enum-idx i))> that by auto
  moreover have vec-of-basis-enum (ket i) $ j = (if enum-idx i = j then 1 else
0)
  proof(cases enum-idx i = j)
    case True
    have crepresentation (set (canonical-basis::'a ell2 list))
      ((canonical-basis::'a ell2 list) ! j) ((canonical-basis::'a ell2 list) ! j) = 1
    using y1 y2 complex-vector.representation-basis[where
      basis = set (canonical-basis::'a ell2 list)
      and b = (canonical-basis::'a ell2 list) ! j]
    by smt

    hence vec-of-basis-enum ((canonical-basis::'a ell2 list) ! j) $ j = 1
    unfolding vec-of-basis-enum-def
    by (metis j-bound nth-map vec-of-list-index)
    hence vec-of-basis-enum ((canonical-basis::'a ell2 list) ! (enum-idx i))
      $ enum-idx i = 1
    using True by simp
    hence vec-of-basis-enum (ket i) $ enum-idx i = 1
    using p4
    by simp
  thus ?thesis using True unfolding vec-of-basis-enum-def by auto
next
  case False
  have crepresentation (set (canonical-basis::'a ell2 list))
    ((canonical-basis::'a ell2 list) ! (enum-idx i)) ((canonical-basis::'a ell2 list)
! j) = 0
  using y1 y2 complex-vector.representation-basis[where
    basis = set (canonical-basis::'a ell2 list)
    and b = (canonical-basis::'a ell2 list) ! j]
  by (metis (mono-tags, opaque-lifting) False enum-i-dim-vec basis-enum-of-vec-inverse
    basis-enum-of-vec-unit-vec canonical-basis-length-ell2 index-unit-vec(3)
j-bound
    list-of-vec-index list-vec nth-map r1 vec-of-basis-enum-def)
  hence vec-of-basis-enum ((canonical-basis::'a ell2 list) ! (enum-idx i)) $ j = 0
  unfolding vec-of-basis-enum-def by (smt j-bound nth-map vec-of-list-index)

```

```

hence vec-of-basis-enum ((canonical-basis::'a ell2 list) ! (enum-idx i)) $ j = 0
  by auto
hence vec-of-basis-enum (ket i) $ j = 0
  using p4
  by simp
thus ?thesis using False unfolding vec-of-basis-enum-def by simp
qed
ultimately show ?thesis by auto
qed
ultimately show ?thesis
  using Matrix.eq-vecI
  by auto
qed

lemma vec-of-basis-enum-zero:
defines nA ≡ length (canonical-basis :: 'a::basis-enum list)
shows vec-of-basis-enum (0::'a) = 0v nA
by (metis assms carrier-vecI dim-vec-of-basis-enum' minus-cancel-vec right-minus-eq
vec-of-basis-enum-minus)

lemma (in complex-vec-space) vec-of-basis-enum-cspan:
fixes X :: 'a::basis-enum set
assumes length (canonical-basis :: 'a list) = n
shows vec-of-basis-enum ` cspan X = span (vec-of-basis-enum ` X)
proof -
have carrier: vec-of-basis-enum ` X ⊆ carrier-vec n
  by (metis assms carrier-vecI dim-vec-of-basis-enum' image-subsetI)
have lincomb-sum: lincomb c A = vec-of-basis-enum (∑ b∈B. c' b *C b)
  if B-def: B = basis-enum-of-vec ` A and ⟨finite A⟩
  and AX: A ⊆ vec-of-basis-enum ` X and c'-def: ∏ b. c' b = c (vec-of-basis-enum
b)
  for c c' A and B::'a set
  unfolding B-def using ⟨finite A⟩ AX
proof induction
case empty
then show ?case
  by (simp add: assms vec-of-basis-enum-zero)
next
case (insert x F)
then have IH: lincomb c F = vec-of-basis-enum (∑ b∈basis-enum-of-vec ` F.
c' b *C b)
  (is - = ?sum)
  by simp
have xx: vec-of-basis-enum (basis-enum-of-vec x :: 'a) = x
  apply (rule basis-enum-of-vec-inverse)
  using assms carrier carrier-vecD insert.preds by auto
have lincomb c (insert x F) = c x ·v x + lincomb c F
  apply (rule lincomb-insert2)
  using insert.hyps insert.preds carrier by auto

```

```

also have  $c x \cdot_v x = \text{vec-of-basis-enum} (c' (\text{basis-enum-of-vec } x) *_C (\text{basis-enum-of-vec } x :: 'a))$ 
  by (simp add: xx vec-of-basis-enum-scaleC c'-def)
also note IH
also have
   $\text{vec-of-basis-enum} (c' (\text{basis-enum-of-vec } x) *_C (\text{basis-enum-of-vec } x :: 'a)) +$ 
?sum
   $= \text{vec-of-basis-enum} (\sum b \in \text{basis-enum-of-vec} \cdot \text{insert } x F. c' b *_C b)$ 
  apply simp apply (rule sym)
  apply (subst sum.insert)
  using <finite F> <xnotin F> dim-vec-of-basis-enum' insert.prem
  vec-of-basis-enum-add c'-def by auto
finally show ?case
  by -
qed

show ?thesis
proof auto
fix x assume  $x \in \text{local.span} (\text{vec-of-basis-enum} ' X)$ 
then obtain c A where  $xA: x = \text{lincomb } c A$  and  $\text{finite } A$  and  $AX: A \subseteq \text{vec-of-basis-enum} ' X$ 
  unfolding span-def by auto
define B::'a set and c' where  $B = \text{basis-enum-of-vec} ' A$ 
  and  $c' b = c (\text{vec-of-basis-enum } b)$  for  $b::'a$ 
note xA
also have  $\text{lincomb } c A = \text{vec-of-basis-enum} (\sum b \in B. c' b *_C b)$ 
  using B-def <finite A> AX c'-def by (rule lincomb-sum)
also have ...  $\in \text{vec-of-basis-enum} ' \text{cspan } X$ 
  unfolding complex-vector.span-explicit
  apply (rule imageI) apply (rule CollectI)
  apply (rule exI) apply (rule exI)
  using <finite A> AX by (auto simp: B-def)
finally show  $x \in \text{vec-of-basis-enum} ' \text{cspan } X$ 
  by -
next
fix x assume  $x \in \text{cspan } X$ 
then obtain c' B where  $x: x = (\sum b \in B. c' b *_C b)$  and  $\text{finite } B$  and  $BX: B \subseteq X$ 
  unfolding complex-vector.span-explicit by auto
define A and c where  $A = \text{vec-of-basis-enum} ' B$ 
  and  $c b = c' (\text{basis-enum-of-vec } b)$  for  $b$ 
have  $\text{vec-of-basis-enum } x = \text{vec-of-basis-enum} (\sum b \in B. c' b *_C b)$ 
  using x by simp
also have ...  $= \text{lincomb } c A$ 
  apply (rule lincomb-sum[symmetric])
  unfolding A-def c-def using BX <finite B>
  by (auto simp: image-image)
also have ...  $\in \text{span} (\text{vec-of-basis-enum} ' X)$ 
  unfolding span-def apply (rule CollectI)

```

```

apply (rule exI, rule exI)
  unfolding A-def using finite BX by auto
  finally show vec-of-basis-enum x ∈ local.span (vec-of-basis-enum ` X)
    by -
qed
qed

lemma (in complex-vec-space) basis-enum-of-vec-span:
  assumes length (canonical-basis :: 'a list) = n
  assumes Y ⊆ carrier-vec n
  shows basis-enum-of-vec ` local.span Y = cspan (basis-enum-of-vec ` Y :: 'a::basis-enum set)
proof -
  define X::'a set where X = basis-enum-of-vec ` Y
  then have cspan (basis-enum-of-vec ` Y :: 'a set) = basis-enum-of-vec ` vec-of-basis-enum ` cspan X
    by (simp add: image-image)
  also have ... = basis-enum-of-vec ` local.span (vec-of-basis-enum ` X)
    apply (subst vec-of-basis-enum-cspan)
    using assms by simp-all
  also have vec-of-basis-enum ` X = Y
    unfolding X-def image-image
    apply (rule image-cong[where g=id and M=Y and N=Y, simplified])
    using assms(1) assms(2) by auto
  finally show ?thesis
    by simp
qed

lemma vec-of-basis-enum-canonical-basis:
  assumes i < length (canonical-basis :: 'a list)
  shows vec-of-basis-enum (canonical-basis!i :: 'a)
    = unit-vec (length (canonical-basis :: 'a::basis-enum list)) i
  by (metis assms basis-enum-of-vec-inverse basis-enum-of-vec-unit-vec index-unit-vec(3))

lemma vec-of-basis-enum-times:
  fixes ψ φ :: 'a::one-dim
  shows vec-of-basis-enum (ψ * φ)
    = vec-of-list [vec-index (vec-of-basis-enum ψ) 0 * vec-index (vec-of-basis-enum φ) 0]
proof -
  have [simp]: ‹crepresentation {1} x 1 = one-dim-iso x› for x :: 'a
    apply (subst one-dim-scaleC-1[where x=x, symmetric])
    apply (subst complex-vector.representation-scale)
    by (auto simp add: complex-vector.span-base complex-vector.representation-basis)
  show ?thesis
    apply (rule eq-vecI)
    by (auto simp: vec-of-basis-enum-def)
qed

```

```

lemma vec-of-basis-enum-to-inverse:
  fixes  $\psi :: 'a::one-dim$ 
  shows vec-of-basis-enum ( $\text{inverse } \psi$ ) = vec-of-list [ $\text{inverse} (\text{vec-index} (\text{vec-of-basis-enum } \psi) 0)$ ]
  proof -
    have [simp]: ‹crepresentation {1} x 1 = one-dim-iso x› for  $x :: 'a$ 
    apply (subst one-dim-scaleC-1[where  $x=x$ , symmetric])
    apply (subst complex-vector.representation-scale)
    by (auto simp add: complex-vector.span-base complex-vector.representation-basis)
    show ?thesis
      apply (rule eq-vecI)
      apply (auto simp: vec-of-basis-enum-def)
      by (metis complex-vector.scale-cancel-right one-dim-inverse one-dim-scaleC-1
            zero-neq-one)
  qed

lemma vec-of-basis-enum-divide:
  fixes  $\psi \varphi :: 'a::one-dim$ 
  shows vec-of-basis-enum ( $\psi / \varphi$ )
    = vec-of-list [ $\text{vec-index} (\text{vec-of-basis-enum } \psi) 0 / \text{vec-index} (\text{vec-of-basis-enum } \varphi) 0$ ]
    by (simp add: divide-inverse vec-of-basis-enum-to-inverse vec-of-basis-enum-times)

lemma vec-of-basis-enum-1: vec-of-basis-enum ( $1 :: 'a::one-dim$ ) = vec-of-list [1]
  proof -
    have [simp]: ‹crepresentation {1} x 1 = one-dim-iso x› for  $x :: 'a$ 
    apply (subst one-dim-scaleC-1[where  $x=x$ , symmetric])
    apply (subst complex-vector.representation-scale)
    by (auto simp add: complex-vector.span-base complex-vector.representation-basis)
    show ?thesis
      apply (rule eq-vecI)
      by (auto simp: vec-of-basis-enum-def)
  qed

lemma vec-of-basis-enum-ell2-component:
  fixes  $\psi :: 'a::enum\ ell2$ 
  assumes [simp]: ‹ $i < \text{CARD}'a)shows ‹vec-of-basis-enum  $\psi \$ i = \text{Rep-ell2 } \psi (\text{Enum.enum ! } i)proof -
    let ?i = ‹Enum.enum ! i›
    have ‹Rep-ell2  $\psi (\text{Enum.enum ! } i) = \text{ket } ?i \cdot_C \psiby (simp add: cinner-ket-left)
    also have ‹... = vec-of-basis-enum  $\psi \cdot c \text{ vec-of-basis-enum} (\text{ket } ?i :: 'a \text{ ell2})by (rule cscalar-prod-vec-of-basis-enum[symmetric])
    also have ‹... = vec-of-basis-enum  $\psi \cdot c \text{ unit-vec} (\text{CARD}'a)) iby (simp add: vec-of-basis-enum-ket enum-idx-enum)
    also have ‹... = vec-of-basis-enum  $\psi \cdot \text{unit-vec} (\text{CARD}'a)) iby (smt (verit, best) assms carrier-vecI conjugate-conjugate-sprod conjugate-id
           conjugate-vec-sprod-comm dim-vec-conjugate eq-vecI index-unit-vec(3) scalar-prod-left-unit)$$$$$$ 
```

```

vec-index-conjugate)
also have ⟨... = vec-of-basis-enum ψ $ i⟩
  by simp
finally show ?thesis
  by simp
qed

```

**lemma** *corthogonal-vec-of-basis-enum*:

```

fixes S :: 'a::onb-enum list
shows corthogonal (map vec-of-basis-enum S) ←→ is-ortho-set (set S) ∧ distinct
S
proof auto
assume assm: ⟨corthogonal (map vec-of-basis-enum S)⟩
then show ⟨is-ortho-set (set S)⟩
  by (smt (verit, ccfv-SIG) cinner-eq-zero-iff corthogonal-def cscalar-prod-vec-of-basis-enum
in-set-conv-nth is-ortho-set-def length-map nth-map)
show ⟨distinct S⟩
  using assm corthogonal-distinct distinct-map by blast
next
assume ⟨is-ortho-set (set S)⟩ and ⟨distinct S⟩
then show ⟨corthogonal (map vec-of-basis-enum S)⟩
  by (smt (verit, ccfv-threshold) cinner-eq-zero-iff corthogonalI cscalar-prod-vec-of-basis-enum
is-ortho-set-def length-map length-map nth-eq-iff-index-eq nth-map nth-map nth-mem
nth-mem)
qed

```

### 16.3 Isomorphism between bounded linear functions and matrices

We define the canonical isomorphism between ' $a \Rightarrow_{CL} b$ ' and the complex  $n * m$ -matrices (where  $n, m$  are the dimensions of ' $a$ ', ' $b$ ', respectively). This is possible if ' $a$ ', ' $b$ ' are of class *basis-enum* since that class fixes a finite canonical basis. Matrices are represented using the *complex mat* type from *Jordan\_Normal\_Form*. (The isomorphism will be called *mat-of-cblinfun* below.)

```

definition mat-of-cblinfun :: ⟨'a:{basis-enum,complex-normed-vector} ⇒_{CL} 'b:{basis-enum,complex-normed-vector} ⇒ complex mat⟩ where
⟨mat-of-cblinfun f =
  mat (length (canonical-basis :: 'b list)) (length (canonical-basis :: 'a list)) (
    λ (i, j). crepresentation (set (canonical-basis::'b list)) (f *V ((canonical-basis::'a
list)!j)) ((canonical-basis::'b list)!i))⟩
  for f

lift-definition cblinfun-of-mat :: ⟨complex mat ⇒ 'a:{basis-enum,complex-normed-vector} ⇒_{CL} 'b:{basis-enum,complex-normed-vector}⟩ is
⟨λM. if M ∈ carrier-mat (length (canonical-basis :: 'b list)) (length (canonical-basis
:: 'a list))⟩

```

```

then  $\lambda v. \text{basis-enum-of-vec } (M *_v \text{vec-of-basis-enum } v)$ 
else  $(\lambda v. 0)$ 
proof (intro bounded-clinear-finite-dim clinearI)
fix  $M :: \text{complex mat}$ 
define  $m$  where  $m = \text{length } (\text{canonical-basis} :: 'b \text{ list})$ 
define  $n$  where  $n = \text{length } (\text{canonical-basis} :: 'a \text{ list})$ 
define  $f :: \text{complex mat} \Rightarrow 'a \Rightarrow 'b$ 
where  $f M = (\text{if } M \in \text{carrier-mat } m \text{ then } \lambda v. \text{basis-enum-of-vec } (M *_v \text{vec-of-basis-enum } (v :: 'a))$ 
else  $(\lambda v. 0)$ )
for  $M :: \text{complex mat}$ 

show add:  $\langle f M (b1 + b2) = f M b1 + f M b2 \rangle$  for  $b1 b2$ 
apply (auto simp: f-def)
by (metis (mono-tags, lifting) carrier-matD(1) carrier-vec-dim-vec dim-mult-mat-vec
dim-vec-of-basis-enum' m-def mult-add-distrib-mat-vec n-def basis-enum-of-vec-add
vec-of-basis-enum-add)

show scale:  $\langle f M (c *_C b) = c *_C f M b \rangle$  for  $c b$ 
apply (auto simp: f-def)
by (metis carrier-matD(1) carrier-vec-dim-vec dim-mult-mat-vec dim-vec-of-basis-enum'
m-def mult-mat-vec n-def basis-enum-of-vec-mult vec-of-basis-enum-scaleC)
qed

lemma cblinfun-of-mat-invalid:
assumes  $\langle M \notin \text{carrier-mat } (\text{canonical-basis-length } \text{TYPE}('b :: \{\text{basis-enum}, \text{complex-normed-vector}\}))$ 
 $(\text{canonical-basis-length } \text{TYPE}('a :: \{\text{basis-enum}, \text{complex-normed-vector}\})) \rangle$ 
shows  $\langle (\text{cblinfun-of-mat } M :: 'a \Rightarrow_{CL} 'b) = 0 \rangle$ 
apply (transfer fixing: M)
using assms by (simp add: canonical-basis-length)

lemma dim-row-mat-of-cblinfun[simp]:  $\langle \text{dim-row } (\text{mat-of-cblinfun } (a :: 'a :: \{\text{basis-enum}, \text{complex-normed-vector}\})$ 
 $\Rightarrow_{CL} 'b :: \{\text{basis-enum}, \text{complex-normed-vector}\}) = \text{canonical-basis-length } \text{TYPE}('b) \rangle$ 
by (simp add: mat-of-cblinfun-def canonical-basis-length)

lemma dim-col-mat-of-cblinfun[simp]:  $\langle \text{dim-col } (\text{mat-of-cblinfun } (a :: 'a :: \{\text{basis-enum}, \text{complex-normed-vector}\})$ 
 $\Rightarrow_{CL} 'b :: \{\text{basis-enum}, \text{complex-normed-vector}\}) = \text{canonical-basis-length } \text{TYPE}('a) \rangle$ 
by (simp add: mat-of-cblinfun-def canonical-basis-length)

lemma mat-of-cblinfun-ell2-carrier[simp]:  $\langle \text{mat-of-cblinfun } (a :: 'a :: \{\text{basis-enum}, \text{complex-normed-vector}\})$ 
 $\Rightarrow_{CL} 'b :: \{\text{basis-enum}, \text{complex-normed-vector}\}) \in \text{carrier-mat } (\text{canonical-basis-length }$ 
 $\text{TYPE}('b)) (\text{canonical-basis-length } \text{TYPE}('a)) \rangle$ 
by (auto intro!: carrier-matI)

lemma basis-enum-of-vec-cblinfun-apply:
fixes  $M :: \text{complex mat}$ 
defines  $nA \equiv \text{length } (\text{canonical-basis} :: 'a :: \{\text{basis-enum}, \text{complex-normed-vector}\}$ 
list)
and  $nB \equiv \text{length } (\text{canonical-basis} :: 'b :: \{\text{basis-enum}, \text{complex-normed-vector}\})$ 

```

```

list)
assumes M ∈ carrier-mat nB nA and dim-vec x = nA
shows basis-enum-of-vec (M *V x) = (cblinfun-of-mat M :: 'a ⇒CL 'b) *V basis-enum-of-vec x
by (metis assms basis-enum-of-vec-inverse cblinfun-of-mat.rep-eq)

lemma mat-of-cblinfun-cblinfun-apply:
⟨vec-of-basis-enum (F *V u) = mat-of-cblinfun F *V vec-of-basis-enum u⟩
for F::'a::{basis-enum,complex-normed-vector} ⇒CL 'b::{basis-enum,complex-normed-vector}
and u::'a
proof (rule eq-vecI)
show ⟨dim-vec (vec-of-basis-enum (F *V u)) = dim-vec (mat-of-cblinfun F *V vec-of-basis-enum u)⟩
by (simp add: dim-vec-of-basis-enum' mat-of-cblinfun-def)
next
fix i
define BasisA where BasisA = (canonical-basis::'a list)
define BasisB where BasisB = (canonical-basis::'b list)
define nA where nA = length (canonical-basis :: 'a list)
define nB where nB = length (canonical-basis :: 'b list)
assume ⟨i < dim-vec (mat-of-cblinfun F *V vec-of-basis-enum u)⟩
then have [simp]: ⟨i < nB⟩
by (simp add: mat-of-cblinfun-def nB-def)
define v where ⟨v = BasisB ! i⟩

have dim-row-F[simp]: ⟨dim-row (mat-of-cblinfun F) = nB⟩
by (simp add: mat-of-cblinfun-def nB-def)
have [simp]: ⟨length BasisB = nB⟩
by (simp add: nB-def BasisB-def)
have [simp]: ⟨length BasisA = nA⟩
using BasisA-def nA-def by auto
have [simp]: ⟨c-independent (set BasisA)⟩
using BasisA-def is-c-independent-set by auto
have [simp]: ⟨c-independent (set BasisB)⟩
using BasisB-def is-c-independent-set by blast
have [simp]: ⟨cspan (set BasisB) = UNIV⟩
by (simp add: BasisB-def is-generator-set)
have [simp]: ⟨cspan (set BasisA) = UNIV⟩
by (simp add: BasisA-def is-generator-set)

have ⟨(mat-of-cblinfun F *V vec-of-basis-enum u) $ i =
(∑ j = 0..<nA. row (mat-of-cblinfun F) i $ j * crepresentation (set BasisA)
u (vec-of-list BasisA $ j))⟩
using dim-row-F by (auto simp: mult-mat-vec-def vec-of-basis-enum-def scalar-prod-def
simp flip: BasisA-def)
also have ⟨... = (∑ j = 0..<nA. crepresentation (set BasisB) (F *V BasisA !
j) v
* crepresentation (set BasisA) u (BasisA ! j))⟩
apply (rule sum.cong[OF refl])

```

```

    by (auto simp: vec-of-list-index mat-of-cblinfun-def scalar-prod-def v-def simp
      flip: BasisA-def BasisB-def)
  also have ... = crepresentation (set BasisB) (F *V u) v (is ⟨(∑ j=-..<-. ?lhs
  v j) = -⟩)
    proof (rule complex-vector.representation-eqI[symmetric, THEN fun-cong])
      show ⟨c-independent (set BasisB)⟩ ⟨F *V u ∈ cspan (set BasisB)⟩
        by simp-all
      show only-basis: ⟨(∑ j = 0..<nA. ?lhs b j) ≠ 0 ⟹ b ∈ set BasisB⟩ for b
        by (metis (mono-tags, lifting) complex-vector.representation-ne-zero mult-not-zero
          sum.not-neutral-contains-not-neutral)
      then show ⟨finite {b. (∑ j = 0..<nA. ?lhs b j) ≠ 0}⟩
        by (smt (z3) List.finite-set finite-subset mem-Collect-eq subsetI)
      have ⟨(∑ b | (∑ j = 0..<nA. ?lhs b j) ≠ 0. (∑ j = 0..<nA. ?lhs b j) *C b) =
        (∑ b ∈ set BasisB. (∑ j = 0..<nA. ?lhs b j) *C b)⟩
        apply (rule sum.mono-neutral-left)
        using only-basis by auto
      also have ... = (∑ b ∈ set BasisB. (∑ a ∈ set BasisA. crepresentation (set
        BasisB) (F *V a) b * crepresentation (set BasisA) u a) *C b)
        apply (subst sum.reindex-bij-betw[where h=⟨nth BasisA, and T=⟨set BasisA⟩⟩])
        apply (metis BasisA-def ⟨length BasisA = nA⟩ atLeast0LessThan bij-betw-nth
          distinct-canonical-basis)
        by simp
      also have ... = (∑ a ∈ set BasisA. crepresentation (set BasisA) u a *C
        (∑ b ∈ set BasisB. crepresentation (set BasisB) (F *V a) b *C b))
        apply (simp add: scaleC-sum-left scaleC-sum-right)
        apply (subst sum.swap)
        by (metis (no-types, lifting) mult.commute sum.cong)
      also have ... = (∑ a ∈ set BasisA. crepresentation (set BasisA) u a *C (F *V
        a))
        apply (subst complex-vector.sum-representation-eq)
        by auto
      also have ... = F *V (∑ a ∈ set BasisA. crepresentation (set BasisA) u a *C
        a)
        by (simp flip: cblinfun.scaleC-right cblinfun.sum-right)
      also have ... = F *V u
        apply (subst complex-vector.sum-representation-eq)
        by auto
      finally show ⟨(∑ b | (∑ j = 0..<nA. ?lhs b j) ≠ 0. (∑ j = 0..<nA. ?lhs b j)
        *C b) = F *V u⟩
        by auto
      qed
    also have ⟨crepresentation (set BasisB) (F *V u) v = vec-of-basis-enum (F *V
      u) $ i⟩
      by (auto simp: vec-of-list-index vec-of-basis-enum-def v-def simp flip: BasisB-def)
    finally show ⟨vec-of-basis-enum (F *V u) $ i = (mat-of-cblinfun F *v vec-of-basis-enum
      u) $ i⟩
      by simp
  qed

```

```

lemma mat-of-cblinfun-inverse:
  cblinfun-of-mat (mat-of-cblinfun B) = B
  for B::'a::{basis-enum,complex-normed-vector} ⇒CL 'b::{basis-enum,complex-normed-vector}
proof (rule cblinfun-eqI)
  fix x :: 'a define y where `y = vec-of-basis-enum x`
  then have `cblinfun-of-mat (mat-of-cblinfun B) *V x = ((cblinfun-of-mat (mat-of-cblinfun
B) :: 'a ⇒CL 'b) *V basis-enum-of-vec y)`
    by simp
  also have `... = basis-enum-of-vec (mat-of-cblinfun B *V vec-of-basis-enum
(basis-enum-of-vec y :: 'a))`
    apply (transfer fixing: B)
    by (simp add: mat-of-cblinfun-def)
  also have `... = basis-enum-of-vec (vec-of-basis-enum (B *V x))`
    by (simp add: mat-of-cblinfun-cblinfun-apply y-def)
  also have `... = B *V x`
    by simp
  finally show `cblinfun-of-mat (mat-of-cblinfun B) *V x = B *V x`
    by –
qed

lemma mat-of-cblinfun-inj: inj mat-of-cblinfun
  by (metis inj-on-def mat-of-cblinfun-inverse)

lemma cblinfun-of-mat-inverse:
  fixes M::complex mat
  defines nA ≡ length (canonical-basis :: 'a::{basis-enum,complex-normed-vector} list)
  and nB ≡ length (canonical-basis :: 'b::{basis-enum,complex-normed-vector} list)
  assumes M ∈ carrier-mat nB nA
  shows mat-of-cblinfun (cblinfun-of-mat M :: 'a ⇒CL 'b) = M
  by (smt (verit) assms(3) basis-enum-of-vec-inverse carrier-matD(1) carrier-vecD
cblinfun-of-mat.rep-eq dim-mult-mat-vec eq-mat-on-vecI mat-carrier mat-of-cblinfun-def
mat-of-cblinfun-cblinfun-apply nA-def nB-def)

lemma cblinfun-of-mat-inj: inj-on (cblinfun-of-mat::complex mat ⇒ 'a ⇒CL 'b)
  (carrier-mat (length (canonical-basis :: 'b::{basis-enum,complex-normed-vector} list)))
  (length (canonical-basis :: 'a::{basis-enum,complex-normed-vector} list)))
  using cblinfun-of-mat-inverse
  by (metis inj-onI)

lemma cblinfun-eq-mat-of-cblinfunI:
  assumes mat-of-cblinfun a = mat-of-cblinfun b
  shows a = b
  by (metis assms mat-of-cblinfun-inverse)

```

## 16.4 Operations on matrices

```

lemma cblinfun-of-mat-plus:
  defines nA ≡ length (canonical-basis :: 'a::{basis-enum,complex-normed-vector} list)
  and nB ≡ length (canonical-basis :: 'b::{basis-enum,complex-normed-vector} list)
  assumes [simp,intro]: M ∈ carrier-mat nB nA and [simp,intro]: N ∈ carrier-mat nB nA
  shows (cblinfun-of-mat (M + N) :: 'a ⇒CL 'b) = ((cblinfun-of-mat M + cblinfun-of-mat N))
  proof –
    have [simp]: ⟨vec-of-basis-enum (v :: 'a) ∈ carrier-vec nA⟩ for v
    by (auto simp add: carrier-dim-vec dim-vec-of-basis-enum' nA-def)
    have [simp]: ⟨dim-row M = nB⟩ ⟨dim-row N = nB⟩
    using carrier-matD(1) by auto
    show ?thesis
      apply (transfer fixing: M N)
      by (auto intro!: ext simp add: add-mult-distrib-mat-vec nA-def[symmetric]
nB-def[symmetric]
          add-mult-distrib-mat-vec[where nr=nB and nc=nA] basis-enum-of-vec-add)
  qed

lemma mat-of-cblinfun-zero:
  mat-of-cblinfun (0 :: ('a::{basis-enum,complex-normed-vector} ⇒CL 'b::{basis-enum,complex-normed-vector}))
= 0m (length (canonical-basis :: 'b list)) (length (canonical-basis :: 'a list))
  unfolding mat-of-cblinfun-def
  by (auto simp: complex-vector.representation-zero)

lemma mat-of-cblinfun-plus:
  mat-of-cblinfun (F + G) = mat-of-cblinfun F + mat-of-cblinfun G
  for F G::'a::{basis-enum,complex-normed-vector} ⇒CL 'b::{basis-enum,complex-normed-vector}
  by (auto simp add: mat-of-cblinfun-def cblinfun.add-left complex-vector.representation-add)

lemma mat-of-cblinfun-id:
  mat-of-cblinfun (id-cblinfun :: ('a::{basis-enum,complex-normed-vector} ⇒CL 'a))
= 1m (length (canonical-basis :: 'a list))
  apply (rule eq-matI)
  by (auto simp: mat-of-cblinfun-def complex-vector.representation-basis is-cindependent-set
nth-eq-iff-index-eq)

lemma mat-of-cblinfun-1:
  mat-of-cblinfun (1 :: ('a::one-dim ⇒CL 'b::one-dim)) = 1m 1
  apply (rule eq-matI)
  by (auto simp: mat-of-cblinfun-def complex-vector.representation-basis nth-eq-iff-index-eq)

lemma mat-of-cblinfun-uminus:
  mat-of-cblinfun (− M) = − mat-of-cblinfun M
  for M::'a::{basis-enum,complex-normed-vector} ⇒CL 'b::{basis-enum,complex-normed-vector}
  proof –

```

```

define nA where nA = length (canonical-basis :: 'a list)
define nB where nB = length (canonical-basis :: 'b list)
have M1: mat-of-cblinfun M ∈ carrier-mat nB nA
  unfolding nB-def nA-def
  by (metis add.right-neutral add-carrier-mat mat-of-cblinfun-plus mat-of-cblinfun-zero
nA-def
  nB-def zero-carrier-mat)
have M2: mat-of-cblinfun (−M) ∈ carrier-mat nB nA
  by (metis add-carrier-mat mat-of-cblinfun-plus mat-of-cblinfun-zero diff-0 nA-def
nB-def
  uminus-add-conv-diff zero-carrier-mat)
have mat-of-cblinfun (M − M) = 0m nB nA
  unfolding nA-def nB-def
  by (simp add: mat-of-cblinfun-zero)
moreover have mat-of-cblinfun (M − M) = mat-of-cblinfun M + mat-of-cblinfun
(− M)
  by (metis mat-of-cblinfun-plus pth-2)
ultimately have mat-of-cblinfun M + mat-of-cblinfun (− M) = 0m nB nA
  by simp
thus ?thesis
  using M1 M2
  by (smt add-uminus-minus-mat assoc-add-mat comm-add-mat left-add-zero-mat
minus-r-inv-mat
  uminus-carrier-mat)
qed

lemma mat-of-cblinfun-minus:
mat-of-cblinfun (M − N) = mat-of-cblinfun M − mat-of-cblinfun N
  for M::'a:{basis-enum,complex-normed-vector} ⇒CL 'b:{basis-enum,complex-normed-vector}
and N::'a ⇒CL 'b
  by (smt (z3) add-uminus-minus-mat mat-of-cblinfun-uminus mat-carrier mat-of-cblinfun-def
mat-of-cblinfun-plus pth-2)

lemma cblinfun-of-mat-uminus:
defines nA ≡ length (canonical-basis :: 'a:{basis-enum,complex-normed-vector} list)
  and nB ≡ length (canonical-basis :: 'b:{basis-enum,complex-normed-vector} list)
assumes M ∈ carrier-mat nB nA
shows (cblinfun-of-mat (−M) :: 'a ⇒CL 'b) = − cblinfun-of-mat M
  by (smt assms add.group-axioms add.right-neutral add-minus-cancel add-uminus-minus-mat
cblinfun-of-mat-plus group.inverse-inverse mat-of-cblinfun-inverse mat-of-cblinfun-zero
minus-r-inv-mat uminus-carrier-mat)

lemma cblinfun-of-mat-minus:
fixes M::complex mat
defines nA ≡ length (canonical-basis :: 'a:{basis-enum,complex-normed-vector} list)
  and nB ≡ length (canonical-basis :: 'b:{basis-enum,complex-normed-vector} list)

```

```

list)
assumes M ∈ carrier-mat nB nA and N ∈ carrier-mat nB nA
shows (cblinfun-of-mat (M − N) :: 'a ⇒CL 'b) = cblinfun-of-mat M − cblin-
fun-of-mat N
by (metis assms add-uminus-minus-mat cblinfun-of-mat-plus cblinfun-of-mat-uminus
pth-2 uminus-carrier-mat)

lemma cblinfun-of-mat-times:
fixes M N ::complex mat
defines nA ≡ length (canonical-basis :: 'a::{basis-enum,complex-normed-vector} list)
and nB ≡ length (canonical-basis :: 'b::{basis-enum,complex-normed-vector} list)
and nC ≡ length (canonical-basis :: 'c::{basis-enum,complex-normed-vector} list)
assumes a1: M ∈ carrier-mat nC nB and a2: N ∈ carrier-mat nB nA
shows cblinfun-of-mat (M * N) = ((cblinfun-of-mat M)::'b ⇒CL 'c) oCL ((cblinfun-of-mat N)::'a ⇒CL 'b)
proof –
have b1: ((cblinfun-of-mat M)::'b ⇒CL 'c) v = basis-enum-of-vec (M *v vec-of-basis-enum v)
for v
by (metis assms(4) cblinfun-of-mat.rep-eq nB-def nC-def)
have b2: ((cblinfun-of-mat N)::'a ⇒CL 'b) v = basis-enum-of-vec (N *v vec-of-basis-enum v)
for v
by (metis assms(5) cblinfun-of-mat.rep-eq nA-def nB-def)
have b3: ((cblinfun-of-mat (M * N))::'a ⇒CL 'c) v
= basis-enum-of-vec ((M * N) *v vec-of-basis-enum v)
for v
by (metis assms(4) assms(5) cblinfun-of-mat.rep-eq mult-carrier-mat nA-def
nC-def)
have (basis-enum-of-vec ((M * N) *v vec-of-basis-enum v)::'c)
= (basis-enum-of-vec (M *v ( vec-of-basis-enum ( (basis-enum-of-vec (N *v
vec-of-basis-enum v))::'b ))))
for v::'a
proof –
have c1: vec-of-basis-enum (basis-enum-of-vec x :: 'b) = x
if dim-vec x = nB
for x::complex vec
using that unfolding nB-def
by simp
have c2: vec-of-basis-enum v ∈ carrier-vec nA
by (metis (mono-tags, opaque-lifting) add.commute carrier-vec-dim-vec in-
dex-add-vec(2)
index-zero-vec(2) nA-def vec-of-basis-enum-add basis-enum-of-vec-inverse)
have (M * N) *v vec-of-basis-enum v = M *v (N *v vec-of-basis-enum v)
using Matrix.assoc-mult-mat-vec a1 a2 c2 by blast
hence (basis-enum-of-vec ((M * N) *v vec-of-basis-enum v)::'c)

```

```

= (basis-enum-of-vec (M *_v (N *_v vec-of-basis-enum v))):'c)
by simp
also have ... =
  (basis-enum-of-vec (M *_v ( vec-of-basis-enum ((basis-enum-of-vec (N *_v
vec-of-basis-enum v))):'b ))))
using c1 a2 by auto
finally show ?thesis by simp
qed
thus ?thesis using b1 b2 b3
  by (simp add: cbilinfun-eqI scaleC-cbilinfun.rep-eq)
qed

lemma cbilinfun-of-mat-adjoint:
defines nA ≡ length (canonical-basis :: 'a::onb-enum list)
  and nB ≡ length (canonical-basis :: 'b::onb-enum list)
fixes M:: complex mat
assumes M ∈ carrier-mat nB nA
shows ((cbilinfun-of-mat (mat-adjoint M)) :: 'b ⇒CL 'a) = (cbilinfun-of-mat M)*
proof (rule adjoint-eqI)
show (cbilinfun-of-mat (mat-adjoint M) *_V x) ∙C y = x ∙C (cbilinfun-of-mat M
*_V y)
for x::'b and y::'a
proof-
define u where u = vec-of-basis-enum x
define v where v = vec-of-basis-enum y
have c1: vec-of-basis-enum ((cbilinfun-of-mat (mat-adjoint M) *_V x))::'a) =
(mat-adjoint M) *_v u
  unfolding u-def
  by (metis (mono-tags, lifting) assms(3) cbilinfun-of-mat-inverse map-carrier-mat
mat-adjoint-def' mat-of-cbilinfun-cbilinfun-apply nA-def nB-def transpose-carrier-mat)
have c2: (vec-of-basis-enum ((cbilinfun-of-mat M *_V y))::'b))
= M *_v v
  by (metis assms(3) cbilinfun-of-mat-inverse mat-of-cbilinfun-cbilinfun-apply
nA-def nB-def v-def)
have c3: dim-vec v = nA
  unfolding v-def nA-def vec-of-basis-enum-def
  by (simp add:)
have c4: dim-vec u = nB
  unfolding u-def nB-def vec-of-basis-enum-def
  by (simp add:)
have v ∙ c ((mat-adjoint M) *_v u) = (M *_v v) ∙ c u
  using c3 c4 cscalar-prod-adjoint assms(3) by blast
hence v ∙ c (vec-of-basis-enum ((cbilinfun-of-mat (mat-adjoint M) *_V x))::'a))
= (vec-of-basis-enum ((cbilinfun-of-mat M *_V y))::'b)) ∙ c u
  using c1 c2 by simp
thus (cbilinfun-of-mat (mat-adjoint M) *_V x) ∙C y = x ∙C (cbilinfun-of-mat M
*_V y)
  unfolding u-def v-def
  by (simp add: cscalar-prod-vec-of-basis-enum)

```

```

qed
qed

lemma mat-of-cblinfun-compose:
  mat-of-cblinfun (F oCL G) = mat-of-cblinfun F * mat-of-cblinfun G
  for F::'b:{basis-enum,complex-normed-vector} ⇒CL 'c:{basis-enum,complex-normed-vector}
  and G::'a:{basis-enum,complex-normed-vector} ⇒CL 'b
  by (smt (verit, del-insts) cblinfun-of-mat-inverse mat-carrier mat-of-cblinfun-def
mat-of-cblinfun-inverse cblinfun-of-mat-times mult-carrier-mat)

lemma mat-of-cblinfun-scaleC:
  mat-of-cblinfun ((a::complex) *C F) = a ·m (mat-of-cblinfun F)
  for F :: 'a:{basis-enum,complex-normed-vector} ⇒CL 'b:{basis-enum,complex-normed-vector}
  by (auto simp add: complex-vector.representation-scale mat-of-cblinfun-def)

lemma mat-of-cblinfun-scaleR:
  mat-of-cblinfun ((a::real) *R F) = (complex-of-real a) ·m (mat-of-cblinfun F)
  unfolding scaleR-scaleC by (rule mat-of-cblinfun-scaleC)

lemma mat-of-cblinfun-adj:
  mat-of-cblinfun (F*) = mat-adjoint (mat-of-cblinfun F)
  for F :: 'a::onb-enum ⇒CL 'b::onb-enum
  by (metis (no-types, lifting) cblinfun-of-mat-inverse map-carrier-mat mat-adjoint-def'
mat-carrier cblinfun-of-mat-adjoint mat-of-cblinfun-def mat-of-cblinfun-inverse transpose-carrier-mat)

lemma mat-of-cblinfun-vector-to-cblinfun:
  mat-of-cblinfun (vector-to-cblinfun ψ)
    = mat-of-cols (length (canonical-basis :: 'a list)) [vec-of-basis-enum ψ]
  for ψ::'a:{basis-enum,complex-normed-vector}
  by (auto simp: mat-of-cols-Cons-index-0 mat-of-cblinfun-def vec-of-basis-enum-def
vec-of-list-index)

lemma mat-of-cblinfun-proj:
  fixes a::'a::onb-enum
  defines d ≡ length (canonical-basis :: 'a list)
  and norm2 ≡ (vec-of-basis-enum a) ·c (vec-of-basis-enum a)
  shows mat-of-cblinfun (proj a) =
    1 / norm2 ·m (mat-of-cols d [vec-of-basis-enum a]
      * mat-of-rows d [conjugate (vec-of-basis-enum a)]) (is ← = ?rhs)
proof (cases a = 0)
  case False
  have norm2: ‹norm2 = (norm a)2›
  by (simp add: cscalar-prod-vec-of-basis-enum norm2-def cdot-square-norm[symmetric,
simplified])
  have [simp]: ‹vec-of-basis-enum a ∈ carrier-vec d›
  by (simp add: carrier-vecI d-def)

  have ‹mat-of-cblinfun (proj a) = mat-of-cblinfun (proj (a /R norm a))›

```

```

by (metis (mono-tags, opaque-lifting) ccspan-singleton-scaleC complex-vector.scale-eq-0-iff
    nonzero-imp-inverse-nonzero norm-eq-zero scaleR-scaleC scale-left-imp-eq)
also have <... = mat-of-cblinfun (selfbutter (a /R norm a))>
  apply (subst butterfly-eq-proj)
  by (auto simp add: False)
also have <... = 1 / norm2 ·m mat-of-cblinfun (selfbutter a)>
  apply (simp add: mat-of-cblinfun-scaleC norm2)
  by (simp add: inverse-eq-divide power2-eq-square)
also have <... = 1 / norm2 ·m (mat-of-cblinfun (vector-to-cblinfun a :: complex ⇒CL 'a) * mat-adjoint (mat-of-cblinfun (vector-to-cblinfun a :: complex ⇒CL 'a)))>
  by (simp add: butterfly-def mat-of-cblinfun-compose mat-of-cblinfun-adj)
also have <... = ?rhs>
  by (simp add: mat-of-cblinfun-vector-to-cblinfun mat-adjoint-def flip: d-def)
finally show ?thesis
  by -
next
  case True
  show ?thesis
    apply (rule eq-matI)
    by (auto simp: True mat-of-cblinfun-zero vec-of-basis-enum-zero scalar-prod-def
      mat-of-rows-index
      simp flip: d-def)
qed

lemma mat-of-cblinfun-ell2-component:
  fixes a :: <'a::enum ell2 ⇒CL 'b::enum ell2>
  assumes [simp]: <i < CARD('b) & j < CARD('a)>
  shows <mat-of-cblinfun a §§ (i,j) = Rep-ell2 (a *V ket (Enum.enum ! j)) (Enum.enum ! i)>
proof -
  let ?i = <Enum.enum ! i> and ?j = <Enum.enum ! j> and ?aj = <a *V ket (Enum.enum ! j)>
  have <Rep-ell2 ?aj (Enum.enum ! i) = vec-of-basis-enum ?aj $ i>
    by (rule vec-of-basis-enum-ell2-component[symmetric], simp)
  also have <... = (mat-of-cblinfun a *v vec-of-basis-enum (ket (enum-class.enum ! j) :: 'a ell2)) $ i>
    by (simp add: mat-of-cblinfun-cblinfun-apply)
  also have <... = (mat-of-cblinfun a *v unit-vec CARD('a) j) $ i>
    by (simp add: vec-of-basis-enum-ket enum-idx-enum)
  also have <... = mat-of-cblinfun a §§ (i, j)>
    apply (subst mat-entry-explicit[where m=<CARD('b)>])
    by (auto intro!: simp: canonical-basis-length)
  finally show ?thesis
    by auto
qed

lemma cblinfun-of-mat-mat:
  shows <cblinfun-of-mat (mat (CARD('b)) (CARD('a)) f) = explicit-cblinfun

```

```


$$(\lambda(r::'b::enum) (c::'a::enum). f (enum-idx r, enum-idx c)) \cdot$$

apply (intro equal-ket basis-enum-eq-vec-of-basis-enumI)
by (auto intro!: eq-vecI simp: enum-idx-correct enum-idx-enum vec-of-basis-enum-ket
      mat-of-cblinfun-ell2-component canonical-basis-length cblinfun-of-mat-inverse
      index-row
      mat-of-cblinfun-cblinfun-apply)

lemma mat-of-cblinfun-explicit-cblinfun:
  fixes f :: ''a::enum ⇒ 'b::enum ⇒ complex'
  shows mat-of-cblinfun (explicit-cblinfun f) = mat (CARD('a)) (CARD('b))
  ( $\lambda(r,c). f (\text{Enum.enum!}r) (\text{Enum.enum!}c))$ )
  by (auto intro!: cblinfun-of-mat-inj[where 'a='b ell2] and 'b='a ell2, THEN
  inj-onD]
  simp: cblinfun-of-mat-mat canonical-basis-length enum-idx-correct mat-of-cblinfun-inverse)

lemma mat-of-cblinfun-classical-operator:
  fixes f::'a::enum ⇒ 'b::enum option'
  shows mat-of-cblinfun (classical-operator f) = mat (CARD('b)) (CARD('a))
  ( $\lambda(r,c). \text{if } f (\text{Enum.enum!}c) = \text{Some } (\text{Enum.enum!}r) \text{ then } 1 \text{ else } 0$ )
proof –
  define nA where nA = CARD('a)
  define nB where nB = CARD('b)
  define BasisA where BasisA = (canonical-basis:'a ell2 list)
  define BasisB where BasisB = (canonical-basis:'b ell2 list)
  have mat-of-cblinfun (classical-operator f) ∈ carrier-mat nB nA
    by (auto simp: canonical-basis-length nA-def nB-def)
  moreover have nA = CARD ('a)
    unfolding nA-def
    by (simp add:)
  moreover have nB = CARD ('b)
    unfolding nB-def
    by (simp add:)
  ultimately have mat-of-cblinfun (classical-operator f) ∈ carrier-mat (CARD('b))
  (CARD('a))
    unfolding nA-def nB-def
    by simp
  moreover have (mat-of-cblinfun (classical-operator f))$$(r,c)
  = (mat (CARD('b)) (CARD('a))
  ( $\lambda(r,c). \text{if } f (\text{Enum.enum!}c) = \text{Some } (\text{Enum.enum!}r) \text{ then } 1 \text{ else } 0$ ))$$(r,c)
  if a1: r < CARD('b) and a2: c < CARD('a)
  for r c
proof–
  have CARD('a) = length (enum-class.enum:'a list)
    using card-UNIV-length-enum[where 'a = 'a].
  hence x1: BasisA!c = ket ((Enum.enum:'a list)!c)
    unfolding BasisA-def using a2 canonical-basis-ell2-def
    nth-map[where n = c and xs = Enum.enum:'a list and f = ket] by metis
  have cardb: CARD('b) = length (enum-class.enum:'b list)
    using card-UNIV-length-enum[where 'a = 'b].

```

```

hence  $x2: BasisB!r = \text{ket}((\text{Enum.enum}'b \text{ list})!r)$ 
  unfolding BasisB-def using a1 canonical-basis-ell2-def
  nth-map[where  $n = r$  and  $xs = \text{Enum.enum}'b \text{ list}$  and  $f = \text{ket}$ ] by metis
  have inj (map (ket:'b  $\Rightarrow$ -))
    by (meson injI ket-injective list.inj-map)
  hence length (Enum.enum:'b list) = length (map (ket:'b  $\Rightarrow$ -) (Enum.enum:'b list))
    by simp
  hence lengthBasisB:  $CARD('b) = \text{length BasisB}$ 
    unfolding BasisB-def canonical-basis-ell2-def using cardb
    by smt
  have v1: (mat-of-cblinfun (classical-operator f))$$(r,c) = 0
    if c1:  $f(\text{Enum.enum}!c) = \text{None}$ 
  proof-
    have (classical-operator f) *V ket (Enum.enum!c)
      = (case f (Enum.enum!c) of None  $\Rightarrow 0$  | Some i  $\Rightarrow \text{ket } i$ )
      using classical-operator-ket-finite .
    also have ... = 0
      using c1 by simp
    finally have (classical-operator f) *V ket (Enum.enum!c) = 0 .
    hence *: (classical-operator f) *V BasisA!c = 0
      using x1 by simp
    hence is-orthogonal (BasisB!r) (classical-operator f *V BasisA!c)
      by simp
    thus ?thesis
      unfolding mat-of-cblinfun-def BasisA-def BasisB-def
      by (smt (verit, del-insts) BasisA-def * a1 a2 canonical-basis-length-ell2
complex-vector.representation-zero index-mat(1) old.prod.case)
  qed
  have v2: (mat-of-cblinfun (classical-operator f))$$(r,c) = 0
    if c1:  $f(\text{Enum.enum}!c) = \text{Some } (\text{Enum.enum}!r')$  and c2:  $r \neq r'$ 
      and c3:  $r' < CARD('b)$ 
    for r'
  proof-
    have x3:  $BasisB!r' = \text{ket}((\text{Enum.enum}'b \text{ list})!r')$ 
      unfolding BasisB-def using cardb c3 canonical-basis-ell2-def
      nth-map[where  $n = r'$  and  $xs = \text{Enum.enum}'b \text{ list}$  and  $f = \text{ket}$ ]
      by smt
    have distinct BasisB
      unfolding BasisB-def
      by simp
    moreover have  $r < \text{length BasisB}$ 
      using a1 lengthBasisB by simp
    moreover have  $r' < \text{length BasisB}$ 
      using c3 lengthBasisB by simp
    ultimately have h1:  $BasisB!r \neq BasisB!r'$ 
      using nth-eq-iff-index-eq[where  $xs = \text{BasisB}$  and  $i = r$  and  $j = r'$ ] c2
      by blast
    have (classical-operator f) *V ket (Enum.enum!c)

```

```

= (case f (Enum.enum!c) of None => 0 | Some i => ket i)
  using classical-operator-ket-finite .
also have ... = ket (Enum.enum!r')
  using c1 by simp
finally have (classical-operator f) *V ket (Enum.enum!c) = ket (Enum.enum!r')

hence *: (classical-operator f) *V BasisA!c = BasisB!r'
  using x1 x3 by simp
moreover have is-orthogonal (BasisB!r) (BasisB!r')
  using h1
  using BasisB-def <r < length BasisB> <r' < length BasisB> is-ortho-set-def
is-orthonormal nth-mem
  by metis
ultimately have is-orthogonal (BasisB!r) (classical-operator f *V BasisA!c)
  by simp
thus ?thesis
  unfolding mat-of-cblinfun-def BasisA-def BasisB-def
  by (smt (z3) BasisA-def BasisB-def * <r < length BasisB> <r' < length Ba-
sisB> a2 canonical-basis-length-ell2 case-prod-conv complex-vector.representation-basis
h1 index-mat(1) is-c-independent-set nth-mem)
qed
have (mat-of-cblinfun (classical-operator f))$(r,c) = 0
  if b1: f (Enum.enum!c) ≠ Some (Enum.enum!r)
proof (cases f (Enum.enum!c) = None)
  case True thus ?thesis using v1 by blast
next
  case False
  hence ∃ R. f (Enum.enum!c) = Some R
    apply (induction f (Enum.enum!c))
    apply simp
    by simp
  then obtain R where R0: f (Enum.enum!c) = Some R
    by blast
  have R ∈ set (Enum.enum::'b list)
    using UNIV-enum by blast
  hence ∃ r'. R = (Enum.enum::'b list)!r' ∧ r' < length (Enum.enum::'b list)
    by (metis in-set-conv-nth)
  then obtain r' where u1: R = (Enum.enum::'b list)!r'
    and u2: r' < length (Enum.enum::'b list)
    by blast
  have R1: f (Enum.enum!c) = Some (Enum.enum!r')
    using R0 u1 by blast
  have Some ((Enum.enum::'b list)!r') ≠ Some ((Enum.enum::'b list)!r)
  proof(rule classical)
    assume ¬(Some ((Enum.enum::'b list)!r') ≠ Some ((Enum.enum::'b list)!r))
    hence Some ((Enum.enum::'b list)!r') = Some ((Enum.enum::'b list)!r)
      by blast
    hence f (Enum.enum!c) = Some ((Enum.enum::'b list)!r)
      using R1 by auto
  
```

```

thus ?thesis
  using b1 by blast
qed
hence ((Enum.enum::'b list)!r') ≠ ((Enum.enum::'b list)!r)
  by simp
hence r' ≠ r
  by blast
moreover have r' < CARD('b)
  using u2 cardb by simp
ultimately show ?thesis using R1 v2[where r' = r'] by blast
qed
moreover have (mat-of-cblinfun (classical-operator f))$(r,c) = 1
  if b1: f (Enum.enum!c) = Some (Enum.enum!r)
proof-
  have CARD('b) = length (enum-class.enum::'b list)
    using card-UNIV-length-enum[where 'a = 'b].
  hence length (map (ket::'b⇒-) enum-class.enum) = CARD('b)
    by simp
  hence w0: map (ket::'b⇒-) enum-class.enum ! r = ket (enum-class.enum !
    r)
    by (simp add: a1)
  have CARD('a) = length (enum-class.enum::'a list)
    using card-UNIV-length-enum[where 'a = 'a].
  hence length (map (ket::'a⇒-) enum-class.enum) = CARD('a)
    by simp
  hence map (ket::'a⇒-) enum-class.enum ! c = ket (enum-class.enum ! c)
    by (simp add: a2)
  hence (classical-operator f) *V (BasisA!c) = (classical-operator f) *V (ket
    (Enum.enum!c))
    unfolding BasisA-def canonical-basis-ell2-def by simp
  also have ... = (case f (enum-class.enum ! c) of None ⇒ 0 | Some x ⇒ ket
    x)
    by (rule classical-operator-ket-finite)
  also have ... = BasisB!r
    using w0 b1 by (simp add: BasisB-def canonical-basis-ell2-def)
  finally have w1: (classical-operator f) *V (BasisA!c) = BasisB!r
    by simp
  have (mat-of-cblinfun (classical-operator f))$(r,c)
    = (BasisB!r) ·C (classical-operator f *V (BasisA!c))
    unfolding BasisB-def BasisA-def mat-of-cblinfun-def
    using ⟨nA = CARD('a)⟩ ⟨nB = CARD('b)⟩ a1 a2 nA-def nB-def apply
auto
by (metis BasisA-def BasisB-def canonical-basis-length-ell2 cinner-canonical-basis
complex-vector.representation-basis is-cindependent-set nth-mem w1)
also have ... = (BasisB!r) ·C (BasisB!r)
  using w1 by simp
also have ... = 1
  unfolding BasisB-def
  using ⟨nB = CARD('b)⟩ a1 nB-def

```

```

    by (simp add: cinner-canonical-basis)
  finally show ?thesis by blast
qed
ultimately show ?thesis
  by (simp add: a1 a2)
qed
ultimately show ?thesis
  apply (rule-tac eq-matI) by auto
qed

lemma mat-of-cblinfun-sandwich:
fixes a :: (-::onb-enum, -::onb-enum) cblinfun
shows <mat-of-cblinfun (sandwich a *V b) = (let a' = mat-of-cblinfun a in a' * mat-of-cblinfun b * mat-adjoint a')>
  by (simp add: mat-of-cblinfun-compose sandwich-apply Let-def mat-of-cblinfun-adj)

lemma mat-of-cblinfun-one-dim-iso:
<mat-of-cblinfun (one-dim-iso f :: 'a::one-dim⇒CL 'b::one-dim) = mat-of-rows-list 1 [[one-dim-iso f]]>
proof -
  define c :: complex where <c = one-dim-iso f>
  have <mat-of-cblinfun (one-dim-iso f :: 'a⇒CL 'b) = mat-of-cblinfun (c *C 1 :: 'a⇒CL 'b)>
    by (simp add: c-def)
  also have <... = smult-mat c (mat-of-cblinfun (1 :: 'a⇒CL 'b))>
    using mat-of-cblinfun-scaleC by blast
  also have <... = smult-mat c (1m 1)>
    by (simp add: mat-of-cblinfun-1)
  also have <... = mat-of-rows-list 1 [[c]]>
    by (auto simp: mat-of-rows-list-def)
  finally show ?thesis
    by (simp add: c-def)
qed

lemma mat-of-cblinfun-times:
fixes F G :: <'a::one-dim ⇒CL 'b::one-dim>
shows <mat-of-cblinfun (F * G) = mat-of-rows-list 1 [[(one-dim-iso F) * (one-dim-iso G)]]>
proof -
  have <mat-of-cblinfun (F * G) = mat-of-cblinfun (one-dim-iso (one-dim-iso F * one-dim-iso G :: complex) :: 'a⇒CL 'b)>
    by (metis id-apply mat-of-cblinfun-one-dim-iso one-dim-iso-id one-dim-iso-times)
  also have <... = mat-of-rows-list 1 [[one-dim-iso (one-dim-iso F * one-dim-iso G :: complex)]]>
    using mat-of-cblinfun-one-dim-iso by blast
  also have <... = mat-of-rows-list 1 [[one-dim-iso F * one-dim-iso G :: complex]]>
    by (auto simp: mat-of-rows-list-def)
qed

```

```

    by simp
finally show ?thesis
  by -
qed

```

## 16.5 Operations on subspaces

```

lemma ccspace-gram-schmidt0-invariant:
defines basis-enum ≡ (basis-enum-of-vec :: - ⇒ 'a::{basis-enum,complex-normed-vector})
defines n ≡ length (canonical-basis :: 'a list)
assumes set ws ⊆ carrier-vec n
shows ccspace (set (map basis-enum (gram-schmidt0 n ws))) = ccspace (set (map
basis-enum ws))
proof (transfer fixing: n ws basis-enum)
interpret complex-vec-space.
define gs where gs = gram-schmidt0 n ws
have closure (ccspan (set (map basis-enum gs)))
  = cspan (set (map basis-enum gs))
apply (rule closure-finite-ccspan)
by simp
also have ... = cspan (basis-enum ` set gs)
by simp
also have ... = basis-enum ` span (set gs)
unfolding basis-enum-def
apply (rule basis-enum-of-vec-span[symmetric])
using n-def apply simp
by (simp add: assms(3) cof-vec-space.gram-schmidt0-result(1) gs-def)
also have ... = basis-enum ` span (set ws)
unfolding gs-def
apply (subst gram-schmidt0-result(4)[where ws=ws, symmetric])
using assms by auto
also have ... = cspan (basis-enum ` set ws)
unfolding basis-enum-def
apply (rule basis-enum-of-vec-span)
using n-def apply simp
by (simp add: assms(3))
also have ... = cspan (set (map basis-enum ws))
by simp
also have ... = closure (ccspan (set (map basis-enum ws)))
apply (rule closure-finite-ccspan[symmetric])
by simp
finally show closure (ccspan (set (map basis-enum gs)))
  = closure (ccspan (set (map basis-enum ws))).
```

qed

```

definition is-subspace-of-vec-list n vs ws =
(let ws' = gram-schmidt0 n ws in
  ∀ v∈set vs. adjuster n v ws' = - v)

```

```

lemma ccspan-leq-using-vec:
  fixes A B :: 'a::{basis-enum,complex-normed-vector} list'
  shows «(ccspan (set A) ≤ ccspan (set B)) ↔
    is-subspace-of-vec-list (length (canonical-basis :: 'a list))
    (map vec-of-basis-enum A) (map vec-of-basis-enum B)»
proof -
  define d Av Bv Bo
  where d = length (canonical-basis :: 'a list)
  and Av = map vec-of-basis-enum A
  and Bv = map vec-of-basis-enum B
  and Bo = gram-schmidt0 d Bv
  interpret complex-vec-space d.

  have Av-carrier: set Av ⊆ carrier-vec d
  unfolding Av-def apply auto
  by (simp add: carrier-vecI d-def dim-vec-of-basis-enum')
  have Bv-carrier: set Bv ⊆ carrier-vec d
  unfolding Bv-def apply auto
  by (simp add: carrier-vecI d-def dim-vec-of-basis-enum')
  have Bo-carrier: set Bo ⊆ carrier-vec d
  apply (simp add: Bo-def)
  using Bv-carrier by (rule gram-schmidt0-result(1))
  have orth-Bo: orthogonal Bo
  apply (simp add: Bo-def)
  using Bv-carrier by (rule gram-schmidt0-result(3))
  have distinct-Bo: distinct Bo
  apply (simp add: Bo-def)
  using Bv-carrier by (rule gram-schmidt0-result(2))

  have ccspan (set A) ≤ ccspan (set B) ↔ cspan (set A) ⊆ cspan (set B)
  apply (transfer fixing: A B)
  apply (subst closure-finite-cspan, simp)
  by (subst closure-finite-cspan, simp-all)
  also have ... ↔ span (set Av) ⊆ span (set Bv)
  apply (simp add: Av-def Bv-def)
  apply (subst vec-of-basis-enum-cspan[symmetric], simp add: d-def)
  apply (subst vec-of-basis-enum-cspan[symmetric], simp add: d-def)
  by (metis inj-image-subset-iff inj-on-def vec-of-basis-enum-inverse)
  also have ... ↔ span (set Av) ⊆ span (set Bo)
  unfolding Bo-def Av-def Bv-def
  apply (subst gram-schmidt0-result(4)[symmetric])
  by (simp-all add: carrier-dim-vec d-def dim-vec-of-basis-enum' image-subset-iff)
  also have ... ↔ (∀ v∈set Av. adjuster d v Bo = - v)
  proof (intro iffI ballI)
    fix v assume v ∈ set Av and span (set Av) ⊆ span (set Bo)
    then have v ∈ span (set Bo)
      using Av-carrier span-mem by auto
    have adjuster d v Bo + v = 0_v d
      apply (rule adjuster-already-in-span)

```

```

using Av-carrier  $\langle v \in \text{set } Av \rangle$  Bo-carrier orth-Bo
 $\langle v \in \text{span}(\text{set } Bo) \rangle$  by simp-all
then show adjuster d v Bo = - v
using Av-carrier Bo-carrier  $\langle v \in \text{set } Av \rangle$ 
by (metis (no-types, lifting) add.inv-equality adjuster-carrier' local.vec-neg
subsetD)
next
fix v
assume adj-minusv:  $\forall v \in \text{set } Av. \text{adjuster } d v \text{ Bo} = - v$ 
have *:  $\text{adjuster } d v \text{ Bo} \in \text{span}(\text{set } Bo)$  if  $v \in \text{set } Av$  for v
apply (rule adjuster-in-span)
using Bo-carrier that Av-carrier distinct-Bo by auto
have  $v \in \text{span}(\text{set } Bo)$  if  $v \in \text{set } Av$  for v
using *[OF that] adj-minusv[rule-format, OF that]
apply auto
by (metis (no-types, lifting) Av-carrier Bo-carrier adjust-nonzero distinct-Bo
subsetD that uminus-l-inv-vec)
then show  $\text{span}(\text{set } Av) \subseteq \text{span}(\text{set } Bo)$ 
apply auto
by (meson Bo-carrier in-mono span-subsetI subsetI)
qed
also have ... = is-subspace-of-vec-list d Av Bv
by (simp add: is-subspace-of-vec-list-def d-def Bo-def)
finally show cspan (set A)  $\leq$  cspan (set B)  $\longleftrightarrow$  is-subspace-of-vec-list d Av
Bv
by simp
qed

```

**lemma** cbilfun-image-ccspan-using-vec:

$$A *_S \text{ccspan}(\text{set } S) = \text{ccspan}(\text{basis-enum-of-vec} \cdot \text{set}(\text{map}((*_v)(\text{mat-of-cbilfun } A))(\text{map vec-of-basis-enum } S)))$$
**apply** (auto simp: cbilfun-image-ccspan image-image)
**by** (metis mat-of-cbilfun-cbilfun-apply vec-of-basis-enum-inverse)

*mk-projector-orthog d L* takes a list L of d-dimensional vectors and returns the projector onto the span of L. (Assuming that all vectors in L are orthogonal and nonzero.)

```

fun mk-projector-orthog :: nat  $\Rightarrow$  complex vec list  $\Rightarrow$  complex mat where
  mk-projector-orthog d [] = zero-mat d d
  | mk-projector-orthog d [v] = (let norm2 = cscalar-prod v v in
    smult-mat (1/norm2) (mat-of-cols d [v] * mat-of-rows d
    [conjugate v]))
  | mk-projector-orthog d (v#vs) = (let norm2 = cscalar-prod v v in
    smult-mat (1/norm2) (mat-of-cols d [v] * mat-of-rows
    d [conjugate v])
    + mk-projector-orthog d vs)

```

**lemma** mk-projector-orthog-correct:

**fixes** S :: 'a::onb-enum list

```

defines d ≡ length (canonical-basis :: 'a list)
assumes ortho: is-ortho-set (set S) and distinct: distinct S
shows mk-projector-orthog d (map vec-of-basis-enum S)
  = mat-of-cblinfun (Proj (ccspan (set S)))
proof -
  define Snorm where Snorm = map (λs. s /R norm s) S
  have distinct Snorm
  proof (insert ortho distinct, unfold Snorm-def, induction S)
    case Nil
    show ?case by simp
  next
    case (Cons s S)
    then have is-ortho-set (set S) and distinct S
      unfolding is-ortho-set-def by auto
    note IH = Cons.IH[OF this]
    have s /R norm s ∉ (λs. s /R norm s) ` set S
    proof auto
      fix s' assume s' ∈ set S and same: s /R norm s = s' /R norm s'
      with Cons.preds have s ≠ s' by auto
      have s ≠ 0
        by (metis Cons.preds(1) is-ortho-set-def list.set-intros(1))
      then have 0 ≠ (s /R norm s) •C (s /R norm s)
        by simp
      also have ⟨(s /R norm s) •C (s /R norm s) = (s /R norm s) •C (s' /R norm s')⟩
        by (simp add: same)
      also have ⟨(s /R norm s) •C (s' /R norm s') = (s •C s') / (norm s * norm s')⟩
        by (simp add: scaleR-scaleC divide-inverse-commute)
      also from ⟨s' ∈ set S⟩ ⟨s ≠ s'⟩ have ... = 0
        using Cons.preds unfolding is-ortho-set-def by simp
      finally show False
        by simp
    qed
    then show ?case
      using IH by simp
  qed

  have norm-Snorm: norm s = 1 if s ∈ set Snorm for s
    using that ortho unfolding Snorm-def is-ortho-set-def apply auto
    by (metis left-inverse norm-eq-zero)

  have ortho-Snorm: is-ortho-set (set Snorm)
    unfolding is-ortho-set-def
    proof (intro conjI ballI impI)
      fix x y
      show 0 ∉ set Snorm
        using norm-Snorm[of 0] by auto
    qed
  
```

```

assume  $x \in \text{set Snorm}$  and  $y \in \text{set Snorm}$  and  $x \neq y$ 
from  $\langle x \in \text{set Snorm} \rangle$ 
obtain  $x'$  where  $x: x = x' /_R \text{norm } x'$  and  $x': x' \in \text{set } S$ 
  unfolding Snorm-def by auto
from  $\langle y \in \text{set Snorm} \rangle$ 
obtain  $y'$  where  $y: y = y' /_R \text{norm } y'$  and  $y': y' \in \text{set } S$ 
  unfolding Snorm-def by auto
from  $\langle x \neq y \rangle$   $x y$  have  $\langle x' \neq y' \rangle$  by auto
with  $x' y'$  ortho have cinner  $x' y' = 0$ 
  unfolding is-ortho-set-def by auto
then show cinner  $x y = 0$ 
  unfolding  $x y$  scaleR-scaleC by auto
qed

have inj-butte: inj-on selfbutte (set Snorm)
proof (rule inj-onI)
fix  $x y$ 
assume  $x \in \text{set Snorm}$  and  $y \in \text{set Snorm}$ 
assume selfbutte  $x = \text{selfbutte } y$ 
then obtain  $c$  where  $xcy: x = c *_C y$  and  $cmod c = 1$ 
  using inj-selfbutte-up-to-phase by auto
have  $0 \neq cmod (c \text{inner } x x)$ 
  using  $\langle x \in \text{set Snorm} \rangle$  norm-Snorm
  by force
also have  $cmod (c \text{inner } x x) = cmod (c * (x \cdot_C y))$ 
  apply (subst (2) xcy) by simp
also have ... =  $cmod (x \cdot_C y)$ 
  using  $\langle cmod c = 1 \rangle$  by (simp add: norm-mult)
finally have  $(x \cdot_C y) \neq 0$ 
  by simp
then show  $x = y$ 
  using ortho-Snorm  $\langle x \in \text{set Snorm} \rangle$   $\langle y \in \text{set Snorm} \rangle$ 
  unfolding is-ortho-set-def by auto
qed

from <distinct Snorm> inj-butte
have distinct': distinct (map selfbutte Snorm)
  unfolding distinct-map by simp

have Span-Snorm: ccspan (set Snorm) = ccspan (set S)
  apply (transfer fixing: Snorm S)
  apply (simp add: scaleR-scaleC Snorm-def)
  apply (subst complex-vector.span-image-scale)
  using is-ortho-set-def ortho by fastforce+

have mk-projector-orthog d (map vec-of-basis-enum S)
  = mat-of-cblinfun (sum-list (map selfbutte Snorm))
  unfolding Snorm-def
proof (induction S)

```

```

case Nil
show ?case
  by (simp add: d-def mat-of-cblinfun-zero)
next
  case (Cons a S)
  define sumS where sumS = sum-list (map selfbutter (map (λs. s /R norm s) S))
  with Cons have IH: mk-projector-orthog d (map vec-of-basis-enum S)
    = mat-of-cblinfun sumS
  by simp

define factor where factor = inverse ((complex-of-real (norm a))2)
have factor': factor = 1 / (vec-of-basis-enum a • c vec-of-basis-enum a)
  unfolding factor-def cscalar-prod-vec-of-basis-enum
  by (simp add: inverse-eq-divide power2-norm-eq-cinner)

have mk-projector-orthog d (map vec-of-basis-enum (a # S))
  = factor •m (mat-of-cols d [vec-of-basis-enum a]
    * mat-of-rows d [conjugate (vec-of-basis-enum a)])
  + mat-of-cblinfun sumS
apply (cases S)
  apply (auto simp add: factor' sumS-def d-def mat-of-cblinfun-zero)[1]
  by (auto simp add: IH[symmetric] factor' d-def)

also have ... = factor •m (mat-of-cols d [vec-of-basis-enum a] *
  mat-adjoint (mat-of-cols d [vec-of-basis-enum a])) + mat-of-cblinfun sumS
  apply (rule arg-cong[where f=λx. - •m (- * x) + -])
  apply (rule mat-eq-iff[THEN iffD2])
  apply (auto simp add: mat-adjoint-def)
  apply (subst mat-of-rows-index) apply auto
  apply (subst mat-of-rows-index) apply auto
  apply (subst mat-of-cols-index) apply auto
  by (simp add: assms(1) dim-vec-of-basis-enum')
also have ... = mat-of-cblinfun (selfbutter (a /R norm a)) + mat-of-cblinfun
sumS
  apply (simp add: butterfly-scaleR-left butterfly-scaleR-right power-inverse
mat-of-cblinfun-scaleR factor-def)
  apply (simp add: butterfly-def mat-of-cblinfun-compose
    mat-of-cblinfun-adj mat-of-cblinfun-vector-to-cblinfun d-def)
  by (simp add: mat-of-cblinfun-compose mat-of-cblinfun-adj mat-of-cblinfun-vector-to-cblinfun
mat-of-cblinfun-scaleC power2-eq-square)
finally show ?case
  by (simp add: mat-of-cblinfun-plus sumS-def)
qed
also have ... = mat-of-cblinfun (Σ s∈set Snorm. selfbutter s)
  by (metis distinct' distinct-map sum.distinct-set-conv-list)
also have ... = mat-of-cblinfun (Σ s∈set Snorm. proj s)
  apply (rule arg-cong[where f=mat-of-cblinfun])
  apply (rule sum.cong[OF refl])

```

```

apply (rule butterfly-eq-proj)
using norm-Snorm by simp
also have ... = mat-of-cblinfun (Proj (ccspan (set Snorm)))
apply (rule arg-cong[where f=mat-of-cblinfun])
using ortho-Snorm ⟨distinct Snorm⟩ apply (induction Snorm)
apply auto
apply (subst Proj-orthog-ccspan-insert[where Y=⟨set -⟩])
by (auto simp: is-ortho-set-def)
also have ... = mat-of-cblinfun (Proj (ccspan (set S)))
unfolding Span-Snorm by simp
finally show ?thesis
by -
qed

definition ⟨mk-projector d vs = mk-projector-orthog d (gram-schmidt0 d vs)⟩

lemma mat-of-cblinfun-Proj-ccspan:
fixes S :: ⟨'a::onb-enum list⟩
shows ⟨mat-of-cblinfun (Proj (ccspan (set S))) = mk-projector (length (canonical-basis
:: 'a list)) (map vec-of-basis-enum S)⟩
proof-
define d gs
where d = length (canonical-basis :: 'a list)
and gs = gram-schmidt0 d (map vec-of-basis-enum S)
interpret complex-vec-space d.
have gs-dim: x ∈ set gs ⟹ dim-vec x = d for x
by (smt carrier-vecD carrier-vec-dim-vec d-def dim-vec-of-basis-enum' ex-map-conv
gram-schmidt0-result(1) gs-def subset-code(1))
have ortho-gs: is-ortho-set (set (map basis-enum-of-vec gs :: 'a list))
apply (subst corthogonal-vec-of-basis-enum[THEN iffD1], auto)
by (smt carrier-dim-vec cof-vec-space.gram-schmidt0-result(1) d-def dim-vec-of-basis-enum'
gram-schmidt0-result(3) gs-def imageE map-idI map-map o-apply set-map subset-code(1)
basis-enum-of-vec-inverse)
have distinct-gs: distinct (map basis-enum-of-vec gs :: 'a list)
by (metis (mono-tags, opaque-lifting) carrier-vec-dim-vec cof-vec-space.gram-schmidt0-result(2)
d-def dim-vec-of-basis-enum' distinct-map gs-def gs-dim image-iff inj-on-inverseI
set-map subsetI basis-enum-of-vec-inverse)

have mk-projector-orthog d gs
= mk-projector-orthog d (map vec-of-basis-enum (map basis-enum-of-vec gs :: 'a list))
apply simp
apply (subst map-cong[where ys=gs and g=id], simp)
using gs-dim by (auto intro!: vec-of-basis-enum-inverse simp: d-def)
also have ... = mat-of-cblinfun (Proj (ccspan (set (map basis-enum-of-vec gs :: 'a list)))))
unfolding d-def
apply (subst mk-projector-orthog-correct)
using ortho-gs distinct-gs by auto

```

```

also have ... = mat-of-cblinfun (Proj (ccspan (set S)))
  apply (rule arg-cong[where f=λx. mat-of-cblinfun (Proj x)])
  unfolding gs-def d-def
  apply (subst ccspan-gram-schmidt0-invariant)
  by (auto simp add: carrier-vecI dim-vec-of-basis-enum')
finally show ?thesis
  by (simp add: d-def gs-def mk-projector-def)
qed

unbundle no jnf-syntax and no cblinfun-syntax
end

```

## 17 Cblinfun-Code – Support for code generation

This theory provides support for code generation involving on complex vector spaces and bounded operators (e.g., types *cblinfun* and *ell2*). To fully support code generation, in addition to importing this theory, one need to activate support for code generation (import theory *Jordan-Normal-Form.Matrix-Impl*) and for real and complex numbers (import theory *Real-Impl.Real-Impl* for support of reals of the form  $a + b * \sqrt{c}$  or *Algebraic-Numbers.Real-Factorization* (much slower) for support of algebraic reals; support of complex numbers comes "for free").

The builtin support for real and complex numbers (in *Complex-Main*) is not sufficient because it does not support the computation of square-roots which are used in the setup below.

It is also recommended to import *HOL-Library.Code-Target-Numerical* for faster support of nats and integers.

```

theory Cblinfun-Code
imports
  Cblinfun-Matrix Containers.Set-Impl Jordan-Normal-Form.Matrix-Kernel
begin

no-notation Lattice.meet (infixl ‹⊓› 70)
no-notation Lattice.join (infixl ‹⊔› 65)
hide-const (open) Coset.kernel
hide-const (open) Matrix-Kernel.kernel
hide-const (open) Order.bottom Order.top

unbundle lattice-syntax
unbundle jnf-syntax
unbundle cblinfun-syntax

```

## 17.1 Code equations for cblinfun operators

In this subsection, we define the code for all operations involving only operators (no combinations of operators/vectors/subspaces)

The following lemma registers cblinfun as an abstract datatype with constructor *cblinfun-of-mat*. That means that in generated code, all cblinfun operators will be represented as *cblinfun-of-mat X* where X is a matrix. In code equations for operations involving operators (e.g., +), we can then write the equation directly in terms of matrices by writing, e.g., *mat-of-cblinfun* ( $A + B$ ) in the lhs, and in the rhs we define the matrix that corresponds to the sum of A,B. In the rhs, we can access the matrices corresponding to A,B by writing *mat-of-cblinfun B*. (See, e.g., lemma *mat-of-cblinfun-plus*.) See [2] for more information on [*code abstype*].

**declare** *mat-of-cblinfun-inverse* [*code abstype*]

**declare** *mat-of-cblinfun-plus*[*code*]

— Code equation for addition of cblinfun's

**declare** *mat-of-cblinfun-id*[*code*]

— Code equation for computing the identity operator

**declare** *mat-of-cblinfun-1*[*code*]

— Code equation for computing the one-dimensional identity

**declare** *mat-of-cblinfun-zero*[*code*]

— Code equation for computing the zero operator

**declare** *mat-of-cblinfun-uminus*[*code*]

— Code equation for computing the unary minus on cblinfun's

**declare** *mat-of-cblinfun-minus*[*code*]

— Code equation for computing the difference of cblinfun's

**declare** *mat-of-cblinfun-classical-operator*[*code*]

— Code equation for computing the "classical operator"

**declare** *mat-of-cblinfun-explicit-cblinfun*[*code*]

— Code equation for computing the *explicit-cblinfun*

**declare** *mat-of-cblinfun-compose*[*code*]

— Code equation for computing the composition/product of cblinfun's

**declare** *mat-of-cblinfun-scaleC*[*code*]

— Code equation for multiplication with complex scalar

```
declare mat-of-cblinfun-scaleR[code]
— Code equation for multiplication with real scalar
```

```
declare mat-of-cblinfun-adj[code]
— Code equation for computing the adj
```

This instantiation defines a code equation for equality tests for cblinfun.

```
instantiation cblinfun :: (onb-enum, onb-enum) equal begin
definition [code]: equal-cblinfun M N  $\longleftrightarrow$  mat-of-cblinfun M = mat-of-cblinfun N
```

```
for M N :: 'a  $\Rightarrow_{CL}$  'b
instance
  apply intro-classes
  unfolding equal-cblinfun-def
  using mat-of-cblinfun-inj injD by fastforce
end
```

## 17.2 Vectors

In this section, we define code for operations on vectors. As with operators above, we do this by using an isomorphism between finite vectors (i.e., types T of sort *complex-vector*) and the type *complex vec* from *Jordan\_Normal\_Form*. We have developed such an isomorphism in theory *Cblinfun-Matrix* for any type T of sort *onb-enum* (i.e., any type with a finite canonical orthonormal basis) as was done above for bounded operators. Unfortunately, we cannot declare code equations for a type class, code equations must be related to a specific type constructor. So we give code definition only for vectors of type '*a ell2*' (where '*a*' must be of sort *enum* to make sure that '*a ell2*' is finite dimensional).

The isomorphism between '*a ell2*' is given by the constants *ell2-of-vec* and *vec-of-ell2* which are copies of the more general *basis-enum-of-vec* and *vec-of-basis-enum* but with a more restricted type to be usable in our code equations.

```
definition ell2-of-vec :: complex vec  $\Rightarrow$  'a::enum ell2 where ell2-of-vec = basis-enum-of-vec
definition vec-of-ell2 :: 'a::enum ell2  $\Rightarrow$  complex vec where vec-of-ell2 = vec-of-basis-enum
```

The following theorem registers the isomorphism *ell2-of-vec/vec-of-ell2* for code generation. From now on, code for operations on - *ell2* can be expressed by declarations such as *vec-of-ell2* (*f a b*) = *g* (*vec-of-ell2 a*) (*vec-of-ell2 b*) if the operation *f* on - *ell2* corresponds to the operation *g* on *complex vec*.

```
lemma vec-of-ell2-inverse [code abstype]:
  ell2-of-vec (vec-of-ell2 B) = B
  unfolding ell2-of-vec-def vec-of-ell2-def
  by (rule vec-of-basis-enum-inverse)
```

This instantiation defines a code equation for equality tests for *ell2*.

```

instantiation ell2 :: (enum) equal begin
definition [code]: equal-ell2 M N  $\longleftrightarrow$  vec-of-ell2 M = vec-of-ell2 N
  for M N :: 'a::enum ell2
instance
  apply intro-classes
  unfolding equal-ell2-def
  by (metis vec-of-ell2-inverse)
end

lemma vec-of-ell2-carrier-vec[simp]: ‹vec-of-ell2 v ∈ carrier-vec CARD('a)› for v
:: ‹'a::enum ell2›
  using vec-of-basis-enum-carrier-vec[of v]
  apply (simp only: canonical-basis-length canonical-basis-length-ell2)
  by (simp add: vec-of-ell2-def)

lemma vec-of-ell2-zero[code]:
  — Code equation for computing the zero vector
  vec-of-ell2 (0:'a::enum ell2) = zero-vec (CARD('a))
  by (simp add: vec-of-ell2-def vec-of-basis-enum-zero)

lemma vec-of-ell2-ket[code]:
  — Code equation for computing a standard basis vector
  vec-of-ell2 (ket i) = unit-vec (CARD('a)) (enum-idx i)
  for i:'a::enum
  using vec-of-ell2-def vec-of-basis-enum-ket by metis

lemma vec-of-ell2-scaleC[code]:
  — Code equation for multiplying a vector with a complex scalar
  vec-of-ell2 (scaleC a ψ) = smult-vec a (vec-of-ell2 ψ)
  for ψ :: 'a::enum ell2
  by (simp add: vec-of-ell2-def vec-of-basis-enum-scaleC)

lemma vec-of-ell2-scaleR[code]:
  — Code equation for multiplying a vector with a real scalar
  vec-of-ell2 (scaleR a ψ) = smult-vec (complex-of-real a) (vec-of-ell2 ψ)
  for ψ :: 'a::enum ell2
  by (simp add: vec-of-ell2-def vec-of-basis-enum-scaleR)

lemma ell2-of-vec-plus[code]:
  — Code equation for adding vectors
  vec-of-ell2 (x + y) = (vec-of-ell2 x) + (vec-of-ell2 y) for x y :: 'a::enum ell2
  by (simp add: vec-of-ell2-def vec-of-basis-enum-add)

lemma ell2-of-vec-minus[code]:
  — Code equation for subtracting vectors
  vec-of-ell2 (x - y) = (vec-of-ell2 x) - (vec-of-ell2 y) for x y :: 'a::enum ell2
  by (simp add: vec-of-ell2-def vec-of-basis-enum-minus)

lemma ell2-of-vec-uminus[code]:

```

— Code equation for negating a vector  
 $\text{vec-of-ell2} (- y) = - (\text{vec-of-ell2} y)$  **for**  $y :: 'a::\text{enum ell2}$   
**by** (*simp add: vec-of-ell2-def vec-of-basis-enum-uminus*)

**lemma** *cinner-ell2-code* [*code*]:  $\text{cinner } \psi \varphi = \text{cscalar-prod} (\text{vec-of-ell2} \varphi) (\text{vec-of-ell2} \psi)$   
— Code equation for the inner product of vectors  
**by** (*simp add: cscalar-prod-vec-of-basis-enum vec-of-ell2-def*)

**lemma** *norm-ell2-code* [*code*]:  
— Code equation for the norm of a vector  
 $\text{norm } \psi = \text{norm-vec} (\text{vec-of-ell2} \psi)$   
**by** (*simp add: norm-vec-of-basis-enum vec-of-ell2-def*)

**lemma** *times-ell2-code*[*code*]:  
— Code equation for the product in the algebra of one-dimensional vectors  
**fixes**  $\psi \varphi :: 'a::\{\text{CARD-1},\text{enum}\} \text{ ell2}$   
**shows**  $\text{vec-of-ell2} (\psi * \varphi)$   
 $= \text{vec-of-list} [\text{vec-index} (\text{vec-of-ell2} \psi) 0 * \text{vec-index} (\text{vec-of-ell2} \varphi) 0]$   
**by** (*simp add: vec-of-ell2-def vec-of-basis-enum-times*)

**lemma** *divide-ell2-code*[*code*]:  
— Code equation for the product in the algebra of one-dimensional vectors  
**fixes**  $\psi \varphi :: 'a::\{\text{CARD-1},\text{enum}\} \text{ ell2}$   
**shows**  $\text{vec-of-ell2} (\psi / \varphi)$   
 $= \text{vec-of-list} [\text{vec-index} (\text{vec-of-ell2} \psi) 0 / \text{vec-index} (\text{vec-of-ell2} \varphi) 0]$   
**by** (*simp add: vec-of-ell2-def vec-of-basis-enum-divide*)

**lemma** *inverse-ell2-code*[*code*]:  
— Code equation for the product in the algebra of one-dimensional vectors  
**fixes**  $\psi :: 'a::\{\text{CARD-1},\text{enum}\} \text{ ell2}$   
**shows**  $\text{vec-of-ell2} (\text{inverse } \psi)$   
 $= \text{vec-of-list} [\text{inverse} (\text{vec-index} (\text{vec-of-ell2} \psi) 0)]$   
**by** (*simp add: vec-of-ell2-def vec-of-basis-enum-to-inverse*)

**lemma** *one-ell2-code*[*code*]:  
— Code equation for the unit in the algebra of one-dimensional vectors  
 $\text{vec-of-ell2} (1 :: 'a::\{\text{CARD-1},\text{enum}\} \text{ ell2}) = \text{vec-of-list} [1]$   
**by** (*simp add: vec-of-ell2-def vec-of-basis-enum-1*)

### 17.3 Vector/Matrix

We proceed to give code equations for operations involving both operators (cblinfun) and vectors. As explained above, we have to restrict the equations to vectors of type '*a ell2*' even though the theory is available for any type of class *onb-enum*. As a consequence, we run into an additional technicality now. For example, to define a code equation for applying an operator to a vector, we might try to give the following lemma:

```
lemma cblinfun-apply-ell2[code]: vec-of-ell2 (M *V x) = (mult-mat-vec (mat-of-cblinfun M) (vec-of-ell2 x)) by (simp add: mat-of-cblinfun-cblinfun-apply vec-of-ell2-def)
```

Unfortunately, this does not work, Isabelle produces the warning "Projection as head in equation", most likely due to the fact that the type of ( $*_V$ ) in the equation is less general than the type of ( $*_V$ ) (it is restricted to  $\text{ell2}$ ). We overcome this problem by defining a constant  $\text{cblinfun-apply-ell2}$  which is equal to ( $*_V$ ) but has a more restricted type. We then instruct the code generation to replace occurrences of ( $*_V$ ) by  $\text{cblinfun-apply-ell2}$  (where possible), and we add code generation for  $\text{cblinfun-apply-ell2}$  instead of ( $*_V$ ).

```
definition cblinfun-apply-ell2 :: 'a ell2 ⇒CL 'b ell2 ⇒ 'a ell2 ⇒ 'b ell2
```

**where** [code del, code-abbrev]:  $\text{cblinfun-apply-ell2} = (*_V)$

— `code-abbrev` instructs the code generation to replace the rhs ( $*_V$ ) by the lhs  $\text{cblinfun-apply-ell2}$  before starting the actual code generation.

```
lemma cblinfun-apply-ell2[code]:
```

— Code equation for  $\text{cblinfun-apply-ell2}$ , i.e., for applying an operator to an  $\text{ell2}$  vector

$\text{vec-of-ell2} (\text{cblinfun-apply-ell2 } M \ x) = (\text{mult-mat-vec} (\text{mat-of-cblinfun } M) (\text{vec-of-ell2 } x))$

**by** (simp add: cblinfun-apply-ell2-def mat-of-cblinfun-cblinfun-apply vec-of-ell2-def)

For the constant  $\text{vector-to-cblinfun}$  (canonical isomorphism from vectors to operators), we have the same problem and define a constant  $\text{vector-to-cblinfun-code}$  with more restricted type

```
definition vector-to-cblinfun-code :: 'a ell2 ⇒ 'b::one-dim ⇒CL 'a ell2 where
```

[code del, code-abbrev]:  $\text{vector-to-cblinfun-code} = \text{vector-to-cblinfun}$

— `code-abbrev` instructs the code generation to replace the rhs  $\text{vector-to-cblinfun}$  by the lhs  $\text{vector-to-cblinfun-code}$  before starting the actual code generation.

```
lemma vector-to-cblinfun-code[code]:
```

— Code equation for translating a vector into an operation (single-column matrix)  
 $\text{mat-of-cblinfun} (\text{vector-to-cblinfun-code } \psi) = \text{mat-of-cols} (\text{CARD}('a)) [\text{vec-of-ell2 } \psi]$

**for**  $\psi::'a::\text{enum ell2}$

**by** (simp add: mat-of-cblinfun-vector-to-cblinfun vec-of-ell2-def vector-to-cblinfun-code-def)

```
definition butterfly-code :: <'a ell2 ⇒ 'b ell2 ⇒ 'b ell2 ⇒CL 'a ell2>
```

**where** [code del, code-abbrev]: < $\text{butterfly-code} = \text{butterfly}$ >

```
lemma butterfly-code[code]: < $\text{mat-of-cblinfun} (\text{butterfly-code } s \ t)$ 
```

$= \text{mat-of-cols} (\text{CARD}('a)) [\text{vec-of-ell2 } s] * \text{mat-of-rows} (\text{CARD}('b)) [\text{map-vec conj} (\text{vec-of-ell2 } t)]$

**for**  $s :: <'a::\text{enum ell2}>$  **and**  $t :: <'b::\text{enum ell2}>$

**by** (simp add: butterfly-code-def butterfly-def vector-to-cblinfun-code mat-of-cblinfun-compose

$\text{mat-of-cblinfun-adj mat-adjoint-def map-map-vec-cols}$

$\text{flip: vector-to-cblinfun-code-def map-vec-conjugate[abs-def]}$ )

## 17.4 Subspaces

In this section, we define code equations for handling subspaces, i.e., values of type ' $'a ccspace$ '. We choose to computationally represent a subspace by a list of vectors that span the subspace. That is, if  $vecs$  are vectors (type *complex vec*),  $SPAN\ vecs$  is defined to be their span. Then the code generation can simply represent all subspaces in this form, and we need to define the operations on subspaces in terms of list of vectors (e.g., the closed union of two subspaces would be computed as the concatenation of the two lists, to give one of the simplest examples).

To support this,  $SPAN$  is declared as a "*code-datatype*". (Not as an abstract datatype like *cblinfun-of-mat/mat-of-cblinfun* because that would require  $SPAN$  to be injective.)

Then all code equations for different operations need to be formulated as functions of values of the form  $SPAN\ x$ . (E.g.,  $SPAN\ x + SPAN\ y = SPAN\ (\dots)$ .)

**definition** [code del]:  $SPAN\ x = (let\ n = length\ (canonical-basis :: 'a::onb-enum\ list)\ in$

*ccspan* (*basis-enum-of-vec* ‘*Set.filter* ( $\lambda v. dim-vec\ v = n$ ) (*set*  $x$ )) :: ' $a ccspace$ )

— The  $SPAN$  of vectors  $x$ , as a *ccspace*. We filter out vectors of the wrong dimension because  $SPAN$  needs to have well-defined behavior even in cases that would not actually occur in an execution.

**code-datatype**  $SPAN$

We first declare code equations for *Proj*, i.e., for turning a subspace into a projector. This means, we would need a code equation of the form *mat-of-cblinfun* (*Proj* ( $SPAN\ S$ )) = .... However, this equation is not accepted by the code generation for reasons we do not understand. But if we define an auxiliary constant *mat-of-cblinfun-Proj-code* that stands for *mat-of-cblinfun* (*Proj* -), define a code equation for *mat-of-cblinfun-Proj-code*, and then define a code equation for *mat-of-cblinfun* (*Proj*  $S$ ) in terms of *mat-of-cblinfun-Proj-code*, Isabelle accepts the code equations.

**definition** *mat-of-cblinfun-Proj-code*  $S = mat-of-cblinfun\ (Proj\ S)$

**declare** *mat-of-cblinfun-Proj-code-def*[symmetric, code]

**lemma** *mat-of-cblinfun-Proj-code-code*[code]:

— Code equation for computing a projector onto a set  $S$  of vectors. We first make the vectors  $S$  into an orthonormal basis using the Gram-Schmidt procedure and then compute the projector as the sum of the "butterflies"  $x * x^*$  of the vectors  $x \in S$  (done by *mk-projector*).

*mat-of-cblinfun-Proj-code* ( $SPAN\ S :: 'a::onb-enum\ ccspace$ ) =

(*let*  $d = length\ (canonical-basis :: 'a list)$  *in* *mk-projector*  $d$  (*filter* ( $\lambda v. dim-vec\ v = d$ )  $S$ ))

**proof** –

**have**  $*: map-option\ vec-of-basis-enum$  (*if*  $dim-vec\ x = length\ (canonical-basis :: 'a list)$  *then Some* (*basis-enum-of-vec*  $x :: 'a$ ) *else None*)

```

= (if dim-vec x = length (canonical-basis :: 'a list) then Some x else None)
for x
  by auto
show ?thesis
  unfolding SPAN-def mat-of-cblinfun-Proj-code-def
  using mat-of-cblinfun-Proj-ccspan[where S =
    map basis-enum-of-vec (filter (λv. dim-vec v = (length (canonical-basis :: 'a
list))) S) :: 'a list]
  apply (simp only: Let-def map-filter-map-filter filter-set image-set map-map-filter
o-def)
  unfolding *
  by (simp add: map-filter-map-filter[symmetric])
qed

```

**lemma** top-ccsubspace-code[code]:

— Code equation for  $\top$ , the subspace containing everything. Top is represented as the span of the standard basis vectors.

```

(top::'a ccsubspace) =
  (let n = length (canonical-basis :: 'a::onb-enum list) in SPAN (unit-vecs n))
  unfolding SPAN-def
  apply (simp only: index-unit-vec Let-def map-filter-map-filter filter-set image-set
map-map-filter
  map-filter-map o-def unit-vecs-def)
  apply (simp add: basis-enum-of-vec-unit-vec)
  apply (subst nth-image)
  by auto

```

**lemma** bot-as-span[code]:

— Code equation for  $\perp$ , the subspace containing everything. Top is represented as the span of the standard basis vectors.

```

(bot::'a::onb-enum ccsubspace) = SPAN []
  unfolding SPAN-def by (auto simp: Set.filter-def)

```

**lemma** sup-spans[code]:

— Code equation for the join (lub) of two subspaces (union of the generating lists)

```

SPAN A ∘ SPAN B = SPAN (A @ B)
  unfolding SPAN-def
  by (auto simp: ccspan-union image-Un filter-Un Let-def)

```

We do not need an equation for  $(+)$  because  $(+)$  is defined in terms of  $(\cup)$  (for  $ccsubspace$ ), thus the code generation automatically computes  $(+)$  in terms of the code for  $(\cup)$

**definition** [code del,code-abbrev]: *Span-code* ( $S::'a::enum ell2 set$ ) = ( $ccspan S$ )

— A copy of  $ccspan$  with restricted type. For analogous reasons as *cblinfun-apply-ell2*, see there for explanations

**lemma** span-Set-Monad[code]: *Span-code* (*Set-Monad l*) = (SPAN (map vec-of-ell2

l))

— Code equation for the span of a finite set. (*Set-Monad* is a datatype constructor that represents sets as lists in the computation.)

```
apply (simp add: Span-code-def SPAN-def Let-def)
apply (subst Set-filter-unchanged)
apply (auto simp add: vec-of-ell2-def)[1]
by (metis (no-types, lifting) ell2-of-vec-def image-image map-idI set-map vec-of-ell2-inverse)
```

This instantiation defines a code equation for equality tests for *ccsubspace*.

The actual code for equality tests is given below (lemma *equal-ccsubspace-code*).

```
instantiation ccspace :: (onb-enum) equal begin
definition [code del]: equal-ccspace (A::'a ccspace) B = (A=B)
instance apply intro-classes unfolding equal-ccspace-def by simp
end
```

**lemma** *leq-ccsubspace-code*[*code*]:

— Code equation for deciding inclusion of one space in another. Uses the constant *is-subspace-of-vec-list* which implements the actual computation by checking for each generator of A whether it is in the span of B (by orthogonal projection onto an orthonormal basis of B which is computed using Gram-Schmidt).

```
SPAN A ≤ (SPAN B :: 'a::onb-enum ccspace)
longleftrightarrow (let d = length (canonical-basis :: 'a list) in
  is-subspace-of-vec-list d
  (filter (λv. dim-vec v = d) A)
  (filter (λv. dim-vec v = d) B))
```

**proof** —

```
define d A' B' where d = length (canonical-basis :: 'a list)
and A' = filter (λv. dim-vec v = d) A
and B' = filter (λv. dim-vec v = d) B
```

**show** ?thesis

```
unfolding SPAN-def d-def[symmetric] filter-set Let-def
  A'-def[symmetric] B'-def[symmetric] image-set
  apply (subst ccspan-leq-using-vec)
  unfolding d-def[symmetric] map-map o-def
  apply (subst map-cong[where xs=A', OF refl])
    apply (rule basis-enum-of-vec-inverse)
    apply (simp add: A'-def d-def)
  apply (subst map-cong[where xs=B', OF refl])
    apply (rule basis-enum-of-vec-inverse)
    by (simp-all add: B'-def d-def)
```

qed

**lemma** *equal-ccsubspace-code*[*code*]:

— Code equation for equality test. By checking mutual inclusion (for which we have code by the preceding code equation).

```
HOL.equal (A::- ccspace) B = (A≤B ∧ B≤A)
unfolding equal-ccsubspace-def by auto
```

```

lemma cblinfun-image-code[code]:
  — Code equation for applying an operator  $A$  to a subspace. Simply by multiplying
  each generator with  $A$ 
  
$$A *_S SPAN S = (\text{let } d = \text{length}(\text{canonical-basis} :: 'a list) \text{ in}
    SPAN (\text{map} (\text{mult-mat-vec} (\text{mat-of-cblinfun } A))
      (\text{filter} (\lambda v. \text{dim-vec } v = d) S)))$$

for  $A :: 'a :: \text{onb-enum} \Rightarrow_{CL} 'b :: \text{onb-enum}$ 
proof —
  define  $dA$   $dB$   $S'$ 
    where  $dA = \text{length}(\text{canonical-basis} :: 'a list)$ 
    and  $dB = \text{length}(\text{canonical-basis} :: 'b list)$ 
    and  $S' = \text{filter}(\lambda v. \text{dim-vec } v = dA) S$ 

  have cblinfun-image  $A$  ( $SPAN S$ ) =  $A *_S \text{ccspan}(\text{set}(\text{map}(\text{basis-enum-of-vec} S')))$ 
    unfolding  $SPAN\text{-def}$   $dA\text{-def}[symmetric]$   $\text{Let-def}$   $S'\text{-def}$   $\text{filter-set}$ 
    by  $\text{simp}$ 
    also have  $\dots = \text{ccspan}((\lambda x. \text{basis-enum-of-vec} (\text{mat-of-cblinfun } A *_v \text{vec-of-basis-enum} (\text{basis-enum-of-vec } x :: 'a))) \text{`set} S')$ 
      apply (subst cblinfun-image-ccspan-using-vec)
      by (simp add: image-image)
    also have  $\dots = \text{ccspan}((\lambda x. \text{basis-enum-of-vec} (\text{mat-of-cblinfun } A *_v x)) \text{`set} S')$ 
      apply (subst image-cong[OF refl])
      apply (subst basis-enum-of-vec-inverse)
      by (auto simp add:  $S'\text{-def}$   $dA\text{-def}$ )
    also have  $\dots = SPAN(\text{map}(\text{mult-mat-vec} (\text{mat-of-cblinfun } A)) S')$ 
    unfolding  $SPAN\text{-def}$   $dB\text{-def}[symmetric]$   $\text{Let-def}$   $\text{filter-set}$ 
    apply (subst filter-True)
    by (simp-all add:  $dB\text{-def}$  mat-of-cblinfun-def image-image)

  finally show ?thesis
    unfolding  $dA\text{-def}[symmetric]$   $S'\text{-def}[symmetric]$   $\text{Let-def}$ 
    by  $\text{simp}$ 
qed

```

**definition** [code del, code-abbrev]: range-cblinfun-code  $A = A *_S \top$

— A new constant for the special case of applying an operator to the subspace  $\top$  (i.e., for computing the range of the operator). We do this to be able to give more specialized code for this specific situation. (The generic code for  $(*_S)$  would work but is less efficient because it involves repeated matrix multiplications. *code-abbrev* makes sure occurrences of  $A *_S \top$  are replaced before starting the actual code generation.

```

lemma range-cblinfun-code[code]:
  — Code equation for computing the range of an operator  $A$ . Returns the columns
  of the matrix representation of  $A$ .
  fixes  $A :: 'a :: \text{onb-enum} \Rightarrow_{CL} 'b :: \text{onb-enum}$ 

```

```

shows range-cblinfun-code A = SPAN (cols (mat-of-cblinfun A))
proof -
  define dA dB
    where dA = length (canonical-basis :: 'a list)
      and dB = length (canonical-basis :: 'b list)
  have carrier-A: mat-of-cblinfun A ∈ carrier-mat dB dA
    unfolding mat-of-cblinfun-def dA-def dB-def by simp

  have range-cblinfun-code A = A *S SPAN (unit-vecs dA)
    unfolding range-cblinfun-code-def
    by (metis dA-def top-ccsubspace-code)
  also have ... = SPAN (map (λi. mat-of-cblinfun A *v unit-vec dA i) [0..< dA])
    unfolding cblinfun-image-code dA-def[symmetric] Let-def
    apply (subst filter-True)
    apply (meson carrier-vecD subset-code(1) unit-vecs-carrier)
    by (simp add: unit-vecs-def o-def)
  also have ... = SPAN (map (λx. mat-of-cblinfun A *v col (1m dA) x) [0..< dA])
    apply (subst map-cong[OF refl])
    by auto
  also have ... = SPAN (map (col (mat-of-cblinfun A * 1m dA)) [0..< dA])
    apply (subst map-cong[OF refl])
    apply (subst col-mult2[symmetric])
    apply (rule carrier-A)
    by auto
  also have ... = SPAN (cols (mat-of-cblinfun A))
    unfolding cols-def dA-def[symmetric]
    apply (subst right-mult-one-mat[OF carrier-A])
    using carrier-A by blast
  finally show ?thesis
  by -
qed

```

**lemma** uminus-Span-code[code]: —  $X = \text{range-cblinfun-code}(\text{id-cblinfun} - \text{Proj } X)$

— Code equation for the orthogonal complement of a subspace  $X$ . Computed as the range of one minus the projector on  $X$

unfolding range-cblinfun-code-def  
by (metis Proj-ortho-compl Proj-range)

**lemma** kernel-code[code]:

— Computes the kernel of an operator  $A$ . This is implemented using the existing functions for transforming a matrix into row echelon form (*gauss-jordan-single*) and for computing a basis of the kernel of such a matrix (*find-base-vectors*)

kernel A = SPAN (find-base-vectors (gauss-jordan-single (mat-of-cblinfun A)))  
for A::('a::onb-enum,'b::onb-enum) cblinfun

proof —

define dA dB Am Ag base  
where dA = length (canonical-basis :: 'a list)

```

and  $dB = \text{length}(\text{canonical-basis} :: 'b \text{ list})$ 
and  $Am = \text{mat-of-cblinfun } A$ 
and  $Ag = \text{gauss-jordan-single } Am$ 
and  $\text{base} = \text{find-base-vectors } Ag$ 

interpret complex-vec-space  $dA$ .

have  $Am\text{-carrier}: Am \in \text{carrier-mat } dB \text{ } dA$ 
  unfolding  $Am\text{-def mat-of-cblinfun-def } dA\text{-def } dB\text{-def}$  by simp

have  $\text{row-echelon}: \text{row-echelon-form } Ag$ 
  unfolding  $Ag\text{-def}$ 
  using  $Am\text{-carrier refl}$  by (rule gauss-jordan-single)

have  $Ag\text{-carrier}: Ag \in \text{carrier-mat } dB \text{ } dA$ 
  unfolding  $Ag\text{-def}$ 
  using  $Am\text{-carrier refl}$  by (rule gauss-jordan-single(2))

have  $\text{base-carrier}: \text{set base} \subseteq \text{carrier-vec } dA$ 
  unfolding  $\text{base-def}$ 
  using  $\text{find-base-vectors}(1)[\text{OF row-echelon } Ag\text{-carrier}]$ 
  using  $Ag\text{-carrier mat-kernel-def}$  by blast

interpret  $k: \text{kernel } dB \text{ } dA \text{ } Ag$ 
  apply standard using  $Ag\text{-carrier}$  by simp

have  $\text{basis-base}: \text{kernel.basis } dA \text{ } Ag \text{ (set base)}$ 
  using  $\text{row-echelon } Ag\text{-carrier}$  unfolding  $\text{base-def}$ 
  by (rule find-base-vectors(3))

have  $\text{space-as-set} (\text{SPAN base})$ 
   $= \text{space-as-set} (\text{ccspan} (\text{basis-enum-of-vec} ' \text{set base} :: 'a \text{ set}))$ 
  unfolding  $\text{SPAN-def } dA\text{-def[symmetric]}$  Let-def filter-set
  apply (subst filter-True)
  using  $\text{base-carrier}$  by auto

also have  $\dots = \text{cspan} (\text{basis-enum-of-vec} ' \text{set base})$ 
  apply transfer apply (subst closure-finite-cspan)
  by simp-all

also have  $\dots = \text{basis-enum-of-vec} ' \text{span} (\text{set base})$ 
  apply (subst basis-enum-of-vec-span)
  using  $\text{base-carrier } dA\text{-def}$  by auto

also have  $\dots = \text{basis-enum-of-vec} ' \text{mat-kernel } Ag$ 
  using  $\text{basis-base } k.Ker.basis-def \text{ } k.span\text{-same}$  by auto

also have  $\dots = \text{basis-enum-of-vec} ' \{v \in \text{carrier-vec } dA. Ag *_v v = 0_v \text{ } dB\}$ 

```

```

apply (rule arg-cong[where f=λx. basis-enum-of-vec ` x])
unfolding mat-kernel-def using Ag-carrier
by simp

also have ... = basis-enum-of-vec ` {v ∈ carrier-vec dA. Am *v v = 0v dB}
  using gauss-jordan-single(1)[OF Am-carrier Ag-def[symmetric]]
  by auto

also have ... = {w. A *V w = 0}
proof -
  have basis-enum-of-vec ` {v ∈ carrier-vec dA. Am *v v = 0v dB}
    = basis-enum-of-vec ` {v ∈ carrier-vec dA. A *V basis-enum-of-vec v = 0}
    apply (rule arg-cong[where f=λt. basis-enum-of-vec ` t])
    apply (rule Collect-cong)
    apply (simp add: Am-def)
  by (metis Am-carrier Am-def carrier-matD(2) carrier-vecD dB-def mat-carrier
      mat-of-cblinfun-def mat-of-cblinfun-cblinfun-apply vec-of-basis-enum-inverse
      basis-enum-of-vec-inverse vec-of-basis-enum-zero)

also have ... = {w ∈ basis-enum-of-vec ` carrier-vec dA. A *V w = 0}
  apply (subst Compr-image-eq[symmetric])
  by simp

also have ... = {w. A *V w = 0}
  apply auto
  by (metis (no-types, lifting) Am-carrier Am-def carrier-matD(2) carrier-vec-dim-vec
      dim-vec-of-basis-enum' image-iff mat-carrier mat-of-cblinfun-def vec-of-basis-enum-inverse)
  finally show ?thesis
  by -
qed

also have ... = space-as-set (kernel A)
  apply transfer by auto

finally have SPAN base = kernel A
  by (simp add: space-as-set-inject)
then show ?thesis
  by (simp add: base-def Ag-def Am-def)
qed

lemma inf-ccsubspace-code[code]:
  — Code equation for intersection of subspaces. Reduced to orthogonal complement and sum of subspaces for which we already have code equations.
  (A::'a::onb-enum ccsubspace) ∩ B = - (- A ∪ - B)
  by (subst ortho-involution[symmetric], subst compl-inf, simp)

lemma Sup-ccsubspace-code[code]:
  — Supremum (sum) of a set of subspaces. Implemented by repeated pairwise sum.

```

```

 $\text{Sup} (\text{Set-Monad } l :: 'a::\text{onb-enum cccspace set}) = \text{fold sup } l \text{ bot}$ 
unfolding Set-Monad-def
by (simp add: Sup-set-fold)

```

**lemma** Inf-ccspace-code[code]:

— Infimum (intersection) of a set of subspaces. Implemented by the orthogonal complement of the supremum.

```

 $\text{Inf} (\text{Set-Monad } l :: 'a::\text{onb-enum cccspace set})$ 
 $= - \text{Sup} (\text{Set-Monad} (\text{map uminus } l))$ 
unfolding Set-Monad-def
apply (induction l)
by auto

```

## 17.5 Miscellanea

This is a hack to circumvent a bug in the code generation. The automatically generated code for the class *uniformity* has a type that is different from what the generated code later assumes, leading to compilation errors (in ML at least) in any expression involving *- ell2* (even if the constant *uniformity* is not actually used).

The fragment below circumvents this by forcing Isabelle to use the right type. (The logically useless fragment "let *x* = ((=)::'a⇒-⇒-)" achieves this.)

```

lemma uniformity-ell2-code[code]: (uniformity :: ('a ell2 * -) filter) = Filter.abstract-filter
(%-.
  Code.abort STR "no uniformity" (%-.
    let x = ((=)::'a⇒-⇒-) in uniformity))
by simp

```

Code equation for *UNIV*. It is now implemented via type class *enum* (which provides a list of all values).

```

declare [[code drop: UNIV]]
declare enum-class.UNIV-enum[code]

```

Setup for code generation involving sets of *ell2/ccspace*. This configures to use lists for representing sets in code.

```

derive (eq) ceq cccspace
derive (no) ccompare cccspace
derive (monad) set-impl cccspace
derive (eq) ceq ell2
derive (no) ccompare ell2
derive (monad) set-impl ell2

unbundle no lattice-syntax and no jnf-syntax and no cblinfun-syntax
end

```

## 18 Cblinfun-Code-Examples – Examples and test cases for code generation

```
theory Cblinfun-Code-Examples
imports
  Complex-Bounded-Operators.Extra-Pretty-Code-Examples
  Jordan-Normal-Form.Matrix-Impl
  HOL-Library.Code-Target-Numeral
  Cblinfun-Code
begin

  hide-const (open) Order.bottom Order.top
  no-notation Lattice.join (infixl ‹⊓› 65)
  no-notation Lattice.meet (infixl ‹⊓› 70)

  unbundle lattice-syntax
  unbundle cblinfun-syntax
```

## 19 Examples

### 19.1 Operators

```
value id-cblinfun :: bool ell2 ⇒CL bool ell2

value 1 :: unit ell2 ⇒CL unit ell2

value id-cblinfun + id-cblinfun :: bool ell2 ⇒CL bool ell2

value 0 :: (bool ell2 ⇒CL Enum.finite-3 ell2)

value − id-cblinfun :: bool ell2 ⇒CL bool ell2

value id-cblinfun − id-cblinfun :: bool ell2 ⇒CL bool ell2

value classical-operator (λb. Some (¬ b))

value ‹explicit-cblinfun (λx y :: bool. of-bool (x ∧ y))›

value id-cblinfun = (0 :: bool ell2 ⇒CL bool ell2)

value 2 *R id-cblinfun :: bool ell2 ⇒CL bool ell2

value imaginary-unit *C id-cblinfun :: bool ell2 ⇒CL bool ell2

value id-cblinfun oCL 0 :: bool ell2 ⇒CL bool ell2

value id-cblinfun* :: bool ell2 ⇒CL bool ell2
```

## 19.2 Vectors

```
value 0 :: bool ell2  
  
value 1 :: unit ell2  
  
value ket False  
  
value 2 *C ket False  
  
value 2 *R ket False  
  
value ket True + ket False  
  
value ket True - ket True  
  
value ket True = ket True  
  
value - ket True  
  
value cinner (ket True) (ket True)  
  
value norm (ket True)  
  
value ket () * ket ()  
  
value 1 :: unit ell2  
  
value (1::unit ell2) * (1::unit ell2)
```

## 19.3 Vector/Matrix

```
value id-cblinfun *V ket True  
  
value <vector-to-cblinfun (ket True) :: unit ell2 =>CL ->
```

## 19.4 Subspaces

```
value ccspan {ket False}  
  
value Proj (ccspan {ket False})  
  
value top :: bool ell2 ccspace  
  
value bot :: bool ell2 ccspace  
  
value 0 :: bool ell2 ccspace  
  
value ccspan {ket False} ⊔ ccspan {ket True}
```

```

value ccspan {ket False} + ccspan {ket True}

value ccspan {ket False}  $\sqcap$  ccspan {ket True}

value id-cblinfun *S ccspan {ket False}

value id-cblinfun *S (top :: bool ell2 ccsubspace)

value - ccspan {ket False}

value ccspan {ket False, ket True} = top

value ccspan {ket False}  $\leq$  ccspan {ket True}

value cblinfun-image id-cblinfun (ccspan {ket True})

value kernel id-cblinfun :: bool ell2 ccsubspace

value eigenspace 1 id-cblinfun :: bool ell2 ccsubspace

value Inf {ccspan {ket False}, top}

value Sup {ccspan {ket False}, top}

end

```

## References

- [1] J. B. Conway. *A course in functional analysis*, volume 96. Springer Science & Business Media, 2013.
- [2] F. Haftmann. Code generation from Isabelle/HOL theories.  
<https://isabelle.in.tum.de/website-Isabelle2019/dist/Isabelle2019/doc/codegen.pdf>, 2019.