

Completeness for FOL

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6 Soundness 50

1 Permutation Lemmas

```
theory PermutationLemmas
imports HOL-Library.Multiset
begin
```

— following function is very close to that in multisets- now we can make the connection that $x < > y$ iff the multiset of x is the same as that of y

1.1 perm, count equivalence

```
lemma count-eq:
  <count-list xs x = Multiset.count (mset xs) x>
by (induction xs) simp-all
```

```
lemma perm-count: mset A = mset B ==> (forall x. count-list A x = count-list B x)
by (simp add: count-eq)
```

```
lemma count-0: (forall x. count-list B x = 0) = (B = [])
by (simp add: count-list-0-iff)
```

```
lemma count-Suc: count-list B a = Suc m ==> a : set B
by (metis Zero-not-Suc count-notin)
```

```
lemma count-perm: !! B. (forall x. count-list A x = count-list B x) ==> mset A = mset B
by (simp add: count-eq multiset-eq-iff)
```

```
lemma perm-count-conv: mset A = mset B <-> (forall x. count-list A x = count-list B x)
by (simp add: count-eq multiset-eq-iff)
```

1.2 Properties closed under Perm and Contr hold for x iff hold for remdups x

```
lemma remdups-append: y : set ys --> remdups (ws@y#ys) = remdups (ws@ys)
```

```

apply (induct ws, simp)
apply (case-tac y = a, simp, simp)
done

lemma perm-contr': assumes perm[rule-format]: ! xs ys. mset xs = mset ys -->
(P xs = P ys)
and contr'[rule-format]: ! x xs. P(x#x#xs) = P (x#xs)
shows ! xs. length xs = n --> (P xs = P (remdups xs))
apply(induct n rule: nat-less-induct)
proof (safe)
  fix xs :: 'a list
  assume a[rule-format]:  $\forall m < \text{length } xs. \forall ys. \text{length } ys = m \longrightarrow P \text{ ys} = P$ 
(remdups ys)
  show P xs = P (remdups xs)
  proof (cases distinct xs)
    case True
    thus ?thesis by(simp add:distinct-remdups-id)
  next
  case False
  from not-distinct-decomp[OF this] obtain ws ys zs y where xs: xs = ws@[y]@ys@[y]@zs
by force
  have P xs = P (ws@[y]@ys@[y]@zs) by (simp add: xs)
  also have ... = P ([y,y]@ws@ys@zs)
    apply(rule perm) apply(rule iffD2[OF perm-count-conv]) apply rule ap-
ply(simp) done
  also have ... = P ([y]@ws@ys@zs) apply simp apply(rule contr') done
  also have ... = P (ws@ys@[y]@zs)
    apply(rule perm) apply(rule iffD2[OF perm-count-conv]) apply rule ap-
ply(simp) done
  also have ... = P (remdups (ws@ys@[y]@zs))
    apply(rule a) by(auto simp: xs)
  also have (remdups (ws@ys@[y]@zs)) = (remdups xs)
    apply(simp add: xs remdups-append) done
  finally show P xs = P (remdups xs) .
qed
qed

lemma perm-contr: assumes perm: ! xs ys. mset xs = mset ys --> (P xs = P
ys)
and contr': ! x xs. P(x#x#xs) = P (x#xs)
shows (P xs = P (remdups xs))
apply(rule perm-contr'[OF perm contr', rule-format]) by force

```

1.3 List properties closed under Perm, Weak and Contr are monotonic in the set of the list

definition

```

rem :: 'a => 'a list => 'a list where
rem x xs = filter (%y. y ~ = x) xs

```

lemma *rem*: $x \sim: \text{set } (\text{rem } x \text{ } xs)$
by(*simp add: rem-def*)

lemma *length-rem*: $\text{length } (\text{rem } x \text{ } xs) \leq \text{length } xs$
by(*simp add: rem-def*)

lemma *rem-notin*: $x \sim: \text{set } xs \implies \text{rem } x \text{ } xs = xs$
apply(*simp add: rem-def*)
apply(*rule filter-True*)
apply *force*
done

lemma *perm-weak-filter'*: **assumes** *perm*[*rule-format*]: $! \text{ } xs \text{ } ys. \text{mset } xs = \text{mset } ys$
 $\implies (P \text{ } xs = P \text{ } ys)$
and *weak*[*rule-format*]: $! \text{ } x \text{ } xs. P \text{ } xs \implies P (x\#xs)$
shows $! \text{ } ys. P (\text{ys}@filter \text{ } Q \text{ } xs) \implies P (\text{ys}@xs)$
proof (*rule allI, rule impI*)
fix *ys*
define *zs* **where** $\langle zs = filter (Not \circ Q) \text{ } xs \rangle$
assume $\langle P (\text{ys} @ filter \text{ } Q \text{ } xs) \rangle$
then have $\langle P (filter \text{ } Q \text{ } xs @ \text{ys}) \rangle$
apply (*subst perm*) **defer apply assumption apply simp done**
then have $\langle P (zs @ filter \text{ } Q \text{ } xs @ \text{ys}) \rangle$
apply (*induction zs*)
apply (*simp-all add: weak*)
done
with *zs-def* **show** $\langle P (\text{ys} @ xs) \rangle$
apply (*subst perm*) **defer apply assumption apply simp done**
qed

lemma *perm-weak-filter*: **assumes** *perm*: $! \text{ } xs \text{ } ys. \text{mset } xs = \text{mset } ys \implies (P \text{ } xs = P \text{ } ys)$
and *weak*: $! \text{ } x \text{ } xs. P \text{ } xs \implies P (x\#xs)$
shows $P (filter \text{ } Q \text{ } xs) \implies P \text{ } xs$
using *perm-weak-filter'*[*OF perm weak, rule-format, of [], simplified*]
by *blast*

— right, now in a position to prove that in presence of *perm*, *contr* and *weak*, *set* $x \text{ } leq \text{ } set \text{ } y$ and $x : \text{ded}$ implies $y : \text{ded}$

lemma *perm-weak-contr-mono*:
assumes *perm*: $! \text{ } xs \text{ } ys. \text{mset } xs = \text{mset } ys \implies (P \text{ } xs = P \text{ } ys)$
and *contr*: $! \text{ } x \text{ } xs. P (x\#x\#xs) \implies P (x\#xs)$
and *weak*: $! \text{ } x \text{ } xs. P \text{ } xs \implies P (x\#xs)$
and *xy*: $\text{set } x \leq \text{set } y$
and *Px*: $P \text{ } x$
shows $P \text{ } y$

```

proof —
  from contr weak have contr': ! x xs.  $P(x\#x\#xs) = P(x\#xs)$  by blast

  define y' where y' = filter (% z. z : set x) y
  from xy have set x = set y' apply(simp add: y'-def) apply blast done
  hence rxry':  $mset (remdups\ x) = mset (remdups\ y')$ 
  using set-eq-iff-mset-remdups-eq by auto

  from Px perm-contr[OF perm contr'] have Prx:  $P (remdups\ x)$  by simp
  with rxry' have  $P (remdups\ y')$  apply (subst perm) defer apply assumption
apply simp done

  with perm-contr[OF perm contr'] have  $P\ y'$  by simp
  thus  $P\ y$ 
  apply(simp add: y'-def)
  apply(rule perm-weak-filter[OF perm weak]) .
qed

end

```

2 Base

```

theory Base
imports PermutationLemmas
begin

```

2.1 Integrate with Isabelle libraries?

```

lemma natset-finite-max: assumes a: finite A
  shows  $Suc (Max\ A) \notin A$ 
proof (cases A = {})
  case True
  thus ?thesis by auto
next
  case False
  with a have  $Max\ A \in A \wedge (\forall s \in A. s \leq Max\ A)$  by simp
  thus ?thesis by auto
qed

```

— not used

```

lemma not-finite-univ:  $\sim finite (UNIV::nat\ set)$ 
apply rule
apply(drule-tac natset-finite-max)
by force

```

— FIXME should be in main lib

```

lemma LeastI-ex:  $(\exists x. P (x::'a::wellorder)) \implies P (LEAST\ x. P\ x)$ 
by(blast intro: LeastI)

```

2.2 Summation

primrec *summation* :: (nat \Rightarrow nat) \Rightarrow nat \Rightarrow nat
where
 summation f 0 = f 0
| *summation* f (Suc n) = f (Suc n) + *summation* f n

2.3 Termination Measure

primrec *exp* :: [nat,nat] \Rightarrow nat
where
 exp x 0 = 1
| *exp* x (Suc m) = x * *exp* x m

primrec *sumList* :: nat list \Rightarrow nat
where
 sumList [] = 0
| *sumList* (x#xs) = x + *sumList* xs

2.4 Functions

definition
preImage :: ('a \Rightarrow 'b) \Rightarrow 'b set \Rightarrow 'a set **where**
preImage f A = { x . f x \in A }

definition
pre :: ('a \Rightarrow 'b) \Rightarrow 'b \Rightarrow 'a set **where**
pre f a = { x . f x = a }

definition
equalOn :: ['a set,'a \Rightarrow 'b,'a \Rightarrow 'b] \Rightarrow bool **where**
equalOn A f g = (!x:A. f x = g x)

lemma *preImage-insert*: *preImage* f (insert a A) = *pre* f a Un *preImage* f A
by(*auto simp add: preImage-def pre-def*)

lemma *preImageI*: f x : A \implies x : *preImage* f A
by(*simp add: preImage-def*)

lemma *preImageE*: x : *preImage* f A \implies f x : A
by(*simp add: preImage-def*)

lemma *equalOn-Un*: *equalOn* (A \cup B) f g = (*equalOn* A f g \wedge *equalOn* B f g)
by(*auto simp add: equalOn-def*)

lemma *equalOnD*: *equalOn* A f g \implies (\forall x \in A . f x = g x)
by(*simp add: equalOn-def*)

lemma *equalOnI*: (\forall x \in A . f x = g x) \implies *equalOn* A f g
by(*simp add: equalOn-def*)

lemma *equalOn-UnD*: $\text{equalOn } (A \text{ Un } B) f g \implies \text{equalOn } A f g \ \& \ \text{equalOn } B f g$
by(*auto simp: equalOn-def*)

— FIXME move following elsewhere?

lemma *inj-inv-singleton[simp]*: $\llbracket \text{inj } f; f z = y \rrbracket \implies \{x. f x = y\} = \{z\}$
apply *rule*
apply(*auto simp: inj-on-def*) **done**

lemma *finite-pre[simp]*: $\text{inj } f \implies \text{finite } (\text{pre } f x)$
apply(*simp add: pre-def*)
apply (*cases* $\exists y. f y = x$, *auto*) **done**

lemma *finite-preImage[simp]*: $\llbracket \text{finite } A; \text{inj } f \rrbracket \implies \text{finite } (\text{preImage } f A)$
apply(*induct A rule: finite-induct*)
apply(*simp add: preImage-def*)
apply(*simp add: preImage-insert*) **done**

end

3 Formula

theory *Formula*
imports *Base*
begin

3.1 Variables

datatype *vbl = X nat*

— FIXME there's a lot of stuff about this datatype that is really just a lifting from nat (what else could it be). Makes me wonder whether things wouldn't be clearer if we just identified vbls with nats

primrec *deX* :: *vbl => nat* **where** *deX* (*X n*) = *n*

lemma *X-deX[simp]*: $X (\text{deX } a) = a$
by(*cases a*) *simp*

definition *zeroX* = *X 0*

primrec
nextX :: *vbl => vbl* **where**
nextX (*X n*) = *X (Suc n)*

definition

vblcase :: [*'a,vbl => 'a,vbl*] => *'a* **where**
vblcase a f n = (@z. (*n=zeroX* \longrightarrow *z=a*) \wedge (!*x. n=nextX x* \longrightarrow *z=f(x)*))

declare [[*case-translation vblcase zeroX nextX*]]

definition

freshVar :: *vbl set* => *vbl* **where**
freshVar vs = *X (LEAST n. n \notin deX ' vs)*

lemma *nextX-nextX[iff]*: *nextX x = nextX y = (x = y)*
by(*cases x, cases y*) *auto*

lemma *inj-nextX*: *inj nextX*
by(*auto simp add: inj-on-def*)

lemma *ind'*: *P zeroX ==> (! v . P v \longrightarrow P (nextX v)) ==> P v'*
apply (*case-tac v', simp*)
apply(*rename-tac nat*)
apply(*induct-tac nat*)
apply(*simp add: zeroX-def*)
apply(*rename-tac n*)
apply (*drule-tac x=X n in spec, simp*)
done

lemma *ind*: [[*P zeroX; \wedge v. P v \implies P (nextX v)*]] \implies *P v'*
apply(*rule ind'*) **by** *auto*

lemma *zeroX-nextX[iff]*: *zeroX \sim nextX a* — FIXME iff?
apply(*case-tac a*)
apply(*simp add: zeroX-def*)
done

lemmas *nextX-zeroX[iff]* = *not-sym[OF zeroX-nextX]*

lemma *nextX*: *nextX (X n) = X (Suc n)*
apply *simp* **done**

lemma *vblcase-zeroX[simp]*: *vblcase a b zeroX = a*
by(*simp add: vblcase-def*)

lemma *vblcase-nextX[simp]*: *vblcase a b (nextX n) = b n*
by(*simp add: vblcase-def*)

lemma *vbl-cases*: *x = zeroX | (? y . x = nextX y)*
apply(*case-tac x, rename-tac m*)
apply(*case-tac m*)
apply(*simp add: zeroX-def*)
apply(*rule disjI2*)
apply (*rule-tac x=X nat in exI, simp*)

done

lemma *vbl-casesE*: $\llbracket x = \text{zero}X \implies P; \bigwedge y. x = \text{next}X y \implies P \rrbracket \implies P$
apply(*auto intro: vbl-cases[elim-format]*) **done**

lemma *comp-vblcase*: $f \circ \text{vblcase } a \ b = \text{vblcase } (f \ a) \ (f \circ \ b)$
apply(*rule ext*)
apply(*rule-tac x = x in vbl-casesE*)
apply(*simp-all add: vblcase-zeroX vblcase-nextX*)
done

lemma *equalOn-vblcaseI'*: $\text{equalOn } (\text{preImage } \text{next}X \ A) \ f \ g \implies \text{equalOn } A \ (\text{vblcase } x \ f) \ (\text{vblcase } x \ g)$
apply(*simp add: equalOn-def*)
apply(*rule+*)
apply (*case-tac xa rule: vbl-casesE, simp, simp*)
apply(*drule-tac x=y in bspec*)
apply(*simp add: preImage-def*)
by *assumption*

lemma *equalOn-vblcaseI*: $(\text{zero}X : A \dashrightarrow x=y) \implies \text{equalOn } (\text{preImage } \text{next}X \ A) \ f \ g \implies \text{equalOn } A \ (\text{vblcase } x \ f) \ (\text{vblcase } y \ g)$
apply (*rule equalOnI, rule*)
apply (*case-tac xa rule: vbl-casesE, simp, simp*)
apply(*simp add: preImage-def equalOn-def*)
done

lemma *X-deX-connection*: $X \ n : A = (n : (\text{de}X \ ' \ A))$
by *force*

lemma *finiteFreshVar*: $\text{finite } A \implies \text{freshVar } A \ \sim : A$
apply(*simp add: freshVar-def*)
apply(*simp add: X-deX-connection*)
apply(*rule-tac LeastI-ex*)
apply(*rule-tac x=(Suc (Max (deX ' A))) in exI*)
apply(*rule natset-finite-max*)
by *force*

lemma *freshVarI*: $\llbracket \text{finite } A; B \leq A \rrbracket \implies \text{freshVar } A \ \sim : B$
apply(*auto dest!: finiteFreshVar*) **done**

lemma *freshVarI2*: $\text{finite } A \implies !x. x \sim : A \dashrightarrow P \ x \implies P \ (\text{freshVar } A)$
apply(*auto dest!: finiteFreshVar*) **done**

lemmas *vblsimps = vblcase-zeroX vblcase-nextX zeroX-nextX nextX-zeroX nextX-nextX comp-vblcase*

3.2 Predicates

datatype *predicate* = *Predicate nat*

datatype *signs* = *Pos* | *Neg*

lemma *signsE*: $\llbracket \text{signs} = \text{Neg} \implies P; \text{signs} = \text{Pos} \implies P \rrbracket \implies P$
apply(*cases signs, auto*) **done**

lemma *expand-case-signs*: $Q(\text{case-signs } v\text{pos } v\text{neg } F) = ($
 $(F = \text{Pos} \dashrightarrow Q(v\text{pos})) \ \&$
 $(F = \text{Neg} \dashrightarrow Q(v\text{neg}))$
 $)$
by(*induct F*) *simp-all*

primrec *sign* :: *signs* \Rightarrow *bool* \Rightarrow *bool*

where

sign Pos *x* = *x*

| *sign Neg* *x* = (\neg *x*)

lemma *sign-arg-cong*: $x = y \implies \text{sign } z \ x = \text{sign } z \ y$ **by** *simp*

primrec *invSign* :: *signs* \Rightarrow *signs*

where

invSign Pos = *Neg*

| *invSign Neg* = *Pos*

3.3 Formulas

datatype *formula* =

FAtom signs predicate (vbl list)

| *FConj signs formula formula*

| *FAll signs formula*

3.4 formula signs induct, formula signs cases

lemma *formula-signs-induct*: \llbracket

 ! *p vs. P (FAtom Pos p vs)*;

 ! *p vs. P (FAtom Neg p vs)*;

 !! *A B . \llbracket P A; P B \rrbracket \implies P (FConj Pos A B)*;

 !! *A B . \llbracket P A; P B \rrbracket \implies P (FConj Neg A B)*;

 !! *A . \llbracket P A \rrbracket \implies P (FAll Pos A)*;

 !! *A . \llbracket P A \rrbracket \implies P (FAll Neg A)*

\llbracket

$\implies P A$

apply(*induct-tac A*)

apply(*rule signs.induct, force, force*)+

done

lemma *formula-signs-cases*: !!*P*.

```

[[ !! p vs . P (FAtom Pos p vs);
!! p vs . P (FAtom Neg p vs);
!! f1 f2 . P (FConj Pos f1 f2);
!! f1 f2 . P (FConj Neg f1 f2);
!! f1 . P (FAll Pos f1);
!! f1 . P (FAll Neg f1) ]]
==> P A
apply(induct-tac A)
apply(rule signs.induct, force, force)+
done

— induction using nat induction, not wellfounded induction
lemma strong-formula-induct': !A. (! B. size B < size A --> P B) --> P A
==> ! A. size A = n --> P (A::formula)
by (induct-tac n rule: nat-less-induct, blast)

lemma strong-formula-induct: (! A. (! B. size B < size A --> P B) --> P A)
==> P (A::formula)
by (rule strong-formula-induct'[rule-format], blast+)

lemma sizelemmas: size A < size (FConj z A B)
size B < size (FConj z A B)
size A < size (FAll z A)
by auto

lemma expand-case-formula:
Q(case-formula fatom fconj fall F) = (
(! z P vs . F = FAtom z P vs --> Q (fatom z P vs)) &
(! z A0 A1 . F = FConj z A0 A1 --> Q (fconj z A0 A1)) &
(! z A . F = FAll z A --> Q (fall z A))
)
apply(cases F) apply simp-all done

primrec FNot :: formula => formula
where
FNot-FAtom: FNot (FAtom z P vs) = FAtom (invSign z) P vs
| FNot-FConj: FNot (FConj z A0 A1) = FConj (invSign z) (FNot A0) (FNot A1)

| FNot-FAll: FNot (FAll z body) = FAll (invSign z) (FNot body)

primrec neg :: signs => signs
where
neg Pos = Neg
| neg Neg = Pos

primrec
dual :: [(signs => signs),(signs => signs),(signs => signs)] => formula =>
formula
where

```

$dual\text{-}FAtom: dual\ p\ q\ r\ (FAtom\ z\ P\ vs) = FAtom\ (p\ z)\ P\ vs$
 $|\ dual\text{-}FConj: dual\ p\ q\ r\ (FConj\ z\ A0\ A1) = FConj\ (q\ z)\ (dual\ p\ q\ r\ A0)\ (dual\ p\ q\ r\ A1)$
 $||\ dual\text{-}FAll: dual\ p\ q\ r\ (FAll\ z\ body) = FAll\ (r\ z)\ (dual\ p\ q\ r\ body)$

lemma $dualCompose: dual\ p\ q\ r\ o\ dual\ P\ Q\ R = dual\ (p\ o\ P)\ (q\ o\ Q)\ (r\ o\ R)$
apply(*rule ext*)
apply (*induct-tac x, auto*)
done

lemma $dualFNot': dual\ invSign\ invSign\ invSign = FNot$
apply(*rule ext*)
apply(*induct-tac x*)
apply *auto*
done

lemma $dualFNot: dual\ invSign\ id\ id\ (FNot\ A) = FNot\ (dual\ invSign\ id\ id\ A)$
by(*induct A*) (*auto simp: id-def*)

lemma $dualId: dual\ id\ id\ id\ A = A$
by(*induct A*) (*auto simp: id-def*)

3.5 Frees

primrec $freeVarsF :: formula \Rightarrow vbl\ set$
where

$freeVarsFAtom: freeVarsF\ (FAtom\ z\ P\ vs) = set\ vs$
 $| freeVarsFConj: freeVarsF\ (FConj\ z\ A0\ A1) = (freeVarsF\ A0)\ Un\ (freeVarsF\ A1)$
 $| freeVarsFAll: freeVarsF\ (FAll\ z\ body) = preImage\ nextX\ (freeVarsF\ body)$

definition

$freeVarsFL :: formula\ list \Rightarrow vbl\ set$ **where**
 $freeVarsFL\ gamma = Union\ (freeVarsF\ ` (set\ gamma))$

lemma $freeVarsF\text{-}FNot[simp]: freeVarsF\ (FNot\ A) = freeVarsF\ A$
by(*induct A*) *auto*

lemma $finite\text{-}freeVarsF[simp]: finite\ (freeVarsF\ A)$
by(*induct A*) (*auto simp add: inj-nextX finite-preImage*)

lemma $freeVarsFL\text{-}nil[simp]: freeVarsFL\ ([])\ =\ \{\}$
by(*simp add: freeVarsFL-def*)

lemma $freeVarsFL\text{-}cons: freeVarsFL\ (A\#\Gamma) = freeVarsF\ A\ \cup\ freeVarsFL\ \Gamma$
 Γ

by(*simp add: freeVarsFL-def*)
— FIXME not simp, since simp stops some later lemmas because the simpset isn't confluent

lemma *finite-freeVarsFL[simp]: finite (freeVarsFL gamma)*
by(*induct gamma*) (*auto simp: freeVarsFL-cons*)

lemma *freeVarsDual: freeVarsF (dual p q r A) = freeVarsF A*
by(*induct A*) *auto*

3.6 Substitutions

primrec *subF* :: [*vbl => vbl, formula*] => *formula*

where

subFAtom: subF theta (FAtom z P vs) = FAtom z P (map theta vs)
| *subFConj: subF theta (FConj z A0 A1) = FConj z (subF theta A0) (subF theta A1)*
| *subFAll: subF theta (FAll z body) =*
FAll z (subF (% v . (case v of zeroX => zeroX | nextX v => nextX (theta v)))
body)

lemma *size-subF: !!theta. size (subF theta A) = size (A::formula)*
by(*induct A*) *auto*

lemma *subFNot: !!theta. subF theta (FNot A) = FNot (subF theta A)*
by(*induct A*) *auto*

lemma *subFDual: !!theta. subF theta (dual p q r A) = dual p q r (subF theta A)*
by(*induct A*) *auto*

definition

instanceF :: [*vbl, formula*] => *formula* **where**
instanceF w body = subF (%v. case v of zeroX => w | nextX v => v) body

lemma *size-instance: !!v. size (instanceF v A) = size (A::formula)*
by(*induct A*) (*auto simp: instanceF-def size-subF*)

lemma *instanceFDual: instanceF u (dual p q r A) = dual p q r (instanceF u A)*
by(*induct A*) (*simp-all add: instanceF-def subFDual*)

3.7 Models

typeddecl

object

axiomatization *obj* :: *nat => object*

where *inj-obj: inj obj*

3.8 model, non empty set and positive atom valuation

definition *model* = {*z* :: (*object set* * ([*predicate, object list*] => *bool*)). (*fst z* ~ = {})}

typedef *model* = *model*
unfolding *model-def* **by** *auto*

definition

objects :: *model* => *object set* **where**
objects *M* = *fst* (*Rep-model* *M*)

definition

evalP :: *model* => *predicate* => *object list* => *bool* **where**
evalP *M* = *snd* (*Rep-model* *M*)

lemma *evalP-arg2-cong*: $x = y \implies \text{evalP } M \ p \ x = \text{evalP } M \ p \ y$ **by** *simp*

lemma *objectsNonEmpty*: $\text{objects } M \neq \{\}$
using *Rep-model*[*of* *M*]
by(*simp add: objects-def model-def*)

lemma *modelsNonEmptyI*: $\text{fst } (\text{Rep-model } M) \neq \{\}$
using *Rep-model*[*of* *M*] **by**(*simp add: objects-def model-def*)

3.9 Validity

primrec *evalF* :: [*model*, *vbl* => *object*, *formula*] => *bool*

where

evalFAtom: $\text{evalF } M \ \text{phi} \ (\text{FAtom } z \ P \ \text{vs}) = \text{sign } z \ (\text{evalP } M \ P \ (\text{map } \text{phi} \ \text{vs}))$
| *evalFConj*: $\text{evalF } M \ \text{phi} \ (\text{FConj } z \ A0 \ A1) = \text{sign } z \ (\text{sign } z \ (\text{evalF } M \ \text{phi} \ A0) \ \& \ \text{sign } z \ (\text{evalF } M \ \text{phi} \ A1))$
| *evalFAll*: $\text{evalF } M \ \text{phi} \ (\text{FAll } z \ \text{body}) = \text{sign } z \ (\!x: (\text{objects } M).$
 $\text{sign } z$
 $(\text{evalF } M \ (\%v . (\text{case } v \ \text{of}$
 $\text{zeroX} \ \Rightarrow \ x$
 $| \ \text{nextX } v \ \Rightarrow \ \text{phi } v))$
 $\text{body}))$

definition

valid :: *formula* => *bool* **where**
valid *F* $\longleftrightarrow (\forall M \ \text{phi}. \ \text{evalF } M \ \text{phi} \ F = \text{True})$

lemma *evalF-FAll*: $\text{evalF } M \ \text{phi} \ (\text{FAll } \text{Pos } A) = (\!x: (\text{objects } M). (\text{evalF } M \ (\text{vblcase } x \ (\%v . \ \text{phi } v)) \ A))$
by *simp*

lemma *evalF-FEx*: $\text{evalF } M \ \text{phi} \ (\text{FAll } \text{Neg } A) = (\ ? \ x: (\text{objects } M). (\text{evalF } M \ (\text{vblcase } x \ (\%v . \ \text{phi } v)) \ A))$
by *simp*

lemma *evalF-arg2-cong*: $x = y \implies \text{evalF } M \ p \ x = \text{evalF } M \ p \ y$ **by** *simp*

lemma *evalF-FNot*: $!!\text{phi. evalF } M \text{ phi } (F\text{Not } A) = (\neg \text{evalF } M \text{ phi } A)$
by (*induct A rule: formula-signs-induct simp-all*)

lemma *evalF-equiv*[*rule-format*]: $! f g. (\text{equalOn } (\text{freeVarsF } A) f g) \longrightarrow (\text{evalF } M f A = \text{evalF } M g A)$
apply (*induct A*)
apply (*force simp:equalOn-def cong: map-cong, clarify*) **apply** *simp* **apply** (*drule-tac equalOn-UnD*) **apply** *force*
apply *clarify* **apply** *simp* **apply** (*rename-tac signs A f g*) **apply** (*rule-tac f = sign signs in arg-cong*) **apply** (*rule ball-cong*) **apply** *rule*
apply (*rule-tac f = sign signs in arg-cong*) **apply** (*force intro: equalOn-vblcaseI'*)
done
— FIXME tricky to automate cong args convincingly?

— composition of substitutions

lemma *evalF-subF-eq*: $! \text{phi } \theta. \text{evalF } M \text{ phi } (\text{subF } \theta A) = \text{evalF } M (\text{phi } \circ \theta) A$
apply (*induct-tac A*)
apply (*simp del: o-apply*)
apply (*simp del: o-apply*)
apply (*intro allI*)
apply (*simp del: o-apply*)
apply (*rename-tac signs phi0 phi theta*)
apply (*rule-tac f=sign signs in arg-cong*)
apply (*rule ball-cong*) **apply** *rule*
apply (*rule-tac f=sign signs in arg-cong*)
apply (*subgoal-tac (vblcase x phi o vblcase zeroX (\lambda v. nextX (theta v))) = (vblcase x (phi o theta))*)
apply (*simp del: o-apply*)
apply (*rule ext*)
apply (*case-tac xa rule: vbl-casesE, simp, simp*)
done

lemma *o-id'*[*simp*]: $f \circ (\% x. x) = f$
by (*fold id-def, simp*)

lemma *evalF-instance*: $\text{evalF } M \text{ phi } (\text{instanceF } u A) = \text{evalF } M (\text{vblcase } (\text{phi } u) \text{ phi}) A$
apply (*simp add: instanceF-def evalF-subF-eq vblsimps*)
done

— FIXME move

lemma *s*[*simp*]: $F\text{Conj } \text{signs } \text{formula1 } \text{formula2} \neq \text{formula1}$
apply (*induct-tac formula1, auto*) **done**

lemma *s'*[*simp*]: $F\text{Conj } \text{signs } \text{formula1 } \text{formula2} \neq \text{formula2}$
apply (*induct formula2, auto*) **done**


```

lemma instanceF-E: instanceF g formula  $\neq$  FAll signs formula
  apply clarify
  apply(subgoal-tac Suc (size (instanceF g formula)) = (size (FAll signs formula)))
  apply force
  apply(simp (no-asm) only: size-instance[rule-format])
  apply simp done

end

```

4 Sequents

```

theory Sequents
imports Formula
begin

```

```

type-synonym sequent = formula list

```

definition

```

evalS :: [model,vbl => object,formula list] => bool where
evalS M phi fs  $\longleftrightarrow$  (? f : set fs . evalF M phi f = True)

```

```

lemma evalS-nil[simp]: evalS M phi [] = False
  by(simp add: evalS-def)

```

```

lemma evalS-cons[simp]: evalS M phi (A # Gamma) = (evalF M phi A | evalS M
phi Gamma)
  by(simp add: evalS-def)

```

```

lemma evalS-append: evalS M phi (Gamma @ Delta) = (evalS M phi Gamma |
evalS M phi Delta)
  by(force simp add: evalS-def)

```

```

lemma evalS-equiv[rule-format]: (equalOn (freeVarsFL Gamma) f g)  $\longrightarrow$  (evalS
M f Gamma = evalS M g Gamma)
  apply (induct Gamma, simp, rule)
  apply(simp add: freeVarsFL-cons)
  apply(drule-tac equalOn-UnD)
  apply(blast dest: evalF-equiv)
  done

```

definition

```

modelAssigns :: [model] => (vbl => object) set where
modelAssigns M = { phi . range phi <= objects M }

```

```

lemma modelAssignsI: range f <= objects M  $\implies$  f : modelAssigns M
  by(simp add: modelAssigns-def)

```

lemma *modelAssignsD*: $f : \text{modelAssigns } M \implies \text{range } f \leq \text{objects } M$
by(*simp add: modelAssigns-def*)

definition

validS :: *formula list* => *bool* **where**
validS *fs* $\longleftrightarrow (! M . ! \text{phi} : \text{modelAssigns } M . \text{evalS } M \text{ phi } \text{fs} = \text{True})$

4.1 Rules

type-synonym *rule* = *sequent* * (*sequent set*)

definition

concR :: *rule* => *sequent* **where**
concR = (%(*conc*,*prems*)). *conc*

definition

premsR :: *rule* => *sequent set* **where**
premsR = (%(*conc*,*prems*)). *prems*

definition

mapRule :: (*formula* => *formula*) => *rule* => *rule* **where**
mapRule = (%*f* (*conc*,*prems*) . (*map f conc*,(*map f*) ‘*prems*))

lemma *mapRuleI*: $[| A = \text{map } f \ a; B = (\text{map } f) \ ' \ b \ |] \implies (A,B) = \text{mapRule } f \ (a,b)$

by(*simp add: mapRule-def*)
— FIXME tjr would like symmetric

4.2 Deductions

lemmas *Powp-mono* [*mono*] = *Pow-mono* [*to-pred pred-subset-eq*]

inductive-set

deductions :: *rule set* => *formula list set*
for *rules* :: *rule set*

where

inferI: $[| (\text{conc},\text{prems}) : \text{rules};$
prems : *Pow*(*deductions*(*rules*))
 $|] \implies \text{conc} : \text{deductions}(\text{rules})$

lemma *mono-deductions*: $[| A \leq B \ |] \implies \text{deductions}(A) \leq \text{deductions}(B)$
apply(*best intro: deductions.inferI elim: deductions.induct*) **done**

4.3 Basic Rule sets

definition

Axioms = { *z*. ? *p vs*. $z = ([\text{FAtom Pos } p \ \text{vs}, \text{FAtom Neg } p \ \text{vs}], \{\})$ }

definition

$Conjs = \{ z. ? A0 A1 Delta Gamma. z = (FConj Pos A0 A1 \# Gamma @ Delta, \{A0 \# Gamma, A1 \# Delta\}) \}$

definition

$Disjs = \{ z. ? A0 A1 Gamma. z = (FConj Neg A0 A1 \# Gamma, \{A0 \# A1 \# Gamma\}) \}$

definition

$Alls = \{ z. ? A x Gamma. z = (Fall Pos A \# Gamma, \{instanceF x A \# Gamma\}) \ \& \ x \sim: freeVarsFL (Fall Pos A \# Gamma) \}$

definition

$Exs = \{ z. ? A x Gamma. z = (Fall Neg A \# Gamma, \{instanceF x A \# Gamma\}) \}$

definition

$Weaks = \{ z. ? A Gamma. z = (A \# Gamma, \{Gamma\}) \}$

definition

$Contrs = \{ z. ? A Gamma. z = (A \# Gamma, \{A \# A \# Gamma\}) \}$

definition

$Cuts = \{ z. ? C Delta Gamma. z = (Gamma @ Delta, \{C \# Gamma, FNot C \# Delta\}) \}$

definition

$Perms = \{ z. ? Gamma Gamma' . z = (Gamma, \{Gamma'\}) \ \& \ mset Gamma = mset Gamma' \}$

definition

$DAxioms = \{ z. ? p vs. z = ([FAtom Neg p vs, FAtom Pos p vs], \{\}) \}$

lemma *AxiomI*: $[[Axioms <= A]] ==> [FAtom Pos p vs, FAtom Neg p vs] : deductions(A)$

apply(rule *deductions.inferI*)

apply(auto simp add: *Axioms-def*) **done**

lemma *DAxiomsI*: $[[DAxioms <= A]] ==> [FAtom Neg p vs, FAtom Pos p vs] : deductions(A)$

apply(rule *deductions.inferI*)

apply(auto simp add: *DAxioms-def*) **done**

lemma *DisjI*: $[[A0 \# A1 \# Gamma : deductions(A); Disjs <= A]] ==> (FConj Neg A0 A1 \# Gamma) : deductions(A)$

apply(rule *deductions.inferI*)

apply(auto simp add: *Disjs-def*) **done**

lemma *ConjI*: $[[(A0 \# Gamma) : deductions(A); (A1 \# Delta) : deductions(A); Conjs <= A]] ==> FConj Pos A0 A1 \# Gamma @ Delta : deductions(A)$

apply(rule-tac prems= $\{A0 \# Gamma, A1 \# Delta\}$ in *deductions.inferI*)

apply(auto simp add: *Conjs-def*) **apply force done**

lemma *AllI*: $[[instanceF w A \# Gamma : deductions(R); w \sim: freeVarsFL (Fall Pos A \# Gamma); Alls <= R]] ==> (Fall Pos A \# Gamma) : deductions(R)$

apply(rule-tac prems= $\{instanceF w A \# Gamma\}$ in *deductions.inferI*)

apply(auto simp add: *Alls-def*) **done**

lemma *ExI*: [| *instanceF w A#Gamma* : *deductions(R)*; *Exs <= R* |] ==> (*FAll Neg A#Gamma*) : *deductions(R)*
apply(*rule-tac prems* = {*instanceF w A#Gamma*} **in** *deductions.inferI*)
apply(*auto simp add: Exs-def*) **done**

lemma *WeakI*: [| *Gamma* : *deductions R*; *Weaks <= R* |] ==> *A#Gamma* : *deductions(R)*
apply(*rule-tac prems*={*Gamma*} **in** *deductions.inferI*)
apply(*auto simp add: Weaks-def*) **done**

lemma *ContrI*: [| *A#A#Gamma* : *deductions R*; *Contrs <= R* |] ==> *A#Gamma* : *deductions(R)*
apply(*rule-tac prems*={*A#A#Gamma*} **in** *deductions.inferI*)
apply(*auto simp add: Contrs-def*) **done**

lemma *PermI*: [| *Gamma'* : *deductions R*; *mset Gamma* = *mset Gamma'*; *Perms <= R* |] ==> *Gamma* : *deductions(R)*
apply(*rule-tac prems*={*Gamma'*} **in** *deductions.inferI*)
apply(*auto simp add: Perms-def*) **done**

4.4 Derived Rules

lemma *WeakI1*: [| *Gamma* : *deductions(A)*; *Weaks <= A* |] ==> (*Delta @ Gamma*) : *deductions(A)*
apply (*induct Delta, simp*)
apply(*auto intro: WeakI*) **done**

lemma *WeakI2*: [| *Gamma* : *deductions(A)*; *Perms <= A*; *Weaks <= A* |] ==> (*Gamma @ Delta*) : *deductions(A)*
apply (*auto intro: PermI [of <Delta @ Gamma>] WeakI1*)
done

lemma *SATAxiomI*: [| *Axioms <= A*; *Weaks <= A*; *Perms <= A*; *forms* = [*FAtom Pos n vs, FAtom Neg n vs*] @ *Gamma* |] ==> *forms* : *deductions(A)*
apply(*simp only:*)
apply(*blast intro: WeakI2 AxiomI*)
done

lemma *DisjI1*: [| (*A1#Gamma*) : *deductions(A)*; *Disjs <= A*; *Weaks <= A* |] ==> *FConj Neg A0 A1#Gamma* : *deductions(A)*
apply(*blast intro: DisjI WeakI*)
done

lemma *DisjI2*: !!*A*. [| (*A0#Gamma*) : *deductions(A)*; *Disjs <= A*; *Weaks <= A*; *Perms <= A* |] ==> *FConj Neg A0 A1#Gamma* : *deductions(A)*
apply(*rule DisjI*)
apply(*rule PermI [of <A1 # A0 # Gamma>]*)
apply *simp-all*

apply(rule *WeakI*)

.

— FIXME the following 4 lemmas could all be proved for the standard rule sets using monotonicity as below

— we keep proofs as in original, but they are slightly ugly, and do not state what is intuitively happening

lemma *perm-tmp4*: $Perms \subseteq R \implies A @ (a \# list) @ (a \# list) : deductions R \implies (a \# a \# A) @ list @ list : deductions R$

apply (rule *PermI*, *auto*)

done

lemma *weaken-append*[rule-format]: $Contrs \leq R \implies Perms \leq R \implies !A. A @ Gamma @ Gamma : deductions(R) \dashrightarrow A @ Gamma : deductions(R)$

apply (*induct-tac* *Gamma*, *simp*, rule) **apply** rule

apply(*drule-tac* $x=a\#a\#A$ in *spec*)

apply(*erule-tac* *impE*)

apply(rule *perm-tmp4*) **apply**(*assumption*, *assumption*)

apply(*thin-tac* $A @ (a \# list) @ a \# list \in deductions R$)

apply *simp*

apply(*frule-tac* *ContrI*) **apply** *assumption*

apply(*thin-tac* $a \# a \# A @ list \in deductions R$)

apply(rule *PermI*) **apply** *assumption*

apply(*simp* add: *perm-count-conv*)

by *assumption*

— FIXME horrible

lemma *ListWeakI*: $Perms \leq R \implies Contrs \leq R \implies x \# Gamma @ Gamma : deductions(R) \implies x \# Gamma : deductions(R)$

by(rule *weaken-append*[of $R [x] Gamma$, *simplified*])

lemma *ConjI'*: $[(A0 \# Gamma) : deductions(A); (A1 \# Gamma) : deductions(A); Contrs \leq A; Conjs \leq A; Perms \leq A] \implies FConj Pos A0 A1 \# Gamma : deductions(A)$

apply(rule *ListWeakI*, *assumption*, *assumption*)

apply(rule *ConjI*) .

4.5 Standard Rule Sets For Predicate Calculus

definition

PC :: rule set **where**

$PC = Union \{Perms, Axioms, Conjs, Disjs, Alls, Exs, Weaks, Contrs, Cuts\}$

definition

CutFreePC :: rule set **where**

$CutFreePC = Union \{Perms, Axioms, Conjs, Disjs, Alls, Exs, Weaks, Contrs\}$

lemma *rulesInPCs*: $Axioms \leq PC \wedge Axioms \leq CutFreePC$

$Conjs \leq PC \wedge Conjs \leq CutFreePC$

```

Disjs <= PC Disjs <= CutFreePC
Alls <= PC Alls <= CutFreePC
Exs <= PC Exs <= CutFreePC
Weaks <= PC Weaks <= CutFreePC
Contrs <= PC Contrs <= CutFreePC
Perms <= PC Perms <= CutFreePC
Cuts <= PC
CutFreePC <= PC
by(auto simp: PC-def CutFreePC-def)

```

4.6 Monotonicity for CutFreePC deductions

definition

```

inDed :: formula list => bool where
inDed xs <=> xs : deductions CutFreePC

```

lemma perm: ! xs ys. mset xs = mset ys --> (inDed xs = inDed ys)
by (metis PermI inDed-def rulesInPCs(16))

lemma contr: ! x xs. inDed (x#x#xs) --> inDed (x#xs)
apply(simp add: inDed-def)
apply(blast intro!: ContrI rulesInPCs)
done

lemma weak: ! x xs. inDed xs --> inDed (x#xs)
apply(simp add: inDed-def)
apply(blast intro!: WeakI rulesInPCs)
done

lemma inDed-mono'[simplified inDed-def]: set x <= set y ==> inDed x ==>
inDed y
using perm-weak-contr-mono[OF perm contr weak] .

lemma inDed-mono[simplified inDed-def]: inDed x ==> set x <= set y ==>
inDed y
using perm-weak-contr-mono[OF perm contr weak] .

end

theory Tree imports Main begin

4.7 Tree

inductive-set

```

tree :: ['a => 'a set, 'a] => (nat * 'a) set
for subs :: 'a => 'a set and gamma :: 'a

```

where

```

tree0: (0, gamma) : tree subs gamma

```

```
| tree1: [| (n,delta) : tree subs gamma; sigma : subs delta |]
  ==> (Suc n,sigma) : tree subs gamma
```

```
declare tree.cases [elim]
declare tree.intros [intro]
```

```
lemma tree0Eq: (0,y) : tree subs gamma = (y = gamma)
  apply(rule iffI)
  apply (erule tree.cases, auto)
  done
```

```
lemma tree1Eq [rule-format]:
   $\forall Y. (Suc\ n,\ Y) \in tree\ subs\ gamma = (\exists\ sigma \in subs\ gamma . (n,\ Y) \in tree\ subs\ sigma)$ 
  by (induct n) (blast, force)
  — moving down a tree
```

```
definition
  incLevel :: nat * 'a ==> nat * 'a where
  incLevel = (% (n,a). (Suc n,a))
```

```
lemma injIncLevel: inj incLevel
  apply(simp add: incLevel-def)
  apply(rule inj-onI)
  apply auto
  done
```

```
lemma treeEquation: tree subs gamma = insert (0,gamma) (UN delta:subs gamma
. incLevel ` tree subs delta)
  apply(rule set-eqI)
  apply(simp add: split-paired-all)
  apply(case-tac a)
  apply(force simp add: tree0Eq incLevel-def)
  apply(force simp add: tree1Eq incLevel-def)
  done
```

```
definition
  fans :: ['a ==> 'a set] ==> bool where
  fans subs  $\longleftrightarrow$  (!x. finite (subs x))
```

```
lemma fansD: fans subs ==> finite (subs A)
  by(simp add: fans-def)
```

```
lemma fansI: (!A. finite (subs A)) ==> fans subs
  by(simp add: fans-def)
```

4.8 Terminal

```
definition
```

terminal :: [*'a* => *'a set, 'a*] => *bool* **where**
terminal subs delta \longleftrightarrow *subs(delta) = {}*

lemma *terminalD*: *terminal subs Gamma* ==> *x ~: subs Gamma*
by(*simp add: terminal-def*)
— not a good dest rule

lemma *terminalI*: *x ∈ subs Gamma* ==> *~ terminal subs Gamma*
by(*auto simp add: terminal-def*)
— not a good intro rule

4.9 Inherited

definition

inherited :: [*'a* => *'a set, (nat * 'a) set*] => *bool* **where**
inherited subs P \longleftrightarrow (!*A B. (P A & P B) = P (A Un B)*)
& (!*A. P A = P (incLevel ' A)*)
& (!*n Gamma A. ~ (terminal subs Gamma) --> P A = P*
(*insert (n, Gamma) A*)
& (*P {}*))

— FIXME tjr why does it have to be invariant under inserting nonterminal nodes?

lemma *inheritedUn*[*rule-format*]: *inherited subs P --> P A --> P B --> P*
(*A Un B*)
by (*auto simp add: inherited-def*)

lemma *inheritedIncLevel*[*rule-format*]: *inherited subs P --> P A --> P (incLevel*
'*A*)
by (*auto simp add: inherited-def*)

lemma *inheritedEmpty*[*rule-format*]: *inherited subs P --> P {}*
by (*auto simp add: inherited-def*)

lemma *inheritedInsert*[*rule-format*]:
inherited subs P --> ~ (terminal subs Gamma) --> P A --> P (insert
(*n, Gamma) A*)
by (*auto simp add: inherited-def*)

lemma *inheritedI*[*rule-format*]: [| $\forall A B . (P A \& P B) = P (A \text{ Un } B)$;
 $\forall A . P A = P (\text{incLevel } ' A)$;
 $\forall n \text{ Gamma } A . \sim (\text{terminal subs Gamma}) \text{ --> } P A = P (\text{insert } (n, \text{Gamma}) A)$;
 $P \{ \} \]$] ==> *inherited subs P*
by (*simp add: inherited-def*)

lemma *inheritedUnEq*[*rule-format, symmetric*]: *inherited subs P --> (P A & P*

$B) = P (A \text{ Un } B)$
by (*auto simp add: inherited-def*)

lemma *inheritedIncLevelEq*[*rule-format, symmetric*]: *inherited subs* $P \dashrightarrow P A$
 $= P (\text{incLevel } A)$
by (*auto simp add: inherited-def*)

lemma *inheritedInsertEq*[*rule-format, symmetric*]: *inherited subs* $P \dashrightarrow \sim(\text{terminal subs } \Gamma)$
 $P A = P (\text{insert } (n, \Gamma) A)$
by (*auto simp add: inherited-def*)

lemmas *inheritedUnD* = *iffD1*[*OF inheritedUnEq*]

lemmas *inheritedInsertD* = *inheritedInsertEq*[*THEN iffD1*]

lemmas *inheritedIncLevelD* = *inheritedIncLevelEq*[*THEN iffD1*]

lemma *inheritedUNEQ*[*rule-format*]:
finite $A \dashrightarrow$ *inherited subs* $P \dashrightarrow (!x:A. P (B x)) = P (UN a:A. B a)$
apply(*intro impI*)
apply(*erule finite-induct*)
apply *simp*
apply(*simp add: inheritedEmpty*)
apply(*force dest: inheritedUnEq*)
done

lemmas *inheritedUN* = *inheritedUNEQ*[*THEN iffD1*]

lemmas *inheritedUND*[*rule-format*] = *inheritedUNEQ*[*THEN iffD2*]

lemma *inheritedPropagateEq*[*rule-format*]: **assumes** a : *inherited subs* P
and b : *fans subs*
and c : $\sim(\text{terminal subs } \delta)$
shows $P(\text{tree subs } \delta) = (!\sigma:\text{subs } \delta. P(\text{tree subs } \sigma))$
apply(*insert fansD*[*OF b*])
apply(*subst treeEquation* [*of - delta*])
using *assms*
apply(*simp add: inheritedInsertEq inheritedUNEQ*[*symmetric*] *inheritedIncLevelEq*)
done

lemma *inheritedPropagate*:
 $[[\sim P(\text{tree subs } \delta); \text{inherited subs } P; \text{fans subs}; \sim(\text{terminal subs } \delta)]]$
 $\implies \exists \sigma \in \text{subs } \delta . \sim P(\text{tree subs } \sigma)$
by(*simp add: inheritedPropagateEq*)

lemma *inheritedViaSub*: $[[\text{inherited subs } P; \text{fans subs}; P(\text{tree subs } \delta); \sigma \in \text{subs } \delta]]$
 $\implies P(\text{tree subs } \sigma)$
apply(*frule-tac terminalI*)

apply(*simp add: inheritedPropagateEq*)
done

lemma *inheritedJoin*[*rule-format*]:
(inherited subs P & inherited subs Q) --> inherited subs (%x. P x & Q x)
by(*blast intro!: inheritedI*
dest: inheritedUnEq inheritedIncLevelEq inheritedInsertEq inheritedEmpty)

lemma *inheritedJoinI*[*rule-format*]: $[[\textit{inherited subs } P; \textit{inherited subs } Q; R = (\% x . P x \ \& \ Q x)]] \implies \textit{inherited subs } R$
by(*blast intro!:inheritedI dest: inheritedUnEq inheritedIncLevelEq inheritedInsertEq inheritedEmpty*)

4.10 bounded, boundedBy

definition

boundedBy :: $\textit{nat} \implies (\textit{nat} * 'a) \textit{set} \implies \textit{bool}$ **where**
boundedBy $N \ A \longleftrightarrow (\forall (n, \textit{delta}) \in A. n < N)$

definition

bounded :: $(\textit{nat} * 'a) \textit{set} \implies \textit{bool}$ **where**
bounded $A \longleftrightarrow (\exists N . \textit{boundedBy} \ N \ A)$

lemma *boundedByEmpty*[*simp*]: *boundedBy* $N \ \{\}$
by(*simp add: boundedBy-def*)

lemma *boundedByInsert*: *boundedBy* $N \ (\textit{insert} \ (n, \textit{delta}) \ B) = (n < N \ \& \ \textit{boundedBy} \ N \ B)$
by(*simp add: boundedBy-def*)

lemma *boundedByUn*: *boundedBy* $N \ (A \ \textit{Un} \ B) = (\textit{boundedBy} \ N \ A \ \& \ \textit{boundedBy} \ N \ B)$
by(*auto simp add: boundedBy-def*)

lemma *boundedByIncLevel'*: *boundedBy* $(\textit{Suc} \ N) \ (\textit{incLevel} \ ' \ A) = \textit{boundedBy} \ N \ A$
by(*auto simp add: incLevel-def boundedBy-def*)

lemma *boundedByAdd1*: *boundedBy* $N \ B \implies \textit{boundedBy} \ (N+M) \ B$
by(*auto simp add: boundedBy-def*)

lemma *boundedByAdd2*: *boundedBy* $M \ B \implies \textit{boundedBy} \ (N+M) \ B$
by(*auto simp add: boundedBy-def*)

lemma *boundedByMono*: *boundedBy* $m \ B \implies m < M \implies \textit{boundedBy} \ M \ B$
by(*auto simp: boundedBy-def*)

lemmas *boundedByMonoD* = *boundedByMono*

lemma *boundedBy0*: *boundedBy* $0 \ A = (A = \{\})$

```

apply(simp add: boundedBy-def)
apply(auto simp add: boundedBy-def)
done

```

```

lemma boundedBySuc': boundedBy N A  $\implies$  boundedBy (Suc N) A
by (auto simp add: boundedBy-def)

```

```

lemma boundedByIncLevel: boundedBy n (incLevel ' (tree subs gamma)) = (  $\exists$  m
. n = Suc m & boundedBy m (tree subs gamma))
apply(cases n)
apply(force simp add: boundedBy0 tree0)
apply(force simp add: treeEquation [of - gamma] incLevel-def boundedBy-def)
done

```

```

lemma boundedByUN: boundedBy N (UN x:A. B x) = (!x:A. boundedBy N (B x))
by(simp add: boundedBy-def)

```

```

lemma boundedBySuc[rule-format]: sigma  $\in$  subs Gamma  $\implies$  boundedBy (Suc n)
(tree subs Gamma)  $\longrightarrow$  boundedBy n (tree subs sigma)
apply(subst treeEquation [of - Gamma])
apply rule
apply(simp add: boundedByInsert)
apply(simp add: boundedByUN)
apply(drule-tac x=sigma in bspec) apply assumption
apply(simp add: boundedByIncLevel)
done

```

4.11 Inherited Properties- bounded

```

lemma boundedEmpty: bounded {}
by(simp add: bounded-def)

```

```

lemma boundedUn: bounded (A Un B) = (bounded A & bounded B)
apply(auto simp add: bounded-def boundedByUn)
apply(rule-tac x=N+Na in exI)
apply(blast intro: boundedByAdd1 boundedByAdd2)
done

```

```

lemma boundedIncLevel: bounded (incLevel' A) = (bounded A)
apply (simp add: bounded-def, rule)
apply(erule exE)
apply(rule-tac x=N in exI)
apply (simp add: boundedBy-def incLevel-def, force)
apply(erule exE)
apply(rule-tac x=Suc N in exI)
apply (simp add: boundedBy-def incLevel-def, force)
done

```

```

lemma boundedInsert: bounded (insert a B) = (bounded B)

```

```

apply(case-tac a)
apply (simp add: bounded-def boundedByInsert, rule) apply blast
apply(erule exE)
apply(rule-tac x=Suc(aa+N) in exI)
apply(force intro:boundedByMono)
done

```

```

lemma inheritedBounded: inherited subs bounded
  by(blast intro!: inheritedI boundedUn[symmetric] boundedIncLevel[symmetric]
    boundedInsert[symmetric] boundedEmpty)

```

4.12 founded

definition

```

founded :: ['a => 'a set, 'a => bool, (nat * 'a) set] => bool where
founded subs Pred = (%A. !(n,delta):A. terminal subs delta --> Pred delta)

```

```

lemma foundedD: founded subs P (tree subs delta) ==> terminal subs delta ==>
P delta
  by(simp add: treeEquation [of - delta] founded-def)

```

```

lemma foundedMono: [| founded subs P A;  $\forall x. P x --> Q x$  |] ==> founded
subs Q A
  by (auto simp: founded-def)

```

```

lemma foundedSubs: founded subs P (tree subs Gamma) ==> sigma  $\in$  subs Gamma
==> founded subs P (tree subs sigma)
  apply(simp add: founded-def)
  apply(intro ballI impI)
  apply (case-tac x, simp, rule)
  apply(drule-tac x=(Suc a, b) in bspec)
  apply(subst treeEquation)
  apply (force simp: incLevel-def, simp)
done

```

4.13 Inherited Properties- founded

```

lemma foundedInsert[rule-format]:  $\sim$  terminal subs delta --> founded subs P
(insert (n,delta) B) = (founded subs P B)
  apply(simp add: terminal-def founded-def) done

```

```

lemma foundedUn: (founded subs P (A Un B)) = (founded subs P A & founded
subs P B)
  apply(simp add: founded-def) by force

```

```

lemma foundedIncLevel: founded subs P (incLevel ' A) = (founded subs P A)
  apply (simp add: founded-def incLevel-def, auto) done

```

```

lemma foundedEmpty: founded subs P {}
  by(auto simp add: founded-def)

```

lemma *inheritedFounded*: *inherited subs (founded subs P)*
by(*blast intro!*: *inheritedI* *foundedUn*[*symmetric*] *foundedIncLevel*[*symmetric*]
foundedInsert[*symmetric*] *foundedEmpty*)

4.14 Inherited Properties- finite

lemmas *finiteInsert* = *finite-insert*

lemma *finiteUn*: *finite (A Un B) = (finite A & finite B)*
apply *simp done*

lemma *finiteIncLevel*: *finite (incLevel ' A) = finite A*
apply (*insert injIncLevel*, *rule*)
apply (*frule finite-imageD*)
apply (*blast intro: subset-inj-on*, *assumption*)
apply (*rule finite-imageI*)
by *assumption*
— FIXME often have *injOn f A*, *finite f ' A*, to show *A finite*

lemma *finiteEmpty*: *finite {}* **by** *auto*

lemma *inheritedFinite*: *inherited subs (%A. finite A)*
apply(*blast intro!*: *inheritedI* *finiteUn*[*symmetric*] *finiteIncLevel*[*symmetric*] *finiteInsert*[*symmetric*] *finiteEmpty*)
done

4.15 path: follows a failing inherited property through tree

definition

failingSub :: [*a* => *'a set*, (*nat * 'a*) *set* => *bool*, *'a*] => *'a* **where**
failingSub *subs P gamma* = (*SOME sigma*. (*sigma:subs gamma* & $\sim P$ (*tree subs sigma*)))

lemma *failingSubProps*: [[*inherited subs P*; $\sim P$ (*tree subs gamma*); \sim (*terminal subs gamma*); *fans subs*]]
=> *failingSub* *subs P gamma* \in *subs gamma* & \sim (*P (tree subs (failingSub* *subs P gamma*)))
apply (*simp add: failingSub-def*)
apply (*drule inheritedPropagate*) **apply** (*assumption+*)
apply (*erule bexE*)
apply (*rule someI2*, *auto*)
done

lemma *failingSubFailsI*: [[*inherited subs P*; $\sim P$ (*tree subs gamma*); \sim (*terminal subs gamma*); *fans subs*]]
=> \sim (*P (tree subs (failingSub* *subs P gamma*)))
apply (*rule conjunct2*[*OF failingSubProps*]) .

lemmas *failingSubFailsE* = *failingSubFailsI*[*THEN notE*]

lemma *failingSubSubs*: [| *inherited subs P*; $\sim P$ (*tree subs gamma*); \sim (*terminal subs gamma*); *fans subs* |]
 \implies *failingSub subs P gamma* \in *subs gamma*
apply(*rule conjunct1*[*OF failingSubProps*]) .

primrec *path* :: [*'a* \implies '*a set*, '*a*, (*nat* * '*a*) set \implies bool, *nat*] \implies '*a*
where

path0: *path subs gamma P 0* = *gamma*
| *pathSuc*: *path subs gamma P (Suc n)* = (*if terminal subs (path subs gamma P n)*
then path subs gamma P n
else failingSub subs P (path subs gamma P n))

lemma *pathFailsP*: [| *inherited subs P*; *fans subs*; $\sim P$ (*tree subs gamma*) |]
 \implies \sim (*P (tree subs (path subs gamma P n))*)
apply (*induct-tac n, simp, simp*)
apply *rule*
apply(*rule failingSubFailsI*) **apply**(*assumption+*)
done

lemmas *PpathE* = *pathFailsP*[*THEN notE*]

lemma *pathTerminal*[*rule-format*]: [| *inherited subs P*; *fans subs*; *terminal subs gamma* |]
 \implies *terminal subs (path subs gamma P n)*
apply (*induct-tac n, simp-all*) **done**

lemma *pathStarts*: *path subs gamma P 0* = *gamma*
by *simp*

lemma *pathSubs*: [| *inherited subs P*; *fans subs*; $\sim P$ (*tree subs gamma*); \sim (*terminal subs (path subs gamma P n)*) |]
 \implies *path subs gamma P (Suc n)* \in *subs (path subs gamma P n)*
apply *simp*
apply (*rule failingSubSubs, assumption*)
apply(*rule pathFailsP*)
apply(*assumption+*)
done

lemma *pathStops*: *terminal subs (path subs gamma P n)* \implies *path subs gamma P (Suc n)* = *path subs gamma P n*
by *simp*

4.16 Branch

definition

branch :: [*'a* \implies '*a set*, '*a*, *nat* \implies '*a*] \implies bool **where**
branch subs Gamma f \longleftrightarrow *f 0* = *Gamma*

$\& (!n . \text{terminal subs } (f n) \dashrightarrow f (Suc n) = f n)$
 $\& (!n . \sim \text{terminal subs } (f n) \dashrightarrow f (Suc n) \in \text{subs } (f n))$

lemma *branch0*: *branch subs Gamma f ==> f 0 = Gamma*
by (*simp add: branch-def*)

lemma *branchStops*: *branch subs Gamma f ==> terminal subs (f n) ==> f (Suc n) = f n*
by (*simp add: branch-def*)

lemma *branchSubs*: *branch subs Gamma f ==> ~ terminal subs (f n) ==> f (Suc n) \in subs (f n)*
by (*simp add: branch-def*)

lemma *branchI*: $[[(f 0 = Gamma);$
 $!n . \text{terminal subs } (f n) \dashrightarrow f (Suc n) = f n;$
 $!n . \sim \text{terminal subs } (f n) \dashrightarrow f (Suc n) \in \text{subs } (f n)]]$ $==>$ *branch subs Gamma f*
by (*simp add: branch-def*)

lemma *branchTerminalPropagates*: *branch subs Gamma f ==> terminal subs (f m) ==> terminal subs (f (m + n))*
apply (*induct-tac n, simp*)
by(*simp add: branchStops*)

lemma *branchTerminalMono*: *branch subs Gamma f ==> m < n ==> terminal subs (f m) ==> terminal subs (f n)*
apply(*subgoal-tac terminal subs (f (m+(n-m)))*) **apply** *force*
apply(*rule branchTerminalPropagates*)
by *auto*

lemma *branchPath*:
 $[[\text{inherited subs } P; \text{fans subs}; \sim P(\text{tree subs gamma})]]$
 $==>$ *branch subs gamma (path subs gamma P)*
by(*auto intro!: branchI pathStarts pathSubs pathStops*)

4.17 failing branch property: abstracts path defn

lemma *failingBranchExistence*: $!!\text{subs}.$
 $[[\text{inherited subs } P; \text{fans subs}; \sim P(\text{tree subs gamma})]]$
 $==>$ $\exists f . \text{branch subs gamma } f \ \& \ (\forall n . \sim P(\text{tree subs } (f n)))$
apply(*rule-tac x=path subs gamma P in exI*)
apply(*rule conjI*)
apply(*force intro!: branchPath*)
apply(*intro allI*)
apply(*rule pathFailsP*)
by *auto*

definition

infBranch :: [*'a* => *'a set, 'a, nat* => *'a*] => *bool* **where**
infBranch subs Gamma f \longleftrightarrow *f 0 = Gamma & ($\forall n. f (Suc n) \in subs (f n)$)*

lemma *infBranchI*: [*(f 0 = Gamma); !n . f (Suc n) \in subs (f n)*] ==> *infBranch subs Gamma f*
by (*simp add: infBranch-def*)

4.18 Tree induction principles

lemma *boundedTreeInduction'*:

[*fans subs;*
 $\forall delta. \sim terminal\ subs\ delta \longrightarrow (\forall sigma \in subs\ delta. P\ sigma) \longrightarrow P\ delta$
 $\implies \forall Gamma. boundedBy\ m\ (tree\ subs\ Gamma) \longrightarrow founded\ subs\ P\ (tree\ subs\ Gamma) \longrightarrow P\ Gamma$
apply(*induct-tac m*)
apply(*intro impI allI*)
apply(*simp add: boundedBy0*)
apply(*subgoal-tac (0, Gamma) \in tree subs Gamma*) **apply** *blast* **apply**(*rule tree0*)
apply(*intro impI allI*)
apply(*drule-tac x=Gamma in spec*)
apply (*case-tac terminal subs Gamma, simp*)
apply(*drule-tac foundedD*) **apply** *assumption* **apply** *assumption*
apply (*erule impE, assumption*)
apply (*erule impE, rule*)
apply(*drule-tac x=sigma in spec*)
apply(*erule impE*)
apply(*rule boundedBySuc*) **apply** *assumption* **apply** *assumption*
apply(*erule impE*)
apply(*rule foundedSubs*) **apply** *assumption* **apply** *assumption*
apply *assumption*
apply *assumption*
done

— tjr tidied and introduced new lemmas

lemma *boundedTreeInduction*:

[*fans subs;*
 $bounded\ (tree\ subs\ Gamma); founded\ subs\ P\ (tree\ subs\ Gamma);$
 $\forall delta. \sim terminal\ subs\ delta \longrightarrow (\forall sigma \in subs\ delta. P\ sigma) \longrightarrow P\ delta$
 $\implies P\ Gamma$
apply(*unfold bounded-def*)
apply(*erule exE*)
apply(*frule-tac boundedTreeInduction'*) **apply** *assumption*
apply *force*
done

lemma *boundedTreeInduction2'*:

[*fans subs;*


```

   $\forall \text{delta. } (\forall \text{sigma} \in \text{subs delta. } P \text{ sigma}) \dashrightarrow P \text{ delta []}$ 
 $\implies \forall \text{Gamma. boundedBy } m \text{ (tree subs Gamma)} \longrightarrow P \text{ Gamma}$ 
apply(induct-tac m)
apply(intro impI allI)
apply(simp (no-asm-use) add: boundedBy0)
apply(subgoal-tac (0, Gamma) \in tree subs Gamma) apply blast apply(rule
tree0)
apply(intro impI allI)
apply(drule-tac x=Gamma in spec)
apply (erule impE, rule)
apply(drule-tac x=sigma in spec)
apply(erule impE)
apply(rule boundedBySuc) apply assumption apply assumption
apply assumption
apply assumption
done

```

```

lemma boundedTreeInduction2:
  [[ fans subs; boundedBy m (tree subs Gamma);
     $\forall \text{delta. } (\forall \text{sigma} \in \text{subs delta. } P \text{ sigma}) \dashrightarrow P \text{ delta []}$ 
     $\implies P \text{ Gamma}$ 
  ]]
by (frule-tac boundedTreeInduction2', assumption, blast)

```

end

5 Completeness

```

theory Completeness
imports Tree Sequents
begin

```

5.1 pseq: type represents a processed sequent

```

type-synonym atom = (signs * predicate * vbl list)
type-synonym nform = (nat * formula)
type-synonym pseq = (atom list * nform list)

```

definition

```

sequent :: pseq => formula list where
sequent = (%(atoms, nforms) . map snd nforms @ map (% (z,p,vs) . FAtom z p
vs) atoms)

```

definition

```

pseq :: formula list => pseq where
pseq fs = ([], map (%f.(0,f)) fs)

```

```

definition atoms :: pseq => atom list where atoms = fst

```

```

definition nforms :: pseq => nform list where nforms = snd

```

5.2 subs: SATAxiom

definition

SATAxiom :: formula list => bool **where**
SATAxiom fs \longleftrightarrow (? n vs . FAtom Pos n vs : set fs & FAtom Neg n vs : set fs)

5.3 subs: a CutFreePC justifiable backwards proof step

definition

subsFAtom :: [atom list, (nat * formula) list, signs, predicate, vbl list] => pseq set
where
subsFAtom atms nAs z P vs = { ((z,P,vs)#atms,nAs) }

definition

subsFConj :: [atom list, (nat * formula) list, signs, formula, formula] => pseq set
where
subsFConj atms nAs z A0 A1 =
 (case z of
 Pos => { (atms,(0,A0)#nAs),(atms,(0,A1)#nAs) }
 Neg => { (atms,(0,A0)#(0,A1)#nAs) }

definition

subsFAll :: [atom list, (nat * formula) list, nat, signs, formula, vbl set] => pseq set
where
subsFAll atms nAs n z A frees =
 (case z of
 Pos => { let v = freshVar frees in (atms,(0,instanceF v A)#nAs) }
 Neg => { (atms,(0,instanceF (X n) A)#nAs @ [(Suc n,FAll Neg A)]) }

definition

subs :: pseq => pseq set **where**
subs = (% pseq .
 if *SATAxiom* (sequent pseq) then
 {}
 else let (atms,nforms) = pseq
 in case nforms of
 [] => {}
 | nA#nAs => let (n,A) = nA
 in (case A of
 FAtom z P vs => *subsFAtom* atms nAs z P vs
 FConj z A0 A1 => *subsFConj* atms nAs z A0 A1
 FAll z A => *subsFAll* atms nAs n z A
))
 (freeVarsFL (sequent pseq))))

5.4 proofTree(Gamma) says whether tree(Gamma) is a proof

definition

proofTree :: (nat * pseq) set => bool **where**
proofTree A \longleftrightarrow bounded A & founded subs (*SATAxiom* o sequent) A

5.5 path: considers, contains, costBarrier

definition

considers :: [nat => pseq,nat * formula,nat] => bool **where**
considers f nA n = (case (snd (f n)) of [] => False | x#xs => x=nA)

definition

contains :: [nat => pseq,nat * formula,nat] => bool **where**
contains f nA n \longleftrightarrow nA : set (snd (f n))

definition

costBarrier :: [nat * formula,pseq] => nat **where**

costBarrier nA = (%(atms,nAs).
let barrier = takeWhile (%x. nA ~ = x) nAs
in let costs = map (exp 3 o size o snd) barrier
in sumList costs)

5.6 path: eventually

definition

EV :: [nat => bool] => bool **where**
EV f == (? n . f n)

5.7 path: counter model

definition

counterM :: (nat => pseq) => object set **where**
counterM f = range obj

definition

counterEvalP :: (nat => pseq) => predicate => object list => bool **where**
counterEvalP f = (%p args . ! i . ~(EV (contains f (i,FAtom Pos p (map (X o inv obj) args))))))

definition

counterModel :: (nat => pseq) => model **where**
counterModel f = Abs-model (*counterM* f, *counterEvalP* f)

primrec *counterAssign* :: vbl => object

where *counterAssign* (X n) = obj n

5.8 subs: finite

lemma *finite-subs: finite (subs gamma)*

apply(*simp add: subs-def subsFAtom-def subsFConj-def subsFAll-def Let-def split-beta*
split: if-splits list.split formula.split signs.split)

done

```

lemma fansSubs: fans subs
  apply(rule fansI) apply(rule, rule finite-subs) done

lemma subs-def2:
!!gamma.
  ~ SATAxiom (sequent gamma) ==>
  subs gamma = (case nforms gamma of
    [] => {}
  | nA#nAs => let (n,A) = nA
    in (case A of
      FAtom z P vs => subsFAtom (atoms gamma)
    nAs z P vs
      | FConj z A0 A1 => subsFConj (atoms gamma)
    nAs z A0 A1
      | FAll z A => subsFAll (atoms gamma) nAs n
    z A (freeVarsFL (sequent gamma))))
  apply(simp add: subs-def Let-def nforms-def atoms-def split-beta split: list.split)
  done

```

5.9 inherited: proofTree

```

lemma proofTree-def2: proofTree = (% x . bounded x & founded subs (SATAxiom
o sequent) x)
  apply(rule ext)
  apply(simp add: proofTree-def) done

lemma inheritedProofTree: inherited subs proofTree
  apply(simp add: proofTree-def2)
  apply(auto intro: inheritedJoinI inheritedBounded inheritedFounded)
  done

lemma proofTreeI: [| bounded A; founded subs (SATAxiom o sequent) A |] ==>
proofTree A
  apply(simp add: proofTree-def) done

lemma proofTreeBounded: proofTree A ==> bounded A
  apply(simp add: proofTree-def) done

lemma proofTreeTerminal: proofTree A ==> (n,delta) : A ==> terminal subs
delta ==> SATAxiom (sequent delta)
  apply(simp add: proofTree-def founded-def) apply blast done

```

5.10 pseq: lemma

```

lemma snd-o-Pair: (snd o (Pair x)) = (% x. x)
  apply(rule ext)
  by simp

lemma sequent-pseq: sequent (pseq fs) = fs
  by (simp add: pseq-def sequent-def snd-o-Pair)

```

5.11 SATAxiom: proofTree

lemma *SATAxiomTerminal*[rule-format]: *SATAxiom* (sequent gamma) \dashrightarrow *terminal subs gamma*
apply(simp add: subs-def proofTree-def terminal-def founded-def bounded-def)
done

lemma *SATAxiomBounded*:*SATAxiom* (sequent gamma) \implies *bounded* (tree subs gamma)
apply(frule *SATAxiomTerminal*)
apply(subst treeEquation)
apply(simp add: subs-def proofTree-def terminal-def founded-def bounded-def)
apply(force simp add: boundedByInsert boundedByEmpty)
done

lemma *SATAxiomFounded*: *SATAxiom* (sequent gamma) \implies *founded subs* (*SATAxiom o sequent*) (tree subs gamma)
apply(frule *SATAxiomTerminal*)
apply(subst treeEquation)
apply(simp add: subs-def proofTree-def terminal-def founded-def bounded-def)
done

lemma *SATAxiomProofTree*[rule-format]: *SATAxiom* (sequent gamma) \dashrightarrow *proofTree* (tree subs gamma)
apply(blast intro: proofTreeI *SATAxiomBounded SATAxiomFounded*)
done

lemma *SATAxiomEq*: (*proofTree* (tree subs gamma) & *terminal subs gamma*) = *SATAxiom* (sequent gamma)
apply(blast intro: *SATAxiomProofTree proofTreeTerminal tree.tree0 SATAxiomTerminal*)
done
— FIXME tjr blast sensitive to obj imp vs meta imp for pTT

5.12 SATAxioms are deductions: - needed

lemma *SAT-deduction*: *SATAxiom* $x \implies x$: *deductions CutFreePC*
apply(simp add: *SATAxiom-def*)
apply(elim exE)
apply(rule-tac $x=[FAtom Pos n vs, FAtom Neg n vs]$ in *inDed-mono*)
apply (blast intro!: *SATAxiomI rulesInPCs, force*)
done

5.13 proofTrees are deductions: subs respects rules - messy start and end

lemma *subsJustified'*:
notes $ss =$ *subs-def2 nforms-def Let-def atoms-def sequent-def subsFAtom-def subsFConj-def subsFAll-def*

```

shows  $\neg$  SATAxiom (sequent (ats, (n, f) # list))  $\dashv\vdash$   $\neg$  terminal subs (ats, (n,
f) # list)
 $\dashv\vdash$  ( $\forall$  sigma  $\in$  subs (ats, (n, f) # list). sequent sigma  $\in$  deductions CutFreePC)

 $\dashv\vdash$  sequent (ats, (n, f) # list)  $\in$  deductions CutFreePC
apply (rule-tac A=f in formula-signs-cases, clarify) apply(simp add: ss)
  apply(rule PermI) apply assumption apply simp-all
  apply (rule rulesInPCs, clarify) apply(simp add: ss)
  apply(rule PermI) apply assumption apply simp-all
  apply (rule rulesInPCs, clarify) apply(simp add: ss) apply(elim conjE)
  apply(rule ConjI') apply assumption apply assumption apply(rule rulesIn-
PCs)+
  apply clarify apply(simp add: ss)
  apply(rule DisjI) apply assumption apply(rule rulesInPCs)+
  apply clarify apply(simp add: ss)
  apply(rule AllI) apply assumption apply(rule finiteFreshVar) apply(rule fi-
nite-freeVarsFL) apply (rule rulesInPCs, clarify) apply(simp add: ss)
  apply(rule ContrI) — !
  apply(rule-tac w = X n in ExI) apply(rule inDed-mono) apply assumption
apply force apply(rule rulesInPCs)+
done

```

```

lemma subsJustified: !! gamma.  $\sim$  terminal subs gamma
 $\implies$  ! sigma : subs gamma . sequent sigma : deductions (CutFreePC)
 $\implies$  sequent gamma : deductions (CutFreePC)
apply(case-tac SATAxiom (sequent gamma))
  apply(erule SAT-deduction)
apply(case-tac gamma) apply(rename-tac ats nfs)
apply(case-tac nfs)
  apply(simp add: terminal-def subs-def sequent-def Let-def)
apply(case-tac a)
  apply(blast intro: subsJustified [rule-format])
done

```

5.14 proofTrees are deductions: instance of boundedTreeInduction

```

lemmas proofTreeD = proofTree-def [THEN iffD1]

```

```

lemma proofTreeDeductionD[rule-format]: proofTree(tree subs gamma)  $\implies$  sequent
gamma : deductions (CutFreePC)
apply(rule boundedTreeInduction [OF fansSubs])
  apply(erule proofTreeBounded)
  apply(rule foundedMono)
  apply (force dest: proofTreeD, simp)
  apply(blast intro: SAT-deduction foundedMono subsJustified)
apply(blast intro: subsJustified)
done

```

5.15 contains, considers:

lemma *contains-def2*: *contains f iA n = (iA : set (nforms (f n)))*
apply(*simp add: contains-def nforms-def*) **done**

lemma *considers-def2*: *considers f iA n = (? nAs . nforms (f n) = iA#nAs)*
apply(*simp add: considers-def nforms-def split: list.split*) **done**

lemmas *containsI = contains-def2[THEN iffD2]*

5.16 path: nforms = [] implies

lemma *nformsNoContains*: *[] branch subs gamma f; !n . ~proofTree (tree subs (f n)); nforms (f n) = [] ==> ~ contains f iA n*
apply(*simp add: contains-def2*) **done**
— FIXME tjr assumptions not required

lemma *nformsTerminal*: *nforms (f n) = [] ==> terminal subs (f n)*
apply(*simp add: subs-def Let-def terminal-def nforms-def split-beta*)
done

lemma *nformsStops*: *!!f.*
[] branch subs gamma f; !n . ~proofTree (tree subs (f n));
nforms (f n) = []
==> nforms (f (Suc n)) = [] & atoms (f (Suc n)) = atoms (f n)
apply(*subgoal-tac f (Suc n) = f n*)
apply *simp*
apply(*blast intro: branchStops nformsTerminal*)
done

5.17 path: cases

lemma *terminalNFormCases*: *!!f. terminal subs (f n) | (? i A nAs . nforms (f n) = (i,A)#nAs)*
apply (*rule disjCI, simp*)
apply(*rule nformsTerminal*)
apply(*case-tac nforms (f n)*)
apply *simp*
apply *force*
done

lemma *cases[elim-format]*: *terminal subs (f n) | (¬ (terminal subs (f n) ∧ (? i A nAs . nforms (f n) = (i,A)#nAs)))*
apply(*auto elim: terminalNFormCases[elim-format]*)
done

5.18 path: contains not terminal and propagate condition

lemma *containsNotTerminal*: *[] branch subs gamma f; !n . ~proofTree (tree subs (f n)); contains f iA n [] ==> ~ (terminal subs (f n))*

```

apply(case-tac SATAxiom (sequent (f n)))
apply(blast dest: SATAxiomEq[THEN iffD2])
apply(drule-tac x=n in spec)
apply (simp add: subs-def subs-def subsFAtom-def subsFConj-def subsFAll-def
Let-def contains-def terminal-def nforms-def split-beta branch-def split: list.split
signs.split expand-case-formula, force)
done

```

lemma containsPropagates: !!f.

```

[[ branch subs gamma f; !n . ~proofTree (tree subs (f n));
contains f iA n ]]
==> contains f iA (Suc n) | considers f iA n
apply(frule-tac containsNotTerminal) apply force apply force
apply(frule-tac branchSubs) apply assumption
apply(case-tac considers f iA n) apply simp
apply simp
apply(simp add: contains-def) apply(case-tac f n) apply simp apply(drule
split-list) apply(elim exE conjE) apply simp
apply(case-tac ys)
apply (simp add: considers-def, simp)
apply(case-tac SATAxiom (sequent (f n))) apply(blast dest: iffD2[OF SATAxiomEq])
apply(simp add: subs-def2 nforms-def Let-def)
apply (case-tac aa, simp)
apply(case-tac ba)
apply(simp add: subsFAtom-def)
apply(rename-tac signs a b)
apply(case-tac signs) apply(simp add: subsFConj-def) apply force apply(simp
add: subsFConj-def)
apply(rename-tac signs a)
apply(case-tac signs) apply(simp add: subsFAll-def Let-def) apply(simp add:
subsFAll-def Let-def)
done

```

5.19 path: no consider lemmas

lemma noConsidersD: !!f. ~considers f iA n ==> nforms (f n) = x#xs ==> iA ~ = x

```

by(simp add: considers-def2)

```

lemma considersD: !!f. considers f iA n ==> ? xs . nforms (f n) = iA#xs

```

by(simp add: considers-def2)

```

5.20 path: contains initially

lemma contains-initially:

```

branch subs (pseq gamma) f ==> A : set gamma ==> (contains f (0,A) 0)

```

```

apply(drule branch0)

```

```

apply(simp add: contains-def pseq-def) done

```


lemma *contains-initialEVs*:
branch subs (pseq gamma) f $\implies A : \text{set gamma} \implies \text{EV (contains f (0,A))}$
apply(*simp add: EV-def*)
apply(*fast dest: contains-initially*) **done**

5.21 termination: (for EV contains implies EV considers)

lemmas *r = wf-induct[of measure msrFn, OF wf-measure]* **for** *msrFn*
lemmas *r' = r[simplified measure-def inv-image-def less-than-def less-eq mem-Collect-eq]*

lemma *r''*: $(\forall x. (\forall y. ((\text{msrFn}::'a \Rightarrow \text{nat}) y) < ((\text{msrFn} :: 'a \Rightarrow \text{nat}) x)) \longrightarrow P y) \longrightarrow P x \implies P a$
by (*blast intro: r' [of - P]*)

lemma *terminationRule* [*rule-format*]:
 $! n. P n \longrightarrow (\sim(P (\text{Suc } n)) \mid (P (\text{Suc } n) \ \& \ \text{msrFn } (\text{Suc } n) < (\text{msrFn}::\text{nat} \Rightarrow \text{nat}) n)) \implies P m \longrightarrow (? n . P n \ \& \ \sim(P (\text{Suc } n)))$
(is - \implies ?P m)
apply (*rule r''[of msrFn ?P m], blast*)
done
— FIXME ugly

5.22 costBarrier: lemmas

5.23 costBarrier: exp3 lemmas - bit specific...

lemma *exp3Min*: $\text{exp } 3 \ a > 0$
by (*induct a, simp, simp*)

lemma *exp1*: $\text{exp } 3 \ (A) + \text{exp } 3 \ (B) < 3 * ((\text{exp } 3 \ A) * (\text{exp } 3 \ B))$
using *exp3Min[of A] exp3Min[of B]*
apply(*case-tac exp 3 A*) **apply**(*simp add: exp3Min*)
apply(*case-tac exp 3 B*) **apply** (*simp add: exp3Min, simp*)
done

lemma *exp1'*: $\text{exp } 3 \ (A) < 3 * ((\text{exp } 3 \ A) * (\text{exp } 3 \ B)) + C$
apply(*subgoal-tac exp 3 (A) < 3 * ((exp 3 A) * (exp 3 B))*)
apply *arith*
apply(*case-tac exp 3 A*) **using** *exp3Min[of A]* **apply** *arith*
apply(*case-tac exp 3 B*) **using** *exp3Min[of B]* **apply** *arith*
apply *simp*
done

lemma *exp2*: $\text{Suc } 0 < 3 * \text{exp } 3 \ (B)$
using *exp3Min[of B]*
apply *arith*
done

lemma *expSum*: $\text{exp } x \ (a+b) = (\text{exp } x \ a) * (\text{exp } x \ b)$
apply(*induct a, auto*) **done**

5.24 costBarrier: decreases whilst contains and unconsiders

lemma *costBarrierDecreases'*:

notes *ss = subs-def2 nforms-def Let-def subsFAtom-def subsFConj-def subs-FAAll-def costBarrier-def atoms-def exp3Min expSum*

shows $\sim \text{SATAxiom}$ (*sequent*
 $(a, (\text{num}, \text{fm}) \# \text{list}) \dashrightarrow iA \sim = (\text{num}, \text{fm}) \dashrightarrow \neg \text{proofTree}$ (*tree subs* ($a, (\text{num}, \text{fm}) \# \text{list}$)) $\dashrightarrow f\text{Sucn} : \text{subs}$ ($a, (\text{num}, \text{fm}) \# \text{list}$) $\dashrightarrow iA \in \text{set list}$
 $\dashrightarrow \text{costBarrier } iA (f\text{Sucn}) < \text{costBarrier } iA (a, (\text{num}, \text{fm}) \# \text{list})$)
apply(*rule-tac A=fm in formula-signs-cases*)
— *atoms*
apply(*simp add: ss*)
apply(*simp add: ss*)
— *conj*
apply *clarify*
apply(*simp add: ss*)
apply(*erule disjE*)
apply(*simp add: ss exp2*)
apply(*simp add: ss exp2*)
— *disj*
apply *clarify*
apply(*simp add: ss exp1 exp1'*)
— *all*
apply *clarify*
apply(*simp add: ss size-instance*)
— *ex*
apply *clarify*
apply(*simp add: ss size-instance*)
done

lemma *costBarrierDecreases*:

[| *branch subs gamma f*;
!*n . ~proofTree* (*tree subs* ($f n$));
contains f iA n;
 $\sim(\text{considers } f iA) n$
|] $\implies \text{costBarrier } iA (f (\text{Suc } n)) < \text{costBarrier } iA (f n)$
apply(*subgoal-tac* \neg *terminal subs* ($f n$))
apply(*subgoal-tac* \neg *SATAxiom* (*sequent* ($f n$)))
apply(*subgoal-tac* $f (\text{Suc } n) \in \text{subs}$ ($f n$))
apply(*frule-tac x=n in spec*)
apply(*case-tac f n, simp*)
apply(*case-tac b, simp*)
apply(*simp add: contains-def*)
apply(*case-tac aa*) **apply**(*rename-tac num fm*) **apply** *simp* **apply**(*simp add:*
contains-def considers-def)
apply(*rule costBarrierDecreases'*[*rule-format*]) **apply** *force+*
apply(*rule branchSubs*) **apply** *assumption* **apply** *assumption*
apply(*blast dest: SATAxiomTerminal*)
apply(*blast dest: containsNotTerminal*)
done

— FIXME boring splitting etc.

5.25 path: EV contains implies EV considers

lemma *considersContains*: *considers f iA n* \implies *contains f iA n*
apply(*simp add: considers-def contains-def*)
apply(*cases snd (f n), auto*) **done**

lemma *containsConsiders*: \llbracket *branch subs gamma f; !n . \sim proofTree (tree subs (f n))*
EV (contains f iA) \llbracket
 \implies *EV (considers f iA)*
apply(*simp add: EV-def*)
apply(*erule exE*)
apply(*case-tac considers f iA n*) **apply force**
apply(*subgoal-tac $\exists n. (contains f iA n \wedge \neg considers f iA n) \wedge$*
 $\neg (contains f iA (Suc n) \wedge \neg considers f iA (Suc n))$)
apply(*erule exE*)
apply(*simp, clarify*)
apply(*case-tac contains f iA (Suc na)*)
apply force **apply**(*force dest!: containsPropagates*)
apply(*rule-tac msrFn = %n. costBarrier iA (f n) and P = %n. contains f iA n*
 $\& \sim considers f iA n$ *in terminationRule*)
prefer 2 **apply force**
apply(*case-tac (contains f iA (Suc na) $\wedge \neg considers f iA (Suc na)$)*)
apply simp **apply**(*erule costBarrierDecreases*) **apply simp-all**
done

5.26 EV contains: common lemma

lemma *lemmaA*:
 \llbracket *branch subs gamma f; ! n. \sim proofTree (tree subs (f n))*
EV (contains f (i,A) \llbracket
 \implies ? *n nAs. \sim SATAxiom (sequent (f n)) $\&$ (nforms (f n) = (i,A) # nAs $\&$ f*
(Suc n) : subs (f n)
apply(*frule containsConsiders*) **apply**(*assumption+*)
apply(*unfold EV-def*)
apply(*erule exE, frule considersContains*)
apply(*unfold considers-def*)
apply(*case-tac snd (f n)*)
apply force
apply simp
apply(*rule-tac x=n in exI*)
apply (*intro conjI, rule*)
apply(*blast dest!: SATAxiomEq[THEN iffD2]*)
apply(*rule-tac x=list in exI*) **apply**(*simp add: nforms-def*)
apply(*frule containsNotTerminal*) **apply force** **apply**(*assumption+*)
apply(*blast dest!: branchSubs*)
done

5.27 EV contains: FConj, FDisj, FAll

lemma *EV-disj*: $(EV P \mid EV Q) = EV (\lambda n. P n \mid Q n)$
apply (*unfold EV-def, force*) **done**

lemma *evContainsConj*: $\llbracket EV (\text{contains } f (i, FConj Pos A0 A1));$
branch subs gamma f; !n . ~ proofTree (tree subs (f n))
 $\rrbracket \implies EV (\text{contains } f (0, A0)) \mid EV (\text{contains } f (0, A1))$
apply(*drule lemmA*) **apply**(*assumption+*)
apply(*subgoal-tac EV (\lambda n. contains f (0, A0) n \mid contains f (0, A1) n)*)
apply(*simp add: EV-disj*)
apply(*unfold EV-def*)
apply *clarify*
apply(*rename-tac n n' nAs, rule-tac x=Suc n' in exI*)
apply(*simp add: subs-def2 Let-def*)
apply(*simp add: subsFConj-def*)
apply (*simp add: contains-def nforms-def, auto*)
done

lemma *evContainsDisj*: $\llbracket EV (\text{contains } f (i, FConj Neg A0 A1));$
branch subs gamma f; !n . ~ proofTree (tree subs (f n))
 $\rrbracket \implies EV (\text{contains } f (0, A0)) \ \& \ EV (\text{contains } f (0, A1))$
apply(*drule lemmA*) **apply**(*assumption+*)
apply(*rule conjI*)
apply(*unfold EV-def*)
apply(*erule exE*) **back**
apply(*rule-tac x=Suc n in exI*)
apply(*clarify, simp add: subs-def2 Let-def*)
apply(*simp add: subsFConj-def*)
apply(*simp add: contains-def nforms-def*)
apply(*erule exE*) **back**
apply(*rule-tac x=Suc n in exI*)
apply(*clarify, simp add: subs-def2 Let-def*)
apply(*simp add: subsFConj-def*)
apply(*simp add: contains-def nforms-def*)
done

lemma *evContainsAll*:

$\llbracket EV (\text{contains } f (i, FAll Pos body));$ *branch subs gamma f; !n . ~ proofTree (tree*
subs (f n))
 \rrbracket
 $\implies ? v . EV (\text{contains } f (0, instanceF v body))$
apply(*drule lemmA*) **apply**(*assumption+*)
apply(*erule exE*)
apply(*rule-tac x=freshVar(freeVarsFL (sequent (f n))) in exI*)
apply(*unfold EV-def*)
apply(*rule-tac x=Suc n in exI*)
apply(*clarify, simp add: subs-def2 Let-def*)
apply(*simp add: subsFAll-def Let-def*)
apply(*simp add: contains-def nforms-def*)

done

lemma *evContainsEx-instance:*

```
[[ EV (contains f (i, Fall Neg body)); branch subs gamma f; !n . ~ proofTree (tree
subs (f n))
]]
==> EV (contains f (0, instanceF (X i) body))
apply(drule lemmA) apply(assumption+)
apply(erule exE)
apply(unfold EV-def)
apply(rule-tac x=Suc n in exI)
apply(clarify, simp add: subs-def2 Let-def)
apply(simp add: subsFall-def Let-def)
apply(simp add: contains-def nforms-def)
done
```

lemma *evContainsEx-repeat:*

```
[[ branch subs gamma f; !n . ~ proofTree (tree subs (f n));
EV (contains f (i, Fall Neg body)) ]]
==> EV (contains f (Suc i, Fall Neg body))
apply(drule lemmA) apply(assumption+)
apply(erule exE)
apply(unfold EV-def)
apply(rule-tac x=Suc n in exI)
apply(clarify, simp add: subs-def2 Let-def)
apply(simp add: subsFall-def Let-def)
apply(simp add: contains-def nforms-def)
done
```

5.28 EV contains: lemmas (temporal related)

lemma *lemma1:* [[$P A n ; !A n . P A n \dashv\vdash P A (Suc n)$]]
==> $P A (n + m)$
apply (induct m, simp, simp)
done

lemma *lemma2:*

```
[[ P A n ; P B m ; ! A n . P A n --> P A (Suc n) ]]
==> ? n . P A n & P B n
apply (rule exI[of - n+m], rule)
apply(blast intro!: lemma1)
apply(rule subst[OF add.commute])
apply(blast intro!: lemma1)
done
```

5.29 EV contains: FAtoms

lemma *notTerminalNotSATAxiom:* \neg terminal subs gamma \implies \neg SATAxiom
(sequent gamma)

apply(erule contrapos-nn) **apply**(erule SATAxiomTerminal) **done**

lemma *notTerminalNforms*: $\neg \text{terminal subs } (f \ n) \implies \text{nforms } (f \ n) \neq []$
apply(*erule contrapos-nn*) **apply**(*erule nformsTerminal*) **done**

lemma *atomsPropagate*: $[[\text{branch subs gamma } f]] \implies x : \text{set } (\text{atoms } (f \ n)) \dashrightarrow x : \text{set } (\text{atoms } (f \ (\text{Suc } n)))$
apply(*cases terminal subs (f n)*)
apply(*drule branchStops*) **apply** *assumption* **apply** *simp*
apply(*drule branchSubs*) **apply** *assumption*
apply *rule* **apply**(*frule notTerminalNotSATAxiom*)
apply(*frule notTerminalNforms*)
apply(*simp add: subs-def2*)
apply(*cases nforms (f n)*) **apply** *simp*
apply(*simp add: Let-def*)
apply(*case-tac a, auto*)
apply(*case-tac ba, auto*)
apply(*simp add: subsFAtom-def atoms-def*)
apply(*simp add: subsFConj-def atoms-def*)
apply(*rename-tac signs a b*)
apply(*case-tac signs*) **apply** *force* **apply** *force*
apply(*simp add: subsFALL-def atoms-def*)
apply(*rename-tac signs a*)
apply(*case-tac signs*) **apply**(*force simp: Let-def*) **apply** *force*
done

5.30 EV contains: FEx cases

lemma *evContainsEx0-allRepeats*:
 $[[\text{branch subs gamma } f; !n . \sim \text{proofTree } (\text{tree subs } (f \ n)); \text{EV } (\text{contains } f \ (0, \text{Fall Neg } A))]] \implies \text{EV } (\text{contains } f \ (i, \text{Fall Neg } A))$
apply (*induct i, simp*)
apply(*blast dest!: evContainsEx-repeat*)
done

lemma *evContainsEx0-allInstances*:
 $[[\text{branch subs gamma } f; !n . \sim \text{proofTree } (\text{tree subs } (f \ n)); \text{EV } (\text{contains } f \ (0, \text{Fall Neg } A))]] \implies \text{EV } (\text{contains } f \ (0, \text{instanceF } (X \ i) \ A))$
apply(*blast dest!: evContainsEx0-allRepeats intro!: evContainsEx-instance*)
done

5.31 pseq: creates initial pseq

lemma *containsPSeq0D*: $\text{branch subs } (\text{pseq } fs) \ f \implies \text{contains } f \ (i, A) \ 0 \implies i=0$
apply(*drule branch0*)
apply (*simp add: pseq-def contains-def, blast*)
done

5.32 EV contains: contain any (i,FEx y) means contain all (i,FEx y)

lemma claim: $(A \mid B \mid C) = (\sim C \dashrightarrow \sim B \dashrightarrow A)$ **by auto**

lemma natPredCases: $(!n. P n) \mid (\sim P 0) \mid (? n . P n \ \& \ \sim P (Suc n))$
apply(rule claim[THEN iffD2])
apply(intro impI) **apply** simp
apply rule **apply**(induct-tac n) **apply** auto
done

lemma containsNotTerminal':
 $\llbracket \text{branch subs } \gamma f; !n. \sim \text{proofTree } (\text{tree subs } (f n)); \text{contains } f \ iA \ n \rrbracket \implies \sim$
 $(\text{terminal subs } (f n))$
apply (rule containsNotTerminal, auto)
done

lemma notTerminalSucNotTerminal: $\llbracket \neg \text{terminal subs } (f (Suc n)); \text{branch subs } \gamma f \rrbracket \implies \neg \text{terminal subs } (f n)$
apply(erule contrapos-nn)
apply(rule-tac branchTerminalPropagates[of - - - 1, simplified])
apply(assumption+) **done**
— FIXME move to Tree?

lemma evContainsExSuc-containsEx:
 $\llbracket \text{branch subs } (pseq fs) f; !n. \sim \text{proofTree } (\text{tree subs } (f n));$
 $\text{EV } (\text{contains } f (Suc i, Fall Neg body)) \rrbracket$
 $\implies \text{EV } (\text{contains } f (i, Fall Neg body))$
apply(cut-tac $P = \%n. \sim \text{contains } f (Suc i, Fall Neg body)$ n **in** natPredCases)
apply simp **apply**(erule disjE)
apply(simp add: EV-def)
apply(erule disjE)
apply(blast dest!: containsPSeq0D)
apply(thin-tac EV -)
apply(erule exE)
apply(erule conjE)
apply(unfold EV-def) **apply**(rule-tac $x=n$ **in** exI)
apply(rule considersContains)
apply(erule containsNotTerminal') **apply**(assumption+)
apply(erule notTerminalSucNotTerminal) **apply** assumption
apply(thin-tac $\neg \text{terminal } x \ y$ **for** $x \ y$)
apply(erule branchSubs) **apply** assumption
apply(erule notTerminalNforms)
apply(case-tac SATAxiom (sequent (f n)))
apply(erule SATAxiomTerminal) **apply** simp
apply(subgoal-tac $(\exists i A nAs. nforms (f n) = (i, A) \# nAs)$)
prefer 2 **apply**(rule-tac $f=f$ **and** $n=n$ **in** cases) **apply** simp
apply(case-tac nforms (f n)) **apply** simp **apply**(case-tac a, force)
apply(erule exE)+
apply(unfold considers-def) **apply**(simp add: nforms-def)

— shift A into succedent
apply(*rule-tac* $P=snd (f n) = (ia, A) \# nAs$ **in** *rev-mp*) **apply** *assumption*
apply(*thin-tac* $snd (f n) = (ia, A) \# nAs$)
apply(*rule-tac* $A=A$ **in** *formula-signs-cases*)
apply(*auto simp add: subs-def2 nforms-def Let-def subsFAtom-def subsFConj-def*
subsFAll-def contains-def2 Let-def)
done
— phew, bit precarious, but not too much going on besides unfolding defs. and
computing a bit. Need to set_simps up

5.33 EV contains: contain any (i,FEx y) means contain all (i,FEx y)

lemma *evContainsEx-containsEx0*:
 $\llbracket \text{branch subs (pseq fs) f; !n . } \sim \text{proofTree (tree subs (f n))} \rrbracket$
 $\implies EV (\text{contains f (i,FAll Neg A)}) \implies$
 $EV (\text{contains f (0,FAll Neg A)})$
apply (*induct i, simp*)
apply(*blast dest!: evContainsExSuc-containsEx*)
done

lemma *evContainsExval*:
 $\llbracket EV (\text{contains f (i,FAll Neg body)}); \text{branch subs (pseq fs) f; !n . } \sim \text{proofTree}$
 (tree subs (f n))
 \rrbracket
 $\implies ! v . EV (\text{contains f (0,instanceF v body)})$
apply *rule* **apply**(*induct-tac v*)
apply(*blast intro!: evContainsEx0-allInstances dest!: evContainsEx-containsEx0*)
done

5.34 EV contains: atoms

lemma *atomsInSequentI*[*rule-format*]: $(z,P,vs) : \text{set (fst ps)} \implies$
 $FAtom z P vs : \text{set (sequent ps)}$
apply(*simp add: sequent-def*)
apply(*cases ps, force*)
done

lemma *evContainsAtom1*:
 $\llbracket \text{branch subs (pseq fs) f; !n . } \sim \text{proofTree (tree subs (f n));}$
 $EV (\text{contains f (i,FAtom z P vs)}) \rrbracket$
 $\implies ? n . (z,P,vs) : \text{set (fst (f n))}$
apply(*drule lemmA*) **apply**(*assumption+*)
apply(*erule exE*) **apply**(*rule-tac x=Suc n in exI*)
apply(*simp add: subs-def2*) **apply** *clarify* **apply**(*simp add: subs-def2 Let-def*)
apply(*simp add: subsFAtom-def*) **done**

lemmas *atomsPropagate''* = *atomsPropagate*[*rule-format*]

lemmas *atomsPropagate'* = *atomsPropagate''*[*simplified atoms-def, simplified*]

lemma *evContainsAtom*:
 [| *branch subs (pseq fs) f; !n . ~ proofTree (tree subs (f n));*
EV (contains f (i,FAtom z P vs)) |]
 ==> ? n . (! m . FAtom z P vs : set (sequent (f (n + m))))
apply(*frule evContainsAtom1*) **apply**(*assumption+*)
apply(*erule exE*)
apply (*rule-tac x=n in exI, rule*)
apply(*rule atomsInSequentI*)
apply (*induct-tac m, simp, simp*)
apply(*rule atomsPropagate'*) **apply**(*assumption+*) **done**

lemma *notEvContainsBothAtoms*:
 [| *branch subs (pseq fs) f; !n . ~ proofTree (tree subs (f n))* |]
 ==> ~ EV (contains f (i,FAtom Pos p vs)) |
 ~ EV (contains f (j,FAtom Neg p vs))
apply *clarify*
apply(*frule evContainsAtom*) **apply**(*assumption+*) **apply**(*thin-tac EV (contains*
f (j, FAtom Neg p vs)))
apply(*frule evContainsAtom*) **apply**(*assumption+*) **apply**(*thin-tac EV (contains*
f (i, FAtom Pos p vs)))
apply(*erule-tac exE*)
apply(*drule-tac x=na in spec*) **back**
apply(*drule-tac x=n in spec*) **back**
apply(*simp add: ac-simps*)
apply(*subgoal-tac SATAxiom (sequent (f (n+na)))*)
apply(*force dest: SATAxiomProofTree*)
apply(*force simp add: SATAxiom-def*) **done**

5.35 counterModel: lemmas

lemma *counterModelInRepn*: (*counterM f, counterEvalP f*) : *model*
apply(*simp add: model-def counterM-def*) **done**

lemmas *Abs-counterModel-inverse = counterModelInRepn[THEN Abs-model-inverse]*

lemma *inv-obj-obj*: *inv obj (obj n) = n*
using *inj-obj* **apply** *simp* **done**

lemma *map-X-map-counterAssign*: *map X (map (inv obj) (map counterAssign xs))*
 = *xs*
apply(*simp*)
apply(*subgoal-tac (X o (inv obj o counterAssign)) = (% x . x)*)
apply *simp*
apply(*rule ext*)
apply(*case-tac x*)
apply(*simp add: inv-obj-obj*)
done

```

lemma objectsCounterModel: objects (counterModel f) = { z . ? i . z = obj i }
  apply(simp add: objects-def counterModel-def)
  apply(simp add: Abs-counterModel-inverse)
  apply(simp add: counterM-def)
  by force

```

```

lemma inCounterM: counterAssign v : objects (counterModel f)
  apply(induct v)
  apply(simp add: objectsCounterModel)
  by blast

```

```

lemma counterAssign-eqI[rule-format]: x : objects (counterModel f) --> z = X
(inv obj x) --> counterAssign z = x
  apply(force simp: objectsCounterModel inj-obj) done

```

```

lemma evalPCounterModel: M = counterModel f ==> evalP M = counterEvalP
f
  apply(simp add: evalP-def counterModel-def Abs-counterModel-inverse) done

```

5.36 counterModel: all path formula value false - step by step

```

lemma path-evalF':
  notes ss = evalPCounterModel counterEvalP-def map-X-map-counterAssign map-map[symmetric]
  and ss1 = instanceF-def evalF-subF-eq comp-vblcase id-def[symmetric]
  shows [] branch subs (pseq fs) f;
  !n . ~ proofTree (tree subs (f n))
  [] ==> (? i . EV (contains f (i,A))) -> ~ (evalF (counterModel f) counterAssign
A)
  apply (rule-tac strong-formula-induct, rule)
  apply(rule formula-signs-cases)
  — atom
  apply(simp add: ss del: map-map)
  apply(rule, rule)
  apply(simp add: ss del: map-map)
  apply(force dest: notEvContainsBothAtoms)
  — conj
  apply(force dest: evContainsConj)
  — disj
  apply(force dest: evContainsDisj)
  — all
  apply(rule, rule)
  apply(erule exE)
  apply(drule-tac evContainsAll) apply assumption apply assumption
  apply(erule exE)
  apply(drule-tac x=(instanceF v f1) in spec)
  apply(erule impE, force simp: size-instance)+
  apply simp
  apply(simp add: ss1)

```

```

apply(rule-tac x=counterAssign v in beXI) apply simp apply(simp add: in-
CounterM)
— ex
apply(rule, rule)
apply(erule exE)
apply(drule-tac evContainsEval) apply assumption apply assumption
apply simp
apply rule
apply(simp add: objectsCounterModel) apply(erule exE)
apply(drule-tac x=X i in spec)
apply(drule-tac x=(instanceF (X i) f1) in spec)
apply(erule impE, force simp: size-instance)+
apply(simp add: ss1)
done

```

lemmas path-evalF'' = mp[OF path-evalF']

5.37 adequacy

```

lemma counterAssignModelAssign: counterAssign : modelAssigns (counterModel
gamma)
apply (simp add: modelAssigns-def, rule)
apply (erule rangeE, simp)
apply(rule inCounterM)
done

```

```

lemma branch-contains-initially: branch subs (pseq fs) f ==> x : set fs ==> contains
f (0,x) 0
apply(simp add: contains-def branch0 pseq-def)
done

```

```

lemma path-evalF:
[[ branch subs (pseq fs) f;
 $\forall n. \neg \text{proofTree (tree subs (f n))}$ ;
x  $\in$  set fs
]] ==>  $\neg \text{evalF (counterModel f) counterAssign x}$ 
apply (rule path-evalF'', assumption, assumption)
apply(rule-tac x=0 in exI) apply(simp add: EV-def)
apply(rule-tac x=0 in exI) apply(simp add: branch-contains-initially)
done

```

```

lemma validProofTree:  $\sim \text{proofTree (tree subs (pseq fs))} ==> \sim (\text{validS fs})$ 
apply(simp add: validS-def evalS-def)
apply(subgoal-tac  $\exists f. \text{branch subs (pseq fs) f} \wedge (\forall n. \neg \text{proofTree (tree subs (f
n))))$ )
apply(elim exE conjE)
apply(rule-tac x=counterModel f in exI)
apply(rule-tac x=counterAssign in beXI)
apply(force dest!: path-evalF)

```

```

apply(rule counterAssignModelAssign)
apply(rule failingBranchExistence)
  apply(rule inheritedProofTree)
apply (rule fansSubs, assumption)
done

```

```

lemma adequacy[simplified sequent-pseq]: validS fs ==> (sequent (pseq fs)) : de-
ductions CutFreePC
apply(rule proofTreeDeductionD)
apply(rule ccontr)
apply(force dest!: validProofTree)
done

```

end

6 Soundness

theory Soundness **imports** Completeness **begin**

```

lemma permutation-validS: mset fs = mset gs --> (validS fs = validS gs)
apply(simp add: validS-def)
apply(simp add: evalS-def)
using mset-eq-setD apply blast
done

```

```

lemma modelAssigns-vblcase: phi ∈ modelAssigns M ⇒ x ∈ objects M ⇒
vblcase x phi ∈ modelAssigns M
apply (simp add: modelAssigns-def, rule)
apply(erule-tac rangeE)
apply(case-tac xaa rule: vbl-casesE, auto)
done

```

```

lemma tmp: (!x : A. P x | Q) ==> (! x : A. P x) | Q by blast

```

```

lemma soundnessFAll: !!Gamma.
[[ u ~: freeVarsFL (FAll Pos A # Gamma);
validS (instanceF u A # Gamma) ]]
==> validS (FAll Pos A # Gamma)
apply (simp add: validS-def, rule)
apply (drule-tac x=M in spec, rule)
apply(simp add: evalF-instance)
apply (rule tmp, rule)
apply(drule-tac x=% y. if y = u then x else phi y in bspec)
apply(simp add: modelAssigns-def) apply force
apply(erule disjE)
apply (rule disjI1, simp)
apply(subgoal-tac evalF M (vblcase x (λy. if y = u then x else phi y)) A = evalF
M (vblcase x phi) A)
apply force

```

```

apply(rule evalF-equiv)
apply(rule equalOn-vblcaseI)
  apply(rule,rule)
apply(simp add: freeVarsFL-cons)
apply (rule equalOnI, force)
apply(rule disjI2)
apply(subgoal-tac evalS M ( $\lambda y.$  if  $y = u$  then  $x$  else  $\phi y$ ) Gamma = evalS M
phi Gamma)
  apply force
apply(rule evalS-equiv)
apply(rule equalOnI)
apply(force simp: freeVarsFL-cons)
done

```

```

lemma soundnessFEx: validS (instanceF x A # Gamma) ==> validS (Fall Neg
A # Gamma)
apply(simp add: validS-def)
apply (simp add: evalF-instance, rule, rule)
apply(drule-tac x=M in spec)
apply (drule-tac x=phi in bspec, assumption)
apply(erule disjE)
apply(rule disjI1)
apply (rule-tac x=phi x in bexI, assumption)
apply(force dest: modelAssignsD subsetD)
apply (rule disjI2, assumption)
done

```

```

lemma soundnessFCut: [| validS (C # Gamma); validS (FNot C # Delta) |] ==>
validS (Gamma @ Delta)

```

```

apply (simp add: validS-def, rule, rule)
apply(drule-tac x=M in spec)
apply(drule-tac x=M in spec)
apply(drule-tac x=phi in bspec) apply assumption
apply(drule-tac x=phi in bspec) apply assumption
apply (simp add: evalS-append evalF-FNot, blast)
done

```

```

lemma soundness: fs : deductions(PC) ==> (validS fs)
apply(erule-tac deductions.induct)
apply(drule-tac PowD)
apply(subgoal-tac prems  $\subseteq$  {x. validS x}) prefer 2 apply force apply(thin-tac
prems  $\subseteq$  deductions PC  $\cap$  {x. validS x})
apply(simp add: subset-eq)
apply(simp add: PC-def)
apply(elim disjE)
  apply (auto simp add: Perms-def)
  apply (subst permutation-validS)
  defer

```

```

    apply assumption
    apply simp-all
    apply(force simp: Axioms-def validS-def evalS-def)
    apply(force simp: Conjs-def validS-def evalS-def)
    apply(force simp: Disjs-def validS-def evalS-def)
    apply(simp add: Alls-def)
    apply(force intro: soundnessFAll)
    apply(simp add: Exs-def)
    apply(force intro: soundnessFEx)
    apply(force simp: Weaks-def validS-def evalS-def)
    apply(force simp: Contrs-def validS-def evalS-def)
    apply(force simp: Cuts-def intro: soundnessFCut)
done

```

```

lemma completeness: fs : deductions (PC) = validS fs
  apply rule
  apply(rule soundness) apply assumption
  apply(subgoal-tac fs : deductions CutFreePC)
  apply(rule subsetD) prefer 2 apply assumption
  apply(rule mono-deductions)
  apply(simp add: PC-def CutFreePC-def) apply blast
  apply(rule adequacy)
  by assumption

```

end