

Complete Non-Orders and Fixed Points

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Abstract

We develop an Isabelle/HOL library of order-theoretic concepts, such as various completeness conditions and fixed-point theorems. We keep our formalization as general as possible: we reprove several well-known results about complete orders, often with only antisymmetry or attractivity, a mild condition implied by either antisymmetry or transitivity. In particular, we generalize various theorems ensuring the existence of a quasi-fixed point of monotone maps over complete relations, and show that the set of (quasi-)fixed points is itself complete. This result generalizes and strengthens theorems of Knaster–Tarski, Bourbaki–Witt, Kleene, Markowsky, Patarraia, Mashburn, Bhatta–George, and Stouti–Maaden.

Contents

1	Introduction	2
2	Binary Relations	4
2.1	Various Definitions	5
2.2	Locales for Binary Relations	12
2.2.1	Syntactic Locales	12
2.2.2	Basic Properties of Relations	14
2.3	Combined Properties	20
2.4	Totality	25
2.5	Order Pairs	29
2.6	Functions	33
2.7	Relating to Classes	35
2.8	Declaring Duals	42
2.9	Instantiations	45
3	Well-Relations	45
3.1	Relating to Classes	53
3.2	omega-Chains	54
3.2.1	Relation image that preserves well-orderedness.	55

4	Completeness of Relations	58
4.1	Completeness Conditions	58
4.2	Pointed Ones	61
4.3	Relations between Completeness Conditions	62
4.4	Duality of Completeness Conditions	63
4.5	Completeness Results Requiring Order-Like Properties	64
4.6	Relating to Classes	64
4.7	Set-wise Completeness	64
5	Existence of Fixed Points in Complete Related Sets	66
6	Fixed Points in Well-Complete Antisymmetric Sets	69
7	Completeness of (Quasi-)Fixed Points	72
7.1	Least Quasi-Fixed Points for Attractive Relations.	73
7.2	General Completeness	73
7.3	Instances	74
7.3.1	Instances under attractivity	74
7.3.2	Usual instances under antisymmetry	74
7.4	Scott Continuity, ω -Continuity	75
7.4.1	Continuity implies monotonicity	77
8	Iterative Fixed Point Theorem	78
8.1	Existence of Iterative Fixed Points	78
8.2	Iterative Fixed Points are Least.	79

1 Introduction

The main driving force towards mechanizing mathematics using proof assistants has been the reliability they offer, exemplified prominently by [10], [12], [15], etc. In this work, we utilize another aspect of proof assistants: they are also engineering tools for developing mathematical theories.

Fixed-point theorems are important in computer science, such as in denotational semantics [20] and in abstract interpretation [7], as they allow the definition of semantics of loops and recursive functions. The Knaster–Tarski theorem [23] shows that any monotone map $f : A \rightarrow A$ over complete lattice (A, \sqsubseteq) has a fixed point, and the set of fixed points forms also a complete lattice. The result was generalized in various ways: Markowsky [16] showed a corresponding result for *chain-complete* posets. The proof uses the Bourbaki–Witt theorem [6], stating that any inflationary map over a chain-complete poset has a fixed point. The original proof of the latter is non-elementary in the sense that it relies on ordinals and Hartogs’ theorem. Patariaia [18] gave an elementary proof that monotone maps over

pointed directed-complete poset has a fixed point. Fixed points are studied also for *pseudo-orders* [21], relaxing transitivity. Stouti and Maaden [22] showed that every monotone map over a complete pseudo-order has a (least) fixed point. Markowsky’s result was also generalized to *weak chain-complete pseudo-orders* by Bhatta and George [4, 5].

Another line of order-theoretic fixed points is the *iterative* approach. Kantorovitch showed that for ω -*continuous* map f over a complete lattice,¹ the iteration $\perp, f \perp, f^2 \perp, \dots$ converges to a fixed point [14, Theorem I]. Tarski [23] also claimed a similar result for a *countably distributive* map over a *countably complete Boolean algebra*. Kleene’s fixed-point theorem states that, for *Scott-continuous* maps over pointed directed-complete posets, the iteration converges to the least fixed point. Finally, Mashburn [17] proved a version for ω -continuous maps over ω -complete posets, which covers Kantorovitch’s, Tarski’s and Kleene’s claims.

In particular, we provide the following:

- Several *locales* that help organizing the different order-theoretic conditions, such as reflexivity, transitivity, antisymmetry, and their combination, as well as concepts such as connex and well-related sets, analogues of chains and well-ordered sets in a non-ordered context.
- Existence of fixed points: We provide two proof schemes to prove that monotone or inflationary mapping $f : A \rightarrow A$ over a complete related set $\langle A, \sqsubseteq \rangle$ has a *quasi-fixed point* $f x \sim x$, meaning $x \sqsubseteq f x \wedge f x \sqsubseteq x$, for various notions of completeness. The first one, similar to the original proof by Tarski [23], does not require any ordering assumptions, but relies on completeness with respect to all subsets. The second one, inspired by a *constructive* approach by Grall [11], is a proof scheme based on the notion of derivations. Here we demand antisymmetry (to avoid the necessity of the axiom of choice), but can be instantiated to *well-complete* sets, a generalization of weak chain-completeness. This also allows us to generalize Bourbaki–Witt theorem [6] to pseudo-orders.
- Completeness of the set of fixed points: if (A, \sqsubseteq) satisfies a mild condition, which we call *attractivity* and which is implied by either transitivity or antisymmetry, then the set of quasi-fixed points inherits the completeness class from (A, \sqsubseteq) , if it is at least well-complete. The result instantiates to the full completeness (generalizing Knaster–Tarski and [22]), directed-completeness [18], chain-completeness [16], and weak chain-completeness [5].

¹More precisely, he assumes a conditionally complete lattice defined over vectors and that $\perp \sqsubseteq f \perp$ and $f v' \sqsubseteq v'$. Hence f , which is monotone, is a map over the complete lattice $\{v \mid \perp \sqsubseteq v \sqsubseteq v'\}$.

- Iterative construction: For an ω -continuous map over an ω -complete related set, we show that suprema of $\{f^n \perp \mid n \in \mathbb{N}\}$ are quasi-fixed points. Under attractivity, the quasi-fixed points obtained from this scheme are precisely the least quasi-fixed points of f . This generalizes Mashburn’s result, and thus ones by Kantorovitch, Tarski and Kleene.

We remark that all these results would have required much more effort than we spent (if possible at all), if we were not with the aforementioned smart assistance by Isabelle. Our workflow was often the following: first we formalize existing proofs, try relaxing assumptions, see where proof breaks, and at some point ask for a counterexample.

Concerning Isabelle formalization, one can easily find several formalizations of complete partial orders or lattices in Isabelle’s standard library. They are, however, defined on partial orders, either in form of classes or locales, and thus not directly reusable for non-orders. Nevertheless we tried to make our formalization compatible with the existing ones, and various correspondences are ensured.

This archive is the third version of this work. The first version has been published in the conference paper [24]. The second version has been published in the journal paper [8]. The third version is a restructuration of the second version for future formalizations, including [25].

2 Binary Relations

We start with basic properties of binary relations.

```
theory Binary-Relations
imports
```

```
    Main
```

```
begin
```

```
unbundle lattice-syntax
```

```
lemma conj-iff-conj-iff-imp-iff: Trueprop  $(x \wedge y \longleftrightarrow x \wedge z) \equiv (x \Longrightarrow (y \longleftrightarrow z))$ 
  <proof>
```

```
lemma conj-imp-eq-imp-imp:  $(P \wedge Q \Longrightarrow PROP R) \equiv (P \Longrightarrow Q \Longrightarrow PROP R)$ 
  <proof>
```

```
lemma tranclp-trancl:  $r^{++} = (\lambda x y. (x,y) \in \{(a,b). r a b\}^+)$ 
  <proof>
```

```
lemma tranclp-id[simp]:  $transp r \Longrightarrow tranclp r = r$ 
  <proof>
```

```
lemma transp-tranclp[simp]:  $transp (tranclp r)$  <proof>
```

lemma *funpow-dom*: $f \text{ ' } A \subseteq A \implies (f \sim n) \text{ ' } A \subseteq A$ *<proof>*

lemma *image-subsetD*: $f \text{ ' } A \subseteq B \implies a \in A \implies f a \in B$ *<proof>*

Below we introduce an Isabelle-notation for $\{\dots x \dots \mid x \in X\}$.

syntax

-range :: $'a \Rightarrow idts \Rightarrow 'a \text{ set } (\langle 1\{- \mid \cdot \} - \rangle)$

-image :: $'a \Rightarrow ptrn \Rightarrow 'a \text{ set } \Rightarrow 'a \text{ set } (\langle 1\{- \mid \cdot \} (- \in -) \rangle)$

syntax-consts

-range \equiv *range* **and**

-image \equiv *image*

translations

$\{e \mid p\} \equiv \text{CONST } \textit{range} (\lambda p. e)$

$\{e \mid p \in A\} \equiv \text{CONST } \textit{image} (\lambda p. e) A$

lemma *image-constant*:

assumes $\bigwedge i. i \in I \implies f i = y$

shows $f \text{ ' } I = (\textit{if } I = \{\} \textit{ then } \{\} \textit{ else } \{y\})$

<proof>

2.1 Various Definitions

Here we introduce various definitions for binary relations. The first one is our abbreviation for the dual of a relation.

abbreviation(*input*) *dual* $(\langle (-) \rangle [1000] 1000)$ **where** $r^- x y \equiv r y x$

lemma *conversep-is-dual*[*simp*]: *conversep* = *dual* *<proof>*

lemma *dual-inf*: $(r \sqcap s)^- = r^- \sqcap s^-$ *<proof>*

Monotonicity is already defined in the library, but we want one restricted to a domain.

lemmas *monotone-onE* = *monotone-on-def*[*unfolded atomize-eq, THEN iffD1, elim-format, rule-format*]

lemma *monotone-on-dual*: *monotone-on* $X r s f \implies \textit{monotone-on } X r^- s^- f$ *<proof>*

lemma *monotone-on-id*: *monotone-on* $X r r \textit{id}$ *<proof>*

lemma *monotone-on-cmono*: $A \subseteq B \implies \textit{monotone-on } B \leq \textit{monotone-on } A$ *<proof>*

Here we define the following notions in a standard manner

The symmetric part of a relation:

definition *sympartp* **where** *sympartp* $r x y \equiv r x y \wedge r y x$

lemma *sympartpI*[*intro*]:
fixes r (**infix** \sqsubseteq 50)
assumes $x \sqsubseteq y$ **and** $y \sqsubseteq x$ **shows** *sympartp* $(\sqsubseteq) x y$
 $\langle proof \rangle$

lemma *sympartpE*[*elim*]:
fixes r (**infix** \sqsubseteq 50)
assumes *sympartp* $(\sqsubseteq) x y$ **and** $x \sqsubseteq y \implies y \sqsubseteq x \implies thesis$ **shows** *thesis*
 $\langle proof \rangle$

lemma *sympartp-dual*: *sympartp* $r^- = sympartp r$
 $\langle proof \rangle$

lemma *sympartp-eq*[*simp*]: *sympartp* $(=) = (=)$ $\langle proof \rangle$

lemma *sympartp-sympartp*[*simp*]: *sympartp* (*sympartp* r) = *sympartp* r $\langle proof \rangle$

lemma *reflclp-sympartp*[*simp*]: (*sympartp* r)⁼⁼ = *sympartp* r ⁼⁼ $\langle proof \rangle$

definition *equivpartp* $r x y \equiv x = y \vee r x y \wedge r y x$

lemma *sympartp-reflclp-equiv*[*simp*]: *sympartp* r ⁼⁼ = *equivpartp* r $\langle proof \rangle$

lemma *equivpartI*[*simp*]: *equivpartp* $r x x$
and *sympartp-equivpartI*: *sympartp* $r x y \implies equivpartp r x y$
and *equivpartCI*[*intro*]: $(x \neq y \implies sympartp r x y) \implies equivpartp r x y$
 $\langle proof \rangle$

lemma *equivpartE*[*elim*]:
assumes *equivpartp* $r x y$
and $x = y \implies thesis$
and $r x y \implies r y x \implies thesis$
shows *thesis*
 $\langle proof \rangle$

lemma *equivpartp-eq*[*simp*]: *equivpartp* $(=) = (=)$ $\langle proof \rangle$

lemma *sympartp-equivpartp*[*simp*]: *sympartp* (*equivpartp* r) = (*equivpartp* r)
and *equivpartp-equivpartp*[*simp*]: *equivpartp* (*equivpartp* r) = (*equivpartp* r)
and *equivpartp-sympartp*[*simp*]: *equivpartp* (*sympartp* r) = (*equivpartp* r)
 $\langle proof \rangle$

lemma *equivpartp-dual*: *equivpartp* $r^- = equivpartp r$
 $\langle proof \rangle$

The asymmetric part:

definition *asymptp* $r x y \equiv r x y \wedge \neg r y x$

lemma *asymptpE*[*elim*]:
fixes r (**infix** \sqsubseteq 50)
shows $asymptp (\sqsubseteq) x y \implies (x \sqsubseteq y \implies \neg y \sqsubseteq x \implies thesis) \implies thesis$
 $\langle proof \rangle$

lemmas *asymptpI*[*intro*] = *asymptp-def*[*unfolded atomize-eq, THEN iffD2, unfolded conj-imp-eq-imp-imp, rule-format*]

lemma *asymptp-eq*[*simp*]: $asymptp (=) = bot$ $\langle proof \rangle$

lemma *asymptp-symptp* [*simp*]: $asymptp (symptp r) = bot$
and *symptp-asymptp* [*simp*]: $symptp (asymptp r) = bot$
 $\langle proof \rangle$

lemma *asymptp-dual*: $asymptp r^- = (asymptp r)^-$ $\langle proof \rangle$

Restriction to a set:

definition *Restrp* (**infixl** \lrcorner 60) **where** $(r \lrcorner A) a b \equiv a \in A \wedge b \in A \wedge r a b$

lemmas *RestrpI*[*intro!*] = *Restrp-def*[*unfolded atomize-eq, THEN iffD2, unfolded conj-imp-eq-imp-imp*]

lemmas *RestrpE*[*elim!*] = *Restrp-def*[*unfolded atomize-eq, THEN iffD1, elim-format, unfolded conj-imp-eq-imp-imp*]

lemma *Restrp-simp*[*simp*]: $a \in A \implies b \in A \implies (r \lrcorner A) a b \longleftrightarrow r a b$ $\langle proof \rangle$

lemma *Restrp-UNIV*[*simp*]: $r \lrcorner UNIV \equiv r$ $\langle proof \rangle$

lemma *Restrp-Restrp*[*simp*]: $r \lrcorner A \lrcorner B \equiv r \lrcorner A \cap B$ $\langle proof \rangle$

lemma *symptp-Restrp*[*simp*]: $symptp (r \lrcorner A) \equiv symptp r \lrcorner A$
 $\langle proof \rangle$

Relational images:

definition *Imagep* (**infixr** $\overset{\curvearrowright}{\sqsubseteq}$ 59) **where** $r \overset{\curvearrowright}{\sqsubseteq} A \equiv \{b. \exists a \in A. r a b\}$

lemma *Imagep-Image*: $r \overset{\curvearrowright}{\sqsubseteq} A = \{(a,b). r a b\} \overset{\curvearrowright}{\sqsubseteq} A$
 $\langle proof \rangle$

lemma *in-Imagep*: $b \in r \overset{\curvearrowright}{\sqsubseteq} A \longleftrightarrow (\exists a \in A. r a b)$ $\langle proof \rangle$

lemma *ImagepI*: $a \in A \implies r a b \implies b \in r \overset{\curvearrowright}{\sqsubseteq} A$ $\langle proof \rangle$

lemma *subset-Imagep*: $B \subseteq r \overset{\curvearrowright}{\sqsubseteq} A \longleftrightarrow (\forall b \in B. \exists a \in A. r a b)$
 $\langle proof \rangle$

Bounds of a set:

definition *bound* X (\sqsubseteq) $b \equiv \forall x \in X. x \sqsubseteq b$ **for** r (**infix** \sqsubseteq 50)

lemma

fixes r (**infix** \sqsubseteq 50)

shows $boundI[intro!]$: $(\bigwedge x. x \in X \implies x \sqsubseteq b) \implies bound\ X\ (\sqsubseteq)\ b$

and $boundE[elim]$: $bound\ X\ (\sqsubseteq)\ b \implies ((\bigwedge x. x \in X \implies x \sqsubseteq b) \implies thesis) \implies thesis$

and $boundD$: $bound\ X\ (\sqsubseteq)\ b \implies a \in X \implies a \sqsubseteq b$

$\langle proof \rangle$

lemma $bound-empty$: $bound\ \{\} = (\lambda r\ x. True) \langle proof \rangle$

lemma $bound-cmono$: **assumes** $X \subseteq Y$ **shows** $bound\ Y \leq bound\ X$

$\langle proof \rangle$

lemmas $bound-subset = bound-cmono[THEN\ le-funD, THEN\ le-funD, THEN\ le-boolD, folded\ atomize-imp]$

lemma $bound-un$: $bound\ (A \cup B) = bound\ A \sqcap bound\ B$

$\langle proof \rangle$

lemma $bound-insert[simp]$:

fixes r (**infix** \sqsubseteq 50)

shows $bound\ (insert\ x\ X)\ (\sqsubseteq)\ b \longleftrightarrow x \sqsubseteq b \wedge bound\ X\ (\sqsubseteq)\ b \langle proof \rangle$

lemma $bound-cong$:

assumes $A = A'$

and $b = b'$

and $\bigwedge a. a \in A' \implies le\ a\ b' = le'\ a\ b'$

shows $bound\ A\ le\ b = bound\ A'\ le'\ b'$

$\langle proof \rangle$

lemma $bound-subsel$: $le \leq le' \implies bound\ A\ le \leq bound\ A\ le'$

$\langle proof \rangle$

Extreme (greatest) elements in a set:

definition $extreme\ X\ (\sqsubseteq)\ e \equiv e \in X \wedge (\forall x \in X. x \sqsubseteq e)$ **for** r (**infix** \sqsubseteq 50)

lemma

fixes r (**infix** \sqsubseteq 50)

shows $extremeI[intro]$: $e \in X \implies (\bigwedge x. x \in X \implies x \sqsubseteq e) \implies extreme\ X\ (\sqsubseteq)\ e$

and $extremeD$: $extreme\ X\ (\sqsubseteq)\ e \implies e \in X \wedge extreme\ X\ (\sqsubseteq)\ e \implies (\bigwedge x. x \in X \implies x \sqsubseteq e)$

and $extremeE[elim]$: $extreme\ X\ (\sqsubseteq)\ e \implies (e \in X \implies (\bigwedge x. x \in X \implies x \sqsubseteq e) \implies thesis) \implies thesis$

$\langle proof \rangle$

lemma

fixes r (**infix** \sqsubseteq 50)

shows $extreme-UNIV[simp]$: $extreme\ UNIV\ (\sqsubseteq)\ t \longleftrightarrow (\forall x. x \sqsubseteq t) \langle proof \rangle$

lemma *extreme-iff-bound*: $extreme\ X\ r\ e \longleftrightarrow bound\ X\ r\ e \wedge e \in X$ *<proof>*

lemma *extreme-imp-bound*: $extreme\ X\ r\ x \implies bound\ X\ r\ x$ *<proof>*

lemma *extreme-inf*: $extreme\ X\ (r \sqcap s)\ x \longleftrightarrow extreme\ X\ r\ x \wedge extreme\ X\ s\ x$ *<proof>*

lemma *extremes-equiv*: $extreme\ X\ r\ b \implies extreme\ X\ r\ c \implies sympartp\ r\ b\ c$ *<proof>*

lemma *extreme-cong*:

assumes $A = A'$

and $b = b'$

and $\bigwedge a. a \in A' \implies b' \in A' \implies le\ a\ b' = le'\ a\ b'$

shows $extreme\ A\ le\ b = extreme\ A'\ le'\ b'$

<proof>

lemma *extreme-subset*: $X \subseteq Y \implies extreme\ X\ r\ x \implies extreme\ Y\ r\ y \implies r\ x\ y$ *<proof>*

lemma *extreme-subrel*:

$le \leq le' \implies extreme\ A\ le \leq extreme\ A\ le'$ *<proof>*

Now suprema and infima are given uniformly as follows. The definition is restricted to a given set.

definition

extreme-bound $A\ (\sqsubseteq)\ X \equiv extreme\ \{b \in A. bound\ X\ (\sqsubseteq)\ b\}\ (\sqsubseteq)^-$ **for** r (**infix** $\langle \sqsubseteq \rangle$ 50)

lemmas *extreme-boundI-extreme* = *extreme-bound-def*[*unfolded atomize-eq*, *THEN fun-cong*, *THEN iffD2*]

lemmas *extreme-boundD-extreme* = *extreme-bound-def*[*unfolded atomize-eq*, *THEN fun-cong*, *THEN iffD1*]

context

fixes $A :: 'a\ set$ **and** *less-eq* $:: 'a \Rightarrow 'a \Rightarrow bool$ (**infix** $\langle \sqsubseteq \rangle$ 50)

begin

lemma *extreme-boundI*[*intro*]:

assumes $\bigwedge b. bound\ X\ (\sqsubseteq)\ b \implies b \in A \implies s \sqsubseteq b$ **and** $\bigwedge x. x \in X \implies x \sqsubseteq s$

and $s \in A$

shows *extreme-bound* $A\ (\sqsubseteq)\ X\ s$

<proof>

lemma *extreme-boundD*:

assumes *extreme-bound* $A\ (\sqsubseteq)\ X\ s$

shows $x \in X \implies x \sqsubseteq s$

and $bound\ X\ (\sqsubseteq)\ b \implies b \in A \implies s \sqsubseteq b$

and *extreme-bound-in*: $s \in A$

$\langle proof \rangle$

lemma *extreme-boundE[elim]*:

assumes *extreme-bound* $A (\sqsubseteq) X s$

and $s \in A \implies \text{bound } X (\sqsubseteq) s \implies (\bigwedge b. \text{bound } X (\sqsubseteq) b \implies b \in A \implies s \sqsubseteq b)$

\implies *thesis*

shows *thesis*

$\langle proof \rangle$

lemma *extreme-bound-imp-bound*: *extreme-bound* $A (\sqsubseteq) X s \implies \text{bound } X (\sqsubseteq) s$

$\langle proof \rangle$

lemma *extreme-imp-extreme-bound*:

assumes Xs : *extreme* $X (\sqsubseteq) s$ **and** XA : $X \subseteq A$ **shows** *extreme-bound* $A (\sqsubseteq) X s$

s

$\langle proof \rangle$

lemma *extreme-bound-subset-bound*:

assumes XY : $X \subseteq Y$

and sX : *extreme-bound* $A (\sqsubseteq) X s$

and b : *bound* $Y (\sqsubseteq) b$ **and** bA : $b \in A$

shows $s \sqsubseteq b$

$\langle proof \rangle$

lemma *extreme-bound-subset*:

assumes XY : $X \subseteq Y$

and sX : *extreme-bound* $A (\sqsubseteq) X sX$

and sY : *extreme-bound* $A (\sqsubseteq) Y sY$

shows $sX \sqsubseteq sY$

$\langle proof \rangle$

lemma *extreme-bound-iff*:

extreme-bound $A (\sqsubseteq) X s \longleftrightarrow s \in A \wedge (\forall c \in A. (\forall x \in X. x \sqsubseteq c) \longrightarrow s \sqsubseteq c) \wedge (\forall x \in X. x \sqsubseteq s)$

$\langle proof \rangle$

lemma *extreme-bound-empty*: *extreme-bound* $A (\sqsubseteq) \{\}$ $x \longleftrightarrow \text{extreme } A (\sqsubseteq)^- x$

$\langle proof \rangle$

lemma *extreme-bound-singleton-refl[simp]*:

extreme-bound $A (\sqsubseteq) \{x\} x \longleftrightarrow x \in A \wedge x \sqsubseteq x$ $\langle proof \rangle$

lemma *extreme-bound-image-const*:

$x \sqsubseteq x \implies I \neq \{\} \implies (\bigwedge i. i \in I \implies f i = x) \implies x \in A \implies \text{extreme-bound } A (\sqsubseteq) (f ' I) x$

$\langle proof \rangle$

lemma *extreme-bound-UN-const*:

$x \sqsubseteq x \implies I \neq \{\} \implies (\bigwedge i y. i \in I \implies P i y \longleftrightarrow x = y) \implies x \in A \implies$

extreme-bound $A \sqsubseteq (\bigcup_{i \in I}. \{y. P i y\}) x$
 ⟨proof⟩

lemma *extreme-bounds-equiv*:

assumes s : *extreme-bound* $A \sqsubseteq X s$ **and** s' : *extreme-bound* $A \sqsubseteq X s'$
shows *sympartp* $(\sqsubseteq) s s'$
 ⟨proof⟩

lemma *extreme-bound-squeeze*:

assumes XY : $X \subseteq Y$ **and** YZ : $Y \subseteq Z$
and Xs : *extreme-bound* $A \sqsubseteq X s$ **and** Zs : *extreme-bound* $A \sqsubseteq Z s$
shows *extreme-bound* $A \sqsubseteq Y s$
 ⟨proof⟩

lemma *bound-closed-imp-extreme-bound-eq-extreme*:

assumes *closed*: $\forall b \in A. \text{bound } X \sqsubseteq b \longrightarrow b \in X$ **and** XA : $X \subseteq A$
shows *extreme-bound* $A \sqsubseteq X = \text{extreme } X \sqsubseteq$
 ⟨proof⟩

end

lemma *extreme-bound-cong*:

assumes $A = A'$
and $X = X'$
and $\bigwedge a b. a \in A' \Longrightarrow b \in A' \Longrightarrow \text{le } a b \longleftrightarrow \text{le}' a b$
and $\bigwedge a b. a \in X' \Longrightarrow b \in A' \Longrightarrow \text{le } a b \longleftrightarrow \text{le}' a b$
shows *extreme-bound* $A \text{ le } X s = \text{extreme-bound } A \text{ le}' X s$
 ⟨proof⟩

Maximal or Minimal

definition *extremal* $X \sqsubseteq x \equiv x \in X \wedge (\forall y \in X. x \sqsubseteq y \longrightarrow y \sqsubseteq x)$ **for** r (**infix** $\langle \sqsubseteq \rangle$ 50)

context

fixes $r :: 'a \Rightarrow 'a \Rightarrow \text{bool}$ (**infix** $\langle \sqsubseteq \rangle$ 50)

begin

lemma *extremalI*:

assumes $x \in X \bigwedge y. y \in X \Longrightarrow x \sqsubseteq y \Longrightarrow y \sqsubseteq x$
shows *extremal* $X \sqsubseteq x$
 ⟨proof⟩

lemma *extremalE*:

assumes *extremal* $X \sqsubseteq x$
and $x \in X \Longrightarrow (\bigwedge y. y \in X \Longrightarrow x \sqsubseteq y \Longrightarrow y \sqsubseteq x) \Longrightarrow \text{thesis}$
shows *thesis*
 ⟨proof⟩

lemma *extremalD*:

```

assumes extremal  $X$  ( $\sqsubseteq$ )  $x$  shows  $x \in X \ y \in X \implies x \sqsubseteq y \implies y \sqsubseteq x$ 
 $\langle$ proof $\rangle$ 

end

context
  fixes ir (infix  $\langle \preceq \rangle$  50) and r (infix  $\langle \sqsubseteq \rangle$  50) and  $I \ f$ 
  assumes mono: monotone-on  $I$  ( $\preceq$ ) ( $\sqsubseteq$ )  $f$ 
begin

lemma monotone-image-bound:
  assumes  $X \subseteq I$  and  $b \in I$  and bound  $X$  ( $\preceq$ )  $b$ 
  shows bound ( $f \ ' X$ ) ( $\sqsubseteq$ ) ( $f \ b$ )
   $\langle$ proof $\rangle$ 

lemma monotone-image-extreme:
  assumes e: extreme  $I$  ( $\preceq$ )  $e$ 
  shows extreme ( $f \ ' I$ ) ( $\sqsubseteq$ ) ( $f \ e$ )
   $\langle$ proof $\rangle$ 

end

context
  fixes ir ::  $'i \Rightarrow 'i \Rightarrow \text{bool}$  (infix  $\langle \preceq \rangle$  50)
    and r ::  $'a \Rightarrow 'a \Rightarrow \text{bool}$  (infix  $\langle \sqsubseteq \rangle$  50)
    and  $f$  and  $A$  and  $e$  and  $I$ 
  assumes fIA:  $f \ ' I \subseteq A$ 
    and mono: monotone-on  $I$  ( $\preceq$ ) ( $\sqsubseteq$ )  $f$ 
    and e: extreme  $I$  ( $\preceq$ )  $e$ 
begin

lemma monotone-extreme-imp-extreme-bound:
  extreme-bound  $A$  ( $\sqsubseteq$ ) ( $f \ ' I$ ) ( $f \ e$ )
   $\langle$ proof $\rangle$ 

lemma monotone-extreme-extreme-boundI:
   $x = f \ e \implies \text{extreme-bound } A \ (\sqsubseteq) \ (f \ ' I) \ x$ 
   $\langle$ proof $\rangle$ 

end

```

2.2 Locales for Binary Relations

We now define basic properties of binary relations, in form of *locales* [13, 2].

2.2.1 Syntactic Locales

The following locales do not assume anything, but provide infix notations for relations.

```

locale less-eq-syntax =
  fixes less-eq :: 'a ⇒ 'a ⇒ bool (infix <⊑> 50)

locale less-syntax =
  fixes less :: 'a ⇒ 'a ⇒ bool (infix <⊂> 50)

locale equivalence-syntax =
  fixes equiv :: 'a ⇒ 'a ⇒ bool (infix <∼> 50)
begin

abbreviation equiv-class (<[-]∼>) where  $[x]_{\sim} \equiv \{ y. x \sim y \}$ 

end

  Next ones introduce abbreviations for dual etc. To avoid needless constants, one should be careful when declaring them as sublocales.

locale less-eq-dualize = less-eq-syntax
begin

abbreviation (input) greater-eq (infix <⊒> 50) where  $x \sqsupseteq y \equiv y \sqsubseteq x$ 

end

locale less-eq-symmetrize = less-eq-dualize
begin

abbreviation sym (infix <∼> 50) where  $(\sim) \equiv \text{sympartp } (\sqsubseteq)$ 
abbreviation equiv (infix <(≃)> 50) where  $(\simeq) \equiv \text{equivpartp } (\sqsubseteq)$ 

end

locale less-eq-asymmetrize = less-eq-symmetrize
begin

abbreviation less (infix <⊂> 50) where  $(\sqsubset) \equiv \text{asympartp } (\sqsubseteq)$ 
abbreviation greater (infix <⊃> 50) where  $(\sqsupset) \equiv (\sqsubset)^{-}$ 

lemma asym-cases[consumes 1, case-names asym sym]:
  assumes  $x \sqsubseteq y$  and  $x \sqsubset y \implies \text{thesis}$  and  $x \sim y \implies \text{thesis}$ 
  shows thesis
  <proof>

end

locale less-dualize = less-syntax
begin

abbreviation (input) greater (infix <⊃> 50) where  $x \sqsupset y \equiv y \sqsubset x$ 

```

end

locale *related-set* =
 fixes $A :: 'a \text{ set}$ **and** $\text{less-eq} :: 'a \Rightarrow 'a \Rightarrow \text{bool}$ (**infix** \sqsubseteq 50)

2.2.2 Basic Properties of Relations

In the following we define basic properties in form of locales.

Reflexivity restricted on a set:

locale *reflexive* = *related-set* +
 assumes $\text{refl}[\text{intro}] : x \in A \Longrightarrow x \sqsubseteq x$
begin

lemma *eq-implies*: $x = y \Longrightarrow x \in A \Longrightarrow x \sqsubseteq y$ *<proof>*

lemma *reflexive-subset*: $B \subseteq A \Longrightarrow \text{reflexive } B$ (\sqsubseteq) *<proof>*

lemma *extreme-singleton[simp]*: $x \in A \Longrightarrow \text{extreme } \{x\}$ (\sqsubseteq) $y \longleftrightarrow x = y$ *<proof>*

lemma *extreme-bound-singleton*: $x \in A \Longrightarrow \text{extreme-bound } A$ (\sqsubseteq) $\{x\}$ x *<proof>*

lemma *extreme-bound-cone*: $x \in A \Longrightarrow \text{extreme-bound } A$ (\sqsubseteq) $\{a \in A. a \sqsubseteq x\}$ x
<proof>

end

lemmas *reflexiveI[intro!]* = *reflexive.intro*

lemma *reflexiveE[elim]*:
 assumes $\text{reflexive } A$ r **and** $(\bigwedge x. x \in A \Longrightarrow r \ x \ x) \Longrightarrow \text{thesis}$ **shows** *thesis*
 <proof>

lemma *reflexive-cong*:
 $(\bigwedge a \ b. a \in A \Longrightarrow b \in A \Longrightarrow r \ a \ b \longleftrightarrow r' \ a \ b) \Longrightarrow \text{reflexive } A \ r \longleftrightarrow \text{reflexive}$
 $A \ r'$
 <proof>

locale *irreflexive* = *related-set* A (\sqsubseteq) **for** A **and** *less* (**infix** \sqsubset 50) +
 assumes $\text{irrefl} : x \in A \Longrightarrow \neg x \sqsubseteq x$
begin

lemma *irreflD[simp]*: $x \sqsubset x \Longrightarrow \neg x \in A$ *<proof>*

lemma *implies-not-eq*: $x \sqsubset y \Longrightarrow x \in A \Longrightarrow x \neq y$ *<proof>*

lemma *Restrp-irreflexive*: $\text{irreflexive } UNIV$ ($(\sqsubseteq) \upharpoonright A$)
<proof>

lemma *irreflexive-subset*: $B \subseteq A \implies \text{irreflexive } B \ (\sqsubseteq) \langle \text{proof} \rangle$

end

lemmas *irreflexiveI*[*intro!*] = *irreflexive.intro*

lemma *irreflexive-cong*:

$(\bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b) \implies \text{irreflexive } A r \longleftrightarrow \text{irreflexive } A r'$
 $\langle \text{proof} \rangle$

context *reflexive begin*

interpretation *less-eq-asymmetrize* $\langle \text{proof} \rangle$

lemma *asymptp-irreflexive*: $\text{irreflexive } A \ (\sqsubseteq) \langle \text{proof} \rangle$

end

locale *transitive = related-set +*

assumes *trans*[*trans*]: $x \sqsubseteq y \implies y \sqsubseteq z \implies x \in A \implies y \in A \implies z \in A \implies x \sqsubseteq z$

begin

lemma *Restrp-transitive*: $\text{transitive } UNIV \ ((\sqsubseteq) \upharpoonright A)$
 $\langle \text{proof} \rangle$

lemma *bound-trans*[*trans*]: $\text{bound } X \ (\sqsubseteq) b \implies b \sqsubseteq c \implies X \subseteq A \implies b \in A \implies c \in A \implies \text{bound } X \ (\sqsubseteq) c$
 $\langle \text{proof} \rangle$

lemma *extreme-bound-mono*:

assumes *XY*: $\forall x \in X. \exists y \in Y. x \sqsubseteq y$ **and** *XA*: $X \subseteq A$ **and** *YA*: $Y \subseteq A$

and *sX*: $\text{extreme-bound } A \ (\sqsubseteq) X \ sX$

and *sY*: $\text{extreme-bound } A \ (\sqsubseteq) Y \ sY$

shows $sX \sqsubseteq sY$

$\langle \text{proof} \rangle$

lemma *transitive-subset*:

assumes *BA*: $B \subseteq A$ **shows** $\text{transitive } B \ (\sqsubseteq)$

$\langle \text{proof} \rangle$

lemma *asymptp-transitive*: $\text{transitive } A \ (\text{asymptp } \sqsubseteq)$

$\langle \text{proof} \rangle$

lemma *reflclp-transitive*: $\text{transitive } A \ (\sqsubseteq)^{==}$

$\langle \text{proof} \rangle$

The symmetric part is also transitive, but this is done in the later semi-attractive locale

end

lemmas *transitiveI* = *transitive.intro*

lemma *transitive-ball*[code]:

transitive A (\sqsubseteq) $\longleftrightarrow (\forall x \in A. \forall y \in A. \forall z \in A. x \sqsubseteq y \longrightarrow y \sqsubseteq z \longrightarrow x \sqsubseteq z)$

for *less-eq* (**infix** $\langle \sqsubseteq \rangle$ 50)

\langle *proof* \rangle

lemma *transitive-cong*:

assumes $r: \bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b$ **shows** *transitive* A r
 \longleftrightarrow *transitive* A r'

\langle *proof* \rangle

lemma *transitive-empty*[intro!]: *transitive* $\{\}$ r \langle *proof* \rangle

lemma *tranclp-transitive*: *transitive* A (*tranclp* r)

\langle *proof* \rangle

locale *symmetric* = *related-set* A (\sim) **for** A **and** *equiv* (**infix** $\langle \sim \rangle$ 50) +

assumes *sym*[*sym*]: $x \sim y \implies x \in A \implies y \in A \implies y \sim x$

begin

lemma *sym-iff*: $x \in A \implies y \in A \implies x \sim y \longleftrightarrow y \sim x$

\langle *proof* \rangle

lemma *Restrp-symmetric*: *symmetric* $UNIV$ ($(\sim) \upharpoonright A$)

\langle *proof* \rangle

lemma *symmetric-subset*: $B \subseteq A \implies$ *symmetric* B (\sim)

\langle *proof* \rangle

end

lemmas *symmetricI*[intro] = *symmetric.intro*

lemma *symmetric-cong*:

$(\bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b) \implies$ *symmetric* A $r \longleftrightarrow$ *symmetric*
 A r'

\langle *proof* \rangle

lemma *symmetric-empty*[intro!]: *symmetric* $\{\}$ r \langle *proof* \rangle

global-interpretation *sympartp*: *symmetric* $UNIV$ *sympartp* r

rewrites $\bigwedge r. r \upharpoonright UNIV \equiv r$

and $\bigwedge x. x \in UNIV \equiv True$

and $\bigwedge P1. (True \implies P1) \equiv Trueprop P1$

and $\bigwedge P1 P2. (True \implies PROP P1 \implies PROP P2) \equiv (PROP P1 \implies PROP P2)$

<proof>

lemma *sympartp-symmetric*: *symmetric A (sympartp r) <proof>*

locale *antisymmetric = related-set +*

assumes *antisym*: $x \sqsubseteq y \implies y \sqsubseteq x \implies x \in A \implies y \in A \implies x = y$

begin

interpretation *less-eq-symmetrize**<proof>*

lemma *sym-iff-eq-refl*: $x \in A \implies y \in A \implies x \sim y \longleftrightarrow x = y \wedge y \sqsubseteq y$ *<proof>*

lemma *equiv-iff-eq[simp]*: $x \in A \implies y \in A \implies x \simeq y \longleftrightarrow x = y$ *<proof>*

lemma *extreme-unique*: $X \subseteq A \implies \text{extreme } X (\sqsubseteq) x \implies \text{extreme } X (\sqsubseteq) y \longleftrightarrow x = y$
<proof>

lemma *ex-extreme-iff-ex1*:

$X \subseteq A \implies \text{Ex } (\text{extreme } X (\sqsubseteq)) \longleftrightarrow \text{Ex1 } (\text{extreme } X (\sqsubseteq))$ *<proof>*

lemma *ex-extreme-iff-the*:

$X \subseteq A \implies \text{Ex } (\text{extreme } X (\sqsubseteq)) \longleftrightarrow \text{extreme } X (\sqsubseteq) (\text{The } (\text{extreme } X (\sqsubseteq)))$
<proof>

lemma *eq-The-extreme*: $X \subseteq A \implies \text{extreme } X (\sqsubseteq) x \implies x = \text{The } (\text{extreme } X (\sqsubseteq))$
<proof>

lemma *Restrp-antisymmetric*: *antisymmetric UNIV ((\sqsubseteq) \upharpoonright A)*
<proof>

lemma *antisymmetric-subset*: $B \subseteq A \implies \text{antisymmetric } B (\sqsubseteq)$
<proof>

end

lemmas *antisymmetricI[intro] = antisymmetric.intro*

lemma *antisymmetric-cong*:

$(\bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b) \implies \text{antisymmetric } A r \longleftrightarrow \text{antisymmetric } A r'$
<proof>

lemma *antisymmetric-empty[intro!]*: *antisymmetric {} r <proof>*

lemma *antisymmetric-union*:

fixes *less-eq (infix <math>\sqsubseteq> 50)*

assumes *A*: *antisymmetric A (\sqsubseteq) and B*: *antisymmetric B (\sqsubseteq)*

and $AB: \forall a \in A. \forall b \in B. a \sqsubseteq b \longrightarrow b \sqsubseteq a \longrightarrow a = b$
shows *antisymmetric* $(A \cup B)$ (\sqsubseteq)
 \langle *proof* \rangle

The following notion is new, generalizing antisymmetry and transitivity.

locale *semiattractive* = *related-set* +
assumes *attract*: $x \sqsubseteq y \Longrightarrow y \sqsubseteq x \Longrightarrow y \sqsubseteq z \Longrightarrow x \in A \Longrightarrow y \in A \Longrightarrow z \in A$
 $\Longrightarrow x \sqsubseteq z$
begin

interpretation *less-eq-symmetrize* \langle *proof* \rangle

lemma *equiv-order-trans* $[$ *trans* $]$:
assumes $xy: x \simeq y$ **and** $yz: y \sqsubseteq z$ **and** $x: x \in A$ **and** $y: y \in A$ **and** $z: z \in A$
shows $x \sqsubseteq z$
 \langle *proof* \rangle

lemma *equiv-transitive*: *transitive* A (\simeq)
 \langle *proof* \rangle

lemma *sym-order-trans* $[$ *trans* $]$:
assumes $xy: x \sim y$ **and** $yz: y \sqsubseteq z$ **and** $x: x \in A$ **and** $y: y \in A$ **and** $z: z \in A$
shows $x \sqsubseteq z$
 \langle *proof* \rangle

interpretation *sym*: *transitive* A (\sim)
 \langle *proof* \rangle

lemmas *sym-transitive* = *sym.transitive-axioms*

lemma *extreme-bound-quasi-const*:
assumes $C: C \subseteq A$ **and** $x: x \in A$ **and** $C0: C \neq \{\}$ **and** *const*: $\forall y \in C. y \sim x$
shows *extreme-bound* A (\sqsubseteq) C x
 \langle *proof* \rangle

lemma *extreme-bound-quasi-const-iff*:
assumes $C: C \subseteq A$ **and** $x: x \in A$ **and** $y: y \in A$ **and** $C0: C \neq \{\}$ **and** *const*:
 $\forall z \in C. z \sim x$
shows *extreme-bound* A (\sqsubseteq) C $y \longleftrightarrow x \sim y$
 \langle *proof* \rangle

lemma *Restrp-semi-attractive*: *semi-attractive* $UNIV$ $((\sqsubseteq) \upharpoonright A)$
 \langle *proof* \rangle

lemma *semi-attractive-subset*: $B \subseteq A \Longrightarrow$ *semi-attractive* B (\sqsubseteq)
 \langle *proof* \rangle

end

lemmas *semiattractiveI* = *semiattractive.intro*

lemma *semiattractive-cong*:

assumes $r: \bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b$

shows $\text{semiattractive } A r \longleftrightarrow \text{semiattractive } A r' \text{ (is ?l } \longleftrightarrow ?r)$

<proof>

lemma *semiattractive-empty[intro!]*: $\text{semiattractive } \{\} r$

<proof>

locale *attractive* = *semiattractive* +

assumes $\text{semiattractive } A (\sqsubseteq)^-$

begin

interpretation *less-eq-symmetrize**<proof>*

sublocale *dual*: $\text{semiattractive } A (\sqsubseteq)^-$

rewrites $\bigwedge r. \text{sympartp } (r \upharpoonright A) \equiv \text{sympartp } r \upharpoonright A$

and $\bigwedge r. \text{sympartp } (\text{sympartp } r) \equiv \text{sympartp } r$

and $\text{sympartp } ((\sqsubseteq) \upharpoonright A)^- \equiv (\sim) \upharpoonright A$

and $\text{sympartp } (\sqsubseteq)^- \equiv (\sim)$

and $\text{equivpartp } (\sqsubseteq)^- \equiv (\simeq)$

<proof>

lemma *order-equiv-trans[trans]*:

assumes $xy: x \sqsubseteq y$ **and** $yz: y \simeq z$ **and** $x: x \in A$ **and** $y: y \in A$ **and** $z: z \in A$

shows $x \sqsubseteq z$

<proof>

lemma *order-sym-trans[trans]*:

assumes $xy: x \sqsubseteq y$ **and** $yz: y \sim z$ **and** $x: x \in A$ **and** $y: y \in A$ **and** $z: z \in A$

shows $x \sqsubseteq z$

<proof>

lemma *extreme-bound-sym-trans*:

assumes $XA: X \subseteq A$ **and** $Xx: \text{extreme-bound } A (\sqsubseteq) X x$

and $xy: x \sim y$ **and** $yA: y \in A$

shows $\text{extreme-bound } A (\sqsubseteq) X y$

<proof>

interpretation *Restrp*: $\text{semiattractive UNIV } (\sqsubseteq) \upharpoonright A$ *<proof>*

interpretation *dual.Restrp*: $\text{semiattractive UNIV } (\sqsubseteq)^- \upharpoonright A$ *<proof>*

lemma *Restrp-attractive*: $\text{attractive UNIV } ((\sqsubseteq) \upharpoonright A)$

<proof>

lemma *attractive-subset*: $B \subseteq A \implies \text{attractive } B (\sqsubseteq)$

<proof>

end

lemmas *attractiveI* = *attractive.intro*[*OF* - *attractive-axioms.intro*]

lemma *attractive-cong*:

assumes $r: \bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b$

shows $\text{attractive } A r \longleftrightarrow \text{attractive } A r'$

<proof>

lemma *attractive-empty*[*intro!*]: $\text{attractive } \{\} r$

<proof>

context *antisymmetric* **begin**

sublocale *attractive*

<proof>

end

context *transitive* **begin**

sublocale *attractive*

rewrites $\bigwedge r. \text{sympartp } (r \upharpoonright A) \equiv \text{sympartp } r \upharpoonright A$

and $\bigwedge r. \text{sympartp } (\text{sympartp } r) \equiv \text{sympartp } r$

and $\text{sympartp } (\sqsubseteq)^- \equiv \text{sympartp } (\sqsubseteq)$

and $(\text{sympartp } (\sqsubseteq))^- \equiv \text{sympartp } (\sqsubseteq)$

and $(\text{sympartp } (\sqsubseteq) \upharpoonright A)^- \equiv \text{sympartp } (\sqsubseteq) \upharpoonright A$

and $\text{asymptp } (\text{asymptp } (\sqsubseteq)) = \text{asymptp } (\sqsubseteq)$

and $\text{asymptp } (\text{sympartp } (\sqsubseteq)) = \text{bot}$

and $\text{asymptp } (\sqsubseteq) \upharpoonright A = \text{asymptp } ((\sqsubseteq) \upharpoonright A)$

<proof>

end

2.3 Combined Properties

Some combinations of the above basic properties are given names.

locale *asymmetric* = *related-set* $A (\sqsubseteq)$ **for** A **and** *less* (**infix** $\langle \sqsubseteq \rangle$ 50) +

assumes *asym*: $x \sqsubseteq y \implies y \sqsubseteq x \implies x \in A \implies y \in A \implies \text{False}$

begin

sublocale *irreflexive*

<proof>

lemma *antisymmetric-axioms*: *antisymmetric* $A (\sqsubseteq)$

<proof>

lemma *Restrp-asymmetric*: *asymmetric UNIV* $((\sqsubseteq) \upharpoonright A)$

<proof>

lemma *asymmetric-subset*: $B \subseteq A \implies \text{asymmetric } B \ (\sqsubseteq)$
<proof>

end

lemmas *asymmetricI* = *asymmetric.intro*

lemma *asymmetric-iff-irreflexive-antisymmetric*:

fixes *less* (**infix** $\langle \sqsubseteq \rangle$ 50)

shows $\text{asymmetric } A \ (\sqsubseteq) \longleftrightarrow \text{irreflexive } A \ (\sqsubseteq) \wedge \text{antisymmetric } A \ (\sqsubseteq)$ (**is ?!**
 $\longleftrightarrow ?r$)
<proof>

lemma *asymmetric-cong*:

assumes $r: \bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b$

shows $\text{asymmetric } A r \longleftrightarrow \text{asymmetric } A r'$

<proof>

lemma *asymmetric-empty*: $\text{asymmetric } \{\} r$

<proof>

locale *quasi-ordered-set* = *reflexive* + *transitive*

begin

lemma *quasi-ordered-subset*: $B \subseteq A \implies \text{quasi-ordered-set } B \ (\sqsubseteq)$

<proof>

end

lemmas *quasi-ordered-setI* = *quasi-ordered-set.intro*

lemma *quasi-ordered-set-cong*:

assumes $r: \bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b$

shows $\text{quasi-ordered-set } A r \longleftrightarrow \text{quasi-ordered-set } A r'$

<proof>

lemma *quasi-ordered-set-empty[intro!]*: $\text{quasi-ordered-set } \{\} r$

<proof>

lemma *rtranclp-quasi-ordered*: $\text{quasi-ordered-set } A \ (\text{rtranclp } r)$

<proof>

locale *near-ordered-set* = *antisymmetric* + *transitive*

begin

interpretation *Restrp*: $\text{antisymmetric } UNIV \ (\sqsubseteq) \upharpoonright A$ *<proof>*

interpretation *Restrp*: $\text{transitive } UNIV \ (\sqsubseteq) \upharpoonright A$ *<proof>*

lemma *Restrp-near-order*: *near-ordered-set UNIV ((\sqsubseteq) \setminus A)*⟨*proof*⟩

lemma *near-ordered-subset*: $B \subseteq A \implies \text{near-ordered-set } B \ (\sqsubseteq)$
⟨*proof*⟩

end

lemmas *near-ordered-setI* = *near-ordered-set.intro*

lemma *near-ordered-set-cong*:

assumes $r: \bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b$

shows *near-ordered-set* $A r \longleftrightarrow \text{near-ordered-set } A r'$

⟨*proof*⟩

lemma *near-ordered-set-empty[intro!]*: *near-ordered-set* $\{\}$ r
⟨*proof*⟩

locale *pseudo-ordered-set* = *reflexive* + *antisymmetric*
begin

interpretation *less-eq-symmetrize*⟨*proof*⟩

lemma *sym-eq[simp]*: $x \in A \implies y \in A \implies x \sim y \longleftrightarrow x = y$
⟨*proof*⟩

lemma *extreme-bound-singleton-eq[simp]*: $x \in A \implies \text{extreme-bound } A \ (\sqsubseteq) \ \{x\} \ y$
 $\longleftrightarrow x = y$
⟨*proof*⟩

lemma *eq-iff*: $x \in A \implies y \in A \implies x = y \longleftrightarrow x \sqsubseteq y \wedge y \sqsubseteq x$ ⟨*proof*⟩

lemma *extreme-order-iff-eq*: $e \in A \implies \text{extreme } \{x \in A. x \sqsubseteq e\} \ (\sqsubseteq) \ s \longleftrightarrow e = s$
⟨*proof*⟩

lemma *pseudo-ordered-subset*: $B \subseteq A \implies \text{pseudo-ordered-set } B \ (\sqsubseteq)$
⟨*proof*⟩

end

lemmas *pseudo-ordered-setI* = *pseudo-ordered-set.intro*

lemma *pseudo-ordered-set-cong*:

assumes $r: \bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b$

shows *pseudo-ordered-set* $A r \longleftrightarrow \text{pseudo-ordered-set } A r'$

⟨*proof*⟩

lemma *pseudo-ordered-set-empty[intro!]*: *pseudo-ordered-set* $\{\}$ r
⟨*proof*⟩

locale *partially-ordered-set* = *reflexive* + *antisymmetric* + *transitive*
begin

sublocale *pseudo-ordered-set* + *quasi-ordered-set* + *near-ordered-set* \langle *proof* \rangle

lemma *partially-ordered-subset*: $B \subseteq A \implies$ *partially-ordered-set* B (\square)
 \langle *proof* \rangle

end

lemmas *partially-ordered-setI* = *partially-ordered-set.intro*

lemma *partially-ordered-set-cong*:
assumes $r: \bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b$
shows *partially-ordered-set* $A r \longleftrightarrow$ *partially-ordered-set* $A r'$
 \langle *proof* \rangle

lemma *partially-ordered-set-empty[intro!]*: *partially-ordered-set* $\{\}$ r
 \langle *proof* \rangle

locale *strict-ordered-set* = *irreflexive* + *transitive* A (\square)
begin

sublocale *asymmetric*
 \langle *proof* \rangle

lemma *near-ordered-set-axioms*: *near-ordered-set* A (\square)
 \langle *proof* \rangle

interpretation *Restrp*: *asymmetric UNIV* $(\square) \upharpoonright A$ \langle *proof* \rangle
interpretation *Restrp*: *transitive UNIV* $(\square) \upharpoonright A$ \langle *proof* \rangle

lemma *Restrp-strict-order*: *strict-ordered-set* *UNIV* $((\square) \upharpoonright A)$ \langle *proof* \rangle

lemma *strict-ordered-subset*: $B \subseteq A \implies$ *strict-ordered-set* B (\square)
 \langle *proof* \rangle

end

lemmas *strict-ordered-setI* = *strict-ordered-set.intro*

lemma *strict-ordered-set-cong*:
assumes $r: \bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b$
shows *strict-ordered-set* $A r \longleftrightarrow$ *strict-ordered-set* $A r'$
 \langle *proof* \rangle

lemma *strict-ordered-set-empty[intro!]*: *strict-ordered-set* $\{\}$ r
 \langle *proof* \rangle

locale *tolerance* = *symmetric* + *reflexive* A (\sim)
begin

lemma *tolerance-subset*: $B \subseteq A \implies \text{tolerance } B$ (\sim)
 $\langle \text{proof} \rangle$

end

lemmas *toleranceI* = *tolerance.intro*

lemma *tolerance-cong*:
assumes r : $\bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b$
shows *tolerance* A $r \longleftrightarrow \text{tolerance } A$ r'
 $\langle \text{proof} \rangle$

lemma *tolerance-empty[intro!]*: *tolerance* $\{\}$ r $\langle \text{proof} \rangle$

global-interpretation *equiv*: *tolerance* $UNIV$ *equivpartp* r
rewrites $\bigwedge r. r \upharpoonright UNIV \equiv r$
and $\bigwedge x. x \in UNIV \equiv \text{True}$
and $\bigwedge P1. (\text{True} \implies P1) \equiv \text{Trueprop } P1$
and $\bigwedge P1 P2. (\text{True} \implies \text{PROP } P1 \implies \text{PROP } P2) \equiv (\text{PROP } P1 \implies \text{PROP } P2)$
 $\langle \text{proof} \rangle$

locale *partial-equivalence* = *symmetric* +
assumes *transitive* A (\sim)
begin

sublocale *transitive* A (\sim)
rewrites *sympartp* $(\sim) \upharpoonright A \equiv (\sim) \upharpoonright A$
and *sympartp* $((\sim) \upharpoonright A) \equiv (\sim) \upharpoonright A$
 $\langle \text{proof} \rangle$

lemma *partial-equivalence-subset*: $B \subseteq A \implies \text{partial-equivalence } B$ (\sim)
 $\langle \text{proof} \rangle$

end

lemmas *partial-equivalenceI* = *partial-equivalence.intro*[*OF - partial-equivalence-axioms.intro*]

lemma *partial-equivalence-cong*:
assumes r : $\bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b$
shows *partial-equivalence* A $r \longleftrightarrow \text{partial-equivalence } A$ r'
 $\langle \text{proof} \rangle$

lemma *partial-equivalence-empty[intro!]*: *partial-equivalence* $\{\}$ r
 $\langle \text{proof} \rangle$

locale *equivalence* = *symmetric* + *reflexive* A (\sim) + *transitive* A (\sim)
begin

sublocale *tolerance* + *partial-equivalence* + *quasi-ordered-set* A (\sim) \langle *proof* \rangle

lemma *equivalence-subset*: $B \subseteq A \implies \text{equivalence } B$ (\sim)
 \langle *proof* \rangle

end

lemmas *equivalenceI* = *equivalence.intro*

lemma *equivalence-cong*:

assumes r : $\bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b$
shows *equivalence* A $r \longleftrightarrow$ *equivalence* A r'
 \langle *proof* \rangle

Some combinations lead to uninteresting relations.

context

fixes $r :: 'a \Rightarrow 'a \Rightarrow \text{bool}$ (**infix** \langle \bowtie \rangle 50)

begin

proposition *reflexive-irreflexive-is-empty*:

assumes r : *reflexive* A (\bowtie) **and** ir : *irreflexive* A (\bowtie)
shows $A = \{\}$

\langle *proof* \rangle

proposition *symmetric-antisymmetric-imp-eq*:

assumes s : *symmetric* A (\bowtie) **and** as : *antisymmetric* A (\bowtie)
shows $(\bowtie) \upharpoonright A \leq (=)$

\langle *proof* \rangle

proposition *nontolerance*:

shows *irreflexive* A (\bowtie) \wedge *symmetric* A (\bowtie) \longleftrightarrow *tolerance* A ($\lambda x y. \neg x \bowtie y$)

\langle *proof* \rangle

proposition *irreflexive-transitive-symmetric-is-empty*:

assumes irr : *irreflexive* A (\bowtie) **and** tr : *transitive* A (\bowtie) **and** sym : *symmetric* A (\bowtie)

shows $(\bowtie) \upharpoonright A = \text{bot}$

\langle *proof* \rangle

end

2.4 Totality

locale *semiconnex* = *related-set* - (\sqsubset) + *less-syntax* +

assumes *semiconnex*: $x \in A \implies y \in A \implies x \sqsubset y \vee x = y \vee y \sqsubset x$

begin

lemma *cases*[*consumes 2, case-names less eq greater*]:
assumes $x \in A$ **and** $y \in A$ **and** $x \sqsubset y \implies P$ **and** $x = y \implies P$ **and** $y \sqsubset x \implies P$
shows P *<proof>*

lemma *neqE*:
assumes $x \in A$ **and** $y \in A$
shows $x \neq y \implies (x \sqsubset y \implies P) \implies (y \sqsubset x \implies P) \implies P$
<proof>

lemma *semiconnex-subset*: $B \subseteq A \implies \text{semiconnex } B$ (\sqsubset)
<proof>

end

lemmas *semiconnexI*[*intro*] = *semiconnex.intro*

Totality is negated antisymmetry [19, Proposition 2.2.4].

proposition *semiconnex-iff-neg-antisymmetric*:
fixes *less* (**infix** \sqsubset 50)
shows $\text{semiconnex } A$ (\sqsubset) \longleftrightarrow *antisymmetric* A ($\lambda x y. \neg x \sqsubset y$) (**is** $?l \longleftrightarrow ?r$)
<proof>

lemma *semiconnex-cong*:
assumes $r: \bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b$
shows $\text{semiconnex } A r \longleftrightarrow \text{semiconnex } A r'$
<proof>

locale *semiconnex-irreflexive* = *semiconnex + irreflexive*
begin

lemma *neq-iff*: $x \in A \implies y \in A \implies x \neq y \longleftrightarrow x \sqsubset y \vee y \sqsubset x$ *<proof>*

lemma *semiconnex-irreflexive-subset*: $B \subseteq A \implies \text{semiconnex-irreflexive } B$ (\sqsubset)
<proof>

end

lemmas *semiconnex-irreflexiveI* = *semiconnex-irreflexive.intro*

lemma *semiconnex-irreflexive-cong*:
assumes $r: \bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b$
shows $\text{semiconnex-irreflexive } A r \longleftrightarrow \text{semiconnex-irreflexive } A r'$
<proof>

locale *connex* = *related-set +*
assumes *comparable*: $x \in A \implies y \in A \implies x \sqsubseteq y \vee y \sqsubseteq x$
begin

interpretation *less-eq-asymmetrize*⟨proof⟩

sublocale *reflexive* ⟨proof⟩

lemma *comparable-cases*[consumes 2, case-names *le ge*]:

assumes $x \in A$ **and** $y \in A$ **and** $x \sqsubseteq y \implies P$ **and** $y \sqsubseteq x \implies P$ **shows** P
⟨proof⟩

lemma *comparable-three-cases*[consumes 2, case-names *less eq greater*]:

assumes $x \in A$ **and** $y \in A$ **and** $x \sqsubset y \implies P$ **and** $x \sim y \implies P$ **and** $y \sqsubset x \implies P$ **shows** P
⟨proof⟩

lemma

assumes $x: x \in A$ **and** $y: y \in A$
shows *not-iff-asym*: $\neg x \sqsubseteq y \longleftrightarrow y \sqsubset x$
and *not-asym-iff*: $\neg x \sqsubset y \longleftrightarrow y \sqsubseteq x$
⟨proof⟩

lemma *connex-subset*: $B \subseteq A \implies \text{connex } B$ (⟨proof⟩)

interpretation *less-eq-asymmetrize*⟨proof⟩

end

lemmas *connexI*[intro] = *connex.intro*

lemmas *connexE* = *connex.comparable-cases*

lemma *connex-empty*: *connex* {} A ⟨proof⟩

context

fixes *less-eq* :: 'a \Rightarrow 'a \Rightarrow bool (**infix** <math>\sqsubseteq> 50)

begin

lemma *connex-iff-semiconnex-reflexive*: *connex* A (⟨math>\sqsubseteq) \longleftrightarrow *semiconnex* A (⟨math>\sqsubseteq) \wedge *reflexive* A (⟨math>\sqsubseteq)
(**is** ?c \longleftrightarrow ?t \wedge ?r)
⟨proof⟩

lemma *chain-connect*: *Complete-Partial-Order.chain* r $A \equiv \text{connex } A$ r
⟨proof⟩

lemma *connex-union*:

assumes *connex* X (⟨math>\sqsubseteq) **and** *connex* Y (⟨math>\sqsubseteq) **and** $\forall x \in X. \forall y \in Y. x \sqsubseteq y \vee y \sqsubseteq x$
shows *connex* ($X \cup Y$) (⟨math>\sqsubseteq)
⟨proof⟩

end

lemma *connex-cong*:

assumes $r: \bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b$
shows $\text{connex } A r \longleftrightarrow \text{connex } A r'$
<proof>

locale *total-pseudo-ordered-set* = *connex* + *antisymmetric*
begin

sublocale *pseudo-ordered-set* *<proof>*

lemma *not-weak-iff*:

assumes $x: x \in A$ **and** $y: y \in A$ **shows** $\neg y \sqsubseteq x \longleftrightarrow x \sqsubseteq y \wedge x \neq y$
<proof>

lemma *total-pseudo-ordered-subset*: $B \subseteq A \implies \text{total-pseudo-ordered-set } B$ (\square)
<proof>

interpretation *less-eq-asymmetrize* *<proof>*

interpretation *asympartp*: *semiconnex-irreflexive* A (\square)
<proof>

lemmas *asympartp-semiconnex* = *asympartp.semiconnex-axioms*

lemmas *asympartp-semiconnex-irreflexive* = *asympartp.semiconnex-irreflexive-axioms*

end

lemmas *total-pseudo-ordered-setI* = *total-pseudo-ordered-set.intro*

lemma *total-pseudo-ordered-set-cong*:

assumes $r: \bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b$
shows $\text{total-pseudo-ordered-set } A r \longleftrightarrow \text{total-pseudo-ordered-set } A r'$
<proof>

locale *total-quasi-ordered-set* = *connex* + *transitive*
begin

sublocale *quasi-ordered-set* *<proof>*

lemma *total-quasi-ordered-subset*: $B \subseteq A \implies \text{total-quasi-ordered-set } B$ (\square)
<proof>

end

lemmas *total-quasi-ordered-setI* = *total-quasi-ordered-set.intro*

lemma *total-quasi-ordered-set-cong*:
assumes $r: \bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b$
shows $total\text{-}quasi\text{-}ordered\text{-}set A r \longleftrightarrow total\text{-}quasi\text{-}ordered\text{-}set A r'$
 $\langle proof \rangle$

locale $total\text{-}ordered\text{-}set = total\text{-}quasi\text{-}ordered\text{-}set + antisymmetric$
begin

sublocale $partially\text{-}ordered\text{-}set + total\text{-}pseudo\text{-}ordered\text{-}set \langle proof \rangle$

lemma *total-ordered-subset*: $B \subseteq A \implies total\text{-}ordered\text{-}set B (\sqsubseteq)$
 $\langle proof \rangle$

lemma *weak-semiconnex*: $semiconnex A (\sqsubseteq)$
 $\langle proof \rangle$

interpretation *less-eq-asymmetrize* $\langle proof \rangle$

end

lemmas $total\text{-}ordered\text{-}setI = total\text{-}ordered\text{-}set.intro[OF total\text{-}quasi\text{-}ordered\text{-}setI]$

lemma *total-ordered-set-cong*:
assumes $r: \bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b$
shows $total\text{-}ordered\text{-}set A r \longleftrightarrow total\text{-}ordered\text{-}set A r'$
 $\langle proof \rangle$

lemma *monotone-connex-image*:
fixes ir (**infix** $\prec\preceq$ 50) **and** r (**infix** $\prec\sqsubseteq$ 50)
assumes $mono: monotone\text{-}on I (\preceq) (\sqsubseteq) f$ **and** $connex: connex I (\preceq)$
shows $connex (f ' I) (\sqsubseteq)$
 $\langle proof \rangle$

2.5 Order Pairs

We pair a relation (weak part) with a well-behaving “strict” part. Here no assumption is put on the “weak” part.

locale *compatible-ordering* =
 $related\text{-}set + irreflexive +$
assumes $strict\text{-}implies\text{-}weak: x \sqsubset y \implies x \in A \implies y \in A \implies x \sqsubseteq y$
assumes $weak\text{-}strict\text{-}trans[trans]: x \sqsubseteq y \implies y \sqsubset z \implies x \in A \implies y \in A \implies z \in A \implies x \sqsubset z$
assumes $strict\text{-}weak\text{-}trans[trans]: x \sqsubset y \implies y \sqsubseteq z \implies x \in A \implies y \in A \implies z \in A \implies x \sqsubset z$
begin

The following sequence of declarations are in order to obtain fact names in a manner similar to the Isabelle/HOL facts of orders.

The strict part is necessarily transitive.

sublocale *strict: transitive* A (\sqsubset)
<proof>

sublocale *strict-ordered-set* A (\sqsubset) *<proof>*

thm *strict.trans asym irrefl*

lemma *Restrp-compatible-ordering: compatible-ordering UNIV $((\sqsubseteq)\upharpoonright A)$ $((\sqsubset)\upharpoonright A)$*
<proof>

lemma *strict-implies-not-weak: $x \sqsubset y \implies x \in A \implies y \in A \implies \neg y \sqsubseteq x$*
<proof>

lemma *weak-implies-not-strict:*
assumes *xy: $x \sqsubseteq y$ and [simp]: $x \in A$ $y \in A$*
shows *$\neg y \sqsubset x$*
<proof>

lemma *compatible-ordering-subset: assumes $X \subseteq A$ shows compatible-ordering*
 X (\sqsubseteq) (\sqsubset)
<proof>

end

context *transitive begin*

interpretation *less-eq-asymmetrize* *<proof>*

lemma *asym-trans[trans]:*
shows *$x \sqsubset y \implies y \sqsubseteq z \implies x \in A \implies y \in A \implies z \in A \implies x \sqsubset z$*
and *$x \sqsubseteq y \implies y \sqsubset z \implies x \in A \implies y \in A \implies z \in A \implies x \sqsubset z$*
<proof>

lemma *asymptp-compatible-ordering: compatible-ordering A (\sqsubseteq) (\sqsubset)*
<proof>

end

locale *reflexive-ordering = reflexive + compatible-ordering*

locale *reflexive-attractive-ordering = reflexive-ordering + attractive*

locale *pseudo-ordering = pseudo-ordered-set + compatible-ordering*
begin

sublocale *reflexive-attractive-ordering* *<proof>*

end

locale *quasi-ordering* = *quasi-ordered-set* + *compatible-ordering*
begin

sublocale *reflexive-attractive-ordering*⟨*proof*⟩

lemma *quasi-ordering-subset*: **assumes** $X \subseteq A$ **shows** *quasi-ordering* X (\sqsubseteq) (\sqsubset)
⟨*proof*⟩

end

context *quasi-ordered-set* **begin**

interpretation *less-eq-asymmetrize*⟨*proof*⟩

lemma *asymptp-quasi-ordering*: *quasi-ordering* A (\sqsubseteq) (\sqsubset)
⟨*proof*⟩

end

locale *partial-ordering* = *partially-ordered-set* + *compatible-ordering*
begin

sublocale *quasi-ordering* + *pseudo-ordering*⟨*proof*⟩

lemma *partial-ordering-subset*: **assumes** $X \subseteq A$ **shows** *partial-ordering* X (\sqsubseteq)
(\sqsubset)
⟨*proof*⟩

end

context *partially-ordered-set* **begin**

interpretation *less-eq-asymmetrize*⟨*proof*⟩

lemma *asymptp-partial-ordering*: *partial-ordering* A (\sqsubseteq) (\sqsubset)
⟨*proof*⟩

end

locale *total-quasi-ordering* = *total-quasi-ordered-set* + *compatible-ordering*
begin

sublocale *quasi-ordering*⟨*proof*⟩

lemma *total-quasi-ordering-subset*: **assumes** $X \subseteq A$ **shows** *total-quasi-ordering*
 X (\sqsubseteq) (\sqsubset)
⟨*proof*⟩

end

context *total-quasi-ordered-set* **begin**

interpretation *less-eq-asymmetrize*⟨*proof*⟩

lemma *asymptp-total-quasi-ordering*: *total-quasi-ordering* A (\sqsubseteq) (\sqsubset)
⟨*proof*⟩

end

Fixing the definition of the strict part is very common, though it looks restrictive to the author.

locale *strict-quasi-ordering* = *quasi-ordered-set* + *less-syntax* +
assumes *strict-iff*: $x \in A \implies y \in A \implies x \sqsubset y \longleftrightarrow x \sqsubseteq y \wedge \neg y \sqsubseteq x$
begin

sublocale *compatible-ordering*
⟨*proof*⟩

end

locale *strict-partial-ordering* = *strict-quasi-ordering* + *antisymmetric*
begin

sublocale *partial-ordering*⟨*proof*⟩

lemma *strict-iff-neq*: $x \in A \implies y \in A \implies x \sqsubset y \longleftrightarrow x \sqsubseteq y \wedge x \neq y$
⟨*proof*⟩

end

locale *total-ordering* = *reflexive* + *compatible-ordering* + *semiconnex* A (\sqsubset)
begin

sublocale *semiconnex-irreflexive* ⟨*proof*⟩

sublocale *connex*
⟨*proof*⟩

lemma *not-weak*:
assumes $x \in A$ **and** $y \in A$ **shows** $\neg x \sqsubseteq y \longleftrightarrow y \sqsubset x$
⟨*proof*⟩

lemma *not-strict*: $x \in A \implies y \in A \implies \neg x \sqsubset y \longleftrightarrow y \sqsubseteq x$
⟨*proof*⟩

sublocale *strict-partial-ordering*
⟨*proof*⟩

sublocale *total-ordered-set*⟨*proof*⟩

context

fixes s

assumes $s: \forall x \in A. x \sqsubset s \longrightarrow (\exists z \in A. x \sqsubset z \wedge z \sqsubset s)$ **and** $sA: s \in A$

begin

lemma *dense-weakI*:

assumes *bound*: $\bigwedge x. x \sqsubset s \implies x \in A \implies x \sqsubseteq y$ **and** $yA: y \in A$

shows $s \sqsubseteq y$

⟨*proof*⟩

lemma *dense-bound-iff*:

assumes $bA: b \in A$ **shows** *bound* $\{x \in A. x \sqsubset s\} (\sqsubseteq) b \longleftrightarrow s \sqsubseteq b$

⟨*proof*⟩

lemma *dense-extreme-bound*:

extreme-bound $A (\sqsubseteq) \{x \in A. x \sqsubset s\} s$

⟨*proof*⟩

end

lemma *ordinal-cases*[*consumes 1, case-names suc lim*]:

assumes $aA: a \in A$

and *suc*: $\bigwedge p. \text{extreme } \{x \in A. x \sqsubset a\} (\sqsubseteq) p \implies \text{thesis}$

and *lim*: *extreme-bound* $A (\sqsubseteq) \{x \in A. x \sqsubset a\} a \implies \text{thesis}$

shows *thesis*

⟨*proof*⟩

end

context *total-ordered-set* **begin**

interpretation *less-eq-asymmetrize*⟨*proof*⟩

lemma *asymptp-total-ordering*: *total-ordering* $A (\sqsubseteq) (\sqsubset)$

⟨*proof*⟩

end

2.6 Functions

definition *pointwise* $I r f g \equiv \forall i \in I. r (f i) (g i)$

lemmas *pointwiseI* = *pointwise-def*[*unfolded atomize-eq, THEN iffD2, rule-format*]

lemmas *pointwiseD*[*simp*] = *pointwise-def*[*unfolded atomize-eq, THEN iffD1, rule-format*]

lemma *pointwise-cong*:

assumes $r = r' \wedge i. i \in I \implies f i = f' i \wedge i. i \in I \implies g i = g' i$

shows $\text{pointwise } I r f g = \text{pointwise } I r' f' g'$

<proof>

lemma *pointwise-empty[simp]*: $\text{pointwise } \{\} = \top$ *<proof>*

lemma *dual-pointwise[simp]*: $(\text{pointwise } I r)^- = \text{pointwise } I r^-$

<proof>

lemma *pointwise-dual*: $\text{pointwise } I r^- f g \implies \text{pointwise } I r g f$ *<proof>*

lemma *pointwise-un*: $\text{pointwise } (I \cup J) r = \text{pointwise } I r \sqcap \text{pointwise } J r$

<proof>

lemma *pointwise-unI[intro!]*: $\text{pointwise } I r f g \implies \text{pointwise } J r f g \implies \text{pointwise } (I \cup J) r f g$

<proof>

lemma *pointwise-bound*: $\text{bound } F (\text{pointwise } I r) f \longleftrightarrow (\forall i \in I. \text{bound } \{f i \mid f \in F\} r (f i))$

<proof>

lemma *pointwise-extreme*:

shows $\text{extreme } F (\text{pointwise } X r) e \longleftrightarrow e \in F \wedge (\forall x \in X. \text{extreme } \{f x \mid f \in F\} r (e x))$

<proof>

lemma *pointwise-extreme-bound*:

fixes r (**infix** $\langle \sqsubseteq \rangle$ 50)

assumes $F: F \subseteq \{f. f ' X \subseteq A\}$

shows $\text{extreme-bound } \{f. f ' X \subseteq A\} (\text{pointwise } X (\sqsubseteq)) F s \longleftrightarrow$

$(\forall x \in X. \text{extreme-bound } A (\sqsubseteq) \{f x \mid f \in F\} (s x))$ (**is** $?p \longleftrightarrow ?a$)

<proof>

lemma *dual-pointwise-extreme-bound*:

$\text{extreme-bound } FA (\text{pointwise } X r)^- F = \text{extreme-bound } FA (\text{pointwise } X r^-) F$

<proof>

lemma *pointwise-monotone-on*:

fixes *less-eq* (**infix** $\langle \sqsubseteq \rangle$ 50) **and** *prec-eq* (**infix** $\langle \preceq \rangle$ 50)

shows $\text{monotone-on } I (\preceq) (\text{pointwise } A (\sqsubseteq)) f \longleftrightarrow$

$(\forall a \in A. \text{monotone-on } I (\preceq) (\sqsubseteq) (\lambda i. f i a))$ (**is** $?l \longleftrightarrow ?r$)

<proof>

lemmas *pointwise-monotone* = *pointwise-monotone-on*[of UNIV]

lemma (**in** *reflexive*) *pointwise-reflexive*: $\text{reflexive } \{f. f ' I \subseteq A\} (\text{pointwise } I (\sqsubseteq))$

<proof>

lemma (in *irreflexive*) *pointwise-irreflexive*:
assumes $I0: I \neq \{\}$ **shows** *irreflexive* $\{f. f \text{ ' } I \subseteq A\}$ (*pointwise I* (\sqsubset))
<proof>

lemma (in *semiattractive*) *pointwise-semi-attractive*: *semi-attractive* $\{f. f \text{ ' } I \subseteq A\}$
(*pointwise I* (\sqsubset))
<proof>

lemma (in *attractive*) *pointwise-attractive*: *attractive* $\{f. f \text{ ' } I \subseteq A\}$ (*pointwise I* (\sqsubset))
<proof>

Antisymmetry will not be preserved by pointwise extension over restricted domain.

lemma (in *antisymmetric*) *pointwise-antisymmetric*:
antisymmetric $\{f. f \text{ ' } I \subseteq A\}$ (*pointwise I* (\sqsubset))
<proof>

lemma (in *transitive*) *pointwise-transitive*: *transitive* $\{f. f \text{ ' } I \subseteq A\}$ (*pointwise I* (\sqsubset))
<proof>

lemma (in *quasi-ordered-set*) *pointwise-quasi-order*:
quasi-ordered-set $\{f. f \text{ ' } I \subseteq A\}$ (*pointwise I* (\sqsubset))
<proof>

lemma (in *compatible-ordering*) *pointwise-compatible-ordering*:
assumes $I0: I \neq \{\}$
shows *compatible-ordering* $\{f. f \text{ ' } I \subseteq A\}$ (*pointwise I* (\sqsubset)) (*pointwise I* (\sqsubset))
<proof>

2.7 Relating to Classes

In Isabelle 2020, we should declare sublocales in class before declaring dual sublocales, since otherwise facts would be prefixed by “dual.dual.”

context *ord* **begin**

abbreviation *least* **where** *least X* \equiv *extreme X* ($\lambda x y. y \leq x$)

abbreviation *greatest* **where** *greatest X* \equiv *extreme X* (\leq)

abbreviation *supremum* **where** *supremum X* \equiv *least* (*Collect* (*bound X* (\leq)))

abbreviation *infimum* **where** *infimum X* \equiv *greatest* (*Collect* (*bound X* ($\lambda x y. y \leq x$)))

lemma *supremumI*: *bound X* (\leq) $s \implies (\bigwedge b. \text{bound X } (\leq) b \implies s \leq b) \implies$
supremum X s

and *infimumI*: $\text{bound } X (\geq) i \implies (\bigwedge b. \text{bound } X (\geq) b \implies b \leq i) \implies \text{infimum } X i$

<proof>

lemma *supremumE*: $\text{supremum } X s \implies$

$(\text{bound } X (\leq) s \implies (\bigwedge b. \text{bound } X (\leq) b \implies s \leq b) \implies \text{thesis}) \implies \text{thesis}$

and *infimumE*: $\text{infimum } X i \implies$

$(\text{bound } X (\geq) i \implies (\bigwedge b. \text{bound } X (\geq) b \implies b \leq i) \implies \text{thesis}) \implies \text{thesis}$

<proof>

lemma *extreme-bound-supremum[simp]*: $\text{extreme-bound } UNIV (\leq) = \text{supremum}$

<proof>

lemma *extreme-bound-infimum[simp]*: $\text{extreme-bound } UNIV (\geq) = \text{infimum}$ *<proof>*

lemma *Least-eq-The-least*: $\text{Least } P = \text{The } (\text{least } \{x. P x\})$

<proof>

lemma *The-least-eq-Least*: $\text{The } (\text{least } X) = \text{Least } (\lambda x. x \in X)$

<proof>

lemma *least-imp-infimum*: **assumes** *least* $X x$ **shows** *infimum* $X x$

<proof>

lemma *least-LeastI-ex1*:

assumes *ex1*: $\exists !x. \text{least } \{x. P x\} x$

shows *least* $\{x. P x\}$ (*LEAST* $x. P x$)

<proof>

end

context *order* **begin**

lemma *Greatest-eq-The-greatest*: $\text{Greatest } P = \text{The } (\text{greatest } \{x. P x\})$

<proof>

lemma *The-greatest-eq-Greatest*: $\text{The } (\text{greatest } X) = \text{Greatest } (\lambda x. x \in X)$

<proof>

lemma *greatest-imp-supremum*: **assumes** *greatest* $X x$ **shows** *supremum* $X x$

<proof>

lemma *greatest-GreatestI-ex1*:

assumes *ex1*: $\exists !x. \text{greatest } \{x. P x\} x$

shows *greatest* $\{x. P x\}$ (*GREATEST* $x. P x$)

<proof>

end

lemma *Ball-UNIV[simp]*: $\text{Ball } UNIV = \text{All}$ *<proof>*

lemma *Bex-UNIV[simp]*: $\text{Bex } UNIV = \text{Ex}$ *<proof>*

lemma *pointwise-UNIV-le[simp]*: *pointwise UNIV* $(\leq) = (\leq)$ *<proof>*

lemma *pointwise-UNIV-ge[simp]*: *pointwise UNIV* $(\geq) = (\geq)$ *<proof>*

lemma *fun-supremum-iff*: *supremum F e* $\longleftrightarrow (\forall x. \text{supremum } \{f\ x \mid f \in F\} (e\ x))$
<proof>

lemma *fun-infimum-iff*: *infimum F e* $\longleftrightarrow (\forall x. \text{infimum } \{f\ x \mid f \in F\} (e\ x))$
<proof>

class *reflorder* = *ord* + **assumes** *reflexive-ordering UNIV* (\leq) $(<)$
begin

sublocale *order: reflexive-ordering UNIV*

rewrites $\bigwedge x. x \in UNIV \equiv True$

and $\bigwedge X. X \subseteq UNIV \equiv True$

and $\bigwedge r. r \upharpoonright UNIV \equiv r$

and $\bigwedge P. True \wedge P \equiv P$

and *Ball UNIV* $\equiv All$

and *Bex UNIV* $\equiv Ex$

and *sympartp* $(\leq)^- \equiv \text{sympartp } (\leq)$

and $\bigwedge P1. (True \implies PROP\ P1) \equiv PROP\ P1$

and $\bigwedge P1. (True \implies P1) \equiv Trueprop\ P1$

and $\bigwedge P1\ P2. (True \implies PROP\ P1 \implies PROP\ P2) \equiv (PROP\ P1 \implies PROP\ P2)$
<proof>

end

We should have imported locale-based facts in classes, e.g.:

thm *order.trans order.strict.trans order.refl order.irrefl order.asym order.extreme-bound-singleton*

class *attrorder* = *ord* +

assumes *reflexive-attractive-ordering UNIV* (\leq) $(<)$

begin

We need to declare subclasses before sublocales in order to preserve facts for superclasses.

subclass *reflorder*

<proof>

sublocale *order: reflexive-attractive-ordering UNIV*

rewrites $\bigwedge x. x \in UNIV \equiv True$

and $\bigwedge X. X \subseteq UNIV \equiv True$

and $\bigwedge r. r \upharpoonright UNIV \equiv r$

and $\bigwedge P. True \wedge P \equiv P$

and *Ball UNIV* $\equiv All$

```

and  $Bex\ UNIV \equiv Ex$ 
and  $sympartp\ (\leq)^- \equiv sympartp\ (\leq)$ 
and  $\bigwedge P1. (True \implies PROP\ P1) \equiv PROP\ P1$ 
and  $\bigwedge P1. (True \implies P1) \equiv Trueprop\ P1$ 
and  $\bigwedge P1\ P2. (True \implies PROP\ P1 \implies PROP\ P2) \equiv (PROP\ P1 \implies PROP$ 
 $P2)$ 
   $\langle proof \rangle$ 

```

end

thm *order.extreme-bound-quasi-const*

```

class psorder = ord + assumes pseudo-ordering UNIV  $(\leq)$   $(<)$ 
begin

```

```

subclass attrorder
   $\langle proof \rangle$ 

```

```

sublocale order: pseudo-ordering UNIV
  rewrites  $\bigwedge x. x \in UNIV \equiv True$ 
    and  $\bigwedge X. X \subseteq UNIV \equiv True$ 
    and  $\bigwedge r. r \upharpoonright UNIV \equiv r$ 
    and  $\bigwedge P. True \wedge P \equiv P$ 
    and  $Ball\ UNIV \equiv All$ 
    and  $Bex\ UNIV \equiv Ex$ 
    and  $sympartp\ (\leq)^- \equiv sympartp\ (\leq)$ 
    and  $\bigwedge P1. (True \implies PROP\ P1) \equiv PROP\ P1$ 
    and  $\bigwedge P1. (True \implies P1) \equiv Trueprop\ P1$ 
    and  $\bigwedge P1\ P2. (True \implies PROP\ P1 \implies PROP\ P2) \equiv (PROP\ P1 \implies PROP$ 
 $P2)$ 
   $\langle proof \rangle$ 

```

end

```

class qorder = ord + assumes quasi-ordering UNIV  $(\leq)$   $(<)$ 
begin

```

```

subclass attrorder
   $\langle proof \rangle$ 

```

```

sublocale order: quasi-ordering UNIV
  rewrites  $\bigwedge x. x \in UNIV \equiv True$ 
    and  $\bigwedge X. X \subseteq UNIV \equiv True$ 
    and  $\bigwedge r. r \upharpoonright UNIV \equiv r$ 
    and  $\bigwedge P. True \wedge P \equiv P$ 
    and  $Ball\ UNIV \equiv All$ 
    and  $Bex\ UNIV \equiv Ex$ 
    and  $sympartp\ (\leq)^- \equiv sympartp\ (\leq)$ 
    and  $\bigwedge P1. (True \implies PROP\ P1) \equiv PROP\ P1$ 

```

```

    and  $\bigwedge P1. (True \implies P1) \equiv Trueprop P1$ 
    and  $\bigwedge P1 P2. (True \implies PROP P1 \implies PROP P2) \equiv (PROP P1 \implies PROP P2)$ 
    <proof>

```

```

lemmas [intro!] = order.quasi-ordered-subset

```

```

end

```

```

class porder = ord + assumes partial-ordering UNIV ( $\leq$ ) ( $<$ )
begin

```

```

interpretation partial-ordering UNIV
    <proof>

```

```

subclass psorder <proof>

```

```

subclass qorder <proof>

```

```

sublocale order: partial-ordering UNIV
  rewrites  $\bigwedge x. x \in UNIV \equiv True$ 
    and  $\bigwedge X. X \subseteq UNIV \equiv True$ 
    and  $\bigwedge r. r \upharpoonright UNIV \equiv r$ 
    and  $\bigwedge P. True \wedge P \equiv P$ 
    and Ball UNIV  $\equiv All$ 
    and Bex UNIV  $\equiv Ex$ 
    and sympartp ( $\leq$ )-  $\equiv sympartp$  ( $\leq$ )
    and  $\bigwedge P1. (True \implies PROP P1) \equiv PROP P1$ 
    and  $\bigwedge P1. (True \implies P1) \equiv Trueprop P1$ 
    and  $\bigwedge P1 P2. (True \implies PROP P1 \implies PROP P2) \equiv (PROP P1 \implies PROP P2)$ 
  <proof>

```

```

end

```

```

class linqorder = ord + assumes total-quasi-ordering UNIV ( $\leq$ ) ( $<$ )
begin

```

```

interpretation total-quasi-ordering UNIV
    <proof>

```

```

subclass qorder <proof>

```

```

sublocale order: total-quasi-ordering UNIV
  rewrites  $\bigwedge x. x \in UNIV \equiv True$ 
    and  $\bigwedge X. X \subseteq UNIV \equiv True$ 
    and  $\bigwedge r. r \upharpoonright UNIV \equiv r$ 
    and  $\bigwedge P. True \wedge P \equiv P$ 
    and Ball UNIV  $\equiv All$ 

```

```

and Bex UNIV  $\equiv$  Ex
and sympartp  $(\leq)^- \equiv$  sympartp  $(\leq)$ 
and  $\bigwedge P1. (True \implies PROP P1) \equiv PROP P1$ 
and  $\bigwedge P1. (True \implies P1) \equiv Trueprop P1$ 
and  $\bigwedge P1 P2. (True \implies PROP P1 \implies PROP P2) \equiv (PROP P1 \implies PROP$ 
P2)
  <proof>

```

```

lemmas asympartp-le = order.not-iff-asymp[symmetric, abs-def]

```

```

end

```

Isabelle/HOL's *preorder* belongs to *qorder*, but not vice versa.

```

context preorder begin

```

The relation $(<)$ is defined as the antisymmetric part of (\leq) .

```

lemma [simp]:
  shows asympartp-le: asympartp  $(\leq) = (<)$ 
    and asympartp-ge: asympartp  $(\geq) = (>)$ 
  <proof>

```

```

interpretation strict-quasi-ordering UNIV  $(\leq) (<)$ 
  <proof>

```

```

subclass qorder <proof>

```

```

sublocale order: strict-quasi-ordering UNIV
  rewrites  $\bigwedge x. x \in UNIV \equiv True$ 
    and  $\bigwedge X. X \subseteq UNIV \equiv True$ 
    and  $\bigwedge r. r \upharpoonright UNIV \equiv r$ 
    and  $\bigwedge P. True \wedge P \equiv P$ 
    and Ball UNIV  $\equiv All$ 
    and Bex UNIV  $\equiv Ex$ 
    and sympartp  $(\leq)^- \equiv$  sympartp  $(\leq)$ 
    and  $\bigwedge P1. (True \implies PROP P1) \equiv PROP P1$ 
    and  $\bigwedge P1. (True \implies P1) \equiv Trueprop P1$ 
    and  $\bigwedge P1 P2. (True \implies PROP P1 \implies PROP P2) \equiv (PROP P1 \implies PROP$ 
P2)
  <proof>

```

```

end

```

```

context order begin

```

```

interpretation strict-partial-ordering UNIV  $(\leq) (<)$ 
  <proof>

```

```

subclass porder <proof>

```



```

sublocale order: strict-partial-ordering UNIV
  rewrites  $\bigwedge x. x \in UNIV \equiv True$ 
  and  $\bigwedge X. X \subseteq UNIV \equiv True$ 
  and  $\bigwedge r. r \upharpoonright UNIV \equiv r$ 
  and  $\bigwedge P. True \wedge P \equiv P$ 
  and  $Ball\ UNIV \equiv All$ 
  and  $Bex\ UNIV \equiv Ex$ 
  and  $sympartp\ (\leq)^- \equiv sympartp\ (\leq)$ 
  and  $\bigwedge P1. (True \implies PROP\ P1) \equiv PROP\ P1$ 
  and  $\bigwedge P1. (True \implies P1) \equiv Trueprop\ P1$ 
  and  $\bigwedge P1\ P2. (True \implies PROP\ P1 \implies PROP\ P2) \equiv (PROP\ P1 \implies PROP\ P2)$ 
  proof
end

```

```

context order begin

```

```

lemma ex-greatest-iff-Greatest:
   $Ex\ (greatest\ X) \longleftrightarrow greatest\ X\ (Greatest\ (\lambda x. x \in X))$ 
  proof

```

```

lemma greatest-imp-supremum-Greatest:
   $greatest\ X\ x \implies supremum\ X\ (Greatest\ (\lambda x. x \in X))$ 
  proof

```

```

end

```

Isabelle/HOL's *linorder* is equivalent to our locale *total-ordering*.

```

context linorder begin

```

```

subclass linqorder proof

```

```

sublocale order: total-ordering UNIV
  rewrites  $\bigwedge x. x \in UNIV \equiv True$ 
  and  $\bigwedge X. X \subseteq UNIV \equiv True$ 
  and  $\bigwedge r. r \upharpoonright UNIV \equiv r$ 
  and  $\bigwedge P. True \wedge P \equiv P$ 
  and  $Ball\ UNIV \equiv All$ 
  and  $Bex\ UNIV \equiv Ex$ 
  and  $sympartp\ (\leq)^- \equiv sympartp\ (\leq)$ 
  and  $\bigwedge P1. (True \implies PROP\ P1) \equiv PROP\ P1$ 
  and  $\bigwedge P1. (True \implies P1) \equiv Trueprop\ P1$ 
  and  $\bigwedge P1\ P2. (True \implies PROP\ P1 \implies PROP\ P2) \equiv (PROP\ P1 \implies PROP\ P2)$ 
  proof

```

```

end

```

Tests: facts should be available in the most general classes.

```

thm order.strict.trans[where 'a='a::reflorder]
thm order.extreme-bound-quasi-const[where 'a='a::attrorder]
thm order.extreme-bound-singleton-eq[where 'a='a::psorder]
thm order.trans[where 'a='a::qorder]
thm order.comparable-cases[where 'a='a::linqorder]
thm order.cases[where 'a='a::linorder]

```

2.8 Declaring Duals

```

sublocale reflexive  $\subseteq$  sym: reflexive A sympartp ( $\sqsubseteq$ )
  rewrites sympartp ( $\sqsubseteq$ )-  $\equiv$  sympartp ( $\sqsubseteq$ )
    and  $\bigwedge r$ . sympartp (sympartp r)  $\equiv$  sympartp r
    and  $\bigwedge r$ . sympartp r  $\upharpoonright$  A  $\equiv$  sympartp (r  $\upharpoonright$  A)
   $\langle$ proof $\rangle$ 

```

```

sublocale quasi-ordered-set  $\subseteq$  sym: quasi-ordered-set A sympartp ( $\sqsubseteq$ )
  rewrites sympartp ( $\sqsubseteq$ )- = sympartp ( $\sqsubseteq$ )
    and sympartp (sympartp ( $\sqsubseteq$ )) = sympartp ( $\sqsubseteq$ )
   $\langle$ proof $\rangle$ 

```

At this point, we declare dual as sublocales. In the following, “rewrites” eventually cleans up redundant facts.

```

sublocale reflexive  $\subseteq$  dual: reflexive A ( $\sqsubseteq$ )-
  rewrites sympartp ( $\sqsubseteq$ )-  $\equiv$  sympartp ( $\sqsubseteq$ )
    and  $\bigwedge r$ . sympartp (r  $\upharpoonright$  A)  $\equiv$  sympartp r  $\upharpoonright$  A
    and ( $\sqsubseteq$ )-  $\upharpoonright$  A  $\equiv$  (( $\sqsubseteq$ )  $\upharpoonright$  A)-
   $\langle$ proof $\rangle$ 

```

context attractive **begin**

interpretation less-eq-symmetrize \langle proof \rangle

```

sublocale dual: attractive A ( $\supseteq$ )
  rewrites sympartp ( $\supseteq$ ) = ( $\sim$ )
    and equivpartp ( $\supseteq$ )  $\equiv$  ( $\simeq$ )
    and  $\bigwedge r$ . sympartp (r  $\upharpoonright$  A)  $\equiv$  sympartp r  $\upharpoonright$  A
    and  $\bigwedge r$ . sympartp (sympartp r)  $\equiv$  sympartp r
    and ( $\supseteq$ )-  $\upharpoonright$  A  $\equiv$  (( $\supseteq$ )  $\upharpoonright$  A)-
   $\langle$ proof $\rangle$ 

```

end

context irreflexive **begin**

```

sublocale dual: irreflexive A ( $\sqsubset$ )-
  rewrites ( $\sqsubset$ )-  $\upharpoonright$  A  $\equiv$  (( $\sqsubset$ )  $\upharpoonright$  A)-
   $\langle$ proof $\rangle$ 

```

end

sublocale *transitive* \subseteq *dual*: *transitive* A $(\sqsubseteq)^-$
rewrites $(\sqsubseteq)^- \upharpoonright A \equiv ((\sqsubseteq) \upharpoonright A)^-$
and *sympartp* $(\sqsubseteq)^- = \text{sympartp } (\sqsubseteq)$
and *asympartp* $(\sqsubseteq)^- = (\text{asympartp } (\sqsubseteq))^-$
<proof>

sublocale *antisymmetric* \subseteq *dual*: *antisymmetric* A $(\sqsubseteq)^-$
rewrites $(\sqsubseteq)^- \upharpoonright A \equiv ((\sqsubseteq) \upharpoonright A)^-$
and *sympartp* $(\sqsubseteq)^- = \text{sympartp } (\sqsubseteq)$
<proof>

context *antisymmetric* **begin**

lemma *extreme-bound-unique*:
extreme-bound A $(\sqsubseteq) X x \implies \text{extreme-bound } A$ $(\sqsubseteq) X y \longleftrightarrow x = y$
<proof>

lemma *ex-extreme-bound-iff-ex1*:
 $Ex (\text{extreme-bound } A$ $(\sqsubseteq) X) \longleftrightarrow Ex1 (\text{extreme-bound } A$ $(\sqsubseteq) X)$
<proof>

lemma *ex-extreme-bound-iff-the*:
 $Ex (\text{extreme-bound } A$ $(\sqsubseteq) X) \longleftrightarrow \text{extreme-bound } A$ $(\sqsubseteq) X$ (*The* (*extreme-bound* A $(\sqsubseteq) X$))
<proof>

end

sublocale *semiconnex* \subseteq *dual*: *semiconnex* A $(\sqsubseteq)^-$
rewrites *sympartp* $(\sqsubseteq)^- = \text{sympartp } (\sqsubseteq)$
<proof>

sublocale *connex* \subseteq *dual*: *connex* A $(\sqsubseteq)^-$
rewrites *sympartp* $(\sqsubseteq)^- = \text{sympartp } (\sqsubseteq)$
<proof>

sublocale *semiconnex-irreflexive* \subseteq *dual*: *semiconnex-irreflexive* A $(\sqsubseteq)^-$
rewrites *sympartp* $(\sqsubseteq)^- = \text{sympartp } (\sqsubseteq)$
<proof>

sublocale *pseudo-ordered-set* \subseteq *dual*: *pseudo-ordered-set* A $(\sqsubseteq)^-$
rewrites *sympartp* $(\sqsubseteq)^- = \text{sympartp } (\sqsubseteq)$
<proof>

sublocale *quasi-ordered-set* \subseteq *dual*: *quasi-ordered-set* A $(\sqsubseteq)^-$
rewrites *sympartp* $(\sqsubseteq)^- = \text{sympartp } (\sqsubseteq)$
<proof>

sublocale *partially-ordered-set* \subseteq *dual: partially-ordered-set* $A (\sqsubseteq)^-$
rewrites *sympartp* $(\sqsubseteq)^- = \text{sympartp } (\sqsubseteq)$
 $\langle \text{proof} \rangle$

sublocale *total-pseudo-ordered-set* \subseteq *dual: total-pseudo-ordered-set* $A (\sqsubseteq)^-$
rewrites *sympartp* $(\sqsubseteq)^- = \text{sympartp } (\sqsubseteq)$
 $\langle \text{proof} \rangle$

sublocale *total-quasi-ordered-set* \subseteq *dual: total-quasi-ordered-set* $A (\sqsubseteq)^-$
rewrites *sympartp* $(\sqsubseteq)^- = \text{sympartp } (\sqsubseteq)$
 $\langle \text{proof} \rangle$

sublocale *compatible-ordering* \subseteq *dual: compatible-ordering* $A (\sqsubseteq)^- (\sqsubset)^-$
rewrites *sympartp* $(\sqsubseteq)^- = \text{sympartp } (\sqsubseteq)$
 $\langle \text{proof} \rangle$

lemmas(in *qorder*) [*intro!*] = *order.dual.quasi-ordered-subset*

sublocale *reflexive-ordering* \subseteq *dual: reflexive-ordering* $A (\sqsubseteq)^- (\sqsubset)^-$
rewrites *sympartp* $(\sqsubseteq)^- = \text{sympartp } (\sqsubseteq)$
 $\langle \text{proof} \rangle$

sublocale *reflexive-attractive-ordering* \subseteq *dual: reflexive-attractive-ordering* $A (\sqsubseteq)^- (\sqsubset)^-$
rewrites *sympartp* $(\sqsubseteq)^- = \text{sympartp } (\sqsubseteq)$
 $\langle \text{proof} \rangle$

sublocale *pseudo-ordering* \subseteq *dual: pseudo-ordering* $A (\sqsubseteq)^- (\sqsubset)^-$
rewrites *sympartp* $(\sqsubseteq)^- = \text{sympartp } (\sqsubseteq)$
 $\langle \text{proof} \rangle$

lemma (in *psorder*) *least-Least*:
fixes $X :: 'a \text{ set}$
shows $\exists x (\text{least } X) \longleftrightarrow \text{least } X (\text{LEAST } x. x \in X)$
 $\langle \text{proof} \rangle$

sublocale *quasi-ordering* \subseteq *dual: quasi-ordering* $A (\sqsubseteq)^- (\sqsubset)^-$
rewrites *sympartp* $(\sqsubseteq)^- = \text{sympartp } (\sqsubseteq)$
 $\langle \text{proof} \rangle$

sublocale *partial-ordering* \subseteq *dual: partial-ordering* $A (\sqsubseteq)^- (\sqsubset)^-$
rewrites *sympartp* $(\sqsubseteq)^- = \text{sympartp } (\sqsubseteq)$
 $\langle \text{proof} \rangle$

sublocale *total-quasi-ordering* \subseteq *dual: total-quasi-ordering* $A (\sqsubseteq)^- (\sqsubset)^-$
rewrites *sympartp* $(\sqsubseteq)^- = \text{sympartp } (\sqsubseteq)$
 $\langle \text{proof} \rangle$

sublocale *total-ordering* \subseteq *dual: total-ordering* $A (\sqsubseteq)^- (\sqsupset)^-$
rewrites *sympartp* $(\sqsubseteq)^- = \text{sympartp } (\sqsubseteq)$
 $\langle \text{proof} \rangle$

sublocale *strict-quasi-ordering* \subseteq *dual: strict-quasi-ordering* $A (\sqsubseteq)^- (\sqsupset)^-$
rewrites *sympartp* $(\sqsubseteq)^- = \text{sympartp } (\sqsubseteq)$
 $\langle \text{proof} \rangle$

sublocale *strict-partial-ordering* \subseteq *dual: strict-partial-ordering* $A (\sqsubseteq)^- (\sqsupset)^-$
rewrites *sympartp* $(\sqsubseteq)^- = \text{sympartp } (\sqsubseteq)$
 $\langle \text{proof} \rangle$

sublocale *total-ordering* \subseteq *dual: total-ordering* $A (\sqsubseteq)^- (\sqsupset)^-$
rewrites *sympartp* $(\sqsubseteq)^- = \text{sympartp } (\sqsubseteq)$
 $\langle \text{proof} \rangle$

lemma(*in antisymmetric*) *monotone-extreme-imp-extreme-bound-iff*:
fixes *ir* (**infix** $\prec \succeq$) 50)
assumes $f \text{ ' } C \subseteq A$ **and** *monotone-on* $C (\preceq) (\sqsubseteq) f$ **and** *i*: *extreme* $C (\preceq) i$
shows *extreme-bound* $A (\sqsubseteq) (f \text{ ' } C) x \longleftrightarrow f i = x$
 $\langle \text{proof} \rangle$

2.9 Instantiations

Finally, we instantiate our classes for sanity check.

instance *nat* :: *linorder* $\langle \text{proof} \rangle$

Pointwise ordering of functions are compatible only if the weak part is transitive.

instance *fun* :: (*type, qorder*) *reflorder*
 $\langle \text{proof} \rangle$

instance *fun* :: (*type, qorder*) *qorder*
 $\langle \text{proof} \rangle$

instance *fun* :: (*type, porder*) *porder*
 $\langle \text{proof} \rangle$

end

theory *Well-Relations*

imports *Binary-Relations*

begin

3 Well-Relations

A related set $\langle A, \sqsubseteq \rangle$ is called *topped* if there is a “top” element $\top \in A$, a greatest element in A . Note that there might be multiple tops if (\sqsubseteq) is not antisymmetric.

definition *extremed* $A r \equiv \exists e. \text{extreme } A r e$

lemma *extremedI*: $\text{extreme } A r e \implies \text{extremed } A r$
<proof>

lemma *extremedE*: $\text{extremed } A r \implies (\bigwedge e. \text{extreme } A r e \implies \text{thesis}) \implies \text{thesis}$
<proof>

lemma *extremed-imp-ex-bound*: $\text{extremed } A r \implies X \subseteq A \implies \exists b \in A. \text{bound } X r b$
<proof>

locale *well-founded* = *related-set* - (\sqsubset) + *less-syntax* +
assumes *induct*[*consumes 1, case-names less, induct set*]:
 $a \in A \implies (\bigwedge x. x \in A \implies (\bigwedge y. y \in A \implies y \sqsubset x \implies P y) \implies P x) \implies P a$
begin

sublocale *asymmetric*
<proof>

lemma *prefixed-Imagep-imp-empty*:
assumes $a: X \subseteq ((\sqsubset) \text{``} X) \cap A$ **shows** $X = \{\}$
<proof>

lemma *nonempty-imp-ex-extremal*:
assumes $QA: Q \subseteq A$ **and** $Q: Q \neq \{\}$
shows $\exists z \in Q. \forall y \in Q. \neg y \sqsubset z$
<proof>

interpretation *Restrp*: *well-founded UNIV* $(\sqsubset) \upharpoonright A$
rewrites $\bigwedge x. x \in \text{UNIV} \equiv \text{True}$
and $(\sqsubset) \upharpoonright A \upharpoonright \text{UNIV} = (\sqsubset) \upharpoonright A$
and $\bigwedge P1. (\text{True} \implies \text{PROP } P1) \equiv \text{PROP } P1$
and $\bigwedge P1. (\text{True} \implies P1) \equiv \text{Trueprop } P1$
and $\bigwedge P1 P2. (\text{True} \implies \text{PROP } P1 \implies \text{PROP } P2) \equiv (\text{PROP } P1 \implies \text{PROP } P2)$
<proof>

lemmas *Restrp-well-founded* = *Restrp.well-founded-axioms*
lemmas *Restrp-induct*[*consumes 0, case-names less*] = *Restrp.induct*

interpretation *Restrp.transclp*: *well-founded UNIV* $((\sqsubset) \upharpoonright A)^{++}$
rewrites $\bigwedge x. x \in \text{UNIV} \equiv \text{True}$
and $((\sqsubset) \upharpoonright A)^{++} \upharpoonright \text{UNIV} = ((\sqsubset) \upharpoonright A)^{++}$
and $((((\sqsubset) \upharpoonright A)^{++})^{++})^{++} = ((\sqsubset) \upharpoonright A)^{++}$
and $\bigwedge P1. (\text{True} \implies \text{PROP } P1) \equiv \text{PROP } P1$
and $\bigwedge P1. (\text{True} \implies P1) \equiv \text{Trueprop } P1$
and $\bigwedge P1 P2. (\text{True} \implies \text{PROP } P1 \implies \text{PROP } P2) \equiv (\text{PROP } P1 \implies \text{PROP } P2)$

<proof>

lemmas *Restrp-tranclp-well-founded* = *Restrp.tranclp.well-founded-axioms*

lemmas *Restrp-tranclp-induct*[consumes 0, case-names less] = *Restrp.tranclp.induct*

end

context

fixes *A* :: 'a set **and** *less* :: 'a \Rightarrow 'a \Rightarrow bool (**infix** \sqsubset 50)

begin

lemma *well-foundedI-pf*:

assumes *pre*: $\bigwedge X. X \subseteq A \implies X \subseteq ((\sqsubset) \text{ `` } X) \cap A \implies X = \{\}$

shows *well-founded* *A* (\sqsubset)

<proof>

lemma *well-foundedI-extremal*:

assumes *a*: $\bigwedge X. X \subseteq A \implies X \neq \{\} \implies \exists x \in X. \forall y \in X. \neg y \sqsubset x$

shows *well-founded* *A* (\sqsubset)

<proof>

lemma *well-founded-iff-ex-extremal*:

well-founded *A* $(\sqsubset) \iff (\forall X \subseteq A. X \neq \{\} \longrightarrow (\exists x \in X. \forall z \in X. \neg z \sqsubset x))$

<proof>

end

lemma *well-founded-cong*:

assumes *r*: $\bigwedge a b. a \in A \implies b \in A \implies r a b \iff r' a b$

and *A*: $\bigwedge a b. r' a b \implies a \in A \iff a \in A'$

and *B*: $\bigwedge a b. r' a b \implies b \in A \iff b \in A'$

shows *well-founded* *A* *r* \iff *well-founded* *A'* *r'*

<proof>

lemma *wfP-iff-well-founded-UNIV*: *wfP* *r* \iff *well-founded* *UNIV* *r*

<proof>

lemma *well-founded-empty[intro!]*: *well-founded* $\{\}$ *r*

<proof>

lemma *well-founded-singleton*:

assumes $\neg r x x$ **shows** *well-founded* $\{x\}$ *r*

<proof>

lemma *well-founded-Restrp[simp]*: *well-founded* *A* (*r* \upharpoonright *B*) \iff *well-founded* (*A* \cap *B*)

r (**is** ?*l* \iff ?*r*)

<proof>

lemma *Restrp-tranclp-well-founded-iff*:

fixes *less* (**infix** $\langle \square \rangle$ 50)
shows *well-founded UNIV* $((\square) \upharpoonright A)^{++} \longleftrightarrow \text{well-founded } A \ (\square)$ (**is** $?l \longleftrightarrow ?r$)
 $\langle \text{proof} \rangle$

lemma (**in** *well-founded*) *well-founded-subset*:
assumes $B \subseteq A$ **shows** *well-founded* $B \ (\square)$
 $\langle \text{proof} \rangle$

lemma *well-founded-extend*:
fixes *less* (**infix** $\langle \square \rangle$ 50)
assumes A : *well-founded* $A \ (\square)$
assumes B : *well-founded* $B \ (\square)$
assumes AB : $\forall a \in A. \forall b \in B. \neg b \square a$
shows *well-founded* $(A \cup B) \ (\square)$
 $\langle \text{proof} \rangle$

lemma *closed-UN-well-founded*:
fixes r (**infix** $\langle \square \rangle$ 50)
assumes XX : $\forall X \in XX. \text{well-founded } X \ (\square) \wedge (\forall x \in X. \forall y \in \bigcup XX. y \square x \longrightarrow y \in X)$
shows *well-founded* $(\bigcup XX) \ (\square)$
 $\langle \text{proof} \rangle$

lemma *well-founded-cmono*:
assumes r' : $r' \leq r$ **and** wf : *well-founded* $A \ r$
shows *well-founded* $A \ r'$
 $\langle \text{proof} \rangle$

locale *well-founded-ordered-set* = *well-founded* + *transitive* - (\square)
begin

sublocale *strict-ordered-set* $\langle \text{proof} \rangle$

interpretation *Restrp*: *strict-ordered-set UNIV* $(\square) \upharpoonright A$ + *Restrp*: *well-founded UNIV* $(\square) \upharpoonright A$
 $\langle \text{proof} \rangle$

lemma *Restrp-well-founded-order*: *well-founded-ordered-set UNIV* $((\square) \upharpoonright A)$ $\langle \text{proof} \rangle$

lemma *well-founded-ordered-subset*: $B \subseteq A \implies \text{well-founded-ordered-set } B \ (\square)$
 $\langle \text{proof} \rangle$

end

lemmas *well-founded-ordered-setI* = *well-founded-ordered-set.intro*

lemma *well-founded-ordered-set-empty*[*intro!*]: *well-founded-ordered-set* $\{ \} \ r$
 $\langle \text{proof} \rangle$

locale *well-related-set* = *related-set* +
assumes *nonempty-imp-ex-extreme*: $X \subseteq A \implies X \neq \{\} \implies \exists e. \text{extreme } X$
 $(\sqsubseteq)^- e$
begin

sublocale *connex*
 $\langle \text{proof} \rangle$

lemmas *connex* = *connex-axioms*

interpretation *less-eq-asymmetrize* $\langle \text{proof} \rangle$

sublocale *asym: well-founded* $A (\sqsubseteq)$
 $\langle \text{proof} \rangle$

lemma *well-related-subset*: $B \subseteq A \implies \text{well-related-set } B (\sqsubseteq)$
 $\langle \text{proof} \rangle$

lemma *monotone-image-well-related*:
fixes *leB* (**infix** $\langle \trianglelefteq \rangle$ 50)
assumes *mono: monotone-on* $A (\sqsubseteq) (\trianglelefteq)$ **shows** *well-related-set* $(f \text{ ` } A) (\trianglelefteq)$
 $\langle \text{proof} \rangle$

end

sublocale *well-related-set* \subseteq *reflexive* $\langle \text{proof} \rangle$

lemmas *well-related-setI* = *well-related-set.intro*

lemmas *well-related-iff-ex-extreme* = *well-related-set-def*

lemma *well-related-set-empty[intro!]*: *well-related-set* $\{\}$ r
 $\langle \text{proof} \rangle$

context
fixes *less-eq* :: $'a \Rightarrow 'a \Rightarrow \text{bool}$ (**infix** $\langle \sqsubseteq \rangle$ 50)
begin

lemma *well-related-iff-neg-well-founded*:
well-related-set $A (\sqsubseteq) \longleftrightarrow \text{well-founded } A (\lambda x y. \neg y \sqsubseteq x)$
 $\langle \text{proof} \rangle$

lemma *well-related-singleton-refl*:
assumes $x \sqsubseteq x$ **shows** *well-related-set* $\{x\} (\sqsubseteq)$
 $\langle \text{proof} \rangle$

lemma *closed-UN-well-related*:
assumes $XX: \forall X \in XX. \text{well-related-set } X (\sqsubseteq) \wedge (\forall x \in X. \forall y \in \bigcup XX. \neg x \sqsubseteq y$

$\longrightarrow y \in X$
shows *well-related-set* $(\bigcup XX)$ (\sqsubseteq)
 $\langle \text{proof} \rangle$

end

lemma *well-related-extend*:

fixes r (**infix** $\langle \sqsubseteq \rangle$ 50)
assumes *well-related-set* A (\sqsubseteq) **and** *well-related-set* B (\sqsubseteq) **and** $\forall a \in A. \forall b \in B. a \sqsubseteq b$
shows *well-related-set* $(A \cup B)$ (\sqsubseteq)
 $\langle \text{proof} \rangle$

lemma *pair-well-related*:

fixes *less-eq* (**infix** $\langle \sqsubseteq \rangle$ 50)
assumes $i \sqsubseteq i$ **and** $i \sqsubseteq j$ **and** $j \sqsubseteq j$
shows *well-related-set* $\{i, j\}$ (\sqsubseteq)
 $\langle \text{proof} \rangle$

locale *pre-well-ordered-set* = *semiattractive* + *well-related-set*
begin

interpretation *less-eq-asymmetrize* $\langle \text{proof} \rangle$

sublocale *transitive*
 $\langle \text{proof} \rangle$

sublocale *total-quasi-ordered-set* $\langle \text{proof} \rangle$

end

lemmas *pre-well-ordered-iff-semi-attractive-well-related* =
pre-well-ordered-set-def [unfolded *atomize-eq*]

lemma *pre-well-ordered-set-empty* [intro!]: *pre-well-ordered-set* $\{ \}$ r
 $\langle \text{proof} \rangle$

lemma *pre-well-ordered-iff*:

pre-well-ordered-set A $r \iff$ *total-quasi-ordered-set* A $r \wedge$ *well-founded* A (*asymptp* r)
(is $?p \iff ?t \wedge ?w$)
 $\langle \text{proof} \rangle$

lemma (**in** *semi-attractive*) *pre-well-ordered-iff-well-related*:

assumes $XA: X \subseteq A$
shows *pre-well-ordered-set* X $(\sqsubseteq) \iff$ *well-related-set* X (\sqsubseteq) **(is** $?l \iff ?r$)
 $\langle \text{proof} \rangle$

lemma *semi-attractive-extend*:

fixes r (**infix** $\langle \sqsubseteq \rangle$ 50)
assumes A : *semiattractive* A (\sqsubseteq) **and** B : *semiattractive* B (\sqsubseteq)
and AB : $\forall a \in A. \forall b \in B. a \sqsubseteq b \wedge \neg b \sqsubseteq a$
shows *semiattractive* $(A \cup B)$ (\sqsubseteq)
 \langle *proof* \rangle

lemma *pre-well-order-extend*:
fixes r (**infix** $\langle \sqsubseteq \rangle$ 50)
assumes A : *pre-well-ordered-set* A (\sqsubseteq) **and** B : *pre-well-ordered-set* B (\sqsubseteq)
and AB : $\forall a \in A. \forall b \in B. a \sqsubseteq b \wedge \neg b \sqsubseteq a$
shows *pre-well-ordered-set* $(A \cup B)$ (\sqsubseteq)
 \langle *proof* \rangle

lemma (**in** *well-related-set*) *monotone-image-pre-well-ordered*:
fixes leB (**infix** $\langle \sqsubseteq'' \rangle$ 50)
assumes $mono$: *monotone-on* A (\sqsubseteq) (\sqsubseteq') f
and $image$: *semiattractive* $(f \text{ ' } A)$ (\sqsubseteq')
shows *pre-well-ordered-set* $(f \text{ ' } A)$ (\sqsubseteq')
 \langle *proof* \rangle

locale *well-ordered-set = antisymmetric + well-related-set*
begin

sublocale *pre-well-ordered-set* \langle *proof* \rangle

sublocale *total-ordered-set* \langle *proof* \rangle

lemma *well-ordered-subset*: $B \subseteq A \implies$ *well-ordered-set* B (\sqsubseteq)
 \langle *proof* \rangle

sublocale $asym$: *well-founded-ordered-set* A *asymptp* (\sqsubseteq)
 \langle *proof* \rangle

end

lemmas *well-ordered-iff-antisymmetric-well-related = well-ordered-set-def*[*unfolded*
atomize-eq]

lemma *well-ordered-set-empty*[*intro!*]: *well-ordered-set* $\{\}$ r
 \langle *proof* \rangle

lemma (**in** *antisymmetric*) *well-ordered-iff-well-related*:
assumes XA : $X \subseteq A$
shows *well-ordered-set* X (\sqsubseteq) \longleftrightarrow *well-related-set* X (\sqsubseteq) (**is** $?l \longleftrightarrow ?r$)
 \langle *proof* \rangle

context

fixes A :: 'a set **and** $less-eq$:: 'a \Rightarrow 'a \Rightarrow bool (**infix** $\langle \sqsubseteq \rangle$ 50)
begin

context

assumes $A: \forall a \in A. \forall b \in A. a \sqsubseteq b$

begin

interpretation *well-related-set* A (\sqsubseteq)

\langle *proof* \rangle

lemmas *trivial-well-related* = *well-related-set-axioms*

lemma *trivial-pre-well-order: pre-well-ordered-set* A (\sqsubseteq)

\langle *proof* \rangle

end

interpretation *less-eq-asymmetrize* \langle *proof* \rangle

lemma *well-ordered-iff-well-founded-total-ordered:*

well-ordered-set A (\sqsubseteq) \longleftrightarrow *total-ordered-set* A (\sqsubseteq) \wedge *well-founded* A (\sqsubseteq)
 \langle *proof* \rangle

end

context

fixes *less-eq* :: 'a \Rightarrow 'a \Rightarrow bool (**infix** \langle \sqsubseteq \rangle 50)

begin

lemma *well-order-extend:*

assumes A : *well-ordered-set* A (\sqsubseteq) **and** B : *well-ordered-set* B (\sqsubseteq)

and ABA : $\forall a \in A. \forall b \in B. a \sqsubseteq b \longrightarrow b \sqsubseteq a \longrightarrow a = b$

and AB : $\forall a \in A. \forall b \in B. a \sqsubseteq b$

shows *well-ordered-set* $(A \cup B)$ (\sqsubseteq)

\langle *proof* \rangle

interpretation *singleton: antisymmetric* $\{a\}$ (\sqsubseteq) **for** a \langle *proof* \rangle

lemmas *singleton-antisymmetric[intro!]* = *singleton.antisymmetric-axioms*

lemma *singleton-well-ordered[intro!]*: $a \sqsubseteq a \Longrightarrow$ *well-ordered-set* $\{a\}$ (\sqsubseteq)

\langle *proof* \rangle

lemma *closed-UN-well-ordered:*

assumes *anti: antisymmetric* $(\bigcup XX)$ (\sqsubseteq)

and XX : $\forall X \in XX. \text{well-ordered-set } X$ (\sqsubseteq) \wedge $(\forall x \in X. \forall y \in \bigcup XX. \neg x \sqsubseteq y \longrightarrow y \in X)$

shows *well-ordered-set* $(\bigcup XX)$ (\sqsubseteq)

\langle *proof* \rangle

end

lemma (in *well-related-set*) *monotone-image-well-ordered*:
fixes *leB* (infix $\langle \sqsubseteq' \rangle$ 50)
assumes *mono*: *monotone-on* A (\sqsubseteq) (\sqsubseteq') f
and *image*: *antisymmetric* (f ' A) (\sqsubseteq')
shows *well-ordered-set* (f ' A) (\sqsubseteq')
 $\langle proof \rangle$

3.1 Relating to Classes

locale *well-founded-quasi-ordering* = *quasi-ordering* + *well-founded*
begin

lemma *well-founded-quasi-ordering-subset*:
assumes $X \subseteq A$ **shows** *well-founded-quasi-ordering* X (\sqsubseteq) (\sqsubset)
 $\langle proof \rangle$

end

class *wf-qorder* = *ord* +
assumes *well-founded-quasi-ordering* $UNIV$ (\leq) ($<$)
begin

interpretation *well-founded-quasi-ordering* $UNIV$
 $\langle proof \rangle$

subclass *qorder* $\langle proof \rangle$

sublocale *order*: *well-founded-quasi-ordering* $UNIV$
rewrites $\bigwedge x. x \in UNIV \equiv True$
and $\bigwedge X. X \subseteq UNIV \equiv True$
and $\bigwedge r. r \upharpoonright UNIV \equiv r$
and $\bigwedge P. True \wedge P \equiv P$
and *Ball* $UNIV \equiv All$
and *Bex* $UNIV \equiv Ex$
and *sympartp* (\leq)⁻ \equiv *sympartp* (\leq)
and $\bigwedge P1. (True \implies PROP P1) \equiv PROP P1$
and $\bigwedge P1. (True \implies P1) \equiv Trueprop P1$
and $\bigwedge P1 P2. (True \implies PROP P1 \implies PROP P2) \equiv (PROP P1 \implies PROP P2)$
 $\langle proof \rangle$

end

context *wellorder* **begin**

subclass *wf-qorder*
 $\langle proof \rangle$

sublocale *order: well-ordered-set UNIV*
rewrites $\bigwedge x. x \in UNIV \equiv True$
and $\bigwedge X. X \subseteq UNIV \equiv True$
and $\bigwedge r. r \uparrow UNIV \equiv r$
and $\bigwedge P. True \wedge P \equiv P$
and *Ball UNIV* $\equiv All$
and *Bex UNIV* $\equiv Ex$
and *sympartp* $(\leq)^- \equiv sympartp (\leq)$
and $\bigwedge P1. (True \implies PROP P1) \equiv PROP P1$
and $\bigwedge P1. (True \implies P1) \equiv Trueprop P1$
and $\bigwedge P1 P2. (True \implies PROP P1 \implies PROP P2) \equiv (PROP P1 \implies PROP P2)$
<proof>

end

thm *order.nonempty-imp-ex-extreme*

3.2 omega-Chains

definition *omega-chain* $A r \equiv \exists f :: nat \Rightarrow 'a. monotone (\leq) r f \wedge range f = A$

lemma *omega-chainI*:

fixes $f :: nat \Rightarrow 'a$

assumes *monotone* $(\leq) r f$ *range* $f = A$ **shows** *omega-chain* $A r$

<proof>

lemma *omega-chainE*:

assumes *omega-chain* $A r$

and $\bigwedge f :: nat \Rightarrow 'a. monotone (\leq) r f \implies range f = A \implies thesis$

shows *thesis*

<proof>

lemma (*in transitive*) *local-chain*:

assumes $CA: range C \subseteq A$

shows $(\forall i::nat. C i \sqsubseteq C (Suc i)) \longleftrightarrow monotone (<) (\sqsubseteq) C$

<proof>

lemma *pair-omega-chain*:

assumes $r a a r b b r a b$ **shows** *omega-chain* $\{a,b\} r$

<proof>

Every omega-chain is a well-order.

lemma *omega-chain-imp-well-related*:

fixes *less-eq* (**infix** $\langle \sqsubseteq \rangle$ 50)

assumes $A: omega-chain A (\sqsubseteq)$ **shows** *well-related-set* $A (\sqsubseteq)$

<proof>

lemma (*in semiattractive*) *omega-chain-imp-pre-well-ordered*:

assumes *omega-chain* $A (\sqsubseteq)$ **shows** *pre-well-ordered-set* $A (\sqsubseteq)$

<proof>

lemma (in *antisymmetric*) *omega-chain-imp-well-ordered*:
assumes *omega-chain* A (\sqsubseteq) shows *well-ordered-set* A (\sqsubseteq)
<proof>

3.2.1 Relation image that preserves well-orderedness.

definition *well-image* f A (\sqsubseteq) fa fb \equiv
 $\forall a b. \text{extreme } \{x \in A. fa = f x\} (\sqsubseteq)^- a \longrightarrow \text{extreme } \{y \in A. fb = f y\} (\sqsubseteq)^- b \longrightarrow$
 $a \sqsubseteq b$
for *less-eq* (infix $\langle \sqsubseteq \rangle$ 50)

lemmas *well-imageI* = *well-image-def*[*unfolded atomize-eq*, *THEN iffD2*, *rule-format*]
lemmas *well-imageD* = *well-image-def*[*unfolded atomize-eq*, *THEN iffD1*, *rule-format*]

lemma (in *pre-well-ordered-set*)
well-image-well-related: *pre-well-ordered-set* ($f'A$) (*well-image* f A (\sqsubseteq))
<proof>

end

theory *Directedness*

imports *Binary-Relations Well-Relations*

begin

Directed sets:

locale *directed* =
fixes A and *less-eq* (infix $\langle \sqsubseteq \rangle$ 50)
assumes *pair-bounded*: $x \in A \implies y \in A \implies \exists z \in A. x \sqsubseteq z \wedge y \sqsubseteq z$

lemmas *directedI*[*intro*] = *directed.intro*

lemmas *directedD* = *directed-def*[*unfolded atomize-eq*, *THEN iffD1*, *rule-format*]

context

fixes *less-eq* :: $'a \Rightarrow 'a \Rightarrow \text{bool}$ (infix $\langle \sqsubseteq \rangle$ 50)

begin

lemma *directedE*:

assumes *directed* A (\sqsubseteq) and $x \in A$ and $y \in A$
and $\bigwedge z. z \in A \implies x \sqsubseteq z \implies y \sqsubseteq z \implies \text{thesis}$
shows *thesis*
<proof>

lemma *directed-empty*[*simp*]: *directed* $\{\}$ (\sqsubseteq) *<proof>*

lemma *directed-union*:

assumes dX : *directed* X (\sqsubseteq) and dY : *directed* Y (\sqsubseteq)
and XY : $\forall x \in X. \forall y \in Y. \exists z \in X \cup Y. x \sqsubseteq z \wedge y \sqsubseteq z$

shows *directed* $(X \cup Y)$ (\sqsubseteq)
 \langle *proof* \rangle

lemma *directed-extend*:

assumes X : *directed* X (\sqsubseteq) **and** Y : *directed* Y (\sqsubseteq) **and** XY : $\forall x \in X. \forall y \in Y. x \sqsubseteq y$
shows *directed* $(X \cup Y)$ (\sqsubseteq)
 \langle *proof* \rangle

end

sublocale *connex* \subseteq *directed*
 \langle *proof* \rangle

lemmas(*in connex*) *directed* = *directed-axioms*

lemma *monotone-directed-image*:

fixes ir (**infix** $\prec \preceq$) 50) **and** r (**infix** $\prec \sqsubseteq$) 50)
assumes $mono$: *monotone-on* I (\preceq) (\sqsubseteq) f **and** dir : *directed* I (\preceq)
shows *directed* $(f \text{ ' } I)$ (\sqsubseteq)
 \langle *proof* \rangle

definition *directed-set* A $(\sqsubseteq) \equiv \forall X \subseteq A. \text{finite } X \longrightarrow (\exists b \in A. \text{bound } X$ (\sqsubseteq) $b)$
for *less-eq* (**infix** $\prec \sqsubseteq$) 50)

lemmas *directed-setI* = *directed-set-def*[*unfolded atomize-eq*, *THEN iffD2*, *rule-format*]
lemmas *directed-setD* = *directed-set-def*[*unfolded atomize-eq*, *THEN iffD1*, *rule-format*]

lemma *directed-imp-nonempty*:

fixes *less-eq* (**infix** $\prec \sqsubseteq$) 50)
shows *directed-set* A $(\sqsubseteq) \implies A \neq \{\}$
 \langle *proof* \rangle

lemma *directedD2*:

fixes *less-eq* (**infix** $\prec \sqsubseteq$) 50)
assumes dir : *directed-set* A (\sqsubseteq) **and** x_A : $x \in A$ **and** y_A : $y \in A$
shows $\exists z \in A. x \sqsubseteq z \wedge y \sqsubseteq z$
 \langle *proof* \rangle

lemma *monotone-directed-set-image*:

fixes ir (**infix** $\prec \preceq$) 50) **and** r (**infix** $\prec \sqsubseteq$) 50)
assumes $mono$: *monotone-on* I (\preceq) (\sqsubseteq) f **and** dir : *directed-set* I (\preceq)
shows *directed-set* $(f \text{ ' } I)$ (\sqsubseteq)
 \langle *proof* \rangle

lemma *directed-set-iff-extremed*:

fixes *less-eq* (**infix** $\prec \sqsubseteq$) 50)

assumes *Dfin*: finite *D*
shows directed-set *D* (\sqsubseteq) \longleftrightarrow extremed *D* (\sqsubseteq)
 \langle proof \rangle

lemma (in *transitive*) directed-iff-nonempty-pair-bounded:
directed-set *A* (\sqsubseteq) \longleftrightarrow $A \neq \{\}$ \wedge ($\forall x \in A. \forall y \in A. \exists z \in A. x \sqsubseteq z \wedge y \sqsubseteq z$)
(is ?l \longleftrightarrow - \wedge ?r)
 \langle proof \rangle

lemma (in *transitive*) directed-set-iff-nonempty-directed:
directed-set *A* (\sqsubseteq) \longleftrightarrow $A \neq \{\}$ \wedge directed *A* (\sqsubseteq)
 \langle proof \rangle

lemma (in *well-related-set*) finite-sets-extremed:
assumes *fin*: finite *X* **and** *X0*: $X \neq \{\}$ **and** *XA*: $X \subseteq A$
shows extremed *X* (\sqsubseteq)
 \langle proof \rangle

lemma (in *well-related-set*) directed-set:
assumes *A0*: $A \neq \{\}$ **shows** directed-set *A* (\sqsubseteq)
 \langle proof \rangle

lemma *prod-directed*:
fixes *leA* (infix $\langle \sqsubseteq_A \rangle$ 50) **and** *leB* (infix $\langle \sqsubseteq_B \rangle$ 50)
assumes *dir*: directed *X* (*rel-prod* (\sqsubseteq_A) (\sqsubseteq_B))
shows directed (*fst* ‘ *X*) (\sqsubseteq_A) **and** directed (*snd* ‘ *X*) (\sqsubseteq_B)
 \langle proof \rangle

class *dir* = *ord* + **assumes** directed *UNIV* (\leq)
begin

sublocale *order*: directed *UNIV* (\leq)
rewrites $\bigwedge x. x \in UNIV \equiv True$
and $\bigwedge X. X \subseteq UNIV \equiv True$
and $\bigwedge r. r \upharpoonright UNIV \equiv r$
and $\bigwedge P. True \wedge P \equiv P$
and *Ball* *UNIV* $\equiv All$
and *Bex* *UNIV* $\equiv Ex$
and *sympartp* (\leq)⁻ \equiv *sympartp* (\leq)
and $\bigwedge P1. (True \implies PROP P1) \equiv PROP P1$
and $\bigwedge P1. (True \implies P1) \equiv Trueprop P1$
and $\bigwedge P1 P2. (True \implies PROP P1 \implies PROP P2) \equiv (PROP P1 \implies PROP P2)$
 \langle proof \rangle

end

class *filt* = *ord* +
assumes directed *UNIV* (\geq)

```

begin

sublocale order.dual: directed UNIV ( $\geq$ )
  rewrites  $\bigwedge x. x \in UNIV \equiv True$ 
  and  $\bigwedge X. X \subseteq UNIV \equiv True$ 
  and  $\bigwedge r. r \uparrow UNIV \equiv r$ 
  and  $\bigwedge P. True \wedge P \equiv P$ 
  and  $Ball UNIV \equiv All$ 
  and  $Bex UNIV \equiv Ex$ 
  and  $sympartp (\leq)^- \equiv sympartp (\leq)$ 
  and  $\bigwedge P1. (True \implies PROP P1) \equiv PROP P1$ 
  and  $\bigwedge P1. (True \implies P1) \equiv Trueprop P1$ 
  and  $\bigwedge P1 P2. (True \implies PROP P1 \implies PROP P2) \equiv (PROP P1 \implies PROP P2)$ 
  <proof>

end

subclass (in linqorder) dir<proof>

subclass (in linqorder) filt<proof>

thm order.directed-axioms[where 'a = 'a :: dir]

thm order.dual.directed-axioms[where 'a = 'a :: filt]

end

```

4 Completeness of Relations

Here we formalize various order-theoretic completeness conditions.

```

theory Complete-Relations
  imports Well-Relations Directedness
begin

```

4.1 Completeness Conditions

Order-theoretic completeness demands certain subsets of elements to admit suprema or infima.

definition *complete* ($\langle \leftarrow \text{complete} \rangle$ [999] 1000) **where**
 $\mathcal{C}\text{-complete } A (\sqsubseteq) \equiv \forall X \subseteq A. \mathcal{C} X (\sqsubseteq) \longrightarrow (\exists s. \text{extreme-bound } A (\sqsubseteq) X s)$ **for**
less-eq (**infix** $\langle \sqsubseteq \rangle$ 50)

```

lemmas completeI = complete-def[unfolded atomize-eq, THEN iffD2, rule-format]
lemmas completeD = complete-def[unfolded atomize-eq, THEN iffD1, rule-format]
lemmas completeE = complete-def[unfolded atomize-eq, THEN iffD1, rule-format,
  THEN exE]

```

lemma *complete-cmono*: $CC \leq DD \implies DD\text{-complete} \leq CC\text{-complete}$
 ⟨proof⟩

lemma *complete-subclass*:
 fixes *less-eq* (infix \sqsubseteq 50)
 assumes $C\text{-complete } A \ (\sqsubseteq)$ and $\forall X \subseteq A. \mathcal{D} X \ (\sqsubseteq) \longrightarrow \mathcal{C} X \ (\sqsubseteq)$
 shows $\mathcal{D}\text{-complete } A \ (\sqsubseteq)$
 ⟨proof⟩

lemma *complete-empty[simp]*: $C\text{-complete } \{\} r \longleftrightarrow \neg \mathcal{C} \{\} r$ ⟨proof⟩

context
 fixes *less-eq* :: $'a \Rightarrow 'a \Rightarrow \text{bool}$ (infix \sqsubseteq 50)
begin

Toppedness can be also seen as a completeness condition, since it is equivalent to saying that the universe has a supremum.

lemma *extremed-iff-UNIV-complete*: $\text{extremed } A \ (\sqsubseteq) \longleftrightarrow (\lambda X r. X = A)\text{-complete } A \ (\sqsubseteq)$ (is ?l \longleftrightarrow ?r)
 ⟨proof⟩

The dual notion of topped is called “pointed”, equivalently ensuring a supremum of the empty set.

lemma *pointed-iff-empty-complete*: $\text{extremed } A \ (\sqsubseteq) \longleftrightarrow (\lambda X r. X = \{\})\text{-complete } A \ (\sqsubseteq)^{-}$
 ⟨proof⟩

Downward closure is topped.

lemma *dual-closure-is-extremed*:
 assumes $bA: b \in A$ and $b \sqsubseteq b$
 shows $\text{extremed } \{a \in A. a \sqsubseteq b\} \ (\sqsubseteq)$
 ⟨proof⟩

Downward closure preserves completeness.

lemma *dual-closure-is-complete*:
 assumes $A: C\text{-complete } A \ (\sqsubseteq)$ and $bA: b \in A$
 shows $C\text{-complete } \{x \in A. x \sqsubseteq b\} \ (\sqsubseteq)$
 ⟨proof⟩

interpretation *less-eq-dualize*⟨proof⟩

Upward closure preserves completeness, under a condition.

lemma *closure-is-complete*:
 assumes $A: C\text{-complete } A \ (\sqsubseteq)$ and $bA: b \in A$
 and $Cb: \forall X \subseteq A. \mathcal{C} X \ (\sqsubseteq) \longrightarrow \text{bound } X \ (\exists) b \longrightarrow \mathcal{C} (X \cup \{b\}) \ (\sqsubseteq)$
 shows $C\text{-complete } \{x \in A. b \sqsubseteq x\} \ (\sqsubseteq)$
 ⟨proof⟩

lemma *biclosure-is-complete*:

assumes $A: \mathcal{C}$ -complete A (\sqsubseteq) **and** $aA: a \in A$ **and** $bA: b \in A$ **and** $ab: a \sqsubseteq b$

and $Ca: \forall X \subseteq A. \mathcal{C} X$ (\sqsubseteq) \rightarrow bound X (\exists) $a \rightarrow \mathcal{C} (X \cup \{a\})$ (\sqsubseteq)

shows \mathcal{C} -complete $\{x \in A. a \sqsubseteq x \wedge x \sqsubseteq b\}$ (\sqsubseteq)

<proof>

end

One of the most well-studied notion of completeness would be the semi-lattice condition: every pair of elements x and y has a supremum $x \sqcup y$ (not necessarily unique if the underlying relation is not antisymmetric).

definition *pair-complete* $\equiv (\lambda X r. \exists x y. X = \{x, y\})$ -complete

lemma *pair-completeI*:

assumes $\bigwedge x y. x \in A \implies y \in A \implies \exists s. \text{extreme-bound } A r \{x, y\} s$

shows *pair-complete* $A r$

<proof>

lemma *pair-completeD*:

assumes *pair-complete* $A r$

shows $x \in A \implies y \in A \implies \exists s. \text{extreme-bound } A r \{x, y\} s$

<proof>

context

fixes *less-eq* $:: 'a \Rightarrow 'a \Rightarrow \text{bool}$ (**infix** $\langle \sqsubseteq \rangle$ 50)

begin

lemma *pair-complete-imp-directed*:

assumes *comp*: *pair-complete* A (\sqsubseteq) **shows** *directed* A (\sqsubseteq)

<proof>

end

lemma (**in connex**) *pair-complete*: *pair-complete* A (\sqsubseteq)

<proof>

The next one assumes that every nonempty finite set has a supremum.

abbreviation *finite-complete* $\equiv (\lambda X r. \text{finite } X \wedge X \neq \{\})$ -complete

lemma *finite-complete-le-pair-complete*: *finite-complete* \leq *pair-complete*

<proof>

The next one assumes that every nonempty bounded set has a supremum. It is also called the Dedekind completeness.

abbreviation *conditionally-complete* $A \equiv (\lambda X r. \exists b \in A. \text{bound } X r b \wedge X \neq \{\})$ -complete A

lemma *conditionally-complete-imp-nonempty-imp-ex-extreme-bound-iff-ex-bound*:

assumes *comp*: conditionally-complete A r
assumes $X \subseteq A$ **and** $X \neq \{\}$
shows $(\exists s. \text{extreme-bound } A \ r \ X \ s) \longleftrightarrow (\exists b \in A. \text{bound } X \ r \ b)$
 $\langle \text{proof} \rangle$

The ω -completeness condition demands a supremum for an ω -chain.

Directed completeness is an important notion in domain theory [1], asserting that every nonempty directed set has a supremum. Here, a set X is *directed* if any pair of two elements in X has a bound in X .

definition *directed-complete* $\equiv (\lambda X \ r. \text{directed } X \ r \wedge X \neq \{\})\text{-complete}$

lemma *monotone-directed-complete*:

assumes *comp*: directed-complete A r
assumes *fI*: $f \ ' \ I \subseteq A$ **and** *dir*: directed I r **and** *I0*: $I \neq \{\}$ **and** *mono*:
monotone-on I r f
shows $\exists s. \text{extreme-bound } A \ r \ (f \ ' \ I) \ s$
 $\langle \text{proof} \rangle$

lemma (*in reflexive*) *dual-closure-is-directed-complete*:

assumes *comp*: directed-complete A (\sqsubseteq) **and** *bA*: $b \in A$
shows directed-complete $\{X \in A. b \sqsubseteq X\}$ (\sqsubseteq)
 $\langle \text{proof} \rangle$

The next one is quite complete, only the empty set may fail to have a supremum. The terminology follows [3], although there it is defined more generally depending on a cardinal α such that a nonempty set X of cardinality below α has a supremum.

abbreviation *semicomplete* $\equiv (\lambda X \ r. X \neq \{\})\text{-complete}$

lemma *semicomplete-nonempty-imp-extremed*:

semicomplete $A \ r \implies A \neq \{\} \implies \text{extremed } A \ r$
 $\langle \text{proof} \rangle$

lemma *connex-dual-semicomplete*: *semicomplete* $\{C. \text{connex } C \ r\}$ (\supseteq)
 $\langle \text{proof} \rangle$

4.2 Pointed Ones

The term ‘pointed’ refers to the dual notion of toppedness, i.e., there is a global least element. This serves as the supremum of the empty set.

lemma *complete-sup*: $(CC \sqcup CC')\text{-complete } A \ r \longleftrightarrow CC\text{-complete } A \ r \wedge CC'\text{-complete } A \ r$
 $\langle \text{proof} \rangle$

lemma *pointed-directed-complete*:

directed-complete $A \ r \longleftrightarrow \text{directed-complete } A \ r \wedge \text{extremed } A \ r^-$
 $\langle \text{proof} \rangle$

“Bounded complete” refers to pointed conditional complete, but this notion is just the dual of semicompleteness. We prove this later.

abbreviation *bounded-complete* $A \equiv (\lambda X r. \exists b \in A. \text{bound } X r b)$ –complete A

4.3 Relations between Completeness Conditions

context

fixes *less-eq* :: 'a \Rightarrow 'a \Rightarrow bool (**infix** $\langle \sqsubseteq \rangle$ 50)

begin

interpretation *less-eq-dualize* \langle *proof* \rangle

Pair-completeness implies that the universe is directed. Thus, with directed completeness implies toppedness.

proposition *directed-complete-pair-complete-imp-extremed*:

assumes *dc*: directed-complete $A (\sqsubseteq)$ **and** *pc*: pair-complete $A (\sqsubseteq)$ **and** $A: A \neq \{\}$

shows *extremed* $A (\sqsubseteq)$

\langle *proof* \rangle

Semicomplete is conditional complete and topped.

proposition *semicomplete-iff-conditionally-complete-extremed*:

assumes $A: A \neq \{\}$

shows *semicomplete* $A (\sqsubseteq) \longleftrightarrow$ *conditionally-complete* $A (\sqsubseteq) \wedge$ *extremed* $A (\sqsubseteq)$

(**is** ?l \longleftrightarrow ?r)

\langle *proof* \rangle

proposition *complete-iff-pointed-semicomplete*:

\top –complete $A (\sqsubseteq) \longleftrightarrow$ *semicomplete* $A (\sqsubseteq) \wedge$ *extremed* $A (\sqsupseteq)$ (**is** ?l \longleftrightarrow ?r)

\langle *proof* \rangle

Conditional completeness only lacks top and bottom to be complete.

proposition *complete-iff-conditionally-complete-extremed-pointed*:

\top –complete $A (\sqsubseteq) \longleftrightarrow$ *conditionally-complete* $A (\sqsubseteq) \wedge$ *extremed* $A (\sqsubseteq) \wedge$ *extremed* $A (\sqsupseteq)$

\langle *proof* \rangle

If the universe is directed, then every pair is bounded, and thus has a supremum. On the other hand, supremum gives an upper bound, witnessing directedness.

proposition *conditionally-complete-imp-pair-complete-iff-directed*:

assumes *comp*: conditionally-complete $A (\sqsubseteq)$

shows *pair-complete* $A (\sqsubseteq) \longleftrightarrow$ *directed* $A (\sqsubseteq)$ (**is** ?l \longleftrightarrow ?r)

\langle *proof* \rangle

end

4.4 Duality of Completeness Conditions

Conditional completeness is symmetric.

context fixes *less-eq* :: 'a ⇒ 'a ⇒ bool (**infix** \sqsubseteq 50)
begin

interpretation *less-eq-dualize*$\langle proof \rangle$

lemma *conditionally-complete-dual*:

assumes *comp*: conditionally-complete A (\sqsubseteq) **shows** conditionally-complete A (\supseteq)
$\langle proof \rangle$

Full completeness is symmetric.

lemma *complete-dual*:

\top -complete A (\sqsubseteq) \implies \top -complete A (\supseteq)
$\langle proof \rangle$

Now we show that bounded completeness is the dual of semicompleteness.

lemma *bounded-complete-iff-pointed-conditionally-complete*:

assumes A: A ≠ {}
shows bounded-complete A (\sqsubseteq) \longleftrightarrow conditionally-complete A (\sqsubseteq) ∧ extremed A (\supseteq)
$\langle proof \rangle$

proposition *bounded-complete-iff-dual-semicomplete*:

bounded-complete A (\sqsubseteq) \longleftrightarrow semicomplete A (\supseteq)
$\langle proof \rangle$

lemma *bounded-complete-imp-conditionally-complete*:

assumes bounded-complete A (\sqsubseteq) **shows** conditionally-complete A (\sqsubseteq)
$\langle proof \rangle$

Completeness in downward-closure:

lemma *conditionally-complete-imp-semicomplete-in-dual-closure*:

assumes A: conditionally-complete A (\sqsubseteq) **and** bA: b ∈ A
shows semicomplete {a ∈ A. a \sqsubseteq b} (\supseteq)
$\langle proof \rangle$

end

Completeness in intervals:

lemma *conditionally-complete-imp-complete-in-interval*:

fixes *less-eq* (**infix** \sqsubseteq 50)
assumes *comp*: conditionally-complete A (\sqsubseteq) **and** aA: a ∈ A **and** bA: b ∈ A
and aa: a \sqsubseteq a **and** ab: a \sqsubseteq b
shows \top -complete {x ∈ A. a \sqsubseteq x ∧ x \sqsubseteq b} (\sqsubseteq)
$\langle proof \rangle$

lemmas *connex-bounded-complete* = *connex-dual-semicomplete*[*folded bounded-complete-iff-dual-semicomplete*]

4.5 Completeness Results Requiring Order-Like Properties

Above results hold without any assumption on the relation. This part demands some order-like properties.

It is well known that in a semilattice, i.e., a pair-complete partial order, every finite nonempty subset of elements has a supremum. We prove the result assuming transitivity, but only that.

lemma (in *transitive*) *pair-complete-iff-finite-complete*:

pair-complete $A (\sqsubseteq) \longleftrightarrow$ *finite-complete* $A (\sqsubseteq)$ (is ?l \longleftrightarrow ?r)

<proof>

Gierz et al. [9] showed that a directed complete partial order is semicomplete if and only if it is also a semilattice. We generalize the claim so that the underlying relation is only transitive.

proposition(in *transitive*) *semicomplete-iff-directed-complete-pair-complete*:

semicomplete $A (\sqsubseteq) \longleftrightarrow$ *directed-complete* $A (\sqsubseteq) \wedge$ *pair-complete* $A (\sqsubseteq)$ (is ?l \longleftrightarrow ?r)

<proof>

The last argument in the above proof requires transitivity, but if we had reflexivity then x itself is a supremum of $\{x\}$ (see \llbracket *reflexive* ?A ?less-eq; ?x \in ?A $\rrbracket \implies$ *extreme-bound* ?A ?less-eq $\{?x\}$?x) and so $x \sqsubseteq s$ would be immediate. Thus we can replace transitivity by reflexivity, but then pair-completeness does not imply finite completeness. We obtain the following result.

proposition (in *reflexive*) *semicomplete-iff-directed-complete-finite-complete*:

semicomplete $A (\sqsubseteq) \longleftrightarrow$ *directed-complete* $A (\sqsubseteq) \wedge$ *finite-complete* $A (\sqsubseteq)$ (is ?l \longleftrightarrow ?r)

<proof>

4.6 Relating to Classes

Isabelle's class *complete-lattice* is \top -complete.

lemma (in *complete-lattice*) \top -complete *UNIV* (\leq)

<proof>

4.7 Set-wise Completeness

lemma *Pow-extreme-bound*: $X \subseteq \text{Pow } A \implies$ *extreme-bound* $(\text{Pow } A) (\subseteq) X (\bigcup X)$

<proof>

lemma *Pow-complete*: \mathcal{C} -complete $(\text{Pow } A) (\subseteq)$

<proof>

lemma *directed-directed-Un*:
assumes *ch*: $XX \subseteq \{X. \text{directed } X \ r\}$ **and** *dir*: *directed* $XX \ (\subseteq)$
shows *directed* $(\bigcup XX) \ r$
 $\langle \text{proof} \rangle$

lemmas *directed-connex-Un = directed-directed-Un*[*OF - connex.directed*]

lemma *directed-sets-directed-complete*:
assumes *cl*: $\forall DC. DC \subseteq AA \longrightarrow (\forall X \in DC. \text{directed } X \ r) \longrightarrow (\bigcup DC) \in AA$
shows *directed-complete* $\{X \in AA. \text{directed } X \ r\} \ (\subseteq)$
 $\langle \text{proof} \rangle$

lemma *connex-directed-Un*:
assumes *ch*: $CC \subseteq \{C. \text{connex } C \ r\}$ **and** *dir*: *directed* $CC \ (\subseteq)$
shows *connex* $(\bigcup CC) \ r$
 $\langle \text{proof} \rangle$

lemma *connex-is-directed-complete*: *directed-complete* $\{C. C \subseteq A \wedge \text{connex } C \ r\}$
 (\subseteq)
 $\langle \text{proof} \rangle$

lemma (**in** *well-ordered-set*) *well-ordered-set-insert*:
assumes *aA*: *total-ordered-set* (*insert a A*) (\sqsubseteq)
shows *well-ordered-set* (*insert a A*) (\sqsubseteq)
 $\langle \text{proof} \rangle$

The following should be true in general, but here we use antisymmetry to avoid the axiom of choice.

lemma (**in** *antisymmetric*) *pointwise-connex-complete*:
assumes *comp*: *connex-complete* $A \ (\sqsubseteq)$
shows *connex-complete* $\{f. f \ ' X \subseteq A\}$ (*pointwise* $X \ (\sqsubseteq)$)
 $\langle \text{proof} \rangle$

Our supremum/infimum coincides with those of Isabelle's *complete-lattice*.

lemma *complete-UNIV*: \top -*complete* (*UNIV::'a::complete-lattice set*) (\leq)
 $\langle \text{proof} \rangle$

context
fixes $X :: 'a :: \text{complete-lattice set}$
begin

lemma *supremum-Sup*: *supremum* $X \ (\bigsqcup X)$
 $\langle \text{proof} \rangle$

lemmas *Sup-eq-The-supremum = order.dual.eq-The-extreme*[*OF supremum-Sup*]

lemma *supremum-eq-Sup*: *supremum* $X \ x \longleftrightarrow \bigsqcup X = x$
 $\langle \text{proof} \rangle$

lemma *infimum-Inf*:
shows *infimum* X $(\sqcap X)$
 \langle *proof* \rangle

lemmas *Inf-eq-The-infimum* = *order.eq-The-extreme*[*OF infimum-Inf*]

lemma *infimum-eq-Inf*: *infimum* X $x \longleftrightarrow \sqcap X = x$
 \langle *proof* \rangle

end

end

theory *Fixed-Points*
imports *Complete-Relations Directedness*
begin

5 Existence of Fixed Points in Complete Related Sets

The following proof is simplified and generalized from Stouti–Maaden [22]. We construct some set whose extreme bounds – if they exist, typically when the underlying related set is complete – are fixed points of a monotone or inflationary function on any related set. When the related set is attractive, those are actually the least fixed points. This generalizes [22], relaxing reflexivity and antisymmetry.

locale *fixed-point-proof* = *related-set* +
fixes f
assumes $f \text{ ' } A \subseteq A$
begin

sublocale *less-eq-asymmetrize* \langle *proof* \rangle

definition *AA* **where** $AA \equiv$
 $\{X. X \subseteq A \wedge f \text{ ' } X \subseteq X \wedge (\forall Y s. Y \subseteq X \longrightarrow \textit{extreme-bound } A (\sqsubseteq) Y s \longrightarrow s \in X)\}$

lemma *AA-I*:
 $X \subseteq A \Longrightarrow f \text{ ' } X \subseteq X \Longrightarrow (\bigwedge Y s. Y \subseteq X \Longrightarrow \textit{extreme-bound } A (\sqsubseteq) Y s \Longrightarrow s \in X) \Longrightarrow X \in AA$
 \langle *proof* \rangle

lemma *AA-E*:
 $X \in AA \Longrightarrow$
 $(X \subseteq A \Longrightarrow f \text{ ' } X \subseteq X \Longrightarrow (\bigwedge Y s. Y \subseteq X \Longrightarrow \textit{extreme-bound } A (\sqsubseteq) Y s \Longrightarrow s \in X) \Longrightarrow \textit{thesis}) \Longrightarrow \textit{thesis}$

<proof>

definition C where $C \equiv \bigcap AA$

lemma $A-AA$: $A \in AA$ *<proof>*

lemma $C-AA$: $C \in AA$
<proof>

lemma CA : $C \subseteq A$ *<proof>*

lemma fC : $f \text{ ' } C \subseteq C$ *<proof>*

context

fixes c **assumes** Cc : *extreme-bound* A (\sqsubseteq) C c
begin

private lemma cA : $c \in A$ *<proof>* **lemma** cC : $c \in C$ *<proof>* **lemma** fcC : $f c \in C$ *<proof>* **lemma** fcA : $f c \in A$ *<proof>*

lemma *qfp-as-extreme-bound*:

assumes *infl-mono*: $\forall x \in A. x \sqsubseteq f x \vee (\forall y \in A. y \sqsubseteq x \longrightarrow f y \sqsubseteq f x)$
shows $f c \sim c$
<proof>

lemma *extreme-qfp*:

assumes *attract*: $\forall q \in A. \forall x \in A. f q \sim q \longrightarrow x \sqsubseteq f q \longrightarrow x \sqsubseteq q$
and *mono*: *monotone-on* A (\sqsubseteq) (\sqsubseteq) f
shows *extreme* $\{q \in A. f q \sim q \vee f q = q\}$ (\exists) c
<proof>

end

lemma *ex-qfp*:

assumes *comp*: *CC-complete* A (\sqsubseteq) **and** C : *CC* C (\sqsubseteq)
and *infl-mono*: $\forall a \in A. a \sqsubseteq f a \vee (\forall b \in A. b \sqsubseteq a \longrightarrow f b \sqsubseteq f a)$
shows $\exists s \in A. f s \sim s$
<proof>

lemma *ex-extreme-qfp-fp*:

assumes *comp*: *CC-complete* A (\sqsubseteq) **and** C : *CC* C (\sqsubseteq)
and *attract*: $\forall q \in A. \forall x \in A. f q \sim q \longrightarrow x \sqsubseteq f q \longrightarrow x \sqsubseteq q$
and *mono*: *monotone-on* A (\sqsubseteq) (\sqsubseteq) f
shows $\exists c. \text{extreme } \{q \in A. f q \sim q \vee f q = q\}$ (\exists) c
<proof>

lemma *ex-extreme-qfp*:

assumes *comp*: *CC-complete* A (\sqsubseteq) **and** C : *CC* C (\sqsubseteq)
and *attract*: $\forall q \in A. \forall x \in A. f q \sim q \longrightarrow x \sqsubseteq f q \longrightarrow x \sqsubseteq q$

and *mono: monotone-on* A (\sqsubseteq) (\sqsubseteq) f
shows $\exists c. \text{extreme } \{q \in A. f\ q \sim q\} (\exists)\ c$
 $\langle \text{proof} \rangle$

end

context

fixes *less-eq* $:: 'a \Rightarrow 'a \Rightarrow \text{bool}$ (**infix** $\langle \sqsubseteq \rangle$ 50) **and** $A :: 'a \text{ set}$ **and** f
assumes $f: f\ 'A \subseteq A$

begin

interpretation *less-eq-symmetrize* $\langle \text{proof} \rangle$

interpretation *fixed-point-proof* A (\sqsubseteq) f $\langle \text{proof} \rangle$

theorem *complete-infl-mono-imp-ex-qfp*:

assumes *comp: \top -complete* A (\sqsubseteq) **and** *infl-mono*: $\forall a \in A. a \sqsubseteq f\ a \vee (\forall b \in A. b \sqsubseteq a \longrightarrow f\ b \sqsubseteq f\ a)$

shows $\exists s \in A. f\ s \sim s$

$\langle \text{proof} \rangle$

end

corollary (**in antisymmetric**) *complete-infl-mono-imp-ex-fp*:

assumes *comp: \top -complete* A (\sqsubseteq) **and** $f: f\ 'A \subseteq A$

and *infl-mono*: $\forall a \in A. a \sqsubseteq f\ a \vee (\forall b \in A. b \sqsubseteq a \longrightarrow f\ b \sqsubseteq f\ a)$

shows $\exists s \in A. f\ s = s$

$\langle \text{proof} \rangle$

context *semiattractive* **begin**

interpretation *less-eq-symmetrize* $\langle \text{proof} \rangle$

theorem *complete-mono-imp-ex-extreme-qfp*:

assumes *comp: \top -complete* A (\sqsubseteq) **and** $f: f\ 'A \subseteq A$

and *mono: monotone-on* A (\sqsubseteq) (\sqsubseteq) f

shows $\exists s. \text{extreme } \{p \in A. f\ p \sim p\} (\exists)\ s$

$\langle \text{proof} \rangle$

end

corollary (**in antisymmetric**) *complete-mono-imp-ex-extreme-fp*:

assumes *comp: \top -complete* A (\sqsubseteq) **and** $f: f\ 'A \subseteq A$

and *mono: monotone-on* A (\sqsubseteq) (\sqsubseteq) f

shows $\exists s. \text{extreme } \{s \in A. f\ s = s\} (\exists)^- s$

$\langle \text{proof} \rangle$

6 Fixed Points in Well-Complete Antisymmetric Sets

In this section, we prove that an inflationary or monotone map over a well-complete antisymmetric set has a fixed point.

In order to formalize such a theorem in Isabelle, we followed Grall's [11] elementary proof for Bourbaki–Witt and Markowsky's theorems. His idea is to consider well-founded derivation trees over A , where from a set $C \subseteq A$ of premises one can derive $f(\bigsqcup C)$ if C is a chain. The main observation is as follows: Let D be the set of all the derivable elements; that is, for each $d \in D$ there exists a well-founded derivation whose root is d . It is shown that D is a chain, and hence one can build a derivation yielding $f(\bigsqcup D)$, and $f(\bigsqcup D)$ is shown to be a fixed point.

lemma *bound-monotone-on*:

assumes *mono*: monotone-on A r s f **and** XA : $X \subseteq A$ **and** aA : $a \in A$ **and** rXa :
bound X r a

shows *bound* $(f'X)$ s $(f a)$

<proof>

context *fixed-point-proof* **begin**

To avoid the usage of the axiom of choice, we carefully define derivations so that any derivable element determines its lower set. This led to the following definition:

definition *derivation* $X \equiv X \subseteq A \wedge$ *well-ordered-set* X $(\sqsubseteq) \wedge$

$(\forall x \in X. \text{let } Y = \{y \in X. y \sqsubset x\} \text{ in}$

$(\exists y. \text{extreme } Y$ $(\sqsubseteq) y \wedge x = f y) \vee$

$f' Y \subseteq Y \wedge \text{extreme-bound } A$ $(\sqsubseteq) Y x)$

lemma *empty-derivation*: *derivation* $\{\}$ *<proof>*

lemma **assumes** *derivation* P

shows *derivation-A*: $P \subseteq A$ **and** *derivation-well-ordered*: *well-ordered-set* P (\sqsubseteq)

<proof>

lemma *derivation-cases*[*consumes 2, case-names suc lim*]:

assumes *derivation* X **and** $x \in X$

and $\bigwedge Y y. Y = \{y \in X. y \sqsubset x\} \implies \text{extreme } Y$ $(\sqsubseteq) y \implies x = f y \implies$ *thesis*

and $\bigwedge Y. Y = \{y \in X. y \sqsubset x\} \implies f' Y \subseteq Y \implies \text{extreme-bound } A$ $(\sqsubseteq) Y x$
 \implies *thesis*

shows *thesis*

<proof>

definition *derivable* $x \equiv \exists X. \text{derivation } X \wedge x \in X$

lemma *derivableI*[*intro?*]: *derivation* $X \implies x \in X \implies \text{derivable } x$ *<proof>*

lemma *derivableE*: $\text{derivable } x \implies (\bigwedge P. \text{derivation } P \implies x \in P \implies \text{thesis}) \implies \text{thesis}$

<proof>

lemma *derivable-A*: $\text{derivable } x \implies x \in A$ *<proof>*

lemma *UN-derivations-eq-derivable*: $(\bigcup \{P. \text{derivation } P\}) = \{x. \text{derivable } x\}$

<proof>

end

locale *fixed-point-proof2* = *fixed-point-proof* + *antisymmetric* +

assumes *derivation-inft*: $\forall X x y. \text{derivation } X \longrightarrow x \in X \longrightarrow y \in X \longrightarrow x \sqsubseteq y \longrightarrow x \sqsubseteq f y$

and *derivation-f-refl*: $\forall X x. \text{derivation } X \longrightarrow x \in X \longrightarrow f x \sqsubseteq f x$

begin

lemma *derivation-lim*:

assumes *P*: *derivation* *P* **and** *fP*: $f \text{ ` } P \subseteq P$ **and** *Pp*: *extreme-bound* *A* (\sqsubseteq) *P* *p*

shows *derivation* $(P \cup \{p\})$

<proof>

lemma *derivation-suc*:

assumes *P*: *derivation* *P* **and** *Pp*: *extreme* *P* (\sqsubseteq) *p* **shows** *derivation* $(P \cup \{f p\})$

<proof>

lemma *derivable-closed*:

assumes *x*: *derivable* *x* **shows** *derivable* $(f x)$

<proof>

The following lemma is derived from Grall's proof. We simplify the claim so that we consider two elements from one derivation, instead of two derivations.

lemma *derivation-useful*:

assumes *X*: *derivation* *X* **and** *xX*: $x \in X$ **and** *yX*: $y \in X$ **and** *xy*: $x \sqsubseteq y$

shows $f x \sqsubseteq y$

<proof>

Next one is the main lemma of this section, stating that elements from two possibly different derivations are comparable, and moreover the lower one is in the derivation of the upper one. The latter claim, not found in Grall's proof, is crucial in proving that the union of all derivations is well-related.

lemma *derivations-cross-compare*:

assumes *X*: *derivation* *X* **and** *Y*: *derivation* *Y* **and** *xX*: $x \in X$ **and** *yY*: $y \in Y$

shows $(x \sqsubseteq y \wedge x \in Y) \vee x = y \vee (y \sqsubseteq x \wedge y \in X)$

<proof>

sublocale *derivable: well-ordered-set* $\{x. \text{derivable } x\}$ (\sqsubseteq)
<proof>

lemma *pred-unique:*

assumes $X: \text{derivation } X$ **and** $xX: x \in X$

shows $\{z \in X. z \sqsubset x\} = \{z. \text{derivable } z \wedge z \sqsubset x\}$

<proof>

The set of all derivable elements is itself a derivation.

lemma *derivation-derivable: derivation* $\{x. \text{derivable } x\}$

<proof>

Finally, if the set of all derivable elements admits a supremum, then it is a fixed point.

context

fixes p

assumes $p: \text{extreme-bound } A$ (\sqsubseteq) $\{x. \text{derivable } x\}$ p

begin

lemma *sup-derivable-derivable: derivable* p

<proof> **lemmas** $\text{sup } p = \text{sup-derivable-derivable}$ [*THEN derivable-closed*]

lemma *sup-derivable-prefixed: f p* $\sqsubseteq p$ *<proof>*

lemma *sup-derivable-postfixed: p* $\sqsubseteq f p$

<proof>

lemma *sup-derivable-qfp: f p* $\sim p$

<proof>

lemma *sup-derivable-fp: f p* $= p$

<proof>

end

end

The assumptions are satisfied by monotone functions.

context *fixed-point-proof* **begin**

context

assumes $\text{ord: antisymmetric } A$ (\sqsubseteq)

begin

interpretation *antisymmetric* *<proof>*

context

assumes $\text{mono: monotone-on } A$ (\sqsubseteq) (\sqsubseteq) f

begin

interpretation *fixed-point-proof2*

<proof>

lemmas *mono-imp-fixed-point-proof2 = fixed-point-proof2-axioms*

corollary *mono-imp-sup-derivable-fp:*

assumes *p: extreme-bound A (\sqsubseteq) {x. derivable x} p*

shows *f p = p*

<proof>

lemma *mono-imp-sup-derivable-lfp:*

assumes *p: extreme-bound A (\sqsubseteq) {x. derivable x} p*

shows *extreme {q ∈ A. f q = q} (\sqsupseteq) p*

<proof>

lemma *mono-imp-ex-least-fp:*

assumes *comp: well-related-set-complete A (\sqsubseteq)*

shows *$\exists p. \text{extreme } \{q \in A. f q = q\} (\sqsupseteq) p$*

<proof>

end

end

end

Bourbaki-Witt Theorem on well-complete pseudo-ordered set:

theorem (*in pseudo-ordered-set*) *well-complete-infl'-imp-ex-fp:*

assumes *comp: well-related-set-complete A (\sqsubseteq)*

and *f: f ' A ⊆ A and infl: $\forall x \in A. \forall y \in A. x \sqsubseteq y \longrightarrow x \sqsubseteq f y$*

shows *$\exists p \in A. f p = p$*

<proof>

Bourbaki-Witt Theorem on posets:

corollary (*in partially-ordered-set*) *well-complete-infl-imp-ex-fp:*

assumes *comp: well-related-set-complete A (\sqsubseteq)*

and *f: f ' A ⊆ A and infl: $\forall x \in A. x \sqsubseteq f x$*

shows *$\exists p \in A. f p = p$*

<proof>

7 Completeness of (Quasi-)Fixed Points

We now prove that, under attractivity, the set of quasi-fixed points is complete.

definition *setwise where setwise r X Y $\equiv \forall x \in X. \forall y \in Y. r x y$*

lemmas *setwiseI[intro] = setwise-def[unfolded atomize-eq, THEN iffD2, rule-format]*

lemmas $setwiseE[elim] = setwise-def[unfolded\ atomize-eq, THEN\ iffD1, elim-format, rule-format]$

context *fixed-point-proof* **begin**

abbreviation *setwise-less-eq* (**infix** $\langle \sqsubseteq^s \rangle$ 50) **where** $(\sqsubseteq^s) \equiv setwise\ (\sqsubseteq)$

7.1 Least Quasi-Fixed Points for Attractive Relations.

lemma *attract-mono-imp-least-qfp*:

assumes *attract*: *attractive* $A\ (\sqsubseteq)$
and *comp*: *well-related-set-complete* $A\ (\sqsubseteq)$
and *mono*: *monotone-on* $A\ (\sqsubseteq)\ (\sqsubseteq)\ f$
shows $\exists c. extreme\ \{p \in A. f\ p \sim p \vee f\ p = p\}\ (\sqsubseteq)\ c \wedge f\ c \sim c$
 $\langle proof \rangle$

7.2 General Completeness

lemma *attract-mono-imp-fp-qfp-complete*:

assumes *attract*: *attractive* $A\ (\sqsubseteq)$
and *comp*: *CC-complete* $A\ (\sqsubseteq)$
and *wr-CC*: $\forall C \subseteq A. well-related-set\ C\ (\sqsubseteq) \longrightarrow CC\ C\ (\sqsubseteq)$
and *extend*: $\forall X\ Y. CC\ X\ (\sqsubseteq) \longrightarrow CC\ Y\ (\sqsubseteq) \longrightarrow X\ \sqsubseteq^s\ Y \longrightarrow CC\ (X \cup Y)$
 (\sqsubseteq)
and *mono*: *monotone-on* $A\ (\sqsubseteq)\ (\sqsubseteq)\ f$
and $P: P \subseteq \{x \in A. f\ x = x\}$
shows *CC-complete* $(\{q \in A. f\ q \sim q\} \cup P)\ (\sqsubseteq)$
 $\langle proof \rangle$

lemma *attract-mono-imp-qfp-complete*:

assumes *attractive* $A\ (\sqsubseteq)$
and *CC-complete* $A\ (\sqsubseteq)$
and $\forall C \subseteq A. well-related-set\ C\ (\sqsubseteq) \longrightarrow CC\ C\ (\sqsubseteq)$
and $\forall X\ Y. CC\ X\ (\sqsubseteq) \longrightarrow CC\ Y\ (\sqsubseteq) \longrightarrow X\ \sqsubseteq^s\ Y \longrightarrow CC\ (X \cup Y)\ (\sqsubseteq)$
and *monotone-on* $A\ (\sqsubseteq)\ (\sqsubseteq)\ f$
shows *CC-complete* $\{p \in A. f\ p \sim p\}\ (\sqsubseteq)$
 $\langle proof \rangle$

lemma *antisym-mono-imp-fp-complete*:

assumes *anti*: *antisymmetric* $A\ (\sqsubseteq)$
and *comp*: *CC-complete* $A\ (\sqsubseteq)$
and *wr-CC*: $\forall C \subseteq A. well-related-set\ C\ (\sqsubseteq) \longrightarrow CC\ C\ (\sqsubseteq)$
and *extend*: $\forall X\ Y. CC\ X\ (\sqsubseteq) \longrightarrow CC\ Y\ (\sqsubseteq) \longrightarrow X\ \sqsubseteq^s\ Y \longrightarrow CC\ (X \cup Y)$
 (\sqsubseteq)
and *mono*: *monotone-on* $A\ (\sqsubseteq)\ (\sqsubseteq)\ f$
shows *CC-complete* $\{p \in A. f\ p = p\}\ (\sqsubseteq)$
 $\langle proof \rangle$

end

7.3 Instances

7.3.1 Instances under attractivity

context *attractive* **begin**

interpretation *less-eq-symmetrize*(*proof*)

Full completeness

theorem *mono-imp-qfp-complete*:

assumes *comp*: \top -complete A (\sqsubseteq) **and** $f: f' A \subseteq A$ **and** *mono*: monotone-on A (\sqsubseteq) (\sqsubseteq) f
shows \top -complete $\{p \in A. f p \sim p\}$ (\sqsubseteq)
(*proof*)

Connex completeness

theorem *mono-imp-qfp-connex-complete*:

assumes *comp*: connex-complete A (\sqsubseteq)
and $f: f' A \subseteq A$ **and** *mono*: monotone-on A (\sqsubseteq) (\sqsubseteq) f
shows connex-complete $\{p \in A. f p \sim p\}$ (\sqsubseteq)
(*proof*)

Directed completeness

theorem *mono-imp-qfp-directed-complete*:

assumes *comp*: directed-complete A (\sqsubseteq)
and $f: f' A \subseteq A$ **and** *mono*: monotone-on A (\sqsubseteq) (\sqsubseteq) f
shows directed-complete $\{p \in A. f p \sim p\}$ (\sqsubseteq)
(*proof*)

Well Completeness

theorem *mono-imp-qfp-well-complete*:

assumes *comp*: well-related-set-complete A (\sqsubseteq)
and $f: f' A \subseteq A$ **and** *mono*: monotone-on A (\sqsubseteq) (\sqsubseteq) f
shows well-related-set-complete $\{p \in A. f p \sim p\}$ (\sqsubseteq)
(*proof*)

end

7.3.2 Usual instances under antisymmetry

context *antisymmetric* **begin**

Knaster–Tarski

theorem *mono-imp-fp-complete*:

assumes *comp*: \top -complete A (\sqsubseteq)
and $f: f' A \subseteq A$ **and** *mono*: monotone-on A (\sqsubseteq) (\sqsubseteq) f
shows \top -complete $\{p \in A. f p = p\}$ (\sqsubseteq)
(*proof*)

Markowsky 1976

theorem *mono-imp-fp-connex-complete*:
assumes *comp*: *connex-complete* A (\sqsubseteq)
and $f: f' A \subseteq A$ **and** *mono*: *monotone-on* A (\sqsubseteq) (\sqsubseteq) f
shows *connex-complete* $\{p \in A. f p = p\}$ (\sqsubseteq)
 \langle *proof* \rangle

Pataraia

theorem *mono-imp-fp-directed-complete*:
assumes *comp*: *directed-complete* A (\sqsubseteq)
and $f: f' A \subseteq A$ **and** *mono*: *monotone-on* A (\sqsubseteq) (\sqsubseteq) f
shows *directed-complete* $\{p \in A. f p = p\}$ (\sqsubseteq)
 \langle *proof* \rangle

Bhatta & George 2011

theorem *mono-imp-fp-well-complete*:
assumes *comp*: *well-related-set-complete* A (\sqsubseteq)
and $f: f' A \subseteq A$ **and** *mono*: *monotone-on* A (\sqsubseteq) (\sqsubseteq) f
shows *well-related-set-complete* $\{p \in A. f p = p\}$ (\sqsubseteq)
 \langle *proof* \rangle

end

end

theory *Continuity*

imports *Complete-Relations*

begin

7.4 Scott Continuity, ω -Continuity

In this Section, we formalize Scott continuity and ω -continuity. We then prove that a Scott continuous map is ω -continuous and that an ω -continuous map is “nearly” monotone.

definition *continuous* (\langle *continuous* \rangle [1000]1000) **where**
C-continuous A (\sqsubseteq) B (\preceq) $f \equiv$
 $f' A \subseteq B \wedge$
 $(\forall X s. \mathcal{C} X$ (\sqsubseteq) $\longrightarrow X \neq \{\}$ $\longrightarrow X \subseteq A \longrightarrow$ *extreme-bound* A (\sqsubseteq) $X s \longrightarrow$
extreme-bound B (\preceq) $(f'X)$ $(f s)$)
for leA (**infix** \langle \sqsubseteq \rangle 50) **and** leB (**infix** \langle \preceq \rangle 50)

lemmas *continuousI*[*intro?*] =
continuous-def[*unfolded atomize-eq*, *THEN iffD2*, *unfolded conj-imp-eq-imp-imp*,
rule-format]

lemmas *continuousE* =
continuous-def[*unfolded atomize-eq*, *THEN iffD1*, *elim-format*, *unfolded conj-imp-eq-imp-imp*,
rule-format]

lemma

fixes *prec-eq* (**infix** \langle \preceq \rangle 50) **and** *less-eq* (**infix** \langle \sqsubseteq \rangle 50)

assumes \mathcal{C} -continuous $I (\preceq) A (\sqsubseteq) f$
shows continuous-carrier $D[dest]: f \cdot I \subseteq A$
and continuous $D: \mathcal{C} X (\preceq) \implies X \neq \{\} \implies X \subseteq I \implies$ extreme-bound $I (\preceq)$
 $X b \implies$ extreme-bound $A (\sqsubseteq) (f \cdot X) (f b)$
 $\langle proof \rangle$

lemma continuous-comp:

fixes leA (**infix** $\langle \sqsubseteq_A \rangle 50$) **and** leB (**infix** $\langle \sqsubseteq_B \rangle 50$) **and** leC (**infix** $\langle \sqsubseteq_C \rangle 50$)
assumes $KfL: \forall X \subseteq A. \mathcal{K} X (\sqsubseteq_A) \longrightarrow \mathcal{L} (f \cdot X) (\sqsubseteq_B)$
assumes $f: \mathcal{K}$ -continuous $A (\sqsubseteq_A) B (\sqsubseteq_B) f$ **and** $g: \mathcal{L}$ -continuous $B (\sqsubseteq_B) C (\sqsubseteq_C) g$
shows \mathcal{K} -continuous $A (\sqsubseteq_A) C (\sqsubseteq_C) (g \circ f)$
 $\langle proof \rangle$

lemma continuous-comp-top:

fixes leA (**infix** $\langle \sqsubseteq_A \rangle 50$) **and** leB (**infix** $\langle \sqsubseteq_B \rangle 50$) **and** leC (**infix** $\langle \sqsubseteq_C \rangle 50$)
assumes $f: \mathcal{K}$ -continuous $A (\sqsubseteq_A) B (\sqsubseteq_B) f$ **and** $g: \top$ -continuous $B (\sqsubseteq_B) C (\sqsubseteq_C) g$
shows \mathcal{K} -continuous $A (\sqsubseteq_A) C (\sqsubseteq_C) (g \circ f)$
 $\langle proof \rangle$

lemma id-continuous:

fixes leA (**infix** $\langle \sqsubseteq_A \rangle 50$)
shows \mathcal{K} -continuous $A (\sqsubseteq_A) A (\sqsubseteq_A) (\lambda x. x)$
 $\langle proof \rangle$

lemma cst-continuous:

fixes leA (**infix** $\langle \sqsubseteq_A \rangle 50$) **and** leB (**infix** $\langle \sqsubseteq_B \rangle 50$)
assumes $b \in B$ **and** $bb: b \sqsubseteq_B b$
shows \mathcal{K} -continuous $A (\sqsubseteq_A) B (\sqsubseteq_B) (\lambda x. b)$
 $\langle proof \rangle$

lemma continuous-cmono:

assumes $CD: \mathcal{C} \leq \mathcal{D}$ **shows** \mathcal{D} -continuous $\leq \mathcal{C}$ -continuous
 $\langle proof \rangle$

context

fixes $prec\text{-}eq :: 'i \Rightarrow 'i \Rightarrow bool$ (**infix** $\langle \preceq \rangle 50$) **and** $less\text{-}eq :: 'a \Rightarrow 'a \Rightarrow bool$
(**infix** $\langle \sqsubseteq \rangle 50$)
begin

lemma continuous-subclass:

assumes $CD: \forall X \subseteq I. X \neq \{\} \longrightarrow \mathcal{C} X (\preceq) \longrightarrow \mathcal{D} X (\preceq)$ **and** $cont: \mathcal{D}$ -continuous
 $I (\preceq) A (\sqsubseteq) f$
shows \mathcal{C} -continuous $I (\preceq) A (\sqsubseteq) f$
 $\langle proof \rangle$

lemma chain-continuous-imp-well-continuous:

assumes *cont*: *connex-continuous* $I (\preceq) A (\sqsubseteq) f$
shows *well-related-set-continuous* $I (\preceq) A (\sqsubseteq) f$
 $\langle proof \rangle$

lemma *well-continuous-imp-omega-continuous*:
assumes *cont*: *well-related-set-continuous* $I (\preceq) A (\sqsubseteq) f$
shows *omega-chain-continuous* $I (\preceq) A (\sqsubseteq) f$
 $\langle proof \rangle$

end

abbreviation *scott-continuous* $I (\preceq) \equiv$ *directed-set-continuous* $I (\preceq)$
for *prec-eq* (**infix** \prec) 50)

lemma *scott-continuous-imp-well-continuous*:
fixes *prec-eq* :: $'i \Rightarrow 'i \Rightarrow bool$ (**infix** \prec) 50) **and** *less-eq* :: $'a \Rightarrow 'a \Rightarrow bool$
(**infix** \sqsubseteq) 50)
assumes *cont*: *scott-continuous* $I (\preceq) A (\sqsubseteq) f$
shows *well-related-set-continuous* $I (\preceq) A (\sqsubseteq) f$
 $\langle proof \rangle$

lemmas *scott-continuous-imp-omega-continuous* =
scott-continuous-imp-well-continuous[*THEN well-continuous-imp-omega-continuous*]

7.4.1 Continuity implies monotonicity

lemma *continuous-imp-mono-refl*:
fixes *prec-eq* (**infix** \prec) 50) **and** *less-eq* (**infix** \sqsubseteq) 50)
assumes *cont*: *C-continuous* $I (\preceq) A (\sqsubseteq) f$ **and** $xyC: C \{x,y\} (\preceq)$
and $xy: x \preceq y$ **and** $yy: y \preceq y$
and $x: x \in I$ **and** $y: y \in I$
shows $f x \sqsubseteq f y$
 $\langle proof \rangle$

lemma *omega-continuous-imp-mono-refl*:
fixes *prec-eq* (**infix** \prec) 50) **and** *less-eq* (**infix** \sqsubseteq) 50)
assumes *cont*: *omega-chain-continuous* $I (\preceq) A (\sqsubseteq) f$
and $xx: x \preceq x$ **and** $xy: x \preceq y$ **and** $yy: y \preceq y$
and $x: x \in I$ **and** $y: y \in I$
shows $f x \sqsubseteq f y$
 $\langle proof \rangle$

context *reflexive* **begin**

lemma *continuous-imp-monotone-on*:
fixes *leB* (**infix** \preceq) 50)
assumes *cont*: *C-continuous* $A (\sqsubseteq) B (\preceq) f$
and $II: \forall i \in A. \forall j \in A. i \sqsubseteq j \longrightarrow C \{i,j\} (\preceq)$
shows *monotone-on* $A (\sqsubseteq) (\preceq) f$

<proof>

lemma *well-complete-imp-monotone-on:*

fixes *leB* (infix \leq) 50)

assumes *cont: well-related-set-continuous* $A \sqsubseteq B \leq f$

shows *monotone-on* $A \sqsubseteq \leq f$

<proof>

end

end

theory *Kleene-Fixed-Point*

imports *Complete-Relations Continuity*

begin

8 Iterative Fixed Point Theorem

Kleene's fixed-point theorem states that, for a pointed directed complete partial order $\langle A, \sqsubseteq \rangle$ and a Scott-continuous map $f : A \rightarrow A$, the supremum of $\{f^n(\perp) \mid n \in \mathbb{N}\}$ exists in A and is a least fixed point. Mashburn [17] generalized the result so that $\langle A, \sqsubseteq \rangle$ is a ω -complete partial order and f is ω -continuous.

In this section we further generalize the result and show that for ω -complete relation $\langle A, \sqsubseteq \rangle$ and for every bottom element $\perp \in A$, the set $\{f^n(\perp) \mid n \in \mathbb{N}\}$ has suprema (not necessarily unique, of course) and, they are quasi-fixed points. Moreover, if (\sqsubseteq) is attractive, then the suprema are precisely the least quasi-fixed points.

8.1 Existence of Iterative Fixed Points

The first part of Kleene's theorem demands to prove that the set $\{f^n(\perp) \mid n \in \mathbb{N}\}$ has a supremum and that all such are quasi-fixed points. We prove this claim without assuming anything on the relation \sqsubseteq besides ω -completeness and one bottom element.

notation *compower* ($\hat{\cdot}$) [1000,1000]1000)

lemma *monotone-on-funpow:* **assumes** $f : A \subseteq A$ **and** *mono: monotone-on* A
 $r r f$

shows *monotone-on* $A r r (f \hat{\cdot} n)$

<proof>

no-notation *bot* (\perp)

context

fixes A **and** *less-eq* (infix \sqsubseteq) 50) **and** *bot* (\perp) **and** f

assumes *bot:* $\perp \in A \forall q \in A. \perp \sqsubseteq q$

assumes *cont*: *omega-chain-continuous* $A \sqsubseteq A \sqsubseteq f$
begin

interpretation *less-eq-symmetrize* \langle *proof* \rangle **lemma** $f: f' A \subseteq A \langle$ *proof* \rangle **abbreviation** \langle *input* \rangle $F_n \equiv \{f^{\wedge n} \perp \mid n :: \text{nat}\}$

private lemma *fn-ref*: $f^{\wedge n} \perp \sqsubseteq f^{\wedge n} \perp$ **and** *fnA*: $f^{\wedge n} \perp \in A$
 \langle *proof* \rangle **lemma** *FnA*: $F_n \subseteq A \langle$ *proof* \rangle **lemma** *Fn-chain*: *omega-chain* $F_n \sqsubseteq$
 \langle *proof* \rangle **lemma** *Fn*: $F_n = \text{range } (\lambda n. f^{\wedge n} \perp) \langle$ *proof* \rangle

theorem *kleene-qfp*:
assumes *q*: *extreme-bound* $A \sqsubseteq F_n q$
shows $f q \sim q$
 \langle *proof* \rangle

lemma *ex-kleene-qfp*:
assumes *comp*: *omega-chain-complete* $A \sqsubseteq$
shows $\exists p. \text{extreme-bound } A \sqsubseteq F_n p$
 \langle *proof* \rangle

8.2 Iterative Fixed Points are Least.

Kleene's theorem also states that the quasi-fixed point found this way is a least one. Again, attractivity is needed to prove this statement.

lemma *kleene-qfp-is-least*:
assumes *attract*: $\forall q \in A. \forall x \in A. f q \sim q \longrightarrow x \sqsubseteq f q \longrightarrow x \sqsubseteq q$
assumes *q*: *extreme-bound* $A \sqsubseteq F_n q$
shows *extreme* $\{s \in A. f s \sim s\} \sqsupseteq q$
 \langle *proof* \rangle

lemma *kleene-qfp-iff-least*:
assumes *comp*: *omega-chain-complete* $A \sqsubseteq$
assumes *attract*: $\forall q \in A. \forall x \in A. f q \sim q \longrightarrow x \sqsubseteq f q \longrightarrow x \sqsubseteq q$
assumes *dual-attract*: $\forall p \in A. \forall q \in A. \forall x \in A. p \sim q \longrightarrow q \sqsubseteq x \longrightarrow p \sqsubseteq x$
shows *extreme-bound* $A \sqsubseteq F_n = \text{extreme } \{s \in A. f s \sim s\} \sqsupseteq$
 \langle *proof* \rangle

end

context *attractive* **begin**

interpretation *less-eq-dualize* + *less-eq-symmetrize* \langle *proof* \rangle

theorem *kleene-qfp-is-dual-extreme*:
assumes *comp*: *omega-chain-complete* $A \sqsubseteq$
and *cont*: *omega-chain-continuous* $A \sqsubseteq A \sqsubseteq f$ **and** *bA*: $b \in A$ **and** *bot*: $\forall x \in A. b \sqsubseteq x$
shows *extreme-bound* $A \sqsubseteq \{f^{\wedge n} b \mid n :: \text{nat}\} = \text{extreme } \{s \in A. f s \sim s\} \sqsupseteq$
 \langle *proof* \rangle

end

corollary(in *antisymmetric*) *kleene-fp*:

assumes *cont*: *omega-chain-continuous* $A \sqsubseteq A \sqsubseteq f$

and $b \in A \forall x \in A. b \sqsubseteq x$

and p : *extreme-bound* $A \sqsubseteq \{f^n b \mid n :: \text{nat}\} p$

shows $f p = p$

<proof>

no-notation *compower* $(\langle \hat{-} \rangle [1000, 1000] 1000)$

end

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