

# Complete Non-Orders and Fixed Points

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## Abstract

We develop an Isabelle/HOL library of order-theoretic concepts, such as various completeness conditions and fixed-point theorems. We keep our formalization as general as possible: we reprove several well-known results about complete orders, often with only antisymmetry or attractivity, a mild condition implied by either antisymmetry or transitivity. In particular, we generalize various theorems ensuring the existence of a quasi-fixed point of monotone maps over complete relations, and show that the set of (quasi-)fixed points is itself complete. This result generalizes and strengthens theorems of Knaster–Tarski, Bourbaki–Witt, Kleene, Markowsky, Patarraia, Mashburn, Bhatta–George, and Stouti–Maaden.

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## 1 Introduction

The main driving force towards mechanizing mathematics using proof assistants has been the reliability they offer, exemplified prominently by [10], [12], [15], etc. In this work, we utilize another aspect of proof assistants: they are also engineering tools for developing mathematical theories.

*Fixed-point theorems* are important in computer science, such as in denotational semantics [20] and in abstract interpretation [7], as they allow the definition of semantics of loops and recursive functions. The Knaster–Tarski theorem [23] shows that any monotone map  $f : A \rightarrow A$  over complete lattice  $(A, \sqsubseteq)$  has a fixed point, and the set of fixed points forms also a complete lattice. The result was generalized in various ways: Markowsky [16] showed a corresponding result for *chain-complete* posets. The proof uses the Bourbaki–Witt theorem [6], stating that any inflationary map over a chain-complete poset has a fixed point. The original proof of the latter is non-elementary in the sense that it relies on ordinals and Hartogs’ theorem. Patariaia [18] gave an elementary proof that monotone maps over

*pointed directed-complete* poset has a fixed point. Fixed points are studied also for *pseudo-orders* [21], relaxing transitivity. Stouti and Maaden [22] showed that every monotone map over a complete pseudo-order has a (least) fixed point. Markowsky’s result was also generalized to *weak chain-complete pseudo-orders* by Bhatta and George [4, 5].

Another line of order-theoretic fixed points is the *iterative* approach. Kantorovitch showed that for  $\omega$ -*continuous* map  $f$  over a complete lattice,<sup>1</sup> the iteration  $\perp, f \perp, f^2 \perp, \dots$  converges to a fixed point [14, Theorem I]. Tarski [23] also claimed a similar result for a *countably distributive* map over a *countably complete Boolean algebra*. Kleene’s fixed-point theorem states that, for *Scott-continuous* maps over pointed directed-complete posets, the iteration converges to the least fixed point. Finally, Mashburn [17] proved a version for  $\omega$ -continuous maps over  $\omega$ -complete posets, which covers Kantorovitch’s, Tarski’s and Kleene’s claims.

In particular, we provide the following:

- Several *locales* that help organizing the different order-theoretic conditions, such as reflexivity, transitivity, antisymmetry, and their combination, as well as concepts such as connex and well-related sets, analogues of chains and well-ordered sets in a non-ordered context.
- Existence of fixed points: We provide two proof schemes to prove that monotone or inflationary mapping  $f : A \rightarrow A$  over a complete related set  $\langle A, \sqsubseteq \rangle$  has a *quasi-fixed point*  $f x \sim x$ , meaning  $x \sqsubseteq f x \wedge f x \sqsubseteq x$ , for various notions of completeness. The first one, similar to the original proof by Tarski [23], does not require any ordering assumptions, but relies on completeness with respect to all subsets. The second one, inspired by a *constructive* approach by Grall [11], is a proof scheme based on the notion of derivations. Here we demand antisymmetry (to avoid the necessity of the axiom of choice), but can be instantiated to *well-complete* sets, a generalization of weak chain-completeness. This also allows us to generalize Bourbaki–Witt theorem [6] to pseudo-orders.
- Completeness of the set of fixed points: if  $(A, \sqsubseteq)$  satisfies a mild condition, which we call *attractivity* and which is implied by either transitivity or antisymmetry, then the set of quasi-fixed points inherits the completeness class from  $(A, \sqsubseteq)$ , if it is at least well-complete. The result instantiates to the full completeness (generalizing Knaster–Tarski and [22]), directed-completeness [18], chain-completeness [16], and weak chain-completeness [5].

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<sup>1</sup>More precisely, he assumes a conditionally complete lattice defined over vectors and that  $\perp \sqsubseteq f \perp$  and  $f v' \sqsubseteq v'$ . Hence  $f$ , which is monotone, is a map over the complete lattice  $\{v \mid \perp \sqsubseteq v \sqsubseteq v'\}$ .

- Iterative construction: For an  $\omega$ -continuous map over an  $\omega$ -complete related set, we show that suprema of  $\{f^n \perp \mid n \in \mathbb{N}\}$  are quasi-fixed points. Under attractivity, the quasi-fixed points obtained from this scheme are precisely the least quasi-fixed points of  $f$ . This generalizes Mashburn’s result, and thus ones by Kantorovitch, Tarski and Kleene.

We remark that all these results would have required much more effort than we spent (if possible at all), if we were not with the aforementioned smart assistance by Isabelle. Our workflow was often the following: first we formalize existing proofs, try relaxing assumptions, see where proof breaks, and at some point ask for a counterexample.

Concerning Isabelle formalization, one can easily find several formalizations of complete partial orders or lattices in Isabelle’s standard library. They are, however, defined on partial orders, either in form of classes or locales, and thus not directly reusable for non-orders. Nevertheless we tried to make our formalization compatible with the existing ones, and various correspondences are ensured.

This archive is the third version of this work. The first version has been published in the conference paper [24]. The second version has been published in the journal paper [8]. The third version is a restructuration of the second version for future formalizations, including [25].

## 2 Binary Relations

We start with basic properties of binary relations.

**theory** *Binary-Relations*

**imports**

*Main*

**begin**

**unbundle** *lattice-syntax*

**lemma** *conj-iff-conj-iff-imp-iff*: *Trueprop*  $(x \wedge y \longleftrightarrow x \wedge z) \equiv (x \Longrightarrow (y \longleftrightarrow z))$   
*<proof>*

**lemma** *conj-imp-eq-imp-imp*:  $(P \wedge Q \Longrightarrow PROP R) \equiv (P \Longrightarrow Q \Longrightarrow PROP R)$   
*<proof>*

**lemma** *tranclp-trancl*:  $r^{++} = (\lambda x y. (x,y) \in \{(a,b). r a b\}^+)$   
*<proof>*

**lemma** *tranclp-id[simp]*: *transp*  $r \Longrightarrow \text{tranclp } r = r$   
*<proof>*

**lemma** *transp-tranclp[simp]*: *transp*  $(\text{tranclp } r)$  *<proof>*

**lemma** *funpow-dom*:  $f \cdot A \subseteq A \implies (f^{n}) \cdot A \subseteq A$  *<proof>*

**lemma** *image-subsetD*:  $f \cdot A \subseteq B \implies a \in A \implies f a \in B$  *<proof>*

Below we introduce an Isabelle-notation for  $\{\dots x \dots \mid x \in X\}$ .

**syntax**

*-range* ::  $'a \Rightarrow idts \Rightarrow 'a \text{ set } ((I\{- \mid \cdot / \cdot -\}))$

*-image* ::  $'a \Rightarrow ptrn \Rightarrow 'a \text{ set } \Rightarrow 'a \text{ set } ((I\{- \mid \cdot / \cdot (- \in -)\}))$

**translations**

$\{e \mid p\} \equiv \text{CONST range } (\lambda p. e)$

$\{e \mid p \in A\} \equiv \text{CONST image } (\lambda p. e) A$

**lemma** *image-constant*:

**assumes**  $\bigwedge i. i \in I \implies f i = y$

**shows**  $f \cdot I = (\text{if } I = \{\} \text{ then } \{\} \text{ else } \{y\})$

*<proof>*

## 2.1 Various Definitions

Here we introduce various definitions for binary relations. The first one is our abbreviation for the dual of a relation.

**abbreviation**(*input*) *dual*  $((-)^ [1000] 1000)$  **where**  $r^- x y \equiv r y x$

**lemma** *conversep-is-dual[simp]*: *conversep* = *dual* *<proof>*

**lemma** *dual-inf*:  $(r \sqcap s)^- = r^- \sqcap s^-$  *<proof>*

Monotonicity is already defined in the library, but we want one restricted to a domain.

**lemmas** *monotone-onE* = *monotone-on-def*[*unfolded atomize-eq*, *THEN iffD1*, *elim-format*, *rule-format*]

**lemma** *monotone-on-dual*: *monotone-on*  $X r s f \implies \text{monotone-on } X r^- s^- f$  *<proof>*

**lemma** *monotone-on-id*: *monotone-on*  $X r r id$  *<proof>*

**lemma** *monotone-on-cmono*:  $A \subseteq B \implies \text{monotone-on } B \leq \text{monotone-on } A$  *<proof>*

Here we define the following notions in a standard manner

The symmetric part of a relation:

**definition** *sympartp* **where** *sympartp*  $r x y \equiv r x y \wedge r y x$

**lemma** *sympartpI*[*intro*]:

**fixes**  $r$  (**infix**  $\sqsubseteq$  50)

**assumes**  $x \sqsubseteq y$  **and**  $y \sqsubseteq x$  **shows**  $\text{sympartp } (\sqsubseteq) x y$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{sympartpE}[\text{elim}]$ :  
**fixes**  $r$  (**infix**  $\sqsubseteq 50$ )  
**assumes**  $\text{sympartp } (\sqsubseteq) x y$  **and**  $x \sqsubseteq y \implies y \sqsubseteq x \implies \text{thesis}$  **shows**  $\text{thesis}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{sympartp-dual}$ :  $\text{sympartp } r^- = \text{sympartp } r$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{sympartp-eq}[\text{simp}]$ :  $\text{sympartp } (=) = (=)$   $\langle \text{proof} \rangle$

**lemma**  $\text{sympartp-sympartp}[\text{simp}]$ :  $\text{sympartp } (\text{sympartp } r) = \text{sympartp } r$   $\langle \text{proof} \rangle$

**lemma**  $\text{reflclp-sympartp}[\text{simp}]$ :  $(\text{sympartp } r)^{==} = \text{sympartp } r^{==}$   $\langle \text{proof} \rangle$

**definition**  $\text{equivpartp } r x y \equiv x = y \vee r x y \wedge r y x$

**lemma**  $\text{sympartp-reflclp-equiv}[\text{simp}]$ :  $\text{sympartp } r^{==} = \text{equivpartp } r$   $\langle \text{proof} \rangle$

**lemma**  $\text{equivpartI}[\text{simp}]$ :  $\text{equivpartp } r x x$   
**and**  $\text{sympartp-equivpartI}$ :  $\text{sympartp } r x y \implies \text{equivpartp } r x y$   
**and**  $\text{equivpartCI}[\text{intro}]$ :  $(x \neq y \implies \text{sympartp } r x y) \implies \text{equivpartp } r x y$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{equivpartpE}[\text{elim}]$ :  
**assumes**  $\text{equivpartp } r x y$   
**and**  $x = y \implies \text{thesis}$   
**and**  $r x y \implies r y x \implies \text{thesis}$   
**shows**  $\text{thesis}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{equivpartp-eq}[\text{simp}]$ :  $\text{equivpartp } (=) = (=)$   $\langle \text{proof} \rangle$

**lemma**  $\text{sympartp-equivpartp}[\text{simp}]$ :  $\text{sympartp } (\text{equivpartp } r) = (\text{equivpartp } r)$   
**and**  $\text{equivpartp-equivpartp}[\text{simp}]$ :  $\text{equivpartp } (\text{equivpartp } r) = (\text{equivpartp } r)$   
**and**  $\text{equivpartp-sympartp}[\text{simp}]$ :  $\text{equivpartp } (\text{sympartp } r) = (\text{equivpartp } r)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{equivpartp-dual}$ :  $\text{equivpartp } r^- = \text{equivpartp } r$   
 $\langle \text{proof} \rangle$

The asymmetric part:

**definition**  $\text{asympartp } r x y \equiv r x y \wedge \neg r y x$

**lemma**  $\text{asympartpE}[\text{elim}]$ :  
**fixes**  $r$  (**infix**  $\sqsubseteq 50$ )  
**shows**  $\text{asympartp } (\sqsubseteq) x y \implies (x \sqsubseteq y \implies \neg y \sqsubseteq x \implies \text{thesis}) \implies \text{thesis}$

$\langle \text{proof} \rangle$

**lemmas** *asympartpI*[*intro*] = *asympartp-def*[*unfolded atomize-eq, THEN iffD2, unfolded conj-imp-eq-imp-imp, rule-format*]

**lemma** *asympartp-eq*[*simp*]: *asympartp* (=) = *bot*  $\langle \text{proof} \rangle$

**lemma** *asympartp-sympartp* [*simp*]: *asympartp* (*sympartp* *r*) = *bot*  
**and** *sympartp-asympartp* [*simp*]: *sympartp* (*asympartp* *r*) = *bot*  
 $\langle \text{proof} \rangle$

**lemma** *asympartp-dual*: *asympartp*  $r^-$  = (*asympartp* *r*)<sup>-</sup>  $\langle \text{proof} \rangle$

Restriction to a set:

**definition** *Restrp* (**infixl**  $\uparrow$  60) **where** (*r*  $\uparrow$  *A*) *a b*  $\equiv a \in A \wedge b \in A \wedge r a b$

**lemmas** *RestrpI*[*intro!*] = *Restrp-def*[*unfolded atomize-eq, THEN iffD2, unfolded conj-imp-eq-imp-imp*]

**lemmas** *RestrpE*[*elim!*] = *Restrp-def*[*unfolded atomize-eq, THEN iffD1, elim-format, unfolded conj-imp-eq-imp-imp*]

**lemma** *Restrp-simp*[*simp*]:  $a \in A \implies b \in A \implies (r \uparrow A) a b \longleftrightarrow r a b$   $\langle \text{proof} \rangle$

**lemma** *Restrp-UNIV*[*simp*]:  $r \uparrow UNIV \equiv r$   $\langle \text{proof} \rangle$

**lemma** *Restrp-Restrp*[*simp*]:  $r \uparrow A \uparrow B \equiv r \uparrow A \cap B$   $\langle \text{proof} \rangle$

**lemma** *sympartp-Restrp*[*simp*]: *sympartp* ( $r \uparrow A$ )  $\equiv$  *sympartp*  $r \uparrow A$   
 $\langle \text{proof} \rangle$

Relational images:

**definition** *Imagep* (**infixr** “” 59) **where**  $r$  “” *A*  $\equiv \{b. \exists a \in A. r a b\}$

**lemma** *Imagep-Image*:  $r$  “” *A* =  $\{(a,b). r a b\}$  “” *A*  
 $\langle \text{proof} \rangle$

**lemma** *in-Imagep*:  $b \in r$  “” *A*  $\longleftrightarrow (\exists a \in A. r a b)$   $\langle \text{proof} \rangle$

**lemma** *ImagepI*:  $a \in A \implies r a b \implies b \in r$  “” *A*  $\langle \text{proof} \rangle$

**lemma** *subset-Imagep*:  $B \subseteq r$  “” *A*  $\longleftrightarrow (\forall b \in B. \exists a \in A. r a b)$   
 $\langle \text{proof} \rangle$

Bounds of a set:

**definition** *bound* *X* ( $\sqsubseteq$ ) *b*  $\equiv \forall x \in X. x \sqsubseteq b$  **for** *r* (**infix**  $\sqsubseteq$  50)

**lemma**

**fixes** *r* (**infix**  $\sqsubseteq$  50)

**shows** *boundI*[*intro!*]:  $(\bigwedge x. x \in X \implies x \sqsubseteq b) \implies \text{bound } X (\sqsubseteq) b$

**and** *boundE[elim]*:  $\text{bound } X \sqsubseteq b \implies ((\bigwedge x. x \in X \implies x \sqsubseteq b) \implies \text{thesis}) \implies \text{thesis}$

**and** *boundD*:  $\text{bound } X \sqsubseteq b \implies a \in X \implies a \sqsubseteq b$   
 $\langle \text{proof} \rangle$

**lemma** *bound-empty*:  $\text{bound } \{\} = (\lambda r x. \text{True}) \langle \text{proof} \rangle$

**lemma** *bound-cmono*: **assumes**  $X \subseteq Y$  **shows**  $\text{bound } Y \leq \text{bound } X$   
 $\langle \text{proof} \rangle$

**lemmas** *bound-subset* = *bound-cmono*[*THEN le-funD*, *THEN le-funD*, *THEN le-boolD*,  
*folded atomize-imp*]

**lemma** *bound-un*:  $\text{bound } (A \cup B) = \text{bound } A \sqcap \text{bound } B$   
 $\langle \text{proof} \rangle$

**lemma** *bound-insert[simp]*:  
**fixes**  $r$  (**infix**  $\sqsubseteq$  50)  
**shows**  $\text{bound } (\text{insert } x X) \sqsubseteq b \longleftrightarrow x \sqsubseteq b \wedge \text{bound } X \sqsubseteq b \langle \text{proof} \rangle$

**lemma** *bound-cong*:  
**assumes**  $A = A'$   
**and**  $b = b'$   
**and**  $\bigwedge a. a \in A' \implies \text{le } a b' = \text{le}' a b'$   
**shows**  $\text{bound } A \text{ le } b = \text{bound } A' \text{ le}' b'$   
 $\langle \text{proof} \rangle$

**lemma** *bound-subsel*:  $\text{le} \leq \text{le}' \implies \text{bound } A \text{ le} \leq \text{bound } A \text{ le}'$   
 $\langle \text{proof} \rangle$

Extreme (greatest) elements in a set:

**definition** *extreme*  $X \sqsubseteq e \equiv e \in X \wedge (\forall x \in X. x \sqsubseteq e)$  **for**  $r$  (**infix**  $\sqsubseteq$  50)

**lemma**  
**fixes**  $r$  (**infix**  $\sqsubseteq$  50)  
**shows** *extremeI[intro]*:  $e \in X \implies (\bigwedge x. x \in X \implies x \sqsubseteq e) \implies \text{extreme } X \sqsubseteq e$   
**and** *extremeD*:  $\text{extreme } X \sqsubseteq e \implies e \in X \text{ extreme } X \sqsubseteq e \implies (\bigwedge x. x \in X \implies x \sqsubseteq e)$   
**and** *extremeE[elim]*:  $\text{extreme } X \sqsubseteq e \implies (e \in X \implies (\bigwedge x. x \in X \implies x \sqsubseteq e) \implies \text{thesis}) \implies \text{thesis}$   
 $\langle \text{proof} \rangle$

**lemma**  
**fixes**  $r$  (**infix**  $\sqsubseteq$  50)  
**shows** *extreme-UNIV[simp]*:  $\text{extreme } \text{UNIV} \sqsubseteq t \longleftrightarrow (\forall x. x \sqsubseteq t) \langle \text{proof} \rangle$

**lemma** *extreme-iff-bound*:  $\text{extreme } X r e \longleftrightarrow \text{bound } X r e \wedge e \in X \langle \text{proof} \rangle$

**lemma** *extreme-imp-bound*:  $\text{extreme } X r x \implies \text{bound } X r x \langle \text{proof} \rangle$



**lemma** *extreme-inf*:  $extreme\ X\ (r\ \sqcap\ s)\ x \longleftrightarrow extreme\ X\ r\ x \wedge extreme\ X\ s\ x$   
 ⟨proof⟩

**lemma** *extremes-equiv*:  $extreme\ X\ r\ b \implies extreme\ X\ r\ c \implies sympartp\ r\ b\ c$  ⟨proof⟩

**lemma** *extreme-cong*:

**assumes**  $A = A'$

**and**  $b = b'$

**and**  $\bigwedge a. a \in A' \implies b' \in A' \implies le\ a\ b' = le'\ a\ b'$

**shows**  $extreme\ A\ le\ b = extreme\ A'\ le'\ b'$

⟨proof⟩

**lemma** *extreme-subset*:  $X \subseteq Y \implies extreme\ X\ r\ x \implies extreme\ Y\ r\ y \implies r\ x\ y$   
 ⟨proof⟩

**lemma** *extreme-subrel*:

$le \leq le' \implies extreme\ A\ le \leq extreme\ A\ le'$  ⟨proof⟩

Now suprema and infima are given uniformly as follows. The definition is restricted to a given set.

**definition**

*extreme-bound*  $A\ (\sqsubseteq)\ X \equiv extreme\ \{b \in A. bound\ X\ (\sqsubseteq)\ b\}\ (\sqsubseteq)^-$  **for**  $r$  (**infix**  $\sqsubseteq$  50)

**lemmas** *extreme-boundI-extreme* = *extreme-bound-def*[*unfolded atomize-eq*, *THEN fun-cong*, *THEN iffD2*]

**lemmas** *extreme-boundD-extreme* = *extreme-bound-def*[*unfolded atomize-eq*, *THEN fun-cong*, *THEN iffD1*]

**context**

**fixes**  $A :: 'a\ set$  **and** *less-eq*  $:: 'a \Rightarrow 'a \Rightarrow bool$  (**infix**  $\sqsubseteq$  50)

**begin**

**lemma** *extreme-boundI*[*intro*]:

**assumes**  $\bigwedge b. bound\ X\ (\sqsubseteq)\ b \implies b \in A \implies s \sqsubseteq b$  **and**  $\bigwedge x. x \in X \implies x \sqsubseteq s$

**and**  $s \in A$

**shows**  $extreme-bound\ A\ (\sqsubseteq)\ X\ s$

⟨proof⟩

**lemma** *extreme-boundD*:

**assumes**  $extreme-bound\ A\ (\sqsubseteq)\ X\ s$

**shows**  $x \in X \implies x \sqsubseteq s$

**and**  $bound\ X\ (\sqsubseteq)\ b \implies b \in A \implies s \sqsubseteq b$

**and** *extreme-bound-in*:  $s \in A$

⟨proof⟩

**lemma** *extreme-boundE*[*elim*]:

**assumes** *extreme-bound*  $A \sqsubseteq X s$   
**and**  $s \in A \implies \text{bound } X \sqsubseteq s \implies (\wedge b. \text{bound } X \sqsubseteq b \implies b \in A \implies s \sqsubseteq b)$   
 $\implies$  *thesis*  
**shows** *thesis*  
 $\langle \text{proof} \rangle$

**lemma** *extreme-bound-imp-bound*: *extreme-bound*  $A \sqsubseteq X s \implies \text{bound } X \sqsubseteq s$   
 $\langle \text{proof} \rangle$

**lemma** *extreme-imp-extreme-bound*:  
**assumes**  $Xs$ : *extreme*  $X \sqsubseteq s$  **and**  $XA$ :  $X \subseteq A$  **shows** *extreme-bound*  $A \sqsubseteq X s$   
 $\langle \text{proof} \rangle$

**lemma** *extreme-bound-subset-bound*:  
**assumes**  $XY$ :  $X \subseteq Y$   
**and**  $sX$ : *extreme-bound*  $A \sqsubseteq X s$   
**and**  $b$ : *bound*  $Y \sqsubseteq b$  **and**  $bA$ :  $b \in A$   
**shows**  $s \sqsubseteq b$   
 $\langle \text{proof} \rangle$

**lemma** *extreme-bound-subset*:  
**assumes**  $XY$ :  $X \subseteq Y$   
**and**  $sX$ : *extreme-bound*  $A \sqsubseteq X sX$   
**and**  $sY$ : *extreme-bound*  $A \sqsubseteq Y sY$   
**shows**  $sX \sqsubseteq sY$   
 $\langle \text{proof} \rangle$

**lemma** *extreme-bound-iff*:  
*extreme-bound*  $A \sqsubseteq X s \longleftrightarrow s \in A \wedge (\forall c \in A. (\forall x \in X. x \sqsubseteq c) \longrightarrow s \sqsubseteq c) \wedge$   
 $(\forall x \in X. x \sqsubseteq s)$   
 $\langle \text{proof} \rangle$

**lemma** *extreme-bound-empty*: *extreme-bound*  $A \sqsubseteq \{\} x \longleftrightarrow \text{extreme } A \sqsubseteq^- x$   
 $\langle \text{proof} \rangle$

**lemma** *extreme-bound-singleton-refl[simp]*:  
*extreme-bound*  $A \sqsubseteq \{x\} x \longleftrightarrow x \in A \wedge x \sqsubseteq x$   $\langle \text{proof} \rangle$

**lemma** *extreme-bound-image-const*:  
 $x \sqsubseteq x \implies I \neq \{\} \implies (\wedge i. i \in I \implies f i = x) \implies x \in A \implies \text{extreme-bound } A$   
 $\sqsubseteq (f ' I) x$   
 $\langle \text{proof} \rangle$

**lemma** *extreme-bound-UN-const*:  
 $x \sqsubseteq x \implies I \neq \{\} \implies (\wedge i y. i \in I \implies P i y \longleftrightarrow x = y) \implies x \in A \implies$   
*extreme-bound*  $A \sqsubseteq (\bigcup i \in I. \{y. P i y\}) x$   
 $\langle \text{proof} \rangle$

**lemma** *extreme-bounds-equiv*:

**assumes**  $s$ : *extreme-bound*  $A \sqsubseteq X$   $s$  **and**  $s'$ : *extreme-bound*  $A \sqsubseteq X$   $s'$   
**shows** *sympartp*  $(\sqsubseteq) s s'$   
 $\langle$ *proof* $\rangle$

**lemma** *extreme-bound-squeeze*:

**assumes**  $XY$ :  $X \subseteq Y$  **and**  $YZ$ :  $Y \subseteq Z$   
**and**  $Xs$ : *extreme-bound*  $A \sqsubseteq X$   $s$  **and**  $Zs$ : *extreme-bound*  $A \sqsubseteq Z$   $s$   
**shows** *extreme-bound*  $A \sqsubseteq Y$   $s$   
 $\langle$ *proof* $\rangle$

**lemma** *bound-closed-imp-extreme-bound-eq-extreme*:

**assumes** *closed*:  $\forall b \in A. \text{bound } X \sqsubseteq b \longrightarrow b \in X$  **and**  $XA$ :  $X \subseteq A$   
**shows** *extreme-bound*  $A \sqsubseteq X = \text{extreme } X \sqsubseteq$   
 $\langle$ *proof* $\rangle$

**end**

**lemma** *extreme-bound-cong*:

**assumes**  $A = A'$   
**and**  $X = X'$   
**and**  $\bigwedge a b. a \in A' \Longrightarrow b \in A' \Longrightarrow \text{le } a b \longleftrightarrow \text{le}' a b$   
**and**  $\bigwedge a b. a \in X' \Longrightarrow b \in A' \Longrightarrow \text{le } a b \longleftrightarrow \text{le}' a b$   
**shows** *extreme-bound*  $A \text{ le } X s = \text{extreme-bound } A \text{ le}' X s$   
 $\langle$ *proof* $\rangle$

Maximal or Minimal

**definition** *extremal*  $X \sqsubseteq x \equiv x \in X \wedge (\forall y \in X. x \sqsubseteq y \longrightarrow y \sqsubseteq x)$  **for**  $r$  (**infix**  $\sqsubseteq 50$ )

**context**

**fixes**  $r :: 'a \Rightarrow 'a \Rightarrow \text{bool}$  (**infix**  $\sqsubseteq 50$ )  
**begin**

**lemma** *extremalI*:

**assumes**  $x \in X \bigwedge y. y \in X \Longrightarrow x \sqsubseteq y \Longrightarrow y \sqsubseteq x$   
**shows** *extremal*  $X \sqsubseteq x$   
 $\langle$ *proof* $\rangle$

**lemma** *extremalE*:

**assumes** *extremal*  $X \sqsubseteq x$   
**and**  $x \in X \Longrightarrow (\bigwedge y. y \in X \Longrightarrow x \sqsubseteq y \Longrightarrow y \sqsubseteq x) \Longrightarrow \text{thesis}$   
**shows** *thesis*  
 $\langle$ *proof* $\rangle$

**lemma** *extremalD*:

**assumes** *extremal*  $X \sqsubseteq x$  **shows**  $x \in X y \in X \Longrightarrow x \sqsubseteq y \Longrightarrow y \sqsubseteq x$   
 $\langle$ *proof* $\rangle$

**end**

```

context
  fixes ir (infix  $\preceq$  50) and r (infix  $\sqsubseteq$  50) and I f
  assumes mono: monotone-on I ( $\preceq$ ) ( $\sqsubseteq$ ) f
begin

```

```

lemma monotone-image-bound:
  assumes  $X \subseteq I$  and  $b \in I$  and bound  $X$  ( $\preceq$ ) b
  shows bound ( $f \text{' } X$ ) ( $\sqsubseteq$ ) ( $f b$ )
  <proof>

```

```

lemma monotone-image-extreme:
  assumes e: extreme I ( $\preceq$ ) e
  shows extreme ( $f \text{' } I$ ) ( $\sqsubseteq$ ) ( $f e$ )
  <proof>

```

**end**

```

context
  fixes ir :: 'i  $\Rightarrow$  'i  $\Rightarrow$  bool (infix  $\preceq$  50)
  and r :: 'a  $\Rightarrow$  'a  $\Rightarrow$  bool (infix  $\sqsubseteq$  50)
  and f and A and e and I
  assumes fIA:  $f \text{' } I \subseteq A$ 
  and mono: monotone-on I ( $\preceq$ ) ( $\sqsubseteq$ ) f
  and e: extreme I ( $\preceq$ ) e
begin

```

```

lemma monotone-extreme-imp-extreme-bound:
  extreme-bound A ( $\sqsubseteq$ ) ( $f \text{' } I$ ) ( $f e$ )
  <proof>

```

```

lemma monotone-extreme-extreme-boundI:
   $x = f e \Longrightarrow \textit{extreme-bound } A \ (\sqsubseteq) \ (f \text{' } I) \ x$ 
  <proof>

```

**end**

## 2.2 Locales for Binary Relations

We now define basic properties of binary relations, in form of *locales* [13, 2].

### 2.2.1 Syntactic Locales

The following locales do not assume anything, but provide infix notations for relations.

```

locale less-eq-syntax =
  fixes less-eq :: 'a  $\Rightarrow$  'a  $\Rightarrow$  bool (infix  $\sqsubseteq$  50)

```

```

locale less-syntax =
  fixes less :: 'a ⇒ 'a ⇒ bool (infix  $\sqsubset$  50)

locale equivalence-syntax =
  fixes equiv :: 'a ⇒ 'a ⇒ bool (infix  $\sim$  50)
begin

abbreviation equiv-class ( $[-]_{\sim}$ ) where  $[x]_{\sim} \equiv \{ y. x \sim y \}$ 

end

```

Next ones introduce abbreviations for dual etc. To avoid needless constants, one should be careful when declaring them as sublocales.

```

locale less-eq-dualize = less-eq-syntax
begin

abbreviation (input) greater-eq (infix  $\sqsupseteq$  50) where  $x \sqsupseteq y \equiv y \sqsubseteq x$ 

end

locale less-eq-symmetrize = less-eq-dualize
begin

abbreviation sym (infix  $\sim$  50) where  $(\sim) \equiv \text{sympartp } (\sqsubseteq)$ 
abbreviation equiv (infix  $(\simeq)$  50) where  $(\simeq) \equiv \text{equivpartp } (\sqsubseteq)$ 

end

```

```

locale less-eq-asymmetrize = less-eq-symmetrize
begin

abbreviation less (infix  $\sqsubset$  50) where  $(\sqsubset) \equiv \text{asympartp } (\sqsubseteq)$ 
abbreviation greater (infix  $\sqsupset$  50) where  $(\sqsupset) \equiv (\sqsubset)^{-}$ 

lemma asym-cases[consumes 1, case-names asym sym]:
  assumes  $x \sqsubseteq y$  and  $x \sqsubset y \implies \text{thesis}$  and  $x \sim y \implies \text{thesis}$ 
  shows thesis
   $\langle \text{proof} \rangle$ 

end

```

```

locale less-dualize = less-syntax
begin

abbreviation (input) greater (infix  $\sqsupset$  50) where  $x \sqsupset y \equiv y \sqsubset x$ 

end

locale related-set =

```

**fixes**  $A :: 'a \text{ set}$  **and**  $\text{less-eq} :: 'a \Rightarrow 'a \Rightarrow \text{bool}$  (**infix**  $\sqsubseteq$  50)

## 2.2.2 Basic Properties of Relations

In the following we define basic properties in form of locales.

Reflexivity restricted on a set:

**locale**  $\text{reflexive} = \text{related-set} +$   
**assumes**  $\text{refl}[\text{intro}]: x \in A \Longrightarrow x \sqsubseteq x$   
**begin**

**lemma**  $\text{eq-implies}: x = y \Longrightarrow x \in A \Longrightarrow x \sqsubseteq y$   $\langle \text{proof} \rangle$

**lemma**  $\text{reflexive-subset}: B \subseteq A \Longrightarrow \text{reflexive } B$  ( $\sqsubseteq$ )  $\langle \text{proof} \rangle$

**lemma**  $\text{extreme-singleton}[\text{simp}]: x \in A \Longrightarrow \text{extreme } \{x\}$  ( $\sqsubseteq$ )  $y \longleftrightarrow x = y$   $\langle \text{proof} \rangle$

**lemma**  $\text{extreme-bound-singleton}: x \in A \Longrightarrow \text{extreme-bound } A$  ( $\sqsubseteq$ )  $\{x\} x$   $\langle \text{proof} \rangle$

**lemma**  $\text{extreme-bound-cone}: x \in A \Longrightarrow \text{extreme-bound } A$  ( $\sqsubseteq$ )  $\{a \in A. a \sqsubseteq x\} x$   
 $\langle \text{proof} \rangle$

**end**

**lemmas**  $\text{reflexiveI}[\text{intro!}] = \text{reflexive.intro}$

**lemma**  $\text{reflexiveE}[\text{elim}]:$   
**assumes**  $\text{reflexive } A r$  **and**  $(\bigwedge x. x \in A \Longrightarrow r x x) \Longrightarrow \text{thesis shows thesis}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{reflexive-cong}:$   
 $(\bigwedge a b. a \in A \Longrightarrow b \in A \Longrightarrow r a b \longleftrightarrow r' a b) \Longrightarrow \text{reflexive } A r \longleftrightarrow \text{reflexive } A$   
 $r'$   
 $\langle \text{proof} \rangle$

**locale**  $\text{irreflexive} = \text{related-set } A$  ( $\sqsubseteq$ ) **for**  $A$  **and**  $\text{less}$  (**infix**  $\sqsubset$  50) +  
**assumes**  $\text{irrefl}: x \in A \Longrightarrow \neg x \sqsubset x$   
**begin**

**lemma**  $\text{irreflD}[\text{simp}]: x \sqsubset x \Longrightarrow \neg x \in A$   $\langle \text{proof} \rangle$

**lemma**  $\text{implies-not-eq}: x \sqsubset y \Longrightarrow x \in A \Longrightarrow x \neq y$   $\langle \text{proof} \rangle$

**lemma**  $\text{Restrp-irreflexive}: \text{irreflexive } \text{UNIV}$  ( $(\sqsubseteq) \upharpoonright A$ )  
 $\langle \text{proof} \rangle$

**lemma**  $\text{irreflexive-subset}: B \subseteq A \Longrightarrow \text{irreflexive } B$  ( $\sqsubseteq$ )  $\langle \text{proof} \rangle$

**end**

**lemmas** *irreflexiveI*[intro!] = *irreflexive.intro*

**lemma** *irreflexive-cong*:

$(\bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b) \implies \text{irreflexive } A r \longleftrightarrow \text{irreflexive } A r'$   
*<proof>*

**context** *reflexive begin*

**interpretation** *less-eq-asymmetrize**<proof>*

**lemma** *asymptp-irreflexive*: *irreflexive*  $A$   $(\sqsubseteq)$  *<proof>*

**end**

**locale** *transitive = related-set +*

**assumes** *trans*[*trans*]:  $x \sqsubseteq y \implies y \sqsubseteq z \implies x \in A \implies y \in A \implies z \in A \implies x \sqsubseteq z$

**begin**

**lemma** *Restrp-transitive*: *transitive UNIV*  $((\sqsubseteq) \upharpoonright A)$

*<proof>*

**lemma** *bound-trans*[*trans*]: *bound*  $X$   $(\sqsubseteq) b \implies b \sqsubseteq c \implies X \subseteq A \implies b \in A \implies c \in A \implies \text{bound } X$   $(\sqsubseteq) c$

*<proof>*

**lemma** *extreme-bound-mono*:

**assumes**  $XY: \forall x \in X. \exists y \in Y. x \sqsubseteq y$  **and**  $XA: X \subseteq A$  **and**  $YA: Y \subseteq A$

**and**  $sX: \text{extreme-bound } A$   $(\sqsubseteq) X sX$

**and**  $sY: \text{extreme-bound } A$   $(\sqsubseteq) Y sY$

**shows**  $sX \sqsubseteq sY$

*<proof>*

**lemma** *transitive-subset*:

**assumes**  $BA: B \subseteq A$  **shows** *transitive*  $B$   $(\sqsubseteq)$

*<proof>*

**lemma** *asymptp-transitive*: *transitive*  $A$   $(\text{asymptp } (\sqsubseteq))$

*<proof>*

**lemma** *reflclp-transitive*: *transitive*  $A$   $(\sqsubseteq)^{==}$

*<proof>*

The symmetric part is also transitive, but this is done in the later semi-attractive locale

**end**

**lemmas** *transitiveI* = *transitive.intro*

**lemma** *transitive-ball*[code]:

*transitive*  $A$  ( $\sqsubseteq$ )  $\longleftrightarrow$  ( $\forall x \in A. \forall y \in A. \forall z \in A. x \sqsubseteq y \longrightarrow y \sqsubseteq z \longrightarrow x \sqsubseteq z$ )  
**for** *less-eq* (**infix**  $\sqsubseteq$  50)  
*<proof>*

**lemma** *transitive-cong*:

**assumes**  $r: \bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b$  **shows** *transitive*  $A$   $r$   
 $\longleftrightarrow$  *transitive*  $A$   $r'$   
*<proof>*

**lemma** *transitive-empty*[intro!]: *transitive*  $\{\}$   $r$  *<proof>*

**lemma** *tranclp-transitive*: *transitive*  $A$  (*tranclp*  $r$ )  
*<proof>*

**locale** *symmetric* = *related-set*  $A$  ( $\sim$ ) **for**  $A$  **and** *equiv* (**infix**  $\sim$  50) +  
**assumes** *sym*[*sym*]:  $x \sim y \implies x \in A \implies y \in A \implies y \sim x$   
**begin**

**lemma** *sym-iff*:  $x \in A \implies y \in A \implies x \sim y \longleftrightarrow y \sim x$   
*<proof>*

**lemma** *Restrp-symmetric*: *symmetric*  $UNIV$  ( $(\sim) \upharpoonright A$ )  
*<proof>*

**lemma** *symmetric-subset*:  $B \subseteq A \implies$  *symmetric*  $B$  ( $\sim$ )  
*<proof>*

**end**

**lemmas** *symmetricI*[intro] = *symmetric.intro*

**lemma** *symmetric-cong*:

$(\bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b) \implies$  *symmetric*  $A$   $r$   $\longleftrightarrow$  *symmetric*  
 $A$   $r'$   
*<proof>*

**lemma** *symmetric-empty*[intro!]: *symmetric*  $\{\}$   $r$  *<proof>*

**global-interpretation** *sympartp*: *symmetric*  $UNIV$  *sympartp*  $r$

**rewrites**  $\bigwedge r. r \upharpoonright UNIV \equiv r$   
**and**  $\bigwedge x. x \in UNIV \equiv True$   
**and**  $\bigwedge P1. (True \implies P1) \equiv Trueprop P1$   
**and**  $\bigwedge P1 P2. (True \implies PROP P1 \implies PROP P2) \equiv (PROP P1 \implies PROP$   
 $P2)$   
*<proof>*



**lemma** *sympartp-symmetric*: *symmetric*  $A$  (*sympartp*  $r$ )  $\langle$ *proof* $\rangle$

**locale** *antisymmetric = related-set* +

**assumes** *antisym*:  $x \sqsubseteq y \implies y \sqsubseteq x \implies x \in A \implies y \in A \implies x = y$

**begin**

**interpretation** *less-eq-symmetrize* $\langle$ *proof* $\rangle$

**lemma** *sym-iff-eq-refl*:  $x \in A \implies y \in A \implies x \sim y \longleftrightarrow x = y \wedge y \sqsubseteq y$   $\langle$ *proof* $\rangle$

**lemma** *equiv-iff-eq[simp]*:  $x \in A \implies y \in A \implies x \simeq y \longleftrightarrow x = y$   $\langle$ *proof* $\rangle$

**lemma** *extreme-unique*:  $X \subseteq A \implies \text{extreme } X (\sqsubseteq) x \implies \text{extreme } X (\sqsubseteq) y \longleftrightarrow x = y$   
 $\langle$ *proof* $\rangle$

**lemma** *ex-extreme-iff-ex1*:

$X \subseteq A \implies \text{Ex } (\text{extreme } X (\sqsubseteq)) \longleftrightarrow \text{Ex1 } (\text{extreme } X (\sqsubseteq))$   $\langle$ *proof* $\rangle$

**lemma** *ex-extreme-iff-the*:

$X \subseteq A \implies \text{Ex } (\text{extreme } X (\sqsubseteq)) \longleftrightarrow \text{extreme } X (\sqsubseteq) (\text{The } (\text{extreme } X (\sqsubseteq)))$   
 $\langle$ *proof* $\rangle$

**lemma** *eq-The-extreme*:  $X \subseteq A \implies \text{extreme } X (\sqsubseteq) x \implies x = \text{The } (\text{extreme } X (\sqsubseteq))$   
 $\langle$ *proof* $\rangle$

**lemma** *Restrp-antisymmetric*: *antisymmetric*  $\text{UNIV } ((\sqsubseteq) \upharpoonright A)$   
 $\langle$ *proof* $\rangle$

**lemma** *antisymmetric-subset*:  $B \subseteq A \implies \text{antisymmetric } B (\sqsubseteq)$   
 $\langle$ *proof* $\rangle$

**end**

**lemmas** *antisymmetricI[intro]* = *antisymmetric.intro*

**lemma** *antisymmetric-cong*:

$(\bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b) \implies \text{antisymmetric } A r \longleftrightarrow \text{antisymmetric } A r'$   
 $\langle$ *proof* $\rangle$

**lemma** *antisymmetric-empty[intro!]*: *antisymmetric*  $\{\}$   $r$   $\langle$ *proof* $\rangle$

**lemma** *antisymmetric-union*:

**fixes** *less-eq* (**infix**  $\sqsubseteq$  50)

**assumes**  $A$ : *antisymmetric*  $A (\sqsubseteq)$  **and**  $B$ : *antisymmetric*  $B (\sqsubseteq)$

**and**  $AB$ :  $\forall a \in A. \forall b \in B. a \sqsubseteq b \longrightarrow b \sqsubseteq a \longrightarrow a = b$

**shows** *antisymmetric*  $(A \cup B) (\sqsubseteq)$

*<proof>*

The following notion is new, generalizing antisymmetry and transitivity.

**locale** *semiattractive* = *related-set* +

**assumes** *attract*:  $x \sqsubseteq y \implies y \sqsubseteq x \implies y \sqsubseteq z \implies x \in A \implies y \in A \implies z \in A$   
 $\implies x \sqsubseteq z$

**begin**

**interpretation** *less-eq-symmetrize**<proof>*

**lemma** *equiv-order-trans*[*trans*]:

**assumes** *xy*:  $x \simeq y$  **and** *yz*:  $y \sqsubseteq z$  **and** *x*:  $x \in A$  **and** *y*:  $y \in A$  **and** *z*:  $z \in A$

**shows**  $x \sqsubseteq z$

*<proof>*

**lemma** *equiv-transitive*: *transitive*  $A$  ( $\simeq$ )

*<proof>*

**lemma** *sym-order-trans*[*trans*]:

**assumes** *xy*:  $x \sim y$  **and** *yz*:  $y \sqsubseteq z$  **and** *x*:  $x \in A$  **and** *y*:  $y \in A$  **and** *z*:  $z \in A$

**shows**  $x \sqsubseteq z$

*<proof>*

**interpretation** *sym*: *transitive*  $A$  ( $\sim$ )

*<proof>*

**lemmas** *sym-transitive* = *sym.transitive-axioms*

**lemma** *extreme-bound-quasi-const*:

**assumes** *C*:  $C \subseteq A$  **and** *x*:  $x \in A$  **and** *C0*:  $C \neq \{\}$  **and** *const*:  $\forall y \in C. y \sim x$

**shows** *extreme-bound*  $A$  ( $\sqsubseteq$ )  $C$   $x$

*<proof>*

**lemma** *extreme-bound-quasi-const-iff*:

**assumes** *C*:  $C \subseteq A$  **and** *x*:  $x \in A$  **and** *y*:  $y \in A$  **and** *C0*:  $C \neq \{\}$  **and** *const*:

$\forall z \in C. z \sim x$

**shows** *extreme-bound*  $A$  ( $\sqsubseteq$ )  $C$   $y \longleftrightarrow x \sim y$

*<proof>*

**lemma** *Restrp-semi-attractive*: *semi-attractive*  $UNIV$  ( $(\sqsubseteq) \upharpoonright A$ )

*<proof>*

**lemma** *semi-attractive-subset*:  $B \subseteq A \implies$  *semi-attractive*  $B$  ( $\sqsubseteq$ )

*<proof>*

**end**

**lemmas** *semi-attractiveI* = *semi-attractive.intro*

**lemma** *semiattractive-cong*:  
**assumes**  $r: \bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b$   
**shows** *semiattractive*  $A r \longleftrightarrow \text{semiattractive } A r' \text{ (is ?l } \longleftrightarrow ?r)$   
 $\langle \text{proof} \rangle$

**lemma** *semiattractive-empty[intro!]*: *semiattractive*  $\{\}$   $r$   
 $\langle \text{proof} \rangle$

**locale** *attractive = semiattractive +*  
**assumes** *semiattractive*  $A (\sqsubseteq)^-$   
**begin**

**interpretation** *less-eq-symmetrize*  $\langle \text{proof} \rangle$

**sublocale** *dual: semiattractive*  $A (\sqsubseteq)^-$   
**rewrites**  $\bigwedge r. \text{sympartp } (r \upharpoonright A) \equiv \text{sympartp } r \upharpoonright A$   
**and**  $\bigwedge r. \text{sympartp } (\text{sympartp } r) \equiv \text{sympartp } r$   
**and**  $\text{sympartp } ((\sqsubseteq) \upharpoonright A)^- \equiv (\sim) \upharpoonright A$   
**and**  $\text{sympartp } (\sqsubseteq)^- \equiv (\sim)$   
**and**  $\text{equivpartp } (\sqsubseteq)^- \equiv (\simeq)$   
 $\langle \text{proof} \rangle$

**lemma** *order-equiv-trans[trans]*:  
**assumes**  $xy: x \sqsubseteq y$  **and**  $yz: y \simeq z$  **and**  $x: x \in A$  **and**  $y: y \in A$  **and**  $z: z \in A$   
**shows**  $x \sqsubseteq z$   
 $\langle \text{proof} \rangle$

**lemma** *order-sym-trans[trans]*:  
**assumes**  $xy: x \sqsubseteq y$  **and**  $yz: y \sim z$  **and**  $x: x \in A$  **and**  $y: y \in A$  **and**  $z: z \in A$   
**shows**  $x \sqsubseteq z$   
 $\langle \text{proof} \rangle$

**lemma** *extreme-bound-sym-trans*:  
**assumes**  $XA: X \subseteq A$  **and**  $Xx: \text{extreme-bound } A (\sqsubseteq) X x$   
**and**  $xy: x \sim y$  **and**  $yA: y \in A$   
**shows**  $\text{extreme-bound } A (\sqsubseteq) X y$   
 $\langle \text{proof} \rangle$

**interpretation** *Restr*: *semiattractive*  $UNIV (\sqsubseteq) \upharpoonright A \langle \text{proof} \rangle$   
**interpretation** *dual.Restrict*: *semiattractive*  $UNIV (\sqsubseteq)^- \upharpoonright A \langle \text{proof} \rangle$

**lemma** *Restr-attractive*: *attractive*  $UNIV ((\sqsubseteq) \upharpoonright A)$   
 $\langle \text{proof} \rangle$

**lemma** *attractive-subset*:  $B \subseteq A \implies \text{attractive } B (\sqsubseteq)$   
 $\langle \text{proof} \rangle$

**end**

**lemmas** *attractiveI* = *attractive.intro*[*OF* - *attractive-axioms.intro*]

**lemma** *attractive-cong*:

**assumes**  $r: \bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b$   
**shows**  $\text{attractive } A r \longleftrightarrow \text{attractive } A r'$   
 $\langle \text{proof} \rangle$

**lemma** *attractive-empty*[*intro!*]:  $\text{attractive } \{ \} r$   
 $\langle \text{proof} \rangle$

**context** *antisymmetric* **begin**

**sublocale** *attractive*  
 $\langle \text{proof} \rangle$

**end**

**context** *transitive* **begin**

**sublocale** *attractive*

**rewrites**  $\bigwedge r. \text{sympartp } (r \upharpoonright A) \equiv \text{sympartp } r \upharpoonright A$   
**and**  $\bigwedge r. \text{sympartp } (\text{sympartp } r) \equiv \text{sympartp } r$   
**and**  $\text{sympartp } (\sqsubseteq)^- \equiv \text{sympartp } (\sqsubseteq)$   
**and**  $(\text{sympartp } (\sqsubseteq))^- \equiv \text{sympartp } (\sqsubseteq)$   
**and**  $(\text{sympartp } (\sqsubseteq) \upharpoonright A)^- \equiv \text{sympartp } (\sqsubseteq) \upharpoonright A$   
**and**  $\text{asymptp } (\text{asymptp } (\sqsubseteq)) = \text{asymptp } (\sqsubseteq)$   
**and**  $\text{asymptp } (\text{sympartp } (\sqsubseteq)) = \text{bot}$   
**and**  $\text{asymptp } (\sqsubseteq) \upharpoonright A = \text{asymptp } ((\sqsubseteq) \upharpoonright A)$   
 $\langle \text{proof} \rangle$

**end**

## 2.3 Combined Properties

Some combinations of the above basic properties are given names.

**locale** *asymmetric* = *related-set*  $A (\sqsubseteq)$  **for**  $A$  **and** *less* (**infix**  $\sqsubseteq$  50) +  
**assumes**  $\text{asym}: x \sqsubseteq y \implies y \sqsubseteq x \implies x \in A \implies y \in A \implies \text{False}$   
**begin**

**sublocale** *irreflexive*  
 $\langle \text{proof} \rangle$

**lemma** *antisymmetric-axioms*:  $\text{antisymmetric } A (\sqsubseteq)$   
 $\langle \text{proof} \rangle$

**lemma** *Restrp-asymmetric*:  $\text{asymmetric } \text{UNIV } ((\sqsubseteq) \upharpoonright A)$   
 $\langle \text{proof} \rangle$

**lemma** *asymmetric-subset*:  $B \subseteq A \implies \text{asymmetric } B (\sqsubseteq)$

$\langle proof \rangle$   
**end**  
**lemmas** *asymmetricI* = *asymmetric.intro*  
**lemma** *asymmetric-iff-irreflexive-antisymmetric*:  
**fixes** *less* (**infix**  $\sqsubset$  50)  
**shows** *asymmetric*  $A$  ( $\sqsubset$ )  $\longleftrightarrow$  *irreflexive*  $A$  ( $\sqsubset$ )  $\wedge$  *antisymmetric*  $A$  ( $\sqsubset$ ) (**is ?l**  
 $\longleftrightarrow ?r$ )  
 $\langle proof \rangle$   
**lemma** *asymmetric-cong*:  
**assumes**  $r: \bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b$   
**shows** *asymmetric*  $A$   $r \longleftrightarrow$  *asymmetric*  $A$   $r'$   
 $\langle proof \rangle$   
**lemma** *asymmetric-empty*: *asymmetric*  $\{\}$   $r$   
 $\langle proof \rangle$   
**locale** *quasi-ordered-set* = *reflexive* + *transitive*  
**begin**  
**lemma** *quasi-ordered-subset*:  $B \subseteq A \implies$  *quasi-ordered-set*  $B$  ( $\sqsubseteq$ )  
 $\langle proof \rangle$   
**end**  
**lemmas** *quasi-ordered-setI* = *quasi-ordered-set.intro*  
**lemma** *quasi-ordered-set-cong*:  
**assumes**  $r: \bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b$   
**shows** *quasi-ordered-set*  $A$   $r \longleftrightarrow$  *quasi-ordered-set*  $A$   $r'$   
 $\langle proof \rangle$   
**lemma** *quasi-ordered-set-empty[intro!]*: *quasi-ordered-set*  $\{\}$   $r$   
 $\langle proof \rangle$   
**lemma** *rtranclp-quasi-ordered*: *quasi-ordered-set*  $A$  (*rtranclp*  $r$ )  
 $\langle proof \rangle$   
**locale** *near-ordered-set* = *antisymmetric* + *transitive*  
**begin**  
**interpretation** *Restrp*: *antisymmetric*  $UNIV$  ( $\sqsubseteq$ )  $\upharpoonright A$   $\langle proof \rangle$   
**interpretation** *Restrp*: *transitive*  $UNIV$  ( $\sqsubseteq$ )  $\upharpoonright A$   $\langle proof \rangle$   
**lemma** *Restrp-near-order*: *near-ordered-set*  $UNIV$  ( $(\sqsubseteq) \upharpoonright A$ )  $\langle proof \rangle$

**lemma** *near-ordered-subset*:  $B \subseteq A \implies \text{near-ordered-set } B \ (\sqsubseteq)$   
*<proof>*

**end**

**lemmas** *near-ordered-setI* = *near-ordered-set.intro*

**lemma** *near-ordered-set-cong*:

**assumes**  $r: \bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b$

**shows**  $\text{near-ordered-set } A r \longleftrightarrow \text{near-ordered-set } A r'$

*<proof>*

**lemma** *near-ordered-set-empty[intro!]*:  $\text{near-ordered-set } \{\} r$   
*<proof>*

**locale** *pseudo-ordered-set* = *reflexive* + *antisymmetric*  
**begin**

**interpretation** *less-eq-symmetrize**<proof>*

**lemma** *sym-eq[simp]*:  $x \in A \implies y \in A \implies x \sim y \longleftrightarrow x = y$   
*<proof>*

**lemma** *extreme-bound-singleton-eq[simp]*:  $x \in A \implies \text{extreme-bound } A \ (\sqsubseteq) \ \{x\} \ y$   
 $\longleftrightarrow x = y$   
*<proof>*

**lemma** *eq-iff*:  $x \in A \implies y \in A \implies x = y \longleftrightarrow x \sqsubseteq y \wedge y \sqsubseteq x$  *<proof>*

**lemma** *extreme-order-iff-eq*:  $e \in A \implies \text{extreme } \{x \in A. x \sqsubseteq e\} \ (\sqsubseteq) \ s \longleftrightarrow e = s$   
*<proof>*

**lemma** *pseudo-ordered-subset*:  $B \subseteq A \implies \text{pseudo-ordered-set } B \ (\sqsubseteq)$   
*<proof>*

**end**

**lemmas** *pseudo-ordered-setI* = *pseudo-ordered-set.intro*

**lemma** *pseudo-ordered-set-cong*:

**assumes**  $r: \bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b$

**shows**  $\text{pseudo-ordered-set } A r \longleftrightarrow \text{pseudo-ordered-set } A r'$

*<proof>*

**lemma** *pseudo-ordered-set-empty[intro!]*:  $\text{pseudo-ordered-set } \{\} r$   
*<proof>*

**locale** *partially-ordered-set* = *reflexive* + *antisymmetric* + *transitive*  
**begin**

**sublocale** *pseudo-ordered-set + quasi-ordered-set + near-ordered-set*  $\langle proof \rangle$

**lemma** *partially-ordered-subset*:  $B \subseteq A \implies$  *partially-ordered-set*  $B$   $(\square)$   
 $\langle proof \rangle$

**end**

**lemmas** *partially-ordered-setI* = *partially-ordered-set.intro*

**lemma** *partially-ordered-set-cong*:  
**assumes**  $r: \bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b$   
**shows** *partially-ordered-set*  $A r \longleftrightarrow$  *partially-ordered-set*  $A r'$   
 $\langle proof \rangle$

**lemma** *partially-ordered-set-empty[intro!]*: *partially-ordered-set*  $\{\}$   $r$   
 $\langle proof \rangle$

**locale** *strict-ordered-set* = *irreflexive + transitive*  $A$   $(\square)$   
**begin**

**sublocale** *asymmetric*  
 $\langle proof \rangle$

**lemma** *near-ordered-set-axioms*: *near-ordered-set*  $A$   $(\square)$   
 $\langle proof \rangle$

**interpretation** *Restrp*: *asymmetric UNIV*  $(\square) \upharpoonright A$   $\langle proof \rangle$

**interpretation** *Restrp*: *transitive UNIV*  $(\square) \upharpoonright A$   $\langle proof \rangle$

**lemma** *Restrp-strict-order*: *strict-ordered-set UNIV*  $((\square) \upharpoonright A)$   $\langle proof \rangle$

**lemma** *strict-ordered-subset*:  $B \subseteq A \implies$  *strict-ordered-set*  $B$   $(\square)$   
 $\langle proof \rangle$

**end**

**lemmas** *strict-ordered-setI* = *strict-ordered-set.intro*

**lemma** *strict-ordered-set-cong*:  
**assumes**  $r: \bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b$   
**shows** *strict-ordered-set*  $A r \longleftrightarrow$  *strict-ordered-set*  $A r'$   
 $\langle proof \rangle$

**lemma** *strict-ordered-set-empty[intro!]*: *strict-ordered-set*  $\{\}$   $r$   
 $\langle proof \rangle$

**locale** *tolerance* = *symmetric + reflexive*  $A$   $(\sim)$   
**begin**

**lemma** *tolerance-subset*:  $B \subseteq A \implies \text{tolerance } B (\sim)$   
 ⟨*proof*⟩

**end**

**lemmas** *toleranceI* = *tolerance.intro*

**lemma** *tolerance-cong*:  
**assumes**  $r: \bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b$   
**shows**  $\text{tolerance } A r \longleftrightarrow \text{tolerance } A r'$   
 ⟨*proof*⟩

**lemma** *tolerance-empty[intro!]*:  $\text{tolerance } \{\} r$  ⟨*proof*⟩

**global-interpretation** *equiv*: *tolerance UNIV equivpartp r*  
**rewrites**  $\bigwedge r. r \upharpoonright \text{UNIV} \equiv r$   
**and**  $\bigwedge x. x \in \text{UNIV} \equiv \text{True}$   
**and**  $\bigwedge P1. (\text{True} \implies P1) \equiv \text{Trueprop } P1$   
**and**  $\bigwedge P1 P2. (\text{True} \implies \text{PROP } P1 \implies \text{PROP } P2) \equiv (\text{PROP } P1 \implies \text{PROP } P2)$   
 ⟨*proof*⟩

**locale** *partial-equivalence* = *symmetric* +  
**assumes** *transitive*  $A (\sim)$   
**begin**

**sublocale** *transitive*  $A (\sim)$   
**rewrites**  $\text{sympartp } (\sim) \upharpoonright A \equiv (\sim) \upharpoonright A$   
**and**  $\text{sympartp } ((\sim) \upharpoonright A) \equiv (\sim) \upharpoonright A$   
 ⟨*proof*⟩

**lemma** *partial-equivalence-subset*:  $B \subseteq A \implies \text{partial-equivalence } B (\sim)$   
 ⟨*proof*⟩

**end**

**lemmas** *partial-equivalenceI* = *partial-equivalence.intro*[*OF - partial-equivalence-axioms.intro*]

**lemma** *partial-equivalence-cong*:  
**assumes**  $r: \bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b$   
**shows**  $\text{partial-equivalence } A r \longleftrightarrow \text{partial-equivalence } A r'$   
 ⟨*proof*⟩

**lemma** *partial-equivalence-empty[intro!]*:  $\text{partial-equivalence } \{\} r$   
 ⟨*proof*⟩

**locale** *equivalence* = *symmetric* + *reflexive*  $A (\sim)$  + *transitive*  $A (\sim)$   
**begin**



**sublocale** *tolerance + partial-equivalence + quasi-ordered-set*  $A$  ( $\sim$ ) $\langle$ proof $\rangle$

**lemma** *equivalence-subset*:  $B \subseteq A \implies \text{equivalence } B$  ( $\sim$ )  
 $\langle$ proof $\rangle$

**end**

**lemmas** *equivalenceI = equivalence.intro*

**lemma** *equivalence-cong*:

**assumes**  $r: \bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b$   
**shows** *equivalence*  $A$   $r \longleftrightarrow$  *equivalence*  $A$   $r'$   
 $\langle$ proof $\rangle$

Some combinations lead to uninteresting relations.

**context**

**fixes**  $r :: 'a \Rightarrow 'a \Rightarrow \text{bool}$  (**infix**  $\bowtie$  50)

**begin**

**proposition** *reflexive-irreflexive-is-empty*:

**assumes**  $r$ : *reflexive*  $A$  ( $\bowtie$ ) **and**  $ir$ : *irreflexive*  $A$  ( $\bowtie$ )  
**shows**  $A = \{\}$   
 $\langle$ proof $\rangle$

**proposition** *symmetric-antisymmetric-imp-eq*:

**assumes**  $s$ : *symmetric*  $A$  ( $\bowtie$ ) **and**  $as$ : *antisymmetric*  $A$  ( $\bowtie$ )  
**shows**  $(\bowtie) \upharpoonright A \leq (=)$   
 $\langle$ proof $\rangle$

**proposition** *nontolerance*:

**shows** *irreflexive*  $A$  ( $\bowtie$ )  $\wedge$  *symmetric*  $A$  ( $\bowtie$ )  $\longleftrightarrow$  *tolerance*  $A$  ( $\lambda x y. \neg x \bowtie y$ )  
 $\langle$ proof $\rangle$

**proposition** *irreflexive-transitive-symmetric-is-empty*:

**assumes**  $irr$ : *irreflexive*  $A$  ( $\bowtie$ ) **and**  $tr$ : *transitive*  $A$  ( $\bowtie$ ) **and**  $sym$ : *symmetric*  $A$  ( $\bowtie$ )  
**shows**  $(\bowtie) \upharpoonright A = \text{bot}$   
 $\langle$ proof $\rangle$

**end**

## 2.4 Totality

**locale** *semiconnex = related-set - ( $\sqsubset$ ) + less-syntax +*

**assumes** *semiconnex*:  $x \in A \implies y \in A \implies x \sqsubset y \vee x = y \vee y \sqsubset x$

**begin**

**lemma** *cases*[*consumes 2, case-names less eq greater*]:

**assumes**  $x \in A$  and  $y \in A$  and  $x \sqsubset y \implies P$  and  $x = y \implies P$  and  $y \sqsubset x \implies P$

**shows**  $P$  *<proof>*

**lemma** *negE*:

**assumes**  $x \in A$  and  $y \in A$

**shows**  $x \neq y \implies (x \sqsubset y \implies P) \implies (y \sqsubset x \implies P) \implies P$   
*<proof>*

**lemma** *semiconnex-subset*:  $B \subseteq A \implies \text{semiconnex } B \ (\sqsubset)$

*<proof>*

**end**

**lemmas** *semiconnexI*[*intro*] = *semiconnex.intro*

Totality is negated antisymmetry [19, Proposition 2.2.4].

**proposition** *semiconnex-iff-neg-antisymmetric*:

**fixes** *less* (**infix**  $\sqsubset$  50)

**shows**  $\text{semiconnex } A \ (\sqsubset) \longleftrightarrow \text{antisymmetric } A \ (\lambda x y. \neg x \sqsubset y)$  (**is**  $?l \longleftrightarrow ?r$ )  
*<proof>*

**lemma** *semiconnex-cong*:

**assumes**  $r: \bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b$

**shows**  $\text{semiconnex } A \ r \longleftrightarrow \text{semiconnex } A \ r'$

*<proof>*

**locale** *semiconnex-irreflexive* = *semiconnex + irreflexive*

**begin**

**lemma** *neg-iff*:  $x \in A \implies y \in A \implies x \neq y \longleftrightarrow x \sqsubset y \vee y \sqsubset x$  *<proof>*

**lemma** *semiconnex-irreflexive-subset*:  $B \subseteq A \implies \text{semiconnex-irreflexive } B \ (\sqsubset)$

*<proof>*

**end**

**lemmas** *semiconnex-irreflexiveI* = *semiconnex-irreflexive.intro*

**lemma** *semiconnex-irreflexive-cong*:

**assumes**  $r: \bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b$

**shows**  $\text{semiconnex-irreflexive } A \ r \longleftrightarrow \text{semiconnex-irreflexive } A \ r'$

*<proof>*

**locale** *connex* = *related-set +*

**assumes** *comparable*:  $x \in A \implies y \in A \implies x \sqsubseteq y \vee y \sqsubseteq x$

**begin**

**interpretation** *less-eq-asymmetrize**<proof>*

**sublocale** *reflexive* ⟨*proof*⟩

**lemma** *comparable-cases*[*consumes 2, case-names le ge*]:

**assumes**  $x \in A$  **and**  $y \in A$  **and**  $x \sqsubseteq y \implies P$  **and**  $y \sqsubseteq x \implies P$  **shows**  $P$   
⟨*proof*⟩

**lemma** *comparable-three-cases*[*consumes 2, case-names less eq greater*]:

**assumes**  $x \in A$  **and**  $y \in A$  **and**  $x \sqsubset y \implies P$  **and**  $x \sim y \implies P$  **and**  $y \sqsubset x \implies P$  **shows**  $P$   
⟨*proof*⟩

**lemma**

**assumes**  $x: x \in A$  **and**  $y: y \in A$   
**shows** *not-iff-asym*:  $\neg x \sqsubseteq y \longleftrightarrow y \sqsubset x$   
**and** *not-asym-iff*:  $\neg x \sqsubset y \longleftrightarrow y \sqsubseteq x$   
⟨*proof*⟩

**lemma** *connex-subset*:  $B \subseteq A \implies \text{connex } B \ (\sqsubseteq)$

⟨*proof*⟩

**interpretation** *less-eq-asymmetrize*⟨*proof*⟩

**end**

**lemmas** *connexI*[*intro*] = *connex.intro*

**lemmas** *connexE* = *connex.comparable-cases*

**lemma** *connex-empty*:  $\text{connex } \{\} A$  ⟨*proof*⟩

**context**

**fixes** *less-eq* :: 'a  $\Rightarrow$  'a  $\Rightarrow$  bool (**infix**  $\sqsubseteq$  50)

**begin**

**lemma** *connex-iff-semiconnex-reflexive*:  $\text{connex } A \ (\sqsubseteq) \longleftrightarrow \text{semiconnex } A \ (\sqsubseteq) \wedge \text{reflexive } A \ (\sqsubseteq)$

(**is**  $?c \longleftrightarrow ?t \wedge ?r$ )

⟨*proof*⟩

**lemma** *chain-connect*: *Complete-Partial-Order.chain*  $r A \equiv \text{connex } A r$

⟨*proof*⟩

**lemma** *connex-union*:

**assumes**  $\text{connex } X \ (\sqsubseteq)$  **and**  $\text{connex } Y \ (\sqsubseteq)$  **and**  $\forall x \in X. \forall y \in Y. x \sqsubseteq y \vee y \sqsubseteq x$

**shows**  $\text{connex } (X \cup Y) \ (\sqsubseteq)$

⟨*proof*⟩

**end**

**lemma** *connex-cong*:

**assumes**  $r: \bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b$

**shows**  $\text{connex } A r \longleftrightarrow \text{connex } A r'$

*<proof>*

**locale** *total-pseudo-ordered-set* = *connex* + *antisymmetric*

**begin**

**sublocale** *pseudo-ordered-set* *<proof>*

**lemma** *not-weak-iff*:

**assumes**  $x: x \in A$  **and**  $y: y \in A$  **shows**  $\neg y \sqsubseteq x \longleftrightarrow x \sqsubseteq y \wedge x \neq y$

*<proof>*

**lemma** *total-pseudo-ordered-subset*:  $B \subseteq A \implies \text{total-pseudo-ordered-set } B \ (\sqsubseteq)$

*<proof>*

**interpretation** *less-eq-asymmetrize**<proof>*

**interpretation** *asympartp*: *semiconnex-irreflexive*  $A \ (\sqsubseteq)$

*<proof>*

**lemmas** *asympartp-semiconnex* = *asympartp.semiconnex-axioms*

**lemmas** *asympartp-semiconnex-irreflexive* = *asympartp.semiconnex-irreflexive-axioms*

**end**

**lemmas** *total-pseudo-ordered-setI* = *total-pseudo-ordered-set.intro*

**lemma** *total-pseudo-ordered-set-cong*:

**assumes**  $r: \bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b$

**shows**  $\text{total-pseudo-ordered-set } A r \longleftrightarrow \text{total-pseudo-ordered-set } A r'$

*<proof>*

**locale** *total-quasi-ordered-set* = *connex* + *transitive*

**begin**

**sublocale** *quasi-ordered-set* *<proof>*

**lemma** *total-quasi-ordered-subset*:  $B \subseteq A \implies \text{total-quasi-ordered-set } B \ (\sqsubseteq)$

*<proof>*

**end**

**lemmas** *total-quasi-ordered-setI* = *total-quasi-ordered-set.intro*

**lemma** *total-quasi-ordered-set-cong*:

**assumes**  $r: \bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b$   
**shows**  $total\text{-}quasi\text{-}ordered\text{-}set A r \longleftrightarrow total\text{-}quasi\text{-}ordered\text{-}set A r'$   
 $\langle proof \rangle$

**locale**  $total\text{-}ordered\text{-}set = total\text{-}quasi\text{-}ordered\text{-}set + antisymmetric$   
**begin**

**sublocale**  $partially\text{-}ordered\text{-}set + total\text{-}pseudo\text{-}ordered\text{-}set \langle proof \rangle$

**lemma**  $total\text{-}ordered\text{-}subset: B \subseteq A \implies total\text{-}ordered\text{-}set B (\sqsubseteq)$   
 $\langle proof \rangle$

**lemma**  $weak\text{-}semiconnex: semiconnex A (\sqsubseteq)$   
 $\langle proof \rangle$

**interpretation**  $less\text{-}eq\text{-}asymmetrize \langle proof \rangle$

**end**

**lemmas**  $total\text{-}ordered\text{-}setI = total\text{-}ordered\text{-}set.intro[OF total\text{-}quasi\text{-}ordered\text{-}setI]$

**lemma**  $total\text{-}ordered\text{-}set\text{-}cong:$   
**assumes**  $r: \bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b$   
**shows**  $total\text{-}ordered\text{-}set A r \longleftrightarrow total\text{-}ordered\text{-}set A r'$   
 $\langle proof \rangle$

**lemma**  $monotone\text{-}connex\text{-}image:$   
**fixes**  $ir$  (**infix**  $\preceq$  50) **and**  $r$  (**infix**  $\sqsubseteq$  50)  
**assumes**  $mono: monotone\text{-}on I (\preceq) (\sqsubseteq) f$  **and**  $connex: connex I (\preceq)$   
**shows**  $connex (f ' I) (\sqsubseteq)$   
 $\langle proof \rangle$

## 2.5 Order Pairs

We pair a relation (weak part) with a well-behaving “strict” part. Here no assumption is put on the “weak” part.

**locale**  $compatible\text{-}ordering =$   
 $related\text{-}set + irreflexive +$   
**assumes**  $strict\text{-}implies\text{-}weak: x \sqsubset y \implies x \in A \implies y \in A \implies x \sqsubseteq y$   
**assumes**  $weak\text{-}strict\text{-}trans[trans]: x \sqsubseteq y \implies y \sqsubset z \implies x \in A \implies y \in A \implies z \in A \implies x \sqsubset z$   
**assumes**  $strict\text{-}weak\text{-}trans[trans]: x \sqsubset y \implies y \sqsubseteq z \implies x \in A \implies y \in A \implies z \in A \implies x \sqsubset z$   
**begin**

The following sequence of declarations are in order to obtain fact names in a manner similar to the Isabelle/HOL facts of orders.

The strict part is necessarily transitive.

**sublocale** *strict: transitive*  $A$   $(\sqsubset)$   
*<proof>*

**sublocale** *strict-ordered-set*  $A$   $(\sqsubset)$  *<proof>*

**thm** *strict.trans asym irrefl*

**lemma** *Restrp-compatible-ordering: compatible-ordering UNIV*  $((\sqsubseteq)\upharpoonright A)$   $((\sqsubset)\upharpoonright A)$   
*<proof>*

**lemma** *strict-implies-not-weak*:  $x \sqsubset y \implies x \in A \implies y \in A \implies \neg y \sqsubseteq x$   
*<proof>*

**lemma** *weak-implies-not-strict*:  
**assumes**  $xy: x \sqsubseteq y$  **and**  $[simp]: x \in A \ y \in A$   
**shows**  $\neg y \sqsubset x$   
*<proof>*

**lemma** *compatible-ordering-subset*: **assumes**  $X \subseteq A$  **shows** *compatible-ordering*  
 $X$   $(\sqsubseteq)$   $(\sqsubset)$   
*<proof>*

**end**

**context** *transitive* **begin**

**interpretation** *less-eq-asymmetrize* *<proof>*

**lemma** *asym-trans*  $[trans]$ :  
**shows**  $x \sqsubset y \implies y \sqsubseteq z \implies x \in A \implies y \in A \implies z \in A \implies x \sqsubset z$   
**and**  $x \sqsubseteq y \implies y \sqsubset z \implies x \in A \implies y \in A \implies z \in A \implies x \sqsubset z$   
*<proof>*

**lemma** *asymptp-compatible-ordering: compatible-ordering*  $A$   $(\sqsubseteq)$   $(\sqsubset)$   
*<proof>*

**end**

**locale** *reflexive-ordering* = *reflexive* + *compatible-ordering*

**locale** *reflexive-attractive-ordering* = *reflexive-ordering* + *attractive*

**locale** *pseudo-ordering* = *pseudo-ordered-set* + *compatible-ordering*  
**begin**

**sublocale** *reflexive-attractive-ordering* *<proof>*

**end**

**locale** *quasi-ordering* = *quasi-ordered-set* + *compatible-ordering*  
**begin**

**sublocale** *reflexive-attractive-ordering*⟨*proof*⟩

**lemma** *quasi-ordering-subset*: **assumes**  $X \subseteq A$  **shows** *quasi-ordering*  $X$  ( $\sqsubseteq$ ) ( $\sqsubset$ )  
⟨*proof*⟩

**end**

**context** *quasi-ordered-set* **begin**

**interpretation** *less-eq-asymmetrize*⟨*proof*⟩

**lemma** *asymptp-quasi-ordering*: *quasi-ordering*  $A$  ( $\sqsubseteq$ ) ( $\sqsubset$ )  
⟨*proof*⟩

**end**

**locale** *partial-ordering* = *partially-ordered-set* + *compatible-ordering*  
**begin**

**sublocale** *quasi-ordering* + *pseudo-ordering*⟨*proof*⟩

**lemma** *partial-ordering-subset*: **assumes**  $X \subseteq A$  **shows** *partial-ordering*  $X$  ( $\sqsubseteq$ )  
( $\sqsubset$ )  
⟨*proof*⟩

**end**

**context** *partially-ordered-set* **begin**

**interpretation** *less-eq-asymmetrize*⟨*proof*⟩

**lemma** *asymptp-partial-ordering*: *partial-ordering*  $A$  ( $\sqsubseteq$ ) ( $\sqsubset$ )  
⟨*proof*⟩

**end**

**locale** *total-quasi-ordering* = *total-quasi-ordered-set* + *compatible-ordering*  
**begin**

**sublocale** *quasi-ordering*⟨*proof*⟩

**lemma** *total-quasi-ordering-subset*: **assumes**  $X \subseteq A$  **shows** *total-quasi-ordering*  
 $X$  ( $\sqsubseteq$ ) ( $\sqsubset$ )  
⟨*proof*⟩

**end**

**context** *total-quasi-ordered-set* **begin**

**interpretation** *less-eq-asymmetrize*⟨*proof*⟩

**lemma** *asymptp-total-quasi-ordering*: *total-quasi-ordering*  $A$  ( $\sqsubseteq$ ) ( $\sqsubset$ )  
⟨*proof*⟩

**end**

Fixing the definition of the strict part is very common, though it looks restrictive to the author.

**locale** *strict-quasi-ordering* = *quasi-ordered-set* + *less-syntax* +  
**assumes** *strict-iff*:  $x \in A \implies y \in A \implies x \sqsubset y \longleftrightarrow x \sqsubseteq y \wedge \neg y \sqsubseteq x$   
**begin**

**sublocale** *compatible-ordering*  
⟨*proof*⟩

**end**

**locale** *strict-partial-ordering* = *strict-quasi-ordering* + *antisymmetric*  
**begin**

**sublocale** *partial-ordering*⟨*proof*⟩

**lemma** *strict-iff-neq*:  $x \in A \implies y \in A \implies x \sqsubset y \longleftrightarrow x \sqsubseteq y \wedge x \neq y$   
⟨*proof*⟩

**end**

**locale** *total-ordering* = *reflexive* + *compatible-ordering* + *semiconnex*  $A$  ( $\sqsubset$ )  
**begin**

**sublocale** *semiconnex-irreflexive* ⟨*proof*⟩

**sublocale** *connex*  
⟨*proof*⟩

**lemma** *not-weak*:  
**assumes**  $x \in A$  **and**  $y \in A$  **shows**  $\neg x \sqsubseteq y \longleftrightarrow y \sqsubset x$   
⟨*proof*⟩

**lemma** *not-strict*:  $x \in A \implies y \in A \implies \neg x \sqsubset y \longleftrightarrow y \sqsubseteq x$   
⟨*proof*⟩

**sublocale** *strict-partial-ordering*  
⟨*proof*⟩



**sublocale** *total-ordered-set*(*proof*)

**context**

**fixes** *s*

**assumes** *s*:  $\forall x \in A. x \sqsubset s \longrightarrow (\exists z \in A. x \sqsubset z \wedge z \sqsubset s)$  **and** *sA*:  $s \in A$   
**begin**

**lemma** *dense-weakI*:

**assumes** *bound*:  $\bigwedge x. x \sqsubset s \implies x \in A \implies x \sqsubseteq y$  **and** *yA*:  $y \in A$

**shows**  $s \sqsubseteq y$

*<proof>*

**lemma** *dense-bound-iff*:

**assumes** *bA*:  $b \in A$  **shows**  $\text{bound } \{x \in A. x \sqsubset s\} (\sqsubseteq) b \longleftrightarrow s \sqsubseteq b$

*<proof>*

**lemma** *dense-extreme-bound*:

*extreme-bound*  $A (\sqsubseteq) \{x \in A. x \sqsubset s\} s$

*<proof>*

**end**

**lemma** *ordinal-cases*[*consumes 1, case-names suc lim*]:

**assumes** *aA*:  $a \in A$

**and** *suc*:  $\bigwedge p. \text{extreme } \{x \in A. x \sqsubset a\} (\sqsubseteq) p \implies \text{thesis}$

**and** *lim*:  $\text{extreme-bound } A (\sqsubseteq) \{x \in A. x \sqsubset a\} a \implies \text{thesis}$

**shows** *thesis*

*<proof>*

**end**

**context** *total-ordered-set* **begin**

**interpretation** *less-eq-asymmetrize*(*proof*)

**lemma** *asymptp-total-ordering*: *total-ordering*  $A (\sqsubseteq) (\sqsubset)$

*<proof>*

**end**

## 2.6 Functions

**definition** *pointwise*  $I r f g \equiv \forall i \in I. r (f i) (g i)$

**lemmas** *pointwiseI* = *pointwise-def*[*unfolded atomize-eq, THEN iffD2, rule-format*]

**lemmas** *pointwiseD*[*simp*] = *pointwise-def*[*unfolded atomize-eq, THEN iffD1, rule-format*]

**lemma** *pointwise-cong*:

**assumes**  $r = r' \wedge i. i \in I \implies f i = f' i \wedge i. i \in I \implies g i = g' i$   
**shows**  $\text{pointwise } I r f g = \text{pointwise } I r' f' g'$   
 $\langle \text{proof} \rangle$

**lemma** *pointwise-empty[simp]*:  $\text{pointwise } \{\} = \top \langle \text{proof} \rangle$

**lemma** *dual-pointwise[simp]*:  $(\text{pointwise } I r)^- = \text{pointwise } I r^-$   
 $\langle \text{proof} \rangle$

**lemma** *pointwise-dual*:  $\text{pointwise } I r^- f g \implies \text{pointwise } I r g f \langle \text{proof} \rangle$

**lemma** *pointwise-un*:  $\text{pointwise } (I \cup J) r = \text{pointwise } I r \sqcap \text{pointwise } J r$   
 $\langle \text{proof} \rangle$

**lemma** *pointwise-unI[intro!]*:  $\text{pointwise } I r f g \implies \text{pointwise } J r f g \implies \text{pointwise } (I \cup J) r f g$   
 $\langle \text{proof} \rangle$

**lemma** *pointwise-bound*:  $\text{bound } F (\text{pointwise } I r) f \longleftrightarrow (\forall i \in I. \text{bound } \{f i \mid f \in F\} r (f i))$   
 $\langle \text{proof} \rangle$

**lemma** *pointwise-extreme*:  
**shows**  $\text{extreme } F (\text{pointwise } X r) e \longleftrightarrow e \in F \wedge (\forall x \in X. \text{extreme } \{f x \mid f \in F\} r (e x))$   
 $\langle \text{proof} \rangle$

**lemma** *pointwise-extreme-bound*:  
**fixes**  $r$  (**infix**  $\sqsubseteq$  50)  
**assumes**  $F: F \subseteq \{f. f ' X \subseteq A\}$   
**shows**  $\text{extreme-bound } \{f. f ' X \subseteq A\} (\text{pointwise } X (\sqsubseteq)) F s \longleftrightarrow$   
 $(\forall x \in X. \text{extreme-bound } A (\sqsubseteq) \{f x \mid f \in F\} (s x))$  (**is**  $?p \longleftrightarrow ?a$ )  
 $\langle \text{proof} \rangle$

**lemma** *dual-pointwise-extreme-bound*:  
 $\text{extreme-bound } F A (\text{pointwise } X r)^- F = \text{extreme-bound } F A (\text{pointwise } X r^-) F$   
 $\langle \text{proof} \rangle$

**lemma** *pointwise-monotone-on*:  
**fixes** *less-eq* (**infix**  $\sqsubseteq$  50) **and** *prec-eq* (**infix**  $\preceq$  50)  
**shows**  $\text{monotone-on } I (\preceq) (\text{pointwise } A (\sqsubseteq)) f \longleftrightarrow$   
 $(\forall a \in A. \text{monotone-on } I (\preceq) (\sqsubseteq) (\lambda i. f i a))$  (**is**  $?l \longleftrightarrow ?r$ )  
 $\langle \text{proof} \rangle$

**lemmas** *pointwise-monotone* = *pointwise-monotone-on*[of UNIV]

**lemma** (**in** *reflexive*) *pointwise-reflexive*:  $\text{reflexive } \{f. f ' I \subseteq A\} (\text{pointwise } I (\sqsubseteq))$   
 $\langle \text{proof} \rangle$

**lemma** (in *irreflexive*) *pointwise-irreflexive*:  
**assumes**  $I0: I \neq \{\}$  **shows** *irreflexive*  $\{f. f \text{ ' } I \subseteq A\}$  (*pointwise*  $I$  ( $\sqsubset$ ))  
 <proof>

**lemma** (in *semiattractive*) *pointwise-semi-attractive*: *semi-attractive*  $\{f. f \text{ ' } I \subseteq A\}$   
 (*pointwise*  $I$  ( $\sqsubseteq$ ))  
 <proof>

**lemma** (in *attractive*) *pointwise-attractive*: *attractive*  $\{f. f \text{ ' } I \subseteq A\}$  (*pointwise*  $I$  ( $\sqsubseteq$ ))  
 <proof>

Antisymmetry will not be preserved by pointwise extension over restricted domain.

**lemma** (in *antisymmetric*) *pointwise-antisymmetric*:  
*antisymmetric*  $\{f. f \text{ ' } I \subseteq A\}$  (*pointwise*  $I$  ( $\sqsubseteq$ ))  
 <proof>

**lemma** (in *transitive*) *pointwise-transitive*: *transitive*  $\{f. f \text{ ' } I \subseteq A\}$  (*pointwise*  $I$  ( $\sqsubseteq$ ))  
 <proof>

**lemma** (in *quasi-ordered-set*) *pointwise-quasi-order*:  
*quasi-ordered-set*  $\{f. f \text{ ' } I \subseteq A\}$  (*pointwise*  $I$  ( $\sqsubseteq$ ))  
 <proof>

**lemma** (in *compatible-ordering*) *pointwise-compatible-ordering*:  
**assumes**  $I0: I \neq \{\}$   
**shows** *compatible-ordering*  $\{f. f \text{ ' } I \subseteq A\}$  (*pointwise*  $I$  ( $\sqsubseteq$ )) (*pointwise*  $I$  ( $\sqsubset$ ))  
 <proof>

## 2.7 Relating to Classes

In Isabelle 2020, we should declare sublocales in class before declaring dual sublocales, since otherwise facts would be prefixed by “dual.dual.”

**context** *ord* **begin**

**abbreviation** *least* **where** *least*  $X \equiv \text{extreme } X (\lambda x y. y \leq x)$

**abbreviation** *greatest* **where** *greatest*  $X \equiv \text{extreme } X (\leq)$

**abbreviation** *supremum* **where** *supremum*  $X \equiv \text{least } (\text{Collect } (\text{bound } X (\leq)))$

**abbreviation** *infimum* **where** *infimum*  $X \equiv \text{greatest } (\text{Collect } (\text{bound } X (\lambda x y. y \leq x)))$

**lemma** *supremumI*:  $\text{bound } X (\leq) s \implies (\bigwedge b. \text{bound } X (\leq) b \implies s \leq b) \implies \text{supremum } X s$

**and** *infimumI*:  $\text{bound } X (\geq) i \implies (\bigwedge b. \text{bound } X (\geq) b \implies b \leq i) \implies \text{infimum } X i$

*<proof>*

**lemma** *supremumE*:  $\text{supremum } X s \implies$

$(\text{bound } X (\leq) s \implies (\bigwedge b. \text{bound } X (\leq) b \implies s \leq b) \implies \text{thesis}) \implies \text{thesis}$

**and** *infimumE*:  $\text{infimum } X i \implies$

$(\text{bound } X (\geq) i \implies (\bigwedge b. \text{bound } X (\geq) b \implies b \leq i) \implies \text{thesis}) \implies \text{thesis}$

*<proof>*

**lemma** *extreme-bound-supremum[simp]*:  $\text{extreme-bound } UNIV (\leq) = \text{supremum}$   
*<proof>*

**lemma** *extreme-bound-infimum[simp]*:  $\text{extreme-bound } UNIV (\geq) = \text{infimum}$  *<proof>*

**lemma** *Least-eq-The-least*:  $\text{Least } P = \text{The } (\text{least } \{x. P x\})$

*<proof>*

**lemma** *Greatest-eq-The-greatest*:  $\text{Greatest } P = \text{The } (\text{greatest } \{x. P x\})$

*<proof>*

**end**

**lemma** *Ball-UNIV[simp]*:  $\text{Ball } UNIV = \text{All}$  *<proof>*

**lemma** *Bex-UNIV[simp]*:  $\text{Bex } UNIV = \text{Ex}$  *<proof>*

**lemma** *pointwise-UNIV-le[simp]*:  $\text{pointwise } UNIV (\leq) = (\leq)$  *<proof>*

**lemma** *pointwise-UNIV-ge[simp]*:  $\text{pointwise } UNIV (\geq) = (\geq)$  *<proof>*

**lemma** *fun-supremum-iff*:  $\text{supremum } F e \longleftrightarrow (\forall x. \text{supremum } \{f x \mid f \in F\} (e x))$

*<proof>*

**lemma** *fun-infimum-iff*:  $\text{infimum } F e \longleftrightarrow (\forall x. \text{infimum } \{f x \mid f \in F\} (e x))$

*<proof>*

**class** *reflorder* = *ord* + **assumes** *reflexive-ordering*  $UNIV (\leq) (<)$

**begin**

**sublocale** *order*: *reflexive-ordering*  $UNIV$

**rewrites**  $\bigwedge x. x \in UNIV \equiv \text{True}$

**and**  $\bigwedge X. X \subseteq UNIV \equiv \text{True}$

**and**  $\bigwedge r. r \upharpoonright UNIV \equiv r$

**and**  $\bigwedge P. \text{True} \wedge P \equiv P$

**and**  $\text{Ball } UNIV \equiv \text{All}$

**and**  $\text{Bex } UNIV \equiv \text{Ex}$

**and**  $\text{sympartp } (\leq)^- \equiv \text{sympartp } (\leq)$

**and**  $\bigwedge P1. (\text{True} \implies \text{PROP } P1) \equiv \text{PROP } P1$

**and**  $\bigwedge P1. (\text{True} \implies P1) \equiv \text{Trueprop } P1$

```

and  $\bigwedge P1 P2. (True \implies PROP P1 \implies PROP P2) \equiv (PROP P1 \implies PROP P2)$ 
  <proof>

```

**end**

We should have imported locale-based facts in classes, e.g.:

```

thm order.trans order.strict.trans order.refl order.irrefl order.asym order.extreme-bound-singleton

```

```

class attrorder = ord +
  assumes reflexive-attractive-ordering UNIV ( $\leq$ ) ( $<$ )
begin

```

We need to declare subclasses before subclasses in order to preserve facts for superclasses.

```

subclass reflorder
  <proof>

```

```

sublocale order: reflexive-attractive-ordering UNIV
  rewrites  $\bigwedge x. x \in UNIV \equiv True$ 
    and  $\bigwedge X. X \subseteq UNIV \equiv True$ 
    and  $\bigwedge r. r \upharpoonright UNIV \equiv r$ 
    and  $\bigwedge P. True \wedge P \equiv P$ 
    and Ball UNIV  $\equiv All$ 
    and Bex UNIV  $\equiv Ex$ 
    and sympartp ( $\leq$ )-  $\equiv sympartp$  ( $\leq$ )
    and  $\bigwedge P1. (True \implies PROP P1) \equiv PROP P1$ 
    and  $\bigwedge P1. (True \implies P1) \equiv Trueprop P1$ 
    and  $\bigwedge P1 P2. (True \implies PROP P1 \implies PROP P2) \equiv (PROP P1 \implies PROP P2)$ 
  <proof>

```

**end**

```

thm order.extreme-bound-quasi-const

```

```

class psorder = ord + assumes pseudo-ordering UNIV ( $\leq$ ) ( $<$ )
begin

```

```

subclass attrorder
  <proof>

```

```

sublocale order: pseudo-ordering UNIV
  rewrites  $\bigwedge x. x \in UNIV \equiv True$ 
    and  $\bigwedge X. X \subseteq UNIV \equiv True$ 
    and  $\bigwedge r. r \upharpoonright UNIV \equiv r$ 
    and  $\bigwedge P. True \wedge P \equiv P$ 
    and Ball UNIV  $\equiv All$ 
    and Bex UNIV  $\equiv Ex$ 

```

```

and sympartp ( $\leq$ )-  $\equiv$  sympartp ( $\leq$ )
and  $\bigwedge P1. (True \implies PROP P1) \equiv PROP P1$ 
and  $\bigwedge P1. (True \implies P1) \equiv Trueprop P1$ 
and  $\bigwedge P1 P2. (True \implies PROP P1 \implies PROP P2) \equiv (PROP P1 \implies PROP$ 
P2)
  <proof>

```

**end**

```

class qorder = ord + assumes quasi-ordering UNIV ( $\leq$ ) ( $<$ )
begin

```

```

subclass attrorder
  <proof>

```

```

sublocale order: quasi-ordering UNIV
  rewrites  $\bigwedge x. x \in UNIV \equiv True$ 
  and  $\bigwedge X. X \subseteq UNIV \equiv True$ 
  and  $\bigwedge r. r \upharpoonright UNIV \equiv r$ 
  and  $\bigwedge P. True \wedge P \equiv P$ 
  and Ball UNIV  $\equiv All$ 
  and Bex UNIV  $\equiv Ex$ 
  and sympartp ( $\leq$ )-  $\equiv$  sympartp ( $\leq$ )
  and  $\bigwedge P1. (True \implies PROP P1) \equiv PROP P1$ 
  and  $\bigwedge P1. (True \implies P1) \equiv Trueprop P1$ 
  and  $\bigwedge P1 P2. (True \implies PROP P1 \implies PROP P2) \equiv (PROP P1 \implies PROP$ 
P2)
  <proof>

```

```

lemmas [intro!] = order.quasi-ordered-subset

```

**end**

```

class porder = ord + assumes partial-ordering UNIV ( $\leq$ ) ( $<$ )
begin

```

```

interpretation partial-ordering UNIV
  <proof>

```

```

subclass porder <proof>

```

```

subclass qorder <proof>

```

```

sublocale order: partial-ordering UNIV
  rewrites  $\bigwedge x. x \in UNIV \equiv True$ 
  and  $\bigwedge X. X \subseteq UNIV \equiv True$ 
  and  $\bigwedge r. r \upharpoonright UNIV \equiv r$ 
  and  $\bigwedge P. True \wedge P \equiv P$ 
  and Ball UNIV  $\equiv All$ 

```

```

and Bex UNIV  $\equiv$  Ex
and sympartp  $(\leq)^- \equiv$  sympartp  $(\leq)$ 
and  $\bigwedge P1. (True \implies PROP P1) \equiv PROP P1$ 
and  $\bigwedge P1. (True \implies P1) \equiv Trueprop P1$ 
and  $\bigwedge P1 P2. (True \implies PROP P1 \implies PROP P2) \equiv (PROP P1 \implies PROP$ 
P2)
  <proof>

```

**end**

```

class linqorder = ord + assumes total-quasi-ordering UNIV  $(\leq)$   $(<)$ 
begin

```

```

interpretation total-quasi-ordering UNIV
  <proof>

```

```

subclass qorder <proof>

```

```

sublocale order: total-quasi-ordering UNIV
  rewrites  $\bigwedge x. x \in UNIV \equiv True$ 
  and  $\bigwedge X. X \subseteq UNIV \equiv True$ 
  and  $\bigwedge r. r \upharpoonright UNIV \equiv r$ 
  and  $\bigwedge P. True \wedge P \equiv P$ 
  and Ball UNIV  $\equiv All$ 
  and Bex UNIV  $\equiv Ex$ 
  and sympartp  $(\leq)^- \equiv$  sympartp  $(\leq)$ 
  and  $\bigwedge P1. (True \implies PROP P1) \equiv PROP P1$ 
  and  $\bigwedge P1. (True \implies P1) \equiv Trueprop P1$ 
  and  $\bigwedge P1 P2. (True \implies PROP P1 \implies PROP P2) \equiv (PROP P1 \implies PROP$ 
P2)
  <proof>

```

```

lemmas asympartp-le = order.not-iff-asymp[symmetric, abs-def]

```

**end**

Isabelle/HOL's *preorder* belongs to *qorder*, but not vice versa.

```

context preorder begin

```

The relation  $(<)$  is defined as the antisymmetric part of  $(\leq)$ .

```

lemma [simp]:
  shows asympartp-le: asympartp  $(\leq) = (<)$ 
  and asympartp-ge: asympartp  $(\geq) = (>)$ 
  <proof>

```

```

interpretation strict-quasi-ordering UNIV  $(\leq)$   $(<)$ 
  <proof>

```

```

subclass qorder <proof>

```

```

sublocale order: strict-quasi-ordering UNIV
  rewrites  $\bigwedge x. x \in UNIV \equiv True$ 
  and  $\bigwedge X. X \subseteq UNIV \equiv True$ 
  and  $\bigwedge r. r \upharpoonright UNIV \equiv r$ 
  and  $\bigwedge P. True \wedge P \equiv P$ 
  and Ball UNIV  $\equiv All$ 
  and Bex UNIV  $\equiv Ex$ 
  and sympartp  $(\leq)^- \equiv sympartp (\leq)$ 
  and  $\bigwedge P1. (True \implies PROP P1) \equiv PROP P1$ 
  and  $\bigwedge P1. (True \implies P1) \equiv Trueprop P1$ 
  and  $\bigwedge P1 P2. (True \implies PROP P1 \implies PROP P2) \equiv (PROP P1 \implies PROP$ 
P2)
  <proof>

```

**end**

**context** *order begin*

```

interpretation strict-partial-ordering UNIV  $(\leq)$   $(<)$ 
  <proof>

```

**subclass** *porder* *<proof>*

```

sublocale order: strict-partial-ordering UNIV
  rewrites  $\bigwedge x. x \in UNIV \equiv True$ 
  and  $\bigwedge X. X \subseteq UNIV \equiv True$ 
  and  $\bigwedge r. r \upharpoonright UNIV \equiv r$ 
  and  $\bigwedge P. True \wedge P \equiv P$ 
  and Ball UNIV  $\equiv All$ 
  and Bex UNIV  $\equiv Ex$ 
  and sympartp  $(\leq)^- \equiv sympartp (\leq)$ 
  and  $\bigwedge P1. (True \implies PROP P1) \equiv PROP P1$ 
  and  $\bigwedge P1. (True \implies P1) \equiv Trueprop P1$ 
  and  $\bigwedge P1 P2. (True \implies PROP P1 \implies PROP P2) \equiv (PROP P1 \implies PROP$ 
P2)
  <proof>

```

**end**

Isabelle/HOL's *linorder* is equivalent to our locale *total-ordering*.

**context** *linorder begin*

**subclass** *linqorder* *<proof>*

```

sublocale order: total-ordering UNIV
  rewrites  $\bigwedge x. x \in UNIV \equiv True$ 
  and  $\bigwedge X. X \subseteq UNIV \equiv True$ 
  and  $\bigwedge r. r \upharpoonright UNIV \equiv r$ 

```



```

and  $\bigwedge P. \text{True} \wedge P \equiv P$ 
and  $\text{Ball UNIV} \equiv \text{All}$ 
and  $\text{Bex UNIV} \equiv \text{Ex}$ 
and  $\text{sympartp } (\leq)^- \equiv \text{sympartp } (\leq)$ 
and  $\bigwedge P1. (\text{True} \implies \text{PROP } P1) \equiv \text{PROP } P1$ 
and  $\bigwedge P1. (\text{True} \implies P1) \equiv \text{Trueprop } P1$ 
and  $\bigwedge P1 P2. (\text{True} \implies \text{PROP } P1 \implies \text{PROP } P2) \equiv (\text{PROP } P1 \implies \text{PROP } P2)$ 
 $\langle \text{proof} \rangle$ 

```

**end**

Tests: facts should be available in the most general classes.

```

thm order.strict.trans[where 'a='a::reflorder]
thm order.extreme-bound-quasi-const[where 'a='a::attrorder]
thm order.extreme-bound-singleton-eq[where 'a='a::psorder]
thm order.trans[where 'a='a::qorder]
thm order.comparable-cases[where 'a='a::linqorder]
thm order.cases[where 'a='a::linorder]

```

## 2.8 Declaring Duals

```

sublocale reflexive  $\subseteq$  sym: reflexive A sympartp ( $\sqsubseteq$ )
rewrites  $\text{sympartp } (\sqsubseteq)^- \equiv \text{sympartp } (\sqsubseteq)$ 
and  $\bigwedge r. \text{sympartp } (\text{sympartp } r) \equiv \text{sympartp } r$ 
and  $\bigwedge r. \text{sympartp } r \upharpoonright A \equiv \text{sympartp } (r \upharpoonright A)$ 
 $\langle \text{proof} \rangle$ 

```

```

sublocale quasi-ordered-set  $\subseteq$  sym: quasi-ordered-set A sympartp ( $\sqsubseteq$ )
rewrites  $\text{sympartp } (\sqsubseteq)^- = \text{sympartp } (\sqsubseteq)$ 
and  $\text{sympartp } (\text{sympartp } (\sqsubseteq)) = \text{sympartp } (\sqsubseteq)$ 
 $\langle \text{proof} \rangle$ 

```

At this point, we declare dual as sublocales. In the following, “rewrites” eventually cleans up redundant facts.

```

sublocale reflexive  $\subseteq$  dual: reflexive A ( $\sqsupseteq$ )^-
rewrites  $\text{sympartp } (\sqsupseteq)^- \equiv \text{sympartp } (\sqsupseteq)$ 
and  $\bigwedge r. \text{sympartp } (r \upharpoonright A) \equiv \text{sympartp } r \upharpoonright A$ 
and  $(\sqsupseteq)^- \upharpoonright A \equiv ((\sqsupseteq) \upharpoonright A)^-$ 
 $\langle \text{proof} \rangle$ 

```

**context** *attractive begin*

**interpretation** *less-eq-symmetrize* $\langle \text{proof} \rangle$

```

sublocale dual: attractive A ( $\sqsupseteq$ )
rewrites  $\text{sympartp } (\sqsupseteq) = (\sim)$ 
and  $\text{equivpartp } (\sqsupseteq) \equiv (\simeq)$ 
and  $\bigwedge r. \text{sympartp } (r \upharpoonright A) \equiv \text{sympartp } r \upharpoonright A$ 

```

**and**  $\bigwedge r. \text{sympartp} (\text{sympartp } r) \equiv \text{sympartp } r$   
**and**  $(\sqsubseteq)^- \upharpoonright A \equiv ((\sqsubseteq) \upharpoonright A)^-$   
 $\langle \text{proof} \rangle$

**end**

**context** *irreflexive* **begin**

**sublocale** *dual: irreflexive*  $A (\sqsubseteq)^-$   
**rewrites**  $(\sqsubseteq)^- \upharpoonright A \equiv ((\sqsubseteq) \upharpoonright A)^-$   
 $\langle \text{proof} \rangle$

**end**

**sublocale** *transitive*  $\subseteq$  *dual: transitive*  $A (\sqsubseteq)^-$   
**rewrites**  $(\sqsubseteq)^- \upharpoonright A \equiv ((\sqsubseteq) \upharpoonright A)^-$   
**and**  $\text{sympartp} (\sqsubseteq)^- = \text{sympartp} (\sqsubseteq)$   
**and**  $\text{asymptp} (\sqsubseteq)^- = (\text{asymptp} (\sqsubseteq))^-$   
 $\langle \text{proof} \rangle$

**sublocale** *antisymmetric*  $\subseteq$  *dual: antisymmetric*  $A (\sqsubseteq)^-$   
**rewrites**  $(\sqsubseteq)^- \upharpoonright A \equiv ((\sqsubseteq) \upharpoonright A)^-$   
**and**  $\text{sympartp} (\sqsubseteq)^- = \text{sympartp} (\sqsubseteq)$   
 $\langle \text{proof} \rangle$

**context** *antisymmetric* **begin**

**lemma** *extreme-bound-unique*:  
 $\text{extreme-bound } A (\sqsubseteq) X x \implies \text{extreme-bound } A (\sqsubseteq) X y \longleftrightarrow x = y$   
 $\langle \text{proof} \rangle$

**lemma** *ex-extreme-bound-iff-ex1*:  
 $Ex (\text{extreme-bound } A (\sqsubseteq) X) \longleftrightarrow Ex1 (\text{extreme-bound } A (\sqsubseteq) X)$   
 $\langle \text{proof} \rangle$

**lemma** *ex-extreme-bound-iff-the*:  
 $Ex (\text{extreme-bound } A (\sqsubseteq) X) \longleftrightarrow \text{extreme-bound } A (\sqsubseteq) X$  (*The* ( $\text{extreme-bound } A (\sqsubseteq) X$ ))  
 $\langle \text{proof} \rangle$

**end**

**sublocale** *semiconnex*  $\subseteq$  *dual: semiconnex*  $A (\sqsubseteq)^-$   
**rewrites**  $\text{sympartp} (\sqsubseteq)^- = \text{sympartp} (\sqsubseteq)$   
 $\langle \text{proof} \rangle$

**sublocale** *connex*  $\subseteq$  *dual: connex*  $A (\sqsubseteq)^-$   
**rewrites**  $\text{sympartp} (\sqsubseteq)^- = \text{sympartp} (\sqsubseteq)$   
 $\langle \text{proof} \rangle$

**sublocale** *semiconnex-irreflexive*  $\subseteq$  *dual*: *semiconnex-irreflexive*  $A (\sqsubseteq)^-$   
**rewrites**  $\text{sympartp } (\sqsubseteq)^- = \text{sympartp } (\sqsubseteq)$   
 $\langle \text{proof} \rangle$

**sublocale** *pseudo-ordered-set*  $\subseteq$  *dual*: *pseudo-ordered-set*  $A (\sqsubseteq)^-$   
**rewrites**  $\text{sympartp } (\sqsubseteq)^- = \text{sympartp } (\sqsubseteq)$   
 $\langle \text{proof} \rangle$

**sublocale** *quasi-ordered-set*  $\subseteq$  *dual*: *quasi-ordered-set*  $A (\sqsubseteq)^-$   
**rewrites**  $\text{sympartp } (\sqsubseteq)^- = \text{sympartp } (\sqsubseteq)$   
 $\langle \text{proof} \rangle$

**sublocale** *partially-ordered-set*  $\subseteq$  *dual*: *partially-ordered-set*  $A (\sqsubseteq)^-$   
**rewrites**  $\text{sympartp } (\sqsubseteq)^- = \text{sympartp } (\sqsubseteq)$   
 $\langle \text{proof} \rangle$

**sublocale** *total-pseudo-ordered-set*  $\subseteq$  *dual*: *total-pseudo-ordered-set*  $A (\sqsubseteq)^-$   
**rewrites**  $\text{sympartp } (\sqsubseteq)^- = \text{sympartp } (\sqsubseteq)$   
 $\langle \text{proof} \rangle$

**sublocale** *total-quasi-ordered-set*  $\subseteq$  *dual*: *total-quasi-ordered-set*  $A (\sqsubseteq)^-$   
**rewrites**  $\text{sympartp } (\sqsubseteq)^- = \text{sympartp } (\sqsubseteq)$   
 $\langle \text{proof} \rangle$

**sublocale** *compatible-ordering*  $\subseteq$  *dual*: *compatible-ordering*  $A (\sqsubseteq)^- (\sqsubset)^-$   
**rewrites**  $\text{sympartp } (\sqsubseteq)^- = \text{sympartp } (\sqsubseteq)$   
 $\langle \text{proof} \rangle$

**lemmas**(in *qorder*) [*intro!*] = *order.dual.quasi-ordered-subset*

**sublocale** *reflexive-ordering*  $\subseteq$  *dual*: *reflexive-ordering*  $A (\sqsubseteq)^- (\sqsubset)^-$   
**rewrites**  $\text{sympartp } (\sqsubseteq)^- = \text{sympartp } (\sqsubseteq)$   
 $\langle \text{proof} \rangle$

**sublocale** *reflexive-attractive-ordering*  $\subseteq$  *dual*: *reflexive-attractive-ordering*  $A (\sqsubseteq)^- (\sqsubset)^-$   
**rewrites**  $\text{sympartp } (\sqsubseteq)^- = \text{sympartp } (\sqsubseteq)$   
 $\langle \text{proof} \rangle$

**sublocale** *pseudo-ordering*  $\subseteq$  *dual*: *pseudo-ordering*  $A (\sqsubseteq)^- (\sqsubset)^-$   
**rewrites**  $\text{sympartp } (\sqsubseteq)^- = \text{sympartp } (\sqsubseteq)$   
 $\langle \text{proof} \rangle$

**sublocale** *quasi-ordering*  $\subseteq$  *dual*: *quasi-ordering*  $A (\sqsubseteq)^- (\sqsubset)^-$   
**rewrites**  $\text{sympartp } (\sqsubseteq)^- = \text{sympartp } (\sqsubseteq)$   
 $\langle \text{proof} \rangle$

**sublocale** *partial-ordering*  $\subseteq$  *dual*: *partial-ordering*  $A (\sqsubseteq)^- (\sqsubset)^-$

```

rewrites sympartp ( $\sqsubseteq$ )- = sympartp ( $\sqsubseteq$ )
<proof>

sublocale total-quasi-ordering  $\subseteq$  dual: total-quasi-ordering  $A$  ( $\sqsubseteq$ )- ( $\sqsubset$ )-
rewrites sympartp ( $\sqsubseteq$ )- = sympartp ( $\sqsubseteq$ )
<proof>

sublocale total-ordering  $\subseteq$  dual: total-ordering  $A$  ( $\sqsubseteq$ )- ( $\sqsubset$ )-
rewrites sympartp ( $\sqsubseteq$ )- = sympartp ( $\sqsubseteq$ )
<proof>

sublocale strict-quasi-ordering  $\subseteq$  dual: strict-quasi-ordering  $A$  ( $\sqsubseteq$ )- ( $\sqsubset$ )-
rewrites sympartp ( $\sqsubseteq$ )- = sympartp ( $\sqsubseteq$ )
<proof>

sublocale strict-partial-ordering  $\subseteq$  dual: strict-partial-ordering  $A$  ( $\sqsubseteq$ )- ( $\sqsubset$ )-
rewrites sympartp ( $\sqsubseteq$ )- = sympartp ( $\sqsubseteq$ )
<proof>

sublocale total-ordering  $\subseteq$  dual: total-ordering  $A$  ( $\sqsubseteq$ )- ( $\sqsubset$ )-
rewrites sympartp ( $\sqsubseteq$ )- = sympartp ( $\sqsubseteq$ )
<proof>

lemma(in antisymmetric) monotone-extreme-imp-extreme-bound-iff:
fixes ir (infix  $\preceq$  50)
assumes  $f \text{ ' } C \subseteq A$  and monotone-on  $C$  ( $\preceq$ ) ( $\sqsubseteq$ )  $f$  and  $i$ : extreme  $C$  ( $\preceq$ )  $i$ 
shows extreme-bound  $A$  ( $\sqsubseteq$ ) ( $f \text{ ' } C$ )  $x \longleftrightarrow f \ i = x$ 
<proof>

```

## 2.9 Instantiations

Finally, we instantiate our classes for sanity check.

```

instance nat :: linorder <proof>

```

Pointwise ordering of functions are compatible only if the weak part is transitive.

```

instance fun :: (type, qorder) reflorder
<proof>

```

```

instance fun :: (type, qorder) qorder
<proof>

```

```

instance fun :: (type, porder) porder
<proof>

```

```

end

```

```

theory Well-Relations

```

```

imports Binary-Relations

```

```

begin

```

### 3 Well-Relations

A related set  $\langle A, \sqsubseteq \rangle$  is called *topped* if there is a “top” element  $\top \in A$ , a greatest element in  $A$ . Note that there might be multiple tops if  $\sqsubseteq$  is not antisymmetric.

**definition** *extremed*  $A r \equiv \exists e. \text{extreme } A r e$

**lemma** *extremedI*:  $\text{extreme } A r e \implies \text{extremed } A r$   
 $\langle \text{proof} \rangle$

**lemma** *extremedE*:  $\text{extremed } A r \implies (\bigwedge e. \text{extreme } A r e \implies \text{thesis}) \implies \text{thesis}$   
 $\langle \text{proof} \rangle$

**lemma** *extremed-imp-ex-bound*:  $\text{extremed } A r \implies X \subseteq A \implies \exists b \in A. \text{bound } X r b$   
 $\langle \text{proof} \rangle$

**locale** *well-founded* = *related-set* -  $\sqsubseteq$  + *less-syntax* +  
**assumes** *induct*[*consumes 1, case-names less, induct set*]:  
 $a \in A \implies (\bigwedge x. x \in A \implies (\bigwedge y. y \in A \implies y \sqsubseteq x \implies P y) \implies P x) \implies P a$   
**begin**

**sublocale** *asymmetric*  
 $\langle \text{proof} \rangle$

**lemma** *prefixed-Imagep-imp-empty*:  
**assumes**  $a: X \subseteq ((\sqsubseteq) \text{““ } X) \cap A$  **shows**  $X = \{\}$   
 $\langle \text{proof} \rangle$

**lemma** *nonempty-imp-ex-extremal*:  
**assumes**  $QA: Q \subseteq A$  **and**  $Q: Q \neq \{\}$   
**shows**  $\exists z \in Q. \forall y \in Q. \neg y \sqsubseteq z$   
 $\langle \text{proof} \rangle$

**interpretation** *Restrp*: *well-founded UNIV*  $(\sqsubseteq) \upharpoonright A$   
**rewrites**  $\bigwedge x. x \in UNIV \equiv \text{True}$   
**and**  $(\sqsubseteq) \upharpoonright A \upharpoonright UNIV = (\sqsubseteq) \upharpoonright A$   
**and**  $\bigwedge P1. (\text{True} \implies \text{PROP } P1) \equiv \text{PROP } P1$   
**and**  $\bigwedge P1. (\text{True} \implies P1) \equiv \text{Trueprop } P1$   
**and**  $\bigwedge P1 P2. (\text{True} \implies \text{PROP } P1 \implies \text{PROP } P2) \equiv (\text{PROP } P1 \implies \text{PROP } P2)$   
 $\langle \text{proof} \rangle$

**lemmas** *Restrp-well-founded* = *Restrp.well-founded-axioms*

**lemmas** *Restrp-induct*[*consumes 0, case-names less*] = *Restrp.induct*

**interpretation** *Restrp.transclp*: *well-founded UNIV*  $((\sqsubseteq) \upharpoonright A)^{++}$   
**rewrites**  $\bigwedge x. x \in UNIV \equiv \text{True}$   
**and**  $((\sqsubseteq) \upharpoonright A)^{++} \upharpoonright UNIV = ((\sqsubseteq) \upharpoonright A)^{++}$

**and**  $((\sqsubset) \upharpoonright A)^{++} = ((\sqsubset) \upharpoonright A)^{++}$   
**and**  $\bigwedge P1. (True \implies PROP P1) \equiv PROP P1$   
**and**  $\bigwedge P1. (True \implies P1) \equiv Trueprop P1$   
**and**  $\bigwedge P1 P2. (True \implies PROP P1 \implies PROP P2) \equiv (PROP P1 \implies PROP P2)$   
 $\langle proof \rangle$

**lemmas** *Restrp-tranclp-well-founded* = *Restrp.tranclp.well-founded-axioms*  
**lemmas** *Restrp-tranclp-induct*[consumes 0, case-names less] = *Restrp.tranclp.induct*

**end**

**context**

**fixes**  $A :: 'a \text{ set}$  **and**  $less :: 'a \Rightarrow 'a \Rightarrow bool$  (**infix**  $\sqsubset$  50)  
**begin**

**lemma** *well-foundedI-pf*:

**assumes**  $pre: \bigwedge X. X \subseteq A \implies X \subseteq ((\sqsubset) \text{ `` } X) \cap A \implies X = \{\}$   
**shows** *well-founded*  $A$   $(\sqsubset)$   
 $\langle proof \rangle$

**lemma** *well-foundedI-extremal*:

**assumes**  $a: \bigwedge X. X \subseteq A \implies X \neq \{\} \implies \exists x \in X. \forall y \in X. \neg y \sqsubset x$   
**shows** *well-founded*  $A$   $(\sqsubset)$   
 $\langle proof \rangle$

**lemma** *well-founded-iff-ex-extremal*:

*well-founded*  $A$   $(\sqsubset) \longleftrightarrow (\forall X \subseteq A. X \neq \{\} \longrightarrow (\exists x \in X. \forall z \in X. \neg z \sqsubset x))$   
 $\langle proof \rangle$

**end**

**lemma** *well-founded-cong*:

**assumes**  $r: \bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b$   
**and**  $A: \bigwedge a b. r' a b \implies a \in A \longleftrightarrow a \in A'$   
**and**  $B: \bigwedge a b. r' a b \implies b \in A \longleftrightarrow b \in A'$   
**shows** *well-founded*  $A$   $r \longleftrightarrow$  *well-founded*  $A'$   $r'$   
 $\langle proof \rangle$

**lemma** *wfP-iff-well-founded-UNIV*: *wfP*  $r \longleftrightarrow$  *well-founded*  $UNIV$   $r$

$\langle proof \rangle$

**lemma** *well-founded-empty[intro!]*: *well-founded*  $\{\}$   $r$

$\langle proof \rangle$

**lemma** *well-founded-singleton*:

**assumes**  $\neg r x x$  **shows** *well-founded*  $\{x\}$   $r$   
 $\langle proof \rangle$

**lemma** *well-founded-Restrp[simp]*:  $well\_founded\ A\ (r\ \backslash\ B) \longleftrightarrow well\_founded\ (A \cap B)$   
 $r\ (is\ ?l \longleftrightarrow ?r)$   
 $\langle proof \rangle$

**lemma** *Restrpt-tranclp-well-founded-iff*:  
**fixes** *less* (**infix**  $\sqsubset$  50)  
**shows**  $well\_founded\ UNIV\ ((\sqsubset) \upharpoonright A)^{++} \longleftrightarrow well\_founded\ A\ (\sqsubset)\ (is\ ?l \longleftrightarrow ?r)$   
 $\langle proof \rangle$

**lemma** (**in** *well-founded*) *well-founded-subset*:  
**assumes**  $B \subseteq A$  **shows**  $well\_founded\ B\ (\sqsubset)$   
 $\langle proof \rangle$

**lemma** *well-founded-extend*:  
**fixes** *less* (**infix**  $\sqsubset$  50)  
**assumes** *A*:  $well\_founded\ A\ (\sqsubset)$   
**assumes** *B*:  $well\_founded\ B\ (\sqsubset)$   
**assumes** *AB*:  $\forall a \in A. \forall b \in B. \neg b \sqsubset a$   
**shows**  $well\_founded\ (A \cup B)\ (\sqsubset)$   
 $\langle proof \rangle$

**lemma** *closed-UN-well-founded*:  
**fixes** *r* (**infix**  $\sqsubset$  50)  
**assumes** *XX*:  $\forall X \in XX. well\_founded\ X\ (\sqsubset) \wedge (\forall x \in X. \forall y \in \bigcup XX. y \sqsubset x \longrightarrow y \in X)$   
**shows**  $well\_founded\ (\bigcup XX)\ (\sqsubset)$   
 $\langle proof \rangle$

**lemma** *well-founded-cmono*:  
**assumes** *r'*:  $r' \leq r$  **and** *wf*:  $well\_founded\ A\ r$   
**shows**  $well\_founded\ A\ r'$   
 $\langle proof \rangle$

**locale** *well-founded-ordered-set* = *well-founded* + *transitive* - ( $\sqsubset$ )  
**begin**

**sublocale** *strict-ordered-set*  $\langle proof \rangle$

**interpretation** *Restrpt*:  $strict\_ordered\_set\ UNIV\ (\sqsubset) \upharpoonright A + Restrpt$ :  $well\_founded\ UNIV\ (\sqsubset) \upharpoonright A$   
 $\langle proof \rangle$

**lemma** *Restrpt-well-founded-order*:  $well\_founded\_ordered\_set\ UNIV\ ((\sqsubset) \upharpoonright A)$   $\langle proof \rangle$

**lemma** *well-founded-ordered-subset*:  $B \subseteq A \implies well\_founded\_ordered\_set\ B\ (\sqsubset)$   
 $\langle proof \rangle$

**end**

**lemmas** *well-founded-ordered-setI* = *well-founded-ordered-set.intro*

**lemma** *well-founded-ordered-set-empty*[intro!]: *well-founded-ordered-set* {} *r*  
⟨*proof*⟩

**locale** *well-related-set* = *related-set* +

**assumes** *nonempty-imp-ex-extreme*:  $X \subseteq A \implies X \neq \{\} \implies \exists e. \text{extreme } X \ (\sqsubseteq)^-$   
*e*

**begin**

**sublocale** *connex*

⟨*proof*⟩

**lemmas** *connex* = *connex-axioms*

**interpretation** *less-eq-asymmetrize*⟨*proof*⟩

**sublocale** *asym*: *well-founded* *A* ( $\sqsubseteq$ )

⟨*proof*⟩

**lemma** *well-related-subset*:  $B \subseteq A \implies \text{well-related-set } B \ (\sqsubseteq)$

⟨*proof*⟩

**lemma** *monotone-image-well-related*:

**fixes** *leB* (**infix**  $\leq$  50)

**assumes** *mono*: *monotone-on* *A* ( $\sqsubseteq$ ) ( $\leq$ ) *f* **shows** *well-related-set* (*f* ' *A*) ( $\leq$ )  
⟨*proof*⟩

**end**

**sublocale** *well-related-set*  $\subseteq$  *reflexive* ⟨*proof*⟩

**lemmas** *well-related-setI* = *well-related-set.intro*

**lemmas** *well-related-iff-ex-extreme* = *well-related-set-def*

**lemma** *well-related-set-empty*[intro!]: *well-related-set* {} *r*

⟨*proof*⟩

**context**

**fixes** *less-eq* :: 'a  $\Rightarrow$  'a  $\Rightarrow$  bool (**infix**  $\sqsubseteq$  50)

**begin**

**lemma** *well-related-iff-neg-well-founded*:

*well-related-set* *A* ( $\sqsubseteq$ )  $\longleftrightarrow$  *well-founded* *A* ( $\lambda x y. \neg y \sqsubseteq x$ )

⟨*proof*⟩

**lemma** *well-related-singleton-refl*:



**assumes**  $x \sqsubseteq x$  **shows** *well-related-set*  $\{x\}$  ( $\sqsubseteq$ )  
 $\langle proof \rangle$

**lemma** *closed-UN-well-related*:  
**assumes**  $XX: \forall X \in XX. \text{well-related-set } X$  ( $\sqsubseteq$ )  $\wedge (\forall x \in X. \forall y \in \bigcup XX. \neg x \sqsubseteq y \rightarrow y \in X)$   
**shows** *well-related-set*  $(\bigcup XX)$  ( $\sqsubseteq$ )  
 $\langle proof \rangle$

**end**

**lemma** *well-related-extend*:  
**fixes**  $r$  (**infix**  $\sqsubseteq$  50)  
**assumes** *well-related-set*  $A$  ( $\sqsubseteq$ ) **and** *well-related-set*  $B$  ( $\sqsubseteq$ ) **and**  $\forall a \in A. \forall b \in B. a \sqsubseteq b$   
**shows** *well-related-set*  $(A \cup B)$  ( $\sqsubseteq$ )  
 $\langle proof \rangle$

**lemma** *pair-well-related*:  
**fixes** *less-eq* (**infix**  $\sqsubseteq$  50)  
**assumes**  $i \sqsubseteq i$  **and**  $i \sqsubseteq j$  **and**  $j \sqsubseteq j$   
**shows** *well-related-set*  $\{i, j\}$  ( $\sqsubseteq$ )  
 $\langle proof \rangle$

**locale** *pre-well-ordered-set = semiattractive + well-related-set*  
**begin**

**interpretation** *less-eq-asymmetrize*  $\langle proof \rangle$

**sublocale** *transitive*  
 $\langle proof \rangle$

**sublocale** *total-quasi-ordered-set*  $\langle proof \rangle$

**end**

**lemmas** *pre-well-ordered-iff-semiattractive-well-related = pre-well-ordered-set-def* [*unfolded atomize-eq*]

**lemma** *pre-well-ordered-set-empty* [*intro!*]: *pre-well-ordered-set*  $\{\}$   $r$   
 $\langle proof \rangle$

**lemma** *pre-well-ordered-iff*:  
*pre-well-ordered-set*  $A$   $r \iff$  *total-quasi-ordered-set*  $A$   $r \wedge$  *well-founded*  $A$  (*asymptp*  $r$ )  
**(is**  $?p \iff ?t \wedge ?w$ )  
 $\langle proof \rangle$

**lemma** (**in** *semiattractive*) *pre-well-ordered-iff-well-related*:

**assumes**  $XA: X \subseteq A$   
**shows** *pre-well-ordered-set*  $X (\sqsubseteq) \longleftrightarrow$  *well-related-set*  $X (\sqsubseteq)$  (**is**  $?l \longleftrightarrow ?r$ )  
 $\langle$ *proof* $\rangle$

**lemma** *semi-attractive-extend*:  
**fixes**  $r$  (**infix**  $\sqsubseteq$  50)  
**assumes**  $A$ : *semi-attractive*  $A (\sqsubseteq)$  **and**  $B$ : *semi-attractive*  $B (\sqsubseteq)$   
**and**  $AB: \forall a \in A. \forall b \in B. a \sqsubseteq b \wedge \neg b \sqsubseteq a$   
**shows** *semi-attractive*  $(A \cup B) (\sqsubseteq)$   
 $\langle$ *proof* $\rangle$

**lemma** *pre-well-order-extend*:  
**fixes**  $r$  (**infix**  $\sqsubseteq$  50)  
**assumes**  $A$ : *pre-well-ordered-set*  $A (\sqsubseteq)$  **and**  $B$ : *pre-well-ordered-set*  $B (\sqsubseteq)$   
**and**  $AB: \forall a \in A. \forall b \in B. a \sqsubseteq b \wedge \neg b \sqsubseteq a$   
**shows** *pre-well-ordered-set*  $(A \cup B) (\sqsubseteq)$   
 $\langle$ *proof* $\rangle$

**lemma** (**in** *well-related-set*) *monotone-image-pre-well-ordered*:  
**fixes**  $leB$  (**infix**  $\sqsubseteq''$  50)  
**assumes**  $mono$ : *monotone-on*  $A (\sqsubseteq) (\sqsubseteq')$   $f$   
**and**  $image$ : *semi-attractive*  $(f ' A) (\sqsubseteq')$   
**shows** *pre-well-ordered-set*  $(f ' A) (\sqsubseteq')$   
 $\langle$ *proof* $\rangle$

**locale** *well-ordered-set = antisymmetric + well-related-set*  
**begin**

**sublocale** *pre-well-ordered-set* $\langle$ *proof* $\rangle$

**sublocale** *total-ordered-set* $\langle$ *proof* $\rangle$

**lemma** *well-ordered-subset*:  $B \subseteq A \implies$  *well-ordered-set*  $B (\sqsubseteq)$   
 $\langle$ *proof* $\rangle$

**sublocale**  $asym$ : *well-founded-ordered-set*  $A$  *asymptp*  $(\sqsubseteq)$   
 $\langle$ *proof* $\rangle$

**end**

**lemmas** *well-ordered-iff-antisymmetric-well-related = well-ordered-set-def* $[unfolding$   
 $atomize-eq]$

**lemma** *well-ordered-set-empty* $[intro!]$ : *well-ordered-set*  $\{\}$   $r$   
 $\langle$ *proof* $\rangle$

**lemma** (**in** *antisymmetric*) *well-ordered-iff-well-related*:  
**assumes**  $XA: X \subseteq A$   
**shows** *well-ordered-set*  $X (\sqsubseteq) \longleftrightarrow$  *well-related-set*  $X (\sqsubseteq)$  (**is**  $?l \longleftrightarrow ?r$ )

*<proof>*

**context**

**fixes**  $A :: 'a \text{ set}$  **and**  $\text{less-eq} :: 'a \Rightarrow 'a \Rightarrow \text{bool}$  (**infix**  $\sqsubseteq$  50)

**begin**

**context**

**assumes**  $A: \forall a \in A. \forall b \in A. a \sqsubseteq b$

**begin**

**interpretation** *well-related-set*  $A$  ( $\sqsubseteq$ )

*<proof>*

**lemmas** *trivial-well-related* = *well-related-set-axioms*

**lemma** *trivial-pre-well-order*: *pre-well-ordered-set*  $A$  ( $\sqsubseteq$ )

*<proof>*

**end**

**interpretation** *less-eq-asymmetrize**<proof>*

**lemma** *well-ordered-iff-well-founded-total-ordered*:

*well-ordered-set*  $A$  ( $\sqsubseteq$ )  $\longleftrightarrow$  *total-ordered-set*  $A$  ( $\sqsubseteq$ )  $\wedge$  *well-founded*  $A$  ( $\sqsubseteq$ )

*<proof>*

**end**

**context**

**fixes**  $\text{less-eq} :: 'a \Rightarrow 'a \Rightarrow \text{bool}$  (**infix**  $\sqsubseteq$  50)

**begin**

**lemma** *well-order-extend*:

**assumes**  $A: \text{well-ordered-set } A$  ( $\sqsubseteq$ ) **and**  $B: \text{well-ordered-set } B$  ( $\sqsubseteq$ )

**and**  $ABA: \forall a \in A. \forall b \in B. a \sqsubseteq b \longrightarrow b \sqsubseteq a \longrightarrow a = b$

**and**  $AB: \forall a \in A. \forall b \in B. a \sqsubseteq b$

**shows** *well-ordered-set*  $(A \cup B)$  ( $\sqsubseteq$ )

*<proof>*

**interpretation** *singleton*: *antisymmetric*  $\{a\}$  ( $\sqsubseteq$ ) **for**  $a$  *<proof>*

**lemmas** *singleton-antisymmetric[intro!]* = *singleton.antisymmetric-axioms*

**lemma** *singleton-well-ordered[intro!]*:  $a \sqsubseteq a \implies \text{well-ordered-set } \{a\}$  ( $\sqsubseteq$ )

*<proof>*

**lemma** *closed-UN-well-ordered*:

**assumes** *anti*: *antisymmetric*  $(\bigcup XX)$  ( $\sqsubseteq$ )

**and**  $XX: \forall X \in XX. \text{well-ordered-set } X$  ( $\sqsubseteq$ )  $\wedge (\forall x \in X. \forall y \in \bigcup XX. \neg x \sqsubseteq y \longrightarrow$

$y \in X$   
**shows** *well-ordered-set* ( $\bigcup XX$ ) ( $\sqsubseteq$ )  
 $\langle proof \rangle$

**end**

**lemma** (*in well-related-set*) *monotone-image-well-ordered*:

**fixes** *leB* (**infix**  $\sqsubseteq''$  50)  
**assumes** *mono*: *monotone-on*  $A$  ( $\sqsubseteq$ ) ( $\sqsubseteq'$ )  $f$   
**and** *image*: *antisymmetric* ( $f \text{ ' } A$ ) ( $\sqsubseteq'$ )  
**shows** *well-ordered-set* ( $f \text{ ' } A$ ) ( $\sqsubseteq'$ )  
 $\langle proof \rangle$

### 3.1 Relating to Classes

**locale** *well-founded-quasi-ordering* = *quasi-ordering* + *well-founded*  
**begin**

**lemma** *well-founded-quasi-ordering-subset*:

**assumes**  $X \subseteq A$  **shows** *well-founded-quasi-ordering*  $X$  ( $\sqsubseteq$ ) ( $\sqsubset$ )  
 $\langle proof \rangle$

**end**

**class** *wf-qorder* = *ord* +

**assumes** *well-founded-quasi-ordering*  $UNIV$  ( $\leq$ ) ( $<$ )

**begin**

**interpretation** *well-founded-quasi-ordering*  $UNIV$

$\langle proof \rangle$

**subclass** *qorder*  $\langle proof \rangle$

**sublocale** *order*: *well-founded-quasi-ordering*  $UNIV$

**rewrites**  $\bigwedge x. x \in UNIV \equiv True$

**and**  $\bigwedge X. X \subseteq UNIV \equiv True$

**and**  $\bigwedge r. r \upharpoonright UNIV \equiv r$

**and**  $\bigwedge P. True \wedge P \equiv P$

**and**  $Ball\ UNIV \equiv All$

**and**  $Bex\ UNIV \equiv Ex$

**and**  $sympartp\ (\leq)^- \equiv sympartp\ (\leq)$

**and**  $\bigwedge P1. (True \implies PROP\ P1) \equiv PROP\ P1$

**and**  $\bigwedge P1. (True \implies P1) \equiv Trueprop\ P1$

**and**  $\bigwedge P1\ P2. (True \implies PROP\ P1 \implies PROP\ P2) \equiv (PROP\ P1 \implies PROP\ P2)$

$\langle proof \rangle$

**end**

**context** *wellorder* **begin**

**subclass** *wf-qorder*  
⟨*proof*⟩

**sublocale** *order: well-ordered-set UNIV*

**rewrites**  $\bigwedge x. x \in UNIV \equiv True$   
**and**  $\bigwedge X. X \subseteq UNIV \equiv True$   
**and**  $\bigwedge r. r \upharpoonright UNIV \equiv r$   
**and**  $\bigwedge P. True \wedge P \equiv P$   
**and** *Ball UNIV*  $\equiv All$   
**and** *Bex UNIV*  $\equiv Ex$   
**and** *sympartp*  $(\leq)^- \equiv sympartp (\leq)$   
**and**  $\bigwedge P1. (True \implies PROP P1) \equiv PROP P1$   
**and**  $\bigwedge P1. (True \implies P1) \equiv Trueprop P1$   
**and**  $\bigwedge P1 P2. (True \implies PROP P1 \implies PROP P2) \equiv (PROP P1 \implies PROP P2)$   
⟨*proof*⟩

**end**

**thm** *order.nonempty-imp-ex-extreme*

### 3.2 omega-Chains

**definition** *omega-chain*  $A r \equiv \exists f :: nat \Rightarrow 'a. monotone (\leq) r f \wedge range f = A$

**lemma** *omega-chainI*:

**fixes**  $f :: nat \Rightarrow 'a$   
**assumes** *monotone*  $(\leq) r f$  *range*  $f = A$  **shows** *omega-chain*  $A r$   
⟨*proof*⟩

**lemma** *omega-chainE*:

**assumes** *omega-chain*  $A r$   
**and**  $\bigwedge f :: nat \Rightarrow 'a. monotone (\leq) r f \implies range f = A \implies thesis$   
**shows** *thesis*  
⟨*proof*⟩

**lemma** (*in transitive*) *local-chain*:

**assumes** *CA*: *range*  $C \subseteq A$   
**shows**  $(\forall i :: nat. C i \sqsubseteq C (Suc i)) \longleftrightarrow monotone (<) (\sqsubseteq) C$   
⟨*proof*⟩

**lemma** *pair-omega-chain*:

**assumes**  $r a a r b b r a b$  **shows** *omega-chain*  $\{a,b\} r$   
⟨*proof*⟩

Every omega-chain is a well-order.

**lemma** *omega-chain-imp-well-related*:

**fixes** *less-eq* (**infix**  $\sqsubseteq$  50)

**assumes** *A*: *omega-chain* *A* ( $\sqsubseteq$ ) **shows** *well-related-set* *A* ( $\sqsubseteq$ )  
 ⟨*proof*⟩

**lemma** (in *semiattractive*) *omega-chain-imp-pre-well-ordered*:  
**assumes** *omega-chain* *A* ( $\sqsubseteq$ ) **shows** *pre-well-ordered-set* *A* ( $\sqsubseteq$ )  
 ⟨*proof*⟩

**lemma** (in *antisymmetric*) *omega-chain-imp-well-ordered*:  
**assumes** *omega-chain* *A* ( $\sqsubseteq$ ) **shows** *well-ordered-set* *A* ( $\sqsubseteq$ )  
 ⟨*proof*⟩

### 3.2.1 Relation image that preserves well-orderedness.

**definition** *well-image* *f* *A* ( $\sqsubseteq$ ) *fa* *fb*  $\equiv$   
 $\forall a b. \text{extreme } \{x \in A. fa = f x\} (\sqsubseteq)^- a \longrightarrow \text{extreme } \{y \in A. fb = f y\} (\sqsubseteq)^- b \longrightarrow$   
 $a \sqsubseteq b$   
**for** *less-eq* (**infix**  $\sqsubseteq$  50)

**lemmas** *well-imageI* = *well-image-def*[*unfolded atomize-eq*, *THEN iffD2*, *rule-format*]  
**lemmas** *well-imageD* = *well-image-def*[*unfolded atomize-eq*, *THEN iffD1*, *rule-format*]

**lemma** (in *pre-well-ordered-set*)  
*well-image-well-related*: *pre-well-ordered-set* (*f*'*A*) (*well-image* *f* *A* ( $\sqsubseteq$ ))  
 ⟨*proof*⟩

**end**

**theory** *Directedness*

**imports** *Binary-Relations* *Well-Relations*

**begin**

Directed sets:

**locale** *directed* =  
**fixes** *A* **and** *less-eq* (**infix**  $\sqsubseteq$  50)  
**assumes** *pair-bounded*:  $x \in A \implies y \in A \implies \exists z \in A. x \sqsubseteq z \wedge y \sqsubseteq z$

**lemmas** *directedI*[*intro*] = *directed.intro*

**lemmas** *directedD* = *directed-def*[*unfolded atomize-eq*, *THEN iffD1*, *rule-format*]

**context**

**fixes** *less-eq* :: '*a*  $\Rightarrow$  '*a*  $\Rightarrow$  *bool* (**infix**  $\sqsubseteq$  50)

**begin**

**lemma** *directedE*:  
**assumes** *directed* *A* ( $\sqsubseteq$ ) **and**  $x \in A$  **and**  $y \in A$   
**and**  $\bigwedge z. z \in A \implies x \sqsubseteq z \implies y \sqsubseteq z \implies$  *thesis*  
**shows** *thesis*  
 ⟨*proof*⟩

**lemma** *directed-empty[simp]*: *directed* {} ( $\sqsubseteq$ )  $\langle$ *proof* $\rangle$

**lemma** *directed-union*:

**assumes** *dX*: *directed* *X* ( $\sqsubseteq$ ) **and** *dY*: *directed* *Y* ( $\sqsubseteq$ )  
**and** *XY*:  $\forall x \in X. \forall y \in Y. \exists z \in X \cup Y. x \sqsubseteq z \wedge y \sqsubseteq z$   
**shows** *directed* (*X*  $\cup$  *Y*) ( $\sqsubseteq$ )  
 $\langle$ *proof* $\rangle$

**lemma** *directed-extend*:

**assumes** *X*: *directed* *X* ( $\sqsubseteq$ ) **and** *Y*: *directed* *Y* ( $\sqsubseteq$ ) **and** *XY*:  $\forall x \in X. \forall y \in Y. x \sqsubseteq y$   
**shows** *directed* (*X*  $\cup$  *Y*) ( $\sqsubseteq$ )  
 $\langle$ *proof* $\rangle$

**end**

**sublocale** *connex*  $\sqsubseteq$  *directed*  
 $\langle$ *proof* $\rangle$

**lemmas**(**in** *connex*) *directed* = *directed-axioms*

**lemma** *monotone-directed-image*:

**fixes** *ir* (**infix**  $\preceq$  50) **and** *r* (**infix**  $\sqsubseteq$  50)  
**assumes** *mono*: *monotone-on* *I* ( $\preceq$ ) ( $\sqsubseteq$ ) *f* **and** *dir*: *directed* *I* ( $\preceq$ )  
**shows** *directed* (*f* ‘ *I*) ( $\sqsubseteq$ )  
 $\langle$ *proof* $\rangle$

**definition** *directed-set* *A* ( $\sqsubseteq$ )  $\equiv \forall X \subseteq A. \text{finite } X \longrightarrow (\exists b \in A. \text{bound } X (\sqsubseteq) b)$   
**for** *less-eq* (**infix**  $\sqsubseteq$  50)

**lemmas** *directed-setI* = *directed-set-def*[*unfolded atomize-eq*, *THEN iffD2*, *rule-format*]  
**lemmas** *directed-setD* = *directed-set-def*[*unfolded atomize-eq*, *THEN iffD1*, *rule-format*]

**lemma** *directed-imp-nonempty*:

**fixes** *less-eq* (**infix**  $\sqsubseteq$  50)  
**shows** *directed-set* *A* ( $\sqsubseteq$ )  $\implies A \neq \{\}$   
 $\langle$ *proof* $\rangle$

**lemma** *directedD2*:

**fixes** *less-eq* (**infix**  $\sqsubseteq$  50)  
**assumes** *dir*: *directed-set* *A* ( $\sqsubseteq$ ) **and** *xA*:  $x \in A$  **and** *yA*:  $y \in A$   
**shows**  $\exists z \in A. x \sqsubseteq z \wedge y \sqsubseteq z$   
 $\langle$ *proof* $\rangle$

**lemma** *monotone-directed-set-image*:

**fixes** *ir* (**infix**  $\preceq$  50) **and** *r* (**infix**  $\sqsubseteq$  50)  
**assumes** *mono*: *monotone-on* *I* ( $\preceq$ ) ( $\sqsubseteq$ ) *f* **and** *dir*: *directed-set* *I* ( $\preceq$ )  
**shows** *directed-set* (*f* ‘ *I*) ( $\sqsubseteq$ )

*<proof>*

**lemma** *directed-set-iff-extremed:*

**fixes** *less-eq* (**infix**  $\sqsubseteq$  50)

**assumes** *Dfin*: *finite D*

**shows** *directed-set D* ( $\sqsubseteq$ )  $\longleftrightarrow$  *extremed D* ( $\sqsubseteq$ )

*<proof>*

**lemma** (**in** *transitive*) *directed-iff-nonempty-pair-bounded:*

*directed-set A* ( $\sqsubseteq$ )  $\longleftrightarrow$   $A \neq \{\}$   $\wedge$   $(\forall x \in A. \forall y \in A. \exists z \in A. x \sqsubseteq z \wedge y \sqsubseteq z)$

(**is** *?l*  $\longleftrightarrow$   $- \wedge$  *?r*)

*<proof>*

**lemma** (**in** *transitive*) *directed-set-iff-nonempty-directed:*

*directed-set A* ( $\sqsubseteq$ )  $\longleftrightarrow$   $A \neq \{\}$   $\wedge$  *directed A* ( $\sqsubseteq$ )

*<proof>*

**lemma** (**in** *well-related-set*) *finite-sets-extremed:*

**assumes** *fin*: *finite X* **and** *X0*:  $X \neq \{\}$  **and** *XA*:  $X \subseteq A$

**shows** *extremed X* ( $\sqsubseteq$ )

*<proof>*

**lemma** (**in** *well-related-set*) *directed-set:*

**assumes** *A0*:  $A \neq \{\}$  **shows** *directed-set A* ( $\sqsubseteq$ )

*<proof>*

**lemma** *prod-directed:*

**fixes** *leA* (**infix**  $\sqsubseteq_A$  50) **and** *leB* (**infix**  $\sqsubseteq_B$  50)

**assumes** *dir*: *directed X* (*rel-prod* ( $\sqsubseteq_A$ ) ( $\sqsubseteq_B$ ))

**shows** *directed* (*fst* ' *X*) ( $\sqsubseteq_A$ ) **and** *directed* (*snd* ' *X*) ( $\sqsubseteq_B$ )

*<proof>*

**class** *dir* = *ord* + **assumes** *directed UNIV* ( $\leq$ )

**begin**

**sublocale** *order*: *directed UNIV* ( $\leq$ )

**rewrites**  $\bigwedge x. x \in UNIV \equiv True$

**and**  $\bigwedge X. X \subseteq UNIV \equiv True$

**and**  $\bigwedge r. r \upharpoonright UNIV \equiv r$

**and**  $\bigwedge P. True \wedge P \equiv P$

**and** *Ball UNIV*  $\equiv All$

**and** *Bex UNIV*  $\equiv Ex$

**and** *sympartp* ( $\leq$ )<sup>-</sup>  $\equiv$  *sympartp* ( $\leq$ )

**and**  $\bigwedge P1. (True \implies PROP P1) \equiv PROP P1$

**and**  $\bigwedge P1. (True \implies P1) \equiv Trueprop P1$

**and**  $\bigwedge P1 P2. (True \implies PROP P1 \implies PROP P2) \equiv (PROP P1 \implies PROP$

*P2)*

*<proof>*



```

end

class filt = ord +
  assumes directed UNIV ( $\geq$ )
begin

sublocale order.dual: directed UNIV ( $\geq$ )
  rewrites  $\bigwedge x. x \in UNIV \equiv True$ 
  and  $\bigwedge X. X \subseteq UNIV \equiv True$ 
  and  $\bigwedge r. r \upharpoonright UNIV \equiv r$ 
  and  $\bigwedge P. True \wedge P \equiv P$ 
  and Ball UNIV  $\equiv All$ 
  and Bex UNIV  $\equiv Ex$ 
  and  $sympartp (\leq)^- \equiv sympartp (\leq)$ 
  and  $\bigwedge P1. (True \implies PROP P1) \equiv PROP P1$ 
  and  $\bigwedge P1. (True \implies P1) \equiv Trueprop P1$ 
  and  $\bigwedge P1 P2. (True \implies PROP P1 \implies PROP P2) \equiv (PROP P1 \implies PROP P2)$ 
  <proof>

end

subclass (in linqorder) dir<proof>

subclass (in linqorder) filt<proof>

thm order.directed-axioms[where 'a = 'a ::dir]

thm order.dual.directed-axioms[where 'a = 'a ::filt]

end

```

## 4 Completeness of Relations

Here we formalize various order-theoretic completeness conditions.

```

theory Complete-Relations
  imports Well-Relations Directedness
begin

```

### 4.1 Completeness Conditions

Order-theoretic completeness demands certain subsets of elements to admit suprema or infima.

**definition** *complete* (*--complete*[999]1000) **where**  
 $\mathcal{C}\text{-complete } A (\sqsubseteq) \equiv \forall X \subseteq A. \mathcal{C} X (\sqsubseteq) \longrightarrow (\exists s. \text{extreme-bound } A (\sqsubseteq) X s)$  **for**  
*less-eq* (**infix**  $\sqsubseteq$  50)

**lemmas**  $completeI = complete-def[unfolding\ atomize-eq, THEN\ iffD2, rule-format]$   
**lemmas**  $completeD = complete-def[unfolding\ atomize-eq, THEN\ iffD1, rule-format]$   
**lemmas**  $completeE = complete-def[unfolding\ atomize-eq, THEN\ iffD1, rule-format,$   
 $THEN\ exE]$

**lemma**  $complete-cmono: CC \leq DD \implies DD\text{-complete} \leq CC\text{-complete}$   
 $\langle proof \rangle$

**lemma**  $complete-subclass:$   
**fixes**  $less-eq$  (**infix**  $\sqsubseteq$  50)  
**assumes**  $C\text{-complete } A (\sqsubseteq)$  **and**  $\forall X \subseteq A. \mathcal{D} X (\sqsubseteq) \longrightarrow \mathcal{C} X (\sqsubseteq)$   
**shows**  $\mathcal{D}\text{-complete } A (\sqsubseteq)$   
 $\langle proof \rangle$

**lemma**  $complete-empty[simp]: C\text{-complete } \{\} r \longleftrightarrow \neg \mathcal{C} \{\} r \langle proof \rangle$

**context**  
**fixes**  $less-eq :: 'a \Rightarrow 'a \Rightarrow bool$  (**infix**  $\sqsubseteq$  50)  
**begin**

Toppedness can be also seen as a completeness condition, since it is equivalent to saying that the universe has a supremum.

**lemma**  $extremed-iff-UNIV-complete: extremed A (\sqsubseteq) \longleftrightarrow (\lambda X r. X = A)\text{-complete}$   
 $A (\sqsubseteq)$  (**is**  $?l \longleftrightarrow ?r$ )  
 $\langle proof \rangle$

The dual notion of topped is called “pointed”, equivalently ensuring a supremum of the empty set.

**lemma**  $pointed-iff-empty-complete: extremed A (\sqsubseteq) \longleftrightarrow (\lambda X r. X = \{\})\text{-complete}$   
 $A (\sqsubseteq)^-$   
 $\langle proof \rangle$

Downward closure is topped.

**lemma**  $dual-closure-is-extremed:$   
**assumes**  $bA: b \in A$  **and**  $b \sqsubseteq b$   
**shows**  $extremed \{a \in A. a \sqsubseteq b\} (\sqsubseteq)$   
 $\langle proof \rangle$

Downward closure preserves completeness.

**lemma**  $dual-closure-is-complete:$   
**assumes**  $A: C\text{-complete } A (\sqsubseteq)$  **and**  $bA: b \in A$   
**shows**  $C\text{-complete } \{x \in A. x \sqsubseteq b\} (\sqsubseteq)$   
 $\langle proof \rangle$

**interpretation**  $less-eq-dualize \langle proof \rangle$

Upward closure preserves completeness, under a condition.

**lemma**  $closure-is-complete:$

**assumes**  $A: \mathcal{C}\text{-complete } A (\sqsubseteq)$  **and**  $bA: b \in A$   
**and**  $Cb: \forall X \subseteq A. \mathcal{C} X (\sqsubseteq) \longrightarrow \text{bound } X (\exists) b \longrightarrow \mathcal{C} (X \cup \{b\}) (\sqsubseteq)$   
**shows**  $\mathcal{C}\text{-complete } \{x \in A. b \sqsubseteq x\} (\sqsubseteq)$   
 $\langle \text{proof} \rangle$

**lemma** *biclosure-is-complete*:

**assumes**  $A: \mathcal{C}\text{-complete } A (\sqsubseteq)$  **and**  $aA: a \in A$  **and**  $bA: b \in A$  **and**  $ab: a \sqsubseteq b$   
**and**  $Ca: \forall X \subseteq A. \mathcal{C} X (\sqsubseteq) \longrightarrow \text{bound } X (\exists) a \longrightarrow \mathcal{C} (X \cup \{a\}) (\sqsubseteq)$   
**shows**  $\mathcal{C}\text{-complete } \{x \in A. a \sqsubseteq x \wedge x \sqsubseteq b\} (\sqsubseteq)$   
 $\langle \text{proof} \rangle$

**end**

One of the most well-studied notion of completeness would be the semi-lattice condition: every pair of elements  $x$  and  $y$  has a supremum  $x \sqcup y$  (not necessarily unique if the underlying relation is not antisymmetric).

**definition** *pair-complete*  $\equiv (\lambda X r. \exists x y. X = \{x, y\})\text{-complete}$

**lemma** *pair-completeI*:

**assumes**  $\bigwedge x y. x \in A \implies y \in A \implies \exists s. \text{extreme-bound } A r \{x, y\} s$   
**shows** *pair-complete*  $A r$   
 $\langle \text{proof} \rangle$

**lemma** *pair-completeD*:

**assumes** *pair-complete*  $A r$   
**shows**  $x \in A \implies y \in A \implies \exists s. \text{extreme-bound } A r \{x, y\} s$   
 $\langle \text{proof} \rangle$

**context**

**fixes** *less-eq*  $:: 'a \Rightarrow 'a \Rightarrow \text{bool}$  (**infix**  $\sqsubseteq$  50)

**begin**

**lemma** *pair-complete-imp-directed*:

**assumes** *comp*: *pair-complete*  $A (\sqsubseteq)$  **shows** *directed*  $A (\sqsubseteq)$   
 $\langle \text{proof} \rangle$

**end**

**lemma** (**in** *connex*) *pair-complete*: *pair-complete*  $A (\sqsubseteq)$

$\langle \text{proof} \rangle$

The next one assumes that every nonempty finite set has a supremum.

**abbreviation** *finite-complete*  $\equiv (\lambda X r. \text{finite } X \wedge X \neq \{\})\text{-complete}$

**lemma** *finite-complete-le-pair-complete*: *finite-complete*  $\leq$  *pair-complete*

$\langle \text{proof} \rangle$

The next one assumes that every nonempty bounded set has a supremum. It is also called the Dedekind completeness.

**abbreviation** *conditionally-complete*  $A \equiv (\lambda X r. \exists b \in A. \text{bound } X r b \wedge X \neq \{\})$ –complete  $A$

**lemma** *conditionally-complete-imp-nonempty-imp-ex-extreme-bound-iff-ex-bound*:

**assumes** *comp*: *conditionally-complete*  $A r$

**assumes**  $X \subseteq A$  **and**  $X \neq \{\}$

**shows**  $(\exists s. \text{extreme-bound } A r X s) \longleftrightarrow (\exists b \in A. \text{bound } X r b)$

*<proof>*

The  $\omega$ -completeness condition demands a supremum for an  $\omega$ -chain.

*Directed completeness* is an important notion in domain theory [1], asserting that every nonempty directed set has a supremum. Here, a set  $X$  is *directed* if any pair of two elements in  $X$  has a bound in  $X$ .

**definition** *directed-complete*  $\equiv (\lambda X r. \text{directed } X r \wedge X \neq \{\})$ –complete

**lemma** *monotone-directed-complete*:

**assumes** *comp*: *directed-complete*  $A r$

**assumes** *fI*:  $f \text{ ' } I \subseteq A$  **and** *dir*: *directed*  $I r i$  **and** *I0*:  $I \neq \{\}$  **and** *mono*: *monotone-on*  $I r i r f$

**shows**  $\exists s. \text{extreme-bound } A r (f \text{ ' } I) s$

*<proof>*

**lemma** (*in reflexive*) *dual-closure-is-directed-complete*:

**assumes** *comp*: *directed-complete*  $A (\sqsubseteq)$  **and** *bA*:  $b \in A$

**shows** *directed-complete*  $\{X \in A. b \sqsubseteq X\} (\sqsubseteq)$

*<proof>*

The next one is quite complete, only the empty set may fail to have a supremum. The terminology follows [3], although there it is defined more generally depending on a cardinal  $\alpha$  such that a nonempty set  $X$  of cardinality below  $\alpha$  has a supremum.

**abbreviation** *semicomplete*  $\equiv (\lambda X r. X \neq \{\})$ –complete

**lemma** *semicomplete-nonempty-imp-extremed*:

*semicomplete*  $A r \implies A \neq \{\} \implies \text{extremed } A r$

*<proof>*

**lemma** *connex-dual-semicomplete*: *semicomplete*  $\{C. \text{connex } C r\} (\supseteq)$

*<proof>*

## 4.2 Pointed Ones

The term ‘pointed’ refers to the dual notion of toppedness, i.e., there is a global least element. This serves as the supremum of the empty set.

**lemma** *complete-sup*:  $(CC \sqcup CC')$ –complete  $A r \longleftrightarrow CC$ –complete  $A r \wedge CC'$ –complete  $A r$

*<proof>*

**lemma** *pointed-directed-complete*:

*directed-complete*  $A$   $r$   $\longleftrightarrow$  *directed-complete*  $A$   $r$   $\wedge$  *extremed*  $A$   $r^-$   
 $\langle$ *proof* $\rangle$

“Bounded complete” refers to pointed conditional complete, but this notion is just the dual of semicompleteness. We prove this later.

**abbreviation** *bounded-complete*  $A \equiv (\lambda X r. \exists b \in A. \text{bound } X r b)$ –*complete*  $A$

### 4.3 Relations between Completeness Conditions

**context**

**fixes** *less-eq*  $:: 'a \Rightarrow 'a \Rightarrow \text{bool}$  (**infix**  $\sqsubseteq$  50)  
**begin**

**interpretation** *less-eq-dualize* $\langle$ *proof* $\rangle$

Pair-completeness implies that the universe is directed. Thus, with directed completeness implies toppedness.

**proposition** *directed-complete-pair-complete-imp-extremed*:

**assumes** *dc*: *directed-complete*  $A$  ( $\sqsubseteq$ ) **and** *pc*: *pair-complete*  $A$  ( $\sqsubseteq$ ) **and**  $A: A \neq \{\}$   
**shows** *extremed*  $A$  ( $\sqsubseteq$ )  
 $\langle$ *proof* $\rangle$

Semicomplete is conditional complete and topped.

**proposition** *semicomplete-iff-conditionally-complete-extremed*:

**assumes**  $A: A \neq \{\}$   
**shows** *semicomplete*  $A$  ( $\sqsubseteq$ )  $\longleftrightarrow$  *conditionally-complete*  $A$  ( $\sqsubseteq$ )  $\wedge$  *extremed*  $A$  ( $\sqsubseteq$ )  
**(is**  $?l \longleftrightarrow ?r$ )  
 $\langle$ *proof* $\rangle$

**proposition** *complete-iff-pointed-semicomplete*:

$\top$ –*complete*  $A$  ( $\sqsubseteq$ )  $\longleftrightarrow$  *semicomplete*  $A$  ( $\sqsubseteq$ )  $\wedge$  *extremed*  $A$  ( $\sqsupseteq$ ) **(is**  $?l \longleftrightarrow ?r$ )  
 $\langle$ *proof* $\rangle$

Conditional completeness only lacks top and bottom to be complete.

**proposition** *complete-iff-conditionally-complete-extremed-pointed*:

$\top$ –*complete*  $A$  ( $\sqsubseteq$ )  $\longleftrightarrow$  *conditionally-complete*  $A$  ( $\sqsubseteq$ )  $\wedge$  *extremed*  $A$  ( $\sqsubseteq$ )  $\wedge$  *extremed*  $A$  ( $\sqsupseteq$ )  
 $\langle$ *proof* $\rangle$

If the universe is directed, then every pair is bounded, and thus has a supremum. On the other hand, supremum gives an upper bound, witnessing directedness.

**proposition** *conditionally-complete-imp-pair-complete-iff-directed*:

**assumes** *comp*: *conditionally-complete*  $A$  ( $\sqsubseteq$ )  
**shows** *pair-complete*  $A$  ( $\sqsubseteq$ )  $\longleftrightarrow$  *directed*  $A$  ( $\sqsubseteq$ ) **(is**  $?l \longleftrightarrow ?r$ )  
 $\langle$ *proof* $\rangle$

end

#### 4.4 Duality of Completeness Conditions

Conditional completeness is symmetric.

**context** fixes *less-eq* :: 'a ⇒ 'a ⇒ bool (**infix**  $\sqsubseteq$  50)  
**begin**

**interpretation** *less-eq-dualize*⟨proof⟩

**lemma** *conditionally-complete-dual*:

**assumes** *comp*: conditionally-complete A ( $\sqsubseteq$ ) **shows** conditionally-complete A ( $\supseteq$ )  
⟨proof⟩

Full completeness is symmetric.

**lemma** *complete-dual*:

$\top$ -complete A ( $\sqsubseteq$ )  $\implies$   $\top$ -complete A ( $\supseteq$ )  
⟨proof⟩

Now we show that bounded completeness is the dual of semicompleteness.

**lemma** *bounded-complete-iff-pointed-conditionally-complete*:

**assumes** A: A ≠ {}  
**shows** bounded-complete A ( $\sqsubseteq$ )  $\longleftrightarrow$  conditionally-complete A ( $\sqsubseteq$ )  $\wedge$  extremed A ( $\supseteq$ )  
⟨proof⟩

**proposition** *bounded-complete-iff-dual-semicomplete*:

bounded-complete A ( $\sqsubseteq$ )  $\longleftrightarrow$  semicomplete A ( $\supseteq$ )  
⟨proof⟩

**lemma** *bounded-complete-imp-conditionally-complete*:

**assumes** bounded-complete A ( $\sqsubseteq$ ) **shows** conditionally-complete A ( $\sqsubseteq$ )  
⟨proof⟩

Completeness in downward-closure:

**lemma** *conditionally-complete-imp-semicomplete-in-dual-closure*:

**assumes** A: conditionally-complete A ( $\sqsubseteq$ ) **and** bA: b ∈ A  
**shows** semicomplete {a ∈ A. a  $\sqsubseteq$  b} ( $\supseteq$ )  
⟨proof⟩

end

Completeness in intervals:

**lemma** *conditionally-complete-imp-complete-in-interval*:

**fixes** *less-eq* (**infix**  $\sqsubseteq$  50)  
**assumes** *comp*: conditionally-complete A ( $\sqsubseteq$ ) **and** aA: a ∈ A **and** bA: b ∈ A

**and**  $aa: a \sqsubseteq a$  **and**  $ab: a \sqsubseteq b$   
**shows**  $\top$ -complete  $\{x \in A. a \sqsubseteq x \wedge x \sqsubseteq b\}$  ( $\sqsubseteq$ )  
 $\langle proof \rangle$

**lemmas** *connex-bounded-complete = connex-dual-semicomplete*[folded bounded-complete-iff-dual-semicomplete]

## 4.5 Completeness Results Requiring Order-Like Properties

Above results hold without any assumption on the relation. This part demands some order-like properties.

It is well known that in a semilattice, i.e., a pair-complete partial order, every finite nonempty subset of elements has a supremum. We prove the result assuming transitivity, but only that.

**lemma** (in *transitive*) *pair-complete-iff-finite-complete*:  
*pair-complete*  $A$  ( $\sqsubseteq$ )  $\longleftrightarrow$  *finite-complete*  $A$  ( $\sqsubseteq$ ) (is ?l  $\longleftrightarrow$  ?r)  
 $\langle proof \rangle$

Gierz et al. [9] showed that a directed complete partial order is semicomplete if and only if it is also a semilattice. We generalize the claim so that the underlying relation is only transitive.

**proposition**(in *transitive*) *semicomplete-iff-directed-complete-pair-complete*:  
*semicomplete*  $A$  ( $\sqsubseteq$ )  $\longleftrightarrow$  *directed-complete*  $A$  ( $\sqsubseteq$ )  $\wedge$  *pair-complete*  $A$  ( $\sqsubseteq$ ) (is ?l  $\longleftrightarrow$  ?r)  
 $\langle proof \rangle$

The last argument in the above proof requires transitivity, but if we had reflexivity then  $x$  itself is a supremum of  $\{x\}$  (see  $\llbracket reflexive\ ?A\ ?less-eq; ?x \in ?A \rrbracket \implies extreme-bound\ ?A\ ?less-eq\ \{?x\}\ ?x$ ) and so  $x \sqsubseteq s$  would be immediate. Thus we can replace transitivity by reflexivity, but then pair-completeness does not imply finite completeness. We obtain the following result.

**proposition** (in *reflexive*) *semicomplete-iff-directed-complete-finite-complete*:  
*semicomplete*  $A$  ( $\sqsubseteq$ )  $\longleftrightarrow$  *directed-complete*  $A$  ( $\sqsubseteq$ )  $\wedge$  *finite-complete*  $A$  ( $\sqsubseteq$ ) (is ?l  $\longleftrightarrow$  ?r)  
 $\langle proof \rangle$

## 4.6 Relating to Classes

Isabelle's class *complete-lattice* is  $\top$ -complete.

**lemma** (in *complete-lattice*)  $\top$ -complete *UNIV* ( $\leq$ )  
 $\langle proof \rangle$

## 4.7 Set-wise Completeness

**lemma** *Pow-extreme-bound*:  $X \subseteq Pow\ A \implies extreme-bound\ (Pow\ A)\ (\subseteq)\ X\ (\bigcup\ X)$   
 $\langle proof \rangle$

**lemma** *Pow-complete: C-complete (Pow A) ( $\subseteq$ )*  
 ⟨proof⟩

**lemma** *directed-directed-Un:*  
**assumes** *ch: XX  $\subseteq$  {X. directed X r} and dir: directed XX ( $\subseteq$ )*  
**shows** *directed ( $\bigcup$  XX) r*  
 ⟨proof⟩

**lemmas** *directed-connex-Un = directed-directed-Un[OF - connex.directed]*

**lemma** *directed-sets-directed-complete:*  
**assumes** *cl:  $\forall DC. DC \subseteq AA \longrightarrow (\forall X \in DC. \text{directed } X \text{ } r) \longrightarrow (\bigcup DC) \in AA$*   
**shows** *directed-complete {X  $\in$  AA. directed X r} ( $\subseteq$ )*  
 ⟨proof⟩

**lemma** *connex-directed-Un:*  
**assumes** *ch: CC  $\subseteq$  {C. connex C r} and dir: directed CC ( $\subseteq$ )*  
**shows** *connex ( $\bigcup$  CC) r*  
 ⟨proof⟩

**lemma** *connex-is-directed-complete: directed-complete {C. C  $\subseteq$  A  $\wedge$  connex C r}*  
 ( $\subseteq$ )  
 ⟨proof⟩

**lemma** (*in well-ordered-set*) *well-ordered-set-insert:*  
**assumes** *aA: total-ordered-set (insert a A) ( $\sqsubseteq$ )*  
**shows** *well-ordered-set (insert a A) ( $\sqsubseteq$ )*  
 ⟨proof⟩

The following should be true in general, but here we use antisymmetry to avoid the axiom of choice.

**lemma** (*in antisymmetric*) *pointwise-connex-complete:*  
**assumes** *comp: connex-complete A ( $\sqsubseteq$ )*  
**shows** *connex-complete {f. f ' X  $\subseteq$  A} (pointwise X ( $\sqsubseteq$ ))*  
 ⟨proof⟩

Our supremum/infimum coincides with those of Isabelle's *complete-lattice*.

**lemma** *complete-UNIV:  $\top$ -complete (UNIV::'a::complete-lattice set) ( $\leq$ )*  
 ⟨proof⟩

**context**  
**fixes** *X :: 'a :: complete-lattice set*  
**begin**

**lemma** *supremum-Sup: supremum X ( $\bigsqcup$  X)*  
 ⟨proof⟩

**lemmas** *Sup-eq-The-supremum = order.dual.eq-The-extreme[OF supremum-Sup]*



**lemma** *supremum-eq-Sup*:  $\text{supremum } X \ x \longleftrightarrow \bigsqcup X = x$   
 ⟨*proof*⟩

**lemma** *infimum-Inf*:  
**shows**  $\text{infimum } X \ (\bigsqcap X)$   
 ⟨*proof*⟩

**lemmas** *Inf-eq-The-infimum = order.eq-The-extreme*[*OF infimum-Inf*]

**lemma** *infimum-eq-Inf*:  $\text{infimum } X \ x \longleftrightarrow \bigsqcap X = x$   
 ⟨*proof*⟩

**end**

**end**

**theory** *Fixed-Points*  
**imports** *Complete-Relations Directedness*  
**begin**

## 5 Existence of Fixed Points in Complete Related Sets

The following proof is simplified and generalized from Stouti–Maaden [22]. We construct some set whose extreme bounds – if they exist, typically when the underlying related set is complete – are fixed points of a monotone or inflationary function on any related set. When the related set is attractive, those are actually the least fixed points. This generalizes [22], relaxing reflexivity and antisymmetry.

**locale** *fixed-point-proof = related-set +*  
**fixes**  $f$   
**assumes**  $f \text{ ' } A \subseteq A$   
**begin**

**sublocale** *less-eq-asymmetrize*⟨*proof*⟩

**definition** *AA* **where**  $AA \equiv$   
 $\{X. X \subseteq A \wedge f \text{ ' } X \subseteq X \wedge (\forall Y \ s. Y \subseteq X \longrightarrow \text{extreme-bound } A \ (\sqsubseteq) \ Y \ s \longrightarrow s \in X)\}$

**lemma** *AA-I*:  
 $X \subseteq A \Longrightarrow f \text{ ' } X \subseteq X \Longrightarrow (\bigwedge Y \ s. Y \subseteq X \Longrightarrow \text{extreme-bound } A \ (\sqsubseteq) \ Y \ s \Longrightarrow s \in X) \Longrightarrow X \in AA$   
 ⟨*proof*⟩

**lemma** *AA-E*:

$X \in AA \implies$   
 $(X \subseteq A \implies f \cdot X \subseteq X \implies (\bigwedge Y s. Y \subseteq X \implies \text{extreme-bound } A \sqsubseteq) Y s \implies$   
 $s \in X) \implies \text{thesis} \implies \text{thesis}$   
 ⟨proof⟩

**definition**  $C$  where  $C \equiv \bigcap AA$

**lemma**  $A-AA$ :  $A \in AA$  ⟨proof⟩

**lemma**  $C-AA$ :  $C \in AA$   
 ⟨proof⟩

**lemma**  $CA$ :  $C \subseteq A$  ⟨proof⟩

**lemma**  $fC$ :  $f \cdot C \subseteq C$  ⟨proof⟩

**context**

**fixes**  $c$  **assumes**  $Cc$ :  $\text{extreme-bound } A \sqsubseteq) C c$   
**begin**

**private lemma**  $cA$ :  $c \in A$  ⟨proof⟩ **lemma**  $cC$ :  $c \in C$  ⟨proof⟩ **lemma**  $fcC$ :  $f c \in C$  ⟨proof⟩ **lemma**  $fcA$ :  $f c \in A$  ⟨proof⟩

**lemma**  $qfp\text{-as-extreme-bound}$ :  
**assumes**  $\text{infl-mono}$ :  $\forall x \in A. x \sqsubseteq f x \vee (\forall y \in A. y \sqsubseteq x \longrightarrow f y \sqsubseteq f x)$   
**shows**  $f c \sim c$   
 ⟨proof⟩

**lemma**  $\text{extreme-qfp}$ :  
**assumes**  $\text{attract}$ :  $\forall q \in A. \forall x \in A. f q \sim q \longrightarrow x \sqsubseteq f q \longrightarrow x \sqsubseteq q$   
**and**  $\text{mono}$ :  $\text{monotone-on } A \sqsubseteq) \sqsubseteq) f$   
**shows**  $\text{extreme } \{q \in A. f q \sim q \vee f q = q\} \sqsubseteq) c$   
 ⟨proof⟩

**end**

**lemma**  $\text{ex-qfp}$ :  
**assumes**  $\text{comp}$ :  $CC\text{-complete } A \sqsubseteq) \text{ and } C$ :  $CC C \sqsubseteq)$   
**and**  $\text{infl-mono}$ :  $\forall a \in A. a \sqsubseteq f a \vee (\forall b \in A. b \sqsubseteq a \longrightarrow f b \sqsubseteq f a)$   
**shows**  $\exists s \in A. f s \sim s$   
 ⟨proof⟩

**lemma**  $\text{ex-extreme-qfp-fp}$ :  
**assumes**  $\text{comp}$ :  $CC\text{-complete } A \sqsubseteq) \text{ and } C$ :  $CC C \sqsubseteq)$   
**and**  $\text{attract}$ :  $\forall q \in A. \forall x \in A. f q \sim q \longrightarrow x \sqsubseteq f q \longrightarrow x \sqsubseteq q$   
**and**  $\text{mono}$ :  $\text{monotone-on } A \sqsubseteq) \sqsubseteq) f$   
**shows**  $\exists c. \text{extreme } \{q \in A. f q \sim q \vee f q = q\} \sqsubseteq) c$   
 ⟨proof⟩

**lemma** *ex-extreme-qfp*:

**assumes** *comp*:  $CC$ -complete  $A$  ( $\sqsubseteq$ ) **and**  $C$ :  $CC$   $C$  ( $\sqsubseteq$ )  
**and** *attract*:  $\forall q \in A. \forall x \in A. f q \sim q \longrightarrow x \sqsubseteq f q \longrightarrow x \sqsubseteq q$   
**and** *mono*: monotone-on  $A$  ( $\sqsubseteq$ ) ( $\sqsubseteq$ )  $f$   
**shows**  $\exists c. \text{extreme } \{q \in A. f q \sim q\}$  ( $\exists$ )  $c$

*<proof>*

**end**

**context**

**fixes** *less-eq* ::  $'a \Rightarrow 'a \Rightarrow \text{bool}$  (**infix**  $\sqsubseteq$  50) **and**  $A$  ::  $'a$  set **and**  $f$   
**assumes**  $f$ :  $f ' A \subseteq A$

**begin**

**interpretation** *less-eq-symmetrize**<proof>*

**interpretation** *fixed-point-proof*  $A$  ( $\sqsubseteq$ )  $f$  *<proof>*

**theorem** *complete-infl-mono-imp-ex-qfp*:

**assumes** *comp*:  $\top$ -complete  $A$  ( $\sqsubseteq$ ) **and** *infl-mono*:  $\forall a \in A. a \sqsubseteq f a \vee (\forall b \in A. b \sqsubseteq a \longrightarrow f b \sqsubseteq f a)$

**shows**  $\exists s \in A. f s \sim s$

*<proof>*

**end**

**corollary** (**in antisymmetric**) *complete-infl-mono-imp-ex-fp*:

**assumes** *comp*:  $\top$ -complete  $A$  ( $\sqsubseteq$ ) **and**  $f$ :  $f ' A \subseteq A$

**and** *infl-mono*:  $\forall a \in A. a \sqsubseteq f a \vee (\forall b \in A. b \sqsubseteq a \longrightarrow f b \sqsubseteq f a)$

**shows**  $\exists s \in A. f s = s$

*<proof>*

**context** *semiattractive* **begin**

**interpretation** *less-eq-symmetrize**<proof>*

**theorem** *complete-mono-imp-ex-extreme-qfp*:

**assumes** *comp*:  $\top$ -complete  $A$  ( $\sqsubseteq$ ) **and**  $f$ :  $f ' A \subseteq A$

**and** *mono*: monotone-on  $A$  ( $\sqsubseteq$ ) ( $\sqsubseteq$ )  $f$

**shows**  $\exists s. \text{extreme } \{p \in A. f p \sim p\}$  ( $\sqsubseteq$ )  $s$

*<proof>*

**end**

**corollary** (**in antisymmetric**) *complete-mono-imp-ex-extreme-fp*:

**assumes** *comp*:  $\top$ -complete  $A$  ( $\sqsubseteq$ ) **and**  $f$ :  $f ' A \subseteq A$

**and** *mono*: monotone-on  $A$  ( $\sqsubseteq$ ) ( $\sqsubseteq$ )  $f$

**shows**  $\exists s. \text{extreme } \{s \in A. f s = s\}$  ( $\sqsubseteq$ )<sup>-</sup>  $s$

*<proof>*

## 6 Fixed Points in Well-Complete Antisymmetric Sets

In this section, we prove that an inflationary or monotone map over a well-complete antisymmetric set has a fixed point.

In order to formalize such a theorem in Isabelle, we followed Grall's [11] elementary proof for Bourbaki–Witt and Markowsky's theorems. His idea is to consider well-founded derivation trees over  $A$ , where from a set  $C \subseteq A$  of premises one can derive  $f(\bigsqcup C)$  if  $C$  is a chain. The main observation is as follows: Let  $D$  be the set of all the derivable elements; that is, for each  $d \in D$  there exists a well-founded derivation whose root is  $d$ . It is shown that  $D$  is a chain, and hence one can build a derivation yielding  $f(\bigsqcup D)$ , and  $f(\bigsqcup D)$  is shown to be a fixed point.

**lemma** *bound-monotone-on*:

**assumes** *mono*: monotone-on  $A$   $r$   $s$   $f$  **and**  $XA$ :  $X \subseteq A$  **and**  $aA$ :  $a \in A$  **and**  $rXa$ :  
*bound*  $X$   $r$   $a$

**shows** *bound*  $(f'X)$   $s$   $(f a)$

*<proof>*

**context** *fixed-point-proof* **begin**

To avoid the usage of the axiom of choice, we carefully define derivations so that any derivable element determines its lower set. This led to the following definition:

**definition** *derivation*  $X \equiv X \subseteq A \wedge$  *well-ordered-set*  $X$   $(\sqsubseteq) \wedge$

$(\forall x \in X. \text{let } Y = \{y \in X. y \sqsubset x\} \text{ in}$

$(\exists y. \text{extreme } Y$   $(\sqsubseteq) y \wedge x = f y) \vee$

$f' Y \subseteq Y \wedge \text{extreme-bound } A$   $(\sqsubseteq) Y x)$

**lemma** *empty-derivation*: *derivation*  $\{\}$  *<proof>*

**lemma** **assumes** *derivation*  $P$

**shows** *derivation-A*:  $P \subseteq A$  **and** *derivation-well-ordered*: *well-ordered-set*  $P$   $(\sqsubseteq)$

*<proof>*

**lemma** *derivation-cases*[*consumes 2, case-names suc lim*]:

**assumes** *derivation*  $X$  **and**  $x \in X$

**and**  $\bigwedge Y y. Y = \{y \in X. y \sqsubset x\} \implies \text{extreme } Y$   $(\sqsubseteq) y \implies x = f y \implies$  *thesis*

**and**  $\bigwedge Y. Y = \{y \in X. y \sqsubset x\} \implies f' Y \subseteq Y \implies \text{extreme-bound } A$   $(\sqsubseteq) Y x$   
 $\implies$  *thesis*

**shows** *thesis*

*<proof>*

**definition** *derivable*  $x \equiv \exists X. \text{derivation } X \wedge x \in X$

**lemma** *derivableI*[*intro?*]: *derivation*  $X \implies x \in X \implies \text{derivable } x$  *<proof>*

**lemma** *derivableE*:  $\text{derivable } x \implies (\bigwedge P. \text{derivation } P \implies x \in P \implies \text{thesis}) \implies \text{thesis}$

*<proof>*

**lemma** *derivable-A*:  $\text{derivable } x \implies x \in A$  *<proof>*

**lemma** *UN-derivations-eq-derivable*:  $(\bigcup \{P. \text{derivation } P\}) = \{x. \text{derivable } x\}$

*<proof>*

**end**

**locale** *fixed-point-proof2* = *fixed-point-proof* + *antisymmetric* +

**assumes** *derivation-inft*:  $\forall X x y. \text{derivation } X \longrightarrow x \in X \longrightarrow y \in X \longrightarrow x \sqsubseteq y \longrightarrow x \sqsubseteq f y$

**and** *derivation-f-refl*:  $\forall X x. \text{derivation } X \longrightarrow x \in X \longrightarrow f x \sqsubseteq f x$

**begin**

**lemma** *derivation-lim*:

**assumes** *P*: *derivation* *P* **and** *fP*:  $f \text{ ` } P \subseteq P$  **and** *Pp*: *extreme-bound* *A* ( $\sqsubseteq$ ) *P* *p*

**shows** *derivation*  $(P \cup \{p\})$

*<proof>*

**lemma** *derivation-suc*:

**assumes** *P*: *derivation* *P* **and** *Pp*: *extreme* *P* ( $\sqsubseteq$ ) *p* **shows** *derivation*  $(P \cup \{f p\})$

*<proof>*

**lemma** *derivable-closed*:

**assumes** *x*: *derivable* *x* **shows** *derivable*  $(f x)$

*<proof>*

The following lemma is derived from Grall's proof. We simplify the claim so that we consider two elements from one derivation, instead of two derivations.

**lemma** *derivation-useful*:

**assumes** *X*: *derivation* *X* **and** *xX*:  $x \in X$  **and** *yX*:  $y \in X$  **and** *xy*:  $x \sqsubset y$

**shows**  $f x \sqsubseteq y$

*<proof>*

Next one is the main lemma of this section, stating that elements from two possibly different derivations are comparable, and moreover the lower one is in the derivation of the upper one. The latter claim, not found in Grall's proof, is crucial in proving that the union of all derivations is well-related.

**lemma** *derivations-cross-compare*:

**assumes** *X*: *derivation* *X* **and** *Y*: *derivation* *Y* **and** *xX*:  $x \in X$  **and** *yY*:  $y \in Y$

**shows**  $(x \sqsubset y \wedge x \in Y) \vee x = y \vee (y \sqsubset x \wedge y \in X)$

*<proof>*

**sublocale** *derivable: well-ordered-set*  $\{x. \text{derivable } x\}$  ( $\sqsubseteq$ )  
*<proof>*

**lemma** *pred-unique:*

**assumes**  $X$ : *derivation*  $X$  **and**  $xX$ :  $x \in X$

**shows**  $\{z \in X. z \sqsubseteq x\} = \{z. \text{derivable } z \wedge z \sqsubseteq x\}$

*<proof>*

The set of all derivable elements is itself a derivation.

**lemma** *derivation-derivable: derivation*  $\{x. \text{derivable } x\}$   
*<proof>*

Finally, if the set of all derivable elements admits a supremum, then it is a fixed point.

**context**

**fixes**  $p$

**assumes**  $p$ : *extreme-bound*  $A$  ( $\sqsubseteq$ )  $\{x. \text{derivable } x\}$   $p$

**begin**

**lemma** *sup-derivable-derivable: derivable*  $p$

*<proof>* **lemmas**  $\text{sup } p = \text{sup-derivable-derivable}$ [*THEN derivable-closed*]

**lemma** *sup-derivable-prefixed: f p*  $\sqsubseteq p$  *<proof>*

**lemma** *sup-derivable-postfixed: p*  $\sqsubseteq f p$

*<proof>*

**lemma** *sup-derivable-qfp: f p*  $\sim p$

*<proof>*

**lemma** *sup-derivable-fp: f p*  $= p$

*<proof>*

**end**

**end**

The assumptions are satisfied by monotone functions.

**context** *fixed-point-proof* **begin**

**context**

**assumes**  $\text{ord}$ : *antisymmetric*  $A$  ( $\sqsubseteq$ )

**begin**

**interpretation** *antisymmetric* *<proof>*

**context**

**assumes**  $\text{mono}$ : *monotone-on*  $A$  ( $\sqsubseteq$ ) ( $\sqsubseteq$ )  $f$

**begin**

**interpretation** *fixed-point-proof2*

*<proof>*

**lemmas** *mono-imp-fixed-point-proof2 = fixed-point-proof2-axioms*

**corollary** *mono-imp-sup-derivable-fp:*

**assumes** *p: extreme-bound A ( $\sqsubseteq$ ) {x. derivable x} p*

**shows** *f p = p*

*<proof>*

**lemma** *mono-imp-sup-derivable-lfp:*

**assumes** *p: extreme-bound A ( $\sqsubseteq$ ) {x. derivable x} p*

**shows** *extreme {q ∈ A. f q = q} ( $\sqsupseteq$ ) p*

*<proof>*

**lemma** *mono-imp-ex-least-fp:*

**assumes** *comp: well-related-set-complete A ( $\sqsubseteq$ )*

**shows**  *$\exists p$ . extreme {q ∈ A. f q = q} ( $\sqsupseteq$ ) p*

*<proof>*

**end**

**end**

**end**

Bourbaki-Witt Theorem on well-complete pseudo-ordered set:

**theorem** (*in pseudo-ordered-set*) *well-complete-infl'-imp-ex-fp:*

**assumes** *comp: well-related-set-complete A ( $\sqsubseteq$ )*

**and** *f: f ' A ⊆ A and infl:  $\forall x \in A. \forall y \in A. x \sqsubseteq y \longrightarrow x \sqsubseteq f y$*

**shows**  *$\exists p \in A. f p = p$*

*<proof>*

Bourbaki-Witt Theorem on posets:

**corollary** (*in partially-ordered-set*) *well-complete-infl-imp-ex-fp:*

**assumes** *comp: well-related-set-complete A ( $\sqsubseteq$ )*

**and** *f: f ' A ⊆ A and infl:  $\forall x \in A. x \sqsubseteq f x$*

**shows**  *$\exists p \in A. f p = p$*

*<proof>*

## 7 Completeness of (Quasi-)Fixed Points

We now prove that, under attractivity, the set of quasi-fixed points is complete.

**definition** *setwise where setwise r X Y  $\equiv \forall x \in X. \forall y \in Y. r x y$*

**lemmas** *setwiseI[intro] = setwise-def[unfolded atomize-eq, THEN iffD2, rule-format]*

**lemmas** *setwiseE*[*elim*] = *setwise-def*[*unfolded atomize-eq*, *THEN iffD1*, *elim-format*, *rule-format*]

**context** *fixed-point-proof* **begin**

**abbreviation** *setwise-less-eq* (**infix**  $\sqsubseteq^s$  50) **where**  $(\sqsubseteq^s) \equiv \text{setwise } (\sqsubseteq)$

## 7.1 Least Quasi-Fixed Points for Attractive Relations.

**lemma** *attract-mono-imp-least-qfp*:

**assumes** *attract*: *attractive*  $A$   $(\sqsubseteq)$   
**and** *comp*: *well-related-set-complete*  $A$   $(\sqsubseteq)$   
**and** *mono*: *monotone-on*  $A$   $(\sqsubseteq)$   $(\sqsubseteq)$   $f$   
**shows**  $\exists c. \text{extreme } \{p \in A. f p \sim p \vee f p = p\} (\sqsubseteq) c \wedge f c \sim c$   
 $\langle \text{proof} \rangle$

## 7.2 General Completeness

**lemma** *attract-mono-imp-fp-qfp-complete*:

**assumes** *attract*: *attractive*  $A$   $(\sqsubseteq)$   
**and** *comp*: *CC-complete*  $A$   $(\sqsubseteq)$   
**and** *wr-CC*:  $\forall C \subseteq A. \text{well-related-set } C (\sqsubseteq) \longrightarrow CC C (\sqsubseteq)$   
**and** *extend*:  $\forall X Y. CC X (\sqsubseteq) \longrightarrow CC Y (\sqsubseteq) \longrightarrow X \sqsubseteq^s Y \longrightarrow CC (X \cup Y)$   
 $(\sqsubseteq)$   
**and** *mono*: *monotone-on*  $A$   $(\sqsubseteq)$   $(\sqsubseteq)$   $f$   
**and**  $P: P \subseteq \{x \in A. f x = x\}$   
**shows** *CC-complete*  $(\{q \in A. f q \sim q\} \cup P)$   $(\sqsubseteq)$   
 $\langle \text{proof} \rangle$

**lemma** *attract-mono-imp-qfp-complete*:

**assumes** *attractive*  $A$   $(\sqsubseteq)$   
**and** *CC-complete*  $A$   $(\sqsubseteq)$   
**and**  $\forall C \subseteq A. \text{well-related-set } C (\sqsubseteq) \longrightarrow CC C (\sqsubseteq)$   
**and**  $\forall X Y. CC X (\sqsubseteq) \longrightarrow CC Y (\sqsubseteq) \longrightarrow X \sqsubseteq^s Y \longrightarrow CC (X \cup Y)$   $(\sqsubseteq)$   
**and** *monotone-on*  $A$   $(\sqsubseteq)$   $(\sqsubseteq)$   $f$   
**shows** *CC-complete*  $\{p \in A. f p \sim p\}$   $(\sqsubseteq)$   
 $\langle \text{proof} \rangle$

**lemma** *antisym-mono-imp-fp-complete*:

**assumes** *anti*: *antisymmetric*  $A$   $(\sqsubseteq)$   
**and** *comp*: *CC-complete*  $A$   $(\sqsubseteq)$   
**and** *wr-CC*:  $\forall C \subseteq A. \text{well-related-set } C (\sqsubseteq) \longrightarrow CC C (\sqsubseteq)$   
**and** *extend*:  $\forall X Y. CC X (\sqsubseteq) \longrightarrow CC Y (\sqsubseteq) \longrightarrow X \sqsubseteq^s Y \longrightarrow CC (X \cup Y)$   
 $(\sqsubseteq)$   
**and** *mono*: *monotone-on*  $A$   $(\sqsubseteq)$   $(\sqsubseteq)$   $f$   
**shows** *CC-complete*  $\{p \in A. f p = p\}$   $(\sqsubseteq)$   
 $\langle \text{proof} \rangle$

**end**



## 7.3 Instances

### 7.3.1 Instances under attractivity

**context** *attractive* **begin**

**interpretation** *less-eq-symmetrize*(*proof*)

Full completeness

**theorem** *mono-imp-qfp-complete*:

**assumes** *comp*:  $\top$ -complete  $A$  ( $\sqsubseteq$ ) **and**  $f: f' A \subseteq A$  **and** *mono*: monotone-on  $A$  ( $\sqsubseteq$ ) ( $\sqsubseteq$ )  $f$   
**shows**  $\top$ -complete  $\{p \in A. f p \sim p\}$  ( $\sqsubseteq$ )  
(*proof*)

Connex completeness

**theorem** *mono-imp-qfp-connex-complete*:

**assumes** *comp*: connex-complete  $A$  ( $\sqsubseteq$ )  
**and**  $f: f' A \subseteq A$  **and** *mono*: monotone-on  $A$  ( $\sqsubseteq$ ) ( $\sqsubseteq$ )  $f$   
**shows** connex-complete  $\{p \in A. f p \sim p\}$  ( $\sqsubseteq$ )  
(*proof*)

Directed completeness

**theorem** *mono-imp-qfp-directed-complete*:

**assumes** *comp*: directed-complete  $A$  ( $\sqsubseteq$ )  
**and**  $f: f' A \subseteq A$  **and** *mono*: monotone-on  $A$  ( $\sqsubseteq$ ) ( $\sqsubseteq$ )  $f$   
**shows** directed-complete  $\{p \in A. f p \sim p\}$  ( $\sqsubseteq$ )  
(*proof*)

Well Completeness

**theorem** *mono-imp-qfp-well-complete*:

**assumes** *comp*: well-related-set-complete  $A$  ( $\sqsubseteq$ )  
**and**  $f: f' A \subseteq A$  **and** *mono*: monotone-on  $A$  ( $\sqsubseteq$ ) ( $\sqsubseteq$ )  $f$   
**shows** well-related-set-complete  $\{p \in A. f p \sim p\}$  ( $\sqsubseteq$ )  
(*proof*)

**end**

### 7.3.2 Usual instances under antisymmetry

**context** *antisymmetric* **begin**

Knaster–Tarski

**theorem** *mono-imp-fp-complete*:

**assumes** *comp*:  $\top$ -complete  $A$  ( $\sqsubseteq$ )  
**and**  $f: f' A \subseteq A$  **and** *mono*: monotone-on  $A$  ( $\sqsubseteq$ ) ( $\sqsubseteq$ )  $f$   
**shows**  $\top$ -complete  $\{p \in A. f p = p\}$  ( $\sqsubseteq$ )  
(*proof*)

Markowsky 1976

**theorem** *mono-imp-fp-connex-complete*:  
**assumes** *comp*: *connex-complete*  $A$  ( $\sqsubseteq$ )  
**and**  $f: f' A \subseteq A$  **and** *mono*: *monotone-on*  $A$  ( $\sqsubseteq$ ) ( $\sqsubseteq$ )  $f$   
**shows** *connex-complete*  $\{p \in A. f p = p\}$  ( $\sqsubseteq$ )  
 $\langle$ *proof* $\rangle$

Pataraia

**theorem** *mono-imp-fp-directed-complete*:  
**assumes** *comp*: *directed-complete*  $A$  ( $\sqsubseteq$ )  
**and**  $f: f' A \subseteq A$  **and** *mono*: *monotone-on*  $A$  ( $\sqsubseteq$ ) ( $\sqsubseteq$ )  $f$   
**shows** *directed-complete*  $\{p \in A. f p = p\}$  ( $\sqsubseteq$ )  
 $\langle$ *proof* $\rangle$

Bhatta & George 2011

**theorem** *mono-imp-fp-well-complete*:  
**assumes** *comp*: *well-related-set-complete*  $A$  ( $\sqsubseteq$ )  
**and**  $f: f' A \subseteq A$  **and** *mono*: *monotone-on*  $A$  ( $\sqsubseteq$ ) ( $\sqsubseteq$ )  $f$   
**shows** *well-related-set-complete*  $\{p \in A. f p = p\}$  ( $\sqsubseteq$ )  
 $\langle$ *proof* $\rangle$

**end**

**end**

**theory** *Continuity*

**imports** *Complete-Relations*

**begin**

## 7.4 Scott Continuity, $\omega$ -Continuity

In this Section, we formalize Scott continuity and  $\omega$ -continuity. We then prove that a Scott continuous map is  $\omega$ -continuous and that an  $\omega$ -continuous map is “nearly” monotone.

**definition** *continuous* (*--continuous* [1000]1000) **where**

*C-continuous*  $A$  ( $\sqsubseteq$ )  $B$  ( $\leq$ )  $f \equiv$   
 $f' A \subseteq B \wedge$   
 $(\forall X s. C X (\sqsubseteq) \longrightarrow X \neq \{\}) \longrightarrow X \subseteq A \longrightarrow \text{extreme-bound } A (\sqsubseteq) X s \longrightarrow$   
 $\text{extreme-bound } B (\leq) (f'X) (f s)$   
**for**  $leA$  (**infix**  $\sqsubseteq$  50) **and**  $leB$  (**infix**  $\leq$  50)

**lemmas** *continuousI*[*intro?*] =

*continuous-def*[*unfolded atomize-eq, THEN iffD2, unfolded conj-imp-eq-imp-imp,*  
*rule-format*]

**lemmas** *continuousE* =

*continuous-def*[*unfolded atomize-eq, THEN iffD1, elim-format, unfolded conj-imp-eq-imp-imp,*  
*rule-format*]

**lemma**

**fixes** *prec-eq* (**infix**  $\preceq$  50) **and** *less-eq* (**infix**  $\sqsubseteq$  50)

**assumes**  $\mathcal{C}$ -continuous  $I (\preceq) A (\sqsubseteq) f$   
**shows** *continuous-carrierD*[*dest*]:  $f \cdot I \subseteq A$   
**and** *continuousD*:  $\mathcal{C} X (\preceq) \implies X \neq \{\} \implies X \subseteq I \implies \textit{extreme-bound } I (\preceq)$   
 $X b \implies \textit{extreme-bound } A (\sqsubseteq) (f \cdot X) (f b)$   
*<proof>*

**lemma** *continuous-comp*:

**fixes**  $leA$  (**infix**  $\sqsubseteq_A$  50) **and**  $leB$  (**infix**  $\sqsubseteq_B$  50) **and**  $leC$  (**infix**  $\sqsubseteq_C$  50)  
**assumes**  $KfL$ :  $\forall X \subseteq A. \mathcal{K} X (\sqsubseteq_A) \longrightarrow \mathcal{L} (f \cdot X) (\sqsubseteq_B)$   
**assumes**  $f$ :  $\mathcal{K}$ -continuous  $A (\sqsubseteq_A) B (\sqsubseteq_B) f$  **and**  $g$ :  $\mathcal{L}$ -continuous  $B (\sqsubseteq_B) C (\sqsubseteq_C) g$   
**shows**  $\mathcal{K}$ -continuous  $A (\sqsubseteq_A) C (\sqsubseteq_C) (g \circ f)$   
*<proof>*

**lemma** *continuous-comp-top*:

**fixes**  $leA$  (**infix**  $\sqsubseteq_A$  50) **and**  $leB$  (**infix**  $\sqsubseteq_B$  50) **and**  $leC$  (**infix**  $\sqsubseteq_C$  50)  
**assumes**  $f$ :  $\mathcal{K}$ -continuous  $A (\sqsubseteq_A) B (\sqsubseteq_B) f$  **and**  $g$ :  $\top$ -continuous  $B (\sqsubseteq_B) C (\sqsubseteq_C) g$   
**shows**  $\mathcal{K}$ -continuous  $A (\sqsubseteq_A) C (\sqsubseteq_C) (g \circ f)$   
*<proof>*

**lemma** *id-continuous*:

**fixes**  $leA$  (**infix**  $\sqsubseteq_A$  50)  
**shows**  $\mathcal{K}$ -continuous  $A (\sqsubseteq_A) A (\sqsubseteq_A) (\lambda x. x)$   
*<proof>*

**lemma** *cst-continuous*:

**fixes**  $leA$  (**infix**  $\sqsubseteq_A$  50) **and**  $leB$  (**infix**  $\sqsubseteq_B$  50)  
**assumes**  $b \in B$  **and**  $bb$ :  $b \sqsubseteq_B b$   
**shows**  $\mathcal{K}$ -continuous  $A (\sqsubseteq_A) B (\sqsubseteq_B) (\lambda x. b)$   
*<proof>*

**lemma** *continuous-cmono*:

**assumes**  $CD$ :  $\mathcal{C} \leq \mathcal{D}$  **shows**  $\mathcal{D}$ -continuous  $\leq \mathcal{C}$ -continuous  
*<proof>*

**context**

**fixes** *prec-eq* ::  $'i \Rightarrow 'i \Rightarrow \text{bool}$  (**infix**  $\preceq$  50) **and** *less-eq* ::  $'a \Rightarrow 'a \Rightarrow \text{bool}$  (**infix**  $\sqsubseteq$  50)  
**begin**

**lemma** *continuous-subclass*:

**assumes**  $CD$ :  $\forall X \subseteq I. X \neq \{\} \longrightarrow \mathcal{C} X (\preceq) \longrightarrow \mathcal{D} X (\preceq)$  **and** *cont*:  $\mathcal{D}$ -continuous  
 $I (\preceq) A (\sqsubseteq) f$   
**shows**  $\mathcal{C}$ -continuous  $I (\preceq) A (\sqsubseteq) f$   
*<proof>*

**lemma** *chain-continuous-imp-well-continuous*:

**assumes** *cont*: *connex-continuous*  $I (\preceq) A (\sqsubseteq) f$   
**shows** *well-related-set-continuous*  $I (\preceq) A (\sqsubseteq) f$   
 $\langle proof \rangle$

**lemma** *well-continuous-imp-omega-continuous*:  
**assumes** *cont*: *well-related-set-continuous*  $I (\preceq) A (\sqsubseteq) f$   
**shows** *omega-chain-continuous*  $I (\preceq) A (\sqsubseteq) f$   
 $\langle proof \rangle$

**end**

**abbreviation** *scott-continuous*  $I (\preceq) \equiv$  *directed-set-continuous*  $I (\preceq)$   
**for** *prec-eq* (**infix**  $\preceq$  50)

**lemma** *scott-continuous-imp-well-continuous*:  
**fixes** *prec-eq* ::  $'i \Rightarrow 'i \Rightarrow bool$  (**infix**  $\preceq$  50) **and** *less-eq* ::  $'a \Rightarrow 'a \Rightarrow bool$  (**infix**  $\sqsubseteq$  50)  
**assumes** *cont*: *scott-continuous*  $I (\preceq) A (\sqsubseteq) f$   
**shows** *well-related-set-continuous*  $I (\preceq) A (\sqsubseteq) f$   
 $\langle proof \rangle$

**lemmas** *scott-continuous-imp-omega-continuous* =  
*scott-continuous-imp-well-continuous*[*THEN well-continuous-imp-omega-continuous*]

### 7.4.1 Continuity implies monotonicity

**lemma** *continuous-imp-mono-refl*:  
**fixes** *prec-eq* (**infix**  $\preceq$  50) **and** *less-eq* (**infix**  $\sqsubseteq$  50)  
**assumes** *cont*: *C-continuous*  $I (\preceq) A (\sqsubseteq) f$  **and** *xyC*:  $C \{x,y\} (\preceq)$   
**and** *xy*:  $x \preceq y$  **and** *yy*:  $y \preceq y$   
**and** *x*:  $x \in I$  **and** *y*:  $y \in I$   
**shows**  $f x \sqsubseteq f y$   
 $\langle proof \rangle$

**lemma** *omega-continuous-imp-mono-refl*:  
**fixes** *prec-eq* (**infix**  $\preceq$  50) **and** *less-eq* (**infix**  $\sqsubseteq$  50)  
**assumes** *cont*: *omega-chain-continuous*  $I (\preceq) A (\sqsubseteq) f$   
**and** *xx*:  $x \preceq x$  **and** *xy*:  $x \preceq y$  **and** *yy*:  $y \preceq y$   
**and** *x*:  $x \in I$  **and** *y*:  $y \in I$   
**shows**  $f x \sqsubseteq f y$   
 $\langle proof \rangle$

**context** *reflexive* **begin**

**lemma** *continuous-imp-monotone-on*:  
**fixes** *leB* (**infix**  $\preceq$  50)  
**assumes** *cont*: *C-continuous*  $A (\sqsubseteq) B (\preceq) f$   
**and** *II*:  $\forall i \in A. \forall j \in A. i \sqsubseteq j \longrightarrow C \{i,j\} (\sqsubseteq)$   
**shows** *monotone-on*  $A (\sqsubseteq) (\preceq) f$

*<proof>*

**lemma** *well-complete-imp-monotone-on:*

**fixes** *leB (infix  $\sqsubseteq$  50)*

**assumes** *cont: well-related-set-continuous A ( $\sqsubseteq$ ) B ( $\sqsubseteq$ ) f*

**shows** *monotone-on A ( $\sqsubseteq$ ) ( $\sqsubseteq$ ) f*

*<proof>*

**end**

**end**

**theory** *Kleene-Fixed-Point*

**imports** *Complete-Relations Continuity*

**begin**

## 8 Iterative Fixed Point Theorem

Kleene's fixed-point theorem states that, for a pointed directed complete partial order  $\langle A, \sqsubseteq \rangle$  and a Scott-continuous map  $f : A \rightarrow A$ , the supremum of  $\{f^n(\perp) \mid n \in \mathbb{N}\}$  exists in  $A$  and is a least fixed point. Mashburn [17] generalized the result so that  $\langle A, \sqsubseteq \rangle$  is a  $\omega$ -complete partial order and  $f$  is  $\omega$ -continuous.

In this section we further generalize the result and show that for  $\omega$ -complete relation  $\langle A, \sqsubseteq \rangle$  and for every bottom element  $\perp \in A$ , the set  $\{f^n(\perp) \mid n \in \mathbb{N}\}$  has suprema (not necessarily unique, of course) and, they are quasi-fixed points. Moreover, if  $(\sqsubseteq)$  is attractive, then the suprema are precisely the least quasi-fixed points.

### 8.1 Existence of Iterative Fixed Points

The first part of Kleene's theorem demands to prove that the set  $\{f^n(\perp) \mid n \in \mathbb{N}\}$  has a supremum and that all such are quasi-fixed points. We prove this claim without assuming anything on the relation  $\sqsubseteq$  besides  $\omega$ -completeness and one bottom element.

**notation** *compower ( $\hat{-}$ [1000,1000]1000)*

**lemma** *monotone-on-funpow: assumes f: f ' A  $\sqsubseteq$  A and mono: monotone-on A r r f*

**shows** *monotone-on A r r (f $\hat{~}$ n)*

*<proof>*

**no-notation** *bot ( $\perp$ )*

**context**

**fixes** *A and less-eq (infix  $\sqsubseteq$  50) and bot ( $\perp$ ) and f*

**assumes** *bot:  $\perp \in A \forall q \in A. \perp \sqsubseteq q$*

**assumes** *cont*: *omega-chain-continuous*  $A \sqsubseteq A \sqsubseteq f$   
**begin**

**interpretation** *less-eq-symmetrize*(*proof*) **lemma**  $f: f' A \subseteq A$  (*proof*) **abbreviation**(*input*)  $Fn \equiv \{f^n \perp \mid n :: nat\}$

**private lemma** *fn-ref*:  $f^n \perp \sqsubseteq f^n \perp$  **and** *fnA*:  $f^n \perp \in A$   
*proof* **lemma** *FnA*:  $Fn \subseteq A$  (*proof*) **lemma** *Fn-chain*: *omega-chain*  $Fn \sqsubseteq$   
*proof* **lemma** *Fn*:  $Fn = range (\lambda n. f^n \perp)$  (*proof*)

**theorem** *kleene-qfp*:  
**assumes** *q*: *extreme-bound*  $A \sqsubseteq Fn q$   
**shows**  $f q \sim q$   
*proof*

**lemma** *ex-kleene-qfp*:  
**assumes** *comp*: *omega-chain-complete*  $A \sqsubseteq$   
**shows**  $\exists p. \textit{extreme-bound } A \sqsubseteq Fn p$   
*proof*

## 8.2 Iterative Fixed Points are Least.

Kleene's theorem also states that the quasi-fixed point found this way is a least one. Again, attractivity is needed to prove this statement.

**lemma** *kleene-qfp-is-least*:  
**assumes** *attract*:  $\forall q \in A. \forall x \in A. f q \sim q \longrightarrow x \sqsubseteq f q \longrightarrow x \sqsubseteq q$   
**assumes** *q*: *extreme-bound*  $A \sqsubseteq Fn q$   
**shows** *extreme*  $\{s \in A. f s \sim s\} \sqsubseteq q$   
*proof*

**lemma** *kleene-qfp-iff-least*:  
**assumes** *comp*: *omega-chain-complete*  $A \sqsubseteq$   
**assumes** *attract*:  $\forall q \in A. \forall x \in A. f q \sim q \longrightarrow x \sqsubseteq f q \longrightarrow x \sqsubseteq q$   
**assumes** *dual-attract*:  $\forall p \in A. \forall q \in A. \forall x \in A. p \sim q \longrightarrow q \sqsubseteq x \longrightarrow p \sqsubseteq x$   
**shows** *extreme-bound*  $A \sqsubseteq Fn = \textit{extreme } \{s \in A. f s \sim s\} \sqsubseteq$   
*proof*

**end**

**context** *attractive* **begin**

**interpretation** *less-eq-dualize* + *less-eq-symmetrize*(*proof*)

**theorem** *kleene-qfp-is-dual-extreme*:  
**assumes** *comp*: *omega-chain-complete*  $A \sqsubseteq$   
**and** *cont*: *omega-chain-continuous*  $A \sqsubseteq A \sqsubseteq f$  **and** *bA*:  $b \in A$  **and** *bot*:  $\forall x \in A. b \sqsubseteq x$   
**shows** *extreme-bound*  $A \sqsubseteq \{f^n b \mid n :: nat\} = \textit{extreme } \{s \in A. f s \sim s\} \sqsubseteq$   
*proof*

end

corollary(in *antisymmetric*) *kleene-fp*:

assumes *cont*: *omega-chain-continuous*  $A \sqsubseteq A \sqsubseteq f$

and *b*:  $b \in A \forall x \in A. b \sqsubseteq x$

and *p*: *extreme-bound*  $A \sqsubseteq \{f^n b \mid n :: nat\} p$

shows  $f p = p$

*<proof>*

no-notation *compower*  $(- \hat{^} [1000, 1000] 1000)$

end

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