

Complete Non-Orders and Fixed Points

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February 23, 2021

Abstract

We develop an Isabelle/HOL library of order-theoretic concepts, such as various completeness conditions and fixed-point theorems. We keep our formalization as general as possible: we reprove several well-known results about complete orders, often without any properties of ordering, thus complete non-orders. In particular, we generalize the Knaster–Tarski theorem so that we ensure the existence of a quasi-fixed point of monotone maps over complete non-orders, and show that the set of quasi-fixed points is complete under a mild condition—*attractivity*—which is implied by either antisymmetry or transitivity. This result generalizes and strengthens a result by Stauti and Maaden. Finally, we recover Kleene’s fixed-point theorem for omega-complete non-orders, again using *attractivity* to prove that Kleene’s fixed points are least quasi-fixed points.

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1 Introduction

The main driving force towards mechanizing mathematics using proof assistants has been the reliability they offer, exemplified prominently by [7], [8], [10], etc. In this work, we utilize another aspect of Isabelle/JEdit [17] as engineering tools for developing mathematical theories. We formalize order-theoretic concepts and results, adhering to an *as-general-as-possible* approach: most results concerning order-theoretic completeness and fixed-point theorems are proved without assuming the underlying relations to be orders (non-orders). In particular, we provide the following:

- A locale-based library for binary relations, as partly depicted in Figure 1.
- Various completeness results that generalize known theorems in order theory: Actually most relationships and duality of completeness conditions are proved without *any* properties of the underlying relations.
- Existence of fixed points: We show that a relation-preserving mapping $f : A \rightarrow A$ over a complete non-order $\langle A, \sqsubseteq \rangle$ admits a *quasi-fixed point*

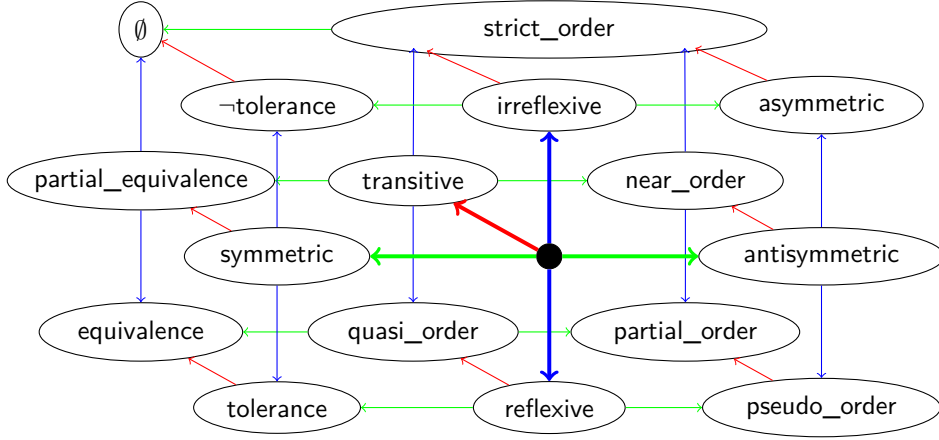


Figure 1: Combinations of basic properties. The black dot around the center represents arbitrary binary relations, and the five outgoing arrows indicate atomic assumptions. We do not present the combination of reflexive and irreflexive, which is empty, and one of symmetric and antisymmetric, which is a subset of equality. Node “ \neg tolerance” indicates the negated relation is tolerance, and “ \emptyset ” is the empty relation.

$f(x) \sim x$, meaning $x \sqsubseteq f(x) \wedge f(x) \sqsubseteq x$. Clearly if \sqsubseteq is antisymmetric then this implies the existence of fixed points $f(x) = x$.

- Completeness of the set of fixed points: We further show that if \sqsubseteq satisfies a mild condition, which we call *attractivity* and which is implied by either transitivity or antisymmetry, then the set of quasi-fixed points is complete. Furthermore, we also show that if \sqsubseteq is antisymmetric, then the set of *strict* fixed points $f(x) = x$ is complete.
- Kleene-style fixed-point theorems: For an ω -complete non-order $\langle A, \sqsubseteq \rangle$ with a bottom element $\perp \in A$ (not necessarily unique) and for every ω -continuous map $f : A \rightarrow A$, a supremum exists for the set $\{f^n(\perp) \mid n \in \mathbb{N}\}$, and it is a quasi-fixed point. If \sqsubseteq is attractive, then the quasi-fixed points obtained this way are precisely the least quasi-fixed points.

We remark that all these results would have required much more effort than we spent (if possible at all), if we were not with the smart assistance by Isabelle. Our workflow was often the following: first we formalize existing proofs, try relaxing assumptions, see where proof breaks, and at some point ask for a counterexample.

Related Work Many attempts have been made to generalize the notion of completeness for lattices, conducted in different directions: by relaxing

the notion of order itself, removing transitivity (pseudo-orders [15]); by relaxing the notion of lattice, considering minimal upper bounds instead of least upper bounds (χ -posets [11]); by relaxing the notion of completeness, requiring the existence of least upper bounds for restricted classes of subsets (e.g., directed complete and ω -complete, see [5] for a textbook). Considering those generalizations, it was natural to prove new versions of classical fixed-point theorems for maps preserving those structures, e.g., existence of least fixed points for monotone maps on (weak chain) complete pseudo-orders [4, 16], construction of least fixed points for ω -continuous functions for ω -complete lattices [12], (weak chain) completeness of the set of fixed points for monotone functions on (weak chain) complete pseudo-orders [13].

Concerning Isabelle formalization, one can easily find several formalizations of complete partial orders or lattices in Isabelle's standard library. They are, however, defined on partial orders, either in form of classes or locales, and thus not directly reusable for non-orders. Nevertheless we tried to make our formalization compatible with the existing ones, and various correspondences are ensured.

This work has been published in the conference paper [18].

2 Binary Relations

We start with basic properties of binary relations.

theory *Binary-Relations*

imports

Main

begin

lemma *conj-imp-eq-imp-imp*: $(P \wedge Q \implies PROP R) \equiv (P \implies Q \implies PROP R)$
by *standard simp-all*

lemma *tranclp-trancl*: $r^{++} = (\lambda x y. (x, y) \in \{(a, b). r a b\}^+)$
by *(auto simp: tranclp-trancl-eq[symmetric])*

lemma *tranclp-id[simp]*: $transp r \implies tranclp r = r$
using *trancl-id[of \{(x, y). r x y\}, folded transp-trans]* **by** *(auto simp: tranclp-trancl)*

lemma *transp-tranclp[simp]*: $transp (tranclp r)$ **by** *(auto simp: tranclp-trancl transp-trans)*

lemma *funpow-dom*: $f \text{ ' } A \subseteq A \implies (f \tilde{n}) \text{ ' } A \subseteq A$ **by** *(induct n, auto)*

Below we introduce an Isabelle-notation for $\{\dots x \dots \mid x \in X\}$.

syntax

-range :: $'a \Rightarrow idts \Rightarrow 'a \text{ set } ((I\{- \ /|./ \ -\}))$

-image :: $'a \Rightarrow ptttn \Rightarrow 'a \text{ set } \Rightarrow 'a \text{ set } ((I\{- \ /|./ \ (- \in \ -\}))$

translations

$\{e \mid p\} \equiv \{e \mid p. \text{CONST True}\}$

$\{e \mid p \in A\} \equiv \text{CONST image } (\lambda p. e) A$

lemma *image-constant*:

assumes $\bigwedge i. i \in I \implies f i = y$

shows $f ` I = (\text{if } I = \{\} \text{ then } \{\} \text{ else } \{y\})$

using *assms* **by** *auto*

2.1 Various Definitions

Here we introduce various definitions for binary relations. The first one is our abbreviation for the dual of a relation.

abbreviation(*input*) *dual* $((-)^ [1000] 1000)$ **where** $r^- x y \equiv r y x$

lemma *conversep-is-dual*[*simp*]: *conversep* = *dual* **by** *auto*

Monotonicity is already defined in the library, but we want one restricted to a domain.

definition *monotone-on* $X r s f \equiv \forall x y. x \in X \longrightarrow y \in X \longrightarrow r x y \longrightarrow s (f x) (f y)$

lemmas *monotone-onI* = *monotone-on-def*[*unfolded atomize-eq*, *THEN iffD2*, *rule-format*]

lemma *monotone-onD*: *monotone-on* $X r s f \implies r x y \implies x \in X \implies y \in X \implies s (f x) (f y)$

by (*auto simp: monotone-on-def*)

lemmas *monotone-onE* = *monotone-on-def*[*unfolded atomize-eq*, *THEN iffD1*, *elim-format*, *rule-format*]

lemma *monotone-on-UNIV*[*simp*]: *monotone-on UNIV* = *monotone*

by (*intro ext, auto simp: monotone-on-def monotone-def*)

lemma *monotone-on-dual*: *monotone-on* $X r s f \implies \text{monotone-on } X r^- s^- f$

by (*auto simp: monotone-on-def*)

lemma *monotone-on-id*: *monotone-on* $X r r \text{ id}$

by (*auto simp: monotone-on-def*)

lemma *monotone-on-cmono*: $A \subseteq B \implies \text{monotone-on } B \leq \text{monotone-on } A$

by (*intro le-funI, auto simp: monotone-on-def*)

Here we define the following notions in a standard manner

The symmetric part of a relation:

definition *sympartp* **where** *sympartp* $r x y \equiv r x y \wedge r y x$

lemma *sympartpI*[*intro*]:

fixes r (**infix** \sqsubseteq 50)

assumes $x \sqsubseteq y$ **and** $y \sqsubseteq x$ **shows** *sympartp* $(\sqsubseteq) x y$

using *assms* **by** (*auto simp: sympartp-def*)

lemma *sympartpE[elim]*:
fixes r (**infix** \sqsubseteq 50)
assumes *sympartp* (\sqsubseteq) $x y$ **and** $x \sqsubseteq y \implies y \sqsubseteq x \implies$ *thesis* **shows** *thesis*
using *assms* **by** (*auto simp: sympartp-def*)

lemma *sympartp-dual*: *sympartp* $r^- = \text{sympartp } r$
by (*auto intro!: ext simp: sympartp-def*)

lemma *sympartp-eq[simp]*: *sympartp* (=) = (=) **by** *auto*

lemma *reflclp-sympartp[simp]*: (*sympartp* r)⁼⁼ = *sympartp* r ⁼⁼ **by** *auto*

definition *equivpartp* $r x y \equiv x = y \vee r x y \wedge r y x$

lemma *sympartp-reflclp-equiv[simp]*: *sympartp* r ⁼⁼ = *equivpartp* r **by** (*auto intro!: ext simp: equivpartp-def*)

lemma *equivpartI[simp]*: *equivpartp* $r x x$
and *sympartp-equivpartI*: *sympartp* $r x y \implies \text{equivpartp } r x y$
and *equivpartCI[intro]*: $(x \neq y \implies \text{sympartp } r x y) \implies \text{equivpartp } r x y$
by (*auto simp: equivpartp-def*)

lemma *equivpartpE[elim]*:
assumes *equivpartp* $r x y$
and $x = y \implies$ *thesis*
and $r x y \implies r y x \implies$ *thesis*
shows *thesis*
using *assms* **by** (*auto simp: equivpartp-def*)

lemma *equivpartp-eq[simp]*: *equivpartp* (=) = (=) **by** *auto*

lemma *sympartp-equivpartp[simp]*: *sympartp* (*equivpartp* r) = (*equivpartp* r)
and *equivpartp-equivpartp[simp]*: *equivpartp* (*equivpartp* r) = (*equivpartp* r)
and *equivpartp-sympartp[simp]*: *equivpartp* (*sympartp* r) = (*equivpartp* r)
by (*auto 0 5 intro!: ext*)

lemma *equivpartp-dual*: *equivpartp* $r^- = \text{equivpartp } r$
by (*auto intro!: ext simp: equivpartp-def*)

The asymmetric part:

definition *asympartp* $r x y \equiv r x y \wedge \neg r y x$

lemma *asympartpE[elim]*:
fixes r (**infix** \sqsubseteq 50)
shows *asympartp* (\sqsubseteq) $x y \implies (x \sqsubseteq y \implies \neg y \sqsubseteq x \implies$ *thesis*) \implies *thesis*
by (*auto simp: asympartp-def*)

lemmas *asympartpI[intro]* = *asympartp-def[unfolded atomize-eq, THEN iffD2, un-*

folded conj-imp-eq-imp-imp, rule-format]

lemma *asymptp-eq[simp]: asymptp (=) = bot by auto*

lemma *asymptp-sympartp [simp]: asymptp (sympartp r) = bot*
and *sympartp-asymptp [simp]: sympartp (asymptp r) = bot*
by (*auto intro!: ext*)

Restriction to a set:

definition *Restrp (infixl \uparrow 60) where (r \uparrow A) a b \equiv a \in A \wedge b \in A \wedge r a b*

lemmas *RestrpI[intro!] = Restrp-def[unfolded atomize-eq, THEN iffD2, unfolded conj-imp-eq-imp-imp]*

lemmas *RestrpE[elim!] = Restrp-def[unfolded atomize-eq, THEN iffD1, elim-format, unfolded conj-imp-eq-imp-imp]*

lemma *Restrp-UNIV[simp]: r \uparrow UNIV \equiv r by (auto simp: atomize-eq)*

lemma *Restrp-Restrp[simp]: r \uparrow A \uparrow B \equiv r \uparrow A \cap B by (auto simp: atomize-eq Restrp-def)*

lemma *sympartp-Restrp[simp]: sympartp (r \uparrow A) \equiv sympartp r \uparrow A*
by (*auto simp: atomize-eq*)

Relational images:

definition *Imagep (infixr $\overset{\curvearrowright}{\leftarrow}$ 59) where r $\overset{\curvearrowright}{\leftarrow}$ A \equiv {b. \exists a \in A. r a b}*

lemma *Imagep-Image: r $\overset{\curvearrowright}{\leftarrow}$ A = {(a,b). r a b} $\overset{\curvearrowright}{\leftarrow}$ A*
by (*auto simp: Imagep-def*)

lemma *in-Imagep: b \in r $\overset{\curvearrowright}{\leftarrow}$ A \longleftrightarrow (\exists a \in A. r a b) by (auto simp: Imagep-def)*

lemma *ImagepI: a \in A \implies r a b \implies b \in r $\overset{\curvearrowright}{\leftarrow}$ A by (auto simp: in-Imagep)*

lemma *subset-Imagep: B \subseteq r $\overset{\curvearrowright}{\leftarrow}$ A \longleftrightarrow (\forall b \in B. \exists a \in A. r a b)*
by (*auto simp: Imagep-def*)

Bounds of a set:

definition *bound X r b \equiv \forall x \in X. r x b*

lemma

fixes r (**infix** \sqsubseteq 50)

shows *boundI[intro!]: (\bigwedge x. x \in X \implies x \sqsubseteq b) \implies bound X (\sqsubseteq) b*

and *boundE[elim]: bound X (\sqsubseteq) b \implies ((\bigwedge x. x \in X \implies x \sqsubseteq b) \implies thesis) \implies thesis*

by (*auto simp: bound-def*)

lemma *bound-empty: bound {} = (λ r x. True) by auto*

lemma *bound-insert[simp]*:
fixes r (**infix** \sqsubseteq 50)
shows $\text{bound } (\text{insert } x \ X) \ (\sqsubseteq) \ b \longleftrightarrow x \sqsubseteq b \wedge \text{bound } X \ (\sqsubseteq) \ b$ **by** *auto*

Extreme (greatest) elements in a set:

definition $\text{extreme } X \ r \ e \equiv e \in X \wedge (\forall x \in X. \ r \ x \ e)$

lemma
fixes r (**infix** \sqsubseteq 50)
shows extremeI[intro] : $e \in X \implies (\bigwedge x. x \in X \implies x \sqsubseteq e) \implies \text{extreme } X \ (\sqsubseteq) \ e$
and extremeD : $\text{extreme } X \ (\sqsubseteq) \ e \implies e \in X \ \text{extreme } X \ (\sqsubseteq) \ e \implies (\bigwedge x. x \in X \implies x \sqsubseteq e)$
and extremeE[elim] : $\text{extreme } X \ (\sqsubseteq) \ e \implies (e \in X \implies (\bigwedge x. x \in X \implies x \sqsubseteq e) \implies \text{thesis}) \implies \text{thesis}$
by (*auto simp: extreme-def*)

lemma
fixes r (**infix** \sqsubseteq 50)
shows $\text{extreme-UNIV[simp]}$: $\text{extreme } UNIV \ (\sqsubseteq) \ t \longleftrightarrow (\forall x. x \sqsubseteq t)$ **by** *auto*

lemma extremes-equiv : $\text{extreme } X \ r \ b \implies \text{extreme } X \ r \ c \implies \text{sympartp } r \ b \ c$ **by** *blast*

lemma bound-cmono : **assumes** $X \subseteq Y$ **shows** $\text{bound } Y \leq \text{bound } X$
using *assms* **by** *auto*

lemma $\text{sympartp-sympartp[simp]}$: $\text{sympartp } (\text{sympartp } r) = \text{sympartp } r$ **by** (*auto intro!: ext*)

Now suprema and infima are given uniformly as follows. The definition is restricted to a given set.

context
fixes $A :: 'a \ \text{set}$ **and** $\text{less-eq} :: 'a \Rightarrow 'a \Rightarrow \text{bool}$ (**infix** \sqsubseteq 50)
begin

abbreviation $\text{extreme-bound } X \equiv \text{extreme } \{b \in A. \ \text{bound } X \ (\sqsubseteq) \ b\} \ (\lambda x \ y. \ y \sqsubseteq x)$

lemma $\text{extreme-boundI[intro]}$:
assumes $\bigwedge b. \ \text{bound } X \ (\sqsubseteq) \ b \implies b \in A \implies s \sqsubseteq b$ **and** $\bigwedge x. x \in X \implies x \sqsubseteq s$
and $s \in A$
shows $\text{extreme-bound } X \ s$
using *assms* **by** *auto*

lemma $\text{extreme-bound-bound}$: $\text{extreme-bound } X \ y \implies x \in X \implies x \sqsubseteq y$ **by** *auto*

lemma $\text{extreme-bound-mono}$:
assumes $XY: X \subseteq Y$
and $sX: \text{extreme-bound } X \ sX$
and $sY: \text{extreme-bound } Y \ sY$


```

  shows  $sX \sqsubseteq sY$ 
proof-
  have bound  $X \sqsubseteq sY$  using  $XY sY$  by force
  with  $sX sY$  show ?thesis by (auto 0 4)
qed

lemma extreme-bound-iff:
  shows extreme-bound  $X s \longleftrightarrow s \in A \wedge (\forall c \in A. (\forall x \in X. x \sqsubseteq c) \longrightarrow s \sqsubseteq c)$ 
 $\wedge (\forall x \in X. x \sqsubseteq s)$ 
  by (auto simp: extreme-def)

lemma extreme-bound-singleton-refl[simp]:
  extreme-bound  $\{x\} x \longleftrightarrow x \in A \wedge x \sqsubseteq x$  by auto

lemma extreme-bound-image-const:
   $x \sqsubseteq x \implies I \neq \{ \} \implies (\bigwedge i. i \in I \implies f i = x) \implies x \in A \implies$  extreme-bound  $(f$ 
 $\text{' } I) x$ 
  by (auto simp: image-constant)

lemma extreme-bound-UN-const:
   $x \sqsubseteq x \implies I \neq \{ \} \implies (\bigwedge i y. i \in I \implies P i y \longleftrightarrow x = y) \implies x \in A \implies$ 
  extreme-bound  $(\bigcup i \in I. \{y. P i y\}) x$ 
  by auto

end

context
  fixes  $ir :: 'i \Rightarrow 'i \Rightarrow \text{bool}$  (infix  $\preceq$  50)
  and  $r :: 'a \Rightarrow 'a \Rightarrow \text{bool}$  (infix  $\sqsubseteq$  50)
  and  $f$  and  $A$  and  $e$  and  $I$ 
  assumes  $fIA: f \text{' } I \subseteq A$ 
  and  $mono: \text{monotone-on } I (\preceq) (\sqsubseteq) f$ 
  and  $e: \text{extreme } I (\preceq) e$ 
begin

lemma monotone-extreme-imp-extreme-bound:
  extreme-bound  $A (\sqsubseteq) (f \text{' } I) (f e)$ 
  using monotone-onD[OF mono] e fIA
  by (intro extreme-boundI, auto simp: image-def elim!: extremeE)

lemma monotone-extreme-extreme-boundI:
   $x = f e \implies$  extreme-bound  $A (\sqsubseteq) (f \text{' } I) x$ 
  using monotone-extreme-imp-extreme-bound by auto

end

```

2.2 Locales for Binary Relations

We now define basic properties of binary relations, in form of *locales* [9, 2].

2.2.1 Syntactic Locales

The following locales do not assume anything, but provide infix notations for relations.

```

locale less-eq-syntax =
  fixes less-eq :: 'a ⇒ 'a ⇒ bool (infix  $\sqsubseteq$  50)

locale less-syntax =
  fixes less :: 'a ⇒ 'a ⇒ bool (infix  $\sqsubset$  50)

locale equivalence-syntax =
  fixes equiv :: 'a ⇒ 'a ⇒ bool (infix  $\sim$  50)
begin

abbreviation equiv-class ( $[-]_{\sim}$ ) where  $[x]_{\sim} \equiv \{ y. x \sim y \}$ 

end

```

Next ones introduce abbreviations for dual etc. To avoid needless constants, one should be careful when declaring them as sublocales.

```

locale less-eq-notations = less-eq-syntax
begin

abbreviation (input) greater-eq (infix  $\sqsupseteq$  50) where  $x \sqsupseteq y \equiv y \sqsubseteq x$ 
abbreviation sym (infix  $\sim$  50) where  $(\sim) \equiv \text{sympartp } (\sqsubseteq)$ 
abbreviation less (infix  $\sqsubset$  50) where  $(\sqsubset) \equiv \text{asympartp } (\sqsubseteq)$ 
abbreviation greater (infix  $\sqsupset$  50) where  $(\sqsupset) \equiv (\sqsubset)^{-}$ 
abbreviation equiv (infix  $(\simeq)$  50) where  $(\simeq) \equiv \text{equivpartp } (\sqsubseteq)$ 

lemma asym-cases[consumes 1, case-names asym sym]:
  assumes  $x \sqsubseteq y$  and  $x \sqsubset y \implies \text{thesis}$  and  $x \sim y \implies \text{thesis}$ 
  shows thesis
  using assms by auto

end

```

```

locale less-notations = less-syntax
begin

abbreviation (input) greater (infix  $\sqsupset$  50) where  $x \sqsupset y \equiv y \sqsubset x$ 

end

```

```

locale related-set =
  fixes A :: 'a set and less-eq :: 'a ⇒ 'a ⇒ bool (infix  $\sqsubseteq$  50)

```

2.2.2 Basic Properties of Relations

In the following we define basic properties in form of locales.

Reflexivity restricted on a set:

```
locale reflexive = related-set +
  assumes refl[intro]:  $x \in A \implies x \sqsubseteq x$ 
begin

lemma eq-implies:  $x = y \implies x \in A \implies x \sqsubseteq y$  by auto

lemma extreme-singleton[simp]:  $x \in A \implies \text{extreme } \{x\} (\sqsubseteq) y \longleftrightarrow x = y$  by auto

lemma extreme-bound-singleton:  $x \in A \implies \text{extreme-bound } A (\sqsubseteq) \{x\} x$  by auto

lemma reflexive-subset:  $B \subseteq A \implies \text{reflexive } B (\sqsubseteq)$  apply unfold-locales by auto

end

declare reflexive.intro[intro!]

lemma reflexiveE[elim]:
  assumes reflexive A r and  $(\bigwedge x. x \in A \implies r x x) \implies \text{thesis}$  shows thesis
  using assms by (auto simp: reflexive.refl)

lemma reflexive-cong:
   $(\bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b) \implies \text{reflexive } A r \longleftrightarrow \text{reflexive } A r'$ 
  by (simp add: reflexive-def)

locale irreflexive = related-set A ( $\sqsubseteq$ ) for A and less (infix  $\sqsubseteq$  50) +
  assumes irrefl:  $x \in A \implies \neg x \sqsubseteq x$ 
begin

lemma irreflD[simp]:  $x \sqsubseteq x \implies \neg x \in A$  by (auto simp: irrefl)

lemma implies-not-eq:  $x \sqsubseteq y \implies x \in A \implies x \neq y$  by auto

lemma Restr-p-irreflexive: irreflexive UNIV  $((\sqsubseteq) \upharpoonright A)$ 
  apply unfold-locales by auto

lemma irreflexive-subset:  $B \subseteq A \implies \text{irreflexive } B (\sqsubseteq)$  apply unfold-locales by auto

end

declare irreflexive.intro[intro!]

lemma irreflexive-cong:
   $(\bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b) \implies \text{irreflexive } A r \longleftrightarrow \text{irreflexive } A r'$ 
  by (simp add: irreflexive-def)
```

locale *transitive* = *related-set* +
assumes *trans*[*trans*]: $x \sqsubseteq y \implies y \sqsubseteq z \implies x \in A \implies y \in A \implies z \in A \implies x \sqsubseteq z$
begin

interpretation *less-eq-notations*.

lemma *Restrp-transitive*: *transitive UNIV* ($(\sqsubseteq) \upharpoonright A$)
apply *unfold-locales*
by (*auto intro: trans*)

lemma *bound-trans*[*trans*]: *bound X* (\sqsubseteq) $b \implies b \sqsubseteq c \implies X \subseteq A \implies b \in A \implies c \in A \implies \text{bound } X \text{ } c$
by (*auto 0 4 dest: trans*)

lemma *transitive-subset*:
assumes *BA*: $B \subseteq A$ **shows** *transitive B* (\sqsubseteq)
apply *unfold-locales*
using *trans BA* **by** *blast*

lemma *asymptp-transitive*: *transitive A* (\sqsubseteq)
apply *unfold-locales* **by** (*auto dest:trans*)

lemma *reflclp-transitive*: *transitive A* (\sqsubseteq)⁼⁼
apply *unfold-locales* **by** (*auto dest: trans*)

The symmetric part is also transitive, but this is done in the later semi-attractive locale

end

declare *transitive.intro*[*intro?*]

lemma *transitive-cong*:
assumes *r*: $\bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b$ **shows** *transitive A r*
 \longleftrightarrow *transitive A r'*
proof (*intro iffI*)
show *transitive A r* \implies *transitive A r'*
apply (*intro transitive.intro*)
apply (*unfold r[symmetric]*)
using *transitive.trans*.
show *transitive A r'* \implies *transitive A r*
apply (*intro transitive.intro*)
apply (*unfold r*)
using *transitive.trans*.
qed

lemma *tranclp-transitive*: *transitive A* (*tranclp r*)
using *tranclp-trans* **by** *unfold-locales*

locale *symmetric* = *related-set* A (\sim) **for** A **and** *equiv* (**infix** \sim 50) +
assumes *sym[sym]*: $x \sim y \implies x \in A \implies y \in A \implies y \sim x$
begin

lemma *sym-iff*: $x \in A \implies y \in A \implies x \sim y \longleftrightarrow y \sim x$
by (*auto dest: sym*)

lemma *Restrp-symmetric*: *symmetric* $UNIV$ ($(\sim) \upharpoonright A$)
apply *unfold-locales* **by** (*auto simp: sym-iff*)

lemma *symmetric-subset*: $B \subseteq A \implies \text{symmetric } B$ (\sim)
apply *unfold-locales* **by** (*auto dest: sym*)

end

declare *symmetric.intro*[*intro*]

lemma *symmetric-cong*:
 $(\bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b) \implies \text{symmetric } A r \longleftrightarrow \text{symmetric } A r'$
by (*auto simp: symmetric-def*)

global-interpretation *sympartp*: *symmetric* $UNIV$ *sympartp* r
rewrites $\bigwedge r. r \upharpoonright UNIV \equiv r$
and $\bigwedge x. x \in UNIV \equiv True$
and $\bigwedge P1. (True \implies P1) \equiv Trueprop P1$
and $\bigwedge P1 P2. (True \implies PROP P1 \implies PROP P2) \equiv (PROP P1 \implies PROP P2)$
by *auto*

lemma *sympartp-symmetric*: *symmetric* A (*sympartp* r) **by** *auto*

locale *antisymmetric* = *related-set* +
assumes *antisym*: $x \sqsubseteq y \implies y \sqsubseteq x \implies x \in A \implies y \in A \implies x = y$
begin

interpretation *less-eq-notations*.

lemma *sym-iff-eq-refl*: $x \in A \implies y \in A \implies x \sim y \longleftrightarrow x = y \wedge y \sqsubseteq y$ **by** (*auto dest: antisym*)

lemma *equiv-iff-eq[simp]*: $x \in A \implies y \in A \implies x \simeq y \longleftrightarrow x = y$ **by** (*auto dest: antisym elim: equivpartpE*)

lemma *extreme-unique*: $X \subseteq A \implies \text{extreme } X$ (\sqsubseteq) $x \implies \text{extreme } X$ (\sqsubseteq) $y \longleftrightarrow x = y$
by (*elim extremeE, auto dest!: antisym[OF - - subsetD]*)

lemma *ex-extreme-iff-ex1*:
 $X \subseteq A \implies Ex \text{ (extreme } X \text{ (}\sqsubseteq\text{))} \longleftrightarrow Ex1 \text{ (extreme } X \text{ (}\sqsubseteq\text{))}$ **by** (*auto simp: extreme-unique*)

lemma *ex-extreme-iff-the*:
 $X \subseteq A \implies Ex \text{ (extreme } X \text{ (}\sqsubseteq\text{))} \longleftrightarrow extreme \ X \text{ (}\sqsubseteq\text{) (The (extreme } X \text{ (}\sqsubseteq\text{)))}$
apply (*rule iffI*)
apply (*rule theI'*)
using *extreme-unique* **by** *auto*

lemma *Restrp-antisymmetric: antisymmetric UNIV ((}\sqsubseteq\text{)}\upharpoonright A)*
apply *unfold-locales*
by (*auto dest: antisym*)

lemma *antisymmetric-subset: B \subseteq A \implies antisymmetric B (}\sqsubseteq\text{)}*
apply *unfold-locales* **using** *antisym* **by** *auto*

end

declare *antisymmetric.intro*[*intro*]

lemma *antisymmetric-cong*:
 $(\bigwedge a \ b. a \in A \implies b \in A \implies r \ a \ b \longleftrightarrow r' \ a \ b) \implies antisymmetric \ A \ r \longleftrightarrow antisymmetric \ A \ r'$
by (*auto simp: antisymmetric-def*)

lemma *antisymmetric-union*:
fixes *less-eq* (**infix** \sqsubseteq 50)
assumes *A: antisymmetric A (}\sqsubseteq\text{)}* **and** *B: antisymmetric B (}\sqsubseteq\text{)}*
and *AB: \forall a \in A. \forall b \in B. a \sqsubseteq b \longrightarrow b \sqsubseteq a \longrightarrow a = b*
shows *antisymmetric (A \cup B) (}\sqsubseteq\text{)}*
proof-
interpret *A: antisymmetric A (}\sqsubseteq\text{)}* **using** *A*.
interpret *B: antisymmetric B (}\sqsubseteq\text{)}* **using** *B*.
show *?thesis* **by** (*auto dest: AB*[*rule-format*] *A.antisym B.antisym*)
qed

The following notion is new, generalizing antisymmetry and transitivity.

locale *semiattractive = related-set +*
assumes *attract: x \sqsubseteq y \implies y \sqsubseteq x \implies y \sqsubseteq z \implies x \in A \implies y \in A \implies z \in A \implies x \sqsubseteq z*
begin

interpretation *less-eq-notations*.

lemma *equiv-order-trans*[*trans*]:
assumes *xy: x \simeq y* **and** *yz: y \sqsubseteq z* **and** *x: x \in A* **and** *y: y \in A* **and** *z: z \in A*
shows $x \sqsubseteq z$
using *attract*[*OF - - x y z*] *xy yz* **by** (*auto elim: equivpartpE*)

lemma *equiv-transitive: transitive A* (\simeq)
proof *unfold-locales*
fix $x\ y\ z$
assume $x: x \in A$ **and** $y: y \in A$ **and** $z: z \in A$ **and** $xy: x \simeq y$ **and** $yz: y \simeq z$
show $x \simeq z$
using *equiv-order-trans*[$OF\ xy - x\ y\ z$] *attract*[$OF - - - z\ y\ x$] $xy\ yz$ **by** (*auto simp:equivpartp-def*)
qed

lemma *sym-order-trans*[*trans*]:
assumes $xy: x \sim y$ **and** $yz: y \sqsubseteq z$ **and** $x: x \in A$ **and** $y: y \in A$ **and** $z: z \in A$
shows $x \sqsubseteq z$
using *attract*[$OF - - - x\ y\ z$] $xy\ yz$ **by** *auto*

interpretation *sym: transitive A* (\sim)
proof *unfold-locales*
fix $x\ y\ z$
assume $x: x \in A$ **and** $y: y \in A$ **and** $z: z \in A$ **and** $xy: x \sim y$ **and** $yz: y \sim z$
show $x \sim z$
using *sym-order-trans*[$OF\ xy - x\ y\ z$] *attract*[$OF - - - z\ y\ x$] $xy\ yz$ **by** *auto*
qed

lemmas *sym-transitive = sym.transitive-axioms*

lemma *extreme-bound-quasi-const*:
assumes $C: C \subseteq A$ **and** $x: x \in A$ **and** $C0: C \neq \{\}$ **and** $const: \forall y \in C. y \sim x$
shows *extreme-bound A* (\sqsubseteq) $C\ x$
proof (*intro extreme-boundI x*)
from $C0$ **obtain** c **where** $cC: c \in C$ **by** *auto*
with C **have** $c: c \in A$ **by** *auto*
from $cC\ const$ **have** $cx: c \sim x$ **by** *auto*
fix b **assume** $b: b \in A$ **and** *bound C* (\sqsubseteq) b
with cC **have** $cb: c \sqsubseteq b$ **by** *auto*
from *attract*[$OF - - cb\ x\ c\ b$] cx **show** $x \sqsubseteq b$ **by** *auto*
next
fix c **assume** $c \in C$
with $const$ **show** $c \sqsubseteq x$ **by** *auto*
qed

lemma *extreme-bound-quasi-const-iff*:
assumes $C: C \subseteq A$ **and** $x: x \in A$ **and** $y: y \in A$ **and** $C0: C \neq \{\}$ **and** $const: \forall z \in C. z \sim x$
shows *extreme-bound A* (\sqsubseteq) $C\ y \longleftrightarrow x \sim y$
proof (*intro iffI*)
assume $y: \text{extreme-bound } A \text{ } (\sqsubseteq) \ C\ y$
note $x = \text{extreme-bound-quasi-const}[OF\ C\ x\ C0\ const]$
from *extremes-equiv*[$OF\ y\ x$]
show $x \sim y$ **by** *auto*

next
assume $xy: x \sim y$
with $const\ C\ sym.trans[OF\ -\ xy\ -\ x\ y]$ **have** $Cy: \forall z \in C. z \sim y$ **by** *auto*
show $extreme-bound\ A\ (\sqsubseteq)\ C\ y$
using $extreme-bound-quasi-const[OF\ C\ y\ C0\ Cy]$.
qed

lemma *Restrp-semi-attractive: semi-attractive UNIV* $((\sqsubseteq)\upharpoonright A)$
apply *unfold-locales*
by $(auto\ dest: attract)$

lemma *semi-attractive-subset: $B \subseteq A \implies$ semi-attractive B* (\sqsubseteq)
apply *unfold-locales* **using** *attract* **by** *blast*

end

lemma *semi-attractive-cong:*
assumes $r: \bigwedge a\ b. a \in A \implies b \in A \implies r\ a\ b \longleftrightarrow r'\ a\ b$
shows $semi-attractive\ A\ r \longleftrightarrow semi-attractive\ A\ r'$ **(is** $?l \longleftrightarrow ?r)$
proof
show $?l \implies ?r$
apply $(intro\ semi-attractive.intro)$
apply $(unfold\ r[symmetric])$
using $semi-attractive.attract.$
show $?r \implies ?l$
apply $(intro\ semi-attractive.intro)$
apply $(unfold\ r)$
using $semi-attractive.attract.$
qed

locale *attractive = semi-attractive +*
assumes $semi-attractive\ A\ (\sqsubseteq)^-$
begin

interpretation *less-eq-notations.*

sublocale *dual: semi-attractive $A\ (\sqsubseteq)^-$*
rewrites $\bigwedge r. sympartp\ (r\ \upharpoonright\ A) \equiv sympartp\ r\ \upharpoonright\ A$
and $\bigwedge r. sympartp\ (sympartp\ r) \equiv sympartp\ r$
and $sympartp\ ((\sqsubseteq)\ \upharpoonright\ A)^- \equiv (\sim)\ \upharpoonright\ A$
and $sympartp\ (\sqsubseteq)^- \equiv (\sim)$
and $equivpartp\ (\sqsubseteq)^- \equiv (\simeq)$
using $attractive-axioms[unfolded\ attractive-def]$
by $(auto\ intro!: ext\ simp: attractive-axioms-def\ atomize-eq\ equivpartp-def)$

lemma *order-equiv-trans* $[trans]:$
assumes $xy: x \sqsubseteq y$ **and** $yz: y \simeq z$ **and** $x: x \in A$ **and** $y: y \in A$ **and** $z: z \in A$
shows $x \sqsubseteq z$
using $dual.attract[OF\ -\ -\ -\ z\ y\ x]\ xy\ yz$ **by** *auto*


```

lemma order-sym-trans[trans]:
  assumes xy:  $x \sqsubseteq y$  and yz:  $y \sim z$  and x:  $x \in A$  and y:  $y \in A$  and z:  $z \in A$ 
  shows  $x \sqsubseteq z$ 
  using dual.attract[OF - - - z y x] xy yz by auto

interpretation Restrp: semiattractive UNIV  $(\sqsubseteq) \upharpoonright A$  using Restrp-semi-attractive.
interpretation dual.Restrp: semiattractive UNIV  $(\sqsubseteq)^- \upharpoonright A$  using dual.Restrp-semi-attractive.

lemma Restrp-attractive: attractive UNIV  $((\sqsubseteq) \upharpoonright A)$ 
  apply unfold-locales
  using dual.Restrp.attract by auto

lemma attractive-subset:  $B \subseteq A \implies$  attractive B  $(\sqsubseteq)$ 
  apply (intro attractive.intro attractive-axioms.intro)
  using semi-attractive-subset dual.semi-attractive-subset by auto

end

lemma attractive-cong:
  assumes r:  $\bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b$ 
  shows attractive A r  $\longleftrightarrow$  attractive A r'
  by (simp add: attractive-def attractive-axioms-def r cong: semi-attractive-cong)

context antisymmetric begin

  sublocale attractive
    apply unfold-locales by (auto dest: antisym)

  end

context transitive begin

  sublocale attractive
    rewrites  $\bigwedge r. \text{sympartp } (r \upharpoonright A) \equiv \text{sympartp } r \upharpoonright A$ 
    and  $\bigwedge r. \text{sympartp } (\text{sympartp } r) \equiv \text{sympartp } r$ 
    and  $\text{sympartp } (\sqsubseteq)^- \equiv \text{sympartp } (\sqsubseteq)$ 
    and  $(\text{sympartp } (\sqsubseteq))^-' \equiv \text{sympartp } (\sqsubseteq)$ 
    and  $(\text{sympartp } (\sqsubseteq) \upharpoonright A)^- \equiv \text{sympartp } (\sqsubseteq) \upharpoonright A$ 
    and  $\text{asymptp } (\text{asymptp } (\sqsubseteq)) = \text{asymptp } (\sqsubseteq)$ 
    and  $\text{asymptp } (\text{sympartp } (\sqsubseteq)) = \text{bot}$ 
    and  $\text{asymptp } (\sqsubseteq) \upharpoonright A = \text{asymptp } ((\sqsubseteq) \upharpoonright A)$ 
    apply unfold-locales
    by (auto intro!: ext dest: trans simp: atomize-eq)

  end

```

2.3 Combined Properties

Some combinations of the above basic properties are given names.

locale *asymmetric* = *related-set* A (\sqsubset) **for** A **and** *less* (**infix** \sqsubset 50) +
assumes *asym*: $x \sqsubset y \implies y \sqsubset x \implies x \in A \implies y \in A \implies \text{False}$
begin

sublocale *irreflexive*
apply *unfold-locales* **by** (*auto dest: asym*)

lemma *antisymmetric-axioms*: *antisymmetric* A (\sqsubset)
apply *unfold-locales* **by** (*auto dest: asym*)

lemma *Restrp-asymmetric*: *asymmetric* $UNIV$ ($(\sqsubset) \upharpoonright A$)
apply *unfold-locales*
by (*auto dest: asym*)

lemma *asymmetric-subset*: $B \subseteq A \implies \text{asymmetric } B$ (\sqsubset)
apply *unfold-locales* **using** *asym* **by** *auto*

end

lemma *asymmetric-iff-irreflexive-antisymmetric*:
fixes *less* (**infix** \sqsubset 50)
shows $\text{asymmetric } A$ (\sqsubset) \longleftrightarrow $\text{irreflexive } A$ (\sqsubset) \wedge $\text{antisymmetric } A$ (\sqsubset) (**is** $?l$
 $\longleftrightarrow ?r$)

proof
assume $?l$
then interpret *asymmetric*.
show $?r$ **by** (*auto dest: asym*)
next
assume $?r$
then interpret $\text{irreflexive} + \text{antisymmetric } A$ (\sqsubset) **by** *auto*
show $?l$ **by** (*auto intro!: asymmetric.intro dest: antisym irrefl*)
qed

lemma *asymmetric-cong*:
assumes r : $\bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b$
shows $\text{asymmetric } A r \longleftrightarrow \text{asymmetric } A r'$
by (*simp add: asymmetric-iff-irreflexive-antisymmetric r cong: irreflexive-cong antisymmetric-cong*)

locale *quasi-ordered-set* = *reflexive* + *transitive*
begin

lemma *quasi-ordered-subset*: $B \subseteq A \implies \text{quasi-ordered-set } B$ (\sqsubset)
apply *intro-locales*
using *reflexive-subset transitive-subset* **by** *auto*

end

lemma *quasi-ordered-set-cong*:

assumes $r: \bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b$

shows *quasi-ordered-set* $A r \longleftrightarrow$ *quasi-ordered-set* $A r'$

by (*simp add: quasi-ordered-set-def r cong: reflexive-cong transitive-cong*)

locale *near-ordered-set* = *antisymmetric* + *transitive*

begin

interpretation *Restrp: antisymmetric UNIV* (\sqsubseteq) $\upharpoonright A$ **using** *Restrp-antisymmetric*.

interpretation *Restrp: transitive UNIV* (\sqsubseteq) $\upharpoonright A$ **using** *Restrp-transitive*.

lemma *Restrp-near-order: near-ordered-set UNIV* ($(\sqsubseteq)\upharpoonright A$)..

lemma *near-ordered-subset: $B \subseteq A \implies$ near-ordered-set B* (\sqsubseteq)

apply *intro-locales*

using *antisymmetric-subset transitive-subset* **by** *auto*

end

lemma *near-ordered-set-cong*:

assumes $r: \bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b$

shows *near-ordered-set* $A r \longleftrightarrow$ *near-ordered-set* $A r'$

by (*simp add: near-ordered-set-def r cong: antisymmetric-cong transitive-cong*)

locale *pseudo-ordered-set* = *reflexive* + *antisymmetric*

begin

interpretation *less-eq-notations*.

lemma *sym-eq[simp]: $x \in A \implies y \in A \implies x \sim y \longleftrightarrow x = y$*

by (*auto simp: refl dest: antisym*)

lemma *extreme-bound-singleton-eq[simp]: $x \in A \implies$ extreme-bound A (\sqsubseteq) $\{x\}$ $y \longleftrightarrow x = y$*

by (*auto intro!: antisym*)

lemma *eq-iff: $x \in A \implies y \in A \implies x = y \longleftrightarrow x \sqsubseteq y \wedge y \sqsubseteq x$* **by** (*auto dest: antisym simp: refl*)

lemma *extreme-order-iff-eq: $e \in A \implies$ extreme $\{x \in A. x \sqsubseteq e\}$ (\sqsubseteq) $s \longleftrightarrow e = s$* **by** (*auto intro!: antisym*)

lemma *pseudo-ordered-subset: $B \subseteq A \implies$ pseudo-ordered-set B* (\sqsubseteq)

apply *intro-locales*

using *reflexive-subset antisymmetric-subset* **by** *auto*

end

```

lemma pseudo-ordered-set-cong:
  assumes  $r: \bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b$ 
  shows pseudo-ordered-set  $A r \longleftrightarrow$  pseudo-ordered-set  $A r'$ 
  by (simp add: pseudo-ordered-set-def r cong: reflexive-cong antisymmetric-cong)

locale partially-ordered-set = reflexive + antisymmetric + transitive
begin

sublocale pseudo-ordered-set + quasi-ordered-set + near-ordered-set ..

lemma partially-ordered-subset:  $B \subseteq A \implies$  partially-ordered-set  $B (\sqsubseteq)$ 
  apply intro-locales
  using reflexive-subset transitive-subset antisymmetric-subset by auto

end

lemma partially-ordered-set-cong:
  assumes  $r: \bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b$ 
  shows partially-ordered-set  $A r \longleftrightarrow$  partially-ordered-set  $A r'$ 
  by (simp add: partially-ordered-set-def r cong: reflexive-cong antisymmetric-cong transitive-cong)

locale strict-ordered-set = irreflexive + transitive  $A (\sqsubset)$ 
begin

sublocale asymmetric
proof
  fix  $x y$ 
  assume  $x: x \in A$  and  $y: y \in A$ 
  assume  $xy: x \sqsubset y$ 
  also assume  $yx: y \sqsubset x$ 
  finally have  $x \sqsubset x$  using  $x y$  by auto
  with  $x$  show False by auto
qed

lemma near-ordered-set-axioms: near-ordered-set  $A (\sqsubset)$ 
  using antisymmetric-axioms by intro-locales

interpretation Restrp: asymmetric UNIV  $(\sqsubset) \upharpoonright A$  using Restrp-asymmetric.
interpretation Restrp: transitive UNIV  $(\sqsubset) \upharpoonright A$  using Restrp-transitive.

lemma Restrp-strict-order: strict-ordered-set UNIV  $((\sqsubset) \upharpoonright A)$ ..

lemma strict-ordered-subset:  $B \subseteq A \implies$  strict-ordered-set  $B (\sqsubset)$ 
  apply intro-locales
  using irreflexive-subset transitive-subset by auto

end

```

```

lemma strict-ordered-set-cong:
  assumes  $r: \bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b$ 
  shows strict-ordered-set  $A r \longleftrightarrow$  strict-ordered-set  $A r'$ 
  by (simp add: strict-ordered-set-def r cong: irreflexive-cong transitive-cong)

locale tolerance = symmetric + reflexive  $A (\sim)$ 
begin

lemma tolerance-subset:  $B \subseteq A \implies$  tolerance  $B (\sim)$ 
  apply intro-locales
  using symmetric-subset reflexive-subset by auto

end

lemma tolerance-cong:
  assumes  $r: \bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b$ 
  shows tolerance  $A r \longleftrightarrow$  tolerance  $A r'$ 
  by (simp add: tolerance-def r cong: reflexive-cong symmetric-cong)

global-interpretation equiv: tolerance UNIV equivpartp r
  rewrites  $\bigwedge r. r \upharpoonright UNIV \equiv r$ 
  and  $\bigwedge x. x \in UNIV \equiv True$ 
  and  $\bigwedge P1. (True \implies P1) \equiv Trueprop P1$ 
  and  $\bigwedge P1 P2. (True \implies PROP P1 \implies PROP P2) \equiv (PROP P1 \implies PROP P2)$ 
  by (unfold-locales (auto simp: equivpartp-def))

locale partial-equivalence = symmetric +
  assumes transitive  $A (\sim)$ 
begin

sublocale transitive  $A (\sim)$ 
  rewrites sympartp  $(\sim) \upharpoonright A \equiv (\sim) \upharpoonright A$ 
  and sympartp  $((\sim) \upharpoonright A) \equiv (\sim) \upharpoonright A$ 
  using partial-equivalence-axioms
  unfolding partial-equivalence-axioms-def partial-equivalence-def
  by (auto simp: atomize-eq sym intro!: ext)

lemma partial-equivalence-subset:  $B \subseteq A \implies$  partial-equivalence  $B (\sim)$ 
  apply (intro partial-equivalence.intro partial-equivalence-axioms.intro)
  using symmetric-subset transitive-subset by auto

end

lemma partial-equivalence-cong:
  assumes  $r: \bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b$ 
  shows partial-equivalence  $A r \longleftrightarrow$  partial-equivalence  $A r'$ 
  by (simp add: partial-equivalence-def partial-equivalence-axioms-def r)

```

cong: transitive-cong symmetric-cong)

locale *equivalence* = *symmetric* + *reflexive* A (\sim) + *transitive* A (\sim)
begin

sublocale *tolerance* + *partial-equivalence* + *quasi-ordered-set* A (\sim)..

lemma *equivalence-subset*: $B \subseteq A \implies \text{equivalence } B$ (\sim)
apply (*intro equivalence.intro*)
using *symmetric-subset transitive-subset* **by** *auto*

end

lemma *equivalence-cong*:

assumes r : $\bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b$
shows *equivalence* A $r \longleftrightarrow \text{equivalence } A$ r'
by (*simp add: equivalence-def r cong: reflexive-cong transitive-cong symmetric-cong*)

Some combinations lead to uninteresting relations.

context

fixes $r :: 'a \Rightarrow 'a \Rightarrow \text{bool}$ (**infix** \bowtie 50)
begin

proposition *reflexive-irreflexive-is-empty*:

assumes r : *reflexive* A (\bowtie) **and** ir : *irreflexive* A (\bowtie)
shows $A = \{\}$

proof (*rule ccontr*)

interpret *irreflexive* A (\bowtie) **using** ir .
interpret *reflexive* A (\bowtie) **using** r .
assume $A \neq \{\}$
then obtain a **where** $a: a \in A$ **by** *auto*
from a *refl* **have** $a \bowtie a$ **by** *auto*
with ir *refl* a **show** False **by** *auto*

qed

proposition *symmetric-antisymmetric-imp-eg*:

assumes s : *symmetric* A (\bowtie) **and** as : *antisymmetric* A (\bowtie)
shows $(\bowtie) \upharpoonright A \leq (=)$

proof–

interpret *symmetric* A (\bowtie) + *antisymmetric* A (\bowtie) **using** $assms$ **by** *auto*
show *?thesis* **using** *antisym* **by** (*auto dest: sym*)

qed

proposition *nontolerance*:

shows *irreflexive* A (\bowtie) \wedge *symmetric* A (\bowtie) \longleftrightarrow *tolerance* A ($\lambda x y. \neg x \bowtie y$)
proof (*intro iffI conjI, elim conjE*)

assume *irreflexive* A (\bowtie) **and** *symmetric* A (\bowtie)
then interpret *irreflexive* A (\bowtie) + *symmetric* A (\bowtie).

```

  show tolerance A ( $\lambda x y. \neg x \bowtie y$ ) by (unfold-locales, auto dest: sym irrefl)
next
  assume tolerance A ( $\lambda x y. \neg x \bowtie y$ )
  then interpret tolerance A  $\lambda x y. \neg x \bowtie y$ .
  show irreflexive A ( $\bowtie$ ) by (auto simp: eq-implies)
  show symmetric A ( $\bowtie$ ) using sym by auto
qed

```

proposition *irreflexive-transitive-symmetric-is-empty:*

```

  assumes irr: irreflexive A ( $\bowtie$ ) and tr: transitive A ( $\bowtie$ ) and sym: symmetric A
  ( $\bowtie$ )
  shows ( $\bowtie$ ) $\upharpoonright$ A = bot
proof (intro ext, unfold bot-fun-def bot-bool-def eq-False, rule notI, erule RestrpfE)
  interpret strict-ordered-set A ( $\bowtie$ ) using assms by (unfold strict-ordered-set-def,
  auto)
  interpret symmetric A ( $\bowtie$ ) using assms by auto
  fix x y assume x:  $x \in A$  and y:  $y \in A$ 
  assume xy:  $x \bowtie y$ 
  also note sym[OF xy x y]
  finally have  $x \bowtie x$  using x y by auto
  with x show False by auto
qed

```

end

2.4 Totality

```

locale semiconnex = related-set A ( $\sqsubset$ ) for A and less (infix  $\sqsubset$  50) +
  assumes semiconnex:  $x \in A \implies y \in A \implies x \sqsubset y \vee x = y \vee y \sqsubset x$ 
begin

```

lemma *cases[consumes 2, case-names less eq greater]:*

```

  assumes  $x \in A$  and  $y \in A$  and  $x \sqsubset y \implies P$  and  $x = y \implies P$  and  $y \sqsubset x \implies$ 
  P
  shows P using semiconnex assms by auto

```

lemma *neqE:*

```

  assumes  $x \in A$  and  $y \in A$ 
  shows  $x \neq y \implies (x \sqsubset y \implies P) \implies (y \sqsubset x \implies P) \implies P$ 
  by (cases rule: cases[OF assms], auto)

```

lemma *semiconnex-subset: $B \subseteq A \implies$ semiconnex B (\sqsubset)*

```

  apply (intro semiconnex.intro)
  using semiconnex by auto

```

end

```

declare semiconnex.intro[intro]

```

Totality is negated antisymmetry [14, Proposition 2.2.4].

proposition *semiconnex-iff-neg-antisymmetric*:
fixes *less* (**infix** \sqsubset 50)
shows *semiconnex* A (\sqsubset) \longleftrightarrow *antisymmetric* A ($\lambda x y. \neg x \sqsubset y$) (**is** $?l \longleftrightarrow ?r$)
proof (*intro iffI semiconnex.intro antisymmetric.intro*)
assume $?l$
then interpret *semiconnex*.
fix $x y$
assume $x \in A$ $y \in A$ $\neg x \sqsubset y$ **and** $\neg y \sqsubset x$
then show $x = y$ **by** (*cases rule: cases, auto*)
next
assume $?r$
then interpret *neg: antisymmetric* A ($\lambda x y. \neg x \sqsubset y$).
fix $x y$
show $x \in A \implies y \in A \implies x \sqsubset y \vee x = y \vee y \sqsubset x$ **using** *neg.antisym* **by** *auto*
qed

lemma *semiconnex-cong*:
assumes $r: \bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b$
shows *semiconnex* A $r \longleftrightarrow$ *semiconnex* A r'
by (*simp add: semiconnex-iff-neg-antisymmetric r cong: antisymmetric-cong*)

locale *semiconnex-irreflexive* = *semiconnex* + *irreflexive*
begin

lemma *neg-iff*: $x \in A \implies y \in A \implies x \neq y \longleftrightarrow x \sqsubset y \vee y \sqsubset x$ **by** (*auto elim: negE dest: irrefl*)

lemma *semiconnex-irreflexive-subset*: $B \subseteq A \implies$ *semiconnex-irreflexive* B (\sqsubset)
apply (*intro semiconnex-irreflexive.intro*)
using *semiconnex-subset irreflexive-subset* **by** *auto*

end

lemma *semiconnex-irreflexive-cong*:
assumes $r: \bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b$
shows *semiconnex-irreflexive* A $r \longleftrightarrow$ *semiconnex-irreflexive* A r'
by (*simp add: semiconnex-irreflexive-def r cong: semiconnex-cong irreflexive-cong*)

locale *connex* = *related-set* +
assumes *comparable*: $x \in A \implies y \in A \implies x \sqsubseteq y \vee y \sqsubseteq x$
begin

interpretation *less-eq-notations*.

sublocale *reflexive* **apply** *unfold-locales* **using** *comparable* **by** *auto*

lemma *comparable-cases*[*consumes 2, case-names le ge*]:
assumes $x \in A$ **and** $y \in A$ **and** $x \sqsubseteq y \implies P$ **and** $y \sqsubseteq x \implies P$ **shows** P
using *assms comparable* **by** *auto*

lemma *comparable-three-cases*[*consumes 2, case-names less eq greater*]:
 assumes $x \in A$ and $y \in A$ and $x \sqsubset y \implies P$ and $x \sim y \implies P$ and $y \sqsubset x \implies P$
 shows P
 using *assms comparable* by *auto*

lemma
 assumes $x: x \in A$ and $y: y \in A$
 shows *not-iff-asym*: $\neg x \sqsubseteq y \longleftrightarrow y \sqsubset x$
 and *not-asym-iff*[*simp*]: $\neg x \sqsubset y \longleftrightarrow y \sqsubseteq x$
 using *comparable*[*OF x y*] by *auto*

lemma *connex-subset*: $B \subseteq A \implies \text{connex } B \ (\sqsubseteq)$
 by (*intro connex.intro comparable, auto*)

end

lemmas *connexE* = *connex.comparable-cases*

lemmas *connexI*[*intro*] = *connex.intro*

context

fixes *less-eq* :: $'a \Rightarrow 'a \Rightarrow \text{bool}$ (**infix** \sqsubseteq 50)
begin

lemma *connex-iff-semiconnex-reflexive*: $\text{connex } A \ (\sqsubseteq) \longleftrightarrow \text{semiconnex } A \ (\sqsubseteq) \wedge \text{reflexive } A \ (\sqsubseteq)$

(**is** $?c \longleftrightarrow ?t \wedge ?r$)

proof (*intro iffI conjI; (elim conjE)?*)

assume $?c$ **then interpret** *connex*.

show $?t$ **apply** *unfold-locales* **using** *comparable* **by** *auto*

show $?r$ **by** *unfold-locales*

next

assume $?t$ **then interpret** *semiconnex* $A \ (\sqsubseteq)$.

assume $?r$ **then interpret** *reflexive*.

from *semiconnex* **show** $?c$ **by** *auto*

qed

lemma *chain-connect*: *Complete-Partial-Order.chain* $r \ A \equiv \text{connex } A \ r$
 by (*auto intro!: ext simp: atomize-eq connex-def Complete-Partial-Order.chain-def*)

lemma *connex-union*:

assumes $\text{connex } X \ (\sqsubseteq)$ and $\text{connex } Y \ (\sqsubseteq)$ and $\forall x \in X. \forall y \in Y. x \sqsubseteq y \vee y \sqsubseteq x$

shows $\text{connex } (X \cup Y) \ (\sqsubseteq)$

using *assms* **by** (*auto simp: connex-def*)

end

lemma *connex-cong*:

assumes $r: \bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b$

shows $\text{connex } A r \longleftrightarrow \text{connex } A r'$

by (*simp add: connex-iff-semiconnex-reflexive r cong: semiconnex-cong reflexive-cong*)

locale *total-pseudo-ordered-set* = *connex* + *antisymmetric*

begin

sublocale *pseudo-ordered-set* ..

lemma *not-weak-iff*:

assumes $x: x \in A$ **and** $y: y \in A$ **shows** $\neg y \sqsubseteq x \longleftrightarrow x \sqsubseteq y \wedge x \neq y$

using $x y$ **by** (*cases rule: comparable-cases, auto intro:antisym*)

lemma *total-pseudo-ordered-subset*: $B \subseteq A \implies \text{total-pseudo-ordered-set } B (\sqsubseteq)$

apply (*intro-locales*)

using *antisymmetric-subset connex-subset* **by** *auto*

end

lemma *total-pseudo-ordered-set-cong*:

assumes $r: \bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b$

shows $\text{total-pseudo-ordered-set } A r \longleftrightarrow \text{total-pseudo-ordered-set } A r'$

by (*simp add: total-pseudo-ordered-set-def r cong: connex-cong antisymmetric-cong*)

locale *total-quasi-ordered-set* = *connex* + *transitive*

begin

sublocale *quasi-ordered-set* ..

lemma *total-quasi-ordered-subset*: $B \subseteq A \implies \text{total-quasi-ordered-set } B (\sqsubseteq)$

using *transitive-subset connex-subset* **by** *intro-locales*

end

lemma *total-quasi-ordered-set-cong*:

assumes $r: \bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b$

shows $\text{total-quasi-ordered-set } A r \longleftrightarrow \text{total-quasi-ordered-set } A r'$

by (*simp add: total-quasi-ordered-set-def r cong: connex-cong transitive-cong*)

locale *total-ordered-set* = *total-quasi-ordered-set* + *antisymmetric*

begin

sublocale *partially-ordered-set* + *total-pseudo-ordered-set* ..

lemma *total-ordered-subset*: $B \subseteq A \implies \text{total-ordered-set } B (\sqsubseteq)$

using *total-quasi-ordered-subset antisymmetric-subset* **by** (*intro total-ordered-set.intro*)

end

lemma *total-ordered-set-cong*:

assumes $r: \bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b$

shows *total-ordered-set* $A r \longleftrightarrow$ *total-ordered-set* $A r'$

by (*simp add: total-ordered-set-def r cong: total-quasi-ordered-set-cong antisymmetric-cong*)

2.5 Well-Foundedness

locale *well-founded* = *related-set* $A (\sqsubset)$ **for** A **and** *less* (**infix** \sqsubset 50) +

assumes *induct*[*consumes 1, case-names less, induct set*]:

$a \in A \implies (\bigwedge x. x \in A \implies (\bigwedge y. y \in A \implies y \sqsubset x \implies P y) \implies P x) \implies P a$

begin

sublocale *asymmetric*

proof (*intro asymmetric.intro notI*)

fix $x y$

assume $xA: x \in A$

then show $y \in A \implies x \sqsubset y \implies y \sqsubset x \implies \text{False}$

by (*induct arbitrary: y rule: induct, auto*)

qed

lemma *prefixed-Imagep-imp-empty*:

assumes $a: X \subseteq ((\sqsubset) \text{``} X) \cap A$ **shows** $X = \{\}$

proof –

from a **have** $XA: X \subseteq A$ **by** *auto*

have $x \in A \implies x \notin X$ **for** x

proof (*induct x rule: induct*)

case (*less x*)

with a **show** *?case* **by** (*auto simp: Imagep-def*)

qed

with XA **show** *?thesis* **by** *auto*

qed

lemma *nonempty-imp-ex-extremal*:

assumes $QA: Q \subseteq A$ **and** $Q: Q \neq \{\}$

shows $\exists z \in Q. \forall y \in Q. \neg y \sqsubset z$

using Q *prefixed-Imagep-imp-empty*[*of Q*] QA **by** (*auto simp: Imagep-def*)

interpretation *Restrp: well-founded UNIV* $(\sqsubset) \upharpoonright A$

rewrites $\bigwedge x. x \in \text{UNIV} \equiv \text{True}$

and $(\sqsubset) \upharpoonright A \upharpoonright \text{UNIV} = (\sqsubset) \upharpoonright A$

and $\bigwedge P1. (\text{True} \implies \text{PROP } P1) \equiv \text{PROP } P1$

and $\bigwedge P1. (\text{True} \implies P1) \equiv \text{Trueprop } P1$

and $\bigwedge P1 P2. (\text{True} \implies \text{PROP } P1 \implies \text{PROP } P2) \equiv (\text{PROP } P1 \implies \text{PROP } P2)$

proof –

```

have ( $\bigwedge x. (\bigwedge y. ((\sqsubset) \upharpoonright A) y x \implies P y) \implies P x) \implies P a$  for  $a \in P$ 
using induct[of a P] by (auto simp: Restrp-def)
then show well-founded UNIV ((\sqsubset)\upharpoonright A) apply unfold-locales by auto
qed auto

```

```

lemmas Restrp-well-founded = Restrp.well-founded-axioms

```

```

lemmas Restrp-induct[consumes 0, case-names less] = Restrp.induct

```

```

interpretation Restrp.tranclp: well-founded UNIV ((\sqsubset)\upharpoonright A)++

```

```

rewrites  $\bigwedge x. x \in UNIV \equiv True$ 

```

```

and  $((\sqsubset)\upharpoonright A)++ \upharpoonright UNIV = ((\sqsubset)\upharpoonright A)++$ 

```

```

and  $((((\sqsubset)\upharpoonright A)++)++ = ((\sqsubset)\upharpoonright A)++$ 

```

```

and  $\bigwedge P1. (True \implies PROP P1) \equiv PROP P1$ 

```

```

and  $\bigwedge P1. (True \implies P1) \equiv Trueprop P1$ 

```

```

and  $\bigwedge P1 P2. (True \implies PROP P1 \implies PROP P2) \equiv (PROP P1 \implies PROP$ 

```

```

 $P2)$ 

```

```

proof-

```

```

{ fix  $P x$ 

```

```

assume induct-step:  $\bigwedge x. (\bigwedge y. ((\sqsubset)\upharpoonright A)++ y x \implies P y) \implies P x$ 

```

```

have  $P x$ 

```

```

proof (rule induct-step)

```

```

show  $\bigwedge y. ((\sqsubset)\upharpoonright A)++ y x \implies P y$ 

```

```

proof (induct x rule: Restrp-induct)

```

```

case (less x)

```

```

from  $((\sqsubset)\upharpoonright A)++ y x$ 

```

```

show ?case

```

```

proof (cases rule: tranclp.cases)

```

```

case r-into-trancl

```

```

with induct-step less show ?thesis by auto

```

```

next

```

```

case (trancl-into-trancl b)

```

```

with less show ?thesis by auto

```

```

qed

```

```

qed

```

```

qed

```

```

}

```

```

then show well-founded UNIV ((\sqsubset)\upharpoonright A)++ by unfold-locales auto

```

```

qed auto

```

```

lemmas Restrp-tranclp-well-founded = Restrp.tranclp.well-founded-axioms

```

```

lemmas Restrp-tranclp-induct[consumes 0, case-names less] = Restrp.tranclp.induct

```

```

end

```

```

context

```

```

fixes  $A :: 'a$  set and  $less :: 'a \Rightarrow 'a \Rightarrow bool$  (infix  $\sqsubset$  50)

```

```

begin

```

```

lemma well-foundedI-pf:

```

```

assumes pre:  $\bigwedge X. X \subseteq A \implies X \subseteq ((\sqsubset) \text{ `` } X) \cap A \implies X = \{\}$ 
shows well-founded  $A \ (\sqsubset)$ 
proof
  fix  $P$  assume  $aA$ :  $a \in A$  and  $Ind$ :  $\bigwedge x. x \in A \implies (\bigwedge y. y \in A \implies y \sqsubset x \implies P y) \implies P x$ 
  from  $Ind$  have  $\{a \in A. \neg P a\} \subseteq ((\sqsubset) \text{ `` } \{a \in A. \neg P a\}) \cap A$  by (auto simp: Imagep-def)
  from  $pre[OF - this]$   $aA$ 
  show  $P a$  by auto
qed

lemma well-foundedI-extremal:
  assumes  $a$ :  $\bigwedge X. X \subseteq A \implies X \neq \{\} \implies \exists x \in X. \forall y \in X. \neg y \sqsubset x$ 
  shows well-founded  $A \ (\sqsubset)$ 
proof (rule well-foundedI-pf)
  fix  $X$  assume  $XA$ :  $X \subseteq A$  and  $pf$ :  $X \subseteq ((\sqsubset) \text{ `` } X) \cap A$ 
  from  $a[OF XA]$   $pf$  show  $X = \{\}$  by (auto simp: Imagep-def)
qed

lemma well-founded-iff-ex-extremal:
  well-founded  $A \ (\sqsubset) \longleftrightarrow (\forall X \subseteq A. X \neq \{\} \longrightarrow (\exists x \in X. \forall z \in X. \neg z \sqsubset x))$ 
  using well-founded.nonempty-imp-ex-extremal well-foundedI-extremal by blast

end

lemma well-founded-cong:
  assumes  $r$ :  $\bigwedge a b. a \in A \implies b \in A \implies r a b \longleftrightarrow r' a b$ 
  and  $A$ :  $\bigwedge a b. r' a b \implies a \in A \longleftrightarrow a \in A'$ 
  and  $B$ :  $\bigwedge a b. r' a b \implies b \in A \longleftrightarrow b \in A'$ 
  shows well-founded  $A \ r \longleftrightarrow$  well-founded  $A' \ r'$ 
proof (intro iffI)
  assume  $wf$ : well-founded  $A \ r$ 
  show well-founded  $A' \ r'$ 
  proof (intro well-foundedI-extremal)
    fix  $X$ 
    assume  $X$ :  $X \subseteq A'$  and  $X0$ :  $X \neq \{\}$ 
    show  $\exists x \in X. \forall y \in X. \neg r' y x$ 
    proof (cases  $X \cap A = \{\}$ )
      case True
      from  $X0$  obtain  $x$  where  $xX$ :  $x \in X$  by auto
      with True have  $x \notin A$  by auto
      with  $xX \ X$  have  $\forall y \in X. \neg r' y x$  by (auto simp: B)
      with  $xX$  show ?thesis by auto
    next
    case False
    from well-founded.nonempty-imp-ex-extremal[OF wf - this]
    obtain  $x$  where  $x$ :  $x \in X \cap A$  and  $Ar$ :  $\bigwedge y. y \in X \implies y \in A \implies \neg r y x$ 
  by auto
  have  $\forall y \in X. \neg r' y x$ 

```

```

proof (intro ballI notI)
  fix y assume yX: y ∈ X and yx: r' y x
  from yX X have yA': y ∈ A' by auto
  show False
  proof (cases y ∈ A)
    case True with x Ar[OF yX] yx r show ?thesis by auto
  next
    case False with yA' x A[OF yx] r X show ?thesis by (auto simp:)
  qed
qed
with x show ∃ x ∈ X. ∀ y ∈ X. ¬ r' y x by auto
qed
qed
next
assume wf: well-founded A' r'
show well-founded A r
proof (intro well-foundedI-extremal)
  fix X
  assume X: X ⊆ A and X0: X ≠ {}
  show ∃ x ∈ X. ∀ y ∈ X. ¬ r y x
  proof (cases X ∩ A' = {})
    case True
      from X0 obtain x where xX: x ∈ X by auto
      with True have x ∉ A' by auto
      with xX X B have ∀ y ∈ X. ¬ r y x by (auto simp: r in-mono)
      with xX show ?thesis by auto
    next
      case False
      from well-founded.nonempty-imp-ex-extremal[OF wf - this]
      obtain x where x: x ∈ X ∩ A' and Ar: ∧ y. y ∈ X ⇒ y ∈ A' ⇒ ¬ r' y x
  by auto
  have ∀ y ∈ X. ¬ r y x
  proof (intro ballI notI)
    fix y assume yX: y ∈ X and yx: r y x
    from yX X have y: y ∈ A by auto
    show False
    proof (cases y ∈ A')
      case True with x Ar[OF yX] yx r X y show ?thesis by auto
    next
      case False with y x A yx r X show ?thesis by auto
    qed
  qed
  with x show ∃ x ∈ X. ∀ y ∈ X. ¬ r y x by auto
qed
qed
qed
qed
lemma wfP-iff-well-founded-UNIV: wfP r ⟷ well-founded UNIV r
  by (auto simp: wfP-def wf-def well-founded-def)

```

lemma *well-founded-singleton*:
assumes $\neg r\ x\ x$ **shows** *well-founded* $\{x\}$ r
using *assms* **by** (*auto simp: well-founded-iff-ex-extremal*)

lemma *well-founded-Restrp[simp]*: *well-founded* $A\ (r \upharpoonright B) \longleftrightarrow$ *well-founded* $(A \cap B)$
 r (**is** $?l \longleftrightarrow ?r$)
proof (*intro iffI well-foundedI-extremal*)
assume $l: ?l$
fix X **assume** $XAB: X \subseteq A \cap B$ **and** $X0: X \neq \{\}$
with l [*THEN well-founded.nonempty-imp-ex-extremal*]
have $\exists x \in X. \forall z \in X. \neg (r \upharpoonright B)\ z\ x$ **by** *auto*
with XAB **show** $\exists x \in X. \forall y \in X. \neg r\ y\ x$ **by** (*auto simp: Restrpf-def*)

next
assume $r: ?r$
fix X **assume** $XA: X \subseteq A$ **and** $X0: X \neq \{\}$
show $\exists x \in X. \forall y \in X. \neg (r \upharpoonright B)\ y\ x$
proof (*cases* $X \subseteq B$)
case *True*
with r [*THEN well-founded.nonempty-imp-ex-extremal, of X*] $XA\ X0$
have $\exists z \in X. \forall y \in X. \neg r\ y\ z$ **by** *auto*
then show $?thesis$ **by** *auto*

next
case *False*
then obtain x **where** $x: x \in X - B$ **by** *auto*
then have $\forall y \in X. \neg (r \upharpoonright B)\ y\ x$ **by** *auto*
with x **show** $?thesis$ **by** *auto*

qed
qed

lemma (**in** *well-founded*) *well-founded-subset*:
assumes $B \subseteq A$ **shows** *well-founded* B (\square)
using *assms well-founded-axioms* **by** (*auto simp: well-founded-iff-ex-extremal*)

lemma *well-founded-extend*:
fixes $less$ (**infix** \square 50)
assumes A : *well-founded* A (\square)
assumes B : *well-founded* B (\square)
assumes $AB: \forall a \in A. \forall b \in B. \neg b \square a$
shows *well-founded* $(A \cup B)$ (\square)
proof (*intro well-foundedI-extremal*)
interpret A : *well-founded* A (\square) **using** A .
interpret B : *well-founded* B (\square) **using** B .
fix X **assume** $XAB: X \subseteq A \cup B$ **and** $X0: X \neq \{\}$
show $\exists x \in X. \forall y \in X. \neg y \square x$
proof (*cases* $X \cap A = \{\}$)
case *True*
with XAB **have** $XB: X \subseteq B$ **by** *auto*
from $B.nonempty-imp-ex-extremal$ [*OF XB X0*] **show** $?thesis$.

```

next
  case False
  with A.nonempty-imp-ex-extremal[OF - this]
  obtain e where XAe:  $e \in X \cap A \forall y \in X \cap A. \neg y \sqsubset e$  by auto
  then have eX:  $e \in X$  and eA:  $e \in A$  by auto
  { fix x assume xX:  $x \in X$ 
    have  $\neg x \sqsubset e$ 
    proof (cases  $x \in A$ )
      case True with XAe xX show ?thesis by auto
    next
      case False
      with xX XAB have  $x \in B$  by auto
      with AB eA show ?thesis by auto
    qed
  }
  with eX show ?thesis by auto
qed
qed

lemma closed-UN-well-founded:
  fixes r (infix  $\sqsubset$  50)
  assumes XX:  $\forall X \in XX. \text{well-founded } X \ (\sqsubset) \wedge (\forall x \in X. \forall y \in \bigcup XX. y \sqsubset x \longrightarrow y \in X)$ 
  shows well-founded  $(\bigcup XX) \ (\sqsubset)$ 
proof (intro well-foundedI-extremal)
  have *:  $X \in XX \Longrightarrow x \in X \Longrightarrow y \in \bigcup XX \Longrightarrow y \sqsubset x \Longrightarrow y \in X$  for  $X \ x \ y$  using XX by blast
  fix S
  assume S:  $S \subseteq \bigcup XX$  and S0:  $S \neq \{\}$ 
  from S0 obtain x where xS:  $x \in S$  by auto
  with S obtain X where X:  $X \in XX$  and xX:  $x \in X$  by auto
  from xS xX have Sx0:  $S \cap X \neq \{\}$  by auto
  from X XX interpret well-founded  $X \ (\sqsubset)$  by auto
  from nonempty-imp-ex-extremal[OF - Sx0]
  obtain z where zS:  $z \in S$  and zX:  $z \in X$  and min:  $\forall y \in S \cap X. \neg y \sqsubset z$  by auto
  show  $\exists x \in S. \forall y \in S. \neg y \sqsubset x$ 
  proof (intro bexI[OF - zS] ballI notI)
    fix y
    assume yS:  $y \in S$  and yz:  $y \sqsubset z$ 
    have yXX:  $y \in \bigcup XX$  using S yS by auto
    from min [OF X zX yXX yz] yS have  $y \in X \cap S$  by auto
    with min yz show False by auto
  qed
qed

```

lemma *well-founded-cmono*:
 assumes *r'*: $r' \leq r$ and *wf*: *well-founded* $A \ r$
 shows *well-founded* $A \ r'$


```

proof (intro well-foundedI-extremal)
  fix X assume  $X \subseteq A$  and  $X \neq \{\}$ 
  from well-founded.nonempty-imp-ex-extremal[OF wf this]
  show  $\exists x \in X. \forall y \in X. \neg r' y x$  using r' by auto
qed

locale well-founded-ordered-set = well-founded + transitive - ( $\sqsubset$ )
begin

sublocale strict-ordered-set..

interpretation Restrp: strict-ordered-set UNIV ( $\sqsubset$ ) $\upharpoonright$ A + Restrp: well-founded
UNIV ( $\sqsubset$ ) $\upharpoonright$ A
  using Restrp-strict-order Restrp-well-founded .

lemma Restrp-well-founded-order: well-founded-ordered-set UNIV (( $\sqsubset$ ) $\upharpoonright$ A)..

lemma well-founded-ordered-subset:  $B \subseteq A \implies$  well-founded-ordered-set B ( $\sqsubset$ )
  apply intro-locales
  using well-founded-subset transitive-subset by auto

end

lemma (in well-founded) Restrp-tranclp-well-founded-ordered: well-founded-ordered-set
UNIV (( $\sqsubset$ ) $\upharpoonright$ A)++
  using Restrp-tranclp-well-founded tranclp-transitive by intro-locales

locale well-related-set = related-set +
  assumes nonempty-imp-ex-extreme:  $X \subseteq A \implies X \neq \{\} \implies \exists e. \text{extreme } X (\sqsubseteq)^- e$ 
begin

sublocale connex
proof
  fix x y assume  $x \in A$  and  $y \in A$ 
  with nonempty-imp-ex-extreme[of {x,y}] show  $x \sqsubseteq y \vee y \sqsubseteq x$  by auto
qed

lemmas connex-axioms = connex-axioms

interpretation less-eq-notations.

sublocale asym: well-founded A ( $\sqsubset$ )
proof (unfold well-founded-iff-ex-extremal, intro allI impI)
  fix X
  assume XA:  $X \subseteq A$  and X0:  $X \neq \{\}$ 
  from nonempty-imp-ex-extreme[OF XA X0] obtain e where extreme X ( $\sqsubseteq$ )- e
by auto
  then show  $\exists x \in X. \forall z \in X. \neg z \sqsubset x$  (auto intro!: bexI[of - e])

```

qed

lemma *well-related-subset*: $B \subseteq A \implies \text{well-related-set } B \ (\sqsubseteq)$
by (*auto intro!*: *well-related-set.intro nonempty-imp-ex-extreme*)

end

context

fixes *less-eq* :: 'a \Rightarrow 'a \Rightarrow bool (**infix** \sqsubseteq 50)
begin

lemma *well-related-iff-neg-well-founded*:
well-related-set $A \ (\sqsubseteq) \longleftrightarrow \text{well-founded } A \ (\lambda x y. \neg y \sqsubseteq x)$
by (*simp add*: *well-related-set-def well-founded-iff-ex-extremal extreme-def Bex-def*)

lemma *well-related-singleton-refl*:
assumes $x \sqsubseteq x$ **shows** *well-related-set* $\{x\} \ (\sqsubseteq)$
by (*intro well-related-set.intro exI[of - x]*, *auto simp*: *subset-singleton-iff assms*)

lemma *closed-UN-well-related*:
assumes $XX: \forall X \in XX. \text{well-related-set } X \ (\sqsubseteq) \wedge (\forall x \in X. \forall y \in \bigcup XX. \neg x \sqsubseteq y \longrightarrow y \in X)$
shows *well-related-set* $(\bigcup XX) \ (\sqsubseteq)$
using XX
apply (*unfold well-related-iff-neg-well-founded*)
using *closed-UN-well-founded[of - $\lambda x y. \neg y \sqsubseteq x$]*.

end

lemma *well-related-extend*:
fixes r (**infix** \sqsubseteq 50)
assumes *well-related-set* $A \ (\sqsubseteq)$ **and** *well-related-set* $B \ (\sqsubseteq)$ **and** $\forall a \in A. \forall b \in B. a \sqsubseteq b$
shows *well-related-set* $(A \cup B) \ (\sqsubseteq)$
using *well-founded-extend[of - $\lambda x y. \neg y \sqsubseteq x$, folded well-related-iff-neg-well-founded]*
using *assms* **by** *auto*

locale *pre-well-ordered-set = semiattractive + well-related-set*
begin

interpretation *less-eq-notations*.

sublocale *transitive*

proof

fix $x y z$ **assume** $xy: x \sqsubseteq y$ **and** $yz: y \sqsubseteq z$ **and** $x: x \in A$ **and** $y: y \in A$ **and** $z: z \in A$
from $x y z$ **have** $\exists e. \text{extreme } \{x,y,z\} \ (\sqsubseteq) \ e$ (**is** $\exists e. ?P \ e$) **by** (*auto intro!*: *nonempty-imp-ex-extreme*)
then have $?P \ x \vee ?P \ y \vee ?P \ z$ **by** *auto*

```

then show  $x \sqsubseteq z$ 
proof (elim disjE)
  assume ?P x
  then show ?thesis by auto
next
  assume ?P y
  then have  $y \sqsubseteq x$  by auto
  from attract[OF xy this yz] x y z show ?thesis by auto
next
  assume ?P z
  then have  $zx: z \sqsubseteq x$  and  $zy: z \sqsubseteq y$  by auto
  from attract[OF yz zy zx] x y z have  $yx: y \sqsubseteq x$  by auto
  from attract[OF xy yx yz] x y z show ?thesis by auto
qed
qed

```

sublocale *total-quasi-ordered-set*..

lemmas *connex-axioms* = *connex-axioms*

```

lemma strict-weak-trans[trans]:
  assumes  $xy: x \sqsubset y$  and  $yz: y \sqsubseteq z$  and  $x: x \in A$  and  $y: y \in A$  and  $z: z \in A$ 
  shows  $x \sqsubset z$ 
proof (intro asympartpI notI)
  from trans xy yz x y z show  $x \sqsubseteq z$  by auto
  assume  $z \sqsubseteq x$ 
  from trans[OF yz this] y z x have  $y \sqsubseteq x$  by auto
  with xy show False by auto
qed

```

```

lemma weak-strict-trans[trans]:
  assumes  $xy: x \sqsubseteq y$  and  $yz: y \sqsubset z$  and  $x: x \in A$  and  $y: y \in A$  and  $z: z \in A$ 
  shows  $x \sqsubset z$ 
proof (intro asympartpI notI)
  from trans xy yz x y z show  $x \sqsubseteq z$  by auto
  assume  $z \sqsubseteq x$ 
  from trans[OF this xy] z x y have  $z \sqsubseteq y$  by auto
  with yz show False by auto
qed

```

end

```

lemma pre-well-ordered-iff:
  pre-well-ordered-set A r  $\longleftrightarrow$  total-quasi-ordered-set A r  $\wedge$  well-founded A (asympartp
r)
  (is ?p  $\longleftrightarrow$  ?t  $\wedge$  ?w)
proof safe
  assume ?p
  then interpret pre-well-ordered-set A r.

```

```

  show ?t ?w by unfold-locales
next
  assume ?t
  then interpret total-quasi-ordered-set A r.
  assume ?w
  then have well-founded UNIV (asymptp r  $\upharpoonright$  A) by simp
  also have asymptp r  $\upharpoonright$  A = ( $\lambda x y. \neg r y x$ )  $\upharpoonright$  A by (intro ext, auto simp:
not-iff-asym)
  finally have well-related-set A r by (simp add: well-related-iff-neg-well-founded)
  then show ?p by intro-locales
qed

```

```

lemma (in semiattractive) pre-well-ordered-iff-well-related:
  assumes XA:  $X \subseteq A$ 
  shows pre-well-ordered-set X ( $\sqsubseteq$ )  $\longleftrightarrow$  well-related-set X ( $\sqsubseteq$ ) (is ?l  $\longleftrightarrow$  ?r)
proof
  interpret X: semiattractive X using semiattractive-subset[OF XA].
  { assume ?l
    then interpret X: pre-well-ordered-set X.
    show ?r by unfold-locales
  }
  assume ?r
  then interpret X: well-related-set X.
  show ?l by unfold-locales
qed

```

```

lemma semiattractive-extend:
  fixes r (infix  $\sqsubseteq$  50)
  assumes A: semiattractive A ( $\sqsubseteq$ ) and B: semiattractive B ( $\sqsubseteq$ )
  and AB:  $\forall a \in A. \forall b \in B. a \sqsubseteq b \wedge \neg b \sqsubseteq a$ 
  shows semiattractive (A  $\cup$  B) ( $\sqsubseteq$ )
proof-
  interpret A: semiattractive A ( $\sqsubseteq$ ) using A.
  interpret B: semiattractive B ( $\sqsubseteq$ ) using B.
  {
    fix x y z
    assume yB:  $y \in B$  and zA:  $z \in A$  and yz:  $y \sqsubseteq z$ 
    have False using AB[rule-format, OF zA yB] yz by auto
  }
  note * = this
  show ?thesis
  by (auto intro!: semiattractive.intro dest:* AB[rule-format] A.attract B.attract)
qed

```

```

lemma pre-well-order-extend:
  fixes r (infix  $\sqsubseteq$  50)
  assumes A: pre-well-ordered-set A ( $\sqsubseteq$ ) and B: pre-well-ordered-set B ( $\sqsubseteq$ )
  and AB:  $\forall a \in A. \forall b \in B. a \sqsubseteq b \wedge \neg b \sqsubseteq a$ 
  shows pre-well-ordered-set (A  $\cup$  B) ( $\sqsubseteq$ )

```

```

proof-
  interpret A: pre-well-ordered-set A ( $\sqsubseteq$ ) using A.
  interpret B: pre-well-ordered-set B ( $\sqsubseteq$ ) using B.
  show ?thesis
    apply (intro pre-well-ordered-set.intro well-related-extend semiattractive-extend)
      apply unfold-locales
      by (auto dest: AB[rule-format])
qed

locale well-ordered-set = antisymmetric + well-related-set
begin

sublocale pre-well-ordered-set..

sublocale total-ordered-set..

lemma well-ordered-subset:  $B \subseteq A \implies \text{well-ordered-set } B$  ( $\sqsubseteq$ )
  using well-related-subset antisymmetric-subset by (intro well-ordered-set.intro)

lemmas connex-axioms = connex-axioms

end

lemma (in antisymmetric) well-ordered-iff-well-related:
  assumes XA:  $X \subseteq A$ 
  shows well-ordered-set X ( $\sqsubseteq$ )  $\longleftrightarrow$  well-related-set X ( $\sqsubseteq$ ) (is ?l  $\longleftrightarrow$  ?r)
proof
  interpret X: antisymmetric X using antisymmetric-subset[OF XA].
  { assume ?l
    then interpret X: well-ordered-set X.
    show ?r by unfold-locales
  }
  assume ?r
  then interpret X: well-related-set X.
  show ?l by unfold-locales
qed

context
  fixes A and less-eq (infix  $\sqsubseteq$  50)
  assumes A:  $\forall a \in A. \forall b \in A. a \sqsubseteq b$ 
begin

interpretation well-related-set A ( $\sqsubseteq$ )
  apply unfold-locales
  using A by blast

lemmas trivial-well-related = well-related-set-axioms

lemma trivial-pre-well-order: pre-well-ordered-set A ( $\sqsubseteq$ )

```

```

apply unfold-locales
using A by blast

end

context
  fixes less-eq :: 'a ⇒ 'a ⇒ bool (infix  $\sqsubseteq$  50)
begin

interpretation less-eq-notations.

lemma well-order-extend:
  assumes A: well-ordered-set A ( $\sqsubseteq$ ) and B: well-ordered-set B ( $\sqsubseteq$ )
    and ABa:  $\forall a \in A. \forall b \in B. a \sqsubseteq b \longrightarrow b \sqsubseteq a \longrightarrow a = b$ 
    and AB:  $\forall a \in A. \forall b \in B. a \sqsubseteq b$ 
  shows well-ordered-set (A ∪ B) ( $\sqsubseteq$ )
proof-
  interpret A: well-ordered-set A ( $\sqsubseteq$ ) using A.
  interpret B: well-ordered-set B ( $\sqsubseteq$ ) using B.
  show ?thesis
  apply (intro well-ordered-set.intro antisymmetric-union well-related-extend ABa
AB)
    by unfold-locales
qed

interpretation singleton: antisymmetric {a} ( $\sqsubseteq$ ) for a apply unfold-locales by
auto

lemmas singleton-antisymmetric[intro!] = singleton.antisymmetric-axioms

lemma singleton-well-ordered[intro!]:  $a \sqsubseteq a \implies \text{well-ordered-set } \{a\} (\sqsubseteq)$ 
  apply unfold-locales by auto

lemma closed-UN-well-ordered:
  assumes anti: antisymmetric ( $\bigcup XX$ ) ( $\sqsubseteq$ )
    and XX:  $\forall X \in XX. \text{well-ordered-set } X (\sqsubseteq) \wedge (\forall x \in X. \forall y \in \bigcup XX. \neg x \sqsubseteq y \longrightarrow$ 
y ∈ X)
  shows well-ordered-set ( $\bigcup XX$ ) ( $\sqsubseteq$ )
  apply (intro well-ordered-set.intro closed-UN-well-related anti)
  using XX well-ordered-set.axioms by fast

end

  Directed sets:

definition directed A r  $\equiv \forall x \in A. \forall y \in A. \exists z \in A. r\ x\ z \wedge r\ y\ z$ 

lemmas directedI[intro] = directed-def[unfolded atomize-eq, THEN iffD2, rule-format]

lemmas directedD = directed-def[unfolded atomize-eq, THEN iffD1, rule-format]

```

```

context
  fixes less-eq :: 'a ⇒ 'a ⇒ bool (infix ⊆ 50)
begin

lemma directedE:
  assumes directed A (⊆) and x ∈ A and y ∈ A
    and  $\bigwedge z. z \in A \implies x \subseteq z \implies y \subseteq z \implies thesis$ 
  shows thesis
  using assms by (auto dest: directedD)

lemma directed-empty[simp]: directed {} (⊆) by auto

lemma directed-union:
  assumes dX: directed X (⊆) and dY: directed Y (⊆)
    and XY:  $\forall x \in X. \forall y \in Y. \exists z \in X \cup Y. x \subseteq z \wedge y \subseteq z$ 
  shows directed (X ∪ Y) (⊆)
  using directedD[OF dX] directedD[OF dY] XY
  apply (intro directedI) by blast

lemma directed-extend:
  assumes X: directed X (⊆) and Y: directed Y (⊆) and XY:  $\forall x \in X. \forall y \in Y. x \subseteq y$ 
  shows directed (X ∪ Y) (⊆)
proof –
  { fix x y
    assume xX: x ∈ X and yY: y ∈ Y
    let ?g =  $\exists z \in X \cup Y. x \subseteq z \wedge y \subseteq z$ 
    from directedD[OF Y yY yY] obtain z where zY: z ∈ Y and yz: y ⊆ z by
  auto
    from xX XY zY yz have ?g by auto
  }
  then show ?thesis by (auto intro!: directed-union[OF X Y])
qed

end

context connex begin

lemma directed: directed A (⊆)
proof
  fix x y
  assume x: x ∈ A and y: y ∈ A
  then show  $\exists z \in A. x \subseteq z \wedge y \subseteq z$ 
  proof (cases rule: comparable-cases)
    case le
      with refl[OF y] y show ?thesis by (intro bestI[of - y], auto)
    next
      case ge

```

```

    with refl[OF x] x show ?thesis by (intro bexI[of -], auto)
  qed
qed

end

context
  fixes ir :: 'i ⇒ 'i ⇒ bool (infix ≤ 50)
  fixes r :: 'a ⇒ 'a ⇒ bool (infix ⊆ 50)
begin

lemma monotone-connex-image:
  assumes mono: monotone-on I (≤) (⊆) f
  assumes connex: connex I (≤)
  shows connex (f ' I) (⊆)
proof (rule connexI)
  fix x y
  assume x ∈ f ' I and y ∈ f ' I
  then obtain i j where ij: i ∈ I j ∈ I and [simp]: x = f i y = f j by auto
  from connex ij have i ≤ j ∨ j ≤ i by (auto elim: connexE)
  with ij mono show x ⊆ y ∨ y ⊆ x by (elim disjE, auto dest: monotone-onD)
qed

lemma monotone-directed-image:
  assumes mono: monotone-on I (≤) (⊆) f
  assumes dir: directed I (≤) shows directed (f ' I) (⊆)
proof (rule directedI, safe)
  fix x y assume x: x ∈ I and y: y ∈ I
  with dir obtain z where z: z ∈ I and x ≤ z and y ≤ z by (auto elim: directedE)
  with mono x y have f x ⊆ f z and f y ⊆ f z by (auto dest: monotone-onD)
  with z show ∃ fz ∈ f ' I. f x ⊆ fz ∧ f y ⊆ fz by auto
qed

end

```

2.6 Order Pairs

```

locale compatible = related-set + related-set A (⊆) for less (infix ⊆ 50) +
  assumes compat-right[trans]: x ⊆ y ⇒ y ⊆ z ⇒ x ∈ A ⇒ y ∈ A ⇒ z ∈ A
  ⇒ x ⊆ z
  assumes compat-left[trans]: x ⊆ y ⇒ y ⊆ z ⇒ x ∈ A ⇒ y ∈ A ⇒ z ∈ A
  ⇒ x ⊆ z

locale compatible-ordering = reflexive + irreflexive + compatible +
  assumes strict-implies-weak: x ⊆ y ⇒ x ∈ A ⇒ y ∈ A ⇒ x ⊆ y
begin

```

The strict part is necessarily transitive.

The following sequence of declarations are in order to obtain fact names

in a manner similar to the Isabelle/HOL facts of orders.

sublocale *strict: transitive* A (\sqsubset)
 using *compat-right*[*OF strict-implies-weak*] **by** *unfold-locales*

sublocale *strict-ordered-set* A (\sqsubset) ..

thm *strict.trans asym irrefl*

lemma *strict-implies-not-weak*: $x \sqsubset y \implies x \in A \implies y \in A \implies \neg y \sqsubseteq x$
 using *irrefl compat-left* **by** *blast*

end

context *transitive* **begin**

interpretation *less-eq-notations*.

lemma *asym-trans*[*trans*]:
 shows $x \sqsubset y \implies y \sqsubseteq z \implies x \in A \implies y \in A \implies z \in A \implies x \sqsubset z$
 and $x \sqsubseteq y \implies y \sqsubset z \implies x \in A \implies y \in A \implies z \in A \implies x \sqsubset z$
 by (*auto 0 3 dest: trans*)

end

locale *attractive-ordering* = *compatible-ordering* + *attractive*

locale *pseudo-ordering* = *compatible-ordering* + *pseudo-ordered-set*
begin

sublocale *attractive-ordering* ..

end

locale *quasi-ordering* = *compatible-ordering* + *quasi-ordered-set*
begin

sublocale *attractive-ordering* ..

end

locale *partial-ordering* = *compatible-ordering* + *partially-ordered-set*
begin

sublocale *pseudo-ordering* + *quasi-ordering* ..

end

locale *well-founded-ordering* = *quasi-ordering* + *well-founded*

```

locale total-ordering = compatible-ordering + total-ordered-set
begin

sublocale partial-ordering ..

end

locale strict-total-ordering = partial-ordering + semiconnex A ( $\sqsubset$ )
begin

sublocale semiconnex-irreflexive ..

sublocale connex
proof
  fix x y assume x: x  $\in$  A and y: y  $\in$  A
  then show x  $\sqsubseteq$  y  $\vee$  y  $\sqsubseteq$  x
    apply (cases rule: cases[OF x y])
    by (auto dest: strict-implies-weak)
qed

sublocale total-ordering ..

lemma not-weak[simp]:
  assumes x  $\in$  A and y  $\in$  A shows  $\neg$  x  $\sqsubseteq$  y  $\longleftrightarrow$  y  $\sqsubset$  x
  using assms by (cases rule: cases, auto simp: strict-implies-not-weak dest: strict-implies-weak)

lemma not-strict[simp]: x  $\in$  A  $\implies$  y  $\in$  A  $\implies$   $\neg$  x  $\sqsubset$  y  $\longleftrightarrow$  y  $\sqsubseteq$  x
  using not-weak by blast

end

```

2.7 Relating to Classes

In Isabelle 2020, we should declare sublocales in class before declaring dual sublocales, since otherwise facts would be prefixed by “dual.dual.”

```

context ord begin

abbreviation least where least X  $\equiv$  extreme X ( $\lambda$ x y. y  $\leq$  x)

abbreviation greatest where greatest X  $\equiv$  extreme X ( $\leq$ )

abbreviation supremum where supremum X  $\equiv$  least (Collect (bound X ( $\leq$ )))

abbreviation infimum where infimum X  $\equiv$  greatest (Collect (bound X ( $\lambda$ x y. y  $\leq$  x)))

lemma Least-eq-The-least: Least P = The (least {x. P x})
  by (auto simp: Least-def extreme-def[unfolded atomize-eq, THEN ext])

```

lemma *Greatest-eq-The-greatest*: $\text{Greatest } P = \text{The } (\text{greatest } \{x. P\} x)$
by (*auto simp: Greatest-def extreme-def*[*unfolded atomize-eq, THEN ext*])

end

lemma *Ball-UNIV*[*simp*]: $\text{Ball } UNIV = \text{All}$ **by** *auto*

lemma *Bex-UNIV*[*simp*]: $\text{Bex } UNIV = \text{Ex}$ **by** *auto*

class *compat* = *ord* + **assumes** *compatible-ordering UNIV* (\leq) ($<$)
begin

sublocale *order: compatible-ordering UNIV*

rewrites $\bigwedge x. x \in UNIV \equiv \text{True}$

and $\bigwedge X. X \subseteq UNIV \equiv \text{True}$

and $\bigwedge r. r \upharpoonright UNIV \equiv r$

and $\bigwedge P. \text{True} \wedge P \equiv P$

and $\text{Ball } UNIV \equiv \text{All}$

and $\text{Bex } UNIV \equiv \text{Ex}$

and $\text{sympartp } (\leq)^- \equiv \text{sympartp } (\leq)$

and $\bigwedge P1. (\text{True} \implies \text{PROP } P1) \equiv \text{PROP } P1$

and $\bigwedge P1. (\text{True} \implies P1) \equiv \text{Trueprop } P1$

and $\bigwedge P1 P2. (\text{True} \implies \text{PROP } P1 \implies \text{PROP } P2) \equiv (\text{PROP } P1 \implies \text{PROP } P2)$

using *compat-axioms unfolding class.compat-def* **by** (*auto 0 4 simp:atomize-eq*)

end

We should have imported locale-based facts in classes, e.g.:

thm *order.trans order.strict.trans order.refl order.irrefl order.asym order.extreme-bound-singleton*

class *attractive-order* = *ord* + **assumes** *attractive-ordering UNIV* (\leq) ($<$)
begin

We need to declare subclasses before sublocales in order to preserve facts for superclasses.

interpretation *attractive-ordering UNIV*

using *attractive-order-axioms unfolding class.attractive-order-def*.

subclass *compat* ..

sublocale *order: attractive-ordering UNIV*

rewrites $\bigwedge x. x \in UNIV \equiv \text{True}$

and $\bigwedge X. X \subseteq UNIV \equiv \text{True}$

and $\bigwedge r. r \upharpoonright UNIV \equiv r$

and $\bigwedge P. \text{True} \wedge P \equiv P$

and $\text{Ball } UNIV \equiv \text{All}$

and $\text{Bex } UNIV \equiv \text{Ex}$

and $\text{sympartp } (\leq)^- \equiv \text{sympartp } (\leq)$

```

    and  $\bigwedge P1. (True \implies PROP P1) \equiv PROP P1$ 
    and  $\bigwedge P1. (True \implies P1) \equiv Trueprop P1$ 
    and  $\bigwedge P1 P2. (True \implies PROP P1 \implies PROP P2) \equiv (PROP P1 \implies PROP P2)$ 
  apply unfold-locales by (auto simp:atomize-eq)

end

thm order.extreme-bound-quasi-const

class psorder = ord + assumes pseudo-ordering UNIV ( $\leq$ ) ( $<$ )
begin

interpretation pseudo-ordering UNIV using psorder-axioms unfolding class.psorder-def.

subclass attractive-order ..

sublocale order: pseudo-ordering UNIV
  rewrites  $\bigwedge x. x \in UNIV \equiv True$ 
  and  $\bigwedge X. X \subseteq UNIV \equiv True$ 
  and  $\bigwedge r. r \upharpoonright UNIV \equiv r$ 
  and  $\bigwedge P. True \wedge P \equiv P$ 
  and  $Ball UNIV \equiv All$ 
  and  $Bex UNIV \equiv Ex$ 
  and  $sympartp (\leq)^- \equiv sympartp (\leq)$ 
  and  $\bigwedge P1. (True \implies PROP P1) \equiv PROP P1$ 
  and  $\bigwedge P1. (True \implies P1) \equiv Trueprop P1$ 
  and  $\bigwedge P1 P2. (True \implies PROP P1 \implies PROP P2) \equiv (PROP P1 \implies PROP P2)$ 
  apply unfold-locales by (auto simp:atomize-eq)

end

class qorder = ord + assumes quasi-ordering UNIV ( $\leq$ ) ( $<$ )
begin

interpretation quasi-ordering UNIV using qorder-axioms unfolding class.qorder-def.

subclass attractive-order ..

sublocale order: quasi-ordering UNIV
  rewrites  $\bigwedge x. x \in UNIV \equiv True$ 
  and  $\bigwedge X. X \subseteq UNIV \equiv True$ 
  and  $\bigwedge r. r \upharpoonright UNIV \equiv r$ 
  and  $\bigwedge P. True \wedge P \equiv P$ 
  and  $Ball UNIV \equiv All$ 
  and  $Bex UNIV \equiv Ex$ 
  and  $sympartp (\leq)^- \equiv sympartp (\leq)$ 
  and  $\bigwedge P1. (True \implies PROP P1) \equiv PROP P1$ 

```

```

    and  $\bigwedge P1. (True \implies P1) \equiv Trueprop P1$ 
    and  $\bigwedge P1 P2. (True \implies PROP P1 \implies PROP P2) \equiv (PROP P1 \implies PROP P2)$ 
  apply unfold-locales by (auto simp:atomize-eq)

```

end

```

class porder = ord + assumes partial-ordering UNIV ( $\leq$ ) ( $<$ )
begin

```

```

interpretation partial-ordering UNIV
  using porder-axioms unfolding class.porder-def.

```

```

subclass psorder ..
subclass qorder ..

```

```

sublocale order: partial-ordering UNIV
  rewrites  $\bigwedge x. x \in UNIV \equiv True$ 
    and  $\bigwedge X. X \subseteq UNIV \equiv True$ 
    and  $\bigwedge r. r \upharpoonright UNIV \equiv r$ 
    and  $\bigwedge P. True \wedge P \equiv P$ 
    and  $Ball UNIV \equiv All$ 
    and  $Bex UNIV \equiv Ex$ 
    and  $sympartp (\leq)^- \equiv sympartp (\leq)$ 
    and  $\bigwedge P1. (True \implies PROP P1) \equiv PROP P1$ 
    and  $\bigwedge P1. (True \implies P1) \equiv Trueprop P1$ 
    and  $\bigwedge P1 P2. (True \implies PROP P1 \implies PROP P2) \equiv (PROP P1 \implies PROP P2)$ 
  apply unfold-locales by (auto simp:atomize-eq)

```

end

```

class wf-qorder = ord + assumes well-founded-ordering UNIV ( $\leq$ ) ( $<$ )
begin

```

```

interpretation well-founded-ordering UNIV
  using wf-qorder-axioms unfolding class.wf-qorder-def.

```

```

subclass qorder ..

```

```

sublocale order: well-founded-ordering UNIV
  rewrites  $\bigwedge x. x \in UNIV \equiv True$ 
    and  $\bigwedge X. X \subseteq UNIV \equiv True$ 
    and  $\bigwedge r. r \upharpoonright UNIV \equiv r$ 
    and  $\bigwedge P. True \wedge P \equiv P$ 
    and  $Ball UNIV \equiv All$ 
    and  $Bex UNIV \equiv Ex$ 
    and  $sympartp (\leq)^- \equiv sympartp (\leq)$ 
    and  $\bigwedge P1. (True \implies PROP P1) \equiv PROP P1$ 

```

```

    and  $\bigwedge P1. (True \implies P1) \equiv Trueprop P1$ 
    and  $\bigwedge P1 P2. (True \implies PROP P1 \implies PROP P2) \equiv (PROP P1 \implies PROP P2)$ 
  apply unfold-locales by (auto simp:atomize-eq)

```

end

```

class totalorder = ord + assumes total-ordering UNIV ( $\leq$ ) ( $<$ )
begin

```

```

interpretation total-ordering UNIV
  using totalorder-axioms unfolding class.totalorder-def.

```

```

subclass porder ..

```

```

sublocale order: total-ordering UNIV
  rewrites  $\bigwedge x. x \in UNIV \equiv True$ 
  and  $\bigwedge X. X \subseteq UNIV \equiv True$ 
  and  $\bigwedge r. r \upharpoonright UNIV \equiv r$ 
  and  $\bigwedge P. True \wedge P \equiv P$ 
  and  $Ball UNIV \equiv All$ 
  and  $Bex UNIV \equiv Ex$ 
  and  $sympartp (\leq)^- \equiv sympartp (\leq)$ 
  and  $\bigwedge P1. (True \implies PROP P1) \equiv PROP P1$ 
  and  $\bigwedge P1. (True \implies P1) \equiv Trueprop P1$ 
  and  $\bigwedge P1 P2. (True \implies PROP P1 \implies PROP P2) \equiv (PROP P1 \implies PROP P2)$ 
  apply unfold-locales by (auto simp:atomize-eq)

```

end

Isabelle/HOL's *preorder* belongs to *qorder*, but not vice versa.

```

subclass (in preorder) qorder
  apply unfold-locales
  using order-refl apply assumption
  apply simp
  using le-less-trans apply assumption
  using less-le-trans apply assumption
  using less-imp-le apply assumption
  using order-trans apply assumption
  done

```

```

subclass (in order) porder by (unfold-locales, auto)

```

```

subclass (in wellorder) wf-qorder
  apply (unfold-locales)
  using less-induct by auto

```

Isabelle/HOL's *linorder* is equivalent to our locale *strict-total-ordering*.

```

context linorder begin

```

interpretation *strict-total-ordering UNIV*

apply *unfold-locales by auto*

subclass *totalorder ..*

sublocale *order: strict-total-ordering UNIV*

rewrites $\bigwedge x. x \in UNIV \equiv True$

and $\bigwedge X. X \subseteq UNIV \equiv True$

and $\bigwedge r. r \upharpoonright UNIV \equiv r$

and $\bigwedge P. True \wedge P \equiv P$

and *Ball UNIV $\equiv All$*

and *Bex UNIV $\equiv Ex$*

and *sympartp $(\leq)^- \equiv sympartp (\leq)$*

and $\bigwedge P1. (True \implies PROP P1) \equiv PROP P1$

and $\bigwedge P1. (True \implies P1) \equiv Trueprop P1$

and $\bigwedge P1 P2. (True \implies PROP P1 \implies PROP P2) \equiv (PROP P1 \implies PROP P2)$

apply *unfold-locales by (auto simp:atomize-eq)*

end

Tests: facts should be available in the most general classes.

thm *order.strict.trans[where 'a='a::compat]*

thm *order.extreme-bound-quasi-const[where 'a='a::attractive-order]*

thm *order.extreme-bound-singleton-eq[where 'a='a::psorder]*

thm *order.trans[where 'a='a::qorder]*

thm *order.comparable-cases[where 'a='a::totalorder]*

thm *order.cases[where 'a='a::linorder]*

2.8 Declaring Duals

sublocale *reflexive \subseteq sym: reflexive A sympartp (\sqsubseteq)*

rewrites *sympartp $(\sqsubseteq)^- \equiv sympartp (\sqsubseteq)$*

and $\bigwedge r. sympartp (sympartp r) \equiv sympartp r$

and $\bigwedge r. sympartp r \upharpoonright A \equiv sympartp (r \upharpoonright A)$

by *(auto 0 4 simp:atomize-eq)*

sublocale *quasi-ordered-set \subseteq sym: quasi-ordered-set A sympartp (\sqsubseteq)*

rewrites *sympartp $(\sqsubseteq)^- = sympartp (\sqsubseteq)$*

and *sympartp (sympartp (\sqsubseteq)) = sympartp (\sqsubseteq)*

apply *unfold-locales by (auto 0 4 dest: trans)*

At this point, we declare dual as sublocales. In the following, “rewrites” eventually cleans up redundant facts.

sublocale *reflexive \subseteq dual: reflexive A $(\sqsubseteq)^-$*

rewrites *sympartp $(\sqsubseteq)^- \equiv sympartp (\sqsubseteq)$*

and $\bigwedge r. sympartp (r \upharpoonright A) \equiv sympartp r \upharpoonright A$

and $(\sqsubseteq)^- \upharpoonright A \equiv ((\sqsubseteq) \upharpoonright A)^-$

by (auto simp: atomize-eq)

context *attractive* **begin**

interpretation *less-eq-notations*.

sublocale *dual: attractive* $A (\sqsupset)$
 rewrites $\text{sympartp } (\sqsupset) = (\sim)$
 and $\text{equivpartp } (\sqsupset) \equiv (\simeq)$
 and $\bigwedge r. \text{sympartp } (r \upharpoonright A) \equiv \text{sympartp } r \upharpoonright A$
 and $\bigwedge r. \text{sympartp } (\text{sympartp } r) \equiv \text{sympartp } r$
 and $(\sqsupset)^- \upharpoonright A \equiv ((\sqsupset) \upharpoonright A)^-$
 apply *unfold-locales* by (auto intro!: ext dest: attract dual.attract simp: atomize-eq)

end

context *irreflexive* **begin**

sublocale *dual: irreflexive* $A (\sqsubset)^-$
 rewrites $(\sqsubset)^- \upharpoonright A \equiv ((\sqsubset) \upharpoonright A)^-$
 apply *unfold-locales* by (auto dest: irrefl simp: atomize-eq)

end

sublocale *transitive* \subseteq *dual: transitive* $A (\sqsubseteq)^-$
 rewrites $(\sqsubseteq)^- \upharpoonright A \equiv ((\sqsubseteq) \upharpoonright A)^-$
 and $\text{sympartp } (\sqsubseteq)^- = \text{sympartp } (\sqsubseteq)$
 and $\text{asymptp } (\sqsubseteq)^- = (\text{asymptp } (\sqsubseteq))^-$
 apply *unfold-locales* by (auto dest: trans simp: atomize-eq intro!: ext)

sublocale *antisymmetric* \subseteq *dual: antisymmetric* $A (\sqsubseteq)^-$
 rewrites $(\sqsubseteq)^- \upharpoonright A \equiv ((\sqsubseteq) \upharpoonright A)^-$
 and $\text{sympartp } (\sqsubseteq)^- = \text{sympartp } (\sqsubseteq)$
 by (auto dest: antisym simp: atomize-eq)

sublocale *semiconnex* \subseteq *dual: semiconnex* $A (\sqsubset)^-$
 rewrites $\text{sympartp } (\sqsubset)^- = \text{sympartp } (\sqsubset)$
 using *semiconnex* by auto

sublocale *connex* \subseteq *dual: connex* $A (\sqsubseteq)^-$
 rewrites $\text{sympartp } (\sqsubseteq)^- = \text{sympartp } (\sqsubseteq)$
 by (auto intro!: chainI dest: comparable)

sublocale *semiconnex-irreflexive* \subseteq *dual: semiconnex-irreflexive* $A (\sqsubset)^-$
 rewrites $\text{sympartp } (\sqsubset)^- = \text{sympartp } (\sqsubset)$
 by *unfold-locales* auto

sublocale *pseudo-ordered-set* \subseteq *dual: pseudo-ordered-set* $A (\sqsubseteq)^-$

rewrites $\text{sympartp } (\sqsubseteq)^- = \text{sympartp } (\sqsubseteq)$
by *unfold-locales (auto 0 4)*

sublocale *quasi-ordered-set* \subseteq *dual: quasi-ordered-set* $A (\sqsubseteq)^-$
rewrites $\text{sympartp } (\sqsubseteq)^- = \text{sympartp } (\sqsubseteq)$
by *unfold-locales auto*

sublocale *partially-ordered-set* \subseteq *dual: partially-ordered-set* $A (\sqsubseteq)^-$
rewrites $\text{sympartp } (\sqsubseteq)^- = \text{sympartp } (\sqsubseteq)$
by *unfold-locales (auto 0 4)*

sublocale *total-pseudo-ordered-set* \subseteq *dual: total-pseudo-ordered-set* $A (\sqsubseteq)^-$
rewrites $\text{sympartp } (\sqsubseteq)^- = \text{sympartp } (\sqsubseteq)$
by *unfold-locales (auto 0 4)*

sublocale *total-quasi-ordered-set* \subseteq *dual: total-quasi-ordered-set* $A (\sqsubseteq)^-$
rewrites $\text{sympartp } (\sqsubseteq)^- = \text{sympartp } (\sqsubseteq)$
by *unfold-locales auto*

sublocale *compatible-ordering* \subseteq *dual: compatible-ordering* $A (\sqsubseteq)^- (\sqsubset)^-$
rewrites $\text{sympartp } (\sqsubseteq)^- = \text{sympartp } (\sqsubseteq)$
apply *unfold-locales*
by *(auto dest: compat-left compat-right strict-implies-weak)*

sublocale *attractive-ordering* \subseteq *dual: attractive-ordering* $A (\sqsubseteq)^- (\sqsubset)^-$
rewrites $\text{sympartp } (\sqsubseteq)^- = \text{sympartp } (\sqsubseteq)$
by *unfold-locales auto*

sublocale *pseudo-ordering* \subseteq *dual: pseudo-ordering* $A (\sqsubseteq)^- (\sqsubset)^-$
rewrites $\text{sympartp } (\sqsubseteq)^- = \text{sympartp } (\sqsubseteq)$
by *unfold-locales (auto 0 4)*

sublocale *quasi-ordering* \subseteq *dual: quasi-ordering* $A (\sqsubseteq)^- (\sqsubset)^-$
rewrites $\text{sympartp } (\sqsubseteq)^- = \text{sympartp } (\sqsubseteq)$
by *unfold-locales auto*

sublocale *partial-ordering* \subseteq *dual: partial-ordering* $A (\sqsubseteq)^- (\sqsubset)^-$
rewrites $\text{sympartp } (\sqsubseteq)^- = \text{sympartp } (\sqsubseteq)$
by *unfold-locales (auto 0 4)*

sublocale *total-ordering* \subseteq *dual: total-ordering* $A (\sqsubseteq)^- (\sqsubset)^-$
rewrites $\text{sympartp } (\sqsubseteq)^- = \text{sympartp } (\sqsubseteq)$
by *unfold-locales (auto 0 4)*

lemma(*in antisymmetric*) *monotone-extreme-imp-extreme-bound-iff*:
fixes *ir (infix \preceq 50)*
assumes $f \text{ ' } C \subseteq A$ **and** *monotone-on* $C (\preceq) (\sqsubseteq) f$ **and** i : *extreme* $C (\preceq) i$
shows *extreme-bound* $A (\sqsubseteq) (f \text{ ' } C) x \longleftrightarrow f i = x$
using *dual.extreme-unique monotone-extreme-extreme-boundI[OF assms]* **by** *auto*

2.9 Instantiations

Finally, we instantiate our classes for sanity check.

```
instance nat :: linorder ..
```

Pointwise ordering of functions are compatible only if the weak part is transitive.

```
instance fun :: (type,qorder) compat
proof (intro-classes, unfold-locales)
  note [simp] = le-fun-def less-fun-def
  fix f g h :: 'a ⇒ 'b
  { assume fg: f ≤ g and gh: g < h
    show f < h
    proof (unfold less-fun-def, intro conjI le-funI notI)
      from fg have f x ≤ g x for x by auto
      also from gh have g x ≤ h x for x by auto
      finally (order.trans) show f x ≤ h x for x.
      assume hf: h ≤ f
      then have h x ≤ f x for x by auto
      also from fg have f x ≤ g x for x by auto
      finally have h ≤ g by auto
      with gh show False by auto
    qed
  }
  { assume fg: f < g and gh: g ≤ h
    show f < h
    proof (unfold less-fun-def, intro conjI le-funI notI)
      from fg have f x ≤ g x for x by auto
      also from gh have g x ≤ h x for x by auto
      finally show f x ≤ h x for x.
      from gh have g x ≤ h x for x by auto
      also assume hf: h ≤ f
      then have h x ≤ f x for x by auto
      finally have g ≤ f by auto
      with fg show False by auto
    qed
  }
  show f < g ⇒ f ≤ g by auto
  show ¬f < f by auto
  show f ≤ f by auto
qed
```

```
instance fun :: (type,qorder) qorder
  apply intro-classes
  apply unfold-locales
  by (auto simp: le-fun-def dest: order.trans)
```

```
instance fun :: (type,porder) porder
  apply intro-classes
```

```

apply unfold-locales
proof (intro ext)
  fix  $f g :: 'a \Rightarrow 'b$  and  $x :: 'a$ 
  assume  $fg: f \leq g$  and  $gf: g \leq f$ 
  then have  $f x \leq g x$  and  $g x \leq f x$  by (auto elim: le-funE)
  from order.antisym[OF this] show  $f x = g x$  by auto
qed

end

```

3 Completeness of Relations

Here we formalize various order-theoretic completeness conditions.

```

theory Complete-Relations
  imports Binary-Relations
begin

```

3.1 Completeness Conditions

Order-theoretic completeness demands certain subsets of elements to admit suprema or infima.

```

definition complete (--complete[999]1000) where
  CC-complete  $A r \equiv \forall X \subseteq A. X \in CC \longrightarrow (\exists s. \textit{extreme-bound } A r X s)$ 

```

```

lemmas completeI = complete-def[unfolded atomize-eq, THEN iffD2, rule-format]
lemmas completeD = complete-def[unfolded atomize-eq, THEN iffD1, rule-format]

```

```

lemma complete-cmono:  $CC \subseteq DD \Longrightarrow DD\text{-complete} \leq CC\text{-complete}$ 
  by (force simp: complete-def)

```

```

lemma complete-empty[simp]:  $CC\text{-complete } \{\} r \longleftrightarrow \{\} \notin CC$  by (auto simp: complete-def)

```

A related set $\langle A, \sqsubseteq \rangle$ is called *topped* if there is a “top” element $\top \in A$, a greatest element in A . Note that there might be multiple tops if \sqsubseteq is not antisymmetric.

```

definition extremed  $A r \equiv \exists e. \textit{extreme } A r e$ 

```

```

lemma extremed-imp-ex-bound:  $\textit{extremed } A r \Longrightarrow X \subseteq A \Longrightarrow \exists b \in A. \textit{bound } X r b$ 
  by (auto simp: extremed-def)

```

```

context
  fixes less-eq ::  $'a \Rightarrow 'a \Rightarrow \textit{bool}$  (infix  $\sqsubseteq$  50)
begin

```

Toppedness can be also seen as a completeness condition, since it is equivalent to saying that the universe has a supremum.

lemma *extremed-iff-UNIV-complete*: $\text{extremed } A \ (\sqsubseteq) \longleftrightarrow \{A\}\text{-complete } A \ (\sqsubseteq)$
(is $?l \longleftrightarrow ?r$)

proof

assume $?l$

then obtain e **where** *extreme* $A \ (\sqsubseteq) \ e$ **by** (*auto simp: extremed-def*)

then have *extreme-bound* $A \ (\sqsubseteq) \ A \ e$ **by** *auto*

then show $?r$ **by** (*auto intro!: completeI*)

next

assume $?r$

from *completeD*[*OF this*] **obtain** s **where** *extreme-bound* $A \ (\sqsubseteq) \ A \ s$ **by** *auto*

then have *extreme* $A \ (\sqsubseteq) \ s$ **by** *auto*

then show $?l$ **by** (*auto simp: extremed-def*)

qed

The dual notion of topped is called “pointed”, equivalently ensuring a supremum of the empty set.

lemma *pointed-iff-empty-complete*: $\text{extremed } A \ (\sqsubseteq) \longleftrightarrow \{\{\}\}\text{-complete } A \ (\sqsubseteq)^-$
by (*auto simp: complete-def extremed-def*)

end

One of the most well-studied notion of completeness would be the semi-lattice condition: every pair of elements x and y has a supremum $x \sqcup y$ (not necessarily unique if the underlying relation is not antisymmetric).

definition *pair-complete* $\equiv \{\{x,y\} \mid x \ y :: 'a\}\text{-complete}$

lemma *pair-completeI*:

assumes $\bigwedge x \ y. x \in A \implies y \in A \implies \exists s. \text{extreme-bound } A \ r \ \{x,y\} \ s$

shows *pair-complete* $A \ r$

using *assms* **by** (*auto simp: pair-complete-def complete-def*)

lemma *pair-completeD*:

assumes *pair-complete* $A \ r$

shows $x \in A \implies y \in A \implies \exists s. \text{extreme-bound } A \ r \ \{x,y\} \ s$

by (*intro completeD*[*OF assms*[*unfolded pair-complete-def*]], *auto*)

context

fixes *less-eq* $:: 'a \Rightarrow 'a \Rightarrow \text{bool}$ (**infix** \sqsubseteq 50)

begin

lemma *pair-complete-imp-directed*:

assumes *comp*: *pair-complete* $A \ (\sqsubseteq)$ **shows** *directed* $A \ (\sqsubseteq)$

proof

fix $x \ y :: 'a$

assume $x \in A \ y \in A$

with *pair-completeD*[*OF comp this*] **show** $\exists z \in A. x \sqsubseteq z \wedge y \sqsubseteq z$ **by** *auto*

qed

end

lemma (in *connex*) *pair-complete*: *pair-complete* A (\sqsubseteq)
proof (*safe intro!*: *pair-completeI*)
 fix $x\ y$
 assume $x: x \in A$ **and** $y: y \in A$
 then show $\exists s. \textit{extreme-bound } A$ (\sqsubseteq) $\{x, y\}$ s
 proof (*cases rule*: *comparable-cases*)
 case *le*
 with $x\ y$ **show** *?thesis* **by** (*auto intro!*: *exI[of - y]*)
 next
 case *ge*
 with $x\ y$ **show** *?thesis* **by** (*auto intro!*: *exI[of - x]*)
 qed
qed

The next one assumes that every nonempty finite set has a supremum.

abbreviation *finite-complete* $\equiv \{X. \textit{finite } X \wedge X \neq \{\}\}$ –*complete*

lemma *finite-complete-le-pair-complete*: *finite-complete* \leq *pair-complete*
by (*unfold pair-complete-def*, *rule complete-cmono*, *auto*)

The next one assumes that every nonempty bounded set has a supremum. It is also called the Dedekind completeness.

abbreviation *conditionally-complete* $A\ r \equiv \{X. \exists b \in A. \textit{bound } X\ r\ b \wedge X \neq \{\}\}$ –*complete* $A\ r$

lemma *conditionally-complete-imp-nonempty-imp-ex-extreme-bound-iff-ex-bound*:
assumes *comp*: *conditionally-complete* $A\ r$
assumes $X \subseteq A$ **and** $X \neq \{\}$
shows $(\exists s. \textit{extreme-bound } A\ r\ X\ s) \longleftrightarrow (\exists b \in A. \textit{bound } X\ r\ b)$
using *assms* **by** (*auto 0 4 intro!*: *completeD[OF comp]*)

The ω -completeness condition demands a supremum for an ω -chain, $a_1 \sqsubseteq a_2 \sqsubseteq \dots$. We model ω -chain as the range of a monotone map $f : i \mapsto a_i$.

definition *omega-complete* $A\ r \equiv \{\textit{range } f \mid f :: \textit{nat} \Rightarrow 'a. \textit{monotone } (\leq)\ r\ f\}$ –*complete* $A\ r$

lemma (in *transitive*) *local-chain*:
assumes *CA*: *range* $C \subseteq A$
shows $(\forall i :: \textit{nat}. C\ i \sqsubseteq C\ (\textit{Suc } i)) \longleftrightarrow \textit{monotone } (<)\ (\sqsubseteq)\ C$
proof (*intro iffI allI monotoneI*)
 fix $i\ j :: \textit{nat}$
 assume *loc*: $\forall i. C\ i \sqsubseteq C\ (\textit{Suc } i)$ **and** *ij*: $i < j$
 have $C\ i \sqsubseteq C\ (i+k+1)$ **for** k
 proof (*induct k*)
 case 0
 from *loc* **show** *?case* **by** *auto*
 next

```

    case (Suc k)
    also have  $C (i+k+1) \sqsubseteq C (i+k+Suc\ 1)$  using loc by auto
    finally show ?case using CA by auto
qed
from this[of j-i-1] ij show  $C\ i \sqsubseteq C\ j$  by auto
next
fix i
assume monotone (<) ( $\sqsubseteq$ ) C
then show  $C\ i \sqsubseteq C (Suc\ i)$  by (auto dest: monotoneD)
qed

```

Directed completeness is an important notion in domain theory [1], asserting that every nonempty directed set has a supremum. Here, a set X is *directed* if any pair of two elements in X has a bound in X .

definition *directed-complete* $A\ r \equiv \{X. \text{directed } X\ r \wedge X \neq \{\}\} \text{--complete } A\ r$

lemma *monotone-directed-complete*:

```

assumes comp: directed-complete A r
    assumes fI:  $f\ ' I \subseteq A$  and dir: directed I ri and I0:  $I \neq \{\}$  and mono:
monotone-on I ri r f
shows  $\exists s. \text{extreme-bound } A\ r (f\ ' I)\ s$ 
apply (rule completeD[OF comp[unfolded directed-complete-def], OF fI])
using monotone-directed-image[OF mono dir] I0 by auto

```

The next one is quite complete, only the empty set may fail to have a supremum. The terminology follows [3], although there it is defined more generally depending on a cardinal α such that a nonempty set X of cardinality below α has a supremum.

abbreviation *semicomplete* $\equiv \{X. X \neq \{\}\} \text{--complete}$

lemma *semicomplete-nonempty-imp-extremed*:

```

semicomplete A r  $\implies A \neq \{\}$   $\implies \text{extremed } A\ r$ 
unfolding extremed-iff-UNIV-complete
using complete-cmono[of {A} {X. X  $\neq \{\}$ }]
by auto

```

lemma *connex-dual-semicomplete*: *semicomplete* $\{C. \text{connex } C\ r\} (\supseteq)$

proof (intro completeI, elim CollectE)

```

fix X
assume  $X \subseteq \{C. \text{connex } C\ r\}$  and  $X \neq \{\}$ 
then have connex  $(\bigcap X)\ r$  by (auto simp: connex-def)
then have extreme-bound  $\{C. \text{connex } C\ r\} (\supseteq) X (\bigcap X)$  by auto
then show  $\exists S. \text{extreme-bound } \{C. \text{connex } C\ r\} (\supseteq) X\ S$  by auto
qed

```

3.2 Pointed Ones

The term ‘pointed’ refers to the dual notion of toppedness, i.e., there is a global least element. This serves as the supremum of the empty set.

lemma *complete-union*: $(CC \cup CC')\text{-complete } A \ r \longleftrightarrow CC\text{-complete } A \ r \wedge CC'\text{-complete } A \ r$

by (*auto simp: complete-def*)

lemma *pointed-directed-complete*:

$\{X. \text{directed } X \ r\}\text{-complete } A \ r \longleftrightarrow \text{directed-complete } A \ r \wedge \text{extremed } A \ r^-$

proof–

have [*simp*]: $\{X. \text{directed } X \ r \wedge X \neq \{\}\} \vee X = \{\}\} = \{X. \text{directed } X \ r\}$ **by** *auto*

show *?thesis*

by (*auto simp: directed-complete-def pointed-iff-empty-complete complete-union[symmetric] Un-def*)

qed

“Bounded complete” refers to pointed conditional complete, but this notion is just the dual of semicompleteness. We prove this later.

abbreviation *bounded-complete* $A \ r \equiv \{X. \exists b \in A. \text{bound } X \ r \ b\}\text{-complete } A \ r$

3.3 Relations between Completeness Conditions

context

fixes *less-eq* :: $'a \Rightarrow 'a \Rightarrow \text{bool}$ (**infix** \sqsubseteq 50)

begin

interpretation *less-eq-notations*.

Pair-completeness implies that the universe is directed. Thus, with directed completeness implies toppedness.

proposition *directed-complete-pair-complete-imp-extremed*:

assumes *dc*: *directed-complete* $A \ (\sqsubseteq)$ **and** *pc*: *pair-complete* $A \ (\sqsubseteq)$ **and** $A: A \neq \{\}$

shows *extremed* $A \ (\sqsubseteq)$

proof–

have $\exists s. \text{extreme-bound } A \ (\sqsubseteq) \ A \ s$

apply (*rule completeD[OF dc[unfolded directed-complete-def]]*)

using *pair-complete-imp-directed[OF pc] A* **by** *auto*

then obtain *t* **where** *extreme-bound* $A \ (\sqsubseteq) \ A \ t$ **by** *auto*

then have $\forall x \in A. x \sqsubseteq t$ **and** $t \in A$ **by** *auto*

then show *?thesis* **by** (*auto simp: extremed-def*)

qed

Semicomplete is conditional complete and topped.

proposition *semicomplete-iff-conditionally-complete-extremed*:

assumes $A: A \neq \{\}$

shows *semicomplete* $A \ (\sqsubseteq) \longleftrightarrow \text{conditionally-complete } A \ (\sqsubseteq) \wedge \text{extremed } A \ (\sqsubseteq)$

(**is** $?l \longleftrightarrow ?r$)

proof (*intro iffI*)

assume $r: ?r$

then have *cc*: *conditionally-complete* $A \ (\sqsubseteq)$ **and** *e*: *extremed* $A \ (\sqsubseteq)$ **by** *auto*

show $?l$

```

proof (intro completeI, unfold mem-Collect-eq)
  fix X
  assume X: X  $\subseteq$  A and X  $\neq$  {}
  with extremed-imp-ex-bound[OF e X]
  show  $\exists s$ . extreme-bound A ( $\sqsubseteq$ ) X s by (auto intro!: completeD[OF cc X])
qed
next
  assume l: ?l
  with semicomplete-nonempty-imp-extremed[OF l] A
  show ?r by (auto intro!: completeI dest: completeD)
qed

```

proposition *complete-iff-pointed-semicomplete:*

```

UNIV-complete A ( $\sqsubseteq$ )  $\longleftrightarrow$  semicomplete A ( $\sqsubseteq$ )  $\wedge$  extremed A ( $\sqsupseteq$ ) (is ?l  $\longleftrightarrow$ 
?r)
by (unfold pointed-iff-empty-complete complete-union[symmetric] Un-def, auto)

```

Conditional completeness only lacks top and bottom to be complete.

proposition *complete-iff-conditionally-complete-extremed-pointed:*

```

UNIV-complete A ( $\sqsubseteq$ )  $\longleftrightarrow$  conditionally-complete A ( $\sqsubseteq$ )  $\wedge$  extremed A ( $\sqsubseteq$ )  $\wedge$ 
extremed A ( $\sqsupseteq$ )
unfolding complete-iff-pointed-semicomplete
apply (cases A = {})
apply (auto intro!: completeI dest: extremed-imp-ex-bound)[1]
unfolding semicomplete-iff-conditionally-complete-extremed
apply (auto intro:completeI)
done

```

If the universe is directed, then every pair is bounded, and thus has a supremum. On the other hand, supremum gives an upper bound, witnessing directedness.

proposition *conditionally-complete-imp-pair-complete-iff-directed:*

```

assumes comp: conditionally-complete A ( $\sqsubseteq$ )
shows pair-complete A ( $\sqsubseteq$ )  $\longleftrightarrow$  directed A ( $\sqsubseteq$ ) (is ?l  $\longleftrightarrow$  ?r)
proof(intro iffI)
  assume ?r
  then show ?l
    by (auto intro!: pair-completeI completeD[OF comp] elim: directedE)
next
  assume pc: ?l
  show ?r
  proof (intro directedI)
    fix x y assume x  $\in$  A and y  $\in$  A
    with pc obtain z where extreme-bound A ( $\sqsubseteq$ ) {x,y} z by (auto dest:
pair-completeD)
    then show  $\exists z \in A$ . x  $\sqsubseteq$  z  $\wedge$  y  $\sqsubseteq$  z by auto
  qed
qed

```


end

Following is a new generalization of (weak) chain-completeness:

abbreviation *well-complete* $A r \equiv \{X. \text{well-related-set } X r\}$ –*complete* $A r$

3.4 Duality of Completeness Conditions

Conditional completeness is symmetric.

context fixes *less-eq* :: 'a \Rightarrow 'a \Rightarrow bool (**infix** \sqsubseteq 50)
begin

interpretation *less-eq-notations*.

lemma *conditionally-complete-dual*:

assumes *comp*: *conditionally-complete* $A (\sqsubseteq)$ **shows** *conditionally-complete* $A (\supseteq)$

proof (*intro completeI, elim CollectE*)

fix X **assume** $XA: X \subseteq A$

define B **where** [*simp*]: $B \equiv \{b \in A. \text{bound } X (\supseteq) b\}$

assume *bound*: $\exists b \in A. \text{bound } X (\supseteq) b \wedge X \neq \{\}$

with *in-mono*[*OF* XA] **have** $B: B \subseteq A$ **and** $\exists b \in A. \text{bound } B (\sqsubseteq) b$ **and** $B \neq \{\}$ **by** *auto*

from *comp*[*THEN* *completeD*, *OF* B] *this*

obtain s **where** $s \in A$ **and** *extreme-bound* $A (\sqsubseteq) B s$ **by** *auto*

with *in-mono*[*OF* XA] **show** $\exists s. \text{extreme-bound } A (\supseteq) X s$ **by** (*intro exI*[*of* - s] *extremeI*, *auto*)

qed

Full completeness is symmetric.

lemma *complete-dual*:

UNIV–*complete* $A (\sqsubseteq) \implies \text{UNIV}$ –*complete* $A (\supseteq)$

apply (*unfold complete-iff-conditionally-complete-extremed-pointed*)

using *conditionally-complete-dual* **by** *auto*

Now we show that bounded completeness is the dual of semicompleteness.

lemma *pointed-conditionally-complete-iff-bounded-complete*:

assumes $A: A \neq \{\}$

shows *conditionally-complete* $A (\sqsubseteq) \wedge \text{extremed } A (\supseteq) \longleftrightarrow \text{bounded-complete } A (\sqsubseteq)$

apply (*unfold pointed-iff-empty-complete*)

apply (*fold complete-union*)

apply (*unfold Un-def*)

apply (*rule arg-cong*[*of* - - $\lambda CC. \text{CC}$ –*complete* $A (\sqsubseteq)$])

using A **by** *auto*

proposition *bounded-complete-iff-dual-semicomplete*:

bounded-complete $A (\sqsubseteq) \longleftrightarrow \text{semicomplete } A (\supseteq)$

proof (*cases* $A = \{\}$)

```

case True
then show ?thesis by auto
next
case False
then show ?thesis
  apply (fold pointed-conditionally-complete-iff-bounded-complete[OF False])
  apply (unfold semicomplete-iff-conditionally-complete-extremed)
  using Complete-Relations.conditionally-complete-dual by auto
qed

end

```

lemmas *connex-bounded-complete = connex-dual-semicomplete*[*folded bounded-complete-iff-dual-semicomplete*]

3.5 Completeness Results Requiring Order-Like Properties

Above results hold without any assumption on the relation. This part demands some order-like properties.

It is well known that in a semilattice, i.e., a pair-complete partial order, every finite nonempty subset of elements has a supremum. We prove the result assuming transitivity, but only that.

lemma (*in transitive*) *pair-complete-iff-finite-complete*:

pair-complete $A \sqsubseteq \longleftrightarrow$ *finite-complete* $A \sqsubseteq$ (**is** $?l \longleftrightarrow ?r$)

proof (*intro iffI completeI, elim CollectE conjE*)

fix X

assume $pc: ?l$

show *finite* $X \implies X \subseteq A \implies X \neq \{\} \implies \exists x$ (*extreme-bound* $A \sqsubseteq X$)

proof (*induct X rule: finite-induct*)

case *empty*

then show *?case* **by** *auto*

next

case (*insert x X*)

then have $x: x \in A$ **and** $X: X \subseteq A$ **by** *auto*

show *?case*

proof (*cases X = \{\}*)

case *True*

obtain x' **where** *extreme-bound* $A \sqsubseteq \{x, x\}$ x' **using** pc [*THEN pair-completeD, OF x x*] **by** *auto*

with *True* **show** *?thesis* **by** (*auto intro!: exI[of - x']*)

next

case *False*

with *insert* **obtain** b **where** $b: \text{extreme-bound } A \sqsubseteq X$ b **by** *auto*

with pc [*THEN pair-completeD*] x **obtain** c **where** $c: \text{extreme-bound } A \sqsubseteq$

$\{x, b\}$ c **by** *auto*

from c **have** $cA: c \in A$ **and** $xc: x \sqsubseteq c$ **and** $bc: b \sqsubseteq c$ **by** *auto*

from b **have** $bA: b \in A$ **and** $bX: \text{bound } X \sqsubseteq b$ **by** *auto*

show *?thesis*

proof (*intro exI extreme-boundI*)

```

fix xb assume xb: xb ∈ insert x X
from bound-trans[OF bX bc X bA cA] have bound X (⊆) c.
with xb xc show xb ⊆ c by auto
next
fix d assume bound (insert x X) (⊆) d and dA: d ∈ A
with b have bound {x,b} (⊆) d by auto
with c show c ⊆ d using dA by auto
qed (fact cA)
qed
qed (insert finite-complete-le-pair-complete, auto)

```

Gierz et al. [6] showed that a directed complete partial order is semicomplete if and only if it is also a semilattice. We generalize the claim so that the underlying relation is only transitive.

proposition(*in transitive*) *semicomplete-iff-directed-complete-pair-complete*:
semicomplete A (⊆) ↔ directed-complete A (⊆) ∧ pair-complete A (⊆) (*is ?l*
 $\longleftrightarrow ?r$)

```

proof (intro iffI)
  assume l: ?l
  then show ?r by (auto simp: directed-complete-def intro!: completeI pair-completeI  

completeD[OF l])
next
  assume ?r
  then have dc: directed-complete A (⊆) and pc: pair-complete A (⊆) by auto
  with pair-complete-iff-finite-complete have fc: finite-complete A (⊆) by auto
  show ?l
  proof (intro completeI, elim CollectE)
    fix X assume XA: X ⊆ A
    have 1: directed {x. ∃ Y ⊆ X. finite Y ∧ Y ≠ {}} ∧ extreme-bound A (⊆) Y x  

(⊆) (is directed ?B -)
    proof (intro directedI)
      fix a b assume a: a ∈ ?B and b: b ∈ ?B
      from a obtain Y where Y: extreme-bound A (⊆) Y a finite Y Y ≠ {} Y ⊆  

X by auto
      from b obtain B where B: extreme-bound A (⊆) B b finite B B ≠ {} B ⊆  

X by auto
      from XA Y B have AB: Y ⊆ A B ⊆ A finite (Y ∪ B) Y ∪ B ≠ {} Y ∪ B  

 $\subseteq X$  by auto
      with fc[THEN completeD] have Ex (extreme-bound A (⊆) (Y ∪ B)) by auto
      then obtain c where c: extreme-bound A (⊆) (Y ∪ B) c by auto
      show  $\exists c \in ?B. a \subseteq c \wedge b \subseteq c$ 
      proof (intro bexI conjI)
        from Y B c show a ⊆ c and b ⊆ c by (auto simp: extreme-bound-iff)
        from AB c show c ∈ ?B by (auto intro!: exI[of - Y ∪ B])
      qed
    qed
  have B: ?B ⊆ A by auto
  assume X ≠ {}

```

then obtain x where $xX: x \in X$ by auto
with $fc[THEN\ completeD, of\ \{x\}]\ XA$
obtain x' where $extreme-bound\ A\ (\sqsubseteq)\ \{x\}\ x'$ by auto
with xX have $x'B: x' \in ?B$ by (auto intro!: exI[of - {x}] extreme-boundI)
then have 2: $?B \neq \{\}$ by auto
from $dc[unfolded\ directed-complete-def, THEN\ completeD, of\ ?B]\ 1\ 2$
obtain b where $b: extreme-bound\ A\ (\sqsubseteq)\ ?B\ b$ by auto
then have $bA: b \in A$ by auto
show Ex ($extreme-bound\ A\ (\sqsubseteq)\ X$)
proof (intro exI extreme-boundI UNIV-I)
fix x
assume $xX: x \in X$
with $XA\ fc[THEN\ completeD, of\ \{x\}]$
obtain c where $c: extreme-bound\ A\ (\sqsubseteq)\ \{x\}\ c$ by auto
then have $cA: c \in A$ and $xc: x \sqsubseteq c$ by auto
from $c\ xX$ have $cB: c \in ?B$ by (auto intro!: exI[of - {x}] extreme-boundI)
with b have $bA: b \in A$ and $cb: c \sqsubseteq b$ by auto
from $xX\ XA\ cA\ bA\ trans[OF\ xc\ cb]$
show $x \sqsubseteq b$ by auto

Here transitivity is needed.

next
fix x
assume $xA: x \in A$ and $Xx: bound\ X\ (\sqsubseteq)\ x$
have $bound\ ?B\ (\sqsubseteq)\ x$
proof (intro boundI UNIV-I, clarify)
fix $c\ Y$
assume $finite\ Y$ and $YX: Y \subseteq X$ and $Y \neq \{\}$ and $c: extreme-bound\ A$
(\sqsubseteq) $Y\ c$
from $YX\ Xx$ have $bound\ Y\ (\sqsubseteq)\ x$ by auto
with $c\ xA$ show $c \sqsubseteq x$ by auto
qed
with $b\ xA$ show $b \sqsubseteq x$ by auto
qed (fact bA)
qed
qed

The last argument in the above proof requires transitivity, but if we had reflexivity then x itself is a supremum of $\{x\}$ (see $\llbracket reflexive\ ?A\ ?less-eq;\ ?x \in ?A \rrbracket \implies extreme-bound\ ?A\ ?less-eq\ \{?x\}\ ?x$) and so $x \sqsubseteq s$ would be immediate. Thus we can replace transitivity by reflexivity, but then pair-completeness does not imply finite completeness. We obtain the following result.

proposition (in reflexive) semicomplete-iff-directed-complete-finite-complete:
 $semicomplete\ A\ (\sqsubseteq) \longleftrightarrow directed-complete\ A\ (\sqsubseteq) \wedge finite-complete\ A\ (\sqsubseteq)$ (is ?l
 $\longleftrightarrow ?r$)
proof (intro iffI)
assume $l: ?l$

```

then show ?r by (auto simp: directed-complete-def intro!: completeI pair-completeI
completeD[OF l])
next
  assume ?r
  then have dc: directed-complete A ( $\sqsubseteq$ ) and fc: finite-complete A ( $\sqsubseteq$ ) by auto
  show ?l
  proof (intro completeI, elim CollectE)
    fix X assume XA: X  $\subseteq$  A
    have 1: directed {x.  $\exists Y \subseteq X$ . finite Y  $\wedge$  Y  $\neq$  {}  $\wedge$  extreme-bound A ( $\sqsubseteq$ ) Y x}
    ( $\sqsubseteq$ ) (is directed ?B -)
    proof (intro directedI)
      fix a b assume a: a  $\in$  ?B and b: b  $\in$  ?B
      from a obtain Y where Y: extreme-bound A ( $\sqsubseteq$ ) Y a finite Y Y  $\neq$  {} Y  $\subseteq$ 
X by auto
      from b obtain B where B: extreme-bound A ( $\sqsubseteq$ ) B b finite B B  $\neq$  {} B  $\subseteq$ 
X by auto
      from XA Y B have AB: Y  $\subseteq$  A B  $\subseteq$  A finite (Y  $\cup$  B) Y  $\cup$  B  $\neq$  {} Y  $\cup$  B
 $\subseteq$  X by auto
      with fc[THEN completeD] have Ex (extreme-bound A ( $\sqsubseteq$ ) (Y  $\cup$  B)) by auto
      then obtain c where c: extreme-bound A ( $\sqsubseteq$ ) (Y  $\cup$  B) c by auto
      show  $\exists c \in ?B$ . a  $\sqsubseteq$  c  $\wedge$  b  $\sqsubseteq$  c
      proof (intro beXI conjI)
        from Y B c show a  $\sqsubseteq$  c and b  $\sqsubseteq$  c by (auto simp: extreme-bound-iff)
        from AB c show c  $\in$  ?B by (auto intro!: exI[of - Y  $\cup$  B])
      qed
    qed
  have B: ?B  $\subseteq$  A by auto
  assume X  $\neq$  {}
  then obtain x where xX: x  $\in$  X by auto
  with XA have extreme-bound A ( $\sqsubseteq$ ) {x} x
  by (intro extreme-bound-singleton, auto)
  with xX have xB: x  $\in$  ?B by (auto intro!: exI[of - {x}])
  then have 2: ?B  $\neq$  {} by auto
  from dc[unfolded directed-complete-def, THEN completeD, of ?B] B 1 2
  obtain b where b: extreme-bound A ( $\sqsubseteq$ ) ?B b by auto
  then have bA: b  $\in$  A by auto
  show Ex (extreme-bound A ( $\sqsubseteq$ ) X)
  proof (intro exI extreme-boundI UNIV-I)
    fix x
    assume xX: x  $\in$  X
    with XA have x: extreme-bound A ( $\sqsubseteq$ ) {x} x by (intro extreme-bound-singleton,
auto)
    from x xX have cB: x  $\in$  ?B by (auto intro!: exI[of - {x}])
    with b show x  $\sqsubseteq$  b by auto
  next
    fix x
    assume Xx: bound X ( $\sqsubseteq$ ) x and xA: x  $\in$  A
    have bound ?B ( $\sqsubseteq$ ) x
    proof (intro boundI UNIV-I, clarify)

```

```

    fix c Y
    assume finite Y and YX: Y ⊆ X and Y ≠ {} and c: extreme-bound A
(⊆) Y c
    from YX Xx have bound Y (⊆) x by auto
    with YX XA xA c show c ⊆ x by auto
    qed
    with xA b show b ⊆ x by auto
    qed (fact bA)
  qed
qed

```

3.6 Relating to Classes

Isabelle's class *complete-lattice* is *UNIV-complete*.

lemma (in *complete-lattice*) *UNIV-complete UNIV (≤)*
 by (auto intro!: completeI Sup-upper Sup-least Inf-lower Inf-greatest)

3.7 Set-wise Completeness

lemma *directed-sets-directed-complete*:

```

    assumes cl: ∀ DC. DC ⊆ AA ⟶ (∀ X∈DC. directed X r) ⟶ (⋃ DC) ∈ AA
    shows {DC. directed DC (⊆)}-complete {X ∈ AA. directed X r} (⊆)
proof (intro completeI, safe)
  fix XX
  assume ch: XX ⊆ {X ∈ AA. directed X r} and dir: directed XX (⊆)
  with cl have (⋃ XX) ∈ AA by auto
  moreover have directed (⋃ XX) r
  proof (intro directedI, elim UnionE)
    fix x y X Y assume xX: x ∈ X and X: X ∈ XX and yY: y ∈ Y and Y: Y
    ∈ XX
    from directedD[OF dir X Y]
    obtain Z where X ⊆ Z Y ⊆ Z and Z: Z ∈ XX by auto
    with ch xX yY have directed Z r x ∈ Z y ∈ Z by auto
    then obtain z where z ∈ Z r x z ∧ r y z by (auto elim:directedE)
    with Z show ∃ z∈⋃ XX. r x z ∧ r y z by auto
  qed
  ultimately have extreme-bound {X ∈ AA. directed X r} (⊆) XX (⋃ XX) by
  auto
  then show Ex (extreme-bound {X ∈ AA. directed X r} (⊆) XX) by auto
qed

```

lemma *connex-directed-Un*:

```

    assumes ch: CC ⊆ {C. connex C r} and dir: directed CC (⊆)
    shows connex (⋃ CC) r
proof (intro connexI, elim UnionE)
  fix x y X Y assume xX: x ∈ X and X: X ∈ CC and yY: y ∈ Y and Y: Y ∈
  CC
  from directedD[OF dir X Y]
  obtain Z where X ⊆ Z Y ⊆ Z Z ∈ CC by auto

```

with $xX yY$ **ch have** $x \in Z y \in Z$ *connex* $Z r$ **by** *auto*
then show $r x y \vee r y x$ **by** (*auto elim:connexE*)
qed

lemma *connex-directed-complete*: $\{DC. \text{directed } DC (\subseteq)\}$ –*complete* $\{C. \text{connex } C r\} (\subseteq)$
by (*intro completeI, force dest!: connex-directed-Un*)

end

theory *Fixed-Points*
imports *Complete-Relations*
begin

4 Existence of Fixed Points in Complete Related Sets

The following proof is simplified and generalized from Stouti–Maaden [16]. We construct some set whose extreme bounds – if they exist, typically when the underlying related set is complete – are fixed points of a monotone or inflationary function on any related set. When the related set is attractive, those are actually the least fixed points. This generalizes [16], relaxing reflexivity and antisymmetry.

locale *fixed-point-proof = related-set +*
fixes f
assumes $f : f ' A \subseteq A$
begin

sublocale *less-eq-notations.*

definition *AA* **where** $AA \equiv$
 $\{X. X \subseteq A \wedge f ' X \subseteq X \wedge (\forall Y s. Y \subseteq X \longrightarrow \text{extreme-bound } A (\sqsubseteq) Y s \longrightarrow s \in X)\}$

lemma *AA-I*:
 $X \subseteq A \Longrightarrow f ' X \subseteq X \Longrightarrow (\bigwedge Y s. Y \subseteq X \Longrightarrow \text{extreme-bound } A (\sqsubseteq) Y s \Longrightarrow s \in X) \Longrightarrow X \in AA$
by (*unfold AA-def, safe*)

lemma *AA-E*:
 $X \in AA \Longrightarrow$
 $(X \subseteq A \Longrightarrow f ' X \subseteq X \Longrightarrow (\bigwedge Y s. Y \subseteq X \Longrightarrow \text{extreme-bound } A (\sqsubseteq) Y s \Longrightarrow s \in X) \Longrightarrow \text{thesis}) \Longrightarrow \text{thesis}$
by (*auto simp: AA-def*)

definition *C* **where** $C \equiv \bigcap AA$

lemma *A-AA*: $A \in AA$ **by** (*auto intro!*:*AA-I f*)

lemma *C-AA*: $C \in AA$

proof (*intro AA-I*)

show $C \subseteq A$ **using** *C-def A-AA f* **by** *auto*

show $f' C \subseteq C$ **unfolding** *C-def AA-def* **by** *auto*

fix $B b$ **assume** $B: B \subseteq C$ *extreme-bound A* (\sqsubseteq) $B b$

{ **fix** X **assume** $X: X \in AA$

with B **have** $B \subseteq X$ **by** (*auto simp: C-def*)

with $X B$ **have** $b \in X$ **by** (*auto elim!*: *AA-E*)

}

then show $b \in C$ **by** (*auto simp: C-def AA-def*)

qed

lemma *CA*: $C \subseteq A$ **using** *A-AA* **by** (*auto simp: C-def*)

lemma *fC*: $f' C \subseteq C$ **using** *C-AA* **by** (*auto elim!*: *AA-E*)

context

fixes c **assumes** Cc : *extreme-bound A* (\sqsubseteq) $C c$

begin

private lemma *cA*: $c \in A$ **using** Cc **by** *auto*

private lemma *cC*: $c \in C$ **using** Cc *C-AA* **by** (*blast elim!*:*AA-E*)

private lemma *fcC*: $f c \in C$ **using** cC *AA-def C-AA* **by** *auto*

private lemma *fcA*: $f c \in A$ **using** *fcC CA* **by** *auto*

lemma *gfp-as-extreme-bound*:

assumes *infl-mono*: $\forall x \in A. x \sqsubseteq f x \vee (\forall y \in A. y \sqsubseteq x \longrightarrow f y \sqsubseteq f x)$

shows $f c \sim c$

proof (*intro conjI bexI sympartpI*)

show $f c \sqsubseteq c$ **using** *fcC Cc* **by** *auto*

from *infl-mono*[*rule-format, OF cA*]

show $c \sqsubseteq f c$

proof (*safe*)

Monotone case:

assume *mono*: $\forall b \in A. b \sqsubseteq c \longrightarrow f b \sqsubseteq f c$

define D **where** $D \equiv \{x \in C. x \sqsubseteq f c\}$

have $D \in AA$

proof (*intro AA-I*)

show $D \subseteq A$ **unfolding** *D-def C-def* **using** *A-AA f* **by** *auto*

have *fxC*: $x \in C \implies x \sqsubseteq f c \implies f x \in C$ **for** x **using** *C-AA* **by** (*auto simp:*

AA-def)

show $f' D \subseteq D$

proof (*unfold D-def, safe intro!*: *fxC*)

fix x **assume** $x \in C$

have $x \sqsubseteq c$ $x \in A$ **using** Cc $x \in C$ *CA* **by** *auto*


```

    then show  $f x \sqsubseteq f c$  using mono by (auto dest:monotoneD)
  qed
  have  $DC: D \subseteq C$  unfolding D-def by auto
  fix  $B b$  assume  $BD: B \subseteq D$  and  $Bb: \text{extreme-bound } A (\sqsubseteq) B b$ 
  have  $B \subseteq C$  using  $DC BD$  by auto
  then have  $bC: b \in C$  using C-AA Bb BD by (auto elim!: AA-E)
  have  $bfc: \forall a \in B. a \sqsubseteq f c$  using  $BD$  unfolding D-def by auto
  with  $f c A B b$ 
  have  $b \sqsubseteq f c$  by (auto simp: extreme-def image-subset-iff)
  with  $bC$  show  $b \in D$  unfolding D-def by auto
  qed
  then have  $C \subseteq D$  unfolding C-def by auto
  then show  $c \sqsubseteq f c$  using  $cC$  unfolding D-def by auto
  qed
  qed

lemma extreme-qfp:
  assumes attract:  $\forall q \in A. \forall x \in A. f q \sim q \longrightarrow x \sqsubseteq f q \longrightarrow x \sqsubseteq q$ 
    and mono: monotone-on  $A (\sqsubseteq) (\sqsubseteq) f$ 
  shows extreme  $\{q \in A. f q \sim q \vee f q = q\} (\exists) c$ 
  proof-
    have  $fcc: f c \sim c$ 
      apply (rule qfp-as-extreme-bound)
      using mono by (auto elim!: monotone-onE)
    define  $L$  where [simp]:  $L \equiv \{a \in A. \forall s \in A. (f s \sim s \vee f s = s) \longrightarrow a \sqsubseteq s\}$ 
    have  $L \in AA$ 
  proof (unfold AA-def, intro CollectI conjI allI impI)
    show  $XA: L \subseteq A$  by auto
    show  $f ' L \subseteq L$ 
  proof safe
    fix  $x$  assume  $xL: x \in L$ 
    show  $f x \in L$ 
  proof (unfold L-def, safe)
    have  $xA: x \in A$  using  $xL$  by auto
    then show  $fxA: f x \in A$  using  $f$  by auto
    { fix  $s$  assume  $sA: s \in A$  and  $sf: f s \sim s \vee f s = s$ 
      then have  $x \sqsubseteq s$  using  $xL sA sf$  by auto
      then have  $f x \sqsubseteq f s$  using mono  $fxA sA xA$  by (auto elim!: monotone-onE)}
    note  $fxfs = \text{this}$ 
    { fix  $s$  assume  $sA: s \in A$  and  $sf: f s \sim s$ 
      then show  $f x \sqsubseteq s$  using  $fxfs$  attract mono  $sf$   $fxA sA xA$  by (auto
elim!: monotone-onE)
    }
    { fix  $s$  assume  $sA: s \in A$  and  $sf: f s = s$ 
      with  $fxfs[OF sA]$  show  $f x \sqsubseteq s$  by simp}
  qed
  qed
  fix  $B b$  assume  $BL: B \subseteq L$  and  $b: \text{extreme-bound } A (\sqsubseteq) B b$ 
  then have  $BA: B \subseteq A$  by auto

```

```

with BL b have bA: b ∈ A by auto
show b ∈ L
proof (unfold L-def, safe intro!: bA)
  { fix s assume sA: s ∈ A and sf: f s ~ s ∨ f s = s
    have bound B (⊆) s using sA BL b sf by auto
  }
  note Bs = this
  { fix s assume sA: s ∈ A and sf: f s ~ s
    with b sA Bs show b ⊆ s by auto
  }
  { fix s assume sA: s ∈ A and sf: f s = s
    with b sA Bs show b ⊆ s by auto
  }
qed
qed
then have C ⊆ L by (simp add: C-def Inf-lower)
with cC have c ∈ L by auto
with L-def fcc
show ?thesis by auto
qed

end

lemma ex-qfp:
  assumes comp: CC-complete A (⊆) and C: C ∈ CC
    and infl-mono: ∀ a ∈ A. a ⊆ f a ∨ (∀ b ∈ A. b ⊆ a → f b ⊆ f a)
  shows ∃ s ∈ A. f s ~ s
  using qfp-as-extreme-bound[OF - infl-mono] completeD[OF comp CA C] by auto

lemma ex-extreme-qfp-fp:
  assumes comp: CC-complete A (⊆) and C: C ∈ CC
    and attract: ∀ q ∈ A. ∀ x ∈ A. f q ~ q → x ⊆ f q → x ⊆ q
    and mono: monotone-on A (⊆) (⊆) f
  shows ∃ c. extreme {q ∈ A. f q ~ q ∨ f q = q} (⊆) c
  using extreme-qfp[OF - attract mono] completeD[OF comp CA C] by auto

lemma ex-extreme-qfp:
  assumes comp: CC-complete A (⊆) and C: C ∈ CC
    and attract: ∀ q ∈ A. ∀ x ∈ A. f q ~ q → x ⊆ f q → x ⊆ q
    and mono: monotone-on A (⊆) (⊆) f
  shows ∃ c. extreme {q ∈ A. f q ~ q} (⊆) c
proof-
  from completeD[OF comp CA C]
  obtain c where Cc: extreme-bound A (⊆) C c by auto
  from extreme-qfp[OF Cc attract mono]
  have Qc: bound {q ∈ A. f q ~ q} (⊆) c by auto
  have fcc: f c ~ c
  apply (rule qfp-as-extreme-bound[OF Cc])
  using mono by (auto simp: monotone-onD)

```

from $Cc\ CA$ **have** $cA: c \in A$ **by** *auto*
from $Qc\ fcc\ cA$ **show** *?thesis* **by** (*auto intro!*: $exI[of - c]$)
qed

end

context

fixes $less\ eq :: 'a \Rightarrow 'a \Rightarrow bool$ (**infix** \sqsubseteq 50) **and** $A :: 'a\ set$ **and** f
assumes $f: f ' A \subseteq A$
begin

interpretation *less-eq-notations.*

interpretation *fixed-point-proof* $A (\sqsubseteq) f$ **using** f **by** *unfold-locales*

theorem *complete-infl-mono-imp-ex-qfp:*

assumes $comp: UNIV\text{-complete}\ A (\sqsubseteq)$ **and** $infl\ mono: \forall a \in A. a \sqsubseteq f\ a \vee (\forall b \in A. b \sqsubseteq a \longrightarrow f\ b \sqsubseteq f\ a)$
shows $\exists s \in A. f\ s \sim s$
apply (*rule ex-qfp[OF comp - infl-mono]*) **by** *auto*

end

corollary (**in** *antisymmetric*) *complete-infl-mono-imp-ex-fp:*

assumes $comp: UNIV\text{-complete}\ A (\sqsubseteq)$ **and** $f: f ' A \subseteq A$
and $infl\ mono: \forall a \in A. a \sqsubseteq f\ a \vee (\forall b \in A. b \sqsubseteq a \longrightarrow f\ b \sqsubseteq f\ a)$
shows $\exists s \in A. f\ s = s$

proof-

interpret *less-eq-notations.*

from *complete-infl-mono-imp-ex-qfp*[*OF f comp infl-mono*]

obtain s **where** $sA: s \in A$ **and** $fss: f\ s \sim s$ **by** *auto*

from $f\ sA$ **have** $fsA: f\ s \in A$ **by** *auto*

have $f\ s = s$ **using** *antisym fsA sA fss* **by** *auto*

with sA **show** *?thesis* **by** *auto*

qed

context *semiattractive* **begin**

interpretation *less-eq-notations.*

theorem *complete-mono-imp-ex-extreme-qfp:*

assumes $comp: UNIV\text{-complete}\ A (\sqsubseteq)$ **and** $f: f ' A \subseteq A$
and $mono: monotone\ on\ A (\sqsubseteq) (\sqsubseteq) f$
shows $\exists s. extreme\ \{p \in A. f\ p \sim p\} (\sqsubseteq) s$

proof-

interpret *dual: fixed-point-proof* $A (\sqsupseteq)$ **rewrites** $dual.sym = (\sim)$

using f **by** *unfold-locales (auto intro!:ext)*

show *?thesis*

apply (*rule dual.ex-extreme-qfp*[*OF complete-dual*[*OF comp*] - - *monotone-on-dual*[*OF mono*]])

apply *simp*
using *f sym-order-trans* **by** *blast*
qed

end

corollary (**in** *antisymmetric*) *complete-mono-imp-ex-extreme-fp*:

assumes *comp*: *UNIV-complete* A (\sqsubseteq) **and** $f: f' A \subseteq A$

and *mono*: *monotone-on* A (\sqsubseteq) (\sqsubseteq) f

shows $\exists s. \text{extreme } \{s \in A. f s = s\} (\sqsubseteq)^- s$

proof–

interpret *less-eq-notations*.

interpret *fixed-point-proof* A (\sqsubseteq) f **using** f **by** *unfold-locales*

have $\exists c. \text{extreme } \{q \in A. f q \sim q \vee f q = q\} (\sqsubseteq) c$

apply (*rule ex-extreme-qfp-fp*[*OF comp - - mono*])

using *antisym* f **by** (*auto dest: order-sym-trans*)

then obtain c **where** $c: \text{extreme } \{q \in A. f q \sim q \vee f q = q\} (\sqsubseteq) c$ **by** *auto*

then have $f c = c$ **using** *antisym* f **by** *blast*

with c **have** *extreme* $\{q \in A. f q = q\} (\sqsubseteq) c$ **by** *auto*

then show *?thesis* **by** *auto*

qed

5 Fixed Points in Well-Complete Antisymmetric Sets

In this section, we prove that an inflationary or monotone map over a well-complete antisymmetric set has a fixed point.

In order to formalize such a theorem in Isabelle, we followed Grall's [?] elementary proof for Bourbaki–Witt and Markowsky's theorems. His idea is to consider well-founded derivation trees over A , where from a set $C \subseteq A$ of premises one can derive $f(\bigsqcup C)$ if C is a chain. The main observation is as follows: Let D be the set of all the derivable elements; that is, for each $d \in D$ there exists a well-founded derivation whose root is d . It is shown that D is a chain, and hence one can build a derivation yielding $f(\bigsqcup D)$, and $f(\bigsqcup D)$ is shown to be a fixed point.

lemma *bound-monotone-on*:

assumes *mono*: *monotone-on* A r s f **and** $XA: X \subseteq A$ **and** $aA: a \in A$ **and** rXa :
bound X r a

shows *bound* $(f'X)$ s $(f a)$

proof (*safe*)

fix x **assume** $xX: x \in X$

from rXa xX **have** $r x a$ **by** *auto*

with xX XA *mono* aA **show** $s (f x)$ $(f a)$ **by** (*auto elim!: monotone-onE*)

qed

context *fixed-point-proof* **begin**

To avoid the usage of the axiom of choice, we carefully define derivations so that any derivable element determines its lower set. This led to the following definition:

definition *derivation* $X \equiv X \subseteq A \wedge \text{well-ordered-set } X (\sqsubseteq) \wedge$
 $(\forall x \in X. \text{let } Y = \{y \in X. y \sqsubset x\} \text{ in}$
 $(\exists y. \text{extreme } Y (\sqsubseteq) y \wedge x = f y) \vee$
 $f ' Y \subseteq Y \wedge \text{extreme-bound } A (\sqsubseteq) Y x)$

lemma *assumes derivation P*

shows *derivation-A: $P \subseteq A$ and derivation-well-ordered: well-ordered-set P (\sqsubseteq)*
using *assms by (auto simp: derivation-def)*

lemma *derivation-cases[consumes 2, case-names suc lim]:*

assumes *derivation X and $x \in X$*
and $\bigwedge Y y. Y = \{y \in X. y \sqsubset x\} \implies \text{extreme } Y (\sqsubseteq) y \implies x = f y \implies \text{thesis}$
and $\bigwedge Y. Y = \{y \in X. y \sqsubset x\} \implies f ' Y \subseteq Y \implies \text{extreme-bound } A (\sqsubseteq) Y x$
 $\implies \text{thesis}$
shows *thesis*
using *assms unfolding derivation-def Let-def by auto*

definition *derivable* $x \equiv \exists X. \text{derivation } X \wedge x \in X$

lemma *derivableI[intro?]: derivation X $\implies x \in X \implies$ derivable x by (auto simp: derivable-def)*

lemma *derivableE: derivable x $\implies (\bigwedge P. \text{derivation } P \implies x \in P \implies \text{thesis}) \implies$*
thesis
by *(auto simp: derivable-def)*

lemma *derivable-A: derivable x $\implies x \in A$ by (auto elim: derivableE dest:derivation-A)*

lemma *UN-derivations-eq-derivable: $(\bigcup \{P. \text{derivation } P\}) = \{x. \text{derivable } x\}$*
by *(auto simp: derivable-def)*

context

assumes *ord: antisymmetric A (\sqsubseteq)*

begin

interpretation *antisymmetric using ord.*

lemma *derivation-lim:*

assumes *P: derivation P and fP: $f ' P \subseteq P$ and Pp: extreme-bound A (\sqsubseteq) P p*
shows *derivation $(P \cup \{p\})$*

proof *(cases $p \in P$)*

case *True*

with *P show ?thesis by (auto simp: insert-absorb)*

next

case *pP: False*

interpret *P: well-ordered-set P (\sqsubseteq) using derivation-well-ordered[OF P].*

have *PA: $P \subseteq A$ using derivation-A[OF P].*

```

from  $Pp$  have  $pA$ :  $p \in A$  by auto
have  $bp$ : bound  $P$  ( $\sqsubseteq$ )  $p$  using  $Pp$  by auto
then have  $pp$ :  $p \sqsubseteq p$  using  $Pp$  by auto
have  $1$ :  $y \in P \longrightarrow \{x. (x = p \vee x \in P) \wedge x \sqsubset y\} = \{x \in P. x \sqsubset y\}$  for  $y$ 
  using  $Pp$  by (auto dest:extreme-bound-bound)
{ fix  $x$  assume  $xP$ :  $x \in P$  and  $px$ :  $p \sqsubseteq x$ 
  from  $xP$   $Pp$  have  $x \sqsubseteq p$  by auto
  with  $px$  have  $p = x$  using  $xP$   $PA$   $pA$  by (auto intro!: antisym)
  with  $xP$   $pP$ 
  have False by auto
}
note  $2 = \text{this}$ 
then have  $3$ :  $\{x. (x = p \vee x \in P) \wedge x \sqsubset p\} = P$  using  $Pp$  by (auto intro!:
asymptpI)
  have  $wr$ : well-ordered-set  $(P \cup \{p\})$  ( $\sqsubseteq$ )
  apply (rule well-order-extend[OF P.well-ordered-set-axioms])
  using  $pp$   $bp$   $pP$   $2$  by auto
from  $P$   $fP$   $Pp$ 
show derivation  $(P \cup \{p\})$  by (auto simp: derivation-def pA wr[simplified] 1 3)
qed

```

context

```

assumes derivation-infl:  $\forall X x y. \text{derivation } X \longrightarrow x \in X \longrightarrow y \in X \longrightarrow x \sqsubseteq y \longrightarrow x \sqsubseteq f y$ 
and derivation-f-refl:  $\forall X x. \text{derivation } X \longrightarrow x \in X \longrightarrow f x \sqsubseteq f x$ 
begin

```

lemma *derivation-suc*:

```

assumes  $P$ : derivation  $P$  and  $Pp$ : extreme  $P$  ( $\sqsubseteq$ )  $p$  shows derivation  $(P \cup \{f p\})$ 
proof (cases  $f p \in P$ )
  case True
    with  $P$  show ?thesis by (auto simp: insert-absorb)
  next
    case  $f pP$ : False
      interpret  $P$ : well-ordered-set  $P$  ( $\sqsubseteq$ ) using derivation-well-ordered[OF  $P$ ].
      have  $PA$ :  $P \subseteq A$  using derivation-A[OF  $P$ ].
      with  $Pp$  have  $pP$ :  $p \in P$  and  $pA$ :  $p \in A$  by auto
      with  $f$  have  $f pA$ :  $f p \in A$  by auto
      from  $pP$  have  $pp$ :  $p \sqsubseteq p$  by auto
      from derivation-infl[rule-format, OF P pP pP pp] have  $p \sqsubseteq f p$ .
      { fix  $x$  assume  $xP$ :  $x \in P$ 
      then have  $xA$ :  $x \in A$  using  $PA$  by auto
      have  $xp$ :  $x \sqsubseteq p$  using  $xP$   $Pp$  by auto
      from derivation-infl[rule-format, OF P xP pP this]
      have  $x \sqsubseteq f p$ .
      }
      note  $f pP = \text{this}$ 
      then have  $bfp$ : bound  $P$  ( $\sqsubseteq$ )  $(f p)$  by auto

```

```

{ fix y assume yP: y ∈ P
  note yfp = Pfp[OF yP]
  { assume fpy: f p ⊆ y
    with yfp have f p = y using yP PA pA fpA antisym by auto
    with yP fpP have False by auto
  }
  with Pfp yP have y ⊆ f p by auto
}
note Pfp = this
have 1:  $\bigwedge y. y \in P \longrightarrow \{x. (x = f p \vee x \in P) \wedge x \sqsubset y\} = \{x \in P. x \sqsubset y\}$ 
and 2:  $\{x. (x = f p \vee x \in P) \wedge x \sqsubset f p\} = P$  using Pfp by auto
have wr: well-ordered-set (P ∪ {f p}) (⊆)
apply (rule well-order-extend[OF P.well-ordered-set-axioms singleton-well-ordered])
using Pfp derivation-f-refl[rule-format, OF P pP] by auto
from P Pp
show derivation (P ∪ {f p}) by (auto simp: derivation-def wr[simplified] 1 2
fpA)
qed

```

lemma derivable-closed:

```

assumes x: derivable x shows derivable (f x)
proof (insert x, elim derivableE)
  fix P
  assume P: derivation P and xP: x ∈ P
  note PA = derivation-A[OF P]
  then have xA: x ∈ A using xP by auto
  interpret P: well-ordered-set P (⊆) using derivation-well-ordered[OF P].
  interpret P.asympartp: transitive P (⊆) using P.asympartp-transitive.
  define Px where Px ≡ {y. y ∈ P ∧ y ⊆ x} ∪ {x}
  then have PxP: Px ⊆ P using xP by auto
  have x ⊆ x using xP by auto
  then have Pxx: extreme Px (⊆) x using xP PA by (auto simp: Px-def)
  have wr: well-ordered-set Px (⊆) using P.well-ordered-subset[OF PxP].
  { fix z y assume zPx: z ∈ Px and yP: y ∈ P and yz: y ⊆ z
    then have zP: z ∈ P using PxP by auto
    have y ⊆ x
    proof (cases z = x)
      case True
      then show ?thesis using yz by auto
    next
      case False
      then have zx: z ⊆ x using zPx by (auto simp: Px-def)
      from P.asympartp.trans[OF yz zx yP zP xP] show ?thesis.
    qed
  }
  then have 1:  $\bigwedge z. z \in Px \longrightarrow \{y \in Px. y \sqsubset z\} = \{y \in P. y \sqsubset z\}$  using Px-def
by blast
have Px: derivation Px using PxP PA P by (auto simp: wr derivation-def 1)
from derivation-suc[OF Px Pxx]

```

show *?thesis* **by** (*auto intro!*: *derivableI*)
qed

The following lemma is derived from Grall's proof. We simplify the claim so that we consider two elements from one derivation, instead of two derivations.

lemma *derivation-useful*:

assumes X : *derivation* X **and** xX : $x \in X$ **and** yX : $y \in X$ **and** xy : $x \sqsubseteq y$
shows $f x \sqsubseteq y$

proof–

interpret X : *well-ordered-set* X (\sqsubseteq) **using** *derivation-well-ordered*[$OF X$].

note $XA = \text{derivation-}A[OF X]$

{ **fix** $x y$ **assume** xX : $x \in X$ **and** yX : $y \in X$

from $xX yX$ **have** $(x \sqsubseteq y \longrightarrow f x \sqsubseteq y \wedge f x \in X) \wedge (y \sqsubseteq x \longrightarrow f y \sqsubseteq x \wedge f y \in X)$

proof (*induct* x *arbitrary*: y)

case (*less* x)

note $xX = \langle x \in X \rangle$ **and** $IHx = \text{this}(2)$

with XA **have** xA : $x \in A$ **by** *auto*

from $\langle y \in X \rangle$ **show** *?case*

proof (*induct* y)

case (*less* y)

note $yX = \langle y \in X \rangle$ **and** $IHy = \text{this}(2)$

with XA **have** yA : $y \in A$ **by** *auto*

show *?case*

proof (*rule conjI*; *intro impI*)

assume xy : $x \sqsubseteq y$

from $X yX$

show $f x \sqsubseteq y \wedge f x \in X$

proof (*cases rule:derivation-cases*)

case (*suc* $Z z$)

with XA **have** zX : $z \in X$ **and** zA : $z \in A$ **and** zy : $z \sqsubseteq y$ **and** yfz : $y = f$

z **by** *auto*

from $xX zX$ **show** *?thesis*

proof (*cases rule: X.comparable-three-cases*)

case xz : *less*

with $IHy[OF zX zy]$ **have** fxz : $f x \sqsubseteq z$ **and** fxX : $f x \in X$ **by** *auto*

from *derivation-infl*[*rule-format*, $OF X fxX zX fxz$] **have** $f x \sqsubseteq y$ **by**

(*auto simp*: yfz)

with fxX **show** *?thesis* **by** *auto*

next

case *eq*

with $xX zX$ **have** $x = z$ **by** *auto*

with $yX yfz$ **show** *?thesis* **by** *auto*

next

case xz : *greater*

with $IHy[OF zX zy]$ $yfz xy$ **have** *False* **by** *auto*

then **show** *?thesis* **by** *auto*

qed


```

next
  case (lim Z)
  note  $Z = \langle Z = \{z \in X. z \sqsubset y\} \rangle$  and  $fZ = \langle f ' Z \subseteq Z \rangle$ 
  from  $xX xy$  have  $x \in Z$  by (auto simp: Z)
  with  $fZ$  have  $f x \in Z$  by auto
  then have  $f x \sqsubset y$  and  $f x \in X$  by (auto simp: Z)
  then show ?thesis by auto
qed
next
assume  $yx: y \sqsubset x$ 
from  $X xX$ 
show  $f y \sqsubseteq x \wedge f y \in X$ 
proof (cases rule:derivation-cases)
  case (suc Z z)
  with  $XA$  have  $zX: z \in X$  and  $zA: z \in A$  and  $zx: z \sqsubset x$  and  $xfz: x = f$ 
z by auto
  from  $yX zX$  show ?thesis
  proof (cases rule: X.comparable-three-cases)
    case  $yz: less$ 
    with  $IHx[OF zX zx yX]$  have  $fyz: f y \sqsubseteq z$  and  $fyX: f y \in X$  by auto
    from  $derivation-infl[rule-format, OF X fyX zX fyz]$  have  $f y \sqsubseteq x$  by
(auto simp: xfz)
    with  $fyX$  show ?thesis by auto
  next
  case  $eq$ 
  with  $yX zX$  have  $y = z$  by auto
  with  $xX xfz$  show ?thesis by auto
  next
  case  $greater$ 
  with  $IHx[OF zX zx yX]$   $xfz yx$  have  $False$  by auto
  then show ?thesis by auto
  qed
next
case (lim Z)
note  $Z = \langle Z = \{z \in X. z \sqsubset x\} \rangle$  and  $fZ = \langle f ' Z \subseteq Z \rangle$ 
from  $yX yx$  have  $y \in Z$  by (auto simp: Z)
with  $fZ$  have  $f y \in Z$  by auto
then have  $f y \sqsubset x$  and  $f y \in X$  by (auto simp: Z)
then show ?thesis by auto
qed
qed
qed
qed
}
with  $assms$  show  $f x \sqsubseteq y$  by auto
qed

```

Next one is the main lemma of this section, stating that elements from two possibly different derivations are comparable, and moreover the lower one is in the derivation of the upper one. The latter claim, not found in

Grall's proof, is crucial in proving that the union of all derivations is well-related.

lemma *derivations-cross-compare*:

assumes X : derivation X **and** Y : derivation Y **and** xX : $x \in X$ **and** yY : $y \in Y$
shows $(x \sqsubset y \wedge x \in Y) \vee x = y \vee (y \sqsubset x \wedge y \in X)$

proof–

```

{ fix X Y x y
  assume X: derivation X and Y: derivation Y and xX:  $x \in X$  and yY:  $y \in Y$ 
  interpret X: well-ordered-set X ( $\sqsubseteq$ ) using derivation-well-ordered[OF X].
  interpret X.asympartp: transitive X ( $\sqsubset$ ) using X.asympartp-transitive.
  interpret Y: well-ordered-set Y ( $\sqsubseteq$ ) using derivation-well-ordered[OF Y].
  have XA:  $X \subseteq A$  using derivation-A[OF X].
  then have xA:  $x \in A$  using xX by auto
  with f have fxA:  $f x \in A$  by auto
  have YA:  $Y \subseteq A$  using derivation-A[OF Y].
  then have yA:  $y \in A$  using yY by auto
  with f have fyA:  $f y \in A$  by auto
  { fix Z
    assume Z:  $Z = \{z \in X. z \sqsubset x\}$ 
      and fZ:  $f \cdot Z \subseteq Z$ 
      and Zx: extreme-bound A ( $\sqsubseteq$ ) Z x
      and IHx:  $\forall z \in X. z \sqsubset x \longrightarrow (z \sqsubset y \wedge z \in Y) \vee z = y \vee (y \sqsubset z \wedge y \in X)$ 
    have  $(y \sqsubset x \wedge y \in X) \vee x \sqsubseteq y$ 
    proof (cases  $\exists z \in Z. y \sqsubset z$ )
      case True
        then obtain z where zZ:  $z \in Z$  and yz:  $y \sqsubset z$  by auto
        from zZ Z have zX:  $z \in X$  and zx:  $z \sqsubset x$  by auto
        from IHx[rule-format, OF zX zx] yz have yX:  $y \in X$  by auto
        from X.asympartp.trans[OF yz zx yX zX xX] have  $y \sqsubset x$ .
        with yX show ?thesis by auto
      case False
    next
      case False
        have bound Z ( $\sqsubseteq$ ) y
        proof
          fix z assume  $z \in Z$ 
          then have zX:  $z \in X$  and zx:  $z \sqsubset x$  and nyz:  $\neg y \sqsubset z$  using Z False by
            auto
          with IHx[rule-format, OF zX zx] X show  $z \sqsubseteq y$  by auto
        qed
        with yA Zx have xy:  $x \sqsubseteq y$  by auto
        then show ?thesis by auto
      qed
    }
  note lim-any = this
  { fix z Z
    assume Z:  $Z = \{z \in X. z \sqsubset x\}$ 
      and Zz: extreme Z ( $\sqsubseteq$ ) z
      and xz:  $x = f z$ 
      and IHx:  $(z \sqsubset y \wedge z \in Y) \vee z = y \vee (y \sqsubset z \wedge y \in X)$ 

```

```

have  $zX: z \in X$  and  $zx: z \sqsubset x$  using  $Zz Z$  by (auto simp: extreme-def)
then have  $zA: z \in A$  using  $XA$  by auto
from  $IHx$  have  $(y \sqsubset x \wedge y \in X) \vee x \sqsubseteq y$ 
proof (elim disjE conjE)
  assume  $zy: z \sqsubset y$  and  $zY: z \in Y$ 
  from derivation-useful[ $OF Y zY yY zy$ ]  $xfz$  have  $xy: x \sqsubseteq y$  by auto
  then show ?thesis by auto
next
  assume  $zy: z = y$ 
  then have  $y \sqsubset x$  using  $zx$  by auto
  with  $zy zX$  show ?thesis by auto
next
  assume  $yz: y \sqsubset z$  and  $yX: y \in X$ 
  from  $X.asympartp.trans$ [ $OF yz zx yX zX xX$ ] have  $y \sqsubset x$ .
  with  $yX$  show ?thesis by auto
qed
}
note lim-any this
}
note  $lim-any = this(1)$  and  $suc-any = this(2)$ 
interpret  $X: well-ordered-set X (\sqsubseteq)$  using derivation-well-ordered[ $OF X$ ].
interpret  $Y: well-ordered-set Y (\sqsubseteq)$  using derivation-well-ordered[ $OF Y$ ].
have  $XA: X \subseteq A$  using derivation-A[ $OF X$ ].
have  $YA: Y \subseteq A$  using derivation-A[ $OF Y$ ].
from  $xX yY$  show ?thesis
proof (induct x arbitrary: y)
  case (less x)
  note  $xX = \langle x \in X \rangle$  and  $IHx = this(2)$ 
  from  $xX XA f$  have  $xA: x \in A$  and  $fxA: f x \in A$  by auto
  from  $\langle y \in Y \rangle$ 
  show ?case
  proof (induct y)
    case (less y)
    note  $yY = \langle y \in Y \rangle$  and  $IHy = less(2)$ 
    from  $yY YA f$  have  $yA: y \in A$  and  $fyA: f y \in A$  by auto
    from  $X xX$  show ?case
    proof (cases rule: derivation-cases)
      case (suc Z z)
      note  $Z = \langle Z = \{z \in X. z \sqsubset x\} \rangle$  and  $Zz = \langle extreme Z (\sqsubseteq) z \rangle$  and  $xfz = \langle x = f z \rangle$ 
      then have  $zx: z \sqsubset x$  and  $zX: z \in X$  by auto
      note  $IHz = IHx$ [ $OF zX zx yY$ ]
      have  $1: y \sqsubset x \wedge y \in X \vee x \sqsubseteq y$  using suc-any[ $OF X Y xX yY Z Zz xfz$ 
 $IHz$ ]  $IHy$  by auto
      from  $Y yY$  show ?thesis
      proof (cases rule: derivation-cases)
        case (suc W w)
        note  $W = \langle W = \{w \in Y. w \sqsubset y\} \rangle$  and  $Ww = \langle extreme W (\sqsubseteq) w \rangle$  and
 $yfw = \langle y = f w \rangle$ 

```

then have $wY: w \in Y$ **and** $wy: w \sqsubset y$ **by** *auto*
have $IHw: w \sqsubset x \wedge w \in X \vee w = x \vee x \sqsubset w \wedge x \in Y$ **using** $IHy[OF$
 $wY wy]$ **by** *auto*
have $x \sqsubset y \wedge x \in Y \vee y \sqsubseteq x$ **using** $suc-any[OF Y X yY xX W Ww yfw$
 $IHw]$ **by** *auto*
with 1 show *?thesis* **using** *antisym xA yA* **by** *auto*
next
case (*lim W*)
note $W = \langle W = \{w \in Y. w \sqsubset y\} \rangle$ **and** $fW = \langle f ' W \subseteq W \rangle$ **and** $Wy =$
 $\langle extreme-bound A (\sqsubseteq) W y \rangle$
have $x \sqsubset y \wedge x \in Y \vee y \sqsubseteq x$ **using** $lim-any[OF Y X yY xX W fW Wy]$
 IHy **by** *auto*
with 1 show *?thesis* **using** *antisym xA yA* **by** *auto*
qed
next
case (*lim Z*)
note $Z = \langle Z = \{z \in X. z \sqsubset x\} \rangle$ **and** $fZ = \langle f ' Z \subseteq Z \rangle$ **and** $Zx =$
 $\langle extreme-bound A (\sqsubseteq) Z x \rangle$
have $! : y \sqsubset x \wedge y \in X \vee x \sqsubseteq y$ **using** $lim-any[OF X Y xX yY Z fZ Zx]$
 $IHx[OF - - yY]$ **by** *auto*
from $Y yY$ **show** *?thesis*
proof (*cases rule: derivation-cases*)
case (*suc W w*)
note $W = \langle W = \{w \in Y. w \sqsubset y\} \rangle$ **and** $Ww = \langle extreme W (\sqsubseteq) w \rangle$ **and**
 $yfw = \langle y = f w \rangle$
then have $wY: w \in Y$ **and** $wy: w \sqsubset y$ **by** *auto*
have $IHw: w \sqsubset x \wedge w \in X \vee w = x \vee x \sqsubset w \wedge x \in Y$ **using** $IHy[OF$
 $wY wy]$ **by** *auto*
have $x \sqsubset y \wedge x \in Y \vee y \sqsubseteq x$ **using** $suc-any[OF Y X yY xX W Ww yfw$
 $IHw]$ **by** *auto*
with 1 show *?thesis* **using** *antisym xA yA* **by** *auto*
next
case (*lim W*)
note $W = \langle W = \{w \in Y. w \sqsubset y\} \rangle$ **and** $fW = \langle f ' W \subseteq W \rangle$ **and** $Wy =$
 $\langle extreme-bound A (\sqsubseteq) W y \rangle$
have $x \sqsubset y \wedge x \in Y \vee y \sqsubseteq x$ **using** $lim-any[OF Y X yY xX W fW Wy]$
 IHy **by** *auto*
with 1 show *?thesis* **using** *antisym xA yA* **by** *auto*
qed
qed
qed
qed

interpretation *derivable: well-ordered-set* $\{x. derivable x\}$ (\sqsubseteq)

proof (*rule well-ordered-set.intro*)

show *antisymmetric* $\{x. derivable x\}$ (\sqsubseteq)

apply *unfold-locales* **by** (*auto dest: derivable-A antisym*)

show *well-related-set* $\{x. derivable x\}$ (\sqsubseteq)

apply (*fold UN-derivations-eq-derivable*)
apply (*rule closed-UN-well-related*)
by (*auto dest: derivation-well-ordered derivations-cross-compare well-ordered-set.axioms*)
qed

lemmas *derivable-well-ordered = derivable.well-ordered-set-axioms*
lemmas *derivable-total-ordered = derivable.total-ordered-set-axioms*
lemmas *derivable-well-related = derivable.well-related-set-axioms*

lemma *pred-unique:*

assumes *X: derivation X and xX: x ∈ X*
shows $\{z \in X. z \sqsubset x\} = \{z. \text{derivable } z \wedge z \sqsubset x\}$
proof
{ **fix** *z* **assume** *z ∈ X and z ⊂ x*
 then have *derivable z ∧ z ⊂ x* **using** *X* **by** (*auto simp: derivable-def*)
}
then show $\{z \in X. z \sqsubset x\} \subseteq \{z. \text{derivable } z \wedge z \sqsubset x\}$ **by** *auto*
{ **fix** *z* **assume** *derivable z and zx: z ⊂ x*
 then obtain *Y* **where** *Y: derivation Y and zY: z ∈ Y* **by** (*auto simp: derivable-def*)
 then have *z ∈ X* **using** *derivations-cross-compare[OF X Y xX zY] zx* **by** *auto*
}
then show $\{z \in X. z \sqsubset x\} \supseteq \{z. \text{derivable } z \wedge z \sqsubset x\}$ **by** *auto*
qed

The set of all derivable elements is itself a derivation.

lemma *derivation-derivable: derivation {x. derivable x}*
apply (*unfold derivation-def*)
apply (*safe intro!: derivable-A derivable.well-ordered-set-axioms elim!: derivableE*)
apply (*unfold mem-Collect-eq pred-unique[symmetric]*)
by (*auto simp: derivation-def*)

Finally, if the set of all derivable elements admits a supremum, then it is a fixed point.

lemma

assumes *p: extreme-bound A (⊑) {x. derivable x} p*
shows *sup-derivable-qfp: f p ∼ p and sup-derivable-fp: f p = p*
proof (*intro antisym sympartpI*)
define *P* **where** $P \equiv \{x. \text{derivable } x\}$
have *pA: p ∈ A* **using** *p* **by** *auto*
have *P: derivation P* **using** *derivation-derivable* **by** (*simp add: P-def*)
from *derivable-closed* **have** *fP: f ‘ P ⊆ P* **by** (*auto simp: P-def*)
from *derivation-lim[OF P fP] p*
have *pP: p ∈ P* **by** (*auto simp: P-def intro:derivableI*)
with *fP* **have** *f p ∈ P* **by** *auto*
with *p* **show** *f p ⊑ p* **by** (*auto simp: P-def*)
show *p f p* $p \sqsubseteq f p$ **apply** (*rule derivation-infl[rule-format, OF P]*) **using** *pP* **by** (*auto simp: P-def*)

from $fpp\ pfp\ p\ f$ **show** $f\ p = p$ **by** (*auto intro!: antisym*)
qed

end

The assumptions are satisfied by monotone functions.

context

assumes *mono: monotone-on* A (\sqsubseteq) (\sqsubseteq) f
begin

lemma *mono-imp-derivation-infl:*

$\forall X\ x\ y.$ *derivation* $X \longrightarrow x \in X \longrightarrow y \in X \longrightarrow x \sqsubseteq y \longrightarrow x \sqsubseteq f\ y$

proof (*intro allI impI*)

fix $X\ x\ y$

assume X : *derivation* X **and** xX : $x \in X$ **and** yX : $y \in X$ **and** xy : $x \sqsubseteq y$

interpret X : *well-ordered-set* X (\sqsubseteq) **using** *derivation-well-ordered*[$OF\ X$].

note $XA =$ *derivation-A*[$OF\ X$]

from $xX\ yX\ xy$ **show** $x \sqsubseteq f\ y$

proof (*induct* x)

case (*less* x)

note $IH =$ *this*(?) **and** $xX = \langle x \in X \rangle$ **and** $yX = \langle y \in X \rangle$ **and** $xy = \langle x \sqsubseteq y \rangle$

from $xX\ yX\ XA$ **have** xA : $x \in A$ **and** yA : $y \in A$ **by** *auto*

from $X\ xX$ **show** *?case*

proof (*cases rule: derivation-cases*)

case (*suc* $Z\ z$)

then **have** zX : $z \in X$ **and** zsx : $z \sqsubset x$ **and** xfz : $x = f\ z$ **by** *auto*

then **have** zx : $z \sqsubseteq x$ **by** *auto*

from $X.trans$ [$OF\ zx\ xy\ zX\ xX\ yX$] **have** zy : $z \sqsubseteq y$.

from $zX\ XA$ **have** zA : $z \in A$ **by** *auto*

from zy *monotone-onD*[$OF\ mono$] $zA\ yA\ xfz$ **show** $x \sqsubseteq f\ y$ **by** *auto*

next

case (*lim* Z)

note $Z = \langle Z = \{z \in X. z \sqsubset x\} \rangle$ **and** $Zx = \langle \text{extreme-bound } A\ (\sqsubseteq)\ Z\ x \rangle$

from $f\ yA$ **have** fyA : $f\ y \in A$ **by** *auto*

have *bound* Z (\sqsubseteq) $(f\ y)$

proof

fix z **assume** zZ : $z \in Z$

with $Z\ xX$ **have** zsx : $z \sqsubset x$ **and** zX : $z \in X$ **by** *auto*

then **have** zx : $z \sqsubseteq x$ **by** *auto*

from $X.trans$ [$OF\ zx\ xy\ zX\ xX\ yX$] **have** zy : $z \sqsubseteq y$.

from IH [$OF\ zX\ zsx\ yX$] zy **show** $z \sqsubseteq f\ y$ **by** *auto*

qed

with $Zx\ fyA$ **show** *?thesis* **by** *auto*

qed

qed

qed

lemma *mono-imp-derivation-f-refl:*

$\forall X\ x.$ *derivation* $X \longrightarrow x \in X \longrightarrow f\ x \sqsubseteq f\ x$

proof (*intro allI impI*)
fix $X\ x$
assume X : *derivation* X **and** xX : $x \in X$
interpret X : *well-ordered-set* X (\sqsubseteq) **using** *derivation-well-ordered*[$OF\ X$].
note $XA = \text{derivation-A}[OF\ X]$
from xX **have** $x \sqsubseteq x$ **by** *auto*
from *monotone-onD*[$OF\ \text{mono}\ \text{this}$] $xX\ XA$ **show** $f\ x \sqsubseteq f\ x$ **by** *auto*
qed

corollary *mono-imp-sup-derivable-fp*:
assumes p : *extreme-bound* A (\sqsubseteq) $\{x.\ \text{derivable}\ x\}$ p
shows $f\ p = p$
by (*simp add: sup-derivable-fp*[$OF\ \text{mono-imp-derivation-infl}\ \text{mono-imp-derivation-f-refl}\ p$])

lemma *mono-imp-sup-derivable-lfp*:
assumes p : *extreme-bound* A (\sqsubseteq) $\{x.\ \text{derivable}\ x\}$ p
shows *extreme* $\{q \in A.\ f\ q = q\}$ (\sqsupseteq) p
proof (*safe intro!: extremeI*)
from p **show** $p \in A$ **by** *auto*
from *sup-derivable-fp*[$OF\ \text{mono-imp-derivation-infl}\ \text{mono-imp-derivation-f-refl}\ p$]
show $f\ p = p$.
fix q **assume** qA : $q \in A$ **and** fqq : $f\ q = q$
have *bound* $\{x.\ \text{derivable}\ x\}$ (\sqsubseteq) q
proof (*safe intro!: boundI elim!:derivableE*)
fix $x\ X$
assume X : *derivation* X **and** xX : $x \in X$
from X **interpret** *well-ordered-set* X (\sqsubseteq) **by** (*rule derivation-well-ordered*)
from xX **show** $x \sqsubseteq q$
proof (*induct x*)
case (*less x*)
note $xP = \text{this}(1)$ **and** $IH = \text{this}(2)$
with X **show** $?case$
proof (*cases rule: derivation-cases*)
case (*suc Z z*)
with $IH[of\ z]$ **have** zq : $z \sqsubseteq q$ **and** zX : $z \in X$ **by** *auto*
from *monotone-onD*[$OF\ \text{mono}\ zq$] $zX\ qA$ *derivation-A*[$OF\ X$]
show $?thesis$ **by** (*auto simp: fqq suc*)
next
case *lim*
with IH **have** *bound* $\{z \in X.\ z \sqsubseteq x\}$ (\sqsubseteq) q **by** *auto*
with $lim\ qA$ **show** $?thesis$ **by** *auto*
qed
qed
qed
with $p\ qA$ **show** $p \sqsubseteq q$ **by** *auto*
qed

lemma *mono-imp-ex-least-fp*:

```

assumes comp: well-complete  $A$  ( $\sqsubseteq$ )
shows  $\exists p. \text{extreme } \{q \in A. f\ q = q\}$  ( $\sqsupset$ )  $p$ 
proof-
  interpret fixed-point-proof using  $f$  by unfold-locales
  note  $as = \text{antisymmetric-axioms}$ 
  note  $infl = \text{mono-imp-derivation-infl}$ 
  note  $refl = \text{mono-imp-derivation-f-refl}$ 
  have  $wr: \text{well-related-set } \{x. \text{derivable } x\}$  ( $\sqsubseteq$ )
    using  $\text{derivable-well-related}[OF\ infl\ refl]$ .
  have  $\exists p. \text{extreme-bound } A$  ( $\sqsubseteq$ )  $\{x. \text{derivable } x\}$   $p$ 
    apply ( $\text{rule completeD}[OF\ comp]$ )
    using  $\text{derivable-A } wr$  by auto
  then obtain  $p$  where  $p: \text{extreme-bound } A$  ( $\sqsubseteq$ )  $\{x. \text{derivable } x\}$   $p$  by auto
  from  $p$   $\text{mono-imp-sup-derivable-lfp}[OF\ p]$   $\text{sup-derivable-qfp}[OF\ infl\ refl\ p]$ 
  show ?thesis by auto
qed

end

end

end

```

Bourbaki-Witt Theorem on well-complete pseudo-ordered set:

```

theorem (in pseudo-ordered-set) well-complete-infl-imp-ex-fp:
  assumes comp: well-complete  $A$  ( $\sqsubseteq$ )
    and  $f: f' A \subseteq A$  and  $infl: \forall x \in A. \forall y \in A. x \sqsubseteq y \longrightarrow x \sqsubseteq f\ y$ 
  shows  $\exists p \in A. f\ p = p$ 
proof-
  note  $as = \text{antisymmetric-axioms}$ 
  interpret fixed-point-proof using  $f$  by unfold-locales
  have  $dinfl: \forall X\ x\ y. \text{derivation } X \longrightarrow x \in X \longrightarrow y \in X \longrightarrow x \sqsubseteq y \longrightarrow x \sqsubseteq f\ y$ 
    using  $infl$  by (auto dest!: derivation-A)
  have  $drefl: \forall X\ x. \text{derivation } X \longrightarrow x \in X \longrightarrow f\ x \sqsubseteq f\ x$  using  $f$  by (auto dest!: derivation-A)
  have  $\exists p. \text{extreme-bound } A$  ( $\sqsubseteq$ )  $\{x. \text{derivable } x\}$   $p$ 
    apply ( $\text{rule completeD}[OF\ comp]$ )
    using  $\text{derivable-well-related}[OF\ as\ dinfl\ drefl]$   $\text{derivable-A}$  by auto
  with  $\text{sup-derivable-fp}[OF\ as\ dinfl\ drefl]$ 
  show ?thesis by auto
qed

```

6 Completeness of (Quasi-)Fixed Points

We now prove that, under attractivity, the set of quasi-fixed points is complete.

definition *setwise* **where** $\text{setwise } r\ X\ Y \equiv \forall x \in X. \forall y \in Y. r\ x\ y$

lemmas *setwiseI*[*intro*] = *setwise-def*[*unfolded atomize-eq, THEN iffD2, rule-format*]
lemmas *setwiseE*[*elim*] = *setwise-def*[*unfolded atomize-eq, THEN iffD1, elim-format, rule-format*]

context *fixed-point-proof* **begin**

abbreviation *setwise-less-eq* (**infix** \sqsubseteq^s 50) **where** $(\sqsubseteq^s) \equiv \textit{setwise} (\sqsubseteq)$

6.1 Least Quasi-Fixed Points for Attractive Relations.

lemma *attract-mono-imp-least-qfp*:
assumes *attract*: *attractive* A (\sqsubseteq)
and *comp*: *well-complete* A (\sqsubseteq)
and *mono*: *monotone-on* A (\sqsubseteq) (\sqsubseteq) f
shows $\exists c. \textit{extreme} \{p \in A. f p \sim p \vee f p = p\} (\exists) c \wedge f c \sim c$
proof-
interpret *attractive* **using** *attract* **by** *auto*
interpret *sym*: *transitive* A (\sim) **using** *sym-transitive*.
define *ecl* $([-]_{\sim})$ **where** $[x]_{\sim} \equiv \{y \in A. x \sim y\} \cup \{x\}$ **for** x
define Q **where** $Q \equiv \{[x]_{\sim} \mid x \in A\}$
{ fix $X x$ **assume** $XQ: X \in Q$ **and** $xX: x \in X$
then have $XA: X \subseteq A$ **by** (*auto simp: Q-def ecl-def*)
then have $xA: x \in A$ **using** xX **by** *auto*
obtain q **where** $qA: q \in A$ **and** $X: X = [q]_{\sim}$ **using** XQ **by** (*auto simp: Q-def*)
have $xqqx: x \sim q \vee x = q$ **using** $X xX$ **by** (*auto simp: ecl-def*)
{ fix y **assume** $yX: y \in X$
then have $yA: y \in A$ **using** XA **by** *auto*
have $y \sim q \vee y = q$ **using** $yX X$ **by** (*auto simp: ecl-def*)
then have $x \sim y \vee y = x$ **using** *sym-order-trans xqqx xA qA yA* **by** *blast*
}
then have $1: X \subseteq [x]_{\sim}$ **using** $X qA$ **by** (*auto simp: ecl-def*)
{ fix y **assume** $y \in A$ **and** $x \sim y \vee y = x$
then have $q \sim y \vee y = q$ **using** *sym-order-trans xqqx xA qA* **by** *blast*
}
then have $2: X \supseteq [x]_{\sim}$ **using** $X xX$ **by** (*auto simp: ecl-def*)
from $1\ 2$ **have** $X = [x]_{\sim}$ **by** *auto*
}
then have $XQx: \forall X \in Q. \forall x \in X. X = [x]_{\sim}$ **by** *auto*
have *RSLE-eq*: $X \in Q \implies Y \in Q \implies x \in X \implies y \in Y \implies x \sqsubseteq y \implies X \sqsubseteq^s Y$
for $X Y x y$
proof-
assume $XQ: X \in Q$ **and** $YQ: Y \in Q$ **and** $xX: x \in X$ **and** $yY: y \in Y$ **and**
 $xy: x \sqsubseteq y$
then have $XA: X \subseteq A$ **and** $YA: Y \subseteq A$ **by** (*auto simp: Q-def ecl-def*)
then have $xA: x \in A$ **and** $yA: y \in A$ **using** $xX yY$ **by** *auto*
{ fix $xp yp$ **assume** $xpX: xp \in X$ **and** $ypY: yp \in Y$
then have $xpA: xp \in A$ **and** $ypA: yp \in A$ **using** $XA YA$ **by** *auto*
then have $xp \sim x \vee xp = x$ **using** $xpX XQx xX XQ$ **by** (*auto simp: ecl-def*)
then have $xpy: xp \sqsubseteq y$ **using** *attract[OF - - xy xpA xA yA]* xy **by** *blast*

```

    have  $yp \sim y \vee yp = y$  using  $ypY XQx yY YQ$  by (auto simp: ecl-def)
    then have  $xp \sqsubseteq yp$  using  $dual.attract[OF - - xpy ypA yA xpA]$  xpy by blast
  }
  then show  $X \sqsubseteq^s Y$  using  $XQ YQ XA YA$  by auto
qed
have compQ: well-complete  $Q$  ( $\sqsubseteq^s$ )
proof (intro completeI, safe)
  fix  $XX$  assume  $XXQ: XX \subseteq Q$  and  $XX: well-related-set\ XX$  ( $\sqsubseteq^s$ )
  have  $BA: \bigcup XX \subseteq A$  using  $XXQ$  by (auto simp: Q-def ecl-def)
  from  $XX$  interpret  $XX: well-related-set\ XX$  ( $\sqsubseteq^s$ ).
  interpret  $UXX: semiattractive\ \bigcup XX$  ( $\sqsubseteq$ ) by (rule semiattractive-subset[OF
BA])
  have well-related-set ( $\bigcup XX$ ) ( $\sqsubseteq$ )
  proof (unfold-locales)
    fix  $Y$  assume  $YXX: Y \subseteq \bigcup XX$  and  $Y0: Y \neq \{\}$ 
    have  $\{X \in XX. X \cap Y \neq \{\}\} \neq \{\}$  using  $YXX Y0$  by auto
    from  $XX.nonempty-imp-ex-extreme[OF - this]$ 
    obtain  $E$  where  $E: extreme\ \{X \in XX. X \cap Y \neq \{\}\}$  ( $\sqsubseteq^s$ )-  $E$  by auto
    then have  $E \cap Y \neq \{\}$  by auto
    then obtain  $e$  where  $eE: e \in E$  and  $eX: e \in Y$  by auto
    have extreme  $Y$  ( $\sqsupseteq$ )  $e$ 
  proof (intro extremeI eX)
    fix  $x$  assume  $xY: x \in Y$ 
    with  $YXX$  obtain  $X$  where  $XXX: X \in XX$  and  $xX: x \in X$  by auto
    with  $xY E XXX$  have  $E \sqsubseteq^s X$  by auto
    with  $eE xX$  show  $e \sqsubseteq x$  by auto
  qed
  then show  $\exists e. extreme\ Y$  ( $\sqsupseteq$ )  $e$  by auto
qed
with completeD[OF comp BA]
obtain  $b$  where extb: extreme-bound  $A$  ( $\sqsubseteq$ ) ( $\bigcup XX$ )  $b$  by auto
then have  $bb: b \sqsubseteq b$  using extreme-def bound-def by auto
have  $bA: b \in A$  using extb extreme-def by auto
then have  $XQ: [b]_{\sim} \in Q$  using Q-def bA by auto
have  $bX: b \in [b]_{\sim}$  by (auto simp: ecl-def)
have extreme-bound  $Q$  ( $\sqsubseteq^s$ )  $XX$   $[b]_{\sim}$ 
proof (intro extreme-boundI)
  show  $[b]_{\sim} \in Q$  using  $XQ$ .
next
fix  $Y$  assume  $YXX: Y \in XX$ 
then have  $YQ: Y \in Q$  using  $XXQ$  by auto
then obtain  $y$  where  $yA: y \in A$  and  $Yy: Y = [y]_{\sim}$  by (auto simp: Q-def)
then have  $yY: y \in Y$  by (auto simp: ecl-def)
then have  $y \in \bigcup XX$  using  $yY YXX$  by auto
then have  $y \sqsubseteq b$  using extb by auto
then show  $Y \sqsubseteq^s [b]_{\sim}$  using RSLE-eq[OF YQ XQ yY bX] by auto
next
fix  $Z$  assume boundZ: bound  $XX$  ( $\sqsubseteq^s$ )  $Z$  and ZQ:  $Z \in Q$ 
then obtain  $z$  where  $zA: z \in A$  and  $Zz: Z = [z]_{\sim}$  by (auto simp: Q-def)

```

```

then have zZ: z ∈ Z by (auto simp: ecl-def)
{ fix y assume y ∈ ⋃ XX
  then obtain Y where yY: y ∈ Y and YXX: Y ∈ XX by auto
  then have YA: Y ⊆ A using XXQ Q-def by (auto simp: ecl-def)
  then have Y ⊆s Z using YXX boundZ bound-def by auto
  then have y ⊆ z using yY zZ by auto
}
then have bound (⋃ XX) (⊆) z by auto
then have b ⊆ z using extb zA by auto
then show [b]~ ⊆s Z using RSLE-eq[OF XQ ZQ bX zZ] by auto
qed
then show Ex (extreme-bound Q (⊆s) XX) by auto
qed
interpret Q: antisymmetric Q (⊆s)
proof
  fix X Y assume XY: X ⊆s Y and YX: Y ⊆s X and XQ: X ∈ Q and YQ:
Y ∈ Q
  then obtain q where qA: q ∈ A and X: X = [q]~ using Q-def by auto
  then have qX: q ∈ X using X by (auto simp: ecl-def)
  then obtain p where pA: p ∈ A and Y: Y = [p]~ using YQ Q-def by auto
  then have pY: p ∈ Y using X by (auto simp: ecl-def)
  have pq: p ⊆ q using XQ YQ YX qX pY by auto
  have q ⊆ p using XQ YQ XY qX pY by auto
  then have p ∈ X using pq X pA by (auto simp: ecl-def)
  then have X = [p]~ using XQ XQx by auto
  then show X = Y using Y by (auto simp: ecl-def)
qed
define F where F X ≡ {y ∈ A. ∃ x ∈ X. y ~ f x} ∪ f ' X for X
have XQFXQ: ⋀ X. X ∈ Q ⇒ F X ∈ Q
proof-
  fix X assume XQ: X ∈ Q
  then obtain x where xA: x ∈ A and X: X = [x]~ using Q-def by auto
  then have xX: x ∈ X by (auto simp: ecl-def)
  have fxA: f x ∈ A using xA f by auto
  have FXA: F X ⊆ A using f fxA X by (auto simp: F-def ecl-def)
  have F X = [f x]~
  proof (unfold X, intro equalityI subsetI)
    fix z assume zFX: z ∈ F [x]~
    then obtain y where yX: y ∈ [x]~ and zfy: z ~ f y ∨ z = f y by (auto
simp: F-def)
    have yA: y ∈ A using yX xA by (auto simp: ecl-def)
    with f have fyA: f y ∈ A by auto
    have zA: z ∈ A using zFX FXA by (auto simp: X)
    have y ~ x ∨ y = x using X yX by (auto simp: ecl-def)
    then have f y ~ f x ∨ f y = f x using mono xA yA by (auto simp:
monotone-on-def)
    then have z ~ f x ∨ z = f x using zfy sym.trans[OF - - zA fyA fxA] by
(auto simp:)
    with zA show z ∈ [f x]~ by (auto simp: ecl-def)
  qed

```

```

qed (auto simp: xX F-def ecl-def)
with FXA show  $F X \in Q$  by (auto simp: Q-def ecl-def)
qed
then have  $F: F \text{ ' } Q \subseteq Q$  by auto
then interpret  $Q$ : fixed-point-proof  $Q (\sqsubseteq^s) F$  by unfold-locales
have monoQ: monotone-on  $Q (\sqsubseteq^s) (\sqsubseteq^s) F$ 
proof (intro monotone-onI)
  fix  $X Y$  assume  $XQ: X \in Q$  and  $YQ: Y \in Q$  and  $XY: X \sqsubseteq^s Y$ 
  then obtain  $x y$  where  $xX: x \in X$  and  $yY: y \in Y$  using  $Q\text{-def}$  by (auto simp: ecl-def)
  then have  $xA: x \in A$  and  $yA: y \in A$  using  $XQ YQ$  by (auto simp: Q-def ecl-def)
  have  $x \sqsubseteq y$  using  $XY xX yY$  by auto
  then have  $fxfy: f x \sqsubseteq f y$  using monotone-on-def[of  $A (\sqsubseteq) (\sqsubseteq) f$ ]  $xA yA$  mono
by auto
  have  $fxgX: f x \in F X$  using  $xX F\text{-def}$  by blast
  have  $fygY: f y \in F Y$  using  $yY F\text{-def}$  by blast
  show  $F X \sqsubseteq^s F Y$  using RSLE-eq[OF  $XQFXQ[OF XQ] XQFXQ[OF YQ] fxgX fygY fxfy$ ].
qed
have  $QdA: \{x. Q.\text{derivable } x\} \subseteq Q$  using  $Q.\text{derivable-}A$  by auto
note  $asQ = Q.\text{antisymmetric-axioms}$ 
note  $infl = Q.\text{mono-imp-derivation-infl}[OF asQ monoQ]$ 
note  $f\text{-refl} = Q.\text{mono-imp-derivation-f-refl}[OF asQ monoQ]$ 
from  $Q.\text{mono-imp-ex-least-fp}[OF asQ monoQ compQ]$ 
obtain  $P$  where  $P: \text{extreme } \{q \in Q. F q = q\} (\sqsubseteq^s)^- P$  by auto
then have  $PQ: P \in Q$  by (auto simp: extreme-def)
from  $P$  have  $FPP: F P = P$  using  $PQ$  by auto
with  $P$  have  $PP: P \sqsubseteq^s P$  by auto
from  $P$  obtain  $p$  where  $pA: p \in A$  and  $Pp: P = [p]_{\sim}$  using  $Q\text{-def}$  by auto
then have  $pP: p \in P$  by (auto simp: ecl-def)
then have  $fpA: f p \in A$  using  $pA f$  by auto
have  $f p \in F P$  using  $pP F\text{-def } fpA$  by auto
then have  $F P = [f p]_{\sim}$  using  $XQx XQFXQ[OF PQ]$  by auto
then have  $fp: f p \sim p \vee f p = p$  using  $pP FPP$  by (auto simp: ecl-def)
have  $p \sqsubseteq p$  using  $PP pP$  by auto
with  $fp$  have  $fpp: f p \sim p$  by auto
have  $e: \text{extreme } \{p \in A. f p \sim p \vee f p = p\} (\sqsubseteq) p$ 
proof (intro extremeI CollectI conjI pA fp, elim CollectE conjE)
  fix  $q$  assume  $qA: q \in A$  and  $fq: f q \sim q \vee f q = q$ 
  define  $Z$  where  $Z \equiv \{z \in A. q \sim z\} \cup \{q\}$ 
  then have  $qZ: q \in Z$  using  $qA$  by auto
  then have  $ZQ: Z \in Q$  using  $qA$  by (auto simp: Z-def Q-def ecl-def)
  have  $fqA: f q \in A$  using  $qA f$  by auto
  then have  $f q \in Z$  using  $fq$  by (auto simp: Z-def)
  then have  $1: Z = [f q]_{\sim}$  using  $XQx ZQ$  by auto
  then have  $f q \in F Z$  using  $qZ fqA$  by (auto simp: F-def)
  then have  $F Z = [f q]_{\sim}$  using  $XQx XQFXQ[OF ZQ]$  by auto
  with  $1$  have  $Z = F Z$  by auto

```

then have $P \sqsubseteq^s Z$ using $P ZQ$ by *auto*
 then show $p \sqsubseteq q$ using $pP qZ$ by *auto*
 qed
 with *fpp* show *?thesis* using *e* by *auto*
 qed

6.2 General Completeness

lemma *attract-mono-imp-fp-qfp-complete*:

assumes *attract*: *attractive* A (\sqsubseteq)
 and *comp*: *CC-complete* A (\sqsubseteq)
 and *wr-CC*: $\forall C \subseteq A. \text{well-related-set } C \text{ } (\sqsubseteq) \longrightarrow C \in CC$
 and *extend*: $\forall X \in CC. \forall Y \in CC. X \sqsubseteq^s Y \longrightarrow X \cup Y \in CC$
 and *mono*: *monotone-on* A (\sqsubseteq) (\sqsubseteq) f
 and P : $P \subseteq \{x \in A. f x = x\}$

shows *CC-complete* ($\{q \in A. f q \sim q\} \cup P$) (\sqsubseteq)

proof (*intro completeI*)

interpret *attractive* using *attract*.

fix X assume *Xfix*: $X \subseteq \{q \in A. f q \sim q\} \cup P$ and *XCC*: $X \in CC$

with P have *XA*: $X \subseteq A$ by *auto*

define B where $B \equiv \{b \in A. \forall a \in X. a \sqsubseteq b\}$

{ fix $s a$ assume *sA*: $s \in A$ and *as*: $\forall a \in X. a \sqsubseteq s$ and *aX*: $a \in X$

then have *aA*: $a \in A$ using *XA* by *auto*

then have *fafs*: $f a \sqsubseteq f s$ using *mono* $f aX sA$ as by (*auto elim!*: *monotone-onE*)

have $a \sqsubseteq f s$

proof (*cases* $f a = a$)

case *True*

then show *?thesis* using *fafs* by *auto*

next

case *False*

then have $a \sim f a$ using $P aX$ *Xfix* by *auto*

also from *fafs* have $f a \sqsubseteq f s$ by *auto*

finally show *?thesis* using $f aA sA$ by *auto*

qed

}

with f have *fBB*: $f ' B \subseteq B$ unfolding *B-def* by *auto*

have *BA*: $B \subseteq A$ by (*auto simp*: *B-def*)

have *compB*: *CC-complete* B (\sqsubseteq)

proof (*unfold complete-def*, *intro allI impI*)

fix Y assume *YS*: $Y \subseteq B$ and *YCC*: $Y \in CC$

with *BA* have *YA*: $Y \subseteq A$ by *auto*

define C where $C \equiv X \cup Y$

then have *CA*: $C \subseteq A$ using *XA YA C-def* by *auto*

have *XY*: $X \sqsubseteq^s Y$ using *B-def YS* by *auto*

then have *CCC*: $C \in CC$ using *extend XA YA XCC YCC C-def* by *auto*

then obtain s where s : *extreme-bound* A (\sqsubseteq) $C s$

using *completeD[OF comp CA CCC]* by *auto*

then have *sA*: $s \in A$ by *auto*

show *Ex* (*extreme-bound* B (\sqsubseteq) Y)

```

proof (intro exI extreme-boundI)
  { fix  $x$  assume  $x \in X$ 
    then have  $x \sqsubseteq s$  using  $s$  C-def by auto
  }
  then show  $s \in B$  using  $sA$  B-def by auto
next
  fix  $y$  assume  $y \in Y$ 
  then show  $y \sqsubseteq s$  using  $s$  C-def using extremeD by auto
next
  fix  $c$  assume  $cS$ :  $c \in B$  and bound Y ( $\sqsubseteq$ )  $c$ 
  then have bound C ( $\sqsubseteq$ )  $c$  using C-def B-def by auto
  then show  $s \sqsubseteq c$  using  $s$  BA cS by auto
qed
qed
from fBB interpret B: fixed-point-proof B ( $\sqsubseteq$ )  $f$  by unfold-locales
from BA have *: {x ∈ A. f x ~ x} ∩ B = {x ∈ B. f x ~ x} by auto
have asB: attractive B ( $\sqsubseteq$ ) using attractive-subset[OF BA] by auto
have monoB: monotone-on B ( $\sqsubseteq$ ) ( $\sqsubseteq$ )  $f$  using monotone-on-cmono[OF BA]
mono by (auto dest!: le-funD)
have compB: well-complete B ( $\sqsubseteq$ )
  using wr-CC compB BA by (simp add: complete-def)
from B.attract-mono-imp-least-qfp[OF asB compB monoB]
obtain  $l$  where extreme {p ∈ B. f p ~ p ∨ f p = p} ( $\sqsupseteq$ )  $l$  and fl: f l ~ l by
auto
with  $P$  have  $l$ : extreme ({p ∈ B. f p ~ p} ∪ P ∩ B) ( $\sqsupseteq$ )  $l$  by auto
show  $Ex$  (extreme-bound ( $\{q \in A. f q \sim q\} \cup P$ ) ( $\sqsubseteq$ )  $X$ )
proof (intro exI extreme-boundI)
  show  $l \in \{q \in A. f q \sim q\} \cup P$  using  $l$  BA by auto
  fix  $a$  assume  $a \in X$ 
  with  $l$  show  $a \sqsubseteq l$  by (auto simp: B-def)
next
  fix  $c$  assume  $c$ : bound X ( $\sqsubseteq$ )  $c$  and  $cfix$ :  $c \in \{q \in A. f q \sim q\} \cup P$ 
  with  $P$  have  $cA$ :  $c \in A$  by auto
  with  $c$  have  $c \in B$  by (auto simp: B-def)
  with  $cfix$   $l$  show  $l \sqsubseteq c$  by auto
qed
qed

```

lemma *attract-mono-imp-qfp-complete*:

```

assumes attractive A ( $\sqsubseteq$ )
  and CC-complete A ( $\sqsubseteq$ )
  and  $\forall C \subseteq A.$  well-related-set C ( $\sqsubseteq$ )  $\longrightarrow C \in CC$ 
  and  $\forall X \in CC. \forall Y \in CC. X \sqsubseteq^s Y \longrightarrow X \cup Y \in CC$ 
  and monotone-on A ( $\sqsubseteq$ ) ( $\sqsubseteq$ )  $f$ 
shows CC-complete  $\{p \in A. f p \sim p\}$  ( $\sqsubseteq$ )
using attract-mono-imp-fp-qfp-complete[OF assms, of {}] by simp

```

lemma *antisym-mono-imp-fp-complete*:

```

assumes anti: antisymmetric A ( $\sqsubseteq$ )

```

and comp: CC -complete A (\sqsubseteq)
and wr-CC: $\forall C \subseteq A$. well-related-set C (\sqsubseteq) $\longrightarrow C \in CC$
and extend: $\forall X \in CC$. $\forall Y \in CC$. $X \sqsubseteq^s Y \longrightarrow X \cup Y \in CC$
and mono: monotone-on A (\sqsubseteq) (\sqsubseteq) f
shows CC -complete $\{p \in A. f p = p\}$ (\sqsubseteq)
proof-
interpret antisymmetric **using** *anti*.
have $*$: $\{q \in A. f q \sim q\} \subseteq \{p \in A. f p = p\}$ **using** f **by** (*auto intro!*: *antisym*)
from $*$ *attract-mono-imp-fp-qfp-complete*[*OF attractive-axioms comp wr-CC extend mono, of* $\{p \in A. f p = p\}$]
show *?thesis* **by** (*auto simp: subset-Un-eq*)
qed
end

6.3 Instances

6.3.1 Instances under attractivity

context *attractive begin*

interpretation *less-eq-notations*.

Full completeness

theorem *mono-imp-qfp-UNIV-complete:*

assumes *comp:* $UNIV$ -complete A (\sqsubseteq) **and** $f: f' A \subseteq A$ **and** *mono:* monotone-on A (\sqsubseteq) (\sqsubseteq) f

shows $UNIV$ -complete $\{p \in A. f p \sim p\}$ (\sqsubseteq)

apply (*intro fixed-point-proof.attract-mono-imp-qfp-complete comp mono*)

apply *unfold-locales*

by (*auto simp: f*)

Connex completeness

theorem *mono-imp-qfp-connex-complete:*

assumes *comp:* $\{X. \text{connex } X \text{ } (\sqsubseteq)\}$ -complete A (\sqsubseteq)

and $f: f' A \subseteq A$ **and** *mono:* monotone-on A (\sqsubseteq) (\sqsubseteq) f

shows $\{X. \text{connex } X \text{ } (\sqsubseteq)\}$ -complete $\{p \in A. f p \sim p\}$ (\sqsubseteq)

apply (*intro fixed-point-proof.attract-mono-imp-qfp-complete mono comp*)

apply *unfold-locales*

by (*auto simp: f intro: connex-union well-related-set.connex-axioms*)

Directed completeness

theorem *mono-imp-qfp-directed-complete:*

assumes *comp:* $\{X. \text{directed } X \text{ } (\sqsubseteq)\}$ -complete A (\sqsubseteq)

and $f: f' A \subseteq A$ **and** *mono:* monotone-on A (\sqsubseteq) (\sqsubseteq) f

shows $\{X. \text{directed } X \text{ } (\sqsubseteq)\}$ -complete $\{p \in A. f p \sim p\}$ (\sqsubseteq)

apply (*intro fixed-point-proof.attract-mono-imp-qfp-complete mono comp*)

apply *unfold-locales*

by (*auto simp: f intro!: directed-extend intro: well-related-set.connex-axioms connex.directed*)

Well Completeness

theorem *mono-imp-gfp-well-complete:*

assumes *comp: well-complete A* (\square)

and *f: f ' A \subseteq A and mono: monotone-on A* (\square) (\square) *f*

shows *well-complete {p \in A. f p \sim p}* (\square)

apply (*intro fixed-point-proof.attract-mono-imp-gfp-complete mono comp*)

apply *unfold-locales*

by (*auto simp: f well-related-extend*)

end

6.3.2 Usual instances under antisymmetry

context *antisymmetric begin*

Knaster–Tarski

theorem *mono-imp-fp-complete:*

assumes *comp: UNIV–complete A* (\square)

and *f: f ' A \subseteq A and mono: monotone-on A* (\square) (\square) *f*

shows *UNIV–complete {p \in A. f p = p}* (\square)

proof–

interpret *fixed-point-proof using f by unfold-locales*

show *?thesis*

apply (*intro antisym-mono-imp-fp-complete mono antisymmetric-axioms comp*)

by *auto*

qed

Markowsky 1976

theorem *mono-imp-fp-connex-complete:*

assumes *comp: {X. connex X}–complete A* (\square)

and *f: f ' A \subseteq A and mono: monotone-on A* (\square) (\square) *f*

shows *{X. connex X}–complete {p \in A. f p = p}* (\square)

proof–

interpret *fixed-point-proof using f by unfold-locales*

show *?thesis*

apply (*intro antisym-mono-imp-fp-complete antisymmetric-axioms mono comp*)

by (*auto intro: connex-union well-related-set.connex-axioms*)

qed

Patarraia

theorem *mono-imp-fp-directed-complete:*

assumes *comp: {X. directed X}–complete A* (\square)

and *f: f ' A \subseteq A and mono: monotone-on A* (\square) (\square) *f*

shows *{X. directed X}–complete {p \in A. f p = p}* (\square)

proof–

interpret *fixed-point-proof using f by unfold-locales*

show *?thesis*

apply (*intro antisym-mono-imp-fp-complete mono antisymmetric-axioms comp*)

by (*auto intro: directed-extend connex.directed well-related-set.connex-axioms*)

qed

Bhatta & George 2011

theorem *mono-imp-fp-well-complete:*

assumes *comp: well-complete A* (\sqsubseteq)

and *f: f ' A \subseteq A and mono: monotone-on A* (\sqsubseteq) (\sqsubseteq) *f*

shows *well-complete {p \in A. f p = p}* (\sqsubseteq)

proof-

interpret *fixed-point-proof using f by unfold-locales*

show *?thesis*

apply (*intro antisym-mono-imp-fp-complete mono antisymmetric-axioms comp*)

by (*auto intro!: antisym well-related-extend*)

qed

end

end

theory *Kleene-Fixed-Point*

imports *Complete-Relations*

begin

7 Iterative Fixed Point Theorem

Kleene's fixed-point theorem states that, for a pointed directed complete partial order $\langle A, \sqsubseteq \rangle$ and a Scott-continuous map $f : A \rightarrow A$, the supremum of $\{f^n(\perp) \mid n \in \mathbb{N}\}$ exists in A and is a least fixed point. Mashburn [12] generalized the result so that $\langle A, \sqsubseteq \rangle$ is a ω -complete partial order and f is ω -continuous.

In this section we further generalize the result and show that for ω -complete relation $\langle A, \sqsubseteq \rangle$ and for every bottom element $\perp \in A$, the set $\{f^n(\perp) \mid n \in \mathbb{N}\}$ has suprema (not necessarily unique, of course) and, they are quasi-fixed points. Moreover, if (\sqsubseteq) is attractive, then the suprema are precisely the least quasi-fixed points.

7.1 Scott Continuity, ω -Completeness, ω -Continuity

In this Section, we formalize ω -completeness, Scott continuity and ω -continuity. We then prove that a Scott continuous map is ω -continuous and that an ω -continuous map is "nearly" monotone.

context

fixes *A :: 'a set and less-eq :: 'a \Rightarrow 'a \Rightarrow bool* (**infix** \sqsubseteq 50)

begin

definition *omega-continuous f* \equiv

f ' A \subseteq A \wedge

($\forall c :: nat \Rightarrow 'a. \forall b \in A.$

$\text{range } c \subseteq A \longrightarrow$
 $\text{monotone } (\leq) (\sqsubseteq) c \longrightarrow$
 $\text{extreme-bound } A (\sqsubseteq) (\text{range } c) b \longrightarrow \text{extreme-bound } A (\sqsubseteq) (f \text{ ' range } c) (f b)$

lemmas $\text{omega-continuousI}[\text{intro?}] =$
 $\text{omega-continuous-def}[\text{unfolded atomize-eq, THEN iffD2, unfolded conj-imp-eq-imp-imp, rule-format}]$

lemmas $\text{omega-continuousDdom} =$
 $\text{omega-continuous-def}[\text{unfolded atomize-eq, THEN iffD1, unfolded conj-imp-eq-imp-imp, THEN conjunct1}]$

lemmas $\text{omega-continuousD} =$
 $\text{omega-continuous-def}[\text{unfolded atomize-eq, THEN iffD1, unfolded conj-imp-eq-imp-imp, THEN conjunct2, rule-format}]$

lemmas $\text{omega-continuousE}[\text{elim}] =$
 $\text{omega-continuous-def}[\text{unfolded atomize-eq, THEN iffD1, elim-format, unfolded conj-imp-eq-imp-imp, rule-format}]$

lemma $\text{omega-continuous-imp-mono-refl}$:
assumes $\text{cont: omega-continuous } f$
and $x: x \in A$ **and** $y: y \in A$
and $xy: x \sqsubseteq y$ **and** $xx: x \sqsubseteq x$ **and** $yy: y \sqsubseteq y$
shows $f x \sqsubseteq f y$

proof-

define $c :: \text{nat} \Rightarrow 'a$ **where** $c \equiv \lambda i. \text{if } i = 0 \text{ then } x \text{ else } y$
from $x y xx xy yy$ **have** $c: \text{range } c \subseteq A$ **monotone** $(\leq) (\sqsubseteq) c$
by $(\text{auto simp: } c\text{-def intro!: monotoneI})$
have $\text{extreme-bound } A (\sqsubseteq) (\text{range } c) y$ **using** $xy yy x y$ **by** $(\text{auto simp: } c\text{-def})$
then have $f\text{by: extreme-bound } A (\sqsubseteq) (f \text{ ' range } c) (f y)$ **using** $c \text{ cont } y$ **by** auto
then show $f x \sqsubseteq f y$ **by** $(\text{auto simp: } c\text{-def})$

qed

definition $\text{scott-continuous } f \equiv$
 $f \text{ ' } A \subseteq A \wedge$
 $(\forall X s. X \subseteq A \longrightarrow \text{directed } X (\sqsubseteq) \longrightarrow X \neq \{\} \longrightarrow \text{extreme-bound } A (\sqsubseteq) X s$
 $\longrightarrow \text{extreme-bound } A (\sqsubseteq) (f \text{ ' } X) (f s))$

lemmas $\text{scott-continuousI}[\text{intro?}] =$
 $\text{scott-continuous-def}[\text{unfolded atomize-eq, THEN iffD2, unfolded conj-imp-eq-imp-imp, rule-format}]$

lemmas $\text{scott-continuousE} =$
 $\text{scott-continuous-def}[\text{unfolded atomize-eq, THEN iffD1, elim-format, unfolded conj-imp-eq-imp-imp, rule-format}]$

lemma $\text{scott-continuous-imp-mono-refl}$:
assumes $\text{scott: scott-continuous } f$

```

  and  $x: x \in A$  and  $y: y \in A$  and  $xy: x \sqsubseteq y$  and  $yy: y \sqsubseteq y$ 
  shows  $f x \sqsubseteq f y$ 
proof-
  define  $D$  where  $D \equiv \{x, y\}$ 
  from  $x y xy yy$  have  $dir-D: D \subseteq A$  directed  $D (\sqsubseteq) D \neq \{\}$ 
  by (auto simp:  $D$ -def intro!: beqI[of - y] directedI)
  have extreme-bound  $A (\sqsubseteq) D y$  using  $xy yy x y$  by (auto simp:  $D$ -def)
  then have  $fboy: extreme-bound A (\sqsubseteq) (f \text{ ` } D) (f y)$  using  $dir-D scott$  by (auto
elim!: scott-continuousE)
  then show  $f x \sqsubseteq f y$  by (auto simp:  $D$ -def)
qed

```

```

lemma scott-continuous-imp-omega-continuous:
  assumes  $scott: scott-continuous f$  shows  $omega-continuous f$ 
proof
  from  $scott$  show  $f \text{ ` } A \subseteq A$  by (auto elim!: scott-continuousE)
  fix  $c :: nat \Rightarrow 'a$ 
  assume  $mono: monotone (\leq) (\sqsubseteq) c$  and  $c: range c \subseteq A$ 
  from  $monotone-directed-image[OF mono [folded monotone-on-UNIV] order.directed]$ 
 $scott c$ 
  show  $extreme-bound A (\sqsubseteq) (range c) b \implies extreme-bound A (\sqsubseteq) (f \text{ ` } range c) (f$ 
 $b)$  for  $b$ 
  by (auto elim!: scott-continuousE)
qed
end

```

7.2 Existence of Iterative Fixed Points

The first part of Kleene's theorem demands to prove that the set $\{f^n(\perp) \mid n \in \mathbb{N}\}$ has a supremum and that all such are quasi-fixed points. We prove this claim without assuming anything on the relation \sqsubseteq besides ω -completeness and one bottom element.

notation $compower (- \hat{\ } [1000, 1000] 1000)$

```

lemma mono-funpow: assumes  $f: f \text{ ` } A \subseteq A$  and  $mono: monotone-on A r r f$ 
  shows  $monotone-on A r r (f \hat{\ } n)$ 
proof (induct n)
  case 0
  show ?case using  $monotone-on-id$  by (auto simp: id-def)
next
  case (Suc n)
  with  $funpow-dom[OF f]$  show ?case
  by (auto intro!: monotone-onI monotone-onD[OF mono] elim!: monotone-onE)
qed

```

no-notation $bot (\perp)$

context

```

fixes  $A$  and less-eq (infix  $\sqsubseteq$  50) and bot ( $\perp$ ) and  $f$ 
assumes bot:  $\perp \in A \ \forall q \in A. \perp \sqsubseteq q$ 
assumes cont: omega-continuous  $A$  ( $\sqsubseteq$ )  $f$ 
begin

interpretation less-eq-notations.

private lemma  $f$ :  $f \ ' \ A \subseteq A$  using cont by auto

private abbreviation(input)  $F_n \equiv \{f^{\wedge n} \perp \mid n :: \text{nat}\}$ 

private lemma fn-ref:  $f^{\wedge n} \perp \sqsubseteq f^{\wedge n} \perp$  and fnA:  $f^{\wedge n} \perp \in A$ 
proof (atomize(full), induct n)
  case 0
  from bot show ?case by simp
next
  case (Suc n)
  then have fn:  $f^{\wedge n} \perp \in A$  and fnfn:  $f^{\wedge n} \perp \sqsubseteq f^{\wedge n} \perp$  by auto
  from  $f$  fn omega-continuous-imp-mono-refl[OF cont fn fn fnfn fnfn fnfn]
  show ?case by auto
qed

private lemma FnA:  $F_n \subseteq A$  using fnA by auto

private lemma fn-monotone: monotone ( $\leq$ ) ( $\sqsubseteq$ ) ( $\lambda n. f^{\wedge n} \perp$ )
proof
  fix  $n \ m :: \text{nat}$ 
  assume  $n \leq m$ 
  from le-Suc-ex[OF this] obtain  $k$  where  $m = n + k$  by auto
  from bot fn-ref fnA omega-continuous-imp-mono-refl[OF cont]
  show  $f^{\wedge n} \perp \sqsubseteq f^{\wedge m} \perp$  by (unfold m, induct n, auto)
qed

private lemma Fn:  $F_n = \text{range } (\lambda n. f^{\wedge n} \perp)$  by auto

theorem kleene-qfp:
  assumes  $q$ : extreme-bound  $A$  ( $\sqsubseteq$ )  $F_n$   $q$ 
  shows  $f \ q \sim q$ 
proof
  have  $fq$ : extreme-bound  $A$  ( $\sqsubseteq$ ) ( $f \ ' \ F_n$ ) ( $f \ q$ )
  apply (unfold Fn)
  apply (rule omega-continuousD[OF cont])
  using FnA fn-monotone  $q$  by (unfold Fn, auto)
  with bot have  $nq$ :  $f^{\wedge n} \perp \sqsubseteq f \ q$  for  $n$ 
  by(induct n, auto simp: extreme-bound-iff)
  then show  $q \sqsubseteq f \ q$  using  $f \ q$  by blast
  have  $f \ (f^{\wedge n} \perp) \in F_n$  for  $n$  by (auto intro!: exI[of - Suc n])
  then have  $f \ ' \ F_n \subseteq F_n$  by auto
  from extreme-bound-mono[OF this fq q]

```

show $f q \sqsubseteq q$.
qed

lemma *ex-kleene-qfp*:

assumes *comp*: *omega-complete* A (\sqsubseteq)
shows $\exists p$. *extreme-bound* A (\sqsubseteq) Fn p
using *fn-monotone*
apply (*intro comp*[*unfolded omega-complete-def*, *THEN completeD*, *OF FnA*])
by *fast*

7.3 Iterative Fixed Points are Least.

Kleene's theorem also states that the quasi-fixed point found this way is a least one. Again, attractivity is needed to prove this statement.

lemma *kleene-qfp-is-least*:

assumes *attract*: $\forall q \in A. \forall x \in A. f q \sim q \longrightarrow x \sqsubseteq f q \longrightarrow x \sqsubseteq q$
assumes *q*: *extreme-bound* A (\sqsubseteq) Fn q
shows *extreme* $\{s \in A. f s \sim s\}$ (\sqsubseteq) q
proof(*safe intro!*: *extremeI kleene-qfp*[*OF q*])
from q
show $q \in A$ **by** *auto*
fix c **assume** $c: c \in A$ **and** *cqfp*: $f c \sim c$
{
fix $n::nat$
have $f^{\hat{~}n} \perp \sqsubseteq c$
proof(*induct* n)
case 0
show *?case* **using** *bot c* **by** *auto*
next
case *IH*: (*Suc* n)
have $c \sqsubseteq c$ **using** *attract cqfp c* **by** *auto*
with *IH* **have** $f^{\hat{~}(Suc\ n)} \perp \sqsubseteq f c$
using *omega-continuous-imp-mono-refl*[*OF cont*] *fn-ref fnA c* **by** *auto*
then show *?case* **using** *attract cqfp c fnA* **by** *blast*
qed
}
then show $q \sqsubseteq c$ **using** $q c$ **by** *auto*
qed

lemma *kleene-qfp-iff-least*:

assumes *comp*: *omega-complete* A (\sqsubseteq)
assumes *attract*: $\forall q \in A. \forall x \in A. f q \sim q \longrightarrow x \sqsubseteq f q \longrightarrow x \sqsubseteq q$
assumes *dual-attract*: $\forall p \in A. \forall q \in A. \forall x \in A. p \sim q \longrightarrow q \sqsubseteq x \longrightarrow p \sqsubseteq x$
shows *extreme-bound* A (\sqsubseteq) $Fn = \text{extreme } \{s \in A. f s \sim s\}$ (\sqsubseteq)
proof (*intro ext iffI kleene-qfp-is-least*[*OF attract*])
fix q
assume *q*: *extreme* $\{s \in A. f s \sim s\}$ (\sqsubseteq) q
from q **have** qA : $q \in A$ **by** *auto*
from q **have** qq : $q \sqsubseteq q$ **by** *auto*

```

from  $q$  have  $fqq: f\ q \sim q$  by auto
from ex-kleene-gfp[OF comp]
obtain  $k$  where  $k: \text{extreme-bound } A (\sqsubseteq) \text{ Fn } k$  by auto
have  $qk: q \sim k$ 
proof
  from kleene-gfp[OF k]  $q\ k$ 
  show  $q \sqsubseteq k$  by auto
  from kleene-gfp-is-least[OF - k]  $q$  attract
  show  $k \sqsubseteq q$  by blast
qed
show extreme-bound A (sqsubseteq) Fn q
proof (intro extreme-boundI,safe)
  fix  $n$ 
  show  $f^{\wedge}n \perp \sqsubseteq q$ 
  proof (induct n)
    case  $0$ 
    from bot q show ?case by auto
  next
  case  $S:(\text{Suc } n)$ 
  from  $fnA\ f$  have  $fsnbA: f (f^{\wedge}n \perp) \in A$  by auto
  have  $fnfn: f^{\wedge}n \perp \sqsubseteq f^{\wedge}n \perp$  using fn-ref by auto
  have  $f (f^{\wedge}n \perp) \sqsubseteq f\ q$ 
  using omega-continuous-imp-mono-refl[OF cont fnA qA S fnfn qq] by auto
  then show ?case using  $fsnbA\ qA$  attract fqq by auto
  qed
next
  fix  $x$ 
  assume bound Fn (sqsubseteq) x and  $x: x \in A$ 
  with  $k$  have  $kx: k \sqsubseteq x$  by auto
  with dual-attract[rule-format, OF - - x qk]  $q\ k$ 
  show  $q \sqsubseteq x$  by auto
next
  from  $q$  show  $q \in A$  by auto
qed
qed
end

```

context *attractive* **begin**

interpretation *less-eq-notations*.

theorem *kleene-gfp-is-dual-extreme*:

```

assumes comp: omega-complete A (sqsubseteq)
  and cont: omega-continuous A (sqsubseteq) f and  $bA: b \in A$  and  $bot: \forall x \in A. b \sqsubseteq x$ 
shows extreme-bound A (sqsubseteq) {f^{\wedge}n b | . n :: nat} = extreme {s \in A. f s \sim s} (sqsubseteq)
apply (rule kleene-gfp-iff-least[OF bA bot cont comp])
using cont[THEN omega-continuousDdom]
by (auto dest: sym-order-trans order-sym-trans)

```

end

corollary(in *antisymmetric*) *kleene-fp*:
 assumes *cont*: *omega-continuous* A (\sqsubseteq) f
 and b : $b \in A \ \forall x \in A. b \sqsubseteq x$
 and p : *extreme-bound* A (\sqsubseteq) $\{f \hat{\ }^n b \mid n :: nat\}$ p
 shows $f p = p$
 using *kleene-gfp*[*OF* b *cont*] p *cont*[*THEN* *omega-continuousDdom*]
 by (*auto* 2 3 *intro!*:*antisym*)

no-notation *compower* ($- \hat{\ }^{[1000,1000]}$) 1000)

end

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