

# Simultaneous diagonalization of pairwise commuting Hermitian matrices

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## Abstract

A Hermitian matrix is a square complex matrix  $A$  that is equal to its conjugate transpose  $A^\dagger$ . The (finite-dimensional) spectral theorem states that for any such matrix  $A$ , we have the equality  $A = U \cdot B \cdot U^\dagger$ , where  $U$  is a unitary matrix and  $B$  is a diagonal matrix containing only real elements. We formalize the generalization of this result, which states that if  $\{A_1, \dots, A_n\}$  are Hermitian and pairwise commuting matrices, then there exists a unitary matrix  $U$  such that  $A_i = U \cdot B_i \cdot U^\dagger$ , for  $i = 1, \dots, n$ , and each  $B_i$  is diagonal and contains only real elements. Sets of pairwise commuting Hermitian matrices are called *Complete Sets of Commuting Observables* in Quantum Mechanics, where they represent physical quantities that can be simultaneously measured to uniquely distinguish quantum states.

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```
theory Spectral-Theory-Complements imports HOL-Combinatorics.Permutations
Projective-Measurements.Linear-Algebra-Complements
Projective-Measurements.Projective-Measurements
```

```
begin
```

## 1 Some preliminary results

### 1.1 Roots of a polynomial

Results on polynomials, the main one being that the set of roots of a polynomial is uniquely defined.

```
lemma root-poly-linear:
  shows poly ((prod a:L. [: a, 1:])) (c:'a :: field) = 0 ==> c ∈ set L
⟨proof⟩
```

```
lemma poly-root-set-subseteq:
  assumes ((prod (a:'a:field) :L. [: a, 1:])) = ((prod a:M. [: a, 1:]))
  shows set L ⊆ set M
⟨proof⟩
```

```
lemma poly-root-set-eq:
  assumes ((prod (a:'a:field) :L. [: a, 1:])) = ((prod a:M. [: a, 1:]))
  shows set L = set M ⟨proof⟩
```

### 1.2 Linear algebra preliminaries

```
lemma minus-zero-vec-eq:
  fixes v:'a:{ab-group-add} Matrix.vec
  assumes dim-vec v = n
  and dim-vec w = n
```

```

and  $v - w = 0_v \ n$ 
shows  $v = w$ 
⟨proof⟩

lemma right-minus-zero-mat:
fixes  $A::'a::\{group-add\} \ Matrix.mat$ 
shows  $A - 0_m \ (\dim-row A) \ (\dim-col A) = A$ 
⟨proof⟩

lemma smult-zero:
shows  $(0::'a::comm-ring) \cdot_m A = 0_m \ (\dim-row A) \ (\dim-col A)$  ⟨proof⟩

lemma rank-1-proj-col-carrier:
assumes  $i < \dim-col A$ 
shows rank-1-proj ( $Matrix.col A i$ ) ∈ carrier-mat ( $\dim-row A$ ) ( $\dim-row A$ )
⟨proof⟩

lemma zero-adjoint:
shows Complex-Matrix.adjoint ( $0_m \ n \ m$ ) = (( $0_m \ m \ n$ ):: 'a::conjugatable-field
Matrix.mat)
⟨proof⟩

lemma assoc-mat-mult-vec':
assumes  $A \in \text{carrier-mat } n \ n$ 
and  $B \in \text{carrier-mat } n \ n$ 
and  $C \in \text{carrier-mat } n \ n$ 
and  $v \in \text{carrier-vec } n$ 
shows  $A * B * C *_v v = A *_v (B *_v (C *_v v))$  ⟨proof⟩

lemma adjoint-dim':
assumes  $A \in \text{carrier-mat } n \ m \implies \text{Complex-Matrix.adjoint } A \in \text{carrier-mat } m \ n$ 
⟨proof⟩

definition mat-conj where
mat-conj  $U \ V = U * V * (\text{Complex-Matrix.adjoint } U)$ 

lemma mat-conj-adjoint:
shows mat-conj ( $\text{Complex-Matrix.adjoint } U$ )  $V =$ 
 $\text{Complex-Matrix.adjoint } U * V * U$  ⟨proof⟩

lemma map2-mat-conj-exp:
assumes length  $A = \text{length } B$ 
shows map2 (*) (map2 (*)  $A \ B$ ) ( $\text{map Complex-Matrix.adjoint } A$ ) =
map2 mat-conj  $A \ B$  ⟨proof⟩

lemma mat-conj-unit-commute:
assumes unitary  $U$ 
and  $U * A = A * U$ 
and  $A \in \text{carrier-mat } n \ n$ 

```

```

and  $U \in \text{carrier-mat } n \ n$ 
shows  $\text{mat-conj } U A = A$ 
⟨proof⟩

lemma hermitian-mat-conj:
assumes  $A \in \text{carrier-mat } n \ n$ 
and  $U \in \text{carrier-mat } n \ n$ 
and hermitian A
shows hermitian (mat-conj U A)
⟨proof⟩

lemma hermitian-mat-conj':
assumes  $A \in \text{carrier-mat } n \ n$ 
and  $U \in \text{carrier-mat } n \ n$ 
and hermitian A
shows hermitian (mat-conj (Complex-Matrix.adjoint U) A)
⟨proof⟩

lemma mat-conj-uminus-eq:
assumes  $A \in \text{carrier-mat } n \ n$ 
and  $U \in \text{carrier-mat } n \ n$ 
and  $B \in \text{carrier-mat } n \ n$ 
and  $A = \text{mat-conj } U B$ 
shows  $-A = \text{mat-conj } U (-B)$  ⟨proof⟩

lemma mat-conj-smult:
assumes  $A \in \text{carrier-mat } n \ n$ 
and  $U \in \text{carrier-mat } n \ n$ 
and  $B \in \text{carrier-mat } n \ n$ 
and  $A = U * B * (\text{Complex-Matrix.adjoint } U)$ 
shows  $x \cdot_m A = U * (x \cdot_m B) * (\text{Complex-Matrix.adjoint } U)$  ⟨proof⟩

lemma mult-adjoint-hermitian:
fixes  $A : 'a :: \text{conjugatable-field Matrix.mat}$ 
assumes  $A \in \text{carrier-mat } n \ m$ 
shows hermitian ((Complex-Matrix.adjoint A) * A) ⟨proof⟩

lemma hermitian-square-hermitian:
fixes  $A : 'a :: \text{conjugatable-field Matrix.mat}$ 
assumes hermitian A
shows hermitian (A * A)
⟨proof⟩

```

## 2 Properties of the spectrum of a matrix

### 2.1 Results on diagonal matrices

```

lemma diagonal-mat-uminus:
fixes  $A : 'a :: \{ring\} \text{Matrix.mat}$ 

```

```

assumes diagonal-mat A
shows diagonal-mat (-A) ⟨proof⟩

lemma diagonal-mat-smult:
  fixes A::'a::{ring} Matrix.mat
  assumes diagonal-mat A
  shows diagonal-mat (x ·m A) ⟨proof⟩

lemma diagonal-imp-upper-triangular:
  assumes diagonal-mat A
  and A ∈ carrier-mat n n
  shows upper-triangular A ⟨proof⟩

lemma set-diag-mat-uminus:
  assumes A ∈ carrier-mat n n
  shows set (diag-mat (-A)) = {-a | a. a ∈ set (diag-mat A)} (is ?L = ?R)
  ⟨proof⟩

lemma set-diag-mat-smult:
  assumes A ∈ carrier-mat n n
  shows set (diag-mat (x ·m A)) = {x * a | a. a ∈ set (diag-mat A)} (is ?L = ?R)
  ⟨proof⟩

lemma diag-mat-diagonal-eq:
  assumes diag-mat A = diag-mat B
  and diagonal-mat A
  and diagonal-mat B
  and dim-col A = dim-col B
  shows A = B
  ⟨proof⟩

lemma diag-elems-ne:
  assumes B ∈ carrier-mat n n
  and 0 < n
  shows diag-elems B ≠ {}
  ⟨proof⟩

lemma diagonal-mat-mult-vec:
  fixes B::'a::conjugatable-field Matrix.mat
  assumes diagonal-mat B
  and B ∈ carrier-mat n n
  and v ∈ carrier-vec n
  and i < n
  shows vec-index (B *v v) i = B §§ (i,i) * (vec-index v i)
  ⟨proof⟩

```

```

lemma diagonal-mat-mult-index:
  fixes B::'a::{ring} Matrix.mat
  assumes diagonal-mat A
  and A ∈ carrier-mat n n
  and B ∈ carrier-mat n n
  and i < n
  and j < n
  shows (A * B) §§ (i,j) = A$$$(i,i) * B$$$(j,j) ⟨proof⟩

lemma diagonal-mat-mult-index':
  fixes A::'a::comm-ring Matrix.mat
  assumes A ∈ carrier-mat n n
  and B ∈ carrier-mat n n
  and diagonal-mat B
  and j < n
  and i < n
  shows (A*B) §§(i,j) = B$$$(j,j) *A §§ (i, j)

⟨proof⟩

lemma diagonal-mat-times-diag:
  assumes A ∈ carrier-mat n n
  and B ∈ carrier-mat n n
  and diagonal-mat A
  and diagonal-mat B
  shows diagonal-mat (A*B) ⟨proof⟩

lemma diagonal-mat-commute:
  fixes A::'a::{comm-ring} Matrix.mat
  assumes A ∈ carrier-mat n n
  and B ∈ carrier-mat n n
  and diagonal-mat A
  and diagonal-mat B
  shows A * B = B * A
⟨proof⟩

lemma diagonal-mat-sq-index:
  fixes B::'a::{ring} Matrix.mat
  assumes diagonal-mat B
  and B ∈ carrier-mat n n
  and i < n
  and j < n
  shows (B * B) §§ (i,j) = B$$$(i,i) * B$$$(j,i)
⟨proof⟩

lemma diagonal-mat-sq-index':
  fixes B::'a::{ring} Matrix.mat
  assumes diagonal-mat B
  and B ∈ carrier-mat n n

```

```

and  $i < n$ 
and  $j < n$ 
shows  $(B * B) \$\$ (i,j) = B\$\$(i,j) * B\$\$(i,j)$ 
{proof}

```

```

lemma diagonal-mat-sq-diag:
  fixes  $B::'a::\{ring\} \text{Matrix.mat}$ 
  assumes diagonal-mat B
  and  $B \in \text{carrier-mat } n \ n$ 
  shows diagonal-mat  $(B * B)$  {proof}

```

```

lemma real-diagonal-hermitian:
  fixes  $B::\text{complex Matrix.mat}$ 
  assumes  $B \in \text{carrier-mat } n \ n$ 
  and diagonal-mat B
  and  $\forall i < \text{dim-row } B. B\$\$(i, i) \in \text{Reals}$ 
  shows hermitian B {proof}

```

## 2.2 Unitary equivalence

```

definition unitarily-equiv where
  unitarily-equiv A B U  $\equiv$  (unitary U  $\wedge$ 
    similar-mat-wit A B U (Complex-Matrix.adjoint U))

```

```

lemma unitarily-equivD:
  assumes unitarily-equiv A B U
  shows unitary U
    similar-mat-wit A B U (Complex-Matrix.adjoint U) {proof}

```

```

lemma unitarily-equivI:
  assumes similar-mat-wit A B U (Complex-Matrix.adjoint U)
  and unitary U
  shows unitarily-equiv A B U {proof}

```

```

lemma unitarily-equivI':
  assumes  $A = \text{mat-conj } U \ B$ 
  and unitary U
  and  $A \in \text{carrier-mat } n \ n$ 
  and  $B \in \text{carrier-mat } n \ n$ 
  shows unitarily-equiv A B U {proof}

```

```

lemma unitarily-equiv-carrier:
  assumes  $A \in \text{carrier-mat } n \ n$ 
  and unitarily-equiv A B U
  shows  $B \in \text{carrier-mat } n \ n \ U \in \text{carrier-mat } n \ n$ 
{proof}

```

```

lemma unitarily-equiv-carrier':
  assumes unitarily-equiv A B U

```

**shows**  $A \in \text{carrier-mat} (\dim\text{-row } A) (\dim\text{-row } A)$

**B**  $\in \text{carrier-mat} (\dim\text{-row } A) (\dim\text{-row } A)$

**U**  $\in \text{carrier-mat} (\dim\text{-row } A) (\dim\text{-row } A)$

$\langle \text{proof} \rangle$

**lemma** *unitarily-equiv-eq*:

**assumes** *unitarily-equiv A B U*

**shows**  $A = U * B * (\text{Complex-Matrix.adjoint } U)$   $\langle \text{proof} \rangle$

**lemma** *unitarily-equiv-smult*:

**assumes**  $A \in \text{carrier-mat } n \ n$

**and** *unitarily-equiv A B U*

**shows** *unitarily-equiv (x ·<sub>m</sub> A) (x ·<sub>m</sub> B) U*

$\langle \text{proof} \rangle$

**lemma** *unitarily-equiv-uminus*:

**assumes**  $A \in \text{carrier-mat } n \ n$

**and** *unitarily-equiv A B U*

**shows** *unitarily-equiv (-A) (-B) U*

$\langle \text{proof} \rangle$

**lemma** *unitarily-equiv-adjoint*:

**assumes** *unitarily-equiv A B U*

**shows** *unitarily-equiv B A (Complex-Matrix.adjoint U)*

$\langle \text{proof} \rangle$

**lemma** *unitary-mult-conjugate*:

**assumes**  $A \in \text{carrier-mat } n \ n$

**and**  $V \in \text{carrier-mat } n \ n$

**and**  $U \in \text{carrier-mat } n \ n$

**and**  $B \in \text{carrier-mat } n \ n$

**and** *unitary V*

**and** *mat-conj (Complex-Matrix.adjoint V) A = mat-conj U B*

**shows**  $A = V * U * B * \text{Complex-Matrix.adjoint} (V * U)$

$\langle \text{proof} \rangle$

**lemma** *unitarily-equiv-conjugate*:

**assumes**  $A \in \text{carrier-mat } n \ n$

**and**  $V \in \text{carrier-mat } n \ n$

**and**  $U \in \text{carrier-mat } n \ n$

**and**  $B \in \text{carrier-mat } n \ n$

**and** *unitarily-equiv (mat-conj (Complex-Matrix.adjoint V) A) B U*

**and** *unitary V*

**shows** *unitarily-equiv A B (V \* U)*

$\langle \text{proof} \rangle$

**lemma** *mat-conj-commute*:

**assumes**  $A \in \text{carrier-mat } n \ n$

**and**  $B \in \text{carrier-mat } n \ n$

```

and  $U \in \text{carrier-mat } n \ n$ 
and unitary  $U$ 
and  $A*B = B*A$ 
shows (mat-conj (Complex-Matrix.adjoint  $U$ )  $A$ ) *
  (mat-conj (Complex-Matrix.adjoint  $U$ )  $B$ ) =
  (mat-conj (Complex-Matrix.adjoint  $U$ )  $B$ ) *
  (mat-conj (Complex-Matrix.adjoint  $U$ )  $A$ ) (is ? $L$ *? $R$  = ? $R$ * ? $L$ )
  ⟨proof⟩

lemma unitarily-equiv-commute:
assumes unitarily-equiv  $A \ B \ U$ 
and  $A*C = C*A$ 
shows  $B * (\text{Complex-Matrix.adjoint } U * C * U) =$ 
  Complex-Matrix.adjoint  $U * C * U * B$ 
  ⟨proof⟩

definition unitary-diag where
unitary-diag  $A \ B \ U \equiv \text{unitarily-equiv } A \ B \ U \wedge \text{diagonal-mat } B$ 

lemma unitary-diagI:
assumes similar-mat-wit  $A \ B \ U$  (Complex-Matrix.adjoint  $U$ )
and diagonal-mat  $B$ 
and unitary  $U$ 
shows unitary-diag  $A \ B \ U$  ⟨proof⟩

lemma unitary-diagI':
assumes  $A \in \text{carrier-mat } n \ n$ 
and  $B \in \text{carrier-mat } n \ n$ 
and diagonal-mat  $B$ 
and unitary  $U$ 
and  $A = \text{mat-conj } U \ B$ 
shows unitary-diag  $A \ B \ U$  ⟨proof⟩

lemma unitary-diagD:
assumes unitary-diag  $A \ B \ U$ 
shows similar-mat-wit  $A \ B \ U$  (Complex-Matrix.adjoint  $U$ )
  diagonal-mat  $B$  unitary  $U$  ⟨proof⟩

lemma unitary-diag-imp-unitarily-equiv[simp]:
assumes unitary-diag  $A \ B \ U$ 
shows unitarily-equiv  $A \ B \ U$  ⟨proof⟩

lemma unitary-diag-diagonal[simp]:
assumes unitary-diag  $A \ B \ U$ 
shows diagonal-mat  $B$  ⟨proof⟩

lemma unitary-diag-carrier:
assumes  $A \in \text{carrier-mat } n \ n$ 
and unitary-diag  $A \ B \ U$ 

```

**shows**  $B \in \text{carrier-mat } n \ n$   $U \in \text{carrier-mat } n \ n$   
 $\langle \text{proof} \rangle$

**lemma** *unitary-mult-square-eq*:  
**assumes**  $A \in \text{carrier-mat } n \ n$   
**and**  $U \in \text{carrier-mat } n \ n$   
**and**  $B \in \text{carrier-mat } n \ n$   
**and**  $A = \text{mat-conj } U B$   
**and**  $(\text{Complex-Matrix.adjoint } U) * U = 1_m \ n$   
**shows**  $A * A = \text{mat-conj } U (B * B)$   
 $\langle \text{proof} \rangle$

**lemma** *hermitian-square-similar-mat-wit*:  
**fixes**  $A :: \text{complex Matrix.mat}$   
**assumes** *hermitian*  $A$   
**and**  $A \in \text{carrier-mat } n \ n$   
**and** *unitary-diag*  $A \ B \ U$   
**shows** *similar-mat-wit*  $(A * A) (B * B) \ U (\text{Complex-Matrix.adjoint } U)$   
 $\langle \text{proof} \rangle$

**lemma** *unitarily-equiv-square*:  
**assumes**  $A \in \text{carrier-mat } n \ n$   
**and** *unitarily-equiv*  $A \ B \ U$   
**shows** *unitarily-equiv*  $(A * A) (B * B) \ U$   
 $\langle \text{proof} \rangle$

**lemma** *conjugate-eq-unitarily-equiv*:  
**assumes**  $A \in \text{carrier-mat } n \ n$   
**and**  $V \in \text{carrier-mat } n \ n$   
**and** *unitarily-equiv*  $A \ B \ U$   
**and** *unitary*  $V$   
**and**  $V * B * (\text{Complex-Matrix.adjoint } V) = B$   
**shows** *unitarily-equiv*  $A \ B \ (U * V)$   
 $\langle \text{proof} \rangle$

**definition** *real-diag-decomp* **where**  
 $\text{real-diag-decomp } A \ B \ U \equiv \text{unitary-diag } A \ B \ U \wedge$   
 $(\forall i < \text{dim-row } B. \ B\$$(i, i) \in \text{Reals})$

**lemma** *real-diag-decompD[simp]*:  
**assumes** *real-diag-decomp*  $A \ B \ U$   
**shows** *unitary-diag*  $A \ B \ U$   
 $(\forall i < \text{dim-row } B. \ B\$$(i, i) \in \text{Reals}) \langle \text{proof} \rangle$

**lemma** *hermitian-decomp-decomp'*:  
**fixes**  $A :: \text{complex Matrix.mat}$   
**assumes** *hermitian-decomp*  $A \ B \ U$   
**shows** *real-diag-decomp*  $A \ B \ U$

$\langle proof \rangle$

```
lemma real-diag-decomp-hermitian:  
  fixes A::complex Matrix.mat  
  assumes real-diag-decomp A B U  
  shows hermitian A  
 $\langle proof \rangle$   
  
lemma unitary-conjugate-real-diag-decomp:  
  assumes A ∈ carrier-mat n n  
  and Us ∈ carrier-mat n n  
  and unitary Us  
  and real-diag-decomp (mat-conj (Complex-Matrix.adjoint Us) A) B U  
  shows real-diag-decomp A B (Us * U)  $\langle proof \rangle$ 
```

## 2.3 On the spectrum of a matrix

```
lemma similar-spectrum-eq:  
  fixes A::complex Matrix.mat  
  assumes A ∈ carrier-mat n n  
  and similar-mat A B  
  and upper-triangular B  
  shows spectrum A = set (diag-mat B)  
 $\langle proof \rangle$   
  
lemma unitary-diag-spectrum-eq:  
  fixes A::complex Matrix.mat  
  assumes A ∈ carrier-mat n n  
  and unitary-diag A B U  
  shows spectrum A = set (diag-mat B)  
 $\langle proof \rangle$   
  
lemma unitary-diag-spectrum-eq':  
  fixes A::complex Matrix.mat  
  assumes A ∈ carrier-mat n n  
  and unitary-diag A B U  
  shows spectrum A = diag-elems B  
 $\langle proof \rangle$   
  
lemma hermitian-real-diag-decomp:  
  fixes A::complex Matrix.mat  
  assumes A ∈ carrier-mat n n  
  and 0 < n  
  and hermitian A  
  obtains B U where real-diag-decomp A B U  
 $\langle proof \rangle$   
  
lemma spectrum-smult:  
  fixes A::complex Matrix.mat
```

```

assumes hermitian A
and A ∈ carrier-mat n n
and 0 < n
shows spectrum (x ·m A) = {x * a | a. a ∈ spectrum A}
⟨proof⟩

```

```

lemma spectrum-uminus:
fixes A::complex Matrix.mat
assumes hermitian A
and A ∈ carrier-mat n n
and 0 < n
shows spectrum (−A) = {−a | a. a ∈ spectrum A}
⟨proof⟩

```

### 3 Properties of the inner product

#### 3.1 Some analysis complements

```

lemma add-conj-le:
shows z + conj z ≤ 2 * cmod z
⟨proof⟩

```

```

lemma abs-real:
fixes x::complex
assumes x ∈ Reals
shows abs x ∈ Reals ⟨proof⟩

```

```

lemma csqrt-cmod-square:
shows csqrt ((cmod z)2) = cmod z
⟨proof⟩

```

```

lemma cpx-real-le:
fixes z::complex
assumes 0 ≤ z
and 0 ≤ u
and z2 ≤ u2
shows z ≤ u
⟨proof⟩

```

```

lemma mult-conj-real:
fixes v::complex
shows v * (conjugate v) ∈ Reals
⟨proof⟩

```

```

lemma real-sum-real:
assumes ⋀ i. i < n ⇒ ((f i)::complex) ∈ Reals
shows (∑ i ∈ {0 ..< n}. f i) ∈ Reals
⟨proof⟩

```

```

lemma real-mult-re:
  assumes a ∈ Reals and b ∈ Reals
  shows Re (a * b) = Re a * Re b ⟨proof⟩

lemma complex-positive-Im:
  fixes b::complex
  assumes 0 ≤ b
  shows Im b = 0
  ⟨proof⟩

lemma cmod-pos:
  fixes z::complex
  assumes 0 ≤ z
  shows cmod z = z
  ⟨proof⟩

lemma cpx-pos-square-pos:
  fixes z::complex
  assumes 0 ≤ z
  shows 0 ≤ z2
  ⟨proof⟩

lemma cmod-mult-pos:
  fixes b::complex
  fixes z::complex
  assumes 0 ≤ b
  shows cmod (b * z) = Re b * cmod z ⟨proof⟩

lemma cmod-conjugate-square-eq:
  fixes z::complex
  shows cmod (z * (conjugate z)) = z * (conjugate z)
  ⟨proof⟩

lemma pos-sum-gt-comp:
  assumes finite I
  and ⋀ i. i ∈ I ⟹ (0::real) ≤ f i
  and j ∈ I
  and c < f j
  shows c < sum f I
  ⟨proof⟩

lemma pos-sum-le-comp:
  assumes finite I
  and ⋀ i. i ∈ I ⟹ (0::real) ≤ f i
  and sum f I ≤ c

```

**shows**  $\forall i \in I. f i \leq c$   
 $\langle proof \rangle$

**lemma** square-pos-mult-le:  
**assumes** finite  $I$   
**and**  $\bigwedge i. i \in I \implies ((0::real) \leq f i \wedge f i \leq 1)$   
**shows** sum  $(\lambda x. f x * f x) I \leq \text{sum } f I \langle proof \rangle$

**lemma** square-pos-mult-lt:  
**assumes** finite  $I$   
**and**  $\bigwedge i. i \in I \implies ((0::real) \leq f i \wedge f i \leq 1)$   
**and**  $j \in I$   
**and**  $f j < 1$   
**and**  $0 < f j$   
**shows** sum  $(\lambda x. f x * f x) I < \text{sum } f I \langle proof \rangle$

### 3.2 Inner product results

In particular we prove the triangle inequality, i.e. that for vectors  $u$  and  $v$  we have  $\|u + v\| \leq \|u\| + \|v\|$ .

**lemma** inner-prod-vec-norm-pow2:  
**shows**  $(\text{vec-norm } v)^2 = v \cdot c v \langle proof \rangle$

**lemma** inner-prod-mult-mat-vec-left:  
**assumes**  $v \in \text{carrier-vec } n$   
**and**  $w \in \text{carrier-vec } n'$   
**and**  $A \in \text{carrier-mat } m n$   
**and**  $B \in \text{carrier-mat } m n'$   
**shows** inner-prod  $(A *_v v) (B *_v w) =$   
 $\text{inner-prod } (((\text{Complex-Matrix.adjoint } B) * A) *_v v) w$   
 $\langle proof \rangle$

**lemma** rank-1-proj-trace-inner:  
**fixes**  $A :: 'a::\text{conjugatable-field Matrix.mat}$  **and**  $v :: 'a \text{Matrix.vec}$   
**assumes**  $A: A \in \text{carrier-mat } n n$   
**and**  $v: v \in \text{carrier-vec } n$   
**shows** Complex-Matrix.trace  $(A * (\text{rank-1-proj } v)) = \text{Complex-Matrix.inner-prod}$   
 $v (A *_v v)$   
 $\langle proof \rangle$

**lemma** unitary-inner-prod:  
**assumes**  $v \in \text{carrier-vec } n$   
**and**  $w \in \text{carrier-vec } n$   
**and**  $U \in \text{carrier-mat } n n$   
**and**  $\text{Complex-Matrix.unitary } U$   
**shows** inner-prod  $(U *_v v) (U *_v w) = \text{inner-prod } v w$

$\langle proof \rangle$

**lemma** *unitary-vec-norm*:  
  **assumes**  $v \in carrier\text{-}vec n$   
  **and**  $U \in carrier\text{-}mat n n$   
  **and** *Complex-Matrix.unitary*  $U$   
**shows** *vec-norm* ( $U *_v v$ ) = *vec-norm*  $v$   $\langle proof \rangle$

**lemma** *unitary-col-norm-square*:  
  **assumes** *unitary*  $U$   
  **and**  $U \in carrier\text{-}mat n n$   
  **and**  $i < n$   
**shows**  $\|Matrix.col U i\|^2 = 1$   
 $\langle proof \rangle$

**lemma** *unitary-col-norm*:  
  **assumes** *unitary*  $U$   
  **and**  $U \in carrier\text{-}mat n n$   
  **and**  $i < n$   
**shows**  $\|Matrix.col U i\| = 1$   $\langle proof \rangle$

**lemma** *inner-mult-diag-expand*:  
  **fixes**  $B : complex Matrix.mat$   
  **assumes** *diagonal-mat*  $B$   
  **and**  $B \in carrier\text{-}mat n n$   
  **and**  $v \in carrier\text{-}vec n$   
**shows** *inner-prod* ( $B *_v v$ )  $v =$   
   $(\sum_{i \in \{0 .. < n\}} (conjugate (B \$\$ (i,i))) * (vec\text{-}index v i * (conjugate (vec\text{-}index v i))))$   
 $\langle proof \rangle$

**lemma** *inner-mult-diag-expand'*:  
  **fixes**  $B : complex Matrix.mat$   
  **assumes** *diagonal-mat*  $B$   
  **and**  $B \in carrier\text{-}mat n n$   
  **and**  $v \in carrier\text{-}vec n$   
**shows** *inner-prod*  $v$  ( $B *_v v$ ) =  
   $(\sum_{i \in \{0 .. < n\}} B \$\$ (i,i) * (vec\text{-}index v i * (conjugate (vec\text{-}index v i))))$   
 $\langle proof \rangle$

**lemma** *self-inner-prod-real*:  
  **fixes**  $v : complex Matrix.vec$   
  **shows** *Complex-Matrix.inner-prod*  $v v \in Reals$   
 $\langle proof \rangle$

**lemma** *inner-mult-diag-real*:  
  **fixes**  $B : complex Matrix.mat$   
  **assumes** *diagonal-mat*  $B$

```

and  $B \in \text{carrier-mat } n \ n$ 
and  $\forall i < n. B\$$(i, i) \in \text{Reals}$ 
and  $v \in \text{carrier-vec } n$ 
shows  $\text{inner-prod} (B *_v v) v \in \text{Reals}$ 
⟨proof⟩

lemma inner-mult-diag-real':
fixes  $B::\text{complex Matrix.mat}$ 
assumes diagonal-mat B
and  $B \in \text{carrier-mat } n \ n$ 
and  $\forall i < n. B\$$(i, i) \in \text{Reals}$ 
and  $v \in \text{carrier-vec } n$ 
shows  $\text{inner-prod} v (B *_v v) \in \text{Reals}$ 
⟨proof⟩

lemma inner-prod-mult-mat-vec-right:
assumes  $v \in \text{carrier-vec } n$ 
and  $w \in \text{carrier-vec } n'$ 
and  $A \in \text{carrier-mat } m \ n$ 
and  $B \in \text{carrier-mat } m \ n'$ 
shows  $\text{inner-prod} (A *_v v) (B *_v w) =$ 
 $\text{inner-prod} v (((\text{Complex-Matrix.adjoint } A) * B) *_v w)$ 
⟨proof⟩

lemma Cauchy-Schwarz-complex-vec-norm:
assumes  $\text{dim-vec } x = \text{dim-vec } y$ 
shows  $\text{cmod} (\text{inner-prod } x y) \leq \text{vec-norm } x * \text{vec-norm } y$ 
⟨proof⟩

lemma vec-norm-triangle-sq:
fixes  $u::\text{complex Matrix.vec}$ 
assumes  $\text{dim-vec } u = \text{dim-vec } v$ 
shows  $(\text{vec-norm } (u + v))^2 \leq (\text{vec-norm } u + \text{vec-norm } v)^2$ 
⟨proof⟩

lemma vec-norm-triangle:
fixes  $u::\text{complex Matrix.vec}$ 
assumes  $\text{dim-vec } u = \text{dim-vec } v$ 
shows  $\text{vec-norm } (u + v) \leq \text{vec-norm } u + \text{vec-norm } v$ 
⟨proof⟩

```

## 4 Matrix decomposition

```

lemma (in cpx-sq-mat) sum-decomp-cols:
fixes  $A::\text{complex Matrix.mat}$ 
assumes hermitian A
and  $A \in \text{fc-mats}$ 
and unitary-diag A B U
shows  $\text{sum-mat} (\lambda i. (\text{diag-mat } B ! i) \cdot_m \text{rank-1-proj} (\text{Matrix.col } U i))$ 

```

```

 $\{.. < \dimR\} = A$ 
⟨proof⟩

lemma unitary-col-inner-prod:
  assumes  $A \in \text{carrier-mat } n \ n$ 
  and  $0 < n$ 
  and Complex-Matrix.unitary  $A$ 
  and  $j < n$ 
  and  $k < n$ 
  shows Complex-Matrix.inner-prod (Matrix.col  $A \ j$ ) (Matrix.col  $A \ k$ ) =
     $(1_m \ n) \$\$ (j,k)$ 
  ⟨proof⟩

lemma (in cpx-sq-mat) sum-mat-ortho-proj:
  assumes finite  $I$ 
  and  $j \in I$ 
  and  $A \ j * A \ j = A \ j$ 
  and  $\bigwedge i. i \in I \implies A \ i \in \text{fc-mats}$ 
  and  $\bigwedge i. i \in I \implies i \neq j \implies A \ i * (A \ j) = (0_m \ \dimR \ \dimR)$ 
  shows (sum-mat  $A \ I$ ) * ( $A \ j$ ) = ( $A \ j$ ) ⟨proof⟩

lemma (in cpx-sq-mat) sum-mat-ortho-one:
  assumes finite  $I$ 
  and  $j \in I$ 
  and  $B \in \text{fc-mats}$ 
  and  $\bigwedge i. i \in I \implies A \ i \in \text{fc-mats}$ 
  and  $\bigwedge i. i \in I \implies i \neq j \implies A \ i * B = (0_m \ \dimR \ \dimR)$ 
  shows (sum-mat  $A \ I$ ) *  $B = A \ j * B$  ⟨proof⟩

lemma unitarily-equiv-rank-1-proj-col-carrier:
  assumes  $A \in \text{carrier-mat } n \ n$ 
  and unitarily-equiv  $A \ B \ U$ 
  and  $i < n$ 
  shows rank-1-proj (Matrix.col  $U \ i$ )  $\in \text{carrier-mat } n \ n$ 
  ⟨proof⟩

lemma decomp-eigenvector:
  fixes  $A::\text{complex Matrix.mat}$ 
  assumes  $A \in \text{carrier-mat } n \ n$ 
  and  $0 < n$ 
  and hermitian  $A$ 
  and unitary-diag  $A \ B \ U$ 
  and  $j < n$ 
  shows Complex-Matrix.trace ( $A * (\text{rank-1-proj} (\text{Matrix.col } U \ j))$ ) =  $B \$\$ (j,j)$ 
  ⟨proof⟩

lemma positive-unitary-diag-pos:
  fixes  $A::\text{complex Matrix.mat}$ 
  assumes  $A \in \text{carrier-mat } n \ n$ 

```

```

and Complex-Matrix.positive A
and unitary-diag A B U
and j < n
shows 0 ≤ B $$ (j, j)
⟨proof⟩

lemma unitary-diag-trace-mult-sum:
fixes A::complex Matrix.mat
assumes A ∈ carrier-mat n n
and C ∈ carrier-mat n n
and hermitian A
and unitary-diag A B U
and 0 < n
shows Complex-Matrix.trace (C * A) =
(∑ i = 0 ..< n. B$$ (i,i) *
Complex-Matrix.trace (C * rank-1-proj (Matrix.col U i)))
⟨proof⟩

lemma unitarily-equiv-trace:
assumes A ∈ carrier-mat n n
and unitarily-equiv A B U
shows Complex-Matrix.trace A = Complex-Matrix.trace B
⟨proof⟩

lemma unitarily-equiv-trace':
assumes A ∈ carrier-mat n n
and unitarily-equiv A B U
shows Complex-Matrix.trace A = (∑ i = 0 ..< dim-row A. B $$ (i,i))
⟨proof⟩

lemma positive-decomp-cmod-le:
fixes A::complex Matrix.mat
assumes A ∈ carrier-mat n n
and C ∈ carrier-mat n n
and 0 < n
and Complex-Matrix.positive A
and unitary-diag A B U
and ∏ i. i < n ⇒ cmod (Complex-Matrix.trace (C * rank-1-proj (Matrix.col U i))) ≤ M
shows cmod (Complex-Matrix.trace (C * A)) ≤ Re (Complex-Matrix.trace A) *
M
⟨proof⟩
end

```

```

theory Commuting-Hermitian imports Spectral-Theory-Complements Commuting-Hermitian-Misc
Projective-Measurements.Linear-Algebra-Complements
Projective-Measurements.Projective-Measurements begin

```

## 5 Additional results on block decompositions of matrices

### 5.1 Split block results

```

lemma split-block-diag-carrier:
  assumes  $D \in \text{carrier-mat } n \ n$ 
  and  $a \leq n$ 
  and split-block  $D \ a \ a = (D1, D2, D3, D4)$ 
shows  $D1 \in \text{carrier-mat } a \ a$   $D4 \in \text{carrier-mat } (n-a) \ (n-a)$ 
  ⟨proof⟩

lemma split-block-diagonal:
  assumes  $\text{diagonal-mat } D$ 
  and  $D \in \text{carrier-mat } n \ n$ 
  and  $a \leq n$ 
  and split-block  $D \ a \ a = (D1, D2, D3, D4)$ 
shows  $\text{diagonal-mat } D1 \wedge \text{diagonal-mat } D4$  ⟨proof⟩

lemma split-block-times-diag-index:
  fixes  $B::'a::\text{comm-ring } \text{Matrix.mat}$ 
  assumes  $\text{diagonal-mat } D$ 
  and  $D \in \text{carrier-mat } n \ n$ 
  and  $B \in \text{carrier-mat } n \ n$ 
  and  $a \leq n$ 
  and split-block  $B \ a \ a = (B1, B2, B3, B4)$ 
  and split-block  $D \ a \ a = (D1, D2, D3, D4)$ 
  and  $i < \text{dim-row } (D4 * B4)$ 
  and  $j < \text{dim-col } (D4 * B4)$ 
shows  $(B4 * D4) \$\$ (i, j) = (B * D) \$\$ (i+a, j+a)$ 
   $(D4 * B4) \$\$ (i, j) = (D * B) \$\$ (i+a, j+a)$ 
  ⟨proof⟩

lemma split-block-commute-subblock:
  fixes  $B::'a::\text{comm-ring } \text{Matrix.mat}$ 
  assumes  $\text{diagonal-mat } D$ 
  and  $D \in \text{carrier-mat } n \ n$ 
  and  $B \in \text{carrier-mat } n \ n$ 
  and  $a \leq n$ 
  and split-block  $B \ a \ a = (B1, B2, B3, B4)$ 
  and split-block  $D \ a \ a = (D1, D2, D3, D4)$ 
  and  $B * D = D * B$ 
shows  $B4 * D4 = D4 * B4$ 
  ⟨proof⟩

lemma commute-diag-mat-zero-comp:
  fixes  $D::'a::\{\text{field}\} \ \text{Matrix.mat}$ 
  assumes  $\text{diagonal-mat } D$ 
  and  $D \in \text{carrier-mat } n \ n$ 

```

```

and  $B \in carrier\text{-}mat n n$ 
and  $B * D = D * B$ 
and  $i < n$ 
and  $j < n$ 
and  $D\$$(i,i) \neq D\$$(j,j)$ 
shows  $B \$$(i,j) = 0$ 
⟨proof⟩

```

```

lemma commute-diag-mat-split-block:
  fixes  $D ::= 'a::\{field\} Matrix.mat$ 
  assumes diagonal-mat  $D$ 
  and  $D \in carrier\text{-}mat n n$ 
  and  $B \in carrier\text{-}mat n n$ 
  and  $B * D = D * B$ 
  and  $k \leq n$ 
  and  $\forall i j. (i < k \wedge k \leq j \wedge j < n) \longrightarrow D\$$(i,i) \neq D\$$(j,j)$ 
  and  $(B1, B2, B3, B4) = split\text{-}block B k k$ 
shows  $B2 = 0_m k (n-k)$   $B3 = 0_m (n-k) k$ 
⟨proof⟩

```

```

lemma split-block-hermitian-1:
  assumes hermitian  $A$ 
  and  $n \leq dim\text{-}row A$ 
  and  $(A1, A2, A3, A4) = split\text{-}block A n n$ 
shows hermitian  $A1$  ⟨proof⟩

```

```

lemma split-block-hermitian-4:
  assumes hermitian  $A$ 
  and  $n \leq dim\text{-}row A$ 
  and  $(A1, A2, A3, A4) = split\text{-}block A n n$ 
shows hermitian  $A4$  ⟨proof⟩

```

```

lemma diag-block-split-block:
  assumes  $B \in carrier\text{-}mat n n$ 
  and  $k < n$ 
  and  $(B1, B2, B3, B4) = split\text{-}block B k k$ 
  and  $B2 = 0_m k (n-k)$ 
  and  $B3 = 0_m (n-k) k$ 
shows  $B = diag\text{-}block\text{-}mat [B1, B4]$ 
⟨proof⟩

```

## 5.2 Diagonal block matrices

```

abbreviation four-block-diag where
four-block-diag  $B1 B2 \equiv$ 
 $(four\text{-}block\text{-}mat B1 (0_m (dim\text{-}row B1) (dim\text{-}col B2))$ 
 $(0_m (dim\text{-}row B2) (dim\text{-}col B1)) B2)$ 

```

```

lemma four-block-diag-cong-comp:

```

```

assumes dim-row A1 = dim-row B1
and dim-col A1 = dim-col B1
and four-block-diag A1 A2 = four-block-diag B1 B2
shows A1 = B1
⟨proof⟩

lemma four-block-diag-cong-comp':
assumes dim-row A1 = dim-row B1
and dim-col A1 = dim-col B1
and four-block-diag A1 A2 = four-block-diag B1 B2
shows A2 = B2
⟨proof⟩

lemma four-block-mat-real-diag:
assumes ∀ i < dim-row B1. B1$(i,i) ∈ Reals
and ∀ i < dim-row B2. B2$(i,i) ∈ Reals
and dim-row B1 = dim-col B1
and dim-row B2 = dim-col B2
and i < dim-row (four-block-diag B1 B2)
shows (four-block-diag B1 B2) $$ (i,i) ∈ Reals
⟨proof⟩

lemma four-block-diagonal:
assumes dim-row B1 = dim-col B1
and dim-row B2 = dim-col B2
and diagonal-mat B1
and diagonal-mat B2
shows diagonal-mat (four-block-diag B1 B2) ⟨proof⟩

lemma four-block-diag-zero:
assumes B ∈ carrier-mat 0 0
shows four-block-diag A B = A
⟨proof⟩

lemma four-block-diag-zero':
assumes B ∈ carrier-mat 0 0
shows four-block-diag B A = A
⟨proof⟩

lemma mult-four-block-diag:
assumes A1 ∈ carrier-mat nr1 n1 D1 ∈ carrier-mat nr2 n2
and A2 ∈ carrier-mat n1 nc1 D2 ∈ carrier-mat n2 nc2
shows four-block-diag A1 D1 *
  four-block-diag A2 D2
  = four-block-diag (A1 * A2) (D1 * D2)
⟨proof⟩

lemma four-block-diag-adjoint:
shows (Complex-Matrix.adjoint (four-block-diag A1 A2)) =

```

```
(four-block-diag (Complex-Matrix.adjoint A1)
  (Complex-Matrix.adjoint A2))
⟨proof⟩
```

```
lemma four-block-diag-unitary:
  assumes unitary U1
  and unitary U2
  shows unitary
    (four-block-diag U1 U2)
  (is unitary ?fU)
  ⟨proof⟩
```

```
lemma four-block-diag-similar:
  assumes unitarily-equiv A1 B1 U1
  and unitarily-equiv A2 B2 U2
  and dim-row A1 = dim-col A1
  and dim-row A2 = dim-col A2
  shows similar-mat-wit
    (four-block-diag A1 A2)
    (four-block-diag B1 B2)
    (four-block-diag U1 U2)
    (Complex-Matrix.adjoint (four-block-diag U1 U2))
  ⟨proof⟩
```

```
lemma four-block-unitarily-equiv:
  assumes unitarily-equiv A1 B1 U1
  and unitarily-equiv A2 B2 U2
  and dim-row A1 = dim-col A1
  and dim-row A2 = dim-col A2
  shows unitarily-equiv
    (four-block-diag A1 A2)
    (four-block-diag B1 B2)
    (four-block-diag U1 U2)
  (is unitarily-equiv ?fA ?fB ?fU)
  ⟨proof⟩
```

```
lemma four-block-unitary-diag:
  assumes unitary-diag A1 B1 U1
  and unitary-diag A2 B2 U2
  and dim-row A1 = dim-col A1
  and dim-row A2 = dim-col A2
  shows unitary-diag
    (four-block-diag A1 A2)
    (four-block-diag B1 B2)
    (four-block-diag U1 U2)
  (is unitary-diag ?fA ?fB ?fU)
  ⟨proof⟩
```

```
lemma four-block-real-diag-decomp:
```

```

assumes real-diag-decomp A1 B1 U1
and real-diag-decomp A2 B2 U2
and dim-row A1 = dim-col A1
and dim-row A2 = dim-col A2
shows real-diag-decomp
(four-block-diag A1 A2)
(four-block-diag B1 B2)
(four-block-diag U1 U2)
(is real-diag-decomp ?fA ?fB ?fU)
⟨proof⟩

lemma diag-block-mat-mult:
assumes length Al = length Bl
and ∀ i < length Al. dim-col (Al!i) = dim-row (Bl!i)
shows diag-block-mat Al * (diag-block-mat Bl) =
(diag-block-mat (map2 (*) Al Bl)) ⟨proof⟩

lemma real-diag-decomp-block:
fixes Al::complex Matrix.mat list
assumes Al ≠ []
and list-all (λA. 0 < dim-row A ∧ hermitian A) Al
shows ∃ Bl Ul. length Ul = length Al ∧
(∀ i < length Al.
Ul!i ∈ carrier-mat (dim-row (Al!i)) (dim-col (Al!i)) ∧ unitary (Ul!i) ∧
Bl!i ∈ carrier-mat (dim-row (Al!i)) (dim-col (Al!i))) ∧
real-diag-decomp (diag-block-mat Al) (diag-block-mat Bl) (diag-block-mat Ul)
⟨proof⟩

lemma diag-block-mat-adjoint:
shows Complex-Matrix.adjoint (diag-block-mat Al) =
diag-block-mat (map Complex-Matrix.adjoint Al)
⟨proof⟩

lemma diag-block-mat-mat-conj:
assumes length Al = length Bl
and ∀ i < length Al. dim-col (Al!i) = dim-row (Bl!i)
and ∀ i < length Al. dim-row (Bl!i) = dim-col (Bl!i)
shows mat-conj (diag-block-mat Al) (diag-block-mat Bl) =
diag-block-mat (map2 mat-conj Al Bl)
⟨proof⟩

lemma diag-block-mat-commute:
assumes length Al = length Bl
and ∀ i < length Al. Al!i * (Bl!i) = Bl!i * (Al!i)
and ∀ i < length Al. dim-col (Al ! i) = dim-row (Bl ! i)
and ∀ i < length Al. dim-col (Bl ! i) = dim-row (Al ! i)
shows diag-block-mat Al * (diag-block-mat Bl) =
diag-block-mat Bl * (diag-block-mat Al)
⟨proof⟩

```

```

lemma diag-block-mat-length-1:
  assumes length Al = 1
  shows diag-block-mat Al = Al!0
  ⟨proof⟩

lemma diag-block-mat-cong-hd:
  assumes 0 < length Al
  and length Al = length Bl
  and dim-row (hd Al) = dim-row (hd Bl)
  and dim-col (hd Al) = dim-col (hd Bl)
  and diag-block-mat Al = diag-block-mat Bl
  shows hd Al = hd Bl
  ⟨proof⟩

lemma diag-block-mat-cong-tl:
  assumes 0 < length Al
  and length Al = length Bl
  and dim-row (hd Al) = dim-row (hd Bl)
  and dim-col (hd Al) = dim-col (hd Bl)
  and diag-block-mat Al = diag-block-mat Bl
  shows diag-block-mat (tl Al) = diag-block-mat (tl Bl)
  ⟨proof⟩

lemma diag-block-mat-cong-comp:
  assumes length Al = length Bl
  and  $\forall i < \text{length } Al. \dim\text{-row} (Al ! i) = \dim\text{-row} (Bl ! i)$ 
  and  $\forall i < \text{length } Al. \dim\text{-col} (Al ! i) = \dim\text{-col} (Bl ! i)$ 
  and diag-block-mat Al = diag-block-mat Bl
  and j < length Al
  shows Al!j = Bl!j
  ⟨proof⟩

lemma diag-block-mat-commute-comp:
  assumes length Al = length Bl
  and  $\forall i < \text{length } Al. \dim\text{-row} (Al ! i) = \dim\text{-col} (Al ! i)$ 
  and  $\forall i < \text{length } Al. \dim\text{-row} (Al ! i) = \dim\text{-row} (Bl ! i)$ 
  and  $\forall i < \text{length } Al. \dim\text{-col} (Al ! i) = \dim\text{-col} (Bl ! i)$ 
  and diag-block-mat Al * (diag-block-mat Bl) =
    diag-block-mat Bl * (diag-block-mat Al)
  and i < length Al
  shows Al!i * Bl!i = Bl!i * Al!i
  ⟨proof⟩

lemma diag-block-mat-dim-row-cong:
  assumes length Ul = length Bl
  and  $\forall i < \text{length } Bl. \dim\text{-row} (Bl ! i) = \dim\text{-row} (Ul ! i)$ 
  shows dim-row (diag-block-mat Ul) = dim-row (diag-block-mat Bl)
  ⟨proof⟩

```

```

lemma diag-block-mat-dim-col-cong:
  assumes length Ul = length Bl
  and  $\forall i < \text{length } Bl. \text{dim-col } (Bl!i) = \text{dim-col } (Ul!i)$ 
  shows dim-col (diag-block-mat Ul) = dim-col (diag-block-mat Bl)
  ⟨proof⟩

lemma diag-block-mat-dim-row-col-eq:
  assumes  $\forall i < \text{length } Al. \text{dim-row } (Al!i) = \text{dim-col } (Al!i)$ 
  shows dim-row (diag-block-mat Al) = dim-col (diag-block-mat Al)
  ⟨proof⟩

```

## 6 Block matrix decomposition

### 6.1 Subdiagonal extraction

`extract_subdiags` returns a list of diagonal sub-blocks, the sizes of which are specified by the list of integers provided as parameters.

```

fun extract-subdiags where
  extract-subdiags B [] = []
  | extract-subdiags B (x#xs) =
    (let (B1, B2, B3, B4) = (split-block B x x) in
      B1 # (extract-subdiags B4 xs))

lemma extract-subdiags-not-emp:
  fixes x::nat and l::nat list
  assumes (B1, B2, B3, B4) = (split-block B x x)
  shows hd (extract-subdiags B (x#l)) = B1
    tl (extract-subdiags B (x#l)) = extract-subdiags B4 l
  ⟨proof⟩

lemma extract-subdiags-neq-Nil:
  shows extract-subdiags B (a#l) ≠ []
  ⟨proof⟩

lemma extract-subdiags-length:
  shows length (extract-subdiags B l) = length l
  ⟨proof⟩

lemma extract-subdiags-carrier:
  assumes i < length l
  shows (extract-subdiags B l)!i ∈ carrier-mat (!i) (!i) ⟨proof⟩

lemma extract-subdiags-diagonal:
  assumes diagonal-mat B
  and B ∈ carrier-mat n n
  and l ≠ []
  and sum-list l ≤ n
  and i < length l

```

```
shows diagonal-mat ((extract-subdiags B l)!i) ⟨proof⟩
```

```
lemma extract-subdiags-diag-elem:
fixes B::complex Matrix.mat
assumes B ∈ carrier-mat n n
and 0 < n
and l ≠ []
and i < length l
and j < !i
and sum-list l ≤ n
and ∀j < length l. 0 < l!j
shows extract-subdiags B l!i $$ (j,j) =
diag-mat B!(n-sum i l + j) ⟨proof⟩
```

```
lemma hermitian-extract-subdiags:
assumes hermitian A
and sum-list l ≤ dim-row A
and list-all (λa. 0 < a) l
shows list-all (λB. 0 < dim-row B ∧ hermitian B) (extract-subdiags A l)
⟨proof⟩
```

## 6.2 Predicates on diagonal block matrices

The predicate `diag_compat` ensures that the provided matrix, when decomposed according to the list of integers provided as an input, is indeed a diagonal block matrix.

```
fun diag-compat where
diag-compat B [] = (dim-row B = 0 ∧ dim-col B = 0)
| diag-compat B (x#xs) =
(x ≤ dim-row B ∧
(let n = dim-row B; (B1, B2, B3, B4) = (split-block B x x) in
B2 = (0_m x (n - x)) ∧ B3 = (0_m (n - x) x) ∧ diag-compat B4 xs))
```

When this is the case, the decomposition of a matrix leaves it unchanged.

```
lemma diag-compat-extract-subdiag:
assumes B ∈ carrier-mat n n
and diag-compat B l
shows B = diag-block-mat (extract-subdiags B l) ⟨proof⟩
```

Predicate `diag_diff` holds when the decomposition of the considered matrix based on the list of integers provided as a parameter, is such that the diagonal elements of separate components are pairwise distinct.

```
fun diag-diff where
diag-diff D [] = (dim-row D = 0 ∧ dim-col D = 0)
| diag-diff D (x#xs) =
(x ≤ dim-row D ∧
(let (D1, D2, D3, D4) = (split-block D x x) in
(∀i j. i < dim-row D1 ∧ j < dim-row D4 → D1$$ (i,i) ≠ D4 $$ (j,j)) ∧
```

```

diag-diff D4 xs))

lemma diag-diff-hd-diff:
  assumes diag-diff D (a#xs)
  and D ∈ carrier-mat n n
  and i < a
  and a ≤ j
  and j < n
  shows D$$(i,i) ≠ D $$ (j,j)
  ⟨proof⟩

```

```

lemma diag-compat-diagonal:
  assumes B ∈ carrier-mat (dim-row B) (dim-row B)
  and diagonal-mat B
  and dim-row B = sum-list l
  shows diag-compat B l ⟨proof⟩

```

The following lemma provides a sufficient condition for the `diag_compatible` predicate to hold.

```

lemma commute-diag-compat:
  fixes D::'a::{field} Matrix.mat
  assumes diagonal-mat D
  and D ∈ carrier-mat n n
  and B ∈ carrier-mat n n
  and B*D = D*B
  and diag-diff D l
  shows diag-compat B l ⟨proof⟩

```

### 6.3 Counting similar neighbours in a list

The function `eq_comps` takes a list as an input and counts the number of adjacent elements that are identical.

```

fun eq-comps where
  eq-comps [] = []
  | eq-comps [x] = [1]
  | eq-comps (x#y#l) = (let tmp = (eq-comps (y#l)) in
      if x = y then Suc (hd tmp) # (tl tmp)
      else 1 # tmp)

```

```

lemma eq-comps-not-empty:
  assumes l ≠ []
  shows eq-comps l ≠ [] ⟨proof⟩

```

```

lemma eq-comps-empty-if:
  assumes eq-comps l = []
  shows l = []
  ⟨proof⟩

```

```

lemma eq-comps-hd-eq-tl:
  assumes x = y
  shows tl (eq-comps (x#y#l)) = tl (eq-comps (y#l)) ⟨proof⟩

lemma eq-comps-hd-neq-tl:
  assumes x ≠ y
  shows tl (eq-comps (x#y#l)) = eq-comps (y#l) ⟨proof⟩

lemma eq-comps-drop:
  assumes x#xs = eq-comps l
  shows xs = eq-comps (drop x l) ⟨proof⟩

lemma eq-comps-neq-0:
  assumes a#m = eq-comps l
  shows a ≠ 0 ⟨proof⟩

lemma eq-comps-gt-0:
  assumes l ≠ []
  shows list-all (λa. 0 < a) (eq-comps l)
⟨proof⟩

lemma eq-comps-elem-le-length:
  assumes a#m = eq-comps l
  shows a ≤ length l ⟨proof⟩

lemma eq-comps-length:
  shows length (eq-comps l) ≤ length l
⟨proof⟩

lemma eq-comps-eq:
  assumes a#m = eq-comps l
  and i < a
  shows nth l i = hd l ⟨proof⟩

lemma eq-comps-singleton:
  assumes [a] = eq-comps l
  shows a = length l ⟨proof⟩

lemma eq-comps-leq:
  assumes a#b#m = eq-comps l
  and sorted l
  shows hd l < hd (drop a l) ⟨proof⟩

lemma eq-comps-compare:
  assumes sorted l
  and a#m = eq-comps l
  and i < a
  and a ≤ j
  and j < length l

```

```

shows nth l i < nth l j ⟨proof⟩

lemma eq-comps-singleton-elems:
  assumes eq-comps l = [a]
  shows  $\forall i < \text{length } l. \text{!}i = \text{!}0$  ⟨proof⟩

lemma eq-comp-Re:
  assumes  $\forall z \in \text{set } l. z \in \text{Reals}$ 
  and m = eq-comps l
  shows m = eq-comps (map Re l) ⟨proof⟩

lemma eq-comps-sum-list:
  shows sum-list (eq-comps l) = length l
  ⟨proof⟩

lemma eq-comps-elem-lt:
  assumes  $1 < \text{length } (\text{eq-comps } l)$ 
  shows hd (eq-comps l) < length l
  ⟨proof⟩

lemma eq-comp-sum-diag-mat:
  shows sum-list (eq-comps (diag-mat A)) = dim-row A
  ⟨proof⟩

lemma nsum-Suc-elem:
  assumes  $1 < \text{length } (\text{eq-comps } l)$ 
  shows  $\text{!}(n\text{-sum } (\text{Suc } i) (\text{eq-comps } l)) =$ 
     $(\text{drop } (\text{hd } (\text{eq-comps } l)) \text{!}(n\text{-sum } i (\text{tl } (\text{eq-comps } l))))$  ⟨proof⟩

lemma eq-comps-elems-eq:
  assumes l ≠ []
  and i < length (eq-comps l)
  and j < (eq-comps l)!i
  shows  $\text{!}(n\text{-sum } i (\text{eq-comps } l)) = \text{!}(n\text{-sum } i (\text{eq-comps } l) + j)$  ⟨proof⟩

When the diagonal block matrices are extracted using eq_comp, each extracted matrix is a multiple of the identity.

lemma extract-subdiags-eq-comp:
  fixes A::complex Matrix.mat
  assumes diagonal-mat A
  and A ∈ carrier-mat n n
  and 0 < n
  and i < length (eq-comps (diag-mat A))
  shows  $\exists k. (\text{extract-subdiags } A (\text{eq-comps } (\text{diag-mat } A)))!i =$ 
     $k \cdot_m (1_m ((\text{eq-comps } (\text{diag-mat } A))!i))$ 
  ⟨proof⟩

lemma extract-subdiags-comp-commute:
  fixes A::complex Matrix.mat

```

```

assumes diagonal-mat A
and A ∈ carrier-mat n n
and 0 < n
and i < length (eq-comps (diag-mat A))
and B ∈ carrier-mat ((eq-comps (diag-mat A))!i) ((eq-comps (diag-mat A))!i)
shows (extract-subdiags A (eq-comps (diag-mat A)))!i * B =
B * (extract-subdiags A (eq-comps (diag-mat A)))!i
⟨proof⟩

```

In particular, extracting the diagonal sub-blocks of a diagonal matrix leaves it unchanged.

```

lemma diagonal-extract-eq:
assumes B ∈ carrier-mat n n
and diagonal-mat B
shows B = diag-block-mat (extract-subdiags B (eq-comps (diag-mat B)))
⟨proof⟩

fun lst-diff where
lst-diff l [] = (l = [])
| lst-diff l (x#xs) = (x ≤ length l ∧
(∀ i j. i < x ∧ x ≤ j ∧ j < length l → nth l i < nth l j) ∧
lst-diff (drop x l) xs)

lemma sorted-lst-diff:
assumes sorted l
and m = eq-comps l
shows lst-diff l m ⟨proof⟩

lemma lst-diff-imp-diag-diff:
fixes D::'a::preorder Matrix.mat
assumes D ∈ carrier-mat n n
and lst-diff (diag-mat D) m
shows diag-diff D m ⟨proof⟩

lemma sorted-diag-diff:
fixes D::'a::linorder Matrix.mat
assumes D ∈ carrier-mat n n
and sorted (diag-mat D)
shows diag-diff D (eq-comps (diag-mat D))
⟨proof⟩

lemma Re-sorted-lst-diff:
fixes l::complex list
assumes ∀ z ∈ set l. z ∈ Reals
and sorted (map Re l)
and m = eq-comps l
shows lst-diff l m ⟨proof⟩

```

The following lemma states a sufficient condition for the `diag_diff` predi-

cate to hold.

```
lemma cpx-sorted-diag-diff:
  fixes D::complex Matrix.mat
  assumes D ∈ carrier-mat n n
  and ∀ i < n. D$(i,i) ∈ Reals
  and sorted (map Re (diag-mat D))
  shows diag-diff D (eq-comps (diag-mat D))
  ⟨proof⟩
```

## 7 Sorted hermitian decomposition

We prove that any Hermitian matrix  $A$  can be decomposed into a product  $U^\dagger \cdot B \cdot U$ , where  $U$  is a unitary matrix and  $B$  is a diagonal matrix containing only real components which are ordered along the diagonal.

**definition** per-col **where**

```
per-col A f = Matrix.mat (dim-row A) (dim-col A) (λ (i,j). A $$((i), (f j)))
```

**lemma** per-col-carrier:

```
assumes A ∈ carrier-mat n m
shows per-col A f ∈ carrier-mat n m ⟨proof⟩
```

**lemma** per-col-col:

```
assumes A ∈ carrier-mat n m
and j < m
shows Matrix.col (per-col A f) j = Matrix.col A (f j)
⟨proof⟩
```

**lemma** per-col-adjoint-row:

```
assumes A ∈ carrier-mat n n
and i < n
and f i < n
shows Matrix.row (Complex-Matrix.adjoint (per-col A f)) i =
Matrix.row (Complex-Matrix.adjoint A) (f i)
⟨proof⟩
```

**lemma** per-col-mult-adjoint:

```
assumes A ∈ carrier-mat n n
and i < n
and j < n
and f i < n
and f j < n
shows ((Complex-Matrix.adjoint (per-col A f)) * (per-col A f))$$((i), (j)) =
((Complex-Matrix.adjoint A) * A)$$((f i), (f j))
⟨proof⟩
```

**lemma** idty-index:

```
assumes bij-betw f {..< n} {..< n}
```

```

and  $i < n$ 
and  $j < n$ 
shows  $(1_m\ n) \$\$ (i,j) = (1_m\ n) \$\$ (f i, f j)$ 
<proof>

lemma per-col-unitary:
assumes  $A \in carrier\text{-}mat\ n\ n$ 
and unitary  $A$ 
and bij-betw  $f \{.. < n\} \{.. < n\}$ 
shows unitary (per-col  $A\ f$ ) <proof>

definition per-diag where
per-diag  $A\ f = Matrix\text{-}mat\ (dim\text{-}row\ A)\ (dim\text{-}col\ A)\ (\lambda\ (i,j).\ A\ \$\$ (f\ i, (f\ j)))$ 

lemma per-diag-carrier:
shows per-diag  $A\ f \in carrier\text{-}mat\ (dim\text{-}row\ A)\ (dim\text{-}col\ A)$ 
<proof>

lemma per-diag-diagonal:
assumes  $D \in carrier\text{-}mat\ n\ n$ 
and diagonal-mat  $D$ 
and bij-betw  $f \{.. < n\} \{.. < n\}$ 
shows diagonal-mat (per-diag  $D\ f$ ) <proof>

lemma per-diag-diag-mat:
assumes  $A \in carrier\text{-}mat\ n\ n$ 
and  $i < n$ 
and  $f i < n$ 
shows diag-mat (per-diag  $A\ f$ )! $i = diag\text{-}mat\ A\ !\ (f\ i)$ 
<proof>

lemma per-diag-diag-mat-Re:
assumes  $A \in carrier\text{-}mat\ n\ n$ 
and  $i < n$ 
and  $f i < n$ 
shows map Re (diag-mat (per-diag  $A\ f$ ))! $i = map\ Re\ (diag\text{-}mat\ A)\ !\ (f\ i)$ 
<proof>

lemma per-diag-real:
fixes  $B :: complex\ Matrix\text{-}mat$ 
assumes  $B \in carrier\text{-}mat\ n\ n$ 
and  $\forall i < n.\ B \$\$ (i,i) \in Reals$ 
and bij-betw  $f \{.. < n\} \{.. < n\}$ 
shows  $\forall j < n.\ (per\text{-}diag\ B\ f) \$\$ (j,j) \in Reals$ 
<proof>

lemma per-col-mult-unitary:
fixes  $A :: complex\ Matrix\text{-}mat$ 
assumes  $A \in carrier\text{-}mat\ n\ n$ 

```

```

and unitary A
and D ∈ carrier-mat n n
and diagonal-mat D
and 0 < n
and bij-betw f {..< n} {..< n}
shows A * D * (Complex-Matrix.adjoint A) =
  (per-col A f) * (per-diag D f) * (Complex-Matrix.adjoint (per-col A f))
  (is ?L = ?R)
  ⟨proof⟩

```

```

lemma sort-permutation:
assumes m = sort l
obtains f where bij-betw f {..<length l} {..<length l} ∧
  (forall i < length l. l ! f i = m ! i)
  ⟨proof⟩

```

```

lemma per-diag-sorted-Re:
fixes B::complex Matrix.mat
assumes B ∈ carrier-mat n n
obtains f where bij-betw f {..< n} {..< n} ∧
  map Re (diag-mat (per-diag B f)) = sort (map Re (diag-mat B))
  ⟨proof⟩

```

```

lemma bij-unitary-diag:
fixes A::complex Matrix.mat
assumes unitary-diag A B U
and A ∈ carrier-mat n n
and bij-betw f {..<n} {..<n}
and 0 < n
shows unitary-diag A (per-diag B f) (per-col U f)
  ⟨proof⟩

```

```

lemma hermitian-real-diag-sorted:
assumes A ∈ carrier-mat n n
and 0 < n
and hermitian A
obtains Bs Us where real-diag-decomp A Bs Us ∧ sorted (map Re (diag-mat
Bs))
  ⟨proof⟩

```

## 8 Commuting Hermitian families

This part is devoted to the proof that a finite family of commuting Hermitian matrices is simultaneously diagonalizable.

### 8.1 Intermediate properties

```
lemma real-diag-decomp-mult-dbm-unit:
```

**assumes**  $A \in \text{carrier-mat } n \ n$   
**and**  $\text{real-diag-decomp } A \ B \ U$   
**and**  $B = \text{diag-block-mat } Bl$   
**and**  $\text{length } Ul = \text{length } Bl$   
**and**  $\forall i < \text{length } Bl. \dim\text{-col } (Bl!i) = \dim\text{-row } (Bl!i)$   
**and**  $\forall i < \text{length } Bl. \dim\text{-row } (Bl!i) = \dim\text{-row } (Ul!i)$   
**and**  $\forall i < \text{length } Bl. \dim\text{-col } (Bl!i) = \dim\text{-col } (Ul!i)$   
**and**  $\text{unitary } (\text{diag-block-mat } Ul)$   
**and**  $\forall i < \text{length } Ul. \ Ul ! i * Bl ! i = Bl ! i * Ul ! i$   
**shows**  $\text{real-diag-decomp } A \ B \ (U * (\text{diag-block-mat } Ul))$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{real-diag-decomp-block-set}:$   
**assumes**  $Als \neq \{\}$   
**and**  $0 < n$   
**and**  $\forall Al \in Als. \text{length } Al = n$   
**and**  $\forall i < n. \forall Al \in Als. \dim\text{-row } (Al!i) = \dim\text{-col } (Al!i)$   
**and**  $\forall i < n. \exists U. \forall Al \in Als. \exists B. \text{real-diag-decomp } (Al!i) \ B \ U$   
**shows**  $\exists Ul. (\text{length } Ul = n \wedge (\forall i < n. \forall Al \in Als.$   
 $(\dim\text{-row } (Ul!i) = \dim\text{-row } (Al!i) \wedge \dim\text{-col } (Ul!i) = \dim\text{-col } (Al!i))) \wedge$   
 $(\forall Al \in Als. \exists Bl. (\text{length } Bl = n \wedge$   
 $\text{real-diag-decomp } (\text{diag-block-mat } Al) \ (\text{diag-block-mat } Bl) \ (\text{diag-block-mat } Ul))))$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{real-diag-decomp-eq-comps-props}:$   
**assumes**  $Ap \in \text{carrier-mat } n \ n$   
**and**  $0 < n$   
**and**  $\text{real-diag-decomp } Ap \ Bs \ Us \wedge \text{sorted } (\text{map Re } (\text{diag-mat } Bs))$   
**shows**  $Bs \in \text{carrier-mat } n \ n \ \text{diagonal-mat } Bs \ \text{unitary } Us$   
 $Us \in \text{carrier-mat } n \ n \ \text{diag-diff } Bs \ (\text{eq-comps } (\text{diag-mat } Bs))$   
 $\text{eq-comps } (\text{diag-mat } Bs) \neq [] \ \text{diag-mat } Bs \neq []$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{commuting-conj-mat-set-props}:$   
**fixes**  $As::'a::\text{conjugatable-field Matrix.mat set}$   
**and**  $U::'a \text{Matrix.mat}$   
**assumes**  $\text{finite } As$   
**and**  $\text{card } As \leq i$   
**and**  $\forall A \in As. \text{hermitian } A \wedge A \in \text{carrier-mat } n \ n$   
**and**  $\forall A \in As. \forall B \in As. A * B = B * A$   
**and**  $\text{unitary } U$   
**and**  $U \in \text{carrier-mat } n \ n$   
**and**  $CjA = (\lambda A2. \text{mat-conj } (\text{Complex-Matrix.adjoint } U) \ A2) ` As$   
**shows**  $\text{finite } CjA \ \text{card } CjA \leq i$   
 $\forall A \in CjA. A \in \text{carrier-mat } n \ n \wedge \text{hermitian } A$   
 $\forall C1 \in CjA. \forall C2 \in CjA. C1 * C2 = C2 * C1$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{commute-extract-diag-block-eq}:$

```

fixes Ap::complex Matrix.mat
assumes Ap ∈ carrier-mat n n
and 0 < n
and real-diag-decomp Ap Bs Us ∧ sorted (map Re (diag-mat Bs))
and finite Afp
and card Afp ≤ i
and ∀ A ∈ Afp. hermitian A ∧ A ∈ carrier-mat n n
and ∀ A ∈ Afp. ∀ B ∈ Afp. A * B = B * A
and ∀ A ∈ Afp. Ap * A = A * Ap
and CjA = (λA2. mat-conj (Complex-Matrix.adjoint Us) A2) ` Afp
and eqcl = eq-comps (diag-mat Bs)
shows ∀ C ∈ CjA. C = diag-block-mat (extract-subdiags C eqcl)
⟨proof⟩

```

```

lemma extract-dbm-eq-component-commute:
assumes ∀ C ∈ Cs. C = diag-block-mat (extract-subdiags C l)
and ∀ C1 ∈ Cs. ∀ C2 ∈ Cs. C1 * C2 = C2 * C1
and ExC = (λA. extract-subdiags A l) ` Cs
and j < length l
and Exi = (λA. (A!j)) ` ExC
and Al ∈ Exi
and Bl ∈ Exi
shows Al * Bl = Bl * Al
⟨proof⟩

```

```

lemma extract-comm-real-diag-decomp:
fixes CjA::complex Matrix.mat set
assumes ⋀(Af::complex Matrix.mat set) n . finite Af ⇒
card Af ≤ i ⇒
Af ≠ {} ⇒
(⋀A. A ∈ Af ⇒ A ∈ carrier-mat n n) ⇒
0 < n ⇒ (⋀A. A ∈ Af ⇒ hermitian A) ⇒
(⋀A B. A ∈ Af ⇒ B ∈ Af ⇒ A * B = B * A) ⇒
∃ U. ∀ A ∈ Af. ∃ B. real-diag-decomp A B U
and finite CjA
and CjA ≠ {}
and card CjA ≤ i
and ∀ C ∈ CjA. C = diag-block-mat (extract-subdiags C eqcl)
and ∀ C1 ∈ CjA. ∀ C2 ∈ CjA. C1 * C2 = C2 * C1
and Exc = (λA. extract-subdiags A eqcl) ` CjA
and ∀ E ∈ Exc. list-all (λB. 0 < dim-row B ∧ hermitian B) E
and ∀ i < length eqcl. 0 < eqcl!i
shows ∀ i < length eqcl. ∃ U. ∀ Al ∈ Exc. ∃ B. real-diag-decomp (Al ! i) B U
⟨proof⟩

```

## 8.2 The main result

```

theorem commuting-hermitian-family-diag:
fixes Af::complex Matrix.mat set

```

```
assumes finite  $Af$ 
and  $Af \neq \{\}$ 
and  $\bigwedge A. A \in Af \implies A \in \text{carrier-mat } n \ n$ 
and  $0 < n$ 
and  $\bigwedge A. A \in Af \implies \text{hermitian } A$ 
and  $\bigwedge A \ B. A \in Af \implies B \in Af \implies A * B = B * A$ 
shows  $\exists U. \forall A \in Af. \exists B. \text{real-diag-decomp } A \ B \ U \langle \text{proof} \rangle$ 

end
```