

# Formalization of CommCSL: A Relational Concurrent Separation Logic for Proving Information Flow Security in Concurrent Programs

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## Abstract

Information flow security ensures that the secret data manipulated by a program does not influence its observable output. Proving information flow security is especially challenging for concurrent programs, where operations on secret data may influence the execution time of a thread and, thereby, the interleaving between threads. Such internal timing channels may affect the observable outcome of a program even if an attacker does not observe execution times. Existing verification techniques for information flow security in concurrent programs attempt to prove that secret data does not influence the relative timing of threads. However, these techniques are often restrictive (for instance because they disallow branching on secret data) and make strong assumptions about the execution platform (ignoring caching, processor instructions with data-dependent execution time, and other common features that affect execution time).

In this entry, we formalize and prove the soundness of COMM-CSL [1], a novel relational concurrent separation logic for proving secure information flow in concurrent programs that lifts these restrictions and does not make any assumptions about timing behavior. The key idea is to prove that all mutating operations performed on shared data commute, such that different thread interleavings do not influence its final value. Crucially, commutativity is required only for an abstraction of the shared data that contains the information that will be leaked to a public output. Abstract commutativity is satisfied by many more operations than standard commutativity, which makes our technique widely applicable.

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# 1 State Model

## 1.1 Partial Heaps

In this file, we prove useful lemmas about partial maps. Partial maps are used to define permission heaps (see `FractionalHeap.thy`) and the family of unique action guard states (see `StateModel.thy`).

**theory** *PartialMap*

**imports** *Main*

**begin**

**type-synonym** (*'a*, *'b*) *map* = *'a*  $\rightarrow$  *'b*

**fun** *compatible-options* :: (*'a*  $\Rightarrow$  *'a*  $\Rightarrow$  *bool*)  $\Rightarrow$  *'a option*  $\Rightarrow$  *'a option*  $\Rightarrow$  *bool* **where**  
  *compatible-options* *f* (*Some a*) (*Some b*)  $\longleftrightarrow$  *f a b*  
| *compatible-options* - - -  $\longleftrightarrow$  *True*

**fun** *merge-option* :: (*'b*  $\Rightarrow$  *'b*  $\Rightarrow$  *'b*)  $\Rightarrow$  *'b option*  $\Rightarrow$  *'b option*  $\Rightarrow$  *'b option* **where**  
  *merge-option* - *None None* = *None*  
| *merge-option* - (*Some a*) *None* = *Some a*  
| *merge-option* - *None* (*Some b*) = *Some b*  
| *merge-option* *f* (*Some a*) (*Some b*) = *Some (f a b)*

**definition** *merge-options* :: (*'c*  $\Rightarrow$  *'c*  $\Rightarrow$  *'c*)  $\Rightarrow$  (*'b*, *'c*) *map*  $\Rightarrow$  (*'b*, *'c*) *map*  $\Rightarrow$  (*'b*, *'c*) *map* **where**  
  *merge-options* *f a b p* = *merge-option f (a p) (b p)*

Two maps are compatible iff they are compatible pointwise (i.e., if both define values, then those values are compatible)

**definition** *compatible-maps* :: (*'b*  $\Rightarrow$  *'b*  $\Rightarrow$  *bool*)  $\Rightarrow$  (*'a*, *'b*) *map*  $\Rightarrow$  (*'a*, *'b*) *map*  $\Rightarrow$  *bool* **where**  
  *compatible-maps* *f h1 h2*  $\longleftrightarrow$  ( $\forall$  *hl*. *compatible-options f (h1 hl) (h2 hl)*)

**lemma** *compatible-mapsI*:

**assumes**  $\bigwedge x a b. h1 x = \text{Some } a \wedge h2 x = \text{Some } b \implies f a b$

**shows** *compatible-maps f h1 h2*

**by** (*metis assms compatible-maps-def compatible-options.elims(3)*)

**definition** *map-included* :: (*'a*, *'b*) *map*  $\Rightarrow$  (*'a*, *'b*) *map*  $\Rightarrow$  *bool* **where**

*map-included* *h1 h2*  $\longleftrightarrow$  ( $\forall x. h1 x \neq \text{None} \longrightarrow h1 x = h2 x$ )

**lemma** *map-includedI*:

**assumes**  $\bigwedge x r. h1 x = \text{Some } r \implies h2 x = \text{Some } r$

**shows** *map-included h1 h2*

**by** (*metis assms map-included-def option.exhaust*)

**lemma** *compatible-maps-empty*:

*compatible-maps f h (Map.empty)*

**by** (*simp add: compatible-maps-def*)

```

lemma compatible-maps-comm:
  compatible-maps (=) h1 h2  $\longleftrightarrow$  compatible-maps (=) h2 h1
proof -
  have  $\bigwedge a b$ . compatible-maps (=) a b  $\implies$  compatible-maps (=) b a
    by (metis (mono-tags, lifting) compatible-mapsI compatible-maps-def compatible-
  ble-options.simps(1))
  then show ?thesis
    by auto
qed

lemma add-heaps-asso:
  (h1 ++ h2) ++ h3 = h1 ++ (h2 ++ h3)
  by auto

lemma compatible-maps-same:
  assumes compatible-maps (=) ha hb
    and ha x = Some y
  shows (ha ++ hb) x = Some y
proof (cases hb x)
  case None
  then show ?thesis
    by (simp add: assms(2) map-add-Some-iff)
next
  case (Some a)
  then show ?thesis
    by (metis (mono-tags) assms(1) assms(2) compatible-maps-def compatible-options.simps(1)
  map-add-def option.simps(5))
qed

lemma compatible-maps-refl:
  compatible-maps (=) h h
  using compatible-maps-def compatible-options.elims(3) by fastforce

lemma map-invo:
  h ++ h = h
  by (simp add: map-add-subsumed2)

lemma included-then-compatible-maps:
  assumes map-included h1 h
    and map-included h2 h
  shows compatible-maps (=) h1 h2
proof (rule compatible-mapsI)
  fix x a b assume h1 x = Some a  $\wedge$  h2 x = Some b
  show a = b
    by (metis  $\langle$ h1 x = Some a  $\wedge$  h2 x = Some b $\rangle$  assms(1) assms(2) map-included-def
  option.inject option.simps(3))
qed

```

```

lemma commut-charact:
  assumes compatible-maps (=) h1 h2
  shows h1 ++ h2 = h2 ++ h1
proof (rule ext)
  fix x
  show (h1 ++ h2) x = (h2 ++ h1) x
  proof (cases h1 x)
    case None
    then show ?thesis
    by (simp add: domIff map-add-dom-app-simps(2) map-add-dom-app-simps(3))
  next
    case (Some a)
    then show ?thesis
    by (simp add: assms compatible-maps-same)
  qed
qed

end

```

## 1.2 Fractional Permissions

In this file, we define the type of positive rationals, which we use as permission amounts in extended heaps (see `FractionalHeap.thy`).

```

theory PosRat
  imports Main HOL.Rat
begin

typedef prat = { r :: rat | r. r > 0 } by fastforce

setup-lifting type-definition-prat

lift-definition pwrite :: prat is 1 by simp
lift-definition half :: prat is 1 / 2 by fastforce

lift-definition pgte :: prat ⇒ prat ⇒ bool is (≥) done
lift-definition pgt :: prat ⇒ prat ⇒ bool is (>) done
lift-definition lt :: prat ⇒ prat ⇒ bool is (<) done

lift-definition pmult :: prat ⇒ prat ⇒ prat is (*) by simp
lift-definition padd :: prat ⇒ prat ⇒ prat is (+) by simp

lift-definition pdiv :: prat ⇒ prat ⇒ prat is (/) by simp

lift-definition pmin :: prat ⇒ prat ⇒ prat is (min) by simp
lift-definition pmax :: prat ⇒ prat ⇒ prat is (max) by simp

lemma pmin-comm:
  pmin a b = pmin b a
  by (metis Rep-prat-inverse min commute pmin.rep-eq)

```

**lemma** *pmin-greater*:  
*pgte a (pmin a b)*  
**by** (*simp add: pgte.rep-eq pmin.rep-eq*)

**lemma** *pmin-is*:  
**assumes** *pgte a b*  
**shows** *pmin a b = b*  
**by** (*metis Rep-prat-inject assms min-absorb2 pgte.rep-eq pmin.rep-eq*)

**lemma** *pmax-comm*:  
*pmax a b = pmax b a*  
**by** (*metis Rep-prat-inverse max commute pmax.rep-eq*)

**lemma** *pmax-smaller*:  
*pgte (pmax a b) a*  
**by** (*simp add: pgte.rep-eq pmax.rep-eq*)

**lemma** *pmax-is*:  
**assumes** *pgte a b*  
**shows** *pmax a b = a*  
**by** (*metis Rep-prat-inject assms max-absorb-iff1 pgte.rep-eq pmax.rep-eq*)

**lemma** *pmax-is-smaller*:  
**assumes** *pgte x a*  
**and** *pgte x b*  
**shows** *pgte x (pmax a b)*  
**proof** (*cases pgte a b*)  
**case** *True*  
**then show** *?thesis*  
**by** (*simp add: assms(1) pmax-is*)  
**next**  
**case** *False*  
**then show** *?thesis*  
**using** *assms(2) pgte.rep-eq pmax.rep-eq* **by** *auto*  
**qed**

**lemma** *half-between-0-1*:  
*pgt pwrite half*  
**by** (*simp add: half.rep-eq pgt.rep-eq pwrite.rep-eq*)

**lemma** *pgt-implies-pgte*:  
**assumes** *pgt a b*  
**shows** *pgte a b*  
**by** (*meson assms less-imp-le pgt.rep-eq pgte.rep-eq*)

**lemma** *half-plus-half*:

*padd half half = pwrite*  
**by** (*metis Rep-prat-inject divide-less-eq-numeral1(1) dual-order.irrefl half.rep-eq*  
*less-divide-eq-numeral1(1) linorder-neqE-linordered-idom mult.right-neutral one-add-one*  
*padd.rep-eq pwrite.rep-eq ring-class.ring-distrib(1)*)

**lemma padd-comm:**  
*padd a b = padd b a*  
**by** (*metis Rep-prat-inject add commute padd.rep-eq*)

**lemma padd-asso:**  
*padd (padd a b) c = padd a (padd b c)*  
**by** (*metis Rep-prat-inverse group-cancel.add1 padd.rep-eq*)

**lemma pgte-antisym:**  
**assumes** *pgte a b*  
**and** *pgte b a*  
**shows** *a = b*  
**by** (*metis Rep-prat-inverse assms(1) assms(2) leD le-less pgte.rep-eq*)

**lemma sum-larger:**  
*pgt (padd a b) a*  
**using** *Rep-prat padd.rep-eq pgt.rep-eq* **by** *auto*

**lemma greater-sum-both:**  
**assumes** *pgte a (padd b c)*  
**shows**  $\exists a1 a2. a = padd a1 a2 \wedge pgte a1 b \wedge pgte a2 c$   
**proof** –  
**obtain** *aa bb cc* **where** *aa = Rep-prat a bb = Rep-prat b cc = Rep-prat c*  
**by** *simp*  
**then have** *aa ≥ bb + cc*  
**using** *assms padd.rep-eq pgte.rep-eq* **by** *auto*  
**then obtain** *x* **where** *aa = bb + x x ≥ cc*  
**by** (*metis add commute add-le-cancel-left diff-add-cancel*)  
**then show** *?thesis*  
**by** (*metis (no-types, lifting) Abs-prat-inverse Rep-prat Rep-prat-inverse ⟨aa =*  
*Rep-prat a⟩ ⟨bb = Rep-prat b⟩ ⟨cc = Rep-prat c⟩ dual-order.trans eq-onp-same-args*  
*le-less mem-Collect-eq min-absorb2 min-def order-refl padd.abs-eq pgte.rep-eq*)  
**qed**

**lemma padd-cancellative:**  
**assumes** *a = padd x b*  
**and** *a = padd y b*  
**shows** *x = y*  
**by** (*metis Rep-prat-inject add-le-cancel-right assms(1) assms(2) leD less-eq-rat-def*  
*padd.rep-eq*)

**lemma not-pgte-charact:**

$\neg \text{pgte } a \ b \longleftrightarrow \text{pgt } b \ a$   
**by** (*meson not-less pgt.rep-eq pgte.rep-eq*)

**lemma** *pgte-pgt*:  
**assumes** *pgt a b*  
**and** *pgte c d*  
**shows** *pgt (padd a c) (padd b d)*  
**using** *assms(1) assms(2) padd.rep-eq pgt.rep-eq pgte.rep-eq* **by** *auto*

**lemma** *pmult-distr*:  
*pmult a (padd b c) = padd (pmult a b) (pmult a c)*  
**by** (*metis Rep-prat-inject distrib-left padd.rep-eq pmult.rep-eq*)

**lemma** *pmult-comm*:  
*pmult a b = pmult b a*  
**by** (*metis Rep-prat-inject mult.commute pmult.rep-eq*)

**lemma** *pmult-special*:  
*pmult pwrite x = x*  
**by** (*metis Rep-prat-inverse comm-monoid-mult-class.mult-1 pmult.rep-eq pwrite.rep-eq*)

**definition** *pinv where*  
*pinv p = pdiv pwrite p*

**lemma** *pinv-double-half*:  
*pmult half (pinv p) = pinv (padd p p)*  
**proof** –  
**have**  $(\text{Fract } 1 \ 2) * ((\text{Fract } 1 \ 1) / (\text{Rep-prat } p)) = (\text{Fract } 1 \ 1) / (\text{Rep-prat } p + \text{Rep-prat } p)$   
**by** (*metis (no-types, lifting) One-rat-def comm-monoid-mult-class.mult-1 divide-rat mult-2 mult-rat rat-number-expand(3) times-divide-times-eq*)  
**then show** *?thesis*  
**by** (*metis Rep-prat-inject half.rep-eq mult-2 mult-numeral-1-right numeral-One padd.rep-eq pdiv.rep-eq pinv-def pmult.rep-eq pwrite.rep-eq times-divide-times-eq*)  
**qed**

**lemma** *pinv-inverts*:  
**assumes** *pgte a b*  
**shows** *pgte (pinv b) (pinv a)*  
**proof** –  
**have**  $\text{Rep-prat } a \geq \text{Rep-prat } b$   
**using** *assms(1) pgte.rep-eq* **by** *auto*  
**then have**  $(\text{Fract } 1 \ 1) / \text{Rep-prat } b \geq (\text{Fract } 1 \ 1) / \text{Rep-prat } a$   
**by** (*metis One-rat-def Rep-prat frac-le le-numeral-extra(4) mem-Collect-eq zero-le-one*)  
**then show** *?thesis*  
**by** (*simp add: One-rat-def pdiv.rep-eq pgte.rep-eq pinv-def pwrite.rep-eq*)



qed

**lemma** *pinv-pmult-ok*:

*pmult p (pinv p) = pwrite*

**proof** –

**obtain** *r* **where**  $r = \text{Rep-prat } p$  **by** *simp*

**then have**  $r * ((\text{Fract } 1 \ 1) / r) = \text{Fract } 1 \ 1$

**by** (*metis Rep-prat less-numeral-extra(3) mem-Collect-eq nonzero-mult-div-cancel-left times-divide-eq-right*)

**then show** *?thesis*

**by** (*metis One-rat-def Rep-prat-inject ⟨r = Rep-prat p⟩ pdiv.rep-eq pinv-def pmult.rep-eq pwrite.rep-eq*)

qed

**lemma** *pinv-pwrite*:

*pinv pwrite = pwrite*

**by** (*metis Rep-prat-inverse div-by-1 pdiv.rep-eq pinv-def pwrite.rep-eq*)

**lemma** *pmin-pmax*:

**assumes** *pgte x (pmin a b)*

**shows**  $x = \text{pmin } (\text{pmax } x \ a) \ (\text{pmax } x \ b)$

**proof** (*cases pgte x a*)

**case** *True*

**then show** *?thesis*

**by** (*metis pmax-is pmax-smaller pmin-comm pmin-is*)

**next**

**case** *False*

**then show** *?thesis*

**by** (*metis assms not-pgte-charact pgt-implies-pgte pmax-is pmax-smaller pmin-comm pmin-is*)

qed

**lemma** *pmin-sum*:

*padd (pmin a b) c = pmin (padd a c) (padd b c)*

**by** (*metis not-pgte-charact pgt-implies-pgte pgte-pgt pmin-comm pmin-is*)

**lemma** *pmin-sum-larger*:

*pgte (pmin (padd a1 b1) (padd a2 b2)) (padd (pmin a1 a2) (pmin b1 b2))*

**proof** (*cases pgte (padd a1 b1) (padd a2 b2)*)

**case** *True*

**then have**  $\text{pmin } (\text{padd } a1 \ b1) \ (\text{padd } a2 \ b2) = \text{padd } a2 \ b2$

**by** (*simp add: pmin-is*)

**moreover have**  $\text{pgte } a2 \ (\text{pmin } a1 \ a2) \wedge \text{pgte } b2 \ (\text{pmin } b1 \ b2)$

**by** (*metis pmin-comm pmin-greater*)

**ultimately show** *?thesis*

**by** (*simp add: padd.rep-eq pgte.rep-eq*)

```

next
  case False
  then have pmin (padd a1 b1) (padd a2 b2) = padd a1 b1
    by (metis not-pgte-charact pgt-implies-pgte pmin-comm pmin-is)
  moreover have pgte a1 (pmin a1 a2)  $\wedge$  pgte b1 (pmin b1 b2)
    by (metis pmin-greater)
  ultimately show ?thesis
    by (simp add: padd.rep-eq pgte.rep-eq)
qed

end

```

### 1.3 Permission Heaps

In this file, we define permission heaps, (partial) addition between them, and prove useful lemmas.

```

theory FractionalHeap
  imports Main PosRat PartialMap
begin

```

```

type-synonym ('l, 'v) fract-heap = 'l  $\rightarrow$  prat  $\times$  'v

```

Because fractional permissions are at most 1, two permission amounts are compatible if they sum to at most 1.

```

definition compatible-fractions :: ('l, 'v) fract-heap  $\Rightarrow$  ('l, 'v) fract-heap  $\Rightarrow$  bool
where

```

```

  compatible-fractions h h'  $\longleftrightarrow$ 
  ( $\forall l p p'. h l = \text{Some } p \wedge h' l = \text{Some } p' \longrightarrow \text{pgte } p \text{write } (\text{padd } (\text{fst } p) (\text{fst } p'))$ )

```

```

definition same-values :: ('l, 'v) fract-heap  $\Rightarrow$  ('l, 'v) fract-heap  $\Rightarrow$  bool where
  same-values h h'  $\longleftrightarrow$  ( $\forall l p p'. h l = \text{Some } p \wedge h' l = \text{Some } p' \longrightarrow \text{snd } p = \text{snd } p'$ )

```

```

fun fadd-options :: (prat  $\times$  'v) option  $\Rightarrow$  (prat  $\times$  'v) option  $\Rightarrow$  (prat  $\times$  'v) option
  where
  | fadd-options None x = x
  | fadd-options x None = x
  | fadd-options (Some x) (Some y) = Some (padd (fst x) (fst y), snd x)

```

```

lemma fadd-options-cancellative:

```

```

  assumes fadd-options a x = fadd-options b x
  shows a = b

```

```

proof (cases x)

```

```

  case None

```

```

  then show ?thesis

```

```

    by (metis assms fadd-options.elims option.simps(3))

```

```

next

```

```

case (Some xx)
then have  $x = \text{Some } xx$  by simp
then show ?thesis
  apply (cases a)
  apply (cases b)
  apply simp
  apply (metis assms fadd-options.simps(1) fadd-options.simps(3) fst-conv not-pgte-charact
option.sel padd-comm pgt-implies-pgte sum-larger)
  apply (cases b)
  apply (metis assms fadd-options.simps(1) fadd-options.simps(3) fst-conv
not-pgte-charact option.sel padd-comm pgt-implies-pgte sum-larger)

```

```

proof –
  fix aa bb assume  $a = \text{Some } aa$   $b = \text{Some } bb$ 
  then have  $\text{snd } aa = \text{snd } bb$ 
  using Some assms by auto
  moreover have  $\text{fst } aa = \text{fst } bb$ 
  using padd-cancellative[of padd (fst aa) (fst xx) fst bb fst xx fst aa]
  Some  $\langle a = \text{Some } aa \rangle \langle b = \text{Some } bb \rangle$  assms fadd-options.simps(3) fst-conv
option.inject
  by auto
  ultimately show  $a = b$ 
  by (simp add:  $\langle a = \text{Some } aa \rangle \langle b = \text{Some } bb \rangle$  prod-eq-iff)
qed
qed

```

**definition** *compatible-fract-heaps* ::  $(l, 'v)$  *fract-heap*  $\Rightarrow$   $(l, 'v)$  *fract-heap*  $\Rightarrow$  *bool*  
**where**

*compatible-fract-heaps*  $h$   $h'$   $\longleftrightarrow$  *compatible-fractions*  $h$   $h' \wedge$  *same-values*  $h$   $h'$

**lemma** *compatible-fract-heapsI*:

**assumes**  $\bigwedge l p p'. h l = \text{Some } p \wedge h' l = \text{Some } p' \implies$  *pgte pwrite (padd (fst p)*  
*(fst p'))*

**and**  $\bigwedge l p p'. h l = \text{Some } p \wedge h' l = \text{Some } p' \implies$   $\text{snd } p = \text{snd } p'$

**shows** *compatible-fract-heaps*  $h$   $h'$

**by** (*simp add: assms(1) assms(2) compatible-fract-heaps-def compatible-fractions-def*  
*same-values-def*)

**lemma** *compatible-fract-heapsE*:

**assumes** *compatible-fract-heaps*  $h$   $h'$

**and**  $h l = \text{Some } p \wedge h' l = \text{Some } p'$

**shows** *pgte pwrite (padd (fst p) (fst p'))*

**and**  $\text{snd } p = \text{snd } p'$

**apply** (*meson assms(1) assms(2) compatible-fract-heaps-def compatible-fractions-def*)

**by** (*meson assms(1) assms(2) compatible-fract-heaps-def same-values-def*)

**lemma** *compatible-fract-heaps-comm*:

**assumes** *compatible-fract-heaps*  $h$   $h'$

```

shows compatible-fract-heaps h' h
proof (rule compatible-fract-heapsI)
  show  $\bigwedge l p p'. h' l = \text{Some } p \wedge h l = \text{Some } p' \implies \text{pgte } pwrite (padd (fst p) (fst p'))$ 
    by (metis assms compatible-fract-heapsE(1) padd-comm)
  show  $\bigwedge l p p'. h' l = \text{Some } p \wedge h l = \text{Some } p' \implies \text{snd } p = \text{snd } p'$ 
    using assms compatible-fract-heapsE(2) by fastforce
qed

```

The following definition of the sum of two permission heaps only makes sense if  $h$  and  $h'$  are compatible

**definition**  $add\text{-}fh :: ('l, 'v) \text{ fract-heap} \Rightarrow ('l, 'v) \text{ fract-heap} \Rightarrow ('l, 'v) \text{ fract-heap}$   
**where**

$add\text{-}fh h h' l = fadd\text{-}options (h l) (h' l)$

**definition**  $full\text{-}ownership :: ('l, 'v) \text{ fract-heap} \Rightarrow \text{bool}$  **where**  
 $full\text{-}ownership h \iff (\forall l p. h l = \text{Some } p \longrightarrow \text{fst } p = pwrite)$

**lemma**  $full\text{-}ownershipI$ :

**assumes**  $\bigwedge l p. h l = \text{Some } p \implies \text{fst } p = pwrite$

**shows**  $full\text{-}ownership h$

**by** (simp add: assms full-ownership-def)

**fun**  $apply\text{-}opt$  **where**

$apply\text{-}opt f \text{None} = \text{None}$

|  $apply\text{-}opt f (\text{Some } x) = \text{Some } (f x)$

This function maps a permission heap to a normal partial heap (without permissions).

**definition**  $normalize :: ('l, 'v) \text{ fract-heap} \Rightarrow ('l \rightarrow 'v)$  **where**

$normalize h l = apply\text{-}opt \text{snd } (h l)$

**lemma**  $normalize\text{-}eq$ :

$normalize h l = \text{None} \iff h l = \text{None}$

$normalize h l = \text{Some } v \iff (\exists p. h l = \text{Some } (p, v)) \text{ (is } ?A \iff ?B)$

**apply** (metis FractionalHeap.normalize-def apply-opt.elims option.distinct(1))

**proof**

**show**  $?B \implies ?A$

**by** (metis FractionalHeap.normalize-def apply-opt.simps(2) snd-eqD)

**assume**  $?A$  **then have**  $h l \neq \text{None}$

**by** (metis FractionalHeap.normalize-def apply-opt.simps(1) option.distinct(1))

**then obtain**  $p$  **where**  $h l = \text{Some } p$

**by** blast

**then show**  $?B$

**by** (metis FractionalHeap.normalize-def  $\langle \text{FractionalHeap.normalize } h l = \text{Some } v \rangle \langle h l \neq \text{None} \rangle apply\text{-}opt.elims option.inject prod.exhaust-sel)$

**qed**

**definition** *fpdom* where

$fpdom\ h = \{x. \exists v. h\ x = Some\ (pwrite,\ v)\}$

**lemma** *compatible-then-dom-disjoint*:

**assumes** *compatible-fract-heaps*  $h1\ h2$

**shows**  $dom\ h1 \cap fpdom\ h2 = \{\}$

**and**  $dom\ h2 \cap fpdom\ h1 = \{\}$

**proof** –

**have**  $r: \wedge h1\ h2. compatible-fract-heaps\ h1\ h2 \implies dom\ h1 \cap fpdom\ h2 = \{\}$

**proof** –

**fix**  $h1\ h2$  **assume** *asm0*: *compatible-fract-heaps*  $h1\ h2$

**show**  $dom\ h1 \cap fpdom\ h2 = \{\}$

**proof**

**show**  $dom\ h1 \cap fpdom\ h2 \subseteq \{\}$

**proof**

**fix**  $x$  **assume**  $x \in dom\ h1 \cap fpdom\ h2$

**then have**  $x \in dom\ h1 \wedge x \in fpdom\ h2$  **by** *auto*

**then have**  $h1\ x \neq None \wedge h2\ x \neq None$

**using** *domIff fpdom-def[of h2] mem-Collect-eq option.discI*

**by** *auto*

**then obtain**  $a\ b$  **where**  $h1\ x = Some\ a\ h2\ x = Some\ b$  **by** *auto*

**then have**  $fst\ b = pwrite \wedge pgte\ pwrite\ (padd\ (fst\ a)\ (fst\ b))$

**using**  $\langle x \in dom\ h1 \wedge x \in fpdom\ h2 \rangle$  *asm0 compatible-fract-heapsE(1)*

*fpdom-def[of h2] fst-conv mem-Collect-eq option.sel*

**by** *fastforce*

**then show**  $x \in \{\}$

**by** (*metis not-pgte-charact padd-comm sum-larger*)

**qed**

**qed** (*simp*)

**qed**

**then show**  $dom\ h1 \cap fpdom\ h2 = \{\}$

**using** *assms* **by** *blast*

**show**  $dom\ h2 \cap fpdom\ h1 = \{\}$

**by** (*simp add: assms compatible-fract-heaps-comm r*)

**qed**

**lemma** *compatible-dom-sum*:

**assumes** *compatible-fract-heaps*  $h1\ h2$

**shows**  $dom\ (add-fh\ h1\ h2) = dom\ h1 \cup dom\ h2$  (**is**  $?A = ?B$ )

**proof**

**show**  $?B \subseteq ?A$

**proof**

**fix**  $x$  **assume**  $x \in ?B$

**show**  $x \in ?A$

**proof** (*cases*  $x \in dom\ h1$ )

**case** *True*

**then show** *?thesis* **using** *add-fh-def[of h1 h2] domI domIff fadd-options.elims*

**by** *metis*

**next**

```

    case False
  then have  $x \in \text{dom } h2$ 
    using  $\langle x \in \text{dom } h1 \cup \text{dom } h2 \rangle$  by auto
  then show ?thesis using add-fh-def[of h1 h2] domI domIff fadd-options.elims
    by metis
qed
qed
show  $?A \subseteq ?B$ 
  using UnI1[of - dom h1 dom h2] UnI2[of - dom h1 dom h2] add-fh-def[of h1
h2] domIff fadd-options.simps(1) subset-iff[of ?A ?B]
  dom-map-add map-add-None
  by metis
qed

```

Addition of permission heaps is associative.

**lemma** *add-fh-asso*:

$\text{add-fh } (\text{add-fh } a \ b) \ c = \text{add-fh } a \ (\text{add-fh } b \ c)$

**proof** (*rule ext*)

**fix**  $x$

**show**  $\text{add-fh } (\text{add-fh } a \ b) \ c \ x = \text{add-fh } a \ (\text{add-fh } b \ c) \ x$

**proof** (*cases a x*)

case *None*

then show ?thesis

by (*simp add: add-fh-def*)

next

case (*Some aa*)

then have  $a \ x = \text{Some } aa$  by *simp*

then show ?thesis

**proof** (*cases b x*)

case *None*

then show ?thesis

by (*simp add: Some add-fh-def*)

next

case (*Some bb*)

then have  $b \ x = \text{Some } bb$  by *simp*

then show ?thesis

**proof** (*cases c x*)

case *None*

then show ?thesis

by (*simp add: Some  $\langle a \ x = \text{Some } aa \rangle$  add-fh-def*)

next

case (*Some cc*)

then have  $\text{add-fh } (\text{add-fh } a \ b) \ c \ x = \text{Some } (\text{padd } (\text{padd } (\text{fst } aa) \ (\text{fst } bb))$   
 $(\text{fst } cc), \text{snd } aa)$

by (*simp add:  $\langle a \ x = \text{Some } aa \rangle \langle b \ x = \text{Some } bb \rangle$  add-fh-def*)

moreover have  $\text{add-fh } a \ (\text{add-fh } b \ c) \ x = \text{Some } (\text{padd } (\text{fst } aa) \ (\text{padd } (\text{fst}$   
 $bb) \ (\text{fst } cc)), \text{snd } aa)$

by (*simp add: Some  $\langle a \ x = \text{Some } aa \rangle \langle b \ x = \text{Some } bb \rangle$  add-fh-def*)

ultimately show ?thesis

```

      by (simp add: padd-asso)
    qed
  qed
  qed
  qed

lemma add-fh-update:
  assumes b x = None
  shows add-fh (a(x ↦ p)) b = (add-fh a b)(x ↦ p)
proof (rule ext)
  fix l show add-fh (a(x ↦ p)) b l = ((add-fh a b)(x ↦ p)) l
  apply (cases l = x)
  apply (simp add: add-fh-def assms)
  by (simp add: add-fh-def)
qed

end

```

## 1.4 Extended Heaps

In this file, we define extended heaps, which are triples of a permission heap, a shared action guard state, and a family of unique action guard states. We also define a (partial) addition of two extended heaps. Finally, we prove useful lemmas about them.

```

theory StateModel
  imports FractionalHeap HOL-Library.Multiset
begin

type-synonym loc = nat
type-synonym val = nat

We store the initial value with the unique guard
type-synonym f-heap = (loc, val) fract-heap
type-synonym 'a gs-heap = (prat × 'a multiset) option
type-synonym ('i, 'a) gu-heap = 'i → 'a list

type-synonym ('i, 'a) heap = f-heap × 'a gs-heap × ('i, 'a) gu-heap

type-synonym var = string
type-synonym normal-heap = (nat → nat)
type-synonym store = (var ⇒ nat)

fun get-fh where get-fh x = fst x
fun get-gs where get-gs x = fst (snd x)
fun get-gu where get-gu x = snd (snd x)

```

Two "heaps" are compatible iff: 1. The fractional heaps have the same common values and sum to at most 1 2. The unique guard heaps are disjoint 3.

The shared guards permissions sum to at most 1

**definition** *compatible* :: ('i, 'a) heap  $\Rightarrow$  ('i, 'a) heap  $\Rightarrow$  bool (**infixl** <##> 60)  
**where**  
 $h \## h' \longleftrightarrow \text{compatible-fract-heaps } (get\text{-fh } h) (get\text{-fh } h') \wedge (\forall k. get\text{-gu } h \ k = \text{None} \vee get\text{-gu } h' \ k = \text{None})$   
 $\wedge (\forall p \ p'. get\text{-gs } h = \text{Some } p \wedge get\text{-gs } h' = \text{Some } p' \longrightarrow pgte \ pwrite (padd (fst \ p) (fst \ p')))$

**lemma** *compatibleI*:

**assumes** *compatible-fract-heaps* (get-fh h) (get-fh h')  
**and**  $\bigwedge k. get\text{-gu } h \ k = \text{None} \vee get\text{-gu } h' \ k = \text{None}$   
**and**  $\bigwedge p \ p'. get\text{-gs } h = \text{Some } p \wedge get\text{-gs } h' = \text{Some } p' \implies pgte \ pwrite (padd (fst \ p) (fst \ p'))$   
**shows**  $h \## h'$   
**using** *assms(1) assms(2) assms(3) compatible-def* **by** *blast*

**fun** *add-gu-single* **where**

*add-gu-single* None x = x  
| *add-gu-single* x None = x

**definition** *add-gu* **where**

*add-gu* u1 u2 k = *add-gu-single* (u1 k) (u2 k)

**lemma** *comp-add-gu-comm*:

**assumes**  $\bigwedge k. h \ k = \text{None} \vee h' \ k = \text{None}$   
**shows** *add-gu* h h' = *add-gu* h' h  
**proof** (*rule ext*)  
**fix** k **show** *add-gu* h h' k = *add-gu* h' h k  
**by** (*metis add-gu-def add-gu-single.simps(1) add-gu-single.simps(2) assms not-None-eq*)  
**qed**

**fun** *add-gs* :: (prat  $\times$  'a multiset) option  $\Rightarrow$  (prat  $\times$  'a multiset) option  $\Rightarrow$  (prat  $\times$  'a multiset) option

**where**  
*add-gs* None x = x  
| *add-gs* x None = x  
| *add-gs* (Some p) (Some p') = Some (padd (fst p) (fst p'), snd p + snd p')

Addition of shared guard states is cancellative.

**lemma** *add-gs-cancellative*:

**assumes** *add-gs* a x = *add-gs* b x  
**shows** a = b  
**apply** (*cases* x)  
**apply** (*metis add-gs.elims assms not-None-eq*)  
**apply** (*cases* a)  
**apply** (*cases* b)  
**apply** *simp*  
**apply** (*metis add-gs.simps(1) add-gs.simps(3) assms fst-conv not-pgte-charact*)



```

option.sel padd-comm pgt-implies-pgte sum-larger)
  apply (cases b)
  apply (metis add-gs.simps(1) add-gs.simps(3) assms fst-conv not-pgte-charact
option.sel padd-comm pgt-implies-pgte sum-larger)
proof –
  fix xx aa bb assume x = Some xx a = Some aa b = Some bb
  then have fst aa = fst bb
    using assms padd-cancellative[of padd (fst aa) (fst xx)]
    Pair-inject add-gs.simps(3) option.inject by auto
  moreover have snd aa = snd bb
    using add-left-cancel[of snd xx snd aa snd bb]
    using ⟨a = Some aa⟩ ⟨b = Some bb⟩ ⟨x = Some xx⟩ assms by auto
  ultimately show a = b
    by (simp add: ⟨a = Some aa⟩ ⟨b = Some bb⟩ prod-eq-iff)
qed

```

Addition of shared guard states is commutative.

```

lemma add-gs-comm:
  add-gs a b = add-gs b a
proof (cases a)
  case None
  then show ?thesis
    by (metis add-gs.elims add-gs.simps(1) add-gs.simps(2))
next
  case (Some aa)
  then have a = Some aa by simp
  then show ?thesis
  proof (cases b)
  case None
  then show ?thesis
    using Some by force
  next
  case (Some bb)
  moreover have padd (fst aa) (fst bb) = padd (fst bb) (fst aa) ∧ snd aa + snd
bb = snd bb + snd aa
    using padd-comm by force
  ultimately show ?thesis
    using ⟨a = Some aa⟩ by force
qed
qed

```

```

lemma compatible-fheaps-comm:
  assumes compatible-fract-heaps a b
  shows add-fh a b = add-fh b a
proof (rule ext)
  fix x show add-fh a b x = add-fh b a x
  proof (cases a x)
  case None
  then show ?thesis

```

```

    by (metis add-fh-def add-fh-def fadd-options.simps(1) fadd-options.simps(2)
option.exhaust-sel)
  next
    case (Some aa)
    then have a x = Some aa by simp
    then show ?thesis
    proof (cases b x)
      case None
      then show ?thesis
      by (simp add: Some add-fh-def)
    next
      case (Some bb)
      then show ?thesis
      using ⟨a x = Some aa⟩ add-fh-def[of a b] add-fh-def[of b a] assms compatible-
fract-heapsE(2) fadd-options.simps(3) padd-comm
      by (metis (full-types))
    qed
  qed
qed

```

The following function defines addition between two extended heaps.

```

fun plus :: ('i, 'a) heap option ⇒ ('i, 'a) heap option ⇒ ('i, 'a) heap option (infixl
⟨⊕⟩ 63) where
  None ⊕ - = None
| - ⊕ None = None
| Some h1 ⊕ Some h2 = (if h1 ## h2 then Some (add-fh (get-fh h1) (get-fh h2),
add-gs (get-gs h1) (get-gs h2), add-gu (get-gu h1) (get-gu h2)) else None)

```

**lemma** plus-extract:

```

assumes Some x = Some a ⊕ Some b
shows get-fh x = add-fh (get-fh a) (get-fh b)
and get-gs x = add-gs (get-gs a) (get-gs b)
and get-gu x = add-gu (get-gu a) (get-gu b)
apply (metis assms eq-fst-iff get-fh.simps option.inject option.simps(3) plus.simps(3))
apply (metis assms fst-eqD get-gs.simps option.distinct(1) option.inject plus.simps(3)
snd-conv)
by (metis assms get-gu.elims option.distinct(1) option.sel plus.simps(3) snd-conv)

```

**lemma** compatible-eq:

```

Some a ⊕ Some b = None ⟷ ¬ a ## b
by simp

```

**lemma** compatible-comm:

```

a ## b ⟷ b ## a
proof -
have ∧ a b. a ## b ⟹ b ## a
proof -
fix a b assume asm0: a ## b
show b ## a

```

```

proof (rule compatibleI)
  show compatible-fract-heaps (get-fh b) (get-fh a)
    using asm0 compatible-def compatible-fract-heaps-comm by blast
  show  $\bigwedge k. \text{get-gu } b \ k = \text{None} \vee \text{get-gu } a \ k = \text{None}$ 
    by (meson asm0 compatible-def)
  show  $\bigwedge p \ p'. \text{get-gs } b = \text{Some } p \wedge \text{get-gs } a = \text{Some } p' \implies \text{pgte } p \text{write } (\text{padd}$ 
(fst p) (fst p'))
    by (metis asm0 compatible-def padd-comm)
  qed
qed
then show ?thesis
  by blast
qed

```

```

lemma heap-ext:
  assumes get-fh a = get-fh b
    and get-gs a = get-gs b
    and get-gu a = get-gu b
  shows a = b
  by (metis assms(1) assms(2) assms(3) get-fh.simps get-gs.simps get-gu.elims
prod.expand)

```

Addition of two extended heaps is commutative.

```

lemma plus-comm:
   $a \oplus b = b \oplus a$ 
proof –
  have r:  $\bigwedge x \ a \ b. \text{Some } x = \text{Some } a \oplus \text{Some } b \implies \text{Some } x = \text{Some } b \oplus \text{Some } a$ 
proof –
  fix x a b assume asm0:  $\text{Some } x = \text{Some } a \oplus \text{Some } b$ 
  then obtain y where  $\text{Some } y = \text{Some } b \oplus \text{Some } a$ 
    by (metis compatible-comm plus.simps(3))
  have x = y
  proof (rule heap-ext)
    show get-fh x = get-fh y
      by (metis  $\langle \text{Some } y = \text{Some } b \oplus \text{Some } a \rangle$  asm0 compatible-def compatible-eq
compatible-fheaps-comm plus-extract(1))
    show get-gs x = get-gs y
      by (metis  $\langle \text{Some } y = \text{Some } b \oplus \text{Some } a \rangle$  add-gs-comm asm0 plus-extract(2))
    show get-gu x = get-gu y using comp-add-gu-comm[of get-gu x get-gu y]
      by (metis  $\langle \text{Some } y = \text{Some } b \oplus \text{Some } a \rangle$  asm0 comp-add-gu-comm compatible-def
compatible-eq plus-extract(3))
  qed
  then show  $\text{Some } x = \text{Some } b \oplus \text{Some } a$ 
    by (simp add:  $\langle \text{Some } y = \text{Some } b \oplus \text{Some } a \rangle$ )
  qed
then show ?thesis
proof (cases a  $\oplus$  b)
  case None
  then show ?thesis

```

```

    by (metis (no-types, opaque-lifting) compatible-comm compatible-eq plus.elims)
next
case (Some ab)
then have a = Some (the a) ∧ b = Some (the b)
  by (metis option.collapse option.distinct(1) plus.simps(1) plus.simps(2))
then show ?thesis
  by (metis ⟨∧x b a. Some x = Some a ⊕ Some b ⟹ Some x = Some b ⊕
Some a⟩ plus.elims)
qed
qed

lemma asso2:
  assumes Some a ⊕ Some b = Some ab
  and ¬ b ## c
  shows ¬ ab ## c
proof (cases compatible-fract-heaps (get-fh b) (get-fh c))
case True
  then have r: (∃ k. get-gu b k ≠ None ∧ get-gu c k ≠ None)
    ∨ (∃ p p'. get-gs b = Some p ∧ get-gs c = Some p' ∧ pgt (padd (fst p) (fst p'))
pwrite)
  by (metis assms(2) compatible-def not-pgte-charact)
  then show ?thesis
proof (cases ∃ k. get-gu b k ≠ None ∧ get-gu c k ≠ None)
case True
  then obtain k where get-gu b k ≠ None ∧ get-gu c k ≠ None
  by auto
  then have get-gu ab k ≠ None
  using add-gu-def[of get-gu a get-gu b] add-gu-single.simps(1) assms(1) com-
patible-def compatible-eq option.distinct(1) plus-extract(3)
  by metis
  then show ?thesis
  by (meson ⟨get-gu b k ≠ None ∧ get-gu c k ≠ None⟩ compatible-def)
next
case False
  then obtain p p' where get-gs b = Some p ∧ get-gs c = Some p' ∧ pgt (padd
(fst p) (fst p')) pwrite
  using r by blast
  moreover have get-gs ab = add-gs (get-gs a) (Some p)
  by (metis assms(1) calculation plus-extract(2))
  then show ?thesis
proof (cases get-gs a)
case None
  then show ?thesis
  by (metis ⟨get-gs ab = add-gs (get-gs a) (Some p)⟩ add-gs.simps(1) calculation
compatible-def not-pgte-charact)
next
case (Some pa)
  then have get-gs ab = Some (padd (fst pa) (fst p), snd pa + snd p)
  using ⟨get-gs ab = add-gs (get-gs a) (Some p)⟩ by auto

```

```

then have pgte (padd (fst pa) (fst p)) (fst p)
  using padd-comm pgt-implies-pgte sum-larger by presburger
then have pgt (padd (padd (fst pa) (fst p)) (fst p')) pwrite
  using calculation padd.rep-eq pgt.rep-eq pgte.rep-eq by auto
then show ?thesis
  by (metis  $\langle$ get-gs ab = Some (padd (fst pa) (fst p), snd pa + snd p) $\rangle$ 
calculation compatible-def fst-conv not-pgte-charact)
qed
qed
next
case False
then show ?thesis
proof (cases compatible-fractions (get-fh b) (get-fh c))
  case True
  then have  $\neg$  same-values (get-fh b) (get-fh c)
    using False compatible-fract-heaps-def by blast
  then obtain l pb pc where get-fh b l = Some pb get-fh c l = Some pc snd pc
 $\neq$  snd pb
    using same-values-def by fastforce
  then obtain pab where get-fh ab l = Some pab snd pab = snd pb
    apply (cases get-fh a l)
    apply (metis (no-types, lifting) add-fh-def assms(1) fadd-options.simps(2)
plus-comm plus-extract(1))
    using add-fh-def[of get-fh b get-fh a l] assms(1) fadd-options.simps(3) plus-comm
plus-extract(1) snd-conv
    by metis
  then show ?thesis
  by (metis  $\langle$ get-fh c l = Some pc $\rangle$   $\langle$ snd pc  $\neq$  snd pb $\rangle$  compatible-def compatible-
fract-heapsE(2))
  next
  case False
  then obtain pb pc l where get-fh b l = Some pb get-fh c l = Some pc pgt
(padd (fst pb) (fst pc)) pwrite
    using compatible-fractions-def not-pgte-charact by blast

then show ?thesis
proof (cases get-fh a l)
  case None
  then have get-fh ab l = Some pb
    by (metis (no-types, lifting)  $\langle$ get-fh b l = Some pb $\rangle$  add-fh-def assms(1)
fadd-options.simps(1) plus-extract(1))
  then show ?thesis
    by (meson  $\langle$ get-fh c l = Some pc $\rangle$   $\langle$ pgt (padd (fst pb) (fst pc)) pwrite $\rangle$ 
compatible-def compatible-fract-heaps-def compatible-fractions-def not-pgte-charact)
  next
  case (Some pa)
  then obtain pab where get-fh ab l = Some pab fst pab = padd (fst pa) (fst
pb)
    by (metis (mono-tags, opaque-lifting)  $\langle$ get-fh b l = Some pb $\rangle$  add-fh-def

```

```

assms(1) fadd-options.simps(3) fst-conv plus-extract(1)
  then have pgte (fst pab) (fst pb)
    by (metis padd-comm pgt-implies-pgte sum-larger)
  then have pgt (padd (fst pab) (fst pc)) pwrite
    using  $\langle$ pgt (padd (fst pb) (fst pc)) pwrite $\rangle$  padd.rep-eq pgt.rep-eq pgte.rep-eq
by force
  then show ?thesis
    by (meson  $\langle$ get-fh ab l = Some pab $\rangle$   $\langle$ get-fh c l = Some pc $\rangle$  compatible-def
compatible-fract-heapsE(1) not-pgte-charact)
  qed
qed
qed

```

**lemma** *plus-extract-point-fh*:

```

assumes Some x = Some a  $\oplus$  Some b
  and get-fh a l = Some pa
  and get-fh b l = Some pb
shows snd pa = snd pb  $\wedge$  pgte pwrite (padd (fst pa) (fst pb))  $\wedge$  get-fh x l =
Some (padd (fst pa) (fst pb), snd pa)
using add-fh-def[of get-fh a get-fh b] assms(1) assms(2) assms(3) compati-
ble-def[of a b] compatible-eq
compatible-fract-heapsE(1)[of get-fh a get-fh b] compatible-fract-heapsE(2)[of
get-fh a get-fh b]
fadd-options.simps(3)[of pa pb] option.distinct(1) plus-extract(1)[of x a b]
by metis

```

**lemma** *asso1*:

```

assumes Some a  $\oplus$  Some b = Some ab
  and Some b  $\oplus$  Some c = Some bc
shows Some ab  $\oplus$  Some c = Some a  $\oplus$  Some bc
proof (cases Some ab  $\oplus$  Some c)
  case None
  then show ?thesis
  proof (cases compatible-fract-heaps (get-fh ab) (get-fh c))
  case True
  then have r: ( $\exists k. get-gu ab k \neq None \wedge get-gu c k \neq None$ )  $\vee$  ( $\exists p p'. get-gs$ 
ab = Some p  $\wedge$  get-gs c = Some p'
   $\wedge$  pgt (padd (fst p) (fst p')) pwrite)
    by (metis None compatible-def compatible-eq not-pgte-charact)
  then show ?thesis
  proof (cases  $\exists k. get-gu ab k \neq None \wedge get-gu c k \neq None$ )
  case True
  then obtain k where get-gu ab k  $\neq$  None  $\wedge$  get-gu c k  $\neq$  None
    by presburger
  then have get-gu a k  $\neq$  None  $\vee$  get-gu b k  $\neq$  None
    by (metis (no-types, lifting) add-gu-def add-gu-single.simps(1) assms(1)
plus-extract(3))
  then show ?thesis
    by (metis  $\langle$ get-gu ab k  $\neq$  None  $\wedge$  get-gu c k  $\neq$  None $\rangle$  assms(2) asso2)

```

```

compatible-def compatible-eq option.discI plus-comm)
next
  case False
  then obtain pab pc where get-gs ab = Some pab  $\wedge$  get-gs c = Some pc
     $\wedge$  pgt (padd (fst pab) (fst pc)) pwrite
    using r by blast
  then show ?thesis
    apply (cases get-gs a)
    apply (metis add-gs.simps(1) assms(1) assms(2) compatible-def compatible-
      eq not-pgte-charact option.discI plus-extract(2))
    apply (cases get-gs b)
    apply (metis add-gs.simps(1) add-gs.simps(2) assms(1) assms(2) compat-
      ible-def compatible-eq not-pgte-charact plus-extract(2))
    proof -
      fix pa pb assume asm: get-gs ab = Some pab  $\wedge$  get-gs c = Some pc  $\wedge$  pgt
        (padd (fst pab) (fst pc)) pwrite
        get-gs a = Some pa get-gs b = Some pb
      then have pab = (padd (fst pa) (fst pb), snd pa + snd pb)
        by (metis add-gs.simps(3) assms(1) option.sel plus-extract(2))
      then show Some ab  $\oplus$  Some c = Some a  $\oplus$  Some bc
        using None  $\langle$ get-gs a = Some pa $\rangle$  asm
           $\langle$ get-gs b = Some pb $\rangle$  add-gs.simps(3) assms(2) compatible-def[of a bc]
            compatible-eq fst-conv not-pgte-charact[of pwrite padd (fst pab) (fst pc)]
      padd-asso plus-extract(2)
        by metis
    qed
  qed
next
  case False
  then show ?thesis
  proof (cases compatible-fractions (get-fh ab) (get-fh c))
    case True
    then have  $\neg$ same-values (get-fh ab) (get-fh c)
      using False compatible-fract-heaps-def
      by blast
  then obtain l pab pc where get-fh ab l = Some pab get-fh c l = Some pc snd
    pab  $\neq$  snd pc
    using same-values-def by blast
  then show ?thesis
    apply (cases get-fh a l)
    apply (metis (no-types, lifting) add-fh-def assms(1) assms(2) compatible-def
      compatible-eq compatible-fract-heapsE(2) fadd-options.simps(1) option.distinct(1)
      plus-extract(1))
    proof -
      fix pa assume get-fh ab l = Some pab get-fh c l = Some pc snd pab  $\neq$  snd

```

```

pc get-fh a l = Some pa
  moreover have same-values (get-fh a) (get-fh b)
    by (metis assms(1) compatible-def compatible-fract-heaps-def option.discI
plus.simps(3))
  ultimately have snd pa = snd pab
    apply (cases get-fh b l)
    apply (metis (no-types, lifting) add-fh-def assms(1) fadd-options.simps(2)
option.inject plus-extract(1))
    by (metis (no-types, lifting) add-fh-def assms(1) fadd-options.simps(3)
option.sel plus-extract(1) snd-eqD)
  then show ?thesis
    by (metis (full-types) None ⟨get-fh a l = Some pa⟩ ⟨get-fh c l =
Some pc⟩ ⟨snd pab ≠ snd pc⟩ assms(2) asso2 compatible-def compatible-eq com-
patible-fract-heapsE(2) plus-comm)
qed
next
case False
then obtain l pab pc where get-fh ab l = Some pab get-fh c l = Some pc pgt
(padd (fst pab) (fst pc)) pwrite
  using compatible-fractions-def not-pgte-charact by blast
then show ?thesis
proof (cases get-fh a l)
case None
then have get-fh b l = Some pab
  by (metis (no-types, lifting) ⟨get-fh ab l = Some pab⟩ add-fh-def assms(1)
fadd-options.simps(1) plus-extract(1))
then show ?thesis
  by (metis ⟨get-fh c l = Some pc⟩ ⟨pgt (padd (fst pab) (fst pc)) pwrite⟩
assms(2) compatible-def compatible-fract-heapsE(1) not-pgte-charact option.simps(3)
plus.simps(3))
next
case (Some pa)
then have get-fh a l = Some pa by simp
then show ?thesis
proof (cases get-fh b l)
case None
then have pa = pab
  by (metis (no-types, lifting) Some ⟨get-fh ab l = Some pab⟩ add-fh-def
assms(1) fadd-options.simps(2) option.inject plus-extract(1))
then show ?thesis
  by (metis Some ⟨get-fh ab l = Some pab⟩ ⟨get-fh c l = Some pc⟩ ⟨pgt (padd
(fst pab) (fst pc)) pwrite⟩ assms(2) asso2 compatible-def compatible-fract-heapsE(1)
not-pgte-charact padd-comm plus.simps(3) plus-comm)
next
case (Some pb)
then have fst pab = padd (fst pa) (fst pb)
  using ⟨get-fh a l = Some pa⟩ ⟨get-fh ab l = Some pab⟩ add-fh-def[of
get-fh a get-fh b] assms(1) compatible-def compatible-eq
compatible-fract-heapsE(2)[of get-fh a get-fh b] fadd-options.simps(3)

```



```

      fst-apfst option.discI option.sel plus-extract(1)[of ab a b] prod.collapse
snd-apfst
      by force
      then have pgt (padd (fst pa) (padd (fst pb) (fst pc))) pwrite
      using ⟨pgt (padd (fst pab) (fst pc)) pwrite⟩ padd-asso by auto
      moreover obtain pb c where get-fh bc l = Some pb fst pb = padd (fst
pb) (fst pc)
      by (metis (no-types, opaque-lifting) Some ⟨get-fh c l = Some pc⟩ add-fh-def
assms(2) fadd-options.simps(3) fst-conv plus-extract(1))
      ultimately show ?thesis
      by (metis None ⟨get-fh a l = Some pa⟩ compatible-def compatible-eq
compatible-fract-heapsE(1) not-pgte-charact)
      qed
      qed
      qed
      qed
next
case (Some x)
then have Some ab ⊕ Some c = Some x by simp
have a ## bc
proof (rule compatibleI)
show compatible-fract-heaps (get-fh a) (get-fh bc)
proof (rule compatible-fract-heapsI)
fix l pa pb assume asm0: get-fh a l = Some pa ∧ get-fh bc l = Some pb
have pgte pwrite (padd (fst pa) (fst pb)) ∧ snd pa = snd pb
proof (cases get-fh c l)
case None
then have get-fh b l = Some pb
by (metis (no-types, lifting) add-fh-def asm0 assms(2) fadd-options.elims
option.discI plus-extract(1))
then show ?thesis
by (metis (no-types, lifting) asm0 assms(1) compatible-def compatible-eq
compatible-fract-heapsE(1) compatible-fract-heapsE(2) option.discI)
next
case (Some pc)
then have get-fh c l = Some pc by simp
then show ?thesis
proof (cases get-fh b l)
case None
then have get-fh ab l = Some pa
by (metis (no-types, lifting) add-fh-def asm0 assms(1) fadd-options.simps(2)
plus-extract(1))
moreover have pb = pc
by (metis (no-types, lifting) None Some add-fh-def asm0 assms(2)
fadd-options.simps(2) option.inject plus-comm plus-extract(1))
ultimately show ?thesis
by (metis (no-types, lifting) Some ⟨Some ab ⊕ Some c = Some x⟩ compati-
ble-def compatible-eq compatible-fract-heapsE(1) compatible-fract-heapsE(2) option.discI)
next

```

```

      case (Some pb)
      then obtain pab where get-fh ab l = Some pab fst pab = padd (fst pa)
(fst pb) snd pab = snd pa
      by (metis (mono-tags, opaque-lifting) add-fh-def asm0 assms(1) fadd-options.simps(3)
fst-conv plus-extract(1) snd-conv)
      then have pgte pwrite (padd (padd (fst pa) (fst pb)) (fst pc))
      by (metis ⟨Some ab ⊕ Some c = Some x⟩ ⟨get-fh c l = Some pc⟩
compatible-def compatible-eq compatible-fract-heapsE(1) option.distinct(1))
      then have pgte pwrite (padd (fst pa) (fst pbc))
      by (metis (no-types, lifting) Some ⟨get-fh c l = Some pc⟩ add-fh-def asm0
assms(2) fadd-options.simps(3) fst-conv option.sel padd-asso plus-extract(1))
      moreover have snd pa = snd pb
      by (metis Some asm0 assms(1) compatible-def compatible-fract-heapsE(2)
option.simps(3) plus.simps(3))
      then have snd pa = snd pbc
      by (metis (no-types, opaque-lifting) Some ⟨get-fh c l = Some pc⟩ add-fh-def
asm0 assms(2) fadd-options.simps(3) option.sel plus-extract(1) snd-conv)
      ultimately show ?thesis by blast
    qed
  qed
  then show pgte pwrite (padd (fst pa) (fst pbc))
  by auto
  show snd pa = snd pbc
  by (simp add: ⟨pgte pwrite (padd (fst pa) (fst pbc)) ∧ snd pa = snd pbc⟩)
  qed

show ∧k. get-gu a k = None ∨ get-gu bc k = None
proof -
  fix k show get-gu a k = None ∨ get-gu bc k = None
  proof (cases get-gu a k)
    case (Some aa)
    then have get-gu b k = None ∨ get-gu c k = None
    by (metis assms(2) compatible-def compatible-eq option.discI)
    then show ?thesis
    using Some ⟨Some ab ⊕ Some c = Some x⟩ add-gu-def[of get-gu a get-gu
b]
      add-gu-def[of get-gu b get-gu c] add-gu-single.simps(1) add-gu-single.simps(2)
      assms(1) assms(2) compatible-def compatible-eq option.distinct(1)
plus-extract(3)
    by metis
  qed (simp)
  qed
  fix pa pbc assume get-gs a = Some pa ∧ get-gs bc = Some pbc
  show pgte pwrite (padd (fst pa) (fst pbc))
  proof (cases get-gs b)
    case None
    then show ?thesis by (metis Some ⟨get-gs a = Some pa ∧ get-gs bc = Some
pbc⟩ add-gs.simps(1) add-gs.simps(2) assms(1) assms(2) compatible-def compati-
ble-eq option.discI plus-extract(2))

```

```

next
  case (Some pb)
  then have get-gs b = Some pb by simp
  then show ?thesis
  proof (cases get-gs c)
    case None
    then show ?thesis
    by (metis Some ⟨get-gs a = Some pa ∧ get-gs bc = Some pbc⟩ add-gs.simps(2)
    assms(1) assms(2) compatible-def compatible-eq option.distinct(1) plus-extract(2))
  next
  case (Some pc)
  then have padd (fst pa) (fst pbc) = padd (fst pa) (padd (fst pb) (fst pc))
    by (metis (no-types, lifting) ⟨get-gs a = Some pa ∧ get-gs bc = Some pbc⟩
    ⟨get-gs b = Some pb⟩ add-gs.simps(3) assms(2) fst-conv option.sel plus-extract(2))
  also have ... = padd (padd (fst pa) (fst pb)) (fst pc)
    using padd-asso by force
  moreover obtain pab where get-gs ab = Some pab
    by (metis ⟨get-gs a = Some pa ∧ get-gs bc = Some pbc⟩ ⟨get-gs b = Some
    pb⟩ add-gs.simps(3) assms(1) plus-extract(2))
  then have pgte pwrite (padd (fst pab) (fst pc))
    by (metis Some ⟨Some ab ⊕ Some c = Some x⟩ compatible-def compatible-eq
    option.simps(3))
  ultimately show ?thesis
    by (metis (no-types, lifting) ⟨get-gs a = Some pa ∧ get-gs bc = Some pbc⟩
    ⟨get-gs ab = Some pab⟩ ⟨get-gs b = Some pb⟩ add-gs.simps(3) assms(1) fst-conv
    option.sel plus-extract(2))
  qed
qed

qed
then obtain y where Some y = Some a ⊕ Some bc
  by simp
moreover have x = y
proof (rule heap-ext)
  show get-gu x = get-gu y
  proof (rule ext)
    fix k show get-gu x k = get-gu y k
    apply (cases get-gu a k)
    using Some add-gu-def[of get-gu a] add-gu-def[of get-gu b] add-gu-def[of
    get-gu ab]
    add-gu-single.simps(1) assms(1) assms(2) calculation
    plus-extract(3)[of ab a b] plus-extract(3)[of bc b c] plus-extract(3)[of y a
    bc] plus-extract(3)[of x ab c]
    apply simp
    apply (cases get-gu b k)
    using Some add-gu-def[of get-gu a] add-gu-def[of get-gu b] add-gu-def[of
    get-gu ab]
    add-gu-single.simps(1) assms(1) assms(2) calculation
    plus-extract(3)[of ab a b] plus-extract(3)[of bc b c] plus-extract(3)[of y a

```

$bc]$  *plus-extract*(3)[*of x ab c*]  
*add-gu-single.simps*(1) *add-gu-single.simps*(2) *assms*(1) *assms*(2) *calculation*  
**apply** *simp*  
**by** (*metis assms*(1) *compatible-def compatible-eq option.simps*(3))  
**qed**  
**show** *get-gs x = get-gs y*  
**apply** (*cases get-gs a*)  
**apply** (*metis (mono-tags, lifting) Some add-gs.simps*(1) *assms*(1) *assms*(2) *calculation plus-extract*(2))  
**apply** (*cases get-gs b*)  
**apply** (*metis (mono-tags, lifting) Some add-gs.simps*(1) *add-gs.simps*(2) *assms*(1) *assms*(2) *calculation plus-extract*(2))  
**apply** (*cases get-gs c*)  
**apply** (*metis Some add-gs.simps*(1) *assms*(1) *assms*(2) *calculation plus-comm plus-extract*(2))  
**proof** –  
**fix** *ga gb gc* **assume** *asm0: get-gs a = Some ga get-gs b = Some gb get-gs c = Some gc*  
**then obtain** *gab gbc* **where** *r: get-gs ab = Some gab get-gs bc = gbc*  
**by** (*metis add-gs.simps*(3) *assms*(1) *plus-extract*(2))  
**then have** *get-gs x = Some (padd (padd (fst ga) (fst gb)) (fst gc), (snd ga + snd gb) + snd gc)*  
**by** (*metis (no-types, lifting) Some add-gs.simps*(3) *asm0*(1) *asm0*(2) *asm0*(3) *assms*(1) *fst-conv plus-extract*(2) *snd-conv*)  
**moreover have** *get-gs y = Some (padd (fst ga) (padd (fst gb) (fst gc)), snd ga + (snd gb + snd gc))*  
**by** (*metis (mono-tags, opaque-lifting) <Some y = Some a  $\oplus$  Some bc>* *add-gs.simps*(3) *asm0*(1) *asm0*(2) *asm0*(3) *assms*(2) *fst-conv plus-extract*(2) *prod.exhaust-sel snd-conv*)  
**ultimately show** *get-gs x = get-gs y*  
**by** (*simp add: padd-asso*)  
**qed**  
**show** *get-fh x = get-fh y*  
**by** (*metis Some add-fh-asso assms*(1) *assms*(2) *calculation plus-extract*(1))  
**qed**  
**ultimately show** *?thesis* **using** *Some by presburger*  
**qed**

**lemma** *simpler-asso*:

(*Some a  $\oplus$  Some b*)  $\oplus$  *Some c = Some a  $\oplus$  (Some b  $\oplus$  Some c)*

**proof** (*cases Some a  $\oplus$  Some b*)

**case** *None*

**then show** *?thesis*

**by** (*metis (no-types, opaque-lifting) asso2 compatible-eq option.exhaust plus.simps*(1) *plus-comm*)

**next**

**case** (*Some ab*)

**then have** *ab: Some ab = Some a  $\oplus$  Some b* **by** *simp*

```

then show ?thesis
proof (cases Some b  $\oplus$  Some c)
  case None
    then show ?thesis
    by (metis Some asso2 compatible-eq plus.simps(2))
  next
    case (Some bc)
    then show ?thesis
    by (metis ab asso1)
qed
qed

```

Addition of two extended heaps is associative.

```

lemma plus-asso:
  (a  $\oplus$  b)  $\oplus$  c = a  $\oplus$  (b  $\oplus$  c)
proof (cases a)
  case (Some aa)
    then have aa: a = Some aa by simp
    then show ?thesis
  proof (cases b)
    case (Some bb)
      then have bb: b = Some bb by simp
      then show ?thesis
    proof (cases c)
      case None
        then show ?thesis
        by (simp add: plus-comm)
      next
        case (Some cc)
          then show ?thesis
          using aa bb simpler-asso by blast
    qed
  qed (simp)
qed (simp)

```

We define the extension order between extended heaps.

**definition** larger :: ('i, 'a) heap  $\Rightarrow$  ('i, 'a) heap  $\Rightarrow$  bool (**infixl**  $\langle \succeq \rangle$  55) **where**  
 $a \succeq b \iff (\exists c. \text{Some } a = \text{Some } b \oplus \text{Some } c)$

The extension order between extended heaps is transitive.

```

lemma larger-trans:
  assumes a  $\succeq$  b
    and b  $\succeq$  c
  shows a  $\succeq$  c
proof -
  obtain r1 where Some a = Some b  $\oplus$  Some r1
    using assms(1) larger-def by blast
  moreover obtain r2 where Some b = Some c  $\oplus$  Some r2
    using assms(2) larger-def by blast

```

**moreover obtain  $r$  where**  $\text{Some } r = \text{Some } r1 \oplus \text{Some } r2$   
**by** (*metis* (*no-types*, *opaque-lifting*) *calculation*(1) *calculation*(2) *not-Some-eq*  
*plus.simps*(1) *plus-asso* *plus-comm*)  
**ultimately show** *?thesis*  
**by** (*metis* *larger-def* *plus-comm* *simpler-asso*)  
**qed**

**lemma** *comp-smaller*:  
**assumes**  $a \#\# b$   
**and**  $\text{Some } b = \text{Some } c \oplus \text{Some } d$   
**shows**  $a \#\# c$   
**by** (*metis* *assms*(1) *assms*(2) *option.distinct*(1) *plus.simps*(1) *plus.simps*(3)  
*plus-asso*)

**lemma** *full-sguard-sum-same*:  
**assumes**  $\text{get-gs } a = \text{Some } (pwrite, sargs)$   
**and**  $\text{Some } h = \text{Some } a \oplus \text{Some } b$   
**shows**  $\text{get-gs } h = \text{Some } (pwrite, sargs)$   
**proof** (*cases*  $\text{get-gs } b$ )  
**case** *None*  
**then show** *?thesis*  
**by** (*metis* *add-gs.simps*(2) *assms*(1) *assms*(2) *fst-conv* *get-gs.elims* *option.sel*  
*option.simps*(3) *plus.simps*(3) *snd-eqD*)  
**next**  
**case** ( $\text{Some } a$ )  
**then show** *?thesis*  
**by** (*metis* *assms*(1) *assms*(2) *compatible-def* *compatible-eq* *fst-eqD* *not-pgte-charact*  
*option.simps*(3) *sum-larger*)  
**qed**

**lemma** *full-uguard-sum-same*:  
**assumes**  $\text{get-gu } a \ k = \text{Some } uargs$   
**and**  $\text{Some } h = \text{Some } a \oplus \text{Some } b$   
**shows**  $\text{get-gu } h \ k = \text{Some } uargs$   
**proof** (*cases*  $\text{get-gu } b \ k$ )  
**case** *None*  
**then show** *?thesis*  
**by** (*metis* (*no-types*, *lifting*) *add-gu-def* *add-gu-single.simps*(2) *assms*(1) *assms*(2)  
*plus-extract*(3))  
**next**  
**case** ( $\text{Some } a$ )  
**then show** *?thesis*  
**by** (*metis* *assms*(1) *assms*(2) *compatible-def* *compatible-eq* *option.simps*(3))  
**qed**

**lemma** *smaller-more-compatible*:  
**assumes**  $a \#\# b$   
**and**  $a \succeq c$   
**shows**  $c \#\# b$

by (meson *assms(1) assms(2) comp-smaller compatible-comm larger-def*)

**lemma** *equiv-sum-get-fh*:

**assumes** *get-fh a = get-fh a'*

**and** *get-fh b = get-fh b'*

**and** *Some x = Some a  $\oplus$  Some b*

**and** *Some x' = Some a'  $\oplus$  Some b'*

**shows** *get-fh x = get-fh x'*

**by** (*metis assms(1) assms(2) assms(3) assms(4) fst-eqD get-fh.elims option.discI option.sel plus.simps(3)*)

**lemma** *addition-cancellative*:

**assumes** *Some a = Some b  $\oplus$  Some c*

**and** *Some a = Some b'  $\oplus$  Some c*

**shows** *b = b'*

**proof** (*rule heap-ext*)

**show** *get-gu b = get-gu b'*

**proof** (*rule ext*)

**fix** *k show get-gu b k = get-gu b' k*

**apply** (*cases get-gu a k*)

**apply** (*metis assms(1) assms(2) full-uguard-sum-same not-Some-eq*)

**apply** (*cases get-gu b k*)

**using** *add-gu-def[of get-gu b get-gu c]*

*add-gu-single.simps(1)[of get-gu c k] assms(1) assms(2) compatible-def[of b c] compatible-def[of b' c]*

*option.inject option.simps(3) plus.elims plus-extract(3)[of a b c]*

**apply** *metis*

**proof** –

**fix** *ga gb assume get-gu a k = Some ga get-gu b k = Some gb*

**then have** *get-gu c k = None*

**by** (*metis assms(1) compatible-def compatible-eq option.simps(3)*)

**then show** *get-gu b k = get-gu b' k*

**by** (*metis (no-types, opaque-lifting) add-gu-def add-gu-single.simps(1) assms(1) assms(2) plus-comm plus-extract(3)*)

**qed**

**qed**

**show** *get-gs b = get-gs b'*

**by** (*metis add-gs-cancellative assms(1) assms(2) plus-extract(2)*)

**show** *get-fh b = get-fh b'*

**proof** (*rule ext*)

**fix** *l show get-fh b l = get-fh b' l*

**proof** (*cases get-fh a l*)

**case** *None*

**then have** *get-fh b l = None*

**by** (*metis (no-types, lifting) add-fh-def assms(1) fadd-options.elims option.distinct(1) plus-extract(1)*)

**then show** *?thesis*

**by** (*metis (no-types, opaque-lifting) None add-fh-def assms(2) fadd-options.elims option.distinct(1) plus-extract(1)*)

```

next
  case (Some aa)
  then have get-fh a l = Some aa by simp
  then show ?thesis
  proof (cases get-fh c l)
    case None
    then show ?thesis
    by (metis (no-types, lifting) add-fh-def assms(1) assms(2) fadd-options.simps(1)
plus-comm plus-extract(1))
  next
  case (Some cc)
  then have get-fh c l = Some cc by simp
  then show ?thesis using fadd-options-cancellative
  by (metis (no-types, opaque-lifting) add-fh-def assms(1) assms(2) plus-extract(1))
qed
qed
qed
qed

```

**lemma** *addition-cancellative3*:

```

  assumes Some x = Some a  $\oplus$  Some b  $\oplus$  Some c
  and Some x = Some a'  $\oplus$  Some b  $\oplus$  Some c
  shows a = a'
proof -
  obtain ab ab' where Some ab = Some a  $\oplus$  Some b Some ab' = Some a'  $\oplus$  Some
  b
  by (metis assms(1) assms(2) not-Some-eq plus.simps(1))
  then have ab = ab'
  by (metis addition-cancellative assms(1) assms(2))
  then show ?thesis
  using  $\langle$ Some ab = Some a  $\oplus$  Some b $\rangle$   $\langle$ Some ab' = Some a'  $\oplus$  Some b $\rangle$ 
  addition-cancellative by blast
qed

```

**lemma** *larger3*:

```

  assumes Some x = Some a  $\oplus$  Some b  $\oplus$  Some c
  shows x  $\succeq$  b
proof -
  obtain ab where Some ab = Some a  $\oplus$  Some b
  by (metis assms not-Some-eq plus.simps(1))
  then show ?thesis
  by (metis (no-types, opaque-lifting) assms larger-def larger-trans plus-comm)
qed

```

**lemma** *add-get-fh*:



**assumes**  $\text{Some } x = \text{Some } a \oplus \text{Some } b$   
**shows**  $\text{get-fh } x = \text{add-fh } (\text{get-fh } a) (\text{get-fh } b)$   
**by** (*metis* *assms fst-conv get-fh.elims option.discI option.sel plus.simps(3)*)

**lemma** *sum-gs-one-none*:

**assumes**  $\text{Some } x = \text{Some } a \oplus \text{Some } b$   
**and**  $\text{get-gs } b = \text{None}$   
**shows**  $\text{get-gs } x = \text{get-gs } a$   
**by** (*metis* *add-gs.simps(1) assms(1) assms(2) plus-comm plus-extract(2)*)

**lemma** *sum-gs-one-some*:

**assumes**  $\text{Some } x = \text{Some } a \oplus \text{Some } b$   
**and**  $\text{get-gs } a = \text{Some } (pa, ma)$   
**and**  $\text{get-gs } b = \text{Some } (pb, mb)$   
**shows**  $\text{get-gs } x = \text{Some } (\text{padd } pa \ pb, ma + mb)$   
**by** (*metis* *add-gs.simps(3) assms(1) assms(2) assms(3) fst-conv plus-extract(2) snd-conv*)

**definition** *empty-heap* :: (*i*, *a*) **heap** **where**

*empty-heap* = (*Map.empty*, *None*,  $\lambda k. \text{None}$ )

**lemma** *dom-normalize*:

$\text{dom } h = \text{dom } (\text{normalize } h)$   
**by** (*meson* *FractionalHeap.normalize-eq(1) domIff subsetI subset-antisym*)

**lemma** *sum-second-none-get-fh*:

**assumes**  $\text{Some } x = \text{Some } a \oplus \text{Some } b$   
**and**  $\text{get-fh } b \ l = \text{None}$   
**shows**  $\text{get-fh } x \ l = \text{get-fh } a \ l$   
**proof** (*cases* *get-fh a l*)  
**case** *None*  
**then show** *?thesis*  
**by** (*metis* (*no-types, opaque-lifting*) *add-fh-def add-get-fh assms(1) assms(2) fadd-options.simps(1)*)  
**next**  
**case** (*Some aa*)  
**then show** *?thesis*  
**by** (*metis* (*no-types, lifting*) *add-fh-def add-get-fh assms(1) assms(2) fadd-options.simps(2)*)  
**qed**

**lemma** *sum-first-none-get-fh*:

**assumes**  $\text{Some } x = \text{Some } a \oplus \text{Some } b$   
**and**  $\text{get-fh } a \ l = \text{None}$   
**shows**  $\text{get-fh } x \ l = \text{get-fh } b \ l$   
**by** (*metis* *assms(1) assms(2) plus-comm sum-second-none-get-fh*)

**lemma** *dom-sum-two*:

**assumes** *Some x = Some a  $\oplus$  Some b*  
**shows** *dom (get-fh x) = dom (get-fh a)  $\cup$  dom (get-fh b)*  
**by** (*metis add-get-fh assms compatible-def compatible-dom-sum compatible-eq option.distinct(1)*)

**lemma** *dom-three-sum*:

**assumes** *Some x = Some a  $\oplus$  Some b  $\oplus$  Some c*  
**shows** *dom (get-fh x) = dom (get-fh a)  $\cup$  dom (get-fh b)  $\cup$  dom (get-fh c)*  
**proof** –  
**obtain** *ab where Some ab = Some a  $\oplus$  Some b*  
**by** (*metis assms not-Some-eq plus.simps(1)*)  
**then have** *Some x = Some ab  $\oplus$  Some c*  
**using** *assms by presburger*  
**then have** *dom (get-fh x) = dom (get-fh ab)  $\cup$  dom (get-fh c)*  
**by** (*meson dom-sum-two*)  
**then show** *?thesis*  
**by** (*metis  $\langle$ Some ab = Some a  $\oplus$  Some b  $\rangle$  dom-sum-two*)  
**qed**

**lemma** *addition-smaller-domain*:

**assumes** *Some a = Some b  $\oplus$  Some c*  
**shows** *dom (get-fh b)  $\subseteq$  dom (get-fh a)*  
**by** (*metis (no-types, opaque-lifting) Un-subset-iff assms dom-sum-two order-refl*)

**lemma** *one-value-sum-same*:

**assumes** *Some x = Some a  $\oplus$  Some b*  
**and** *get-fh a l = Some ( $\pi$ , v)*  
**shows**  *$\exists \pi'. \text{get-fh } x \ l = \text{Some } (\pi', v)$*   
**using** *assms(1) assms(2) not-Some-eq plus-extract-point-fh[of x a - l ( $\pi$ , v)]*  
*snd-eqD sum-second-none-get-fh[of x a]*  
**by** *metis*

**lemma** *compatible-last-two*:

**assumes** *Some x = Some a  $\oplus$  Some b  $\oplus$  Some c*  
**shows** *b  $\#\#$  c*  
**by** (*metis assms compatible-eq option.discI plus.simps(2) plus-asso*)

**lemma** *add-fh-map-empty*:

*add-fh h Map.empty = h*  
**proof** (*rule ext*)  
**fix** *x show add-fh h Map.empty x = h x*  
**by** (*metis add-fh-def fadd-options.simps(1) fadd-options.simps(2) not-None-eq*)  
**qed**

**definition** *bounded where*

*bounded h  $\longleftrightarrow$  ( $\forall l p. \text{fst } h \ l = \text{Some } p \longrightarrow \text{pgte } p \ \text{write } (\text{fst } p)$ )*

**lemma** *boundedI*:  
**assumes**  $\bigwedge l p. \text{fst } h \ l = \text{Some } p \implies \text{pgte } p \text{write } (\text{fst } p)$   
**shows** *bounded h*  
**by** (*simp add: assms bounded-def*)

**lemma** *boundedE*:  
**assumes** *bounded h*  
**and**  $\text{fst } h \ l = \text{Some } p$   
**shows**  $\text{pgte } p \text{write } (\text{fst } p)$   
**by** (*meson assms(1) assms(2) bounded-def*)

**lemma** *bounded-smaller-sum*:  
**assumes** *bounded x*  
**and**  $\text{Some } x = \text{Some } a \oplus \text{Some } b$   
**shows** *bounded a*  
**proof** (*rule boundedI*)  
**fix**  $l p$  **assume**  $\text{asm0}: \text{fst } a \ l = \text{Some } p$   
**then obtain**  $p'$  **where**  $\text{fst } x \ l = \text{Some } p'$   
**by** (*metis assms(2) get-fh.simps one-value-sum-same prod.collapse*)  
**then have**  $\text{pgte } (\text{fst } p') \ (\text{fst } p)$   
**apply** (*cases fst b l*)  
**apply** (*metis (no-types, lifting) add-fh-def add-get-fh asm0 assms(2) fadd-options.simps(2) get-fh.elims not-pgte-charact option.sel pgt-implies-pgte*)  
**using** *add-fh-def[of fst a fst b l] asm0 assms(2) fadd-options.elims[of fst a l fst b l]*  
*fst-eqD get-fh.simps option.discI option.sel pgt-implies-pgte plus.simps(3)[of a b] sum-larger[of ]*  
**by** *metis*  
**then show**  $\text{pgte } p \text{write } (\text{fst } p)$   
**by** (*meson <fst x l = Some p'> assms(1) boundedE dual-order.trans pgte.rep-eq*)  
**qed**

**lemma** *bounded-smaller*:  
**assumes** *bounded x*  
**and**  $x \succeq a$   
**shows** *bounded a*  
**using** *assms(1) assms(2) bounded-smaller-sum larger-def by blast*

**lemma** *sum-perm-smaller*:  
**assumes**  $\text{Some } x = \text{Some } a \oplus \text{Some } b$   
**and**  $\text{fst } a \ l = \text{Some } (p, v)$   
**shows**  $\exists p'. \text{pgte } p' \ p \wedge \text{fst } x \ l = \text{Some } (p', v)$   
**apply** (*cases fst b l*)  
**apply** (*metis assms(1) assms(2) get-fh.simps order.refl pgte.rep-eq sum-second-none-get-fh*)  
**apply** *clarsimp*  
**using** *assms(2) fst-eqD get-fh.simps pgt-implies-pgte plus-extract-point-fh[OF assms(1)] snd-eqD sum-larger*

by *simp*

**lemma** *modus-ponens*:

assumes  $A$   
and  $A \implies B$   
shows  $B$   
using *assms* by *simp*

**lemma** *fpdom-inclusion*:

assumes  $\text{Some } h' = \text{Some } h \oplus \text{Some } r$   
and *bounded*  $h'$   
shows  $\text{fpdom } (fst\ h) \subseteq \text{fpdom } (fst\ h')$   
apply *rule*  
unfolding *fpdom-def* apply *simp*  
apply (*erule exE*)  
subgoal for  $x\ v$   
apply (*rule modus-ponens*[of  $\exists p. fst\ h'\ x = \text{Some } (p, v)$ ])  
apply (*metis assms(1) get-fh.simps one-value-sum-same*)  
apply (*erule exE*)  
subgoal for  $p$   
apply (*rule modus-ponens*[of *pgte pwrite p*])  
apply (*metis assms(2) boundedE fst-conv*)  
apply (*rule modus-ponens*[of *pgte p pwrite*])  
apply (*metis Pair-inject assms(1) option.inject sum-perm-smaller*)  
using *pgte-antisym* by *blast*  
done  
done

**lemma** *fpdom-dom-disjoint*:

assumes  $\text{Some } h = \text{Some } h1 \oplus \text{Some } h2$   
shows  $\text{dom } (fst\ h1) \cap \text{fpdom } (fst\ h2) = \{\}$   
apply *rule*  
apply *rule*  
unfolding *dom-def fpdom-def* apply *simp-all*  
apply (*erule conjE*)  
apply (*erule exE*)+  
by (*metis (no-types, lifting) assms fst-eqD get-fh.simps not-pgte-charact plus-comm plus-extract-point-fh sum-larger*)

**lemma** *fpdom-dom-union*:

assumes  $\text{Some } h = \text{Some } h1 \oplus \text{Some } h2$   
and *bounded*  $h$   
shows  $\text{fpdom } (fst\ h1) \cup \text{fpdom } (fst\ h2) \subseteq \text{fpdom } (fst\ h)$   
by (*metis assms fpdom-inclusion plus-comm sup-least*)

**lemma** *full-ownership-then-bounded*:

assumes *full-ownership*  $(fst\ h)$

```

shows bounded h
apply (rule boundedI)
by (metis assms full-ownership-def pgte.rep-eq pwrite.rep-eq rel-simps(47))

end

```

## 2 Imperative Concurrent Language

This file defines the syntax and semantics of the concurrent programming language described in the paper, based on Viktor Vafeiadis' Isabelle soundness proof of CSL [2], and adapted to Isabelle 2016-1 by Qin Yu and James Brotherston (see <https://people.mpi-sws.org/~viktor/cslsound/>). We also prove some useful lemmas about the semantics.

```

theory Lang
imports Main StateModel
begin

```

### 2.1 Language Syntax and Semantics

```

type-synonym state = store × normal-heap

```

```

datatype exp =
  | Evar var
  | Enum nat
  | Eplus exp exp

```

```

datatype bexp =
  | Beq exp exp
  | Band bexp bexp
  | Bnot bexp
  | Btrue

```

```

datatype cmd =
  | Cskip
  | Cassign var exp
  | Cread var exp
  | Cwrite exp exp
  | Calloc var exp
  | Cdispose exp
  | Cseq cmd cmd
  | Cpar cmd cmd
  | Cif bexp cmd cmd
  | Cwhile bexp cmd
  | Catomic cmd

```

Arithmetic expressions (*exp*) consist of variables, constants, and arithmetic operations. Boolean expressions (*bexp*) consist of comparisons between arithmetic expressions. Commands (*cmd*) include the empty command, variable

assignments, memory reads, writes, allocations and deallocations, sequential and parallel composition, conditionals, while loops, local variable declarations, and atomic statements.

### 2.1.1 Semantics of expressions

Denotational semantics for arithmetic and boolean expressions.

**primrec**

$edenot :: exp \Rightarrow store \Rightarrow nat$

**where**

$edenot (Evar v) s = s v$   
 $| edenot (Enum n) s = n$   
 $| edenot (Eplus e1 e2) s = edenot e1 s + edenot e2 s$

**primrec**

$bdenot :: bexp \Rightarrow store \Rightarrow bool$

**where**

$bdenot (Beq e1 e2) s = (edenot e1 s = edenot e2 s)$   
 $| bdenot (Band b1 b2) s = (bdenot b1 s \wedge bdenot b2 s)$   
 $| bdenot (Bnot b) s = (\neg bdenot b s)$   
 $| bdenot Btrue - = True$

### 2.1.2 Semantics of commands

We give a standard small-step operational semantics to commands with configurations being command-state pairs.

**inductive**

$red :: cmd \Rightarrow state \Rightarrow cmd \Rightarrow state \Rightarrow bool$

**and**  $red\text{-}rtrans :: cmd \Rightarrow state \Rightarrow cmd \Rightarrow state \Rightarrow bool$

**where**

$red\text{-}Seq1[intro]: red (Cseq Cskip C) \sigma C \sigma$   
 $| red\text{-}Seq2[elim]: red C1 \sigma C1' \sigma' \Longrightarrow red (Cseq C1 C2) \sigma (Cseq C1' C2) \sigma'$   
 $| red\text{-}If1[intro]: bdenot B (fst \sigma) \Longrightarrow red (Cif B C1 C2) \sigma C1 \sigma$   
 $| red\text{-}If2[intro]: \neg bdenot B (fst \sigma) \Longrightarrow red (Cif B C1 C2) \sigma C2 \sigma$   
 $| red\text{-}Atomic[intro]: red\text{-}rtrans C \sigma Cskip \sigma' \Longrightarrow red (Catomic C) \sigma Cskip \sigma'$   
 $| red\text{-}Par1[elim]: red C1 \sigma C1' \sigma' \Longrightarrow red (Cpar C1 C2) \sigma (Cpar C1' C2) \sigma'$   
 $| red\text{-}Par2[elim]: red C2 \sigma C2' \sigma' \Longrightarrow red (Cpar C1 C2) \sigma (Cpar C1 C2') \sigma'$   
 $| red\text{-}Par3[intro]: red (Cpar Cskip Cskip) \sigma (Cskip) \sigma$   
 $| red\text{-}Loop[intro]: red (Cwhile B C) \sigma (Cif B (Cseq C (Cwhile B C)) Cskip) \sigma$   
 $| red\text{-}Assign[intro]: \llbracket \sigma = (s,h); \sigma' = (s(x := edenot E s), h) \rrbracket \Longrightarrow red (Cassign x E) \sigma Cskip \sigma'$   
 $| red\text{-}Read[intro]: \llbracket \sigma = (s,h); h(edenot E s) = Some v; \sigma' = (s(x := v), h) \rrbracket \Longrightarrow red (Cread x E) \sigma Cskip \sigma'$   
 $| red\text{-}Write[intro]: \llbracket \sigma = (s,h); \sigma' = (s, h(edenot E s \mapsto edenot E' s)) \rrbracket \Longrightarrow red (Cwrite E E') \sigma Cskip \sigma'$   
 $| red\text{-}Alloc[intro]: \llbracket \sigma = (s,h); v \notin dom h; \sigma' = (s(x := v), h(v \mapsto edenot E s)) \rrbracket \Longrightarrow red (Calloc x E) \sigma Cskip \sigma'$

| *red-Free*[intro]:  $\llbracket \sigma = (s, h); \sigma' = (s, h(\text{edenot } E \ s := \text{None})) \rrbracket \implies \text{red } (C\text{dispose } E) \ \sigma \ C\text{skip } \sigma'$

| *NoStep*:  $\text{red-rtrans } C \ \sigma \ C \ \sigma$

| *OneMoreStep*:  $\llbracket \text{red } C \ \sigma \ C' \ \sigma'; \text{red-rtrans } C' \ \sigma' \ C'' \ \sigma'' \rrbracket \implies \text{red-rtrans } C \ \sigma \ C'' \ \sigma''$

**inductive-cases** *red-par-cases*:  $\text{red } (C\text{par } C1 \ C2) \ \sigma \ C' \ \sigma'$

**inductive-cases** *red-atomic-cases*:  $\text{red } (C\text{atomic } C) \ \sigma \ C' \ \sigma'$

### 2.1.3 Abort semantics

#### primrec

*accesses* :: *cmd*  $\Rightarrow$  *store*  $\Rightarrow$  *nat set*

#### where

*accesses* *Cskip*  $s = \{\}$   
| *accesses* (*Cassign* *x* *E*)  $s = \{\}$   
| *accesses* (*Cread* *x* *E*)  $s = \{\text{edenot } E \ s\}$   
| *accesses* (*Cwrite* *E* *E'*)  $s = \{\text{edenot } E \ s\}$   
| *accesses* (*Calloc* *x* *E*)  $s = \{\}$   
| *accesses* (*Cdispose* *E*)  $s = \{\text{edenot } E \ s\}$   
| *accesses* (*Cseq* *C1* *C2*)  $s = \text{accesses } C1 \ s$   
| *accesses* (*Cpar* *C1* *C2*)  $s = \text{accesses } C1 \ s \cup \text{accesses } C2 \ s$   
| *accesses* (*Cif* *B* *C1* *C2*)  $s = \{\}$   
| *accesses* (*Cwhile* *B* *C*)  $s = \{\}$   
| *accesses* (*Catomic* *C*)  $s = \{\}$

#### primrec

*writes* :: *cmd*  $\Rightarrow$  *store*  $\Rightarrow$  *nat set*

#### where

*writes* *Cskip*  $s = \{\}$   
| *writes* (*Cassign* *x* *E*)  $s = \{\}$   
| *writes* (*Cread* *x* *E*)  $s = \{\}$   
| *writes* (*Cwrite* *E* *E'*)  $s = \{\text{edenot } E \ s\}$   
| *writes* (*Calloc* *x* *E*)  $s = \{\}$   
| *writes* (*Cdispose* *E*)  $s = \{\text{edenot } E \ s\}$   
| *writes* (*Cseq* *C1* *C2*)  $s = \text{writes } C1 \ s$   
| *writes* (*Cpar* *C1* *C2*)  $s = \text{writes } C1 \ s \cup \text{writes } C2 \ s$   
| *writes* (*Cif* *B* *C1* *C2*)  $s = \{\}$   
| *writes* (*Cwhile* *B* *C*)  $s = \{\}$   
| *writes* (*Catomic* *C*)  $s = \{\}$

#### inductive

*aborts* :: *cmd*  $\Rightarrow$  *state*  $\Rightarrow$  *bool*

#### where

*aborts-Seq*[intro]:  $\text{aborts } C1 \ \sigma \implies \text{aborts } (C\text{seq } C1 \ C2) \ \sigma$

$| \text{aborts-Atomic}[\text{intro}]: [ \text{red-rtrans } C \ \sigma \ C' \ \sigma' ; \text{aborts } C' \ \sigma' ] \implies \text{aborts } (\text{Catomic } C) \ \sigma$   
 $| \text{aborts-Par1}[\text{intro}]: \text{aborts } C1 \ \sigma \implies \text{aborts } (\text{Cpar } C1 \ C2) \ \sigma$   
 $| \text{aborts-Par2}[\text{intro}]: \text{aborts } C2 \ \sigma \implies \text{aborts } (\text{Cpar } C1 \ C2) \ \sigma$   
 $| \text{aborts-Read}[\text{intro}]: \text{edenot } E \ (\text{fst } \sigma) \notin \text{dom } (\text{snd } \sigma) \implies \text{aborts } (\text{Cread } x \ E) \ \sigma$   
 $| \text{aborts-Write}[\text{intro}]: \text{edenot } E \ (\text{fst } \sigma) \notin \text{dom } (\text{snd } \sigma) \implies \text{aborts } (\text{Cwrite } E \ E') \ \sigma$   
 $| \text{aborts-Free}[\text{intro}]: \text{edenot } E \ (\text{fst } \sigma) \notin \text{dom } (\text{snd } \sigma) \implies \text{aborts } (\text{Cdispose } E) \ \sigma$   
 $| \text{aborts-Race1}[\text{intro}]: \text{accesses } C1 \ (\text{fst } \sigma) \cap \text{writes } C2 \ (\text{fst } \sigma) \neq \{\} \implies \text{aborts } (\text{Cpar } C1 \ C2) \ \sigma$   
 $| \text{aborts-Race2}[\text{intro}]: \text{writes } C1 \ (\text{fst } \sigma) \cap \text{accesses } C2 \ (\text{fst } \sigma) \neq \{\} \implies \text{aborts } (\text{Cpar } C1 \ C2) \ \sigma$

**inductive-cases** *abort-atomic-cases*:  $\text{aborts } (\text{Catomic } C) \ \sigma$

## 2.2 Useful Definitions and Results

The free variables of expressions, boolean expressions, and commands are defined as expected:

### primrec

$\text{fvE} :: \text{exp} \Rightarrow \text{var set}$

### where

$\text{fvE } (\text{Evar } v) = \{v\}$   
 $| \text{fvE } (\text{Enum } n) = \{\}$   
 $| \text{fvE } (\text{Eplus } e1 \ e2) = (\text{fvE } e1 \cup \text{fvE } e2)$

### primrec

$\text{fvB} :: \text{bexp} \Rightarrow \text{var set}$

### where

$\text{fvB } (\text{Beq } e1 \ e2) = (\text{fvE } e1 \cup \text{fvE } e2)$   
 $| \text{fvB } (\text{Band } b1 \ b2) = (\text{fvB } b1 \cup \text{fvB } b2)$   
 $| \text{fvB } (\text{Bnot } b) = (\text{fvB } b)$   
 $| \text{fvB } \text{Btrue} = \{\}$

### primrec

$\text{fvC} :: \text{cmd} \Rightarrow \text{var set}$

### where

$\text{fvC } (\text{Cskip}) = \{\}$   
 $| \text{fvC } (\text{Cassign } v \ E) = (\{v\} \cup \text{fvE } E)$   
 $| \text{fvC } (\text{Cread } v \ E) = (\{v\} \cup \text{fvE } E)$   
 $| \text{fvC } (\text{Cwrite } E1 \ E2) = (\text{fvE } E1 \cup \text{fvE } E2)$   
 $| \text{fvC } (\text{Calloc } v \ E) = (\{v\} \cup \text{fvE } E)$   
 $| \text{fvC } (\text{Cdispose } E) = (\text{fvE } E)$   
 $| \text{fvC } (\text{Cseq } C1 \ C2) = (\text{fvC } C1 \cup \text{fvC } C2)$   
 $| \text{fvC } (\text{Cpar } C1 \ C2) = (\text{fvC } C1 \cup \text{fvC } C2)$   
 $| \text{fvC } (\text{Cif } B \ C1 \ C2) = (\text{fvB } B \cup \text{fvC } C1 \cup \text{fvC } C2)$   
 $| \text{fvC } (\text{Cwhile } B \ C) = (\text{fvB } B \cup \text{fvC } C)$   
 $| \text{fvC } (\text{Catomic } C) = (\text{fvC } C)$



**primrec**

$$wrC :: cmd \Rightarrow var\ set$$
**where**

$$\begin{aligned} wrC\ (Cskip) &= \{\} \\ | wrC\ (Cassign\ v\ E) &= \{v\} \\ | wrC\ (Cread\ v\ E) &= \{v\} \\ | wrC\ (Cwrite\ E1\ E2) &= \{\} \\ | wrC\ (Calloc\ v\ E) &= \{v\} \\ | wrC\ (Cdispose\ E) &= \{\} \\ | wrC\ (Cseq\ C1\ C2) &= (wrC\ C1 \cup wrC\ C2) \\ | wrC\ (Cpar\ C1\ C2) &= (wrC\ C1 \cup wrC\ C2) \\ | wrC\ (Cif\ B\ C1\ C2) &= (wrC\ C1 \cup wrC\ C2) \\ | wrC\ (Cwhile\ B\ C) &= (wrC\ C) \\ | wrC\ (Catomic\ C) &= (wrC\ C) \end{aligned}$$
**primrec**

$$subE :: var \Rightarrow exp \Rightarrow exp \Rightarrow exp$$
**where**

$$\begin{aligned} subE\ x\ E\ (Evar\ y) &= (if\ x = y\ then\ E\ else\ Evar\ y) \\ | subE\ x\ E\ (Enum\ n) &= Enum\ n \\ | subE\ x\ E\ (Eplus\ e1\ e2) &= Eplus\ (subE\ x\ E\ e1)\ (subE\ x\ E\ e2) \end{aligned}$$
**primrec**

$$subB :: var \Rightarrow exp \Rightarrow bexp \Rightarrow bexp$$
**where**

$$\begin{aligned} subB\ x\ E\ (Beq\ e1\ e2) &= Beq\ (subE\ x\ E\ e1)\ (subE\ x\ E\ e2) \\ | subB\ x\ E\ (Band\ b1\ b2) &= Band\ (subB\ x\ E\ b1)\ (subB\ x\ E\ b2) \\ | subB\ x\ E\ (Bnot\ b) &= Bnot\ (subB\ x\ E\ b) \\ | subB\ x\ E\ Btrue &= Btrue \end{aligned}$$

Basic properties of substitutions:

**lemma** *subE-assign*:
$$\begin{aligned} edenot\ (subE\ x\ E\ e)\ s &= edenot\ e\ (s(x := edenot\ E\ s)) \\ \text{by } &(induct\ e,\ simp\text{-all}) \end{aligned}$$
**lemma** *subB-assign*:
$$bdenot\ (subB\ x\ E\ b)\ s = bdenot\ b\ (s(x := edenot\ E\ s))$$
**proof** *(induct b)*

$$\text{case } (Beq\ x1\ x2)$$

$$\text{then show } ?case$$

$$\text{using } bdenot.simps(1)\ subB.simps(1)\ subE\text{-assign\ by\ presburger}$$

$$\text{qed } (simp\text{-all})$$

**inductive-cases** *red-skip-cases*:  $red\ Cskip\ \sigma\ C'\ \sigma'$

**inductive-cases** *aborts-skip-cases*:  $aborts\ Cskip\ \sigma$

**lemma** *skip-simps[simp]*:

$\neg \text{red } C \text{ skip } \sigma \ C' \ \sigma'$   
 $\neg \text{aborts } C \text{ skip } \sigma$   
**using** *red-skip-cases* **apply** *blast*  
**using** *aborts-skip-cases* **by** *blast*

**definition**

*agrees*  $:: 'a \text{ set} \Rightarrow ('a \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'b) \Rightarrow \text{bool}$

**where**

*agrees*  $X \ s \ s' \equiv \forall x \in X. \ s \ x = s' \ x$

**lemma** *agrees-union*:

*agrees*  $(A \cup B) \ s \ s' \longleftrightarrow \text{agrees } A \ s \ s' \wedge \text{agrees } B \ s \ s'$   
**by** (*meson Un-iff agrees-def*)

Proposition 4.1: Properties of basic properties of *red*.

**lemma** *agreesI*:

**assumes**  $\bigwedge x. \ x \in X \implies s \ x = s' \ x$   
**shows** *agrees*  $X \ s \ s'$   
**using** *agrees-def* **assms** **by** *blast*

**lemma** *red-properties*:

*red*  $C \ \sigma \ C' \ \sigma' \implies \text{fv}C \ C' \subseteq \text{fv}C \ C \wedge \text{wr}C \ C' \subseteq \text{wr}C \ C \wedge \text{agrees } (- \ \text{wr}C \ C) \ (\text{fst } \sigma')$

*red-rtrans*  $C \ \sigma \ C' \ \sigma' \implies \text{fv}C \ C' \subseteq \text{fv}C \ C \wedge \text{wr}C \ C' \subseteq \text{wr}C \ C \wedge \text{agrees } (- \ \text{wr}C \ C) \ (\text{fst } \sigma') \ (\text{fst } \sigma)$

**proof** (*induct rule: red-red-rtrans.inducts*)

**case** (*OneMoreStep*  $C \ \sigma \ C' \ \sigma' \ C'' \ \sigma''$ )

**then have**  $\text{fv}C \ C'' \subseteq \text{fv}C \ C$

**by** *blast*

**moreover have**  $\text{wr}C \ C'' \subseteq \text{wr}C \ C$

**using** *OneMoreStep.hyps(2)* *OneMoreStep.hyps(4)* **by** *blast*

**moreover have** *agrees*  $(- \ \text{wr}C \ C) \ (\text{fst } \sigma'') \ (\text{fst } \sigma)$

**proof** (*rule agreesI*)

**fix**  $x$  **assume**  $x \in - \ \text{wr}C \ C$

**then have**  $x \in - \ \text{wr}C \ C' \wedge x \in - \ \text{wr}C \ C''$

**using** *OneMoreStep.hyps(2)* *OneMoreStep.hyps(4)* **by** *blast*

**then show**  $\text{fst } \sigma'' \ x = \text{fst } \sigma \ x$

**by** (*metis OneMoreStep.hyps(2) OneMoreStep.hyps(4)  $\langle x \in - \ \text{wr}C \ C \rangle$*   
*agrees-def*)

**qed**

**ultimately show** *?case* **by** *simp*

**qed** (*auto simp add: agrees-def*)

Proposition 4.2: Semantics does not depend on variables not free in the term

**lemma** *exp-agrees*: *agrees*  $(\text{fv}E \ E) \ s \ s' \implies \text{edenot } E \ s = \text{edenot } E \ s'$

**by** (*simp add: agrees-def, induct E, auto*)

**lemma** *bexp-agrees*:

$agrees (fvB B) s s' \implies bdenot B s = bdenot B s'$   
**proof** (*induct B*)  
**case** (*Beq x1 x2*)  
**then have**  $agrees (fvE x1) s s' \wedge agrees (fvE x2) s s'$   
**by** (*simp add: agrees-def*)  
**then show** *?case* **using** *exp-agrees*  
**by force**  
**next**  
**case** (*Band B1 B2*)  
**then show** *?case*  
**by** (*simp add: agrees-def*)  
**qed** (*simp-all*)

**lemma** *red-not-in-fv-not-touched*:  
 $red C \sigma C' \sigma' \implies x \notin fvC C \implies fst \sigma x = fst \sigma' x$   
 $red-rtrans C \sigma C' \sigma' \implies x \notin fvC C \implies fst \sigma x = fst \sigma' x$   
**proof** (*induct arbitrary: rule: red-red-rtrans.inducts*)  
**case** (*OneMoreStep C \sigma C' \sigma' C'' \sigma''*)  
**then show**  $fst \sigma x = fst \sigma'' x$   
**by** (*metis red-properties(1) subsetD*)  
**qed** (*auto*)

**lemma** *agrees-update1*:  
**assumes**  $agrees X s s'$   
**shows**  $agrees X (s(x := v)) (s'(x := v))$   
**proof** (*rule agreesI*)  
**fix**  $y$  **show**  $y \in X \implies (s(x := v)) y = (s'(x := v)) y$   
**apply** (*cases y = x*)  
**apply** *simp*  
**using** *agrees-def assms* **by** *fastforce*  
**qed**

**lemma** *agrees-update2*:  
**assumes**  $agrees X s s'$   
**and**  $x \notin X$   
**shows**  $agrees X (s(x := v)) (s'(x := v'))$   
**proof** (*rule agreesI*)  
**fix**  $y$  **show**  $y \in X \implies (s(x := v)) y = (s'(x := v')) y$   
**apply** (*cases y = x*)  
**using** *assms(2)* **apply** *blast*  
**using** *agrees-def assms(1)* **by** *fastforce*  
**qed**

**lemma** *red-agrees-aux*:  
 $red C \sigma C' \sigma' \implies (\forall s h. agrees X (fst \sigma) s \wedge snd \sigma = h \wedge fvC C \subseteq X \longrightarrow$   
 $(\exists s' h'. red C (s, h) C' (s', h') \wedge agrees X (fst \sigma') s' \wedge snd \sigma' = h'))$   
 $red-rtrans C \sigma C' \sigma' \implies (\forall s h. agrees X (fst \sigma) s \wedge snd \sigma = h \wedge fvC C \subseteq X$   
 $\longrightarrow$   
 $(\exists s' h'. red-rtrans C (s, h) C' (s', h') \wedge agrees X (fst \sigma') s' \wedge snd \sigma' = h'))$

**proof** (*induct rule: red-red-rtrans.inducts*)  
**case** (*red-If1 B  $\sigma$  C1 C2*)  
**then show** *?case*  
**proof** (*clarify*)  
**fix**  $X s h$   
**assume**  $asm0: bdenot B (fst \sigma) agrees X (fst \sigma) s fvC (Cif B C1 C2) \subseteq X$   
**then have**  $bdenot B s$   
**using**  $Un-iff\ agrees-def[of X fst \sigma s] bexp-agrees fvC.simps(9) in-mono\ agrees-def[of fvB B]$   
**by** *fastforce*  
**then show**  $\exists s' h'. red (Cif B C1 C2) (s, snd \sigma) C1 (s', h') \wedge agrees X (fst \sigma) s' \wedge snd \sigma = h'$   
**by** (*metis asm0(2) fst-eqD red-red-rtrans.red-If1*)  
**qed**  
**next**  
**case** (*red-If2 B  $\sigma$  C1 C2*)  
**then show** *?case*  
**proof** (*clarify*)  
**fix**  $X s h$   
**assume**  $asm0: \neg bdenot B (fst \sigma) agrees X (fst \sigma) s fvC (Cif B C1 C2) \subseteq X$   
**then have**  $\neg bdenot B s$   
**using**  $Un-subset-iff\ agrees-def[of X] agrees-def[of fvB B] bexp-agrees fvC.simps(9) in-mono$   
**by** *metis*  
**then show**  $\exists s' h'. red (Cif B C1 C2) (s, snd \sigma) C2 (s', h') \wedge agrees X (fst \sigma) s' \wedge snd \sigma = h'$   
**by** (*metis asm0(2) fst-eqD red-red-rtrans.red-If2*)  
**qed**  
**next**  
**case** (*red-Assign  $\sigma$  ss hh  $\sigma'$  x E*)  
**then show** *?case*  
**proof** (*clarify*)  
**fix**  $X s h$   
**assume**  $asm0: \sigma' = (ss(x := edenot E ss), hh) \sigma = (ss, hh) agrees X (fst (ss, hh)) s fvC (Cassign x E) \subseteq X$   
**then have**  $edenot E s = edenot E ss$   
**using**  $exp-agrees fst-conv fvC.simps(2)$   
**by** (*metis (mono-tags, lifting) Un-subset-iff agrees-def in-mono*)  
**then have**  $red (Cassign x E) (ss, snd (s, h)) Cskip (ss(x := edenot E s), h)$   
**by** *force*  
**moreover have**  $agrees X (fst (s(x := edenot E s), h)) (ss(x := edenot E s))$   
**proof** (*rule agreesI*)  
**fix**  $y$  **assume**  $y \in X$   
**show**  $fst (s(x := edenot E s), h) y = (ss(x := edenot E s)) y$   
**apply** (*cases x = y*)  
**apply** *simp*  
**by** (*metis  $\langle y \in X \rangle agrees-def asm0(3) fstI fun-upd-other$* )  
**qed**  
**ultimately show**  $\exists s' h'. red (Cassign x E) (s, snd (ss, hh)) Cskip (s', h') \wedge$

$agrees\ X\ (fst\ (ss(x := edenot\ E\ ss),\ hh))\ s' \wedge snd\ (ss(x := edenot\ E\ ss),\ hh) = h'$   
**using**  $\langle edenot\ E\ s = edenot\ E\ ss \rangle$   
**by**  $(metis\ agrees-update1\ asm0(3)\ fst-conv\ red-red-rtrans.red-Assign\ snd-conv)$   
**qed**  
**next**  
**case**  $(red-Read\ \sigma\ ss\ hh\ E\ v\ \sigma'\ x)$   
**have**  $\bigwedge s\ h.\ agrees\ X\ (fst\ \sigma)\ s \wedge snd\ \sigma = h \wedge fvC\ (Cread\ x\ E) \subseteq X \implies (\exists s'\ h'.\ red\ (Cread\ x\ E)\ (s,\ h)\ Cskip\ (s',\ h') \wedge agrees\ X\ (fst\ \sigma')\ s' \wedge snd\ \sigma' = h')$   
**proof** –  
**fix**  $s\ h$  **assume**  $asm0: agrees\ X\ (fst\ \sigma)\ s \wedge snd\ \sigma = h \wedge fvC\ (Cread\ x\ E) \subseteq X$   
**then have**  $hh\ (edenot\ E\ s) = Some\ v$   
**using**  $red-Read(1)\ red-Read(2)\ exp-agrees\ fstI\ fvC.simps(3)\ Un-subset-iff\ agrees-def[of\ fvE\ E]\ in-mono$   
**agrees-def** $[of\ X]$  **by**  $metis$   
**then have**  $agrees\ X\ (fst\ \sigma')\ (s(x := v))$   
**by**  $(metis\ asm0(1)\ agrees-update1\ fstI\ red-Read.hyps(1)\ red-Read.hyps(3)\ red-Read.prem)$   
**then show**  $\exists s'\ h'.\ red\ (Cread\ x\ E)\ (s,\ h)\ Cskip\ (s',\ h') \wedge agrees\ X\ (fst\ \sigma')\ s' \wedge snd\ \sigma' = h'$   
**using**  $\langle hh\ (edenot\ E\ s) = Some\ v \rangle\ red-Read.hyps(1)\ red-Read.hyps(3)\ red-Read.prem$   
**by**  $(metis\ asm0\ red-red-rtrans.red-Read\ snd-conv)$   
**qed**  
**then show**  $?case\ by\ blast$   
**next**  
**case**  $(red-Write\ \sigma\ ss\ hh\ \sigma'\ E\ E')$   
**have**  $\bigwedge s\ h.\ agrees\ X\ (fst\ \sigma)\ s \wedge snd\ \sigma = h \wedge fvC\ (Cwrite\ E\ E') \subseteq X \implies (\exists s'\ h'.\ red\ (Cwrite\ E\ E')\ (s,\ h)\ Cskip\ (s',\ h') \wedge agrees\ X\ (fst\ \sigma')\ s' \wedge snd\ \sigma' = h')$   
**proof** –  
**fix**  $s\ h$  **assume**  $asm0: agrees\ X\ (fst\ \sigma)\ s \wedge snd\ \sigma = h \wedge fvC\ (Cwrite\ E\ E') \subseteq X$   
**then have**  $edenot\ E\ ss = edenot\ E\ s \wedge edenot\ E'\ ss = edenot\ E'\ s$   
**using**  $red-Write(1)\ exp-agrees\ fstI\ fvC.simps(4)$   
**by**  $(metis\ (mono-tags,\ lifting)\ Un-subset-iff\ agrees-def\ in-mono)$   
**then show**  $\exists s'\ h'.\ red\ (Cwrite\ E\ E')\ (s,\ h)\ Cskip\ (s',\ h') \wedge agrees\ X\ (fst\ \sigma')\ s' \wedge snd\ \sigma' = h'$   
**by**  $(metis\ fst-conv\ asm0\ red-Write.hyps(1)\ red-Write.hyps(2)\ red-Write.prem\ red-red-rtrans.red-Write\ snd-conv)$   
**qed**  
**then show**  $?case\ by\ blast$   
**next**  
**case**  $(red-Alloc\ \sigma\ ss\ hh\ v\ \sigma'\ x\ E)$   
**have**  $\bigwedge s\ h.\ agrees\ X\ (fst\ \sigma)\ s \wedge snd\ \sigma = h \wedge fvC\ (Calloc\ x\ E) \subseteq X \implies (\exists s'\ h'.\ red\ (Calloc\ x\ E)\ (s,\ h)\ Cskip\ (s',\ h') \wedge agrees\ X\ (fst\ \sigma')\ s' \wedge snd\ \sigma' = h')$   
**proof** –  
**fix**  $s\ h$  **assume**  $asm0: agrees\ X\ (fst\ \sigma)\ s \wedge snd\ \sigma = h \wedge fvC\ (Calloc\ x\ E) \subseteq X$   
**then have**  $edenot\ E\ ss = edenot\ E\ s$   
**using**  $red-Alloc(1)\ exp-agrees\ fst-conv\ fvC.simps(5)$   
**by**  $(metis\ (mono-tags,\ lifting)\ Un-iff\ agrees-def\ in-mono)$

**then have**  $\text{agrees } X \text{ (fst } \sigma') \text{ (s(x := v))}$   
**by**  $(\text{metis agrees-update1 asm0 fstI red-Alloc.hyps(1) red-Alloc.hyps(3) red-Alloc.prem.s})$   
**then show**  $\exists s' h'. \text{red (Calloc x E) (s, h) Cskip (s', h') } \wedge \text{agrees } X \text{ (fst } \sigma') s'$   
 $\wedge \text{snd } \sigma' = h'$   
**by**  $(\text{metis } \langle \text{edenot } E \text{ ss} = \text{edenot } E \text{ s} \rangle \text{red-Alloc.hyps(1) red-Alloc.hyps(2) red-Alloc.hyps(3) red-Alloc.prem.s red-red-rtrans.red-Alloc snd-eqD asm0})$   
**qed**  
**then show**  $?case$  **by**  $\text{blast}$   
**next**  
**case**  $(\text{red-Free } \sigma \text{ ss hh } \sigma' E)$   
**have**  $\bigwedge s h. \text{agrees } X \text{ (fst } \sigma) s \wedge \text{snd } \sigma = h \wedge \text{fvC (Cdispose E) } \subseteq X \implies (\exists s' h'. \text{red (Cdispose E) (s, h) Cskip (s', h') } \wedge \text{agrees } X \text{ (fst } \sigma') s' \wedge \text{snd } \sigma' = h')$   
**proof**  $-$   
**fix**  $s h$  **assume**  $\text{asm0: agrees } X \text{ (fst } \sigma) s \wedge \text{snd } \sigma = h \wedge \text{fvC (Cdispose E) } \subseteq X$   
**then have**  $\text{edenot } E \text{ ss} = \text{edenot } E \text{ s}$   
**using**  $\text{red-Free(1) exp-agrees fst-eqD fvC.simps(6)}$   
**by**  $(\text{metis agrees-def in-mono})$   
**then show**  $\exists s' h'. \text{red (Cdispose E) (s, h) Cskip (s', h') } \wedge \text{agrees } X \text{ (fst } \sigma') s'$   
 $\wedge \text{snd } \sigma' = h'$   
**using**  $\text{red-Free.hyps(1) red-Free.hyps(2) red-Free.prem.s asm0}$  **by**  $\text{fastforce}$   
**qed**  
**then show**  $?case$  **by**  $\text{blast}$   
**next**  
**case**  $(\text{NoStep } C \sigma)$   
**then show**  $?case$   
**using**  $\text{red-red-rtrans.NoStep}$  **by**  $\text{blast}$   
**next**  
**case**  $(\text{OneMoreStep } C \sigma C' \sigma' C'' \sigma'')$   
**have**  $\bigwedge s h. \text{agrees } X \text{ (fst } \sigma) s \wedge \text{snd } \sigma = h \wedge \text{fvC } C \subseteq X \implies (\exists s' h'. \text{red-rtrans } C \text{ (s, h) } C'' \text{ (s', h') } \wedge \text{agrees } X \text{ (fst } \sigma'') s' \wedge \text{snd } \sigma'' = h')$   
**proof**  $-$   
**fix**  $s h$  **assume**  $\text{asm0: agrees } X \text{ (fst } \sigma) s \wedge \text{snd } \sigma = h \wedge \text{fvC } C \subseteq X$   
**then obtain**  $h' s'$  **where**  $\text{red } C \text{ (s, h) } C' \text{ (s', h') } \wedge \text{agrees } X \text{ (fst } \sigma') s' \wedge \text{snd } \sigma' = h'$   
**using**  $\text{OneMoreStep(2)}$  **by**  $\text{auto}$   
**then obtain**  $h'' s''$  **where**  $\text{red-rtrans } C' \text{ (s', h') } C'' \text{ (s'', h'') } \wedge \text{agrees } X \text{ (fst } \sigma'') s'' \wedge \text{snd } \sigma'' = h''$   
**using**  $\text{OneMoreStep.hyps(4) asm0 red-properties(1)}$  **by**  $\text{fastforce}$   
**then show**  $\exists s' h'. \text{red-rtrans } C \text{ (s, h) } C'' \text{ (s', h') } \wedge \text{agrees } X \text{ (fst } \sigma'') s' \wedge \text{snd } \sigma'' = h'$   
**using**  $\langle \text{red } C \text{ (s, h) } C' \text{ (s', h') } \wedge \text{agrees } X \text{ (fst } \sigma') s' \wedge \text{snd } \sigma' = h' \rangle$   
 $\text{red-red-rtrans.OneMoreStep}$  **by**  $\text{blast}$   
**qed**  
**then show**  $?case$  **by**  $\text{blast}$   
**qed**  $(\text{fastforce+})$

**lemma**  $\text{red-agrees[rule-format]}$ :

$\text{red } C \sigma C' \sigma' \implies \forall X s. \text{agrees } X \text{ (fst } \sigma) s \longrightarrow \text{snd } \sigma = h \longrightarrow \text{fvC } C \subseteq X \longrightarrow$   
 $(\exists s' h'. \text{red } C \text{ (s, h) } C' \text{ (s', h') } \wedge \text{agrees } X \text{ (fst } \sigma') s' \wedge \text{snd } \sigma' = h')$

```

using red-agrees-aux(1) by blast

lemma writes-accesses: writes C s  $\subseteq$  accesses C s
by (induct C arbitrary: s, auto)

lemma accesses-agrees: agrees (fvC C) s s'  $\implies$  accesses C s = accesses C s'
apply (induct C arbitrary: s s')
apply (simp-all add: exp-agrees agrees-def)
by blast

lemma writes-agrees: agrees (fvC C) s s'  $\implies$  writes C s = writes C s'
apply (induct C arbitrary: s s')
apply (simp-all add: exp-agrees agrees-def)
by blast

lemma aborts-agrees:
assumes aborts C  $\sigma$ 
and agrees (fvC C) (fst  $\sigma$ ) s
and snd  $\sigma$  = h
shows aborts C (s, h)
using assms
proof (induct arbitrary: s h rule: aborts.induct)
case (aborts-Atomic C  $\sigma$  C'  $\sigma'$ )
then obtain s' where red-rtrans C (s, h) C' (s', snd  $\sigma')$   $\wedge$  agrees (fvC C) (fst
 $\sigma')$  s'
by (metis dual-order.refl fvC.simps(11) red-agrees-aux(2))
moreover have agrees (fvC C') (fst  $\sigma')$  s'
using calculation red-properties(2)
by (meson agrees-def in-mono)
ultimately show ?case
using aborts-Atomic.hyps(3) by blast
next
case (aborts-Read E  $\sigma$  x)
then show ?case
using aborts.aborts-Read[of E  $\sigma$  x] exp-agrees[of E fst  $\sigma$  s] fst-conv fvC.simps(3)
snd-conv
by (simp add: aborts.aborts-Read agrees-def)
next
case (aborts-Write E  $\sigma$  E')
then show ?case
using aborts.aborts-Write[of E  $\sigma$  E'] exp-agrees[of - fst  $\sigma$  s] fst-conv fvC.simps(4)[of
E E'] snd-conv
by (simp add: aborts.aborts-Write agrees-def)
next
case (aborts-Free E  $\sigma$ )
then show ?case
using exp-agrees by auto

```

```

next
  case (aborts-Par1 C1  $\sigma$  C2)
  then have agrees (fvC C1) (fst  $\sigma$ ) s
    by (simp add: agrees-def)
  then show ?case using aborts.aborts-Par1
    by (simp add: aborts-Par1.hyps(2) aborts-Par1.premis(2))
next
  case (aborts-Par2 C2  $\sigma$  C1)
  then have agrees (fvC C2) (fst  $\sigma$ ) s
    by (simp add: agrees-def)
  then show ?case using aborts.aborts-Par2
    by (simp add: aborts-Par2.hyps(2) aborts-Par2.premis(2))
next
  case (aborts-Seq C1  $\sigma$  C2)
  then have agrees (fvC C1) (fst  $\sigma$ ) s
    by (simp add: agrees-def)
  then show ?case
    by (simp add: aborts.aborts-Seq aborts-Seq.hyps(2) aborts-Seq.premis(2))
next
  case (aborts-Race1 C1  $\sigma$  C2)
  then show ?case using accesses-agrees[of C1 fst  $\sigma$  s] writes-agrees[of C2 fst  $\sigma$  s]
aborts.aborts-Race1[of C1 (s, h) C2]
    by (simp add: agrees-union)
next
  case (aborts-Race2 C1  $\sigma$  C2)
  then show ?case using accesses-agrees[of C2 fst  $\sigma$  s] writes-agrees[of C1 fst  $\sigma$  s]
aborts.aborts-Race2[of C1 (s, h) C2]
    by (simp add: agrees-union)
qed

```

**corollary** *exp-agrees2[simp]*:  
 $x \notin \text{fv} E \implies \text{edenot } E (s(x := v)) = \text{edenot } E s$   
**by** (*rule exp-agrees, simp add: agrees-def*)

**lemma** *agrees-update*:  
**assumes**  $a \notin S$   
**shows** *agrees*  $S s (s(a := v))$   
**by** (*simp add: agrees-def assms*)

**lemma** *agrees-comm*:  
*agrees*  $S s s' \iff \text{agrees } S s' s$   
**by** (*metis agrees-def*)

**lemma** *not-in-dom*:  
**assumes**  $x \notin \text{dom } s$   
**shows**  $s x = \text{None}$   
**using** *assms* **by** *auto*



```

lemma agrees-minusD:
  agrees ( $\neg X$ )  $x\ y \implies X \cap Y = \{\}$   $\implies$  agrees  $Y\ x\ y$ 
  by (metis Int-Un-eq(2) agrees-union compl-le-swap1 inf.order-iff inf-shunt)

end

```

### 3 CommCSL

In this file, we define the assertion language and the rules of CommCSL.

```

theory CommCSL
  imports Lang StateModel
begin

definition no-guard :: ( $'i, 'a$ ) heap  $\Rightarrow$  bool where
  no-guard  $h \iff$  get-gs  $h = \text{None} \wedge (\forall k. \text{get-gu } h\ k = \text{None})$ 

typedef  $'a$  precondition = { pre :: ( $'a \Rightarrow 'a \Rightarrow \text{bool}$ ) | pre.  $\forall a\ b. \text{pre } a\ b \longrightarrow (\text{pre } b\ a \wedge \text{pre } a\ a)$  }
  using Sup2-E by auto

lemma charact-rep-prec:
  assumes Rep-precondition  $\text{pre } a\ b$ 
  shows Rep-precondition  $\text{pre } b\ a \wedge \text{Rep-precondition } \text{pre } a\ a$ 
  using Rep-precondition assms by fastforce

typedef ( $'i, 'a$ ) indexed-precondition = { pre :: ( $'i \Rightarrow 'a \Rightarrow 'a \Rightarrow \text{bool}$ ) | pre.  $\forall a\ b$ 
   $k. \text{pre } k\ a\ b \longrightarrow (\text{pre } k\ b\ a \wedge \text{pre } k\ a\ a)$  }
  using Sup2-E by auto

lemma charact-rep-indexed-prec:
  assumes Rep-indexed-precondition  $\text{pre } k\ a\ b$ 
  shows Rep-indexed-precondition  $\text{pre } k\ b\ a \wedge \text{Rep-indexed-precondition } \text{pre } k\ a\ a$ 
  by (metis (no-types, lifting) Abs-indexed-precondition-cases Rep-indexed-precondition-cases
  Rep-indexed-precondition-inverse assms mem-Collect-eq)

type-synonym  $'a$  list-exp = store  $\Rightarrow$   $'a$  list

```

#### 3.1 Assertion Language

```

datatype ( $'i, 'a, 'v$ ) assertion =
  Bool bexp
  | Emp
  | And ( $'i, 'a, 'v$ ) assertion ( $'i, 'a, 'v$ ) assertion
  | Star ( $'i, 'a, 'v$ ) assertion ( $'i, 'a, 'v$ ) assertion ( $\leftarrow * \rightarrow$  70)
  | Low bexp
  | LowExp exp

  | PointsTo exp prat exp

```

| *Exists var* ('i, 'a, 'v) *assertion*

| *EmptyFullGuards*

| *PreSharedGuards* 'a *precondition*

| *PreUniqueGuards* ('i, 'a) *indexed-precondition*

| *View normal-heap*  $\Rightarrow$  'v ('i, 'a, 'v) *assertion store*  $\Rightarrow$  'v

| *SharedGuard* *prat store*  $\Rightarrow$  'a *multiset*

| *UniqueGuard* 'i 'a *list-exp*

| *Imp bexp* ('i, 'a, 'v) *assertion*

| *NoGuard*

**inductive** *PRE-shared-simpler* :: ('a  $\Rightarrow$  'a  $\Rightarrow$  bool)  $\Rightarrow$  'a *multiset*  $\Rightarrow$  'a *multiset*  $\Rightarrow$  bool **where**

*Empty*: *PRE-shared-simpler spre* {#} {#}

| *Step*:  $\llbracket$  *PRE-shared-simpler spre* a b ; *spre* xa xb  $\rrbracket \Longrightarrow$  *PRE-shared-simpler spre* (a + {# xa #}) (b + {# xb #})

**definition** *PRE-unique* :: ('b  $\Rightarrow$  'b  $\Rightarrow$  bool)  $\Rightarrow$  'b *list*  $\Rightarrow$  'b *list*  $\Rightarrow$  bool **where**

*PRE-unique upre uargs uargs'*  $\longleftrightarrow$  length uargs = length uargs'  $\wedge$  ( $\forall i. i \geq 0 \wedge i < \text{length uargs}' \longrightarrow \text{upre (uargs ! i) (uargs' ! i)}$ )

The following function defines the validity of CommCSL assertions, which corresponds to Figure 7 from the paper.

**fun** *hyper-sat* :: (store  $\times$  ('i, 'a) heap)  $\Rightarrow$  (store  $\times$  ('i, 'a) heap)  $\Rightarrow$  ('i, 'a, nat) *assertion*  $\Rightarrow$  bool ( $\langle -, - \models \rightarrow$  [51, 65, 66] 50) **where**

  (s, -), (s', -)  $\models$  *Bool* b  $\longleftrightarrow$  bdenot b s  $\wedge$  bdenot b s'

| (-, h), (-, h')  $\models$  *Emp*  $\longleftrightarrow$  dom (get-fh h) = {}  $\wedge$  dom (get-fh h') = {}

|  $\sigma, \sigma' \models$  *And* A B  $\longleftrightarrow$   $\sigma, \sigma' \models$  A  $\wedge$   $\sigma, \sigma' \models$  B

| (s, h), (s', h')  $\models$  *Star* A B  $\longleftrightarrow$  ( $\exists h1 h2 h1' h2'. \text{Some } h = \text{Some } h1 \oplus \text{Some } h2 \wedge \text{Some } h' = \text{Some } h1' \oplus \text{Some } h2'$ )

$\wedge$  (s, h1), (s', h1')  $\models$  A  $\wedge$  (s, h2), (s', h2')  $\models$  B)

| (s, h), (s', h')  $\models$  *Low* e  $\longleftrightarrow$  bdenot e s = bdenot e s'

| (s, h), (s', h')  $\models$  *PointsTo* loc p x  $\longleftrightarrow$  get-fh h (edenot loc s) = Some (p, edenot x s)  $\wedge$  get-fh h' (edenot loc s') = Some (p, edenot x s')

$\wedge$  dom (get-fh h) = {edenot loc s}  $\wedge$  dom (get-fh h') = {edenot loc s'}

| (s, h), (s', h')  $\models$  *Exists* x A  $\longleftrightarrow$  ( $\exists v v'. (s(x := v), h), (s'(x := v'), h') \models A$ )

| (s, h), (s', h')  $\models$  *EmptyFullGuards*  $\longleftrightarrow$  (get-gs h = Some (pwrite, {#})  $\wedge$  ( $\forall k. \text{get-gu } h \ k = \text{Some } []$ )  $\wedge$  get-gs h' = Some (pwrite, {#})  $\wedge$  ( $\forall k. \text{get-gu } h' \ k = \text{Some } []$ ))

| (s, h), (s', h')  $\models$  *PreSharedGuards spre*  $\longleftrightarrow$

$(\exists \text{sargs } \text{sargs}' . \text{get-gs } h = \text{Some } (\text{pwrite}, \text{sargs}) \wedge \text{get-gs } h' = \text{Some } (\text{pwrite}, \text{sargs}') \wedge \text{PRE-shared-simpler } (\text{Rep-precondition } \text{spre}) \text{sargs } \text{sargs}'$   
 $\wedge \text{get-fh } h = \text{Map.empty} \wedge \text{get-fh } h' = \text{Map.empty})$   
 $| (s, h), (s', h') \models \text{PreUniqueGuards } \text{upre} \longleftrightarrow$   
 $(\exists \text{uargs } \text{uargs}' . (\forall k . \text{get-gu } h k = \text{Some } (\text{uargs } k)) \wedge (\forall k . \text{get-gu } h' k = \text{Some } (\text{uargs}' k)) \wedge (\forall k . \text{PRE-unique } (\text{Rep-indexed-precondition } \text{upre } k) (\text{uargs } k) (\text{uargs}' k)) \wedge \text{get-fh } h = \text{Map.empty} \wedge \text{get-fh } h' = \text{Map.empty})$

$| (s, h), (s', h') \models \text{View } f J e \longleftrightarrow ((s, h), (s', h') \models J \wedge f (\text{normalize } (\text{get-fh } h)) = e s \wedge f (\text{normalize } (\text{get-fh } h')) = e s')$   
 $| (s, h), (s', h') \models \text{SharedGuard } \pi ms \longleftrightarrow ((\forall k . \text{get-gu } h k = \text{None} \wedge \text{get-gu } h' k = \text{None}) \wedge \text{get-gs } h = \text{Some } (\pi, ms s) \wedge \text{get-gs } h' = \text{Some } (\pi, ms s'))$   
 $\wedge \text{get-fh } h = \text{Map.empty} \wedge \text{get-fh } h' = \text{Map.empty})$

$| (s, h), (s', h') \models \text{UniqueGuard } k \text{ lexp} \longleftrightarrow (\text{get-gs } h = \text{None} \wedge \text{get-gu } h k = \text{Some } (\text{lexp } s) \wedge \text{get-gu } h' k = \text{Some } (\text{lexp } s') \wedge \text{get-gs } h' = \text{None} \wedge \text{get-fh } h = \text{Map.empty} \wedge \text{get-fh } h' = \text{Map.empty} \wedge (\forall k' . k' \neq k \longrightarrow \text{get-gu } h k' = \text{None} \wedge \text{get-gu } h' k' = \text{None}))$

$| (s, h), (s', h') \models \text{LowExp } e \longleftrightarrow \text{edenot } e s = \text{edenot } e s'$

$| (s, h), (s', h') \models \text{Imp } b A \longleftrightarrow \text{bdenot } b s = \text{bdenot } b s' \wedge (\text{bdenot } b s \longrightarrow (s, h), (s', h') \models A)$

$| (s, h), (s', h') \models \text{NoGuard} \longleftrightarrow (\text{get-gs } h = \text{None} \wedge (\forall k . \text{get-gu } h k = \text{None}) \wedge \text{get-gs } h' = \text{None} \wedge (\forall k . \text{get-gu } h' k = \text{None}))$

**lemma** *sat-PreUniqueE*:

**assumes**  $(s, h), (s', h') \models \text{PreUniqueGuards } \text{upre}$   
**shows**  $\exists \text{uargs } \text{uargs}' . (\forall k . \text{get-gu } h k = \text{Some } (\text{uargs } k)) \wedge (\forall k . \text{get-gu } h' k = \text{Some } (\text{uargs}' k)) \wedge (\forall k . \text{PRE-unique } (\text{Rep-indexed-precondition } \text{upre } k) (\text{uargs } k) (\text{uargs}' k))$   
**using** *assms by auto*

**lemma** *decompose-heap-triple*:

$h = (\text{get-fh } h, \text{get-gs } h, \text{get-gu } h)$   
**by** *simp*

**definition** *depends-only-on* ::  $(\text{store} \Rightarrow 'v) \Rightarrow \text{var set} \Rightarrow \text{bool}$  **where**  
 $\text{depends-only-on } e S \longleftrightarrow (\forall s s' . \text{agrees } S s s' \longrightarrow e s = e s')$

**lemma** *depends-only-onI*:

**assumes**  $\bigwedge s s' :: \text{store} . \text{agrees } S s s' \Longrightarrow e s = e s'$   
**shows** *depends-only-on*  $e S$   
**using** *assms depends-only-on-def by blast*

**definition** *fvS* ::  $(\text{store} \Rightarrow 'v) \Rightarrow \text{var set}$  **where**

$fvS\ e = (SOME\ S.\ depends\ only\ on\ e\ S)$

**lemma**  $fvSE$ :

**assumes**  $agrees\ (fvS\ e)\ s\ s'$

**shows**  $e\ s = e\ s'$

**proof** –

**have**  $depends\ only\ on\ e\ UNIV$

**proof** ( $rule\ depends\ only\ onI$ )

**fix**  $s\ s' :: store$  **assume**  $agrees\ UNIV\ s\ s'$

**have**  $s = s'$

**proof** ( $rule\ ext$ )

**fix**  $x :: var$  **have**  $x \in UNIV$

**by**  $auto$

**then show**  $s\ x = s'\ x$

**by** ( $meson\ \langle agrees\ UNIV\ s\ s' \rangle\ agrees\ def$ )

**qed**

**then show**  $e\ s = e\ s'$  **by**  $simp$

**qed**

**then show**  $?thesis$

**by** ( $metis\ assms\ depends\ only\ on\ def\ fvS\ def\ someI\ ex$ )

**qed**

**fun**  $fvA :: ('i, 'a, 'v)\ assertion \Rightarrow var\ set$  **where**

$fvA\ (Bool\ b) = fvB\ b$

|  $fvA\ (And\ A\ B) = fvA\ A \cup fvA\ B$

|  $fvA\ (Star\ A\ B) = fvA\ A \cup fvA\ B$

|  $fvA\ (Low\ e) = fvB\ e$

|  $fvA\ Emp = \{\}$

|  $fvA\ (PointsTo\ v\ va\ vb) = fvE\ v \cup fvE\ vb$

|  $fvA\ (Exists\ x\ A) = fvA\ A - \{x\}$

|  $fvA\ (SharedGuard\ -\ e) = fvS\ e$

|  $fvA\ (UniqueGuard\ -\ e) = fvS\ e$

|  $fvA\ (View\ view\ A\ e) = fvA\ A \cup fvS\ e$

|  $fvA\ (PreSharedGuards\ -) = \{\}$

|  $fvA\ (PreUniqueGuards\ -) = \{\}$

|  $fvA\ EmptyFullGuards = \{\}$

|  $fvA\ (LowExp\ x) = fvE\ x$

|  $fvA\ (Imp\ b\ A) = fvB\ b \cup fvA\ A$

**definition**  $subS :: var \Rightarrow exp \Rightarrow (store \Rightarrow 'v) \Rightarrow (store \Rightarrow 'v)$  **where**

$subS\ x\ E\ e = (\lambda s.\ e\ (s(x := edenot\ E\ s)))$

**lemma**  $subS\ assign$ :

$subS\ x\ E\ e\ s \longleftrightarrow e\ (s(x := edenot\ E\ s))$

**by** ( $simp\ add:\ subS\ def$ )

**fun**  $collect\ existentials :: ('i, 'a, nat)\ assertion \Rightarrow var\ set$  **where**

$collect\ existentials\ (And\ A\ B) = collect\ existentials\ A \cup collect\ existentials\ B$

```

| collect-existentials (Star A B) = collect-existentials A  $\cup$  collect-existentials B
| collect-existentials (Exists x A) = collect-existentials A  $\cup$  {x}
| collect-existentials (View view A e) = collect-existentials A
| collect-existentials (Imp - A) = collect-existentials A
| collect-existentials - = {}

```

```

fun subA :: var  $\Rightarrow$  exp  $\Rightarrow$  ('i, 'a, nat) assertion  $\Rightarrow$  ('i, 'a, nat) assertion where
  subA x E (And A B) = And (subA x E A) (subA x E B)
| subA x E (Star A B) = Star (subA x E A) (subA x E B)
| subA x E (Bool B) = Bool (subB x E B)
| subA x E (Low e) = Low (subB x E e)
| subA x E (LowExp e) = LowExp (subE x E e)
| subA x E (UniqueGuard k e) = UniqueGuard k (subS x E e)
| subA x E (SharedGuard  $\pi$  e) = SharedGuard  $\pi$  (subS x E e)
| subA x E (View view A e) = View view (subA x E A) (subS x E e)
| subA x E (PointsTo loc  $\pi$  e) = PointsTo (subE x E loc)  $\pi$  (subE x E e)
| subA x E (Exists y A) = (if x = y then Exists y A else Exists y (subA x E A))
| subA x E (Imp b A) = Imp (subB x E b) (subA x E A)
| subA - - A = A

```

**lemma** subA-assign:

```

  assumes collect-existentials A  $\cap$  fvE E = {}
  shows (s, h), (s', h')  $\models$  subA x E A  $\longleftrightarrow$  (s(x := edenot E s), h), (s'(x := edenot
E s'), h')  $\models$  A
  using assms
proof (induct A arbitrary: s h s' h')
  case (And A1 A2)
  then show ?case
    by (simp add: disjoint-iff-not-equal)
next
  case (Star A1 A2)
  then show ?case
    by (simp add: disjoint-iff-not-equal)
next
  case (Bool x)
  then show ?case
    by (metis hyper-sat.simps(1) subA.simps(3) subB-assign)
next
  case (Low x2)
  then show ?case
    by (metis (no-types, lifting) hyper-sat.simps(5) subA.simps(4) subB-assign)
next
  case (LowExp x2)
  then show ?case
    by (metis (no-types, lifting) hyper-sat.simps(14) subA.simps(5) subE-assign)
next
  case (PointsTo x1a x2 x3)
  then show ?case
    by (metis (no-types, lifting) hyper-sat.simps(6) subA.simps(9) subE-assign)

```

```

next
  case (Exists y A)
  then have asm0: collect-existentials A ∩ fvE E = {}
    by auto
  show ?case (is ?A ↔ ?B)
  proof
    show ?A ⇒ ?B
    proof -
      assume ?A
      show ?B
      proof (cases x = y)
        case True
          then show ?thesis by (metis (no-types, opaque-lifting) ‹?A› fun-upd-upd
hyper-sat.simps(7) subA.simps(10))
        case False
          then obtain v v' where (s(y := v), h), (s'(y := v'), h') ⊨ subA x E A
            using ‹(s, h), (s', h') ⊨ subA x E (Exists y A)› by auto
          then have ((s(y := v))(x := edenot E (s(y := v))), h), ((s'(y := v'))(x :=
edenot E (s'(y := v'))), h') ⊨ A
            using Exists asm0 by blast
          moreover have y ∉ fvE E
            using Exists.prem by force
          then have edenot E (s(y := v)) = edenot E s ∧ edenot E (s'(y := v')) =
edenot E s'
            by (metis agrees-update exp-agrees)
          moreover have (s(y := v))(x := edenot E s) = (s(x := edenot E s))(y :=
v)
            ∧ (s'(y := v'))(x := edenot E s') = (s'(x := edenot E s'))(y := v')
            by (simp add: False fun-upd-twist)
          ultimately show ?thesis using False hyper-sat.simps(7)
            by metis
        qed
      qed
    assume ?B
    show ?A
    proof (cases x = y)
      case True
        then show ?thesis
          using ‹(s(x := edenot E s), h), (s'(x := edenot E s'), h') ⊨ Exists y A› by
fastforce
      case False
        then obtain v v' where ((s(x := edenot E s))(y := v), h), ((s'(x := edenot
E s'))(y := v'), h') ⊨ A
          using ‹(s(x := edenot E s), h), (s'(x := edenot E s'), h') ⊨ Exists y A›
hyper-sat.simps(7) by blast
        moreover have (s(y := v))(x := edenot E s) = (s(x := edenot E s))(y := v)
          ∧ (s'(y := v'))(x := edenot E s') = (s'(x := edenot E s'))(y := v')

```

```

    by (simp add: False fun-upd-twist)
  then have ((s(y := v))(x := edenot E (s(y := v))), h), ((s'(y := v')(x :=
edenot E (s'(y := v'))), h') ⊨ A
    using Exists.premis calculation by auto
  then show ?thesis
    by (metis Exists.hyps False asm0 hyper-sat.simps(7) subA.simps(10))
qed
qed
next
  case (View x1a A x3)
  then show ?case
    by (metis (mono-tags, lifting) collect-existentials.simps(4) hyper-sat.simps(11)
subA.simps(8) subS-def)
next
  case (SharedGuard x1a x2)
  then show ?case
    by (metis (mono-tags, lifting) hyper-sat.simps(12) subA.simps(7) subS-def)
next
  case (UniqueGuard x)
  then show ?case
    by (metis (mono-tags, lifting) hyper-sat.simps(13) subA.simps(6) subS-def)
next
  case (Imp b A)
  then show ?case
    by (metis collect-existentials.simps(5) hyper-sat.simps(15) subA.simps(11)
subB-assign)
qed (auto)

```

**lemma** *PRE-uniqueI*:

```

  assumes length uargs = length uargs'
    and  $\bigwedge i. i \geq 0 \wedge i < \text{length } uargs' \implies \text{upre } (uargs ! i) (uargs' ! i)$ 
  shows PRE-unique upre uargs uargs'
  using assms PRE-unique-def by blast

```

**lemma** *PRE-unique-implies-tl*:

```

  assumes PRE-unique upre (ta # qa) (tb # qb)
  shows PRE-unique upre qa qb
proof (rule PRE-uniqueI)
  show length qa = length qb
    by (metis PRE-unique-def assms diff-Suc-1 length-Cons)
  fix i assume  $0 \leq i \wedge i < \text{length } qb$ 
  then have upre ((ta # qa) ! (i + 1)) ((tb # qb) ! (i + 1))
    using assms PRE-unique-def [of upre ⟨ta # qa⟩ ⟨tb # qb⟩]
    by (auto simp add: less-Suc-eq-le dest: spec [of - ⟨Suc i⟩])
  then show upre (qa ! i) (qb ! i)
    by simp
qed

```

**lemma** *charact-PRE-unique*:  
**assumes** *PRE-unique (Rep-indexed-precondition pre k) a b*  
**shows** *PRE-unique (Rep-indexed-precondition pre k) b a*  $\wedge$  *PRE-unique (Rep-indexed-precondition pre k) a a*  
**using** *assms*  
**proof** (*induct length a arbitrary: a b*)  
**case** *0*  
**then show** *?case*  
**by** (*simp add: PRE-unique-def*)  
**next**  
**case** (*Suc n*)  
**then obtain** *ha ta hb tb* **where** *a = ha # ta b = hb # tb*  
**by** (*metis One-nat-def PRE-unique-def Suc-le-length-iff le-add1 plus-1-eq-Suc*)  
**then have** *n = length ta*  
**using** *Suc.hyps(2)* **by** *auto*  
**then have** *PRE-unique (Rep-indexed-precondition pre k) tb ta*  $\wedge$  *PRE-unique (Rep-indexed-precondition pre k) ta ta*  
**by** (*metis PRE-unique-implies-tl Suc.hyps(1) Suc.premis*  $\langle a = ha \# ta \rangle$   $\langle b = hb \# tb \rangle$ )  
**then show** *?case*  
**by** (*metis PRE-unique-def Suc.premis charact-rep-indexed-prec*)  
**qed**

**lemma** *charact-PRE-shared-simpler*:  
**assumes** *PRE-shared-simpler rpre a b*  
**and** *Rep-precondition pre = rpre*  
**shows** *PRE-shared-simpler (Rep-precondition pre) b a*  $\wedge$  *PRE-shared-simpler (Rep-precondition pre) a a*  
**using** *assms*  
**proof** (*induct arbitrary: pre rule: PRE-shared-simpler.induct*)  
**case** (*Empty spre*)  
**then show** *?case*  
**by** (*simp add: PRE-shared-simpler.Empty*)  
**next**  
**case** (*Step spre a b xa xb*)  
**then have** *spre xb xa*  $\wedge$  *spre xa xa* **using** *charact-rep-prec* **by** *metis*  
**then show** *?case*  
**by** (*metis PRE-shared-simpler.Step Step.hyps(2) Step.premis*)  
**qed**

**lemma** *always-sat-refl-aux*:  
**assumes**  $(s, h), (s', h') \models A$   
**shows**  $(s, h), (s, h) \models A$   
**using** *assms*  
**proof** (*induct A arbitrary: s h s' h'*)  
**case** (*Star A B*)  
**then obtain** *ha hb ha' hb'* **where** *Some h = Some ha  $\oplus$  Some hb* *Some h' = Some ha'  $\oplus$  Some hb'*



```

    (s, ha), (s', ha') ⊨ A (s, hb), (s', hb') ⊨ B
  by auto
then have (s, ha), (s, ha) ⊨ A ∧ (s, hb), (s, hb) ⊨ B
  using Star.hyps(1) Star.hyps(2) by blast
then show ?case
  using ⟨Some h = Some ha ⊕ Some hb⟩ hyper-sat.simps(4) by blast
next
case (Exists x A)
then show ?case
  by (meson hyper-sat.simps(7))
next
case (PreSharedGuards x)
then show ?case
  using charact-PRE-shared-simpler by force
next
case (PreUniqueGuards upre)
then obtain uargs uargs' where (∀ k. get-gu h k = Some (uargs k)) ∧
  (∀ k. get-gu h' k = Some (uargs' k)) ∧ (∀ k. PRE-unique (Rep-indexed-precondition
  upre k) (uargs k) (uargs' k)) ∧ get-fh h = Map.empty ∧ get-fh h' = Map.empty
  using hyper-sat.simps(10)[of s h s' h' upre] by blast
then show (s, h), (s, h) ⊨ PreUniqueGuards upre
  using charact-PRE-unique hyper-sat.simps(10)[of s h s h upre]
  by metis
qed (auto)

```

**lemma** *always-sat-refl*:

**assumes**  $\sigma, \sigma' \models A$

**shows**  $\sigma, \sigma \models A$

**by** (*metis always-sat-refl-aux assms prod.exhaust-sel*)

**lemma** *agrees-same-aux*:

**assumes** *agrees* (*fvA A*)  $s s''$

**and**  $(s, h), (s', h') \models A$

**shows**  $(s'', h), (s', h') \models A$

**using** *assms*

**proof** (*induct A arbitrary: s s' s'' h h'*)

**case** (*Bool b*)

**then show** ?case **by** (*simp add: bexp-agrees*)

**next**

**case** (*And A1 A2*)

**then show** ?case **using** *fvA.simps(2) hyper-sat.simps(3)*

**by** (*metis (mono-tags, lifting) UnCI agrees-def*)

**next**

**case** (*Star A B*)

**then obtain**  $ha hb ha' hb'$  **where**  $Some h = Some ha \oplus Some hb$   $Some h' = Some ha' \oplus Some hb'$

$(s, ha), (s', ha') \models A$   $(s, hb), (s', hb') \models B$

**by** *auto*

**then have**  $(s'', ha), (s', ha') \models A \wedge (s'', hb), (s', hb') \models B$

```

    using Star.hyps[of  $s\ s'' - s' -$ ] Star.prems(1)
    by (simp add: agrees-def)
  then show ?case
    using  $\langle \text{Some } h = \text{Some } ha \oplus \text{Some } hb \rangle \langle \text{Some } h' = \text{Some } ha' \oplus \text{Some } hb' \rangle$ 
hyper-sat.simps(4) by blast
next
  case (Low e)
  then have  $\text{bdenot } e\ s = \text{bdenot } e\ s''$ 
    by (metis bexp-agrees fvA.simps(4))
  then show ?case using Low by simp
next
  case (LowExp e)
  then have  $\text{edenot } e\ s = \text{edenot } e\ s''$ 
    by (metis exp-agrees fvA.simps(14))
  then show ?case using LowExp by simp
next
  case (PointsTo l  $\pi$  v)
  then have  $\text{edenot } l\ s = \text{edenot } l\ s'' \wedge \text{edenot } v\ s = \text{edenot } v\ s''$ 
    by (simp add: agrees-def exp-agrees)
  then show ?case using PointsTo by auto
next
  case (Exists x A)
  then obtain  $v\ v'$  where  $(s(x := v), h), (s'(x := v'), h') \models A$ 
    by auto
  moreover have  $\text{agrees } (fvA\ A)\ (s(x := v))\ (s''(x := v))$ 
  proof (rule agreesI)
    fix  $y$  show  $y \in fvA\ A \implies (s(x := v))\ y = (s''(x := v))\ y$ 
      apply (cases y = x)
      apply simp
      using Diff-iff[of  $y\ fvA\ A\ \{x\}$ ] Exists.prems(1) agrees-def empty-iff fun-upd-apply[of
 $s\ x\ v$ ] fun-upd-apply[of  $s''\ x\ v$ ] fvA.simps(7) insert-iff
      by metis
  qed
  ultimately have  $(s''(x := v), h), (s'(x := v'), h') \models A$ 
    using Exists.hyps by blast
  then show ?case by auto
next
  case (View x1a A e)
  then have  $(s'', h), (s', h') \models A \wedge e\ s = e\ s''$ 
    using fvA.simps(10) fvSE hyper-sat.simps(11) agrees-union
    by metis
  then show ?case
    using View.prems(2) by auto
next
  case (SharedGuard x1a x2)
  then show ?case using fvSE by auto
next
  case (UniqueGuard x)
  then show ?case using fvSE by auto

```

```

next
  case (Imp b A)
  then show ?case
    by (metis agrees-union bexp-agrees fvA.simps(15) hyper-sat.simps(15))
qed (auto)

lemma agrees-same:
  assumes agrees (fvA A) s s''
  shows  $(s, h), (s', h') \models A \longleftrightarrow (s'', h), (s', h') \models A$ 
  by (metis (mono-tags, lifting) agrees-def agrees-same-aux assms)

lemma sat-comm-aux:
   $(s, h), (s', h') \models A \implies (s', h'), (s, h) \models A$ 
proof (induct A arbitrary: s h s' h')
  case (Star A B)
  then obtain ha hb ha' hb' where  $\text{Some } h = \text{Some } ha \oplus \text{Some } hb$   $\text{Some } h' = \text{Some } ha' \oplus \text{Some } hb'$ 
   $(s, ha), (s', ha') \models A$   $(s, hb), (s', hb') \models B$ 
  by auto
  then have  $(s', ha'), (s, ha) \models A \wedge (s', hb'), (s, hb) \models B$ 
  using Star.hyps(1) Star.hyps(2) by presburger
  then show ?case
    using  $\langle \text{Some } h = \text{Some } ha \oplus \text{Some } hb \rangle \langle \text{Some } h' = \text{Some } ha' \oplus \text{Some } hb' \rangle$ 
hyper-sat.simps(4) by blast
next
  case (Exists x A)
  then obtain v v' where  $(s(x := v), h), (s'(x := v'), h') \models A$ 
  by auto
  then have  $(s'(x := v'), h'), (s(x := v), h) \models A$ 
  using Exists.hyps by blast
  then show ?case by auto
next
  case (PreSharedGuards x)
  then show ?case
    by (meson charact-PRE-shared-simpler hyper-sat.simps(9))
next
  case (PreUniqueGuards upre)
  then obtain uargs uargs' where  $(\forall k. \text{get-gu } h \ k = \text{Some } (uargs \ k)) \wedge$ 
 $(\forall k. \text{get-gu } h' \ k = \text{Some } (uargs' \ k)) \wedge (\forall k. \text{PRE-unique } (\text{Rep-indexed-precondition}$ 
upre k) (uargs k) (uargs' k)) \wedge \text{get-fh } h = \text{Map.empty} \wedge \text{get-fh } h' = \text{Map.empty}
  using hyper-sat.simps(10)[of s h s' h' upre] by blast
  then show  $(s', h'), (s, h) \models \text{PreUniqueGuards } upre$ 
  using charact-PRE-unique hyper-sat.simps(10)[of s' h' s h upre]
  by metis
qed (auto)

lemma sat-comm:
   $\sigma, \sigma' \models A \longleftrightarrow \sigma', \sigma \models A$ 
  using sat-comm-aux surj-pair by metis

```

**definition** *precise where*

$precise\ J \longleftrightarrow (\forall s1\ H1\ h1\ h1'\ s2\ H2\ h2\ h2'.\ H1 \succeq h1 \wedge H1 \succeq h1' \wedge H2 \succeq h2 \wedge H2 \succeq h2' \wedge (s1, h1), (s2, h2) \models J \wedge (s1, h1'), (s2, h2') \models J \longrightarrow h1' = h1 \wedge h2' = h2)$

**lemma** *preciseI:*

**assumes**  $\bigwedge s1\ H1\ h1\ h1'\ s2\ H2\ h2\ h2'.\ H1 \succeq h1 \wedge H1 \succeq h1' \wedge H2 \succeq h2 \wedge H2 \succeq h2' \implies$

$(s1, h1), (s2, h2) \models J \implies (s1, h1'), (s2, h2') \models J \implies h1' = h1 \wedge h2' = h2$

**shows** *precise J*

**using** *assms precise-def by blast*

**lemma** *preciseE:*

**assumes** *precise J*

**and**  $H1 \succeq h1 \wedge H1 \succeq h1' \wedge H2 \succeq h2 \wedge H2 \succeq h2'$

**and**  $(s1, h1), (s2, h2) \models J \wedge (s1, h1'), (s2, h2') \models J$

**shows**  $h1' = h1 \wedge h2' = h2$

**using** *assms(1) assms(2) assms(3) precise-def by blast*

**definition** *unary where*

$unary\ J \longleftrightarrow (\forall s\ h\ s'\ h'.\ (s, h), (s, h) \models J \wedge (s', h'), (s', h') \models J \longrightarrow (s, h), (s', h') \models J)$

**lemma** *unaryI:*

**assumes**  $\bigwedge s1\ h1\ s2\ h2.\ (s1, h1), (s1, h1) \models J \wedge (s2, h2), (s2, h2) \models J \implies$

$(s1, h1), (s2, h2) \models J$

**shows** *unary J*

**using** *assms unary-def by blast*

**lemma** *unary-smallerI:*

**assumes**  $\bigwedge \sigma1\ \sigma2.\ \sigma1, \sigma1 \models J \wedge \sigma2, \sigma2 \models J \implies \sigma1, \sigma2 \models J$

**shows** *unary J*

**using** *assms unary-def by blast*

**lemma** *unaryE:*

**assumes** *unary J*

**and**  $(s, h), (s, h) \models J \wedge (s', h'), (s', h') \models J$

**shows**  $(s, h), (s', h') \models J$

**using** *assms(1) assms(2) unary-def by blast*

**definition** *entails :: ('i, 'a, nat) assertion  $\Rightarrow$  ('i, 'a, nat) assertion  $\Rightarrow$  bool where*

*entails A B  $\longleftrightarrow (\forall \sigma\ \sigma'.\ \sigma, \sigma' \models A \longrightarrow \sigma, \sigma' \models B)$*

**lemma** *entailsI:*

**assumes**  $\bigwedge x y. x, y \models A \implies x, y \models B$   
**shows** *entails*  $A B$   
**using** *assms entails-def by blast*

**lemma** *sat-points-to*:

**assumes**  $(s, h :: ('i, 'a) \text{ heap}), (s, h) \models \text{PointsTo } a \ \pi \ e$   
**shows** *get-fh*  $h = [\text{edenot } a \ s \mapsto (\pi, \text{edenot } e \ s)]$

**proof** –

**have** *get-fh*  $h (\text{edenot } a \ s) = \text{Some } (\pi, \text{edenot } e \ s) \wedge \text{dom } (\text{get-fh } h) = \{\text{edenot } a \ s\}$

**using** *assms by auto*

**then show** *?thesis*

**by** *fastforce*

**qed**

**lemma** *unary-inv-then-view*:

**assumes** *unary*  $J$

**shows** *unary*  $(\text{View } f \ J \ e)$

**proof** (*rule unaryI*)

**fix**  $s \ h \ s' \ h'$

**assume** *asm0*:  $(s, h), (s, h) \models \text{View } f \ J \ e \wedge (s', h'), (s', h') \models \text{View } f \ J \ e$

**then show**  $(s, h), (s', h') \models \text{View } f \ J \ e$

**by** (*meson assms hyper-sat.simps(11) unaryE*)

**qed**

**lemma** *precise-inv-then-view*:

**assumes** *precise*  $J$

**shows** *precise*  $(\text{View } f \ J \ e)$

**proof** (*rule preciseI*)

**fix**  $s1 \ H1 \ h1 \ h1' \ s2 \ H2 \ h2 \ h2'$

**assume** *asm0*:  $H1 \succeq h1 \wedge H1 \succeq h1' \wedge H2 \succeq h2 \wedge H2 \succeq h2' \ (s1, h1), (s2, h2) \models \text{View } f \ J \ e$

$(s1, h1'), (s2, h2') \models \text{View } f \ J \ e$

**then show**  $h1' = h1 \wedge h2' = h2$

**by** (*meson assms hyper-sat.simps(11) preciseE*)

**qed**

**fun** *syntactic-unary* ::  $('i, 'a, \text{nat}) \text{ assertion} \implies \text{bool}$  **where**

*syntactic-unary*  $(\text{Bool } b) \longleftrightarrow \text{True}$

| *syntactic-unary*  $(\text{And } A \ B) \longleftrightarrow \text{syntactic-unary } A \wedge \text{syntactic-unary } B$

| *syntactic-unary*  $(\text{Star } A \ B) \longleftrightarrow \text{syntactic-unary } A \wedge \text{syntactic-unary } B$

| *syntactic-unary*  $(\text{Low } e) \longleftrightarrow \text{False}$

| *syntactic-unary*  $\text{Emp} \longleftrightarrow \text{True}$

| *syntactic-unary*  $(\text{PointsTo } v \ va \ vb) \longleftrightarrow \text{True}$

| *syntactic-unary*  $(\text{Exists } x \ A) \longleftrightarrow \text{syntactic-unary } A$

| *syntactic-unary*  $(\text{SharedGuard } - \ e) \longleftrightarrow \text{True}$

| *syntactic-unary*  $(\text{UniqueGuard } - \ e) \longleftrightarrow \text{True}$

```

| syntactic-unary (View view A e)  $\longleftrightarrow$  syntactic-unary A
| syntactic-unary (PreSharedGuards -)  $\longleftrightarrow$  False
| syntactic-unary (PreUniqueGuards -)  $\longleftrightarrow$  False
| syntactic-unary EmptyFullGuards  $\longleftrightarrow$  True
| syntactic-unary (LowExp x)  $\longleftrightarrow$  False
| syntactic-unary (Imp b A)  $\longleftrightarrow$  False

```

**lemma** *syntactic-unary-implies-unary*:

```

assumes syntactic-unary A
shows unary A
using assms
proof (induct A)
  case (And A1 A2)
    show ?case
    proof (rule unary-smallerI)
      fix  $\sigma 1$   $\sigma 2$ 
      assume  $\sigma 1, \sigma 1 \models \text{And } A1 \ A2 \wedge \sigma 2, \sigma 2 \models \text{And } A1 \ A2$ 
      then have  $\sigma 1, \sigma 2 \models A1 \wedge \sigma 1, \sigma 2 \models A2$ 
      using And unary-def
      by (metis hyper-sat.simps(3) prod.exhaust-sel syntactic-unary.simps(2))
      then show  $\sigma 1, \sigma 2 \models \text{And } A1 \ A2$ 
      using hyper-sat.simps(3) by blast
    qed
  next
    case (Star A B)
      then have unary A  $\wedge$  unary B by simp
      show ?case
      proof (rule unaryI)
        fix  $s1$   $h1$   $s2$   $h2$ 
        assume asm0:  $(s1, h1), (s1, h1) \models \text{Star } A \ B \wedge (s2, h2), (s2, h2) \models \text{Star } A$ 
        B
        then obtain  $a1$   $b1$   $a2$   $b2$  where Some  $h1 = \text{Some } a1 \oplus \text{Some } b1$   $(s1, a1),$ 
 $(s1, a1) \models A$   $(s1, b1), (s1, b1) \models B$ 
        Some  $h2 = \text{Some } a2 \oplus \text{Some } b2$   $(s2, a2), (s2, a2) \models A$   $(s2, b2), (s2, b2) \models$ 
        B
        by (meson always-sat-refl hyper-sat.simps(4))
        then have  $(s1, a1), (s2, a2) \models A \wedge (s1, b1), (s2, b2) \models B$ 
        using  $\langle \text{unary } A \wedge \text{unary } B \rangle$  unaryE by blast
        then show  $(s1, h1), (s2, h2) \models \text{Star } A \ B$ 
        using  $\langle \text{Some } h1 = \text{Some } a1 \oplus \text{Some } b1 \rangle \langle \text{Some } h2 = \text{Some } a2 \oplus \text{Some } b2 \rangle$ 
        hyper-sat.simps(4) by blast
      qed
    next
      case (Exists x A)
        then have unary A by simp
        show ?case
        proof (rule unaryI)
          fix  $s1$   $h1$   $s2$   $h2$ 
          assume  $(s1, h1), (s1, h1) \models \text{Exists } x \ A \wedge (s2, h2), (s2, h2) \models \text{Exists } x \ A$ 

```

```

then obtain  $v1\ v2$  where  $(s1(x := v1), h1), (s1(x := v1), h1) \models A \wedge (s2(x := v2), h2), (s2(x := v2), h2) \models A$ 
by  $(meson\ always\text{-}sat\text{-}refl\ hyper\text{-}sat.\text{simps}(7))$ 
then have  $(s1(x := v1), h1), (s2(x := v2), h2) \models A$ 
using  $\langle unary\ A \rangle unary\text{-}def$  by  $blast$ 
then show  $(s1, h1), (s2, h2) \models \text{Exists } x\ A$  by  $auto$ 
qed
next
case  $(View\ view\ A\ x)$ 
then have  $unary\ A$  by  $simp$ 
show  $?case$ 
proof  $(rule\ unaryI)$ 
fix  $s1\ h1\ s2\ h2$ 
assume  $asm0: (s1, h1), (s1, h1) \models View\ view\ A\ x \wedge (s2, h2), (s2, h2) \models View\ view\ A\ x$ 
then have  $(s1, h1), (s2, h2) \models A$ 
by  $(meson\ \langle unary\ A \rangle hyper\text{-}sat.\text{simps}(11)\ unaryE)$ 
then show  $(s1, h1), (s2, h2) \models View\ view\ A\ x$ 
using  $asm0$  by  $fastforce$ 
qed
qed  $(auto\ simp\ add: unary\text{-}def)$ 

```

The following record defines resource contexts (Section 3.5).

```

record  $(i, a, v)$   $single\text{-}context =$ 
   $view :: (loc \rightarrow val) \Rightarrow v$ 
   $abstract\text{-}view :: v \Rightarrow v$ 
   $saction :: v \Rightarrow a \Rightarrow v$ 
   $uaction :: i \Rightarrow v \Rightarrow a \Rightarrow v$ 
   $invariant :: (i, a, v)$   $assertion$ 

```

```

type-synonym  $(i, a, v)$   $cont = (i, a, v)$   $single\text{-}context\ option$ 

```

**definition**  $no\text{-}guard\text{-}assertion$  **where**

```

 $no\text{-}guard\text{-}assertion\ A \iff (\forall s1\ h1\ s2\ h2. (s1, h1), (s2, h2) \models A \longrightarrow no\text{-}guard\ h1 \wedge no\text{-}guard\ h2)$ 

```

Axiom that says that view only depends on the part of the heap described by the invariant  $inv$ .

**definition**  $view\text{-}function\text{-}of\text{-}inv :: (i, a, nat)$   $single\text{-}context \Rightarrow bool$  **where**

```

 $view\text{-}function\text{-}of\text{-}inv\ \Gamma \iff (\forall (h :: (i, a)\ heap) (h' :: (i, a)\ heap)\ s. (s, h), (s, h) \models invariant\ \Gamma \wedge (h' \succeq h) \longrightarrow view\ \Gamma (normalize\ (get\text{-}fh\ h)) = view\ \Gamma (normalize\ (get\text{-}fh\ h')))$ 

```

**definition**  $wf\text{-}indexed\text{-}precondition :: (i \Rightarrow a \Rightarrow a \Rightarrow bool) \Rightarrow bool$  **where**

```

 $wf\text{-}indexed\text{-}precondition\ pre \iff (\forall a\ b\ k. pre\ k\ a\ b \longrightarrow (pre\ k\ b\ a \wedge pre\ k\ a\ a))$ 

```

**definition**  $wf\text{-}precondition :: (a \Rightarrow a \Rightarrow bool) \Rightarrow bool$  **where**

```

 $wf\text{-}precondition\ pre \iff (\forall a\ b. pre\ a\ b \longrightarrow (pre\ b\ a \wedge pre\ a\ a))$ 

```

**lemma** *wf-precondition-rep-prec*:  
**assumes** *wf-precondition pre*  
**shows** *Rep-precondition (Abs-precondition pre) = pre*  
**using** *Abs-precondition-inverse[of pre] assms mem-Collect-eq wf-precondition-def[of pre]*  
**by** *blast*

**lemma** *wf-indexed-precondition-rep-prec*:  
**assumes** *wf-indexed-precondition pre*  
**shows** *Rep-indexed-precondition (Abs-indexed-precondition pre) = pre*  
**using** *Abs-indexed-precondition-inverse[of pre] assms mem-Collect-eq wf-indexed-precondition-def[of pre]*  
**by** *blast*

**definition** *LowView where*

*LowView f A x = (Exists x (And (View f A (λs. s x)) (LowExp (Evar x))))*

**lemma** *LowViewE*:

**assumes**  $(s, h), (s', h') \models \text{LowView } f \ A \ x$

**and**  $x \notin \text{fv} \ A \ A$

**shows**  $(s, h), (s', h') \models A \wedge f \ (\text{normalize } (\text{get-fh } h)) = f \ (\text{normalize } (\text{get-fh } h'))$

**proof** –

**obtain**  $v \ v'$  **where**  $(s(x := v), h), (s'(x := v'), h') \models \text{And } (\text{View } f \ A \ (\lambda s. s \ x)) \ (\text{LowExp } (\text{Evar } x))$

**by** *(metis LowView-def assms(1) hyper-sat.simps(7))*

**then obtain**  $(s(x := v), h), (s'(x := v'), h') \models \text{View } f \ A \ (\lambda s. s \ x)$

$(s(x := v), h), (s'(x := v'), h') \models \text{LowExp } (\text{Evar } x)$

**using** *hyper-sat.simps(3)* **by** *blast*

**then obtain**  $(s(x := v), h), (s'(x := v'), h') \models A \ v = v'$

$f \ (\text{normalize } (\text{get-fh } h)) = f \ (\text{normalize } (\text{get-fh } h'))$

**by** *simp*

**moreover have**  $(s, h), (s', h') \models A$

**by** *(meson agrees-same agrees-update assms(2) calculation(1) sat-comm-aux)*

**ultimately show** *?thesis* **by** *blast*

**qed**

**lemma** *LowViewI*:

**assumes**  $(s, h), (s', h') \models A$

**and**  $f \ (\text{normalize } (\text{get-fh } h)) = f \ (\text{normalize } (\text{get-fh } h'))$

**and**  $x \notin \text{fv} \ A \ A$

**shows**  $(s, h), (s', h') \models \text{LowView } f \ A \ x$

**proof** –

**let**  $?s = s(x := f \ (\text{normalize } (\text{get-fh } h)))$

**let**  $?s' = s'(x := f \ (\text{normalize } (\text{get-fh } h')))$

**have**  $(?s, h), (?s', h') \models A$



**by** (*meson agrees-same-aux agrees-update assms(1) assms(3) sat-comm-aux*)  
**then have**  $(?s, h), (?s', h') \models \text{And} (\text{View } f \ A \ (\lambda s. s \ x)) \ (\text{LowExp} \ (\text{Evar } x))$   
**using** *assms(2)* **by auto**  
**then show** *?thesis using LowView-def*  
**by** (*metis hyper-sat.simps(7)*)  
**qed**

**definition** *disjoint* ::  $('a \ \text{set}) \Rightarrow ('a \ \text{set}) \Rightarrow \text{bool}$   
**where** *disjoint*  $h1 \ h2 = (h1 \cap h2 = \{\})$

**definition** *unambiguous where*

*unambiguous*  $A \ x \longleftrightarrow (\forall s1 \ h1 \ s2 \ h2 \ v1 \ v2 \ v1' \ v2'. (s1(x := v1), h1), (s2(x := v2), h2) \models A$   
 $\wedge (s1(x := v1'), h1), (s2(x := v2'), h2) \models A \longrightarrow v1 = v1' \wedge v2 = v2')$

**definition** *all-axioms* ::  $('v \Rightarrow 'w) \Rightarrow ('v \Rightarrow 'a \Rightarrow 'v) \Rightarrow ('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow ('i$   
 $\Rightarrow 'v \Rightarrow 'b \Rightarrow 'v) \Rightarrow ('i \Rightarrow 'b \Rightarrow 'b \Rightarrow \text{bool}) \Rightarrow \text{bool}$  **where**  
*all-axioms*  $\alpha \ \text{sact} \ \text{spre} \ \text{uact} \ \text{upre} \longleftrightarrow$

— Every action's relational precondition is sufficient to preserve the low-ness of the abstract view of the resource value:

$(\forall v \ v' \ \text{sarg} \ \text{sarg}'. \alpha \ v = \alpha \ v' \wedge \ \text{spre} \ \text{sarg} \ \text{sarg}' \longrightarrow \alpha \ (\text{sact} \ v \ \text{sarg}) = \alpha \ (\text{sact} \ v' \ \text{sarg}')) \wedge$   
 $(\forall v \ v' \ \text{uarg} \ \text{uarg}' \ i. \alpha \ v = \alpha \ v' \wedge \ \text{upre} \ i \ \text{uarg} \ \text{uarg}' \longrightarrow \alpha \ (\text{uact} \ i \ v \ \text{uarg}) = \alpha \ (\text{uact} \ i \ v' \ \text{uarg}')) \wedge$

$(\forall \ \text{sarg} \ \text{sarg}'. \ \text{spre} \ \text{sarg} \ \text{sarg}' \longrightarrow \ \text{spre} \ \text{sarg}' \ \text{sarg}') \wedge$   
 $(\forall \ \text{uarg} \ \text{uarg}' \ i. \ \text{upre} \ i \ \text{uarg} \ \text{uarg}' \longrightarrow \ \text{upre} \ i \ \text{uarg}' \ \text{uarg}') \wedge$

— All relevant pairs of actions commute w.r.t. the abstract view:

$(\forall v \ v' \ \text{sarg} \ \text{sarg}'. \alpha \ v = \alpha \ v' \wedge \ \text{spre} \ \text{sarg} \ \text{sarg} \wedge \ \text{spre} \ \text{sarg}' \ \text{sarg}' \longrightarrow \alpha \ (\text{sact} \ (\text{sact} \ v \ \text{sarg}) \ \text{sarg}') = \alpha \ (\text{sact} \ (\text{sact} \ v' \ \text{sarg}') \ \text{sarg})) \wedge$   
 $(\forall v \ v' \ \text{sarg} \ \text{uarg} \ i. \alpha \ v = \alpha \ v' \wedge \ \text{spre} \ \text{sarg} \ \text{sarg} \wedge \ \text{upre} \ i \ \text{uarg} \ \text{uarg} \longrightarrow \alpha \ (\text{sact} \ (\text{uact} \ i \ v \ \text{uarg}) \ \text{sarg}) = \alpha \ (\text{uact} \ i \ (\text{sact} \ v' \ \text{sarg}) \ \text{uarg})) \wedge$   
 $(\forall v \ v' \ \text{uarg} \ \text{uarg}' \ i \ i'. \ i \neq i' \wedge \alpha \ v = \alpha \ v' \wedge \ \text{upre} \ i \ \text{uarg} \ \text{uarg} \wedge \ \text{upre} \ i' \ \text{uarg}' \ \text{uarg}'$   
 $\longrightarrow \alpha \ (\text{uact} \ i' \ (\text{uact} \ i \ v \ \text{uarg}) \ \text{uarg}') = \alpha \ (\text{uact} \ i \ (\text{uact} \ i' \ v' \ \text{uarg}') \ \text{uarg}'))$

### 3.2 Rules of the Logic

**inductive** *CommCSL* ::  $('i, 'a, \text{nat}) \ \text{cont} \Rightarrow ('i, 'a, \text{nat}) \ \text{assertion} \Rightarrow \text{cmd} \Rightarrow ('i, 'a, \text{nat}) \ \text{assertion} \Rightarrow \text{bool}$

$(\langle \cdot \vdash \{-\} - \{-\} \rangle [51, 0, 0] \ 81)$  **where**

*RuleSkip*:  $\Delta \vdash \{P\} \ \text{Cskip} \ \{P\}$

| *RuleAssign*:  $\llbracket \bigwedge \Gamma. \Delta = \text{Some } \Gamma \Longrightarrow x \notin \text{fv} A \ (\text{invariant } \Gamma) ; \text{collect-existentials} \ P \cap \text{fv} E = \{\} \rrbracket \Longrightarrow \Delta \vdash \{\text{sub} A \ x \ E \ P\} \ \text{Cassign} \ x \ E \ \{P\}$

| *RuleNew*:  $\llbracket x \notin \text{fv} E ; \bigwedge \Gamma. \Delta = \text{Some } \Gamma \Longrightarrow x \notin \text{fv} A \ (\text{invariant } \Gamma) \wedge \text{view-function-of-inv} \ \Gamma \rrbracket \Longrightarrow \Delta \vdash \{\text{Emp}\} \ \text{Calloc} \ x \ E \ \{\text{PointsTo} \ (\text{Evar } x) \ \text{pwrite} \ E\}$

| *RuleWrite*:  $\llbracket \bigwedge \Gamma. \Delta = \text{Some } \Gamma \Longrightarrow \text{view-function-of-inv} \ \Gamma ; v \notin \text{fv} E \ \text{loc} \rrbracket$

$\implies \Delta \vdash \{ \text{Exists } v \text{ (PointsTo loc pwrite (Evar v))} \} \text{Cwrite loc } E \{ \text{PointsTo loc pwrite } E \}$   
 $| \llbracket \bigwedge \Gamma. \Delta = \text{Some } \Gamma \implies x \notin \text{fvA (invariant } \Gamma) \wedge \text{view-function-of-inv } \Gamma ; x \notin \text{fvE } E \cup \text{fvE } e \rrbracket \implies$   
 $\Delta \vdash \{ \text{PointsTo } E \pi e \} \text{Cread } x E \{ \text{And (PointsTo } E \pi e) \text{ (Bool (Beq (Evar } x) e))} \}$   
 $| \text{RuleShare: } \llbracket \Gamma = () \text{ view} = f, \text{abstract-view} = \alpha, \text{saction} = \text{sact}, \text{uaction} = \text{uact}, \text{invariant} = J \rrbracket ; \text{all-axioms } \alpha \text{ sact spre uact upre} ;$   
 $\text{Some } \Gamma \vdash \{ \text{Star } P \text{ EmptyFullGuards} \} C \{ \text{Star } Q \text{ (And (PreSharedGuards (Abs-precondition spre)) (PreUniqueGuards (Abs-indexed-precondition upre)))} \} ;$   
 $\text{view-function-of-inv } \Gamma ; \text{unary } J ; \text{precise } J ; \text{wf-indexed-precondition upre} ;$   
 $\text{wf-precondition spre} ; x \notin \text{fvA } J ;$   
 $\text{no-guard-assertion (Star } P \text{ (LowView } (\alpha \circ f) J x)) \rrbracket \implies \text{None} \vdash \{ \text{Star } P \text{ (LowView } (\alpha \circ f) J x) \} C \{ \text{Star } Q \text{ (LowView } (\alpha \circ f) J x) \}$   
 $| \text{RuleAtomicUnique: } \llbracket \Gamma = () \text{ view} = f, \text{abstract-view} = \alpha, \text{saction} = \text{sact}, \text{uaction} = \text{uact}, \text{invariant} = J \rrbracket ;$   
 $\text{no-guard-assertion } P ; \text{no-guard-assertion } Q ;$   
 $\text{None} \vdash \{ \text{Star } P \text{ (View } f J (\lambda s. s x)) \} C \{ \text{Star } Q \text{ (View } f J (\lambda s. \text{uact index (s x) (map-to-arg (s uarg))))} \} ;$   
 $\text{precise } J ; \text{unary } J ; \text{view-function-of-inv } \Gamma ; x \notin \text{fvC } C \cup \text{fvA } P \cup \text{fvA } Q \cup \text{fvA } J ; \text{uarg} \notin \text{fvC } C ;$   
 $l \notin \text{fvC } C ; x \notin \text{fvS } (\lambda s. \text{map-to-list (s l)}) ; x \notin \text{fvS } (\lambda s. \text{map-to-arg (s uarg) \# map-to-list (s l)}) \rrbracket$   
 $\implies \text{Some } \Gamma \vdash \{ \text{Star } P \text{ (UniqueGuard index } (\lambda s. \text{map-to-list (s l)}) \} \text{Catomic } C \{ \text{Star } Q \text{ (UniqueGuard index } (\lambda s. \text{map-to-arg (s uarg) \# map-to-list (s l)}) \}$   
 $| \text{RuleAtomicShared: } \llbracket \Gamma = () \text{ view} = f, \text{abstract-view} = \alpha, \text{saction} = \text{sact}, \text{uaction} = \text{uact}, \text{invariant} = J \rrbracket ; \text{no-guard-assertion } P ; \text{no-guard-assertion } Q ;$   
 $\text{None} \vdash \{ \text{Star } P \text{ (View } f J (\lambda s. s x)) \} C \{ \text{Star } Q \text{ (View } f J (\lambda s. \text{sact (s x) (map-to-arg (s sarg))))} \} ;$   
 $\text{precise } J ; \text{unary } J ; \text{view-function-of-inv } \Gamma ; x \notin \text{fvC } C \cup \text{fvA } P \cup \text{fvA } Q \cup \text{fvA } J ; \text{sarg} \notin \text{fvC } C ;$   
 $\text{ms} \notin \text{fvC } C ; x \notin \text{fvS } (\lambda s. \text{map-to-multiset (s ms)}) ; x \notin \text{fvS } (\lambda s. \{ \# \text{map-to-arg (s sarg) \#} + \text{map-to-multiset (s ms)} \}) \rrbracket$   
 $\implies \text{Some } \Gamma \vdash \{ \text{Star } P \text{ (SharedGuard } \pi (\lambda s. \text{map-to-multiset (s ms)}) \} \text{Catomic } C \{ \text{Star } Q \text{ (SharedGuard } \pi (\lambda s. \{ \# \text{map-to-arg (s sarg) \#} + \text{map-to-multiset (s ms)}) \}$   
 $| \text{RulePar: } \llbracket \Delta \vdash \{ P1 \} C1 \{ Q1 \} ; \Delta \vdash \{ P2 \} C2 \{ Q2 \} ; \text{disjoint (fvA } P1 \cup \text{fvC } C1 \cup \text{fvA } Q1) \text{ (wrC } C2) ;$   
 $\text{disjoint (fvA } P2 \cup \text{fvC } C2 \cup \text{fvA } Q2) \text{ (wrC } C1) ; \bigwedge \Gamma. \Delta = \text{Some } \Gamma \implies \text{disjoint (fvA (invariant } \Gamma)) \text{ (wrC } C2) ;$   
 $\bigwedge \Gamma. \Delta = \text{Some } \Gamma \implies \text{disjoint (fvA (invariant } \Gamma)) \text{ (wrC } C1) ; \text{precise } P1 \vee \text{precise } P2 \rrbracket$   
 $\implies \Delta \vdash \{ \text{Star } P1 P2 \} \text{Cpar } C1 C2 \{ \text{Star } Q1 Q2 \}$   
 $| \text{RuleIf1: } \llbracket \Delta \vdash \{ \text{And } P \text{ (Bool } b) \} C1 \{ Q \} ; \Delta \vdash \{ \text{And } P \text{ (Bool (Bnot } b)) \} C2 \{ Q \} \rrbracket$   
 $\implies \Delta \vdash \{ \text{And } P \text{ (Low } b) \} \text{Cif } b C1 C2 \{ Q \}$   
 $| \text{RuleIf2: } \llbracket \Delta \vdash \{ \text{And } P \text{ (Bool } b) \} C1 \{ Q \} ; \Delta \vdash \{ \text{And } P \text{ (Bool (Bnot } b)) \} C2 \{ Q \} ; \text{unary } Q \rrbracket$   
 $\implies \Delta \vdash \{ P \} \text{Cif } b C1 C2 \{ Q \}$

```

| RuleSeq: [  $\Delta \vdash \{P\} C1 \{R\} ; \Delta \vdash \{R\} C2 \{Q\} ] \implies \Delta \vdash \{P\} Cseq C1 C2 \{Q\}$ 
| RuleFrame: [  $\Delta \vdash \{P\} C \{Q\} ; disjoint (fvA R) (wrC C) ; precise P \vee precise R$  ]
 $\implies \Delta \vdash \{Star P R\} C \{Star Q R\}$ 
| RuleCons: [  $\Delta \vdash \{P'\} C \{Q'\} ; entails P P' ; entails Q' Q ] \implies \Delta \vdash \{P\} C \{Q\}$ 
| RuleExists: [  $\Delta \vdash \{P\} C \{Q\} ; x \notin fvC C ; \wedge \Gamma. \Delta = Some \Gamma \implies x \notin fvA (invariant \Gamma) ; unambiguous P x ]$ 
 $\implies \Delta \vdash \{Exists x P\} C \{Exists x Q\}$ 
| RuleWhile1:  $\Delta \vdash \{And I (Bool b)\} C \{And I (Low b)\} \implies \Delta \vdash \{And I (Low b)\} Cwhile b C \{And I (Bool (Bnot b))\}$ 
| RuleWhile2: [  $unary I ; \Delta \vdash \{And I (Bool b)\} C \{I\} ] \implies \Delta \vdash \{I\} Cwhile b C \{And I (Bool (Bnot b))\}$ 

```

end

## 4 Soundness of CommCSL

### 4.1 Abstract Commutativity

In this file, we prove lemma 4.2 from the paper: Essentially, conditions (1)-(4) from Section 2 are sufficient to ensure that the abstraction of the final shared value is low.

**theory** *AbstractCommutativity*

**imports** *Main CommCSL HOL-Library.Multiset*

**begin**

**datatype** (*'i, 'a, 'b*) *action* = *Shared (get-s: 'a) | Unique (get-i: 'i) (get-u: 'b)*

We consider a family of unique actions indexed by the type 'i

**lemma** *sabstract*:

**assumes** *all-axioms  $\alpha$  sact spre uact upre*

**shows**  $\alpha v = \alpha v' \wedge spre sarg sarg' \implies \alpha (sact v sarg) = \alpha (sact v' sarg')$

**using** *all-axioms-def[ $of \alpha sact spre uact upre$ ] assms by fast*

**lemma** *uabstract*:

**assumes** *all-axioms  $\alpha$  sact spre uact upre*

**shows**  $\alpha v = \alpha v' \wedge upre i uarg uarg' \implies \alpha (uact i v uarg) = \alpha (uact i v' uarg')$

**using** *all-axioms-def[ $of \alpha sact spre uact upre$ ] assms by fast*

**lemma** *spre-refl*:

**assumes** *all-axioms  $\alpha$  sact spre uact upre*

**shows**  $spre sarg sarg' \implies spre sarg' sarg'$

**using** *all-axioms-def[ $of \alpha sact spre uact upre$ ] assms by fast*

**lemma** *upre-refl*:

**assumes** *all-axioms  $\alpha$  sact spre uact upre*

**shows**  $upre i uarg uarg' \implies upre i uarg' uarg'$

using *all-axioms-def*[of  $\alpha$  *sact spre uact upre*] *assms* by *fast*

**lemma** *ss-com*:

**assumes** *all-axioms*  $\alpha$  *sact spre uact upre*

**shows**  $\alpha v = \alpha v' \implies spre\ sarg\ sarg \wedge spre\ sarg'\ sarg' \implies \alpha (sact (sact\ v\ sarg)\ sarg') = \alpha (sact (sact\ v'\ sarg')\ sarg)$

using *all-axioms-def*[of  $\alpha$  *sact spre uact upre*] *assms* by *blast*

**lemma** *su-com*:

**assumes** *all-axioms*  $\alpha$  *sact spre uact upre*

**shows**  $\alpha v = \alpha v' \implies spre\ sarg\ sarg \wedge upre\ i\ uarg\ uarg \implies \alpha (sact (uact\ i\ v\ uarg)\ sarg) = \alpha (uact\ i\ (sact\ v'\ sarg)\ uarg)$

using *all-axioms-def*[of  $\alpha$  *sact spre uact upre*] *assms* by *blast*

**lemma** *uu-com*:

**assumes** *all-axioms*  $\alpha$  *sact spre uact upre*

**and**  $i \neq i'$

**and**  $\alpha v = \alpha v'$

**and** *upre*  $i'\ uarg'\ uarg'$

**and** *upre*  $i\ uarg\ uarg$

**shows**  $\alpha (uact\ i'\ (uact\ i\ v\ uarg)\ uarg') = \alpha (uact\ i\ (uact\ i'\ v'\ uarg')\ uarg)$

**proof** –

**have**  $\bigwedge v\ v'\ uarg\ uarg'\ i\ i'.$

$i \neq i' \wedge \alpha v = \alpha v' \wedge upre\ i\ uarg\ uarg \wedge upre\ i'\ uarg'\ uarg' \longrightarrow \alpha (uact\ i'\ (uact\ i\ v\ uarg)\ uarg') = \alpha (uact\ i\ (uact\ i'\ v'\ uarg')\ uarg)$

using *all-axioms-def*[of  $\alpha$  *sact spre uact upre*] *assms*(1)

by *blast*

**then show** *?thesis*

using *assms*(2) *assms*(3) *assms*(4) *assms*(5) by *blast*

**qed**

**definition** *PRE-shared* ::  $('a \Rightarrow 'a \Rightarrow bool) \Rightarrow 'a\ multiset \Rightarrow 'a\ multiset \Rightarrow bool$   
**where**

*PRE-shared spre sargs sargs'*  $\longleftrightarrow (\exists ms.\ image\ mset\ fst\ ms = sargs \wedge image\ mset\ snd\ ms = sargs' \wedge (\forall x \in \# ms.\ spre\ (fst\ x)\ (snd\ x)))$

**lemma** *PRE-shared-same-size*:

**assumes** *PRE-shared spre sargs sargs'*

**shows** *size sargs = size sargs'*

**proof** –

**obtain** *ms* **where** *image-mset fst ms = sargs*  $\wedge$  *image-mset snd ms = sargs'*  $\wedge$   $(\forall x \in \# ms.\ spre\ (fst\ x)\ (snd\ x))$

by (*metis PRE-shared-def assms*)

**then have** *size sargs = size ms*  $\wedge$  *size ms = size sargs'*

by *force*

**then show** *?thesis*

by *simp*

**qed**

**definition** *is-Unique* :: ('i, 'a, 'b) action ⇒ bool **where**  
*is-Unique* a ⇔ ¬ *is-Shared* a

**definition** *is-Unique-i* :: 'i ⇒ ('i, 'a, 'b) action ⇒ bool **where**  
*is-Unique-i* i a ⇔ *is-Unique* a ∧ *get-i* a = i

The following definition expresses that a sequence of actions corresponds to some interleaving of a multiset of shared actions and a family of sequences of unique actions, by projecting the sequence of actions on each type of action.

**definition** *possible-sequence* :: 'a multiset ⇒ ('i ⇒ 'b list) ⇒ ('i, 'a, 'b) action list ⇒ bool **where**  
*possible-sequence* sargs uargs s ⇔ ((∀ i. uargs i = map *get-u* (filter (*is-Unique-i* i) s))  
 ∧ sargs = *image-mset get-s* (*filter-mset is-Shared* (*mset* s)))

**lemma** *possible-sequenceI*:  
**assumes** ∧i. uargs i = map *get-u* (filter (*is-Unique-i* i) s)  
**and** sargs = *image-mset get-s* (*filter-mset is-Shared* (*mset* s))  
**shows** *possible-sequence* sargs uargs s  
**using** *assms(1)* *assms(2)* *possible-sequence-def* **by** *blast*

**fun** *remove-at-index* :: nat ⇒ 'd list ⇒ 'd list **where**  
*remove-at-index* - [] = []  
| *remove-at-index* 0 (x # xs) = xs  
| *remove-at-index* (Suc n) (x # xs) = x # (*remove-at-index* n xs)

**lemma** *remove-at-index*:  
**assumes** n < length l  
**shows** length (*remove-at-index* n l) = length l - 1  
**and** i ≥ 0 ∧ i < n ⇒ *remove-at-index* n l ! i = l ! i  
**and** i ≥ n ∧ i < length l - 1 ⇒ *remove-at-index* n l ! i = l ! (i + 1)  
**using** *assms*

**proof** (*induct l arbitrary: n i*)  
**case** (*Cons* a l)  
{  
**case** 1  
**then show** ?*case*  
**proof** (*cases* n)  
**case** (*Suc* k)  
**then show** ?*thesis*  
**using** 1 *Cons.hyps(1)* **by** *force*  
**qed** (*simp*)  
**next**  
**case** 2  
**then show** ?*case*  
**proof** (*cases* n)  
**case** (*Suc* k)  
**then show** ?*thesis*

```

    using 2.premis(1) 2.premis(2) Cons.hyps(2) Suc-less-eq2 less-Suc-eq-0-disj
  by auto
  qed (simp)
next
case 3
then show ?case
proof (cases n)
case (Suc k)
then have remove-at-index (Suc k) (a # l) ! i = (a # l) ! (i + 1)
  apply (cases i)
  using 3.premis(1) apply blast
  using 3.premis(1) Cons.hyps(3) Suc-less-eq2 by auto
then show remove-at-index n (a # l) ! i = (a # l) ! (i + 1)
  using Suc by blast
qed (simp)
}
qed (auto)

fun insert-at :: nat ⇒ 'd ⇒ 'd list ⇒ 'd list where
  insert-at 0 x l = x # l
| insert-at - x [] = [x]
| insert-at (Suc n) x (h # xs) = h # (insert-at n x xs)

lemma insert-at-index:
  assumes n ≤ length l
  shows length (insert-at n x l) = length l + 1
    and i ≥ 0 ∧ i < n ⇒ insert-at n x l ! i = l ! i
    and n ≥ 0 ⇒ insert-at n x l ! n = x
    and i > n ∧ i < length l + 1 ⇒ insert-at n x l ! i = l ! (i - 1)
  using assms
proof (induct l arbitrary: n i)
case (Cons a l)
{
  case 1
  then show ?case by (cases n) (simp-all add: Cons.hyps(1))
next
  case 2
  then show ?case apply (cases n)
    apply blast
    using Cons.hyps(2) less-Suc-eq-0-disj by force
next
  case 3
  then show ?case apply (cases n)
    apply simp
    by (simp add: Cons.hyps(3))
next
  case 4
  then show ?case apply (cases n)
    apply simp

```

by (metis (no-types, lifting) 4.premis(1) 4.premis(2) Cons.hyps(4) Nat.add-0-right  
 One-nat-def Suc-le-length-iff Suc-less-eq Suc-pred add-Suc-right bot-nat-0.not-eq-extremum  
 insert-at.simps(3) less-zeroE list.inject list.size(4) nat.simps(3) nth-Cons' nth-Cons-Suc)  
 }  
 qed (simp-all)

**lemma list-ext:**  
 assumes length a = length b  
 and  $\bigwedge i. i \geq 0 \wedge i < \text{length } a \implies a ! i = b ! i$   
 shows a = b  
 by (meson assms(1) assms(2) bot-nat-0.extremum nth-equalityI)

**lemma mset-remove-index:**  
 assumes  $i \geq 0 \wedge i < \text{length } l$   
 shows mset l = mset (remove-at-index i l) + {# l ! i #}  
 using assms  
**proof** (induct l arbitrary: i)  
 case (Cons a l)  
 then show ?case  
**proof** (cases i)  
 case (Suc k)  
 then show ?thesis  
 using Cons.hyps Cons.premis by force  
 qed (auto)  
 qed (simp)

**lemma filter-remove:**  
 assumes  $k \geq 0 \wedge k < \text{length } s$   
 and  $\neg P (s ! k)$   
 shows filter P (remove-at-index k s) = filter P s  
 using assms  
**proof** (induct k arbitrary: s)  
 case 0  
 then have s = hd s # tl s  
 by simp  
 then show ?case  
 by (metis 0.premis(2) filter.simps(2) nth-Cons-0 remove-at-index.simps(2))  
**next**  
 case (Suc k)  
 then show ?case  
 by (cases s) simp-all  
 qed

**lemma exists-index-in-sequence-shared:**  
 assumes a ∈ # sargs  
 and possible-sequence sargs uargs s  
 shows  $\exists i. i \geq 0 \wedge i < \text{length } s \wedge s ! i = \text{Shared } a \wedge \text{possible-sequence } (sargs$   
 $- \{ \# a \# \}) uargs (\text{remove-at-index } i s)$   
**proof** –

```

have  $a \in \# \text{ image-mset get-s (filter-mset is-Shared (mset s))}$ 
  by (metis assms(1) assms(2) possible-sequence-def)
then have  $\text{Shared } a \in \text{set } s$ 
  by fastforce
then obtain  $i$  where  $i \geq 0 \wedge i < \text{length } s \wedge s ! i = \text{Shared } a$ 
  by (meson bot-nat-0.extremum in-set-conv-nth)
let  $?s = \text{remove-at-index } i \ s$ 
have  $\text{sargs} - \{\# \ a \ \#\} = \text{image-mset get-s (filter-mset is-Shared (mset ?s))}$ 
proof -
  have  $\text{sargs} = \text{image-mset get-s (filter-mset is-Shared (mset s))}$ 
    using possible-sequence-def assms(2) by blast
  moreover have  $\text{mset } s = \text{mset } ?s + \{\# \ \text{Shared } a \ \#\}$ 
    by (metis <0 ≤ i ∧ i < length s ∧ s ! i = Shared a> mset-remove-index)
  ultimately show ?thesis
    by simp
qed
moreover have  $\bigwedge i. \text{uargs } i = \text{map get-u (filter (is-Unique-i } i) ?s)$ 
  by (metis <0 ≤ i ∧ i < length s ∧ s ! i = Shared a> action.disc(1) assms(2)
  filter-remove is-Unique-def is-Unique-i-def possible-sequence-def)
  ultimately show ?thesis
    using <0 ≤ i ∧ i < length s ∧ s ! i = Shared a> possible-sequence-def by blast
qed

lemma head-possible-exists-first-unique:
  assumes  $a = \text{hd (uargs } j)$ 
    and  $\text{uargs } j \neq []$ 
    and possible-sequence sargs uargs s
  shows  $\exists i. i \geq 0 \wedge i < \text{length } s \wedge s ! i = \text{Unique } j \ a \wedge (\forall k. k \geq 0 \wedge k < i$ 
   $\longrightarrow \neg \text{is-Unique-i } j \ (s ! k))$ 
  using assms
proof (induct s arbitrary: sargs uargs)
  case Nil
    then show ?case by (simp add: possible-sequence-def)
  next
    case (Cons x xs)
    then show  $\exists i \geq 0. i < \text{length } (x \# \text{xs}) \wedge (x \# \text{xs}) ! i = \text{Unique } j \ a \wedge (\forall k. 0 \leq$ 
     $k \wedge k < i \longrightarrow \neg \text{is-Unique-i } j \ ((x \# \text{xs}) ! k))$ 
    proof (cases x)
    case (Shared sarg)
    moreover have possible-sequence (sargs - {# sarg #}) uargs xs
    proof (rule possible-sequenceI)
    show  $\text{sargs} - \{\# \ \text{sarg} \ \#\} = \text{image-mset get-s (filter-mset is-Shared (mset xs))}$ 
    using Cons.prem(3) action.disc(1) action.sel(1) add-mset-remove-trivial[of
    sarg ]
      calculation
      filter-mset-add-mset image-mset-add-mset mset.simps(2) possible-sequence-def[of
    sargs uargs x # xs]
    by auto
    fix  $i$  show  $\text{uargs } i = \text{map get-u (filter (is-Unique-i } i) \text{xs})}$ 

```



```

using Cons.prem3 action.disc1 calculation filter-remove is-Unique-def
is-Unique-i-def
    le-numeral-extra3 length-greater-0-conv list.discI nth-Cons-0 possi-
ble-sequence-def[of sargs uargs x # xs]
    remove-at-index.simps2
by metis
qed
then obtain i where  $i \geq 0 \wedge i < \text{length } xs \wedge xs ! i = \text{Unique } j \ a \wedge (\forall k. 0 \leq$ 
 $k \wedge k < i \longrightarrow \neg \text{is-Unique-}i \ j \ (xs ! k))$ 
using Cons.hyps[of uargs] Cons.prem1 Cons.prem2 by auto
moreover have  $\bigwedge k. 0 \leq k \wedge k < i + 1 \longrightarrow \neg \text{is-Unique-}i \ j \ ((x \# xs) ! k)$ 
proof
fix k assume  $0 \leq k \wedge k < i + 1$ 
then show  $\neg \text{is-Unique-}i \ j \ ((x \# xs) ! k)$ 
apply (cases k)
apply (simp add: Shared is-Unique-def is-Unique-i-def)
by (simp add: calculation2)
qed
ultimately show ?thesis
by (metis Suc-eq-plus1 Suc-less-eq bot-nat-0.extremum length-Cons nth-Cons-Suc)
next
case (Unique k uarg)
then show ?thesis
proof (cases j = k)
case True
then have uargs j = map get-u (filter (is-Unique-i j) (x # xs))
by (meson Cons.prem3 possible-sequence-def)
then have uarg = a
by (simp add: True Unique Cons.prem1 is-Unique-def is-Unique-i-def)
then show ?thesis
using True Unique by fastforce
next
case False
moreover have possible-sequence sargs (uargs(k := tl (uargs k))) xs
proof (rule possible-sequenceI)
show sargs = image-mset get-s (filter-mset is-Shared (mset xs))
by (metis (mono-tags, lifting) Cons.prem3 Unique action.disc2)
filter-mset-add-mset mset.simps2 possible-sequence-def)
fix i show (uargs(k := tl (uargs k))) i = map get-u (filter (is-Unique-i i)
xs)
proof (cases i = k)
case True
then show ?thesis
using Cons.prem3 Unique action.disc2 action.sel2 filter.simps2)
fun-upd-same
    is-Unique-def is-Unique-i-def list.sel3 map-tl possible-sequence-def[of
sargs uargs x # xs]
by metis
next

```

```

case False
then show ?thesis
  using Cons.prem3 Unique action.sel(2) filter-remove fun-upd-apply
is-Unique-i-def
  le-numeral-extra(3) length-greater-0-conv list.discI nth-Cons-0 possible-sequence-def[of sargs uargs x # xs]
  remove-at-index.simps(2) by metis
qed
qed
then obtain i where  $i \geq 0 \wedge i < \text{length } xs \wedge xs ! i = \text{Unique } j \ a \wedge (\forall k. 0 \leq k \wedge k < i \longrightarrow \neg \text{is-Unique-}i \ j \ (xs ! k))$ 
by (metis Cons.hyps Cons.prem1 Cons.prem2 calculation fun-upd-other)
moreover have  $\bigwedge k. 0 \leq k \wedge k < i + 1 \longrightarrow \neg \text{is-Unique-}i \ j \ ((x \# xs) ! k)$ 
proof
  fix k assume  $0 \leq k \wedge k < i + 1$ 
  then show  $\neg \text{is-Unique-}i \ j \ ((x \# xs) ! k)$ 
  apply (cases k)
  apply (metis False Unique action.sel(2) is-Unique-i-def nth-Cons-0)
  by (simp add: calculation(2))
qed
ultimately show ?thesis
by (metis Suc-eq-plus1 Suc-less-eq bot-nat-0.extremum length-Cons nth-Cons-Suc)
qed
qed
qed

```

**lemma** *remove-at-index-filter:*

```

assumes  $i \geq 0 \wedge i < \text{length } s \wedge P \ (s ! i)$ 
and  $\bigwedge j. j \geq 0 \wedge j < i \implies \neg P \ (s ! j)$ 
shows  $\text{tl } (\text{map } \text{get-u } (\text{filter } P \ s)) = \text{map } \text{get-u } (\text{filter } P \ (\text{remove-at-index } i \ s))$ 
using assms
proof (induct s arbitrary: i)
case (Cons a s)
then show ?case
proof (cases i)
case 0
then show ?thesis
  using Cons.prem1 by auto
next
case (Suc k)
then have  $\text{tl } (\text{map } \text{get-u } (\text{filter } P \ s)) = \text{map } \text{get-u } (\text{filter } P \ (\text{remove-at-index } k \ s))$ 
apply (cases s)
apply simp
by (metis Cons.hyps Cons.prem1 Cons.prem2 Suc-less-eq bot-nat-0.extremum length-Cons nth-Cons-Suc)
then show ?thesis
by (metis Cons.prem2 Suc bot-nat-0.extremum filter.simps(2) nth-Cons-0 remove-at-index.simps(3) zero-less-Suc)

```

**qed**  
**qed** (*simp*)

**definition** *tail-kth where*

*tail-kth* *uargs* *k* = *uargs*(*k* := *tl* (*uargs* *k*))

**lemma** *exists-index-in-sequence-unique:*

**assumes** *a* = *hd* (*uargs* *k*)

**and** *uargs* *k* ≠ []

**and** *possible-sequence* *sargs* *uargs* *s*

**shows**  $\exists i. i \geq 0 \wedge i < \text{length } s \wedge s ! i = \text{Unique } k \ a \wedge \text{possible-sequence } sargs$   
(*tail-kth* *uargs* *k*) (*remove-at-index* *i* *s*)  
 $\wedge (\forall j. j \geq 0 \wedge j < i \longrightarrow \neg \text{is-Unique-}i \ k \ (s ! j))$

**proof** –

**obtain** *i* **where**  $i \geq 0 \wedge i < \text{length } s \wedge s ! i = \text{Unique } k \ a \wedge (\forall j. j \geq 0 \wedge j < i \longrightarrow \neg \text{is-Unique-}i \ k \ (s ! j))$

**by** (*metis* *assms*(1) *assms*(2) *assms*(3) *head-possible-exists-first-unique*)

**let** *?s* = *remove-at-index* *i* *s*

**have** *sargs* = *image-mset* *get-s* (*filter-mset* *is-Shared* (*mset* *?s*))

**by** (*metis*  $\langle 0 \leq i \wedge i < \text{length } s \wedge s ! i = \text{Unique } k \ a \wedge (\forall j. 0 \leq j \wedge j < i \longrightarrow \neg \text{is-Unique-}i \ k \ (s ! j)) \rangle$  *action.disc*(2) *add.right-neutral* *assms*(3) *filter-single-mset* *filter-union-mset* *mset-remove-index* *possible-sequence-def*)

**moreover** **have** *tl* (*uargs* *k*) = *map* *get-u* (*filter* (*is-Unique-i* *k*) *?s*)

**proof** –

**have** *uargs* *k* = *map* *get-u* (*filter* (*is-Unique-i* *k*) *s*)

**by** (*meson* *assms*(3) *possible-sequence-def*)

**then show** *?thesis*

**by** (*metis*  $\langle 0 \leq i \wedge i < \text{length } s \wedge s ! i = \text{Unique } k \ a \wedge (\forall j. 0 \leq j \wedge j < i \longrightarrow \neg \text{is-Unique-}i \ k \ (s ! j)) \rangle$  *action.disc*(2) *action.sel*(2) *is-Unique-def* *is-Unique-i-def* *remove-at-index-filter*)

**qed**

**moreover** **have** *possible-sequence* *sargs* (*tail-kth* *uargs* *k*) (*remove-at-index* *i* *s*)

**proof** (*rule* *possible-sequenceI*)

**show** *sargs* = *image-mset* *get-s* (*filter-mset* *is-Shared* (*mset* (*remove-at-index* *i* *s*)))

**by** (*simp* *add: calculation*(1))

**fix** *ia* **show** *tail-kth* *uargs* *k* *ia* = *map* *get-u* (*filter* (*is-Unique-i* *ia*) (*remove-at-index* *i* *s*))

**by** (*metis* (*mono-tags*, *lifting*)  $\langle 0 \leq i \wedge i < \text{length } s \wedge s ! i = \text{Unique } k \ a \wedge (\forall j. 0 \leq j \wedge j < i \longrightarrow \neg \text{is-Unique-}i \ k \ (s ! j)) \rangle$  *action.sel*(2) *assms*(3) *calculation*(2) *filter-remove* *fun-upd-other* *fun-upd-same* *is-Unique-i-def* *possible-sequence-def* *tail-kth-def*)

**qed**

**ultimately show** *?thesis*

**using**  $\langle 0 \leq i \wedge i < \text{length } s \wedge s ! i = \text{Unique } k \ a \wedge (\forall j. 0 \leq j \wedge j < i \longrightarrow \neg \text{is-Unique-}i \ k \ (s ! j)) \rangle$  **by** *blast*

**qed**

**lemma** *possible-sequence-where-is-unique:*

**assumes** *possible-sequence* *sargs* *uargs* (*Unique* *k* *a* # *s*)

```

shows a = hd (uargs k)
proof -
  let ?s = Unique k a # s
  have Unique k a = hd (filter is-Unique ?s)
    by (simp add: is-Unique-def)
  then have a = hd (map get-u (filter is-Unique ?s))
    by (simp add: is-Unique-def)
  then show ?thesis
    using action.disc(2) action.sel(2) assms filter.simps(2) hd-map is-Unique-def
      is-Unique-i-def list.discI list.sel(1) possible-sequence-def[of sargs uargs Unique
k a # s]
    by metis
qed

```

```

lemma possible-sequence-where-is-shared:
  assumes possible-sequence sargs uargs (Shared a # s)
  shows a ∈ # sargs
proof -
  let ?s = Shared a # s
  have a ∈ set (map get-s (filter is-Shared ?s))
    by simp
  then show ?thesis
    by (metis (no-types, lifting) assms mset-filter mset-map possible-sequence-def
set-mset-mset)
qed

```

```

lemma PRE-unique-tlI:
  assumes PRE-unique upre qa qb
  and upre ta tb
  shows PRE-unique upre (ta # qa) (tb # qb)
proof (rule PRE-uniqueI)
  show length (ta # qa) = length (tb # qb)
    using PRE-unique-def assms(1) by auto
  fix i
  show 0 ≤ i ∧ i < length (tb # qb) ⇒ upre ((ta # qa) ! i) ((tb # qb) ! i)
  proof (cases i)
    case 0
    then show ?thesis
      using assms(2) by auto
  next
    case (Suc k)
    assume 0 ≤ i ∧ i < length (tb # qb)
    then have (ta # qa) ! i = qa ! k ∧ (tb # qb) ! i = qb ! k
      by (simp add: Suc)
    then show ?thesis using assms(1) PRE-unique-def
      using Suc ⟨0 ≤ i ∧ i < length (tb # qb)⟩ by auto
  qed
qed

```

**fun** *abstract-pre* :: ('a ⇒ 'a ⇒ bool) ⇒ ('i ⇒ 'b ⇒ 'b ⇒ bool) ⇒ ('i, 'a, 'b) action  
 ⇒ ('i, 'a, 'b) action ⇒ bool **where**  
   *abstract-pre spre upre* (Shared sarg) (Shared sarg') ←→ spre sarg sarg'  
 | *abstract-pre spre upre* (Unique k uarg) (Unique k' uarg') ←→ k = k' ∧ upre k  
 uarg uarg'  
 | *abstract-pre spre upre* - - ←→ False

**definition** *PRE-sequence* :: ('a ⇒ 'a ⇒ bool) ⇒ ('i => 'b ⇒ 'b ⇒ bool) ⇒ ('i,  
 'a, 'b) action list ⇒ ('i, 'a, 'b) action list ⇒ bool **where**  
   *PRE-sequence spre upre s s'* ←→ length s = length s' ∧ (∀ i. i ≥ 0 ∧ i < length  
 s → *abstract-pre spre upre* (s ! i) (s' ! i))

**lemma** *PRE-sequenceE*:  
**assumes** *PRE-sequence spre upre s s'*  
   **and**  $i \geq 0 \wedge i < \text{length } s$   
   **shows** *abstract-pre spre upre* (s ! i) (s' ! i)  
   **using** *PRE-sequence-def* *assms(1)* *assms(2)* **by** *blast*

**lemma** *PRE-sequenceI*:  
**assumes**  $\text{length } s = \text{length } s'$   
   **and**  $\bigwedge i. i \geq 0 \wedge i < \text{length } s \implies \text{abstract-pre spre upre } (s ! i) (s' ! i)$   
   **shows** *PRE-sequence spre upre s s'*  
   **by** (*simp add: PRE-sequence-def* *assms(1)* *assms(2)*)

**lemma** *PRE-sequenceI-rec*:  
**assumes** *PRE-sequence spre upre s s'*  
   **and** *abstract-pre spre upre a b*  
   **shows** *PRE-sequence spre upre* (a # s) (b # s')  
   **using** *PRE-sequence-def*[of spre upre a # s b # s'] *PRE-sequence-def*[of spre  
 upre s s']  
   *assms(1)* *assms(2)* *less-Suc-eq-0-disj* *length-Cons* *less-Suc-eq-le* *nth-Cons-0* *nth-Cons-Suc*  
   **by** *force*

**lemma** *PRE-sequenceE-rec*:  
**assumes** *PRE-sequence spre upre* (a # s) (b # s')  
   **shows** *PRE-sequence spre upre s s'*  
   **and** *abstract-pre spre upre a b*  
   **using** *PRE-sequence-def*[of spre upre a # s b # s'] *PRE-sequence-def*[of spre  
 upre s s']  
   **apply** (*metis* *Suc-less-eq* *assms* *bot-nat-0.extremum* *diff-Suc-1* *length-Cons* *nth-Cons-Suc*)  
   **by** (*metis* *PRE-sequenceE* *assms* *length-Cons* *list.size(3)* *nth-Cons-0* *remdups-adj.simps(1)*  
*remdups-adj-length* *zero-less-Suc*)

**fun** *compute* :: ('v ⇒ 'a ⇒ 'v) ⇒ ('i ⇒ 'v ⇒ 'b ⇒ 'v) ⇒ 'v ⇒ ('i, 'a, 'b) action  
 list ⇒ 'v **where**  
   *compute sact uact v0* [] = v0  
 | *compute sact uact v0* (Shared sarg # s) = sact (compute sact uact v0 s) sarg  
 | *compute sact uact v0* (Unique k uarg # s) = uact k (compute sact uact v0 s) uarg

**lemma** *obtain-other-elem-ms*:  
**assumes** *PRE-shared spre sargs sargs'*  
**and** *sarg ∈# sargs*  
**shows**  $\exists \text{sarg}' . \text{sarg}' \in\# \text{sargs}' \wedge \text{spre sarg sarg}' \wedge \text{PRE-shared spre } (\text{sargs} - \{\# \text{sarg} \# \}) (\text{sargs}' - \{\# \text{sarg}' \# \})$   
**proof** –  
**obtain** *ms where asm: image-mset fst ms = sargs*  $\wedge$  *image-mset snd ms = sargs'*  
 $\wedge (\forall x \in\# \text{ms} . \text{spre } (\text{fst } x) (\text{snd } x))$   
**using** *PRE-shared-def assms(1)* **by** *blast*  
**then obtain** *x where x ∈# ms fst x = sarg*  
**using** *assms(2)* **by** *auto*  
**then have** *snd x ∈# sargs'*  $\wedge$  *spre sarg (snd x)*  
**using** *asm* **by** *force*  
**moreover have** *PRE-shared spre (sargs - {# sarg #}) (sargs' - {# snd x #})*  
**proof** –  
**let** *?ms = ms - {# x #}*  
**have** *image-mset fst ?ms = (sargs - {# sarg #})*  $\wedge$  *image-mset snd ?ms = (sargs' - {# snd x #})*  
**by** (*simp add: <fst x = sarg> <x ∈# ms> asm image-mset-Diff*)  
**moreover have**  $\bigwedge y . y \in\# \text{?ms} \implies \text{spre } (\text{fst } y) (\text{snd } y)$   
**by** (*meson asm in-diffD*)  
**ultimately show** *?thesis*  
**using** *PRE-shared-def* **by** *blast*  
**qed**  
**ultimately show** *?thesis*  
**by** *blast*  
**qed**

**lemma** *exists-aligned-sequence*:  
**assumes** *possible-sequence sargs uargs s*  
**and** *possible-sequence sargs' uargs' s'*  
  
**and** *PRE-shared spre sargs sargs'*  
**and**  $\bigwedge k . \text{PRE-unique } (\text{upre } k) (\text{uargs } k) (\text{uargs}' k)$   
  
**shows**  $\exists s'' . \text{possible-sequence sargs' uargs' s''} \wedge \text{PRE-sequence spre upre } s \text{ s''}$   
**using** *assms*  
**proof** (*induct s arbitrary: sargs uargs sargs' uargs' s'*)  
**case** *Nil*  
**then have** *sargs = mset []*  $\wedge$   $(\forall k . \text{uargs } k = [])$   
**by** (*simp add: possible-sequence-def*)  
**then have** *sargs' = {#}*  $\wedge$   $(\forall k . \text{uargs}' k = [])$   
**by** (*metis Nil.prem(3) Nil.prem(4) PRE-shared-same-size PRE-unique-def length-0-conv mset.simps(1) size-eq-0-iff-empty*)  
**then show**  $\exists s'' . \text{possible-sequence sargs' uargs' s''} \wedge \text{PRE-sequence spre upre } [] \text{ s''}$   
**by** (*simp add: PRE-sequence-def possible-sequence-def*)

```

next
  case (Cons act s)

  show  $\exists s''$ . possible-sequence sargs' uargs' s''  $\wedge$  PRE-sequence spre upre (act # s) s''
  proof (cases act)
    case (Shared sarg)

    then have sarg  $\in$  # sargs
      by (metis Cons.prem(1) possible-sequence-where-is-shared)
    then obtain sarg' where sarg'  $\in$  # sargs' spre sarg sarg' PRE-shared spre (sargs - {# sarg #}) (sargs' - {# sarg' #})
      by (metis Cons.prem(3) obtain-other-elem-ms)
    let ?sargs = sargs - {# sarg #}
    let ?sargs' = sargs' - {# sarg' #}
    have possible-sequence ?sargs uargs s
    proof (rule possible-sequenceI)
      show  $\bigwedge i$ . uargs i = map get-u (filter (is-Unique-i i) s)
        using Cons.prem(1) Shared action.disc(1) filter.simp(2) is-Unique-def is-Unique-i-def possible-sequence-def[of sargs uargs act # s]
        by metis
      have sargs = image-mset get-s (filter-mset is-Shared (mset(Shared sarg # s)))
        using Cons.prem(1) Shared possible-sequence-def by blast
      then show sargs - {#sarg#} = image-mset get-s (filter-mset is-Shared (mset s)) by simp
    qed

    obtain i where  $i \geq 0 \wedge i < \text{length } s' \wedge s' ! i = \text{Shared } sarg' \wedge \text{possible-sequence } ?sargs' uargs' (\text{remove-at-index } i s')$ 
      by (meson Cons.prem(2) <sarg'  $\in$  # sargs'> exists-index-in-sequence-shared)

    then obtain s'' where possible-sequence ?sargs' uargs' s''  $\wedge$  PRE-sequence spre upre s s''
      using Cons.hyps Cons.prem(4) <PRE-shared spre (sargs - {#sarg#}) (sargs' - {#sarg'#})> <possible-sequence (sargs - {#sarg#}) uargs s> by blast

    let ?s'' = Shared sarg' # s''

    have possible-sequence sargs' uargs' ?s''
    proof (rule possible-sequenceI)
      show  $\bigwedge i$ . uargs' i = map get-u (filter (is-Unique-i i) (Shared sarg' # s''))
        by (metis <possible-sequence (sargs' - {#sarg'#}) uargs' s''  $\wedge$  PRE-sequence spre upre s s''> action.disc(1) filter.simp(2) is-Unique-def is-Unique-i-def possible-sequence-def)
      show sargs' = image-mset get-s (filter-mset is-Shared (mset (Shared sarg' # s'')))
        using <possible-sequence (sargs' - {#sarg'#}) uargs' s''  $\wedge$  PRE-sequence spre upre s s''> <sarg'  $\in$  # sargs'>

```

$insert-DiffM[of\ sarg'\ sargs']\ possible-sequence-def[of\ sargs' - \{\#sarg'\#\}]$   
 $uargs'\ s'']$   
 $action.disc(1)\ action.sel(1)\ filter-mset-add-mset\ msed-map-invL\ mset.simps(2)$   
**by auto**  
**qed**

**moreover have**  $PRE-sequence\ spre\ upre\ (act\ \#s)\ ?s''$   
**by**  $(simp\ add:\ PRE-sequenceI-rec\ Shared\ \langle possible-sequence\ (sargs' - \{\#sarg'\#\})\ uargs'\ s'' \wedge PRE-sequence\ spre\ upre\ s\ s'' \rangle\ \langle spre\ sarg'\ sarg' \rangle)$

**ultimately show**  $\exists s''.\ possible-sequence\ sargs'\ uargs'\ s'' \wedge PRE-sequence\ spre\ upre\ (act\ \#s)\ s''$  **by auto**  
**next**  
**case**  $(Unique\ k\ uarg)$   
**then have**  $hd\ (uargs\ k) = uarg$   
**by**  $(metis\ Cons.prem(1)\ possible-sequence-where-is-unique)$   
**moreover have**  $uargs\ k \neq []$   
**by**  $(metis\ (no-types,\ lifting)\ Cons.prem(1)\ Unique\ action.disc(2)\ action.sel(2)\ dropWhile-eq-Cons-conv\ dropWhile-eq-self-iff\ filter.simps(2)\ is-Unique-def\ is-Unique-i-def\ list.map-disc-iff\ possible-sequence-def)$   
**ultimately have**  $uargs\ k = uarg\ \# \ tl\ (uargs\ k)$   
**by fastforce**  
**moreover have**  $uargs'\ k = hd\ (uargs'\ k)\ \# \ tl\ (uargs'\ k)$   
**by**  $(metis\ Cons.prem(4)\ PRE-unique-def\ calculation\ length-Cons\ list.exhaust-sel\ list.size(3)\ nat.simps(3))$   
**ultimately have**  $upre\ k\ uarg\ (hd\ (uargs'\ k))$   
**by**  $(metis\ Cons.prem(4)\ PRE-unique-def\ length-greater-0-conv\ list.simps(3)\ list.size(3)\ nth-Cons-0\ remdups-adj.simps(1)\ remdups-adj-length)$   
**moreover have**  $PRE-unique\ (upre\ k)\ (tl\ (uargs\ k))\ (tl\ (uargs'\ k))$   
**by**  $(metis\ Cons.prem(4)\ PRE-unique-implies-tl\ \langle uargs\ k = uarg\ \# \ tl\ (uargs\ k) \rangle\ \langle uargs'\ k = hd\ (uargs'\ k)\ \# \ tl\ (uargs'\ k) \rangle)$   
**moreover have**  $possible-sequence\ sargs\ (tail-kth\ uargs\ k)\ s$   
**proof**  $(rule\ possible-sequenceI)$   
**show**  $\bigwedge i.\ tail-kth\ uargs\ k\ i = map\ get-u\ (filter\ (is-Unique-i\ i)\ s)$   
**proof** –  
**fix**  $i$   
**obtain**  $ii$  **where**  $asms:\ ii \geq 0\ ii < length\ (act\ \#s) \wedge$   
 $(act\ \#s)!\ ii = Unique\ k\ uarg \wedge$   
 $possible-sequence\ sargs\ (tail-kth\ uargs\ k)\ (remove-at-index\ ii\ (act\ \#s)) \wedge$   
 $(\forall j.\ 0 \leq j \wedge j < ii \longrightarrow \neg is-Unique-i\ k\ ((act\ \#s)!\ j))$   
**by**  $(metis\ Cons.prem(1)\ \langle hd\ (uargs\ k) = uarg \rangle\ \langle uargs\ k \neq [] \rangle\ exists-index-in-sequence-unique)$   
**then show**  $tail-kth\ uargs\ k\ i = map\ get-u\ (filter\ (is-Unique-i\ i)\ s)$   
**by**  $(metis\ Unique\ action.disc(2)\ action.sel(2)\ bot-nat-0.extremum\ bot-nat-0.not-eq-extremum\ is-Unique-def\ is-Unique-i-def\ nth-Cons-0\ possible-sequence-def\ remove-at-index.simps(2))$   
**qed**  
**show**  $sargs = image-mset\ get-s\ (filter-mset\ is-Shared\ (mset\ s))$   
**by**  $(metis\ (mono-tags,\ lifting)\ Cons.prem(1)\ Unique\ action.disc(2)\ filter-mset-add-mset\ mset.simps(2)\ possible-sequence-def)$



**qed**  
**let**  $?uarg' = hd (uargs' k)$

**obtain**  $i$  **where**  $i \geq 0 \wedge i < length\ s' \wedge s' ! i = Unique\ k\ ?uarg' \wedge possible\ sequence\ sargs'\ (tail\ kth\ uargs'\ k)\ (remove\ at\ index\ i\ s')$

**by**  $(metis\ Cons.prem\ s(2)\ \langle uargs'\ k = hd\ (uargs'\ k)\ \#\ tl\ (uargs'\ k)\ \rangle\ exists\ index\ in\ sequence\ unique\ list.discI)$

**then obtain**  $s''$  **where**  $possible\ sequence\ sargs'\ (tail\ kth\ uargs'\ k)\ s'' \wedge PRE\ sequence\ spre\ upre\ s\ s''$

**using**  $Cons.hyps[of\ sargs\ tail\ kth\ uargs\ k\ sargs'\ tail\ kth\ uargs'\ k]\ Cons.prem\ s(3)\ \langle possible\ sequence\ sargs\ (tail\ kth\ uargs\ k)\ s\rangle\ calculation(2)$   
 $Cons.prem\ s(4)\ fun\ upd\ other\ fun\ upd\ same\ tail\ kth\ def$   
**by**  $metis$

**let**  $?s'' = Unique\ k\ (hd\ (uargs'\ k))\ \#\ s''$   
**have**  $PRE\ sequence\ spre\ upre\ (act\ \#\ s)\ ?s''$

**by**  $(simp\ add:\ PRE\ sequenceI\ rec\ Unique\ \langle possible\ sequence\ sargs'\ (tail\ kth\ uargs'\ k)\ s'' \wedge PRE\ sequence\ spre\ upre\ s\ s''\ \rangle\ calculation(1))$

**moreover have**  $possible\ sequence\ sargs'\ uargs'\ ?s''$

**proof**  $(rule\ possible\ sequenceI)$

**show**  $\bigwedge i.\ uargs'\ i = map\ get\ u\ (filter\ (is\ Unique\ i\ i)\ (Unique\ k\ (hd\ (uargs'\ k))\ \#\ s''))$

**proof** –

**fix**  $i$

**obtain**  $ii$  **where**  $ii\ def:\ ii \geq 0 \wedge ii < length\ s' \wedge s' ! ii = Unique\ k\ (hd\ (uargs'\ k)) \wedge possible\ sequence\ sargs'\ (tail\ kth\ uargs'\ k)\ (remove\ at\ index\ ii\ s') \wedge (\forall j.\ 0 \leq j \wedge j < ii \longrightarrow \neg is\ Unique\ i\ k\ (s' ! j))$

**by**  $(metis\ Cons.prem\ s(2)\ \langle uargs'\ k = hd\ (uargs'\ k)\ \#\ tl\ (uargs'\ k)\ \rangle\ exists\ index\ in\ sequence\ unique\ list.discI)$

**then show**  $uargs'\ i = map\ get\ u\ (filter\ (is\ Unique\ i\ i)\ (Unique\ k\ (hd\ (uargs'\ k))\ \#\ s''))$

**using**  $filter\ remove[of\ ii\ s'\ is\ Unique\ i\ i]\ remove\ at\ index\ filter[of\ ii\ s'\ is\ Unique\ i\ i]$   
 $Cons.prem\ s(2)\ \langle possible\ sequence\ sargs'\ (tail\ kth\ uargs'\ k)\ s'' \wedge PRE\ sequence\ spre\ upre\ s\ s''\ \rangle$   
 $\langle uargs'\ k = hd\ (uargs'\ k)\ \#\ tl\ (uargs'\ k)\ \rangle\ action.sel(2)\ action.sel(3)$   
 $filter.simps(2)[of\ is\ Unique\ i\ i\ Unique\ k\ (hd\ (uargs'\ k))\ s'']$   
 $is\ Unique\ i\ def\ list.simps(9)[of\ get\ u]$   
 $possible\ sequence\ def[of\ sargs'\ tail\ kth\ uargs'\ k\ remove\ at\ index\ ii\ s']$   
 $possible\ sequence\ def[of\ sargs'\ uargs'\ s']$   
 $possible\ sequence\ def[of\ sargs'\ tail\ kth\ uargs'\ k\ s'']\ ii\ def$

**by**  $metis$

**qed**

**show**  $sargs' = image\ mset\ get\ s\ (filter\ mset\ is\ Shared\ (mset\ (Unique\ k\ (hd\ (uargs'\ k))\ \#\ s'')))$

**using**  $\langle possible\ sequence\ sargs'\ (tail\ kth\ uargs'\ k)\ s'' \wedge PRE\ sequence\ spre\ upre\ s\ s''\ \rangle\ possible\ sequence\ def\ by\ auto$

**qed**

**ultimately show**  $\exists s''$ . possible-sequence sargs' uargs' s''  $\wedge$  PRE-sequence spre  
upre (act # s) s'' **by** blast

**qed**  
**qed**

**lemma** insert-remove-same-list:

**assumes**  $k \geq 0 \wedge k < \text{length } s$

**and**  $s ! k = x$

**shows**  $s = \text{insert-at } k \ x \ (\text{remove-at-index } k \ s)$

**proof** (rule list-ext)

**show**  $\text{length } s = \text{length } (\text{insert-at } k \ x \ (\text{remove-at-index } k \ s))$

**by** (metis One-nat-def Suc-pred add.commute assms(1) insert-at-index(1) length-greater-0-conv  
less-Suc-eq-le linorder-not-le list.size(3) plus-1-eq-Suc remove-at-index(1))

**fix**  $i$  **assume**  $\text{asm0}: 0 \leq i \wedge i < \text{length } s$

**show**  $s ! i = \text{insert-at } k \ x \ (\text{remove-at-index } k \ s) ! i$

**proof** (cases  $i < k$ )

**case** True

**then show** ?thesis

**by** (metis (no-types, lifting) One-nat-def Suc-pred asm0 assms(1) insert-at-index(2)  
less-Suc-eq-le order-le-less-trans remove-at-index(1) remove-at-index(2))

**next**

**case** False

**then have**  $i \geq k$  **by** simp

**then show** ?thesis

**proof** (cases  $i = k$ )

**case** True

**then show** ?thesis

**by** (metis (no-types, lifting) One-nat-def Suc-pred assms(1) assms(2) in-  
sert-at-index(3) less-Suc-eq-le order-le-less-trans remove-at-index(1))

**next**

**case** False

**then have**  $i > k$

**using**  $\langle k \leq i \rangle$  nless-le **by** blast

**then show**  $s ! i = \text{insert-at } k \ x \ (\text{remove-at-index } k \ s) ! i$

**apply** (cases  $i$ )

**apply** blast

**using** Groups.add-ac(2) One-nat-def Suc-less-eq Suc-pred asm0 assms(1)

insert-at-index(4)[of  $k - i \ x$ ]

less-Suc-eq-le order-le-less-trans plus-1-eq-Suc remove-at-index(1)[of  $k \ s$ ]

remove-at-index(3)[of  $k \ s$  ]

**by** fastforce

**qed**

**qed**

**qed**

**lemma** swap-works:

**assumes**  $\text{length } s = \text{length } s'$

**and**  $k < \text{length } s - 1$

**and**  $\bigwedge i. i \geq 0 \wedge i < \text{length } s \wedge i \neq k \wedge i \neq k + 1 \implies s ! i = s' ! i$

```

and  $s ! k = s' ! (k + 1)$ 
and  $s' ! k = s ! (k + 1)$ 
and PRE-sequence spre upre s s
and  $\alpha v0 = \alpha v0'$ 
and  $\neg (\exists k'. \text{is-Unique-}i\ k' (s ! k) \wedge \text{is-Unique-}i\ k' (s ! (k + 1)))$ 
and all-axioms  $\alpha$  sact spre uact upre
shows  $\alpha (\text{compute sact uact } v0\ s) = \alpha (\text{compute sact uact } v0'\ s')$  (is ?A = ?B)
using assms
proof (induct k arbitrary: s s')
  case 0
  then obtain  $x1\ x2\ xs$  where  $s = x1 \# x2 \# xs$ 
  by (metis Suc-length-conv Suc-pred add-0 le-add-diff-inverse less-diff-conv less-imp-le-nat plus-1-eq-Suc)
  then have  $hd\ s' = x2$ 
  by (metis 0.premis(1) 0.premis(2) 0.premis(5) One-nat-def add-0 hd-conv-nth length-greater-0-conv length-tl list.sel(2) nth-Cons-0 nth-Cons-Suc)
  moreover have  $hd\ (tl\ s') = x1$ 
  by (metis 0.premis(1) 0.premis(2) 0.premis(4) Suc-eq-plus1  $\langle s = x1 \# x2 \# xs \rangle$  hd-conv-nth length-greater-0-conv length-tl nth-Cons-0 nth-tl)
  ultimately obtain  $xs' \text{ where } s' = x2 \# x1 \# xs'$ 
  by (metis 0.premis(1) 0.premis(2) length-greater-0-conv length-tl list.collapse list.sel(2))
  moreover have  $xs = xs'$ 
  proof (rule list-ext)
    show  $length\ xs = length\ xs'$ 
    using  $0.premis(1) \langle s = x1 \# x2 \# xs \rangle$  calculation by auto
    fix  $i$  assume  $0 \leq i \wedge i < length\ xs$ 
    then show  $xs ! i = xs' ! i$ 
    by (metis 0.premis(3) Suc-eq-plus1 Suc-less-eq  $\langle s = x1 \# x2 \# xs \rangle$  bot-nat-0.extremum calculation diff-Suc-1 length-Cons nat.simps(3) nth-Cons-Suc)
  qed
  have PRE-sequence spre upre xs xs
  apply (cases x1) apply (cases x2)
  using  $0.premis(6) \langle s = x1 \# x2 \# xs \rangle$  PRE-sequenceE-rec(1) by blast+
  then have  $\alpha (\text{compute sact uact } v0\ xs) = \alpha (\text{compute sact uact } v0'\ xs)$ 
  using assms(7)
  proof (induct xs)
    case Nil
    then show ?case by simp
  next
  case (Cons a xs)
  then have  $\alpha (\text{compute sact uact } v0\ xs) = \alpha (\text{compute sact uact } v0'\ xs)$ 
  using PRE-sequenceE-rec(1) by blast
  then show  $\alpha (\text{compute sact uact } v0\ (a \# xs)) = \alpha (\text{compute sact uact } v0'\ (a \# xs))$ 
  proof (cases a)
    case (Shared x1)
    then show ?thesis
    by (metis  $\langle$ all-axioms  $\alpha$  sact spre uact upre  $\rangle$  Cons.premis(1) PRE-sequenceE-rec(2))

```

```

⟨α (compute sact uact v0 xs) = α (compute sact uact v0' xs)⟩ abstract-pre.simps(1)
compute.simps(2) sabstract)
  next
  case (Unique x2)
  then show ?thesis
  by (metis ⟨all-axioms α sact spre uact upre⟩ Cons.prem(1) PRE-sequenceE-rec(2)
⟨α (compute sact uact v0 xs) = α (compute sact uact v0' xs)⟩ abstract-pre.simps(2)
compute.simps(3) uabstract)
  qed
  qed
  then show ?case
  proof (cases x1)
  case (Shared sarg1)
  then have x1 = Shared sarg1 by simp
  then show ?thesis
  proof (cases x2)
  case (Shared sarg2)
  then show α (compute sact uact v0 s) = α (compute sact uact v0' s')
  using ⟨all-axioms α sact spre uact upre⟩ 0.prem(2) 0.prem(5) 0.prem(6)
One-nat-def
PRE-sequenceE-rec(2)[of spre upre x1 x2 # xs x1 x2 # xs]
PRE-sequence-def[of spre upre s s] Suc-eq-plus1
⟨α (compute sact uact v0 xs) = α (compute sact uact v0' xs)⟩ ⟨s = x1 #
x2 # xs⟩
⟨x1 = Shared sarg1⟩ ⟨xs = xs'⟩
abstract-pre.simps(1)[of spre upre sarg2 sarg2]
abstract-pre.simps(1)[of spre upre sarg1 sarg1]
calculation compute.simps(2)[of sact uact v0]
calculation compute.simps(2)[of sact uact v0']
nth-Cons-0 ss-com[of α sact spre uact upre] zero-less-diff zero-less-one-class.zero-le-one
by metis
  next
  case (Unique uarg2)
  then show ?thesis
  using ⟨all-axioms α sact spre uact upre⟩ 0.prem(6) PRE-sequenceE-rec(1)[of
spre upre x1 x2 # xs x1 x2 # xs]
PRE-sequenceE-rec(2)[of spre upre ]
Shared ⟨α (compute sact uact v0 xs) = α (compute sact uact v0' xs)⟩ ⟨s =
x1 # x2 # xs⟩ ⟨xs = xs'⟩
abstract-pre.simps(1)[of spre upre] abstract-pre.simps(2)[of spre upre]
calculation
compute.simps(2)[of sact uact ] compute.simps(3)[of sact uact]
su-com[of α sact spre uact upre]
  by metis
  qed
  next
  case (Unique k1 uarg1)
  then have x1 = Unique k1 uarg1 by simp
  then show ?thesis

```

```

proof (cases x2)
  case (Shared sarg2)
    then have spre sarg2 sarg2  $\wedge$  upre k1 uarg1 uarg1
      by (metis 0.premis(6) PRE-sequenceE-rec(1) PRE-sequenceE-rec(2) Unique
         $\langle s = x1 \# x2 \# xs \rangle$  abstract-pre.simps(1) abstract-pre.simps(2))
    then show ?thesis
      using  $\langle$ all-axioms  $\alpha$  sact spre uact upre $\rangle$  Unique  $\langle \alpha$  (compute sact uact v0 xs)
        =  $\alpha$  (compute sact uact v0' xs) $\rangle$ 
         $\langle s = x1 \# x2 \# xs \rangle$   $\langle s' = x2 \# x1 \# xs' \rangle$   $\langle xs = xs' \rangle$  compute.simps(2)[of
        sact uact]
        compute.simps(3)[of sact uact] su-com[of  $\alpha$  sact spre uact upre]
      by (metis Shared)
    next
      case (Unique k2 uarg2)
        then have k1  $\neq$  k2
          by (metis 0.premis(5) 0.premis(8) Suc-eq-plus1  $\langle \wedge$ thesis. ( $\wedge xs'. s' = x2 \#$ 
            x1  $\# xs' \implies$  thesis) $\implies$  thesis $\rangle$   $\langle s = x1 \# x2 \# xs \rangle$   $\langle x1 = \text{Unique } k1 \text{ uarg1} \rangle$ 
            action.disc(2) action.sel(2) is-Unique-def is-Unique-i-def nth-Cons-0)
          then have upre k2 uarg2 uarg2  $\wedge$  upre k1 uarg1 uarg1
            by (metis 0.premis(6) PRE-sequenceE-rec(1) PRE-sequenceE-rec(2) Unique
               $\langle s = x1 \# x2 \# xs \rangle$   $\langle x1 = \text{Unique } k1 \text{ uarg1} \rangle$  abstract-pre.simps(2))

          then show ?thesis
            using  $\langle$ all-axioms  $\alpha$  sact spre uact upre $\rangle$  Unique  $\langle \alpha$  (compute sact uact v0 xs)
              =  $\alpha$  (compute sact uact v0' xs) $\rangle$ 
               $\langle s = x1 \# x2 \# xs \rangle$   $\langle s' = x2 \# x1 \# xs' \rangle$   $\langle xs = xs' \rangle$  compute.simps(2)[of
              sact uact]
              uu-com[of  $\alpha$  sact spre uact upre k1 k2 compute sact uact v0' xs compute sact
              uact v0 xs]
               $\langle k1 \neq k2 \rangle$   $\langle x1 = \text{Unique } k1 \text{ uarg1} \rangle$  compute.simps(3)
            by auto
          qed
        qed
      next
        case (Suc k)
          then obtain x xs x' xs' where  $s = x \# xs$   $s' = x' \# xs'$ 
            by (metis diff-0-eq-0 length-0-conv neq-Nil-conv not-less-zero)
          then have  $x = x'$ 
            using Suc.premis(3) by force
          moreover have  $\alpha$  (compute sact uact v0 (tl s)) =  $\alpha$  (compute sact uact v0' (tl
            s'))
          proof (rule Suc(1))
            show length (tl s) = length (tl s')
              by (simp add: Suc.premis(1))
            show  $k < \text{length } (tl s) - 1$ 
              using Suc.premis(2) by auto
            show  $\wedge i. 0 \leq i \wedge i < \text{length } (tl s) \wedge i \neq k \wedge i \neq k + 1 \implies \text{tl } s ! i = \text{tl } s' ! i$ 
              by (metis Suc.premis(3) Suc-eq-plus1  $\langle \text{length } (tl s) = \text{length } (tl s') \rangle$  length-tl
              less-diff-conv nat.inject nat-le-linear not-less-eq-eq nth-tl)

```

```

show  $tl\ s!\ k = tl\ s'!\ (k + 1)$ 
by (metis Suc.prems(4) Suc-eq-plus1  $\langle s = x \# xs \rangle \langle s' = x' \# xs' \rangle$  add-diff-cancel-right'
add-gr-0 le-neq-implies-less list.sel(3) not-one-le-zero nth-Cons-pos zero-less-one-class.zero-le-one)
show  $tl\ s'!\ k = tl\ s!\ (k + 1)$ 
by (metis Suc.prems(5) Suc-eq-plus1  $\langle s = x \# xs \rangle \langle s' = x' \# xs' \rangle$  list.sel(3)
nth-Cons-Suc)
show PRE-sequence spre upre  $(tl\ s)\ (tl\ s)$ 
by (metis Suc.prems(6)  $\langle s = x \# xs \rangle$  PRE-sequenceE-rec(1) list.sel(3))
show  $\alpha\ v0 = \alpha\ v0'$ 
by (simp add: assms(7))
show  $\neg (\exists k'.\ is\ Unique\text{-}i\ k'\ (tl\ s!\ k) \wedge is\ Unique\text{-}i\ k'\ (tl\ s!\ (k + 1)))$ 
using Suc.prems(8)  $\langle s = x \# xs \rangle$  by force
show all-axioms  $\alpha\ sact\ spre\ uact\ upre$ 
by (simp add: Suc.prems(9))
qed
ultimately show ?case
proof (cases  $x$ )
case (Shared  $x1$ )
then show ?thesis
using  $\langle all\text{-}axioms\ \alpha\ sact\ spre\ uact\ upre \rangle$  PRE-sequenceE-rec(2) Suc.prems(6)
 $\langle \alpha\ (compute\ sact\ uact\ v0\ (tl\ s)) = \alpha\ (compute\ sact\ uact\ v0'\ (tl\ s')) \rangle$   $\langle s = x \# xs \rangle$ 
 $\langle s' = x' \# xs' \rangle \langle x = x' \rangle$  subabstract
by fastforce
next
case (Unique  $x2$ )
then show ?thesis
using  $\langle all\text{-}axioms\ \alpha\ sact\ spre\ uact\ upre \rangle$  PRE-sequenceE-rec(2) Suc.prems(6)
 $\langle \alpha\ (compute\ sact\ uact\ v0\ (tl\ s)) = \alpha\ (compute\ sact\ uact\ v0'\ (tl\ s')) \rangle$   $\langle s = x$ 
 $\# xs \rangle \langle s' = x' \# xs' \rangle$ 
 $\langle x = x' \rangle$  uabstract[of  $\alpha\ sact\ spre\ uact\ upre$ ]
by fastforce
qed
qed

lemma mset-remove:
assumes  $k \geq 0 \wedge k < length\ s$ 
shows  $mset\ s = mset\ (remove\text{-}at\text{-}index\ k\ s) + \{\# s!\ k\ \#\}$ 
using assms
proof (induct  $s$  arbitrary:  $k$ )
case Nil
then show ?case
by simp
next
case (Cons  $a\ s$ )
then show ?case
using less-Suc-eq-0-disj by auto
qed

lemma abstract-pre-refl:

```

```

assumes abstract-pre spre upre a b
  and all-axioms  $\alpha$  sact spre uact upre
shows abstract-pre spre upre b b
apply (cases a)
  apply (cases b)
using abstract-pre.simps(1) assms spre-refl apply metis
using assms apply force
  apply (cases b)
using assms apply force
using abstract-pre.simps(2) assms upre-refl by metis

```

```

lemma PRE-sequence-refl:
  assumes PRE-sequence spre upre s s'
    and all-axioms  $\alpha$  sact spre uact upre
  shows PRE-sequence spre upre s' s'
proof (rule PRE-sequenceI)
  show length s' = length s'
    by simp
  fix i assume 0  $\leq$  i  $\wedge$  i < length s'
  then show abstract-pre spre upre (s' ! i) (s' ! i)
    by (metis PRE-sequence-def abstract-pre-refl assms)
qed

```

```

lemma PRE-sequence-removes:
  assumes PRE-sequence spre upre s s
  shows PRE-sequence spre upre (remove-at-index n s) (remove-at-index n s)
  using assms
proof (induct n arbitrary: s)
  case 0
  then show ?case
    by (metis PRE-sequenceE-rec(1) nat.simps(3) remove-at-index.elims)
next
  case (Suc n)
  then show ?case
    apply (cases s)
    apply force
    by (metis PRE-sequenceE-rec(1) PRE-sequenceE-rec(2) PRE-sequenceI-rec remove-at-index.simps(3))
qed

```

```

lemma PRE-sequence-insert:
  assumes abstract-pre spre upre x x
    and PRE-sequence spre upre s s
  shows PRE-sequence spre upre (insert-at n x s) (insert-at n x s)
  using assms
proof (induct n arbitrary: s)
  case 0
  then show ?case
    by (simp add: PRE-sequenceI-rec)

```

```

next
  case (Suc n)
  then show ?case
    apply (cases s)
    apply (simp add: PRE-sequenceI-rec)
    by (metis PRE-sequenceE-rec(1) PRE-sequenceE-rec(2) PRE-sequenceI-rec in-
sert-at.simps(3))
qed

lemma empty-possible-sequence:
  assumes possible-sequence sargs uargs []
    and possible-sequence sargs uargs s'
  shows s' = []
proof (rule ccontr)
  assume s' ≠ []
  then obtain x q where s' = x # q
    by (meson neq-Nil-conv)
  then show False
proof (cases x)
  case (Shared x1)
  then show ?thesis
    by (metis ‹s' = x # q› assms(1) assms(2) exists-index-in-sequence-shared
less-zeroE list.size(3) possible-sequence-where-is-shared)
  next
  case (Unique k uarg)
  then have uargs k ≠ []
    by (metis (no-types, lifting) ‹s' = x # q› action.disc(2) action.sel(2) assms(2)
filter.simps(2) is-Unique-def is-Unique-i-def list.discI list.map-disc-iff possible-sequence-def)
  then show ?thesis
    by (metis assms(1) exists-index-in-sequence-unique less-nat-zero-code list.size(3))
qed
qed

lemma it-all-commutes:
  assumes possible-sequence sargs uargs s
    and possible-sequence sargs uargs s'
    and  $\alpha v0 = \alpha v0'$ 
    and PRE-sequence spre upre s s
    and PRE-sequence spre upre s' s'
    and all-axioms  $\alpha$  sact spre uact upre
  shows  $\alpha$  (compute sact uact v0 s) =  $\alpha$  (compute sact uact v0' s')
using assms
proof (induct size s arbitrary: sargs uargs s s')
  case 0
  then have s = []  $\wedge$  s' = []
    by (simp add: empty-possible-sequence)
  then show ?case
    by (simp add: 0.premis(1) 0.premis(2) assms(3))
next

```



```

case (Suc n)
moreover obtain  $x\ s1$  where  $s = x \# s1$ 
  by (meson Suc.hyps(2) Suc-length-conv)
then have abstract-pre spre upre x x
  using Suc.prem(4) PRE-sequenceE-rec(2) by blast
then show ?case
proof (cases x)
  case (Shared sarg)
  then have Shared sarg  $\in$  set s'
  by (metis Suc.prem(1) Suc.prem(2)  $\langle s = x \# s1 \rangle$  exists-index-in-sequence-shared
nth-mem possible-sequence-where-is-shared)
  then obtain  $k$  where  $k \geq 0 \wedge k < \text{length } s' \wedge s' ! k = x$ 
  by (metis Shared bot-nat-0.extremum in-set-conv-nth)

  let  $?s' = \text{remove-at-index } k\ s'$ 
  have  $\text{length } ?s' = \text{length } s' - 1$ 
  by (simp add:  $\langle 0 \leq k \wedge k < \text{length } s' \wedge s' ! k = x \rangle$  remove-at-index(1))
  moreover have  $k < \text{length } s'$ 
  by (simp add:  $\langle 0 \leq k \wedge k < \text{length } s' \wedge s' ! k = x \rangle$ )
  then have  $s' = \text{insert-at } k\ x\ ?s'$ 
  by (simp add:  $\langle 0 \leq k \wedge k < \text{length } s' \wedge s' ! k = x \rangle$  insert-remove-same-list)
  define  $i :: \text{nat}$  where  $i = k$ 
  have  $i \geq 0 \wedge i \leq k \implies \alpha$  (compute sact uact v0' (insert-at (k - i) x ?s')) =
 $\alpha$  (compute sact uact v0' s')
  proof (induct i)
  case 0
  then show ?case
  using  $\langle s' = \text{insert-at } k\ x\ (\text{remove-at-index } k\ s') \rangle$  by auto
next
  case (Suc i)
  then have  $\alpha$  (compute sact uact v0' (insert-at (k - i) x (remove-at-index k
s'))) =  $\alpha$  (compute sact uact v0' s')
  using Suc-leD by blast
  moreover have  $\alpha$  (compute sact uact v0' (insert-at (k - Suc i) x (remove-at-index
k s'))) =  $\alpha$  (compute sact uact v0' (insert-at (k - i) x (remove-at-index k s')))
  proof (rule swap-works)
  show  $\text{length } (\text{insert-at } (k - \text{Suc } i)\ x\ (\text{remove-at-index } k\ s')) = \text{length}$ 
 $(\text{insert-at } (k - i)\ x\ (\text{remove-at-index } k\ s'))$ 
  by (metis (no-types, lifting) Suc-pred'  $\langle 0 \leq k \wedge k < \text{length } s' \wedge s' ! k = x \rangle$  length
remove-at-index k s' = length s' - 1 diff-le-self insert-at-index(1)
less-Suc-eq-le order-le-less-trans)
  show PRE-sequence spre upre (insert-at (k - Suc i) x (remove-at-index k
s')) (insert-at (k - Suc i) x (remove-at-index k s'))
  proof -
  have PRE-sequence spre upre (remove-at-index k s') (remove-at-index k
s') using  $\langle$ PRE-sequence spre upre s' s' $\rangle$ 
  using PRE-sequence-removes by auto
  then show ?thesis using PRE-sequence-insert  $\langle$ abstract-pre spre upre x
 $\rangle$  by blast

```

**qed**  
**show**  $\alpha v0' = \alpha v0'$  **by** *simp*  
**let**  $?k = k - \text{Suc } i$

**show**  $?k < \text{length } (\text{insert-at } (k - \text{Suc } i) x (\text{remove-at-index } k s')) - 1$   
**using** *One-nat-def Suc.premS Suc-diff-Suc Suc-le-lessD*  $\langle k < \text{length } s' \rangle$   
 $\langle \text{length } (\text{remove-at-index } k s') = \text{length } s' - 1 \rangle$   
 $\langle s' = \text{insert-at } k x (\text{remove-at-index } k s') \rangle$  *diff-le-self diff-zero*  
 $\text{insert-at-index}(1)[\text{of } k - \text{Suc } i - x] \text{insert-at-index}(1)[\text{of } k - x]$  *less-Suc-eq-le*  
*order-le-less-trans*  
**by** *simp*  
**show**  $\text{insert-at } (k - \text{Suc } i) x (\text{remove-at-index } k s') ! ?k = \text{insert-at } (k - i) x (\text{remove-at-index } k s') ! (?k + 1)$   
**apply** (*cases k*)  
**using** *Suc.premS apply blast*  
**apply** (*cases ?k*)  
**apply** (*metis (no-types, lifting) Suc.premS Suc-eq-plus1 Suc-leI*  $\langle k - \text{Suc } i < \text{length } (\text{insert-at } (k - \text{Suc } i) x (\text{remove-at-index } k s')) - 1 \rangle$  *add-diff-cancel-right'* *diff-diff-cancel diff-zero insert-at-index(1) insert-at-index(3) le-numeral-extra(3) length-greater-0-conv list.size(3) nat-less-le plus-1-eq-Suc*)  
**proof** –  
**fix** *nat nata* **assume**  $r: k = \text{Suc } \text{nat } k - \text{Suc } i = \text{Suc } \text{nata}$   
**moreover** **have**  $\text{insert-at } (k - i) x (\text{remove-at-index } k s') ! (k - i) = x$   
**by** (*metis Suc-pred'*  $\langle k < \text{length } s' \rangle$   $\langle \text{length } (\text{remove-at-index } k s') = \text{length } s' - 1 \rangle$  *bot-nat-0.extremum diff-le-self insert-at-index(3) less-Suc-eq-le order-le-less-trans*)  
**moreover** **have**  $\bigwedge x. \text{insert-at } (k - \text{Suc } i) x (\text{remove-at-index } k s') ! (k - \text{Suc } i) = x$   
**by** (*metis Suc-leI Suc-le-mono Suc-pred'*  $\langle 0 \leq k \wedge k < \text{length } s' \wedge s' ! k = x \rangle$   $\langle \text{length } (\text{remove-at-index } k s') = \text{length } s' - 1 \rangle$  *bot-nat-0.extremum diff-le-self insert-at-index(3) order-le-less-trans*)  
**ultimately** **show** *?thesis*  
**by** (*metis Suc.premS Suc-diff-Suc Suc-eq-plus1 Suc-le-lessD*)  
**qed**  
**show**  $\text{insert-at } (k - i) x (\text{remove-at-index } k s') ! ?k = \text{insert-at } (k - \text{Suc } i) x (\text{remove-at-index } k s') ! (?k + 1)$   
**proof** –  
**have**  $\text{insert-at } (k - i) x (\text{remove-at-index } k s') ! (k - \text{Suc } i) = \text{remove-at-index } k s' ! (k - \text{Suc } i)$   
**by** (*metis (no-types, lifting) Suc.premS Suc-diff-Suc Suc-eq-plus1 Suc-leI Suc-le-lessD*  $\langle k < \text{length } s' \rangle$   $\langle \text{length } (\text{remove-at-index } k s') = \text{length } s' - 1 \rangle$  *add-leE insert-at-index(2) le-add-diff-inverse2 le-add-same-cancel2 lessI less-Suc-eq-le*)  
**moreover** **have**  $\text{length } (\text{insert-at } (k - \text{Suc } i) x (\text{remove-at-index } k s')) = \text{length } (\text{remove-at-index } k s') + 1$   
**by** (*metis Suc-eq-plus1*  $\langle 0 \leq k \wedge k < \text{length } s' \wedge s' ! k = x \rangle$   $\langle \text{length } (\text{remove-at-index } k s') = \text{length } s' - 1 \rangle$  *add-le-imp-le-diff insert-at-index(1) less-eq-Suc-le less-imp-diff-less*)  
**then** **have**  $\text{insert-at } (k - \text{Suc } i) x (\text{remove-at-index } k s') ! (k - \text{Suc } i + 1) = \text{remove-at-index } k s' ! (k - \text{Suc } i + 1 - 1)$

**by** (*metis*  $\langle k - \text{Suc } i < \text{length } (\text{insert-at } (k - \text{Suc } i) x (\text{remove-at-index } k s')) - 1 \rangle$  *add-diff-cancel-right'* *insert-at-index(4)* *less-add-one* *less-diff-conv* *less-imp-le-nat*)  
**ultimately show** *?thesis*  
**by** *simp*  
**qed**

**show**  $\neg (\exists k'. \text{is-Unique-i } k' (\text{insert-at } (k - \text{Suc } i) x (\text{remove-at-index } k s'))$   
 $! (k - \text{Suc } i)) \wedge$   
 $\text{is-Unique-i } k' (\text{insert-at } (k - \text{Suc } i) x (\text{remove-at-index } k s')) ! (k - \text{Suc } i$   
 $+ 1))$   
**by** (*metis* (*no-types*, *lifting*) *One-nat-def Shared Suc.prem*s *Suc-diff-Suc*  $\langle k$   
 $< \text{length } s' \rangle \langle \text{length } (\text{remove-at-index } k s') = \text{length } s' - 1 \rangle$  *action.disc(1)* *add-leE*  
*diff-zero* *insert-at-index(3)* *is-Unique-def* *is-Unique-i-def* *le-add-diff-inverse2* *le-add-same-cancel2*  
*less-Suc-eq-le* *order-le-less-trans*)

**show**  $\bigwedge j. 0 \leq j \wedge j < \text{length } (\text{insert-at } (k - \text{Suc } i) x (\text{remove-at-index } k$   
 $s')) \wedge j \neq ?k \wedge j \neq ?k + 1 \implies$   
 $\text{insert-at } (k - \text{Suc } i) x (\text{remove-at-index } k s') ! j = \text{insert-at } (k - i) x$   
 $(\text{remove-at-index } k s') ! j$

**proof** (*clarify*)  
**fix**  $j$  **assume**  $0 \leq j \wedge j < \text{length } (\text{insert-at } (k - \text{Suc } i) x (\text{remove-at-index } k$   
 $s')) \wedge j \neq k - \text{Suc } i \wedge j \neq k - \text{Suc } i + 1$

**moreover have**  $\text{length } (\text{insert-at } (k - \text{Suc } i) x (\text{remove-at-index } k s')) =$   
 $\text{length } (\text{remove-at-index } k s') + 1$

**by** (*metis* (*no-types*, *lifting*) *One-nat-def Suc.prem*s *Suc-diff-Suc*  $\langle k$   
 $< \text{length } s' \rangle \langle \text{length } (\text{remove-at-index } k s') = \text{length } s' - 1 \rangle$  *add-leE* *diff-zero* *in-*  
*sert-at-index(1)* *le-add-diff-inverse2* *less-Suc-eq-le* *order-le-less-trans*)

**moreover have**  $k - \text{Suc } i \leq \text{length } (\text{remove-at-index } k s')$

**using**  $\langle k - \text{Suc } i < \text{length } (\text{insert-at } (k - \text{Suc } i) x (\text{remove-at-index } k$   
 $s')) - 1 \rangle$  *calculation(5)* **by** *force*

**ultimately show**  $\text{insert-at } (k - \text{Suc } i) x (\text{remove-at-index } k s') ! j =$   
 $\text{insert-at } (k - i) x (\text{remove-at-index } k s') ! j$

**apply** (*cases*  $j < k - \text{Suc } i$ )

**using** *insert-at-index(2)*[*of*  $k - \text{Suc } i$  *remove-at-index*  $k s' j x$ ] *in-*  
*sert-at-index(2)*[*of*  $k - i$  *remove-at-index*  $k s' j x$ ]

**apply** (*metis* *Suc.prem*s *Suc-diff-le* *Suc-eq-plus1* *Suc-leI*  $\langle k - \text{Suc } i <$   
 $\text{length } (\text{insert-at } (k - \text{Suc } i) x (\text{remove-at-index } k s')) - 1 \rangle$  *diff-Suc-1* *diff-Suc-Suc*  
*less-Suc-eq*)

**by** (*simp* *add: insert-at-index(4)* *nat-neq-iff*)

**qed**

**show** *all-axioms*  $\alpha$  *sact* *spre* *uact* *upre*

**by** (*simp* *add: assms(6)*)

**qed**

**ultimately show** *?case*

**by** *presburger*

**qed**

**then have**  $\alpha (\text{compute } \text{sact } \text{uact } v0' (x \# ?s')) = \alpha (\text{compute } \text{sact } \text{uact } v0' s')$

**using** *i-def* **by** *force*

**moreover have**  $\alpha (\text{compute } \text{sact } \text{uact } v0 s1) = \alpha (\text{compute } \text{sact } \text{uact } v0' ?s')$

```

proof (rule Suc(1))
  show  $n = \text{length } s1$ 
    using Suc.hyps(2)  $\langle s = x \# s1 \rangle$  by auto
  show  $\alpha v0 = \alpha v0'$ 
    using assms(3) by auto
  show PRE-sequence spre upre s1 s1
    using PRE-sequenceE-rec(1) Suc.prem(4)  $\langle s = x \# s1 \rangle$  by blast
  show possible-sequence (sargs - {# sarg #}) uargs s1
  proof (rule possible-sequenceI)
    show  $\bigwedge i. \text{uargs } i = \text{map get-u (filter (is-Unique-i } i) s1)$ 
      by (metis (mono-tags, lifting) Shared Suc.hyps(2) Suc.prem(1)  $\langle s = x \# s1 \rangle$ 
        action.disc(1) filter-remove is-Unique-def is-Unique-i-def le-numeral-extra(3)
        nth-Cons-0 possible-sequence-def remove-at-index.simps(2) zero-less-Suc)
    show  $\text{sargs} - \{\# \text{sarg} \# \} = \text{image-mset get-s (filter-mset is-Shared (mset } s1))$ 
      using Shared Suc.prem(1)  $\langle s = x \# s1 \rangle$  action.disc(1)[of sarg] action.sel(1)[of sarg]
        add-mset-diff-bothsides diff-empty filter-mset-add-mset mset-map-invL mset.simps(2)
        possible-sequence-def[of sargs uargs s]
      by simp
    qed

  show possible-sequence (sargs - {# sarg #}) uargs (remove-at-index k s')
  proof (rule possible-sequenceI)
    show  $\bigwedge i. \text{uargs } i = \text{map get-u (filter (is-Unique-i } i) (\text{remove-at-index } k s'))$ 
      proof (rule list-ext)
        have  $\text{filter is-Unique (remove-at-index } k s') = \text{filter is-Unique } s'$ 
          by (simp add: Shared  $\langle 0 \leq k \wedge k < \text{length } s' \wedge s' ! k = x \rangle$  filter-remove
            is-Unique-def)
        then show  $\bigwedge i. \text{length (uargs } i) = \text{length (map get-u (filter (is-Unique-i } i) (\text{remove-at-index } k s')))$ 
          by (metis Shared Suc.prem(2)  $\langle 0 \leq k \wedge k < \text{length } s' \wedge s' ! k = x \rangle$ 
            action.disc(1) filter-remove is-Unique-def is-Unique-i-def possible-sequence-def)
        show  $\bigwedge i. ia. 0 \leq ia \wedge ia < \text{length (uargs } i) \implies \text{uargs } i ! ia = \text{map get-u (filter (is-Unique-i } i) (\text{remove-at-index } k s')) ! ia$ 
          by (metis Shared Suc.prem(2)  $\langle 0 \leq k \wedge k < \text{length } s' \wedge s' ! k = x \rangle$ 
            action.disc(1) filter-remove is-Unique-def is-Unique-i-def possible-sequence-def)
        qed
      have  $\text{sargs} = \text{image-mset get-s (filter-mset is-Shared (mset } s'))$ 
        using Suc.prem(2) possible-sequence-def by blast
      show  $\text{sargs} - \{\# \text{sarg} \# \} = \text{image-mset get-s (filter-mset is-Shared (mset } (\text{remove-at-index } k s')))$ 
        proof -
          have  $\text{mset } s' = \text{mset (remove-at-index } k s') + \{\# x \# \}$ 
            using  $\langle 0 \leq k \wedge k < \text{length } s' \wedge s' ! k = x \rangle$  mset-remove-index by blast
          then show ?thesis
            by (simp add: Shared  $\langle \text{sargs} = \text{image-mset get-s (filter-mset is-Shared (mset } s')) \rangle$ )
          qed

```

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qed
  show PRE-sequence spre upre (remove-at-index k s') (remove-at-index k s')
using Suc.prem5(5) PRE-sequence-removes by blast
  show all-axioms α sact spre uact upre by (simp add: assms(6))
qed
ultimately show ?thesis
  using ⟨all-axioms α sact spre uact upre⟩ PRE-sequenceE-rec(2) Shared
Suc.prem5(4) ⟨s = x # s1⟩ abstract-pre.simps(1) compute.simps(2) sabtract
  by fastforce
next
case (Unique ind uarg)
let ?uargs = uargs ind
have hd ?uargs = uarg
by (metis Unique ⟨s = x # s1⟩ calculation(3) possible-sequence-where-is-unique)
moreover have ?uargs ≠ []
  by (metis (no-types, opaque-lifting) Suc.prem5(1) Unique ⟨s = x # s1⟩ ac-
tion.disc(2) action.sel(2) filter.simps(2) is-Unique-def is-Unique-i-def list.distinct(1)
list.map-disc-iff possible-sequence-def)
ultimately have ?uargs = uarg # tl ?uargs
  by force
then obtain k where k ≥ 0 ∧ k < length s' ∧ s' ! k = x ∧ j. j ≥ 0 ∧ j < k
 $\implies \neg$  is-Unique-i ind (s' ! j)
  by (metis Suc.prem5(2) Unique ⟨hd (uargs ind) = uarg⟩ ⟨uargs ind ≠ []⟩
exists-index-in-sequence-unique)
let ?s' = remove-at-index k s'
have length ?s' = length s' - 1
  by (simp add: ⟨0 ≤ k ∧ k < length s' ∧ s' ! k = x⟩ remove-at-index(1))
moreover have k < length s'
  by (simp add: ⟨0 ≤ k ∧ k < length s' ∧ s' ! k = x⟩)
then have s' = insert-at k x ?s'
  by (simp add: ⟨0 ≤ k ∧ k < length s' ∧ s' ! k = x⟩ insert-remove-same-list)
define i :: nat where i = k
have i ≥ 0 ∧ i ≤ k  $\implies$  α (compute sact uact v0' (insert-at (k - i) x ?s')) =
 $\alpha$  (compute sact uact v0' s')
proof (induct i)
case 0
then show ?case
  using ⟨s' = insert-at k x (remove-at-index k s')⟩ by auto
next
case (Suc i)
then have  $\alpha$  (compute sact uact v0' (insert-at (k - i) x (remove-at-index k
s')) = α (compute sact uact v0' s')
  using Suc-leD by blast
moreover have  $\alpha$  (compute sact uact v0' (insert-at (k - Suc i) x (remove-at-index
k s')) = α (compute sact uact v0' (insert-at (k - i) x (remove-at-index k s')))
  proof (rule swap-works)
    show length (insert-at (k - Suc i) x (remove-at-index k s')) = length
(insert-at (k - i) x (remove-at-index k s'))
    by (metis (no-types, lifting) Suc-pred' ⟨0 ≤ k ∧ k < length s' ∧ s' ! k =

```

$x \rangle \langle \text{length (remove-at-index } k \text{ } s') = \text{length } s' - 1 \rangle \text{insert-at-index}(1) \text{ less-Suc-eq-le}$   
 $\text{less-imp-diff-less order-le-less-trans}$   
**show**  $\text{PRE-sequence spre upre (insert-at (} k - \text{Suc } i) \text{ } x \text{ (remove-at-index } k$   
 $s')) \text{ (insert-at (} k - \text{Suc } i) \text{ } x \text{ (remove-at-index } k \text{ } s'))$   
**proof** –  
**have**  $\text{PRE-sequence spre upre (remove-at-index } k \text{ } s') \text{ (remove-at-index } k \text{ } s')$   
**using**  $\langle \text{PRE-sequence spre upre } s' \text{ } s' \rangle$   
**by**  $(\text{simp add: PRE-sequence-removes})$   
**then show**  $?thesis$   
**using**  $\langle \text{abstract-pre spre upre } x \text{ } x \rangle \text{PRE-sequence-insert by blast}$   
**qed**

**show**  $\alpha \text{ } v0' = \alpha \text{ } v0'$  **by**  $\text{simp}$   
**let**  $?k = k - \text{Suc } i$

**show**  $?k < \text{length (insert-at (} k - \text{Suc } i) \text{ } x \text{ (remove-at-index } k \text{ } s')) - 1$   
**using**  $\text{One-nat-def Suc.premS Suc-diff-Suc Suc-le-lessD } \langle k < \text{length } s' \rangle$   
 $\langle \text{length (remove-at-index } k \text{ } s') = \text{length } s' - 1 \rangle \langle s' = \text{insert-at } k \text{ } x$   
 $(\text{remove-at-index } k \text{ } s') \rangle$   
 $\text{diff-le-self diff-zero insert-at-index}(1)[\text{of } k \text{ } \text{remove-at-index } k \text{ } s' \text{ } x] \text{in-}$   
 $\text{sert-at-index}(1)[\text{of } k - \text{Suc } i \text{ } \text{remove-at-index } k \text{ } s' \text{ } x]$   
 $\text{less-Suc-eq-le order-le-less-trans}$   
**by**  $\text{simp}$   
**show**  $\text{insert-at (} k - \text{Suc } i) \text{ } x \text{ (remove-at-index } k \text{ } s') ! ?k = \text{insert-at (} k -$   
 $i) \text{ } x \text{ (remove-at-index } k \text{ } s') ! (?k + 1)$   
**proof** –  
**have**  $\text{insert-at (} k - \text{Suc } i) \text{ } x \text{ (remove-at-index } k \text{ } s') ! (k - \text{Suc } i) = x$   
**by**  $(\text{metis Suc-pred' } \langle k < \text{length } s' \rangle \langle \text{length (remove-at-index } k \text{ } s')$   
 $= \text{length } s' - 1 \rangle \text{bot-nat-0.extremum diff-self-eq-0 insert-at-index}(3) \text{less-Suc-eq-le}$   
 $\text{less-imp-diff-less})$   
**moreover have**  $\text{insert-at (} k - i) \text{ } x \text{ (remove-at-index } k \text{ } s') ! (k - i) = x$   
**by**  $(\text{metis Suc-pred' } \langle k < \text{length } s' \rangle \langle \text{length (remove-at-index } k \text{ } s') = \text{length } s'$   
 $- 1 \rangle \text{bot-nat-0.extremum insert-at-index}(3) \text{less-Suc-eq-le less-imp-diff-less less-nat-zero-code}$   
 $\text{not-gr-zero})$   
**ultimately show**  $?thesis$   
**by**  $(\text{simp add: Suc.premS Suc-diff-Suc Suc-le-lessD})$   
**qed**

**have**  $\text{insert-at (} k - i) \text{ } x \text{ (remove-at-index } k \text{ } s') ! (k - \text{Suc } i) = \text{remove-at-index}$   
 $k \text{ } s' ! (k - \text{Suc } i)$   
**by**  $(\text{metis (no-types, lifting) Suc.premS Suc-diff-Suc Suc-eq-plus1 Suc-leI}$   
 $\text{Suc-le-lessD } \langle k < \text{length } s' \rangle \langle \text{length (remove-at-index } k \text{ } s') = \text{length } s' - 1 \rangle \text{add-leE}$   
 $\text{insert-at-index}(2) \text{le-add-diff-inverse2 le-add-same-cancel2 lessI less-Suc-eq-le})$   
**then**  
**show**  $\text{insert-at (} k - i) \text{ } x \text{ (remove-at-index } k \text{ } s') ! ?k = \text{insert-at (} k - \text{Suc}$   
 $i) \text{ } x \text{ (remove-at-index } k \text{ } s') ! (?k + 1)$   
**using**  $\text{One-nat-def Suc.premS Suc-diff-Suc } \langle k - \text{Suc } i < \text{length (insert-at}$   
 $(k - \text{Suc } i) \text{ } x \text{ (remove-at-index } k \text{ } s')) - 1 \rangle$   
 $\langle k < \text{length } s' \rangle \langle \text{length (remove-at-index } k \text{ } s') = \text{length } s' - 1 \rangle$

*add-diff-cancel-right'*  
*add-leE diff-zero insert-at-index(1)[of k - Suc i remove-at-index k s' x]*  
*insert-at-index(4)[of k - Suc i remove-at-index k s']*  
*le-add-diff-inverse2 less-Suc-eq-le*  
*less-add-same-cancel1 less-diff-conv order-le-less-trans zero-less-one*  
**by simp**

**have** *insert-at (k - Suc i) x (remove-at-index k s') ! (k - Suc i + 1) =*  
*remove-at-index k s' ! (k - Suc i + 1 - 1)*  
**using** *⟨insert-at (k - i) x (remove-at-index k s') ! (k - Suc i) = insert-at*  
*(k - Suc i) x (remove-at-index k s') ! (k - Suc i + 1)⟩ ⟨insert-at (k - i) x*  
*(remove-at-index k s') ! (k - Suc i) = remove-at-index k s' ! (k - Suc i)⟩* **by auto**  
**then have**  $\neg$  *is-Unique-i ind (insert-at (k - Suc i) x (remove-at-index k s')*  
*! (?k + 1))*  
**by** (*metis One-nat-def Suc.premS Suc-le-lessD ⟨ $\bigwedge j. 0 \leq j \wedge j < k \implies \neg$*   
*is-Unique-i ind (s' ! j)⟩ ⟨k < length s'⟩* *add-diff-cancel-right' add-leE diff-Suc-less*  
*le-add2 le-add-same-cancel2 plus-1-eq-Suc remove-at-index(2)*)  
**then show**  $\neg$  ( $\exists k'. is-Unique-i k' (insert-at (k - Suc i) x (remove-at-index$   
*k s') ! (k - Suc i)) \wedge*  
*is-Unique-i k' (insert-at (k - Suc i) x (remove-at-index k s') ! (k - Suc i*  
*+ 1))*)  
**by** (*metis (no-types, lifting) One-nat-def Suc.premS Suc-diff-Suc Unique ⟨k*  
*< length s'⟩ ⟨length (remove-at-index k s') = length s' - 1⟩* *action.sel(2) diff-zero*  
*insert-at-index(3) is-Unique-i-def le-add2 le-add-diff-inverse le-add-same-cancel2*  
*less-Suc-eq-le order-le-less-trans*)  
**show**  $\bigwedge j. 0 \leq j \wedge j < length (insert-at (k - Suc i) x (remove-at-index k$   
*s')) \wedge j \neq ?k \wedge j \neq ?k + 1 \implies*  
*insert-at (k - Suc i) x (remove-at-index k s') ! j = insert-at (k - i) x*  
*(remove-at-index k s') ! j*  
**proof -**  
**fix j assume**  $0 \leq j \wedge j < length (insert-at (k - Suc i) x (remove-at-index$   
*k s')) \wedge j \neq ?k \wedge j \neq ?k + 1*  
**moreover have**  $k - Suc i \leq length (remove-at-index k s')$   
**using**  $\langle 0 \leq k \wedge k < length s' \wedge s' ! k = x \rangle \langle length (remove-at-index k$   
*s') = length s' - 1 \rangle* **by force**  
**moreover have**  $k - i \leq length (remove-at-index k s')$   
**using**  $\langle k < length s' \rangle \langle length (remove-at-index k s') = length s' - 1 \rangle$  **by**  
*linarith*  
**then show** *insert-at (k - Suc i) x (remove-at-index k s') ! j = insert-at*  
*(k - i) x (remove-at-index k s') ! j*  
**apply** (*cases j < k - i*)  
**apply** (*metis Suc.premS Suc-diff-Suc Suc-le-lessD calculation(1) calcu-*  
*lation(2) insert-at-index(2) less-Suc-eq*)  
**by** (*metis Suc.premS Suc-diff-Suc Suc-eq-plus1 Suc-le-lessD calcula-*  
*tion(1) calculation(2) insert-at-index(1) insert-at-index(4) linorder-le-less-linear*  
*linorder-neqE-nat*)  
**qed**  
**show** *all-axioms  $\alpha$  sact spre uact upre* **by** (*simp add: assms(6)*)  
**qed**

```

ultimately show ?case
  by presburger
qed
then have  $\alpha$  (compute sact uact v0' (x # ?s')) =  $\alpha$  (compute sact uact v0' s')
  using i-def by force
moreover have  $\alpha$  (compute sact uact v0 s1) =  $\alpha$  (compute sact uact v0' ?s')
proof (rule Suc(1))
  show all-axioms  $\alpha$  sact spre uact upre by (simp add: assms(6))
  show  $n = \text{length } s1$ 
    using Suc.hyps(2)  $\langle s = x \# s1 \rangle$  by auto
  show  $\alpha v0 = \alpha v0'$ 
    using assms(3) by auto
  show PRE-sequence spre upre s1 s1
    using Suc.prem(4)  $\langle s = x \# s1 \rangle$  PRE-sequenceE-rec(1) by blast
  show possible-sequence sargs (tail-kth uargs ind) s1
proof (rule possible-sequenceI)
  show  $\bigwedge i. \text{tail-kth uargs ind } i = \text{map get-u (filter (is-Unique-i } i) s1)$ 
  proof -
    fix i show tail-kth uargs ind i = map get-u (filter (is-Unique-i } i) s1)
    proof (cases i = ind)
      case True
      then have tail-kth uargs ind i = tl ?uargs
        by (simp add: tail-kth-def)
      then show ?thesis using exists-index-in-sequence-unique[of uarg uargs
ind sargs s]
        by (metis Suc.prem(1) Unique  $\langle \text{hd (uargs ind) = uarg} \rangle \langle s = x \# s1 \rangle \langle \text{uargs ind} \neq [] \rangle$  action.disc(2) action.sel(2) is-Unique-def is-Unique-i-def
le-eq-less-or-eq nth-Cons-0 possible-sequence-def remove-at-index.simps(2))
    next
      case False
      then show ?thesis
        using Suc.hyps(2) Suc.prem(1) Unique  $\langle s = x \# s1 \rangle$  action.sel(2)
filter-remove
        fun-upd-apply is-Unique-i-def le-numeral-extra(3) nth-Cons-0[of x s1]
possible-sequence-def[of sargs uargs s]
        remove-at-index.simps(2)[of x s1] tail-kth-def zero-less-Suc
        by metis
    qed
  qed
  show sargs = image-mset get-s (filter-mset is-Shared (mset s1))
  by (metis Suc.prem(1) Unique  $\langle s = x \# s1 \rangle$  action.disc(2) filter-mset-add-mset
mset.simps(2) possible-sequence-def)
  qed
  show possible-sequence sargs (tail-kth uargs ind) (remove-at-index k s')
  proof (rule possible-sequenceI)
  show  $\bigwedge i. \text{tail-kth uargs ind } i = \text{map get-u (filter (is-Unique-i } i) (\text{remove-at-index } k s'))$ 
    using Suc.prem(1) Suc.prem(2) Unique  $\langle 0 \leq k \wedge k < \text{length } s' \wedge s' ! k = x \rangle \langle \bigwedge j. 0 \leq j \wedge j < k \implies \neg \text{is-Unique-i ind } (s' ! j) \rangle$ 

```



```

    ⟨possible-sequence sargs (tail-kth uargs ind) s1⟩ ⟨s = x # s1⟩ action.sel(2)
  filter.simps(2)
    filter-remove fun-upd-same is-Unique-i-def possible-sequence-def[of sargs
tail-kth uargs ind s1]
    possible-sequence-def[of sargs uargs s] possible-sequence-def[of sargs uargs
s']
    remove-at-index-filter tail-kth-def
  by metis
  show sargs = image-mset get-s (filter-mset is-Shared (mset (remove-at-index
k s')))
    by (metis Suc.prem(2) Unique ⟨0 ≤ k ∧ k < length s' ∧ s' ! k = x⟩
action.disc(2) filter-remove mset-filter possible-sequence-def)
  qed
  show PRE-sequence spre upre (remove-at-index k s') (remove-at-index k s')
    using PRE-sequence-removes Suc.prem(5) by auto
  qed
  ultimately show ?thesis
    using Unique ⟨abstract-pre spre upre x x⟩ ⟨s = x # s1⟩ abstract-pre.simps(2)[of
]
    assms(6) compute.simps(3)[of sact uact] uabstract[of α sact spre uact upre ]
    by metis
  qed
qed

```

**lemma** *PRE-sequence-same-abstract:*

```

  assumes PRE-sequence spre upre s'
    and α v0 = α v0'
    and all-axioms α sact spre uact upre
  shows α (compute sact uact v0 s) = α (compute sact uact v0' s')
  using assms
proof (induct s' arbitrary: s v0 v0')
  case Nil
  then show ?case
    by (simp add: PRE-sequence-def)
next
  case (Cons act' s')
  then show ?case
proof (cases act')
  case (Shared sarg')
  then obtain sarg s0 where s = Shared sarg # s0 spre sarg sarg' PRE-sequence
spre upre s0 s'
    by (metis Cons.prem(1) PRE-sequenceE-rec(1) PRE-sequenceE-rec(2) PRE-sequence-def
abstract-pre.simps(1) abstract-pre.simps(3) action.exhaust length-0-conv neq-Nil-conv)
  then show ?thesis
    using Cons.hyps Cons.prem(2) Cons.prem(3) Shared sabstract by fastforce
  next
  case (Unique k uarg')
  then obtain uarg s0 where s = Unique k uarg # s0 upre k uarg uarg'
PRE-sequence spre upre s0 s'

```

**by** (*metis Cons.premis(1) PRE-sequenceE-rec(1) PRE-sequenceE-rec(2) PRE-sequence-def abstract-pre.simps(2) abstract-pre.simps(4) action.exhaust length-0-conv neq-Nil-conv*)  
**then show** *?thesis*  
**using** *Cons.hyps Cons.premis(2) Unique assms(3) uabstract by fastforce*  
**qed**  
**qed**

**lemma** *simple-possible-PRE-seq:*

**assumes** *possible-sequence sargs uargs s*  
**and** *possible-sequence sargs' uargs' s'*  
**and** *PRE-shared spre sargs sargs'*  
**and**  $\bigwedge k. \text{PRE-unique } (\text{upre } k) (\text{uargs } k) (\text{uargs' } k)$   
**and** *all-axioms  $\alpha$  sact spre uact upre*  
**shows** *PRE-sequence spre upre s' s'*  
**proof** (*rule PRE-sequenceI*)  
**show** *length s' = length s' by simp*  
**fix** *i assume*  $0 \leq i \wedge i < \text{length } s'$   
**then show** *abstract-pre spre upre (s' ! i) (s' ! i)*  
**proof** (*cases s' ! i*)  
**case** (*Shared sarg'*)  
**then have** *Shared sarg'  $\in \#$  filter-mset is-Shared (mset s')*  
**using**  $\langle 0 \leq i \wedge i < \text{length } s' \rangle \text{nth-mem-mset}$  **by** *fastforce*  
**then have** *sarg'  $\in \#$  sargs'*  
**by** (*metis (mono-tags, lifting) action.sel(1) assms(2) imageI possible-sequence-def set-image-mset*)  
**moreover obtain** *ms where image-mset fst ms = sargs  $\wedge$  image-mset snd ms = sargs'  $\wedge$  ( $\forall x \in \# \text{ms. spre (fst } x) (\text{snd } x)$ )*  
**using** *PRE-shared-def assms(3) by blast*  
**then obtain** *x where x  $\in \#$  ms snd x = sarg'*  
**using** *calculation by fastforce*  
**then show** *?thesis*  
**using** *Shared  $\langle \text{image-mset fst ms = sargs} \wedge \text{image-mset snd ms = sargs'} \wedge (\forall x \in \# \text{ms. spre (fst } x) (\text{snd } x)) \rangle \text{spre-refl}$*   
**by** (*metis abstract-pre.simps(1) assms(5)*)  
**next**  
**case** (*Unique k uarg'*)  
**then have** *Unique k uarg'  $\in$  set (filter is-Unique s')*  
**by** (*metis  $\langle 0 \leq i \wedge i < \text{length } s' \rangle \text{is-Unique-def action.disc(2) filter-set member-filter nth-mem}$* )  
**then have** *uarg'  $\in$  set (map get-u (filter (is-Unique-i k) s'))*  
**by** (*metis (no-types, lifting) action.sel(2) action.sel(3) filter-set image-eqI is-Unique-i-def list.set-map member-filter*)  
**then obtain** *i where  $i \geq 0 \wedge i < \text{length } (\text{uargs' } k) \wedge \text{uarg' = (uargs' } k) ! i$*   
**by** (*metis assms(2) gr-implies-not-zero in-set-conv-nth linorder-le-less-linear possible-sequence-def*)  
**then have** *upre k ((uargs k) ! i) ((uargs' k) ! i)*  
**using** *PRE-unique-def assms(4) by blast*  
**then show** *?thesis*  
**using** *Unique  $\langle 0 \leq i \wedge i < \text{length } (\text{uargs' } k) \wedge \text{uarg' = (uargs' } k) ! i \rangle \text{assms(5)}$*

*upre-refl* **by** *fastforce*

**qed**  
**qed**

**lemma** *main-lemma*:

**assumes** *possible-sequence sargs uargs s*  
**and** *possible-sequence sargs' uargs' s'*

**and** *PRE-shared spre sargs sargs'*  
**and**  $\bigwedge k. \text{PRE-unique } (\text{upre } k) (\text{uargs } k) (\text{uargs' } k)$

**and**  $\alpha \ v0 = \alpha \ v0'$   
**and** *all-axioms  $\alpha$  sact spre uact upre*

**shows**  $\alpha (\text{compute sact uact } v0 \ s) = \alpha (\text{compute sact uact } v0' \ s')$

**proof** –

**obtain**  $s''$  **where** *possible-sequence sargs' uargs' s''  $\wedge$  PRE-sequence spre upre s s''*

**using** *assms(1) assms(2) assms(3) assms(4) exists-aligned-sequence* **by** *blast*  
**have**  $\alpha (\text{compute sact uact } v0' \ s'') = \alpha (\text{compute sact uact } v0' \ s')$

**proof** (*rule it-all-commutes*)

**show** *possible-sequence sargs' uargs' s''*

**by** (*simp add:  $\langle$ possible-sequence sargs' uargs' s''  $\wedge$  PRE-sequence spre upre s s'' $\rangle$* )

**show** *possible-sequence sargs' uargs' s'*

**by** (*simp add: assms(2)*)

**show**  $\alpha \ v0' = \alpha \ v0'$

**by** *simp*

**show** *PRE-sequence spre upre s'' s''*

**using**  $\langle$ *possible-sequence sargs' uargs' s''  $\wedge$  PRE-sequence spre upre s s''* $\rangle$

*PRE-sequence-refl assms(6)* **by** *blast*

**show** *PRE-sequence spre upre s' s'*

**using** *simple-possible-PRE-seq assms(1) assms(2) assms(3) assms(4) assms(6)*

**by** *blast*

**show** *all-axioms  $\alpha$  sact spre uact upre*

**using** *assms(6)* **by** *auto*

**qed**

**moreover** **have**  $\alpha (\text{compute sact uact } v0' \ s'') = \alpha (\text{compute sact uact } v0 \ s)$

**using** *PRE-sequence-same-abstract  $\langle$ possible-sequence sargs' uargs' s''  $\wedge$  PRE-sequence spre upre s s'' $\rangle$  assms(1) assms(5) assms(6)* **by** *metis*

**ultimately show** *?thesis*

**by** *auto*

**qed**

The following inductive predicate captures all possible final values that can be reached with some interleaving of the actions described a multiset and a family of sequences of actions.

**inductive** *reachable-value* ::  $(\ 'v \Rightarrow \ 'a \Rightarrow \ 'v) \Rightarrow (\ 'i \Rightarrow \ 'v \Rightarrow \ 'b \Rightarrow \ 'v) \Rightarrow \ 'v \Rightarrow \ 'a$   
*multiset*  $\Rightarrow (\ 'i \Rightarrow \ 'b \ \text{list}) \Rightarrow \ 'v \Rightarrow \ \text{bool}$  **where**

*Self*: reachable-value *sact uact v0* {#} ( $\lambda k. []$ ) *v0*  
| *SharedStep*: reachable-value *sact uact v0 sargs uargs v1*  $\implies$  reachable-value *sact uact v0 (sargs + {# sarg #}) uargs (sact v1 sarg)*  
| *UniqueStep*: reachable-value *sact uact v0 sargs uargs v1*  $\implies$  reachable-value *sact uact v0 sargs (uargs(k := uarg # uargs k)) (uact k v1 uarg)*

**lemma** *reachable-then-possible-sequence-and-compute*:  
**assumes** *reachable-value sact uact v0 sargs uargs v1*  
**shows**  $\exists s. \text{possible-sequence } sargs \text{ uargs } s \wedge v1 = \text{compute } sact \text{ uact } v0 \ s$   
**using** *assms*  
**proof** (*induct rule: reachable-value.induct*)  
**case** (*Self sact uact v0*)  
**have** *possible-sequence* {#} ( $\lambda k. []$ ) []  $\wedge v0 = \text{compute } sact \text{ uact } v0$  []  
**by** (*simp add: possible-sequenceI*)  
**then show** ?*case* **by** *blast*  
**next**  
**case** (*SharedStep sact uact v0 sargs uargs v1 sarg*)  
**then obtain** *s* **where** *possible-sequence sargs uargs s*  $\wedge v1 = \text{compute } sact \text{ uact } v0 \ s$  **by** *blast*  
**let** ?*s* = *Shared sarg # s*  
**have** *possible-sequence (sargs + {#sarg#}) uargs ?s*  
**proof** (*rule possible-sequenceI*)  
**show**  $\bigwedge i. uargs \ i = \text{map } get\text{-u } (filter \ (is\text{-Unique}\text{-}i \ i) \ (Shared \ sarg \ \# \ s))$   
**by** (*metis*  $\langle \text{possible-sequence } sargs \text{ uargs } s \wedge v1 = \text{compute } sact \text{ uact } v0 \ s \rangle$   
*action.disc(1) filter.simps(2) is-Unique-def is-Unique-i-def possible-sequence-def*)  
**show**  $sargs + \{ \#sarg \# \} = \text{image-mset } get\text{-s } (filter\text{-mset } is\text{-Shared } (mset \ (Shared \ sarg \ \# \ s)))$   
**using**  $\langle \text{possible-sequence } sargs \text{ uargs } s \wedge v1 = \text{compute } sact \text{ uact } v0 \ s \rangle$  *possible-sequence-def* **by** *auto*  
**qed**  
**then show** ?*case*  
**using**  $\langle \text{possible-sequence } sargs \text{ uargs } s \wedge v1 = \text{compute } sact \text{ uact } v0 \ s \rangle$  **by** *auto*  
**next**  
**case** (*UniqueStep sact uact v0 sargs uargs v1 k uarg*)  
**then obtain** *s* **where** *possible-sequence sargs uargs s*  $\wedge v1 = \text{compute } sact \text{ uact } v0 \ s$  **by** *blast*  
**let** ?*s* = *Unique k uarg # s*  
**have** *possible-sequence sargs (uargs(k := uarg # uargs k)) ?s*  
**proof** (*rule possible-sequenceI*)  
**show**  $\bigwedge i. (uargs(k := uarg \ \# \ uargs \ k)) \ i = \text{map } get\text{-u } (filter \ (is\text{-Unique}\text{-}i \ i) \ (Unique \ k \ uarg \ \# \ s))$   
**proof** –  
**fix** *i* **show**  $(uargs(k := uarg \ \# \ uargs \ k)) \ i = \text{map } get\text{-u } (filter \ (is\text{-Unique}\text{-}i \ i) \ (Unique \ k \ uarg \ \# \ s))$   
**proof** (*cases i = k*)  
**case** *True*  
**then show** ?*thesis*  
**using** *Cons-eq-map-conv*  $\langle \text{possible-sequence } sargs \text{ uargs } s \wedge v1 = \text{compute } sact \text{ uact } v0 \ s \rangle$

```

      action.disc(2) action.sel(2) action.sel(3) filter.simps(2) fun-upd-same
is-Unique-def
      is-Unique-i-def possible-sequence-def[of sargs uargs s]
      by fastforce
    next
    case False
    then show ?thesis
      by (metis ⟨possible-sequence sargs uargs s ∧ v1 = compute sact uact v0 s⟩
action.sel(2) filter.simps(2) fun-upd-other is-Unique-i-def possible-sequence-def)
    qed
  qed
  show sargs = image-mset get-s (filter-mset is-Shared (mset (Unique k uarg #
s)))
  using ⟨possible-sequence sargs uargs s ∧ v1 = compute sact uact v0 s⟩ possi-
ble-sequence-def by force
  qed
  then show ?case using ⟨possible-sequence sargs uargs s ∧ v1 = compute sact
uact v0 s⟩
  by (metis compute.simps(3))
qed

```

**lemma** *PRE-shared-simpler-implies:*

```

  assumes PRE-shared-simpler spre a b
  shows PRE-shared spre a b
  using assms
proof (induct rule: PRE-shared-simpler.induct)
  case (Empty spre)
  then show ?case
    by (simp add: PRE-shared-def)
  next
  case (Step spre a b xa xb)
  then obtain ms where image-mset fst ms = a ∧ image-mset snd ms = b ∧
(∀ x∈#ms. spre (fst x) (snd x))
  by (metis PRE-shared-def)
  then have image-mset fst (ms + {# (xa, xb) #}) = (a + {#xa#}) ∧ image-mset
snd (ms + {# (xa, xb) #}) = (b + {#xb#}) ∧ (∀ x∈#(ms + {# (xa, xb) #}).
spre (fst x) (snd x))
  using Step.hyps(3) by auto
  then show ?case using PRE-shared-def by blast
qed

```

The following theorem corresponds to Lemma 4.2 in the paper.

**theorem** *main-result:*

```

  assumes reachable-value sact uact v0 sargs uargs v
  and reachable-value sact uact v0' sargs' uargs' v'
  and PRE-shared-simpler spre sargs sargs'
  and ∧k. PRE-unique (upre k) (uargs k) (uargs' k)
  and  $\alpha v0 = \alpha v0'$ 
  and all-axioms  $\alpha$  sact spre uact upre

```

```

    shows  $\alpha v = \alpha v'$ 
  proof -
    obtain  $s s'$  where possible-sequence  $sargs uargs s \wedge v = compute\ sact\ uact\ v0\ s$ 
    possible-sequence  $sargs' uargs' s' \wedge v' = compute\ sact\ uact\ v0'\ s'$ 
    using  $assms(1)\ assms(2)$  reachable-then-possible-sequence-and-compute
    by metis
    then show ?thesis
    by (meson PRE-shared-simpler-implies  $assms(3)\ assms(4)\ assms(5)\ assms(6)$ 
    main-lemma)
  qed

end

```

## 4.2 Consistency

In this file, we define several notions and prove many lemmas about guard states, which are useful to prove that the rules of the logic are sound.

```

theory Guards
  imports StateModel CommCSL AbstractCommutativity
begin

```

A state is "consistent" iff: 1. All its permissions are full 2. Has unique guards iff has shared guard 3. The values in the fractional heaps are "reachable" wrt to the sequence and multiset of actions 4. Has exactly guards for the names in "scope"

```

definition reachable :: ('i, 'a, 'v) single-context  $\Rightarrow$  'v  $\Rightarrow$  ('i, 'a) heap  $\Rightarrow$  bool where
  reachable  $scont\ v0\ h \iff (\forall sargs\ uargs. get-gs\ h = Some\ (pwrite,\ sargs) \wedge (\forall k. get-gu\ h\ k = Some\ (uargs\ k))$ 
 $\longrightarrow reachable-value\ (saction\ scont)\ (uaction\ scont)\ v0\ sargs\ uargs\ (view\ scont\ (normalize\ (get-fh\ h))))$ 

```

**lemma** reachableI:

```

  assumes  $\bigwedge sargs\ uargs. get-gs\ h = Some\ (pwrite,\ sargs) \wedge (\forall k. get-gu\ h\ k = Some\ (uargs\ k))$ 
 $\implies reachable-value\ (saction\ scont)\ (uaction\ scont)\ v0\ sargs\ uargs\ (view\ scont\ (normalize\ (get-fh\ h)))$ 
  shows reachable  $scont\ v0\ h$ 
  by (metis  $assms\ reachable-def$ )

```

**lemma** reachableE:

```

  assumes reachable  $scont\ v0\ h$ 
  and  $get-gs\ h = Some\ (pwrite,\ sargs)$ 
  and  $\bigwedge k. get-gu\ h\ k = Some\ (uargs\ k)$ 
  shows reachable-value  $(saction\ scont)\ (uaction\ scont)\ v0\ sargs\ uargs\ (view\ scont\ (normalize\ (get-fh\ h)))$ 
  by (meson  $assms\ reachable-def$ )

```

```

definition all-guards :: ('i, 'a) heap  $\Rightarrow$  bool where

```

$all\_guards\ h \longleftrightarrow (\exists v. get\_gs\ h = Some\ (pwrite, v)) \wedge (\forall k. get\_gu\ h\ k \neq None)$

**lemma** *no-guardI*:

**assumes**  $get\_gs\ h = None$   
**and**  $\bigwedge k. get\_gu\ h\ k = None$   
**shows**  $no\_guard\ h$   
**using**  $assms(1)\ assms(2)\ no\_guard\_def$  **by** *blast*

**definition** *semi-consistent* ::  $(i, a, v)\ single\_context \Rightarrow v \Rightarrow (i, a)\ heap \Rightarrow bool$   
**where**

$semi\_consistent\ \Gamma\ v0\ h \longleftrightarrow all\_guards\ h \wedge reachable\ \Gamma\ v0\ h$

**lemma** *semi-consistentE*:

**assumes**  $semi\_consistent\ \Gamma\ v0\ h$   
**shows**  $\exists sargs\ uargs. get\_gs\ h = Some\ (pwrite, sargs) \wedge (\forall k. get\_gu\ h\ k = Some\ (uargs\ k))$   
 $\wedge reachable\_value\ (saction\ \Gamma)\ (uaction\ \Gamma)\ v0\ sargs\ uargs\ (view\ \Gamma\ (normalize\ (get\_fh\ h)))$

**proof** –

**let**  $?uargs = \lambda k. (SOME\ x. get\_gu\ h\ k = Some\ x)$   
**have**  $\bigwedge k. get\_gu\ h\ k = Some\ (?uargs\ k)$

**proof** –

**fix**  $k$  **have**  $\exists x. get\_gu\ h\ k = Some\ x$   
**by**  $(meson\ all\_guards\_def\ assms\ option.exhaust\_sel\ semi\_consistent\_def)$   
**then show**  $get\_gu\ h\ k = Some\ (?uargs\ k)$   
**by** *fastforce*

**qed**

**moreover obtain**  $sargs$  **where**  $get\_gs\ h = Some\ (pwrite, sargs)$

**by**  $(meson\ all\_guards\_def\ assms\ semi\_consistent\_def)$

**ultimately have**  $reachable\_value\ (saction\ \Gamma)\ (uaction\ \Gamma)\ v0\ sargs\ ?uargs\ (view\ \Gamma\ (normalize\ (get\_fh\ h)))$

**by**  $(meson\ assms\ reachableE\ semi\_consistent\_def)$

**then show** *?thesis*

**using**  $\langle \bigwedge k. get\_gu\ h\ k = Some\ (SOME\ x. get\_gu\ h\ k = Some\ x) \rangle \langle get\_gs\ h = Some\ (pwrite, sargs) \rangle$  **by** *fastforce*

**qed**

**lemma** *semi-consistentI*:

**assumes**  $all\_guards\ h$   
**and**  $reachable\ \Gamma\ v0\ h$   
**shows**  $semi\_consistent\ \Gamma\ v0\ h$   
**by**  $(simp\ add: assms(1)\ assms(2)\ semi\_consistent\_def)$

**lemma** *no-guard-then-smaller-same*:

**assumes**  $Some\ h = Some\ a \oplus Some\ b$   
**and**  $no\_guard\ h$   
**shows**  $no\_guard\ a$

**proof**  $(rule\ no\_guardI)$

**show**  $get\_gs\ a = None$

```

    by (metis add-gs.elims assms(1) assms(2) no-guard-def option.simps(3) plus-extract(2))
  fix k
  have get-gu h k = None
    by (meson assms(2) no-guard-def)
  then show get-gu a k = None
    by (metis assms(1) full-uguard-sum-same option.exhaust)
qed

```

```

lemma all-guardsI:
  assumes  $\bigwedge k. \text{get-gu } h \ k \neq \text{None}$ 
    and  $\exists v. \text{get-gs } h = \text{Some } (pwrite, v)$ 
  shows all-guards h
  using all-guards-def assms(1) assms(2) by blast

```

```

lemma all-guards-same:
  assumes all-guards a
    and  $\text{Some } h = \text{Some } a \oplus \text{Some } b$ 
  shows all-guards h
proof (rule all-guardsI)
  show  $\exists v. \text{get-gs } h = \text{Some } (pwrite, v)$ 
    using all-guards-def assms(1) assms(2) full-sguard-sum-same by blast
  fix k have get-gu a k  $\neq$  None
    by (meson all-guards-def assms(1))
  then show get-gu h k  $\neq$  None
    apply (cases get-gu b k)
    apply (metis assms(2) full-uguard-sum-same not-Some-eq)
    by (metis assms(2) full-uguard-sum-same option.discI plus-comm)
qed

```

```

definition empty-unique where
  empty-unique - = None

```

```

definition remove-guards :: ('i, 'a) heap  $\Rightarrow$  ('i, 'a) heap where
  remove-guards h = (get-fh h, None, empty-unique)

```

```

lemma remove-guards-smaller:
  h  $\succeq$  remove-guards h
proof -
  have remove-guards h  $\#\#$  (Map.empty, get-gs h, get-gu h)
  proof (rule compatibleI)
    show compatible-fract-heaps (get-fh (remove-guards h)) (get-fh (Map.empty,
    get-gs h, get-gu h))
    using compatible-fract-heapsI by force
    show  $\bigwedge k. \text{get-gu } (\text{remove-guards } h) \ k = \text{None} \vee \text{get-gu } (\text{Map.empty}, \text{get-gs } h,
    \text{get-gu } h) \ k = \text{None}$ 
    by (simp add: empty-unique-def remove-guards-def)
    show  $\bigwedge p \ p'. \text{get-gs } (\text{remove-guards } h) = \text{Some } p \wedge \text{get-gs } (\text{Map.empty}, \text{get-gs }
    h, \text{get-gu } h) = \text{Some } p' \implies \text{pgte } pwrite \ (\text{padd } (\text{fst } p) \ (\text{fst } p'))$ 
    by (simp add: remove-guards-def)

```



**qed**  
**then obtain**  $x$  **where**  $\text{Some } x = \text{Some } (\text{remove-guards } h) \oplus \text{Some } (\text{Map.empty}, \text{get-gs } h, \text{get-gu } h)$   
**by** *auto*  
**moreover have**  $x = h$   
**proof** (*rule heap-ext*)  
**show**  $\text{get-fh } x = \text{get-fh } h$   
**by** (*metis add-fh-map-empty add-get-fh calculation fst-conv get-fh.elims remove-guards-def*)  
**show**  $\text{get-gs } x = \text{get-gs } h$   
**by** (*metis calculation fst-eqD get-gs.elims plus-comm remove-guards-def snd-eqD sum-gs-one-none*)  
**show**  $\text{get-gu } x = \text{get-gu } h$   
**proof** (*rule ext*)  
**fix**  $k$   
**have**  $\text{get-gu } (\text{remove-guards } h) k = \text{None}$   
**by** (*simp add: empty-unique-def remove-guards-def*)  
**then show**  $\text{get-gu } x k = \text{get-gu } h k$   
**by** (*metis (mono-tags, lifting) add-gu-def add-gu-single.simps(1) calculation get-gu.elims plus-extract(3) snd-eqD*)  
**qed**  
**qed**  
**ultimately show** *?thesis*  
**using** *larger-def* **by** *blast*  
**qed**

**lemma** *no-guard-remove*:  
**assumes**  $\text{Some } a = \text{Some } b \oplus \text{Some } c$   
**and** *no-guard c*  
**shows**  $\text{get-gs } a = \text{get-gs } b$   
**and**  $\text{get-gu } a = \text{get-gu } b$   
**using** *assms(1) assms(2) no-guard-def sum-gs-one-none* **apply** *blast*  
**proof** (*rule ext*)  
**fix**  $k$   
**have**  $\text{get-gu } c k = \text{None}$   
**by** (*meson assms(2) no-guard-def*)  
**then show**  $\text{get-gu } a k = \text{get-gu } b k$   
**by** (*metis (no-types, lifting) add-gu-def add-gu-single.simps(1) assms(1) plus-comm plus-extract(3)*)  
**qed**

**lemma** *full-guard-comp-then-no*:  
**assumes**  $a \#\# b$   
**and** *all-guards a*  
**shows** *no-guard b*  
**proof** (*rule no-guardI*)  
**show**  $\bigwedge k. \text{get-gu } b k = \text{None}$   
**by** (*meson all-guards-def assms(1) assms(2) compatible-def*)  
**show**  $\text{get-gs } b = \text{None}$

**proof** (*rule ccontr*)  
**assume** *get-gs b*  $\neq$  *None*  
**then obtain** *gb* **where** *get-gs b* = *Some gb*  
**by** *blast*  
**moreover obtain** *v* **where** *get-gs a* = *Some (pwrite, v)*  
**by** (*meson all-guards-def assms(2)*)  
**moreover have** *pgt (padd pwrite (fst gb)) pwrite*  
**using** *sum-larger* **by** *auto*  
**ultimately show** *False*  
**by** (*metis assms(1) compatible-def fst-eqD not-pgte-charact*)  
**qed**  
**qed**

**lemma** *sum-of-no-guards*:  
**assumes** *no-guard a*  
**and** *no-guard b*  
**and** *Some x = Some a  $\oplus$  Some b*  
**shows** *no-guard x*  
**by** (*metis assms(1) assms(2) assms(3) no-guard-def no-guard-remove(1) no-guard-remove(2)*)

**lemma** *no-guard-remove-guards*:  
*no-guard (remove-guards h)*  
**by** (*simp add: empty-unique-def no-guard-def remove-guards-def*)

**lemma** *get-fh-remove-guards*:  
*get-fh (remove-guards h) = get-fh h*  
**by** (*simp add: remove-guards-def*)

**definition** *pair-sat* :: (*store*  $\times$  (*'i*, *'a*) *heap*) *set*  $\Rightarrow$  (*store*  $\times$  (*'i*, *'a*) *heap*) *set*  $\Rightarrow$   
(*'i*, *'a*, *nat*) *assertion*  $\Rightarrow$  *bool* **where**  
*pair-sat S S' Q*  $\longleftrightarrow$  ( $\forall \sigma \sigma'. \sigma \in S \wedge \sigma' \in S' \longrightarrow \sigma, \sigma' \models Q$ )

**lemma** *pair-satI*:  
**assumes**  $\bigwedge s h s' h'. (s, h) \in S \wedge (s', h') \in S' \Longrightarrow (s, h), (s', h') \models Q$   
**shows** *pair-sat S S' Q*  
**by** (*simp add: assms pair-sat-def*)

**lemma** *pair-sat-smallerI*:  
**assumes**  $\bigwedge \sigma \sigma'. \sigma \in S \wedge \sigma' \in S' \Longrightarrow \sigma, \sigma' \models Q$   
**shows** *pair-sat S S' Q*  
**by** (*simp add: assms pair-sat-def*)

**lemma** *pair-satE*:  
**assumes** *pair-sat S S' Q*  
**and**  $(s, h) \in S \wedge (s', h') \in S'$   
**shows**  $(s, h), (s', h') \models Q$   
**using** *assms(1) assms(2) pair-sat-def* **by** *blast*

**definition** *add-states* :: (*store*  $\times$  (*'i*, *'a*) *heap*) *set*  $\Rightarrow$  (*store*  $\times$  (*'i*, *'a*) *heap*) *set*  $\Rightarrow$

( $store \times ('i, 'a) heap$ ) set **where**  
 $add-states\ S1\ S2 = \{(s, H) \mid s\ H\ h1\ h2. \text{Some } H = \text{Some } h1 \oplus \text{Some } h2 \wedge (s, h1) \in S1 \wedge (s, h2) \in S2\}$

**lemma** *add-states-sat-star*:  
**assumes** *pair-sat SA SA' A*  
**and** *pair-sat SB SB' B*  
**shows** *pair-sat (add-states SA SB) (add-states SA' SB') (Star A B)*  
**proof** (*rule pair-satI*)  
**fix**  $s\ h\ s'\ h'$   
**assume**  $asm0: (s, h) \in add-states\ SA\ SB \wedge (s', h') \in add-states\ SA'\ SB'$   
**then obtain**  $ha\ hb\ ha'\ hb'$  **where**  $(s, ha) \in SA\ (s, hb) \in SB\ (s', ha') \in SA'\ (s', hb') \in SB'$   
 $Some\ h = Some\ ha \oplus Some\ hb\ Some\ h' = Some\ ha' \oplus Some\ hb'$   
**using** *add-states-def[of SA SB] add-states-def[of SA' SB'] fst-eqD mem-Collect-eq snd-conv*  
**by** *auto*  
**then show**  $(s, h), (s', h') \models Star\ A\ B$   
**by** (*meson assms(1) assms(2) hyper-sat.simps(4) pair-sat-def*)  
**qed**

**lemma** *add-states-subset*:  
**assumes**  $S1 \subseteq S1'$   
**shows**  $add-states\ S1\ S2 \subseteq add-states\ S1'\ S2$   
**proof**  
**fix**  $x$  **assume**  $x \in add-states\ S1\ S2$   
**then show**  $x \in add-states\ S1'\ S2$   
**using** *add-states-def[of S1 S2] add-states-def[of S1' S2] assms mem-Collect-eq[of x] subsetD[of S1 S1']*  
**by** *blast*  
**qed**

**lemma** *add-states-comm*:  
 $add-states\ S1\ S2 = add-states\ S2\ S1$   
**proof** –  
**have**  $\bigwedge S1\ S2. add-states\ S1\ S2 \subseteq add-states\ S2\ S1$   
**proof** –  
**fix**  $S1\ S2$   
**show**  $add-states\ S1\ S2 \subseteq add-states\ S2\ S1$   
**proof**  
**fix**  $x$  **assume**  $x \in add-states\ S1\ S2$   
**then obtain**  $h1\ h2$  **where**  $Some\ (snd\ x) = Some\ h1 \oplus Some\ h2\ (fst\ x, h1) \in S1\ (fst\ x, h2) \in S2$   
**using** *add-states-def[of S1 S2] fst-conv mem-Collect-eq[of x] snd-eqD*  
**by** *auto*  
**moreover have**  $Some\ (snd\ x) = Some\ h2 \oplus Some\ h1$   
**by** (*simp add: calculation(1) plus-comm*)  
**ultimately show**  $x \in add-states\ S2\ S1$   
**using** *add-states-def[of S2 S1] mem-Collect-eq[of x] surjective-pairing[of x]*

```

by blast
qed
qed
then show ?thesis by blast
qed

```

The following lemma is the reason why we require many assertions to be precise in the logic.

**lemma** *magic-lemma*:

```

assumes Some x1 = Some a1  $\oplus$  Some j1
    and Some x2 = Some a2  $\oplus$  Some j2
    and (s1, x1), (s2, x2)  $\models$  Star A J
    and (s1, j1), (s2, j2)  $\models$  J
    and precise J
shows (s1, a1), (s2, a2)  $\models$  A
proof -
obtain a1' a2' j1' j2' where Some x1 = Some a1'  $\oplus$  Some j1'
    Some x2 = Some a2'  $\oplus$  Some j2' (s1, j1'), (s2, j2')  $\models$  J (s1, a1'), (s2, a2')
 $\models$  A
    using assms(3) hyper-sat.simps(4) by blast
have j1 = j1'  $\wedge$  j2 = j2'
    using assms(5)
proof (rule preciseE)
show x1  $\succeq$  j1'  $\wedge$  x1  $\succeq$  j1  $\wedge$  x2  $\succeq$  j2'  $\wedge$  x2  $\succeq$  j2
    by (metis  $\langle$ Some x1 = Some a1'  $\oplus$  Some j1'  $\rangle$   $\langle$ Some x2 = Some a2'  $\oplus$  Some
j2'  $\rangle$  assms(1) assms(2) larger-def plus-comm)
show (s1, j1'), (s2, j2')  $\models$  J  $\wedge$  (s1, j1), (s2, j2)  $\models$  J
    by (simp add:  $\langle$ (s1, j1'), (s2, j2')  $\models$  J  $\rangle$  assms(4))
qed
then have a1 = a1'  $\wedge$  a2 = a2'
    using  $\langle$ Some x1 = Some a1'  $\oplus$  Some j1'  $\rangle$   $\langle$ Some x2 = Some a2'  $\oplus$  Some j2'  $\rangle$ 
addition-cancellative assms(1) assms(2) by blast
then show ?thesis
    using  $\langle$ (s1, a1'), (s2, a2')  $\models$  A  $\rangle$  by blast
qed

```

**lemma** *full-no-guard-same-normalize*:

```

assumes full-ownership (get-fh h)  $\wedge$  no-guard h
    and full-ownership (get-fh h')  $\wedge$  no-guard h'
    and normalize (get-fh h) = normalize (get-fh h')
shows h = h'
proof (rule heap-ext)
show get-gu h = get-gu h'
    apply (rule ext)
    by (metis assms(1) assms(2) no-guard-def)
show get-gs h = get-gs h'
    by (metis assms(1) assms(2) no-guard-def)
show get-fh h = get-fh h'

```

```

proof (rule ext)
  fix l show get-fh h l = get-fh h' l
    apply (cases get-fh h l)
    apply (metis FractionalHeap.normalize-eq(1) assms(3))
    apply (cases get-fh h' l)
    apply (metis FractionalHeap.normalize-eq(1) assms(3))
    by (metis FractionalHeap.normalize-def apply-opt.simps(2) assms(1) assms(2)
  assms(3) full-ownership-def prod.collapse)
qed
qed

```

```

lemma get-fh-same-then-remove-guards-same:
  assumes get-fh a = get-fh b
  shows remove-guards a = remove-guards b
  by (metis assms remove-guards-def)

```

```

lemma remove-guards-sum:
  assumes Some x = Some a  $\oplus$  Some b
  shows Some (remove-guards x) = Some (remove-guards a)  $\oplus$  Some (remove-guards
  b)
proof –
  have remove-guards a  $\#\#$  remove-guards b
    by (metis (no-types, lifting) assms compatible-def compatible-eq get-fh-remove-guards
  no-guard-def no-guard-remove-guards option.distinct(1))
  then obtain y where Some y = Some (remove-guards a)  $\oplus$  Some (remove-guards
  b)
    by auto
  moreover have remove-guards x = y
    by (metis (no-types, lifting) <remove-guards a  $\#\#$  remove-guards b> add-get-fh
  assms calculation get-fh-remove-guards get-gu.simps no-guard-def no-guard-remove(1)
  no-guard-remove(2) no-guard-remove-guards option.inject plus.simps(3) plus-extract(2)
  remove-guards-def snd-eqD)
  ultimately show ?thesis by blast
qed

```

```

lemma no-guard-smaller:
  assumes a  $\succeq$  b
  shows remove-guards a  $\succeq$  remove-guards b
using assms larger-def remove-guards-sum by blast

```

```

definition add-empty-guards :: ('i, 'a) heap  $\Rightarrow$  ('i, 'a) heap where
  add-empty-guards h = (get-fh h, Some (pwrite, { $\#\#$ }), ( $\lambda$ -. Some []))

```

```

lemma no-guard-map-empty-compatible:
  assumes no-guard a
    and get-fh b = Map.empty
  shows a  $\#\#$  b
  by (metis (no-types, lifting) assms(1) assms(2) compatible-def compatible-fract-heapsI)

```

*no-guard-def option.simps(3)*)

**lemma** *no-guard-add-empty-is-add:*

**assumes** *no-guard h*

**shows**  $\text{Some } (\text{add-empty-guards } h) = \text{Some } h \oplus \text{Some } (\text{Map.empty}, \text{Some } (\text{pwrite}, \{\#\}), (\lambda-. \text{Some } []))$

**proof** –

**obtain**  $x$  **where**  $\text{Some } x = \text{Some } h \oplus \text{Some } (\text{Map.empty}, \text{Some } (\text{pwrite}, \{\#\}), (\lambda-. \text{Some } []))$

**by** (*simp add: assms no-guard-map-empty-compatible*)

**moreover have**  $\text{add-empty-guards } h = x$

**proof** (*rule heap-ext*)

**show**  $\text{get-fh } (\text{add-empty-guards } h) = \text{get-fh } x$

**by** (*metis add-empty-guards-def add-fh-map-empty add-get-fh calculation fst-conv get-fh.elims*)

**show**  $\text{get-gs } (\text{add-empty-guards } h) = \text{get-gs } x$

**by** (*metis add-empty-guards-def assms calculation get-gs.elims no-guard-remove(1) plus-comm snd-eqD*)

**show**  $\text{get-gu } (\text{add-empty-guards } h) = \text{get-gu } x$

**by** (*metis add-empty-guards-def assms calculation get-gu.elims no-guard-remove(2) plus-comm snd-eqD*)

**qed**

**ultimately show** *?thesis* **by** *blast*

**qed**

**lemma** *no-guard-and-sat-p-empty-guards:*

**assumes**  $(s, h), (s', h') \models A$

**and**  $\text{no-guard } h \wedge \text{no-guard } h'$

**shows**  $(s, \text{add-empty-guards } h), (s', \text{add-empty-guards } h') \models \text{Star } A \text{ EmptyFullGuards}$

**proof** –

**have**  $(s, (\text{Map.empty}, \text{Some } (\text{pwrite}, \{\#\}), (\lambda-. \text{Some } []))), (s', (\text{Map.empty}, \text{Some } (\text{pwrite}, \{\#\}), (\lambda-. \text{Some } []))) \models \text{EmptyFullGuards}$

**by** *simp*

**then show** *?thesis*

**using** *assms(1) assms(2) hyper-sat.simps(4) no-guard-add-empty-is-add* **by** *blast*

**qed**

**lemma** *no-guard-add-empty-guards-sum:*

**assumes**  $\text{no-guard } x$

**and**  $\text{Some } x = \text{Some } a \oplus \text{Some } b$

**shows**  $\text{Some } (\text{add-empty-guards } x) = \text{Some } (\text{add-empty-guards } a) \oplus \text{Some } b$

**using** *assms(1) assms(2) no-guard-add-empty-is-add[of a] no-guard-add-empty-is-add[of x]*

*no-guard-then-smaller-same[of x a b] plus-asso plus-comm*

**by** (*metis (no-types, lifting)*)

**lemma** *semi-consistent-empty-no-guard-initial-value:*

```

assumes no-guard h
shows semi-consistent  $\Gamma$  (view  $\Gamma$  (FractionalHeap.normalize (get-fh h))) (add-empty-guards h)
proof (rule semi-consistentI)
  show all-guards (add-empty-guards h)
    by (simp add: add-empty-guards-def all-guards-def)
  show reachable  $\Gamma$  (view  $\Gamma$  (FractionalHeap.normalize (get-fh h))) (add-empty-guards h)
proof (rule reachableI)
  fix sargs uargs
  assume asm0: get-gs (add-empty-guards h) = Some (pwrite, sargs)  $\wedge$  ( $\forall k$ .
get-gu (add-empty-guards h) k = Some (uargs k))
  then have sargs = {#}  $\wedge$  uargs = ( $\lambda k$ . [])
  by (metis add-empty-guards-def fst-conv get-gs.simps get-gu.simps option.sel
snd-conv)
  then show reachable-value (saction  $\Gamma$ ) (uaction  $\Gamma$ ) (view  $\Gamma$  (FractionalHeap.normalize
(get-fh h))) sargs uargs
    (view  $\Gamma$  (FractionalHeap.normalize (get-fh (add-empty-guards h))))
  by (simp add: Self add-empty-guards-def)
qed
qed

```

**lemma** no-guards-remove-same:

```

assumes no-guard h
shows h = remove-guards (add-empty-guards h)
by (metis add-empty-guards-def addition-cancellative assms fst-conv get-fh.elims
get-fh-remove-guards no-guard-add-empty-is-add no-guard-remove-guards)

```

**lemma** no-guards-remove:

```

no-guard h  $\longleftrightarrow$  h = remove-guards h
by (metis get-fh-remove-guards no-guard-remove-guards no-guards-remove-same
remove-guards-def)

```

**definition** add-sguard-to-no-guard :: ('i, 'a) heap  $\Rightarrow$  prat  $\Rightarrow$  'a multiset  $\Rightarrow$  ('i, 'a) heap **where**

```

add-sguard-to-no-guard h  $\pi$  ms = (get-fh h, Some ( $\pi$ , ms), ( $\lambda$ -. None))

```

**lemma** get-fh-add-sguard:

```

get-fh (add-sguard-to-no-guard h  $\pi$  ms) = get-fh h
by (simp add: add-sguard-to-no-guard-def)

```

**lemma** add-sguard-as-sum:

```

assumes no-guard h
shows Some (add-sguard-to-no-guard h  $\pi$  ms) = Some h  $\oplus$  Some (Map.empty,
Some ( $\pi$ , ms), ( $\lambda$ -. None))
proof –
  obtain x where Some x = Some h  $\oplus$  Some (Map.empty, Some ( $\pi$ , ms), ( $\lambda$ -.
None))

```

by (*simp add: assms no-guard-map-empty-compatible*)  
**moreover have**  $x = \text{add-sguard-to-no-guard } h \ \pi \ ms$   
**proof** (*rule heap-ext*)  
 show  $\text{get-fh } x = \text{get-fh } (\text{add-sguard-to-no-guard } h \ \pi \ ms)$   
 by (*metis add-fh-map-empty add-get-fh calculation fst-conv get-fh.elims get-fh-add-sguard*)  
 show  $\text{get-gs } x = \text{get-gs } (\text{add-sguard-to-no-guard } h \ \pi \ ms)$   
 by (*metis add-sguard-to-no-guard-def assms calculation get-gs.elims no-guard-def*  
*plus-comm snd-eqD sum-gs-one-none*)  
 show  $\text{get-gu } x = \text{get-gu } (\text{add-sguard-to-no-guard } h \ \pi \ ms)$   
 by (*metis add-sguard-to-no-guard-def assms calculation get-gu.simps no-guard-remove(2)*  
*plus-comm snd-conv*)  
**qed**  
**ultimately show** *?thesis* **by** *blast*  
**qed**

**definition**  $\text{add-uguard-to-no-guard} :: 'i \Rightarrow ('i, 'a) \text{ heap} \Rightarrow 'a \text{ list} \Rightarrow ('i, 'a) \text{ heap}$   
**where**  
 $\text{add-uguard-to-no-guard } k \ h \ l = (\text{get-fh } h, \text{None}, (\lambda-. \text{None})(k := \text{Some } l))$

**lemma** *get-fh-add-uguard*:  
 $\text{get-fh } (\text{add-uguard-to-no-guard } k \ h \ l) = \text{get-fh } h$   
**by** (*simp add: add-uguard-to-no-guard-def*)

**lemma** *prove-sum*:  
**assumes**  $a \ \#\# \ b$   
**and**  $\bigwedge x. \text{Some } x = \text{Some } a \oplus \text{Some } b \implies x = y$   
**shows**  $\text{Some } y = \text{Some } a \oplus \text{Some } b$   
**using** *assms(1) assms(2)* **by** *fastforce*

**lemma** *add-uguard-as-sum*:  
**assumes** *no-guard h*  
**shows**  $\text{Some } (\text{add-uguard-to-no-guard } k \ h \ l) = \text{Some } h \oplus \text{Some } (\text{Map.empty}, \text{None}, (\lambda-. \text{None})(k := \text{Some } l))$   
**proof** (*rule prove-sum*)  
**show**  $h \ \#\# \ (\text{Map.empty}, \text{None}, [k \mapsto l])$   
**by** (*simp add: assms no-guard-map-empty-compatible*)  
**fix**  $x$  **assume** *asm0*:  $\text{Some } x = \text{Some } h \oplus \text{Some } (\text{Map.empty}, \text{None}, [k \mapsto l])$   
**show**  $x = \text{add-uguard-to-no-guard } k \ h \ l$   
**proof** (*rule heap-ext*)  
**show**  $\text{get-fh } x = \text{get-fh } (\text{add-uguard-to-no-guard } k \ h \ l)$   
**by** (*metis add-fh-map-empty add-get-fh asm0 fst-conv get-fh.elims get-fh-add-uguard*)  
**show**  $\text{get-gs } x = \text{get-gs } (\text{add-uguard-to-no-guard } k \ h \ l)$   
**by** (*metis add-uguard-to-no-guard-def asm0 assms get-gs.elims no-guard-def*  
*plus-comm snd-eqD sum-gs-one-none*)  
**show**  $\text{get-gu } x = \text{get-gu } (\text{add-uguard-to-no-guard } k \ h \ l)$   
**by** (*metis add-uguard-to-no-guard-def asm0 assms get-gu.elims no-guard-remove(2)*  
*plus-comm snd-eqD*)  
**qed**



qed

**lemma** *no-guard-and-no-heap*:

**assumes** *Some h = Some p  $\oplus$  Some g*

**and** *no-guard p*

**and** *get-fh g = Map.empty*

**shows** *remove-guards h = p*

**proof** (*rule heap-ext*)

**show** *get-fh (remove-guards h) = get-fh p*

**proof** –

**have** *get-fh (remove-guards h) = get-fh h*

**using** *get-fh-remove-guards* **by** *blast*

**moreover have** *get-fh h = add-fh (get-fh p) (get-fh g)*

**using** *add-get-fh* *assms(1)* **by** *blast*

**ultimately show** *?thesis*

**by** (*metis* *assms(1)* *assms(3)* *ext* *get-fh.simps* *sum-second-none-get-fh*)

qed

**show** *get-gs (remove-guards h) = get-gs p*

**by** (*metis* *assms(2)* *no-guard-def* *no-guard-remove-guards*)

**show** *get-gu (remove-guards h) = get-gu p*

**by** (*metis* *get-fh (remove-guards h) = get-fh p* *assms(2)* *get-fh-remove-guards* *no-guards-remove* *remove-guards-def*)

qed

**lemma** *decompose-guard-remove-easy*:

*Some h = Some (remove-guards h)  $\oplus$  Some (Map.empty, get-gs h, get-gu h)*

**proof** (*rule prove-sum*)

**show** *remove-guards h ## (Map.empty, get-gs h, get-gu h)*

**by** (*simp* *add: no-guard-map-empty-compatible* *no-guard-remove-guards*)

**fix** *x* **assume** *asm0: Some x = Some (remove-guards h)  $\oplus$  Some (Map.empty, get-gs h, get-gu h)*

**show** *x = h*

**proof** (*rule heap-ext*)

**show** *get-fh x = get-fh h*

**by** (*metis* *add-fh-map-empty* *add-get-fh* *asm0* *fst-conv* *get-fh.elims* *get-fh-remove-guards*)

**show** *get-gs x = get-gs h*

**by** (*metis* *asm0* *fst-conv* *get-gs.simps* *no-guard-remove(1)* *no-guard-remove-guards* *plus-comm* *snd-conv*)

**show** *get-gu x = get-gu h*

**by** (*metis* *asm0* *get-gu.elims* *no-guard-remove(2)* *no-guard-remove-guards* *plus-comm* *snd-eqD*)

qed

qed

**lemma** *all-guards-no-guard-propagates*:

**assumes** *all-guards x*

**and**  $\text{Some } x = \text{Some } a \oplus \text{Some } b$   
**and**  $\text{no-guard } a$   
**shows**  $\text{all-guards } b$   
**by** ( $\text{metis all-guards-def assms(1) assms(2) assms(3) no-guard-def no-guard-remove(2)}$   
 $\text{plus-comm sum-gs-one-none}$ )

**lemma**  $\text{all-guards-exists-uargs}$ :  
**assumes**  $\text{all-guards } x$   
**shows**  $\exists \text{uargs. } \forall k. \text{get-gu } x \ k = \text{Some } (\text{uargs } k)$   
**proof** –  
**let**  $?uargs = \lambda k. \text{the } (\text{get-gu } x \ k)$   
**have**  $\bigwedge k. \text{get-gu } x \ k = \text{Some } (?uargs \ k)$   
**by** ( $\text{metis all-guards-def assms option.collapse}$ )  
**then show**  $?thesis$   
**by**  $\text{fastforce}$   
**qed**

**lemma**  $\text{all-guards-sum-known-one}$ :  
**assumes**  $\text{Some } x = \text{Some } a \oplus \text{Some } b$   
**and**  $\text{all-guards } x$   
**and**  $\bigwedge k. \text{get-gu } a \ k = \text{None}$   
**and**  $\text{get-gs } a = \text{Some } (\pi, \text{ms})$   
**shows**  $\exists \pi' \text{msf } \text{uargs. } (\forall k. \text{get-gu } b \ k = \text{Some } (\text{uargs } k)) \wedge$   
 $((\pi = \text{pwrite} \wedge \text{get-gs } b = \text{None} \wedge \text{msf} = \{\#\}) \vee (\text{pwrite} = \text{padd } \pi \ \pi' \wedge \text{get-gs}$   
 $b = \text{Some } (\pi', \text{msf})))$   
**proof** ( $\text{cases } \pi = \text{pwrite}$ )  
**case**  $\text{True}$   
**then have**  $\text{get-gs } b = \text{None}$   
**using**  $\text{add-gs.simps(2)[of } (\pi, \text{ms})]$   $\text{add-gs-cancellative add-gs-comm assms(1)}$   
 $\text{assms(4) full-sguard-sum-same}$   
 $\text{plus-extract(2)[of } x \ a \ b]$   
**by**  $\text{metis}$   
**moreover obtain**  $\text{uargs where } \bigwedge k. \text{get-gu } x \ k = \text{Some } (\text{uargs } k)$   
**using**  $\text{all-guards-exists-uargs assms(2)}$  **by**  $\text{blast}$   
**moreover have**  $\bigwedge k. \text{get-gu } b \ k = \text{Some } (\text{uargs } k)$   
**proof** –  
**fix**  $k$   
**have**  $\text{get-gu } a \ k = \text{None}$   
**using**  $\text{assms(3)}$  **by**  $\text{auto}$   
**then show**  $\text{get-gu } b \ k = \text{Some } (\text{uargs } k)$   
**by** ( $\text{metis (no-types, opaque-lifting) add-gu-def add-gu-single.simps(1) assms(1)}$   
 $\text{calculation(2) plus-extract(3)}$ )  
**qed**  
**ultimately show**  $?thesis$   
**using**  $\text{True}$  **by**  $\text{blast}$   
**next**  
**case**  $\text{False}$   
**then obtain**  $\pi' \text{msf where } \text{get-gs } b = \text{Some } (\pi', \text{msf})$   
**by** ( $\text{metis all-guards-def assms(1) assms(2) assms(4) fst-conv option.exhaust-sel}$ )

*option.sel prod.exhaust-sel sum-gs-one-none*  
**moreover obtain**  $v$  **where**  $\text{get-gs } x = \text{Some } (pwrite, v)$   
**by** (*meson all-guards-def assms(2)*)  
**ultimately have**  $pwrite = padd \pi \pi'$   
**by** (*metis Pair-inject assms(1) assms(4) option.inject sum-gs-one-some*)  
**then show** *?thesis*  
**by** (*metis (mono-tags, opaque-lifting) ⟨get-gs b = Some (π', msf)⟩ add-gu-def*  
*add-gu-single.simps(1) all-guards-exists-uargs assms(1) assms(2) assms(3) plus-extract(3)*)  
**qed**

**fun** *add-pwrite-option* **where**  
*add-pwrite-option None = None*  
| *add-pwrite-option (Some x) = Some (pwrite, x)*

**definition** *denormalize* :: *normal-heap*  $\Rightarrow$  (*'i, 'a*) *heap* **where**  
*denormalize H = ((λl. add-pwrite-option (H l)), None, (λ-. None))*

**lemma** *denormalize-properties*:

**shows** *no-guard* (*denormalize H*)  
**and** *full-ownership* (*get-fh* (*denormalize H*))  
**and** *normalize* (*get-fh* (*denormalize H*)) =  $H$   
**and** *full-ownership* (*get-fh h*)  $\wedge$  *no-guard h*  $\Longrightarrow$  *denormalize* (*normalize* (*get-fh*  
*h*)) =  $h$   
**and** *full-ownership* (*get-fh h*)  $\Longrightarrow$  *denormalize* (*normalize* (*get-fh h*)) = *remove-guards h*

**apply** (*simp add: denormalize-def no-guardI*)  
**using** *full-ownershipI*[*of get-fh* (*denormalize H*)] *add-pwrite-option.elims denormalize-def fst-conv get-fh.elims option.distinct(1) option.sel* **apply** *metis*

**proof** –

**show** *normalize* (*get-fh* (*denormalize H*)) =  $H$   
**proof** (*rule ext*)  
**fix**  $l$  **show** *normalize* (*get-fh* (*denormalize H*))  $l = H l$   
**by** (*metis FractionalHeap.normalize-eq(1) FractionalHeap.normalize-eq(2)*)  
*add-pwrite-option.elims denormalize-def fst-conv get-fh.elims*

**qed**

**show** *full-ownership* (*get-fh h*)  $\wedge$  *no-guard h*  $\Longrightarrow$  *denormalize* (*FractionalHeap.normalize*  
(*get-fh h*)) =  $h$

**proof** –

**assume** *asm0*: *full-ownership* (*get-fh h*)  $\wedge$  *no-guard h*  
**show** *denormalize* (*FractionalHeap.normalize* (*get-fh h*)) =  $h$   
**proof** (*rule heap-ext*)  
**show** *get-fh* (*denormalize* (*FractionalHeap.normalize* (*get-fh h*))) = *get-fh h*  
**proof** (*rule ext*)  
**fix**  $x$  **show** *get-fh* (*denormalize* (*FractionalHeap.normalize* (*get-fh h*)))  $x =$   
*get-fh h x*

**proof** (*cases get-fh h x*)

**case** *None*

**then show** *?thesis*

**by** (*metis FractionalHeap.normalize-eq(1) add-pwrite-option.simps(1)*)

```

denormalize-def fst-conv get-fh.elims)
  next
  case (Some p)
  then have fst p = pwrite
    by (meson asm0 full-ownership-def)
  then show ?thesis
  by (metis FractionalHeap.normalize-eq(2) Some add-pwrite-option.simps(2)
denormalize-def fst-conv get-fh.elims prod.collapse)
  qed
  qed
  show get-gs (denormalize (FractionalHeap.normalize (get-fh h))) = get-gs h
  by (metis asm0 denormalize-def fst-conv get-gs.elims no-guard-def snd-eqD)
  show get-gu (denormalize (FractionalHeap.normalize (get-fh h))) = get-gu h
  by (metis ⟨get-fh (denormalize (FractionalHeap.normalize (get-fh h))) = get-fh
h⟩ ⟨get-gs (denormalize (FractionalHeap.normalize (get-fh h))) = get-gs h⟩ asm0
denormalize-def full-no-guard-same-normalize get-gu.simps no-guard-def snd-conv)
  qed
  qed
  assume asm0: full-ownership (get-fh h)
  show denormalize (FractionalHeap.normalize (get-fh h)) = remove-guards h
  proof (rule heap-ext)
  show get-fh (denormalize (FractionalHeap.normalize (get-fh h))) = get-fh (remove-guards
h)
  proof (rule ext)
  fix x show get-fh (denormalize (FractionalHeap.normalize (get-fh h))) x =
get-fh (remove-guards h) x
  proof (cases get-fh h x)
  case None
  then show ?thesis
  by (metis FractionalHeap.normalize-eq(1) add-pwrite-option.simps(1)
denormalize-def fst-eqD get-fh.elims get-fh-remove-guards)
  next
  case (Some p)
  then have fst p = pwrite
    by (meson asm0 full-ownership-def)
  then show ?thesis
  by (metis FractionalHeap.normalize-eq(2) Some add-pwrite-option.simps(2)
denormalize-def fst-conv get-fh.elims get-fh-remove-guards prod.collapse)
  qed
  qed
  show get-gs (denormalize (FractionalHeap.normalize (get-fh h))) = get-gs
(remove-guards h)
  by (simp add: denormalize-def remove-guards-def)
  show get-gu (denormalize (FractionalHeap.normalize (get-fh h))) = get-gu
(remove-guards h)
  by (metis ⟨get-fh (denormalize (FractionalHeap.normalize (get-fh h))) = get-fh
(remove-guards h)⟩ ⟨get-gs (denormalize (FractionalHeap.normalize (get-fh h))) =
get-gs (remove-guards h)⟩ asm0 denormalize-def full-no-guard-same-normalize get-fh-remove-guards
get-gu.simps no-guard-def no-guard-remove-guards snd-conv)

```

**qed**  
**qed**

**lemma** *no-guard-then-sat-star-uguard*:

**assumes** *no-guard h*  $\wedge$  *no-guard h'*  
**and**  $(s, h), (s', h') \models Q$   
**shows**  $(s, \text{add-uguard-to-no-guard } k \ h \ (e \ s)), (s', \text{add-uguard-to-no-guard } k \ h' \ (e \ s')) \models \text{Star } Q \ (\text{UniqueGuard } k \ e)$   
**proof** –  
**obtain**  $\text{Some } (\text{add-uguard-to-no-guard } k \ h \ (e \ s)) = \text{Some } h \oplus \text{Some } (\text{Map.empty}, \text{None}, [k \mapsto e \ s])$   
 $\text{Some } (\text{add-uguard-to-no-guard } k \ h' \ (e \ s')) = \text{Some } h' \oplus \text{Some } (\text{Map.empty}, \text{None}, [k \mapsto e \ s'])$   
**by** (*simp add: add-uguard-as-sum assms(1)*)  
**moreover have**  $(s, (\text{Map.empty}, \text{None}, [k \mapsto e \ s])), (s', (\text{Map.empty}, \text{None}, [k \mapsto e \ s'])) \models \text{UniqueGuard } k \ e$   
**by** *simp*  
**ultimately show** *?thesis using assms(2) by fastforce*  
**qed**

**lemma** *no-guard-then-sat-star*:

**assumes** *no-guard h*  $\wedge$  *no-guard h'*  
**and**  $(s, h), (s', h') \models Q$   
**shows**  $(s, \text{add-sguard-to-no-guard } h \ \pi \ (ms \ s)), (s', \text{add-sguard-to-no-guard } h' \ \pi \ (ms \ s')) \models \text{Star } Q \ (\text{SharedGuard } \pi \ ms)$   
**proof** –  
**obtain**  $\text{Some } (\text{add-sguard-to-no-guard } h \ \pi \ (ms \ s)) = \text{Some } h \oplus \text{Some } (\text{Map.empty}, \text{Some } (\pi, ms \ s), (\lambda-. \text{None}))$   
 $\text{Some } (\text{add-sguard-to-no-guard } h' \ \pi \ (ms \ s')) = \text{Some } h' \oplus \text{Some } (\text{Map.empty}, \text{Some } (\pi, ms \ s'), (\lambda-. \text{None}))$   
**using** *add-sguard-as-sum assms(1) by blast*  
**moreover have**  $(s, (\text{Map.empty}, \text{Some } (\pi, ms \ s), (\lambda-. \text{None}))), (s', (\text{Map.empty}, \text{Some } (\pi, ms \ s'), (\lambda-. \text{None}))) \models \text{SharedGuard } \pi \ ms$   
**by** *simp*  
**ultimately show** *?thesis using assms(2) by fastforce*  
**qed**

**end**

### 4.3 Safety and Hoare Triples

In this file, the meaning of Hoare triples (Definition 4.1), through a notion of safety (see Section 4 and Appendix C). We also prove useful lemmas for the soundness proof.

**theory** *Safety*  
**imports** *Guards*  
**begin**

### 4.3.1 Preliminaries

**definition** *sat-inv* :: *store*  $\Rightarrow$  ('i, 'a) *heap*  $\Rightarrow$  ('i, 'a, nat) *single-context*  $\Rightarrow$  *bool*  
**where**

*sat-inv* *s* *hj*  $\Gamma \longleftrightarrow (s, hj), (s, hj) \models \text{invariant } \Gamma \wedge \text{no-guard } hj$

**lemma** *sat-invI*:

**assumes**  $(s, hj), (s, hj) \models \text{invariant } \Gamma$   
**and** *no-guard* *hj*  
**shows** *sat-inv* *s* *hj*  $\Gamma$   
**by** (*simp add: assms(1) assms(2) sat-inv-def*)

*s* and *s'* can differ on variables outside of *vars*, does not change anything.  
upper-fvs *S* *vars* means that *vars* is an upper-bound of "fv *S*"

**definition** *upper-fvs* :: (*store*  $\times$  ('i, 'a) *heap*) *set*  $\Rightarrow$  *var set*  $\Rightarrow$  *bool* **where**  
*upper-fvs* *S* *vars*  $\longleftrightarrow (\forall s s' h. (s, h) \in S \wedge \text{agrees vars } s s' \longrightarrow (s', h) \in S)$

Only need to agree on *vars*

**definition** *upperize* **where**

*upperize* *S* *vars* =  $\{ \sigma' \mid \sigma \sigma'. \sigma \in S \wedge \text{snd } \sigma = \text{snd } \sigma' \wedge \text{agrees vars } (\text{fst } \sigma) (\text{fst } \sigma') \}$

**definition** *close-var* **where**

*close-var* *S* *x* =  $\{ ((\text{fst } \sigma)(x := v), \text{snd } \sigma) \mid \sigma v. \sigma \in S \}$

**lemma** *upper-fvsI*:

**assumes**  $\bigwedge s s' h. (s, h) \in S \wedge \text{agrees vars } s s' \Longrightarrow (s', h) \in S$   
**shows** *upper-fvs* *S* *vars*  
**using** *assms upper-fvs-def* **by** *blast*

**lemma** *pair-sat-comm*:

**assumes** *pair-sat* *S* *S'* *A*  
**shows** *pair-sat* *S'* *S* *A*

**proof** (*rule pair-satI*)

**fix** *s* *h* *s'* *h'* **assume**  $(s, h) \in S' \wedge (s', h') \in S$   
**then show**  $(s, h), (s', h') \models A$   
**using** *assms pair-sat-def sat-comm* **by** *blast*

**qed**

**lemma** *in-upperize*:

$(s', h) \in \text{upperize } S \text{ vars} \longleftrightarrow (\exists s. (s, h) \in S \wedge \text{agrees vars } s s') \text{ (is } ?A \longleftrightarrow ?B)$

**proof**

**show**  $?A \Longrightarrow ?B$   
**by** (*simp add: upperize-def*)  
**show**  $?B \Longrightarrow ?A$   
**using** *upperize-def* **by** *fastforce*

**qed**

**lemma** *upper-fvs-upperize*:

*upper-fvs (upperize S vars) vars*  
**proof** (rule upper-fvsI)  
 fix  $s s' h$   
 assume  $(s, h) \in \text{upperize } S \text{ vars} \wedge \text{agrees vars } s s'$   
 then obtain  $s''$  where  $(s'', h) \in S \wedge \text{agrees vars } s'' s$   
 by (meson in-upperize)  
 then have  $\text{agrees vars } s'' s'$   
 using  $\langle (s, h) \in \text{upperize } S \text{ vars} \wedge \text{agrees vars } s s' \rangle \text{ agrees-def[of vars } s s']$   
 $\text{ agrees-def[of vars } s'' s] \text{ agrees-def[of vars } s'' s']$   
 by simp  
 then show  $(s', h) \in \text{upperize } S \text{ vars}$   
 using  $\langle (s'', h) \in S \wedge \text{agrees vars } s'' s \rangle \text{ upperize-def}$  by fastforce  
**qed**

**lemma** upperize-larger:  
 $S \subseteq \text{upperize } S \text{ vars}$   
**proof**  
 fix  $x$  assume  $x \in S$   
 moreover have  $\text{agrees vars (fst } x) \text{ (fst } x)$   
 using agrees-def by blast  
 ultimately show  $x \in \text{upperize } S \text{ vars}$   
 by (metis (mono-tags, lifting) CollectI upperize-def)  
**qed**

**lemma** pair-sat-upperize:  
 assumes pair-sat  $S S' A$   
 shows pair-sat  $(\text{upperize } S (\text{fvA } A)) S' A$   
**proof** (rule pair-satI)  
 fix  $s h s' h'$   
 assume asm0:  $(s, h) \in \text{upperize } S (\text{fvA } A) \wedge (s', h') \in S'$   
 then obtain  $s''$  where  $\text{agrees (fvA } A) s s'' (s'', h) \in S$   
 using agrees-def[of fvA A s s'] in-upperize[of s h S fvA A]  
 by (metis agrees-def)  
 then show  $(s, h), (s', h') \models A$   
 using agrees-same asm0 assms pair-sat-def by blast  
**qed**

**lemma** in-close-var:  
 $(s', h) \in \text{close-var } S x \longleftrightarrow (\exists s v. (s, h) \in S \wedge s' = s(x := v))$  (is ?A  $\longleftrightarrow$  ?B)  
**proof**  
 show ?A  $\implies$  ?B  
 using close-var-def[of S x] mem-Collect-eq prod.inject surjective-pairing  
 by auto  
 show ?B  $\implies$  ?A  
 using close-var-def by fastforce  
**qed**

**lemma** pair-sat-close-var:  
 assumes  $x \notin \text{fvA } A$

**and** *pair-sat*  $S S' A$   
**shows** *pair-sat* (*close-var*  $S x$ )  $S' A$   
**proof** (*rule pair-satI*)  
**fix**  $s h s' h'$   
**assume**  $(s, h) \in \text{close-var } S x \wedge (s', h') \in S'$   
**then show**  $(s, h), (s', h') \models A$   
**by** (*metis* (*no-types, lifting*) *agrees-same agrees-update assms in-close-var pair-sat-def*)  
**qed**

**lemma** *pair-sat-close-var-double*:  
**assumes** *pair-sat*  $S S' A$   
**and**  $x \notin \text{fv} A$   
**shows** *pair-sat* (*close-var*  $S x$ ) (*close-var*  $S' x$ )  $A$   
**using** *assms pair-sat-close-var pair-sat-comm* **by** *blast*

**lemma** *close-var-subset*:  
 $S \subseteq \text{close-var } S x$   
**proof**  
**fix**  $y$  **assume**  $y \in S$   
**then have**  $\text{fst } y = (\text{fst } y)(x := (\text{fst } y x))$   
**by** *simp*  
**then show**  $y \in \text{close-var } S x$   
**by** (*metis*  $\langle y \in S \rangle$  *in-close-var prod.exhaust-sel*)  
**qed**

**lemma** *upper-fvs-close-vars*:  
 $\text{upper-fvs } (\text{close-var } S x) (- \{x\})$   
**proof** (*rule upper-fvsI*)  
**fix**  $s s' h$   
**assume**  $(s, h) \in \text{close-var } S x \wedge \text{agrees } (- \{x\}) s s'$   
**have**  $s(x := s' x) = s'$   
**proof** (*rule ext*)  
**fix**  $y$  **show**  $(s(x := s' x)) y = s' y$   
**by** (*metis* (*mono-tags, lifting*) *ComplI*  $\langle (s, h) \in \text{close-var } S x \wedge \text{agrees } (- \{x\}) s s' \rangle$  *agrees-def fun-upd-apply singleton-iff*)  
**qed**  
**then show**  $(s', h) \in \text{close-var } S x$   
**by** (*metis*  $\langle (s, h) \in \text{close-var } S x \wedge \text{agrees } (- \{x\}) s s' \rangle$  *fun-upd-upd in-close-var*)  
**qed**

**lemma** *sat-inv-agrees*:  
**assumes** *sat-inv*  $s h j \Gamma$   
**and**  $\text{agrees } (\text{fv} A (\text{invariant } \Gamma)) s s'$   
**shows** *sat-inv*  $s' h j \Gamma$   
**by** (*meson agrees-same assms sat-comm sat-inv-def*)

**lemma** *abort-iff-fvC*:  
**assumes**  $\text{agrees } (\text{fv} C C) s s'$   
**shows** *aborts*  $C (s, h) \longleftrightarrow \text{aborts } C (s', h)$



**using** *aborts-agrees* *assms fst-conv snd-eqD*  
**by** (*metis (mono-tags, lifting) agrees-def*)

**lemma** *view-function-of-invE*:

**assumes** *view-function-of-inv*  $\Gamma$   
**and** *sat-inv*  $s\ h\ \Gamma$   
**and**  $(h' :: ('i, 'a)\ \text{heap}) \succeq h$   
**shows** *view*  $\Gamma$  (*normalize (get-fh h)*) = *view*  $\Gamma$  (*normalize (get-fh h')*)  
**using** *assms(1) assms(2) assms(3) sat-inv-def view-function-of-inv-def* **by** *blast*

### 4.3.2 Safety

**fun** *no-abort* ::  $('i, 'a, \text{nat})\ \text{cont} \Rightarrow \text{cmd} \Rightarrow \text{store} \Rightarrow ('i, 'a)\ \text{heap} \Rightarrow \text{bool}$  **where**  
*no-abort*  $\text{None}\ C\ s\ h \longleftrightarrow (\forall\ hf\ H.\ \text{Some}\ H = \text{Some}\ h \oplus \text{Some}\ hf \wedge \text{full-ownership}$   
 $(\text{get-fh}\ H) \wedge \text{no-guard}\ H$   
 $\longrightarrow \neg\ \text{aborts}\ C\ (s,\ \text{normalize}\ (\text{get-fh}\ H)))$   
| *no-abort*  $(\text{Some}\ \Gamma)\ C\ s\ h \longleftrightarrow (\forall\ hf\ H\ hj\ v0.\ \text{Some}\ H = \text{Some}\ h \oplus \text{Some}\ hj \oplus$   
 $\text{Some}\ hf \wedge \text{full-ownership}\ (\text{get-fh}\ H) \wedge$   
 $\text{semi-consistent}\ \Gamma\ v0\ H \wedge \text{sat-inv}\ s\ hj\ \Gamma$   
 $\longrightarrow \neg\ \text{aborts}\ C\ (s,\ \text{normalize}\ (\text{get-fh}\ H)))$

**lemma** *no-abortI*:

**assumes**  $\bigwedge(hf :: ('i, 'a)\ \text{heap})\ (H :: ('i, 'a)\ \text{heap}).\ \text{Some}\ H = \text{Some}\ h \oplus \text{Some}\ hf \wedge \Delta = \text{None} \wedge \text{full-ownership}\ (\text{get-fh}\ H) \wedge \text{no-guard}\ H \implies \neg\ \text{aborts}\ C\ (s,\ \text{normalize}\ (\text{get-fh}\ H))$   
**and**  $\bigwedge H\ hf\ hj\ v0\ \Gamma.\ \Delta = \text{Some}\ \Gamma \wedge \text{Some}\ H = \text{Some}\ h \oplus \text{Some}\ hj \oplus \text{Some}\ hf \wedge \text{full-ownership}\ (\text{get-fh}\ H) \wedge \text{semi-consistent}\ \Gamma\ v0\ H \wedge \text{sat-inv}\ s\ hj\ \Gamma$   
 $\implies \neg\ \text{aborts}\ C\ (s,\ \text{normalize}\ (\text{get-fh}\ H))$   
**shows** *no-abort*  $\Delta\ C\ s\ (h :: ('i, 'a)\ \text{heap})$   
**apply** (*cases*  $\Delta$ )  
**using** *assms(1) no-abort.simps(1)* **apply** *blast*  
**using** *assms(2) no-abort.simps(2)* **by** *blast*

**lemma** *no-abortSomeI*:

**assumes**  $\bigwedge H\ hf\ hj\ v0.\ \text{Some}\ H = \text{Some}\ h \oplus \text{Some}\ hj \oplus \text{Some}\ hf \wedge \text{full-ownership}\ (\text{get-fh}\ H) \wedge \text{semi-consistent}\ \Gamma\ v0\ H \wedge \text{sat-inv}\ s\ hj\ \Gamma$   
 $\implies \neg\ \text{aborts}\ C\ (s,\ \text{normalize}\ (\text{get-fh}\ H))$   
**shows** *no-abort*  $(\text{Some}\ \Gamma)\ C\ s\ (h :: ('i, 'a)\ \text{heap})$   
**using** *assms no-abort.simps(2)* **by** *blast*

**lemma** *no-abortNoneI*:

**assumes**  $\bigwedge(hf :: ('i, 'a)\ \text{heap})\ (H :: ('i, 'a)\ \text{heap}).\ \text{Some}\ H = \text{Some}\ h \oplus \text{Some}\ hf \wedge \text{full-ownership}\ (\text{get-fh}\ H) \wedge \text{no-guard}\ H \implies \neg\ \text{aborts}\ C\ (s,\ \text{normalize}\ (\text{get-fh}\ H))$   
**shows** *no-abort*  $(\text{None} :: ('i, 'a, \text{nat})\ \text{cont})\ C\ s\ (h :: ('i, 'a)\ \text{heap})$   
**using** *assms no-abort.simps(1)* **by** *blast*

**lemma** *no-abortE*:

**assumes** *no-abort*  $\Delta\ C\ s\ h$

**shows**  $\text{Some } H = \text{Some } h \oplus \text{Some } hf \implies \Delta = \text{None} \implies \text{full-ownership } (\text{get-fh } H) \implies \text{no-guard } H \implies \neg \text{aborts } C (s, \text{normalize } (\text{get-fh } H))$   
**and**  $\Delta = \text{Some } \Gamma \implies \text{Some } H = \text{Some } h \oplus \text{Some } hj \oplus \text{Some } hf \implies \text{sat-inv } s \text{ hj } \Gamma \implies \text{full-ownership } (\text{get-fh } H) \implies \text{semi-consistent } \Gamma \text{ v0 } H$   
 $\implies \neg \text{aborts } C (s, \text{normalize } (\text{get-fh } H))$   
**using** *assms no-abort.simps(1)* **apply** *blast*  
**by** (*metis assms no-abort.simps(2)*)

We define the notion of safety, central to the meaning of Hoare triples, as follows (Definition C.1 in the appendix).

**fun** *safe* ::  $\text{nat} \Rightarrow ('i, 'a, \text{nat}) \text{ cont} \Rightarrow \text{cmd} \Rightarrow (\text{store} \times ('i, 'a) \text{ heap}) \Rightarrow (\text{store} \times ('i, 'a) \text{ heap}) \text{ set} \Rightarrow \text{bool}$  **where**  
*safe* 0 - - - -  $\longleftrightarrow \text{True}$

$| \text{safe } (\text{Suc } n) \text{ None } C (s, h) S \longleftrightarrow (C = \text{Cskip} \longrightarrow (s, h) \in S) \wedge \text{no-abort } (\text{None} :: ('i, 'a, \text{nat}) \text{ cont}) C s h \wedge \text{accesses } C s \subseteq \text{dom } (\text{fst } h) \wedge \text{writes } C s \subseteq \text{fpdom } (\text{fst } h) \wedge$   
 $(\forall H hf C' s' h'. \text{Some } H = \text{Some } h \oplus \text{Some } hf \wedge \text{full-ownership } (\text{get-fh } H) \wedge \text{no-guard } H$   
 $\wedge \text{red } C (s, \text{normalize } (\text{get-fh } H)) C' (s', h')$   
 $\longrightarrow (\exists h'' H'. \text{full-ownership } (\text{get-fh } H') \wedge \text{no-guard } H' \wedge h' = \text{normalize } (\text{get-fh } H') \wedge \text{Some } H' = \text{Some } h'' \oplus \text{Some } hf \wedge \text{safe } n (\text{None} :: ('i, 'a, \text{nat}) \text{ cont}) C' (s', h'') S)$

$| \text{safe } (\text{Suc } n) (\text{Some } \Gamma) C (s, h) S \longleftrightarrow (C = \text{Cskip} \longrightarrow (s, h) \in S) \wedge \text{no-abort } (\text{Some } \Gamma) C s h \wedge \text{accesses } C s \subseteq \text{dom } (\text{fst } h) \wedge \text{writes } C s \subseteq \text{fpdom } (\text{fst } h) \wedge$   
 $(\forall H hf C' s' h' hj \text{ v0}. \text{Some } H = \text{Some } h \oplus \text{Some } hj \oplus \text{Some } hf \wedge \text{full-ownership } (\text{get-fh } H) \wedge \text{semi-consistent } \Gamma \text{ v0 } H \wedge \text{sat-inv } s \text{ hj } \Gamma$   
 $\wedge \text{red } C (s, \text{normalize } (\text{get-fh } H)) C' (s', h')$   
 $\longrightarrow (\exists h'' H' hj'. \text{full-ownership } (\text{get-fh } H') \wedge \text{semi-consistent } \Gamma \text{ v0 } H' \wedge \text{sat-inv } s' \text{ hj}' \Gamma$   
 $\wedge h' = \text{normalize } (\text{get-fh } H') \wedge \text{Some } H' = \text{Some } h'' \oplus \text{Some } hj' \oplus \text{Some } hf \wedge \text{safe } n (\text{Some } \Gamma) C' (s', h'') S)$

**lemma** *safeNoneI*:

**assumes**  $C = \text{Cskip} \implies (s, h) \in S$   
**and**  $\text{no-abort } \text{None } C s h$   
**and**  $\text{accesses } C s \subseteq \text{dom } (\text{fst } h) \wedge \text{writes } C s \subseteq \text{fpdom } (\text{fst } h)$   
**and**  $\bigwedge H hf C' s' h'. \text{Some } H = \text{Some } h \oplus \text{Some } hf \wedge \text{full-ownership } (\text{get-fh } H) \wedge \text{no-guard } H \wedge \text{red } C (s, \text{normalize } (\text{get-fh } H)) C' (s', h')$   
 $\implies (\exists h'' H'. \text{full-ownership } (\text{get-fh } H') \wedge \text{no-guard } H' \wedge h' = \text{normalize } (\text{get-fh } H') \wedge \text{Some } H' = \text{Some } h'' \oplus \text{Some } hf \wedge \text{safe } n (\text{None} :: ('i, 'a, \text{nat}) \text{ cont}) C' (s', h'') S)$

**shows**  $\text{safe } (\text{Suc } n) (\text{None} :: ('i, 'a, \text{nat}) \text{ cont}) C (s, h :: ('i, 'a) \text{ heap}) S$

**using** *assms* **by** *auto*

**lemma** *safeSomeI*:

**assumes**  $C = \text{Cskip} \implies (s, h) \in S$   
**and**  $\text{no-abort } (\text{Some } \Gamma) C s h$

**and**  $\text{accesses } C \ s \subseteq \text{dom } (\text{fst } h) \wedge \text{writes } C \ s \subseteq \text{fpdom } (\text{fst } h)$   
**and**  $\bigwedge H \ hf \ C' \ s' \ h' \ hj \ v0. \text{Some } H = \text{Some } h \oplus \text{Some } hj \oplus \text{Some } hf \wedge$   
*full-ownership* (*get-fh*  $H$ )  
 $\wedge \text{semi-consistent } \Gamma \ v0 \ H \wedge \text{sat-inv } s \ hj \ \Gamma \wedge \text{red } C \ (s, \text{normalize } (\text{get-fh } H))$   
 $C' \ (s', h')$   
 $\implies (\exists h'' \ H' \ hj'. \text{full-ownership } (\text{get-fh } H') \wedge \text{semi-consistent } \Gamma \ v0 \ H' \wedge \text{sat-inv}$   
 $s' \ hj' \ \Gamma$   
 $\wedge h' = \text{normalize } (\text{get-fh } H') \wedge \text{Some } H' = \text{Some } h'' \oplus \text{Some } hj' \oplus \text{Some } hf \wedge$   
*safe*  $n \ (\text{Some } \Gamma) \ C' \ (s', h') \ S$   
**shows** *safe* (*Suc*  $n$ ) (*Some*  $\Gamma$ )  $C \ (s, h :: ('i, 'a) \text{heap}) \ S$   
**using** *assms by auto*

**lemma** *safeI*:

**fixes**  $\Delta :: ('i, 'a, \text{nat}) \text{cont}$   
**assumes**  $C = \text{Cskip} \implies (s, h) \in S$   
**and** *no-abort*  $\Delta \ C \ s \ h$   
**and**  $\text{accesses } C \ s \subseteq \text{dom } (\text{fst } h) \wedge \text{writes } C \ s \subseteq \text{fpdom } (\text{fst } h)$   
**and**  $\bigwedge H \ hf \ C' \ s' \ h'. \Delta = \text{None} \implies \text{Some } H = \text{Some } h \oplus \text{Some } hf \wedge$   
*full-ownership* (*get-fh*  $H$ )  $\wedge \text{no-guard } H \wedge \text{red } C \ (s, \text{normalize } (\text{get-fh } H)) \ C' \ (s',$   
 $h')$   
 $\implies (\exists h'' \ H'. \text{full-ownership } (\text{get-fh } H') \wedge \text{no-guard } H' \wedge h' = \text{normalize } (\text{get-fh}$   
 $H') \wedge \text{Some } H' = \text{Some } h'' \oplus \text{Some } hf \wedge \text{safe } n \ (\text{None} :: ('i, 'a, \text{nat}) \text{cont}) \ C' \ (s',$   
 $h') \ S)$   
**and**  $\bigwedge H \ hf \ C' \ s' \ h' \ hj \ v0 \ \Gamma. \Delta = \text{Some } \Gamma \implies \text{Some } H = \text{Some } h \oplus \text{Some}$   
 $hj \oplus \text{Some } hf \wedge \text{full-ownership } (\text{get-fh } H)$   
 $\wedge \text{semi-consistent } \Gamma \ v0 \ H \wedge \text{sat-inv } s \ hj \ \Gamma \wedge \text{red } C \ (s, \text{normalize } (\text{get-fh } H))$   
 $C' \ (s', h')$   
 $\implies (\exists h'' \ H' \ hj'. \text{full-ownership } (\text{get-fh } H') \wedge \text{semi-consistent } \Gamma \ v0 \ H' \wedge \text{sat-inv}$   
 $s' \ hj' \ \Gamma$   
 $\wedge h' = \text{normalize } (\text{get-fh } H') \wedge \text{Some } H' = \text{Some } h'' \oplus \text{Some } hj' \oplus \text{Some } hf \wedge$   
*safe*  $n \ (\text{Some } \Gamma) \ C' \ (s', h') \ S$   
**shows** *safe* (*Suc*  $n$ )  $\Delta \ C \ (s, h :: ('i, 'a) \text{heap}) \ S$   
**proof** (*cases*  $\Delta$ )  
**case** *None*  
**then show** *?thesis*  
**using** *assms by auto*  
**next**  
**case** (*Some*  $\Gamma$ )  
**then show** *?thesis using safeSomeI assms*  
**by** *simp*  
**qed**

**lemma** *safeSomeAltI*:

**assumes**  $C = \text{Cskip} \implies (s, h) \in S$   
**and**  $\bigwedge H \ hf \ hj \ v0. \text{Some } H = \text{Some } h \oplus \text{Some } hj \oplus \text{Some } hf \wedge \text{full-ownership}$   
*(get-fh*  $H$ )  $\wedge \text{semi-consistent } \Gamma \ v0 \ H \wedge \text{sat-inv } s \ hj \ \Gamma$   
 $\implies \neg \text{aborts } C \ (s, \text{normalize } (\text{get-fh } H))$   
**and**  $\bigwedge H \ hf \ C' \ s' \ h' \ hj \ v0. \text{Some } H = \text{Some } h \oplus \text{Some } hj \oplus \text{Some } hf \wedge$

*full-ownership* (*get-fh*  $H$ )  
 $\wedge$  *semi-consistent*  $\Gamma$   $v0$   $H \wedge$  *sat-inv*  $s$   $hj$   $\Gamma \implies$  *red*  $C$  ( $s$ , *normalize* (*get-fh*  $H$ ))  $C'$  ( $s'$ ,  $h'$ )  
 $\implies (\exists h'' H' hj'. \text{full-ownership } (get-fh H') \wedge \text{semi-consistent } \Gamma v0 H' \wedge \text{sat-inv } s' hj' \Gamma$   
 $\wedge h' = \text{normalize } (get-fh H') \wedge \text{Some } H' = \text{Some } h'' \oplus \text{Some } hj' \oplus \text{Some } hf \wedge$   
*safe*  $n$  (*Some*  $\Gamma$ )  $C'$  ( $s'$ ,  $h''$ )  $S$ )  
**and** *accesses*  $C$   $s \subseteq \text{dom } (fst h) \wedge \text{writes } C s \subseteq \text{fpdom } (fst h)$   
**shows** *safe* (*Suc*  $n$ ) (*Some*  $\Gamma$ )  $C$  ( $s$ ,  $h :: ('i, 'a)$  *heap*)  $S$   
**using** *assms*(1)  
**proof** (*rule safeSomeI*)  
**show** *no-abort* (*Some*  $\Gamma$ )  $C$   $s$   $h$  **using** *assms*(2) *no-abortSomeI* **by** *blast*  
**show**  $\bigwedge H hf C' s' h' hj v0.$   
 $\text{Some } H = \text{Some } h \oplus \text{Some } hj \oplus \text{Some } hf \wedge \text{full-ownership } (get-fh H)$   
 $\wedge \text{semi-consistent } \Gamma v0 H \wedge \text{sat-inv } s$   $hj$   $\Gamma \wedge \text{red } C$  ( $s$ , *FractionalHeap.normalize* (*get-fh*  $H$ ))  $C'$  ( $s'$ ,  $h'$ )  $\implies$   
 $(\exists h'' H' hj'.$   
 $\text{full-ownership } (get-fh H') \wedge$   
 $\text{semi-consistent } \Gamma v0 H' \wedge \text{sat-inv } s' hj' \Gamma \wedge h' = \text{FractionalHeap.normalize}$   
 $(get-fh H') \wedge \text{Some } H' = \text{Some } h'' \oplus \text{Some } hj' \oplus \text{Some } hf \wedge \text{safe } n$  (*Some*  $\Gamma$ )  $C'$   
 $(s', h'') S)$   
**using** *assms*(3) **by** *blast*  
**qed** (*auto simp add: assms*)

**lemma** *safeAccessesE*:

**assumes** *safe* (*Suc*  $n$ )  $\Delta$   $C$   $\sigma$   $S$   
**shows** *accesses*  $C$  (*fst*  $\sigma$ )  $\subseteq \text{dom } (fst (snd \sigma)) \wedge \text{writes } C (fst \sigma) \subseteq \text{fpdom } (fst (snd \sigma))$   
**apply** (*cases*  $\Delta$ )  
**using** *assms safe.simps*(2)[*of*  $n$   $C$  *fst*  $\sigma$  *snd*  $\sigma$   $S$ ] *safe.simps*(3)[*of*  $n$  -  $C$  *fst*  $\sigma$  *snd*  $\sigma$   $S$ ] **by** *simp-all*

**lemma** *safeSomeE*:

**assumes** *safe* (*Suc*  $n$ ) (*Some*  $\Gamma$ )  $C$  ( $s$ ,  $h :: ('i, 'a)$  *heap*)  $S$   
**shows**  $C = Cskip \implies (s, h) \in S$   
**and** *no-abort* (*Some*  $\Gamma$ )  $C$   $s$   $h$   
**and**  $\text{Some } H = \text{Some } h \oplus \text{Some } hj \oplus \text{Some } hf \implies \text{full-ownership } (get-fh H)$   
 $\implies \text{semi-consistent } \Gamma v0 H \implies \text{sat-inv } s$   $hj$   $\Gamma \implies \text{red } C$  ( $s$ , *normalize* (*get-fh*  $H$ ))  $C'$  ( $s'$ ,  $h'$ )  
 $\implies (\exists h'' H' hj'. \text{full-ownership } (get-fh H') \wedge \text{semi-consistent } \Gamma v0 H' \wedge \text{sat-inv } s' hj' \Gamma$   
 $\wedge h' = \text{normalize } (get-fh H') \wedge \text{Some } H' = \text{Some } h'' \oplus \text{Some } hj' \oplus \text{Some } hf \wedge$   
*safe*  $n$  (*Some*  $\Gamma$ )  $C'$  ( $s'$ ,  $h''$ )  $S$ )  
**using** *assms safe.simps*(3)[*of*  $n$   $\Gamma$   $C$   $s$   $h$   $S$ ] **by** *blast+*

**lemma** *safeNoneE*:

**assumes** *safe* (*Suc*  $n$ ) (*None*  $:: ('i, 'a, \text{nat})$  *cont*)  $C$  ( $s$ ,  $h :: ('i, 'a)$  *heap*)  $S$   
**shows**  $C = Cskip \implies (s, h) \in S$   
**and** *no-abort* (*None*  $:: ('i, 'a, \text{nat})$  *cont*)  $C$   $s$   $h$

**and**  $\text{Some } H = \text{Some } h \oplus \text{Some } hf \implies \text{full-ownership } (\text{get-fh } H) \implies \text{no-guard } H \implies \text{red } C (s, \text{normalize } (\text{get-fh } H)) C' (s', h')$   
 $\implies (\exists h'' H'. \text{full-ownership } (\text{get-fh } H') \wedge \text{no-guard } H' \wedge h' = \text{normalize } (\text{get-fh } H') \wedge \text{Some } H' = \text{Some } h'' \oplus \text{Some } hf \wedge \text{safe } n (\text{None} :: ('i, 'a, \text{nat}) \text{ cont}) C' (s', h'') S)$   
**using** *assms safe.simps(2)[of n C s h S]* **by** *blast+*

**lemma** *safeNoneE-bis*:

**fixes**  $\text{no-cont} :: ('i, 'a, \text{nat}) \text{ cont}$   
**assumes**  $\text{safe } (\text{Suc } n) \text{ no-cont } C (s, h :: ('i, 'a) \text{ heap}) S$   
**and**  $\text{no-cont} = \text{None}$   
**shows**  $C = \text{Cskip} \implies (s, h) \in S$   
**and**  $\text{no-abort no-cont } C s h$   
**and**  $\text{Some } H = \text{Some } h \oplus \text{Some } hf \implies \text{full-ownership } (\text{get-fh } H) \implies \text{no-guard } H \implies \text{red } C (s, \text{normalize } (\text{get-fh } H)) C' (s', h')$   
 $\implies (\exists h'' H'. \text{full-ownership } (\text{get-fh } H') \wedge \text{no-guard } H' \wedge h' = \text{normalize } (\text{get-fh } H') \wedge \text{Some } H' = \text{Some } h'' \oplus \text{Some } hf \wedge \text{safe } n \text{ no-cont } C' (s', h'') S)$   
**using** *assms safe.simps(2)[of n C s h S]* **by** *blast+*

### 4.3.3 Useful results about safety

**lemma** *no-abort-larger*:

**assumes**  $h' \succeq h$   
**and**  $\text{no-abort } \Gamma C s h$   
**shows**  $\text{no-abort } \Gamma C s h'$   
**proof** (*rule no-abortI*)  
**show**  $\bigwedge hf H. \text{Some } H = \text{Some } h' \oplus \text{Some } hf \wedge \Gamma = \text{None} \wedge \text{full-ownership } (\text{get-fh } H) \wedge \text{no-guard } H \implies \neg \text{aborts } C (s, \text{FractionalHeap.normalize } (\text{get-fh } H))$   
**using** *assms(1) assms(2) larger-def larger-trans no-abort.simps(1)* **by** *blast*  
**show**  $\bigwedge H hf hj v0 \Gamma'.$   
 $\Gamma = \text{Some } \Gamma' \wedge \text{Some } H = \text{Some } h' \oplus \text{Some } hj \oplus \text{Some } hf \wedge \text{full-ownership } (\text{get-fh } H) \wedge \text{semi-consistent } \Gamma' v0 H \wedge \text{sat-inv } s hj \Gamma' \implies$   
 $\neg \text{aborts } C (s, \text{FractionalHeap.normalize } (\text{get-fh } H))$   
**proof** –  
**fix**  $H hf hj v0 \Gamma'$   
**assume**  $\text{asm0}: \Gamma = \text{Some } \Gamma' \wedge \text{Some } H = \text{Some } h' \oplus \text{Some } hj \oplus \text{Some } hf \wedge \text{full-ownership } (\text{get-fh } H) \wedge \text{semi-consistent } \Gamma' v0 H \wedge \text{sat-inv } s hj \Gamma'$   
**moreover obtain**  $r$  **where**  $\text{Some } h' = \text{Some } h \oplus \text{Some } r$   
**using** *assms(1) larger-def* **by** *blast*  
**then obtain**  $hf'$  **where**  $\text{Some } hf' = \text{Some } hf \oplus \text{Some } r$   
**by** (*metis (no-types, opaque-lifting) calculation not-None-eq plus.simps(1) plus-asso plus-comm*)  
**then have**  $\text{Some } H = \text{Some } h \oplus \text{Some } hj \oplus \text{Some } hf'$   
**by** (*metis (no-types, opaque-lifting) <Some h' = Some h \oplus Some r> calculation plus-asso plus-comm*)  
**then show**  $\neg \text{aborts } C (s, \text{FractionalHeap.normalize } (\text{get-fh } H))$   
**using** *assms(2) calculation no-abortE(2)* **by** *blast*  
**qed**  
**qed**

**lemma** *safe-larger-set-aux*:  
**fixes**  $\Delta :: ('i, 'a, nat) cont$   
**assumes** *safe n*  $\Delta C (s, h) S$   
**and**  $S \subseteq S'$   
**shows** *safe n*  $\Delta C (s, h) S'$   
**using** *assms*  
**proof** (*induct n arbitrary: s h C*)  
**case** (*Suc n*)  
**show** ?*case*  
**proof** (*rule safeI*)  
**show**  $C = Cskip \implies (s, h) \in S'$   
**by** (*metis (no-types, opaque-lifting) Suc.prem(1) assms(2) not-Some-eq safeNoneE-bis(1) safeSomeE(1) subset-iff*)  
**show** *no-abort*  $\Delta C s h$   
**apply** (*cases*  $\Delta$ )  
**using** *Suc.prem(1) safeNoneE-bis(2)* **apply** *blast*  
**using** *Suc.prem(1) safeSomeE(2)* **by** *blast*  
  
**show**  $\bigwedge H hf C' s' h'$ .  
 $\Delta = None \implies$   
 $Some H = Some h \oplus Some hf \wedge full\text{-ownership} (get\text{-fh} H) \wedge no\text{-guard} H \wedge$   
 $red C (s, FractionalHeap.normalize (get\text{-fh} H)) C' (s', h') \implies$   
 $\exists h'' H'. full\text{-ownership} (get\text{-fh} H') \wedge no\text{-guard} H' \wedge h' = Fractional\text{-Heap.normalize} (get\text{-fh} H') \wedge Some H' = Some h'' \oplus Some hf \wedge safe n (None$   
 $:: ('i, 'a, nat) cont) C' (s', h') S'$   
**using** *Suc.hyps Suc.prem(1) assms(2) safeNoneE(3)[of n C s h]* **by** *blast*  
  
**show**  $\bigwedge H hf C' s' h' hj v0 \Gamma$ .  
 $\Delta = Some \Gamma \implies$   
 $Some H = Some h \oplus Some hj \oplus Some hf \wedge$   
 $full\text{-ownership} (get\text{-fh} H) \wedge semi\text{-consistent} \Gamma v0 H \wedge sat\text{-inv} s hj \Gamma \wedge red C$   
 $(s, FractionalHeap.normalize (get\text{-fh} H)) C' (s', h') \implies$   
 $\exists h'' H' hj'.$   
 $full\text{-ownership} (get\text{-fh} H') \wedge$   
 $semi\text{-consistent} \Gamma v0 H' \wedge sat\text{-inv} s' hj' \Gamma \wedge h' = FractionalHeap.normalize$   
 $(get\text{-fh} H') \wedge Some H' = Some h'' \oplus Some hj' \oplus Some hf \wedge safe n (Some \Gamma) C'$   
 $(s', h'') S'$   
**proof** –  
**fix**  $H hf C' s' h' hj v0 \Gamma$   
**assume** *asm0*:  $\Delta = Some \Gamma Some H = Some h \oplus Some hj \oplus Some hf \wedge$   
 $full\text{-ownership} (get\text{-fh} H) \wedge semi\text{-consistent} \Gamma v0 H \wedge sat\text{-inv} s hj \Gamma \wedge red C$   
 $(s, FractionalHeap.normalize (get\text{-fh} H)) C' (s', h')$   
**then show**  $\exists h'' H' hj'. full\text{-ownership} (get\text{-fh} H') \wedge semi\text{-consistent} \Gamma v0 H'$   
 $\wedge sat\text{-inv} s' hj' \Gamma$   
 $\wedge h' = FractionalHeap.normalize (get\text{-fh} H') \wedge Some H' = Some h'' \oplus Some$   
 $hj' \oplus Some hf \wedge safe n (Some \Gamma) C' (s', h'') S'$   
**using** *safeSomeE(3)[of n \Gamma C s h S] Suc.hyps Suc.prem(1) assms(2)* **by**  
*blast*

**qed**  
**show**  $\text{accesses } C \ s \subseteq \text{dom } (\text{fst } h) \wedge \text{writes } C \ s \subseteq \text{fpdom } (\text{fst } h)$   
**by**  $(\text{metis } \text{Suc.prem}(1) \ \text{fst-conv } \text{safeAccessesE} \ \text{snd-conv})$   
**qed**  
**qed**  $(\text{simp})$

**lemma** *safe-larger-set*:  
**assumes**  $\text{safe } n \ \Delta \ C \ \sigma \ S$   
**and**  $S \subseteq S'$   
**shows**  $\text{safe } n \ \Delta \ C \ \sigma \ S'$   
**using**  $\text{assms } \text{safe-larger-set-aux}[\text{of } n \ \Delta \ C \ \text{fst } \sigma \ \text{snd } \sigma \ S \ S']$   
**by** *auto*

**lemma** *safe-smaller-aux*:  
**fixes**  $\Delta :: ('i, 'a, \text{nat}) \ \text{cont}$   
**assumes**  $m \leq n$   
**and**  $\text{safe } n \ \Delta \ C \ (s, h) \ S$   
**shows**  $\text{safe } m \ \Delta \ C \ (s, h) \ S$   
**using** *assms*  
**proof**  $(\text{induct } n \ \text{arbitrary: } s \ h \ C \ m)$

**case**  $(\text{Suc } n)$   
**show** *?case*  
**proof**  $(\text{cases } m)$   
**case**  $(\text{Suc } k)$   
**then have**  $k \leq n$   
**using**  $\text{Suc.prem}(1)$  **by** *fastforce*  
**moreover have**  $\text{safe } (\text{Suc } k) \ \Delta \ C \ (s, h) \ S$   
**proof**  $(\text{rule } \text{safeI})$   
**show**  $C = C\text{skip} \implies (s, h) \in S$   
**using**  $\text{Suc.prem}(2) \ \text{safe.elims}(2)$  **by** *blast*  
**show**  $\text{no-abort } \Delta \ C \ s \ h$   
**apply**  $(\text{cases } \Delta)$   
**using**  $\text{Suc.prem}(2) \ \text{safeNoneE}(2)$  **apply** *blast*  
**using**  $\text{Suc.prem}(2) \ \text{safeSomeE}(2)$  **by** *blast*  
**show**  $\bigwedge H \ hf \ C' \ s' \ h'.$   
 $\Delta = \text{None} \implies$   
 $\text{Some } H = \text{Some } h \oplus \text{Some } hf \wedge \text{full-ownership } (\text{get-fh } H) \wedge \text{no-guard } H \wedge$   
 $\text{red } C \ (s, \text{FractionalHeap.normalize } (\text{get-fh } H)) \ C' \ (s', h') \implies$   
 $\exists h'' \ H'. \ \text{full-ownership } (\text{get-fh } H') \wedge \text{no-guard } H' \wedge h' = \text{Fractional-}$   
 $\text{Heap.normalize } (\text{get-fh } H') \wedge \text{Some } H' = \text{Some } h'' \oplus \text{Some } hf \wedge \text{safe } k \ (\text{None}$   
 $:: ('i, 'a, \text{nat}) \ \text{cont}) \ C' \ (s', h'') \ S$   
**proof**  $-$   
**fix**  $H \ hf \ C' \ s' \ h'$   
**assume**  $\text{asm0}: \Delta = \text{None} \ \text{Some } H = \text{Some } h \oplus \text{Some } hf \wedge \text{full-ownership}$   
 $(\text{get-fh } H) \wedge \text{no-guard } H \wedge \text{red } C \ (s, \text{FractionalHeap.normalize } (\text{get-fh } H)) \ C' \ (s',$   
 $h')$   
**then obtain**  $h'' \ H'$  **where**  $\text{full-ownership } (\text{get-fh } H') \wedge \text{no-guard } H' \wedge h'$   
 $= \text{FractionalHeap.normalize } (\text{get-fh } H') \wedge \text{Some } H' = \text{Some } h'' \oplus \text{Some } hf \wedge \text{safe}$   
 $n \ (\text{None} :: ('i, 'a, \text{nat}) \ \text{cont}) \ C' \ (s', h'') \ S$

**using** *Suc.prem*s(2) *safeNoneE*(3) **by** *blast*  
**then show**  $\exists h'' H'. \text{full-ownership } (\text{get-fh } H') \wedge \text{no-guard } H' \wedge h' =$   
*FractionalHeap.normalize* (*get-fh*  $H'$ )  $\wedge \text{Some } H' = \text{Some } h'' \oplus \text{Some } hf \wedge \text{safe } k$   
(*None* :: ('i, 'a, nat) *cont*)  $C' (s', h'') S$   
**using** *Suc.hyps* *asm0*(1) **calculation by** *blast*  
**qed**  
**show**  $\text{accesses } C s \subseteq \text{dom } (\text{fst } h) \wedge \text{writes } C s \subseteq \text{fpdom } (\text{fst } h)$   
**by** (*metis* *Suc.prem*s(2) *fst-eqD* *safeAccessesE* *snd-eqD*)  
**fix**  $H hf C' s' h' hj v0 \Gamma$   
**assume** *asm0*:  $\Delta = \text{Some } \Gamma \text{ Some } H = \text{Some } h \oplus \text{Some } hj \oplus \text{Some } hf \wedge$   
 $\text{full-ownership } (\text{get-fh } H) \wedge \text{semi-consistent } \Gamma v0 H \wedge \text{sat-inv } s hj \Gamma \wedge \text{red } C$   
( $s, \text{FractionalHeap.normalize } (\text{get-fh } H)) C' (s', h')$   
**then show**  $\exists h'' H' hj'.$   
 $\text{full-ownership } (\text{get-fh } H') \wedge$   
 $\text{semi-consistent } \Gamma v0 H' \wedge \text{sat-inv } s' hj' \Gamma \wedge h' = \text{FractionalHeap.normalize}$   
(*get-fh*  $H')$   $\wedge \text{Some } H' = \text{Some } h'' \oplus \text{Some } hj' \oplus \text{Some } hf \wedge \text{safe } k (\text{Some } \Gamma) C'$   
( $s', h'') S$   
**using** *Suc.prem*s(2) *safeSomeE*(3)[*of n*  $\Gamma C s h S H hj hf v0 C' s' h'$ ]  
*Suc.hyps*  
**using** **calculation by** *blast*  
**qed**  
**ultimately show** *?thesis*  
**using** *Suc* **by** *auto*  
**qed** (*simp*)  
**qed** (*simp*)

**lemma** *safe-smaller*:

**assumes**  $m \leq n$   
**and** *safe*  $n \Delta C \sigma S$   
**shows** *safe*  $m \Delta C \sigma S$   
**by** (*metis* *assms*(1) *assms*(2) *safe-smaller-aux* *surj-pair*)

If it is safe to execute  $n$  steps of  $C$  in the state  $(s_0, h)$ , then it is also safe to execute it in the state  $(s_1, h)$ , provided that  $s_0$  and  $s_1$  agree on the values of variables that are free in  $C$ , the invariant, and the postcondition.

**lemma** *safe-free-vars-aux*:

**fixes**  $\Delta :: ('i, 'a, nat) \text{ cont}$   
**assumes** *safe*  $n \Delta C (s_0, h) S$   
**and** *agrees* (*fvC*  $C \cup \text{vars}$ )  $s_0 s_1$   
**and** *upper-fvs*  $S \text{ vars}$   
**and**  $\bigwedge \Gamma. \Delta = \text{Some } \Gamma \implies \text{agrees } (\text{fvA } (\text{invariant } \Gamma)) s_0 s_1$   
**shows** *safe*  $n \Delta C (s_1, h) S$   
**using** *assms*  
**proof** (*induct*  $n$  *arbitrary*:  $s_0 h s_1 C$ )  
**case** (*Suc*  $n$ )  
**show** *?case*  
**proof** (*rule* *safeI*)  
**show**  $C = C\text{skip} \implies (s_1, h) \in S$   
**by** (*metis* *Suc.prem*s(1) *Suc.prem*s(2) *agrees-union* *assms*(3) *not-Some-eq*)



*safeNoneE-bis(1) safeSomeE(1) upper-fvs-def*  
**show** *no-abort*  $\Delta$   $C$   $s1$   $h$   
**proof** (*rule no-abortI*)  
**show**  $\bigwedge hf$   $H$ . *Some*  $H = \text{Some } h \oplus \text{Some } hf \wedge \Delta = \text{None} \wedge \text{full-ownership}$   
 $(\text{get-fh } H) \wedge \text{no-guard } H \implies \neg \text{aborts } C (s1, \text{FractionalHeap.normalize } (\text{get-fh } H))$   
**using** *Suc.premis(1) Suc.premis(2) abort-iff-fvC agrees-union no-abortE(1)*  
*safeNoneE(2)* **by** *blast*  
**show**  $\bigwedge H$   $hf$   $hj$   $v0$   $\Gamma$ .  $\Delta = \text{Some } \Gamma \wedge \text{Some } H = \text{Some } h \oplus \text{Some } hj \oplus \text{Some}$   
 $hf \wedge \text{full-ownership } (\text{get-fh } H) \wedge \text{semi-consistent } \Gamma$   $v0$   $H \wedge \text{sat-inv } s1$   $hj$   $\Gamma \implies$   
 $\neg \text{aborts } C (s1, \text{FractionalHeap.normalize } (\text{get-fh } H))$   
**proof** –  
**fix**  $H$   $hf$   $hj$   $v0$   $\Gamma$   
**assume** *asm0*:  $\Delta = \text{Some } \Gamma \wedge \text{Some } H = \text{Some } h \oplus \text{Some } hj \oplus \text{Some } hf$   
 $\wedge \text{full-ownership } (\text{get-fh } H) \wedge \text{semi-consistent } \Gamma$   $v0$   $H \wedge \text{sat-inv } s1$   $hj$   $\Gamma$   
**then have** *sat-inv*  $s0$   $hj$   $\Gamma$   
**using** *Suc.premis(4) agrees-def sat-inv-agrees*  
**by** (*metis (mono-tags, opaque-lifting)*)  
**then have**  $\neg \text{aborts } C (s0, \text{FractionalHeap.normalize } (\text{get-fh } H))$   
**using** *Suc.premis(1) asm0 no-abort.simps(2) safeSomeE(2)* **by** *blast*  
**then show**  $\neg \text{aborts } C (s1, \text{FractionalHeap.normalize } (\text{get-fh } H))$   
**using** *Suc.premis(2) abort-iff-fvC agrees-union* **by** *blast*  
**qed**  
**qed**  
**show**  $\bigwedge H$   $hf$   $C'$   $s1'$   $h'$ .  
 $\Delta = \text{None} \implies$   
 $\text{Some } H = \text{Some } h \oplus \text{Some } hf \wedge \text{full-ownership } (\text{get-fh } H) \wedge \text{no-guard } H \wedge$   
 $\text{red } C (s1, \text{FractionalHeap.normalize } (\text{get-fh } H))$   $C' (s1', h') \implies$   
 $\exists h''$   $H'$ .  $\text{full-ownership } (\text{get-fh } H') \wedge \text{no-guard } H' \wedge h' = \text{Fractional-}$   
 $\text{Heap.normalize } (\text{get-fh } H') \wedge \text{Some } H' = \text{Some } h'' \oplus \text{Some } hf \wedge \text{safe } n$  (*None*  
 $:: ('i, 'a, \text{nat}) \text{cont}$ )  $C' (s1', h'')$   $S$   
**proof** –  
**fix**  $H$   $hf$   $C'$   $s1'$   $h'$   
**assume** *asm0*:  $\Delta = \text{None}$   
 $\text{Some } H = \text{Some } h \oplus \text{Some } hf \wedge \text{full-ownership } (\text{get-fh } H) \wedge \text{no-guard } H \wedge$   
 $\text{red } C (s1, \text{FractionalHeap.normalize } (\text{get-fh } H))$   $C' (s1', h')$   
**then obtain**  $s0'$  **where**  $\text{red } C (s0', \text{FractionalHeap.normalize } (\text{get-fh } H))$   $C'$   
 $(s0', h')$  *agrees* (*fvC*  $C \cup \text{vars}$ )  $s1'$   $s0'$   
**using** *red-agrees[of C (s1, FractionalHeap.normalize (get-fh H)) C' (s1',*  
 $h')$  *fvC C  $\cup$  vars]*  
**using** *Suc.premis(2) agrees-def fst-conv snd-conv sup-ge1*  
**by** (*metis (mono-tags, lifting)*)  
**then obtain**  $h''$   $H'$  **where**  
 $r$ :  $\text{full-ownership } (\text{get-fh } H') \wedge \text{no-guard } H' \wedge h' = \text{FractionalHeap.normalize}$   
 $(\text{get-fh } H') \wedge \text{Some } H' = \text{Some } h'' \oplus \text{Some } hf \wedge \text{safe } n$  (*None*  $:: ('i, 'a, \text{nat}) \text{cont}$ )  
 $C' (s0', h'')$   $S$   
**using** *Suc.premis(1) asm0(1) asm0(2) safeNoneE(3)* **by** *blast*  
**then have** *safe n (None :: ('i, 'a, nat) cont) C' (s1', h'') S*  
**using** *Suc.hyps[of C' s0' h'' s1']*  
**using**  $\langle \text{agrees } (\text{fvC } C \cup \text{vars})$   $s1'$   $s0' \rangle$  *agrees-union asm0(1) asm0(2)*

*assms*(3) *option.distinct*(1) *red-properties*(1)  
**by** (*metis* (*mono-tags*, *lifting*) *agrees-def subset-iff*)  
**then show**  $\exists h'' H'. \text{full-ownership } (\text{get-fh } H') \wedge \text{no-guard } H' \wedge h' = \text{FractionalHeap.normalize } (\text{get-fh } H') \wedge \text{Some } H' = \text{Some } h'' \oplus \text{Some } hf \wedge \text{safe } n \text{ (None :: ('i, 'a, nat) cont) } C' (s1', h'') S$   
**using** *r* **by** *blast*  
**qed**  
**show**  $\text{accesses } C \ s1 \subseteq \text{dom } (\text{fst } h) \wedge \text{writes } C \ s1 \subseteq \text{fpdom } (\text{fst } h)$   
**by** (*metis* *Suc.prem*s(1) *Suc.prem*s(2) *accesses-agrees writes-agrees agrees-union fst-conv safeAccessesE snd-conv*)  
**fix** *H hf C' s1' h' hj v0  $\Gamma$*   
**assume** *asm0*:  $\Delta = \text{Some } \Gamma$   
 $\text{Some } H = \text{Some } h \oplus \text{Some } hj \oplus \text{Some } hf \wedge \text{full-ownership } (\text{get-fh } H) \wedge \text{semi-consistent } \Gamma \ v0 \ H \wedge \text{sat-inv } s1 \ hj \ \Gamma \wedge \text{red } C \ (s1, \text{normalize } (\text{get-fh } H)) \ C' (s1', h')$   
**then obtain** *s0'* **where**  $\text{red } C \ (s0, \text{FractionalHeap.normalize } (\text{get-fh } H)) \ C' (s0', h') \text{ agrees } (\text{fvC } C \cup \text{vars } \cup \text{fvA } (\text{invariant } \Gamma)) \ s1' \ s0'$   
**using** *red-agrees*[of *C* (*s1*, *FractionalHeap.normalize* (*get-fh H*)) *C'* (*s1'*, *h'*) *fvC C*  $\cup$  *vars*  $\cup$  *fvA* (*invariant*  $\Gamma$ )]  
**using** *Suc.prem*s(2) *Suc.prem*s(4) *agrees-comm agrees-union fst-conv snd-conv sup-assoc sup-ge1*  
**by** (*metis* (*no-types*, *lifting*))  
**moreover have** *sat-inv s0 hj  $\Gamma$*   
**using** *Suc.prem*s(4) *agrees-comm asm0*(1) *asm0*(2) *sat-inv-agrees* **by** *blast*  
**ultimately obtain** *h'' H' hj'* **where** *r*:  $\text{full-ownership } (\text{get-fh } H') \wedge \text{semi-consistent } \Gamma \ v0 \ H' \wedge \text{sat-inv } s0' \ hj' \ \Gamma$   
 $\wedge h' = \text{FractionalHeap.normalize } (\text{get-fh } H') \wedge \text{Some } H' = \text{Some } h'' \oplus \text{Some } hj' \oplus \text{Some } hf \wedge \text{safe } n \text{ (Some } \Gamma) \ C' (s0', h'') S$   
**using** *Suc.prem*s(1) *asm0*(1) *asm0*(2) *safeSomeE*(3)[of *n*  $\Gamma$  *C s0 h S H hj hf*]  
**by** *blast*  
**then have** *sat-inv s1' hj'  $\Gamma$*   
**using**  $\langle \text{agrees } (\text{fvC } C \cup \text{vars } \cup \text{fvA } (\text{invariant } \Gamma)) \ s1' \ s0' \rangle \text{ agrees-comm agrees-union sat-inv-agrees}$  **by** *blast*  
**moreover have** *safe n* (*Some*  $\Gamma$ ) *C'* (*s1'*, *h''*) *S*  
**using** *Suc.hyps*[of *C' s0' h'' s1'*]  $\langle \text{agrees } (\text{fvC } C \cup \text{vars } \cup \text{fvA } (\text{invariant } \Gamma)) \ s1' \ s0' \rangle \langle \text{red } C \ (s0, \text{FractionalHeap.normalize } (\text{get-fh } H)) \ C' (s0', h') \rangle$   
 $\text{agrees-def agrees-union } \text{asm0}(1) \ \text{assms}(3) \ \text{option.inject } r \ \text{red-properties}$   
**by** (*metis* (*mono-tags*, *lifting*) *subset-Un-eq*)  
**ultimately show**  $\exists h'' H' hj'. \text{full-ownership } (\text{get-fh } H') \wedge \text{semi-consistent } \Gamma \ v0 \ H' \wedge \text{sat-inv } s1' \ hj' \ \Gamma \wedge h' = \text{FractionalHeap.normalize } (\text{get-fh } H') \wedge \text{Some } H' = \text{Some } h'' \oplus \text{Some } hj' \oplus \text{Some } hf \wedge \text{safe } n \text{ (Some } \Gamma) \ C' (s1', h'') S$   
**using** *r* **by** *blast*  
**qed**  
**qed** (*simp*)

**lemma** *safe-free-vars-None*:  
**assumes** *safe n (None :: ('i, 'a, nat) cont) C (s, h) S*  
**and** *agrees (fvC C ∪ vars) s s'*  
**and** *upper-fvs S vars*  
**shows** *safe n (None :: ('i, 'a, nat) cont) C (s', h) S*  
**by** (*meson assms(1) assms(2) assms(3) not-Some-eq safe-free-vars-aux*)

**lemma** *safe-free-vars-Some*:  
**assumes** *safe n (Some Γ) C (s, h) S*  
**and** *agrees (fvC C ∪ vars ∪ fvA (invariant Γ)) s s'*  
**and** *upper-fvs S vars*  
**shows** *safe n (Some Γ) C (s', h) S*  
**by** (*metis agrees-union assms(1) assms(2) assms(3) option.inject safe-free-vars-aux*)

**lemma** *safe-free-vars*:  
**fixes**  $\Delta :: ('i, 'a, nat) cont$   
**assumes** *safe n Δ C (s, h) S*  
**and** *agrees (fvC C ∪ vars) s s'*  
**and** *upper-fvs S vars*  
**and**  $\bigwedge \Gamma. \Delta = \text{Some } \Gamma \implies \text{agrees (fvA (invariant } \Gamma)) s s'$   
**shows** *safe n Δ C (s', h) S*  
**proof** (*cases Δ*)  
**case** *None*  
**then show** *?thesis*  
**using** *assms(1) assms(2) assms(3) safe-free-vars-None by blast*  
**next**  
**case** (*Some Γ*)  
**then show** *?thesis*  
**using** *agrees-union assms(1) assms(2) assms(3) assms(4) safe-free-vars-Some*  
**by** *blast*  
**qed**

**lemma** *restrict-safe-to-bounded*:  
**assumes** *safe n Δ C (s, h) S*  
**and** *bounded h*  
**shows** *safe n Δ C (s, h) (Set.filter (bounded ∘ snd) S)*  
**using** *assms*  
**proof** (*induct n arbitrary: s h C*)  
**case** (*Suc n*)  
**show** *?case*  
**proof** (*rule safeI*)  
**have**  $C = \text{Cskip} \implies (s, h) \in S$   
**using** *Suc.prem(1) safe.elims(2) by blast*  
**then show**  $C = \text{Cskip} \implies (s, h) \in \text{Set.filter (bounded } \circ \text{snd) } S$   
**by** (*simp add: Suc.prem(2)*)  
**show** *no-abort Δ C s h using Suc.prem(1) safe.elims(2) by blast*  
**show**  $\text{accesses } C s \subseteq \text{dom (fst h)} \wedge \text{writes } C s \subseteq \text{fpdom (fst h)}$   
**by** (*metis Suc.prem(1) fst-conv safeAccessesE snd-conv*)

**fix**  $H$   $hf$   $C'$   $s'$   $h'$   
**assume**  $asm0$ :  $\Delta = None$   $Some$   $H = Some$   $h \oplus Some$   $hf \wedge full\text{-ownership}$   
 $(get\text{-fh } H) \wedge no\text{-guard } H \wedge red$   $C$   $(s, FractionalHeap.normalize (get\text{-fh } H))$   $C'$   $(s',$   
 $h')$   
**then obtain**  $h''$   $H'$  **where**  $full\text{-ownership } (get\text{-fh } H') \wedge$   
 $no\text{-guard } H' \wedge$   
 $h' = FractionalHeap.normalize (get\text{-fh } H') \wedge Some$   $H' = Some$   $h'' \oplus Some$   
 $hf \wedge safe$   $n$   $None$   $C'$   $(s', h'')$   $S$   
**using**  $Suc.prem$  $s(1)$   $safeNoneE(3)$  **by**  $blast$   
**then have**  $safe$   $n$   $None$   $C'$   $(s', h'')$   $(Set.filter (bounded \circ snd) S)$   
**using**  $Suc(1)[of$   $C'$   $s'$   $h'']$  **apply**  $simp$   
**using**  $\llbracket safe$   $n$   $\Delta$   $C'$   $(s', h'')$   $S; bounded$   $h'' \rrbracket \implies safe$   $n$   $\Delta$   $C'$   $(s', h'')$   
 $(Set.filter (bounded \circ snd) S) \rangle \langle full\text{-ownership } (get\text{-fh } H') \wedge no\text{-guard } H' \wedge h' =$   
 $FractionalHeap.normalize (get\text{-fh } H') \wedge Some$   $H' = Some$   $h'' \oplus Some$   $hf \wedge safe$   
 $n$   $None$   $C'$   $(s', h'')$   $S \rangle asm0(1)$   $bounded\text{-smaller}\text{-sum}$   $full\text{-ownership}\text{-then}\text{-bounded}$   
**by**  $blast$   
**then show**  $\exists h''$   $H'$ .  
 $full\text{-ownership } (get\text{-fh } H') \wedge$   
 $no\text{-guard } H' \wedge$   
 $h' = FractionalHeap.normalize (get\text{-fh } H') \wedge Some$   $H' = Some$   $h'' \oplus Some$   
 $hf \wedge safe$   $n$   $None$   $C'$   $(s', h'')$   $(Set.filter (bounded \circ snd) S)$   
**using**  $\langle full\text{-ownership } (get\text{-fh } H') \wedge no\text{-guard } H' \wedge h' = FractionalHeap.normalize$   
 $(get\text{-fh } H') \wedge Some$   $H' = Some$   $h'' \oplus Some$   $hf \wedge safe$   $n$   $None$   $C'$   $(s', h'')$   $S \rangle$  **by**  
 $blast$   
**next**  
**fix**  $H$   $hf$   $C'$   $s'$   $h'$   $hj$   $v0$   $\Gamma$   
**assume**  $asm0$ :  $\Delta = Some$   $\Gamma$   $Some$   $H = Some$   $h \oplus Some$   $hj \oplus Some$   $hf \wedge$   
 $full\text{-ownership } (get\text{-fh } H) \wedge semi\text{-consistent } \Gamma$   $v0$   $H \wedge sat\text{-inv } s$   $hj$   $\Gamma \wedge red$   $C$   
 $(s, FractionalHeap.normalize (get\text{-fh } H))$   $C'$   $(s', h')$   
**then obtain**  $h''$   $H'$   $hj'$  **where**  $full\text{-ownership } (get\text{-fh } H') \wedge$   
 $semi\text{-consistent } \Gamma$   $v0$   $H' \wedge$   
 $sat\text{-inv } s'$   $hj'$   $\Gamma \wedge$   
 $h' = FractionalHeap.normalize (get\text{-fh } H') \wedge Some$   $H' = Some$   $h'' \oplus Some$   
 $hj' \oplus Some$   $hf \wedge safe$   $n$   $(Some$   $\Gamma)$   $C'$   $(s', h'')$   $S$   
**using**  $Suc.prem$  $s(1)$   $safeSomeE(3)$  **by**  $blast$   
**then have**  $safe$   $n$   $(Some$   $\Gamma)$   $C'$   $(s', h'')$   $(Set.filter (bounded \circ snd) S)$   
**using**  $Suc(1)[of$   $C'$   $s'$   $h'']$  **apply**  $simp$   
**by**  $(metis (no\text{-types}, opaque\text{-lifting}) \llbracket safe$   $n$   $\Delta$   $C'$   $(s', h'')$   $S; bounded$   $h'' \rrbracket \implies$   
 $safe$   $n$   $\Delta$   $C'$   $(s', h'')$   $(Set.filter (bounded \circ snd) S) \rangle \langle full\text{-ownership } (get\text{-fh } H') \wedge$   
 $semi\text{-consistent } \Gamma$   $v0$   $H' \wedge sat\text{-inv } s'$   $hj'$   $\Gamma \wedge h' = FractionalHeap.normalize (get\text{-fh}$   
 $H') \wedge Some$   $H' = Some$   $h'' \oplus Some$   $hj' \oplus Some$   $hf \wedge safe$   $n$   $(Some$   $\Gamma)$   $C'$   $(s', h'')$   
 $S \rangle asm0(1)$   $bounded\text{-smaller}$   $full\text{-ownership}\text{-then}\text{-bounded}$   $larger3$   $plus\text{-comm}$ )  
  
**then show**  $\exists h''$   $H'$   $hj'$ .  
 $full\text{-ownership } (get\text{-fh } H') \wedge$   
 $semi\text{-consistent } \Gamma$   $v0$   $H' \wedge$   
 $sat\text{-inv } s'$   $hj'$   $\Gamma \wedge$   
 $h' = FractionalHeap.normalize (get\text{-fh } H') \wedge Some$   $H' = Some$   $h'' \oplus Some$   
 $hj' \oplus Some$   $hf \wedge safe$   $n$   $(Some$   $\Gamma)$   $C'$   $(s', h'')$   $(Set.filter (bounded \circ snd) S)$

**using**  $\langle \text{full-ownership } (\text{get-fh } H') \wedge \text{semi-consistent } \Gamma \text{ } v0 \text{ } H' \wedge \text{sat-inv } s' \text{ } hj' \text{ } \Gamma \wedge h' = \text{FractionalHeap.normalize } (\text{get-fh } H') \wedge \text{Some } H' = \text{Some } h'' \oplus \text{Some } hj' \oplus \text{Some } hf \wedge \text{safe } n \text{ } (\text{Some } \Gamma) \text{ } C' (s', h'') \text{ } S \rangle$  **by** *blast*

**qed**  
**qed** (*simp*)

#### 4.3.4 Hoare triples

The following defines when Hoare triples are valid, based on Definition 4.1.

**definition** *hoare-triple-valid* ::  $(i, 'a, nat) \text{ cont} \Rightarrow (i, 'a, nat) \text{ assertion} \Rightarrow \text{cmd} \Rightarrow (i, 'a, nat) \text{ assertion} \Rightarrow \text{bool}$

$(\langle - \models \{-\} - \{-\} \rangle [51, 0, 0] \text{ } \delta 1)$  **where**  
*hoare-triple-valid*  $\Gamma \text{ } P \text{ } C \text{ } Q \iff (\exists \Sigma. (\forall \sigma \text{ } n. \sigma, \sigma \models P \wedge \text{bounded } (\text{snd } \sigma) \longrightarrow \text{safe } n \text{ } \Gamma \text{ } C \text{ } \sigma (\Sigma \text{ } \sigma)) \wedge (\forall \sigma \text{ } \sigma'. \sigma, \sigma' \models P \longrightarrow \text{pair-sat } (\Sigma \text{ } \sigma) (\Sigma \text{ } \sigma') \text{ } Q))$

**lemma** *hoare-triple-validI*:

**assumes**  $\bigwedge s \text{ } h \text{ } n. (s, h), (s, h) \models P \implies \text{safe } n \text{ } \Gamma \text{ } C (s, h) (\Sigma (s, h))$   
**and**  $\bigwedge s \text{ } h \text{ } s' \text{ } h'. (s, h), (s', h') \models P \implies \text{pair-sat } (\Sigma (s, h)) (\Sigma (s', h')) \text{ } Q$   
**shows** *hoare-triple-valid*  $\Gamma \text{ } P \text{ } C \text{ } Q$   
**by** (*metis* *assms*(1) *assms*(2) *hoare-triple-valid-def* *prod.collapse*)

**lemma** *hoare-triple-validI-bounded*:

**assumes**  $\bigwedge s \text{ } h \text{ } n. (s, h), (s, h) \models P \implies \text{bounded } h \implies \text{safe } n \text{ } \Gamma \text{ } C (s, h) (\Sigma (s, h))$   
**and**  $\bigwedge s \text{ } h \text{ } s' \text{ } h'. (s, h), (s', h') \models P \implies \text{pair-sat } (\Sigma (s, h)) (\Sigma (s', h')) \text{ } Q$   
**shows** *hoare-triple-valid*  $\Gamma \text{ } P \text{ } C \text{ } Q$   
**by** (*metis* *assms*(1) *assms*(2) *hoare-triple-valid-def* *prod.collapse*)

**lemma** *hoare-triple-valid-smallerI*:

**assumes**  $\bigwedge \sigma \text{ } n. \sigma, \sigma \models P \implies \text{safe } n \text{ } \Gamma \text{ } C \text{ } \sigma (\Sigma \text{ } \sigma)$   
**and**  $\bigwedge \sigma \text{ } \sigma'. \sigma, \sigma' \models P \implies \text{pair-sat } (\Sigma \text{ } \sigma) (\Sigma \text{ } \sigma') \text{ } Q$   
**shows** *hoare-triple-valid*  $\Gamma \text{ } P \text{ } C \text{ } Q$   
**using** *assms* *hoare-triple-valid-def* **by** *metis*

**lemma** *hoare-triple-valid-smallerI-bounded*:

**assumes**  $\bigwedge \sigma \text{ } n. \sigma, \sigma \models P \implies \text{bounded } (\text{snd } \sigma) \implies \text{safe } n \text{ } \Gamma \text{ } C \text{ } \sigma (\Sigma \text{ } \sigma)$   
**and**  $\bigwedge \sigma \text{ } \sigma'. \sigma, \sigma' \models P \implies \text{pair-sat } (\Sigma \text{ } \sigma) (\Sigma \text{ } \sigma') \text{ } Q$   
**shows** *hoare-triple-valid*  $\Gamma \text{ } P \text{ } C \text{ } Q$   
**using** *assms* *hoare-triple-valid-def* **by** *metis*

**lemma** *hoare-triple-validE*:

**assumes** *hoare-triple-valid*  $\Gamma \text{ } P \text{ } C \text{ } Q$   
**shows**  $\exists \Sigma. (\forall \sigma \text{ } n. \sigma, \sigma \models P \wedge \text{bounded } (\text{snd } \sigma) \longrightarrow \text{safe } n \text{ } \Gamma \text{ } C \text{ } \sigma (\Sigma \text{ } \sigma)) \wedge (\forall \sigma \text{ } \sigma'. \sigma, \sigma' \models P \longrightarrow \text{pair-sat } (\Sigma \text{ } \sigma) (\Sigma \text{ } \sigma') \text{ } Q)$   
**using** *assms* *hoare-triple-valid-def* **by** *blast*

**lemma** *hoare-triple-valid-simplerE*:

```

assumes hoare-triple-valid  $\Gamma P C Q$ 
and  $\sigma, \sigma' \models P$ 
and bounded (snd  $\sigma$ )
and bounded (snd  $\sigma'$ )
shows  $\exists S S'. \text{safe } n \Gamma C \sigma S \wedge \text{safe } n \Gamma C \sigma' S' \wedge \text{pair-sat } S S' Q$ 
by (meson always-sat-refl assms hoare-triple-validE sat-comm)

```

**end**

## 4.4 Soundness of the Rules

In this file, we prove that each rule of the logic is sound. We do this by assuming that the Hoare triples in the premise of the rule hold semantically (as defined in `Safety.thy`), and then proving that the Hoare triple in the conclusion also holds semantically. We prove soundness of the logic (with some corollaries) at the end of the file.

For each rule, we first prove an important lemma about the safety of the statement (i.e., under which conditions is executing this statement safe, and what conditions will hold about the set of states that can be reached by executing this statement). We then use this lemma to prove the rule of the logic, by constructing the set of states that will be reached, proving that safety holds, and proving that the final set of states satisfies the postcondition.

```

theory Soundness
imports Safety AbstractCommutativity
begin

```

### 4.4.1 Skip

```

lemma safe-skip:
fixes  $\Delta :: ('i, 'a, \text{nat}) \text{cont}$ 
assumes  $(s, h) \in S$ 
shows safe  $n \Delta Cskip (s, h) S$ 
using assms
proof (induct  $n$ )
case (Suc  $n$ )
then show ?case
proof (cases  $\Delta$ )
case None
then show ?thesis
by (simp add: Suc.prems)
next
case (Some  $a$ )
then show ?thesis
by (simp add: assms)
qed
qed (simp)

```

**theorem** *rule-skip*:

*hoare-triple-valid*  $\Gamma P Cskip P$

**proof** (*rule hoare-triple-validI*)

**let**  $? \Sigma = \lambda \sigma. \{ \sigma \}$

**show**  $\bigwedge s h n. (s, h), (s, h) \models P \implies safe\ n\ \Gamma\ Cskip\ (s, h)\ (? \Sigma\ (s, h))$

**by** (*simp add: safe-skip*)

**show**  $\bigwedge s h s' h'. (s, h), (s', h') \models P \implies pair\text{-}sat\ \{(s, h)\}\ \{(s', h')\}\ P$

**by** (*metis pair-sat-smallerI singleton-iff*)

**qed**

#### 4.4.2 Assign

**inductive-cases** *red-assign-cases*: *red* (*Cassign*  $x E$ )  $\sigma C' \sigma'$

**inductive-cases** *aborts-assign-cases*: *aborts* (*Cassign*  $x E$ )  $\sigma$

**lemma** *safe-assign*:

**fixes**  $\Delta :: ('i, 'a, nat)\ cont$

**assumes**  $\bigwedge \Gamma. \Delta = Some\ \Gamma \implies x \notin fvA\ (invariant\ \Gamma)$

**shows** *safe*  $m\ \Delta\ (Cassign\ x\ E)\ (s, h)\ \{(s(x := edenot\ E\ s), h)\}$

**proof** (*induct*  $m$ )

**case** (*Suc*  $n$ )

**show** *safe* (*Suc*  $n$ )  $\Delta\ (Cassign\ x\ E)\ (s, h)\ \{(s(x := edenot\ E\ s), h)\}$

**proof** (*rule safeI*)

**show** *no-abort*  $\Delta\ (Cassign\ x\ E)\ s\ h$

**using** *aborts-assign-cases no-abortI* **by** *blast*

**show**  $\bigwedge H\ hf\ C'\ s'\ h'.$

$\Delta = None \implies$

*Some*  $H = Some\ h \oplus Some\ hf \wedge full\text{-}ownership\ (get\text{-}fh\ H) \wedge no\text{-}guard\ H \wedge red\ (Cassign\ x\ E)\ (s, FractionalHeap.normalize\ (get\text{-}fh\ H))\ C'\ (s', h') \implies$

$\exists h''\ H'.$

*full-ownership* (*get-fh*  $H'$ )  $\wedge$

*no-guard*  $H' \wedge h' = FractionalHeap.normalize\ (get\text{-}fh\ H') \wedge Some\ H' = Some\ h'' \oplus Some\ hf \wedge safe\ n\ None\ C'\ (s', h'')\ \{(s(x := edenot\ E\ s), h)\}$

**by** (*metis Pair-inject insertI1 red-assign-cases safe-skip*)

**show** *accesses* (*Cassign*  $x E$ )  $s \subseteq dom\ (fst\ h) \wedge writes\ (Cassign\ x E)\ s \subseteq fpdom\ (fst\ h)$

**by** *simp*

**fix**  $H\ hf\ C'\ s'\ h'\ hj\ v0\ \Gamma$

**assume** *asm0*:  $\Delta = Some\ \Gamma\ Some\ H = Some\ h \oplus Some\ hj \oplus Some\ hf \wedge$

*full-ownership* (*get-fh*  $H$ )  $\wedge semi\text{-}consistent\ \Gamma\ v0\ H \wedge sat\text{-}inv\ s\ hj\ \Gamma \wedge red\ (Cassign\ x\ E)\ (s, FractionalHeap.normalize\ (get\text{-}fh\ H))\ C'\ (s', h')$

**then have** *sat-inv* ( $s(x := edenot\ E\ s)$ )  $hj\ \Gamma$

**by** (*meson agrees-update assms sat-inv-agrees*)

**then show**  $\exists h''\ H'\ hj'. full\text{-}ownership\ (get\text{-}fh\ H') \wedge semi\text{-}consistent\ \Gamma\ v0\ H' \wedge sat\text{-}inv\ s'\ hj'\ \Gamma \wedge$

$h' = FractionalHeap.normalize\ (get\text{-}fh\ H') \wedge Some\ H' = Some\ h'' \oplus Some$

$hj' \oplus \text{Some } hf \wedge \text{safe } n \text{ (Some } \Gamma) C' (s', h'') \{(s(x := \text{edenot } E s), h)\}$   
**by** (*metis* (*no-types*, *lifting*) *asm0*(2) *insertI1* *old.prod.inject* *red-assign-cases* *safe-skip*)  
**qed** (*simp*)  
**qed** (*simp*)

**theorem** *assign-rule*:

**fixes**  $\Delta :: ('i, 'a, \text{nat}) \text{ cont}$   
**assumes**  $\bigwedge \Gamma. \Delta = \text{Some } \Gamma \implies x \notin \text{fv} A$  (*invariant*  $\Gamma$ )  
**and** *collect-existentials*  $P \cap \text{fv} E = \{\}$   
**shows** *hoare-triple-valid*  $\Delta$  (*subA*  $x E P$ ) (*Cassign*  $x E$ )  $P$   
**proof** –  
**define**  $\Sigma :: \text{store} \times ('i, 'a) \text{ heap} \implies (\text{store} \times ('i, 'a) \text{ heap}) \text{ set}$  **where**  $\Sigma = (\lambda \sigma. \{ ((\text{fst } \sigma)(x := \text{edenot } E (\text{fst } \sigma)), \text{snd } \sigma) \})$

**show** *?thesis*  
**proof** (*rule* *hoare-triple-validI*)  
**show**  $\bigwedge s h n. (s, h), (s, h) \models \text{subA } x E P \implies \text{safe } n \Delta$  (*Cassign*  $x E$ )  $(s, h)$   
 $(\Sigma (s, h))$   
**using** *assms* *safe-assign* **by** (*metis*  $\Sigma$ -*def* *fst-eqD* *snd-eqD*)  
**show**  $\bigwedge s h s' h'. (s, h), (s', h') \models \text{subA } x E P \implies \text{pair-sat } (\Sigma (s, h)) (\Sigma (s', h')) P$   
**by** (*metis* *assms*(2)  $\Sigma$ -*def* *fst-conv* *pair-sat-smallerI* *singleton-iff* *snd-conv* *subA-assign*)  
**qed**  
**qed**

### 4.4.3 Alloc

**inductive-cases** *red-alloc-cases*: *red* (*Calloc*  $x E$ )  $\sigma C' \sigma'$

**inductive-cases** *aborts-alloc-cases*: *aborts* (*Calloc*  $x E$ )  $\sigma$

**lemma** *safe-new-None*:

$\text{safe } n \text{ (None} :: ('i, 'a, \text{nat}) \text{ cont}) (\text{Calloc } x E) (s, (\text{Map.empty}, \text{gs}, \text{gu})) \{ (s(x := a), (\text{Map.empty}(a \mapsto (\text{pwrite}, \text{edenot } E s)), \text{gs}, \text{gu})) \mid a. \text{True} \}$   
**proof** (*induct*  $n$ )  
**case** (*Suc*  $n$ )  
**show** *?case*  
**proof** (*rule* *safeNoneI*)  
**show**  $\text{Calloc } x E = \text{Cskip} \implies (s, \text{Map.empty}, \text{gs}, \text{gu}) \in \{(s(x := a), [a \mapsto (\text{pwrite}, \text{edenot } E s)], \text{gs}, \text{gu}) \mid a. \text{True}\}$  **by** *simp*  
**show** *no-abort* *None* (*Calloc*  $x E$ )  $s$  (*Map.empty*, *gs*, *gu*)  
**using** *aborts-alloc-cases* *no-abort.simps*(1) **by** *blast*  
**fix**  $H hf C' s' h'$   
**assume** *asm0*:  $\text{Some } H = \text{Some } (\text{Map.empty}, \text{gs}, \text{gu}) \oplus \text{Some } hf \wedge$   
 $\text{full-ownership } (\text{get-fh } H) \wedge \text{no-guard } H \wedge \text{red } (\text{Calloc } x E) (s, \text{Fractional-Heap.normalize } (\text{get-fh } H)) C' (s', h')$



**show**  $\exists h'' H'$ .  
*full-ownership* (*get-fh*  $H'$ )  $\wedge$   
*no-guard*  $H' \wedge$   
 $h' = \text{FractionalHeap.normalize } (\text{get-fh } H') \wedge$   
 $\text{Some } H' = \text{Some } h'' \oplus \text{Some } hf \wedge \text{safe } n \text{ (None :: ('i, 'a, nat) cont) } C'$   
 $(s', h'') \{(s(x := a), [a \mapsto (\text{pwrite}, \text{edenot } E s)], \text{gs}, \text{gu}) \mid a. \text{True}\}$   
**proof** (*rule red-alloc-cases*)  
**show** *red* (*Calloc*  $x E$ ) ( $s, \text{FractionalHeap.normalize } (\text{get-fh } H)$ )  $C' (s', h')$   
**using** *asm0* **by** *blast*  
**fix**  $sa \ h \ v$   
**assume** *asm1*: ( $s, \text{FractionalHeap.normalize } (\text{get-fh } H) = (sa, h) C' = \text{Cskip}$   
 $(s', h') = (sa(x := v), h(v \mapsto \text{edenot } E sa))$   
 $v \notin \text{dom } h$   
**then have**  $v \notin \text{dom } (\text{get-fh } H)$   
**by** (*simp add: dom-normalize*)  
**then have**  $v \notin \text{dom } (\text{get-fh } hf)$   
**by** (*metis asm0 fst-conv get-fh.simps no-guard-and-no-heap no-guard-then-smaller-same*  
*no-guards-remove plus-comm*)  
  
**moreover have** (*Map.empty*( $v \mapsto (\text{pwrite}, \text{edenot } E sa)$ ),  $\text{gs}, \text{gu}$ )  $\#\# hf$   
**proof** (*rule compatibleI*)  
**show** *compatible-fract-heaps* (*get-fh* ( $[v \mapsto (\text{pwrite}, \text{edenot } E sa)], \text{gs}, \text{gu}$ ))  
(*get-fh hf*)  
**proof** (*rule compatible-fract-heapsI*)  
**fix**  $l \ p \ p'$   
**assume** *asm0*: *get-fh* ( $[v \mapsto (\text{pwrite}, \text{edenot } E sa)], \text{gs}, \text{gu}$ )  $l = \text{Some } p \wedge$   
*get-fh hf*  $l = \text{Some } p'$   
**then show** *pgte pwrite* (*padd* (*fst*  $p$ ) (*fst*  $p'$ ))  
**by** (*metis calculation domIff fst-conv fun-upd-other get-fh.elims option.distinct(1)*)  
**show** *snd*  $p = \text{snd } p'$   
**by** (*metis asm0 calculation domIff fst-conv fun-upd-other get-fh.elims option.distinct(1)*)  
**qed**  
**show**  $\bigwedge k. \text{get-gu } ([v \mapsto (\text{pwrite}, \text{edenot } E sa)], \text{gs}, \text{gu}) \ k = \text{None} \vee \text{get-gu}$   
 $hf \ k = \text{None}$   
**by** (*metis asm0 compatible-def compatible-eq get-gu.simps option.discI*  
*snd-conv*)  
**show**  $\bigwedge p \ p'. \text{get-gs } ([v \mapsto (\text{pwrite}, \text{edenot } E sa)], \text{gs}, \text{gu}) = \text{Some } p \wedge \text{get-gs}$   
 $hf = \text{Some } p' \implies \text{pgte pwrite } (\text{padd } (\text{fst } p) (\text{fst } p'))$   
**by** (*metis asm0 no-guard-def no-guard-then-smaller-same option.simps(3)*  
*plus-comm*)  
**qed**  
**then obtain**  $H'$  **where**  $\text{Some } H' = \text{Some } (\text{Map.empty}(v \mapsto (\text{pwrite}, \text{edenot}$   
 $E sa)), \text{gs}, \text{gu}) \oplus \text{Some } hf$   
**by** *auto*  
**moreover have** ( $s', (\text{Map.empty}(v \mapsto (\text{pwrite}, \text{edenot } E sa)), \text{gs}, \text{gu})) \in \{(s(x$   
 $:= a), [a \mapsto (\text{pwrite}, \text{edenot } E s)], \text{gs}, \text{gu}) \mid a. \text{True}\}$

```

using asm1(1) asm1(3) by blast
then have safe n (None :: ('i, 'a, nat) cont) C' (s', (Map.empty(v ↦ (pwrite,
edenot E sa)), gs, gu)) {(s(x := a), [a ↦ (pwrite, edenot E s)], gs, gu) | a. True}
by (simp add: asm1(2) safe-skip)
moreover have full-ownership (get-fh H') ∧ no-guard H' ∧ h' = Fractional-
Heap.normalize (get-fh H')
proof –
have full-ownership (get-fh H')
proof (rule full-ownershipI)
fix l p
assume get-fh H' l = Some p
show fst p = pwrite
proof (cases l = v)
case True
then have get-fh hf l = None
using calculation(1) by blast
then have get-fh H' l = (Map.empty(v ↦ (pwrite, edenot E sa))) l
by (metis calculation(2) fst-conv get-fh.simps sum-second-none-get-fh)
then show ?thesis
using True ⟨get-fh H' l = Some p⟩ by fastforce
next
case False
then have get-fh ([v ↦ (pwrite, edenot E sa)], gs, gu) l = None
by simp
then show fst p = pwrite
by (metis (mono-tags, lifting) ⟨get-fh H' l = Some p⟩ asm0 calculation(2)
fst-conv full-ownership-def get-fh.elims sum-first-none-get-fh)
qed
qed
moreover have no-guard H'
proof –
have no-guard hf
by (metis asm0 no-guard-then-smaller-same plus-comm)
moreover have no-guard (Map.empty, gs, gu)
using asm0 no-guard-then-smaller-same by blast
ultimately show ?thesis
by (metis ⟨Some H' = Some ([v ↦ (pwrite, edenot E sa)], gs,
gu) ⊕ Some hf⟩ decompose-heap-triple no-guard-remove(1) no-guard-remove(2)
no-guards-remove remove-guards-def snd-conv)
qed
moreover have h' = FractionalHeap.normalize (get-fh H')
proof (rule ext)
fix l show h' l = FractionalHeap.normalize (get-fh H') l
proof (cases l = v)
case True
then have get-fh (Map.empty(v ↦ (pwrite, edenot E sa)), gs, gu) l =
Some (pwrite, edenot E sa)
by auto
then have get-fh hf l = None

```

```

      using True ⟨v ∉ dom (get-fh hf)⟩ by force
    then show h' l = FractionalHeap.normalize (get-fh H') l
      apply (cases h' l)
      using True asm1(3) apply auto[1]
      by (metis (no-types, lifting) FractionalHeap.normalize-def True ⟨Some
H' = Some ([v ↦ (pwrite, edenot E sa)], gs, gu) ⊕ Some hf⟩ ⟨get-fh ([v ↦ (pwrite,
edenot E sa)], gs, gu) l = Some (pwrite, edenot E sa)⟩ apply-opt.simps(2) asm1(3)
fun-upd-same snd-conv sum-second-none-get-fh)
    next
      case False
      then have get-fh (Map.empty(v ↦ (pwrite, edenot E sa)), gs, gu) l =
None
      by simp
      then have get-fh H' l = get-fh hf l
      using ⟨Some H' = Some ([v ↦ (pwrite, edenot E sa)], gs, gu) ⊕ Some
hf⟩ sum-first-none-get-fh by blast
      moreover have get-fh H l = get-fh hf l
      by (metis asm0 fst-conv get-fh.elims plus-comm sum-second-none-get-fh)
      ultimately show ?thesis
      proof (cases get-fh hf l)
      case None
      then show ?thesis
      by (metis False FractionalHeap.normalize-eq(1) ⟨get-fh H l = get-fh
hf l⟩ ⟨get-fh H' l = get-fh hf l⟩ asm1(1) asm1(3) fun-upd-apply old.prod.inject)
      next
      case (Some f)
      then show ?thesis
      by (metis (no-types, lifting) False FractionalHeap.normalize-eq(1)
FractionalHeap.normalize-eq(2) ⟨get-fh H l = get-fh hf l⟩ ⟨get-fh H' l = get-fh hf
l⟩ asm1(1) asm1(3) domD not-in-dom fun-upd-apply old.prod.inject)
      qed
    qed
  qed
  ultimately show ?thesis
  by auto
  qed
  ultimately show ∃ h'' H'. full-ownership (get-fh H') ∧ no-guard H' ∧
h' = FractionalHeap.normalize (get-fh H') ∧ Some H' = Some h'' ⊕ Some
hf ∧ safe n (None :: ('i, 'a, nat) cont) C' (s', h'') {(s(x := a), [a ↦ (pwrite, edenot
E s)], gs, gu) | a. True}
  by blast
  qed
  qed (simp)
  qed (simp)

```

**lemma** *safe-new-Some*:

```

  assumes x ∉ fvA (invariant Γ)
  and view-function-of-inv Γ
  shows safe n (Some Γ) (Calloc x E) (s, (Map.empty, gs, gu)) {(s(x := a),

```

```

(Map.empty(a ↦ (pwrite, edenot E s)), gs, gu) |a. True }
proof (induct n)
  case (Suc n)
  show ?case
  proof (rule safeSomeI)
    show Calloc x E = Cskip ⇒ (s, Map.empty, gs, gu) ∈ {(s(x := a), [a ↦
    (pwrite, edenot E s)], gs, gu) |a. True} by simp
    show no-abort (Some Γ) (Calloc x E) s (Map.empty, gs, gu)
      using aborts-alloc-cases no-abort.simps(2) by blast
    fix H hf C' s' h' hj v0
    assume asm0: Some H = Some (Map.empty, gs, gu) ⊕ Some hj ⊕ Some hf ∧
      full-ownership (get-fh H) ∧ semi-consistent Γ v0 H ∧ sat-inv s hj Γ ∧ red
      (Calloc x E) (s, FractionalHeap.normalize (get-fh H)) C' (s', h')

  then obtain hjf where Some hjf = Some hj ⊕ Some hf
    by (metis plus.simps(2) plus.simps(3) plus-asso)
  then have Some H = Some (Map.empty, gs, gu) ⊕ Some hjf
    by (metis asm0 plus-asso)

  show ∃ h'' H' hj'.
    full-ownership (get-fh H') ∧
    semi-consistent Γ v0 H' ∧
    sat-inv s' hj' Γ ∧
    h' = FractionalHeap.normalize (get-fh H') ∧
    Some H' = Some h'' ⊕ Some hj' ⊕ Some hf ∧ safe n (Some Γ) C' (s',
    h'') {(s(x := a), [a ↦ (pwrite, edenot E s)], gs, gu) |a. True}
  proof (rule red-alloc-cases)
    show red (Calloc x E) (s, FractionalHeap.normalize (get-fh H)) C' (s', h')
      using asm0 by blast
    fix sa h v
    assume asm1: (s, FractionalHeap.normalize (get-fh H)) = (sa, h) C' = Cskip
      (s', h') = (sa(x := v), h(v ↦ edenot E sa))
      v ∉ dom h
    then have v ∉ dom (get-fh H)
      by (simp add: dom-normalize)
    then have v ∉ dom (get-fh hjf)
      by (metis (no-types, lifting) ‹Some H = Some (Map.empty, gs, gu) ⊕ Some
      hjf› addition-smaller-domain in-mono plus-comm)

  moreover have (Map.empty(v ↦ (pwrite, edenot E sa)), gs, gu) ## hjf
  proof (rule compatibleI)
    show compatible-fract-heaps (get-fh ([v ↦ (pwrite, edenot E sa)], gs, gu))
    (get-fh hjf)
  proof (rule compatible-fract-heapsI)
    fix l p p'
    assume asm2: get-fh ([v ↦ (pwrite, edenot E sa)], gs, gu) l = Some p ∧
    get-fh hjf l = Some p'
    then show pgte pwrite (padd (fst p) (fst p'))

```

**by** (*metis calculation domIff fst-conv fun-upd-other get-fh.elims option.distinct(1)*)  
**show**  $\text{snd } p = \text{snd } p'$   
**using** *asm2 calculation domIff fst-conv fun-upd-other get-fh.elims option.distinct(1) by metis*  
**qed**  
**show**  $\bigwedge k. \text{get-gu } ([v \mapsto (\text{pwrite}, \text{edenot } E \text{ sa})], \text{gs}, \text{gu}) \text{ k} = \text{None} \vee \text{get-gu } \text{hjf } k = \text{None}$   
**by** (*metis*  $\langle \text{Some } H = \text{Some } (\text{Map.empty}, \text{gs}, \text{gu}) \oplus \text{Some } \text{hjf} \rangle$  *compatible-def compatible-eq get-gu.simps option.discI snd-conv*)  
**show**  $\bigwedge p \text{ p}'. \text{get-gs } ([v \mapsto (\text{pwrite}, \text{edenot } E \text{ sa})], \text{gs}, \text{gu}) = \text{Some } p \wedge \text{get-gs } \text{hjf} = \text{Some } \text{p}' \implies \text{pgte } \text{pwrite } (\text{padd } (\text{fst } p) (\text{fst } \text{p}'))$   
**by** (*metis*  $\langle \text{Some } H = \text{Some } (\text{Map.empty}, \text{gs}, \text{gu}) \oplus \text{Some } \text{hjf} \rangle$  *compatible-def compatible-eq get-gs.simps option.simps(3) snd-eqD*)  
**qed**  
**then obtain**  $H'$  **where**  $\text{Some } H' = \text{Some } (\text{Map.empty}(v \mapsto (\text{pwrite}, \text{edenot } E \text{ sa})), \text{gs}, \text{gu}) \oplus \text{Some } \text{hjf}$   
**by** *auto*  
**moreover have**  $(s', (\text{Map.empty}(v \mapsto (\text{pwrite}, \text{edenot } E \text{ sa})), \text{gs}, \text{gu})) \in \{(s(x := a), [a \mapsto (\text{pwrite}, \text{edenot } E \text{ s})], \text{gs}, \text{gu}) \mid a. \text{True}\}$   
**using** *asm1(1) asm1(3) by blast*  
**then have**  $\text{safe } n (\text{Some } \Gamma) C' (s', (\text{Map.empty}(v \mapsto (\text{pwrite}, \text{edenot } E \text{ sa})), \text{gs}, \text{gu})) \{(s(x := a), [a \mapsto (\text{pwrite}, \text{edenot } E \text{ s})], \text{gs}, \text{gu}) \mid a. \text{True}\}$   
**by** (*simp add: asm1(2) safe-skip*)  
  
**moreover have** *full-ownership (get-fh H')  $\wedge$  semi-consistent  $\Gamma$  v0 H'  $\wedge$  h' = FractionalHeap.normalize (get-fh H')*  
**proof** –  
**have** *full-ownership (get-fh H')*  
**proof** (*rule full-ownershipI*)  
**fix**  $l \text{ p}$   
**assume**  $\text{get-fh } H' \text{ l} = \text{Some } p$   
**show**  $\text{fst } p = \text{pwrite}$   
**proof** (*cases l = v*)  
**case** *True*  
**then have**  $\text{get-fh } \text{hjf } l = \text{None}$   
**using** *calculation(1) by blast*  
**then have**  $\text{get-fh } H' \text{ l} = (\text{Map.empty}(v \mapsto (\text{pwrite}, \text{edenot } E \text{ sa}))) \text{ l}$   
**by** (*metis calculation(2) fst-conv get-fh.simps sum-second-none-get-fh*)  
**then show** *?thesis*  
**using** *True*  $\langle \text{get-fh } H' \text{ l} = \text{Some } p \rangle$  **by** *fastforce*  
**next**  
**case** *False*  
**then have**  $\text{get-fh } H' \text{ l} = \text{get-fh } \text{hjf } l$  **using** *sum-first-none-get-fh[of H' - hjf l]*  
**using** *calculation(2) by force*  
**then show** *?thesis*  
**by** (*metis (no-types, lifting)*  $\langle \text{Some } H = \text{Some } (\text{Map.empty}, \text{gs}, \text{gu}) \oplus \text{Some } \text{hjf} \rangle$   $\langle \text{get-fh } H' \text{ l} = \text{Some } p \rangle$  *asm0 fst-conv full-ownership-def get-fh.elims*)

```

plus-comm sum-second-none-get-fh)
  qed
  qed
  moreover have  $h' = \text{FractionalHeap.normalize (get-fh } H')$ 
  proof (rule ext)
    fix  $l$  show  $h' l = \text{FractionalHeap.normalize (get-fh } H') l$ 
    proof (cases  $l = v$ )
      case True
        then have  $\text{get-fh (Map.empty}(v \mapsto (\text{pwrite, edenot } E \text{ sa})), \text{gs, gu}) } l =$ 
 $\text{Some (pwrite, edenot } E \text{ sa)}$ 
        by auto
        then have  $\text{get-fh } hjf l = \text{None}$ 
        using  $\text{True } \langle v \notin \text{dom (get-fh } hjf) \rangle$  by force
        then show ?thesis
        apply (cases  $h' l$ )
        using  $\text{True } \text{asm1}(3)$  apply auto[1]
        by (metis (no-types, lifting)  $\text{FractionalHeap.normalize-def True } \langle \text{Some } H' = \text{Some } ([v \mapsto (\text{pwrite, edenot } E \text{ sa})], \text{gs, gu}) \oplus \text{Some } hjf \rangle \langle \text{get-fh } ([v \mapsto (\text{pwrite, edenot } E \text{ sa})], \text{gs, gu}) } l = \text{Some (pwrite, edenot } E \text{ sa}) \rangle \text{apply-opt.simps}(2) \text{asm1}(3) \text{fun-upd-same snd-conv sum-second-none-get-fh}$ )
      next
        case False
          then have  $\text{get-fh (Map.empty}(v \mapsto (\text{pwrite, edenot } E \text{ sa})), \text{gs, gu}) } l =$ 
 $\text{None}$ 
          by simp
          then have  $\text{get-fh } H' l = \text{get-fh } hjf l$ 
          using  $\langle \text{Some } H' = \text{Some } ([v \mapsto (\text{pwrite, edenot } E \text{ sa})], \text{gs, gu}) \oplus \text{Some } hjf \rangle \text{sum-first-none-get-fh}$  by blast
          moreover have  $\text{get-fh } H l = \text{get-fh } hjf l$ 
          by (metis  $\langle \text{Some } H = \text{Some (Map.empty, gs, gu)} \oplus \text{Some } hjf \rangle \text{fst-eqD}$ 
 $\text{get-fh.simps sum-first-none-get-fh}$ )
          ultimately show ?thesis
          proof (cases  $\text{get-fh } hjf l$ )
            case None
              then show ?thesis
              by (metis  $\text{False FractionalHeap.normalize-eq}(1) \langle \text{get-fh } H l = \text{get-fh } hjf l \rangle \langle \text{get-fh } H' l = \text{get-fh } hjf l \rangle \text{asm1}(1) \text{asm1}(3) \text{fun-upd-apply old.prod.inject}$ )
            next
              case (Some  $f$ )
                then show ?thesis
                by (metis (no-types, lifting)  $\text{False FractionalHeap.normalize-eq}(1) \text{FractionalHeap.normalize-eq}(2) \langle \text{get-fh } H l = \text{get-fh } hjf l \rangle \langle \text{get-fh } H' l = \text{get-fh } hjf l \rangle \text{asm1}(1) \text{asm1}(3) \text{domD not-in-dom fun-upd-apply old.prod.inject}$ )
          qed
        qed
      qed
    moreover have  $\text{semi-consistent } \Gamma \text{ } v0 \text{ } H'$ 
    proof (rule  $\text{semi-consistentI}$ )
      have  $\text{get-gs } H' = \text{get-gs } H$ 

```

```

    by (metis ‹Some H = Some (Map.empty, gs, gu) ⊕ Some hjf› ‹Some H'
= Some ([v ↦ (pwrite, edenot E sa)], gs, gu) ⊕ Some hjf› fst-conv get-gs.simps
option.discI option.sel plus.simps(3) snd-conv)
    moreover have get-gu H' = get-gu H
    by (metis ‹Some H = Some (Map.empty, gs, gu) ⊕ Some hjf› ‹Some H' =
Some ([v ↦ (pwrite, edenot E sa)], gs, gu) ⊕ Some hjf› get-gu.simps option.discI
option.sel plus.simps(3) snd-conv)
    ultimately show all-guards H'
    by (metis all-guards-def asm0 semi-consistent-def)
    show reachable Γ v0 H'
    proof (rule reachableI)
      fix sargs uargs
      assume get-gs H' = Some (pwrite, sargs) ∧ (∀ k. get-gu H' k = Some
(uargs k))
      then have reachable-value (saction Γ) (uaction Γ) v0 sargs uargs (view
Γ (FractionalHeap.normalize (get-fh H)))
      by (metis ‹get-gs H' = get-gs H› ‹get-gu H' = get-gu H› asm0 reachableE
semi-consistent-def)
      moreover have view Γ (FractionalHeap.normalize (get-fh H)) = view Γ
(FractionalHeap.normalize (get-fh H'))
      proof -
        have view Γ (FractionalHeap.normalize (get-fh H)) = view Γ
(FractionalHeap.normalize (get-fh hj))
        using view-function-of-invE[of Γ s hj H] by (simp add: asm0 assms(2)
larger3)
        moreover have view Γ (FractionalHeap.normalize (get-fh H')) = view
Γ (FractionalHeap.normalize (get-fh hj))
        using view-function-of-invE[of Γ s hj H']
        by (metis ‹Some H' = Some ([v ↦ (pwrite, edenot E sa)], gs, gu) ⊕
Some hjf› ‹Some hjf = Some hj ⊕ Some hf› asm0 assms(2) larger3 plus-comm)
      ultimately show ?thesis by simp
    qed
    ultimately show reachable-value (saction Γ) (uaction Γ) v0 sargs uargs
(view Γ (FractionalHeap.normalize (get-fh H')))
    by simp
  qed
  qed
  ultimately show ?thesis
  by auto
qed

moreover have sat-inv s' hj Γ
proof (rule sat-invI)
  show no-guard hj
  using asm0 sat-inv-def by blast
  have agrees (fvA (invariant Γ)) s s'
  using asm1(1) asm1(3) assms
  by (simp add: agrees-update)
  then show (s', hj), (s', hj) ⊨ invariant Γ

```

**using** *asm0 sat-inv-agrees sat-inv-def* **by** *blast*  
**qed**

**ultimately show**  $\exists h'' H' hj'. \text{full-ownership } (get\text{-fh } H') \wedge \text{semi-consistent } \Gamma$   
 $v0 H' \wedge \text{sat-inv } s' hj' \Gamma \wedge h' = \text{FractionalHeap.normalize } (get\text{-fh } H') \wedge$   
 $\text{Some } H' = \text{Some } h'' \oplus \text{Some } hj' \oplus \text{Some } hf \wedge \text{safe } n \text{ (Some } \Gamma) C' (s',$   
 $h'') \{ (s(x := a), [a \mapsto (pwrite, edenot E s)], gs, gu) \mid a. \text{True} \}$   
**by** (*metis (no-types, lifting) <Some hjf = Some hj  $\oplus$  Some hf> plus-asso*)  
**qed**  
**qed** (*simp*)  
**qed** (*simp*)

**lemma** *safe-new*:

**fixes**  $\Delta :: ('i, 'a, nat) \text{ cont}$   
**assumes**  $\bigwedge \Gamma. \Delta = \text{Some } \Gamma \implies x \notin \text{fvA } (invariant \Gamma) \wedge \text{view-function-of-inv } \Gamma$   
**shows**  $\text{safe } n \Delta (Calloc x E) (s, (Map.empty, gs, gu)) \{ (s(x := a), (Map.empty(a$   
 $\mapsto (pwrite, edenot E s)), gs, gu)) \mid a. \text{True} \}$   
**apply** (*cases*  $\Delta$ )  
**using** *safe-new-None safe-new-Some assms* **by** *blast+*

**theorem** *new-rule*:

**fixes**  $\Delta :: ('i, 'a, nat) \text{ cont}$   
**assumes**  $x \notin \text{fvE } E$   
**and**  $\bigwedge \Gamma. \Delta = \text{Some } \Gamma \implies x \notin \text{fvA } (invariant \Gamma) \wedge \text{view-function-of-inv } \Gamma$   
**shows** *hoare-triple-valid*  $\Delta \text{Emp } (Calloc x E) (PointsTo (Evar x) pwrite E)$   
**proof** (*rule hoare-triple-validI*)  
**define**  $\Sigma :: \text{store} \times ('i, 'a) \text{ heap} \Rightarrow (\text{store} \times ('i, 'a) \text{ heap}) \text{ set}$  **where**  $\Sigma = (\lambda(s,$   
 $h). \{ (s(x := a), (Map.empty(a \mapsto (pwrite, edenot E s))), get\text{-gs } h, get\text{-gu } h)) \mid a.$   
 $\text{True} \}$ )

**show**  $\bigwedge s h n. (s, h), (s, h) \models \text{Emp} \implies \text{safe } n \Delta (Calloc x E) (s, h) (\Sigma (s, h))$

**proof** –

**fix**  $s h n$  **assume**  $(s, h :: ('i, 'a) \text{ heap}), (s, h) \models \text{Emp}$  **then have**  $get\text{-fh } h =$   
 $Map.empty$

**by** *simp*

**then have**  $h = (Map.empty, get\text{-gs } h, get\text{-gu } h)$  **using** *decompose-heap-triple*

**by** *metis*

**moreover have**  $\text{safe } n \Delta (Calloc x E) (s, Map.empty, get\text{-gs } h, get\text{-gu } h) \{ (s(x$   
 $:= a), [a \mapsto (pwrite, edenot E s)], get\text{-gs } h, get\text{-gu } h) \mid a. \text{True} \}$

**using** *safe-new assms(2)* **by** *blast*

**moreover have**  $\Sigma (s, h) = \{ (s(x := a), (Map.empty(a \mapsto (pwrite, edenot E$   
 $s))), get\text{-gs } h, get\text{-gu } h)) \mid a. \text{True} \}$

**using**  $\Sigma\text{-def}$  **by** *force*

**ultimately show**  $\text{safe } n \Delta (Calloc x E) (s, h) (\Sigma (s, h))$

**by** *presburger*

**qed**

**fix**  $s1 h1 s2 h2$



```

assume (s1, h1 :: ('i, 'a) heap), (s2, h2) ⊨ Emp
show pair-sat (case (s1, h1) of (s, h) ⇒ {(s(x := a), [a ↦ (pwrite, edenot E s)], get-gs h, get-gu h) | a. True})
  (case (s2, h2) of (s, h) ⇒ {(s(x := a), [a ↦ (pwrite, edenot E s)], get-gs h, get-gu h) | a. True}) (PointsTo (Evar x) pwrite E)
proof (rule pair-satI)
  fix s1' h1' s2' h2'
    assume asm0: (s1', h1') ∈ (case (s1, h1) of (s, h) ⇒ {(s(x := a), [a ↦ (pwrite, edenot E s)], get-gs h, get-gu h) | a. True}) ∧
      (s2', h2') ∈ (case (s2, h2) of (s, h) ⇒ {(s(x := a), [a ↦ (pwrite, edenot E s)], get-gs h, get-gu h) | a. True})
    then obtain a1 a2 where s1' = s1(x := a1) s2' = s2(x := a2) h1' = ([a1 ↦ (pwrite, edenot E s1)], get-gs h1, get-gu h1)
      h2' = ([a2 ↦ (pwrite, edenot E s2)], get-gs h2, get-gu h2)
    by blast
    then show (s1', h1'), (s2', h2') ⊨ PointsTo (Evar x) pwrite E
    by (simp add: assms(1))
  qed
qed

```

#### 4.4.4 Write

**inductive-cases** red-write-cases: red (Cwrite x E) σ C' σ'  
**inductive-cases** aborts-write-cases: aborts (Cwrite x E) σ

**lemma** safe-write-None:

```

assumes fh (edenot loc s) = Some (pwrite, v)
shows safe n (None :: ('i, 'a, nat) cont) (Cwrite loc E) (s, (fh, gs, gu)) { (s, (fh(edenot loc s ↦ (pwrite, edenot E s)), gs, gu)) }
using assms
proof (induct n)
  case (Suc n)
  show ?case
  proof (rule safeNoneI)
    show Cwrite loc E = Cskip ⇒ (s, fh, gs, gu) ∈ {(s, fh(edenot loc s ↦ (pwrite, edenot E s)), gs, gu)}
    by simp
    show no-abort None (Cwrite loc E) s (fh, gs, gu)
    proof (rule no-abortNoneI)
      fix hf H assume asm0: Some H = Some (fh, gs, gu) ⊕ Some hf ∧
        full-ownership (get-fh H) ∧ no-guard H
      then have edenot loc s ∈ dom (normalize (get-fh H))
      by (metis (mono-tags, lifting) Suc.premis addition-smaller-domain dom-def dom-normalize fst-conv get-fh.simps mem-Collect-eq option.discI subsetD)
      then show ¬ aborts (Cwrite loc E) (s, normalize (get-fh H))
      by (metis aborts-write-cases fst-eqD snd-eqD)
    qed
    show accesses (Cwrite loc E) s ⊆ dom (fst (fh, gs, gu)) ∧ writes (Cwrite loc E) s ⊆ fpdom (fst (fh, gs, gu))

```

```

using assms fpdom-def by fastforce

fix H hf C' s' h'
assume asm0: Some H = Some (fh, gs, gu) ⊕ Some hf ∧ full-ownership (get-fh H) ∧ no-guard H
∧ red (Cwrite loc E) (s, FractionalHeap.normalize (get-fh H)) C' (s', h')
then have get-fh hf (edenot loc s) = None
proof –
  have compatible-fract-heaps fh (get-fh hf)
  by (metis asm0 compatible-def compatible-eq fst-conv get-fh.elims option.discI)
  then show ?thesis using compatible-then-dom-disjoint(2)[of fh get-fh hf]
    assms disjoint-iff-not-equal[of dom (get-fh hf) fpdom fh] not-in-dom fp-
dom-def mem-Collect-eq
  by fastforce
qed

show  $\exists h'' H'. \text{full-ownership } (get\text{-fh } H') \wedge \text{no-guard } H' \wedge h' = \text{FractionalHeap.normalize } (get\text{-fh } H')$ 
∧ Some H' = Some h'' ⊕ Some hf ∧ safe n None C' (s', h'') {(s, fh(edenot loc s ↦ (pwrite, edenot E s)), gs, gu)}
proof (rule red-write-cases)
  show red (Cwrite loc E) (s, FractionalHeap.normalize (get-fh H)) C' (s', h')
  using asm0 by blast
  fix sa h
  assume asm1: (s, FractionalHeap.normalize (get-fh H)) = (sa, h) C' = Cskip (s', h') = (sa, h(edenot loc sa ↦ edenot E sa))
  then obtain s = sa h' = h(edenot loc s ↦ edenot E s) by blast

  let ?h = (fh(edenot loc s ↦ (pwrite, edenot E s)), gs, gu)
  have ?h ## hf
  proof (rule compatibleI)
    show compatible-fract-heaps (get-fh (fh(edenot loc s ↦ (pwrite, edenot E s))), gs, gu) (get-fh hf)
    proof (rule compatible-fract-heapsI)
      fix l p p' assume asm2: get-fh (fh(edenot loc s ↦ (pwrite, edenot E s))), gs, gu) l = Some p ∧ get-fh hf l = Some p'
      then show pgte pwrite (padd (fst p) (fst p'))
      apply (cases l = edenot loc s)
      apply (metis Suc.prem1 asm0 fst-conv fun-upd-same get-fh.elims option.sel plus-extract-point-fh)
      by (metis asm0 fst-conv fun-upd-other get-fh.elims plus-extract-point-fh)
      show snd p = snd p'
      apply (cases l = edenot loc s)
      using  $\langle \text{pgte pwrite (padd (fst p) (fst p'))} \rangle \text{asm2 not-pgte-charact sum-larger}$ 
apply fastforce
      by (metis (mono-tags, opaque-lifting) asm0 asm2 fst-eqD get-fh.simps map-upd-Some-unfold plus-extract-point-fh)
    qed

```

**show**  $\bigwedge k. \text{get-gu } (\text{fh}(\text{edenot } \text{loc } s \mapsto (\text{pwrite}, \text{edenot } E \ s)), \text{gs}, \text{gu}) \ k = \text{None}$   
 $\vee \text{get-gu } \text{hf } k = \text{None}$   
**by** (*metis asm0 compatible-def compatible-eq get-gu.simps option.discI snd-conv*)  
**show**  $\bigwedge p \ p'. \text{get-gs } (\text{fh}(\text{edenot } \text{loc } s \mapsto (\text{pwrite}, \text{edenot } E \ s)), \text{gs}, \text{gu}) = \text{Some}$   
 $p \wedge \text{get-gs } \text{hf} = \text{Some } p' \implies \text{pgte } \text{pwrite } (\text{padd } (\text{fst } p) (\text{fst } p'))$   
**by** (*metis asm0 no-guard-def no-guard-then-smaller-same option.simps(3) plus-comm*)  
**qed**  
**then obtain  $H'$  where**  $\text{Some } H' = \text{Some } ?h \oplus \text{Some } \text{hf}$  **by auto**  
**moreover have**  $H' = ((\text{get-fh } H)(\text{edenot } \text{loc } s \mapsto (\text{pwrite}, \text{edenot } E \ s)), \text{get-gs}$   
 $H, \text{get-gu } H)$   
**proof** (*rule heap-ext*)  
**show**  $\text{get-fh } H' = \text{get-fh } ((\text{get-fh } H)(\text{edenot } \text{loc } s \mapsto (\text{pwrite}, \text{edenot } E \ s)),$   
 $\text{get-gs } H, \text{get-gu } H)$   
**using** *calculation asm0 by (metis <get-fh hf (edenot loc s) = None> add-fh-update add-get-fh fst-conv get-fh.simps)*  
**show**  $\text{get-gs } H' = \text{get-gs } ((\text{get-fh } H)(\text{edenot } \text{loc } s \mapsto (\text{pwrite}, \text{edenot } E \ s)),$   
 $\text{get-gs } H, \text{get-gu } H)$   
**using** *calculation asm0*  
**by** (*metis fst-conv get-gs.simps plus-extract(2) snd-conv*)  
**show**  $\text{get-gu } H' = \text{get-gu } ((\text{get-fh } H)(\text{edenot } \text{loc } s \mapsto (\text{pwrite}, \text{edenot } E \ s)),$   
 $\text{get-gs } H, \text{get-gu } H)$   
**using** *add-fh-update[of get-fh hf edenot E s fh (pwrite, edenot E s)] asm0 calculation*  
**by** (*metis get-gu.elims plus-extract(3) snd-conv*)  
**qed**  
**moreover have** *safe n* ( $\text{None} :: ('i, 'a, \text{nat}) \text{cont}) \ C' \ (s', ?h) \ \{(s, \text{fh}(\text{edenot}$   
 $\text{loc } s \mapsto (\text{pwrite}, \text{edenot } E \ s)), \text{gs}, \text{gu})\}$   
**using** *<s = sa> asm1(2) asm1(3) safe-skip by fastforce*  
**moreover have** *full-ownership* ( $\text{get-fh } H') \wedge \text{no-guard } H' \wedge h' = \text{Fractional-Heap.normalize}$   
 $(\text{get-fh } H')$   
**proof** –  
**have** *full-ownership* ( $\text{get-fh } H')$   
**proof** (*rule full-ownershipI*)  
**fix**  $l \ p$   
**assume** *asm*:  $\text{get-fh } H' \ l = \text{Some } p$   
**then show**  $\text{fst } p = \text{pwrite}$   
**proof** (*cases l = edenot loc s*)  
**case** *True*  
**then show** *?thesis*  
**using** *asm calculation(2) by fastforce*  
**next**  
**case** *False*  
**then show** *?thesis*  
**by** (*metis (mono-tags, lifting) asm asm0 calculation(2) fst-eqD full-ownership-def get-fh.simps map-upd-Some-unfold*)  
**qed**  
**qed**

**moreover have** *no-guard*  $H'$  **using** *asm0*  
**by** (*simp add*:  $\langle H' = ((\text{get-fh } H)(\text{edenot } \text{loc } s \mapsto (\text{pwrite}, \text{edenot } E \ s)), \text{get-gs } H, \text{get-gu } H) \rangle$  *no-guard-def*)

**moreover have**  $h' = \text{FractionalHeap.normalize } (\text{get-fh } H')$   
**proof** (*rule ext*)  
**fix**  $l$  **show**  $h' \ l = \text{FractionalHeap.normalize } (\text{get-fh } H') \ l$   
**proof** (*cases*  $l = \text{edenot } \text{loc } s$ )  
**case** *True*  
**then show** *?thesis*  
**by** (*metis* (*no-types*, *lifting*) *FractionalHeap.normalize-eq(2)*)  $\langle H' = ((\text{get-fh } H)(\text{edenot } \text{loc } s \mapsto (\text{pwrite}, \text{edenot } E \ s)), \text{get-gs } H, \text{get-gu } H) \rangle$   $\langle h' = h(\text{edenot } \text{loc } s \mapsto \text{edenot } E \ s) \rangle$  *fst-conv fun-upd-same get-fh.elims*)  
**next**  
**case** *False*  
**then have**  $\text{FractionalHeap.normalize } (\text{get-fh } H') \ l = \text{FractionalHeap.normalize } (\text{get-fh } H) \ l$   
**using** *FractionalHeap.normalize-eq(2)*[*of get-fh H' l*]  
*FractionalHeap.normalize-eq(2)*[*of get-fh H l*]  $\langle H' = ((\text{get-fh } H)(\text{edenot } \text{loc } s \mapsto (\text{pwrite}, \text{edenot } E \ s)), \text{get-gs } H, \text{get-gu } H) \rangle$   
*fst-conv fun-upd-other*[*of l edenot loc s get-fh H*] *get-fh.simps option.exhaust*  
**by** *metis*  
**then show** *?thesis*  
**using** *False*  $\langle h' = h(\text{edenot } \text{loc } s \mapsto \text{edenot } E \ s) \rangle$  *asm1(1)* **by** *force*  
**qed**  
**qed**  
**ultimately show** *?thesis*  
**by** *auto*  
**qed**  
**ultimately show**  $\exists h'' \ H'. \text{full-ownership } (\text{get-fh } H') \wedge \text{no-guard } H' \wedge h' = \text{FractionalHeap.normalize } (\text{get-fh } H') \wedge$   
 $\text{Some } H' = \text{Some } h'' \oplus \text{Some } hf \wedge \text{safe } n \ \text{None } C' \ (s', h'') \ \{(s, fh(\text{edenot } \text{loc } s \mapsto (\text{pwrite}, \text{edenot } E \ s)), gs, gu))\}$   
**by** (*metis*  $\langle \text{Some } H' = \text{Some } (fh(\text{edenot } \text{loc } s \mapsto (\text{pwrite}, \text{edenot } E \ s)), gs, gu) \oplus \text{Some } hf \rangle$   $\langle s = sa \rangle$  *asm1(2)* *asm1(3)* *fst-conv insertI1 safe-skip*)  
**qed**  
**qed**  
**qed** (*simp*)

**lemma** *safe-write-Some*:

**assumes**  $fh(\text{edenot } \text{loc } s) = \text{Some } (\text{pwrite}, v)$   
**and** *view-function-of-inv*  $\Gamma$   
**shows**  $\text{safe } n \ (\text{Some } \Gamma) \ (C\text{write } \text{loc } E) \ (s, (fh, gs, gu)) \ \{(s, (fh(\text{edenot } \text{loc } s \mapsto (\text{pwrite}, \text{edenot } E \ s)), gs, gu))\}$   
**using** *assms*  
**proof** (*induct n*)

```

case (Suc n)
show ?case
proof (rule safeSomeI)
  show Cwrite loc E = Cskip  $\implies$  (s, fh, gs, gu)  $\in$  {(s, fh(edenot loc s  $\mapsto$  (pwrite,
edenot E s)), gs, gu)}
    by simp
  show no-abort (Some  $\Gamma$ ) (Cwrite loc E) s (fh, gs, gu)
  proof (rule no-abortSomeI)
    fix H hf hj v0
      assume asm0: Some H = Some (fh, gs, gu)  $\oplus$  Some hj  $\oplus$  Some hf  $\wedge$ 
full-ownership (get-fh H)  $\wedge$  semi-consistent  $\Gamma$  v0 H  $\wedge$  sat-inv s hj  $\Gamma$ 
      then have edenot loc s  $\in$  dom (get-fh H)
        by (metis Un-iff assms(1) domI dom-three-sum fst-conv get-fh.simps)
      then have edenot loc s  $\in$  dom (normalize (get-fh H))
        by (simp add: dom-normalize)
      then show  $\neg$  aborts (Cwrite loc E) (s, FractionalHeap.normalize (get-fh H))
        by (metis aborts-write-cases fst-eqD snd-eqD)
    qed
  show accesses (Cwrite loc E) s  $\subseteq$  dom (fst (fh, gs, gu))  $\wedge$  writes (Cwrite loc
E) s  $\subseteq$  fpdom (fst (fh, gs, gu))
    using Suc.prem(1) fpdom-def by fastforce

fix H hf C' s' h' hj v0

  assume asm0: Some H = Some (fh, gs, gu)  $\oplus$  Some hj  $\oplus$  Some hf  $\wedge$ 
full-ownership (get-fh H)  $\wedge$  semi-consistent  $\Gamma$  v0 H  $\wedge$  sat-inv s hj  $\Gamma$   $\wedge$  red
(Cwrite loc E) (s, FractionalHeap.normalize (get-fh H)) C' (s', h')
  then obtain hjf where hjf-def: Some hjf = Some hj  $\oplus$  Some hf
    by (metis (no-types, opaque-lifting) option.exhaust-sel plus.simps(1) plus-asso
plus-comm)
  then have asm00: Some H = Some (fh, gs, gu)  $\oplus$  Some hjf
    by (metis asm0 plus-asso)
  then have get-fh hjf (edenot loc s) = None
  proof -
    have compatible-fract-heaps fh (get-fh hjf)
      by (metis asm00 compatible-def compatible-eq fst-conv get-fh.elims op-
tion.discI)
    then show ?thesis using compatible-then-dom-disjoint(2)[of fh get-fh hjf]
      assms disjoint-iff-not-equal[of dom (get-fh hjf) fpdom fh] not-in-dom
fpdom-def mem-Collect-eq
      by fastforce
    qed

  show  $\exists$  h'' H' hj'. full-ownership (get-fh H')  $\wedge$  semi-consistent  $\Gamma$  v0 H'  $\wedge$  sat-inv
s' hj'  $\Gamma$   $\wedge$  h' = FractionalHeap.normalize (get-fh H')  $\wedge$ 
Some H' = Some h''  $\oplus$  Some hj'  $\oplus$  Some hf  $\wedge$  safe n (Some  $\Gamma$ ) C' (s',
h'') {(s, fh(edenot loc s  $\mapsto$  (pwrite, edenot E s)), gs, gu)}
  proof (rule red-write-cases)
    show red (Cwrite loc E) (s, FractionalHeap.normalize (get-fh H)) C' (s', h')

```

```

using asm0 by blast
fix sa h
assume asm1: (s, FractionalHeap.normalize (get-fh H)) = (sa, h) C' = Cskip
  (s', h') = (sa, h(edenot loc sa ↦ edenot E sa))
then obtain s = sa h' = h(edenot loc s ↦ edenot E s) by blast

let ?h = (fh(edenot loc s ↦ (pwrite, edenot E s))), gs, gu
have ?h ## hjf
proof (rule compatibleI)
  show compatible-fract-heaps (get-fh (fh(edenot loc s ↦ (pwrite, edenot E
s))), gs, gu) (get-fh hjf)
  proof (rule compatible-fract-heapsI)
    fix l p p' assume asm2: get-fh (fh(edenot loc s ↦ (pwrite, edenot E s)),
gs, gu) l = Some p ∧ get-fh hjf l = Some p'
    then show pgte pwrite (padd (fst p) (fst p'))
    apply (cases l = edenot loc s)
    apply (metis Suc.prem1 asm00 fst-conv fun-upd-same get-fh.elims
option.sel plus-extract-point-fh)
    by (metis asm00 fst-conv fun-upd-other get-fh.elims plus-extract-point-fh)
    show snd p = snd p'
    apply (cases l = edenot loc s)
    using ⟨pgte pwrite (padd (fst p) (fst p'))⟩ asm2 not-pgte-charact sum-larger
apply fastforce
    by (metis (mono-tags, opaque-lifting) asm00 asm2 fst-eqD get-fh.simps
map-upd-Some-unfold plus-extract-point-fh)
  qed
  show  $\bigwedge k. \text{get-gu } (fh(edenot \text{ loc } s \mapsto (pwrite, edenot E s)), gs, gu) k = None$ 
 $\vee \text{get-gu } hjf k = None$ 
  by (metis asm00 compatible-def compatible-eq get-gu.simps option.discI
snd-conv)
  show  $\bigwedge p p'. \text{get-gs } (fh(edenot \text{ loc } s \mapsto (pwrite, edenot E s)), gs, gu) = Some$ 
 $p \wedge \text{get-gs } hjf = Some p' \implies \text{pgte } pwrite (padd (fst p) (fst p'))$ 
  by (metis asm00 compatible-def compatible-eq get-gs.simps option.discI
snd-conv)
  qed
then obtain H' where Some H' = Some ?h ⊕ Some hjf by auto
moreover have H' = ((get-fh H)(edenot loc s ↦ (pwrite, edenot E s)), get-gs
H, get-gu H)
proof (rule heap-ext)
  show get-gs H' = get-gs ((get-fh H)(edenot loc s ↦ (pwrite, edenot E s)),
get-gs H, get-gu H)
  using asm00 calculation
  by (metis fst-conv get-gs.simps plus-extract(2) snd-conv)
  show get-gu H' = get-gu ((get-fh H)(edenot loc s ↦ (pwrite, edenot E s)),
get-gs H, get-gu H)
  using asm00 calculation
  by (metis get-gu.simps plus-extract(3) snd-conv)
  show get-fh H' = get-fh ((get-fh H)(edenot loc s ↦ (pwrite, edenot E s)),
get-gs H, get-gu H)

```

```

proof (rule ext)
  fix l show get-fh H' l = get-fh ((get-fh H)(edenot loc s  $\mapsto$  (pwrite, edenot
E s)), get-gs H, get-gu H) l
    using add-fh-update[of get-fh hjf edenot E s fh (pwrite, edenot E s)]
    by (metis  $\langle$ get-fh hjf (edenot loc s) = None $\rangle$  add-fh-update add-get-fh
asm00 calculation fst-conv get-fh.elims)
  qed
qed
moreover have safe n (Some  $\Gamma$ ) C' (s', ?h) {(s, fh(edenot loc s  $\mapsto$  (pwrite,
edenot E s)), gs, gu)}
  using  $\langle$ s = sa $\rangle$  asm1(2) asm1(3) safe-skip by fastforce
moreover have full-ownership (get-fh H')  $\wedge$  h' = FractionalHeap.normalize
(get-fh H')
proof -
  have full-ownership (get-fh H')
  proof (rule full-ownershipI)
    fix l p
    assume asm: get-fh H' l = Some p
    then show fst p = pwrite
    proof (cases l = edenot loc s)
      case True
        then show ?thesis
          using asm calculation(2) by fastforce
      next
        case False
          then show ?thesis
            by (metis (mono-tags, lifting) asm asm0 calculation(2) fst-eqD
full-ownership-def get-fh.simps map-upd-Some-unfold)
    qed
  qed
moreover have h' = FractionalHeap.normalize (get-fh H')
proof (rule ext)
  fix l show h' l = FractionalHeap.normalize (get-fh H') l
  proof (cases l = edenot loc s)
    case True
      then show ?thesis
        by (metis (no-types, lifting) FractionalHeap.normalize-eq(2)  $\langle$ H'
= ((get-fh H)(edenot loc s  $\mapsto$  (pwrite, edenot E s)), get-gs H, get-gu H) $\rangle$   $\langle$ h' =
h(edenot loc s  $\mapsto$  edenot E s) $\rangle$  fst-conv fun-upd-same get-fh.elims)
    next
      case False
        then have FractionalHeap.normalize (get-fh H') l = Fractional-
Heap.normalize (get-fh H) l
          using FractionalHeap.normalize-eq(2)[of get-fh H' l]
          FractionalHeap.normalize-eq(2)[of get-fh H l]  $\langle$ H' = ((get-fh H)(edenot
loc s  $\mapsto$  (pwrite, edenot E s)), get-gs H, get-gu H) $\rangle$ 
          fst-conv fun-upd-other[of l edenot loc s get-fh H] get-fh.simps
option.exhaust
        by metis

```

```

      then show ?thesis
        using False ⟨h' = h(edenot loc s ↦ edenot E s)⟩ asm1(1) by force
    qed
  qed
  ultimately show ?thesis
    by auto
  qed
  moreover have Some H' = Some ?h ⊕ Some hj ⊕ Some hf
    by (metis calculation(1) hjf-def simpler-asso)
  moreover have semi-consistent Γ v0 H'
  proof (rule semi-consistentI)
    show all-guards H'
    by (metis all-guards-def asm0 calculation(2) fst-conv get-gs.simps get-gu.simps
    semi-consistent-def snd-conv)
    have view Γ (normalize (get-fh H')) = view Γ (normalize (get-fh H))
    proof -
      have view Γ (normalize (get-fh H')) = view Γ (normalize (get-fh hj))
        by (metis asm0 assms(2) calculation(5) larger3 view-function-of-invE)
      then show ?thesis using assms(2) larger3 view-function-of-invE
        by (metis asm0)
    qed
    then show reachable Γ v0 H'
      by (metis asm0 calculation(2) fst-eqD get-gs.simps get-gu.simps reachableE
    reachableI semi-consistent-def snd-eqD)
    qed
    ultimately show ∃ h'' H' hj'.
      full-ownership (get-fh H') ∧
      semi-consistent Γ v0 H' ∧
      sat-inv s' hj' Γ ∧
      h' = FractionalHeap.normalize (get-fh H') ∧
      Some H' = Some h'' ⊕ Some hj' ⊕ Some hf ∧ safe n (Some Γ) C' (s',
    h'') {(s, fh(edenot loc s ↦ (pwrite, edenot E s)), gs, gu)}
      using ⟨s = sa⟩ asm0 asm1(2) asm1(3) by blast
    qed
  qed
  qed (simp)

```

**lemma** *safe-write*:

```

  fixes Δ :: ('i, 'a, nat) cont
  assumes fh (edenot loc s) = Some (pwrite, v)
    and ∧Γ. Δ = Some Γ ⇒ view-function-of-inv Γ
  shows safe n Δ (Cwrite loc E) (s, (fh, gs, gu)) { (s, (fh(edenot loc s ↦ (pwrite,
    edenot E s)), gs, gu)) }
  apply (cases Δ)
  using safe-write-None safe-write-Some assms by blast+

```

**theorem** *write-rule*:

```

  fixes Δ :: ('i, 'a, nat) cont

```



**assumes**  $\bigwedge \Gamma. \Delta = \text{Some } \Gamma \implies \text{view-function-of-inv } \Gamma$   
**and**  $v \notin \text{fv} E \text{ loc}$   
**shows** *hoare-triple-valid*  $\Delta$  (*Exists*  $v$  (*PointsTo loc pwrite (Evar v)*)) (*Cwrite loc E*) (*PointsTo loc pwrite E*)  
**proof** (*rule hoare-triple-validI*)

**define**  $\Sigma :: \text{store} \times ('i, 'a) \text{ heap} \Rightarrow (\text{store} \times ('i, 'a) \text{ heap}) \text{ set}$  **where**  
 $\Sigma = (\lambda(s, h). \{ (s, ((\text{get-fh } h)(\text{edenot loc } s \mapsto (\text{pwrite}, \text{edenot } E s)), \text{get-gs } h, \text{get-gu } h)) \})$

**show**  $\bigwedge s \ h \ n. (s, h), (s, h) \models \text{Exists } v \ (\text{PointsTo loc pwrite (Evar v)}) \implies \text{safe } n \ \Delta \ (\text{Cwrite loc E}) \ (s, h) \ (\Sigma \ (s, h))$   
**proof** –  
**fix**  $s \ h \ n$  **assume**  $(s, h :: ('i, 'a) \text{ heap}), (s, h) \models \text{Exists } v \ (\text{PointsTo loc pwrite (Evar v)})$   
**then obtain**  $vv$  **where**  $(s(v := vv), h), (s(v := vv), h) \models \text{PointsTo loc pwrite (Evar v)}$   
**by** (*meson hyper-sat.simps(6) hyper-sat.simps(7)*)  
**then have**  $\text{get-fh } h \ (\text{edenot loc } (s(v := vv))) = \text{Some } (\text{pwrite}, vv)$   
**by** *simp*  
**then have**  $\text{get-fh } h \ (\text{edenot loc } s) = \text{Some } (\text{pwrite}, vv)$   
**using** *assms(2)* **by** *auto*  
**then show**  $\text{safe } n \ \Delta \ (\text{Cwrite loc E}) \ (s, h) \ (\Sigma \ (s, h))$   
**by** (*metis (mono-tags, lifting)  $\Sigma$ -def assms(1) decompose-heap-triple old.prod.case safe-write*)  
**qed**  
**fix**  $s1 \ h1 \ s2 \ h2$   
**assume**  $(s1, h1 :: ('i, 'a) \text{ heap}), (s2, h2) \models \text{Exists } v \ (\text{PointsTo loc pwrite (Evar v)})$   
**then obtain**  $v1 \ v2$  **where**  $\text{get-fh } h1 \ (\text{edenot loc } s1) = \text{Some } (\text{pwrite}, v1)$   $\text{get-fh } h2 \ (\text{edenot loc } s2) = \text{Some } (\text{pwrite}, v2)$   
**using** *assms(2)* **by** *auto*

**show** *pair-sat* (*case*  $(s1, h1)$  *of*  $(s, h) \Rightarrow \{(s, (\text{get-fh } h)(\text{edenot loc } s \mapsto (\text{pwrite}, \text{edenot } E s)), \text{get-gs } h, \text{get-gu } h)\}$   
*case*  $(s2, h2)$  *of*  $(s, h) \Rightarrow \{(s, (\text{get-fh } h)(\text{edenot loc } s \mapsto (\text{pwrite}, \text{edenot } E s)), \text{get-gs } h, \text{get-gu } h)\}$ ) (*PointsTo loc pwrite E*)  
**proof** (*rule pair-satI*)  
**fix**  $s1' \ h1' \ s2' \ h2'$   
**assume**  $\text{asm0}: (s1', h1') \in (\text{case } (s1, h1) \text{ of } (s, h) \Rightarrow \{(s, (\text{get-fh } h)(\text{edenot loc } s \mapsto (\text{pwrite}, \text{edenot } E s)), \text{get-gs } h, \text{get-gu } h)\}) \wedge$   
 $(s2', h2') \in (\text{case } (s2, h2) \text{ of } (s, h) \Rightarrow \{(s, (\text{get-fh } h)(\text{edenot loc } s \mapsto (\text{pwrite}, \text{edenot } E s)), \text{get-gs } h, \text{get-gu } h)\})$   
**then show**  $(s1', h1'), (s2', h2') \models \text{PointsTo loc pwrite } E$   
**using**  $\langle (s1, h1), (s2, h2) \models \text{Exists } v \ (\text{PointsTo loc pwrite (Evar v)}) \rangle$  *assms(2)*  
**by** *auto*  
**qed**  
**qed**

#### 4.4.5 Read

**inductive-cases** *red-read-cases*: *red* (*Cread* *x E*)  $\sigma$  *C'*  $\sigma'$

**inductive-cases** *aborts-read-cases*: *aborts* (*Cread* *x E*)  $\sigma$

**lemma** *safe-read-None*:

*safe* *n* (*None* :: ('*i*, '*a*, *nat*) *cont*) (*Cread* *x E*) (*s*, ([*edenot* *E s*  $\mapsto$  ( $\pi$ , *v*)], *gs*, *gu*))  
 { (*s*(*x* := *v*), ([*edenot* *E s*  $\mapsto$  ( $\pi$ , *v*)], *gs*, *gu*)) }

**proof** (*induct* *n*)

**case** (*Suc* *n*)

**show** ?*case*

**proof** (*rule* *safeNoneI*)

**show** *no-abort* (*None* :: ('*i*, '*a*, *nat*) *cont*) (*Cread* *x E*) *s* ([*edenot* *E s*  $\mapsto$  ( $\pi$ , *v*)], *gs*, *gu*)

**proof** (*rule* *no-abortNoneI*)

**fix** *hf* *H*

**assume** *asm0*: *Some* *H* = *Some* ([*edenot* *E s*  $\mapsto$  ( $\pi$ , *v*)], *gs*, *gu*)  $\oplus$  *Some* *hf*  $\wedge$  *full-ownership* (*get-fh* *H*)  $\wedge$  *no-guard* *H*

**then have** *edenot* *E s*  $\in$  *dom* (*get-fh* *H*)

**by** (*metis* *Un-iff* *dom-eq-singleton-conv* *dom-sum-two* *fst-eqD* *get-fh.elims* *insert-iff*)

**then have** *edenot* *E s*  $\in$  *dom* (*FractionalHeap.normalize* (*get-fh* *H*))

**by** (*simp* *add: dom-normalize*)

**then show**  $\neg$  *aborts* (*Cread* *x E*) (*s*, *FractionalHeap.normalize* (*get-fh* *H*))

**by** (*metis* *aborts-read-cases* *fst-eqD* *snd-eqD*)

**qed**

**show** *accesses* (*Cread* *x E*) *s*  $\subseteq$  *dom* (*fst* ([*edenot* *E s*  $\mapsto$  ( $\pi$ , *v*)], *gs*, *gu*))  $\wedge$  *writes* (*Cread* *x E*) *s*  $\subseteq$  *fpdom* (*fst* ([*edenot* *E s*  $\mapsto$  ( $\pi$ , *v*)], *gs*, *gu*))

**by** *simp*

**fix** *H* *hf* *C'* *s'* *h'*

**assume** *asm0*: *Some* *H* = *Some* ([*edenot* *E s*  $\mapsto$  ( $\pi$ , *v*)], *gs*, *gu*)  $\oplus$  *Some* *hf*  $\wedge$  *full-ownership* (*get-fh* *H*)  $\wedge$  *no-guard* *H*  $\wedge$  *red* (*Cread* *x E*) (*s*, *FractionalHeap.normalize* (*get-fh* *H*)) *C'* (*s'*, *h'*)

**let** ?*S* = { (*s*(*x* := *v*), ([*edenot* *E s*  $\mapsto$  ( $\pi$ , *v*)], *gs*, *gu*)) }

**show**  $\exists$  *h''* *H'*.

*full-ownership* (*get-fh* *H'*)  $\wedge$

*no-guard* *H'*  $\wedge$

*h'* = *FractionalHeap.normalize* (*get-fh* *H'*)  $\wedge$

*Some* *H'* = *Some* *h''*  $\oplus$  *Some* *hf*  $\wedge$  *safe* *n* (*None* :: ('*i*, '*a*, *nat*) *cont*) *C'* (*s'*, *h''*) ?*S*

**proof** (*rule* *red-read-cases*)

**show** *red* (*Cread* *x E*) (*s*, *FractionalHeap.normalize* (*get-fh* *H*)) *C'* (*s'*, *h'*)

**using** *asm0* **by** *blast*

```

fix sa h va
  assume (s, FractionalHeap.normalize (get-fh H)) = (sa, h) C' = Cskip (s',
h') = (sa(x := va), h)
  h (edenot E sa) = Some va
  then have s = sa
  by force
  then have va = v
  proof –
  have  $\exists \pi'. \text{get-fh } H \text{ (edenot } E \text{ s) = Some } (\pi', v)$ 
  proof (rule one-value-sum-same)
  show Some H = Some ([edenot E s  $\mapsto$  ( $\pi$ , v)], gs, gu)  $\oplus$  Some hf
  using asm0 by fastforce
  qed (simp)
  then show ?thesis
  by (metis FractionalHeap.normalize-eq(2) Pair-inject  $\langle$ (s, Fractional-
Heap.normalize (get-fh H)) = (sa, h) $\rangle$   $\langle$ h (edenot E sa) = Some va $\rangle$  option.sel)
  qed

  then have safe n (None :: ('i, 'a, nat) cont) C' (s', ([edenot E s  $\mapsto$  ( $\pi$ , v)],
gs, gu)) ?S
  using  $\langle$ (s', h') = (sa(x := va), h) $\rangle$   $\langle$ C' = Cskip $\rangle$   $\langle$ s = sa $\rangle$  safe-skip by
fastforce

  then show  $\exists h'' H'$ .
    full-ownership (get-fh H')  $\wedge$  no-guard H'  $\wedge$ 
    h' = FractionalHeap.normalize (get-fh H')  $\wedge$  Some H' = Some h''  $\oplus$  Some
hf  $\wedge$  safe n (None :: ('i, 'a, nat) cont) C' (s', h'') {(s(x := v), [edenot E s  $\mapsto$  ( $\pi$ ,
v)], gs, gu)}
    using  $\langle$ (s', h') = (sa(x := va), h) $\rangle$   $\langle$ (s, FractionalHeap.normalize (get-fh H))
= (sa, h) $\rangle$  asm0 by blast
    qed
  qed (simp)
qed (simp)

lemma safe-read-Some:
  assumes view-function-of-inv  $\Gamma$ 
  and x  $\notin$  fvA (invariant  $\Gamma$ )
  shows safe n (Some  $\Gamma$ ) (Cread x E) (s, ([edenot E s  $\mapsto$  ( $\pi$ , v)], gs, gu)) { (s(x
:= v), ([edenot E s  $\mapsto$  ( $\pi$ , v)], gs, gu)) }
  proof (induct n)
  case (Suc n)
  show ?case
  proof (rule safeSomeI)

    show no-abort (Some  $\Gamma$ ) (Cread x E) s ([edenot E s  $\mapsto$  ( $\pi$ , v)], gs, gu)
    proof (rule no-abortSomeI)
      fix hf H hj v0
      assume asm0: Some H = Some ([edenot E s  $\mapsto$  ( $\pi$ , v)], gs, gu)  $\oplus$  Some hj
 $\oplus$  Some hf  $\wedge$  full-ownership (get-fh H)  $\wedge$  semi-consistent  $\Gamma$  v0 H  $\wedge$  sat-inv s hj  $\Gamma$ 

```

**then obtain** *hjf* **where**  $\text{Some } H = \text{Some } ([\text{edenot } E \ s \mapsto (\pi, v)], \text{gs}, \text{gu}) \oplus \text{Some } hjf$   
**by** (*metis* (*no-types*, *lifting*) *plus.simps*(2) *plus.simps*(3) *plus-asso*)  
**then have**  $\text{edenot } E \ s \in \text{dom } (\text{get-fh } H)$   
**by** (*metis* *Un-iff dom-eq-singleton-conv dom-sum-two fst-eqD get-fh.elims insert-iff*)  
**then have**  $\text{edenot } E \ s \in \text{dom } (\text{FractionalHeap.normalize } (\text{get-fh } H))$   
**by** (*simp add: dom-normalize*)  
**then show**  $\neg \text{aborts } (\text{Cread } x \ E) \ (s, \text{FractionalHeap.normalize } (\text{get-fh } H))$   
**by** (*metis* *aborts-read-cases fst-eqD snd-eqD*)  
**qed**  
**show**  $\text{accesses } (\text{Cread } x \ E) \ s \subseteq \text{dom } (\text{fst } ([\text{edenot } E \ s \mapsto (\pi, v)], \text{gs}, \text{gu})) \wedge$   
*writes*  $(\text{Cread } x \ E) \ s \subseteq \text{fpdom } (\text{fst } ([\text{edenot } E \ s \mapsto (\pi, v)], \text{gs}, \text{gu}))$   
**by** *simp*

**fix**  $H \ hf \ C' \ s' \ h' \ hj \ v0$   
**assume**  $\text{asm0}: \text{Some } H = \text{Some } ([\text{edenot } E \ s \mapsto (\pi, v)], \text{gs}, \text{gu}) \oplus \text{Some } hj \oplus \text{Some } hf \wedge$   
*full-ownership*  $(\text{get-fh } H) \wedge \text{semi-consistent } \Gamma \ v0 \ H \wedge \text{sat-inv } s \ hj \ \Gamma \wedge \text{red}$   
 $(\text{Cread } x \ E) \ (s, \text{FractionalHeap.normalize } (\text{get-fh } H)) \ C' \ (s', h')$   
**then obtain** *hjf* **where**  $\text{Some } hjf = \text{Some } hj \oplus \text{Some } hf$   
**using** *compatible-last-two* **by** (*metis* *plus.simps*(3) *plus-asso*)  
**then have**  $\text{Some } H = \text{Some } ([\text{edenot } E \ s \mapsto (\pi, v)], \text{gs}, \text{gu}) \oplus \text{Some } hjf$   
**by** (*metis* *asm0 plus-asso*)

**let**  $?S = \{ (s(x := v), ([\text{edenot } E \ s \mapsto (\pi, v)], \text{gs}, \text{gu})) \}$

**show**  $\exists h'' \ H' \ hj'. \text{full-ownership } (\text{get-fh } H') \wedge \text{semi-consistent } \Gamma \ v0 \ H' \wedge \text{sat-inv}$   
 $s' \ hj' \ \Gamma \wedge h' = \text{FractionalHeap.normalize } (\text{get-fh } H') \wedge$   
 $\text{Some } H' = \text{Some } h'' \oplus \text{Some } hj' \oplus \text{Some } hf \wedge \text{safe } n \ (\text{Some } \Gamma) \ C' \ (s',$   
 $h'') \{(s(x := v), [\text{edenot } E \ s \mapsto (\pi, v)], \text{gs}, \text{gu})\}$   
**proof** (*rule red-read-cases*)

**show**  $\text{red } (\text{Cread } x \ E) \ (s, \text{FractionalHeap.normalize } (\text{get-fh } H)) \ C' \ (s', h')$   
**using** *asm0* **by** *blast*  
**fix**  $sa \ h \ va$   
**assume**  $(s, \text{FractionalHeap.normalize } (\text{get-fh } H)) = (sa, h) \ C' = \text{Cskip } (s',$   
 $h') = (sa(x := va), h)$   
 $h \ (\text{edenot } E \ sa) = \text{Some } va$   
**then have**  $s = sa$   
**by** *force*  
**then have**  $va = v$   
**proof** –  
**have**  $\exists \pi'. \text{get-fh } H \ (\text{edenot } E \ s) = \text{Some } (\pi', v)$   
**proof** (*rule one-value-sum-same*)  
**show**  $\text{Some } H = \text{Some } ([\text{edenot } E \ s \mapsto (\pi, v)], \text{gs}, \text{gu}) \oplus \text{Some } hjf$   
**by** (*simp add:*  $\langle \text{Some } H = \text{Some } ([\text{edenot } E \ s \mapsto (\pi, v)], \text{gs}, \text{gu}) \oplus \text{Some } hjf \rangle$ )  
**qed** (*simp*)

**then show** *?thesis*  
**by** (*metis FractionalHeap.normalize-eq(2) Pair-inject*  $\langle s, \text{FractionalHeap.normalize (get-fh H)} \rangle = \langle sa, h \rangle \langle h \text{ (edenot E sa) = Some va} \rangle \text{option.sel}$ )  
**qed**

**then have** *safe n (Some Γ) C' (s', ([edenot E s ↦ (π, v)], gs, gu)) ?S*  
**using**  $\langle s', h' \rangle = \langle sa(x := va), h \rangle \langle C' = Cskip \rangle \langle s = sa \rangle \text{safe-skip by fastforce}$

**moreover have** *sat-inv s' hj Γ*  
**by** (*metis*  $\langle s', h' \rangle = \langle sa(x := va), h \rangle \langle s = sa \rangle \text{agrees-update asm0 assms(2) prod.inject sat-inv-agrees}$ )

**ultimately show**  $\exists h'' H' hj'$ .  
*full-ownership (get-fh H') ∧ semi-consistent Γ v0 H' ∧ sat-inv s' hj' Γ ∧ h' = FractionalHeap.normalize (get-fh H') ∧ Some H' = Some h'' ⊕ Some hj' ⊕ Some hf ∧ safe n (Some Γ) C' (s', h'') { (s(x := v), [edenot E s ↦ (π, v)], gs, gu) }*  
**using**  $\langle s', h' \rangle = \langle sa(x := va), h \rangle \langle s, \text{FractionalHeap.normalize (get-fh H)} \rangle = \langle sa, h \rangle \text{asm0 by blast}$   
**qed**  
**qed** (*simp*)  
**qed** (*simp*)

**lemma** *safe-read:*

**fixes**  $\Delta :: ('i, 'a, nat) \text{cont}$   
**assumes**  $\bigwedge \Gamma. \Delta = \text{Some } \Gamma \implies x \notin \text{fvA (invariant } \Gamma) \wedge \text{view-function-of-inv } \Gamma$   
**shows** *safe n Δ (Cread x E) (s, ([edenot E s ↦ (π, v)], gs, gu)) { (s(x := v), [edenot E s ↦ (π, v)], gs, gu) }*  
**apply** (*cases Δ*)  
**using** *safe-read-None safe-read-Some assms by blast+*

**theorem** *read-rule:*

**fixes**  $\Delta :: ('i, 'a, nat) \text{cont}$   
**assumes**  $\bigwedge \Gamma. \Delta = \text{Some } \Gamma \implies x \notin \text{fvA (invariant } \Gamma) \wedge \text{view-function-of-inv } \Gamma$   
**and**  $x \notin \text{fvE } E \cup \text{fvE } e$   
**shows** *hoare-triple-valid Δ (PointsTo E π e) (Cread x E) (And (PointsTo E π e) (Bool (Beq (Evar x) e)))*  
**proof** (*rule hoare-triple-validI*)

**define**  $\Sigma :: \text{store} \times ('i, 'a) \text{heap} \Rightarrow (\text{store} \times ('i, 'a) \text{heap}) \text{set}$  **where**  
 $\Sigma = (\lambda(s, h). \{ (s(x := \text{edenot } e \ s), ([\text{edenot } E \ s \mapsto (\pi, \text{edenot } e \ s)], \text{get-gs } h, \text{get-gu } h)) \})$

**show**  $\bigwedge s \ h \ n. (s, h), (s, h) \models \text{PointsTo } E \ \pi \ e \implies \text{safe } n \ \Delta \ (\text{Cread } x \ E) \ (s, h) \ (\Sigma \ (s, h))$

**proof** –

**fix**  $s \ h \ n$

**assume**  $(s, h :: ('i, 'a) \text{heap}), (s, h) \models \text{PointsTo } E \ \pi \ e$

**then have**  $\text{get-fh } h = [\text{edenot } E \ s \mapsto (\pi, \text{edenot } e \ s)]$

**using** *sat-points-to by blast*

**then have**  $h = ([\text{edenot } E \ s \mapsto (\pi, \text{edenot } e \ s)], \text{get-gs } h, \text{get-gu } h)$   
**by** *(metis decompose-heap-triple)*  
**then have**  $\text{safe } n \ \Delta \ (C\text{read } x \ E) \ (s, ([\text{edenot } E \ s \mapsto (\pi, \text{edenot } e \ s)], \text{get-gs } h, \text{get-gu } h))$   
 $\{ (s(x := \text{edenot } e \ s), ([\text{edenot } E \ s \mapsto (\pi, \text{edenot } e \ s)], \text{get-gs } h, \text{get-gu } h)) \}$   
**using** *assms safe-read by blast*  
**then show**  $\text{safe } n \ \Delta \ (C\text{read } x \ E) \ (s, h) \ (\Sigma \ (s, h))$   
**using**  $\Sigma\text{-def } \langle h = ([\text{edenot } E \ s \mapsto (\pi, \text{edenot } e \ s)], \text{get-gs } h, \text{get-gu } h) \rangle$  **by auto**  
**qed**

**fix**  $s1 \ h1 \ s2 \ h2$   
**assume**  $(s1, h1 :: ('i, 'a) \text{ heap}), (s2, h2) \models \text{PointsTo } E \ \pi \ e$

**show**  $\text{pair-sat} \ (\text{case } (s1, h1) \ \text{of } (s, h) \Rightarrow \{(s(x := \text{edenot } e \ s), [\text{edenot } E \ s \mapsto (\pi, \text{edenot } e \ s)], \text{get-gs } h, \text{get-gu } h)\})$   
 $(\text{case } (s2, h2) \ \text{of } (s, h) \Rightarrow \{(s(x := \text{edenot } e \ s), [\text{edenot } E \ s \mapsto (\pi, \text{edenot } e \ s)], \text{get-gs } h, \text{get-gu } h)\}) \ (\text{And } (\text{PointsTo } E \ \pi \ e) \ (\text{Bool } (\text{Beq } (E\text{var } x) \ e)))$   
**proof** *(rule pair-satI)*  
**fix**  $s1' \ h1' \ s2' \ h2'$   
**assume**  $\text{asm0}: (s1', h1') \in (\text{case } (s1, h1) \ \text{of } (s, h) \Rightarrow \{(s(x := \text{edenot } e \ s), [\text{edenot } E \ s \mapsto (\pi, \text{edenot } e \ s)], \text{get-gs } h, \text{get-gu } h)\}) \wedge$   
 $(s2', h2') \in (\text{case } (s2, h2) \ \text{of } (s, h) \Rightarrow \{(s(x := \text{edenot } e \ s), [\text{edenot } E \ s \mapsto (\pi, \text{edenot } e \ s)], \text{get-gs } h, \text{get-gu } h)\})$   
**then obtain**  $s1' = s1(x := \text{edenot } e \ s1) \ h1' = ([\text{edenot } E \ s1 \mapsto (\pi, \text{edenot } e \ s1)], \text{get-gs } h1, \text{get-gu } h1)$   
 $s2' = s2(x := \text{edenot } e \ s2) \ h2' = ([\text{edenot } E \ s2 \mapsto (\pi, \text{edenot } e \ s2)], \text{get-gs } h2, \text{get-gu } h2)$   
**by force**  
**then show**  $(s1', h1'), (s2', h2') \models \text{And } (\text{PointsTo } E \ \pi \ e) \ (\text{Bool } (\text{Beq } (E\text{var } x) \ e))$   
**using** *assms(2) by auto*  
**qed**  
**qed**

#### 4.4.6 Share

**lemma** *share-no-abort:*

**assumes**  $\text{no-abort} \ (\text{Some } \Gamma) \ C \ s \ (h :: ('i, 'a) \text{ heap})$   
**and**  $\text{Some } (h' :: ('i, 'a) \text{ heap}) = \text{Some } h \oplus \text{Some } hj$   
**and**  $\text{sat-inv } s \ hj \ \Gamma$   
**and**  $\text{get-gs } h = \text{Some } (\text{pwrite}, \text{sargs})$   
**and**  $\bigwedge k. \text{get-gu } h \ k = \text{Some } (\text{uargs } k)$   
**and**  $\text{reachable-value} \ (\text{saction } \Gamma) \ (\text{uaction } \Gamma) \ v0 \ \text{sargs} \ \text{uargs} \ (\text{view } \Gamma \ (\text{normalize} \ (\text{get-fh } hj)))$   
**and**  $\text{view-function-of-inv } \Gamma$   
**shows**  $\text{no-abort} \ \text{None} \ C \ s \ (\text{remove-guards } h')$   
**proof** *(rule no-abortI)*  
**show**  $\bigwedge H \ hf \ hj \ v0 \ \Gamma. \ \text{None} = \text{Some } \Gamma \wedge$

$\text{Some } H = \text{Some } (\text{remove-guards } h') \oplus \text{Some } hj \oplus \text{Some } hf \wedge \text{full-ownership}$   
 $(\text{get-fh } H) \wedge \text{semi-consistent } \Gamma \vee 0 H \wedge \text{sat-inv } s \text{ } hj \Gamma \implies$   
 $\neg \text{aborts } C (s, \text{FractionalHeap.normalize } (\text{get-fh } H)) \text{ by blast}$

**fix**  $hf \ H :: ('i, 'a) \text{ heap}$   
**assume**  $asm0: \text{Some } H = \text{Some } (\text{remove-guards } h') \oplus \text{Some } hf \wedge \text{None} = \text{None}$   
 $\wedge \text{full-ownership } (\text{get-fh } H) \wedge \text{no-guard } H$

**have**  $\text{compatible } h' \ hf$   
**proof** ( $\text{rule compatibleI}$ )  
**show**  $\text{compatible-fract-heaps } (\text{get-fh } h') (\text{get-fh } hf)$   
**by** ( $\text{metis } asm0 \text{ compatible-def compatible-eq fst-eqD } \text{get-fh.simps option.distinct}(1)$ )  
 $\text{remove-guards-def}$   
**show**  $\bigwedge k. \text{get-gu } h' \ k = \text{None} \vee \text{get-gu } hf \ k = \text{None}$   
**by** ( $\text{metis } asm0 \text{ no-guard-def no-guard-then-smaller-same plus-comm}$ )  
**fix**  $p \ p'$  **assume**  $\text{get-gs } h' = \text{Some } p \wedge \text{get-gs } hf = \text{Some } p'$   
**then show**  $\text{pgte } pwrite (\text{padd } (\text{fst } p) (\text{fst } p'))$   
**by** ( $\text{metis } asm0 \text{ no-guard-def no-guard-then-smaller-same option.distinct}(1)$ )  
 $\text{plus-comm}$ )  
**qed**  
**then obtain**  $H'$  **where**  $\text{Some } H' = \text{Some } h' \oplus \text{Some } hf$   
**by**  $\text{simp}$   
**then have**  $\text{get-fh } H' = \text{get-fh } H$   
**by** ( $\text{metis } asm0 \text{ fst-eqD } \text{get-fh.elims option.discI } \text{remove-guards-def option.sel}$   
 $\text{plus.simps}(3)$ )

**have**  $\neg \text{aborts } C (s, \text{FractionalHeap.normalize } (\text{get-fh } H'))$   
**proof** ( $\text{rule no-abortE}(2)$ )  
**show**  $\text{no-abort } (\text{Some } \Gamma) \ C \ s \ h$   
**using**  $\text{assms}$  **by**  $\text{blast}$   
**show**  $\text{Some } \Gamma = \text{Some } \Gamma$  **by**  $\text{blast}$   
**show**  $\text{full-ownership } (\text{get-fh } H')$   
**using**  $\langle \text{get-fh } H' = \text{get-fh } H \rangle \text{ } asm0$  **by**  $\text{presburger}$   
**show**  $\text{semi-consistent } \Gamma \vee 0 H'$   
**proof** ( $\text{rule semi-consistentI}$ )  
**show**  $\text{all-guards } H'$   
**by** ( $\text{metis } \langle \text{Some } H' = \text{Some } h' \oplus \text{Some } hf \rangle \text{ all-guards-def all-guards-same}$   
 $\text{assms}(2) \text{ assms}(4) \text{ assms}(5) \text{ option.discI}$ )

**have**  $\text{view } \Gamma (\text{normalize } (\text{get-fh } hj)) = \text{view } \Gamma (\text{normalize } (\text{get-fh } H'))$   
**using**  $\text{assms}(7)$   
**proof** ( $\text{rule view-function-of-invE}$ )  
**show**  $H' \succeq hj$   
**using**  $\text{larger-trans}$   
**by** ( $\text{simp add: } \langle \text{Some } H' = \text{Some } h' \oplus \text{Some } hf \rangle \text{ assms}(2) \text{ larger3}$ )  
**show**  $\text{sat-inv } s \text{ } hj \ \Gamma$   
**by** ( $\text{simp add: assms}(3)$ )  
**qed**

```

show reachable  $\Gamma$   $v0$   $H'$ 
proof (rule reachableI)
  fix  $sargs'$   $uargs'$ 
    assume  $asm1$ :  $get\text{-}gs\ H' = Some\ (pwrite,\ sargs') \wedge (\forall k.\ get\text{-}gu\ H'\ k =$ 
Some ( $uargs'\ k$ )
    then have  $sargs = sargs'$ 
    by ( $metis\ \langle Some\ H' = Some\ h' \oplus Some\ hf \rangle\ assms(2)\ assms(4)\ full\text{-}sguard\text{-}sum\text{-}same$ 
option.inject\ snd\ conv)
    moreover have  $uargs = uargs'$ 
    proof (rule ext)

    fix  $k$ 
    show  $uargs\ k = uargs'\ k$ 
      using  $full\text{-}uguard\text{-}sum\text{-}same[of\ h'\ k - H'\ hf]$ 
      by ( $metis\ \langle Some\ H' = Some\ h' \oplus Some\ hf \rangle\ asm1\ assms(2)\ assms(5)$ 
full\text{-}uguard\text{-}sum\text{-}same\ option.inject)
    qed
    ultimately show  $reachable\text{-}value\ (saction\ \Gamma)\ (uaction\ \Gamma)\ v0\ sargs'\ uargs'$ 
    ( $view\ \Gamma\ (FractionalHeap.normalize\ (get\text{-}fh\ H'))$ )
    using  $\langle view\ \Gamma\ (FractionalHeap.normalize\ (get\text{-}fh\ hj)) = view\ \Gamma\ (FractionalHeap.normalize$ 
    ( $get\text{-}fh\ H')$ )  $\rangle\ assms(6)$  by presburger
    qed
  qed
  show  $Some\ H' = Some\ h \oplus Some\ hj \oplus Some\ hf$ 
    using  $\langle Some\ H' = Some\ h' \oplus Some\ hf \rangle\ assms(2)$  by presburger
  show  $sat\text{-}inv\ s\ hj\ \Gamma$ 
    by ( $simp\ add:\ assms(3)$ )
  qed

  then show  $\neg\ aborts\ C\ (s,\ FractionalHeap.normalize\ (get\text{-}fh\ H))$ 
    using  $\langle get\text{-}fh\ H' = get\text{-}fh\ H \rangle\ \mathbf{by}\ \mathit{auto}$ 
  qed

definition  $S\text{-after}\text{-}share$  where
   $S\text{-after}\text{-}share\ S\ \Gamma\ v0 = \{ (s,\ remove\text{-}guards\ h') \mid h\ hj\ h'\ s.\ semi\text{-}consistent\ \Gamma\ v0$ 
 $h' \wedge Some\ h' = Some\ h \oplus Some\ hj \wedge (s,\ h) \in S \wedge sat\text{-}inv\ s\ hj\ \Gamma \}$ 

lemma  $share\text{-}lemma$ :
  assumes  $safe\ n\ (Some\ \Gamma)\ C\ (s,\ h :: ('i,\ 'a)\ heap)\ S$ 
  and  $Some\ (h' :: ('i,\ 'a)\ heap) = Some\ h \oplus Some\ hj$ 
  and  $sat\text{-}inv\ s\ hj\ \Gamma$ 
  and  $semi\text{-}consistent\ \Gamma\ v0\ h'$ 
  and  $view\text{-}function\text{-}of\text{-}inv\ \Gamma$ 
  and  $bounded\ h'$ 
  shows  $safe\ n\ (None :: ('i,\ 'a,\ nat)\ cont)\ C\ (s,\ remove\text{-}guards\ h')\ (S\text{-after}\text{-}share$ 
 $S\ \Gamma\ v0)$ 
  using  $assms$ 
proof ( $induct\ n\ arbitrary:\ C\ s\ h\ h'\ hj$ )
  case ( $Suc\ n$ )

```



```

let ?S' = S-after-share S Γ v0

have is-in-s':  $\bigwedge h \ hj \ h'. \text{Some } h' = \text{Some } h \oplus \text{Some } hj \wedge (s, h) \in S \wedge \text{sat-inv } s \ hj \ \Gamma \wedge \text{semi-consistent } \Gamma \ v0 \ h' \implies (s, \text{remove-guards } h') \in ?S'$ 
proof -
  fix h hj h' assume Some h' = Some h  $\oplus$  Some hj  $\wedge (s, h) \in S \wedge \text{sat-inv } s \ hj \ \Gamma \wedge \text{semi-consistent } \Gamma \ v0 \ h'$ 
  then show (s, remove-guards h')  $\in ?S'$ 
    using S-after-share-def[of S Γ v0] mem-Collect-eq by blast
  qed
show ?case
proof (rule safeNoneI)

show C = Cskip  $\implies (s, \text{remove-guards } h') \in ?S'$ 
proof -
  assume C = Cskip
  show (s, remove-guards h')  $\in ?S'$ 
  proof (rule is-in-s')
    show Some h' = Some h  $\oplus$  Some hj  $\wedge (s, h) \in S \wedge \text{sat-inv } s \ hj \ \Gamma \wedge \text{semi-consistent } \Gamma \ v0 \ h'$ 
    using Suc.premis  $\langle C = Cskip \rangle$  safeSomeE(1) sat-inv-def by blast
  qed
qed

obtain sargs uargs where get-gs h' = Some (pwrite, sargs)  $\wedge$ 
  ( $\forall k. \text{get-gu } h' \ k = \text{Some } (uargs \ k)$ )  $\wedge \text{reachable-value } (saction \ \Gamma) \ (uaction \ \Gamma) \ v0 \ sargs \ uargs \ (\text{view } \Gamma \ (\text{FractionalHeap.normalize } (\text{get-fh } h')))$ 
  by (metis Suc.premis(4) semi-consistentE)
show no-abort None C s (remove-guards h')
proof (rule share-no-abort)
  show no-abort (Some Γ) C s h
    using Suc.premis(1) safeSomeE(2) by blast
  show Some h' = Some h  $\oplus$  Some hj
    using Suc.premis(2) by blast
  show sat-inv s hj Γ
    using Suc.premis(3) by auto
  show get-gs h = Some (pwrite, sargs)
    by (metis Suc.premis(2)  $\langle \text{get-gs } h' = \text{Some } (pwrite, sargs) \wedge (\forall k. \text{get-gu } h' \ k = \text{Some } (uargs \ k)) \wedge \text{reachable-value } (saction \ \Gamma) \ (uaction \ \Gamma) \ v0 \ sargs \ uargs \ (\text{view } \Gamma \ (\text{FractionalHeap.normalize } (\text{get-fh } h')) \rangle \langle \text{sat-inv } s \ hj \ \Gamma \rangle \text{no-guard-remove}(1) \text{sat-inv-def}$ )
  show  $\bigwedge k. \text{get-gu } h \ k = \text{Some } (uargs \ k)$ 
    by (metis Suc.premis(2)  $\langle \text{get-gs } h' = \text{Some } (pwrite, sargs) \wedge (\forall k. \text{get-gu } h' \ k = \text{Some } (uargs \ k)) \wedge \text{reachable-value } (saction \ \Gamma) \ (uaction \ \Gamma) \ v0 \ sargs \ uargs \ (\text{view } \Gamma \ (\text{FractionalHeap.normalize } (\text{get-fh } h')) \rangle \langle \text{sat-inv } s \ hj \ \Gamma \rangle \text{no-guard-remove}(2) \text{sat-inv-def}$ )
  show reachable-value (saction Γ) (uaction Γ) v0 sargs uargs (view Γ (FractionalHeap.normalize

```

(*get-fh hj*))  
**by** (*metis Suc.prem*s(2) *Suc.prem*s(3)  $\langle \text{get-gs } h' = \text{Some } (pwrite, sargs) \wedge$   
 $(\forall k. \text{get-gu } h' k = \text{Some } (uargs k)) \wedge \text{reachable-value } (saction \Gamma) (uaction \Gamma) v0$   
 $sargs \ uargs \ (view \Gamma \ (FractionalHeap.normalize \ (get-fh \ h')) \rangle \text{assms}(5) \text{larger-def}$   
 $\text{plus-comm view-function-of-invE}$ )  
**show** *view-function-of-inv*  $\Gamma$   
**by** (*simp add: assms*(5))  
**qed**

**have** *accesses*  $C \ s \subseteq \text{dom } (fst \ h) \wedge \text{writes } C \ s \subseteq \text{fpdom } (fst \ h)$   
**using** *Suc.prem*s(1) **by** *force*  
**moreover** **have**  $\text{dom } (fst \ h) \subseteq \text{dom } (fst \ h')$   
**by** (*metis Suc.prem*s(2) *addition-smaller-domain get-fh.simps*)  
**moreover** **have**  $\text{fpdom } (fst \ h) \subseteq \text{fpdom } (fst \ h')$   
**by** (*simp add: Suc.prem*s(2) *Suc*(7) *fpdom-inclusion*)  
**ultimately** **show**  $\text{accesses } C \ s \subseteq \text{dom } (fst \ (\text{remove-guards } h')) \wedge \text{writes } C \ s \subseteq$   
 $\text{fpdom } (fst \ (\text{remove-guards } h'))$   
**unfolding** *remove-guards-def* **by** *force*

**fix**  $H \ hf \ C' \ s' \ h'a$   
**assume** *asm0*:  $\text{Some } H = \text{Some } (\text{remove-guards } h') \oplus \text{Some } hf \wedge$   
 $\text{full-ownership } (get-fh \ H) \wedge \text{no-guard } H \wedge \text{red } C \ (s, FractionalHeap.normalize$   
 $(get-fh \ H)) \ C' \ (s', h'a)$

**have** *compatible*  $h' \ hf$   
**proof** (*rule compatibleI*)  
**show** *compatible-fract-heaps* (*get-fh*  $h'$ ) (*get-fh*  $hf$ )  
**by** (*metis asm0 compatible-def compatible-eq fst-eqD get-fh.simps option.distinct*(1)  
*remove-guards-def*)  
**show**  $\bigwedge k. \text{get-gu } h' \ k = \text{None} \vee \text{get-gu } hf \ k = \text{None}$   
**by** (*metis asm0 no-guard-def no-guard-then-smaller-same plus-comm*)  
**fix**  $p \ p'$  **assume**  $\text{get-gs } h' = \text{Some } p \wedge \text{get-gs } hf = \text{Some } p'$   
**then** **show** *pgte* *pwrite* (*padd* (*fst*  $p$ ) (*fst*  $p'$ ))  
**by** (*metis asm0 no-guard-def no-guard-then-smaller-same option.distinct*(1)  
*plus-comm*)  
**qed**  
**then** **obtain**  $Hg$  **where**  $\text{Some } Hg = \text{Some } h' \oplus \text{Some } hf$   
**by** *simp*  
**then** **have**  $\text{get-fh } Hg = \text{get-fh } H$   
**by** (*metis asm0 fst-eqD get-fh.elims option.discI remove-guards-def option.sel*  
*plus.simps*(3))

**have**  $\exists h'' \ H' \ hj'$ .  
 $\text{full-ownership } (get-fh \ H') \wedge$   
 $\text{semi-consistent } \Gamma \ v0 \ H' \wedge$   
 $\text{sat-inv } s' \ hj' \ \Gamma \wedge h'a = FractionalHeap.normalize \ (get-fh \ H') \wedge \text{Some } H' =$   
 $\text{Some } h'' \oplus \text{Some } hj' \oplus \text{Some } hf \wedge \text{safe } n \ (\text{Some } \Gamma) \ C' \ (s', h'') \ S$   
**using** *Suc*(2)

**proof** (*rule safeSomeE(3)*) [*of n*  $\Gamma$   $C$   $s$   $h$   $S$   $Hg$   $hj$   $hf$   $v0$   $C'$   $s'$   $h'a$ ]  
**show**  $\text{Some } Hg = \text{Some } h \oplus \text{Some } hj \oplus \text{Some } hf$   
**by** (*simp add: Suc.premis(2)*)  $\langle \text{Some } Hg = \text{Some } h' \oplus \text{Some } hf \rangle$   
**show** *full-ownership* (*get-fh*  $Hg$ )  
**using**  $\langle \text{get-fh } Hg = \text{get-fh } H \rangle$  *asm0* **by** *presburger*  
**show** *sat-inv*  $s$   $hj$   $\Gamma$   
**by** (*simp add: Suc.premis(3)*)  
**show** *red*  $C$  ( $s$ , *FractionalHeap.normalize* (*get-fh*  $Hg$ ))  $C'$  ( $s'$ ,  $h'a$ )  
**using**  $\langle \text{get-fh } Hg = \text{get-fh } H \rangle$  *asm0* **by** *presburger*  
**show** *semi-consistent*  $\Gamma$   $v0$   $Hg$   
**proof** (*rule semi-consistentI*)  
**show** *all-guards*  $Hg$   
**by** (*meson Suc.premis(4)*)  $\langle \text{Some } Hg = \text{Some } h' \oplus \text{Some } hf \rangle$  *all-guards-same semi-consistent-def*  
**have** *view*  $\Gamma$  (*normalize* (*get-fh*  $hj$ )) = *view*  $\Gamma$  (*normalize* (*get-fh*  $Hg$ ))  
**using** *assms(5)*  
**proof** (*rule view-function-of-invE*)  
**show**  $Hg \succeq hj$   
**using** *larger-trans*  
**using**  $\langle \text{Some } Hg = \text{Some } h \oplus \text{Some } hj \oplus \text{Some } hf \rangle$  *larger3* **by** *blast*  
**show** *sat-inv*  $s$   $hj$   $\Gamma$   
**by** (*simp add: sat-inv s hj*  $\Gamma$ )  
**qed**  
**show** *reachable*  $\Gamma$   $v0$   $Hg$   
**proof** (*rule reachableI*)  
**fix**  $sargs'$   $uargs'$   
**assume** *asm1*:  $\text{get-gs } Hg = \text{Some } (pwrite, sargs') \wedge (\forall k. \text{get-gu } Hg \ k = \text{Some } (uargs' \ k))$   
**then have**  $sargs = sargs'$   
**by** (*metis Pair-inject*)  $\langle \text{Some } Hg = \text{Some } h' \oplus \text{Some } hf \rangle$   $\langle \text{get-gs } h' = \text{Some } (pwrite, sargs) \wedge (\forall k. \text{get-gu } h' \ k = \text{Some } (uargs \ k)) \wedge \text{reachable-value } (saction \ \Gamma) \ (uaction \ \Gamma) \ v0 \ sargs \ uargs \ (\text{view } \Gamma \ (\text{FractionalHeap.normalize } (\text{get-fh } h')))) \rangle$  *full-sguard-sum-same option.inject*  
**moreover have**  $uargs = uargs'$   
**proof** (*rule ext*)  
**fix**  $k$   
**show**  $uargs \ k = uargs' \ k$   
**by** (*metis*)  $\langle \text{Some } Hg = \text{Some } h' \oplus \text{Some } hf \rangle$   $\langle \text{get-gs } h' = \text{Some } (pwrite, sargs) \wedge (\forall k. \text{get-gu } h' \ k = \text{Some } (uargs \ k)) \wedge \text{reachable-value } (saction \ \Gamma) \ (uaction \ \Gamma) \ v0 \ sargs \ uargs \ (\text{view } \Gamma \ (\text{FractionalHeap.normalize } (\text{get-fh } h')))) \rangle$  *asm1 full-uguard-sum-same option.inject*  
**qed**  
**ultimately show** *reachable-value* (*saction*  $\Gamma$ ) (*uaction*  $\Gamma$ )  $v0$   $sargs'$   $uargs'$  (*view*  $\Gamma$  (*FractionalHeap.normalize* (*get-fh*  $Hg$ )))  
**by** (*metis Suc.premis(2)*)  $\langle \text{get-gs } h' = \text{Some } (pwrite, sargs) \wedge (\forall k. \text{get-gu } h' \ k = \text{Some } (uargs \ k)) \wedge \text{reachable-value } (saction \ \Gamma) \ (uaction \ \Gamma) \ v0 \ sargs \ uargs \ (\text{view } \Gamma \ (\text{FractionalHeap.normalize } (\text{get-fh } h')))) \rangle$   $\langle \text{sat-inv } s \ hj \ \Gamma \rangle$   $\langle \text{view } \Gamma \ (\text{FractionalHeap.normalize } (\text{get-fh } hj)) = \text{view } \Gamma \ (\text{FractionalHeap.normalize } (\text{get-fh } Hg)) \rangle$  *assms(5) larger-def plus-comm view-function-of-invE*

**qed**  
**qed**  
**qed**  
**then obtain**  $h'' H' hj'$  **where**  $asm1: full\text{-}ownership (get\text{-}fh H') \wedge semi\text{-}consistent$   
 $\Gamma v0 H' \wedge$   
 $sat\text{-}inv s' hj' \Gamma \wedge h'a = FractionalHeap.normalize (get\text{-}fh H') \wedge Some H' =$   
 $Some h'' \oplus Some hj' \oplus Some hf \wedge safe n (Some \Gamma) C' (s', h'') S$   
**by**  $blast$   
**obtain**  $hj''$  **where**  $Some hj'' = Some h'' \oplus Some hj'$   
**by**  $(metis asm1 not\text{-}Some\text{-}eq plus.simps(1))$   
**moreover obtain**  $sargs' uargs'$  **where**  $new\text{-}guards\text{-}def:$   
 $get\text{-}gs H' = Some (pwrite, sargs') \wedge (\forall k. get\text{-}gu H' k = Some (uargs' k)) \wedge$   
 $reachable\text{-}value (saction \Gamma) (uaction \Gamma) v0 sargs' uargs' (view \Gamma (FractionalHeap.normalize$   
 $(get\text{-}fh H')))$   
**by**  $(meson asm1 semi\text{-}consistentE)$

**have**  $safe n (None :: ('i, 'a, nat) cont) C' (s', remove\text{-}guards hj'') ?S'$   
**proof**  $(rule Suc(1)[of C' s' h'' hj'' hj'])$

**show**  $safe n (Some \Gamma) C' (s', h'') S$   
**using**  $asm1$  **by**  $blast$   
**show**  $Some hj'' = Some h'' \oplus Some hj'$   
**using**  $\langle Some hj'' = Some h'' \oplus Some hj' \rangle$  **by**  $blast$   
**show**  $sat\text{-}inv s' hj' \Gamma$   
**using**  $asm1$  **by**  $fastforce$

**have**  $no\text{-}guard hf$   
**by**  $(metis asm0 no\text{-}guard\text{-}then\text{-}smaller\text{-}same plus\text{-}comm)$   
**moreover have**  $no\text{-}guard hj'$   
**using**  $\langle sat\text{-}inv s' hj' \Gamma \rangle$   $sat\text{-}inv\text{-}def$  **by**  $blast$

**have**  $view \Gamma (normalize (get\text{-}fh hj')) = view \Gamma (normalize (get\text{-}fh H'))$   
**using**  $assms(5)$   
**proof**  $(rule view\text{-}function\text{-}of\text{-}invE)$   
**show**  $H' \succeq hj'$   
**using**  $larger\text{-}trans$   
**using**  $asm1 larger3$  **by**  $blast$   
**show**  $sat\text{-}inv s' hj' \Gamma$   
**by**  $(simp add: asm1)$   
**qed**

**obtain**  $uargs' sargs'$  **where**  $args': get\text{-}gs H' = Some (pwrite, sargs') \wedge (\forall k.$   
 $get\text{-}gu H' k = Some (uargs' k)) \wedge reachable\text{-}value (saction \Gamma) (uaction \Gamma) v0 sargs'$   
 $uargs'$   
 $(view \Gamma (FractionalHeap.normalize$   
 $(get\text{-}fh H')))$   
**using**  $semi\text{-}consistentE[of \Gamma v0 H'] asm1$   
**by**  $blast$

**then have**  $get\text{-}gs\ hj'' = Some\ (pwrite, sargs') \wedge (\forall k. get\text{-}gu\ hj''\ k = Some\ (uargs'\ k))$   
**by**  $(metis\ \langle Some\ hj'' = Some\ h'' \oplus Some\ hj' \rangle\ asm1\ calculation\ no\text{-}guard\text{-}remove(1)\ no\text{-}guard\text{-}remove(2))$

**show**  $semi\text{-}consistent\ \Gamma\ v0\ hj''$   
**proof**  $(rule\ semi\text{-}consistentI)$

**show**  $all\text{-}guards\ hj''$   
**by**  $(metis\ \langle get\text{-}gs\ hj'' = Some\ (pwrite, sargs') \wedge (\forall k. get\text{-}gu\ hj''\ k = Some\ (uargs'\ k)) \rangle\ all\text{-}guards\text{-}def\ option.\text{disc}I)$   
**have**  $view\ \Gamma\ (FractionalHeap.\text{normalize}\ (get\text{-}fh\ H')) = view\ \Gamma\ (FractionalHeap.\text{normalize}\ (get\text{-}fh\ hj''))$   
**by**  $(metis\ \langle Some\ hj'' = Some\ h'' \oplus Some\ hj' \rangle\ \langle view\ \Gamma\ (FractionalHeap.\text{normalize}\ (get\text{-}fh\ hj')) = view\ \Gamma\ (FractionalHeap.\text{normalize}\ (get\text{-}fh\ H')) \rangle\ asm1\ assms(5)\ larger\text{-}def\ plus\text{-}comm\ view\text{-}function\text{-}of\text{-}invE)$   
**then show**  $reachable\ \Gamma\ v0\ hj''$   
**by**  $(metis\ \langle get\text{-}gs\ hj'' = Some\ (pwrite, sargs') \wedge (\forall k. get\text{-}gu\ hj''\ k = Some\ (uargs'\ k)) \rangle\ args'\ asm1\ ext\ get\text{-}fh.\text{sims}\ new\text{-}guards\text{-}def\ option.\text{sel}\ reachable\text{-}def\ snd\text{-}conv)$

**qed**  
**show**  $view\text{-}function\text{-}of\text{-}inv\ \Gamma$   
**by**  $(simp\ add:\ assms(5))$   
**show**  $bounded\ hj''$   
**proof**  $(rule\ bounded\text{-}smaller)$   
**show**  $bounded\ H'$   
**by**  $(metis\ asm1\ full\text{-}ownership\text{-}then\text{-}bounded\ get\text{-}fh.\text{sims})$   
**show**  $H' \succeq hj''$   
**by**  $(metis\ \langle Some\ hj'' = Some\ h'' \oplus Some\ hj' \rangle\ asm1\ larger\text{-}def)$

**qed**  
**qed**

**let**  $?h'' = remove\text{-}guards\ hj''$   
**have**  $hj'' \#\# hf$   
**by**  $(metis\ asm1\ calculation\ option.\text{sims}(3)\ plus.\text{sims}(3))$   
**then obtain**  $H''$  **where**  $Some\ H'' = Some\ ?h'' \oplus Some\ hf$   
**by**  $(simp\ add:\ remove\text{-}guards\text{-}smaller\ smaller\text{-}more\text{-}compatible)$

**then have**  $get\text{-}fh\ H'' = get\text{-}fh\ H'$   
**by**  $(metis\ asm1\ calculation\ equiv\text{-}sum\text{-}get\text{-}fh\ get\text{-}fh\text{-}remove\text{-}guards)$   
**moreover have**  $no\text{-}guard\ H''$   
**by**  $(metis\ \langle Some\ H'' = Some\ (remove\text{-}guards\ hj'') \oplus Some\ hf \rangle\ asm0\ no\text{-}guard\text{-}remove\text{-}guards\ no\text{-}guard\text{-}then\text{-}smaller\text{-}same\ plus\text{-}comm\ sum\text{-}of\text{-}no\text{-}guards)$

**ultimately show**  $\exists h''\ H'$ .  
 $full\text{-}ownership\ (get\text{-}fh\ H') \wedge$   
 $no\text{-}guard\ H' \wedge h'a = FractionalHeap.\text{normalize}\ (get\text{-}fh\ H') \wedge Some\ H' =$   
 $Some\ h'' \oplus Some\ hf \wedge safe\ n\ (None :: ('i, 'a, nat)\ cont)\ C'\ (s', h'')\ ?S'$   
**by**  $(metis\ \langle Some\ H'' = Some\ (remove\text{-}guards\ hj'') \oplus Some\ hf \rangle\ \langle safe\ n\ None$

$C' (s', \text{remove-guards } hj'') \text{ ?}S' \text{ asm1}$

**qed**

**qed** (*simp*)

**definition** *no-need-guards where*

*no-need-guards*  $A \longleftrightarrow (\forall s1 \ h1 \ s2 \ h2. (s1, h1), (s2, h2) \models A \longrightarrow (s1, \text{remove-guards } h1), (s2, \text{remove-guards } h2) \models A)$

**lemma** *has-guard-then-safe-none:*

**assumes**  $\neg \text{no-guard } h$

**and**  $C = \text{Cskip} \implies (s, h) \in S$

**and**  $\text{accesses } C \ s \subseteq \text{dom } (\text{fst } h) \wedge \text{writes } C \ s \subseteq \text{fpdom } (\text{fst } h)$

**shows**  $\text{safe } n \ (\text{None} :: ('i, 'a, \text{nat}) \text{ cont}) \ C \ (s, h) \ S$

**proof** (*induct n*)

**case** (*Suc n*)

**show** *?case*

**proof** (*rule safeNoneI*)

**show**  $C = \text{Cskip} \implies (s, h) \in S$

**by** (*simp add: assms(2)*)

**show** *no-abort None C s h*

**using** *assms(1) no-abortNoneI no-guard-then-smaller-same* **by** *blast*

**show**  $\text{accesses } C \ s \subseteq \text{dom } (\text{fst } h) \wedge \text{writes } C \ s \subseteq \text{fpdom } (\text{fst } h)$

**using** *assms(3)* **by** *blast*

**show**  $\bigwedge H \ hf \ C' \ s' \ h'$ .

*Some H = Some h  $\oplus$  Some hf  $\wedge$  full-ownership (get-fh H)  $\wedge$  no-guard H  $\wedge$  red C (s, FractionalHeap.normalize (get-fh H)) C' (s', h')  $\implies$*

*$\exists h'' \ H'$ .*

*full-ownership (get-fh H')  $\wedge$  no-guard H'  $\wedge$  h' = FractionalHeap.normalize (get-fh H')  $\wedge$  Some H' = Some h''  $\oplus$  Some hf  $\wedge$  safe n None C' (s', h'') S*

**using** *assms(1) no-guard-then-smaller-same* **by** *blast*

**qed**

**qed** (*simp*)

**theorem** *share-rule:*

**fixes**  $\Gamma :: ('i, 'a, \text{nat}) \text{ single-context}$

**assumes**  $\Gamma = \langle \text{view} = f, \text{abstract-view} = \alpha, \text{saction} = \text{sact}, \text{uaction} = \text{uact}, \text{invariant} = J \rangle$

**and** *all-axioms  $\alpha \ \text{sact} \ \text{spre} \ \text{uact} \ \text{upre}$*

**and** *hoare-triple-valid (Some  $\Gamma$ ) (Star P EmptyFullGuards) C (Star Q (And (PreSharedGuards (Abs-precondition spre)) (PreUniqueGuards (Abs-indexed-precondition upre))))*

**and** *view-function-of-inv  $\Gamma$*

**and** *unary J  $\wedge$  precise J*

**and** *wf-indexed-precondition upre  $\wedge$  wf-precondition spre*

**and**  $x \notin \text{fvA } J$

**and** *no-guard-assertion (Star P (LowView ( $\alpha \circ f$ ) J x))*

**shows** *hoare-triple-valid* (*None* :: ('i, 'a, nat) cont) (Star P (LowView ( $\alpha \circ f$ ) J x)) C (Star Q (LowView ( $\alpha \circ f$ ) J x))

**proof** –

**let** ?P = Star P EmptyFullGuards

**let** ?Q = Star Q (And (PreSharedGuards (Abs-precondition spre)) (PreUniqueGuards (Abs-indexed-precondition upre)))

**obtain**  $\Sigma$  **where** *asm0*:  $\bigwedge \sigma n. \sigma, \sigma \models \text{Star P EmptyFullGuards} \implies \text{bounded} (\text{snd } \sigma) \implies \text{safe } n (\text{Some } \Gamma) C \sigma (\Sigma \sigma)$

$\bigwedge \sigma \sigma'. \sigma, \sigma' \models \text{Star P EmptyFullGuards} \implies \text{pair-sat } (\Sigma \sigma) (\Sigma \sigma') (\text{Star Q (And (PreSharedGuards (Abs-precondition spre)) (PreUniqueGuards (Abs-indexed-precondition upre))))$

**using** *hoare-triple-validE*[of Some  $\Gamma$  ?P C ?Q] *assms*(3) **by** *blast*

Steps: 1) Remove the hj and add empty-guards 2) Apply sigma 3) Remove the guards and add hj, using S-after-share

**define** *input- $\Sigma$*  **where** *input- $\Sigma$*  = ( $\lambda \sigma. \{ (\text{fst } \sigma, \text{add-empty-guards } hp) \mid hp \text{ hj. Some } (\text{snd } \sigma) = \text{Some } hp \oplus \text{Some } hj \wedge (\text{fst } \sigma, hp), (\text{fst } \sigma, hp) \models P \wedge \text{sat-inv } (\text{fst } \sigma) \text{ hj } \Gamma \}$ )

**define**  $\Sigma'$  **where**  $\Sigma' = (\lambda \sigma. \bigcup p \in \text{input-}\Sigma \sigma. \text{S-after-share } (\Sigma p) \Gamma (f (\text{normalize } (\text{get-fh } (\text{snd } \sigma))))$ )

**show** *?thesis*

**proof** (*rule hoare-triple-validI-bounded*)

**show**  $\bigwedge s h n. (s, h), (s, h) \models \text{Star P (LowView } (\alpha \circ f) J x) \implies \text{bounded } h \implies \text{safe } n (\text{None} :: ('i, 'a, nat) \text{cont}) C (s, h) (\Sigma' (s, h))$

**proof** –

**fix** s h n **assume** *asm1*:  $(s, h), (s, h) \models \text{Star P (LowView } (\alpha \circ f) J x) \text{ bounded } h$

**then obtain** hp hj **where** *no-guard h* Some h = Some hp  $\oplus$  Some hj (s, hp), (s, hp)  $\models P$

$(s, hj), (s, hj) \models \text{LowView } (\alpha \circ f) J x$

**by** (*meson always-sat-refl* *assms*(8) *hyper-sat.simps*(4) *no-guard-assertion-def*)

**then have** *sat-inv s hj*  $\Gamma$

**by** (*metis LowViewE* *assms*(1) *assms*(7) *no-guard-then-smaller-same plus-comm sat-inv-def select-convs*(5))

**then have**  $(s, \text{add-empty-guards } hp) \in \text{input-}\Sigma (s, h)$

**using**  $\langle (s, hp), (s, hp) \models P \rangle \langle \text{Some } h = \text{Some } hp \oplus \text{Some } hj \rangle \text{input-}\Sigma\text{-def}$

**by** *force*

**let** ?v0 = f (*normalize* (*get-fh* h))

**let** ?p = (s, *add-empty-guards* hp)

**have** *safe n* (*None* :: ('i, 'a, nat) cont) C (s, *remove-guards* (*add-empty-guards* h)) (*S-after-share* ( $\Sigma$  ?p)  $\Gamma$  ?v0)

**proof** (*rule share-lemma*)

**show** *safe n* (Some  $\Gamma$ ) C ?p ( $\Sigma$  ?p)

**proof** (*rule asm0*(1))

```

      show (s, add-empty-guards hp), (s, add-empty-guards hp) ⊨ Star P
EmptyFullGuards
      using ⟨(s, hp), (s, hp) ⊨ P⟩ ⟨Some h = Some hp ⊕ Some hj⟩ ⟨no-guard
h⟩ no-guard-and-sat-p-empty-guards no-guard-then-smaller-same by blast
      show bounded (snd (s, add-empty-guards hp))
      unfolding bounded-def add-empty-guards-def apply simp
      by (metis ⟨Some h = Some hp ⊕ Some hj⟩ asm1(2) boundedE
bounded-smaller-sum fst-eqD)
    qed
    show Some (add-empty-guards h) = Some (add-empty-guards hp) ⊕ Some
hj
    using ⟨Some h = Some hp ⊕ Some hj⟩ ⟨no-guard h⟩ no-guard-add-empty-guards-sum
by blast
    show sat-inv s hj Γ
      using ⟨sat-inv s hj Γ⟩ by auto
    show view-function-of-inv Γ
      by (simp add: assms(4))
    show semi-consistent Γ (f (FractionalHeap.normalize (get-fh h))) (add-empty-guards
h)
    by (metis ⟨no-guard h⟩ assms(1) select-convs(1) semi-consistent-empty-no-guard-initial-value)
    show bounded (add-empty-guards h)
      unfolding bounded-def add-empty-guards-def apply simp
      by (metis asm1(2) boundedE fst-eqD)
    qed
    moreover have (S-after-share (Σ ?p) Γ ?v0) ⊆ Σ' (s, h)
      using Σ'-def ⟨(s, add-empty-guards hp) ∈ input-Σ (s, h)⟩ by auto
    ultimately show safe n (None :: ('i, 'a, nat) cont) C (s, h) (Σ' (s, h))
      by (metis ⟨no-guard h⟩ no-guards-remove-same safe-larger-set)
    qed

  fix s1 h1 s2 h2
  assume (s1, h1), (s2, h2) ⊨ Star P (LowView (α ∘ f) J x)
  then obtain hp1 hj1 hp2 hj2 where asm1: Some h1 = Some hp1 ⊕ Some hj1
    Some h2 = Some hp2 ⊕ Some hj2 (s1, hp1), (s2, hp2) ⊨ P no-guard h1
no-guard h2
    (s1, hj1), (s2, hj2) ⊨ LowView (α ∘ f) J x
    using assms(8) hyper-sat.simps(4) no-guard-assertion-def by blast
  then obtain (s1, hj1), (s2, hj2) ⊨ J α (f (normalize (get-fh hj1))) = α (f
(normalize (get-fh hj2)))
    by (metis LowViewE assms(7) comp-apply)

  show pair-sat (Σ' (s1, h1)) (Σ' (s2, h2)) (Star Q (LowView (α ∘ f) J x))
proof (rule pair-satI)
    fix s1' h1' s2' h2'
    assume asm2: (s1', h1') ∈ Σ' (s1, h1) ∧ (s2', h2') ∈ Σ' (s2, h2)
    then obtain p1 p2 where p-assms: p1 ∈ input-Σ (s1, h1) p2 ∈ input-Σ (s2,
h2)
      (s1', h1') ∈ S-after-share (Σ p1) Γ (f (normalize (get-fh h1)))
      (s2', h2') ∈ S-after-share (Σ p2) Γ (f (normalize (get-fh h2)))

```



**using**  $\Sigma'$ -def **by** force  
**moreover have** pair-sat ( $\Sigma$  p1) ( $\Sigma$  p2) (Star Q (And (PreSharedGuards (Abs-precondition spre)) (PreUniqueGuards (Abs-indexed-precondition upre))))  
**proof** (rule asm0(2))  
**obtain**  $hj1' hj2' hp1' hp2'$  **where**  $snd\ p1 = add\_empty\_guards\ hp1'\ snd\ p2 = add\_empty\_guards\ hp2'$   
 $Some\ h1 = Some\ hp1' \oplus Some\ hj1'\ Some\ h2 = Some\ hp2' \oplus Some\ hj2'$   
 $sat\_inv\ s1\ hj1'\ \Gamma\ sat\_inv\ s2\ hj2'\ \Gamma$   
 $fst\ p1 = s1\ fst\ p2 = s2$   
**using** p-assms(1) p-assms(2) input- $\Sigma$ -def **by** auto  
**moreover have**  $hj1 = hj1' \wedge hj2 = hj2'$   
**proof** (rule preciseE)  
**show** precise J  
**by** (simp add: assms(5))  
**show**  $h1 \succeq hj1' \wedge h1 \succeq hj1 \wedge h2 \succeq hj2' \wedge h2 \succeq hj2$   
**by** (metis asm1(1) asm1(2) calculation(3) calculation(4) larger-def plus-comm)  
**show**  $(s1, hj1'), (s2, hj2') \models J \wedge (s1, hj1), (s2, hj2) \models J$   
**by** (metis  $\langle (s1, hj1), (s2, hj2) \models J \rangle$  assms(1) assms(5) calculation(5) calculation(6) sat-inv-def select-convs(5) unaryE)  
**qed**  
**then have**  $hp1 = hp1' \wedge hp2 = hp2'$   
**using** addition-cancellative asm1(1) asm1(2) calculation(3) calculation(4)  
**by** blast  
**then show**  $p1, p2 \models Star\ P\ EmptyFullGuards$   
**using** no-guard-and-sat-p-empty-guards[of fst p1 snd p1 fst p2 snd p2 P]  
**by** (metis asm1(3) asm1(4) asm1(5) calculation(1) calculation(2) calculation(3) calculation(4) calculation(7) calculation(8) no-guard-and-sat-p-empty-guards no-guard-then-smaller-same prod.exhaust-sel)  
**qed**

**let**  $?v1 = f\ (normalize\ (get\_fh\ h1))$   
**let**  $?v2 = f\ (normalize\ (get\_fh\ h2))$

**obtain**  $hj1' hg1 H1 hj2' hg2 H2$  **where**  $asm3: h1' = remove\_guards\ H1$   
 $semi\_consistent\ \Gamma\ ?v1\ H1$   
 $Some\ H1 = Some\ hg1 \oplus Some\ hj1'\ (s1', hg1) \in \Sigma\ p1\ sat\_inv\ s1'\ hj1'\ \Gamma$   
 $h2' = remove\_guards\ H2\ semi\_consistent\ \Gamma\ ?v2\ H2$   
 $Some\ H2 = Some\ hg2 \oplus Some\ hj2'\ (s2', hg2) \in \Sigma\ p2\ sat\_inv\ s2'\ hj2'\ \Gamma$   
**using** p-assms(3) S-after-share-def[of  $\Sigma$  p1  $\Gamma$  ?v1] p-assms(4) S-after-share-def[of  $\Sigma$  p2  $\Gamma$  ?v2] **by** blast

**then have**  $(s1', hg1), (s2', hg2) \models Star\ Q\ (And\ (PreSharedGuards\ (Abs-precondition\ spre))\ (PreUniqueGuards\ (Abs-indexed-precondition\ upre)))$   
**using**  $\langle pair\_sat\ (\Sigma\ p1)\ (\Sigma\ p2)\ (Star\ Q\ (And\ (PreSharedGuards\ (Abs-precondition\ spre))\ (PreUniqueGuards\ (Abs-indexed-precondition\ upre)))) \rangle$  pair-satE **by** blast  
**then obtain**  $q1\ g1\ q2\ g2$  **where**  $Some\ hg1 = Some\ q1 \oplus Some\ g1\ Some\ hg2 = Some\ q2 \oplus Some\ g2$

$(s1', q1), (s2', q2) \models Q (s1', g1), (s2', g2) \models \text{PreSharedGuards (Abs-precondition spre)}$   
 $(s1', g1), (s2', g2) \models \text{PreUniqueGuards (Abs-indexed-precondition upre)}$   
**by** (*meson hyper-sat.simps(3) hyper-sat.simps(4)*)  
**moreover have** *Rep-precondition (Abs-precondition spre) = spre  $\wedge$  Rep-indexed-precondition (Abs-indexed-precondition upre) = upre*  
**by** (*simp add: assms(6) wf-indexed-precondition-rep-prec wf-precondition-rep-prec*)  
**ultimately obtain** *sargs1 sargs2 where*  
 $\text{get-gs } g1 = \text{Some (pwrite, sargs1)}$   $\text{get-gs } g2 = \text{Some (pwrite, sargs2)}$   
*PRE-shared-simpler spre sargs1 sargs2*  
 $\text{get-fh } g1 = \text{Map.empty}$   $\text{get-fh } g2 = \text{Map.empty}$   
**by** *auto*  
**moreover obtain** *uargs1 uargs2 where*  
*unique-facts:  $\bigwedge k. \text{get-gu } g1 k = \text{Some (uargs1 k)} \wedge \text{get-gu } g2 k = \text{Some (uargs2 k)} \wedge \text{PRE-unique (upre k) (uargs1 k) (uargs2 k)}$*   
**using** *sat-PreUniqueE[OF  $\langle (s1', g1), (s2', g2) \models \text{PreUniqueGuards (Abs-indexed-precondition upre)} \rangle$ ]*  
**by** (*metis  $\langle \text{Rep-precondition (Abs-precondition spre) = spre} \wedge \text{Rep-indexed-precondition (Abs-indexed-precondition upre) = upre} \rangle$* )  
**moreover obtain**  $\text{get-gs } H1 = \text{Some (pwrite, sargs1)} \bigwedge k. \text{get-gu } H1 k = \text{Some (uargs1 k)}$   
**by** (*metis (no-types, opaque-lifting)  $\langle \text{Some hg1} = \text{Some q1} \oplus \text{Some g1} \rangle$  asm3(3) calculation(1) calculation(6) full-sguard-sum-same full-uguard-sum-same plus-comm)*)  
**then have** *reach1: reachable-value sact uact ?v1 sargs1 uargs1 (f (normalize (get-fh H1)))*  
**by** (*metis asm3(2) assms(1) reachableE select-convs(1) select-convs(3) select-convs(4) semi-consistent-def*)  
**moreover obtain**  $\text{get-gs } H2 = \text{Some (pwrite, sargs2)} \bigwedge k. \text{get-gu } H2 k = \text{Some (uargs2 k)}$   
**by** (*metis (no-types, lifting)  $\langle \text{Some hg2} = \text{Some q2} \oplus \text{Some g2} \rangle$  asm3(8) calculation(2) calculation(6) full-sguard-sum-same full-uguard-sum-same plus-comm)*)  
**then have** *reach2: reachable-value sact uact ?v2 sargs2 uargs2 (f (normalize (get-fh H2)))*  
**by** (*metis asm3(7) assms(1) reachableE semi-consistent-def simps(1) simps(3) simps(4)*)  
**moreover have**  $\alpha (f (\text{normalize (get-fh } h1))) = \alpha (f (\text{normalize (get-fh } hj1)))$   
**using** *view-function-of-invE[of  $\Gamma$  s1 hj1 h1]*  
**by** (*metis  $\langle (s1, hj1), (s2, hj2) \models J \rangle$  always-sat-refl asm1(1) asm1(4) assms(1) assms(4) larger-def no-guard-then-smaller-same plus-comm sat-inv-def select-convs(1) select-convs(5)*)  
**moreover have**  $\alpha (f (\text{normalize (get-fh } h2))) = \alpha (f (\text{normalize (get-fh } hj2)))$   
**using** *view-function-of-invE[of  $\Gamma$  s2 hj2 h2]*  
**by** (*metis  $\langle (s1, hj1), (s2, hj2) \models J \rangle$  always-sat-refl asm1(2) asm1(5) assms(1) assms(4) larger-def no-guard-then-smaller-same plus-comm sat-comm sat-inv-def select-convs(1) select-convs(5)*)  
**ultimately have** *low-abstract-view:  $\alpha (f (\text{FractionalHeap.normalize (get-fh } H1))) = \alpha (f (\text{FractionalHeap.normalize (get-fh } H2)))$*   
**using** *reach1 reach2 main-result[of sact uact ?v1 sargs1 uargs1 f (normalize (get-fh H1)) ?v2 sargs2 uargs2 f (normalize (get-fh H2)) spre upre  $\alpha$ ]*

**using**  $\langle \alpha (f (FractionalHeap.normalize (get-fh hj1))) = \alpha (f (FractionalHeap.normalize (get-fh hj2))) \rangle$  *assms(2)* **by** *presburger*  
**moreover have**  $\alpha (f (normalize (get-fh H1))) = \alpha (f (normalize (get-fh hj1)))$   
**using** *view-function-of-invE[of  $\Gamma s1' hj1' H1$ ]*  
**by** (*metis asm3(3) asm3(5) assms(1) assms(4) larger-def plus-comm select-convs(1)*)  
**moreover have**  $\alpha (f (normalize (get-fh H2))) = \alpha (f (normalize (get-fh hj2)))$   
**using** *view-function-of-invE[of  $\Gamma s2' hj2' H2$ ]*  
**by** (*metis asm3(10) asm3(8) assms(1) assms(4) larger-def plus-comm select-convs(1)*)  
**moreover have**  $(s1', hj1'), (s2', hj2') \models J$   
**by** (*metis asm3(10) asm3(5) assms(1) assms(5) sat-inv-def select-convs(5) unaryE*)  
**ultimately have**  $(s1', hj1'), (s2', hj2') \models LowView (\alpha \circ f) J x$   
**by** (*simp add: LowViewI assms(7)*)  
**moreover have**  $Some\ h1' = Some\ q1 \oplus Some\ hj1'$   
**proof** –  
**have**  $Some\ h1' = Some (remove-guards\ hg1) \oplus Some (remove-guards\ hj1')$   
**using** *asm3(1) asm3(3) remove-guards-sum* **by** *blast*  
**moreover have**  $remove-guards\ hg1 = remove-guards\ q1$   
**by** (*metis  $\langle Some\ hg1 = Some\ q1 \oplus Some\ g1 \rangle \langle get-fh\ g1 = Map.empty \rangle$*   
*get-fh-remove-guards no-guard-and-no-heap no-guard-remove-guards no-guards-remove remove-guards-sum*)  
**moreover have**  $remove-guards\ hj1' = hj1'$   
**by** (*metis asm3(5) no-guards-remove sat-inv-def*)  
**ultimately show** *?thesis*  
**by** (*metis  $\langle Some\ hg1 = Some\ q1 \oplus Some\ g1 \rangle \langle get-gs\ g1 = Some (pwrite, sargs1) \rangle$*   
*unique-facts all-guards-def full-guard-comp-then-no no-guards-remove option.distinct(1) plus.simps(3) plus-comm*)  
**qed**  
**moreover have**  $Some\ h2' = Some\ q2 \oplus Some\ hj2'$   
**proof** –  
**have**  $Some\ h2' = Some (remove-guards\ hg2) \oplus Some (remove-guards\ hj2')$   
**using** *asm3(6) asm3(8) remove-guards-sum* **by** *blast*  
**moreover have**  $remove-guards\ hg2 = remove-guards\ q2$   
**by** (*metis  $\langle Some\ hg2 = Some\ q2 \oplus Some\ g2 \rangle \langle get-fh\ g2 = Map.empty \rangle$*   
*get-fh-remove-guards no-guard-and-no-heap no-guard-remove-guards no-guards-remove remove-guards-sum*)  
**moreover have**  $remove-guards\ hj2' = hj2'$   
**by** (*metis asm3(10) no-guards-remove sat-inv-def*)  
**ultimately show** *?thesis*  
**by** (*metis  $\langle Some\ hg2 = Some\ q2 \oplus Some\ g2 \rangle \langle get-gs\ g2 = Some (pwrite, sargs2) \rangle$*   
*unique-facts all-guards-def full-guard-comp-then-no no-guards-remove option.distinct(1) plus.simps(3) plus-comm*)  
**qed**  
**ultimately show**  $(s1', h1'), (s2', h2') \models Star\ Q (LowView (\alpha \circ f) J x)$   
**by** (*meson LowViewI  $\langle (s1', q1), (s2', q2) \rangle \models Q \rangle$  *assms(7) hyper-sat.simps(9)**)

```

hyper-sat.simps(4))
  qed
  qed
  qed

```

#### 4.4.7 Atomic

**lemma** *red-rtrans-induct*:

```

  assumes red-rtrans C σ C' σ'
    and  $\bigwedge C \sigma. P C \sigma C \sigma$ 
    and  $\bigwedge C \sigma C' \sigma' C'' \sigma''. \text{red } C \sigma C' \sigma' \implies \text{red-rtrans } C' \sigma' C'' \sigma'' \implies P C' \sigma' C'' \sigma'' \implies P C \sigma C'' \sigma''$ 
  shows P C σ C' σ'
  using red-red-rtrans.inducts[of - - - λ- - - . True P] assms by auto

```

**lemma** *safe-atomic*:

```

  assumes red-rtrans C1 σ1 C2 σ2
    and σ1 = (s1, H1)
    and σ2 = (s2, H2)
    and  $\bigwedge n. \text{safe } n \text{ (None :: ('i, 'a, nat) cont) } C1 \text{ (s1, h) } S$ 
    and H = denormalize H1
    and Some H = Some h  $\oplus$  Some hf
    and full-ownership (get-fh H)  $\wedge$  no-guard H
  shows  $\neg \text{aborts } C2 \sigma2 \wedge (C2 = \text{Cskip} \longrightarrow$ 
     $(\exists h1 H'. \text{Some } H' = \text{Some } h1 \oplus \text{Some } hf \wedge H2 = \text{normalize } (\text{get-fh } (H')) \wedge$ 
     $\text{no-guard } H' \wedge \text{full-ownership } (\text{get-fh } H') \wedge (s2, h1) \in S)$ 
  using assms
proof (induction arbitrary: s1 H1 H h rule: red-rtrans-induct[of C1 σ1 C2 σ2])
  case 1
  then show ?case by (simp add: assms(1))
next
  case (2 C σ)
  then have  $\neg \text{aborts } C \text{ (s1, FractionalHeap.normalize } (\text{get-fh } H))$ 
    using no-abortE(1) safe.simps(2) by blast
  then have  $\neg \text{aborts } C \sigma$ 
    by (metis 2.prem(2) 2.prem(5) denormalize-properties(3))
  moreover have safe (Suc 1) (None :: ('i, 'a, nat) cont) C (s1, h) S
    using 2.prem(4) by blast
  then have  $C = \text{Cskip} \implies (s2, h) \in S$ 
    by (metis 2.prem(2) 2.prem(3) Pair-inject safeNoneE(1))
  then have  $C = \text{Cskip} \implies \text{Some } H = \text{Some } h \oplus \text{Some } hf \wedge H2 = \text{Fractional-Heap.normalize } (\text{get-fh } H) \wedge \text{no-guard } H \wedge \text{full-ownership } (\text{get-fh } H) \wedge (s2, h) \in S$ 
    by (metis 2.prem(3) 2.prem(2) 2.prem(6) 2.prem(5) 2.prem(7) denormalize-properties(3) old.prod.inject)
  ultimately show ?case
    by blast
next
  case (3 C σ C' σ' C'' σ'')

```

**obtain**  $s0\ H0$  **where**  $\sigma' = (s0, H0)$  **using** *prod.exhaust-sel* **by** *blast*

**have** *safe* (*Suc* 0) (*None* :: ('i, 'a, nat) cont)  $C\ (s1, h)\ S$   
**using** *3.prem*s(4) **by** *force*  
**then have**  $\exists h''\ H'.\ full\text{-}ownership\ (get\text{-}fh\ H') \wedge no\text{-}guard\ H' \wedge H0 = FractionalHeap.normalize\ (get\text{-}fh\ H') \wedge Some\ H' = Some\ h'' \oplus Some\ hf \wedge safe\ 0\ (None :: ('i, 'a, nat) cont)\ C'\ (s0, h'')\ S$   
**proof** (*rule safeNoneE*(3)[of 0 C s1 h S H hf C' s0 H0])  
**show**  $Some\ H = Some\ h \oplus Some\ hf$  **using** *3.prem*s(6) **by** *blast*  
**show** *full-ownership* (*get-fh* H) **using** *3.prem*s(7) **by** *blast*  
**show** *no-guard* H **using** *3.prem*s(7) **by** *auto*  
**show** *red* C (*s1*, *FractionalHeap.normalize* (*get-fh* H))  $C'\ (s0, H0)$   
**by** (*metis 3.hyps*(1) *3.prem*s(2) *3.prem*s(5)  $\langle \sigma' = (s0, H0) \rangle$  *denormalize-properties*(3))  
**qed**  
**then obtain**  $h0\ H0'$  **where**  
 $r1: full\text{-}ownership\ (get\text{-}fh\ H0') \wedge no\text{-}guard\ H0' \wedge H0 = FractionalHeap.normalize\ (get\text{-}fh\ H0') \wedge Some\ H0' = Some\ h0 \oplus Some\ hf \wedge safe\ 0\ (None :: ('i, 'a, nat) cont)\ C'\ (s0, h0)\ S$   
**by** *blast*  
**then have**  $Some\ (denormalize\ H0) = Some\ h0 \oplus Some\ hf$   
**by** (*metis denormalize-properties*(4))  
**have** *ih*:  
 $\neg\ aborts\ C''\ \sigma'' \wedge (C'' = Cskip \longrightarrow (\exists h1\ H'.\ Some\ H' = Some\ h1 \oplus Some\ hf \wedge H2 = FractionalHeap.normalize\ (get\text{-}fh\ H') \wedge no\text{-}guard\ H' \wedge full\text{-}ownership\ (get\text{-}fh\ H') \wedge (s2, h1) \in S))$   
**proof** (*rule 3*(3)[of s0 H0 h0 H0'])  
**show**  $\sigma' = (s0, H0)$  **by** (*simp add*:  $\langle \sigma' = (s0, H0) \rangle$ )  
**show**  $\sigma'' = (s2, H2)$   
**by** (*simp add*: *3.prem*s(3))  
**show**  $H0' = denormalize\ H0$  **by** (*metis denormalize-properties*(4) *r1*)  
**show**  $Some\ H0' = Some\ h0 \oplus Some\ hf$  **using** *r1* **by** *blast*  
**show** *full-ownership* (*get-fh* H0')  $\wedge no\text{-}guard\ H0'$  **using** *r1* **by** *blast*  
**show** *red-rtrans* C'  $\sigma'\ C''\ \sigma''$   
**by** (*simp add*: *3.hyps*(2))

**fix**  $n$   
**have** *safe* (*Suc* n) (*None* :: ('i, 'a, nat) cont)  $C\ (s1, h)\ S$   
**using** *3.prem*s(4) **by** *force*

**then have**  $\exists h''\ H'.\ full\text{-}ownership\ (get\text{-}fh\ H') \wedge no\text{-}guard\ H' \wedge H0 = FractionalHeap.normalize\ (get\text{-}fh\ H') \wedge Some\ H' = Some\ h'' \oplus Some\ hf \wedge safe\ n\ (None :: ('i, 'a, nat) cont)\ C'\ (s0, h'')\ S$   
**proof** (*rule safeNoneE*(3)[of n C s1 h S H hf C' s0 H0])  
**show**  $Some\ H = Some\ h \oplus Some\ hf$  **using** *3.prem*s(6) **by** *blast*  
**show** *full-ownership* (*get-fh* H) **using** *3.prem*s(7) **by** *blast*  
**show** *no-guard* H **using** *3.prem*s(7) **by** *auto*  
**show** *red* C (*s1*, *FractionalHeap.normalize* (*get-fh* H))  $C'\ (s0, H0)$   
**by** (*metis 3.hyps*(1) *3.prem*s(2) *3.prem*s(5)  $\langle \sigma' = (s0, H0) \rangle$  *denormalize-properties*(3))

```

ize-properties(3))
  qed
  then obtain h3 H3' where
    r2: full-ownership (get-fh H3') ∧ no-guard H3' ∧ H0 = FractionalHeap.normalize
    (get-fh H3') ∧ Some H3' = Some h3 ⊕ Some hf ∧ safe n (None :: ('i, 'a, nat)
    cont) C' (s0, h3) S
    by blast
    then have h3 = h0
    by (metis ⟨Some (denormalize H0) = Some h0 ⊕ Some hf⟩ addition-cancellative
    denormalize-properties(4))
    moreover have H3' = H0'
    by (metis ⟨Some H0' = Some h0 ⊕ Some hf⟩ calculation option.inject r2)
    ultimately show safe n (None :: ('i, 'a, nat) cont) C' (s0, h0) S using r2
  by blast
  qed
  then show ?case by blast
qed

theorem atomic-rule-unique:
  fixes Γ :: ('i, 'a, nat) single-context

  fixes map-to-list :: nat ⇒ 'a list
  fixes map-to-arg :: nat ⇒ 'a

  assumes Γ = (| view = f, abstract-view = α, saction = sact, uaction = uact,
  invariant = J |)
  and hoare-triple-valid (None :: ('i, 'a, nat) cont) (Star P (View f J (λs. s x)))
    C (Star Q (View f J (λs. uact index (s x) (map-to-arg (s uarg)))))

  and precise J ∧ unary J
  and view-function-of-inv Γ
  and x ∉ fvC C ∪ fvA P ∪ fvA Q ∪ fvA J

  and uarg ∉ fvC C
  and l ∉ fvC C

  and x ∉ fvS (λs. map-to-list (s l))
  and x ∉ fvS (λs. map-to-arg (s uarg) # map-to-list (s l))

  and no-guard-assertion P
  and no-guard-assertion Q

  shows hoare-triple-valid (Some Γ) (Star P (UniqueGuard index (λs. map-to-list
  (s l)))) (Catomic C)
    (Star Q (UniqueGuard index (λs. map-to-arg (s uarg) #
  map-to-list (s l))))
  proof -
    let ?J = View f J (λs. s x)
    let ?J' = View f J (λs. uact index (s x) (map-to-arg (s uarg)))

```

```

let ?pre-l = (λs. map-to-list (s l))
let ?G = UniqueGuard index ?pre-l
let ?l = λs. map-to-arg (s uarg) # map-to-list (s l)
let ?G' = UniqueGuard index ?l

have unaries: unary ?J ∧ unary ?J'
  by (simp add: asms(3) unary-inv-then-view)
moreover have precises: precise ?J ∧ precise ?J'
  by (simp add: asms(3) precise-inv-then-view)

obtain Σ where asm0: ∧n σ. σ, σ ⊨ Star P ?J ⇒ bounded (snd σ) ⇒ safe
n (None :: ('i, 'a, nat) cont) C σ (Σ σ)
  ∧σ σ'. σ, σ' ⊨ Star P ?J ⇒ pair-sat (Σ σ) (Σ σ') (Star Q ?J')
  using asms(2) hoare-triple-valid-def by blast

define start where start = (λσ. { (s, h) | s h hj. agrees (- {x}) (fst σ) s ∧ Some
h = Some (remove-guards (snd σ)) ⊕ Some hj ∧ (s, hj), (s, hj) ⊨ ?J })
define end-qj where end-qj = (λσ. ⋃σ' ∈ start σ. Σ σ')
define Σ' where Σ' = (λσ. { (s, add-uguard-to-no-guard index hq (?l s)) | s hq h
hj. (s, h) ∈ end-qj σ ∧ Some h = Some hq ⊕ Some hj ∧ (s, hj), (s, hj) ⊨ ?J' })

let ?Σ' = λσ. close-var (Σ' σ) x

show hoare-triple-valid (Some Γ) (Star P ?G) (Catomic C) (Star Q ?G')
proof (rule hoare-triple-validI-bounded)
  show ∧s h s' h'. (s, h), (s', h') ⊨ Star P ?G ⇒ pair-sat (?Σ' (s, h)) (?Σ' (s',
h')) (Star Q ?G')
  proof -
    fix s1 h1 s2 h2
    assume asm1: (s1, h1), (s2, h2) ⊨ Star P ?G
    then obtain p1 p2 g1 g2 where r0: Some h1 = Some p1 ⊕ Some g1
Some h2 = Some p2 ⊕ Some g2
    (s1, p1), (s2, p2) ⊨ P (s1, g1), (s2, g2) ⊨ ?G
    using hyper-sat.simps(4) by auto
    then obtain remove-guards h1 = p1 remove-guards h2 = p2
by (meson asms(10) hyper-sat.simps(13) no-guard-and-no-heap no-guard-assertion-def)

    have pair-sat (Σ' (s1, h1)) (Σ' (s2, h2)) (Star Q ?G')
    proof (rule pair-satI)
      fix s1' hqg1 s2' hqg2 σ2'
      assume asm2: (s1', hqg1) ∈ Σ' (s1, h1) ∧ (s2', hqg2) ∈ Σ' (s2, h2)
      then obtain h1' hj1' h2' hj2' hq1 hq2 where r: (s1', h1') ∈ end-qj (s1,
h1) Some h1' = Some hq1 ⊕ Some hj1'
        (s1', hj1'), (s1', hj1') ⊨ ?J' (s2', h2') ∈ end-qj (s2, h2) Some h2' =
Some hq2 ⊕ Some hj2' (s2', hj2'), (s2', hj2') ⊨ ?J'
        hqg1 = add-uguard-to-no-guard index hq1 (?l s1') hqg2 = add-uguard-to-no-guard
index hq2 (?l s2')
      using Σ'-def by blast
      then obtain σ1' σ2' where σ1' ∈ start (s1, h1) σ2' ∈ start (s2, h2) (s1',

```

$h1' \in \Sigma \sigma 1' (s2', h2') \in \Sigma \sigma 2'$   
**using** *end-gj-def* **by** *blast*  
**then obtain**  $hj1\ hj2$  **where**  $agrees(-\{x\})\ s1\ (fst\ \sigma 1')\ Some\ (snd\ \sigma 1') =$   
 $Some\ p1 \oplus Some\ hj1\ (fst\ \sigma 1',\ hj1),\ (fst\ \sigma 1',\ hj1) \models ?J$   
 $agrees(-\{x\})\ s2\ (fst\ \sigma 2')\ Some\ (snd\ \sigma 2') = Some\ p2 \oplus Some\ hj2\ (fst$   
 $\sigma 2',\ hj2),\ (fst\ \sigma 2',\ hj2) \models ?J$   
**using** *start-def*  $\langle remove-guards\ h1 = p1 \rangle \langle remove-guards\ h2 = p2 \rangle$  **by**  
*force*

**moreover have**  $(fst\ \sigma 1',\ hj1),\ (fst\ \sigma 2',\ hj2) \models ?J$   
**using** *calculation(3)* *calculation(6)* *unaries unaryE* **by** *blast*  
**moreover have**  $(fst\ \sigma 1',\ p1),\ (fst\ \sigma 2',\ p2) \models P$   
**proof** –  
**have**  $fvA\ P \subseteq -\{x\}$   
**using** *assms(5)* **by** *force*  
**then have**  $agrees(fvA\ P)\ (fst\ \sigma 1')\ s1 \wedge agrees(fvA\ P)\ (fst\ \sigma 2')\ s2$   
**using** *calculation(1)* *calculation(4)*  
**by** (*metis agrees-comm agrees-union subset-Un-eq*)  
**then show** *?thesis* **using** *r0(3)*  
**by** (*meson agrees-same sat-comm*)  
**qed**

**ultimately have**  $\sigma 1',\ \sigma 2' \models Star\ P\ ?J$  **using** *hyper-sat.simps(4)* [*of*  $fst\ \sigma 1'$   
 $snd\ \sigma 1'\ fst\ \sigma 2'\ snd\ \sigma 2'$ ] *prod.collapse*  
**by** *metis*  
**then have** *pair-sat*  $(\Sigma\ \sigma 1')\ (\Sigma\ \sigma 2')\ (Star\ Q\ ?J')$   
**using** *asm0(2)* [*of*  $\sigma 1'\ \sigma 2'$ ] **by** *blast*  
**then have**  $(s1',\ h1'),\ (s2',\ h2') \models Star\ Q\ ?J'$   
**using**  $\langle (s1',\ h1') \in \Sigma\ \sigma 1' \rangle \langle (s2',\ h2') \in \Sigma\ \sigma 2' \rangle$  *pair-sat-def* **by** *blast*  
**moreover have**  $(s1',\ hj1'),\ (s2',\ hj2') \models ?J'$   
**using** *r(3)* *r(6)* *unaries unaryE* **by** *blast*  
**moreover have**  $(s1',\ hq1),\ (s2',\ hq2) \models Q$  **using** *magic-lemma*  
**using** *calculation(1)* *calculation(2)* *precises r(2)* *r(5)* **by** *blast*  
**have**  $(s1',\ add-uguard-to-no-guard\ index\ hq1\ (?l\ s1'),\ (s2',\ add-uguard-to-no-guard$   
 $index\ hq2\ (?l\ s2')) \models Star\ Q\ ?G'$   
**proof** (*rule no-guard-then-sat-star-uguard*)  
**show**  $no-guard\ hq1 \wedge no-guard\ hq2$   
**using**  $\langle (s1',\ hq1),\ (s2',\ hq2) \models Q \rangle$  *assms(11)* *no-guard-assertion-def* **by**  
*blast*  
**show**  $(s1',\ hq1),\ (s2',\ hq2) \models Q$   
**using**  $\langle (s1',\ hq1),\ (s2',\ hq2) \models Q \rangle$  **by** *auto*  
**qed**  
**then show**  $(s1',\ hqg1),\ (s2',\ hqg2) \models Star\ Q\ ?G'$   
**using** *r(7)* *r(8)* **by** *force*  
**qed**  
**then show** *pair-sat*  $(? \Sigma' (s1,\ h1))\ (? \Sigma' (s2,\ h2))\ (Star\ Q\ ?G')$   
**proof** (*rule pair-sat-close-var-double*)  
**show**  $x \notin fvA\ (Star\ Q\ (UniqueGuard\ index\ (\lambda s.\ map-to-arg\ (s\ uarg)\ \#$   
 $map-to-list\ (s\ l))))$



```

    using assms(5) assms(9) by auto
  qed
qed

fix pre-s h k
assume (pre-s, h), (pre-s, h)  $\models$  Star P ?G
then obtain pp gg where Some h = Some pp  $\oplus$  Some gg (pre-s, pp), (pre-s,
pp)  $\models$  P (pre-s, gg), (pre-s, gg)  $\models$  ?G
  using always-sat-refl hyper-sat.simps(4) by blast
then have remove-guards h = pp
  using assms(10) hyper-sat.simps(13) no-guard-and-no-heap no-guard-assertion-def
by metis
then have (pre-s, remove-guards h), (pre-s, remove-guards h)  $\models$  P
  using  $\langle$ (pre-s, pp), (pre-s, pp)  $\models$  P $\rangle$  hyper-sat.simps(9) by blast
then have (pre-s, remove-guards h), (pre-s, remove-guards h)  $\models$  P
  by (simp add: no-guard-remove-guards)

show safe k (Some  $\Gamma$ ) (Catomic C) (pre-s, h) (? $\Sigma'$  (pre-s, h))
proof (cases k)
  case (Suc n)
  moreover have safe (Suc n) (Some  $\Gamma$ ) (Catomic C) (pre-s, h) (? $\Sigma'$  (pre-s,
h))
  proof (rule safeSomeAltI)
    show Catomic C = Cskip  $\implies$  (pre-s, h)  $\in$  ? $\Sigma'$  (pre-s, h) by simp

    fix H hf hj v0

    assume asm2: Some H = Some h  $\oplus$  Some hj  $\oplus$  Some hf  $\wedge$  full-ownership
      (get-fh H)  $\wedge$  semi-consistent  $\Gamma$  v0 H  $\wedge$  sat-inv pre-s hj  $\Gamma$ 

    define v where v = f (normalize (get-fh H))
    define s where s = pre-s(x := v)
    then have v = s x by simp
    moreover have agreements: agrees (fvC C  $\cup$  fvA P  $\cup$  fvA Q  $\cup$  fvA J  $\cup$ 
fvA (UniqueGuard k ?pre-l)) s pre-s
    by (metis UnE agrees-comm agrees-update assms(5) assms(8) fvA.simps(9)
s-def)
    have asm1: (s, h), (s, h)  $\models$  Star P ?G
    using Un-iff[of x]  $\langle$ (pre-s, h), (pre-s, h)  $\models$  Star P (UniqueGuard index
( $\lambda$ s. map-to-list (s l))) $\rangle$ 
      agrees-same agrees-update[of x] always-sat-refl assms(5) assms(8)
fvA.simps(3)[of P UniqueGuard index ( $\lambda$ s. map-to-list (s l))]
      fvA.simps(9)[of index ( $\lambda$ s. map-to-list (s l))] s-def
    by metis
    moreover have asm2-bis: sat-inv s hj  $\Gamma$ 
  proof (rule sat-inv-agrees)
    show sat-inv pre-s hj  $\Gamma$  using asm2 by simp
    show agrees (fvA (invariant  $\Gamma$ )) pre-s s
      using assms(1) assms(5) s-def

```

by (*simp add: agrees-update*)  
 qed  
 moreover have  $(s, \text{remove-guards } h), (s, \text{remove-guards } h) \models P$   
 by (*meson*  $\langle \text{pre-s}, \text{remove-guards } h \rangle, \langle \text{pre-s}, \text{remove-guards } h \rangle \models P \rangle$   
*agreements agrees-same agrees-union always-sat-refl*)

moreover have *agrees*  $(- \{x\})$  *pre-s* *s*  
 proof (*rule agreesI*)  
 fix *y* assume  $y \in - \{x\}$   
 then have  $y \neq x$   
 by *force*  
 then show *pre-s*  $y = s \ y$   
 by (*simp add: s-def*)  
 qed

moreover obtain  $(s, pp), (s, pp) \models P (s, gg), (s, gg) \models ?G$   
 by (*metis*  $\langle \text{pre-s}, gg \rangle, \langle \text{pre-s}, gg \rangle \models \text{UniqueGuard index } (\lambda s. \text{map-to-list } (s \ l)) \rangle$   
 $\langle \text{remove-guards } h = pp \rangle$  *agrees-same-aux agrees-update always-sat-refl-aux*  
*assms(8) calculation(4) fvA.simps(9) s-def*)

let  $?hf = \text{remove-guards } hf$   
 let  $?H = \text{remove-guards } H$   
 let  $?h = \text{remove-guards } h$

obtain *hhj* where  $\text{Some } hhj = \text{Some } h \oplus \text{Some } hj$   
 by (*metis* *asm2 plus.simps(2) plus.simps(3) plus-comm*)  
 then have  $\text{Some } H = \text{Some } hhj \oplus \text{Some } hf$   
 using *asm2* by *presburger*  
 then have  $\text{Some } (\text{remove-guards } hhj) = \text{Some } ?h \oplus \text{Some } hj$   
 by (*metis*  $\langle \text{Some } hhj = \text{Some } h \oplus \text{Some } hj \rangle$  *asm2 no-guards-remove*  
*remove-guards-sum sat-inv-def*)

moreover have  $f (\text{normalize } (\text{get-fh } hj)) = v$   
 proof –  
 have  $\text{view } \Gamma (\text{normalize } (\text{get-fh } hj)) = \text{view } \Gamma (\text{normalize } (\text{get-fh } H))$   
 using *assms(4) view-function-of-invE*  
 by (*metis* *(no-types, opaque-lifting)*  $\langle \text{Some } hhj = \text{Some } h \oplus \text{Some } hj \rangle$   
*asm2 larger-def larger-trans plus-comm*)  
 then show *?thesis* using *assms(1) v-def* by *fastforce*  
 qed

then have  $(s, hj), (s, hj) \models ?J$   
 by (*metis*  $\langle v = s \ x \rangle$  *asm2-bis assms(1) hyper-sat.simps(11) sat-inv-def*  
*select-convs(5)*)

ultimately have  $(s, \text{remove-guards } hhj), (s, \text{remove-guards } hhj) \models \text{Star } P$   
*?J*  
 using  $\langle (s, \text{remove-guards } h), (s, \text{remove-guards } h) \models P \rangle$  *hyper-sat.simps(4)*

**by** *blast*  
**moreover have** *bounded hhj*  
**apply** (*rule bounded-smaller-sum*[of *H*])  
**apply** (*metis asm2 full-ownership-then-bounded get-fh.simps*)  
**using**  $\langle \text{Some } H = \text{Some } hhj \oplus \text{Some } hf \rangle$  **by** *blast*

**ultimately have** *all-safes*:  $\bigwedge n. \text{ safe } n \text{ (None :: ('i, 'a, nat) cont) } C \text{ (s, remove-guards hhj) } (\Sigma \text{ (s, remove-guards hhj)})$   
**using** *asm0(1) unfolding bounded-def remove-guards-def* **by** *simp*  
**then have**  $\bigwedge \sigma 1 \ H1 \ \sigma 2 \ H2 \ s2 \ C2. \text{ red-rtrans } C \ \sigma 1 \ C2 \ \sigma 2 \implies \sigma 1 = (s, H1) \implies \sigma 2 = (s2, H2) \implies$   
 $\text{?H = denormalize } H1 \implies$   
 $\neg \text{ aborts } C2 \ \sigma 2 \wedge (C2 = \text{Cskip} \longrightarrow (\exists h1 \ H'. \text{Some } H' = \text{Some } h1 \oplus \text{Some } ?hf \wedge H2 = \text{FractionalHeap.normalize (get-fh } H') \wedge \text{no-guard } H' \wedge \text{full-ownership (get-fh } H') \wedge (s2, h1) \in \Sigma \text{ (s, remove-guards hhj)}))$   
**proof** –  
**fix**  $\sigma 1 \ H1 \ \sigma 2 \ H2 \ s2 \ C2$   
**assume**  $\text{?H = denormalize } H1$   
**assume**  $\text{red-rtrans } C \ \sigma 1 \ C2 \ \sigma 2 \ \sigma 1 = (s, H1) \ \sigma 2 = (s2, H2)$

**then show**  $\neg \text{ aborts } C2 \ \sigma 2 \wedge$   
 $(C2 = \text{Cskip} \longrightarrow$   
 $(\exists h1 \ H'.$   
 $\text{Some } H' = \text{Some } h1 \oplus \text{Some (remove-guards hf) } \wedge$   
 $H2 = \text{FractionalHeap.normalize (get-fh } H') \wedge \text{no-guard } H' \wedge \text{full-ownership (get-fh } H') \wedge (s2, h1) \in \Sigma \text{ (s, remove-guards hhj)}))$   
**using** *all-safes*  
**proof** (*rule safe-atomic*)  
**show**  $\text{?H = denormalize } H1$  **using**  $\langle \text{?H = denormalize } H1 \rangle$  **by** *simp*  
**show**  $\text{Some } ?H = \text{Some (remove-guards hhj) } \oplus \text{Some } ?hf$   
**using**  $\langle \text{Some } H = \text{Some } hhj \oplus \text{Some } hf \rangle$  *remove-guards-sum* **by** *blast*  
**show**  $\text{full-ownership (get-fh (remove-guards } H)) \wedge \text{no-guard (remove-guards } H)$   
*H*)

**by** (*metis asm2 get-fh-remove-guards no-guard-remove-guards*)  
**qed**  
**qed**  
**moreover have**  $\text{?H = denormalize (normalize (get-fh } H))$   
**by** (*metis asm2 denormalize-properties(5)*)  
**ultimately have** *safe-atomic-simplified*:  $\bigwedge \sigma 2 \ H2 \ s2 \ C2. \text{ red-rtrans } C \text{ (s, normalize (get-fh } H)) } C2 \ \sigma 2$   
 $\implies \sigma 2 = (s2, H2) \implies \neg \text{ aborts } C2 \ \sigma 2 \wedge (C2 = \text{Cskip} \longrightarrow (\exists h1 \ H'. \text{Some } H' = \text{Some } h1 \oplus \text{Some } ?hf \wedge H2 = \text{FractionalHeap.normalize (get-fh } H') \wedge \text{no-guard } H' \wedge \text{full-ownership (get-fh } H') \wedge (s2, h1) \in \Sigma \text{ (s, remove-guards hhj)}))$   
**by** *presburger*

**have**  $\neg \text{ aborts (Catomic } C) \text{ (s, normalize (get-fh } H))$   
**proof** (*rule ccontr*)

**assume**  $\neg \neg$  *aborts* (*Catomic* *C*) (*s*, *normalize* (*get-fh* *H*))  
**then obtain**  $C' \sigma'$  **where** *asm3*: *red-rtrans* *C* (*s*, *FractionalHeap.normalize* (*get-fh* *H*))  $C' \sigma'$   
*aborts*  $C' \sigma'$   
**using** *abort-atomic-cases* **by** *blast*  
**then have**  $\neg$  *aborts*  $C' \sigma'$  **using** *safe-atomic-simplified*[*of*  $C' \sigma'$  *fst*  $\sigma'$  *snd*  $\sigma'$ ] **by** *simp*  
**then show** *False* **using** *asm3*(2) **by** *simp*  
**qed**  
**then show**  $\neg$  *aborts* (*Catomic* *C*) (*pre-s*, *normalize* (*get-fh* *H*))  
**by** (*metis* *agreements* *aborts-agrees* *agrees-comm* *agrees-union* *fst-eqD* *fvC.simps*(11) *snd-conv*)

**fix**  $C' \text{pre-}s' h'$   
**assume** *red* (*Catomic* *C*) (*pre-s*, *FractionalHeap.normalize* (*get-fh* *H*))  $C'$  (*pre-s'*,  $h'$ )  
**then obtain**  $s'$  **where** *red* (*Catomic* *C*) (*s*, *FractionalHeap.normalize* (*get-fh* *H*))  $C'$  ( $s'$ ,  $h'$ )  
*agrees* ( $\{-x\}$ )  $s'$  *pre-s'*  
**by** (*metis* (*no-types*, *lifting*) *UnI1*  $\langle$ *agrees* ( $\{-x\}$ ) *pre-s*  $s$  $\rangle$  *agrees-comm* *assms*(5) *fst-eqD* *fvC.simps*(11) *red-agrees* *snd-conv* *subset-Compl-singleton*)

**then obtain**  $h1 H'$  **where** *asm3*: *Some*  $H' = \text{Some } h1 \oplus \text{Some } (\text{remove-guards } hf)$   $C' = \text{Cskip}$   
 $h' = \text{FractionalHeap.normalize } (\text{get-fh } H')$  *no-guard*  $H' \wedge$  *full-ownership* (*get-fh*  $H'$ ) ( $s'$ ,  $h1$ )  $\in \Sigma$  (*s*, *remove-guards*  $hhj$ )  
**using** *safe-atomic-simplified*[*of*  $C'$  ( $s'$ ,  $h'$ )  $s' h'$ ] **by** (*metis* *red-atomic-cases*)

**moreover have**  $s x = s' x \wedge s' uarg = s uarg \wedge s l = s' l$  **using** *red-not-in-fv-not-touched*  
**using**  $\langle$ *red* (*Catomic* *C*) (*s*, *FractionalHeap.normalize* (*get-fh* *H*))  $C'$  ( $s'$ ,  $h'$ ) $\rangle$   
**by** (*metis* *Un-iff* *assms*(5) *assms*(6) *assms*(7) *fst-conv* *fvC.simps*(11))  
**have**  $\exists hq' hj'$ . *Some*  $h1 = \text{Some } hq' \oplus \text{Some } hj' \wedge (s', \text{add-uguard-to-no-guard } \text{index } hq' (\text{?l } s')) \in \Sigma' (\text{pre-s}, h) \wedge \text{sat-inv } s' hj' \Gamma$   
 $\wedge f (\text{normalize } (\text{get-fh } hj')) = \text{uact } \text{index } (s' x) (\text{map-to-arg } (s' uarg))$   
**proof** –

**have** *pair-sat* ( $\Sigma$  (*s*, *remove-guards*  $hhj$ )) ( $\Sigma$  (*s*, *remove-guards*  $hhj$ )) (*Star*  $Q$   $?J'$ )  
**using** *asm0*(2)[*of* (*s*, *remove-guards*  $hhj$ ) (*s*, *remove-guards*  $hhj$ )]  
**using**  $\langle$ (*s*, *remove-guards*  $hhj$ ), (*s*, *remove-guards*  $hhj$ )  $\models$  *Star*  $P$   $?J$  $\rangle$  **by** *blast*  
**then have** ( $s'$ ,  $h1$ ), ( $s'$ ,  $h1$ )  $\models$  *Star*  $Q$   $?J'$   
**using** *asm3*(5) *pair-sat-def* **by** *blast*  
**then obtain**  $hq' hj'$  **where** *Some*  $h1 = \text{Some } hq' \oplus \text{Some } hj' (s', hq')$ , ( $s', hq'$ )  $\models Q$  ( $s', hj'$ ), ( $s', hj'$ )  $\models ?J'$   
**using** *always-sat-refl* *hyper-sat.simps*(4) **by** *blast*  
**then have** *no-guard*  $hj'$

**by** (*metis* (*no-types*, *opaque-lifting*) *calculation*(1) *calculation*(4)  
*no-guard-then-smaller-same plus-comm*)  
**moreover have**  $f$  (*normalize* (*get-fh*  $hj'$ )) = *uact index* ( $s' x$ ) (*map-to-arg* ( $s' uarg$ ))  
**using**  $\langle (s', hj'), (s', hj') \models \text{View } f J (\lambda s. \text{uact index } (s x) (\text{map-to-arg } (s uarg))) \rangle$  **by** *auto*  
**moreover have**  $(s, \text{remove-guards } hhj) \in \text{start } (pre-s, h)$   
**proof** –  
**have** *Some* (*remove-guards*  $hhj$ ) = *Some*  $?h \oplus \text{Some } hj$   
**using**  $\langle \text{Some } (\text{remove-guards } hhj) = \text{Some } (\text{remove-guards } h) \oplus \text{Some } hj \rangle$  **by** *blast*  
**moreover have**  $(s, hj), (s, hj) \models ?J$   
**using**  $\langle (s, hj), (s, hj) \models ?J \rangle$  **by** *fastforce*  
**ultimately show** *?thesis using start-def*  
**using**  $\langle \text{agrees } (- \{x\}) \text{ pre-} s \rangle$  **by** *fastforce*  
**qed**  
**then have**  $(s', h1) \in \text{end-qj } (pre-s, h)$   
**using**  $\langle \text{end-qj} \equiv \lambda \sigma. \bigcup (\Sigma \text{ 'start } \sigma) \rangle$  *asm3*(5) **by** *blast*  
  
**then have**  $(s', \text{add-uguard-to-no-guard index } hq' (?l s')) \in \Sigma' (pre-s, h)$   
**using**  $\Sigma'\text{-def } \langle (s', hj'), (s', hj') \models ?J' \rangle \langle \text{Some } h1 = \text{Some } hq' \oplus \text{Some } hj' \rangle$  **by** *blast*  
**ultimately show**  $\exists hq' hj'.$   
 $\text{Some } h1 = \text{Some } hq' \oplus \text{Some } hj' \wedge$   
 $(s', \text{add-uguard-to-no-guard index } hq' (\text{map-to-arg } (s' uarg) \# \text{map-to-list } (s' l))) \in \Sigma' (pre-s, h) \wedge$   
 $\text{sat-inv } s' hj' \Gamma \wedge f (\text{FractionalHeap.normalize } (\text{get-fh } hj')) = \text{uact index } (s' x) (\text{map-to-arg } (s' uarg))$   
**using**  $\langle (s', hj'), (s', hj') \models ?J' \rangle \langle \text{Some } h1 = \text{Some } hq' \oplus \text{Some } hj' \rangle$   
*assms*(1) *hyper-sat.simps*(11) *sat-inv-def select-convs*(5)  
**by** *fastforce*  
**qed**  
**then obtain**  $hq' hj'$  **where**  $\text{Some } h1 = \text{Some } hq' \oplus \text{Some } hj' (s', \text{add-uguard-to-no-guard index } hq' (?l s')) \in \Sigma' (pre-s, h) \text{ sat-inv } s' hj' \Gamma$   
 $f (\text{normalize } (\text{get-fh } hj')) = \text{uact index } (s' x) (\text{map-to-arg } (s' uarg))$   
**by** *blast*  
**then have** *safe n* (*Some*  $\Gamma$ )  $C' (s', \text{add-uguard-to-no-guard index } hq' (?l s')) (\Sigma' (pre-s, h))$   
**using** *asm3*(2) *safe-skip* **by** *blast*  
  
**moreover have**  $\exists H''. \text{semi-consistent } \Gamma \ v0 \ H'' \wedge \text{Some } H'' = \text{Some } (\text{add-uguard-to-no-guard index } hq' (?l s')) \oplus \text{Some } hj' \oplus \text{Some } hf$   
**proof** –  
**have** *Some* (*add-uguard-to-no-guard index*  $hq' (?l s')$ ) = *Some*  $hq' \oplus \text{Some } hf$   
(*Map.empty*, *None*, [*index*  $\mapsto ?l s'$ ])  
**by** (*metis*  $\langle \text{Some } h1 = \text{Some } hq' \oplus \text{Some } hj' \rangle$  *add-uguard-as-sum* *calculation*(1) *calculation*(4) *no-guard-then-smaller-same*)  
  
**obtain**  $hhf$  **where**  $\text{Some } hhf = \text{Some } h \oplus \text{Some } hf$

**by** (*metis* (*no-types*, *opaque-lifting*)  $\langle \text{Some } H = \text{Some } hhj \oplus \text{Some } hf \rangle \langle \text{Some } hhj = \text{Some } h \oplus \text{Some } hj \rangle$  *option.exhaust-sel plus.simps(1) plus-asso plus-comm*)

**then have** *all-guards hhf*

**by** (*metis* (*no-types*, *lifting*) *all-guards-no-guard-propagates asm2 plus-asso plus-comm sat-inv-def semi-consistent-def*)

**moreover have** *get-gs h = None  $\wedge$  get-gu h index = Some (?pre-l s)*

**proof** –

**have** *no-guard pp*

**using**  $\langle \text{remove-guards } h = pp \rangle$  *no-guard-remove-guards* **by** *blast*

**then show** *?thesis*

**by** (*metis* (*no-types*, *lifting*)  $\langle \text{Some } h = \text{Some } pp \oplus \text{Some } gg \rangle \langle \bigwedge \text{thesis. } (\llbracket (s, pp), (s, pp) \rrbracket \models P; (s, gg), (s, gg) \rrbracket \models \text{UniqueGuard index } (\lambda s. \text{map-to-list } (s \ l)) \rrbracket \implies \text{thesis}) \implies \text{thesis} \rangle$  *full-uguard-sum-same hyper-sat.simps(13) no-guard-remove(1) plus-comm*)

**qed**

**moreover have**  $\bigwedge i'. i' \neq \text{index} \implies \text{get-gu } h \ i' = \text{None}$

**by** (*metis*  $\langle \text{Some } h = \text{Some } pp \oplus \text{Some } gg \rangle \langle \bigwedge \text{thesis. } (\llbracket (s, pp), (s, pp) \rrbracket \models P; (s, gg), (s, gg) \rrbracket \models \text{UniqueGuard index } (\lambda s. \text{map-to-list } (s \ l)) \rrbracket \implies \text{thesis}) \implies \text{thesis} \rangle$  *remove-guards h = pp hyper-sat.simps(13) no-guard-remove(2) no-guard-remove-guards plus-comm*)

**then obtain** *sargs* **where** *get-gu hf index = None  $\wedge$  get-gs hf = Some (pwrite, sargs)*

**by** (*metis* (*no-types*, *opaque-lifting*)  $\langle \text{Some } hhf = \text{Some } h \oplus \text{Some } hf \rangle$  *add-gs.simps(1) all-guards-def calculation(1) calculation(2) compatible-def compatible-eq option.distinct(1) plus-extract(2)*)

**moreover obtain** *uargs* **where**  $\bigwedge i'. i' \neq \text{index} \implies \text{get-gu } hf \ i' = \text{Some } (uargs \ i')$

**by** (*metis* (*no-types*, *opaque-lifting*)  $\langle \text{Some } hhf = \text{Some } h \oplus \text{Some } hf \rangle \langle \bigwedge i'. i' \neq \text{index} \implies \text{get-gu } h \ i' = \text{None} \rangle$  *add-gu-def add-gu-single.simps(1) all-guards-exists-uargs calculation(1) plus-extract(3)*)

**then obtain** *ghf* **where** *ghf-def: Some hf = Some (remove-guards hf)  $\oplus$  Some ghf*

*get-fh ghf = Map.empty get-gu ghf index = None*

*get-gs ghf = Some (pwrite, sargs)  $\wedge i'. i' \neq \text{index} \implies \text{get-gu } ghf \ i' = \text{Some } (uargs \ i')$*

**using** *decompose-guard-remove-easy[of hf]*

**using** *calculation(3)* **by** *auto*

**have** (*Map.empty*, *None*, [*index*  $\mapsto$  ?l s']) **##** *ghf*

**proof** (*rule compatibleI*)

**show** *compatible-fract-heaps (get-fh (Map.empty, None, [index  $\mapsto$  map-to-arg (s' uarg) # map-to-list (s' l)])) (get-fh ghf)*

**using** *compatible-fract-heapsI* **by** *fastforce*

**show**  $\bigwedge k. \text{get-gu } (Map.empty, None, [index \mapsto \text{map-to-arg } (s' \ uarg) \# \text{map-to-list } (s' \ l)]) \ k = \text{None} \vee \text{get-gu } ghf \ k = \text{None}$

**using** *ghf-def(3)* **by** *auto*

**qed** (*simp*)

**then obtain**  $g$  **where**  $g\text{-def}$ :  $\text{Some } g = \text{Some } (\text{Map.empty}, \text{None}, [\text{index} \mapsto ?l \ s']) \oplus \text{Some } ghf$   
**by** *simp*  
**moreover have**  $H' \#\# g$   
**proof** (*rule compatibleI*)  
**have**  $\text{get-fh } g = \text{add-fh } \text{Map.empty } \text{Map.empty}$   
**using**  $\text{add-get-fh}[\text{of } g \ (\text{Map.empty}, \text{None}, [\text{index} \mapsto ?l \ s']) \ ghf]$   
 $g\text{-def} \ \langle \text{get-fh } ghf = \text{Map.empty} \rangle$   
**by** *fastforce*  
**then have**  $\text{get-fh } g = \text{Map.empty}$   
**using** *add-fh-map-empty* **by** *auto*  
**then show** *compatible-fract-heaps* ( $\text{get-fh } H'$ ) ( $\text{get-fh } g$ )  
**using** *compatible-fract-heapsI* **by** *force*  
**show**  $\bigwedge k. \text{get-gu } H' \ k = \text{None} \vee \text{get-gu } g \ k = \text{None}$   
**by** (*meson asm3(4) no-guard-def*)  
**show**  $\bigwedge p \ p'. \text{get-gs } H' = \text{Some } p \wedge \text{get-gs } g = \text{Some } p' \implies \text{pgte } p \ \text{write}$   
(*padd (fst p) (fst p')*)  
**by** (*metis asm3(4) no-guard-def option.simps(3)*)  
**qed**  
**then obtain**  $H''$  **where**  $\text{Some } H'' = \text{Some } H' \oplus \text{Some } g$   
**by** *simp*  
**then have**  $\text{Some } H'' = \text{Some } (\text{add-uguard-to-no-guard } \text{index } hq' \ ( ?l \ s'))$   
 $\oplus \text{Some } hj' \oplus \text{Some } hf$   
**proof** –  
**have**  $\text{Some } H'' = \text{Some } h1 \oplus \text{Some } g \oplus \text{Some } (\text{remove-guards } hf)$   
**by** (*metis*  $\langle \text{Some } H'' = \text{Some } H' \oplus \text{Some } g \ \text{asm3(1) plus-comm simpler-asso} \rangle$ )  
**moreover have**  $\text{Some } (\text{add-uguard-to-no-guard } \text{index } hq' \ ( ?l \ s')) = \text{Some } hq' \oplus \text{Some } (\text{Map.empty}, \text{None}, [\text{index} \mapsto ?l \ s'])$   
**using**  $\langle \text{Some } (\text{add-uguard-to-no-guard } \text{index } hq' \ (\text{map-to-arg } (s' \ \text{uarg}) \# \text{map-to-list } (s' \ l))) = \text{Some } hq' \oplus \text{Some } (\text{Map.empty}, \text{None}, [\text{index} \mapsto \text{map-to-arg } (s' \ \text{uarg}) \# \text{map-to-list } (s' \ l)]) \rangle$  **by** *blast*  
**ultimately show** *?thesis*  
**by** (*metis* (*no-types, lifting*)  $\langle \text{Some } h1 = \text{Some } hq' \oplus \text{Some } hj' \rangle \ g\text{-def } ghf\text{-def}(1) \ \text{plus-comm simpler-asso}$ )  
**qed**  
  
**moreover have** *semi-consistent*  $\Gamma \ v0 \ H''$   
**proof** (*rule semi-consistentI*)  
**have**  $\text{get-gs } g = \text{Some } (pwrite, \ \text{sargs})$   
**by** (*metis full-sguard-sum-same g-def ghf-def(4) plus-comm*)  
**moreover have**  $\text{get-gu } g \ \text{index} = \text{Some } ( ?l \ s')$   
**proof** (*rule full-uguard-sum-same*)  
**show**  $\text{get-gu } (\text{Map.empty}, \text{None}, [\text{index} \mapsto ?l \ s']) \ \text{index} = \text{Some } ( ?l \ s')$   
**using** *get-gu.simps* **by** *auto*  
**show**  $\text{Some } g = \text{Some } (\text{Map.empty}, \text{None}, [\text{index} \mapsto ?l \ s']) \oplus \text{Some } ghf$   
**using**  $g\text{-def}$  **by** *auto*  
**qed**  
**moreover have**  $\bigwedge i'. i' \neq \text{index} \implies \text{get-gu } g \ i' = \text{Some } (\text{uargs } i')$

by (metis full-uguard-sum-same g-def ghf-def(5) plus-comm)  
 ultimately have all-guards g  
 by (metis all-guardsI option.discI)  
 then show all-guards H''  
 by (metis ‹Some H'' = Some H'  $\oplus$  Some g› all-guards-same plus-comm)  
 show reachable  $\Gamma$  v0 H''  
 proof (rule reachableI)  
 fix sargs' uargs'  
 assume get-gs H'' = Some (pwrite, sargs')  $\wedge$  ( $\forall k$ . get-gu H'' k = Some (uargs' k))  
 then have sargs = sargs'  
 by (metis (no-types, opaque-lifting) Pair-inject ‹Some H'' = Some H'  $\oplus$  Some g› ‹get-gs g = Some (pwrite, sargs)› full-sguard-sum-same option.inject plus-comm)  
 moreover have uargs' index = ?l s'  
 by (metis ‹Some H'' = Some H'  $\oplus$  Some g› ‹get-gs H'' = Some (pwrite, sargs')  $\wedge$  ( $\forall k$ . get-gu H'' k = Some (uargs' k))› ‹get-gu g index = Some (map-to-arg (s' uarg) # map-to-list (s' l))› asm3(4) no-guard-remove(2) option.inject plus-comm)  
 moreover have  $\wedge i'. i' \neq \text{index} \implies \text{uargs}' i' = \text{uargs } i'$   
 by (metis ‹Some H'' = Some H'  $\oplus$  Some g› ‹ $\wedge i'. i' \neq \text{index} \implies \text{get-gu } g \ i' = \text{Some } (\text{uargs } i')$ › ‹get-gs H'' = Some (pwrite, sargs')  $\wedge$  ( $\forall k$ . get-gu H'' k = Some (uargs' k))› asm3(4) no-guard-remove(2) option.sel plus-comm)  
 moreover have view  $\Gamma$  (FractionalHeap.normalize (get-fh hj')) = view  $\Gamma$  (FractionalHeap.normalize (get-fh H''))  
 using assms(4) ‹sat-inv s' hj'  $\Gamma$ ›  
 proof (rule view-function-of-invE)  
 show H''  $\succeq$  hj'  
 by (metis (no-types, opaque-lifting) ‹Some H'' = Some H'  $\oplus$  Some g› ‹Some h1 = Some hq'  $\oplus$  Some hj'› asm3(1) larger-def larger-trans plus-comm)  
 qed  
 moreover have reachable-value (saction  $\Gamma$ ) (uaction  $\Gamma$ ) v0 sargs (uargs(index := ?l s')) (uact index (s' x) (map-to-arg (s' uarg)))  
 proof –  
 have reachable-value (saction  $\Gamma$ ) (uaction  $\Gamma$ ) v0 sargs (uargs(index := ?pre-l s')) (view  $\Gamma$  (FractionalHeap.normalize (get-fh H)))  
 proof –  
 have reachable  $\Gamma$  v0 H  
 by (meson asm2 semi-consistent-def)  
 moreover have get-gs H = Some (pwrite, sargs)  
 by (metis ‹Some H = Some hhj  $\oplus$  Some hf› ‹get-gu hf index = None  $\wedge$  get-gs hf = Some (pwrite, sargs)› full-sguard-sum-same plus-comm)  
 moreover have get-gu H index = Some (?pre-l s')  
 by (metis ‹Some H = Some hhj  $\oplus$  Some hf› ‹Some hhj = Some h  $\oplus$  Some hj› ‹get-gs h = None  $\wedge$  get-gu h index = Some (map-to-list (s l))› ‹s x = s' x  $\wedge$  s' uarg = s uarg  $\wedge$  s l = s' l› full-uguard-sum-same)  
 moreover have  $\wedge i. i \neq \text{index} \implies \text{get-gu } H \ i = \text{Some } (\text{uargs } i)$   
 by (metis ‹Some H = Some hhj  $\oplus$  Some hf› ‹ $\wedge i'. i' \neq \text{index} \implies$



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get-gu hf i' = Some (uargs i') › full-uguard-sum-same plus-comm)
  ultimately show ?thesis
    by (simp add: reachable-def)
  qed
  moreover have view  $\Gamma$  (FractionalHeap.normalize (get-fh hj)) = view
 $\Gamma$  (FractionalHeap.normalize (get-fh H))
    using assms(4)
  proof (rule view-function-of-invE)
    show sat-inv s hj  $\Gamma$ 
      by (simp add: asm2-bis)
    show  $H \succeq hj$ 
      by (metis (no-types, opaque-lifting) ‹Some H = Some hhj  $\oplus$  Some
hf› ‹Some hhj = Some h  $\oplus$  Some hj› larger-def larger-trans plus-comm)
    qed
    moreover have  $s' x = v$ 
      using ‹s x = s' x  $\wedge$  s' uarg = s uarg  $\wedge$  s l = s' l› ‹v = s x› by
presburger
    ultimately have reachable-value (saction  $\Gamma$ ) (uaction  $\Gamma$ ) v0 sargs
(uargs(index := ?pre-l s')) v
      using ‹f (FractionalHeap.normalize (get-fh hj)) = v› assms(1) by
auto
    then show ?thesis
      by (metis UniqueStep ‹s' x = v› assms(1) fun-upd-same fun-upd-upd
select-convs(4))
    qed
    moreover have uargs' = (uargs(index := map-to-arg (s' uarg) #
map-to-list (s' l)))
      proof (rule ext)
        fix i show uargs' i = (uargs(index := map-to-arg (s' uarg) #
map-to-list (s' l))) i
          apply (cases i = index)
          using calculation(2) apply auto[1]
          using calculation(3) by force
        qed
      ultimately show reachable-value (saction  $\Gamma$ ) (uaction  $\Gamma$ ) v0 sargs'
uargs' (view  $\Gamma$  (FractionalHeap.normalize (get-fh H'')))
        using ‹f (FractionalHeap.normalize (get-fh hj')) = uact index (s' x)
(map-to-arg (s' uarg))› assms(1) by force
      qed
    qed
    ultimately show  $\exists H''$ . semi-consistent  $\Gamma$  v0 H''  $\wedge$  Some H'' = Some
(add-uguard-to-no-guard index hq' (map-to-arg (s' uarg) # map-to-list (s' l)))  $\oplus$ 
Some hj'  $\oplus$  Some hf
      by blast
    qed
    ultimately obtain H'' where semi-consistent  $\Gamma$  v0 H''  $\wedge$ 
Some H'' = Some (add-uguard-to-no-guard index hq' (map-to-arg (s' uarg) #
map-to-list (s' l)))  $\oplus$  Some hj'  $\oplus$  Some hf by blast
    moreover have full-ownership (get-fh H'')  $\wedge$  h' = FractionalHeap.normalize

```

$(\text{get-fh } H'')$   
**proof** –  
**obtain**  $x$  **where**  $\text{Some } x = \text{Some } (\text{add-uguard-to-no-guard index } hq' \text{ } (?l \ s')) \oplus \text{Some } hj'$   
**by**  $(\text{metis calculation not-Some-eq plus.simps}(1))$   
**then have**  $\text{get-fh } H'' = \text{add-fh } (\text{add-fh } (\text{get-fh } (\text{add-uguard-to-no-guard index } hq' \text{ } (?l \ s')))) (\text{get-fh } hj') (\text{get-fh } hf)$   
**by**  $(\text{metis add-get-fh calculation})$   
**moreover have**  $\text{get-fh } (\text{add-uguard-to-no-guard index } hq' \text{ } (?l \ s')) = \text{get-fh } hq' \wedge \text{get-fh } hf = \text{get-fh } (\text{remove-guards } hf)$   
**by**  $(\text{metis get-fh-add-uguard get-fh-remove-guards})$   
**ultimately show**  $?thesis$   
**by**  $(\text{metis } \langle \text{Some } h1 = \text{Some } hq' \oplus \text{Some } hj' \rangle \text{ add-get-fh } \text{asm3}(1) \text{ asm3}(3) \text{ asm3}(4))$   
**qed**  
**moreover have**  $\text{sat-inv pre-s'} \ hj' \ \Gamma$   
**proof**  $(\text{rule sat-inv-agrees})$   
**show**  $\text{sat-inv } s' \ hj' \ \Gamma$   
**by**  $(\text{simp add: } \langle \text{sat-inv } s' \ hj' \ \Gamma \rangle)$   
**show**  $\text{agrees } (\text{fvA } (\text{invariant } \Gamma)) \ s' \ \text{pre-s}'$   
**using**  $\text{UnCI } \langle \text{agrees } (- \ \{x\}) \ s' \ \text{pre-s}' \rangle \ \text{assms}(1) \ \text{assms}(5) \ \text{select-convs}(5) \ \text{subset-Compl-singleton}$   
**by**  $(\text{metis agrees-union sup.orderE})$   
**qed**  
**moreover have**  $\text{safe } n \ (\text{Some } \Gamma) \ C' \ (\text{pre-s}', \ \text{add-uguard-to-no-guard index } hq' \text{ } (?l \ s')) \ (? \Sigma' \ (\text{pre-s}, \ h))$   
**proof**  $(\text{rule safe-free-vars-Some})$   
**show**  $\text{safe } n \ (\text{Some } \Gamma) \ C' \ (s', \ \text{add-uguard-to-no-guard index } hq' \text{ } (?l \ s')) \ (? \Sigma' \ (\text{pre-s}, \ h))$   
**by**  $(\text{meson } \langle \text{safe } n \ (\text{Some } \Gamma) \ C' \ (s', \ \text{add-uguard-to-no-guard index } hq' \text{ } (\text{map-to-arg } (s' \ \text{uarg}) \ \# \ \text{map-to-list } (s' \ l))) \ (\Sigma' \ (\text{pre-s}, \ h)) \rangle \ \text{close-var-subset safe-larger-set})$   
**show**  $\text{agrees } (\text{fvC } C' \cup (- \ \{x\}) \cup \text{fvA } (\text{invariant } \Gamma)) \ s' \ \text{pre-s}'$   
**by**  $(\text{metis UnI2 Un-absorb1 } \langle \text{agrees } (- \ \{x\}) \ s' \ \text{pre-s}' \rangle \ \text{asm3}(2) \ \text{assms}(1) \ \text{assms}(5) \ \text{empty-iff fvC.simps}(1) \ \text{inf-sup-aci}(5) \ \text{select-convs}(5) \ \text{subset-Compl-singleton})$   
**show**  $\text{upper-fvs } (\text{close-var } (\Sigma' \ (\text{pre-s}, \ h)) \ x) \ (- \ \{x\})$   
**by**  $(\text{simp add: upper-fvs-close-vars})$   
**qed**  
**ultimately show**  $\exists h'' \ H' \ hj'.$   
 $\text{full-ownership } (\text{get-fh } H') \wedge$   
 $\text{semi-consistent } \Gamma \ v0 \ H' \wedge$   
 $\text{sat-inv pre-s'} \ hj' \ \Gamma \wedge \ h' = \text{FractionalHeap.normalize } (\text{get-fh } H') \wedge \text{Some } H' = \text{Some } h'' \oplus \text{Some } hj' \oplus \text{Some } hf$   
 $\wedge \text{safe } n \ (\text{Some } \Gamma) \ C' \ (\text{pre-s}', \ h'') \ (? \Sigma' \ (\text{pre-s}, \ h))$  **using**  $\langle \text{sat-inv } s' \ hj' \ \Gamma \rangle$   
**by**  $\text{blast}$   
**qed**  $(\text{simp})$   
**ultimately show**  $\text{safe } k \ (\text{Some } \Gamma) \ (\text{Catomic } C) \ (\text{pre-s}, \ h) \ (? \Sigma' \ (\text{pre-s}, \ h))$   
**by**  $\text{blast}$   
**qed**  $(\text{simp})$

qed  
qed

**theorem** *atomic-rule-shared*:

**fixes**  $\Gamma :: ('i, 'a, nat)$  *single-context*

**fixes** *map-to-multiset* ::  $nat \Rightarrow 'a$  *multiset*

**fixes** *map-to-arg* ::  $nat \Rightarrow 'a$

**assumes**  $\Gamma = (\mid \text{view} = f, \text{abstract-view} = \alpha, \text{saction} = \text{sact}, \text{uaction} = \text{uact}, \text{invariant} = J \mid)$

**and** *hoare-triple-valid* ( $None :: ('i, 'a, nat)$  *cont*) ( $Star P (View f J (\lambda s. s x))$ )  $C$

( $Star Q (View f J (\lambda s. \text{sact} (s x) (\text{map-to-arg} (s \text{sarg}))))$ )

**and** *precise*  $J \wedge \text{unary } J$

**and** *view-function-of-inv*  $\Gamma$

**and**  $x \notin \text{fv} C \cup \text{fv} A \cup \text{fv} P \cup \text{fv} A \cup \text{fv} Q \cup \text{fv} A \cup \text{fv} J$

**and**  $\text{sarg} \notin \text{fv} C \cup \text{fv} A$

**and**  $\text{ms} \notin \text{fv} C \cup \text{fv} A$

**and**  $x \notin \text{fv} S (\lambda s. \text{map-to-multiset} (s \text{ms}))$

**and**  $x \notin \text{fv} S (\lambda s. \{\# \text{map-to-arg} (s \text{sarg}) \# \} + \text{map-to-multiset} (s \text{ms}))$

**and** *no-guard-assertion*  $P$

**and** *no-guard-assertion*  $Q$

**shows** *hoare-triple-valid* ( $Some \Gamma$ ) ( $Star P (SharedGuard \pi (\lambda s. \text{map-to-multiset} (s \text{ms})))$ ) ( $Catomic C$ )

( $Star Q (SharedGuard \pi (\lambda s. \{\# \text{map-to-arg} (s \text{sarg}) \# \} + \text{map-to-multiset} (s \text{ms})))$ )

**proof** –

**let**  $?J = View f J (\lambda s. s x)$

**let**  $?J' = View f J (\lambda s. \text{sact} (s x) (\text{map-to-arg} (s \text{sarg})))$

**let**  $?pre\text{-}ms = \lambda s. \text{map-to-multiset} (s \text{ms})$

**let**  $?G = SharedGuard \pi ?pre\text{-}ms$

**let**  $?ms = \lambda s. \{\# \text{map-to-arg} (s \text{sarg}) \# \} + \text{map-to-multiset} (s \text{ms})$

**let**  $?G' = SharedGuard \pi ?ms$

**have** *unaries*:  $\text{unary } ?J \wedge \text{unary } ?J'$

**by** (*simp add*: *assms*( $\mathcal{J}$ ) *unary-inv-then-view*)

**moreover** **have** *precises*:  $\text{precise } ?J \wedge \text{precise } ?J'$

**by** (*simp add*: *assms*( $\mathcal{J}$ ) *precise-inv-then-view*)

**obtain**  $\Sigma$  **where** *asm0*:  $\bigwedge n \sigma. \sigma, \sigma \models Star P ?J \implies \text{bounded} (\text{snd } \sigma) \implies \text{safe}$   
 $n (None :: ('i, 'a, nat)$  *cont*)  $C \sigma (\Sigma \sigma)$

$\bigwedge \sigma \sigma'. \sigma, \sigma' \models Star P ?J \implies \text{pair-sat} (\Sigma \sigma) (\Sigma \sigma') (Star Q ?J')$

**using** *assms(2)* *hoare-triple-valid-def* **by** *blast*

**define** *start* **where**  $start = (\lambda\sigma. \{ (s, h) \mid s \ h \ hj. \text{ agrees } (- \{x\}) (fst \ \sigma) \ s \wedge \text{ Some } h = \text{ Some } (\text{remove-guards } (snd \ \sigma)) \oplus \text{ Some } hj \wedge (s, hj), (s, hj) \models ?J \})$

**define** *end-qj* **where**  $end-qj = (\lambda\sigma. \bigcup \sigma' \in start \ \sigma. \Sigma \ \sigma')$

**define**  $\Sigma'$  **where**  $\Sigma' = (\lambda\sigma. \{ (s, \text{add-sguard-to-no-guard } hq \ \pi \ (?ms \ s)) \mid s \ hq \ h \ hj. (s, h) \in end-qj \ \sigma \wedge \text{ Some } h = \text{ Some } hq \oplus \text{ Some } hj \wedge (s, hj), (s, hj) \models ?J' \})$

**let**  $? \Sigma' = \lambda\sigma. \text{ close-var } (\Sigma' \ \sigma) \ x$

**show** *hoare-triple-valid* (*Some*  $\Gamma$ ) (*Star*  $P \ ?G$ ) (*Catomic*  $C$ ) (*Star*  $Q \ ?G'$ )

**proof** (*rule* *hoare-triple-validI*)

**show**  $\bigwedge s \ h \ s' \ h'. (s, h), (s', h') \models \text{ Star } P \ ?G \implies \text{ pair-sat } (? \Sigma' (s, h)) (? \Sigma' (s', h')) (\text{ Star } Q \ ?G')$

**proof** –

**fix**  $s1 \ h1 \ s2 \ h2$

**assume**  $asm1: (s1, h1), (s2, h2) \models \text{ Star } P \ ?G$

**then obtain**  $p1 \ p2 \ g1 \ g2$  **where**  $r0: \text{ Some } h1 = \text{ Some } p1 \oplus \text{ Some } g1$   
 $\text{ Some } h2 = \text{ Some } p2 \oplus \text{ Some } g2$

$(s1, p1), (s2, p2) \models P \ (s1, g1), (s2, g2) \models ?G$

**using** *hyper-sat.simps(4)* **by** *auto*

**then obtain** *remove-guards*  $h1 = p1$  *remove-guards*  $h2 = p2$

**using** *assms(10)* *hyper-sat.simps(12)* *no-guard-and-no-heap* *no-guard-assertion-def* **by** *metis*

**have**  $\text{ pair-sat } (\Sigma' (s1, h1)) (\Sigma' (s2, h2)) (\text{ Star } Q \ ?G')$

**proof** (*rule* *pair-satI*)

**fix**  $s1' \ hqg1 \ s2' \ hqg2 \ \sigma2'$

**assume**  $asm2: (s1', hqg1) \in \Sigma' (s1, h1) \wedge (s2', hqg2) \in \Sigma' (s2, h2)$

**then obtain**  $h1' \ hj1' \ h2' \ hj2' \ hq1 \ hq2$  **where**  $r: (s1', h1') \in end-qj (s1, h1)$  *Some*  $h1' = \text{ Some } hq1 \oplus \text{ Some } hj1'$   
 $(s1', hj1'), (s1', hj1') \models ?J' (s2', h2') \in end-qj (s2, h2)$  *Some*  $h2' = \text{ Some } hq2 \oplus \text{ Some } hj2' (s2', hj2'), (s2', hj2') \models ?J'$   
 $hqg1 = \text{add-sguard-to-no-guard } hq1 \ \pi \ (?ms \ s1') \ hqg2 = \text{add-sguard-to-no-guard } hq2 \ \pi \ (?ms \ s2')$

**using**  $\Sigma'$ -*def* **by** *blast*

**then obtain**  $\sigma1' \ \sigma2'$  **where**  $\sigma1' \in start (s1, h1) \ \sigma2' \in start (s2, h2) (s1', h1') \in \Sigma \ \sigma1' (s2', h2') \in \Sigma \ \sigma2'$

**using** *end-qj-def* **by** *blast*

**then obtain**  $hj1 \ hj2$  **where**  $\text{ agrees } (- \{x\}) \ s1 \ (fst \ \sigma1') \ \text{ Some } (snd \ \sigma1') = \text{ Some } p1 \oplus \text{ Some } hj1 \ (fst \ \sigma1', hj1), (fst \ \sigma1', hj1) \models ?J$   
 $\text{ agrees } (- \{x\}) \ s2 \ (fst \ \sigma2') \ \text{ Some } (snd \ \sigma2') = \text{ Some } p2 \oplus \text{ Some } hj2 \ (fst \ \sigma2', hj2), (fst \ \sigma2', hj2) \models ?J$

**using** *start-def*  $\langle \text{remove-guards } h1 = p1 \rangle \langle \text{remove-guards } h2 = p2 \rangle$  **by** *force*

**moreover have**  $(fst \ \sigma1', hj1), (fst \ \sigma2', hj2) \models ?J$

**using** *calculation(3)* *calculation(6)* *unaries unaryE* **by** *blast*

**moreover have**  $(fst \ \sigma1', p1), (fst \ \sigma2', p2) \models P$

```

proof –
  have  $fvA\ P \subseteq -\ \{x\}$ 
    using assms(5) by force
  then have  $agrees\ (fvA\ P)\ (fst\ \sigma 1')\ s1 \wedge agrees\ (fvA\ P)\ (fst\ \sigma 2')\ s2$ 
    using calculation(1) calculation(4)
    by (metis agrees-comm agrees-union subset-Un-eq)
  then show ?thesis using r0(3)
    by (meson agrees-same sat-comm)
qed

  ultimately have  $\sigma 1', \sigma 2' \models Star\ P\ ?J$  using hyper-sat.simps(4) [of fst \sigma 1'
snd \sigma 1' fst \sigma 2' snd \sigma 2'] prod.collapse
    by metis
  then have  $pair\text{-}sat\ (\Sigma\ \sigma 1')\ (\Sigma\ \sigma 2')\ (Star\ Q\ ?J')$ 
    using asm0(2) [of \sigma 1' \sigma 2'] by blast
  then have  $\langle s1', h1' \rangle, \langle s2', h2' \rangle \models Star\ Q\ ?J'$ 
    using  $\langle s1', h1' \rangle \in \Sigma\ \sigma 1' \wedge \langle s2', h2' \rangle \in \Sigma\ \sigma 2'$  pair-sat-def by blast
  moreover have  $\langle s1', hj1' \rangle, \langle s2', hj2' \rangle \models ?J'$ 
    using r(3) r(6) unaries unaryE by blast
  moreover have  $\langle s1', hq1 \rangle, \langle s2', hq2 \rangle \models Q$  using magic-lemma
    using calculation(1) calculation(2) precises r(2) r(5) by blast
  moreover have  $no\text{-}guard\ hq1 \wedge no\text{-}guard\ hq2$ 
    using assms(11) calculation(3) no-guard-assertion-def by blast
  ultimately show  $\langle s1', hqg1 \rangle, \langle s2', hqg2 \rangle \models Star\ Q\ ?G'$ 
    using no-guard-then-sat-star r(7) r(8)
    by (metis (mono-tags, lifting))
qed
  then show  $pair\text{-}sat\ (? \Sigma'\ (s1, h1))\ (? \Sigma'\ (s2, h2))\ (Star\ Q\ ?G')$ 
proof (rule pair-sat-close-var-double)
  show  $x \notin fvA\ (Star\ Q\ (SharedGuard\ \pi\ (\lambda s. \{\#map\text{-}to\text{-}arg\ (s\ sarg)\ \#\} +$ 
map-to-multiset\ (s\ ms)))))
    using assms(5) assms(9) by auto
qed
qed

fix pre-s h k
assume  $(pre\text{-}s, h), (pre\text{-}s, h) \models Star\ P\ ?G$ 
then obtain pp gg where  $Some\ h = Some\ pp \oplus Some\ gg\ (pre\text{-}s, pp), (pre\text{-}s,$ 
pp) \models P\ (pre\text{-}s, gg), (pre\text{-}s, gg) \models ?G
    using always-sat-refl hyper-sat.simps(4) by blast
then have  $remove\text{-}guards\ h = pp$ 
by (meson assms(10) hyper-sat.simps(12) no-guard-and-no-heap no-guard-assertion-def)
then have  $(pre\text{-}s, remove\text{-}guards\ h), (pre\text{-}s, remove\text{-}guards\ h) \models P$ 
    using  $\langle pre\text{-}s, pp \rangle, \langle pre\text{-}s, pp \rangle \models P$  hyper-sat.simps(9) by blast
then have  $(pre\text{-}s, remove\text{-}guards\ h), (pre\text{-}s, remove\text{-}guards\ h) \models P$ 
by (simp add: no-guard-remove-guards)

show  $safe\ k\ (Some\ \Gamma)\ (Catomic\ C)\ (pre\text{-}s, h)\ (? \Sigma'\ (pre\text{-}s, h))$ 
proof (cases k)

```

```

case (Suc n)
moreover have safe (Suc n) (Some  $\Gamma$ ) (Catomic C) (pre-s, h) (? $\Sigma'$  (pre-s,
h))
proof (rule safeSomeAltI)
  show Catomic C = Cskip  $\implies$  (pre-s, h)  $\in$  ? $\Sigma'$  (pre-s, h) by simp

  fix H hf hj v0

  assume asm2: Some H = Some h  $\oplus$  Some hj  $\oplus$  Some hf  $\wedge$  full-ownership
(get-fh H)  $\wedge$  semi-consistent  $\Gamma$  v0 H  $\wedge$  sat-inv pre-s hj  $\Gamma$ 

  define v where v = f (normalize (get-fh H))
  define s where s = pre-s(x := v)
  then have v = s x by simp
  moreover have agreements: agrees (fvC C  $\cup$  fvA P  $\cup$  fvA Q  $\cup$  fvA J  $\cup$ 
fvA (SharedGuard  $\pi$  ( $\lambda$ s. map-to-multiset (s ms)))) s pre-s
    by (metis (mono-tags, lifting) Un-iff agrees-def assms(5) assms(8)
fun-upd-other fvA.simps(8) s-def)
  then have asm1: (s, h), (s, h)  $\models$  Star P ?G
— 10s
  by (metis (mono-tags, lifting)  $\langle$ (pre-s, h), (pre-s, h)  $\models$  Star P (SharedGuard
 $\pi$  ( $\lambda$ s. map-to-multiset (s ms))) $\rangle$  agrees-same agrees-union fvA.simps(3) fvA.simps(8)
sat-comm)
  moreover have asm2-bis: sat-inv s hj  $\Gamma$ 
  proof (rule sat-inv-agrees)
    show sat-inv pre-s hj  $\Gamma$  using asm2 by simp
    show agrees (fvA (invariant  $\Gamma$ )) pre-s s
      using assms(1) assms(5) s-def
      by (simp add: agrees-update)
  qed
  moreover have (s, remove-guards h), (s, remove-guards h)  $\models$  P
    by (meson  $\langle$ (pre-s, remove-guards h), (pre-s, remove-guards h)  $\models$  P $\rangle$ 
agreements agrees-same agrees-union always-sat-refl)
  then have (s, remove-guards h), (s, remove-guards h)  $\models$  P
    by (simp add: no-guard-remove-guards)

  moreover have agrees ( $-$  {x}) pre-s s
  proof (rule agreesI)
    fix y assume y  $\in$   $-$  {x}
    then have y  $\neq$  x
      by force
    then show pre-s y = s y
      by (simp add: s-def)
  qed

  moreover obtain (s, pp), (s, pp)  $\models$  P (s, gg), (s, gg)  $\models$  ?G
    using  $\langle$ (pre-s, gg), (pre-s, gg)  $\models$  SharedGuard  $\pi$  ( $\lambda$ s. map-to-multiset (s
ms)) $\rangle$   $\langle$ remove-guards h = pp $\rangle$  agreements agrees-same agrees-union always-sat-refl-aux
calculation(4) by blast

```

```

let ?hf = remove-guards hf
let ?H = remove-guards H
let ?h = remove-guards h

obtain hhj where Some hhj = Some h  $\oplus$  Some hj
  by (metis asm2 plus.simps(2) plus.simps(3) plus-comm)
then have Some H = Some hhj  $\oplus$  Some hf
  using asm2 by presburger
then have Some (remove-guards hhj) = Some ?h  $\oplus$  Some hj
  by (metis ‹Some hhj = Some h  $\oplus$  Some hj› asm2 no-guards-remove
remove-guards-sum sat-inv-def)

moreover have f (normalize (get-fh hj)) = v
proof –
  have view  $\Gamma$  (normalize (get-fh hj)) = view  $\Gamma$  (normalize (get-fh H))
  using assms(4) view-function-of-invE
  by (metis (no-types, opaque-lifting) ‹Some hhj = Some h  $\oplus$  Some hj›
asm2 larger-def larger-trans plus-comm)
  then show ?thesis using assms(1) v-def by fastforce
qed

then have (s, hj), (s, hj)  $\models$  ?J
  by (metis ‹v = s x› asm2-bis assms(1) hyper-sat.simps(11) sat-inv-def
select-convs(5))

ultimately have (s, remove-guards hhj), (s, remove-guards hhj)  $\models$  Star P
?J
using ‹(s, remove-guards h), (s, remove-guards h)  $\models$  P› hyper-sat.simps(4)
by blast
moreover have bounded hhj
apply (rule bounded-smaller-sum[OF - ‹Some H = Some hhj  $\oplus$  Some hf›])
by (metis asm2 full-ownership-then-bounded get-fh.simps)

ultimately have all-safes:  $\bigwedge n$ . safe n (None :: ('i, 'a, nat) cont) C (s,
remove-guards hhj) ( $\Sigma$  (s, remove-guards hhj))
  using asm0(1) unfolding bounded-def remove-guards-def by simp
  then have  $\bigwedge \sigma 1$  H1  $\sigma 2$  H2 s2 C2. red-rtrans C  $\sigma 1$  C2  $\sigma 2 \implies \sigma 1 = (s,$ 
H1)  $\implies \sigma 2 = (s2, H2) \implies$ 
    ?H = denormalize H1  $\implies$ 
     $\neg$  aborts C2  $\sigma 2 \wedge (C2 = Cskip \longrightarrow (\exists h1$  H'. Some H' = Some h1  $\oplus$  Some ?hf
 $\wedge$  H2 = FractionalHeap.normalize (get-fh H')
 $\wedge$  no-guard H'  $\wedge$  full-ownership (get-fh H')  $\wedge (s2, h1) \in \Sigma (s, \text{remove-guards}$ 
hhj)))
proof –
  fix  $\sigma 1$  H1  $\sigma 2$  H2 s2 C2
  assume ?H = denormalize H1
  assume red-rtrans C  $\sigma 1$  C2  $\sigma 2$   $\sigma 1 = (s, H1)$   $\sigma 2 = (s2, H2)$ 

```

**then show**  $\neg \text{aborts } C2 \ \sigma2 \wedge$   
 $(C2 = Cskip \longrightarrow$   
 $(\exists h1 \ H'.$   
 $\text{Some } H' = \text{Some } h1 \oplus \text{Some } (\text{remove-guards } hf) \wedge$   
 $H2 = \text{FractionalHeap.normalize } (\text{get-fh } H') \wedge \text{no-guard } H' \wedge \text{full-ownership}$   
 $(\text{get-fh } H') \wedge (s2, h1) \in \Sigma (s, \text{remove-guards } hhj)))$   
**using** *all-safes*  
**proof** (*rule safe-atomic*)  
**show**  $?H = \text{denormalize } H1$  **using**  $\langle ?H = \text{denormalize } H1 \rangle$  **by** *simp*  
**show**  $\text{Some } ?H = \text{Some } (\text{remove-guards } hhj) \oplus \text{Some } ?hf$   
**using**  $\langle \text{Some } H = \text{Some } hhj \oplus \text{Some } hf \rangle$  *remove-guards-sum* **by** *blast*  
**show**  $\text{full-ownership } (\text{get-fh } (\text{remove-guards } H)) \wedge \text{no-guard } (\text{remove-guards}$   
 $H)$   
**by** (*metis asm2 get-fh-remove-guards no-guard-remove-guards*)  
**qed**  
**qed**  
**moreover have**  $?H = \text{denormalize } (\text{normalize } (\text{get-fh } H))$   
**by** (*metis asm2 denormalize-properties(5)*)  
**ultimately have** *safe-atomic-simplified*:  $\bigwedge \sigma2 \ H2 \ s2 \ C2. \text{red-rtrans } C (s,$   
 $\text{normalize } (\text{get-fh } H)) \ C2 \ \sigma2$   
 $\implies \sigma2 = (s2, H2) \implies \neg \text{aborts } C2 \ \sigma2 \wedge (C2 = Cskip \longrightarrow (\exists h1 \ H'. \text{Some}$   
 $H' = \text{Some } h1 \oplus \text{Some } ?hf \wedge H2 = \text{FractionalHeap.normalize } (\text{get-fh } H')$   
 $\wedge \text{no-guard } H' \wedge \text{full-ownership } (\text{get-fh } H') \wedge (s2, h1) \in \Sigma (s, \text{remove-guards}$   
 $hhj)))$   
**by** *presburger*  
  
**have**  $\neg \text{aborts } (\text{Catomic } C) (s, \text{normalize } (\text{get-fh } H))$   
**proof** (*rule ccontr*)  
**assume**  $\neg \neg \text{aborts } (\text{Catomic } C) (s, \text{normalize } (\text{get-fh } H))$   
**then obtain**  $C' \ \sigma'$  **where** *asm3*:  $\text{red-rtrans } C (s, \text{FractionalHeap.normalize}$   
 $(\text{get-fh } H)) \ C' \ \sigma'$   
 $\text{aborts } C' \ \sigma'$   
**using** *abort-atomic-cases* **by** *blast*  
**then have**  $\neg \text{aborts } C' \ \sigma'$  **using** *safe-atomic-simplified*[*of*  $C' \ \sigma'$  *fst*  $\sigma'$  *snd*  
 $\sigma'$ ] **by** *simp*  
**then show** *False* **using** *asm3(2)* **by** *simp*  
**qed**  
**then show**  $\neg \text{aborts } (\text{Catomic } C) (\text{pre-s}, \text{normalize } (\text{get-fh } H))$   
**by** (*metis agreements aborts-agrees agrees-comm agrees-union fst-eqD*  
 $\text{fvC.simps}(11) \ \text{snd-conv}$ )  
  
**fix**  $C' \ \text{pre-s}' \ h'$   
**assume**  $\text{red } (\text{Catomic } C) (\text{pre-s}, \text{FractionalHeap.normalize } (\text{get-fh } H)) \ C'$   
 $(\text{pre-s}', h')$   
**then obtain**  $s'$  **where**  $\text{red } (\text{Catomic } C) (s, \text{FractionalHeap.normalize } (\text{get-fh}$   
 $H)) \ C' (s', h')$   
 $\text{agrees } (- \ \{x\}) \ s' \ \text{pre-s}'$   
**by** (*metis (no-types, lifting) UnI1*  $\langle \text{agrees } (- \ \{x\}) \ \text{pre-s } s \rangle$  *agrees-comm*)



*assms(5) fst-eqD fvC.simps(11) red-agrees snd-conv subset-Compl-singleton)*

**then obtain**  $h1$   $H'$  **where** *asm3: Some  $H' = \text{Some } h1 \oplus \text{Some } (\text{remove-guards } hf)$   $C' = \text{Cskip}$*

*$h' = \text{FractionalHeap.normalize } (\text{get-fh } H')$   $\text{no-guard } H' \wedge \text{full-ownership } (\text{get-fh } H') (s', h1) \in \Sigma (s, \text{remove-guards } hhj)$*

**using** *safe-atomic-simplified[of  $C' (s', h')$   $s' h'$ ] by (metis red-atomic-cases)*

**moreover have**  $s x = s' x \wedge s \text{ sarg} = s' \text{ sarg} \wedge s \text{ ms} = s' \text{ ms}$  **using** *red-not-in-fv-not-touched*

**using**  $\langle \text{red } (\text{Catomic } C) (s, \text{FractionalHeap.normalize } (\text{get-fh } H)) C' (s', h') \rangle$

**by** *(metis UnI1 assms(5) assms(6) assms(7) fst-eqD fvC.simps(11))*

**have**  $\exists hq' hj'. \text{Some } h1 = \text{Some } hq' \oplus \text{Some } hj' \wedge (s', \text{add-sguard-to-no-guard } hq' \pi (?ms s')) \in \Sigma' (\text{pre-s}, h)$

$\wedge \text{sat-inv } s' hj' \Gamma \wedge f (\text{normalize } (\text{get-fh } hj')) = \text{sact } v (\text{map-to-arg } (s' \text{ sarg}))$

**proof** –

**have** *pair-sat*  $(\Sigma (s, \text{remove-guards } hhj)) (\Sigma (s, \text{remove-guards } hhj)) (\text{Star } Q ?J')$

**using** *asm0(2)[of  $(s, \text{remove-guards } hhj) (s, \text{remove-guards } hhj)$ ]*

**using**  $\langle (s, \text{remove-guards } hhj), (s, \text{remove-guards } hhj) \models \text{Star } P ?J \rangle$  **by**

*blast*

**then have**  $(s', h1), (s', h1) \models \text{Star } Q ?J'$

**using** *asm3(5) pair-sat-def by blast*

**then obtain**  $hq' hj'$  **where**  $\text{Some } h1 = \text{Some } hq' \oplus \text{Some } hj' (s', hq'), (s', hq') \models Q (s', hj'), (s', hj') \models ?J'$

**using** *always-sat-refl hyper-sat.simps(4) by blast*

**then have** *no-guard*  $hj'$

**by** *(metis (no-types, opaque-lifting) calculation(1) calculation(4) no-guard-then-smaller-same plus-comm)*

**moreover have**  $f (\text{normalize } (\text{get-fh } hj')) = \text{sact } v (\text{map-to-arg } (s' \text{ sarg}))$

**using**  $\langle (s', hj'), (s', hj') \models \text{View } f J (\lambda s. \text{sact } (s x) (\text{map-to-arg } (s \text{ sarg}))) \rangle$   
 $\langle s x = s' x \wedge s \text{ sarg} = s' \text{ sarg} \wedge s \text{ ms} = s' \text{ ms} \rangle \langle v = s x \rangle$  **by** *fastforce*

**moreover have**  $(s, \text{remove-guards } hhj) \in \text{start } (\text{pre-s}, h)$

**proof** –

**have**  $\text{Some } (\text{remove-guards } hhj) = \text{Some } ?h \oplus \text{Some } hj$

**using**  $\langle \text{Some } (\text{remove-guards } hhj) = \text{Some } (\text{remove-guards } h) \oplus \text{Some } hj \rangle$  **by** *blast*

**moreover have**  $(s, hj), (s, hj) \models ?J$

**using**  $\langle (s, hj), (s, hj) \models ?J \rangle$  **by** *fastforce*

**ultimately show** *?thesis using start-def*

**using**  $\langle \text{agrees } (- \{x\}) \text{ pre-s } s \rangle$  **by** *fastforce*

**qed**

**then have**  $(s', h1) \in \text{end-qj } (\text{pre-s}, h)$

**using**  $\langle \text{end-qj} \equiv \lambda \sigma. \bigcup (\Sigma ' \text{start } \sigma) \rangle$  *asm3(5) by blast*

**then have**  $(s', \text{add-sguard-to-no-guard } hq' \pi (?ms s')) \in \Sigma' (\text{pre-s}, h)$

**using**  $\Sigma'$ -def  $\langle (s', hj'), (s', hj') \models ?J' \rangle \langle \text{Some } h1 = \text{Some } hq' \oplus \text{Some } hj \rangle$

$hj'$  by *blast*  
**ultimately show**  $\exists hq' hj'$ .  
*Some*  $h1 = \text{Some } hq' \oplus \text{Some } hj' \wedge$   
 $(s', \text{add-sguard-to-no-guard } hq' \pi (\{\#\text{map-to-arg } (s' \text{ sarg})\#\} + \text{map-to-multiset}$   
 $(s' \text{ ms}))) \in \Sigma' (\text{pre-s}, h) \wedge$   
 $\text{sat-inv } s' hj' \Gamma \wedge f (\text{FractionalHeap.normalize } (\text{get-fh } hj')) = \text{sact } v (\text{map-to-arg}$   
 $(s' \text{ sarg}))$   
**using**  $\langle (s', hj'), (s', hj') \models \text{View } f J (\lambda s. \text{sact } (s \ x) (\text{map-to-arg } (s \ \text{sarg}))) \rangle$   
 $\langle \text{Some } h1 = \text{Some } hq' \oplus \text{Some } hj' \rangle \text{ assms}(1) \text{ sat-inv-def}$  by *fastforce*  
**qed**  
**then obtain**  $hq' hj'$  **where** *Some*  $h1 = \text{Some } hq' \oplus \text{Some } hj' (s',$   
 $\text{add-sguard-to-no-guard } hq' \pi (?ms \ s')) \in \Sigma' (\text{pre-s}, h) \text{ sat-inv } s' hj' \Gamma$   
 $f (\text{FractionalHeap.normalize } (\text{get-fh } hj')) = \text{sact } v (\text{map-to-arg } (s' \ \text{sarg}))$   
**by** *blast*  
**then have** *safe*  $n (\text{Some } \Gamma) C' (s', \text{add-sguard-to-no-guard } hq' \pi (?ms \ s'))$   
 $(\Sigma' (\text{pre-s}, h))$   
**using** *asm3*(2) *safe-skip* by *blast*  
  
**moreover have**  $\exists H''$ . *semi-consistent*  $\Gamma \ v0 \ H'' \wedge \text{Some } H'' = \text{Some}$   
 $(\text{add-sguard-to-no-guard } hq' \pi (?ms \ s')) \oplus \text{Some } hj' \oplus \text{Some } hf$   
**proof** –  
**have** *Some*  $(\text{add-sguard-to-no-guard } hq' \pi (?ms \ s')) = \text{Some } hq' \oplus \text{Some}$   
 $(\text{Map.empty}, \text{Some } (\pi, ?ms \ s'), (\lambda-. \ \text{None}))$   
**using**  $\langle \text{Some } h1 = \text{Some } hq' \oplus \text{Some } hj' \rangle \text{ add-sguard-as-sum } \text{asm3}(1)$   
 $\text{asm3}(4) \text{ no-guard-then-smaller-same}$  by *blast*  
  
**obtain**  $hhf$  **where** *Some*  $hhf = \text{Some } h \oplus \text{Some } hf$   
**by** (*metis* (*no-types*, *opaque-lifting*)  $\langle \text{Some } H = \text{Some } hhj \oplus \text{Some}$   
 $hf \rangle \langle \text{Some } hhj = \text{Some } h \oplus \text{Some } hj \rangle \text{ option.exhaust-sel plus.simps}(1) \text{ plus-asso}$   
 $\text{plus-comm}$ )  
**then have** *all-guards*  $hhf$   
**by** (*metis* (*no-types*, *lifting*) *all-guards-no-guard-propagates* *asm2* *plus-asso*  
*plus-comm* *sat-inv-def* *semi-consistent-def*)  
  
**moreover have** *get-gu*  $h = (\lambda-. \ \text{None}) \wedge \text{get-gs } h = \text{Some } (\pi, ?pre-ms \ s)$   
**proof** –  
**have** *no-guard*  $pp$   
**using**  $\langle (\text{pre-s}, pp), (\text{pre-s}, pp) \models P \rangle \text{ assms}(10) \text{ no-guard-assertion-def}$   
**by** *blast*  
**then show** *thesis*  
**by** (*metis*  $\langle \text{Some } h = \text{Some } pp \oplus \text{Some } gg \rangle \langle \wedge \text{thesis. } (\llbracket (s, pp), (s, pp) \models$   
 $P; (s, gg), (s, gg) \models \text{SharedGuard } \pi (\lambda s. \text{map-to-multiset } (s \ \text{ms})) \rrbracket \implies \text{thesis} \implies$   
 $\text{thesis} \rangle \langle \text{remove-guards } h = pp \rangle \text{ decompose-heap-triple fst-conv hyper-sat.simps}(12)$   
 $\text{no-guard-remove}(2) \text{ plus-comm remove-guards-def snd-conv sum-gs-one-none}$ )  
**qed**  
**then have**  $\exists \pi' \text{ msf } \text{uargs. } (\forall k. \text{get-gu } hf \ k = \text{Some } (\text{uargs } k)) \wedge$   
 $(\pi = \text{pwrite} \wedge \text{get-gs } hf = \text{None} \wedge \text{msf} = \{\#\} \vee \text{pwrite} = \text{padd } \pi \ \pi'$   
 $\wedge \text{get-gs } hf = \text{Some } (\pi', \text{msf}))$

```

using all-guards-sum-known-one[of hhf h hf  $\pi$ ]
using  $\langle \text{Some } hhf = \text{Some } h \oplus \text{Some } hf \rangle$  calculation by fastforce

then obtain  $\pi' \text{ uargs } msf$  where  $(\forall k. \text{get-gu } hf \ k = \text{Some } (\text{uargs } k)) \wedge$ 
 $((\pi = \text{pwrite} \wedge \text{get-gs } hf = \text{None} \wedge msf = \{\#\}) \vee (\text{pwrite} = \text{padd } \pi \ \pi' \wedge \text{get-gs}$ 
 $hf = \text{Some } (\pi', msf)))$ 
by blast

then obtain ghf where ghf-def:  $\text{Some } hf = \text{Some } (\text{remove-guards } hf) \oplus$ 
 $\text{Some } ghf$ 
get-fh ghf = Map.empty  $(\pi = \text{pwrite} \wedge \text{get-gs } ghf = \text{None} \wedge msf = \{\#\})$ 
 $\vee (\text{padd } \pi \ \pi' = \text{pwrite} \wedge \text{get-gs } ghf = \text{Some } (\pi', msf))$ 
 $\wedge i. \text{get-gu } ghf \ i = \text{Some } (\text{uargs } i)$ 
using decompose-guard-remove-easy[of hf]
by  $(\text{metis } \text{fst-conv } \text{get-fh.elims } \text{get-gs.elims } \text{get-gu.simps } \text{snd-conv})$ 

have  $(\text{Map.empty}, \text{Some } (\pi, ?ms \ s'), (\lambda-. \text{None})) \#\# \ i$ 
proof (rule compatibleI)
show compatible-fract-heaps  $(\text{get-fh } (\text{Map.empty}, \text{Some } (\pi, ?ms \ s'), (\lambda-. \text{None})))$ 
 $(\text{get-fh } i)$ 
using compatible-fract-heapsI by fastforce
show  $\wedge k. \text{get-gu } (\text{Map.empty}, \text{Some } (\pi, \{\#\text{map-to-arg } (s' \ \text{sarg})\}\#) +$ 
 $\text{map-to-multiset } (s' \ ms)), \text{Map.empty}) \ k = \text{None} \vee \text{get-gu } i \ k = \text{None}$ 
by simp
fix  $p \ p'$ 
assume  $\text{get-gs } (\text{Map.empty}, \text{Some } (\pi, \{\#\text{map-to-arg } (s' \ \text{sarg})\}\#) +$ 
 $\text{map-to-multiset } (s' \ ms)), \text{Map.empty}) = \text{Some } p \wedge \text{get-gs } i = \text{Some } p'$ 
then have  $p = (\pi, ?ms \ s') \wedge p' = (\pi', msf) \wedge \text{padd } \pi \ \pi' = \text{pwrite}$ 
using ghf-def by auto
then show pgte pwrite  $(\text{padd } (\text{fst } p) \ (\text{fst } p'))$ 
using not-pgte-charact pgt-implies-pgte by auto
qed
then obtain  $g$  where g-def:  $\text{Some } g = \text{Some } (\text{Map.empty}, \text{Some } (\pi, ?ms$ 
 $s'), (\lambda-. \text{None})) \oplus \text{Some } i$ 
by simp
moreover have  $H' \#\# \ g$ 
proof (rule compatibleI)
have get-fh  $g = \text{add-fh } \text{Map.empty } \text{Map.empty}$  using add-get-fh[of  $g$ 
 $(\text{Map.empty}, \text{Some } (\pi, ?ms \ s'), (\lambda-. \text{None})) \ i$ ]
 $g\text{-def } \langle \text{get-fh } i = \text{Map.empty} \rangle$ 
by fastforce
then have get-fh  $g = \text{Map.empty}$ 
using add-fh-map-empty by auto
then show compatible-fract-heaps  $(\text{get-fh } H') \ (\text{get-fh } g)$ 
using compatible-fract-heapsI by force
show  $\wedge k. \text{get-gu } H' \ k = \text{None} \vee \text{get-gu } g \ k = \text{None}$ 
by  $(\text{meson } \text{asm3}(4) \ \text{no-guard-def})$ 
show  $\wedge p \ p'. \text{get-gs } H' = \text{Some } p \wedge \text{get-gs } g = \text{Some } p' \implies \text{pgte } \text{pwrite}$ 

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(padd (fst p) (fst p'))  

  by (metis asm3(4) no-guard-def option.simps(3))  

qed  

then obtain  $H''$  where  $\text{Some } H'' = \text{Some } H' \oplus \text{Some } g$   

  by simp  

then have  $\text{Some } H'' = \text{Some } (\text{add-sguard-to-no-guard } hq' \pi \ (?ms \ s^{\wedge})) \oplus$   

 $\text{Some } hj' \oplus \text{Some } hf$   

proof –  

  have  $\text{Some } H'' = \text{Some } h1 \oplus \text{Some } g \oplus \text{Some } (\text{remove-guards } hf)$   

  by (metis  $\langle \text{Some } H'' = \text{Some } H' \oplus \text{Some } g \rangle$  asm3(1) plus-comm  

simpler-asso)  

moreover have  $\text{Some } (\text{add-sguard-to-no-guard } hq' \pi \ (?ms \ s')) = \text{Some}$   

 $hq' \oplus \text{Some } (\text{Map.empty}, \text{Some } (\pi, \ ?ms \ s'), (\lambda-. \ \text{None}))$   

using  $\langle \text{Some } (\text{add-sguard-to-no-guard } hq' \pi \ (\{\# \text{map-to-arg } (s'$   

 $\text{sarg})\# \} + \text{map-to-multiset } (s' \ ms))) = \text{Some } hq' \oplus \text{Some } (\text{Map.empty}, \text{Some } (\pi,$   

 $\{\# \text{map-to-arg } (s' \ \text{sarg})\# \} + \text{map-to-multiset } (s' \ ms)), (\lambda-. \ \text{None})) \rangle$  by blast  

ultimately show ?thesis  

by (metis (no-types, lifting)  $\langle \text{Some } h1 = \text{Some } hq' \oplus \text{Some } hj' \rangle$  g-def  

ghf-def(1) plus-comm simpler-asso)  

qed


```

```

moreover have semi-consistent  $\Gamma \ v0 \ H''$   

proof (rule semi-consistentI)  

  have get-gs  $g = \text{Some } (\text{pwrite}, \ ?ms \ s' + \ msf)$   

proof (cases  $\pi = \text{pwrite}$ )  

  case True  

  then have  $\pi = \text{pwrite} \wedge \text{get-gs } ghf = \text{None} \wedge \text{msf} = \{\#\}$  using  

ghf-def(3)  

  by (metis not-pgte-charact pgt-implies-pgte sum-larger)  

then show ?thesis  

  by (metis add.right-neutral fst-conv g-def get-gs.simps snd-conv  

sum-gs-one-none)  

next  

  case False  

then have  $\text{padd } \pi \ \pi' = \text{pwrite} \wedge \text{get-gs } ghf = \text{Some } (\pi', \ msf)$   

using ghf-def(3) by blast  

then show ?thesis  

by (metis calculation(2) fst-conv get-gs.elims snd-conv sum-gs-one-some)  

qed
```

```

moreover have  $\bigwedge i. \text{get-gu } g \ i = \text{Some } (\text{uargs } i)$   

by (metis full-uguard-sum-same ghf-def(4) g-def plus-comm)  

ultimately have all-guards  $g$   

using all-guards-def by blast  

then show all-guards  $H''$   

by (metis  $\langle \text{Some } H'' = \text{Some } H' \oplus \text{Some } g \rangle$  all-guards-same plus-comm)  

show reachable  $\Gamma \ v0 \ H''$ 
```

**proof** (*rule reachableI*)  
**fix** *sargs uargs'*  
**assume**  $get\text{-}gs\ H'' = Some\ (pwrite, sargs) \wedge (\forall k. get\text{-}gu\ H''\ k = Some\ (uargs'\ k))$   
**then have**  $sargs = ?ms\ s' + msf$   
**by** (*metis* (*no-types, opaque-lifting*)  $\langle Some\ H'' = Some\ H' \oplus Some\ g \rangle$   $\langle get\text{-}gs\ g = Some\ (pwrite, \{\#map\text{-}to\text{-}arg\ (s'\ sarg)\ \# \} + map\text{-}to\text{-}multiset\ (s'\ ms) + msf \rangle$  *asm3*(4) *no-guard-remove*(1) *option.inject plus-comm snd-conv*)  
**moreover have**  $uargs = uargs'$   
**apply** (*rule ext*)  
**by** (*metis*  $\langle Some\ H'' = Some\ H' \oplus Some\ g \rangle$   $\langle \bigwedge i. get\text{-}gu\ g\ i = Some\ (uargs\ i) \rangle$   $\langle get\text{-}gs\ H'' = Some\ (pwrite, sargs) \wedge (\forall k. get\text{-}gu\ H''\ k = Some\ (uargs'\ k)) \rangle$  *asm3*(4) *no-guard-remove*(2) *option.sel plus-comm*)  
**moreover have**  $view\ \Gamma\ (FractionalHeap.normalize\ (get\text{-}fh\ hj')) = view\ \Gamma\ (FractionalHeap.normalize\ (get\text{-}fh\ H''))$   
**using** *assms*(4)  $\langle sat\text{-}inv\ s'\ hj'\ \Gamma \rangle$   
**proof** (*rule view-function-of-invE*)  
**show**  $H'' \succeq hj'$   
**by** (*metis* (*no-types, opaque-lifting*)  $\langle Some\ H'' = Some\ H' \oplus Some\ g \rangle$   $\langle Some\ h1 = Some\ hq' \oplus Some\ hj' \rangle$  *asm3*(1) *larger-def larger-trans plus-comm*)  
**qed**  
**moreover have**  $reachable\text{-}value\ (saction\ \Gamma)\ (uaction\ \Gamma)\ v0\ (?ms\ s' + msf)\ uargs\ (sact\ v\ (map\text{-}to\text{-}arg\ (s'\ sarg)))$   
**proof** –  
  
**have**  $reachable\text{-}value\ (saction\ \Gamma)\ (uaction\ \Gamma)\ v0\ (?pre\text{-}ms\ s + msf)\ uargs\ (view\ \Gamma\ (FractionalHeap.normalize\ (get\text{-}fh\ H)))$   
**proof** –  
**have**  $reachable\ \Gamma\ v0\ H$   
**by** (*meson asm2 semi-consistent-def*)  
**moreover have**  $get\text{-}gs\ H = Some\ (pwrite, ?pre\text{-}ms\ s + msf) \wedge (\forall k. get\text{-}gu\ H\ k = Some\ (uargs\ k))$   
**proof** (*rule conjI*)  
**show**  $\forall k. get\text{-}gu\ H\ k = Some\ (uargs\ k)$   
**by** (*metis*  $\langle Some\ H = Some\ hhj \oplus Some\ hf \rangle$  *full-uguard-sum-same ghf-def*(1) *ghf-def*(4) *plus-comm*)  
  
**moreover have**  $get\text{-}gs\ hhj = Some\ (\pi, ?pre\text{-}ms\ s)$   
**proof** –  
**have**  $get\text{-}gs\ hj = None$   
**using** *asm2 no-guard-def sat-inv-def* **by** *blast*  
**moreover have**  $get\text{-}gs\ h = Some\ (\pi, ?pre\text{-}ms\ s)$   
**using**  $\langle get\text{-}gu\ h = Map.empty \wedge get\text{-}gs\ h = Some\ (\pi, map\text{-}to\text{-}multiset\ (s\ ms)) \rangle$  **by** *blast*  
**ultimately show** *?thesis*  
**by** (*metis*  $\langle Some\ hhj = Some\ h \oplus Some\ hj \rangle$  *sum-gs-one-none*)  
**qed**  
**ultimately show**  $get\text{-}gs\ H = Some\ (pwrite, ?pre\text{-}ms\ s + msf)$   
**proof** (*cases*  $\pi = pwrite$ )

```

      case True
    then have  $\pi = pwrite \wedge get\text{-}gs\ ghf = None \wedge msf = \{\#\}$  using
ghf-def(3)
      by (metis not-pgte-charact pgt-implies-pgte sum-larger)
    then show ?thesis
      by (metis  $\langle Some\ H = Some\ hj \oplus Some\ hf \rangle \langle get\text{-}gs\ hj =$ 
Some  $(\pi, map\text{-}to\text{-}multiset\ (s\ ms)) \rangle add.\text{right-neutral full-sguard-sum-same}$ )
    next
      case False
    then have  $padd\ \pi\ \pi' = pwrite \wedge get\text{-}gs\ ghf = Some\ (\pi', msf)$ 
      using ghf-def(3) by blast
    then show ?thesis using  $\langle Some\ H = Some\ hj \oplus Some\ hf \rangle$ 
sum-gs-one-some ghf-def(1)
       $\langle get\text{-}gs\ hj = Some\ (\pi, ?pre\text{-}ms\ s) \rangle asm3(1)\ asm3(4)$ 
no-guard-remove(1)[of hf ghf remove-guards hf] no-guard-then-smaller-same plus-comm
      by metis
    qed
  qed
  ultimately show ?thesis
    by (meson reachableE)
  qed
  moreover have  $view\ \Gamma\ (FractionalHeap.normalize\ (get\text{-}fh\ hj)) = view$ 
 $\Gamma\ (FractionalHeap.normalize\ (get\text{-}fh\ H))$ 
    using assms(4)
  proof (rule view-function-of-invE)
    show  $sat\text{-}inv\ s\ hj\ \Gamma$ 
      by (simp add: asm2-bis)
    show  $H \succeq hj$ 
      by (metis (no-types, opaque-lifting)  $\langle Some\ H = Some\ hj \oplus Some$ 
 $hf \rangle \langle Some\ hj = Some\ h \oplus Some\ hj \rangle larger\text{-}def\ larger\text{-}trans\ plus\text{-}comm$ )
    qed
  ultimately have  $reachable\text{-}value\ (saction\ \Gamma)\ (uaction\ \Gamma)\ v0\ (?pre\text{-}ms$ 
 $s + msf)\ uargs\ v$ 
    using  $\langle f\ (FractionalHeap.normalize\ (get\text{-}fh\ hj)) = v \rangle assms(1)$  by
auto
  then show ?thesis
    using SharedStep assms(1)
    using  $\langle s\ x = s'\ x \wedge s\ sarg = s'\ sarg \wedge s\ ms = s'\ ms \rangle$  by fastforce
  qed
  ultimately show  $reachable\text{-}value\ (saction\ \Gamma)\ (uaction\ \Gamma)\ v0\ sargs$ 
 $uargs'\ (view\ \Gamma\ (FractionalHeap.normalize\ (get\text{-}fh\ H'')))$ 
    using  $\langle f\ (FractionalHeap.normalize\ (get\text{-}fh\ hj')) = sact\ v\ (map\text{-}to\text{-}arg$ 
 $(s'\ sarg)) \rangle assms(1)$  by force
  qed
  qed
  ultimately show  $\exists H''.\ semi\text{-}consistent\ \Gamma\ v0\ H'' \wedge Some\ H'' = Some$ 
 $(add\text{-}sguard\text{-}to\text{-}no\text{-}guard\ hq'\ \pi\ (\{\#\text{map}\text{-}to\text{-}arg\ (s'\ sarg)\#\} + map\text{-}to\text{-}multiset\ (s'$ 
 $ms))) \oplus Some\ hj' \oplus Some\ hf$ 
    by blast

```

**qed**  
**ultimately obtain**  $H''$  **where** *semi-consistent*  $\Gamma \ v0 \ H'' \wedge \text{Some } H'' =$   
*Some* (*add-sguard-to-no-guard*  $hq' \ \pi \ (?ms \ s')$ )  $\oplus$  *Some*  $hj' \oplus$  *Some*  $hf$   
 $\wedge$  *safe n* (*Some*  $\Gamma$ )  $C' \ (s', \text{add-sguard-to-no-guard } hq' \ \pi \ (?ms \ s')) \ (\Sigma'$   
*(pre-s, h)*) **by** *blast*  
**moreover have** *full-ownership* (*get-fh*  $H''$ )  $\wedge$   $h' = \text{FractionalHeap.normalize}$   
*(get-fh*  $H''$ )  
**proof** –  
**obtain**  $x$  **where** *Some*  $x = \text{Some}$  (*add-sguard-to-no-guard*  $hq' \ \pi \ (?ms \ s')$ )  
 $\oplus$  *Some*  $hj'$   
**by** (*metis calculation not-Some-eq plus.simps*(1))  
**then have** *get-fh*  $H'' = \text{add-fh}$  (*add-fh* (*get-fh* (*add-sguard-to-no-guard*  $hq'$   
 $\pi \ (?ms \ s')$ )) (*get-fh*  $hj'$ )) (*get-fh*  $hf$ )  
**by** (*metis add-get-fh calculation*)  
**moreover have** *get-fh* (*add-sguard-to-no-guard*  $hq' \ \pi \ (?ms \ s')$ ) = *get-fh*  
 $hq' \wedge$  *get-fh*  $hf = \text{get-fh}$  (*remove-guards*  $hf$ )  
**by** (*metis get-fh-add-sguard get-fh-remove-guards*)  
**ultimately show** *?thesis*  
**by** (*metis*  $\langle \text{Some } h1 = \text{Some } hq' \oplus \text{Some } hj' \rangle$  *add-get-fh* *asm3*(1) *asm3*(3)  
*asm3*(4))  
**qed**  
**moreover have** *sat-inv pre-s'*  $hj' \ \Gamma$   
**proof** (*rule sat-inv-agrees*)  
**show** *sat-inv s'*  $hj' \ \Gamma$   
**by** (*simp add:*  $\langle \text{sat-inv } s' \ hj' \ \Gamma \rangle$ )  
**show** *agrees* (*fvA* (*invariant*  $\Gamma$ ))  $s' \ \text{pre-s}'$   
**using** *UnCI*  $\langle \text{agrees} \ (- \ \{x\}) \ s' \ \text{pre-s}' \rangle$  *assms*(1) *assms*(5) *select-convs*(5)  
*subset-Compl-singleton*  
**by** (*metis* (*mono-tags, lifting*) *agrees-def in-mono*)  
**qed**  
**moreover have** *safe n* (*Some*  $\Gamma$ )  $C' \ (\text{pre-s}', \text{add-sguard-to-no-guard } hq' \ \pi$   
 $(?ms \ s')) \ (? \Sigma' \ (\text{pre-s}, h))$   
**proof** (*rule safe-free-vars-Some*)  
**show** *safe n* (*Some*  $\Gamma$ )  $C' \ (s', \text{add-sguard-to-no-guard } hq' \ \pi \ (?ms \ s')) \ (? \Sigma'$   
 $(\text{pre-s}, h))$   
**by** (*meson*  $\langle \text{safe n} \ (\text{Some } \Gamma) \ C' \ (s', \text{add-sguard-to-no-guard } hq' \ \pi$   
 $(\{\# \text{map-to-arg } (s' \ \text{sarg}) \# \} + \text{map-to-multiset } (s' \ ms)) \ (\Sigma' \ (\text{pre-s}, h)) \rangle$  *close-var-subset*  
*safe-larger-set*)  
**show** *agrees* (*fvC*  $C' \cup \ (- \ \{x\}) \cup$  *fvA* (*invariant*  $\Gamma$ ))  $s' \ \text{pre-s}'$   
**by** (*metis* *UnI2 Un-absorb1*  $\langle \text{agrees} \ (- \ \{x\}) \ s' \ \text{pre-s}' \rangle$  *asm3*(2) *assms*(1)  
*assms*(5) *empty-iff fvC.simps*(1) *inf-sup-aci*(5) *select-convs*(5) *subset-Compl-singleton*)  
**show** *upper-fvs* (*close-var* ( $\Sigma' \ (\text{pre-s}, h)$ )  $x$ )  $(- \ \{x\})$   
**by** (*simp add:* *upper-fvs-close-vars*)  
**qed**  
**ultimately show**  $\exists h'' \ H' \ hj'$ .  
*full-ownership* (*get-fh*  $H'$ )  $\wedge$   
*semi-consistent*  $\Gamma \ v0 \ H' \wedge$   
*sat-inv pre-s'*  $hj' \ \Gamma \wedge$   $h' = \text{FractionalHeap.normalize}$  (*get-fh*  $H'$ )  $\wedge$  *Some*  
 $H' = \text{Some } h'' \oplus \text{Some } hj' \oplus \text{Some } hf$

$\wedge \text{safe } n \text{ (Some } \Gamma \text{) } C' \text{ (pre-} s', h') \text{ (}\Sigma' \text{ (pre-} s, h) \text{)) using } \langle \text{sat-inv } s' \text{ hj' } \Gamma \rangle$   
**by** *blast*  
**qed** (*simp*)  
**ultimately show**  $\text{safe } k \text{ (Some } \Gamma \text{) (Catomic } C \text{) (pre-} s, h) \text{ (}\Sigma' \text{ (pre-} s, h) \text{))}$   
**by** *blast*  
**qed** (*simp*)  
**qed**  
**qed**

#### 4.4.8 Parallel

**lemma** *par-cases*:

**assumes**  $\text{red (Cpar } C1 \ C2) \sigma \ C' \ \sigma'$   
**and**  $\bigwedge C1'. C' = \text{Cpar } C1' \ C2 \wedge \text{red } C1 \ \sigma \ C1' \ \sigma' \implies P$   
**and**  $\bigwedge C2'. C' = \text{Cpar } C1 \ C2' \wedge \text{red } C2 \ \sigma \ C2' \ \sigma' \implies P$   
**and**  $C1 = \text{Cskip} \wedge C2 = \text{Cskip} \wedge C' = \text{Cskip} \wedge \sigma = \sigma' \implies P$   
**shows**  $P$   
**using** *assms(1)*  
**apply** (*rule red.cases*)  
**apply** *blast+*  
**apply** (*simp add: assms(2)*)  
**apply** (*simp add: assms(3)*)  
**apply** (*simp add: assms(4)*)  
**apply** *blast+*  
**done**

**lemma** *no-abort-par*:

**assumes**  $\text{no-abort } \Gamma \ C1 \ s \ h$   
**and**  $\text{no-abort } \Gamma \ C2 \ s \ h$   
**and**  $\text{safe (Suc } n \text{) } \Delta \ C1 \ (s, h1) \ S1$   
**and**  $\text{safe (Suc } n \text{) } \Delta \ C2 \ (s, h2) \ S2$   
**and**  $\text{Some } h = \text{Some } h1 \oplus \text{Some } h2$   
**and**  $\text{bounded } h$   
**shows**  $\text{no-abort } \Gamma \ (\text{Cpar } C1 \ C2) \ s \ h \wedge \text{accesses } (\text{Cpar } C1 \ C2) \ s \subseteq \text{dom (fst } h)$   
 $\wedge \text{writes } (\text{Cpar } C1 \ C2) \ s \subseteq \text{fpdom (fst } h)$   
**proof** (*rule conjI*)  
**have**  $r: \text{accesses } C1 \ s \subseteq \text{dom (fst } h1) \wedge \text{accesses } C2 \ s \subseteq \text{dom (fst } h2) \wedge \text{writes } C1 \ s \subseteq \text{fpdom (fst } h1) \wedge \text{writes } C2 \ s \subseteq \text{fpdom (fst } h2)$   
**using** *assms(3-4)*  
**by** (*metis fst-eqD safeAccessesE snd-eqD*)  
**then have**  $\text{accesses } (\text{Cpar } C1 \ C2) \ s \subseteq \text{dom (fst } h)$   
**by** (*metis Un-mono accesses.simps(8) assms(5) dom-sum-two get-fh.elims*)  
**moreover have**  $\text{fpdom (fst } h1) \cup \text{fpdom (fst } h2) \subseteq \text{fpdom (fst } h)$   
**using** *assms(5) fpdom-dom-union assms(6)* **by** *blast*  
**then have**  $\text{writes } (\text{Cpar } C1 \ C2) \ s \subseteq \text{fpdom (fst } h)$   
**using**  $\langle \text{accesses } C1 \ s \subseteq \text{dom (fst } h1) \wedge \text{accesses } C2 \ s \subseteq \text{dom (fst } h2) \wedge \text{writes } C1 \ s \subseteq \text{fpdom (fst } h1) \wedge \text{writes } C2 \ s \subseteq \text{fpdom (fst } h2) \rangle$  *dual-order.trans* **by** *auto*  
**ultimately show**  $\text{accesses } (\text{Cpar } C1 \ C2) \ s \subseteq \text{dom (fst } h) \wedge \text{writes } (\text{Cpar } C1$



$C2) s \subseteq \text{fpdom}(\text{fst } h)$  **by** *simp*

**have**  $r: \text{accesses } C1\ s \cap \text{writes } C2\ s = \{\} \wedge \text{accesses } C2\ s \cap \text{writes } C1\ s = \{\}$   
**apply** (*rule conjI*)  
**using** *fpdom-dom-disjoint[OF assms(5)] r*  
**apply** *blast*  
**using** *assms(5) fpdom-dom-disjoint[of h h2 h1] r plus-comm[of Some h1 Some h2]*  
**by** *force*

**show** *no-abort*  $\Gamma (Cpar\ C1\ C2)\ s\ h$

**proof** (*rule no-abortI*)

**show**  $\bigwedge hf\ H.$

$Some\ H = Some\ h \oplus Some\ hf \wedge \Gamma = None \wedge \text{full-ownership}(\text{get-fh } H) \wedge \text{no-guard } H \implies$

$\neg \text{aborts}(Cpar\ C1\ C2)(s, \text{FractionalHeap.normalize}(\text{get-fh } H))$

**proof**  $-$

**fix**  $hf\ H$  **assume** *asm0*:  $Some\ H = Some\ h \oplus Some\ hf \wedge \Gamma = None \wedge \text{full-ownership}(\text{get-fh } H) \wedge \text{no-guard } H$

**let**  $?H = \text{FractionalHeap.normalize}(\text{get-fh } H)$

**show**  $\neg \text{aborts}(Cpar\ C1\ C2)(s, \text{FractionalHeap.normalize}(\text{get-fh } H))$

**proof** (*rule ccontr*)

**assume**  $\neg \neg \text{aborts}(Cpar\ C1\ C2)(s, \text{FractionalHeap.normalize}(\text{get-fh } H))$

**then have**  $\text{aborts}(Cpar\ C1\ C2)(s, \text{FractionalHeap.normalize}(\text{get-fh } H))$

**by** *simp*

**then have**  $\text{aborts } C1\ (s, ?H) \vee \text{aborts } C2\ (s, ?H)$

**apply** (*rule aborts.cases*)

**apply** *simp-all*

**using**  $r$  **by** *force+*

**then show** *False*

**using** *asm0 assms(1) assms(2) no-abortE(1)* **by** *blast*

**qed**

**qed**

**fix**  $H\ hf\ hj\ v0\ \Gamma'$

**assume** *asm0*:  $\Gamma = Some\ \Gamma' \wedge Some\ H = Some\ h \oplus Some\ hj \oplus Some\ hf \wedge \text{full-ownership}(\text{get-fh } H) \wedge \text{semi-consistent } \Gamma'\ v0\ H \wedge \text{sat-inv } s\ hj\ \Gamma'$

**let**  $?H = \text{FractionalHeap.normalize}(\text{get-fh } H)$

**show**  $\neg \text{aborts}(Cpar\ C1\ C2)(s, \text{FractionalHeap.normalize}(\text{get-fh } H))$

**proof** (*rule ccontr*)

**assume**  $\neg \neg \text{aborts}(Cpar\ C1\ C2)(s, \text{FractionalHeap.normalize}(\text{get-fh } H))$

**then have**  $\text{aborts}(Cpar\ C1\ C2)(s, \text{FractionalHeap.normalize}(\text{get-fh } H))$  **by**

*simp*

**then have**  $\text{aborts } C1\ (s, ?H) \vee \text{aborts } C2\ (s, ?H)$

**apply** (*rule aborts.cases*)

**apply** *simp-all*

**using**  $r$  **by** *force+*

**then show** *False*

**using** *asm0 assms(1) assms(2) no-abortE(2)* **by** *blast*

qed  
 qed  
 qed

**lemma** *parallel-comp-none*:

**assumes** *safe n* (*None* :: ('i, 'a, nat) cont) *C1* (*s*, *h1*) *S1*  
**and** *safe n* (*None* :: ('i, 'a, nat) cont) *C2* (*s*, *h2*) *S2*  
**and** *Some h* = *Some h1*  $\oplus$  *Some h2*

**and** *disjoint* (*fvC C1*  $\cup$  *vars1*) (*wrC C2*)  
**and** *disjoint* (*fvC C2*  $\cup$  *vars2*) (*wrC C1*)

**and** *upper-fvs S1 vars1*  
**and** *upper-fvs S2 vars2*

**and** *bounded h*

**shows** *safe n* (*None* :: ('i, 'a, nat) cont) (*Cpar C1 C2*) (*s*, *h*) (*add-states S1 S2*)

**using** *assms*

**proof** (*induct n arbitrary: C1 h1 C2 h2 s h S1 S2*)  
**case** (*Suc n*)  
**show** ?*case*  
**proof** (*rule safeNoneI*)  
**show** *Cpar C1 C2* = *Cskip*  $\implies$  (*s*, *h*)  $\in$  *add-states S1 S2*  
**by** *simp*  
**have** *r*: *no-abort* (*None* :: ('i, 'a, nat) cont) (*Cpar C1 C2*) *s h*  $\wedge$  *accesses* (*Cpar C1 C2*) *s*  $\subseteq$  *dom* (*fst h*)  $\wedge$  *writes* (*Cpar C1 C2*) *s*  $\subseteq$  *fpdom* (*fst h*)  
**proof** (*rule no-abort-par*)  
**show** *no-abort* (*None* :: ('i, 'a, nat) cont) *C1 s h*  
**using** *Suc.premis(1) Suc.premis(3) larger-def no-abort-larger safe.simps(2)*  
**by** *blast*  
**have** *h*  $\succeq$  *h2*  
**by** (*metis Suc.premis(3) larger-def plus-comm*)  
**then show** *no-abort* (*None* :: ('i, 'a, nat) cont) *C2 s h*  
**using** *Suc.premis(2) no-abort-larger safeNoneE-bis(2)* **by** *blast*

**show** *safe* (*Suc n*) *None C1* (*s*, *h1*) *S1* **using** *Suc(2)* **by** *simp*  
**show** *safe* (*Suc n*) *None C2* (*s*, *h2*) *S2* **using** *Suc(3)* **by** *simp*  
**qed** (*simp-all add: Suc*)  
**then show** *no-abort* (*None* :: ('i, 'a, nat) cont) (*Cpar C1 C2*) *s h* **by** *simp*  
**show** *accesses* (*Cpar C1 C2*) *s*  $\subseteq$  *dom* (*fst h*)  $\wedge$  *writes* (*Cpar C1 C2*) *s*  $\subseteq$  *fpdom* (*fst h*) **using** *r* **by** *simp*

**fix** *H hf C' s' h'*  
**assume** *asm0*: *Some H* = *Some h*  $\oplus$  *Some hf*  $\wedge$   
*full-ownership* (*get-fh H*)  $\wedge$  *no-guard H*  $\wedge$  *red* (*Cpar C1 C2*) (*s*, *Fractional-Heap.normalize* (*get-fh H*)) *C' (s', h')*

**obtain**  $hf1$  **where**  $Some\ hf1 = Some\ h1 \oplus Some\ hf$   
**by** (*metis* (*no-types*, *opaque-lifting*) *Suc.prem*s(3) *asm0 plus.simps*(1) *plus.simps*(3)  
*plus-asso plus-comm*)  
**then have**  $Some\ H = Some\ h2 \oplus Some\ hf1$   
**by** (*metis* (*no-types*, *lifting*) *Suc.prem*s(3) *asm0 plus-asso plus-comm*)  
**obtain**  $hf2$  **where**  $Some\ hf2 = Some\ h2 \oplus Some\ hf$   
**by** (*metis* (*no-types*, *opaque-lifting*)  $\langle Some\ H = Some\ h2 \oplus Some\ hf1 \rangle \langle Some\ hf1 = Some\ h1 \oplus Some\ hf \rangle$  *option.exhaust-sel plus.simps*(1) *plus-asso plus-comm*)  
**then have**  $Some\ H = Some\ h1 \oplus Some\ hf2$   
**by** (*metis* *Suc.prem*s(3) *asm0 plus-asso*)

**let**  $?H = normalize\ (get-fh\ H)$

**show**  $\exists h''\ H'$ .  
*full-ownership* (*get-fh*  $H'$ )  $\wedge$   
*no-guard*  $H' \wedge h' = FractionalHeap.normalize\ (get-fh\ H') \wedge Some\ H' = Some\ h'' \oplus Some\ hf \wedge safe\ n\ (None :: ('i, 'a, nat)\ cont)\ C'\ (s', h'')$  (*add-states*  $S1\ S2$ )

**proof** (*rule par-cases*)  
**show** *red* (*Cpar*  $C1\ C2$ ) ( $s, ?H$ )  $C'\ (s', h')$   
**using** *asm0 by blast*

**show**  $C1 = Cskip \wedge C2 = Cskip \wedge C' = Cskip \wedge (s, FractionalHeap.normalize\ (get-fh\ H)) = (s', h') \implies$   
 $\exists h''\ H'. full-ownership\ (get-fh\ H') \wedge$   
*no-guard*  $H' \wedge h' = FractionalHeap.normalize\ (get-fh\ H') \wedge Some\ H' = Some\ h'' \oplus Some\ hf \wedge safe\ n\ (None :: ('i, 'a, nat)\ cont)\ C'\ (s', h'')$  (*add-states*  $S1\ S2$ )

**proof** –  
**assume** *asm1*:  $C1 = Cskip \wedge C2 = Cskip \wedge C' = Cskip \wedge (s, FractionalHeap.normalize\ (get-fh\ H)) = (s', h')$   
**then have**  $(s, h1) \in S1 \wedge (s, h2) \in S2$   
**using** *Suc.prem*s(1) *Suc.prem*s(2) *safe.simps*(2) **by** *blast*  
**moreover have**  $(s, h) \in add-states\ S1\ S2$   
**by** (*metis* (*mono-tags*, *lifting*) *Suc.prem*s(3) *add-states-def calculation mem-Collect-eq*)

**ultimately show**  $\exists h''\ H'$ .  
*full-ownership* (*get-fh*  $H'$ )  $\wedge$   
*no-guard*  $H' \wedge h' = FractionalHeap.normalize\ (get-fh\ H') \wedge Some\ H' = Some\ h'' \oplus Some\ hf \wedge safe\ n\ (None :: ('i, 'a, nat)\ cont)\ C'\ (s', h'')$  (*add-states*  $S1\ S2$ )  
**by** (*metis* *asm0 asm1 old.prod.inject safe-skip*)

**qed**

**show**  $\bigwedge C1'. C' = Cpar\ C1'\ C2 \wedge red\ C1\ (s, FractionalHeap.normalize\ (get-fh\ H))\ C1'\ (s', h') \implies$   
 $\exists h''\ H'$ .  
*full-ownership* (*get-fh*  $H'$ )  $\wedge$   
*no-guard*  $H' \wedge h' = FractionalHeap.normalize\ (get-fh\ H') \wedge Some\ H'$

$= \text{Some } h'' \oplus \text{Some } hf \wedge \text{safe } n \text{ (None :: ('i, 'a, nat) cont) } C' (s', h'') \text{ (add-states } S1 \ S2)$

**proof** –  
**fix**  $C1'$   
**assume**  $asm1: C' = \text{Cpar } C1' \ C2 \wedge \text{red } C1 \ (s, \text{FractionalHeap.normalize (get-fh } H)) \ C1' (s', h')$   
**then obtain**  $h1' \ H'$  **where**  $asm2: \text{full-ownership (get-fh } H') \ \text{no-guard } H'$   
 $h' = \text{FractionalHeap.normalize (get-fh } H')$   
 $\text{Some } H' = \text{Some } h1' \oplus \text{Some } hf2 \ \text{safe } n \text{ (None :: ('i, 'a, nat) cont) } C1' (s', h1') \ S1$   
**using**  $\text{Suc.prem}(1) \ asm0 \ \text{safeNoneE}(3)[\text{of } n \ C1 \ s \ h1 \ S1 \ H \ hf2 \ C1' \ s' \ h']$   
 $\langle \text{Some } H = \text{Some } h1 \oplus \text{Some } hf2 \rangle$  **by** *blast*

**moreover have**  $\text{safe } n \text{ (None :: ('i, 'a, nat) cont) } C2 \ (s, h2) \ S2$   
**by**  $(\text{meson } \text{Suc.prem}(2) \ \text{Suc-leD} \ \text{le-Suc-eq} \ \text{safe-smaller})$

**then have**  $\text{safe } n \text{ (None :: ('i, 'a, nat) cont) } C2 \ (s', h2) \ S2$   
**proof**  $(\text{rule } \text{safe-free-vars-None})$   
**show**  $\text{agrees (fvC } C2 \cup \text{vars2) } s \ s'$   
**using**  $\text{Suc.prem}(5) \ \text{agrees-minusD}[\text{of } ] \ \text{agrees-comm} \ asm1 \ \text{fst-eqD}$   
 $\text{red-properties}(1) \ \text{disjoint-def} \ \text{inf-commute}$   
**by** *metis*  
**show**  $\text{upper-fvs } S2 \ \text{vars2}$   
**by**  $(\text{simp add: } \text{Suc.prem}(7))$   
**qed**

**moreover obtain**  $h''$  **where**  $\text{Some } h'' = \text{Some } h1' \oplus \text{Some } h2$   
**by**  $(\text{metis } \langle \text{Some } hf2 = \text{Some } h2 \oplus \text{Some } hf \rangle \ \text{calculation}(4) \ \text{not-Some-eq} \ \text{plus.simp}(1) \ \text{plus-asso})$   
**have**  $\text{safe } n \text{ (None :: ('i, 'a, nat) cont) } (Cpar \ C1' \ C2) \ (s', h'') \text{ (add-states } S1 \ S2)$

**proof**  $(\text{rule } \text{Suc.hyps})$   
**show**  $\text{safe } n \text{ (None :: ('i, 'a, nat) cont) } C1' (s', h1') \ S1$   
**using**  $\text{calculation}(5)$  **by** *blast*  
**show**  $\text{safe } n \text{ (None :: ('i, 'a, nat) cont) } C2 (s', h2) \ S2$   
**using**  $\text{calculation}(6)$  **by** *auto*  
**show**  $\text{Some } h'' = \text{Some } h1' \oplus \text{Some } h2$   
**using**  $\langle \text{Some } h'' = \text{Some } h1' \oplus \text{Some } h2 \rangle$  **by** *blast*  
**show**  $\text{disjoint (fvC } C1' \cup \text{vars1) (wrC } C2)$   
**using**  $\text{Suc.prem}(4) \ asm1 \ \text{red-properties}(1) \ \text{Un-iff} \ \text{disjoint-def}[\text{of } \text{fvC } C1 \cup \text{vars1} \ \text{wrC } C2]$   
 $\text{disjoint-def}[\text{of } \text{fvC } C1' \cup \text{vars1} \ \text{wrC } C2]$   
 $\text{inf-shunt} \ \text{subset-iff}$  **by** *blast*  
**show**  $\text{disjoint (fvC } C2 \cup \text{vars2) (wrC } C1')$   
**by**  $(\text{metis (no-types, lifting) } \text{Suc.prem}(5) \ asm1 \ \text{disjoint-def} \ \text{inf-commute} \ \text{inf-shunt} \ \text{red-properties}(1) \ \text{subset-Un-eq} \ \text{sup-assoc})$   
**show**  $\text{upper-fvs } S1 \ \text{vars1}$   
**by**  $(\text{simp add: } \text{Suc.prem}(6))$   
**show**  $\text{upper-fvs } S2 \ \text{vars2}$

**by** (*simp add: Suc.prem*s(7))  
**show** *bounded h''*  
**apply** (*rule bounded-smaller*[of  $H'$ ])  
**using** *calculation(1) full-ownership-then-bounded apply fastforce*  
**by** (*metis*  $\langle \text{Some } h'' = \text{Some } h1' \oplus \text{Some } h2 \rangle \langle \text{Some } hf2 = \text{Some } h2 \oplus$   
*Some hf* $\rangle$  *calculation(4) larger-def plus-asso*)  
**qed**

**ultimately show**  $\exists h'' H'$ .  
*full-ownership (get-fh  $H'$ )  $\wedge$*   
*no-guard  $H' \wedge$*   
 *$h' = \text{FractionalHeap.normalize (get-fh } H') \wedge \text{Some } H' = \text{Some } h'' \oplus$*   
 *$\text{Some } hf \wedge \text{safe } n (\text{None} :: ('i, 'a, \text{nat}) \text{ cont}) C' (s', h'') (\text{add-states } S1 S2)$*   
**by** (*metis*  $\langle \text{Some } h'' = \text{Some } h1' \oplus \text{Some } h2 \rangle \langle \text{Some } hf2 = \text{Some } h2 \oplus$   
*Some hf* $\rangle$  *asm1 plus-asso*)  
**qed**

**show**  $\bigwedge C2'. C' = \text{Cpar } C1 C2' \wedge \text{red } C2 (s, \text{FractionalHeap.normalize (get-fh } H)) C2' (s', h') \implies$   
 $\exists h'' H'$ .  
*full-ownership (get-fh  $H'$ )  $\wedge$*   
*no-guard  $H' \wedge h' = \text{FractionalHeap.normalize (get-fh } H') \wedge \text{Some } H'$*   
 *$= \text{Some } h'' \oplus \text{Some } hf \wedge \text{safe } n (\text{None} :: ('i, 'a, \text{nat}) \text{ cont}) C' (s', h'') (\text{add-states } S1 S2)$*

**proof** –  
**fix**  $C2'$   
**assume** *asm1:  $C' = \text{Cpar } C1 C2' \wedge \text{red } C2 (s, \text{FractionalHeap.normalize (get-fh } H)) C2' (s', h')$*   
**then obtain**  $h2' H'$  **where** *asm2: full-ownership (get-fh  $H'$ ) no-guard  $H'$*   
 *$h' = \text{FractionalHeap.normalize (get-fh } H')$*   
 *$\text{Some } H' = \text{Some } h2' \oplus \text{Some } hf1 \text{ safe } n (\text{None} :: ('i, 'a, \text{nat}) \text{ cont}) C2' (s', h2') S2$*   
**using** *Suc.prem*s(1) *asm0 safeNoneE(3) Suc.prem*s(2)  $\langle \text{Some } H = \text{Some } h2 \oplus \text{Some } hf1 \rangle$  **by** *blast*

**moreover have** *safe n (None :: ('i, 'a, nat) cont) C1 (s, h1) S1*  
**by** (*meson Suc.prem*s(1) *Suc-leD le-Suc-eq safe-smaller*)

**then have** *safe n (None :: ('i, 'a, nat) cont) C1 (s', h1) S1*  
**proof** (*rule safe-free-vars-None*)  
**show** *agrees (fvC C1  $\cup$  vars1) s s'*  
**using** *Suc.prem*s(4) *agrees-comm asm1 fst-eqD red-properties(1) disjoint-def*[of *fvC C1  $\cup$  vars1 wrC C2*]  
*agrees-minusD* **by** (*metis inf-commute*)  
**show** *upper-fvs S1 vars1*  
**by** (*simp add: Suc.prem*s(6))  
**qed**

**moreover obtain**  $h''$  **where** *Some  $h'' = \text{Some } h2' \oplus \text{Some } h1$*   
**by** (*metis*  $\langle \text{Some } hf1 = \text{Some } h1 \oplus \text{Some } hf \rangle$  *calculation(4) not-Some-eq*)

```

plus.simps(1) plus-asso)
  have safe n (None :: ('i, 'a, nat) cont) (Cpar C1 C2') (s', h'') (add-states
S1 S2)
  proof (rule Suc.hyps)
    show safe n (None :: ('i, 'a, nat) cont) C1 (s', h1) S1
      using calculation(6) by blast
    show safe n (None :: ('i, 'a, nat) cont) C2' (s', h2') S2
      using calculation(5) by auto
    show Some h'' = Some h1  $\oplus$  Some h2'
      by (simp add:  $\langle$ Some h'' = Some h2'  $\oplus$  Some h1 $\rangle$  plus-comm)
    show disjoint (fvC C2'  $\cup$  vars2) (wrC C1)
      using Suc.prems(5) asm1 disjoint-def[of fvC C2'  $\cup$  vars2 wrC C1]
disjoint-def[of fvC C2'  $\cup$  vars2 wrC C1]
      inf-shunt inf-sup-aci(5) red-properties(1) subset-Un-eq sup.idem sup-assoc
      by fast
    show disjoint (fvC C1  $\cup$  vars1) (wrC C2')
      by (metis (no-types, lifting) Suc.prems(4) asm1 disjoint-def inf-commute
inf-shunt red-properties(1) subset-Un-eq sup-assoc)
    show upper-fvs S1 vars1
      by (simp add: Suc.prems(6))
    show upper-fvs S2 vars2
      by (simp add: Suc.prems(7))
    show bounded h''
      apply (rule bounded-smaller[of H'])
      using calculation(1) full-ownership-then-bounded apply fastforce
      by (metis  $\langle$ Some h'' = Some h2'  $\oplus$  Some h1 $\rangle$   $\langle$ Some hf1 = Some h1  $\oplus$ 
Some hf $\rangle$  calculation(4) larger-def plus-asso)
    qed
  ultimately show  $\exists h'' H'$ .
    full-ownership (get-fh H')  $\wedge$ 
    no-guard H'  $\wedge$ 
    h' = FractionalHeap.normalize (get-fh H')  $\wedge$  Some H' = Some h''  $\oplus$ 
Some hf  $\wedge$  safe n (None :: ('i, 'a, nat) cont) C' (s', h'') (add-states S1 S2)
    by (metis  $\langle$ Some h'' = Some h2'  $\oplus$  Some h1 $\rangle$   $\langle$ Some hf1 = Some h1  $\oplus$ 
Some hf $\rangle$  asm1 plus-asso)
    qed
  qed
  qed
  qed (simp)

```

**lemma** *parallel-comp-some*:

```

assumes safe n (Some  $\Gamma$ ) C1 (s, h1) S1
and safe n (Some  $\Gamma$ ) C2 (s, h2) S2
and Some h = Some h1  $\oplus$  Some h2

```

```

and disjoint (fvC C1  $\cup$  vars1) (wrC C2)
and disjoint (fvC C2  $\cup$  vars2) (wrC C1)

```

```

and upper-fvs S1 vars1
and upper-fvs S2 vars2

and disjoint (fvA (invariant  $\Gamma$ )) (wrC C2)
and disjoint (fvA (invariant  $\Gamma$ )) (wrC C1)

and bounded h

shows safe n (Some  $\Gamma$ ) (Cpar C1 C2) (s, h) (add-states S1 S2)
using assms
proof (induct n arbitrary: C1 h1 C2 h2 s h S1 S2)
  case (Suc n)
  show ?case
  proof (rule safeSomeI)
    show Cpar C1 C2 = Cskip  $\implies$  (s, h)  $\in$  add-states S1 S2
    by simp
    have r: no-abort (Some  $\Gamma$ ) (Cpar C1 C2) s h  $\wedge$  accesses (Cpar C1 C2) s  $\subseteq$ 
      dom (fst h)  $\wedge$  writes (Cpar C1 C2) s  $\subseteq$  fpdom (fst h)
    proof (rule no-abort-par)
      show no-abort (Some  $\Gamma$ ) C1 s h
      using Suc.premis(1) Suc.premis(3) larger-def no-abort-larger safe.simps(3)
by blast
    have h  $\succeq$  h2
    by (metis Suc.premis(3) larger-def plus-comm)
    then show no-abort (Some  $\Gamma$ ) C2 s h
    using Suc.premis(2) no-abort-larger safeSomeE(2) by blast

    show safe (Suc n) (Some  $\Gamma$ ) C1 (s, h1) S1 using Suc(2) by simp
    show safe (Suc n) (Some  $\Gamma$ ) C2 (s, h2) S2 using Suc(3) by simp
    qed (simp-all add: Suc)

  then show accesses (Cpar C1 C2) s  $\subseteq$  dom (fst h)  $\wedge$  writes (Cpar C1 C2) s
     $\subseteq$  fpdom (fst h)
    by simp
    show no-abort (Some  $\Gamma$ ) (Cpar C1 C2) s h using r by simp

  fix H hf C' s' h' hj v0
  assume asm0: Some H = Some h  $\oplus$  Some hj  $\oplus$  Some hf  $\wedge$  full-ownership
    (get-fh H)  $\wedge$ 
    semi-consistent  $\Gamma$  v0 H  $\wedge$  sat-inv s hj  $\Gamma$   $\wedge$  red (Cpar C1 C2) (s, Fractional-
    Heap.normalize (get-fh H)) C' (s', h')

  obtain hf1 where Some hf1 = Some h1  $\oplus$  Some hf
  by (metis (no-types, opaque-lifting) Suc.premis(3) asm0 plus.simps(1) plus.simps(3)
  plus-asso plus-comm)
  then have Some H = Some h2  $\oplus$  Some hf1  $\oplus$  Some hj
  by (metis (no-types, lifting) Suc.premis(3) asm0 plus-asso plus-comm)
  then have Some H = Some h2  $\oplus$  Some hj  $\oplus$  Some hf1

```

**by** (*metis plus-asso plus-comm*)  
**obtain**  $hf2$  **where**  $\text{Some } hf2 = \text{Some } h2 \oplus \text{Some } hf$   
**by** (*metis (no-types, opaque-lifting) ⟨Some H = Some h2 ⊕ Some hf1 ⊕ Some hj⟩ ⟨Some hf1 = Some h1 ⊕ Some hf⟩ not-Some-eq plus.simps(1) plus-asso plus-comm*)  
**then have**  $\text{Some } H = \text{Some } h1 \oplus \text{Some } hf2 \oplus \text{Some } hj$   
**by** (*metis (no-types, opaque-lifting) ⟨Some H = Some h2 ⊕ Some hf1 ⊕ Some hj⟩ ⟨Some hf1 = Some h1 ⊕ Some hf⟩ plus-asso plus-comm*)  
**then have**  $\text{Some } H = \text{Some } h1 \oplus \text{Some } hj \oplus \text{Some } hf2$   
**by** (*metis plus-asso plus-comm*)

**let**  $?H = \text{normalize } (\text{get-fh } H)$

**show**  $\exists h'' H' hj'$ .  
*full-ownership* ( $\text{get-fh } H'$ )  $\wedge$   
*semi-consistent*  $\Gamma v0 H' \wedge$   
*sat-inv*  $s' hj' \Gamma \wedge$   
 $h' = \text{FractionalHeap.normalize } (\text{get-fh } H') \wedge \text{Some } H' = \text{Some } h'' \oplus \text{Some } hj' \oplus \text{Some } hf \wedge \text{safe } n (\text{Some } \Gamma) C' (s', h') (\text{add-states } S1 S2)$

**proof** (*rule par-cases*)  
**show**  $\text{red } (Cpar C1 C2) (s, ?H) C' (s', h')$   
**using** *asm0* **by** *blast*

**show**  $C1 = Cskip \wedge C2 = Cskip \wedge C' = Cskip \wedge (s, \text{FractionalHeap.normalize } (\text{get-fh } H)) = (s', h') \implies$   
 $\exists h'' H' hj'. \text{full-ownership } (\text{get-fh } H') \wedge$   
*semi-consistent*  $\Gamma v0 H' \wedge$   
*sat-inv*  $s' hj' \Gamma \wedge h' = \text{FractionalHeap.normalize } (\text{get-fh } H') \wedge \text{Some } H' = \text{Some } h'' \oplus \text{Some } hj' \oplus \text{Some } hf \wedge \text{safe } n (\text{Some } \Gamma) C' (s', h'') (\text{add-states } S1 S2)$

**proof** –  
**assume** *asm1*:  $C1 = Cskip \wedge C2 = Cskip \wedge C' = Cskip \wedge (s, \text{FractionalHeap.normalize } (\text{get-fh } H)) = (s', h')$   
**then have**  $(s, h1) \in S1 \wedge (s, h2) \in S2$   
**using** *Suc.premis(1) Suc.premis(2) safe.simps(3)* **by** *blast*  
**moreover have**  $(s, h) \in \text{add-states } S1 S2$   
**by** (*metis (mono-tags, lifting) Suc.premis(3) add-states-def calculation mem-Collect-eq*)  
**ultimately show**  $\exists h'' H' hj'. \text{full-ownership } (\text{get-fh } H') \wedge$   
*semi-consistent*  $\Gamma v0 H' \wedge$   
*sat-inv*  $s' hj' \Gamma \wedge h' = \text{FractionalHeap.normalize } (\text{get-fh } H') \wedge \text{Some } H' = \text{Some } h'' \oplus \text{Some } hj' \oplus \text{Some } hf \wedge \text{safe } n (\text{Some } \Gamma) C' (s', h'') (\text{add-states } S1 S2)$   
**by** (*metis asm0 asm1 old.prod.inject safe-skip*)  
**qed**

**show**  $\bigwedge C1'. C' = Cpar C1' C2 \wedge \text{red } C1 (s, \text{FractionalHeap.normalize } (\text{get-fh } H)) C1' (s', h') \implies$   
 $\exists h'' H' hj'. \text{full-ownership } (\text{get-fh } H') \wedge \text{semi-consistent } \Gamma v0 H' \wedge$   
*sat-inv*  $s' hj' \Gamma \wedge h' = \text{FractionalHeap.normalize } (\text{get-fh } H') \wedge \text{Some } H' = \text{Some } h'' \oplus \text{Some } hj' \oplus \text{Some } hf \wedge \text{safe } n (\text{Some } \Gamma) C' (s', h'') (\text{add-states } S1 S2)$



*S1 S2*)  
**proof** –  
**fix**  $C1'$   
**assume**  $asm1: C' = Cpar\ C1'\ C2 \wedge red\ C1\ (s, FractionalHeap.normalize\ (get-fh\ H))\ C1'\ (s', h')$   
**then obtain**  $h1'\ H'\ hj'$  **where**  $asm2: full-ownership\ (get-fh\ H')\ h' = FractionalHeap.normalize\ (get-fh\ H')$   
 $semi-consistent\ \Gamma\ v0\ H'\ sat-inv\ s'\ hj'\ \Gamma\ Some\ H' = Some\ h1' \oplus Some\ hj'$   
 $\oplus\ Some\ hf2\ safe\ n\ (Some\ \Gamma)\ C1'\ (s', h1')\ S1$   
**using**  $safeSomeE(\exists)[of\ n\ \Gamma\ C1\ s\ h1\ S1\ H\ hj\ hf2\ v0\ C1'\ s'\ h']\ Suc.prem(1)$   
 $asm0$   
**using**  $\langle Some\ H = Some\ h1 \oplus Some\ hj \oplus Some\ hf2 \rangle$  **by** *blast*  
  
**moreover have**  $safe\ n\ (Some\ \Gamma)\ C2\ (s, h2)\ S2$   
**by**  $(meson\ Suc.prem(2)\ Suc-leD\ le-Suc-eq\ safe-smaller)$   
  
**then have**  $safe\ n\ (Some\ \Gamma)\ C2\ (s', h2)\ S2$   
**proof**  $(rule\ safe-free-vars-Some)$   
**show**  $agrees\ (fvC\ C2 \cup vars2 \cup fvA\ (invariant\ \Gamma))\ s'$   
**using**  $Suc.prem(5)\ Suc.prem(9)\ agrees-minusD\ agrees-comm\ asm1$   
 $disjoint-def\ fst-eqD\ red-properties(1)$   
**by**  $(metis\ agrees-union\ inf-commute)$   
**show**  $upper-fvs\ S2\ vars2$   
**by**  $(simp\ add: Suc.prem(7))$   
**qed**  
  
**moreover have**  $h1' \#\# h2$   
**by**  $(metis\ (no-types, opaque-lifting)\ \langle Some\ hf2 = Some\ h2 \oplus Some\ hf \rangle$   
 $calculation(5)\ compatible-eq\ option.discI\ plus.simps(1)\ plus-asso\ plus-comm)$   
**then obtain**  $h''$  **where**  $Some\ h'' = Some\ h1' \oplus Some\ h2$  **by** *simp*  
  
**have**  $safe\ n\ (Some\ \Gamma)\ (Cpar\ C1'\ C2)\ (s', h'')$   $(add-states\ S1\ S2)$   
**proof**  $(rule\ Suc.hyps)$   
**show**  $safe\ n\ (Some\ \Gamma)\ C1'\ (s', h1')\ S1$   
**using**  $calculation(6)$  **by** *blast*  
**show**  $safe\ n\ (Some\ \Gamma)\ C2\ (s', h2)\ S2$   
**using**  $calculation(7)$  **by** *auto*  
**show**  $Some\ h'' = Some\ h1' \oplus Some\ h2$   
**using**  $\langle Some\ h'' = Some\ h1' \oplus Some\ h2 \rangle$  **by** *blast*  
**show**  $disjoint\ (fvC\ C1' \cup vars1)\ (wrC\ C2)$   
**by**  $(metis\ (no-types, opaque-lifting)\ Suc.prem(4)\ asm1\ disjnt-Un1$   
 $disjnt-def\ disjoint-def\ red-properties(1)\ sup.orderE)$   
**show**  $disjoint\ (fvC\ C2 \cup vars2)\ (wrC\ C1')$   
**by**  $(metis\ (no-types, lifting)\ Suc.prem(5)\ asm1\ disjoint-def\ inf-commute$   
 $inf-shunt\ red-properties(1)\ subset-Un-eq\ sup-assoc)$   
**show**  $upper-fvs\ S1\ vars1$   
**by**  $(simp\ add: Suc.prem(6))$   
**show**  $upper-fvs\ S2\ vars2$   
**by**  $(simp\ add: Suc.prem(7))$

**show** *disjoint* (*fvA* (*invariant*  $\Gamma$ )) (*wrC*  $C2$ )  
**by** (*simp* *add*: *Suc.prem*s(8))  
**show** *disjoint* (*fvA* (*invariant*  $\Gamma$ )) (*wrC*  $C1'$ )  
**by** (*metis* (*no-types*, *lifting*) *Suc.prem*s(9) *asm1* *disjoint-def* *inf-commute*  
*inf-shunt* *red-properties*(1) *subset-Un-eq* *sup-assoc*)  
**show** *bounded*  $h''$   
**apply** (*rule* *bounded-smaller*[*of*  $H'$ ])  
**using** *calculation*(1) *full-ownership-then-bounded* **apply** *fastforce*  
**using**  $\langle$ *Some*  $h'' = \text{Some } h1' \oplus \text{Some } h2 \rangle$   $\langle$ *Some*  $hf2 = \text{Some } h2 \oplus \text{Some}$   
 $hf \rangle$  *calculation*(5) *larger3*[*of*  $H' - h'' hf$ ] *plus-asso* *plus-comm*[*of* *Some*  $hj'$ ]  
**by** *metis*

**qed**

**moreover have** *Some*  $H' = \text{Some } h'' \oplus \text{Some } hj' \oplus \text{Some } hf$   
**by** (*metis* (*no-types*, *opaque-lifting*)  $\langle$ *Some*  $h'' = \text{Some } h1' \oplus \text{Some } h2 \rangle$   
 $\langle$ *Some*  $hf2 = \text{Some } h2 \oplus \text{Some } hf \rangle$  *calculation*(5) *plus-asso* *plus-comm*)

**ultimately show**  $\exists h'' H' hj'$ .  
*full-ownership* (*get-fh*  $H'$ )  $\wedge$   
*semi-consistent*  $\Gamma v0 H' \wedge$   
*sat-inv*  $s' hj' \Gamma \wedge h' = \text{FractionalHeap.normalize}$  (*get-fh*  $H'$ )  $\wedge$  *Some*  
 $H' = \text{Some } h'' \oplus \text{Some } hj' \oplus \text{Some } hf \wedge \text{safe } n$  (*Some*  $\Gamma$ )  $C' (s', h'')$  (*add-states*  
 $S1 S2$ )  
**using** *asm1* **by** *blast*

**qed**

**show**  $\bigwedge C2'. C' = \text{Cpar } C1 C2' \wedge \text{red } C2 (s, \text{FractionalHeap.normalize}$  (*get-fh*  
 $H)) C2' (s', h') \implies$   
 $\exists h'' H' hj'$ .  
*full-ownership* (*get-fh*  $H'$ )  $\wedge$   
*semi-consistent*  $\Gamma v0 H' \wedge$   
*sat-inv*  $s' hj' \Gamma \wedge h' = \text{FractionalHeap.normalize}$  (*get-fh*  $H'$ )  $\wedge$  *Some*  
 $H' = \text{Some } h'' \oplus \text{Some } hj' \oplus \text{Some } hf \wedge \text{safe } n$  (*Some*  $\Gamma$ )  $C' (s', h'')$  (*add-states*  
 $S1 S2$ )

**proof** –

**fix**  $C2'$

**assume** *asm1*:  $C' = \text{Cpar } C1 C2' \wedge \text{red } C2 (s, \text{FractionalHeap.normalize}$   
(*get-fh*  $H)) C2' (s', h')$

**then obtain**  $h2' H' hj'$  **where** *asm2*: *full-ownership* (*get-fh*  $H'$ )  $h' =$   
*FractionalHeap.normalize* (*get-fh*  $H'$ )

*semi-consistent*  $\Gamma v0 H' \text{sat-inv } s' hj' \Gamma \text{Some } H' = \text{Some } h2' \oplus \text{Some } hj'$   
 $\oplus \text{Some } hf1 \text{safe } n$  (*Some*  $\Gamma$ )  $C2' (s', h2') S2$

**using** *safeSomeE*(3)[*of*  $n \Gamma C2 s h2 S2 H hj hf1 v0 C2' s' h'$ ] *Suc.prem*s(2)  
*Suc.prem*s(3)

**using**  $\langle$ *Some*  $H = \text{Some } h2 \oplus \text{Some } hj \oplus \text{Some } hf1 \rangle$  *asm0* **by** *blast*

**moreover have** *safe*  $n$  (*Some*  $\Gamma$ )  $C1 (s, h1) S1$

**by** (*meson* *Suc.prem*s(1) *Suc-leD* *le-Suc-eq* *safe-smaller*)

```

then have safe n (Some  $\Gamma$ ) C1 (s', h1) S1
proof (rule safe-free-vars-Some)
  show agrees (fvC C1  $\cup$  vars1  $\cup$  fvA (invariant  $\Gamma$ )) s s'
    using Suc.prem1(4) Suc.prem1(8) agrees-minusD agrees-comm asm1
fst-eqD red-properties(1)
  by (metis agrees-union disjoint-def inf-commute)
  show upper-fvs S1 vars1
    by (simp add: Suc.prem1(6))
qed

moreover have h1 ## h2'
  by (metis (no-types, opaque-lifting)  $\langle$ Some hf1 = Some h1  $\oplus$  Some hf $\rangle$ 
calculation(5) compatible-eq option.distinct(1) plus.simps(1) plus-asso plus-comm)
then obtain h'' where Some h'' = Some h1  $\oplus$  Some h2' by simp

have safe n (Some  $\Gamma$ ) (Cpar C1 C2') (s', h'') (add-states S1 S2)
proof (rule Suc.hyps)
  show safe n (Some  $\Gamma$ ) C1 (s', h1) S1
    using calculation(7) by blast
  show safe n (Some  $\Gamma$ ) C2' (s', h2') S2
    using calculation(6) by auto
  show Some h'' = Some h1  $\oplus$  Some h2'
    using  $\langle$ Some h'' = Some h1  $\oplus$  Some h2' $\rangle$  by blast
  show disjoint (fvC C1  $\cup$  vars1) (wrC C2')
    by (metis (no-types, lifting) Suc.prem1(4) asm1 disjoint-def inf-commute
inf-shunt red-properties(1) subset-Un-eq sup-assoc)
  show disjoint (fvC C2'  $\cup$  vars2) (wrC C1)
    using Suc.prem1(5) asm1 red-properties(1)
    by (metis (no-types, lifting) Un-subset-iff disjoint-def inf-shunt sub-
set-Un-eq)
  show disjoint (fvA (invariant  $\Gamma$ )) (wrC C2')
    using Suc.prem1(8) asm1 red-properties(1)
  by (metis (no-types, lifting) Un-subset-iff disjoint-def inf-commute inf-shunt
subset-Un-eq)
  show disjoint (fvA (invariant  $\Gamma$ )) (wrC C1)
    by (simp add: Suc.prem1(9))
  show upper-fvs S1 vars1
    by (simp add: Suc.prem1(6))
  show upper-fvs S2 vars2
    by (simp add: Suc.prem1(7))
  show bounded h''
    apply (rule bounded-smaller[ $of$  H $\uparrow$ ])
    using calculation(1) full-ownership-then-bounded apply fastforce
proof –
  have Some H' = Some h1  $\oplus$  Some h2'  $\oplus$  Some hj'  $\oplus$  Some hf
    by (metis (no-types, lifting)  $\langle$ Some hf1 = Some h1  $\oplus$  Some hf $\rangle$ 
calculation(5) plus-asso plus-comm)
  then show H'  $\succeq$  h''

```

```

    by (simp add: ⟨Some h'' = Some h1 ⊕ Some h2'⟩ larger3 plus-comm)
  qed
  qed
  moreover have Some H' = Some h'' ⊕ Some hj' ⊕ Some hf
    by (metis ⟨Some h'' = Some h1 ⊕ Some h2'⟩ ⟨Some hf1 = Some h1 ⊕
Some hf⟩ calculation(5) plus-comm simpler-asso)
  ultimately show ∃ h'' H' hj'.
    full-ownership (get-fh H') ∧
    semi-consistent Γ v0 H' ∧
    sat-inv s' hj' Γ ∧
    h' = FractionalHeap.normalize (get-fh H') ∧ Some H' = Some h'' ⊕
Some hj' ⊕ Some hf ∧ safe n (Some Γ) C' (s', h'') (add-states S1 S2)
  using asm1 by blast
  qed
  qed
  qed
  qed (simp)

```

**lemma** *parallel-comp*:

```

  fixes Δ :: ('i, 'a, nat) cont
  assumes safe n Δ C1 (s, h1) S1
    and safe n Δ C2 (s, h2) S2
    and Some h = Some h1 ⊕ Some h2
    and disjoint (fvC C1 ∪ vars1) (wrC C2)
    and disjoint (fvC C2 ∪ vars2) (wrC C1)
    and upper-fvs S1 vars1
    and upper-fvs S2 vars2

    and ∧Γ. Δ = Some Γ ⇒ disjoint (fvA (invariant Γ)) (wrC C2)
    and ∧Γ. Δ = Some Γ ⇒ disjoint (fvA (invariant Γ)) (wrC C1)

  and bounded h

  shows safe n Δ (Cpar C1 C2) (s, h) (add-states S1 S2)
proof (cases Δ)
  case None
  then show ?thesis
    using assms parallel-comp-none by blast
  next
  case (Some Γ)
  then show ?thesis
    using assms parallel-comp-some by blast
qed

```

**theorem** *rule-par*:

```

  fixes Δ :: ('i, 'a, nat) cont

```

**assumes** *hoare-triple-valid*  $\Delta$   $P1$   $C1$   $Q1$   
**and** *hoare-triple-valid*  $\Delta$   $P2$   $C2$   $Q2$   
**and** *disjoint* ( $fvA$   $P1 \cup fvC$   $C1 \cup fvA$   $Q1$ ) ( $wrC$   $C2$ )  
**and** *disjoint* ( $fvA$   $P2 \cup fvC$   $C2 \cup fvA$   $Q2$ ) ( $wrC$   $C1$ )

**and**  $\bigwedge \Gamma. \Delta = \text{Some } \Gamma \implies \text{disjoint } (fvA \text{ (invariant } \Gamma)) (wrC \ C2)$   
**and**  $\bigwedge \Gamma. \Delta = \text{Some } \Gamma \implies \text{disjoint } (fvA \text{ (invariant } \Gamma)) (wrC \ C1)$

**and** *precise*  $P1 \vee \text{precise } P2$

**shows** *hoare-triple-valid*  $\Delta$  (*Star*  $P1$   $P2$ ) (*Cpar*  $C1$   $C2$ ) (*Star*  $Q1$   $Q2$ )

**proof** –

**obtain**  $\Sigma1$  **where**  $r1: \bigwedge \sigma \ n. \sigma, \sigma \models P1 \implies \text{bounded } (snd \ \sigma) \implies \text{safe } n \ \Delta \ C1$   
 $\sigma (\Sigma1 \ \sigma) \bigwedge \sigma \ \sigma'. \sigma, \sigma' \models P1 \implies \text{pair-sat } (\Sigma1 \ \sigma) (\Sigma1 \ \sigma') \ Q1$   
**using** *assms(1)* *hoare-triple-validE* **by** *blast*

**obtain**  $\Sigma2$  **where**  $r2: \bigwedge \sigma \ n. \sigma, \sigma \models P2 \implies \text{bounded } (snd \ \sigma) \implies \text{safe } n \ \Delta \ C2$   
 $\sigma (\Sigma2 \ \sigma) \bigwedge \sigma \ \sigma'. \sigma, \sigma' \models P2 \implies \text{pair-sat } (\Sigma2 \ \sigma) (\Sigma2 \ \sigma') \ Q2$   
**using** *assms(2)* *hoare-triple-validE* **by** *blast*

**define** *pairs* **where**  $\text{pairs} = (\lambda(s, h). \{ ((s, h1), (s, h2)) \mid h1 \ h2. \text{Some } h = \text{Some } h1 \oplus \text{Some } h2 \wedge (s, h1) \models P1 \wedge (s, h2), (s, h2) \models P2 \})$

**define**  $\Sigma$  **where**  $\Sigma = (\lambda \sigma. \bigcup (\sigma1, \sigma2) \in \text{pairs } \sigma. \text{add-states } (\text{upperize } (\Sigma1 \ \sigma1) (fvA \ Q1)) (\text{upperize } (\Sigma2 \ \sigma2) (fvA \ Q2)))$

**show** *?thesis*

**proof** (*rule* *hoare-triple-validI-bounded*)

**show**  $\bigwedge s \ h \ n. (s, h), (s, h) \models \text{Star } P1 \ P2 \implies \text{bounded } h \implies \text{safe } n \ \Delta \ (\text{Cpar } C1 \ C2) (s, h) (\Sigma (s, h))$

**proof** –

**fix**  $s \ h \ n$  **assume**  $(s, h), (s, h) \models \text{Star } P1 \ P2 \ \text{bounded } h$

**then obtain**  $h1 \ h2$  **where** *asm0*:  $\text{Some } h = \text{Some } h1 \oplus \text{Some } h2 (s, h1), (s, h1) \models P1$   
 $(s, h2), (s, h2) \models P2$   
**using** *always-sat-refl* *hyper-sat.simps(4)* **by** *blast*

**then have**  $((s, h1), (s, h2)) \in \text{pairs } (s, h)$   
**using** *pairs-def* **by** *blast*

**then have**  $\text{add-states } (\text{upperize } (\Sigma1 (s, h1)) (fvA \ Q1)) (\text{upperize } (\Sigma2 (s, h2)) (fvA \ Q2)) \subseteq \Sigma (s, h)$   
**using**  $\Sigma\text{-def}$  **by** *blast*

**moreover have**  $\text{safe } n \ \Delta \ (\text{Cpar } C1 \ C2) (s, h) (\text{add-states } (\text{upperize } (\Sigma1 (s, h1)) (fvA \ Q1)) (\text{upperize } (\Sigma2 (s, h2)) (fvA \ Q2)))$

**proof** (*rule* *parallel-comp*)

**show**  $\text{safe } n \ \Delta \ C1 (s, h1) (\text{upperize } (\Sigma1 (s, h1)) (fvA \ Q1))$   
**by** (*metis*  $\langle \text{bounded } h \rangle$  *asm0(1)* *asm0(2)* *bounded-smaller-sum*  $r1(1)$  *safe-larger-set-aux* *snd-conv* *upperize-larger*)

**show**  $\text{safe } n \ \Delta \ C2 (s, h2) (\text{upperize } (\Sigma2 (s, h2)) (fvA \ Q2))$   
**by** (*metis*  $\langle \text{bounded } h \rangle$  *asm0(1)* *asm0(3)* *bounded-smaller-sum* *plus-comm*  $r2(1)$  *safe-larger-set-aux* *snd-conv* *upperize-larger*)

```

show  $\text{Some } h = \text{Some } h1 \oplus \text{Some } h2$  using  $\text{asm0}$  by  $\text{simp}$ 
show  $\text{disjoint } (\text{fvC } C1 \cup \text{fvA } Q1) (\text{wrC } C2)$ 
  by  $(\text{metis } \text{Un-subset-iff } \text{assms}(3) \text{ disjoint-def inf-shunt})$ 
show  $\text{disjoint } (\text{fvC } C2 \cup \text{fvA } Q2) (\text{wrC } C1)$ 
  by  $(\text{metis } \text{Un-subset-iff } \text{assms}(4) \text{ disjoint-def inf-shunt})$ 
show  $\text{upper-fvs } (\text{upperize } (\Sigma 1 (s, h1)) (\text{fvA } Q1)) (\text{fvA } Q1)$ 
  by  $(\text{simp add: upper-fvs-upperize})$ 
show  $\text{upper-fvs } (\text{upperize } (\Sigma 2 (s, h2)) (\text{fvA } Q2)) (\text{fvA } Q2)$ 
  using  $\text{upper-fvs-upperize}$  by  $\text{auto}$ 
show  $\bigwedge \Gamma. \Delta = \text{Some } \Gamma \implies \text{disjoint } (\text{fvA } (\text{invariant } \Gamma)) (\text{wrC } C2)$ 
  using  $\text{assms}(5)$  by  $\text{auto}$ 
show  $\bigwedge \Gamma. \Delta = \text{Some } \Gamma \implies \text{disjoint } (\text{fvA } (\text{invariant } \Gamma)) (\text{wrC } C1)$ 
  using  $\text{assms}(6)$  by  $\text{blast}$ 
show  $\text{bounded } h$ 
  by  $(\text{simp add: bounded } h)$ 
qed
ultimately show  $\text{safe } n \Delta (\text{Cpar } C1 C2) (s, h) (\Sigma (s, h))$ 
  using  $\text{safe-larger-set}$  by  $\text{blast}$ 
qed

fix  $s h s' h'$ 
assume  $(s, h), (s', h') \models \text{Star } P1 P2$ 
then obtain  $h1 h2 h1' h2'$  where  $\text{asm0}: \text{Some } h = \text{Some } h1 \oplus \text{Some } h2$   $\text{Some } h' = \text{Some } h1' \oplus \text{Some } h2'$ 
   $(s, h1), (s', h1') \models P1$   $(s, h2), (s', h2') \models P2$ 
  by  $\text{auto}$ 

show  $\text{pair-sat } (\Sigma (s, h)) (\Sigma (s', h')) (\text{Star } Q1 Q2)$ 
proof  $(\text{rule pair-satI})$ 
  fix  $ss hh ss' hh'$  assume  $\text{asm1}: (ss, hh) \in \Sigma (s, h) \wedge (ss', hh') \in \Sigma (s', h')$ 

  then obtain  $\sigma 1 \sigma 2 \sigma 1' \sigma 2'$  where  $(\sigma 1, \sigma 2) \in \text{pairs } (s, h)$   $(\sigma 1', \sigma 2') \in \text{pairs } (s', h')$ 
   $(ss, hh) \in \text{add-states } (\text{upperize } (\Sigma 1 \sigma 1) (\text{fvA } Q1)) (\text{upperize } (\Sigma 2 \sigma 2) (\text{fvA } Q2))$ 
   $(ss', hh') \in \text{add-states } (\text{upperize } (\Sigma 1 \sigma 1') (\text{fvA } Q1)) (\text{upperize } (\Sigma 2 \sigma 2') (\text{fvA } Q2))$ 
  using  $\Sigma\text{-def}$  by  $\text{blast}$ 
  then obtain  $\text{fst } \sigma 1 = s$   $\text{fst } \sigma 2 = s$   $\text{fst } \sigma 1' = s'$   $\text{fst } \sigma 2' = s'$   $\text{Some } h = \text{Some } (\text{snd } \sigma 1) \oplus \text{Some } (\text{snd } \sigma 2)$ 
   $\text{Some } h' = \text{Some } (\text{snd } \sigma 1') \oplus \text{Some } (\text{snd } \sigma 2')$ 
   $(s, \text{snd } \sigma 1), (s, \text{snd } \sigma 2) \models P1 \wedge (s, \text{snd } \sigma 2), (s, \text{snd } \sigma 1) \models P2$ 
   $(s', \text{snd } \sigma 1'), (s', \text{snd } \sigma 2') \models P1 \wedge (s', \text{snd } \sigma 2'), (s', \text{snd } \sigma 1') \models P2$ 
  using  $\text{case-prod-conv pairs-def}$  by  $\text{auto}$ 

  moreover have  $\text{snd } \sigma 1 = h1 \wedge \text{snd } \sigma 2 = h2 \wedge \text{snd } \sigma 1' = h1' \wedge \text{snd } \sigma 2' = h2'$ 
  proof  $(\text{cases precise } P1)$ 
  case  $\text{True}$ 

```

```

then have  $snd\ \sigma 1 = h1 \wedge snd\ \sigma 1' = h1'$ 
proof (rule preciseE)
  show  $h \succeq h1 \wedge h \succeq snd\ \sigma 1 \wedge h' \succeq h1' \wedge h' \succeq snd\ \sigma 1'$ 
  using asm0(1) asm0(2) calculation(5) calculation(6) larger-def by blast
  show  $(s, h1), (s', h1') \models P1 \wedge (s, snd\ \sigma 1), (s', snd\ \sigma 1') \models P1$ 
  by (metis True  $\langle h \succeq h1 \wedge h \succeq snd\ \sigma 1 \wedge h' \succeq h1' \wedge h' \succeq snd\ \sigma 1' \rangle$ 
always-sat-refl asm0(3) calculation(7) calculation(8) preciseE sat-comm)
qed
then show ?thesis
  by (metis addition-cancellative asm0(1) asm0(2) calculation(5) calculation(6) plus-comm)
next
case False
then have precise P2
  using assms(7) by blast
then have  $snd\ \sigma 2 = h2 \wedge snd\ \sigma 2' = h2'$ 
proof (rule preciseE)
  show  $h \succeq h2 \wedge h \succeq snd\ \sigma 2 \wedge h' \succeq h2' \wedge h' \succeq snd\ \sigma 2'$ 
  by (metis asm0(1) asm0(2) calculation(5) calculation(6) larger-def plus-comm)
  show  $(s, h2), (s', h2') \models P2 \wedge (s, snd\ \sigma 2), (s', snd\ \sigma 2') \models P2$ 
  by (metis  $\langle h \succeq h2 \wedge h \succeq snd\ \sigma 2 \wedge h' \succeq h2' \wedge h' \succeq snd\ \sigma 2' \rangle$   $\langle$ precise P2 $\rangle$ 
always-sat-refl asm0(4) calculation(7) calculation(8) preciseE sat-comm)
qed
then show ?thesis
  using addition-cancellative asm0(1) asm0(2) calculation(5) calculation(6)
by blast
qed
ultimately have pair-sat  $(\Sigma 1\ \sigma 1)\ (\Sigma 1\ \sigma 1')\ Q1 \wedge$  pair-sat  $(\Sigma 2\ \sigma 2)\ (\Sigma 2\ \sigma 2')\ Q2$ 
by (metis asm0(3) asm0(4) prod.exhaust-sel r1(2) r2(2))
then show  $(ss, hh), (ss', hh') \models Star\ Q1\ Q2$ 
by (metis (no-types, opaque-lifting)  $\langle (ss', hh') \in add-states\ (upperize\ (\Sigma 1\ \sigma 1')\ (fvA\ Q1))\ (upperize\ (\Sigma 2\ \sigma 2')\ (fvA\ Q2)) \rangle$ 
 $\langle (ss, hh) \in add-states\ (upperize\ (\Sigma 1\ \sigma 1)\ (fvA\ Q1))\ (upperize\ (\Sigma 2\ \sigma 2)\ (fvA\ Q2)) \rangle$ 
add-states-sat-star pair-sat-comm pair-sat-def pair-sat-upperize)
qed
qed
qed

```

#### 4.4.9 If

lemma if-cases:

```

assumes red (Cif b C1 C2) (s, h) C' (s', h')
  and  $C' = C1 \implies s = s' \wedge h = h' \implies bdenot\ b\ s \implies P$ 
  and  $C' = C2 \implies s = s' \wedge h = h' \implies \neg bdenot\ b\ s \implies P$ 
shows P
using assms(1)
apply (rule red.cases)

```

```

apply blast+
using assms(2) apply fastforce
using assms(3) apply fastforce
apply blast+
done

lemma if-safe-None:
  fixes  $\Delta :: ('i, 'a, nat) cont$ 

  assumes  $bdenot\ b\ s \implies safe\ n\ \Delta\ C1\ (s, h)\ S$ 
    and  $\neg\ bdenot\ b\ s \implies safe\ n\ \Delta\ C2\ (s, h)\ S$ 
    and  $\Delta = None$ 
  shows  $safe\ (Suc\ n)\ (None :: ('i, 'a, nat) cont)\ (Cif\ b\ C1\ C2)\ (s, h)\ S$ 
proof (rule safeNoneI)
  show  $Cif\ b\ C1\ C2 = Cskip \implies (s, h) \in S$  by simp
  show  $no-abort\ (None :: ('i, 'a, nat) cont)\ (Cif\ b\ C1\ C2)\ s\ h$ 
  proof (rule no-abortNoneI)
    fix  $hf\ H$  assume  $Some\ H = Some\ h \oplus Some\ hf \wedge full-ownership\ (get-fh\ H) \wedge$ 
    no-guard\ H
    show  $\neg\ aborts\ (Cif\ b\ C1\ C2)\ (s, FractionalHeap.normalize\ (get-fh\ H))$ 
    proof (rule ccontr)
      assume  $\neg\ \neg\ aborts\ (Cif\ b\ C1\ C2)\ (s, FractionalHeap.normalize\ (get-fh\ H))$ 
      then have  $aborts\ (Cif\ b\ C1\ C2)\ (s, FractionalHeap.normalize\ (get-fh\ H))$  by
      simp
    then show False
      by (rule aborts.cases) auto
    qed
  qed
  fix  $H\ hf\ C'\ s'\ h'$ 
  assume  $asm0: Some\ H = Some\ h \oplus Some\ hf \wedge full-ownership\ (get-fh\ H) \wedge$ 
  no-guard\ H
   $\wedge red\ (Cif\ b\ C1\ C2)\ (s, FractionalHeap.normalize\ (get-fh\ H))\ C'\ (s', h')$ 
  show  $\exists h''\ H'.$ 
     $full-ownership\ (get-fh\ H') \wedge$ 
     $no-guard\ H' \wedge h' = FractionalHeap.normalize\ (get-fh\ H') \wedge Some\ H' =$ 
     $Some\ h'' \oplus Some\ hf \wedge safe\ n\ (None :: ('i, 'a, nat) cont)\ C'\ (s', h'')\ S$ 
    by (metis asm0 assms(1) assms(2) assms(3) if-cases)
  qed (simp)

```

```

lemma if-safe-Some:
  assumes  $bdenot\ b\ s \implies safe\ n\ (Some\ \Gamma)\ C1\ (s, h)\ S$ 
    and  $\neg\ bdenot\ b\ s \implies safe\ n\ (Some\ \Gamma)\ C2\ (s, h)\ S$ 
  shows  $safe\ (Suc\ n)\ (Some\ \Gamma)\ (Cif\ b\ C1\ C2)\ (s, h)\ S$ 
proof (rule safeSomeI)
  show  $Cif\ b\ C1\ C2 = Cskip \implies (s, h) \in S$  by simp
  show  $no-abort\ (Some\ \Gamma)\ (Cif\ b\ C1\ C2)\ s\ h$ 
  proof (rule no-abortSomeI)
    fix  $H\ hf\ hj\ v0$ 
    assume  $asm0: Some\ H = Some\ h \oplus Some\ hj \oplus Some\ hf \wedge full-ownership$ 

```



```

(get-fh  $H$ )  $\wedge$  semi-consistent  $\Gamma$   $v0$   $H$   $\wedge$  sat-inv  $s$   $hj$   $\Gamma$ 
  show  $\neg$  aborts (Cif  $b$   $C1$   $C2$ ) ( $s$ , FractionalHeap.normalize (get-fh  $H$ ))
  proof (rule ccontr)
    assume  $\neg$   $\neg$  aborts (Cif  $b$   $C1$   $C2$ ) ( $s$ , FractionalHeap.normalize (get-fh  $H$ ))
    then have aborts (Cif  $b$   $C1$   $C2$ ) ( $s$ , FractionalHeap.normalize (get-fh  $H$ )) by
simp
      then show False
      by (rule aborts.cases) auto
    qed
  qed
  fix  $H$   $hf$   $C'$   $s'$   $h'$   $hj$   $v0$ 
  assume asm0: Some  $H = \text{Some } h \oplus \text{Some } hj \oplus \text{Some } hf \wedge$  full-ownership (get-fh
 $H$ )  $\wedge$  semi-consistent  $\Gamma$   $v0$   $H$ 
   $\wedge$  sat-inv  $s$   $hj$   $\Gamma$   $\wedge$  red (Cif  $b$   $C1$   $C2$ ) ( $s$ , FractionalHeap.normalize (get-fh  $H$ ))
 $C'$  ( $s'$ ,  $h'$ )
  show  $\exists h'' H' hj'$ .
    full-ownership (get-fh  $H'$ )  $\wedge$ 
    semi-consistent  $\Gamma$   $v0$   $H' \wedge$ 
    sat-inv  $s'$   $hj'$   $\Gamma$   $\wedge$   $h' = \text{FractionalHeap.normalize } (\text{get-fh } H') \wedge \text{Some } H' =$ 
Some  $h'' \oplus \text{Some } hj' \oplus \text{Some } hf \wedge$  safe  $n$  (Some  $\Gamma$ )  $C'$  ( $s'$ ,  $h''$ )  $S$ 
    by (metis asm0 assms(1) assms(2) if-cases)
  qed (simp)

```

**lemma** *if-safe*:

```

fixes  $\Delta :: ('i, 'a, \text{nat}) \text{ cont}$ 
assumes bdenot  $b$   $s \implies \text{safe } n$   $\Delta$   $C1$  ( $s$ ,  $h$ )  $S$ 
  and  $\neg$  bdenot  $b$   $s \implies \text{safe } n$   $\Delta$   $C2$  ( $s$ ,  $h$ )  $S$ 
shows safe (Suc  $n$ )  $\Delta$  (Cif  $b$   $C1$   $C2$ ) ( $s$ ,  $h$ )  $S$ 
apply (cases  $\Delta$ )
using assms(1) assms(2) if-safe-None apply blast
using assms(1) assms(2) if-safe-Some by blast

```

**theorem** *if1-rule*:

```

fixes  $\Delta :: ('i, 'a, \text{nat}) \text{ cont}$ 
assumes hoare-triple-valid  $\Delta$  (And  $P$  (Bool  $b$ ))  $C1$   $Q$ 
  and hoare-triple-valid  $\Delta$  (And  $P$  (Bool (Bnot  $b$ )))  $C2$   $Q$ 
shows hoare-triple-valid  $\Delta$  (And  $P$  (Low  $b$ )) (Cif  $b$   $C1$   $C2$ )  $Q$ 
proof –

```

```

  obtain  $\Sigma t$  where safe-t:  $\bigwedge \sigma n. \sigma, \sigma \models \text{And } P$  (Bool  $b$ )  $\implies$  bounded (snd  $\sigma$ )
 $\implies \text{safe } n$   $\Delta$   $C1$   $\sigma$  ( $\Sigma t$   $\sigma$ )
   $\bigwedge \sigma \sigma'. \sigma, \sigma' \models \text{And } P$  (Bool  $b$ )  $\implies$  pair-sat ( $\Sigma t$   $\sigma$ ) ( $\Sigma t$   $\sigma'$ )  $Q$ 
  using assms(1) hoare-triple-validE by blast
  obtain  $\Sigma f$  where safe-f:  $\bigwedge \sigma n. \sigma, \sigma \models \text{And } P$  (Bool (Bnot  $b$ ))  $\implies$  bounded
(snd  $\sigma$ )  $\implies \text{safe } n$   $\Delta$   $C2$   $\sigma$  ( $\Sigma f$   $\sigma$ )
   $\bigwedge \sigma \sigma'. \sigma, \sigma' \models \text{And } P$  (Bool (Bnot  $b$ ))  $\implies$  pair-sat ( $\Sigma f$   $\sigma$ ) ( $\Sigma f$   $\sigma'$ )  $Q$ 
  using assms(2) hoare-triple-validE by blast

```

```

define  $\Sigma$  where  $\Sigma = (\lambda\sigma. \text{if } \text{bdenot } b \text{ (fst } \sigma) \text{ then } \Sigma t \sigma \text{ else } \Sigma f \sigma)$ 

show ?thesis
proof (rule hoare-triple-valid-smallerI-bounded)

  show  $\bigwedge\sigma n. \sigma, \sigma \models \text{And } P \text{ (Low } b) \implies \text{bounded (snd } \sigma) \implies \text{safe } n \Delta \text{ (Cif } b$ 
   $C1 \ C2) \sigma \ (\Sigma \sigma)$ 
  proof –
    fix  $\sigma n$ 
    assume  $\text{asm0}: \sigma, \sigma \models \text{And } P \text{ (Low } b) \text{ bounded (snd } \sigma)$ 
    show  $\text{safe } n \Delta \text{ (Cif } b \ C1 \ C2) \sigma \ (\Sigma \sigma)$ 
    proof (cases bdenot b (fst  $\sigma$ ))
      case True
      then have  $\text{safe } n \Delta \ C1 \ \sigma \ (\Sigma \sigma)$ 
      by (metis  $\Sigma$ -def asm0 hyper-sat.simps(1) hyper-sat.simps(3) prod.exhaust-sel
safe-t(1))
      then show ?thesis
      by (metis (no-types, lifting) Suc-n-not-le-n True if-safe nat-le-linear
prod.exhaust-sel safe-smaller)
      next
      case False
      then have  $\text{safe } n \Delta \ C2 \ \sigma \ (\Sigma \sigma)$ 
      by (metis  $\Sigma$ -def asm0 bdenot.simps(3) hyper-sat.simps(1) hyper-sat.simps(3)
prod.exhaust-sel safe-f(1))
      then show ?thesis
      by (metis (mono-tags) False Suc-n-not-le-n if-safe nat-le-linear prod.exhaust-sel
safe-smaller)
      qed
    qed
    fix  $\sigma \sigma'$  assume  $\text{asm0}: \sigma, \sigma' \models \text{And } P \text{ (Low } b)$ 
    show pair-sat ( $\Sigma \sigma$ ) ( $\Sigma \sigma'$ ) Q
    proof (cases bdenot b (fst  $\sigma$ ))
      case True
      then show ?thesis
      by (metis (no-types, lifting)  $\Sigma$ -def asm0 hyper-sat.simps(1) hyper-sat.simps(3)
hyper-sat.simps(5) prod.exhaust-sel safe-t(2))
      next
      case False
      then show ?thesis
      by (metis (no-types, lifting)  $\Sigma$ -def asm0 bdenot.simps(3) hyper-sat.simps(1)
hyper-sat.simps(3) hyper-sat.simps(5) prod.exhaust-sel safe-f(2))
      qed
    qed
  qed

theorem if2-rule:
  fixes  $\Delta :: ('i, 'a, \text{nat}) \text{ cont}$ 
  assumes hoare-triple-valid  $\Delta \text{ (And } P \text{ (Bool } b)) \ C1 \ Q$ 
  and hoare-triple-valid  $\Delta \text{ (And } P \text{ (Bool (Bnot } b)) \ C2 \ Q$ 

```

```

    and unary Q
  shows hoare-triple-valid  $\Delta P (Cif\ b\ C1\ C2) Q$ 
proof -
  obtain  $\Sigma t$  where safe-t:  $\bigwedge \sigma\ n.\ \sigma, \sigma \models And\ P\ (Bool\ b) \implies bounded\ (snd\ \sigma) \implies safe\ n\ \Delta\ C1\ \sigma\ (\Sigma t\ \sigma)$ 
   $\bigwedge \sigma\ \sigma'.\ \sigma, \sigma' \models And\ P\ (Bool\ b) \implies pair-sat\ (\Sigma t\ \sigma)\ (\Sigma t\ \sigma')\ Q$ 
  using assms(1) hoare-triple-validE by blast
  obtain  $\Sigma f$  where safe-f:  $\bigwedge \sigma\ n.\ \sigma, \sigma \models And\ P\ (Bool\ (Bnot\ b)) \implies bounded\ (snd\ \sigma) \implies safe\ n\ \Delta\ C2\ \sigma\ (\Sigma f\ \sigma)$ 
   $\bigwedge \sigma\ \sigma'.\ \sigma, \sigma' \models And\ P\ (Bool\ (Bnot\ b)) \implies pair-sat\ (\Sigma f\ \sigma)\ (\Sigma f\ \sigma')\ Q$ 
  using assms(2) hoare-triple-validE by blast

  define  $\Sigma$  where  $\Sigma = (\lambda \sigma.\ if\ bdenot\ b\ (fst\ \sigma)\ then\ \Sigma t\ \sigma\ else\ \Sigma f\ \sigma)$ 

  show ?thesis
  proof (rule hoare-triple-valid-smallerI-bounded)

    show  $\bigwedge \sigma\ n.\ \sigma, \sigma \models P \implies bounded\ (snd\ \sigma) \implies safe\ n\ \Delta\ (Cif\ b\ C1\ C2)\ \sigma\ (\Sigma\ \sigma)$ 
  proof -
    fix  $\sigma\ n$ 
    assume asm0:  $\sigma, \sigma \models P\ bounded\ (snd\ \sigma)$ 
    show  $safe\ n\ \Delta\ (Cif\ b\ C1\ C2)\ \sigma\ (\Sigma\ \sigma)$ 
    proof (cases bdenot b (fst  $\sigma$ ))
      case True
      then have  $safe\ n\ \Delta\ C1\ \sigma\ (\Sigma\ \sigma)$ 
      by (metis  $\Sigma$ -def asm0 hyper-sat.simps(1) hyper-sat.simps(3) prod.exhaust-sel safe-t(1))
      then show ?thesis
      by (metis (no-types, lifting) Suc-n-not-le-n True if-safe nat-le-linear prod.exhaust-sel safe-smaller)
    next
      case False
      then have  $safe\ n\ \Delta\ C2\ \sigma\ (\Sigma\ \sigma)$ 
      by (metis  $\Sigma$ -def asm0 bdenot.simps(3) hyper-sat.simps(1) hyper-sat.simps(3) prod.exhaust-sel safe-f(1))
      then show ?thesis
      by (metis (mono-tags) False Suc-n-not-le-n if-safe nat-le-linear prod.exhaust-sel safe-smaller)
    qed
  qed
  fix  $\sigma 1\ \sigma 2$  assume asm0:  $\sigma 1, \sigma 2 \models P$ 
  then have asm0-bis:  $\sigma 2, \sigma 1 \models P$ 
  by (simp add: sat-comm)
  show pair-sat  $(\Sigma\ \sigma 1)\ (\Sigma\ \sigma 2)\ Q$ 
  proof (rule pair-sat-smallerI)
    fix  $\sigma 1'\ \sigma 2'$ 
    assume asm1:  $\sigma 1' \in \Sigma\ \sigma 1 \wedge \sigma 2' \in \Sigma\ \sigma 2$ 
    then have  $\sigma 1', \sigma 1' \models Q$ 

```

```

apply (cases bdenot b (fst  $\sigma 1$ ))
apply (metis (no-types, lifting)  $\Sigma$ -def always-sat-refl asm0 hyper-sat.simps(1)
hyper-sat.simps(3) pair-sat-def safe-t(2) surjective-pairing)
by (metis (no-types, lifting)  $\Sigma$ -def always-sat-refl asm0 bdenot.simps(3)
hyper-sat.simps(1) hyper-sat.simps(3) pair-satE prod.collapse safe-f(2))
moreover have  $\sigma 2', \sigma 2' \models Q$ 
apply (cases bdenot b (fst  $\sigma 2$ ))
apply (metis (mono-tags)  $\Sigma$ -def always-sat-refl asm0-bis asm1 entailsI en-
tails-def fst-conv hyper-sat.simps(1) hyper-sat.simps(3) old.prod.exhaust pair-sat-def
safe-t(2))
using  $\Sigma$ -def always-sat-refl asm0-bis bdenot.simps(3) hyper-sat.simps(1)
hyper-sat.simps(3) pair-satE prod.collapse safe-f(2)
by (metis (no-types, lifting) asm1)
ultimately show  $\sigma 1', \sigma 2' \models Q$ 
by (metis assms(3) eq-fst-iff unaryE)
qed
qed
qed

```

#### 4.4.10 Sequential composition

**inductive-cases** *red-seq-cases*:  $red (Cseq C1 C2) \sigma C' \sigma'$

**lemma** *aborts-seq-aborts-C1*:

```

assumes aborts (Cseq C1 C2)  $\sigma$ 
shows aborts C1  $\sigma$ 
using aborts.simps assms cmd.inject(6) by blast

```

**lemma** *safe-seq-None*:

```

assumes safe n (None :: ('i, 'a, nat) cont) C1 (s, h) S1
and  $\bigwedge m s' h'. m \leq n \wedge (s', h') \in S1 \implies \text{safe } m \text{ (None :: ('i, 'a, nat) cont)}$ 
C2 (s', h') S2
shows safe n (None :: ('i, 'a, nat) cont) (Cseq C1 C2) (s, h) S2
using assms
proof (induct n arbitrary: C1 s h)
case (Suc n)
show ?case
proof (rule safeNoneI)
show no-abort (None :: ('i, 'a, nat) cont) (Cseq C1 C2) s h
by (meson Suc.prems(1) aborts-seq-aborts-C1 no-abort.simps(1) safeNoneE-bis(2))
show accesses (Cseq C1 C2) s  $\subseteq \text{dom } (fst h) \wedge \text{writes } (Cseq C1 C2) s \subseteq \text{fpdom}$ 
(fst h)
by (metis Suc.prems(1) accesses.simps(7) fst-conv safeAccessesE snd-conv
writes.simps(7))
fix H hf C' s' h'
assume asm0:  $\text{Some } H = \text{Some } h \oplus \text{Some } hf \wedge$ 
full-ownership (get-fh H)  $\wedge$  no-guard H  $\wedge$  red (Cseq C1 C2) (s, Fractional-Heap.normalize (get-fh H)) C' (s', h')

```

**show**  $\exists h'' H'$ .  
*full-ownership* (*get-fh*  $H'$ )  $\wedge$   
*no-guard*  $H' \wedge h' = \text{FractionalHeap.normalize } (\text{get-fh } H') \wedge \text{Some } H' =$   
 $\text{Some } h'' \oplus \text{Some } hf \wedge \text{safe } n \text{ (None :: ('i, 'a, nat) cont) } C' (s', h'') S2$   
**proof** (*rule red-seq-cases*)  
**show** *red* (*Cseq*  $C1 C2$ ) ( $s, \text{FractionalHeap.normalize } (\text{get-fh } H)$ )  $C' (s', h')$   
**using** *asm0* **by** *blast*  
**show**  $C1 = Cskip \implies$   
 $C' = C2 \implies$   
 $(s', h') = (s, \text{FractionalHeap.normalize } (\text{get-fh } H)) \implies$   
 $\exists h'' H'. \text{full-ownership } (\text{get-fh } H') \wedge$   
*no-guard*  $H' \wedge h' = \text{FractionalHeap.normalize } (\text{get-fh } H') \wedge \text{Some } H' = \text{Some}$   
 $h'' \oplus \text{Some } hf \wedge \text{safe } n \text{ (None :: ('i, 'a, nat) cont) } C' (s', h'') S2$   
**using** *Suc.prem*(1) *Suc.prem*(2) *asm0* *order-refl* *prod.inject* *safeNoneE-bis*(1)  
**by** (*metis le-SucI*)  
**fix**  $C1'$  **assume**  $C' = Cseq C1' C2$  *red*  $C1 (s, \text{FractionalHeap.normalize}$   
 $(\text{get-fh } H)) C1' (s', h')$   
**obtain**  $H' h''$  **where** *asm1*: *full-ownership* (*get-fh*  $H'$ ) *no-guard*  $H' h' =$   
 $\text{FractionalHeap.normalize } (\text{get-fh } H')$   
 $\text{Some } H' = \text{Some } h'' \oplus \text{Some } hf \text{ safe } n \text{ (None :: ('i, 'a, nat) cont) } C1' (s',$   
 $h'') S1$   
**using** *Suc*(2) *safeNoneE*(3)[*of n C1 s h S1 H hf C1' s' h'*]  
**using**  $\langle \text{red } C1 (s, \text{FractionalHeap.normalize } (\text{get-fh } H)) C1' (s', h') \rangle$  *asm0*  
**by** *blast*  
**moreover** **have** *safe n* (*None :: ('i, 'a, nat) cont*) (*Cseq*  $C1' C2$ ) ( $s', h''$ )  $S2$   
**using** *Suc.hyps* *Suc.prem*(2) *calculation*(5)  
**using** *le-Suc-eq* **by** *presburger*  
**ultimately** **show** *?thesis*  
**using**  $\langle C' = Cseq C1' C2 \rangle$  **by** *blast*  
**qed**  
**qed** (*simp*)  
**qed** (*simp*)

**lemma** *safe-seq-Some*:

**assumes** *safe n* (*Some*  $\Gamma$ )  $C1 (s, h) S1$   
**and**  $\bigwedge m s' h'. m \leq n \wedge (s', h') \in S1 \implies \text{safe } m \text{ (Some } \Gamma) C2 (s', h') S2$   
**shows** *safe n* (*Some*  $\Gamma$ ) (*Cseq*  $C1 C2$ ) ( $s, h$ )  $S2$   
**using** *assms*  
**proof** (*induct n arbitrary: C1 s h*)  
**case** (*Suc n*)  
**show** *?case*  
**proof** (*rule safeSomeI*)  
**show** *no-abort* (*Some*  $\Gamma$ ) (*Cseq*  $C1 C2$ )  $s h$   
**by** (*meson Suc.prem*(1) *aborts-seq-aborts-C1* *no-abort.simps*(2) *safeSomeE*(2))  
**show** *accesses* (*Cseq*  $C1 C2$ )  $s \subseteq \text{dom } (\text{fst } h) \wedge \text{writes } (\text{Cseq } C1 C2) s \subseteq \text{fpdom}$   
 $(\text{fst } h)$   
**by** (*metis Suc.prem*(1) *accesses.simps*(7) *fst-conv* *safeAccessesE* *snd-conv*  
*writes.simps*(7))  
**fix**  $H hf C' s' h' hj v0$

**assume** *asm0*: *Some H = Some h ⊕ Some hj ⊕ Some hf ∧*  
*full-ownership (get-fh H) ∧ semi-consistent Γ v0 H ∧ sat-inv s hj Γ ∧ red*  
*(Cseq C1 C2) (s, FractionalHeap.normalize (get-fh H)) C' (s', h')*  
**show**  $\exists h'' H' hj'. \text{full-ownership (get-fh } H') \wedge$   
 $\text{semi-consistent } \Gamma \text{ v0 } H' \wedge \text{sat-inv } s' hj' \Gamma \wedge h' = \text{FractionalHeap.normalize}$   
 $(\text{get-fh } H') \wedge \text{Some } H' = \text{Some } h'' \oplus \text{Some } hj' \oplus \text{Some } hf \wedge \text{safe } n \text{ (Some } \Gamma) \text{ C'}$   
 $(s', h'') \text{ S2}$   
**proof** (*rule red-seq-cases*)  
**show** *red (Cseq C1 C2) (s, FractionalHeap.normalize (get-fh H)) C' (s', h')*  
**using** *asm0 by blast*  
**show** *C1 = Cskip ⇒*  
*C' = C2 ⇒*  
 $(s', h') = (s, \text{FractionalHeap.normalize (get-fh H)}) \implies \exists h'' H' hj'. \text{full-ownership}$   
 $(\text{get-fh } H') \wedge$   
 $\text{semi-consistent } \Gamma \text{ v0 } H' \wedge \text{sat-inv } s' hj' \Gamma \wedge h' = \text{FractionalHeap.normalize}$   
 $(\text{get-fh } H')$   
 $\wedge \text{Some } H' = \text{Some } h'' \oplus \text{Some } hj' \oplus \text{Some } hf \wedge \text{safe } n \text{ (Some } \Gamma) \text{ C' (s', h'')}$   
*S2*  
**using** *Pair-inject Suc.prem(1) Suc-n-not-le-n asm0 assms(2) not-less-eq-eq*  
*safeSomeE(1)*  
**by** (*metis (no-types, lifting) Suc.prem(2) nat-le-linear*)  
**fix** *C1' assume C' = Cseq C1' C2 red C1 (s, FractionalHeap.normalize*  
*(get-fh H)) C1' (s', h')*  
**obtain** *H' h'' hj' where asm1: full-ownership (get-fh H') ∧*  
 $\text{semi-consistent } \Gamma \text{ v0 } H' \wedge \text{sat-inv } s' hj' \Gamma \wedge h' = \text{FractionalHeap.normalize}$   
 $(\text{get-fh } H') \wedge \text{Some } H' = \text{Some } h'' \oplus \text{Some } hj' \oplus \text{Some } hf \wedge \text{safe } n \text{ (Some } \Gamma) \text{ C1'}$   
 $(s', h'') \text{ S1}$   
**using** *Suc(2) safeSomeE(3)[of n Γ C1 s h S1 H hj hf v0 C1' s' h']*  
**using**  $\langle \text{red } C1 \text{ (s, FractionalHeap.normalize (get-fh H)) C1' (s', h')} \rangle \text{ asm0}$   
**by** *blast*  
**moreover** *have safe n (Some Γ) (Cseq C1' C2) (s', h'') S2*  
**by** (*simp add: Suc.hyps Suc.prem(2) calculation*)  
**ultimately show** *?thesis*  
**using**  $\langle C' = Cseq C1' C2 \rangle \text{ by blast}$   
**qed**  
**qed** (*simp*)  
**qed** (*simp*)

**lemma** *seq-safe:*

**fixes**  $\Delta :: ('i, 'a, \text{nat}) \text{ cont}$   
**assumes** *safe n Δ C1 (s, h) S1*  
**and**  $\bigwedge m s' h'. m \leq n \wedge (s', h') \in S1 \implies \text{safe } m \Delta C2 (s', h') \text{ S2}$   
**shows** *safe n Δ (Cseq C1 C2) (s, h) S2*  
**apply** (*cases Δ*)  
**using** *assms(1) assms(2) safe-seq-None apply blast*  
**using** *assms(1) assms(2) safe-seq-Some by blast*

**theorem** *seq-rule:*

**fixes**  $\Delta :: ('i, 'a, \text{nat}) \text{ cont}$

```

assumes hoare-triple-valid  $\Delta P C1 R$ 
and hoare-triple-valid  $\Delta R C2 Q$ 
shows hoare-triple-valid  $\Delta P (Cseq C1 C2) Q$ 
proof –
  obtain  $\Sigma1$  where safe-1:  $\bigwedge \sigma n. \sigma, \sigma \models P \implies bounded (snd \sigma) \implies safe\ n\ \Delta$ 
   $C1\ \sigma\ (\Sigma1\ \sigma)$ 
   $\bigwedge \sigma \sigma'. \sigma, \sigma' \models P \implies pair\text{-}sat\ (\Sigma1\ \sigma)\ (\Sigma1\ \sigma')\ R$ 
  using assms(1) hoare-triple-validE by blast
  obtain  $\Sigma2$  where safe-2:  $\bigwedge \sigma n. \sigma, \sigma \models R \implies bounded (snd \sigma) \implies safe\ n\ \Delta$ 
   $C2\ \sigma\ (\Sigma2\ \sigma)$ 
   $\bigwedge \sigma \sigma'. \sigma, \sigma' \models R \implies pair\text{-}sat\ (\Sigma2\ \sigma)\ (\Sigma2\ \sigma')\ Q$ 
  using assms(2) hoare-triple-validE by blast

  define  $\Sigma$  where  $\Sigma = (\lambda \sigma. (\bigcup \sigma' \in \Sigma1\ \sigma. \Sigma2\ \sigma'))$ 

  show ?thesis
  proof (rule hoare-triple-valid-smallerI-bounded)
    show  $\bigwedge \sigma n. \sigma, \sigma \models P \implies bounded (snd \sigma) \implies safe\ n\ \Delta (Cseq\ C1\ C2)\ \sigma\ (\Sigma\ \sigma)$ 
    proof –
      fix  $\sigma\ n$  assume asm0:  $\sigma, \sigma \models P\ bounded\ (snd\ \sigma)$ 
      then have  $pair\text{-}sat\ (\Sigma1\ \sigma)\ (\Sigma1\ \sigma)\ R$ 
      using safe-1(2) by blast

      have  $safe\ n\ \Delta (Cseq\ C1\ C2)\ (fst\ \sigma,\ snd\ \sigma)\ (\Sigma\ \sigma)$ 
      proof (rule seq-safe)
        thm restrict-safe-to-bounded
        show  $safe\ n\ \Delta\ C1\ (fst\ \sigma,\ snd\ \sigma)\ (Set.filter\ (bounded\ \circ\ snd)\ (\Sigma1\ \sigma))$ 
        apply (rule restrict-safe-to-bounded)
        using asm0 safe-1(1) by simp-all

        fix  $m\ s'\ h'$ 
        assume  $m \leq n \wedge (s', h') \in Set.filter\ (bounded\ \circ\ snd)\ (\Sigma1\ \sigma)$ 
        then have  $safe\ m\ \Delta\ C2\ (s', h')\ (\Sigma2\ (s', h'))$ 
        using safe-2(1)[of (s', h') m]  $\langle pair\text{-}sat\ (\Sigma1\ \sigma)\ (\Sigma1\ \sigma)\ R \rangle$  unfolding
        pair-sat-def
        by simp
        then show  $safe\ m\ \Delta\ C2\ (s', h')\ (\Sigma\ \sigma)$ 
        using Sup-upper  $\langle \Sigma \equiv \lambda \sigma. \bigcup (\Sigma2\ ' \Sigma1\ \sigma) \rangle$   $\langle pair\text{-}sat\ (\Sigma1\ \sigma)\ (\Sigma1\ \sigma)\ R \rangle$ 
        image-iff safe-larger-set asm0(2)
        by (metis (no-types, lifting))  $\langle m \leq n \wedge (s', h') \in Set.filter\ (bounded\ \circ\ snd)\ (\Sigma1\ \sigma) \rangle$  member-filter
        qed
        then show  $safe\ n\ \Delta (Cseq\ C1\ C2)\ \sigma\ (\Sigma\ \sigma)$  by auto
      qed
      fix  $\sigma1\ \sigma2$ 
      assume asm0:  $\sigma1, \sigma2 \models P$ 
      show  $pair\text{-}sat\ (\Sigma\ \sigma1)\ (\Sigma\ \sigma2)\ Q$ 
      proof (rule pair-sat-smallerI)

```

```

fix  $\sigma 1'' \sigma 2''$ 
assume  $asm1: \sigma 1'' \in \Sigma \sigma 1 \wedge \sigma 2'' \in \Sigma \sigma 2$ 
then obtain  $\sigma 1' \sigma 2'$  where  $\sigma 1'' \in \Sigma 2 \sigma 1' \sigma 1' \in \Sigma 1 \sigma 1 \sigma 2'' \in \Sigma 2 \sigma 2'$ 
 $\sigma 2' \in \Sigma 1 \sigma 2$ 
using  $\langle \Sigma \equiv \lambda \sigma. \bigcup (\Sigma 2 \text{ ' } \Sigma 1 \sigma) \rangle$  by blast
then show  $\sigma 1'', \sigma 2'' \models Q$ 
by (meson asm0 pair-sat-def safe-1(2) safe-2(2))
qed
qed
qed

```

#### 4.4.11 Frame rule

**lemma** *safe-frame-None*:

```

assumes safe  $n$  (None :: ('i, 'a, nat) cont)  $C$  ( $s$ ,  $h$ )  $S$ 
and Some  $H = \text{Some } h \oplus \text{Some } hf0$ 
and bounded  $H$ 
shows safe  $n$  (None :: ('i, 'a, nat) cont)  $C$  ( $s$ ,  $H$ ) (add-states  $S \{(s'', hf0) | s''.$ 
agrees ( $- wrC C$ )  $s s''\}$ )
using assms
proof (induct  $n$  arbitrary:  $s h H C$ )
case (Suc  $n$ )
show safe (Suc  $n$ ) (None :: ('i, 'a, nat) cont)  $C$  ( $s$ ,  $H$ ) (add-states  $S \{(s'', hf0)$ 
 $| s''. \text{agrees} (- wrC C) s s''\}$ )
proof (rule safeNoneI)
show  $C = Cskip \implies (s, H) \in \text{add-states } S \{(s', hf0) | s'. \text{agrees} (- wrC C) s$ 
 $s'\}$ 
using CollectI Suc.prems(1) Suc.prems(2) add-states-def agrees-def[of  $- wrC$ 
 $C s$ ] safeNoneE(1)[of  $n C s h S$ ]
by fast
show no-abort (None :: ('i, 'a, nat) cont)  $C s H$ 
using Suc.prems(1) Suc.prems(2) larger-def no-abort-larger safeNoneE(2)
by blast

```

```

have accesses  $C s \subseteq \text{dom} (fst h) \wedge \text{writes } C s \subseteq \text{fpdom} (fst h)$ 

```

```

using Suc.prems(1) by auto

```

```

moreover have dom ( $fst h$ )  $\subseteq \text{dom} (fst H)$ 

```

```

by (metis Suc.prems(2) addition-smaller-domain get-fh.simps)

```

```

moreover have fpdom ( $fst h$ )  $\subseteq \text{fpdom} (fst H)$ 

```

```

using Suc.prems(2) fpdom-inclusion

```

```

using Suc.prems(3) by blast

```

```

ultimately show accesses  $C s \subseteq \text{dom} (fst H) \wedge \text{writes } C s \subseteq \text{fpdom} (fst H)$ 

```

```

by blast

```

```

fix  $H1 hf1 C' s' h'$ 

```

```

assume asm0: Some  $H1 = \text{Some } H \oplus \text{Some } hf1 \wedge \text{full-ownership} (\text{get-fh } H1)$ 

```

```

 $\wedge \text{no-guard } H1 \wedge \text{red } C (s, \text{FractionalHeap.normalize} (\text{get-fh } H1)) C' (s', h')$ 

```

```

then obtain  $hf$  where Some  $hf = \text{Some } hf0 \oplus \text{Some } hf1$ 

```



**by** (*metis* (*no-types*, *opaque-lifting*) *Suc.prem*s(2) *option.collapse plus.simps*(1) *plus-asso plus-comm*)

**then have**  $\text{Some } H1 = \text{Some } h \oplus \text{Some } hf$

**by** (*metis* *Suc.prem*s(2) *asm0 plus-asso*)

**then obtain**  $h'' H'$  **where**  $r$ : *full-ownership* (*get-fh*  $H'$ )

*no-guard*  $H' h' = \text{FractionalHeap.normalize } (\text{get-fh } H') \text{Some } H' = \text{Some } h''$

$\oplus \text{Some } hf \text{ safe } n \text{ (None :: ('i, 'a, nat) cont) } C' (s', h'') S$

**using** *safeNoneE*(3)[*of*  $n C s h S H1 hf C' s'$ ] *Suc.prem*s(1) *asm0* **by** *blast*

**then obtain**  $h'''$  **where**  $\text{Some } h''' = \text{Some } h'' \oplus \text{Some } hf0$

**by** (*metis*  $\langle \text{Some } hf = \text{Some } hf0 \oplus \text{Some } hf1 \rangle$  *not-Some-eq plus.simps*(1) *plus-asso*)

**then have**  $\text{Some } H' = \text{Some } h''' \oplus \text{Some } hf1$

**by** (*metis*  $\langle \text{Some } hf = \text{Some } hf0 \oplus \text{Some } hf1 \rangle$  *plus-asso*  $r(4)$ )

**moreover have** *safe*  $n \text{ (None :: ('i, 'a, nat) cont) } C' (s', h''')$  (*add-states*  $S \{(s'', hf0) | s''. \text{ agrees } (- \text{ wrC } C') s' s''\}$ )

**proof** (*rule* *Suc.hyps*)

**show** *safe*  $n \text{ (None :: ('i, 'a, nat) cont) } C' (s', h'')$

**using**  $r$  **by** *simp*

**show**  $\text{Some } h''' = \text{Some } h'' \oplus \text{Some } hf0$

**by** (*simp* *add*:  $\langle \text{Some } h''' = \text{Some } h'' \oplus \text{Some } hf0 \rangle$ )

**show** *bounded*  $h'''$

**by** (*metis* *bounded-smaller-sum calculation full-ownership-then-bounded get-fh.simps*  $r(1)$ )

**qed**

**moreover have** *add-states*  $S \{(s'', hf0) | s''. \text{ agrees } (- \text{ wrC } C') s' s''\} \subseteq \text{add-states } S \{(s'', hf0) | s''. \text{ agrees } (- \text{ wrC } C) s s''\}$

**proof** –

**have**  $\text{wrC } C' \subseteq \text{wrC } C$

**using** *asm0 red-properties*(1) **by** *blast*

**have**  $\{(s'', hf0) | s''. \text{ agrees } (- \text{ wrC } C') s' s''\} \subseteq \{(s'', hf0) | s''. \text{ agrees } (- \text{ wrC } C) s s''\}$

**proof**

**fix**  $x$  **assume**  $x \in \{(s'', hf0) | s''. \text{ agrees } (- \text{ wrC } C') s' s''\}$

**then have**  $\text{ agrees } (- \text{ wrC } C') s' (fst x) \wedge snd x = hf0$  **by** *force*

**moreover have**  $\text{fvC } C' \subseteq \text{fvC } C \wedge \text{wrC } C' \subseteq \text{wrC } C \wedge \text{ agrees } (- \text{ wrC } C)$

$s' s$

**using** *asm0 red-properties*(1) **by** *force*

**moreover have**  $\text{ agrees } (- \text{ wrC } C) s (fst x)$

**proof** (*rule* *agreesI*)

**fix**  $y$  **assume**  $y \in - \text{ wrC } C$

**show**  $s y = fst x y$

**by** (*metis* (*no-types*, *lifting*) *Compl-subset-Compl-iff*  $\langle y \in - \text{ wrC } C \rangle$  *agrees-def calculation*(1) *calculation*(2) *in-mono*)

**qed**

**then show**  $x \in \{(s'', hf0) | s''. \text{ agrees } (- \text{ wrC } C) s s''\}$

**using**  $\langle \text{ agrees } (- \text{ wrC } C') s' (fst x) \wedge snd x = hf0 \rangle$  **by** *force*

**qed**

**then show** *?thesis*

**by** (*metis* (*no-types*, *lifting*) *add-states-comm* *add-states-subset*)  
**qed**  
**ultimately have** *safe n* (*None* :: (*'i*, *'a*, *nat*) *cont*) *C'* (*s'*, *h'''*) (*add-states S*  $\{(s'', hf0) \mid s''. \text{agrees } (- \text{wrC } C) s s''\}$ )  
**using** *safe-larger-set* **by** *blast*  
**then show**  $\exists h'' H'$ .  
*full-ownership* (*get-fh H'*)  $\wedge$   
*no-guard H'*  $\wedge$   
*h' = FractionalHeap.normalize* (*get-fh H'*)  $\wedge$  *Some H' = Some h''*  $\oplus$  *Some hf1*  $\wedge$  *safe n* (*None* :: (*'i*, *'a*, *nat*) *cont*) *C'* (*s'*, *h''*) (*add-states S*  $\{(s'', hf0) \mid s''. \text{agrees } (- \text{wrC } C) s s''\}$ )  
**using**  $\langle \text{Some } H' = \text{Some } h''' \oplus \text{Some } hf1 \rangle r(1) r(2) r(3)$  **by** *blast*  
**qed**  
**qed** (*simp*)

**lemma** *safe-frame-Some*:

**assumes** *safe n* (*Some*  $\Gamma$ ) *C* (*s*, *h*) *S*  
**and** *Some H = Some h*  $\oplus$  *Some hf0*  
**and** *bounded H*  
**shows** *safe n* (*Some*  $\Gamma$ ) *C* (*s*, *H*) (*add-states S*  $\{(s'', hf0) \mid s''. \text{agrees } (- \text{wrC } C) s s''\}$ )  
**using** *assms*  
**proof** (*induct n arbitrary: s h H C*)  
**case** (*Suc n*)  
**let**  $?R = \{(s'', hf0) \mid s''. \text{agrees } (- \text{wrC } C) s s''\}$   
**show** *safe* (*Suc n*) (*Some*  $\Gamma$ ) *C* (*s*, *H*) (*add-states S*  $?R$ )  
**proof** (*rule safeSomeI*)  
**show** *C = Cskip*  $\implies$  (*s*, *H*)  $\in$  *add-states S*  $?R$   
**using** *CollectI Suc.prem(1) Suc.prem(2) add-states-def[of S ?R] agrees-def[of - wrC C s]*  
*safeSomeE(1)[of n  $\Gamma$  C s h S]* **by** *fast*  
**show** *no-abort* (*Some*  $\Gamma$ ) *C* *s* *H*  
**using** *Suc.prem(1) Suc.prem(2) larger-def no-abort-larger safeSomeE(2)*  
**by** *blast*

**have** *accesses C s*  $\subseteq$  *dom (fst h)*  $\wedge$  *writes C s*  $\subseteq$  *fpdom (fst h)*  
**using** *Suc.prem(1)* **by** *auto*  
**moreover have** *dom (fst h)*  $\subseteq$  *dom (fst H)*  
**by** (*metis Suc.prem(2) addition-smaller-domain get-fh.simps*)  
**moreover have** *fpdom (fst h)*  $\subseteq$  *fpdom (fst H)*  
**using** *Suc.prem(2) Suc.prem(3) fpdom-inclusion* **by** *blast*

**ultimately show** *accesses C s*  $\subseteq$  *dom (fst H)*  $\wedge$  *writes C s*  $\subseteq$  *fpdom (fst H)*  
**by** *blast*

**fix** *H1 hf1 C' s' h' hj v0*  
**assume** *asm0: Some H1 = Some H*  $\oplus$  *Some hj*  $\oplus$  *Some hf1*  $\wedge$   
*full-ownership* (*get-fh H1*)  $\wedge$  *semi-consistent*  $\Gamma$  *v0 H1*  $\wedge$  *sat-inv s hj  $\Gamma$*   $\wedge$  *red* *C* (*s*, *FractionalHeap.normalize* (*get-fh H1*)) *C'* (*s'*, *h'*)

**then obtain**  $hf$  **where**  $\text{Some } hf = \text{Some } hf0 \oplus \text{Some } hf1$   
**by** (*metis* (*no-types*, *opaque-lifting*) *Suc.prem*s(2) *option.collapse plus.simps*(1) *plus-asso plus-comm*)  
**then have**  $\text{Some } H1 = \text{Some } h \oplus \text{Some } hj \oplus \text{Some } hf$   
**by** (*metis* (*no-types*, *opaque-lifting*) *Suc.prem*s(2) *asm0 plus-asso plus-comm*)  
  
**then obtain**  $h'' H' hj'$  **where**  $r$ : *full-ownership* (*get-fh*  $H'$ )  $\wedge$   
*semi-consistent*  $\Gamma v0 H' \wedge \text{sat-inv } s' hj' \Gamma \wedge h' = \text{FractionalHeap.normalize}$   
(*get-fh*  $H'$ )  $\wedge \text{Some } H' = \text{Some } h'' \oplus \text{Some } hj' \oplus \text{Some } hf \wedge \text{safe } n (\text{Some } \Gamma) C'$   
 $(s', h'') S$   
**using** *safeSomeE*(3)[*of*  $n \Gamma C s h S H1 hj hf v0 C' s' h'$ ] *Suc.prem*s(1) *asm0*  
**by** *blast*

**then obtain**  $h'''$  **where**  $\text{Some } h''' = \text{Some } h'' \oplus \text{Some } hf0$   
**by** (*metis* (*no-types*, *lifting*)  $\langle \text{Some } hf = \text{Some } hf0 \oplus \text{Some } hf1 \rangle$  *plus.simps*(2) *plus.simps*(3) *plus-asso plus-comm*)  
**then have**  $\text{Some } H' = \text{Some } h''' \oplus \text{Some } hj' \oplus \text{Some } hf1$   
**by** (*metis* (*no-types*, *lifting*)  $\langle \text{Some } hf = \text{Some } hf0 \oplus \text{Some } hf1 \rangle$  *plus-asso plus-comm r*)  
**moreover have** *safe*  $n (\text{Some } \Gamma) C' (s', h''')$  (*add-states*  $S \{(s'', hf0) | s''.$   
*agrees* ( $- wrC C'$ )  $s' s''\}$ )  
**proof** (*rule Suc.hyps*)  
**show** *safe*  $n (\text{Some } \Gamma) C' (s', h'') S$   
**using**  $r$  **by** *simp*  
**show**  $\text{Some } h''' = \text{Some } h'' \oplus \text{Some } hf0$   
**by** (*simp add:*  $\langle \text{Some } h''' = \text{Some } h'' \oplus \text{Some } hf0 \rangle$ )  
**show** *bounded*  $h'''$   
**apply** (*rule bounded-smaller*[*of*  $H'$ ])  
**apply** (*metis full-ownership-then-bounded get-fh.simps r*)  
**by** (*simp add:* *calculation larger3 plus-comm*)  
**qed**  
**moreover have** *add-states*  $S \{(s'', hf0) | s''.$  *agrees* ( $- wrC C'$ )  $s' s''\} \subseteq$   
*add-states*  $S \{(s'', hf0) | s''.$  *agrees* ( $- wrC C$ )  $s s''\}$   
**proof**  $-$   
**have**  $wrC C' \subseteq wrC C$   
**using** *asm0 red-properties*(1) **by** *blast*  
**have**  $\{(s'', hf0) | s''.$  *agrees* ( $- wrC C'$ )  $s' s''\} \subseteq \{(s'', hf0) | s''.$  *agrees* ( $-$   
 $wrC C) s s''\}$   
**proof**  
**fix**  $x$  **assume**  $x \in \{(s'', hf0) | s''.$  *agrees* ( $- wrC C'$ )  $s' s''\}$   
**then have** *agrees* ( $- wrC C'$ )  $s' (fst x) \wedge snd x = hf0$  **by** *force*  
**moreover have**  $fvC C' \subseteq fvC C \wedge wrC C' \subseteq wrC C \wedge \text{agrees} (- wrC C)$   
 $s' s$   
**using** *asm0 red-properties*(1) **by** *force*  
**moreover have** *agrees* ( $- wrC C$ )  $s (fst x)$   
**proof** (*rule agreesI*)  
**fix**  $y$  **assume**  $y \in - wrC C$   
**then show**  $s y = fst x y$

**by** (*metis* (*mono-tags*, *opaque-lifting*) *Compl-iff agrees-def calculation(1)*  
*calculation(2)* *in-mono*)  
**qed**  
**then show**  $x \in \{(s'', hf0) \mid s''. \text{agrees } (- \text{wrC } C) s s''\}$   
**using**  $\langle \text{agrees } (- \text{wrC } C') s' (\text{fst } x) \wedge \text{snd } x = hf0 \rangle$  **by force**  
**qed**  
**then show** *?thesis*  
**by** (*metis* (*no-types*, *lifting*) *add-states-comm add-states-subset*)  
**qed**  
**ultimately have** *safe n* (*Some*  $\Gamma$ )  $C' (s', h''')$  (*add-states S ?R*)  
**using** *safe-larger-set* **by blast**  
**then show**  $\exists h'' H' hj'. \text{full-ownership } (\text{get-fh } H') \wedge$   
*semi-consistent*  $\Gamma v0 H' \wedge$   
*sat-inv*  $s' hj' \Gamma \wedge h' = \text{FractionalHeap.normalize } (\text{get-fh } H') \wedge \text{Some } H' =$   
*Some*  $h'' \oplus \text{Some } hj' \oplus \text{Some } hf1 \wedge \text{safe } n (\text{Some } \Gamma) C' (s', h'')$  (*add-states S ?R*)  
**using**  $\langle \text{Some } H' = \text{Some } h''' \oplus \text{Some } hj' \oplus \text{Some } hf1 \rangle r$  **by blast**  
**qed**  
**qed** (*simp*)

**lemma** *safe-frame*:

**fixes**  $\Delta :: ('i, 'a, \text{nat}) \text{ cont}$   
**assumes** *safe n*  $\Delta C (s, h) S$   
**and** *Some*  $H = \text{Some } h \oplus \text{Some } hf0$   
**and** *bounded*  $H$   
**shows** *safe n*  $\Delta C (s, H)$  (*add-states S*  $\{(s'', hf0) \mid s''. \text{agrees } (- \text{wrC } C) s s''\}$ )  
**apply** (*cases*  $\Delta$ )  
**using** *assms safe-frame-None* **apply blast**  
**using** *assms safe-frame-Some* **by blast**

**theorem** *frame-rule*:

**fixes**  $\Delta :: ('i, 'a, \text{nat}) \text{ cont}$   
**assumes** *hoare-triple-valid*  $\Delta P C Q$   
**and** *disjoint* (*fvA*  $R$ ) (*wrC*  $C$ )  
**and** *precise*  $P \vee \text{precise } R$   
**shows** *hoare-triple-valid*  $\Delta (\text{Star } P R) C (\text{Star } Q R)$

**proof** –

**obtain**  $\Sigma$  **where** *asm0*:  $\bigwedge \sigma n. \sigma, \sigma \models P \implies \text{bounded } (\text{snd } \sigma) \implies \text{safe } n \Delta C$   
 $\sigma (\Sigma \sigma) \bigwedge \sigma \sigma'. \sigma, \sigma' \models P \implies \text{pair-sat } (\Sigma \sigma) (\Sigma \sigma') Q$   
**using** *assms(1) hoare-triple-validE* **by blast**

**define** *pairs* **where** *pairs* =  $(\lambda \sigma. \{ (p, r) \mid p r. \text{Some } (\text{snd } \sigma) = \text{Some } p \oplus \text{Some } r \wedge (\text{fst } \sigma, p), (\text{fst } \sigma, p) \models P$   
 $\wedge (\text{fst } \sigma, r), (\text{fst } \sigma, r) \models R \})$

**define**  $\Sigma'$  **where**  $\Sigma' = (\lambda \sigma. (\bigcup (p, r) \in \text{pairs } \sigma. \text{add-states } (\Sigma (\text{fst } \sigma, p)) \{(s'', r) \mid s''. \text{agrees } (- \text{wrC } C) (\text{fst } \sigma) s''\}))$

**show** *?thesis*

**proof** (*rule hoare-triple-validI-bounded*)

**show**  $\bigwedge s h n. (s, h), (s, h) \models \text{Star } P R \implies \text{bounded } h \implies \text{safe } n \Delta C (s, h)$   
 $(\Sigma' (s, h))$

**proof** –

**fix**  $s h n$  **assume**  $asm1: (s, h), (s, h) \models \text{Star } P R \text{ bounded } h$

**then obtain**  $p r$  **where**  $\text{Some } h = \text{Some } p \oplus \text{Some } r$   $(s, p), (s, p) \models P (s, r), (s, r) \models R$

**using**  $\text{always-sat-refl hyper-sat.simps}(4)$  **by**  $\text{blast}$

**then have**  $\text{safe } n \Delta C (s, p) (\Sigma (s, p))$

**using**  $asm0 asm1$

**by**  $(metis \text{bounded-smaller-sum snd-conv})$

**then have**  $\text{safe } n \Delta C (s, h) (\text{add-states } (\Sigma (s, p)) \{(s'', r) \mid s''. \text{ agrees } (- \text{wrC } C) s s''\})$

**using**  $\text{safe-frame}[of n \Delta C s p \Sigma (s, p) h r] \langle \text{Some } h = \text{Some } p \oplus \text{Some } r \rangle$

**using**  $asm1(2)$  **by**  $\text{blast}$

**moreover have**  $(\text{add-states } (\Sigma (s, p)) \{(s'', r) \mid s''. \text{ agrees } (- \text{wrC } C) s s''\}) \subseteq \Sigma' (s, h)$

**proof** –

**have**  $(p, r) \in \text{pairs } (s, h)$

**using**  $\langle (s, p), (s, p) \models P \rangle \langle (s, r), (s, r) \models R \rangle \langle \text{Some } h = \text{Some } p \oplus \text{Some } r \rangle$   $\text{pairs-def}$  **by**  $\text{force}$

**then show**  $?thesis$

**using**  $\Sigma'$ - $\text{def}$  **by**  $\text{auto}$

**qed**

**ultimately show**  $\text{safe } n \Delta C (s, h) (\Sigma' (s, h))$

**using**  $\text{safe-larger-set}$  **by**  $\text{blast}$

**qed**

**fix**  $s1 h1 s2 h2$

**assume**  $asm1: (s1, h1), (s2, h2) \models \text{Star } P R$

**then obtain**  $p1 p2 r1 r2$  **where**  $\text{Some } h1 = \text{Some } p1 \oplus \text{Some } r1$   $\text{Some } h2 = \text{Some } p2 \oplus \text{Some } r2$

$(s1, p1), (s2, p2) \models P (s1, r1), (s2, r2) \models R$

**by**  $\text{auto}$

**then have**  $(s1, p1), (s1, p1) \models P \wedge (s1, r1), (s1, r1) \models R \wedge (s2, p2), (s2, p2) \models P \wedge (s2, r2), (s2, r2) \models R$

**using**  $\text{always-sat-refl sat-comm}$  **by**  $\text{blast}$

**show**  $\text{pair-sat } (\Sigma' (s1, h1)) (\Sigma' (s2, h2)) (\text{Star } Q R)$

**proof**  $(\text{rule pair-satI})$

**fix**  $s1' h1' s2' h2'$

**assume**  $asm2: (s1', h1') \in \Sigma' (s1, h1) \wedge (s2', h2') \in \Sigma' (s2, h2)$

**then obtain**  $p1' r1' p2' r2'$  **where**  $(p1', r1') \in \text{pairs } (s1, h1) (p2', r2') \in \text{pairs } (s2, h2)$

$(s1', h1') \in \text{add-states } (\Sigma (s1, p1')) \{(s'', r1') \mid s''. \text{ agrees } (- \text{wrC } C) s1 s''\}$

$(s2', h2') \in \text{add-states } (\Sigma (s2, p2')) \{(s'', r2') \mid s''. \text{ agrees } (- \text{wrC } C) s2 s''\}$

**using**  $\Sigma'$ -def by force

**moreover obtain**  $(s1, p1'), (s1, p1') \models P (s1, r1'), (s1, r1') \models R (s2, p2'), (s2, p2') \models P (s2, r2'), (s2, r2') \models R$   
 $Some\ h1 = Some\ p1' \oplus Some\ r1' \quad Some\ h2 = Some\ p2' \oplus Some\ r2'$   
**using** calculation(1) calculation(2) pairs-def by auto  
**ultimately have**  $p1 = p1' \wedge p2 = p2' \wedge r1 = r1' \wedge r2 = r2'$   
**proof** (cases precise P)  
**case** True  
**then have**  $p1 = p1' \wedge p2 = p2'$  **using** preciseE  
**by** (metis  $\langle (s1, p1), (s1, p1) \models P \wedge (s1, r1), (s1, r1) \models R \wedge (s2, p2), (s2, p2) \models P \wedge (s2, r2), (s2, r2) \models R \rangle \langle Some\ h1 = Some\ p1 \oplus Some\ r1 \rangle \langle Some\ h2 = Some\ p2 \oplus Some\ r2 \rangle \langle \wedge thesis. (\llbracket (s1, p1'), (s1, p1') \models P; (s1, r1'), (s1, r1') \models R; (s2, p2'), (s2, p2') \models P; (s2, r2'), (s2, r2') \models R; Some\ h1 = Some\ p1' \oplus Some\ r1'; Some\ h2 = Some\ p2' \oplus Some\ r2' \rrbracket \implies thesis) \implies thesis \rangle$  larger-def)  
**then show** ?thesis  
**by** (metis  $\langle Some\ h1 = Some\ p1 \oplus Some\ r1 \rangle \langle Some\ h1 = Some\ p1' \oplus Some\ r1' \rangle \langle Some\ h2 = Some\ p2 \oplus Some\ r2 \rangle \langle Some\ h2 = Some\ p2' \oplus Some\ r2' \rangle$  addition-cancellative plus-comm)  
**next**  
**case** False  
**then have** precise R  
**using** assms(3) by auto  
**then show** ?thesis  
**by** (metis (no-types, opaque-lifting)  $\langle (s1, p1), (s1, p1) \models P \wedge (s1, r1), (s1, r1) \models R \wedge (s2, p2), (s2, p2) \models P \wedge (s2, r2), (s2, r2) \models R \rangle \langle Some\ h1 = Some\ p1 \oplus Some\ r1 \rangle \langle Some\ h2 = Some\ p2 \oplus Some\ r2 \rangle \langle \wedge thesis. (\llbracket (s1, p1'), (s1, p1') \models P; (s1, r1'), (s1, r1') \models R; (s2, p2'), (s2, p2') \models P; (s2, r2'), (s2, r2') \models R; Some\ h1 = Some\ p1' \oplus Some\ r1'; Some\ h2 = Some\ p2' \oplus Some\ r2' \rrbracket \implies thesis) \implies thesis \rangle$  addition-cancellative larger-def plus-comm preciseE)  
**qed**  
**then have** pair-sat  $(\Sigma (s1, p1')) (\Sigma (s2, p2')) Q$   
**using**  $\langle (s1, p1), (s2, p2) \models P \rangle$  asm0(2) by blast  
**moreover have** pair-sat  $\{(s'', r1') \mid s''.\ agrees (-\ wrC\ C)\ s1\ s''\} \{(s'', r2') \mid s''.\ agrees (-\ wrC\ C)\ s2\ s''\} R$   
(is pair-sat ?R1 ?R2 R)  
**proof** (rule pair-satI)  
**fix**  $s1''\ r1''\ s2''\ r2''$  **assume**  $(s1'', r1'') \in \{(s'', r1') \mid s''.\ agrees (-\ wrC\ C)\ s1\ s''\} \wedge (s2'', r2'') \in \{(s'', r2') \mid s''.\ agrees (-\ wrC\ C)\ s2\ s''\}$   
**then obtain**  $r1'' = r1'\ r2'' = r2'$   $agrees (-\ wrC\ C)\ s1\ s1''\ agrees (-\ wrC\ C)\ s2\ s2''$   
**by** fastforce  
**then show**  $(s1'', r1''), (s2'', r2'') \models R$   
**using**  $\langle (s1, r1), (s2, r2) \models R \rangle \langle p1 = p1' \wedge p2 = p2' \wedge r1 = r1' \wedge r2 = r2' \rangle$  agrees-minusD agrees-same  
assms(2) sat-comm  
**by** (metis (no-types, opaque-lifting) disjoint-def inf-commute)  
**qed**  
**ultimately have** pair-sat (add-states  $(\Sigma (s1, p1'))$  ?R1) (add-states  $(\Sigma (s2,$

$p2')$   $?R2)$  (*Star Q R*)  
**using** *add-states-sat-star* **by** *blast*  
**then show**  $(s1', h1'), (s2', h2') \models \text{Star } Q R$   
**using**  $\langle (s1', h1') \in \text{add-states } (\Sigma (s1, p1')) \{(s'', r1') \mid s''. \text{ agrees } (- \text{ wr } C$   
 $C) s1 s'' \rangle \langle (s2', h2') \in \text{add-states } (\Sigma (s2, p2')) \{(s'', r2') \mid s''. \text{ agrees } (- \text{ wr } C$   
 $s2 s'' \rangle \text{pair-sat-def}$  **by** *blast*  
**qed**  
**qed**  
**qed**

#### 4.4.12 Consequence

**theorem** *consequence-rule*:  
**fixes**  $\Delta :: ('i, 'a, \text{nat}) \text{ cont}$   
**assumes** *hoare-triple-valid*  $\Delta P' C Q'$   
**and** *entails*  $P P'$   
**and** *entails*  $Q' Q$   
**shows** *hoare-triple-valid*  $\Delta P C Q$   
**proof** –  
**obtain**  $\Sigma$  **where** *asm0*:  $\bigwedge \sigma n. \sigma, \sigma \models P' \implies \text{bounded } (\text{snd } \sigma) \implies \text{safe } n \Delta C$   
 $\sigma (\Sigma \sigma) \bigwedge \sigma \sigma'. \sigma, \sigma' \models P' \implies \text{pair-sat } (\Sigma \sigma) (\Sigma \sigma') Q'$   
**using** *assms(1)* *hoare-triple-validE* **by** *blast*  
  
**show** *?thesis*  
**proof** (*rule hoare-triple-validI-bounded*)  
**show**  $\bigwedge s h n. (s, h), (s, h) \models P \implies \text{bounded } h \implies \text{safe } n \Delta C (s, h) (\Sigma (s,$   
 $h))$   
**using** *asm0(1)* *assms(2)* *entails-def*  
**by** *fastforce*  
**show**  $\bigwedge s h s' h'. (s, h), (s', h') \models P \implies \text{pair-sat } (\Sigma (s, h)) (\Sigma (s', h')) Q$   
**by** (*meson asm0(2)* *assms(2)* *assms(3)* *entails-def* *pair-sat-def*)  
**qed**  
**qed**

#### 4.4.13 Existential

**theorem** *existential-rule*:  
**fixes**  $\Delta :: ('i, 'a, \text{nat}) \text{ cont}$   
**assumes** *hoare-triple-valid*  $\Delta P C Q$   
**and**  $x \notin \text{fv } C$   
**and**  $\bigwedge \Gamma. \Delta = \text{Some } \Gamma \implies x \notin \text{fv } A$  (*invariant*  $\Gamma$ )  
**and** *unambiguous*  $P x$   
**shows** *hoare-triple-valid*  $\Delta (\text{Exists } x P) C (\text{Exists } x Q)$   
**proof** –  
**obtain**  $\Sigma$  **where** *asm0*:  $\bigwedge \sigma n. \sigma, \sigma \models P \implies \text{bounded } (\text{snd } \sigma) \implies \text{safe } n \Delta C$   
 $\sigma (\Sigma \sigma) \bigwedge \sigma \sigma'. \sigma, \sigma' \models P \implies \text{pair-sat } (\Sigma \sigma) (\Sigma \sigma') Q$   
**using** *assms(1)* *hoare-triple-validE* **by** *blast*  
  
**define**  $\Sigma'$  **where**  $\Sigma' = (\lambda \sigma. \bigcup v \in \{ v \mid v. ((\text{fst } \sigma)(x := v), \text{snd } \sigma), ((\text{fst } \sigma)(x :=$   
 $v), \text{snd } \sigma) \models P \}$ , *upperize*  $(\Sigma ((\text{fst } \sigma)(x := v), \text{snd } \sigma)) (\text{fv } A Q - \{x\}))$

```

show ?thesis
proof (rule hoare-triple-validI-bounded)
  show  $\bigwedge s h n. (s, h), (s, h) \models \text{Exists } x P \implies \text{bounded } h \implies \text{safe } n \Delta C (s, h)$ 
  ( $\Sigma' (s, h)$ )
  proof –
    fix  $s h n$  assume  $(s, h), (s, h) \models \text{Exists } x P \text{ bounded } h$ 
    then obtain  $v$  where  $(s(x := v), h), (s(x := v), h) \models P$ 
      using always-sat-refl hyper-sat.simps(7) by blast
    then have  $\Sigma (s(x := v), h) \subseteq \Sigma' (s, h)$ 
      using upperize-larger SUP-upper2  $\Sigma'$ -def by fastforce

    moreover have  $\text{safe } n \Delta C (s(x := v), h) (\Sigma (s(x := v), h))$ 
      by (simp add:  $\langle (s(x := v), h), (s(x := v), h) \models P \rangle \langle \text{bounded } h \rangle \text{asm0}(1)$ )
    ultimately have  $\text{safe } n \Delta C (s(x := v), h) (\Sigma' (s, h))$ 
      using safe-larger-set by blast
    then have  $\text{safe } n \Delta C (s, h) (\Sigma' (s, h))$ 
    proof (rule safe-free-vars)
      show  $\bigwedge \Gamma. \Delta = \text{Some } \Gamma \implies \text{agrees } (fvA (\text{invariant } \Gamma)) (s(x := v)) s$ 
        by (meson agrees-comm agrees-update assms(3))
      show  $\text{agrees } (fvC C \cup (fvA Q - \{x\})) (s(x := v)) s$ 
        by (simp add: agrees-def assms(2))
      show upper-fvs  $(\Sigma' (s, h)) (fvA Q - \{x\})$ 
      proof (rule upper-fvsI)
        fix  $sa s' ha$ 
        assume asm0:  $(sa, ha) \in \Sigma' (s, h) \wedge \text{agrees } (fvA Q - \{x\}) sa s'$ 
        then obtain  $v$  where  $(s(x := v), h), (s(x := v), h) \models P (sa, ha) \in$ 
upperize  $(\Sigma (s(x := v), h)) (fvA Q - \{x\})$ 
          using  $\Sigma'$ -def by force
        then have  $(s', ha) \in \text{upperize } (\Sigma (s(x := v), h)) (fvA Q - \{x\})$ 
          using asm0 upper-fvs-def upper-fvs-upperize by blast
        then show  $(s', ha) \in \Sigma' (s, h)$ 
          using  $\langle (s(x := v), h), (s(x := v), h) \models P \rangle \Sigma'$ -def by force
        qed
      qed
    then show  $\text{safe } n \Delta C (s, h) (\Sigma' (s, h))$ 
      by auto
    qed
  fix  $s1 h1 s2 h2$ 
  assume asm1:  $(s1, h1), (s2, h2) \models \text{Exists } x P$ 
  then obtain  $v1' v2'$  where  $(s1(x := v1'), h1), (s2(x := v2'), h2) \models P$  by
auto
  show pair-sat  $(\Sigma' (s1, h1)) (\Sigma' (s2, h2)) (\text{Exists } x Q)$ 
  proof (rule pair-satI)
    fix  $s1' h1' s2' h2'$ 
    assume asm2:  $(s1', h1') \in \Sigma' (s1, h1) \wedge (s2', h2') \in \Sigma' (s2, h2)$ 

    then obtain  $v1 v2$  where
       $r: (s1(x := v1), h1), (s1(x := v1), h1) \models P (s1', h1') \in \text{upperize } (\Sigma (s1(x$ 

```



```

:= v1), h1)) (fvA Q - {x})
  (s2(x := v2), h2), (s2(x := v2), h2) ⊨ P (s2', h2') ∈ upperize (Σ (s2(x
:= v2), h2)) (fvA Q - {x})
  using Σ'-def by auto

  then obtain s1'' s2'' where agrees (fvA Q - {x}) s1'' s1' (s1'', h1') ∈ Σ
(s1(x := v1), h1)
  agrees (fvA Q - {x}) s2'' s2' (s2'', h2') ∈ Σ (s2(x := v2), h2)
  using in-upperize by (metis (no-types, lifting))

  moreover have (s1(x := v1), h1), (s2(x := v2), h2) ⊨ P
  proof -
    have v1 = v1'
    using ⟨(s1(x := v1'), h1), (s2(x := v2'), h2) ⊨ P⟩ always-sat-refl assms(4)
  r(1) unambiguous-def by blast
    moreover have v2 = v2'
    using ⟨(s1(x := v1'), h1), (s2(x := v2'), h2) ⊨ P⟩ always-sat-refl assms(4)
  r(3) sat-comm-aux unambiguous-def by blast
    ultimately show ?thesis
    by (simp add: ⟨(s1(x := v1'), h1), (s2(x := v2'), h2) ⊨ P⟩)
  qed
  then have pair-sat (Σ (s1(x := v1), h1)) (Σ (s2(x := v2), h2)) Q
  using asm0 by simp
  then have (s1'', h1'), (s2'', h2') ⊨ Q
  using calculation(2) calculation(4) pair-sat-def by blast
  moreover have agrees (fvA Q) s1'' (s1'(x := s1'' x))
  proof (rule agreesI)
    fix y assume y ∈ fvA Q
    then show s1'' y = (s1'(x := s1'' x)) y
    apply (cases x = y)
    apply auto[1]
    by (metis (mono-tags, lifting) DiffI agrees-def calculation(1) fun-upd-other
singleton-iff)
  qed
  moreover have agrees (fvA Q) s2'' (s2'(x := s2'' x))
  proof (rule agreesI)
    fix y assume y ∈ fvA Q
    then show s2'' y = (s2'(x := s2'' x)) y
    apply (cases x = y)
    apply auto[1]
    by (metis (mono-tags, lifting) DiffI agrees-def calculation(3) fun-upd-other
singleton-iff)
  qed
  ultimately have (s1'(x := s1'' x), h1'), (s2'(x := s2'' x), h2') ⊨ Q
  by (meson agrees-same sat-comm)
  then show (s1', h1'), (s2', h2') ⊨ ∃x Q
  using hyper-sat.simps(7) by blast
  qed
  qed

```

qed

#### 4.4.14 While loops

**inductive** *leads-to-loop* **where**

*leads-to-loop*  $b I \Sigma \sigma \sigma$   
|  $\llbracket \text{leads-to-loop } b I \Sigma \sigma \sigma' ; \text{bdenot } b (\text{fst } \sigma') ; \sigma'' \in \Sigma \sigma' \rrbracket \implies \text{leads-to-loop } b I \Sigma \sigma \sigma''$

**definition** *leads-to-loop-set* **where**

*leads-to-loop-set*  $b I \Sigma \sigma = \{ \sigma' \mid \sigma'. \text{leads-to-loop } b I \Sigma \sigma \sigma' \}$

**definition** *trans- $\Sigma$*  **where**

*trans- $\Sigma$*   $b I \Sigma \sigma = \text{Set.filter } (\lambda \sigma. \neg \text{bdenot } b (\text{fst } \sigma)) (\text{leads-to-loop-set } b I \Sigma \sigma)$

**inductive-cases** *red-while-cases*: *red*  $(C\text{while } b s) \sigma C' \sigma'$

**inductive-cases** *abort-while-cases*: *aborts*  $(C\text{while } b s) \sigma$

**lemma** *safe-while-None*:

**assumes**  $\bigwedge \sigma m. \sigma, \sigma \models \text{And } I (\text{Bool } b) \implies \text{bounded } (\text{snd } \sigma) \implies \text{safe } n (\text{None} :: ('i, 'a, \text{nat}) \text{cont}) C \sigma (\Sigma \sigma)$

**and**  $\bigwedge \sigma \sigma'. \sigma, \sigma' \models \text{And } I (\text{Bool } b) \implies \text{pair-sat } (\Sigma \sigma) (\Sigma \sigma') I$

**and**  $(s, h), (s, h) \models I$

**and** *leads-to-loop*  $b I \Sigma \sigma (s, h)$

**and** *bounded*  $h$

**shows** *safe*  $n (\text{None} :: ('i, 'a, \text{nat}) \text{cont}) (C\text{while } b C) (s, h) (\text{trans-}\Sigma b I \Sigma \sigma)$

**using** *assms*

**proof** (*induct*  $n$  *arbitrary*:  $s h$ )

**let**  $?S = \text{trans-}\Sigma b I \Sigma \sigma$

**case**  $(\text{Suc } n)$

**show**  $?case$

**proof** (*rule* *safeNoneI*)

**show** *no-abort*  $(\text{None} :: ('i, 'a, \text{nat}) \text{cont}) (C\text{while } b C) s h$

**using** *abort-while-cases no-abortNoneI* **by** *blast*

**fix**  $H hf C' s' h'$

**assume** *asm0*:  $\text{Some } H = \text{Some } h \oplus \text{Some } hf \wedge \text{full-ownership } (\text{get-fh } H) \wedge \text{no-guard } H \wedge \text{red } (C\text{while } b C) (s, \text{FractionalHeap.normalize } (\text{get-fh } H)) C' (s', h')$

**show**  $\exists h'' H'. \text{full-ownership } (\text{get-fh } H') \wedge \text{no-guard } H' \wedge h' = \text{FractionalHeap.normalize } (\text{get-fh } H')$

$\wedge \text{Some } H' = \text{Some } h'' \oplus \text{Some } hf \wedge \text{safe } n (\text{None} :: ('i, 'a, \text{nat}) \text{cont}) C' (s', h') (\text{trans-}\Sigma b I \Sigma \sigma)$

**proof** (*rule* *red-while-cases*)

**show** *red*  $(C\text{while } b C) (s, \text{FractionalHeap.normalize } (\text{get-fh } H)) C' (s', h')$

**using** *asm0* **by** *linarith*

**assume** *asm1*:  $C' = C\text{if } b (C\text{seq } C (C\text{while } b C)) C\text{skip } (s', h') = (s, \text{FractionalHeap.normalize } (\text{get-fh } H))$

**have** *safe*  $n (\text{None} :: ('i, 'a, \text{nat}) \text{cont}) C' (s, h) ?S$

```

proof (cases n)
  case (Suc k)
    have safe (Suc k) (None :: ('i, 'a, nat) cont) (Cif b (Cseq C (Cwhile b C))
  Cskip) (s, h) ?S
    proof (rule if-safe)
      have  $\neg$  bdenot b s  $\implies$  (s, h)  $\in$  ?S
        by (metis CollectI Suc.prem1(4) asm1(2) fst-eqD leads-to-loop-set-def
  member-filter trans- $\Sigma$ -def)
      then show  $\neg$  bdenot b s  $\implies$  safe k (None :: ('i, 'a, nat) cont) Cskip (s,
  h) (trans- $\Sigma$  b I  $\Sigma$   $\sigma$ )
        by (metis Pair-inject asm1(2) safe-skip)
      assume asm2: bdenot b s
      then have (s, h), (s, h)  $\models$  And I (Bool b)
        by (simp add: Suc.prem1(3))
      then have r: safe (Suc n) (None :: ('i, 'a, nat) cont) C (s, h) ( $\Sigma$  (s, h))
        using Suc.prem1(1)
        by (metis Suc.prem1(5) snd-eqD)

    show safe k (None :: ('i, 'a, nat) cont) (Cseq C (Cwhile b C)) (s, h)
  (trans- $\Sigma$  b I  $\Sigma$   $\sigma$ )
    proof (rule seq-safe)
      show safe k (None :: ('i, 'a, nat) cont) C (s, h) (Set.filter (bounded  $\circ$ 
  snd) ( $\Sigma$  (s, h)))
        apply (rule restrict-safe-to-bounded)
        using Suc Suc-n-not-le-n nat-le-linear r safe-smaller apply metis
        by (simp add: Suc.prem1(5))
      fix m s' h' assume asm3:  $m \leq k \wedge (s', h') \in$  Set.filter (bounded  $\circ$  snd)
  ( $\Sigma$  (s, h))
      have safe n (None :: ('i, 'a, nat) cont) (Cwhile b C) (s', h') (trans- $\Sigma$  b
  I  $\Sigma$   $\sigma$ )
        proof (rule Suc.hyps)
          show leads-to-loop b I  $\Sigma$   $\sigma$  (s', h')
            by (metis Suc.prem1(4) asm2 asm3 fst-conv leads-to-loop.simps
  member-filter)
          show (s', h'), (s', h')  $\models$  I
            using  $\langle (s, h), (s, h) \models$  And I (Bool b)  $\rangle$  asm3 assms(2) pair-satE
            by (metis member-filter)
          show  $\bigwedge \sigma. \sigma, \sigma \models$  And I (Bool b)  $\implies$  bounded (snd  $\sigma$ )  $\implies$  safe n
  (None :: ('i, 'a, nat) cont) C  $\sigma$  ( $\Sigma$   $\sigma$ )
            by (meson Suc.prem1(1) Suc-n-not-le-n nat-le-linear safe-smaller)
          show bounded h'
            using asm3 by auto
          qed (auto simp add: assms)
        then show safe m (None :: ('i, 'a, nat) cont) (Cwhile b C) (s', h')
  (trans- $\Sigma$  b I  $\Sigma$   $\sigma$ )
          using Suc asm3 le-SucI safe-smaller by blast
        qed

```

```

qed
then show ?thesis
  using Suc asm1(1) by blast
qed (simp)
then show  $\exists h'' H'. \text{full-ownership } (\text{get-fh } H') \wedge$ 
   $\text{no-guard } H' \wedge h' = \text{FractionalHeap.normalize } (\text{get-fh } H') \wedge \text{Some } H' = \text{Some}$ 
 $h'' \oplus \text{Some } hf \wedge \text{safe } n \text{ None } C' (s', h'') (\text{trans-}\Sigma \text{ b } I \Sigma \sigma)$ 
  using asm0 asm1(2) by blast
qed
qed (simp-all)
qed (simp)

```

**lemma** *safe-while-Some*:

```

assumes  $\bigwedge \sigma m. \sigma, \sigma \models \text{And } I (\text{Bool } b) \implies \text{bounded } (\text{snd } \sigma) \implies \text{safe } n (\text{Some}$ 
 $\Gamma) C \sigma (\Sigma \sigma)$ 
  and  $\bigwedge \sigma \sigma'. \sigma, \sigma' \models \text{And } I (\text{Bool } b) \implies \text{pair-sat } (\Sigma \sigma) (\Sigma \sigma') I$ 
  and  $(s, h), (s, h) \models I$ 
  and  $\text{leads-to-loop } b I \Sigma \sigma (s, h)$ 
shows  $\text{safe } n (\text{Some } \Gamma) (C\text{while } b C) (s, h) (\text{trans-}\Sigma \text{ b } I \Sigma \sigma)$ 
using assms
proof (induct n arbitrary: s h)
  let  $?S = \text{trans-}\Sigma \text{ b } I \Sigma \sigma$ 
  case (Suc n)
  show ?case
  proof (rule safeSomeI)
    show  $\text{no-abort } (\text{Some } \Gamma) (C\text{while } b C) s h$ 
      using abort-while-cases no-abortSomeI by blast
    fix  $H hf C' s' h' hj v0$ 
    assume asm0:  $\text{Some } H = \text{Some } h \oplus \text{Some } hj \oplus \text{Some } hf \wedge$ 
       $\text{full-ownership } (\text{get-fh } H) \wedge \text{semi-consistent } \Gamma v0 H \wedge \text{sat-inv } s hj \Gamma \wedge \text{red}$ 
 $(C\text{while } b C) (s, \text{FractionalHeap.normalize } (\text{get-fh } H)) C' (s', h')$ 
    show  $\exists h'' H' hj'. \text{full-ownership } (\text{get-fh } H') \wedge \text{semi-consistent } \Gamma v0 H' \wedge \text{sat-inv}$ 
 $s' hj' \Gamma \wedge$ 
       $h' = \text{FractionalHeap.normalize } (\text{get-fh } H') \wedge \text{Some } H' = \text{Some } h'' \oplus \text{Some}$ 
 $hj' \oplus \text{Some } hf \wedge \text{safe } n (\text{Some } \Gamma) C' (s', h'') (\text{trans-}\Sigma \text{ b } I \Sigma \sigma)$ 
    proof (rule red-while-cases)
      show  $\text{red } (C\text{while } b C) (s, \text{FractionalHeap.normalize } (\text{get-fh } H)) C' (s', h')$ 
        using asm0 by linarith
      assume asm1:  $C' = \text{Cif } b (C\text{seq } C (C\text{while } b C)) C\text{skip } (s', h') = (s,$ 
 $\text{FractionalHeap.normalize } (\text{get-fh } H))$ 
      have  $\text{safe } n (\text{Some } \Gamma) C' (s, h) ?S$ 
      proof (cases n)
        case (Suc k)
        have  $\text{safe } (\text{Suc } k) (\text{Some } \Gamma) (C\text{if } b (C\text{seq } C (C\text{while } b C)) C\text{skip}) (s, h) ?S$ 
        proof (rule if-safe)
          have  $\neg \text{bdenot } b s \implies (s, h) \in ?S$ 
          by (metis CollectI Suc.prem1(4) asm1(2) fst-eqD leads-to-loop-set-def
member-filter trans-}\Sigma\text{-def})

```

**then show**  $\neg \text{bdenot } b \ s \implies \text{safe } k \ (\text{Some } \Gamma) \ C\text{skip} \ (s, h) \ (\text{trans-}\Sigma \ b \ I \ \Sigma \ \sigma)$   
**by** (*metis Pair-inject asm1(2) safe-skip*)  
**assume** *asm2*:  $\text{bdenot } b \ s$   
**then have**  $(s, h), (s, h) \models \text{And } I \ (\text{Bool } b)$   
**by** (*simp add: Suc.prem3*)  
**moreover have** *bounded h*  
**apply** (*rule bounded-smaller[of H]*)  
**using** *asm0 full-ownership-then-bounded* **apply** *fastforce*  
**using** *asm0 plus-asso[of Some h Some hj Some hf]* **unfolding** *larger-def*  
**by** *auto*

**ultimately have**  $r: \text{safe} \ (\text{Suc } n) \ (\text{Some } \Gamma) \ C \ (s, h) \ (\Sigma \ (s, h))$   
**using** *Suc.prem1[of (s, h)]* **by** *fastforce*

**show**  $\text{safe } k \ (\text{Some } \Gamma) \ (\text{Cseq } C \ (\text{Cwhile } b \ C)) \ (s, h) \ (\text{trans-}\Sigma \ b \ I \ \Sigma \ \sigma)$   
**proof** (*rule seq-safe*)  
**show**  $\text{safe } k \ (\text{Some } \Gamma) \ C \ (s, h) \ (\Sigma \ (s, h))$   
**by** (*metis Suc Suc-n-not-le-n nat-le-linear r safe-smaller*)  
**fix**  $m \ s' \ h'$  **assume** *asm3*:  $m \leq k \wedge (s', h') \in \Sigma \ (s, h)$   
**have**  $\text{safe } n \ (\text{Some } \Gamma) \ (\text{Cwhile } b \ C) \ (s', h') \ (\text{trans-}\Sigma \ b \ I \ \Sigma \ \sigma)$   
**proof** (*rule Suc.hyps*)  
**show**  $\text{leads-to-loop } b \ I \ \Sigma \ \sigma \ (s', h')$   
**by** (*metis Suc.prem4 asm2 asm3 fst-conv leads-to-loop.intros(2)*)  
**show**  $(s', h'), (s', h') \models I$   
**using**  $\langle (s, h), (s, h) \models \text{And } I \ (\text{Bool } b) \rangle$  *asm3 assms(2) pair-satE* **by** *blast*

**show**  $\bigwedge \sigma. \sigma, \sigma \models \text{And } I \ (\text{Bool } b) \implies \text{bounded} \ (\text{snd } \sigma) \implies \text{safe } n \ (\text{Some } \Gamma) \ C \ \sigma \ (\Sigma \ \sigma)$   
**by** (*meson Suc.prem1 Suc-n-not-le-n nat-le-linear safe-smaller*)  
**qed** (*auto simp add: assms*)  
**then show**  $\text{safe } m \ (\text{Some } \Gamma) \ (\text{Cwhile } b \ C) \ (s', h') \ (\text{trans-}\Sigma \ b \ I \ \Sigma \ \sigma)$   
**using** *Suc asm3 le-SucI safe-smaller* **by** *blast*  
**qed**  
**qed**  
**then show** *?thesis*  
**using** *Suc asm1(1)* **by** *blast*  
**qed** (*simp*)  
**then show**  $\exists h'' \ H' \ hj'. \text{full-ownership} \ (\text{get-fh } H') \wedge \text{semi-consistent } \Gamma \ v0 \ H' \wedge \text{sat-inv } s' \ hj' \ \Gamma \wedge h' = \text{FractionalHeap.normalize} \ (\text{get-fh } H') \wedge \text{Some } H' = \text{Some } h'' \oplus \text{Some } hj' \oplus \text{Some } hf \wedge \text{safe } n \ (\text{Some } \Gamma) \ C' \ (s', h'') \ (\text{trans-}\Sigma \ b \ I \ \Sigma \ \sigma)$   
**using** *asm0 asm1(2)* **by** *blast*  
**qed**  
**qed** (*simp-all*)  
**qed** (*simp*)

**lemma** *safe-while*:

**fixes**  $\Delta :: ('i, 'a, \text{nat}) \text{ cont}$   
**assumes**  $\bigwedge \sigma m. \sigma, \sigma \models \text{And } I (\text{Bool } b) \implies \text{bounded } (\text{snd } \sigma) \implies \text{safe } n \Delta C \sigma$   
 $(\Sigma \sigma)$   
**and**  $\bigwedge \sigma \sigma'. \sigma, \sigma' \models \text{And } I (\text{Bool } b) \implies \text{pair-sat } (\Sigma \sigma) (\Sigma \sigma') I$   
**and**  $(s, h), (s, h) \models I$   
**and**  $\text{leads-to-loop } b I \Sigma \sigma (s, h)$   
**and**  $\text{bounded } h$   
**shows**  $\text{safe } n \Delta (C\text{while } b C) (s, h) (\text{trans-}\Sigma b I \Sigma \sigma)$   
**apply**  $(\text{cases } \Delta)$   
**using**  $\text{assms safe-while-None}$  **apply**  $\text{blast}$   
**using**  $\text{assms safe-while-Some}$  **by**  $\text{blast}$

**lemma**  $\text{leads-to-sat-inv-unary}$ :  
**assumes**  $\text{leads-to-loop } b I \Sigma \sigma \sigma'$   
**and**  $\bigwedge \sigma \sigma'. \sigma, \sigma' \models (\text{And } I (\text{Bool } b)) \implies \text{pair-sat } (\Sigma \sigma) (\Sigma \sigma') I$   
**and**  $\sigma, \sigma \models I$   
**shows**  $\sigma', \sigma' \models I$   
**using**  $\text{assms}$   
**proof**  $(\text{induct arbitrary: rule: leads-to-loop.induct})$   
**case**  $(2 b I \Sigma \sigma 0 \sigma 1 \sigma 2)$   
**then have**  $\text{pair-sat } (\Sigma \sigma 1) (\Sigma \sigma 1) I$   
**by**  $(\text{metis hyper-sat.simps}(1) \text{hyper-sat.simps}(3) \text{prod.collapse})$   
**then show**  $?case$   
**using**  $2.\text{hyps}(4) \text{pair-sat-def}$  **by**  $\text{blast}$   
**qed**  $(\text{simp})$

**theorem**  $\text{while-rule2}$ :  
**fixes**  $\Delta :: ('i, 'a, \text{nat}) \text{ cont}$   
**assumes**  $\text{unary } I$   
**and**  $\text{hoare-triple-valid } \Delta (\text{And } I (\text{Bool } b)) C I$   
**shows**  $\text{hoare-triple-valid } \Delta I (C\text{while } b C) (\text{And } I (\text{Bool } (\text{Bnot } b)))$   
**proof** –  
**obtain**  $\Sigma$  **where**  $\text{asm0: } \bigwedge \sigma n. \sigma, \sigma \models (\text{And } I (\text{Bool } b)) \implies \text{bounded } (\text{snd } \sigma) \implies \text{safe } n \Delta C \sigma (\Sigma \sigma)$   
**and**  $\bigwedge \sigma \sigma'. \sigma, \sigma' \models (\text{And } I (\text{Bool } b)) \implies \text{pair-sat } (\Sigma \sigma) (\Sigma \sigma') I$   
**using**  $\text{assms}(2) \text{hoare-triple-validE}$  **by**  $\text{blast}$   
**let**  $? \Sigma = \text{trans-}\Sigma b I \Sigma$   
**show**  $?thesis$   
**proof**  $(\text{rule hoare-triple-validI-bounded})$

**show**  $\bigwedge s h s' h'. (s, h), (s', h') \models I \implies \text{pair-sat } (? \Sigma (s, h)) (? \Sigma (s', h')) (\text{And } I (\text{Bool } (\text{Bnot } b)))$   
**proof** –  
**fix**  $s1 h1 s2 h2$  **assume**  $\text{asm0: } (s1, h1), (s2, h2) \models I$   
**show**  $\text{pair-sat } (\text{trans-}\Sigma b I \Sigma (s1, h1)) (\text{trans-}\Sigma b I \Sigma (s2, h2)) (\text{And } I (\text{Bool } (\text{Bnot } b)))$   
**proof**  $(\text{rule pair-satI})$   
**fix**  $s1' h1' s2' h2'$   
**assume**  $\text{asm1: } (s1', h1') \in \text{trans-}\Sigma b I \Sigma (s1, h1) \wedge (s2', h2') \in \text{trans-}\Sigma$

```

b I  $\Sigma$  (s2, h2)
  then obtain leads-to-loop b I  $\Sigma$  (s1, h1) (s1', h1')  $\neg$  bdenot b s1'
    leads-to-loop b I  $\Sigma$  (s2, h2) (s2', h2')  $\neg$  bdenot b s2'
  using trans- $\Sigma$ -def leads-to-loop-set-def
  by (metis fst-conv mem-Collect-eq member-filter)
  then have (s1', h1'), (s1', h1')  $\models$  I  $\wedge$  (s2', h2'), (s2', h2')  $\models$  I
  by (meson  $\langle \wedge \sigma' \sigma. \sigma, \sigma' \models \text{And } I \text{ (Bool } b) \implies \text{pair-sat } (\Sigma \sigma) (\Sigma \sigma') I \rangle$ )
always-sat-refl asm0 leads-to-sat-inv-unary sat-comm-aux)
  then show (s1', h1'), (s2', h2')  $\models$  And I (Bool (Bnot b))
  by (metis  $\langle \neg$  bdenot b s1'  $\rangle$   $\langle \neg$  bdenot b s2'  $\rangle$  assms(1) bdenot.simps(3))
hyper-sat.simps(1) hyper-sat.simps(3) unaryE)
  qed
qed
fix s h n
assume asm1: (s, h), (s, h)  $\models$  I bounded h

```

```

show safe n  $\Delta$  (Cwhile b C) (s, h) (trans- $\Sigma$  b I  $\Sigma$  (s, h))
proof (rule safe-while)
  show  $\wedge \sigma \sigma'. \sigma, \sigma' \models \text{And } I \text{ (Bool } b) \implies \text{pair-sat } (\Sigma \sigma) (\Sigma \sigma') I$ 
  by (simp add:  $\langle \wedge \sigma' \sigma. \sigma, \sigma' \models \text{And } I \text{ (Bool } b) \implies \text{pair-sat } (\Sigma \sigma) (\Sigma \sigma') I \rangle$ )
  show (s, h), (s, h)  $\models$  I
  using asm1 by auto
  show leads-to-loop b I  $\Sigma$  (s, h) (s, h)
  by (simp add: leads-to-loop.intros(1))
  show  $\wedge \sigma m. \sigma, \sigma \models \text{And } I \text{ (Bool } b) \implies \text{bounded (snd } \sigma) \implies \text{safe } n \Delta C \sigma$ 
( $\Sigma \sigma$ )
  by (simp add: asm0 asm1(2))
  show bounded h
  using asm1(2) by blast
qed
qed
qed

```

```

fun iterate-sigma :: nat  $\Rightarrow$  bexp  $\Rightarrow$  ('i, 'a, nat) assertion  $\Rightarrow$  ((store  $\times$  ('i, 'a) heap)
 $\Rightarrow$  (store  $\times$  ('i, 'a) heap) set)  $\Rightarrow$  (store  $\times$  ('i, 'a) heap)  $\Rightarrow$  (store  $\times$  ('i, 'a) heap)
set

```

```

  where
    iterate-sigma 0 b I  $\Sigma$   $\sigma = \{\sigma\}$ 
  | iterate-sigma (Suc n) b I  $\Sigma$   $\sigma = (\bigcup \sigma' \in \text{Set.filter } (\lambda \sigma. \text{bdenot } b \text{ (fst } \sigma)) \text{ (iterate-sigma }
n \text{ b I } \Sigma \sigma). \Sigma \sigma')$ 

```

**lemma** union-of-iterate-sigma-is-leads-to-loop-set:

```

assumes leads-to-loop b I  $\Sigma$   $\sigma \sigma'$ 
shows  $\sigma' \in (\bigcup n. \text{iterate-sigma } n \text{ b I } \Sigma \sigma)$ 
using assms
proof (induct rule: leads-to-loop.induct)
case (1 b I  $\Sigma$   $\sigma$ )
have  $\sigma \in \text{iterate-sigma } 0 \text{ b I } \Sigma \sigma$ 

```

```

    by simp
  then show ?case
    by blast
next
  case (2 b I Σ σ σ' σ'')
  then obtain n where σ' ∈ iterate-sigma n b I Σ σ by blast
  then have σ'' ∈ iterate-sigma (Suc n) b I Σ σ using 2 by auto
  then show ?case by blast
qed

lemma trans-included:
  trans-Σ b I Σ σ ⊆ Set.filter (λσ. ¬ bdenot b (fst σ)) (⋃ n. iterate-sigma n b I Σ σ)
proof
  fix x assume x ∈ trans-Σ b I Σ σ
  then have ¬ bdenot b (fst x) ∧ leads-to-loop b I Σ σ x
    by (simp add: leads-to-loop-set-def trans-Σ-def)
  then show x ∈ Set.filter (λσ. ¬ bdenot b (fst σ)) (⋃ n. iterate-sigma n b I Σ σ)
    by (metis member-filter union-of-iterate-sigma-is-leads-to-loop-set)
qed

lemma iterate-sigma-low-all-sat-I-and-low:
  assumes ∧σ σ'. σ, σ' ⊨ (And I (Bool b)) ⇒ pair-sat (Σ σ) (Σ σ') (And I (Low b))
    and σ1, σ2 ⊨ I
    and bdenot b (fst σ1) = bdenot b (fst σ2)
  shows pair-sat (iterate-sigma n b I Σ σ1) (iterate-sigma n b I Σ σ2) (And I (Low b))
  using assms
proof (induct n)
  case 0
  then show ?case
    by (metis (mono-tags, lifting) hyper-sat.simps(3) hyper-sat.simps(5) iterate-sigma.simps(1)
    pair-satI prod.exhaust-sel singletonD)
  next
  case (Suc n)
  show ?case
  proof (rule pair-satI)
    fix s1 h1 s2 h2
    assume asm0: (s1, h1) ∈ iterate-sigma (Suc n) b I Σ σ1 ∧ (s2, h2) ∈
iterate-sigma (Suc n) b I Σ σ2
    then obtain σ1' σ2' where bdenot b (fst σ1') bdenot b (fst σ2')
      σ1' ∈ iterate-sigma n b I Σ σ1 σ2' ∈ iterate-sigma n b I Σ σ2
      (s1, h1) ∈ Σ σ1' (s2, h2) ∈ Σ σ2'
    by auto
    then have pair-sat (iterate-sigma n b I Σ σ1) (iterate-sigma n b I Σ σ2) (And I (Low b))
      using Suc.hyps

```



**using** *Suc.prem*s(3) *assms*(1) *assms*(2) **by** *blast*  
**moreover have** *pair-sat* ( $\Sigma \sigma 1'$ ) ( $\Sigma \sigma 2'$ ) (*And I* (*Low b*))  
**proof** (*rule Suc.prem*s)  
**show**  $\sigma 1', \sigma 2' \models \text{And } I \text{ (Bool } b)$   
**by** (*metis*  $\langle \sigma 1' \in \text{iterate-sigma } n \text{ } b \text{ } I \text{ } \Sigma \sigma 1' \rangle \langle \sigma 2' \in \text{iterate-sigma } n \text{ } b$   
 $I \text{ } \Sigma \sigma 2' \rangle \langle \text{bdenot } b \text{ (fst } \sigma 1') \rangle \langle \text{bdenot } b \text{ (fst } \sigma 2') \rangle$  *calculation hyper-sat.simps*(1)  
*hyper-sat.simps*(3) *pair-sat-def prod.exhaust-sel*)  
**qed**  
**ultimately show**  $(s1, h1), (s2, h2) \models \text{And } I \text{ (Low } b)$   
**using**  $\langle (s1, h1) \in \Sigma \sigma 1' \rangle \langle (s2, h2) \in \Sigma \sigma 2' \rangle$  *pair-sat-def* **by** *blast*  
**qed**  
**qed**

**lemma** *iterate-empty-later-empty*:  
**assumes** *iterate-sigma*  $n \text{ } b \text{ } I \text{ } \Sigma \sigma = \{\}$   
**and**  $m \geq n$   
**shows** *iterate-sigma*  $m \text{ } b \text{ } I \text{ } \Sigma \sigma = \{\}$   
**using** *assms*  
**proof** (*induct*  $m - n$  *arbitrary: n m*)  
**case** (*Suc k*)  
**then obtain**  $mm$  **where**  $m = \text{Suc } mm$   
**by** (*metis iterate-sigma.elims zero-diff*)  
**then have** *iterate-sigma*  $mm \text{ } b \text{ } I \text{ } \Sigma \sigma = \{\}$   
**by** (*metis Suc.hyps*(1) *Suc.hyps*(2) *Suc.prem*s(1) *Suc.prem*s(2) *Suc-le-mono*  
*diff-Suc-Suc diff-diff-cancel diff-le-self*)  
**then show** *?case*  
**using**  $\langle m = \text{Suc } mm \rangle$  **by** *force*  
**qed** (*simp*)

**lemma** *all-same*:  
**assumes**  $\bigwedge \sigma \sigma'. \sigma, \sigma' \models (\text{And } I \text{ (Bool } b)) \implies \text{pair-sat } (\Sigma \sigma) (\Sigma \sigma') (\text{And } I \text{ (Low } b))$   
**and**  $\sigma 1, \sigma 2 \models I$   
**and**  $\text{bdenot } b \text{ (fst } \sigma 1) = \text{bdenot } b \text{ (fst } \sigma 2)$   
**and**  $x1 \in \text{iterate-sigma } n \text{ } b \text{ } I \text{ } \Sigma \sigma 1$   
**and**  $x2 \in \text{iterate-sigma } n \text{ } b \text{ } I \text{ } \Sigma \sigma 2$   
**shows**  $\text{bdenot } b \text{ (fst } x1) = \text{bdenot } b \text{ (fst } x2)$   
**proof** –  
**have**  $x1, x2 \models (\text{And } I \text{ (Low } b))$   
**using** *assms*(1) *assms*(2) *assms*(3) *assms*(4) *assms*(5) *iterate-sigma-low-all-sat-I-and-low*  
*pair-sat-def* **by** *blast*  
**then show** *?thesis*  
**by** (*metis* (*no-types, lifting*) *hyper-sat.simps*(3) *hyper-sat.simps*(5) *surjec-*  
*tive-pairing*)  
**qed**

**lemma** *non-empty-at-most-once*:  
**assumes**  $\bigwedge \sigma \sigma'. \sigma, \sigma' \models (\text{And } I \text{ (Bool } b)) \implies \text{pair-sat } (\Sigma \sigma) (\Sigma \sigma') (\text{And } I \text{ (Low } b))$   
**qed**

**and**  $\sigma, \sigma \models I$   
**and**  $\text{Set.filter } (\lambda\sigma. \neg \text{bdenot } b \text{ (fst } \sigma)) \text{ (iterate-sigma } n1 \text{ } b \text{ } I \text{ } \Sigma \text{ } \sigma) \neq \{\}$   
**and**  $\text{Set.filter } (\lambda\sigma. \neg \text{bdenot } b \text{ (fst } \sigma)) \text{ (iterate-sigma } n2 \text{ } b \text{ } I \text{ } \Sigma \text{ } \sigma) \neq \{\}$   
**shows**  $n1 = n2$   
**proof** –  
**let**  $?n = \min n1 \text{ } n2$   
**obtain**  $\sigma'$  **where**  $\sigma' \in \text{Set.filter } (\lambda\sigma. \neg \text{bdenot } b \text{ (fst } \sigma)) \text{ (iterate-sigma } ?n \text{ } b \text{ } I \text{ } \Sigma \text{ } \sigma)$   
**by**  $(\text{metis } \text{assms}(3) \text{ } \text{assms}(4) \text{ } \text{equals0I } \text{min.orderE } \text{min-def})$   
**then have**  $\neg \text{bdenot } b \text{ (fst } \sigma')$   
**by**  $\text{fastforce}$   
**moreover have**  $\text{pair-sat } (\text{iterate-sigma } ?n \text{ } b \text{ } I \text{ } \Sigma \text{ } \sigma) \text{ (iterate-sigma } ?n \text{ } b \text{ } I \text{ } \Sigma \text{ } \sigma)$   
 $(\text{And } I \text{ (Low } b))$   
**using**  $\text{assms}(1) \text{ } \text{assms}(2) \text{ } \text{assms}(3) \text{ } \text{iterate-sigma-low-all-sat-I-and-low}$  **by**  $\text{blast}$   
**then have**  $r: \bigwedge x. x \in \text{iterate-sigma } ?n \text{ } b \text{ } I \text{ } \Sigma \text{ } \sigma \implies \neg \text{bdenot } b \text{ (fst } x)$   
**by**  $(\text{metis } \langle \sigma' \in \text{Set.filter } (\lambda\sigma. \neg \text{bdenot } b \text{ (fst } \sigma)) \text{ (iterate-sigma } (\min n1 \text{ } n2) \text{ } b \text{ } I \text{ } \Sigma \text{ } \sigma) \rangle \text{ all-same } \text{assms}(1) \text{ } \text{assms}(2) \text{ } \text{member-filter})$   
**then have**  $\text{iterate-sigma } (\text{Suc } ?n) \text{ } b \text{ } I \text{ } \Sigma \text{ } \sigma = \{\}$  **by**  $\text{auto}$   
**then have**  $\neg (n1 > ?n) \wedge \neg (n2 > ?n)$  **using**  $\text{iterate-empty-later-empty[of Suc } ?n \text{ } b \text{ } I \text{ } \Sigma \text{ } \sigma]$   
 $\text{assms}$  **by**  $(\text{metis } (\text{no-types, lifting}) \text{Set.filter-def } \text{empty-Collect-eq } \text{empty-def } \text{le-simps}(3) \text{ } \text{mem-Collect-eq})$   
**then show**  $?thesis$  **by**  $\text{linarith}$   
**qed**

**lemma** *one-non-empty-union:*

**assumes**  $\bigwedge \sigma \sigma'. \sigma, \sigma' \models (\text{And } I \text{ (Bool } b)) \implies \text{pair-sat } (\Sigma \text{ } \sigma) \text{ } (\Sigma \text{ } \sigma') \text{ (And } I \text{ (Low } b))$

**and**  $\sigma, \sigma \models I$   
**and**  $\text{Set.filter } (\lambda\sigma. \neg \text{bdenot } b \text{ (fst } \sigma)) \text{ (iterate-sigma } k \text{ } b \text{ } I \text{ } \Sigma \text{ } \sigma) \neq \{\}$   
**shows**  $\text{Set.filter } (\lambda\sigma. \neg \text{bdenot } b \text{ (fst } \sigma)) \text{ } (\bigcup n. \text{iterate-sigma } n \text{ } b \text{ } I \text{ } \Sigma \text{ } \sigma) = \text{Set.filter } (\lambda\sigma. \neg \text{bdenot } b \text{ (fst } \sigma)) \text{ (iterate-sigma } k \text{ } b \text{ } I \text{ } \Sigma \text{ } \sigma)$

**proof**

**show**  $\text{Set.filter } (\lambda\sigma. \neg \text{bdenot } b \text{ (fst } \sigma)) \text{ (iterate-sigma } k \text{ } b \text{ } I \text{ } \Sigma \text{ } \sigma) \subseteq \text{Set.filter } (\lambda\sigma. \neg \text{bdenot } b \text{ (fst } \sigma)) \text{ } (\bigcup n. \text{iterate-sigma } n \text{ } b \text{ } I \text{ } \Sigma \text{ } \sigma)$

**by**  $\text{auto}$

**show**  $\text{Set.filter } (\lambda\sigma. \neg \text{bdenot } b \text{ (fst } \sigma)) \text{ } (\bigcup n. \text{iterate-sigma } n \text{ } b \text{ } I \text{ } \Sigma \text{ } \sigma) \subseteq \text{Set.filter } (\lambda\sigma. \neg \text{bdenot } b \text{ (fst } \sigma)) \text{ (iterate-sigma } k \text{ } b \text{ } I \text{ } \Sigma \text{ } \sigma)$

**proof**

**fix**  $x$  **assume**  $x \in \text{Set.filter } (\lambda\sigma. \neg \text{bdenot } b \text{ (fst } \sigma)) \text{ } (\bigcup n. \text{iterate-sigma } n \text{ } b \text{ } I \text{ } \Sigma \text{ } \sigma)$

**then obtain**  $k'$  **where**  $x \in \text{iterate-sigma } k' \text{ } b \text{ } I \text{ } \Sigma \text{ } \sigma \neg \text{bdenot } b \text{ (fst } x)$

**by**  $\text{auto}$

**then have**  $x \in \text{Set.filter } (\lambda\sigma. \neg \text{bdenot } b \text{ (fst } \sigma)) \text{ (iterate-sigma } k' \text{ } b \text{ } I \text{ } \Sigma \text{ } \sigma)$

**by**  $\text{fastforce}$

**then have**  $k = k'$

**using**  $\text{non-empty-at-most-once } \text{assms}(1) \text{ } \text{assms}(2) \text{ } \text{assms}(3)$  **by**  $\text{blast}$

**then show**  $x \in \text{Set.filter } (\lambda\sigma. \neg \text{bdenot } b \text{ (fst } \sigma)) \text{ (iterate-sigma } k \text{ } b \text{ } I \text{ } \Sigma \text{ } \sigma)$

**using**  $\langle x \in \text{Set.filter } (\lambda\sigma. \neg \text{bdenot } b \text{ (fst } \sigma)) \text{ (iterate-sigma } k' \text{ } b \text{ } I \text{ } \Sigma \text{ } \sigma) \rangle$  **by**

*blast*  
**qed**  
**qed**

**definition** *not-set where*

*not-set*  $b$   $S = \text{Set.filter } (\lambda\sigma. \neg \text{bdenot } b \text{ (fst } \sigma)) S$

**lemma** *union-exists-at-some-point-exactly:*

**assumes**  $\bigwedge\sigma \sigma'. \sigma, \sigma' \models (\text{And } I \text{ (Bool } b)) \implies \text{pair-sat } (\Sigma \sigma) (\Sigma \sigma') (\text{And } I \text{ (Low } b))$

**and**  $\sigma 1, \sigma 2 \models I$

**and**  $\text{bdenot } b \text{ (fst } \sigma 1) = \text{bdenot } b \text{ (fst } \sigma 2)$

**and**  $\text{Set.filter } (\lambda\sigma. \neg \text{bdenot } b \text{ (fst } \sigma)) (\bigcup n. \text{iterate-sigma } n \text{ } b \text{ } I \text{ } \Sigma \sigma 1) \neq \{\}$

**and**  $\text{Set.filter } (\lambda\sigma. \neg \text{bdenot } b \text{ (fst } \sigma)) (\bigcup n. \text{iterate-sigma } n \text{ } b \text{ } I \text{ } \Sigma \sigma 2) \neq \{\}$

**shows**  $\exists k. \text{not-set } b (\bigcup n. \text{iterate-sigma } n \text{ } b \text{ } I \text{ } \Sigma \sigma 1) = \text{not-set } b (\text{iterate-sigma } k \text{ } b \text{ } I \text{ } \Sigma \sigma 1) \wedge \text{not-set } b (\bigcup n. \text{iterate-sigma } n \text{ } b \text{ } I \text{ } \Sigma \sigma 2) = \text{not-set } b (\text{iterate-sigma } k \text{ } b \text{ } I \text{ } \Sigma \sigma 2)$

**proof** –

**obtain**  $k 1$  **where**  $\text{Set.filter } (\lambda\sigma. \neg \text{bdenot } b \text{ (fst } \sigma)) (\text{iterate-sigma } k 1 \text{ } b \text{ } I \text{ } \Sigma \sigma 1) \neq \{\}$

**using** *assms(4)* **by** *fastforce*

**moreover obtain**  $k 2$  **where**  $\text{Set.filter } (\lambda\sigma. \neg \text{bdenot } b \text{ (fst } \sigma)) (\text{iterate-sigma } k 2 \text{ } b \text{ } I \text{ } \Sigma \sigma 2) \neq \{\}$

**using** *assms(5)* **by** *fastforce*

**show** *?thesis*

**proof** (*cases*  $k 1 \leq k 2$ )

**case** *True*

**then have**  $\text{iterate-sigma } k 1 \text{ } b \text{ } I \text{ } \Sigma \sigma 2 \neq \{\}$

**by** (*metis* (*no-types, lifting*) *Collect-cong* *Set.filter-def*  $\langle \text{Set.filter } (\lambda\sigma. \neg \text{bdenot } b \text{ (fst } \sigma)) (\text{iterate-sigma } k 2 \text{ } b \text{ } I \text{ } \Sigma \sigma 2) \neq \{\} \rangle$  *empty-def* *iterate-empty-later-empty* *mem-Collect-eq*)

**then obtain**  $\sigma 1' \sigma 2'$  **where**  $\sigma 1' \in \text{Set.filter } (\lambda\sigma. \neg \text{bdenot } b \text{ (fst } \sigma)) (\text{iterate-sigma } k 1 \text{ } b \text{ } I \text{ } \Sigma \sigma 1) \wedge \sigma 2' \in \text{iterate-sigma } k 1 \text{ } b \text{ } I \text{ } \Sigma \sigma 2$

**using** *calculation* **by** *blast*

**then have**  $\neg \text{bdenot } b \text{ (fst } \sigma 1')$

**by** *fastforce*

**moreover have**  $\text{pair-sat } (\text{iterate-sigma } k 1 \text{ } b \text{ } I \text{ } \Sigma \sigma 1) (\text{iterate-sigma } k 1 \text{ } b \text{ } I \text{ } \Sigma \sigma 2) (\text{And } I \text{ (Low } b))$

**using** *assms(1)* *assms(2)* *assms(3)* *iterate-sigma-low-all-sat-I-and-low* **by** *blast*

**then have**  $r: \bigwedge x 1 \ x 2. x 1 \in \text{iterate-sigma } k 1 \text{ } b \text{ } I \text{ } \Sigma \sigma 1 \wedge x 2 \in \text{iterate-sigma } k 1 \text{ } b \text{ } I \text{ } \Sigma \sigma 2 \implies \text{bdenot } b \text{ (fst } x 1) \iff \text{bdenot } b \text{ (fst } x 2)$

**by** (*metis* (*no-types, opaque-lifting*) *eq-fst-iff* *hyper-sat.simps(3)* *hyper-sat.simps(5)* *pair-sat-def*)

**then have**  $\neg \text{bdenot } b \text{ (fst } \sigma 2')$

**by** (*metis*  $\langle \sigma 1' \in \text{Set.filter } (\lambda\sigma. \neg \text{bdenot } b \text{ (fst } \sigma)) (\text{iterate-sigma } k 1 \text{ } b \text{ } I \text{ } \Sigma \sigma 1) \wedge \sigma 2' \in \text{iterate-sigma } k 1 \text{ } b \text{ } I \text{ } \Sigma \sigma 2 \rangle$  *member-filter*)

**then have**  $\bigwedge x 1. x 1 \in \text{iterate-sigma } k 1 \text{ } b \text{ } I \text{ } \Sigma \sigma 1 \implies \neg \text{bdenot } b \text{ (fst } x 1)$

**using**  $\langle \sigma 1' \in \text{Set.filter } (\lambda \sigma. \neg \text{bdenot } b \text{ (fst } \sigma)) \text{ (iterate-sigma } k1 \text{ } b \text{ } I \Sigma \sigma 1) \wedge \sigma 2' \in \text{iterate-sigma } k1 \text{ } b \text{ } I \Sigma \sigma 2 \rangle r$  **by** *blast*  
**then have**  $\text{iterate-sigma } (Suc \text{ } k1) \text{ } b \text{ } I \Sigma \sigma 1 = \{\}$  **by** *auto*  
**moreover have**  $\bigwedge x2. x2 \in \text{iterate-sigma } k1 \text{ } b \text{ } I \Sigma \sigma 2 \implies \neg \text{bdenot } b \text{ (fst } x2)$   
**by**  $(metis \langle \sigma 1' \in \text{Set.filter } (\lambda \sigma. \neg \text{bdenot } b \text{ (fst } \sigma)) \text{ (iterate-sigma } k1 \text{ } b \text{ } I \Sigma \sigma 1) \wedge \sigma 2' \in \text{iterate-sigma } k1 \text{ } b \text{ } I \Sigma \sigma 2 \rangle \text{member-filter } r)$   
**then have**  $\text{iterate-sigma } (Suc \text{ } k1) \text{ } b \text{ } I \Sigma \sigma 2 = \{\}$  **by** *auto*  
**then have**  $k1 = k2$   
**using**  $\text{True} \langle \text{Set.filter } (\lambda \sigma. \neg \text{bdenot } b \text{ (fst } \sigma)) \text{ (iterate-sigma } k2 \text{ } b \text{ } I \Sigma \sigma 2) \neq \{\} \rangle$  *dual-order.antisym[of k1 k2]*  
*ex-in-conv iterate-empty-later-empty[of - b I Σ σ 2] member-filter not-less-eq-eq*  
**by** *metis*  
**moreover have**  $\text{Set.filter } (\lambda \sigma. \neg \text{bdenot } b \text{ (fst } \sigma)) (\bigcup n. \text{iterate-sigma } n \text{ } b \text{ } I \Sigma \sigma 1) = \text{Set.filter } (\lambda \sigma. \neg \text{bdenot } b \text{ (fst } \sigma)) \text{ (iterate-sigma } k1 \text{ } b \text{ } I \Sigma \sigma 1)$   
**using** *one-non-empty-union[of I b Σ σ 1]*  
**using**  $\langle \text{Set.filter } (\lambda \sigma. \neg \text{bdenot } b \text{ (fst } \sigma)) \text{ (iterate-sigma } k1 \text{ } b \text{ } I \Sigma \sigma 1) \neq \{\} \rangle$   
*always-sat-refl assms(1) assms(2)* **by** *blast*  
**moreover have**  $\text{Set.filter } (\lambda \sigma. \neg \text{bdenot } b \text{ (fst } \sigma)) (\bigcup n. \text{iterate-sigma } n \text{ } b \text{ } I \Sigma \sigma 2) = \text{Set.filter } (\lambda \sigma. \neg \text{bdenot } b \text{ (fst } \sigma)) \text{ (iterate-sigma } k1 \text{ } b \text{ } I \Sigma \sigma 2)$   
**using** *one-non-empty-union[of I b Σ σ 2]*  
**using**  $\langle \text{Set.filter } (\lambda \sigma. \neg \text{bdenot } b \text{ (fst } \sigma)) \text{ (iterate-sigma } k2 \text{ } b \text{ } I \Sigma \sigma 2) \neq \{\} \rangle$   
*always-sat-refl assms(1) assms(2) calculation(3) sat-comm* **by** *blast*  
**ultimately show** *?thesis*  
**by**  $(metis \text{not-set-def})$   
**next**  
**case** *False*  
**then have**  $\text{iterate-sigma } k2 \text{ } b \text{ } I \Sigma \sigma 1 \neq \{\}$   
**by**  $(metis \text{no-types, lifting})$  *Collect-cong Set.filter-def calculation empty-def iterate-empty-later-empty linorder-le-cases mem-Collect-eq*  
**then obtain**  $\sigma 1' \sigma 2'$  **where**  $\sigma 1' \in \text{iterate-sigma } k2 \text{ } b \text{ } I \Sigma \sigma 1 \wedge \sigma 2' \in \text{not-set } b \text{ (iterate-sigma } k2 \text{ } b \text{ } I \Sigma \sigma 2)$   
**by**  $(metis \langle \text{Set.filter } (\lambda \sigma. \neg \text{bdenot } b \text{ (fst } \sigma)) \text{ (iterate-sigma } k2 \text{ } b \text{ } I \Sigma \sigma 2) \neq \{\} \rangle \text{ex-in-conv not-set-def})$   
**then have**  $\neg \text{bdenot } b \text{ (fst } \sigma 2')$   
**using** *not-set-def* **by** *fastforce*  
**then have**  $\neg \text{bdenot } b \text{ (fst } \sigma 1')$   
**by**  $(metis \langle \sigma 1' \in \text{iterate-sigma } k2 \text{ } b \text{ } I \Sigma \sigma 1 \wedge \sigma 2' \in \text{not-set } b \text{ (iterate-sigma } k2 \text{ } b \text{ } I \Sigma \sigma 2) \rangle \text{all-same assms(1) assms(2) assms(3) member-filter not-set-def})$   
**then have**  $\bigwedge x1. x1 \in \text{iterate-sigma } k2 \text{ } b \text{ } I \Sigma \sigma 1 \implies \neg \text{bdenot } b \text{ (fst } x1)$   
**using**  $\langle \sigma 1' \in \text{iterate-sigma } k2 \text{ } b \text{ } I \Sigma \sigma 1 \wedge \sigma 2' \in \text{not-set } b \text{ (iterate-sigma } k2 \text{ } b \text{ } I \Sigma \sigma 2) \rangle \text{all-same always-sat-refl assms(1) assms(2)}$  **by** *blast*  
**then have**  $\text{iterate-sigma } (Suc \text{ } k2) \text{ } b \text{ } I \Sigma \sigma 1 = \{\}$  **by** *auto*  
**moreover have**  $\bigwedge x2. x2 \in \text{iterate-sigma } k2 \text{ } b \text{ } I \Sigma \sigma 2 \implies \neg \text{bdenot } b \text{ (fst } x2)$   
**using**  $\langle \neg \text{bdenot } b \text{ (fst } \sigma 1') \rangle \langle \sigma 1' \in \text{iterate-sigma } k2 \text{ } b \text{ } I \Sigma \sigma 1 \wedge \sigma 2' \in \text{not-set } b \text{ (iterate-sigma } k2 \text{ } b \text{ } I \Sigma \sigma 2) \rangle \text{all-same assms(1) assms(2) assms(3)}$  **by** *blast*  
**then have**  $\text{iterate-sigma } (Suc \text{ } k2) \text{ } b \text{ } I \Sigma \sigma 2 = \{\}$  **by** *auto*  
**then show** *?thesis*  
**by**  $(metis \text{no-types, lifting})$  *Collect-empty-eq False Set.filter-def*  $\langle \text{Set.filter } (\lambda \sigma. \neg \text{bdenot } b \text{ (fst } \sigma)) \text{ (iterate-sigma } k1 \text{ } b \text{ } I \Sigma \sigma 1) \neq \{\} \rangle$  *calculation empty-iff*

*iterate-empty-later-empty not-less-eq-eq*)

**qed**  
**qed**

**theorem** *while-rule1*:

**fixes**  $\Delta :: ('i, 'a, nat) cont$

**assumes** *hoare-triple-valid*  $\Delta (And I (Bool b)) C (And I (Low b))$

**shows** *hoare-triple-valid*  $\Delta (And I (Low b)) (Cwhile b C) (And I (Bool (Bnot b)))$

**proof** –

**obtain**  $\Sigma$  **where** *asm0*:  $\bigwedge \sigma n. \sigma, \sigma \models (And I (Bool b)) \implies bounded (snd \sigma) \implies safe n \Delta C \sigma (\Sigma \sigma)$

**and**  $\bigwedge \sigma \sigma'. \sigma, \sigma' \models (And I (Bool b)) \implies pair-sat (\Sigma \sigma) (\Sigma \sigma') (And I (Low b))$

**using** *assms(1) hoare-triple-validE* **by** *blast*

**let**  $? \Sigma = \lambda \sigma. not-set b (\bigcup n. iterate-sigma n b I \Sigma \sigma)$

**show** *?thesis*

**proof** (*rule hoare-triple-validI-bounded*)

**show**  $\bigwedge s h s' h'. (s, h), (s', h') \models And I (Low b) \implies pair-sat (? \Sigma (s, h)) (? \Sigma (s', h')) (And I (Bool (Bnot b)))$

**proof** –

**fix**  $s1 h1 s2 h2$  **assume** *asm0*:  $(s1, h1), (s2, h2) \models And I (Low b)$

**then have** *asm0-bis*:  $(s1, h1), (s2, h2) \models I \wedge bdenot b (fst (s1, h1)) = bdenot b (fst (s2, h2))$  **by** *auto*

**show**  $pair-sat (not-set b (\bigcup n. iterate-sigma n b I \Sigma (s1, h1))) (not-set b (\bigcup n. iterate-sigma n b I \Sigma (s2, h2))) (And I (Bool (Bnot b)))$

**proof** (*rule pair-satI*)

**fix**  $s1' h1' s2' h2'$

**assume** *asm1*:  $(s1', h1') \in not-set b (\bigcup n. iterate-sigma n b I \Sigma (s1, h1)) \wedge (s2', h2') \in not-set b (\bigcup n. iterate-sigma n b I \Sigma (s2, h2))$

**then obtain**  $k$  **where**  $not-set b (\bigcup n. iterate-sigma n b I \Sigma (s1, h1)) = not-set b (iterate-sigma k b I \Sigma (s1, h1))$

$not-set b (\bigcup n. iterate-sigma n b I \Sigma (s2, h2)) = not-set b (iterate-sigma k b I \Sigma (s2, h2))$

**using** *union-exists-at-some-point-exactly[of I b  $\Sigma (s1, h1) (s2, h2)] asm0-bis not-set-def$*

**using**  $\langle \bigwedge \sigma' \sigma. \sigma, \sigma' \models And I (Bool b) \implies pair-sat (\Sigma \sigma) (\Sigma \sigma') (And I (Low b)) \rangle$  **by** *blast*

**moreover have**  $pair-sat (iterate-sigma k b I \Sigma (s1, h1)) (iterate-sigma k b I \Sigma (s2, h2)) (And I (Low b))$

**using**  $\langle \bigwedge \sigma' \sigma. \sigma, \sigma' \models And I (Bool b) \implies pair-sat (\Sigma \sigma) (\Sigma \sigma') (And I (Low b)) \rangle$  *asm0-bis iterate-sigma-low-all-sat-I-and-low* **by** *blast*

**ultimately show**  $(s1', h1'), (s2', h2') \models And I (Bool (Bnot b))$

**by** (*metis (no-types, lifting) asm1 bdenot.simps(3) fst-conv hyper-sat.simps(1) hyper-sat.simps(3) member-filter not-set-def pair-satE*)

**qed**

**qed**  
**fix**  $s\ h\ n$   
**assume**  $asm1: (s, h), (s, h) \models \text{And } I \text{ (Low } b \text{) bounded } h$   
**have**  $\text{safe } n \Delta \text{ (Cwhile } b \text{ } C \text{) (} s, h \text{) (trans-}\Sigma \text{ } b \text{ } I \text{ } \Sigma \text{ (} s, h \text{))}$   
**proof** (*rule safe-while*)  
**show**  $\bigwedge \sigma \sigma'. \sigma, \sigma' \models \text{And } I \text{ (Bool } b \text{)} \implies \text{pair-sat } (\Sigma \sigma) (\Sigma \sigma') I$   
**by** (*meson*  $\langle \bigwedge \sigma' \sigma. \sigma, \sigma' \models \text{And } I \text{ (Bool } b \text{)} \implies \text{pair-sat } (\Sigma \sigma) (\Sigma \sigma') \text{ (And } I \text{ (Low } b \text{))} \rangle$ , *hyper-sat.simps(3)* *pair-sat-def*)  
**show**  $(s, h), (s, h) \models I$   
**using**  $asm1$  **by** *auto*  
**show**  $\text{leads-to-loop } b \text{ } I \text{ } \Sigma \text{ (} s, h \text{) (} s, h \text{)}$   
**by** (*simp add: leads-to-loop.intros(1)*)  
**show**  $\bigwedge \sigma m. \sigma, \sigma \models \text{And } I \text{ (Bool } b \text{)} \implies \text{bounded (snd } \sigma \text{)} \implies \text{safe } n \Delta \text{ } C \text{ } \sigma$   
 $(\Sigma \sigma)$   
**by** (*simp add: asm0 asm1*)  
**show**  $\text{bounded } h$   
**by** (*simp add: asm1(2)*)  
**qed**  
**then show**  $\text{safe } n \Delta \text{ (Cwhile } b \text{ } C \text{) (} s, h \text{) (not-set } b \text{ (}\bigcup n. \text{iterate-sigma } n \text{ } b \text{ } I \text{ } \Sigma \text{ (} s, h \text{))})$   
**by** (*simp add: not-set-def safe-larger-set trans-included*)  
**qed**  
**qed**

**lemma** *entails-smallerI*:  
**assumes**  $\bigwedge s1\ h1\ s2\ h2. (s1, h1), (s2, h2) \models A \implies (s1, h1), (s2, h2) \models B$   
**shows**  $\text{entails } A \text{ } B$   
**by** (*simp add: assms entails-def*)

**corollary** *while-rule*:  
**fixes**  $\Delta :: ('i, 'a, \text{nat}) \text{ cont}$   
**assumes**  $\text{entails } P \text{ (Star } P' \text{ } R)$   
**and** *unary*  $P'$   
**and**  $\text{fv } A \text{ } R \cap \text{wr } C \text{ } C = \{\}$   
**and**  $\text{hoare-triple-valid } \Delta \text{ (And } P' \text{ (Bool } e \text{)) } C \text{ } P'$   
**and**  $\text{hoare-triple-valid } \Delta \text{ (And } P \text{ (Bool (Band } e \text{ } e')) \text{) } C \text{ (And } P \text{ (Low (Band } e \text{ } e')) \text{))}$   
**and**  $\text{precise } P' \vee \text{precise } R$   
**shows**  $\text{hoare-triple-valid } \Delta \text{ (And } P \text{ (Low (Band } e \text{ } e')) \text{) (Cseq (Cwhile (Band } e \text{ } e') \text{ } C) \text{ (Cwhile } e \text{ } C) \text{ (And (Star } P' \text{ } R) \text{ (Bool (Bnot } e)) \text{))}$   
**proof** (*rule seq-rule*)  
  
**show**  $\text{hoare-triple-valid } \Delta \text{ (And } P \text{ (Low (Band } e \text{ } e')) \text{) (Cwhile (Band } e \text{ } e') \text{ } C) \text{ (And } P \text{ (Bool (Bnot (Band } e \text{ } e')) \text{))}$   
**proof** (*rule while-rule1*)

```

show hoare-triple-valid  $\Delta$  (And P (Bool (Band e e'))) C (And P (Low (Band
e e')))
  by (simp add: assms(5))
qed

show hoare-triple-valid  $\Delta$  (And P (Bool (Bnot (Band e e')))) (Cwhile e C) (And
(Star P' R) (Bool (Bnot e)))
proof (rule consequence-rule)
  show hoare-triple-valid  $\Delta$  (Star P' R) (Cwhile e C) (Star (And P' (Bool (Bnot
e))) R)
proof (rule frame-rule)
  show precise P'  $\vee$  precise R
    by (simp add: assms(6))
  show disjoint (fvA R) (wrC (Cwhile e C))
    by (simp add: assms(3) disjoint-def)
  show hoare-triple-valid  $\Delta$  P' (Cwhile e C) (And P' (Bool (Bnot e)))
proof (rule while-rule2)
  show hoare-triple-valid  $\Delta$  (And P' (Bool e)) C P'
    by (simp add: assms(4))
  show unary P' using assms(2) by auto
qed
qed
show entails (And P (Bool (Bnot (Band e e')))) (Star P' R)
  using assms(1) entails-def hyper-sat.simps(3) by blast
show entails (Star (And P' (Bool (Bnot e))) R) (And (Star P' R) (Bool (Bnot
e)))
proof (rule entails-smallerI)
  fix s1 h1 s2 h2
  assume asm0: (s1, h1), (s2, h2)  $\models$  Star (And P' (Bool (Bnot e))) R
  then obtain hp1 hr1 hp2 hr2 where Some h1 = Some hp1  $\oplus$  Some hr1
Some h2 = Some hp2  $\oplus$  Some hr2
  (s1, hp1), (s2, hp2)  $\models$  And P' (Bool (Bnot e)) (s1, hr1), (s2, hr2)  $\models$  R
  using hyper-sat.simps(4) by blast
  then show (s1, h1), (s2, h2)  $\models$  And (Star P' R) (Bool (Bnot e))
    by fastforce
qed
qed
qed

```

#### 4.4.15 CommCSL is sound

**theorem** soundness:

**assumes**  $\Delta \vdash \{P\} C \{Q\}$

**shows**  $\Delta \models \{P\} C \{Q\}$

**using** assms

**proof** (induct rule: CommCSL.induct)

**case** (RuleAtomicShared  $\Gamma$  f  $\alpha$  *sact* *uact* J P Q x C *map-to-arg* *sarg* *ms* *map-to-multiset*  $\pi$ )

**then show** ?case **using** atomic-rule-shared **by** blast

**qed** (*simp-all add: rule-skip assign-rule new-rule write-rule read-rule share-rule atomic-rule-unique rule-par if1-rule if2-rule seq-rule frame-rule consequence-rule existential-rule while-rule1 while-rule2*)

## 4.5 Corollaries

The two following corollaries express what proving a Hoare triple in Comm-CSL with no invariant (initially) guarantees, i.e., that if  $C$  is executed in two states that together satisfy the precondition  $P$ , then no execution will abort, and any pair of final states will satisfy together the postcondition  $Q$ .

This first corollary considers that the heap  $h1$  is part of a larger execution with heap  $H1$ .

**theorem** *safety*:

**assumes** *hoare-triple-valid* ( $None :: ('i, 'a, nat) cont$ )  $P C Q$   
**and**  $(s1, h1), (s2, h2) \models P$

**and**  $Some H1 = Some h1 \oplus Some hf1 \wedge full\text{-ownership } (get\text{-fh } H1) \wedge no\text{-guard } H1$

— extend  $h1$  to a normal state  $H1$  without guards

**and**  $Some H2 = Some h2 \oplus Some hf2 \wedge full\text{-ownership } (get\text{-fh } H2) \wedge no\text{-guard } H2$

— extend  $h2$  to a normal state  $H2$  without guards

**shows**  $\bigwedge \sigma' C'. red\text{-rtrans } C (s1, normalize (get\text{-fh } H1)) C' \sigma' \implies \neg aborts C' \sigma'$

**and**  $\bigwedge \sigma' C'. red\text{-rtrans } C (s2, normalize (get\text{-fh } H2)) C' \sigma' \implies \neg aborts C' \sigma'$

**and**  $\bigwedge \sigma1' \sigma2'. red\text{-rtrans } C (s1, normalize (get\text{-fh } H1)) Cskip \sigma1' \implies red\text{-rtrans } C (s2, normalize (get\text{-fh } H2)) Cskip \sigma2'$   
 $\implies (\exists h1' h2' H1' H2'. no\text{-guard } H1' \wedge full\text{-ownership } (get\text{-fh } H1') \wedge snd \sigma1' = normalize (get\text{-fh } H1') \wedge Some H1' = Some h1' \oplus Some hf1 \wedge no\text{-guard } H2' \wedge full\text{-ownership } (get\text{-fh } H2') \wedge snd \sigma2' = normalize (get\text{-fh } H2') \wedge Some H2' = Some h2' \oplus Some hf2 \wedge (fst \sigma1', h1'), (fst \sigma2', h2') \models Q)$

**proof** —

**obtain**  $\Sigma$  **where** *asm0*:  $\bigwedge \sigma n. \sigma, \sigma \models P \implies bounded (snd \sigma) \implies safe n (None :: ('i, 'a, nat) cont) C \sigma (\Sigma \sigma)$

$\bigwedge \sigma \sigma'. \sigma, \sigma' \models P \implies pair\text{-sat } (\Sigma \sigma) (\Sigma \sigma') Q$

**using** *assms(1) hoare-triple-validE* **by** *blast*

**then have**  $pair\text{-sat } (\Sigma (s1, h1)) (\Sigma (s2, h2)) Q$

**using** *assms(2)* **by** *blast*

**moreover have**  $bounded h1$

**by** (*metis assms(3) bounded-smaller-sum full-ownership-then-bounded get-fh.simps*)

**then have**  $\bigwedge n. safe n (None :: ('i, 'a, nat) cont) C (s1, h1) (\Sigma (s1, h1))$

**using** *always-sat-refl asm0(1) assms(2)*

**by** (*metis snd-conv*)



**then show**  $\bigwedge \sigma' C'. \text{red-rtrans } C (s1, \text{FractionalHeap.normalize (get-fh H1)}) C' \sigma' \implies \neg \text{aborts } C' \sigma'$   
**proof** –  
**fix**  $\sigma' C'$   
**assume**  $\text{red-rtrans } C (s1, \text{FractionalHeap.normalize (get-fh H1)}) C' \sigma'$   
**then show**  $\neg \text{aborts } C' \sigma'$   
**using**  $\text{safe-atomic[of } C (s1, \text{FractionalHeap.normalize (get-fh H1)}) C' \sigma' s1 \text{FractionalHeap.normalize (get-fh H1) fst } \sigma' \text{ snd } \sigma']$   
**by**  $(\text{metis } \langle \bigwedge n. \text{safe } n \text{ None } C (s1, h1) (\Sigma (s1, h1)) \rangle \text{assms}(3) \text{denormalize-properties}(4) \text{prod.exhaust-sel})$   
**qed**  
**moreover have**  $\bigwedge n. \text{safe } n (\text{None} :: ('i, 'a, \text{nat}) \text{cont}) C (s2, h2) (\Sigma (s2, h2))$   
**using**  $\text{always-sat-refl } \text{asm0}(1) \text{assms}(2) \text{sat-comm-aux}$   
**by**  $(\text{metis } \text{assms}(4) \text{bounded-smaller-sum full-ownership-then-bounded get-fh.elims snd-conv})$   
**then show**  $\bigwedge \sigma' C'. \text{red-rtrans } C (s2, \text{FractionalHeap.normalize (get-fh H2)}) C' \sigma' \implies \neg \text{aborts } C' \sigma'$   
**proof** –  
**fix**  $\sigma' C'$   
**assume**  $\text{red-rtrans } C (s2, \text{FractionalHeap.normalize (get-fh H2)}) C' \sigma'$   
**then show**  $\neg \text{aborts } C' \sigma'$   
**using**  $\text{safe-atomic[of } C (s2, \text{FractionalHeap.normalize (get-fh H2)}) C' \sigma' s2 \text{FractionalHeap.normalize (get-fh H2) fst } \sigma' \text{ snd } \sigma']$   
**by**  $(\text{metis } \langle \bigwedge n. \text{safe } n \text{ None } C (s2, h2) (\Sigma (s2, h2)) \rangle \text{assms}(4) \text{denormalize-properties}(4) \text{prod.exhaust-sel})$   
**qed**  
**fix**  $\sigma 1'$   
**assume**  $\text{red-rtrans } C (s1, \text{FractionalHeap.normalize (get-fh H1)}) C \text{skip } \sigma 1'$   
**then obtain**  $h1' H1'$  **where**  $r1: \text{Some } H1' = \text{Some } h1' \oplus \text{Some } hf1 \text{ snd } \sigma 1' = \text{FractionalHeap.normalize (get-fh H1')}$   
 $\text{no-guard } H1' \wedge \text{full-ownership (get-fh H1')} (\text{fst } \sigma 1', h1') \in \Sigma (s1, h1)$   
**using**  $\text{safe-atomic[of } C (s1, \text{FractionalHeap.normalize (get-fh H1)}) C \text{skip } \sigma 1' s1 - \text{fst } \sigma 1' \text{ snd } \sigma 1' h1 \Sigma (s1, h1) H1 hf1]$   
**by**  $(\text{metis } \langle \bigwedge n. \text{safe } n \text{ None } C (s1, h1) (\Sigma (s1, h1)) \rangle \text{assms}(3) \text{denormalize-properties}(4) \text{surjective-pairing})$   
**fix**  $\sigma 2'$   
**assume**  $\text{red-rtrans } C (s2, \text{FractionalHeap.normalize (get-fh H2)}) C \text{skip } \sigma 2'$   
**then obtain**  $h2' H2'$  **where**  $r2: \text{Some } H2' = \text{Some } h2' \oplus \text{Some } hf2 \text{ snd } \sigma 2' = \text{FractionalHeap.normalize (get-fh H2')}$   
 $\text{no-guard } H2' \wedge \text{full-ownership (get-fh H2')} (\text{fst } \sigma 2', h2') \in \Sigma (s2, h2)$   
**using**  $\text{safe-atomic[of } C (s2, \text{FractionalHeap.normalize (get-fh H2)}) C \text{skip } \sigma 2' s2 - \text{fst } \sigma 2' \text{ snd } \sigma 2' h2 \Sigma (s2, h2) H2 hf2]$   
**by**  $(\text{metis } \langle \bigwedge n. \text{safe } n \text{ None } C (s2, h2) (\Sigma (s2, h2)) \rangle \text{assms}(4) \text{denormalize-properties}(4) \text{surjective-pairing})$   
**then have**  $(\text{fst } \sigma 1', h1'), (\text{fst } \sigma 2', h2') \models Q$   
**using**  $\text{calculation}(1) \text{pair-satE } r1(4)$  **by**  $\text{blast}$   
**then show**  $\exists h1' h2' H1' H2'.$   
 $\text{no-guard } H1' \wedge$   
 $\text{full-ownership (get-fh H1')} \wedge$

```

    snd  $\sigma 1'$  = FractionalHeap.normalize (get-fh  $H1'$ )  $\wedge$ 
    Some  $H1'$  = Some  $h1' \oplus$  Some  $hf1 \wedge$ 
    no-guard  $H2' \wedge$ 
    full-ownership (get-fh  $H2'$ )  $\wedge$  snd  $\sigma 2'$  = FractionalHeap.normalize (get-fh
 $H2'$ )  $\wedge$  Some  $H2'$  = Some  $h2' \oplus$  Some  $hf2 \wedge$  (fst  $\sigma 1'$ ,  $h1'$ ), (fst  $\sigma 2'$ ,  $h2'$ )  $\models Q$ 
    using  $r1\ r2$  by blast
qed

```

**lemma** *neutral-add*:

```

    Some  $h$  = Some  $h \oplus$  Some (Map.empty, None, ( $\lambda$ -. None))

```

**proof** –

```

    have  $h \#\#$  (Map.empty, None, ( $\lambda$ -. None))

```

```

    by (metis compatibleI compatible-fract-heapsI empty-heap-def fst-conv get-fh.elims
get-gs.simps get-gu.simps option.distinct(1) snd-conv)

```

```

    then obtain  $x$  where Some  $x$  = Some  $h \oplus$  Some (Map.empty, None, ( $\lambda$ -. None))

```

```

    by simp

```

```

    moreover have  $x = h$ 

```

```

    by (metis (no-types, lifting) addition-cancellative calculation decompose-guard-remove-easy
fst-eqD get-gs.simps get-gu.simps no-guard-def no-guards-remove prod.sel(2) sim-
pler-asso)

```

```

    ultimately show ?thesis by blast

```

**qed**

This second corollary considers that the heap  $h1$  is the only execution that matters, and thus it ignores any frame. It corresponds to Corollary 4.5 in the paper.

**corollary** *safety-no-frame*:

```

    assumes hoare-triple-valid (None :: (' $i$ , ' $a$ ,  $nat$ ) cont)  $P\ C\ Q$ 

```

```

    and  $(s1, H1), (s2, H2) \models P$ 

```

```

    and full-ownership (get-fh  $H1$ )  $\wedge$  no-guard  $H1$ 

```

```

    and full-ownership (get-fh  $H2$ )  $\wedge$  no-guard  $H2$ 

```

```

    shows  $\bigwedge \sigma' C'. \text{red-rtrans } C (s1, \text{normalize } (\text{get-fh } H1)) C' \sigma' \implies \neg \text{aborts } C'$ 
 $\sigma'$ 

```

```

    and  $\bigwedge \sigma' C'. \text{red-rtrans } C (s2, \text{normalize } (\text{get-fh } H2)) C' \sigma' \implies \neg \text{aborts } C'$ 
 $\sigma'$ 

```

```

    and  $\bigwedge \sigma 1' \sigma 2'. \text{red-rtrans } C (s1, \text{normalize } (\text{get-fh } H1)) Cskip\ \sigma 1'$ 

```

```

 $\implies \text{red-rtrans } C (s2, \text{normalize } (\text{get-fh } H2)) Cskip\ \sigma 2'$ 

```

```

 $\implies (\exists H1' H2'. \text{no-guard } H1' \wedge \text{full-ownership } (\text{get-fh } H1') \wedge \text{snd } \sigma 1' = \text{nor-}$ 
 $\text{malize } (\text{get-fh } H1')$ 

```

```

 $\wedge \text{no-guard } H2' \wedge \text{full-ownership } (\text{get-fh } H2') \wedge \text{snd } \sigma 2' = \text{normalize } (\text{get-fh}$ 
 $H2')$ 

```

```

 $\wedge (\text{fst } \sigma 1', H1'), (\text{fst } \sigma 2', H2') \models Q$ 

```

**proof** –

```

    have Some  $H1$  = Some  $H1 \oplus$  Some (Map.empty, None, ( $\lambda$ -. None))

```

```

    using neutral-add by blast

```

```

    moreover have Some  $H2$  = Some  $H2 \oplus$  Some (Map.empty, None, ( $\lambda$ -. None))

```

```

    using neutral-add by blast

```

```

show  $\bigwedge \sigma' C'. \text{red-rtrans } C (s1, \text{FractionalHeap.normalize } (\text{get-fh } H1)) C' \sigma' \implies$ 
 $\neg \text{aborts } C' \sigma'$ 
using always-sat-refl-aux assms(1) assms(2) assms(3) calculation safety(2) by
blast
show  $\bigwedge \sigma' C'. \text{red-rtrans } C (s2, \text{FractionalHeap.normalize } (\text{get-fh } H2)) C' \sigma' \implies$ 
 $\neg \text{aborts } C' \sigma'$ 
using  $\langle \text{Some } H2 = \text{Some } H2 \oplus \text{Some } (\text{Map.empty}, \text{None}, (\lambda-. \text{None})) \rangle$  assms(1)
assms(2) assms(3) assms(4) calculation safety(2) by blast
fix  $\sigma 1' \sigma 2'$ 
assume  $\text{red-rtrans } C (s1, \text{FractionalHeap.normalize } (\text{get-fh } H1)) C \text{skip } \sigma 1'$ 
 $\text{red-rtrans } C (s2, \text{FractionalHeap.normalize } (\text{get-fh } H2)) C \text{skip } \sigma 2'$ 

then obtain  $h1' h2' H1' H2'$  where asm0: no-guard  $H1' \wedge \text{full-ownership}$ 
 $(\text{get-fh } H1') \wedge \text{snd } \sigma 1' = \text{normalize } (\text{get-fh } H1') \wedge \text{Some } H1' = \text{Some } h1' \oplus \text{Some}$ 
 $(\text{Map.empty}, \text{None}, (\lambda-. \text{None}))$ 
 $\wedge \text{no-guard } H2' \wedge \text{full-ownership } (\text{get-fh } H2') \wedge \text{snd } \sigma 2' = \text{normalize } (\text{get-fh}$ 
 $H2') \wedge \text{Some } H2' = \text{Some } h2' \oplus \text{Some } (\text{Map.empty}, \text{None}, (\lambda-. \text{None}))$ 
 $\wedge (\text{fst } \sigma 1', h1'), (\text{fst } \sigma 2', h2') \models Q$ 
using safety[of  $P \ C \ Q \ s1 \ H1 \ s2 \ H2 \ H1 \ (\text{Map.empty}, \text{None}, (\lambda-. \text{None})) \ H2$ 
 $(\text{Map.empty}, \text{None}, (\lambda-. \text{None}))$ ]] assms
by (metis (no-types, lifting)  $\langle \text{Some } H2 = \text{Some } H2 \oplus \text{Some } (\text{Map.empty}, \text{None},$ 
 $(\lambda-. \text{None})) \rangle$  calculation)
then have  $H1' = h1'$ 
using addition-cancellative decompose-guard-remove-easy denormalize-properties(4)
denormalize-properties(5)
by (metis denormalize-def get-gs.simps get-gu.simps prod.exhaust-sel snd-conv)
moreover have  $H2' = h2'$ 
by (metis asm0 denormalize-properties(4) denormalize-properties(5) fst-eqD
get-fh.elims no-guard-and-no-heap no-guard-then-smaller-same)

ultimately show  $\exists H1' H2'$ .
 $\text{no-guard } H1' \wedge$ 
 $\text{full-ownership } (\text{get-fh } H1') \wedge$ 
 $\text{snd } \sigma 1' = \text{FractionalHeap.normalize } (\text{get-fh } H1') \wedge$ 
 $\text{no-guard } H2' \wedge \text{full-ownership } (\text{get-fh } H2') \wedge \text{snd } \sigma 2' = \text{Fractional-}$ 
 $\text{Heap.normalize } (\text{get-fh } H2') \wedge (\text{fst } \sigma 1', H1'), (\text{fst } \sigma 2', H2') \models Q$ 
using asm0 by blast
qed

end
theory NonInterference
imports Soundness
begin

```

In this file, we prove two non-interference theorems, based on the soundness of CommCSL.

```

fun low-list where
 $\text{low-list } [] = \text{Bool } Btrue$ 
 $|\ \text{low-list } (v \# q) = \text{And } (\text{LowExp } (\text{Evar } v)) (\text{low-list } q)$ 

```

```

lemma low-listE:
  assumes  $(s1, h1), (s2, h2) \models \text{low-list } l$ 
    and  $x \in \text{set } l$ 
    shows  $s1\ x = s2\ x$ 
  using assms
proof (induct l)
  case (Cons a l)
  then show ?case
  proof (cases x = a)
    case True
    then have  $(s1, h1), (s2, h2) \models \text{LowExp } (\text{Evar } a)$ 
      using Cons.premis(1) by auto
    then show ?thesis
      by (simp add: True)
    next
    case False
    then show ?thesis
      using Cons.hyps Cons.premis(1) Cons.premis(2) by auto
  qed
qed (simp)

```

```

lemma low-listI:
  assumes  $\bigwedge x. x \in \text{set } l \implies s1\ x = s2\ x$ 
  shows  $(s1, h1), (s2, h2) \models \text{low-list } l$ 
  using assms
by (induct l) simp-all

```

**corollary** *non-interference*:

```

assumes  $(\text{None} :: ('i, 'a, \text{nat}) \text{cont}) \vdash \{ \text{And } P (\text{low-list } \text{In}) \} C \{ \text{low-list } \text{Out} \}$ 
  and  $\text{red-rtrans } C (s1, \text{normalize } (\text{get-fh } H1)) \text{Cskip } (s1', h1')$ 
  and  $\text{red-rtrans } C (s2, \text{normalize } (\text{get-fh } H2)) \text{Cskip } (s2', h2')$ 
  and  $\bigwedge x. x \in \text{set } \text{In} \implies s1\ x = s2\ x$ 
  and  $x \in \text{set } \text{Out}$ 
  and  $(s1, H1), (s2, H2) \models P$ 
  and  $\text{full-ownership } (\text{get-fh } H1) \wedge \text{no-guard } H1$ 
  and  $\text{full-ownership } (\text{get-fh } H2) \wedge \text{no-guard } H2$ 
  shows  $s1'\ x = s2'\ x$ 
proof –
  have  $\exists H1' H2'. \text{no-guard } H1' \wedge \text{full-ownership } (\text{get-fh } H1') \wedge \text{snd } (s1', h1') =$ 
     $\text{FractionalHeap.normalize } (\text{get-fh } H1') \wedge$ 
     $\text{no-guard } H2' \wedge \text{full-ownership } (\text{get-fh } H2') \wedge \text{snd } (s2', h2') = \text{Fractional-}$ 
     $\text{Heap.normalize } (\text{get-fh } H2')$ 
     $\wedge (\text{fst } (s1', h1'), H1'), (\text{fst } (s2', h2'), H2') \models (\text{low-list } \text{Out} :: ('i, 'a, \text{nat}) \text{assertion})$ 
  proof (rule safety-no-frame(3))
  show  $(\text{None} :: ('i, 'a, \text{nat}) \text{cont}) \models \{ \text{And } P (\text{low-list } \text{In}) \} C \{ \text{low-list } \text{Out} \}$ 
    using assms(1) soundness by blast
  have  $(s1, H1), (s2, H2) \models \text{low-list } \text{In}$ 

```

```

    by (simp add: assms(4) low-listI)
  then show (s1, H1), (s2, H2) ⊨ And P (low-list In)
    by (simp add: assms(6))
  qed ((insert assms; blast)+)
  then show ?thesis
    by (metis assms(5) fst-conv low-listE)
qed

definition heapify where
  heapify h = (λl. apply-opt (λv. (pwrite, v)) (h l), None, λ-. None)

lemma heapify-properties:
  full-ownership (get-fh (heapify h))
  no-guard (heapify h)
  normalize (get-fh (heapify h)) = h
proof (rule full-ownershipI)
  fix l p assume get-fh (heapify h) l = Some p
  then show fst p = pwrite
    by (metis apply-opt.elims fst-conv get-fh.elims heapify-def option.sel option.simps(3))
next
  show no-guard (heapify h)
    by (metis addition-cancellative decompose-guard-remove-easy decompose-heap-triple
  heapify-def neutral-add no-guards-remove snd-conv)
  show normalize (get-fh (heapify h)) = h
    proof (rule ext)
      fix l show FractionalHeap.normalize (get-fh (heapify h)) l = h l
        proof (cases h l)
          case None
            then show ?thesis
              by (metis apply-opt.simps(1) domIff dom-normalize fst-conv get-fh.simps
  heapify-def)
          next
            case (Some a)
              then show ?thesis
                by (simp add: FractionalHeap.normalize-eq(2) heapify-def)
        qed
      qed
    qed
qed

corollary non-interference-no-precondition:
  assumes (None :: ('i, 'a, nat) cont) ⊢ {low-list In} C {low-list Out}
    and red-rtrans C (s1, h1) Cskip (s1', h1')
    and red-rtrans C (s2, h2) Cskip (s2', h2')
    and ∧x. x ∈ set In ⇒ s1 x = s2 x
    and x ∈ set Out
  shows s1' x = s2' x
proof (rule non-interference)
  show (None :: ('i, 'a, nat) cont) ⊢ {And (Bool Btrue) (low-list In)} C {low-list
  Out}

```

```

using RuleCons assms(1) entails-def hyper-sat.simps(3) by blast
show red-rtrans C (s1, FractionalHeap.normalize (get-fh (heapify h1))) Cskip
(s1', h1')
by (metis assms(2) heapify-properties(3))
show red-rtrans C (s2, FractionalHeap.normalize (get-fh (heapify h2))) Cskip
(s2', h2')
by (metis assms(3) heapify-properties(3))
qed (insert assms heapify-properties; auto)+

end

```

## References

- [1] M. Eilers, T. Dardinier, and P. Müller. CommCSL: Proving information flow security for concurrent programs using abstract commutativity, 2022.
- [2] V. Vafeiadis. Concurrent separation logic and operational semantics. In M. W. Mislove and J. Ouaknine, editors, *Twenty-seventh Conference on the Mathematical Foundations of Programming Semantics, MFPS 2011, Pittsburgh, PA, USA, May 25-28, 2011*, volume 276 of *Electronic Notes in Theoretical Computer Science*, pages 335–351. Elsevier, 2011.