

Combinatorics on Words formalized  
Basics

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```

theory Arithmetical-Hints
  imports Main
begin

```

## 0.1 Arithmetical hints

In this section we give some specific auxiliary lemmas on natural numbers.

```

lemma zero-diff-eq:  $i \leq j \implies (0::nat) = j - i \implies j = i$ 
  <proof>

```

```

lemma zero-less-diff':  $i < j \implies j - i \neq (0::nat)$ 
  <proof>

```

```

lemma nat-prod-le:  $m \neq (0 :: nat) \implies m*n \leq k \implies n \leq k$ 
  <proof>

```

```

lemma get-div:  $(p :: nat) < a \implies m = (m * a + p) \text{ div } a$ 
  <proof>

```

```

lemma get-mod:  $(p :: nat) < a \implies p = (m * a + p) \text{ mod } a$ 
  <proof>

```

```

lemma plus-one-between:  $(a :: nat) < b \implies \neg b < a + 1$ 
  <proof>

```

```

lemma quotient-smaller:  $k \neq (0 :: nat) \implies b \leq k * b$ 
  <proof>

```

```

lemma mult-cancel-le:  $b \neq 0 \implies a*b \leq c*b \implies a \leq (c::nat)$ 
  <proof>

```

```

lemma add-lessD2:  $k + m < (n::nat) \implies m < n$ 
  <proof>

```

**lemma** *mod-offset*: **assumes**  $M \neq (0 :: \text{nat})$   
**obtains**  $k$  **where**  $n \bmod M = (l + k) \bmod M$   
 $\langle \text{proof} \rangle$

**lemma** **assumes**  $q \neq (0 :: \text{nat})$  **shows**  $p \leq p + q - \text{gcd } p \ q$   
 $\langle \text{proof} \rangle$

**lemma** *less-mult-one*: **assumes**  $(m-1)*k < k$  **obtains**  $m = 0 \mid m = (1 :: \text{nat})$   
 $\langle \text{proof} \rangle$

**lemmas** *gcd-le2-pos* = *gcd-le2-nat*[*folded zero-order(4)*] **and**  
*gcd-le1-pos* = *gcd-le1-nat*[*folded zero-order(4)*]

**lemma** *ge1-pos-conv*:  $1 \leq k \longleftrightarrow 0 < (k :: \text{nat})$   
 $\langle \text{proof} \rangle$

**lemma** *per-lemma-len-le*: **assumes**  $le: p + q - \text{gcd } p \ q \leq (n :: \text{nat})$  **and**  $0 < q$   
**shows**  $p \leq n$   
 $\langle \text{proof} \rangle$

**lemma** *Suc-less-iff-Suc-le*:  $\text{Suc } n < k \longleftrightarrow \text{Suc } n \leq k - 1$   
 $\langle \text{proof} \rangle$

**lemma** *nat-induct-pair*:  $P \ 0 \ 0 \Longrightarrow (\bigwedge m \ n. P \ m \ n \Longrightarrow P \ m \ (\text{Suc } n)) \Longrightarrow (\bigwedge m \ n. P \ m \ n \Longrightarrow P \ (\text{Suc } m) \ n) \Longrightarrow P \ m \ n$   
 $\langle \text{proof} \rangle$

**lemma** *One-less-Two-le-iff*:  $1 < k \longleftrightarrow 2 \leq (k :: \text{nat})$   
 $\langle \text{proof} \rangle$

**lemma** *at-least2-Suc*: **assumes**  $2 \leq k$   
**obtains**  $k'$  **where**  $k = \text{Suc}(\text{Suc } k')$   
 $\langle \text{proof} \rangle$

**lemma** *at-least3-Suc*: **assumes**  $3 \leq k$   
**obtains**  $k'$  **where**  $k = \text{Suc}(\text{Suc}(\text{Suc } k'))$   
 $\langle \text{proof} \rangle$

**lemmas** *not0-SucE*[*elim*] = *not0-implies-Suc*[*THEN exE*]

**lemma** *le1-SucE*: **assumes**  $1 \leq n$   
**obtains**  $k$  **where**  $n = \text{Suc } k$   $\langle \text{proof} \rangle$

**lemma** *Suc-minus*:  $k \neq 0 \Longrightarrow \text{Suc } (k - 1) = k$   
 $\langle \text{proof} \rangle$

**lemma** *Suc-minus'*:  $1 \leq k \Longrightarrow \text{Suc}(k - 1) = k$   
 $\langle \text{proof} \rangle$

**lemmas** *Suc-minus-pos = Suc-diff-1*

**lemma** *Suc-minus2*:  $2 \leq k \implies \text{Suc} (\text{Suc}(k - 2)) = k$   
*<proof>*

**lemma** *Suc-leE*: **assumes**  $\text{Suc } k \leq n$  **obtains**  $m$  **where**  $n = \text{Suc } m$  **and**  $k \leq m$   
*<proof>*

**lemma** *two-three-add-le-mult*:  $2 \leq (l::\text{nat}) \implies 3 \leq k \implies k + l + 1 \leq k * l$   
*<proof>*

**lemma** *almost-equal-equal*: **assumes**  $(a::\text{nat}) \neq 0$  **and**  $b \neq 0$  **and** *eq*:  $k * (a + b) + a = m * (a + b) + b$   
**shows**  $k = m$  **and**  $a = b$   
*<proof>*

**lemma** *crossproduct-le*: **assumes**  $(a::\text{nat}) \leq b$  **and**  $c \leq d$   
**shows**  $a * d + b * c \leq a * c + b * d$   
*<proof>*

**lemma** (**in** *linorder*) *le-less-cases*:  $(a \leq b \implies P) \implies (b < a \implies P) \implies P$   
*<proof>*

**end**

**theory** *Reverse-Symmetry*  
**imports** *Main*  
**begin**



# Chapter 1

## Reverse symmetry

This theory deals with a mechanism which produces new facts on lists from already known facts by the reverse symmetry of lists, induced by the mapping *rev*. It constructs the rule attribute “reversed” which produces the symmetrical fact using so-called reversal rules, which are rewriting rules that may be applied to obtain the symmetrical fact. An example of such a reversal rule is the already existing  $rev\ ys\ @\ rev\ xs = rev\ (xs\ @\ ys)$ . Some additional reversal rules are given in this theory.

The symmetrical fact 'A[reversed]' is constructed from the fact 'A' in the following manner: 1. each schematic variable *xs* of type 'a list' is instantiated by *rev xs*; 2. constant Nil is substituted by *rev []*; 3. each quantification of 'a list' type variable  $\bigwedge xs. P\ xs$  is substituted by (logically equivalent) quantification  $\bigwedge xs. P\ (rev\ xs)$ , similarly for  $\forall, \exists$  and  $\exists!$  quantifiers; each bounded quantification of 'a list' type variable  $\forall xs \in A. P\ xs$  is substituted by (logically equivalent) quantification  $\forall xs \in rev\ A. P\ (rev\ xs)$ , similarly for bounded  $\exists$  quantifier; 4. simultaneous rewrites according to the current list of reversal rules are performed; 5. final correctional rewrites related to reversion of (#) are performed.

List of reversal rules is maintained by declaration attribute “reversal\_rule” with standard “add” and “del” options.

See examples at the end of the file.

### 1.1 Quantifications and maps

**lemma** *all-surj-conv*: **assumes** *surj f* **shows**  $(\bigwedge x. PROP\ P\ (f\ x)) \equiv (\bigwedge y. PROP\ P\ y)$   
(*proof*)

**lemma** *All-surj-conv*: **assumes** *surj f* **shows**  $(\forall x. P\ (f\ x)) \longleftrightarrow (\forall y. P\ y)$   
(*proof*)

**lemma** *Ex-surj-conv*: **assumes** *surj f* **shows**  $(\exists x. P (f x)) \longleftrightarrow (\exists y. P y)$   
*<proof>*

**lemma** *Ex1-bij-conv*: **assumes** *bij f* **shows**  $(\exists!x. P (f x)) \longleftrightarrow (\exists!y. P y)$   
*<proof>*

**lemma** *Ball-inj-conv*: **assumes** *inj f* **shows**  $(\forall y \in f^{-1} A. P (inv f y)) \longleftrightarrow (\forall x \in A. P x)$   
*<proof>*

**lemma** *Bex-inj-conv*: **assumes** *inj f* **shows**  $(\exists y \in f^{-1} A. P (inv f y)) \longleftrightarrow (\exists x \in A. P x)$   
*<proof>*

### 1.1.1 Quantifications and reverse

**lemma** *rev-involution'*:  $rev \circ rev = id$   
*<proof>*

**lemma** *rev-inv*:  $inv rev = rev$   
*<proof>*

## 1.2 Attributes

**context**  
**begin**

### 1.2.1 Cons reversion

**definition** *snocs* :: *'a list*  $\Rightarrow$  *'a list*  $\Rightarrow$  *'a list*  
**where** *snocs xs ys = xs @ ys*

### 1.2.2 Final corrections

**lemma** *snocs-snocs*:  $snocs (snocs xs (y \# ys)) zs = snocs xs (y \# snocs ys zs)$   
*<proof>*

**lemma** *snocs-Nil*:  $snocs [] xs = xs$   
*<proof>*

**lemma** *snocs-is-append*:  $snocs xs ys = xs @ ys$   
*<proof>* **lemmas** *final-correct1 = snocs-snocs*

**private lemmas** *final-correct2 = snocs-Nil*

**private lemmas** *final-correct3 = snocs-is-append*

### 1.2.3 Declaration attribute *reversal-rule*

$\langle ML \rangle$

### 1.2.4 Tracing attribute

$\langle ML \rangle$

### 1.2.5 Rule attribute *reversed*

**private lemma** *rev-Nil*:  $rev \ [] \equiv []$

$\langle proof \rangle$  **lemma** *map-Nil*:  $map \ f \ [] \equiv []$

$\langle proof \rangle$  **lemma** *image-empty*:  $f \ ' \ Set.empty \equiv Set.empty$

$\langle proof \rangle$

**definition** *COMP* ::  $('b \Rightarrow prop) \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow prop$  (**infixl**  $\langle oo \rangle$  55)

where  $F \ oo \ g \equiv (\lambda x. F \ (g \ x))$

**lemma** *COMP-assoc*:  $F \ oo \ (f \ o \ g) \equiv (F \ oo \ f) \ oo \ g$

$\langle proof \rangle$  **lemma** *image-comp-image*:  $(\cdot) \ f \ o \ (\cdot) \ g \equiv (\cdot) \ (f \ o \ g)$

$\langle proof \rangle$  **lemma** *rev-involution*:  $rev \ o \ rev \equiv id$

$\langle proof \rangle$  **lemma** *map-involution*: **assumes**  $f \ o \ f \equiv id$  **shows**  $(map \ f) \ o \ (map \ f) \equiv id$

$\langle proof \rangle$  **lemma** *image-involution*: **assumes**  $f \ o \ f \equiv id$  **shows**  $(image \ f) \ o \ (image \ f) \equiv id$

$\langle proof \rangle$  **lemma** *rev-map-comm*:  $rev \ o \ map \ f \equiv map \ f \ o \ rev$

$\langle proof \rangle$  **lemma** *involut-comm-comp*: **assumes**  $f \ o \ f \equiv id$  **and**  $g \ o \ g \equiv id$  **and**  $f \ o \ g \equiv g \ o \ f$

**shows**  $(f \ o \ g) \ o \ (f \ o \ g) \equiv id$

$\langle proof \rangle$  **lemma** *rev-map-involution*: **assumes**  $g \ o \ g \equiv id$

**shows**  $(rev \ o \ map \ g) \ o \ (rev \ o \ map \ g) \equiv id$

$\langle proof \rangle$  **lemma** *prop-abs-subst*: **assumes**  $f \ o \ f \equiv id$  **shows**  $(\lambda x. F \ (f \ x)) \ oo \ f \equiv (\lambda x. F \ x)$

$\langle proof \rangle$  **lemma** *prop-abs-subst-comm*: **assumes**  $f \ o \ f \equiv id$  **and**  $g \ o \ g \equiv id$  **and**  $f \ o \ g \equiv g \ o \ f$

**shows**  $(\lambda x. F \ (f \ (g \ x))) \ oo \ (f \ o \ g) \equiv (\lambda x. F \ x)$

$\langle proof \rangle$  **lemma** *prop-abs-subst-rev-map*: **assumes**  $g \ o \ g \equiv id$

**shows**  $(\lambda x. F \ (rev \ (map \ g \ x))) \ oo \ (rev \ o \ map \ g) \equiv (\lambda x. F \ x)$

$\langle proof \rangle$  **lemma** *obj-abs-subst*: **assumes**  $f \ o \ f \equiv id$  **shows**  $(\lambda x. F \ (f \ x)) \ o \ f \equiv (\lambda x. F \ x)$

$\langle proof \rangle$  **lemma** *obj-abs-subst-comm*: **assumes**  $f \ o \ f \equiv id$  **and**  $g \ o \ g \equiv id$  **and**  $f \ o \ g \equiv g \ o \ f$

**shows**  $(\lambda x. F \ (f \ (g \ x))) \ o \ (f \ o \ g) \equiv (\lambda x. F \ x)$

$\langle proof \rangle$  **lemma** *obj-abs-subst-rev-map*: **assumes**  $g \ o \ g \equiv id$

**shows**  $(\lambda x. F \ (rev \ (map \ g \ x))) \ o \ (rev \ o \ map \ g) \equiv (\lambda x. F \ x)$

$\langle proof \rangle$

$\langle ML \rangle$

**end**

## 1.3 Declaration of basic reversal rules

### 1.3.1 Pure

**lemma** *all-surj-conv'* [reversal-rule]: **assumes** *surj f* **shows**  $Pure.all (P \circ f) \equiv Pure.all P$   
⟨proof⟩

### 1.3.2 HOL.HOL

**lemmas** [reversal-rule] = *rev-is-rev-conv inj-eq*

— *All*

**lemma** *All-surj-conv'* [reversal-rule]: **assumes** *surj f* **shows**  $All (P \circ f) = All P$   
⟨proof⟩

**lemma** *Ex-surj-conv'* [reversal-rule]: **assumes** *surj f* **shows**  $Ex (P \circ f) \longleftrightarrow Ex P$   
⟨proof⟩

**lemma** *Ex1-bij-conv'* [reversal-rule]: **assumes** *bij f* **shows**  $Ex1 (P \circ f) \longleftrightarrow Ex1 P$   
⟨proof⟩

**lemma** *if-image* [reversal-rule]:  $(if P then f u else f v) = f (if P then u else v)$   
⟨proof⟩

### 1.3.3 HOL.Set

**lemma** *collect-image*:  $Collect (P \circ f) = f^{-1} (Collect P)$   
⟨proof⟩

**lemma** *collect-image'* [reversal-rule]: **assumes**  $f \circ f = id$  **shows**  $Collect (P \circ f) = f^{-1} (Collect P)$   
⟨proof⟩

**lemma** *Ball-image* [reversal-rule]: **assumes**  $(g \circ f)^{-1} A = A$  **shows**  $Ball (f^{-1} A) (P \circ g) = Ball A P$   
⟨proof⟩

**lemma** *Bex-image-comp*:  $Bex (f^{-1} A) g = Bex A (g \circ f)$   
⟨proof⟩

**lemma** *Bex-image* [reversal-rule]: **assumes**  $(g \circ f)^{-1} A = A$  **shows**  $Bex (f^{-1} A) (P \circ g) = Bex A P$   
⟨proof⟩

**lemma** *insert-image* [reversal-rule]:  $insert (f x) (f^{-1} X) = f^{-1} (insert x X)$   
⟨proof⟩

**lemmas** [reversal-rule] = *inj-image-mem-iff*

—  $(\subseteq)$

**lemmas** [reversal-rule] = *inj-image-subset-iff*

### 1.3.4 HOL.List

**lemmas** [reversal-rule] = *set-rev set-map*

— (#)  
**lemma** *Cons-rev*:  $a \# \text{rev } u = \text{rev } (\text{snocs } u [a])$   
 ⟨*proof*⟩

**lemma** *Cons-map*:  $(f x) \# (\text{map } f xs) = \text{map } f (x \# xs)$   
 ⟨*proof*⟩

**lemmas** [*reversal-rule*] = *Cons-rev Cons-map*

— *hd*  
**lemmas** [*reversal-rule*] = *hd-rev hd-map*

— *tl*  
**lemma** *tl-rev*:  $\text{tl } (\text{rev } xs) = \text{rev } (\text{butlast } xs)$   
 ⟨*proof*⟩

**lemmas** [*reversal-rule*] = *tl-rev map-tl[symmetric]*

— *last*  
**lemmas** [*reversal-rule*] = *last-rev last-map*

— *butlast*  
**lemmas** [*reversal-rule*] = *butlast-rev map-butlast[symmetric]*

— *List.coset*  
**lemma** *coset-rev*:  $\text{List.coset } (\text{rev } xs) = \text{List.coset } xs$   
 ⟨*proof*⟩

**lemma** *coset-map*: **assumes** *bij f shows*  $\text{List.coset } (\text{map } f xs) = f ' \text{List.coset } xs$   
 ⟨*proof*⟩

**lemmas** [*reversal-rule*] = *coset-rev coset-map*

— (@)  
**lemmas** [*reversal-rule*] = *rev-append[symmetric] map-append[symmetric]*

— *concat*  
**lemma** *concat-rev-map-rev*:  $\text{concat } (\text{rev } (\text{map } \text{rev } xs)) = \text{rev } (\text{concat } xs)$   
 ⟨*proof*⟩

**lemma** *concat-rev-map-rev'*:  $\text{concat } (\text{rev } (\text{map } (\text{rev } \circ f) xs)) = \text{rev } (\text{concat } (\text{map } f xs))$   
 ⟨*proof*⟩

**lemmas** [*reversal-rule*] = *concat-rev-map-rev concat-rev-map-rev'*

— *drop*  
**lemmas** [*reversal-rule*] = *drop-rev drop-map*

— *take*  
**lemmas** [reversal-rule] = take-rev take-map

— (!)  
**lemmas** [reversal-rule] = rev-nth nth-map

— *List.insert*  
**lemma** list-insert-map [reversal-rule]:  
**assumes** inj f **shows** List.insert (f x) (map f xs) = map f (List.insert x xs)  
 ⟨proof⟩

**lemma** list-union-map [reversal-rule]:  
**assumes** inj f **shows** List.union (map f xs) (map f ys) = map f (List.union xs ys)  
 ⟨proof⟩

**lemmas** [reversal-rule] = length-rev length-map

— *rotate*  
**lemmas** [reversal-rule] = rotate-rev rotate-map

— *lists*  
**lemma** rev-in-lists: rev u ∈ lists A ↔ u ∈ lists A  
 ⟨proof⟩

**lemma** map-in-lists: inj f ⇒ map f u ∈ lists (f ‘ A) ↔ u ∈ lists A  
 ⟨proof⟩

**lemmas** [reversal-rule] = rev-in-lists map-in-lists

— *list-all*  
**lemmas** [reversal-rule] = list-all-rev

— *list-ex*  
**lemmas** [reversal-rule] = list-ex-rev

### 1.3.5 Reverse Symmetry

**lemma** snocs-map [reversal-rule]: snocs (map f u) [f a] = map f (snocs u [a])  
 ⟨proof⟩

## 1.4

**lemma** bij-rev: bij rev  
 ⟨proof⟩

**lemma** bij-map: bij f ⇒ bij (map f)  
 ⟨proof⟩

**lemma** surj-map: surj f ⇒ surj (map f)

*<proof>*

**lemma** *bij-image*:  $\text{bij } f \implies \text{bij } (\text{image } f)$   
*<proof>*

**lemma** *inj-image*:  $\text{inj } f \implies \text{inj } (\text{image } f)$   
*<proof>*

**lemma** *surj-image*:  $\text{surj } f \implies \text{surj } (\text{image } f)$   
*<proof>*

**lemmas** [*simp*] =  
*bij-rev*  
*bij-is-inj*  
*bij-is-surj*  
*bij-comp*  
*inj-compose*  
*comp-surj*  
*bij-map*  
*inj-mapI*  
*surj-map*  
*bij-image*  
*inj-image*  
*surj-image*

## 1.5 Examples

**context**  
**begin**

### 1.5.1 Cons and append

**private lemma** *example-Cons-append*:  
**assumes**  $xs = [a, b]$  **and**  $ys = [b, a, b]$   
**shows**  $xs @ xs @ xs = a \# b \# a \# ys$   
*<proof>*

**thm**  
*example-Cons-append*  
*example-Cons-append[reversed]*  
*example-Cons-append[reversed, reversed]*

**thm**  
*not-Cons-self*  
*not-Cons-self[reversed]*

**thm**  
*neq-Nil-conv*  
*neq-Nil-conv[reversed]*

## 1.5.2 Induction rules

**thm**

*list-nonempty-induct*  
*list-nonempty-induct[reversed]* *list-nonempty-induct[reversed, where P= $\lambda x. P$  (rev x) for P, unfolded rev-rev-ident]*

**thm**

*list-induct2*  
*list-induct2[reversed]* *list-induct2[reversed, where P= $\lambda x y. P$  (rev x) (rev y) for P, unfolded rev-rev-ident]*

## 1.5.3 hd, tl, last, butlast

**thm**

*hd-append*  
*hd-append[reversed]*  
*last-append*

**thm**

*length-tl*  
*length-tl[reversed]*  
*length-butlast*

**thm**

*hd-Cons-tl*  
*hd-Cons-tl[reversed]*  
*append-butlast-last-id*  
*append-butlast-last-id[reversed]*

## 1.5.4 set

**thm**

*hd-in-set*  
*hd-in-set[reversed]*  
*last-in-set*

**thm**

*set-ConsD*  
*set-ConsD[reversed]*

**thm**

*split-list-first*  
*split-list-first[reversed]*

**thm**

*split-list-first-prop*  
*split-list-first-prop[reversed]*  
*split-list-first-prop[reversed, unfolded append-assoc append-Cons append-Nil]*  
*split-list-last-prop*



```
thm
  split-list-first-propE
  split-list-first-propE[reversed]
  split-list-first-propE[reversed, unfolded append-assoc append-Cons append-Nil]
  split-list-last-propE
```

### 1.5.5 rotate

```
private lemma rotate1-hd-tl:  $xs \neq [] \implies rotate\ 1\ xs = tl\ xs\ @\ [hd\ xs]$ 
  <proof>
```

```
thm
  rotate1-hd-tl
  rotate1-hd-tl[reversed]
```

```
end
```

```
end
```

```
theory CoWBasic
  imports HOL-Library.Sublist Arithmetical-Hints Reverse-Symmetry HOL-Eisbach.Eisbach-Tools
begin
```

## Chapter 2

# Basics of Combinatorics on Words

Combinatorics on Words, as the name suggests, studies words, finite or infinite sequences of elements from a, usually finite, alphabet. The essential operation on finite words is the concatenation of two words, which is associative and noncommutative. This operation yields many simply formulated problems, often in terms of *equations on words*, that are mathematically challenging.

See for instance [1] for an introduction to Combinatorics on Words, and [?, 5, 6] as another reference for Combinatorics on Words. This theory deals exclusively with finite words and provides basic facts of the field which can be considered as folklore.

The most natural way to represent finite words is by the type '*a list*'. From an algebraic viewpoint, lists are free monoids. On the other hand, any free monoid is isomorphic to a monoid of lists of its generators. The algebraic point of view and the combinatorial point of view therefore overlap significantly in Combinatorics on Words.

### 2.1 Definitions and notations

First, we introduce elementary definitions and notations.

The concatenation ( $\circledast$ ) of two finite lists/words is the very basic operation in Combinatorics on Words, its notation is usually omitted. In this field, a common notation for this operation is  $\cdot$ , which we use and add here.

**notation** *append* (**infixr**  $\langle \cdot \rangle$  65)

**lemmas** *rassoc* = *append-assoc*

**lemmas** *lassoc* = *append-assoc*[*symmetric*]

We add a common notation for the length of a given word  $|w|$ .

**notation**

*length*  $\langle | \cdot | \rangle$  — note that it's bold |

**notation** (*latex output*)

*length*  $\langle | \cdot | \rangle$

**notation** *longest-common-prefix* (**infix**  $\langle \wedge_p \rangle$  61) — provided by Sublist.thy

### 2.1.1 Empty and nonempty word

As the word of length zero  $[]$  or  $[]$  will be used often, we adopt its frequent notation  $\varepsilon$  in this formalization.

**notation** *Nil*  $\langle \varepsilon \rangle$

**named-theorems** *emp-simps*

**lemmas** [*emp-simps*] = *append-Nil2 append-Nil list.map(1) list.size(3)*

### 2.1.2 Prefix

The property of being a prefix shall be frequently used, and we give it yet another frequent shorthand notation. Analogously, we introduce shorthand notations for non-empty prefix and strict prefix, and continue with suffixes and factors.

**notation** *prefix* (**infixl**  $\langle \leq_p \rangle$  50)

**notation** (*latex output*) *prefix*  $\langle \leq_p \rangle$

**lemmas** *prefI* [*intro*] = *prefixI*

**lemma** *prefI* [*intro*]:  $p \cdot s = w \implies p \leq_p w$   
 $\langle \text{proof} \rangle$

**lemma** *prefD*:  $u \leq_p v \implies \exists z. v = u \cdot z$   
 $\langle \text{proof} \rangle$

**definition** *prefix-comparable* ::  $'a \text{ list} \Rightarrow 'a \text{ list} \Rightarrow \text{bool}$  (**infixl**  $\langle \bowtie \rangle$  50)

**where** (*prefix-comparable*  $u \ v$ )  $\equiv u \leq_p v \vee v \leq_p u$

**lemma** *pref-compI1*:  $u \leq_p v \implies u \bowtie v$   
 $\langle \text{proof} \rangle$

**lemma** *pref-compI2*:  $v \leq_p u \implies u \bowtie v$   
 $\langle \text{proof} \rangle$

**lemma** *pref-compE* [*elim*]: **assumes**  $u \bowtie v$  **obtains**  $u \leq_p v \mid v \leq_p u$   
 $\langle \text{proof} \rangle$

**lemma** *pref-compI* [*intro*]:  $u \leq_p v \vee v \leq_p u \implies u \bowtie v$

*<proof>*

**definition** *nonempty-prefix* (**infixl**  $\langle \leq_{np} \rangle$  50) **where** *nonempty-prefix-def*[*simp*]:  
 $u \leq_{np} v \equiv u \neq \varepsilon \wedge u \leq_p v$

**notation** (*latex output*) *nonempty-prefix* ( $\langle \leq_{np} \rangle$  50)

**lemma** *npI*[*intro*]:  $u \neq \varepsilon \implies u \leq_p v \implies u \leq_{np} v$   
*<proof>*

**lemma** *npI'*[*intro*]:  $u \neq \varepsilon \implies (\exists z. u \cdot z = v) \implies u \leq_{np} v$   
*<proof>*

**lemma** *npD*:  $u \leq_{np} v \implies u \leq_p v$   
*<proof>*

**lemma** *npD'*:  $u \leq_{np} v \implies u \neq \varepsilon$   
*<proof>*

**notation** *strict-prefix* (**infixl**  $\langle <_p \rangle$  50)

**notation** (*latex output*) *strict-prefix* ( $\langle <_p \rangle$ )

**lemmas** [*simp*] = *strict-prefix-def*

**interpretation** *lcp*: *semilattice-order* ( $\wedge_p$ ) *prefix* *strict-prefix*  
*<proof>*

**lemmas** *spreFI* = *strict-prefixI*

**lemma** *spreFI1*[*intro*]:  $v = u \cdot z \implies z \neq \varepsilon \implies u <_p v$   
*<proof>*

**lemma** *spreFI1'*[*intro*]:  $u \cdot z = v \implies z \neq \varepsilon \implies u <_p v$   
*<proof>*

**lemma** *spreFI2*[*intro*]:  $u \leq_p v \implies |u| < |v| \implies u <_p v$   
*<proof>*

**lemma** *spreFD*:  $u <_p v \implies u \leq_p v \wedge u \neq v$   
*<proof>*

**lemmas** *spreFD1*[*dest*] = *prefix-order.strict-implies-order* **and**  
*spreFD2* = *prefix-order.less-imp-neq*

**lemmas** *spreFE*[*elim?*] = *strict-prefixE*

**lemma** *spreFE*[*elim?*]: **assumes**  $u <_p v$  **obtains**  $z$  **where**  $u \cdot z = v$  **and**  $z \neq \varepsilon$   
*<proof>*

### 2.1.3 Suffix

**notation** *suffix* (**infixl**  $\langle \leq_s \rangle$  50)

**notation** (*latex output*) *suffix* ( $\langle \leq_s \rangle$ )

**lemma** *sufI*[*intro*]:  $p \cdot s = w \implies s \leq_s w$   
*<proof>*

**lemma** *sufD*[*elim*]:  $u \leq_s v \implies \exists z. z \cdot u = v$   
*<proof>*

**notation** *strict-suffix* (**infixl**  $\langle <_s \rangle$  50)

**notation** (*latex output*) *strict-suffix* ( $\langle <_s \rangle$ )

**lemmas** [*simp*] = *strict-suffix-def*

**lemmas** [*intro*] = *suffix-order.le-neq-trans*

**lemmas** *ssufI* = *suffix-order.le-neq-trans*

**lemma** *ssufI1*[*intro*]:  $u \cdot v = w \implies u \neq \varepsilon \implies v <_s w$   
*<proof>*

**lemma** *ssufI2*[*intro*]:  $u \leq_s v \implies \text{length } u < \text{length } v \implies u <_s v$   
*<proof>*

**lemma** *ssufE*:  $u <_s v \implies (u \leq_s v \implies u \neq v \implies \text{thesis}) \implies \text{thesis}$   
*<proof>*

**lemma** *ssufI3*[*intro*]:  $u \cdot v = w \implies u \leq_{np} w \implies v <_s w$   
*<proof>*

**lemma** *ssufD*[*elim*]:  $u <_s v \implies u \leq_s v \wedge u \neq v$   
*<proof>*

**lemmas** *ssufD1*[*elim*] = *suffix-order.strict-implies-order* **and**  
*ssufD2*[*elim*] = *suffix-order.less-imp-neq*

**definition** *suffix-comparable* :: 'a list  $\Rightarrow$  'a list  $\Rightarrow$  bool (**infixl**  $\langle \bowtie_s \rangle$  50)  
**where** (*suffix-comparable*  $u\ v$ )  $\longleftrightarrow$  (*rev*  $u$ )  $\bowtie$  (*rev*  $v$ )

**lemma** *suf-compI1*[*intro*]:  $u \leq_s v \implies u \bowtie_s v$   
*<proof>*

**lemma** *suf-compI2*[*intro*]:  $v \leq_s u \implies u \bowtie_s v$   
*<proof>*

**definition** *nonempty-suffix* (**infixl**  $\langle \leq_{ns} \rangle$  60) **where** *nonempty-suffix-def*[*simp*]:  
 $u \leq_{ns} v \equiv u \neq \varepsilon \wedge u \leq_s v$

**notation** (*latex output*) *nonempty-suffix* ( $\langle \leq_{ns} \rangle$  50)

**lemma** *nsI*[*intro*]:  $u \neq \varepsilon \implies u \leq_s v \implies u \leq_{ns} v$   
 ⟨*proof*⟩

**lemma** *nsI'*[*intro*]:  $u \neq \varepsilon \implies (\exists z. z \cdot u = v) \implies u \leq_{ns} v$   
 ⟨*proof*⟩

**lemma** *nsD*:  $u \leq_{ns} v \implies u \leq_s v$   
 ⟨*proof*⟩

**lemma** *nsD'*:  $u \leq_{ns} v \implies u \neq \varepsilon$   
 ⟨*proof*⟩

## 2.1.4 Factor

A *sublist* of some word is in Combinatorics of Words called a factor. We adopt a common shorthand notation for the property of being a factor, strict factor and nonempty factor (the latter we also define).

**notation** *sublist* (**infixl**  $\langle \leq_f \rangle$  50)

**notation** (*latex output*) *sublist* ( $\langle \leq_f \rangle$ )

**lemmas** *fac-def* = *sublist-def*

**notation** *strict-sublist* (**infixl**  $\langle <_f \rangle$  50)

**notation** (*latex output*) *strict-sublist* ( $\langle <_f \rangle$ )

**lemmas** *strict-factor-def*[*simp*] = *strict-sublist-def*

**definition** *nonempty-factor* (**infixl**  $\langle \leq_{nf} \rangle$  60) **where** *nonempty-factor-def*[*simp*]:

$u \leq_{nf} v \equiv u \neq \varepsilon \wedge (\exists p s. p \cdot u \cdot s = v)$

**notation** (*latex output*) *nonempty-factor* ( $\langle \leq_{nf} \rangle$ )

**lemmas** *facI* = *sublist-appendI*

**lemma** *facI'*:  $a \cdot u \cdot b = w \implies u \leq_f w$   
 ⟨*proof*⟩

**lemma** *facE*[*elim*]: **assumes**  $u \leq_f v$

**obtains**  $p s$  **where**  $v = p \cdot u \cdot s$

⟨*proof*⟩

**lemma** *facE'*[*elim*]: **assumes**  $u \leq_f v$

**obtains**  $p s$  **where**  $p \cdot u \cdot s = v$

⟨*proof*⟩

## 2.2 Various elementary lemmas

**lemmas** *drop-all-iff* = *drop-eq-Nil* — backward compatibility with Isabelle 2021

**lemma** *exE2*:  $\exists x y. P x y \implies (\bigwedge x y. P x y \implies thesis) \implies thesis$   
*<proof>*

**lemmas** *concat-morph* = *concat-append*

**lemmas** *cancel* = *same-append-eq* **and**  
*cancel-right* = *append-same-eq*

**lemmas** *disjI* = *verit-and-neg(3)*

**lemma** *rev-in-conv*:  $rev\ u \in A \longleftrightarrow u \in rev\ 'A$   
*<proof>*

**lemmas** *map-rev-involution* = *list.map-comp*[of *rev rev*, *unfolded rev-involution'*  
*list.map-id*]

**lemma** *map-rev-lists-rev*:  $map\ rev\ ' (lists\ (rev\ 'A)) = lists\ A$   
*<proof>*

**lemma** *inj-on-map-lists*: **assumes** *inj-on f A*  
**shows** *inj-on (map f) (lists A)*  
*<proof>*

**lemma** *bij-lists*:  $bij\ betw\ f\ X\ Y \implies bij\ betw\ (map\ f)\ (lists\ X)\ (lists\ Y)$   
*<proof>*

**lemma** *concat-sing'*:  $concat\ [r] = r$   
*<proof>*

**lemma** *concat-sing*: **assumes**  $s = [a]$  **shows**  $concat\ s = a$   
*<proof>*

**lemma** *rev-sing*:  $rev\ [x] = [x]$   
*<proof>*

**lemma** *hd-word*:  $a\#\ w = [a] \cdot w$   
*<proof>*

**lemma** *pref-singE*: **assumes**  $p \leq_p [a]$  **obtains**  $p = \varepsilon \mid p = [a]$   
*<proof>*

**lemma** *map-hd*:  $map\ f\ (a\#\ v) = [f\ a] \cdot (map\ f\ v)$   
*<proof>*

**lemma** *hd-tl*:  $w \neq \varepsilon \implies [hd\ w] \cdot tl\ w = w$   
*<proof>*

**lemma** *hd-tlE*: **assumes**  $w \neq \varepsilon$   
**obtains**  $a\ w'$  **where**  $w = a\#\ w'$

*<proof>*

**lemma** *hd-tl-lenE*: **assumes**  $0 < |w|$   
**obtains**  $a w'$  **where**  $w = a\#w'$   
*<proof>*

**lemma** *hd-tl-longE*: **assumes**  $Suc\ 0 < |w|$   
**obtains**  $a w'$  **where**  $w = a\#w'$  **and**  $w' \neq \varepsilon$  **and**  $hd\ w = a$  **and**  $tl\ w = w'$   
*<proof>*

**lemma** *hd-pref*:  $w \neq \varepsilon \implies [hd\ w] \leq_p w$   
*<proof>*

**lemma** *add-nth*: **assumes**  $n < |w|$  **shows**  $(take\ n\ w) \cdot [w!n] \leq_p w$   
*<proof>*

**lemma** *hd-pref'*: **assumes**  $w \neq \varepsilon$  **shows**  $[w!0] \leq_p w$   
*<proof>*

**lemma** *sub-lists-mono*:  $A \subseteq B \implies x \in lists\ A \implies x \in lists\ B$   
*<proof>*

**lemma** *lists-hd-in-set[simp]*:  $us \neq \varepsilon \implies us \in lists\ Q \implies hd\ us \in Q$   
*<proof>*

**lemma** *lists-last-in-set[simp]*:  $us \neq \varepsilon \implies us \in lists\ Q \implies last\ us \in Q$   
*<proof>*

**lemma** *replicate-in-lists*:  $replicate\ k\ z \in lists\ \{z\}$   
*<proof>*

**lemma** *tl-in-lists*: **assumes**  $us \in lists\ A$  **shows**  $tl\ us \in lists\ A$   
*<proof>*

**lemmas** *lists-butlast = tl-in-lists[reversed]*

**lemma** *long-list-tl*: **assumes**  $1 < |us|$  **shows**  $tl\ us \neq \varepsilon$   
*<proof>*

**lemma** *tl-set*:  $x \in set\ (tl\ a) \implies x \in set\ a$   
*<proof>*

**lemma** *drop-take-inv*:  $n \leq |u| \implies drop\ n\ (take\ n\ u \cdot w) = w$   
*<proof>*

**lemma** *split-list-long*: **assumes**  $1 < |us|$  **and**  $u \in set\ us$   
**obtains**  $xs\ ys$  **where**  $us = xs \cdot [u] \cdot ys$  **and**  $xs \cdot ys \neq \varepsilon$   
*<proof>*



**lemma** *flatten-lists*:  $G \subseteq \text{lists } B \implies xs \in \text{lists } G \implies \text{concat } xs \in \text{lists } B$   
 ⟨proof⟩

**lemma** *concat-map-sing-ident*:  $\text{concat } (\text{map } (\lambda x. [x]) xs) = xs$   
 ⟨proof⟩

**lemma** *hd-concat-tl*: **assumes**  $ws \neq \varepsilon$  **shows**  $\text{hd } ws \cdot \text{concat } (\text{tl } ws) = \text{concat } ws$   
 ⟨proof⟩

**lemma** *concat-butlast-last*: **assumes**  $ws \neq \varepsilon$  **shows**  $\text{concat } (\text{butlast } ws) \cdot \text{last } ws = \text{concat } ws$   
 ⟨proof⟩

**lemma** *spref-butlast-pref*: **assumes**  $u \leq_p v$  **and**  $u \neq v$  **shows**  $u \leq_p \text{butlast } v$   
 ⟨proof⟩

**lemma** *last-concat*:  $xs \neq \varepsilon \implies \text{last } xs \neq \varepsilon \implies \text{last } (\text{concat } xs) = \text{last } (\text{last } xs)$   
 ⟨proof⟩

**lemma** *concat-last-suf*:  $ws \neq \varepsilon \implies \text{last } ws \leq_s \text{concat } ws$   
 ⟨proof⟩

**lemma** *concat-hd-pref*:  $ws \neq \varepsilon \implies \text{hd } ws \leq_p \text{concat } ws$   
 ⟨proof⟩

**lemma** *set-nemp-concat-nemp*: **assumes**  $ws \neq \varepsilon$  **and**  $\varepsilon \notin \text{set } ws$  **shows**  $\text{concat } ws \neq \varepsilon$   
 ⟨proof⟩

**lemmas** *takedrop = append-take-drop-id*

**lemma** *suf-drop-conv*:  $u \leq_s w \iff \text{drop } (|w| - |u|) w = u$   
 ⟨proof⟩

**lemma** *comm-rev-iff*:  $\text{rev } u \cdot \text{rev } v = \text{rev } v \cdot \text{rev } u \iff u \cdot v = v \cdot u$   
 ⟨proof⟩

**lemma** *rev-induct2*:  
 [  $P [] []$  ;  
 $\bigwedge x xs. P (xs \cdot [x]) []$  ;  
 $\bigwedge y ys. P [] (ys \cdot [y])$  ;  
 $\bigwedge x xs y ys. P xs ys \implies P (xs \cdot [x]) (ys \cdot [y])$  ]  
 $\implies P xs ys$   
 ⟨proof⟩

**lemma** *fin-bin*: *finite*  $\{x, y\}$   
 ⟨proof⟩

**lemma** *rev-rev-image-eq* [*reversal-rule*]:  $\text{rev } \text{' rev } \text{' } X = X$

*<proof>*

**lemma** *last-take-conv-nth*: **assumes**  $n < \text{length } xs$  **shows**  $\text{last } (\text{take } (\text{Suc } n) \ xs) = xs!n$   
*<proof>*

**lemma** *inj-map-inv*:  $\text{inj-on } f \ A \implies u \in \text{lists } A \implies u = \text{map } (\text{the-inv-into } A \ f) (\text{map } f \ u)$   
*<proof>*

**lemma** *last-sing[simp]*:  $\text{last } [c] = c$   
*<proof>*

**lemma** *hd-hdE*:  $(u = \varepsilon \implies \text{thesis}) \implies (u = [\text{hd } u] \implies \text{thesis}) \implies (u = [\text{hd } u, \text{hd } (\text{tl } u)] \cdot \text{tl } (\text{tl } u) \implies \text{thesis}) \implies \text{thesis}$   
*<proof>*

**lemma** *same-sing-pref*:  $u \cdot [a] \leq_p v \implies u \cdot [b] \leq_p v \implies a = b$   
*<proof>*

**lemma** *compow-Suc*:  $(f \rightsquigarrow (\text{Suc } k)) \ w = f \ ((f \rightsquigarrow k) \ w)$   
*<proof>*

**lemma** *compow-Suc'*:  $(f \rightsquigarrow (\text{Suc } k)) \ w = (f \rightsquigarrow k) \ (f \ w)$   
*<proof>*

## 2.2.1 General facts

**lemma** *two-elem-sub*:  $x \in A \implies y \in A \implies \{x, y\} \subseteq A$   
*<proof>*

**thm** *fun.inj-map[THEN injD]*

**lemma** *inj-comp*: **assumes**  $\text{inj } (f :: 'a \ \text{list} \Rightarrow 'b \ \text{list})$  **shows**  $(g \ w = h \ w \longleftrightarrow (f \circ g) \ w = (f \circ h) \ w)$   
*<proof>*

**lemma** *inj-comp-eq*: **assumes**  $\text{inj } (f :: 'a \ \text{list} \Rightarrow 'b \ \text{list})$  **shows**  $(g = h \longleftrightarrow f \circ g = f \circ h)$   
*<proof>*

**lemma** *two-elem-cases[elim!]*: **assumes**  $u \in \{x, y\}$  **obtains**  $(\text{fst } u = x \mid (\text{snd } u) = y)$   
*<proof>*

**lemma** *two-elem-cases2[elim]*: **assumes**  $u \in \{x, y\} \ v \in \{x, y\} \ u \neq v$  **shows**  $(u = x \implies v = y \implies \text{thesis}) \implies (u = y \implies v = x \implies \text{thesis}) \implies \text{thesis}$   
*<proof>*

**lemma** *two-elimP*:  $u \in \{x, y\} \implies P x \implies P y \implies P u$   
 ⟨proof⟩

**lemma** *pairs-extensional*:  $(\bigwedge r s. P r s \longleftrightarrow (\exists a b. Q a b \wedge r = fa a \wedge s = fb b)) \implies \{(r,s). P r s\} = \{(fa a, fb b) \mid a b. Q a b\}$   
 ⟨proof⟩

**lemma** *pairs-extensional'*:  $(\bigwedge r s. P r s \longleftrightarrow (\exists t. Q t \wedge r = fa t \wedge s = fb t)) \implies \{(r,s). P r s\} = \{(fa t, fb t) \mid t. Q t\}$   
 ⟨proof⟩

**lemma** *doubleton-subset-cases*: **assumes**  $A \subseteq \{x,y\}$   
**obtains**  $A = \{\} \mid A = \{x\} \mid A = \{y\} \mid A = \{x,y\}$   
 ⟨proof⟩

## 2.2.2 Map injective function

**lemma** *map-pref-conv* [*reversal-rule*]: **assumes** *inj f* **shows**  $map f u \leq_p map f v \longleftrightarrow u \leq_p v$   
 ⟨proof⟩

**lemma** *map-suf-conv* [*reversal-rule*]: **assumes** *inj f* **shows**  $map f u \leq_s map f v \longleftrightarrow u \leq_s v$   
 ⟨proof⟩

**lemma** *map-fac-conv* [*reversal-rule*]: **assumes** *inj f* **shows**  $map f u \leq_f map f v \longleftrightarrow u \leq_f v$   
 ⟨proof⟩

**lemma** *map-lcp-conv*: **assumes** *inj f* **shows**  $(map f xs) \wedge_p (map f ys) = map f (xs \wedge_p ys)$   
 ⟨proof⟩

## 2.2.3 Orderings on lists: prefix, suffix, factor

**lemmas** *self-pref* = *prefix-order.refl* **and**  
*pref-antisym* = *prefix-order.antisym* **and**  
*pref-trans* = *prefix-order.trans* **and**  
*spref-trans* = *prefix-order.less-trans* **and**  
*suf-trans* = *suffix-order.trans* **and**  
*fac-trans*[*intro*] = *sublist-order.order.trans*

## 2.2.4 On the empty word

**lemma** *nemp-elim-setI*[*intro*]:  $u \in S \implies u \neq \varepsilon \implies u \in S - \{\varepsilon\}$   
 ⟨proof⟩

**lemma** *emp-concat-emp*:  $us \in lists (S - \{\varepsilon\}) \implies concat us = \varepsilon \implies us = \varepsilon$   
 ⟨proof⟩

**lemma** *take-nemp*:  $w \neq \varepsilon \implies 0 < n \implies \text{take } n \ w \neq \varepsilon$   
*<proof>*

**lemma** *pref-nemp* [*intro*]:  $u \neq \varepsilon \implies u \cdot v \neq \varepsilon$   
*<proof>*

**lemma** *suf-nemp* [*intro*]:  $v \neq \varepsilon \implies u \cdot v \neq \varepsilon$   
*<proof>*

**lemma** *pref-of-emp*:  $u \cdot v = \varepsilon \implies u = \varepsilon$   
*<proof>*

**lemma** *suf-of-emp*:  $u \cdot v = \varepsilon \implies v = \varepsilon$   
*<proof>*

**lemma** *nemp-comm*:  $(u \neq \varepsilon \implies v \neq \varepsilon \implies u \neq v \implies u \cdot v = v \cdot u) \implies u \cdot v = v \cdot u$   
*<proof>*

**lemma** *non-triv-comm* [*intro*]:  $(u \neq \varepsilon \implies v \neq \varepsilon \implies u \neq v \implies u \cdot v = v \cdot u) \implies u \cdot v = v \cdot u$   
*<proof>*

**lemma** *split-list'*:  $a \in \text{set } ws \implies \exists p \ s. \ ws = p \cdot [a] \cdot s$   
*<proof>*

**lemma** *split-listE*: **assumes**  $a \in \text{set } w$   
**obtains**  $p \ s$  **where**  $w = p \cdot [a] \cdot s$   
*<proof>*

## 2.2.5 Counting letters

**declare** *count-list-rev* [*reversal-rule*]

**lemma** *count-list-map-conv* [*reversal-rule*]:  
**assumes** *inj f* **shows**  $\text{count-list } (\text{map } f \ ws) (f \ a) = \text{count-list } ws \ a$   
*<proof>*

## 2.2.6 Set inspection method

This section defines a simple method that splits a goal into subgoals by enumerating all possibilities for  $x$  in a premise such as  $x \in \{a, b, c\}$ . See the demonstrations below.

**method** *set-inspection* = (  
  (*unfold insert-iff*),  
  (*elim disjE emptyE*),  
  (*simp-all only: singleton-iff refl True-implies-equals*)  
)

**lemma**  $u \in \{x,y\} \implies P u$   
*<proof>*

**lemma**  $\bigwedge u. u \in \{x,y\} \implies u = x \vee u = y$   
*<proof>*

## 2.3 Length and its properties

**lemmas**  $lenarg = arg\text{-}cong[of - - length]$  **and**  
 $lenmorph = length\text{-}append$

**lemma**  $lenarg\text{-}not: |u| \neq |v| \implies u \neq v$   
*<proof>*

**lemma**  $len\text{-}less\text{-}neq: |u| < |v| \implies u \neq v$   
*<proof>*

**lemmas**  $len\text{-}nemp\text{-}conv = length\text{-}greater\text{-}0\text{-}conv$

**lemma**  $npos\text{-}len: |u| \leq 0 \implies u = \varepsilon$   
*<proof>*

**lemma**  $nemp\text{-}pos\text{-}len: w \neq \varepsilon \implies 0 < |w|$   
*<proof>*

**lemma**  $nemp\text{-}le\text{-}len: r \neq \varepsilon \implies 1 \leq |r|$   
*<proof>*

**lemma**  $swap\text{-}len: |u \cdot v| = |v \cdot u|$   
*<proof>*

**lemma**  $len\text{-}after\text{-}drop: p + q \leq |w| \implies q \leq |drop\ p\ w|$   
*<proof>*

**lemma**  $short\text{-}take\text{-}append: n \leq |u| \implies take\ n\ (u \cdot v) = take\ n\ u$   
*<proof>*

**lemma**  $sing\text{-}word: |us| = 1 \implies [hd\ us] = us$   
*<proof>*

**lemma**  $sing\text{-}word\text{-}concat: \text{assumes } |us| = 1 \text{ shows } [concat\ us] = us$   
*<proof>*

**lemma**  $len\text{-}one\text{-}concat\text{-}in: ws \in lists\ A \implies |ws| = 1 \implies concat\ ws \in A$   
*<proof>*

**lemma**  $concat\text{-}nemp: concat\ us \neq \varepsilon \implies us \neq \varepsilon$   
*<proof>*

**lemma** *sing-len*:  $|[a]| = 1$   
*<proof>*

**lemmas** *pref-len = prefix-length-le* **and**  
*suf-len = suffix-length-le*

**lemmas** *spref-len = prefix-length-less* **and**  
*ssuf-len = suffix-length-less*

**lemma** *pref-len'*:  $|u| \leq |u \cdot z|$   
*<proof>*

**lemma** *suf-len'*:  $|u| \leq |z \cdot u|$   
*<proof>*

**lemma** *fac-len*:  $u \leq_f v \implies |u| \leq |v|$   
*<proof>*

**lemma** *fac-len'*:  $|w| \leq |u \cdot w \cdot v|$   
*<proof>*

**lemma** *fac-len-eq*:  $u \leq_f v \implies |u| = |v| \implies u = v$   
*<proof>*

**thm** *length-take*

**lemma** *len-take1*:  $|take\ p\ w| \leq p$   
*<proof>*

**lemma** *len-take2*:  $|take\ p\ w| \leq |w|$   
*<proof>*

**lemma** *drop-len*:  $|u \cdot w| \leq |u \cdot v \cdot w|$   
*<proof>*

**lemma** *drop-pref*:  $drop\ |u|\ (u \cdot w) = w$   
*<proof>*

**lemma** *take-len*:  $p \leq |w| \implies |take\ p\ w| = p$   
*<proof>*

**lemma** *conj-len*:  $p \cdot x = x \cdot s \implies |p| = |s|$   
*<proof>*

**lemma** *take-nemp-len*:  $u \neq \varepsilon \implies r \neq \varepsilon \implies take\ |r|\ u \neq \varepsilon$   
*<proof>*

**lemma** *nemp-len*:  $u \neq \varepsilon \implies |u| \neq 0$

*<proof>*

**lemma** *emp-len*:  $w = \varepsilon \implies |w| = 0$   
*<proof>*

**lemma** *take-self*:  $\text{take } |w| \ w = w$   
*<proof>*

**lemma** *len-le-concat*:  $\varepsilon \notin \text{set } ws \implies |ws| \leq |\text{concat } ws|$   
*<proof>*

**lemma** *eq-len-iff*: **assumes**  $eq: x \cdot y = u \cdot v$  **shows**  $|x| \leq |u| \longleftrightarrow |v| \leq |y|$   
*<proof>*

**lemma** *eq-len-iff-less*: **assumes**  $eq: x \cdot y = u \cdot v$  **shows**  $|x| < |u| \longleftrightarrow |v| < |y|$   
*<proof>*

**lemma** *Suc-len-nemp*:  $|w| = \text{Suc } n \implies w \neq \varepsilon$   
*<proof>*

**lemma** *same-suffix-nil*: **assumes**  $z \cdot u \leq_p u$  **shows**  $z = \varepsilon$   
*<proof>*

**lemma** *count-list-gr-0-iff*:  $0 < \text{count-list } u \ a \longleftrightarrow a \in \text{set } u$   
*<proof>*

**lemma** *mid-fac-ex*: **assumes**  $2 \leq |w|$   
**shows**  $w = [\text{hd } w] \cdot (\text{butlast } (\text{tl } w)) \cdot [\text{last } w]$   
*<proof>*

## 2.4 List inspection method

In this section we define a proof method, named `list_inspection`, which splits the goal into subgoals which enumerate possibilities on lists with fixed length and given alphabet. More specifically, it looks for a premise of the form such as  $|w| = 2 \wedge w \in \text{lists } \{x, y, z\}$  or  $|w| \leq 2 \wedge w \in \text{lists } \{x, y, z\}$  and substitutes the goal by the goals listing all possibilities for the word  $w$ , see demonstrations after the method definition.

**context**  
**begin**

First, we define an elementary lemma used for unfolding the premise. Since it is very specific, we keep it private.

**private lemma** *hd-tl-len-list-iff*:  $|w| = \text{Suc } n \wedge w \in \text{lists } A \longleftrightarrow \text{hd } w \in A \wedge w = \text{hd } w \# \text{tl } w \wedge |\text{tl } w| = n \wedge \text{tl } w \in \text{lists } A$  (**is**  $?L = ?R$ )  
*<proof>*

We define a list of lemmas used for the main unfolding step.

**private lemmas** *len-list-word-dec* =  
*numeral-nat hd-tl-len-list-iff*  
*insert-iff empty-iff simp-thms length-0-conv*

The method itself accepts an argument called ‘add’, which is supplied to the method `simp_all` to solve some simple cases, and thus lower the number of produced goals on the fly.

**method** *list-inspection* = (  
 ((*match premises in len[thin]: |w| ≤ k and list[thin]: w ∈ lists A for w k A*  
 ⇒  
   ⟨*insert conjI[OF len list]⟩*)+)?,  
 (*unfold numeral-nat le-Suc-eq le-0-eq*), — *unfold numeral and e.g. k ≤ (2::'a)*  
 (*unfold conj-ac(1)[of w ∈ lists A |w| ≤ k for w A k]*  
   *conj-disj-distribR[where ?R = w ∈ lists A for w A]*)?,  
 ((*match premises in len[thin]: |w| = k and list[thin]: w ∈ lists A for w k A*  
 ⇒  
   ⟨*insert conjI[OF len list]⟩*)+)?,  
   — *transform into the conjunction such as |w| = 2 ∧ w ∈ lists {x, y, z}*  
 (*unfold conj-ac(1)[of w ∈ lists A |w| = k for w A k len-list-word-dec]*), — *unfold*  
*w*  
 (*elim disjE conjE*), — *split into all cases*  
 (*simp-all only: singleton-iff lists.Nil list.sel refl True-implies-equals*)?, — *replace*  
*w everywhere*  
 (*simp-all only: empty-iff lists.Nil bool-simps*)? — *solve simple cases*  
 )

## List inspection demonstrations

The required premise in the form of conjunction. First, inequality bound on the length, second, equality bound.

**lemma**  $|w| = 2 \wedge w \in \text{lists } \{x, y, z\} \implies P w$   
 ⟨*proof*⟩

The required premise as 2 separate assumptions.

**lemma**  $|w| \leq 2 \implies w \in \text{lists } \{x, y, z\} \implies P w$   
 ⟨*proof*⟩

**lemma**  $w \leq p w \implies |w| \leq 2 \implies w \in \text{lists } \{a, b\} \implies \text{hd } w = a \implies w \neq \varepsilon \implies w = [a, b] \vee w = [a, a] \vee w = [a]$   
 ⟨*proof*⟩

**lemma**  $w \leq p w \implies |w| = 2 \implies w \in \text{lists } \{a, b\} \implies \text{hd } w = a \implies w = [a, b] \vee w = [a, a]$   
 ⟨*proof*⟩

**lemma**  $w \leq p w \implies |w| = 2 \wedge w \in \text{lists } \{a, b\} \implies \text{hd } w = a \implies w = [a, b] \vee w = [a, a]$



*<proof>*

**lemma**  $w \leq_p w \implies w \in \text{lists } \{a,b\} \wedge |w| = 2 \implies \text{hd } w = a \implies w = [a, b] \vee w = [a, a]$   
*<proof>*

**end**

## 2.5 Prefix and prefix comparability properties

**lemmas** *pref-emp = prefix-bot.extremum-uniqueI*

**lemma** *triv-pref*:  $r \leq_p r \cdot s$   
*<proof>*

**lemma** *triv-spref*:  $s \neq \varepsilon \implies r <_p r \cdot s$   
*<proof>*

**lemma** *pref-cancel*:  $z \cdot u \leq_p z \cdot v \implies u \leq_p v$   
*<proof>*

**lemma** *pref-cancel'*:  $u \leq_p v \implies z \cdot u \leq_p z \cdot v$   
*<proof>*

**lemma** *spref-cancel*:  $z \cdot u <_p z \cdot v \implies u <_p v$   
*<proof>*

**lemma** *spref-cancel'*:  $u <_p v \implies z \cdot u <_p z \cdot v$   
*<proof>*

**lemmas** *pref-cancel-conv = same-prefix-prefix* **and**  
*suf-cancel-conv = same-suffix-suffix* — provided by *Sublist.thy*

**lemma** *pref-cancel-hd-conv*:  $a \# u \leq_p a \# v \longleftrightarrow u \leq_p v$   
*<proof>*

**lemma** *spref-cancel-conv*:  $z \cdot x <_p z \cdot y \longleftrightarrow x <_p y$   
*<proof>*

**lemma** *spref-snoc-iff [simp]*:  $u <_p v \cdot [a] \longleftrightarrow u \leq_p v$   
*<proof>*

**lemma** *spref-two-lettersE*: **assumes**  $p <_p [a,b]$  **obtains**  $p = \varepsilon \mid p = [a]$   
*<proof>*

**lemmas** *pref-ext[intro] = prefix-prefix* — provided by *Sublist.thy*

**lemmas** *pref-extD = append-prefixD* **and**  
*suf-extD = suffix-appendD*

**lemma** *spref-extD*:  $x \cdot y <_p z \implies x <_p z$   
*<proof>*

**lemma** *spref-ext*:  $r <_p u \implies r <_p u \cdot v$   
*<proof>*

**lemma** *pref-ext-nemp*:  $r \leq_p u \implies v \neq \varepsilon \implies r <_p u \cdot v$   
*<proof>*

**lemma** *pref-take*:  $p \leq_p w \implies \text{take } |p| \ w = p$   
*<proof>*

**lemma** *pref-take-conv*:  $\text{take } (|r|) \ w = r \longleftrightarrow r \leq_p w$   
*<proof>*

**lemma** *le-suf-drop*: **assumes**  $i \leq j$  **shows**  $\text{drop } j \ w \leq_s \text{drop } i \ w$   
*<proof>*

**lemma** *spref-take*:  $p <_p w \implies \text{take } |p| \ w = p$   
*<proof>*

**lemma** *pref-same-len*:  $u \leq_p v \implies |u| = |v| \implies u = v$   
*<proof>*

**lemma** *pref-same-len'*:  $u \cdot z \leq_p v \cdot w \implies |u| = |v| \implies u = v$   
*<proof>*

**lemma** *pref-comp-eq*:  $u \boxtimes v \implies |u| = |v| \implies u = v$   
*<proof>*

**lemma** *ruler-eq-len*:  $u \leq_p w \implies v \leq_p w \implies |u| = |v| \implies u = v$   
*<proof>*

**lemma** *pref-prod-eq*:  $u \leq_p v \cdot z \implies |u| = |v| \implies u = v$   
*<proof>*

**lemmas** *pref-comm-eq* = *pref-same-len*[*OF - swap-len*] **and**  
*pref-comm-eq'* = *pref-prod-eq*[*OF - swap-len, unfolded rassoc*]

**lemma** *pref-comm-eq-conv*:  $u \cdot v \leq_p v \cdot u \longleftrightarrow u \cdot v = v \cdot u$   
*<proof>*

**lemma** *add-nth-pref*: **assumes**  $u <_p w$  **shows**  $u \cdot [w!|u|] \leq_p w$   
*<proof>*

**lemma** *index-pref*:  $|u| \leq |w| \implies (\forall i < |u|. \ u!i = w!i) \implies u \leq_p w$   
*<proof>*

**lemma** *pref-index*: **assumes**  $u \leq_p w$   $i < |u|$  **shows**  $u!i = w!i$   
 ⟨proof⟩

**lemma** *pref-drop*:  $u \leq_p v \implies \text{drop } p \ u \leq_p \text{drop } p \ v$   
 ⟨proof⟩

### 2.5.1 Prefix comparability

**lemma** *pref-comp-sym[sym]*:  $u \bowtie v \implies v \bowtie u$   
 ⟨proof⟩

**lemma** *not-pref-comp-sym[sym]*:  $\neg u \bowtie v \implies \neg v \bowtie u$   
 ⟨proof⟩

**lemma** *pref-comp-sym-iff*:  $u \bowtie v \iff v \bowtie u$   
 ⟨proof⟩

**lemmas** *ruler-le = prefix-length-prefix* **and**  
*ruler = prefix-same-cases* **and**  
*ruler' = prefix-same-cases[folded prefix-comparable-def]*

**lemma** *ruler-eq*:  $u \cdot x = v \cdot y \implies u \leq_p v \vee v \leq_p u$   
 ⟨proof⟩

**lemma** *ruler-eq'*:  $u \cdot x = v \cdot y \implies u \leq_p v \vee v <_p u$   
 ⟨proof⟩

**lemmas** *ruler-eqE = ruler-eq[THEN disjE]* **and**  
*ruler-eqE' = ruler-eq'[THEN disjE]* **and**  
*ruler-pref = ruler[OF append-prefixD triv-pref]* **and**  
*ruler'[THEN pref-comp-eq]*

**lemmas** *ruler-prefE = ruler-pref[THEN disjE]*

**lemma** *ruler-comp*:  $u \leq_p v \implies u' \leq_p v' \implies v \bowtie v' \implies u \bowtie u'$   
 ⟨proof⟩

**lemma** *ruler-pref'*:  $w \leq_p v \cdot z \implies w \leq_p v \vee v \leq_p w$   
 ⟨proof⟩

**lemma** *ruler-pref''*:  $w \leq_p v \cdot z \implies w \bowtie v$   
 ⟨proof⟩

**lemma** *pref-cancel-right*: **assumes**  $u \cdot z \leq_p v \cdot z$  **shows**  $u \leq_p v$   
 ⟨proof⟩

**lemma** *pref-prod-pref-short*:  $u \leq_p z \cdot w \implies v \leq_p w \implies |u| \leq |z \cdot v| \implies u \leq_p z \cdot v$   
 ⟨proof⟩

**lemma** *pref-prod-pref*:  $u \leq_p z \cdot w \implies u \leq_p w \implies u \leq_p z \cdot u$   
*<proof>*

**lemma** *pref-prod-pref'*: **assumes**  $u \leq_p z \cdot u \cdot w$  **shows**  $u \leq_p z \cdot u$   
*<proof>*

**lemma** *pref-prod-long*:  $u \leq_p v \cdot w \implies |v| \leq |u| \implies v \leq_p u$   
*<proof>*

**lemmas** *pref-prod-long-ext* = *pref-prod-long*[*OF append-prefixD*]

**lemma** *pref-prod-long-less*: **assumes**  $u \leq_p v \cdot w$  **and**  $|v| < |u|$  **shows**  $v <_p u$   
*<proof>*

**lemma** *pref-keeps-per-root*:  $u \leq_p r \cdot u \implies v \leq_p u \implies v \leq_p r \cdot v$   
*<proof>*

**lemma** *pref-keeps-per-root'*:  $u <_p r \cdot u \implies v \leq_p u \implies v <_p r \cdot v$   
*<proof>*

**lemma** *per-root-pref-sing*:  $w <_p r \cdot w \implies u \cdot [a] \leq_p w \implies u \cdot [a] \leq_p r \cdot u$   
*<proof>*

**lemma** *pref-prolong*:  $w \leq_p z \cdot r \implies r \leq_p s \implies w \leq_p z \cdot s$   
*<proof>*

**lemma** *spref--pref-prolong*:  $w <_p z \cdot r \implies r \leq_p s \implies w <_p z \cdot s$   
*<proof>*

**lemma** *pref-spref-prolong*:  $w \leq_p z \cdot r \implies r <_p s \implies w <_p z \cdot s$   
*<proof>*

**lemma** *spref-spref-prolong*:  $w <_p z \cdot r \implies r <_p s \implies w <_p z \cdot s$   
*<proof>*

**lemmas** *pref-shorten* = *pref-trans*[*OF pref-cancel'*]

**lemma** *pref-prolong'*:  $u \leq_p w \cdot z \implies v \cdot u \leq_p z \implies u \leq_p w \cdot v \cdot u$   
*<proof>*

**lemma** *pref-prolong-per-root*:  $u \leq_p r \cdot s \implies s \leq_p r \cdot s \implies u \leq_p r \cdot u$   
*<proof>*

**thm** *pref-compE*

**lemma** *pref-prolong-comp*:  $u \leq_p w \cdot z \implies v \cdot u \bowtie z \implies u \leq_p w \cdot v \cdot u$   
*<proof>*

**lemma** *pref-prod-le[intro]*:  $u \leq_p v \cdot w \implies |u| \leq |v| \implies u \leq_p v$

*<proof>*

**lemma** *prod-pref-prod-le*:  $u \cdot v \leq_p x \cdot y \implies |u| \leq |x| \implies u \leq_p x$   
*<proof>*

**lemma** *pref-prod-less*:  $u \leq_p v \cdot w \implies |u| < |v| \implies u <_p v$   
*<proof>*

**lemma** *eq-le-pref[elim]*:  $x \cdot y = u \cdot v \implies |x| \leq |u| \implies x \leq_p u$   
*<proof>*

**lemma** *eq-less-pref*:  $x \cdot y = u \cdot v \implies |x| < |u| \implies x <_p u$   
*<proof>*

**lemma** *eq-less-suf*: **assumes**  $x \cdot y = u \cdot v$  **shows**  $|x| < |u| \implies v <_s y$   
*<proof>*

**lemma** *pref-prod-cancel*: **assumes**  $u \leq_p p \cdot w \cdot q$  **and**  $|p| \leq |u|$  **and**  $|u| \leq |p \cdot w|$   
**obtains**  $r$  **where**  $p \cdot r = u$  **and**  $r \leq_p w$   
*<proof>*

**lemma** *pref-prod-cancel'*: **assumes**  $u \leq_p p \cdot w \cdot q$  **and**  $|p| < |u|$  **and**  $|u| \leq |p \cdot w|$   
**obtains**  $r$  **where**  $p \cdot r = u$  **and**  $r \leq_p w$  **and**  $r \neq \varepsilon$   
*<proof>*

**lemma** *non-comp-parallel*:  $\neg u \bowtie v \iff u \parallel v$   
*<proof>*

**lemma** *comp-refl*:  $u \bowtie u$   
*<proof>*

**lemma** *incomp-cancel*:  $\neg p \cdot u \bowtie p \cdot v \implies \neg u \bowtie v$   
*<proof>*

**lemma** *comm-ruler*:  $r \cdot s \leq_p w1 \implies s \cdot r \leq_p w2 \implies w1 \bowtie w2 \implies r \cdot s = s \cdot r$   
*<proof>*

**lemma** *comm-comp-eq*:  $r \cdot s \bowtie s \cdot r \implies r \cdot s = s \cdot r$   
*<proof>*

**lemma** *pref-share-take*:  $u \leq_p v \implies q \leq |u| \implies \text{take } q \ u = \text{take } q \ v$   
*<proof>*

**lemma** *pref-prod-longer*:  $u \leq_p z \cdot w \implies v \leq_p w \implies |z \cdot v| \leq |u| \implies z \cdot v \leq_p u$   
*<proof>*

**lemma** *pref-comp-not-pref*:  $u \bowtie v \implies \neg v \leq_p u \implies u <_p v$   
*<proof>*

**lemma** *pref-comp-not-spref*:  $u \bowtie v \implies \neg u <_p v \implies v \leq_p u$   
(proof)

**lemma** *hd-prod*:  $u \neq \varepsilon \implies (u \cdot v)!0 = u!0$   
(proof)

**lemma** *distinct-first*: **assumes**  $w \neq \varepsilon$   $z \neq \varepsilon$   $w!0 \neq z!0$  **shows**  $w \cdot w' \neq z \cdot z'$   
(proof)

**lemmas** *last-no-split = prefix-snoc*

**lemma** *last-no-split'*:  $u <_p w \implies w \leq_p u \cdot [a] \implies w = u \cdot [a]$   
(proof)

**lemma** *comp-shorter*:  $v \bowtie w \implies |v| \leq |w| \implies v \leq_p w$   
(proof)

**lemma** *comp-shorter-conv*:  $|u| \leq |v| \implies u \bowtie v \longleftrightarrow u \leq_p v$   
(proof)

**lemma** *pref-comp-len-trans*:  $w \leq_p v \implies u \bowtie v \implies |w| \leq |u| \implies w \leq_p u$   
(proof)

**lemma** *comp-cancel*:  $z \cdot w1 \bowtie z \cdot w2 \longleftrightarrow w1 \bowtie w2$   
(proof)

**lemma** *emp-pref*:  $\varepsilon \leq_p u$   
(proof)

**lemma** *emp-spref*:  $u \neq \varepsilon \implies \varepsilon <_p u$   
(proof)

**lemma** *long-pref*:  $u \leq_p v \implies |v| \leq |u| \implies u = v$   
(proof)

**lemma** *not-comp-ext*:  $\neg w1 \bowtie w2 \implies \neg w1 \cdot z \bowtie w2 \cdot z'$   
(proof)

**lemma** *mismatch-incopm*:  $|u| = |v| \implies x \neq y \implies \neg u \cdot [x] \bowtie v \cdot [y]$   
(proof)

**lemma** *comp-prefs-comp*:  $u \cdot z \bowtie v \cdot w \implies u \bowtie v$   
(proof)

**lemma** *comp-hd-eq*:  $u \bowtie v \implies u \neq \varepsilon \implies v \neq \varepsilon \implies \text{hd } u = \text{hd } v$   
(proof)

**lemma** *pref-hd-eq'*:  $p \leq_p u \implies p \leq_p v \implies p \neq \varepsilon \implies \text{hd } u = \text{hd } v$   
(proof)

**lemma** *pref-hd-eq*:  $u \leq_p v \implies u \neq \varepsilon \implies \text{hd } u = \text{hd } v$   
 ⟨proof⟩

**lemma** *sing-pref-hd*:  $[a] \leq_p v \implies \text{hd } v = a$   
 ⟨proof⟩

**lemma** *suf-last-eq*:  $p \leq_s u \implies p \leq_s v \implies p \neq \varepsilon \implies \text{last } u = \text{last } v$   
 ⟨proof⟩

**lemma** *comp-hd-eq'*:  $u \cdot r \bowtie v \cdot s \implies u \neq \varepsilon \implies v \neq \varepsilon \implies \text{hd } u = \text{hd } v$   
 ⟨proof⟩

## 2.5.2 Minimal and maximal prefix with a given property

**lemma** *le-take-pref*: **assumes**  $k \leq n$  **shows**  $\text{take } k \text{ } ws \leq_p \text{take } n \text{ } ws$   
 ⟨proof⟩

**lemma** *min-pref*: **assumes**  $u \leq_p w$  **and**  $P u$   
**obtains**  $v$  **where**  $v \leq_p w$  **and**  $P v$  **and**  $\bigwedge y. y \leq_p w \implies P y \implies v \leq_p y$   
 ⟨proof⟩

**lemma** *min-pref'*: **assumes**  $u \leq_p w$  **and**  $P u$   
**obtains**  $v$  **where**  $v \leq_p w$  **and**  $P v$  **and**  $\bigwedge y. y \leq_p v \implies P y \implies y = v$   
 ⟨proof⟩

**lemma** *max-pref*: **assumes**  $u \leq_p w$  **and**  $P u$   
**obtains**  $v$  **where**  $v \leq_p w$  **and**  $P v$  **and**  $\bigwedge y. y \leq_p w \implies P y \implies y \leq_p v$   
 ⟨proof⟩

## 2.6 Suffix and suffix comparability properties

**lemmas** *suf-emp = suffix-bot.extremum-uniqueI*

**lemma** *triv-suf*:  $u \leq_s v \cdot u$   
 ⟨proof⟩

**lemma** *emp-ssuf*:  $u \neq \varepsilon \implies \varepsilon <_s u$   
 ⟨proof⟩

**lemma** *suf-cancel*:  $u \cdot v \leq_s w \cdot v \implies u \leq_s w$   
 ⟨proof⟩

**lemma** *suf-cancel'*:  $u \leq_s w \implies u \cdot v \leq_s w \cdot v$   
 ⟨proof⟩

**lemma** *ssuf-cancel-conv*:  $x \cdot z <_s y \cdot z \iff x <_s y$   
 ⟨proof⟩

Straightforward relations of suffix and prefix follow.

**lemmas** *suf-rev-pref-iff* = *suffix-to-prefix* — provided by Sublist.thy

**lemmas** *ssuf-rev-pref-iff* = *strict-suffix-to-prefix* — provided by Sublist.thy

**lemma** *pref-rev-suf-iff*:  $u \leq_p v \longleftrightarrow \text{rev } u \leq_s \text{rev } v$   
 ⟨*proof*⟩

**lemma** *spref-rev-suf-iff*:  $s <_p w \longleftrightarrow \text{rev } s <_s \text{rev } w$   
 ⟨*proof*⟩

**lemma** *nsuf-rev-pref-iff*:  $s \leq_{ns} w \longleftrightarrow \text{rev } s \leq_{np} \text{rev } w$   
 ⟨*proof*⟩

**lemma** *npref-rev-suf-iff*:  $s \leq_{np} w \longleftrightarrow \text{rev } s \leq_{ns} \text{rev } w$   
 ⟨*proof*⟩

**lemmas** [*reversal-rule*] =  
*suf-rev-pref-iff*[*symmetric*]  
*pref-rev-suf-iff*[*symmetric*]  
*nsuf-rev-pref-iff*[*symmetric*]  
*npref-rev-suf-iff*[*symmetric*]  
*ssuf-rev-pref-iff*[*symmetric*]  
*spref-rev-suf-iff*[*symmetric*]

**lemmas** *sufE* = *prefixE*[*reversed*] **and**  
*prefE* = *prefixE* **and**  
*ssuf-exE* = *spref-exE*[*reversed*]

**lemmas** *suf-prod-long-ext* = *pref-prod-long-ext*[*reversed*]

**lemmas** *suf-prolong-per-root* = *pref-prolong-per-root*[*reversed*]

**lemmas** *suf-ext* = *suffix-appendI* — provided by Sublist.thy

**lemmas** *ssuf-ext* = *spref-ext*[*reversed*] **and**  
*ssuf-extD* = *spref-extD*[*reversed*] **and**  
*suf-ext-nem* = *pref-ext-nemp*[*reversed*] **and**  
*suf-same-len* = *pref-same-len*[*reversed*] **and**  
*suf-take* = *pref-drop*[*reversed*] **and**  
*suf-share-take* = *pref-share-take*[*reversed*] **and**  
*long-suf* = *long-pref*[*reversed*] **and**  
*strict-suffixE'* = *strict-prefixE'*[*reversed*] **and**  
*ssuf-tl-suf* = *spref-butlast-pref*[*reversed*]

**lemma** *ssuf-Cons-iff* [*simp*]:  $u <_s a \# v \longleftrightarrow u \leq_s v$   
 ⟨*proof*⟩



**lemma** *ssuf-induct* [*case-names ssuf*]:  
**assumes**  $\bigwedge u. (\bigwedge v. v <_s u \implies P v) \implies P u$   
**shows**  $P u$   
*<proof>*

### 2.6.1 Suffix comparability

**lemma** *eq-le-suf[elim]*: **assumes**  $x \cdot y = u \cdot v \ |x| \leq |u|$  **shows**  $v \leq_s y$   
*<proof>*

**lemmas** *eq-le-suf'[elim]* = *eq-le-pref[reversed]*

**lemma** *eq-le-suf''[elim]*: **assumes**  $v \cdot u = y \cdot x \ |x| \leq |u|$  **shows**  $x \leq_s u$   
*<proof>*

**lemma** *pref-comp-rev-suf-comp[reversal-rule]*:  $(\text{rev } w) \bowtie_s (\text{rev } v) \longleftrightarrow w \bowtie v$   
*<proof>*

**lemma** *suf-comp-rev-pref-comp[reversal-rule]*:  $(\text{rev } w) \bowtie (\text{rev } v) \longleftrightarrow w \bowtie_s v$   
*<proof>*

**lemmas** *suf-ruler-le* = *suffix-length-suffix* — provided by *Sublist.thy*, same as *ruler\_le[reversed]*

**lemmas** *suf-ruler* = *suffix-same-cases* — provided by *Sublist.thy*, same as *ruler[reversed]*

**lemmas** *suf-ruler-eq-len* = *ruler-eq-len[reversed]* **and**  
*suf-ruler-comp* = *ruler-comp[reversed]* **and**  
*ruler-suf* = *ruler-pref[reversed]* **and**  
*ruler-suf'* = *ruler-pref'[reversed]* **and**  
*ruler-suf''* = *ruler-pref''[reversed]* **and**  
*suf-prod-le* = *pref-prod-le[reversed]* **and**  
*prod-suf-prod-le* = *prod-pref-prod-le[reversed]* **and**  
*suf-prod-eq* = *pref-prod-eq[reversed]* **and**  
*suf-prod-less* = *pref-prod-less[reversed]* **and**  
*suf-prod-cancel* = *pref-prod-cancel[reversed]* **and**  
*suf-prod-cancel'* = *pref-prod-cancel'[reversed]* **and**  
*suf-prod-suf-short* = *pref-prod-pref-short[reversed]* **and**  
*suf-prod-suf* = *pref-prod-pref[reversed]* **and**  
*suf-prod-suf'* = *pref-prod-pref'[reversed, unfolded rassoc]* **and**  
*suf-prolong* = *pref-prolong[reversed]* **and**  
*suf-prolong'* = *pref-prolong'[reversed, unfolded rassoc]* **and**  
*suf-prolong-comp* = *pref-prolong-comp[reversed, unfolded rassoc]* **and**  
*suf-prod-long* = *pref-prod-long[reversed]* **and**  
*suf-prod-long-less* = *pref-prod-long-less[reversed]* **and**  
*suf-prod-longer* = *pref-prod-longer[reversed]* **and**  
*suf-keeps-root* = *pref-keeps-per-root[reversed]* **and**  
*comm-suf-ruler* = *comm-ruler[reversed]*

**lemmas**  $comp\text{-}sufs\text{-}comp = comp\text{-}prefs\text{-}comp[reversed]$  **and**  
 $suf\text{-}comp\text{-}not\text{-}suf = pref\text{-}comp\text{-}not\text{-}pref[reversed]$  **and**  
 $suf\text{-}comp\text{-}not\text{-}ssuf = pref\text{-}comp\text{-}not\text{-}spref[reversed]$  **and**  
 $suf\text{-}comp\text{-}cancel = comp\text{-}cancel[reversed]$  **and**  
 $suf\text{-}not\text{-}comp\text{-}ext = not\text{-}comp\text{-}ext[reversed]$  **and**  
 $mismatch\text{-}suf\text{-}incopm = mismatch\text{-}incopm[reversed]$  **and**  
 $suf\text{-}comp\text{-}sym[sym] = pref\text{-}comp\text{-}sym[reversed]$  **and**  
 $suf\text{-}comp\text{-}refl = comp\text{-}refl[reversed]$

**lemma**  $suf\text{-}comp\text{-}or: u \bowtie_s v \iff u \leq_s v \vee v \leq_s u$   
 $\langle proof \rangle$

**lemma**  $comm\text{-}comp\text{-}eq\text{-}conv: r \cdot s \bowtie s \cdot r \iff r \cdot s = s \cdot r$   
 $\langle proof \rangle$

**lemma**  $comm\text{-}comp\text{-}eq\text{-}conv\text{-}suf: r \cdot s \bowtie_s s \cdot r \iff r \cdot s = s \cdot r$   
 $\langle proof \rangle$

**lemma**  $suf\text{-}comp\text{-}last\text{-}eq$ : **assumes**  $u \bowtie_s v \ u \neq \varepsilon \ v \neq \varepsilon$   
**shows**  $last\ u = last\ v$   
 $\langle proof \rangle$

**lemma**  $suf\text{-}comp\text{-}last\text{-}eq'$ :  $r \cdot u \bowtie_s s \cdot v \implies u \neq \varepsilon \implies v \neq \varepsilon \implies last\ u = last\ v$   
 $\langle proof \rangle$

## 2.7 Left and Right Quotient

A useful function of left quotient is given. Note that the function is sometimes undefined.

**definition**  $left\text{-}quotient:: 'a\ list \Rightarrow 'a\ list \Rightarrow 'a\ list \ (\langle (-^{-1}) \rangle (-) \rangle [75,74] 74)$   
**where**  $left\text{-}quotient\ u\ v = drop\ |u|\ v$   
**notation** (*latex output*)  $left\text{-}quotient\ (\langle -^{-1} \cdot - \rangle)$

Analogously, we define the right quotient.

**definition**  $right\text{-}quotient:: 'a\ list \Rightarrow 'a\ list \Rightarrow 'a\ list \ (\langle (-) \rangle (\langle^{-1} - \rangle) \rangle [76,77] 76)$   
**where**  $right\text{-}quotient\ u\ v = rev\ ((rev\ v)^{-1} \rangle (rev\ u))$   
**notation** (*latex output*)  $right\text{-}quotient\ (\langle - \cdot -^{-1} \rangle)$

**lemmas**  $lq\text{-}def = left\text{-}quotient\text{-}def$  **and**  
 $rq\text{-}def = right\text{-}quotient\text{-}def$

Priorities of these operations are as follows:

**lemma**  $u \langle^{-1} v \langle^{-1} w = (u \langle^{-1} v) \langle^{-1} w$   
 $\langle proof \rangle$

**lemma**  $u^{-1} \rangle v^{-1} \rangle w = u^{-1} \rangle (v^{-1} \rangle w)$

*<proof>*

**lemma**  $u^{-1}\triangleright v \triangleleft^{-1} w = u^{-1}\triangleright (v \triangleleft^{-1} w)$   
*<proof>*

**lemma**  $r \cdot u^{-1}\triangleright w \triangleleft^{-1} v \cdot s = r \cdot (u^{-1}\triangleright w \triangleleft^{-1} v) \cdot s$   
*<proof>*

**lemma**  $rq\text{-rev}\text{-}lq[\text{reversal-rule}]$ :  $(\text{rev } v) \triangleleft^{-1} (\text{rev } u) = \text{rev } (u^{-1}\triangleright v)$   
*<proof>*

**lemma**  $lq\text{-rev}\text{-}rq[\text{reversal-rule}]$ :  $(\text{rev } v)^{-1}\triangleright \text{rev } u = \text{rev } (u \triangleleft^{-1} v)$   
*<proof>*

### 2.7.1 Left Quotient

**lemma**  $lqI$ :  $u \cdot z = v \implies u^{-1}\triangleright v = z$   
*<proof>*

**lemma**  $lq\text{-triv}[simp]$ :  $u^{-1}\triangleright (u \cdot z) = z$   
*<proof>*

**lemma**  $lq\text{-triv}'[simp]$ :  $u \cdot u^{-1}\triangleright (u \cdot z) = u \cdot z$   
*<proof>*

**lemma**  $append\text{-}lq$ : **assumes**  $u \cdot v \leq_p w$  **shows**  $(u \cdot v)^{-1}\triangleright w = v^{-1}\triangleright (u^{-1}\triangleright w)$   
*<proof>*

**lemma**  $lq\text{-self}[simp]$ :  $u^{-1}\triangleright u = \varepsilon$   
*<proof>*

**lemma**  $lq\text{-emp}[simp]$ :  $\varepsilon^{-1}\triangleright u = u$   
*<proof>*

**lemma**  $lq\text{-pref}[simp]$ :  $u \leq_p v \implies u \cdot (u^{-1}\triangleright v) = v$   
*<proof>*

**lemma**  $lq\text{-pref}\text{-}conv$ :  $|u| \leq |v| \implies u \leq_p v \iff u \cdot u^{-1}\triangleright v = v$   
*<proof>*

**lemma**  $lq\text{-len}$ :  $|u^{-1}\triangleright v| = |v| - |u|$   
*<proof>*

**lemmas**  $lcp\text{-}lq = lq\text{-pref}[OF \text{longest-common-prefix-prefix1}] lq\text{-pref}[OF \text{longest-common-prefix-prefix2}]$

**lemma**  $lq\text{-pref}\text{-}cancel$ :  $u \leq_p v \implies v \cdot r = u \cdot s \implies (u^{-1}\triangleright v) \cdot r = s$   
*<proof>*

**lemma**  $lq\text{-the}$ : **assumes**  $u \leq_p v$

**shows** (*THE*  $z. u \cdot z = v$ ) =  $(u^{-1} \triangleright v)$   
*<proof>*

**lemma** *lq-same-len*:  $|u| = |v| \implies u^{-1} \triangleright v = \varepsilon$   
*<proof>*

**lemma** *lq-assoc*:  $|u| \leq |v| \implies (u^{-1} \triangleright v)^{-1} \triangleright w = v^{-1} \triangleright (u \cdot w)$   
*<proof>*

**lemma** *lq-assoc'*:  $(u \cdot w)^{-1} \triangleright v = w^{-1} \triangleright (u^{-1} \triangleright v)$   
*<proof>*

**lemma** *lq-reassoc*:  $u \leq_p v \implies (u^{-1} \triangleright v) \cdot w = u^{-1} \triangleright (v \cdot w)$   
*<proof>*

**lemma** *lq-trans*:  $u \leq_p v \implies v \leq_p w \implies (u^{-1} \triangleright v) \cdot (v^{-1} \triangleright w) = u^{-1} \triangleright w$   
*<proof>*

**lemma** *lq-rq-reassoc-suf*: **assumes**  $u \leq_p z$   $u \leq_s w$  **shows**  $w \cdot u^{-1} \triangleright z = w^{<-1} u \cdot z$   
*<proof>*

**lemma** *lq-ne*:  $p \leq_p u \cdot p \implies u \neq \varepsilon \implies p^{-1} \triangleright (u \cdot p) \neq \varepsilon$   
*<proof>*

**lemma** *lq-spref*:  $u <_p v \implies u^{-1} \triangleright v \neq \varepsilon$   
*<proof>*

**lemma** *lq-suf-suf*:  $r \leq_p s \implies (r^{-1} \triangleright s) \leq_s s$   
*<proof>*

**lemma** *lq-short-len*:  $r \leq_p s \implies |r| + |r^{-1} \triangleright s| = |s|$   
*<proof>*

**lemma** *pref-lq*:  $v \leq_p w \implies u^{-1} \triangleright v \leq_p u^{-1} \triangleright w$   
*<proof>*

**lemma** *spref-lq*:  $u \leq_p v \implies v <_p w \implies u^{-1} \triangleright v <_p u^{-1} \triangleright w$   
*<proof>*

**lemma** *pref-gcd-lq*: **assumes**  $u \leq_p v$  **shows**  $(gcd |u| |u^{-1} \triangleright v|) = gcd |u| |v|$   
*<proof>*

**lemma** *conjug-lq*:  $x \cdot z = z \cdot y \implies y = z^{-1} \triangleright (x \cdot z)$   
*<proof>*

**lemma** *conjug-emp-emp*:  $p \leq_p u \cdot p \implies p^{-1} \triangleright (u \cdot p) = \varepsilon \implies u = \varepsilon$   
*<proof>*

**lemma** *hd-lq-conv-nth*: **assumes**  $u <_p v$  **shows**  $hd(u^{-1}\triangleright v) = v!|u|$   
 ⟨*proof*⟩

**lemma** *concat-morph-lq*:  $us \leq_p ws \implies concat (us^{-1}\triangleright ws) = (concat us)^{-1}\triangleright(concat ws)$   
 ⟨*proof*⟩

**lemma** *pref-cancel-lq*: **assumes**  $u \leq_p x \cdot y$   
**shows**  $x^{-1}\triangleright u \leq_p y$   
 ⟨*proof*⟩

**lemma** *pref-cancel-lq-ext*: **assumes**  $u \cdot v \leq_p x \cdot y$  **and**  $|x| \leq |u|$  **shows**  $x^{-1}\triangleright u \cdot v \leq_p y$   
 ⟨*proof*⟩

**lemma** *pref-cancel-lq-ext'*: **assumes**  $u \cdot v \leq_p x \cdot y$  **and**  $|u| \leq |x|$  **shows**  $v \leq_p u^{-1}\triangleright x \cdot y$   
 ⟨*proof*⟩

**lemma** *empty-lq-eq*:  $r \leq_p z \implies r^{-1}\triangleright z = \varepsilon \implies r = z$   
 ⟨*proof*⟩

**lemma** *le-if-then-lq*:  $|u| \leq |v| \implies (if |v| \leq |u| then v^{-1}\triangleright u else u^{-1}\triangleright v) = u^{-1}\triangleright v$   
 ⟨*proof*⟩

**lemma** *append-comp-lq*:  $u \cdot v \bowtie w \implies v \bowtie u^{-1}\triangleright w$   
 ⟨*proof*⟩

## 2.7.2 Right quotient

**lemmas**  $rqI = lqI[reversed]$  **and**  
 $rq-triv[simp] = lq-triv[reversed]$  **and**  
 $rq-triv'[simp] = lq-triv'[reversed]$  **and**  
 $rq-self[simp] = lq-self[reversed]$  **and**  
 $rq-emp[simp] = lq-emp[reversed]$  **and**  
 $rq-suf[simp] = lq-pref[reversed]$  **and**  
 $rq-ssuf = lq-spref[reversed]$  **and**  
 $rq-reassoc = lq-reassoc[reversed]$  **and**  
 $rq-len = lq-len[reversed]$  **and**  
 $rq-trans = lq-trans[reversed]$  **and**  
 $rq-lq-reassoc-suf = lq-rq-reassoc-suf[reversed]$  **and**  
 $rq-ne = lq-ne[reversed]$  **and**  
 $rq-suf-suf = lq-suf-suf[reversed]$  **and**  
 $suf-rq = pref-lq[reversed]$  **and**  
 $ssuf-rq = spref-lq[reversed]$  **and**  
 $conjug-rq = conjug-lq[reversed]$  **and**  
 $conjug-emp-emp' = conjug-emp-emp[reversed]$  **and**  
 $rq-take = lq-def[reversed]$  **and**

$empty-rq-eq = empty-lq-eq[reversed]$  **and**  
 $append-rq = append-lq[reversed]$  **and**  
 $rq-same-len = lq-same-len[reversed]$  **and**  
 $rq-assoc = lq-assoc[reversed]$  **and**  
 $rq-assoc' = lq-assoc'[reversed]$  **and**  
 $le-if-then-rq = le-if-then-lq[reversed]$  **and**  
 $append-comp-rq = append-comp-lq[reversed]$

### 2.7.3 Left and right quotients combined

**lemma**  $pref-lq-rq-id$ :  $p \leq p \ w \implies w^{<-1}(p^{-1}>w) = p$   
*<proof>*

**lemmas**  $suf-rq-lq-id = pref-lq-rq-id[reversed]$

**lemma**  $rev-lq'$ :  $r \leq p \ s \implies rev \ (r^{-1}>s) = (rev \ s)^{<-1}(rev \ r)$   
*<proof>*

**lemma**  $pref-rq-suf-lq$ :  $s \leq s \ u \implies r \leq p \ (u^{<-1}s) \implies s \leq s \ (r^{-1}>u)$   
*<proof>*

**lemmas**  $suf-lq-pref-rq = pref-rq-suf-lq[reversed]$

**lemma**  $w \cdot s = v \implies v^{<-1}s = w$  *<proof>*

**lemma**  $lq-rq-assoc$ :  $s \leq s \ u \implies r \leq p \ (u^{<-1}s) \implies (r^{-1}>u)^{<-1}s = r^{-1}>(u^{<-1}s)$   
*<proof>*

**lemmas**  $rq-lq-assoc = lq-rq-assoc[reversed]$

**lemma**  $lq-prod$ :  $u \leq p \ v \cdot u \implies u \leq p \ w \implies u^{-1}>(v \cdot u) \cdot u^{-1}>w = u^{-1}>(v \cdot w)$   
*<proof>*

**lemmas**  $rq-prod = lq-prod[reversed]$

**lemma**  $pref-suf-mid$ : **assumes**  $p \cdot w \cdot s = p' \cdot v \cdot s'$  **and**  $p \leq p \ p'$  **and**  $s \leq s \ s'$   
**shows**  $v \leq f \ w$   
*<proof>*

## 2.8 Equidivisibility

Equidivisibility is the following property: if

$$xy = uv,$$

then there exists a word  $t$  such that  $xt = u$  and  $ty = v$ , or  $ut = x$  and  $y = tv$ . For monoids over words, this property is equivalent to the freeness of the monoid. As the monoid of all words is free, we can prove that it is equidivisible. Related lemmas based on this property follow.

**thm** *append-eq-conv-conj*[folded left-quotient-def]

**lemma** *eqd*:  $x \cdot y = u \cdot v \implies |x| \leq |u| \implies \exists t. x \cdot t = u \wedge t \cdot v = y$

*<proof>*

**lemma** *eqdE*: **assumes**  $x \cdot y = u \cdot v$  **and**  $|x| \leq |u|$

**obtains**  $t$  **where**  $x \cdot t = u$  **and**  $t \cdot v = y$

*<proof>*

**lemma** *eqd-lessE*: **assumes**  $x \cdot y = u \cdot v$  **and**  $|x| < |u|$

**obtains**  $t$  **where**  $x \cdot t = u$  **and**  $t \cdot v = y$  **and**  $t \neq \varepsilon$

*<proof>*

**lemma** *eqdE'*: **assumes**  $x \cdot y = u \cdot v$  **and**  $|v| \leq |y|$

**obtains**  $t$  **where**  $x \cdot t = u$  **and**  $t \cdot v = y$

*<proof>*

**thm** *long-pref*

**lemma** *eqd-pref-suf-iff*: **assumes**  $x \cdot y = u \cdot v$  **shows**  $x \leq_p u \iff v \leq_s y$

*<proof>*

**lemma** *eqd-spref-ssuf-iff*: **assumes**  $x \cdot y = u \cdot v$  **shows**  $x <_p u \iff v <_s y$

*<proof>*

**lemma** *eqd-pref*:  $x \cdot y = u \cdot v \implies |x| \leq |u| \implies x \cdot (x^{-1} > u) = u \wedge (x^{-1} > u) \cdot v = y$

*<proof>*

**lemma** *eqd-pref1*:  $x \cdot y = u \cdot v \implies |x| \leq |u| \implies x \cdot (x^{-1} > u) = u$

*<proof>*

**lemma** *eqd-pref2*:  $x \cdot y = u \cdot v \implies |x| \leq |u| \implies (x^{-1} > u) \cdot v = y$

*<proof>*

**lemma** *eqd-eq*: **assumes**  $x \cdot y = u \cdot v$   $|x| = |u|$  **shows**  $x = u \wedge y = v$

*<proof>*

**lemma** *eqd-eq-suf*:  $x \cdot y = u \cdot v \implies |y| = |v| \implies x = u \wedge y = v$

*<proof>*

**lemma** *eqd-comp*: **assumes**  $x \cdot y = u \cdot v$  **shows**  $x \bowtie u$

*<proof>*

**lemma** *eqd-suf1*:  $x \cdot y = u \cdot v \implies |x| \leq |u| \implies (y^{<-1} v) \cdot v = y$

*<proof>*

**lemma** *eqd-suf2*: **assumes**  $x \cdot y = u \cdot v$   $|x| \leq |u|$  **shows**  $x \cdot (y^{<-1} v) = u$

*<proof>*

**lemma** *eqd-suf*: **assumes**  $x \cdot y = u \cdot v$  **and**  $|x| \leq |u|$

**shows**  $(y^{<-1} v) \cdot v = y \wedge x \cdot (y^{<-1} v) = u$

*<proof>*

**lemma** *eqd-exchange-aux*:

**assumes**  $u \cdot v = x \cdot y$  **and**  $u \cdot v' = x \cdot y'$  **and**  $u' \cdot v = x' \cdot y$  **and**  $|u| \leq |x|$   
**shows**  $u' \cdot v' = x' \cdot y'$

*<proof>*

**lemma** *eqd-exchange*:

**assumes**  $u \cdot v = x \cdot y$  **and**  $u \cdot v' = x \cdot y'$  **and**  $u' \cdot v = x' \cdot y$   
**shows**  $u' \cdot v' = x' \cdot y'$

*<proof>*

**hide-fact** *eqd-exchange-aux*

## 2.9 Longest common prefix

**lemmas** *lcp-simps* = *longest-common-prefix.simps* — provided by *Sublist.thy*

**lemmas** *lcp-sym* = *lcp.commute*

— provided by *Sublist.thy*

**lemmas** *lcp-pref* = *longest-common-prefix-prefix1*

**lemmas** *lcp-pref'* = *longest-common-prefix-prefix2*

**lemmas** *pref-pref-lcp[intro]* = *longest-common-prefix-max-prefix*

**lemma** *lcp-pref-ext*:  $u \leq_p v \implies u \leq_p (u \cdot w) \wedge_p (v \cdot z)$

*<proof>*

**lemma** *pref-non-pref-lcp-pref*: **assumes**  $u \leq_p w$  **and**  $\neg u \leq_p z$  **shows**  $w \wedge_p z <_p u$

*<proof>*

**lemmas** *lcp-take* = *pref-take[OF lcp-pref]* **and**  
*lcp-take'* = *pref-take[OF lcp-pref']*

**lemma** *lcp-take-eq*:  $\text{take} (|u \wedge_p v|) u = \text{take} (|u \wedge_p v|) v$

*<proof>*

**lemma** *lcp-pref-conv*:  $u \wedge_p v = u \iff u \leq_p v$

*<proof>*

**lemma** *lcp-pref-conv'*:  $u \wedge_p v = v \iff v \leq_p u$

*<proof>*

**lemmas** *lcp-left-idemp[simp]* = *lcp-pref[folded lcp-pref-conv]* **and**  
*lcp-right-idemp[simp]* = *lcp-pref'[folded lcp-pref-conv]* **and**  
*lcp-left-idemp'[simp]* = *lcp-pref'[folded lcp-pref-conv]* **and**  
*lcp-right-idemp'[simp]* = *lcp-pref[folded lcp-pref-conv]*



**lemma** *lcp-per-root*:  $r \cdot s \wedge_p s \cdot r \leq_p r \cdot (r \cdot s \wedge_p s \cdot r)$   
 ⟨proof⟩

**lemma** *lcp-per-root'*:  $r \cdot s \wedge_p s \cdot r \leq_p s \cdot (r \cdot s \wedge_p s \cdot r)$   
 ⟨proof⟩

**lemma** *pref-lcp-pref*:  $w \leq_p u \wedge_p v \implies w \leq_p u$   
 ⟨proof⟩

**lemma** *pref-lcp-pref'*:  $w \leq_p u \wedge_p v \implies w \leq_p v$   
 ⟨proof⟩

**lemmas** *lcp-self* = *lcp.idem*

**lemma** *lcp-eq-len*:  $|u| = |u \wedge_p v| \implies u = u \wedge_p v$   
 ⟨proof⟩

**lemma** *lcp-len*:  $|u| \leq |u \wedge_p v| \implies u \leq_p v$   
 ⟨proof⟩

**lemma** *lcp-len'*:  $\neg u \leq_p v \implies |u \wedge_p v| < |u|$   
 ⟨proof⟩

**lemma** *incomp-lcp-len*:  $\neg u \bowtie v \implies |u \wedge_p v| < \min |u| |v|$   
 ⟨proof⟩

**lemma** *lcp-ext-right-conv*:  $\neg r \bowtie r' \implies (r \cdot u) \wedge_p (r' \cdot v) = r \wedge_p r'$   
 ⟨proof⟩

**lemma** *lcp-ext-right* [*case-names comp non-comp*]: **obtains**  $r \bowtie r' \mid (r \cdot u) \wedge_p (r' \cdot v) = r \wedge_p r'$   
 ⟨proof⟩

**lemma** *lcp-same-len*:  $|u| = |v| \implies u \neq v \implies u \cdot w \wedge_p v \cdot w' = u \wedge_p v$   
 ⟨proof⟩

**lemma** *lcp-mismatch*:  $|u \wedge_p v| < |u| \implies |u \wedge_p v| < |v| \implies u! |u \wedge_p v| \neq v! |u \wedge_p v|$   
 ⟨proof⟩

**lemma** *lcp-mismatch'*:  $\neg u \bowtie v \implies u! |u \wedge_p v| \neq v! |u \wedge_p v|$   
 ⟨proof⟩

**lemma** *lcp-mismatchE*: **assumes**  $\neg us \bowtie vs$   
**obtains**  $us' vs'$   
**where**  $(us \wedge_p vs) \cdot us' = us$  **and**  $(us \wedge_p vs) \cdot vs' = vs$  **and**  
 $us' \neq \varepsilon$  **and**  $vs' \neq \varepsilon$  **and**  $hd\ us' \neq hd\ vs'$   
 ⟨proof⟩

**lemma** *lcp-mismatch-lq*: **assumes**  $\neg u \bowtie v$

**shows**

$(u \wedge_p v)^{-1} > u \neq \varepsilon$  **and**

$(u \wedge_p v)^{-1} > v \neq \varepsilon$  **and**

$hd((u \wedge_p v)^{-1} > u) \neq hd((u \wedge_p v)^{-1} > v)$

*<proof>*

**lemma** *lcp-ext-left*:  $(z \cdot u) \wedge_p (z \cdot v) = z \cdot (u \wedge_p v)$

*<proof>*

**lemma** *lcp-first-letters*:  $u!0 \neq v!0 \implies u \wedge_p v = \varepsilon$

*<proof>*

**lemma** *lcp-first-mismatch*:  $a \neq b \implies w \cdot [a] \cdot u \wedge_p w \cdot [b] \cdot v = w$

*<proof>*

**lemma** *lcp-first-mismatch'*:  $a \neq b \implies u \cdot [a] \wedge_p u \cdot [b] = u$

*<proof>*

**lemma** *lcp-mismatch-eq-len*: **assumes**  $|u| = |v|$   $x \neq y$  **shows**  $u \cdot [x] \wedge_p v \cdot [y] =$

$u \wedge_p v$

*<proof>*

**lemma** *lcp-first-mismatch-pref*: **assumes**  $p \cdot [a] \leq_p u$  **and**  $p \cdot [b] \leq_p v$  **and**  $a \neq b$

**shows**  $u \wedge_p v = p$

*<proof>*

**lemma** *lcp-append-monotone*:  $u \wedge_p x \leq_p (u \cdot v) \wedge_p (x \cdot y)$

*<proof>*

**lemma** *lcp-distinct-hd*:  $hd\ u \neq hd\ v \implies u \wedge_p v = \varepsilon$

*<proof>*

**lemma** *nemp-lcp-distinct-hd*: **assumes**  $u \neq \varepsilon$  **and**  $v \neq \varepsilon$  **and**  $u \wedge_p v = \varepsilon$

**shows**  $hd\ u \neq hd\ v$

*<proof>*

**lemma** *lcp-lenI*: **assumes**  $i < \min |u| |v|$  **and**  $take\ i\ u = take\ i\ v$  **and**  $u!i \neq v!i$

**shows**  $i = |u \wedge_p v|$

*<proof>*

**lemma** *lcp-prefs*:  $|u \cdot w \wedge_p v \cdot w'| < |u| \implies |u \cdot w \wedge_p v \cdot w'| < |v| \implies u \wedge_p v = u \cdot w \wedge_p v \cdot w'$

*<proof>*

**lemma** *lcp-extend-eq*: **assumes**  $u \leq_p v$  **and**  $u' \leq_p v'$  **and**

$|v \wedge_p v'| \leq |u|$  **and**  $|v \wedge_p v'| \leq |u'|$

**shows**  $u \wedge_p u' = v \wedge_p v'$

*<proof>*

**lemma** *long-lcp-same*: **assumes**  $\neg (u \wedge_p v \leq_p w)$  **shows**  $u \wedge_p w = v \wedge_p w$   
 ⟨proof⟩

**lemma** *long-lcp-sameE*: **obtains**  $u \wedge_p v \leq_p w \mid u \wedge_p w = v \wedge_p w$   
 ⟨proof⟩

**lemma** *ruler-spref-lcp*: **assumes**  $u \wedge_p w <_p v \wedge_p w$   
**shows**  $u \wedge_p v = u \wedge_p w$   
 ⟨proof⟩

## 2.9.1 Longest common prefix and prefix comparability

**find-theorems** *name:ruler*

**lemma** *lexord-cancel-right*:  $(u \cdot z, v \cdot w) \in \text{lexord } r \implies \neg u \bowtie v \implies (u, v) \in \text{lexord } r$   
 ⟨proof⟩

**lemma** *lcp-rulersE*: **assumes**  $r \leq_p s$  **and**  $r' \leq_p s'$  **obtains**  $r \bowtie r' \mid s \wedge_p s' = r \wedge_p r'$   
 ⟨proof⟩

**lemma** *lcp-rulers*:  $r \leq_p s \implies r' \leq_p s' \implies (r \bowtie r' \vee s \wedge_p s' = r \wedge_p r')$   
 ⟨proof⟩

**lemma** *lcp-rulers'*:  $w \leq_p r \implies w' \leq_p s \implies \neg w \bowtie w' \implies (r \wedge_p s) = w \wedge_p w'$   
 ⟨proof⟩

**lemma** *lcp-ruler*:  $r \bowtie w1 \implies r \bowtie w2 \implies \neg w1 \bowtie w2 \implies r \leq_p w1 \wedge_p w2$   
 ⟨proof⟩

**lemma** *comp-monotone*:  $w \bowtie r \implies u \leq_p w \implies u \bowtie r$   
 ⟨proof⟩

**lemma** *comp-monotone'*:  $w \bowtie r \implies w \wedge_p w' \bowtie r$   
 ⟨proof⟩

**lemma** *double-ruler-aux*: **assumes**  $w \bowtie r$  **and**  $w' \bowtie r'$  **and**  $\neg r \bowtie r'$  **and**  $|w| \leq |w'|$   
**shows**  $w \wedge_p w' = \text{take } |w| (r \wedge_p r')$   
 ⟨proof⟩

**lemma** *double-ruler*: **assumes**  $w \bowtie r$  **and**  $w' \bowtie r'$  **and**  $\neg r \bowtie r'$   
**shows**  $w \wedge_p w' = \text{take } (\min |w| |w'|) (r \wedge_p r')$   
 ⟨proof⟩

**hide-fact** *double-ruler-aux*

**lemmas** *pref-lcp-iff = lcp.bounded-iff*

**lemma** *pref-comp-ruler*: **assumes**  $w \bowtie u \cdot [x]$  **and**  $w \bowtie v \cdot [y]$  **and**  $x \neq y$  **and**  
 $|u| = |v|$   
**shows**  $w \leq_p u \wedge w \leq_p v$   
*<proof>*

**lemma** *comp-per-partes*:  
**shows**  $(u \bowtie w \wedge v \bowtie u^{-1} > w) \longleftrightarrow u \cdot v \bowtie w$   
*<proof>*

**lemmas** *scomp-per-partes* = *comp-per-partes*[reversed]

## 2.9.2 Longest common suffix

**definition** *longest-common-suffix*  $(\langle \cdot \wedge_s \cdot \rangle$  [61,62] 64)

**where**

*longest-common-suffix*  $u \ v \equiv \text{rev} (\text{rev } u \wedge_p \text{rev } v)$

**lemma** *lcs-lcp* [reversal-rule]:  $\text{rev } u \wedge_p \text{rev } v = \text{rev} (u \wedge_s v)$   
*<proof>*

**lemmas** *lcs-simp* = *lcp-simps*[reversed] **and**  
*lcs-sym* = *lcp-sym*[reversed] **and**  
*lcs-suf* = *lcp-pref*[reversed] **and**  
*lcs-suf'* = *lcp-pref'*[reversed] **and**  
*suf-suf-lcs* = *pref-pref-lcp*[reversed] **and**  
*suf-non-suf-lcs-suf* = *pref-non-pref-lcp-pref*[reversed] **and**  
*lcs-drop-eq* = *lcp-take-eq*[reversed] **and**  
*lcs-take* = *lcp-take*[reversed] **and**  
*lcs-take'* = *lcp-take'*[reversed] **and**  
*lcs-suf-conv* = *lcp-pref-conv*[reversed] **and**  
*lcs-suf-conv'* = *lcp-pref-conv'*[reversed] **and**  
*lcs-per-root* = *lcp-per-root*[reversed] **and**  
*lcs-per-root'* = *lcp-per-root'*[reversed] **and**  
*suf-lcs-suf* = *pref-lcp-pref*[reversed] **and**  
*suf-lcs-suf'* = *pref-lcp-pref'*[reversed] **and**  
*lcs-self*[simp] = *lcp-self*[reversed] **and**  
*lcs-eq-len* = *lcp-eq-len*[reversed] **and**  
*lcs-len* = *lcp-len*[reversed] **and**  
*lcs-len'* = *lcp-len'*[reversed] **and**  
*suf-incomp-lcs-len* = *incomp-lcp-len*[reversed] **and**  
*lcs-ext-left-conv* = *lcp-ext-right-conv*[reversed] **and**  
*lcs-ext-left* [case-names comp non-comp] = *lcp-ext-right*[reversed] **and**  
*lcs-same-len* = *lcp-same-len*[reversed] **and**  
*lcs-mismatch* = *lcp-mismatch*[reversed] **and**  
*lcs-mismatch'* = *lcp-mismatch'*[reversed] **and**  
*lcs-mismatchE* = *lcp-mismatchE*[reversed] **and**  
*lcs-mismatch-rq* = *lcp-mismatch-lq*[reversed] **and**  
*lcs-ext-right* = *lcp-ext-left*[reversed] **and**

$lcs\text{-first-mismatch} = lcp\text{-first-mismatch}[reversed, \text{unfolded rassoc}]$  **and**  
 $lcs\text{-first-mismatch}' = lcp\text{-first-mismatch}'[reversed, \text{unfolded rassoc}]$  **and**  
 $lcs\text{-mismatch-eq-len} = lcp\text{-mismatch-eq-len}[reversed]$  **and**  
 $lcs\text{-first-mismatch-suf} = lcp\text{-first-mismatch-pref}[reversed]$  **and**  
 $lcs\text{-rulers} = lcp\text{-rulers}[reversed]$  **and**  
 $lcs\text{-rulers}' = lcp\text{-rulers}'[reversed]$  **and**  
 $suf\text{-suf-lcs}' = lcp.\text{mono}[reversed]$  **and**  
 $lcs\text{-distinct-last} = lcp\text{-distinct-hd}[reversed]$  **and**  
 $lcs\text{-lenI} = lcp\text{-lenI}[reversed]$  **and**  
 $lcs\text{-sufs} = lcp\text{-prefs}[reversed]$

**lemmas**  $lcs\text{-ruler} = lcp\text{-ruler}[reversed]$  **and**  
 $suf\text{-comp-monotone} = comp\text{-monotone}[reversed]$  **and**  
 $suf\text{-comp-monotone}' = comp\text{-monotone}'[reversed]$  **and**  
 $double\text{-ruler-suf} = double\text{-ruler}[reversed]$  **and**  
 $suf\text{-lcs-iff} = pref\text{-lcp-iff}[reversed]$  **and**  
 $suf\text{-comp-ruler} = pref\text{-comp-ruler}[reversed]$

## 2.10 Mismatch

The first pair of letters on which two words/lists disagree

**function**  $mismatch\text{-pair} :: 'a\ list \Rightarrow 'a\ list \Rightarrow ('a \times 'a)$  **where**  
 $mismatch\text{-pair} \ \varepsilon \ v = (\varepsilon!0, v!0) \mid$   
 $mismatch\text{-pair} \ v \ \varepsilon = (v!0, \varepsilon!0) \mid$   
 $mismatch\text{-pair} \ (a\#\!u) \ (b\#\!v) = (\text{if } a=b \text{ then } mismatch\text{-pair} \ u \ v \text{ else } (a,b))$   
 $\langle proof \rangle$

**termination**  
 $\langle proof \rangle$

Alternatively, mismatch pair may be defined using the longest common prefix as follows.

**lemma**  $mismatch\text{-pair-lcp}$ :  $mismatch\text{-pair} \ u \ v = (u!|u \wedge_p v|, v!|u \wedge_p v|)$   
 $\langle proof \rangle$

For incomparable words the pair is out of diagonal.

**lemma**  $incomp\text{-neq}$ :  $\neg u \bowtie v \Longrightarrow (mismatch\text{-pair} \ u \ v) \notin Id$   
 $\langle proof \rangle$

**lemma**  $mismatch\text{-ext-left}$ :  $\neg u \bowtie v \Longrightarrow mismatch\text{-pair} \ u \ v = mismatch\text{-pair} \ (p \cdot u)$   
 $(p \cdot v)$   
 $\langle proof \rangle$

**lemma**  $mismatch\text{-ext-right}$ : **assumes**  $\neg u \bowtie v$   
**shows**  $mismatch\text{-pair} \ u \ v = mismatch\text{-pair} \ (u \cdot z) \ (v \cdot w)$   
 $\langle proof \rangle$

**lemma**  $mismatchI$ :  $\neg u \bowtie v \Longrightarrow i < \min |u| |v| \Longrightarrow take \ i \ u = take \ i \ v \Longrightarrow u!i \neq v!i$

$\implies \text{mismatch-pair } u \ v = (u!i, v!i)$   
 ⟨proof⟩

For incomparable words, the mismatch letters work in a similar way as the lexicographic order

**lemma mismatch-lexord:** **assumes**  $\neg u \bowtie v$  **and**  $\text{mismatch-pair } u \ v \in r$   
**shows**  $(u, v) \in \text{lexord } r$   
 ⟨proof⟩

However, the equivalence requires  $r$  to be irreflexive. (Due to the definition of  $\text{lexord}$  which is designed for irreflexive relations.)

**lemma lexord-mismatch:** **assumes**  $\neg u \bowtie v$  **and**  $\text{irrefl } r$   
**shows**  $\text{mismatch-pair } u \ v \in r \longleftrightarrow (u, v) \in \text{lexord } r$   
 ⟨proof⟩

## 2.11 Factor properties

**lemmas**  $[\text{simp}] = \text{sublist-Cons-right}$

**lemma rev-fac[reversal-rule]:**  $\text{rev } u \leq_f \text{rev } v \longleftrightarrow u \leq_f v$   
 ⟨proof⟩

**lemma fac-pref:**  $u \leq_f v \equiv \exists p. p \cdot u \leq_p v$   
 ⟨proof⟩

**lemma fac-pref-suf:**  $u \leq_f v \implies \exists p. p \leq_p v \wedge u \leq_s p$   
 ⟨proof⟩

**lemma pref-suf-fac:**  $r \leq_p v \implies u \leq_s r \implies u \leq_f v$   
 ⟨proof⟩

**lemmas**  
 $\text{fac-suf} = \text{fac-pref}[\text{reversed}]$  **and**  
 $\text{fac-suf-pref} = \text{fac-pref-suf}[\text{reversed}]$  **and**  
 $\text{suf-pref-fac} = \text{pref-suf-fac}[\text{reversed}]$

**lemma suf-pref-eq:**  $s \leq_s p \implies p \leq_p s \implies p = s$   
 ⟨proof⟩

**lemma fac-triv:**  $p \cdot x \cdot q = x \implies p = \varepsilon$   
 ⟨proof⟩

**lemma fac-triv':**  $p \cdot x \cdot q = x \implies q = \varepsilon$   
 ⟨proof⟩

**lemmas**  
 $\text{suf-fac} = \text{suffix-imp-sublist}$  **and**  
 $\text{pref-fac} = \text{prefix-imp-sublist}$

**lemma** *fac-ConsE*: **assumes**  $u \leq f (a\#v)$   
**obtains**  $u \leq_p (a\#v) \mid u \leq f v$   
 $\langle proof \rangle$

**lemmas**  
*fac-snocE* = *fac-ConsE*[*reversed*]

**lemma** *fac-elim-suf*: **assumes**  $f \leq f m \cdot s \neg f \leq f s$   
**shows**  $f \leq f m \cdot (take (|f|-1) s)$   
 $\langle proof \rangle$

**lemmas** *fac-elim-pref* = *fac-elim-suf*[*reversed*]

**lemma** *fac-elim*: **assumes**  $f \leq f p \cdot m \cdot s$  **and**  $\neg f \leq f p$  **and**  $\neg f \leq f s$   
**shows**  $f \leq f (drop (|p| - (|f| - 1)) p) \cdot m \cdot (take (|f|-1) s)$   
 $\langle proof \rangle$

**lemma** *fac-ext-pref*:  $u \leq f w \implies u \leq f p \cdot w$   
 $\langle proof \rangle$

**lemma** *fac-ext-suf*:  $u \leq f w \implies u \leq f w \cdot s$   
 $\langle proof \rangle$

**lemma** *fac-ext*:  $u \leq f w \implies u \leq f p \cdot w \cdot s$   
 $\langle proof \rangle$

**lemma** *fac-ext-hd*:  $u \leq f w \implies u \leq f a\#w$   
 $\langle proof \rangle$

**lemma** *card-switch-fac*: **assumes**  $2 \leq card (set ws)$   
**obtains**  $c d$  **where**  $c \neq d$  **and**  $[c,d] \leq f ws$   
 $\langle proof \rangle$

**lemma** *fac-overlap-len*: **assumes**  $u \leq f x \cdot y \cdot z$  **and**  $|u| \leq |y|$   
**shows**  $u \leq f x \cdot y \vee u \leq f y \cdot z$   
 $\langle proof \rangle$

## 2.12 Power and its properties

Word powers are often investigated in Combinatorics on Words. We thus interpret words as *monoid-mult* and adopt a notation for the word power.

**primrec** *list-power* :: 'a list  $\Rightarrow$  nat  $\Rightarrow$  'a list (**infixr**  $\langle^{\@}$ , 80)  
**where**  
*pow-0*:  $u^{\@} 0 = \varepsilon$   
 $\mid$  *pow-Suc*:  $u^{\@} Suc\ n = u \cdot u^{\@} n$

**term** *power.power*

**context**

**begin**

**interpretation** *monoid-mult*  $\varepsilon$  *append*

**rewrites** *power*  $u\ n = u^{\textcircled{a}}\ n$

*<proof>*

**lemma** *emp-pow-emp[simp]*:  $r = \varepsilon \implies r^{\textcircled{a}}\ n = \varepsilon$

*<proof>*

**lemma** *pow-pos:0 < k*  $\implies a^{\textcircled{a}}\ k = a \cdot a^{\textcircled{a}}\ (k-1)$

*<proof>*

**lemma** *pow-pos':0 < k*  $\implies a^{\textcircled{a}}\ k = a^{\textcircled{a}}\ (k-1) \cdot a$

*<proof>*

**lemma** *pow-diff: k < n*  $\implies a^{\textcircled{a}}\ (n - k) = a \cdot a^{\textcircled{a}}\ (n-k-1)$

*<proof>*

**lemma** *pow-diff': k < n*  $\implies a^{\textcircled{a}}\ (n - k) = a^{\textcircled{a}}\ (n-k-1) \cdot a$

*<proof>*

**lemmas** *pow-zero = power.power-0* **and**

*pow-one = power.Suc0-right* **and**

*pow-1 = power-one-right* **and**

*emp-pow[emp-simps] = power-one* **and**

*pow-two[simp] = power2-eq-square* **and**

*pow-Suc = power-Suc* **and**

*pow-Suc' = power-Suc2* **and**

*pow-comm = power-commutes* **and**

*add-exps = power-add* **and**

*pow-eq-if-list = power-eq-if* **and**

*pow-mult = power-mult* **and**

*comm-add-exp = power-commuting-commutes*

**lemma** *pow-rev-emp-conv[reversal-rule]*: *power.power*  $(\text{rev } \varepsilon) (\cdot) = (\textcircled{a})$

*<proof>*

**lemma** *pow-rev-map-rev-emp-conv [reversal-rule]*: *power.power*  $(\text{rev } (\text{map rev } \varepsilon))$

$(\cdot) = (\textcircled{a})$

*<proof>*



**end**

**named-theorems** *exp-simps*

**lemmas** [*exp-simps*] = *pow-zero pow-one emp-pow*  
*numeral-nat less-eq-Suc-le neq0-conv pow-mult[symmetric]*

**named-theorems** *cow-simps*

**lemmas** [*cow-simps*] = *emp-simps exp-simps*

— more power properties

**lemma** *sing-Cons-to-pow*:  $[a, a] = [a]^{\textcircled{a}} \text{Suc} (\text{Suc } 0) \ a \ \# \ [a]^{\textcircled{a}} k = [a]^{\textcircled{a}} \text{Suc } k$   
(*proof*)

**lemma** *zero-exp*:  $n = 0 \implies r^{\textcircled{a}} n = \varepsilon$   
(*proof*)

**lemma** *nemp-pow*:  $t^{\textcircled{a}} m \neq \varepsilon \implies 0 < m$   
(*proof*)

**lemma** *pow-nemp-pos[intro]*: **assumes**  $u = t^{\textcircled{a}} m \ u \neq \varepsilon$  **shows**  $0 < m$   
(*proof*)

**lemma** *nemp-exp-pos[intro]*:  $w \neq \varepsilon \implies r^{\textcircled{a}} k = w \implies 0 < k$   
(*proof*)

**lemma** *nemp-exp-pos'[intro]*:  $w \neq \varepsilon \implies w = r^{\textcircled{a}} k \implies 0 < k$   
(*proof*)

**lemma** *nemp-pow-nemp[intro]*:  $t^{\textcircled{a}} m \neq \varepsilon \implies t \neq \varepsilon$   
(*proof*)

**lemma** *sing-pow-nth*:  $i < m \implies ([a]^{\textcircled{a}} m) ! i = a$   
(*proof*)

**lemma** *pow-is-concat-replicate*:  $u^{\textcircled{a}} n = \text{concat} (\text{replicate } n \ u)$   
(*proof*)

**lemma** *pow-slide*:  $u \cdot (v \cdot u)^{\textcircled{a}} n \cdot v = (u \cdot v)^{\textcircled{a}} (\text{Suc } n)$   
(*proof*)

**lemma** *hd-pow*: **assumes**  $0 < n$  **shows**  $\text{hd}(u^{\textcircled{a}} n) = \text{hd } u$   
(*proof*)

**lemma** *pop-pow*:  $m \leq k \implies u^{\textcircled{a}} m \cdot u^{\textcircled{a}} (k-m) = u^{\textcircled{a}} k$   
(*proof*)

**lemma** *pop-pow-cancel*:  $u^{\textcircled{k}} \cdot v = u^{\textcircled{m}} \cdot w \implies m \leq k \implies u^{\textcircled{k-m}} \cdot v = w$   
*<proof>*

**lemma** *pows-comm*:  $t^{\textcircled{k}} \cdot t^{\textcircled{m}} = t^{\textcircled{m}} \cdot t^{\textcircled{k}}$   
*<proof>*

**lemma** *comm-add-exps*: **assumes**  $r \cdot u = u \cdot r$  **shows**  $r^{\textcircled{m}} \cdot u^{\textcircled{k}} = u^{\textcircled{k}} \cdot r^{\textcircled{m}}$   
*<proof>*

**lemma** *rev-pow*:  $\text{rev } (x^{\textcircled{m}}) = (\text{rev } x)^{\textcircled{m}}$   
*<proof>*

**lemma** *pows-comp*:  $x^{\textcircled{i}} \bowtie x^{\textcircled{j}}$   
*<proof>*

**lemmas** *pows-suf-comp* = *pows-comp*[*reversed*, *folded rev-pow suffix-comparable-def*]

**lemmas** [*reversal-rule*] = *rev-pow*[*symmetric*]

**lemmas** *pow-eq-if-list'* = *pow-eq-if-list*[*reversed*] **and**  
*pop-pow-one'* = *pop-pow*[*reversed*] **and**  
*pop-pow'* = *pop-pow*[*reversed*] **and**  
*pop-pow-cancel'* = *pop-pow-cancel*[*reversed*]

**lemma** *pow-len*:  $|u^{\textcircled{k}}| = k * |u|$   
*<proof>*

**lemma** *pow-set*:  $\text{set } (w^{\textcircled{\text{Suc } k}}) = \text{set } w$   
*<proof>*

**lemma** *eq-pow-exp[simp]*: **assumes**  $u \neq \varepsilon$  **shows**  $u^{\textcircled{k}} = u^{\textcircled{m}} \longleftrightarrow k = m$   
*<proof>*

**lemma** *emp-pow-pos-emp* [*intro*]: **assumes**  $v^{\textcircled{j}} = \varepsilon$   $0 < j$  **shows**  $v = \varepsilon$   
*<proof>*

**lemma** *nemp-emp-pow*: **assumes**  $u \neq \varepsilon$  **shows**  $u^{\textcircled{m}} = \varepsilon \longleftrightarrow m = 0$   
*<proof>*

**lemma** *nemp-pow-nemp-pos-conv*: **assumes**  $u \neq \varepsilon$  **shows**  $u^{\textcircled{m}} \neq \varepsilon \longleftrightarrow 0 < m$   
*<proof>*

**lemma** *nemp-Suc-pow-nemp*:  $u \neq \varepsilon \implies u^{\textcircled{\text{Suc } k}} \neq \varepsilon$   
*<proof>*

**lemma** *nonzero-pow-emp*:  $0 < m \implies u^{\textcircled{m}} = \varepsilon \longleftrightarrow u = \varepsilon$   
*<proof>*

**lemma** *pow-eq-eq*:

**assumes**  $u^{\textcircled{a}}k = v^{\textcircled{a}}k$  **and**  $0 < k$   
**shows**  $u = v$   
 ⟨proof⟩

**lemma** *Suc-pow-eq-eq[elim]*:  $u^{\textcircled{a}}\text{Suc } k = v^{\textcircled{a}}\text{Suc } k \implies u = v$   
 ⟨proof⟩

**lemma** *map-pow[simp]*:  $\text{map } f (r^{\textcircled{a}}k) = (\text{map } f r)^{\textcircled{a}}k$   
 ⟨proof⟩

**lemmas** [*reversal-rule*] = *map-pow[symmetric]*

**lemma** *concat-pow[simp]*:  $\text{concat } (r^{\textcircled{a}}k) = (\text{concat } r)^{\textcircled{a}}k$   
 ⟨proof⟩

**lemma** *concat-sing-pow[simp]*:  $\text{concat } ([a]^{\textcircled{a}}k) = a^{\textcircled{a}}k$   
 ⟨proof⟩

**lemma** *sing-pow-empty*:  $[a]^{\textcircled{a}}n = \varepsilon \longleftrightarrow n = 0$   
 ⟨proof⟩

**lemma** *sing-pow-lists*:  $a \in A \implies [a]^{\textcircled{a}}n \in \text{lists } A$   
 ⟨proof⟩

**lemma** *long-pow*:  $r \neq \varepsilon \implies m \leq |r^{\textcircled{a}}m|$   
 ⟨proof⟩

**lemma** *long-pow-exp'*:  $r \neq \varepsilon \implies m < |r^{\textcircled{a}}(\text{Suc } m)|$   
 ⟨proof⟩

**lemma** *long-pow-expE*: **assumes**  $r \neq \varepsilon$  **obtains**  $n$  **where**  $m \leq |r^{\textcircled{a}}\text{Suc } n|$   
 ⟨proof⟩

**lemma** *pref-pow-ext*:  $x \leq_p r^{\textcircled{a}}k \implies x \leq_p r^{\textcircled{a}}\text{Suc } k$   
 ⟨proof⟩

**lemma** *pref-pow-ext'*:  $u \leq_p r^{\textcircled{a}}k \implies u \leq_p r \cdot r^{\textcircled{a}}k$   
 ⟨proof⟩

**lemma** *pref-pow-root-ext*:  $x \leq_p r^{\textcircled{a}}k \implies r \cdot x \leq_p r^{\textcircled{a}}\text{Suc } k$   
 ⟨proof⟩

**lemma** *pref-prod-root*:  $u \leq_p r^{\textcircled{a}}k \implies u \leq_p r \cdot u$   
 ⟨proof⟩

**lemma** *le-exps-pref*:  $k \leq l \implies r^{\textcircled{a}}k \leq_p r^{\textcircled{a}}l$   
 ⟨proof⟩

**lemma** *pref-exp-le*: **assumes**  $u \neq \varepsilon$   $u^{\textcircled{a}}m \leq_p u^{\textcircled{a}}n$  **shows**  $m \leq n$

*<proof>*

**lemma** *sing-exp-pref-iff*: **assumes**  $a \neq b$   
**shows**  $[a]^{\textcircled{i}} \leq_p [a]^{\textcircled{k}} \cdot [b] \cdot w \longleftrightarrow i \leq k$   
*<proof>*

**lemmas**

*suf-pow-ext* = *pref-pow-ext*[reversed] **and**  
*suf-pow-ext'* = *pref-pow-ext'*[reversed] **and**  
*suf-pow-root-ext* = *pref-pow-root-ext*[reversed] **and**  
*suf-prod-root* = *pref-prod-root*[reversed] **and**  
*suf-exps-pow* = *le-exps-pref*[reversed] **and**  
*suf-exp-le* = *pref-exp-le*[reversed] **and**  
*sing-exp-suf-iff* = *sing-exp-pref-iff*[reversed]

**lemma** *comm-common-power*: **assumes**  $r \cdot u = u \cdot r$  **shows**  $r^{\textcircled{k}} |u| = u^{\textcircled{k}} |r|$   
*<proof>*

**lemma** *one-generated-list-power*:  $u \in \text{lists } \{x\} \implies \exists k. \text{concat } u = x^{\textcircled{k}}$   
*<proof>*

**lemma** *pow-lists*: **assumes**  $0 < k$  **shows**  $u^{\textcircled{k}} \in \text{lists } B \implies u \in \text{lists } B$   
*<proof>*

**lemma** *concat-morph-power*:  $xs \in \text{lists } B \implies xs = ts^{\textcircled{k}} \implies \text{concat } ts^{\textcircled{k}} = \text{concat } xs$   
*<proof>*

**lemma** *per-exp-pref*:  $u \leq_p r \cdot u \implies u \leq_p r^{\textcircled{k}} \cdot u$   
*<proof>*

**lemmas**

*per-exp-suf* = *per-exp-pref*[reversed]

**lemma** *hd-sing-pow*:  $k \neq 0 \implies \text{hd } ([a]^{\textcircled{k}}) = a$   
*<proof>*

**lemma** *sing-pref-comp-mismatch*:

**assumes**  $b \neq a$  **and**  $c \neq a$  **and**  $[a]^{\textcircled{k}} \cdot [b] \bowtie [a]^{\textcircled{l}} \cdot [c]$   
**shows**  $k = l \wedge b = c$

*<proof>*

**lemma** *sing-pref-comp-lcp*: **assumes**  $r \neq s$  **and**  $a \neq b$  **and**  $a \neq c$

**shows**  $[a]^{\textcircled{r}} \cdot [b] \cdot u \wedge_p [a]^{\textcircled{s}} \cdot [c] \cdot v = [a]^{\textcircled{(\min r s)}}$

*<proof>*

**lemmas** *sing-suf-comp-mismatch* = *sing-pref-comp-mismatch*[reversed]

**lemma** *exp-pref-cancel*: **assumes**  $t^{\textcircled{a}}m \cdot y = t^{\textcircled{a}}k$  **shows**  $y = t^{\textcircled{a}}(k - m)$   
 ⟨*proof*⟩

**lemmas** *exp-suf-cancel* = *exp-pref-cancel*[*reversed*]

**lemma** *index-pow-mod*:  $i < |r^{\textcircled{a}}k| \implies (r^{\textcircled{a}}k)!i = r!(i \bmod |r|)$   
 ⟨*proof*⟩

**lemma** *sing-pow-len* [*simp*]:  $|[r]^{\textcircled{a}}l| = l$   
 ⟨*proof*⟩

**lemma** *take-sing-pow*:  $k \leq l \implies \text{take } k \ ([r]^{\textcircled{a}}l) = [r]^{\textcircled{a}}k$   
 ⟨*proof*⟩

**lemma** *concat-take-sing*: **assumes**  $k \leq l$  **shows**  $\text{concat } (\text{take } k \ ([r]^{\textcircled{a}}l)) = r^{\textcircled{a}}k$   
 ⟨*proof*⟩

**lemma** *unique-letter-word*: **assumes**  $\bigwedge c. c \in \text{set } w \implies c = a$  **shows**  $w = [a]^{\textcircled{a}}|w|$   
 ⟨*proof*⟩

**lemma** *card-set-le-1-imp-hd-pow*: **assumes**  $\text{card } (\text{set } u) \leq 1$  **shows**  $[\text{hd } u]^{\textcircled{a}}|u| = u$   
 ⟨*proof*⟩

**lemma** *unique-letter-wordE'*[*elim*]: **assumes**  $(\forall c. c \in \text{set } w \longrightarrow c = a)$  **obtains**  $k$  **where**  $w = [a]^{\textcircled{a}}k$   
 ⟨*proof*⟩

**lemma** *unique-letter-wordE''*[*elim*]: **assumes**  $\text{set } w \subseteq \{a\}$  **obtains**  $k$  **where**  $w = [a]^{\textcircled{a}}k$   
 ⟨*proof*⟩

**lemma** *unique-letter-wordE*[*elim*]: **assumes**  $\text{set } w = \{a\}$  **obtains**  $k$  **where**  $w = [a]^{\textcircled{a}}\text{Suc } k$   
 ⟨*proof*⟩

**lemma** *conjug-pow*:  $x \cdot z = z \cdot y \implies x^{\textcircled{a}}k \cdot z = z \cdot y^{\textcircled{a}}k$   
 ⟨*proof*⟩

**lemma** *lq-conjug-pow*: **assumes**  $p \leq_p x \cdot p$  **shows**  $p^{-1}>(x^{\textcircled{a}}k \cdot p) = (p^{-1}>(x \cdot p))^{\textcircled{a}}k$   
 ⟨*proof*⟩

**lemmas** *rq-conjug-pow* = *lq-conjug-pow*[*reversed*]

**lemma** *pow-pref-root-one*: **assumes**  $0 < k$  **and**  $r \neq \varepsilon$  **and**  $r^{\textcircled{a}}k \leq_p r$  **shows**  $k = 1$   
 ⟨*proof*⟩

**lemma** *count-list-pow*:  $\text{count-list } (w^{\textcircled{k}}) a = k * (\text{count-list } w a)$

*<proof>*

**lemma** *comp-pows-pref*: **assumes**  $v \neq \varepsilon$  **and**  $(u \cdot v)^{\textcircled{k}} \cdot u \leq_p (u \cdot v)^{\textcircled{m}}$  **shows**  
 $k \leq m$

*<proof>*

**lemma** *comp-pows-pref'*: **assumes**  $v \neq \varepsilon$  **and**  $(u \cdot v)^{\textcircled{k}} \leq_p (u \cdot v)^{\textcircled{m}} \cdot u$  **shows**  
 $k \leq m$

*<proof>*

**lemma** *comp-pows-not-pref*:  $\neg (u \cdot v)^{\textcircled{k}} \cdot u \leq_p (u \cdot v)^{\textcircled{m}} \implies m \leq k$

*<proof>*

**lemma** *comp-pows-spref*:  $u^{\textcircled{k}} <_p u^{\textcircled{m}} \implies k < m$

*<proof>*

**lemma** *comp-pows-spref-ext*:  $(u \cdot v)^{\textcircled{k}} \cdot u <_p (u \cdot v)^{\textcircled{m}} \implies k < m$

*<proof>*

**lemma** *comp-pows-pref-zero*:  $(u \cdot v)^{\textcircled{k}} <_p u \implies k = 0$

*<proof>*

**lemma** *comp-pows-spref'*:  $(u \cdot v)^{\textcircled{k}} <_p (u \cdot v)^{\textcircled{m}} \cdot u \implies k < \text{Suc } m$

*<proof>*

**lemmas** *comp-pows-suf* = *comp-pows-pref*[*reversed*] **and**

*comp-pows-suf'* = *comp-pows-pref'*[*reversed*] **and**

*comp-pows-not-suf* = *comp-pows-not-pref*[*reversed*] **and**

*comp-pows-ssuf* = *comp-pows-spref*[*reversed*] **and**

*comp-pows-ssuf-ext* = *comp-pows-spref-ext*[*reversed*] **and**

*comp-pows-suf-zero* = *comp-pows-pref-zero*[*reversed*] **and**

*comp-pows-ssuf'* = *comp-pows-spref'*[*reversed*]

### 2.12.1 Comparison

**named-theorems** *shifts*

**lemma** *shift-pow*[*shifts*]:  $(u \cdot v)^{\textcircled{k}} \cdot u = u \cdot (v \cdot u)^{\textcircled{k}}$

*<proof>*

**lemma**[*shifts*]:  $(u \cdot v)^{\textcircled{k}} \cdot u \cdot z = u \cdot (v \cdot u)^{\textcircled{k}} \cdot z$

*<proof>*

**lemma**[*shifts*]:  $u^{\textcircled{k}} \cdot u \cdot z = u \cdot u^{\textcircled{k}} \cdot z$

*<proof>*

**lemma**[*shifts*]:  $r^{\textcircled{k}} \leq_p r \cdot r^{\textcircled{k}}$

*<proof>*

**lemma** [*shifts*]:  $r^{\textcircled{k}} \leq_p r \cdot r^{\textcircled{k}} \cdot z$

*<proof>*

**lemma** [*shifts*]:  $(r \cdot q)^{\textcircled{k}} \leq_p r \cdot q \cdot (r \cdot q)^{\textcircled{k}} \cdot z$

$\langle proof \rangle$   
**lemma** [shifts]:  $(r \cdot q)^{\textcircled{k}} \leq_p r \cdot q \cdot (r \cdot q)^{\textcircled{k}}$   
 $\langle proof \rangle$   
**lemma**[shifts]:  $r^{\textcircled{k}} \cdot u \leq_p r \cdot r^{\textcircled{k}} \cdot v \iff u \leq_p r \cdot v$   
 $\langle proof \rangle$   
**lemma**[shifts]:  $u \cdot u^{\textcircled{k}} \cdot z = u^{\textcircled{k}} \cdot w \iff u \cdot z = w$   
 $\langle proof \rangle$   
**lemma**[shifts]:  $(r \cdot q)^{\textcircled{k}} \cdot u \leq_p r \cdot q \cdot (r \cdot q)^{\textcircled{k}} \cdot v \iff u \leq_p r \cdot q \cdot v$   
 $\langle proof \rangle$   
**lemma**[shifts]:  $(r \cdot q)^{\textcircled{k}} \cdot u = r \cdot q \cdot (r \cdot q)^{\textcircled{k}} \cdot v \iff u = r \cdot q \cdot v$   
 $\langle proof \rangle$   
**lemma**[shifts]:  $r \cdot q \cdot (r \cdot q)^{\textcircled{k}} \cdot v = (r \cdot q)^{\textcircled{k}} \cdot u \iff r \cdot q \cdot v = u$   
 $\langle proof \rangle$   
**lemma** shifts-spec [shifts]:  $(u^{\textcircled{k}} \cdot v)^{\textcircled{l}} \cdot u \cdot u^{\textcircled{k}} \cdot z = u^{\textcircled{k}} \cdot (v \cdot u^{\textcircled{k}})^{\textcircled{l}} \cdot u \cdot z$   
 $\langle proof \rangle$   
**lemmas** [shifts] = shifts-spec[of  $r \cdot q$ , unfolded rassoc] **for**  $r \ q$   
**lemmas** [shifts] = shifts-spec[of  $r \cdot q \ - \ - \ \varepsilon$ , unfolded rassoc emp-simps] **for**  $r \ q$   
**lemmas** [shifts] = shifts-spec[of  $r \cdot q \ - \ r \cdot q$ , unfolded rassoc] **for**  $r \ q$   
**lemmas** [shifts] = shifts-spec[of  $r \cdot q \ - \ r \cdot q \ - \ \varepsilon$ , unfolded rassoc emp-simps] **for**  $r \ q$   
**lemma**[shifts]:  $(u \cdot (v \cdot u)^{\textcircled{k}})^{\textcircled{j}} \cdot (u \cdot v)^{\textcircled{k}} = (u \cdot v)^{\textcircled{k}} \cdot (u \cdot (u \cdot v)^{\textcircled{k}})^{\textcircled{j}}$   
 $\langle proof \rangle$   
**lemma**[shifts]:  $(u \cdot (v \cdot u)^{\textcircled{k}} \cdot z)^{\textcircled{j}} \cdot (u \cdot v)^{\textcircled{k}} = (u \cdot v)^{\textcircled{k}} \cdot (u \cdot z \cdot (u \cdot v)^{\textcircled{k}})^{\textcircled{j}}$   
 $\langle proof \rangle$   
**lemmas**[shifts] = pow-comm cancel rassoc pow-Suc pref-cancel-conv suf-cancel-conv  
add-exps cancel-right numeral-nat pow-zero emp-simps  
**lemmas**[shifts] = less-eq-Suc-le  
**lemmas**[shifts] = neq0-conv  
**lemma** shifts-hd-hd [shifts]:  $a \# b \# v = [a] \cdot b \# v$   
 $\langle proof \rangle$   
**lemmas** [shifts] = shifts-hd-hd[of  $- \ - \ \varepsilon$ ]  
**lemma**[shifts]:  $n \leq k \implies x^{\textcircled{k}} = x^{\textcircled{n}} \cdot x^{\textcircled{k-n}}$   
 $\langle proof \rangle$   
**lemma**[shifts]:  $n < k \implies x^{\textcircled{k}} = x^{\textcircled{n}} \cdot x^{\textcircled{k-n}}$   
 $\langle proof \rangle$   
**lemmas**[shifts] = cancel cancel-right pref-cancel-conv suf-cancel-conv triv-pref  
**lemmas**[shifts] = pow-diff  
  
**lemmas** shifts-rev = shifts[reversed]  
  
**lemmas** shift-simps = shifts shifts[reversed]  
  
**method** comparison = ((simp only: shifts; fail) | (simp only: shifts-rev; fail))

## 2.13 Rotation

**lemma** rotate-root-self: rotate |r|  $(r^{\textcircled{k}}) = r^{\textcircled{k}}$   
 $\langle proof \rangle$

**lemma rotate-pow-self:**  $rotate (l * |u|) (u^{\textcircled{a}} k) = u^{\textcircled{a}} k$   
 ⟨proof⟩

**lemma rotate-pow-mod:**  $rotate n (u^{\textcircled{a}} k) = rotate (n \bmod |u|) (u^{\textcircled{a}} k)$   
 ⟨proof⟩

**lemma rotate-conj-pow:**  $rotate |u| ((u \cdot v)^{\textcircled{a}} k) = (v \cdot u)^{\textcircled{a}} k$   
 ⟨proof⟩

**lemma rotate-pow-comm:**  $rotate n (u^{\textcircled{a}} k) = (rotate n u)^{\textcircled{a}} k$   
 ⟨proof⟩

**lemmas rotate-pow-comm-two = rotate-pow-comm[*of - - 2, unfolded pow-two*]**

**lemma rotate-back:**  $rotate (|u| - n \bmod |u|) (rotate n u) = u$   
 ⟨proof⟩

**lemma rotate-backE:** **obtains**  $m$  **where**  $rotate m (rotate n u) = u$   
 ⟨proof⟩

**lemma rotate-back':** **assumes**  $rotate m w = rotate n w$   
**shows**  $rotate (m - n) w = w$   
 ⟨proof⟩

**lemma rotate-class-rotate':**  $(\exists n. rotate n w = u) \longleftrightarrow (\exists n. rotate n (rotate l w) = u)$   
 ⟨proof⟩

**lemma rotate-class-rotate:**  $\{u . \exists n. rotate n w = u\} = \{u . \exists n. rotate n (rotate l w) = u\}$   
 ⟨proof⟩

**lemma rotate-comp-eq:**  $w \bowtie rotate n w \implies rotate n w = w$   
 ⟨proof⟩

**corollary mismatch-iff-lexord:** **assumes**  $rotate n w \neq w$  **and**  $irrefl r$   
**shows**  $mismatch\ pair w (rotate n w) \in r \longleftrightarrow (w, rotate n w) \in lexord r$   
 ⟨proof⟩

## 2.14 Lists of words and their concatenation

The helpful lemmas of this section deal with concatenation of a list of words *concat*. The main objective is to cover elementary facts needed to study factorizations of words.

**lemma concat-take-is-prefix:**  $concat(take n ws) \leq_p concat ws$   
 ⟨proof⟩



**lemma** *concat-take-Suc*: **assumes**  $j < |ws|$  **shows**  $\text{concat}(\text{take } j \text{ } ws) \cdot \text{ws}!j = \text{concat}(\text{take } (\text{Suc } j) \text{ } ws)$

*<proof>*

**lemma** *pref-mod-list*: **assumes**  $u <_p \text{concat } ws$

**obtains**  $j \ r$  **where**  $j < |ws|$  **and**  $r <_p \text{ws}!j$  **and**  $\text{concat } (\text{take } j \text{ } ws) \cdot r = u$

*<proof>*

**thm** *prefI*

**lemma** *pref-mod-pow*: **assumes**  $u \leq_p w^{\textcircled{a}}l$  **and**  $w \neq \varepsilon$

**obtains**  $k \ z$  **where**  $k \leq l$  **and**  $z <_p w$  **and**  $w^{\textcircled{a}}k \cdot z = u$

*<proof>*

**lemma** *pref-mod-pow'*: **assumes**  $u <_p w^{\textcircled{a}}l$

**obtains**  $k \ z$  **where**  $k < l$  **and**  $z <_p w$  **and**  $w^{\textcircled{a}}k \cdot z = u$

*<proof>*

**lemma** *split-pow*: **assumes**  $u \cdot v = w^{\textcircled{a}}k$   $0 < k$   $v \neq \varepsilon$

**obtains**  $p \ s \ i \ j$  **where**  $w = p \cdot s$   $s \neq \varepsilon$   $u = (p \cdot s)^{\textcircled{a}}i$   $p \cdot v = (s \cdot p)^{\textcircled{a}}j$   $s \cdot k = i + j + 1$

*<proof>*

**lemma** *del-emp-concat*:  $\text{concat } us = \text{concat } (\text{filter } (\lambda x. x \neq \varepsilon) \text{ } us)$

*<proof>*

**lemma** *lists-minus*:  $us \in \text{lists } (C - A) \implies us \in \text{lists } C$

*<proof>*

**lemma** *lists-minus'*:  $us \in \text{lists } C \implies (\text{filter } (\lambda x. x \neq \varepsilon) \text{ } us) \in \text{lists } (C - \{\varepsilon\})$

*<proof>*

**lemma** *pref-concat-pref*:  $us \leq_p ws \implies \text{concat } us \leq_p \text{concat } ws$

*<proof>*

**lemmas** *suf-concat-suf* = *pref-concat-pref*[*reversed*]

**lemma** *concat-mono-fac*:  $us \leq_f ws \implies \text{concat } us \leq_f \text{concat } ws$

*<proof>*

**lemma ruler-concat-less:** **assumes**  $us \leq_p ws$  **and**  $vs \leq_p ws$  **and**  $|concat\ us| < |concat\ vs|$   
**shows**  $us <_p vs$   
 $\langle proof \rangle$

**lemma concat-take-mono-strict:** **assumes**  $concat\ (take\ i\ ws) <_p concat\ (take\ j\ ws)$   
**shows**  $take\ i\ ws <_p take\ j\ ws$   
 $\langle proof \rangle$

**lemma take-pp-less:** **assumes**  $take\ k\ ws <_p take\ n\ ws$  **shows**  $k < n$   
 $\langle proof \rangle$

**lemma concat-pp-less:** **assumes**  $concat\ (take\ k\ ws) <_p concat\ (take\ n\ ws)$  **shows**  $k < n$   
 $\langle proof \rangle$

**lemma take-le-take:**  $j \leq k \implies take\ j\ (take\ k\ xs) = take\ j\ xs$   
 $\langle proof \rangle$

**lemma concat-interval:** **assumes**  $concat\ (take\ k\ vs) = concat\ (take\ j\ vs) \cdot s$  **shows**  $concat\ (drop\ j\ (take\ k\ vs)) = s$   
 $\langle proof \rangle$

**lemma bin-lists-count-zero':** **assumes**  $ws \in lists\ \{x,y\}$  **and**  $count-list\ ws\ y = 0$   
**shows**  $ws \in lists\ \{x\}$   
 $\langle proof \rangle$

**lemma bin-lists-count-zero:** **assumes**  $ws \in lists\ \{x,y\}$  **and**  $count-list\ ws\ x = 0$   
**shows**  $ws \in lists\ \{y\}$   
 $\langle proof \rangle$

**lemma count-in:**  $count-list\ ws\ a \neq 0 \implies a \in set\ ws$   
 $\langle proof \rangle$

**lemma count-in-conv:**  $count-list\ w\ a \neq 0 \longleftrightarrow a \in set\ w$   
 $\langle proof \rangle$

**lemma two-in-set-concat-len:** **assumes**  $u \neq v$  **and**  $\{u,v\} \subseteq set\ ws$   
**shows**  $|u| + |v| \leq |concat\ ws|$   
 $\langle proof \rangle$

## 2.15 Root

**definition**  $root :: 'a\ list \Rightarrow 'a\ list \Rightarrow bool\ (\langle - \in - \rangle [51,51]\ 60)$   
**where**  $u \in r* = (\exists\ k.\ r^@k = u)$   
**notation** (*latex output*)  $root\ (\langle - \in - \rangle)$

**abbreviation**  $not-root :: ['a\ list,\ 'a\ list] \Rightarrow bool\ (\langle - \notin - \rangle [51,51]\ 60)$   
**where**  $u \notin r* \equiv \neg (u \in r*)$

Empty word has all roots, including the empty root.

**lemma** *emp-all-roots* [*simp*]:  $\varepsilon \in r^*$   
*<proof>*

**lemma** *emp-all-roots'* [*elim*]:  $u = \varepsilon \implies u \in r^*$   
*<proof>*

**lemma** *rootI*:  $r^{\textcircled{a}}k \in r^*$   
*<proof>*

**lemma** *self-root*:  $u \in u^*$   
*<proof>*

**lemma** *rootE* [*elim*]: **assumes**  $u \in r^*$  **obtains**  $k$  **where**  $r^{\textcircled{a}}k = u$   
*<proof>*

**lemma** *root-exp*:  $x \in r^* \longleftrightarrow x = r^{\textcircled{a}}(|x| \text{ div } |r|)$   
*<proof>*

**lemma** *root-nemp-expE*: **assumes**  $w \in r^*$  **and**  $w \neq \varepsilon$   
**obtains**  $k$  **where**  $r^{\textcircled{a}}k = w$   $0 < k$   
*<proof>*

**lemma** *root-rev-iff* [*reversal-rule*]:  $\text{rev } u \in \text{rev } t^* \longleftrightarrow u \in t^*$   
*<proof>*

**lemma** *per-root-pref*:  $w \neq \varepsilon \implies w \in r^* \implies r \leq_p w$   
*<proof>*

**lemmas** *per-root-suf* = *per-root-pref* [*reversed*]

**lemma** *per-exp-eq*:  $u \leq_p r \cdot u \implies |u| = k \cdot |r| \implies u \in r^*$   
*<proof>*

**lemma** *take-root*: **assumes**  $0 < k$  **shows**  $r = \text{take } |r| (r^{\textcircled{a}}k)$   
*<proof>*

**lemma** *root-nemp*:  $u \neq \varepsilon \implies u \in r^* \implies r \neq \varepsilon$   
*<proof>*

**lemma** *root-shorter*: **assumes**  $u \neq \varepsilon$   $u \in r^*$   $u \neq r$  **shows**  $|r| < |u|$   
*<proof>*

**lemma** *root-shorter-eq*:  $u \neq \varepsilon \implies u \in r^* \implies |r| \leq |u|$   
*<proof>*

**lemma** *root-trans* [*trans*]:  $\llbracket v \in u^*; u \in t^* \rrbracket \implies v \in t^*$   
*<proof>*

**lemma** *root-pow-root[intro]*:  $v \in u^* \implies v^{\textcircled{n}} \in u^*$   
 ⟨proof⟩

**lemma** *root-len*:  $u \in q^* \implies \exists k. |u| = k \cdot |q|$   
 ⟨proof⟩

**lemma** *root-len-dvd*:  $u \in t^* \implies |t| \text{ dvd } |u|$   
 ⟨proof⟩

**lemma** *common-root-len-gcd*:  $u \in t^* \implies v \in t^* \implies |t| \text{ dvd } (\text{gcd } |u| \ |v|)$   
 ⟨proof⟩

**lemma** *add-root[simp]*:  $z \cdot w \in z^* \iff w \in z^*$   
 ⟨proof⟩

**lemma** *add-roots[intro]*:  $w \in z^* \implies w' \in z^* \implies w \cdot w' \in z^*$   
 ⟨proof⟩

**lemma** *concat-sing-list-pow*:  $ws \in \text{lists } \{u\} \implies |ws| = k \implies \text{concat } ws = u^{\textcircled{k}}$   
 ⟨proof⟩

**lemma** *concat-sing-list-pow'*:  $ws \in \text{lists } \{u\} \implies \text{concat } ws = u^{\textcircled{|ws|}}$   
 ⟨proof⟩

**lemma** *root-pref-cancel[elim]*: **assumes**  $x \cdot y \in t^*$  **and**  $x \in t^*$  **shows**  $y \in t^*$   
 ⟨proof⟩

**lemma** *root-suf-cancel [elim]*:  $u \cdot v \in r^* \implies v \in r^* \implies u \in r^*$   
 ⟨proof⟩

## 2.16 Commutation

The solution of the easiest nontrivial word equation,  $x \cdot y = y \cdot x$ , is in fact already contained in List.thy as the fact  $xs \cdot ys = ys \cdot xs \implies \exists m \ n \ zs. \text{concat } (\text{replicate } m \ zs) = xs \wedge \text{concat } (\text{replicate } n \ zs) = ys$ .

**theorem** *comm*:  $x \cdot y = y \cdot x \iff (\exists t \ k \ m. x = t^{\textcircled{k}} \wedge y = t^{\textcircled{m}})$   
 ⟨proof⟩

**corollary** *comm-root*:  $x \cdot y = y \cdot x \iff (\exists t. x \in t^* \wedge y \in t^*)$   
 ⟨proof⟩

**lemma** *comm-rootI*:  $x \in t^* \implies y \in t^* \implies x \cdot y = y \cdot x$   
 ⟨proof⟩

**lemma** *commE[elim]*: **assumes**  $x \cdot y = y \cdot x$   
**obtains**  $t \ m \ k$  **where**  $x = t^{\textcircled{k}}$  **and**  $y = t^{\textcircled{m}}$  **and**  $t \neq \varepsilon$   
 ⟨proof⟩

**lemma** *comm-nemp-eqE*: **assumes**  $u \cdot v = v \cdot u$   $u \neq \varepsilon$   $v \neq \varepsilon$   
**obtains**  $k\ m$  **where**  $u^{\textcircled{a}}k = v^{\textcircled{a}}m$   $0 < k$   $0 < m$   
 $\langle$ *proof* $\rangle$

**lemma** *comm-prod[intro]*: **assumes**  $r \cdot u = u \cdot r$  **and**  $r \cdot v = v \cdot r$   
**shows**  $r \cdot (u \cdot v) = (u \cdot v) \cdot r$   
 $\langle$ *proof* $\rangle$

**lemma** *LS-comm*:  
**assumes**  $y^{\textcircled{a}}k \cdot x = z^{\textcircled{a}}l$   
**and**  $z \cdot y = y \cdot z$   
**shows**  $x \cdot y = y \cdot x$   
 $\langle$ *proof* $\rangle$

## 2.17 Periods

Periodicity is probably the most studied property of words. It captures the fact that a word overlaps with itself. Another possible point of view is that the periodic word is a prefix of an (infinite) power of some nonempty word, which can be called its period word. Both these points of view are expressed by the following definition.

### 2.17.1 Periodic root

**lemma**  $u <_p r \cdot u \iff u \leq_p r \cdot u \wedge r \neq \varepsilon$   
 $\langle$ *proof* $\rangle$

**lemma** *per-rootI[intro]*:  $u \leq_p r \cdot u \implies r \neq \varepsilon \implies u <_p r \cdot u$   
 $\langle$ *proof* $\rangle$

**lemma** *per-rootI'[intro]*: **assumes**  $u \leq_p r^{\textcircled{a}}k$  **and**  $r \neq \varepsilon$  **shows**  $u <_p r \cdot u$   
 $\langle$ *proof* $\rangle$

**lemma** *per-root-nemp[dest]*:  $u <_p r \cdot u \implies r \neq \varepsilon$   
 $\langle$ *proof* $\rangle$

Empty word is not a periodic root but it has all nonempty periodic roots.

Any nonempty word is its own periodic root.

**lemmas** *root-self = triv-spref*

”Short roots are prefixes”

**lemma**  $w <_p r \cdot u \implies |r| \leq |w| \implies r \leq_p w$   
 $\langle$ *proof* $\rangle$

Periodic words are prefixes of the power of the root, which motivates the notation

**lemma** *pref-pow-ext-take*: **assumes**  $u \leq_p r^{\textcircled{a}}k$  **shows**  $u \leq_p \text{take } |r| \ u \cdot r^{\textcircled{a}}k$   
 ⟨proof⟩

**lemma** *pref-pow-take*: **assumes**  $u \leq_p r^{\textcircled{a}}k$  **shows**  $u \leq_p \text{take } |r| \ u \cdot u$   
 ⟨proof⟩

**lemma** *per-root-powE*: **assumes**  $u <_p r \cdot u$   
**obtains**  $k$  **where**  $u <_p r^{\textcircled{a}}k$  **and**  $0 < k$   
 ⟨proof⟩

**thm** *per-rootI per-rootI'*

**lemma** *per-root-powE'*: **assumes**  $x <_p r \cdot x$   
**obtains**  $k$  **where**  $x <_p r^{\textcircled{a}}k$  **and**  $0 < k$   
 ⟨proof⟩

**lemma** *per-root-modE' [elim]*: **assumes**  $u <_p r \cdot u$   
**obtains**  $p$  **where**  $p <_p r$  **and**  $r^{\textcircled{a}}(|u| \ \text{div } |r|) \cdot p = u$   
 ⟨proof⟩

**lemma** *per-root-modE [elim]*: **assumes**  $u <_p r \cdot u$   
**obtains**  $n \ p \ s$  **where**  $p \cdot s = r$  **and**  $r^{\textcircled{a}}n \cdot p = u$  **and**  $s \neq \varepsilon$   
 ⟨proof⟩

**lemma** *nemp-per-root-conv*:  $r \neq \varepsilon \implies u <_p r \cdot u \longleftrightarrow u \leq_p r \cdot u$   
 ⟨proof⟩

**lemma** *root-ruler*: **assumes**  $w <_p u \cdot w \ v <_p u \cdot v$   
**shows**  $w \boxtimes v$   
 ⟨proof⟩

**lemmas** *same-len-nemp-root-eq = root-ruler*[THEN *pref-comp-eq*]

**lemma** *per-root-add-exp*: **assumes**  $u <_p r \cdot u \ 0 < m$  **shows**  $u <_p r^{\textcircled{a}}m \cdot u$   
 ⟨proof⟩

**theorem** *per-root-pow-conv*:  $x <_p r \cdot x \longleftrightarrow (\exists k. x \leq_p r^{\textcircled{a}}k) \wedge r \neq \varepsilon$   
 ⟨proof⟩

**lemma** *per-root-exp'*: **assumes**  $x \leq_p r^{\textcircled{a}}k$  **shows**  $x \leq_p r^{\textcircled{a}}|x|$

*<proof>*

**lemma** *per-root-exp*: **assumes**  $x <_p r \cdot x$  **shows**  $x \leq_p r^{\textcircled{m}} |x|$   
*<proof>*

**lemma** *per-root-drop-exp*:  $u <_p (r^{\textcircled{m}}) \cdot u \implies u <_p r \cdot u$   
*<proof>*

**lemma** *per-root-exp-conv*:  $u <_p (r^{\textcircled{\text{Suc } m})} \cdot u \longleftrightarrow u <_p r \cdot u$   
*<proof>*

**lemma** *pref-drop-exp*: **assumes**  $x \leq_p z \cdot x^{\textcircled{m}}$  **shows**  $x \leq_p z \cdot x$   
*<proof>*

**lemma** *per-root-drop-exp'*:  $x \leq_p r^{\textcircled{\text{Suc } k}} \cdot x^{\textcircled{m}} \implies x \leq_p r \cdot x$   
*<proof>*

**lemma** *per-drop-exp'*:  $0 < k \implies x \leq_p r^{\textcircled{k}} \cdot x \implies x \leq_p r \cdot x$   
*<proof>*

**lemmas** *per-drop-exp-rev = per-drop-exp'[reversed]*

**corollary** *comm-drop-exp*: **assumes**  $0 < m$  **and**  $u \cdot r^{\textcircled{m}} = r^{\textcircled{m}'} \cdot u$  **shows**  $r \cdot u = u \cdot r$   
*<proof>*

**lemma** *comm-drop-exp'*: **assumes**  $u^{\textcircled{k}} \cdot v = v \cdot u^{\textcircled{k}'}$   $0 < k'$  **shows**  $u \cdot v = v \cdot u$   
*<proof>*

**lemma** *comm-drop-exps[elim]*: **assumes**  $u^{\textcircled{m}} \cdot v^{\textcircled{k}} = v^{\textcircled{k}} \cdot u^{\textcircled{m}}$  **and**  $0 < m$  **and**  $0 < k$  **shows**  $u \cdot v = v \cdot u$   
*<proof>*

**lemma** *comm-pow-roots*:  
**assumes**  $0 < m$  **and**  $0 < k$   
**shows**  $u^{\textcircled{m}} \cdot v^{\textcircled{k}} = v^{\textcircled{k}} \cdot u^{\textcircled{m}} \longleftrightarrow u \cdot v = v \cdot u$   
*<proof>*

**corollary** *pow-comm-comm*: **assumes**  $x^{\textcircled{j}} = y^{\textcircled{k}}$  **and**  $0 < j$  **shows**  $x \cdot y = y \cdot x$   
*<proof>*

**lemma** *pow-comm-comm'*: **assumes** *comm*:  $u^{\textcircled{\text{Suc } k}} = v^{\textcircled{\text{Suc } l}}$  **shows**  $u \cdot v = v \cdot u$   
*<proof>*

**lemma** *comm-trans*: **assumes** *uv*:  $u \cdot v = v \cdot u$  **and** *vw*:  $w \cdot v = v \cdot w$  **and** *nemp*:  $v \neq \varepsilon$  **shows**  $u \cdot w = w \cdot u$   
*<proof>*

**lemma** *root-comm-root*: **assumes**  $x \leq_p u \cdot x$  **and**  $v \cdot u = u \cdot v$  **and**  $u \neq \varepsilon$   
**shows**  $x \leq_p v \cdot x$   
*<proof>*

**lemma** *drop-per-pref*: **assumes**  $w <_p u \cdot w$  **shows**  $\text{drop } |u| \ w \leq_p w$   
*<proof>*

**lemma** *per-root-trans[intro]*: **assumes**  $w <_p u \cdot w$  **and**  $u \in t^*$  **shows**  $w <_p t \cdot w$   
*<proof>*

**lemma** *per-root-trans'[intro]*:  $w \leq_p u \cdot w \implies u \in r^* \implies u \neq \varepsilon \implies w \leq_p r \cdot w$   
*<proof>*

**lemmas** *per-root-trans-suf'[intro] = per-root-trans'[reversed]*

Note that  $\llbracket <_p w (u \cdot w); <_p u (t \cdot u) \rrbracket \implies <_p w (t \cdot w)$  does not hold.

**lemma** *per-root-same-prefix*:  $w <_p r \cdot w \implies w' \leq_p r \cdot w' \implies w \bowtie w'$   
*<proof>*

**lemma** *take-after-drop*:  $|u| + q \leq |w| \implies w <_p u \cdot w \implies \text{take } q (\text{drop } |u| \ w) = \text{take } q \ w$   
*<proof>*

The following lemmas are a weak version of the Periodicity lemma

**lemma** *two-pers*:  
**assumes** *pu*:  $w \leq_p u \cdot w$  **and** *pv*:  $w \leq_p v \cdot w$  **and** *len*:  $|u| + |v| \leq |w|$   
**shows**  $u \cdot v = v \cdot u$   
*<proof>*

**lemma** *two-pers-root*: **assumes**  $w <_p u \cdot w$  **and**  $w <_p v \cdot w$  **and**  $|u| + |v| \leq |w|$   
**shows**  $u \cdot v = v \cdot u$   
*<proof>*

## 2.17.2 Maximal root-prefix

**lemma** *max-root-mismatch*: **assumes**  $u \cdot [a] <_p r \cdot u \cdot [a]$  **and**  $u \cdot [b] \leq_p w$  **and**  $a \neq b$   
**shows**  $w \wedge_p r \cdot w = u$   
*<proof>*

**lemma** *max-pref-per-root*:  $u \wedge_p r \cdot u \leq_p r \cdot (u \wedge_p r \cdot u)$   
*<proof>*

**lemma** *max-pref-pref*:  
**assumes**  $r \neq \varepsilon$   
**shows**  $u \wedge_p r \cdot u \leq_p r^\circledast |u \wedge_p r \cdot u|$



*<proof>*

**lemma** *max-pref-lcp-root-pow*: **assumes**  $r \neq \varepsilon$  **and**  $|u \wedge_p r \cdot u| \leq k$   
**shows**  $u \wedge_p r \cdot u = u \wedge_p r^{\textcircled{k}}$  (**is**  $?max = u \wedge_p r^{\textcircled{k}}$ )  
*<proof>*

**lemma** *max-pref-shorter-lcp*: **assumes**  $u \wedge_p r \cdot u <_p v \wedge_p r \cdot v$   
**shows**  $u \wedge_p v = u \wedge_p r \cdot u$   
*<proof>*

**find-theorems**  $?u \wedge_p ?r \cdot ?u$

### 2.17.3 Period - numeric

Definition of a period as the length of the periodic root is often offered as the basic one. From our point of view, it is secondary, and less convenient for reasoning.

**definition** *period* :: 'a list  $\Rightarrow$  nat  $\Rightarrow$  bool  
**where** [*simp*]:  $period\ w\ n \equiv w <_p (take\ n\ w) \cdot w$

**lemma** *period-I'*:  $w \neq \varepsilon \Longrightarrow 0 < n \Longrightarrow w \leq_p (take\ n\ w) \cdot w \Longrightarrow period\ w\ n$   
*<proof>*

**lemma** *periodI[intro]*:  $w \neq \varepsilon \Longrightarrow w <_p r \cdot w \Longrightarrow period\ w\ |r|$   
*<proof>*

The numeric definition respects the following convention about empty words and empty periods.

**lemma** *emp-no-period*:  $\neg period\ \varepsilon\ n$   
*<proof>*

**lemma**  $\neg period\ w\ 0$   
*<proof>*

**lemma** *per-nemp*:  $period\ w\ n \Longrightarrow w \neq \varepsilon$   
*<proof>*

**lemma** *per-not-zero*:  $period\ w\ n \Longrightarrow 0 < n$   
*<proof>*

**lemma** *per-pref*:  $period\ w\ n \Longrightarrow w \leq_p take\ n\ w \cdot w$   
*<proof>*

A nonempty word has all "long" periods

**lemma** *all-long-pers*:  $\llbracket w \neq \varepsilon; |w| \leq n \rrbracket \implies \text{period } w \ n$   
 ⟨proof⟩

**lemma** *len-is-per*:  $w \neq \varepsilon \implies \text{period } w \ |w|$   
 ⟨proof⟩

The standard numeric definition of a period uses indeces.

**lemma** *period-indeces*: **assumes** *period*  $w \ n$  **and**  $i + n < |w|$  **shows**  $w!i = w!(i+n)$   
 ⟨proof⟩

**lemma** *indeces-period*:  
**assumes**  $w \neq \varepsilon$  **and**  $0 < n$  **and** *forall*:  $\bigwedge i. i + n < |w| \implies w!i = w!(i+n)$   
**shows** *period*  $w \ n$   
 ⟨proof⟩

In some cases, the numeric definition is more useful than the definition using the period root.

**lemma** *period-rev*: **assumes** *period*  $w \ p$  **shows** *period*  $(\text{rev } w) \ p$   
 ⟨proof⟩

**lemma** *period-rev-conv* [*reversal-rule*]: *period*  $(\text{rev } w) \ n \longleftrightarrow \text{period } w \ n$   
 ⟨proof⟩

**lemma** *period-fac*: **assumes** *period*  $(u \cdot w \cdot v) \ p$  **and**  $w \neq \varepsilon$   
**shows** *period*  $w \ p$   
 ⟨proof⟩

**lemma** *period-fac'*: *period*  $v \ p \implies u \leq_f v \implies u \neq \varepsilon \implies \text{period } u \ p$   
 ⟨proof⟩

**lemma** *pow-per*[*intro*]: **assumes**  $y \neq \varepsilon$  **and**  $0 < k$  **shows** *period*  $(y^{\textcircled{k}}) \ |y|$   
 ⟨proof⟩

**lemma** *per-fac*: **assumes**  $w \neq \varepsilon$  **and**  $w \leq_f y^{\textcircled{k}}$  **shows** *period*  $w \ |y|$   
 ⟨proof⟩

The numeric definition is equivalent to being prefix of a power.

**theorem** *period-pref*: *period*  $w \ n \longleftrightarrow (\exists k \ r. w \leq_{np} r^{\textcircled{k}} \wedge |r| = n)$  (**is** -  $\longleftrightarrow$  ?*R*)  
 ⟨proof⟩

Two more characterizations of a period

**theorem** *per-shift*: **assumes**  $w \neq \varepsilon$   $0 < n$   
**shows** *period*  $w \ n \longleftrightarrow \text{drop } n \ w \leq_p w$   
 ⟨proof⟩

**lemma** *rotate-per-root*: **assumes**  $w \neq \varepsilon$  **and**  $0 < n$  **and**  $w = \text{rotate } n \ w$   
**shows** *period*  $w \ n$   
 ⟨proof⟩

## Various lemmas on periods

**lemma** *period-drop*: **assumes** *period w p* **and**  $p < |w|$   
**shows** *period (drop p w) p*  
*<proof>*

**lemma** *ext-per-left*: **assumes** *period w p* **and**  $p \leq |w|$   
**shows** *period (take p w · w) p*  
*<proof>*

**lemma** *ext-per-left-power*: *period w p*  $\implies p \leq |w| \implies$  *period ((take p w)<sup>@k</sup> · w) p*  
*<proof>*

**lemma** *take-several-pers*: **assumes** *period w n* **and**  $m * n \leq |w|$   
**shows**  $(take\ n\ w)^{@m} = take\ (m * n)\ w$   
*<proof>*

**lemma** *per-div*: **assumes**  $n\ dvd\ |w|$  **and** *period w n*  
**shows**  $(take\ n\ w)^{@(|w|\ div\ n)} = w$   
*<proof>*

**lemma** *per-mult*: **assumes** *period w n* **and**  $0 < m$  **shows** *period w (m \* n)*  
*<proof>*

**theorem** *two-periods*:  
**assumes** *period w p* *period w q*  $p + q \leq |w|$   
**shows** *period w (gcd p q)*  
*<proof>*

**lemma** *index-mod-per-root*: **assumes**  $r \neq \varepsilon$  **and**  $i: \forall i < |w|. w!i = r!(i\ mod\ |r|)$   
**shows**  $w <_p\ r \cdot w$   
*<proof>*

**lemma** *index-pref-pow-mod*:  $w \leq_p\ r^@k \implies i < |w| \implies w!i = r!(i\ mod\ |r|)$   
*<proof>*

**lemma** *index-per-root-mod*:  $w <_p\ r \cdot w \implies i < |w| \implies w!i = r!(i\ mod\ |r|)$   
*<proof>*

**lemma** *root-divisor*: **assumes** *period w k* **and**  $k\ dvd\ |w|$  **shows**  $w \in (take\ k\ w)^*$   
*<proof>*

**lemma** *per-pref'*: **assumes**  $u \neq \varepsilon$  **and** *period v k* **and**  $u \leq_p\ v$  **shows** *period u k*  
*<proof>*

### 2.17.4 Period: overview

notepad  
begin

*<proof>*  
**end**

### 2.17.5 Singleton and its power

**primrec** *letter-pref-exp* :: 'a list  $\Rightarrow$  'a  $\Rightarrow$  nat **where**  
  *letter-pref-exp*  $\varepsilon$  a = 0 |  
  *letter-pref-exp* (b # xs) a = (if b  $\neq$  a then 0 else Suc (*letter-pref-exp* xs a))

**definition** *letter-suf-exp* :: 'a list  $\Rightarrow$  'a  $\Rightarrow$  nat **where**  
  *letter-suf-exp* w a = *letter-pref-exp* (rev w) a

**lemma** *concat-len-one*: **assumes** |us| = 1 **shows** concat us = hd us  
*<proof>*

**lemma** *sing-pow-hd-tl*:  $c \# w \in [a]^*$   $\longleftrightarrow$   $c = a \wedge w \in [a]^*$   
*<proof>*

**lemma** *pref-sing-pow*: **assumes**  $w \leq_p [a]^m$  **shows**  $w = [a]^{|w|}$   
*<proof>*

**lemma** *sing-pow-palindrom*: **assumes**  $w = [a]^k$  **shows** rev w = w  
*<proof>*

**lemma** *suf-sing-power*: **assumes**  $w \leq_s [a]^m$  **shows**  $w \in [a]^*$   
*<proof>*

**lemma** *sing-fac-pow*: **assumes**  $w \in [a]^*$  **and**  $v \leq_f w$  **shows**  $v \in [a]^*$   
*<proof>*

**lemma** *sing-pow-fac'*: **assumes**  $a \neq b$  **and**  $w \in [a]^*$  **shows**  $\neg ([b] \leq_f w)$   
*<proof>*

**lemma** *all-set-sing-pow*:  $(\forall b. b \in \text{set } w \longrightarrow b = a) \longleftrightarrow w \in [a]^*$  (**is** ?All  $\longleftrightarrow$  -)  
*<proof>*

**lemma** *sing-fac-set*:  $[a] \leq_f x \Longrightarrow a \in \text{set } x$   
*<proof>*

**lemma** *set-sing-pow-hd* [simp]: **assumes**  $0 < k$  **shows**  $a \in \text{set } ([a]^k)$   
*<proof>*

**lemma** *neg-set-not-root*:  $a \neq b \Longrightarrow b \in \text{set } x \Longrightarrow x \notin [a]^*$   
*<proof>*

**lemma** *sing-pow-set-Suc* [simp]:  $\text{set } ([a]^{\text{Suc } k}) = \{a\}$   
*<proof>*

**lemma** *sing-pow-set* [simp]: **assumes**  $0 < k$  **shows**  $\text{set } ([a]^k) = \{a\}$

*<proof>*

**lemma** *sing-pow-set-sub*:  $set ([a]^{\otimes} k) \subseteq \{a\}$   
*<proof>*

**lemma** *unique-letter-fac-expE*: **assumes**  $w \leq f [a]^{\otimes} k$   
**obtains**  $m$  **where**  $w = [a]^{\otimes} m$   
*<proof>*

**lemma** *neg-in-set-not-pow*:  $a \neq b \implies b \in set\ x \implies x \neq [a]^{\otimes} k$   
*<proof>*

**lemma** *sing-pow-card-set-Suc*: **assumes**  $c = [a]^{\otimes} Suc\ k$  **shows**  $card(set\ c) = 1$   
*<proof>*

**lemma** *sing-pow-card-set*: **assumes**  $k \neq 0$  **and**  $c = [a]^{\otimes} k$  **shows**  $card(set\ c) = 1$   
*<proof>*

**lemma** *sing-pow-set'*:  $u \in [a]^* \implies u \neq \varepsilon \implies set\ u = \{a\}$   
*<proof>*

**lemma** *root-sing-set-iff*:  $u \in [a]^* \longleftrightarrow set\ u \subseteq \{a\}$   
*<proof>*

**lemma** *letter-pref-exp-hd*:  $u \neq \varepsilon \implies hd\ u = a \implies letter-pref-exp\ u\ a \neq 0$   
*<proof>*

**lemma** *letter-pref-exp-pref*:  $[a]^{\otimes} (letter-pref-exp\ w\ a) \leq_p w$   
*<proof>*

**lemma** *letter-pref-exp-Suc*:  $\neg [a]^{\otimes} (Suc\ (letter-pref-exp\ w\ a)) \leq_p w$   
*<proof>*

**lemma** *takeWhile-letter-pref-exp*:  $takeWhile\ (\lambda x. x = a)\ w = [a]^{\otimes} (letter-pref-exp\ w\ a)$   
*<proof>*

**lemma** *concat-takeWhile-sing*:  $concat\ (takeWhile\ (\lambda x. x = u)\ ws) = u^{\otimes} |takeWhile\ (\lambda x. x = u)\ ws|$   
*<proof>*

**lemma** *dropWhile-distinct*: **assumes**  $w \neq [a]^{\otimes} (letter-pref-exp\ w\ a)$   
**shows**  $[a]^{\otimes} (letter-pref-exp\ w\ a) \cdot [hd\ (dropWhile\ (\lambda x. x = a)\ w)] \leq_p w$   
*<proof>*

**lemma** *letter-pref-exp-mismatch*:  $u = [a]^{\otimes} letter-pref-exp\ u\ a \cdot v \implies v \neq \varepsilon \implies hd\ v \neq a$

*<proof>*

**lemma** *takeWhile-sing-root*:  $\text{takeWhile } (\lambda x. x = a) w \in [a]^*$   
*<proof>*

**lemma** *takeWhile-sing-pow*:  $\text{takeWhile } (\lambda x. x = a) w = w \longleftrightarrow w = [a]^{\textcircled{a}}|w|$   
*<proof>*

**lemma** *dropWhile-sing-pow*:  $\text{dropWhile } (\lambda x. x = a) w = \varepsilon \longleftrightarrow w = [a]^{\textcircled{a}}|w|$   
*<proof>*

**lemma** *nemp-takeWhile-hd*:  $us \neq \varepsilon \implies \text{hd } (\text{takeWhile } (\lambda a. a = \text{hd } us) us) = \text{hd } us$   
*<proof>*

**lemma** *nemp-takeWhile-last*:  $us \neq \varepsilon \implies \text{last } (\text{takeWhile } (\lambda a. a = \text{hd } us) us) = \text{hd } us$   
*<proof>*

**lemma** *card-set-decompose*: **assumes**  $1 < \text{card } (\text{set } us)$   
**shows**  $\text{takeWhile } (\lambda a. a = \text{hd } us) us \neq \varepsilon$  **and**  $\text{dropWhile } (\lambda a. a = \text{hd } us) us \neq \varepsilon$  **and**  
 $\text{set } (\text{takeWhile } (\lambda a. a = \text{hd } us) us) = \{\text{hd } us\}$  **and**  
 $\text{last } (\text{takeWhile } (\lambda a. a = \text{hd } us) us) \neq \text{hd } (\text{dropWhile } (\lambda a. a = \text{hd } us) us)$   
*<proof>*

**lemma** *distinct-letter-in*: **assumes**  $w \notin [a]^*$   
**obtains**  $m b q$  **where**  $[a]^{\textcircled{a}}m \cdot [b] \cdot q = w$  **and**  $b \neq a$   
*<proof>*

**lemma** *distinct-letter-in-hd*: **assumes**  $w \notin [\text{hd } w]^*$   
**obtains**  $m b q$  **where**  $[\text{hd } w]^{\textcircled{a}}m \cdot [b] \cdot q = w$  **and**  $b \neq \text{hd } w$  **and**  $m \neq 0$   
*<proof>*

**lemma** *distinct-letter-in-hd'*: **assumes**  $w \notin [\text{hd } w]^*$   
**obtains**  $m b q$  **where**  $[\text{hd } w]^{\textcircled{a}}\text{Suc } m \cdot [b] \cdot q = w$  **and**  $b \neq \text{hd } w$   
*<proof>*

**lemma** *distinct-letter-in-suf*: **assumes**  $w \notin [a]^*$   
**obtains**  $m b$  **where**  $[b] \cdot [a]^{\textcircled{a}}m \leq_s w$  **and**  $b \neq a$   
*<proof>*

**lemma** *sing-pow-exp*: **assumes**  $w \in [a]^*$  **shows**  $w = [a]^{\textcircled{a}}|w|$   
*<proof>*

**lemma** *sing-power'*: **assumes**  $w \in [a]^*$  **and**  $i < |w|$  **shows**  $w ! i = a$   
*<proof>*

**lemma** *rev-sing-power*:  $x \in [a]^* \implies \text{rev } x = x$

*<proof>*

**lemma** *lcp-letter-power*:

**assumes**  $w \neq \varepsilon$  **and**  $w \in [a]^*$  **and**  $[a]^m \cdot [b] \leq_p z$  **and**  $a \neq b$   
**shows**  $w \cdot z \wedge_p z \cdot w = [a]^m$

*<proof>*

**lemma** *per-one*: **assumes**  $w <_p [a] \cdot w$  **shows**  $w \in [a]^*$

*<proof>*

**lemma** *per-one'*:  $w \in [a]^* \implies w <_p [a] \cdot w$

*<proof>*

**lemma** *per-sing-one*: **assumes**  $w \neq \varepsilon$   $w <_p [a] \cdot w$  **shows** *period w 1*

*<proof>*

## 2.18 Border

A non-empty word  $x \neq w$  is a *border* of a word  $w$  if it is both its prefix and suffix. This elementary property captures how much the word  $w$  overlaps with itself, and it is in the obvious way related to a period of  $w$ . However, in many cases it is much easier to reason about borders than about periods.

**definition** *border* :: 'a list  $\Rightarrow$  'a list  $\Rightarrow$  bool (*<- <= b -> [51,51] 60*)

**where** [*simp*]:  $\text{border } x \ w = (x \leq_p w \wedge x \leq_s w \wedge x \neq w \wedge x \neq \varepsilon)$

**definition** *bordered* :: 'a list  $\Rightarrow$  bool

**where** [*simp*]:  $\text{bordered } w = (\exists b. b \leq_b w)$

**lemma** *borderI[intro]*:  $x \leq_p w \implies x \leq_s w \implies x \neq w \implies x \neq \varepsilon \implies x \leq_b w$

*<proof>*

**lemma** *borderD-pref*:  $x \leq_b w \implies x \leq_p w$

*<proof>*

**lemma** *borderD-spref*:  $x \leq_b w \implies x <_p w$

*<proof>*

**lemma** *borderD-suf*:  $x \leq_b w \implies x \leq_s w$

*<proof>*

**lemma** *borderD-ssuf*:  $x \leq_b w \implies x <_s w$

*<proof>*

**lemma** *borderD-nemp*:  $x \leq_b w \implies x \neq \varepsilon$

*<proof>*

**lemma** *borderD-neq*:  $x \leq_b w \implies x \neq w$

*<proof>*

**lemma** *borderedI*:  $u \leq_b w \implies \text{bordered } w$   
*<proof>*

**lemma** *border-lq-nemp*: **assumes**  $x \leq_b w$  **shows**  $x^{-1} > w \neq \varepsilon$   
*<proof>*

**lemma** *border-rq-nemp*: **assumes**  $x \leq_b w$  **shows**  $w <^{-1} x \neq \varepsilon$   
*<proof>*

**lemma** *border-trans*[*trans*]: **assumes**  $t \leq_b x$   $x \leq_b w$   
**shows**  $t \leq_b w$   
*<proof>*

**lemma** *border-rev-conv*[*reversal-rule*]:  $\text{rev } x \leq_b \text{rev } w \iff x \leq_b w$   
*<proof>*

**lemma** *border-lq-comp*:  $x \leq_b w \implies (w <^{-1} x) \bowtie x$   
*<proof>*

**lemmas** *border-lq-suf-comp* = *border-lq-comp*[*reversed*]

### 2.18.1 The shortest border

**lemma** *border-len*: **assumes**  $x \leq_b w$   
**shows**  $1 < |w|$  **and**  $0 < |x|$  **and**  $|x| < |w|$   
*<proof>*

**lemma** *borders-compare*: **assumes**  $x \leq_b w$  **and**  $x' \leq_b w$  **and**  $|x'| < |x|$   
**shows**  $x' \leq_b x$   
*<proof>*

**lemma** *unbordered-border*:  
 $\text{bordered } w \implies \exists x. x \leq_b w \wedge \neg \text{bordered } x$   
*<proof>*

**lemma** *unbordered-border-shortest*:  $x \leq_b w \implies \neg \text{bordered } x \implies y \leq_b w \implies |x| \leq |y|$   
*<proof>*

**lemma** *long-border-bordered*: **assumes** *long*:  $|w| < |x| + |x|$  **and** *border*:  $x \leq_b w$   
**shows**  $(w <^{-1} x)^{-1} > x \leq_b x$   
*<proof>*

**thm** *long-border-bordered*[*reversed*]

**lemma** *border-short-dec*: **assumes** *border*:  $x \leq_b w$  **and** *short*:  $|x| + |x| \leq |w|$   
**shows**  $x \cdot x^{-1} > (w <^{-1} x) \cdot x = w$   
*<proof>*



**lemma** *bordered-dec*: **assumes** *bordered*  $w$   
**obtains**  $u\ v$  **where**  $u \cdot v \cdot u = w$  **and**  $u \neq \varepsilon$   
*<proof>*

**lemma** *emp-not-bordered*:  $\neg$  *bordered*  $\varepsilon$   
*<proof>*

**lemma** *bordered-nemp*: *bordered*  $w \implies w \neq \varepsilon$   
*<proof>*

**lemma** *sing-not-bordered*:  $\neg$  *bordered*  $[a]$   
*<proof>*

## 2.18.2 Relation to period and conjugation

**lemma** *border-conjug-eq*:  $x \leq b\ w \implies (w^{<-1}x) \cdot w = w \cdot (x^{-1}>w)$   
*<proof>*

**lemma** *border-per-root*:  $x \leq b\ w \implies w \leq p\ (w^{<-1}x) \cdot w$   
*<proof>*

**lemma** *per-root-border*: **assumes**  $|r| < |w|$  **and**  $r \neq \varepsilon$  **and**  $w \leq p\ r \cdot w$   
**shows**  $r^{-1}>w \leq b\ w$   
*<proof>*

**lemma** *pref-suf-neq-per*: **assumes**  $x \leq p\ w$  **and**  $x \leq s\ w$  **and**  $x \neq w$  **shows** *period*  
 $w\ (|w| - |x|)$   
*<proof>*

**lemma** *border-per*:  $x \leq b\ w \implies$  *period*  $w\ (|w| - |x|)$   
*<proof>*

**lemma** *per-border*: **assumes**  $n < |w|$  **and** *period*  $w\ n$   
**shows** *take*  $(|w| - n)\ w \leq b\ w$   
*<proof>*

## 2.19 The longest border and the shortest period

### 2.19.1 The longest border

**definition** *max-borderP* :: *'a list*  $\Rightarrow$  *'a list*  $\Rightarrow$  *bool* **where**  
 $max\_borderP\ u\ w = (u \leq p\ w \wedge u \leq s\ w \wedge (u = w \longrightarrow w = \varepsilon) \wedge (\forall v. v \leq b\ w \longrightarrow v \leq p\ u))$

**lemma** *max-borderP-emp-emp*: *max-borderP*  $\varepsilon\ \varepsilon$   
*<proof>*

**lemma** *max-borderP-exE*: **obtains**  $u$  **where** *max-borderP*  $u\ w$

*<proof>*

**lemma** *max-borderP-of-nemp*:  $\text{max-borderP } u \ \varepsilon \implies u = \varepsilon$   
*<proof>*

**lemma** *max-borderP-D-neq*:  $w \neq \varepsilon \implies \text{max-borderP } u \ w \implies u \neq w$   
*<proof>*

**lemma** *max-borderP-D-pref*:  $\text{max-borderP } u \ w \implies u \leq_p w$   
*<proof>*

**lemma** *max-borderP-D-suf*:  $\text{max-borderP } u \ w \implies u \leq_s w$   
*<proof>*

**lemma** *max-borderP-D-max*:  $\text{max-borderP } u \ w \implies v \leq_b w \implies v \leq_p u$   
*<proof>*

**lemma** *max-borderP-D-max'*:  $\text{max-borderP } u \ w \implies v \leq_b w \implies v \leq_s u$   
*<proof>*

**lemma** *unbordered-max-border-emp*:  $\neg \text{bordered } w \implies \text{max-borderP } u \ w \implies u = \varepsilon$   
*<proof>*

**lemma** *bordered-max-border-nemp*:  $\text{bordered } w \implies \text{max-borderP } u \ w \implies u \neq \varepsilon$   
*<proof>*

**lemma** *max-borderP-border*:  $\text{max-borderP } u \ w \implies u \neq \varepsilon \implies u \leq_b w$   
*<proof>*

**lemma** *max-borderP-rev*:  $\text{max-borderP } (\text{rev } u) \ (\text{rev } w) \implies \text{max-borderP } u \ w$   
*<proof>*

**lemma** *max-borderP-rev-conv*:  $\text{max-borderP } (\text{rev } u) \ (\text{rev } w) \longleftrightarrow \text{max-borderP } u \ w$   
*<proof>*

**term** *arg-max*

**definition** *max-border* :: 'a list  $\Rightarrow$  'a list **where**  
 $\text{max-border } w = (\text{THE } u. (\text{max-borderP } u \ w))$

**lemma** *max-border-unique*: **assumes**  $\text{max-borderP } u \ w \ \text{max-borderP } v \ w$   
**shows**  $u = v$   
*<proof>*

**lemma** *max-border-ex*:  $\text{max-borderP } (\text{max-border } w) \ w$   
*<proof>*

**lemma** *max-borderP-max-border*:  $\text{max-borderP } u \ w \implies \text{max-border } w = u$

*<proof>*

**lemma** *max-border-len-rev*:  $|max\text{-border } u| = |max\text{-border } (rev\ u)|$   
*<proof>*

**lemma** *max-border-border*: **assumes** *bordered* *w* **shows**  $max\text{-border } w \leq b\ w$   
*<proof>*

**theorem** *max-border-border'*:  $max\text{-border } w \neq \varepsilon \implies max\text{-border } w \leq b\ w$   
*<proof>*

**lemma** *max-border-sing-emp*:  $max\text{-border } [a] = \varepsilon$   
*<proof>*

**lemma** *max-border-suf*:  $max\text{-border } w \leq s\ w$   
*<proof>*

**lemma** *max-border-nemp-neg*:  $w \neq \varepsilon \implies max\text{-border } w \neq w$   
*<proof>*

**lemma** *max-borderI*: **assumes**  $u \neq w$  **and**  $u \leq p\ w$  **and**  $u \leq s\ w$  **and**  $\forall v. v \leq b\ w \implies v \leq p\ u$   
**shows**  $max\text{-border } w = u$   
*<proof>*

**lemma** *max-border-less-len*: **assumes**  $w \neq \varepsilon$  **shows**  $|max\text{-border } w| < |w|$   
*<proof>*

**theorem** *max-border-max-pref*: **assumes**  $u \leq b\ w$  **shows**  $u \leq p\ max\text{-border } w$   
*<proof>*

**theorem** *max-border-max-suf*: **assumes**  $u \leq b\ w$  **shows**  $u \leq s\ max\text{-border } w$   
*<proof>*

**lemma** *bordered-max-bord-nemp-conv*[code]:  $bordered\ w \longleftrightarrow max\text{-border } w \neq \varepsilon$   
*<proof>*

**lemma** *max-bord-take*:  $max\text{-border } w = take\ |max\text{-border } w|\ w$   
*<proof>*

## 2.19.2 The shortest period

**definition** *min-period-root* :: 'a list  $\Rightarrow$  'a list ( $\langle \pi \rangle$ ) **where**  
 $min\text{-period-root } w = take\ (LEAST\ n.\ period\ w\ n)\ w$

**definition** *min-period* :: 'a list  $\Rightarrow$  nat **where**  
 $min\text{-period } w = |\pi\ w|$

**lemma** *min-per-emp*[simp]:  $\pi\ \varepsilon = \varepsilon$

*<proof>*

**lemma** *min-per-zero[simp]*: *min-period*  $\varepsilon = 0$

*<proof>*

**lemma** *min-per-per*:  $w \neq \varepsilon \implies \text{period } w$  (*min-period*  $w$ )

*<proof>*

**lemma** *min-per-pos*:  $w \neq \varepsilon \implies 0 < \text{min-period } w$

*<proof>*

**lemma** *min-per-len*: *min-period*  $w \leq |w|$

*<proof>*

**lemmas** *min-per-root-len = min-per-len[unfolded min-period-def]*

**lemma** *min-per-sing*: *min-period*  $[a] = 1$

*<proof>*

**lemma** *min-per-root-per-root*: **assumes**  $w \neq \varepsilon$  **shows**  $w <_p (\pi w) \cdot w$

*<proof>*

**lemma** *min-per-pref*:  $\pi w \leq_p w$

*<proof>*

**lemma** *min-per-nemp*:  $w \neq \varepsilon \implies \pi w \neq \varepsilon$

*<proof>*

**lemma** *min-per-min*: **assumes**  $w <_p r \cdot w$  **shows**  $\pi w \leq_p r$

*<proof>*

**lemma** *lq-min-per-pref*:  $\pi w^{-1} > w \leq_p w$

*<proof>*

**lemma** *max-bord-emp*: *max-border*  $\varepsilon = \varepsilon$

*<proof>*

**theorem** *min-per-max-border*:  $\pi w \cdot \text{max-border } w = w$

*<proof>*

**lemma** *min-per-len-diff*: *min-period*  $w = |w| - |\text{max-border } w|$

*<proof>*

**lemma** *min-per-root-take [code]*:  $\pi w = \text{take } (|w| - |\text{max-border } w|) w$

*<proof>*

## 2.20 Primitive words

If a word  $w$  is not a non-trivial power of some other word, we say it is primitive.

**definition** *primitive* :: 'a list  $\Rightarrow$  bool  
**where** *primitive*  $u = (\forall r k. r^{\textcircled{a}}k = u \longrightarrow k = 1)$

**lemma** *emp-not-prim[simp]*:  $\neg$  *primitive*  $\varepsilon$   
 <proof>

**lemma** *primI[intro]*:  $(\bigwedge r k. r^{\textcircled{a}}k = u \Longrightarrow k = 1) \Longrightarrow$  *primitive*  $u$   
 <proof>

**lemma** *prim-nemp*: *primitive*  $u \Longrightarrow u \neq \varepsilon$   
 <proof>

**lemma** *prim-exp-one*: *primitive*  $u \Longrightarrow r^{\textcircled{a}}k = u \Longrightarrow k = 1$   
 <proof>

**lemma** *pow-nemp-imprim[intro]*:  $2 \leq k \Longrightarrow \neg$  *primitive*  $(u^{\textcircled{a}}k)$   
 <proof>

**lemma** *pow-not-prim*:  $\neg$  *primitive*  $(u^{\textcircled{a}}\text{Suc}(\text{Suc } k))$   
 <proof>

**lemma** *pow-non-prim*:  $k \neq 1 \Longrightarrow \neg$  *primitive*  $(w^{\textcircled{a}}k)$   
 <proof>

**lemma** *prim-exp-eq*: *primitive*  $u \Longrightarrow r^{\textcircled{a}}k = u \Longrightarrow u = r$   
 <proof>

**lemma** *prim-per-div*: **assumes** *primitive*  $v$  **and**  $n \neq 0$  **and**  $n \leq |v|$  **and** *period*  $v$   
 (*gcd*  $|v|$   $n$ )  
**shows**  $n = |v|$   
 <proof>

**lemma** *prim-triv-root*: *primitive*  $u \Longrightarrow u \in t^* \Longrightarrow t = u$   
 <proof>

**lemma** *prim-comm-root[elim]*: **assumes** *primitive*  $r$  **and**  $u \cdot r = r \cdot u$  **shows**  $u \in r^*$   
 <proof>

**lemma** *prim-comm-exp[elim]*: **assumes** *primitive*  $r$  **and**  $u \cdot r = r \cdot u$  **obtains**  $k$   
**where**  $r^{\textcircled{a}}k = u$   
 <proof>

**lemma** *pow-prim-root*: **assumes**  $w^{\textcircled{a}}k = r^{\textcircled{a}}n$  **and**  $0 < n$  *primitive*  $r$   
**shows**  $w \in r^*$

*<proof>*

**lemma** *prim-root-drop-exp[elim]*: **assumes**  $u^{\textcircled{k}} \in r^*$  **and**  $0 < k$  **and** *primitive*  $r$   
**shows**  $u \in r^*$   
*<proof>*

**lemma** *prim-card-set*: **assumes** *primitive*  $u$  **and**  $|u| \neq 1$  **shows**  $1 < \text{card}(\text{set } u)$   
*<proof>*

**lemma** *comm-not-prim*: **assumes**  $u \neq \varepsilon$   $v \neq \varepsilon$   $u \cdot v = v \cdot u$  **shows**  $\neg$  *primitive*  
 $(u \cdot v)$   
*<proof>*

**lemma** *prim-rotate-conv*: *primitive*  $w \longleftrightarrow$  *primitive*  $(\text{rotate } n \ w)$   
*<proof>*

**lemma** *non-prim*: **assumes**  $\neg$  *primitive*  $w$  **and**  $w \neq \varepsilon$   
**obtains**  $r \ k$  **where**  $r \neq \varepsilon$  **and**  $1 < k$  **and**  $r^{\textcircled{k}} = w$  **and**  $w \neq r$   
*<proof>*

**lemma** *prim-no-rotate*: **assumes** *primitive*  $w$  **and**  $0 < n$  **and**  $n < |w|$   
**shows**  $\text{rotate } n \ w \neq w$   
*<proof>*

**lemma** *no-rotate-prim*: **assumes**  $w \neq \varepsilon$  **and**  $\bigwedge n. 0 < n \implies n < |w| \implies \text{rotate } n \ w \neq w$   
**shows** *primitive*  $w$   
*<proof>*

**corollary** *prim-iff-rotate*: **assumes**  $w \neq \varepsilon$  **shows**  
 $\text{primitive } w \longleftrightarrow (\forall n. 0 < n \wedge n < |w| \longrightarrow \text{rotate } n \ w \neq w)$   
*<proof>*

**lemma** *prim-sing*: *primitive*  $[a]$   
*<proof>*

**lemma** *sing-pow-conv [simp]*:  $[u] = t^{\textcircled{k}} \longleftrightarrow t = [u] \wedge k = 1$   
*<proof>*

**lemma** *prim-rev-iff[reversal-rule]*: *primitive*  $(\text{rev } u) \longleftrightarrow$  *primitive*  $u$   
*<proof>*

**lemma** *prim-map-prim*: *primitive*  $(\text{map } f \ ws) \implies$  *primitive*  $ws$   
*<proof>*

**lemma** *inj-map-prim*: **assumes** *inj-on*  $f \ A$  **and**  $u \in \text{lists } A$  **and**  
*primitive*  $u$   
**shows** *primitive*  $(\text{map } f \ u)$   
*<proof>*

**lemma** *prim-map-iff* [*reversal-rule*]:  
**assumes** *inj f* **shows** *primitive (map f ws) = primitive (ws)*  
 ⟨*proof*⟩

**lemma** *prim-concat-prim*: *primitive (concat ws)  $\implies$  primitive ws*  
 ⟨*proof*⟩

**lemma** *eq-append-not-prim*: *x = y  $\implies$   $\neg$  primitive (x · y)*  
 ⟨*proof*⟩

## 2.21 Primitive root

Given a non-empty word  $w$  which is not primitive, it is natural to look for the shortest  $u$  such that  $w = u^k$ . Such a word is primitive, and it is the primitive root of  $w$ .

**definition** *primitive-root* :: 'a list  $\Rightarrow$  'a list ( $\langle \varrho \rangle$ ) **where**  
*primitive-root*  $x =$  (if  $x \neq \varepsilon$  then (THE  $r$ . *primitive*  $r \wedge (\exists k. x = r^{\textcircled{k}}$ ) else  $\varepsilon$ )

**definition** *primitive-root-exp* :: 'a list  $\Rightarrow$  nat ( $\langle e_{\varrho} \rangle$ ) **where**  
*primitive-root-exp*  $x =$  (if  $x \neq \varepsilon$  then (THE  $k. x = (\varrho x)^{\textcircled{k}}$ ) else 0)

**lemma** *primroot-emp*[*simp*]:  $\varrho \varepsilon = \varepsilon$   
 ⟨*proof*⟩

**lemma** *comm-prim*: **assumes** *primitive r* **and** *primitive s* **and**  $r \cdot s = s \cdot r$   
**shows**  $r = s$   
 ⟨*proof*⟩

**lemma** *primroot-ex*: **assumes**  $x \neq \varepsilon$  **shows**  $\exists r k. \text{primitive } r \wedge k \neq 0 \wedge x = r^{\textcircled{k}}$   
 ⟨*proof*⟩

**lemma** *primroot-exE*: **assumes**  $x \neq \varepsilon$   
**obtains**  $r k$  **where** *primitive r* **and**  $0 < k$  **and**  $x = r^{\textcircled{k}}$   
 ⟨*proof*⟩

Uniqueness of the primitive root follows from the following lemma

**lemma** *primroot-unique*: **assumes**  $u \neq \varepsilon$  **and** *primitive r* **and**  $u = r^{\textcircled{k}}$  **shows**  $\varrho u = r$   
 ⟨*proof*⟩

**lemma** *primroot-unique'*: **assumes**  $0 < k$  *primitive r* **and**  $u = r^{\textcircled{k}}$  **shows**  $\varrho u = r$   
 ⟨*proof*⟩

**lemma** *prim-self-root*[*intro*]: *primitive x  $\implies$   $\varrho x = x$*

*<proof>*

**lemma** *primroot-exp-unique*: **assumes**  $u \neq \varepsilon$  **and**  $(\varrho u)^{\textcircled{k}} = u$  **shows**  $e_{\varrho} u = k$   
*<proof>*

**lemma** *primroot-prim[intro]*:  $x \neq \varepsilon \implies \text{primitive } (\varrho x)$   
*<proof>*

Existence and uniqueness of the primitive root justifies the function  $\varrho$ : it indeed yields the primitive root of a nonempty word.

**lemma** *primroot-is-root[simp]*:  $x \in (\varrho x)^*$   
*<proof>*

**lemma** *primroot-expE*: **obtains**  $k$  **where**  $(\varrho x)^{\textcircled{k}} = x$  **and**  $0 < k$   
*<proof>*

**lemma** *primroot-exp-eq [simp]*:  $(\varrho u)^{\textcircled{e_{\varrho} u}} = u$   
*<proof>*

**lemma** *primroot-exp-len*:  
**shows**  $e_{\varrho} w * |\varrho w| = |w|$   
*<proof>*

**lemma** *primroot-exp-nemp [intro]*:  $u \neq \varepsilon \implies 0 < e_{\varrho} u$   
*<proof>*

**lemma** *primroot-nemp[intro!]*:  $x \neq \varepsilon \implies \varrho x \neq \varepsilon$   
*<proof>*

**lemma** *primroot-idemp[simp]*:  $\varrho (\varrho x) = \varrho x$   
*<proof>*

**lemma** *prim-primroot-conv*: **assumes**  $w \neq \varepsilon$  **shows**  $\text{primitive } w \iff \varrho w = w$   
*<proof>*

**lemma** *not-prim-primroot-expE*: **assumes**  $\neg \text{primitive } w$   
**obtains**  $k$  **where**  $\varrho w^{\textcircled{k}} = w$  **and**  $2 \leq k$   
*<proof>*

**lemma** *not-prim-expE*: **assumes**  $\neg \text{primitive } x$  **and**  $x \neq \varepsilon$   
**obtains**  $r k$  **where**  $\text{primitive } r$  **and**  $2 \leq k$  **and**  $r^{\textcircled{k}} = x$   
*<proof>*

**lemma** *primroot-of-root*: **assumes**  $u \neq \varepsilon$  **and**  $u \in q^*$  **shows**  $\varrho q = \varrho u$   
*<proof>*



**lemma** *primroot-shorter-root*: **assumes**  $u \neq \varepsilon$  **and**  $u \in q^*$  **shows**  $|\varrho u| \leq |q|$   
 ⟨proof⟩

**lemma** *primroot-len-le*:  $u \neq \varepsilon \implies |\varrho u| \leq |u|$   
 ⟨proof⟩

**lemma** *primroot-take*: **assumes**  $u \neq \varepsilon$  **shows**  $\varrho u = (\text{take } (|\varrho u|) u)$   
 ⟨proof⟩

**lemma** *primroot-rotate-comm*: **assumes**  $w \neq \varepsilon$  **shows**  $\varrho (\text{rotate } n w) = \text{rotate } n (\varrho w)$   
 ⟨proof⟩

**lemma** *primroot-rotate*:  $\varrho w = r \longleftrightarrow \varrho (\text{rotate } (k*|r|) w) = r$  (**is** ?L  $\longleftrightarrow$  ?R)  
 ⟨proof⟩

**lemma** *primrootI[intro]*: **assumes** *pow*:  $u = r^\circledast (\text{Suc } k)$  **and** *primitive*  $r$  **shows**  $\varrho u = r$   
 ⟨proof⟩

**lemma** *primroot-pref*:  $\varrho u \leq_p u$   
 ⟨proof⟩

**lemma** *short-primroot*: **assumes**  $u \neq \varepsilon \wedge \text{primitive } u$  **shows**  $|\varrho u| < |u|$   
 ⟨proof⟩

**lemma** *prim-primroot-cases*: **obtains**  $u = \varepsilon \mid \text{primitive } u \mid |\varrho u| < |u|$   
 ⟨proof⟩

We also have the standard characterization of commutation for nonempty words.

**lemma** *comm-rootE*: **assumes**  $x \cdot y = y \cdot x$   
**obtains**  $t$  **where**  $x \in t^*$  **and**  $y \in t^*$  **and**  $t \neq \varepsilon$   
 ⟨proof⟩

**theorem** *comm-primroots*: **assumes**  $u \neq \varepsilon$  **and**  $v \neq \varepsilon$  **shows**  $u \cdot v = v \cdot u \longleftrightarrow \varrho u = \varrho v$   
 ⟨proof⟩

**lemma** *comm-primroots'*:  $u \neq \varepsilon \implies v \neq \varepsilon \implies u \cdot v = v \cdot u \implies \varrho u = \varrho v$   
 ⟨proof⟩

**lemma** *same-primroots-comm*:  $\varrho x = \varrho y \implies x \cdot y = y \cdot x$   
 ⟨proof⟩

**lemma** *pow-primroot*: **assumes**  $x \neq \varepsilon$  **shows**  $\varrho (x^{\textcircled{a}} \text{Suc } k) = \varrho x$   
 ⟨*proof*⟩

**lemma** *comm-primroot-exp*: **assumes**  $v \neq \varepsilon$  **and**  $u \cdot v = v \cdot u$   
**obtains**  $n$  **where**  $(\varrho v)^{\textcircled{a}} n = u$   
 ⟨*proof*⟩

**lemma** *comm-primrootE*: **assumes**  $x \cdot y = y \cdot x$   
**obtains**  $t$  **where**  $x \in t^*$  **and**  $y \in t^*$  **and** *primitive*  $t$   
 ⟨*proof*⟩

**lemma** *primE*: **obtains**  $t$  **where** *primitive*  $t$   
 ⟨*proof*⟩

**lemma** *comm-primrootE'*: **assumes**  $x \cdot y = y \cdot x$   
**obtains**  $t$   $k$   $m$  **where**  $x = t^{\textcircled{a}} k$  **and**  $y = t^{\textcircled{a}} m$  **and** *primitive*  $t$   
 ⟨*proof*⟩

**lemma** *comm-nemp-pows-posE*: **assumes**  $x \cdot y = y \cdot x$  **and**  $x \neq \varepsilon$  **and**  $y \neq \varepsilon$   
**obtains**  $t$   $k$   $m$  **where**  $x = t^{\textcircled{a}} k$  **and**  $y = t^{\textcircled{a}} m$  **and**  $0 < k$  **and**  $0 < m$  **and**  
*primitive*  $t$   
 ⟨*proof*⟩

**lemma** *comm-primroot-conv*:  $u \cdot v = v \cdot u \longleftrightarrow u \cdot \varrho v = \varrho v \cdot u$   
 ⟨*proof*⟩

**lemma** *comm-primroot [simp, intro]*:  $u \cdot \varrho u = \varrho u \cdot u$   
 ⟨*proof*⟩

**lemma** *comp-primroot-conv'*: **shows**  $u \cdot v = v \cdot u \longleftrightarrow \varrho u \cdot \varrho v = \varrho v \cdot \varrho u$   
 ⟨*proof*⟩

**lemma** *per-root-primroot*:  $w <_p r \cdot w \implies w <_p \varrho r \cdot w$   
 ⟨*proof*⟩

**lemma** *primroot-per-root*:  $r \neq \varepsilon \implies r <_p \varrho r \cdot r$   
 ⟨*proof*⟩

**lemma** *prim-comm-short-emp*: **assumes** *primitive*  $p$  **and**  $u \cdot p = p \cdot u$  **and**  $|u| < |p|$   
**shows**  $u = \varepsilon$   
 ⟨*proof*⟩

**lemma** *primroot-rev[reversal-rule]*: **shows**  $\varrho (\text{rev } u) = \text{rev } (\varrho u)$   
 ⟨*proof*⟩

**lemmas** *primroot-suf = primroot-pref[reversed]*

**lemma** *per-le-prim-iff*:

**assumes**  $u \leq p \cdot u$  **and**  $p \neq \varepsilon$  **and**  $2 * |p| \leq |u|$   
**shows** primitive  $u \longleftrightarrow u \cdot p \neq p \cdot u$   
 $\langle proof \rangle$

**lemma** *per-root-mod-primE* [*elim*]: **assumes**  $u < p \cdot u$   
**obtains**  $n \ p \ s$  **where**  $p \cdot s = \varrho \ r$  **and**  $(p \cdot s)^{\textcircled{n}} \cdot p = u$  **and**  $s \neq \varepsilon$   
 $\langle proof \rangle$

### 2.21.1 Primitivity and the shortest period

**lemma** *min-per-primitive*: **assumes**  $w \neq \varepsilon$  **shows** primitive  $(\pi \ w)$   
 $\langle proof \rangle$

**lemma** *min-per-short-primroot*: **assumes**  $w \neq \varepsilon$  **and**  $(\varrho \ w)^{\textcircled{k}} = w$  **and**  $k \neq 1$   
**shows**  $\pi \ w = \varrho \ w$   
 $\langle proof \rangle$

**lemma** *primitive-iff-per*: primitive  $w \longleftrightarrow w \neq \varepsilon \wedge (\pi \ w = w \vee \pi \ w \cdot w \neq w \cdot \pi \ w)$   
 $\langle proof \rangle$

## 2.22 Conjugation

Two words  $x$  and  $y$  are conjugated if one is a rotation of the other. Or, equivalently, there exists  $z$  such that

$$xz = zy.$$

**definition** *conjugate* (**infix**  $\langle \sim \rangle$  51)  
**where**  $u \sim v \equiv \exists r \ s. r \cdot s = u \wedge s \cdot r = v$

**lemma** *conjugE* [*elim*]:  
**assumes**  $u \sim v$   
**obtains**  $r \ s$  **where**  $r \cdot s = u$  **and**  $s \cdot r = v$   
 $\langle proof \rangle$

**lemma** *conjugE-nemp*[*elim*]:  
**assumes**  $u \sim v$  **and**  $u \neq \varepsilon$   
**obtains**  $r \ s$  **where**  $r \cdot s = u$  **and**  $s \cdot r = v$  **and**  $s \neq \varepsilon$   
 $\langle proof \rangle$

**lemma** *conjugE1* [*elim*]:  
**assumes**  $u \sim v$   
**obtains**  $r$  **where**  $u \cdot r = r \cdot v$   
 $\langle proof \rangle$

**lemma** *conjug-rev-conv* [*reversal-rule*]:  $rev \ u \sim rev \ v \longleftrightarrow u \sim v$

*<proof>*

**lemma** *conjug-rotate-iff*:  $u \sim v \longleftrightarrow (\exists n. v = \text{rotate } n \ u)$   
*<proof>*

**lemma** *rotate-conjug*:  $w \sim \text{rotate } n \ w$   
*<proof>*

**lemma** *conjug-rotate-iff-le*:  
**shows**  $u \sim v \longleftrightarrow (\exists n \leq |u| - 1. v = \text{rotate } n \ u)$   
*<proof>*

**lemma** *conjugI* [intro]:  $r \cdot s = u \implies s \cdot r = v \implies u \sim v$   
*<proof>*

**lemma** *conjugI'* [intro!]:  $r \cdot s \sim s \cdot r$   
*<proof>*

**lemma** *conjug-refl*:  $u \sim u$   
*<proof>*

**lemma** *conjug-sym*[sym]:  $u \sim v \implies v \sim u$   
*<proof>*

**lemma** *conjug-swap*:  $u \sim v \longleftrightarrow v \sim u$   
*<proof>*

**lemma** *conjug-nemp-iff*:  $u \sim v \implies u = \varepsilon \longleftrightarrow v = \varepsilon$   
*<proof>*

**lemma** *conjug-len*:  $u \sim v \implies |u| = |v|$   
*<proof>*

**lemma** *pow-conjug*:  
**assumes** eq:  $t^{\textcircled{i}} \cdot r \cdot u = t^{\textcircled{k}}$  **and**  $t: r \cdot s = t$   
**shows**  $u \cdot t^{\textcircled{i}} \cdot r = (s \cdot r)^{\textcircled{k}}$   
*<proof>*

**lemma** *conjug-set*: **assumes**  $u \sim v$  **shows**  $\text{set } u = \text{set } v$   
*<proof>*

**lemma** *conjug-concat-conjug*:  $xs \sim ys \implies \text{concat } xs \sim \text{concat } ys$   
*<proof>*

The solution of the equation

$$xz = zy$$

is given by the next lemma.

**lemma** *conjug-eqE* [elim, consumes 2]:

**assumes**  $eq: x \cdot z = z \cdot y$  **and**  $x \neq \varepsilon$   
**obtains**  $u \ v \ k$  **where**  $u \cdot v = x$  **and**  $v \cdot u = y$  **and**  $(u \cdot v)^{\textcircled{k}} \cdot u = z$  **and**  $v \neq \varepsilon$   
 $\langle proof \rangle$

**theorem** *conjugation*: **assumes**  $x \cdot z = z \cdot y$  **and**  $x \neq \varepsilon$   
**shows**  $\exists u \ v \ k. u \cdot v = x \wedge v \cdot u = y \wedge (u \cdot v)^{\textcircled{k}} \cdot u = z$   
 $\langle proof \rangle$

**lemma** *conjug-eq-primrootE'* [*elim, consumes 2*]:

**assumes**  $eq: x \cdot z = z \cdot y$  **and**  $x \neq \varepsilon$   
**obtains**  $r \ s \ i \ n$  **where**  
 $(r \cdot s)^{\textcircled{i}} = x$  **and**  
 $(s \cdot r)^{\textcircled{i}} = y$  **and**  
 $(r \cdot s)^{\textcircled{n}} \cdot r = z$  **and**  
 $s \neq \varepsilon$  **and**  $0 < i$  **and** *primitive*  $(r \cdot s)$   
 $\langle proof \rangle$

**lemma** *conjugI1* [*intro*]:

**assumes**  $eq: u \cdot r = r \cdot v$   
**shows**  $u \sim v$   
 $\langle proof \rangle$

**lemma** *pow-conjug-conjug-conv*: **assumes**  $0 < k$  **shows**  $u^{\textcircled{k}} \sim v^{\textcircled{k}} \longleftrightarrow u \sim v$   
 $\langle proof \rangle$

**lemma** *conjug-trans* [*trans*]:

**assumes**  $uv: u \sim v$  **and**  $vw: v \sim w$   
**shows**  $u \sim w$   
 $\langle proof \rangle$

**lemma** *conjug-trans'*: **assumes**  $uv': u \cdot r = r \cdot v$  **and**  $vw': v \cdot s = s \cdot w$  **shows**  $u \cdot (r \cdot s) = (r \cdot s) \cdot w$   
 $\langle proof \rangle$

Of course, conjugacy is an equivalence relation.

**lemma** *conjug-equiv*: *equivp* ( $\sim$ )  
 $\langle proof \rangle$

**lemma** *rotate-fac-pref*: **assumes**  $u \leq_f w$   
**obtains**  $w'$  **where**  $w' \sim w$  **and**  $u \leq_p w'$   
 $\langle proof \rangle$

**lemma** *rotate-into-pos-sq*: **assumes**  $s \cdot p \leq_f w \cdot w$  **and**  $|s| \leq |w|$  **and**  $|p| \leq |w|$   
**obtains**  $w'$  **where**  $w \sim w'$   $p \leq_p w'$   $s \leq_s w'$   
 $\langle proof \rangle$

**lemma** *rotate-into-pref-sq*: **assumes**  $p \leq_f w \cdot w$  **and**  $|p| \leq |w|$   
**obtains**  $w'$  **where**  $w \sim w'$   $p \leq_p w'$   
 $\langle proof \rangle$

**lemmas** *rotate-into-suf-sq = rotate-into-pref-sq[reversed]*

**lemma** *rotate-into-pos*: **assumes**  $s \cdot p \leq_f w$   
**obtains**  $w'$  **where**  $w \sim w'$   $p \leq_p w'$   $s \leq_s w'$   
(*proof*)

**lemma** *rotate-into-pos-conjug*: **assumes**  $w \sim v$  **and**  $s \cdot p \leq_f v$   
**obtains**  $w'$  **where**  $w \sim w'$   $p \leq_p w'$   $s \leq_s w'$   
(*proof*)

**lemma** *nconjug-neg*:  $\neg u \sim v \implies u \neq v$   
(*proof*)

**lemma** *prim-conjug*:  
**assumes** *prim*: primitive  $u$  **and** *conjug*:  $u \sim v$   
**shows** primitive  $v$   
(*proof*)

**lemma** *conjug-prim-iff*: **assumes**  $u \sim v$  **shows** primitive  $u =$  primitive  $v$   
(*proof*)

**lemmas** *conjug-prim-iff' = conjug-prim-iff[OF conjugI]*

**lemmas** *conjug-concat-prim-iff = conjug-concat-conjug[THEN conjug-prim-iff]*

**lemma** *conjug-eq-primrootE* [*elim, consumes 2*]:  
**assumes** *eq*:  $x \cdot z = z \cdot y$  **and**  $x \neq \varepsilon$   
**obtains**  $r$   $s$   $i$   $n$  **where**  
     $(r \cdot s)^{\textcircled{i}} = x$  **and**  
     $(s \cdot r)^{\textcircled{i}} = y$  **and**  
     $(r \cdot s)^{\textcircled{n}} \cdot r = z$  **and**  
     $s \neq \varepsilon$  **and**  $0 < i$  **and** primitive  $(r \cdot s)$   
    **and** primitive  $(s \cdot r)$   
(*proof*)

**lemma** *conjug-primrootsE*: **assumes**  $\varrho p \sim \varrho q$   
**obtains**  $r$   $s$   $k$   $l$  **where**  $p = (r \cdot s)^{\textcircled{k}}$  **and**  $q = (s \cdot r)^{\textcircled{l}}$  **and** primitive  $(r \cdot s)$   
(*proof*)

**lemma** *root-conjug*:  $u \leq_p r \cdot u \implies u^{-1} \triangleright (r \cdot u) \sim r$   
(*proof*)

**lemmas** *conjug-prim-iff-pref = conjug-prim-iff[OF root-conjug]*

**lemma** *conjug-primroot-word*:  
**assumes** *conjug*:  $u \cdot t = t \cdot v$   
**shows**  $(\varrho u) \cdot t = t \cdot (\varrho v)$

*<proof>*

**lemma** *conjug-primroot*:

**assumes**  $u \sim v$

**shows**  $\varrho u \sim \varrho v$

*<proof>*

**lemma** *conjug-primroots-nemp*: **assumes**  $x \cdot y \neq y \cdot x$  **and**  $r \cdot s = \varrho(x \cdot y)$  **and**  
 $s \cdot r = \varrho(y \cdot x)$

**shows**  $r \neq \varepsilon$  **and**  $s \neq \varepsilon$

*<proof>*

**lemma** *conjugE-primrootsE[elim]*: **assumes**  $x \cdot y \neq y \cdot x$

**obtains**  $r s$  **where**  $r \cdot s = \varrho(x \cdot y)$  **and**  $s \cdot r = \varrho(y \cdot x)$  **and**  $r \neq \varepsilon$  **and**  $s \neq \varepsilon$

*<proof>*

**lemma** *conjug-add-exp*:  $u \sim v \implies u^{\textcircled{k}} \sim v^{\textcircled{k}}$

*<proof>*

**lemma** *conjug-primroot-iff*:

**assumes** *nemp*:  $u \neq \varepsilon$  **and** *len*:  $|u| = |v|$

**shows**  $\varrho u \sim \varrho v \longleftrightarrow u \sim v$

*<proof>*

**lemma** *two-conjugs-aux*: **assumes**  $u \cdot v = x \cdot y$  **and**  $v \cdot u = y \cdot x$  **and**  $u \neq \varepsilon$  **and**  $u \neq$   
 $x$  **and**  $|u| \leq |x|$

**obtains**  $r s k l m n$  **where**

$u = (s \cdot r)^{\textcircled{k}} \cdot s$  **and**  $v = (r \cdot s)^{\textcircled{l}} \cdot r$  **and**

$x = (s \cdot r)^{\textcircled{m}} \cdot s$  **and**  $y = (r \cdot s)^{\textcircled{n}} \cdot r$  **and**

*primitive*  $(r \cdot s)$  **and** *primitive*  $(s \cdot r)$

*<proof>*

**lemma** *two-conjugs*: **assumes**  $u \cdot v = x \cdot y$  **and**  $v \cdot u = y \cdot x$  **and**  $u \neq \varepsilon$  **and**  $x \neq \varepsilon$   
**and**  $u \neq x$

**obtains**  $r s k l m n$  **where**

$u = (s \cdot r)^{\textcircled{k}} \cdot s$  **and**  $v = (r \cdot s)^{\textcircled{l}} \cdot r$  **and**

$x = (s \cdot r)^{\textcircled{m}} \cdot s$  **and**  $y = (r \cdot s)^{\textcircled{n}} \cdot r$  **and**

*primitive*  $(r \cdot s)$  **and** *primitive*  $(s \cdot r)$

*<proof>*

**lemma** *fac-pow-pref-conjug*:

**assumes**  $u \leq_f t^{\textcircled{k}}$

**obtains**  $t'$  **where**  $t \sim t'$  **and**  $u \leq_p t'^{\textcircled{k}}$

*<proof>*

**lemmas** *fac-pow-suf-conjug* = *fac-pow-pref-conjug*[reversed]

**lemma** *fac-pow-len-conjug*[intro]: **assumes**  $|u| = |v|$  **and**  $u \leq_f v^{\textcircled{k}}$  **shows**  $v \sim u$

*<proof>*

**lemma** *conjug-fac-sq*:  
 $u \sim v \implies u \leq_f v \cdot v$   
 ⟨proof⟩

**lemma** *conjug-fac-pow-conv*: **assumes**  $|u| = |v|$  **and**  $2 \leq k$   
**shows**  $u \sim v \iff u \leq_f v^{\textcircled{k}}$   
 ⟨proof⟩

**lemma** *conjug-fac-Suc*: **assumes**  $t \sim v$   
**shows**  $t^{\textcircled{k}} \leq_f v^{\textcircled{Suc\ k}}$   
 ⟨proof⟩

**lemma** *fac-pow-conjug*: **assumes**  $u \leq_f v^{\textcircled{k}}$  **and**  $t \sim v$   
**shows**  $u \leq_f t^{\textcircled{Suc\ k}}$   
 ⟨proof⟩

**lemma** *border-conjug*:  $x \leq_b w \implies w^{<-1} x \sim x^{-1} w$   
 ⟨proof⟩

**lemma** *count-list-conjug*: **assumes**  $u \sim v$  **shows**  $\text{count-list } u \ a = \text{count-list } v \ a$   
 ⟨proof⟩

**lemma** *conjug-in-lists*:  $us \sim vs \implies vs \in \text{lists } A \implies us \in \text{lists } A$   
 ⟨proof⟩

**lemma** *conjug-in-lists'*:  $us \sim vs \implies us \in \text{lists } A \implies vs \in \text{lists } A$   
 ⟨proof⟩

**lemma** *conjug-in-lists-iff*:  $us \sim vs \implies us \in \text{lists } A \iff vs \in \text{lists } A$   
 ⟨proof⟩

**lemma** *prim-conjug-unique*: **assumes** *primitive*  $(u \cdot v)$  **and**  $u \cdot v = r \cdot s$  **and**  $v \cdot u = s \cdot r$  **and**  $u \cdot v \neq v \cdot u$   
**shows**  $u = r$  **and**  $v = s$   
 ⟨proof⟩

**lemma** *prim-conjugE[elim, consumes 3]*: **assumes**  $(u \cdot v) \cdot z = z \cdot (v \cdot u)$  **and** *primitive*  $(u \cdot v)$  **and**  $v \neq \varepsilon$   
**obtains**  $k$  **where**  $(u \cdot v)^{\textcircled{k}} \cdot u = z$   
 ⟨proof⟩

**lemma** *prim-conjugE'[elim, consumes 3]*: **assumes**  $(r \cdot s) \cdot z = z \cdot (s \cdot r)$  **and** *primitive*  $(r \cdot s)$  **and**  $z \neq \varepsilon$   
**obtains**  $k$  **where**  $(r \cdot s)^{\textcircled{k}} \cdot r = z$   
 ⟨proof⟩

**lemma** *conjug-primroots-unique*: **assumes**  $x \cdot y \neq y \cdot x$  **and**



$r \cdot s = \varrho (x \cdot y)$  and  $s \cdot r = \varrho (y \cdot x)$  and  
 $r' \cdot s' = \varrho (x \cdot y)$  and  $s' \cdot r' = \varrho (y \cdot x)$   
**shows**  $r = r'$  and  $s = s'$

*<proof>*

**lemma** *prim-conjug-pref*: **assumes** *primitive*  $(s \cdot r)$  and  $u \cdot r \cdot s \leq_p (s \cdot r)^{\textcircled{n}}$   
and  $r \neq \varepsilon$

**obtains**  $n$  where  $(s \cdot r)^{\textcircled{n}} \cdot s = u$

*<proof>*

**lemma** *fac-per-conjug*: **assumes** *period*  $w$   $n$  and  $v \leq_f w$  and  $|v| = n$

**shows**  $v \sim \text{take } n \ w$

*<proof>*

**lemma** *fac-pers-conjug*: **assumes** *period*  $w$   $n$  and  $v \leq_f w$  and  $|v| = n$  and  $u \leq_f$   
 $w$  and  $|u| = n$

**shows**  $v \sim u$

*<proof>*

**lemma** *conjug-pow-powE*: **assumes**  $w \sim r^{\textcircled{k}}$  **obtains**  $s$  where  $w = s^{\textcircled{k}}$

*<proof>*

**lemma** *find-second-letter*: **assumes**  $a \neq b$  and *set*  $ws = \{a, b\}$

**shows** *dropWhile*  $(\lambda c. c = a) \ ws \neq \varepsilon$  and *hd*  $(\text{dropWhile } (\lambda c. c = a) \ ws) = b$

*<proof>*

**lemma** *fac-conjug-sq*:

**assumes**  $u \sim v$  and  $|w| \leq |u|$  and  $w \leq_f u \cdot u$

**shows**  $w \leq_f v \cdot v$

*<proof>*

**lemma** *fac-conjug-sq-iff*:

**assumes**  $u \sim v$  **shows**  $|w| \leq |u| \implies w \leq_f u \cdot u \iff w \leq_f v \cdot v$

*<proof>*

**lemma** *map-conjug*:

$u \sim v \implies \text{map } f \ u \sim \text{map } f \ v$

*<proof>*

**lemma** *map-conjug-iff* [*reversal-rule*]:

**assumes** *inj*  $f$  **shows**  $\text{map } f \ u \sim \text{map } f \ v \iff u \sim v$

*<proof>*

**lemma** *card-conjug*: **assumes**  $w \neq \varepsilon$

**shows** *card*  $(\text{Collect } (\text{conjugate } w)) = |\varrho \ w|$

*<proof>*

**lemma** *finite-Bex-conjug*: **assumes** *finite*  $A$

**shows** *finite*  $\{r. \text{Bex } A \ (\text{conjugate } r)\}$

*<proof>*

### 2.22.1 Enumerating conjugates

**definition** *bounded-conjug*

**where** *bounded-conjug*  $w' w k \equiv (\exists n \leq k. w = \text{rotate } n w')$

**named-theorems** *bounded-conjug*

**lemma**[*bounded-conjug*]: *bounded-conjug*  $w' w 0 \longleftrightarrow w = w'$

*<proof>*

**lemma**[*bounded-conjug*]: *bounded-conjug*  $w' w (\text{Suc } k) \longleftrightarrow \text{bounded-conjug } w' w k \vee w = \text{rotate } (\text{Suc } k) w'$

*<proof>*

**lemma**[*bounded-conjug*]:  $w' \sim w \longleftrightarrow \text{bounded-conjug } w w' (|w|-1)$

*<proof>*

**lemma**  $w \sim [a,b,c] \longleftrightarrow w = [a,b,c] \vee w = [b,c,a] \vee w = [c,a,b]$

*<proof>*

### 2.22.2 General lemmas using conjugation

**lemma** *switch-fac*: **assumes**  $x \neq y$  **and** *set*  $ws = \{x,y\}$  **shows**  $[x,y] \leq_f ws \cdot ws$

*<proof>*

**lemma** *imprim-ext-pref-comm*: **assumes**  $\neg \text{primitive } (u \cdot v)$  **and**  $\neg \text{primitive } (u \cdot v \cdot u)$

**shows**  $u \cdot v = v \cdot u$

*<proof>*

**lemma** *imprim-ext-suf-comm*:

$\neg \text{primitive } (u \cdot v) \implies \neg \text{primitive } (u \cdot v \cdot v) \implies u \cdot v = v \cdot u$

*<proof>*

**lemma** *prim-xyky*: **assumes**  $2 \leq k$  **and**  $\neg \text{primitive } ((x \cdot y)^{\textcircled{k}} \cdot y)$  **shows**  $x \cdot y = y \cdot x$

*<proof>*

**lemma** *fac-pow-div*: **assumes**  $u \leq_f w^{\textcircled{l}}$  **primitive**  $w$

**shows**  $w^{\textcircled{(|u| \text{ div } |w| - 1)}} \leq_f u$

*<proof>*

## 2.23 Element of lists: a method for testing if a word is in lists A

**lemma** *append-in-lists*[*simp, intro*]:  $u \in \text{lists } A \implies v \in \text{lists } A \implies u \cdot v \in \text{lists } A$

*<proof>*

**lemma** *pref-in-lists*:  $u \leq_p v \implies v \in \text{lists } A \implies u \in \text{lists } A$   
(proof)

**lemmas** *suf-in-lists* = *pref-in-lists*[reversed]

**lemma** *fac-in-lists*:  $ws \in \text{lists } S \implies vs \leq_f ws \implies vs \in \text{lists } S$   
(proof)

**lemma** *lq-in-lists*:  $v \in \text{lists } A \implies u^{-1} > v \in \text{lists } A$   
(proof)

**lemmas** *rq-in-lists* = *lq-in-lists*[reversed]

**lemma** *take-in-lists*:  $w \in \text{lists } A \implies \text{take } j \ w \in \text{lists } A$   
(proof)

**lemma** *drop-in-lists*:  $w \in \text{lists } A \implies \text{drop } j \ w \in \text{lists } A$   
(proof)

**lemma** *lcp-in-lists*:  $u \in \text{lists } A \implies u \wedge_p v \in \text{lists } A$   
(proof)

**lemma** *lcp-in-lists'*:  $v \in \text{lists } A \implies u \wedge_p v \in \text{lists } A$   
(proof)

**lemma** *append-in-lists-dest*:  $u \cdot v \in \text{lists } A \implies u \in \text{lists } A$   
(proof)

**lemma** *append-in-lists-dest'*:  $u \cdot v \in \text{lists } A \implies v \in \text{lists } A$   
(proof)

**lemma** *pow-in-lists*:  $u \in \text{lists } A \implies u^{\textcircled{k}} \in \text{lists } A$   
(proof)

**lemma** *takeWhile-in-list*:  $u \in \text{lists } A \implies \text{takeWhile } P \ u \in \text{lists } A$   
(proof)

**lemma** *rev-in-lists*:  $u \in \text{lists } A \implies \text{rev } u \in \text{lists } A$   
(proof)

**lemma** *append-in-lists-dest1*:  $u \cdot v = w \implies w \in \text{lists } A \implies u \in \text{lists } A$   
(proof)

**lemma** *append-in-lists-dest2*:  $u \cdot v = w \implies w \in \text{lists } A \implies v \in \text{lists } A$   
(proof)

**lemma** *pow-in-lists-dest1*:  $u \cdot v = w^{\textcircled{n}} \implies w \in \text{lists } A \implies u \in \text{lists } A$   
(proof)

**lemma** *pow-in-lists-dest1-sym*:  $w^{\textcircled{n}} = u \cdot v \implies w \in \text{lists } A \implies u \in \text{lists } A$   
 ⟨proof⟩

**lemma** *pow-in-lists-dest2*:  $u \cdot v = w^{\textcircled{n}} \implies w \in \text{lists } A \implies v \in \text{lists } A$   
 ⟨proof⟩

**lemma** *pow-in-lists-dest2-sym*:  $w^{\textcircled{n}} = u \cdot v \implies w \in \text{lists } A \implies v \in \text{lists } A$   
 ⟨proof⟩

**lemma** *per-in-lists*:  $w <_p r \cdot w \implies r \in \text{lists } A \implies w \in \text{lists } A$   
 ⟨proof⟩

**lemma** *nth-in-lists*:  $j < |w| \implies w \in \text{lists } A \implies w ! j \in A$   
 ⟨proof⟩

**method** *inlists* =

(insert method-facts, use nothing in <  
 ((elim *suf-in-lists* | elim *pref-in-lists*[*elim-format*] | rule *lcp-in-lists* | rule *drop-in-lists*  
 |  
 rule *lq-in-lists* | rule *rq-in-lists* |  
 rule *take-in-lists* | intro *lq-in-lists* | rule *nth-in-lists* |  
 rule *append-in-lists* | elim *conjug-in-lists* | rule *pow-in-lists* | rule *takeWhile-in-list*  
 | elim *append-in-lists-dest1* | elim *append-in-lists-dest2*  
 | elim *pow-in-lists-dest2* | elim *pow-in-lists-dest2-sym*  
 | elim *pow-in-lists-dest1* | elim *pow-in-lists-dest1-sym*)  
 | (*simp* | *fact*)+>)

## 2.24 Reversed mappings

**definition** *rev-map* :: ('a list  $\Rightarrow$  'b list)  $\Rightarrow$  ('a list  $\Rightarrow$  'b list) **where**  
*rev-map* f = rev  $\circ$  f  $\circ$  rev

**lemma** *rev-map-idemp*[*simp*]: *rev-map* (*rev-map* f) = f  
 ⟨proof⟩

**lemma** *rev-map-arg*: *rev-map* f u = rev (f (rev u))  
 ⟨proof⟩

**lemma** *rev-map-arg'*: rev ((*rev-map* f) w) = f (rev w)  
 ⟨proof⟩

**lemmas** *rev-map-arg-rev*[*reversal-rule*] = *rev-map-arg*[*reversed add*: *rev-rev-ident*]

**lemma** *rev-map-sing*: *rev-map* f [a] = rev (f [a])  
 ⟨proof⟩

**lemma** *rev-maps-eq-iff*[*simp*]: *rev-map* g = *rev-map* h  $\iff$  g = h  
 ⟨proof⟩

**lemma** *rev-map-funpow[reversal-rule]*:  $(\text{rev-map } (f::'a \text{ list} \Rightarrow 'a \text{ list})) \sim k = \text{rev-map } (f \sim k)$   
 ⟨proof⟩

## 2.25 Overlapping powers, periods, prefixes and suffixes

**lemma** *pref-suf-overlapE*: **assumes**  $p \leq_p w$  **and**  $s \leq_s w$  **and**  $|w| \leq |p| + |s|$   
**obtains**  $p1 \cdot u \cdot s1 = w$  **and**  $p1 \cdot u = p$  **and**  $u \cdot s1 = s$   
 ⟨proof⟩

**lemma** *mid-sq*: **assumes**  $p \cdot x \cdot q = x \cdot x$  **shows**  $x \cdot p = p \cdot x$  **and**  $x \cdot q = q \cdot x$   
 ⟨proof⟩

**lemma** *mid-sq'*: **assumes**  $p \cdot x \cdot q = x \cdot x$  **shows**  $q \cdot p = x$  **and**  $p \cdot q = x$   
 ⟨proof⟩

**lemma** *mid-sq-pref*:  $p \cdot u \leq_p u \cdot u \implies p \cdot u = u \cdot p$   
 ⟨proof⟩

**lemmas** *mid-sq-suf* = *mid-sq-pref[reversed]*

**lemma** *mid-sq-pref-suf*: **assumes**  $p \cdot x \cdot q = x \cdot x$  **shows**  $p \leq_p x$  **and**  $p \leq_s x$  **and**  $q \leq_p x$  **and**  $q \leq_s x$   
 ⟨proof⟩

**lemma** *mid-pow*: **assumes**  $p \cdot x^{\textcircled{n}}(\text{Suc } l) \cdot q = x^{\textcircled{n}} k$   
**shows**  $x \cdot p = p \cdot x$  **and**  $x \cdot q = q \cdot x$   
 ⟨proof⟩

**lemma** *root-suf-comm*: **assumes**  $x \leq_p r \cdot x$  **and**  $r \leq_s r \cdot x$  **shows**  $r \cdot x = x \cdot r$   
 ⟨proof⟩

**lemma** *pref-marker*: **assumes**  $w \leq_p v \cdot w$  **and**  $u \cdot v \leq_p w$   
**shows**  $u \cdot v = v \cdot u$   
 ⟨proof⟩

**lemma** *pref-marker-ext*: **assumes**  $|x| \leq |y|$  **and**  $v \neq \varepsilon$  **and**  $y \cdot v \leq_p x \cdot v^{\textcircled{n}} k$   
**obtains**  $n$  **where**  $y = x \cdot (v)^{\textcircled{n}}$   
 ⟨proof⟩

**lemma** *pref-marker-sq*:  $p \cdot x \leq_p x \cdot x \implies p \cdot x = x \cdot p$   
 ⟨proof⟩

**lemmas** *suf-marker-sq* = *pref-marker-sq[reversed]*

**lemma** *pref-marker-conjug*: **assumes**  $w \neq \varepsilon$  **and**  $w \cdot r \cdot s \leq_p s \cdot (r \cdot s)^{\textcircled{m}}$  **and**

*primitive*  $(r \cdot s)$

**obtains**  $n$  **where**  $w = s \cdot (r \cdot s)^{\textcircled{n}}$

*<proof>*

**lemmas** *pref-marker-reversed* = *pref-marker[reversed]*

**lemma** *suf-marker-per-root*: **assumes**  $w \leq_p v \cdot w$  **and**  $p \cdot v \cdot u \leq_p w$

**shows**  $u \leq_p v \cdot u$

*<proof>*

**lemma** *suf-marker-per-root'*: **assumes**  $w \leq_p v \cdot w$  **and**  $p \cdot v \cdot u \leq_p w$  **and**  $v \neq \varepsilon$

**shows**  $u \leq_p p \cdot u$

*<proof>*

**lemma** *marker-fac-pref*: **assumes**  $u \leq_f r^{\textcircled{k}}$  **and**  $r \leq_p u$  **shows**  $u \leq_p r^{\textcircled{k}}$

*<proof>*

**lemma** *marker-fac-pref-len*: **assumes**  $u \leq_f r^{\textcircled{k}}$  **and**  $t \leq_p u$  **and**  $|t| = |r|$

**shows**  $u \leq_p t^{\textcircled{k}}$

*<proof>*

**lemma** *root-suf-comm'*:  $x \leq_p r \cdot x \implies r \leq_s x \implies r \cdot x = x \cdot r$

*<proof>*

**lemmas** *suf-root-pref-comm* = *root-suf-comm'[reversed]*

**lemma** *marker-pref-suf-fac*: **assumes**  $u \leq_p v$  **and**  $u \leq_s v$  **and**  $v \leq_f u^{\textcircled{k}}$

**shows**  $u \cdot v = v \cdot u$

*<proof>*

**lemma** *pref-suf-per-fac-comm*:

**assumes**  $v \leq_p u \cdot v$  **and**  $v \leq_s v \cdot u$  **and**  $u \leq_f v^{\textcircled{k}}$  **shows**  $u \cdot v = v \cdot u$

*<proof>*

**lemma** *mid-long-pow*: **assumes** eq:  $y^{\textcircled{m}} = u \cdot x^{\textcircled{m}}(\text{Suc } k) \cdot v$  **and**  $|y| \leq |x^{\textcircled{k}}|$

**shows**  $(u \cdot v) \cdot y = y \cdot (u \cdot v)$  **and**  $(u \cdot x^{\textcircled{l}} \cdot v) \cdot y = y \cdot (u \cdot x^{\textcircled{l}} \cdot v)$  **and**  
 $(u^{-1} \cdot y \cdot u) \cdot x = x \cdot (u^{-1} \cdot y \cdot u)$

*<proof>*

**lemma** *mid-pow-pref-suf'*: **assumes**  $s \cdot w^{\textcircled{l}}(\text{Suc } l) \cdot p \leq_f w^{\textcircled{k}}$  **shows**  $p \leq_p w^{\textcircled{k}}$  **and**  
 $s \leq_s w^{\textcircled{k}}$

*<proof>*

**lemma** *mid-pow-pref-suf*: **assumes**  $s \cdot w \cdot p \leq_f w^{\textcircled{k}}$  **shows**  $p \leq_p w^{\textcircled{k}}$  **and**  $s \leq_s$   
 $w^{\textcircled{k}}$

*<proof>*

**lemma** *fac-marker-pref*:  $y \cdot x \leq_f y^{\textcircled{k}}$   $\implies x \leq_p y \cdot x$

*<proof>*

**lemmas** *fac-marker-suf* = *fac-marker-pref*[reversed]

**lemma** *prim-overlap-sqE* [consumes 2]:

**assumes** *prim*: primitive *r* **and** *eq*:  $p \cdot r \cdot q = r \cdot r$

**obtains** (*pref-emp*)  $p = \varepsilon$  | (*suff-emp*)  $q = \varepsilon$

*<proof>*

**lemma** *prim-overlap-sqE'* [consumes 2]:

**assumes** *prim*: primitive *r* **and** *eq*:  $p \cdot r \cdot q = r \cdot r$

**obtains** (*pref-emp*)  $p = \varepsilon$  | (*suff-emp*)  $p = r$

*<proof>*

**lemma** *prim-overlap-sq*:

**assumes** *prim*: primitive *r* **and** *eq*:  $p \cdot r \cdot q = r \cdot r$

**shows**  $p = \varepsilon \vee q = \varepsilon$

*<proof>*

**lemma** *prim-overlap-sq'*:

**assumes** *prim*: primitive *r* **and** *pref*:  $p \cdot r \leq_p r \cdot r$  **and** *len*:  $|p| < |r|$

**shows**  $p = \varepsilon$

*<proof>*

**lemma** *prim-overlap-pow*:

**assumes** *prim*: primitive *r* **and** *pref*:  $u \cdot r \leq_p r^{\textcircled{k}}$

**obtains** *i* **where**  $u = r^{\textcircled{i}}$  **and**  $i < k$

*<proof>*

**lemma** *prim-overlap-pow'*:

**assumes** *prim*: primitive *r* **and** *pref*:  $u \cdot r \leq_p r^{\textcircled{k}}$  **and** *less*:  $|u| < |r|$

**shows**  $u = \varepsilon$

*<proof>*

**lemma** *prim-sqs-overlap*:

**assumes** *prim*: primitive *r* **and** *comp*:  $u \cdot r \cdot r \bowtie v \cdot r \cdot r$

**and** *len-u*:  $|u| < |v| + |r|$  **and** *len-v*:  $|v| < |u| + |r|$

**shows**  $u = v$

*<proof>*

**lemma** *drop-pref-prim*: **assumes**  $\text{Suc } n < |w|$  **and**  $w \leq_p \text{drop } (\text{Suc } n) (w \cdot w)$   
**and** primitive *w*

**shows** *False*

*<proof>*

**lemma** *root-suf-conjug*: **assumes** primitive  $(s \cdot r)$  **and**  $y \leq_p (s \cdot r) \cdot y$  **and**  $y \leq_s$   
 $y \cdot (r \cdot s)$  **and**  $|s \cdot r| \leq |y|$

**obtains** *l* **where**  $y = (s \cdot r)^{\textcircled{l}} \cdot s$

*<proof>*

**lemma** *pref-suf-pows-comm*: **assumes**  $x \leq_p y^{\textcircled{}}(Suc\ k) \cdot x^{\textcircled{}}l$  **and**  $y \leq_s y^{\textcircled{}}m \cdot x^{\textcircled{}}(Suc\ n)$

**shows**  $x \cdot y = y \cdot x$   
*<proof>*

**lemma** *root-suf-pow-comm*: **assumes**  $x \leq_p r \cdot x$  **and**  $r \leq_s x^{\textcircled{}}(Suc\ k)$  **shows**  $r \cdot x = x \cdot r$

*<proof>*

**lemma** *suf-pow-short-suf*:  $r \leq_s x^{\textcircled{}}k \implies |x| \leq |r| \implies x \leq_s r$

*<proof>*

**thm** *suf-pow-short-suf[reversed]*

**lemma** *sq-short-per*: **assumes**  $|u| \leq |v|$  **and**  $v \cdot v \leq_p u \cdot (v \cdot v)$

**shows**  $u \cdot v = v \cdot u$   
*<proof>*

**lemma** *fac-marker*: **assumes**  $w \leq_p u \cdot w$  **and**  $u \cdot v \cdot u \leq_f w$

**shows**  $u \cdot v = v \cdot u$   
*<proof>*

**lemma**  $4 = Suc(Suc(Suc(Suc\ 0)))$

*<proof>*

**lemma** *xyxy-conj-yxxy*: **assumes**  $x \cdot y \cdot x \cdot y \sim y \cdot x \cdot x \cdot y$

**shows**  $x \cdot y = y \cdot x$   
*<proof>*

**lemma** *per-glue*: **assumes** *period*  $u\ n$  **and** *period*  $v\ n$  **and**  $u \leq_p w$  **and**  $v \leq_s w$  **and**

$$|w| + n \leq |u| + |v|$$

**shows** *period*  $w\ n$

*<proof>*

**lemma** *per-glue-facs*: **assumes**  $u \cdot z \leq_f w^{\textcircled{}}k$  **and**  $z \cdot v \leq_f w^{\textcircled{}}m$  **and**  $|w| \leq |z|$

**obtains**  $l$  **where**  $u \cdot z \cdot v \leq_f w^{\textcircled{}}l$

*<proof>*

**lemma** *per-fac-pow-fac*: **assumes** *period*  $w\ n$  **and**  $v \leq_f w$  **and**  $|v| = n$

**obtains**  $k$  **where**  $w \leq_f v^{\textcircled{}}k$

*<proof>*

**lemma** *refine-per*: **assumes** *period*  $w\ n$  **and**  $v \leq_f w$  **and**  $n \leq |v|$  **and** *period*  $v\ k$  **and**  $k\ dvd\ n$

**shows** *period*  $w\ k$

*<proof>*



**lemma** *xy-per-comp*: **assumes**  $x \cdot y \leq p \cdot q \cdot x \cdot y$

**and**  $q \neq \varepsilon$  **and**  $q \bowtie y$

**shows**  $x \bowtie y$

*<proof>*

**lemma** *prim-xyxy*:  $x \cdot y \neq y \cdot x \implies \text{primitive } (x \cdot y \cdot x \cdot y \cdot y)$

*<proof>*

**lemma** *prim-min-per-suf-eq*: **assumes** *primitive*  $x$  **and**  $\pi x \leq s \cdot x$  **shows**  $\pi x = x$

*<proof>*

**lemma** *primroot-code*[code]:  $\varrho x = (\text{if } x \neq \varepsilon \text{ then } (\text{if } \pi x \leq s \cdot x \text{ then } \pi x \text{ else } x) \text{ else } \text{Code.abort } (\text{STR } \text{"Empty word has no primitive root."}) (\lambda \cdot. (\varrho x)))$

*<proof>*

**lemma** *per-lemma-pref-suf*: **assumes**  $w < p \cdot w$  **and**  $w < s \cdot w \cdot q$  **and**

*fw*:  $|p| + |q| \leq |w|$

**obtains**  $r \cdot s \cdot k \cdot l \cdot m$  **where**  $p = (r \cdot s)^{\textcircled{a}}k$  **and**  $q = (s \cdot r)^{\textcircled{a}}l$  **and**  $w = (r \cdot s)^{\textcircled{a}}m \cdot r$  **and** *primitive*  $(r \cdot s)$

*<proof>*

**lemma** *fac-two-conjug-primroot*:

**assumes** *facs*:  $w \leq f \cdot p^{\textcircled{a}}k$   $w \leq f \cdot q^{\textcircled{a}}l$  **and** *nemps*:  $p \neq \varepsilon$   $q \neq \varepsilon$  **and** *len*:  $|p| + |q| \leq |w|$

**obtains**  $r \cdot s \cdot m$  **where**  $\varrho p \sim r \cdot s$  **and**  $\varrho q \sim r \cdot s$  **and**  $w = (r \cdot s)^{\textcircled{a}}m \cdot r$  **and** *primitive*  $(r \cdot s)$

*<proof>*

**corollary** *fac-two-conjug-primroot'*:

**assumes** *facs*:  $u \leq f \cdot r^{\textcircled{a}}k$   $u \leq f \cdot s^{\textcircled{a}}l$  **and** *nemps*:  $r \neq \varepsilon$   $s \neq \varepsilon$  **and** *len*:  $|r| + |s| \leq |u|$

**shows**  $\varrho r \sim \varrho s$

*<proof>*

**lemma** *fac-two-conjug-primroot''*:

**assumes** *facs*:  $u \leq f \cdot r^{\textcircled{a}}k$   $u \leq f \cdot s^{\textcircled{a}}l$  **and**  $u \neq \varepsilon$  **and** *len*:  $|r| + |s| \leq |u|$

**shows**  $\varrho r \sim \varrho s$

*<proof>*

**lemma** *fac-two-prim-conjug*:

**assumes**  $w \leq f \cdot u^{\textcircled{a}}n$   $w \leq f \cdot v^{\textcircled{a}}m$  *primitive*  $u$  *primitive*  $v$   $|u| + |v| \leq |w|$

**shows**  $u \sim v$

*<proof>*

**lemma** *fac-pow-conjug-primroot*: **assumes**  $u^{\textcircled{a}}k \leq f \cdot v^{\textcircled{a}}l$  **and**  $|u^{\textcircled{a}}k| \geq 2 \cdot |v|$  **and**  $2 \leq k$  **and**  $u \neq \varepsilon$

**shows**  $\varrho u \sim \varrho v$

*<proof>*

## 2.26 Testing primitivity

This section defines a proof method used to prove that a word is primitive.

**lemma** *primitive-iff* [code]: *primitive*  $w \longleftrightarrow \neg w \leq_f tl\ w \cdot butlast\ w$   
(*proof*)

**method** *primitivity-inspection* = (*insert method-facts, use nothing in*  
*⟨simp add: primitive-iff pow-pos⟩*)

— This is out of scope of the method, and has to be proved separately

**lemma** *alternate-prim*: **assumes**  $x \neq y$  **shows** *primitive* ( $[x,y]^{\textcircled{n}}[x]$ )  
(*proof*)

**end**

**theory** *Border-Array*

**imports**  
*CoWBasic*

**begin**

### 2.26.1 Auxiliary lemmas on suffix and border extension

**lemma** *border-ConsD*: **assumes**  $b\#x \leq b\ a\#w$   
**shows**  $a = b$  **and**

$x \neq \varepsilon \implies x \leq b\ w$  **and**  
*border-ConsD-neg*:  $x \neq w$  **and**  
*border-ConsD-pref*:  $x \leq_p w$  **and**  
*border-ConsD-suf*:  $x \leq_s w$

(*proof*)

**lemma** *ext-suf-Cons*:

$Suc\ i + |u| = |w| \implies u \leq_s w \implies (w!i)\#u \leq_s (w!i)\#w$   
(*proof*)

**lemma** *ext-suf-Cons-take-drop*: **assumes**  $take\ k\ (drop\ (Suc\ i)\ w) \leq_s drop\ (Suc\ i)\ w$  **and**  $w!i = w!(|w| - Suc\ k)$

**shows**  $take\ (Suc\ k)\ (drop\ i\ w) \leq_s drop\ i\ w$   
(*proof*)

**lemma** *ext-border-Cons*:

$Suc\ i + |u| = |w| \implies u \leq b\ w \implies (w!i)\#u \leq b\ (w!i)\#w$   
*<proof>*

**lemma** *border-add-Cons-len*: **assumes** *max-borderP*  $u\ w$  **and**  $v \leq b\ (a\#w)$  **shows**  
 $|v| \leq Suc\ |u|$

*<proof>*

## 2.27 Computing the Border Array

The computation is a special case of the Knuth-Morris-Pratt algorithm.

- KMP  $w\ arr\ bord\ pos$
- $w$ : processed word does not change; it is processed starting from the last letter
- $pos$ : actually examined  $pos$ -th letter; that is, it is  $w!(pos-1)$
- $arr$ : already calculated suffix-border-array of  $w$ ; that is, the length of array is  $(|w| - pos)$  and  $arr!(|w| - pos - bord)$  is the max border length of the suffix of  $w$  of length  $bord$
- $bord$ : length of the current max border length candidate to see whether it can be extended we compare:  $w!(pos-1) ?= w!(|w| - (Suc\ bord))$ ;  $(Suc\ bord)$  is the length of the max border if the comparison is succesful
- if the comparison fails we move to the max border of the suffix of length  $bord$ ; its max border length is stored in  $arr!(|w| - pos - bord)$
- if  $bord$  was 0 and the comparison failed, the word is unbordered

**fun** *KMP-arr* :: 'a list  $\Rightarrow$  nat list  $\Rightarrow$  nat  $\Rightarrow$  nat  $\Rightarrow$  nat list

**where** *KMP-arr* -  $arr - 0 = arr$  |

*KMP-arr*  $w\ arr\ bord\ (Suc\ i) =$   
*(if*  $w!i = w!(|w| - (Suc\ bord))$

*then*  $(Suc\ bord)\#arr$

*else* *(if*  $bord = 0$

*then*  $0\#arr$

*else* *(if*  $(arr!(|w| - (Suc\ i) - bord)) < bord$  — always True, for sake

of termination

*then*  $arr$

*else*  $undefined\#arr$  — else: dummy termination condition

)

)

)

```

fun KMP-bord :: 'a list ⇒ nat list ⇒ nat ⇒ nat ⇒ nat
  where   KMP-bord - - bord 0 = bord |
           KMP-bord w arr bord (Suc i) =
             (if w!i = w!(|w| - (Suc bord))
              then Suc bord
              else (if bord = 0
                    then 0
                    else (if (arr!(|w| - (Suc i) - bord)) < bord — always True, for sake
of termination
                           then arr!(|w| - (Suc i) - bord)
                           else 0 — dummy termination condition
                    )
              )
           )

```

```

fun KMP-pos :: 'a list ⇒ nat list ⇒ nat ⇒ nat ⇒ nat
  where   KMP-pos - - - 0 = 0 |
           KMP-pos w arr bord (Suc i) =
             (if w!i = w!(|w| - (Suc bord))
              then i
              else (if bord = 0
                    then i
                    else (if (arr!(|w| - (Suc i) - bord)) < bord — always True, for sake
of termination
                           then Suc i
                           else i — else: dummy termination condition
                    )
              )
           )

```

```

thm prod-cases
  nat.exhaust
  prod.exhaust
  prod-cases3

```

```

function KMP :: 'a list ⇒ nat list ⇒ nat ⇒ nat ⇒ nat list where
  KMP w arr bord 0 = arr |
  KMP w arr bord (Suc i) = KMP w (KMP-arr w arr bord (Suc i)) (KMP-bord w
arr bord (Suc i)) (KMP-pos w arr bord (Suc i))
  ⟨proof⟩
termination
  ⟨proof⟩

```

```

lemma KMP-len: |KMP w arr bord pos| = |arr| + pos
  ⟨proof⟩

```

```

value[nbe] KMP [a] [0] 0 0

```

```

value KMP [ 0::nat] [0] 0 0
value KMP [5,4,5,3,5,5::nat] [0] 0 5
value KMP [5,4::nat,5,3,5,5] [1,0] 1 4
value KMP [0,1,1,0::nat,0,0,1,1,1] [0] 0 8
value KMP [0::nat,1] [0] 0 1

```

### 2.27.1 Verification of the computation

**definition** *KMP-valid* :: 'a list ⇒ nat list ⇒ nat ⇒ nat ⇒ bool

**where** *KMP-valid* *w arr bord pos* = (|arr| + pos = |w| ∧  
— bord is the length of a border of (drop pos w), or 0  
pos + bord < |w| ∧  
take bord (drop pos w) ≤<sub>p</sub> (drop pos w) ∧  
take bord (drop pos w) ≤<sub>s</sub> (drop pos w) ∧  
— ... and no longer border can be extended  
(∀ v. v ≤ b w!(pos - 1)#(drop pos w) → |v| ≤ Suc  
bord) ∧  
— the array gives maximal border lengths of  
corresponding suffixes  
(∀ k < |arr|. max-borderP (take (arr!k) (drop (pos +  
k) w)) (drop (pos + k) w))  
)

**lemma** *KMP-valid* *w arr bord pos* ⇒ *w* ≠ ε  
⟨proof⟩

**lemma** *KMP-valid-base*: **assumes** *w* ≠ ε **shows** *KMP-valid* *w* [0] 0 (|w| - 1)  
⟨proof⟩

**lemma** *KMP-valid-step*: **assumes** *KMP-valid* *w arr bord* (Suc *i*)  
**shows** *KMP-valid* *w* (*KMP-arr* *w arr bord* (Suc *i*)) (*KMP-bord* *w arr bord* (Suc  
*i*)) (*KMP-pos* *w arr bord* (Suc *i*))  
⟨proof⟩

**lemma** *KMP-valid-max*: **assumes** *KMP-valid* *w arr bord pos* *k* < |w|  
**shows** max-borderP (take ((*KMP* *w arr bord pos*)!k) (drop *k* w)) (drop *k* w)  
⟨proof⟩

## 2.28 Border array

**fun** *border-array* :: 'a list ⇒ nat list **where**  
*border-array* ε = ε  
| *border-array* (*a#w*) = rev (*KMP* (rev (*a#w*)) [0] 0 (|a#w| - 1))

**lemma** *border-array-len*: |*border-array* *w*| = |*w*|  
⟨proof⟩

**theorem** *bord-array*: **assumes** Suc *k* ≤ |*w*| **shows** (*border-array* *w*)!k = |max-border



```
theory Submonoids  
  imports CoWBasic  
begin
```

## Chapter 3

# Submonoids of a free monoid

This chapter deals with properties of submonoids of a free monoid, that is, with monoids of words. See more in Chapter 1 of [4].

### 3.1 Hull

First, we define the hull of a set of words, that is, the monoid generated by them.

**inductive-set** *hull* :: 'a list set  $\Rightarrow$  'a list set ( $\langle \langle - \rangle \rangle$ )

**for** *G* **where**

*emp-in[simp]*:  $\varepsilon \in \langle G \rangle$  |

*prod-cl*:  $w1 \in G \Rightarrow w2 \in \langle G \rangle \Rightarrow w1 \cdot w2 \in \langle G \rangle$

**lemmas** [*intro*] = *hull.intros*

**lemma** *hull-closed[intro]*:  $w1 \in \langle G \rangle \Rightarrow w2 \in \langle G \rangle \Rightarrow w1 \cdot w2 \in \langle G \rangle$

*<proof>*

**lemma** *gen-in [intro]*:  $w \in G \Rightarrow w \in \langle G \rangle$

*<proof>*

**lemma** *hull-induct*: **assumes**  $x \in \langle G \rangle$  *P*  $\varepsilon \wedge w. w \in G \Rightarrow P w$

$\wedge w1 w2. w1 \in \langle G \rangle \Rightarrow P w1 \Rightarrow w2 \in \langle G \rangle \Rightarrow P w2 \Rightarrow P (w1 \cdot w2)$  **shows**  
*P x*

*<proof>*

**lemma** *genset-sub[simp]*:  $G \subseteq \langle G \rangle$

*<proof>*

**lemma** *genset-sub-lists*:  $ws \in \text{lists } G \Rightarrow ws \in \text{lists } \langle G \rangle$

*<proof>*

**lemma** *in-lists-conv-set-subset*:  $\text{set } ws \subseteq G \iff ws \in \text{lists } G$



*<proof>*

**lemma** *concat-in-hull* [*intro*]:  
 **assumes**  $set\ ws \subseteq G$   
 **shows**  $concat\ ws \in \langle G \rangle$   
 *<proof>*

**lemma** *concat-in-hull'* [*intro*]:  
 **assumes**  $ws \in lists\ G$   
 **shows**  $concat\ ws \in \langle G \rangle$   
 *<proof>*

**lemma** *hull-concat-lists0*:  $w \in \langle G \rangle \implies (\exists\ ws \in lists\ G. concat\ ws = w)$   
*<proof>*

**lemma** *hull-concat-listsE*: **assumes**  $w \in \langle G \rangle$   
 **obtains**  $ws$  **where**  $ws \in lists\ G$  **and**  $concat\ ws = w$   
 *<proof>*

**lemma** *hull-concat-lists*:  $\langle G \rangle = concat\ ' lists\ G$   
*<proof>*

**lemma** *concat-tl*:  $x \# xs \in lists\ G \implies concat\ xs \in \langle G \rangle$   
*<proof>*

**lemma** *nemp-concat-hull*: **assumes**  $us \neq \varepsilon$  **and**  $us \in lists\ (G - \{\varepsilon\})$   
 **shows**  $concat\ us \in \langle G \rangle$  **and**  $concat\ us \neq \varepsilon$   
 *<proof>*

**lemma** *hull-mono*:  $A \subseteq B \implies \langle A \rangle \subseteq \langle B \rangle$   
*<proof>*

**lemma** *emp-gen-set*:  $\langle \{\} \rangle = \{\varepsilon\}$   
*<proof>*

**lemma** *concat-lists-minus[simp]*:  $concat\ ' lists\ (G - \{\varepsilon\}) = concat\ ' lists\ G$   
*<proof>*

**lemma** *hull-drop-one*:  $\langle G - \{\varepsilon\} \rangle = \langle G \rangle$   
*<proof>*

**lemma** *sing-gen-power*:  $u \in \langle \{x\} \rangle \implies \exists k. u = x^{\textcircled{a}}k$   
*<proof>*

**lemma** *sing-gen[intro]*:  $w \in \langle \{z\} \rangle \implies w \in z^*$   
*<proof>*

**lemma** *pow-sing-gen[simp]*:  $x^{\textcircled{a}}k \in \langle \{x\} \rangle$   
*<proof>*

**lemma** *root-sing-gen*:  $w \in z^* \implies w \in \langle \{z\} \rangle$   
 ⟨proof⟩

**lemma** *sing-genE[elim]*:  
**assumes**  $u \in \langle \{x\} \rangle$   
**obtains**  $k$  **where**  $x^{\textcircled{a}}k = u$   
 ⟨proof⟩

**lemma** *sing-gen-root-conv*:  $w \in \langle \{z\} \rangle \longleftrightarrow w \in z^*$   
 ⟨proof⟩

**lemma** *lists-gen-to-hull*:  $us \in \text{lists } (G - \{\varepsilon\}) \implies us \in \text{lists } (\langle G \rangle - \{\varepsilon\})$   
 ⟨proof⟩

**lemma** *rev-hull*:  $\text{rev}'\langle G \rangle = \langle \text{rev}'G \rangle$   
 ⟨proof⟩

**lemma** *power-in[intro]*:  $x \in \langle G \rangle \implies x^{\textcircled{a}}k \in \langle G \rangle$   
 ⟨proof⟩

**lemma** *hull-closed-lists*:  $us \in \text{lists } \langle G \rangle \implies \text{concat } us \in \langle G \rangle$   
 ⟨proof⟩

**lemma** *hull-I [intro]*:  
 $\varepsilon \in H \implies (\bigwedge x y. x \in H \implies y \in H \implies x \cdot y \in H) \implies \langle H \rangle = H$   
 ⟨proof⟩

**lemma** *self-gen*:  $\langle \langle G \rangle \rangle = \langle G \rangle$   
 ⟨proof⟩

**lemma** *hull-mono'[intro]*:  $A \subseteq \langle B \rangle \implies \langle A \rangle \subseteq \langle B \rangle$   
 ⟨proof⟩

**lemma** *hull-conjug [elim]*:  $w \in \langle \{r \cdot s, s \cdot r\} \rangle \implies w \in \langle \{r, s\} \rangle$   
 ⟨proof⟩

Intersection of hulls is a hull.

**lemma** *hulls-inter*:  $\langle \bigcap \{ \langle G \rangle \mid G. G \in S \} \rangle = \bigcap \{ \langle G \rangle \mid G. G \in S \}$   
 ⟨proof⟩

**lemma** *hull-keeps-root*:  $\forall u \in A. u \in r^* \implies w \in \langle A \rangle \implies w \in r^*$   
 ⟨proof⟩

**lemma** *bin-hull-keeps-root [intro]*:  $u \in r^* \implies v \in r^* \implies w \in \langle \{u, v\} \rangle \implies w \in r^*$   
 ⟨proof⟩

**lemma** *bin-comm-hull-comm*:  $x \cdot y = y \cdot x \implies u \in \langle \{x, y\} \rangle \implies v \in \langle \{x, y\} \rangle \implies u \cdot v = v \cdot u$

$\langle proof \rangle$

**lemma**<sub>[reversal-rule]</sub>:  $rev \text{ ' } \langle \{rev\ u, rev\ v\} \rangle = \langle \{u, v\} \rangle$   
 $\langle proof \rangle$

**lemma**<sub>[reversal-rule]</sub>:  $rev\ w \in \langle rev \text{ ' } G \rangle \equiv w \in \langle G \rangle$   
 $\langle proof \rangle$

## 3.2 Factorization into generators

We define a decomposition (or a factorization) of a into elements of a given generating set. Such a decomposition is well defined only if the decomposed word is an element of the hull. Even in that case, however, the decomposition need not be unique.

**definition** *decompose* :: 'a list set  $\Rightarrow$  'a list  $\Rightarrow$  'a list list ( $\langle Dec \text{ - } \rightarrow [55,55] \ 56 \rangle$ )  
**where**

*decompose*  $G\ u = (SOME\ us.\ us \in lists\ (G - \{\varepsilon\}) \wedge concat\ us = u)$

**lemma** *dec-ex*: **assumes**  $u \in \langle G \rangle$  **shows**  $\exists\ us.\ (us \in lists\ (G - \{\varepsilon\}) \wedge concat\ us = u)$   
 $\langle proof \rangle$

**lemma** *dec-in-lists'*:  $u \in \langle G \rangle \Longrightarrow (Dec\ G\ u) \in lists\ (G - \{\varepsilon\})$   
 $\langle proof \rangle$

**lemma** *concat-dec*<sub>[simp, intro]</sub>:  $u \in \langle G \rangle \Longrightarrow concat\ (Dec\ G\ u) = u$   
 $\langle proof \rangle$

**lemma** *dec-emp* <sub>[simp]</sub>:  $Dec\ G\ \varepsilon = \varepsilon$   
 $\langle proof \rangle$

**lemma** *dec-nemp*:  $u \in \langle G \rangle - \{\varepsilon\} \Longrightarrow Dec\ G\ u \neq \varepsilon$   
 $\langle proof \rangle$

**lemma** *dec-nemp'*<sub>[simp, intro]</sub>:  $u \neq \varepsilon \Longrightarrow u \in \langle G \rangle \Longrightarrow Dec\ G\ u \neq \varepsilon$   
 $\langle proof \rangle$

**lemma** *dec-eq-emp-iff* <sub>[simp]</sub>: **assumes**  $u \in \langle G \rangle$  **shows**  $Dec\ G\ u = \varepsilon \longleftrightarrow u = \varepsilon$   
 $\langle proof \rangle$

**lemma** *dec-in-lists*<sub>[simp]</sub>:  $u \in \langle G \rangle \Longrightarrow Dec\ G\ u \in lists\ G$   
 $\langle proof \rangle$

**lemma** *set-dec-sub*:  $x \in \langle G \rangle \Longrightarrow set\ (Dec\ G\ x) \subseteq G$   
 $\langle proof \rangle$

**lemma** *dec-hd*:  $u \neq \varepsilon \Longrightarrow u \in \langle G \rangle \Longrightarrow hd\ (Dec\ G\ u) \in G$   
 $\langle proof \rangle$

**lemma non-gen-dec:** *assumes*  $u \in \langle G \rangle$   $u \notin G$  *shows*  $Dec\ G\ u \neq [u]$   
 <proof>

### 3.2.1 Refinement into a specific decomposition

We extend the decomposition to lists of words. This can be seen as a refinement of a previous decomposition of some word.

**definition** *refine* :: 'a list set  $\Rightarrow$  'a list list  $\Rightarrow$  'a list list (*Ref* -  $\rightarrow$  [51,51] 65)  
**where**

$$refine\ G\ us = concat(map\ (decompose\ G)\ us)$$

**lemma ref-morph:**  $us \in lists\ \langle G \rangle \Longrightarrow vs \in lists\ \langle G \rangle \Longrightarrow refine\ G\ (us \cdot vs) = refine\ G\ us \cdot refine\ G\ vs$   
 <proof>

**lemma ref-conjug:**  
 $u \sim v \Longrightarrow (Ref\ G\ u) \sim Ref\ G\ v$   
 <proof>

**lemma ref-morph-plus:**  $us \in lists\ (\langle G \rangle - \{\varepsilon\}) \Longrightarrow vs \in lists\ (\langle G \rangle - \{\varepsilon\}) \Longrightarrow refine\ G\ (us \cdot vs) = refine\ G\ us \cdot refine\ G\ vs$   
 <proof>

**lemma ref-pref-mono:**  $us \in lists\ \langle G \rangle \Longrightarrow us \leq_p\ ws \Longrightarrow Ref\ G\ us \leq_p\ Ref\ G\ ws$   
 <proof>

**lemma ref-suf-mono:**  $ws \in lists\ \langle G \rangle \Longrightarrow us \leq_s\ ws \Longrightarrow (Ref\ G\ us) \leq_s\ Ref\ G\ ws$   
 <proof>

**lemma ref-fac-mono:**  $ws \in lists\ \langle G \rangle \Longrightarrow us \leq_f\ ws \Longrightarrow (Ref\ G\ us) \leq_f\ Ref\ G\ ws$   
 <proof>

**lemma ref-pop-hd:**  $us \neq \varepsilon \Longrightarrow us \in lists\ \langle G \rangle \Longrightarrow refine\ G\ us = decompose\ G\ (hd\ us) \cdot refine\ G\ (tl\ us)$   
 <proof>

**lemma ref-in:**  $us \in lists\ \langle G \rangle \Longrightarrow (Ref\ G\ us) \in lists\ (G - \{\varepsilon\})$   
 <proof>

**lemma ref-in'[intro]:**  $us \in lists\ \langle G \rangle \Longrightarrow (Ref\ G\ us) \in lists\ G$   
 <proof>

**lemma concat-ref:**  $us \in lists\ \langle G \rangle \Longrightarrow concat\ (Ref\ G\ us) = concat\ us$   
 <proof>

**lemma ref-gen:**  $us \in lists\ B \Longrightarrow B \subseteq \langle G \rangle \Longrightarrow Ref\ G\ us \in \langle decompose\ G\ ' B \rangle$   
 <proof>

**lemma** *ref-set*:  $us \in \text{lists } \langle G \rangle \implies \text{set } (\text{Ref } G \text{ } us) = \bigcup (\text{set } (\text{decompose } G) \text{ } \text{set } us)$   
 ⟨proof⟩

**lemma** *emp-ref*: **assumes**  $us \in \text{lists } (\langle G \rangle - \{\varepsilon\})$  **and**  $\text{Ref } G \text{ } us = \varepsilon$  **shows**  $us = \varepsilon$   
 ⟨proof⟩

**lemma** *sing-ref-sing*:  
**assumes**  $us \in \text{lists } (\langle G \rangle - \{\varepsilon\})$  **and**  $\text{refine } G \text{ } us = [b]$   
**shows**  $us = [b]$   
 ⟨proof⟩

**lemma** *ref-ex*: **assumes**  $Q \subseteq \langle G \rangle$  **and**  $us \in \text{lists } Q$   
**shows**  $\text{Ref } G \text{ } us \in \text{lists } (G - \{\varepsilon\})$  **and**  $\text{concat } (\text{Ref } G \text{ } us) = \text{concat } us$   
 ⟨proof⟩

### 3.3 Basis

An important property of monoids of words is that they have a unique minimal generating set. Which is the set consisting of indecomposable elements.

The simple element is defined as a word which has only trivial decomposition into generators: a singleton.

**definition** *simple-element* :: 'a list  $\Rightarrow$  'a list set  $\Rightarrow$  bool ( $\langle \cdot \in B \cdot \rangle$  [51,51] 50)  
**where**

*simple-element*  $b \ G = (b \in G \wedge (\forall \text{ } us. \text{ } us \in \text{lists } (G - \{\varepsilon\}) \wedge \text{concat } us = b \longrightarrow |us| = 1))$

**lemma** *simp-el-el*:  $b \in B \ G \implies b \in G$   
 ⟨proof⟩

**lemma** *simp-elD*:  $b \in B \ G \implies us \in \text{lists } (G - \{\varepsilon\}) \implies \text{concat } us = b \implies |us| = 1$   
 ⟨proof⟩

**lemma** *simp-el-sing*: **assumes**  $b \in B \ G$   $us \in \text{lists } (G - \{\varepsilon\})$   $\text{concat } us = b$  **shows**  $us = [b]$   
 ⟨proof⟩

**lemma** *nonsimp*:  $us \in \text{lists } (G - \{\varepsilon\}) \implies \text{concat } us \in B \ G \implies us = [\text{concat } us]$   
 ⟨proof⟩

**lemma** *emp-nonsimp*: **assumes**  $b \in B \ G$  **shows**  $b \neq \varepsilon$   
 ⟨proof⟩

**lemma** *basis-no-fact*: **assumes**  $u \in \langle G \rangle$  **and**  $v \in \langle G \rangle$  **and**  $u \cdot v \in B \ G$  **shows**  $u = \varepsilon \vee v = \varepsilon$   
 ⟨proof⟩

**lemma** *simp-elI*:

**assumes**  $b \in G$  **and**  $b \neq \varepsilon$  **and** *all*:  $\forall u v. u \neq \varepsilon \wedge u \in \langle G \rangle \wedge v \neq \varepsilon \wedge v \in \langle G \rangle$   
 $\longrightarrow u \cdot v \neq b$   
**shows**  $b \in B G$   
*<proof>*

**lemma** *simp-el-indecomp*:

**assumes**  $b \in B G$   $u \neq \varepsilon$   $u \in \langle G \rangle$   $v \neq \varepsilon$   $v \in \langle G \rangle$   
**shows**  $u \cdot v \neq b$   
*<proof>*

We are ready to define the *basis* as the set of all simple elements.

**definition** *basis* :: 'a list set  $\Rightarrow$  'a list set ( $\langle \mathfrak{B} \rightarrow [51] \rangle$ ) **where**  
*basis*  $G = \{x. x \in B G\}$

**lemma** *basis-inI*:  $x \in B G \Longrightarrow x \in \mathfrak{B} G$   
*<proof>*

**lemma** *basisD*:  $x \in \mathfrak{B} G \Longrightarrow x \in B G$   
*<proof>*

**lemma** *emp-not-basis*:  $x \in \mathfrak{B} G \Longrightarrow x \neq \varepsilon$   
*<proof>*

**lemma** *basis-sub*:  $\mathfrak{B} G \subseteq G$   
*<proof>*

**lemma** *basis-drop-emp*:  $(\mathfrak{B} G) - \{\varepsilon\} = \mathfrak{B} G$   
*<proof>*

**lemma** *simp-el-hull'*: **assumes**  $b \in B \langle G \rangle$  **shows**  $b \in B G$   
*<proof>*

**lemma** *simp-el-hull*: **assumes**  $b \in B G$  **shows**  $b \in B \langle G \rangle$   
*<proof>*

**lemma** *concat-tl-basis*:  $x \# xs \in \text{lists } \mathfrak{B} G \Longrightarrow \text{concat } xs \in \langle G \rangle$   
*<proof>*

The basis generates the hull

**lemma** *set-concat-len*: **assumes**  $us \in \text{lists } (G - \{\varepsilon\})$   $1 < |us|$   $u \in \text{set } us$  **shows**  
 $|u| < |\text{concat } us|$   
*<proof>*

**lemma** *non-simp-dec*: **assumes**  $w \notin \mathfrak{B} G$   $w \neq \varepsilon$   $w \in G$   
**obtains**  $us$  **where**  $us \in \text{lists } (G - \{\varepsilon\})$   $1 < |us|$   $\text{concat } us = w$   
*<proof>*

**lemma** *basis-gen*:  $w \in G \implies w \in \langle \mathfrak{B} G \rangle$   
 ⟨proof⟩

**lemmas** *basis-concat-listsE* = *hull-concat-listsE*[*OF* *basis-gen*]

**theorem** *basis-gen-hull*:  $\langle \mathfrak{B} G \rangle = \langle G \rangle$   
 ⟨proof⟩

**lemma** *basis-gen-hull'*:  $\langle \mathfrak{B} \langle G \rangle \rangle = \langle G \rangle$   
 ⟨proof⟩

**theorem** *basis-of-hull*:  $\mathfrak{B} \langle G \rangle = \mathfrak{B} G$   
 ⟨proof⟩

**lemma** *basis-hull-sub*:  $\mathfrak{B} \langle G \rangle \subseteq G$   
 ⟨proof⟩

The basis is the smallest generating set.

**theorem** *basis-sub-gen*:  $\langle S \rangle = \langle G \rangle \implies \mathfrak{B} G \subseteq S$   
 ⟨proof⟩

**lemma** *basis-min-gen*:  $S \subseteq \mathfrak{B} G \implies \langle S \rangle = G \implies S = \mathfrak{B} G$   
 ⟨proof⟩

**lemma** *basisI*:  $(\bigwedge B. \langle B \rangle = \langle C \rangle \implies C \subseteq B) \implies \mathfrak{B} \langle C \rangle = C$   
 ⟨proof⟩

**thm** *basis-inI*

An arbitrary set between basis and the hull is generating...

**lemma** *gen-sets*: **assumes**  $\mathfrak{B} G \subseteq S$  **and**  $S \subseteq \langle G \rangle$  **shows**  $\langle S \rangle = \langle G \rangle$   
 ⟨proof⟩

... and has the same basis

**lemma** *basis-sets*:  $\mathfrak{B} G \subseteq S \implies S \subseteq \langle G \rangle \implies \mathfrak{B} G = \mathfrak{B} S$   
 ⟨proof⟩

Any nonempty composed element has a decomposition into basis elements with many useful properties

**lemma** *non-simp-fac*: **assumes**  $w \neq \varepsilon$  **and**  $w \in \langle G \rangle$  **and**  $w \notin \mathfrak{B} G$   
**obtains**  $us$  **where**  $1 < |us|$  **and**  $us \neq \varepsilon$  **and**  $us \in \text{lists } \mathfrak{B} G$  **and**  
 $hd\ us \neq \varepsilon$  **and**  $hd\ us \in \langle G \rangle$  **and**  
 $concat(tl\ us) \neq \varepsilon$  **and**  $concat(tl\ us) \in \langle G \rangle$  **and**  
 $w = hd\ us \cdot concat(tl\ us)$   
 ⟨proof⟩

**lemma** *basis-dec*:  $p \in \langle G \rangle \implies s \in \langle G \rangle \implies p \cdot s \in \mathfrak{B} G \implies p = \varepsilon \vee s = \varepsilon$

*<proof>*

**lemma** *non-simp-fac'*:  $w \notin \mathfrak{B} G \implies w \neq \varepsilon \implies w \in \langle G \rangle \implies \exists us. us \in \text{lists } (G - \{\varepsilon\}) \wedge w = \text{concat } us \wedge |us| \neq 1$   
*<proof>*

**lemma** *emp-gen-iff*:  $(G - \{\varepsilon\}) = \{\} \longleftrightarrow \langle G \rangle = \{\varepsilon\}$   
*<proof>*

**lemma** *emp-basis-iff*:  $\mathfrak{B} G = \{\} \longleftrightarrow G - \{\varepsilon\} = \{\}$   
*<proof>*

### 3.4 Code

**locale** *nemp-words* =

**fixes**  $G$

**assumes** *emp-not-in*:  $\varepsilon \notin G$

**begin**

**lemma** *drop-empD*:  $G - \{\varepsilon\} = G$   
*<proof>*

**lemmas** *emp-concat-emp'* = *emp-concat-emp*[*of* -  $G$ , *unfolded drop-empD*]

**thm** *disjE*[*OF ruler*[*OF take-is-prefix take-is-prefix*]]

**lemma** *concat-take-mono*: **assumes**  $ws \in \text{lists } G$  **and**  $\text{concat } (\text{take } i \text{ } ws) \leq_p \text{concat } (\text{take } j \text{ } ws)$   
**shows**  $\text{take } i \text{ } ws \leq_p \text{take } j \text{ } ws$   
*<proof>*

**lemma** *nemp*:  $x \in G \implies x \neq \varepsilon$   
*<proof>*

**lemma** *code-concat-eq-emp-iff* [*simp*]:  $us \in \text{lists } G \implies \text{concat } us = \varepsilon \longleftrightarrow us = \varepsilon$   
*<proof>*

**lemma** *root-dec-inj-on*:  $\text{inj-on } (\lambda x. [\varrho x]^{\text{@}}(e_{\varrho} x)) G$   
*<proof>*

**lemma** *concat-root-dec-eq-concat*:

**assumes**  $ws \in \text{lists } G$

**shows**  $\text{concat } (\text{concat } (\text{map } (\lambda x. [\varrho x]^{\text{@}}(e_{\varrho} x)) ws)) = \text{concat } ws$   
**(is**  $\text{concat}(\text{concat } (\text{map } ?R ws)) = \text{concat } ws$

*<proof>*

**end**



A basis freely generating its hull is called a *code*. By definition, this means that generated elements have unique factorizations into the elements of the code.

**locale** *code* =  
**fixes**  $\mathcal{C}$   
**assumes** *is-code*:  $xs \in \text{lists } \mathcal{C} \implies ys \in \text{lists } \mathcal{C} \implies \text{concat } xs = \text{concat } ys \implies xs = ys$   
**begin**

**lemma** *code-not-comm*:  $x \in \mathcal{C} \implies y \in \mathcal{C} \implies x \neq y \implies x \cdot y \neq y \cdot x$   
 $\langle \text{proof} \rangle$

**lemma** *emp-not-in*:  $\varepsilon \notin \mathcal{C}$   
 $\langle \text{proof} \rangle$

**lemma** *nemp*:  $u \in \mathcal{C} \implies u \neq \varepsilon$   
 $\langle \text{proof} \rangle$

**sublocale** *nemp-words*  $\mathcal{C}$   
 $\langle \text{proof} \rangle$

**lemma** *code-simple*:  $c \in \mathcal{C} \implies c \in B \mathcal{C}$   
 $\langle \text{proof} \rangle$

**lemma** *code-is-basis*:  $\mathfrak{B} \mathcal{C} = \mathcal{C}$   
 $\langle \text{proof} \rangle$

**lemma** *code-unique-dec'*:  $us \in \text{lists } \mathcal{C} \implies \text{Dec } \mathcal{C} (\text{concat } us) = us$   
 $\langle \text{proof} \rangle$

**lemma** *code-unique-dec [intro!]*:  $us \in \text{lists } \mathcal{C} \implies \text{concat } us = u \implies \text{Dec } \mathcal{C} u = us$   
 $\langle \text{proof} \rangle$

**lemma** *triv-refine[intro!]* :  $us \in \text{lists } \mathcal{C} \implies \text{concat } us = u \implies \text{Ref } \mathcal{C} [u] = us$   
 $\langle \text{proof} \rangle$

**lemma** *code-unique-ref*:  $us \in \text{lists } \langle \mathcal{C} \rangle \implies \text{refine } \mathcal{C} us = \text{decompose } \mathcal{C} (\text{concat } us)$   
 $\langle \text{proof} \rangle$

**lemma** *refI [intro]*:  $us \in \text{lists } \langle \mathcal{C} \rangle \implies vs \in \text{lists } \mathcal{C} \implies \text{concat } vs = \text{concat } us \implies \text{Ref } \mathcal{C} us = vs$   
 $\langle \text{proof} \rangle$

**lemma** *code-dec-morph*: **assumes**  $x \in \langle \mathcal{C} \rangle$   $y \in \langle \mathcal{C} \rangle$   
**shows**  $(\text{Dec } \mathcal{C} x) \cdot (\text{Dec } \mathcal{C} y) = \text{Dec } \mathcal{C} (x \cdot y)$   
 $\langle \text{proof} \rangle$

**lemma** *dec-pow*:  $rs \in \langle \mathcal{C} \rangle \implies \text{Dec } \mathcal{C} (rs^{\textcircled{a}} k) = (\text{Dec } \mathcal{C} rs)^{\textcircled{a}} k$

*<proof>*

**lemma** *code-el-dec*:  $c \in \mathcal{C} \implies \text{decompose } \mathcal{C} \ c = [c]$   
*<proof>*

**lemma** *code-ref-list*:  $us \in \text{lists } \mathcal{C} \implies \text{refine } \mathcal{C} \ us = us$   
*<proof>*

**lemma** *code-ref-gen*: **assumes**  $G \subseteq \langle \mathcal{C} \rangle \ u \in \langle G \rangle$   
**shows**  $\text{Dec } \mathcal{C} \ u \in \langle \text{decompose } \mathcal{C} \ 'G \rangle$   
*<proof>*

**find-theorems**  $\rho \ ?x \ @ \ ?k = ?x \ 0 < ?k$

**lemma** *code-rev-code*:  $\text{code} (\text{rev } \mathcal{C})$   
*<proof>*

**lemma** *dec-rev* [*simp, reversal-rule*]:  
 $u \in \langle \mathcal{C} \rangle \implies \text{Dec } \text{rev } \mathcal{C} (\text{rev } u) = \text{rev} (\text{map } \text{rev} (\text{Dec } \mathcal{C} \ u))$   
*<proof>*

**lemma** *elem-comm-sing-set*: **assumes**  $ws \in \text{lists } \mathcal{C}$  **and**  $ws \neq \varepsilon$  **and**  $u \in \mathcal{C}$  **and**  
 $\text{concat } ws \cdot u = u \cdot \text{concat } ws$   
**shows**  $\text{set } ws = \{u\}$   
*<proof>*

**lemma** *pure-code-pres-prim*: **assumes** *pure*:  $\forall u \in \langle \mathcal{C} \rangle. \rho \ u \in \langle \mathcal{C} \rangle$  **and**  
 $w \in \langle \mathcal{C} \rangle$  **and** *primitive* ( $\text{Dec } \mathcal{C} \ w$ )  
**shows** *primitive*  $w$   
*<proof>*

**lemma** *inj-on-dec*:  $\text{inj-on} (\text{decompose } \mathcal{C}) \langle \mathcal{C} \rangle$   
*<proof>*

**end** — end context code

**lemma** *emp-is-code*:  $\text{code } \{\}$   
*<proof>*

**lemma** *code-induct-hd*: **assumes**  $\varepsilon \notin \mathcal{C}$  **and**  
 $\bigwedge xs \ ys. xs \in \text{lists } \mathcal{C} \implies ys \in \text{lists } \mathcal{C} \implies \text{concat } xs = \text{concat } ys \implies \text{hd } xs = \text{hd } ys$   
**shows**  $\text{code } \mathcal{C}$   
*<proof>*

**lemma** *ref-set-primroot*: **assumes**  $ws \in \text{lists } (G - \{\varepsilon\})$  **and**  $\text{code} (\rho \ 'G)$   
**shows**  $\text{set} (\text{Ref } \rho \ 'G \ ws) = \rho \ (\text{set } ws)$   
*<proof>*

## 3.5 Prefix code

```
locale pref-code =  
  fixes C  
  assumes  
    emp-not-in:  $\varepsilon \notin C$  and  
    pref-free:  $u \in C \implies v \in C \implies u \leq_p v \implies u = v$   
  
begin  
  
lemma nemp:  $u \in C \implies u \neq \varepsilon$   
  <proof>  
  
lemma concat-pref-concat:  
  assumes  $us \in \text{lists } C$   $vs \in \text{lists } C$   $\text{concat } us \leq_p \text{concat } vs$   
  shows  $us \leq_p vs$   
  <proof>  
  
lemma concat-pref-concat-conv:  
  assumes  $us \in \text{lists } C$   $vs \in \text{lists } C$   
  shows  $\text{concat } us \leq_p \text{concat } vs \longleftrightarrow us \leq_p vs$   
  <proof>  
  
sublocale code  
  <proof>  
  
lemmas is-code = is-code and  
  code = code-axioms  
  
lemma dec-pref-unique:  
   $w \in \langle C \rangle \implies p \in \langle C \rangle \implies p \leq_p w \implies \text{Dec } C \ p \leq_p \text{Dec } C \ w$   
  <proof>  
  
end
```

### 3.5.1 Suffix code

```
locale suf-code = pref-code (rev ` C) for C  
begin  
  
thm dec-rev  
  code  
  
sublocale code  
  <proof>  
  
lemmas concat-suf-concat = concat-pref-concat[reversed] and  
  concat-suf-concat-conv = concat-pref-concat-conv[reversed] and  
  nemp = nemp[reversed] and  
  suf-free = pref-free[reversed] and
```

$dec\text{-}suf\text{-}unique = dec\text{-}pref\text{-}unique[reversed]$

**thm** *is-code*  
  *code-axioms*  
  *code*

**end**

### 3.6 Marked code

**locale** *marked-code* =  
  **fixes**  $\mathcal{C}$   
  **assumes**  
    *emp-not-in*:  $\varepsilon \notin \mathcal{C}$  **and**  
    *marked*:  $u \in \mathcal{C} \implies v \in \mathcal{C} \implies hd\ u = hd\ v \implies u = v$

**begin**

**lemma** *nemp*:  $u \in \mathcal{C} \implies u \neq \varepsilon$   
  *<proof>*

**sublocale** *pref-code*  
  *<proof>*

**lemma** *marked-concat-lcp*:  $us \in lists\ \mathcal{C} \implies vs \in lists\ \mathcal{C} \implies concat\ (us \wedge_p\ vs) =$   
 $(concat\ us) \wedge_p\ (concat\ vs)$   
  *<proof>*

**lemma** *hd-concat-hd*: **assumes**  $xs \in lists\ \mathcal{C}$  **and**  $ys \in lists\ \mathcal{C}$  **and**  $xs \neq \varepsilon$  **and**  $ys$   
 $\neq \varepsilon$  **and**  
   $hd\ (concat\ xs) = hd\ (concat\ ys)$   
**shows**  $hd\ xs = hd\ ys$   
  *<proof>*

**end**

### 3.7 Non-overlapping code

**locale** *non-overlapping* =  
  **fixes**  $\mathcal{C}$   
  **assumes**  
    *emp-not-in*:  $\varepsilon \notin \mathcal{C}$  **and**  
    *no-overlap*:  $u \in \mathcal{C} \implies v \in \mathcal{C} \implies z \leq_p\ u \implies z \leq_s\ v \implies z \neq \varepsilon \implies u = v$  **and**  
    *no-fac*:  $u \in \mathcal{C} \implies v \in \mathcal{C} \implies u \leq_f\ v \implies u = v$

**begin**

**lemma** *nemp*:  $u \in \mathcal{C} \implies u \neq \varepsilon$

*<proof>*

**sublocale** *pref-code*

*<proof>*

**lemma** *rev-non-overlapping: non-overlapping* (*rev* ‘ $\mathcal{C}$ )

*<proof>*

**sublocale** *suf: suf-code*  $\mathcal{C}$

*<proof>*

**lemma** *overlap-concat-last: assumes*  $u \in \mathcal{C}$  **and**  $vs \in \text{lists } \mathcal{C}$  **and**  $vs \neq \varepsilon$  **and**

$r \neq \varepsilon$  **and**  $r \leq_p u$  **and**  $r \leq_s p \cdot \text{concat } vs$

**shows**  $u = \text{last } vs$

*<proof>*

**lemma** *overlap-concat-hd: assumes*  $u \in \mathcal{C}$  **and**  $vs \in \text{lists } \mathcal{C}$  **and**  $vs \neq \varepsilon$  **and**  $r \neq \varepsilon$  **and**  $r \leq_s u$  **and**  $r \leq_p \text{concat } vs \cdot s$

**shows**  $u = \text{hd } vs$

*<proof>*

**lemma** *fac-concat-fac:*

**assumes**  $us \in \text{lists } \mathcal{C}$   $vs \in \text{lists } \mathcal{C}$

**and**  $1 < \text{card } (\text{set } us)$

**and**  $\text{concat } vs = p \cdot \text{concat } us \cdot s$

**obtains**  $ps \ ss$  **where**  $\text{concat } ps = p$  **and**  $\text{concat } ss = s$  **and**  $ps \cdot us \cdot ss = vs$

*<proof>*

**theorem** *prim-morph:*

**assumes**  $ws \in \text{lists } \mathcal{C}$

**and**  $|ws| \neq 1$

**and** *primitive*  $ws$

**shows** *primitive* ( $\text{concat } ws$ )

*<proof>*

**lemma** *prim-concat-conv:*

**assumes**  $ws \in \text{lists } \mathcal{C}$

**and**  $|ws| \neq 1$

**shows** *primitive* ( $\text{concat } ws$ )  $\longleftrightarrow$  *primitive*  $ws$

*<proof>*

**end**

**lemma** (**in code**) *code-roots-non-overlapping: non-overlapping* ( $(\lambda x. [\varrho x]^{\textcircled{a}}(e_{\varrho} x))$  ‘ $\mathcal{C}$ )

*<proof>*

**theorem** (**in code**) *roots-prim-morph:*

**assumes**  $ws \in \text{lists } \mathcal{C}$

**and**  $|ws| \neq 1$   
**and** *primitive ws*  
**shows** *primitive (concat (map ( $\lambda x. [\rho x]^@ (e_\rho x)) ws))$*   
*(is primitive (concat (map ?R ws)))*  
 <proof>

### 3.8 Binary code

We pay a special attention to two element codes. In particular, we show that two words form a code if and only if they do not commute. This means that two words either commute, or do not satisfy any nontrivial relation.

**definition** *bin-lcp* **where**  $bin-lcp\ x\ y = x \cdot y \wedge_p y \cdot x$

**definition** *bin-lcs* **where**  $bin-lcs\ x\ y = x \cdot y \wedge_s y \cdot x$

**definition** *bin-mismatch* **where**  $bin-mismatch\ x\ y = (x \cdot y)! |bin-lcp\ x\ y|$

**definition** *bin-mismatch-suf* **where**  $bin-mismatch-suf\ x\ y = bin-mismatch\ (rev\ y)\ (rev\ x)$

**value**[*nbe*] [ $0::nat, 1, 0$ !]3

**lemma** *bin-lcs-rev*:  $bin-lcs\ x\ y = rev\ (bin-lcp\ (rev\ x)\ (rev\ y))$   
 <proof>

**lemma** *bin-lcp-sym*:  $bin-lcp\ x\ y = bin-lcp\ y\ x$   
 <proof>

**lemma** *bin-mismatch-comm*:  $(bin-mismatch\ x\ y = bin-mismatch\ y\ x) \longleftrightarrow (x \cdot y = y \cdot x)$   
 <proof>

**lemma** *bin-lcp-pref-fst-snd*:  $bin-lcp\ x\ y \leq_p x \cdot y$   
 <proof>

**lemma** *bin-lcp-pref-snd-fst*:  $bin-lcp\ x\ y \leq_p y \cdot x$   
 <proof>

**lemma** *bin-lcp-bin-lcs* [*reversal-rule*]:  $bin-lcp\ (rev\ x)\ (rev\ y) = rev\ (bin-lcs\ x\ y)$   
 <proof>

**lemmas**  $bin-lcs-sym = bin-lcp-sym[reversed]$

**lemma** *bin-lcp-len*:  $x \cdot y \neq y \cdot x \implies |bin-lcp\ x\ y| < |x \cdot y|$   
 <proof>

**lemmas**  $bin-lcs-len = bin-lcp-len[reversed]$

**lemma** *bin-mismatch-pref-suf*' [*reversal-rule*]:  
 $bin-mismatch\ (rev\ y)\ (rev\ x) = bin-mismatch-suf\ x\ y$

*<proof>*

### 3.8.1 Binary code locale

**locale** *binary-code* =

**fixes**  $u_0 u_1$

**assumes** *non-comm*:  $u_0 \cdot u_1 \neq u_1 \cdot u_0$

**begin**

A crucial property of two element codes is the constant decoding delay given by the word  $\alpha$ , which is a prefix of any generating word (sufficiently long), while the letter immediately after this common prefix indicates the first element of the decomposition.

**definition** *uu where*  $uu\ a = (if\ a\ then\ u_0\ else\ u_1)$

**lemma** *bin-code-set-bool*:  $\{uu\ a, uu\ (\neg a)\} = \{u_0, u_1\}$

*<proof>*

**lemma** *bin-code-set-bool'*:  $\{uu\ a, uu\ (\neg a)\} = \{u_1, u_0\}$

*<proof>*

**lemma** *bin-code-swap*: *binary-code*  $u_1\ u_0$

*<proof>*

**lemma** *bin-code-bool*: *binary-code*  $(uu\ a)\ (uu\ (\neg a))$

*<proof>*

**lemma** *bin-code-neq*:  $u_0 \neq u_1$

*<proof>*

**lemma** *bin-code-neq-bool*:  $uu\ a \neq uu\ (\neg a)$

*<proof>*

**lemma** *bin-fst-nemp*:  $u_0 \neq \varepsilon$  **and** *bin-snd-nemp*:  $u_1 \neq \varepsilon$  **and** *bin-nemp-bool*:  $uu\ a \neq \varepsilon$

*<proof>*

**lemma** *bin-not-comp*:  $\neg u_0 \cdot u_1 \bowtie u_1 \cdot u_0$

*<proof>*

**lemma** *bin-not-comp-bool*:  $\neg (uu\ a \cdot uu\ (\neg a) \bowtie uu\ (\neg a) \cdot uu\ a)$

*<proof>*

**lemma** *bin-not-comp-suf*:  $\neg u_0 \cdot u_1 \bowtie_s u_1 \cdot u_0$

*<proof>*

**lemma** *bin-not-comp-suf-bool*:  $\neg (uu\ a \cdot uu\ (\neg a) \bowtie_s uu\ (\neg a) \cdot uu\ a)$

*<proof>*

**lemma** *bin-mismatch-neq*:  $\text{bin-mismatch } u_0 \ u_1 \neq \text{bin-mismatch } u_1 \ u_0$   
 ⟨*proof*⟩

**abbreviation** *bin-code-lcp* ( $\langle \alpha \rangle$ ) **where**  $\text{bin-code-lcp} \equiv \text{bin-lcp } u_0 \ u_1$

**abbreviation** *bin-code-lcs* **where**  $\text{bin-code-lcs} \equiv \text{bin-lcs } u_0 \ u_1$

**abbreviation** *bin-code-mismatch-fst* ( $\langle c_0 \rangle$ ) **where**  $\text{bin-code-mismatch-fst} \equiv \text{bin-mismatch } u_0 \ u_1$

**abbreviation** *bin-code-mismatch-snd* ( $\langle c_1 \rangle$ ) **where**  $\text{bin-code-mismatch-snd} \equiv \text{bin-mismatch } u_1 \ u_0$

**definition** *cc* **where**  $\text{cc } a = (\text{if } a \text{ then } c_0 \text{ else } c_1)$

**lemmas** *bin-lcp-swap* = *bin-lcp-sym*[*of*  $u_0 \ u_1$ , *symmetric*] **and**  
*bin-lcp-pref* = *bin-lcp-pref-fst-snd*[*of*  $u_0 \ u_1$ ] **and**  
*bin-lcp-pref'* = *bin-lcp-pref-snd-fst*[*of*  $u_0 \ u_1$ ] **and**  
*bin-lcp-short* = *bin-lcp-len*[*OF non-comm, unfolded lenmorph*]

**lemmas** *bin-code-simps* = *cc-def uu-def if-True if-False bool-simps*

**lemma** *bin-lcp-bool*:  $\text{bin-lcp } (uu \ a) \ (uu \ (\neg \ a)) = \text{bin-code-lcp}$   
 ⟨*proof*⟩

**lemma** *bin-lcp-spref*:  $\alpha <_p u_0 \cdot u_1$   
 ⟨*proof*⟩

**lemma** *bin-lcp-spref'*:  $\alpha <_p u_1 \cdot u_0$   
 ⟨*proof*⟩

**lemma** *bin-lcp-spref-bool*:  $\alpha <_p uu \ a \cdot uu \ (\neg \ a)$   
 ⟨*proof*⟩

**lemma** *bin-mismatch-bool'*:  $\alpha \cdot [\text{cc } a] \leq_p uu \ a \cdot uu \ (\neg \ a)$   
 ⟨*proof*⟩

**lemma** *bin-mismatch-bool*:  $\alpha \cdot [\text{cc } a] \leq_p uu \ a \cdot \alpha$   
 ⟨*proof*⟩

**lemmas** *bin-fst-mismatch* = *bin-mismatch-bool*[*of True, unfolded bin-code-simps*]  
**and**

*bin-fst-mismatch'* = *bin-mismatch-bool'*[*of True, unfolded bin-code-simps*] **and**

*bin-snd-mismatch* = *bin-mismatch-bool*[*of False, unfolded bin-code-simps*] **and**

*bin-snd-mismatch'* = *bin-mismatch-bool'*[*of False, unfolded bin-code-simps*]

**lemma** *bin-lcp-pref-all*:  $xs \in \text{lists } \{u_0, u_1\} \implies \alpha \leq_p \text{concat } xs \cdot \alpha$   
 ⟨*proof*⟩

**lemma** *bin-lcp-pref-all-hull*:  $w \in \langle \{u_0, u_1\} \rangle \implies \alpha \leq_p w \cdot \alpha$



*<proof>*

**lemma** *bin-lcp-mismatch-pref-all-bool*: **assumes**  $q \leq p$  **and**  $w \in \langle \{uu\ b, uu\ (\neg b)\} \rangle$  **and**  $|\alpha| < |uu\ a \cdot q|$   
**shows**  $\alpha \cdot [cc\ a] \leq p\ uu\ a \cdot q$   
*<proof>*

**lemmas** *bin-lcp-mismatch-pref-all-fst* = *bin-lcp-mismatch-pref-all-bool*[*of* - *True True, unfolded bin-code-simps*] **and**  
*bin-lcp-mismatch-pref-all-snd* = *bin-lcp-mismatch-pref-all-bool*[*of* - *True False, unfolded bin-code-simps*]

**lemma** *bin-lcp-pref-all-len*: **assumes**  $q \leq p$  **and**  $w \in \langle \{u_0, u_1\} \rangle$  **and**  $|\alpha| \leq |q|$   
**shows**  $\alpha \leq p\ q$   
*<proof>*

**lemma** *bin-mismatch-all-bool*: **assumes**  $xs \in lists\ \{uu\ b, uu\ (\neg b)\}$  **shows**  $\alpha \cdot [cc\ a] \leq p\ (uu\ a) \cdot concat\ xs \cdot \alpha$   
*<proof>*

**lemmas** *bin-fst-mismatch-all* = *bin-mismatch-all-bool*[*of* - *True True, unfolded bin-code-simps*] **and**  
*bin-snd-mismatch-all* = *bin-mismatch-all-bool*[*of* - *True False, unfolded bin-code-simps*]

**lemma** *bin-mismatch-all-hull-bool*: **assumes**  $w \in \langle \{uu\ b, uu\ (\neg b)\} \rangle$  **shows**  $\alpha \cdot [cc\ a] \leq p\ uu\ a \cdot w \cdot \alpha$   
*<proof>*

**lemmas** *bin-fst-mismatch-all-hull* = *bin-mismatch-all-hull-bool*[*of* - *True True, unfolded bin-code-simps*] **and**  
*bin-snd-mismatch-all-hull* = *bin-mismatch-all-hull-bool*[*of* - *True False, unfolded bin-code-simps*]

**lemma** *bin-mismatch-all-len-bool*: **assumes**  $q \leq p$   $uu\ a \cdot w$  **and**  $w \in \langle \{uu\ b, uu\ (\neg b)\} \rangle$  **and**  $|\alpha| < |q|$   
**shows**  $\alpha \cdot [cc\ a] \leq p\ q$   
*<proof>*

**lemmas** *bin-fst-mismatch-all-len* = *bin-mismatch-all-len-bool*[*of* - *True - True, unfolded bin-code-simps*] **and**  
*bin-snd-mismatch-all-len* = *bin-mismatch-all-len-bool*[*of* - *False - True, unfolded bin-code-simps*]

**lemma** *bin-code-delay*: **assumes**  $|\alpha| \leq |q_0|$  **and**  $|\alpha| \leq |q_1|$  **and**  
 $q_0 \leq p\ u_0 \cdot w_0$  **and**  $q_1 \leq p\ u_1 \cdot w_1$  **and**  
 $w_0 \in \langle \{u_0, u_1\} \rangle$  **and**  $w_1 \in \langle \{u_0, u_1\} \rangle$   
**shows**  $q_0 \wedge_p\ q_1 = \alpha$   
*<proof>*

**lemma** *hd-lq-mismatch-fst*:  $hd (\alpha^{-1}\triangleright(u_0 \cdot \alpha)) = c_0$   
 ⟨proof⟩

**lemma** *hd-lq-mismatch-snd*:  $hd (\alpha^{-1}\triangleright(u_1 \cdot \alpha)) = c_1$   
 ⟨proof⟩

**lemma** *hds-bin-mismatch-neg*:  $hd (\alpha^{-1}\triangleright(u_0 \cdot \alpha)) \neq hd (\alpha^{-1}\triangleright(u_1 \cdot \alpha))$   
 ⟨proof⟩

**lemma** *bin-lcp-fst-pow-pref*: **assumes**  $0 < k$  **shows**  $\alpha \cdot [c_0] \leq_p u_0^{\textcircled{k}} \cdot u_1 \cdot z$   
 ⟨proof⟩

**lemmas** *bin-lcp-snd-pow-pref = binary-code.bin-lcp-fst-pow-pref[OF bin-code-swap, unfolded bin-lcp-swap]*

**lemma** *bin-lcp-fst-lcp*:  $\alpha \leq_p u_0 \cdot \alpha$  **and** *bin-lcp-snd-lcp*:  $\alpha \leq_p u_1 \cdot \alpha$   
 ⟨proof⟩

**lemma** *bin-lcp-pref-all-set*: **assumes**  $set\ ws = \{u_0, u_1\}$   
**shows**  $\alpha \leq_p concat\ ws$   
 ⟨proof⟩

**lemma** *bin-lcp-conjug-morph*:  
**assumes**  $u \in \langle \{u_0, u_1\} \rangle$  **and**  $v \in \langle \{u_0, u_1\} \rangle$   
**shows**  $\alpha^{-1}\triangleright(u \cdot \alpha) \cdot \alpha^{-1}\triangleright(v \cdot \alpha) = \alpha^{-1}\triangleright((u \cdot v) \cdot \alpha)$   
 ⟨proof⟩

**lemma** *lcp-bin-conjug-prim-iff*:  
 $set\ ws = \{u_0, u_1\} \implies primitive (\alpha^{-1}\triangleright(concat\ ws) \cdot \alpha) \longleftrightarrow primitive (concat\ ws)$   
 ⟨proof⟩

**lemma** *bin-lcp-conjug-inj-on*: *inj-on*  $(\lambda u. \alpha^{-1}\triangleright(u \cdot \alpha)) \langle \{u_0, u_1\} \rangle$   
 ⟨proof⟩

**lemma** *bin-code-lcp-marked*: **assumes**  $us \in lists\ \{u_0, u_1\}$  **and**  $vs \in lists\ \{u_0, u_1\}$   
**and**  $hd\ us \neq hd\ vs$   
**shows**  $concat\ us \cdot \alpha \wedge_p concat\ vs \cdot \alpha = \alpha$   
 ⟨proof⟩

**lemma** **assumes**  $us \in lists\ \{u_0, u_1\}$  **and**  $vs \in lists\ \{u_0, u_1\}$  **and**  $hd\ us \neq hd\ vs$   
**shows**  $concat\ us \cdot \alpha \wedge_p concat\ vs \cdot \alpha = \alpha$   
 ⟨proof⟩

**lemma** *bin-code-lcp-concat*: **assumes**  $us \in lists\ \{u_0, u_1\}$  **and**  $vs \in lists\ \{u_0, u_1\}$   
**and**  $\neg us \bowtie vs$   
**shows**  $concat\ us \cdot \alpha \wedge_p concat\ vs \cdot \alpha = concat\ (us \wedge_p vs) \cdot \alpha$   
 ⟨proof⟩

**lemma** *bin-code-lcp-concat'*: **assumes**  $us \in lists\ \{u_0, u_1\}$  **and**  $vs \in lists\ \{u_0, u_1\}$

**and**  $\neg \text{concat } us \bowtie \text{concat } vs$

**shows**  $\text{concat } us \wedge_p \text{concat } vs = \text{concat } (us \wedge_p vs) \cdot \alpha$

*<proof>*

**lemma** *bin-lcp-pows*:  $0 < k \implies 0 < l \implies u_0^{\textcircled{a}k} \cdot u_1 \cdot z \wedge_p u_1^{\textcircled{a}l} \cdot u_0 \cdot z' = \alpha$

*<proof>*

**theorem** *bin-code*: **assumes**  $us \in \text{lists } \{u_0, u_1\}$  **and**  $vs \in \text{lists } \{u_0, u_1\}$  **and**  $\text{concat } us = \text{concat } vs$

**shows**  $us = vs$

*<proof>*

**lemma** *code-bin-roots*:  $\text{binary-code } (\varrho u_0) (\varrho u_1)$

*<proof>*

**sublocale** *code*  $\{u_0, u_1\}$

*<proof>*

**lemma** *primroot-dec*:  $(\text{Dec } \{\varrho u_0, \varrho u_1\} u_0) = [\varrho u_0]^{\textcircled{a}} e_{\varrho} u_0 (\text{Dec } \{\varrho u_0, \varrho u_1\} u_1)$   
 $= [\varrho u_1]^{\textcircled{a}} e_{\varrho} u_1$

*<proof>*

**lemma** *bin-code-prefs*: **assumes**  $w \in \langle \{u_0, u_1\} \rangle$  **and**  $p \leq_p w$   $w' \in \langle \{u_0, u_1\} \rangle$  **and**  $|u_1| \leq |p|$

**shows**  $\neg u_0 \cdot p \leq_p u_1 \cdot w'$

*<proof>*

**lemma** *bin-code-rev*:  $\text{binary-code } (\text{rev } u_0) (\text{rev } u_1)$

*<proof>*

**lemma** *bin-mismatch-pows*:  $\neg u_0^{\textcircled{a}} \text{Suc } k \cdot u_1 \cdot z = u_1^{\textcircled{a}} \text{Suc } l \cdot u_0 \cdot z'$

*<proof>*

**lemma** *bin-lcp-pows-lcp*:  $0 < k \implies 0 < l \implies u_0^{\textcircled{a}k} \cdot u_1^{\textcircled{a}l} \wedge_p u_1^{\textcircled{a}l} \cdot u_0^{\textcircled{a}k} = u_0$   
 $\cdot u_1 \wedge_p u_1 \cdot u_0$

*<proof>*

**lemma** *bin-mismatch*:  $u_0 \cdot \alpha \wedge_p u_1 \cdot \alpha = \alpha$

*<proof>*

**lemma** *not-comp-bin-fst-snd*:  $\neg u_0 \cdot \alpha \bowtie u_1 \cdot \alpha$

*<proof>*

**theorem** *bin-bounded-delay*: **assumes**  $z \leq_p u_0 \cdot w_0$  **and**  $z \leq_p u_1 \cdot w_1$

**and**  $w_0 \in \langle \{u_0, u_1\} \rangle$  **and**  $w_1 \in \langle \{u_0, u_1\} \rangle$

**shows**  $|z| \leq |\alpha|$

*<proof>*

**thm** *binary-code.bin-lcp-pows-lcp*

**lemma** *prim-roots-lcp*:  $\varrho u_0 \cdot \varrho u_1 \wedge_p \varrho u_1 \cdot \varrho u_0 = \alpha$   
 ⟨*proof*⟩

### Maximal r-prefixes

**lemma** *bin-lcp-per-root-max-pref-short*: **assumes**  $\alpha <_p u_0 \cdot u_1 \wedge_p r \cdot u_0 \cdot u_1$  **and**  
 $r \neq \varepsilon$  **and**  $q \leq_p w$  **and**  $w \in \langle \{u_0, u_1\} \rangle$   
**shows**  $u_1 \cdot q \wedge_p r \cdot u_1 \cdot q = \text{take } |u_1 \cdot q| \alpha$   
 ⟨*proof*⟩

**lemma** *bin-per-root-max-pref-short*: **assumes**  $(u_0 \cdot u_1) <_p r \cdot u_0 \cdot u_1$  **and**  $q \leq_p w$   
**and**  $w \in \langle \{u_0, u_1\} \rangle$   
**shows**  $u_1 \cdot q \wedge_p r \cdot u_1 \cdot q = \text{take } |u_1 \cdot q| \alpha$   
 ⟨*proof*⟩

**lemma** *bin-root-max-pref-long*: **assumes**  $r \cdot u_0 \cdot u_1 = u_0 \cdot u_1 \cdot r$  **and**  $q \leq_p w$   
**and**  $w \in \langle \{u_0, u_1\} \rangle$  **and**  $|\alpha| \leq |q|$   
**shows**  $u_0 \cdot \alpha \leq_p u_0 \cdot q \wedge_p r \cdot u_0 \cdot q$   
 ⟨*proof*⟩

**lemma** *per-root-lcp-per-root*:  $u_0 \cdot u_1 <_p r \cdot u_0 \cdot u_1 \implies \alpha \cdot [c_0] \leq_p r \cdot \alpha$   
 ⟨*proof*⟩

**lemma** *per-root-bin-fst-snd-lcp*: **assumes**  $u_0 \cdot u_1 <_p r \cdot u_0 \cdot u_1$  **and**  
 $q \leq_p w$  **and**  $w \in \langle \{u_0, u_1\} \rangle$  **and**  $|\alpha| < |u_1 \cdot q|$   
 $q' \leq_p w'$  **and**  $w' \in \langle \{u_0, u_1\} \rangle$  **and**  $|\alpha| \leq |q'|$   
**shows**  $u_1 \cdot q \wedge_p r \cdot q' = \alpha$   
 ⟨*proof*⟩

**end**

**lemmas** *no-comm-bin-code* = *binary-code.bin-code*[*unfolded binary-code-def*]

**theorem** *bin-code-code*: **assumes**  $u \cdot v \neq v \cdot u$  **shows** *code*  $\{u, v\}$   
 ⟨*proof*⟩

**lemma** *code-bin-code*:  $u \neq v \implies \text{code } \{u, v\} \implies u \cdot v \neq v \cdot u$   
 ⟨*proof*⟩

**lemma** *lcp-roots-lcp*:  $x \cdot y \neq y \cdot x \implies x \cdot y \wedge_p y \cdot x = \varrho x \cdot \varrho y \wedge_p \varrho y \cdot \varrho x$   
 ⟨*proof*⟩

### 3.8.2 Binary Mismatch tools

**thm** *binary-code.bin-mismatch-pows*[*unfolded binary-code-def*]

**lemma** *bin-mismatch*:  $u^{\textcircled{a}} \text{Suc } k \cdot v \cdot z = v^{\textcircled{a}} \text{Suc } l \cdot u \cdot z' \implies u \cdot v = v \cdot u$   
 ⟨*proof*⟩

**definition** *bin-mismatch-pref* :: 'a list  $\Rightarrow$  'a list  $\Rightarrow$  'a list  $\Rightarrow$  bool **where**  
*bin-mismatch-pref*  $x\ y\ w \equiv \exists k. x^{\textcircled{k}} \cdot y \leq_p w$

— Binary mismatch elims

**lemma** *bm-pref-letter*: **assumes**  $x \cdot y \neq y \cdot x$  **and** *bin-mismatch-pref*  $x\ y\ (w1 \cdot y)$   
**shows** *bin-lcp*  $x\ y \cdot [bin-mismatch\ x\ y] \leq_p x \cdot w1 \cdot bin-lcp\ x\ y$   
*<proof>*

**lemma** *bm-eq-hard*: **assumes**  $x \cdot w1 = y \cdot w2$  **and** *bin-mismatch-pref*  $x\ y\ (w1 \cdot y)$  **and** *bin-mismatch-pref*  $y\ x\ (w2 \cdot x)$   
**shows**  $x \cdot y = y \cdot x$   
*<proof>*

**lemma** *bm-hard-lcp*: **assumes**  $x \cdot y \neq y \cdot x$  **and** *bin-mismatch-pref*  $x\ y\ w1$  **and** *bin-mismatch-pref*  $y\ x\ w2$   
**shows**  $x \cdot w1 \wedge_p y \cdot w2 = x \cdot y \wedge_p y \cdot x$   
*<proof>*

**lemma** *bm-pref-hard*: **assumes**  $x \cdot w1 \leq_p y \cdot w2$  **and** *bin-mismatch-pref*  $x\ y\ w1$  **and** *bin-mismatch-pref*  $y\ x\ (w2 \cdot x)$   
**shows**  $x \cdot y = y \cdot x$   
*<proof>*

**named-theorems** *bm-elim*s

**lemmas** [*bm-elim*s] = *bm-eq-hard* *bm-eq-hard*[*symmetric*] *bm-pref-hard* *bm-pref-hard*[*symmetric*]  
*bm-hard-lcp* *bm-hard-lcp*[*symmetric*]  
*arg-cong2*[*of* - - -  $\lambda x\ y. x \wedge_p y$ ]

**named-theorems** *bm-elim*s-*rev*

**lemmas** [*bm-elim*s-*rev*] = *bm-elim*s[*reversed*]

— Binary mismatch predicate evaluation

**named-theorems** *bm-simps*

**lemma** [*bm-simps*]: *bin-mismatch-pref*  $x\ y\ (y \cdot v)$   
*<proof>*

**lemma** [*bm-simps*]: *bin-mismatch-pref*  $x\ y\ y$   
*<proof>*

**lemma** [*bm-simps*]:  
 $w1 \in \langle \{x, y\} \rangle \Longrightarrow bin-mismatch-pref\ x\ y\ w \Longrightarrow bin-mismatch-pref\ x\ y\ (w1 \cdot w)$   
*<proof>*

**lemmas** [bm-simps] = lcp-ext-left

**named-theorems** bm-simps-rev

**lemmas** [bm-simps-rev] = bm-simps[reversed]

— Binary hull membership evaluation

**named-theorems** bin-hull-in

**lemma**[bin-hull-in]:  $x \in \langle \{x, y\} \rangle$

*<proof>*

**lemma**[bin-hull-in]:  $y \in \langle \{x, y\} \rangle$

*<proof>*

**lemma**[bin-hull-in]:  $w \in \langle \{x, y\} \rangle \longleftrightarrow w \in \langle \{y, x\} \rangle$

*<proof>*

**lemmas**[bin-hull-in] = hull-closed power-in rassoc

**named-theorems** bin-hull-in-rev

**lemmas** [bin-hull-in-rev] = bin-hull-in[reversed]

**method** mismatch0 =

*((simp only: shifts bm-simps)?)*,

*(elim bm-elims)?*;

*(simp-all only: bm-simps bin-hull-in)*

**method** mismatch-rev =

*((simp only: shifts-rev bm-simps-rev)?)*,

*(elim bm-elims-rev)?*;

*(simp-all only: bm-simps-rev bin-hull-in-rev)*

**method** mismatch =

*(insert method-facts, use nothing in*

*<(mismatch0;fail)|(mismatch-rev)>*

*)*

**thm** bm-elims

### Mismatch method demonstrations

**lemma**  $y \cdot x \leq_p x^{\textcircled{k}} \cdot x \cdot y \cdot w \implies x \cdot y = y \cdot x$

*<proof>*

**lemma**  $w1 \in \langle \{x, y\} \rangle \implies w2 \in \langle \{x, y\} \rangle \implies x \cdot w2 \cdot y \cdot z = y \cdot w1 \cdot x \cdot v \implies x \cdot$

$y = y \cdot x$

*<proof>*

**lemma**  $w1 \in \langle \{x, y\} \rangle \implies y \cdot x \cdot w2 \cdot z = x \cdot w1 \implies x \cdot y = y \cdot x$

*<proof>*

**lemma**  $w1 \in \langle \{x,y\} \rangle \implies w2 \in \langle \{x,y\} \rangle \implies x \cdot y \cdot w2 \cdot x \leq_s x \cdot w1 \cdot y \implies x \cdot y = y \cdot x$   
 (proof)

**lemma** **assumes**  $x \cdot y \cdot z = y \cdot y \cdot x \cdot v$  **shows**  $x \cdot y = y \cdot x$   
 (proof)

**lemma** **assumes**  $y \cdot x \cdot x \cdot y \cdot z = y \cdot x \cdot y \cdot y \cdot x \cdot v$  **shows**  $x \cdot y = y \cdot x$   
 (proof)

**lemma**  $y \cdot y \cdot x \cdot v = x \cdot x \cdot y \cdot z \implies x \cdot y = y \cdot x$   
 (proof)

**lemma**  $x \cdot x \cdot y \cdot z = y \cdot y \cdot x \cdot z' \implies x \cdot y = y \cdot x$   
 (proof)

**lemma**  $z \cdot x \cdot y \cdot x \cdot x = v \cdot x \cdot y \cdot y \implies y \cdot x = x \cdot y$   
 (proof)

**lemma**  $x \cdot y \leq_p y \cdot y \cdot x \implies x \cdot y = y \cdot x$   
 (proof)

**lemma**  $y \cdot x \cdot x \cdot x \cdot y \leq_p y \cdot x \cdot x \cdot y \cdot y \cdot x \implies x \cdot y = y \cdot x$   
 (proof)

**lemma**  $x \cdot y \leq_p y \cdot y \cdot x \cdot z \implies y \cdot x = x \cdot y$   
 (proof)

**lemma**  $x \cdot x \cdot y \cdot y \cdot y \leq_s z \cdot y \cdot y \cdot x \cdot x \implies x \cdot y = y \cdot x$   
 (proof)

**lemma** **assumes**  $x \cdot x \cdot y \cdot y \cdot y \cdot y \leq_s z \cdot y \cdot y \cdot x \cdot x$  **shows**  $x \cdot y = y \cdot x$   
 (proof)

**lemma**  $k \neq 0 \implies j \neq 0 \implies (x^{\textcircled{a}} j \cdot y^{\textcircled{a}} ka) \cdot y = y^{\textcircled{a}} k \cdot x^{\textcircled{a}} j \cdot y^{\textcircled{a}} (k-1) \implies x \cdot y = y \cdot x$   
 (proof)

**lemma**  $dif \neq 0 \implies j \neq 0 \implies (x^{\textcircled{a}} j \cdot y^{\textcircled{a}} ka) \cdot y^{\textcircled{a}} dif = y^{\textcircled{a}} dif \cdot x^{\textcircled{a}} j \cdot y^{\textcircled{a}} ka \implies x \cdot y = y \cdot x$   
 (proof)

**lemma** **assumes**  $x \cdot y \neq y \cdot x$   
**shows**  $x \cdot x \cdot y \wedge_p y \cdot y \cdot x = (x \cdot y \wedge_p y \cdot x)$   
 (proof)

**lemma** **assumes**  $x \cdot y \neq y \cdot x$   
**shows**  $w \cdot z \cdot x \cdot x \cdot y \wedge_p w \cdot z \cdot y \cdot y \cdot x = (w \cdot z) \cdot (x \cdot y \wedge_p y \cdot x)$

*<proof>*

### 3.8.3 Applied mismatch

**lemma** *pows-comm-comm*: **assumes**  $u^{\textcircled{a}}k \cdot v^{\textcircled{a}}m = u^{\textcircled{a}}l \cdot v^{\textcircled{a}}n$   $k \neq l$  **shows**  $u \cdot v = v \cdot u$   
*<proof>*

## 3.9 Two words hull (not necessarily a code)

**lemma** *bin-lists-len-count*: **assumes**  $x \neq y$  **and**  $ws \in \text{lists } \{x, y\}$  **shows**  
 $\text{count-list } ws \ x + \text{count-list } ws \ y = |ws|$   
*<proof>*

**lemma** *two-elim-first-block*: **assumes**  $w \in \langle \{u, v\} \rangle$   
**obtains**  $m$  **where**  $u^{\textcircled{a}}m \cdot v \bowtie w$   
*<proof>*

**lemmas** *two-elim-last-block* = *two-elim-first-block*[reversed]

**lemma** *two-elim-pref*: **assumes**  $v \leq_p u \cdot p$  **and**  $p \in \langle \{u, v\} \rangle$   
**shows**  $v \leq_p u \cdot v$   
*<proof>*

**lemmas** *two-elim-suf* = *two-elim-pref*[reversed]

**lemma** *gen-drop-exp*: **assumes**  $p \in \langle \{u, v^{\textcircled{a}}(\text{Suc } k)\} \rangle$  **shows**  $p \in \langle \{u, v\} \rangle$   
*<proof>*

**lemma** *gen-drop-exp-pos*: **assumes**  $p \in \langle \{u, v^{\textcircled{a}}k\} \rangle$   $0 < k$  **shows**  $p \in \langle \{u, v\} \rangle$   
*<proof>*

**lemma** *gen-prim*:  $p \in \langle \{u, v\} \rangle \implies p \in \langle \{u, \varrho v\} \rangle$   
*<proof>*

**lemma** *roots-hull*: **assumes**  $w \in \langle \{u^{\textcircled{a}}k, v^{\textcircled{a}}m\} \rangle$  **shows**  $w \in \langle \{u, v\} \rangle$   
*<proof>*

**lemma** *roots-hull-sub*:  $\langle \{u^{\textcircled{a}}k, v^{\textcircled{a}}m\} \rangle \subseteq \langle \{u, v\} \rangle$   
*<proof>*

**lemma** *primroot-gen*[intro]:  $v \in \langle \{u, \varrho v\} \rangle$   
*<proof>*

**lemma** *primroot-gen'*[intro]:  $u \in \langle \{\varrho u, v\} \rangle$   
*<proof>*

**lemma** *set-lists-primroot*:  $\text{set } ws \subseteq \{x, y\} \implies ws \in \text{lists } \{\varrho x, \varrho y\}$   
*<proof>*



### 3.10 Free hull

While not every set  $G$  of generators is a code, there is a unique minimal free monoid containing it, called the *free hull* of  $G$ . It can be defined inductively using the property known as the *stability condition*.

**inductive-set** *free-hull* :: 'a list set  $\Rightarrow$  'a list set ( $\langle\langle-\rangle_F\rangle$ )

**for**  $G$  **where**

$\varepsilon \in \langle G \rangle_F$

| *free-gen-in*:  $w \in G \Longrightarrow w \in \langle G \rangle_F$

|  $w1 \in \langle G \rangle_F \Longrightarrow w2 \in \langle G \rangle_F \Longrightarrow w1 \cdot w2 \in \langle G \rangle_F$

|  $p \in \langle G \rangle_F \Longrightarrow q \in \langle G \rangle_F \Longrightarrow p \cdot w \in \langle G \rangle_F \Longrightarrow w \cdot q \in \langle G \rangle_F \Longrightarrow w \in \langle G \rangle_F$  —

the stability condition

**lemmas** [*intro*] = *free-hull.intros*

The defined set indeed is a hull.

**lemma** *free-hull-hull[simp]*:  $\langle\langle G \rangle_F\rangle = \langle G \rangle_F$

*<proof>*

The free hull is always (non-strictly) larger than the hull.

**lemma** *hull-sub-free-hull*:  $\langle G \rangle \subseteq \langle G \rangle_F$

*<proof>*

On the other hand, it can be proved that the *free basis*, defined as the basis of the free hull, has a (non-strictly) smaller cardinality than the ordinary basis.

**definition** *free-basis* :: 'a list set  $\Rightarrow$  'a list set ( $\langle\mathfrak{B}_F \rightarrow [54] 55\rangle$ )

**where** *free-basis*  $G \equiv \mathfrak{B} \langle G \rangle_F$

**lemma** *basis-gen-hull-free*:  $\langle\mathfrak{B}_F G\rangle = \langle G \rangle_F$

*<proof>*

**lemma** *genset-sub-free*:  $G \subseteq \langle G \rangle_F$

*<proof>*

We have developed two points of view on freeness:

- being a free hull, that is, to satisfy the stability condition;
- being generated by a code.

We now show their equivalence

First, basis of a free hull is a code.

**lemma** *free-basis-code[simp]*: *code* ( $\mathfrak{B}_F G$ )

*<proof>*

**lemma** *gen-in-free-hull*:  $x \in G \implies x \in \langle \mathfrak{B}_F G \rangle$   
 ⟨proof⟩

Second, a code generates its free hull.

**lemma** (in code) *code-gen-free-hull*:  $\langle \mathcal{C} \rangle_F = \langle \mathcal{C} \rangle$   
 ⟨proof⟩

That is, a code is its own free basis

**lemma** (in code) *code-free-basis*:  $\mathcal{C} = \mathfrak{B}_F \mathcal{C}$   
 ⟨proof⟩

This allows to use the introduction rules of the free hull to prove one of the basic characterizations of the code, called the stability condition

**lemma** (in code) *stability*:  $p \in \langle \mathcal{C} \rangle \implies q \in \langle \mathcal{C} \rangle \implies p \cdot w \in \langle \mathcal{C} \rangle \implies w \cdot q \in \langle \mathcal{C} \rangle$   
 $\implies w \in \langle \mathcal{C} \rangle$   
 ⟨proof⟩

Moreover, the free hull of  $G$  is the smallest code-generated hull containing  $G$ . In other words, the term free hull is appropriate.

First, several intuitive monotonicity and closure results.

**lemma** *free-hull-mono*:  $G \subseteq H \implies \langle G \rangle_F \subseteq \langle H \rangle_F$   
 ⟨proof⟩

**lemma** *free-hull-idem*:  $\langle \langle G \rangle_F \rangle_F = \langle G \rangle_F$   
 ⟨proof⟩

**lemma** *hull-gen-free-hull*:  $\langle \langle G \rangle \rangle_F = \langle G \rangle_F$   
 ⟨proof⟩

Code is also the free basis of its hull.

**lemma** (in code) *code-free-basis-hull*:  $\mathcal{C} = \mathfrak{B}_F \langle \mathcal{C} \rangle$   
 ⟨proof⟩

The minimality of the free hull easily follows.

**theorem** (in code) *free-hull-min*: **assumes**  $G \subseteq \langle \mathcal{C} \rangle$  **shows**  $\langle G \rangle_F \subseteq \langle \mathcal{C} \rangle$   
 ⟨proof⟩

**theorem** *free-hull-inter*:  $\langle G \rangle_F = \bigcap \{M. G \subseteq M \wedge M = \langle M \rangle_F\}$   
 ⟨proof⟩

Decomposition into the free basis is a morphism.

**lemma** *free-basis-dec-morph*:  $u \in \langle G \rangle_F \implies v \in \langle G \rangle_F \implies$   
 $Dec (\mathfrak{B}_F G) (u \cdot v) = (Dec (\mathfrak{B}_F G) u) \cdot (Dec (\mathfrak{B}_F G) v)$   
 ⟨proof⟩

### 3.11 Reversing hulls and decompositions

**lemma** *basis-rev-commute*[reversal-rule]:  $\mathfrak{B} (\text{rev } ' G) = \text{rev } ' (\mathfrak{B} G)$   
 ⟨proof⟩

**lemma** *rev-free-hull-comm*:  $\langle \text{rev } ' X \rangle_F = \text{rev } ' \langle X \rangle_F$   
 ⟨proof⟩

**lemma** *free-basis-rev-commute* [reversal-rule]:  $\mathfrak{B}_F \text{rev } ' X = \text{rev } ' (\mathfrak{B}_F X)$   
 ⟨proof⟩

**lemma** *rev-dec*[reversal-rule]: **assumes**  $x \in \langle X \rangle_F$  **shows**  $\text{Dec rev } ' (\mathfrak{B}_F X) (\text{rev } x) = \text{map rev} (\text{rev} (\text{Dec} (\mathfrak{B}_F X) x))$   
 ⟨proof⟩

**lemma** *rev-hd-dec-last-eq*[reversal-rule]: **assumes**  $x \in X$  **and**  $x \neq \varepsilon$  **shows**  
 $\text{rev} (\text{hd} (\text{Dec} (\text{rev } ' (\mathfrak{B}_F X)) (\text{rev } x))) = \text{last} (\text{Dec} \mathfrak{B}_F X x)$   
 ⟨proof⟩

**lemma** *rev-hd-dec-last-eq'*[reversal-rule]: **assumes**  $x \in X$  **and**  $x \neq \varepsilon$  **shows**  
 $(\text{hd} (\text{Dec} (\text{rev } ' (\mathfrak{B}_F X)) (\text{rev } x))) = \text{rev} (\text{last} (\text{Dec} \mathfrak{B}_F X x))$   
 ⟨proof⟩

### 3.12 Lists as the free hull of singletons

A crucial property of free monoids of words is that they can be seen as lists over the free basis, instead as lists over the original alphabet.

**abbreviation** *sings* **where**  $\text{sings } B \equiv \{[b] \mid b. b \in B\}$

**term** *Set.filter*  $P A$

**lemma** *sings-image*:  $\text{sings } B = (\lambda x. [x]) ' B$   
 ⟨proof⟩

**lemma** *lists-sing-map-concat-ident*:  $xs \in \text{lists} (\text{sings } B) \implies xs = \text{map} (\lambda x. [x]) (\text{concat } xs)$   
 ⟨proof⟩

**lemma** *code-sings*:  $\text{code} (\text{sings } B)$   
 ⟨proof⟩

**lemma** *sings-gen-lists*:  $\langle \text{sings } B \rangle = \text{lists } B$   
 ⟨proof⟩

**lemma** *sing-gen-lists*:  $\text{lists } \{x\} = \langle \{[x]\} \rangle$   
 ⟨proof⟩

**lemma** *bin-gen-lists*:  $\text{lists } \{x, y\} = \langle \{[x], [y]\} \rangle$

*<proof>*

**lemma** *sings*  $B = \mathfrak{B}_F$  (*lists*  $B$ )

*<proof>*

**lemma** *map-sings*:  $xs \in \text{lists } B \implies \text{map } (\lambda x. x \# \varepsilon) xs \in \text{lists } (\text{sings } B)$

*<proof>*

**lemma** *dec-sings*:  $xs \in \text{lists } B \implies \text{Dec } (\text{sings } B) xs = \text{map } (\lambda x. [x]) xs$

*<proof>*

**lemma** *sing-lists-exp*: **assumes**  $ws \in \text{lists } \{x\}$

**obtains**  $k$  **where**  $ws = [x]^{\textcircled{k}}$

*<proof>*

**lemma** *sing-lists-exp-len*:  $ws \in \text{lists } \{x\} \implies [x]^{\textcircled{|ws|}} = ws$

*<proof>*

**lemma** *sing-lists-exp-count*:  $ws \in \text{lists } \{x\} \implies [x]^{\textcircled{\text{count-list } ws}} = ws$

*<proof>*

**lemma** *sing-set-pow-count-list*:  $\text{set } ws \subseteq \{a\} \implies [a]^{\textcircled{\text{count-list } ws}} = ws$

*<proof>*

**lemma** *sing-set-pow*:  $\text{set } ws \subseteq \{a\} \implies [a]^{\textcircled{|ws|}} = ws$

*<proof>*

**lemma** *count-sing-exp[simp]*:  $\text{count-list } ([a]^{\textcircled{k}}) a = k$

*<proof>*

**lemma** *count-sing-exp'[simp]*:  $\text{count-list } ([a]) a = 1$

*<proof>*

**lemma** *count-sing-distinct[simp]*:  $a \neq b \implies \text{count-list } ([a]^{\textcircled{k}}) b = 0$

*<proof>*

**lemma** *count-sing-distinct'[simp]*:  $a \neq b \implies \text{count-list } ([a]) b = 0$

*<proof>*

**lemma** *sing-letter-imp-prim*: **assumes**  $\text{count-list } w a = 1$  **shows** *primitive*  $w$

*<proof>*

**lemma** *prim-abk*:  $a \neq b \implies \text{primitive } ([a] \cdot [b]^{\textcircled{k}})$

*<proof>*

**lemma** *sing-code*:  $x \neq \varepsilon \implies \text{code } \{x\}$

*<proof>*

**lemma** *sings-card*:  $\text{card } A = \text{card } (\text{sings } A)$

*<proof>*

**lemma** *sings-finite*:  $finite\ A = finite\ (sings\ A)$   
*<proof>*

**lemma** *sings-conv*:  $A = B \longleftrightarrow sings\ A = sings\ B$   
*<proof>*

### 3.13 Various additional lemmas

#### 3.13.1 Roots of binary set

**lemma** *two-roots-code*: **assumes**  $x \neq \varepsilon$  **and**  $y \neq \varepsilon$  **shows**  $code\ \{\varrho\ x,\ \varrho\ y\}$   
*<proof>*

**lemma** *primroot-in-set-dec*: **assumes**  $x \neq \varepsilon$  **and**  $y \neq \varepsilon$  **shows**  $\varrho\ x \in set\ (Dec\ \{\varrho\ x,\ \varrho\ y\}\ x)$   
*<proof>*

**lemma** *primroot-dec*: **assumes**  $x \cdot y \neq y \cdot x$   
**shows**  $(Dec\ \{\varrho\ x,\ \varrho\ y\}\ x) = [\varrho\ x]^{\textcircled{\small \varrho}} e_{\varrho}\ x\ (Dec\ \{\varrho\ x,\ \varrho\ y\}\ y) = [\varrho\ y]^{\textcircled{\small \varrho}} e_{\varrho}\ y$   
*<proof>*

**lemma** (*in binary-code*) *bin-roots-sings-code*: **non-overlapping**  $\{Dec\ \{\varrho\ u_0,\ \varrho\ u_1\}\ u_0,\ Dec\ \{\varrho\ u_0,\ \varrho\ u_1\}\ u_1\}$   
*<proof>*

#### 3.13.2 Other

**lemma** *bin-count-one-decompose*: **assumes**  $ws \in lists\ \{x,y\}$  **and**  $x \neq y$  **and**  $count-list\ ws\ y = 1$   
**obtains**  $k\ m$  **where**  $[x]^{\textcircled{\small \varrho}} k \cdot [y] \cdot [x]^{\textcircled{\small \varrho}} m = ws$   
*<proof>*

**lemma** *bin-count-one-conjug*: **assumes**  $ws \in lists\ \{x,y\}$  **and**  $x \neq y$  **and**  $count-list\ ws\ y = 1$   
**shows**  $ws \sim [x]^{\textcircled{\small \varrho}} (count-list\ ws\ x) \cdot [y]$   
*<proof>*

**lemma** *bin-prim-long-set*: **assumes**  $ws \in lists\ \{x,y\}$  **and** *primitive*  $ws$  **and**  $2 \leq |ws|$   
**shows**  $set\ ws = \{x,y\}$   
*<proof>*

**lemma** *bin-prim-long-pref*: **assumes**  $ws \in lists\ \{x,y\}$  **and** *primitive*  $ws$  **and**  $2 \leq |ws|$   
**obtains**  $ws'$  **where**  $ws \sim ws'$  **and**  $[x,y] \leq_p ws'$   
*<proof>*

**end**

**theory** *Morphisms*

**imports** *CoWBasic Submonoids*

**begin**

## Chapter 4

# Morphisms

### 4.1 One morphism

#### 4.1.1 Morphism, core map and extension

**definition** *list-extension* :: ('a ⇒ 'b list) ⇒ ('a list ⇒ 'b list) (⟨-<sup>ℒ</sup>⟩ [1000] 1000)  
where  $t^{\mathcal{L}} \equiv (\lambda x. \text{concat } (\text{map } t \ x))$

**definition** *morphism-core* :: ('a list ⇒ 'b list) ⇒ ('a ⇒ 'b list) (⟨-<sup>ℒ</sup>⟩ [1000] 1000)  
where *core-def*:  $f^{\mathcal{C}} \equiv (\lambda x. f \ [x])$

**lemma** *core-sing*:  $f^{\mathcal{C}} \ a = f \ [a]$   
⟨proof⟩

**lemma** *range-map-core*:  $\text{range } (\text{map } f^{\mathcal{C}}) = \text{lists } (\text{range } f^{\mathcal{C}})$   
⟨proof⟩

**lemma** *map-core-lists*:  $(\text{map } f^{\mathcal{C}} \ w) \in \text{lists } (\text{range } f^{\mathcal{C}})$   
⟨proof⟩

**lemma** *comp-core*:  $(f \circ g)^{\mathcal{C}} = f \circ g^{\mathcal{C}}$   
⟨proof⟩

**locale** *morphism-on* =  
fixes  $f :: 'a \ \text{list} \Rightarrow 'b \ \text{list}$  and  $A :: 'a \ \text{list set}$   
assumes *morph-on*:  $u \in \langle A \rangle \Longrightarrow v \in \langle A \rangle \Longrightarrow f \ (u \cdot v) = f \ u \cdot f \ v$

**begin**

**lemma** *emp-to-emp[simp]*:  $f \ \varepsilon = \varepsilon$   
⟨proof⟩

**lemma** *emp-to-emp'*:  $w = \varepsilon \Longrightarrow f \ w = \varepsilon$   
⟨proof⟩

**lemma** *morph-concat-concat-map*:  $ws \in \text{lists } \langle A \rangle \implies f (\text{concat } ws) = \text{concat } (\text{map } f \text{ } ws)$

*<proof>*

**lemma** *hull-im-hull*:

**shows**  $\langle f ' A \rangle = f ' \langle A \rangle$

*<proof>*

**lemma** *inj-basis-to-basis*: **assumes** *inj-on*  $f \langle A \rangle$

**shows**  $f ' (\mathfrak{B} \langle A \rangle) = \mathfrak{B} (f' \langle A \rangle)$

*<proof>*

**lemma** *inj-code-to-code*: **assumes** *inj-on*  $f \langle A \rangle$  **and** *code*  $A$

**shows** *code*  $(f ' A)$

*<proof>*

**end**

**locale** *morphism* =

**fixes**  $f :: 'a \text{ list} \Rightarrow 'b \text{ list}$

**assumes** *morph*:  $f (u \cdot v) = f u \cdot f v$

**begin**

**sublocale** *morphism-on*  $f \text{ } UNIV$

*<proof>*

**lemma** *map-core-lists[simp]*:  $\text{map } f^{\mathcal{C}} \text{ } xs \in \text{lists } (\text{range } f^{\mathcal{C}})$

*<proof>*

**lemma** *pow-morph*:  $f (x^{\textcircled{k}}) = (f x)^{\textcircled{k}}$

*<proof>*

**lemma** *rev-map-pow*:  $(\text{rev-map } f) (w^{\textcircled{n}}) = \text{rev } ((f (\text{rev } w))^{\textcircled{n}})$

*<proof>*

**lemma** *pop-hd*:  $f (a \# u) = f [a] \cdot f u$

*<proof>*

**lemma** *pop-hd-nemp*:  $u \neq \varepsilon \implies f (u) = f [\text{hd } u] \cdot f (\text{tl } u)$

*<proof>*

**lemma** *pop-last-nemp*:  $u \neq \varepsilon \implies f (u) = f (\text{butlast } u) \cdot f [\text{last } u]$

*<proof>*

**lemma** *pref-mono*:  $u \leq_p v \implies f u \leq_p f v$

*<proof>*

**lemma** *suf-mono*:  $u \leq_s v \implies f u \leq_s f v$



*<proof>*

**lemma** *morph-concat-map*:  $\text{concat} (\text{map } f^{\mathcal{C}} x) = f x$   
*<proof>*

**lemma** *morph-concat-map'*:  $(\lambda x. \text{concat} (\text{map } f^{\mathcal{C}} x)) = f$   
*<proof>*

**lemma** *morph-to-concat*:  
**obtains**  $xs$  **where**  $xs \in \text{lists} (\text{range } f^{\mathcal{C}})$  **and**  $f x = \text{concat } xs$   
*<proof>*

**lemma** *range-hull*:  $\text{range } f = \langle\langle \text{range } f^{\mathcal{C}} \rangle\rangle$   
*<proof>*

**lemma** *im-in-hull*:  $f w \in \langle\langle \text{range } f^{\mathcal{C}} \rangle\rangle$   
*<proof>*

**lemma** *core-ext-id*:  $f^{\mathcal{C}\mathcal{L}} = f$   
*<proof>*

**lemma** *rev-map-morph*: *morphism*  $(\text{rev-map } f)$   
*<proof>*

**lemma** *morph-rev-len*:  $|f (\text{rev } u)| = |f u|$   
*<proof>*

**lemma** *rev-map-len*:  $|\text{rev-map } f u| = |f u|$   
*<proof>*

**lemma** *in-set-morph-len*: **assumes**  $a \in \text{set } w$  **shows**  $|f [a]| \leq |f w|$   
*<proof>*

**lemma** *morph-lq-comm*:  $u \leq_p v \implies f (u^{-1} > v) = (f u)^{-1} > (f v)$   
*<proof>*

**lemma** *morph-rq-comm*: **assumes**  $v \leq_s u$   
**shows**  $f (u <^{-1} v) = (f u) <^{-1} (f v)$   
*<proof>*

**lemma** *code-set-morph*: **assumes**  $c: \text{code } (f^{\mathcal{C}} \text{ `} (\text{set } (u \cdot v)))$  **and**  $i: \text{inj-on } f^{\mathcal{C}} (\text{set } (u \cdot v))$   
**and**  $f u = f v$   
**shows**  $u = v$   
*<proof>*

**lemma** *morph-concat-concat-map*:  $f (\text{concat } ws) = \text{concat} (\text{map } f ws)$   
*<proof>*

**lemma** *morph-on: morphism-on f A*  
(proof)

**lemma** *noner-sings-conv:  $(\forall w. w = \varepsilon \longleftrightarrow f w = \varepsilon) \longleftrightarrow (\forall a. f [a] \neq \varepsilon)$*   
(proof)

**lemma** *fac-mono:  $u \leq_f w \implies f u \leq_f f w$*   
(proof)

**lemma** *set-core-set:  $set (f w) = \bigcup (set ' f^c ' (set w))$*   
(proof)

**end**

**lemma** *morph-map: morphism (map f)*  
(proof)

**lemma** *list-ext-morph: morphism t<sup>c</sup>*  
(proof)

**lemma** *ext-def-on-set:  $(\bigwedge a. a \in set u \implies g a = f a) \implies g^c u = f^c u$*   
(proof)

**lemma** *morph-def-on-set: morphism f  $\implies$  morphism g  $\implies (\bigwedge a. a \in set u \implies g^c a = f^c a) \implies g u = f u$*   
(proof)

**lemma** *morph-compose: morphism f  $\implies$  morphism g  $\implies$  morphism (f  $\circ$  g)*  
(proof)

### 4.1.2 Periodic morphism

**locale** *periodic-morphism = morphism +*  
**assumes** *ims-comm:  $\bigwedge u v. f u \cdot f v = f v \cdot f u$  and*  
*not-triv-emp:  $\neg (\forall c. f [c] = \varepsilon)$*

**begin**

**lemma** *per-morph-root-ex:*  
 $\exists r. \forall u. \exists n. f u = r^{\textcircled{n}} \wedge \text{primitive } r$   
(proof)

**definition** *mroot where*  $mroot \equiv (SOME r. (\forall u. \exists n. f u = r^{\textcircled{n}}) \wedge \text{primitive } r)$

**definition** *mexp :: 'a  $\Rightarrow$  nat where*  $mexp c \equiv (SOME n. f [c] = mroot^{\textcircled{n}})$

**lemma** *per-morph-rootI:  $\forall u. \exists n. f u = mroot^{\textcircled{n}}$  and*  
*per-morph-root-prim: primitive mroot*  
(proof)

**lemma** *per-morph-expI':  $f [c] = mroot^{\textcircled{mexp c}}$*

*<proof>*

**lemma** *per-morph-expE*:

**obtains**  $n$  **where**  $f\ u = mroot^@n$

*<proof>*

**interpretation** *mirror*: *periodic-morphism rev-map f*

*<proof>*

**lemma** *mroot-rev*:  $mirror.mroot = rev\ mroot$

*<proof>*

**end**

### 4.1.3 Non-erasing morphism

**locale** *nonerasing-morphism* = *morphism* +

**assumes** *nonerasing*:  $f\ w = \varepsilon \implies w = \varepsilon$

**begin**

**lemma** *core-nemp*:  $f^c\ a \neq \varepsilon$

*<proof>*

**lemma** *nemp-to-nemp*:  $w \neq \varepsilon \implies f\ w \neq \varepsilon$

*<proof>*

**lemma** *sing-to-nemp*:  $f\ [a] \neq \varepsilon$

*<proof>*

**lemma** *pref-morph-pref-eq*:  $u \leq_p v \implies f\ v \leq_p f\ u \implies u = v$

*<proof>*

**lemma** *comm-eq-im-eq*:

$u \cdot v = v \cdot u \implies f\ u = f\ v \implies u = v$

*<proof>*

**lemma** *comm-eq-im-iff* :

**assumes**  $u \cdot v = v \cdot u$

**shows**  $f\ u = f\ v \longleftrightarrow u = v$

*<proof>*

**lemma** *rev-map-nonerasing*: *nonerasing-morphism (rev-map f)*

*<proof>*

**lemma** *first-of-first*:  $(f\ (a \# ws))!0 = f\ [a]!0$

*<proof>*

**lemma** *hd-im-hd-hd*: **assumes**  $u \neq \varepsilon$  **shows**  $hd\ (f\ u) = hd\ (f\ [hd\ u])$

*<proof>*

**lemma** *ssuf-mono*:  $u <_s v \implies f u <_s f v$   
*<proof>*

**lemma** *im-len-le*:  $|u| \leq |f u|$   
*<proof>*

**lemma** *im-len-eq-iff*:  $|u| = |f u| \iff (\forall c. c \in \text{set } u \longrightarrow |f [c]| = 1)$   
*<proof>*

**lemma** *im-len-less*:  $a \in \text{set } u \implies |f [a]| \neq 1 \implies |u| < |f u|$   
*<proof>*

**end**

**lemma** (*in morphism*) *nonerI[intro]*: **assumes**  $(\bigwedge a. f^{\mathcal{C}} a \neq \varepsilon)$   
**shows** *nonerasing-morphism*  $f$   
*<proof>*

**lemma** (*in morphism*) *prim-morph-nonera*:  
**assumes** *prim-morph*:  $\bigwedge u. 2 \leq |u| \implies \text{primitive } u \implies \text{primitive } (f u)$   
**and** *non-single-dom*:  $\exists a b :: 'a. a \neq b$   
**shows** *nonerasing-morphism*  $f$   
*<proof>*

#### 4.1.4 Code morphism

The term “Code morphism” is equivalent to “injective morphism”.

Note that this is not equivalent to *code* ( $\text{range } f^{\mathcal{C}}$ ), since the core can be not injective.

**lemma** (*in morphism*) *code-core-range-inj*:  $\text{inj } f \iff \text{code } (\text{range } f^{\mathcal{C}}) \wedge \text{inj } f^{\mathcal{C}}$   
*<proof>*

**locale** *code-morphism = morphism*  $f$  **for**  $f$  +  
**assumes** *code-morph*:  $\text{inj } f$

**begin**

**lemma** *inj-core*:  $\text{inj } f^{\mathcal{C}}$   
*<proof>*

**lemma** *sing-im-core*:  $f [a] \in (\text{range } f^{\mathcal{C}})$   
*<proof>*

**lemma** *code-im*:  $\text{code } (\text{range } f^{\mathcal{C}})$   
*<proof>*

**sublocale** *code range*  $f^c$   
⟨*proof*⟩

**sublocale** *nonerasing-morphism*  
⟨*proof*⟩

**lemma** *code-morph-code*: **assumes**  $f r = f s$  **shows**  $r = s$   
⟨*proof*⟩

**lemma** *code-morph-bij*: *bij-betw*  $f$  *UNIV*  $\langle(\text{range } f^c)\rangle$   
⟨*proof*⟩

**lemma** *code-morphism-rev-map*: *code-morphism*  $(\text{rev-map } f)$   
⟨*proof*⟩

**lemma** *morph-on-inj-on*:  
*morphism-on*  $f$  *A inj-on*  $f$  *A*  
⟨*proof*⟩

**end**

**lemma** (**in** *morphism*) *code-morphismI*: *inj*  $f \implies$  *code-morphism*  $f$   
⟨*proof*⟩

**lemma** (**in** *nonerasing-morphism*) *code-morphismI'* :  
**assumes** *comm*:  $\bigwedge u v. f u = f v \implies u \cdot v = v \cdot u$   
**shows** *code-morphism*  $f$   
⟨*proof*⟩

#### 4.1.5 Prefix code morphism

**locale** *pref-code-morphism* = *nonerasing-morphism* +  
**assumes**  
*pref-free*:  $f^c a \leq_p f^c b \implies a = b$

**begin**

**interpretation** *prefrange*: *pref-code*  $(\text{range } f^c)$   
⟨*proof*⟩

**lemma** *inj-core*: *inj*  $f^c$   
⟨*proof*⟩

**sublocale** *code-morphism*  
⟨*proof*⟩

**thm** *nonerasing*

**lemma** *pref-free-morph*: **assumes**  $f r \leq_p f s$  **shows**  $r \leq_p s$

*<proof>*

**end**

#### 4.1.6 Marked morphism

**locale** *marked-morphism* = *nonerasing-morphism* +

**assumes**

*marked-core*:  $hd (f^C a) = hd (f^C b) \implies a = b$

**begin**

**lemma** *marked-im*: *marked-code* (*range*  $f^C$ )

*<proof>*

**interpretation** *marked-code* (*range*  $f^C$ )

*<proof>*

**lemmas** *marked-morph* = *marked-core*[*unfolded core-sing*]

**sublocale** *pref-code-morphism*

*<proof>*

**lemma** *hd-im-eq-hd-eq*: **assumes**  $u \neq \varepsilon$  **and**  $v \neq \varepsilon$  **and**  $hd (f u) = hd (f v)$

**shows**  $hd u = hd v$

*<proof>*

**lemma** *marked-morph-lcp*:  $f (r \wedge_p s) = f r \wedge_p f s$

*<proof>*

**lemma** *marked-inj-map*:  $inj e \implies marked-morphism ((map e) \circ f)$

*<proof>*

**end**

**thm** *morphism.nonerI*

**lemma** (**in** *morphism*) *marked-morphismI*:

$(\bigwedge a. f[a] \neq \varepsilon) \implies (\bigwedge a b. a \neq b) \implies hd (f[a]) \neq hd (f[b]) \implies marked-morphism$

$f$

*<proof>*

#### 4.1.7 Image length

**definition** *max-image-length*:: (*'a list*  $\Rightarrow$  *'b list*)  $\Rightarrow$  *nat* ( $\langle [-] \rangle$ )

**where** *max-image-length*  $f = Max (length (range f^C))$

**definition** *min-image-length*:: (*'a list*  $\Rightarrow$  *'b list*)  $\Rightarrow$  *nat* ( $\langle [-] \rangle$ )

**where** *min-image-length*  $f = Min (length (range f^C))$

**lemma** *max-im-len-id*:  $[id::('a\ list \Rightarrow 'a\ list)] = 1$  **and** *min-im-len-id*:  $[id::('a\ list \Rightarrow 'a\ list)] = 1$

*<proof>*

**context** *morphism*

**begin**

**lemma** *max-im-len-le*:  $finite\ (length\ 'range\ f^C) \Longrightarrow |f\ z| \leq |z| * [f]$

*<proof>*

**lemma** *max-im-len-le-sing*: **assumes**  $finite\ (length\ 'range\ f^C)$

**shows**  $|f\ [a]| \leq [f]$

*<proof>*

**lemma** *min-im-len-ge*:  $finite\ (length\ 'range\ f^C) \Longrightarrow |z| * [f] \leq |f\ z|$

*<proof>*

**lemma** *max-im-len-comp-le*: **assumes**  $finite\ f$ :  $finite\ (length\ 'range\ f^C)$  **and**

$finite\ g$ :  $finite\ (length\ 'range\ g^C)$  **and** *morphism*  $g$

**shows**  $finite\ (length\ 'range\ (g \circ f)^C)$   $[g \circ f] \leq [f] * [g]$

*<proof>*

**lemma** *max-im-len-emp*: **assumes**  $finite\ (length\ 'range\ f^C)$

**shows**  $[f] = 0 \longleftrightarrow (f = (\lambda w. \varepsilon))$

*<proof>*

**lemmas** *max-im-len-le-dom* =  $max-im-len-le[OF\ finite-imageI,\ OF\ finite-imageI]$

**and**

*min-im-len-le-sing-dom* =  $min-im-len-le-sing[OF\ finite-imageI,\ OF\ finite-imageI]$

**and**

*min-im-len-ge-dom* =  $min-im-len-ge[OF\ finite-imageI,\ OF\ finite-imageI]$  **and**

*max-im-len-comp-le-dom* =  $max-im-len-comp-le[OF\ finite-imageI,\ OF\ finite-imageI]$

**and**

*max-im-len-emp-dom* =  $max-im-len-emp[OF\ finite-imageI,\ OF\ finite-imageI]$

**end**

### 4.1.8 Endomorphism

**locale** *endomorphism* = *morphism*  $f$  **for**  $f$ :  $'a\ list \Rightarrow 'a\ list$

**begin**

**lemma** *pow-endomorphism*: *endomorphism*  $(f^{\sim k})$

*<proof>*

**interpretation** *pow-endm*: *endomorphism*  $(f^{\sim k})$

*<proof>*

**lemmas** *pow-morphism = pow-endm.morphism-axioms* **and**  
*pow-morph = pow-endm.morph* **and**  
*pow-emp-to-emp = pow-endm.emp-to-emp*

**lemma** *pow-sets-im: set w = set v  $\implies$  set ((f $\sim$ k) w) = set ((f $\sim$ k) v)*  
 ⟨proof⟩

**lemma** *fin-len-ran-pow: finite (length ' range f<sup>C</sup>)  $\implies$  finite (length ' range (f $\sim$ k)<sup>C</sup>)*  
 ⟨proof⟩

**lemma** *max-im-len-pow-le: assumes finite (length ' range f<sup>C</sup>) shows [f $\sim$ k]  $\leq$  [f] $\wedge$ k*  
 ⟨proof⟩

**lemma** *max-im-len-pow-le': finite (length ' range f<sup>C</sup>)  $\implies$  |(f $\sim$ k) w|  $\leq$  |w| \* [f] $\wedge$ k*  
 ⟨proof⟩

**lemmas** *max-im-len-pow-le-dom = max-im-len-pow-le[OF finite-imageI, OF finite-imageI]* **and**  
*max-im-len-pow-le'-dom = max-im-len-pow-le'[OF finite-imageI, OF finite-imageI]*

**lemma** *funpow-nonerasing-morphism: assumes nonerasing-morphism f shows nonerasing-morphism (f $\sim$ k)*  
 ⟨proof⟩

**lemma** *im-len-pow-mono: assumes nonerasing-morphism f i  $\leq$  j shows |(f $\sim$ i) w|  $\leq$  |(f $\sim$ j) w|*  
 ⟨proof⟩

**lemma** *fac-mono-pow: u  $\leq$  f (f $\sim$ k) w  $\implies$  (f $\sim$ l) u  $\leq$  f (f $\sim$ (l+k)) w*  
 ⟨proof⟩

**lemma** *rev-map-endomorph: endomorphism (rev-map f)*  
 ⟨proof⟩

end

## 4.2 Primitivity preserving morphisms

**locale** *primitivity-preserving-morphism = nonerasing-morphism +*  
**assumes** *prim-morph : 2  $\leq$  |u|  $\implies$  primitive u  $\implies$  primitive (f u)*  
**begin**

**sublocale** *code-morphism*  
 ⟨proof⟩



**lemmas** *code-morph* = *code-morph*

**end**

## 4.3 Two morphisms

Solutions and the coincidence pairs are defined for any two mappings

### 4.3.1 Solutions

**definition** *minimal-solution* :: 'a list  $\Rightarrow$  ('a list  $\Rightarrow$  'b list)  $\Rightarrow$  ('a list  $\Rightarrow$  'b list)  $\Rightarrow$  bool

( $\langle \cdot \in \cdot =_M \rightarrow [80,80,80] 51 \rangle$ )

**where** *min-sol-def*: *minimal-solution*  $g\ h \equiv s \neq \varepsilon \wedge g\ s = h\ s$

$\wedge (\forall\ s'.\ s' \neq \varepsilon \wedge s' \leq_p s \wedge g\ s' = h\ s' \longrightarrow s' = s)$

**lemma** *min-solD*:  $s \in g =_M h \Longrightarrow g\ s = h\ s$

$\langle proof \rangle$

**lemma** *min-solD'*:  $s \in g =_M h \Longrightarrow s \neq \varepsilon$

$\langle proof \rangle$

**lemma** *min-solD-min*:  $s \in g =_M h \Longrightarrow p \neq \varepsilon \Longrightarrow p \leq_p s \Longrightarrow g\ p = h\ p \Longrightarrow p = s$

$\langle proof \rangle$

**lemma** *min-solI[intro]*:  $s \neq \varepsilon \Longrightarrow g\ s = h\ s \Longrightarrow (\bigwedge\ s'.\ s' \leq_p s \Longrightarrow s' \neq \varepsilon \Longrightarrow g\ s' = h\ s' \Longrightarrow s' = s) \Longrightarrow s \in g =_M h$

$\langle proof \rangle$

**lemma** *min-sol-sym-iff*:  $s \in g =_M h \longleftrightarrow s \in h =_M g$

$\langle proof \rangle$

**lemma** *min-sol-sym[sym]*:  $s \in g =_M h \Longrightarrow s \in h =_M g$

$\langle proof \rangle$

**lemma** *min-sol-prefE*:

**assumes**  $g\ r = h\ r$  **and**  $r \neq \varepsilon$

**obtains**  $e$  **where**  $e \in g =_M h$  **and**  $e \leq_p r$

$\langle proof \rangle$

### 4.3.2 Coincidence pairs

**definition** *coincidence-set* :: ('a list  $\Rightarrow$  'b list)  $\Rightarrow$  ('a list  $\Rightarrow$  'b list)  $\Rightarrow$  ('a list  $\times$  'a list) set ( $\langle \mathcal{C} \rangle$ )

**where** *coincidence-set*  $g\ h \equiv \{(r,s). g\ r = h\ s\}$

**lemma** *coin-set-eq*:  $(g \circ fst)'(\mathfrak{C} g h) = (h \circ snd)'(\mathfrak{C} g h)$   
 ⟨proof⟩

**lemma** *coin-setD*:  $pair \in \mathfrak{C} g h \implies g (fst\ pair) = h (snd\ pair)$   
 ⟨proof⟩

**lemma** *coin-setD-iff*:  $pair \in \mathfrak{C} g h \iff g (fst\ pair) = h (snd\ pair)$   
 ⟨proof⟩

**lemma** *coin-set-sym*:  $fst'(\mathfrak{C} g h) = snd'(\mathfrak{C} h g)$   
 ⟨proof⟩

**lemma** *coin-set-inter-fst*:  $(g \circ fst)'(\mathfrak{C} g h) = range\ g \cap range\ h$   
 ⟨proof⟩

**lemmas** *coin-set-inter-snd* = *coin-set-inter-fst*[*unfolded coin-set-eq*]

**definition** *minimal-coincidence* ::  $('a\ list \Rightarrow 'b\ list) \Rightarrow 'a\ list \Rightarrow ('a\ list \Rightarrow 'b\ list)$   
 $\Rightarrow 'a\ list \Rightarrow bool$   $(\lambda(-) =_m (-))$  [80,81,80,81] 51  
**where** *min-coin-def*:  $minimal-coincidence\ g\ r\ h\ s \equiv r \neq \varepsilon \wedge s \neq \varepsilon \wedge g\ r = h\ s$   
 $\wedge (\forall r'\ s'. r' \leq np\ r \wedge s' \leq np\ s \wedge g\ r' = h\ s' \implies r' = r \wedge s' = s)$

**definition** *min-coincidence-set* ::  $('a\ list \Rightarrow 'b\ list) \Rightarrow ('a\ list \Rightarrow 'b\ list) \Rightarrow ('a\ list$   
 $\times 'a\ list)\ set$   $(\langle \mathfrak{C}_m \rangle)$   
**where** *min-coincidence-set*  $g\ h \equiv \{(r,s) . g\ r =_m\ h\ s\}$

**lemma** *min-coin-minD*:  $g\ r =_m\ h\ s \implies r' \leq np\ r \implies s' \leq np\ s \implies g\ r' = h\ s'$   
 $\implies r' = r \wedge s' = s$   
 ⟨proof⟩

**lemma** *min-coin-setD*:  $p \in \mathfrak{C}_m\ g\ h \implies g (fst\ p) =_m\ h (snd\ p)$   
 ⟨proof⟩

**lemma** *min-coinD*:  $g\ r =_m\ h\ s \implies g\ r = h\ s$   
 ⟨proof⟩

**lemma** *min-coinD'*:  $g\ r =_m\ h\ s \implies r \neq \varepsilon \wedge s \neq \varepsilon$   
 ⟨proof⟩

**lemma** *min-coin-sub*:  $\mathfrak{C}_m\ g\ h \subseteq \mathfrak{C}\ g\ h$   
 ⟨proof⟩

**lemma** *min-coin-defI*: **assumes**  $r \neq \varepsilon$  **and**  $s \neq \varepsilon$  **and**  $g\ r = h\ s$  **and**  
 $(\bigwedge r'\ s'. r' \leq np\ r \implies s' \leq np\ s \implies g\ r' = h\ s' \implies r' = r \wedge s' = s)$   
**shows**  $g\ r =_m\ h\ s$   
 ⟨proof⟩

**lemma** *min-coin-sym[sym]*:  $g\ r =_m\ h\ s \implies h\ s =_m\ g\ r$   
 ⟨proof⟩

**lemma** *min-coin-sym-iff*:  $g \ r =_m \ h \ s \longleftrightarrow h \ s =_m \ g \ r$   
 ⟨proof⟩

**lemma** *min-coin-set-sym*:  $\text{fst}'(\mathfrak{C}_m \ g \ h) = \text{snd}'(\mathfrak{C}_m \ h \ g)$   
 ⟨proof⟩

### 4.3.3 Basics

**locale** *two-morphisms* =  $g$ : morphism  $g + h$ : morphism  $h$  **for**  $g \ h :: 'a \ \text{list} \Rightarrow 'b \ \text{list}$

**begin**

**lemma** *def-on-sings*:  
**assumes**  $\bigwedge a. a \in \text{set } u \implies g \ [a] = h \ [a]$   
**shows**  $g \ u = h \ u$   
 ⟨proof⟩

**lemma** *def-on-sings-eq*:  
**assumes**  $\bigwedge a. g \ [a] = h \ [a]$   
**shows**  $g = h$   
 ⟨proof⟩

**lemma** *ims-prefs-comp*:  
**assumes**  $u \leq_p \ u'$  **and**  $v \leq_p \ v'$  **and**  $g \ u' \bowtie h \ v'$  **shows**  $g \ u \bowtie h \ v$   
 ⟨proof⟩

**lemma** *ims-sufs-comp*:  
**assumes**  $u \leq_s \ u'$  **and**  $v \leq_s \ v'$  **and**  $g \ u' \bowtie_s h \ v'$  **shows**  $g \ u \bowtie_s h \ v$   
 ⟨proof⟩

**lemma** *ims-hd-eq-comp*:  
**assumes**  $u \neq \varepsilon$  **and**  $g \ u = h \ u$  **shows**  $g \ [\text{hd } u] \bowtie h \ [\text{hd } u]$   
 ⟨proof⟩

**lemma** *ims-last-eq-suf-comp*:  
**assumes**  $u \neq \varepsilon$  **and**  $g \ u = h \ u$  **shows**  $g \ [\text{last } u] \bowtie_s h \ [\text{last } u]$   
 ⟨proof⟩

**lemma** *len-im-le*:  
**assumes**  $(\bigwedge a. a \in \text{set } s \implies |g \ [a]| \leq |h \ [a]|)$   
**shows**  $|g \ s| \leq |h \ s|$   
 ⟨proof⟩

**lemma** *len-im-less*:  
**assumes**  $\bigwedge a. a \in \text{set } s \implies |g \ [a]| \leq |h \ [a]|$  **and**  
 $b \in \text{set } s$  **and**  $|g \ [b]| < |h \ [b]|$   
**shows**  $|g \ s| < |h \ s|$

*<proof>*

**lemma** *solution-eq-len-eq*:

**assumes**  $g\ s = h\ s$  **and**  $\bigwedge a. a \in \text{set } s \implies |g\ [a]| = |h\ [a]|$

**shows**  $\bigwedge a. a \in \text{set } s \implies g\ [a] = h\ [a]$

*<proof>*

**lemma** *rev-maps: two-morphisms* (*rev-map*  $g$ ) (*rev-map*  $h$ )

*<proof>*

**lemma** *min-solD-min-suf*: **assumes**  $sol \in g =_M h$  **and**  $s \neq \varepsilon$   $s \leq_s sol$  **and**  $g\ s = h\ s$

**shows**  $s = sol$

*<proof>*

**lemma** *min-sol-rev[reversal-rule]*:

**assumes**  $s \in g =_M h$

**shows**  $(rev\ s) \in (rev\text{-map } g) =_M (rev\text{-map } h)$

*<proof>*

**lemma** *coin-set-lists-concat*:  $ps \in \text{lists } (\mathfrak{C}\ g\ h) \implies g\ (\text{concat } (\text{map } fst\ ps)) = h\ (\text{concat } (\text{map } snd\ ps))$

*<proof>*

**lemma** *coin-set-hull*:  $\langle snd\ '(\mathfrak{C}\ g\ h) \rangle = snd\ '(\mathfrak{C}\ g\ h)$

*<proof>*

**lemma** *min-sol-sufE*:

**assumes**  $g\ r = h\ r$  **and**  $r \neq \varepsilon$

**obtains**  $e$  **where**  $e \in g =_M h$  **and**  $e \leq_s r$

*<proof>*

**lemma** *min-sol-primitive*: **assumes**  $sol \in g =_M h$  **shows** *primitive*  $sol$

*<proof>*

**lemma** *prim-sol-two-sols*:

**assumes**  $g\ u = h\ u$  **and**  $\neg u \in g =_M h$  **and** *primitive*  $u$

**obtains**  $s1\ s2$  **where**  $s1 \in g =_M h$  **and**  $s2 \in g =_M h$  **and**  $s1 \neq s2$

*<proof>*

**lemma** *prim-sols-two-sols*:

**assumes**  $g\ r = h\ r$  **and**  $g\ s = h\ s$  **and** *primitive*  $s$  **and** *primitive*  $r$  **and**  $r \neq s$

**obtains**  $s1\ s2$  **where**  $s1 \in g =_M h$  **and**  $s2 \in g =_M h$  **and**  $s1 \neq s2$

*<proof>*

**end**

### 4.3.4 Two nonerasing morphisms

Minimal coincidence pairs and minimal solutions make good sense for non-erasing morphisms only.

**locale** *two-nonerasing-morphisms* = *two-morphisms* +  
*g*: *nonerasing-morphism g* +  
*h*: *nonerasing-morphism h*

**begin**

**thm** *g.morph*

**thm** *g.emp-to-emp*

**lemma** *two-nonerasing-morphisms-swap*: *two-nonerasing-morphisms h g*  
*<proof>*

**lemma** *noner-eq-emp-iff*:  $g\ u = h\ v \implies u = \varepsilon \longleftrightarrow v = \varepsilon$   
*<proof>*

**lemma** *min-coin-rev*:  
**assumes**  $g\ r =_m\ h\ s$   
**shows**  $(rev\text{-}map\ g)\ (rev\ r) =_m\ (rev\text{-}map\ h)\ (rev\ s)$   
*<proof>*

**lemma** *min-coin-pref-eq*:  
**assumes**  $g\ e =_m\ h\ f$  **and**  $g\ e' = h\ f'$  **and**  $e' \leq_{np}\ e$  **and**  $f' \bowtie f$   
**shows**  $e' = e$  **and**  $f' = f$   
*<proof>*

**lemma** *min-coin-prefE*:  
**assumes**  $g\ r = h\ s$  **and**  $r \neq \varepsilon$   
**obtains**  $e\ f$  **where**  $g\ e =_m\ h\ f$  **and**  $e \leq_p\ r$  **and**  $f \leq_p\ s$  **and**  $hd\ e = hd\ r$   
*<proof>*

**lemma** *min-coin-dec*: **assumes**  $g\ e = h\ f$   
**obtains**  $ps$  **where**  $concat\ (map\ fst\ ps) = e$  **and**  $concat\ (map\ snd\ ps) = f$  **and**  
 $\bigwedge p. p \in set\ ps \implies g\ (fst\ p) =_m\ h\ (snd\ p)$   
*<proof>*

**lemma** *min-coin-code*:  
**assumes**  $xs \in lists\ (\mathfrak{C}_m\ g\ h)$  **and**  $ys \in lists\ (\mathfrak{C}_m\ g\ h)$  **and**  
 $concat\ (map\ fst\ xs) = concat\ (map\ fst\ ys)$  **and**  
 $concat\ (map\ snd\ xs) = concat\ (map\ snd\ ys)$   
**shows**  $xs = ys$   
*<proof>*

**lemma** *coin-closed*:  $ps \in lists\ (\mathfrak{C}\ g\ h) \implies (concat\ (map\ fst\ ps), concat\ (map\ snd\ ps)) \in \mathfrak{C}\ g\ h$   
*<proof>*

**lemma** *min-coin-gen-snd*:  $\langle \text{snd } '(\mathfrak{C}_m g h) \rangle = \text{snd } '(\mathfrak{C} g h)$   
*<proof>*

**lemma** *min-coin-gen-fst*:  $\langle \text{fst } '(\mathfrak{C}_m g h) \rangle = \text{fst } '(\mathfrak{C} g h)$   
*<proof>*

**lemma** *min-coin-code-snd*:  
**assumes** *code-morphism g* **shows** *code (snd '(\mathfrak{C}\_m g h))*  
*<proof>*

**lemma** *min-coin-code-fst*:  
*code-morphism h*  $\implies$  *code (fst '(\mathfrak{C}\_m g h))*  
*<proof>*

**lemma** *min-coin-basis-snd*:  
**assumes** *code-morphism g*  
**shows**  $\mathfrak{B} (\text{snd } '(\mathfrak{C} g h)) = \text{snd } '(\mathfrak{C}_m g h)$   
*<proof>*

**lemma** *min-coin-basis-fst*:  
*code-morphism h*  $\implies$   $\mathfrak{B} (\text{fst } '(\mathfrak{C} g h)) = \text{fst } '(\mathfrak{C}_m g h)$   
*<proof>*

**lemma** *sol-im-len-less*: **assumes**  $g u = h u$  **and**  $g \neq h$  **and** *set u = UNIV*  
**shows**  $|u| < |g u|$   
*<proof>*

**end**

**locale** *two-code-morphisms = g: code-morphism g + h: code-morphism h*  
**for**  $g h :: 'a \text{ list} \Rightarrow 'b \text{ list}$

**begin**

**sublocale** *two-nonerasing-morphisms g h*  
*<proof>*

**lemmas** *code-morphs = g.code-morphism-axioms h.code-morphism-axioms*

**lemma** *revs-two-code-morphisms*: *two-code-morphisms (rev-map g) (rev-map h)*  
*<proof>*

**lemma** *min-coin-im-basis*:  
 $\mathfrak{B} (h' (\text{snd } '(\mathfrak{C} g h))) = h' \text{snd } '(\mathfrak{C}_m g h)$   
 $\mathfrak{B} (g' (\text{fst } '(\mathfrak{C} g h))) = g' \text{fst } '(\mathfrak{C}_m g h)$   
*<proof>*

**lemma** *range-inter-basis-snd*:

shows  $\mathfrak{B} (\text{range } g \cap \text{range } h) = h \cdot (\text{snd } \mathfrak{C}_m g h)$   
 $\mathfrak{B} (\text{range } g \cap \text{range } h) = g \cdot (\text{fst } \mathfrak{C}_m g h)$   
 ⟨proof⟩

**lemma** *range-inter-code*:  
 shows code  $\mathfrak{B} (\text{range } g \cap \text{range } h)$   
 ⟨proof⟩

**end**

### 4.3.5 Two marked morphisms

**locale** *two-marked-morphisms = two-nonerasing-morphisms +*  
*g: marked-morphism g + h: marked-morphism h*

**begin**

**sublocale** *revs: two-code-morphisms g h*  
 ⟨proof⟩

**lemmas** *ne-g = g.nonerasing and*  
*ne-h = h.nonerasing*

**lemma** *unique-continuation*:  
 $z \cdot g r = z' \cdot h s \implies z \cdot g r' = z' \cdot h s' \implies z \cdot g (r \wedge_p r') = z' \cdot h (s \wedge_p s')$   
 ⟨proof⟩

**lemmas** *empty-sol = noner-eq-emp-iff*

**lemma** *comparable-min-sol-eq: assumes*  $r \leq_p r'$  **and**  $g r =_m h s$  **and**  $g r' =_m h s'$   
**shows**  $r = r'$  **and**  $s = s'$   
 ⟨proof⟩

**lemma** *first-letter-determines*:  
**assumes**  $g e =_m h f$  **and**  $g e' = h f'$  **and**  $hd e = hd e'$  **and**  $e' \neq \varepsilon$   
**shows**  $e \leq_p e'$  **and**  $f \leq_p f'$   
 ⟨proof⟩

**corollary** *first-letter-determines'*:  
**assumes**  $g e =_m h f$  **and**  $g e' =_m h f'$  **and**  $hd e = hd e'$   
**shows**  $e = e'$  **and**  $f = f'$   
 ⟨proof⟩

**lemma** *first-letter-determines-sol: assumes*  $r \in g =_M h$  **and**  $s \in g =_M h$  **and**  $hd r = hd s$   
**shows**  $r = s$   
 ⟨proof⟩

**definition** *pre-block* :: 'a ⇒ 'a list × 'a list

**where** *pre-block* a = (THE p. (g (fst p) =<sub>m</sub> h (snd p)) ∧ hd (fst p) = a)

— *pre-block* a may not be a block, if no such exists

**definition** *blockP* :: 'a ⇒ bool

**where** *blockP* a ≡ g (fst (pre-block a)) =<sub>m</sub> h (snd (pre-block a)) ∧ hd (fst (pre-block a)) = a

— Predicate: the *pre-block* of the letter a is indeed a block

**lemma** *pre-blockI*: g u =<sub>m</sub> h v ⇒ *pre-block* (hd u) = (u,v)

⟨proof⟩

**lemma** *blockI*: **assumes** g u =<sub>m</sub> h v **and** hd u = a

**shows** *blockP* a

⟨proof⟩

**lemma** *hd-im-comm-eq-aux*:

**assumes** g w = h w **and** w ≠ ε **and** *comm*: g<sup>C</sup> (hd w) · h<sup>C</sup>(hd w) = h<sup>C</sup> (hd w) · g<sup>C</sup>(hd w) **and** *len*: |g<sup>C</sup> (hd w)| ≤ |h<sup>C</sup>(hd w)|

**shows** g<sup>C</sup> (hd w) = h<sup>C</sup> (hd w)

⟨proof⟩

**lemma** *hd-im-comm-eq*:

**assumes** g w = h w **and** w ≠ ε **and** *comm*: g<sup>C</sup> (hd w) · h<sup>C</sup>(hd w) = h<sup>C</sup> (hd w) · g<sup>C</sup>(hd w)

**shows** g<sup>C</sup> (hd w) = h<sup>C</sup> (hd w)

⟨proof⟩

**lemma** *block-ex*: **assumes** g u =<sub>m</sub> h v **shows** *blockP* (hd u)

⟨proof⟩

**lemma** *sol-block-ex*: **assumes** g u = h v **and** u ≠ ε **shows** *blockP* (hd u)

⟨proof⟩

**definition** *suc-fst* **where** *suc-fst* ≡ λ x. concat(map (λ y. fst (pre-block y)) x)

**definition** *suc-snd* **where** *suc-snd* ≡ λ x. concat(map (λ y. snd (pre-block y)) x)

**lemma** *blockP-D*: *blockP* a ⇒ g (suc-fst [a]) =<sub>m</sub> h (suc-snd [a])

⟨proof⟩

**lemma** *blockP-D-hd*: *blockP* a ⇒ hd (suc-fst [a]) = a

⟨proof⟩

**abbreviation** *blocks* τ ≡ (∀ a. a ∈ set τ ⇒ *blockP* a)

**sublocale** *sucs*: two-morphisms *suc-fst* *suc-snd*

⟨proof⟩



**lemma** *blockP-D-hd-hd*: **assumes** *blockP a*  
**shows**  $hd (h^C (hd (suc-snd [a]))) = hd (g^C a)$   
 $\langle proof \rangle$

**lemma** *suc-morph-sings*: **assumes**  $g e =_m h f$   
**shows**  $suc-fst [hd e] = e$  **and**  $suc-snd [hd e] = f$   
 $\langle proof \rangle$

**lemma** *blocks-eq*: **blocks**  $\tau \implies g (suc-fst \tau) = h (suc-snd \tau)$   
 $\langle proof \rangle$

**lemma** *suc-eq'*: **assumes**  $\bigwedge a. blockP a$  **shows**  $g(suc-fst w) = h(suc-snd w)$   
 $\langle proof \rangle$

**lemma** *suc-eq*: **assumes** *all-blocks*:  $\bigwedge a. blockP a$  **shows**  $g \circ suc-fst = h \circ suc-snd$   
 $\langle proof \rangle$

**lemma** *block-eq*: **blockP a**  $\implies g (suc-fst [a]) = h (suc-snd [a])$   
 $\langle proof \rangle$

**lemma** *blocks-inj-suc*: **assumes** *blocks*  $\tau$  **shows** *inj-on*  $suc-fst^C (set \tau)$   
 $\langle proof \rangle$

**lemma** *blocks-inj-suc'*: **assumes** *blocks*  $\tau$  **shows** *inj-on*  $suc-snd^C (set \tau)$   
 $\langle proof \rangle$

**lemma** *blocks-marked-code*: **assumes** *blocks*  $\tau$   
**shows** *marked-code*  $(suc-fst^C (set \tau))$   
 $\langle proof \rangle$

**lemma** *blocks-marked-code'*: **assumes** *all-blocks*:  $\bigwedge a. a \in set \tau \implies blockP a$   
**shows** *marked-code*  $(suc-snd^C (set \tau))$   
 $\langle proof \rangle$

**lemma** *sucs-marked-morphs*: **assumes** *all-blocks*:  $\bigwedge a. blockP a$   
**shows** *two-marked-morphisms*  $suc-fst suc-snd$   
 $\langle proof \rangle$

**lemma** *pre-blocks-range*:  $\{(e,f). g e =_m h f\} \subseteq range\ pre-block$   
 $\langle proof \rangle$

**corollary** *card-blocks*: **assumes** *finite*  $(UNIV :: 'a set)$  **shows**  $card \{(e,f). g e =_m h f\} \leq card (UNIV :: 'a set)$   
 $\langle proof \rangle$

**lemma** *block-decomposition*: **assumes**  $g e = h f$   
**obtains**  $\tau$  **where**  $suc-fst \tau = e$  **and**  $suc-snd \tau = f$  **and** *blocks*  $\tau$   
 $\langle proof \rangle$

**lemma** *block-decomposition-unique*: **assumes**  $g\ e = h\ f$  **and**  
 $\text{suc-fst } \tau = e$  **and**  $\text{suc-fst } \tau' = e$  **and** *blocks*  $\tau$  **and** *blocks*  $\tau'$  **shows**  $\tau = \tau'$   
*<proof>*

**lemma** *block-decomposition-unique'*: **assumes**  $g\ e = h\ f$  **and**  
 $\text{suc-snd } \tau = f$  **and**  $\text{suc-snd } \tau' = f$  **and** *blocks*  $\tau$  **and** *blocks*  $\tau'$   
**shows**  $\tau = \tau'$   
*<proof>*

**lemma** *comm-sings-block*: **assumes**  $g[a] \cdot h[b] = h[b] \cdot g[a]$   
**obtains**  $m\ n$  **where**  $\text{suc-fst } [a] = [a]^{\textcircled{a}} \text{Suc } m$  **and**  $\text{suc-snd } [a] = [b]^{\textcircled{b}} \text{Suc } n$   
*<proof>*

**definition** *sucs-encoding* **where**  $\text{sucs-encoding} = (\lambda\ a.\ \text{hd } (g\ [a]))$   
**definition** *sucs-decoding* **where**  $\text{sucs-decoding} = (\lambda\ a.\ \text{SOME } c.\ \text{hd } (g[c]) = a)$

**lemma** *sucs-encoding-inv*:  $\text{sucs-decoding} \circ \text{sucs-encoding} = \text{id}$   
*<proof>*

**lemma** *encoding-inj*: *inj* *sucs-encoding*  
*<proof>*

**lemma** *map-encoding-inj*: *inj* (*map* *sucs-encoding*)  
*<proof>*

**definition** *suc-fst'* **where**  $\text{suc-fst}' = (\text{map } \text{sucs-encoding}) \circ \text{suc-fst}$   
**definition** *suc-snd'* **where**  $\text{suc-snd}' = (\text{map } \text{sucs-encoding}) \circ \text{suc-snd}$

**lemma** *encoded-sucs-eq-conv*:  $\text{suc-fst } w = \text{suc-snd } w' \longleftrightarrow \text{suc-fst}' w = \text{suc-snd}' w'$   
*<proof>*

**lemma** *encoded-sucs-eq-conv'*:  $\text{suc-fst} = \text{suc-snd} \longleftrightarrow \text{suc-fst}' = \text{suc-snd}'$   
*<proof>*

**lemma** *encoded-sucs*: **assumes**  $\bigwedge c.\ \text{blockP } c$  **shows** *two-marked-morphisms*  $\text{suc-fst}'$   
 $\text{suc-snd}'$   
*<proof>*

**lemma** *encoded-sucs-len*:  $|\text{suc-fst } w| = |\text{suc-fst}' w|$  **and**  $|\text{suc-snd } w| = |\text{suc-snd}' w|$   
*<proof>*

**end**

**end**

```
theory Periodicity-Lemma  
  imports CoWBasic  
begin
```

## Chapter 5

# The Periodicity Lemma

The Periodicity Lemma says that if a sufficiently long word has two periods  $p$  and  $q$ , then the period can be refined to  $\gcd p q$ . The consequence is equivalent to the fact that the corresponding periodic roots commute. “Sufficiently long” here means at least  $p + q - \gcd p q$ . It is also known as the Fine and Wilf theorem due to its authors [3].

If we relax the requirement to  $p + q$ , then the claim becomes easy, and it is proved in *Combinatorics-Words.CoWBasic* as *two-pers-root*:  $\llbracket \langle_p w (u \cdot w); \langle_p w (v \cdot w); |u| + |v| \leq |w| \rrbracket \implies u \cdot v = v \cdot u$ .

**theorem** *per-lemma-relaxed*:

**assumes** *period w p and period w q and  $p + q \leq |w|$*   
**shows**  $(\text{take } p w) \cdot (\text{take } q w) = (\text{take } q w) \cdot (\text{take } p w)$   
*<proof>*

Also in terms of the numeric period:

**thm** *two-periods*

### 5.1 Main claim

We first formulate the claim of the Periodicity lemma in terms of commutation of two periodic roots. For trivial reasons we can also drop the requirement that the roots are nonempty.

**theorem** *per-lemma-comm*:

**assumes**  $w \leq_p r \cdot w$  **and**  $w \leq_p s \cdot w$   
**and len:**  $|r| + |s| - (\gcd |r| |s|) \leq |w|$   
**shows**  $r \cdot s = s \cdot r$   
*<proof>*

**lemma** *per-lemma-comm-pref*:

**assumes**  $u \leq_p r^{\textcircled{k}} u$  **and**  $u \leq_p s^{\textcircled{l}} u$   
**and len:**  $|r| + |s| - \gcd (|r|) (|s|) \leq |u|$

**shows**  $r \cdot s = s \cdot r$   
 ⟨proof⟩

We can now prove the numeric version.

**theorem** *per-lemma*: **assumes** *period w p* **and** *period w q* **and** *len: p + q - gcd p q ≤ |w|*  
**shows** *period w (gcd p q)*  
 ⟨proof⟩

## 5.2 Optimality

*FW-word* (where FW stands for Fine and Wilf) yields a word which show the optimality of the bound in the Periodicity lemma. Moreover, the obtained word has maximum possible letters (each equality of letters is forced by periods). The latter is not proved here.

**term** *butlast* ( $[0..<(gcd\ p\ q)]^{\textcircled{p}}(p\ div\ (gcd\ p\ q))$ ). $[gcd\ p\ q]$ .(*butlast* ( $[0..<(gcd\ p\ q)]^{\textcircled{p}}(p\ div\ (gcd\ p\ q))$ )))

— an auxiliary claim

**lemma** *ext-per-sum*: **assumes** *period w p* **and** *period w q* **and**  $p \leq |w|$   
**shows** *period ((take p w) · w) (p+q)*  
 ⟨proof⟩

**definition** *fw-p-per*  $p\ q \equiv$  *butlast* ( $[0..<(gcd\ p\ q)]^{\textcircled{p}}(p\ div\ (gcd\ p\ q))$ )

**definition** *fw-base*  $p\ q \equiv$  *fw-p-per*  $p\ q \cdot [gcd\ p\ q]$ . *fw-p-per*  $p\ q$

**fun** *FW-word* :: *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  *nat list* **where**

*FW-word-def*: *FW-word*  $p\ q =$   
 — symmetry (if  $q < p$  then *FW-word*  $q\ p$  else  
 — artificial value if  $p = 0$  then  $\varepsilon$  else  
 — artificial value if  $p = q$  then  $\varepsilon$  else  
 — base case if  $gcd\ p\ q = q - p$  then *fw-base*  $p\ q$   
 — step else (take  $p$  (*FW-word*  $p\ (q-p)$ )) · *FW-word*  $p\ (q-p)$ )

**lemma** *FW-sym*: *FW-word*  $p\ q =$  *FW-word*  $q\ p$   
 ⟨proof⟩

**theorem** *fw-word'*:  $\neg p\ dvd\ q \implies \neg q\ dvd\ p \implies$   
 $|FW-word\ p\ q| = p + q - gcd\ p\ q - 1 \wedge period\ (FW-word\ p\ q)\ p \wedge period$   
 $(FW-word\ p\ q)\ q \wedge \neg period\ (FW-word\ p\ q)\ (gcd\ p\ q)$   
 ⟨proof⟩

**theorem** *fw-word*: **assumes**  $\neg p\ dvd\ q \neg q\ dvd\ p$   
**shows**  $|FW-word\ p\ q| = p + q - gcd\ p\ q - 1$  **and** *period* (*FW-word*  $p\ q$ )  $p$  **and**  
*period* (*FW-word*  $p\ q$ )  $q$  **and**  $\neg period$  (*FW-word*  $p\ q$ )  $(gcd\ p\ q)$   
 ⟨proof⟩

Calculation examples

### 5.3 Other variants of the periodicity lemma

Periodicity lemma is one of the most frequent tools in Combinatorics on words. Here are some useful variants.

Note that the following lemmas are stronger versions of  $\llbracket \langle_p ?w (?p \cdot ?w); \langle_s ?w (?w \cdot ?q); |?p| + |?q| \leq |?w|; \wedge r s k l m. \llbracket ?p = (r \cdot s)^{\textcircled{a}} k; ?q = (s \cdot r)^{\textcircled{a}} l; ?w = (r \cdot s)^{\textcircled{a}} m \cdot r; \text{primitive}(r \cdot s) \rrbracket \implies ?thesis \rrbracket \implies ?thesis$   
 $\llbracket \leq_f ?w (?p^{\textcircled{a}} ?k); \leq_f ?w (?q^{\textcircled{a}} ?l); ?p \neq \varepsilon; ?q \neq \varepsilon; |?p| + |?q| \leq |?w|; \wedge r s m. \llbracket \varrho ?p \sim r \cdot s; \varrho ?q \sim r \cdot s; ?w = (r \cdot s)^{\textcircled{a}} m \cdot r; \text{primitive}(r \cdot s) \rrbracket \implies ?thesis \rrbracket \implies ?thesis$   
 $\llbracket \leq_f ?u (?r^{\textcircled{a}} ?k); \leq_f ?u (?s^{\textcircled{a}} ?l); ?r \neq \varepsilon; ?s \neq \varepsilon; |?r| + |?s| \leq |?u| \rrbracket \implies \varrho ?r \sim \varrho ?s$   
 $\llbracket \leq_f ?u (?r^{\textcircled{a}} ?k); \leq_f ?u (?s^{\textcircled{a}} ?l); ?u \neq \varepsilon; |?r| + |?s| \leq |?u| \rrbracket \implies \varrho ?r \sim \varrho ?s$   
 $\llbracket \leq_f ?w (?u^{\textcircled{a}} ?n); \leq_f ?w (?v^{\textcircled{a}} ?m); \text{primitive } ?u; \text{primitive } ?v; |?u| + |?v| \leq |?w| \rrbracket \implies ?u \sim ?v$  that have a relaxed length assumption  $|p| + |q| \leq |w|$  instead of  $|p| + |q| - \gcd |p| |q| \leq |w|$  (and which follow from the relaxed version of periodicity lemma  $\llbracket \leq_p ?w (?u \cdot ?w); \leq_p ?w (?v \cdot ?w); |?u| + |?v| \leq |?w| \rrbracket \implies ?u \cdot ?v = ?v \cdot ?u$ .

**lemma per-lemma-pref-suf-gcd:** **assumes**  $w \langle_p p \cdot w$  **and**  $w \langle_s w \cdot q$  **and**

*fw:*  $|p| + |q| - (\gcd |p| |q|) \leq |w|$

**obtains**  $r s k l m$  **where**  $p = (r \cdot s)^{\textcircled{a}} k$  **and**  $q = (s \cdot r)^{\textcircled{a}} l$  **and**  $w = (r \cdot s)^{\textcircled{a}} m \cdot r$  **and** *primitive*  $(r \cdot s)$

*<proof>*

**lemma fac-two-conjug-primroot-gcd:**

**assumes** *facs:*  $w \leq_f p^{\textcircled{a}} k$   $w \leq_f q^{\textcircled{a}} l$  **and** *nemps:*  $p \neq \varepsilon$   $q \neq \varepsilon$  **and** *len:*  $|p| + |q| - \gcd(|p|)(|q|) \leq |w|$

**obtains**  $r s m$  **where**  $\varrho p \sim r \cdot s$  **and**  $\varrho q \sim r \cdot s$  **and**  $w = (r \cdot s)^{\textcircled{a}} m \cdot r$  **and** *primitive*  $(r \cdot s)$

*<proof>*

**corollary fac-two-conjug-primroot'-gcd:**

**assumes** *facs:*  $u \leq_f r^{\textcircled{a}} k$   $u \leq_f s^{\textcircled{a}} l$  **and** *nemps:*  $r \neq \varepsilon$   $s \neq \varepsilon$  **and** *len:*  $|r| + |s| - \gcd(|r|)(|s|) \leq |u|$

**shows**  $\varrho r \sim \varrho s$

*<proof>*

**lemma fac-two-conjug-primroot''-gcd:**

**assumes** *facs:*  $u \leq_f r^{\textcircled{a}} k$   $u \leq_f s^{\textcircled{a}} l$  **and**  $u \neq \varepsilon$  **and** *len:*  $|r| + |s| - \gcd(|r|)(|s|) \leq |u|$

**shows**  $\varrho r \sim \varrho s$

*<proof>*

**lemma fac-two-prim-conjug-gcd:**

**assumes**  $w \leq_f u^{\otimes n} w \leq_f v^{\otimes m}$  primitive  $u$  primitive  $v$   $|u| + |v| - \gcd(|u|)(|v|)$   
 $\leq |w|$   
**shows**  $u \sim v$   
 ⟨proof⟩

**lemma** *two-pers-1*:

**assumes**  $pu: w \leq_p u \cdot w$  **and**  $pv: w \leq_p v \cdot w$  **and**  $len: |u| + |v| - 1 \leq |w|$   
**shows**  $u \cdot v = v \cdot u$   
 ⟨proof⟩

**end**

**theory** *Lyndon-Schutzenberger*

**imports** *Submonoids Periodicity-Lemma*

**begin**

## Chapter 6

# Lyndon-Schützenberger Equation

### 6.1 The original result

The Lyndon-Schützenberger equation is the following equation:

$$x^a y^b = z^c,$$

in this formalization denoted as  $x^{\textcircled{a}} \cdot y^{\textcircled{b}} = z^{\textcircled{c}}$ .

We formalize here a complete solution of this equation.

The main result, proved by Lyndon and Schützenberger is that the equation has periodic solutions only in free groups if  $2 \leq a, b, c$ . In this formalization we consider the equation in words only. Then the original result can be formulated as saying that all words  $x, y$  and  $z$  satisfying the equality with  $2 \leq a, b, c$  pairwise commute.

The result in free groups was first proved in [7]. For words, there are several proofs to be found in the literature (for instance [4, 2]). The presented proof is the authors' proof.

In addition, we give a full parametric solution of the equation for any  $a, b$  and  $c$ .

### 6.2 The original result

If  $x^a$  or  $y^b$  is sufficiently long, then the claim follows from the Periodicity Lemma.

**lemma** *LS-per-lemma-case1:*

**assumes** eq:  $x^{\textcircled{a}} \cdot y^{\textcircled{b}} = z^{\textcircled{c}}$  **and**  $0 < a$  **and**  $0 < b$  **and**  $|z| + |x| - 1 \leq |x^{\textcircled{a}}|$

**shows**  $x \cdot y = y \cdot x$  **and**  $x \cdot z = z \cdot x$

*<proof>*



A weaker version will be often more convenient

**lemma** *LS-per-lemma-case*:

**assumes** eq:  $x^{\textcircled{a}}a \cdot y^{\textcircled{b}}b = z^{\textcircled{c}}c$  **and**  $0 < a$  **and**  $0 < b$  **and**  $|z| + |x| \leq |x^{\textcircled{a}}a|$   
**shows**  $x \cdot y = y \cdot x$  **and**  $x \cdot z = z \cdot x$   
*<proof>*

The most challenging case is when  $c = 3$ .

**lemma** *LS-core-case*:

**assumes**  
eq:  $x^{\textcircled{a}}a \cdot y^{\textcircled{b}}b = z^{\textcircled{c}}c$  **and**  
 $2 \leq a$  **and**  $2 \leq b$  **and**  $2 \leq c$  **and**  
 $c = 3$  **and**  
 $b * |y| \leq a * |x|$  **and**  $x \neq \varepsilon$  **and**  $y \neq \varepsilon$  **and**  
lenx:  $a * |x| < |z| + |x|$  **and**  
leny:  $b * |y| < |z| + |y|$   
**shows**  $x \cdot y = y \cdot x$   
*<proof>*

The main proof is by induction on the length of  $z$ . It also uses the reverse symmetry of the equation which is exploited by two interpretations of the locale *LS*. Note also that the case  $|x^a| < |y^b|$  is solved by using induction on  $|z| + |y^b|$  instead of just on  $|z|$ .

**lemma** *Lyndon-Schutzenberger'*:

$\llbracket x^{\textcircled{a}}a \cdot y^{\textcircled{b}}b = z^{\textcircled{c}}c; 2 \leq a; 2 \leq b; 2 \leq c \rrbracket$   
 $\implies x \cdot y = y \cdot x$   
*<proof>*

**theorem** *Lyndon-Schutzenberger*:

**assumes**  $x^{\textcircled{a}}a \cdot y^{\textcircled{b}}b = z^{\textcircled{c}}c$  **and**  $2 \leq a$  **and**  $2 \leq b$  **and**  $2 \leq c$   
**shows**  $x \cdot y = y \cdot x$  **and**  $x \cdot z = z \cdot x$  **and**  $y \cdot z = z \cdot y$   
*<proof>*

**hide-fact** *Lyndon-Schutzenberger' LS-core-case*

## 6.2.1 Some alternative formulations.

**lemma** *Lyndon-Schutzenberger-conjug*: **assumes**  $u \sim v$  **and**  $\neg$  primitive  $(u \cdot v)$   
**shows**  $u \cdot v = v \cdot u$   
*<proof>*

**lemma** *Lyndon-Schutzenberger-prim*: **assumes**  $\neg$  primitive  $x$  **and**  $\neg$  primitive  $y$   
**and**  $\neg$  primitive  $(x \cdot y)$   
**shows**  $x \cdot y = y \cdot x$   
*<proof>*

**lemma** *Lyndon-Schutzenberger-rotate*: **assumes**  $x^{\textcircled{a}}c = r^{\textcircled{a}}k \cdot u^{\textcircled{b}}b \cdot r^{\textcircled{a}}k'$   
**and**  $2 \leq b$  **and**  $2 \leq c$  **and**  $0 < k$  **and**  $0 < k'$   
**shows**  $u \cdot r = r \cdot u$   
*<proof>*

## 6.3 Parametric solution of the equation $x^{\textcircled{j}} \cdot y^{\textcircled{k}} = z^{\textcircled{l}}$

### 6.3.1 Auxiliary lemmas

**lemma** *xjy-imprim-len*: **assumes**  $x \cdot y \neq y \cdot x$  **and**  $eq: x^{\textcircled{j}} \cdot y = z^{\textcircled{l}}$  **and**  $2 \leq j$  **and**  $2 \leq l$

**shows**  $|x^{\textcircled{j}}| < |y| + 2 \cdot |x|$  **and**  $|z| < |x| + |y|$  **and**  $|x| < |z|$  **and**  $|x^{\textcircled{j}}| < |z| + |x|$

*<proof>*

**lemma** *case-j1k1*: **assumes**

*eq*:  $x \cdot y = z^{\textcircled{l}}$  **and**

*non-comm*:  $x \cdot y \neq y \cdot x$  **and**

*l-min*:  $2 \leq l$

**obtains**  $r \ q \ m \ n$  **where**

$x = (r \cdot q)^{\textcircled{m}} \cdot r$  **and**

$y = q \cdot (r \cdot q)^{\textcircled{n}}$  **and**

$z = r \cdot q$  **and**

$l = m + n + 1$  **and**  $r \cdot q \neq q \cdot r$  **and**  $|x| + |y| \geq 4$

*<proof>*

### 6.3.2 $x$ is longer

We set up a locale representing the Lyndon-Schützenberger Equation with relaxed exponents and a length assumption breaking the symmetry.

**locale** *LS-len-le* = *binary-code*  $x \ y$  **for**  $x \ y +$

**fixes**  $j \ k \ l \ z$

**assumes**

*y-le-x*:  $|y| \leq |x|$

**and** *eq*:  $x^{\textcircled{j}} \cdot y^{\textcircled{k}} = z^{\textcircled{l}}$

**and** *l-min*:  $2 \leq l$

**and** *j-min*:  $1 \leq j$

**and** *k-min*:  $1 \leq k$

**begin**

**lemma** *jk-small*: **obtains**  $j = 1 \mid k = 1$

*<proof>*

**case**  $2 \leq j$

**lemma** *case-j2k1*: **assumes**  $2 \leq j \ k = 1$

**obtains**  $r \ q \ t$  **where**

$(r \cdot q)^{\textcircled{t}} \cdot r = x$  **and**

$q \cdot r \cdot r \cdot q = y$  **and**

$(r \cdot q)^{\textcircled{t}} \cdot r \cdot r \cdot q = z$  **and**  $2 \leq t$

$j = 2$  **and**  $l = 2$  **and**  $r \cdot q \neq q \cdot r$  **and**

*primitive*  $x$  **and** *primitive*  $y$

*<proof>*

**case**  $j = 1$

**lemma** *case-j1k2-primitive*: **assumes**  $j = 1 \ 2 \leq k$   
**shows** *primitive*  $x$   
(*proof*)

**lemma** *case-j1k2-a*: **assumes**  $j = 1 \ 2 \leq k \ z \leq_s y^{\textcircled{k}}$   
**obtains**  $r \ q \ t$  **where**  
 $x = ((q \cdot r) \cdot (r \cdot (q \cdot r)^{\textcircled{t}})^{\textcircled{k-1}})^{\textcircled{l-2}} \cdot$   
 $((q \cdot r) \cdot (r \cdot (q \cdot r)^{\textcircled{t}})^{\textcircled{k-2}}) \cdot r \cdot q$  **and**  
 $y = r \cdot (q \cdot r)^{\textcircled{t}}$  **and**  
 $z = (q \cdot r) \cdot (r \cdot (q \cdot r)^{\textcircled{t}})^{\textcircled{k-1}}$  **and**  $\langle 0 < t \rangle$  **and**  $r \cdot q \neq q \cdot r$   
(*proof*)

**lemma** *case-j1k2-b*: **assumes**  $j = 1 \ 2 \leq k \ y^{\textcircled{k}} <_s z$   
**obtains**  $q$  **where**  
 $x = (q \cdot y^{\textcircled{k}})^{\textcircled{l-1}} \cdot q$  **and**  
 $z = q \cdot y^{\textcircled{k}}$  **and**  
 $q \cdot y \neq y \cdot q$   
(*proof*)

### 6.3.3 Putting things together

**lemma** *solution-cases*: **obtains**  
 $j = 2 \ k = 1 \ |$   
 $j = 1 \ 2 \leq k \ z <_s y^{\textcircled{k}} \ |$   
 $j = 1 \ 2 \leq k \ y^{\textcircled{k}} <_s z \ |$   
 $j = 1 \ k = 1$   
(*proof*)

**theorem** *parametric-solutionE*: **obtains**

— case  $x \cdot y$   
 $r \ q \ m \ n$  **where**  
 $x = (r \cdot q)^{\textcircled{m}} \cdot r$  **and**  
 $y = q \cdot (r \cdot q)^{\textcircled{n}}$  **and**  
 $z = r \cdot q$  **and**  
 $l = m + n + 1$  **and**  $r \cdot q \neq q \cdot r$   
|  
— case  $x \cdot y^{\textcircled{k}}$  with  $2 \leq k$  and  $<_s z (y^{\textcircled{k}})$   
 $r \ q \ t$  **where**  
 $x = ((q \cdot r) \cdot (r \cdot (q \cdot r)^{\textcircled{t}})^{\textcircled{k-1}})^{\textcircled{l-2}} \cdot$   
 $((q \cdot r) \cdot (r \cdot (q \cdot r)^{\textcircled{t}})^{\textcircled{k-2}}) \cdot r \cdot q$  **and**  
 $y = r \cdot (q \cdot r)^{\textcircled{t}}$  **and**  
 $z = (q \cdot r) \cdot (r \cdot (q \cdot r)^{\textcircled{t}})^{\textcircled{k-1}}$  **and**  
 $0 < t$  **and**  $r \cdot q \neq q \cdot r$   
|  
— case  $x \cdot y^{\textcircled{k}}$  with  $2 \leq k$  and  $<_s (y^{\textcircled{k}}) z$   
 $q$  **where**  
 $x = (q \cdot y^{\textcircled{k}})^{\textcircled{l-1}} \cdot q$  **and**  
 $z = q \cdot y^{\textcircled{k}}$  **and**

$q \cdot y \neq y \cdot q$   
|  
— case  $x^{\textcircled{j}} \cdot y$  with  $2 \leq j$   
 $r \ q \ t$  **where**  
 $x = (r \cdot q)^{\textcircled{t}} \cdot r$  **and**  
 $y = q \cdot r \cdot r \cdot q$  **and**  
 $z = (r \cdot q)^{\textcircled{t}} \cdot r \cdot r \cdot q$  **and**  
 $j = 2$  **and**  $l = 2$  **and**  $2 \leq t$  **and**  $r \cdot q \neq q \cdot r$  **and**  
primitive  $x$  **and** primitive  $y$   
⟨proof⟩

**end**

Using the solution from locale *LS-len-le*, the following theorem gives the full characterization of the equation in question:

$$x^i y^j = z^l$$

**theorem** *LS-parametric-solution*:

**assumes**  $y \text{-le-} x$ :  $|y| \leq |x|$   
**and**  $j \text{-min}$ :  $1 \leq j$  **and**  $k \text{-min}$ :  $1 \leq k$  **and**  $l \text{-min}$ :  $2 \leq l$

**shows**

$$x^{\textcircled{j}} \cdot y^{\textcircled{k}} = z^{\textcircled{l}}$$

$\longleftrightarrow$

$(\exists r \ m \ n \ t.$

$$x = r^{\textcircled{m}} \wedge y = r^{\textcircled{n}} \wedge z = r^{\textcircled{t}} \wedge m * j + n * k = t * l) \text{ — Case A: } x, y \text{ is not a}$$

code

$$\vee (j = 1 \wedge k = 1) \wedge$$

$(\exists r \ q \ m \ n.$

$$x = (r \cdot q)^{\textcircled{m}} \cdot r \wedge y = q \cdot (r \cdot q)^{\textcircled{n}} \wedge z = r \cdot q \wedge m + n + 1 = l \wedge r \cdot q \neq q \cdot r)$$

— Case B

$$\vee (j = 1 \wedge 2 \leq k) \wedge$$

$(\exists r \ q.$

$$x = (q \cdot r^{\textcircled{k}})^{\textcircled{l-1}} \cdot q \wedge y = r \wedge z = q \cdot r^{\textcircled{k}} \wedge r \cdot q \neq q \cdot r) \text{ — Case C}$$

$$\vee (j = 1 \wedge 2 \leq k) \wedge$$

$(\exists r \ q \ t. 0 < t \wedge$

$$x = ((q \cdot r) \cdot (r \cdot (q \cdot r)^{\textcircled{t}})^{\textcircled{k-1}})^{\textcircled{l-2}} \cdot ((q \cdot r) \cdot$$

$$(r \cdot (q \cdot r)^{\textcircled{t}})^{\textcircled{k-2}} \cdot r) \cdot q$$

$$\wedge y = r \cdot (q \cdot r)^{\textcircled{t}}$$

$$\wedge z = (q \cdot r) \cdot (r \cdot (q \cdot r)^{\textcircled{t}})^{\textcircled{k-1}}$$

$$\wedge r \cdot q \neq q \cdot r) \text{ — Case D}$$

$$\vee (j = 2 \wedge k = 1 \wedge l = 2) \wedge$$

$(\exists r \ q \ t. 2 \leq t \wedge$

$$x = (r \cdot q)^{\textcircled{t}} \cdot r \wedge y = q \cdot r \cdot r \cdot q$$

$$\wedge z = (r \cdot q)^{\textcircled{t}} \cdot r \cdot r \cdot q \wedge r \cdot q \neq q \cdot r) \text{ — Case E}$$

**(is ?eq =**

$$(?sol\text{-}per \vee (?cond\text{-}j1k1 \wedge ?sol\text{-}j1k1) \vee$$

$$(?cond\text{-}j1k2 \wedge ?sol\text{-}j1k2\text{-}b) \vee$$

(*?cond-j1k2*  $\wedge$  *?sol-j1k2-a*)  $\vee$   
(*?cond-j2k1l2*  $\wedge$  *?sol-j2k1l2*))  
<proof>

### 6.3.4 Uniqueness of the imprimitivity witness

In this section, we show that given a binary code  $\{x, y\}$  and two imprimitive words  $x^{\textcircled{a}j} \cdot y^{\textcircled{a}k}$  and  $x^{\textcircled{a}j'} \cdot y^{\textcircled{a}k'}$  is possible only if the two words are equals, that is, if  $j = j'$  and  $k = k'$ .

**lemma** *LS-unique-same*: **assumes**  $x \cdot y \neq y \cdot x$   
**and**  $1 \leq j$  **and**  $1 \leq k$  **and**  $\neg \text{primitive}(x^{\textcircled{a}j} \cdot y^{\textcircled{a}k})$   
**and**  $1 \leq k'$  **and**  $\neg \text{primitive}(x^{\textcircled{a}j} \cdot y^{\textcircled{a}k'})$   
**shows**  $k = k'$   
<proof>

**lemma** *LS-unique-distinct-le*: **assumes**  $x \cdot y \neq y \cdot x$   
**and**  $2 \leq j$  **and**  $\neg \text{primitive}(x^{\textcircled{a}j} \cdot y)$   
**and**  $2 \leq k$  **and**  $\neg \text{primitive}(x \cdot y^{\textcircled{a}k})$   
**and**  $|y| \leq |x|$   
**shows** *False*  
<proof>

**lemma** *LS-unique-distinct*: **assumes**  $x \cdot y \neq y \cdot x$   
**and**  $2 \leq j$  **and**  $\neg \text{primitive}(x^{\textcircled{a}j} \cdot y)$   
**and**  $2 \leq k$  **and**  $\neg \text{primitive}(x \cdot y^{\textcircled{a}k})$   
**shows** *False*  
<proof>

**lemma** *LS-unique'*: **assumes**  $x \cdot y \neq y \cdot x$   
**and**  $1 \leq j$  **and**  $1 \leq k$  **and**  $\neg \text{primitive}(x^{\textcircled{a}j} \cdot y^{\textcircled{a}k})$   
**and**  $1 \leq j'$  **and**  $1 \leq k'$  **and**  $\neg \text{primitive}(x^{\textcircled{a}j'} \cdot y^{\textcircled{a}k'})$   
**shows**  $k = k'$   
<proof>

**lemma** *LS-unique*: **assumes**  $x \cdot y \neq y \cdot x$   
**and**  $1 \leq j$  **and**  $1 \leq k$  **and**  $\neg \text{primitive}(x^{\textcircled{a}j} \cdot y^{\textcircled{a}k})$   
**and**  $1 \leq j'$  **and**  $1 \leq k'$  **and**  $\neg \text{primitive}(x^{\textcircled{a}j'} \cdot y^{\textcircled{a}k'})$   
**shows**  $j = j'$  **and**  $k = k'$   
<proof>

## 6.4 The bound on the exponent in Lyndon-Schützenberger equation

**lemma** (in *LS-len-le*) *case-j1k2-exp-le*:  
**assumes**  $j = 1$   $2 \leq k$   
**shows**  $k*|y| + 4 \leq |x| + 2*|y|$   
<proof>

**lemma** (in *LS-len-le*) *case-j2k1-exp-le*:

**assumes**  $2 \leq j \ k = 1$

**shows**  $j * |x| + 4 \leq |y| + 2 * |x|$

*<proof>*

**theorem** *LS-exp-le-one*:

**assumes** eq:  $x \cdot y^{\textcircled{a}} k = z^{\textcircled{a}} l$

**and**  $2 \leq l$

**and**  $x \cdot y \neq y \cdot x$

**and**  $1 \leq k$

**shows**  $k * |y| + 4 \leq |x| + 2 * |y|$

*<proof>*

**lemma** *LS-exp-le-conv-rat*:

**fixes**  $x \ y \ k :: 'a :: \text{linordered-field}$

**assumes**  $y > 0$

**shows**  $k * y + 4 \leq x + 2 * y \longleftrightarrow k \leq (x - 4) / y + 2$

*<proof>*

**end**

**theory** *Binary-Code-Morphisms*

**imports** *CoWBasic Submonoids Morphisms*

**begin**

## Chapter 7

# Binary alphabet and binary morphisms

### 7.1 Datatype of a binary alphabet

Basic elements for construction of binary words.

**type-notation** *Enum.finite-2* ( $\langle \text{bin}A \rangle$ )

**notation** *finite-2.a<sub>1</sub>* ( $\langle \text{bina} \rangle$ )

**notation** *finite-2.a<sub>2</sub>* ( $\langle \text{binb} \rangle$ )

**lemmas** *bin-distinct* = *Enum.finite-2.distinct*

**lemmas** *bin-exhaust* = *Enum.finite-2.exhaust*

**lemmas** *bin-induct* = *Enum.finite-2.induct*

**lemmas** *bin-UNIV* = *Enum.UNIV-finite-2*

**lemmas** *bin-eq-neq-iff* = *Enum.neq-finite-2-a<sub>2</sub>-iff*

**lemmas** *bin-eq-neq-iff'* = *Enum.neq-finite-2-a<sub>1</sub>-iff*

**abbreviation** *bin-word-a* :: *binA list* ( $\langle \mathbf{a} \rangle$ ) **where**  
*bin-word-a*  $\equiv$  [*bina*]

**abbreviation** *bin-word-b* :: *binA list* ( $\langle \mathbf{b} \rangle$ ) **where**  
*bin-word-b*  $\equiv$  [*binb*]

**abbreviation** (*input*) *binUNIV* :: *binA set* **where** *binUNIV*  $\equiv$  *UNIV*

**lemma** *binUNIV-I* [*simp*, *intro*]: *bina*  $\in$  *A*  $\implies$  *binb*  $\in$  *A*  $\implies$  *A* = *UNIV*  
*\langle proof \rangle*

**lemma** *bin-basis-code*: *code* {*a*,*b*}  
*\langle proof \rangle*

**lemma** *bin-num*: *bina* = 0 *binb* = 1  
*\langle proof \rangle*

**lemma** *binA-simps* [simp]:  $\text{bina} - \text{binb} = \text{binb} \text{ binb} - \text{bina} = \text{binb } 1 - \text{bina} = \text{binb } 1 - \text{binb} = \text{bina } a - a = \text{bina } 1 - (1 - a) = a$

*<proof>*

**definition** *bin-swap* ::  $\text{bin}A \Rightarrow \text{bin}A$  **where** *bin-swap*  $x \equiv 1 - x$

**lemma** *bin-swap-if-then*:  $1 - x = (\text{if } x = \text{bina} \text{ then } \text{binb} \text{ else } \text{bina})$

*<proof>*

**definition** *bin-swap-morph* **where** *bin-swap-morph*  $\equiv \text{map } \text{bin-swap}$

**lemma** *alphabet-or*[simp]:  $a = \text{bina} \vee a = \text{binb}$

*<proof>*

**lemma** *bin-im-or*:  $f [a] = f \mathbf{a} \vee f [a] = f \mathbf{b}$

*<proof>*

**thm** *triv-forall-equality*

**lemma** *binUNIV-card*:  $\text{card } \text{binUNIV} = 2$

*<proof>*

**lemma** *other-letter*: **obtains**  $b$  **where**  $b \neq (a :: \text{bin}A)$

*<proof>*

**lemma** *alphabet-or-neq*:  $x \neq y \implies x = (a :: \text{bin}A) \vee y = a$

*<proof>*

**lemma** *binA-neq-cases*: **assumes** *neq*:  $a \neq b$

**obtains**  $a = \text{bina}$  **and**  $b = \text{binb} \mid a = \text{binb}$  **and**  $b = \text{bina}$

*<proof>*

**lemma** *bin-neq-sym-pred*: **assumes**  $a \neq b$  **and**  $P \text{ bina } \text{binb}$  **and**  $P \text{ binb } \text{bina}$  **shows**  $P a b$

*<proof>*

**lemma** *no-third*:  $(c :: \text{bin}A) \neq a \implies b \neq a \implies b = c$

*<proof>*

**lemma** *two-in-bin-UNIV*: **assumes**  $a \neq b$  **and**  $a \in S$  **and**  $b \in S$  **shows**  $S = \text{binUNIV}$

*<proof>*

**lemmas** *two-in-bin-set* = *two-in-bin-UNIV*[*unfolded bin-UNIV*]

**lemma** *bin-not-comp-set-UNIV*: **assumes**  $\neg u \bowtie v$  **shows**  $\text{set } (u \cdot v) = \text{binUNIV}$

*<proof>*

**lemma** *bin-basis-singletons*:  $\{[q] \mid q. q \in \{\text{bina}, \text{binb}\}\} = \{\mathbf{a}, \mathbf{b}\}$



*<proof>*

**lemma** *bin-basis-generates*:  $\langle \{\mathbf{a}, \mathbf{b}\} \rangle = UNIV$   
*<proof>*

**lemma** *a-in-bin-basis*:  $[a] \in \{\mathbf{a}, \mathbf{b}\}$   
*<proof>*

**lemma** *lcp-zero-one-emp*:  $\mathbf{a} \wedge_p \mathbf{b} = \varepsilon$  **and** *lcp-one-zero-emp*:  $\mathbf{b} \wedge_p \mathbf{a} = \varepsilon$   
*<proof>*

**lemma** *bin-neq-induct*:  $(a :: binA) \neq b \implies P a \implies P b \implies P c$   
*<proof>*

**lemma** *bin-neq-induct'*: **assumes**  $(a :: binA) \neq b$  **and**  $P a$  **and**  $P b$  **shows**  $\bigwedge c. P c$   
*<proof>*

**lemma** *neq-exhaust*: **assumes**  $(a :: binA) \neq b$  **obtains**  $c = a \mid c = b$   
*<proof>*

**lemma** *bin-swap-neq [simp]*:  $1 - (a :: binA) \neq a$   
*<proof>*

**lemmas** *bin-swap-neq'*[*simp*] = *bin-swap-neq*[*symmetric*]

**lemmas** *bin-swap-induct* = *bin-neq-induct*[*OF bin-swap-neq'*]  
**and** *bin-swap-exhaust* = *neq-exhaust*[*OF bin-swap-neq'*]

**lemma** *bin-swap-induct'*:  $P (a :: binA) \implies P (1 - a) \implies (\bigwedge c. P c)$   
*<proof>*

**lemma** *swap-UNIV*:  $\{a, 1 - a\} = binUNIV$  (**is**  $?P a$ )  
*<proof>*

**lemma** *bin-neq-swap'*[*intro*]:  $a \neq b \implies 1 - b = (a :: binA)$   
*<proof>*

**lemma** *bin-neq-swap*[*intro*]:  $a \neq b \implies 1 - a = (b :: binA)$   
*<proof>*

**lemma** *bin-neq-swap''*[*intro*]:  $a \neq b \implies b = 1 - (a :: binA)$   
*<proof>*

**lemma** *bin-neq-swap'''*[*intro*]:  $a \neq b \implies a = 1 - (b :: binA)$   
*<proof>*

**lemma** *bin-neq-iff*:  $c \neq d \iff 1 - d = (c :: binA)$   
*<proof>*

**lemma** *bin-neq-iff'*:  $c \neq d \iff 1 - c = (d :: binA)$

*<proof>*

**lemma** *binA-neg-cases-swap*: **assumes**  $neg: a \neq (b :: binA)$   
**obtains**  $a = c$  **and**  $b = 1 - c \mid a = 1 - c$  **and**  $b = c$   
*<proof>*

**lemma** *im-swap-neg*:  $f a = f b \implies f bina \neq f binb \implies a = b$   
*<proof>*

**lemma** *bin-without-letter*: **assumes**  $(a1 :: binA) \notin set w$   
**obtains**  $k$  **where**  $w = [1-a1]^{\circledast k}$   
*<proof>*

**lemma** *bin-empty-iff*:  $S = \{\}$   $\longleftrightarrow (a :: binA) \notin S \wedge 1-a \notin S$   
*<proof>*

**lemma** *bin-UNIV-iff*:  $S = binUNIV \longleftrightarrow a \in S \wedge 1-a \in S$   
*<proof>*

**lemma** *bin-UNIV-I*:  $a \in S \implies 1-a \in S \implies S = binUNIV$   
*<proof>*

**lemma** *bin-sing-iff*:  $A = \{a :: binA\} \longleftrightarrow a \in A \wedge 1-a \notin A$   
*<proof>*

**lemma** *bin-set-cases*: **obtains**  $S = \{\} \mid S = \{bina\} \mid S = \{binb\} \mid S = binUNIV$   
*<proof>*

**lemma** *not-UNIV-E*: **assumes**  $A \neq binUNIV$  **obtains**  $a$  **where**  $A \subseteq \{a\}$   
*<proof>*

**lemma** *not-UNIV-nempE*: **assumes**  $A \neq binUNIV$  **and**  $A \neq \{\}$  **obtains**  $a$  **where**  
 $A = \{a\}$   
*<proof>*

**lemma** *bin-sing-gen-iff*:  $x \in \langle \{[a]\} \rangle \longleftrightarrow 1-(a :: binA) \notin set x$   
*<proof>*

**lemma** *set-hd-pow-conv*:  $w \in [hd w]^* \longleftrightarrow set w \neq binUNIV$   
*<proof>*

**lemma** *not-swap-eq*:  $P a b \implies (\bigwedge (c :: binA). \neg P c (1-c)) \implies a = b$   
*<proof>*

**lemma** *bin-distinct-letter*: **assumes**  $set w = binUNIV$   
**obtains**  $k w'$  **where**  $[hd w]^{\circledast} Suc k \cdot [1-hd w] \cdot w' = w$   
*<proof>*

**lemma**  $P a \longleftrightarrow P (1-a) \implies P a \implies (\bigwedge (b :: binA). P b)$

*<proof>*

**lemma** *bin-sym-all*:  $P (a :: \text{bin}A) \longleftrightarrow P (1-a) \implies P a \implies P x$   
*<proof>*

**lemma** *bin-sym-all-comm*:

$f [a] \cdot f [1-a] \neq f [1-a] \cdot f [a] \implies f [b] \cdot f [1-b] \neq f [1-b] \cdot f [(b :: \text{bin}A)]$  (**is**  
 $?P a \implies ?P b$ )  
*<proof>*

**lemma** *bin-sym-all-neg*:

$f [(a :: \text{bin}A)] \neq f [1-a] \implies f [b] \neq f [1-b]$  (**is**  $?P a \implies ?P b$ )  
*<proof>*

**lemma** *bin-len-count*:

**fixes**  $w :: \text{bin}A \text{ list}$   
**shows**  $|w| = \text{count-list } w \ a \ + \ \text{count-list } w \ (1-a)$   
*<proof>*

**lemma** *bin-len-count'*:

**fixes**  $w :: \text{bin}A \text{ list}$   
**shows**  $|w| = \text{count-list } w \ \text{bina} \ + \ \text{count-list } w \ \text{binb}$   
*<proof>*

## 7.2 Binary morphisms

**lemma** *bin-map-core-lists*:  $(\text{map } f^C \ w) \in \text{lists } \{f \ \mathbf{a}, f \ \mathbf{b}\}$   
*<proof>*

**lemma** *bin-range*:  $\text{range } f = \{f \ \text{bina}, f \ \text{binb}\}$   
*<proof>*

**lemma** *bin-core-range*:  $\text{range } f^C = \{f \ \mathbf{a}, f \ \mathbf{b}\}$   
*<proof>*

**lemma** *bin-core-range-swap*:  $\text{range } f^C = \{f [(a :: \text{bin}A)], f [1-a]\}$  (**is**  $?P a$ )  
*<proof>*

**lemma** *bin-map-core-lists-swap*:  $(\text{map } f^C \ w) \in \text{lists } \{f [(a :: \text{bin}A)], f [1-a]\}$   
*<proof>*

**locale** *binary-morphism = morphism f*  
**for**  $f :: \text{bin}A \text{ list} \Rightarrow 'a \text{ list}$   
**begin**

**lemma** *bin-len-count-im*:

**fixes**  $a :: \text{bin}A$   
**shows**  $|f \ w| = \text{count-list } w \ a \ * \ |f [a]| \ + \ \text{count-list } w \ (1-a) \ * \ |f [1-a]|$   
*<proof>*

**lemma** *bin-len-count-im'*:

**shows**  $|f\ w| = \text{count-list } w\ \text{bina} * |f\ \mathbf{a}| + \text{count-list } w\ \text{binb} * |f\ \mathbf{b}|$   
*<proof>*

**lemma** *bin-neq-inj-core*: **assumes**  $f\ [a] \neq f\ [1-a]$  **shows** *inj*  $f^{\mathcal{C}}$

*<proof>*

**lemma** *bin-code-morphism-inj*: **assumes**  $f\ [a] \cdot f\ [1-a] \neq f\ [1-a] \cdot f\ [a]$

**shows** *inj*  $f$

*<proof>*

**lemma** *bin-code-morphismI*:  $f\ [a] \cdot f\ [1-a] \neq f\ [1-a] \cdot f\ [a] \implies \text{code-morphism } f$

*<proof>*

**end**

### 7.2.1 Binary periodic morphisms

**locale** *binary-periodic-morphism* = *periodic-morphism*  $f$

**for**  $f :: \text{binA list} \Rightarrow 'a\ \text{list}$

**begin**

**sublocale** *binary-morphism*

*<proof>*

**definition** *fn0* **where**  $fn0 \equiv (\text{SOME } n. f\ \mathbf{a} = \text{mroot}^{\textcircled{n}})$

**definition** *fn1* **where**  $fn1 \equiv (\text{SOME } n. f\ \mathbf{b} = \text{mroot}^{\textcircled{n}})$

**lemma** *bin0-im*:  $f\ \mathbf{a} = \text{mroot}^{\textcircled{fn0}}$

*<proof>*

**lemma** *bin1-im*:  $f\ \mathbf{b} = \text{mroot}^{\textcircled{fn1}}$

*<proof>*

**lemma** *sorted-image* :  $f\ w = (f\ [a])^{\textcircled{\text{count-list } w\ a}} \cdot (f\ [1-a])^{\textcircled{\text{count-list } w\ (1-a)}}$

*<proof>*

**lemma** *bin-per-morph-expI*:  $f\ u = \text{mroot}^{\textcircled{(\text{mexp } \text{bina}) * (\text{count-list } u\ \text{bina}) + (\text{mexp } \text{binb}) * (\text{count-list } u\ \text{binb})}}$

*<proof>*

**end**

### 7.3 From two words to a binary morphism

**definition** *bin-morph-of'*:  $'a \text{ list} \Rightarrow 'a \text{ list} \Rightarrow \text{bin}A \text{ list} \Rightarrow 'a \text{ list}$  **where** *bin-morph-of'*  
 $x \ y \ u = \text{concat} (\text{map} (\lambda \ a. (\text{case } a \text{ of } \text{bina} \Rightarrow x \mid \text{binb} \Rightarrow y)) \ u)$

**definition** *bin-morph-of*:  $'a \text{ list} \Rightarrow 'a \text{ list} \Rightarrow \text{bin}A \text{ list} \Rightarrow 'a \text{ list}$  **where** *bin-morph-of*  
 $x \ y \ u = \text{concat} (\text{map} (\lambda \ a. \text{if } a = \text{bina} \text{ then } x \ \text{else } y) \ u)$

**lemma** *case-finite-2-if-else*:  $\text{case-finite-2 } x \ y = (\lambda \ a. \text{if } a = \text{bina} \text{ then } x \ \text{else } y)$   
 $\langle \text{proof} \rangle$

**lemma** *bin-morph-of-case-def*:  $\text{bin-morph-of } x \ y \ u = \text{concat} (\text{map} (\lambda \ a. (\text{case } a \text{ of } \text{bina} \Rightarrow x \mid \text{binb} \Rightarrow y)) \ u)$   
 $\langle \text{proof} \rangle$

**lemma** *case-finiteD*:  $\text{case-finite-2 } (f \ \mathbf{a}) \ (f \ \mathbf{b}) = f^{\mathbf{C}}$   
 $\langle \text{proof} \rangle$

**lemma** *case-finiteD'*:  $\text{case-finite-2 } (f \ \mathbf{a}) \ (f \ \mathbf{b}) \ u = f^{\mathbf{C}} \ u$   
 $\langle \text{proof} \rangle$

**lemma** *bin-morph-of-maps*:  $\text{bin-morph-of } x \ y = \text{List.maps} (\text{case-finite-2 } x \ y)$   
 $\langle \text{proof} \rangle$

**lemma** *bin-morph-ofD*:  $(\text{bin-morph-of } x \ y) \ \mathbf{a} = x \ (\text{bin-morph-of } x \ y) \ \mathbf{b} = y$   
 $\langle \text{proof} \rangle$

**lemma** *bin-range-swap*:  $\text{range } f = \{f \ (a::\text{bin}A), f \ (1-a)\} \ (\text{is } ?P \ a)$   
 $\langle \text{proof} \rangle$

**lemma** *bin-morph-of-core-range*:  $\text{range} (\text{bin-morph-of } x \ y)^{\mathbf{C}} = \{x, y\}$   
 $\langle \text{proof} \rangle$

**lemma** *bin-morph-of-morph*:  $\text{morphism} (\text{bin-morph-of } x \ y)$   
 $\langle \text{proof} \rangle$

**lemma** *bin-morph-of-bin-morph*:  $\text{binary-morphism} (\text{bin-morph-of } x \ y)$   
 $\langle \text{proof} \rangle$

**lemma** *bin-morph-of-range*:  $\text{range} (\text{bin-morph-of } x \ y) = \langle \{x, y\} \rangle$   
 $\langle \text{proof} \rangle$

**context** *binary-code*  
**begin**

**lemma** *code-morph-of*:  $\text{code-morphism} (\text{bin-morph-of } u_0 \ u_1)$   
 $\langle \text{proof} \rangle$

**lemma** *inj-morph-of*:  $\text{inj} (\text{bin-morph-of } u_0 \ u_1)$

*<proof>*

**end**

## 7.4 Two binary morphism

**locale** *two-binary-morphisms = two-morphisms*  $g\ h$   
for  $g\ h :: \text{binA list} \Rightarrow 'a\ \text{list}$

**begin**

**lemma** *eq-on-letters-eq*:  $g\ \mathbf{a} = h\ \mathbf{a} \implies g\ \mathbf{b} = h\ \mathbf{b} \implies g = h$   
*<proof>*

**sublocale**  $g$ : *binary-morphism*  $g$   
*<proof>*

**sublocale**  $h$ : *binary-morphism*  $h$   
*<proof>*

**lemma** *rev-morphs: two-binary-morphisms*  $(\text{rev-map } g)\ (\text{rev-map } h)$   
*<proof>*

**lemma** *solution-UNIV*:  
assumes  $s \neq \varepsilon$  and  $g\ s = h\ s$  and  $\bigwedge a. g\ [a] \neq h\ [a]$   
shows  $\text{set } s = \text{UNIV}$   
*<proof>*

**lemma** *solution-len-im-sing-less*:  
assumes *sol*:  $g\ s = h\ s$  and *set*:  $a \in \text{set } s$  and *less*:  $|g\ [a]| < |h\ [a]|$   
shows  $|h\ [1-a]| < |g\ [1-a]|$   
*<proof>*

**lemma** *solution-len-im-sing-le*:  
assumes *sol*:  $g\ s = h\ s$  and *set*:  $\text{set } s = \text{UNIV}$  and *less*:  $|g\ [a]| \leq |h\ [a]|$   
shows  $|h\ [1-a]| \leq |g\ [1-a]|$   
*<proof>*

**lemma** *solution-sing-len-cases*:  
assumes *set*:  $\text{set } s = \text{UNIV}$  and *sol*:  $g\ s = h\ s$  and  $g \neq h$   
obtains  $a$  where  $|g\ [a]| < |h\ [a]|$  and  $|h\ [1-a]| < |g\ [1-a]|$   
*<proof>*

**lemma** *len-ims-sing-neq*:  
assumes  $g\ s = h\ s$  and  $g \neq h$  and  $\text{set } s = \text{binUNIV}$   
shows  $|g\ [c]| \neq |h\ [c]|$   
*<proof>*

**end**

**lemma** *two-binary-morphismsI*: *binary-morphism*  $g \implies \text{binary-morphism } h \implies$   
*two-binary-morphisms*  $g \ h$   
 ⟨*proof*⟩

## 7.5 Binary code morphism

### 7.5.1 Locale - binary code morphism

**locale** *binary-code-morphism* = *code-morphism*  $f :: \text{binA list} \Rightarrow 'a \text{ list}$  **for**  $f$

**begin**

**lemma** *morph-bin-morph-of*:  $f = \text{bin-morph-of } (f \ \mathbf{a}) \ (f \ \mathbf{b})$   
 ⟨*proof*⟩

**lemma** *non-comm-morph* [*simp*]:  $f \ [a] \cdot f \ [1-a] \neq f \ [1-a] \cdot f \ [a]$   
 ⟨*proof*⟩

**lemma** *non-comp-morph*:  $\neg f \ [a] \cdot f \ [1-a] \bowtie f \ [1-a] \cdot f \ [a]$   
 ⟨*proof*⟩

**lemma** *swap-non-comm-morph* [*simp*, *intro*]:  $a \neq b \implies f \ [a] \cdot f \ [b] \neq f \ [b] \cdot f \ [a]$   
 ⟨*proof*⟩

**thm** *bin-core-range*[*of*  $f$ ]

**lemma** *bin-code-morph-rev-map*: *binary-code-morphism* (*rev-map*  $f$ )  
 ⟨*proof*⟩

**sublocale** *swap*: *binary-code*  $f \ \mathbf{b} \ f \ \mathbf{a}$   
 ⟨*proof*⟩

**sublocale** *binary-code*  $f \ \mathbf{a} \ f \ \mathbf{b}$   
 ⟨*proof*⟩

**notation** *bin-code-lcp* ( $\langle \alpha \rangle$ ) **and**  
*bin-code-lcs* ( $\langle \beta \rangle$ ) **and**  
*bin-code-mismatch-fst* ( $\langle c_0 \rangle$ ) **and**  
*bin-code-mismatch-snd* ( $\langle c_1 \rangle$ )

**term** *bin-lcp* ( $f \ \mathbf{a}$ ) ( $f \ \mathbf{b}$ )

**abbreviation** *bin-morph-mismatch* ( $\langle c \rangle$ )

**where** *bin-morph-mismatch*  $a \equiv \text{bin-mismatch } (f[a]) \ (f[1-a])$

**abbreviation** *bin-morph-mismatch-suf* ( $\langle d \rangle$ )

**where** *bin-morph-mismatch-suf*  $a \equiv \text{bin-mismatch-suf } (f[1-a]) \ (f[a])$

**lemma** *bin-lcp-def'*:  $\alpha = f \ ([a] \cdot [1-a]) \wedge_p f \ ([1-a] \cdot [a])$   
 ⟨*proof*⟩

**lemma** *bin-lcp-neq*:  $a \neq b \implies \alpha = f \ ([a] \cdot [b]) \wedge_p f \ ([b] \cdot [a])$

*<proof>*

**lemma** *sing-in*:  $f [a] \in \{f \mathbf{a}, f \mathbf{b}\}$   
*<proof>*

**lemma** *bin-mismatch-inj*:  $\text{inj } \mathbf{c}$   
*<proof>*

**lemma** *map-in-lists*:  $\text{map } (\lambda x. f [x]) w \in \text{lists } \{f \mathbf{a}, f \mathbf{b}\}$   
*<proof>*

**lemma** *bin-morph-lcp-short*:  $|\alpha| < |f [a]| + |f [1-a]|$   
*<proof>*

**lemma** *swap-not-pref-bin-lcp*:  $\neg f([a] \cdot [1-a]) \leq_p \alpha$   
*<proof>*

**thm** *local.bin-mismatch-inj*

**lemma** *bin-mismatch-suf-inj*:  $\text{inj } \mathbf{d}$   
*<proof>*

**lemma** *bin-lcp-sing*:  $\text{bin-lcp } (f [a]) (f [1-a]) = \alpha$   
*<proof>*

**lemma** *bin-lcs-sing*:  $\text{bin-lcs } (f [a]) (f [1-a]) = \beta$   
*<proof>*

**lemma** *bin-code-morph-sing*:  $\text{binary-code } (f [a]) (f [1-a])$   
*<proof>*

**lemma** *bin-mismatch-swap-neq*:  $\mathbf{c} \ a \neq \mathbf{c} \ (1-a)$   
*<proof>*

**lemma** *long-bin-lcp-hd*: **assumes**  $|f w| \leq |\alpha|$   
**shows**  $w \in [hd \ w]^*$   
*<proof>*

**thm** *nonerasing*

*nonerasing-morphism.nonerasing*

**lemmas** *nonerasing* = *nonerasing*

**thm** *nonerasing-morphism.nonerasing*  
*binary-code-morphism.nonerasing*

**lemma** *bin-morph-lcp-mismatch-pref*:  
 $\alpha \cdot [\mathbf{c} \ a] \leq_p f [a] \cdot \alpha$   
*<proof>*

**lemma**  $[\mathbf{d} \ a] \cdot \beta \leq_s \beta \cdot f [a]$  *<proof>*



**lemma** *bin-lcp-pref-all*:  $\alpha \leq_p f w \cdot \alpha$

*<proof>*

**lemma** *bin-lcp-spref-all*:  $w \neq \varepsilon \implies \alpha <_p f w \cdot \alpha$

*<proof>*

**lemma** *pref-mono-lcp*: **assumes**  $w \leq_p w'$  **shows**  $f w \cdot \alpha \leq_p f w' \cdot \alpha$

*<proof>*

**lemma** *long-bin-lcp*: **assumes**  $w \neq \varepsilon$  **and**  $|f w| \leq |\alpha|$

**shows**  $w \in [hd w]^*$

*<proof>*

**thm** *sing-to-nemp*

*nonerasing*

**lemma** *bin-mismatch-code-morph*:  $c_0 = \mathbf{c} \ 0 \ c_1 = \mathbf{c} \ 1$

*<proof>*

**lemma** *bin-lcp-mismatch-pref-all*:  $\alpha \cdot [\mathbf{c} \ a] \leq_p f [a] \cdot f w \cdot \alpha$

*<proof>*

**lemma** *bin-fst-mismatch-all*:  $\alpha \cdot [c_0] \leq_p f \mathbf{a} \cdot f w \cdot \alpha$

*<proof>*

**lemma** *bin-snd-mismatch-all*:  $\alpha \cdot [c_1] \leq_p f \mathbf{b} \cdot f w \cdot \alpha$

*<proof>*

**lemma** *bin-long-mismatch*: **assumes**  $|\alpha| < |f w|$  **shows**  $\alpha \cdot [\mathbf{c} \ (hd w)] \leq_p f w$

*<proof>*

**lemma** *sing-pow-mismatch*: **assumes**  $f [a] = [b]^{\textcircled{a}} \text{Suc } n$  **shows**  $\mathbf{c} \ a = b$

*<proof>*

**lemma** *sing-pow-mismatch-suf*:  $f [a] = [b]^{\textcircled{a}} \text{Suc } n \implies \mathfrak{d} \ a = b$

*<proof>*

**lemma** *bin-lcp-swap-hd*:  $f [a] \cdot f w \cdot \alpha \wedge_p f [1-a] \cdot f w' \cdot \alpha = \alpha$

*<proof>*

**lemma** *bin-lcp-neq-hd*:  $a \neq b \implies f [a] \cdot f w \cdot \alpha \wedge_p f [b] \cdot f w' \cdot \alpha = \alpha$

*<proof>*

**lemma** *bin-mismatch-swap-not-comp*:  $\neg f [a] \cdot f w \cdot \alpha \bowtie_p f [1-a] \cdot f w' \cdot \alpha$

*<proof>*

**lemma** *bin-lcp-root*:  $\alpha <_p f [a] \cdot \alpha$

$\langle proof \rangle$

**lemma** *bin-lcp-pref*: **assumes**  $w \notin \mathfrak{b}^*$  **and**  $w \notin \mathfrak{a}^*$   
**shows**  $\alpha \leq_p (f w)$   
 $\langle proof \rangle$

**lemma** *bin-lcp-pref''*:  $[a] \leq_f w \implies [1-a] \leq_f w \implies \alpha \leq_p (f w)$   
 $\langle proof \rangle$

**lemma** *bin-lcp-pref'*:  $\mathfrak{a} \leq_f w \implies \mathfrak{b} \leq_f w \implies \alpha \leq_p (f w)$   
 $\langle proof \rangle$

**lemma** *bin-lcp-mismatch-pref-all-set*: **assumes**  $1-a \in \text{set } w$   
**shows**  $\alpha \cdot [\mathfrak{c} a] \leq_p f [a] \cdot f w$   
 $\langle proof \rangle$

**lemma** *bin-lcp-comp-hd*:  $\alpha \bowtie f (\mathfrak{a} \cdot w0) \wedge_p f (\mathfrak{b} \cdot w1)$   
 $\langle proof \rangle$

**lemma** *sing-mismatch*: **assumes**  $f \mathfrak{a} \in [a]^*$  **shows**  $c_0 = a$   
 $\langle proof \rangle$

**lemma** *sing-mismatch'*: **assumes**  $f \mathfrak{b} \in [a]^*$  **shows**  $c_1 = a$   
 $\langle proof \rangle$

**lemma** *bin-lcp-comp-all*:  $\alpha \bowtie (f w)$   
 $\langle proof \rangle$

**lemma** *not-comp-bin-swap*:  $\neg f [a] \cdot \alpha \bowtie f [1-a] \cdot \alpha$   
 $\langle proof \rangle$

**lemma** *mismatch-pref*:  
**assumes**  $\alpha \leq_p f ([a] \cdot w0)$  **and**  $\alpha \leq_p f ([1-a] \cdot w1)$   
**shows**  $\alpha = f ([a] \cdot w0) \wedge_p f ([1-a] \cdot w1)$   
 $\langle proof \rangle$

**lemma** *bin-set-UNIV-length*: **assumes**  $\text{set } w = \text{UNIV}$  **shows**  $|f [a]| + |f [1-a]|$   
 $\leq |f w|$   
 $\langle proof \rangle$

**lemma** *set-UNIV-bin-lcp-pref*: **assumes**  $\text{set } w = \text{UNIV}$  **shows**  $\alpha \cdot [\mathfrak{c} (\text{hd } w)] \leq_p$   
 $f w$   
 $\langle proof \rangle$

**lemmas** *not-comp-bin-lcp-pref* = *bin-not-comp-set-UNIV*[*THEN set-UNIV-bin-lcp-pref*]

**lemma** *marked-lcp-conv*: *marked-morphism*  $f \longleftrightarrow \alpha = \varepsilon$   
 $\langle proof \rangle$

**lemma** *im-comm-lcp*:  $f w \cdot \alpha = \alpha \cdot f w \implies (\forall a. a \in \text{set } w \longrightarrow f [a] \cdot \alpha = \alpha \cdot f [a])$   
 ⟨proof⟩

**lemma** *im-comm-lcp-nemp*: **assumes**  $f w \cdot \alpha = \alpha \cdot f w$  **and**  $w \neq \varepsilon$  **and**  $\alpha \neq \varepsilon$   
**obtains**  $k$  **where**  $w = [\text{hd } w]^{\text{Suc}} k$   
 ⟨proof⟩

**lemma** *bin-lcp-ims-im-lcp*:  $f w \cdot \alpha \wedge_p f w' \cdot \alpha = f (w \wedge_p w') \cdot \alpha$   
 ⟨proof⟩

**lemma** *per-comp*:  
**assumes**  $r <_p f w \cdot r$   
**shows**  $r \bowtie f w \cdot \alpha$   
 ⟨proof⟩

**end**

## 7.5.2 More translations

**lemma** *bin-code-morph-iff'*: *binary-code-morphism*  $f \longleftrightarrow$  *morphism*  $f \wedge f [a] \cdot f [1-a] \neq f [1-a] \cdot f [a]$   
 ⟨proof⟩

**lemma** *bin-code-morph-iff*: *binary-code-morphism* (*bin-morph-of*  $x y$ )  $\longleftrightarrow x \cdot y \neq y \cdot x$   
 ⟨proof⟩

**lemma** *bin-nonzer-morph-iff*: *nonerasing-morphism* (*bin-morph-of*  $x y$ )  $\longleftrightarrow x \neq \varepsilon \wedge y \neq \varepsilon$   
 ⟨proof⟩

**lemma** *morph-bin-morph-of*: *morphism*  $f \longleftrightarrow$  *bin-morph-of*  $(f \mathbf{a}) (f \mathbf{b}) = f$   
 ⟨proof⟩

**lemma** *two-bin-code-morphs-nonerasing-morphs*: *binary-code-morphism*  $g \implies$  *binary-code-morphism*  $h \implies$  *two-nonerasing-morphisms*  $g\ h$   
 ⟨*proof*⟩

## 7.6 Marked binary morphism

**lemma** *marked-binary-morphI*: **assumes** *morphism*  $f$  **and**  $f\ [a :: \text{bin}A] \neq \varepsilon$  **and**  $f\ [1-a] \neq \varepsilon$  **and**  $\text{hd}\ (f\ [a]) \neq \text{hd}\ (f\ [1-a])$   
**shows** *marked-morphism*  $f$   
 ⟨*proof*⟩

**locale** *marked-binary-morphism* = *marked-morphism*  $f :: \text{bin}A\ \text{list} \Rightarrow 'a\ \text{list}$  **for**  $f$

**begin**

**lemma** *bin-marked*:  $\text{hd}\ (f\ \mathbf{a}) \neq \text{hd}\ (f\ \mathbf{b})$   
 ⟨*proof*⟩

**lemma** *bin-marked-sing*:  $\text{hd}\ (f\ [a]) \neq \text{hd}\ (f\ [1-a])$   
 ⟨*proof*⟩

**sublocale** *binary-code-morphism*  
 ⟨*proof*⟩

**lemma** *marked-lcp-emp*:  $\alpha = \varepsilon$   
 ⟨*proof*⟩

**lemma** *bin-marked'*:  $(f\ \mathbf{a})!0 \neq (f\ \mathbf{b})!0$   
 ⟨*proof*⟩

**lemma** *marked-bin-morph-pref-code*:  $r \bowtie s \vee f\ (r \cdot z1) \wedge_p f\ (s \cdot z2) = f\ (r \wedge_p s)$   
 ⟨*proof*⟩

**end**

**lemma** *bin-marked-preimg-hd*:  
**assumes** *marked-binary-morphism*  $(f :: \text{bin}A\ \text{list} \Rightarrow \text{bin}A\ \text{list})$   
**obtains**  $c$  **where**  $\text{hd}\ (f\ [c]) = a$   
 ⟨*proof*⟩

## 7.7 Marked version

**context** *binary-code-morphism*

**begin**

**definition** *marked-version* ( $\langle f_m \rangle$ ) **where**  $f_m = (\lambda w. \alpha^{-1} \circ (f w \cdot \alpha))$

**lemma** *marked-version-conjugates*:  $\alpha \cdot f_m w = f w \cdot \alpha$   
*<proof>*

**lemma** *marked-eq-conv*:  $f w = f w' \iff f_m w = f_m w'$   
*<proof>*

**lemma** *marked-marked*: **assumes** *marked-morphism*  $f$  **shows**  $f_m = f$   
*<proof>*

**lemma** *marked-version-all-nemp*:  $w \neq \varepsilon \implies f_m w \neq \varepsilon$   
*<proof>*

**lemma** *marked-version-binary-code-morph*: *binary-code-morphism*  $f_m$   
*<proof>*

**interpretation** *mv-bcm*: *binary-code-morphism*  $f_m$   
*<proof>*

**lemma** *marked-lcs*:  $\text{bin-lcs } (f_m \mathbf{a}) (f_m \mathbf{b}) = \beta \cdot \alpha$   
*<proof>*

**lemma** *bin-lcp-shift*: **assumes**  $|\alpha| < |f w|$  **shows**  $(f w)!|\alpha| = \text{hd } (f_m w)$   
*<proof>*

**lemma** *mismatch-fst*:  $\text{hd } (f_m \mathbf{a}) = c_0$   
*<proof>*

**lemma** *mismatch-snd*:  $\text{hd } (f_m \mathbf{b}) = c_1$   
*<proof>*

**lemma** *marked-hd-neg*:  $\text{hd } (f_m [a]) \neq \text{hd } (f_m [1-a])$  (**is**  $?P (a :: \text{bin}A)$ )  
*<proof>*

**lemma** *marked-version-marked-morph*: *marked-morphism*  $f_m$   
*<proof>*

**interpretation** *mv-mbm*: *marked-binary-morphism*  $f_m$   
*<proof>*

**lemma** *bin-code-pref-morph*:  $f u \cdot \alpha \leq_p f w \cdot \alpha \implies u \leq_p w$   
*<proof>*

**lemma mismatch-pref0:**  $[c_0] \leq_p f_m \mathbf{a}$   
 ⟨proof⟩

**lemma mismatch-pref1:**  $[c_1] \leq_p f_m \mathbf{b}$   
 ⟨proof⟩

**lemma marked-version-len:**  $|f_m w| = |f w|$   
 ⟨proof⟩

**lemma bin-code-lcp:**  $(f r \cdot \alpha) \wedge_p (f s \cdot \alpha) = f (r \wedge_p s) \cdot \alpha$   
 ⟨proof⟩

**lemma not-comp-lcp:** **assumes**  $\neg r \bowtie s$   
**shows**  $f (r \wedge_p s) \cdot \alpha = f r \cdot f (r \cdot s) \wedge_p f s \cdot f (r \cdot s)$   
 ⟨proof⟩

**lemma bin-morph-pref-conv:**  $f u \cdot \alpha \leq_p f v \cdot \alpha \iff u \leq_p v$   
 ⟨proof⟩

**lemma bin-morph-compare-conv:**  $f u \cdot \alpha \bowtie f v \cdot \alpha \iff u \bowtie v$   
 ⟨proof⟩

**lemma code-lcp':**  $\neg r \bowtie s \implies \alpha \leq_p f z \implies \alpha \leq_p f z' \implies f (r \cdot z) \wedge_p f (s \cdot z')$   
 $= f (r \wedge_p s) \cdot \alpha$   
 ⟨proof⟩

**lemma non-comm-im-lcp:** **assumes**  $u \cdot v \neq v \cdot u$   
**shows**  $f (u \cdot v) \wedge_p f (v \cdot u) = f (u \cdot v \wedge_p v \cdot u) \cdot \alpha$   
 ⟨proof⟩

**end**

— Obtaining one morphism marked from two general morphisms by shift (conjugation)

**locale binary-code-morphism-shift** = *binary-code-morphism* +  
**fixes**  $\alpha'$   
**assumes** *shift-pref*:  $\alpha' \leq_p \alpha$

**begin**

**definition shifted-f** **where**  $\text{shifted-f} = (\lambda w. \alpha'^{-1} \triangleright (f w \cdot \alpha'))$

**lemma shift-pref-all:**  $\alpha' \leq_p f w \cdot \alpha'$   
 ⟨proof⟩

**sublocale shifted:** *binary-code-morphism shifted-f*  
 ⟨proof⟩

**lemma** *shifted-lcp*:  $\alpha' \cdot \text{shifted.bin-code-lcp} = \alpha$   
*<proof>*

**lemma**  $\alpha' = \alpha \implies \text{shifted-f} = f_m$   
*<proof>*

**end**

## 7.8 Two binary code morphisms

**locale** *two-binary-code-morphisms* =  
  *g*: *binary-code-morphism* *g* +  
  *h*: *binary-code-morphism* *h*  
  **for** *g h* :: *binA list*  $\Rightarrow$  'a *list*

**begin**

**notation** *h.bin-code-lcp* ( $\langle \alpha_h \rangle$ )

**notation** *g.bin-code-lcp* ( $\langle \alpha_g \rangle$ )

**notation** *g.marked-version* ( $\langle g_m \rangle$ )

**notation** *h.marked-version* ( $\langle h_m \rangle$ )

**sublocale** *gm*: *marked-binary-morphism* *g\_m*  
*<proof>*

**sublocale** *hm*: *marked-binary-morphism* *h\_m*  
*<proof>*

**sublocale** *two-binary-morphisms g h**<proof>*

**sublocale** *marked*: *two-marked-morphisms g\_m h\_m**<proof>*

**sublocale** *code*: *two-code-morphisms g h*  
*<proof>*

**lemma** *marked-two-binary-code-morphisms*: *two-binary-code-morphisms g\_m h\_m*  
*<proof>*

**lemma** *revs-two-binary-code-morphisms*: *two-binary-code-morphisms (rev-map g)*  
*(rev-map h)*  
*<proof>*

**lemma** *swap-two-binary-code-morphisms*: *two-binary-code-morphisms h g*  
*<proof>*

Each successful overflow has a unique minimal successful continuation

**lemma** *min-completionE*:

**assumes**  $z \cdot g_m r = z' \cdot h_m s$

**obtains**  $p q$  **where**  $z \cdot g_m p = z' \cdot h_m q$  **and**

$\bigwedge r s. z \cdot g_m r = z' \cdot h_m s \implies p \leq_p r \wedge q \leq_p s$

*<proof>*

**lemma** *two-equals*:

**assumes**  $g r = h r$  **and**  $g s = h s$  **and**  $\neg r \bowtie s$

**shows**  $g (r \wedge_p s) \cdot \alpha_g = h (r \wedge_p s) \cdot \alpha_h$

*<proof>*

**lemma** *solution-sing-len-diff*: **assumes**  $g \neq h$  **and**  $g s = h s$  **and**  $set s = binUNIV$

**shows**  $|g [c]| \neq |h [c]|$

*<proof>*

**lemma** *alphas-pref*: **assumes**  $|\alpha_h| \leq |\alpha_g|$  **and**  $g r =_m h s$  **shows**  $\alpha_h \leq_p \alpha_g$

*<proof>*

**end**

**locale** *binary-codes-coincidence* = *two-binary-code-morphisms* +

**assumes** *alphas-len*:  $|\alpha_h| \leq |\alpha_g|$  **and**

*coin-ex*:  $\exists r s. g r =_m h s$

**begin**

**lemma** *alphas-pref*:  $\alpha_h \leq_p \alpha_g$

*<proof>*

**definition**  $\alpha$  **where**  $\alpha \equiv \alpha_h^{-1} \alpha_g$

**definition** *critical-overflow* ( $\langle c \rangle$ ) **where** *critical-overflow*  $\equiv \alpha_g^{<-1} \alpha_h$

**lemma** *lcp-diff*:  $\alpha_h \cdot \alpha = \alpha_g$

*<proof>*

**lemma** *solution-marked-version-conv*:  $g r = h s \iff \alpha \cdot g_m r = h_m s \cdot \alpha$

*<proof>*

**end**

**locale** *binary-code-coincidence-sym* = *two-binary-code-morphisms*

+ **assumes**

*coin-ex*:  $\exists r s. g r =_m h s$

**begin**

**lemma** *coinE*: **obtains**  $u v$  **where**  $g u =_m h v$  **and**  $h v =_m g u$

*<proof>*

**definition**  $\alpha'$  **where**  $\alpha' = (if |\alpha_g| \leq |\alpha_h| then \alpha_g else \alpha_h)$

**definition**  $g'$  **where**  $g' = (if |\alpha_g| \leq |\alpha_h| then (\lambda w. \alpha'^{-1} (g w \cdot \alpha')) else (\lambda w. w))$



$\alpha'^{-1}\langle h w \cdot \alpha' \rangle$ )

**definition**  $h'$  **where**  $h' = (\text{if } |\alpha_g| \leq |\alpha_h| \text{ then } (\lambda w. \alpha'^{-1}\langle h w \cdot \alpha' \rangle) \text{ else } (\lambda w. \alpha'^{-1}\langle g w \cdot \alpha' \rangle))$

**lemma** *shift-pref-fst*:  $\alpha' \leq_p \alpha_g$   
*<proof>*

**interpretation** *gshift*: *binary-code-morphism-shift*  $g \alpha'$   
*<proof>*

**interpretation** *swap*: *two-binary-code-morphisms*  $h g$   
*<proof>*

**lemma** *shift-pref-snd*:  $\alpha' \leq_p \alpha_h$   
*<proof>*

**interpretation** *hshift*: *binary-code-morphism-shift*  $h \alpha'$   
*<proof>*

**lemma** *shifted-eq-conv*:  $g r = h s \longleftrightarrow g' r = h' s$   
*<proof>*

**lemma** *shifted-eq-conv*:  $g r = h r \longleftrightarrow g' r = h' r$   
*<proof>*

**lemma** *shifted-eq-conv'*:  $g = h \longleftrightarrow g' = h'$   
*<proof>*

**interpretation** *shifted-g*: *binary-code-morphism*  $(\lambda w. \alpha'^{-1}\langle g w \cdot \alpha' \rangle)$   
*<proof>*

**interpretation** *shifted-h*: *binary-code-morphism*  $(\lambda w. \alpha'^{-1}\langle h w \cdot \alpha' \rangle)$   
*<proof>*

**lemma** *shifted-min-sol-conv*:  $r \in g =_M h \longleftrightarrow r \in g' =_M h'$   
*<proof>*

**lemma** *shifted-not-triv*:  $g = h \longleftrightarrow g' = h'$   
*<proof>*

**sublocale** *shifted*: *two-binary-code-morphisms*  $g' h'$   
*<proof>*

**lemma** *shifted-fst-lcp-emp*: *shifted.g.bin-code-lcp* =  $\varepsilon$   
*<proof>*

**lemma** *shifted-alphas*: **assumes**  $le: |\alpha_g| \leq |\alpha_h|$   
**shows**  $\alpha' \cdot \text{shifted.g.bin-code-lcp} = \alpha_g$  **and**  $\alpha' \cdot \text{shifted.h.bin-code-lcp} = \alpha_h$   
*<proof>*

**interpretation** *swapped: binary-code-coincidence-sym h g*  
(proof)

**lemma** *eq-len-eq-conv:  $\alpha_g = \alpha_h \longleftrightarrow |\alpha_g| = |\alpha_h|$*   
(proof)

**lemma** *shift-swapped:  $swapped.\alpha' = \alpha'$*   
(proof)

**lemma** *morphs-swapped: assumes  $|\alpha_g| \neq |\alpha_h|$  shows  $swapped.g' = g'$   $swapped.h' = h'$*   
(proof)

**lemma** *morphs-swapped': assumes  $|\alpha_g| = |\alpha_h|$  shows  $swapped.g' = h'$   $swapped.h' = g'$*   
(proof)

**lemma** *shifted-lcp-len-eq:  $|shifted.g.bin-code-lcp| = |shifted.h.bin-code-lcp| \longleftrightarrow |\alpha_g| = |\alpha_h|$  and*  
*shifted-lcp-len-le:  $|shifted.g.bin-code-lcp| \leq |shifted.h.bin-code-lcp|$*   
(proof)

end

**locale** *two-marked-binary-morphisms = two-marked-morphisms g h*  
**for** *g h :: binA list  $\Rightarrow$  'a list*  
**begin**

**sublocale** *two-binary-code-morphisms g h* (proof)

**lemma** *not-comm-im: assumes  $g \neq h$  and  $g s = h s$  and  $s \neq \varepsilon$*   
**and** *hd s = a and set s = binUNIV*  
**shows**  *$g[a] \cdot h [a] \neq h[a] \cdot g[a]$*   
(proof)

**lemma** *sol-set-not-com-hd:*  
**assumes**  
*morphs-neq:  $g \neq h$  and*  
*sol:  $g s = h s$  and*  
*sol-set: set s = binUNIV*

**shows**  $g ([hd\ s]) \cdot h ([hd\ s]) \neq h ([hd\ s]) \cdot g ([hd\ s])$   
*<proof>*

**sublocale**  $g$ : *marked-binary-morphism*  $g$   
*<proof>*

**sublocale**  $h$ : *marked-binary-morphism*  $h$   
*<proof>*

**sublocale**  $revs$ : *two-binary-code-morphisms*  $rev\text{-}map\ g\ rev\text{-}map\ h$   
*<proof>*

**end**

## 7.9 Two marked binary morphisms with blocks

**locale** *two-binary-marked-blocks* = *two-marked-binary-morphisms* +  
**assumes** *both-blocks*:  $\bigwedge a. blockP\ a$

**begin**

**sublocale**  $sucs$ : *two-marked-binary-morphisms*  $suc\text{-}fst\ suc\text{-}snd$   
*<proof>*

**sublocale**  $sucs\text{-}enc$ : *two-marked-binary-morphisms*  $suc\text{-}fst'\ suc\text{-}snd'$   
*<proof>*

**lemma** *bin-blocks-swap*: *two-binary-marked-blocks*  $h\ g$   
*<proof>*

**lemma** *blocks-all-letters-fst*:  $[b] \leq_f suc\text{-}fst ([a] \cdot [1-a])$   
*<proof>*

**lemma** *blocks-all-letters-snd*:  $[b] \leq_f suc\text{-}snd ([a] \cdot [1-a])$   
*<proof>*

**lemma** *lcs-suf-blocks-fst*:  $g.\text{bin-code-lcs} \leq_s g (suc\text{-}fst ([a] \cdot [1-a]))$   
*<proof>*

**lemma** *lcs-suf-blocks-snd*:  $h.\text{bin-code-lcs} \leq_s h (suc\text{-}snd ([a] \cdot [1-a]))$   
*<proof>*

**lemma** *lcs-fst-suf-snd*:  $g.\text{bin-code-lcs} \leq_s h.\text{bin-code-lcs} \cdot h\ sucs.h.\text{bin-code-lcs}$   
*<proof>*

**lemma** *suf-comp-lcs*:  $g.\text{bin-code-lcs} \bowtie_s h.\text{bin-code-lcs}$   
*<proof>*

**end**

## 7.10 Binary primitivity preserving morphism given by a pair of words

**definition**  $bin\text{-}prim :: 'a\ list \Rightarrow 'a\ list \Rightarrow bool$   
**where**  $bin\text{-}prim\ x\ y \longleftrightarrow primitivity\text{-}preserving\text{-}morphism\ (bin\text{-}morph\text{-}of\ x\ y)$

**lemma**  $bin\text{-}prim\text{-}code$ :  
**assumes**  $bin\text{-}prim\ x\ y$   
**shows**  $x \cdot y \neq y \cdot x$   
 $\langle proof \rangle$

### 7.10.1 Translating to list concatenation

**lemma**  $bin\text{-}concat\text{-}prim\text{-}pres\text{-}noner1$ :  
**assumes**  $x \neq y$   
**and**  $prim\text{-}pres: \bigwedge ws. ws \in lists\ \{x,y\} \Longrightarrow 2 \leq |ws| \Longrightarrow primitive\ ws \Longrightarrow primitive\ (concat\ ws)$   
**shows**  $x \neq \varepsilon$   
 $\langle proof \rangle$

**lemma**  $bin\text{-}concat\text{-}prim\text{-}pres\text{-}noner$ :  
**assumes**  $x \neq y$   
**and**  $prim\text{-}pres: \bigwedge ws. ws \in lists\ \{x,y\} \Longrightarrow 2 \leq |ws| \Longrightarrow primitive\ ws \Longrightarrow primitive\ (concat\ ws)$   
**shows**  $nonerasing\text{-}morphism\ (bin\text{-}morph\text{-}of\ x\ y)$   
 $\langle proof \rangle$

**lemma**  $bin\text{-}prim\text{-}concat\text{-}prim\text{-}pres\text{-}conv$ :  
**assumes**  $x \neq y$   
**shows**  $bin\text{-}prim\ x\ y \longleftrightarrow (\forall ws \in lists\ \{x,y\}. 2 \leq |ws| \longrightarrow primitive\ ws \longrightarrow primitive\ (concat\ ws))$   
**(is -  $\longleftrightarrow$  ?condition)**  
 $\langle proof \rangle$

**lemma**  $bin\text{-}prim\text{-}concat\text{-}prim\text{-}pres$ :  
**assumes**  $bin\text{-}prim\ x\ y$   
**shows**  $ws \in lists\ \{x, y\} \Longrightarrow 2 \leq |ws| \Longrightarrow primitive\ ws \Longrightarrow primitive\ (concat\ ws)$   
 $\langle proof \rangle$

**lemma**  $bin\text{-}prim\text{-}altdef1$ :  
 $bin\text{-}prim\ x\ y \longleftrightarrow$   
 $(x \neq y) \wedge (\forall ws \in lists\ \{x,y\}. 2 \leq |ws| \longrightarrow primitive\ ws \longrightarrow primitive\ (concat\ ws))$   
 $\langle proof \rangle$

**lemma**  $bin\text{-}prim\text{-}altdef2$ :  
 $bin\text{-}prim\ x\ y \longleftrightarrow$   
 $(x \cdot y \neq y \cdot x) \wedge (\forall ws \in lists\ \{x,y\}. 2 \leq |ws| \longrightarrow primitive\ ws \longrightarrow primitive\ (concat\ ws))$

*<proof>*

### 7.10.2 Basic properties of *bin-prim*

**lemma** *bin-prim-irrefl*:  $\neg \text{bin-prim } x \ x$

*<proof>*

**lemma** *bin-prim-symm* [*sym*]:  $\text{bin-prim } x \ y \implies \text{bin-prim } y \ x$

*<proof>*

**lemma** *bin-prim-commutes*:  $\text{bin-prim } x \ y \longleftrightarrow \text{bin-prim } y \ x$

*<proof>*

**end**

**theory** *Equations-Basic*

**imports**

*Periodicity-Lemma*

*Lyndon-Schutzenberger*

*Submonoids*

*Binary-Code-Morphisms*

**begin**

## Chapter 8

# Equations on words - basics

Contains various nontrivial auxiliary or rudimentary facts related to equations. Often moderately advanced or even fairly advanced. May change significantly in the future.

### 8.1 Factor interpretation

**definition** *factor-interpretation* :: 'a list  $\Rightarrow$  'a list  $\Rightarrow$  'a list  $\Rightarrow$  'a list list  $\Rightarrow$  bool  
( $\langle$  - -  $\sim_{\mathcal{I}}$   $\rightarrow$  [51,51,51,51] 60)

**where** *factor-interpretation*  $p\ u\ s\ ws = (p <_p\ hd\ ws \wedge s <_s\ last\ ws \wedge p \cdot u \cdot s = concat\ ws)$

**lemma** *fac-interp-nemp*:  $u \neq \varepsilon \implies p\ u\ s \sim_{\mathcal{I}}\ ws \implies ws \neq \varepsilon$   
(*proof*)

**lemma** *fac-interpD*: **assumes**  $p\ u\ s \sim_{\mathcal{I}}\ ws$   
**shows**  $p <_p\ hd\ ws$  **and**  $s <_s\ last\ ws$  **and**  $p \cdot u \cdot s = concat\ ws$   
(*proof*)

**lemma** *fac-interpI*:  
 $p <_p\ hd\ ws \implies s <_s\ last\ ws \implies p \cdot u \cdot s = concat\ ws \implies p\ u\ s \sim_{\mathcal{I}}\ ws$   
(*proof*)

**lemma** *obtain-fac-interp*: **assumes**  $pu \cdot u \cdot su = concat\ ws$  **and**  $u \neq \varepsilon$   
**obtains**  $ps\ ss\ p\ s\ vs$  **where**  $p\ u\ s \sim_{\mathcal{I}}\ vs$  **and**  $ps \cdot vs \cdot ss = ws$  **and**  $concat\ ps \cdot p = pu$  **and**  
 $s \cdot concat\ ss = su$   
(*proof*)

**lemma** *obtain-fac-interp'*: **assumes**  $u \leq^f\ concat\ ws$  **and**  $u \neq \varepsilon$   
**obtains**  $p\ s\ vs$  **where**  $p\ u\ s \sim_{\mathcal{I}}\ vs$  **and**  $vs \leq^f\ ws$   
(*proof*)

**lemma** *fac-pow-longE*: **assumes**  $w \leq_f v^{\textcircled{a}} k$  **and**  $|v| \leq |w|$   
**obtains**  $m \ v1 \ v2$  **where**  $v1 \leq_s v \ v2 \leq_p v \ w = v1 \cdot v^{\textcircled{a}} m \cdot v2$   
 $\langle \text{proof} \rangle$

**lemma** *obtain-fac-interp-dec*: **assumes**  $w \in \langle G \rangle$   $u \leq_f w \ u \neq \varepsilon$   
**obtains**  $p \ s \ ws$  **where**  $ws \in \text{lists } (G - \{\varepsilon\})$   $p \ u \ s \sim_{\mathcal{I}} ws$   $ws \leq_f \text{Dec } G \ w$   
 $\langle \text{proof} \rangle$

**lemma** *fac-interp-inner*: **assumes**  $u \neq \varepsilon$  **and**  $p \ u \ s \sim_{\mathcal{I}} ws$  **and**  $1 < |ws|$   
**shows**  $p^{-1} \langle \text{hd } ws \rangle \cdot \text{concat}(\text{butlast } (\text{tl } ws)) \cdot (\text{last } ws)^{<-1} s = u$   
 $\langle \text{proof} \rangle$

**lemma** *fac-interp-inner-len*: **assumes**  $u \neq \varepsilon$  **and**  $p \ u \ s \sim_{\mathcal{I}} ws$   
**shows**  $|\text{concat}(\text{butlast } (\text{tl } ws))| < |u|$   
 $\langle \text{proof} \rangle$

**lemma** *rev-in-set-map-rev-conv*:  $\text{rev } u \in \text{set } (\text{map } \text{rev } ws) \longleftrightarrow u \in \text{set } ws$   
 $\langle \text{proof} \rangle$

**lemma** *rev-fac-interp*: **assumes**  $p \ u \ s \sim_{\mathcal{I}} ws$  **shows**  $(\text{rev } s) (\text{rev } u) (\text{rev } p) \sim_{\mathcal{I}} \text{rev } (\text{map } \text{rev } ws)$   
 $\langle \text{proof} \rangle$

**lemma** *rev-fac-interp-iff [reversal-rule]*:  $(\text{rev } s) (\text{rev } u) (\text{rev } p) \sim_{\mathcal{I}} \text{rev } (\text{map } \text{rev } ws) \longleftrightarrow p \ u \ s \sim_{\mathcal{I}} ws$   
 $\langle \text{proof} \rangle$

**lemma** *fac-interp-mid-fac*: **assumes**  $p \ u \ s \sim_{\mathcal{I}} ws$   
**shows**  $\text{concat } (\text{butlast } (\text{tl } ws)) \leq_f u$   
 $\langle \text{proof} \rangle$

**definition** *disjoint-interpretation* ::  $'a \ \text{list} \Rightarrow 'a \ \text{list list} \Rightarrow 'a \ \text{list} \Rightarrow 'a \ \text{list list} \Rightarrow \text{bool}$  ( $\langle - - - \sim_{\mathcal{D}} - \rangle [51,51,51,51] \ 60$ )  
**where**  $p \ us \ s \sim_{\mathcal{D}} ws \equiv p \ (\text{concat } us) \ s \sim_{\mathcal{I}} ws \wedge$   
 $(\forall \ u \ v. \ u \leq_p us \wedge v \leq_p ws \longrightarrow p \cdot \text{concat } u \neq \text{concat } v)$

**lemma** *disjoint-interpI*:  $p \ (\text{concat } us) \ s \sim_{\mathcal{I}} ws \implies$   
 $(\forall \ u \ v. \ u \leq_p us \wedge v \leq_p ws \longrightarrow p \cdot \text{concat } u \neq \text{concat } v) \implies p \ us \ s \sim_{\mathcal{D}} ws$   
 $\langle \text{proof} \rangle$

**lemma** *disjoint-interpI'[intro]*:  $p \ (\text{concat } us) \ s \sim_{\mathcal{I}} ws \implies$   
 $(\bigwedge \ u \ v. \ u \leq_p us \implies v \leq_p ws \implies p \cdot \text{concat } u \neq \text{concat } v) \implies p \ us \ s \sim_{\mathcal{D}} ws$   
 $\langle \text{proof} \rangle$

**lemma** *disj-interpD*:  $p \ us \ s \sim_{\mathcal{D}} ws \implies p \ (\text{concat } us) \ s \sim_{\mathcal{I}} ws$   
 $\langle \text{proof} \rangle$

**lemma** *disj-interpD1*: **assumes**  $p \text{ us } s \sim_{\mathcal{D}} ws$  **and**  $us' \leq_p us$  **and**  $ws' \leq_p ws$   
**shows**  $p \cdot \text{concat } us' \neq \text{concat } ws'$   
 $\langle \text{proof} \rangle$

**lemma** *disj-interp-nemp*: **assumes**  $p \text{ us } s \sim_{\mathcal{D}} ws$   
**shows**  $p \neq \varepsilon$  **and**  $s \neq \varepsilon$   
 $\langle \text{proof} \rangle$

### 8.1.1 Factor intepretation of morphic images

**context** *morphism*  
**begin**

**lemma** *image-fac-interp'*: **assumes**  $w \leq_f fz \ w \neq \varepsilon$   
**obtains**  $p \ w\text{-pred } s$  **where**  $w\text{-pred} \leq_f z \ p \ w \ s \sim_{\mathcal{I}} (\text{map } f^c \ w\text{-pred})$   
 $\langle \text{proof} \rangle$

**lemma** *image-fac-interp*: **assumes**  $u \cdot w \cdot v = fz \ w \neq \varepsilon$   
**obtains**  $p \ w\text{-pred } s \ u\text{-pred } v\text{-pred}$  **where**  
 $u\text{-pred} \cdot w\text{-pred} \cdot v\text{-pred} = z \ p \ w \ s \sim_{\mathcal{I}} (\text{map } f^c \ w\text{-pred})$   
 $u = (f \ u\text{-pred}) \cdot p \ v = s \cdot (f \ v\text{-pred})$   
 $\langle \text{proof} \rangle$

**lemma** *image-fac-interp-mid*: **assumes**  $p \ w \ s \sim_{\mathcal{I}} \text{map } f^c \ w\text{-pred}$   $2 \leq |w\text{-pred}|$   
**obtains**  $pw \ sw$  **where**  
 $w = pw \cdot (f \ (\text{butlast } (\text{tl } w\text{-pred}))) \cdot sw \ p \cdot pw = f \ [\text{hd } w\text{-pred}] \ sw \cdot s = f \ [\text{last } w\text{-pred}]$   
 $\langle \text{proof} \rangle$

**end**

## 8.2 Miscellanea

### 8.2.1 Mismatch additions

**lemma** *mismatch-pref-comm-len*: **assumes**  $w1 \in \langle \{u, v\} \rangle$  **and**  $w2 \in \langle \{u, v\} \rangle$  **and**  
 $p \leq_p w1$   
 $u \cdot p \leq_p v \cdot w2$  **and**  $|v| \leq |p|$   
**shows**  $u \cdot v = v \cdot u$   
 $\langle \text{proof} \rangle$

**lemma** *mismatch-pref-comm*: **assumes**  $w1 \in \langle \{u, v\} \rangle$  **and**  $w2 \in \langle \{u, v\} \rangle$  **and**  
 $u \cdot w1 \cdot v \leq_p v \cdot w2 \cdot u$   
**shows**  $u \cdot v = v \cdot u$   
 $\langle \text{proof} \rangle$

**lemma** *mismatch-eq-comm*: **assumes**  $w1 \in \langle \{u, v\} \rangle$  **and**  $w2 \in \langle \{u, v\} \rangle$  **and**  
 $u \cdot w1 = v \cdot w2$



**shows**  $u \cdot v = v \cdot u$   
 ⟨*proof*⟩

**lemmas**  $\text{mismatch-suf-comm} = \text{mismatch-pref-comm}[\text{reversed}]$  **and**  
 $\text{mismatch-suf-comm-len} = \text{mismatch-pref-comm-len}[\text{reversed}, \text{unfolded rassoc}]$

## 8.2.2 Conjugate words with conjugate periods

**lemma** *conj-pers-conj-comm-aux*:

**assumes**  $(u \cdot v)^{\textcircled{k}} \cdot u = r \cdot s$  **and**  $(v \cdot u)^{\textcircled{l}} \cdot v = (s \cdot r)^{\textcircled{m}}$  **and**  $0 < k \ 0 < l$   
**and**  $2 \leq m$

**shows**  $u \cdot v = v \cdot u$   
 ⟨*proof*⟩

**lemma** *conj-pers-conj-comm*: **assumes**  $\varrho(v \cdot (u \cdot v)^{\textcircled{k}}) \sim \varrho((u \cdot v)^{\textcircled{m}} \cdot u)$  **and**  
 $0 < k$  **and**  $0 < m$

**shows**  $u \cdot v = v \cdot u$   
 ⟨*proof*⟩

**hide-fact** *conj-pers-conj-comm-aux*

## 8.2.3 Covering uvvu

**lemma** *uv-fac-uvv*: **assumes**  $p \cdot u \cdot v \leq_p u \cdot v \cdot v$  **and**  $p \neq \varepsilon$  **and**  $p \leq_s w$  **and**  $w$   
 $\in \langle \{u, v\} \rangle$

**shows**  $u \cdot v = v \cdot u$   
 ⟨*proof*⟩

**lemmas**  $\text{uv-fac-uvv-suf} = \text{uv-fac-uvv}[\text{reversed}, \text{unfolded rassoc}]$

**lemma**  $u \leq_p v \implies u' \leq_p v' \implies u \wedge_p u' \neq u \implies u \wedge_p u' \neq u' \implies u \wedge_p u' = v$   
 $\wedge_p v'$   
 ⟨*proof*⟩

**lemma** *comm-puv-pvs-eq-ug*: **assumes**  $p \cdot u \cdot v = u \cdot v \cdot p$  **and**  $p \cdot v \cdot s = u \cdot q$   
**and**

$p \leq_p u$   $q \leq_p w$  **and**  $s \leq_p w'$  **and**  
 $w \in \langle \{u, v\} \rangle$  **and**  $w' \in \langle \{u, v\} \rangle$  **and**  $|u| \leq |s|$   
**shows**  $u \cdot v = v \cdot u$   
 ⟨*proof*⟩

**lemma** **assumes**  $u \cdot v \cdot v \cdot u = p \cdot u \cdot v \cdot u \cdot q$  **and**  $p \neq \varepsilon$  **and**  $q \neq \varepsilon$   
**shows**  $u \cdot v = v \cdot u$   
 ⟨*proof*⟩

**lemma** *uvu-pref-uvv*: **assumes**  $p \cdot u \cdot v \cdot v \cdot s = u \cdot v \cdot u \cdot q$  **and**

$p \leq_p u$  and  $q \leq_p w$  and  $s \leq_p w'$  and  
 $w \in \langle \{u, v\} \rangle$  and  $w' \in \langle \{u, v\} \rangle$  and  $|u| \leq |s|$   
**shows**  $u \cdot v = v \cdot u$   
 $\langle \text{proof} \rangle$

**lemma** *uvu-pref-uvvu*: **assumes**  $p \cdot u \cdot v \cdot v \cdot u = u \cdot v \cdot u \cdot q$  and  
 $p \leq_p u$  and  $q \leq_p w$  and  $w \in \langle \{u, v\} \rangle$   
**shows**  $u \cdot v = v \cdot u$   
 $\langle \text{proof} \rangle$

**lemma** *uvu-pref-uvvu-interp*: **assumes** *interp*:  $p \cdot u \cdot v \cdot v \cdot u \cdot s \sim_{\mathcal{I}} ws$  and  
 $[u, v, u] \leq_p ws$  and  $ws \in \text{lists } \{u, v\}$   
**shows**  $u \cdot v = v \cdot u$   
 $\langle \text{proof} \rangle$

**lemmas** *uvu-suf-uvvu* = *uvu-pref-uvvu*[*reversed, unfolded rassoc*] and  
*uvu-suf-uvv* = *uvu-pref-uvv*[*reversed, unfolded rassoc*]

**lemma** *uvu-suf-uvvu-interp*:  $p \cdot u \cdot v \cdot v \cdot u \cdot s \sim_{\mathcal{I}} ws \implies [u, v, u] \leq_s ws$   
 $\implies ws \in \text{lists } \{u, v\} \implies u \cdot v = v \cdot u$   
 $\langle \text{proof} \rangle$

## 8.2.4 Conjugate words

**lemma** *conjug-pref-suf-mismatch*: **assumes**  $w1 \in \langle \{r \cdot s, s \cdot r\} \rangle$  and  $w2 \in \langle \{r \cdot s, s \cdot r\} \rangle$   
and  $r \cdot w1 = w2 \cdot s$   
**shows**  $r = s \vee r = \varepsilon \vee s = \varepsilon$   
 $\langle \text{proof} \rangle$

**lemma** *conjug-conjug-primroots*: **assumes**  $u \neq \varepsilon$  and  $r \neq \varepsilon$  and  $\varrho(u \cdot v) = r \cdot s$   
and  $\varrho(v \cdot u) = s \cdot r$   
**obtains**  $k \ m$  where  $(r \cdot s)^{\textcircled{k}} \cdot r = u$  and  $(s \cdot r)^{\textcircled{m}} \cdot s = v$   
 $\langle \text{proof} \rangle$

## 8.2.5 Predicate “commutes”

**definition** *commutes* :: 'a list set  $\implies$  bool  
**where** *commutes*  $A = (\forall x \ y. x \in A \implies y \in A \implies x \cdot y = y \cdot x)$

**lemma** *commutesE*: *commutes*  $A \implies x \in A \implies y \in A \implies x \cdot y = y \cdot x$   
 $\langle \text{proof} \rangle$

**lemma** *commutes-root*: **assumes** *commutes*  $A$   
**obtains**  $r$  where  $\bigwedge x. x \in A \implies x \in r^*$   
 $\langle \text{proof} \rangle$

**lemma** *commutes-primroot*: **assumes** *commutes*  $A$   
**obtains**  $r$  where  $\bigwedge x. x \in A \implies x \in r^*$  and *primitive*  $r$

*<proof>*

**lemma** *commutesI* [*intro*]:  $(\bigwedge x y. x \in A \implies y \in A \implies x \cdot y = y \cdot x) \implies \text{commutes } A$   
*<proof>*

**lemma** *commutesI'*: **assumes**  $x \neq \varepsilon$  **and**  $\bigwedge y. y \in A \implies x \cdot y = y \cdot x$   
**shows** *commutes A*  
*<proof>*

**lemma** *commutesI-root*[*intro*]:  $\forall x \in A. x \in t^* \implies \text{commutes } A$   
*<proof>*

**lemma** *commutes-sub*: *commutes A*  $\implies B \subseteq A \implies \text{commutes } B$   
*<proof>*

**lemma** *commutes-insert*: *commutes A*  $\implies x \in A \implies x \neq \varepsilon \implies x \cdot y = y \cdot x \implies \text{commutes } (\text{insert } y \ A)$   
*<proof>*

**lemma** *commutes-emp* [*simp*]: *commutes*  $\{\varepsilon, w\}$   
*<proof>*

**lemma** *commutes-emp'*[*simp*]: *commutes*  $\{w, \varepsilon\}$   
*<proof>*

**lemma** *commutes-cancel*: **assumes**  $y \in A$  **and**  $x \cdot y \in A$  **and** *commutes A*  
**shows** *commutes (insert x A)*  
*<proof>*

**lemma** *commutes-cancel'*: **assumes**  $x \in A$  **and**  $x \cdot y \in A$  **and** *commutes A*  
**shows** *commutes (insert y A)*  
*<proof>*

## 8.2.6 Strong elementary lemmas

Discovered by smt

**lemma** *xyx-per-comm*: **assumes**  $x \cdot y \cdot x \leq_p q \cdot x \cdot y \cdot x$   
**and**  $q \neq \varepsilon$  **and**  $q \leq_p y \cdot q$   
**shows**  $x \cdot y = y \cdot x$   
*<proof>*

**lemma** *two-lem-root-suf-comm*: **assumes**  $u \leq_p v \cdot u$  **and**  $v \leq_s p \cdot u$  **and**  $p \in \langle\{u, v\}\rangle$   
**shows**  $u \cdot v = v \cdot u$   
*<proof>*

## 8.2.7 Binary words without a letter square

**lemma** *no-repetition-list*:

**assumes**  $set\ ws \subseteq \{a,b\}$

**and not-per:**  $\neg ws \leq_p [a,b] \cdot ws \neg ws \leq_p [b,a] \cdot ws$

**and not-square:**  $\neg [a,a] \leq_f ws$  **and**  $\neg [b,b] \leq_f ws$

**shows** *False*

*<proof>*

**lemma** *hd-Cons-append[intro,simp]*:  $hd\ ((a\#v) \cdot u) = a$

*<proof>*

**lemma** *no-repetition-list-bin*:

**fixes**  $ws :: binA\ list$

**assumes** *not-square*:  $\bigwedge c. \neg [c,c] \leq_f ws$

**shows**  $ws \leq_p [hd\ ws, 1-(hd\ ws)] \cdot ws$

*<proof>*

**lemma** *per-root-hd-last-root*: **assumes**  $ws \leq_p [a,b] \cdot ws$  **and**  $hd\ ws \neq last\ ws$

**shows**  $ws \in [a,b]^*$

*<proof>*

**lemma** *no-cyclic-repetition-list*:

**assumes**  $set\ ws \subseteq \{a,b\}$   $ws \notin [a,b]^*$   $ws \notin [b,a]^*$   $hd\ ws \neq last\ ws$

$\neg [a,a] \leq_f ws \neg [b,b] \leq_f ws$

**shows** *False*

*<proof>*

## 8.2.8 Three covers

**lemma** *three-covers-example*:

**assumes**

$v: v = a$  **and**

$t: t = (b \cdot a^{(j+1)})^{(m+l+1)} \cdot b \cdot a$  **and**

$r: r = a \cdot b \cdot (a^{(j+1)} \cdot b)^{(m+l+1)}$  **and**

$t': t' = (b \cdot a^{(j+1)})^{(m)} \cdot b \cdot a$  **and**

$r': r' = a \cdot b \cdot (a^{(j+1)} \cdot b)^{(l)}$  **and**

$w: w = a \cdot (b \cdot a^{(j+1)})^{(m+l+1)} \cdot b \cdot a$

**shows**  $w = v \cdot t$  **and**  $w = r \cdot v$  **and**  $w = r' \cdot v^{(j+1)} \cdot t'$  **and**  $t' <_p t$  **and**  $r' <_s r$

*<proof>*

**lemma** *three-covers-pers*: — alias Old Good Lemma

**assumes**  $w = v \cdot t$  **and**  $w = r' \cdot v^{(j)} \cdot t'$  **and**  $w = r \cdot v$  **and**  $0 < j$  **and**

$r' <_s r$  **and**  $t' <_p t$

**shows** *period*  $w$   $(|t| - |t'|)$  **and** *period*  $w$   $(|r| - |r'|)$  **and**

$(|t| - |t'|) + (|r| - |r'|) = |w| + j \cdot |v| - 2 \cdot |v|$

*<proof>*

**lemma** *three-covers-per0*: **assumes**  $w = v \cdot t$  **and**  $w = r' \cdot v^{(j)} \cdot t'$  **and**  $w = r \cdot$

**v** and  $0 < j$   
 $r' <_s r$  and  $t' <_p t$  and  $|t'| \leq |r'|$   
and primitive  $v$   
**shows** period  $w$  ( $\gcd(|t| - |t'|) (|r| - |r'|)$ )  
⟨proof⟩

**lemma** *three-covers-per*: **assumes**  $w = v \cdot t$  and  $w = r' \cdot v^{\textcircled{j}} \cdot t'$  and  $w = r \cdot v$   
 $r' <_s r$  and  $t' <_p t$  and  $0 < j$   
**shows** period  $w$  ( $\gcd(|t| - |t'|) (|r| - |r'|)$ )  
⟨proof⟩

**thm** *per-root-modE'*

**lemma** **assumes**  $w <_p r \cdot w$   
**obtains**  $p \ q \ i$  where  $w = (p \cdot q)^{\textcircled{i}} \cdot p$  and  $p \cdot q = r$   
⟨proof⟩

**lemma** *three-coversE*: **assumes**  $w = v \cdot t$  and  $w = r' \cdot v \cdot t'$  and  $w = r \cdot v$  and  
 $r' <_s r$  and  $t' <_p t$   
**obtains**  $p \ q \ i \ k \ m$  where  $t = (q \cdot p)^{\textcircled{m+k}}$  and  $r = (p \cdot q)^{\textcircled{m+k}}$  and  
 $t' = (q \cdot p)^{\textcircled{k}}$  and  $r' = (p \cdot q)^{\textcircled{m}}$  and  $v = (p \cdot q)^{\textcircled{i}} \cdot p$  and  
 $w = (p \cdot q)^{\textcircled{m+i+k}} \cdot p$  and primitive  $(p \cdot q)$  and  $q \neq \varepsilon$   
and  $0 < m$  and  $0 < k$   
⟨proof⟩

**lemma** *three-covers-pref-suf-pow*: **assumes**  $x \cdot y \leq_p w$  and  $y \cdot x \leq_s w$  and  $w \leq_f$   
 $y^{\textcircled{k}}$  and  $|y| \leq |x|$   
**shows**  $x \cdot y = y \cdot x$   
⟨proof⟩

## 8.2.9 Binary Equality Words

**definition** *binary-equality-word*  $:: \text{binA list} \Rightarrow \text{bool}$  where  
*binary-equality-word*  $w = (\exists (g :: \text{binA list} \Rightarrow \text{nat list}) h. \text{binary-code-morphism}$   
 $g \wedge \text{binary-code-morphism } h \wedge g \neq h \wedge w \in g =_M h)$

**lemma** *not-bew-baiba*: **assumes**  $|y| < |v|$  and  $x \leq_s y$  and  $u \leq_s v$  and  
 $y \cdot x^{\textcircled{k}} \cdot y = v \cdot u^{\textcircled{k}} \cdot v$   
**shows** commutes  $\{x, y, u, v\}$

*<proof>*

**lemma** *not-bew-baibaib*: **assumes**  $|x| < |u|$  **and**  $1 < i$  **and**

$$x \cdot y^{\textcircled{i}} \cdot x \cdot y^{\textcircled{i}} \cdot x = u \cdot v^{\textcircled{i}} \cdot u \cdot v^{\textcircled{i}} \cdot u$$

**shows** *commutes*  $\{x, y, u, v\}$

*<proof>*

**theorem**  $\neg$  *binary-equality-word*  $(\mathbf{a} \cdot \mathbf{b}^{\textcircled{k}} \text{Suc } k \cdot \mathbf{a} \cdot \mathbf{b})$

*<proof>*

**end**

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